

COMMISSION STATEMENT March 31, 2018

JOY LAWRENCE-D098

BROKER #: D098

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|---|-----------|--------------|--|----------------------|---------------------|--------------------|-------------------|----------|----------------------|---------|
| CLEARING TRANSACTIONS | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | |
| 03/07/2018 | 184047863 | CHHEN, KUONG | MF FIDELITY ADVISOR MID CAP STOCK | S | 102.45 | 2.75 | 2.50 | 0.25 | 50.0 | 0.13 |
| 03/07/2018 | 184047863 | CHHEN, KUONG | MF FIDELITY ADVISOR LARGE CAP | S | 102.45 | 2.75 | 2.50 | 0.25 | 50.0 | 0.13 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | \$204.90 | \$5.50 | \$5.00 | \$0.50 | | \$0.26 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT AB HIGH INCOME A | B | 0.00 | 4.69 | 0.00 | 4.69 | 50.0 | 2.35 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCE BERNSTEIN SMALL/MID | B | 0.00 | 2.30 | 0.00 | 2.30 | 50.0 | 1.15 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCE BERNSTEIN GLOBAL | B | 0.00 | 0.89 | 0.00 | 0.89 | 50.0 | 0.45 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCEBERNSTEIN GLO GOVT INC | B | 0.00 | 11.81 | 0.00 | 11.81 | 50.0 | 5.91 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCEBERNSTEIN LARGE-CAP | B | 0.00 | 3.66 | 0.00 | 3.66 | 50.0 | 1.83 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCEBERNSTEIN GLOBAL | B | 0.00 | 22.82 | 0.00 | 22.82 | 50.0 | 11.41 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCEBERNSTEIN UTILITY | B | 0.00 | 20.40 | 0.00 | 20.40 | 50.0 | 10.20 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT ALLIANCEBERNSTEIN MID-CAP GRO A | B | 0.00 | 1.76 | 0.00 | 1.76 | 50.0 | 0.88 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT EATON VANCE GOVT OBLIGATIONS | B | 0.00 | 5.83 | 0.00 | 5.83 | 50.0 | 2.92 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT EMPIRIC 2500 FD A | B | 0.00 | 6.19 | 0.00 | 6.19 | 50.0 | 3.10 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT FIDELITY ADVISOR LARGE CAP | B | 0.00 | 7.71 | 0.00 | 7.71 | 50.0 | 3.86 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT FIDELITY ADVISOR MID CAP STOCK | B | 0.00 | 7.32 | 0.00 | 7.32 | 50.0 | 3.66 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT FIDELITY ADVISOR EMERGING MKT | B | 0.00 | 20.43 | 0.00 | 20.43 | 50.0 | 10.22 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 16.50 | 0.00 | 16.50 | 50.0 | 8.25 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT MFS MID CAP GROWTH FUND-C | B | 0.00 | 15.26 | 0.00 | 15.26 | 50.0 | 7.63 |
| 03/21/2018 | mfc946954 | LAWRENCE JOY | 946954 MFT PUTNAM 746802800 | B | 0.00 | 12.38 | 0.00 | 12.38 | 50.0 | 6.19 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | | \$0.00 | \$159.95 | \$0.00 | \$159.95 | | \$80.01 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | | \$204.90 | \$165.45 | \$5.00 | \$160.45 | | \$80.27 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|-----------------------------------|-------------|--------------|-----|------------------------------|---------------------|--------------------|-------------------|--------|----------------------|------|---------|
| DIRECT TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 03/15/2018 | 00551135830 | CHHEN, KUONG | MF | PUTNAM ABSOLUTE RETURN 500-A | B | 540.00 | 10.80 | 0.00 | 10.80 | 50.0 | 5.40 |
| 03/15/2018 | 00551137086 | CHHEN, CHAN | MF | PUTNAM ABSOLUTE RETURN 500-A | B | 540.00 | 10.80 | 0.00 | 10.80 | 50.0 | 5.40 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$1,080.00 | \$21.60 | \$0.00 | \$21.60 | | \$10.80 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | | \$1,080.00 | \$21.60 | \$0.00 | \$21.60 | | \$10.80 |

REP LINK TRANSACTIONS**BROKER: JOY LAWRENCE-D198 #64**

| | | | | | | | | | | | |
|------------|-------------|---------------------|-----|--------------------------------|---|-----------|-------|------|-------|--|-------|
| 03/16/2018 | 00483478258 | SCHRAUBEN, DIANA | MF | PUTNAM 746802800 | B | 100.00 | 5.00 | 0.00 | 5.00 | | 1.00 |
| 03/23/2018 | 214776560 | DUNLAP, TERRY | MF | AMERICAN BALANCED FUND-A | S | 100.00 | 4.88 | 2.50 | 2.38 | | 0.48 |
| 03/01/2018 | 368347362 | DRAKE, TAD | MF | THE INCOME FUND OF AMERICA-A | B | -34.84 | 0.00 | 5.00 | -5.00 | | -1.00 |
| 03/01/2018 | 368434250 | BLAKE, DONALD | MF | AMCAP FUND-A | B | -34.45 | 0.00 | 5.00 | -5.00 | | -1.00 |
| 03/06/2018 | 368442388 | SALAZAR, CONSUELO | MF | THE INCOME FUND OF AMERICA-F1 | B | -2,852.35 | 0.00 | 5.00 | -5.00 | | -1.00 |
| 03/01/2018 | 368490241 | KORTE, DIANE | MF | PUTNAM 746444504 | B | -34.45 | 0.00 | 5.00 | -5.00 | | -1.00 |
| 03/12/2018 | 676362816 | SMITH (TOD), | MF | BALANCED PORTFOLIO-C | S | -5,015.55 | 0.00 | 5.00 | -5.00 | | -1.00 |
| 03/02/2018 | a03974464 | LAWRENCE-D198, JOY | MI | MUTUAL FUND MISC FEE 368347362 | S | 0.00 | 10.00 | 0.00 | 10.00 | | 2.00 |
| 03/02/2018 | a03974464 | LAWRENCE-D198, JOY | MI | MUTUAL FUND MISC FEE 368490241 | S | 0.00 | 10.00 | 0.00 | 10.00 | | 2.00 |
| 03/02/2018 | a03974464 | LAWRENCE-D198, JOY | MI | MUTUAL FUND MISC FEE 368434250 | S | 0.00 | 10.00 | 0.00 | 10.00 | | 2.00 |
