

COMMISSION STATEMENT

November 30, 2018

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: C40 C41

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/15/2018 (None)	VARIOUS, BELZ ERIC	529 PLAN	B	0.00	13.41	0.00	13.41	90.0	12.07
11/26/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER 683793400	B	0.00	8.00	0.00	8.00	90.0	7.20
11/15/2018 00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	90.0	4.50
11/15/2018 00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$31.41	\$0.00	\$31.41		\$28.27
*** BROKER TRANSACTIONS TOTAL ***				\$200.00	\$31.41	\$0.00	\$31.41		\$28.27

SPLIT TRANSACTIONS

BROKER: RICHARD BELZ #77

11/09/2018 (None)	Client Not Found	FRANKLIN TEMPLETON	B	0.00	63.80	0.00	63.80		57.42
11/19/2018 (None)	VARIOUS, BELZ RICHARD	BALANCED A	B	0.00	0.06	0.00	0.06		0.05
11/06/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	19.38	0.78	0.00	0.78		0.70
11/20/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	19.38	0.78	0.00	0.78		0.70
11/06/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	34.00	0.77	0.00	0.77		0.69
11/20/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	34.00	0.77	0.00	0.77		0.69
10/30/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.16	0.00	0.16		0.14
11/13/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.16	0.00	0.16		0.14
11/06/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
11/20/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
10/30/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.54	0.00	0.54		0.49
11/13/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.54	0.00	0.54		0.49
10/30/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.22	0.00	0.22		0.20
11/13/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.22	0.00	0.22		0.20
11/07/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
11/20/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
11/06/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.19	0.00	0.19		0.17
11/20/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.19	0.00	0.19		0.17
11/06/2018 000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	19.38	0.78	0.00	0.78		0.70
11/20/2018 000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	19.38	0.78	0.00	0.78		0.70

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/30/2018	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.16	0.00	0.16		0.14
11/13/2018	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.16	0.00	0.16		0.14
11/07/2018	000090230176100 SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
11/20/2018	000090230176100 SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
11/05/2018	00056956375 FERRADO, MICHAEL	AMCAP FUND-A	B	20.00	0.85	0.00	0.85		0.77
11/09/2018	00061842239 SCHENK, STEVEN	AMCAP FUND-A	B	5.00	0.19	0.00	0.19		0.17
11/08/2018	00064802351 SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	1.50	0.06	0.00	0.06		0.05
11/08/2018	00064818808 SCHENK, ERIC	AMCAP FUND-A	B	1.15	0.04	0.00	0.04		0.04
10/29/2018	00075280585 MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
10/29/2018	00075280585 MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
11/13/2018	00075280585 MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
11/13/2018	00075280585 MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
11/23/2018	00075280585 MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
11/23/2018	00075280585 MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
11/15/2018	00084040075 WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B		0.50	0.02	0.00	0.02		0.02
11/15/2018	00084040075 WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.50	0.02	0.00	0.02		0.02
11/15/2018	00088094822 WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	0.75	0.01	0.00	0.01		0.01
10/29/2018	00089866552 UNTIL AGE 21,	AMCAP FUND-A	B	2.50	0.09	0.00	0.09		0.08
11/13/2018	00453533309 BURNS, KATHLEEN	PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
11/13/2018	00453533309 BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		7.50	0.21	0.00	0.21		0.19
11/12/2018	00487862253 PERUGINI, MILDRED	PUTNAM 746745108	B	5.00	0.14	0.00	0.14		0.13
11/12/2018	00487862253 PERUGINI, MILDRED	PUTNAM 746802800	B	7.50	0.21	0.00	0.21		0.19
11/12/2018	00487862253 PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B		7.50	0.21	0.00	0.21		0.19
11/16/2018	00493247534 PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
10/22/2018	00501256730 KUNSCH, STEPHEN	PUTNAM 746802800	B	5.00	0.25	0.00	0.25		0.23
11/05/2018	00501256730 KUNSCH, STEPHEN	PUTNAM 746802800	B	5.00	0.25	0.00	0.25		0.23
10/22/2018	00503977532 SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
10/22/2018	00503977532 SCHAEFER, DOREEN	PUTNAM MULTI-CAP CORE FUND-A	B	1.25	0.06	0.00	0.06		0.05
11/05/2018	00503977532 SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
11/05/2018	00503977532 SCHAEFER, DOREEN	PUTNAM MULTI-CAP CORE FUND-A	B	1.25	0.06	0.00	0.06		0.05
11/13/2018	00514953902 STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
11/13/2018	00514953902 STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	5.00	0.19	0.00	0.19		0.17
10/22/2018	00515030771 ONORATO, LAURA	PUTNAM 746933100	B	15.05	0.41	0.00	0.41		0.37
10/22/2018	00515030771 ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		10.15	0.28	0.00	0.28		0.25
10/22/2018	00515030771 ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.27	0.00	0.27		0.24
11/16/2018	00550827572 PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
11/13/2018	00551218283 C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06		0.05
11/13/2018	00551218283 C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13		0.12
11/13/2018	00551218283 C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06		0.05
10/22/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31		0.28

