

COMMISSION STATEMENT

September 30, 2018

ABRAHAM HELLER
30 WOODSHIRE TERRACE

BROKER #: R11, P8H
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/05/2018	75500548	MARK WACHTEL TTEE	BOX INC CL A	S	4,688.00	32.00	7.00	25.00	80.0	18.60
09/17/2018	75500549	KOKULAK, JOHN	KINERJAPAY CORP COM	B	2,304.00	28.00	7.00	21.00	80.0	15.40
09/24/2018	75500549	KOKULAK, JOHN	AMARIN CORPORATION PLC SPONSORED AD S		20,043.00	28.00	7.00	21.00	80.0	15.40
09/25/2018	75500549	KOKULAK, JOHN	NOVAVAX INC COM	B	9,650.00	28.00	7.00	21.00	80.0	15.40
09/25/2018	75500549	KOKULAK, JOHN	VEON LTD ADS	B	8,760.00	25.00	7.00	18.00	80.0	13.00
08/30/2018	75500550	KOKULAK, JOHN	IQIYI INC SPONS ADR ISIN#US46267X10 B		29,950.00	25.00	7.00	18.00	80.0	13.00
08/30/2018	75500550	KOKULAK, JOHN	JD.COM INC SPONSORED ADR REPSTG COM B		31,025.00	25.00	7.00	18.00	80.0	13.00
08/30/2018	75500550	KOKULAK, JOHN	MONMOUTH REAL ESTATE INVT CORP COM B		17,405.70	25.00	7.00	18.00	80.0	13.00
08/30/2018	75500550	KOKULAK, JOHN	TENCENT HOLDINGS LIMITED UNSPONSORE B		43,580.00	25.00	7.00	18.00	80.0	13.00
09/04/2018	75500550	KOKULAK, JOHN	VOXELJET AG ADS	B	8,400.00	28.00	7.00	21.00	80.0	15.40
09/24/2018	75500550	KOKULAK, JOHN	GLOBAL BLOCKCHAIN MINING CORP COM	B	1,219.00	25.00	7.00	18.00	80.0	13.00
09/25/2018	75500550	KOKULAK, JOHN	OPKO HEALTH INC	S	15,880.00	30.00	7.00	23.00	80.0	17.00
09/05/2018	75500551	JOSEPH, ALAN	BOX INC CL A	S	4,688.00	32.00	7.00	25.00	80.0	18.60
09/18/2018	75500551	JOSEPH, ALAN	PAYPAL HOLDINGS INC COM	B	17,952.80	32.00	7.00	25.00	80.0	18.60
09/05/2018	75500552	WACHTEL, MARK	BOX INC CL A	S	4,688.00	32.00	7.00	25.00	80.0	18.60
09/05/2018	75500554	JOSEPH, BRUCE	HEICO CORPORATION	B	23,145.00	32.00	7.00	25.00	80.0	18.60
09/18/2018	75500554	JOSEPH, BRUCE	PAYPAL HOLDINGS INC COM	B	44,882.00	32.00	7.00	25.00	80.0	18.60
09/05/2018	75500573	BREDA, JEFFREY	BOX INC CL A	S	4,688.00	32.00	7.00	25.00	80.0	18.60
09/25/2018	QGV004542	JEFFERIES & COMPANY	INTERNATIONAL ISOTOPES INC CDT-COM B		6,181.65	50.00	7.00	43.00	80.0	33.00
08/30/2018	QGW001463	MERKIN VENTURE	HYDROTHECARY CORP NEW COM ISIN#CA S		-4,379.32	32.00	7.00	25.00	80.0	18.60
08/30/2018	QGW001463	MERKIN VENTURE	REDFIN CORP COM	S	-15,817.60	32.00	7.00	25.00	80.0	18.60
09/04/2018	QGW001463	MERKIN VENTURE	FINISAR CORP COM NEW	B	12,510.50	34.00	7.00	27.00	80.0	20.20
09/04/2018	QGW001463	MERKIN VENTURE	HYDROTHECARY CORP NEW COM ISIN#CA S		-5,160.00	32.00	7.00	25.00	80.0	18.60
09/05/2018	QGW001463	MERKIN VENTURE	FINISAR CORP COM NEW	S	-12,536.70	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW001463	MERKIN VENTURE	TILRAY INC COM	S	-3,440.75	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW001463	MERKIN VENTURE	TILRAY INC COM	B	6,586.97	32.00	7.00	25.00	80.0	18.60
09/05/2018	QGW001471	MERKIN, JONATHAN	TWITTER INC	B	9,010.38	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW001471	MERKIN, JONATHAN	SCHLUMBERGER LTD	B	10,720.55	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW001471	MERKIN, JONATHAN	TEEKAY CORP SHS ISIN#MHY8564W1030	S	-7,536.12	32.00	7.00	25.00	80.0	18.60
09/18/2018	QGW001471	MERKIN, JONATHAN	NIO INC AMERICAN DEPOSITARY SHARES	B	2,372.25	32.00	7.00	25.00	80.0	18.60
09/19/2018	QGW001471	MERKIN, JONATHAN	NIO INC AMERICAN DEPOSITARY SHARES	S	-2,655.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/20/2018	QGW001471	MERKIN, JONATHAN	NIO INC AMERICAN DEPOSITARY SHARES	B	4,108.60	32.00	7.00	25.00	80.0	18.60
08/30/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-44,220.54	36.00	7.00	29.00	80.0	21.80