| 03/07/2018 | a03974464 | LAWRENCE-D198, JOY | MI | MUTUAL FUND MISC FEE 368442388 | S | 0.00 | 5.00 | 0.00 | 5.00 | | 1.00 |
| 03/13/2018 | a03974464 | LAWRENCE-D198, JOY | MI | MUTUAL FUND MISC FEE 676362816 | S | 0.00 | 10.00 | 0.00 | 10.00 | | 2.00 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | ALLIANCEBERNSTEIN LARGE-CAP | B | 0.00 | 8.01 | 0.00 | 8.01 | | 1.60 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | ALLIANCEBERNSTEIN GROWTH AND | B | 0.00 | 3.26 | 0.00 | 3.26 | | 0.65 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | ALLIANCEBERNSTEIN SMALL CAP | B | 0.00 | 2.29 | 0.00 | 2.29 | | 0.46 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMCAP FUND-A | B | 0.00 | 3.01 | 0.00 | 3.01 | | 0.60 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMCAP FUND-A | B | 0.00 | 3.60 | 0.00 | 3.60 | | 0.72 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMCAP FUND-A | B | 0.00 | 4.13 | 0.00 | 4.13 | | 0.83 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 0.77 | 0.00 | 0.77 | | 0.15 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 1.50 | 0.00 | 1.50 | | 0.30 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 1.57 | 0.00 | 1.57 | | 0.31 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 2.94 | 0.00 | 2.94 | | 0.59 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 3.33 | 0.00 | 3.33 | | 0.67 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 1.78 | 0.00 | 1.78 | | 0.36 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 3.81 | 0.00 | 3.81 | | 0.76 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 6.53 | 0.00 | 6.53 | | 1.31 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 11.89 | 0.00 | 11.89 | | 2.38 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 12.79 | 0.00 | 12.79 | | 2.56 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 15.24 | 0.00 | 15.24 | | 3.05 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 31.38 | 0.00 | 31.38 | | 6.28 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 | MFT | BALANCED PORTFOLIO-C | B | 0.00 | 35.65 | 0.00 | 35.65 | | 7.13 |

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|------------|-----------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT BALANCED PORTFOLIO-C | B | 0.00 | 39.83 | 0.00 | 39.83 | | 7.97 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT BALANCED PORTFOLIO-C | B | 0.00 | 60.40 | 0.00 | 60.40 | | 12.08 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT BLK 091928846 | B | 0.00 | 5.12 | 0.00 | 5.12 | | 1.02 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 0.52 | 0.00 | 0.52 | | 0.10 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 0.98 | 0.00 | 0.98 | | 0.20 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 1.22 | 0.00 | 1.22 | | 0.24 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 3.22 | 0.00 | 3.22 | | 0.64 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 3.30 | 0.00 | 3.30 | | 0.66 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-F1 | B | 0.00 | 1.87 | 0.00 | 1.87 | | 0.37 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL WORLD BOND FUND-A | B | 0.00 | 2.03 | 0.00 | 2.03 | | 0.41 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 1.85 | 0.00 | 1.85 | | 0.37 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 2.54 | 0.00 | 2.54 | | 0.51 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT EHV 277902813 | B | 0.00 | 3.90 | 0.00 | 3.90 | | 0.78 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 0.81 | 0.00 | 0.81 | | 0.16 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FEDERATED KAUFMANN SMALL CAP FD | B | 0.00 | 1.66 | 0.00 | 1.66 | | 0.33 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FEDERATED KAUFMANN FUND | B | 0.00 | 1.89 | 0.00 | 1.89 | | 0.38 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FEDERATED EQUITY INCOME FUND | B | 0.00 | 2.06 | 0.00 | 2.06 | | 0.41 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 1.77 | 0.00 | 1.77 | | 0.35 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FIDELITY ADV NEW INSIGHTS-CL A | B | 0.00 | 2.35 | 0.00 | 2.35 | | 0.47 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FIDELITY ADVISOR SMALL CAP FUND | B | 0.00 | 1.98 | 0.00 | 1.98 | | 0.40 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 3.17 | 0.00 | 3.17 | | 0.63 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 24.70 | 0.00 | 24.70 | | 4.94 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 15.55 | 0.00 | 15.55 | | 3.11 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 15.97 | 0.00 | 15.97 | | 3.19 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 22.26 | 0.00 | 22.26 | | 4.45 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT GROWTH FUND OF AMERICA CLASS F | B | 0.00 | 2.36 | 0.00 | 2.36 | | 0.47 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT GROWTH PORTFOLIO-C | B | 0.00 | 4.13 | 0.00 | 4.13 | | 0.83 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT HARTFORD GLOBAL CAP | B | 0.00 | 2.21 | 0.00 | 2.21 | | 0.44 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.71 | 0.00 | 1.71 | | 0.34 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 3.67 | 0.00 | 3.67 | | 0.73 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 6.92 | 0.00 | 6.92 | | 1.38 