

COMMISSION STATEMENT

September 30, 2018

ERIC FOREMAN-D023

628 Tupper Drive

Gallatin, TN 37066

BROKER #: D023

BRANCH: DME

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/20/2018	00060749839	LUSTER, KAREN	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	3.75	0.00	3.75	70.0	2.63
09/20/2018	00060905765	LUSTER, KAREN	MF	EUROPACIFIC GROWTH FUND-A	B	300.00	11.25	0.00	11.25	70.0	7.88
09/10/2018	00068396974	TURNER/AUTH PER,	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
09/10/2018	00068903331	TURNER, TONEY	MF	FUNDAMENTAL INVESTORS-A	B	100.00	2.75	0.00	2.75	70.0	1.93
09/10/2018	00068903331	TURNER, TONEY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
09/10/2018	00068903331	TURNER, TONEY	MF	THE INVESTMENT COMPANY OF	B	100.00	2.75	0.00	2.75	70.0	1.93
09/17/2018	00073284361	AMMETT	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
09/17/2018	00073401998	CRISWELL, SUSAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.13	0.00	2.13	70.0	1.49
09/17/2018	00081140284	LEACH, EVA	MF	FUNDAMENTAL INVESTORS-A	B	100.00	5.00	0.00	5.00	70.0	3.50
09/17/2018	00082879845	MORRIS, HENRY	MF	AMERICAN HIGH-INCOME MUNI BOND	B	50.00	1.50	0.00	1.50	70.0	1.05
09/17/2018	00082879845	MORRIS, HENRY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/17/2018	00082879845	MORRIS, HENRY	MF	THE INCOME FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/17/2018	00083592653	DRENNON, MELVIN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/17/2018	00086766603	WARNER, ANITA	MF	AMERICAN BALANCED FUND-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/17/2018	00086766603	WARNER, ANITA	MF	FUNDAMENTAL INVESTORS-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/17/2018	00086766603	WARNER, ANITA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/17/2018	00086766603	WARNER, ANITA	MF	THE INCOME FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/17/2018	00086795721	HERDMAN, JONATHAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	70.0	1.75
09/17/2018	00086795721	HERDMAN, JONATHAN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/17/2018	00088936710	FLOWERS, ERRON	MF	AMERICAN BALANCED FUND-A	B	62.50	3.13	0.00	3.13	70.0	2.19
09/17/2018	00088936710	FLOWERS, ERRON	MF	NEW PERSPECTIVE FUND-A	B	62.50	3.13	0.00	3.13	70.0	2.19
09/17/2018	00088936710	FLOWERS, ERRON	MF	THE GROWTH FUND OF AMERICA-A	B	62.50	3.13	0.00	3.13	70.0	2.19
09/17/2018	00088936710	FLOWERS, ERRON	MF	THE INVESTMENT COMPANY OF	B	62.50	3.13	0.00	3.13	70.0	2.19
* MUTUAL FUNDS SUBTOTAL *						\$1,800.00	\$68.68	\$0.00	\$68.68		\$48.13
*** DIRECT TRANSACTIONS TOTAL ***						\$1,800.00	\$68.68	\$0.00	\$68.68		\$48.13

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-25.00</u>
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-25.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$48.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$23.13
Year-to-date Commissions	\$4,197.12