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R.F. Lafferty & Co., Inc. Est. 1946

## **COMMISSION STATEMENT**

October 31, 2018

BROKER #: A01 V01 BRANCH: FULCRUM GROUP

VAN DREGGE OLMSTEAD 10 RICHARDS DRIVE

WILMINGTON, DE 19810

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
10/11/2018 (None) VARIOUS, OLMSTEAD	JOHN HANCOCK VARIOUS	В	0.00	95.41	0.00	95.41	70.0	66.79
10/09/2018 75501809 WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	S	8,000.00	0.00	0.00	0.00	70.0	0.00
10/01/2018 75502039 SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
	* MUTUAL FUNDS SUBTOT	AL *	\$10,800.00	\$95.41	\$0.00	\$95.41		\$66.79
PRODUCT CATEGORY: STOCKS								
10/18/2018 75501727 PENN, SHELDON	ECHO GLOBAL LOGISTICS INC COM	S	6,053.50	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501727 PENN, SHELDON	BIOGEN INC	S	8,070.27	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501727 PENN, SHELDON	COPART INC	S	6,736.39	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501727 PENN, SHELDON	LENNOX INTL INC	S	5,868.04	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501727 PENN, SHELDON	AMAZON.COM INC	В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727 PENN, SHELDON	AMEDISYS INC	S	2,481.75	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727 PENN, SHELDON	BIOGEN INC	S	6,078.00	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727 PENN, SHELDON	PROOFPOINT INC	S	7,857.74	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727 PENN, SHELDON	S&P GLOBAL INC COM	S	3,423.60	0.00	0.00	0.00	70.0	0.00
10/18/2018 75501773 SMITH, GLENN	ECHO GLOBAL LOGISTICS INC COM	S	3,606.34	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501773 SMITH, GLENN	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501773 SMITH, GLENN	COPART INC	S	3,991.94	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501773 SMITH, GLENN	LENNOX INTL INC	S	3,912.03	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773 SMITH, GLENN	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773 SMITH, GLENN	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773 SMITH, GLENN	PROOFPOINT INC	S	4,962.78	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773 SMITH, GLENN	S&P GLOBAL INC COM	S	1,711.80	0.00	0.00	0.00	70.0	0.00
10/18/2018 75501778 VORMWALD, G ROBERT	ECHO GLOBAL LOGISTICS INC COM	S	9,788.63	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501778 VORMWALD, G ROBERT	BIOGEN INC	S	12,912.44	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501778 VORMWALD, G ROBERT	COPART INC	S	10,728.33	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501778 VORMWALD, G ROBERT	LENNOX INTL INC	S	9,780.07	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501778 VORMWALD, G ROBERT	AMAZON.COM INC	В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501778 VORMWALD, G ROBERT	AMEDISYS INC	S	4,963.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501778 VORMWALD, G ROBERT	BIOGEN INC	S	10,636.51	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501778 VORMWALD, G ROBERT	PROOFPOINT INC	S	12,820.52	0.00	0.00	0.00	70.0	0.00

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INVESTMENT

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BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
10/26/2018 75	5501778 VORMWALD, G ROBERT	S&P GLOBAL INC COM	S	5,991.30	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501779 OLMSTEAD, VAN	ECHO GLOBAL LOGISTICS INC COM	S	3,606.34	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501779 OLMSTEAD, VAN	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501779 OLMSTEAD, VAN	COPART INC	S	4,241.43	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501779 OLMSTEAD, VAN	LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501779 OLMSTEAD, VAN	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501779 OLMSTEAD, VAN	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501779 OLMSTEAD, VAN	PROOFPOINT INC	S	4,549.22	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501779 OLMSTEAD, VAN	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501783 HALLAM, DONALD	ECHO GLOBAL LOGISTICS INC COM	S	15,455.74	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501783 HALLAM, DONALD	BIOGEN INC	S	19,368.66	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501783 HALLAM, DONALD	COPART INC	S	16,965.73	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501783 HALLAM, DONALD	LENNOX INTL INC	S	15,648.11	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501783 HALLAM, DONALD	AMAZON.COM INC	В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501783 HALLAM, DONALD	AMEDISYS INC	S	7,445.25	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501783 HALLAM, DONALD	BIOGEN INC	S	16,714.51	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501783 HALLAM, DONALD	PROOFPOINT INC	S	20,264.69	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501783 HALLAM, DONALD	S&P GLOBAL INC COM	S	10,270.80	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501805 BARBARAS, GLEN	ECHO GLOBAL LOGISTICS INC COM	S	3,992.73	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501805 BARBARAS, GLEN	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501805 BARBARAS, GLEN	COPART INC	S	4,241.43	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501805 BARBARAS, GLEN	LENNOX INTL INC	S	3,912.03	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501805 BARBARAS, GLEN	AMAZON.COM INC	В	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501805 BARBARAS, GLEN	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501805 BARBARAS, GLEN	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501805 BARBARAS, GLEN	PROOFPOINT INC	S	4,962.78	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501805 BARBARAS, GLEN	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501808 WRIGHT, PAMELA	ECHO GLOBAL LOGISTICS INC COM	S	2,447.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501808 WRIGHT, PAMELA	BIOGEN INC	S	3,228.11	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501808 WRIGHT, PAMELA	COPART INC	S	2,694.56	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501808 WRIGHT, PAMELA	LENNOX INTL INC	S	1,956.01	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501808 WRIGHT, PAMELA	AMAZON.COM INC	В	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501808 WRIGHT, PAMELA	AMEDISYS INC	S	992.70	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501808 WRIGHT, PAMELA	BIOGEN INC	S	2,431.20	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501808 WRIGHT, PAMELA	PROOFPOINT INC	S	2,894.96	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501808 WRIGHT, PAMELA	S&P GLOBAL INC COM	S	1,711.80	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501817 GELLIS, HOWARD	ECHO GLOBAL LOGISTICS INC COM	S	20,736.45	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501817 GELLIS, HOWARD	BIOGEN INC	S	25,824.88	0.00	0.00	0.00		0.00
10/19/2018 75	5501817 GELLIS, HOWARD	COPART INC	S	22,704.14	0.00	0.00	0.00		0.00
	5501817 GELLIS, HOWARD	LENNOX INTL INC	S	21,516.15	0.00	0.00		70.0	0.00

