September 24, 2018

DEVIN BORN128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | BROKER | TRANSACTIONS | | | | | |
| PRODUCT C | ATEGORY: MUTUAL FUNDS | | | | | | | |
| | ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648 | FIDELITY ADV MF 040813 BUY 2 Units @21.2800 | 50.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| 09/10/2018 | ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00777296924 | HIGH YIELD A BUY 56 Units @3.5700 | 200.00 | 7.00 | 0.00 | 7.00 | 75.0 | 5.25 |
| 09/10/2018 | ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00777296925 | HIGH YIELD A BUY 28 Units @3.5700 | 100.00 | 3.50 | 0.00 | 3.50 | 75.0 | 2.63 |
| | ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00003377198 | JOHN HANCOCK BLUE CHIP GROWTH CL A BUY 2 Units @43.3500 | 100.00 | 3.75 | 0.00 | 3.75 | 75.0 | 2.81 |
| | ***-**-8879 - ILLIANO, MARIO ACCOUNT #: 00084446460 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.4200 | 75.00 | 3.19 | 0.00 | 3.19 | 75.0 | 2.39 |
| | | * MUTUAL FUNDS SUBTOTAL | * \$525.00 | \$18.94 | \$0.00 | \$18.94 | | \$14.21 |
| PRODUCT C | ATEGORY: STOCKS | | | | | | | |
| | ***-**-014I - WHITE, DEBORAH ACCOUNT #: LSR012480 | ANNALY CAP MANGEMENT INC BUY 200 Units @10.2540 | 2,050.80 | 64.36 | 19.00 | 45.36 | 75.0 | 34.02 |
| | ***-**-57IR - NAUGLE, DENNIS ACCOUNT #: LSM000137 | CARPENTER TECHNOLOGY CORP SELL 6 Units @60.2800 | 361.68 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| 09/19/2018 | ***-**-57IR - NAUGLE, DENNIS ACCOUNT #: LSM000137 | CARPENTER TECHNOLOGY CORP SELL 100 Units @60.2800 | 6,028.00 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| 09/19/2018 | ***-**-57IR - NAUGLE, DENNIS ACCOUNT #: LSM000137 | CARPENTER TECHNOLOGY CORP SELL 198 Units @60.2200 | 11,923.56 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ***-**-57IR - NAUGLE, DENNIS ACCOUNT #: LSM000137 | CARPENTER TECHNOLOGY CORP SELL 100 Units @60.2810 | 6,028.10 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ***-**-57IR - NAUGLE, DENNIS ACCOUNT #: LSM000137 | CARPENTER TECHNOLOGY CORP SELL 96 Units @60.2800 | 5,786.88 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| 09/14/2018 | ***-**-014I - WHITE, DEBORAH ACCOUNT #: LSR012480 | MFA MTG INVTS INC COM BUY 171 Units @7.7100 | 1,318.41 | 51.91 | 18.42 | 33.49 | 75.0 | 25.12 |
| | | * STOCKS SUBTOTAL | * \$33,497.43 | \$116.27 | \$37.42 | \$78.85 | | \$59.14 |



