

**COMMISSION STATEMENT**  
**October 15, 2018**

ALAN SMITH-D206  
1900 NW Loop 410

BROKER #: D206  
BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/20/2018 (None)	GLENNROBES	MF BLACKROCK	B	100.00	1.00	0.00	1.00	80.0	0.80
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$1.00	\$0.00	\$1.00		\$0.80
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.68	0.00	5.68	80.0	4.54
08/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	4.59	0.00	4.59	80.0	3.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10.27	\$0.00	\$10.27		\$8.21
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$11.27	\$0.00	\$11.27		\$9.01

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9.01
Year-to-date Commissions	\$40,216.18