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
10/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
10/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
11/05/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
11/05/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
11/05/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
11/05/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
11/13/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
11/13/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
11/13/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
11/06/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	55.82	0.00	55.82	50.24
11/26/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	52.14	0.00	52.14	46.93
11/28/2018	QGW033375	AMENITA, PATRICIA	BLUE BIRD CORPORATION COM	S	-85.81	1.75	0.60	1.15	0.98
11/12/2018	QGW034415	CHAIMOVITCH, BERNARD	BLUE BIRD CORPORATION COM	S	-87.22	1.50	0.60	0.90	0.75
11/23/2018	QGW035107	SABATELLO, RAYMOND	SACHEM CAPITAL CORP COMMON SHARES	B	903.90	23.00	0.60	22.40	20.10
11/27/2018	QGW035107	SABATELLO, RAYMOND	SACHEM CAPITAL CORP COMMON SHARES	B	1,061.10	27.00	0.60	26.40	23.70
11/27/2018	QGW035115	SMITH, SUSAN	BLUE BIRD CORPORATION COM	S	-87.31	1.50	0.60	0.90	0.75
11/27/2018	QGW035115	SMITH, SUSAN	NINTENDO CO LTD-ADR NEW	S	-540.20	2.75	0.60	2.15	1.88
11/12/2018	QGW035123	BOWLEY, KEVIN	COHERUS BIOSCIENCES INC COM	B	900.00	11.61	0.60	11.01	9.85
11/16/2018	QGW035123	BOWLEY, KEVIN	BLUE BIRD CORPORATION COM	S	-87.07	1.75	0.60	1.15	0.98
11/16/2018	QGW035172	O'NEILL, RORY	APPLE INC	B	2,025.00	17.82	0.60	17.22	15.44
11/21/2018	QGW035206	SKAHILL, ROBERT	ARCONIC INC COM	S	-675.88	5.50	0.60	4.90	4.35
11/21/2018	QGW035206	SKAHILL, ROBERT	BLUE BIRD CORPORATION COM	S	-86.39	1.75	0.60	1.15	0.98
11/14/2018	QGW035255	BROCHARD, MARIA	APPLE INC	B	2,050.00	18.04	0.60	17.44	15.64
11/19/2018	QGW035271	SPECTOR, LES	SACHEM CAPITAL CORP COMMON SHARES	B	164.48	2.50	0.60	1.90	1.65
11/19/2018	QGW035289	SPECTOR, VICTORIA	ALCOA CORPORATION COMMON STOCK	S	-554.51	3.75	0.60	3.15	2.78
11/19/2018	QGW035289	SPECTOR, VICTORIA	BLUE BIRD CORPORATION COM	S	-87.04	1.75	0.60	1.15	0.98
11/27/2018	QGW035289	SPECTOR, VICTORIA	SACHEM CAPITAL CORP COMMON SHARES	B	866.25	22.50	0.60	21.90	19.65
11/12/2018	QGW035396	MOYNIHAN, MICHAEL	COHERUS BIOSCIENCES INC COM	B	750.00	9.68	0.60	9.08	8.11
11/14/2018	QGW035396	MOYNIHAN, MICHAEL	BANK OF AMERICA CORP	B	1,160.00	12.76	0.60	12.16	10.88
11/16/2018	QGW035396	MOYNIHAN, MICHAEL	BANK OF AMERICA CORP	B	1,015.00	11.17	0.60	10.57	9.45
11/12/2018	QGW035404	RICCA, MARIA	BLUE BIRD CORPORATION COM	S	-87.18	1.75	0.60	1.15	0.98
11/28/2018	QGW035412	AMENITA, PATRICIA	BLUE BIRD CORPORATION COM	S	-85.81	1.75	0.60	1.15	0.98
11/16/2018	QGW035511	LYDEN, MARY	BLUE BIRD CORPORATION COM	S	-87.20	1.75	0.60	1.15	0.98
11/27/2018	QGW035537	LYDEN, MARY	BLUE BIRD CORPORATION COM	S	-85.96	2.25	0.60	1.65	1.43
11/28/2018	QGW035560	AMENITA, FREDERICK	BLUE BIRD CORPORATION COM	S	-85.81	1.75	0.60	1.15	0.98
11/14/2018	QGW035594	DUSATKO, ANNE	BANK OF AMERICA CORP	B	2,900.00	17.98	0.60	17.38	15.58
11/16/2018	QGW035594	DUSATKO, ANNE	APPLE INC	B	2,025.00	17.82	0.60	17.22	15.44
11/19/2018	QGW035610	DAVIS, VICTORIA	BLUE BIRD CORPORATION COM	S	-87.25	2.00	0.60	1.40	1.20
11/19/2018	QGW035628	SPECTOR, VICTORIA	ALTRA INDL MOTION CORP COM	S	-301.17	2.50	0.60	1.90	1.65

