



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/08/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.8900						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	8.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00063412117	BUY 0 Units @34.8900						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.8900						
10/08/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.8900						
10/08/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.8900						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @32.9700						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @32.9700						
10/23/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @32.9700						
10/23/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @32.9700						
10/08/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @30.1300						
10/10/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	225.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 04000591533	BUY 8 Units @29.0300						
10/23/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	67.93	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 04000650661	BUY 2 Units @28.4700						
10/25/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	15.80	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409714	BUY 1 Units @27.9500						
10/25/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409714	BUY 17 Units @27.9500						
10/11/2018	***-**-825P - MASTROSIMONE,	AMERICAN BALANCED FUND-A	299.40	2.99	0.00	2.99	80.0	2.39
	ACCOUNT #: 00067351603	BUY 11 Units @26.9100						
10/11/2018	***-**-825P - MASTROSIMONE,	AMERICAN BALANCED FUND-A	226.81	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 00067351603	BUY 8 Units @26.9100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188	AMERICAN BALANCED FUND-A BUY 11 Units @26.9100	298.45	2.98	0.00	2.98	80.0	2.38
10/11/2018	***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188	AMERICAN BALANCED FUND-A BUY 0 Units @26.9100	10.50	0.11	0.00	0.11	80.0	0.09
10/11/2018	***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327	AMERICAN BALANCED FUND-A BUY 1 Units @26.9100	18.00	0.18	0.00	0.18	80.0	0.14
10/11/2018	***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327	AMERICAN BALANCED FUND-A BUY 11 Units @26.9100	298.45	2.98	0.00	2.98	80.0	2.38
10/11/2018	***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532	AMERICAN BALANCED FUND-A BUY 4 Units @26.9100	100.00	1.00	0.00	1.00	80.0	0.80
10/11/2018	***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532	AMERICAN BALANCED FUND-A BUY 4 Units @26.9100	98.91	0.99	0.00	0.99	80.0	0.79
10/11/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	AMERICAN BALANCED FUND-A BUY 1 Units @26.9100	36.00	0.36	0.00	0.36	80.0	0.29
10/11/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	AMERICAN BALANCED FUND-A BUY 1 Units @26.9100	36.00	0.36	0.00	0.36	80.0	0.29
10/11/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	AMERICAN BALANCED FUND-A BUY 1 Units @26.9100	30.60	0.31	0.00	0.31	80.0	0.25
10/11/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	AMERICAN BALANCED FUND-A BUY 2 Units @26.9100	40.80	0.41	0.00	0.41	80.0	0.33
10/11/2018	***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442	AMERICAN BALANCED FUND-A BUY 1 Units @26.9100	38.40	0.38	0.00	0.38	80.0	0.30
10/11/2018	***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442	AMERICAN BALANCED FUND-A BUY 4 Units @26.9100	102.40	1.02	0.00	1.02	80.0	0.82
10/11/2018	***-**-9535 - MITCHELL, ACCOUNT #: 00089874312	AMERICAN BALANCED FUND-A BUY 3 Units @26.9100	77.22	0.77	0.00	0.77	80.0	0.62
10/11/2018	***-**-9535 - MITCHELL, ACCOUNT #: 00089874312	AMERICAN BALANCED FUND-A BUY 4 Units @26.9100	120.00	1.20	0.00	1.20	80.0	0.96
10/12/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	AMERICAN BALANCED FUND-A BUY 1 Units @27.0900	20.00	0.20	0.00	0.20	80.0	0.16
10/12/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	AMERICAN BALANCED FUND-A BUY 0 Units @27.0900	10.00	0.10	0.00	0.10	80.0	0.08



