

# COMMISSION STATEMENT

April 30, 2018

ALAN SMITH-D206

BROKER #: D206

BRANCH: DMR

, TX

## COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/20/2018	mfc892289	SMITH ALAN 892289	MFT AIM CHARTER FUND - CLASS A	B	0.00	3.19	0.00	3.19	80.0	2.55
04/20/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	24.74	0.00	24.74	80.0	19.79
04/20/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	33.47	0.00	33.47	80.0	26.78
04/20/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	133.91	0.00	133.91	80.0	107.13
04/20/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	18.69	0.00	18.69	80.0	14.95
04/20/2018	mfc892289	SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	22.60	0.00	22.60	80.0	18.08
04/20/2018	mfc892289	SMITH ALAN 892289	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	233.23	0.00	233.23	80.0	186.58
04/20/2018	mfc892289	SMITH ALAN 892289	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	41.35	0.00	41.35	80.0	33.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$511.18	\$0.00	\$511.18		\$408.94
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$511.18	\$0.00	\$511.18		\$408.94

### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	(None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.58	0.00	5.58	80.0	4.46
04/03/2018	(None)	GLENNROBES	MFT BLACKROCK	B	0.00	6.55	0.00	6.55	80.0	5.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$12.13	\$0.00	\$12.13		\$9.70

#### PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

04/19/2018	(None)	EXPLOREUSA	VAT TRANSAMERICA LIFE	B	0.00	1,582.06	0.00	1,582.06	80.0	1,265.65
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,582.06	\$0.00	\$1,582.06		\$1,265.65
*** DIRECT TRANSACTIONS TOTAL ***					\$0.00	\$1,594.19	\$0.00	\$1,594.19		\$1,275.35

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
04/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018		: BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00		-30.00
04/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
04/30/2018		: E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00		-25.00
04/30/2018		: E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-135.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,684.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,549.29
Year-to-date Commissions	\$27,691.87