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PIMCO ALL ASSET FD CL D | B | 0.00 | 0.66 | 0.00 | 0.66 | | 0.13 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM 746444504 | B | 0.00 | 4.98 | 0.00 | 4.98 | | 1.00 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM 746444108 | B | 0.00 | 2.15 | 0.00 | 2.15 | | 0.43 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM 746444108 | B | 0.00 | 2.28 | 0.00 | 2.28 | | 0.46 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM 746802578 | B | 0.00 | 1.62 | 0.00 | 1.62 | | 0.32 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM 746745504 | B | 0.00 | 7.84 | 0.00 | 7.84 | | 1.57 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM 74677Q109 | B | 0.00 | 1.18 | 0.00 | 1.18 | | 0.24 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM ASSET ALLOCATION | B | 0.00 | 3.61 | 0.00 | 3.61 | | 0.72 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM DIVERSIFIED INCOME TRUST | B | 0.00 | 1.18 | 0.00 | 1.18 | | 0.24 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM GLOBAL EQUITY FUND CLASS | B | 0.00 | 1.76 | 0.00 | 1.76 | | 0.35 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT PUTNAM NEW OPPORTUNITIES FUND | B | 0.00 | 0.86 | 0.00 | 0.86 | | 0.17 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT SMALLCAP WORLD FUND-A | B | 0.00 | 1.07 | 0.00 | 1.07 | | 0.21 |
| 03/21/2018 | mfc974464 | LAWRENCE JOY 974464 MFT SMALLCAP WORLD FUND-A | B | 0.00 | 1.21 | 0.00 | 1.21 | | 0.24 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

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|--------------------------------|---------------------|-----------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 1.70 | 0.00 | 1.70 | | 0.34 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.48 | 0.00 | 2.48 | | 0.50 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 3.03 | 0.00 | 3.03 | | 0.61 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 22.59 | 0.00 | 22.59 | | 4.52 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 1.27 | 0.00 | 1.27 | | 0.25 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 2.27 | 0.00 | 2.27 | | 0.45 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 2.37 | 0.00 | 2.37 | | 0.47 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 3.25 | 0.00 | 3.25 | | 0.65 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 4.06 | 0.00 | 4.06 | | 0.81 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INCOME FUND OF AMERICA-F1 | B | 0.00 | 2.67 | 0.00 | 2.67 | | 0.53 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.20 | 0.00 | 2.20 | | 0.44 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 17.58 | 0.00 | 17.58 | | 3.52 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT TRANSAMERICA ASSET ALLOC | B | 0.00 | 1.87 | 0.00 | 1.87 | | 0.37 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 3.53 | 0.00 | 3.53 | | 0.71 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 6.62 | 0.00 | 6.62 | | 1.32 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 17.67 | 0.00 | 17.67 | | 3.53 |
| 03/21/2018 mfc974464 | LAWRENCE JOY 974464 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 2.15 | 0.00 | 2.15 | | 0.43 |
| * JOY LAWRENCE-D198 SUBTOTAL * | | | | \$-7,771.64 | \$578.02 | \$27.50 | \$550.52 | | \$110.08 |

BROKER: JOY LAWRENCE-D602 #79

| | | | | | | | | |
|---|---------------------------------|---|------|--------|------|--------|--|--------|
| 02/28/2018 (None) VARIOUS, LAWRENCE- | MFT AIG | B | 0.00 | 1.34 | 0.00 | 1.34 | | 0.27 |
| 02/28/2018 (None) VARIOUS, LAWRENCE- | MFT COLUMBIA THREADNEEDLE | B | 0.00 | 887.50 | 0.00 | 887.50 | | 177.50 |
| 03/05/2018 00060858365 HENRY, DENNIS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.05 | 0.00 | 1.05 | | 0.21 |
| 03/05/2018 00060858365 HENRY, DENNIS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 3.15 | 0.00 | 3.15 | | 0.63 |
| 03/05/2018 00100268920 SHELTON, BARBARA | MFT WELLS FARGO DISCOVERY-A | B | 0.00 | 18.47 | 0.00 | 18.47 | | 3.69 |
| 03/05/2018 00100268920 SHELTON, BARBARA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 19.70 | 0.00 | 19.70 | | 3.94 |
| 03/05/2018 01002608920 SHELTON, BARBARA | MFT WELLS FARGO OMEGA GROWTH-C | B | 0.00 | 11.09 | 0.00 | 11.09 | | 2.22 |
| 03/05/2018 01002608920 SHELTON, BARBARA | MFT WELLS FARGO OMEGA GROWTH-C | B | 0.00 | 33.28 | 0.00 | 33.28 | | 6.66 |
| 03/05/2018 01002608920 SHELTON, BARBARA | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 10.61 | 0.00 | 10.61 | | 2.12 |
| 03/05/2018 01002608920 SHELTON, BARBARA | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 31.84 | 0.00 | 31.84 | | 6.37 |
| 03/05/2018 01002608920 SHELTON, BARBARA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 12.17 | 0.00 | 12.17 | | 2.43 |
| 03/05/2018 01002608920 SHELTON, BARBARA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 36.52 | 0.00 | 36.52 | | 7.30 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO GLOBAL | B | 0.00 | 11.21 | 0.00 | 11.21 | | 2.24 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO GLOBAL | B | 0.00 | 33.62 | 0.00 | 33.62 | | 6.72 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 11.57 | 0.00 | 11.57 | | 2.31 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 34.71 | 0.00 | 34.71 | | 6.94 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 14.17 | 0.00 | 14.17 | | 2.83 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 42.50 | 0.00 | 42.50 | | 8.50 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 12.60 | 0.00 | 12.60 | | 2.52 |