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/22/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @26.9700						
10/22/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @26.9700						
10/23/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 2 Units @28.1000						
10/08/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @27.5300						
10/08/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @27.5300						
10/08/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.93	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @27.5300						
10/08/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @27.5300						
10/08/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904210	BUY 0 Units @27.5300						
10/08/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @27.5300						
10/08/2018	***-**-1134 - BARTON, WILLIAM	AMERICAN BALANCED FUND-C	87.96	0.88	0.00	0.88	80.0	0.70
	ACCOUNT #: 00089244081	BUY 3 Units @27.5300						
10/08/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	37.75	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00089679504	BUY 1 Units @27.5300						
10/09/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 7 Units @27.5600						
10/09/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089045192	BUY 1 Units @27.5600						
10/09/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	9.81	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089583477	BUY 0 Units @27.5600						
10/09/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.5600						
10/22/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 7 Units @26.7800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 2 Units @26.6500	40.00	0.40	0.00	0.40	80.0	0.32
10/23/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089244081	AMERICAN BALANCED FUND-C BUY 4 Units @26.6500	94.56	0.95	0.00	0.95	80.0	0.76
10/23/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @26.6500	19.67	0.20	0.00	0.20	80.0	0.16
10/23/2018	***-**-035S - CAPIZZI, TRACIE ACCOUNT #: 00089679504	AMERICAN BALANCED FUND-C BUY 1 Units @26.6500	37.75	0.38	0.00	0.38	80.0	0.30
10/25/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @26.5400	50.00	0.50	0.00	0.50	80.0	0.40
10/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	AMERICAN BALANCED FUND-C BUY 4 Units @26.5400	100.00	1.00	0.00	1.00	80.0	0.80
10/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 6 Units @26.5400	160.00	1.60	0.00	1.60	80.0	1.28
10/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @26.5400	12.00	0.12	0.00	0.12	80.0	0.10
10/25/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 5 Units @26.5400	120.00	1.20	0.00	1.20	80.0	0.96
10/25/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 5 Units @26.5400	120.00	1.20	0.00	1.20	80.0	0.96
10/25/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000886858	AMERICAN BALANCED FUND-C BUY 38 Units @26.5400	1,000.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @26.3400	28.05	0.28	0.00	0.28	80.0	0.22
10/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 0 Units @26.3400	3.63	0.04	0.00	0.04	80.0	0.03
10/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @26.3400	0.89	0.01	0.00	0.01	80.0	0.01
10/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @26.3400	6.00	0.06	0.00	0.06	80.0	0.05
10/26/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @26.3400	16.00	0.16	0.00	0.16	80.0	0.13



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	2.89	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904210	BUY 0 Units @26.3400						
10/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 2 Units @26.3400						
10/08/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	98.82	0.99	0.00	0.99	80.0	0.79
	ACCOUNT #: 04000820464	BUY 5 Units @19.0700						
10/08/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	16.47	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000820464	BUY 1 Units @19.0700						
10/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	46.96	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 04000820464	BUY 3 Units @17.8300						
10/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.83	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @17.8300						
10/10/2018	***-**-7063 - ZIOTO, JULIA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000322984	BUY 3 Units @30.9800						
10/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 3 Units @30.2100						
10/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH INCOME MUNI BOND CLAS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 3 Units @15.5400						
10/08/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.2000						
10/08/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.2000						
10/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.0500						
10/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.0500						
10/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH-INCOME TRUST-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 5 Units @10.0500						
10/12/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @41.4100						
10/12/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 00066759711	BUY 14 Units @41.4100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/22/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @41.3800						
10/22/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 00066759711	BUY 15 Units @41.3800						
10/08/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.61	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @42.2900						
10/08/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @42.2900						
10/08/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @42.2900						
10/22/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @40.7800						
10/25/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	4.07	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409710	BUY 0 Units @40.1200						
10/25/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	30.53	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 04000409710	BUY 1 Units @40.1200						
10/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	0.74	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @39.7400						
10/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @39.7400						
10/22/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00087299599	BUY 5 Units @58.5500						
10/26/2018	***-**-5838 - SPOLETA, BRENDA	CAPITAL INCOME BUILDER CLASS C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 2 Units @57.6400						
10/22/2018	***-**-0447 - LEVINE, JACQUE	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00084996765	BUY 2 Units @61.2400						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @19.2800						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00063412117	BUY 0 Units @19.2800						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @19.2800						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.2800	22.25	0.27	0.00	0.27	80.0	0.22
10/08/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.2800	22.25	0.27	0.00	0.27	80.0	0.22
10/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.3300	22.25	0.27	0.00	0.27	80.0	0.22
10/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.3300	22.25	0.27	0.00	0.27	80.0	0.22
10/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.3300	22.25	0.27	0.00	0.27	80.0	0.22
10/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.3300	22.25	0.27	0.00	0.27	80.0	0.22
10/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	CAPITAL WORLD BOND FUND-C BUY 5 Units @18.6800	100.00	1.00	0.00	1.00	80.0	0.80
10/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @49.4300	96.40	0.96	0.00	0.96	80.0	0.77
10/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.4300	3.60	0.04	0.00	0.04	80.0	0.03
10/09/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.4400	30.00	0.30	0.00	0.30	80.0	0.24
10/09/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.4400	40.00	0.40	0.00	0.40	80.0	0.32
10/11/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @47.8900	27.20	0.27	0.00	0.27	80.0	0.22
10/11/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @47.8900	15.30	0.15	0.00	0.15	80.0	0.12
10/11/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @47.8900	36.00	0.36	0.00	0.36	80.0	0.29
10/11/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @47.8900	36.00	0.36	0.00	0.36	80.0	0.29
10/12/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @48.4500	20.00	0.20	0.00	0.20	80.0	0.16