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INVESTMENT

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### PROMITY CATEGORY: STOCKS  ### PR	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
## PRODUCT CATEGORY: STOCKS    10,222/2018 75501817 GELLIS; MORADS   AMAZON.COM INC       17,998.80   0.00		021201	111120111211	_,_	12100111	COLLIEBTON	CHINCE	COLLIEBTON	14112	COMMISSION
10.722/2018   TSSULET  GELLES   BORAND   AMAGOR COW INC   B   17,999.0   0.00			BROKER	TRANS	ACTIONS					
10.282/2018   TSD1813T   GELLIS, MORADE   MEDICATE INC   S   9.27.00   0.00	PRODUCT CA	TEGORY: STOCKS								
10.286/2018 75501817   GELLIE, EVARADI   PROOFFDINT INC   S   24,781.90   0.0	10/22/2018 7	5501817 GELLIS, HOWARD	AMAZON.COM INC	В	17,999.80	0.00	0.00	0.00	70.0	0.00
11.748/2018 75501817 GELLIS, HOMARD   PROOFPOINT INC   S   24.813.90   0.00   0.00   0.00   70.0   0.00   10.70   0.00   10.70   10.	10/26/2018 7	5501817 GELLIS, HOWARD	AMEDISYS INC	S	9,927.00	0.00	0.00	0.00	70.0	0.00
11/226/2018 75501812 KLIAIN, ROBERT   CHOO GLOBAL LOGISTICS INC COM S   4.250.33   0.00   0.00   0.00   70.0   0.00   0	10/26/2018 7	5501817 GELLIS, HOWARD	BIOGEN INC	S	22,792.51	0.00	0.00	0.00	70.0	0.00
11.7119/2018 75501822 KLAHN, RORRET   RCHO GLOBAL LODISTICS THC COM S   4,250.33   0.00   0.00   0.00   70.0   0.00   1	10/26/2018 7	5501817 GELLIS, HOWARD	PROOFPOINT INC	S	24,813.90	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501822 KLAHN, ROBERT   BIOGEN INC   S   4,842.16   0.00   0.00   0.00   70.0   0.00	10/26/2018 7	5501817 GELLIS, HOWARD	S&P GLOBAL INC COM	S	13,694.40	0.00	0.00	0.00	70.0	0.00
10.713 / 2018   7501822 KLAHN, ROBERT   COPART INC   S   4,740.42   0.00   0.00   0.00   0.00   70.0   0.00   0.	10/18/2018 7	5501822 KLAHN, ROBERT	ECHO GLOBAL LOGISTICS INC COM	S	4,250.33	0.00	0.00	0.00	70.0	0.00
10.719/2018 75501822 KLAEN, ROBERT	10/19/2018 7	5501822 KLAHN, ROBERT	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501822 KLAHN, ROBERT AMAZON.COM INC S 1,985.40 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT BIOGEN INC S 1,985.40 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT BIOGEN INC S 4,585.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT BIOGEN INC S 5,376.35 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT S&P GLORAL INC COM S 5,376.35 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT S&P GLORAL INC COM S 7,567.70 0.00 0.00 0.00 0.00 70.0 0.00 10/16/2018 75501858 REMINISTON, THOMAS CHOIC GLORAL LOGISTICS INC COM S 7,567.70 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/16/2018 75501858 REMINISTON, THOMAS COPART INC S 11,298.38 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINISTON, THOMAS COPART INC S 11,298.38 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINISTON, THOMAS COPART INC S 10,758.08 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS AMAZON.COM INC H R 8,999.90 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS AMAZON.COM INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS AMAZON.COM INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS BIOGEN INC S 12,406.95 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS PROOFFOITH INC S 2,575.96 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS SEP GLOBAL INC COM S 6,847.20 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS SEP GLOBAL INC COM S 6,847.20 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS SEP GLOBAL INC COM S 7,944.46 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS SEP GLOBAL INC COM S 7,944.46 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501859 REMINISTON, THOMAS SEP GLOBAL INC COM S 7,944.46 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/19/2018 7	5501822 KLAHN, ROBERT	COPART INC	S	4,740.42	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501822 KLAHN, ROBERT AMEDISYS INC S 1,985.40 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT BIOGEN INC S 4,558.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT PROOPPOINT INC S 5,376.35 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT PROOPPOINT INC S 5,376.35 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT S 5P GLOBAL INC COM S 9,531.04 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS RECHO GLOBAL LOGISTICS INC COM S 9,531.04 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS BLOGEN INC S 11,298.38 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS LENNOX INTL INC S 10,758.08 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS LENNOX INTL INC S 10,758.08 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMEDISYS INC S 1,959.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMEDISYS INC S 1,969.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BLOGEN INC S 1,969.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BLOGEN INC S 1,2460.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BLOGEN INC S 1,2460.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BLOGEN INC S 1,2460.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS SEP GLOBAL INC COM S 6,487.20 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE ENCHOGEN S 3,2444.66 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ENGRE LENNOX INTL INC S 2,344.46 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE ENGRE LENNOX INTL INC S 2,344.46 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE ENGRE ANAZON.COM INC S 3,309.92 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE ENGRE ANAZON.COM INC S 3,309.92 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/19/2018 7	5501822 KLAHN, ROBERT	LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501822 KLAHN, ROBERT BIOGEN INC S 4,558.50 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT PROOFFOINT INC S 5,376.35 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501822 KLAHN, ROBERT SOF GLOBAL INC COM S 5,376.35 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501828 KLAHN, ROBERT SOF GLOBAL INC COM S 9,531.04 0.00 0.00 0.00 0.00 70.0 0.00 10/18/2018 75501858 REMINGTON, THOMAS BLOGEN INC S 11,298.38 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS COFART INC S 10,478.83 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS COFART INC S 10,478.83 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS LENNOX INTI INC S 10,758.08 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC S 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC S 14,963.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,640.55 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501850 REMINGTON, THOMAS PROOFPOINT INC S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501850 REMINGTON, THOMAS PROOFPOINT INC S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501850 REMINGTON, THOMAS PROOFPOINT INC S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501850 REMINGTON, THOMAS PROOFPOINT INC S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKERAZI, PHOEBE BIOGEN INC S 2,575.96 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKERAZI, PHOEBE AMAZON.COM INC S 2,575.96 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/22/2018 7	5501822 KLAHN, ROBERT	AMAZON.COM INC	В	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501822 KLAHN, ROBERT PROOFPOINT INC S 5,376.35 0.00 0.00 0.00 70.0 0.00 0.00 0.00 70.0 0.00 0	10/26/2018 7	5501822 KLAHN, ROBERT	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501822 KLAIN, ROBERT SAP GLOBAL LOIC COM S 2,567.70 0.00 0.00 0.00 70.0 70.0 0.00 10/18/2018 75501858 REMINITON, THOMAS ECHO GLOBAL LOGISTICS INC COM S 9,531.04 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINISTON, THOMAS COPART INC S 10,478.83 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINISTON, THOMAS COPART INC S 10,478.83 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINISTON, THOMAS LENNOX INTL INC S 10,578.08 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINISTON, THOMAS AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS AMEDISYS INC S 4,963.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS BIOGEN INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS BIOGEN INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS BIOGEN INC S 12,406.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINISTON, THOMAS SAP GLOBAL LOGISTICS INC COM S 6,847.20 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENNAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENNAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,2744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENNAZI, PHOEBE LENNOX INTL INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE AMAZON.COM INC S 3,309.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE BIOGEN INC S 3,309.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE AMAZON.COM INC S 3,309.50 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE BIOGEN INC S 3,309.50 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE BIOGEN INC S 3,309.50 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENNAZI, PHOEBE BIOGEN INC S 3,309.50 0.00 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	10/26/2018 7	5501822 KLAHN, ROBERT	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/18/2018 75501858 REMINGTON, THOMAS	10/26/2018 7	5501822 KLAHN, ROBERT	PROOFPOINT INC	S	5,376.35	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 11,298.38 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS COPART INC S 10,478.83 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS LENNOX INTL INC S 10,758.08 0.00 0.00 0.00 70.0 0.00 10/23/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 70.0 0.00 10/23/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501850 REMINGTON, THOMAS SAP GLOBAL INC COM S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/16/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE BLOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BLOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BLOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BLOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 0.00	10/26/2018 7	5501822 KLAHN, ROBERT	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501858 REMINGTON, THOMAS LENNOX INTL INC S 10,478.83 0.00 0.00 0.00 70.0 70.0 0.00 10/19/2018 75501858 REMINGTON, THOMAS LENNOX INTL INC S 10,758.08 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 4,963.