



# COMMISSION STATEMENT

## September 17, 2018

**RICHARD SOWERS**  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

**BROKER #: 71**  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/07/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 16 Units @6.4500						
09/14/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 4 Units @61.5200						
09/14/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @61.5200						
09/14/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @62.1600						
09/14/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @62.8100						
09/14/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @63.1400						
09/14/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @51.7800						
09/14/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @51.7800						
09/14/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @52.3200						
09/14/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @52.8700						
09/14/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @53.1500						
09/14/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	5.31	0.00	5.31	90.0	4.78
	ACCOUNT #: 00086578408	BUY 2 Units @53.1500						
09/14/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	553.25	20.75	0.00	20.75	90.0	18.68
	ACCOUNT #: 00085899638	BUY 8 Units @67.7200						
09/14/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 4 Units @57.4900						
09/14/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @57.4900						
09/14/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	167.67	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @58.0800						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/14/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @58.6900						
09/14/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @59.0000						
09/14/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	125.00	5.31	0.00	5.31	90.0	4.78
	ACCOUNT #: 00086578408	BUY 2 Units @59.0000						
09/14/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 7 Units @23.9700						
09/14/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 5 Units @24.2200						
09/14/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 2 Units @24.3500						
09/14/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @47.8900						
09/14/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	245.71	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @47.8900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,456.80</b>	<b>\$103.57</b>	<b>\$0.00</b>	<b>\$103.57</b>		<b>\$93.24</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
09/04/2018	***-**-255P - WILSON, HELEN	AMCAP FUND-A	0.00	2.59	0.00	2.59	90.0	2.33
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
09/04/2018	***-**-593I - SOMERS,	AMCAP FUND-A	0.00	5.60	0.00	5.60	90.0	5.04
	ACCOUNT #: 00084632422	BUY 0 Units @0.0000						
09/04/2018	***-**-87IN - HALL, J	AMCAP FUND-A	0.00	38.95	0.00	38.95	90.0	35.06
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
09/04/2018	***-**-36RI - TICHENOR, TYLER	AMCAP FUND-C	0.00	14.77	0.00	14.77	90.0	13.29
	ACCOUNT #: 00088002857	BUY 0 Units @0.0000						
09/04/2018	***-**-0658 - MCKILLOP, NORMA	AMCAP FUND-C	0.00	8.02	0.00	8.02	90.0	7.22
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
09/04/2018	***-**-5555 - FORTNER, DAVID	AMERICAN BALANCED FUND-A	0.00	16.26	0.00	16.26	90.0	14.63
	ACCOUNT #: 00074908206	BUY 0 Units @0.0000						
09/04/2018	***-**-005P - IAEL, PAULETTE	AMERICAN BALANCED FUND-A	0.00	3.30	0.00	3.30	90.0	2.97
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-593P - HUMISTON, PAMELA	AMERICAN FUNDS U.S. GOV MONEY MRKT	0.00	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: 00073779600	BUY 0 Units @0.0000						
09/04/2018	***-**-64IN - TURNER, VERA	CAPITAL INCOME BUILDER-A	0.00	5.75	0.00	5.75	90.0	5.18
	ACCOUNT #: 00067836179	BUY 0 Units @0.0000						
09/04/2018	***-**-005P - IAEL, PAULETTE	CAPITAL INCOME BUILDER-A	0.00	79.55	0.00	79.55	90.0	71.60
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
09/04/2018	***-**-426P - PHILBRICK,	CAPITAL INCOME BUILDER-A	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 00082084305	BUY 0 Units @0.0000						
09/04/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	0.00	24.41	0.00	24.41	90.0	21.97
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
09/04/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	0.00	6.45	0.00	6.45	90.0	5.81
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
09/04/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	0.00	7.56	0.00	7.56	90.0	6.80
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
09/04/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	0.00	3.68	0.00	3.68	90.0	3.31
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
09/04/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	0.00	17.63	0.00	17.63	90.0	15.87
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL INCOME BUILDER-A	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
09/04/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	28.46	0.00	28.46	90.0	25.61
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
09/04/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
09/04/2018	***-**-273I - JACKSON, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.70	0.00	3.70	90.0	3.33
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
09/04/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.78	0.00	8.78	90.0	7.90
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
09/04/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.31	0.00	4.31	90.0	3.88
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
09/04/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	20.55	0.00	20.55	90.0	18.50
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	36.16	0.00	36.16	90.0	32.54
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.49	0.00	1.49	90.0	1.34
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.96	0.00	2.96	90.0	2.66
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
09/04/2018	***-**-351C - SOWERS, CLIENTS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.53	0.00	8.53	90.0	7.68
	ACCOUNT #: 00087567436	BUY 0 Units @0.0000						
