



# COMMISSION STATEMENT

## October 22, 2018

VINCENT MICCICHE  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 001  
BRANCH #01001 - LifeMark Home Office

| DATE  | CLIENT                        | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                               |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                               |                                     |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-877P - ROBINSON, ELLEN | AIM CHARTER FUND - CLASS A          | 0.00                 | 12.54               | 0.00               | 12.54             | 90.0 | 11.29                |
|   | ACCOUNT #: 05002512977        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-786P - PRASIL, MARILYN | AIM CHARTER FUND - CLASS A          | 0.00                 | 12.37               | 0.00               | 12.37             | 90.0 | 11.13                |
|   | ACCOUNT #: 00002187615        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-604I - KUBIAK, ANN     | AIM CHARTER FUND - CLASS A          | 0.00                 | 16.91               | 0.00               | 16.91             | 90.0 | 15.22                |
|   | ACCOUNT #: 04038586493        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-166I - KUBIAK, DANIEL  | AIM CHARTER FUND - CLASS A          | 0.00                 | 16.93               | 0.00               | 16.93             | 90.0 | 15.24                |
|   | ACCOUNT #: 04038586527        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/08/2018  | ***-**-604I - KUBIAK, ANN     | DWS TRAILS 25159K82                 | 0.00                 | 9.33                | 0.00               | 9.33              | 90.0 | 8.40                 |
|   | ACCOUNT #: 09334516614        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/08/2018  | ***-**-166I - KUBIAK, DANIEL  | DWS TRAILS 25159K82                 | 0.00                 | 9.33                | 0.00               | 9.33              | 90.0 | 8.40                 |
|   | ACCOUNT #: 09334516617        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-786P - PRASIL, MARILYN | INVESCO AMERICAN FRANCHISE FUND -CL | 0.00                 | 20.76               | 0.00               | 20.76             | 90.0 | 18.68                |
|   | ACCOUNT #: 00002187615        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-6087 - BRUGGER, DAVID  | INVESCO VAN KAMPEN COMSTOCK CLASS A | 0.00                 | 4.27                | 0.00               | 4.27              | 90.0 | 3.84                 |
|   | ACCOUNT #: 00670590915        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-6087 - BRUGGER, DAVID  | INVESCO VAN KAMPEN COMSTOCK CLASS A | 0.00                 | 1.63                | 0.00               | 1.63              | 90.0 | 1.47                 |
|   | ACCOUNT #: 06700372790        | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>             |                               |                                     | <b>\$0.00</b>        | <b>\$104.07</b>     | <b>\$0.00</b>      | <b>\$104.07</b>   |      | <b>\$93.67</b>       |
| <b>*** BROKER TRANSACTIONS TOTAL ***</b>                |                               |                                     | <b>\$0.00</b>        | <b>\$104.07</b>     | <b>\$0.00</b>      | <b>\$104.07</b>   |      | <b>\$93.67</b>       |

|   |                           |                                     |                     |                 |               |                 |      |                 |
|---|---------------------------|-------------------------------------|---------------------|-----------------|---------------|-----------------|------|-----------------|
| <b><u>SPLIT TRANSACTIONS</u></b>        |                           |                                     |                     |                 |               |                 |      |                 |
| <b><u>BROKER: BRUCE MOAR</u></b>        |                           |                                     |                     |                 |               |                 |      |                 |
| 10/23/2018                              | ***-**-60FB - KALINOWSKI, | NEW FRONTIER ETF, PROFILE 2, MODERA | 68,110.94           | 45.06           | 0.00          | 45.06           | 90.0 | 40.55           |
|   | ACCOUNT #: 3128315        | BUY 0 Units @681.1094               |                     |                 |               |                 |      |                 |
| 10/23/2018                              | ***-**-60FB - KALINOWSKI, | NEW FRONTIER ETF, PROFILE 2, TS, MO | 168,364.21          | 111.37          | 0.00          | 111.37          | 90.0 | 100.23          |
|   | ACCOUNT #: N8U121769      | BUY 0 Units @1683.6421              |                     |                 |               |                 |      |                 |
| <b>* BRUCE MOAR SUBTOTAL *</b>          |                           |                                     | <b>\$236,475.15</b> | <b>\$156.43</b> | <b>\$0.00</b> | <b>\$156.43</b> |      | <b>\$140.78</b> |
| <b>*** SPLIT TRANSACTIONS TOTAL ***</b> |                           |                                     | <b>\$236,475.15</b> | <b>\$156.43</b> | <b>\$0.00</b> | <b>\$156.43</b> |      | <b>\$140.78</b> |



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|-------------------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <div>BROKER COMMISSION TOTALS</div> |        |            |                      |                     |                    |                       |      |                      |
|                                     |        |            |                      |                     |                    | Broker Transactions   |      | \$93.67              |
|                                     |        |            |                      |                     |                    | Split Transactions    |      | \$140.78             |
|                                     |        |            |                      |                     |                    | Override Transactions |      | \$0.00               |
|                                     |        |            |                      |                     |                    | Adjustments           |      | \$0.00               |
|                                     |        |            |                      |                     |                    | Payroll Draw          |      | \$0.00               |
|                                     |        |            |                      |                     |                    | Base Salary           |      | \$0.00               |
|                                     |        |            |                      |                     |                    | Prior Period Balance  |      | \$0.00               |
|                                     |        |            |                      |                     |                    | FINRA Assessment      |      | \$0.00               |
|                                     |        |            |                      |                     |                    | SIPC Assessment       |      | \$0.00               |
|                                     |        |            |                      |                     |                    | Net Commission Earned |      | \$234.45             |
|                                     |        |            |                      |                     |                    | Year-to-date Earnings |      | \$4,393.08           |