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 10,636.51 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 12,406.95 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS SAP GLOBAL INC COM S 12,406.95 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 70.0 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 3,228.11 0.00 0.00 0.00 70.0 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ECHOR GLOBAL LOGISTICS INC S 3,228.11 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ECHOR GLOBAL LOGISTICS INC S 2,744.46 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ECHOR GLOBAL LOGISTICS INC S 2,744.46 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE ECHOR GLOBAL LOGISTICS INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC S 3,309.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,309.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,309.50 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,309.50 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	10/18/2018 7	5501858 REMINGTON, THOMAS	ECHO GLOBAL LOGISTICS INC COM	S	9,531.04	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501858 REMINGTON, THOMAS LENNOX INTL INC S 10,758.08 0.00 0.00 0.00 70.0 70.0 0.00 10/22/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMAZON.COM INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BLOCEN INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,228.11 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE SEPROFPOINT INC S 3,308.52 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE SEPROFPOINT INC S 3,308.52 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/19/2018 7	5501858 REMINGTON, THOMAS	BIOGEN INC	S	11,298.38	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501858 REMINGTON, THOMAS AMZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS AMEDISYS INC S 4,963.50 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 10,636.51 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS SAP GLOBAL INC COM S 6,847.20 0.00 0.00 0.00 0.00 70.0 0.00 10/18/2018 75501858 REMINGTON, THOMAS SAP GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/18/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,309.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,309.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE SAP GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501883 MARTIN, KATHY SPDR INDEX SHAFES FUNDS SPDR SAP EMB 89.55 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501883 MARTIN, KATHY SPDR INDEX SHAFES FUNDS SPDR SAP EMB 89.55 0.00 0.00 0.00 0.00 70.0 0.00 10/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS SAP INTERNATIONA B 137.20 0.00 0.00 0.00 0.00 70.0 0.00 10/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS SAP EMERGING MAR B 132.65 0.00 0.00 0.00 0.00 0.00 70.0 0.00 10/28/201	10/19/2018 7	5501858 REMINGTON, THOMAS	COPART INC	S	10,478.83	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501858 REMINGTON, THOMAS	10/19/2018 7	5501858 REMINGTON, THOMAS	LENNOX INTL INC	S	10,758.08	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501858 REMINGTON, THOMAS BIOGEN INC S 10,636.51 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS S&P GLOBAL INC COM S 6,847.20 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,228.11 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,038.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR SAP MB 8,955 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0.	10/22/2018 7	5501858 REMINGTON, THOMAS	AMAZON.COM INC	В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501858 REMINGTON, THOMAS PROOFPOINT INC S 12,406.95 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501858 REMINGTON, THOMAS S&P GLOBAL INC COM S 6,847.20 0.00 0.00 0.00 70.0 70.0 0.00 10/18/2018 75501858 REMINGTON, THOMAS S&P GLOBAL INC COM S 2,575.96 0.00 0.00 0.00 70.0 70.0 0.00 10/18/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 70.0 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,228.11 0.00 0.00 0.00 70.0 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 2,944.04 0.00 0.00 0.00 0.00 70.0 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&F GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	10/26/2018 7	5501858 REMINGTON, THOMAS	AMEDISYS INC	S	4,963.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501870 ESKENAZI, PHOEBE ECHO GLOBAL LOGISTICS INC COM S 2,575.96 0.00 0.00 0.00 70.0 0.00 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,228.11 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 3,228.11 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	10/26/2018 7	5501858 REMINGTON, THOMAS	BIOGEN INC	S	10,636.51	0.00	0.00	0.00	70.0	0.00
10/18/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 2,575.96 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 2,744.46 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR S&P EMB 8,955 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS DOW JONES GLOBAL B 189.78 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 137.20 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 131.65 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 131.65 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 131.668.33 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 131.668.33 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 131.668.33 0.00 0.00 0.00 0.00 0.	10/26/2018 7	5501858 REMINGTON, THOMAS	PROOFPOINT INC	S	12,406.95	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,228.11 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 2,744.46 0.00 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,308.52 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR S&P EM B 89.55 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS DW JONES GLOBAL B 189.78 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P WORLD EX-US B 245.76 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 312.65 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/26/2018 7	5501858 REMINGTON, THOMAS	S&P GLOBAL INC COM	S	6,847.20	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501870 ESKENAZI, PHOEBE COPART INC S 2,744.46 0.00 0.00 0.00 70.0 0.00 10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 70.0 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,3039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR S&P EM B 89.55 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS DOW JONES GLOBAL B 189.78 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 137.20 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 312.65 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 99.56 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/18/2018 7	5501870 ESKENAZI, PHOEBE	ECHO GLOBAL LOGISTICS INC COM	S	2,575.96	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501870 ESKENAZI, PHOEBE LENNOX INTL INC S 2,934.02 0.00 0.00 0.00 70.0 0.00 0.00 10/22/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 70.0 0.00 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 70.0 0.00 0.00 0.00 70.0 0.0	10/19/2018 7	5501870 ESKENAZI, PHOEBE	BIOGEN INC	S	3,228.11	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501870 ESKENAZI, PHOEBE AMAZON.COM INC B 8,999.90 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	10/19/2018 7	5501870 ESKENAZI, PHOEBE	COPART INC	S	2,744.46	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501870 ESKENAZI, PHOEBE AMEDISYS INC S 992.70 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	10/19/2018 7	5501870 ESKENAZI, PHOEBE	LENNOX INTL INC	S	2,934.02	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501870 ESKENAZI, PHOEBE BIOGEN INC S 3,039.00 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR S&P EM B 89.55 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS DOW JONES GLOBAL B 189.78 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P WORLD EX-US B 245.76 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 312.65 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 0.00 70.0 0.00 09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0.0	10/22/2018 7	5501870 ESKENAZI, PHOEBE	AMAZON.COM INC	В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501870 ESKENAZI, PHOEBE PROOFPOINT INC S 3,308.52 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0.0	10/26/2018 7	5501870 ESKENAZI, PHOEBE	AMEDISYS INC	S	992.70	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501870 ESKENAZI, PHOEBE S&P GLOBAL INC COM S 1,711.80 0.00 0.00 0.00 70.0 0.00 0.9/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR S&P EM B 89.55 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	10/26/2018 7	5501870 ESKENAZI, PHOEBE	BIOGEN INC	S	3,039.00	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHARES FUNDS SPDR S&P EM B 89.55 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	10/26/2018 7	5501870 ESKENAZI, PHOEBE	PROOFPOINT INC	S	3,308.52	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS DOW JONES GLOBAL B 189.78 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	10/26/2018 7	5501870 ESKENAZI, PHOEBE	S&P GLOBAL INC COM	S	1,711.80	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P INTERNATIONA B 137.20 0.00 0.00 0.00 70.0 0.00 0.00 0.00	09/28/2018 7	5501883 MARTIN, KATHY	SPDR INDEX SHARES FUNDS SPDR S&	AP EM B	89.55	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P WORLD EX-US B 245.76 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	09/28/2018 7	5501883 MARTIN, KATHY	SPDR INDEX SHS FDS DOW JONES GI	LOBAL B	189.78	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR INDEX SHS FDS S&P EMERGING MAR B 312.65 0.00 0.00 0.00 70.0 0.00 0.9/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 70.0 0.00 0.9/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 70.0 0.00	09/28/2018 7	5501883 MARTIN, KATHY	SPDR INDEX SHS FDS S&P INTERNAT	TIONA B	137.20	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 1000 ETF S 1,668.33 0.00 0.00 0.00 70.0 0.00 0.9/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 70.0 0.00	09/28/2018 7	5501883 MARTIN, KATHY	SPDR INDEX SHS FDS S&P WORLD EX	K-US B	245.76	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR RUSSELL 2000 ETF S 99.56 0.00 0.00 0.00 70.0 0.00	09/28/2018 7	5501883 MARTIN, KATHY	SPDR INDEX SHS FDS S&P EMERGING	MAR B	312.65	0.00	0.00	0.00	70.0	0.00
	09/28/2018 7	5501883 MARTIN, KATHY	SPDR RUSSELL 1000 ETF	S	1,668.33	0.00	0.00	0.00	70.0	0.00
09/28/2018 75501883 MARTIN, KATHY SPDR SERIES TRUST SPDR PORTFOLIO IN B 66.57 0.00 0.00 0.00 70.0 0.00	09/28/2018 7	5501883 MARTIN, KATHY	SPDR RUSSELL 2000 ETF	S	99.56	0.00	0.00	0.00	70.0	0.00
	09/28/2018 7	5501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR PORTFOLI	O IN B	66.57	0.00	0.00	0.00	70.0	0.00

