

COMMISSION STATEMENT

August 31, 2018

 RANDY SMITH-D061
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

 BROKER #: D061
 BRANCH: DMA

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/01/2018	153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
08/01/2018	153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
08/07/2018	677003808	DYLAN SHEWITZ, ROY	MF	THE GROWTH FUND OF AMERICA-A	B	-977.55	0.00	5.00	-5.00	50.0	-2.50
* MUTUAL FUNDS SUBTOTAL *						\$-2,177.55	\$0.00	\$15.00	\$-15.00		\$-7.50
PRODUCT CATEGORY: STOCKS											
08/09/2018	492642569	MCLEOD, MARTHA	S	ENTERPRISE PRODS PARTNERS L	S	-58,908.38	35.00	15.00	20.00	50.0	10.00
08/23/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY 20+ YR TREASURY	B	94,012.45	35.00	15.00	20.00	50.0	10.00
08/14/2018	677588151	ADAMS, RAY	S	ANDEAVOR	S	-53,473.74	50.00	15.00	35.00	50.0	17.50
08/14/2018	677588151	ADAMS, RAY	S	ROYCE GLOBAL VALUE TRUST	S	-1,235.47	35.00	15.00	20.00	50.0	10.00
08/14/2018	677588151	ADAMS, RAY	S	ROYCE VALUE TRUST INC	S	-14,248.94	268.32	15.00	253.32	50.0	126.66
* STOCKS SUBTOTAL *						\$-33,854.08	\$423.32	\$75.00	\$348.32		\$174.16
PRODUCT CATEGORY: MISCELLANEOUS											
08/08/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677003808	S	0.00	20.00	0.00	20.00	50.0	10.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$20.00	\$0.00	\$20.00		\$10.00
PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT											
08/10/2018	214424906	DORER, RICHARD	CD	COMPASS BK BIRMINGHAM AL	B	100,000.00	63.75	20.00	43.75	50.0	21.88
08/10/2018	676367088	SMITH, RANDALL	CD	FORTIS PRIVATE BK DENVER CO	B	100,000.00	21.25	20.00	1.25	50.0	0.63
08/14/2018	677588151	ADAMS, RAY	CD	CITIZENS BANK NA PROVIDENCE RI	B	25,000.00	5.31	20.00	-14.69	50.0	-7.35
* CERTIFICATES OF DEPOSIT SUBTOTAL *						\$225,000.00	\$90.31	\$60.00	\$30.31		\$15.16
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/21/2018	mfc219642	SMITH RANDALL	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	11.28	0.00	11.28	50.0	5.64
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.24	0.00	2.24	50.0	1.12
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	7.63	0.00	7.63	50.0	3.82
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	11.90	0.00	11.90	50.0	5.95
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	14.29	0.00	14.29	50.0	7.15
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	16.85	0.00	16.85	50.0	8.43
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	17.45	0.00	17.45	50.0	8.73
08/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	20.54	0.00	20.54	50.0	10.27

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	20.68	0.00	20.68	50.0	10.34
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	31.80	0.00	31.80	50.0	15.90
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	42.67	0.00	42.67	50.0	21.34
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	43.74	0.00	43.74	50.0	21.87
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	63.58	0.00	63.58	50.0	31.79
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-F1	B	0.00	11.70	0.00	11.70	50.0	5.85
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	16.98	0.00	16.98	50.0	8.49
08/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.39	0.00	5.39	50.0	2.70
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL APPRECIATION A	B	0.00	1.95	0.00	1.95	50.0	0.98
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.80	0.00	1.80	50.0	0.90
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.00	0.00	5.00	50.0	2.50
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	15.02	0.00	15.02	50.0	7.51
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.31	0.00	18.31	50.0	9.16
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	23.46	0.00	23.46	50.0	11.73
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	81.63	0.00	81.63	50.0	40.82
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.20	0.00	1.20	50.0	0.60
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.40	0.00	3.40	50.0	1.70
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	16.18	0.00	16.18	50.0	8.09
08/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL WORLD BOND FUND-A	B	0.00	5.95	0.00	5.95	50.0	2.98
08/21/2018	mfc219642 SMITH RANDALL	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.06	0.00	0.06	50.0	0.03
08/21/2018	mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	7.18	0.00	7.18	50.0	3.59
08/21/2018	mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	16.41	0.00	16.41	50.0	8.21
08/21/2018	mfc219642 SMITH RANDALL	MFT DREYFUS HIGH YIELD FD A	B	0.00	3.00	0.00	3.00	50.0	1.50
08/21/2018	mfc219642 SMITH RANDALL	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	4.12	0.00	4.12	50.0	2.06
08/21/2018	mfc219642 SMITH RANDALL	MFT EATON VANCE UTILITIES FUND	B	0.00	2.65	0.00	2.65	50.0	1.33
08/21/2018	mfc219642 SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.37	0.00	0.37	50.0	0.19
08/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	17.75	0.00	17.75	50.0	8.88
08/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	9.39	0.00	9.39	50.0	4.70
08/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.71	0.00	5.71	50.0	2.86
08/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.48	0.00	3.48	50.0	1.74
08/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	6.36	0.00	6.36	50.0	3.18
08/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.26	0.00	6.26	50.0	3.13
08/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	62.57	0.00	62.57	50.0	31.29
08/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.49	0.00	1.49	50.0	0.75
08/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.90	0.00	5.90	50.0	2.95
08/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.17	0.00	1.17	50.0	0.59
08/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.59	0.00	1.59	50.0	0.80
08/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.46	0.00	6.46	50.0	3.23
08/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.04	0.00	14.04	50.0	7.02
08/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	30.67	0.00	30.67	50.0	15.34
08/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.21	0.00	0.21	50.0	0.11
08/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.22	0.00	0.22	50.0	0.11
08/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.28	0.00	0.28	50.0	0.14
08/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.46	0.00	0.46	50.0	0.23

