

COMMISSION STATEMENT

October 31, 2018

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: I60 I61 I62

BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/03/2018	QGN005274	OLSTEIN ALL CAP	SEALED AIR CORP NEW	B	116,756.70	90.00	6.00	84.00	50.0 42.00
10/04/2018	QGN005274	OLSTEIN ALL CAP	NEWELL BRANDS INC SHS	B	100,148.50	150.00	6.00	144.00	50.0 72.00
10/08/2018	QGN005274	OLSTEIN ALL CAP	HORMEL FOODS CORP	S	-202,500.00	150.00	6.00	144.00	50.0 72.00
10/09/2018	QGN005274	OLSTEIN ALL CAP	PACKAGING CORP AMER	B	479,969.00	150.00	6.00	144.00	50.0 72.00
10/10/2018	QGN005274	OLSTEIN ALL CAP	TEXAS INSTRUMENTS INCORPORATED	B	399,216.00	120.00	6.00	114.00	50.0 57.00
10/11/2018	QGN005274	OLSTEIN ALL CAP	EASTMAN CHEMICAL CO	B	166,715.80	60.00	6.00	54.00	50.0 27.00
10/11/2018	QGN005274	OLSTEIN ALL CAP	INVESCO LTD ORD SHS ISIN#BMG491BT11	B	204,699.00	300.00	6.00	294.00	50.0 147.00
10/12/2018	QGN005274	OLSTEIN ALL CAP	BORG WARNER AUTOMOTIVE INC	B	112,500.00	90.00	6.00	84.00	50.0 42.00
10/16/2018	QGN005274	OLSTEIN ALL CAP	CITIZENS FINANCIAL GROUP INC COM	B	106,417.80	150.00	6.00	144.00	50.0 72.00
10/16/2018	QGN005274	OLSTEIN ALL CAP	CITIZENS FINANCIAL GROUP INC COM	B	-106,417.80	-150.00	-6.00	-144.00	50.0 -72.00 C
10/16/2018	QGN005274	OLSTEIN ALL CAP	CITIZENS FINANCIAL GROUP INC COM	B	106,417.80	90.00	6.00	84.00	50.0 42.00
10/18/2018	QGN005274	OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	B	93,000.00	60.00	6.00	54.00	50.0 27.00
10/18/2018	QGN005274	OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	B	-93,000.00	-60.00	-6.00	-54.00	50.0 -27.00 C
10/18/2018	QGN005274	OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	B	93,000.00	90.00	6.00	84.00	50.0 42.00
10/18/2018	QGN005274	OLSTEIN ALL CAP	UNIVERSAL HEALTH SVCS INC CL B	S	-252,658.00	60.00	6.00	54.00	50.0 27.00
10/22/2018	QGN005274	OLSTEIN ALL CAP	PACKAGING CORP AMER	B	518,250.00	180.00	6.00	174.00	50.0 87.00
10/23/2018	QGN005274	OLSTEIN ALL CAP	DOWDUPONT INC COMMON STOCK	B	109,000.00	60.00	6.00	54.00	50.0 27.00
10/23/2018	QGN005274	OLSTEIN ALL CAP	SEALED AIR CORP NEW	B	90,750.00	90.00	6.00	84.00	50.0 42.00
10/26/2018	QGN005274	OLSTEIN ALL CAP	DOWDUPONT INC COMMON STOCK	B	103,836.60	60.00	6.00	54.00	50.0 27.00
10/29/2018	QGN005274	OLSTEIN ALL CAP	EBAY INC	B	79,500.00	90.00	6.00	84.00	50.0 42.00
10/02/2018	QGN005282	OPPORTUNITIES,	FEDERAL SIGNAL CORP	S	-249,528.90	285.00	6.00	279.00	50.0 139.50
09/28/2018	QGN006686	F/A/O SATELLITE	ALDEYRA THERAPEUTICS INC COM	B	27,500.00	0.00	6.00	-6.00	50.0 -3.00
09/27/2018	QGV005689	MERRILL LYNCH PROF	ALNYLAM PHARMACEUTICALS INC	S	-4,429,915.00	2,000.00	6.00	1,994.00	50.0 997.00
09/27/2018	QGV005689	MERRILL LYNCH PROF	GERON CORP	B	111,575.00	2,000.00	6.00	1,994.00	50.0 997.00
10/11/2018	QGV005689	MERRILL LYNCH PROF	ALNYLAM PHARMACEUTICALS INC	S	-2,411,466.00	1,200.00	6.00	1,194.00	50.0 597.00
09/27/2018	QGV006562	PATRIOT STRATEGY	NEWELL BRANDS INC SHS	S	-153,323.25	375.00	6.00	369.00	50.0 184.50
09/27/2018	QGV006562	PATRIOT STRATEGY	WALT DISNEY CO	S	-232,040.00	100.00	6.00	94.00	50.0 47.00
09/27/2018	QGV006562	PATRIOT STRATEGY	WYNN RESORTS LTD	B	322,354.00	125.00	6.00	119.00	50.0 59.50
10/02/2018	QGV006562	PATRIOT STRATEGY	GENERAL ELECTRIC CO	S	-609,240.00	1,500.00	6.00	1,494.00	50.0 747.00
10/03/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND	B	286,462.50	250.00	6.00	244.00	50.0 122.00
10/03/2018	QGV006562	PATRIOT STRATEGY	PAIN THERAPEUTICS INC COM NEW	S	-14,503.00	500.00	6.00	494.00	50.0 247.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/04/2018	QGV006562	PATRIOT STRATEGY	HANCOCK JAFFE LABORATORIES INC COM B	15,389.00	150.00	6.00	144.00	50.0	72.00
10/05/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND B	226,720.00	100.00	6.00	94.00	50.0	47.00
10/08/2018	QGV006562	PATRIOT STRATEGY	INSEEGO CORP COMMON STOCK B	8,750.00	125.00	6.00	119.00	50.0	59.50
10/08/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM S	-108,359.40	150.00	6.00	144.00	50.0	72.00
10/09/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM B	20,650.00	25.00	6.00	19.00	50.0	9.50
10/09/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM S	-48,031.00	50.00	6.00	44.00	50.0	22.00
10/10/2018	QGV006562	PATRIOT STRATEGY	INTERNATIONAL BUSINESS MACHINES COR B	145,218.30	50.00	6.00	44.00	50.0	22.00
10/10/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM B	18,000.00	25.00	6.00	19.00	50.0	9.50
10/10/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM S	-40,336.00	50.00	6.00	44.00	50.0	22.00
