

COMMISSION STATEMENT

August 31, 2018

DOUG GRAUMANN-D365
 101 S. Wenatchee Avenue
 Suite C
 Wenatchee, WA 98801

BROKER #: D365
 BRANCH: D12

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/28/2018	246449843	EISENRICH, DOUGLAS	MF	MID CAP STOCK C	S	-9,081.82	0.00	5.00	-5.00	85.0	-4.25
08/28/2018	246449843	EISENRICH, DOUGLAS	MF	OPPENHEIMER MAIN STREET SMALL	S	-11,695.98	0.00	5.00	-5.00	85.0	-4.25
08/29/2018	246449843	EISENRICH, DOUGLAS	MF	PIMCO HIGH YIELD SPECTRUM FD CL	S	15,841.23	0.00	5.00	-5.00	85.0	-4.25
08/20/2018	337580057	HARTMAN, LINDA	MF	BLACKROCK FLOAT RATE INCOME FD	S	25,012.45	250.00	2.50	247.50	85.0	210.38
08/29/2018	368461916	EISENRICH, ELLEN	MF	FIDELITY ADVISOR FLOAT RATE HI	S	10,017.45	100.00	2.50	97.50	85.0	82.88
08/29/2018	646226748	EISENRICH, DOUGLAS	MF	OPPENHEIMER SENIOR FLOATING	S	4,846.03	0.00	5.00	-5.00	85.0	-4.25
08/29/2018	646226748	EISENRICH, DOUGLAS	MF	OPPENHEIMER SENIOR FLOATING	S	10,226.13	0.00	5.00	-5.00	85.0	-4.25
08/02/2018	676290392	SIMMONS, MARGGIE	MF	CREDIT SUISSE HIGH INCOME FD CL	S	60,002.45	600.00	5.00	595.00	85.0	505.75
08/02/2018	676290392	SIMMONS, MARGGIE	MF	FIDELITY ADVISOR FLOAT RATE HI	S	60,002.45	600.00	5.00	595.00	85.0	505.75
				* MUTUAL FUNDS SUBTOTAL *		\$165,170.39	\$1,550.00	\$40.00	\$1,510.00		\$1,283.51