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08/21/2018	mfc219642 SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.04	0.00	0.04	50.0	0.02
08/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.20	0.00	5.20	50.0	2.60
08/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.93	0.00	5.93	50.0	2.97
08/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	6.40	0.00	6.40	50.0	3.20
08/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	29.60	0.00	29.60	50.0	14.80
08/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	67.95	0.00	67.95	50.0	33.98
08/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	12.23	0.00	12.23	50.0	6.12
08/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	13.94	0.00	13.94	50.0	6.97
08/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.60	0.00	15.60	50.0	7.80
08/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	38.47	0.00	38.47	50.0	19.24
08/21/2018	mfc219642 SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	19.56	0.00	19.56	50.0	9.78
08/21/2018	mfc219642 SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS B	B	0.00	0.11	0.00	0.11	50.0	0.06
08/21/2018	mfc219642 SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.79	0.00	8.79	50.0	4.40
08/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.37	0.00	1.37	50.0	0.69
08/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	10.98	0.00	10.98	50.0	5.49
08/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	50.0	0.08
08/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.56	0.00	0.56	50.0	0.28
08/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	50.0	0.42
08/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.11	0.00	4.11	50.0	2.06
08/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.47	0.00	17.47	50.0	8.74
08/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	50.0	0.06
08/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.22	0.00	3.22	50.0	1.61
08/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.47	0.00	6.47	50.0	3.24
08/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52	50.0	7.76
08/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	94.62	0.00	94.62	50.0	47.31
08/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	2.19	0.00	2.19	50.0	1.10
08/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	2.15	0.00	2.15	50.0	1.08
08/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	4.50	0.00	4.50	50.0	2.25
08/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	11.18	0.00	11.18	50.0	5.59
08/21/2018	mfc219642 SMITH RANDALL	MFT VALUE A	B	0.00	2.83	0.00	2.83	50.0	1.42
08/21/2018	mfc219642 SMITH RANDALL	MFT VALUE A	B	0.00	7.02	0.00	7.02	50.0	3.51
08/21/2018	mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	1.93	0.00	1.93	50.0	0.97
08/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.07	0.00	1.07	50.0	0.54
08/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.40	0.00	1.40	50.0	0.70
08/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.25	0.00	5.25	50.0	2.63
08/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.53	0.00	5.53	50.0	2.77
08/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	59.05	0.00	59.05	50.0	29.53
08/21/2018	mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.65	0.00	1.65	50.0	0.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,205.40	\$0.00	\$1,205.40		\$602.92
*** CLEARING TRANSACTIONS TOTAL ***				\$188,968.37	\$1,739.03	\$150.00	\$1,589.03		\$794.74

