

COMMISSION STATEMENT

September 15, 2018

 KRISTINA HANLEY-D214
 547 E Nottingham

 BROKER #: D214
 BRANCH: DMN

San Antonio, TX 78209

COMMISSION STATEMENT for KRISTINA HANLEY-D214

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/31/2018	00082331326	ANDREW GLASSFORD, MF	SMALLCAP WORLD FUND-A	B	50.00	1.88	0.00	1.88	85.0	1.60
08/31/2018	00082331326	ANDREW GLASSFORD, MF	THE NEW ECONOMY FUND-A	B	100.00	3.75	0.00	3.75	85.0	3.19
* MUTUAL FUNDS SUBTOTAL *					\$150.00	\$5.63	\$0.00	\$5.63		\$4.79
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/04/2018	00068350786	ANDREW GLASSFORD, MFT	AMCAP FUND-A	B	0.00	8.63	0.00	8.63	85.0	7.34
09/04/2018	00068350786	ANDREW GLASSFORD, MFT	SMALLCAP WORLD FUND-A	B	0.00	9.54	0.00	9.54	85.0	8.11
09/04/2018	00068350786	ANDREW GLASSFORD, MFT	THE NEW ECONOMY FUND-A	B	0.00	10.27	0.00	10.27	85.0	8.73
09/04/2018	00082331326	ANDREW GLASSFORD, MFT	AMCAP FUND-A	B	0.00	6.86	0.00	6.86	85.0	5.83
09/04/2018	00082331326	ANDREW GLASSFORD, MFT	SMALLCAP WORLD FUND-A	B	0.00	4.83	0.00	4.83	85.0	4.11
09/04/2018	00082331326	ANDREW GLASSFORD, MFT	THE NEW ECONOMY FUND-A	B	0.00	12.66	0.00	12.66	85.0	10.76
09/04/2018	00086872811	CLARK, JANIS	MFT AMERICAN BALANCED FUND-A	B	0.00	44.34	0.00	44.34	85.0	37.69
09/04/2018	00087037960	DIAL, PEGGY	MFT AMERICAN BALANCED FUND-A	B	0.00	12.13	0.00	12.13	85.0	10.31
09/04/2018	00087037970	SHEARER, STEPHEN	MFT AMERICAN BALANCED FUND-A	B	0.00	4.50	0.00	4.50	85.0	3.83
09/04/2018	00087447226	DIAL, PEGGY	MFT AMERICAN BALANCED FUND-A	B	0.00	240.46	0.00	240.46	85.0	204.39
09/04/2018	00088642183	EASLEY, CATHERINE	MFT AMCAP FUND-A	B	0.00	92.59	0.00	92.59	85.0	78.70
09/04/2018	00088642183	EASLEY, CATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	82.99	0.00	82.99	85.0	70.54
09/04/2018	00088642646	CATHERINE D	MFT AMCAP FUND-A	B	0.00	27.43	0.00	27.43	85.0	23.32
09/04/2018	00088642646	CATHERINE D	MFT AMERICAN BALANCED FUND-A	B	0.00	23.91	0.00	23.91	85.0	20.32
09/04/2018	00089233011	SCOTT A LENTS	MFT AMERICAN BALANCED FUND-A	B	0.00	1.65	0.00	1.65	85.0	1.40
09/04/2018	00089233011	SCOTT A LENTS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.88	0.00	5.88	85.0	5.00
09/04/2018	04000594733	CLARK, JANIS	MFT AMERICAN BALANCED FUND-A	B	0.00	27.50	0.00	27.50	85.0	23.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$616.17	\$0.00	\$616.17		\$523.76
*** DIRECT TRANSACTIONS TOTAL ***					\$150.00	\$621.80	\$0.00	\$621.80		\$528.55

BROKER COMMISSION TOTALS

Broker Transactions	\$528.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-65.42
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$463.13
Year-to-date Commissions	\$1,566.31