



COMMISSION STATEMENT

October 15, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/11/2018	***-**-76FB - MANDEL, MICHAEL	VANGUARD INDEX FDS VANGUARD VALUE E	535.19	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000216	SELL 5 Units @107.0370						
* STOCKS SUBTOTAL *			\$535.19	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
10/15/2018	***-**-12FB - NORIEGA, CARLOS	MORNINGSTAR ADVISOR FEE	301.62	301.62	0.00	301.62	75.0	226.22
	ACCOUNT #: 101300883	BUY 0 Units @0.0000						
10/16/2018	***-**-95FB - GUTHRIE, RYAN	MORNINGSTAR ADVISOR FEE	4.70	4.70	0.00	4.70	75.0	3.53
	ACCOUNT #: 101359002	BUY 0 Units @0.0000						
10/16/2018	***-**-20FB - CAREY, EDWARD	MORNINGSTAR ADVISOR FEE	5.42	5.42	0.00	5.42	75.0	4.07
	ACCOUNT #: 101367910	BUY 0 Units @0.0000						
10/16/2018	***-**-68FB - NILAND, MARY	MORNINGSTAR ADVISOR FEE	8.89	8.89	0.00	8.89	75.0	6.67
	ACCOUNT #: 101299826	BUY 0 Units @0.0000						
10/16/2018	***-**-80FB - LOPES, PEDRO	MORNINGSTAR ADVISOR FEE	9.11	9.11	0.00	9.11	75.0	6.83
	ACCOUNT #: 101347728	BUY 0 Units @0.0000						
10/16/2018	***-**-20FB - CAREY, EDWARD	MORNINGSTAR ADVISOR FEE	9.90	9.90	0.00	9.90	75.0	7.43
	ACCOUNT #: 101319871	BUY 0 Units @0.0000						
10/16/2018	***-**-49FB - PUGLISI, JOSEPH	MORNINGSTAR ADVISOR FEE	10.96	10.96	0.00	10.96	75.0	8.22
	ACCOUNT #: 101352944	BUY 0 Units @0.0000						
10/16/2018	***-**-70FB - LINHART, GENA	MORNINGSTAR ADVISOR FEE	11.82	11.82	0.00	11.82	75.0	8.87
	ACCOUNT #: 101362578	BUY 0 Units @0.0000						
10/16/2018	***-**-20FB - BARBER, SAMUEL	MORNINGSTAR ADVISOR FEE	13.95	13.95	0.00	13.95	75.0	10.46
	ACCOUNT #: 101325569	BUY 0 Units @0.0000						
10/16/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE	21.66	21.66	0.00	21.66	75.0	16.25
	ACCOUNT #: 101318537	BUY 0 Units @0.0000						
10/16/2018	***-**-29FB - MOORE, ERIN	MORNINGSTAR ADVISOR FEE	23.17	23.17	0.00	23.17	75.0	17.38
	ACCOUNT #: 101309538	BUY 0 Units @0.0000						
10/16/2018	***-**-3472 - ONG-VALENCIA,	MORNINGSTAR ADVISOR FEE	23.68	23.68	0.00	23.68	75.0	17.76
	ACCOUNT #: 101305718	BUY 0 Units @0.0000						
10/16/2018	***-**-68FB - NILAND, MARY	MORNINGSTAR ADVISOR FEE	26.28	26.28	0.00	26.28	75.0	19.71
	ACCOUNT #: 101299838	BUY 0 Units @0.0000						
10/16/2018	***-**-63FB - BARBER,	MORNINGSTAR ADVISOR FEE	50.14	50.14	0.00	50.14	75.0	37.61
	ACCOUNT #: 101137382	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
10/16/2018	***-**-49FB - LINHART, ZACHARY	MORNINGSTAR ADVISOR FEE	51.10	51.10	0.00	51.10	75.0	38.33
	ACCOUNT #: 101353795	BUY 0 Units @0.0000						
10/16/2018	***-**-60FB - JULIANO, MEGAN	MORNINGSTAR ADVISOR FEE	63.95	63.95	0.00	63.95	75.0	47.96
	ACCOUNT #: 101356994	BUY 0 Units @0.0000						
10/16/2018	***-**-41FB - FURNARY, TIMOTHY	MORNINGSTAR ADVISOR FEE	64.14	64.14	0.00	64.14	75.0	48.11
	ACCOUNT #: 101291375	BUY 0 Units @0.0000						
10/16/2018	***-**-60FB - JULIANO, MEGAN	MORNINGSTAR ADVISOR FEE	82.87	82.87	0.00	82.87	75.0	62.15
	ACCOUNT #: 101360802	BUY 0 Units @0.0000						
10/16/2018	***-**-76FB - MANDEL, MICHAEL	MORNINGSTAR ADVISOR FEE	92.51	92.51	0.00	92.51	75.0	69.38
	ACCOUNT #: 101302798	BUY 0 Units @0.0000						
10/16/2018	***-**-05FB - SMITH, MELANIE	MORNINGSTAR ADVISOR FEE	133.12	133.12	0.00	133.12	75.0	99.84
	ACCOUNT #: 101291348	BUY 0 Units @0.0000						
10/16/2018	***-**-65FB - OAKLEY, EDWARD	MORNINGSTAR ADVISOR FEE	150.00	150.00	0.00	150.00	75.0	112.50
	ACCOUNT #: 100990833	BUY 0 Units @0.0000						
10/16/2018	***-**-74IO - BRENNAN, JOHN	MORNINGSTAR ADVISOR FEE	228.74	228.74	0.00	228.74	75.0	171.56
	ACCOUNT #: 101091155	BUY 0 Units @0.0000						
10/16/2018	***-**-95FB - GUTHRIE, RYAN	MORNINGSTAR ADVISOR FEE	257.30	257.30	0.00	257.30	75.0	192.98
