

# COMMISSION STATEMENT October 15, 2018

RANDY SMITH-D061  
 9000 Highway 2147 West  
 Suite 201  
 Horseshoe Bay, TX 78657

BROKER #: D061  
 BRANCH: DMA

## COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018 (None) 0030340502	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
09/20/2018 (None) GARTIN, ROBERT	MF	OPPENHEIMER	B	200.00	6.00	0.00	6.00	50.0	3.00
09/25/2018 000005870019840 CAROL	MF	TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
09/25/2018 00083359485 SCHLESSIGER,	MF	AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
09/28/2018 00086507215 TRAPP, ANDREA	MF	AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	50.0	1.88
09/25/2018 00087364129 DORER, SONIA	MF	AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
09/25/2018 00087364129 DORER, SONIA	MF	NEW PERSPECTIVE FUND-A	B	249.76	6.87	0.00	6.87	50.0	3.44
09/25/2018 00087369989 DORER, RICHARD	MF	SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
09/27/2018 04000163744 GARZA, ALEXANDER	MF	AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
09/27/2018 04000163744 GARZA, ALEXANDER	MF	FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
09/27/2018 04000163744 GARZA, ALEXANDER	MF	NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
10/01/2018 04000879126 FOVAL, DOUGLAS	MF	AMERICAN BALANCED FUND-A	B	982.28	41.75	0.00	41.75	50.0	20.88
10/01/2018 04000879126 FOVAL, DOUGLAS	MF	THE NEW ECONOMY FUND-A	B	1,000.00	42.50	0.00	42.50	50.0	21.25
* MUTUAL FUNDS SUBTOTAL *				\$3,612.04	\$137.00	\$0.00	\$137.00		\$68.52

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

09/30/2018 009062915A BROWN, SARAH	VA	PERSPECTIVE	B	125,391.99	26.12	0.00	26.12	50.0	13.06
09/30/2018 1009661472 BAHAN, MARGARET	VA	PERSPECTIVE II	B	130,440.65	81.53	0.00	81.53	50.0	40.77
09/30/2018 1009737665 BARICKMAN,	VA	PERSPECTIVE II	B	106,985.80	66.87	0.00	66.87	50.0	33.44
09/30/2018 1013950608 BURNETT, PATRICIA	VA	PERSP II 09/12	B	1,207,948.40	1,006.62	0.00	1,006.62	50.0	503.31
09/30/2018 1015646752 DORER, VALORIE	VA	PERSP II 04/14	B	69,036.72	57.53	0.00	57.53	50.0	28.77
09/30/2018 1015845666 HOGAN, REBECCA	VA	PERSP II 04/14	B	64,019.10	53.35	0.00	53.35	50.0	26.68
09/30/2018 1016104708 SCHROEDER, RICHARD	VA	PERSP II 04/14	B	260,073.31	216.73	0.00	216.73	50.0	108.37
* VARIABLE ANNUITIES SUBTOTAL *				\$1,963,895.97	\$1,508.75	\$0.00	\$1,508.75		\$754.40

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018 (None) VARIOUS, RANDALL SMITH	MFT	SCHOLAR'S EDGE	B	0.00	9.35	0.00	9.35	50.0	4.68
09/30/2018 (None) VARIOUS, RANDY SMITH	MFT	OPPENHEIMER	B	0.00	411.41	0.00	411.41	50.0	205.71
09/30/2018 (None) COUVILLION, TARI	MFT	VIRTUS	B	0.00	17.47	0.00	17.47	50.0	8.74
10/01/2018 00005627047 SHEPHERD, GARY	MFT	AIM ENERGY FUND - CLASS A	B	0.00	9.76	0.00	9.76	50.0	4.88
10/01/2018 00006047615 JOHNSON, DIANNE	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	8.22	0.00	8.22	50.0	4.11
10/01/2018 00006047615 JOHNSON, DIANNE	MFT	INVESCO EQUITY AND INCOME FUND	B	0.00	30.23	0.00	30.23	50.0	15.12

