

COMMISSION STATEMENT

November 30, 2018

 AARON ARCHAMBO-D002
 310 South Osage

 BROKER #: D002
 BRANCH: DMB

Bartlesville, OK 74003

COMMISSION STATEMENT for AARON ARCHAMBO-D002

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/07/2018 368435112	PATTERSON, ELMER	MF LOOMIS HIGH INCOME FUND-A	B	-347.55	0.00	5.00	-5.00	90.0	-4.50
11/08/2018 677626780	SCOTT, JOHN	MF OPPENHEIMIERFUNDS 683810204	B	-447.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-795.10	\$0.00	\$10.00	\$-10.00		\$-9.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-A	B	0.00	7.11	0.00	7.11	90.0	6.40
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.48	0.00	5.48	90.0	4.93
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.48	0.00	5.48	90.0	4.93
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.84	0.00	3.84	90.0	3.46
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT LOOMIS HIGH INCOME FUND-A	B	0.00	0.62	0.00	0.62	90.0	0.56
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT LOOMIS SAYLES CORE PLUS BOND FD	B	0.00	0.64	0.00	0.64	90.0	0.58
11/21/2018 mfc596870	ARCHAMBO A 596870	MFT OPPENHEIMIERFUNDS 683810204	B	0.00	7.10	0.00	7.10	90.0	6.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$30.27	\$0.00	\$30.27		\$27.25
*** CLEARING TRANSACTIONS TOTAL ***				\$-795.10	\$30.27	\$10.00	\$20.27		\$18.25

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/14/2018 00082971771	BARNES, JEREMY	MF CAPITAL WORLD GROWTH AND INCOME	B	24.37	0.24	0.00	0.24	90.0	0.22
11/14/2018 00082971771	BARNES, JEREMY	MF CAPITAL WORLD GROWTH AND INCOME	B	156.25	1.56	0.00	1.56	90.0	1.40
11/14/2018 00082971771	BARNES, JEREMY	MF SMALLCAP WORLD FUND-C	B	24.38	0.24	0.00	0.24	90.0	0.22
11/14/2018 00082971771	BARNES, JEREMY	MF SMALLCAP WORLD FUND-C	B	156.25	1.56	0.00	1.56	90.0	1.40
11/14/2018 00082971771	BARNES, JEREMY	MF THE GROWTH FUND OF AMERICA-C	B	208.33	2.08	0.00	2.08	90.0	1.87
11/14/2018 00082971771	BARNES, JEREMY	MF THE GROWTH FUND OF AMERICA-C	B	32.50	0.33	0.00	0.33	90.0	0.30
11/14/2018 00086884931	TROLLOPE, ANDREW	MF AMERICAN BALANCED FUND-A	B	100.00	2.75	0.00	2.75	90.0	2.48
11/14/2018 00086884931	TROLLOPE, ANDREW	MF AMERICAN BALANCED FUND-A	B	98.08	2.70	0.00	2.70	90.0	2.43
11/14/2018 00088372702	WALKER, BRANDON	MF AMERICAN BALANCED FUND-A	B	108.33	2.98	0.00	2.98	90.0	2.68
11/14/2018 00088372702	WALKER, BRANDON	MF AMERICAN BALANCED FUND-A	B	67.32	1.85	0.00	1.85	90.0	1.67
11/15/2018 00089942067	HAYS, AYNE	MF AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$1,075.81	\$17.29	\$0.00	\$17.29		\$15.57

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*** DIRECT TRANSACTIONS TOTAL ***				\$1,075.81	\$17.29	\$0.00	\$17.29		\$15.57

