

COMMISSION STATEMENT

November 30, 2018

 DAN FENCL-D022
 422 Main Street

 BROKER #: D022
 BRANCH: DMG

Cedar Falls, IA 50613

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/19/2018 799773000	FENCL, RONALD	MF	VANGUARD UTILITIES INDEX FD ADM S	-2,844.02	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *				\$-2,844.02	\$0.00	\$5.00	\$-5.00		\$-4.25

PRODUCT CATEGORY: STOCKS

11/15/2018 153000125	BURESH, GEORGE	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	3,882.45	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	CATERPILLAR INC	B	3,892.35	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	COMPASS MINERALS INTL INC	B	1,309.68	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	ENTERPRISE PRODS PARTNERS L	B	2,665.35	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	GENERAL ELECTRIC COMPANY	B	1,670.17	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	GENERAL MILLS INCORPORATED	B	1,830.85	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	GILEAD SCIENCES INC	B	3,520.40	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	HANESBRANDS INC	B	3,152.25	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	HAWAIIAN ELECTRIC INDS INC	S	-1,842.54	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	SIEMENS A G-SPONSORED ADR ADS	B	4,062.96	22.00	15.00	7.00	85.0	5.95
11/15/2018 153000125	BURESH, GEORGE	S	WELLS FARGO & CO	S	-2,591.53	22.00	15.00	7.00	85.0	5.95
11/21/2018 276393378	FENCL TTEE, DANIEL	S	CARNIVAL CORP NEW PARIED COM	S	-7,139.58	20.00	15.00	5.00	85.0	4.25
11/15/2018 306761161	GREINER, DENNIS	S	ADR BRITISH AMERICAN TOBACCO	B	5,280.52	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	AEGON N V ORD AMER REG	S	-2,405.32	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	3,869.95	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	BP PLC-SPONS ADR	B	8,149.45	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	DOWDUPONT INC	B	1,234.89	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	DUKE ENERGY CORP NEW COM NEW	B	2,334.30	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	GARRETT MOTION INC COM	B	3,150.63	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	HELMERICH & PAYNE	B	620.15	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	HUANENG POWER INTERNATIONAL	S	-2,365.62	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	LINDE PLC SH ISIN #IE00BZ12WP82	S	-9,231.64	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	NORFOLK-SOUTHERN COMPANY	S	-2,528.24	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	REALTY INCOME CORP (MA)	S	-1,233.73	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	RESIDEO TECHNOLOGIES INC COM	B	2,066.85	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	WELLS FARGO & CO	S	-5,178.48	22.00	15.00	7.00	85.0	5.95
11/15/2018 306761161	GREINER, DENNIS	S	WESTPAC BANKING LTD CORP	B	5,526.42	22.00	15.00	7.00	85.0	5.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/07/2018 368256182	ROSOL, MICHAEL	S 3M COMPANY	B	13,798.35	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ABBVIE INC	B	8,575.35	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ADR BRITISH AMERICAN TOBACCO	B	4,546.35	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S AMERIGAS PARTNERS LP	B	7,731.82	163.49	15.00	148.49	85.0	126.22
11/07/2018 368256182	ROSOL, MICHAEL	S AMERIGAS PARTNERS LP	B	-4,565.94	-163.49	-15.00	-148.49	85.0	-126.22
11/07/2018 368256182	ROSOL, MICHAEL	S AMERIGAS PARTNERS LP	B	-7,731.82	-163.49	-15.00	-148.49	85.0	-126.22
11/07/2018 368256182	ROSOL, MICHAEL	S AMERIGAS PARTNERS LP	B	4,565.94	163.49	15.00	148.49	85.0	126.22
11/07/2018 368256182	ROSOL, MICHAEL	S AMERIGAS PARTNERS LP	B	7,590.33	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S AMGEN INC	B	9,748.33	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ANHEUSER-BUSCH INBEV SPONS ADR	B	15,287.89	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S AQUA AMERICA INCORPORATED	B	6,680.35	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ARTESIAN RES CORP	B	7,774.43	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S AT&T INC	B	6,180.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S BB&T CORP	B	9,906.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S BERKSHIRE HATHAWAY INC DEL CL B	B	21,851.62	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S BLACKROCK INCORPORATED	B	20,704.40	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S BP PLC-SPONS ADR	B	12,990.42	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S BRISTOL MYERS SQUIBB COMPANY	B	5,241.31	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S CARDINAL HEALTH INC	B	10,636.41	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S CARNIVAL CORP NEW PARIED COM	B	5,777.25	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S CATERPILLAR INC	B	13,233.33	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S CENTERPOINT ENERGY HLDG INC	B	5,612.43	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S CHEVRON CORPORATION	B	12,027.45	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S COLGATE PALMOLIVE CO	B	6,090.35	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S COMPASS MINERALS INTL INC	B	2,760.95	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S CVS HEALTH CORPORATION .	B	8,011.15	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S DEERE & COMPANY	B	14,717.05	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S DIAGEO PLC NEW ADS	B	7,113.45	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S DOMINION RESOURCES INC/VA NEW	B	7,094.15	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S DOWDUPONT INC	B	11,688.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S DUKE ENERGY CORP NEW COM NEW	B	8,429.89	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S EATON CORP PLC ISIN	B	7,552.85	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ENBRIDGE INC ISIN CA29250N1050	B	6,670.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ENTERPRISE PRODS PARTNERS L	B	5,586.03	131.78	15.00	116.78	85.0	99.26
11/07/2018 368256182	ROSOL, MICHAEL	S ENTERPRISE PRODS PARTNERS L	B	-4,534.23	-131.78	-15.00	-116.78	85.0	-99.26
11/07/2018 368256182	ROSOL, MICHAEL	S ENTERPRISE PRODS PARTNERS L	B	-5,586.03	-131.78	-15.00	-116.78	85.0	-99.26
11/07/2018 368256182	ROSOL, MICHAEL	S ENTERPRISE PRODS PARTNERS L	B	4,534.23	131.78	15.00	116.78	85.0	99.26
11/07/2018 368256182	ROSOL, MICHAEL	S ENTERPRISE PRODS PARTNERS L	B	5,476.25	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S EXXON-MOBIL CORPORATION	B	10,679.24	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S FASTENAL COMPANY	B	5,418.44	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S FORD MOTOR CO NEW	B	4,798.75	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S GARMIN LTD (SWITZERLAND) ISIN	B	6,523.15	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S GENERAL DYNAMICS CORPORATION	B	9,165.90	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S GENERAL MILLS INCORPORATED	B	8,707.89	22.00	15.00	7.00	85.0	5.95