10/11/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND S	-114,940.00	50.00	6.00	44.00	50.0	22.00
10/12/2018	QGV006562	PATRIOT STRATEGY	INTERNATIONAL BUSINESS MACHINES COR S	-350,159.00	125.00	6.00	119.00	50.0	59.50
10/12/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND B	114,420.00	50.00	6.00	44.00	50.0	22.00
10/16/2018	QGV006562	PATRIOT STRATEGY	MORGAN STANLEY DEAN WITTER & CO S	-910,576.00	1,000.00	6.00	994.00	50.0	497.00
10/17/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM S	-74,578.20	100.00	6.00	94.00	50.0	47.00
10/17/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM B	68,597.20	100.00	6.00	94.00	50.0	47.00
10/17/2018	QGV006562	PATRIOT STRATEGY	TILRAY INC COM S	-219,015.00	0.00	6.00	-6.00	50.0	-3.00
10/18/2018	QGV006562	PATRIOT STRATEGY	POLARITYTE INC COM B	30,239.75	125.00	6.00	119.00	50.0	59.50
10/23/2018	QGV006562	PATRIOT STRATEGY	AURORA CANNABIS INC COM ISIN#CA0515 B	19,125.00	125.00	6.00	119.00	50.0	59.50
10/23/2018	QGV006562	PATRIOT STRATEGY	AURORA CANNABIS INC COM ISIN#CA0515 S	-41,150.00	250.00	6.00	244.00	50.0	122.00
10/23/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI B	59,285.00	250.00	6.00	244.00	50.0	122.00
10/23/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI S	-59,285.00	250.00	6.00	244.00	50.0	122.00
10/23/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI B	-59,285.00	-250.00	-6.00	-244.00	50.0	-122.00 C
10/23/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI B	59,285.00	250.00	6.00	244.00	50.0	122.00
10/23/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI S	59,285.00	-250.00	-6.00	-244.00	50.0	-122.00 C
10/23/2018	QGV006562	PATRIOT STRATEGY	POLARITYTE INC COM B	36,540.00	150.00	6.00	144.00	50.0	72.00
10/24/2018	QGV006562	PATRIOT STRATEGY	AURORA CANNABIS INC COM ISIN#CA0515 S	-56,586.00	375.00	6.00	369.00	50.0	184.50
10/24/2018	QGV006562	PATRIOT STRATEGY	AURORA CANNABIS INC COM ISIN#CA0515 B	19,598.00	125.00	6.00	119.00	50.0	59.50
10/24/2018	QGV006562	PATRIOT STRATEGY	POLARITYTE INC COM B	25,100.00	100.00	6.00	94.00	50.0	47.00
10/25/2018	QGV006562	PATRIOT STRATEGY	GNC HLDGS INC COM CL A B	50,995.50	450.00	6.00	444.00	50.0	222.00
10/25/2018	QGV006562	PATRIOT STRATEGY	STONECO LTD REGISTERED SHS -A- ISIN S	-155,994.50	250.00	6.00	244.00	50.0	122.00
10/25/2018	QGV006562	PATRIOT STRATEGY	TESLA MOTORS INC B	309,542.30	50.00	6.00	44.00	50.0	22.00
10/29/2018	QGV006562	PATRIOT STRATEGY	AURORA CANNABIS INC COM ISIN#CA0515 B	17,790.00	90.00	6.00	84.00	50.0	42.00
10/29/2018	QGV006562	PATRIOT STRATEGY	GNC HLDGS INC COM CL A S	-83,979.00	675.00	6.00	669.00	50.0	334.50
10/29/2018	QGV006562	PATRIOT STRATEGY	NEW AGE BEVERAGES CORP COM B	19,350.00	150.00	6.00	144.00	50.0	72.00
* STOCKS SUBTOTAL *				\$-6,124,917.30	\$15,480.00	\$342.00	\$15,138.00		\$7,569.00

PRODUCT CATEGORY: BONDS

10/18/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.875% 09/3 B	49,804.69	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.875% 09/3 B	49,765.63	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/26/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.875% 05/3 B	49,726.56	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.875% 09/3 B	49,976.56	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.875% 09/3 B	49,921.88	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.125% 06/3 B	24,257.81	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 05/3 B	24,914.06	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 05/3 B	-24,914.06	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 05/3 B	24,765.63	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 05/3 B	24,863.28	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS BILLS 0.000% 03 B	494,313.89	50.00	5.00	45.00	50.0	22.50
09/27/2018	QGN001075	UNION OF OPERATING,	GOLDMAN SACHS GROUP INC SR NT 5.750 S	-106,300.00	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 06/3 B	145,734.38	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 09/3 B	24,882.81	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 05/3 B	49,726.56	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 09/3 B	49,976.56	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 09/3 B	49,921.88	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 2.750% 08/1 S	-129,937.50	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.750% 02/1 S	-50,062.50	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 1.375% 01/3 S	-245,546.88	78.13	5.00	73.13	50.0	36.57
