

COMMISSION STATEMENT

September 30, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: C20

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/05/2018	QGV006554	LION	SUN CMNTYS INC COM	B	50,050.00	0.00	17.00	-17.00	75.0	-17.00
09/14/2018	QGV006554	LION	BRP INC COMMON SUBORDINATE VOTING S B		18,681.56	0.00	17.00	-17.00	75.0	-17.00
09/14/2018	QGV006554	LION	FOAMIX PHARMACEUTICALS LTD SHS ISIN B		2,400.00	0.00	17.00	-17.00	75.0	-17.00
09/21/2018	QGV006554	LION	VIKING THERAPEUTICS INC COM	B	9,250.00	0.00	17.00	-17.00	75.0	-17.00
09/21/2018	QGV006554	LION	WESTERN ASSET MORTGAGE CAPITAL CORP B		21,700.00	0.00	17.00	-17.00	75.0	-17.00
09/25/2018	QGV006554	LION	CLEARWAY ENERGY INC CL C	B	29,025.00	0.00	17.00	-17.00	75.0	-17.00
09/25/2018	QGV006554	LION	OPIANT PHARMACEUTICALS INC COM	B	3,400.00	0.00	17.00	-17.00	75.0	-17.00
09/26/2018	QGV006554	LION	ENTASIS THERAPEUTICS HOLDINGS INC C B		7,500.00	0.00	17.00	-17.00	75.0	-17.00
09/17/2018	QGW031809	SABER, MARK	NEONODE INC COM NEW	S	-5,204.00	250.00	17.00	233.00	75.0	170.50
09/05/2018	QGW031825	CARPENTER, BOBBY	FTE NETWORKS INC COM PAR \$0.001 NEW B		9,291.83	150.00	17.00	133.00	75.0	95.50
09/05/2018	QGW031874	LABOMBARD, JOYCE	FTE NETWORKS INC COM PAR \$0.001 NEW B		2,460.00	50.00	17.00	33.00	75.0	20.50
09/13/2018	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	-6,016.00	40.00	17.00	23.00	75.0	13.00
09/13/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	S	-30,002.50	100.00	17.00	83.00	75.0	58.00
09/21/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	B	32,412.50	50.00	17.00	33.00	75.0	20.50
09/24/2018	QGW031882	MICKES, THOMAS	FORTIVE CORPORATION COM	B	8,558.53	30.00	17.00	13.00	75.0	5.50
09/07/2018	QGW039778	COLE, PHILLIP	VUZIX CORP COM NEW	B	65,456.00	1,023.19	17.00	1,006.19	75.0	750.39
09/18/2018	QGW039778	COLE, PHILLIP	STONE HARBOR EMERGING MARKETS INCOM B		25,357.00	275.00	17.00	258.00	75.0	189.25
09/21/2018	QGW039778	COLE, PHILLIP	VUZIX CORP COM NEW	B	31,697.50	300.00	17.00	283.00	75.0	208.00
09/24/2018	QGW039778	COLE, PHILLIP	FORTIVE CORPORATION COM	B	8,550.62	30.00	17.00	13.00	75.0	5.50
09/26/2018	QGW039778	COLE, PHILLIP	VUZIX CORP COM NEW	B	837.50	8.75	17.00	-8.25	75.0	-10.44
09/26/2018	QGW039778	COLE, PHILLIP	VUZIX CORP COM NEW	B	32,906.25	350.00	17.00	333.00	75.0	245.50

* STOCKS SUBTOTAL *	\$318,311.79	\$2,656.94	\$357.00	\$2,299.94		\$1,635.70
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PRODUCT CATEGORY: BONDS

09/24/2018	QGW039778	COLE, PHILLIP	BARCLAYS BANK PLC LKD TO ISDAFIX US B		100,000.00	1,500.00	17.00	1,483.00	75.0	1,108.00
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* BONDS SUBTOTAL *	\$100,000.00	\$1,500.00	\$17.00	\$1,483.00		\$1,108.00
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*** BROKER TRANSACTIONS TOTAL ***	\$418,311.79	\$4,156.94	\$374.00	\$3,782.94		\$2,743.70
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ADJUSTMENTS

09/30/2018		COMMISSION INCOME: ETTX IPO		0.00	0.00	0.00	0.00		49.61
09/30/2018		COMMISSION INCOME: SUN COMMUNITIES		0.00	0.00	0.00	0.00		11.81
09/30/2018		COMMISSION INCOME: WMC SECONDARY		0.00	0.00	0.00	0.00		112.50
09/30/2018		COMMISSION INCOME: DOOO SECONDARY		0.00	0.00	0.00	0.00		53.30
09/30/2018		COMMISSION INCOME: VKIX SECONDARY		0.00	0.00	0.00	0.00		74.93
09/30/2018		COMMISSION INCOME: FOMX SECONDARY		0.00	0.00	0.00	0.00		19.44
09/30/2018		COMMISSION INCOME: CWEN SECONDARY		0.00	0.00	0.00	0.00		50.63
09/30/2018		COMMISSION INCOME: OPNT SECONDARY		0.00	0.00	0.00	0.00		27.54
09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$371.76

BROKER COMMISSION TOTALS

Broker Transactions	\$2,743.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$371.76
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.20
SIPC Assessment	\$-10.39

Check Amount	\$3,099.87
Year-to-date Earnings	\$46,058.48