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/02/2018 00099707981	GILLHAM TOD,	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	11.52	0.00	11.52	50.0	5.76
10/02/2018 00099707981	GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893961813	B	0.00	12.32	0.00	12.32	50.0	6.16
10/02/2018 00099707981	GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	18.70	0.00	18.70	50.0	9.35
10/02/2018 00099804447	GRAVES TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.49	0.00	0.49	50.0	0.25
10/01/2018 00670514319	MARTINEZ, MANUEL	MFT AIM DIVERSIFIED DIVIDEND FUND - B	B	0.00	11.22	0.00	11.22	50.0	5.61
10/01/2018 00671304303	SANCHEZ, ADRIANA	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	78.23	0.00	78.23	50.0	39.12
10/01/2018 00672055601	DOROTHY A LAWLER,	MFT AIM REAL ESTATE FUND - CLASS A B	B	0.00	2.72	0.00	2.72	50.0	1.36
10/02/2018 00961633589	MARTINEZ, MANUEL	MFT PIONEER CLASSIC BALANCED FUND A B	B	0.00	34.34	0.00	34.34	50.0	17.17
10/02/2018 00963434381	HANSON, SARAH	MFT PIONEER VALUE FUND A	B	0.00	0.99	0.00	0.99	50.0	0.50
10/01/2018 03909106654	COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND - B	B	0.00	4.71	0.00	4.71	50.0	2.36
10/01/2018 03909106654	COLLAS, PAUL	MFT AIM ENERGY FUND - CLASS A	B	0.00	9.96	0.00	9.96	50.0	4.98
10/01/2018 03909106654	COLLAS, PAUL	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	22.32	0.00	22.32	50.0	11.16
10/08/2018 04000051587	GARY, ROY	MFT AB INTERMEDIATE BOND A	B	0.00	57.36	0.00	57.36	50.0	28.68
10/01/2018 04025619125	TAYLOR UGMA/TX,	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	2.80	0.00	2.80	50.0	1.40
10/01/2018 04025619125	TAYLOR UGMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	4.21	0.00	4.21	50.0	2.11
10/02/2018 04030010295	MARTINEZ, MANUEL	MFT PIONEER CLASSIC BALANCED FUND A B	B	0.00	17.60	0.00	17.60	50.0	8.80
10/08/2018 04700178865	GARY, ROY	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	50.76	0.00	50.76	50.0	25.38
10/08/2018 04700178865	GARY, ROY	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	57.69	0.00	57.69	50.0	28.85
10/08/2018 04700229945	GARY, ROY	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.22	0.00	1.22	50.0	0.61
10/08/2018 04700229945	GARY, ROY	MFT ALLIANCEBERNSTEIN SMALL CAP	B	0.00	190.68	0.00	190.68	50.0	95.34
10/08/2018 04700353651	MCDONALD, STEVEN	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	12.34	0.00	12.34	50.0	6.17
10/01/2018 05002779055	SHEPHERD, KATIE	MFT AIM ENERGY FUND - CLASS A	B	0.00	1.52	0.00	1.52	50.0	0.76
10/01/2018 05024959701	COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND - B	B	0.00	2.84	0.00	2.84	50.0	1.42
10/01/2018 05024959701	COLLAS, PAUL	MFT INVESCO SUMMIT FUND - CLASS A	B	0.00	6.51	0.00	6.51	50.0	3.26
10/02/2018 06500021438	GILLHAM (BENE),	MFT TRANSAMERICA HIGH YIELD BOND-A	B	0.00	14.01	0.00	14.01	50.0	7.01
10/02/2018 06500021438	GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	B	0.00	16.81	0.00	16.81	50.0	8.41
10/02/2018 06500027143	CRAWFORD, CARLA	MFT TRANSAMERICA ASSET ALLC-GROWTH	B	0.00	0.33	0.00	0.33	50.0	0.17
10/02/2018 06500159364	GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	20.27	0.00	20.27	50.0	10.14
10/02/2018 06500159365	GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	B	0.00	33.87	0.00	33.87	50.0	16.94
10/01/2018 06910071921	JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A B	B	0.00	0.64	0.00	0.64	50.0	0.32
10/01/2018 06910071921	JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND B	B	0.00	3.59	0.00	3.59	50.0	1.80
10/01/2018 08000434478	JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A B	B	0.00	5.23	0.00	5.23	50.0	2.62
10/01/2018 08000434478	JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND B	B	0.00	20.02	0.00	20.02	50.0	10.01
10/04/2018 BRK50026	MARIN, MARISELA	MFT 8504AFRKD	B	0.00	2,256.52	0.00	2,256.52	50.0	1,128.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,470.78	\$0.00	\$3,470.78		\$1,735.48

