

# COMMISSION STATEMENT

September 30, 2018

 BARRY DAVIS-D018  
 270 South Glynn Street

 BROKER #: D018  
 BRANCH: DME

Fayetteville, GA 30214

## COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/10/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/17/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/17/2018	00074587618	HAY, JOY	MF	THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	70.0	3.50
09/17/2018	00074587647	HAY, JOY	MF	THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	70.0	3.50
09/17/2018	00085425741	CLOUD, ANTRE	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25	70.0	2.98
09/17/2018	00085425741	CLOUD, ANTRE	MF	THE INCOME FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25	70.0	2.98
09/17/2018	00085425741	CLOUD, ANTRE	MF	THE INVESTMENT COMPANY OF	B	100.00	4.25	0.00	4.25	70.0	2.98
09/20/2018	00085746820	DAVIS, MICHAEL	MF	AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.50	0.00	1.50	70.0	1.05
09/20/2018	00085746820	DAVIS, MICHAEL	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/10/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46	70.0	0.32
09/10/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44	70.0	1.01
09/10/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20	70.0	0.14
09/10/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
09/17/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46	70.0	0.32
09/17/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44	70.0	1.01
09/17/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
09/17/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20	70.0	0.14
09/10/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34	70.0	0.24
09/10/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41	70.0	0.29
09/10/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84	70.0	0.59
09/10/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03	70.0	0.72
09/10/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50	70.0	0.35
09/10/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
09/17/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41	70.0	0.29
09/17/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34	70.0	0.24
09/17/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03	70.0	0.72
09/17/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84	70.0	0.59
09/17/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
09/17/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50	70.0	0.35
09/10/2018	00086607305	MINSHEW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
09/10/2018	00086607305	MINSHEW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/10/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/10/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/10/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/10/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/10/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
09/10/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
09/17/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
09/17/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
09/17/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/17/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/17/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/17/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
09/17/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
09/17/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
09/10/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	13.80	0.38	0.00	0.38	70.0	0.27
09/10/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	13.80	0.38	0.00	0.38	70.0	0.27
09/10/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	13.80	0.38	0.00	0.38	70.0	0.27
09/10/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	13.80	0.38	0.00	0.38	70.0	0.27
09/17/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	13.80	0.38	0.00	0.38	70.0	0.27
09/17/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	13.80	0.38	0.00	0.38	70.0	0.27
09/17/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	13.80	0.38	0.00	0.38	70.0	0.27
09/17/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	13.80	0.38	0.00	0.38	70.0	0.27
* MUTUAL FUNDS SUBTOTAL *				\$1,310.38	\$47.45	\$0.00	\$47.45		\$33.30

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/08/2018 (None) ROBERTS, BARBEL	VAT AMERICAN GENERAL	B	0.00	345.20	0.00	345.20	70.0	241.64
09/15/2018 (None) ELLIOTT, MARGARETT	VAT AMERICAN GENERAL	B	0.00	206.21	0.00	206.21	70.0	144.35
09/12/2018 VR07080983 ADCOCK	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	148.61	0.00	148.61	70.0	104.03
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$700.02	\$0.00	\$700.02	\$490.02
*** DIRECT TRANSACTIONS TOTAL ***				\$1,310.38	\$747.47	\$0.00	\$747.47	\$523.32

LINKED CLEARING TRANSACTIONS

09/21/2018 mfc000309 DAVIS 000309	MFT AMERICAN BALANCED FUND-A	B	0.00	5.13	0.00	5.13		3.59
09/24/2018 mfc000309 DAVIS 000309	MFT AMERICAN BALANCED FUND-A	B	0.00	4.84	0.00	4.84		3.39
* BRYANT DAVIS-D084 SUBTOTAL *				\$0.00	\$9.97	\$0.00	\$9.97	\$6.98
*** LINKED CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$9.97	\$0.00	\$9.97	\$6.98

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-155.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-155.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$530.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$375.30
Year-to-date Commissions	\$48,806.29