



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT

April 15, 2017

ACCOUNT HOUSE

1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/05/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-6,000.00	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	2,970.00	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	5,940.00	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-3,000.00	0.00	0.00	0.00	100.0	0.00
03/29/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	64,358.81	0.00	0.00	0.00	100.0	0.00
03/29/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-65,008.90	0.00	0.00	0.00	100.0	0.00
03/31/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	15,840.00	0.00	0.00	0.00	100.0	0.00
03/31/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-16,000.00	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	6,943.95	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	54,459.29	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-55,009.38	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-14,001.91	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-7,014.09	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	13,861.89	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	9,923.87	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-10,024.11	0.00	0.00	0.00	100.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-1,760.58	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/03/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
03/28/2017	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
04/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
04/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
04/03/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
04/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
03/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
04/03/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
04/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
04/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
04/03/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
04/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
03/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
03/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
04/03/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
04/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
03/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
03/29/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	1,342.05	0.00	0.00	0.00	100.0	0.00
03/29/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,380.00	0.00	0.00	0.00	100.0	0.00
03/31/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	-6,615.00	22.00	13.00	9.00	100.0	9.00
03/31/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	6,615.00	-22.00	-13.00	-9.00	100.0	-9.00
03/31/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	-6,615.02	0.00	13.00	-13.00	100.0	-13.00
04/03/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
04/03/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
04/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
04/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
03/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
04/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
04/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
04/03/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	100.00	3.50	0.00	3.50	100.0	3.50
04/10/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	25,634.13	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-26,983.30	0.00	0.00	0.00	100.0	0.00
03/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.47	0.00	7.47	100.0	7.47
03/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	32.47	0.00	32.47	100.0	32.47
04/03/2017	CONCORDE, VARIOUS	HARTFORD DIVIDEND & GROWTH C	4,941.85	0.00	0.00	0.00	100.0	0.00
04/03/2017	CONCORDE, VARIOUS	HARTFORD DIVIDEND & GROWTH C	-4,991.77	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	25.75	0.00	25.75	100.0	25.75
04/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-450.00	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	445.50	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-4,454.11	\$201.07	\$13.00	\$188.07		\$188.07
<u>PRODUCT CATEGORY: STOCKS</u>								
03/31/2017	CONCORDE, VARIOUS	APTEVO THERAPEUTICS INC COM	-824.40	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	APTEVO THERAPEUTICS INC COM	-824.40	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	APTEVO THERAPEUTICS INC COM	-2,472.12	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	APTEVO THERAPEUTICS INC COM	4,121.00	0.00	0.00	0.00	100.0	0.00
03/31/2017	CONCORDE, VARIOUS	CERECOR INC COM	2,720.00	0.00	0.00	0.00	100.0	0.00
03/31/2017	CONCORDE, VARIOUS	CERECOR INC COM	-40.30	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	CERECOR INC COM	-2,040.00	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	CERECOR INC COM	-639.88	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,278.10	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,278.70	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,278.70	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-21,708.12	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,555.00	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	28,098.60	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	CRESTWOOD EQUITY PARTNERS LP UNIT L	-701.03	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	CRESTWOOD EQUITY PARTNERS LP UNIT L	-754.96	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	CRESTWOOD EQUITY PARTNERS LP UNIT L	1,455.99	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	3,674.06	0.00	0.00	0.00	100.0	0.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-219.33	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-438.66	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-869.32	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-217.33	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-627.36	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-217.33	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-217.34	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-216.33	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-434.68	0.00	1.00	-1.00	100.0	-1.00
04/07/2017	CONCORDE, VARIOUS	CROSSROADS CAP INC COM	-216.33	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	FIRST TR EXCHANGE TRADED FD VI MULT	-977.50	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	FIRST TR EXCHANGE TRADED FD VI MULT	-977.50	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	FIRST TR EXCHANGE TRADED FD VI MULT	-1,955.00	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	FIRST TR EXCHANGE TRADED FD VI MULT	-977.50	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	FIRST TR EXCHANGE TRADED FD VI MULT	4,887.50	0.00	0.00	0.00	100.0	0.00
04/03/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-18,930.96	0.00	1.00	-1.00	100.0	-1.00
04/03/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-32,121.97	0.00	1.00	-1.00	100.0	-1.00
04/03/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	51,052.92	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-3,429.55	0.00	1.00	-1.00	100.0	-1.00
03/30/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	2,332.09	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	1,097.46	0.00	0.00	0.00	100.0	0.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-373.14	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-4,146.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-1,699.86	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-2,073.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	-32,904.06	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	KITE RLTY GROUP TR COM NEW	59,853.00	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-975.50	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-975.50	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-975.50	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-6,825.07	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	9,751.60	0.00	0.00	0.00	100.0	0.00
04/10/2017	CONCORDE, VARIOUS	OPKO HEALTH INC	-762.12	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	OPKO HEALTH INC	-3,049.40	0.00	1.00	-1.00	100.0	-1.00
04/10/2017	CONCORDE, VARIOUS	OPKO HEALTH INC	3,811.50	0.00	0.00	0.00	100.0	0.00
03/31/2017	CONCORDE, VARIOUS	POWER SOLUTIONS INTL INC COM NEW	7,876.80	0.00	0.00	0.00	100.0	0.00
03/31/2017	CONCORDE, VARIOUS	POWER SOLUTIONS INTL INC COM NEW	-3,150.00	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	POWER SOLUTIONS INTL INC COM NEW	-1,046.00	0.00	1.00	-1.00	100.0	-1.00
03/31/2017	CONCORDE, VARIOUS	POWER SOLUTIONS INTL INC COM NEW	-3,680.78	0.00	1.00	-1.00	100.0	-1.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	812.58	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-2,383.57	0.00	1.00	-1.00	100.0	-1.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	1,570.99	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-1,969.01	0.00	1.00	-1.00	100.0	-1.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	656.34	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,312.67	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	96,474.96	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-14,427.45	0.00	1.00	-1.00	100.0	-1.00
03/30/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-82,047.51	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	VENTAS INC	-64,378.99	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	VENTAS INC	-6,540.76	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	VENTAS INC	-6,411.24	0.00	1.00	-1.00	100.0	-1.00
04/06/2017	CONCORDE, VARIOUS	VENTAS INC	77,330.96	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-0.14	\$0.00	\$60.00	\$-60.00		\$-60.00

