



COMMISSION STATEMENT

November 19, 2018

GRADY GORMAN
 7105 West 44th Ave
 Wheat Ridge, CO 80033

BROKER #: A97
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2018	***-**-667I - BENDER, RYAN	AMCAP FUND-A	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
10/19/2018	***-**-08IR - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.43	0.00	1.43	75.0	1.07
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	AMERICAN MUTUAL FUND-A	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	CAPITAL INCOME BUILDER-A	0.00	0.45	0.00	0.45	75.0	0.34
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	0.00	0.98	0.00	0.98	75.0	0.74
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	EUROPACIFIC GROWTH FUND-A	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	0.00	1.71	0.00	1.71	75.0	1.28
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	FUNDAMENTAL INVESTORS-A	0.00	1.12	0.00	1.12	75.0	0.84
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	NEW PERSPECTIVE FUND-A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-667I - BENDER, RYAN	SMALLCAP WORLD FUND-A	0.00	1.55	0.00	1.55	75.0	1.16
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	SMALLCAP WORLD FUND-A	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	THE BOND FUND OF AMERICA-A	0.00	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-408I - CAPUNE, MEGHAN	THE GROWTH FUND OF AMERICA-A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
10/19/2018	***-**-08IR - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						



COMMISSION STATEMENT

November 19, 2018

GRADY GORMAN
7105 West 44th Ave
Wheat Ridge, CO 80033

BROKER #: A97
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-408I - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$15.36	\$0.00	\$15.36		\$11.53
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$15.36	\$0.00	\$15.36		\$11.53

BROKER COMMISSION TOTALS

Broker Transactions	\$11.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$56.63
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$68.16
Year-to-date Earnings	\$7,195.49