

COMMISSION STATEMENT November 15, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
10/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
10/15/2018 (None)	HAILEY	MF HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
11/09/2018 00777116731	HILLIARD, KEITH	MF INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
11/09/2018 00777116731	HILLIARD, KEITH	MF INVESCO MODERATE ALLOCATION FD	B	120.00	1.20	0.00	1.20	90.0	1.08
11/09/2018 00777116733	HILLIARD, SHARON	MF INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
11/09/2018 00777116733	HILLIARD, SHARON	MF INVESCO MODERATE ALLOCATION FD	B	62.40	0.62	0.00	0.62	90.0	0.56
11/09/2018 00777116734	RUST, MELISSA	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
11/09/2018 00777116734	RUST, MELISSA	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
11/09/2018 00777116736	HILLIARD, KEITH	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
11/09/2018 00777116736	HILLIARD, KEITH	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$2,632.40	\$42.07	\$0.00	\$42.07		\$37.87
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018 (None)	3360098133	MFT DREYFUS 12B-1	B	0.00	10.57	0.00	10.57	90.0	9.51
10/31/2018 000000000004186	ELTON R DAVIS	MFT FRK HI YLD TX FR INC FD CL A	B	0.00	8.03	0.00	8.03	90.0	7.23
10/31/2018 000005000002674	ELTON R DAVIS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	127.90	0.00	127.90	90.0	115.11
10/31/2018 000013011738132	BRENDA K	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	2.20	0.00	2.20	90.0	1.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$148.70	\$0.00	\$148.70		\$133.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
10/29/2018 (None)	DAVIS, LARRY	VAT AMERICAN GENERAL	B	0.00	0.78	0.00	0.78	90.0	0.70
10/29/2018 (None)	ISENBURG, DONAL	VAT AMERICAN GENERAL	B	0.00	136.32	0.00	136.32	90.0	122.69
10/29/2018 (None)	ISENBURG, DONAL	VAT AMERICAN GENERAL	B	0.00	306.96	0.00	306.96	90.0	276.26
10/29/2018 (None)	ISENBURG, MARS	VAT AMERICAN GENERAL	B	0.00	105.23	0.00	105.23	90.0	94.71
10/29/2018 (None)	ISENBURG, MARS	VAT AMERICAN GENERAL	B	0.00	110.08	0.00	110.08	90.0	99.07
10/29/2018 (None)	ISENBURG, MARS	VAT AMERICAN GENERAL	B	0.00	110.08	0.00	110.08	90.0	99.07
10/30/2018 (None)	BRATCHER, BEN	VAT AMERICAN GENERAL	B	0.00	591.03	0.00	591.03	90.0	531.93
10/30/2018 (None)	SOAP, YUWAWANDA	VAT DELAWARE LIFE	B	0.00	525.23	0.00	525.23	90.0	472.71
10/31/2018 (None)	MAYNE, JODY	VAT AMERICAN GENERAL	B	0.00	530.15	0.00	530.15	90.0	477.14
10/31/2018 (None)	MAYNE JOANIE D	VAT AMERICAN GENERAL	B	0.00	455.31	0.00	455.31	90.0	409.78
11/01/2018 (None)	BRATCHER, BEN	VAT AMERICAN GENERAL	B	0.00	303.18	0.00	303.18	90.0	272.86

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11/01/2018 (None)	HESTER, WILLIAM	VAT AMERICAN GENERAL	B	0.00	785.72	0.00	785.72	90.0	707.15
11/04/2018 (None)	STOUT, JESSIE	VAT AMERICAN GENERAL	B	0.00	412.86	0.00	412.86	90.0	371.57
11/04/2018 (None)	STOUT, JESSIE	VAT AMERICAN GENERAL	B	0.00	218.56	0.00	218.56	90.0	196.70
11/05/2018 (None)	FULFORD, KARON	VAT AMERICAN GENERAL	B	0.00	1,213.33	0.00	1,213.33	90.0	1,092.00
11/05/2018 (None)	FULFORD, KARON	VAT AMERICAN GENERAL	B	0.00	426.49	0.00	426.49	90.0	383.84
11/05/2018 (None)	MYERS, GEORGE	VAT AMERICAN GENERAL	B	0.00	490.71	0.00	490.71	90.0	441.64
11/07/2018 (None)	RICHARDSON, RA	VAT AMERICAN GENERAL	B	0.00	10.80	0.00	10.80	90.0	9.72
11/07/2018 (None)	RICHARDSON, PA	VAT AMERICAN GENERAL	B	0.00	10.80	0.00	10.80	90.0	9.72
11/07/2018 (None)	DAVIS, JUDY	VAT AMERICAN GENERAL	B	0.00	205.78	0.00	205.78	90.0	185.20
11/07/2018 (None)	DAVIS, JUDY	VAT AMERICAN GENERAL	B	0.00	205.78	0.00	205.78	90.0	185.20
11/07/2018 (None)	DAVIS, JUDY	VAT AMERICAN GENERAL	B	0.00	205.78	0.00	205.78	90.0	185.20
11/07/2018 (None)	DAVIS, JUDY	VAT AMERICAN GENERAL	B	0.00	281.99	0.00	281.99	90.0	253.79
11/07/2018 (None)	DAVIS, JUDY	VAT AMERICAN GENERAL	B	0.00	205.78	0.00	205.78	90.0	185.20
11/07/2018 (None)	BYRUM, CANDY	VAT AMERICAN GENERAL	B	0.00	1,879.00	0.00	1,879.00	90.0	1,691.10
11/07/2018 (None)	TUCKER, WILMER	VAT AMERICAN GENERAL	B	0.00	813.25	0.00	813.25	90.0	731.93
11/08/2018 (None)	BAKER, STEPHEN	VAT AMERICAN GENERAL	B	0.00	3.56	0.00	3.56	90.0	3.20
11/09/2018 (None)	FAGAN, EUGENE	VAT AMERICAN GENERAL	B	0.00	293.11	0.00	293.11	90.0	263.80
11/09/2018 (None)	FAGAN, VICKI	VAT AMERICAN GENERAL	B	0.00	76.64	0.00	76.64	90.0	68.98
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$10,914.29	\$0.00	\$10,914.29		\$9,822.86
*** DIRECT TRANSACTIONS TOTAL ***				\$2,632.40	\$11,105.06	\$0.00	\$11,105.06		\$9,994.56

BROKER COMMISSION TOTALS

Broker Transactions	\$9,994.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9,994.56
Year-to-date Commissions	\$219,713.49