

**COMMISSION STATEMENT**  
**September 30, 2018**

RON ROCCA FORTE-D055

BROKER #: D055 Terminated 12/31/2017  
BRANCH: DMK

, TX

COMMISSION STATEMENT for RON ROCCA FORTE-D055

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
08/13/2018	00132774038	HERNANDEZ, THOMAS MF	PUTNAM NEW OPPORTUNITIES FUND	B	50.00	2.50	0.00	2.50	0.00
09/11/2018	00132774038	HERNANDEZ, THOMAS MF	PUTNAM NEW OPPORTUNITIES FUND	B	50.00	2.50	0.00	2.50	0.00
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$5.00	\$0.00	\$5.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$5.00	\$0.00	\$5.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$1,419.92