



COMMISSION STATEMENT

October 29, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
10/15/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	1,200.00	12.00	0.00	12.00	15.0	1.80
	ACCOUNT #: 07122874988	BUY 140 Units @8.5500						
10/02/2018	***-**-84IN - CAMPBELL, COLIN	CAPITAL APPRECIATION INST - CLASS R	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00456000642	BUY 0 Units @0.0000						
10/22/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 4 Units @49.8500						
10/22/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 4 Units @50.9400						
10/20/2018	***-**-894I - GARCIA, MARIANO	ING ADVANTAGE SELECT	0.00	5.65	0.00	5.65	15.0	0.85
	ACCOUNT #: R109394-ZS	BUY 0 Units @0.0000						
10/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.17	0.00	5.17	15.0	0.78
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
10/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	32.94	0.00	32.94	15.0	4.94
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
10/24/2018	***-**-9807 - IONESCU, EMANUEL	ING ADVANTAGE SELECT	0.00	3.13	0.00	3.13	15.0	0.47
	ACCOUNT #: R080009-ZS	BUY 0 Units @0.0000						
10/17/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	17.88	0.13	0.00	0.13	15.0	0.02
	ACCOUNT #: 07123000351	BUY 1 Units @12.6200						
10/29/2018	***-**-109I - PASCH, ROBERT	T ROWE TRAILS	0.00	6.02	0.00	6.02	15.0	0.90
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
10/29/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	28.16	0.00	28.16	15.0	4.22
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
10/29/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.88	0.00	0.88	15.0	0.13
	ACCOUNT #: 9927001222	BUY 0 Units @0.0000						
10/29/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	22.70	0.00	22.70	15.0	3.41
		BUY 0 Units @0.0000						
10/29/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	1.46	0.00	1.46	15.0	0.22
		BUY 0 Units @0.0000						
10/29/2018	***-**-7574 - G-MEN CORP	T ROWE TRAILS	0.00	2.44	0.00	2.44	15.0	0.37
	ACCOUNT #: 9940003415	BUY 0 Units @0.0000						
10/29/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	4.84	0.00	4.84	15.0	0.73
		BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
10/22/2018	***-**-461I - CAMPBELL, COLIN	THE GROWTH FUND OF AMERICA-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @54.5300						
		* CHRISTOPHER MILGROOM SUBTOTAL *	<u>\$1,757.88</u>	<u>\$140.69</u>	<u>\$0.00</u>	<u>\$140.69</u>		<u>\$21.11</u>
		*** OVERRIDE TRANSACTIONS TOTAL ***	<u>\$1,757.88</u>	<u>\$140.69</u>	<u>\$0.00</u>	<u>\$140.69</u>		<u>\$21.11</u>

 BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$21.11
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$21.11
Year-to-date Earnings	\$3,818.84