10/30/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 5.375% 02/1 S	-61,312.50	50.00	5.00	45.00	50.0	22.50
10/30/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 1.375% 01/3 S	-457,007.81	145.31	5.00	140.31	50.0	70.16
10/25/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.875% 09/3 B	49,765.63	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.875% 05/3 B	24,863.28	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS BILLS 0.000% 03 B	98,862.78	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.125% 06/3 B	97,468.75	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.875% 09/3 B	99,843.75	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS BILLS 0.000% 03 B	148,294.17	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.125% 06/3 B	97,031.25	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 05/3 B	74,742.19	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 05/3 B	-74,742.19	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 05/3 B	74,296.88	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 09/3 B	99,953.13	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 06/3 B	72,867.19	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 3.125% 11/1 B	24,296.88	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.125% 06/3 B	24,296.88	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.375% 05/1 B	23,648.44	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875% 05/3 B	24,914.06	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875% 09/3 B	24,902.34	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875% 05/3 B	24,765.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875% 05/3 B	-24,914.06	-50.00	-5.00	-45.00	50.0	-22.50 C
10/24/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 3.125% 02/1 B	24,148.44	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/24/2018	QGN001224 ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.000%	11/1 B	23,105.47	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN001224 ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.750%	09/1 B	49,789.06	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001224 ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875%	08/1 B	24,523.44	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.375%	08/1 B	48,164.06	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.625%	07/3 B	49,804.69	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN001307 CLERKS, NY	UNITED STATES TREASURY NOTE	B	24,480.47	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.625%	05/1 B	24,796.88	50.00	5.00	45.00	50.0	22.50
10/22/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.750%	09/3 B	34,901.56	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.875%	09/3 B	24,902.34	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.125%	06/3 B	24,367.19	50.00	5.00	45.00	50.0	22.50
10/16/2018	QGN001315 STATE COURT CLERKS,	UNITED STATES TREASURY BILL	S	-49,366.66	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001315 STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.375%	08/1 B	28,898.44	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001315 STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.625%	07/3 B	29,882.81	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN001315 STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.250%	12/3 B	24,035.16	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.125%	06/3 B	48,656.25	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.875%	05/3 B	49,593.75	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.875%	09/3 B	49,859.38	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS BDS 2.875%	05/1 S	-47,062.50	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.125%	06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.875%	09/3 B	49,804.69	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.875%	09/3 B	49,976.56	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.125%	06/3 B	24,257.81	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001422 WESTCHESTER MODULAR	GENERAL ELEC CO NT 2.700%	10/09/22 S	-47,500.00	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.125%	06/3 B	48,734.38	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.875%	05/3 B	24,863.28	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.875%	09/3 B	99,843.75	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS BILLS 0.000%	03 B	