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
11/14/2018	QGW035644 MONGELUZZI, LINDA	BANK OF AMERICA CORP	B	2,900.00	17.98	0.60	17.38		15.58
11/15/2018	QGW035644 MONGELUZZI, LINDA	BLUE BIRD CORPORATION COM	S	-87.80	1.75	0.60	1.15		0.98
11/28/2018	QGW035644 MONGELUZZI, LINDA	GLOBAL NET LEASE INC COM	B	1,010.00	0.00	0.60	-0.60		-0.60
11/19/2018	QGW035651 YONGE, DAVID	BLUE BIRD CORPORATION COM	S	-87.21	1.00	0.60	0.40		0.30
11/16/2018	QGW035669 KOLLAR, ROBERT	BLUE BIRD CORPORATION COM	S	-86.45	1.65	0.60	1.05		0.89
11/16/2018	QGW035677 KOLLAR, MAURA	BLUE BIRD CORPORATION COM	S	-86.41	1.65	0.60	1.05		0.89
11/27/2018	QGW035677 KOLLAR, MAURA	SACHEM CAPITAL CORP COMMON SHARES	B	142.45	2.50	0.60	1.90		1.65
11/12/2018	QGW035685 EICHENHOLZ, SONDR	BLUE BIRD CORPORATION COM	S	-87.02	1.50	0.60	0.90		0.75
11/12/2018	QGW035685 EICHENHOLZ, SONDR	SACHEM CAPITAL CORP COMMON SHARES	S	-206.50	1.88	0.60	1.28		1.09
11/14/2018	QGW035685 EICHENHOLZ, SONDR	BANK OF AMERICA CORP	B	2,175.00	19.14	0.60	18.54		16.63
11/16/2018	QGW035701 SZARKA, MICHAEL	BLUE BIRD CORPORATION COM	S	-86.81	1.65	0.60	1.05		0.89
11/28/2018	QGW035701 SZARKA, MICHAEL	MYND ANALYTICS INC COMMON STOCK	S	-52.69	2.00	0.60	1.40		1.20
11/28/2018	QGW035727 HERDTER, CYNTHIA	BLUE BIRD CORPORATION COM	S	-85.81	1.75	0.60	1.15		0.98
11/16/2018	QGW035735 STELLATO, MICHAEL	BANK OF AMERICA CORP	B	3,000.00	18.60	0.60	18.00		16.14
11/12/2018	QGW035768 MASSA, PATRICIA	COHERUS BIOSCIENCES INC COM	B	750.00	9.68	0.60	9.08		8.11
11/16/2018	QGW035768 MASSA, PATRICIA	COHERUS BIOSCIENCES INC COM	B	375.00	5.44	0.60	4.84		4.30
11/16/2018	QGW035776 ZIMMERMAN, FRANCINE	APPLE INC	B	1,950.00	17.16	0.60	16.56		14.84
11/28/2018	QGW035776 ZIMMERMAN, FRANCINE	BLUE BIRD CORPORATION COM	S	-85.81	1.75	0.60	1.15		0.98
11/28/2018	QGW035776 ZIMMERMAN, FRANCINE	GLOBAL NET LEASE INC COM	B	909.00	0.00	0.60	-0.60		-0.60
11/16/2018	QGW035784 SHEEHAN, DAVID	BLUE BIRD CORPORATION COM	S	-86.84	1.65	0.60	1.05		0.89
11/28/2018	QGW035784 SHEEHAN, DAVID	GLOBAL NET LEASE INC COM	B	808.00	0.00	0.60	-0.60		-0.60
11/16/2018	QGW035800 SHEEHAN, MARY	BLUE BIRD CORPORATION COM	S	-86.81	4.55	0.60	3.95		3.50
11/12/2018	QGW035834 CHAUDHRY, JAHANZEB	BLUE BIRD CORPORATION COM	S	-87.80	1.75	0.60	1.15		0.98
11/27/2018	QGW035834 CHAUDHRY, JAHANZEB	SACHEM CAPITAL CORP COMMON SHARES	B	518.37	8.02	0.60	7.42		6.62
11/12/2018	QGW035842 SZABO, JOSEPH	ALTRA INDL MOTION CORP COM	S	-319.39	1.75	0.60	1.15		0.98
11/13/2018	QGW035842 SZABO, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	S	-411.00	3.25	0.60	2.65		2.33
11/13/2018	QGW035859 SZABO, JOSEPH	BLUE BIRD CORPORATION COM	S	-87.71	2.00	0.60	1.40		1.20
11/13/2018	QGW035859 SZABO, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	S	-0.41	0.00	0.60	-0.60		-0.60
11/14/2018	QGW035891 DICKIE, JAYNE	BANK OF AMERICA CORP	B	1,450.00	15.95	0.60	15.35		13.76
11/14/2018	QGW035982 PANASUK, JOHN	BANK OF AMERICA CORP	B	2,900.00	17.98	0.60	17.38		15.58
11/16/2018	QGW035982 PANASUK, JOHN	COHERUS BIOSCIENCES INC COM	B	750.00	9.68	0.60	9.08		8.11
11/19/2018	QGW035982 PANASUK, JOHN	BLUE BIRD CORPORATION COM	S	-87.17	2.00	0.60	1.40		1.20
11/13/2018	QGW035990 HERDTER, RICHARD	BLUE BIRD CORPORATION COM	S	-87.58	2.25	0.60	1.65		1.43
11/28/2018	QGW036006 WALLNER, ERIC	GLOBAL NET LEASE INC COM	B	808.00	0.00	0.60	-0.60		-0.60
11/16/2018	QGW036030 BALLO, CATHERINE	COHERUS BIOSCIENCES INC COM	B	750.00	9.68	0.60	9.08		8.11
11/19/2018	QGW036030 BALLO, CATHERINE	BLUE BIRD CORPORATION COM	S	-87.32	1.75	0.60	1.15		0.98
11/28/2018	QGW036055 MATACOTTA, CORINNA	GLOBAL NET LEASE INC COM	B	1,010.00	0.00	0.60	-0.60		-0.60
11/15/2018	QGW036071 HENNEMANN, DENNIS	BLUE BIRD CORPORATION COM	S	-87.65	1.75	0.60	1.15		0.98
11/16/2018	QGW036154 CALLAHAN, PATRICK	BLUE BIRD CORPORATION COM	S	-85.90	1.50	0.60	0.90		0.75
11/08/2018	QGW036329 WOLF, KIM	ALPHABET INC CLASS C CAPITAL STOCK	S	-1,351.60	4.04	0.60	3.44		3.04