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11/07/2018 368256182	ROSOL, MICHAEL	S GILEAD SCIENCES INC	B	7,193.25	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S GLAXOSMITHKLINE PLC ADS	B	8,130.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S HANESBRANDS INC	B	4,943.85	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S INTEL CORPORATION	B	4,831.44	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S INVESCO LTD ISIN #BMG491BT1088	B	6,411.45	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S KIMBERLY-CLARK CORPORATION	B	10,716.45	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S KINDER MORGAN INC	B	5,262.03	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S KRAFT HEINZ CO/THE	B	2,686.95	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S L BRANDS INC	B	3,405.05	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S LOCKHEED MARTIN CORPORATION	B	13,780.00	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S LYONDELLBASELL INDU-CL A ISIN	B	9,518.11	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S MAGELLAN MIDSTREAM PARTNERS LP	B	1,309.28	62.23	15.00	47.23	85.0	40.15
11/07/2018 368256182	ROSOL, MICHAEL	S MAGELLAN MIDSTREAM PARTNERS LP	B	-504.68	-62.23	-15.00	-47.23	85.0	-40.15
11/07/2018 368256182	ROSOL, MICHAEL	S MAGELLAN MIDSTREAM PARTNERS LP	B	-1,309.28	-62.23	-15.00	-47.23	85.0	-40.15
11/07/2018 368256182	ROSOL, MICHAEL	S MAGELLAN MIDSTREAM PARTNERS LP	B	504.68	62.23	15.00	47.23	85.0	40.15
11/07/2018 368256182	ROSOL, MICHAEL	S MAGELLAN MIDSTREAM PARTNERS LP	B	1,269.05	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S MCDONALDS CORPORATION	B	18,257.83	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S MCKESSON CORPORATION	B	13,148.15	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S MICROSOFT CORPORATION	B	4,498.01	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S MONDELEZ INTERNATIONAL INC CL A	B	4,373.44	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S OLIN CORPORATION	B	4,368.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S OMNICOM GROUP INCORPORATED	B	7,528.36	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S PEPSICO INCORPORATED	B	5,772.95	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S PFIZER INCORPORATED	B	2,230.80	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S PHILIP MORRIS INTERNATIONAL	B	8,909.42	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S PPL CORPORATION	B	9,315.03	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S PRINCIPAL FINANCIAL GROUP INC	B	9,844.05	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S PROCTER & GAMBLE COMPANY	B	4,569.95	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ROCHE HOLDING LTD-SPONS ADR	B	6,184.25	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ROYAL DUTCH SHELL PLC-SPON ADR	B	-8,636.94	-22.00	-15.00	-7.00	85.0	-5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	8,318.32	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S ROYAL DUTCH SHELL PLC-SPON ADR	B	8,636.94	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S SANOFI-AVENTIS S A ADR FORMERLY	B	4,565.02	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S SIEMENS A G-SPONSORED ADR ADS	B	5,908.25	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S SMUCKER J M COMPANY NEW	B	10,963.25	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S SOUTHERN COMPANY	B	2,804.84	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S STARBUCKS CORP	B	6,736.44	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S T ROWE PRICE GROUP INC	B	9,968.79	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S THE COCA-COLA COMPANY	B	9,860.17	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S TOTAL S A-SPONSORED ADR	B	11,936.06	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S TRANSCANADA CORPORATION HLDG CO	B	7,958.05	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S UNILEVER PLC SPONSORED ADR NEW	B	5,413.00	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S UNITED PARCEL SERVICE INC CL B	B	10,897.45	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S UNITED TECHNOLOGIES CORP	B	12,864.10	22.00	15.00	7.00	85.0	5.95

