All Companies

DOMINION INVESTOR SERVICES, INC.

COMMISSION STATEMENT

October 31, 2018

JOY LAWRENCE-D098 9000 Highway 2147 West

Suite 201 Horseshoe Bay, TX 78657 BROKER #: D098 BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			CLEARING '	ran:	SACTIONS					
PRODUCT CAT	regory: Mutual Funds									
10/05/2018 18	84047863 CHHEN, KUONG	MF	FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25	50.0	0.13
10/05/2018 18	84047863 CHHEN, KUONG	MF	FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25	50.0	0.13
10/19/2018 a	03946954 LAWRENCE-D098, JOY	MF	PUTNAM 746802800	В	0.00	40.81	0.00	40.81	50.0	20.41
			* MUTUAL FUNDS SUBTOTAL	*	\$204.90	\$46.31	\$5.00	\$41.31		\$20.67
PRODUCT CAT	regory: stocks									
10/22/2018 1	53319825 BINGAMAN, BRANNON	S	AURORA CANNABIS INC ISIN	В	489.09	15.00	15.00	0.00	50.0	0.00
10/15/2018 30	06872158 GRABLE, JOHN	S	ALIBABA GROUP HOLDING LTD	S	-14,355.43	50.00	15.00	35.00	50.0	17.50
10/15/2018 30	06872158 GRABLE, JOHN	S	AMAZON.COM INC	В	35,387.05	50.00	15.00	35.00	50.0	17.50
10/15/2018 30	06872158 GRABLE, JOHN	S	TEVA PHARMACEUTICAL INDS ADR	S	-21,550.07	50.00	15.00	35.00	50.0	17.50
			* STOCKS SUBTOTAL	*	\$-29.36	\$165.00	\$60.00	\$105.00		\$52.50
PRODUCT CAT	regory: Bonds									
10/04/2018 46	61573829 LYTLE, JENNIFER	В	LA PORTE TX INDEP SCH DIST REF	В	25,114.95	125.00	15.00	110.00	50.0	55.00
10/17/2018 46	61573829 LYTLE, JENNIFER	В	NEW BRAUNFELS TX COMB TAX REV	В	24,676.86	250.00	15.00	235.00	50.0	117.50
			* BONDS SUBTOTAL	*	\$49,791.81	\$375.00	\$30.00	\$345.00		\$172.50
PRODUCT CAT	regory: MUTUAL FUND 12B1	TRA	ILS							
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T AB HIGH INCOME A	В	0.00	4.80	0.00	4.80	50.0	2.40
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF".	T ALLIANCE BERNSTEIN SMALL/MID	В	0.00	2.63	0.00	2.63	50.0	1.32
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCE BERNSTEIN GLOBAL	В	0.00	4.45	0.00	4.45	50.0	2.23
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCEBERNSTEIN GLO GOVT INC	В	0.00	12.49	0.00	12.49	50.0	6.25
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCEBERNSTEIN LARGE-CAP	В	0.00	4.35	0.00	4.35	50.0	2.18
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCEBERNSTEIN GLOBAL	В	0.00	10.85	0.00	10.85	50.0	5.43
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCEBERNSTEIN UTILITY	В	0.00	2.86	0.00	2.86	50.0	1.43
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCEBERNSTEIN UTILITY	В	0.00	11.39	0.00	11.39	50.0	5.70
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	T ALLIANCEBERNSTEIN MID-CAP GRO	АВ	0.00	2.28	0.00	2.28	50.0	1.14
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	F EATON VANCE GOVT OBLIGATIONS	В	0.00	6.18	0.00	6.18	50.0	3.09
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	FEMPIRIC 2500 FD A	В	0.00	7.42	0.00	7.42	50.0	3.71
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	FIDELITY ADVISOR LARGE CAP	В	0.00	9.00	0.00	9.00	50.0	4.50
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	FIDELITY ADVISOR MID CAP STOCK	В	0.00	8.76	0.00	8.76	50.0	4.38
10/19/2018 mi	fc946954 LAWRENCE JOY 946954	MF	FIDELITY ADVISOR EMERGING MKT	В	0.00	20.57	0.00	20.57	50.0	10.29

