



COMMISSION STATEMENT

December 17, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 6 Units @18.2400	112.50	1.55	0.00	1.55	90.0	1.40
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 1 Units @18.2400	27.00	0.37	0.00	0.37	90.0	0.33
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 1 Units @25.8900	27.00	0.37	0.00	0.37	90.0	0.33
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 4 Units @25.8900	112.50	1.55	0.00	1.55	90.0	1.40
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 4 Units @30.6800	112.50	1.55	0.00	1.55	90.0	1.40
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 1 Units @30.6800	27.00	0.37	0.00	0.37	90.0	0.33
11/26/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 8 Units @13.3900	110.19	1.52	0.00	1.52	90.0	1.37
11/26/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 8 Units @13.3900	110.20	1.52	0.00	1.52	90.0	1.37
11/26/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 7 Units @12.9100	86.73	1.20	0.00	1.20	90.0	1.08
11/26/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 6 Units @12.9100	83.72	1.15	0.00	1.15	90.0	1.04
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 2 Units @15.9400	27.00	0.37	0.00	0.37	90.0	0.33
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 7 Units @15.9400	112.50	1.55	0.00	1.55	90.0	1.40
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 3 Units @8.8000	27.00	0.37	0.00	0.37	90.0	0.33
11/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 13 Units @8.8000	112.50	1.55	0.00	1.55	90.0	1.40
11/26/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 7 Units @15.0600	99.93	1.38	0.00	1.38	90.0	1.24
11/26/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 13 Units @15.0600	192.48	2.65	0.00	2.65	90.0	2.39



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/26/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR GOLD FUND CLASS A	18.00	0.25	0.00	0.25	90.0	0.23
	ACCOUNT #: 01443935083	BUY 1 Units @16.5600						
11/26/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR GOLD FUND CLASS A	75.00	1.03	0.00	1.03	90.0	0.93
	ACCOUNT #: 01443935083	BUY 5 Units @16.5600						
11/26/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR REAL ESTATE FUND C	112.50	1.55	0.00	1.55	90.0	1.40
	ACCOUNT #: 01443935083	BUY 5 Units @22.5300						
11/26/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR REAL ESTATE FUND C	27.00	0.37	0.00	0.37	90.0	0.33
	ACCOUNT #: 01443935083	BUY 1 Units @22.5300						
* MUTUAL FUNDS SUBTOTAL *			\$1,613.25	\$22.22	\$0.00	\$22.22		\$20.03
PRODUCT CATEGORY: STOCKS								
12/10/2018	***-**-3377 - ZALINSKY, STEVEN	AMARIN CORP ADR EACH REP 1 ORD GBP0	828.65	21.80	8.00	13.80	90.0	12.42
	ACCOUNT #: LSR100005	BUY 50 Units @16.5730						
12/10/2018	***-**-71IN - ZALINSKY,	AMARIN CORP ADR EACH REP 1 ORD GBP0	1,248.45	25.36	8.25	17.11	90.0	15.40
	ACCOUNT #: LSR100692	BUY 75 Units @16.6460						
12/07/2018	***-**-2771 - ZALINSKY,	ANNALY CAP MANGEMENT INC	2,537.50	36.13	10.00	26.13	90.0	23.52
	ACCOUNT #: LSR100013	SELL 250 Units @10.1500						
12/07/2018	***-**-2771 - ZALINSKY,	PROSHARES TR RUSS 2000 DIVD	567.00	19.57	7.60	11.97	90.0	10.77
	ACCOUNT #: LSR100013	SELL 10 Units @56.7000						
* STOCKS SUBTOTAL *			\$5,181.60	\$102.86	\$33.85	\$69.01		\$62.11
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
12/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
12/12/2018	***-**-809I - YANKILEVICH,	PACIFIC LIFE EXCE 5A DTCC	350.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: VP65924490	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$3,573.98	\$164.70	\$0.00	\$164.70		\$148.23