09/06/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-15,120.42	32.00	7.00	25.00	80.0	18.60
09/18/2018	QGW019598	MERKIN, SOLOMON	NIO INC AMERICAN DEPOSITARY SHARES	B	2,372.25	32.00	7.00	25.00	80.0	18.60
09/19/2018	QGW019598	MERKIN, SOLOMON	NIO INC AMERICAN DEPOSITARY SHARES	S	-2,655.00	32.00	7.00	25.00	80.0	18.60
09/20/2018	QGW019598	MERKIN, SOLOMON	NEW AGE BEVERAGES CORP COM	B	7,225.95	34.00	7.00	27.00	80.0	20.20
09/20/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC	B	10,599.04	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW019598	MERKIN, SOLOMON	NEW AGE BEVERAGES CORP COM	S	-8,761.48	36.00	7.00	29.00	80.0	21.80
09/21/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-17,820.00	32.00	7.00	25.00	80.0	18.60
09/26/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-24,465.86	34.00	7.00	27.00	80.0	20.20
08/30/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-23,067.00	34.00	7.00	27.00	80.0	20.20	
08/31/2018	QGW019606	MERKIN FAMILY TRUST, HYDROPOTHECARY CORP NEW COM ISIN#CA	S	-5,240.25	32.00	7.00	25.00	80.0	18.60	
09/04/2018	QGW019606	MERKIN FAMILY TRUST, HYDROPOTHECARY CORP NEW COM ISIN#CA	S	-10,416.00	34.00	7.00	27.00	80.0	20.20	
09/04/2018	QGW019606	MERKIN FAMILY TRUST, ICAHN ENTERPRISES LP DEPOSITARY UNI	B	67,214.16	36.00	7.00	29.00	80.0	21.80	
09/04/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	11,254.20	32.00	7.00	25.00	80.0	18.60	
09/06/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-77,142.49	42.00	7.00	35.00	80.0	26.60	
09/12/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	19,326.25	34.00	7.00	27.00	80.0	20.20	
09/13/2018	QGW019606	MERKIN FAMILY TRUST, ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-17,442.00	34.00	7.00	27.00	80.0	20.20	
09/14/2018	QGW019606	MERKIN FAMILY TRUST, NIO INC AMERICAN DEPOSITARY SHARES	B	7,037.66	36.00	7.00	29.00	80.0	21.80	
09/18/2018	QGW019606	MERKIN FAMILY TRUST, ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-26,520.00	32.00	7.00	25.00	80.0	18.60	
09/18/2018	QGW019606	MERKIN FAMILY TRUST, NIO INC AMERICAN DEPOSITARY SHARES	B	4,206.79	32.00	7.00	25.00	80.0	18.60	
09/18/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	11,894.58	34.00	7.00	27.00	80.0	20.20	
09/20/2018	QGW019606	MERKIN FAMILY TRUST, ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-18,953.20	32.00	7.00	25.00	80.0	18.60	
09/20/2018	QGW019606	MERKIN FAMILY TRUST, NIO INC AMERICAN DEPOSITARY SHARES	S	-10,416.47	34.00	7.00	27.00	80.0	20.20	
09/20/2018	QGW019606	MERKIN FAMILY TRUST, THE GREEN ORGANIC DUTCHMAN HOLDINGS	B	9,516.86	32.00	7.00	25.00	80.0	18.60	
09/20/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-19,681.27	32.00	7.00	25.00	80.0	18.60	
09/20/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-12,902.57	32.00	7.00	25.00	80.0	18.60	
09/21/2018	QGW019606	MERKIN FAMILY TRUST, CORBUS PHARMACEUTICALS HOLDINGS INC	B	16,237.10	38.00	7.00	31.00	80.0	23.40	
09/21/2018	QGW019606	MERKIN FAMILY TRUST, NEW AGE BEVERAGES CORP COM	S	-3,642.96	34.00	7.00	27.00	80.0	20.20	
09/21/2018	QGW019606	MERKIN FAMILY TRUST, NEW AGE BEVERAGES CORP COM	B	3,110.09	32.00	7.00	25.00	80.0	18.60	
09/21/2018	QGW019606	MERKIN FAMILY TRUST, TILRAY INC COM	B	5,605.94	32.00	7.00	25.00	80.0	18.60	
09/21/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	23,111.12	34.00	7.00	27.00	80.0	20.20	
09/24/2018	QGW019606	MERKIN FAMILY TRUST, CORBUS PHARMACEUTICALS HOLDINGS INC	S	-17,512.77	36.00	7.00	29.00	80.0	21.80	