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11/07/2018 368256182	ROSOL, MICHAEL	S VERIZON COMMUNICATIONS INC	B	8,605.80	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S WAL-MART STORES INC	B	10,337.44	22.00	15.00	7.00	85.0	5.95
11/07/2018 368256182	ROSOL, MICHAEL	S WESTPAC BANKING LTD CORP	B	5,949.42	22.00	15.00	7.00	85.0	5.95
11/28/2018 368271072	RIPPEL, SONDR	S AMERIGAS PARTNERS LP	B	4,205.21	22.00	15.00	7.00	85.0	5.95
11/28/2018 368271072	RIPPEL, SONDR	S CHEVRON CORPORATION	S	-5,861.72	22.00	15.00	7.00	85.0	5.95
11/28/2018 368271072	RIPPEL, SONDR	S ENTERPRISE PRODS PARTNERS L	B	3,892.32	22.00	15.00	7.00	85.0	5.95
11/05/2018 368278401	MORGAN, YOLANDA	S GARRETT MOTION INC COM	S	-50.30	22.00	15.00	7.00	85.0	5.95
11/05/2018 368278401	MORGAN, YOLANDA	S JOHNSON & JOHNSON	S	-8,505.65	22.00	15.00	7.00	85.0	5.95
11/05/2018 368278401	MORGAN, YOLANDA	S RESIDEO TECHNOLOGIES INC COM	S	-172.43	22.00	15.00	7.00	85.0	5.95
11/05/2018 368278401	MORGAN, YOLANDA	S WELLS FARGO & CO	S	-5,356.49	22.00	15.00	7.00	85.0	5.95
11/05/2018 368278401	MORGAN, YOLANDA	S WILLIAMS COMPANIES INC (DEL)	S	-3,673.61	22.00	15.00	7.00	85.0	5.95
11/27/2018 368297512	HUNT, HARLAN	S CONSOLIDATED EDISON HOLDING CO.	S	-2,740.86	22.00	15.00	7.00	85.0	5.95
11/08/2018 368331279	LUBBERT, DONALD	S BP PLC-SPONS ADR	B	4,214.31	22.00	15.00	7.00	85.0	5.95
11/08/2018 368331279	LUBBERT, DONALD	S ENTERPRISE PRODS PARTNERS L	B	4,161.30	22.00	15.00	7.00	85.0	5.95
11/08/2018 368331279	LUBBERT, DONALD	S EVERGY INC COM	S	-5,665.88	22.00	15.00	7.00	85.0	5.95
11/08/2018 368331279	LUBBERT, DONALD	S SUN LIFE FINANCIAL SERVICES	S	-1,794.58	22.00	15.00	7.00	85.0	5.95
11/15/2018 368432239	FENCL, DANIEL	S AEGON N V ORD AMER REG	S	-6,073.97	18.00	15.00	3.00	85.0	2.55
11/15/2018 368432239	FENCL, DANIEL	S BP PLC-SPONS ADR	B	2,064.95	18.00	15.00	3.00	85.0	2.55
11/15/2018 368432239	FENCL, DANIEL	S BP PLC-SPONS ADR	B	-2,064.95	-18.00	-15.00	-3.00	85.0	-2.55
11/15/2018 368432239	FENCL, DANIEL	S BP PLC-SPONS ADR	B	2,077.38	18.00	15.00	3.00	85.0	2.55
11/15/2018 368432239	FENCL, DANIEL	S GARRETT MOTION INC COM	B	315.08	18.00	15.00	3.00	85.0	2.55
11/15/2018 368432239	FENCL, DANIEL	S GARRETT MOTION INC COM	B	1,260.45	18.00	15.00	3.00	85.0	2.55
11/15/2018 368432239	FENCL, DANIEL	S RESIDEO TECHNOLOGIES INC COM	B	2,259.05	18.00	15.00	3.00	85.0	2.55
11/15/2018 368432239	FENCL, DANIEL	S WILLIAMS COMPANIES INC (DEL)	B	2,441.56	18.00	15.00	3.00	85.0	2.55
11/15/2018 368436519	GREINER, DENNIS	S MAGELLAN MIDSTREAM PARTNERS LP	S	-3,112.52	22.00	15.00	7.00	85.0	5.95
11/21/2018 368462508	STEVENS, LORINDA	S ADR BRITISH AMERICAN TOBACCO	B	3,489.95	22.00	15.00	7.00	85.0	5.95
11/21/2018 368462508	STEVENS, LORINDA	S BP PLC-SPONS ADR	B	4,125.31	22.00	15.00	7.00	85.0	5.95
11/21/2018 368462508	STEVENS, LORINDA	S WELLS FARGO & CO	S	-5,276.49	22.00	15.00	7.00	85.0	5.95
10/31/2018 461906573	LEQUATTE, SUSAN	S JOHNSON & JOHNSON	S	-4,905.59	22.00	15.00	7.00	85.0	5.95
