

COMMISSION STATEMENT

September 15, 2018

 DREW NIXON-D350
 1509 West Panola

 BROKER #: D350
 BRANCH: DMV

Carthage, TX 75633

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: VARIABLE ANNUITIES											
08/31/2018	1008334745	WEDDEL, LOIS	VA	PERSPECTIVE L	B	81,235.14	94.77	0.00	94.77	90.0	85.29
* VARIABLE ANNUITIES SUBTOTAL *						\$81,235.14	\$94.77	\$0.00	\$94.77		\$85.29
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/04/2018	00059877466	WEDGEWORTH, DORIS	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	5.79	0.00	5.79	90.0	5.21
09/04/2018	00062135600	BAGLEY, HIRAM	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	6.12	0.00	6.12	90.0	5.51
09/04/2018	00063249990	CARTER, PAULA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	45.38	0.00	45.38	90.0	40.84
09/04/2018	00063249990	CARTER, PAULA	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	50.63	0.00	50.63	90.0	45.57
09/04/2018	00063249990	CARTER, PAULA	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	2.97	0.00	2.97	90.0	2.67
09/04/2018	00063249990	CARTER, PAULA	MFT	NEW PERSPECTIVE FUND-A	B	0.00	14.30	0.00	14.30	90.0	12.87
09/04/2018	00063249990	CARTER, PAULA	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	52.00	0.00	52.00	90.0	46.80
09/04/2018	00063249990	CARTER, PAULA	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	2.88	0.00	2.88	90.0	2.59
09/04/2018	00063509725	JOHNSON, MARKEAL	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	27.45	0.00	27.45	90.0	24.71
09/04/2018	00063534299	RAY, BRANDICE	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	5.12	0.00	5.12	90.0	4.61
09/04/2018	00063817540	LEWIS, CHARLES	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.42	0.00	3.42	90.0	3.08
09/04/2018	00063865504	WOODFIN, JASON	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	0.19	0.00	0.19	90.0	0.17
09/04/2018	00064060924	MABERRY, CHARLENE	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	2.88	0.00	2.88	90.0	2.59
09/04/2018	00064461334	BLAIR, REBEKAH	MFT	THE INVESTMENT COMPANY OF	B	0.00	3.67	0.00	3.67	90.0	3.30
09/04/2018	00068124392	DOSSABHOY, BEHRAM	MFT	AMERICAN MUTUAL FUND-529A	B	0.00	51.60	0.00	51.60	90.0	46.44
09/04/2018	00068124392	DOSSABHOY, BEHRAM	MFT	THE GROWTH FUND OF AMERICA-529A	B	0.00	97.02	0.00	97.02	90.0	87.32
09/04/2018	00068124392	DOSSABHOY, BEHRAM	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	41.24	0.00	41.24	90.0	37.12
09/04/2018	00081262034	DUBOSE, SAMANTHA	MFT	SHORT-TERM BOND FUND OF	B	0.00	0.35	0.00	0.35	90.0	0.32
09/04/2018	00081262120	DUBOSE, SAMANTHA	MFT	SHORT-TERM BOND FUND OF	B	0.00	0.35	0.00	0.35	90.0	0.32
09/04/2018	00082539213	HOWARD, LINDSAY	MFT	CAPITAL INCOME BUILDER-529A	B	0.00	4.83	0.00	4.83	90.0	4.35
09/04/2018	00082539213	HOWARD, LINDSAY	MFT	CAPITAL INCOME BUILDER-529C	B	0.00	17.16	0.00	17.16	90.0	15.44
09/04/2018	00085423196	HARVEY, PAUL	MFT	THE BOND FUND OF AMERICA-A	B	0.00	6.00	0.00	6.00	90.0	5.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$441.35	\$0.00	\$441.35		\$397.23
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
08/29/2018	(None)	NORRIS, LAVEDA	VAT	AMERICAN GENERAL	B	0.00	45.98	0.00	45.98	90.0	41.38
08/29/2018	(None)	ALLEMAN, MARTIN	VAT	AMERICAN GENERAL	B	0.00	1.43	0.00	1.43	90.0	1.29
09/01/2018	(None)	LOVIL, GEORGE	VAT	AMERICAN GENERAL	B	0.00	34.94	0.00	34.94	90.0	31.45

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/03/2018 (None)	AZBELL, WANDA	VAT AMERICAN GENERAL	B	0.00	41.82	0.00	41.82	90.0	37.64
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$124.17	\$0.00	\$124.17		\$111.76
*** DIRECT TRANSACTIONS TOTAL ***				\$81,235.14	\$660.29	\$0.00	\$660.29		\$594.28

VERRIDE TRANSACTIONSBROKER: JIM HUGHES-D349 #138

08/27/2018 000000000055083	MEDLEY, DONNA	MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	0.25
09/04/2018 0000000001642697	RODGEKATH	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	0.10
08/27/2018 0000000003912312	ADAMS, MICH	MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	0.30
08/27/2018 0000000004070696	MEDLEY, DONNA	MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	0.25
09/04/2018 0000000007053662	DUFREMAXA	MF	CUSIP 645769696	B	100.00	5.00	0.00	5.00	0.50
09/04/2018 0000000012760498	POLLOSTEP	MF	FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	0.46
09/05/2018 0000000012879742	HUFFMMICHR	MF	FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	0.20
08/27/2018 000090237684460	ADAMSJOSCN	MF	FRANKLIN INCOME FUND CLASS A	B	300.00	12.00	0.00	12.00	1.20
09/05/2018 (None)	ELWELL, JOSEPH	VA	SECURITY BENEFIT LIFE	B	0.00	28.67	0.00	28.67	2.87
09/04/2018 01076259519	MARY E GILLIS	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.92	0.00	1.92	0.19
08/30/2018 (None)	POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	55.51	0.00	55.51	5.55
08/30/2018 (None)	BALDWIN, PATRICIA	VAT	SECURITY BENEFIT LIFE	B	0.00	0.57	0.00	0.57	0.06
08/30/2018 (None)	ELWELL, JOSEPH	VAT	SECURITY BENEFIT LIFE	B	0.00	31.54	0.00	31.54	3.15
08/30/2018 (None)	FISHBACK, CECILE	VAT	SECURITY BENEFIT LIFE	B	0.00	0.75	0.00	0.75	0.08
08/30/2018 (None)	POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	80.67	0.00	80.67	8.07
08/30/2018 (None)	TAYLOR, JEWELL	VAT	SECURITY BENEFIT LIFE	B	0.00	1.14	0.00	1.14	0.11
09/07/2018 (None)	ADVANTAGE	VAT	MASS MUTUAL	B	0.00	33.61	0.00	33.61	3.36
* JIM HUGHES-D349 SUBTOTAL *					\$1,958.33	\$266.96	\$0.00	\$266.96	\$26.70
*** OVERRIDE TRANSACTIONS TOTAL ***					\$1,958.33	\$266.96	\$0.00	\$266.96	\$26.70

BROKER COMMISSION TOTALS

Broker Transactions	\$594.28
Split Transactions	\$0.00
Override Transactions	\$26.70
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$620.98
Year-to-date Commissions	\$72,309.21