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RICHARD BELZ #77										
11/08/2018	QGW036329	WOLF, KIM		ALTRA INDL MOTION CORP COM	S	-379.27	6.93	0.60	6.33	5.64
11/08/2018	QGW036329	WOLF, KIM		APPLE INC	S	-1,250.38	7.20	0.60	6.60	5.88
11/08/2018	QGW036329	WOLF, KIM		COHERUS BIOSCIENCES INC COM	S	-899.21	16.39	0.60	15.79	14.15
11/08/2018	QGW036329	WOLF, KIM		GWG HLDGS INC COM	S	-721.75	16.89	0.60	16.29	14.60
11/08/2018	QGW036329	WOLF, KIM		PAYPAL HOLDINGS INC COM	S	-2,211.99	20.18	0.60	19.58	17.56
11/08/2018	QGW036329	WOLF, KIM		SACHEM CAPITAL CORP COMMON SHARES	S	-2,604.88	54.05	0.60	53.45	48.05
11/16/2018	QGW036337	FARREN, JOSEPHINE		BLUE BIRD CORPORATION COM	S	-87.11	1.75	0.60	1.15	0.98
11/23/2018	QGW036956	BROCHARD, THOMAS		BLUE BIRD CORPORATION COM	S	-87.45	1.75	0.60	1.15	0.98
11/12/2018	QGW037103	BURNS, KATHLEEN		COHERUS BIOSCIENCES INC COM	B	1,125.00	12.38	0.60	11.78	10.54
11/14/2018	QGW037103	BURNS, KATHLEEN		BANK OF AMERICA CORP	B	2,175.00	19.14	0.60	18.54	16.63
11/19/2018	QGW038531	MUSSLER, LINDA		BLUE BIRD CORPORATION COM	S	-86.51	1.75	0.60	1.15	0.98
11/12/2018	QGW038556	LAPINTA, LEONARD		COHERUS BIOSCIENCES INC COM	B	750.00	9.68	0.60	9.08	8.11
11/14/2018	QGW038556	LAPINTA, LEONARD		BANK OF AMERICA CORP	B	2,900.00	17.98	0.60	17.38	15.58
11/12/2018	QGW038960	ROMANO, LOUIS		COHERUS BIOSCIENCES INC COM	B	1,125.00	12.38	0.60	11.78	10.54
11/15/2018	QGW038978	KMIECIAK, INES		BLUE BIRD CORPORATION COM	S	-87.68	1.75	0.60	1.15	0.98
11/15/2018	QGW039026	WOLF, KIM		BLUE BIRD CORPORATION COM	S	-86.49	2.50	0.60	1.90	1.65
11/15/2018	QGW039026	WOLF, KIM		SACHEM CAPITAL CORP COMMON SHARES	S	-1,938.46	44.54	0.60	43.94	39.49
11/19/2018	QGW039083	SIMMONS, RICHARD		BLUE BIRD CORPORATION COM	S	-86.47	1.75	0.60	1.15	0.98
11/20/2018	QGW039091	CITARELLA, FRANK		ALTRA INDL MOTION CORP COM	S	-341.05	2.75	0.60	2.15	1.88
11/20/2018	QGW039091	CITARELLA, FRANK		BLUE BIRD CORPORATION COM	S	-86.30	2.50	0.60	1.90	1.65
11/28/2018	QGW039125	WHITE, KERRY		BLUE BIRD CORPORATION COM	S	-87.65	1.75	0.60	1.15	0.98
11/15/2018	QGW039216	MCCARTHY, MARCIA		BLUE BIRD CORPORATION COM	S	-87.98	1.75	0.60	1.15	0.98
11/15/2018	QGW039570	KMIECIAK, STEFANIA		BLUE BIRD CORPORATION COM	S	-87.63	1.75	0.60	1.15	0.98
11/16/2018	QGW039596	FARRELLY, JOSEPH		RESIDEO TECHNOLOGIES INC COM	B	1,687.50	14.85	0.60	14.25	12.77
11/14/2018	QGW039612	DUSATKO, FRANK		BANK OF AMERICA CORP	B	435.00	6.31	0.60	5.71	5.08
11/16/2018	QGW039612	DUSATKO, FRANK		BANK OF AMERICA CORP	B	2,465.00	21.69	0.60	21.09	18.92
11/16/2018	QGW039612	DUSATKO, FRANK		BLUE BIRD CORPORATION COM	S	-87.09	1.75	0.60	1.15	0.98
11/23/2018	QGW039612	DUSATKO, FRANK		ROKU INC CLASS A COMMON STOCK	B	2,000.00	17.60	0.60	17.00	15.24
11/28/2018	QGW039646	DUSATKO, FRANK		GLOBAL NET LEASE INC COM	B	505.00	0.00	0.60	-0.60	-0.60
11/12/2018	QGW039752	RICCA, CARLO		BLUE BIRD CORPORATION COM	S	-87.03	1.75	0.60	1.15	0.98
11/12/2018	QGW039752	RICCA, CARLO		SACHEM CAPITAL CORP COMMON SHARES	S	-413.00	3.75	0.60	3.15	2.78
11/16/2018	QGW039885	CORTESE, ROCCO		INTERNATIONAL BUSINESS MACHINES COR B	B	1,220.00	13.42	0.60	12.82	11.48
11/28/2018	QGW039885	CORTESE, ROCCO		APPLE INC	B	1,050.00	11.55	0.60	10.95	9.80
11/23/2018	QGW040008	CARUSO, MICHAEL		BLUE BIRD CORPORATION COM	S	-87.47	1.50	0.60	0.90	0.75
11/16/2018	QGW040081	CAUSIN, LAURENCE		BLUE BIRD CORPORATION COM	S	-86.45	1.75	0.60	1.15	0.98
11/14/2018	QGW040206	PANASUK, JOHN		APPLE INC	B	2,050.00	18.04	0.60	17.44	15.64
11/16/2018	QGW040206	PANASUK, JOHN		RESIDEO TECHNOLOGIES INC COM	B	1,125.00	12.38	0.60	11.78	10.54
11/19/2018	QGW040206	PANASUK, JOHN		SACHEM CAPITAL CORP COMMON SHARES	B	1,050.30	26.40	0.60	25.80	23.16
11/27/2018	QGW040206	PANASUK, JOHN		SACHEM CAPITAL CORP COMMON SHARES	B	693.00	17.60	0.60	17.00	15.24
11/28/2018	QGW040214	LAPINTA, LEONARD		BLUE BIRD CORPORATION COM	S	-87.55	1.75	0.60	1.15	0.98

