

COMMISSION STATEMENT September 15, 2018

JOY LAWRENCE-D602

BROKER #: D602

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D602

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
09/04/2018	08191145720 ROSALES,	MF MFS MID CAP GROWTH FUND-C	B	217.38	2.17	0.00	2.17	0.0	0.00
09/04/2018	08191145720 ROSALES,	MF MFS MID CAP VALUE FUND-C	B	217.38	2.17	0.00	2.17	0.0	0.00
09/04/2018	08191145720 ROSALES,	MF MFS TECHNOLOGY FUND-C	B	700.00	7.00	0.00	7.00	0.0	0.00
08/31/2018	08191230917 GROS, LINDSAY	MF MFS GROWTH FUND-C	B	200.00	2.00	0.00	2.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,334.76	\$13.34	\$0.00	\$13.34		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	00054442009 SAVAGE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	322.63	0.00	322.63	0.0	0.00
09/04/2018	00057594089 NANCY J SAVAGE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	41.78	0.00	41.78	0.0	0.00
09/04/2018	00058492693 READ, THOMAS	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	17.05	0.00	17.05	0.0	0.00
09/04/2018	00058492693 READ, THOMAS	MFT NEW WORLD FUND-A	B	0.00	16.87	0.00	16.87	0.0	0.00
09/04/2018	00058492693 READ, THOMAS	MFT SMALLCAP WORLD FUND-A	B	0.00	23.33	0.00	23.33	0.0	0.00
09/04/2018	00059941399 BILLARD, JOHN	MFT NEW PERSPECTIVE FUND-A	B	0.00	117.24	0.00	117.24	0.0	0.00
09/04/2018	00059941399 BILLARD, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	39.23	0.00	39.23	0.0	0.00
09/04/2018	00059941399 BILLARD, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	138.57	0.00	138.57	0.0	0.00
09/04/2018	00059941399 BILLARD, JOHN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	47.38	0.00	47.38	0.0	0.00
09/04/2018	00059941399 BILLARD, JOHN	MFT THE NEW ECONOMY FUND-A	B	0.00	144.75	0.00	144.75	0.0	0.00
09/04/2018	00062363623 VINCENT, JOSEPH	MFT THE NEW ECONOMY FUND-A	B	0.00	10.50	0.00	10.50	0.0	0.00
09/04/2018	00084485231 FERNANDEZ, CARLOS	MFT NEW PERSPECTIVE FUND-C	B	0.00	26.73	0.00	26.73	0.0	0.00
09/04/2018	00084485231 FERNANDEZ, CARLOS	MFT THE NEW ECONOMY FUND-C	B	0.00	32.87	0.00	32.87	0.0	0.00
09/04/2018	00085232108 AYDINIAN, ANNE	MFT SMALLCAP WORLD FUND-C	B	0.00	79.39	0.00	79.39	0.0	0.00
09/04/2018	00085232108 AYDINIAN, ANNE	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	95.19	0.00	95.19	0.0	0.00
09/04/2018	00085232108 AYDINIAN, ANNE	MFT THE NEW ECONOMY FUND-C	B	0.00	90.43	0.00	90.43	0.0	0.00
09/04/2018	00085269496 AYDINIAN, ANNE	MFT NEW PERSPECTIVE FUND-C	B	0.00	25.44	0.00	25.44	0.0	0.00
09/04/2018	00085269496 AYDINIAN, ANNE	MFT THE NEW ECONOMY FUND-C	B	0.00	31.03	0.00	31.03	0.0	0.00
09/04/2018	00085276417 MOZISEK, SHANA	MFT NEW PERSPECTIVE FUND-C	B	0.00	22.35	0.00	22.35	0.0	0.00
09/04/2018	00085276417 MOZISEK, SHANA	MFT THE NEW ECONOMY FUND-C	B	0.00	27.80	0.00	27.80	0.0	0.00
09/04/2018	00085282939 AYDINIAN, KAREN	MFT NEW PERSPECTIVE FUND-C	B	0.00	36.61	0.00	36.61	0.0	0.00
09/04/2018	00085282939 AYDINIAN, KAREN	MFT THE NEW ECONOMY FUND-C	B	0.00	46.15	0.00	46.15	0.0	0.00
09/04/2018	00085306584 DAVIS, JAMES	MFT AMERICAN BALANCED FUND-C	B	0.00	37.36	0.00	37.36	0.0	0.00
09/04/2018	00085306584 DAVIS, JAMES	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	33.46	0.00	33.46	0.0	0.00