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/01/2018 (None)	THOMISON	VAT TRANSAMERICA PREMIER	B	0.00	106.04	0.00	106.04	50.0	53.02
09/01/2018 (None)	THOMISON	VAT TRANSAMERICA PREMIER	B	0.00	76.27	0.00	76.27	50.0	38.14
09/20/2018 (None)	GRAVES	VAT TRANSAMERICA PREMIER	B	0.00	71.88	0.00	71.88	50.0	35.94
09/28/2018 (None)	VARIOUS, RANDY SMITH	VAT PRUDENTIAL LIFE	B	0.00	39.65	0.00	39.65	50.0	19.83
09/29/2018 (None)	MATHIS, MAGARET	VAT NATIONWIDE	B	0.00	89.27	0.00	89.27	50.0	44.64
09/30/2018 (None)	VARIOUS, RANDALL SMITH	VAT LINCOLN NATL LIFE	B	0.00	429.86	0.00	429.86	50.0	214.93
09/30/2018 (None)	HERAS, JUANITA	VAT MANULIFE	B	0.00	2.76	0.00	2.76	50.0	1.38

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09/30/2018 (None)	VARIOUS, RANDY SMITH	VAT TALCOTT RESOLUTION	B	0.00	295.10	0.00	295.10	50.0	147.55
10/02/2018 (None)	MEYER	VAT TRANSAMERICA PREMIER	B	0.00	813.16	0.00	813.16	50.0	406.58
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,923.99</b>	<b>\$0.00</b>	<b>\$1,923.99</b>		<b>\$962.01</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$1,967,508.01</b>	<b>\$7,040.52</b>	<b>\$0.00</b>	<b>\$7,040.52</b>		<b>\$3,520.41</b>

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

10/05/2018 00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,819.19	48.19	0.00	48.19		24.10
<b>* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *</b>				<b>\$4,819.19</b>	<b>\$48.19</b>	<b>\$0.00</b>	<b>\$48.19</b>		<b>\$24.10</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>				<b>\$4,819.19</b>	<b>\$48.19</b>	<b>\$0.00</b>	<b>\$48.19</b>		<b>\$24.10</b>