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/22/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758344	BUY 0 Units @48.1100						
10/23/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @47.1800						
10/25/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @46.5200						
10/25/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @46.5200						
10/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @46.0200						
10/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @46.0200						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @51.5900						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	6.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00063412117	BUY 0 Units @51.5900						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @51.5900						
10/08/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @51.5900						
10/08/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @51.5900						
10/11/2018	***-**-275P - HUGGINS, EDDISON	EUROPACIFIC GROWTH FUND-A	29.38	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00067335866	BUY 1 Units @48.6800						
10/11/2018	***-**-275P - HUGGINS, EDDISON	EUROPACIFIC GROWTH FUND-A	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00067335866	BUY 1 Units @48.6800						
10/11/2018	***-**-375P - MASTROSIMONE,	EUROPACIFIC GROWTH FUND-A	49.50	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00067351605	BUY 1 Units @48.6800						
10/11/2018	***-**-375P - MASTROSIMONE,	EUROPACIFIC GROWTH FUND-A	79.20	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 00067351605	BUY 2 Units @48.6800						
10/11/2018	***-**-705P - SNYDER, STEVEN	EUROPACIFIC GROWTH FUND-A	32.40	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00067400189	BUY 1 Units @48.6800						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-705P - SNYDER, STEVEN	EUROPACIFIC GROWTH FUND-A	57.60	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00067400189	BUY 1 Units @48.6800						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @49.3300						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @49.3300						
10/23/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @49.3300						
10/23/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @49.3300						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @65.3900						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	12.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 00063412117	BUY 0 Units @65.3900						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @65.3900						
10/08/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @65.3900						
10/08/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @65.3900						
10/11/2018	***-**-705P - SNYDER, STEVEN	FUNDAMENTAL INVESTORS-A	17.60	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00067400189	BUY 0 Units @61.2300						
10/11/2018	***-**-705P - SNYDER, STEVEN	FUNDAMENTAL INVESTORS-A	9.90	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00067400189	BUY 0 Units @61.2300						
10/11/2018	***-**-7063 - REGALI, JOHANNA	FUNDAMENTAL INVESTORS-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 0 Units @61.2300						
10/11/2018	***-**-7063 - REGALI, JOHANNA	FUNDAMENTAL INVESTORS-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 0 Units @61.2300						
10/12/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @62.0300						
10/22/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @61.6800						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/23/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @62.1000						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @62.1000						
10/23/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @62.1000						
10/23/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @62.1000						
10/08/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @64.0600						
10/08/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085902226	BUY 0 Units @64.0600						
10/08/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.16	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @64.0600						
10/08/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @64.0600						
10/08/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @64.0600						
10/10/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	225.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 04000591484	BUY 4 Units @61.9300						
10/22/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @61.3300						
10/25/2018	***-**-615P - LE, TU	FUNDAMENTAL INVESTORS-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00075107985	BUY 2 Units @60.1300						
10/25/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00089051066	BUY 1 Units @60.1300						
10/25/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @60.1300						
10/25/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409801	BUY 8 Units @60.1300						
10/25/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	53.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04000409801	BUY 1 Units @60.1300						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085902226	BUY 0 Units @59.2300						
10/26/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @59.2300						
10/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.18	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @59.2300						
10/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @59.2300						
10/08/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.7900						
10/08/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.7900						
10/26/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @21.7700						
10/26/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @21.7700						
10/08/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @41.3200						
10/08/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @41.3200						
10/08/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @41.3200						
10/08/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.14	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @41.3200						
10/08/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @41.3200						
10/09/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	9.52	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089583477	BUY 0 Units @41.3100						
10/22/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @39.5000						
10/23/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	19.09	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089583477	BUY 0 Units @39.3200						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/25/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409699	BUY 1 Units @38.7700						
10/25/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	14.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 04000409699	BUY 0 Units @38.7700						
10/25/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	4.08	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409710	BUY 0 Units @38.7700						
10/25/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	30.54	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 04000409710	BUY 1 Units @38.7700						
10/26/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @38.2500						
10/26/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @38.2500						
10/26/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @38.2500						
10/26/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	0.72	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @38.2500						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @45.8400						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00063412117	BUY 0 Units @45.8400						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @45.8400						
10/08/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @45.8400						
10/08/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @45.8400						
10/11/2018	***-**-825P - MASTROSIMONE,	NEW PERSPECTIVE FUND-A	299.40	2.99	0.00	2.99	80.0	2.39
	ACCOUNT #: 00067351603	BUY 7 Units @42.9600						
10/11/2018	***-**-825P - MASTROSIMONE,	NEW PERSPECTIVE FUND-A	226.80	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 00067351603	BUY 5 Units @42.9600						
10/11/2018	***-**-815P - WEBER, ANTHONY	NEW PERSPECTIVE FUND-A	44.35	0.44	0.00	0.44	80.0	0.35
	ACCOUNT #: 00067400187	BUY 1 Units @42.9600						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-815P - WEBER, ANTHONY	NEW PERSPECTIVE FUND-A	109.20	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: 00067400187	BUY 3 Units @42.9600						
10/11/2018	***-**-1788 - SYKES, DANIEL	NEW PERSPECTIVE FUND-A	19.20	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00087870442	BUY 0 Units @42.9600						
10/11/2018	***-**-1788 - SYKES, DANIEL	NEW PERSPECTIVE FUND-A	51.20	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00087870442	BUY 1 Units @42.9600						
10/11/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-A	16.18	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00089254350	BUY 0 Units @42.9600						
10/11/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-A	52.80	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00089254350	BUY 1 Units @42.9600						
10/11/2018	***-**-7063 - REGALI, JOHANNA	NEW PERSPECTIVE FUND-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 1 Units @42.9600						
10/11/2018	***-**-7063 - REGALI, JOHANNA	NEW PERSPECTIVE FUND-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 1 Units @42.9600						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @43.7800						
10/23/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @43.7800						
10/23/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @43.7800						
10/23/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @43.7800						
10/08/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @43.1300						
10/08/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085902226	BUY 0 Units @43.1300						
10/08/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.1300						
10/08/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.1300						
10/08/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.52	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @43.1300						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @43.1300						
10/25/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00089051066	BUY 2 Units @40.5900						
10/25/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @40.5900						
10/25/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	23.08	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000409718	BUY 1 Units @40.5900						
10/25/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000409718	BUY 1 Units @40.5900						
10/25/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	17.30	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000409785	BUY 0 Units @40.5900						
10/25/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000409785	BUY 2 Units @40.5900						
10/26/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @39.9500						
10/26/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085902226	BUY 1 Units @39.9500						
10/26/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @39.9500						
10/26/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @39.9500						
10/26/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.55	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @39.9500						
10/26/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 1 Units @39.9500						
10/08/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @59.2700						
10/08/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085902226	BUY 0 Units @59.2700						
10/08/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.52	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @59.2700						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @59.2700						
10/08/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @59.2700						
10/08/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @59.2700						
10/25/2018	***-**-398I - GUERRIERI, PAUL	NEW WORLD FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 3 Units @56.7300						
10/26/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085902226	BUY 0 Units @56.3300						
10/26/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @56.3300						
10/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.54	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @56.3300						
10/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @56.3300						
10/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @56.3300						
10/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @56.3300						
10/11/2018	***-**-685P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	298.45	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: 00067400188	BUY 31 Units @9.7700						
10/11/2018	***-**-685P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00067400188	BUY 1 Units @9.7700						
10/11/2018	***-**-305P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00067436327	BUY 2 Units @9.7700						
10/11/2018	***-**-305P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	298.45	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: 00067436327	BUY 31 Units @9.7700						
10/08/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @50.0800						
10/08/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @50.0800						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 2 Units @46.6900	80.00	0.80	0.00	0.80	80.0	0.64
10/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @46.6900	6.00	0.06	0.00	0.06	80.0	0.05
10/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @46.1300	1.80	0.02	0.00	0.02	80.0	0.02
10/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @46.1300	48.20	0.48	0.00	0.48	80.0	0.38
10/08/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 4 Units @12.5500	44.50	0.53	0.00	0.53	80.0	0.42
10/08/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 0 Units @12.5500	4.00	0.05	0.00	0.05	80.0	0.04
10/08/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 4 Units @12.5500	44.50	0.53	0.00	0.53	80.0	0.42
10/08/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 4 Units @12.5500	44.50	0.53	0.00	0.53	80.0	0.42
10/08/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 4 Units @12.5500	44.50	0.53	0.00	0.53	80.0	0.42
10/11/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE BOND FUND OF AMERICA-A BUY 2 Units @12.4000	30.62	0.31	0.00	0.31	80.0	0.25
10/11/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE BOND FUND OF AMERICA-A BUY 6 Units @12.4000	75.40	0.75	0.00	0.75	80.0	0.60
10/11/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	THE BOND FUND OF AMERICA-A BUY 4 Units @12.4000	48.00	0.48	0.00	0.48	80.0	0.38
10/11/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	THE BOND FUND OF AMERICA-A BUY 4 Units @12.4000	48.00	0.48	0.00	0.48	80.0	0.38
10/11/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE BOND FUND OF AMERICA-A BUY 3 Units @12.4000	40.80	0.41	0.00	0.41	80.0	0.33
10/11/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE BOND FUND OF AMERICA-A BUY 4 Units @12.4000	54.40	0.54	0.00	0.54	80.0	0.43
10/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 4 Units @12.5500	44.50	0.53	0.00	0.53	80.0	0.42



