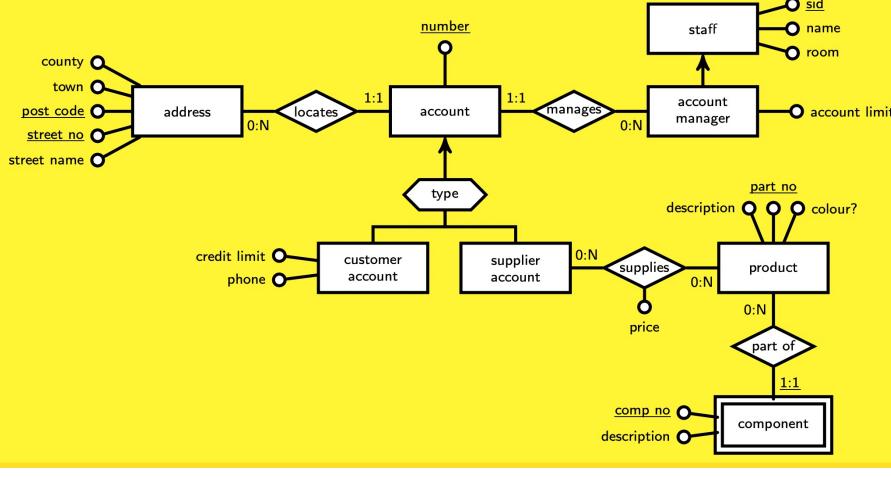


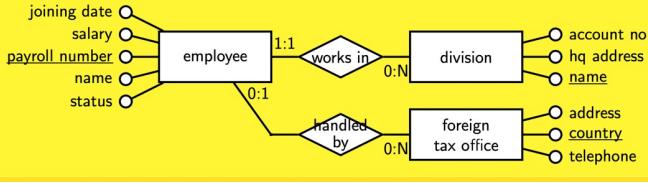
$\pi_{cname, type} account$	$\pi_{type} account$	$\pi_{cname, type} account \div \pi_{type} account$	$\pi$
'McBrien, P.' 'current'	type	cname 'McBrien, P.'	$\sigma$
'McBrien, P.' 'deposit'	'deposit'	'Poulovassilis, A.'	$R_1 \times R_2$ FROM $R_1, R_2$ <i>or</i> FROM $R_1$ NATURAL JOIN $R_2$
'Boyd, M.'	'current'		$R_1 \bowtie R_2$ FROM $R_1$ JOIN $R_2$ ON $\theta$
'Poulovassilis, A.'	'current'		$R_1 - R_2$ R <sub>1</sub> EXCEPT R <sub>2</sub>
'Poulovassilis, A.'	'deposit'		$R_1 \cup R_2$ R <sub>1</sub> UNION R <sub>2</sub>
'Bailey, J.'	'current'		$R_1 \cap R_2$ R <sub>1</sub> INTERSECT R <sub>2</sub>
If you omit DISTINCT or ALL, then the defaults are:			
SELECT ALL		$\sigma_{amount > 1000} movement \cup \sigma_{amount < -100} movement$	$\sigma_{amount > 1000} movement$
UNION DISTINCT		big_movement(Mid, No, Amount, Date) :- movement(Mid, No, Amount, Date), Amount > 1000.	big_credit(Mid, No, Amount, Date) :- movement(Mid, No, Amount, Date), Amount > 1000.
EXCEPT DISTINCT		big_movement(Mid, No, Amount, Date) :- movement(Mid, No, Amount, Date), Amount < -100.	
INTERSECT DISTINCT			
Write a query in each of the following languages returning the scheme (name) listing those people that have had at least one child of each gender that appears in the database.			
(a) RA			
$\pi_{father \text{ as } name, gender} \sigma_{IsNotNull(father)} person \div \pi_{gender} person \cup \pi_{mother \text{ as } name, gender} \sigma_{IsNotNull(mother)} person \div \pi_{gender} person$			
all.genders(Name) :- parent(Name, _), ~missing(gender(Name)).	SELECT name AS parent FROM person AS parent WHERE 1=(SELECT COUNT(DISTINCT gender) FROM person WHERE parent.name IN (mother, father))	Write an SQL query returning the scheme {mid} to find movements that have or might have occurred on 5/1/1999.  SELECT mid FROM movement WHERE (tdate='5/1/1999') IS NOT FALSE	SELECT person.name, person.born_in FROM person JOIN person AS mother ON person.mother=mother.name AND person.born_in=mother.born_in
missing(gender>Name) :- parent(_Name, _), person(_Gender, _), ~parent(_Name, Gender).	OR  SELECT mother AS name FROM person AS mother WHERE mother IS NOT NULL AND gender=ALL (SELECT gender FROM person WHERE person.mother=mother.mother)	NB will often need to replace IS NOT FALSE by OR STATE IS NULL  accounts with all movements less than or equal to 500  SELECT no FROM account WHERE 500>=ALL (SELECT amount FROM movement WHERE account.no=movement.no)	SELECT name FROM person AS child WHERE EXISTS (SELECT * FROM person WHERE child.name IN (mother, father))
parent(_Name, Gender) :- person(_Gender, _, _Name, _), isNotNull(_Name).	UNION SELECT father AS name FROM person AS father WHERE father IS NOT NULL AND gender=ALL (SELECT gender FROM person WHERE person.father=father.father)	=  SELECT no FROM account WHERE NOT 500<SOME (SELECT amount FROM movement WHERE account.no=movement.no)	OR  SELECT mother AS name FROM person AS mother WHERE mother IS NOT NULL UNION SELECT father AS name FROM person AS father WHERE father IS NOT NULL
