

# COMMISSION STATEMENT

October 15, 2018

CHIP KLEINBROOK-D037  
 2817 South Milford Road  
 Suite 101  
 Highland, MI 48357

BROKER #: D037  
 BRANCH: DMN

## COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/17/2018 (None)	JACOB FADI	MF PRUDENTIAL MUTUAL FUNDS	B	50.00	0.50	0.00	0.50	80.0	0.40
10/01/2018 01454269950	ALEXIS M WALKER,	MF FA 529 PORT 2028 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
10/01/2018 01469591323	ALEXIS M WALKER,	MF FA 529 PORT 2031 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
10/01/2018 03900236336	MCNALLY, GAYLE	MF FIDELITY ADVISOR BALANCED CLASS B	B	1,612.43	48.37	0.00	48.37	80.0	38.70
10/01/2018 03900236339	MCNALLY, JAY	MF FIDELITY ADVISOR BALANCED CLASS B	B	4,752.86	142.59	0.00	142.59	80.0	114.07
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$6,515.29</b>	<b>\$192.46</b>	<b>\$0.00</b>	<b>\$192.46</b>		<b>\$153.97</b>
<b><u>PRODUCT CATEGORY: FIXED ANNUITIES</u></b>									
10/01/2018 (None)	ORLANDO, CHRISTOPHER	FA ALLIANZ FIA	B	0.00	681.87	0.00	681.87	80.0	545.50
10/01/2018 (None)	KLEINBROOK, CHARLES	FA ALLIANZ FIA	B	0.00	331.89	0.00	331.89	80.0	265.51
10/01/2018 (None)	MAIORANA, MARY	FA ALLIANZ FIA	B	0.00	549.28	0.00	549.28	80.0	439.42
10/01/2018 (None)	KLEINBROOK, ROBERT	FA ALLIANZ FIA	B	0.00	152.07	0.00	152.07	80.0	121.66
10/01/2018 (None)	KLEINBROOK, DEBRA	FA ALLIANZ FIA	B	0.00	140.50	0.00	140.50	80.0	112.40
10/01/2018 (None)	CHRISTOPHER, FRANK	FA ALLIANZ FIA	B	0.00	123.25	0.00	123.25	80.0	98.60
10/01/2018 (None)	ROUSSEY, DAVID	FA ALLIANZ FIA	B	0.00	205.32	0.00	205.32	80.0	164.26
10/01/2018 (None)	VIRGIN, WILLIAM	FA ALLIANZ FIA	B	0.00	378.65	0.00	378.65	80.0	302.92
10/08/2018 (None)	VIRGIN, WILLIAM	FA MIDLAND NATL FIA	B	0.00	1,567.77	0.00	1,567.77	80.0	1,254.22
10/08/2018 (None)	VIRGIN, NITA	FA MIDLAND NATL FIA	B	0.00	562.48	0.00	562.48	80.0	449.98
<b>* FIXED ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$4,693.08</b>	<b>\$0.00</b>	<b>\$4,693.08</b>		<b>\$3,754.47</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/31/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	6.92	0.00	6.92	80.0	5.54
08/31/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	9.28	0.00	9.28	80.0	7.42
09/30/2018 (None)	VARIOUS, KLEINBROOK	MFT PACIFIC FUNDS 12B-1	B	0.00	35.55	0.00	35.55	80.0	28.44
10/01/2018 00421275732	SIPPEL, JEAN	MFT PUTNAM 746745108	B	0.00	4.28	0.00	4.28	80.0	3.42
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	0.53	0.00	0.53	80.0	0.42
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.50	0.00	1.50	80.0	1.20
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.18	0.00	1.18	80.0	0.94
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR GLOBAL CAP	B	0.00	0.26	0.00	0.26	80.0	0.21
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	0.57	0.00	0.57	80.0	0.46
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	1.54	0.00	1.54	80.0	1.23
10/05/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADV EUROPE FUND	B	0.00	0.24	0.00	0.24	80.0	0.19

