

Bill Payment Stub

Check Date:	10/5/2018
Check No.:	41634
Check Amount:	5,481.64

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK
2817 S. MILFORD RD., STE 101
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
9/15/2018	Bill	COMMISSIONS	1,851.11	1,851.11		1,851.11
9/30/2018	Bill	COMMISSIONS	3,630.53	3,630.53		3,630.53

COMMISSION STATEMENT

September 30, 2018

CHIP KLEINBROOK-D037
 2817 South Milford Road
 Suite 101
 Highland, MI 48357

BROKER #: D037
 BRANCH: DMN

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

09/13/2018	338866458	HICKEY, RUSSELL	S	FORD MOTOR CO NEW	S	-3,174.71	99.15	15.00	84.15	80.0	67.32
09/13/2018	338866458	HICKEY, RUSSELL	S	SEACOAST BANKING CORP FLA NEW	S	-1,443.75	72.79	15.00	57.79	80.0	46.23
09/14/2018	338866458	HICKEY, RUSSELL	S	CANOPY GROWTH CORP ISIN	B	5,731.83	100.00	15.00	85.00	80.0	68.00
				* STOCKS SUBTOTAL *		\$1,113.37	\$271.94	\$45.00	\$226.94		\$181.55

PRODUCT CATEGORY: MISCELLANEOUS

08/30/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368460213	S	0.00	20.00	0.00	20.00	80.0	16.00
08/30/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368460213	S	0.00	20.00	0.00	20.00	80.0	16.00
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$40.00	\$0.00	\$40.00		\$32.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/11/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.46	0.00	6.46	80.0	5.17
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	4.64	0.00	4.64	80.0	3.71
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	10.32	0.00	10.32	80.0	8.26
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	28.74	0.00	28.74	80.0	22.99
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	16.54	0.00	16.54	80.0	13.23
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	33.07	0.00	33.07	80.0	26.46
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.42	0.00	0.42	80.0	0.34
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.48	0.00	0.48	80.0	0.38
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.82	0.00	0.82	80.0	0.66
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	6.14	0.00	6.14	80.0	4.91
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.05	0.00	1.05	80.0	0.84
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	7.99	0.00	7.99	80.0	6.39
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	0.69	0.00	0.69	80.0	0.55
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.39	0.00	1.39	80.0	1.11
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.10	0.00	1.10	80.0	0.88
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.94	0.00	1.94	80.0	1.55
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	1.13	0.00	1.13	80.0	0.90
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	7.69	0.00	7.69	80.0	6.15
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH AND	B	0.00	37.99	0.00	37.99	80.0	30.39
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	0.90	0.00	0.90	80.0	0.72
09/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	1.30	0.00	1.30	80.0	1.04

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	0.68	0.00	0.68	80.0	0.54
09/21/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	4.31	0.00	4.31	80.0	3.45
09/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	8.05	0.00	8.05	80.0	6.44
09/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	16.10	0.00	16.10	80.0	12.88
09/21/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.14	0.00	3.14	80.0	2.51
09/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	0.61	0.00	0.61	80.0	0.49
09/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.26	0.00	1.26	80.0	1.01
09/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	3.66	0.00	3.66	80.0	2.93
09/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	2.29	0.00	2.29	80.0	1.83
09/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	3.01	0.00	3.01	80.0	2.41
09/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	3.02	0.00	3.02	80.0	2.42
09/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.58	0.00	3.58	80.0	2.86
09/21/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	18.77	0.00	18.77	80.0	15.02
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	13.60	0.00	13.60	80.0	10.88
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	17.73	0.00	17.73	80.0	14.18
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	37.29	0.00	37.29	80.0	29.83
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.03	0.00	0.03	80.0	0.02
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	7.72	0.00	7.72	80.0	6.18
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	19.68	0.00	19.68	80.0	15.74
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	3.06	0.00	3.06	80.0	2.45
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.58	0.00	0.58	80.0	0.46
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	2.99	0.00	2.99	80.0	2.39
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	10.18	0.00	10.18	80.0	8.14
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	1.82	0.00	1.82	80.0	1.46
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	4.67	0.00	4.67	80.0	3.74
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.66	0.00	0.66	80.0	0.53
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.61	0.00	6.61	80.0	5.29
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.02	0.00	11.02	80.0	8.82
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	2.27	0.00	2.27	80.0	1.82
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	11.55	0.00	11.55	80.0	9.24
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	12.41	0.00	12.41	80.0	9.93
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	19.59	0.00	19.59	80.0	15.67
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	12.81	0.00	12.81	80.0	10.25
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	39.24	0.00	39.24	80.0	31.39
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	2.02	0.00	2.02	80.0	1.62
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	16.65	0.00	16.65	80.0	13.32
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	37.25	0.00	37.25	80.0	29.80
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	1.24	0.00	1.24	80.0	0.99
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.18	0.00	1.18	80.0	0.94
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	8.49	0.00	8.49	80.0	6.79
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	9.27	0.00	9.27	80.0	7.42
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	9.18	0.00	9.18	80.0	7.34
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	10.84	0.00	10.84	80.0	8.67
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.80	0.00	12.80	80.0	10.24

