

COMMISSION STATEMENT

March 31, 2018

ALAN SMITH-D206

BROKER #: D206

BRANCH: DMR

, TX

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: STOCKS

03/02/2018	154117592	PERF ARTS CENTER	S	SPDR S&P 500 ETF TRUST	S	-570,409.69	8,737.44	15.00	8,722.44	80.0	6,977.95
* STOCKS SUBTOTAL *						\$-570,409.69	\$8,737.44	\$15.00	\$8,722.44		\$6,977.95

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/21/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	22.51	0.00	22.51	80.0	18.01
03/21/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	30.38	0.00	30.38	80.0	24.30
03/21/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	121.55	0.00	121.55	80.0	97.24
03/21/2018	mfc892289	SMITH ALAN 892289	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	16.98	0.00	16.98	80.0	13.58
03/21/2018	mfc892289	SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	20.76	0.00	20.76	80.0	16.61
03/21/2018	mfc892289	SMITH ALAN 892289	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	37.77	0.00	37.77	80.0	30.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$249.95	\$0.00	\$249.95		\$199.96
*** CLEARING TRANSACTIONS TOTAL ***					\$-570,409.69	\$8,987.39	\$15.00	\$8,972.39		\$7,177.91

ADJUSTMENTS

03/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00	-30.00
03/31/2018	:	BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00	-30.00
03/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00	-25.00
03/31/2018	:	E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00	-25.00
03/31/2018	:	E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00	-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00	\$-135.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,177.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$7,042.91
Year-to-date Commissions	\$26,133.08