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09/04/2018 00085306584	DAVIS, JAMES	MFT THE NEW ECONOMY FUND-C	B	0.00	47.61	0.00	47.61	0.0	0.00
09/04/2018 00085310313	BOURGEOIS,	MFT NEW PERSPECTIVE FUND-C	B	0.00	65.27	0.00	65.27	0.0	0.00
09/04/2018 00085310313	BOURGEOIS,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	77.99	0.00	77.99	0.0	0.00
09/04/2018 00085310313	BOURGEOIS,	MFT THE NEW ECONOMY FUND-C	B	0.00	82.46	0.00	82.46	0.0	0.00
09/04/2018 00085321070	BORCHERT, DAVID	MFT THE NEW ECONOMY FUND-C	B	0.00	20.13	0.00	20.13	0.0	0.00
09/04/2018 00085349223	DAVID TEN COM,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	63.73	0.00	63.73	0.0	0.00
09/04/2018 00085349223	DAVID TEN COM,	MFT THE NEW ECONOMY FUND-C	B	0.00	65.49	0.00	65.49	0.0	0.00
09/04/2018 00085386447	FIELD, THOMAS	MFT NEW PERSPECTIVE FUND-C	B	0.00	61.60	0.00	61.60	0.0	0.00
09/04/2018 00085386447	FIELD, THOMAS	MFT THE NEW ECONOMY FUND-C	B	0.00	76.49	0.00	76.49	0.0	0.00
09/04/2018 00085386612	THOMAS & ZOE	MFT NEW PERSPECTIVE FUND-C	B	0.00	106.26	0.00	106.26	0.0	0.00
09/04/2018 00085386612	THOMAS & ZOE	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	131.93	0.00	131.93	0.0	0.00
09/04/2018 00085386612	THOMAS & ZOE	MFT THE NEW ECONOMY FUND-C	B	0.00	130.99	0.00	130.99	0.0	0.00
09/04/2018 00085389782	FIELD, ZOE	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.26	0.00	7.26	0.0	0.00
09/04/2018 00085389782	FIELD, ZOE	MFT THE NEW ECONOMY FUND-A	B	0.00	16.89	0.00	16.89	0.0	0.00
09/04/2018 00085554223	SHELTON, BARBARA	MFT NEW PERSPECTIVE FUND-C	B	0.00	113.37	0.00	113.37	0.0	0.00
09/04/2018 00085554223	SHELTON, BARBARA	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	136.64	0.00	136.64	0.0	0.00
09/04/2018 00085554760	SHELTON, BARBARA	MFT NEW PERSPECTIVE FUND-C	B	0.00	208.84	0.00	208.84	0.0	0.00
09/04/2018 00085554760	SHELTON, BARBARA	MFT THE NEW ECONOMY FUND-C	B	0.00	257.60	0.00	257.60	0.0	0.00
09/04/2018 00085555326	BARBARA ANN	MFT THE NEW ECONOMY FUND-C	B	0.00	17.04	0.00	17.04	0.0	0.00
09/04/2018 00085934697	CORNELISON,	MFT NEW PERSPECTIVE FUND-C	B	0.00	95.10	0.00	95.10	0.0	0.00
09/04/2018 00085934697	CORNELISON,	MFT SMALLCAP WORLD FUND-C	B	0.00	90.61	0.00	90.61	0.0	0.00
09/04/2018 00085934697	CORNELISON,	MFT THE NEW ECONOMY FUND-C	B	0.00	106.83	0.00	106.83	0.0	0.00
09/04/2018 00086586778	GABRIEL R GROS	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.25	0.00	2.25	0.0	0.00
09/04/2018 00086586778	GABRIEL R GROS	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.54	0.00	2.54	0.0	0.00
09/04/2018 00086586778	GABRIEL R GROS	MFT THE NEW ECONOMY FUND-C	B	0.00	3.13	0.00	3.13	0.0	0.00
09/04/2018 04000948222	CA/TOD	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.80	0.00	0.80	0.0	0.00
09/04/2018 04000948222	CA/TOD	MFT NEW PERSPECTIVE FUND-C	B	0.00	0.27	0.00	0.27	0.0	0.00
09/04/2018 04000948222	CA/TOD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	0.0	0.00
09/04/2018 04000948222	CA/TOD	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	0.31	0.00	0.31	0.0	0.00
09/04/2018 04000948222	CA/TOD	MFT THE NEW ECONOMY FUND-A	B	0.00	0.94	0.00	0.94	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,495.42	\$0.00	\$3,495.42		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$1,334.76	\$3,508.76	\$0.00	\$3,508.76		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00