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
11/21/2018	(None) VARIOUS, BELZ RICHARD	GWG BONDS	B	0.00	146.25	0.60	145.65		131.03
11/08/2018	QGV890403 RF LAFFERTY & CO	CALL 100 BANK OF AMERICA CORPORATIO S		-21.50	2.00	0.73	1.27		1.07
11/08/2018	QGV890403 RF LAFFERTY & CO	CALL 100 BANK OF AMERICA CORPORATIO S		21.50	-2.00	-0.73	-1.27		-1.07 C
11/12/2018	QGW033441 MURPHY, BREANNE	CALL 100 KRATOS DEFENSE & SEC SOLUT S		-10.00	0.95	0.73	0.22		0.13
11/13/2018	QGW033441 MURPHY, BREANNE	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-25.00	2.20	0.85	1.35		1.13
11/21/2018	QGW035107 SABATELLO, RAYMOND	PUT 100 RESIDEO TECHNOLOGIES INC CO S		-57.50	4.95	0.73	4.22		3.73
11/06/2018	QGW035172 O'NEILL, RORY	PUT 100 APPLE INC COM EXP 11-16-18@ S		-37.00	3.50	0.63	2.87		2.52
11/05/2018	QGW035206 SKAHILL, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-35.00	3.40	0.85	2.55		2.21
11/21/2018	QGW035206 SKAHILL, ROBERT	PUT 100 RESIDEO TECHNOLOGIES INC CO S		-62.50	4.95	0.73	4.22		3.73
11/05/2018	QGW035255 BROCHARD, MARIA	CALL 100 VUZIX CORP COM NEW EXP 12- S		-25.00	2.40	0.85	1.55		1.31
11/05/2018	QGW035255 BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-34.00	2.95	1.10	1.85		1.56
11/06/2018	QGW035255 BROCHARD, MARIA	PUT 100 APPLE INC COM EXP 11-16-18@ S		-48.80	4.00	0.63	3.37		2.97
11/12/2018	QGW035255 BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-50.00	4.75	1.10	3.65		3.18
11/19/2018	QGW035255 BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 04-1 S		-75.00	4.95	0.85	4.10		3.61
11/08/2018	QGW035347 MODUGNO, ROCCO	CALL 100 BANK OF AMERICA CORPORATIO S		-21.00	1.95	0.73	1.22		1.03
11/19/2018	QGW035347 MODUGNO, ROCCO	CALL 100 VUZIX CORP COM NEW EXP 01- S		-25.00	2.40	0.91	1.49		1.25
11/08/2018	QGW035404 RICCA, MARIA	CALL 100 BANK OF AMERICA CORPORATIO S		-18.90	1.75	0.71	1.04		0.87
11/19/2018	QGW035404 RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-52.50	4.95	0.98	3.97		3.48
11/19/2018	QGW035404 RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 04-1 S		-80.00	4.95	0.85	4.10		3.61
11/05/2018	QGW035503 SIMPSON, DAVID	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-35.00	3.40	0.85	2.55		2.21
11/19/2018	QGW035511 LYDEN, MARY	PUT 100 IQIYI INC SPONS ADR ISIN#US S		-86.25	4.95	0.79	4.16		3.67
11/27/2018	QGW035511 LYDEN, MARY	PUT 100 COHERUS BIOSCIENCES INC COM S		-92.50	4.95	0.73	4.22		3.73
11/28/2018	QGW035529 AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 04- S		-33.75	2.95	0.79	2.16		1.87
11/06/2018	QGW035594 DUSATKO, ANNE	PUT 100 APPLE INC COM EXP 11-16-18@ S		-39.00	3.75	0.63	3.12		2.75
11/08/2018	QGW035594 DUSATKO, ANNE	CALL 100 VUZIX CORP COM NEW EXP 01- S		-10.00	0.91	0.65	0.26		0.17
11/15/2018	QGW035594 DUSATKO, ANNE	CALL 100 BANK OF AMERICA CORPORATIO S		-45.00	3.90	0.85	3.05		2.66
11/15/2018	QGW035594 DUSATKO, ANNE	PUT 100 IQIYI INC SPONS ADR ISIN#US S		-110.00	4.95	0.85	4.10		3.61
11/19/2018	QGW035610 DAVIS, VICTORIA	CALL 100 VUZIX CORP COM NEW EXP 01- S		-20.00	1.95	0.85	1.10		0.91
11/28/2018	QGW035610 DAVIS, VICTORIA	CALL 100 PAYPAL HLDGS INC COM EXP 1 S		-8.50	1.25	0.63	0.62		0.50
11/15/2018	QGW035644 MONGELUZZI, LINDA	CALL 100 BANK OF AMERICA CORPORATIO S		-44.00	3.95	0.85	3.10		2.71
11/29/2018	QGW035644 MONGELUZZI, LINDA	CALL 100 HEICO CORP NEW COM EXP 12- S		-13.75	1.45	0.66	0.79		0.65
11/20/2018	QGW035651 YONGE, DAVID	CALL 100 VUZIX CORP COM NEW EXP 01- S		-15.00	1.00	0.79	0.21		0.11
11/20/2018	QGW035651 YONGE, DAVID	CALL 100 VUZIX CORP COM NEW EXP 01- S		15.00	-1.00	-0.79	-0.21		-0.11 C
11/20/2018	QGW035651 YONGE, DAVID	CALL 100 VUZIX CORP COM NEW EXP 01- S		-15.00	1.00	0.79	0.21		0.11
11/19/2018	QGW035669 KOLLAR, ROBERT	PUT 100 IQIYI INC SPONS ADR ISIN#US S		-60.00	4.95	0.73	4.22		3.73
11/20/2018	QGW035669 KOLLAR, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-50.00	4.95	0.91	4.04		3.55
11/29/2018	QGW035669 KOLLAR, ROBERT	CALL 100 HEICO CORP NEW COM EXP 12- S		-9.75	1.10	0.64	0.46		0.35
11/19/2018	QGW035677 KOLLAR, MAURA	CALL 100 VUZIX CORP COM NEW EXP 01- S		-10.00	1.20	0.73	0.47		0.35
11/19/2018	QGW035685 EICHENHOLZ, SONDR	CALL 100 VUZIX CORP COM NEW EXP 04- S		-37.50	3.85	0.79	3.06		2.68
11/19/2018	QGW035685 EICHENHOLZ, SONDR	PUT 100 VUZIX CORP COM NEW EXP 04-1 S		-80.00	4.95	0.85	4.10		3.61