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/06/2018 (None)	SMITH, MAR G	MF OPPENHEIMER	B	50.00	2.38	0.00	2.38	50.0	1.19
08/16/2018 (None)	0030282465	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
08/10/2018 000000008958389	STRATHDEE,	MF MUTUAL DISC FND - CL A	B	21.48	1.06	0.00	1.06	50.0	0.53
08/17/2018 00084512960	GIBBS, KENDRA	MF CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
08/17/2018 00084512960	GIBBS, KENDRA	MF NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
08/17/2018 00084512960	GIBBS, KENDRA	MF THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
08/20/2018 00085302677	JORGE ALEXANDER	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
08/24/2018 00087364129	DORER, SONIA	MF AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
08/24/2018 00087364129	DORER, SONIA	MF NEW PERSPECTIVE FUND-A	B	249.72	6.87	0.00	6.87	50.0	3.44
08/24/2018 00087369989	DORER, RICHARD	MF SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
08/15/2018 00088038123	PONCE, YOLANDA	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
08/17/2018 04000890952	PIERPONT, BRADLEY	MF AMERICAN BALANCED FUND-A	B	1,275.00	35.06	0.00	35.06	50.0	17.53
08/17/2018 04000890952	PIERPONT, BRADLEY	MF CAPITAL WORLD GROWTH AND INCOME	B	1,275.00	35.06	0.00	35.06	50.0	17.53
08/17/2018 04000890952	PIERPONT, BRADLEY	MF THE GROWTH FUND OF AMERICA-A	B	1,145.54	31.50	0.00	31.50	50.0	15.75
* MUTUAL FUNDS SUBTOTAL *				\$5,466.74	\$160.32	\$0.00	\$160.32		\$80.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018 (None)	ALLEN, JOHN	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	4.23	0.00	4.23	50.0	2.12
07/31/2018 (None)	VARIOUS, RANDY SMITH	MFT LORD ABBETT	B	0.00	89.03	0.00	89.03	50.0	44.52
07/31/2018 (None)	COUVILLION, TARI	MFT VIRTUS	B	0.00	17.83	0.00	17.83	50.0	8.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$111.09	\$0.00	\$111.09		\$55.56
*** DIRECT TRANSACTIONS TOTAL ***				\$5,466.74	\$271.41	\$0.00	\$271.41		\$135.74

SPLIT TRANSACTIONS

<u>BROKER: RIX/RANDY SMITH/SMITH-D081 #80</u>									
08/08/2018 676356642	SMITH, RANDALL	S QEP RESOURCES INC	S	-2,989.27	12.50	7.50	5.00		2.50
08/06/2018 676365887	SMITH, RANDALL	S AT&T INC	B	4,865.08	17.50	7.50	10.00		5.00
08/23/2018 799051949	WARREN (TOD), JANET	S TELLURIAN INC	B	429.68	17.50	7.50	10.00		5.00
08/20/2018 492642424	MCELROY TTEE, LETHA	CD CITIBANK NATL ASSN SIOUX FALLS	B	25,000.00	67.50	10.00	57.50		28.75
08/09/2018 676356642	SMITH, RANDALL	CD HINGHAM INSTN SVGS MA	B	100,000.00	21.25	10.00	11.25		5.63
08/21/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.34	0.00	3.34		1.67
08/21/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.09	0.00	4.09		2.05
08/21/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.10	0.00	6.10		3.05
08/21/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	8.84	0.00	8.84		4.42
08/21/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	9.03	0.00	9.03		4.52
08/21/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.63	0.00	1.63		0.82
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$127,305.49	\$169.28	\$42.50	\$126.78		\$63.41

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** SPLIT TRANSACTIONS TOTAL ***				\$127,305.49	\$169.28	\$42.50	\$126.78		\$63.41