09/24/2018	QGW019606	MERKIN FAMILY TRUST, THE GREEN ORGANIC DUTCHMAN HOLDINGS	S	-2,690.19	32.00	7.00	25.00	80.0	18.60	
09/24/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-14,279.45	34.00	7.00	27.00	80.0	20.20	
09/24/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-11,066.06	32.00	7.00	25.00	80.0	18.60	
09/26/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-44,925.84	36.00	7.00	29.00	80.0	21.80	
09/06/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	B	9,725.00	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	B	9,850.00	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	B	9,881.73	32.00	7.00	25.00	80.0	18.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/17/2018	QGW019614	MENDES, DINAH		AMAZON.COM INC	B	9,529.88	32.00	7.00	25.00 80.0 18.60
08/30/2018	QGW019622	MERKIN, SHARON		CRONOS GROUP INC COM ISIN#CA22717L1	B	12,555.00	34.00	7.00	27.00 80.0 20.20
08/30/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	B	40,797.40	34.00	7.00	27.00 80.0 20.20
08/31/2018	QGW019622	MERKIN, SHARON		CRONOS GROUP INC COM ISIN#CA22717L1	S	-13,247.87	36.00	7.00	29.00 80.0 21.80
08/31/2018	QGW019622	MERKIN, SHARON		HYDROTHECARY CORP NEW COM ISIN#CA	S	-31,513.48	75.00	7.00	68.00 80.0 53.00
08/31/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S	-56,500.00	45.00	7.00	38.00 80.0 29.00
08/31/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S	56,500.00	-45.00	-7.00	-38.00 80.0 -29.00 C
08/31/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S	-56,500.00	45.00	7.00	38.00 80.0 29.00
08/31/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	48,000.00	50.00	7.00	43.00 80.0 33.00
09/04/2018	QGW019622	MERKIN, SHARON		HYDROTHECARY CORP NEW COM ISIN#CA	S	-3,130.40	32.00	7.00	25.00 80.0 18.60
09/05/2018	QGW019622	MERKIN, SHARON		ICAHN ENTERPRISES LP DEPOSITARY UNI	B	22,476.96	32.00	7.00	25.00 80.0 18.60
09/05/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	B	55,290.00	32.00	7.00	25.00 80.0 18.60
09/05/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	8,518.90	32.00	7.00	25.00 80.0 18.60
09/05/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S	-56,110.04	38.00	7.00	31.00 80.0 23.40
09/06/2018	QGW019622	MERKIN, SHARON		ICAHN ENTERPRISES LP DEPOSITARY UNI	B	18,918.72	32.00	7.00	25.00 80.0 18.60
09/06/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	B	15,426.09	36.00	7.00	29.00 80.0 21.80
09/07/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	9,932.75	32.00	7.00	25.00 80.0 18.60
09/07/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	54,000.00	50.00	7.00	43.00 80.0 33.00
09/07/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	B	9,689.25	34.00	7.00	27.00 80.0 20.20
09/11/2018	QGW019622	MERKIN, SHARON		ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-14,829.50	34.00	7.00	27.00 80.0 20.20
09/11/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S	-22,123.20	36.00	7.00	29.00 80.0 21.80
09/12/2018	QGW019622	MERKIN, SHARON		ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-9,784.60	32.00	7.00	25.00 80.0 18.60
09/13/2018	QGW019622	MERKIN, SHARON		ADOBE SYSTEMS INC	B	42,922.64	32.00	7.00	25.00 80.0 18.60
09/13/2018	QGW019622	MERKIN, SHARON		ADOBE SYSTEMS INC	S	-42,800.00	32.00	7.00	25.00 80.0 18.60
09/13/2018	QGW019622	MERKIN, SHARON		NIO INC AMERICAN DEPOSITARY SHARES	B	6,260.68	32.00	7.00	25.00 80.0 18.60
09/14/2018	QGW019622	MERKIN, SHARON		ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-17,284.36	32.00	7.00	25.00 80.0 18.60
09/14/2018	QGW019622	MERKIN, SHARON		NIO INC AMERICAN DEPOSITARY SHARES	S	-6,002.38	34.00	7.00	27.00 80.0 20.20
