

COMMISSION STATEMENT

August 31, 2018

 CYNTHIA MICHAEL-D181
 1900 NW Loop 410

 BROKER #: D181
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

08/15/2018 368440829	CRIST MARITAL	S	ALTRIA GROUP INCORPORATED	B	12,109.33	115.00	15.00	100.00	80.0	80.00
08/15/2018 368440829	CRIST MARITAL	S	STARBUCKS CORP	B	10,629.45	100.00	15.00	85.00	80.0	68.00
08/17/2018 492312274	MEDINA, ALEJANDRO	S	NEWELL BRANDS, INC FORMERLY	B	160,333.81	500.00	15.00	485.00	80.0	388.00
08/17/2018 492312274	MEDINA, ALEJANDRO	S	NOBLE CORP PLC SHS USD ISIN	S	-159,634.04	500.00	15.00	485.00	80.0	388.00
				* STOCKS SUBTOTAL *	\$23,438.55	\$1,215.00	\$60.00	\$1,155.00		\$924.00

PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

08/21/2018 400397408	JACKSON, VIRGINIA	CD	FIRST DAKOTA NATL BK YANKTON S	S	-9,904.45	0.00	20.00	-20.00	80.0	-16.00
08/13/2018 799164709	WEYRICK, FRANCES	CD	IBERIABANK LAFAYETTE LA	B	29,002.45	12.35	20.00	-7.65	80.0	-6.12
08/13/2018 799164709	WEYRICK, FRANCES	CD	PACIFIC WESTN BK BEVERLY HILLS	B	64,002.45	27.20	20.00	7.20	80.0	5.76
				* CERTIFICATES OF DEPOSIT SUBTOTAL *	\$83,100.45	\$39.55	\$60.00	\$-20.45		\$-16.36

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMCAP FUND-A	B	0.00	4.09	0.00	4.09	80.0	3.27
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN BALANCED FUND-A	B	0.00	16.37	0.00	16.37	80.0	13.10
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	26.48	0.00	26.48	80.0	21.18
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	12.01	0.00	12.01	80.0	9.61
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	38.78	0.00	38.78	80.0	31.02
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.87	0.00	7.87	80.0	6.30
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	16.34	0.00	16.34	80.0	13.07
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	36.03	0.00	36.03	80.0	28.82
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.39	0.00	5.39	80.0	4.31
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION FD	B	0.00	14.37	0.00	14.37	80.0	11.50
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	4.17	0.00	4.17	80.0	3.34
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	15.38	0.00	15.38	80.0	12.30
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.29	0.00	1.29	80.0	1.03
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.75	0.00	3.75	80.0	3.00
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.09	0.00	4.09	80.0	3.27
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.97	0.00	4.97	80.0	3.98
08/21/2018 mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	14.47	0.00	14.47	80.0	11.58

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08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.55	0.00	0.55	80.0	0.44
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	19.98	0.00	19.98	80.0	15.98
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	21.97	0.00	21.97	80.0	17.58
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	8.61	0.00	8.61	80.0	6.89
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.79	0.00	11.79	80.0	9.43
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	18.00	0.00	18.00	80.0	14.40
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	23.61	0.00	23.61	80.0	18.89
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	53.91	0.00	53.91	80.0	43.13
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	67.64	0.00	67.64	80.0	54.11
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	71.56	0.00	71.56	80.0	57.25
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.01	0.00	8.01	80.0	6.41
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.31	0.00	17.31	80.0	13.85
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.18	0.00	4.18	80.0	3.34
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.67	0.00	4.67	80.0	3.74
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	16.94	0.00	16.94	80.0	13.55
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	24.95	0.00	24.95	80.0	19.96
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	52.37	0.00	52.37	80.0	41.90
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	4.13	0.00	4.13	80.0	3.30
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	20.11	0.00	20.11	80.0	16.09
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	46.82	0.00	46.82	80.0	37.46
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.36	0.00	2.36	80.0	1.89
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	0.03	0.00	0.03	80.0	0.02
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	5.06	0.00	5.06	80.0	4.05
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.78	0.00	3.78	80.0	3.02
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	15.22	0.00	15.22	80.0	12.18
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.08	0.00	0.08	80.0	0.06
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.48	0.00	3.48	80.0	2.78
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.65	0.00	9.65	80.0	7.72
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.22	0.00	10.22	80.0	8.18
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.79	0.00	16.79	80.0	13.43
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	9.12	0.00	9.12	80.0	7.30
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	93.61	0.00	93.61	80.0	74.89
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.92	0.00	3.92	80.0	3.14
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	27.74	0.00	27.74	80.0	22.19
08/21/2018	mfc495845	MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD	B	0.00	7.38	0.00	7.38	80.0	5.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$931.51	\$0.00	\$931.51		\$745.22
*** CLEARING TRANSACTIONS TOTAL ***					\$106,539.00	\$2,186.06	\$120.00	\$2,066.06		\$1,652.86

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

08/23/2018	FX16001643	PETTEY		VAT CUSIP 694475286	B	0.00	91.98	0.00	91.98	80.0	73.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$91.98	\$0.00	\$91.98		\$73.58
*** DIRECT TRANSACTIONS TOTAL ***						\$0.00	\$91.98	\$0.00	\$91.98		\$73.58

ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	:	CLEARING CHARGE ACCT.	0.00	0.00	0.00	0.00	-20.00
08/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
08/31/2018	:	QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-205.37
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-205.37

BROKER COMMISSION TOTALS

Broker Transactions	\$1,726.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-205.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,521.07
Year-to-date Commissions	\$12,625.20