



# COMMISSION STATEMENT

## October 08, 2018

RONALD CIOTOLI  
3401 E Main St.  
Endwell, NY 13760

BROKER #: A89  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/04/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	60.0	2.25
	ACCOUNT #: 00068752100	BUY 3 Units @29.1200						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$3.75</b>	<b>\$0.00</b>	<b>\$3.75</b>		<b>\$2.25</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/05/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	199.97	199.97	0.00	199.97	60.0	119.98
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
10/05/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	243.12	243.12	0.00	243.12	60.0	145.87
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
10/05/2018	***-**-1730 - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	248.28	248.28	0.00	248.28	60.0	148.97
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						
10/05/2018	***-**-14FB - CONTI, THOMAS	LIFEMARK INVESTMENT ADVISORY FEE	93.75	93.75	0.00	93.75	60.0	56.25
	ACCOUNT #: 925530255	BUY 0 Units @0.0000						
10/05/2018	***-**-08FB - KROH, TODD	LIFEMARK INVESTMENT ADVISORY FEE	849.35	849.35	0.00	849.35	60.0	509.61
	ACCOUNT #: 925550769	BUY 0 Units @0.0000						
		<b>* RIA SUBTOTAL *</b>	<b>\$1,634.47</b>	<b>\$1,634.47</b>	<b>\$0.00</b>	<b>\$1,634.47</b>		<b>\$980.68</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/30/2018	***-**-2131 - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	24.80	0.00	24.80	60.0	14.88
	ACCOUNT #: 02704872989	BUY 0 Units @0.0000						
09/30/2018	***-**-2131 - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	0.06	0.00	0.06	60.0	0.04
	ACCOUNT #: 03203076865	BUY 0 Units @0.0000						
09/30/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	0.00	8.59	0.00	8.59	60.0	5.15
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
09/30/2018	***-**-850I - BERG, SCOTT	CAPITAL APPRECIATION A	0.00	12.31	0.00	12.31	60.0	7.39
	ACCOUNT #: 03210694585	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	CAPITAL INCOME A	0.00	26.17	0.00	26.17	60.0	15.70
	ACCOUNT #: 03009150416	BUY 0 Units @0.0000						
09/30/2018	***-**-793I - CIOTOLI, LOUIS	DISCOVERY FUND C	0.00	89.56	0.00	89.56	60.0	53.74
	ACCOUNT #: 07470601535	BUY 0 Units @0.0000						
09/30/2018	***-**-832I - FLETCHER, JAMES	EQUITY INCOME FUND, INC. A	0.00	5.47	0.00	5.47	60.0	3.28
	ACCOUNT #: 08352369477	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/30/2018	***-**-753I - BRYAN, DEBRA	EQUITY INCOME FUND, INC. A	0.00	5.13	0.00	5.13	60.0	3.08
	ACCOUNT #: 08353019005	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	EQUITY INCOME FUND, INC. A	0.00	1.38	0.00	1.38	60.0	0.83
	ACCOUNT #: 08352477761	BUY 0 Units @0.0000						
09/30/2018	***-**-793I - CIOTOLI, LOUIS	EQUITY INCOME FUND, INC. C	0.00	233.41	0.00	233.41	60.0	140.05
	ACCOUNT #: 08370088704	BUY 0 Units @0.0000						
09/30/2018	***-**-832I - FLETCHER, JAMES	GLOBAL FUND A	0.00	4.76	0.00	4.76	60.0	2.86
	ACCOUNT #: 03307051794	BUY 0 Units @0.0000						
09/30/2018	***-**-753I - BRYAN, DEBRA	GLOBAL FUND A	0.00	2.84	0.00	2.84	60.0	1.70
	ACCOUNT #: 03307545892	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	5.61	0.00	5.61	60.0	3.37
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	0.65	0.00	0.65	60.0	0.39
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
09/30/2018	***-**-832I - FLETCHER, JAMES	INTERNATIONAL BOND A	0.00	1.52	0.00	1.52	60.0	0.91
	ACCOUNT #: 08809946992	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	INTERNATIONAL BOND A	0.00	1.07	0.00	1.07	60.0	0.64
	ACCOUNT #: 08809999616	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	11.77	0.00	11.77	60.0	7.06
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	3.74	0.00	3.74	60.0	2.24
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
09/30/2018	***-**-850I - BERG, SCOTT	INTERNATIONAL GROWTH FUND A	0.00	9.45	0.00	9.45	60.0	5.67
	ACCOUNT #: 08254805537	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	INTERNATIONAL GROWTH FUND A	0.00	1.34	0.00	1.34	60.0	0.80
	ACCOUNT #: 08257768090	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	MAIN STREET FUND A	0.00	29.13	0.00	29.13	60.0	17.48
	ACCOUNT #: 07006148794	BUY 0 Units @0.0000						
09/30/2018	***-**-7713 - KROH, CRYSTAL	MAIN STREET FUND C	0.00	13.95	0.00	13.95	60.0	8.37
	ACCOUNT #: 07024468992	BUY 0 Units @0.0000						
09/30/2018	***-**-354I - MASTRONARDI,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	18.17	0.00	18.17	60.0	10.90
	ACCOUNT #: 05502014892	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/30/2018	***-**-258I - JOHNSON, ACCOUNT #: 02852454661	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	24.46	0.00	24.46	60.0	14.68
09/30/2018	***-**-258I - JOHNSON, ACCOUNT #: 05001906223	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	2.93	0.00	2.93	60.0	1.76
09/30/2018	***-**-850I - BERG, SCOTT ACCOUNT #: 08476862416	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	13.16	0.00	13.16	60.0	7.90
09/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 08477449156	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	2.72	0.00	2.72	60.0	1.63
09/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02912380983	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	60.0	1.52
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	21.04	0.00	21.04	60.0	12.62
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	17.26	0.00	17.26	60.0	10.36
09/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02306352283	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	13.82	0.00	13.82	60.0	8.29
09/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02306960198	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	9.35	0.00	9.35	60.0	5.61
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 05550672298	PORT SERIES: EQUITY INV FD A BUY 0 Units @0.0000	0.00	24.00	0.00	24.00	60.0	14.40
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	9.79	0.00	9.79	60.0	5.87
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	4.78	0.00	4.78	60.0	2.87
09/30/2018	***-**-850I - BERG, SCOTT ACCOUNT #: 02253463944	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	11.97	0.00	11.97	60.0	7.18
09/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02253984684	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	7.39	0.00	7.39	60.0	4.43
09/30/2018	***-**-354I - MASTRONARDI, ACCOUNT #: 02254007586	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	8.38	0.00	8.38	60.0	5.03
09/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02254472149	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.57	0.00	5.57	60.0	3.34



