



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/18/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	4.58	4.58	0.00	4.58	70.0	3.21
12/17/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
12/17/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
12/17/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
12/17/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
12/17/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
12/17/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
12/17/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
12/17/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
12/17/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
12/17/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
12/17/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
12/17/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
12/17/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
12/17/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	59.13	0.59	0.00	0.59	70.0	0.41
12/17/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	98.55	0.99	0.00	0.99	70.0	0.69
12/17/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
12/17/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.31	0.25	0.00	0.25	70.0	0.18
12/17/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.31	0.25	0.00	0.25	70.0	0.18
12/17/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
12/17/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
12/17/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C	B	20.95	0.21	0.00	0.21	70.0	0.15
12/17/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
12/17/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

* MUTUAL FUNDS SUBTOTAL *	\$2,267.83	\$27.21	\$0.00	\$27.21		\$19.09
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PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	78.22	78.22	0.00	78.22	70.0	54.75
* STOCKS SUBTOTAL *				\$78.22	\$78.22	\$0.00	\$78.22		\$54.75

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/03/2018	SEXTON, THOMAS	-SECURITY BENEFIT	B	250.00	12.50	0.00	12.50	70.0	8.75
12/03/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT	B	500.00	5.00	0.00	5.00	70.0	3.50
12/19/2018	TRAILS	JOHN HANCOCK	B	28.77	28.77	0.00	28.77	70.0	20.14
* VARIABLE ANNUITIES SUBTOTAL *				\$778.77	\$46.27	\$0.00	\$46.27		\$32.39

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	22.42	0.00	22.42	70.0	15.70
01/02/2019	TRAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	10.00	0.00	10.00	70.0	7.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$32.42	\$0.00	\$32.42		\$22.70
*** BROKER TRANSACTIONS TOTAL ***				\$3,124.82	\$184.12	\$0.00	\$184.12		\$128.93

ADJUSTMENTS

01/02/2019		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$128.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$1.43
Year-to-date Earnings	\$1.43