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
11/19/2018	QGW035701	SZARKA, MICHAEL	PUT 100 RESIDEO TECHNOLOGIES INC CO S	-52.50	4.95	0.73	4.22		3.73
11/28/2018	QGW035735	STELLATO, MICHAEL	CALL 100 BANK OF AMERICA CORPORATIO S	-24.00	1.45	0.85	0.60		0.46
11/12/2018	QGW035768	MASSA, PATRICIA	PUT 100 ROKU INC COM CL A EXP 11-23 S	-49.00	4.85	0.73	4.12		3.64
11/05/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 APPLE INC COM EXP 11-16-18@ S	-24.30	2.00	0.63	1.37		1.17
11/08/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 BANK OF AMERICA CORPORATIO S	-44.00	4.05	0.85	3.20		2.80
11/28/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 VUZIX CORP COM NEW EXP 04- S	-101.25	4.95	1.16	3.79		3.30
11/08/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 VUZIX CORP COM NEW EXP 11- S	-30.50	2.75	1.36	1.39		1.12
11/07/2018	QGW035982	PANASUK, JOHN	CALL 100 VUZIX CORP COM NEW EXP 11- S	-18.00	1.70	0.90	0.80		0.63
11/19/2018	QGW035982	PANASUK, JOHN	CALL 100 VUZIX CORP COM NEW EXP 01- S	-60.00	4.95	1.35	3.60		3.11
11/19/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-35.00	3.40	0.85	2.55		2.21
11/20/2018	QGW035982	PANASUK, JOHN	PUT 100 ENERGOUS CORP COM EXP 12-21 S	-41.25	3.40	0.79	2.61		2.27
11/28/2018	QGW035982	PANASUK, JOHN	CALL 100 BANK OF AMERICA CORPORATIO S	-20.00	1.75	0.85	0.90		0.73
11/07/2018	QGW036030	BALLO, CATHERINE	CALL 100 BANK OF AMERICA CORPORATIO S	-39.00	3.90	0.85	3.05		2.66
11/12/2018	QGW036055	MATACOTTA, CORINNA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-37.50	3.90	0.98	2.92		2.53
11/15/2018	QGW036071	HENNEMANN, DENNIS	PUT 100 IQIYI INC SPONS ADR ISIN#US S	-55.00	4.60	0.73	3.87		3.41
11/28/2018	QGW036071	HENNEMANN, DENNIS	CALL 100 PAYPAL HLDGS INC COM EXP 1 S	-13.20	1.45	0.64	0.81		0.67
11/05/2018	QGW036105	ARDOLINO, SUSAN	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-26.25	2.50	0.79	1.71		1.46
11/05/2018	QGW036899	SARLO, JESSICA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-67.50	4.95	0.98	3.97		3.48
11/06/2018	QGW036956	BROCHARD, THOMAS	PUT 100 ENERGOUS CORP COM EXP 11-16 S	-18.75	1.80	0.79	1.01		0.83
11/19/2018	QGW036956	BROCHARD, THOMAS	PUT 100 ENERGOUS CORP COM EXP 12-21 S	-25.00	2.40	0.73	1.67		1.43
11/05/2018	QGW037087	01/19/2016, U/A	CALL 100 NABORS INDS LTD SHS ISIN#B S	-12.00	1.00	0.85	0.15		0.05
11/05/2018	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-30.00	3.00	1.10	1.90		1.60
11/16/2018	QGW037103	BURNS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-22.50	2.40	0.79	1.61		1.37
11/05/2018	QGW038531	MUSSLER, LINDA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-20.25	2.15	0.71	1.44		1.23
11/07/2018	QGW038531	MUSSLER, LINDA	CALL 100 BANK OF AMERICA CORPORATIO S	-28.50	2.50	0.79	1.71		1.46
11/28/2018	QGW038556	LAPINTA, LEONARD	CALL 100 BANK OF AMERICA CORPORATIO S	-20.00	1.95	0.85	1.10		0.91
11/08/2018	QGW038960	ROMANO, LOUIS	CALL 100 BANK OF AMERICA CORPORATIO S	-33.75	3.50	0.79	2.71		2.36
11/15/2018	QGW038960	ROMANO, LOUIS	PUT 100 IQIYI INC SPONS ADR ISIN#US S	-57.50	4.95	0.73	4.22		3.73
11/16/2018	QGW038960	ROMANO, LOUIS	CALL 100 COHERUS BIOSCIENCES INC CO S	-22.50	2.40	0.79	1.61		1.37
11/15/2018	QGW038978	KMIECIAK, INES	PUT 100 IQIYI INC SPONS ADR ISIN#US S	-57.50	4.90	0.73	4.17		3.68
11/08/2018	QGW039125	WHITE, KERRY	CALL 100 BANK OF AMERICA CORPORATIO S	-20.25	1.95	0.71	1.24		1.05
11/08/2018	QGW039216	MCCARTHY, MARCIA	CALL 100 BANK OF AMERICA CORPORATIO S	-45.00	4.45	0.85	3.60		3.16
11/19/2018	QGW039349	PLAN	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-19.00	1.15	0.84	0.31		0.20
11/12/2018	QGW039471	MARTINEZ, MARY	PUT 100 ROKU INC COM CL A EXP 11-23 S	-21.00	2.35	0.65	1.70		1.47
11/15/2018	QGW039497	CAUSIN, KERRY	PUT 100 IQIYI INC SPONS ADR ISIN#US S	-84.00	4.95	0.69	4.26		3.77
11/15/2018	QGW039570	KMIECIAK, STEFANIA	PUT 100 IQIYI INC SPONS ADR ISIN#US S	-57.50	4.90	0.73	4.17		3.68
11/08/2018	QGW039596	FARRELLY, JOSEPH	CALL 100 BANK OF AMERICA CORPORATIO S	-33.75	3.05	0.79	2.26		1.96
11/08/2018	QGW039596	FARRELLY, JOSEPH	PUT 100 APPLE INC COM EXP 12-21-18@ S	-56.00	4.95	0.63	4.32		3.83
11/08/2018	QGW039596	FARRELLY, JOSEPH	PUT 100 RESIDEO TECHNOLOGIES INC CO S	-37.50	3.50	0.79	2.71		2.36
11/08/2018	QGW039596	FARRELLY, JOSEPH	PUT 100 ROKU INC COM CL A EXP 11-16 S	-15.50	1.40	0.73	0.67		0.53

