



COMMISSION STATEMENT

October 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/15/2018	***-**-36IR - LEONE-WHITTLE, ACCOUNT #: 00068471550	AMERICAN BALANCED FUND-C BUY 2 Units @26.8500	50.00	0.50	0.00	0.50	95.0	0.48
10/01/2018	***-**-71IN - O'NEILL, RAYMOND ACCOUNT #: 000019400013468	FRK STRAT INC FND - CL A BUY 7 Units @10.0100	75.00	3.00	0.00	3.00	95.0	2.85
10/05/2018	***-**-71IN - O'NEILL, RAYMOND ACCOUNT #: 000019400013468	FRK STRAT INC FND - CL A BUY 5 Units @9.9400	50.00	2.00	0.00	2.00	95.0	1.90
* MUTUAL FUNDS SUBTOTAL *			\$175.00	\$5.50	\$0.00	\$5.50		\$5.23
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/01/2018	***-**-47IN - CUTTITA, DIMITRI ACCOUNT #: 03809665049	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	10.69	0.00	10.69	95.0	10.16
10/01/2018	***-**-647I - WOLFE, MARY ACCOUNT #: 05010859469	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	6.09	0.00	6.09	95.0	5.79
10/01/2018	***-**-304I - COLE, REBECCA ACCOUNT #: 05037871018	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	95.0	0.71
10/01/2018	***-**-41UG - GALIANO, ACCOUNT #: 03909166716	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	95.0	0.09
10/01/2018	***-**-38IR - MALONE, KELLEY ACCOUNT #: 05033603886	AIM GROWTH ALLOCATION FUND - CLASS BUY 0 Units @0.0000	0.00	29.46	0.00	29.46	95.0	27.99
10/01/2018	***-**-47IR - MALONE, MICHAEL ACCOUNT #: 03910978265	AIM INTERNATIONAL GROWTH FUND - CL BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	95.0	0.23
10/22/2018	***-**-86I - SEELEY, NANCY ACCOUNT #: 5291468659	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	4.40	0.00	4.40	95.0	4.18
10/22/2018	***-**-43IN - AGLE, PATRICIA	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	39.75	0.00	39.75	95.0	37.76
10/22/2018	***-**-919I - KITSON, THOMAS	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	1.65	0.00	1.65	95.0	1.57
10/08/2018	***-**-6853 - SAUER, GEORGE ACCOUNT #: 00026258871	DEUTSCHE GNMA FUND - A BUY 0 Units @0.0000	0.00	33.01	0.00	33.01	95.0	31.36
10/01/2018	***-**-47IR - MALONE, MICHAEL ACCOUNT #: 03910978265	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	0.03	0.00	0.03	95.0	0.03
10/22/2018	***-**-00IN - LAMENDOLA,	NUVEEN MF TRAILS BUY 0 Units @0.0000	0.00	11.88	0.00	11.88	95.0	11.29



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/12/2018	***-**-43IN - AGLE, PATRICIA	REGIONAL BANK A	0.00	15.02	0.00	15.02	95.0	14.27
	ACCOUNT #: 00777135195	BUY 0 Units @0.0000						
10/22/2018	***-**-786F - SEELEY, NANCY	SCHOLAR'S EDGE TRAILS	0.00	6.59	0.00	6.59	95.0	6.26
	ACCOUNT #: 2000093784	BUY 0 Units @0.0000						
10/22/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF TRAILS	0.00	6.34	0.00	6.34	95.0	6.02
		BUY 0 Units @0.0000						
10/22/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF TRAILS	0.00	0.17	0.00	0.17	95.0	0.16
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$166.16	\$0.00	\$166.16		\$157.87
*** BROKER TRANSACTIONS TOTAL ***			\$175.00	\$171.66	\$0.00	\$171.66		\$163.10

OVERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

10/15/2018	***-**-641X - BULLARO, FBO	AMERICAN BALANCED FUND-A	325.00	16.25	0.00	16.25	20.0	3.25
	ACCOUNT #: LSR010641	BUY 11 Units @28.6800						
10/15/2018	***-**-435X - KEITH, FBO	AMERICAN BALANCED FUND-A	325.00	16.25	0.00	16.25	20.0	3.25
	ACCOUNT #: LSR010643	BUY 11 Units @28.6800						
10/15/2018	***-**-721X - VAILLANCOURT,	AMERICAN BALANCED FUND-A	100.01	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: LSR010644	BUY 3 Units @28.6800						
10/15/2018	***-**-008X - HICKS, FBO	AMERICAN BALANCED FUND-A	475.00	23.75	0.00	23.75	20.0	4.75
	ACCOUNT #: LSR010645	BUY 17 Units @28.6800						
10/15/2018	***-**-435X - KEITH, FBO	AMERICAN BALANCED FUND-A	-325.00	-16.25	0.00	-16.25	20.0	-3.25
	ACCOUNT #: LSR010643	CANCEL 11 Units @28.6800						
10/15/2018	***-**-8906 - ISBELL,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00073594886	BUY 2 Units @28.0100						
10/15/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @28.6800						
10/15/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 14 Units @28.0100						
10/15/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @28.0100						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
10/15/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @28.0100	90.75	2.50	0.00	2.50	20.0	0.50
10/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN BALANCED FUND-A BUY 4 Units @28.0100	100.00	2.75	0.00	2.75	20.0	0.55
10/15/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN BALANCED FUND-A BUY 2 Units @28.0100	50.00	1.38	0.00	1.38	20.0	0.28
10/15/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 1 Units @28.4500	37.50	1.59	0.00	1.59	20.0	0.32
10/15/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @28.6800	60.00	3.00	0.00	3.00	20.0	0.60
10/17/2018	***-**-4906 - VAN ALSTINE, ACCOUNT #: 00086106101	AMERICAN BALANCED FUND-A BUY 2 Units @28.9500	50.00	2.50	0.00	2.50	20.0	0.50
10/15/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5600	50.00	1.50	0.00	1.50	20.0	0.30
10/15/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.5300	38.25	1.05	0.00	1.05	20.0	0.21
10/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5300	25.00	0.69	0.00	0.69	20.0	0.14
10/15/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5300	100.00	2.75	0.00	2.75	20.0	0.55
10/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5300	50.00	1.38	0.00	1.38	20.0	0.28
10/15/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5300	50.00	1.38	0.00	1.38	20.0	0.28
10/15/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.5300	165.00	4.54	0.00	4.54	20.0	0.91
10/15/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5600	52.50	1.58	0.00	1.58	20.0	0.32
10/02/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	20.0	0.36
10/15/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	20.0	0.36



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
10/02/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
10/02/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
10/02/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
10/15/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
10/15/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
10/15/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
10/15/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 7 Units @60.5700						
10/15/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	4.54	0.00	4.54	20.0	0.91
	ACCOUNT #: 00081620466	BUY 3 Units @49.9500						
10/15/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 2 Units @49.9500						
10/15/2018	***-**-3143 - HUMPF, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 1 Units @49.9500						
10/15/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @49.9500						
10/15/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @49.9500						
10/15/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @50.7400						
10/02/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
10/02/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
10/15/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
10/15/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
10/15/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @50.9700						
10/15/2018	***-**-7598 - HUMPF, MINDI	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 1 Units @50.9700						
10/02/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
10/02/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
10/15/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
10/15/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
10/02/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
10/15/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
10/02/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
10/02/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
10/15/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
10/15/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
10/02/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
10/15/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
10/02/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
10/15/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
10/02/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
10/02/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	9.84	0.00	9.84	20.0	1.97
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
10/15/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
10/15/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	9.84	0.00	9.84	20.0	1.97
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
10/02/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
10/02/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
10/02/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
10/15/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
10/15/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
10/15/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
10/02/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
10/02/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
10/15/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
10/15/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
10/15/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @58.1900						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
10/15/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 7 Units @12.8400	90.75	2.50	0.00	2.50	20.0	0.50
10/15/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.7000	54.00	1.49	0.00	1.49	20.0	0.30
10/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @54.7000	25.00	0.69	0.00	0.69	20.0	0.14
10/15/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @54.7000	175.00	4.81	0.00	4.81	20.0	0.96
10/15/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.7000	93.50	2.57	0.00	2.57	20.0	0.51
10/15/2018	***-**-9089 - REEDY, LISA ACCOUNT #: 00083100942	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.7000	50.00	1.38	0.00	1.38	20.0	0.28
10/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.7000	133.00	3.66	0.00	3.66	20.0	0.73
10/15/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.7000	70.00	1.93	0.00	1.93	20.0	0.39
10/15/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 3 Units @54.7000	170.00	4.68	0.00	4.68	20.0	0.94
10/15/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.2600	38.25	1.05	0.00	1.05	20.0	0.21
10/15/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.2600	25.00	0.69	0.00	0.69	20.0	0.14
10/15/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 3 Units @23.8200	60.00	3.00	0.00	3.00	20.0	0.60
 * RONALD DRAKE SUBTOTAL * 			\$8,673.01	\$295.71	\$0.00	\$295.71		\$59.30
<u> BROKER: RONALD CIOTOLI </u>								
10/15/2018	***-**-923I - MILLER, DENISE ACCOUNT #: 00068752100	AMERICAN BALANCED FUND-A BUY 4 Units @28.3000	100.00	3.75	0.00	3.75	35.0	1.31
10/02/2018	***-**-3380 - DENTON, KELLI ACCOUNT #: 03210124708	CAPITAL APPRECIATION A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	35.0	0.83
10/15/2018	***-**-3380 - DENTON, KELLI ACCOUNT #: 03210124708	CAPITAL APPRECIATION A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	35.0	0.83



COMMISSION STATEMENT

October 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: RONALD CIOTOLI (continued)</u> 								
10/05/2018	***-**-7171 - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	300.00	14.25	0.00	14.25	35.0	4.99
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
		* RONALD CIOTOLI SUBTOTAL *	\$500.00	\$22.76	\$0.00	\$22.76		\$7.96
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$9,173.01	\$318.47	\$0.00	\$318.47		\$67.26

BROKER COMMISSION TOTALS

Broker Transactions	\$163.10
Split Transactions	\$0.00
Override Transactions	\$67.26
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$230.36
Year-to-date Earnings	\$23,255.03