| 03/05/2018 01007228411 COOPER, BENOLA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 37.79 | 0.00 | 37.79 | | 7.56 |
| 03/05/2018 01007296463 DAVIS, EDITH | MFT WELLS FARGO GLOBAL | B | 0.00 | 4.19 | 0.00 | 4.19 | | 0.84 |
| 03/05/2018 01007296463 DAVIS, EDITH | MFT WELLS FARGO GLOBAL | B | 0.00 | 12.56 | 0.00 | 12.56 | | 2.51 |
| 03/05/2018 01007296463 DAVIS, EDITH | MFT WELLS FARGO UTILITY AND | B | 0.00 | 0.72 | 0.00 | 0.72 | | 0.14 |
| 03/05/2018 01007296463 DAVIS, EDITH | MFT WELLS FARGO UTILITY AND | B | 0.00 | 2.16 | 0.00 | 2.16 | | 0.43 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|----------------|----------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/05/2018 01007296463 | DAVIS, EDITH | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 1.60 | 0.00 | 1.60 | | 0.32 |
| 03/05/2018 01007296463 | DAVIS, EDITH | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 4.81 | 0.00 | 4.81 | | 0.96 |
| 03/05/2018 01007296463 | DAVIS, EDITH | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 11.89 | 0.00 | 11.89 | | 2.38 |
| 03/05/2018 01007296463 | DAVIS, EDITH | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 35.66 | 0.00 | 35.66 | | 7.13 |
| 03/05/2018 01007296463 | DAVIS, EDITH | MFT WELLS FARGO DIVERSFD CAPITAL | B | 0.00 | 0.64 | 0.00 | 0.64 | | 0.13 |
| 03/05/2018 01007296463 | DAVIS, EDITH | MFT WELLS FARGO DIVERSFD CAPITAL | B | 0.00 | 1.92 | 0.00 | 1.92 | | 0.38 |
| 03/05/2018 01007297943 | CANTRELLE, JOY | MFT WELLS FARGO GLOBAL | B | 0.00 | 2.00 | 0.00 | 2.00 | | 0.40 |
| 03/05/2018 01007297943 | CANTRELLE, JOY | MFT WELLS FARGO GLOBAL | B | 0.00 | 6.00 | 0.00 | 6.00 | | 1.20 |
| 03/05/2018 01007297943 | CANTRELLE, JOY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.32 | 0.00 | 2.32 | | 0.46 |
| 03/05/2018 01007297943 | CANTRELLE, JOY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 6.95 | 0.00 | 6.95 | | 1.39 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.12 | 0.00 | 1.12 | | 0.22 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.35 | 0.00 | 3.35 | | 0.67 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO UTILITY AND | B | 0.00 | 2.94 | 0.00 | 2.94 | | 0.59 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO UTILITY AND | B | 0.00 | 8.82 | 0.00 | 8.82 | | 1.76 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 2.48 | 0.00 | 2.48 | | 0.50 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 7.45 | 0.00 | 7.45 | | 1.49 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 7.14 | 0.00 | 7.14 | | 1.43 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 21.43 | 0.00 | 21.43 | | 4.29 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO DIVERSFD CAPITAL | B | 0.00 | 1.79 | 0.00 | 1.79 | | 0.36 |
| 03/05/2018 01007354845 | DAVIS, JAMES | MFT WELLS FARGO DIVERSFD CAPITAL | B | 0.00 | 5.36 | 0.00 | 5.36 | | 1.07 |
| 03/05/2018 01007719453 | CANTRELLE, JOY | MFT WELLS FARGO GLOBAL | B | 0.00 | 4.54 | 0.00 | 4.54 | | 0.91 |
| 03/05/2018 01007719453 | CANTRELLE, JOY | MFT WELLS FARGO GLOBAL | B | 0.00 | 13.62 | 0.00 | 13.62 | | 2.72 |
| 03/05/2018 01007719453 | CANTRELLE, JOY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 4.41 | 0.00 | 4.41 | | 0.88 |
| 03/05/2018 01007719453 | CANTRELLE, JOY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 13.23 | 0.00 | 13.23 | | 2.65 |
| 03/05/2018 01007719453 | CANTRELLE, JOY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 4.25 | 0.00 | 4.25 | | 0.85 |
| 03/05/2018 01007719453 | CANTRELLE, JOY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 12.75 | 0.00 | 12.75 | | 2.55 |
| 03/05/2018 01007728997 | CEPERO, PAMELA | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.32 | 0.00 | 0.32 | | 0.06 |
| 03/05/2018 01007728997 | CEPERO, PAMELA | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.96 | 0.00 | 0.96 | | 0.19 |
| 03/05/2018 01007728997 | CEPERO, PAMELA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.50 | 0.00 | 0.50 | | 0.10 |
| 03/05/2018 01007728997 | CEPERO, PAMELA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.49 | 0.00 | 1.49 | | 0.30 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO UTILITY AND | B | 0.00 | 2.67 | 0.00 | 2.67 | | 0.53 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO UTILITY AND | B | 0.00 | 8.01 | 0.00 | 8.01 | | 1.60 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 3.45 | 0.00 | 3.45 | | 0.69 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 10.34 | 0.00 | 10.34 | | 2.07 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 4.34 | 0.00 | 4.34 | | 0.87 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 13.01 | 0.00 | 13.01 | | 2.60 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 4.19 | 0.00 | 4.19 | | 0.84 |
| 03/05/2018 01008182529 | BAKER, G | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 12.57 | 0.00 | 12.57 | | 2.51 |
