



# COMMISSION STATEMENT

## November 19, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/08/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 4 Units @14.1800						
10/29/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	3.00	0.00	3.00	95.0	2.85
	ACCOUNT #: 00701328528	BUY 6 Units @17.8000						
11/15/2018	***-**-36IR - LEONE-WHITTLE,	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 00068471550	BUY 1 Units @47.4600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$4.00</b>	<b>\$0.00</b>	<b>\$4.00</b>		<b>\$3.81</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/19/2018	***-**-919I - KITSON, THOMAS	COLUMBIA THREADNEEDLE TRAILS	0.00	1.59	0.00	1.59	95.0	1.51
		BUY 0 Units @0.0000						
11/19/2018	***-**-43IN - AGLE, PATRICIA	COLUMBIA THREADNEEDLE TRAILS	0.00	38.40	0.00	38.40	95.0	36.48
		BUY 0 Units @0.0000						
11/19/2018	***-**-8804 - KRIEL, SHERRI	DELAWARE MF TRAILS	0.00	3.16	0.00	3.16	95.0	3.00
	ACCOUNT #: 05144004432	BUY 0 Units @0.0000						
11/19/2018	***-**-52IN - CAROSCIO,	DELAWARE MF TRAILS	0.00	0.95	0.00	0.95	95.0	0.90
	ACCOUNT #: 05163028425	BUY 0 Units @0.0000						
11/19/2018	***-**-52IR - CAROSCIO,	DELAWARE MF TRAILS	0.00	12.43	0.00	12.43	95.0	11.81
	ACCOUNT #: 06000218490	BUY 0 Units @0.0000						
11/19/2018	***-**-4835 - MULLER, DIANE	DELAWARE MF TRAILS	0.00	11.41	0.00	11.41	95.0	10.84
	ACCOUNT #: 05014036647	BUY 0 Units @0.0000						
11/19/2018	***-**-238I - MC ELHANEY,	DELAWARE MF TRAILS	0.00	7.01	0.00	7.01	95.0	6.66
	ACCOUNT #: 5014164813	BUY 0 Units @0.0000						
11/19/2018	***-**-568I - SHAW, TIMOTHY	DELAWARE MF TRAILS	0.00	1.58	0.00	1.58	95.0	1.50
	ACCOUNT #: 5014173495	BUY 0 Units @0.0000						
11/19/2018	***-**-567I - SHAW, FAITH	DELAWARE MF TRAILS	0.00	1.58	0.00	1.58	95.0	1.50
	ACCOUNT #: 5014173507	BUY 0 Units @0.0000						
11/19/2018	***-**-104I - MONTGOMERY,	DELAWARE MF TRAILS	0.00	0.03	0.00	0.03	95.0	0.03
	ACCOUNT #: 06000504388	BUY 0 Units @0.0000						
11/19/2018	***-**-0280 - KRIEL, KRISTEN	DELAWARE MF TRAILS	0.00	1.69	0.00	1.69	95.0	1.61
	ACCOUNT #: 6000500926	BUY 0 Units @0.0000						
11/19/2018	***-**-419I - BILLOW, KIMBER	DELAWARE MF TRAILS	0.00	1.12	0.00	1.12	95.0	1.06
	ACCOUNT #: 06000504391	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
11/19/2018	***-**-6501 - AGLE, JONATHAN	DELAWARE MF TRAILS	0.00	13.24	0.00	13.24	95.0	12.58
	ACCOUNT #: 4000003326	BUY 0 Units @0.0000						
11/19/2018	***-**-39UG - KEOUGH, MARILYN	DELAWARE MF TRAILS	0.00	1.69	0.00	1.69	95.0	1.61
	ACCOUNT #: 05212097948	BUY 0 Units @0.0000						
11/19/2018	***-**-4930 - SCIFANO, VINCENT	DELAWARE MF TRAILS	0.00	0.46	0.00	0.46	95.0	0.44
	ACCOUNT #: 4000001135	BUY 0 Units @0.0000						
11/19/2018	***-**-919I - KITSON, THOMAS	DELAWARE MF TRAILS	0.00	1.12	0.00	1.12	95.0	1.06
	ACCOUNT #: 5014723354	BUY 0 Units @0.0000						
11/07/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	1.26	0.00	1.26	95.0	1.20
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
11/07/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.73	0.00	1.73	95.0	1.64
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
11/07/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.16	0.00	1.16	95.0	1.10
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
11/14/2018	***-**-43IN - AGLE, PATRICIA	REGIONAL BANK A	0.00	14.22	0.00	14.22	95.0	13.51
	ACCOUNT #: 00777135195	BUY 0 Units @0.0000						
11/19/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF TRAILS	0.00	6.06	0.00	6.06	95.0	5.76
		BUY 0 Units @0.0000						
11/19/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF TRAILS	0.00	0.17	0.00	0.17	95.0	0.16
		BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$122.06</b>	<b>\$0.00</b>	<b>\$122.06</b>		<b>\$115.96</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$200.00</b>	<b>\$126.06</b>	<b>\$0.00</b>	<b>\$126.06</b>		<b>\$119.77</b>