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/23/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063412117	BUY 4 Units @12.5500						
10/23/2018	***-**-535P - DELGAIZO,	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063451518	BUY 4 Units @12.5500						
10/23/2018	***-**-535P - DELGAIZO,	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063451518	BUY 4 Units @12.5500						
10/08/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.3600						
10/08/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @12.3600						
10/08/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	2.89	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @12.3600						
10/08/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085904181	BUY 1 Units @12.3600						
10/26/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.4200						
10/26/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @12.4200						
10/26/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	1.33	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @12.4200						
10/26/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085904181	BUY 1 Units @12.4200						
10/26/2018	***-**-5838 - SPOLETA, BRENDA	THE BOND FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 8 Units @12.4200						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @55.9000						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	6.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00063412117	BUY 0 Units @55.9000						
10/08/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @55.9000						
10/08/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @55.9000						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.9000	66.75	0.80	0.00	0.80	80.0	0.64
10/08/2018	***-**-00IN - DIVASTA, JANINE ACCOUNT #: 00063669925	THE GROWTH FUND OF AMERICA-A BUY 3 Units @57.6500	150.00	5.63	0.00	5.63	80.0	4.50
10/11/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	28.51	0.29	0.00	0.29	80.0	0.23
10/11/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	39.60	0.40	0.00	0.40	80.0	0.32
10/11/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	THE GROWTH FUND OF AMERICA-A BUY 4 Units @52.1700	226.80	2.27	0.00	2.27	80.0	1.82
10/11/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	THE GROWTH FUND OF AMERICA-A BUY 6 Units @52.1700	299.40	2.99	0.00	2.99	80.0	2.39
10/11/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	THE GROWTH FUND OF AMERICA-A BUY 2 Units @52.1700	79.20	0.79	0.00	0.79	80.0	0.63
10/11/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	49.50	0.50	0.00	0.50	80.0	0.40
10/11/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	30.63	0.31	0.00	0.31	80.0	0.25
10/11/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	75.40	0.75	0.00	0.75	80.0	0.60
10/11/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	32.40	0.32	0.00	0.32	80.0	0.26
10/11/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	57.60	0.58	0.00	0.58	80.0	0.46
10/11/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	30.60	0.31	0.00	0.31	80.0	0.25
10/11/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.1700	40.80	0.41	0.00	0.41	80.0	0.33
10/11/2018	***-**-8919 - MASTROSIMONE, ACCOUNT #: 00086553837	THE GROWTH FUND OF AMERICA-A BUY 2 Units @52.1700	80.00	0.80	0.00	0.80	80.0	0.64
10/11/2018	***-**-8919 - MASTROSIMONE, ACCOUNT #: 00086553837	THE GROWTH FUND OF AMERICA-A BUY 2 Units @52.1700	80.00	0.80	0.00	0.80	80.0	0.64



