



COMMISSION STATEMENT

December 17, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: NEIL BAUMAN</u>								
12/03/2018	***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	2.06	0.00	2.06	0.5	0.01
12/03/2018	***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.83	0.00	10.83	0.5	0.05
12/03/2018	***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	11.23	0.00	11.23	0.5	0.06
* NEIL BAUMAN SUBTOTAL *			\$0.00	\$24.12	\$0.00	\$24.12		\$0.12
<u>BROKER: ALEXANDER HACKLEY</u>								
12/19/2018	***-**-90FB - ETHRIDGE, MAX ACCOUNT #: RE2947000R	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	436.97	422.11	0.00	422.11	0.5	2.11
* ALEXANDER HACKLEY SUBTOTAL *			\$436.97	\$422.11	\$0.00	\$422.11		\$2.11
<u>BROKER: GARRETT MORETZ</u>								
12/17/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	809.97	0.00	809.97	0.5	4.05
12/19/2018	***-**-29FB - ANTHONY, APRIL ACCOUNT #: RB5070200L	EQIS ADVISOR FEES BUY 0 Units @0.0000	77.67	77.67	0.00	77.67	0.5	0.39
12/19/2018	***-**-86FB - ARRINGTON, ROGER ACCOUNT #: RH0813100D	EQIS ADVISOR FEES BUY 0 Units @0.0000	75.10	75.10	0.00	75.10	0.5	0.38
12/19/2018	***-**-86FB - ARRINGTON, ROGER ACCOUNT #: RH24513009	EQIS ADVISOR FEES BUY 0 Units @0.0000	57.42	57.42	0.00	57.42	0.5	0.29
12/19/2018	***-**-71FB - BEDDOW, DONALD ACCOUNT #: RE1889900Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	92.58	92.58	0.00	92.58	0.5	0.46
12/19/2018	***-**-71FB - BEDDOW, DONALD ACCOUNT #: RE1910700J	EQIS ADVISOR FEES BUY 0 Units @0.0000	33.35	33.35	0.00	33.35	0.5	0.17
12/19/2018	***-**-40FB - BOHNAKER, ANGELA ACCOUNT #: RC6490700F	EQIS ADVISOR FEES BUY 0 Units @0.0000	38.52	38.52	0.00	38.52	0.5	0.19
12/19/2018	***-**-08FB - BROOKS, BRENDA ACCOUNT #: RE0183100R	EQIS ADVISOR FEES BUY 0 Units @0.0000	26.52	26.52	0.00	26.52	0.5	0.13
12/19/2018	***-**-16FB - BROWN, RICHARD ACCOUNT #: RE08169003	EQIS ADVISOR FEES BUY 0 Units @0.0000	12.69	12.69	0.00	12.69	0.5	0.06



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
12/19/2018	***-**-16FB - BROWN, RICHARD	EQIS ADVISOR FEES	82.81	82.81	0.00	82.81	0.5	0.41
	ACCOUNT #: RE1604700Z	BUY 0 Units @0.0000						
12/19/2018	***-**-95FB - BROWN, SUSAN	EQIS ADVISOR FEES	77.28	77.28	0.00	77.28	0.5	0.39
	ACCOUNT #: RD9347700L	BUY 0 Units @0.0000						
12/19/2018	***-**-64FB - BURKS, RONALD	EQIS ADVISOR FEES	24.96	24.96	0.00	24.96	0.5	0.12
	ACCOUNT #: RH5786100H	BUY 0 Units @0.0000						
12/19/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	67.44	67.44	0.00	67.44	0.5	0.34
	ACCOUNT #: RD90457005	BUY 0 Units @0.0000						
12/19/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	168.26	168.26	0.00	168.26	0.5	0.84
	ACCOUNT #: RD9898400H	BUY 0 Units @0.0000						
12/19/2018	***-**-71FB - CARPENTIER,	EQIS ADVISOR FEES	25.79	25.79	0.00	25.79	0.5	0.13
	ACCOUNT #: RC9591500B	BUY 0 Units @0.0000						
12/19/2018	***-**-77FB - CLAYTON, HORACE	EQIS ADVISOR FEES	172.85	172.85	0.00	172.85	0.5	0.86
	ACCOUNT #: RD9998300X	BUY 0 Units @0.0000						
12/19/2018	***-**-68FB - CLAYTON, LINDA	EQIS ADVISOR FEES	39.44	39.44	0.00	39.44	0.5	0.20
	ACCOUNT #: RE0183300B	BUY 0 Units @0.0000						
12/19/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	23.89	23.89	0.00	23.89	0.5	0.12
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
