



COMMISSION STATEMENT

October 29, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/22/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN 2055 TARGET DATE RETIREMEN	60.72	1.67	0.00	1.67	75.0	1.25
	ACCOUNT #: 00089402273	BUY 3 Units @19.4400						
10/22/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN 2055 TARGET DATE RETIREMEN	200.00	5.50	0.00	5.50	75.0	4.13
	ACCOUNT #: 00089402273	BUY 10 Units @19.4400						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	EUROPACIFIC GROWTH FUND-A	34.15	0.94	0.00	0.94	75.0	0.71
	ACCOUNT #: 04000980924	BUY 1 Units @50.3500						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	EUROPACIFIC GROWTH FUND-A	113.84	3.13	0.00	3.13	75.0	2.35
	ACCOUNT #: 04000980924	BUY 2 Units @50.3500						
10/23/2018	***-**-8916 - MASON, APRIL	EUROPACIFIC GROWTH FUND-A	39.26	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: 04001006968	BUY 1 Units @50.3500						
10/23/2018	***-**-8916 - MASON, APRIL	EUROPACIFIC GROWTH FUND-A	39.26	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: 04001006968	BUY 1 Units @50.3500						
10/11/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	645.91	32.30	0.00	32.30	75.0	24.23
	ACCOUNT #: 03900220125	BUY 47 Units @13.8800						
10/11/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	93.75	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 03900220125	BUY 7 Units @13.8800						
10/11/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 03900221014	BUY 4 Units @13.8800						
10/11/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 03900221014	BUY 4 Units @13.8800						
10/12/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	36.71	1.84	0.00	1.84	75.0	1.38
	ACCOUNT #: 03900233790	BUY 3 Units @13.9600						
10/12/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	36.71	1.84	0.00	1.84	75.0	1.38
	ACCOUNT #: 03900233790	BUY 3 Units @13.9600						
10/10/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.1700						
10/10/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.1700						
10/17/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.2800						
10/17/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.2800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/12/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	43.93	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: 03900186047	BUY 4 Units @12.3400						
10/12/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	43.93	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: 03900186047	BUY 4 Units @12.3400						
10/12/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @12.3400						
10/12/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @12.3400						
10/12/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @12.3400						
10/12/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @12.3400						
10/12/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @12.3400						
10/12/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @12.3400						
10/12/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 03900186012	BUY 12 Units @12.3400						
10/12/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	46.15	2.31	0.00	2.31	75.0	1.73
	ACCOUNT #: 03900186012	BUY 4 Units @12.3400						
10/12/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	42.09	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900186010	BUY 3 Units @12.3400						
10/12/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	42.09	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900186010	BUY 3 Units @12.3400						
10/12/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 3 Units @12.3400						
10/12/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 3 Units @12.3400						
10/12/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	45.49	2.27	0.00	2.27	75.0	1.70
	ACCOUNT #: 03900185962	BUY 4 Units @12.3400						
10/12/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	45.49	2.27	0.00	2.27	75.0	1.70
	ACCOUNT #: 03900185962	BUY 4 Units @12.3400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	54.00	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: 00086454950	BUY 4 Units @13.3900						
10/11/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	100.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 00086454950	BUY 7 Units @13.3900						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	NEW PERSPECTIVE FUND-A	102.45	2.82	0.00	2.82	75.0	2.12
	ACCOUNT #: 04000980924	BUY 2 Units @44.6800						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	NEW PERSPECTIVE FUND-A	341.51	9.39	0.00	9.39	75.0	7.04
	ACCOUNT #: 04000980924	BUY 8 Units @44.6800						
10/23/2018	***-**-8916 - MASON, APRIL	NEW PERSPECTIVE FUND-A	117.78	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: 04001006968	BUY 3 Units @44.6800						
10/23/2018	***-**-8916 - MASON, APRIL	NEW PERSPECTIVE FUND-A	117.78	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: 04001006968	BUY 3 Units @44.6800						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	NEW WORLD FUND-A	102.46	2.82	0.00	2.82	75.0	2.12
	ACCOUNT #: 04000980924	BUY 2 Units @62.0300						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	NEW WORLD FUND-A	341.51	9.39	0.00	9.39	75.0	7.04
	ACCOUNT #: 04000980924	BUY 6 Units @62.0300						
10/23/2018	***-**-8916 - MASON, APRIL	NEW WORLD FUND-A	117.78	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: 04001006968	BUY 2 Units @62.0300						
10/23/2018	***-**-8916 - MASON, APRIL	NEW WORLD FUND-A	117.78	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: 04001006968	BUY 2 Units @62.0300						
10/22/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @54.5300						
10/22/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @54.5300						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	THE GROWTH FUND OF AMERICA-A	102.45	2.82	0.00	2.82	75.0	2.12
	ACCOUNT #: 04000980924	BUY 2 Units @54.1000						
10/23/2018	***-**-5873 - ARIZAGA, RICARDO	THE GROWTH FUND OF AMERICA-A	341.51	9.39	0.00	9.39	75.0	7.04
	ACCOUNT #: 04000980924	BUY 6 Units @54.1000						
10/23/2018	***-**-8916 - MASON, APRIL	THE GROWTH FUND OF AMERICA-A	117.78	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: 04001006968	BUY 2 Units @54.1000						
10/23/2018	***-**-8916 - MASON, APRIL	THE GROWTH FUND OF AMERICA-A	117.78	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: 04001006968	BUY 2 Units @54.1000						



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<div>ADJUSTMENTS (continued)</div>								
		* MUTUAL FUNDS SUBTOTAL *	\$5,080.67	\$187.22	\$0.00	\$187.22		\$140.50
		*** BROKER TRANSACTIONS TOTAL ***	\$5,080.67	\$187.22	\$0.00	\$187.22		\$140.50
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$140.50
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$140.50
						Year-to-date Earnings		\$58,440.37