PRODUCT CATEGORY: BONDS

03/07/2017	CONCORDE IS	***SEAGATE HDD CAYMAN SR NT	14,583.75	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	***SEAGATE HDD CAYMAN SR NT	14,733.75	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	BGC PARTNERS INC SR GBLB NT	21,270.00	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	BGC PARTNERS INC SR GBLB NT	21,470.00	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	BLOCK FINL LLC GTD NT 5.500% 11/01/	16,020.75	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	BLOCK FINL LLC GTD NT 5.500% 11/01/	16,170.75	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	HOSPITALITY PTYS TR	15,308.85	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: BONDS</u>								
03/07/2017	CONCORDE IS	HOSPITALITY PPTYS TR	15,458.85	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	9,825.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	10,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	19,650.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	20,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	9,700.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	10,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	19,400.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	20,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	9,700.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	10,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	2,910.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	3,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	9,700.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE IS	HSBC USA INC NEW SR IDX ZRO	10,000.00	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	KEYSIGHT TECHNOLOGIES INC	15,261.90	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	KEYSIGHT TECHNOLOGIES INC	15,411.90	0.00	0.00	0.00	100.0	0.00
04/05/2017	CONCORDE, VARIOUS	NORTHWEST TEX INDPT SCH DIST RFDG 4	-16,137.45	0.00	18.00	-18.00	100.0	-18.00
04/05/2017	CONCORDE, VARIOUS	NORTHWEST TEX INDPT SCH DIST RFDG 4	16,137.45	0.00	15.00	-15.00	100.0	-15.00
03/07/2017	CONCORDE IS	OMEGA HEALTHCARE INVS INC	15,363.30	0.00	0.00	0.00	100.0	0.00
03/07/2017	CONCORDE IS	OMEGA HEALTHCARE INVS INC	15,513.30	0.00	0.00	0.00	100.0	0.00
03/30/2017	CONCORDE, VARIOUS	PROSPECT CAP CORP FIXED RT 7.000% 0	-10,064.10	0.00	15.00	-15.00	100.0	-15.00
03/30/2017	CONCORDE, VARIOUS	PROSPECT CAP CORP FIXED RT 7.000% 0	10,064.10	0.00	18.00	-18.00	100.0	-18.00
* BONDS SUBTOTAL *			\$360,452.10	\$0.00	\$66.00	\$-66.00		\$-66.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	LUND, VARIOUS	ING RELIASTAR	0.00	44.75	0.00	44.75	100.0	44.75
04/07/2017	LUND, VARIOUS	ING RELIASTAR	0.00	280.03	0.00	280.03	100.0	280.03
04/04/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	343,953.68	286.63	0.00	286.63	100.0	286.63
04/04/2017	CICHEWICZ, RICHARD	GENWORTH CHOICE VA	38,878.73	32.40	0.00	32.40	100.0	32.40
04/04/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,213.92	18.51	0.00	18.51	100.0	18.51
04/04/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	145,908.97	121.59	0.00	121.59	100.0	121.59
04/04/2017	GARBOWSKI, CHRISTOPHER	GENWORTH CHOICE VA	30,518.54	25.43	0.00	25.43	100.0	25.43
04/04/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	479.52	0.08	0.00	0.08	100.0	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/04/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	25,454.43	4.24	0.00	4.24	100.0	4.24
03/16/2017	HORIBA, MASATAKE	GENWORTH INS	158,356.18	32.99	0.00	32.99	100.0	32.99
03/29/2017	HORIBA, MASATAKE	GENWORTH INS	18,000.00	180.00	0.00	180.00	100.0	180.00
03/31/2017	SCANE FAMILY TRUST	HARTFORD DIRECTOR OUTLOOK	949.59	2.37	0.00	2.37	100.0	2.37
03/31/2017	LI, YUAN	HARTFORD LEADERS ILA	41,540.12	25.96	0.00	25.96	100.0	25.96
03/31/2017	PIROZZO, KATHLEEN	HARTFORD LEADERS OUTLOOK	31,011.82	77.53	0.00	77.53	100.0	77.53
03/31/2017	PIROZZO, PETER	HARTFORD LEADERS PLUS	142,298.75	88.94	0.00	88.94	100.0	88.94
03/31/2017	WHITE, CATHLEEN	HARTFORD LEADERS PLUS	21,573.68	13.48	0.00	13.48	100.0	13.48
03/31/2017	GULA, LAVON	JNL ELITE ANNUAL RESET INDEX	380,962.52	238.10	0.00	238.10	100.0	238.10
03/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	14,315.44	2.98	0.00	2.98	100.0	2.98
03/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	18,049.16	15.04	0.00	15.04	100.0	15.04
03/28/2017	HALES, WILLIAM	PAC LIFE INNOVATIVE SELECT	0.00	66.79	0.00	66.79	100.0	66.79
03/31/2017	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	59,671.29	37.29	0.00	37.29	100.0	37.29
03/31/2017	LANE, JOAN	PRU AS APEX II	28,439.14	71.10	0.00	71.10	100.0	71.10
03/31/2017	LANE, JOAN	PRU AS APEX II	28,439.14	17.77	0.00	17.77	100.0	17.77
03/31/2017	BOOTS, ROBERTA	PRU AS APEX II	19,890.75	12.43	0.00	12.43	100.0	12.43
03/31/2017	BOOTS, ROBERTA	PRU AS APEX II	19,890.75	37.30	0.00	37.30	100.0	37.30
03/31/2017	DUSSEAU, KEITH	PRU AS APEX II	8,268.89	5.17	0.00	5.17	100.0	5.17
03/31/2017	DUSSEAU, KEITH	PRU AS APEX II	8,268.89	20.67	0.00	20.67	100.0	20.67
03/31/2017	GUINN, MARGARET	PRU AS LIFEVEST II	49,128.03	30.71	0.00	30.71	100.0	30.71
03/31/2017	GUINN, MARGARET	PRU AS LIFEVEST II	49,128.03	92.12	0.00	92.12	100.0	92.12
03/31/2017	HARTONG CR TRUST	PRU AS XTRA CREDIT	68,121.69	42.58	0.00	42.58	100.0	42.58
03/31/2017	GREENE, DAVID	PRU AS XTRA CREDIT	24,072.94	15.05	0.00	15.05	100.0	15.05
03/31/2017	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	14,570.48	9.11	0.00	9.11	100.0	9.11
03/31/2017	MORSE, MARK	PRU AS XTRA CREDIT SIX	22,766.04	14.23	0.00	14.23	100.0	14.23
03/31/2017	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	37,203.22	23.25	0.00	23.25	100.0	23.25
03/31/2017	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	18,908.13	11.82	0.00	11.82	100.0	11.82
03/31/2017	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	162,158.65	101.35	0.00	101.35	100.0	101.35
03/31/2017	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	27,923.48	17.45	0.00	17.45	100.0	17.45
03/31/2017	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	78,216.93	48.89	0.00	48.89	100.0	48.89
03/31/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,320.46	8.30	0.00	8.30	100.0	8.30
03/31/2017	GAVIN, LINDA	PRU PREMIER RETIREMENT L	123,016.76	307.54	0.00	307.54	100.0	307.54
03/31/2017	GAVIN, GARY	PRU PREMIER RETIREMENT L	124,225.69	310.56	0.00	310.56	100.0	310.56

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	REA, DIANA	PRU PREMIER RETIREMENT L	100,552.83	251.38	0.00	251.38	100.0	251.38
03/31/2017	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	119,067.65	297.67	0.00	297.67	100.0	297.67
03/31/2017	SUSSDORFF, VICKI	PRU PREMIER RETIREMENT L	36,180.81	90.45	0.00	90.45	100.0	90.45
03/31/2017	DOLPH, GERALD	PRU PREMIER RETIREMENT X	54,382.25	33.99	0.00	33.99	100.0	33.99
03/31/2017	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	32,515.50	20.32	0.00	20.32	100.0	20.32
03/30/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	746.26	0.15	0.00	0.15	100.0	0.15
03/30/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	9,803.40	2.04	0.00	2.04	100.0	2.04
03/30/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,895.69	1.01	0.00	1.01	100.0	1.01
03/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	96,421.80	80.35	0.00	80.35	100.0	80.35
03/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	111,394.73	92.83	0.00	92.83	100.0	92.83
03/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,598.15	40.50	0.00	40.50	100.0	40.50
03/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	69,544.13	57.95	0.00	57.95	100.0	57.95
03/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,514.73	7.93	0.00	7.93	100.0	7.93
03/28/2017	NIEMI TRUST, HARRY	TRANSAMERICA LIBERTY	43,979.44	36.65	0.00	36.65	100.0	36.65
03/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	76,001.91	63.33	0.00	63.33	100.0	63.33
* VARIABLE ANNUITIES SUBTOTAL *			\$3,223,693.71	\$3,869.08	\$0.00	\$3,869.08		\$3,869.08
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
03/29/2017	ADAMS, JANET	LBL VUL	0.00	0.18	0.00	0.18	100.0	0.18
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$0.18	\$0.00	\$0.18		\$0.18
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
03/30/2017	CONCORDE, VARIOUS	FT UNIT 6543 CAP STRENGTH PORTFOLIO	-30,000.76	0.00	17.50	-17.50	100.0	-17.50
03/30/2017	CONCORDE, VARIOUS	FT UNIT 6543 CAP STRENGTH PORTFOLIO	29,205.74	0.00	17.50	-17.50	100.0	-17.50
03/30/2017	CONCORDE, VARIOUS	FT UNIT 6554 MLP CLOSED END PORT SE	29,214.31	0.00	17.50	-17.50	100.0	-17.50
03/30/2017	CONCORDE, VARIOUS	FT UNIT 6554 MLP CLOSED END PORT SE	-30,009.68	0.00	17.50	-17.50	100.0	-17.50
03/30/2017	CONCORDE, VARIOUS	FT UNIT 6620 GLOBAL EQUITY INCOME C	29,214.50	0.00	17.50	-17.50	100.0	-17.50
03/30/2017	CONCORDE, VARIOUS	FT UNIT 6620 GLOBAL EQUITY INCOME C	-30,009.69	0.00	17.50	-17.50	100.0	-17.50
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-2,385.58	\$0.00	\$105.00	\$-105.00		\$-105.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/24/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	7.99	0.00	7.99	100.0	7.99
03/31/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	8.99	0.00	8.99	100.0	8.99
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$16.98	\$0.00	\$16.98		\$16.98

