

COMMISSION STATEMENT October 31, 2018

 DEAN DIXON-D340
 1473 South Evanston Street

 BROKER #: D340
 BRANCH: DMN

Aurora, CO 80012

COMMISSION STATEMENT for DEAN DIXON-D340

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/25/2018	000000009491251	BURTOELAIE	MF	FRANKLIN INCOME FUND CLASS C	B	50.00	0.50	0.00	0.50	65.0	0.33
10/22/2018	000000009698489	RICE, DONALD	MF	FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	65.0	1.63
10/10/2018	00088570140	MCCOY, JOSEPHINE	MF	GROWTH AND INCOME PORTFOLIO-A	B	100.00	5.00	0.00	5.00	65.0	3.25
10/10/2018	00088570140	MCCOY, JOSEPHINE	MF	GROWTH AND INCOME PORTFOLIO-A	B	41.16	2.06	0.00	2.06	65.0	1.34
10/10/2018	00088570147	CHRISTOPHER,	MF	BALANCED PORTFOLIO-A	B	75.00	3.75	0.00	3.75	65.0	2.44
10/10/2018	00088570147	CHRISTOPHER,	MF	BALANCED PORTFOLIO-A	B	40.66	2.03	0.00	2.03	65.0	1.32
10/10/2018	04001062521	ELZEY, ANGELA	MF	GROWTH PORTFOLIO-A	B	200.00	10.00	0.00	10.00	65.0	6.50
10/10/2018	04001062521	ELZEY, ANGELA	MF	GROWTH PORTFOLIO-A	B	2.00	0.10	0.00	0.10	65.0	0.07
10/22/2018	04001124181	CO/TOD	MF	THE INVESTMENT COMPANY OF	B	50.00	2.50	0.00	2.50	65.0	1.63
* MUTUAL FUNDS SUBTOTAL *						\$808.82	\$28.44	\$0.00	\$28.44	\$18.51	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018	(None)	ELZEY, ANGELA	MFT	SCHOLARS CHOICE	B	0.00	1.55	0.00	1.55	65.0	1.01
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1.55	\$0.00	\$1.55		\$1.01
				*** DIRECT TRANSACTIONS TOTAL ***		\$808.82	\$29.99	\$0.00	\$29.99		\$19.52

ADJUSTMENTS TRANSACTIONS
BROKER: #0

10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	FINRA CE		0.00	0.00	0.00	0.00		-55.00
				* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$-80.00
				*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$-80.00

BROKER COMMISSION TOTALS

Broker Transactions	\$19.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-80.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-60.48
Year-to-date Commissions	\$3,290.19