12/19/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	36.15	36.15	0.00	36.15	0.5	0.18
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
12/19/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	40.44	40.44	0.00	40.44	0.5	0.20
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
12/19/2018	***-**-36FB - CLINGON, KAREN	EQIS ADVISOR FEES	34.72	34.72	0.00	34.72	0.5	0.17
	ACCOUNT #: RE3070500V	BUY 0 Units @0.0000						
12/19/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	8.88	8.88	0.00	8.88	0.5	0.04
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
12/19/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	31.49	31.49	0.00	31.49	0.5	0.16
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
12/19/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	50.87	50.87	0.00	50.87	0.5	0.25
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
12/19/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	43.36	43.36	0.00	43.36	0.5	0.22
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
12/19/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	229.56	229.56	0.00	229.56	0.5	1.15
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
12/19/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.10	14.10	0.00	14.10	0.5	0.07
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
12/19/2018	***-**-85FB - FARZALO, TAMAR	EQIS ADVISOR FEES	14.57	14.57	0.00	14.57	0.5	0.07
	ACCOUNT #: RG9962600R	BUY 0 Units @0.0000						
12/19/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	124.04	124.04	0.00	124.04	0.5	0.62
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
12/19/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	110.56	110.56	0.00	110.56	0.5	0.55
	ACCOUNT #: RH70414009	BUY 0 Units @0.0000						
12/19/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.11	6.11	0.00	6.11	0.5	0.03
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
12/19/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	29.14	29.14	0.00	29.14	0.5	0.15
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						
12/19/2018	***-**-68FB - GLAUDIN, EDDY	EQIS ADVISOR FEES	30.00	30.00	0.00	30.00	0.5	0.15
	ACCOUNT #: RB8331200P	BUY 0 Units @0.0000						
12/19/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	113.47	113.47	0.00	113.47	0.5	0.57
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
12/19/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	41.37	41.37	0.00	41.37	0.5	0.21
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
12/19/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	125.53	125.53	0.00	125.53	0.5	0.63
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						
12/19/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES	23.19	23.19	0.00	23.19	0.5	0.12
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
12/19/2018	***-**-84FB - HERNDON, JEAN	EQIS ADVISOR FEES	163.69	163.69	0.00	163.69	0.5	0.82
	ACCOUNT #: RD58625005	BUY 0 Units @0.0000						
12/19/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES	20.65	20.65	0.00	20.65	0.5	0.10
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
12/19/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	109.85	109.85	0.00	109.85	0.5	0.55
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						
12/19/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	53.41	53.41	0.00	53.41	0.5	0.27
