



Recursion




recursion

- A recursive definition is one which is defined in terms of itself.




Example 1

- factorial function:
- $n! = 1$ if $(n = 0)$ anchor (base case)
- $= n * (n-1)!$ if $(n > 0)$ (recurrence)



```
unsigned int factorial(unsigned int)
{
    if (n == 0)
        return 1;
    else
        return n*factorial(n-1);
}
```



- $5! = 5 \cdot 4!$

- $= 5 \cdot 4 \cdot 3!$

- \dots

- $= 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 \cdot 1$

- The repetitive steps eventually lead to the anchor (base case), the last step of recursive calls.



Example 2

- A phrase is a “palindrome” if the first and last letters are the same, and what’s inside is itself a palindrome (or empty)
- A phrase is a “palindrome” if it reads the same backwards as forwards (non-recursive definition)



Palindrome

- How do we write a function that reflects the recursive definition?

```
bool palindrome(const vector<char> w)
{
    return palindrome(w, 0, w.size() - 1);
}
```

```
bool palindrome(const vector<char> w, int left, int right)
{
    //what goes here?
}
```



solution

```
bool palindrome(const vector<char> w)
{
    return palindrome(w, 0, w.size() - 1);
}
```

```
bool palindrome(const vector<char> w, int left, int right)
{
    if (left >= right) return true;
    else if (w[left] != w[right]) return false;
    else return palindrome(w, left + 1, right - 1);
}
```




What happened when the function is called?

- What information should be preserved when a function is called?



Calling function/Entering block

- Whenever a function is called (or `{..}` block is entered), a new “**activation record**” is created, containing:
 - A separate copy of all local variables and parameters
 - Control information such as where to return to
- The activation record is pushed onto the runtime stack when it is created



Activation record

1. Values for **all parameters** to the function, location of the 1st cell if an array is passed or a variable is passed by reference, and copies of all other data items.
2. **Local variables** that can be stored elsewhere, in which case, the activation record contains only their descriptors and pointers to the locations.
3. **Return address** to resume control by the caller (the address of the caller's instruction immediately after the call).
4. Dynamic link, a pointer to the caller's activation record.
5. **Returned value** of the function is not declared as "void".



Function returns/Block exits

- Activation record is alive until the function returns (or block exits)
- When a function returns/block exits, its activation record is removed (pop off) from the runtime stack.



The points reiterated ...

- Every time you call a function, you get a fresh copy of activation record
- If you call it recursively, you end up with more than one copy of the function active.
- When you exit a function, only that copy of it goes away.