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-1788 - SYKES, DANIEL	THE GROWTH FUND OF AMERICA-A	19.20	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00087870442	BUY 0 Units @52.1700						
10/11/2018	***-**-1788 - SYKES, DANIEL	THE GROWTH FUND OF AMERICA-A	51.20	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00087870442	BUY 1 Units @52.1700						
10/11/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-A	16.19	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00089254350	BUY 0 Units @52.1700						
10/11/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-A	52.80	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00089254350	BUY 1 Units @52.1700						
10/11/2018	***-**-9535 - MITCHELL,	THE GROWTH FUND OF AMERICA-A	51.48	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00089874312	BUY 1 Units @52.1700						
10/11/2018	***-**-9535 - MITCHELL,	THE GROWTH FUND OF AMERICA-A	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00089874312	BUY 2 Units @52.1700						
10/11/2018	***-**-7063 - REGALI, JOHANNA	THE GROWTH FUND OF AMERICA-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 0 Units @52.1700						
10/11/2018	***-**-7063 - REGALI, JOHANNA	THE GROWTH FUND OF AMERICA-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 0 Units @52.1700						
10/12/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 5 Units @53.1600						
10/12/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758344	BUY 1 Units @53.1600						
10/12/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @53.1600						
10/12/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @53.1600						
10/12/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	130.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: 00074922243	BUY 2 Units @53.1600						
10/12/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	61.00	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00083414110	BUY 1 Units @53.1600						
10/12/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @53.1600						
10/12/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @53.1600						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/22/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 5 Units @52.6200	250.00	2.50	0.00	2.50	80.0	2.00
10/22/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.6200	30.00	0.30	0.00	0.30	80.0	0.24
10/22/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.6200	30.00	0.30	0.00	0.30	80.0	0.24
10/22/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.6200	50.00	0.50	0.00	0.50	80.0	0.40
10/22/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.6200	60.00	0.60	0.00	0.60	80.0	0.48
10/22/2018	***-**-4750 - OSCHMANN, MARK ACCOUNT #: 00083414110	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.6200	77.83	0.78	0.00	0.78	80.0	0.62
10/22/2018	***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332	THE GROWTH FUND OF AMERICA-A BUY 0 Units @52.6200	20.00	0.20	0.00	0.20	80.0	0.16
10/22/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	THE GROWTH FUND OF AMERICA-A BUY 0 Units @52.6200	10.00	0.10	0.00	0.10	80.0	0.08
10/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0100	66.75	0.80	0.00	0.80	80.0	0.64
10/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0100	66.75	0.80	0.00	0.80	80.0	0.64
10/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0100	66.75	0.80	0.00	0.80	80.0	0.64
10/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0100	66.75	0.80	0.00	0.80	80.0	0.64
10/23/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 4 Units @54.6700	200.00	7.50	0.00	7.50	80.0	6.00
10/23/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.6700	51.84	1.94	0.00	1.94	80.0	1.55
10/08/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.7600	2.50	0.03	0.00	0.03	80.0	0.02
10/08/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.7600	2.50	0.03	0.00	0.03	80.0	0.02