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	8.93	0.00	8.93	60.0	5.36
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	6.86	0.00	6.86	60.0	4.12
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
09/30/2018	***-**-354I - MASTRONARDI,	ROCHESTER FUND MUNICIPALS A	0.00	12.67	0.00	12.67	60.0	7.60
	ACCOUNT #: 03652709938	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	SELECT VALUE FUND A	0.00	28.45	0.00	28.45	60.0	17.07
	ACCOUNT #: 06000784399	BUY 0 Units @0.0000						
09/30/2018	***-**-793I - CIOTOLI, LOUIS	SMALL- & MID- CAP VALUE FUND C	0.00	101.70	0.00	101.70	60.0	61.02
	ACCOUNT #: 02530273031	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	SMALL- & MID-CAP GROWTH FUND A	0.00	1.73	0.00	1.73	60.0	1.04
	ACCOUNT #: 07210733898	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	27.27	0.00	27.27	60.0	16.36
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	8.39	0.00	8.39	60.0	5.03
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$886.04</b>	<b>\$0.00</b>	<b>\$886.04</b>		<b>\$531.62</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
09/30/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	0.00	45.01	0.00	45.01	60.0	27.01
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
09/30/2018	***-**-8626 - CIOTOLI, CLIENTS	LINCOLN FIN VA TRAILS 533785101	0.00	28.09	0.00	28.09	60.0	16.85
	ACCOUNT #: 951057679	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$73.10</b>	<b>\$0.00</b>	<b>\$73.10</b>		<b>\$43.86</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,734.47</b>	<b>\$2,597.36</b>	<b>\$0.00</b>	<b>\$2,597.36</b>		<b>\$1,558.41</b>



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$1,558.41
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$23.92
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,582.33
						Year-to-date Earnings		\$9,626.53