

October 29, 2018

JEFFRY PROUL

950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	ANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
10/22/201	L8 ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @19.4400	60.72	1.67	0.00	1.67	75.0	1.25
10/22/201	18 ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @19.4400	200.00	5.50	0.00	5.50	75.0	4.13
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	O EUROPACIFIC GROWTH FUND-A BUY 1 Units @50.3500	34.15	0.94	0.00	0.94	75.0	0.71
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	O EUROPACIFIC GROWTH FUND-A BUY 2 Units @50.3500	113.84	3.13	0.00	3.13	75.0	2.35
10/23/201	8 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	EUROPACIFIC GROWTH FUND-A BUY 1 Units @50.3500	39.26	1.08	0.00	1.08	75.0	0.81
10/23/201	8 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	EUROPACIFIC GROWTH FUND-A BUY 1 Units @50.3500	39.26	1.08	0.00	1.08	75.0	0.81
10/11/201	8 ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 47 Units @13.8800	645.91	32.30	0.00	32.30	75.0	24.23
10/11/201	8 ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @13.8800	93.75	4.69	0.00	4.69	75.0	3.52
10/11/201	8 ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 4 Units @13.8800	50.00	2.50	0.00	2.50	75.0	1.88
10/11/201	8 ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 4 Units @13.8800	50.00	2.50	0.00	2.50	75.0	1.88
10/12/201	8 ***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @13.9600	36.71	1.84	0.00	1.84	75.0	1.38
10/12/201	8 ***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @13.9600	36.71	1.84	0.00	1.84	75.0	1.38
10/10/201	8 ***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.1700	27.60	1.38	0.00	1.38	75.0	1.04
10/10/201	8 ***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.1700	27.60	1.38	0.00	1.38	75.0	1.04
10/17/201	8 ***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.2800	27.60	1.38	0.00	1.38	75.0	1.04
10/17/201	18 ***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.2800	27.60	1.38	0.00	1.38	75.0	1.04