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV BALANCED CL C	B	0.00	52.38	0.00	52.38	80.0	41.90
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.77	0.00	10.77	80.0	8.62
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	7.79	0.00	7.79	80.0	6.23
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	91.33	0.00	91.33	80.0	73.06
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	0.15	0.00	0.15	80.0	0.12
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV CANADA CL C	B	0.00	8.42	0.00	8.42	80.0	6.74
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	67.33	0.00	67.33	80.0	53.86
10/05/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	5.23	0.00	5.23	80.0	4.18
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.78	0.00	29.78	80.0	23.82
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADV BALANCED CL C	B	0.00	39.35	0.00	39.35	80.0	31.48
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR FUNDS	B	0.00	26.71	0.00	26.71	80.0	21.37
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	31.50	0.00	31.50	80.0	25.20
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	11.03	0.00	11.03	80.0	8.82
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	31.46	0.00	31.46	80.0	25.17
10/05/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR VALUE	B	0.00	37.62	0.00	37.62	80.0	30.10
10/05/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	12.34	0.00	12.34	80.0	9.87
10/05/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADVISOR HIGH INC	B	0.00	24.70	0.00	24.70	80.0	19.76
10/05/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	27.01	0.00	27.01	80.0	21.61
10/05/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	18.83	0.00	18.83	80.0	15.06
10/05/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	33.33	0.00	33.33	80.0	26.66
10/01/2018 00517197709	JONILYN P JOHNSON	MFT PUTNAM 746444108	B	0.00	32.34	0.00	32.34	80.0	25.87
10/01/2018 00517197709	JONILYN P JOHNSON	MFT PUTNAM 746444850	B	0.00	272.41	0.00	272.41	80.0	217.93
10/05/2018 00520128786	COTEY, JOHN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	26.68	0.00	26.68	80.0	21.34
10/05/2018 00520128810	COTEY, MARIANN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.33	0.00	1.33	80.0	1.06
10/05/2018 00520235862	COTEY, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.70	0.00	1.70	80.0	1.36
10/05/2018 00520235862	COTEY, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	2.15	0.00	2.15	80.0	1.72
10/05/2018 00520236563	COTEY, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.50	0.00	0.50	80.0	0.40
10/05/2018 00520236563	COTEY, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	2.14	0.00	2.14	80.0	1.71
10/05/2018 00528954597	LAI, LICHUN	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.81	0.00	2.81	80.0	2.25
10/05/2018 00536438369	MCCAULEY, JULIE	MFT FIDELITY ADV FREEDOM 2040 CL C	B	0.00	6.70	0.00	6.70	80.0	5.36
10/01/2018 00550921152	ZARAZUA, JANE &	MFT PUTNAM 746802800	B	0.00	0.29	0.00	0.29	80.0	0.23
10/01/2018 00550921152	ZARAZUA, JANE &	MFT PUTNAM 746802883	B	0.00	0.42	0.00	0.42	80.0	0.34
10/01/2018 00550921152	ZARAZUA, JANE &	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.28	0.00	0.28	80.0	0.22
10/01/2018 00551338615	CHHEN, ALEX	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	13.33	0.00	13.33	80.0	10.66
10/01/2018 00551406805	TO STA TOD RULES,	MFT PUTNAM 746745108	B	0.00	4.96	0.00	4.96	80.0	3.97
10/05/2018 00625439310	HOEY, LAURIE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	10.94	0.00	10.94	80.0	8.75
10/05/2018 00625443098	MLINARICH, SUSAN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	11.79	0.00	11.79	80.0	9.43
10/05/2018 00670088970	MARTIN, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.50	0.00	1.50	80.0	1.20
10/05/2018 00670088970	MARTIN, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	0.96	0.00	0.96	80.0	0.77
10/05/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR LARGE CAP	B	0.00	0.73	0.00	0.73	80.0	0.58
10/05/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.74	0.00	0.74	80.0	0.59
10/05/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.78	0.00	0.78	80.0	0.62
10/05/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADV FREEDOM 2025-CL A	B	0.00	1.65	0.00	1.65	80.0	1.32
10/05/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.01	0.00	1.01	80.0	0.81