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.7600	48.20	0.48	0.00	0.48	80.0	0.38
10/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.7600	1.80	0.02	0.00	0.02	80.0	0.02
10/08/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.7600	55.97	0.56	0.00	0.56	80.0	0.45
10/08/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.7600	10.00	0.10	0.00	0.10	80.0	0.08
10/08/2018	***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661	THE GROWTH FUND OF AMERICA-C BUY 2 Units @50.7600	86.54	0.87	0.00	0.87	80.0	0.70
10/09/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 3 Units @50.7900	176.00	1.76	0.00	1.76	80.0	1.41
10/09/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.7900	30.00	0.30	0.00	0.30	80.0	0.24
10/09/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.7900	9.52	0.10	0.00	0.10	80.0	0.08
10/10/2018	***-**-1307 - BONACCI, ACCOUNT #: 00087952601	THE GROWTH FUND OF AMERICA-C BUY 2 Units @48.8100	100.00	1.00	0.00	1.00	80.0	0.80
10/10/2018	***-**-50IN - BONACCI, ACCOUNT #: 00089947853	THE GROWTH FUND OF AMERICA-C BUY 2 Units @48.8100	100.00	1.00	0.00	1.00	80.0	0.80
10/10/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000591484	THE GROWTH FUND OF AMERICA-C BUY 5 Units @48.8100	225.00	2.25	0.00	2.25	80.0	1.80
10/10/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000591533	THE GROWTH FUND OF AMERICA-C BUY 5 Units @48.8100	225.00	2.25	0.00	2.25	80.0	1.80
10/22/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 4 Units @48.5000	176.00	1.76	0.00	1.76	80.0	1.41
10/22/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.5000	10.00	0.10	0.00	0.10	80.0	0.08
10/22/2018	***-**-3497 - WARNEY, KRISTIE ACCOUNT #: 04001232997	THE GROWTH FUND OF AMERICA-C BUY 124 Units @48.5000	6,000.00	60.00	0.00	60.00	80.0	48.00
10/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.1200	30.00	0.30	0.00	0.30	80.0	0.24