parent(_Name, Gender) :- person(_Gender, _, _Name, _), isNotNull(_Name).			SELECT DISTINCT account.* FROM account JOIN movement USING (no)
List people without a deposit account			
SELECT DISTINCT cname FROM account WHERE cname NOT IN ( SELECT cname FROM account WHERE type='deposit' )	SELECT DISTINCT cname FROM account WHERE NOT EXISTS ( SELECT * FROM account AS deposit_account WHERE type='deposit' AND account.cname=cname )	SELECT aname, no_aircraft , name , 100.0* no_aircraft /SUM( no_aircraft ) OVER ( PARTITION BY hq_in ) AS pc_country , 100.0* no_aircraft /SUM( no_aircraft ) OVER ( PARTITION BY null ) AS pc_world airline JOIN country ON airline.hq_in=country.iso_code	
Write an SQL query returning the scheme (cname) listing customers that have every type of account that appears in account.			
SELECT DISTINCT cname FROM account AS cust_account WHERE NOT EXISTS (SELECT type FROM account EXCEPT SELECT type FROM account WHERE account.cname=cust.account.cname)			
SQL Extensions			
Pattern Matching: WHERE column LIKE pattern ESCAPE escape-char	Modifications: ROUND(n,d), SUBSTRING(str FROM n, FOR n), (must be placed in SELECT clause) UPPER(str), CHAR LENGTH(str), POSITION(char IN str)	$\begin{cases} \text{for single char} \\ [A-C] \text{ for style A to C} \\ [ABC] \text{ for single ABC} \end{cases}$	
Cases: , CASE WHEN ~ THEN ~ ELSE ~ optional	Aggregate: SUM, COUNT, AVG, MIN, MAX excludes NULL COALESCE(a,b,c) goes down list until non-null		
SQL OLAP			
GROUP BY : only one output per group, aggregate functions for non grouped columns. NULL is grouped together			
HAVING: similar to WHERE but for aggregates (SELECT...FROM...WHERE...GROUP BY...HAVING)			
PARTITION: one output per row, $Avg(x) \text{ OVER (PARTITION BY y)}$ ...			
ORDER BY ... DESC : default ascending, RANK() OVER (ORDER BY ...) for numbering.			
SELECT account.cname, COALESCE(SUM(amount) FILTER (WHERE type='current'), 0.0) AS current_balance, COALESCE(SUM(amount) FILTER (WHERE type='deposit'), 0.0) AS deposit_balance FROM account LEFT JOIN movement ON account.no=movement.no GROUP BY account.cname			
joining date payroll number name status	employee 1:1 works in 0:N 0:1 handled by 0:N division foreign tax office	employee payroll_number, name, status, salary, joining_date, country? employee(division_name) $\xrightarrow{fk}$ division(name) employee(country) $\xrightarrow{fk}$ foreign_tax_office(country) division(name, account_no, hq_address) foreign_tax_office(country, address, telephone)	
SELECT no, SUM(amount) AS balance , COUNT(amount) AS no_trans FROM movement GROUP BY no	no balance no_trans 100 2086.78 3 101 5230.00 2 103 145.50 1 107 245.56 2 119 5600.00 1		