09/14/2018	QGW019622	MERKIN, SHARON		NIO INC AMERICAN DEPOSITARY SHARES	B	3,165.81	32.00	7.00	25.00 80.0 18.60
09/17/2018	QGW019622	MERKIN, SHARON		NIO INC AMERICAN DEPOSITARY SHARES	B	5,062.68	32.00	7.00	25.00 80.0 18.60
09/17/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	33,832.48	36.00	7.00	29.00 80.0 21.80
09/20/2018	QGW019622	MERKIN, SHARON		CORBUS PHARMACEUTICALS HOLDINGS INC	B	34,981.36	45.00	7.00	38.00 80.0 29.00
09/20/2018	QGW019622	MERKIN, SHARON		THE GREEN ORGANIC DUTCHMAN HOLDINGS	B	3,348.65	32.00	7.00	25.00 80.0 18.60
09/20/2018	QGW019622	MERKIN, SHARON		TILRAY INC COM	B	40,641.94	34.00	7.00	27.00 80.0 20.20
09/20/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	11,170.47	36.00	7.00	29.00 80.0 21.80
09/21/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM	B	3,110.09	32.00	7.00	25.00 80.0 18.60
09/21/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM	B	-3,110.09	-32.00	-7.00	-25.00 80.0 -18.60 C
09/21/2018	QGW019622	MERKIN, SHARON		NIO INC AMERICAN DEPOSITARY SHARES	S	-7,978.01	32.00	7.00	25.00 80.0 18.60
09/21/2018	QGW019622	MERKIN, SHARON		TILRAY INC COM	S	-25,273.31	36.00	7.00	29.00 80.0 21.80
09/21/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S	-37,927.23	34.00	7.00	27.00 80.0 20.20
09/24/2018	QGW019622	MERKIN, SHARON		THE GREEN ORGANIC DUTCHMAN HOLDINGS	S	-3,150.00	32.00	7.00	25.00 80.0 18.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/24/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	S	-32,718.51	36.00	7.00	29.00	80.0	21.80
09/24/2018	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-7,038.54	32.00	7.00	25.00	80.0	18.60
09/25/2018	QGW019622	MERKIN, SHARON							
		CORBUS PHARMACEUTICALS HOLDINGS INC B		4,030.02	34.00	7.00	27.00	80.0	20.20
09/26/2018	QGW019622	MERKIN, SHARON							
		THE FLOWR CORPORATION REGISTERED SH B		4,062.50	65.00	7.00	58.00	80.0	45.00
09/06/2018	QGW019630	MERKIN, JAMES							
		HEXO CORP COM ISIN#CA4283041099	S	-9,800.00	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW019630	MERKIN, JAMES							
		TWITTER INC	B	9,274.56	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW019648	MERKIN, SOLOMON							
		HEXO CORP COM ISIN#CA4283041099	S	-10,780.00	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW019648	MERKIN, SOLOMON							
		TWITTER INC	B	10,820.32	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019655	MERKIN, DAPHNE							
		SMART GLOBAL HLDGS INC SHS	S	-6,744.01	32.00	7.00	25.00	80.0	18.60
09/20/2018	QGW019655	MERKIN, DAPHNE							
		SMART GLOBAL HLDGS INC SHS	S	-5,407.20	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW019655	MERKIN, DAPHNE							
		TILRAY INC COM	S	-3,185.88	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW019655	MERKIN, DAPHNE							
		TILRAY INC COM	B	3,503.71	32.00	7.00	25.00	80.0	18.60
09/26/2018	QGW019655	MERKIN, DAPHNE							
		U S SILICA HOLDINGS INC	S	-9,359.55	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019663	MERKIN, DAVID							
		SMART GLOBAL HLDGS INC SHS	S	-6,744.01	32.00	7.00	25.00	80.0	18.60
09/20/2018	QGW019663	MERKIN, DAVID							
		SMART GLOBAL HLDGS INC SHS	S	-5,407.20	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW019663	MERKIN, DAVID							
		TILRAY INC COM	B	3,503.71	32.00	7.00	25.00	80.0	18.60
09/21/2018	QGW019663	MERKIN, DAVID							
		TILRAY INC COM	S	-3,185.88	32.00	7.00	25.00	80.0	18.60
09/26/2018	QGW019663	MERKIN, DAVID							
		U S SILICA HOLDINGS INC	S	-11,100.43	32.00	7.00	25.00	80.0	18.60