LINKED DIRECT TRANSACTIONSBROKER: RANDY SMITH-D601 #66

09/27/2018 00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00		0.20
09/27/2018 04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00		0.40
09/28/2018 04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	50.00	1.00	0.00	1.00		0.20
10/01/2018 08190128650	JOHN J FINN JR MF	MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
09/27/2018 08190129110	PEYTON O LEBLANC, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
09/27/2018 08190129112	SARA E CANCIENNE, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
09/27/2018 08190129113	LILLY M SUTTON MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
09/27/2018 08191029111	PRESLIE G LEBLANC MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00		0.20
09/27/2018 08191270658	BEAU OUTLAW, JOHN MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
10/01/2018 00060644298	NOLTING, EVELYN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	6.80	0.00	6.80		1.36
10/01/2018 00060644300	WARNIE, BARBARA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	20.94	0.00	20.94		4.19
10/01/2018 00060644347	FINN, JOHN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	54.32	0.00	54.32		10.86
10/01/2018 00060644355	FINN, CONNOR	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.77	0.00	0.77		0.15
10/01/2018 00060644365	JANICE K BARDEN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	31.76	0.00	31.76		6.35
10/01/2018 00060644366	CALIVA, CAROLINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.94	0.00	4.94		0.99
10/01/2018 00060655204	CORBETT LIVING	MFT MFS UTILITIES FUND-A	B	0.00	0.26	0.00	0.26		0.05
10/01/2018 00060655688	NOLTING, EVELYN	MFT MFS UTILITIES FUND-A	B	0.00	4.45	0.00	4.45		0.89
10/01/2018 00060655689	WARNIE, BARBARA	MFT MFS UTILITIES FUND-A	B	0.00	8.91	0.00	8.91		1.78
10/01/2018 00060656331	SASSEN, JOHN	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	12.24	0.00	12.24		2.45
10/01/2018 00060656387	DELSA, SUZANNE	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	1.24	0.00	1.24		0.25
10/01/2018 00060656420	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	2.76	0.00	2.76		0.55
10/01/2018 00060656532	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	38.79	0.00	38.79		7.76
10/01/2018 00060657469	WARNIE, BARBARA	MFT MFS MID CAP GROWTH FUND-A	B	0.00	19.82	0.00	19.82		3.96
10/01/2018 00060657481	JANICE K BARDEN	MFT MFS MID CAP GROWTH FUND-A	B	0.00	30.51	0.00	30.51		6.10
10/01/2018 00060657855	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL GROWTH FUND-A	B	0.00	13.47	0.00	13.47		2.69
10/01/2018 00060661917	WARNIE, BARBARA	MFT MFS TECHNOLOGY FUND-A	B	0.00	24.61	0.00	24.61		4.92
10/01/2018 00060662730	DELSA, SUZANNE	MFT MFS MID CAP VALUE FUND-A	B	0.00	2.46	0.00	2.46		0.49

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10/01/2018 00060662737	NOLTING, EVELYN	MFT MFS MID CAP VALUE FUND-A	B	0.00	5.52	0.00	5.52		1.10
10/01/2018 00060662746	JANICE K BARDEN	MFT MFS MID CAP VALUE FUND-A	B	0.00	29.33	0.00	29.33		5.87
10/01/2018 00060662747	CALIVA, CAROLINE	MFT MFS MID CAP VALUE FUND-A	B	0.00	3.35	0.00	3.35		0.67
10/01/2018 00060668470	FINN, JOHN	MFT MFS DIVERSIFIED INCOME FUND-A	B	0.00	21.59	0.00	21.59		4.32
10/01/2018 00060671420	CARLOS BOGRAN III	MFT MFS UTILITIES FUND-A	B	0.00	1.75	0.00	1.75		0.35
10/03/2018 01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	11.10	0.00	11.10		2.22
10/03/2018 01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	33.30	0.00	33.30		6.66
10/03/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.68	0.00	2.68		0.54
10/03/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	8.03	0.00	8.03		1.61
10/03/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	45.20	0.00	45.20		9.04
10/03/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	135.59	0.00	135.59		27.12
10/03/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.43	0.00	0.43		0.09
10/03/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.30	0.00	1.30		0.26
10/03/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.38	0.00	0.38		0.08
10/03/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.15	0.00	1.15		0.23
10/03/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.13	0.00	1.13		0.23
10/03/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.40	0.00	3.40		0.68
10/03/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.29	0.00	10.29		2.06
10/03/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	30.88	0.00	30.88		6.18
10/03/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.45	0.00	6.45		1.29
10/03/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	19.36	0.00	19.36		3.87
10/03/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.80	0.00	2.80		0.56
10/03/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.40	0.00	8.40		1.68
10/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	6.34	0.00	6.34		1.27
10/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	19.02	0.00	19.02		3.80
10/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.58	0.00	2.58		0.52
10/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.73	0.00	7.73		1.55
10/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.05	0.00	11.05		2.21
10/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	33.16	0.00	33.16		6.63
10/03/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	3.00	0.00	3.00		0.60
10/03/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	9.00	0.00	9.00		1.80
10/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.23	0.00	2.23		0.45
10/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.69	0.00	6.69		1.34
10/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.15	0.00	1.15		0.23
10/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.44	0.00	3.44		0.69
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	3.10	0.00	3.10		0.62
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	9.29	0.00	9.29		1.86
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.19	0.00	3.19		0.64
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	9.58	0.00	9.58		1.92
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.15	0.00	3.15		0.63
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.46	0.00	9.46		1.89
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.89	0.00	2.89		0.58
10/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.66	0.00	8.66		1.73
10/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.20	0.00	7.20		1.44