### VERRIDE TRANSACTIONS

#### BROKER: RONALD DRAKE

10/19/2018	***-**-108X - FOREST, NSPS	AMCAP FUND-A	0.00	0.34	0.00	0.34	20.0	0.07
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
11/08/2018	***-**-6233 - SHEPARD, ROBERT	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000977909	BUY 1 Units @35.1000						
10/19/2018	***-**-78IO - DONOVAN, FBO	AMERICAN BALANCED FUND-A	0.00	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: LSR010557	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
10/19/2018	***-**-190X - HULL, JENNIFER	AMERICAN BALANCED FUND-A	0.00	0.24	0.00	0.24	20.0	0.05
	ACCOUNT #: LSR010646	BUY 1 Units @0.0000						
10/19/2018	***-**-09IO - CAREY, FBO	AMERICAN BALANCED FUND-A	0.00	5.45	0.00	5.45	20.0	1.09
	ACCOUNT #: LSR011076	BUY 1 Units @0.0000						
10/19/2018	***-**-108X - FOREST, NSPS	AMERICAN BALANCED FUND-A	0.00	1.70	0.00	1.70	20.0	0.34
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
10/19/2018	***-**-653X - WERNER, FBO EVAN	AMERICAN BALANCED FUND-A	0.00	1.69	0.00	1.69	20.0	0.34
	ACCOUNT #: LSR010638	BUY 1 Units @0.0000						
10/19/2018	***-**-641X - BULLARO, FBO	AMERICAN BALANCED FUND-A	0.00	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: LSR010641	BUY 1 Units @0.0000						
10/19/2018	***-**-435X - KEITH, FBO	AMERICAN BALANCED FUND-A	0.00	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: LSR010643	BUY 1 Units @0.0000						
10/19/2018	***-**-721X - VAILLANCOURT,	AMERICAN BALANCED FUND-A	0.00	0.30	0.00	0.30	20.0	0.06
	ACCOUNT #: LSR010644	BUY 1 Units @0.0000						
10/19/2018	***-**-008X - HICKS, FBO	AMERICAN BALANCED FUND-A	0.00	1.41	0.00	1.41	20.0	0.28
	ACCOUNT #: LSR010645	BUY 1 Units @0.0000						
10/19/2018	***-**-653I - WERNER, EVAN	AMERICAN BALANCED FUND-A	0.00	2.55	0.00	2.55	20.0	0.51
	ACCOUNT #: LSR010661	BUY 1 Units @0.0000						
10/19/2018	***-**-05IR - DAVIS, STEPHEN	AMERICAN BALANCED FUND-A	0.00	1.89	0.00	1.89	20.0	0.38
	ACCOUNT #: LSR010693	BUY 1 Units @0.0000						
10/19/2018	***-**-722I - SCOONES, FBO	AMERICAN BALANCED FUND-A	0.00	6.55	0.00	6.55	20.0	1.31
	ACCOUNT #: LSR010722	BUY 1 Units @0.0000						
10/19/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN BALANCED FUND-A	0.00	2.24	0.00	2.24	20.0	0.45
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
10/19/2018	***-**-06IO - MARVIN, BOBBI	AMERICAN BALANCED FUND-A	0.00	1.01	0.00	1.01	20.0	0.20
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
11/05/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 5 Units @28.3000						
11/08/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000977909	BUY 2 Units @29.0600						
11/12/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: 00087644930	BUY 3 Units @28.6400						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/13/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @28.5800						
11/13/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @28.3600						
11/13/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @28.5800						
11/14/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 14 Units @27.8500						
11/14/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @27.8500						
11/15/2018	***-**-8906 - ISBELL,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00073594886	BUY 2 Units @28.0200						
11/15/2018	***-**-7598 - HUMPF, MINDI	AMERICAN BALANCED FUND-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00082278912	BUY 4 Units @28.0200						
11/15/2018	***-**-3143 - HUMPF, JOHN	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 2 Units @28.0200						
10/19/2018	***-**-09IO - CAREY, FBO	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	5.21	0.00	5.21	20.0	1.04
	ACCOUNT #: LSR011076	BUY 1 Units @0.0000						
10/19/2018	***-**-09IR - CAREY, FBO	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	0.13	0.00	0.13	20.0	0.03
	ACCOUNT #: LSR011102	BUY 1 Units @0.0000						
10/19/2018	***-**-595X - WERNER, FBO	AMERICAN HIGH-INCOME TRUST-A	0.00	0.67	0.00	0.67	20.0	0.13
	ACCOUNT #: LSR010640	BUY 1 Units @0.0000						
10/19/2018	***-**-595I - WERNER, GWENN	AMERICAN HIGH-INCOME TRUST-A	0.00	2.62	0.00	2.62	20.0	0.52
	ACCOUNT #: LSR010658	BUY 1 Units @0.0000						
10/19/2018	***-**-722I - SCOONES, FBO	AMERICAN HIGH-INCOME TRUST-A	0.00	4.79	0.00	4.79	20.0	0.96
	ACCOUNT #: LSR010722	BUY 1 Units @0.0000						
10/19/2018	***-**-336I - GETCHELL, FBO	AMERICAN HIGH-INCOME TRUST-A	0.00	0.29	0.00	0.29	20.0	0.06
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
10/19/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN HIGH-INCOME TRUST-A	0.00	2.08	0.00	2.08	20.0	0.42
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
10/19/2018	***-**-06IO - MARVIN, BOBBI	AMERICAN HIGH-INCOME TRUST-A	0.00	0.61	0.00	0.61	20.0	0.12
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @10.4700	80.00	2.40	0.00	2.40	20.0	0.48
11/08/2018	***-**-6233 - SHEPARD, ROBERT ACCOUNT #: 04000977909	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5000	50.00	1.50	0.00	1.50	20.0	0.30
11/13/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.4100	50.00	1.50	0.00	1.50	20.0	0.30
11/13/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.3800	38.25	1.05	0.00	1.05	20.0	0.21
11/13/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.3800	25.00	0.69	0.00	0.69	20.0	0.14
11/13/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.4100	52.50	1.58	0.00	1.58	20.0	0.32
11/14/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 10 Units @10.3500	100.00	2.75	0.00	2.75	20.0	0.55
11/14/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.3500	165.00	4.54	0.00	4.54	20.0	0.91
11/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.3100	50.00	1.38	0.00	1.38	20.0	0.28
11/15/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.3100	50.00	1.38	0.00	1.38	20.0	0.28
10/19/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.57	0.00	2.57	20.0	0.51
10/19/2018	***-**-7767 - DONOVAN, WILLIAM ACCOUNT #: LSR012405	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	20.0	0.40
11/08/2018	***-**-6233 - SHEPARD, ROBERT ACCOUNT #: 04000977909	CAPITAL INCOME BUILDER-A BUY 1 Units @62.9300	50.00	2.50	0.00	2.50	20.0	0.50
11/14/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 7 Units @60.5200	400.00	11.00	0.00	11.00	20.0	2.20
10/19/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	20.0	0.11
10/19/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	20.0	0.23



