

## COMMISSION STATEMENT

September 30, 2018

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #: V22 A04  
BRANCH: FULCRUM GROUP

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/27/2018	75502185	CARR, CHARLES	FA SEMICONDUCTORS FUND CLASS I	S	10,253.12	0.00	0.00	0.00	50.0	0.00
09/27/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR HEALTH CARE FUND C B		10,856.29	0.00	0.00	0.00	50.0	0.00
09/27/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR INDUSTRIALS CL I	S	10,856.29	0.00	0.00	0.00	50.0	0.00
09/27/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR HEALTH CARE FUND C B		10,253.12	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *					<b>\$42,218.82</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

PRODUCT CATEGORY: STOCKS

09/17/2018	75501743	CIAVARELLA, LOUIS	NUVEEN AMT FREE QUALITY MUNICIPAL I S		1,945.95	10.00	0.00	10.00	50.0	0.00
09/17/2018	75501757	BERGEN, MIMI	ALIBABA GROUP HOLDING LTD SPONSORED S		1,918.11	0.00	0.00	0.00	50.0	0.00
09/07/2018	75502207	GEYER, GEORGE	MICROSOFT CORP	B	10,815.00	0.00	0.00	0.00	50.0	0.00
09/11/2018	75502207	GEYER, GEORGE	OLLIES BARGAIN OUTLET HLDGS INC COM B		9,345.00	0.00	0.00	0.00	50.0	0.00
09/11/2018	75502207	GEYER, GEORGE	TURTLE BEACH CORP COM NEW	B	5,000.00	0.00	0.00	0.00	50.0	0.00
09/14/2018	75502207	GEYER, GEORGE	SALESFORCE.COM INC	B	4,731.60	0.00	0.00	0.00	50.0	0.00
09/14/2018	75502207	GEYER, GEORGE	TURTLE BEACH CORP COM NEW	S	3,800.20	0.00	0.00	0.00	50.0	0.00
09/21/2018	75502207	GEYER, GEORGE	COHEN & STEERS REIT & PREFERRED INC B		5,927.58	0.00	0.00	0.00	50.0	0.00
09/21/2018	75502207	GEYER, GEORGE	LOGITECH INTERNATIONAL SA	B	9,163.78	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					<b>\$52,647.22</b>	<b>\$10.00</b>	<b>\$0.00</b>	<b>\$10.00</b>		<b>\$0.00</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/11/2018	(None)	VARIOUS, CIAVARELLA	DELAWARE LIFE	B	0.00	158.99	0.00	158.99	50.0	79.50
09/14/2018	(None)	VARIOUS, CIAVARELLA	GENWORTH LIFE & ANNUNTY INS	B	0.00	66.39	0.00	66.39	50.0	33.20
* VARIABLE ANNUITIES SUBTOTAL *					<b>\$0.00</b>	<b>\$225.38</b>	<b>\$0.00</b>	<b>\$225.38</b>		<b>\$112.70</b>

PRODUCT CATEGORY: RIA

07/01/2018	75501737	HENDRIX, GREG	FAS FEES PT. III/III	B	-378.70	125.55	0.00	125.55	50.0	62.78
07/01/2018	75501740	GIOMMI MCDOWELL,	FAS FEES PT. III/III	B	-1,909.36	633.00	0.00	633.00	50.0	316.50
07/01/2018	75501741	GIOMMI MCDOWELL,	FAS FEES PT. III/III	B	-681.12	225.81	0.00	225.81	50.0	112.91
07/01/2018	75501742	CIAVARELLA, LOUIS	FAS FEES PT. III/III	B	-114.47	37.95	0.00	37.95	50.0	18.98
07/01/2018	75501749	CIAVARELLA, MELISSA	FAS FEES PT. III/III	B	-272.32	90.28	0.00	90.28	50.0	45.14
07/01/2018	75501752	CIAVARELLA, JAMES	FAS FEES PT. III/III	B	-54.50	18.07	0.00	18.07	50.0	9.04
07/01/2018	75501754	CIAVARELLA, JAMES	FAS FEES PT. III/III	B	-132.88	44.06	0.00	44.06	50.0	22.03
07/01/2018	75501755	MANFREDI, MICHAEL	FAS FEES PT. III/III	B	-932.93	309.29	0.00	309.29	50.0	154.65

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/01/2018	75501757 BERGEN, MIMI	FAS FEES PT. III/III	B	-193.69	64.22	0.00	64.22	50.0	32.11
07/01/2018	75502185 CARR, CHARLES	FAS FEES PT. III/III	B	-1,983.86	657.70	0.00	657.70	50.0	328.85
* RIA SUBTOTAL *				\$-6,653.83	\$2,205.93	\$0.00	\$2,205.93		\$1,102.99

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018		FEE COUNT - 134		0.00	480.64	0.00	480.64	50.0	226.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$480.64	\$0.00	\$480.64		\$226.03
*** BROKER TRANSACTIONS TOTAL ***				\$88,212.21	\$2,921.95	\$0.00	\$2,921.95		\$1,441.72

ADJUSTMENTS

09/30/2018		OTHER: UNBUNDLED FEES 3/3		0.00	0.00	0.00	0.00		-156.52
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-156.52

BROKER COMMISSION TOTALS

Broker Transactions	\$1,441.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-156.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.65
SIPC Assessment	\$-7.30

Check Amount	\$1,274.25
Year-to-date Earnings	\$16,174.27