| 03/05/2018 01008361595 | BILLARD, JOHN | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 3.05 | 0.00 | 3.05 | | 0.61 |
| 03/05/2018 01008361595 | BILLARD, JOHN | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 9.16 | 0.00 | 9.16 | | 1.83 |
| 03/05/2018 01008376384 | CANCIENNE, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 18.51 | 0.00 | 18.51 | | 3.70 |
| 03/05/2018 01008376384 | CANCIENNE, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 55.54 | 0.00 | 55.54 | | 11.11 |
| 03/05/2018 01008490232 | READ, THOMAS | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 5.94 | 0.00 | 5.94 | | 1.19 |
| 03/05/2018 01008490232 | READ, THOMAS | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 17.81 | 0.00 | 17.81 | | 3.56 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|-------------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/05/2018 01008585265 | CANCIENNE, CHRIS | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.81 | 0.00 | 3.81 | | 0.76 |
| 03/05/2018 01008585265 | CANCIENNE, CHRIS | MFT WELLS FARGO GLOBAL | B | 0.00 | 11.42 | 0.00 | 11.42 | | 2.28 |
| 03/05/2018 01008624892 | BORCHERT, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.30 | 0.00 | 0.30 | | 0.06 |
| 03/05/2018 01008624892 | BORCHERT, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.89 | 0.00 | 0.89 | | 0.18 |
| 03/05/2018 01008804046 | GUIDRY SEPERATE | MFT WELLS FARGO GLOBAL | B | 0.00 | 2.04 | 0.00 | 2.04 | | 0.41 |
| 03/05/2018 01008804046 | GUIDRY SEPERATE | MFT WELLS FARGO GLOBAL | B | 0.00 | 6.13 | 0.00 | 6.13 | | 1.23 |
| 03/05/2018 01008804046 | GUIDRY SEPERATE | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.64 | 0.00 | 2.64 | | 0.53 |
| 03/05/2018 01008804046 | GUIDRY SEPERATE | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 7.93 | 0.00 | 7.93 | | 1.59 |
| 03/05/2018 01008891807 | SNYDER, MICHELLE | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.54 | 0.00 | 1.54 | | 0.31 |
| 03/05/2018 01008891807 | SNYDER, MICHELLE | MFT WELLS FARGO GLOBAL | B | 0.00 | 4.61 | 0.00 | 4.61 | | 0.92 |
| 03/05/2018 01008891807 | SNYDER, MICHELLE | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 4.01 | 0.00 | 4.01 | | 0.80 |
| 03/05/2018 01008891807 | SNYDER, MICHELLE | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 12.04 | 0.00 | 12.04 | | 2.41 |
| 03/05/2018 01008891807 | SNYDER, MICHELLE | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 4.69 | 0.00 | 4.69 | | 0.94 |
| 03/05/2018 01008891807 | SNYDER, MICHELLE | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 14.08 | 0.00 | 14.08 | | 2.82 |
| 03/05/2018 01008896697 | HENRY, BARBARA | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.35 | 0.00 | 1.35 | | 0.27 |
| 03/05/2018 01008896697 | HENRY, BARBARA | MFT WELLS FARGO GLOBAL | B | 0.00 | 4.04 | 0.00 | 4.04 | | 0.81 |
| 03/05/2018 01008896697 | HENRY, BARBARA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.22 | 0.00 | 2.22 | | 0.44 |
| 03/05/2018 01008896697 | HENRY, BARBARA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 6.65 | 0.00 | 6.65 | | 1.33 |
| 03/05/2018 01008896697 | HENRY, BARBARA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 2.06 | 0.00 | 2.06 | | 0.41 |
| 03/05/2018 01008896697 | HENRY, BARBARA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 6.19 | 0.00 | 6.19 | | 1.24 |
| 03/05/2018 01008977270 | STERMER, SALLY | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 0.78 | 0.00 | 0.78 | | 0.16 |
| 03/05/2018 01008977270 | STERMER, SALLY | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 2.35 | 0.00 | 2.35 | | 0.47 |
| 03/05/2018 01008977270 | STERMER, SALLY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.15 | 0.00 | 1.15 | | 0.23 |
| 03/05/2018 01008977270 | STERMER, SALLY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 3.44 | 0.00 | 3.44 | | 0.69 |
| 03/05/2018 01008977270 | STERMER, SALLY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 2.41 | 0.00 | 2.41 | | 0.48 |
| 03/05/2018 01008977270 | STERMER, SALLY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 7.22 | 0.00 | 7.22 | | 1.44 |
| 03/05/2018 01009311456 | ST AMENT, DOUGLAS | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.01 | 0.00 | 1.01 | | 0.20 |
| 03/05/2018 01009311456 | ST AMENT, DOUGLAS | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.03 | 0.00 | 3.03 | | 0.61 |
| 03/05/2018 01009311456 | ST AMENT, DOUGLAS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.61 | 0.00 | 0.61 | | 0.12 |
| 03/05/2018 01009311456 | ST AMENT, DOUGLAS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.84 | 0.00 | 1.84 | | 0.37 |
| 03/05/2018 01009318256 | VINCENT, KENNETH | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 8.90 | 0.00 | 8.90 | | 1.78 |
| 03/05/2018 01009318256 | VINCENT, KENNETH | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 26.69 | 0.00 | 26.69 | | 5.34 |
| 03/05/2018 01009320281 | VINCENT, SHEILA | MFT WELLS FARGO UTILITY AND | B | 0.00 | 0.86 | 0.00 | 0.86 | | 0.17 |
| 03/05/2018 01009320281 | VINCENT, SHEILA | MFT WELLS FARGO UTILITY AND | B | 0.00 | 2.58 | 0.00 | 2.58 | | 0.52 |
| 03/05/2018 01009320281 | VINCENT, SHEILA | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 0.93 | 0.00 | 0.93 | | 0.19 |