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	20.27	0.00	20.27	80.0	16.22
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	2.79	0.00	2.79	80.0	2.23
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	8.69	0.00	8.69	80.0	6.95
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	2.13	0.00	2.13	80.0	1.70
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	8.59	0.00	8.59	80.0	6.87
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	18.46	0.00	18.46	80.0	14.77
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	8.51	0.00	8.51	80.0	6.81
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	14.18	0.00	14.18	80.0	11.34
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	16.98	0.00	16.98	80.0	13.58
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	34.32	0.00	34.32	80.0	27.46
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	13.72	0.00	13.72	80.0	10.98
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.57	0.00	0.57	80.0	0.46
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.75	0.00	1.75	80.0	1.40
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.13	0.00	6.13	80.0	4.90
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	9.87	0.00	9.87	80.0	7.90
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	30.87	0.00	30.87	80.0	24.70
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	9.91	0.00	9.91	80.0	7.93
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	30.08	0.00	30.08	80.0	24.06
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.08	0.00	3.08	80.0	2.46
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	12.46	0.00	12.46	80.0	9.97
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	22.05	0.00	22.05	80.0	17.64
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	2.84	0.00	2.84	80.0	2.27
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.38	0.00	5.38	80.0	4.30
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	12.14	0.00	12.14	80.0	9.71
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	33.39	0.00	33.39	80.0	26.71
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	6.22	0.00	6.22	80.0	4.98
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	7.28	0.00	7.28	80.0	5.82
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.85	0.00	0.85	80.0	0.68
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	29.85	0.00	29.85	80.0	23.88
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	12.25	0.00	12.25	80.0	9.80
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	22.33	0.00	22.33	80.0	17.86
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	5.60	0.00	5.60	80.0	4.48
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.84	0.00	3.84	80.0	3.07
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	3.34	0.00	3.34	80.0	2.67
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	3.49	0.00	3.49	80.0	2.79
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	4.91	0.00	4.91	80.0	3.93
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	7.23	0.00	7.23	80.0	5.78
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	11.33	0.00	11.33	80.0	9.06
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	14.52	0.00	14.52	80.0	11.62
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	19.47	0.00	19.47	80.0	15.58
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	42.03	0.00	42.03	80.0	33.62
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	43.10	0.00	43.10	80.0	34.48
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	43.89	0.00	43.89	80.0	35.11
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.52	0.00	0.52	80.0	0.42

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

				INVESTMENT		GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	3.88	0.00	3.88	80.0	3.10
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	22.44	0.00	22.44	80.0	17.95
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	44.60	0.00	44.60	80.0	35.68
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	3.34	0.00	3.34	80.0	2.67
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	8.48	0.00	8.48	80.0	6.78
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	29.74	0.00	29.74	80.0	23.79
09/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	2.05	0.00	2.05	80.0	1.64
09/21/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.34	0.00	0.34	80.0	0.27
09/21/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	29.28	0.00	29.28	80.0	23.42
09/21/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	3.28	0.00	3.28	80.0	2.62
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	0.75	0.00	0.75	80.0	0.60
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.42	0.00	0.42	80.0	0.34
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	6.89	0.00	6.89	80.0	5.51
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	16.57	0.00	16.57	80.0	13.26
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	2.59	0.00	2.59	80.0	2.07
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	5.62	0.00	5.62	80.0	4.50
09/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	1.26	0.00	1.26	80.0	1.01
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.07	0.00	0.07	80.0	0.06
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	9.97	0.00	9.97	80.0	7.98
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	11.69	0.00	11.69	80.0	9.35
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	30.08	0.00	30.08	80.0	24.06
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	39.46	0.00	39.46	80.0	31.57
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	96.21	0.00	96.21	80.0	76.97
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	247.49	0.00	247.49	80.0	197.99
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.03	0.00	0.03	80.0	0.02
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
09/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	26.97	0.00	26.97	80.0	21.58
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	27.24	0.00	27.24	80.0	21.79
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	27.70	0.00	27.70	80.0	22.16
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	23.77	0.00	23.77	80.0	19.02
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	38.38	0.00	38.38	80.0	30.70
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.12	0.00	0.12	80.0	0.10
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	2.52	0.00	2.52	80.0	2.02
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	8.84	0.00	8.84	80.0	7.07
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	8.25	0.00	8.25	80.0	6.60
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	25.36	0.00	25.36	80.0	20.29
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	1.59	0.00	1.59	80.0	1.27
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	1.85	0.00	1.85	80.0	1.48
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	10.68	0.00	10.68	80.0	8.54
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	3.05	0.00	3.05	80.0	2.44
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	1.86	0.00	1.86	80.0	1.49