11/13/2018 COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER	TRANSA	CTIONS					
PRODUCT CAT	regory: stocks								
09/28/2018 75	5501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG	BA B	163.43	0.00	0.00	0.00	70.0	0.00
09/28/2018 75	5501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG	BA B	443.31	0.00	0.00	0.00	70.0	0.00
09/28/2018 75	5501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG	BA B	27.60	0.00	0.00	0.00	70.0	0.00
09/28/2018 75	5501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG	BA B	202.98	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501884 MARTIN, KATHY	ECHO GLOBAL LOGISTICS INC COM	S	6,826.28	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501884 MARTIN, KATHY	BIOGEN INC	S	8,070.27	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501884 MARTIN, KATHY	COPART INC	S	7,484.88	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501884 MARTIN, KATHY	LENNOX INTL INC	S	6,846.05	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501884 MARTIN, KATHY	AMAZON.COM INC	В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501884 MARTIN, KATHY	AMEDISYS INC	S	3,474.45	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501884 MARTIN, KATHY	BIOGEN INC	S	7,597.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501884 MARTIN, KATHY	PROOFPOINT INC	S	7,857.74	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501884 MARTIN, KATHY	S&P GLOBAL INC COM	S	4,279.50	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501888 KAYLOR, KEVIN	ECHO GLOBAL LOGISTICS INC COM	S	1,287.98	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501888 KAYLOR, KEVIN	BIOGEN INC	S	1,614.05	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501888 KAYLOR, KEVIN	COPART INC	S	1,496.98	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501888 KAYLOR, KEVIN	LENNOX INTL INC	S	978.01	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501888 KAYLOR, KEVIN	AMAZON.COM INC	В	3,599.96	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501888 KAYLOR, KEVIN	AMEDISYS INC	S	496.35	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501888 KAYLOR, KEVIN	BIOGEN INC	S	1,519.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501888 KAYLOR, KEVIN	PROOFPOINT INC	S	1,654.26	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501888 KAYLOR, KEVIN	S&P GLOBAL INC COM	S	855.90	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501890 KAYLOR, KEVIN	ECHO GLOBAL LOGISTICS INC COM	S	8,629.45	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501890 KAYLOR, KEVIN	BIOGEN INC	S	11,298.38	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501890 KAYLOR, KEVIN	COPART INC	S	9,480.85	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501890 KAYLOR, KEVIN	LENNOX INTL INC	S	8,802.06	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501890 KAYLOR, KEVIN	AMAZON.COM INC	В	3,599.96	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501890 KAYLOR, KEVIN	AMEDISYS INC	S	4,467.15	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501890 KAYLOR, KEVIN	BIOGEN INC	S	9,117.01	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501890 KAYLOR, KEVIN	PROOFPOINT INC	S	11,166.26	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501890 KAYLOR, KEVIN	S&P GLOBAL INC COM	S	5,991.30	0.00	0.00	0.00	70.0	0.00
10/18/2018 75	5501914 NATASHA RAJ AHUJA	ECHO GLOBAL LOGISTICS INC COM	S	4,250.33	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501914 NATASHA RAJ AHUJA	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501914 NATASHA RAJ AHUJA	COPART INC	S	4,740.42	0.00	0.00	0.00	70.0	0.00
10/19/2018 75	5501914 NATASHA RAJ AHUJA	LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/22/2018 75	5501914 NATASHA RAJ AHUJA	AMAZON.COM INC	В	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501914 NATASHA RAJ AHUJA	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501914 NATASHA RAJ AHUJA	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501914 NATASHA RAJ AHUJA	PROOFPOINT INC	S	5,376.35	0.00	0.00	0.00	70.0	0.00
10/26/2018 75	5501914 NATASHA RAJ AHUJA	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00