| 03/05/2018 01009320281 | VINCENT, SHEILA | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 2.79 | 0.00 | 2.79 | | 0.56 |
| 03/05/2018 01009327434 | COGNEVICH, SHANIE | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 1.15 | 0.00 | 1.15 | | 0.23 |
| 03/05/2018 01009327434 | COGNEVICH, SHANIE | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 3.45 | 0.00 | 3.45 | | 0.69 |
| 03/05/2018 01009351525 | READ, THOMAS | MFT WELLS FARGO GLOBAL | B | 0.00 | 15.32 | 0.00 | 15.32 | | 3.06 |
| 03/05/2018 01009351525 | READ, THOMAS | MFT WELLS FARGO GLOBAL | B | 0.00 | 45.95 | 0.00 | 45.95 | | 9.19 |
| 03/05/2018 01009351525 | READ, THOMAS | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 13.27 | 0.00 | 13.27 | | 2.65 |
| 03/05/2018 01009351525 | READ, THOMAS | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 39.80 | 0.00 | 39.80 | | 7.96 |
| 03/05/2018 01009351525 | READ, THOMAS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 23.09 | 0.00 | 23.09 | | 4.62 |
| 03/05/2018 01009351525 | READ, THOMAS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 69.27 | 0.00 | 69.27 | | 13.85 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|-------------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/05/2018 01009365168 | BOURGEOIS, | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.24 | 0.00 | 1.24 | | 0.25 |
| 03/05/2018 01009365168 | BOURGEOIS, | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.72 | 0.00 | 3.72 | | 0.74 |
| 03/05/2018 01009365168 | BOURGEOIS, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 4.71 | 0.00 | 4.71 | | 0.94 |
| 03/05/2018 01009365168 | BOURGEOIS, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 14.13 | 0.00 | 14.13 | | 2.83 |
| 03/05/2018 01009367069 | ROBINSON, PEGGY | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.67 | 0.00 | 1.67 | | 0.33 |
| 03/05/2018 01009367069 | ROBINSON, PEGGY | MFT WELLS FARGO GLOBAL | B | 0.00 | 5.01 | 0.00 | 5.01 | | 1.00 |
| 03/05/2018 01009367069 | ROBINSON, PEGGY | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 1.39 | 0.00 | 1.39 | | 0.28 |
| 03/05/2018 01009367069 | ROBINSON, PEGGY | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 4.16 | 0.00 | 4.16 | | 0.83 |
| 03/05/2018 01009367069 | ROBINSON, PEGGY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.22 | 0.00 | 1.22 | | 0.24 |
| 03/05/2018 01009367069 | ROBINSON, PEGGY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 3.66 | 0.00 | 3.66 | | 0.73 |
| 03/05/2018 01009367542 | GRAY, SHARON | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 0.63 | 0.00 | 0.63 | | 0.13 |
| 03/05/2018 01009367542 | GRAY, SHARON | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 1.90 | 0.00 | 1.90 | | 0.38 |
| 03/05/2018 01009368209 | FERNANDEZ, CARLOS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.78 | 0.00 | 0.78 | | 0.16 |
| 03/05/2018 01009368209 | FERNANDEZ, CARLOS | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.34 | 0.00 | 2.34 | | 0.47 |
| 03/05/2018 03900355390 | THOMAS & ZOE | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 4.24 | 0.00 | 4.24 | | 0.85 |
| 03/05/2018 03900355390 | THOMAS & ZOE | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.82 | 0.00 | 3.82 | | 0.76 |
| 03/05/2018 03900367530 | VAN DIEREN, OTTO | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.24 | 0.00 | 3.24 | | 0.65 |
| 03/05/2018 03900367530 | VAN DIEREN, OTTO | MFT WELLS FARGO GLOBAL | B | 0.00 | 9.73 | 0.00 | 9.73 | | 1.95 |
| 03/05/2018 03900367530 | VAN DIEREN, OTTO | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 5.69 | 0.00 | 5.69 | | 1.14 |
| 03/05/2018 03900367530 | VAN DIEREN, OTTO | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 17.06 | 0.00 | 17.06 | | 3.41 |
| 03/05/2018 03900370676 | CORNELISON, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 6.12 | 0.00 | 6.12 | | 1.22 |
| 03/05/2018 03900370676 | CORNELISON, | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 18.37 | 0.00 | 18.37 | | 3.67 |
| 03/05/2018 03900370676 | CORNELISON, | MFT WELLS FARGO ENTERPRISE-C | B | 0.00 | 5.51 | 0.00 | 5.51 | | 1.10 |
| 03/05/2018 03900370676 | CORNELISON, | MFT WELLS FARGO ENTERPRISE-C | B | 0.00 | 16.54 | 0.00 | 16.54 | | 3.31 |
| 03/05/2018 03900370676 | CORNELISON, | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 6.39 | 0.00 | 6.39 | | 1.28 |
| 03/05/2018 03900370676 | CORNELISON, | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 19.16 | 0.00 | 19.16 | | 3.83 |
| 03/05/2018 03900372955 | VAN DIEREN, OTTO | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.09 | 0.00 | 1.09 | | 0.22 |
| 03/05/2018 03900372955 | VAN DIEREN, OTTO | MFT WELLS FARGO GLOBAL | B | 0.00 | 3.28 | 0.00 | 3.28 | | 0.66 |
| 03/05/2018 03900372955 | VAN DIEREN, OTTO | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.69 | 0.00 | 1.69 | | 0.34 |
| 03/05/2018 03900372955 | VAN DIEREN, OTTO | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 5.07 | 0.00 | 5.07 | | 1.01 |
| 03/05/2018 03900373095 | SAVAGE, NANCY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 20.33 | 0.00 | 20.33 | | 4.07 |