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	5.69	0.00	5.69	80.0	4.55
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.15	0.00	0.15	80.0	0.12
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.86	0.00	0.86	80.0	0.69
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	1.32	0.00	1.32	80.0	1.06
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	3.86	0.00	3.86	80.0	3.09
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	5.15	0.00	5.15	80.0	4.12
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	5.35	0.00	5.35	80.0	4.28
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.77	0.00	9.77	80.0	7.82
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	19.80	0.00	19.80	80.0	15.84
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	32.77	0.00	32.77	80.0	26.22
09/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	35.86	0.00	35.86	80.0	28.69
09/21/2018	mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.33	0.00	0.33	80.0	0.26
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	9.48	0.00	9.48	80.0	7.58
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	1.97	0.00	1.97	80.0	1.58
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	5.09	0.00	5.09	80.0	4.07
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	4.06	0.00	4.06	80.0	3.25
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	4.07	0.00	4.07	80.0	3.26
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	16.17	0.00	16.17	80.0	12.94
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	1.96	0.00	1.96	80.0	1.57
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	2.56	0.00	2.56	80.0	2.05
09/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	5.88	0.00	5.88	80.0	4.70
09/21/2018	mfc587889	KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.25	0.00	4.25	80.0	3.40
09/21/2018	mfc587889	KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.62	0.00	3.62	80.0	2.90
09/21/2018	mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	0.68	0.00	0.68	80.0	0.54
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	4.36	0.00	4.36	80.0	3.49
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AB HIGH INCOME FD C	B	0.00	9.63	0.00	9.63	80.0	7.70
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AB WEALTH PRES STRAT FD C	B	0.00	26.96	0.00	26.96	80.0	21.57
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	15.70	0.00	15.70	80.0	12.56
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	31.40	0.00	31.40	80.0	25.12
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.40	0.00	0.40	80.0	0.32
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.45	0.00	0.45	80.0	0.36
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.77	0.00	0.77	80.0	0.62
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	5.80	0.00	5.80	80.0	4.64
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.00	0.00	1.00	80.0	0.80
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	7.49	0.00	7.49	80.0	5.99
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	0.65	0.00	0.65	80.0	0.52
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.30	0.00	1.30	80.0	1.04
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.04	0.00	1.04	80.0	0.83
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.84	0.00	1.84	80.0	1.47
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	1.07	0.00	1.07	80.0	0.86
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	7.30	0.00	7.30	80.0	5.84
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	36.00	0.00	36.00	80.0	28.80
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	0.88	0.00	0.88	80.0	0.70
09/24/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	1.23	0.00	1.23	80.0	0.98

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
09/24/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	4.09	0.00	4.09	80.0	3.27
09/24/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	7.56	0.00	7.56	80.0	6.05
09/24/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.12	0.00	15.12	80.0	12.10
09/24/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.95	0.00	2.95	80.0	2.36
09/24/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	0.57	0.00	0.57	80.0	0.46
09/24/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.18	0.00	1.18	80.0	0.94
09/24/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	3.45	0.00	3.45	80.0	2.76
09/24/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	2.17	0.00	2.17	80.0	1.74
09/24/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	2.85	0.00	2.85	80.0	2.28
09/24/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	2.86	0.00	2.86	80.0	2.29
09/24/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.37	0.00	3.37	80.0	2.70
09/24/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	17.80	0.00	17.80	80.0	14.24
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.91	0.00	12.91	80.0	10.33
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.82	0.00	16.82	80.0	13.46
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	35.37	0.00	35.37	80.0	28.30
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.02	0.00	0.02	80.0	0.02
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	7.30	0.00	7.30	80.0	5.84
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	18.61	0.00	18.61	80.0	14.89
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	2.88	0.00	2.88	80.0	2.30
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.54	0.00	0.54	80.0	0.43
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	0.64	0.00	0.64	80.0	0.51
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	9.57	0.00	9.57	80.0	7.66
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	1.70	0.00	1.70	80.0	1.36
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	4.38	0.00	4.38	80.0	3.50
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.62	0.00	0.62	80.0	0.50
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.29	0.00	6.29	80.0	5.03
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	10.48	0.00	10.48	80.0	8.38
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	2.14	0.00	2.14	80.0	1.71
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	10.88	0.00	10.88	80.0	8.70
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	11.66	0.00	11.66	80.0	9.33
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	18.42	0.00	18.42	80.0	14.74
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	12.08	0.00	12.08	80.0	9.66
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	36.89	0.00	36.89	80.0	29.51
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	1.90	0.00	1.90	80.0	1.52
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	15.68	0.00	15.68	80.0	12.54
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	35.09	0.00	35.09	80.0	28.07
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	1.17	0.00	1.17	80.0	0.94
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.12	0.00	1.12	80.0	0.90
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	8.08	0.00	8.08	80.0	6.46
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	8.79	0.00	8.79	80.0	7.03
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	8.59	0.00	8.59	80.0	6.87
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	10.15	0.00	10.15	80.0	8.12
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	11.99	0.00	11.99	80.0	9.59