98,862.78	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.125%	06/3 B	150,398.44	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	49,828.13	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	-49,828.13	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	49,531.25	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	49,593.75	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.125%	06/3 B	72,867.19	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	09/3 B	49,765.63	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.125%	06/3 B	48,734.38	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	49,726.56	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	09/3 B	49,976.56	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	74,531.25	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875%	05/3 B	49,703.13	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN001521 STACEY BRAUN	UNITED STATES TREAS NTS 2.125%	06/3 B	24,289.06	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/26/2018	QGN001521	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 05/3 B	14,917.97	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 2.875% 11/1 S	-46,789.06	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREASURY NOTE B	49,320.31	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 06/3 B	48,531.25	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREASURY NOTE B	49,070.31	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 09/3 B	49,906.25	50.00	5.00	45.00	50.0	22.50
10/16/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 3.000% 09/3 B	49,656.25	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 06 B	295,028.50	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 B	91,703.13	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 3.000% 09/3 B	49,718.75	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 06 B	-295,028.50	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 05/3 B	49,828.13	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 05/3 B	-49,828.13	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 05/3 B	49,531.25	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 B	46,132.81	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 08/3 B	49,164.06	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 08/1 B	49,046.88	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN001711	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.875% 05/3 B	99,187.50	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS BILLS 0.000% 03 B	1,482,941.67	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.125% 06/3 B	97,031.25	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.875% 09/3 B	99,953.13	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 09 B	927,356.22	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.375% 04/3 B	496,796.88	156.25	5.00	151.25	50.0	75.63
10/26/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.250% 04/3 B	246,601.56	78.13	5.00	73.13	50.0	36.57
10/29/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.750% 09/1 B	199,406.25	62.50	5.00	57.50	50.0	28.75
10/25/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.125% 06/3 B	24,289.06	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.125% 06/3 B	29,193.75	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.125% 06/3 B	34,059.38	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS BILLS 0.000% 09 B	73,212.33	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 2.750% 09/3 B	24,968.75	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	FEDERAL HOME LN MTG CORP REFERENCE B	49,375.00	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	GNMA 30 YEAR TBA SETT OCTOBER 3.500 B	24,750.00	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	GNMA 30 YEAR TBA SETT OCTOBER 3.500 B	-24,750.00	-50.00	-5.00	-45.00	50.0	-22.50 C
10/03/2018	QGN002032	COLLEGE, SUFFOLK	GNMA GTD MTG PASS THRU CTFS POOL # B	24,749.93	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS BILLS 0.000% 02 B	74,303.28	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS BILLS 0.000% 01 B	69,556.96	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS BILLS 0.000% 08 B	73,359.38	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 2.625% 08/1 B	24,906.25	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 2.750% 09/3 B	24,953.13	50.00	5.00	45.00	50.0	22.50