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TEGORY: MUTUAL FUNDS (cont: **-**-2535 - ALCAZAR, MARIA		TRANSACTIONS	_					
•	inued)		(continu	ied)				
**-**-2535 - ALCAZAR, MARIA	<del>,</del>							
CCOUNT #: 03900186047	FIDELITY ADV FREEDOM 2050-BUY 4 Units @12.3400	-CL A	43.93	2.20	0.00	2.20	75.0	1.65
· ·	FIDELITY ADV FREEDOM 2050- BUY 4 Units @12.3400	-CL A	43.93	2.20	0.00	2.20	75.0	1.65
•	FIDELITY ADV FREEDOM 2050-BUY 5 Units @12.3400	-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	FIDELITY ADV FREEDOM 2050-BUY 5 Units @12.3400	-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	FIDELITY ADV FREEDOM 2050-BUY 3 Units @12.3400	-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	FIDELITY ADV FREEDOM 2050-BUY 3 Units @12.3400	-CL A	37.50	1.88	0.00	1.88	75.0	1.41
•	FIDELITY ADV FREEDOM 2050- BUY 16 Units @12.3400	-CL A	201.92	10.10	0.00	10.10	75.0	7.58
•	FIDELITY ADV FREEDOM 2050- BUY 16 Units @12.3400	-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	FIDELITY ADV FREEDOM 2050- BUY 12 Units @12.3400	-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	FIDELITY ADV FREEDOM 2050-BUY 4 Units @12.3400	-CL A	46.15	2.31	0.00	2.31	75.0	1.73
•	FIDELITY ADV FREEDOM 2050-BUY 3 Units @12.3400	-CL A	42.09	2.10	0.00	2.10	75.0	1.58
•	FIDELITY ADV FREEDOM 2050-BUY 3 Units @12.3400	-CL A	42.09	2.10	0.00	2.10	75.0	1.58
· ·	FIDELITY ADV FREEDOM 2050- BUY 3 Units @12.3400	-CL A	42.00	2.10	0.00	2.10	75.0	1.58
·	FIDELITY ADV FREEDOM 2050-BUY 3 Units @12.3400	-CL A	42.00	2.10	0.00	2.10	75.0	1.58
•	FIDELITY ADV FREEDOM 2050-BUY 4 Units @12.3400	-CL A	45.49	2.27	0.00	2.27	75.0	1.70
•	FIDELITY ADV FREEDOM 2050- BUY 4 Units @12.3400	-CL A	45.49	2.27	0.00	2.27	75.0	1.70
	**-*-2535 - ALCAZAR, MARIA CCOUNT #: 03900186047  **-**-9826 - NERSESIAN, POLET CCOUNT #: 03900186038  **-*-9826 - NERSESIAN, POLET CCOUNT #: 03900186038  **-*-9826 - NERSESIAN, POLET CCOUNT #: 03900186038  **-*-6251 - NAKABA, RANDALL CCOUNT #: 03900186024  **-*-6251 - NAKABA, RANDALL CCOUNT #: 03900186024  **-*-0806 - ALEIXO, JEFFREY CCOUNT #: 03900186019  **-*-0806 - ALEIXO, JEFFREY CCOUNT #: 03900186019  **-*-0806 - ALEIXO, JEFFREY CCOUNT #: 03900186019  **-*-4265 - CCOUNT #: 03900186012  **-*-3406 - RODRIGUEZ, MARIA CCOUNT #: 03900186010  **-*-3406 - RODRIGUEZ, MARIA CCOUNT #: 03900185999  **-*-8161 - PARALES, NICOLE CCOUNT #: 03900185999  **-*-8161 - PARALES, NICOLE CCOUNT #: 03900185999  **-*-5505 - OREA, STEPHANIE CCOUNT #: 03900185962	**-**-2535 - ALCAZAR, MARIA FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186047 BUY 4 Units @12.3400  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186038 BUY 5 Units @12.3400  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186038 BUY 5 Units @12.3400  **-**-6251 - NAKABA, RANDALL FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186024 BUY 3 Units @12.3400  **-**-6251 - NAKABA, RANDALL FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186024 BUY 3 Units @12.3400  **-**-0806 - ALEIXO, JEFFREY FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186019 BUY 16 Units @12.3400  **-**-0806 - ALEIXO, JEFFREY FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186019 BUY 16 Units @12.3400  **-**-4265 - FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186012 BUY 12 Units @12.3400  **-**-4265 - FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186012 BUY 4 Units @12.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186010 BUY 3 Units @12.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900186010 BUY 3 Units @12.3400  **-**-8161 - PARALES, NICOLE FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900185999 BUY 3 Units @12.3400  **-**-8161 - PARALES, NICOLE FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900185999 BUY 3 Units @12.3400  **-**-*-8161 - PARALES, NICOLE FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900185999 BUY 3 Units @12.3400  **-**-*-*-5505 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900185999 BUY 3 Units @12.3400  **-**-*-*-5505 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050- CCOUNT #: 03900185962 BUY 4 Units @12.3400  **-*-*-*-5505 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050- BUY 3 Units @12.3400  **-*-*-*-5505 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050- BUY 4 Units @12.3400  **-*-*-*-*-5505 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050-	**-**-2535 - ALCAZAR, MARIA CCOUNT #: 03900186047  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186038  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186038  **-**-6251 - NAKABA, RANDALL CCOUNT #: 03900186024  **-**-6251 - NAKABA, RANDALL CCOUNT #: 03900186024  **-**-0806 - ALEIXO, JEFFREY CCOUNT #: 03900186019  **-**-0806 - ALEIXO, JEFFREY CCOUNT #: 03900186019  **-**-0806 - ALEIXO, JEFFREY CCOUNT #: 03900186019  **-**-4265 - CCOUNT #: 03900186019  **-**-4265 - CCOUNT #: 03900186012  **-**-4265 - CCOUNT #: 03900186012  **-**-4265 - CCOUNT #: 03900186012  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  **-**-8161 - PARALES, NICOLE CCOUNT #: 03900185999  **-**-8161 - PARALES, NICOLE CCOUNT #: 03900185999  **-**-8161 - PARALES, NICOLE CCOUNT #: 03900185999  **-**-5505 - OREA, STEPHANIE  FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900185999  EUY 3 UNITS @12.3400  **-**-5505 - OREA, STEPHANIE  FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900185962  **-**-5505 - OREA, STEPHANIE  FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900185962  **-**-5505 - OREA, STEPHANIE  FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900185962	**-**-2335 - ALCAZAR, MARIA FIDELITY ADV FREEDOM 2050-CL A 43.93 CCOUNT #: 03900186047 BUY 4 Units @12.3400 \$7.69 CCOUNT #: 03900186038 BUY 5 Units @12.3400 \$7.69 CCOUNT #: 03900186024 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 03900186024 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 03900186024 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 03900186019 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 03900186019 BUY 16 Units @12.3400 \$7.50 CCOUNT #: 03900186012 BUY 12 Units @12.3400 \$7.50 CCOUNT #: 03900186012 BUY 12 Units @12.3400 \$7.50 CCOUNT #: 03900186012 BUY 12 Units @12.3400 \$7.50 CCOUNT #: 03900186012 BUY 4 Units @12.3400 \$7.50 CCOUNT #: 03900186010 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 0390018599 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 03900185999 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 03900185962 BUY 3 Units @12.3400 \$7.50 CCOUNT #: 039	**-**-2535 - ALCAZAR, MARIA FIDELITY ADV FREEDOM 2050-CL A 43.93 2.20 CCOUNT #: 03900186047 BUY 4 Units @12.3400 57.69 2.88 CCOUNT #: 03900186038 BUY 5 Units @12.3400 57.69 2.88 CCOUNT #: 03900186038 BUY 5 Units @12.3400 57.69 2.88 CCOUNT #: 03900186038 BUY 5 Units @12.3400 57.69 2.88 CCOUNT #: 03900186038 BUY 5 Units @12.3400 57.69 2.88 CCOUNT #: 03900186038 BUY 5 Units @12.3400 57.69 2.88 CCOUNT #: 03900186038 BUY 5 Units @12.3400 57.69 2.88 CCOUNT #: 03900186024 BUY 3 Units @12.3400 57.69 2.88 CCOUNT #: 03900186024 BUY 3 Units @12.3400 57.69 2.88 CCOUNT #: 03900186024 BUY 3 Units @12.3400 57.60 2.80 2.80 2.80 2.80 2.80 2.80 2.80 2.8	**-**-2535 - ALCAZAR, MARIA   FIDELITY ADV FREEDOM 2050-CL A   43.93   2.20   0.00   CCOUNT #: 03900186047   BUY 4 Units #12.3400   57.69   2.88   0.00   **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A   57.69   2.88   0.00   **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A   57.69   2.88   0.00   CCOUNT #: 03900186038   BUY 5 Units #12.3400   37.50   1.88   0.00   CCOUNT #: 03900186038   BUY 3 Units #12.3400   37.50   1.88   0.00   CCOUNT #: 03900186024   BUY 3 Units #12.3400   37.50   1.88   0.00   **-**-6251 - NAKABA, RANDALL   FIDELITY ADV FREEDOM 2050-CL A   37.50   1.88   0.00   CCOUNT #: 03900186024   BUY 3 Units #12.3400   37.50   1.88   0.00   CCOUNT #: 03900186024   BUY 3 Units #12.3400   37.50   1.88   0.00   CCOUNT #: 03900186019   BUY 16 Units #12.3400   37.50   1.88   0.00   **-**-0806 - ALEIXO, JEFFREY   FIDELITY ADV FREEDOM 2050-CL A   201.92   10.10   0.00   CCOUNT #: 03900186019   BUY 16 Units #12.3400   37.50	**-**-2535 - ALCAZAR, MARIA FIDELITY ADV FREEDOM 2050-CL A 43.93 2.20 0.00 2.20 CCOUNT #: 03900186047 BUY 4 Units @12.3400 50.00 25.	**-**-2535 - ALCAZAR, MARIA CCOUNT #: 03900186047  BUY 4 Units 012.3400  CCOUNT #: 03900186038  BUY 5 Units 012.3400  CCOUNT #: 03900186038  BUY 5 Units 012.3400  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186038  BUY 5 Units 012.3400  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186038  BUY 5 Units 012.3400  **-**-9826 - NERSESIAN, POLET FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186038  BUY 5 Units 012.3400  **-**-6251 - NAKABA, RANDALL FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186024  BUY 3 Units 012.3400  **-**-0806 - ALEIXO, JEFFREY FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186019  **-**-0806 - ALEIXO, JEFFREY FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units 012.3400  **-**-40806 - ALEIXO, JEFFREY FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186019  BUY 16 Units 012.3400  **-**-4265 - CCOUNT #: 03900186012  BUY 12 Units 012.3400  **-**-4265 - FIDELITY ADV FREEDOM 2050-CL A BUY 12 Units 012.3400  **-**-4265 - FIDELITY ADV FREEDOM 2050-CL A BUY 12 Units 012.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A BUY 12 Units 012.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  BUY 3 Units 012.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  BUY 3 Units 012.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  BUY 3 Units 012.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  BUY 3 Units 012.3400  **-**-3406 - RODRIGUEZ, MARIA FIDELITY ADV FREEDOM 2050-CL A CCOUNT #: 03900186010  BUY 3 Units 012.3400  **-**-5505 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units 012.3400  **-**-55055 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units 012.3400  **-**-55055 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units 012.3400  **-*-*-55055 - OREA, STEPHANIE FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units 012.3400



