

## COMMISSION STATEMENT

November 30, 2018

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: R55

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/30/2018	QGW016040	NEWMAN, M	CANOPY GROWTH CORP COM ISIN# CA1380	S	-8,000.00	53.00	10.00	43.00	45.0	19.35
11/09/2018	QGW016214	MAIMAN, JUDITH	CELLEX THERAPEUTICS INC	S	-112.41	38.00	10.00	28.00	45.0	12.60
11/15/2018	QGW016321	ROSIN, MAX	AMYRIS INC COM NEW	B	3,963.30	61.00	10.00	51.00	45.0	22.95
11/01/2018	QGW016586	KRAUSS, ANDREW	GAMESTOP CORP NEW CLASS A	S	-23,980.50	90.00	10.00	80.00	45.0	36.00
11/09/2018	QGW025579	KRAUSS, NANCY	PHILIP MORRIS INTERNATIONAL INC	S	-4,971.46	51.00	10.00	41.00	45.0	18.45
11/01/2018	QGW039331	PIZZOLO, VINCENT	AT&T INC	S	-35,132.38	90.00	10.00	80.00	45.0	36.00
* STOCKS SUBTOTAL *					\$-68,233.45	\$383.00	\$60.00	\$323.00		\$145.35
PRODUCT CATEGORY: BONDS										
11/01/2018	QGW016479	JASON, LAURIE	UNITED STATES TREASURY BILL	B	104,403.34	51.00	10.00	41.00	45.0	18.45
11/01/2018	QGW016479	JASON, LAURIE	UNITED STATES TREAS BILLS 0.000% 05	B	103,722.44	51.00	10.00	41.00	45.0	18.45
11/01/2018	QGW016487	JASON, LAURIE	UNITED STATES TREAS BILLS 0.000% 03	B	39,619.59	50.00	10.00	40.00	45.0	18.00
11/01/2018	QGW016495	JASON, LAURIE	UNITED STATES TREASURY BILL	B	258,522.55	61.00	10.00	51.00	45.0	22.95
11/01/2018	QGW016495	JASON, LAURIE	UNITED STATES TREAS BILLS 0.000% 05	B	256,836.52	61.00	10.00	51.00	45.0	22.95
* BONDS SUBTOTAL *					\$763,104.44	\$274.00	\$50.00	\$224.00		\$100.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/30/2018		FEE COUNT - 11			0.00	142.18	0.00	142.18	45.0	63.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$142.18	\$0.00	\$142.18		\$63.98
*** BROKER TRANSACTIONS TOTAL ***					\$694,870.99	\$799.18	\$110.00	\$689.18		\$310.13

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ADJUSTMENTS

11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$310.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.00
SIPC Assessment	\$-2.00

Check Amount	\$279.13
Year-to-date Earnings	\$3,011.39