09/04/2018	***-**-48IN - BEST, JOHN &	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	23.00	0.00	23.00	90.0	20.70
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.42	0.00	1.42	90.0	1.28
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
09/17/2018	***-**-308I - KEENAN, PETER	COLUMBIA FUNDS MF TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 08696440853	BUY 0 Units @0.0000						
09/17/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA FUNDS MF TRAILS	0.00	11.66	0.00	11.66	90.0	10.49
	ACCOUNT #: 08696900918	BUY 0 Units @0.0000						
09/04/2018	***-**-273I - JACKSON, JOHN	EUROPACIFIC GROWTH FUND-A	0.00	3.21	0.00	3.21	90.0	2.89
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
09/04/2018	***-**-005P - IAEL, PAULETTE	FUNDAMENTAL INVESTORS-A	0.00	15.73	0.00	15.73	90.0	14.16
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
09/04/2018	***-**-525P - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	0.00	22.17	0.00	22.17	90.0	19.95
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
09/04/2018	***-**-255P - WILSON, HELEN	FUNDAMENTAL INVESTORS-A	0.00	5.80	0.00	5.80	90.0	5.22
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
09/04/2018	***-**-935I - LOWE, JAMES	FUNDAMENTAL INVESTORS-A	0.00	4.55	0.00	4.55	90.0	4.10
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
09/04/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	0.00	42.49	0.00	42.49	90.0	38.24
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
09/04/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	0.00	42.69	0.00	42.69	90.0	38.42
	ACCOUNT #: 00085899638	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	FUNDAMENTAL INVESTORS-A	0.00	2.14	0.00	2.14	90.0	1.93
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-0658 - MCKILLOP, NORMA	FUNDAMENTAL INVESTORS-C	0.00	7.73	0.00	7.73	90.0	6.96
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
09/04/2018	***-**-48IN - BEST, JOHN &	NEW PERSPECTIVE FUND-A	0.00	12.17	0.00	12.17	90.0	10.95
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
09/04/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 04000965165	BUY 0 Units @0.0000						
09/04/2018	***-**-273I - JACKSON, JOHN	NEW WORLD FUND-A	0.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
09/17/2018	***-**-93IN - WARD, WILLIAM	SAMMONS LIVEWELL MUTUAL FUND	0.00	22.62	0.00	22.62	90.0	20.36
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
09/17/2018	***-**-36IN - CLAWSON,	SAMMONS LIVEWELL MUTUAL FUND	0.00	6.08	0.00	6.08	90.0	5.47
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
09/17/2018	***-**-9117 - RAY, EILEEN	SAMMONS LIVEWELL MUTUAL FUND	0.00	5.70	0.00	5.70	90.0	5.13
	ACCOUNT #: 7200037826	BUY 0 Units @0.0000						
09/17/2018	***-**-3994 - RAY, CLYDE	SAMMONS LIVEWELL MUTUAL FUND	0.00	6.70	0.00	6.70	90.0	6.03
	ACCOUNT #: 7200037829	BUY 0 Units @0.0000						
09/17/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS MF TRAILS	0.00	62.73	0.00	62.73	90.0	56.46
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
09/17/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.66	0.00	7.66	90.0	6.89
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
09/17/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.75	0.00	7.75	90.0	6.98
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
09/17/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	19.06	0.00	19.06	90.0	17.15
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
09/04/2018	***-**-055P - HENRY, CELIA	THE GROWTH FUND OF AMERICA-A	0.00	9.54	0.00	9.54	90.0	8.59
	ACCOUNT #: 00064286092	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - CUSTY, JOSEPH	THE GROWTH FUND OF AMERICA-A	0.00	9.90	0.00	9.90	90.0	8.91
	ACCOUNT #: 00065159881	BUY 0 Units @0.0000						
09/04/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	0.00	3.71	0.00	3.71	90.0	3.34
	ACCOUNT #: 00075150658	BUY 0 Units @0.0000						
09/04/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	0.00	37.32	0.00	37.32	90.0	33.59
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	0.00	9.70	0.00	9.70	90.0	8.73
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
09/04/2018	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	8.29	0.00	8.29	90.0	7.46
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
09/04/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	0.00	11.32	0.00	11.32	90.0	10.19
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
09/04/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	0.00	5.59	0.00	5.59	90.0	5.03
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
09/04/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	25.94	0.00	25.94	90.0	23.35
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	2.11	0.00	2.11	90.0	1.90
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	4.38	0.00	4.38	90.0	3.94
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
09/04/2018	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	9.97	0.00	9.97	90.0	8.97
	ACCOUNT #: 00087567436	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	1.61	0.00	1.61	90.0	1.45
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
09/04/2018	***-**-0658 - MCKILLOP, NORMA	THE GROWTH FUND OF AMERICA-C	0.00	8.07	0.00	8.07	90.0	7.26
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
09/04/2018	***-**-005P - IAEL, PAULETTE	THE INCOME FUND OF AMERICA-A	0.00	55.36	0.00	55.36	90.0	49.82
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
09/04/2018	***-**-426P - PHILBRICK,	THE INCOME FUND OF AMERICA-A	0.00	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: 00082084305	BUY 0 Units @0.0000						
09/04/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	0.00	8.36	0.00	8.36	90.0	7.52
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
09/04/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	0.00	4.11	0.00	4.11	90.0	3.70
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
09/04/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	19.30	0.00	19.30	90.0	17.37
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
09/04/2018	***-**-215P - WILLIAMS, DOYLE	THE INVESTMENT COMPANY OF AMERICA-5	0.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: 00088493817	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## September 17, 2018