10/31/2018 461906573	LEQUATTE, SUSAN	S PEPSICO INCORPORATED	S	-4,492.73	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S ASTRAZENECA P L C-SPONS ADR	S	-797.04	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S BP PLC-SPONS ADR	B	2,072.45	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S CARDINAL HEALTH INC	B	5,738.44	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S GARRETT MOTION INC COM	B	1,225.40	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S HONEYWELL INTERNATIONAL INC.	S	-1,447.63	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S HUANENG POWER INTERNATIONAL	S	-2,389.52	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S KRAFT HEINZ CO/THE	B	2,364.00	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S RESIDEO TECHNOLOGIES INC COM	B	2,122.53	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S WELLTOWER INC	S	-3,445.60	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S WESTPAC BANKING LTD CORP	B	3,718.43	22.00	15.00	7.00	85.0	5.95
11/15/2018 646267500	BURESH, GEORGE	S WILLIAMS COMPANIES INC (DEL)	S	-1,650.54	22.00	15.00	7.00	85.0	5.95
11/15/2018 646282941	BURESH, JONI	S INTEL CORPORATION	B	1,221.45	22.00	15.00	7.00	85.0	5.95
11/15/2018 646282941	BURESH, JONI	S KINDER MORGAN INC	B	1,741.36	22.00	15.00	7.00	85.0	5.95
11/15/2018 646282941	BURESH, JONI	S PPL CORPORATION	B	3,843.03	22.00	15.00	7.00	85.0	5.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/15/2018 646282941	BURESH, JONI	S PROCTER & GAMBLE COMPANY	B	1,430.40	22.00	15.00	7.00	85.0	5.95
11/15/2018 646282941	BURESH, JONI	S SUN LIFE FINANCIAL SERVICES	S	-1,829.08	22.00	15.00	7.00	85.0	5.95
11/15/2018 646282941	BURESH, JONI	S UNILEVER N V -NY SHARES	B	1,404.68	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S ADR BRITISH AMERICAN TOBACCO	B	4,405.58	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S AMERIGAS PARTNERS LP	S	-3,456.50	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S BP PLC-SPONS ADR	B	4,107.44	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S COMPASS MINERALS INTL INC	B	4,965.45	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S ENTERPRISE PRODS PARTNERS L	S	-2,554.62	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S EVERGY INC COM	S	-5,889.89	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S PRINCIPAL FINANCIAL GROUP INC	B	5,017.25	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S TRANSCANADA CORPORATION HLDG CO	B	4,035.95	22.00	15.00	7.00	85.0	5.95
11/28/2018 646791729	RIPPEL TTEE, SONDR	S WELLS FARGO & CO	S	-6,375.30	22.00	15.00	7.00	85.0	5.95
11/15/2018 799773000	FENCL, RONALD	S BP PLC-SPONS ADR	B	4,138.31	22.00	15.00	7.00	85.0	5.95
11/15/2018 799773000	FENCL, RONALD	S BP PLC-SPONS ADR	B	-4,138.31	-22.00	-15.00	-7.00	85.0	-5.95
11/15/2018 799773000	FENCL, RONALD	S BP PLC-SPONS ADR	B	2,046.95	0.00	15.00	-15.00	85.0	-12.75
11/15/2018 799773000	FENCL, RONALD	S BP PLC-SPONS ADR	B	2,081.38	22.00	15.00	7.00	85.0	5.95
11/15/2018 799773000	FENCL, RONALD	S WELLS FARGO & CO	S	-3,929.51	22.00	15.00	7.00	85.0	5.95
11/19/2018 799773000	FENCL, RONALD	S ROYAL DUTCH SHELL PLC-SPON ADR	B	6,351.33	22.00	15.00	7.00	85.0	5.95