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	21.61	0.00	21.61		4.32
10/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.00	0.00	3.00		0.60
10/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.01	0.00	9.01		1.80
10/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.45	0.00	2.45		0.49
10/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.35	0.00	7.35		1.47
10/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.46	0.00	2.46		0.49
10/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.39	0.00	7.39		1.48
10/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.31	0.00	18.31		3.66
10/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	54.92	0.00	54.92		10.98
10/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	23.32	0.00	23.32		4.66
10/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	69.95	0.00	69.95		13.99
10/03/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	5.68	0.00	5.68		1.14
10/03/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	17.04	0.00	17.04		3.41
10/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.30	0.00	2.30		0.46
10/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	6.91	0.00	6.91		1.38
10/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.54	0.00	4.54		0.91
10/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	13.62	0.00	13.62		2.72
10/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.88	0.00	2.88		0.58
10/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.63	0.00	8.63		1.73
10/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.05	0.00	21.05		4.21
10/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	63.16	0.00	63.16		12.63
10/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.25	0.00	9.25		1.85
10/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	27.74	0.00	27.74		5.55
10/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.00	0.00	1.00		0.20
10/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	3.01	0.00	3.01		0.60
10/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.11	0.00	1.11		0.22
10/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.34	0.00	3.34		0.67
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.16	0.00	2.16		0.43
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.48	0.00	6.48		1.30
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.41	0.00	3.41		0.68
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.23	0.00	10.23		2.05
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.81	0.00	1.81		0.36
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.42	0.00	5.42		1.08
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.54	0.00	4.54		0.91
10/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.63	0.00	13.63		2.73
10/03/2018 01900000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	24.79	0.00	24.79		4.96
10/03/2018 01900000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.51	0.00	13.51		2.70
10/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.90	0.00	1.90		0.38
10/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.71	0.00	5.71		1.14
10/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.63	0.00	4.63		0.93
10/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.90	0.00	13.90		2.78
10/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.29	0.00	2.29		0.46
10/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.86	0.00	6.86		1.37
10/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.83	0.00	1.83		0.37