10/03/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 3.000% 09/3 B	74,507.81	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/04/2018	QGN002032	COLLEGE, SUFFOLK	INTEL CORP SR NT 3.100% 07/29/22 B/ B	49,700.00	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN002040	CONGRESS OF TEACHER, UNITED STATES	TREAS NTS 2.000% 02/1 S	-46,859.38	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN002040	CONGRESS OF TEACHER, UNITED STATES	TREAS NTS 1.750% 05/1 S	-47,929.69	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.125% 06/3 B	19,406.25	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.875% 05/3 B	14,948.44	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.875% 05/3 B	14,859.38	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.875% 05/3 B	-14,948.44	-50.00	-5.00	-45.00	50.0	-22.50 C
10/15/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREASURY NOTE B	49,320.31	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.125% 06/3 B	48,531.25	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 09/3 B	49,906.25	50.00	5.00	45.00	50.0	22.50
10/16/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.625% 07/1 B	49,585.94	50.00	5.00	45.00	50.0	22.50
10/16/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREASURY NOTE INVESTM B	49,234.38	50.00	5.00	45.00	50.0	22.50
10/22/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 09/3 B	99,718.75	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS BILLS 0.000% 09 B	146,622.81	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 02/1 B	48,539.06	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 10/3 B	48,187.50	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.875% 05/3 B	34,808.59	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.125% 06/3 B	97,312.50	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.875% 05/3 B	24,863.28	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.375% 05/1 S	-23,757.81	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.875% 05/3 B	14,948.44	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.875% 05/3 B	-14,948.44	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.875% 05/3 B	14,859.38	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.875% 09/3 B	24,902.34	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 06/3 B	24,289.06	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 06/3 B	24,367.19	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 06/3 B	34,059.38	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS BILLS 0.000% 03 B	98,862.78	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.875% 09/3 B	24,960.94	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 2.875% 05/3 B	9,945.31	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS BILLS 0.000% 03 B	49,431.39	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.125% 06/3 B	24,367.19	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002784	VERNON FEDERATION OF	UNITED STATES TREAS BILLS 0.000% 09 B	14,642.47	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002859	138, 138A, 138B	UNITED STATES TREAS NTS 2.125% 06/3 B	24,367.19	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN002859	138, 138A, 138B	UNITED STATES TREAS NTS 2.125% 06/3 B	-24,367.19	-50.00	-5.00	-45.00	50.0	-22.50 C
09/28/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS BILLS 0.000% 03 B	1,977,255.56	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.500% 05/3 B	497,734.38	156.25	5.00	151.25	50.0	75.63
10/18/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 2.875% 05/3 B	14,917.97	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/25/2018	QGN003212	ODONNELL, THOMAS	UNITED STATES TREAS NTS 2.875% 09/3 B	19,906.25	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN003279	VERNON FEDERATION OF	FEDERAL HOME LN MTG CORP REFERENCE S	-25,158.75	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN003279	VERNON FEDERATION OF	FEDERAL NATL MTG ASSN WITH 10-DAY C S	-9,914.50	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN003279	VERNON FEDERATION OF	UNITED STATES TREAS NTS 1.250% 12/3 S	-24,929.69	50.00	5.00	45.00	50.0	22.50
