# **Scott Emmons**

San Francisco, CA www.scottemmons.com

### **Current Position**

Google DeepMind,

June 2024 - Present

Research Scientist.
AI Safety and Alignment.

### Education

UC Berkeley EECS,

2019 - 2024 (Expected)

PhD in Artificial Intelligence. Advised by Stuart Russell.

University of North Carolina at Chapel Hill,

2015 - 2019

BS, Mathematics and BA, Computer Science. Highest Honors for Thesis in Mathematics.

### Honors & Awards

**Department of Energy Computational Science Graduate Fellowship (**\$300,000) 2019 - 2023 Supports 4 years of graduate study for 20 U.S. students / year researching high-performance computing.

### Churchill Scholarship (declined)

2019

Awarded to 18 U.S. students / year to study for a master's degree at the University of Cambridge.

### Robertson Scholars Leadership Program (\$250,000)

2015 - 2019

Highly selective undergraduate merit scholarship providing dual citizenship at UNC and Duke.

#### Goldwater Scholarship (\$15,000)

2017 - 2019

Awarded to 300 U.S. students / year for natural sciences, mathematics, and engineering research.

#### **Archibald Henderson Medal**

2019

A gold medal, UNC's top undergraduate mathematics prize, given to 1 student / year.

# **Preprints**

- 17. Rylan Schaeffer, Dan Valentine, Luke Bailey, James Chua, Cristóbal Eyzaguirre, Zane Durante, Joe Benton, Brando Miranda, Henry Sleight, John Hughes, Rajashree Agrawal, Mrinank Sharma, **Scott Emmons**, Sanmi Koyejo, Ethan Perez. "When Do Universal Image Jailbreaks Transfer Between Vision-Language Models?" arXiv, 2024.
- 16. Edmund Mills, Shiye Su, Stuart Russell, **Scott Emmons**. "ALMANACS: A Simulatability Benchmark for Language Model Explainability." arXiv, 2023.

### **Publications**

- Leon Lang, Davis Foote, Stuart Russell, Anca Dragan, Erik Jenner, Scott Emmons.
   "When Your AIs Deceive You: Challenges of Partial Observability in Reinforcement Learning from Human Feedback." Neural Information Processing Systems (NeurIPS), 2024.
- 14. Alexandra Souly\*, Qingyuan Lu\*, Dillon Bowen\*, Tu Trinh<sup>†</sup>, Elvis Hsieh<sup>†</sup>, Sana Pandey, Pieter Abbeel, Justin Svegliato, **Scott Emmons**<sup>‡</sup>, Olivia Watkins<sup>‡</sup>, Sam Toyer<sup>‡</sup>. "A StrongREJECT for Empty Jailbreaks." Neural Information Processing Systems (NeurIPS), 2024.
- 13. Erik Jenner, Shreyas Kapur, Vasil Georgiev, Cameron Allen, **Scott Emmons**, Stuart Russell. "Evidence of Learned Look-Ahead in a Chess-Playing Neural Network." *Neural Information Processing Systems (NeurIPS)*, 2024.
- 12. Luke Bailey\*, Euan Ong\*, Stuart Russell, **Scott Emmons**. "Image Hijacks: Adversarial Images can Control Generative Models at Runtime." *International Conference on Machine Learning* (ICML), 2024.
- 11. Alexander Pan\*, Jun Shern Chan\*, Andy Zou\*, Nathaniel Li, Steven Basart, Thomas Woodside, Hanlin Zhang, Scott Emmons, Dan Hendrycks. "Do the Rewards Justify the Means? Measuring Trade-Offs Between Rewards and Ethical Behavior in the Machiavelli Benchmark." International Conference on Machine Learning (ICML), 2023.
- 10. **Scott Emmons**, Caspar Oesterheld, Andrew Critch, Vincent Conitzer, Stuart Russell. "For Learning in Symmetric Teams, Local Optima are Global Nash Equilibria." *International Conference on Machine Learning (ICML)*, 2022.
- 9. **Scott Emmons**, Benjamin Eysenbach, Ilya Kostrikov, Sergey Levine. "RvS: What is Essential for Offline RL via Supervised Learning?" *International Conference on Learning Representations* (ICLR), 2022.
- 8. Xin Chen\*, Sam Toyer\*, Cody Wild\*, **Scott Emmons**, Ian Fischer, Kuang-Huei Lee, Neel Alex, Steven H. Wang, Ping Luo, Stuart Russell, Pieter Abbeel, Rohin Shah. "An Empirical Investigation of Representation Learning for Imitation." *Neural Information Processing Systems (NeurIPS)*, 2021.
- 7. **Scott Emmons**\*, Ajay Jain\*, Michael Laskin\*, Thanard Kurutach, Pieter Abbeel, Deepak Pathak. "Sparse Graphical Memory for Robust Planning." *Neural Information Processing Systems* (NeurIPS), 2020.
- Eun Lee, Scott Emmons, Ryan Gibson, James Moody, Peter J. Mucha. "Concurrency and Reachability in Treelike Temporal Networks." Physical Review E, 2019.
- 5. **Scott Emmons**, Peter J. Mucha. "Map Equation with Metadata: Varying the Role of Attributes in Community Detection." *Physical Review E*, 2019.
- 4. Kris Hauser, **Scott Emmons**. "Global Redundancy Resolution via Continuous Pseudoinversion of the Forward Kinematic Map." *IEEE Transactions on Automation Science and Engineering*, 2018.
- 3. **Scott Emmons**, Robert Light, Katy Börner. "MOOC Visual Analytics: Empowering Students, Teachers, Researchers, and Platform Developers of Massively Open Online Courses." *Journal of the Association for Information Science and Technology (JASIST)*, 2017.
- 2. William H. Weir, **Scott Emmons**, Ryan Gibson, Dane Taylor, Peter J. Mucha. "Post-Processing Partitions to Identify Domains of Modularity Optimization." *Algorithms*, 2017.
- 1. **Scott Emmons**, Stephen Kobourov, Mike Gallant, Katy Börner. "Analysis of Network Clustering Algorithms and Cluster Quality Metrics at Scale." *PLoS ONE*, 2016.