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/28/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.58	0.00	2.58	100.0	2.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.58	\$0.00	\$2.58		\$2.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	LUND, VARIOUS	ING RELIASTAR	0.00	495.07	0.00	495.07	100.0	495.07
03/31/2017	GLOSS, DEMETRA	JEFFERSON NAT'L TRAILS	0.00	986.98	0.00	986.98	100.0	986.98
03/31/2017	GLOSS, DEMETRA	JEFFERSON NAT'L TRAILS	0.00	35.78	0.00	35.78	100.0	35.78
03/31/2017	SENIORS WELLNESS GRP	JOHN HANCOCK LIFE INS CO	0.00	784.91	0.00	784.91	100.0	784.91
03/31/2017	AVONDO, ROSE	LFG TRAILS	0.00	592.42	0.00	592.42	100.0	592.42
03/27/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
03/28/2017	LIGON, NANCY	ING LANDMARK	0.00	124.57	0.00	124.57	100.0	124.57
03/17/2017	WALSH, JAMES	ING PREMIUM PLUS	0.00	75.13	0.00	75.13	100.0	75.13
03/22/2017	GREGORY, RICHARD	ING PREMIUM PLUS	0.00	13.27	0.00	13.27	100.0	13.27
03/31/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.87	0.00	12.87	100.0	12.87
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,123.25	\$0.00	\$3,123.25		\$3,123.25
*** BROKER TRANSACTIONS TOTAL ***			\$3,575,545.40	\$7,213.14	\$244.00	\$6,969.14		\$6,969.14

SPLIT TRANSACTIONS**BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254**

03/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	10.58	0.00	10.58	100.0	10.58
03/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	4.54	0.00	4.54	100.0	4.54
03/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	4.54	0.00	4.54	100.0	4.54
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$19.66	\$0.00	\$19.66		\$19.66
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$19.66	\$0.00	\$19.66		\$19.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
04/03/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
03/28/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
04/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
04/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
04/03/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
04/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
04/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
03/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
04/03/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
04/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
04/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	4.25	0.00	4.25	100.0	4.25
04/03/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
04/03/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
04/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
03/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
03/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
04/03/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
04/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
03/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
04/03/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
04/03/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
04/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
04/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
03/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
04/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
04/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
04/03/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	100.00	3.50	0.00	3.50	100.0	3.50
 * ACCOUNT HOUSE SUBTOTAL * 			\$3,610.00	\$135.38	\$0.00	\$135.38		\$135.38

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ANTHONY GUGLIELME #8</u>								
03/20/2017	SIKORA, MARK	PRU PREMIER RETIREMENT B	2,000.00	140.00	0.00	140.00	0.0	140.00
		* ANTHONY GUGLIELME SUBTOTAL *	\$2,000.00	\$140.00	\$0.00	\$140.00		\$140.00
<u>BROKER: MATT BRANSTETTER #10</u>								
04/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
04/03/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
		* MATT BRANSTETTER SUBTOTAL *	\$350.00	\$17.50	\$0.00	\$17.50		\$17.50
<u>BROKER: DANIEL WOLF #11</u>								
04/01/2017	SAVELA, RONALD	INVESCO ENERGY FUND A	0.00	0.95	0.00	0.95	0.0	0.95
04/01/2017	MARTIN, RONALD	NW DESTINATION B2 05	17,220.36	32.29	0.00	32.29	0.0	32.29
04/01/2017	CARIGNAN, JAMES	NW DESTINATION B2 05	180,419.00	338.29	0.00	338.29	0.0	338.29
		* DANIEL WOLF SUBTOTAL *	\$197,639.36	\$371.53	\$0.00	\$371.53		\$371.53
<u>BROKER: EDWARD MCMANUS #25</u>								
03/31/2017	GRIBBLE, MARGARET	ALLIANZ VISION BASIC	25,943.42	64.86	0.00	64.86	0.0	64.86
04/01/2017	KINCAID, LINDA	NATIONWIDE MARKETFLEX BB	40,888.95	25.56	0.00	25.56	0.0	25.56
04/03/2017	COLVARD, DAVID	PUTNAM EUROPE EQUITY A	0.00	0.23	0.00	0.23	0.0	0.23
04/03/2017	COLVARD, DAVID	PUTNAM GLOBAL EQUITY A	0.00	0.04	0.00	0.04	0.0	0.04
04/03/2017	COLVARD, DAVID	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.15	0.00	0.15	0.0	0.15
04/03/2017	COLVARD, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	0.40	0.00	0.40	0.0	0.40
		* EDWARD MCMANUS SUBTOTAL *	\$66,832.37	\$91.24	\$0.00	\$91.24		\$91.24
<u>BROKER: ERIC HORNYAK #29</u>								
04/05/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	0.0	10.31
04/05/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
04/05/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	0.0	4.50
04/05/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
04/05/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
04/05/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	0.0	6.26
03/10/2017	SHEREDA, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	1.86	0.00	1.86	0.0	1.86
03/10/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.75	0.00	22.75	0.0	22.75
03/10/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	0.0	0.49
03/10/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.44	0.00	0.44	0.0	0.44
03/10/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	0.0	0.35

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERIC HORNYAK #29 </u>								
03/10/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.81	0.00	3.81	0.0	3.81
03/10/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	0.0	0.57
03/10/2017	SHEREDA, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.80	0.00	2.80	0.0	2.80
03/01/2017	RUTT, EUGENE	AM FUNDS ICA A	11,705.38	22.00	0.00	22.00	0.0	22.00
03/10/2017	RUTT, EUGENE	AM FUNDS ICA A	0.00	0.63	0.00	0.63	0.0	0.63
03/10/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.87	0.00	11.87	0.0	11.87
03/10/2017	PUSHIES, SUE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.52	0.00	2.52	0.0	2.52
03/10/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.15	0.00	1.15	0.0	1.15
03/10/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	21.84	0.00	21.84	0.0	21.84
04/01/2017	CROSSFIRE GROUP Q3AM	INVESCO ENERGY FUND INVESTOR CLASS	0.00	0.23	0.00	0.23	0.0	0.23
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.53	0.00	0.53	0.0	0.53
03/10/2017	DEVILLING, LINDA	JP MORGAN INVESTOR BALANCED A	0.00	2.61	0.00	2.61	0.0	2.61
03/10/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	24.45	0.00	24.45	0.0	24.45
04/04/2017	DEVILLING, CHRISTOPHER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.43	0.00	6.43	0.0	6.43
03/10/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.24	0.00	8.24	0.0	8.24
03/10/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	19.62	0.00	19.62	0.0	19.62
04/04/2017	DEVILLING, CHRISTOPHER	TRANSAMERICA TACTICAL INCOME C	0.00	7.88	0.00	7.88	0.0	7.88
* ERIC HORNYAK SUBTOTAL *			\$13,659.38	\$236.35	\$0.00	\$236.35		\$236.35
<u> BROKER: THOMAS BOAKS #33 </u>								
03/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
04/01/2017	ROSS JT TEN, LAWRENCE	INVESCO US GOVT A	0.00	5.23	0.00	5.23	0.0	5.23
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$5.53	\$0.00	\$5.53		\$5.53
<u> BROKER: BRUCE BAXTER #38 </u>								
03/27/2017	GOLSCH, INVESTMENT LTD	ING ACCESS	0.00	52.96	0.00	52.96	0.0	52.96
04/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	13.30	0.00	13.30	0.0	13.30
04/03/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
04/01/2017	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	38.48	0.00	38.48	0.0	38.48
04/01/2017	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	5.91	0.00	5.91	0.0	5.91
04/01/2017	WARTHMAN, JODY	MFS GROWTH A	0.00	0.75	0.00	0.75	0.0	0.75
04/01/2017	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	4.92	0.00	4.92	0.0	4.92
04/01/2017	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	5.12	0.00	5.12	0.0	5.12
03/31/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,373.00	16.48	0.00	16.48	0.0	16.48

