

HW2 - MATH411

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Problem 1

Determine which of the following subsets of \mathbb{R} are open in \mathbb{R} , closed in \mathbb{R} , or neither open nor closed in \mathbb{R} . Justify your conclusions:

- (a) $A = \mathbb{Q}$, the set of rational numbers
- (b) $A = \{u \in \mathbb{R} \mid u^2 > 4\}$

Determine which of the following subsets of \mathbb{R}^2 are open in \mathbb{R}^2 , closed in \mathbb{R}^2 , or neither open nor closed in \mathbb{R}^2 . Justify your conclusions:

- (a) $A = \{u = (x, y) \mid x^2 + y^2 = 1\}$
- (b) $A = \{u = (x, y) \mid x \text{ is rational}\}$

Proof. For the sets in \mathbb{R} :

- (a) A is neither open nor closed in \mathbb{R} . To prove that it is not open, note that the interior of A is empty, as any open ball centered at $u \in A$ contains irrationals by the density of \mathbb{Q} in \mathbb{R} , so $\text{int } A = \emptyset \neq A$, so A is not open. To prove that it is not closed, consider the sequence $\{u_k\} = (1 + \frac{1}{k})^k$. Each $u_k \in A$ but $\{u_k\} \rightarrow e \notin A$.
- (b) A is open. Trivially, $\text{int } A \subseteq A$. For any point $u \in A$, we have that $|u| > 2$, so that $|u| - 2 > 0$. Set $r = \frac{|u| - 2}{2}$, then it follows that $B_r(u) \subseteq A$, so that $A \subseteq \text{int } A$; thus $\text{int } A = A$, so A is open in \mathbb{R} . A is not closed in \mathbb{R} ; consider $\{u_k\} = (2 + \frac{1}{k})^2$. All of the $u_k \in A$, but $\{u_k\} \rightarrow 4 \notin A$.

For the sets in \mathbb{R}^2 : For the sets in \mathbb{R} :

- (a) A is closed. To prove this, suppose that $\{u_k\} \in A$ converges to u . By a theorem in class, it follows that $\|u_k\| \rightarrow \|u\|$, but $\|u_k\| = 1$ for all k , which means that $\|u\| = 1$. This implies that u is on the unit circle, so that $u \in A$, showing that A is closed. A is not open, because any open ball will contain points outside of A .

- (b) A is neither open nor closed by the same reasoning of (a) in \mathbb{R} ; since the x -component of any $u \in A$ is neither closed nor open in \mathbb{R} , component-wise convergence of sequences implies that there exists $\{u_k\} \in A$ such that $\{u_k\} \rightarrow u \notin A$, so that A is not closed. Furthermore, any open ball centered at $u \in A$ contains an irrational x -component, so A is not open.

□

Problem 2

Let A be a subset of \mathbb{R}^n and let w be a point in \mathbb{R}^n . The *translate* of A by w is denoted by $w + A$ and is defined by

$$w + A = \{w + u \mid u \text{ in } A\}$$

- (a) Show that A is open if and only if $w + A$ is open.
(b) Show that A is closed if and only if $w + A$ is closed.

Proof. (a) If A is open, then for any $u \in A$, it follows that $B_r(u) \subseteq A$, so that $w + B_r(u) \subseteq w + A$. We must show that $w + B_r(u) = B_r(w + u)$. To this end, note that:

$$\begin{aligned} x \in w + B_r(u) &\iff x - w \in B_r(u) \iff \|(x - w) - u\| < r \\ &\iff \|x - (w + u)\| < r \iff x \in B_r(w + u) \end{aligned}$$

so that A open $\iff w + A$ open.

- (b) Note that A closed $\iff A^c$ open $\iff w + A^c$ open $\iff (w + A)^c$ open $\iff w + A$ closed. Additionally, if $\{u_k\} \in A$ converges to $u \in A$, it follows that $\{w + u_k\} = w + \{u_k\} = w + u \in w + A$ (because w is fixed); a similar argument holds in the reverse direction, because of the fact that w is fixed.

□

Problem 3

Let A and B be subsets of \mathbb{R}^n with $A \subseteq B$.