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10/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.48	0.00	5.48		1.10
10/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.50	0.00	2.50		0.50
10/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.49	0.00	7.49		1.50
10/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.10	0.00	1.10		0.22
10/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.31	0.00	3.31		0.66
10/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.26	0.00	1.26		0.25
10/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.78	0.00	3.78		0.76
10/03/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.73	0.00	3.73		0.75
10/03/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.19	0.00	11.19		2.24
10/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.21	0.00	4.21		0.84
10/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.63	0.00	12.63		2.53
10/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.50	0.00	5.50		1.10
10/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.51	0.00	16.51		3.30
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.71	0.00	1.71		0.34
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.14	0.00	5.14		1.03
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.84	0.00	1.84		0.37
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.52	0.00	5.52		1.10
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.09	0.00	2.09		0.42
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.28	0.00	6.28		1.26
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.11	0.00	2.11		0.42
10/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.34	0.00	6.34		1.27
10/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.47	0.00	8.47		1.69
10/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	25.41	0.00	25.41		5.08
10/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.21	0.00	6.21		1.24
10/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.64	0.00	18.64		3.73
10/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.91	0.00	5.91		1.18
10/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.73	0.00	17.73		3.55
10/03/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	45.93	0.00	45.93		9.19
10/03/2018 08183000145	CORBETT, JOHN	MFT COLUMBIA BALANCED FD CL A	B	0.00	3.47	0.00	3.47		0.69
10/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.74	0.00	8.74		1.75
10/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP VALUE FUND-A	B	0.00	26.33	0.00	26.33		5.27
10/01/2018 08189400866	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.75	0.00	0.75		0.15
10/01/2018 08189401119	JAMII BARAKA ST	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.85	0.00	4.85		0.97
10/01/2018 08189401119	JAMII BARAKA ST	MFT MFS MID CAP VALUE FUND-A	B	0.00	3.32	0.00	3.32		0.66
10/01/2018 08189401123	ST JULIEN,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	8.43	0.00	8.43		1.69
10/01/2018 08189401123	ST JULIEN,	MFT MFS MID CAP VALUE FUND-A	B	0.00	14.53	0.00	14.53		2.91
10/01/2018 08189402020	TAZAMA UPENDO ST.	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	3.12	0.00	3.12		0.62
10/01/2018 08190095532	SASSEN, JOHN	MFT MFS CORE EQUITY FUND-C	B	0.00	41.26	0.00	41.26		8.25
10/01/2018 08190123620	CARLOS BOGRAN III	MFT MFS UTILITIES FUND-C	B	0.00	8.29	0.00	8.29		1.66
10/01/2018 08190128650	JOHN J FINN JR	MFT MFS MID CAP GROWTH FUND-C	B	0.00	21.98	0.00	21.98		4.40
10/01/2018 08190129109	FBO JULIETTE G	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	5.84	0.00	5.84		1.17
10/01/2018 08190129109	FBO JULIETTE G	MFT MFS GROWTH FUND-C	B	0.00	23.18	0.00	23.18		4.64
10/01/2018 08190129110	PEYTON O LEBLANC,	MFT MFS GROWTH FUND-C	B	0.00	26.90	0.00	26.90		5.38
10/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS GROWTH FUND-C	B	0.00	19.18	0.00	19.18		3.84