	ACCOUNT #: 101293948	BUY 0 Units @0.0000						
10/16/2018	***-**-2696 - IGNACIO, CECILIA	MORNINGSTAR ADVISOR FEE	273.20	273.20	0.00	273.20	75.0	204.90
	ACCOUNT #: 101299004	BUY 0 Units @0.0000						
10/16/2018	***-**-94FB - FINELLI, NANCY	MORNINGSTAR ADVISOR FEE	299.69	299.69	0.00	299.69	75.0	224.77
	ACCOUNT #: 101065148	BUY 0 Units @0.0000						
10/16/2018	***-**-29FB - MOORE, ERIN	MORNINGSTAR ADVISOR FEE	80.72	80.72	0.00	80.72	75.0	60.54
	ACCOUNT #: 330350826	BUY 0 Units @0.0000						
10/16/2018	***-**-57FB - LINDSEY, HEATHER	MORNINGSTAR ADVISOR FEE	421.99	421.99	0.00	421.99	75.0	316.49
	ACCOUNT #: 676743318	BUY 0 Units @0.0000						
10/16/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE	202.67	202.67	0.00	202.67	75.0	152.00
	ACCOUNT #: 676746221	BUY 0 Units @0.0000						
10/16/2018	***-**-31FB - BARNARD,	MORNINGSTAR ADVISOR FEE	523.92	523.92	0.00	523.92	75.0	392.94
	ACCOUNT #: 676749188	BUY 0 Units @0.0000						
10/16/2018	***-**-45FB - RODESCHINI, LISA	MORNINGSTAR ADVISOR FEE	133.49	133.49	0.00	133.49	75.0	100.12
	ACCOUNT #: 676753759	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
10/16/2018	***-**-74IO - BRENNAN, JOHN	MORNINGSTAR ADVISOR FEE	657.49	657.49	0.00	657.49	75.0	493.12
	ACCOUNT #: 676784503	BUY 0 Units @0.0000						
10/16/2018	***-**-34FB - RODESCHINI,	MORNINGSTAR ADVISOR FEE	-302.73	-302.73	0.00	-302.73	75.0	-227.05
	ACCOUNT #: 676745675	BUY 0 Units @0.0000						
10/16/2018	***-**-49FB - PUGLISI, JOSEPH	MORNINGSTAR ADVISOR FEE	18.75	18.75	0.00	18.75	75.0	14.06
	ACCOUNT #: 101353338	BUY 0 Units @0.0000						
10/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES	0.00	95.95	0.00	95.95	75.0	71.96
		BUY 1 Units @25377.7000						
10/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES	0.00	275.77	0.00	275.77	75.0	206.83
		BUY 1 Units @72938.1600						
10/10/2018	***-**-74IO - BRENNAN, JOHN	NFS MANAGED FEES	0.00	2,450.51	0.00	2,450.51	75.0	1,837.88
		BUY 1 Units @758829.940						
10/10/2018	***-**-176J - MANDEL, MICHAEL	NFS MANAGED FEES	0.00	1,333.02	0.00	1,333.02	75.0	999.77
		BUY 1 Units @440717.700						
10/10/2018	***-**-90IO - ADOVASIO, LUKE	NFS MANAGED FEES-DID NOT DEBIT	0.00	0.00	0.00	0.00	75.0	0.00
		BUY 1 Units @280965.970						
		* RIA SUBTOTAL *	\$3,954.22	\$8,109.47	\$0.00	\$8,109.47		\$6,082.15
<u>PRODUCT CATEGORY: 529 PLAN</u>								
10/15/2018	***-**-7606 - DUFFY, RYAN	CHET 529	1,237.44	12.56	0.00	12.56	75.0	9.42
		BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$1,237.44	\$12.56	\$0.00	\$12.56		\$9.42
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/15/2018	***-**-5290 - ADOVASIO, LUKE	CHET ADVISOR 529 TRAILS	0.00	2.81	0.00	2.81	75.0	2.11
		BUY 0 Units @0.0000						
10/15/2018	***-**-7606 - DUFFY, RYAN	CHET ADVISOR 529 TRAILS	0.00	31.46	0.00	31.46	75.0	23.60
		BUY 0 Units @0.0000						
10/15/2018	***-**-0853 - BOYER, THOMAS	NEW YORK 529 TRAILS	0.00	0.49	0.00	0.49	75.0	0.37
	ACCOUNT #: 77467864401	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.76	\$0.00	\$34.76		\$26.08
		*** BROKER TRANSACTIONS TOTAL ***	\$5,726.85	\$8,156.79	\$0.00	\$8,156.79		\$6,117.65



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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BROKER COMMISSION TOTALS								
					Broker Transactions		\$6,117.65	
					Split Transactions		\$0.00	
					Override Transactions		\$0.00	
					Adjustments		\$0.00	
					Payroll Draw		\$0.00	
					Base Salary		\$0.00	
					Prior Period Balance		\$0.00	
					FINRA Assessment		\$0.00	
					SIPC Assessment		\$0.00	
					Net Commission Earned		\$6,117.65	
					Year-to-date Earnings		\$47,702.90	