PRODUCT CATEGORY: MISCELLANEOUS

08/21/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 337580057	S	0.00	10.00	0.00	10.00	85.0	8.50
08/29/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 246449843	S	0.00	15.00	0.00	15.00	85.0	12.75
08/29/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 246449843	S	0.00	15.00	0.00	15.00	85.0	12.75
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$40.00	\$0.00	\$40.00		\$34.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/07/2018	mfc268647	VARIOUS, GRAUMANN	MFT	FIDELITY ADVISOR MULTI ASSET	B	0.00	32.93	0.00	32.93	85.0	27.99
08/07/2018	mfc268647	VARIOUS, GRAUMANN	MFT	FIDELITY ADVISOR MULTI ASSET	B	0.00	44.82	0.00	44.82	85.0	38.10
08/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT	JANUS HENDERSON EMERGING MKTS	B	0.00	4.63	0.00	4.63	85.0	3.94
08/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT	JANUS HENDERSON EMERGING MKTS	B	0.00	8.37	0.00	8.37	85.0	7.11
08/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT	JANUS HENDERSON GLOBAL BOND FD	B	0.00	4.16	0.00	4.16	85.0	3.54
08/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT	JANUS VENTURE FD C LIQUIDATIONS	B	0.00	7.37	0.00	7.37	85.0	6.26
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	3.34	0.00	3.34	85.0	2.84
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK FLOAT RATE INCOME FD	B	0.00	5.69	0.00	5.69	85.0	4.84
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK HIGH YIELD BOND FD CL	B	0.00	8.79	0.00	8.79	85.0	7.47
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK HIGH YIELD BOND FD CL	B	0.00	21.56	0.00	21.56	85.0	18.33
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK HIGH YIELD BOND FD CL	B	0.00	21.99	0.00	21.99	85.0	18.69
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK HIGH YIELD BOND FD CL	B	0.00	25.81	0.00	25.81	85.0	21.94
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK HIGH YIELD BOND FD CL	B	0.00	59.26	0.00	59.26	85.0	50.37
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT	BLACKROCK STRATEGIC INC OPP FD	B	0.00	6.45	0.00	6.45	85.0	5.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD B	0.00	8.13	0.00	8.13	85.0	6.91
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	1.00	0.00	1.00	85.0	0.85
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	3.63	0.00	3.63	85.0	3.09
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	4.23	0.00	4.23	85.0	3.60
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	4.43	0.00	4.43	85.0	3.77
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	4.52	0.00	4.52	85.0	3.84
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	4.57	0.00	4.57	85.0	3.88
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	4.72	0.00	4.72	85.0	4.01
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	5.66	0.00	5.66	85.0	4.81
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	6.24	0.00	6.24	85.0	5.30
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	6.40	0.00	6.40	85.0	5.44
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	7.27	0.00	7.27	85.0	6.18
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	14.62	0.00	14.62	85.0	12.43
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EQUITY DIVIDEND FD C B	0.00	4.65	0.00	4.65	85.0	3.95
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	3.51	0.00	3.51	85.0	2.98
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	4.07	0.00	4.07	85.0	3.46
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	4.33	0.00	4.33	85.0	3.68
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	5.78	0.00	5.78	85.0	4.91
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	5.80	0.00	5.80	85.0	4.93
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	8.34	0.00	8.34	85.0	7.09
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	9.74	0.00	9.74	85.0	8.28
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	14.11	0.00	14.11	85.0	11.99
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	0.94	0.00	0.94	85.0	0.80
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	2.11	0.00	2.11	85.0	1.79
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	3.47	0.00	3.47	85.0	2.95
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	10.82	0.00	10.82	85.0	9.20
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	12.15	0.00	12.15	85.0	10.33
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	15.46	0.00	15.46	85.0	13.14
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	20.34	0.00	20.34	85.0	17.29
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP CORE FD C B	0.00	33.86	0.00	33.86	85.0	28.78
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP VALUE FD C B	0.00	15.09	0.00	15.09	85.0	12.83
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADV US TOTAL MARKET B	0.00	6.05	0.00	6.05	85.0	5.14
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ENERGY & RESOURCES FD B	0.00	2.58	0.00	2.58	85.0	2.19
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME BUILDER-A B	0.00	19.36	0.00	19.36	85.0	16.46
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	9.12	0.00	9.12	85.0	7.75
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	12.63	0.00	12.63	85.0	10.74
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	16.82	0.00	16.82	85.0	14.30
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	16.94	0.00	16.94	85.0	14.40
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	20.81	0.00	20.81	85.0	17.69
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	21.19	0.00	21.19	85.0	18.01
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	24.70	0.00	24.70	85.0	21.00
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	25.42	0.00	25.42	85.0	21.61
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	42.30	0.00	42.30	85.0	35.96
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	43.08	0.00	43.08	85.0	36.62