GROSS

CLEARING

NET

INVESTMENT

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BROKER

Page No.

TRADE DATE	CLIENT	INVESTMEN	Τ		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
				BROKER	TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS										
10/18/2018 7	5501946 ANYA P AHUJA 1999	ECHO GLOBAL	LOGISTICS	INC COM	S	4,250.33	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501946 ANYA P AHUJA 1999	BIOGEN INC			S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501946 ANYA P AHUJA 1999	COPART INC			S	4,740.42	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501946 ANYA P AHUJA 1999	LENNOX INTL	INC		S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/22/2018 7	5501946 ANYA P AHUJA 1999	AMAZON.COM	INC		В	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501946 ANYA P AHUJA 1999	AMEDISYS IN	IC		S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501946 ANYA P AHUJA 1999	BIOGEN INC			S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501946 ANYA P AHUJA 1999	PROOFPOINT	INC		S	5,376.35	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501946 ANYA P AHUJA 1999	S&P GLOBAL	INC COM		S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018 7	5501953 MCCRAY, ROBIN	ECHO GLOBAL	LOGISTICS	INC COM	S	2,060.77	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501953 MCCRAY, ROBIN	BIOGEN INC			S	3,228.11	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501953 MCCRAY, ROBIN	COPART INC			S	2,245.46	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501953 MCCRAY, ROBIN	LENNOX INTL	INC		S	2,934.02	0.00	0.00	0.00	70.0	0.00
10/22/2018 7	5501953 MCCRAY, ROBIN	AMAZON.COM	INC		В	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501953 MCCRAY, ROBIN	AMEDISYS IN	IC		S	992.70	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501953 MCCRAY, ROBIN	BIOGEN INC			S	1,519.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501953 MCCRAY, ROBIN	PROOFPOINT	INC		S	2,481.39	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501953 MCCRAY, ROBIN	S&P GLOBAL	INC COM		S	855.90	0.00	0.00	0.00	70.0	0.00
10/18/2018 7	5501960 BLUE PELICAN LLC	ECHO GLOBAL	LOGISTICS	INC COM	S	15,970.93	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501960 BLUE PELICAN LLC	BIOGEN INC			S	20,982.71	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501960 BLUE PELICAN LLC	COPART INC			S	17,464.72	0.00	0.00	0.00	70.0	0.00
10/19/2018 7	5501960 BLUE PELICAN LLC	LENNOX INTL	INC		S	16,626.12	0.00	0.00	0.00	70.0	0.00
10/22/2018 7	5501960 BLUE PELICAN LLC	AMAZON.COM	INC		В	17,999.80	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501960 BLUE PELICAN LLC	AMEDISYS IN	IC		S	7,445.25	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501960 BLUE PELICAN LLC	BIOGEN INC			S	16,714.51	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501960 BLUE PELICAN LLC	PROOFPOINT	INC		S	21,091.82	0.00	0.00	0.00	70.0	0.00
10/26/2018 7	5501960 BLUE PELICAN LLC	S&P GLOBAL	INC COM		S	10,270.80	0.00	0.00	0.00	70.0	0.00
			* STO	CKS SUBTOT	AL *	\$1,108,674.55	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: OPTIONS										
•	5501395 OLMSTEAD, VAN	CALL PCAR	11/16/18	67.50	В	897.00	20.00	0.00	20.00	70.0	14.00
10/03/2018 7	5501395 OLMSTEAD, VAN	CALL EFA	12/21/18	66	В	840.00	20.00	0.00	20.00	70.0	14.00
	5501395 OLMSTEAD, VAN	CALL HBAN	01/18/19	14	S	536.00	20.00	0.00		70.0	14.00
	5501395 OLMSTEAD, VAN	CALL SYMC	01/18/19	19	В	714.00	20.00	0.00		70.0	14.00
	5501395 OLMSTEAD, VAN	CALL BBY	11/16/18	75	S	482.00	20.00	0.00		70.0	14.00
	5501395 OLMSTEAD, VAN	CALL XLK	12/21/18	72	S	790.00	20.00	0.00	20.00		14.00
	5501395 OLMSTEAD, VAN	PUT HBI	01/18/19	18	S	400.00	20.00	0.00	20.00		14.00
	5501395 OLMSTEAD, VAN	CALL EFA	12/21/18	66	S	210.00	20.00	0.00	20.00		14.00
	5501395 OLMSTEAD, VAN	CALL PCAR	11/16/18	67.50	S	201.00	20.00	0.00	20.00		14.00
	5501395 OLMSTEAD, VAN	PUT HBI	01/18/19	18	S	376.00	20.00	0.00		70.0	14.00