- (a) Prove that $\text{int } A \subseteq \text{int } B$.
(b) Is it necessarily true that $\text{bd } A \subseteq \text{bd } B$?

Proof. (a) Let $u \in \text{int } A$ be arbitrary. Then for some $r > 0$ there exists an open ball such that $u \in B_r(u) \subseteq A$. But $A \subseteq B$, so that in particular $B_r(u) \subseteq B$, meaning that $u \in \text{int } B$, which implies that $\text{int } A \subseteq \text{int } B$.

- (b) No. Consider two concentric closed circles in \mathbb{R}^2 ; the intersection of the boundaries is empty, so neither can be contained in the other.

□

Problem 4

For a subset A in \mathbb{R}^n , the *closure* of A , denoted by $\text{cl } A$, is defined by

$$\text{cl } A = \text{int } A \cup \text{bd } A$$

Prove that $A \subseteq \text{cl } A$ and that $A = \text{cl } A$ if and only if A is closed in \mathbb{R}^n .

Proof. Let $u \in A$ be arbitrary. Consider an open ball of radius $r > 0$ centered at u . There are two cases: (1) $B_r(u) \subseteq A$ or (2) $B_r(u)$ is not contained in A . For (1), it follows that $u \in \text{int } A$, so that in particular $u \in \text{int } A \cup \text{bd } A$. For (2), it follows that $B_r(u)$ contains a point in A (namely u) and a point not in A , so that $u \in \text{bd } A$ and in particular $u \in \text{int } A \cup \text{bd } A$. Thus, in either case, $u \in \text{cl } A$, so that $A \subseteq \text{cl } A$.

Suppose that $A = \text{cl } A$. In particular, $\text{bd } A \subseteq A$, so that A is closed in \mathbb{R}^n .

Suppose that A is closed in \mathbb{R}^n . We must show $\text{bd } A \subseteq A$. Let $u \in \text{bd } A$. Then there exists a sequence in A such that $\{u_k\} \in B_{1/k}(u)$, which clearly converges to $u \in A$ because A is closed.

□

Problem 5

Fix a point V in \mathbb{R}^n and define the function $f: \mathbb{R}^n \rightarrow \mathbb{R}$ by

$$f(u) = \langle u, v \rangle \quad \text{for } u \in \mathbb{R}^n$$

Prove that the function $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is continuous.

Proof. The projection functions p_i are continuous, so that in particular $p_i(u)$ and $p_i(v)$ are continuous for $i \in \{1, \dots, n\}$. Use this fact to rewrite f as:

$$\begin{aligned} f(u) &= \langle u, v \rangle = \langle (p_1(u), \dots, p_n(u)), (p_1(v), \dots, p_n(v)) \rangle \\ &= p_1(u)p_1(v) + \dots + p_n(u)p_n(v) \end{aligned}$$

By the continuity of sums and products of continuous functions, the final result is continuous; thus f is continuous.

□

Problem 6

Suppose that the function $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is continuous and that $f(u) > 0$ if the point u in \mathbb{R}^n has at least one rational component. Prove that $f(u) \geq 0$ for all points u in \mathbb{R}^n .

Proof. For every $u \in \mathbb{R}^n$ with a rational component, we have that $f(u) > 0$. In particular, let $u = (u_1, \dots, u_n) \in \mathbb{R}^n$. Let $\varepsilon > 0$ be arbitrary. Then, because f is continuous, there exists a $\delta > 0$ such that $\|u - v\| < \delta \implies \|f(u) - f(v)\| < \varepsilon$. By the density of \mathbb{Q} in \mathbb{R} , it follows that there exists v_1 such that $u_1 < v_1 < u_1 + \delta$,

where $0 < v_1 - u_1 < \delta$. Then, constructing v to be the same as u except for the first component, we have that:

$$\|u - v\| = \sqrt{(u - v_1)^2 + \cdots + (u - v_n)^2} = \sqrt{(u - v_1)^2 + \cdots + 0} = \|u - v_1\| < \delta$$

Putting this together yields:

$$f(u) - f(v) < \varepsilon \implies f(u) > f(v) - \textit{epsilon} \implies f(u) \geq 0$$

□