

COMMISSION STATEMENT

October 31, 2018

MICHAEL BARTOLOTTA
57 EISENHOWER DRIVEBROKER #: A10 W10
BRANCH: FULCRUM GROUP

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BARTOLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2018	75502127 HAUGHEY, LISA	AT&T INC	B	1,622.40	0.00	0.00	0.00	80.0	0.00
10/04/2018	75502127 HAUGHEY, LISA	GARRETT MOTION INC COMMON STOCK	S	1,640.54	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				<u>\$3,262.94</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
10/23/2018	VARIOUS, BAROLOTTA	ADP ADVISOR 401K	B	0.00	19,102.52	0.00	19,102.52	80.0	15,282.02
* MISCELLANEOUS SUBTOTAL *				<u>\$0.00</u>	<u>\$19,102.52</u>	<u>\$0.00</u>	<u>\$19,102.52</u>		<u>\$15,282.02</u>
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75502093 FIDER, LOURDES	FAS FEES PT. I/III	B	-52.14	8.69	0.00	8.69	80.0	6.95
10/01/2018	75502127 HAUGHEY, LISA	FAS FEES PT. I/III	B	-1,910.91	636.97	0.00	636.97	80.0	509.58
10/01/2018	75502134 HAUGHEY, LISA	FAS FEES PT. I/III	B	-479.74	159.91	0.00	159.91	80.0	127.93
10/01/2018	75502152 PELLEGRINO, CATHERINE	FAS FEES PT. I/III	B	-15.76	5.25	0.00	5.25	80.0	4.20
10/01/2018	75502164 BENNETT, EVERRETT	FAS FEES PT. I/III	B	-623.04	207.68	0.00	207.68	80.0	166.14
* RIA SUBTOTAL *				<u>\$-3,081.59</u>	<u>\$1,018.50</u>	<u>\$0.00</u>	<u>\$1,018.50</u>		<u>\$814.80</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018		FEE COUNT - 32		0.00	111.90	0.00	111.90	80.0	85.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$111.90</u>	<u>\$0.00</u>	<u>\$111.90</u>		<u>\$85.74</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$181.35</u>	<u>\$20,232.92</u>	<u>\$0.00</u>	<u>\$20,232.92</u>		<u>\$16,182.56</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-174.45
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-89.55
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-356.70
10/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.50
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: RBC WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-953.20</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$16,182.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-953.20
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.41
SIPC Assessment	\$-2.83

Check Amount	\$15,225.12
Year-to-date Earnings	\$160,892.89