* STOCKS SUBTOTAL *

\$663,885.06

\$3,450.00

\$2,385.00

\$1,065.00

\$905.25

PRODUCT CATEGORY: MISCELLANEOUS

11/20/2018 a03148327	FENCL-D022, DAN	MI	MUTUAL FUND MISC FEE 799773000	S	0.00	12.00	0.00	12.00	85.0	10.20
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$12.00	\$0.00	\$12.00		\$10.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc148327	FENCL 148327	MFT	LIFESTYLE MODERATE PORTFOLIO A	B	0.00	0.49	0.00	0.49	85.0	0.42
11/21/2018 mfc148327	FENCL 148327	MFT	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.62	0.00	7.62	85.0	6.48
11/21/2018 mfc148327	FENCL 148327	MFT	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.62	0.00	7.62	85.0	6.48
11/21/2018 mfc148327	FENCL 148327	MFT	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.62	0.00	7.62	85.0	6.48
11/21/2018 mfc148327	FENCL 148327	MFT	LORD ABBETT HIGH YIELD MUNI BD	B	0.00	0.47	0.00	0.47	85.0	0.40
11/21/2018 mfc148327	FENCL 148327	MFT	REGIONAL BANK A	B	0.00	0.25	0.00	0.25	85.0	0.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$24.07	\$0.00	\$24.07		\$20.47
*** CLEARING TRANSACTIONS TOTAL ***					\$661,041.04	\$3,486.07	\$2,390.00	\$1,096.07		\$931.67

