



COMMISSION STATEMENT

October 15, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/16/2018	***-**-84FB - SPORRER, SHIRLEY	MORNINGSTAR ADVISOR FEE	46.07	46.07	0.00	46.07	70.0	32.25
	ACCOUNT #: 100806898	BUY 0 Units @0.0000						
10/16/2018	***-**-58FB - SMITH, RUTH	MORNINGSTAR ADVISOR FEE	164.67	164.67	0.00	164.67	70.0	115.27
	ACCOUNT #: 100936653	BUY 0 Units @0.0000						
10/16/2018	***-**-84FB - SPORRER, SHIRLEY	MORNINGSTAR ADVISOR FEE	178.06	178.06	0.00	178.06	70.0	124.64
	ACCOUNT #: 100806900	BUY 0 Units @0.0000						
10/16/2018	***-**-00FB - SPORRER, JERALD	MORNINGSTAR ADVISOR FEE	210.55	210.55	0.00	210.55	70.0	147.39
	ACCOUNT #: 100806886	BUY 0 Units @0.0000						
10/16/2018	***-**-92FB - SAUDER FAMILY,	MORNINGSTAR ADVISOR FEE	222.29	222.29	0.00	222.29	70.0	155.60
	ACCOUNT #: 101006690	BUY 0 Units @0.0000						
10/16/2018	***-**-0737 - SCHROEDER, JACK	MORNINGSTAR ADVISOR FEE	348.53	348.53	0.00	348.53	70.0	243.97
	ACCOUNT #: 100793254	BUY 0 Units @0.0000						
10/16/2018	***-**-37FB - SCHROEDER, JACK	MORNINGSTAR ADVISOR FEE	685.01	685.01	0.00	685.01	70.0	479.51
	ACCOUNT #: 100793241	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$1,855.18	\$1,855.18	\$0.00	\$1,855.18		\$1,298.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/01/2018	***-**-440I - CLERKIN, PAUL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	18.48	0.00	18.48	70.0	12.94
	ACCOUNT #: 05311969078	BUY 0 Units @0.0000						
10/01/2018	***-**-294I - CLERKIN, NANCY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	9.21	0.00	9.21	70.0	6.45
	ACCOUNT #: 08191268727	BUY 0 Units @0.0000						
10/01/2018	***-**-440I - CLERKIN, PAUL	MFS EMERGING GROWTH FUND CLASS A	0.00	26.77	0.00	26.77	70.0	18.74
	ACCOUNT #: 06000600286	BUY 0 Units @0.0000						
10/01/2018	***-**-294I - CLERKIN, NANCY	MFS EMERGING GROWTH FUND CLASS A	0.00	11.72	0.00	11.72	70.0	8.20
	ACCOUNT #: 08191268727	BUY 0 Units @0.0000						
10/01/2018	***-**-440I - CLERKIN, PAUL	MFS HIGH INCOME FUND-A	0.00	6.22	0.00	6.22	70.0	4.35
	ACCOUNT #: 03551291542	BUY 0 Units @0.0000						
10/01/2018	***-**-837I - CURTIN, PAUL	MFS MUNICIPAL INCOME FUND-A	0.00	3.39	0.00	3.39	70.0	2.37
	ACCOUNT #: 08191264373	BUY 0 Units @0.0000						
10/01/2018	***-**-531I - KRUCKENBERG,	PUTNAM EQUITY INCOME FUND-A	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: 00490592058	BUY 0 Units @0.0000						
10/01/2018	***-**-383I - PLAN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.89	0.00	4.89	70.0	3.42
	ACCOUNT #: 00550577593	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$81.19	\$0.00	\$81.19		\$56.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/29/2018	***-**-151I - SAUDER, EUGENE	NATIONWIDE CUSIP 638662700-NSCC	0.00	22.02	0.00	22.02	70.0	15.41
	ACCOUNT #: 013403982	BUY 0 Units @0.0000						
09/29/2018	***-**-156I - HIGHTREE, SANDRA	NATIONWIDE CUSIP 638942565-NSCC	0.00	32.47	0.00	32.47	70.0	22.73
	ACCOUNT #: 016003194	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$54.49	\$0.00	\$54.49		\$38.14
		*** BROKER TRANSACTIONS TOTAL ***	\$1,855.18	\$1,990.86	\$0.00	\$1,990.86		\$1,393.60

BROKER COMMISSION TOTALS

Broker Transactions	\$1,393.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,393.60
Year-to-date Earnings	\$55,516.00