

Allegheny Investments, LTD.
REVENUE STATEMENT
 September 29, 2017

09/27/2017
 Page No. 1

JAMES BROWNE 228
 STONE QUARRY CROSSING
 811 CAMP HORNE ROAD SUITE 100
 PITTSBURGH, PA 15237

CRD #: 33228
 SUBBRANCH: IN-HOUSE JJB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: LIMITED PARTNERSHIPS</u>						PAYOUT RATE: 100%	
09/01/2017	ALFRED M LENTO FAMILY TRUST	BUY ARC PROPERTY TRUST INC	156,250.00	10,938.00	10,938.00	0.00	10,938.00
09/01/2017	MICHAEL J BROWNE - IRA(S)	BUY ARC PROPERTY TRUST INC	50,000.00	3,500.00	3,500.00	0.00	3,500.00
09/15/2017	HDE020397 ANTWERP LP	BUY ARC PROPERTY TRUST INC BASED ON MGM	100,000.00	0.00	0.00	50.00	-50.00
* LIMITED PARTNERSHIPS SUBTOTAL *			\$306,250.00	\$14,438.00	\$14,438.00	\$50.00	\$14,388.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>						PAYOUT RATE: 100%	
09/05/2017	MADELYN A REILLY	BUY AMERICAN FUNDS EUROPACIFIC GROWTH 5	200.00	2.00	2.00	0.00	2.00
09/05/2017	MADELYN A REILLY	BUY AMERICAN FUNDS FUNDAMENTAL INVESTOR	400.00	4.00	4.00	0.00	4.00
09/05/2017	MADELYN A REILLY	BUY AMERICAN FUNDS GROWTH FUND OF AMERI	400.00	4.00	4.00	0.00	4.00
09/01/2017	ANNE A FORREST C/F	BUY 1279 FIDELITY ADVISOR NEW INSIGHTS	500.00	5.00	5.00	0.00	5.00
08/30/2017	MOLLY B FORREST - UTMA	BUY 1245 FA 529 100% EQUITY PRT CL C	150.00	1.50	1.50	0.00	1.50
08/30/2017	JUDITH L HOBBS FBO	BUY 1245 FA 529 100% EQUITY PRT CL C	150.00	1.50	1.50	0.00	1.50
09/01/2017	BLAKE A WILLIAMS - 529 PLAN	BUY 1245 FA 529 100% EQUITY PRT CL C	150.00	1.50	1.50	0.00	1.50
09/01/2017	JUDETH L HOBBS FBO	BUY 1245 FA 529 100% EQUITY PRT CL C	150.00	1.50	1.50	0.00	1.50
09/11/2017	NATALEIGH J GRAVES - 529 PLAN	SELL INVESCO AIM 3599 SMALL CO FUND CL C	278.00	0.00	0.00	12.50E	-12.50
09/22/2017	SHEILA L CHAMOVITZ - IRA	SELL COLUMBIA SELECT LRG CAP GROWTH CLAS	10,000.00	0.00	0.00	12.50E	-12.50
09/13/2017	ROBERT CHAMOVITZ MD - PA EMP PSP	SELL INVESCO AIM 3599 SMALL CO FUND CL C	5,000.00	0.00	0.00	12.50E	-12.50
09/13/2017	RALPH J VIERLING - IRA ROLLOVER	SELL THORNBURG INTL GROWTH CL C	11,000.00	0.00	0.00	12.50E	-12.50