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10/05/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV BALANCED CL C	B	0.00	15.90	0.00	15.90	80.0	12.72
10/05/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV OVERSEAS CL C	B	0.00	5.45	0.00	5.45	80.0	4.36
10/05/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADVISOR INTL DISCOVERY	B	0.00	5.41	0.00	5.41	80.0	4.33
10/05/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	6.98	0.00	6.98	80.0	5.58
10/05/2018 00701133928	MILLINER, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.04	0.00	0.04	80.0	0.03
10/05/2018 00701277857	PILONI, ALMA	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	6.75	0.00	6.75	80.0	5.40
10/05/2018 00701688350	DEWITT, BENE	MFT FA 529 PORT 2022 CL A	B	0.00	2.39	0.00	2.39	80.0	1.91
10/05/2018 00702371188	ROBERT P KOHLOFF,	MFT FA 529 COLLEGE PORT CL D	B	0.00	4.04	0.00	4.04	80.0	3.23
10/05/2018 00702371196	ROBERT P KOHLOFF,	MFT FA 529 PORT 2019 CL D	B	0.00	6.96	0.00	6.96	80.0	5.57
10/05/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.06	0.00	3.06	80.0	2.45
10/05/2018 00702462417	KOHLOFF,	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	3.56	0.00	3.56	80.0	2.85
10/05/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	3.20	0.00	3.20	80.0	2.56
10/05/2018 00702462417	KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.31	0.00	0.31	80.0	0.25
10/05/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	3.63	0.00	3.63	80.0	2.90
10/05/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	3.63	0.00	3.63	80.0	2.90
10/05/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.43	0.00	0.43	80.0	0.34
10/05/2018 00702669698	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	3.05	0.00	3.05	80.0	2.44
10/05/2018 00702669706	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	3.22	0.00	3.22	80.0	2.58
10/05/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.01	0.00	0.01	80.0	0.01
10/05/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.02	0.00	0.02	80.0	0.02
10/05/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	0.02	0.00	0.02	80.0	0.02
10/05/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	0.02	0.00	0.02	80.0	0.02
10/05/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.81	0.00	0.81	80.0	0.65
10/05/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.75	0.00	1.75	80.0	1.40
10/05/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	1.27	0.00	1.27	80.0	1.02
10/05/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	1.04	0.00	1.04	80.0	0.83
10/05/2018 00704265347	STANKO, PATRICIA	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.78	0.00	1.78	80.0	1.42
10/05/2018 00704660992	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	15.02	0.00	15.02	80.0	12.02
10/01/2018 01001400917	ELWELL, ROBERT	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	0.08	0.00	0.08	80.0	0.06
10/05/2018 01423897501	PRESTON, THOMAS	MFT FIDELITY ADV INTL SMALL CAP	B	0.00	1.02	0.00	1.02	80.0	0.82
10/05/2018 01425820881	PRESTON, YOLANDA	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	5.10	0.00	5.10	80.0	4.08
10/05/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	11.04	0.00	11.04	80.0	8.83
10/05/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	10.79	0.00	10.79	80.0	8.63
10/05/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	13.60	0.00	13.60	80.0	10.88
10/05/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.55	0.00	18.55	80.0	14.84
10/05/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.11	0.00	29.11	80.0	23.29
10/05/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	26.38	0.00	26.38	80.0	21.10
10/05/2018 01437758582	NANCY E KRZISNIK	MFT FA 529 PORT 2019 CL A	B	0.00	3.19	0.00	3.19	80.0	2.55
10/05/2018 01437772005	NANCY E KRZISNIK	MFT FA 529 PORT 2022 CL A	B	0.00	3.21	0.00	3.21	80.0	2.57
10/05/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL A	B	0.00	4.61	0.00	4.61	80.0	3.69
10/05/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL C	B	0.00	3.79	0.00	3.79	80.0	3.03
10/05/2018 01447670405	SZEWCZYK,	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.14	0.00	2.14	80.0	1.71
10/05/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV BALANCED CL C	B	0.00	1.44	0.00	1.44	80.0	1.15
10/05/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	1.47	0.00	1.47	80.0	1.18