| 03/05/2018 03900373095 | SAVAGE, NANCY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 60.99 | 0.00 | 60.99 | | 12.20 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.29 | 0.00 | 0.29 | | 0.06 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.87 | 0.00 | 0.87 | | 0.17 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 0.91 | 0.00 | 0.91 | | 0.18 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 2.73 | 0.00 | 2.73 | | 0.55 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.13 | 0.00 | 1.13 | | 0.23 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 3.39 | 0.00 | 3.39 | | 0.68 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 0.61 | 0.00 | 0.61 | | 0.12 |
| 03/05/2018 03900378000 | MOZISEK, RILEY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 1.82 | 0.00 | 1.82 | | 0.36 |
| 03/05/2018 03900378054 | HENRY, JAMES | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.31 | 0.00 | 1.31 | | 0.26 |
| 03/05/2018 03900378054 | HENRY, JAMES | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 3.94 | 0.00 | 3.94 | | 0.79 |
| 03/05/2018 03900378316 | PIERCE, LINDA | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.28 | 0.00 | 0.28 | | 0.06 |
| 03/05/2018 03900378316 | PIERCE, LINDA | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.85 | 0.00 | 0.85 | | 0.17 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|-------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/05/2018 | 03900378316 | PIERCE, LINDA MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.31 | 0.00 | 0.31 | | 0.06 |
| 03/05/2018 | 03900378316 | PIERCE, LINDA MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.94 | 0.00 | 0.94 | | 0.19 |
| 03/05/2018 | 03900378316 | PIERCE, LINDA MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 0.36 | 0.00 | 0.36 | | 0.07 |
| 03/05/2018 | 03900378316 | PIERCE, LINDA MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 1.08 | 0.00 | 1.08 | | 0.22 |
| 03/05/2018 | 03900381770 | ROBINSON, VALERIE MFT WELLS FARGO GLOBAL | B | 0.00 | 1.24 | 0.00 | 1.24 | | 0.25 |
| 03/05/2018 | 03900381770 | ROBINSON, VALERIE MFT WELLS FARGO GLOBAL | B | 0.00 | 3.73 | 0.00 | 3.73 | | 0.75 |
| 03/05/2018 | 03900381770 | ROBINSON, VALERIE MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.91 | 0.00 | 1.91 | | 0.38 |
| 03/05/2018 | 03900381770 | ROBINSON, VALERIE MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 5.74 | 0.00 | 5.74 | | 1.15 |
| 03/05/2018 | 03900381770 | ROBINSON, VALERIE MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 1.99 | 0.00 | 1.99 | | 0.40 |
| 03/05/2018 | 03900381770 | ROBINSON, VALERIE MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 5.98 | 0.00 | 5.98 | | 1.20 |
| 03/05/2018 | 03900382176 | MOZISEK, MATTHEW MFT WELLS FARGO GLOBAL | B | 0.00 | 0.29 | 0.00 | 0.29 | | 0.06 |
| 03/05/2018 | 03900382176 | MOZISEK, MATTHEW MFT WELLS FARGO GLOBAL | B | 0.00 | 0.87 | 0.00 | 0.87 | | 0.17 |
| 03/05/2018 | 03900382176 | MOZISEK, MATTHEW MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 0.60 | 0.00 | 0.60 | | 0.12 |
| 03/05/2018 | 03900382176 | MOZISEK, MATTHEW MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 1.79 | 0.00 | 1.79 | | 0.36 |
| 03/05/2018 | 03900382176 | MOZISEK, MATTHEW MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.59 | 0.00 | 0.59 | | 0.12 |
| 03/05/2018 | 03900382176 | MOZISEK, MATTHEW MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.76 | 0.00 | 1.76 | | 0.35 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO GLOBAL | B | 0.00 | 0.29 | 0.00 | 0.29 | | 0.06 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO GLOBAL | B | 0.00 | 0.87 | 0.00 | 0.87 | | 0.17 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 0.62 | 0.00 | 0.62 | | 0.12 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 1.85 | 0.00 | 1.85 | | 0.37 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.77 | 0.00 | 0.77 | | 0.15 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.30 | 0.00 | 2.30 | | 0.46 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 0.61 | 0.00 | 0.61 | | 0.12 |
| 03/05/2018 | 03900382814 | MOZISEK, SKYLAR MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 1.82 | 0.00 | 1.82 | | 0.36 |
| 03/05/2018 | 03903066761 | SNYDER, MICHELLE MFT WELLS FARGO GLOBAL | B | 0.00 | 1.57 | 0.00 | 1.57 | | 0.31 |
| 03/05/2018 | 03903066761 | SNYDER, MICHELLE MFT WELLS FARGO GLOBAL | B | 0.00 | 4.70 | 0.00 | 4.70 | | 0.94 |
| 03/05/2018 | 03903066763 | GROS, ANDREW MFT WELLS FARGO UTILITY AND | B | 0.00 | 0.62 | 0.00 | 0.62 | | 0.12 |
| 03/05/2018 | 03903066763 | GROS, ANDREW MFT WELLS FARGO UTILITY AND | B | 0.00 | 1.87 | 0.00 | 1.87 | | 0.37 |
| 03/05/2018 | 03903066763 | GROS, ANDREW MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 0.72 | 0.00 | 0.72 | | 0.14 |
| 03/05/2018 | 03903066763 | GROS, ANDREW MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 2.17 | 0.00 | 2.17 | | 0.43 |
| 03/05/2018 | 03903066763 | GROS, ANDREW MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.77 | 0.00 | 0.77 | | 0.15 |