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TRADE DATE CLIENT	INVESTMENT	COMMIDDI	ON BIAILINE	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: OPTIONS										
		10/01/10	7.5	a	075 00	27 50	0.00	27 50	70.0	26.25
10/08/2018 75501726 PENN, SHELDON 10/08/2018 75501726 PENN, SHELDON		12/21/18 01/18/19	75 105	S B	975.00 2,610.00	37.50 73.55	0.00	37.50 73.55	70.0	51.49
10/08/2018 75501726 PENN, SHELDON 10/08/2018 75501726 PENN, SHELDON		12/21/18	75	S	2,865.00	76.21	0.00	76.21	70.0	53.35
10/08/2018 75501726 PENN, SHELDON		01/20/17	222	S	1,890.00	63.22	0.00	63.22	70.0	44.25
10/11/2018 75501726 PENN, SHELDON		01/18/19	26	S	2,750.00	88.92	0.00	88.92	70.0	62.24
10/15/2018 75501726 PENN, SHELDON		01/18/19	57.50	В	2,160.00	67.28	0.00	67.28	70.0	47.10
10/15/2018 75501726 PENN, SHELDON		01/18/19	140	S	2,055.00	65.70	0.00	65.70	70.0	45.99
10/22/2018 75501726 PENN, SHELDON		01/18/19	105	S	915.00	37.50	0.00	37.50	70.0	26.25
10/22/2018 75501726 PENN, SHELDON		01/20/17	222	В	1,650.00	61.88	0.00	61.88	70.0	43.32
10/26/2018 75501726 PENN, SHELDON		01/18/19	70	S	4,200.00	90.13	0.00	90.13	70.0	63.09
10/29/2018 75501726 PENN, SHELDON		01/18/19	72.50	В	2,025.00	65.25	0.00	65.25	70.0	45.68
			IONS SUBTO		\$29,541.00	\$927.14	\$0.00	\$927.14		\$649.01
DDODICT CATECODY. WARTADIE AMMITTE	re.				, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,-				
PRODUCT CATEGORY: VARIABLE ANNUITIE	<del>_</del>			ъ	0.00	20.00	0.00	20.00	70.0	27.00
10/03/2018 (None) VARIOUS, OLMSTEAD	NATIONWIDE			В	0.00	39.88	0.00	39.88	70.0	27.92
10/10/2018 (None) VARIOUS, OLMSTEAD	JACKSON			В	0.00	8.18	0.00	8.18	70.0	5.73
10/10/2018 (None) VARIOUS, OLMSTEAD 10/18/2018 (None) VARIOUS, OLMSTEAD	NATIONWIDE NATIONWIDE			B B	0.00	124.73 41.68	0.00	124.73 41.68	70.0 70.0	87.31 29.18
10/16/2016 (NONE) VARIOUS, OLMSTEAD			m		0.00		0.00		70.0	
	* VARIA	BLE ANNUI	TIES SUBTO	TAL *	\$0.00	\$214.47	\$0.00	\$214.47		\$150.14
PRODUCT CATEGORY: RIA										
10/01/2018 75501391 BORISH, MURIEL	FAS FEES PT.	I/III		В	-550.33	183.44	0.00	183.44		128.41
10/01/2018 75501727 PENN, SHELDON	FAS FEES PT.	I/III		В	-1,985.62	661.87	0.00	661.87		463.31
10/01/2018 75501733 OLMSTEAD, CHRISTYN	FAS FEES PT.			В	-761.36	253.78	0.00	253.78	70.0	177.65
10/01/2018 75501772 OLMSTEAD, ELIZABETH	FAS FEES PT.			В	-245.62	81.87	0.00	81.87		57.31
10/01/2018 75501773 SMITH, GLENN	FAS FEES PT.			В	-1,195.80	398.60	0.00	398.60	70.0	279.02
10/01/2018 75501774 SMITH, GLENN	FAS FEES PT.			В	-1,009.64	336.54	0.00	336.54		235.58
10/01/2018 75501778 VORMWALD, G ROBERT	FAS FEES PT.			В	-3,077.91	1,025.97	0.00	1,025.97		718.18
10/01/2018 75501779 OLMSTEAD, VAN	FAS FEES PT.			В	-1,187.02	395.67	0.00	395.67	70.0	276.97
10/01/2018 75501780 OLMSTEAD, VAN	FAS FEES PT.			В _	-523.26	174.42	0.00	174.42	70.0	122.09
10/01/2018 75501781 HALLAM, DONALD	FAS FEES PT.			В _	-377.81	125.93	0.00	125.93		88.15
10/01/2018 75501782 HALLAM, DONALD	FAS FEES PT.			В	-359.38	119.79	0.00	119.79		83.85
10/01/2018 75501783 HALLAM, DONALD	FAS FEES PT.			В	-4,530.79	1,510.26	0.00	1,510.26		1,057.18
10/01/2018 75501784 HALLAM, DONALD	FAS FEES PT.			В	-1,486.59	495.53	0.00	495.53		346.87
10/01/2018 75501805 BARBARAS, GLEN	FAS FEES PT.			В	-1,291.67	430.55	0.00	430.55		301.39
10/01/2018 75501807 WRIGHT, PAMELA	FAS FEES PT.			В	-1,788.23	596.07	0.00	596.07		417.25
10/01/2018 75501808 WRIGHT, PAMELA	FAS FEES PT.			В	-804.36	268.12	0.00	268.12		187.68
10/01/2018 75501817 GELLIS, HOWARD	FAS FEES PT.			В	-5,402.63	1,800.87	0.00	1,800.87		1,260.61
10/01/2018 75501820 KLAHN, ROBERT	FAS FEES PT.			В	-1,051.81	350.60	0.00	350.60		245.42
10/01/2018 75501822 KLAHN, ROBERT	FAS FEES PT.	T/TII		В	-1,390.04	463.34	0.00	463.34	70.0	324.34

