

COMMISSION STATEMENT
August 15, 2018

RON ROCCA FORTE-D055

BROKER #: D055 Terminated 12/31/2017
BRANCH: DMK

, TX

COMMISSION STATEMENT for RON ROCCA FORTE-D055

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/07/2018	00655443885	ABALOS, PEDRO	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	1.95	0.00	1.95	0.0	0.00
08/07/2018	00655443893	ABALOS, NATHAN	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	1.95	0.00	1.95	0.0	0.00
08/07/2018	00689739571	MEZA, ALBERT	MFT FIDELITY ADV EQUITY GROWTH CL A B	0.00	1.08	0.00	1.08	0.0	0.00
08/07/2018	00689857290	SMITH UTMA-TX,	MFT FIDELITY ADV TECHNOLOGY CL A B	0.00	0.93	0.00	0.93	0.0	0.00
08/07/2018	00704852615	JONATHAN Z	MFT FA 529 PORT 2022 CL A B	0.00	7.38	0.00	7.38	0.0	0.00
08/07/2018	00704852615	JONATHAN Z	MFT FA 529 PORT 2022 CL C B	0.00	1.44	0.00	1.44	0.0	0.00
08/07/2018	00704852623	CONNOR Z	MFT FA 529 PORT 2022 CL A B	0.00	7.36	0.00	7.36	0.0	0.00
08/07/2018	00704852623	CONNOR Z	MFT FA 529 PORT 2022 CL C B	0.00	1.44	0.00	1.44	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$23.53	\$0.00	\$23.53		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$23.53	\$0.00	\$23.53		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$1,419.92