



COMMISSION STATEMENT

October 22, 2018

DAVID BLOCK
1384 Broadway
Suite 910
New York, NY 10018

BROKER #: B19
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/17/2018	***-**-23IO - MILLER, JAMES	THE GROWTH FUND OF AMERICA-A	6,960.00	313.80	18.00	295.80	85.0	251.43
	ACCOUNT #: LSR012519	BUY 122 Units @56.9600						
10/17/2018	***-**-23IO - MILLER, JAMES	THE INVESTMENT COMPANY OF AMERICA-A	6,959.98	313.80	18.00	295.80	85.0	251.43
	ACCOUNT #: LSR012519	BUY 162 Units @42.8800						
* MUTUAL FUNDS SUBTOTAL *			\$13,919.98	\$627.60	\$36.00	\$591.60		\$502.86
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/01/2018	***-**-6850 - BORIO, RENEE	AIM CHARTER FUND - CLASS A	0.00	0.69	0.00	0.69	85.0	0.59
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
10/01/2018	***-**-81IN - BLOCK, DAVID	AIM CHARTER FUND - CLASS A	0.00	2.52	0.00	2.52	85.0	2.14
	ACCOUNT #: 03910195175	BUY 0 Units @0.0000						
10/01/2018	***-**-81IN - BLOCK, DAVID	AIM MID-CAP EQUITY FUND CLASS A	0.00	22.75	0.00	22.75	85.0	19.34
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
10/01/2018	***-**-81IN - BLOCK, DAVID	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	34.37	0.00	34.37	85.0	29.21
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
10/01/2018	***-**-6850 - BORIO, RENEE	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.49	0.00	0.49	85.0	0.42
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
10/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.57	0.00	1.57	85.0	1.33
	ACCOUNT #: 03910195175	BUY 0 Units @0.0000						
10/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	68.13	0.00	68.13	85.0	57.91
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
10/01/2018	***-**-6850 - BORIO, RENEE	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	1.22	0.00	1.22	85.0	1.04
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
10/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	31.08	0.00	31.08	85.0	26.42
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$162.82	\$0.00	\$162.82		\$138.40
*** BROKER TRANSACTIONS TOTAL ***			\$13,919.98	\$790.42	\$36.00	\$754.42		\$641.26

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					BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	NET BROKER COMMISSION
BROKER COMMISSION TOTALS					
				Broker Transactions	\$641.26
				Split Transactions	\$0.00
				Override Transactions	\$0.00
				Adjustments	\$0.00
				Payroll Draw	\$0.00
				Base Salary	\$0.00
				Prior Period Balance	\$4.39
				FINRA Assessment	\$0.00
				SIPC Assessment	\$0.00
				Net Commission Earned	\$645.65
				Year-to-date Earnings	\$17,583.97