



COMMISSION STATEMENT

December 24, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/17/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMCAP FUND-A BUY 3 Units @31.5000	90.00	2.48	0.00	2.48	70.0	1.74
12/17/2018	***-**-8346 - SCOLLAN, JAMIE ACCOUNT #: 04000941955	AMERICAN FUNDS 2040 TARGET DATE-A BUY 11 Units @14.6800	166.14	2.66	0.00	2.66	70.0	1.86
12/17/2018	***-**-8346 - SCOLLAN, JAMIE ACCOUNT #: 04000941955	AMERICAN FUNDS 2040 TARGET DATE-A BUY 11 Units @14.6800	166.14	2.66	0.00	2.66	70.0	1.86
12/17/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 13 Units @17.3800	218.07	3.49	0.00	3.49	70.0	2.44
12/17/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 29 Units @17.3800	508.83	8.14	0.00	8.14	70.0	5.70
12/20/2018	***-**-191R - SHEEHAN, KEELY ACCOUNT #: LSR012754	AMERICAN FUNDS GRWTH & INCOME PORT BUY 51 Units @14.7400	750.00	37.50	0.00	37.50	70.0	26.25
12/17/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMERICAN MUTUAL FUND-A BUY 1 Units @41.2700	50.00	1.38	0.00	1.38	70.0	0.97
12/17/2018	***-**-3281 - DEWITT JR, LEE ACCOUNT #: 02400113961	BALANCED STRATEGY FUND CLASS A BUY 9 Units @10.9600	100.00	2.00	0.00	2.00	70.0	1.40
12/17/2018	***-**-792J - FITZGERALD, MARY ACCOUNT #: LSR012646	CALVERT CONSERVATIVE ALLOC CL C-NFS SELL 308 Units @16.2300	4,999.99	0.00	18.00	-18.00	100.0	-18.00
12/17/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	FUNDAMENTAL INVESTORS-A BUY 1 Units @59.9000	50.00	1.38	0.00	1.38	70.0	0.97
12/17/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	NEW PERSPECTIVE FUND-A BUY 2 Units @41.6200	69.58	1.11	0.00	1.11	70.0	0.78
12/17/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	NEW PERSPECTIVE FUND-A BUY 2 Units @41.6200	69.58	1.11	0.00	1.11	70.0	0.78
12/17/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @41.6200	39.11	0.63	0.00	0.63	70.0	0.44
12/17/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @41.6200	52.16	0.83	0.00	0.83	70.0	0.58
12/17/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 4 Units @12.7400	52.16	0.83	0.00	0.83	70.0	0.58
12/17/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7400	39.11	0.63	0.00	0.63	70.0	0.44



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/17/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	23.19	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: 00088394220	BUY 2 Units @12.7400						
12/17/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	23.19	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: 00088394220	BUY 2 Units @12.7400						
12/17/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	75.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 00088334300	BUY 6 Units @12.9400						
12/17/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	92.77	1.48	0.00	1.48	70.0	1.04
	ACCOUNT #: 00088394220	BUY 2 Units @49.5900						
12/17/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	92.77	1.48	0.00	1.48	70.0	1.04
	ACCOUNT #: 00088394220	BUY 2 Units @49.5900						
12/17/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	70.0	1.35
	ACCOUNT #: 00088334300	BUY 1 Units @50.3600						
12/17/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	46.39	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: 00088394220	BUY 2 Units @22.2700						
12/17/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	46.39	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: 00088394220	BUY 2 Units @22.2700						
12/17/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	39.12	0.63	0.00	0.63	70.0	0.44
	ACCOUNT #: 00075179061	BUY 2 Units @22.2700						
12/17/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	52.16	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: 00075179061	BUY 2 Units @22.2700						
12/17/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 2 Units @22.6100						
12/17/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	52.14	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: 00075179061	BUY 1 Units @38.1400						
12/17/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	39.11	0.63	0.00	0.63	70.0	0.44
	ACCOUNT #: 00075179061	BUY 1 Units @38.1400						
12/17/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: 00088334300	BUY 1 Units @44.4400						
12/19/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	261.44	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: 01293376129	BUY 6 Units @43.0700						
* MUTUAL FUNDS SUBTOTAL *			\$8,449.54	\$86.27	\$18.00	\$68.27		\$42.41



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
12/24/2018	***-**-775P - RYAN, MARY	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$250.00	\$10.13	\$0.00	\$10.13		\$7.09
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/20/2018	***-**-3023 - DEWEY, STEVEN	AIG SUNAMERICA MF TRAILS	0.00	5.25	0.00	5.25	70.0	3.68
		BUY 0 Units @0.0000						
12/20/2018	***-**-3023 - DEWEY, STEVEN	AIG SUNAMERICA MF TRAILS	0.00	2.53	0.00	2.53	70.0	1.77
		BUY 0 Units @0.0000						
12/24/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT MF TRAILS	0.00	96.87	0.00	96.87	70.0	67.81
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$104.65	\$0.00	\$104.65		\$73.26
		*** BROKER TRANSACTIONS TOTAL ***	\$8,699.54	\$201.05	\$18.00	\$183.05		\$122.76

BROKER COMMISSION TOTALS

Broker Transactions	\$122.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$122.76
Year-to-date Earnings	\$65,068.90