

COMMISSION STATEMENT

December 15, 2018

 DREW NIXON-D350
 1509 West Panola

 BROKER #: D350
 BRANCH: DMV

Carthage, TX 75633

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/30/2018	1008334745	WEDDEL, LOIS	VA	PERSPECTIVE L	B	77,423.12	90.33	0.00	90.33	90.0	81.30
				* VARIABLE ANNUITIES SUBTOTAL *		\$77,423.12	\$90.33	\$0.00	\$90.33		\$81.30

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/03/2018	00059877466	WEDGEWORTH, DORIS	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	5.77	0.00	5.77	90.0	5.19
12/03/2018	00062135600	BAGLEY, HIRAM	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	6.10	0.00	6.10	90.0	5.49
12/03/2018	00063249990	CARTER, PAULA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	44.43	0.00	44.43	90.0	39.99
12/03/2018	00063249990	CARTER, PAULA	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	48.02	0.00	48.02	90.0	43.22
12/03/2018	00063249990	CARTER, PAULA	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	2.89	0.00	2.89	90.0	2.60
12/03/2018	00063249990	CARTER, PAULA	MFT	NEW PERSPECTIVE FUND-A	B	0.00	13.83	0.00	13.83	90.0	12.45
12/03/2018	00063249990	CARTER, PAULA	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	51.35	0.00	51.35	90.0	46.22
12/03/2018	00063249990	CARTER, PAULA	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	2.87	0.00	2.87	90.0	2.58
12/03/2018	00063509725	JOHNSON, MARKEAL	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	27.34	0.00	27.34	90.0	24.61
12/03/2018	00063534299	RAY, BRANDICE	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	5.10	0.00	5.10	90.0	4.59
12/03/2018	00063817540	LEWIS, CHARLES	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.33	0.00	3.33	90.0	3.00
12/03/2018	00063865504	WOODFIN, JASON	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	0.09	0.00	0.09	90.0	0.08
12/03/2018	00064060924	MABERRY, CHARLENE	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	2.86	0.00	2.86	90.0	2.57
12/03/2018	00064461334	BLAIR, REBEKAH	MFT	THE INVESTMENT COMPANY OF	B	0.00	3.59	0.00	3.59	90.0	3.23
12/03/2018	00068124392	DOSSABHOY, BEHRAM	MFT	AMERICAN MUTUAL FUND-529A	B	0.00	51.84	0.00	51.84	90.0	46.66
12/03/2018	00068124392	DOSSABHOY, BEHRAM	MFT	THE GROWTH FUND OF AMERICA-529A	B	0.00	93.36	0.00	93.36	90.0	84.02
12/03/2018	00068124392	DOSSABHOY, BEHRAM	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	40.57	0.00	40.57	90.0	36.51
12/03/2018	00081262034	DUBOSE, SAMANTHA	MFT	SHORT-TERM BOND FUND OF	B	0.00	0.34	0.00	0.34	90.0	0.31
12/03/2018	00081262120	DUBOSE, SAMANTHA	MFT	SHORT-TERM BOND FUND OF	B	0.00	0.34	0.00	0.34	90.0	0.31
12/03/2018	00082539213	HOWARD, LINDSAY	MFT	CAPITAL INCOME BUILDER-529A	B	0.00	4.71	0.00	4.71	90.0	4.24
12/03/2018	00082539213	HOWARD, LINDSAY	MFT	CAPITAL INCOME BUILDER-529C	B	0.00	16.70	0.00	16.70	90.0	15.03
12/03/2018	00085423196	HARVEY, PAUL	MFT	THE BOND FUND OF AMERICA-A	B	0.00	5.91	0.00	5.91	90.0	5.32
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$431.34	\$0.00	\$431.34		\$388.22

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/24/2018	(None)	COOPER, TINA	VAT	AMERICAN GENERAL	B	0.00	73.98	0.00	73.98	90.0	66.58
11/29/2018	(None)	NORRIS, LAVEDA	VAT	AMERICAN GENERAL	B	0.00	46.33	0.00	46.33	90.0	41.70
11/29/2018	(None)	ALLEMAN, MARTIN	VAT	AMERICAN GENERAL	B	0.00	1.44	0.00	1.44	90.0	1.30