Relationships (continued):

- Address(post\_code, street\_no, street\_name, town, county)
- Customer\_account(number, credit\_limit, phone)
- Customer\_account(number)  $\xrightarrow{fk}$  Account(number)
- Supplier\_account(number)
- Supplier\_account(number)  $\xrightarrow{fk}$  Account(number)
- Product(part\_no, description, colour?)
- Component(part\_no, comp\_no, description)
- Component(part\_no)  $\xrightarrow{fk}$  Product(part\_no)
- Supplies(part\_no, number, price)
- Supplies(part\_no)  $\xrightarrow{fk}$  Product(part\_no)
- Supplies(number)  $\xrightarrow{fk}$  Supplier\_account(number)

person(salary\_number)  
person\_phone(salary\_number, phone)  
person\_phone(salary\_number)  $\xrightarrow{fk}$  person(salary\_number)  
person\_car(salary\_number, car)  
person\_car(salary\_number)  $\xrightarrow{fk}$  person(salary\_number)



employee(payroll\_number, name, status, salary, joining\_date, country?)  
employee/division(name)  $\xrightarrow{fk}$  division(name)  
employee/country  $\xrightarrow{fk}$  foreign\_tax\_office(country)

division(name, account\_no, hq\_address)

foreign\_tax\_office(country, address, telephone)

A	Attributes can be placed on relationships
D	Disjointness between sub-classes can be denoted
C	Look-across cardinality constraints
H	hyper-edges ( $n$ -ary relationships) allowed
L	Look-here cardinality constraints
K	Key attributes
M	Mandatory attributes
N	Nested relationships
O	Optional attributes
S	Isa hierarchy between entities
V	Multi-valued attributes
W	Weak entities can be identified

## Decomposition on an FD

If  $R(A_1 \dots A_n)$  has FD  $A_j \rightarrow A_{j+1} \dots A_n$  then decomposing on the FD to  $R_1(A_1 \dots A_j), R_2(A_j A_{j+1} \dots A_n)$  is lossless

## Keys & FDs

Super-key X of R: X determines all other attributes of R

(non-minimal) minimal key X of R: not possible to remove attributes to form another super-key.

Closure S<sup>+</sup>: all FDs that can be inferred from S. S<sup>+</sup> = T if S<sup>+</sup> = T<sup>+</sup>

minimal cover S<sub>c</sub>: S<sub>c</sub><sup>+</sup> = S<sup>+</sup> and not possible to remove another FD

## Normalisation

1st Normal Form (1NF) Every attribute depends on key.

Prime Attributes Attributes A of R that are part of a minimal candidate key X of R

2nd Normal Form (2NF) for every non-trivial FD X  $\rightarrow A$ , either X super-key or A prime

Boyce-Codd Normal Form (BCNF) For every non-trivial FD X  $\rightarrow A$ , X is a super key.

Observe 1. Create minimal cover  
Minimal keys : 2. Find keys where KT = Attr(R)

1. rewrite S to have single attribute on RHS of each FD
2. For each FD X  $\rightarrow A$ , if (X-B)  $\rightarrow B$ , remove B from X
3. For each FD X  $\rightarrow A$ , remove X without X  $\rightarrow A$ . If A  $\subseteq X$ , remove A

Lossless-join decomposition Decompose R into R<sub>1</sub>, ..., R<sub>n</sub> such that Attr(R<sub>i</sub>) U ... U Attr(R<sub>n</sub>) = Attr(R)

