

COMMISSION STATEMENT

September 30, 2018

 LANCE WALSTON-D358
 1109 South Abe

 BROKER #: D358
 BRANCH: DMN

San Angelo, TX 76903

COMMISSION STATEMENT for LANCE WALSTON-D358

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT BLACKROCK LARGE CAP VALUE FD C	B	0.00	2.96	0.00	2.96	90.0	2.66
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.01	0.00	0.01	90.0	0.01
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.62	0.00	1.62	90.0	1.46
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.60	0.00	0.60	90.0	0.54
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT FEDERATED HIGH INCOME BOND FUND	B	0.00	0.72	0.00	0.72	90.0	0.65
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT FEDERATED HIGH INCOME BOND FUND	B	0.00	0.72	0.00	0.72	90.0	0.65
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	1.48	0.00	1.48	90.0	1.33
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.83	0.00	1.83	90.0	1.65
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT MUTUAL DISC FND - CL C	B	0.00	2.04	0.00	2.04	90.0	1.84
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT OPPENHEIMER SMALL & MID-CAP	B	0.00	3.54	0.00	3.54	90.0	3.19
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.96	0.00	1.96	90.0	1.76
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.68	0.00	1.68	90.0	1.51
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.68	0.00	1.68	90.0	1.51
09/21/2018	mfc881708	VARIOUS, WALSTON	MFT VALUE OPPORTUNITIES A	B	0.00	0.70	0.00	0.70	90.0	0.63
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT BLACKROCK LARGE CAP VALUE FD C	B	0.00	2.81	0.00	2.81	90.0	2.53
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.01	0.00	0.01	90.0	0.01
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.52	0.00	1.52	90.0	1.37
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.57	0.00	0.57	90.0	0.51
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT FEDERATED HIGH INCOME BOND FUND	B	0.00	0.68	0.00	0.68	90.0	0.61
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT FEDERATED HIGH INCOME BOND FUND	B	0.00	0.68	0.00	0.68	90.0	0.61
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	1.39	0.00	1.39	90.0	1.25
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.72	0.00	1.72	90.0	1.55
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT MUTUAL DISC FND - CL C	B	0.00	1.92	0.00	1.92	90.0	1.73
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT OPPENHEIMER SMALL & MID-CAP	B	0.00	3.36	0.00	3.36	90.0	3.02
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.84	0.00	1.84	90.0	1.66
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.59	0.00	1.59	90.0	1.43
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.59	0.00	1.59	90.0	1.43
09/24/2018	mfc881708	VARIOUS, WALSTON	MFT VALUE OPPORTUNITIES A	B	0.00	0.67	0.00	0.67	90.0	0.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$41.89	\$0.00	\$41.89	\$37.70	
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$41.89	\$0.00	\$41.89	\$37.70	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/10/2018	00977664224	ANDRENACCI, PETER MF	CAPITAL WORLD GROWTH AND INCOME B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$5.00	\$0.00	\$5.00		\$4.50
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$5.00	\$0.00	\$5.00		\$4.50

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	ARNOLD U5	0.00	0.00	0.00	0.00	-40.00
09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	BROKER WORKSTATION-ARNOLD	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-ARNOLD	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	ESIGNAL	0.00	0.00	0.00	0.00	-83.04
09/30/2018	:	PA REG FEE	0.00	0.00	0.00	0.00	-130.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-493.04
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-493.04

BROKER COMMISSION TOTALS

Broker Transactions	\$42.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-493.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-450.84
Year-to-date Commissions	\$5,754.87