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
11/12/2018	00001490449	ZOLL, DAVID	MF	JOHN HANCOCK MUTUAL FUND	B	43.75	0.44	0.00	0.44	85.0	0.37
11/01/2018	06206144790	BERRY, DIANA	MF	PIONEER FUND A	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *						\$143.75	\$4.44	\$0.00	\$4.44		\$3.77
PRODUCT CATEGORY: VARIABLE ANNUITIES											
11/20/2018	(None)	ROCHE, DAVID	VA	NATIONWIDE	B	30.00	1.58	0.00	1.58	85.0	1.34
* VARIABLE ANNUITIES SUBTOTAL *						\$30.00	\$1.58	\$0.00	\$1.58		\$1.34
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
10/31/2018	(None)	VARIOUS, FENCL	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	147.88	0.00	147.88	85.0	125.70
10/31/2018	(None)	VARIOUS, FENCL	MFT	PRINCIPAL	B	0.00	62.54	0.00	62.54	85.0	53.16
11/05/2018	01001676965	VERDON, MICHAEL	MFT	WELLS FARGO OPPORTUNITY-A	B	0.00	1.89	0.00	1.89	85.0	1.61
11/05/2018	01001676965	VERDON, MICHAEL	MFT	WELLS FARGO DIVERSFD CAPITAL	B	0.00	1.69	0.00	1.69	85.0	1.44
11/14/2018	08000171945	VANCE, RODNEY	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.53	0.00	0.53	85.0	0.45
11/14/2018	08000171946	CONRADS, JOHN	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	2.16	0.00	2.16	85.0	1.84
11/14/2018	08000171946	CONRADS, JOHN	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	122.30	0.00	122.30	85.0	103.96
11/14/2018	08000171948	CONRADS, JOANNE	MFT	LORD ABBETT MULTI-ASSET BAL	B	0.00	0.32	0.00	0.32	85.0	0.27
11/14/2018	08000171948	CONRADS, JOANNE	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.32	0.00	0.32	85.0	0.27
11/14/2018	08000171948	CONRADS, JOANNE	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	22.12	0.00	22.12	85.0	18.80
11/14/2018	08000171948	CONRADS, JOANNE	MFT	MULTI-ASSET BALANCED	B	0.00	21.35	0.00	21.35	85.0	18.15
11/14/2018	08000171949	LAMBERT, ROGER	MFT	LORD ABBETT MULTI-ASSET BAL	B	0.00	0.83	0.00	0.83	85.0	0.71
11/14/2018	08000171949	LAMBERT, ROGER	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.86	0.00	0.86	85.0	0.73
11/14/2018	08000171949	LAMBERT, ROGER	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	3.02	0.00	3.02	85.0	2.57
11/14/2018	08000171949	LAMBERT, ROGER	MFT	MULTI-ASSET BALANCED	B	0.00	2.83	0.00	2.83	85.0	2.41
11/14/2018	08000171955	GARRINGER,	MFT	LORD ABBETT APLHA STRATEGY CL A	B	0.00	0.01	0.00	0.01	85.0	0.01
11/14/2018	08000208002	FOLKEN, LUKE	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	21.02	0.00	21.02	85.0	17.87
11/14/2018	08000209585	PATTERSON,	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	2.72	0.00	2.72	85.0	2.31
11/14/2018	08000211741	BUCK, ANDREW	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	45.64	0.00	45.64	85.0	38.79
11/14/2018	08000213723	COVER, KEVIN	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	24.59	0.00	24.59	85.0	20.90
11/14/2018	08000213724	MULLINNIX, NATHAN	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.90	0.00	0.90	85.0	0.77
11/14/2018	08000215624	BARKALOW, MATTHEW	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	7.48	0.00	7.48	85.0	6.36
11/14/2018	08000215625	SIMON, RUSSELL	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	24.60	0.00	24.60	85.0	20.91
11/14/2018	08000217840	DANIEL, ANTHONY	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	11.32	0.00	11.32	85.0	9.62
11/14/2018	08000217841	MARQUEZ, JONNY	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	12.68	0.00	12.68	85.0	10.78
11/14/2018	08000219579	ANDERSON, VINCENT	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.66	0.00	1.66	85.0	1.41
11/14/2018	08000219581	MOFFETT, AARON	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	4.81	0.00	4.81	85.0	4.09
11/14/2018	08000219596	PITKIN, MATTHEW	MFT	LORD ABBETT MULTI-ASSET GROWTH	B	0.00	3.53	0.00	3.53	85.0	3.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$551.60	\$0.00	\$551.60		\$468.89

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
11/09/2018 (None)	VARIOUS, FENCL	VAT TRANSAMERICA LIFE	B	0.00	145.44	0.00	145.44	85.0	123.62
11/12/2018	VA97667480 KREMER	VAT PACIFIC LIFE VA 694474206	B	0.00	38.49	0.00	38.49	85.0	32.72
11/19/2018	VR00011328 SCHRODER	VAT PACIFIC LIFE VA 694474883	B	0.00	18.16	0.00	18.16	85.0	15.44
11/15/2018	VR00024053 KREMER	VAT CUSIP 694474677	B	0.00	115.02	0.00	115.02	85.0	97.77
11/12/2018	VR01056829 DAVIS	VAT PACIFIC LIFE VA 694474883	B	0.00	24.47	0.00	24.47	85.0	20.80
11/13/2018	VR03010400 BLICKENDERFER,	VAT PACIFIC LIFE VA 694474586	B	0.00	7.32	0.00	7.32	85.0	6.22
11/19/2018	VR04011048 GRIMM, GREIG	VAT PACIFIC LIFE VA 694474594	B	0.00	198.86	0.00	198.86	85.0	169.03
11/19/2018	VR04011049 GRIMM, GREIG	VAT PACIFIC LIFE VA 694474594	B	0.00	227.28	0.00	227.28	85.0	193.19
11/19/2018	VR04011051 GRIMM, GREIG	VAT PACIFIC LIFE VA 694474594	B	0.00	33.92	0.00	33.92	85.0	28.83
11/14/2018	VR05005533 HARVEY	VAT PACIFIC LIFE VA 694474594	B	0.00	144.71	0.00	144.71	85.0	123.00
11/12/2018	VR05022122 MEHMEN	VAT PACIFIC LIFE VA 694474594	B	0.00	28.34	0.00	28.34	85.0	24.09
11/15/2018	VR05039515 HOOD, SANDRA	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	200.03	0.00	200.03	85.0	170.03
11/15/2018	VR05040445 HILBY	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	11.47	0.00	11.47	85.0	9.75
11/19/2018	VR06008485 GREINER	VAT PACIFIC LIFE VA 694474594	B	0.00	350.21	0.00	350.21	85.0	297.68
11/19/2018	VR06008486 GREINER	VAT CUSIP 694474560	B	0.00	333.67	0.00	333.67	85.0	283.62
11/12/2018	VR06014963 HUSTON, ANDREA	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	123.42	0.00	123.42	85.0	104.91
11/23/2018	VR06016314 ROETHLER, JUDY	VAT PACIFIC LIFE CUSIP 694474800	B	0.00	17.37	0.00	17.37	85.0	14.76
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,018.18	\$0.00	\$2,018.18		\$1,715.46
*** DIRECT TRANSACTIONS TOTAL ***				\$173.75	\$2,575.80	\$0.00	\$2,575.80		\$2,189.46