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE BAXTER #38 </u>								
03/31/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,373.00	16.48	0.00	16.48	0.0	16.48
03/31/2017	ZUPP, ELEANOR	PRU AS LIFEVEST II	62,973.46	39.36	0.00	39.36	0.0	39.36
03/31/2017	ZUPP, ELEANOR	PRU AS LIFEVEST II	62,973.46	118.08	0.00	118.08	0.0	118.08
03/31/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	70,945.38	44.34	0.00	44.34	0.0	44.34
03/31/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	70,945.38	44.34	0.00	44.34	0.0	44.34
03/31/2017	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	216,779.64	135.49	0.00	135.49	0.0	135.49
03/31/2017	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	60,610.04	37.88	0.00	37.88	0.0	37.88
03/31/2017	GORDON, DAVID	PRU AS XTRA CREDIT SIX	444,333.75	277.71	0.00	277.71	0.0	277.71
03/30/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,854.42	5.95	0.00	5.95	0.0	5.95
* BRUCE BAXTER SUBTOTAL *			\$1,058,261.53	\$862.55	\$0.00	\$862.55		\$862.55
<u> BROKER: MELANIE DICENSO #43 </u>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.04	0.00	1.04	0.0	1.04
03/20/2017	PETERSON, MARY	FRANKLIN INCOME A	49.95	2.00	0.00	2.00	0.0	2.00
04/03/2017	PETERSON, MARY	FRANKLIN INCOME A	49.95	2.00	0.00	2.00	0.0	2.00
04/03/2017	JOSEPH, CATHERINE	PUTNAM EUROPE EQUITY A	0.00	0.09	0.00	0.09	0.0	0.09
04/03/2017	JOSEPH, CATHERINE	PUTNAM GROWTH OPP A	0.00	0.30	0.00	0.30	0.0	0.30
04/03/2017	JOSEPH, CATHERINE	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.14	0.00	0.14	0.0	0.14
04/03/2017	WRIGHT, ANGELA	PUTNAM MULTI CAP GROWTH A	0.00	18.11	0.00	18.11	0.0	18.11
04/03/2017	JOSEPH, CATHERINE	PUTNAM MULTI CAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
04/03/2017	WRIGHT, ANGELA	PUTNAM MULTI-CAP VALUE A	0.00	3.86	0.00	3.86	0.0	3.86
03/28/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
03/30/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	15,680.59	3.27	0.00	3.27	0.0	3.27
03/20/2017	PETERSON, MARY	TEMPLETON GROWTH A	49.95	2.50	0.00	2.50	0.0	2.50
04/03/2017	PETERSON, MARY	TEMPLETON GROWTH A	49.95	2.50	0.00	2.50	0.0	2.50
* MELANIE DICENSO SUBTOTAL *			\$15,930.39	\$37.87	\$0.00	\$37.87		\$37.87
<u> BROKER: JOHN BEAN #45 </u>								
03/31/2017	ENRIGHT, MYRA	MINNESOTA LIFE MULTIOPTION ADV B	445,514.82	1,113.79	0.00	1,113.79	0.0	1,113.79
04/01/2017	MAST, DEBORAH	NATIONWIDE DESTINATION L2 01	93,191.76	232.98	0.00	232.98	0.0	232.98
* JOHN BEAN SUBTOTAL *			\$538,706.58	\$1,346.77	\$0.00	\$1,346.77		\$1,346.77

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
03/31/2017	PATEL / MANDAKINI P PATEL,	AXA ACCUM 04 ELITE (RO IRA)	292,356.37	913.61	0.00	913.61	0.0	913.61
03/31/2017	BURTON, MIKE	AXA ACCUM 04 ELITE (RO IRA)	53,747.15	167.96	0.00	167.96	0.0	167.96
03/31/2017	BURTON, CATHERINE	AXA ACCUM 04 ELITE (RO IRA)	44,632.54	139.48	0.00	139.48	0.0	139.48
03/31/2017	BRANIK, ROBERT	AXA ACCUM 04 ELITE (RO IRA)	18,279.11	57.12	0.00	57.12	0.0	57.12
03/31/2017	CRAIG, WILMA	AXA ACCUM 04 ELITE (RO IRA)	109,410.13	341.91	0.00	341.91	0.0	341.91
03/31/2017	CLARK, JEAN MARIE	AXA ACCUM 07 ELITE (RO IRA)	11,200.43	28.00	0.00	28.00	0.0	28.00
03/31/2017	MACLAUGHLIN, SUSAN	AXA RETIREMENT CORNERSTONE 13 B	308,774.83	385.97	0.00	385.97	0.0	385.97
04/03/2017	PANTON, GARY	HEALTHCARE TR AMER INC CL A NEW	-51,052.92	30.00	24.43	5.57	0.0	5.57
04/01/2017	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	5.32	0.00	5.32	0.0	5.32
04/01/2017	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	5.52	0.00	5.52	0.0	5.52
04/01/2017	MCCUTCHAN, BARBARA	INVESCO MONEY MARKET C	0.00	1.87	0.00	1.87	0.0	1.87
04/01/2017	MCCUTCHAN, BARBARA	INVESCO SMALL CAP EQUITY FUND	0.00	16.24	0.00	16.24	0.0	16.24
04/01/2017	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	5.29	0.00	5.29	0.0	5.29
03/31/2017	ZAX TRUST	JNL PERSPECTIVE	381.36	0.08	0.00	0.08	0.0	0.08
03/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,293.91	3.46	0.00	3.46	0.0	3.46
03/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	57,778.73	62.59	0.00	62.59	0.0	62.59
03/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	71,889.71	59.91	0.00	59.91	0.0	59.91
03/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	33,679.25	28.07	0.00	28.07	0.0	28.07
03/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	16,035.63	13.36	0.00	13.36	0.0	13.36
04/04/2017	ENGSTROM, NOAH	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	4.18	0.00	4.18	0.0	4.18
 * ROBERT (RUSTY) TWEED SUBTOTAL *			\$975,406.23	\$2,269.94	\$24.43	\$2,245.51		\$2,245.51
<u> BROKER: JIM LUND #60 </u>								
03/31/2017	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	105,853.33	66.16	0.00	66.16	0.0	66.16
03/31/2017	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	89,959.78	56.22	0.00	56.22	0.0	56.22
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.73	0.00	2.73	0.0	2.73
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.47	0.00	1.47	0.0	1.47
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.90	0.00	0.90	0.0	0.90
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.90	0.00	0.90	0.0	0.90
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.90	0.00	0.90	0.0	0.90
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.23	0.00	1.23	0.0	1.23
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.23	0.00	1.23	0.0	1.23
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	1.11	0.00	1.11	0.0	1.11
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.17	0.00	3.17	0.0	3.17

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #60 </u>								
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.46	0.00	12.46	0.0	12.46
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.51	0.00	0.51	0.0	0.51
04/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
04/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
04/03/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
04/07/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	650.00	18.20	0.00	18.20	0.0	18.20
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	15.26	0.00	15.26	0.0	15.26
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	27.22	0.00	27.22	0.0	27.22
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	7.34	0.00	7.34	0.0	7.34
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	15.86	0.00	15.86	0.0	15.86
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	0.68	0.00	0.68	0.0	0.68
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	7.81	0.00	7.81	0.0	7.81
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.22	0.00	0.22	0.0	0.22
 * JIM LUND SUBTOTAL * 			\$197,163.11	\$251.58	\$0.00	\$251.58		\$251.58
<u> BROKER: JAMES PANOS #61 </u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.70	0.00	27.70	0.0	27.70
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.63	0.00	23.63	0.0	23.63
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	2.63	0.00	2.63	0.0	2.63
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.57	0.00	3.57	0.0	3.57
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.20	0.00	7.20	0.0	7.20
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	9.74	0.00	9.74	0.0	9.74
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.35	0.00	4.35	0.0	4.35
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.89	0.00	5.89	0.0	5.89
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.84	0.00	11.84	0.0	11.84
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	4.24	0.00	4.24	0.0	4.24
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	5.73	0.00	5.73	0.0	5.73
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	11.64	0.00	11.64	0.0	11.64
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	24.87	0.00	24.87	0.0	24.87
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.43	0.00	4.43	0.0	4.43
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	5.99	0.00	5.99	0.0	5.99
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	11.37	0.00	11.37	0.0	11.37
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	3.96	0.00	3.96	0.0	3.96
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	59.47	0.00	59.47	0.0	59.47