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12/01/2018 (None)	LOVIL, GEORGE	VAT AMERICAN GENERAL	B	0.00	31.27	0.00	31.27	90.0	28.14
12/03/2018 (None)	AZBELL, WANDA	VAT AMERICAN GENERAL	B	0.00	42.13	0.00	42.13	90.0	37.92
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$195.15	\$0.00	\$195.15		\$175.64
*** DIRECT TRANSACTIONS TOTAL ***				\$77,423.12	\$716.82	\$0.00	\$716.82		\$645.16

OVERRIDE TRANSACTIONS**BROKER: JIM HUGHES-D349 #138**

11/26/2018 (None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	39.78	0.00	39.78		3.98
11/26/2018 0000000000055083	MEDLEY, DONNA	MF FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50		0.25
12/03/2018 0000000001642697	RODGKATH	MF FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00		0.10
11/26/2018 0000000003912312	ADAMS, MICH	MF MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00		0.30
11/26/2018 0000000004070696	MEDLEY, DONNA	MF MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50		0.25
12/03/2018 0000000007053662	DUFREMAXA	MF CUSIP 645769696	B	100.00	5.00	0.00	5.00		0.50
12/03/2018 0000000012760498	POLLOSTEP	MF FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58		0.46
11/16/2018 (None)	LOVELADY, JERRY	VA TALCOTT RESOLUTION	B	60,472.00	42.33	0.00	42.33		4.23
11/16/2018 (None)	ESTER, NORMAN	VA TALCOTT RESOLUTION	B	236.22	16.54	0.00	16.54		1.65
12/04/2018 1069511004	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	100.00	5.00	0.00	5.00		0.50
12/04/2018 1301070095	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	1,010.20	50.51	0.00	50.51		5.05
12/03/2018 1301103647	ELWELL, JOSEPH	VA SECURITY BENEFIT LIFE #39	B	573.42	28.67	0.00	28.67		2.87
12/03/2018 01076259519	MARY E GILLIS	MFT THE INVESTMENT COMPANY OF	B	0.00	1.87	0.00	1.87		0.19
12/07/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	24.40	0.00	24.40		2.44
12/03/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00		4.20
12/03/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00		4.20
12/03/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00		4.20
12/03/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	27.30	0.00	27.30		2.73
12/03/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.84	0.00	21.84		2.18
12/03/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.84	0.00	21.84		2.18
12/03/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	17.78	0.00	17.78		1.78
12/03/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.22	0.00	14.22		1.42
12/03/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.22	0.00	14.22		1.42
12/03/2018 VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.52	0.00	21.52		2.15
12/03/2018 VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	17.22	0.00	17.22		1.72
12/03/2018 VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	17.22	0.00	17.22		1.72
12/03/2018 VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.39	0.00	14.39		1.44
12/03/2018 VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	11.51	0.00	11.51		1.15
12/03/2018 VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	11.51	0.00	11.51		1.15
12/03/2018 VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	12.97	0.00	12.97		1.30
12/03/2018 VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.50	0.00	10.50		1.05
12/03/2018 VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	8.64	0.00	8.64		0.86
12/03/2018 VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	18.90	0.00	18.90		1.89
12/03/2018 VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	15.12	0.00	15.12		1.51
12/03/2018 VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	15.12	0.00	15.12		1.51

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		* JIM HUGHES-D349	SUBTOTAL *	\$63,850.17	\$645.50	\$0.00	\$645.50		\$64.53
		*** OVERRIDE TRANSACTIONS TOTAL ***		\$63,850.17	\$645.50	\$0.00	\$645.50		\$64.53

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018	: 2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
12/15/2018	: TX BR AMENDMENT FEE	0.00	0.00	0.00	0.00	-25.00
	* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-50.00
	*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$645.16
Split Transactions	\$0.00
Override Transactions	\$64.53
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$659.69
Year-to-date Commissions	\$95,902.81