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				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA GLOBAL TECHNOLOGY	B	0.00	9.63	0.00	9.63	85.0	8.19
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.19	0.00	1.19	85.0	1.01
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	5.47	0.00	5.47	85.0	4.65
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT DEUTSCHE CROCI US FD A	B	0.00	1.53	0.00	1.53	85.0	1.30
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV	B	0.00	6.71	0.00	6.71	85.0	5.70
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV	B	0.00	33.34	0.00	33.34	85.0	28.34
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED HIGH YIELD TRUST A	B	0.00	15.86	0.00	15.86	85.0	13.48
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED FLTG RATE STR INCOME	B	0.00	47.90	0.00	47.90	85.0	40.72
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	5.00	0.00	5.00	85.0	4.25
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	5.02	0.00	5.02	85.0	4.27
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	5.02	0.00	5.02	85.0	4.27
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	6.09	0.00	6.09	85.0	5.18
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	10.04	0.00	10.04	85.0	8.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	10.04	0.00	10.04	85.0	8.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	10.04	0.00	10.04	85.0	8.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	10.04	0.00	10.04	85.0	8.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	10.04	0.00	10.04	85.0	8.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	15.06	0.00	15.06	85.0	12.80
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	15.46	0.00	15.46	85.0	13.14
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	25.10	0.00	25.10	85.0	21.34
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC	B	0.00	14.81	0.00	14.81	85.0	12.59
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC	B	0.00	33.91	0.00	33.91	85.0	28.82
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR EQUITY VALUE	B	0.00	16.98	0.00	16.98	85.0	14.43
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	1.30	0.00	1.30	85.0	1.11
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	4.92	0.00	4.92	85.0	4.18
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	6.62	0.00	6.62	85.0	5.63
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	6.63	0.00	6.63	85.0	5.64
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	7.95	0.00	7.95	85.0	6.76
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.16	0.00	9.16	85.0	7.79
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.30	0.00	9.30	85.0	7.91
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.38	0.00	9.38	85.0	7.97
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.80	0.00	9.80	85.0	8.33
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.85	0.00	9.85	85.0	8.37
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	10.20	0.00	10.20	85.0	8.67
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	10.20	0.00	10.20	85.0	8.67
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	10.49	0.00	10.49	85.0	8.92
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	10.55	0.00	10.55	85.0	8.97
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	20.18	0.00	20.18	85.0	17.15
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	25.21	0.00	25.21	85.0	21.43
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	8.15	0.00	8.15	85.0	6.93
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	8.27	0.00	8.27	85.0	7.03
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	8.79	0.00	8.79	85.0	7.47
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	3.80	0.00	3.80	85.0	3.23
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	4.73	0.00	4.73	85.0	4.02

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08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	5.19	0.00	5.19	85.0	4.41
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.44	0.00	6.44	85.0	5.47
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.19	0.00	8.19	85.0	6.96
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.43	0.00	8.43	85.0	7.17
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.63	0.00	8.63	85.0	7.34
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.41	0.00	12.41	85.0	10.55
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.62	0.00	12.62	85.0	10.73
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	13.20	0.00	13.20	85.0	11.22
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	13.97	0.00	13.97	85.0	11.87
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	16.43	0.00	16.43	85.0	13.97
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	16.72	0.00	16.72	85.0	14.21
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	22.00	0.00	22.00	85.0	18.70
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	24.65	0.00	24.65	85.0	20.95
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	26.29	0.00	26.29	85.0	22.35
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	30.92	0.00	30.92	85.0	26.28
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	31.67	0.00	31.67	85.0	26.92
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	34.90	0.00	34.90	85.0	29.67
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	43.16	0.00	43.16	85.0	36.69
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	52.52	0.00	52.52	85.0	44.64
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	52.64	0.00	52.64	85.0	44.74
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	73.97	0.00	73.97	85.0	62.87
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	75.13	0.00	75.13	85.0	63.86
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	77.20	0.00	77.20	85.0	65.62
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C B	0.00	5.60	0.00	5.60	85.0	4.76
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C B	0.00	7.39	0.00	7.39	85.0	6.28
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C B	0.00	15.14	0.00	15.14	85.0	12.87
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	12.27	0.00	12.27	85.0	10.43
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INCOME FD B	0.00	20.19	0.00	20.19	85.0	17.16
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR B	0.00	10.30	0.00	10.30	85.0	8.76
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR B	0.00	14.08	0.00	14.08	85.0	11.97
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK B	0.00	9.06	0.00	9.06	85.0	7.70
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK B	0.00	15.21	0.00	15.21	85.0	12.93
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV EMERGING MKTS-CL C B	0.00	10.70	0.00	10.70	85.0	9.10
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR REAL ESTATE B	0.00	4.33	0.00	4.33	85.0	3.68
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	0.73	0.00	0.73	85.0	0.62
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	3.60	0.00	3.60	85.0	3.06
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	13.20	0.00	13.20	85.0	11.22
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC INCOME B	0.00	6.53	0.00	6.53	85.0	5.55
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	7.91	0.00	7.91	85.0	6.72
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	8.12	0.00	8.12	85.0	6.90
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	11.04	0.00	11.04	85.0	9.38
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	12.05	0.00	12.05	85.0	10.24
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	13.30	0.00	13.30	85.0	11.31
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	14.36	0.00	14.36	85.0	12.21

