

COMMISSION STATEMENT
November 30, 2018

DOUG ELLIS-D335
5481 Ivy Drive

BROKER #: D335
BRANCH: DMN

Stevensville, MI 49127

COMMISSION STATEMENT for DOUG ELLIS-D335

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------|-------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS TRANSACTIONS</u> | | | | | | | | | |
| BROKER: #0 | | | | | | | | | |
| 11/30/2018 | | : BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 11/30/2018 | | : E&O | | 0.00 | 0.00 | 0.00 | 0.00 | | -130.00 |
| 11/30/2018 | | : E&O - ELMORE | | 0.00 | 0.00 | 0.00 | 0.00 | | -130.00 |
| 11/30/2018 | | : E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 11/30/2018 | | : E-MAIL ACCOUNT-ELMORE | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 11/30/2018 | | : SHAREFILE | | 0.00 | 0.00 | 0.00 | 0.00 | | -13.00 |
| 11/30/2018 | | : SHAREFILE-ELMORE | | 0.00 | 0.00 | 0.00 | 0.00 | | -13.00 |
| * SUBTOTAL * | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$-366.00</u> |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$-366.00</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-366.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|---------------------------------|-------------------|
| Balance Forward | \$-366.00 |
| Year-to-date Commissions | \$5,307.80 |