

COMMISSION STATEMENT

October 31, 2018

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: R25
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

| | | | | | | | | | | |
|------------|-----------|-------------------|---------------------------------------|---|-----------|--------|-------|--------|------|--------|
| 10/03/2018 | QGW001497 | KLUGMAN, ROBERT | ALTRA INDL MOTION CORP COM | S | -9,156.00 | 139.00 | 10.00 | 129.00 | 80.0 | 103.20 |
| 10/03/2018 | QGW009805 | KELLY, ROBERT | ALTRA INDL MOTION CORP COM | S | -9,156.00 | 157.60 | 10.00 | 147.60 | 80.0 | 118.08 |
| 09/27/2018 | QGW009821 | SUGARMAN, GAIL | LLOYDS BANKING GROUP PLC SPONS ADR | S | -2,536.08 | 98.00 | 10.00 | 88.00 | 80.0 | 70.40 |
| 10/03/2018 | QGW009847 | LEICHER, MARVIN | ALTRA INDL MOTION CORP COM | S | -9,156.00 | 157.60 | 10.00 | 147.60 | 80.0 | 118.08 |
| 10/22/2018 | QGW009847 | LEICHER, MARVIN | GAP INC | B | 7,769.97 | 138.00 | 10.00 | 128.00 | 80.0 | 102.40 |
| 10/29/2018 | QGW009847 | LEICHER, MARVIN | GAP INC | S | -8,506.08 | 144.76 | 10.00 | 134.76 | 80.0 | 107.81 |
| 10/03/2018 | QGW009854 | LEICHER, EVELYN | ALTRA INDL MOTION CORP COM | S | -9,156.00 | 157.60 | 10.00 | 147.60 | 80.0 | 118.08 |
| 10/15/2018 | QGW009854 | LEICHER, EVELYN | ANHEUSER-BUSCH INBEV SA SPONSORED A B | B | 16,970.84 | 195.00 | 10.00 | 185.00 | 80.0 | 148.00 |
| 10/15/2018 | QGW020919 | KELLY, ROBERT | ANHEUSER-BUSCH INBEV SA SPONSORED A B | B | 16,970.84 | 195.00 | 10.00 | 185.00 | 80.0 | 148.00 |
| 10/22/2018 | QGW020919 | KELLY, ROBERT | GAP INC | B | 7,769.97 | 138.00 | 10.00 | 128.00 | 80.0 | 102.40 |
| 10/29/2018 | QGW020919 | KELLY, ROBERT | GAP INC | S | -8,497.32 | 139.00 | 10.00 | 129.00 | 80.0 | 103.20 |
| 10/15/2018 | QGW021271 | KLUGMAN, ROBERT | ANHEUSER-BUSCH INBEV SA SPONSORED A B | B | 16,970.84 | 195.00 | 10.00 | 185.00 | 80.0 | 148.00 |
| 10/22/2018 | QGW021271 | KLUGMAN, ROBERT | GAP INC | B | 7,769.97 | 138.00 | 10.00 | 128.00 | 80.0 | 102.40 |
| 10/29/2018 | QGW021271 | KLUGMAN, ROBERT | GAP INC | S | -8,497.32 | 139.00 | 10.00 | 129.00 | 80.0 | 103.20 |
| 10/03/2018 | QGW022246 | SUGARMAN, GAIL | ALTRA INDL MOTION CORP COM | S | -9,156.00 | 157.60 | 10.00 | 147.60 | 80.0 | 118.08 |
| 10/22/2018 | QGW022246 | SUGARMAN, GAIL | GAP INC | B | 7,770.00 | 138.00 | 10.00 | 128.00 | 80.0 | 102.40 |
| 10/29/2018 | QGW022246 | SUGARMAN, GAIL | GAP INC | S | -8,497.32 | 139.00 | 10.00 | 129.00 | 80.0 | 103.20 |
| 10/03/2018 | QGW023772 | PATRICK E OBRIEN, | ALTRA INDL MOTION CORP COM | S | -9,156.00 | 157.60 | 10.00 | 147.60 | 80.0 | 118.08 |
| 10/22/2018 | QGW023772 | PATRICK E OBRIEN, | GAP INC | B | 7,769.97 | 138.00 | 10.00 | 128.00 | 80.0 | 102.40 |
| 10/29/2018 | QGW023772 | PATRICK E OBRIEN, | GAP INC | S | -8,497.32 | 139.00 | 10.00 | 129.00 | 80.0 | 103.20 |

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| * STOCKS SUBTOTAL * | \$-10,205.04 | \$3,000.76 | \$200.00 | \$2,800.76 | \$2,240.61 |
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PRODUCT CATEGORY: OPTIONS

| | | | | | | | | | | |
|------------|-----------|-----------------|---------------------------------------|--|---------|-------|-------|-------|------|-------|
| 10/15/2018 | QGW009854 | LEICHER, EVELYN | CALL 100 ANHEUSER BUSCH INBEV SA NV S | | -518.28 | 58.00 | 10.00 | 48.00 | 80.0 | 38.40 |
| 10/15/2018 | QGW020919 | KELLY, ROBERT | CALL 100 ANHEUSER BUSCH INBEV SA NV S | | -518.28 | 58.00 | 10.00 | 48.00 | 80.0 | 38.40 |
| 10/15/2018 | QGW021271 | KLUGMAN, ROBERT | CALL 100 ANHEUSER BUSCH INBEV SA NV S | | -518.28 | 58.00 | 10.00 | 48.00 | 80.0 | 38.40 |

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| * OPTIONS SUBTOTAL * | \$-1,554.84 | \$174.00 | \$30.00 | \$144.00 | \$115.20 |
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|-----------------------------------|--------------|------------|----------|------------|------------|
| *** BROKER TRANSACTIONS TOTAL *** | \$-11,759.88 | \$3,174.76 | \$230.00 | \$2,944.76 | \$2,355.81 |
|-----------------------------------|--------------|------------|----------|------------|------------|

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,355.81 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-3.97 |
| SIPC Assessment | \$-7.94 |

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|-----------------------|-------------|
| Check Amount | \$2,343.90 |
| Year-to-date Earnings | \$18,986.56 |