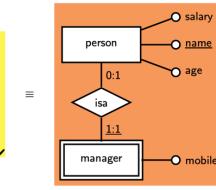
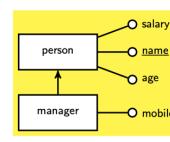
FD-preserving decomposition Lossless decomposition preserves FDs if S<sup>+</sup> = (S<sub>a</sub> U S<sub>b</sub>)<sup>+</sup> may need to add more FDs on top of basic

Generating 3BCNF: (1) Find FD (X  $\rightarrow A$ )  $\subseteq S$  which violates NF (X not superkey and A not prime 3NF BCNF)

(2) Decompose R into R<sub>a</sub> (Attr(R)-A) and R<sub>b</sub>(A) (X  $\subseteq$  R<sub>a</sub> & (X  $\rightarrow$  A)  $\subseteq$  R<sub>b</sub>)

(3) Project S onto new relations and repeat from ①

## EER Weak Entity: Must have a key attribute

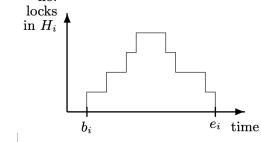


## Weak Entities must have at least one key attribute

- A weak entity with one key relationship and no key attributes is equivalent to a subset
- A weak entity with more than one key relationship and no key attributes is equivalent to a many-many relationship

## Two Phase Locking (2PL)

- 1 read locks  $rl[o], \dots, r[o], \dots, ru[o]$
- 2 write locks  $wl[o], \dots, w[o], \dots, wu[o]$
- 3 Two phases
  - growing phase
  - shrinking phase
- 4 refuse  $rl_i[o]$  if  $wl_i[o]$  already held
- refuse  $wl_i[o]$  if  $rl_j[o]$  or  $wl_j[o]$  already held
- 5  $rl_i[o]$  or  $wl_i[o]$  refused  $\rightarrow$  delay  $T_i$



$c_i$  means either  $c_i$  or  $a_i$  occurring

$op_a \prec op_b$  mean  $op_a$  occurs before  $op_b$  in a history

Anomaly	Set	Pattern	Problem
Dirty Write	DW	$w_1[o] \prec w_2[o] \prec e_1$	Sometimes not SR
Dirty Read	DR	$w_1[o] \prec r_2[o] \prec e_1$	Sometimes not RC
Inconsistent Analysis	IA	$r_1[o_a] \prec w_2[o_a], w_2[o_b] \prec r_1[o_b]$	Not SR
Lost Update	LU	$r_1[o] \prec w_2[o] \prec w_1[o]$	Not SR

## conflict

- $r_x[o]$  and  $w_y[o]$  are in  $H$  where  $x \neq y$  or
- $w_x[o]$  and  $w_y[o]$  are in  $H$  where  $x \neq y$

Only consider pairs where there is no third operation  $rw_z[o]$  between the pair of operations that conflicts with both

## Conflict Equivalence

Two histories  $H_i$  and  $H_j$  are conflict equivalent if:

- Contain the same set of operations
- Order conflicts (of non-aborted transactions) in the same way.

## Conflict Serialisable

a history  $H$  is conflict serialisable (CSR) if  $C(H) \equiv_{CE} a$  serial history

## Recoverable execution

A recoverable (RC) history  $H$  has no transaction committing before another transaction from which it read

## Execution avoiding cascading aborts

A history which avoids cascading aborts (ACA) does not read from a non-committed transaction

## Strict execution

A strict (ST) history does not read from a non-committed transaction nor write on a non-committed transaction

$ST \subset ACA \subset RC$

A redundant FD  $X \rightarrow A$  is equivalent to the transitive or pseudotransitive closure of the other FDs

Since  $EG \rightarrow F, F \rightarrow B$

$EG \rightarrow B \Rightarrow \emptyset$

$D^+ = ABCDEFGH$

The only other single attribute on the LHS of an FD is  $F$ , and  $F^+ = BFH$ , so not a candidate key.

Since  $AB \rightarrow D$ ,  $AB$  must also be a candidate key.

Since  $F \rightarrow B$ ,  $AB$  must also be a candidate key.

Since  $EF \rightarrow A, F \rightarrow B$ , then so must  $EF$  be a candidate key.

Since  $EG \rightarrow F$ , then so must  $EG$  be a candidate key.