10/01/2018 75501855 LOVE, MARGARET	TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: RIA   10/01/2018 75501857 MMINIMITON, THOMAS   FAS PERS PT. 1/111   B   -29,945.99   97.31   0.00   99.31   70.0   10/01/2018 75501858 MENIMINITON, THOMAS   FAS PERS PT. 1/111   B   -2,945.99   888.66   0.00   988.65   70.0   10/01/2018 75501859 LOVE, MARGARET   FAS PERS PT. 1/111   B   -346.44   162.14   0.00   182.14   70.0   10/01/2018 75501850 LOVE, MARGARET   FAS PERS PT. 1/111   B   -1,811.05   838.68   0.00   283.68   70.0   10/01/2018 75501866 LOVE, MARGARET   FAS PERS PT. 1/111   B   -1,811.05   537.18   0.00   537.18   70.0   10/01/2018 75501866 MARGARET   FAS PERS PT. 1/111   B   -461.61   55   557.18   0.00   537.18   70.0   10/01/2018 75501866 MARGARET   FAS PERS PT. 1/111   B   -444.66   241.55   0.00   729.83   70.0   10/01/2018 75501868 MARTIN, MARTIY   FAS PERS PT. 1/111   B   -415.06   138.35   0.00   729.83   70.0   10/01/2018 75501888 MARTIN, MARTIY   FAS PERS PT. 1/111   B   -451.61   30.05   0.00   30.05   70.0   10/01/2018 75501888 MARTIN, MARTIY   FAS PERS PT. 1/111   B   -401.66   200.66   0.00   200.55   70.0   10/01/2018 75501888 MARTIN, MARTIY   FAS PERS PT. 1/111   B   -401.66   200.66   0.00   200.55   70.0   10/01/2018 75501888 MARTIN, MARTIY   FAS PERS PT. 1/111   B   -4.786.23   908.72   0.00   908.71   70.0   10/01/2018 75501888 MARTIN, MARTIY   FAS PERS PT. 1/111   B   -1.924.80   464.28   0.00   464.28   70.0   464.28   70.0   10/01/2018 7550189 MARTIN, MARTIN   FAS PERS PT. 1/111   B   -1.924.80   464.28   0.00   464.28   70.0   464.28   70.0   70			DD0WID ID1WG	3 GTT011G					
19/01/2018 75501857 MEXINGTON, THOMAS			BROKER TRANS.	ACTIONS					
10/01/2018 75501866 REMINISTON, TOURAS   FAS FEES PT. 1/III   B   -2.965.99   988.66   0.00   988.66   70.0   10/01/2018 75501860 LOVE, MARCARET   PAS FEES PT. 1/III   B   -5.66.44   10/21.4   0.00   383.66   70.0   7	PRODUCT CATEGORY: RIA								
10/01/2018 75501869   LOVE, MARGARET   PAB PEES PT. L/III   B   -546.44   182.14   0.00   182.14   70.0   10/01/2018 75501860   LOVE, MARGARET   PAB FEES PT. L/III   B   -1,511.05   383.68   0.00   383.68   70.0   10/01/2018 75501860   BEALESS, USPPREY   PAB PEES PT. L/III   B   -844.66   281.55   0.00   281.55   70.0   10/01/2018 75501860   BEALESS, USPPREY   PAB PEES PT. L/III   B   -844.66   281.55   0.00   281.55   70.0   10/01/2018 75501860   BEALESS, USPPREY   PAB PEES PT. L/III   B   -2,185.50   725.83   0.00   729.83   70.0   10/01/2018 75501886   MARCIN, KATHY   PAB PEES PT. L/III   B   -2,185.50   0.00   383.56   70.0   10/01/2018 75501886   MARCIN, KATHY   PAB PEES PT. L/III   B   -2,185.50   0.00   138.35   70.0   10/01/2018 75501886   MARCIN, KATHY   PAB PEES PT. L/III   B   -415.04   271.74   0.00   200.56   0.00   200.56   0.00   10/01/2018 75501886   MARCIN, KEVIN   PAB PEES PT. L/III   B   -415.06   0.00   0	10/01/2018 75501857 REMINGTON, THOMAS	FAS FEES PT. I/	III B	-291.94	97.31	0.00	97.31	70.0	68.12
10/01/2018 75501866 WELASHA, USFFREY PAS FEES PT, I/III	10/01/2018 75501858 REMINGTON, THOMAS	FAS FEES PT. I/	III B	-2,965.99	988.66	0.00	988.66	70.0	692.06
10/01/2018 75501866 WELAISH, JEFFREY PAS FERS PT. I/III	10/01/2018 75501859 LOVE, MARGARET	FAS FEES PT. I/	III B	-546.44	182.14	0.00	182.14	70.0	127.50
10/01/2018 75501850   ESKENAZI, PHOBEE   PAS PEBS PT.   1/111   B   -344.66   281.55   0.00   281.55   70.0     10/01/2018 75501884   MANTIN, KATHY   PAS PEBS PT.   1/111   B   -615.24   271.74   0.00   271.74   70.0     10/01/2018 75501885   MANTIN, KATHY   PAS PEBS PT.   1/111   B   -615.24   271.74   0.00   271.74   70.0     10/01/2018 75501885   MANTIN, KATHY   PAS PEBS PT.   1/111   B   -615.24   271.74   0.00   271.74   70.0     10/01/2018 75501885   MANTIN, KATHY   PAS PEBS PT.   1/111   B   -615.26   138.35   0.00   138.35   70.0     10/01/2018 75501889   MATICA, KEVIN   PAS PEBS PT.   1/111   B   -272.513   908.71   0.00   908.71   70.0     10/01/2018 75501894   MATICA, KEVIN   PAS PEBS PT.   1/111   B   -278.59   908.71   0.00   908.71   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -278.59   92.86   0.00   92.86   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -1,080.61   360.20   0.00   360.20   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -1,080.61   360.20   0.00   360.20   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -380.01   116.67   0.00   126.67   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -426.35   220.78   0.00   220.78   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   92.26   0.00   92.26   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   92.26   0.00   92.26   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   93.28   0.00   \$819.87   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   93.28   0.00   \$819.87   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   93.28   0.00   \$819.87   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   93.28   0.00   \$819.87   70.0     10/01/2018 75501925   MANTIN, KEVIN   PAS PEBS PT.   1/111   B   -476.78   93.28	10/01/2018 75501860 LOVE, MARGARET	FAS FEES PT. I/	III B	-1,151.05	383.68	0.00	383.68	70.0	268.58
10/01/2018 75501884 MARTIN, KATHY	10/01/2018 75501866 WELAISH, JEFFREY	FAS FEES PT. I/	III B	-1,611.55	537.18	0.00	537.18	70.0	376.03
10/01/2018 75501885 MARTIN, KATHY	10/01/2018 75501870 ESKENAZI, PHOEBE	FAS FEES PT. I/	III B	-844.66	281.55	0.00	281.55	70.0	197.09
10/01/2018 75501388 KAYLOR, KEVIN   FAS FEES PT. I/III   B	10/01/2018 75501884 MARTIN, KATHY	FAS FEES PT. I/	III B	-2,189.50	729.83	0.00	729.83	70.0	510.88
10/01/2018 75501889 KAYLOR, KEVIN PAS FEES PT. 1/111 B -0.01.69 200.56 0.00 200.56 70.0 10/01/2018 75501940 KAYLOR, KEVIN PAS FEES PT. 1/111 B -1.792.13 908.71 0.00 908.71 70.0 10/01/2018 75501914 NATASAHA RAJ AHUJA PAS FEES PT. 1/111 B -1.792.86 464.28 0.00 464.28 70.0 10/01/2018 75501926 KILPATRICK, CHARLES FAS FEES PT. 1/111 B -278.59 92.86 0.00 32.86 70.0 10/01/2018 75501926 KILPATRICK, CHARLES FAS FEES PT. 1/111 B -1.080.61 360.20 0.00 360.20 70.0 10/01/2018 75501926 KILPATRICK, CHARLES FAS FEES PT. 1/111 B -1.392.30 464.10 0.00 464.10 70.0 10/01/2018 75501953 MOMENLA, WILLIAM PAS FEES PT. 1/111 B -380.01 126.67 0.00 126.67 70.0 10/01/2018 75501953 MOMENLA, WILLIAM PAS FEES PT. 1/111 B -380.01 126.67 0.00 126.67 70.0 10/01/2018 75501954 CANCAY, ROBIN PAS FEES PT. 1/111 B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. 1/111 B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. 1/111 B -4.605.10 1,535.03 0.00 1.555.03 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -4.605.10 1,535.03 0.00 1.555.03 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -1.605.10 1,535.03 0.00 1.555.03 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -1.605.10 1,535.03 0.00 1.555.03 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -1.605.10 1,535.03 0.00 1.555.03 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -1.799.5 393.28 0.00 393.28 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -1.799.5 393.28 0.00 393.28 70.0 10/01/2018 75501956 MCCRAY, GAIL FAS FEES PT. 1/111 B -1.605.10 1,535.03 0.00 1.555.03 70.0 1.555.03 70.0 1.0071/2018 75002088 KLAHN, ROBERT FAS FEES PT. 1/111 B -1.799.5 393.28 0.00 393.28 70.0 1.0071/2018 75002088 KLAHN, ROBERT FAS FEES PT. 1/111 B -1.7018 75002088 KLAHN, ROBERT FAS FEES PT. 1/111 B -1.7018 75002088 KLAHN, ROBERT FAS FEES PT. 1/111 B -1.7018 75002088 KLAHN, ROBERT FAS FEES PT. 1/111 B -1.7018 75002088 KLAHN, ROBERT FAS FEES PT. 1/111 B -1.7018 7500208 7500208 7500208 7500208 7500208 7500	10/01/2018 75501885 MARTIN, KATHY	FAS FEES PT. I/	III B	-815.24	271.74	0.00	271.74	70.0	190.22
10/01/2018 75501890 KAYLOR, KEVIN FAS FEES PT. 1/111 B -2,726.13 908.71 0.00 908.71 70.0 10/01/2018 75501924 NATASHA RAJ ABUUTA FAS FEES PT. 1/111 B -1,392.86 444.28 0.00 444.28 70.0 10/01/2018 75501925 HOMERUL, WILLIAM FAS FEES PT. 1/111 B -278.59 92.86 0.00 92.86 70.0 10/01/2018 75501926 KILPATRICK, CHARLES FAS FEES PT. 1/111 B -1,080.61 360.20 0.00 360.20 70.0 10/01/2018 75501926 KILPATRICK, CHARLES FAS FEES PT. 1/111 B -1,392.30 444.10 0.00 464.10 70.0 10/01/2018 75501936 ANYA P AHUJA 1999 FAS FEES PT. 1/111 B -380.01 126.67 0.00 126.67 70.0 10/01/2018 75501952 HOMERUL, WILLIAM FAS FEES PT. 1/111 B -380.01 126.67 0.00 126.67 70.0 10/01/2018 75501954 MCCRAY, ROBIN FAS FEES PT. 1/111 B -662.36 220.78 0.00 220.78 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. 1/111 B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. 1/111 B -4,605.10 1,535.03 0.00 1,535.03 70.0 1.00/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. 1/111 B -4,605.10 1,535.03 0.00 333.28 70.0 10/01/2018 75501960 BULE PELICAN LIC FAS FEES PT. 1/111 B -1,179.85 339.28 0.00 333.28 70.0 10/01/2018 75501960 BULE PELICAN LIC FAS FEES PT. 1/111 B -1,179.85 339.28 0.00 333.28 70.0 10/01/2018 75501960 BULE PELICAN LIC FAS FEES PT. 1/111 B -1,179.85 339.28 0.00 333.28 70.0 10/01/2018 75501960 BULE PELICAN LIC FAS FEES PT. 1/111 B -1,179.85 339.28 0.00 818,142.39 \$12, PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  ***********************************	10/01/2018 75501888 KAYLOR, KEVIN	FAS FEES PT. I/	III B	-415.06	138.35	0.00	138.35	70.0	96.85
10/01/2018 75501914 NATASHA RAJ AHUJA   FAS FEES PT. I/III   B	10/01/2018 75501889 KAYLOR, KEVIN	FAS FEES PT. I/	III B	-601.69	200.56	0.00	200.56	70.0	140.39
10/01/2018 75501925 HONELL, WILLIAM FAS FEES PT. I/III B -278.59 92.86 0.00 92.86 70.0 10/01/2018 75501926 KILDATRICK, CHARLES FAS FEES PT. I/III B -1.080.61 360.20 0.00 360.20 70.0 10/01/2018 75501926 KILDATRICK, CHARLES FAS FEES PT. I/III B -1.392.30 464.10 0.00 464.10 70.0 10/01/2018 75501925 HONELL, WILLIAM FAS FEES PT. I/III B -380.01 126.67 0.00 126.67 70.0 10/01/2018 75501925 HONELL, WILLIAM FAS FEES PT. I/III B -380.01 126.67 0.00 220.78 70.0 10/01/2018 75501950 MCCRAY, ROBIN FAS FEES PT. I/III B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501950 MCCRAY, GALL FAS FEES PT. I/III B -4.665.10 1.535.03 0.00 1.535.03 70.0 10/01/2018 75501950 MCCRAY, GALL FAS FEES PT. I/III B -4.605.10 1.535.03 0.00 92.26 70.0 10/01/2018 75501950 MCCRAY, GALL FAS FEES PT. I/III B -1.179.85 393.28 0.00 393.28 70.0 11/01/2018 75501950 MCCRAY, GALL FAS FEES PT. I/III B -1.179.85 393.28 0.00 393.28 70.0 11/01/2018 75501950 MCCRAY, GALL FAS FEES PT. I/III B -1.179.85 393.28 0.00 933.28 70.0 11/01/2018 75501950 MCCRAY, GALL FAS FEES PT. I/III B -1.179.85 393.28 0.00 933.28 70.0 11/01/2018 FEE COUNT - 88 0.00 819.87 0.00 819.87 0.00 819.87 70.0 11/01/2018 MUTUAL FUND 12B1 TRAILS SUBTOTAL ** \$0.00 \$19.87 0.00 \$19.87 70.0 \$19.87 70.0 \$19.87 70.0 \$19.87 70.0 \$19.87 70.0 \$19.87 70.0 \$19.87 70.0 \$10.731/2018 DEUNS:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/01/2018 75501890 KAYLOR, KEVIN	FAS FEES PT. I/	III B	-2,726.13	908.71	0.00	908.71	70.0	636.10