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JAMES PANOS #61</u>								
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.28	0.00	5.28	0.0	5.28
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.82	0.00	2.82	0.0	2.82
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.79	0.00	9.79	0.0	9.79
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	60.45	0.00	60.45	0.0	60.45
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	40.91	0.00	40.91	0.0	40.91
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	24.20	0.00	24.20	0.0	24.20
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.33	0.00	10.33	0.0	10.33
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.64	0.00	15.64	0.0	15.64
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	24.18	0.00	24.18	0.0	24.18
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.67	0.00	6.67	0.0	6.67
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.95	0.00	10.95	0.0	10.95
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.08	0.00	11.08	0.0	11.08
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	27.06	0.00	27.06	0.0	27.06
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.67	0.00	7.67	0.0	7.67
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.92	0.00	12.92	0.0	12.92
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.47	0.00	10.47	0.0	10.47
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	31.35	0.00	31.35	0.0	31.35
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.23	0.00	3.23	0.0	3.23
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	19.86	0.00	19.86	0.0	19.86
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.93	0.00	2.93	0.0	2.93
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	38.13	0.00	38.13	0.0	38.13
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	18.17	0.00	18.17	0.0	18.17
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.13	0.00	14.13	0.0	14.13
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	31.64	0.00	31.64	0.0	31.64
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	21.01	0.00	21.01	0.0	21.01
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	17.36	0.00	17.36	0.0	17.36
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.05	0.00	13.05	0.0	13.05
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	4.81	0.00	4.81	0.0	4.81
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	0.55	0.00	0.55	0.0	0.55
03/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	86,993.78	72.49	0.00	72.49	0.0	72.49
03/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,982.56	89.58	0.00	89.58	0.0	89.58
03/31/2017	BROPHY, VIRGINIA	METLIFE SERIES L	167,829.40	419.57	0.00	419.57	0.0	419.57
03/31/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	76,732.95	191.83	0.00	191.83	0.0	191.83

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
03/31/2017	BROPHY, CHARLES	METLIFE SERIES L	360,480.72	901.20	0.00	901.20	0.0	901.20
03/31/2017	VITUKEVICH, MICHAEL	METLIFE SERIES L	157,225.37	393.06	0.00	393.06	0.0	393.06
03/31/2017	MONAGHAN, THOMAS	METLIFE SERIES L	121,570.45	303.93	0.00	303.93	0.0	303.93
03/31/2017	YFANTOPULOS, GEORGE	METLIFE SERIES L	234,133.16	585.33	0.00	585.33	0.0	585.33
03/31/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	66,640.27	166.60	0.00	166.60	0.0	166.60
03/31/2017	CHIARELLA, LAWRENCE	METLIFE SERIES L	298,504.03	746.26	0.00	746.26	0.0	746.26
* JAMES PANOS SUBTOTAL *			\$1,785,092.69	\$4,594.74	\$0.00	\$4,594.74		\$4,594.74
<u>BROKER: JEFFREY BUTLER #93</u>								
03/31/2017	CRAWFORD, ROBERT	LFG TRAILS	0.00	36.48	0.00	36.48	0.0	36.48
03/31/2017	HOLLIDAY SHOPPING CT, 401K	HARTFORD REITREMENT PLANS	421,496.83	526.87	0.00	526.87	0.0	526.87
* JEFFREY BUTLER SUBTOTAL *			\$421,496.83	\$563.35	\$0.00	\$563.35		\$563.35
<u>BROKER: JAMES AULENTI #101</u>								
04/03/2017	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	78.24	0.00	78.24	0.0	78.24
03/31/2017	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	37,607.00	23.50	0.00	23.50	0.0	23.50
03/31/2017	EVANS, SHARON	PRU AS XTRA CREDIT SIX	50,278.35	31.42	0.00	31.42	0.0	31.42
03/31/2017	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	382,187.23	238.87	0.00	238.87	0.0	238.87
03/31/2017	WILLS, KAREN	PRU PREMIER RETIREMENT X	482,758.89	301.72	0.00	301.72	0.0	301.72
03/31/2017	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	145,889.46	91.18	0.00	91.18	0.0	91.18
03/31/2017	LUCIER, LYNN	PRU PREMIER RETIREMENT X	54,977.23	34.36	0.00	34.36	0.0	34.36
* JAMES AULENTI SUBTOTAL *			\$1,153,698.16	\$799.29	\$0.00	\$799.29		\$799.29
<u>BROKER: FRED CHASALOW #103</u>								
03/31/2017	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	98,363.90	245.91	0.00	245.91	0.0	245.91
03/31/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	205,989.93	514.97	0.00	514.97	0.0	514.97
03/31/2017	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	266,226.39	665.57	0.00	665.57	0.0	665.57
03/31/2017	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	101,679.12	127.10	0.00	127.10	0.0	127.10
* FRED CHASALOW SUBTOTAL *			\$672,259.34	\$1,553.55	\$0.00	\$1,553.55		\$1,553.55
<u>BROKER: ERIK BLOOMFELDT #105</u>								
03/31/2017	DEACON, GALE	JNL PERSPECTIVE L	7,422.36	6.19	0.00	6.19	0.0	6.19
/ /	FREER, JADA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	3.90	0.00	3.90	0.0	3.90
* ERIK BLOOMFELDT SUBTOTAL *			\$7,422.36	\$10.09	\$0.00	\$10.09		\$10.09

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
04/02/2017	CHANDLER, MARY	ALLZ 222 ANNUITY	106,691.71	133.36	0.00	133.36	0.0	133.36
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
04/03/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
04/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
04/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
04/04/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,588.20	0.76	0.00	0.76	0.0	0.76
04/04/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	69,108.29	11.52	0.00	11.52	0.0	11.52
03/31/2017	WILLIAMS, JESSIE	METLIFE SERIES L	216,320.51	540.80	0.00	540.80	0.0	540.80
03/31/2017	PETITT, WILLIAM	METLIFE SERIES L	32,721.17	81.80	0.00	81.80	0.0	81.80
03/31/2017	COOPER, CHARLES	METLIFE SERIES L	44,060.73	110.15	0.00	110.15	0.0	110.15
03/31/2017	DAUBERT, D	METLIFE SERIES L	19,076.48	47.69	0.00	47.69	0.0	47.69
 * DANIEL COLLINS SUBTOTAL *			\$493,117.09	\$954.04	\$0.00	\$954.04		\$954.04
<u> BROKER: G118 GRECO #110 </u>								
03/10/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	6.58	0.00	6.58	0.0	6.58
03/10/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.14	0.00	4.14	0.0	4.14
03/10/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	3.94	0.00	3.94	0.0	3.94
03/10/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	5.81	0.00	5.81	0.0	5.81
03/10/2017	PULLEN, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	3.94	0.00	3.94	0.0	3.94
03/10/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	5.81	0.00	5.81	0.0	5.81

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: G118 GRECO #110 </u>								
03/10/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.90	0.00	0.90	0.0	0.90
03/10/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	0.0	0.14
03/10/2017	PULLEN, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	0.0	0.14
03/10/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	0.0	0.94
03/10/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	0.88	0.00	0.88	0.0	0.88
03/10/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	4.48	0.00	4.48	0.0	4.48
03/10/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.78	0.00	0.78	0.0	0.78
03/10/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.35	0.00	2.35	0.0	2.35
03/10/2017	PULLEN, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	3.35	0.00	3.35	0.0	3.35
03/10/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	13.75	0.00	13.75	0.0	13.75
03/14/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	4.70	0.00	4.70	0.0	4.70
03/10/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	11.37	0.00	11.37	0.0	11.37
* G118 GRECO SUBTOTAL *			\$0.00	\$74.00	\$0.00	\$74.00		\$74.00
<u> BROKER: JAMES KOESTER #117 </u>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
/ /	MCDEVITT, JILL	JH 529 PORT 2021-2024 A	0.00	1.56	0.00	1.56	0.0	1.56
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2021-2024 A	0.00	0.92	0.00	0.92	0.0	0.92
04/03/2017	MCDEVITT, JILL	JH 529 PORT 2021-2024 A	50.00	2.13	0.00	2.13	0.0	2.13
/ /	MCDEVITT, JILL	JH 529 PORT 2025-2028 A	0.00	1.66	0.00	1.66	0.0	1.66
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2025-2028 A	0.00	0.92	0.00	0.92	0.0	0.92
04/03/2017	MCDEVITT, JILL	JH 529 PORT 2025-2028 A	50.00	2.13	0.00	2.13	0.0	2.13
* JAMES KOESTER SUBTOTAL *			\$100.00	\$9.43	\$0.00	\$9.43		\$9.43
<u> BROKER: JAY MCCLELLAN #120 </u>								
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.35	0.00	2.35	0.0	2.35
04/01/2017	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.20	0.00	5.20	0.0	5.20
04/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	19.51	0.00	19.51	0.0	19.51
04/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	17.47	0.00	17.47	0.0	17.47
04/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	15.76	0.00	15.76	0.0	15.76
04/01/2017	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	15.83	0.00	15.83	0.0	15.83
04/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.05	0.00	1.05	0.0	1.05
04/01/2017	PETKERVICH TRUST, MARY	INVESCO MIDCAP GROWTH A	0.00	9.85	0.00	9.85	0.0	9.85
04/01/2017	PETKERVICH TRUST, MARY	INVESCO SMALL CAP GROWTH FUND-INV C	0.00	1.85	0.00	1.85	0.0	1.85