| 03/05/2018 | 03903066763 | GROS, ANDREW MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.31 | 0.00 | 2.31 | | 0.46 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO GLOBAL | B | 0.00 | 5.76 | 0.00 | 5.76 | | 1.15 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO GLOBAL | B | 0.00 | 17.28 | 0.00 | 17.28 | | 3.46 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 5.63 | 0.00 | 5.63 | | 1.13 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 16.90 | 0.00 | 16.90 | | 3.38 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 6.79 | 0.00 | 6.79 | | 1.36 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 20.37 | 0.00 | 20.37 | | 4.07 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 6.64 | 0.00 | 6.64 | | 1.33 |
| 03/05/2018 | 03903067159 | CEPERO, RALPH MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 19.93 | 0.00 | 19.93 | | 3.99 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN MFT WELLS FARGO GLOBAL | B | 0.00 | 2.28 | 0.00 | 2.28 | | 0.46 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN MFT WELLS FARGO GLOBAL | B | 0.00 | 6.84 | 0.00 | 6.84 | | 1.37 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN MFT WELLS FARGO UTILITY AND | B | 0.00 | 1.51 | 0.00 | 1.51 | | 0.30 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN MFT WELLS FARGO UTILITY AND | B | 0.00 | 4.52 | 0.00 | 4.52 | | 0.90 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|-------------|------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------------|----------------------|
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 2.73 | 0.00 | 2.73 | 0.55 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 8.20 | 0.00 | 8.20 | 1.64 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 1.93 | 0.00 | 1.93 | 0.39 |
| 03/05/2018 | 03903068827 | MACOMBER, SHAWN | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 5.78 | 0.00 | 5.78 | 1.16 |
| 03/05/2018 | 03903070649 | GREBE, WILLIAM | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.66 | 0.00 | 0.66 | 0.13 |
| 03/05/2018 | 03903070649 | GREBE, WILLIAM | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.98 | 0.00 | 1.98 | 0.40 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO GLOBAL | B | 0.00 | 22.53 | 0.00 | 22.53 | 4.51 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO GLOBAL | B | 0.00 | 67.60 | 0.00 | 67.60 | 13.52 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 21.81 | 0.00 | 21.81 | 4.36 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO INTRINSIC WORLD | B | 0.00 | 65.42 | 0.00 | 65.42 | 13.08 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 21.86 | 0.00 | 21.86 | 4.37 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 65.58 | 0.00 | 65.58 | 13.12 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 25.34 | 0.00 | 25.34 | 5.07 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 76.01 | 0.00 | 76.01 | 15.20 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 23.76 | 0.00 | 23.76 | 4.75 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 71.28 | 0.00 | 71.28 | 14.26 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 26.02 | 0.00 | 26.02 | 5.20 |
| 03/05/2018 | 03903074922 | SHELTON, BARBARA | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 78.07 | 0.00 | 78.07 | 15.61 |
| 03/05/2018 | 03903086516 | ANNE GROS, HEIDI | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 0.32 | 0.00 | 0.32 | 0.06 |
| 03/05/2018 | 03903086516 | ANNE GROS, HEIDI | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 0.96 | 0.00 | 0.96 | 0.19 |
| 03/05/2018 | 03903086516 | ANNE GROS, HEIDI | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 0.22 | 0.00 | 0.22 | 0.04 |
| 03/05/2018 | 03903086516 | ANNE GROS, HEIDI | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 0.66 | 0.00 | 0.66 | 0.13 |
| 03/05/2018 | 03903087245 | MOTT, SUE | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 0.86 | 0.00 | 0.86 | 0.17 |
| 03/05/2018 | 03903087245 | MOTT, SUE | MFT WELLS FARGO DISCOVERY-C | B | 0.00 | 2.59 | 0.00 | 2.59 | 0.52 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO GLOBAL | B | 0.00 | 2.20 | 0.00 | 2.20 | 0.44 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO GLOBAL | B | 0.00 | 6.61 | 0.00 | 6.61 | 1.32 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 3.23 | 0.00 | 3.23 | 0.65 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO SPECIALIZED | B | 0.00 | 9.70 | 0.00 | 9.70 | 1.94 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 2.32 | 0.00 | 2.32 | 0.46 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO LARGE CAP GROWTH-C | B | 0.00 | 6.96 | 0.00 | 6.96 | 1.39 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 2.45 | 0.00 | 2.45 | 0.49 |
| 03/05/2018 | 03903087701 | ENRIGHT, WENDY | MFT WELLS FARGO SPECIAL SM CAP | B | 0.00 | 7.34 | 0.00 | 7.34 | 1.47 |
| * JOY LAWRENCE-D602 SUBTOTAL * | | | | | \$0.00 | \$3,094.86 | \$0.00 | \$3,094.86 | \$618.93 |
| *** REP LINK TRANSACTIONS TOTAL *** | | | | | \$-7,771.64 | \$3,672.88 | \$27.50 | \$3,645.38 | \$729.01 |

COMMISSION STATEMENT for JOY LAWRENCE-D098

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

| | | | | | | | | | |
|------------|--|---------------------------------|--|--------|--------|--------|--------|--|--------|
| 03/31/2018 | | PRIOR PERIOD BALANCE(SEE BELOW) | | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$820.08 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|------------|
| Net Commissions | \$850.22 |
| Year-to-date Commissions | \$6,795.87 |