**RICHARD SOWERS**  
 2451 McMullen Booth Road  
 Suite 263  
 Clearwater, FL 33759

**BROKER #: 71**  
 BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-585P - CELIN, MARTHA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: 00063955620	BUY 0 Units @0.0000						
09/04/2018	***-**-254I - PEKAREK, KARL	THE INVESTMENT COMPANY OF AMERICA-A	0.00	12.31	0.00	12.31	90.0	11.08
	ACCOUNT #: 00067426527	BUY 0 Units @0.0000						
09/04/2018	***-**-48IN - BEST, JOHN &	THE INVESTMENT COMPANY OF AMERICA-A	0.00	123.65	0.00	123.65	90.0	111.29
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.36	0.00	1.36	90.0	1.22
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
09/04/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 04000965165	BUY 0 Units @0.0000						
09/04/2018	***-**-525P - WILSON, FRANCIS	THE NEW ECONOMY FUND-A	0.00	9.43	0.00	9.43	90.0	8.49
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
09/04/2018	***-**-935I - LOWE, JAMES	THE NEW ECONOMY FUND-A	0.00	6.82	0.00	6.82	90.0	6.14
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	THE NEW ECONOMY FUND-A	0.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
09/04/2018	***-**-36RI - TICHENOR, TYLER	THE NEW ECONOMY FUND-C	0.00	15.23	0.00	15.23	90.0	13.71
	ACCOUNT #: 00088002857	BUY 0 Units @0.0000						
09/04/2018	***-**-005P - IAEL, PAULETTE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	72.70	0.00	72.70	90.0	65.43
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
09/04/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	33.17	0.00	33.17	90.0	29.85
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
09/04/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	8.63	0.00	8.63	90.0	7.77
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
09/04/2018	***-**-273I - JACKSON, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.36	0.00	4.36	90.0	3.92
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
09/04/2018	***-**-935I - LOWE, JAMES	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	5.25	0.00	5.25	90.0	4.73
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
09/04/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	43.23	0.00	43.23	90.0	38.91
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
09/04/2018	***-**-46IN - MILLS, CATHERINE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.43	0.00	1.43	90.0	1.29
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

September 17, 2018

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BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-46IN - MILLS, CATHERINE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.43	0.00	1.43	90.0	1.29
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
09/06/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	10.62	0.00	10.62	90.0	9.56
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,287.27	\$0.00	\$1,287.27		\$1,158.58
*** BROKER TRANSACTIONS TOTAL ***			\$3,456.80	\$1,390.84	\$0.00	\$1,390.84		\$1,251.82

## BROKER COMMISSION TOTALS

Broker Transactions	\$1,251.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,251.82
Year-to-date Earnings	\$38,059.79