

COMMISSION STATEMENT

October 31, 2018

 CYNTHIA MICHAEL-D181
 1900 NW Loop 410

 BROKER #: D181
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

10/25/2018	368440829	CRIST MARITAL	S	CATERPILLAR INC	S	-12,859.17	250.00	15.00	235.00	80.0	188.00
10/25/2018	368440829	CRIST MARITAL	S	INGERSOLL-RAND PLC ISIN	B	19,138.39	150.00	15.00	135.00	80.0	108.00
				* STOCKS SUBTOTAL *		\$6,279.22	\$400.00	\$30.00	\$370.00		\$296.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	6.39	0.00	6.39	80.0	5.11
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	6.70	0.00	6.70	80.0	5.36
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMCAP FUND-A	B	0.00	4.05	0.00	4.05	80.0	3.24
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMERICAN BALANCED FUND-A	B	0.00	24.76	0.00	24.76	80.0	19.81
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMERICAN BALANCED FUND-F1	B	0.00	26.02	0.00	26.02	80.0	20.82
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	18.11	0.00	18.11	80.0	14.49
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	32.62	0.00	32.62	80.0	26.10
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.73	0.00	7.73	80.0	6.18
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	16.05	0.00	16.05	80.0	12.84
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	35.39	0.00	35.39	80.0	28.31
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.27	0.00	5.27	80.0	4.22
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK GLOBAL ALLOCATION FD	B	0.00	13.90	0.00	13.90	80.0	11.12
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	2.89	0.00	2.89	80.0	2.31
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	14.90	0.00	14.90	80.0	11.92
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT CAPITAL INCOME BUILDER-A	B	0.00	3.95	0.00	3.95	80.0	3.16
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT CAPITAL INCOME BUILDER-A	B	0.00	4.81	0.00	4.81	80.0	3.85
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT CAPITAL INCOME BUILDER-A	B	0.00	4.88	0.00	4.88	80.0	3.90
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT CAPITAL INCOME BUILDER-A	B	0.00	21.55	0.00	21.55	80.0	17.24
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.53	0.00	0.53	80.0	0.42
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT DEUTSCHE FLOATING RATE FD C	B	0.00	19.49	0.00	19.49	80.0	15.59
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT DEUTSCHE FLOATING RATE FD C	B	0.00	21.44	0.00	21.44	80.0	17.15
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.04	0.00	0.04	80.0	0.03
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT FRANKLIN INCOME FUND CLASS C	B	0.00	8.40	0.00	8.40	80.0	6.72
10/19/2018	mfc495845	MICHAEL CYNTHIA		MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.51	0.00	11.51	80.0	9.21

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10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	17.56	0.00	17.56	80.0	14.05
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	23.03	0.00	23.03	80.0	18.42
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	52.60	0.00	52.60	80.0	42.08
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	66.00	0.00	66.00	80.0	52.80
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	69.82	0.00	69.82	80.0	55.86
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.83	0.00	7.83	80.0	6.26
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	16.91	0.00	16.91	80.0	13.53
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.11	0.00	4.11	80.0	3.29
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.59	0.00	4.59	80.0	3.67
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	100.15	0.00	100.15	80.0	80.12
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	127.10	0.00	127.10	80.0	101.68
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	16.85	0.00	16.85	80.0	13.48
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	24.13	0.00	24.13	80.0	19.30
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	50.91	0.00	50.91	80.0	40.73
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	4.05	0.00	4.05	80.0	3.24
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	18.74	0.00	18.74	80.0	14.99
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	45.19	0.00	45.19	80.0	36.15
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.32	0.00	2.32	80.0	1.86
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	0.03	0.00	0.03	80.0	0.02
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	5.03	0.00	5.03	80.0	4.02
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.70	0.00	3.70	80.0	2.96
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	14.78	0.00	14.78	80.0	11.82
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.07	0.00	0.07	80.0	0.06
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.48	0.00	9.48	80.0	7.58
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.47	0.00	13.47	80.0	10.78
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.50	0.00	16.50	80.0	13.20
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.95	0.00	8.95	80.0	7.16
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	79.55	0.00	79.55	80.0	63.64
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.91	0.00	3.91	80.0	3.13
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	42.57	0.00	42.57	80.0	34.06
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD	B	0.00	2.17	0.00	2.17	80.0	1.74
10/19/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO GROWTH FD CL C	B	0.00	5.06	0.00	5.06	80.0	4.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,168.65	\$0.00	\$1,168.65		\$934.92
*** CLEARING TRANSACTIONS TOTAL ***				\$6,279.22	\$1,568.65	\$30.00	\$1,538.65		\$1,230.92

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018 (None)	STEPHENSON	MFT COLLEGE BOUND	B	0.00	54.15	0.00	54.15	80.0	43.32
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$54.15	\$0.00	\$54.15		\$43.32
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
10/25/2018 VM13402652	CRIST	VAT PACIFIC LIFE VA 69448B175	B	0.00	55.01	0.00	55.01	80.0	44.01
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$55.01	\$0.00	\$55.01		\$44.01
		*** DIRECT TRANSACTIONS TOTAL ***		\$0.00	\$109.16	\$0.00	\$109.16		\$87.33

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

10/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
10/31/2018	:	QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
		* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.37
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.37

BROKER COMMISSION TOTALS

Broker Transactions	\$1,318.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,132.88
Year-to-date Commissions	\$15,551.92