



All Companies

12/28/2018  
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# COMMISSION STATEMENT

## January 02, 2019

JAMES M WAGNER  
1616 REDWOOD DR.

BROKER #: 9634  
BRANCH: HOME OFFICE

Corinth, TX 76210-

### COMMISSION STATEMENT for JAMES M WAGNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

10/11/2018	10040858	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	80.0	14.40
10/11/2018	11850980	ESHBAUGH, MARIE ANN	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	80.0	8.00
10/11/2018	13943878	BRYANT, TRAVIS/ELIZABET	SIGNAL SECURITIES RIA	B	0.00	60.34	0.00	60.34	80.0	48.27
10/11/2018	17370769	BRYANT, TRAVIS/ELIZABET	SIGNAL SECURITIES RIA	B	0.00	52.34	0.00	52.34	80.0	41.87
10/11/2018	18535467	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	41.34	0.00	41.34	80.0	33.07
10/11/2018	19298013	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	66.34	0.00	66.34	80.0	53.07
10/11/2018	19469299	HARRIS, AVRILLE	SIGNAL SECURITIES RIA	B	0.00	1.34	0.00	1.34	80.0	1.07
10/11/2018	20830445	BENZING, LINDA TRAMMEL	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	80.0	2.93
10/11/2018	21091566	ERWIN, REBECCA A	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	80.0	24.80
10/11/2018	22829176	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	1,153.34	0.00	1,153.34	80.0	922.67
10/11/2018	22918120	KORN, MARTIN & LINDA	SIGNAL SECURITIES RIA	B	0.00	180.66	0.00	180.66	80.0	144.53
10/11/2018	25156947	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	238.66	0.00	238.66	80.0	190.93
10/11/2018	25839119	ESHBAUGH, MARIE ANN	SIGNAL SECURITIES RIA	B	0.00	308.66	0.00	308.66	80.0	246.93
10/11/2018	26186475	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	513.00	0.00	513.00	80.0	410.40
10/11/2018	27001361	LANDEN, DIANNE M	SIGNAL SECURITIES RIA	B	0.00	29.66	0.00	29.66	80.0	23.73
10/11/2018	29729793	HAMMETT, KIMBERLY Z	SIGNAL SECURITIES RIA	B	0.00	41.66	0.00	41.66	80.0	33.33
10/11/2018	30264063	FLORY, JUDY ANN	SIGNAL SECURITIES RIA	B	0.00	20.66	0.00	20.66	80.0	16.53
10/11/2018	30943140	BENZING, ALLEN J	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	80.0	3.73
10/11/2018	36980599	FLORY, JEFFREY JAY	SIGNAL SECURITIES RIA	B	0.00	108.00	0.00	108.00	80.0	86.40
10/11/2018	40815510	VALLIERE, RALPH R	SIGNAL SECURITIES RIA	B	0.00	745.66	0.00	745.66	80.0	596.53
10/11/2018	43937292	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	16.66	0.00	16.66	80.0	13.33
10/11/2018	45378328	HUGGINS, SHEILA J	SIGNAL SECURITIES RIA	B	0.00	68.00	0.00	68.00	80.0	54.40
10/11/2018	47057974	LITTLETON, LYNN	SIGNAL SECURITIES RIA	B	0.00	195.66	0.00	195.66	80.0	156.53
10/11/2018	47494579	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	110.34	0.00	110.34	80.0	88.27
10/11/2018	47600328	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	97.66	0.00	97.66	80.0	78.13
10/11/2018	53797024	LANDEN, DIANNE M	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	80.0	11.73
10/11/2018	54775680	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	1,213.00	0.00	1,213.00	80.0	970.40
10/11/2018	54925593	JOHNSON, CAROL L	SIGNAL SECURITIES RIA	B	0.00	724.66	0.00	724.66	80.0	579.73

COMMISSION STATEMENT for JAMES M WAGNER

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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

10/11/2018	57171238	HARRIS, AVRILLE		SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	80.0	13.07
10/11/2018	57366997	ZANDER, JENNIFER L		SIGNAL SECURITIES RIA	B	0.00	53.00	0.00	53.00	80.0	42.40
10/11/2018	64853567	HARRIS, AVRILLE		SIGNAL SECURITIES RIA	B	0.00	107.00	0.00	107.00	80.0	85.60
10/11/2018	67428720	HANLEY, RHONDA R		SIGNAL SECURITIES RIA	B	0.00	36.34	0.00	36.34	80.0	29.07
10/11/2018	69154032	PESENKO, RICHARD D		SIGNAL SECURITIES RIA	B	0.00	164.00	0.00	164.00	80.0	131.20
10/11/2018	70538739	ZUPANCIC, JILL O		SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	80.0	15.73
10/11/2018	71473807	POLING, REBECCA J		SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	80.0	19.20
10/11/2018	71490463	PESENKO, RICHARD D		SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	80.0	4.00
10/11/2018	72154080	POLING, REBECCA J		SIGNAL SECURITIES RIA	B	0.00	31.34	0.00	31.34	80.0	25.07
10/11/2018	74912863	BENZING, ALLEN J		SIGNAL SECURITIES RIA	B	0.00	21.34	0.00	21.34	80.0	17.07
10/11/2018	75355277	JOHNSON, CAROL L		SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	80.0	0.27
10/11/2018	76564602	KORN, MARTIN & LINDA		SIGNAL SECURITIES RIA	B	0.00	46.34	0.00	46.34	80.0	37.07
10/11/2018	77729049	GOMEZ, ADRIANA H		SIGNAL SECURITIES RIA	B	0.00	161.00	0.00	161.00	80.0	128.80
10/11/2018	82493669	ZUPANCIC, JILL O		SIGNAL SECURITIES RIA	B	0.00	90.00	0.00	90.00	80.0	72.00
10/11/2018	85700172	HUGGINS, SHEILA J		SIGNAL SECURITIES RIA	B	0.00	43.66	0.00	43.66	80.0	34.93
10/11/2018	89362682	HAMMETT, KIMBERLY Z		SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34	80.0	17.87
10/11/2018	91197931	BENZING, ALLEN J		SIGNAL SECURITIES RIA	B	0.00	104.66	0.00	104.66	80.0	83.73
10/11/2018	98573966	POLING, REBECCA J		SIGNAL SECURITIES RIA	B	0.00	37.66	0.00	37.66	80.0	30.13
10/11/2018	99643452	HAMMETT, ROBERT		SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34	80.0	29.87
WILLIAM											

* RIA SUBTOTAL *	\$0.00	\$7,090.98	\$0.00	\$7,090.98	\$5,672.79
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*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$7,090.98	\$0.00	\$7,090.98	\$5,672.79
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SPLIT TRANSACTIONSBROKER: WAGNER/BARFIELD #9036

10/11/2018	48948925	PANNECK, DEBRA G.		SIGNAL SECURITIES RIA	B	0.00	75.83	0.00	75.83	80.0	60.66
* WAGNER/BARFIELD SUBTOTAL *											
						\$0.00	\$75.83	\$0.00	\$75.83		\$60.66
						*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$75.83	\$0.00	\$75.83	\$60.66

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ADJUSTMENTS

01/02/2019		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
01/02/2019		TECH: WAGNER ASSISTANT TECH FEES		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-147.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,672.79
Split Transactions	\$60.66
Override Transactions	\$0.00
Adjustments	\$-147.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-147.50

Check Amount	\$5,438.45
Year-to-date Earnings	\$5,438.45