



COMMISSION STATEMENT

October 08, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2018	***-**-2660 - COILE, TIM	PACIFIC FUNDS HIGH INCOME A	15,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012461	SELL 1463 Units @10.2500						
10/02/2018	***-**-2660 - COILE, TIM	PACIFIC FUNDS SMALL/ MID CAP A	15,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012461	SELL 1053 Units @14.2400						
10/02/2018	***-**-2660 - COILE, TIM	PACIFIC FUNDS STRATEGIC INC FD A	20,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012461	SELL 1871 Units @10.6900						
* MUTUAL FUNDS SUBTOTAL *			\$50,000.00	\$0.00	\$54.00	\$-54.00		\$-54.00
<u>PRODUCT CATEGORY: STOCKS</u>								
10/03/2018	***-**-2660 - COILE, TIM	BOYD GAMING CORP	17,110.00	350.00	25.00	325.00	85.0	276.25
	ACCOUNT #: LSR012461	BUY 500 Units @34.2200						
10/03/2018	***-**-496I - MCCOY, SHERIANNE	BOYD GAMING CORP	17,108.00	350.00	25.00	325.00	85.0	276.25
	ACCOUNT #: LSR012469	BUY 500 Units @34.2160						
10/03/2018	***-**-03TR - NEAL TRUST,	BOYD GAMING CORP	13,689.20	250.00	23.00	227.00	85.0	192.95
	ACCOUNT #: LSR012505	BUY 400 Units @34.2230						
10/03/2018	***-**-141I - ROHMAN, ARTHUR	BOYD GAMING CORP	17,110.00	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012574	BUY 500 Units @34.2200						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	JUST ENERGY GROUP INC COM NPV ISIN	340.50	6.00	17.00	-11.00	100.0	-11.00
	ACCOUNT #: LSR012574	SELL 100 Units @3.4050						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	JUST ENERGY GROUP INC COM NPV ISIN	91.94	1.62	0.54	1.08	85.0	0.92
	ACCOUNT #: LSR012574	SELL 27 Units @3.4050						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	JUST ENERGY GROUP INC COM NPV ISIN	248.57	4.38	1.46	2.92	85.0	2.48
	ACCOUNT #: LSR012574	SELL 73 Units @3.4050						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	JUST ENERGY GROUP INC COM NPV ISIN	91.94	1.62	0.54	1.08	85.0	0.92
	ACCOUNT #: LSR012574	SELL 27 Units @3.4050						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	JUST ENERGY GROUP INC COM NPV ISIN	7,491.00	132.00	44.00	88.00	85.0	74.80
	ACCOUNT #: LSR012574	SELL 2200 Units @3.4050						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	JUST ENERGY GROUP INC COM NPV ISIN	248.57	4.38	1.46	2.92	85.0	2.48
	ACCOUNT #: LSR012574	SELL 73 Units @3.4050						
10/02/2018	***-**-141I - ROHMAN, ARTHUR	MRC GLOBAL INC COM USD0.01	9,316.50	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012574	SELL 500 Units @18.6330						
10/01/2018	***-**-2660 - COILE, TIM	NORBORD INC COM NEW ISIN #CA65548P4	6,844.00	125.00	19.00	106.00	85.0	90.10
	ACCOUNT #: LSR012461	BUY 200 Units @34.2200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
10/01/2018	***-**-558I - CRANFORD, ACCOUNT #: LSR012466	NORBORD INC COM NEW ISIN #CA65548P4 BUY 100 Units @34.2800	3,428.00	54.00	17.00	37.00	85.0	31.45
10/01/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	NORBORD INC COM NEW ISIN #CA65548P4 BUY 400 Units @34.2400	13,696.00	250.00	23.00	227.00	85.0	192.95
10/01/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	NORBORD INC COM NEW ISIN #CA65548P4 BUY 100 Units @34.2850	3,428.50	62.50	17.00	45.50	85.0	38.68
10/01/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	NORBORD INC COM NEW ISIN #CA65548P4 BUY 100 Units @34.2850	3,428.50	62.50	2.00	60.50	85.0	51.43
10/01/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	ORION GROUP HOLDINGS INC COM SELL 1200 Units @7.4440	8,932.80	160.00	39.00	121.00	85.0	102.85
10/01/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	ORION GROUP HOLDINGS INC COM SELL 300 Units @7.4440	2,233.20	40.00	6.00	34.00	85.0	28.90
10/02/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	SELECT SECTOR SPDR TR CONSUMER DISC BUY 100 Units @116.3500	11,635.00	200.00	17.00	183.00	85.0	155.55
10/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	SELECT SECTOR SPDR TR CONSUMER DISC BUY 100 Units @116.3700	11,637.00	200.00	17.00	183.00	85.0	155.55
10/02/2018	***-**-266O - COILE, TIM ACCOUNT #: LSR012461	SELECT SECTOR SPDR TR SHS BEN INT M SELL 152 Units @58.7600	8,931.52	200.00	18.04	181.96	85.0	154.67
10/02/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	VISTRA ENERGY CORP COM SELL 500 Units @25.5150	12,757.50	250.00	25.00	225.00	85.0	191.25
* STOCKS SUBTOTAL *			\$169,798.24	\$3,104.00	\$388.04	\$2,715.96		\$2,306.93
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
10/01/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	READY CAP CORP COM UNSOLICITED ORDE SELL 1000 Units @16.4340	16,434.00	300.00	24.00	276.00	85.0	234.60
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$16,434.00	\$300.00	\$24.00	\$276.00		\$234.60
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/30/2018	***-**-745I - EKHOF, NOHORA ACCOUNT #: 922188948	THE LINCOLN NAT LIFE CO 53422E439 BUY 0 Units @0.0000	0.00	12.03	0.00	12.03	85.0	10.23
09/30/2018	***-**-197K - EKHOF, JOHN ACCOUNT #: 922188949	THE LINCOLN NAT LIFE CO 53422E439 BUY 0 Units @0.0000	0.00	78.74	0.00	78.74	85.0	66.93



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
09/30/2018	***-**-09IN - BROWN, CHARLES	THE LINCOLN NAT LIFE CO 53422E439	0.00	292.64	0.00	292.64	85.0	248.74
	ACCOUNT #: 924103883	BUY 0 Units @0.0000						
09/30/2018	***-**-8861 - KINA, EMMA	THE LINCOLN NAT LIFE CO 53422E439	0.00	305.50	0.00	305.50	85.0	259.68
	ACCOUNT #: 924159332	BUY 0 Units @0.0000						
09/30/2018	***-**-367I - FORSTROM, MARY	THE LINCOLN NAT LIFE CO 53422E462	0.00	151.41	0.00	151.41	85.0	128.70
	ACCOUNT #: 924470613	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$840.32	\$0.00	\$840.32		\$714.28
*** BROKER TRANSACTIONS TOTAL ***			\$236,232.24	\$4,244.32	\$466.04	\$3,778.28		\$3,201.81

BROKER COMMISSION TOTALS

Broker Transactions	\$3,201.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,201.81
Year-to-date Earnings	\$151,494.64