

Bill Payment Stub

Check Date:	12/24/2018
Check No.:	ACH
Check Amount:	1,541.34

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICK HAVARD
1600 STILLHOUSE HOLLOW
PROSPER, TX 75078

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
12/15/2018	Bill	COMMISSIONS	18,669.34	18,669.34		18,669.34
12/6/2018	Credit	ADVANCE	-12,128.00	-12,128.00		-12,128.00
12/14/2018	Credit	ADVANCE	-5,000.00	-5,000.00		-5,000.00

COMMISSION STATEMENT

December 15, 2018

 RICK HAVARD-D361
 1600 Stillhouse Hollow

 BROKER #: D361
 BRANCH: DMN

Prosper, TX 75078

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
11/29/2018 (None)	JENNINGS, JOE	VA AXA LIFE	B	300,000.00	18,000.00	0.00	18,000.00	90.0	16,200.00
11/30/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	92,911.61	38.71	0.00	38.71	90.0	34.84
11/30/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	638,874.79	532.40	0.00	532.40	90.0	479.16
11/30/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	44,200.62	18.42	0.00	18.42	90.0	16.58
11/30/2018 1003034506	LARSON, RONALD	VA L SERIES	B	240,651.30	260.71	0.00	260.71	90.0	234.64
11/30/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	111,025.69	120.28	0.00	120.28	90.0	108.25
11/30/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	76,971.97	64.14	0.00	64.14	90.0	57.73
11/30/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	343,931.79	286.61	0.00	286.61	90.0	257.95
11/30/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	31,576.91	26.31	0.00	26.31	90.0	23.68
11/30/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	24,779.47	26.84	0.00	26.84	90.0	24.16
11/30/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	32,964.42	27.47	0.00	27.47	90.0	24.72
11/30/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	25,868.21	28.02	0.00	28.02	90.0	25.22
11/30/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	35,529.29	29.61	0.00	29.61	90.0	26.65
11/30/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	41,714.88	34.76	0.00	34.76	90.0	31.28
11/30/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	185,260.35	154.38	0.00	154.38	90.0	138.94
11/30/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,601.93	10.40	0.00	10.40	90.0	9.36
11/30/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	161,564.27	134.64	0.00	134.64	90.0	121.18
11/30/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	125,082.51	135.51	0.00	135.51	90.0	121.96
11/30/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	163,739.25	177.38	0.00	177.38	90.0	159.64
11/30/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	71,768.77	77.75	0.00	77.75	90.0	69.98
11/30/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	91,463.59	99.09	0.00	99.09	90.0	89.18
11/30/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	73,463.84	61.22	0.00	61.22	90.0	55.10
11/30/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	236,716.83	256.44	0.00	256.44	90.0	230.80
11/30/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	84,917.62	91.99	0.00	91.99	90.0	82.79
11/30/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	104,448.09	113.15	0.00	113.15	90.0	101.84
11/30/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	143,632.78	59.85	0.00	59.85	90.0	53.87
11/30/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	219,448.03	91.44	0.00	91.44	90.0	82.30
11/30/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	205,023.81	85.43	0.00	85.43	90.0	76.89
11/30/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	23,870.35	14.92	0.00	14.92	90.0	13.43
11/30/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	6,117.59	2.04	0.00	2.04	90.0	1.84
11/30/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	18,301.35	6.10	0.00	6.10	90.0	5.49

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/30/2018	1014319022 JENNINGS, JOE	VA ELITE ACCESS	B	395,907.65	131.97	0.00	131.97	90.0	118.77
11/30/2018	1017547069 COMPTON, RICKY	VA PERSP II 04/14	B	338,546.27	141.06	0.00	141.06	90.0	126.95
11/30/2018	1019204223 WARD, VIRGINIA	VA PERSP II 01/16	B	80,526.21	33.55	0.00	33.55	90.0	30.20
11/30/2018	1020652027 THOMAS, BRUCE &	VA PERSP II 01/16	B	495,848.86	103.30	0.00	103.30	90.0	92.97
* VARIABLE ANNUITIES SUBTOTAL *				\$5,276,250.90	\$21,475.89	\$0.00	\$21,475.89		\$19,328.34
*** DIRECT TRANSACTIONS TOTAL ***				\$5,276,250.90	\$21,475.89	\$0.00	\$21,475.89		\$19,328.34

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018	: 2019 FINRA RENEWAL	0.00	0.00	0.00	0.00	-634.00
12/15/2018	: 2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-659.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-659.00

BROKER COMMISSION TOTALS

Broker Transactions	\$19,328.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-659.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$18,669.34
Year-to-date Commissions	\$90,084.90