



COMMISSION STATEMENT

October 01, 2018

STEPHEN CARVER

1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
09/24/2018	***-**-2660 - COILE, TIM	ARCBEST CORP COM USD0.01	4,650.00	100.00	17.00	83.00	85.0	70.55
	ACCOUNT #: LSR012461	BUY 100 Units @46.5000						
09/24/2018	***-**-2660 - COILE, TIM	ARCBEST CORP COM USD0.01	4,650.00	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012461	BUY 100 Units @46.5000						
09/24/2018	***-**-496I - MCCOY, SHERIANNE	ARCBEST CORP COM USD0.01	9,290.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012469	BUY 200 Units @46.4500						
09/24/2018	***-**-03TR - NEAL TRUST,	ARCBEST CORP COM USD0.01	9,308.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012505	BUY 200 Units @46.5400						
09/24/2018	***-**-691I - HEINZ, MARK	ARCBEST CORP COM USD0.01	4,650.00	100.00	17.00	83.00	85.0	70.55
	ACCOUNT #: LSR012513	BUY 100 Units @46.5000						
09/24/2018	***-**-691I - HEINZ, MARK	ARCBEST CORP COM USD0.01	4,650.00	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012513	BUY 100 Units @46.5000						
09/24/2018	***-**-141I - ROHMAN, ARTHUR	ARCBEST CORP COM USD0.01	4,650.00	100.00	17.00	83.00	85.0	70.55
	ACCOUNT #: LSR012574	BUY 100 Units @46.5000						
09/24/2018	***-**-141I - ROHMAN, ARTHUR	ARCBEST CORP COM USD0.01	4,650.00	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012574	BUY 100 Units @46.5000						
09/24/2018	***-**-41IR - ROHMAN, ARTHUR	ARCBEST CORP COM USD0.01	4,650.00	100.00	17.00	83.00	85.0	70.55
	ACCOUNT #: LSR012575	BUY 100 Units @46.5000						
09/24/2018	***-**-41IR - ROHMAN, ARTHUR	ARCBEST CORP COM USD0.01	4,654.50	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012575	BUY 100 Units @46.5450						
09/24/2018	***-**-324I - MCGHEE, TERRY	ARCBEST CORP COM USD0.01	9,320.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012649	BUY 200 Units @46.6000						
09/24/2018	***-**-85IR - LINCOLN, LEROY	ARCBEST CORP COM USD0.01	1,444.60	31.00	15.62	15.38	85.0	13.07
	ACCOUNT #: LSR012773	BUY 31 Units @46.6000						
09/24/2018	***-**-85IR - LINCOLN, LEROY	ARCBEST CORP COM USD0.01	4,660.00	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012773	BUY 100 Units @46.6000						
09/24/2018	***-**-85IR - LINCOLN, LEROY	ARCBEST CORP COM USD0.01	3,218.85	69.00	1.38	67.62	85.0	57.48
	ACCOUNT #: LSR012773	BUY 69 Units @46.6500						
09/25/2018	***-**-03TR - NEAL TRUST,	AT&T INC COM	10,200.00	200.00	21.00	179.00	85.0	152.15
	ACCOUNT #: LSR012505	SELL 300 Units @34.0000						
09/27/2018	***-**-2660 - COILE, TIM	FIRST MID ILLINOIS BANCSHARES INC C	8,099.60	150.00	19.00	131.00	85.0	111.35
	ACCOUNT #: LSR012461	BUY 200 Units @40.4980						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
09/27/2018	***-**-496I - MCCOY, SHERIANNE	FIRST MID ILLINOIS BANCSHARES INC C	12,150.90	250.00	21.00	229.00	85.0	194.65
	ACCOUNT #: LSR012469	BUY 300 Units @40.5030						
09/27/2018	***-**-03TR - NEAL TRUST,	FIRST MID ILLINOIS BANCSHARES INC C	16,200.00	350.00	23.00	327.00	85.0	277.95
	ACCOUNT #: LSR012505	BUY 400 Units @40.5000						
09/27/2018	***-**-82IN - WINTERS, KEVIN	NORBORD INC COM NEW ISIN #CA65548P4	3,441.00	70.00	17.00	53.00	85.0	45.05
	ACCOUNT #: LSR012586	BUY 100 Units @34.4100						
09/27/2018	***-**-82IN - WINTERS, KEVIN	NORBORD INC COM NEW ISIN #CA65548P4	3,444.90	70.00	2.00	68.00	85.0	57.80
	ACCOUNT #: LSR012586	BUY 100 Units @34.4490						
09/27/2018	***-**-82IN - WINTERS, KEVIN	NORBORD INC COM NEW ISIN #CA65548P4	10,334.70	210.00	6.00	204.00	85.0	173.40