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: GARRETT MORETZ (continued)</u> 								
12/19/2018	***-**-45FB - HULL, DEBORAH	EQIS ADVISOR FEES	14.29	14.29	0.00	14.29	0.5	0.07
	ACCOUNT #: RG98804003	BUY 0 Units @0.0000						
12/19/2018	***-**-13FB - HULL, GARY	EQIS ADVISOR FEES	65.02	65.02	0.00	65.02	0.5	0.33
	ACCOUNT #: RE2367800J	BUY 0 Units @0.0000						
12/19/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES	17.45	17.45	0.00	17.45	0.5	0.09
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
12/19/2018	***-**-26FB - KOMINEK, DAVID	EQIS ADVISOR FEES	110.20	110.20	0.00	110.20	0.5	0.55
	ACCOUNT #: RD9045800X	BUY 0 Units @0.0000						
12/19/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES	10.93	10.93	0.00	10.93	0.5	0.05
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
12/19/2018	***-**-88FB - LITTLETON,	EQIS ADVISOR FEES	39.08	39.08	0.00	39.08	0.5	0.20
	ACCOUNT #: RB0358400H	BUY 0 Units @0.0000						
12/19/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES	39.37	39.37	0.00	39.37	0.5	0.20
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						
12/19/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	25.03	25.03	0.00	25.03	0.5	0.13
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						
12/19/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	85.42	85.42	0.00	85.42	0.5	0.43
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						
12/19/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	19.65	19.65	0.00	19.65	0.5	0.10
	ACCOUNT #: RD5247000H	BUY 0 Units @0.0000						
12/19/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	18.68	18.68	0.00	18.68	0.5	0.09
	ACCOUNT #: RD52495005	BUY 0 Units @0.0000						
12/19/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	59.26	59.26	0.00	59.26	0.5	0.30
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
12/19/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	13.64	13.64	0.00	13.64	0.5	0.07
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
12/19/2018	***-**-96FB - MERLO, JANE	EQIS ADVISOR FEES	49.53	49.53	0.00	49.53	0.5	0.25
	ACCOUNT #: RH35548005	BUY 0 Units @0.0000						
12/19/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	71.92	71.92	0.00	71.92	0.5	0.36
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
12/19/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	2.25	2.25	0.00	2.25	0.5	0.01
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
12/19/2018	***-**-70FB - FERRELL, ACCOUNT #: RB50708009	EQIS ADVISOR FEES BUY 0 Units @0.0000	49.36	49.36	0.00	49.36	0.5	0.25
12/19/2018	***-**-759I - MERRILL, SARA ACCOUNT #: RB5875400D	EQIS ADVISOR FEES BUY 0 Units @0.0000	23.83	23.83	0.00	23.83	0.5	0.12
12/19/2018	***-**-58FB - NELSON-GAINES, ACCOUNT #: RH0166600L	EQIS ADVISOR FEES BUY 0 Units @0.0000	12.74	12.74	0.00	12.74	0.5	0.06
12/19/2018	***-**-06FB - PARSONS, CLARE ACCOUNT #: RG9534800V	EQIS ADVISOR FEES BUY 0 Units @0.0000	59.16	59.16	0.00	59.16	0.5	0.30
12/19/2018	***-**-06FB - PARSONS, CLARE ACCOUNT #: RG9885700V	EQIS ADVISOR FEES BUY 0 Units @0.0000	18.99	18.99	0.00	18.99	0.5	0.09
12/19/2018	***-**-77FB - PECK, GORDON ACCOUNT #: RE14731003	EQIS ADVISOR FEES BUY 0 Units @0.0000	33.26	33.26	0.00	33.26	0.5	0.17
12/19/2018	***-**-98FB - PFYFFER, ALBERT ACCOUNT #: RE1500600F	EQIS ADVISOR FEES BUY 0 Units @0.0000	37.33	37.33	0.00	37.33	0.5	0.19