OVERRIDE TRANSACTIONSBROKER: SARAH BRAUNSHAUSEN-D062 #13

11/15/2018	152901006	BRAUNSHAUSEN,	MF	COLUMBIA GLOBAL EQUITY VALUE-A	B	-3,987.55	0.00	5.00	-5.00	-3.00
11/15/2018	646107186	ROUSE, CHELSEY	MF	AMCAP FUND-F1	S	122.45	0.00	2.50	-2.50	-1.50
11/15/2018	646107186	ROUSE, CHELSEY	MF	FUNDAMENTAL INVESTORS FUND	S	82.45	0.00	2.50	-2.50	-1.50
11/01/2018	646230641	CHRISTENSEN,	MF	AMCAP FUND-F1	S	102.45	0.00	2.50	-2.50	-1.50
11/15/2018	646230641	CHRISTENSEN,	MF	AMERICAN FDS WA MUTUAL FD CL F2	S	87.45	0.00	2.50	-2.50	-1.50
11/15/2018	646230641	CHRISTENSEN,	MF	THE GROWTH FUND OF AMERICA-F2	S	167.45	0.00	2.50	-2.50	-1.50
11/14/2018	769660430	VOSS, BRIAN	S	ADR BRITISH AMERICAN TOBACCO	B	4,604.33	22.00	15.00	7.00	4.20
11/14/2018	769660430	VOSS, BRIAN	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	4,535.24	22.00	15.00	7.00	4.20
11/14/2018	769660430	VOSS, BRIAN	S	AT&T INC	B	4,573.74	22.00	15.00	7.00	4.20
11/14/2018	769660430	VOSS, BRIAN	S	BP PLC-SPONS ADR	B	4,674.89	22.00	15.00	7.00	4.20
11/14/2018	769660430	VOSS, BRIAN	S	CARDINAL HEALTH INC	B	4,682.84	22.00	15.00	7.00	4.20
11/14/2018	769660430	VOSS, BRIAN	S	INTEL CORPORATION	B	4,765.44	22.00	15.00	7.00	4.20
11/14/2018	769660430	VOSS, BRIAN	S	T ROWE PRICE GROUP INC	B	4,317.86	22.00	15.00	7.00	4.20
11/16/2018	a03076832	BRAUNSHAUSEN-D062,	MI	MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	6.00
11/21/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMCAP FUND-F1	B	0.00	0.13	0.00	0.13	0.08
11/21/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMCAP FUND-F1	B	0.00	0.91	0.00	0.91	0.55
11/21/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.15	0.00	0.15	0.09
11/21/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN BALANCED FUND-F1	B	0.00	1.10	0.00	1.10	0.66
11/21/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN MUTUAL FUND-F1	B	0.00	0.95	0.00	0.95	0.57

