

COMMISSION STATEMENT

December 17, 2018

STEPHEN POLIZZI
1320 Buffalo Road
Suite 211

Rochester, NY 14624

BROKER #: 684 Terminated 12/31/2009

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISS | | RATE | BROKER COMMISSION |
|------|--------|---|----------------------|---------------------|--|----------------|--------|--------|----------------------|
| | | <u>ADJUS</u> | TMENTS | | | | | | |
| / / | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | | 0.00 | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | | \$0.00 | - | \$0.00 |
| | | | | | <u>B</u> | BROKER COMMISS | | | TOTALS |
| | | | | | Broker Transactions Split Transactions Override Transactions Adjustments | | | | \$0.00 |
| | | | | | | | | | \$0.00 |
| | | | | | | | | | \$0.00 |
| | | | | | | | | | \$0.00 |
| | | | | | Payroll Draw | | | | \$0.00 |
| | | Base Salary | | | | | | \$0.00 | |
| | | Prior Period Balance | | | | | | | \$0.00 |
| | | | | | FIN | IRA Asse | ssment | | \$0.00 |
| | | | | | SI | PC Asse | ssment | | \$0.00 |
| | | Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Balance Forwar | | | | | | | \$0.00 |
| | | | | | Year-to- | date Ea | rnings | | \$0.00 |