



COMMISSION STATEMENT

December 24, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/20/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @23.8100						
12/20/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.78	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00089949168	BUY 3 Units @13.5200						
12/20/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @13.5200						
12/20/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00089949172	BUY 7 Units @13.7800						
12/20/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @13.2900						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @36.4700						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @43.5700						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @33.0100						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @55.5600						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @42.9400						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5000						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @43.3700						
12/20/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @33.7800						
* MUTUAL FUNDS SUBTOTAL *			\$199.78	\$2.00	\$0.00	\$2.00		\$1.82



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/15/2018	***-**-7979 - THOMPSON, ACCOUNT #: VP60440630	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	500.00	10.00	0.00	10.00	90.0	9.00
12/17/2018	***-**-921I - OSBORNE, DARIN ACCOUNT #: VP60570240	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,546.50	30.93	0.00	30.93	90.0	27.84
12/17/2018	***-**-655I - SWARTZ, MICHAEL ACCOUNT #: VP60738000	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	***-**-0795 - SWARTZ, SHARI ACCOUNT #: VP60737990	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	***-**-0304 - LOUGHRIDGE, ACCOUNT #: VP60638610	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,666.00	24.99	0.00	24.99	90.0	22.49
12/19/2018	***-**-5757 - DEGROOT, ROBERT ACCOUNT #: VP60706860	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	875.00	17.50	0.00	17.50	90.0	15.75
12/20/2018	***-**-8258 - IFG, KELLY,J ACCOUNT #: VP60088260	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	412.00	8.24	0.00	8.24	90.0	7.42
12/20/2018	***-**-209I - SPARACO, MICHAEL ACCOUNT #: VP60570220	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,510.67	30.21	0.00	30.21	90.0	27.19
* VARIABLE ANNUITIES SUBTOTAL *			\$6,710.17	\$123.87	\$0.00	\$123.87		\$111.49
PRODUCT CATEGORY: 529 PLAN								
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @48.0100	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @48.0100	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE BOND FUND OF AMERICA-529A BUY 4 Units @12.9800	50.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE BOND FUND OF AMERICA-529A BUY 4 Units @12.9800	50.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @50.3200	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @50.3200	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @39.0400	50.00	1.88	0.00	1.88	90.0	1.69



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
12/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @39.0400	50.00	1.88	0.00	1.88	90.0	1.69
		* 529 PLAN SUBTOTAL *	\$400.00	\$14.28	\$0.00	\$14.28		\$12.84
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/24/2018	***-**-970I - KLEVER, JAMES	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	8.19	0.00	8.19	90.0	7.37
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$8.19	\$0.00	\$8.19		\$7.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/24/2018	***-**-4539 - SPENCER, SCOTT ACCOUNT #: 57030694	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	345.00	0.00	345.00	90.0	310.50
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$345.00	\$0.00	\$345.00		\$310.50
		*** BROKER TRANSACTIONS TOTAL ***	\$7,309.95	\$493.34	\$0.00	\$493.34		\$444.02

BROKER COMMISSION TOTALS

Broker Transactions	\$444.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$444.02
Year-to-date Earnings	\$363,584.61