



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

JOHN J. O'REILLY
25042 SUMMIT CREEK

BROKER #: 9585
BRANCH: HOME OFFICE

San Antonio, TX 78258-

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/19/2018	TRAILS	-TEXAS 529 PLAN	B	2.74	2.74	0.00	2.74	70.0	1.92
12/27/2018	TRAILS	BLACK ROCK	B	0.53	0.53	0.00	0.53	70.0	0.37
12/27/2018	TRAILS	BLACK ROCK	B	0.53	0.53	0.00	0.53	70.0	0.37
12/11/2018	000000000092231	TARPINIAN, FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
	JOSEPH								
12/11/2018	000000000092243	JONES, KAREN FRK INTL SMALL CAP GROWTH FUND - CL B	B	80.00	2.40	0.00	2.40	70.0	1.68
12/11/2018	0000000001177637	JONES, KAREN FRK AGGRESSIVE GRWTH - CL A	B	140.00	4.20	0.00	4.20	70.0	2.94
	M.								
12/17/2018	0000000001293253	VOHRA, RUKSANA FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
12/11/2018	000006982462769	JONES, KAREN TEMPLETON GLOBAL BD FD CL I	B	40.00	1.20	0.00	1.20	70.0	0.84
12/11/2018	000015813931074	TARPINIAN, FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
	JOSEPH								
12/11/2018	000015813931100	JONES, KAREN FRANKLIN RISING DIVIDEND FD CL I	B	140.00	4.20	0.00	4.20	70.0	2.94
12/18/2018	00084387418	LERMA, ALEJANDRO AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	70.0	1.75
12/17/2018	00084586485	GUAJARDO, JORGE THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
12/17/2018	00084753253	ARTALL, DEAN/MILES THE GROWTH FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	70.0	0.70
12/13/2018	01001201559	PLAN - 211696, PS INVESCO MID CAP CORE EQUITY FUND-CL B	B	5.54	0.04	0.00	0.04	70.0	0.03
12/19/2018	01001201559	PLAN - 211696, PS INVESCO MID CAP CORE EQUITY FUND-CL B	B	9.70	0.07	0.00	0.07	70.0	0.05
12/06/2018	02000035041	GONZALEZ, ROBERT AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
12/06/2018	02000035042	GONZALEZ, ROBERT AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
12/06/2018	02000094560	MCCANN/WILEY, AGE BASED PORTFOLIO 30 A	B	25.00	0.75	0.00	0.75	70.0	0.53
	JANIE/KERBI								

* MUTUAL FUNDS SUBTOTAL *	\$954.04	\$28.96	\$0.00	\$28.96	\$20.28
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PRODUCT CATEGORY: RIA

10/11/2018	12220999	SMITH, BERNARD C. III SIGNAL SECURITIES RIA	B	0.00	109.34	0.00	109.34	70.0	76.54
10/11/2018	13238509	SINCLAIR, KATHERINE SIGNAL SECURITIES RIA	B	0.00	104.00	0.00	104.00	70.0	72.80
	M.								
10/11/2018	13983746	ENGELHORN, ANN SIGNAL SECURITIES RIA	B	0.00	83.34	0.00	83.34	70.0	58.34
10/11/2018	14035391	PETRUCCHI, MICHELE L SIGNAL SECURITIES RIA	B	0.00	234.34	0.00	234.34	70.0	164.04
10/11/2018	15170253	ALMONTE, JANET SIGNAL SECURITIES RIA	B	0.00	25.66	0.00	25.66	70.0	17.96

