



# COMMISSION STATEMENT

## November 26, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/20/2018	***-**-153J - SHOCKEY, JEFFREY	AMERICAN FUNDS 2025 TARGET DATE-A	1,700.00	46.75	0.00	46.75	85.0	39.74
	ACCOUNT #: 00075824133	BUY 125 Units @13.5800						
11/19/2018	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 00082865142	BUY 3 Units @46.4300						
11/19/2018	***-**-54IN - SCHMIDT, JENNY	INCOME FUND OF AMERICA CLASS C	100.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: 00082865142	BUY 4 Units @22.2600						
11/05/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
11/05/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
11/19/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 4 Units @52.1600						
11/19/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 9 Units @23.3800						
* MUTUAL FUNDS SUBTOTAL *			<b>\$2,476.72</b>	<b>\$61.51</b>	<b>\$0.00</b>	<b>\$61.51</b>		<b>\$52.31</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/26/2018	***-**-22IR - SHOCKEY, DEBORAH	SAMMONS MF TRAILS	0.00	5.15	0.00	5.15	85.0	4.38
	ACCOUNT #: 7200019359	BUY 0 Units @0.0000						
11/26/2018	***-**-53IR - SHOCKEY, JEFFREY	SAMMONS MF TRAILS	0.00	8.54	0.00	8.54	85.0	7.26
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
11/05/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$65.28</b>	<b>\$14.34</b>	<b>\$0.00</b>	<b>\$14.34</b>		<b>\$12.19</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$2,542.00</b>	<b>\$75.85</b>	<b>\$0.00</b>	<b>\$75.85</b>		<b>\$64.50</b>



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<b><u>BROKER COMMISSION TOTALS</u></b>								
						Broker Transactions		\$64.50
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
<b>Balance Forward</b>								<b>\$64.50</b>
<b>Year-to-date Earnings</b>								<b>\$115,448.85</b>