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10/05/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL A	B	0.00	0.44	0.00	0.44	80.0	0.35
10/05/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL C	B	0.00	2.43	0.00	2.43	80.0	1.94
10/05/2018 01465735031	NORRIS, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.79	0.00	0.79	80.0	0.63
10/05/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	29.76	0.00	29.76	80.0	23.81
10/05/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV FREEDOM INCOME CL	B	0.00	21.50	0.00	21.50	80.0	17.20
10/05/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL A	B	0.00	0.07	0.00	0.07	80.0	0.06
10/05/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL C	B	0.00	2.52	0.00	2.52	80.0	2.02
10/05/2018 01482972286	GARVOCK, BENE	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	4.09	0.00	4.09	80.0	3.27
10/05/2018 01483364202	DRAHUSHUK, ADAM	MFT FIDELITY ADV FREEDOM 2035 CL C	B	0.00	8.02	0.00	8.02	80.0	6.42
10/05/2018 03900215447	NISSETYLEJ	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	4.03	0.00	4.03	80.0	3.22
10/05/2018 03900236336	MCNALLY, GAYLE	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	1.80	0.00	1.80	80.0	1.44
10/01/2018 03909882817	ABEN, JAMES	MFT AIM CHARTER FUND - CLASS C	B	0.00	17.41	0.00	17.41	80.0	13.93
10/01/2018 03909882817	ABEN, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.84	0.00	2.84	80.0	2.27
10/01/2018 03909882817	ABEN, JAMES	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	8.91	0.00	8.91	80.0	7.13
10/01/2018 03911238057	LY, KENNY	MFT AIM CHARTER FUND - CLASS A	B	0.00	13.52	0.00	13.52	80.0	10.82
10/01/2018 03911238057	LY, KENNY	MFT AIM MODERATE ALLOCATION - CLASS	B	0.00	9.88	0.00	9.88	80.0	7.90
10/01/2018 03911238057	LY, KENNY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	10.98	0.00	10.98	80.0	8.78
10/08/2018 04700132585	KAREN ANN SPARKS,	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.63	0.00	3.63	80.0	2.90
10/08/2018 04700415762	ESA, COVERDELL	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.92	0.00	0.92	80.0	0.74
10/08/2018 04718897037	CHEN, SHAN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.22	0.00	1.22	80.0	0.98
10/08/2018 04718897037	CHEN, SHAN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.57	0.00	1.57	80.0	1.26
10/08/2018 04718897038	LAI, LICHUN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.22	0.00	1.22	80.0	0.98
10/08/2018 04718897038	LAI, LICHUN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.57	0.00	1.57	80.0	1.26
10/08/2018 04787871938	WAGG, BERNICE	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	20.00	0.00	20.00	80.0	16.00
10/08/2018 04787871938	WAGG, BERNICE	MFT AB BALANCED WEALTH STRATEGY C	B	0.00	44.82	0.00	44.82	80.0	35.86
10/03/2018 08203004421	KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.52	0.00	0.52	80.0	0.42
10/03/2018 08240000434	KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-A	B	0.00	1.05	0.00	1.05	80.0	0.84
10/03/2018 08536302247	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.69	0.00	1.69	80.0	1.35
10/03/2018 08536700498	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	0.70	0.00	0.70	80.0	0.56
10/03/2018 08537100164	KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.27	0.00	1.27	80.0	1.02
10/03/2018 08537500179	KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.63	0.00	1.63	80.0	1.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,483.12	\$0.00	\$1,483.12		\$1,186.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/27/2018 (None)	VARIOUS, KLEINBROOK	VAT SECURITY BENEFIT LIFE	B	0.00	54.79	0.00	54.79	80.0	43.83
09/28/2018 (None)	VARIOUS, KLEINBROOK	VAT PRUDENTIAL LIFE	B	0.00	362.80	0.00	362.80	80.0	290.24
09/28/2018 (None)	VARIOUS, KLEINBROOK	VAT PRUDENTIAL LIFE	B	0.00	6,272.56	0.00	6,272.56	80.0	5,018.05
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$6,690.15	\$0.00	\$6,690.15		\$5,352.12
*** DIRECT TRANSACTIONS TOTAL ***				\$6,515.29	\$13,058.81	\$0.00	\$13,058.81		\$10,447.03

**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,447.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$10,447.03</b>
<b>Year-to-date Commissions</b>	<b>\$76,857.35</b>