

Bill Payment Stub

Check Date:	10/25/2018
Check No.:	ACH
Check Amount:	339.81

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICK HAVARD
1600 STILLHOUSE HOLLOW
PROSPER, TX 75078

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
10/15/2018	Bill	COMMISSIONS	4,839.81	4,839.81		4,839.81
10/16/2018	Credit	ADVANCE	-4,500.00	-4,500.00		-4,500.00

COMMISSION STATEMENT

October 15, 2018

 RICK HAVARD-D361
 1600 Stillhouse Hollow

 BROKER #: D361
 BRANCH: DMN

Prosper, TX 75078

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
09/30/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	97,058.01	40.44	0.00	40.44	90.0	36.40
09/30/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	676,804.27	564.00	0.00	564.00	90.0	507.60
09/30/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	48,075.78	20.03	0.00	20.03	90.0	18.03
09/30/2018 1003034506	LARSON, RONALD	VA L SERIES	B	254,468.59	275.67	0.00	275.67	90.0	248.10
09/30/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	117,400.38	127.18	0.00	127.18	90.0	114.46
09/30/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	82,149.45	68.46	0.00	68.46	90.0	61.61
09/30/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	358,557.30	298.80	0.00	298.80	90.0	268.92
09/30/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	33,448.85	27.87	0.00	27.87	90.0	25.08
09/30/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	26,248.46	28.44	0.00	28.44	90.0	25.60
09/30/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	34,918.62	29.10	0.00	29.10	90.0	26.19
09/30/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	27,401.74	29.69	0.00	29.69	90.0	26.72
09/30/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	37,912.14	31.59	0.00	31.59	90.0	28.43
09/30/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	44,503.02	37.09	0.00	37.09	90.0	33.38
09/30/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	197,405.10	164.50	0.00	164.50	90.0	148.05
09/30/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,826.13	10.64	0.00	10.64	90.0	9.58
09/30/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	202,475.59	168.73	0.00	168.73	90.0	151.86
09/30/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	112,556.88	121.94	0.00	121.94	90.0	109.75
09/30/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	169,155.41	183.25	0.00	183.25	90.0	164.93
09/30/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	74,208.58	80.39	0.00	80.39	90.0	72.35
09/30/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	93,845.41	101.67	0.00	101.67	90.0	91.50
09/30/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	80,966.87	67.47	0.00	67.47	90.0	60.72
09/30/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	260,893.23	282.63	0.00	282.63	90.0	254.37
09/30/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	89,326.80	96.77	0.00	96.77	90.0	87.09
09/30/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	106,786.75	115.69	0.00	115.69	90.0	104.12
09/30/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	150,443.18	62.68	0.00	62.68	90.0	56.41
09/30/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	229,835.53	95.76	0.00	95.76	90.0	86.18
09/30/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	214,133.54	89.22	0.00	89.22	90.0	80.30
09/30/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	24,292.74	15.18	0.00	15.18	90.0	13.66
09/30/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	6,271.62	2.09	0.00	2.09	90.0	1.88
09/30/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	20,075.09	6.69	0.00	6.69	90.0	6.02
09/30/2018 1017547069	COMPTON, RICKY	VA PERSP II 04/14	B	359,973.51	149.99	0.00	149.99	90.0	134.99

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
09/30/2018	1019204223	WARD, VIRGINIA	VA	PERSP II 01/16	B	82,725.71	34.47	0.00	34.47	90.0	31.02
* VARIABLE ANNUITIES SUBTOTAL *						\$4,324,144.28	\$3,428.12	\$0.00	\$3,428.12		\$3,085.30
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
09/28/2018	(None)	BUDJENSKA, MARTHA	VAT	ALLIANZ LIFE	B	0.00	52.93	0.00	52.93	90.0	47.64
09/28/2018	(None)	NIEMEYER, PATTY	VAT	ALLIANZ LIFE	B	0.00	390.31	0.00	390.31	90.0	351.28
09/28/2018	(None)	MORATH LIV TRUST, TONI	VAT	ALLIANZ LIFE	B	0.00	50.00	0.00	50.00	90.0	45.00
09/28/2018	(None)	DUPLECHAIN, RODNEY	VAT	ALLIANZ LIFE	B	0.00	503.92	0.00	503.92	90.0	453.53
09/28/2018	(None)	FREEMAN, DAN	VAT	ALLIANZ LIFE	B	0.00	235.35	0.00	235.35	90.0	211.82
09/29/2018	(None)	MCCLUNG, MICHAEL	VAT	NATIONWIDE	B	0.00	406.44	0.00	406.44	90.0	365.80
09/29/2018	(None)	JENNINGS, JOE	VAT	NATIONWIDE	B	0.00	125.20	0.00	125.20	90.0	112.68
10/05/2018	(None)	FREEMAN, DAN	VAT	AXA LIFE	B	0.00	185.29	0.00	185.29	90.0	166.76
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,949.44	\$0.00	\$1,949.44		\$1,754.51
*** DIRECT TRANSACTIONS TOTAL ***						\$4,324,144.28	\$5,377.56	\$0.00	\$5,377.56		\$4,839.81

BROKER COMMISSION TOTALS

Broker Transactions	\$4,839.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,839.81
Year-to-date Commissions	\$67,980.20