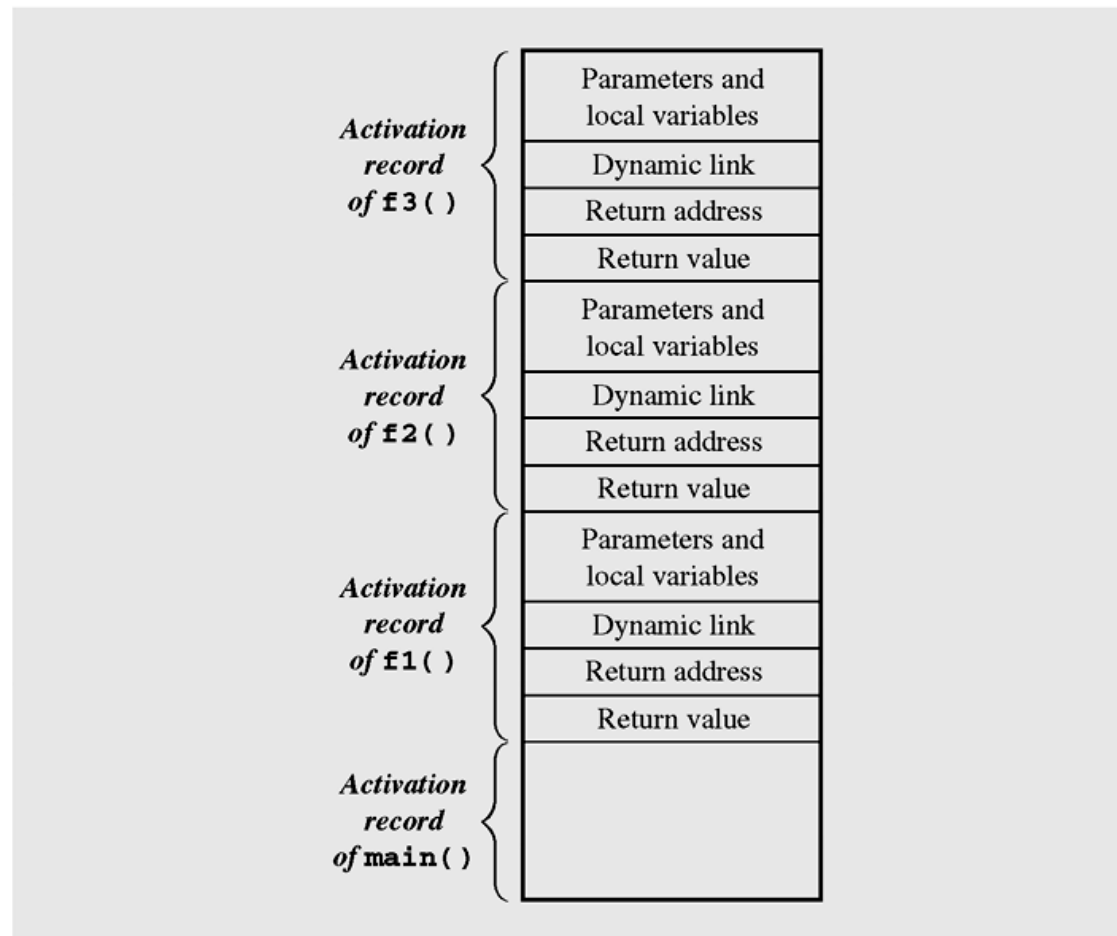


Tracing function calls

- Create an activation record for each function call (activation), including local variables, parameters, return value, and return address. Push it onto the runtime stack.
- Pop off the activation record when function returns.

The stack of activation records

FIGURE 5.1 Contents of the run-time stack when `main()` calls function `f1()`, `f1()` calls `f2()`, and `f2()` calls `f3()`.





Infinite Recursion

- What will be the output of the following program?

```
int what(int n)
{
    return n * what(n-1);
}
```

```
int main()
{
    cout << what(3);
}
```




Infinite Recursion

- Must always have some way to make recursion to stop
- Otherwise it runs forever
 - Is the second bullet really accurate?



Using Recursion Properly

- For recursion to work properly, it needs two parts:
 - One or more base cases that are not recursive
 - One or more recursive cases that operate on smaller problems that get closer to a base case.
- The base case(s) should always be checked before the recursive calls .



Example 3

- Binary Search (Recursive Implementation)



Linear Search

- Given an array a of n integers, search for an element with value x .

```
int find(int a[], int n, int x)
{
    for(int i = 0; i < n; i++)
        if (a[i] == x)
            return i;

    return -1;
}
```

- How efficient does it run?



Binary Search

- If array is sorted, we can search faster
 - Start search in middle of array
 - If x is right there in the middle, done.
 - If x is less than middle element, need to search only in lower half
 - If x is greater than middle element, need to search only in upper half
- How efficient does it run? (Will prove it later.)

Binary Search

Find 26 in the following sorted array:


1 3 4 7 9 11 15 19 22 24 26 31 35 50 61

22 24 26 31 35 50 61

22 24 26

1

26




Binary Search (with helper function)

```
int find(int a[], int n, int x) {  
    return findInRange(a, x, 0, n-1);  
}  
  
int findInRange(int a[], int x, int lo, int hi) {  
    if (lo > hi) return -1;  
    int mid = (lo+hi)/2;  
    if (x == a[mid]) return mid;  
    else if (x < a[mid])  
        return findInRange(a, x, lo, mid-1);  
    else return findInRange(a, x, mid+1, hi);  
}
```



Kick-off and Helper Function

- Top-level “Kick-off” function
 - Not itself recursive
 - Calls the recursive helper function
 - Returns the ultimate answer
- Helper function
 - Contains the actual recursion
 - May require additional parameters to keep track of the recursion
- Client programs only need to call the kick-off function.



Recursion is not always good -- Excessive recursion

■ Fibnocci:

- $\text{Fib}(n) = n$ if $n < 2$
- $= \text{Fib}(n-2) + \text{Fib}(n-1)$ otherwise
- Bad example to use recursion due to low efficiency.
 - 25 times to find the 7th Fibnocci number
 - 1 quarter of a million calls to find the 26th Fibnocci number;
 - 3 million calls to find 31st
 - WHY?
 - No reuse of previous results.
 - Iterative algorithm is much better in this case.



Recursion vs. Iteration

- When to use recursion? – logical simplicity and readability
 - Processing recursive data structures
 - Array, linked list, trees, etc
 - Solving recursively defined problem
 - Palindrome, factorial, etc
 - Divide and Conquer algorithm
- When to use iteration instead?
 - When no obvious recursive solution exists
 - When iterative is a lot more efficient.



Recursion vs. Iteration

- In theory, any iteration can be written using recursion, and vice-versa
- Iteration is generally more efficient
 - Somewhat faster
 - Take less memory
- Recursion is generally more elegant



Pitfalls

- It is **normally** wrong to use loop (while, for statements) to control the recursion
- It is **normally** wrong to use static variables to control the recursion



Writing Recursion Function

- The General Strategy of Writing Recursive Functions
 - Decide if the base (stopping) case(s) is reached
 - If reached, solve it and you are done.
 - Otherwise, break the problem into smaller ones and solve them **recursively**, and then combine the solutions into the solution to the original problem.