09/26/2018	QGW019671	GERBER, DEBRA							
		U S SILICA HOLDINGS INC	S	-13,103.37	32.00	7.00	25.00	80.0	18.60
08/31/2018	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC							
			S	-5,776.50	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW019713	MERKIN TRUST, SAMUEL							
		HEXO CORP COM ISIN#CA4283041099	S	-4,900.00	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019739	MERKIN TRUST, ESTHER GOLDMAN SACHS GROUP INC							
			B	21,067.71	32.00	7.00	25.00	80.0	18.60
09/05/2018	QGW019754	MERKIN TRUST, PHILIP TWITTER INC							
			B	5,406.23	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019762	BROD TRUST, ZOE							
		DDR CORP COM NEW	S	-2,634.40	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019762	BROD TRUST, ZOE							
		OVERSEAS SHIPHOLDING GROUP INC NEW	S	-1,685.47	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019762	BROD TRUST, ZOE							
		SCHLUMBERGER LTD	B	7,147.03	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019796	MERKIN TRUST, JENNY							
		GOLDMAN SACHS GROUP INC	B	11,704.29	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019796	MERKIN TRUST, JENNY							
		NAVIOS MARITIME MIDSTREAM PARTNERS	S	-3,840.12	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW019796	MERKIN TRUST, JENNY							
		SCHLUMBERGER LTD	B	20,249.92	34.00	7.00	27.00	80.0	20.20
09/07/2018	QGW019804	MERKIN TRUST, Yael							
		NAVIOS MARITIME MIDSTREAM PARTNERS	S	-2,560.00	32.00	7.00	25.00	80.0	18.60
09/04/2018	QGW028763	MERKIN, SOLOMON							
		HYDROTHECARY CORP NEW COM ISIN#CA	S	-17,424.80	42.00	7.00	35.00	80.0	26.60
09/04/2018	QGW028763	MERKIN, SOLOMON							
		TILRAY INC COM	B	7,776.99	32.00	7.00	25.00	80.0	18.60
09/04/2018	QGW028763	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	9,258.48	32.00	7.00	25.00	80.0	18.60
09/05/2018	QGW028763	MERKIN, SOLOMON							
		HEXO CORP COM ISIN#CA4283041099	S	-8,010.00	34.00	7.00	27.00	80.0	20.20
09/05/2018	QGW028763	MERKIN, SOLOMON							
		TILRAY INC COM	S	-8,623.37	34.00	7.00	27.00	80.0	20.20
09/05/2018	QGW028763	MERKIN, SOLOMON							
		TILRAY INC COM	B	13,408.68	32.00	7.00	25.00	80.0	18.60
09/05/2018	QGW028763	MERKIN, SOLOMON							
		TILRAY INC COM	B	-13,408.68	-32.00	-7.00	-25.00	80.0	-18.60 C
09/06/2018	QGW028763	MERKIN, SOLOMON							
		TWITTER INC	B	16,570.55	32.00	7.00	25.00	80.0	18.60
09/20/2018	QGW028763	MERKIN, SOLOMON							
		CORBUS PHARMACEUTICALS HOLDINGS INC B		9,819.19	34.00	7.00	27.00	80.0	20.20
09/20/2018	QGW028763	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	S	-8,932.55	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
09/26/2018	QGW028763	MERKIN, SOLOMON		CORBUS PHARMACEUTICALS HOLDINGS INC S	-889.20	32.00	7.00	25.00	80.0	18.60	
09/05/2018	QGW028771	MERKIN, SOLOMON		TILRAY INC COM	B	13,408.68	32.00	7.00	25.00	80.0	18.60
09/06/2018	QGW028771	MERKIN, SOLOMON		TILRAY INC COM	S	-3,531.88	32.00	7.00	25.00	80.0	18.60
09/07/2018	QGW028771	MERKIN, SOLOMON		TILRAY INC COM	S	-8,309.85	34.00	7.00	27.00	80.0	20.20
09/21/2018	QGW028771	MERKIN, SOLOMON		NEW AGE BEVERAGES CORP COM	B	4,375.13	36.00	7.00	29.00	80.0	21.80
				* STOCKS SUBTOTAL *	\$191,294.39	\$5,034.00	\$1,050.00	\$3,984.00	\$2,977.20		
PRODUCT CATEGORY: OPTIONS											
09/20/2018	QGW001463	MERKIN VENTURE		CALL 100 ETF MANAGERS TR ETFMG ALTE S	-1,697.52	32.00	7.00	25.00	80.0	18.60	
09/21/2018	QGW001463	MERKIN VENTURE		CALL 100 ETF MANAGERS TR ETFMG ALTE B	1,340.00	32.00	7.00	25.00	80.0	18.60	
09/20/2018	QGW001471	MERKIN, JONATHAN		CALL 100 ETF MANAGERS TR ETFMG ALTE S	-1,697.52	32.00	7.00	25.00	80.0	18.60	
