



COMMISSION STATEMENT

November 26, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/19/2018	***-**-76IR - TORRES, JASON ACCOUNT #: LSR012894	AMERICAN FUNDS 2040 TARGET DATE-A BUY 356 Units @15.1700	5,400.00	86.40	18.00	68.40	70.0	47.88
11/19/2018	***-**-8346 - SCOLLAN, JAMIE ACCOUNT #: 04000941955	AMERICAN FUNDS 2040 TARGET DATE-A BUY 7 Units @15.1700	110.76	1.77	0.00	1.77	70.0	1.24
11/19/2018	***-**-8346 - SCOLLAN, JAMIE ACCOUNT #: 04000941955	AMERICAN FUNDS 2040 TARGET DATE-A BUY 7 Units @15.1700	110.76	1.77	0.00	1.77	70.0	1.24
11/19/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 19 Units @18.0800	339.22	5.43	0.00	5.43	70.0	3.80
11/19/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 8 Units @18.0800	145.38	2.33	0.00	2.33	70.0	1.63
11/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 08351856019	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	100.00	4.75	0.00	4.75	70.0	3.33
10/22/2018	***-**-0485 - WHALEN, STEPHEN ACCOUNT #: 06090486215	FEDERATED 31421R841 BUY 2 Units @20.2000	50.00	2.50	0.00	2.50	70.0	1.75
11/19/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @42.8700	34.77	0.56	0.00	0.56	70.0	0.39
11/19/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @42.8700	26.08	0.42	0.00	0.42	70.0	0.29
11/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	NEW PERSPECTIVE FUND-A BUY 1 Units @42.8700	46.39	0.74	0.00	0.74	70.0	0.52
11/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	NEW PERSPECTIVE FUND-A BUY 1 Units @42.8700	46.39	0.74	0.00	0.74	70.0	0.52
11/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 00100031714	OPPENHEIMER CORP. BOND FUND A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	70.0	1.40
11/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 01952249841	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	70.0	1.67
11/19/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 3 Units @12.6500	34.77	0.56	0.00	0.56	70.0	0.39
11/19/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 2 Units @12.6500	26.08	0.42	0.00	0.42	70.0	0.29
11/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE BOND FUND OF AMERICA-A BUY 1 Units @12.6500	15.46	0.25	0.00	0.25	70.0	0.18



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/19/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.46	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.6500						
11/19/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	61.85	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: 00088394220	BUY 1 Units @51.3600						
11/19/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	61.85	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: 00088394220	BUY 1 Units @51.3600						
11/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	34.77	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00075179061	BUY 2 Units @23.0200						
11/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	26.06	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: 00075179061	BUY 1 Units @23.0200						
11/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	30.92	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00088394220	BUY 1 Units @23.0200						
11/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	30.92	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00088394220	BUY 1 Units @23.0200						
11/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	34.77	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00075179061	BUY 1 Units @39.8000						
11/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	26.08	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: 00075179061	BUY 1 Units @39.8000						
* MUTUAL FUNDS SUBTOTAL *			\$6,908.74	\$118.19	\$18.00	\$100.19		\$70.12
PRODUCT CATEGORY: FINANCIAL PLANNING								
11/21/2018	***-**-ERFP - MCALEER, MICHELE	FINANCIAL PLAN	0.00	250.00	0.00	250.00	70.0	175.00
		BUY 0 Units @0.0000						
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$250.00	\$0.00	\$250.00		\$175.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
11/14/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	0.00	4.21	0.00	4.21	70.0	2.95
	ACCOUNT #: 02400113961	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131582454	0.00	22.00	0.00	22.00	70.0	15.40
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131618704	0.00	13.00	0.00	13.00	70.0	9.10
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161P805	0.00	5.32	0.00	5.32	70.0	3.72
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161X808	0.00	30.17	0.00	30.17	70.0	21.12
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.81	0.00	5.81	70.0	4.07
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	3.64	0.00	3.64	70.0	2.55
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT BALANCED PORTFOLIO - A	0.00	18.03	0.00	18.03	70.0	12.62
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
11/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT ULTRA SHORT INCOME - A	0.00	3.06	0.00	3.06	70.0	2.14
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$105.24	\$0.00	\$105.24		\$73.67
*** BROKER TRANSACTIONS TOTAL ***			\$6,908.74	\$473.43	\$18.00	\$455.43		\$318.79

BROKER COMMISSION TOTALS

Broker Transactions	\$318.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$318.79
Year-to-date Earnings	\$61,304.09