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
12/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 00700773088	BUY 18 Units @27.0300						
12/17/2018	***-**-7673 - MORRISON,	NEW YORK 529 TRAILS	0.00	7.86	0.00	7.86	90.0	7.07
	ACCOUNT #: 77152277401	BUY 0 Units @0.0000						
12/17/2018	***-**-446J - RUBIN	NEW YORK 529 TRAILS	0.00	3.56	0.00	3.56	90.0	3.20
	ACCOUNT #: 77334416801	BUY 0 Units @0.0000						
12/17/2018	***-**-18IN - WATSON	NEW YORK 529 TRAILS	0.00	0.48	0.00	0.48	90.0	0.43
	ACCOUNT #: 77398834702	BUY 0 Units @0.0000						
12/17/2018	***-**-405P - KITOGRAJ, JAY	NEW YORK 529 TRAILS	0.00	1.58	0.00	1.58	90.0	1.42
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
12/17/2018	***-**-9385 - JOSEPH, BRIAN	NEW YORK 529 TRAILS	0.00	2.77	0.00	2.77	90.0	2.49
	ACCOUNT #: 77094508001	BUY 0 Units @0.0000						
12/17/2018	***-**-7724 - NANDAA, NEIL	NEW YORK 529 TRAILS	0.00	2.78	0.00	2.78	90.0	2.50
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						
12/17/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS	0.00	13.10	0.00	13.10	90.0	11.79
		BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$500.00	\$39.63	\$0.00	\$39.63		\$35.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-2211 - RUBIN, EMILY	AMERICAN BALANCED FUND-A	0.00	1.96	0.00	1.96	90.0	1.76
	ACCOUNT #: 00085946088	BUY 0 Units @0.0000						
12/03/2018	***-**-0848 - RUBIN, ADELYN	AMERICAN BALANCED FUND-A	0.00	1.96	0.00	1.96	90.0	1.76
	ACCOUNT #: 00085946132	BUY 0 Units @0.0000						
12/03/2018	***-**-535P - RUBIN, LEA	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00087969731	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.70	\$0.00	\$4.70		\$4.22
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/08/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	0.00	8.52	0.00	8.52	90.0	7.67
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
12/08/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	0.00	8.52	0.00	8.52	90.0	7.67
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$17.04	\$0.00	\$17.04		\$15.34