LINKED DIRECT TRANSACTIONSBROKER: RANDY SMITH-D601 #66

08/22/2018	00086465668	MCINTIRE, JOHN	MF	NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00	1.00
08/27/2018	08190129110	PEYTON O LEBLANC,	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
08/27/2018	08190129112	SARA E CANCIENNE,	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
08/27/2018	08190129113	LILLY M SUTTON	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
08/27/2018	08191029111	PRESLIE G LEBLANC	MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
08/27/2018	08191270658	BEAU OUTLAW, JOHN	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
07/31/2018	(None)	VARIOUS, RANDALL SMITH	MFT	LORD ABBETT	B	0.00	819.48	0.00	819.48	163.90
08/13/2018	01004814720	FINNN, JOHN	MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	28.55	0.00	28.55	5.71
08/13/2018	01004814720	FINNN, JOHN	MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	85.65	0.00	85.65	17.13
08/13/2018	01004814720	FINNN, JOHN	MFT	WELLS FARGO GLOBAL	B	0.00	2.73	0.00	2.73	0.55
08/13/2018	01004814720	FINNN, JOHN	MFT	WELLS FARGO GLOBAL	B	0.00	8.18	0.00	8.18	1.64
08/13/2018	01004814720	FINNN, JOHN	MFT	WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	31.01	0.00	31.01	6.20
08/13/2018	01004814720	FINNN, JOHN	MFT	WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	93.02	0.00	93.02	18.60
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO GLOBAL	B	0.00	0.44	0.00	0.44	0.09
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO GLOBAL	B	0.00	1.32	0.00	1.32	0.26
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	0.39	0.00	0.39	0.08
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	1.17	0.00	1.17	0.23
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.15	0.00	1.15	0.23
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.44	0.00	3.44	0.69
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	10.43	0.00	10.43	2.09
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	31.29	0.00	31.29	6.26
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	6.53	0.00	6.53	1.31
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	19.60	0.00	19.60	3.92
08/13/2018	01008522715	ST JULIEN,	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	2.84	0.00	2.84	0.57
08/13/2018	01008522715	ST JULIEN,	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	8.52	0.00	8.52	1.70
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO GLOBAL	B	0.00	6.46	0.00	6.46	1.29
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO GLOBAL	B	0.00	19.37	0.00	19.37	3.87
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	2.62	0.00	2.62	0.52
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	7.87	0.00	7.87	1.57
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	11.20	0.00	11.20	2.24
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	33.60	0.00	33.60	6.72
08/13/2018	01009230280	GEE, WANDA	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	3.07	0.00	3.07	0.61
08/13/2018	01009230280	GEE, WANDA	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	9.22	0.00	9.22	1.84
08/13/2018	01009314539	NOLTING, EVELYN	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	2.26	0.00	2.26	0.45
08/13/2018	01009314539	NOLTING, EVELYN	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	6.77	0.00	6.77	1.35
08/13/2018	01009314539	NOLTING, EVELYN	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	1.16	0.00	1.16	0.23
08/13/2018	01009314539	NOLTING, EVELYN	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	3.48	0.00	3.48	0.70
08/13/2018	01009315221	AGUILAR, MARIA	MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	3.09	0.00	3.09	0.62
08/13/2018	01009315221	AGUILAR, MARIA	MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	9.28	0.00	9.28	1.86

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.13	0.00	3.13		0.63
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	9.38	0.00	9.38		1.88
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.20	0.00	3.20		0.64
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.59	0.00	9.59		1.92
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.92	0.00	2.92		0.58
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.77	0.00	8.77		1.75
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.34	0.00	7.34		1.47
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	22.01	0.00	22.01		4.40
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.04	0.00	3.04		0.61
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.13	0.00	9.13		1.83
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.50	0.00	2.50		0.50
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.49	0.00	7.49		1.50
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.49	0.00	2.49		0.50
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.48	0.00	7.48		1.50
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.65	0.00	18.65		3.73
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	55.95	0.00	55.95		11.19
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	23.63	0.00	23.63		4.73
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	70.88	0.00	70.88		14.18
08/13/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	6.49	0.00	6.49		1.30
08/13/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	19.47	0.00	19.47		3.89
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.36	0.00	2.36		0.47
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	7.07	0.00	7.07		1.41
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.42	0.00	4.42		0.88
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	13.27	0.00	13.27		2.65
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.89	0.00	2.89		0.58
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.66	0.00	8.66		1.73
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.52	0.00	21.52		4.30
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	64.56	0.00	64.56		12.91
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.56	0.00	9.56		1.91
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	28.67	0.00	28.67		5.73
08/13/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	1.00	0.00	1.00		0.20
08/13/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	3.01	0.00	3.01		0.60
08/13/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.13	0.00	1.13		0.23
08/13/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.38	0.00	3.38		0.68
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.16	0.00	2.16		0.43
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.47	0.00	6.47		1.29
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.47	0.00	3.47		0.69
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.42	0.00	10.42		2.08
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.99	0.00	1.99		0.40
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.96	0.00	5.96		1.19
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.60	0.00	4.60		0.92
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.81	0.00	13.81		2.76
08/03/2018 01900000269	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	8.28	0.00	8.28		1.66
08/03/2018 01900000279	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	4.09	0.00	4.09		0.82