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
04/01/2017	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.55	0.00	0.55	0.0	0.55
03/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	18,736.60	6.25	0.00	6.25	0.0	6.25
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.19	0.00	1.19	0.0	1.19
/ /	WEST, PAMELA	OPPENHEIMER EQUITY A	0.00	2.32	0.00	2.32	0.0	2.32
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	5.13	0.00	5.13	0.0	5.13
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.64	0.00	1.64	0.0	1.64
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	0.51	0.00	0.51	0.0	0.51
03/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	137,970.45	86.23	0.00	86.23	0.0	86.23
03/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	137,970.45	258.69	0.00	258.69	0.0	258.69
03/31/2017	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	36,572.58	22.86	0.00	22.86	0.0	22.86
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.53	0.00	1.53	0.0	1.53
04/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	10.64	0.00	10.64	0.0	10.64
04/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	6.49	0.00	6.49	0.0	6.49
* JAY MCCLELLAN SUBTOTAL *			\$331,250.08	\$492.90	\$0.00	\$492.90		\$492.90
<u>BROKER: TIMOTHY KULHANEK #145</u>								
03/27/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
04/03/2017	CARLSON, JEANNE	OHIO NATL ONCORE LITE	16,355.08	40.89	0.00	40.89	0.0	40.89
04/04/2017	CERNIK, RANAE	OHIO NATL ONCORE VALUE	6,500.00	325.00	0.00	325.00	0.0	325.00
* TIMOTHY KULHANEK SUBTOTAL *			\$22,985.08	\$373.69	\$0.00	\$373.69		\$373.69
<u>BROKER: CHARLIE ARRINGTON #174</u>								
04/01/2017	MURASZENSKI, LAWRENCE	INVESCO AMERICAN VALUE A	0.00	9.22	0.00	9.22	0.0	9.22
04/01/2017	MATHEIS LIV TRUST, BERNICE	INVESCO ENERGY FUND A	0.00	2.66	0.00	2.66	0.0	2.66
04/01/2017	MURASZENSKI, LAWRENCE	INVESCO ENERGY FUND A	0.00	5.74	0.00	5.74	0.0	5.74
04/01/2017	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL ALLOCATION A	0.00	3.28	0.00	3.28	0.0	3.28
04/01/2017	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL TOTAL RETURN	0.00	2.81	0.00	2.81	0.0	2.81
04/01/2017	NTC	INVESCO SHORT TERM BOND A	0.00	2.77	0.00	2.77	0.0	2.77
04/01/2017	MURASZENSKI, LAWRENCE	INVESCO US GOVT A	0.00	8.54	0.00	8.54	0.0	8.54
03/31/2017	SHURTZ, TERRI	JNL PERSPECTIVE L	39,069.48	32.56	0.00	32.56	0.0	32.56
03/31/2017	LILLYROOT, ROSALIE	METLIFE USA SERIES VA	20,609.65	51.52	0.00	51.52	0.0	51.52
* CHARLIE ARRINGTON SUBTOTAL *			\$59,679.13	\$119.10	\$0.00	\$119.10		\$119.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MICHELE BOYER #189</u>								
04/07/2017	ANGSTMAN, JAMES	CROSSROADS CAP INC COM	-3,674.06	22.00	24.54	-2.54	0.0	-2.54
04/01/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	0.00	7.40	0.00	7.40	0.0	7.40
04/01/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	0.00	3.17	0.00	3.17	0.0	3.17
04/01/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	0.00	2.31	0.00	2.31	0.0	2.31
04/01/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	0.00	25.90	0.00	25.90	0.0	25.90
04/01/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	0.00	16.59	0.00	16.59	0.0	16.59
04/01/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	0.00	6.40	0.00	6.40	0.0	6.40
04/01/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	0.00	8.52	0.00	8.52	0.0	8.52
04/01/2017	KINNEY, THOMAS	INVESCO CASH RESERVE SHARES	0.00	5.58	0.00	5.58	0.0	5.58
04/01/2017	HAWKINS, DAMON	INVESCO CASH RESERVE SHARES	0.00	0.58	0.00	0.58	0.0	0.58
04/01/2017	NOEL, ANTHONY	INVESCO CASH RESERVE SHARES	0.00	0.27	0.00	0.27	0.0	0.27
04/01/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	0.00	23.29	0.00	23.29	0.0	23.29
04/01/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	0.00	3.23	0.00	3.23	0.0	3.23
04/01/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	0.00	2.38	0.00	2.38	0.0	2.38
04/01/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	0.00	5.98	0.00	5.98	0.0	5.98
04/01/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	0.00	41.62	0.00	41.62	0.0	41.62
04/01/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	0.00	1.87	0.00	1.87	0.0	1.87
04/01/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	0.00	1.66	0.00	1.66	0.0	1.66
04/01/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	0.00	5.10	0.00	5.10	0.0	5.10
04/01/2017	EVERY, CHARLES	INVESCO EQUITY AND INCOME C	0.00	154.11	0.00	154.11	0.0	154.11
04/01/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	0.00	291.19	0.00	291.19	0.0	291.19
04/01/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	0.00	2.03	0.00	2.03	0.0	2.03
04/01/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	0.00	7.15	0.00	7.15	0.0	7.15
04/01/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	0.00	3.32	0.00	3.32	0.0	3.32
04/01/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	0.00	32.00	0.00	32.00	0.0	32.00
04/01/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	0.00	6.64	0.00	6.64	0.0	6.64
04/01/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	0.00	48.71	0.00	48.71	0.0	48.71
04/01/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	0.00	3.89	0.00	3.89	0.0	3.89
04/01/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	0.00	1.28	0.00	1.28	0.0	1.28
04/01/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	0.00	28.54	0.00	28.54	0.0	28.54
04/01/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	0.00	7.77	0.00	7.77	0.0	7.77
04/01/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	0.00	3.36	0.00	3.36	0.0	3.36
04/01/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	0.00	1.38	0.00	1.38	0.0	1.38