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	15.38	0.00	15.38	85.0	13.07
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	25.74	0.00	25.74	85.0	21.88
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.24	0.00	2.24	85.0	1.90
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.58	0.00	3.58	85.0	3.04
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.17	0.00	4.17	85.0	3.54
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	4.34	0.00	4.34	85.0	3.69
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	21.45	0.00	21.45	85.0	18.23
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN SMALL CAP VALUE C	B	0.00	12.42	0.00	12.42	85.0	10.56
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	7.68	0.00	7.68	85.0	6.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	2.48	0.00	2.48	85.0	2.11
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	2.72	0.00	2.72	85.0	2.31
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	2.09	0.00	2.09	85.0	1.78
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.76	0.00	3.76	85.0	3.20
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.77	0.00	3.77	85.0	3.20
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.92	0.00	3.92	85.0	3.33
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	4.44	0.00	4.44	85.0	3.77
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	5.32	0.00	5.32	85.0	4.52
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	5.83	0.00	5.83	85.0	4.96
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	10.04	0.00	10.04	85.0	8.53
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	14.46	0.00	14.46	85.0	12.29
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	17.17	0.00	17.17	85.0	14.59
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	20.08	0.00	20.08	85.0	17.07
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	23.69	0.00	23.69	85.0	20.14
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	28.65	0.00	28.65	85.0	24.35
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	9.55	0.00	9.55	85.0	8.12
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	14.34	0.00	14.34	85.0	12.19
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON WA AGGRESSIVE GROW	B	0.00	27.95	0.00	27.95	85.0	23.76
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB FUND VALUE FD C	B	0.00	9.38	0.00	9.38	85.0	7.97
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB LARGE CAP GROWTH	B	0.00	15.07	0.00	15.07	85.0	12.81
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND C	B	0.00	2.80	0.00	2.80	85.0	2.38
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND A	B	0.00	0.99	0.00	0.99	85.0	0.84
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	3.24	0.00	3.24	85.0	2.75
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.35	0.00	4.35	85.0	3.70
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS NEW DISCOVERY VALUE FUND -	B	0.00	2.37	0.00	2.37	85.0	2.01
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS RESEARCH FUND-A	B	0.00	2.62	0.00	2.62	85.0	2.23
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	2.25	0.00	2.25	85.0	1.91
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	4.61	0.00	4.61	85.0	3.92
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS VALUE FUND-A	B	0.00	2.35	0.00	2.35	85.0	2.00
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MID CAP STOCK C	B	0.00	7.49	0.00	7.49	85.0	6.37
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL C	B	0.00	3.50	0.00	3.50	85.0	2.98
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL QUAL FND - CL C	B	0.00	14.66	0.00	14.66	85.0	12.46
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.03	0.00	1.03	85.0	0.88
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	2.91	0.00	2.91	85.0	2.47
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	10.95	0.00	10.95	85.0	9.31