10/22/2018	QGN003279	VERNON FEDERATION OF	FEDERAL HOME LN MTG CORP REFERENCE S	-90,450.00	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA S	-19,452.68	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BONDS INFLATION S	-38,547.35	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA S	-29,241.83	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA S	-29,120.74	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TRE S	-39,584.36	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YR TREAS S	-28,782.23	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TRE S	-39,517.50	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TIP S	-19,327.56	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10YR TRES T S	-48,969.48	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 0.250% 01/1 S	-38,739.27	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREA TIPS 0 S	-29,205.97	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I S	-29,205.48	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10YR TRES T S	-29,010.61	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I S	-28,954.51	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10 YRS TIPS S	-29,538.64	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TRES TIPS 0 S	-48,929.67	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I S	-97,674.80	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS S	-19,792.04	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I S	-49,150.67	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10 YR TREAS S	-48,575.75	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS S	-38,767.96	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NOTES INFL IDX S	-28,520.07	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS S	-39,032.67	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS S	-39,239.98	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS B	740,984.30	235.31	5.00	230.31	50.0	115.16
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 0.375% 07/1 S	-39,303.66	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS B	185,411.57	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN003535	WARDENS/, ASSISTANT	UNITED STATES TREAS BILLS 0.000% 09 B	24,404.11	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN003535	WARDENS/, ASSISTANT	UNITED STATES TREAS NTS 2.750% 09/3 B	24,968.75	50.00	5.00	45.00	50.0	22.50
10/04/2018	QGN003550	OF, FARMINGDALE	GNMA 30 YEAR TBA SETT OCTOBER 3.500 B	24,687.50	50.00	5.00	45.00	50.0	22.50
10/04/2018	QGN003550	OF, FARMINGDALE	GNMA 30 YEAR TBA SETT OCTOBER 3.500 B	-24,687.50	-50.00	-5.00	-45.00	50.0	-22.50 C
10/04/2018	QGN003550	OF, FARMINGDALE	GNMA GTD MTG PASS THRU CTFS POOL # B	24,688.41	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREAS BILLS 0.000% 03 B	197,725.56	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREAS NTS 2.125% 06/3 B	24,257.81	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS 30 YR TREAS S	-1,966.07	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS	TREAS INFLA S	-3,052.43	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS	TREAS INFLA S	-2,150.99	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS	TREAS INFLA S	-5,136.16	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS	TREAS INFLA S	-3,106.49	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS	30 YEAR TRE S	-4,242.06	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS	30 YEAR TIP S	-2,067.73	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	10YR TRES T S	-3,085.25	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREA TIPS 0 S	-6,262.04	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	INFLATION I S	-3,155.17	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	10YR TRES T S	-4,136.42	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	INFLATION I S	-3,153.33	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	10 YRS TIPS S	-4,233.07	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TRES TIPS 0 S	-3,122.17	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	INFLATION I S	-10,418.65	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREAS TIPS S	-2,072.64	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	INFLATION I S	-10,364.38	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	10 YR TREAS S	-9,398.35	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREAS TIPS S	-4,162.69	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS	NOTES INFL IDX S	-4,172.07	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREAS TIPS S	-3,076.55	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREAS TIPS S	-9,454.80	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREAS TIPS B	83,069.31	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	0.375% 07/1 S	-4,214.07	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	TREAS TIPS B	25,982.25	50.00	5.00	45.00	50.0	22.50