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	19.09	0.00	19.09	80.0	15.27
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	2.62	0.00	2.62	80.0	2.10
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	8.17	0.00	8.17	80.0	6.54
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	2.03	0.00	2.03	80.0	1.62
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	8.15	0.00	8.15	80.0	6.52
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	17.52	0.00	17.52	80.0	14.02
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	8.16	0.00	8.16	80.0	6.53
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	13.60	0.00	13.60	80.0	10.88
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	15.93	0.00	15.93	80.0	12.74
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	32.21	0.00	32.21	80.0	25.77
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	12.97	0.00	12.97	80.0	10.38
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.54	0.00	0.54	80.0	0.43
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.68	0.00	1.68	80.0	1.34
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.87	0.00	5.87	80.0	4.70
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	9.15	0.00	9.15	80.0	7.32
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	28.62	0.00	28.62	80.0	22.90
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	9.30	0.00	9.30	80.0	7.44
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	28.35	0.00	28.35	80.0	22.68
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.94	0.00	2.94	80.0	2.35
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	11.69	0.00	11.69	80.0	9.35
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	20.89	0.00	20.89	80.0	16.71
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	2.67	0.00	2.67	80.0	2.14
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.05	0.00	5.05	80.0	4.04
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	11.39	0.00	11.39	80.0	9.11
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	31.32	0.00	31.32	80.0	25.06
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	5.86	0.00	5.86	80.0	4.69
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	6.85	0.00	6.85	80.0	5.48
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.80	0.00	0.80	80.0	0.64
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	28.15	0.00	28.15	80.0	22.52
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	11.62	0.00	11.62	80.0	9.30
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	21.20	0.00	21.20	80.0	16.96
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	5.27	0.00	5.27	80.0	4.22
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.61	0.00	3.61	80.0	2.89
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	3.13	0.00	3.13	80.0	2.50
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	3.28	0.00	3.28	80.0	2.62
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	4.61	0.00	4.61	80.0	3.69
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.79	0.00	6.79	80.0	5.43
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	10.62	0.00	10.62	80.0	8.50
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.62	0.00	13.62	80.0	10.90
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	18.27	0.00	18.27	80.0	14.62
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	39.43	0.00	39.43	80.0	31.54
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	40.44	0.00	40.44	80.0	32.35
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	41.17	0.00	41.17	80.0	32.94
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.48	0.00	0.48	80.0	0.38

