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# **COMMISSION STATEMENT**

## January 02, 2019

SAMUEL SCOGGIN

4124 Drexmore Road

Fort Worth, TX 76244-

#### BROKER #: 9655

BRANCH: HOME OFFICE

### COMMISSION STATEMENT for SAMUEL SCOGGIN

DATE	CLIENT INV	/ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET RATE COMMISSION	CLEARING CHARGE	BROKER COMMISSION					
OVERRIDE TRANSACTIONS												
BROKER: WI	ILLIAM L. BROOKMAN #9081	<u>.</u>										
12/18/2018	TRAILS	AMERICAN GENERAL ANNUITY	148.48	148.48	9.35	0.00	9.35					
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	99.84	99.84	6.29	0.00	6.29					
11/26/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	100.00	6.00	0.38	0.00	0.38					
12/18/2018	TRAILS	WELLS FARGO	3.27	3.27	0.21	0.00	0.21					
12/17/2018 (	00088728542 CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	50.00	0.50	0.03	0.00	0.03					
12/17/2018 (	00088728542 CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	75.00	0.75	0.05	0.00	0.05					
12/17/2018 (	00088728542 CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	75.00	0.75	0.05	0.00	0.05					
12/17/2018 (	00088728542 CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	50.00	0.50	0.03	0.00	0.03					
10/11/2018 2	25632715 DIAZ, CARMEN A	SIGNAL SECURITIES RIA	0.00	83.00	5.23	0.00	5.23					
	49283223 HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	0.00	20.00	1.26	0.00	1.26					
	62315710 HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	0.00	14.34	0.90	0.00	0.90					
		* WILLIAM L. BROOKMAN SUBTOTAL *	\$601.59	\$377.43	\$23.78	\$0.00	\$23.78					
BROKER: KA	AREN CARTER BROOKMAN #91	.02										
12/18/2018	TRAILS	AMERICAN GENERAL ANNUITY	192.05	192.05	12.10	0.00	12.10					
12/27/2018	TRAILS	EATON VANCE - GENERAL	20.52	20.52	1.29	0.00	1.29					
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	400.70	400.70	25.24	0.00	25.24					
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	170.56	170.56	10.75	0.00	10.75					
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	158.57	158.57	9.99	0.00	9.99					
12/04/2018 (	00009309569 WOOD, RONALD	AIG HIGH YIELD BOND - A	0.00	0.72	0.05	0.00	0.05					
12/04/2018 (	00009309569 WOOD, RONALD	AIG INT'L EQUITY - A	0.00	0.42	0.03	0.00	0.03					
12/04/2018 (	00009309569 WOOD, RONALD	AIG STRATEGIC BOND - A	0.00	1.50	0.09	0.00	0.09					
12/04/2018 (	00009309569 WOOD, RONALD	FOCUSED DIVIDEND STRAT CL A	0.00	3.10	0.20	0.00	0.20					
12/04/2018 (	00009309569 WOOD, RONALD	SUN AMER FOCUSED ALPHA LARGE CAP	0.00	1.72	0.11	0.00	0.11					
12/17/2018 (	00067657556 GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	50.00	0.50	0.03	0.00	0.03					
12/17/2018 (	00067657556 GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	50.00	0.50	0.03	0.00	0.03					

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\$0.00 \$0.00

\$150.34 \$150.34

Base Salary

Check Amount

Prior Period Balance

Year-to-date Earnings

, , , ,		COMMISSION S	STATEMENT f	or SAMUEL SCOGG	IN				
DATE	CLIENT IN	IVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		OVERR	RIDE TRA	NSACTIONS					
BROKER: K	CAREN CARTER BROOKMAN #9	0102							
12/20/2018	00087480178 BRADLEY, MARGAR	ET AMERICAN FUNDS BALANCED FD		90.00	1.80		0.11	0.00	0.11
12/20/2018	00087480178 BRADLEY, MARGAR	ET AMERICAN FUNDS HIGH INCOME	MUNI	90.00	1.80		0.11	0.00	0.11
12/20/2018	00087480178 BRADLEY, MARGAR	ET AMERICAN FUNDS INVESTMENT CO	MP OF A	60.00	1.20		0.08	0.00	0.08
12/20/2018	00087480178 BRADLEY, MARGAR	ET AMERICAN FUNDS WA MUTUAL	INVES	60.00	1.20		0.08	0.00	0.08
10/11/2018	12706161 HALVORSON, DAVID E	SIGNAL SECURITIES RIA		0.00	409.34		25.79	0.00	25.79
10/11/2018	37791527 NORIS, SCOTT A	SIGNAL SECURITIES RIA		0.00	551.66		34.75	0.00	34.75
10/11/2018	82968618 BROWN, JACKIE L	SIGNAL SECURITIES RIA		0.00	91.00		5.73	0.00	5.73
		* KAREN CARTER BROOKMAN SU	JBTOTAL *	\$1,342.40	\$2,008.86	•	\$126.56	\$0.00	\$126.56
		*** OVERRIDE TRANSACTIONS	FOTAL ***	\$1,943.99	\$2,386.29	•	\$150.34	\$0.00	\$150.34
						BROKER COMMISSION			TOTALS
						I	Broker Transac	tions	\$0.00
							Split Transac	tions	\$0.00
						Ove	erride Transac	tions	\$150.34
							Adjust	ments	\$0.00
							Payroll	Draw	\$0.00