10/01/2018 75501926 KILPATRICK, CHARLES FAS FEES PT. I/III B -1,080.61 360.20 0.00 360.20 70.0 10/01/2018 75501946 ANNA P AHUVA 1999 PAS FEES PT. I/III B -1,392.30 464.10 0.00 464.10 70.0 10/01/2018 75501953 MCCRAY, ROBIN PAS FEES PT. I/III B -880.01 126.67 0.00 1226.67 70.0 10/01/2018 75501953 MCCRAY, ROBIN PAS FEES PT. I/III B -662.36 220.78 0.00 220.78 70.0 10/01/2018 75501960 BLUE PELICAN LLC PAS FEES PT. I/III B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501960 BLUE PELICAN LLC PAS FEES PT. I/III B -74,605.10 1,535.03 0.00 1,535.03 70.0 1, 10/01/2018 75501960 BLUE PELICAN LLC PAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT PAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT PAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT PAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT PAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT PAS FEES PT. I/III B -1,179.85 393.28 0.00 818.142.39 \$12, 10/01/2018 PEEC CUNT - 88  0.00 819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$819.87 \$0.00 \$9.00	10/01/2018 75501914 NATASHA RAJ AHUJA	FAS FEES PT. I/	III B	-1,392.86	464.28	0.00	464.28	70.0	325.00
10/01/2018 75501946 ANYA F AHUJA 1999 FAS FEES PT. I/III B -1,392.30 464.10 0.00 464.10 70.0 10/01/2018 75501952 HOWELL, WILLIAM FAS FEES PT. I/III B -380.01 126.67 0.00 126.67 70.0 126.07 70.0 10/01/2018 75501953 MCCRAY, ROBIN FAS FEES PT. I/III B -60.26 220.78 0.00 220.78 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. I/III B -60.26 20.78 92.26 0.00 92.26 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. I/III B -40.605.10 1.535.03 0.00 1.535.03 70.0 1.001/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1.179.85 393.28 0.00 393.28 70.0 10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1.179.85 393.28 0.00 393.28 70.0 10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1.179.85 393.28 0.00 393.28 70.0 11/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1.179.85 393.28 0.00 \$819.87 70.0 11/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1.179.85 393.28 0.00 \$819.87 70.0 11/01/2018 FEE COUNT - 88 0.00 819.87 0.00 819.87 0.00 819.87 70.0 11/01/2018 FEE COUNT - 88 0.00 819.87 0.00 819.87 0.00 819.87 70.0 11/01/2018 TRAILS SUBTOTAL *** \$1.094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14, 11/01/2018 DEUNS:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/01/2018 75501925 HOWELL, WILLIAM	FAS FEES PT. I/	III B	-278.59	92.86	0.00	92.86	70.0	65.00
10/01/2018 75501952 HOWELL, WILLIAM FAS FEES PT. I/III B -380.01 126.67 0.00 126.67 70.0 10/01/2018 75501954 MCCRAY, COSIN FAS FEES PT. I/III B -662.36 220.78 0.00 220.78 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. I/III B -67.78 92.26 0.00 92.26 70.0 10/01/2018 75501956 BUUE FEDICAN LLC FAS FEES PT. I/III B -4,605.10 1,535.03 0.00 1,535.03 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1,179.85 333.28 0.00 333.28 70.0 10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1,179.85 333.28 0.00 333.28 70.0 10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1,179.85 333.28 0.00 818,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 \$10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 \$10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 \$10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12, 10/01/2018 \$10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$10/01/2018 \$10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$18,142.39 \$12, 10/01/2018 \$10/01/2018 FEE COUNT - 88 5.54,427.58 \$18,142.39 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$18,142.39 \$12, 10/01/2018 \$10/01/	10/01/2018 75501926 KILPATRICK, CHARLES	FAS FEES PT. I/	III B	-1,080.61	360.20	0.00	360.20	70.0	252.14
10/01/2018 75501953 MCCRAY, ROBIN FAS FEES PT. I/III B -662.36 220.78 0.00 220.78 70.0 10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. I/III B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501960 BLUE PELICAN LLC FAS FEES PT. I/III B -4,605.10 1,535.03 0.00 1,535.03 70.0 1,00/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 ** ** ** ** ** ** ** ** ** ** ** ** **	10/01/2018 75501946 ANYA P AHUJA 1999	FAS FEES PT. I/	III B	-1,392.30	464.10	0.00	464.10	70.0	324.87
10/01/2018 75501954 MCCRAY, GAIL FAS FEES PT. I/III B -276.78 92.26 0.00 92.26 70.0 10/01/2018 75501960 BLUE PELICAN LLC FAS FEES PT. I/III B -4,605.10 1,535.03 0.00 1,535.03 70.0 1,00/1/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1,179.85 393.28 0.00 393.28 70.0 *RIA SUBTOTAL * \$-54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12,	10/01/2018 75501952 HOWELL, WILLIAM	FAS FEES PT. I/	III B	-380.01	126.67	0.00	126.67	70.0	88.67
10/01/2018 75501960 BLUE PELICAN LLC FAS FEES PT. I/III B -4.605.10 1,535.03 0.00 1,535.03 70.0 1, 10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. I/III B -1.179.85 393.28 0.00 393.28 70.0 70.0 70.0 70.0 70.0 70.0 70.0 70.	10/01/2018 75501953 MCCRAY, ROBIN	FAS FEES PT. I/	III B	-662.36	220.78	0.00	220.78	70.0	154.55
10/01/2018 75502088 KLAHN, ROBERT FAS FEES PT. 1/III B -1,179.85 393.28 0.00 393.28 70.0 \$12, \$12, \$12, \$12, \$13, \$12, \$13, \$12, \$13, \$12, \$13, \$12, \$13, \$13, \$13, \$13, \$13, \$13, \$13, \$13	10/01/2018 75501954 MCCRAY, GAIL	FAS FEES PT. I/	III B	-276.78	92.26	0.00	92.26	70.0	64.58
* RIA SUBTOTAL * \$-54,427.58 \$18,142.39 \$0.00 \$18,142.39 \$12,  PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  10/31/2018 FEE COUNT - 88	10/01/2018 75501960 BLUE PELICAN LLC	FAS FEES PT. I/	III B	-4,605.10	1,535.03	0.00	1,535.03	70.0	1,074.52
### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS    10/31/2018	10/01/2018 75502088 KLAHN, ROBERT	FAS FEES PT. I/	III B	-1,179.85	393.28	0.00	393.28	70.0	275.30
10/31/2018   FEE COUNT - 88   0.00   819.87   0.00   819.87   70.0   819.87   70.0   819.87   70.0   819.87			* RIA SUBTOTAL *	\$-54,427.58	\$18,142.39	\$0.00	\$18,142.39		\$12,699.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$819.87 \$0.00 \$819.87 \$  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  **** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$0.0	PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
#*** BROKER TRANSACTIONS TOTAL *** \$1,094,587.97 \$20,199.28 \$0.00 \$20,199.28 \$14,  ADJUSTMENTS    10/31/2018	10/31/2018	FEE COUNT - 88		0.00	819.87	0.00	819.87	70.0	570.65
ADJUSTMENTS  DEUNB: UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.		* MUTUAL FUND 12	2B1 TRAILS SUBTOTAL *	\$0.00	\$819.87	\$0.00	\$819.87		\$570.65
10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.		*** BROKER TI	RANSACTIONS TOTAL ***	\$1,094,587.97	\$20,199.28	\$0.00	\$20,199.28		\$14,136.30
10/31/2018       DEUNB: UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB: UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -1,         10/31/2018       DEUNB: UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB: UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB: UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00 <td< td=""><td></td><td></td><td>ADJUSTME</td><td>NTS</td><td></td><td></td><td></td><td></td><td></td></td<>			ADJUSTME	NTS					
10/31/2018       DEUNB:UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED       QRTRLY       FEE       (LPCM):       0.00 </td <td>10/31/2018</td> <td>DEUNB: UNBUNDLED</td> <td>ORTRLY FEE (I.PCM):</td> <td>0.00</td> <td>0.00</td> <td>0.00</td> <td>0.00</td> <td></td> <td>-447.68</td>	10/31/2018	DEUNB: UNBUNDLED	ORTRLY FEE (I.PCM):	0.00	0.00	0.00	0.00		-447.68
10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00									-397.12
10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       -1,         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -1,         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -1,         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       0.00       -1,         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.0			-						-363.20
10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -									-1,196.19
10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       -         10/31/2018       DEUNB:UNBUNDLED QRTRLY FEE (LPCM):       0.00       0.00       0.00       0.00       0.00       -									-210.38
10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 - 10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 - 10/31/2018									-100.00
10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 -			-						-565.50
									-917.06
10/31/2018 DEUNB:UNBUNDLED QRTRLY FEE (LPCM): 0.00 0.00 0.00 0.00 -	10/31/2018				0.00		0.00		-383.64

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTME	NTS					
10/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-645.91
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-279.37
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-129.23
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-260.55
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-106.57
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-239.16
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-168.93
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-100.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-237.40
10/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-100.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-95.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-279.85
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-258.66
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-620.33
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-141.02
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-134.14
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-160.87
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-415.88
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-891.05
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-155.27
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-196.48
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-297.05
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-279.97
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		OTHER: Q2 CHG - EMPIRICAL	0.00	0.00	0.00	0.00		-2,006.04
10/31/2018		MISCELLANEOUS: DEUNC REV NOV & DEC	0.00	0.00	0.00	0.00		7,532.43
10/31/2018		MISCELLANEOUS: CREDIT AND THEN ADD	0.00	0.00	0.00	0.00		3,766.03
10/31/2018		MISCELLANEOUS: DEUNC OCT FEES	0.00	0.00	0.00	0.00		-2,636.22
10/31/2018		OTHER: AAM - Q3 ADV FEE 1/3	0.00	0.00	0.00	0.00		-2,785.30
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-7,427.56

## BROKER COMMISSION TOTALS \$14,136.30 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions \$-7,427.56 Adjustments Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$-25.25 \$-50.50 SIPC Assessment \$6,632.99 Check Amount \$115,235.51 Year-to-date Earnings