

## COMMISSION STATEMENT

September 30, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

## COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
09/05/2018	75501342 WINNICK, HILLARY	FORTIVE CORPORATION COM	B	8,331.84	20.00	0.00	20.00	55.0	0.00
09/14/2018	75501342 WINNICK, HILLARY	BLUE BIRD CORPORATION COM	B	2,578.95	20.00	0.00	20.00	55.0	0.00
09/20/2018	75501342 WINNICK, HILLARY	CANNAE HOLDINGS INC COMMON STOCK	B	52,780.00	20.00	0.00	20.00	55.0	0.00
09/20/2018	75501342 WINNICK, HILLARY	SPRAGUE RESOURCES LP UNITS	S	82,544.46	20.00	0.00	20.00	55.0	0.00
09/05/2018	75501345 WINNICK, JOELY	FORTIVE CORPORATION COM	B	8,330.84	20.00	0.00	20.00	55.0	0.00
09/14/2018	75501345 WINNICK, JOELY	BLUE BIRD CORPORATION COM	B	2,581.43	20.00	0.00	20.00	55.0	0.00
09/20/2018	75501345 WINNICK, JOELY	CANNAE HOLDINGS INC COMMON STOCK	B	52,637.92	20.00	0.00	20.00	55.0	0.00
09/20/2018	75501345 WINNICK, JOELY	SPRAGUE RESOURCES LP UNITS	S	82,500.00	20.00	0.00	20.00	55.0	0.00
09/11/2018	QGN006702 F/A/O EVENTIDE	INTEGRATED DEVICE TECHNOLOGY INC	S	-4,668,450.00	2,000.00	0.00	2,000.00	55.0	1,100.00
08/31/2018	QGV006075 PARTNERS, F/A/O:	BARCLAYS BANK PLC IPATH S&P 500 VIX	B	67,050.00	90.00	0.00	90.00	55.0	49.50
09/26/2018	QGV006075 PARTNERS, F/A/O:	WEIS MARKETS INC	S	-87,800.00	80.00	0.00	80.00	55.0	44.00
09/14/2018	QGW031098 KOPIN, BENJAMIN	BLUE BIRD CORPORATION COM	B	2,598.75	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031098 KOPIN, BENJAMIN	BLUE BIRD CORPORATION COM	B	-2,598.75	-51.00	0.00	-51.00	55.0	-28.05 C
09/14/2018	QGW031098 KOPIN, BENJAMIN	BLUE BIRD CORPORATION COM	B	2,598.75	51.00	0.00	51.00	55.0	28.05
09/17/2018	QGW031098 KOPIN, BENJAMIN	FORTIVE CORPORATION COM	B	8,606.99	51.00	0.00	51.00	55.0	28.05
08/31/2018	QGW031106 TIMYAN, PHILIP	DELMAR BANCORP COM NEW	B	19,250.00	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031106 TIMYAN, PHILIP	BLUE BIRD CORPORATION COM	B	2,588.85	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031130 KOPIN, BENJAMIN	BLUE BIRD CORPORATION COM	B	2,616.08	51.00	0.00	51.00	55.0	28.05
09/17/2018	QGW031130 KOPIN, BENJAMIN	FORTIVE CORPORATION COM	B	8,607.05	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031148 KOPIN, ZACHARY	BLUE BIRD CORPORATION COM	B	2,598.75	51.00	0.00	51.00	55.0	28.05
09/17/2018	QGW031148 KOPIN, ZACHARY	FORTIVE CORPORATION COM	B	8,607.06	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031155 KOPIN, JOSHUA	BLUE BIRD CORPORATION COM	B	2,608.65	51.00	0.00	51.00	55.0	28.05
09/17/2018	QGW031155 KOPIN, JOSHUA	FORTIVE CORPORATION COM	B	8,605.93	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031163 KOPIN, JOSHUA	BLUE BIRD CORPORATION COM	B	2,603.70	51.00	0.00	51.00	55.0	28.05
09/17/2018	QGW031163 KOPIN, JOSHUA	FORTIVE CORPORATION COM	B	8,607.05	51.00	0.00	51.00	55.0	28.05
09/14/2018	QGW031171 KOPIN, ZACHARY	BLUE BIRD CORPORATION COM	B	2,608.65	51.00	0.00	51.00	55.0	28.05
09/17/2018	QGW031171 KOPIN, ZACHARY	FORTIVE CORPORATION COM	B	8,606.07	51.00	0.00	51.00	55.0	28.05
09/21/2018	QGW034852 HOLMES, MEGAN	MIMEDX GROUP INC COM	S	-50,000.00	51.00	0.00	51.00	55.0	28.05
* STOCKS SUBTOTAL *				\$-4,357,800.98	\$3,095.00	\$0.00	\$3,095.00		\$1,614.25

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

09/14/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 09 S	-4,024.00	250.00	0.00	250.00	55.0	137.50
09/14/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 09 S	4,024.00	-250.00	0.00	-250.00	55.0	-137.50 C
09/14/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 09 S	-4,024.00	190.00	0.00	190.00	55.0	104.50
09/20/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 09 S	-2,100.00	200.00	0.00	200.00	55.0	110.00
09/24/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 10 S	-4,500.00	250.00	0.00	250.00	55.0	137.50
09/24/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 10 S	4,500.00	-250.00	0.00	-250.00	55.0	-137.50 C
09/24/2018	QGW034852	HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 10 S	-4,500.00	215.00	0.00	215.00	55.0	118.25
* OPTIONS SUBTOTAL *				\$-10,624.00	\$605.00	\$0.00	\$605.00		\$332.75

PRODUCT CATEGORY: MISCELLANEOUS

09/04/2018	VARIOUS, WINNICK	RESEARCH - ITG	B	0.00	17.13	0.00	17.13	55.0	0.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$17.13	\$0.00	\$17.13		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$-4,368,424.98	\$3,717.13	\$0.00	\$3,717.13		\$1,947.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,947.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.63
SIPC Assessment	\$-9.25

Check Amount	\$1,933.12
Year-to-date Earnings	\$35,172.24