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
11/15/2018	QGW039596 FARRELLY, JOSEPH	PUT 100 IQIYI INC SPONS ADR ISIN#US S		-87.00	4.95	0.79	4.16		3.67
11/19/2018	QGW039596 FARRELLY, JOSEPH	PUT 100 ROKU INC COM CL A EXP 11-30 S		-45.00	3.90	0.73	3.17		2.78
11/20/2018	QGW039596 FARRELLY, JOSEPH	PUT 100 VUZIX CORP COM NEW EXP 04-1 S		-120.00	4.95	0.98	3.97		3.48
11/12/2018	QGW039612 DUSATKO, FRANK	PUT 100 ROKU INC COM CL A EXP 11-23 S		-67.50	4.95	0.73	4.22		3.73
11/12/2018	QGW039612 DUSATKO, FRANK	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-37.50	3.55	0.98	2.57		2.22
11/26/2018	QGW039612 DUSATKO, FRANK	CALL 100 ROKU INC COM CL A EXP 11-3 S		-36.00	3.40	0.73	2.67		2.33
11/12/2018	QGW039646 DUSATKO, FRANK	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-37.50	3.55	0.98	2.57		2.22
11/08/2018	QGW039752 RICCA, CARLO	CALL 100 BANK OF AMERICA CORPORATIO S		-33.00	3.10	0.79	2.31		2.00
11/02/2018	QGW039869 OHARA, JOHN	CALL 100 BANK OF AMERICA CORPORATIO S		-3.90	0.38	0.63	-0.25		-0.29
11/07/2018	QGW039869 OHARA, JOHN	CALL 100 BANK OF AMERICA CORPORATIO S		-15.60	1.52	0.70	0.82		0.67
11/05/2018	QGW039885 CORTESE, ROCCO	PUT 100 INTERNATIONAL BUSINESS MACH S		-41.50	3.50	0.63	2.87		2.52
11/08/2018	QGW039885 CORTESE, ROCCO	PUT 100 APPLE INC COM EXP 12-21-18@ S		-41.50	3.75	0.61	3.14		2.77
11/28/2018	QGW039885 CORTESE, ROCCO	CALL 100 INTERNATIONAL BUSINESS MAC S		-6.00	1.25	0.63	0.62		0.50
11/23/2018	QGW040008 CARUSO, MICHAEL	PUT 100 RESIDEO TECHNOLOGIES INC CO S		-54.00	4.95	0.70	4.25		3.76
11/15/2018	QGW040081 CAUSIN, LAURENCE	PUT 100 APPLE INC COM EXP 11-16-18@ S		-30.30	2.75	0.64	2.11		1.84
11/15/2018	QGW040081 CAUSIN, LAURENCE	PUT 100 BANK OF AMERICA CORPORATION S		-32.00	3.05	0.73	2.32		2.02
11/06/2018	QGW040206 PANASUK, JOHN	PUT 100 APPLE INC COM EXP 11-16-18@ S		-49.50	4.75	0.63	4.12		3.65
11/06/2018	QGW040206 PANASUK, JOHN	PUT 100 RESIDEO TECHNOLOGIES INC CO S		-22.50	2.00	0.73	1.27		1.07
11/06/2018	QGW040206 PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-30.00	2.90	1.10	1.80		1.51
11/19/2018	QGW031783 JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	0.23	0.00	0.23		0.21
11/13/2018	QGW034035 FAMILY 2012 IRREV	FEDERATED KAUFMANN FUND	B	0.00	1.03	0.00	1.03		0.93
11/13/2018	QGW034035 FAMILY 2012 IRREV	FEDERATED MDT LARGE CAP VALUE FUND	B	0.00	1.32	0.00	1.32		1.19
11/16/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745108	B	0.00	1.17	0.00	1.17		1.05
11/16/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	0.54	0.00	0.54		0.49
11/21/2018	QGW035503 SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	0.06	0.00	0.06		0.05
11/13/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.22	0.00	0.22		0.20
11/13/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	0.65	0.00	0.65		0.59
11/13/2018	QGW036774 STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A	B	0.00	0.56	0.00	0.56		0.50
11/20/2018	QGW037129 GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F	B	0.00	0.03	0.00	0.03		0.03
11/14/2018	QGW038002 WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A	B	0.00	0.15	0.00	0.15		0.14
11/14/2018	QGW038002 WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A	B	0.00	0.15	0.00	0.15		0.14
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK ADVANTAGE SMALL CAP GROWT	B	0.00	0.08	0.00	0.08		0.07
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS	B	0.00	0.18	0.00	0.18		0.16
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN	B	0.00	0.16	0.00	0.16		0.14
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C	B	0.00	0.22	0.00	0.22		0.20
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN	B	0.00	0.15	0.00	0.15		0.14
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND	B	0.00	0.08	0.00	0.08		0.07
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	0.07	0.00	0.07		0.06
11/21/2018	QGW038002 WICKERS, SCOTT	BLACKROCK FDS V HGH YLD BND PORTFOL	B	0.00	0.09	0.00	0.09		0.08
11/21/2018	QGW038556 LAPINTA, LEONARD	GLOBAL FUND A	B	0.00	0.27	0.00	0.27		0.24