September 24, 2018

DEVIN BORN

128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | BROKER TRANSA | CTIONS (contin | ued) | | | | |
| PRODUCT | CATEGORY: UNIT INVESTMENT THE | RUSTS | | | | | | |
| 09/17/201 | 8 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199 | AMERIGAS PARTNERS LP UTS RPSTG COMBUY 25 Units @40.1060 | 1,002.65 | 46.55 | 24.00 | 22.55 | 75.0 | 16.91 |
| 09/19/201 | 8 ***-**-014I - WHITE, DEBORAH ACCOUNT #: LSR012480 | BUCKEYE PARTNERS L P UNIT LTD PART BUY 26 Units @36.3950 | N 946.27 | 45.59 | 24.00 | 21.59 | 75.0 | 16.19 |
| 09/19/201 | 8 ***-**-014I - WHITE, DEBORAH ACCOUNT #: LSR012480 | ENERGY TRANSFER PARTNERS LP UNIT L BUY 75 Units @22.4560 | л 1,684.20 | 54.00 | 24.00 | 30.00 | 75.0 | 22.50 |
| | | * UNIT INVESTMENT TRUSTS SUBTOTAL | * \$3,633.12 | \$146.14 | \$72.00 | \$74.14 | | \$55.60 |
| PRODUCT | CATEGORY: 529 PLAN | | | | | | | |
| 09/10/201 | 8 ***-**-03IN - COLLINS, CHRIST ACCOUNT #: 00700912066 | Y FA 529 PORT 2019-OLD CL A BUY 9 Units @21.2600 | 200.00 | 6.00 | 0.00 | 6.00 | 75.0 | 4.50 |
| 09/10/201 | 8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655 | FA 529 PORT 2022-CL A BUY 2 Units @27.9500 | 50.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| 09/10/201 | 8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630 | FA 529 PORT 2022-CL A BUY 2 Units @27.9500 | 50.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| | | * 529 PLAN SUBTOTAL | * \$300.00 | \$9.00 | \$0.00 | \$9.00 | | \$6.76 |
| PRODUCT | CATEGORY: MUTUAL FUND 12B1 | TRAILS | | | | | | |
| 09/17/201 | 8 ***-**-94IR - BARRETT, JAMES ACCOUNT #: 00002174510 | BALANCED A BUY 0 Units @0.0000 | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| 09/17/201 | 8 ***-**-6725 - BARRETT, TRACIE ACCOUNT #: 00002174511 | BALANCED A BUY 0 Units @0.0000 | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| 09/17/201 | 8 ***-**-9897 - ZIMMERMAN, PAUL ACCOUNT #: 00002034883 | BOND A BUY 0 Units @0.0000 | 0.00 | 20.39 | 0.00 | 20.39 | 75.0 | 15.29 |
| 09/10/201 | 8 ***-**-03IN - COLLINS, CHRIST ACCOUNT #: 00700912066 | Y FA 529 PORT 2019-OLD CL A BUY 0 Units @0.0000 | 0.00 | 4.48 | 0.00 | 4.48 | 75.0 | 3.36 |
| 09/10/201 | 8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085 | FA 529 PORT 2019-OLD CL A BUY 0 Units @0.0000 | 0.00 | 10.62 | 0.00 | 10.62 | 75.0 | 7.97 |
| 09/10/201 | 8 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217 | FA 529 PORT 2022-CL A BUY 0 Units @0.0000 | 0.00 | 3.23 | 0.00 | 3.23 | 75.0 | 2.42 |
| 09/10/201 | 8 ***-**-35IR - SPERRATORE, ROSI ACCOUNT #: 00689597003 | E FA 529 PORT 2022-CL A BUY 0 Units @0.0000 | 0.00 | 5.21 | 0.00 | 5.21 | 75.0 | 3.91 |