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/03/2018 01900000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	25.21	0.00	25.21		5.04
08/03/2018 01900000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.73	0.00	13.73		2.75
08/03/2018 01900000312	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.94	0.00	1.94		0.39
08/03/2018 01900000313	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	3.30	0.00	3.30		0.66
08/03/2018 01900000324	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	6.36	0.00	6.36		1.27
08/03/2018 01900000349	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	6.47	0.00	6.47		1.29
08/03/2018 01900000352	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.33	0.00	1.33		0.27
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.94	0.00	1.94		0.39
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.82	0.00	5.82		1.16
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.69	0.00	4.69		0.94
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	14.08	0.00	14.08		2.82
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.31	0.00	2.31		0.46
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.94	0.00	6.94		1.39
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.77	0.00	1.77		0.35
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.32	0.00	5.32		1.06
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.53	0.00	2.53		0.51
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.59	0.00	7.59		1.52
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.08	0.00	1.08		0.22
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.24	0.00	3.24		0.65
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.28	0.00	1.28		0.26
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.83	0.00	3.83		0.77
08/13/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.83	0.00	3.83		0.77
08/13/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.50	0.00	11.50		2.30
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.29	0.00	4.29		0.86
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.86	0.00	12.86		2.57
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.57	0.00	5.57		1.11
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.72	0.00	16.72		3.34
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.71	0.00	1.71		0.34
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.13	0.00	5.13		1.03
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.80	0.00	1.80		0.36
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.41	0.00	5.41		1.08
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.12	0.00	2.12		0.42
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.36	0.00	6.36		1.27
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.14	0.00	2.14		0.43
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.42	0.00	6.42		1.28
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.63	0.00	8.63		1.73
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	25.89	0.00	25.89		5.18
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.29	0.00	6.29		1.26
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.88	0.00	18.88		3.78
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.99	0.00	5.99		1.20
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.96	0.00	17.96		3.59
08/03/2018 04830000507	CORBETT, JOHN	MFT COLUMBIA BALANCED FUND-C	B	0.00	6.80	0.00	6.80		1.36
08/03/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	46.36	0.00	46.36		9.27
08/03/2018 06000010315	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	4.71	0.00	4.71		0.94

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/03/2018 06000010315	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.57	0.00	6.57		1.31
08/03/2018 08183000145	CORBETT, JOHN	MFT COLUMBIA BALANCED FD CL A	B	0.00	1.83	0.00	1.83		0.37
08/03/2018 08537100283	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.95	0.00	0.95		0.19
08/03/2018 08537100284	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.21	0.00	2.21		0.44
08/03/2018 08537100298	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.74	0.00	0.74		0.15
08/03/2018 08537100304	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.64	0.00	0.64		0.13
08/03/2018 08537500120	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.77	0.00	1.77		0.35
08/03/2018 08537500142	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.36	0.00	0.36		0.07
08/03/2018 08537500143	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.61	0.00	0.61		0.12
08/03/2018 08537500296	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.14	0.00	1.14		0.23
08/03/2018 08537500318	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.76	0.00	0.76		0.15
08/03/2018 08537500319	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.89	0.00	0.89		0.18
08/03/2018 08695900108	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.35	0.00	1.35		0.27
08/03/2018 08695900297	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.26	0.00	2.26		0.45
08/03/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	3.49	0.00	3.49		0.70
08/03/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	4.22	0.00	4.22		0.84
08/03/2018 08699266849	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	8.14	0.00	8.14		1.63
08/03/2018 08896000069	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	1.26	0.00	1.26		0.25
08/03/2018 08981001058	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	2.23	0.00	2.23		0.45
08/03/2018 08981001059	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.10	0.00	1.10		0.22
08/03/2018 08981001063	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.52	0.00	0.52		0.10
08/03/2018 08981001064	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.89	0.00	0.89		0.18
08/03/2018 08981001068	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.71	0.00	1.71		0.34
08/03/2018 08981001070	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.74	0.00	1.74		0.35
08/03/2018 08981001071	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.36	0.00	0.36		0.07
08/03/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.74	0.00	1.74		0.35
08/03/2018 53730000018	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.73	0.00	2.73		0.55
08/03/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	18.44	0.00	18.44		3.69
08/03/2018 53730000075	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.36	0.00	2.36		0.47
08/03/2018 53770000062	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.83	0.00	2.83		0.57
08/03/2018 53770000066	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	3.33	0.00	3.33		0.67
* RANDY SMITH-D601 SUBTOTAL *				\$800.00	\$2,236.78	\$0.00	\$2,236.78		\$447.36
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$800.00	\$2,236.78	\$0.00	\$2,236.78		\$447.36

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		719.99
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$719.99
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$719.99

BROKER COMMISSION TOTALS

Broker Transactions	\$1,377.84
Split Transactions	\$63.41
Override Transactions	\$0.00
Adjustments	\$719.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,161.24
Year-to-date Commissions	\$60,257.06