



COMMISSION STATEMENT

September 17, 2018

JOHN BUSEY
12705 Century Dr Suite A
Suite A
Alpharetta, GA 30009

BROKER #: B13
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/27/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @21.2300	59.16	1.33	0.00	1.33	83.0	1.10
08/27/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @21.2300	59.16	1.33	0.00	1.33	83.0	1.10
08/27/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @21.2300	18.23	0.41	0.00	0.41	83.0	0.34
08/27/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @21.2300	18.23	0.41	0.00	0.41	83.0	0.34
08/27/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 4 Units @40.6300	149.99	3.37	0.00	3.37	83.0	2.80
08/27/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 1 Units @40.6300	45.00	1.01	0.00	1.01	83.0	0.84
08/27/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 7 Units @4.2100	30.55	0.69	0.00	0.69	83.0	0.57
08/27/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.2100	18.33	0.41	0.00	0.41	83.0	0.34
08/27/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.2100	18.23	0.41	0.00	0.41	83.0	0.34
08/27/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.2100	18.23	0.41	0.00	0.41	83.0	0.34
08/27/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 3 Units @22.6900	61.09	1.37	0.00	1.37	83.0	1.14
08/27/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.6900	36.66	0.82	0.00	0.82	83.0	0.68
08/27/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 7 Units @22.6900	150.03	3.38	0.00	3.38	83.0	2.81
08/27/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.6900	45.01	1.01	0.00	1.01	83.0	0.84
08/27/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.6900	48.58	1.09	0.00	1.09	83.0	0.90
08/27/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.6900	48.58	1.09	0.00	1.09	83.0	0.90



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/27/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	18.23	0.41	0.00	0.41	83.0	0.34
	ACCOUNT #: 00777182102	BUY 1 Units @22.2700						
08/27/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	18.23	0.41	0.00	0.41	83.0	0.34
	ACCOUNT #: 00777182102	BUY 1 Units @22.2700						
08/27/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	18.21	0.41	0.00	0.41	83.0	0.34
	ACCOUNT #: 00777182102	BUY 0 Units @54.7100						
08/27/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	18.21	0.41	0.00	0.41	83.0	0.34
	ACCOUNT #: 00777182102	BUY 0 Units @54.7100						
08/27/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	59.16	1.33	0.00	1.33	83.0	1.10
	ACCOUNT #: 00777141446	BUY 5 Units @11.0200						
08/27/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	59.16	1.33	0.00	1.33	83.0	1.10
	ACCOUNT #: 00777141446	BUY 5 Units @11.0200						
08/27/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	75.00	1.69	0.00	1.69	83.0	1.40
	ACCOUNT #: 00777180430	BUY 9 Units @8.0500						
08/27/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	66.61	1.50	0.00	1.50	83.0	1.25
	ACCOUNT #: 00777180430	BUY 8 Units @8.0500						
08/27/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.7100						
08/27/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.7100						
08/27/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	100.00	2.25	0.00	2.25	83.0	1.87
	ACCOUNT #: 00777168436	BUY 13 Units @7.8900						
08/27/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	82.24	1.85	0.00	1.85	83.0	1.54
	ACCOUNT #: 00777168436	BUY 10 Units @7.8900						
08/27/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 4 Units @7.5300						
08/27/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 4 Units @7.5300						
08/27/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	25.00	0.56	0.00	0.56	83.0	0.46
	ACCOUNT #: 00777180430	BUY 1 Units @26.9800						
08/27/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	22.20	0.50	0.00	0.50	83.0	0.42
	ACCOUNT #: 00777180430	BUY 1 Units @26.9800						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/27/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	61.09	1.37	0.00	1.37	83.0	1.14
	ACCOUNT #: 00777018377	BUY 4 Units @15.2700						
08/27/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	36.65	0.82	0.00	0.82	83.0	0.68
	ACCOUNT #: 00777018377	BUY 2 Units @15.2700						
08/27/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	149.98	3.37	0.00	3.37	83.0	2.80
	ACCOUNT #: 05064446577	BUY 10 Units @15.2700						
08/27/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	44.99	1.01	0.00	1.01	83.0	0.84
	ACCOUNT #: 05064446577	BUY 3 Units @15.2700						
* MUTUAL FUNDS SUBTOTAL *			\$1,800.02	\$38.96	\$0.00	\$38.96		\$32.34
PRODUCT CATEGORY: VARIABLE ANNUITIES								
08/27/2018	***-**-2394 - MARY B VALLEY	JACKSON ELITE ACCESS OPT C	554,728.33	19,415.49	0.00	19,415.49	83.0	16,114.86
		BUY 1 Units @550000.000						
* VARIABLE ANNUITIES SUBTOTAL *			\$554,728.33	\$19,415.49	\$0.00	\$19,415.49		\$16,114.86
*** BROKER TRANSACTIONS TOTAL ***			\$556,528.35	\$19,454.45	\$0.00	\$19,454.45		\$16,147.20

BROKER COMMISSION TOTALS

Broker Transactions	\$16,147.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$16,147.20
Year-to-date Earnings	\$46,441.43