



COMMISSION STATEMENT

September 03, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/29/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMCAP FUND-A SELL 5 Units @34.8300	166.87	0.00	0.00	0.00	70.0	0.00
08/29/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN BALANCED FUND-A SELL 7 Units @28.0600	192.66	0.00	0.00	0.00	70.0	0.00
08/29/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN HIGH-INCOME TRUST-A SELL 4 Units @10.2500	44.40	0.00	0.00	0.00	70.0	0.00
08/29/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A SELL 5 Units @42.9100	202.15	0.00	0.00	0.00	70.0	0.00
08/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000090221306726	FRANKLIN INCOME FUND CLASS A BUY 47 Units @2.4100	112.50	3.15	0.00	3.15	70.0	2.21
08/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000015812472167	FRANKLIN RISING DIVIDENDS FD CLASS BUY 2 Units @66.6900	112.50	3.15	0.00	3.15	70.0	2.21
08/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000050118127094	FRK HIGH INC FND - CL A BUY 59 Units @1.9200	112.50	3.15	0.00	3.15	70.0	2.21
08/15/2018	***-**-4642 - COOKE, ROBERT ACCOUNT #: 00055761480	MAINSTAY TOTAL RETURN BOND FUND CL BUY 19 Units @10.3300	200.00	2.00	0.00	2.00	70.0	1.40
08/22/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 4 Units @37.3100	160.00	4.40	0.00	4.40	70.0	3.08
08/22/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM HIGH YIELD ADVANTAGE-A BUY 32 Units @5.9800	190.00	5.23	0.00	5.23	70.0	3.66
08/22/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM INTERNATIONAL EQUITY-A BUY 3 Units @25.8500	80.00	2.20	0.00	2.20	70.0	1.54
08/22/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM MID-CAP VALUE FUND-A BUY 3 Units @22.3900	60.00	1.65	0.00	1.65	70.0	1.16
08/22/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 1 Units @46.5700	60.00	1.65	0.00	1.65	70.0	1.16
08/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000006984984022	TEMP GLOBAL BOND FND - CL A BUY 9 Units @11.9600	112.50	3.15	0.00	3.15	70.0	2.21
08/29/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	THE BOND FUND OF AMERICA-A SELL 9 Units @12.5600	117.64	0.00	0.00	0.00	70.0	0.00
08/29/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	THE GROWTH FUND OF AMERICA-A SELL 2 Units @56.6100	115.88	0.00	0.00	0.00	70.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/29/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	159.86	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 3 Units @46.8400						
		* MUTUAL FUNDS SUBTOTAL *	\$2,199.46	\$29.73	\$0.00	\$29.73		\$20.84
PRODUCT CATEGORY: RIA								
09/04/2018	***-**-82FB - AGUIAR, DANA	LIFEMARK INVESTMENT ADVISORY FEE	24.99	24.99	0.00	24.99	70.0	17.49
	ACCOUNT #: 925573753	BUY 0 Units @0.0000						
		* RIA SUBTOTAL *	\$24.99	\$24.99	\$0.00	\$24.99		\$17.49
		*** BROKER TRANSACTIONS TOTAL ***	\$2,224.45	\$54.72	\$0.00	\$54.72		\$38.33

BROKER COMMISSION TOTALS

Broker Transactions	\$38.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$38.33
Year-to-date Earnings	\$42,281.86