

COMMISSION STATEMENT

August 15, 2018

SCOTT SICKLES-D060
 4200 Ridgecrest Circle
 Suite A4
 Amarillo, TX 79101

BROKER #: D060
 BRANCH: DMC

COMMISSION STATEMENT for SCOTT SICKLES-D060

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 07/23/2018 | 000000000701021 | BRANNON, MF FRANKLIN MODERATE ALLOCATION | B | 51.00 | 2.55 | 0.00 | 2.55 | 75.0 | 1.91 |
| 07/27/2018 | 0000000001042631 | WEIR, DEBBIE MF FRK AGGRESSIVE GRWTH - CL A | B | 250.00 | 9.38 | 0.00 | 9.38 | 75.0 | 7.04 |
| 07/23/2018 | 0000000012372684 | GRIEGO, MF FRANKLIN INCOME FUND CLASS C | B | 250.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 07/23/2018 | 000019817879772 | BRANNON, MF FRANKLIN SMALL-MID CAP GROWTH | B | 49.50 | 2.48 | 0.00 | 2.48 | 75.0 | 1.86 |
| 07/23/2018 | 000060177110343 | BRANNON, MF FRANKLIN GROWTH FUND CLASS A | B | 49.50 | 2.48 | 0.00 | 2.48 | 75.0 | 1.86 |
| 08/01/2018 | 00085552496 | MAXIE A SICKLES MF CAPITAL INCOME BUILDER CLASS C | B | 300.00 | 3.00 | 0.00 | 3.00 | 75.0 | 2.25 |
| 08/06/2018 | 04000584689 | OLGA HOUSAND MF THE NEW ECONOMY FUND-C | B | 100.00 | 1.00 | 0.00 | 1.00 | 75.0 | 0.75 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$1,050.00 | \$23.39 | \$0.00 | \$23.39 | | \$17.55 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 07/31/2018 | 000000000701021 | BRANNON, MFT FRANKLIN MODERATE ALLOCATION | B | 0.00 | 2.80 | 0.00 | 2.80 | 75.0 | 2.10 |
| 07/31/2018 | 0000000001042631 | WEIR, DEBBIE MFT FRK AGGRESSIVE GRWTH - CL A | B | 0.00 | 44.54 | 0.00 | 44.54 | 75.0 | 33.41 |
| 07/31/2018 | 0000000012372684 | GRIEGO, MFT FRANKLIN INCOME FUND CLASS C | B | 0.00 | 33.21 | 0.00 | 33.21 | 75.0 | 24.91 |
| 07/31/2018 | 000019817879772 | BRANNON, MFT FRANKLIN SMALL-MID CAP GROWTH | B | 0.00 | 3.19 | 0.00 | 3.19 | 75.0 | 2.39 |
| 07/31/2018 | 000060177110343 | BRANNON, MFT FRANKLIN GROWTH FUND CLASS A | B | 0.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 07/31/2018 | 000060177908880 | PENRY, JOHN MFT FRANKLIN GROWTH FUND CLASS A | B | 0.00 | 3.07 | 0.00 | 3.07 | 75.0 | 2.30 |
| 07/31/2018 | 000090239634303 | PENRY, JOHN MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 1.01 | 0.00 | 1.01 | 75.0 | 0.76 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$91.26 | \$0.00 | \$91.26 | | \$68.45 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$1,050.00 | \$114.65 | \$0.00 | \$114.65 | | \$86.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$86.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|-------------------|
| Net Commissions | \$86.00 |
| Year-to-date Commissions | \$4,507.67 |