



COMMISSION STATEMENT

November 05, 2018

GARRETT MORETZ

181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2018	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C	740.18	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 135 Units @5.4800						
10/26/2018	***-**-501J - DAGENHART, JAMES	IVY SMALL CAP GROWTH CL A	4,800.00	240.00	18.00	222.00	82.0	182.04
	ACCOUNT #: LSR012798	BUY 243 Units @19.7700						
10/30/2018	***-**-972I - GRIFFIN, OTIS	JANUS HENDERSON HIGH YIELD A-NFS	665.80	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 83 Units @8.0400						
10/26/2018	***-**-501J - DAGENHART, JAMES	JPMORGAN GROWTH ADVANTAGE FUND CL A	4,800.00	228.00	18.00	210.00	82.0	172.20
	ACCOUNT #: LSR012798	BUY 220 Units @21.8300						
10/26/2018	***-**-265O - RUSSELL, CHARLES	NEW PERSPECTIVE FUND-A	1,300.01	65.00	18.00	47.00	82.0	38.54
	ACCOUNT #: LSR012102	BUY 29 Units @44.3900						
10/26/2018	***-**-029K - ANTHONY, APRIL	NEW PERSPECTIVE FUND-A	3,999.98	200.00	18.00	182.00	82.0	149.24
	ACCOUNT #: LSR012402	BUY 90 Units @44.3900						
* MUTUAL FUNDS SUBTOTAL *			\$16,305.97	\$733.00	\$72.00	\$661.00		\$542.02
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/05/2018	***-**-94IR - MARQUIS, ALAN	METLIFE VA TRAILS	0.00	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: 7700166877	BUY 1 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$16,305.97	\$733.00	\$72.00	\$661.00		\$542.02



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$542.02
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$542.02
Year-to-date Earnings								\$71,872.73