

COMMISSION STATEMENT

August 31, 2018

 TOM BRISTER-D011
 32180 Inverness Drive

 BROKER #: D011
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
08/17/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	60.78	0.58	15.00	-14.42	87.5	-12.62
08/17/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	166.67	1.67	0.00	1.67	87.5	1.46
08/20/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	8.85	0.09	0.00	0.09	87.5	0.08
08/20/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	897.78	8.98	0.00	8.98	87.5	7.86
08/21/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	0.58	0.01	0.00	0.01	87.5	0.01
08/21/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	55.56	0.56	0.00	0.56	87.5	0.49
08/21/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	66.67	0.67	0.00	0.67	87.5	0.59
08/22/2018 368332548	HILL, AUBREY	S VANTAGE DRILLING CO ISIN #	B	111.11	1.11	0.00	1.11	87.5	0.97
08/10/2018 368434452	GREGENSEN, DONNA	S BROOKFIELD RENEWABLE ENRGY P	B	2,501.37	15.00	15.00	0.00	87.5	0.00
08/10/2018 368434462	GREGENSEN, JOHN	S BROOKFIELD RENEWABLE ENRGY P	B	2,500.65	15.00	15.00	0.00	87.5	0.00
08/01/2018 491471990	MARSHALL, RICHARD	S BROOKFIELD INFRASTRUCTURE	B	2,493.44	15.00	15.00	0.00	87.5	0.00
08/01/2018 491471990	MARSHALL, RICHARD	S MPLX L.P.	B	2,499.45	15.00	15.00	0.00	87.5	0.00
08/01/2018 491471990	MARSHALL, RICHARD	S NUVEEN CREDIT STRATEGIES INCOME	B	2,511.80	15.00	15.00	0.00	87.5	0.00
08/01/2018 491471990	MARSHALL, RICHARD	S SUNOCO LOGISTICS ETP	B	2,506.06	15.00	15.00	0.00	87.5	0.00
08/01/2018 491471990	MARSHALL, RICHARD	S VENTAS INCORPORATED	B	2,488.01	15.00	15.00	0.00	87.5	0.00
08/07/2018 491471990	MARSHALL, RICHARD	S SUNOCO LOGISTICS ETP	S	-2,786.20	15.00	15.00	0.00	87.5	0.00
08/08/2018 491471990	MARSHALL, RICHARD	S BROOKFIELD RENEWABLE ENRGY P	B	2,499.85	15.00	15.00	0.00	87.5	0.00
08/09/2018 676424960	BRISTER, THOMAS	S CORNING INC	S	-10,291.40	15.00	15.00	0.00	87.5	0.00
08/09/2018 677592886	DALEY, LONNY	S BROOKFIELD RENEWABLE ENRGY P	B	2,032.45	30.00	15.00	15.00	87.5	13.13
08/09/2018 677592886	DALEY, LONNY	S CARLYLE GROUP LP 5.875% PFD	B	5,087.98	75.00	15.00	60.00	87.5	52.50
08/09/2018 677592886	DALEY, LONNY	S FORD MOTOR CO NEW	S	-3,350.39	51.00	15.00	36.00	87.5	31.50
08/09/2018 677592886	DALEY, LONNY	S KINDER MORGAN INC	S	-2,756.58	42.00	15.00	27.00	87.5	23.63
08/09/2018 677592886	DALEY, LONNY	S NUSTAR ENERGY	B	10,088.88	150.00	15.00	135.00	87.5	118.13
* STOCKS SUBTOTAL *				\$19,393.37	\$511.67	\$240.00	\$271.67		\$237.73

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.62	0.00	0.62	87.5	0.54
08/21/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	13.13	0.00	13.13	87.5	11.49
08/21/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	52.67	0.00	52.67	87.5	46.09
08/21/2018 mfc564136	BRISTER T 564136	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.39	0.00	0.39	87.5	0.34
08/21/2018 mfc564136	BRISTER T 564136	MFT PIMCO SHORT TERM FD CL C	B	0.00	7.96	0.00	7.96	87.5	6.97

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08/21/2018	mfc564136 BRISTER T 564136	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	4.04	0.00	4.04	87.5	3.54
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.56	0.00	1.56	87.5	1.37
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.19	0.00	2.19	87.5	1.92
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.19	0.00	2.19	87.5	1.92
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	22.87	0.00	22.87	87.5	20.01
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	26.41	0.00	26.41	87.5	23.11
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	27.77	0.00	27.77	87.5	24.30
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	51.83	0.00	51.83	87.5	45.35
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.12	0.00	2.12	87.5	1.86
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.55	0.00	2.55	87.5	2.23
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	3.62	0.00	3.62	87.5	3.17
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	39.76	0.00	39.76	87.5	34.79
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	56.67	0.00	56.67	87.5	49.59
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	61.12	0.00	61.12	87.5	53.48
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	102.31	0.00	102.31	87.5	89.52
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	1.89	0.00	1.89	87.5	1.65
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	11.21	0.00	11.21	87.5	9.81
08/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	35.73	0.00	35.73	87.5	31.26
08/21/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	8.48	0.00	8.48	87.5	7.42
08/21/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.65	0.00	21.65	87.5	18.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$560.74	\$0.00	\$560.74		\$490.67
*** CLEARING TRANSACTIONS TOTAL ***				\$19,393.37	\$1,072.41	\$240.00	\$832.41		\$728.40

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/15/2018 (None) 0045801164	MF	SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	87.5	5.25
08/15/2018 00064805200 SCHMIDT,	MF	NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06
08/15/2018 00064805200 SCHMIDT,	MF	THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06
08/15/2018 00086110559 DRAUGHN, DUSTIN	MF	THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *				\$640.00	\$24.25	\$0.00	\$24.25		\$21.21