09/21/2018	QGW001471	MERKIN, JONATHAN		CALL 100 ETF MANAGERS TR ETFMG ALTE B	1,340.00	32.00	7.00	25.00	80.0	18.60	
08/31/2018	QGW019598	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO B	2,440.00	32.00	7.00	25.00	80.0	18.60	
09/07/2018	QGW019598	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-2,184.00	36.00	7.00	29.00	80.0	21.80	
09/21/2018	QGW019598	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 10-19- B	1,561.00	32.00	7.00	25.00	80.0	18.60	
09/25/2018	QGW019598	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 10-19- B	2,175.00	34.00	7.00	27.00	80.0	20.20	
08/31/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 SCHLUMBERGER LTD COM ISIN# B	3,251.00	34.00	7.00	27.00	80.0	20.20	
08/31/2018	QGW019606	MERKIN FAMILY TRUST,		PUT 100 NVIDIA CORP COM EXP 08-31-1 B	1,310.28	32.00	7.00	25.00	80.0	18.60	
08/31/2018	QGW019606	MERKIN FAMILY TRUST,		PUT 100 NVIDIA CORP COM EXP 08-31-1 S	-1,035.00	32.00	7.00	25.00	80.0	18.60	
08/31/2018	QGW019606	MERKIN FAMILY TRUST,		PUT 100 TESLA INC EXP 08-31-18 @310 S	-2,700.00	34.00	7.00	27.00	80.0	20.20	
09/14/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 ALIBABA GROUP HLDG LTD SPO S	-5,120.00	42.00	7.00	35.00	80.0	26.60	
09/14/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 ALIBABA GROUP HLDG LTD SPO B	4,088.00	42.00	7.00	35.00	80.0	26.60	
09/18/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 ALIBABA GROUP HLDG LTD SPO B	7,540.00	32.00	7.00	25.00	80.0	18.60	
09/18/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 U S SILICA HLDGS INC COM E S	-1,000.00	34.00	7.00	27.00	80.0	20.20	
09/24/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 TWITTER INC COM EXP 10-19- B	2,200.00	32.00	7.00	25.00	80.0	18.60	
09/25/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 SCHLUMBERGER LTD COM ISIN# S	-2,325.00	32.00	7.00	25.00	80.0	18.60	
09/26/2018	QGW019606	MERKIN FAMILY TRUST,		CALL 100 ALIBABA GROUP HLDG LTD SPO B	9,430.00	34.00	7.00	27.00	80.0	20.20	
08/31/2018	QGW019622	MERKIN, SHARON		CALL 100 ALPHABET INC CL A EXP 09-2 B	10,070.00	32.00	7.00	25.00	80.0	18.60	
08/31/2018	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO S	-8,115.00	34.00	7.00	27.00	80.0	20.20	
08/31/2018	QGW019622	MERKIN, SHARON		CALL 100 FACEBOOK INC CL A EXP 09-1 B	9,994.98	36.00	7.00	29.00	80.0	21.80	
08/31/2018	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 08-31- S	-10,920.00	38.00	7.00	31.00	80.0	23.40	
08/31/2018	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 09-07- B	7,775.20	42.00	7.00	35.00	80.0	26.60	
08/31/2018	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 09-14- B	3,834.00	36.00	7.00	29.00	80.0	21.80	
08/31/2018	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 08-31-1 B	1,747.04	36.00	7.00	29.00	80.0	21.80	
08/31/2018	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 08-31-1 S	-1,035.00	34.00	7.00	27.00	80.0	20.20	
08/31/2018	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 08-31-1 B	-1,747.04	-36.00	-7.00	-29.00	80.0	-21.80	
08/31/2018	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 08-31-1 B	436.76	32.00	7.00	25.00	80.0	18.60	
08/31/2018	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 08-31-1 S	1,035.00	-34.00	-7.00	-27.00	80.0	-20.20	
09/04/2018	QGW019622	MERKIN, SHARON		CALL 100 ALPHABET INC CL A EXP 09-2 B	12,570.00	38.00	7.00	31.00	80.0	23.40	
09/04/2018	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO B	5,180.00	36.00	7.00	29.00	80.0	21.80	