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SPLIT TRANSACTIONS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$10,868.83	\$351.15	\$33.85	\$317.30		\$285.58
BROKER: RICHARD PASCALE								
12/03/2018	***-**-1696 - GUZMAN, JOSE	AMERICAN BALANCED FUND-A	0.00	1.71	0.00	1.71	90.0	1.54
	ACCOUNT #: 00075812789	BUY 0 Units @0.0000						
12/03/2018	***-**-381J - DELBENE,	CAPITAL INCOME BUILDER-A	0.00	1.02	0.00	1.02	90.0	0.92
	ACCOUNT #: 00084940218	BUY 0 Units @0.0000						
12/03/2018	***-**-381I - DELBENE,	CAPITAL INCOME BUILDER-A	0.00	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
12/03/2018	***-**-95IR - DELBENE, DONALD	CAPITAL INCOME BUILDER-A	0.00	0.48	0.00	0.48	90.0	0.43
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						
12/03/2018	***-**-381I - DELBENE,	CAPITAL WORLD BOND FUND-A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
12/03/2018	***-**-95IR - DELBENE, DONALD	CAPITAL WORLD BOND FUND-A	0.00	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						
12/03/2018	***-**-381J - DELBENE,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.93	0.00	1.93	90.0	1.74
	ACCOUNT #: 00084940218	BUY 0 Units @0.0000						
12/03/2018	***-**-381I - DELBENE,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
12/03/2018	***-**-95IR - DELBENE, DONALD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						
12/06/2018	***-**-7975 - VAGLIO, JOSEPH	FA 529 COLLEGE PORT-CL C	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00701694614	BUY 17 Units @15.0600						
12/03/2018	***-**-381J - DELBENE,	NEW WORLD FUND-A	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: 00084940218	BUY 0 Units @0.0000						
12/03/2018	***-**-381I - DELBENE,	NEW WORLD FUND-A	0.00	0.98	0.00	0.98	90.0	0.88
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
12/03/2018	***-**-95IR - DELBENE, DONALD	NEW WORLD FUND-A	0.00	0.42	0.00	0.42	90.0	0.38
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						
12/17/2018	***-**-64CL - PASCALE, CLIENTS	NEW YORK 529 TRAILS	0.00	1.17	0.00	1.17	90.0	1.05
		BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
12/17/2018	***-**-5723 - PONTURO, ACCOUNT #: 77161355101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	90.0	3.34
12/17/2018	***-**-545P - FELLIN, DIANE ACCOUNT #: 77169971002	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	90.0	0.16
12/17/2018	***-**-623I - LEIBOWITZ, IRWIN ACCOUNT #: 77287712601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	90.0	0.35
12/17/2018	***-**-64CL - PASCALE, CLIENTS	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	90.0	0.23
12/17/2018	***-**-775P - RHODES, EDWARD ACCOUNT #: 77426669701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.28	0.00	0.28	90.0	0.25
12/17/2018	***-**-515P - PASSARO, MARY ACCOUNT #: 77507742202	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32
12/17/2018	***-**-815P - WILSON, JOHN ACCOUNT #: 77651113402	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	90.0	0.75
12/17/2018	***-**-545P - FELLIN, DIANE ACCOUNT #: 77169971002	NYA JPM 529 MOD AGE-BASED A BUY 0 Units @0.0000	3,800.00	76.95	0.00	76.95	90.0	69.26
12/08/2018	***-**-0205 - RESK, 10 ACCOUNT #: VP71054550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	9.00	0.09	0.00	0.09	90.0	0.08
12/08/2018	***-**-0205 - RESK, 10 ACCOUNT #: VP71054550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	91.00	0.91	0.00	0.91	90.0	0.82
12/11/2018	***-**-285P - MORMINO, STEVE ACCOUNT #: VP71053490	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	181.67	1.82	0.00	1.82	90.0	1.64
12/12/2018	***-**-1539 - SPADARO, VICTOR ACCOUNT #: VP71039250	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	400.00	2.00	0.00	2.00	90.0	1.80
12/14/2018	***-**-5089 - LEVINSON, ROBERT ACCOUNT #: VP71054510	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	1,000.00	15.00	0.00	15.00	90.0	13.50
12/12/2018	***-**-8701 - GIGLIO, LEO ACCOUNT #: VP71048850	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	0.00	10.24	0.00	10.24	90.0	9.22
12/12/2018	***-**-8701 - GIGLIO, LEO ACCOUNT #: VP71048850	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	0.00	10.24	0.00	10.24	90.0	9.22
12/14/2018	***-**-7172 - WINBURN, WILL ACCOUNT #: VP65806640	PACIFIC LIFE VA TRAILS DTCC BUY 0 Units @0.0000	180.00	1.80	0.00	1.80	90.0	1.62



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
12/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
12/17/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VUL	0.00	54.90	0.00	54.90	90.0	49.41
		BUY 1 Units @0.0000						
12/12/2018	***-**-7948 - AMICI, ROGER	SAUER ENERGY INC COM NEW	44.00	19.00	9.00	10.00	90.0	9.00
	ACCOUNT #: LSR100234	SELL 4000 Units @0.0110						
12/03/2018	***-**-381J - DELBENE,	TAX-EXEMPT BOND FUND OF AMERICA	0.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00084940218	BUY 0 Units @0.0000						
12/03/2018	***-**-381I - DELBENE,	THE BOND FUND OF AMERICA-A	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
12/03/2018	***-**-95IR - DELBENE, DONALD	THE BOND FUND OF AMERICA-A	0.00	0.24	0.00	0.24	90.0	0.22
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						
12/03/2018	***-**-1696 - GUZMAN, JOSE	THE GROWTH FUND OF AMERICA-A	0.00	2.04	0.00	2.04	90.0	1.84
	ACCOUNT #: 00075812789	BUY 0 Units @0.0000						
12/03/2018	***-**-971I - MEEHAN, JULIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.41	0.00	2.41	90.0	2.17
	ACCOUNT #: 00073631852	BUY 0 Units @0.0000						
* RICHARD PASCALE SUBTOTAL *			\$6,135.67	\$221.85	\$9.00	\$212.85		\$191.60
*** SPLIT TRANSACTIONS TOTAL ***			\$6,135.67	\$221.85	\$9.00	\$212.85		\$191.60



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$285.58
						Split Transactions		\$191.60
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$477.18
						Year-to-date Earnings		\$63,586.41