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT CAPITAL INCOME BUILDER-A	B	0.00	8.21	0.00	8.21		4.93
11/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	10.99	0.00	10.99		6.59
11/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	10.61	0.00	10.61		6.37
11/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	10.08	0.00	10.08		6.05
11/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.58	0.00	0.58		0.35
11/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.17	0.00	1.17		0.70
* SARAH BRAUNSHAUSEN-D062 SUBTOTAL *				\$28,729.04	\$208.88	\$122.50	\$86.38		\$51.84
<u>BROKER: SPENCER JOHNSON-383056 #30</u>									
11/20/2018	431392912	KAVANAGH, KATHLEEN MF VANGUARD TOTAL STOCK MKT INDEX	S	5,412.45	0.00	2.50	-2.50		-1.60
10/31/2018	800389273	WOJCIECHOWSKI, MF RUSSELL LIFEPOINTS BAL STRATEGY	S	-5,812.04	0.00	5.00	-5.00		-3.20
11/01/2018	800389273	WOJCIECHOWSKI, MF VANGUARD TOTAL STOCK MKT INDEX	S	5,607.45	0.00	5.00	-5.00		-3.20
10/30/2018	800393769	WOJCIECHOWSKI, MF VANGUARD TOTAL STOCK MKT INDEX	S	1,607.45	0.00	5.00	-5.00		-3.20
10/30/2018	800401067	WOJCIECHOWSKI, MF VANGUARD TOTAL STOCK MKT INDEX	S	6,607.45	0.00	5.00	-5.00		-3.20
11/08/2018	368467865	GANSKE, MARK S CARDINAL HEALTH INC	B	2,808.95	22.00	15.00	7.00		4.48
11/08/2018	368467875	KOPRIVA, JULIANN S ADR BRITISH AMERICAN TOBACCO	B	1,779.46	22.00	15.00	7.00		4.48
11/08/2018	368467875	KOPRIVA, JULIANN S ENTERPRISE PRODS PARTNERS L	B	1,407.95	22.00	15.00	7.00		4.48
11/08/2018	368467875	KOPRIVA, JULIANN S GENERAL MILLS INCORPORATED	B	1,794.45	22.00	15.00	7.00		4.48
10/31/2018	a03383056	JOHNSON-383056, MI MUTUAL FUND MISC FEE 800393769	S	0.00	5.00	0.00	5.00		3.20
10/31/2018	a03383056	JOHNSON-383056, MI MUTUAL FUND MISC FEE 800401067	S	0.00	5.00	0.00	5.00		3.20
11/01/2018	a03383056	JOHNSON-383056, MI MUTUAL FUND MISC FEE 800389273	S	0.00	5.00	0.00	5.00		3.20
11/02/2018	a03383056	JOHNSON-383056, MI MUTUAL FUND MISC FEE 800389273	S	0.00	5.00	0.00	5.00		3.20
11/21/2018	a03383056	JOHNSON-383056, MI MUTUAL FUND MISC FEE 431392912	S	0.00	10.00	0.00	10.00		6.40
11/21/2018	mfc383056	JOHNSON SPENCER MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	0.08	0.00	0.08		0.05
11/23/2018	mfc383056	JOHNSON SPENCER MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.35	0.00	0.35		0.22
11/23/2018	mfc383056	JOHNSON SPENCER MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.82	0.00	0.82		0.52
11/23/2018	mfc383056	JOHNSON SPENCER MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.26	0.00	0.26		0.17
11/23/2018	mfc383056	JOHNSON SPENCER MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.60	0.00	0.60		0.38
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.14	0.00	0.14		0.09
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.14	0.00	0.14		0.09
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.53	0.00	0.53		0.34
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.56	0.00	0.56		0.36
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	1.78	0.00	1.78		1.14
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	1.88	0.00	1.88		1.20
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.15	0.00	0.15		0.10
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.15	0.00	0.15		0.10
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.45	0.00	0.45		0.29
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	0.64	0.00	0.64		0.41
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	1.51	0.00	1.51		0.97
11/23/2018	mfc383056	JOHNSON SPENCER MFT FUNDAMENTAL EQUITY C	B	0.00	2.13	0.00	2.13		1.36
* SPENCER JOHNSON-383056 SUBTOTAL *				\$21,213.57	\$130.17	\$82.50	\$47.67		\$30.51
*** OVERRIDE TRANSACTIONS TOTAL ***				\$49,942.61	\$339.05	\$205.00	\$134.05		\$82.35

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS TRANSACTIONS</u>									
<u>BROKER: #0</u>									
11/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: BROKER WORKSTATION-HARN		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: BROKER WORKSTATION-JOHNSON		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: BROKER WORKSTATION-SOLL		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: E-MAIL ACCOUNT-HARN		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: E-MAIL ACCOUNT-JOHNSON		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: E-MAIL ACCOUNT-SOLL		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		64.34
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-155.66</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-155.66</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,121.13
Split Transactions	\$0.00
Override Transactions	\$82.35
Adjustments	\$-155.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,047.82
Year-to-date Commissions	\$76,361.07