

# COMMISSION STATEMENT November 15, 2018

BILL PETERS-D049

 BROKER #: D049 Terminated 12/31/2017  
 BRANCH: DMI

, TX

**COMMISSION STATEMENT for BILL PETERS-D049**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
10/31/2018	000016800005902 MORRIS, BERYL	MFT FRANKLIN LA TF INCOME FUND -	B	0.00	9.49	0.00	9.49	0.0	0.00
10/31/2018	000016810284105 BARRON,	MFT FRANKLIN LA TF INCOME FUND -	B	0.00	3.58	0.00	3.58	0.0	0.00
11/07/2018	00006009345 LEBLANC, PETYON	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.72	0.00	12.72	0.0	0.00
11/07/2018	00006852906 SCHRIEBER, TRUDI	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	16.13	0.00	16.13	0.0	0.00
11/07/2018	00006852906 SCHRIEBER, TRUDI	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.29	0.00	6.29	0.0	0.00
11/07/2018	00521964049 BOURGEOIS, JOHN	MFT FIDELITY ADV CONSUMER DISCRTNRY	B	0.00	1.29	0.00	1.29	0.0	0.00
11/07/2018	00521964049 BOURGEOIS, JOHN	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.07	0.00	1.07	0.0	0.00
11/07/2018	00521964049 BOURGEOIS, JOHN	MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	0.30	0.00	0.30	0.0	0.00
11/07/2018	00521964049 BOURGEOIS, JOHN	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.35	0.00	0.35	0.0	0.00
11/07/2018	00521964049 BOURGEOIS, JOHN	MFT FIDELITY ADV MID CAP II - CL T	B	0.00	1.81	0.00	1.81	0.0	0.00
11/07/2018	06090448552 CATHERINE G	MFT FEDERATED MID CAP GROWTH	B	0.00	18.24	0.00	18.24	0.0	0.00
11/07/2018	06090448553 MARY E	MFT FEDERATED MID CAP GROWTH	B	0.00	37.28	0.00	37.28	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$108.55</b>	<b>\$0.00</b>	<b>\$108.55</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$108.55</b>	<b>\$0.00</b>	<b>\$108.55</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$1,287.86</b>