



COMMISSION STATEMENT

November 26, 2018

RICHARD BIRNS
6800 Jericho Turnpike
Suite # 200AW
Syosset, NY 11791

BROKER #: 31
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/26/2018	***-**-192I - BIRNS, DAVID	HARTFORD ADDITIONAL DEPOSIT BUY 0 Units @0.0000	108.00	2.15	0.00	2.15	75.0	1.61
* MUTUAL FUNDS SUBTOTAL *			<u>\$108.00</u>	<u>\$2.15</u>	<u>\$0.00</u>	<u>\$2.15</u>		<u>\$1.61</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$108.00</u>	<u>\$2.15</u>	<u>\$0.00</u>	<u>\$2.15</u>		<u>\$1.61</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$73.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$74.97
Year-to-date Earnings	\$37,322.24