	ACCOUNT #: LSR012586	BUY 300 Units @34.4490						
09/27/2018	***-**-649I - BEYER, ROBERT	NUVEEN PFD & INCM OPPORTNYS FD COM	16,436.11	175.00	50.48	124.52	85.0	105.84
	ACCOUNT #: LSR012542	SELL 1774 Units @9.2650						
09/25/2018	***-**-2660 - COILE, TIM	SONY CORP ADR-EACH CNV INTO 1 ORD N	11,719.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012461	BUY 200 Units @58.5950						
09/25/2018	***-**-496I - MCCOY, SHERIANNE	SONY CORP ADR-EACH CNV INTO 1 ORD N	11,716.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012469	BUY 200 Units @58.5800						
09/25/2018	***-**-03TR - NEAL TRUST,	SONY CORP ADR-EACH CNV INTO 1 ORD N	5,858.00	100.00	17.00	83.00	85.0	70.55
	ACCOUNT #: LSR012505	BUY 100 Units @58.5800						
09/25/2018	***-**-03TR - NEAL TRUST,	SONY CORP ADR-EACH CNV INTO 1 ORD N	5,858.00	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012505	BUY 100 Units @58.5800						
09/25/2018	***-**-042I - HEINZ, CHERI	SONY CORP ADR-EACH CNV INTO 1 ORD N	11,713.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012514	BUY 200 Units @58.5650						
09/25/2018	***-**-141I - ROHMAN, ARTHUR	SONY CORP ADR-EACH CNV INTO 1 ORD N	11,712.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012574	BUY 200 Units @58.5600						
09/25/2018	***-**-2267 - WIEBLER, STEVE	SONY CORP ADR-EACH CNV INTO 1 ORD N	11,713.00	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012606	BUY 200 Units @58.5650						
09/26/2018	***-**-2660 - COILE, TIM	VERASTEM INC COM	2,202.30	45.00	21.00	24.00	85.0	20.40
	ACCOUNT #: LSR012461	BUY 300 Units @7.3410						
09/26/2018	***-**-2660 - COILE, TIM	VERASTEM INC COM	5,142.20	105.00	14.00	91.00	85.0	77.35
	ACCOUNT #: LSR012461	BUY 700 Units @7.3460						
09/26/2018	***-**-496I - MCCOY, SHERIANNE	VERASTEM INC COM	7,342.00	150.00	35.00	115.00	85.0	97.75
	ACCOUNT #: LSR012469	BUY 1000 Units @7.3420						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	17.00	-2.00	100.0	-2.00
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VERASTEM INC COM BUY 100 Units @7.3450	734.50	15.00	2.00	13.00	85.0	11.05
09/26/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	VERASTEM INC COM BUY 1000 Units @7.3430	7,343.00	150.00	35.00	115.00	85.0	97.75
09/26/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	VERASTEM INC COM BUY 800 Units @7.3420	5,873.60	125.00	31.00	94.00	85.0	79.90
09/26/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	VERASTEM INC COM BUY 1000 Units @7.3450	7,345.00	150.00	35.00	115.00	85.0	97.75
09/26/2018	***-**-411R - ROHMAN, ARTHUR ACCOUNT #: LSR012575	VERASTEM INC COM BUY 1000 Units @7.3440	7,344.00	150.00	35.00	115.00	85.0	97.75
09/26/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	VERASTEM INC COM BUY 1000 Units @7.3450	7,345.00	150.00	35.00	115.00	85.0	97.75
09/26/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	VERASTEM INC COM BUY 800 Units @7.3400	5,872.00	125.00	31.00	94.00	85.0	79.90



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
09/26/2018	***-**-879X - WELCH, KELLY	VERASTEM INC COM	7,344.00	150.00	35.00	115.00	85.0	97.75
	ACCOUNT #: LSR012710	BUY 1000 Units @7.3440						
		* STOCKS SUBTOTAL *	<u>\$295,540.26</u>	<u>\$5,725.00</u>	<u>\$767.48</u>	<u>\$4,957.52</u>		<u>\$4,213.59</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$295,540.26</u>	<u>\$5,725.00</u>	<u>\$767.48</u>	<u>\$4,957.52</u>		<u>\$4,213.59</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,213.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$4,213.59
Year-to-date Earnings	\$148,292.83