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	3.65	0.00	3.65	80.0	2.92
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	21.07	0.00	21.07	80.0	16.86
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	41.90	0.00	41.90	80.0	33.52
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	3.16	0.00	3.16	80.0	2.53
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	8.02	0.00	8.02	80.0	6.42
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	28.14	0.00	28.14	80.0	22.51
09/24/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.89	0.00	1.89	80.0	1.51
09/24/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.32	0.00	0.32	80.0	0.26
09/24/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	27.85	0.00	27.85	80.0	22.28
09/24/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	3.12	0.00	3.12	80.0	2.50
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	0.70	0.00	0.70	80.0	0.56
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.39	0.00	0.39	80.0	0.31
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-A	B	0.00	1.09	0.00	1.09	80.0	0.87
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-A	B	0.00	2.63	0.00	2.63	80.0	2.10
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	2.16	0.00	2.16	80.0	1.73
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	5.20	0.00	5.20	80.0	4.16
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	2.46	0.00	2.46	80.0	1.97
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	1.77	0.00	1.77	80.0	1.42
09/24/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	2.06	0.00	2.06	80.0	1.65
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.07	0.00	0.07	80.0	0.06
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	9.35	0.00	9.35	80.0	7.48
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	10.97	0.00	10.97	80.0	8.78
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	28.21	0.00	28.21	80.0	22.57
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	37.01	0.00	37.01	80.0	29.61
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	90.25	0.00	90.25	80.0	72.20
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	232.14	0.00	232.14	80.0	185.71
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.03	0.00	0.03	80.0	0.02
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
09/24/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	25.36	0.00	25.36	80.0	20.29
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	25.57	0.00	25.57	80.0	20.46
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	26.03	0.00	26.03	80.0	20.82
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	22.31	0.00	22.31	80.0	17.85
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	36.03	0.00	36.03	80.0	28.82
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.11	0.00	0.11	80.0	0.09
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	2.36	0.00	2.36	80.0	1.89
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	8.31	0.00	8.31	80.0	6.65
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	7.84	0.00	7.84	80.0	6.27
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	23.83	0.00	23.83	80.0	19.06
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	1.50	0.00	1.50	80.0	1.20
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	1.76	0.00	1.76	80.0	1.41
09/24/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	10.13	0.00	10.13	80.0	8.10

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	2.85	0.00	2.85	80.0	2.28
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	1.82	0.00	1.82	80.0	1.46
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	5.31	0.00	5.31	80.0	4.25
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.14	0.00	0.14	80.0	0.11
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.81	0.00	0.81	80.0	0.65
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	1.24	0.00	1.24	80.0	0.99
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	3.62	0.00	3.62	80.0	2.90
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	4.83	0.00	4.83	80.0	3.86
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	5.02	0.00	5.02	80.0	4.02
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.17	0.00	9.17	80.0	7.34
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.58	0.00	18.58	80.0	14.86
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	30.75	0.00	30.75	80.0	24.60
09/24/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	33.65	0.00	33.65	80.0	26.92
09/24/2018	mfc587889 KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.31	0.00	0.31	80.0	0.25
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	8.89	0.00	8.89	80.0	7.11
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	1.84	0.00	1.84	80.0	1.47
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	4.74	0.00	4.74	80.0	3.79
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	3.71	0.00	3.71	80.0	2.97
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	3.72	0.00	3.72	80.0	2.98
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	14.78	0.00	14.78	80.0	11.82
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	1.68	0.00	1.68	80.0	1.34
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	2.40	0.00	2.40	80.0	1.92
09/24/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	5.39	0.00	5.39	80.0	4.31
09/24/2018	mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.01	0.00	4.01	80.0	3.21
09/24/2018	mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.41	0.00	3.41	80.0	2.73
09/24/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	0.64	0.00	0.64	80.0	0.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4,248.60	\$0.00	\$4,248.60		\$3,398.92
*** CLEARING TRANSACTIONS TOTAL ***				\$1,113.37	\$4,560.54	\$45.00	\$4,515.54		\$3,612.47

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/10/2018	000000000221299	FURMAN, VICKI	MF	FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
09/17/2018	00089905459	CHHEN, KIMBERLY	MF	AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
09/17/2018	00520235862	COTEY, BENE	MF	FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
09/17/2018	00520236563	COTEY, BENE	MF	FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
09/17/2018	03900215447	NISSETYLEJ	MF	FIDELITY ADVISOR BALANCED CLASS	B	417.00	12.51	0.00	12.51	80.0	10.01
09/13/2018	03900236336	MCNALLY, GAYLE	MF	FIDELITY ADVISOR BALANCED CLASS	B	7,560.16	226.80	0.00	226.80	80.0	181.44
* MUTUAL FUNDS SUBTOTAL *						\$8,727.16	\$246.81	\$0.00	\$246.81		\$197.45

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/06/2018	08203004421 KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.53	0.00	0.53	80.0	0.42
09/06/2018	08240000434 KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-A	B	0.00	1.06	0.00	1.06	80.0	0.85
09/06/2018	08536302247 KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.74	0.00	1.74	80.0	1.39
09/06/2018	08536700498 KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	0.73	0.00	0.73	80.0	0.58
09/06/2018	08537100164 KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.30	0.00	1.30	80.0	1.04
09/06/2018	08537500179 KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.66	0.00	1.66	80.0	1.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$7.02</u>	<u>\$0.00</u>	<u>\$7.02</u>		<u>\$5.61</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$8,727.16</u>	<u>\$253.83</u>	<u>\$0.00</u>	<u>\$253.83</u>		<u>\$203.06</u>

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,815.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,630.53
Year-to-date Commissions	\$66,410.32