



# COMMISSION STATEMENT

## September 24, 2018

**TIMOTHY STATES**  
 1629 K ST NW STE 300  
 SUITE 300  
 WASHINGTON, DC 20001

**BROKER #: A70**  
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/04/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	904.00	27.12	0.00	27.12	75.0	20.34
09/18/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	PUTNAM EQUITY INCOME FUND-A BUY 543 Units @27.6300	15,000.00	750.00	18.00	732.00	75.0	549.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$15,904.00</b>	<b>\$777.12</b>	<b>\$18.00</b>	<b>\$759.12</b>		<b>\$569.34</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
09/18/2018	***-**-727I - LYNCH, FBO MR ACCOUNT #: LSR010617	AMBARELLA INC COM USD0.00045 SELL 300 Units @35.9280	10,778.40	135.00	21.00	114.00	75.0	85.50
09/19/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @53.3000	5,330.00	10.00	8.99	1.01	75.0	0.76
09/17/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: LSR010776	CISCO SYSTEMS INC BUY 120 Units @47.4250	5,691.00	50.00	18.00	32.00	75.0	24.00
09/18/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	FACEBOOK INC COM USD0.000006 CL A BUY 50 Units @160.5480	8,027.40	135.00	16.00	119.00	75.0	89.25
09/18/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FACEBOOK INC COM USD0.000006 CL A BUY 50 Units @160.5660	8,028.30	135.00	16.00	119.00	75.0	89.25
09/14/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	KRAFT HEINZ CO COM SELL 37 Units @58.9140	2,179.82	35.00	15.74	19.26	75.0	14.45
09/18/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	LOWES COMPANIES SOLICITED ORDER BUY 150 Units @114.2820	17,142.30	160.00	18.00	142.00	75.0	106.50
09/14/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	MICROSOFT CORP UNSOLICITED ORDER EX BUY 55 Units @113.1250	6,221.88	10.00	8.99	1.01	75.0	0.76
09/18/2018	***-**-727I - LYNCH, FBO MR ACCOUNT #: LSR010617	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @113.3200	11,332.00	135.00	18.00	117.00	75.0	87.75
09/19/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	MICROSOFT CORP UNSOLICITED ORDER EX SELL 48 Units @112.4800	5,399.04	10.00	8.99	1.01	75.0	0.76
09/14/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	NEKTAR THERAPEUTICS SELL 100 Units @59.6800	5,968.00	10.00	9.99	0.01	75.0	0.01
09/17/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: LSR010776	NEKTAR THERAPEUTICS SELL 100 Units @58.1600	5,816.00	50.00	17.00	33.00	75.0	24.75
09/14/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	STARBUCKS CORP UNSOLICITED ORDER BUY 40 Units @54.7560	2,190.24	35.00	18.00	17.00	75.0	12.75



# COMMISSION STATEMENT

September 24, 2018

TIMOTHY STATES  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

BROKER #: A70  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
		* STOCKS SUBTOTAL *	\$94,104.38	\$910.00	\$194.70	\$715.30		\$536.49
		*** BROKER TRANSACTIONS TOTAL ***	\$110,008.38	\$1,687.12	\$212.70	\$1,474.42		\$1,105.83

## BROKER COMMISSION TOTALS

Broker Transactions	\$1,105.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,105.83
Year-to-date Earnings	\$65,655.02