12/19/2018	***-**-35FB - REYNOLDS, DAVID ACCOUNT #: RH67367001	EQIS ADVISOR FEES BUY 0 Units @0.0000	21.29	21.29	0.00	21.29	0.5	0.11
12/19/2018	***-**-35FB - REYNOLDS, DAVID ACCOUNT #: RH67371009	EQIS ADVISOR FEES BUY 0 Units @0.0000	15.02	15.02	0.00	15.02	0.5	0.08
12/19/2018	***-**-43FB - RODES, KENNETH ACCOUNT #: RE1686200F	EQIS ADVISOR FEES BUY 0 Units @0.0000	84.67	84.67	0.00	84.67	0.5	0.42
12/19/2018	***-**-13FB - RUSSELL, MARK ACCOUNT #: RG9722700B	EQIS ADVISOR FEES BUY 0 Units @0.0000	31.23	31.23	0.00	31.23	0.5	0.16
12/19/2018	***-**-51FB - SCHMITT, CAROL ACCOUNT #: RD9797400D	EQIS ADVISOR FEES BUY 0 Units @0.0000	74.93	74.93	0.00	74.93	0.5	0.37
12/19/2018	***-**-67FB - SCHMITT, EDWARD ACCOUNT #: RE0237700Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	15.14	15.14	0.00	15.14	0.5	0.08
12/19/2018	***-**-52FB - SCHNABEL, ACCOUNT #: RH00769005	EQIS ADVISOR FEES BUY 0 Units @0.0000	68.53	68.53	0.00	68.53	0.5	0.34
12/19/2018	***-**-18FB - SMITH, JONATHAN ACCOUNT #: RH6464500D	EQIS ADVISOR FEES BUY 0 Units @0.0000	62.80	62.80	0.00	62.80	0.5	0.31
12/19/2018	***-**-17FB - SPENCER, TERESA ACCOUNT #: RH62435005	EQIS ADVISOR FEES BUY 0 Units @0.0000	21.87	21.87	0.00	21.87	0.5	0.11



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: GARRETT MORETZ (continued)</u>								
12/19/2018	***-**-94FB - SWAN, ANDREW	EQIS ADVISOR FEES	35.30	35.30	0.00	35.30	0.5	0.18
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
12/19/2018	***-**-97FB - SWAN, MELANIE	EQIS ADVISOR FEES	24.75	24.75	0.00	24.75	0.5	0.12
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
12/19/2018	***-**-60FB - TIPPETT, ASHBY	EQIS ADVISOR FEES	28.58	28.58	0.00	28.58	0.5	0.14
	ACCOUNT #: RH6409800H	BUY 0 Units @0.0000						
12/19/2018	***-**-38FB - TOLLIVER, GLORIA	EQIS ADVISOR FEES	4.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: RD5984200P	BUY 0 Units @0.0000						
12/19/2018	***-**-29FB - TOLLIVER, SHANE	EQIS ADVISOR FEES	8.68	8.68	0.00	8.68	0.5	0.04
	ACCOUNT #: RD95555005	BUY 0 Units @0.0000						
12/19/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES	5.52	5.52	0.00	5.52	0.5	0.03
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
12/19/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES	174.61	174.61	0.00	174.61	0.5	0.87
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						
		* GARRETT MORETZ SUBTOTAL *	\$4,105.03	\$4,915.00	\$0.00	\$4,915.00		\$24.60
<u>BROKER: STANLEY (DARRELL) KEATHLEY</u>								
12/19/2018	***-**-02FB - LIEBOLD, CHRISTY	LIFEMARK INVESTMENT ADVISORY FEE	71.20	71.20	0.00	71.20	0.5	0.36
	ACCOUNT #: RG9873200R	BUY 0 Units @0.0000						
		* STANLEY (DARRELL) KEATHLEY SUBTOTAL *	\$71.20	\$71.20	\$0.00	\$71.20		\$0.36
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$4,613.20	\$5,432.43	\$0.00	\$5,432.43		\$27.19



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				BROKER COMMISSION TOTALS
Broker Transactions				\$0.00
Split Transactions				\$0.00
Override Transactions				\$27.19
Adjustments				\$0.00
Payroll Draw				\$0.00
Base Salary				\$0.00
Prior Period Balance				\$0.00
FINRA Assessment				\$0.00
SIPC Assessment				\$0.00
				<hr/>
Please Retain for Your Records				
THERE WILL BE NO PAYMENT THIS PERIOD				
Balance Forward				\$27.19
Year-to-date Earnings				\$9,771.30