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
10/19/2018	***-**-653X - WERNER, FBO EVAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.22	0.00	1.22	20.0	0.24
	ACCOUNT #: LSR010638	BUY 1 Units @0.0000						
10/19/2018	***-**-653I - WERNER, EVAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.82	0.00	1.82	20.0	0.36
	ACCOUNT #: LSR010661	BUY 1 Units @0.0000						
10/19/2018	***-**-722I - SCOONES, FBO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.59	0.00	3.59	20.0	0.72
	ACCOUNT #: LSR010722	BUY 1 Units @0.0000						
10/19/2018	***-**-06IO - MARVIN, BOBBI	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.48	0.00	0.48	20.0	0.10
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
11/13/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @49.0300						
11/13/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @49.0300						
11/13/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @49.8000						
11/14/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	4.54	0.00	4.54	20.0	0.91
	ACCOUNT #: 00081620466	BUY 3 Units @48.8400						
11/14/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 2 Units @48.8400						
11/15/2018	***-**-3143 - HUMPF, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 1 Units @49.2200						
10/19/2018	***-**-7767 - DONOVAN, WILLIAM	EUROPACIFIC GROWTH FUND-A	0.00	1.28	0.00	1.28	20.0	0.26
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
11/13/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @50.1800						
11/15/2018	***-**-7598 - HUMPF, MINDI	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 1 Units @50.4600						
10/19/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN INCOME FUND CLASS A	0.00	1.04	0.00	1.04	20.0	0.21
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
10/19/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.82	0.00	1.82	20.0	0.36
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
10/19/2018	***-**-027I - DAVIS, KATHRYN	FRK STRAT INC FND - CL A	0.00	1.43	0.00	1.43	20.0	0.29
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## November 19, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
10/19/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.72	0.00	1.72	20.0	0.34
10/19/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	3.36	0.00	3.36	20.0	0.67
10/19/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	20.0	0.18
11/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	FUNDAMENTAL INVESTORS-A BUY 2 Units @64.4700	135.00	5.06	0.00	5.06	20.0	1.01
10/19/2018	***-**-027I - DAVIS, KATHRYN ACCOUNT #: LSR010492	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	20.0	0.18
11/13/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	SMALLCAP WORLD FUND-A BUY 0 Units @56.6100	22.50	0.96	0.00	0.96	20.0	0.19
10/19/2018	***-**-027I - DAVIS, KATHRYN ACCOUNT #: LSR010492	TEMP GLOBAL BOND FND - CL A BUY 1 Units @0.0000	0.00	1.33	0.00	1.33	20.0	0.27
10/19/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	20.0	0.14
10/19/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	20.0	0.43
10/19/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.58	0.00	6.58	20.0	1.32
10/19/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	20.0	0.36
10/19/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.54	0.00	3.54	20.0	0.71
10/19/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.90	0.00	5.90	20.0	1.18
10/19/2018	***-**-149X - PTAK, MATTHEW ACCOUNT #: LSR011220	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.14	0.00	0.14	20.0	0.03
10/19/2018	***-**-7767 - DONOVAN, WILLIAM ACCOUNT #: LSR012405	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.19	0.00	2.19	20.0	0.44
10/19/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	20.0	0.20