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
04/01/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	0.00	32.37	0.00	32.37	0.0	32.37
04/01/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	0.00	6.35	0.00	6.35	0.0	6.35
04/01/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	0.00	7.06	0.00	7.06	0.0	7.06
04/01/2017	BARTELS, CHRIS	INVESCO MONEY MARKET C	0.00	1.31	0.00	1.31	0.0	1.31
04/01/2017	KINNEY, THOMAS	INVESCO MONEY MARKET FUND CLASS B	0.00	2.01	0.00	2.01	0.0	2.01
04/01/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	0.00	7.63	0.00	7.63	0.0	7.63
04/01/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	0.00	32.03	0.00	32.03	0.0	32.03
04/01/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	0.00	2.85	0.00	2.85	0.0	2.85
04/01/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	0.00	4.81	0.00	4.81	0.0	4.81
04/01/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	0.00	2.77	0.00	2.77	0.0	2.77
04/01/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	0.00	2.28	0.00	2.28	0.0	2.28
04/01/2017	HAWKINS, DAMON	INVESCO UTILITIES A	0.00	2.90	0.00	2.90	0.0	2.90
04/01/2017	NOEL, ANTHONY	INVESCO UTILITIES A	0.00	2.37	0.00	2.37	0.0	2.37
04/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	1.02	0.00	1.02	0.0	1.02
04/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.98	0.00	1.98	0.0	1.98
04/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.91	0.00	0.91	0.0	0.91
* MICHELE BOYER SUBTOTAL *			\$-3,674.06	\$885.87	\$24.54	\$861.33		\$861.33
<u> BROKER: MICHAEL PALMISANO #196 </u>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.84	0.00	0.84	0.0	0.84
03/10/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.08	0.00	2.08	0.0	2.08
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.90	0.00	0.90	0.0	0.90
03/10/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.12	0.00	7.12	0.0	7.12
04/01/2017	STEGER, GENE	INVESCO AMER VAL 00143M828	0.00	8.26	0.00	8.26	0.0	8.26
04/01/2017	STEGER, GENE	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	9.17	0.00	9.17	0.0	9.17
04/01/2017	STEGER, GENE	INVESCO EQUITY AND INCOME C	0.00	9.05	0.00	9.05	0.0	9.05
04/01/2017	STEGER, GENE	INVESCO REAL ESTATE FUND CL C	0.00	2.01	0.00	2.01	0.0	2.01
04/01/2017	STEGER, GENE	INVESCO TECHNOLOGY C	0.00	6.51	0.00	6.51	0.0	6.51
04/01/2017	STEGER, GENE	INVESCO VALUE OPPORTUNITIES FUND CL	0.00	8.77	0.00	8.77	0.0	8.77
03/31/2017	WEGMAN, MARK	JNL PERSPECTIVE L	107,677.57	89.73	0.00	89.73	0.0	89.73
04/03/2017	PLAN	PUTNAM GROWTH OPP A	0.00	3.10	0.00	3.10	0.0	3.10
* MICHAEL PALMISANO SUBTOTAL *			\$107,677.57	\$147.54	\$0.00	\$147.54		\$147.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE/BRIAN BOYER/BOOTS #216</u>								
04/01/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	0.00	381.40	0.00	381.40	0.0	381.40
04/01/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	0.00	160.14	0.00	160.14	0.0	160.14
* MICHELE/BRIAN BOYER/BOOTS SUBTOTAL *			\$0.00	\$541.54	\$0.00	\$541.54		\$541.54
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
03/31/2017	HUGGINS, STEVE	HARTFORD LIFE DIRECTOR 8	24,375.85	15.23	0.00	15.23	0.0	15.23
03/31/2017	HAMMONS, LEO	HARTFORD LIFE DIRECTOR 8	2,337.13	1.46	0.00	1.46	0.0	1.46
* MEREDITH MCCUTCHEN SUBTOTAL *			\$26,712.98	\$16.69	\$0.00	\$16.69		\$16.69
<u>BROKER: JAMES CRAWFORD #225</u>								
03/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,387.13	1.96	0.00	1.96	0.0	1.96
03/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,479.22	1.56	0.00	1.56	0.0	1.56
* JAMES CRAWFORD SUBTOTAL *			\$16,866.35	\$3.52	\$0.00	\$3.52		\$3.52
<u>BROKER: CARL WORDEN III #232</u>								
03/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	9,902.37	2.06	0.00	2.06	0.0	2.06
* CARL WORDEN III SUBTOTAL *			\$9,902.37	\$2.06	\$0.00	\$2.06		\$2.06
<u>BROKER: MARIA BONGIOVANNI #237</u>								
03/27/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	0.0	1.50
03/27/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	0.0	1.50
03/31/2017	NORCROSS, NANCY	METLIFE SERIES L	33,235.51	83.09	0.00	83.09	0.0	83.09
03/31/2017	NORCROSS, NANCY	METLIFE SERIES L	38,086.46	95.22	0.00	95.22	0.0	95.22
03/31/2017	NORCROSS, NANCY	METLIFE SERIES L	34,347.10	85.87	0.00	85.87	0.0	85.87
* MARIA BONGIOVANNI SUBTOTAL *			\$105,969.07	\$267.18	\$0.00	\$267.18		\$267.18
<u>BROKER: JASON BAIRD #238</u>								
03/31/2017	BOWLBY, TAMIE	PRU AS XTRA CREDIT SIX	51,154.51	31.97	0.00	31.97	0.0	31.97
03/31/2017	BOWLBY, TAMIE	PRU AS XTRA CREDIT SIX	51,154.51	31.97	0.00	31.97	0.0	31.97
03/31/2017	PIERCE, KATHLEEN	PRU DEFINED INCOME	158,432.50	396.08	0.00	396.08	0.0	396.08
03/31/2017	FOWLER, SHARON	PRU PREMIER INVT A	94,310.90	70.73	0.00	70.73	0.0	70.73
03/31/2017	WOOD, JOHN	PRU PREMIER INVT A	106,145.52	79.61	0.00	79.61	0.0	79.61
03/31/2017	WHITE, LAURA	PRU PREMIER INVT A	95,022.55	237.56	0.00	237.56	0.0	237.56
03/31/2017	TAMER, FIDAA	PRU PREMIER INVT A	12,581.85	9.44	0.00	9.44	0.0	9.44
03/31/2017	WILLER, KAREN	PRU PREMIER INVT A	30,379.73	22.78	0.00	22.78	0.0	22.78
03/31/2017	KIMMEL, JOSEPH	PRU PREMIER INVT A	100,175.18	75.13	0.00	75.13	0.0	75.13