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/15/2018 (None) WOODS	VA	PHOENIX LIFE	B	166.67	6.66	0.00	6.66	87.5	5.83
08/15/2018 (None) GREGERSEN	VA	PHOENIX LIFE	B	88.83	3.55	0.00	3.55	87.5	3.11
08/15/2018 (None) GREGERSEN	VA	PHOENIX LIFE	B	11.17	0.44	0.00	0.44	87.5	0.39
08/17/2018 (None) BRISTER, LYDIA	VA	PRUDENTIAL LIFE	B	200.00	10.00	0.00	10.00	87.5	8.75
* VARIABLE ANNUITIES SUBTOTAL *				\$466.67	\$20.65	\$0.00	\$20.65		\$18.08

PRODUCT CATEGORY: FIXED ANNUITIES

08/21/2018 (None) CAREY, LESLEY	FA	MIDLAND NATL FIA	B	200,000.00	9,000.00	0.00	9,000.00	87.5	7,875.00
* FIXED ANNUITIES SUBTOTAL *				\$200,000.00	\$9,000.00	\$0.00	\$9,000.00		\$7,875.00

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/03/2018	08699897631 MOINAT, IRIS	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	24.16	0.00	24.16	87.5	21.14
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$24.16	\$0.00	\$24.16		\$21.14
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
08/18/2018	(None) BLACKWELL, JACQU	VAT AMERITAS	B	0.00	9.48	0.00	9.48	87.5	8.30
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$9.48	\$0.00	\$9.48		\$8.30
		*** DIRECT TRANSACTIONS TOTAL ***		\$201,106.67	\$9,078.54	\$0.00	\$9,078.54		\$7,943.73

OVERRIDE TRANSACTIONS**BROKER: ALEX BRISTER-D010 #14**

08/06/2018	(None) 0045711389	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
08/06/2018	(None) 0045795127	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
08/06/2018	(None) 0045709342	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
08/13/2018	00068781179 GERRIE, SARA	MF AMCAP FUND-A	B	300.00	8.25	0.00	8.25		3.09
08/15/2018	00083404474 BARNES, WENDY	MF AMCAP FUND-A	B	500.00	18.75	0.00	18.75		7.03
08/21/2018	mfc652653 BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	10.07	0.00	10.07		3.78
08/21/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.66	0.00	2.66		1.00
08/21/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	13.11	0.00	13.11		4.92
08/21/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	35.05	0.00	35.05		13.14
08/21/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	8.20	0.00	8.20		3.08
08/21/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.43	0.00	0.43		0.16
08/21/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	3.29	0.00	3.29		1.23
08/21/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	10.64	0.00	10.64		3.99
08/21/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-C	B	0.00	9.26	0.00	9.26		3.47
08/21/2018	mfc652653 BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.28	0.00	0.28		0.11
08/21/2018	mfc652653 BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19		0.07
08/21/2018	mfc652653 BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.23	0.00	2.23		0.84
08/21/2018	mfc652653 BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.98	0.00	0.98		0.37
08/21/2018	mfc652653 BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.82	0.00	3.82		1.43
08/21/2018	mfc652653 BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.17	0.00	0.17		0.06
08/21/2018	mfc652653 BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.34	0.00	0.34		0.13
08/21/2018	mfc652653 BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.23	0.00	3.23		1.21
08/21/2018	mfc652653 BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.59	0.00	3.59		1.35
08/21/2018	mfc652653 BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.29	0.00	2.29		0.86
08/21/2018	mfc652653 BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	6.01	0.00	6.01		2.25
08/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.13	0.00	0.13		0.05
08/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.31	0.00	1.31		0.49
08/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.38	0.00	1.38		0.52
08/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.92	0.00	1.92		0.72
08/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.93	0.00	2.93		1.10
08/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	9.43	0.00	9.43		3.54

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08/21/2018	mfc652653 BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	1.53	0.00	1.53		0.57
08/21/2018	mfc652653 BRISTER A 652653	MFT PIM 018918664	B	0.00	4.36	0.00	4.36		1.64
08/21/2018	mfc652653 BRISTER A 652653	MFT PIM 018918664	B	0.00	4.89	0.00	4.89		1.83
08/21/2018	mfc652653 BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	2.67	0.00	2.67		1.00
08/21/2018	mfc652653 BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	8.37	0.00	8.37		3.14
08/21/2018	mfc652653 BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.27	0.00	1.27		0.48
08/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16		0.06
08/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	1.79	0.00	1.79		0.67
08/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	5.02	0.00	5.02		1.88
08/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	5.02	0.00	5.02		1.88
08/21/2018	mfc652653 BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.04	0.00	6.04		2.27
08/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.76	0.00	1.76		0.66
08/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.25	0.00	2.25		0.84
08/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.95	0.00	3.95		1.48
08/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	12.25	0.00	12.25		4.59
08/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.25	0.00	0.25		0.09
08/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.73	0.00	1.73		0.65
08/21/2018	mfc652653 BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.32	0.00	0.32		0.12
08/21/2018	mfc652653 BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.16	0.00	9.16		3.44
* ALEX BRISTER-D010 SUBTOTAL *				\$950.00	\$237.23	\$0.00	\$237.23		\$88.96
*** OVERRIDE TRANSACTIONS TOTAL ***				\$950.00	\$237.23	\$0.00	\$237.23		\$88.96

ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E&O - ALEX BRISTER	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
08/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	95.52
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-219.48
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-219.48

BROKER COMMISSION TOTALS

Broker Transactions	\$8,672.13
Split Transactions	\$0.00
Override Transactions	\$88.96
Adjustments	\$-219.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,541.61
Year-to-date Commissions	\$26,505.94