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/04/2018	QGW019622	MERKIN, SHARON		CALL 100 BAIDU COM INC SPONS ADR RE B	6,900.00	36.00	7.00	29.00	80.0 21.80
09/04/2018	QGW019622	MERKIN, SHARON		CALL 100 FACEBOOK INC CL A EXP 09-1 B	4,725.00	34.00	7.00	27.00	80.0 20.20
09/04/2018	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 09-07- B	525.00	32.00	7.00	25.00	80.0 18.60
09/05/2018	QGW019622	MERKIN, SHARON		CALL 100 ALPHABET INC CL A EXP 09-2 B	3,820.00	34.00	7.00	27.00	80.0 20.20
09/05/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 01-18-19 @390 S	-23,160.00	34.00	7.00	27.00	80.0 20.20
09/05/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 09-21-18 @330 S	-5,050.00	32.00	7.00	25.00	80.0 18.60
09/05/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 09-07-18 @320 S	-3,900.00	32.00	7.00	25.00	80.0 18.60
09/06/2018	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 09-07- B	3,748.10	42.00	7.00	35.00	80.0 26.60
09/06/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 01-18-19 @390 S	-23,000.00	32.00	7.00	25.00	80.0 18.60
09/07/2018	QGW019622	MERKIN, SHARON		CALL 100 ALPHABET INC CL A EXP 09-2 B	1,180.00	32.00	7.00	25.00	80.0 18.60
09/07/2018	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 09-07- S	-2,128.00	36.00	7.00	29.00	80.0 21.80
09/12/2018	QGW019622	MERKIN, SHARON		CALL 100 U S SILICA HLDGS INC COM E S	-2,250.00	34.00	7.00	27.00	80.0 20.20
09/13/2018	QGW019622	MERKIN, SHARON		CALL 100 TILRAY INC COM EXP 09-21-1 S	-4,650.00	36.00	7.00	29.00	80.0 21.80
09/14/2018	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO S	-3,873.98	42.00	7.00	35.00	80.0 26.60
09/14/2018	QGW019622	MERKIN, SHARON		CALL 100 BAIDU COM INC SPONS ADR RE B	4,140.00	34.00	7.00	27.00	80.0 20.20
09/14/2018	QGW019622	MERKIN, SHARON		CALL 100 FACEBOOK INC CL A EXP 09-1 S	-1,622.30	34.00	7.00	27.00	80.0 20.20
09/14/2018	QGW019622	MERKIN, SHARON		CALL 100 TILRAY INC COM EXP 09-21-1 B	1,929.99	34.00	7.00	27.00	80.0 20.20
09/18/2018	QGW019622	MERKIN, SHARON		CALL 100 BAIDU COM INC SPONS ADR RE B	6,440.00	36.00	7.00	29.00	80.0 21.80
09/19/2018	QGW019622	MERKIN, SHARON		CALL 100 BAIDU COM INC SPONS ADR RE S	-14,660.04	36.00	7.00	29.00	80.0 21.80
09/19/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-1,130.00	32.00	7.00	25.00	80.0 18.60
09/20/2018	QGW019622	MERKIN, SHARON		CALL 100 BAIDU COM INC SPONS ADR RE S	-24,740.04	36.00	7.00	29.00	80.0 21.80
09/20/2018	QGW019622	MERKIN, SHARON		CALL 100 ETF MANAGERS TR ETFMG ALTE S	-1,697.52	32.00	7.00	25.00	80.0 18.60
09/20/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-1,560.00	32.00	7.00	25.00	80.0 18.60
09/20/2018	QGW019622	MERKIN, SHARON		CALL 100 TILRAY INC COM EXP 09-21-1 S	-2,360.00	32.00	7.00	25.00	80.0 18.60
09/20/2018	QGW019622	MERKIN, SHARON		CALL 100 TILRAY INC COM EXP 09-21-1 B	180.00	32.00	7.00	25.00	80.0 18.60
09/21/2018	QGW019622	MERKIN, SHARON		CALL 100 ETF MANAGERS TR ETFMG ALTE B	1,340.00	32.00	7.00	25.00	80.0 18.60
09/21/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 01-18-19 @370 B	17,180.00	33.00	7.00	26.00	80.0 19.40
09/25/2018	QGW019622	MERKIN, SHARON		CALL 100 U S SILICA HLDGS INC COM E B	2,575.05	36.00	7.00	29.00	80.0 21.80
09/26/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 01-18-19 @370 B	15,230.00	34.00	7.00	27.00	80.0 20.20
09/20/2018	QGW019655	MERKIN, DAPHNE		CALL 100 ETF MANAGERS TR ETFMG ALTE S	-1,697.52	32.00	7.00	25.00	80.0 18.60
09/21/2018	QGW019655	MERKIN, DAPHNE		CALL 100 ETF MANAGERS TR ETFMG ALTE B	1,340.00	32.00	7.00	25.00	80.0 18.60