# COMMISSION STATEMENT

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ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.4100	55.00	2.06	0.00	2.06	20.0	0.41
11/13/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0400	54.00	1.49	0.00	1.49	20.0	0.30
11/13/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.0400	25.00	0.69	0.00	0.69	20.0	0.14
11/14/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @52.7400	175.00	4.81	0.00	4.81	20.0	0.96
11/14/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 3 Units @52.7400	170.00	4.68	0.00	4.68	20.0	0.94
11/15/2018	***-**-9089 - REEDY, LISA ACCOUNT #: 00083100942	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.3900	50.00	1.38	0.00	1.38	20.0	0.28
11/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.3900	133.00	3.66	0.00	3.66	20.0	0.73
11/15/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.3900	70.00	1.93	0.00	1.93	20.0	0.39
10/19/2018	***-**-0910 - CAREY, FBO ACCOUNT #: LSR011076	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.27	0.00	5.27	20.0	1.05
10/19/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.83	0.00	1.83	20.0	0.37
10/19/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.68	0.00	1.68	20.0	0.34
10/19/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.79	0.00	2.79	20.0	0.56
11/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE INCOME FUND OF AMERICA-A BUY 6 Units @23.6000	135.00	5.06	0.00	5.06	20.0	1.01
11/12/2018	***-**-2146 - JONES, KELLIE ACCOUNT #: 00087644930	THE INCOME FUND OF AMERICA-A BUY 4 Units @23.8900	100.00	5.00	0.00	5.00	20.0	1.00
11/13/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.3400	38.25	1.05	0.00	1.05	20.0	0.21
11/13/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.3400	25.00	0.69	0.00	0.69	20.0	0.14





# COMMISSION STATEMENT

November 19, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/13/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 3 Units @23.8900						
10/19/2018	***-**-336I - GETCHELL, FBO	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.35	0.00	0.35	20.0	0.07
	ACCOUNT #: LSR0111101	BUY 1 Units @0.0000						
		<b>* RONALD DRAKE SUBTOTAL *</b>	<b>\$4,088.00</b>	<b>\$238.33</b>	<b>\$0.00</b>	<b>\$238.33</b>		<b>\$47.72</b>
<b><u>BROKER: RONALD CIOTOLI</u></b>								
11/13/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 4 Units @28.2100						
11/19/2018	***-**-295P - MILLER, PAUL	NEW YORK 529 TRAILS	0.00	5.99	0.00	5.99	35.0	2.10
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
11/19/2018	***-**-354I - MASTRONARDI,	NEW YORK 529 TRAILS	0.00	3.17	0.00	3.17	35.0	1.11
	ACCOUNT #: 77407712301	BUY 0 Units @0.0000						
11/09/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @23.2800						
11/09/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	35.0	0.40
	ACCOUNT #: 00067346436	BUY 2 Units @23.2800						
		<b>* RONALD CIOTOLI SUBTOTAL *</b>	<b>\$347.00</b>	<b>\$17.85</b>	<b>\$0.00</b>	<b>\$17.85</b>		<b>\$6.25</b>
		<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$4,435.00</b>	<b>\$256.18</b>	<b>\$0.00</b>	<b>\$256.18</b>		<b>\$53.97</b>



BROKER #: AK417  
BRANCH #01016 - 16 - CASPER

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$119.77
						Split Transactions	\$0.00
						Override Transactions	\$53.97
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$173.74
						Year-to-date Earnings	\$24,578.17