



COMMISSION STATEMENT

December 03, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/28/2018	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00086583849	BUY 4 Units @13.4300						
12/03/2018	***-**-6713 - KREMER, JOHN	VIRTUS STRATEGIC GROWTH	25.00	1.25	0.00	1.25	95.0	1.19
		BUY 0 Units @0.0000						
12/03/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS STRATEGIC GROWTH	50.00	2.50	0.00	2.50	95.0	2.38
		BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$125.00	\$4.75	\$0.00	\$4.75		\$4.52
*** BROKER TRANSACTIONS TOTAL ***			\$125.00	\$4.75	\$0.00	\$4.75		\$4.52

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

11/26/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	20.0	0.64
	ACCOUNT #: 00084492487	BUY 4 Units @27.7900						
11/26/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	20.0	0.86
	ACCOUNT #: 00087990221	BUY 4 Units @28.0800						
11/28/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @28.8600						
11/28/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 2 Units @28.8600						
11/28/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @28.8600						
11/28/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 04000247689	BUY 5 Units @10.2900						
11/26/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @61.0200						
11/26/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	20.0	0.47
	ACCOUNT #: 00084492487	BUY 2 Units @49.9900						
11/26/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	20.0	0.83
	ACCOUNT #: 00084492487	BUY 3 Units @52.3700						
11/26/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @52.9200						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
11/28/2018	***-**-24IR - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 1 Units @55.0300						
11/28/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089897908	BUY 1 Units @55.0300						
11/26/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	20.0	0.94
	ACCOUNT #: 00087990221	BUY 5 Units @23.4600						
11/28/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 3 Units @24.0000						
11/26/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	20.0	0.89
	ACCOUNT #: 00087990221	BUY 3 Units @46.7200						
* RONALD DRAKE SUBTOTAL *			<u>\$1,179.33</u>	<u>\$44.36</u>	<u>\$0.00</u>	<u>\$44.36</u>		<u>\$8.89</u>
<u>BROKER: RONALD CIOTOLI</u>								
11/29/2018	***-**-7556 - WALKER, RANDY	JOHN HANCOCK VENTURE VA MFC GL LS M	0.00	7.80	0.00	7.80	35.0	2.73
		BUY 0 Units @0.0000						
12/03/2018	***-**-295P - MILLER, PAUL	NY 529 ADDITIONAL DEPOSIT	100.00	4.75	0.00	4.75	35.0	1.66
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
12/03/2018	***-**-295P - MILLER, PAUL	NY 529 ADDITIONAL DEPOSIT	100.00	4.05	0.00	4.05	35.0	1.42
	ACCOUNT #: 77043335702	BUY 0 Units @0.0000						
* RONALD CIOTOLI SUBTOTAL *			<u>\$200.00</u>	<u>\$16.60</u>	<u>\$0.00</u>	<u>\$16.60</u>		<u>\$5.81</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$1,379.33</u>	<u>\$60.96</u>	<u>\$0.00</u>	<u>\$60.96</u>		<u>\$14.70</u>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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BROKER COMMISSION TOTALS								
Broker Transactions\$4.52								
Split Transactions\$0.00								
Override Transactions\$14.70								
Adjustments\$0.00								
Payroll Draw\$0.00								
Base Salary\$0.00								
Prior Period Balance\$98.14								
FINRA Assessment\$0.00								
SIPC Assessment\$0.00								
Net Commission Earned\$117.36								
Year-to-date Earnings\$24,695.53								