October 29, 2018

JEFFRY PROUL

950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACTI	ONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUNDS (cont	cinued)						
10/11/201	18 ***-**-673I - GEIGER, MAJOR ACCOUNT #: 00086454950	INTERMEDIATE BOND FUND OF AMERICA-A BUY 4 Units @13.3900	54.00	1.08	0.00	1.08	75.0	0.81
10/11/201	18 ***-**-673I - GEIGER, MAJOR ACCOUNT #: 00086454950	INTERMEDIATE BOND FUND OF AMERICA-A BUY 7 Units @13.3900	100.00	2.00	0.00	2.00	75.0	1.50
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	NEW PERSPECTIVE FUND-A BUY 2 Units @44.6800	102.45	2.82	0.00	2.82	75.0	2.12
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	NEW PERSPECTIVE FUND-A BUY 8 Units @44.6800	341.51	9.39	0.00	9.39	75.0	7.04
10/23/201	18 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	NEW PERSPECTIVE FUND-A BUY 3 Units @44.6800	117.78	3.24	0.00	3.24	75.0	2.43
10/23/201	18 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	NEW PERSPECTIVE FUND-A BUY 3 Units @44.6800	117.78	3.24	0.00	3.24	75.0	2.43
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	NEW WORLD FUND-A BUY 2 Units @62.0300	102.46	2.82	0.00	2.82	75.0	2.12
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	NEW WORLD FUND-A BUY 6 Units @62.0300	341.51	9.39	0.00	9.39	75.0	7.04
10/23/201	18 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	NEW WORLD FUND-A BUY 2 Units @62.0300	117.78	3.24	0.00	3.24	75.0	2.43
10/23/201	18 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	NEW WORLD FUND-A BUY 2 Units @62.0300	117.78	3.24	0.00	3.24	75.0	2.43
10/22/201	18 ***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 3 Units @54.5300	150.00	4.13	0.00	4.13	75.0	3.10
10/22/201	18 ***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.5300	90.00	2.48	0.00	2.48	75.0	1.86
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	O THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.1000	102.45	2.82	0.00	2.82	75.0	2.12
10/23/201	18 ***-**-5873 - ARIZAGA, RICARDO ACCOUNT #: 04000980924	O THE GROWTH FUND OF AMERICA-A BUY 6 Units @54.1000	341.51	9.39	0.00	9.39	75.0	7.04
10/23/201	18 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.1000	117.78	3.24	0.00	3.24	75.0	2.43
10/23/201	18 ***-**-8916 - MASON, APRIL ACCOUNT #: 04001006968	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.1000	117.78	3.24	0.00	3.24	75.0	2.43



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTMENTS	(continued)					
		* MUTUAL FUNDS SUBTOTAL *	\$5,080.67	\$187.22	\$0.00	\$187.22	•	\$140.50
		*** BROKER TRANSACTIONS TOTAL ***	\$5,080.67	\$187.22	\$0.00	\$187.22	1	\$140.50
					<u> </u>	ROKER COMM	SSION	TOTALS
					Broke	r Transactions	1	\$140.50
					Split	t Transactions		\$0.00
					Override	e Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw	,	\$0.00
						Base Salary		\$0.00
					Prior D	Period Balance		\$0.00
					FII	NRA Assessment		\$0.00
					S	IPC Assessment		\$0.00
					Net Com	mission Earned	Ĺ	\$140.50
					Year-to	-date Earnings		\$58,440.37