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/23/2018	***-**-1134 - BARTON, WILLIAM	THE GROWTH FUND OF AMERICA-C	59.12	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 00089319958	BUY 1 Units @48.1200						
10/23/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	19.09	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089583477	BUY 0 Units @48.1200						
10/23/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	101.89	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 04000650661	BUY 2 Units @48.1200						
10/25/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248474	BUY 1 Units @47.3800						
10/25/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248491	BUY 1 Units @47.3800						
10/25/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409699	BUY 0 Units @47.3800						
10/25/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	14.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 04000409699	BUY 0 Units @47.3800						
10/25/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	15.80	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409714	BUY 0 Units @47.3800						
10/25/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409714	BUY 10 Units @47.3800						
10/25/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	23.08	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000409718	BUY 0 Units @47.3800						
10/25/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000409718	BUY 1 Units @47.3800						
10/25/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	17.30	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000409785	BUY 0 Units @47.3800						
10/25/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000409785	BUY 2 Units @47.3800						
10/25/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409801	BUY 10 Units @47.3800						
10/25/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	53.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04000409801	BUY 1 Units @47.3800						
10/26/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @46.4400						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @46.4400						
10/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @46.4400						
10/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @46.4400						
10/11/2018	***-**-685P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	298.45	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: 00067400188	BUY 13 Units @22.4000						
10/11/2018	***-**-685P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00067400188	BUY 0 Units @22.4000						
10/11/2018	***-**-305P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00067436327	BUY 1 Units @22.4000						
10/11/2018	***-**-305P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	298.45	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: 00067436327	BUY 13 Units @22.4000						
10/22/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 4 Units @23.5200						
10/11/2018	***-**-275P - HUGGINS, EDDISON	THE INVESTMENT COMPANY OF AMERICA-A	28.51	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00067335866	BUY 1 Units @39.8600						
10/11/2018	***-**-275P - HUGGINS, EDDISON	THE INVESTMENT COMPANY OF AMERICA-A	39.60	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00067335866	BUY 1 Units @39.8600						
10/11/2018	***-**-1788 - SYKES, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	19.20	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00087870442	BUY 0 Units @39.8600						
10/11/2018	***-**-1788 - SYKES, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	51.20	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00087870442	BUY 1 Units @39.8600						
10/11/2018	***-**-96CL - SCARPULLA,	THE INVESTMENT COMPANY OF AMERICA-A	16.68	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 00089254350	BUY 0 Units @39.8600						
10/11/2018	***-**-96CL - SCARPULLA,	THE INVESTMENT COMPANY OF AMERICA-A	54.40	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 00089254350	BUY 1 Units @39.8600						
10/12/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @40.2300						
10/12/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @40.2300						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/12/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 0 Units @40.2300						
10/22/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @39.9400						
10/22/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @39.9400						
10/22/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @39.9400						
10/23/2018	***-**-395P - DIVASTA, ANTHONY	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 1 Units @41.6200						
10/11/2018	***-**-7063 - REGALI, JOHANNA	THE NEW ECONOMY FUND-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 0 Units @45.0900						
10/11/2018	***-**-7063 - REGALI, JOHANNA	THE NEW ECONOMY FUND-A	21.96	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 04001141304	BUY 0 Units @45.0900						
10/08/2018	***-**-63IN - DIAMOND, ANN	U.S. GOVERNMENT SECURITIES FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085468057	BUY 4 Units @13.1200						
10/08/2018	***-**-00IN - DIVASTA, JANINE	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	5.63	0.00	5.63	80.0	4.50
	ACCOUNT #: 00063669925	BUY 3 Units @48.9200						
10/11/2018	***-**-825P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	299.40	2.99	0.00	2.99	80.0	2.39
	ACCOUNT #: 00067351603	BUY 7 Units @44.4700						
10/11/2018	***-**-825P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	226.80	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 00067351603	BUY 5 Units @44.4700						
10/11/2018	***-**-375P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	51.00	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00067351605	BUY 1 Units @44.4700						
10/11/2018	***-**-375P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	81.60	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 00067351605	BUY 2 Units @44.4700						
10/11/2018	***-**-685P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	298.45	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: 00067400188	BUY 7 Units @44.4700						
10/11/2018	***-**-685P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00067400188	BUY 0 Units @44.4700						
10/11/2018	***-**-305P - MASTROSIMONE,	WASHINGTON MUTUAL INVESTORS FUND-A	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00067436327	BUY 0 Units @44.4700						