10/02/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS	10 YR TREAS S	-2,122.52	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN005233	ASSOCIATES, STACEY	UNITED STATES TREAS NTS	2.875% 09/3 B	29,915.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN005290	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS	2.875% 09/3 B	49,804.69	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN005290	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS	2.125% 06/3 B	97,312.50	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN005316	LOCAL, LABORERS	UNITED STATES TREAS NTS	2.875% 05/3 B	49,726.56	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN005407	OPPENHEIMER & CO	WILSON PA SCH	DIST GO BDS 2016 A 2. B	23,704.00	50.00	5.00	45.00	50.0	22.50
10/01/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS BDS	2.875% 05/1 S	-14,118.75	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS	2.875% 05/3 B	19,931.25	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS	2.875% 05/3 B	19,812.50	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS	2.875% 05/3 B	-19,931.25	-50.00	-5.00	-45.00	50.0	-22.50 C
10/26/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS	2.875% 05/3 B	24,863.28	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS	2.875% 09/3 B	39,937.50	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS	BILLS 0.000% 06 B	295,028.50	50.00	5.00	45.00	50.0	22.50
10/15/2018	QGN006405	STACEY BRAUN & ASSOC	FEDERAL NATL MTG	ASSN WITH 10-DAY C S	-9,250.00	50.00	5.00	45.00	50.0	22.50
09/26/2018	QGN006496	STACEY BRAUN & ASSOC	GNMA 30 YEAR TBA	SETT OCTOBER 3.500 B	24,828.13	50.00	5.00	45.00	50.0	22.50
09/26/2018	QGN006496	STACEY BRAUN & ASSOC	GNMA 30 YEAR TBA	SETT OCTOBER 3.500 B	-24,828.13	-50.00	-5.00	-45.00	50.0	-22.50 C

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
09/26/2018	QGN006496	STACEY BRAUN & ASSOC	GNMA GTD MTG PASS THRU CTFS POOL # B	24,828.06	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 06/3 B	243,593.75	78.13	5.00	73.13	50.0	36.57
10/29/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 05/3 B	99,375.00	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.125% 06/3 B	24,257.81	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.125% 06/3 B	48,656.25	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.125% 06/3 B	48,578.13	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.125% 06/3 B	24,367.19	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.875% 09/3 B	34,945.31	50.00	5.00	45.00	50.0	22.50
10/12/2018	QGN026130	TEACHERS, PORT	FEDERAL HOME LN MTG CORP REFERENCE S	-9,875.00	50.00	5.00	45.00	50.0	22.50
10/12/2018	QGN026130	TEACHERS, PORT	FEDERAL NATL MTG ASSN BENCHMARK 1.3 S	-4,800.00	50.00	5.00	45.00	50.0	22.50
10/12/2018	QGN026130	TEACHERS, PORT	UNITED STATES TREAS NTS 1.750% 02/2 S	-24,031.25	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN026296	STACY BRAUN	UNITED STATES TREAS NTS 2.750% 09/3 B	24,968.75	50.00	5.00	45.00	50.0	22.50
10/04/2018	QGN026346	FEDERATION OF	FEDERAL HOME LN MTG CORP REFERENCE S	-125,775.00	50.00	5.00	45.00	50.0	22.50
10/04/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 1.375% 12/3 S	-74,835.94	50.00	5.00	45.00	50.0	22.50
10/05/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS BILLS 0.000% 07 S	-24,514.33	50.00	5.00	45.00	50.0	22.50
10/05/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS BILLS 0.000% 07 S	24,514.33	-50.00	-5.00	-45.00	50.0	-22.50 C
10/05/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS BILLS 0.000% 07 S	-24,514.33	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 2.125% 06/3 B	9,703.13	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS BILLS 0.000% 03 B	98,862.78	50.00	5.00	45.00	50.0	22.50
10/02/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 2.500% 02/1 S	-131,015.63	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN026643	LOCAL, PLUMBERS &	FEDEX CORP GTD FXD RT SENIOR NTS 3. S	-45,625.00	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN026643	LOCAL, PLUMBERS &	FEDEX CORP GTD FXD RT SENIOR NTS 3. S	45,625.00	-50.00	-5.00	-45.00	50.0	-22.50 C
10/19/2018	QGN026643	LOCAL, PLUMBERS &	FEDEX CORP GTD FXD RT SENIOR NTS 3. S	-45,625.00	50.00	5.00	45.00	50.0	22.50