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: RICHARD BELZ #77</u>									
11/21/2018	QGW038556	LAPINTA, LEONARD	OPPENHEIMER DEVELOPING MARKETS CLAS B	0.00	0.31	0.00	0.31		0.28
11/21/2018	QGW038556	LAPINTA, LEONARD	QUEST VALUE A B	0.00	0.40	0.00	0.40		0.36
11/21/2018	QGW039935	MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A B	0.00	0.02	0.00	0.02		0.02
11/21/2018	QGW039968	MAGGIOTTO, DONNA	ROCHESTER FUND MUNICIPALS A B	0.00	0.54	0.00	0.54		0.49
* RICHARD BELZ SUBTOTAL *				\$38,239.94	\$1,506.78	\$140.72	\$1,366.06		\$1,215.90
*** SPLIT TRANSACTIONS TOTAL ***				\$38,239.94	\$1,506.78	\$140.72	\$1,366.06		\$1,215.90

ADJUSTMENTS

11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-20.00
11/30/2018		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
11/30/2018		OTHER: TELEPHONE		0.00	0.00	0.00	0.00		-3.25
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-173.25

BROKER COMMISSION TOTALS

Broker Transactions	\$28.27
Split Transactions	\$1,215.90
Override Transactions	\$0.00
Adjustments	\$-173.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.92
SIPC Assessment	\$-3.85

Check Amount	\$1,065.15
Year-to-date Earnings	\$16,557.92