September 24, 2018

DEVIN BORN128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | BROKER TRANSAG | CTIONS (continu | led) | | | | |
| PRODUCT | CATEGORY: MUTUAL FUND 12B1 | FRAILS (continued) | | | | | | |
| 09/10/20 | 18 ***-**-975P - SCANTZOS, ACCOUNT #: 00700225170 | FA 529 PORT 2022-CL A BUY 0 Units @0.0000 | 0.00 | 2.31 | 0.00 | 2.31 | 75.0 | 1.73 |
| 09/10/20 | 18 ***-**-975P - SCANTZOS, ACCOUNT #: 00701649865 | FA 529 PORT 2022-CL A BUY 0 Units @0.0000 | 0.00 | 3.79 | 0.00 | 3.79 | 75.0 | 2.84 |
| 09/10/20 | 18 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630 | FA 529 PORT 2022-CL A BUY 0 Units @0.0000 | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| 09/10/20 | 18 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655 | FA 529 PORT 2022-CL A BUY 0 Units @0.0000 | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| 09/10/20 | 18 ***-**-975P - SCANTZOS, ACCOUNT #: 00704894625 | FA 529 PORT 2025-CL A BUY 0 Units @0.0000 | 0.00 | 2.22 | 0.00 | 2.22 | 75.0 | 1.67 |
| 09/10/20 | 18 ***-**-35IR - SPERRATORE, ROSI ACCOUNT #: 00625390331 | E FA 529 PORT 2028-CL A BUY 0 Units @0.0000 | 0.00 | 4.12 | 0.00 | 4.12 | 75.0 | 3.09 |
| 09/10/20 | 18 ***-**-35IR - SPERRATORE, ROSI ACCOUNT #: 01464625126 | E FA 529 PORT 2028-CL A BUY 0 Units @0.0000 | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| 09/10/20 | 18 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026 | FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000 | 0.00 | 0.52 | 0.00 | 0.52 | 75.0 | 0.39 |
| 09/10/20 | 18 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474 | FIDELITY ADV FINANCIAL SERVICES CL BUY 0 Units @0.0000 | 0.00 | 0.32 | 0.00 | 0.32 | 75.0 | 0.24 |
| 09/10/20 | 18 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026 | FIDELITY ADV FINANCIAL SERVICES CL BUY 0 Units @0.0000 | 0.00 | 0.81 | 0.00 | 0.81 | 75.0 | 0.61 |
| 09/10/20 | 18 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 01427341720 | FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000 | 0.00 | 2.98 | 0.00 | 2.98 | 75.0 | 2.24 |
| 09/10/20 | 18 ***-**-9418 - ESHBACH, SUSAN ACCOUNT #: 01422030047 | FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000 | 0.00 | 2.80 | 0.00 | 2.80 | 75.0 | 2.10 |
| 09/10/20 | 18 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474 | FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000 | 0.00 | 1.09 | 0.00 | 1.09 | 75.0 | 0.82 |
| 09/10/20 | 18 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738 | FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000 | 0.00 | 8.28 | 0.00 | 8.28 | 75.0 | 6.21 |
| 09/10/20 | 18 ***-**-0610 - SWEIGART, SUE ACCOUNT #: 00527640569 | FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000 | 0.00 | 7.14 | 0.00 | 7.14 | 75.0 | 5.36 |
| 09/10/20 | 18 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619 | FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000 | 0.00 | 4.29 | 0.00 | 4.29 | 75.0 | 3.22 |
| | | | | | | | | |



September 24, 2018

DEVIN BORN128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT | CATEGORY: MUTUAL FUND 12B1 | BROKER TRANSACTI | ONS (continu | ued) | | | | |
| 09/10/201 | 8 ***-**-06IR - YAKAITIS, JAMES ACCOUNT #: 00700935596 | FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000 | 0.00 | 4.47 | 0.00 | 4.47 | 75.0 | 3.35 |
| 09/10/201 | 8 ***-**-72IN - BOYER, SUSAN ACCOUNT #: 00704025758 | FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000 | 0.00 | 2.11 | 0.00 | 2.11 | 75.0 | 1.58 |
| 09/10/201 | 8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639 | FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000 | 0.00 | 9.13 | 0.00 | 9.13 | 75.0 | 6.85 |
| 09/10/201 | 8 ***-**-3510 - CAMERON, NANCY ACCOUNT #: 01442128896 | FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000 | 0.00 | 0.59 | 0.00 | 0.59 | 75.0 | 0.44 |
| 09/10/201 | 8 ***-**-9358 - RAMBO, JOHN ACCOUNT #: 00537052706 | FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000 | 0.00 | 13.52 | 0.00 | 13.52 | 75.0 | 10.14 |
| 09/10/201 | 8 ***-**-2999 - GODFREY, MICHAEI ACCOUNT #: 00700702285 | L FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 75.0 | 0.13 |
| 09/10/201 | 8 ***-**-0618 - GODFREY, REBECCA ACCOUNT #: 00700702293 | A FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 75.0 | 0.13 |
| 09/10/201 | 8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026 | FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000 | 0.00 | 6.13 | 0.00 | 6.13 | 75.0 | 4.60 |
| 09/10/201 | 8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738 | FIDELITY ADV INDUSTRIALS CL A BUY 0 Units @0.0000 | 0.00 | 4.94 | 0.00 | 4.94 | 75.0 | 3.71 |
| 09/10/201 | 8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126 | E FIDELITY ADV MF 040813 BUY 0 Units @0.0000 | 0.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| 09/10/201 | 8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648 | FIDELITY ADV MF 040813 BUY 0 Units @0.0000 | 0.00 | 0.86 | 0.00 | 0.86 | 75.0 | 0.65 |
| 09/10/201 | 8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474 | FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000 | 0.00 | 6.55 | 0.00 | 6.55 | 75.0 | 4.91 |
| 09/10/201 | 8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026 | FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000 | 0.00 | 0.80 | 0.00 | 0.80 | 75.0 | 0.60 |
| 09/10/201 | 8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026 | FIDELITY ADV STCK SEL LRG CP VAL CL BUY 0 Units @0.0000 | 0.00 | 0.76 | 0.00 | 0.76 | 75.0 | 0.57 |
| 09/10/201 | 8 ***-**-5527 - BONNER, BETTINA ACCOUNT #: 01487530055 | FIDELITY ADV STCK SEL LRG CP VAL CL BUY 0 Units @0.0000 | 0.00 | 1.62 | 0.00 | 1.62 | 75.0 | 1.22 |
| 09/10/201 | 8 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00700238868 | FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000 | 0.00 | 9.29 | 0.00 | 9.29 | 75.0 | 6.97 |