10/19/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 1.500% 03/3 S	-149,390.63	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 03 B	197,725.56	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.875% 09/3 B	24,902.34	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.125% 06/3 B	72,867.19	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.875% 09/3 B	99,531.25	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.125% 06/3 B	24,367.19	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.875% 09/3 B	49,804.69	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.125% 06/3 B	97,156.25	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.125% 06/3 B	146,203.13	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS BILLS 0.000% 03 B	494,313.89	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.875% 05/3 B	148,781.25	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.125% 06/3 B	97,156.25	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.125% 06/3 B	97,312.50	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.875% 05/3 B	149,109.38	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.875% 05/3 B	49,828.13	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: BONDS									
10/23/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.875% 05/3 B	-49,828.13	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.875% 05/3 B	49,531.25	50.00	5.00	45.00	50.0	22.50
10/25/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.875% 09/3 B	204,039.06	64.06	5.00	59.06	50.0	29.53
10/26/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.875% 05/3 B	49,726.56	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.875% 09/3 B	99,609.38	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS BILLS 0.000% 03 B	296,588.33	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.125% 06/3 B	48,515.63	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.875% 05/3 B	74,742.19	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.875% 05/3 B	-74,742.19	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.875% 05/3 B	74,296.88	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.875% 05/3 B	99,187.50	50.00	5.00	45.00	50.0	22.50
10/18/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.125% 06/3 B	97,031.25	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 05/3 B	99,656.25	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 09/3 B	99,609.38	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 05/3 B	99,062.50	50.00	5.00	45.00	50.0	22.50
10/23/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 05/3 B	-99,656.25	-50.00	-5.00	-45.00	50.0	-22.50 C
10/26/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 09/3 B	99,953.13	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 05/3 B	198,812.50	62.50	5.00	57.50	50.0	28.75
10/29/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 09/3 B	99,843.75	50.00	5.00	45.00	50.0	22.50
09/27/2018	QGN027351	LOCAL 1205 PENSION	EOG RES INC FXD RT SR NT 3.150% 04/ S	-48,400.00	50.00	5.00	45.00	50.0	22.50
09/27/2018	QGN027351	LOCAL 1205 PENSION	JPMORGAN CHASE & CO SR FIXED RT NT S	-50,925.00	50.00	5.00	45.00	50.0	22.50
09/27/2018	QGN027351	LOCAL 1205 PENSION	MICROSOFT CORP FXD RT NT 3.500% 02/ S	-48,000.00	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN027781	THREE VILLAGE	UNITED STATES TREAS BILLS 0.000% 09 B	24,404.11	50.00	5.00	45.00	50.0	22.50
09/28/2018	QGN027781	THREE VILLAGE	UNITED STATES TREAS NTS 2.750% 09/3 B	24,968.75	50.00	5.00	45.00	50.0	22.50
10/24/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.875% 05/3 B	99,187.50	50.00	5.00	45.00	50.0	22.50
10/29/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.875% 05/3 B	99,406.25	50.00	5.00	45.00	50.0	22.50
10/26/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 05/3 B	49,726.56	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				\$15,595,792.07	\$14,366.57	\$1,375.00	\$12,991.57		\$6,495.82
*** BROKER TRANSACTIONS TOTAL ***				\$9,470,874.77	\$29,846.57	\$1,717.00	\$28,129.57		\$14,064.82

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2018		COMMISSION INCOME: ALDX SECONDARY		0.00	0.00	0.00	0.00		222.75
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-15.00
10/31/2018		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
10/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
10/31/2018		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-1,130.85</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$14,064.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,130.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-37.31
SIPC Assessment	\$-74.62

Check Amount	\$12,822.04
Year-to-date Earnings	\$39,942.87