LINKED CLEARING TRANSACTIONS

11/19/2018 368334282	LACK, DANA	MF OAKMARK FUND	B	-5,197.55	0.00	5.00	-5.00		-4.50
11/19/2018 368334282	LACK, DANA	MF PIMCO INVESTMENT GRADE CORP	B	-5,197.55	0.00	5.00	-5.00		-4.50
11/07/2018 368430515	TRUITT, DANIELLE	MF OAKMARK FUND	B	-597.55	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF CARILLON SCOUT MID CAP FUND	S	-28,577.06	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF FIDELITY ADV SMALL CAP GROWTH	S	-32,279.86	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF FIDELITY SELECT TECH NOLOGY	S	-11,516.92	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF OAKMARK INTL FD CL INV	S	-38,147.73	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF OPPENHEIMER SENIOR FLOATING	S	-22,204.69	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF PIMCO INVESTMENT GRADE CORP	S	-51,200.07	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF RUSSELL EMERGING MARKETS FD CL	S	-9,245.55	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF RUSSELL US DYNAMIC EQUITY FD CL	S	-39,227.86	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF THORNBURG LTD TERM INCOME FD CL	S	-21,864.22	0.00	5.00	-5.00		-4.50
10/31/2018 368459487	CROW, JANET	MF USAA HIGH YIELD OPPORTUNITIES	S	-26,365.90	0.00	5.00	-5.00		-4.50
11/01/2018 368459487	CROW, JANET	MF VANGUARD INTERMEDIATE US	S	-21,952.62	0.00	5.00	-5.00		-4.50
11/01/2018 368459487	CROW, JANET	MF VANGUARD INFLATION PROTECT SEC	S	-15,787.98	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF CARILLON SCOUT MID CAP FUND	S	-19,378.04	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF FIDELITY ADVISOR NEW INSIGHTS	S	-35,249.87	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF FIDELITY ADV SMALL CAP GROWTH	S	-21,889.01	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF FIDELITY SELECT TECH NOLOGY	S	-7,809.03	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF OAKMARK INTL FD CL INV	S	-25,868.15	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF OPPENHEIMER SENIOR FLOATING	S	-15,056.77	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF PIMCO INVESTMENT GRADE CORP	S	-34,719.23	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF RUSSELL EMERGING MARKETS FD CL	S	-6,268.84	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF THORNBURG LTD TERM INCOME FD CL	S	-14,825.88	0.00	5.00	-5.00		-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF USAA HIGH YIELD OPPORTUNITIES	S	-17,878.58	0.00	5.00	-5.00		-4.50
11/01/2018 399421354	CROW REV LIV TRUST,	MF VANGUARD INTERMEDIATE US	S	-14,885.91	0.00	5.00	-5.00		-4.50
11/01/2018 399421354	CROW REV LIV TRUST,	MF VANGUARD INFLATION PROTECT SEC	S	-10,705.41	0.00	5.00	-5.00		-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF FIDELITY ADVISOR FLOAT RATE HI	S	-31,990.47	0.00	5.00	-5.00		-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF IVY HIGH INCOME FD CL I	S	-10,720.07	0.00	5.00	-5.00		-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF MFS CORP BOND FD CL I	S	-10,501.66	0.00	5.00	-5.00		-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF THORNBURG LTD TERM INCOME FD CL	S	-21,128.47	0.00	5.00	-5.00		-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF VANGUARD INTERMEDIATE US	S	-8,740.21	0.00	5.00	-5.00		-4.50
10/31/2018 646245997	CROW, JANET	MF AMERICAN FDS BALANCED FD CL F2	S	-36,676.89	0.00	5.00	-5.00		-4.50
11/16/2018 646261660	FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50		-2.25
11/16/2018 646266202	FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50		-2.25
11/01/2018 646277370	FITZSIMMONS, JULIE	MF AMERICAN FDS BALANCED FD CL F2	S	202.45	0.00	2.50	-2.50		-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF FIDELITY ADV MID CAP VALUE	S	5,002.45	0.00	2.50	-2.50		-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF NATIXIS LOOMIS SAYLES INV GRD	S	5,502.45	0.00	2.50	-2.50		-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF OPPENHEIMER INTL GROWTH FD CL Y	S	5,002.45	0.00	2.50	-2.50		-2.25

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11/08/2018 799783984	WILSON, MICHAEL	MF RUSSELL GLOBAL REAL ESTATE SEC	S	3,002.45	0.00	2.50	-2.50		-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF VANGUARD SHORT TERM INVT GRADE	S	2,502.45	0.00	2.50	-2.50		-2.25
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AB HIGH INCOME A	B	0.00	1.03	0.00	1.03		0.93
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.75	0.00	4.75		4.28
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	12.98	0.00	12.98		11.68
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	21.52	0.00	21.52		19.37
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	0.40	0.00	0.40		0.36
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	2.16	0.00	2.16		1.94
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.24	0.00	8.24		7.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.47	0.00	10.47		9.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	2.83	0.00	2.83		2.55
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	3.02	0.00	3.02		2.72
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.47	0.00	0.47		0.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.76	0.00	0.76		0.68
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.81	0.00	0.81		0.73
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.99	0.00	0.99		0.89
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.42	0.00	1.42		1.28
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.57	0.00	1.57		1.41
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.63	0.00	1.63		1.47
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.02	0.00	2.02		1.82
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.16	0.00	2.16		1.94
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	4.78	0.00	4.78		4.30
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.91	0.00	5.91		5.32
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.69	0.00	2.69		2.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	3.80	0.00	3.80		3.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.03	0.00	0.03		0.03
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.61	0.00	1.61		1.45
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.06	0.00	4.06		3.65
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.91	0.00	4.91		4.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A	B	0.00	4.58	0.00	4.58		4.12
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.50	0.00	0.50		0.45
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.53	0.00	0.53		0.48
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.76	0.00	0.76		0.68
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.51	0.00	1.51		1.36
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.51	0.00	1.51		1.36
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.11	0.00	3.11		2.80
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.22	0.00	3.22		2.90
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	11.92	0.00	11.92		10.73
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.34	0.00	0.34		0.31
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.28	0.00	2.28		2.05
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.28	0.00	9.28		8.35
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-F1	B	0.00	2.72	0.00	2.72		2.45
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	0.35	0.00	0.35		0.32
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.50	0.00	1.50		1.35

