

# COMMISSION STATEMENT

December 15, 2018

MARK ARCHAMBO-D003

310 South Osage

BROKER #: D003

BRANCH: DMB

Bartlesville, OK 74003

## COMMISSION STATEMENT for MARK ARCHAMBO-D003

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/06/2018	00523766434	THOMAS, CLAYTON	MF	FIDELITY ADVISOR FUNDS	B	164.40	1.64	0.00	1.64	90.0	1.48
12/06/2018	00523766434	THOMAS, CLAYTON	MF	FIDELITY ADVISOR FUNDS	B	164.40	1.64	0.00	1.64	90.0	1.48
* MUTUAL FUNDS SUBTOTAL *						\$328.80	\$3.28	\$0.00	\$3.28		\$2.96
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
12/03/2018	00056226111	SADDORIS, MATHIS	MFT	AMCAP FUND-A	B	0.00	67.25	0.00	67.25	90.0	60.53
12/03/2018	00056309362	SADDORIS, LINDA	MFT	AMCAP FUND-A	B	0.00	17.28	0.00	17.28	90.0	15.55
12/03/2018	00062212909	BEVERLY M	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	34.41	0.00	34.41	90.0	30.97
12/03/2018	00062212909	BEVERLY M	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	66.13	0.00	66.13	90.0	59.52
12/03/2018	00062212909	BEVERLY M	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	25.84	0.00	25.84	90.0	23.26
12/03/2018	00062212909	BEVERLY M	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	46.66	0.00	46.66	90.0	41.99
12/03/2018	00066837124	MYERS, BEVERLY	MFT	AMERICAN BALANCED FUND-F1	B	0.00	38.55	0.00	38.55	90.0	34.70
12/03/2018	00067268814	BARNHART, KONLYN	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.84	0.00	1.84	90.0	1.66
12/03/2018	00073519425	WALL, BARBARA	MFT	AMERICAN BALANCED FUND-C	B	0.00	77.00	0.00	77.00	90.0	69.30
12/03/2018	00073519425	WALL, BARBARA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	19.00	0.00	19.00	90.0	17.10
12/03/2018	00074255829	GREER, TRAVIS	MFT	AMERICAN BALANCED FUND-529A	B	0.00	7.65	0.00	7.65	90.0	6.89
12/03/2018	00074721058	COURTAD, GRETCHEN	MFT	AMERICAN BALANCED FUND-C	B	0.00	61.20	0.00	61.20	90.0	55.08
12/03/2018	00074721058	COURTAD, GRETCHEN	MFT	AMERICAN BALANCED FUND-F1	B	0.00	5.09	0.00	5.09	90.0	4.58
12/03/2018	00082424968	CASSELBERRY,	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.83	0.00	0.83	90.0	0.75
12/03/2018	00082424968	CASSELBERRY,	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	136.78	0.00	136.78	90.0	123.10
12/03/2018	00082506141	THOMAS, CLAYTON	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	21.21	0.00	21.21	90.0	19.09
12/03/2018	00082506141	THOMAS, CLAYTON	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.82	0.00	0.82	90.0	0.74
12/03/2018	00082506141	THOMAS, CLAYTON	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	6.44	0.00	6.44	90.0	5.80
12/03/2018	00089342791	SWYGARD, BRADLEY	MFT	AMERICAN BALANCED FUND-C	B	0.00	18.79	0.00	18.79	90.0	16.91
12/07/2018	00523766434	THOMAS, CLAYTON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	105.40	0.00	105.40	90.0	94.86
12/07/2018	00524268448	THOMAS, CLAYTON	MFT	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	6.31	0.00	6.31	90.0	5.68
12/07/2018	00689589232	TRISTA R	MFT	FA 529 PORT 2019 CL A	B	0.00	2.71	0.00	2.71	90.0	2.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$767.19	\$0.00	\$767.19		\$690.50
*** DIRECT TRANSACTIONS TOTAL ***						\$328.80	\$770.47	\$0.00	\$770.47		\$693.46

