



COMMISSION STATEMENT

November 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
11/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @49.3500						
11/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @50.1500						
10/31/2018	***-**-67CL - MILGROOM,	FRANKLIN 354713539	0.00	29.78	0.00	29.78	15.0	4.47
	ACCOUNT #: 000000000020709	BUY 0 Units @0.0000						
10/31/2018	***-**-67CL - MILGROOM,	FRANKLIN 354713539	0.00	0.34	0.00	0.34	15.0	0.05
	ACCOUNT #: 000000000026195	BUY 0 Units @0.0000						
10/31/2018	***-**-1584 - CAMPBELL, COLIN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.97	0.00	1.97	15.0	0.30
	ACCOUNT #: 000015813609108	BUY 0 Units @0.0000						
10/31/2018	***-**-1584 - CAMPBELL, COLIN	FRK UTIL FND - CL A	0.00	2.44	0.00	2.44	15.0	0.37
	ACCOUNT #: 000070187501637	BUY 0 Units @0.0000						
11/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @63.7500						
11/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.29	0.00	4.29	15.0	0.64
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
11/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	101.19	0.00	101.19	15.0	15.18
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
10/31/2018	***-**-67CL - MILGROOM,	MUTUAL DISC FND - CL C	0.00	120.58	0.00	120.58	15.0	18.09
	ACCOUNT #: 000000004246486	BUY 0 Units @0.0000						
10/31/2018	***-**-67CL - MILGROOM,	MUTUAL DISC FND - CL C	0.00	61.30	0.00	61.30	15.0	9.20
	ACCOUNT #: 000000004415562	BUY 0 Units @0.0000						
10/31/2018	***-**-67CL - MILGROOM,	MUTUAL DISC FND - CL C	0.00	9.06	0.00	9.06	15.0	1.36
	ACCOUNT #: 000000004419469	BUY 0 Units @0.0000						
11/12/2018	***-**-345P - WIEBE, PHILIP	PORTFOLIO PLAN C	150.00	1.50	0.00	1.50	15.0	0.23
	ACCOUNT #: 02000070602	BUY 0 Units @0.0000						
11/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @53.4000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$550.00	\$343.45	\$0.00	\$343.45		\$51.53
*** OVERRIDE TRANSACTIONS TOTAL ***			\$550.00	\$343.45	\$0.00	\$343.45		\$51.53



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions		\$0.00	
					Split Transactions		\$0.00	
					Override Transactions		\$51.53	
					Adjustments		\$0.00	
					Payroll Draw		\$0.00	
					Base Salary		\$0.00	
					Prior Period Balance		\$39.21	
					FINRA Assessment		\$0.00	
					SIPC Assessment		\$0.00	
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward		\$90.74	
					Year-to-date Earnings		\$3,818.84	