



UBS Financial Services Inc.
PO BOX 744
Union City, NJ 07087

ubs.com/fs

Request

YUQING XU TTEE MIN ZHU TTEE
THE ZHU-XU 2006
CHARITABLE REMAINDER UNITRUST
12167 KATE DR.
LOS ALTOS CA 94022-5150

June 23, 2017

Your response required: Confirmation of tax disclosure and/or exchange control obligations

As you are likely aware, there has been increased attention from regulatory authorities across global markets with respect to compliance with tax obligations. The legal and regulatory environment for international banking has evolved, and the automatic exchange of tax-relevant client information among countries is swiftly becoming the new global standard.

Our Assurance

With our request to you for confirmation of tax compliance, we want to assure you that this information is intended to be used for **internal purposes only**. UBS's obligations to protect the confidentiality of the personal information you provide to us is mandated by various U.S. federal and state laws and regulations, and described in further detail in our Account Agreements and the Client Privacy Notice. UBS considers its client information as an asset that must be safeguarded to help protect our mutual business interests, and the information you provide to UBS will be handled with integrity and discretion.

What this request means for you

In this context, we request that you provide us with confirmation of tax reporting and/or exchange control obligations, as described further below. UBS is requesting this additional confirmation from beneficial owners¹ of entity clients, such as trusts, foundations and non-operating entities, in certain situations where those beneficial owners reside in countries outside of the United States. Please note that UBS may require supplemental confirmation in the future based on factors including changes to the tax and/or exchange control rules, or other regulations in the beneficial owner's country of residence.

Our request

Please ensure that the enclosed confirmation form is signed by one of the following: a licensed or registered tax advisor, legal, accounting or audit services provider² on behalf of each beneficial owner indicated. Then please return the completed, signed form to us by September 1, 2017 through any of the following:

- By mail: Please use the enclosed return envelope.
- By e-mail or fax: Please contact your Advisor for details.

¹ The term beneficial owner may include, depending on the client relationship, the founder, settlor and/or individual who funded the entity, trust or foundation.

² UBS may require additional information in order to accept the confirmation form once submitted. Generally, forms signed by a tax or legal professional associated with a global firm or registered in the beneficial owner's country of residence will be accepted.

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The beneficial ownership information reflected in UBS's records is already indicated on the form, along with the beneficial owner's country of residence. If the beneficial owner information is **not** accurate or is incomplete, please update the information accordingly, have all appropriate parties sign, and return the form to us.

This form will serve as confirmation for accounts held at UBS Financial Services Inc. and at UBS AG, New York Branch and UBS AG, Miami Branch.

It is important to us that we are able to continue serving your wealth management needs, therefore, we ask that you please return the form to us as requested.

Questions

Please contact your Advisor with questions related to this request.

We are grateful for your trust in our relationship and in UBS. Rest assured we continue to honor that trust.

We thank you in advance for your response.

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Confirmation of tax disclosure - trust, foundation, non-operating entity

Client : YUQING XU TTEE MIN ZHU TTEE THE ZHU-XU 2006 CHARITABLE REMAINDER UNITRUST

This Confirmation relates to, and is limited to the account(s) held at UBS Financial Services Inc. (UBS FS), and UBS AG, New York Branch and UBS AG, Miami Branch (UBS AG), (collectively UBS) by the Client/contracting party (the Structure) in the above-referenced account name (Account).

Confirmation of tax disclosure

Based on the information and documents available to me/us and as provided by the Beneficial Owner for whom I/we sign below ("Beneficial Owner"), I/we confirm that (please place a check mark next to the appropriate answer):

☐ the capital income and/or other income generated from the Account, as applicable are attributable to the Beneficial Owner and have been properly declared by the Beneficial Owner to the competent tax authorities in his/her country of residence, in accordance with applicable local regulations. Furthermore, I/we have no indications that any disclosure obligations (if there are any) in the country of the Beneficial Owner were not met with respect to the Beneficial Owner and/or the Structure and/or shareholding in the Structure, in accordance with the applicable local regulations.

Or

☒ the Structure is recognized for tax purposes in the country of residence of the Beneficial Owner. For this reason and in accordance with the applicable local regulations, the Beneficial Owner has no tax payment obligations in his/her country of residence with respect to the capital income and /or other income generated from the Account and/or the assets in the Account. Furthermore, I/we have no indications that the tax payment and/or disclosure obligations were not met with respect to the Beneficial Owner and/or the Structure and/or shareholding in the Structure, in accordance with the applicable regulations.

Or

☐ the Structure was established and/or the Account was opened during the current tax assessment period.* For this reason, the Structure and/or the Account, and/or the capital income and/or other income generated from the Account, and/or the assets held in the Account, as applicable, have not yet been disclosed to the competent tax authorities. Moreover, I/we confirm that I/we have no indications that the Beneficial Owner did not fulfill his/her tax payment and/or disclosure obligations in the country of his/her residence related to the assets transferred into the Structure.

Confirmation of exchange control obligations if applicable

Based on the information and documents available to me/us and as provided by the Beneficial Owner, I/we confirm that the Beneficial Owner reported the Account and/or the assets booked to the Account to the appropriate regulatory authority in his/her country of residence, if required, in accordance with applicable exchange control regulations.

*Please note that if the Structure was established and/or the Account was opened during the current tax assessment period and therefore did not require disclosure and/or tax payment, OR if relevant tax regulations change requiring attribution of income generated from the Account to the Beneficial Owner, UBS may require additional confirmation in the future.

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Beneficial Owner

Name	Account Role	Home-Legal Country	Tax Advisor Signature Required
MIN ZHU	GRANTOR	CHINA PEOPLE'S REPUBLIC	Yes

Tax/Legal counsel's information

SUNKING CAPITAL

Company

FU

Last name

JUN QI

First name

LEGAL PROFESSIONAL QUALIFICATION CERTIFICATE

Relevant licenses/certifications/designations/qualifications

Yuhang Street

Street/No.

Additional address details

Hangzhou

Postal Code/City

CHINA

Country

+86 18868840727

Phone

Hangzhou

Place

22.12.2017

Date(dd.mm.yyyy)

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Signature of tax/legal counsel



Beneficial Owner

Name	Account Role	Home-Legal Country	Tax Advisor Signature Required
YUQING XU	GRANTOR	UNITED STATES	No