September 24, 2018

DEVIN BORN128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT | CATEGORY: MUTUAL FUND 12B1 1 | BROKER TRANSACTI | ONS (continu | ued) | | | | |
| 09/10/2018 | 8 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00704610013 | FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000 | 0.00 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| 09/10/2018 | 8 ***-**-4873 - ECK, TARA ACCOUNT #: 01426408108 | FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000 | 0.00 | 1.69 | 0.00 | 1.69 | 75.0 | 1.27 |
| 09/10/2018 | 8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557093 | FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000 | 0.00 | 7.60 | 0.00 | 7.60 | 75.0 | 5.70 |
| 09/10/2018 | 8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 00701194482 | FIDELITY ADVISOR SMALL-CAP GROWTH F BUY 0 Units @0.0000 | 0.00 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| 09/10/2018 | 8 ***-**-0349 - BREIDENSTEIN, ACCOUNT #: 03900189228 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000 | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| 09/10/2018 | 8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000 | 0.00 | 2.39 | 0.00 | 2.39 | 75.0 | 1.79 |
| 09/17/2018 | 8 ***-**-4330 - FRANTZ, LOIS ACCOUNT #: 00001455848 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 14.84 | 0.00 | 14.84 | 75.0 | 11.13 |
| 09/17/2018 | 8 ***-**-310I - NAUGLE, ANN ACCOUNT #: 00001860223 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 2.74 | 0.00 | 2.74 | 75.0 | 2.06 |
| 09/17/2018 | 8 ***-**-86IN - PATTON, JULIE ACCOUNT #: 00001999970 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 19.49 | 0.00 | 19.49 | 75.0 | 14.62 |
| 09/17/2018 | 8 ***-**-6725 - BARRETT, TRACIE ACCOUNT #: 00002174325 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 4.67 | 0.00 | 4.67 | 75.0 | 3.50 |
| 09/17/2018 | 8 ***-**-94IR - BARRETT, JAMES ACCOUNT #: 00002174327 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 4.12 | 0.00 | 4.12 | 75.0 | 3.09 |
| 09/17/2018 | 8 ***-**-9897 - ZIMMERMAN, PAUL ACCOUNT #: 00002176710 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 8.12 | 0.00 | 8.12 | 75.0 | 6.09 |
| 09/17/2018 | 8 ***-**-2137 - ECK, DREW ACCOUNT #: 00002188371 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 2.21 | 0.00 | 2.21 | 75.0 | 1.66 |
| 09/17/2018 | 8 ***-**-068I - SHOLLENBERGER, ACCOUNT #: 00002188879 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 2.89 | 0.00 | 2.89 | 75.0 | 2.17 |
| 09/17/2018 | 8 ***-**-0514 - WESSNER, ARLAN ACCOUNT #: 00002492869 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 7.37 | 0.00 | 7.37 | 75.0 | 5.53 |
| 09/17/2018 | 8 ***-**-68IN - DODDS, JOHN ACCOUNT #: 00777291985 | HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 18.36 | 0.00 | 18.36 | 75.0 | 13.77 |



