

## COMMISSION STATEMENT

September 30, 2018

IRENE SULLIVAN  
303 BEVERLEY ROAD  
APT 9C  
BROOKLYN, NY 11218

BROKER #: IS1  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for IRENE SULLIVAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/12/2018	75500750	GANGE, RICHARD	VERIZON COMMUNICATIONS	S	38,647.00	897.00	25.00	872.00	80.0	697.60
09/12/2018	75500788	CAFIERO, RENEE	VERIZON COMMUNICATIONS	S	110,420.00	2,514.00	25.00	2,489.00	80.0	1,991.20
09/24/2018	75500788	CAFIERO, RENEE	LEGGETT & PLATT INC	B	44,780.00	1,033.00	25.00	1,008.00	80.0	806.40
09/25/2018	75500788	CAFIERO, RENEE	NEWELL BRANDS INC SHS	B	82,000.00	1,878.00	25.00	1,853.00	80.0	1,482.40
09/12/2018	75500847	YELLAND, MARGARET	VERIZON COMMUNICATIONS	S	60,786.00	1,394.00	25.00	1,369.00	80.0	1,095.20
09/24/2018	75500847	YELLAND, MARGARET	LEGGETT & PLATT INC	B	44,780.00	1,033.00	25.00	1,008.00	80.0	806.40
09/25/2018	75500847	YELLAND, MARGARET	NEWELL BRANDS INC SHS	B	41,000.00	954.00	25.00	929.00	80.0	743.20
09/12/2018	75501041	DUFFY, TRENT	VERIZON COMMUNICATIONS	S	44,304.00	1,023.00	25.00	998.00	80.0	798.40
09/25/2018	75501041	DUFFY, TRENT	NEWELL BRANDS INC SHS	B	41,000.00	954.00	25.00	929.00	80.0	743.20
09/18/2018	75501078	OETTEL, BRYAN	GENERAL MILLS INC	B	26,328.00	622.00	25.00	597.00	80.0	477.60
09/12/2018	75501100	KINNEY, SAMUEL	VERIZON COMMUNICATIONS	S	60,907.00	1,398.00	25.00	1,373.00	80.0	1,098.40
09/18/2018	75501100	KINNEY, SAMUEL	GENERAL MILLS INC	B	43,950.00	1,016.00	25.00	991.00	80.0	792.80
09/25/2018	75501100	KINNEY, SAMUEL	NEWELL BRANDS INC SHS	B	15,375.00	376.00	25.00	351.00	80.0	280.80
09/25/2018	75501102	KINNEY, SAMUEL	NEWELL BRANDS INC SHS	B	17,425.00	421.00	25.00	396.00	80.0	316.80
09/12/2018	75501130	PALMER, JEANNE	VERIZON COMMUNICATIONS	S	44,272.00	1,023.00	25.00	998.00	80.0	798.40
09/24/2018	75501130	PALMER, JEANNE	LEGGETT & PLATT INC	B	44,780.00	1,033.00	25.00	1,008.00	80.0	806.40
09/25/2018	75501130	PALMER, JEANNE	NEWELL BRANDS INC SHS	B	41,000.00	954.00	25.00	929.00	80.0	743.20
09/12/2018	75501131	CAMPOS, CHRISTOPHER	VERIZON COMMUNICATIONS	S	27,605.00	648.00	25.00	623.00	80.0	498.40
09/14/2018	75501131	CAMPOS, CHRISTOPHER	CONSOLIDATED EDISON INC	B	19,915.00	476.00	25.00	451.00	80.0	360.80
09/17/2018	75501131	CAMPOS, CHRISTOPHER	LEGGETT & PLATT INC	B	23,015.00	546.00	25.00	521.00	80.0	416.80
09/18/2018	75501131	CAMPOS, CHRISTOPHER	GENERAL MILLS INC	B	17,532.00	421.00	25.00	396.00	80.0	316.80
09/25/2018	75501131	CAMPOS, CHRISTOPHER	NEWELL BRANDS INC SHS	B	51,250.00	1,186.00	25.00	1,161.00	80.0	928.80
* STOCKS SUBTOTAL *					\$941,071.00	\$21,800.00	\$550.00	\$21,250.00		\$17,000.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/30/2018		FEE COUNT - 35			0.00	322.48	0.00	322.48	80.0	258.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$322.48	\$0.00	\$322.48		\$258.02
*** BROKER TRANSACTIONS TOTAL ***					\$941,071.00	\$22,122.48	\$550.00	\$21,572.48		\$17,258.02

COMMISSION STATEMENT for IRENE SULLIVAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/30/2018		OTHER: OTHER-OFFICE SUPPLIES		0.00	0.00	0.00	0.00		-40.00
09/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/30/2018		OTHER: OTHER-RBC		0.00	0.00	0.00	0.00		-95.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-163.00

BROKER COMMISSION TOTALS

Broker Transactions	\$17,258.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-163.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-27.65
SIPC Assessment	\$-55.31

Check Amount	\$17,012.06
Year-to-date Earnings	\$94,602.40