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>							
09/19/2017	HDE002321	SELL 319 ROYCE OPPORTUNITY FD CONSULTANT	23,000.00	0.00	0.00	12.50E	-12.50
	LOIS M LANG - IRA						
09/19/2017	HDE002321	BUY THORNBURG LTD TERM INCOME A	23,000.00	0.00	0.00	12.50E	-12.50
	LOIS M LANG - IRA						
09/19/2017	HDE002321	BUY VIRTUS MULTI SECTOR SHORT TERM BOND	27,000.00	0.00	0.00	12.50E	-12.50
	LOIS M LANG - IRA						
09/18/2017	HDE005835	SELL AMERICAN FUNDS GROWTH FUND OF AMERI	13,672.00	0.00	0.00	12.50E	-12.50
	ANTHONY W ACCAMANDO JR - IRA(S)						
09/14/2017	HDE006181	SELL AMERICAN FUNDS WASHINGTON MUTUAL IN	999.00	0.00	0.00	12.50E	-12.50
	FLORENCE C PIZZICA						
09/13/2017	HDE007048	SELL 319 ROYCE OPPORTUNITY FD CONSULTANT	12,000.00	0.00	0.00	12.50E	-12.50
	JAMES H WRIGHT TRUST						
	FBO JOHN M WRIGHT						
09/13/2017	HDE014931	SELL AMERICAN FUNDS CAPITAL WORLD GROWTH	3,023.46	0.00	0.00	12.50E	-12.50
	JAMES H BURKE - IRA & ROLLOVER						
09/13/2017	HDE014940	SELL AMERICAN FUNDS CAPITAL WORLD GROWTH	1,950.00	0.00	0.00	12.50E	-12.50
	SALLY M BURKE - IRA						
09/13/2017	HDE014990	SELL FPA NEW INCOME INC	9,000.00	0.00	0.00	12.50E	-12.50
	JAMES H & SALLY M BURKE - TOD						
09/13/2017	HDE020397	BUY THORNBURG LTD TERM MUNICIPAL FUND C	100,000.00	500.00	500.00	12.50E	487.50
	ANTWERP LP						
09/18/2017	HDE020397	BUY THORNBURG LTD TERM MUNICIPAL FUND C	200,000.00	1,000.00	1,000.00	12.50E	987.50
	ANTWERP LP						
09/13/2017	HDE020397	BUY THORNBURG STRATEGIC INCOME FUND CL	100,000.00	1,000.00	1,000.00	12.50E	987.50
	ANTWERP LP						
09/18/2017	HDE020397	BUY THORNBURG STRATEGIC INCOME FUND CL	200,000.00	2,000.00	2,000.00	12.50E	1,987.50
	ANTWERP LP						
09/13/2017	HDE020397	BUY VIRTUS MULTI SECTOR SHORT TERM BOND	100,000.00	500.00	500.00	12.50E	487.50
	ANTWERP LP						
09/18/2017	HDE020397	BUY VIRTUS MULTI SECTOR SHORT TERM BOND	200,000.00	1,000.00	1,000.00	12.50E	987.50
	ANTWERP LP						
09/11/2017	HDE028992	SELL 482 FIDELITY ADVISOR GROWTH OPPTIES	29,226.76	0.00	0.00	12.50E	-12.50
	KAREN L RICKARD						
09/12/2017	HDE029329	SELL MFS NEW DISCOVERY VALUE FUND CL C	10,901.19	0.00	0.00	12.50E	-12.50
	KENNETH D BENNER - REVOCABLE TRUST						
09/12/2017	HDE029329	SELL THORNBURG INV INCOME BUILDER FD CL	9,191.00	0.00	0.00	12.50E	-12.50
	KENNETH D BENNER - REVOCABLE TRUST						
09/18/2017	HDE029644	SELL THORNBURG GLOBAL OPPORTUNITIES CL C	13,328.00	0.00	0.00	12.50E	-12.50
	ANTHONY W & MAUREEN B ACCAMANDO JR						
09/18/2017	HDE029736	BUY DIAMOND HILL LARGE CAP CLASS C	5,500.52	55.00	55.00	12.50E	42.50
	JULIE HOBBS - IRA(S)						
09/13/2017	HDE054739	SELL 1494 VIRTUS FOREIGN OPPORTUNITES C	76,758.11	0.00	0.00	12.50E	-12.50
	ARTHUR E CAMELLA - IRA						
09/14/2017	HDE054739	BUY GOLDMAN SACHS GQG PRNRS INTL OPPS	76,784.56	767.84	767.84	12.50E	755.34
	ARTHUR E CAMELLA - IRA						
09/19/2017	HDE055760	SELL SPARTAN 500 INDEX FD ADVANTAGE CL	3,145.00	0.00	0.00	2.50E	-2.50
	JOSEPH W MULROONEY - IRA						
09/19/2017	HDE059765	SELL THORNBURG VALUE FUND - C	15,000.00	0.00	0.00	12.50E	-12.50
	NFSC/FMTC IRA						
	FBO DANIEL D KETELAAR						
09/15/2017	HDE077089	SELL INVESCO AIM 3599 SMALL CO FUND CL C	12,500.00	0.00	0.00	12.50E	-12.50
	DEANE C FRANK - ROLLOVER IRA & IRA						

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS							
* MUTUAL FUNDS SUBTOTAL *			\$1,294,357.60	\$6,843.84	\$6,843.84	\$352.50	\$6,491.34
PRODUCT CATEGORY: STOCKS						PAYOUT	RATE: 100%
09/19/2017	HDE003298	SELL EYECASHNETWORKS INC	1.00	0.00	0.00	10.00	-10.00
09/11/2017	HDE020397	JUDITH B SCHUMACHER - IRA SELL ANSYS INC	205,010.00	14.19	14.19	0.00E	14.19
09/11/2017	HDE020397	ANTWERP LP SELL ANSYS INC	26,000.00	1.80	1.80	0.00E	1.80
09/11/2017	HDE020397	ANTWERP LP SELL ANSYS INC	418,990.00	29.01	29.01	10.00E	19.01
* STOCKS SUBTOTAL *			\$650,001.00	\$45.00	\$45.00	\$20.00	\$25.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS						PAYOUT	RATE: 100%
09/29/2017	00005806069	12B1 TRAILER SUMMARY	0.00	1,858.23	1,858.23	0.00	1,858.23
VARIOUS, CLIENTS							
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,858.23	\$1,858.23	\$0.00	\$1,858.23
*** BROKER TRANSACTIONS TOTAL ***			\$2,250,608.60	\$23,185.07	\$23,185.07	\$422.50	\$22,762.57

09/29/2017	NFS STREETSCAPE: MONTHLY CHARGE 015	-625.00
	*** ADJUSTMENTS TOTAL ***	<u>\$-625.00</u>
		\$-625.00

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$22,762.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-625.00
Prior Period Balance	\$0.00

Check Amount	\$22,137.57
Year-to-Date Earnings	\$1,034,203.00
1099 Year-to-Date	\$1,015,973.99
Prior Period Gross	\$1,018,567.76
Current Period Gross	\$23,185.07
Year-to-Date Gross	\$1,041,752.83