



# COMMISSION STATEMENT

## October 15, 2018

**BARRY BRESIN**11 Walnut Hill Drive  
Penfield, NY 14526**BROKER #: 9**

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/08/2018	***-**-716J - STERN, ROBERT	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	10.20	0.00	10.20	80.0	8.16
	ACCOUNT #: 04892903435	BUY 0 Units @0.0000						
10/15/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS	0.00	19.82	0.00	19.82	80.0	15.86
		BUY 0 Units @0.0000						
10/15/2018	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS	0.00	10.98	0.00	10.98	80.0	8.78
		BUY 0 Units @0.0000						
10/01/2018	***-**-13IN - CLEMENT, SANDRA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	16.41	0.00	16.41	80.0	13.13
	ACCOUNT #: 00510129039	BUY 0 Units @0.0000						
10/15/2018	***-**-892K - STERN MD PC	QUALIFIED PLAN SUMMARY	0.00	800.82	0.00	800.82	80.0	640.66
	ACCOUNT #: BRK115201	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$858.23	\$0.00	\$858.23		\$686.59
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/29/2018	***-**-716I - STERN, PATRICIA	NATIONWIDE CUSIP 638661330-NSCC	0.00	144.20	0.00	144.20	80.0	115.36
	ACCOUNT #: 015431641	BUY 0 Units @0.0000						
09/29/2018	***-**-010I - LOMBARD, LAURIE	NATIONWIDE CUSIP 638661330-NSCC	0.00	104.75	0.00	104.75	80.0	83.80
	ACCOUNT #: 015463968	BUY 0 Units @0.0000						
09/29/2018	***-**-470I - MELLINA, EDWARD	NATIONWIDE CUSIP 638661330-NSCC	0.00	89.15	0.00	89.15	80.0	71.32
	ACCOUNT #: 015465764	BUY 0 Units @0.0000						
09/29/2018	***-**-091I - BECKER, HILDA	NATIONWIDE CUSIP 638661330-NSCC	0.00	372.00	0.00	372.00	80.0	297.60
	ACCOUNT #: 015472817	BUY 0 Units @0.0000						
09/29/2018	***-**-084I - BECKER, SHERMAN	NATIONWIDE CUSIP 638661330-NSCC	0.00	116.41	0.00	116.41	80.0	93.13
	ACCOUNT #: 015473385	BUY 0 Units @0.0000						
09/29/2018	***-**-1223 - MELLINA, ALBERTA	NATIONWIDE CUSIP 638661330-NSCC	0.00	41.76	0.00	41.76	80.0	33.41
	ACCOUNT #: 015476838	BUY 0 Units @0.0000						
09/29/2018	***-**-60IN - BRESIN, FLORENCE	NATIONWIDE CUSIP 638661330-NSCC	0.00	402.84	0.00	402.84	80.0	322.27
	ACCOUNT #: 015631725	BUY 0 Units @0.0000						
09/29/2018	***-**-010I - LOMBARD, LAURIE	NATIONWIDE CUSIP 638661330-NSCC	0.00	113.72	0.00	113.72	80.0	90.98
	ACCOUNT #: 015730387	BUY 0 Units @0.0000						
09/29/2018	***-**-27IN - COOK, DOROTHY	NATIONWIDE CUSIP 638661397-NSCC	0.00	27.24	0.00	27.24	80.0	21.79
	ACCOUNT #: 016157940	BUY 0 Units @0.0000						
09/29/2018	***-**-27IN - COOK, DOROTHY	NATIONWIDE CUSIP 638661397-NSCC	0.00	17.84	0.00	17.84	80.0	14.27
	ACCOUNT #: 016157941	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
09/29/2018	***-**-091I - BECKER, HILDA	NATIONWIDE CUSIP 638942714-NSCC	0.00	309.30	0.00	309.30	80.0	247.44
	ACCOUNT #: 015923184	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1,739.21</b>	<b>\$0.00</b>	<b>\$1,739.21</b>		<b>\$1,391.37</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$2,597.44</b>	<b>\$0.00</b>	<b>\$2,597.44</b>		<b>\$2,077.96</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$2,077.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$2,077.96</b>
<b>Year-to-date Earnings</b>	<b>\$45,524.96</b>