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	16851102 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	70.0	5.60
10/11/2018	26132361 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	70.0	6.06
10/11/2018	27920326 KIRCHOFER/TRUSTY, DAWN & BETTY	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	70.0	31.50
10/11/2018	29926270 KIRKMAN, KENT S	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	70.0	31.50
10/11/2018	31239223 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	70.0	34.30
10/11/2018	35855095 ALMONTE, ROBERT	SIGNAL SECURITIES RIA	B	0.00	257.00	0.00	257.00	70.0	179.90
10/11/2018	39026321 SMITH, KATHY J	SIGNAL SECURITIES RIA	B	0.00	146.00	0.00	146.00	70.0	102.20
10/11/2018	44751241 WILLSON, BRENDA EVANS	SIGNAL SECURITIES RIA	B	0.00	199.34	0.00	199.34	70.0	139.54
10/11/2018	45156560 WALKER, KATHLEEN DIANE	SIGNAL SECURITIES RIA	B	0.00	359.00	0.00	359.00	70.0	251.30
10/11/2018	48792670 TRAN, JOHN HUAN	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	70.0	95.20
10/11/2018	49221103 PREUSS, CHARLES/JEANETT	SIGNAL SECURITIES RIA	B	0.00	415.00	0.00	415.00	70.0	290.50
10/11/2018	51882908 SULLIVAN, DONNA B.	SIGNAL SECURITIES RIA	B	0.00	133.34	0.00	133.34	70.0	93.34
10/11/2018	52865620 JAHNS, BARBARA J.	SIGNAL SECURITIES RIA	B	0.00	236.66	0.00	236.66	70.0	165.66
10/11/2018	53855208 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
10/11/2018	55187004 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	379.66	0.00	379.66	70.0	265.76
10/11/2018	56791320 TRUSTY, BETTY	SIGNAL SECURITIES RIA	B	0.00	53.34	0.00	53.34	70.0	37.34
10/11/2018	58311572 MORIARTY, MERRY/DANIEL	SIGNAL SECURITIES RIA	B	0.00	142.34	0.00	142.34	70.0	99.64
10/11/2018	60808442 HEINTZE, ANDREW B	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	70.0	6.06
10/11/2018	60833365 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	70.0	2.34
10/11/2018	62758634 KARL, LAURA/ FERNANDO	SIGNAL SECURITIES RIA	B	0.00	729.34	0.00	729.34	70.0	510.54
10/11/2018	63242808 ROSS, ERIS R	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	70.0	39.90
10/11/2018	68055487 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	32.66	0.00	32.66	70.0	22.86
10/11/2018	68991609 HUSTON, STEVE C	SIGNAL SECURITIES RIA	B	0.00	226.66	0.00	226.66	70.0	158.66
10/11/2018	72527951 ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	156.00	0.00	156.00	70.0	109.20
10/11/2018	76952379 KIRKMAN, ELLEN F	SIGNAL SECURITIES RIA	B	0.00	33.34	0.00	33.34	70.0	23.34
10/11/2018	77154313 HILLS, BERNARD& ANTOIN	SIGNAL SECURITIES RIA	B	0.00	262.34	0.00	262.34	70.0	183.64
10/11/2018	81586140 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	70.0	95.20
10/11/2018	82278696 GARRISON, PATRICIA A	SIGNAL SECURITIES RIA	B	0.00	43.34	0.00	43.34	70.0	30.34
10/11/2018	84235121 JONES, MATTHEW W	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	70.0	7.70
10/11/2018	85795100 BRUCE, BRENDA	SIGNAL SECURITIES RIA	B	0.00	93.34	0.00	93.34	70.0	65.34
10/11/2018	86268239 BOURGEOIS, FAY L.	SIGNAL SECURITIES RIA	B	0.00	62.34	0.00	62.34	70.0	43.64
10/11/2018	90026714 O'CONNOR, CHRISTINE A	SIGNAL SECURITIES RIA	B	0.00	190.66	0.00	190.66	70.0	133.46
10/11/2018	92572691 HERRING, GAYLE HALL	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	70.0	11.44

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

10/11/2018 94367363 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	70.0	4.66
10/11/2018 98606484 WILLSON II, DERRELL C	SIGNAL SECURITIES RIA	B	0.00	315.34	0.00	315.34	70.0	220.74
10/11/2018 99342265 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	70.0	20.30
* RIA SUBTOTAL *			\$0.00	\$5,595.38	\$0.00	\$5,595.38		\$3,916.78
*** BROKER TRANSACTIONS TOTAL ***			\$954.04	\$5,624.34	\$0.00	\$5,624.34		\$3,937.06

ADJUSTMENTS

01/02/2019	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,937.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,909.56
Year-to-date Earnings	\$3,909.56