



COMMISSION STATEMENT

October 01, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/24/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 207 Units @57.9400	12,000.01	570.00	18.00	552.00	75.0	414.00
09/24/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 189 Units @58.0900	10,999.98	522.50	18.00	504.50	75.0	378.38
09/24/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 207 Units @57.9400	12,000.01	570.00	18.00	552.00	75.0	414.00
09/24/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 155 Units @58.0900	9,000.00	427.50	18.00	409.50	75.0	307.13
* MUTUAL FUNDS SUBTOTAL *			\$44,000.00	\$2,090.00	\$72.00	\$2,018.00		\$1,513.51
<u>PRODUCT CATEGORY: STOCKS</u>								
09/25/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	BANK OF AMERICA CORP UNSOLICITED OR SELL 100 Units @30.9150	3,091.50	53.57	17.00	36.57	75.0	27.43
09/25/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	BANK OF AMERICA CORP UNSOLICITED OR SELL 52 Units @30.9100	1,607.32	27.86	1.04	26.82	75.0	20.12
09/25/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	BANK OF AMERICA CORP UNSOLICITED OR SELL 100 Units @30.9150	3,091.50	53.57	2.00	51.57	75.0	38.68
09/25/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	BANK OF AMERICA CORP UNSOLICITED OR SELL 255 Units @30.7900	7,851.45	135.00	20.10	114.90	75.0	86.18
09/25/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	BANK OF AMERICA CORP UNSOLICITED OR SELL 301 Units @30.9300	9,309.93	50.00	21.02	28.98	75.0	21.74
09/25/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @54.7100	5,471.00	90.00	18.00	72.00	75.0	54.00
09/25/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	CANOPY GROWTH CORPORATION COM NPV I BUY 50 Units @54.7100	2,735.50	45.00	0.00	45.00	75.0	33.75
09/25/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	CANOPY GROWTH CORPORATION COM NPV I BUY 50 Units @54.3300	2,716.50	46.67	18.00	28.67	75.0	21.50
09/25/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @54.3300	5,433.00	93.33	0.00	93.33	75.0	70.00
09/24/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CONOCOPHILLIPS UNSOLICITED ORDER SELL 150 Units @77.0330	11,554.95	150.00	18.00	132.00	75.0	99.00
09/24/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	CONOCOPHILLIPS UNSOLICITED ORDER SELL 150 Units @77.0270	11,554.05	150.00	18.00	132.00	75.0	99.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
09/24/2018	***-**-574I - DAVIS, MISCHA	CONOCOPHILLIPS UNSOLICITED ORDER	7,711.20	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR010630	SELL 100 Units @77.1120						
		* STOCKS SUBTOTAL *	<u>\$72,127.90</u>	<u>\$1,030.00</u>	<u>\$150.16</u>	<u>\$879.84</u>		<u>\$659.90</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$116,127.90</u>	<u>\$3,120.00</u>	<u>\$222.16</u>	<u>\$2,897.84</u>		<u>\$2,173.41</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,173.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,173.41
Year-to-date Earnings	\$67,828.43