

COMMISSION STATEMENT

August 31, 2018

ARNETT DPM

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for ARNETT DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/21/2018 368257366	RAINER, SANDRA	MF DREYFUS S&P 500 INDEX FD	B	-1,997.55	0.00	5.00	-5.00	0.0	0.00
08/21/2018 368257366	RAINER, SANDRA	MF FIRST EAGLE OVERSEAS FUND CLASS	S	-1,584.35	0.00	5.00	-5.00	0.0	0.00
08/03/2018 368288519	MOLTER, DANE	MF VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
08/03/2018 368294569	MOLTER, RACHEL	MF VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
08/08/2018 368463963	ZIMNY, SALLY	MF VANGUARD SMALL CAP INDEX FD ADM	S	-18,815.02	0.00	5.00	-5.00	0.0	0.00
08/08/2018 368465182	JEFFERY, ROBERT	MF VANGUARD SMALL CAP GROWTH INDEX	S	-49,043.62	0.00	5.00	-5.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	MF AMCAP FUND-A	S	-3,261.93	0.00	5.00	-5.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	MF AMERICAN BALANCED FUND-C	S	-5,085.12	0.00	5.00	-5.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	MF AMERICAN MUTUAL FUND-A	S	-3,101.15	0.00	5.00	-5.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	MF CAPITAL WORLD GROWTH AND INCOME	S	-2,951.21	0.00	5.00	-5.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	MF NEW PERSPECTIVE FUND-A	S	-3,250.06	0.00	5.00	-5.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	MF THE GROWTH FUND OF AMERICA-A	S	-3,560.20	0.00	5.00	-5.00	0.0	0.00
08/20/2018 583761003	PERRY, KELLY	MF VANGUARD 500 INDEX FD ADMIRAL	S	8,002.45	0.00	2.50	-2.50	0.0	0.00
08/08/2018 583778780	PERRY, KELLY	MF VANGUARD 500 INDEX FD ADMIRAL	B	-13,997.55	0.00	5.00	-5.00	0.0	0.00
08/21/2018 645500391	RAINER, SANDRA	MF CAPITAL INCOME BUILDER CLASS C	B	-17,997.55	0.00	5.00	-5.00	0.0	0.00
08/24/2018 646263838	O'BRYAN, THOMAS	MF VANGUARD GROWTH INDEX FD	S	252.45	0.00	2.50	-2.50	0.0	0.00
08/22/2018 769618011	VAZQUEZ CUSTODIAN,	MF VANGUARD LARGE CAP INDEX FD ADM	S	1,102.45	0.00	2.50	-2.50	0.0	0.00
08/22/2018 769627558	VAZQUEZ CUST, JOSE	MF VANGUARD LARGE CAP INDEX FD ADM	S	1,102.45	0.00	2.50	-2.50	0.0	0.00
08/08/2018 891684678	ZIMNY, SALLY	MF VANGUARD SMALL CAP GROWTH INDEX	S	-28,977.06	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$-142,257.67	\$0.00	\$80.00	\$-80.00		\$0.00

PRODUCT CATEGORY: STOCKS

08/03/2018 184926334	CHIASSEN LIV TRUST, S	NEWELL RUBBERMAID INC CALLABLE	B	60,398.27	19.80	15.00	4.80	0.0	0.00
08/09/2018 184926334	CHIASSEN LIV TRUST, S	VANGUARD TAX-EXEMPT BOND INDEX	B	59,846.80	15.00	15.00	0.00	0.0	0.00
08/10/2018 184926334	CHIASSEN LIV TRUST, S	KOHL'S CORPORATION CALL & MAKE-	B	55,445.82	19.98	15.00	4.98	0.0	0.00
08/17/2018 368465182	JEFFERY, ROBERT	S DOW CHEMICAL CO/THE CALL @ MAKE	B	50,727.74	19.60	15.00	4.60	0.0	0.00
08/07/2018 583761003	PERRY, KELLY	S ISHARES MSCI EMERGING MKTS	B	8,000.31	15.00	15.00	0.00	0.0	0.00
08/07/2018 583761003	PERRY, KELLY	S SCHWAB FUNDAMENTAL	B	30,187.05	15.00	15.00	0.00	0.0	0.00
08/07/2018 583761003	PERRY, KELLY	S SCHWAB U.S. SMALL-CAP ETF	B	23,947.87	15.00	15.00	0.00	0.0	0.00
08/08/2018 583761003	PERRY, KELLY	S FIDELITY MSCI HEALTH CARE INDEX	B	15,989.97	15.00	15.00	0.00	0.0	0.00
08/09/2018 583761003	PERRY, KELLY	S KOHL'S CORPORATION CALL & MAKE-	B	10,283.23	20.00	15.00	5.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/10/2018 583761003	PERRY, KELLY	S SYMANTEC CORP CALL @	B	10,285.83	20.00	15.00	5.00	0.0	0.00
08/07/2018 583778780	PERRY, KELLY	S FIDELITY MSCI HEALTH CARE INDEX	B	3,032.57	15.00	15.00	0.00	0.0	0.00
08/07/2018 583778780	PERRY, KELLY	S ISHARES MSCI EMERGING MKTS	B	3,034.27	15.00	15.00	0.00	0.0	0.00
08/07/2018 583778780	PERRY, KELLY	S SCHWAB FUNDAMENTAL	B	12,085.25	15.00	15.00	0.00	0.0	0.00
08/07/2018 583778780	PERRY, KELLY	S SCHWAB U.S. SMALL-CAP ETF	B	4,956.79	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				<u>\$348,221.77</u>	<u>\$234.38</u>	<u>\$210.00</u>	<u>\$24.38</u>		<u>\$0.00</u>

