



COMMISSION STATEMENT

November 12, 2018

FRANCES LUPIANI
4864 Fawn ridge
Canandigua, NY 14424

BROKER #: A21 Terminated 06/24/2014
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|-------------------------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| / / | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$0.00</u> |
| <u>BROKER COMMISSION TOTALS</u> | | | | | | | | |
| | | | | | | | | Broker Transactions \$0.00 |
| | | | | | | | | Split Transactions \$0.00 |
| | | | | | | | | Override Transactions \$0.00 |
| | | | | | | | | Adjustments \$0.00 |
| | | | | | | | | Payroll Draw \$0.00 |
| | | | | | | | | Base Salary \$0.00 |
| | | | | | | | | Prior Period Balance \$0.00 |
| | | | | | | | | FINRA Assessment \$0.00 |
| | | | | | | | | SIPC Assessment \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | | | |
| | | | | | | | | Balance Forward \$0.00 |
| | | | | | | | | Year-to-date Earnings \$0.00 |