September 24, 2018

DEVIN BORN128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT | CATECODY MITTIAL FIND | | RANSACTIONS (contin | ued) | | | | |
| | L8 ***-**-03IN - COLLINS, ACCOUNT #: 00777296924 | CHRISTY HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 6.71 | 0.00 | 6.71 | 75.0 | 5.03 |
| 09/17/201 | 18 ***-**-03IN - COLLINS, ACCOUNT #: 00777296925 | CHRISTY HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 6.72 | 0.00 | 6.72 | 75.0 | 5.04 |
| 09/17/201 | L8 ***-**-4803 - HERTZOG, ACCOUNT #: 00777305368 | MICHAEL HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 8.08 | 0.00 | 8.08 | 75.0 | 6.06 |
| 09/17/201 | 18 ***-**-9736 - SINDACO, ACCOUNT #: 00777313061 | LINDA HIGH YIELD A BUY 0 Units @0.0000 | 0.00 | 1.51 | 0.00 | 1.51 | 75.0 | 1.13 |
| 09/17/201 | L8 ***-**-86IN - PATTON, J ACCOUNT #: 00001858344 | UULIE HIGH YIELD B BUY 0 Units @0.0000 | 0.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| 09/17/201 | 18 ***-**-9736 - SINDACO, ACCOUNT #: 00002001832 | LINDA HIGH YIELD B BUY 0 Units @0.0000 | 0.00 | 1.09 | 0.00 | 1.09 | 75.0 | 0.82 |
| 09/17/201 | 18 ***-**-03IN - COLLINS, ACCOUNT #: 00005979470 | CHRISTY HIGH YIELD B BUY 0 Units @0.0000 | 0.00 | 1.65 | 0.00 | 1.65 | 75.0 | 1.24 |
| 09/17/201 | 18 ***-**-03IN - COLLINS, ACCOUNT #: 00005979471 | CHRISTY HIGH YIELD B BUY 0 Units @0.0000 | 0.00 | 1.66 | 0.00 | 1.66 | 75.0 | 1.25 |
| 09/17/201 | L8 ***-**-68IN - DODDS, JC ACCOUNT #: 00006280812 | OHN HIGH YIELD B BUY 0 Units @0.0000 | 0.00 | 2.22 | 0.00 | 2.22 | 75.0 | 1.67 |
| 09/17/201 | 18 ***-**-03IN - COLLINS, ACCOUNT #: 00003377198 | CHRISTY JOHN HANCOCK BLUE CHIP GROWT BUY 0 Units @0.0000 | TH CL A 0.00 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| 09/17/201 | 18 ***-**-94IR - BARRETT, ACCOUNT #: 00002174326 | JAMES JOHN HANCOCK DISCIPLINED VAL BUY 0 Units @0.0000 | LUE A 0.00 | 0.94 | 0.00 | 0.94 | 75.0 | 0.71 |
| 09/17/201 | 18 ***-**-6725 - BARRETT, ACCOUNT #: 00002174512 | TRACIE JOHN HANCOCK DISCIPLINED VAL BUY 0 Units @0.0000 | LUE A 0.00 | 0.94 | 0.00 | 0.94 | 75.0 | 0.71 |
| 09/17/201 | L8 ***-**-9897 - ZIMMERMAN ACCOUNT #: 00002176709 | N, PAUL JOHN HANCOCK DISCIPLINED VAL BUY 0 Units @0.0000 | LUE A 0.00 | 0.62 | 0.00 | 0.62 | 75.0 | 0.47 |
| 09/17/201 | L8 ***-**-0738 - WESSNER, ACCOUNT #: 00002492630 | TODD JOHN HANCOCK DISCIPLINED VAL BUY 0 Units @0.0000 | LUE A 0.00 | 20.30 | 0.00 | 20.30 | 75.0 | 15.23 |
| 09/17/201 | 18 ***-**-6725 - BARRETT, ACCOUNT #: 00002174324 | TRACIE JOHN HANCOCK GLOBAL SHAREHOL BUY 0 Units @0.0000 | LDER YIE 0.00 | 0.78 | 0.00 | 0.78 | 75.0 | 0.59 |
| 09/17/201 | L8 ***-**-514J - GEHRINGER ACCOUNT #: 00777222058 |), JOHN HANCOCK NEW OPPORTUNITI BUY 0 Units @0.0000 | IES CL A 0.00 | 3.65 | 0.00 | 3.65 | 75.0 | 2.74 |