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
LINKED DIRECT TRANSACTIONS										
BROKER: MARK ARCHAMBO-D167 #5										
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADVISOR FUNDS	B	25.50	0.26	0.00	0.26	0.23
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADVISOR FUNDS	B	85.00	0.85	0.00	0.85	0.77
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV LARGE CAP CL C	B	25.50	0.26	0.00	0.26	0.23
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV LARGE CAP CL C	B	85.00	0.85	0.00	0.85	0.77
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC INCOME	B	51.00	0.51	0.00	0.51	0.46
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC INCOME	B	170.00	1.70	0.00	1.70	1.53
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC DIV &	B	25.50	0.26	0.00	0.26	0.23
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC DIV &	B	85.00	0.85	0.00	0.85	0.77
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	16.25	0.16	0.00	0.16	0.14
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	83.30	0.83	0.00	0.83	0.75
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADV STRATEGIC INCOME	B	40.63	0.41	0.00	0.41	0.37
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADV STRATEGIC INCOME	B	208.25	2.08	0.00	2.08	1.87
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	24.38	0.24	0.00	0.24	0.22
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	124.95	1.25	0.00	1.25	1.13
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	56.88	0.57	0.00	0.57	0.51
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	291.55	2.92	0.00	2.92	2.63
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR GOVT INCOME FD	B	24.36	0.24	0.00	0.24	0.22
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR GOVT INCOME FD	B	124.95	1.25	0.00	1.25	1.13
12/03/2018	00059234370	LOMAX, VICKI	MFT	AMERICAN BALANCED FUND-A	B	0.00	14.24	0.00	14.24	12.82
12/03/2018	00059234370	LOMAX, VICKI	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	9.25	0.00	9.25	8.33
12/03/2018	00059234370	LOMAX, VICKI	MFT	NEW PERSPECTIVE FUND-A	B	0.00	13.69	0.00	13.69	12.32
12/03/2018	00059234370	LOMAX, VICKI	MFT	THE BOND FUND OF AMERICA-A	B	0.00	5.75	0.00	5.75	5.18
12/03/2018	00059234370	LOMAX, VICKI	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	17.71	0.00	17.71	15.94
12/03/2018	00067644058	TATE, MORGAN	MFT	AMERICAN BALANCED FUND-C	B	0.00	29.06	0.00	29.06	26.15
12/03/2018	00067644058	TATE, MORGAN	MFT	AMERICAN BALANCED FUND-F1	B	0.00	17.72	0.00	17.72	15.95
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	AMERICAN BALANCED FUND-F1	B	0.00	1.87	0.00	1.87	1.68
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	CAPITAL WORLD GROWTH AND	B	0.00	1.49	0.00	1.49	1.34
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.05	0.00	2.05	1.85
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	4.17	0.00	4.17	3.75
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	THE BOND FUND OF AMERICA-F1	B	0.00	4.83	0.00	4.83	4.35
12/03/2018	00084561000	TATE, BARRY	MFT	AMERICAN BALANCED FUND-C	B	0.00	31.46	0.00	31.46	28.31
12/07/2018	00523766483	THOMAS, SUSAN	MFT	FIDELITY ADVISOR FUNDS	B	0.00	48.35	0.00	48.35	43.52
12/07/2018	00523766483	THOMAS, SUSAN	MFT	FIDELITY ADV LARGE CAP CL C	B	0.00	62.24	0.00	62.24	56.02
12/07/2018	00523766483	THOMAS, SUSAN	MFT	FIDELITY ADV STRATEGIC INCOME	B	0.00	79.54	0.00	79.54	71.59
12/07/2018	00523766483	THOMAS, SUSAN	MFT	FIDELITY ADV STRATEGIC DIV &	B	0.00	50.87	0.00	50.87	45.78
12/07/2018	00523766483	THOMAS, SUSAN	MFT	TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24	0.22
12/07/2018	00523766814	THOMAS, JEFFREY	MFT	FIDELITY ADVISOR FUNDS	B	0.00	26.24	0.00	26.24	23.62
12/07/2018	00523766814	THOMAS, JEFFREY	MFT	FIDELITY ADV STRATEGIC INCOME	B	0.00	31.96	0.00	31.96	28.76
12/07/2018	00523766814	THOMAS, JEFFREY	MFT	FIDELITY ADVISOR FUNDS	B	0.00	45.65	0.00	45.65	41.09
12/07/2018	00523766814	THOMAS, JEFFREY	MFT	FIDELITY ADVISOR FUNDS	B	0.00	113.77	0.00	113.77	102.39

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12/07/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	17.45	0.00	17.45		15.71
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.14	0.00	1.14		1.03
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.77	0.00	1.77		1.59
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.61	0.00	2.61		2.35
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.64	0.00	3.64		3.28
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	6.50	0.00	6.50		5.85
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.28	0.00	0.28		0.25
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.54	0.00	0.54		0.49
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.05	0.00	16.05		14.45
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	19.49	0.00	19.49		17.54
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	29.44	0.00	29.44		26.50
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	14.43	0.00	14.43		12.99
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.11	0.00	0.11		0.10
* MARK ARCHAMBO-D167 SUBTOTAL *				<u>\$1,548.00</u>	<u>\$741.09</u>	<u>\$0.00</u>	<u>\$741.09</u>		<u>\$667.05</u>
*** LINKED DIRECT TRANSACTIONS TOTAL ***				<u>\$1,548.00</u>	<u>\$741.09</u>	<u>\$0.00</u>	<u>\$741.09</u>		<u>\$667.05</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,360.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,360.51
Year-to-date Commissions	\$110,505.50