09/14/2018	QGW019663	MERKIN, DAVID		CALL 100 FACEBOOK INC CL A EXP 09-1 S	-486.69	32.00	7.00	25.00	80.0 18.60
08/31/2018	QGW028763	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-21- B	2,835.00	34.00	7.00	27.00	80.0 20.20
08/31/2018	QGW028763	MERKIN, SOLOMON		PUT 100 TESLA INC EXP 08-31-18 @310 S	-3,600.00	34.00	7.00	27.00	80.0 20.20
09/05/2018	QGW028763	MERKIN, SOLOMON		CALL 100 TILRAY INC COM EXP 09-07-1 S	-650.00	32.00	7.00	25.00	80.0 18.60
09/05/2018	QGW028763	MERKIN, SOLOMON		CALL 100 TILRAY INC COM EXP 09-07-1 S	650.00	-32.00	-7.00	-25.00	80.0 -18.60 C
09/07/2018	QGW028763	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-672.00	32.00	7.00	25.00	80.0 18.60
09/07/2018	QGW028763	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-07- S	-1,344.00	36.00	7.00	29.00	80.0 21.80
09/12/2018	QGW028763	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 10-05- B	2,560.00	36.00	7.00	29.00	80.0 21.80
09/04/2018	QGW028771	MERKIN, SOLOMON		CALL 100 BAIDU COM INC SPONS ADR RE B	6,900.00	36.00	7.00	29.00	80.0 21.80

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/04/2018	QGW028771	MERKIN, SOLOMON		CALL 100 BAIDU COM INC SPONS ADR RE B	-6,900.00	-36.00	-7.00	-29.00	80.0 -21.80 C
09/04/2018	QGW028771	MERKIN, SOLOMON		PUT 100 TESLA INC EXP 09-21-18 @330 S	-16,150.00	36.00	7.00	29.00	80.0 21.80
09/04/2018	QGW028771	MERKIN, SOLOMON		PUT 100 TESLA INC EXP 09-07-18 @320 S	-8,370.00	36.00	7.00	29.00	80.0 21.80
09/05/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-07- B	3,096.00	40.00	7.00	33.00	80.0 25.00
09/05/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-14- B	2,931.96	36.00	7.00	29.00	80.0 21.80
09/05/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-14- B	906.00	32.00	7.00	25.00	80.0 18.60
09/05/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TILRAY INC COM EXP 09-07-1 S	-650.00	32.00	7.00	25.00	80.0 18.60
09/12/2018	QGW028771	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO B	2,970.00	34.00	7.00	27.00	80.0 20.20
09/13/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 10-05- B	4,045.03	34.00	7.00	27.00	80.0 20.20
09/14/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-28- B	7,970.94	40.00	7.00	33.00	80.0 25.00
09/17/2018	QGW028771	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 09-28- B	1,144.00	34.00	7.00	27.00	80.0 20.20
09/20/2018	QGW028771	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-5,430.00	32.00	7.00	25.00	80.0 18.60
				* OPTIONS SUBTOTAL *	\$-4,727.84	\$2,613.00	\$532.00	\$2,081.00	\$1,558.40
				*** BROKER TRANSACTIONS TOTAL ***	\$186,566.55	\$7,647.00	\$1,582.00	\$6,065.00	\$4,535.60

ADJUSTMENTS

09/30/2018	ADVANCE: ADVANCE -08/30/2018	0.00	0.00	0.00	0.00	-4,000.00
09/30/2018	OTHER: TRADING	0.00	0.00	0.00	0.00	87.32
09/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
09/30/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	240.00
09/30/2018	OTHER: OTHER-REPAYMENT OF LOAN	0.00	0.00	0.00	0.00	-1,000.00
09/30/2018	OTHER: OFFICE 360	0.00	0.00	0.00	0.00	-8.00
09/30/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-500.00
09/30/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.44
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$-5,255.12

BROKER COMMISSION TOTALS

Broker Transactions	\$4,535.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,255.12
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.56
SIPC Assessment	\$-19.12

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-748.20
Year-to-date Earnings	\$39,445.58