# Software

a. Adam Gleave, Mohammad Taufeeque, Juan Rocamonde, Erik Jenner, Steven H. Wang, Sam Toyer, Maximilian Ernestus, Nora Belrose, **Scott Emmons**, Stuart Russell. "imitation: Clean Imitation Learning Implementations." arXiv, 2022.

# Leadership Experience

# Center for Human-Compatible AI (CHAI), Berkeley, CA

Aug. 2019 - May 2024

PhD Student

- Co-managing CHAI's million-dollar compute budget by purchasing, installing, and maintaining an AI research cluster with 11 nodes, 88 GPUs, and 40 unique users.
- Co-managing CHAI's internship program, scaling it from 7 interns per year to 25 interns per year.

far.ai, Berkeley, CA

Feb. 2022 - July 2023

Cofounder and President

- Built FAR AI, Inc., a 501(c)(3) nonprofit that incubates and scales beneficial AI research agendas.
- Fundraised, recruited, and managed researchers to help define and execute on FAR's mission.

## **Invited Talks**

Foresight Institute's Intelligent Cooperation Group When Your AIs Deceive You: Challenges of Partial Observability in RLHF	August 27, 2024
Center for Human-Compatible AI (Asilomar) When Your AIs Deceive You: Challenges of Partial Observability in RLHF	June 16, 2024
Google DeepMind When Your AIs Deceive You: Challenges of Partial Observability in RLHF	April 18, 2024
Technical AI Safety Conference (Tokyo, Japan) When Your AIs Deceive You: Challenges of Partial Observability in RLHF	April 5, 2024
United Kingdom AI Safety Institute Image Hijacks: Adversarial Images can Control Generative Models at Runtime	September 8, 2023
Department of Energy CSGF Program Review (Washington, D.C.) RvS: What is Essential for Offline RL via Supervised Learning?	July 19, 2023

# Mentorship

Qingyuan Lu (Massachusetts Institute of Technology)

Leon Lang (University of Amsterdam)

Luke Bailey (Harvard University  $\rightarrow$  Stanford University)

Edmund Mills (FAR AI  $\rightarrow$  MultiOn)

Euan Ong (University of Cambridge  $\rightarrow$  Anthropic)

Shiye Su (D. E. Shaw  $\rightarrow$  Stanford University)

Michael Chen (Georgia Institute of Technology  $\rightarrow$  Stripe)

Jiahai Feng (Massachusetts Institute of Technology  $\rightarrow$  UC Berkeley)

Yulong Lin (University of Cambridge  $\rightarrow$  Cohere)

Thomas Woodside (Yale University  $\rightarrow$  Center for AI Safety) Cynthia Chen (The University of Hong Kong  $\rightarrow$  ETH Zurich)

### Outreach

Mentor for the Tianxia Fellowship, Center for Long Term Priorities, 2020.

# Teaching

### UC Berkeley's CS 188: Introduction to Artificial Intelligence

Graduate student instructor, spring 2022.

## Volunteer Service

#### Shanti Bhavan Children's Project, Tamil Nadu, India

July 2017 - Aug. 2017

Volunteer Teacher

• Taught approximately 80 primary and secondary school students from families who make less than \$2/day in subjects ranging from English literature to physics in preparation for employment and higher education.

#### Sunflower County Freedom Project, Sunflower, MS

May 2016 - July 2016

Volunteer Teacher

• Developed standard-aligned  $8^{\rm th}$ - and  $9^{\rm th}$ -grade math curriculum and taught it to two math classes that saw an average increase in performance of 9% on state standard test.

### Professional Service

### Conference Reviewing:

ICML 2021, 2022, 2024.NeurIPS 2022, 2024.ML Safety Workshop 2022.

# Workshop Organization:

Center for Human-Compatible AI workshop 2024 program committee member.

#### PhD Fellowship Program Reviewer:

Future of Life Institute, Vitalik Buterin PhD Fellowship in AI Existential Safety, 2021.

### Graduate Admissions Reviewer:

UC Berkeley EECS PhD application reviewer for incoming classes of 2021, 2022, 2023.