



# COMMISSION STATEMENT

December 17, 2018

KEVIN ROCHE  
2022 1st Ave  
Suite 210  
Hibbing, MN 55746

BROKER #: B52  
BRANCH #01049 - 49 - DESCHENES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/17/2018	***-**-07CL - ROCHE, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	150.00	7.50	0.00	7.50	82.0	6.15
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$150.00</b>	<b>\$7.50</b>	<b>\$0.00</b>	<b>\$7.50</b>		<b>\$6.15</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/17/2018	***-**-07CL - ROCHE, CLIENTS	AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	1,944.60	0.00	1,944.60	82.0	1,594.57
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1,944.60</b>	<b>\$0.00</b>	<b>\$1,944.60</b>		<b>\$1,594.57</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$150.00</b>	<b>\$1,952.10</b>	<b>\$0.00</b>	<b>\$1,952.10</b>		<b>\$1,600.72</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,600.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$36.99
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,637.71</b>
<b>Year-to-date Earnings</b>	<b>\$1,637.71</b>