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	12.96	0.00	12.96	85.0	11.02
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C	B	0.00	0.97	0.00	0.97	85.0	0.82
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C	B	0.00	18.09	0.00	18.09	85.0	15.38
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	4.88	0.00	4.88	85.0	4.15
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	14.88	0.00	14.88	85.0	12.65
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	36.54	0.00	36.54	85.0	31.06
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	2.47	0.00	2.47	85.0	2.10
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	4.64	0.00	4.64	85.0	3.94
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	8.75	0.00	8.75	85.0	7.44
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	9.25	0.00	9.25	85.0	7.86
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	16.99	0.00	16.99	85.0	14.44
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.16	0.00	2.16	85.0	1.84
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL	B	0.00	6.11	0.00	6.11	85.0	5.19
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	11.88	0.00	11.88	85.0	10.10
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	18.46	0.00	18.46	85.0	15.69
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	11.28	0.00	11.28	85.0	9.59
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	11.94	0.00	11.94	85.0	10.15
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	3.51	0.00	3.51	85.0	2.98
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	7.89	0.00	7.89	85.0	6.71
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.04	0.00	8.04	85.0	6.83
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.96	0.00	8.96	85.0	7.62
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	9.29	0.00	9.29	85.0	7.90
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	9.78	0.00	9.78	85.0	8.31
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	11.27	0.00	11.27	85.0	9.58
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	12.26	0.00	12.26	85.0	10.42
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	13.69	0.00	13.69	85.0	11.64
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	22.44	0.00	22.44	85.0	19.07
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	31.30	0.00	31.30	85.0	26.61
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	2.44	0.00	2.44	85.0	2.07
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	7.93	0.00	7.93	85.0	6.74
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	21.33	0.00	21.33	85.0	18.13
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	16.23	0.00	16.23	85.0	13.80
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	28.40	0.00	28.40	85.0	24.14
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	3.91	0.00	3.91	85.0	3.32
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	15.53	0.00	15.53	85.0	13.20
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	18.77	0.00	18.77	85.0	15.95
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	19.07	0.00	19.07	85.0	16.21
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	30.59	0.00	30.59	85.0	26.00
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	38.95	0.00	38.95	85.0	33.11
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO MORTGAGE-BACKED	B	0.00	6.30	0.00	6.30	85.0	5.36
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.21	0.00	0.21	85.0	0.18
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.97	0.00	0.97	85.0	0.82
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.37	0.00	1.37	85.0	1.16
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.46	0.00	1.46	85.0	1.24

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.47	0.00	2.47	85.0	2.10
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.34	0.00	3.34	85.0	2.84
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.80	0.00	3.80	85.0	3.23
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.87	0.00	3.87	85.0	3.29
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.50	0.00	5.50	85.0	4.68
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.59	0.00	5.59	85.0	4.75
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.85	0.00	5.85	85.0	4.97
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.61	0.00	6.61	85.0	5.62
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.81	0.00	6.81	85.0	5.79
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	7.29	0.00	7.29	85.0	6.20
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	8.30	0.00	8.30	85.0	7.06
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	9.42	0.00	9.42	85.0	8.01
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.28	0.00	11.28	85.0	9.59
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.88	0.00	11.88	85.0	10.10
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	12.64	0.00	12.64	85.0	10.74
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	14.38	0.00	14.38	85.0	12.22
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.60	0.00	0.60	85.0	0.51
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.13	0.00	1.13	85.0	0.96
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.05	0.00	2.05	85.0	1.74
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.52	0.00	2.52	85.0	2.14
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.37	0.00	3.37	85.0	2.86
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.86	0.00	3.86	85.0	3.28
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	2.01	0.00	2.01	85.0	1.71
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON EMERGING MKTS SM CAP	B	0.00	5.53	0.00	5.53	85.0	4.70
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.79	0.00	1.79	85.0	1.52
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.98	0.00	1.98	85.0	1.68
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	5.76	0.00	5.76	85.0	4.90
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	5.97	0.00	5.97	85.0	5.07
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	14.25	0.00	14.25	85.0	12.11
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE HARTFORD CAPITAL	B	0.00	0.15	0.00	0.15	85.0	0.13
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	21.12	0.00	21.12	85.0	17.95
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE NEW ECONOMY FUND-A	B	0.00	0.30	0.00	0.30	85.0	0.26
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.71	0.00	7.71	85.0	6.55
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.95	0.00	7.95	85.0	6.76
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	11.63	0.00	11.63	85.0	9.89
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG INCOME BUILDER CL C	B	0.00	9.97	0.00	9.97	85.0	8.47
08/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BD FD C B	B	0.00	19.66	0.00	19.66	85.0	16.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,338.62	\$0.00	\$3,338.62	\$2,837.87	
*** CLEARING TRANSACTIONS TOTAL ***					\$165,170.39	\$4,928.62	\$40.00	\$4,888.62	\$4,155.38	

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

08/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
08/31/2018	:	CUSTOMER WRITE OFF 676290392		0.00	0.00	0.00	0.00		-4.90
08/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
08/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-189.90</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-189.90</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,155.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-189.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,965.48
Year-to-date Commissions	\$35,424.93