September 24, 2018

DEVIN BORN128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PPONTICT | CATECORY MITTIAL FIND 12R1 | BROKER TRANSACTION | ONS (contin | ued) | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) 09/17/2018 ***-*-514J - GEHRINGER, JOHN HANCOCK NEW OPPORTUNITIES CL A 0.00 5.41 0.00 5.41 75.0 | | | | | | | | |
| 05/11/201 | ACCOUNT #: 00777236470 | BUY 0 Units @0.0000 | 0.00 | 3.11 | 0.00 | 3.11 | 73.0 | 4.06 |
| 09/17/201 | 8 ***-**-2833 - SCHWEMMER, JOHN ACCOUNT #: 00777288968 | JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000 | 0.00 | 24.77 | 0.00 | 24.77 | 75.0 | 18.58 |
| 09/17/201 | 8 ***-**-514J - GEHRINGER, ACCOUNT #: 00777290682 | JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000 | 0.00 | 1.35 | 0.00 | 1.35 | 75.0 | 1.01 |
| 09/17/201 | 8 ***-**-514J - GEHRINGER, ACCOUNT #: 00002049765 | LARGE CAP EQUITY A BUY 0 Units @0.0000 | 0.00 | 4.64 | 0.00 | 4.64 | 75.0 | 3.48 |
| 09/17/201 | 8 ***-**-10IN - YAKAITIS, JOSEPH ACCOUNT #: 00777289121 | H LARGE CAP EQUITY A BUY 0 Units @0.0000 | 0.00 | 1.67 | 0.00 | 1.67 | 75.0 | 1.25 |
| 09/17/201 | 8 ***-**-514J - GEHRINGER, ACCOUNT #: 00777290844 | LARGE CAP EQUITY A BUY 0 Units @0.0000 | 0.00 | 1.47 | 0.00 | 1.47 | 75.0 | 1.10 |
| 09/17/201 | 8 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892 | LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000 | 0.00 | 0.71 | 0.00 | 0.71 | 75.0 | 0.53 |
| 09/17/201 | 8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633 | LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000 | 0.00 | 2.13 | 0.00 | 2.13 | 75.0 | 1.60 |
| 09/17/201 | 8 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835 | LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000 | 0.00 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| 09/17/201 | 8 ***-**-4620 - KLINGER, TODD ACCOUNT #: 00002162337 | LIFESTYLE GROWTH PORTFOLIO A BUY 0 Units @0.0000 | 0.00 | 0.14 | 0.00 | 0.14 | 75.0 | 0.11 |
| 09/17/201 | 8 ***-**-3321 - WILLIAMS, EDWARI ACCOUNT #: 00002410404 | D LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000 | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| 09/17/201 | 8 ***-**-4803 - HERTZOG, MICHAEI ACCOUNT #: 00001712975 | RETIREMENT LIVING THROUGH 2040 PORT BUY 0 Units @0.0000 | 0.00 | 4.57 | 0.00 | 4.57 | 75.0 | 3.43 |
| 09/17/201 | 8 ***-**-514J - GEHRINGER, ACCOUNT #: 00777224541 | STRATEGIC GROWTH A BUY 0 Units @0.0000 | 0.00 | 2.80 | 0.00 | 2.80 | 75.0 | 2.10 |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$366.76 | \$0.00 | \$366.76 | | \$275.17 |



September 24, 2018

DEVIN BORN

128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|---|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| | | BROKER TRANSACTI | ONS (continu | ed) | | | | |
| PRODUCT | CATEGORY: VARIABLE AN | NUITY 12B1 TRAILS | | | | | | |
| 09/18/201 | 8 ***-**-2999 - GODFREY, ACCOUNT #: VR06088505 | MICHAEL PACIFIC LIFE PORTFOLIOS TRAILS BUY 0 Units @0.0000 | 0.00 | 17.65 | 0.00 | 17.65 | 75.0 | 13.24 |
| | | * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | \$0.00 | \$17.65 | \$0.00 | \$17.65 | | \$13.24 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$37,955.55 | \$674.76 | \$109.42 | \$565.34 | , | \$424.12 |
| | | | | | <u> </u> | ROKER COMMI | SSION | TOTALS |
| | | | | | Broke: | r Transactions | | \$424.12 |
| | | | | | Spli | t Transactions | | \$0.00 |
| | | | | | Overrid | e Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | Prior | Period Balance | | \$0.00 |
| | | | | | FI | NRA Assessment | | \$0.00 |
| | | | | | S | IPC Assessment | | \$0.00 |
| | | | | | Net Com | mission Earned | | \$424.12 |
| | | | | | Year-to | -date Earnings | | \$51,070.58 |
| | | | | | | | | |