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10/19/2018 mfc946954 LAWRENCE JOY 946954 MFT FIDELITY ADVISOR FUNDS B 0.00 20.22 0.00 20.01/19/2018 mfc946954 LAWRENCE JOY 946954 MFT MFS MID CAP GROWTH FUND-C B 0.00 18.80 0.00 10/19/2018 mfc946954 LAWRENCE JOY 946954 MFT PUTNAM 746802800 B 0.00 15.00 0.00 11/19/2018 mfc946954 LAWRENCE JOY 946954 MFT PUTNAM 746802800 B 0.00 5162.05 \$0.00 \$160.00 \$	11/05/2018		COMMISSION STATEM	ENT for	JOY LAWRENCE-DO	098			1	Page No. 2
10/19/2018 mfc946954 LAWRENCE JOY 946954 MFT MFS MID CAP GROWTH FUND-C B 0.00 18.80 0.00 10/19/2018 mfc946954 LAWRENCE JOY 946954 MFT PUTNAM 746802800 B 0.00 15.00 0.00 11/10/19/2018 mfc946954 LAWRENCE JOY 946954 MFT PUTNAM 746802800 B 0.00 \$162.05 \$0.00 \$16	TRADE DATE	CLIENT	INVESTMENT	B/S				NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 mfc946954 LAWRENCE JOY 946954 MFT PUTNAM 746802800 B 0.00 15.00 0.00 16.00 \$162.05 \$0.00 \$166.05 \$166.05 \$0.00 \$166.05 \$166	10/19/2018 n	mfc946954 LAWRENCE JO	Y 946954 MFT FIDELITY ADVISOR FUNDS	В	0.00	20.22	0.00	20.22	50.0	10.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$162.05 \$0.00 \$16 **** CLEARING TRANSACTIONS TOTAL *** \$49,967.35 \$748.36 \$95.00 \$65 ADJUSTMENTS TRANSACTIONS BROKER: #0 10/31/2018 : MONEY MARKET TRAILS 0.00 0.00 0.00 \$0.00 \$ * SUBTOTAL * \$0.00 \$0.00 \$0.00 \$ *** ADJUSTMENTS TRANSACTIONS *** ADJUSTMENTS TRANSACTIONS TOTAL *** \$0.00 \$0.00 \$0.00 \$ BROKER C Broker Transact Split Transact Split Transact Override Transact Adjustr Payroll	10/19/2018 n	mfc946954 LAWRENCE JO	Y 946954 MFT MFS MID CAP GROWTH FUND-C	В	0.00	18.80	0.00	18.80	50.0	9.40
*** CLEARING TRANSACTIONS TOTAL *** \$49,967.35 \$748.36 \$95.00 \$65 ADJUSTMENTS TRANSACTIONS BROKER: #0 10/31/2018 : MONEY MARKET TRAILS 0.00 0.00 0.00 \$0.00 \$ * SUBTOTAL * \$0.00 \$0.00 \$0.00 \$ **** ADJUSTMENTS TRANSACTIONS TOTAL *** \$0.00 \$0.00 \$0.00 \$ BROKER C BROKER C BROKER C Broker Transact Split Transact Override Transact Adjustr Payroll	LO/19/2018 m	mfc946954 LAWRENCE JO	Y 946954 MFT PUTNAM 746802800	В	0.00	15.00	0.00	15.00	50.0	7.50
#0 10/31/2018 : MONEY MARKET TRAILS			* MUTUAL FUND 12B1 TRAILS SUBTO	TAL *	\$0.00	\$162.05	\$0.00	\$162.05		\$81.06
BROKER: #0 10/31/2018 : MONEY MARKET TRAILS 0.00 0.00 0.00 0.00 \$			*** CLEARING TRANSACTIONS TOTAL	L ***	\$49,967.35	\$748.36	\$95.00	\$653.36		\$326.73
10/31/2018			ADJUSTMEN	TS TRA	ANSACTIONS					
* SUBTOTAL * \$0.00 \$0.00 \$0.00 \$ *** ADJUSTMENTS TRANSACTIONS TOTAL *** \$0.00 \$0.00 \$0.00 \$ BROKER C Broker Transact Split Transact Override Transact Adjustr Payroll	BROKER:	#0								
*** ADJUSTMENTS TRANSACTIONS TOTAL *** \$0.00 \$0.00 \$0.00 \$ BROKER C Broker Transact Split Transact Override Transact Adjustr Payroll	10/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		29.44
BROKER C Broker Transact Split Transact Override Transact Adjustr Payroll			* SUBTO	TAL *	\$0.00	\$0.00	\$0.00	\$0.00		\$29.44
Broker Transact Split Transact Override Transact Adjustr			*** ADJUSTMENTS TRANSACTIONS TOTAL	L ***	\$0.00	\$0.00	\$0.00	\$0.00		\$29.44
Split Transact Override Transact Adjustr Payroll							<u> </u>	ROKER COMMI	ssion	TOTALS
Override Transact Adjustr Payroll							Broke	Transactions		\$326.73
Adjustr Payroll							Split	Transactions		\$0.00
Payroll							Override	Transactions		\$0.00
-								Adjustments		\$29.44
								Payroll Draw		\$0.00
Base Sa								Base Salary		\$0.00
Prior Period Bal							Prior I	Period Balance		\$0.00
FINRA Assess							FI	IRA Assessment		\$0.00

SIPC Assessment

Net Commissions

Year-to-date Commissions

\$0.00

\$356.17

\$14,069.91