PRODUCT CATEGORY: BONDS

08/15/2018 122508273	ARNETT, ANTHONY	B WELLS FARGO & CO	B	20,002.39	19.95	15.00	4.95	0.0	0.00
08/09/2018 184926334	CHIASSEN LIV TRUST,	B CAPITAL ONE FINANCIAL CO CPN	B	74,756.79	19.50	15.00	4.50	0.0	0.00
08/03/2018 368353272	TARRH, LLOYD	B AVIS BUDGET CAR RENT LLC /AVIS	B	30,634.80	19.80	15.00	4.80	0.0	0.00
08/10/2018 368463963	ZIMNY, SALLY	B GOLDMAN SACHS GROUP	B	19,718.47	20.00	15.00	5.00	0.0	0.00
08/20/2018 583761003	PERRY, KELLY	B XEROX CORP	B	10,121.60	20.00	15.00	5.00	0.0	0.00
08/09/2018 583778780	PERRY, KELLY	B PULTEGROUP INC CALLABLE BOOK	B	5,189.08	20.00	15.00	5.00	0.0	0.00
08/10/2018 583778780	PERRY, KELLY	B YUM BRANDS INC CALL @ MAKE	B	12,231.92	19.92	15.00	4.92	0.0	0.00
08/17/2018 891684678	ZIMNY, SALLY	B CITIGROUP INC	B	30,240.10	19.84	15.00	4.84	0.0	0.00
* BONDS SUBTOTAL *				<u>\$202,895.15</u>	<u>\$159.01</u>	<u>\$120.00</u>	<u>\$39.01</u>		<u>\$0.00</u>

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

08/17/2018 154253009	BICKLEY, ALLEN	UIT FIRST TRUST HIGH YIELD INCOME	B	14,999.74	0.00	10.00	-10.00	0.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *				<u>\$14,999.74</u>	<u>\$0.00</u>	<u>\$10.00</u>	<u>\$-10.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc037813	VARIOUS, ARNETT	MFT AMCAP FUND-A	B	0.00	0.33	0.00	0.33	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT AMERICAN BALANCED FUND-C	B	0.00	1.43	0.00	1.43	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.32	0.00	0.32	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.98	0.00	0.98	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.79	0.00	2.79	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.80	0.00	6.80	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.29	0.00	9.29	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	13.04	0.00	13.04	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	13.65	0.00	13.65	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	17.22	0.00	17.22	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	32.96	0.00	32.96	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.80	0.00	2.80	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.40	0.00	5.40	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND	B	0.00	5.80	0.00	5.80	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.30	0.00	0.30	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.73	0.00	1.73	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	0.99	0.00	0.99	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	2.64	0.00	2.64	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	21.28	0.00	21.28	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.82	0.00	0.82	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.84	0.00	4.84	0.0	0.00
08/21/2018 mfc037813	VARIOUS, ARNETT	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	4.91	0.00	4.91	0.0	0.00

				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	5.66	0.00	5.66	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT GABELLI SMALL CAP GROWTH FD CL	B	0.00	1.14	0.00	1.14	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT GOLD & SPECIAL MINERALS A	B	0.00	0.62	0.00	0.62	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.27	0.00	2.27	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.44	0.00	4.44	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT HARBOR INTERNATIONAL FD INV CL	B	0.00	3.70	0.00	3.70	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT JP MORGAN INVESTOR GROWTH FD CL	B	0.00	4.36	0.00	4.36	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT MFS TOTAL RETURN FUND-A	B	0.00	6.76	0.00	6.76	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	5.81	0.00	5.81	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	5.81	0.00	5.81	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.33	0.00	0.33	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT NEW WORLD FUND-A	B	0.00	19.25	0.00	19.25	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	1.43	0.00	1.43	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	2.27	0.00	2.27	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	6.58	0.00	6.58	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	18.88	0.00	18.88	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.46	0.00	0.46	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.36	0.00	0.36	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.57	0.00	1.57	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	66.90	0.00	66.90	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.09	0.00	1.09	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.72	0.00	5.72	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.73	0.00	0.73	0.0	0.00
08/21/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	1.08	0.00	1.08	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$317.54	\$0.00	\$317.54		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***					\$423,858.99	\$710.93	\$420.00	\$290.93		\$0.00

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00