

COMMISSION STATEMENT November 30, 2018

BATISTA-073757 DPM

 BROKER #:
 BRANCH: DWA

, TX

COMMISSION STATEMENT for BATISTA-073757 DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/02/2018 153001387	BROOKS, SHERRON	MF MFS INTL NEW DISCOVERY R6	S	-8,999.69	0.00	5.00	-5.00	0.0	0.00
11/20/2018 153001387	BROOKS, SHERRON	MF JPMORGAN CORE PLUS BD R6	B	-2,997.55	0.00	5.00	-5.00	0.0	0.00
11/20/2018 153001387	BROOKS, SHERRON	MF PIMCO INCOME FD I	B	-4,997.55	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$-16,994.79	\$0.00	\$15.00	\$-15.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
11/02/2018 153001387	BROOKS, SHERRON	S MICROSOFT CORPORATION	B	9,063.15	15.00	15.00	0.00	0.0	0.00
11/05/2018 339045956	HEBERT TOD,	S ISHARES CORE S&P 500 ETF	S	-1,632.00	15.00	15.00	0.00	0.0	0.00
11/19/2018 339045956	HEBERT TOD,	S APPLE INC	S	-2,212.00	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$5,219.15	\$45.00	\$45.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/21/2018 mfc073757	VARIOUS,	MFT AMCAP FUND-A	B	0.00	0.31	0.00	0.31	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.31	\$0.00	\$0.31		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-11,775.64	\$45.31	\$60.00	\$-14.69		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00