



# COMMISSION STATEMENT

August 27, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM</u> </b>								
08/27/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.26	0.00	0.26	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
08/27/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	6.87	0.00	6.87	15.0	1.03
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
08/27/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.35	0.00	0.35	15.0	0.05
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
08/27/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	6.87	0.00	6.87	15.0	1.03
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
08/20/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @52.5200						
08/20/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @54.6900						
07/31/2018	***-**-67CL - MILGROOM,	FRANKLIN 354713539	0.00	27.08	0.00	27.08	15.0	4.06
	ACCOUNT #: 000000000020709	BUY 0 Units @0.0000						
07/31/2018	***-**-67CL - MILGROOM,	FRANKLIN 354713539	0.00	0.26	0.00	0.26	15.0	0.04
	ACCOUNT #: 000000000026195	BUY 0 Units @0.0000						
07/31/2018	***-**-1584 - CAMPBELL, COLIN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 000015813609108	BUY 0 Units @0.0000						
07/31/2018	***-**-1584 - CAMPBELL, COLIN	FRK UTIL FND - CL A	0.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 000070187501637	BUY 0 Units @0.0000						
08/20/2018	***-**-894I - GARCIA, MARIANO	ING ADVANTAGE SELECT	0.00	5.94	0.00	5.94	15.0	0.89
	ACCOUNT #: R109394-ZS	BUY 0 Units @0.0000						
08/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.44	0.00	5.44	15.0	0.82
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
08/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	34.78	0.00	34.78	15.0	5.22
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
08/24/2018	***-**-9807 - IONESCU, EMANUEL	ING ADVANTAGE SELECT	0.00	3.38	0.00	3.38	15.0	0.51
	ACCOUNT #: R080009-ZS	BUY 0 Units @0.0000						
07/31/2018	***-**-67CL - MILGROOM,	MUTUAL DISC FND - CL C	0.00	119.56	0.00	119.56	15.0	17.93
	ACCOUNT #: 000000004246486	BUY 0 Units @0.0000						
07/31/2018	***-**-67CL - MILGROOM,	MUTUAL DISC FND - CL C	0.00	58.57	0.00	58.57	15.0	8.79
	ACCOUNT #: 000000004415562	BUY 0 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> </b>								
07/31/2018	***-**-67CL - MILGROOM, ACCOUNT #: 000000004419469	MUTUAL DISC FND - CL C BUY 0 Units @0.0000	0.00	9.07	0.00	9.07	15.0	1.36
08/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 3 Units @56.8400	180.00	4.95	0.00	4.95	15.0	0.74
* CHRISTOPHER MILGROOM SUBTOTAL *			<u>\$540.00</u>	<u>\$297.84</u>	<u>\$0.00</u>	<u>\$297.84</u>		<u>\$44.68</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$540.00</u>	<u>\$297.84</u>	<u>\$0.00</u>	<u>\$297.84</u>		<u>\$44.68</u>

## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$44.68
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$44.68</b>
<b>Year-to-date Earnings</b>	<b>\$2,621.54</b>