Exercises

- Complete the following functions using **both iteration and recursion**:
 - `int max(int *a, const int size)`: returns the index of the maximum integers in array a. size is the number of elements in the array.
 - `int sum(int *a, const int size)`: returns the sum of elements in array a.
 - `int search(int *a, const int size, int key)` returns the index of the key if found, else returns -1.



Exercise

- Iteration and Recursion:
- `int max(int *a, const int size)`
- {
- }



Pseudocode for recursive version of max()

- If there is single element, return it.
- Else return the maximum of following two:
 - a) Last Element
 - b) Value returned by recursive call for $n-1$ elements.



Solution:

```
int max(int *a, const int size)
{
    if (size == 1) return a[0];
    int sub_max = max(a+1, size-1);
    if (a[0] > sub_max)
        return a[0];
    else
        return sub_max;
}
```



Complexity Analysis of Recursive Binary Search (using a version w/o kick-off/helper)

```
int bfind(int x, int a[], int n)
{
    m = n / 2;
    if (n <= 1) return -1;
    if (x == a[m]) return m;
    if (x < a[m])
        return bfind(x, a, m);
    else
        return bfind(x, &a[m+1], n-m-1);
}
```

- Best case? 1
- Worst Case? $\log(n)$, see next slides.
- Average Case? $\log(n)$. Analysis is not required. See details in the textbook.



Binary Search Analysis (using recurrent relation)

- One sub-problem, half as large
- Equation: **(recurrence relation)**
 - $T(1) \leq b$ b is a constant
 - $T(n) \leq T(n/2) + c$ for $n > 1$

Solving Recursive Equations by Telescoping

$$T(n) = T(n/2) + c$$

initial equation

$$T(n/2) = T(n/4) + c$$

so this holds

$$T(n/4) = T(n/8) + c$$

and this ...

$$T(n/8) = T(n/16) + c$$

and this ...

...

$$T(4) = T(2) + c$$

eventually ...

$$T(2) = T(1) + c$$

and this ...

$$T(n) = T(1) + c \log n$$

sum equations, canceling the
terms appearing on both sides

$$T(n) = \theta(\log n)$$

($T(n) = O(\log n)$, θ means tight bound, i.e. both upper and lower bound.)

(Optional) Solving Recursive Equations by **Repeated Substitution**

$$\begin{aligned}T(n) &= T(n/2) + c \\&= T(n/4) + c + c \\&= T(n/8) + c + c + c \\&= T(n/2^3) + 3c \\&= \dots \\&= T(n/2^k) + kc\end{aligned}$$

substitute for $T(n/2)$


substitute for $T(n/4)$

in more compact form

“inductive leap”

$$\begin{aligned}T(n) &= T(n/2^{\log n}) + c \log n \\&= T(n/n) + c \log n \\&= T(1) + c \log n = b + c \log n = \theta(\log n)\end{aligned}$$

“ $k = \log n$: the steps that h ”



Optional --- Revisit: Max Subsequence Sum

- Divide and conquer solution ... $O(n \log n)$.



Algorithm 3: Divide and Conquer

- Divide Part:

- Split the problem into two roughly equal subproblems, which are then solved recursively.

- Conquer Part:

- Patching together the two solutions of the subproblems with small amount of additional work.



Algorithm 3: Divide and Conquer

- Maximum subsequence sum problem
 - Divide the number sequence into two equal parts
 - The maximum subsequence sum can be found in one of three places:
 - Entirely in the left half of the input
 - Entirely in the right half of the input
 - Crosses the middle and in both halves



Algorithm 3: Divide and Conquer

- In first half: 6

First Half				Second Half			
4	-3	5	-2	-1	2	6	-2



Algorithm 3: Divide and Conquer

- An $O(N \log N)$ algorithm



Kick-off function

```
■ int maxSubSum3(const vector<int> &a)
■ {
■     return maxSumRec(a, 0, a.size()-1);
■ }
```



```
int maxSumRec(const vector<int> &a, int left, int right)
```

```
{
    if (left == right)
        if (a[left] > 0)
            return a[left];
        else
            return 0;
    int center = (left + right)/2;
    int maxLeftSum = maxSumRec(a, left, center);
    int maxRightSum = maxSumRec(a, center+1, right);

    int maxLeftBorderSum = 0, leftBorderSum = 0;
    for (int i = center; i <= left; i--)
    {
        leftBorderSum += a[i];
        if (leftBorderSum > maxLeftBorderSum)
            maxLeftBorderSum = leftBorderSum;
    }

    int maxRightBorderSum = 0, rightBorderSum = 0;
    for (int j = center + 1; j <= right; j++)
    {
        rightBorderSum += a[j];
        if (rightBorderSum > maxRightBorderSum)
            maxRightBorderSum = rightBorderSum;
    }

    return max3(maxLeftSum, maxRightSum, maxLeftBorderSum + maxRightBorderSum);
}
```



Total time

- $T(1)=1$
- $T(N) = 2T(N/2) + O(N)$