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JASON BAIRD #238</u>								
03/31/2017	TURNER, CHRISTOPHER	PRU PREMIER INVT A	105,623.22	79.22	0.00	79.22	0.0	79.22
03/31/2017	LORENCZ, MARY	PRU PREMIER INVT A	21,263.68	15.95	0.00	15.95	0.0	15.95
03/31/2017	DINSMOORE, JERRY	PRU PREMIER INVT A	203,769.25	152.83	0.00	152.83	0.0	152.83
03/31/2017	MORRIS, KATHY	PRU PREMIER INVT A	54,243.90	40.68	0.00	40.68	0.0	40.68
03/31/2017	HOWARD, KEVIN	PRU PREMIER INVT A	115,896.45	86.92	0.00	86.92	0.0	86.92
12/31/2016	REICH, MICHELLE	PRU PREMIER INVT VA C	149.69	0.37	0.00	0.37	0.0	0.37
12/31/2016	REICH, MICHELLE	PRU PREMIER INVT VA C	31,619.57	79.05	0.00	79.05	0.0	79.05
03/31/2017	LEWIS, JOANN	PRU PREMIER INVT VA C	11,656.24	29.14	0.00	29.14	0.0	29.14
03/31/2017	BAIRD, JASON	PRU PREMIER INVT VA C	18,788.64	46.97	0.00	46.97	0.0	46.97
03/31/2017	BEECH, KENNETH	PRU PREMIER INVT VA C	38,005.43	95.01	0.00	95.01	0.0	95.01
03/31/2017	BLACKWELL, RENAE	PRU PREMIER INVT VA C	83,080.76	207.70	0.00	207.70	0.0	207.70
03/31/2017	RICCI, SARAH	PRU PREMIER INVT VA C	36,128.37	90.32	0.00	90.32	0.0	90.32
03/31/2017	PIERCE, GARY	PRU PREMIER INVT VA C	108,296.93	270.74	0.00	270.74	0.0	270.74
03/31/2017	HARDIGAN, WILLIAM	PRU PREMIER INVT VA C	21,132.24	52.83	0.00	52.83	0.0	52.83
03/31/2017	SNOW, CONLEY	PRU PREMIER RETIREMENT B	82,283.23	51.43	0.00	51.43	0.0	51.43
03/31/2017	ANNONI, LINDA	PRU PREMIER RETIREMENT B	43,317.85	27.07	0.00	27.07	0.0	27.07
03/31/2017	WELCH, PATRICK	PRU PREMIER RETIREMENT B	182,465.70	114.04	0.00	114.04	0.0	114.04
03/31/2017	RUSSELL, LAWANDA	PRU PREMIER RETIREMENT B	60,417.40	37.76	0.00	37.76	0.0	37.76
03/31/2017	BLACKWELL, KING	PRU PREMIER RETIREMENT L	125,618.91	314.05	0.00	314.05	0.0	314.05
03/31/2017	KOCHANOWSKI, DONALD	PRU PREMIER RETIREMENT L	220,501.40	551.25	0.00	551.25	0.0	551.25
03/31/2017	KING, HARRY	PRU PREMIER RETIREMENT L	146,633.93	366.58	0.00	366.58	0.0	366.58
03/31/2017	ALLIS, WAYNE	PRU PREMIER RETIREMENT L	172,952.38	432.38	0.00	432.38	0.0	432.38
03/31/2017	RUNION, TIMOTHY	PRU PREMIER RETIREMENT L	158,346.71	395.87	0.00	395.87	0.0	395.87
03/31/2017	VEITH, RICKY	PRU PREMIER RETIREMENT L	55,086.22	137.72	0.00	137.72	0.0	137.72
03/31/2017	SUGGATE, FRANK	PRU PREMIER RETIREMENT L	36,863.25	92.16	0.00	92.16	0.0	92.16
03/31/2017	BENINGO, DEBORAH	PRU PREMIER RETIREMENT L	11,308.81	28.27	0.00	28.27	0.0	28.27
* JASON BAIRD SUBTOTAL *			\$2,844,807.41	\$4,751.58	\$0.00	\$4,751.58		\$4,751.58
<u>BROKER: WILLIAM THOMAS #239</u>								
03/31/2017	LUKE, CECILIA	LFG TRAILS	0.00	253.72	0.00	253.72	0.0	253.72
03/27/2017	ISBELL, LINDA	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	0.0	2.50
03/27/2017	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	0.0	2.50
03/27/2017	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	0.0	4.25
03/30/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM THOMAS #239 </u>								
03/27/2017	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	2.50
03/27/2017	ISBELL, LINDA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	0.0	2.50
03/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
* WILLIAM THOMAS SUBTOTAL *			\$650.00	\$274.47	\$0.00	\$274.47		\$274.47
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
03/31/2017	POLL, KELLY	ALLIANZ VISION BASIC	75,771.90	94.71	0.00	94.71	0.0	94.71
04/04/2017	PER, JENNIFER	AM FUNDS AMCAP C	2,000.00	20.00	0.00	20.00	0.0	20.00
04/03/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	1.00
04/03/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	1.00
03/28/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
04/01/2017	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.38	0.00	4.38	0.0	4.38
04/01/2017	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.39	0.00	2.39	0.0	2.39
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.80	0.00	2.80	0.0	2.80
04/01/2017	REYNOLDS, BARBARA	NW DESTINATION B2 05	49,871.81	62.34	0.00	62.34	0.0	62.34
04/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
04/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
04/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
/ /	CROCKETT, DAVID	OPPENHEIMER DEVELOPING MARKETS C	0.00	7.15	0.00	7.15	0.0	7.15
/ /	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	0.00	69.82	0.00	69.82	0.0	69.82
03/27/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
/ /	CROCKETT, DAVID	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	14.69	0.00	14.69	0.0	14.69
/ /	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	63.90	0.00	63.90	0.0	63.90
03/27/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
03/31/2017	DAILEY, SUZANNE	PRU AS APEX II	17,868.71	11.17	0.00	11.17	0.0	11.17
03/31/2017	DAILEY, SUZANNE	PRU AS APEX II	17,868.71	44.67	0.00	44.67	0.0	44.67
03/31/2017	BONGIOVANNI, CLARICE	PRU AS APEX II	89,883.79	56.18	0.00	56.18	0.0	56.18
03/31/2017	BONGIOVANNI, CLARICE	PRU AS APEX II	89,883.79	224.71	0.00	224.71	0.0	224.71
03/31/2017	YOUNG, MICHAEL	PRU PREMIER INVT A	47,585.42	35.69	0.00	35.69	0.0	35.69
03/31/2017	WHALEN, KIMBERLY	PRU PREMIER INVT A	100,864.62	75.65	0.00	75.65	0.0	75.65
03/31/2017	KMIOTEK, DIANE	PRU PREMIER INVT A	49,427.67	37.07	0.00	37.07	0.0	37.07
03/31/2017	MITCHELL, JAMES	PRU PREMIER INVT A	57,260.28	42.95	0.00	42.95	0.0	42.95
03/31/2017	ISOTALO, SUSAN	PRU PREMIER INVT VA C	28,360.85	70.90	0.00	70.90	0.0	70.90
03/27/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	5.25	0.00	5.25	0.0	5.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
03/27/2017	ISOTALO, SUSAN	PRU PREMIER RETIREMENT B	2,000.00	140.00	0.00	140.00	0.0	140.00
03/31/2017	BENKERT, RICHARD	PRU PREMIER RETIREMENT B	292,833.47	183.02	0.00	183.02	0.0	183.02
03/31/2017	COOPER, FRANK	PRU PREMIER RETIREMENT B	67,445.57	42.15	0.00	42.15	0.0	42.15
03/31/2017	THURM, ANITA	PRU PREMIER RETIREMENT B	101,232.75	63.27	0.00	63.27	0.0	63.27
03/31/2017	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,394.78	3.37	0.00	3.37	0.0	3.37
03/31/2017	BONGIOVANNI, JOSEPH	PRU PREMIER RETIREMENT L	11,180.72	27.95	0.00	27.95	0.0	27.95
03/31/2017	KMIOTEK, DIANE	PRU PREMIER RETIREMENT L	15,475.94	38.69	0.00	38.69	0.0	38.69
03/31/2017	THOMPSON, MARGARET	PRU PREMIER RETIREMENT L	157,994.06	394.99	0.00	394.99	0.0	394.99
03/31/2017	POTTER, NEIL	PRU PREMIER RETIREMENT L	164,124.62	410.31	0.00	410.31	0.0	410.31
03/31/2017	CARTER TRUST, ROXINE	PRU PREMIER RETIREMENT L	160,488.75	401.22	0.00	401.22	0.0	401.22
04/04/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	0.00	2.47	0.00	2.47	0.0	2.47
04/04/2017	BAIRD, ALEX	TRANSAMERICA AA GROWTH A	0.00	12.23	0.00	12.23	0.0	12.23
04/04/2017	COCHRILL, NATHAN	TRANSAMERICA AA GROWTH A	0.00	3.14	0.00	3.14	0.0	3.14
04/04/2017	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	2.17	0.00	2.17	0.0	2.17
03/20/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
04/04/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
04/04/2017	BAIRD, NATHAN	TRANSAMERICA AA MOD GROWTH A	0.00	17.04	0.00	17.04	0.0	17.04
04/04/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	8.69	0.00	8.69	0.0	8.69
04/04/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	0.00	8.41	0.00	8.41	0.0	8.41
04/04/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	2.53	0.00	2.53	0.0	2.53
04/04/2017	BONGIOVANNI, CLARICE	TRANSAMERICA AA MOD GROWTH A	0.00	2.96	0.00	2.96	0.0	2.96
04/04/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	3.98	0.00	3.98	0.0	3.98
03/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,279.97	15.23	0.00	15.23	0.0	15.23
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$1,624,223.18	\$2,740.12	\$0.00	\$2,740.12		\$2,740.12
<u> BROKER: MARC LINSKY #263 </u>								
03/31/2017	GALERMAN, ALAN	LFG TRAILS	0.00	424.52	0.00	424.52	90.0	7.22
03/31/2017	GALERMAN, ALAN	LFG TRAILS	0.00	424.52	0.00	424.52	90.0	7.22
03/31/2017	GALERMAN, LIORA	LFG TRAILS	0.00	61.36	0.00	61.36	90.0	1.04
03/31/2017	GALERMAN, LIORA	LFG TRAILS	0.00	61.36	0.00	61.36	90.0	1.04
03/31/2017	CHERRY, ROBERTA	LFG TRAILS	0.00	465.21	0.00	465.21	90.0	7.91
03/31/2017	CHERRY, ROBERTA	LFG TRAILS	0.00	465.21	0.00	465.21	90.0	7.91
03/31/2017	CUNNINGHAM, EDWARD	LFG TRAILS	0.00	153.28	0.00	153.28	90.0	2.61
03/31/2017	CUNNINGHAM, EDWARD	LFG TRAILS	0.00	153.28	0.00	153.28	90.0	2.61

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
03/31/2017	KRAMER, LARRY	LFG TRAILS	0.00	1,441.74	0.00	1,441.74	90.0	24.51
03/31/2017	KRAMER, LARRY	LFG TRAILS	0.00	1,441.74	0.00	1,441.74	90.0	24.51
03/31/2017	CRAMER, FRED	LFG TRAILS	0.00	365.65	0.00	365.65	90.0	6.22
03/31/2017	CRAMER, FRED	LFG TRAILS	0.00	365.65	0.00	365.65	90.0	6.22
* MARC LINSKY SUBTOTAL *			\$0.00	\$5,823.52	\$0.00	\$5,823.52		\$99.02
<u>BROKER: MICHAEL O'CALLAGHAN #305</u>								
03/31/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	79,652.95	66.38	0.00	66.38	0.0	66.38
* MICHAEL O'CALLAGHAN SUBTOTAL *			\$79,652.95	\$66.38	\$0.00	\$66.38		\$66.38
*** OVERRIDE TRANSACTIONS TOTAL ***			\$12,829,477.53	\$30,830.89	\$48.97	\$30,781.92		\$25,057.42

BROKER COMMISSION TOTALS

Broker Transactions	\$6,969.14
Split Transactions	\$19.66
Override Transactions	\$25,057.42
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$32,046.22
Year-to-date Gross	\$32,158.25
Year-to-date Earnings	\$85,638.80

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