COMMISSION STATEMENT

October 29, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327	WASHINGTON MUTUAL INVESTORS FUND-A BUY 7 Units @44.4700	298.45	2.98	0.00	2.98	80.0	2.38
10/12/2018	***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345	WASHINGTON MUTUAL INVESTORS FUND-A BUY 11 Units @44.9100	500.00	5.00	0.00	5.00	80.0	4.00
10/22/2018	***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345	WASHINGTON MUTUAL INVESTORS FUND-A BUY 11 Units @44.7300	500.00	5.00	0.00	5.00	80.0	4.00
10/08/2018	***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @46.0900	50.00	0.50	0.00	0.50	80.0	0.40
10/08/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @46.0900	5.00	0.05	0.00	0.05	80.0	0.04
10/09/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @46.0300	187.00	1.87	0.00	1.87	80.0	1.50
10/09/2018	***-**-305P - MESH, RONALD ACCOUNT #: 00074720484	WASHINGTON MUTUAL INVESTORS FUND-C BUY 9 Units @46.0300	400.00	4.00	0.00	4.00	80.0	3.20
10/09/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @46.0300	40.00	0.40	0.00	0.40	80.0	0.32
10/22/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @44.1200	187.00	1.87	0.00	1.87	80.0	1.50
10/22/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @44.1200	5.00	0.05	0.00	0.05	80.0	0.04
10/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	WASHINGTON MUTUAL INVESTORS FUND-C BUY 3 Units @43.3700	150.00	1.50	0.00	1.50	80.0	1.20
10/25/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @43.3700	40.00	0.40	0.00	0.40	80.0	0.32
10/25/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @43.3700	40.00	0.40	0.00	0.40	80.0	0.32
* MUTUAL FUNDS SUBTOTAL *			\$33,895.10	\$369.70	\$0.00	\$369.70		\$295.77



COMMISSION STATEMENT

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400 West Metro Park
Rochester, NY 14623

BROKER #: 417
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
10/29/2018	***-**-335P - JULIAN, GIOVANA	NY 529 ADDITIONAL DEPOSIT	226.25	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$226.25	\$2.27	\$0.00	\$2.27		\$1.82
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/29/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS	0.00	9.09	0.00	9.09	80.0	7.27
		BUY 0 Units @0.0000						
10/29/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS	0.00	4.90	0.00	4.90	80.0	3.92
		BUY 0 Units @0.0000						
10/29/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS	0.00	0.31	0.00	0.31	80.0	0.25
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$14.30	\$0.00	\$14.30		\$11.44
		*** BROKER TRANSACTIONS TOTAL ***	\$34,121.35	\$386.27	\$0.00	\$386.27		\$309.03

BROKER COMMISSION TOTALS

Broker Transactions	\$309.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$309.03
Year-to-date Earnings	\$190,415.64