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10/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.85	0.00	5.85		1.17
10/01/2018 08190129113	LILLY M SUTTON	MFT MFS GROWTH FUND-C	B	0.00	18.05	0.00	18.05		3.61
10/01/2018 08190129113	LILLY M SUTTON	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.85	0.00	5.85		1.17
10/01/2018 08190130171	PROPERTY,	MFT MFS GROWTH FUND-C	B	0.00	47.40	0.00	47.40		9.48
10/01/2018 08190130171	PROPERTY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	44.80	0.00	44.80		8.96
10/01/2018 08191017415	COCKERHAM, CAROL	MFT MFS MID CAP GROWTH FUND-A	B	0.00	66.29	0.00	66.29		13.26
10/01/2018 08191029111	PRESLIE G LEBLANC	MFT MFS GROWTH FUND-C	B	0.00	23.18	0.00	23.18		4.64
10/01/2018 08191043389	CARLOS BOGRAN III	MFT MFS MID CAP VALUE FUND-A	B	0.00	7.12	0.00	7.12		1.42
10/01/2018 08191043390	CARLOS BOGRAN III	MFT MFS TECHNOLOGY FUND-A	B	0.00	10.51	0.00	10.51		2.10
10/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS MID CAP VALUE FUND-C	B	0.00	53.08	0.00	53.08		10.62
10/01/2018 08191161796	RAINES,	MFT MFS MID CAP VALUE FUND-C	B	0.00	6.84	0.00	6.84		1.37
10/01/2018 08191187109	BEACH, MELISSA	MFT MFS GROWTH FUND-C	B	0.00	39.17	0.00	39.17		7.83
10/01/2018 08191187109	BEACH, MELISSA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	38.04	0.00	38.04		7.61
10/01/2018 08191187109	BEACH, MELISSA	MFT MFS TECHNOLOGY FUND-C	B	0.00	45.51	0.00	45.51		9.10
10/01/2018 08191191313	TICHENOR,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	10.32	0.00	10.32		2.06
10/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	13.67	0.00	13.67		2.73
10/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.76	0.00	12.76		2.55
10/01/2018 08191216107	GEE, WANDA	MFT MFS GROWTH FUND-C	B	0.00	79.86	0.00	79.86		15.97
10/01/2018 08191216107	GEE, WANDA	MFT MFS MID CAP VALUE FUND-C	B	0.00	63.75	0.00	63.75		12.75
10/01/2018 08191220624	COCKERHAM, CAROL	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	68.08	0.00	68.08		13.62
10/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP GROWTH FUND-A	B	0.00	9.48	0.00	9.48		1.90
10/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP VALUE FUND-C	B	0.00	31.27	0.00	31.27		6.25
10/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	34.85	0.00	34.85		6.97
10/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	26.90	0.00	26.90		5.38
10/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP VALUE FUND-C	B	0.00	45.18	0.00	45.18		9.04
10/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	16.55	0.00	16.55		3.31
10/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.79	0.00	12.79		2.56
10/01/2018 08191250377	UPENDO ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	6.03	0.00	6.03		1.21
10/01/2018 08191259308	TAZAMA UPENDO ST.	MFT MFS MID CAP VALUE FUND-A	B	0.00	5.43	0.00	5.43		1.09
10/01/2018 08191265828	LE BLANC, ERIN	MFT MFS MID CAP VALUE FUND-C	B	0.00	59.00	0.00	59.00		11.80
10/01/2018 08191270658	BEAU OUTLAW, JOHN	MFT MFS GROWTH FUND-C	B	0.00	14.76	0.00	14.76		2.95
10/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	48.02	0.00	48.02		9.60
10/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP VALUE FUND-C	B	0.00	40.46	0.00	40.46		8.09
10/01/2018 08191398185	CORBETT, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	45.23	0.00	45.23		9.05
10/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS GROWTH FUND-C	B	0.00	12.21	0.00	12.21		2.44
10/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS MID CAP VALUE FUND-C	B	0.00	2.22	0.00	2.22		0.44
10/03/2018 08537100283	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.82	0.00	1.82		0.36
10/03/2018 08537100284	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.24	0.00	4.24		0.85
10/03/2018 08537100298	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.42	0.00	1.42		0.28
10/03/2018 08537100304	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.23	0.00	1.23		0.25
10/03/2018 08537500120	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.81	0.00	1.81		0.36
10/03/2018 08537500142	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.70	0.00	0.70		0.14
10/03/2018 08537500143	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.16	0.00	1.16		0.23
10/03/2018 08537500296	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.17	0.00	2.17		0.43

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/03/2018 08537500318	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.46	0.00	1.46		0.29
10/03/2018 08537500319	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.71	0.00	1.71		0.34
10/03/2018 08896000069	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	1.36	0.00	1.36		0.27
10/03/2018 08981001058	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	4.23	0.00	4.23		0.85
10/03/2018 08981001059	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	2.09	0.00	2.09		0.42
10/03/2018 08981001063	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.99	0.00	0.99		0.20
10/03/2018 08981001064	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.69	0.00	1.69		0.34
10/03/2018 08981001068	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.25	0.00	3.25		0.65
10/03/2018 08981001070	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.31	0.00	3.31		0.66
10/03/2018 08981001071	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.68	0.00	0.68		0.14
10/03/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.73	0.00	1.73		0.35
10/03/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	18.39	0.00	18.39		3.68
* RANDY SMITH-D601 SUBTOTAL *				\$600.00	\$2,950.14	\$0.00	\$2,950.14		\$590.09
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$600.00	\$2,950.14	\$0.00	\$2,950.14		\$590.09

BROKER COMMISSION TOTALS

Broker Transactions	\$4,110.50
Split Transactions	\$24.10
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,134.60
Year-to-date Commissions	\$74,226.58