



COMMISSION STATEMENT

October 22, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
10/23/2018	***-**-4660 - DEFFENBACHER,	GWG L BOND - 5 YR BUY 150 Units @1000.0000	150,000.00	8,850.00	0.00	8,850.00	75.0	6,637.50
		* ALTERNATIVE INVESTMENTS SUBTOTAL *	\$150,000.00	\$8,850.00	\$0.00	\$8,850.00		\$6,637.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2018	***-**-4661 - DEFFENBACHER, ACCOUNT #: LSR012871	CION ARES DIVERSIFIED CREDIT C BUY 1937 Units @25.8100	50,000.01	500.00	18.00	482.00	75.0	361.50
10/16/2018	***-**-4661 - DEFFENBACHER, ACCOUNT #: LSR012871	RESOURCE REAL ESTATES-NFS BUY 11563 Units @10.8100	125,000.00	4,375.00	18.00	4,357.00	75.0	3,267.75
		* MUTUAL FUNDS SUBTOTAL *	\$175,000.01	\$4,875.00	\$36.00	\$4,839.00		\$3,629.25
		*** BROKER TRANSACTIONS TOTAL ***	\$325,000.01	\$13,725.00	\$36.00	\$13,689.00		\$10,266.75

BROKER COMMISSION TOTALS

Broker Transactions	\$10,266.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$10,266.75
Year-to-date Earnings	\$52,042.93