

Bill Payment Stub

Check Date:	9/25/2018
Check No.:	ACH
Check Amount:	112.99

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICK HAVARD
1600 STILLHOUSE HOLLOW
PROSPER, TX 75078

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
9/15/2018	Bill	COMMISSIONS	3,112.99	3,112.99		3,112.99
9/10/2018	Credit	ADVANCE	-3,000.00	-3,000.00		-3,000.00

COMMISSION STATEMENT

September 15, 2018

 RICK HAVARD-D361
 1600 Stillhouse Hollow

 BROKER #: D361
 BRANCH: DMN

Prosper, TX 75078

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
08/31/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	108,662.75	45.28	0.00	45.28	90.0	40.75
08/31/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	708,041.68	590.03	0.00	590.03	90.0	531.03
08/31/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	49,078.70	20.45	0.00	20.45	90.0	18.41
08/31/2018 1003034506	LARSON, RONALD	VA L SERIES	B	257,374.48	278.82	0.00	278.82	90.0	250.94
08/31/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	118,741.51	128.64	0.00	128.64	90.0	115.78
08/31/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	82,799.43	69.00	0.00	69.00	90.0	62.10
08/31/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	360,314.05	300.26	0.00	300.26	90.0	270.23
08/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	33,214.68	27.68	0.00	27.68	90.0	24.91
08/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	26,064.70	28.24	0.00	28.24	90.0	25.42
08/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	34,668.69	28.89	0.00	28.89	90.0	26.00
08/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	27,205.62	29.47	0.00	29.47	90.0	26.52
08/31/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	37,442.53	31.20	0.00	31.20	90.0	28.08
08/31/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	43,951.77	36.63	0.00	36.63	90.0	32.97
08/31/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	194,959.89	162.47	0.00	162.47	90.0	146.22
08/31/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,841.55	10.66	0.00	10.66	90.0	9.59
08/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	200,305.21	166.92	0.00	166.92	90.0	150.23
08/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	111,350.36	120.63	0.00	120.63	90.0	108.57
08/31/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	170,499.33	184.71	0.00	184.71	90.0	166.24
08/31/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	74,629.19	80.85	0.00	80.85	90.0	72.77
08/31/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	93,608.95	101.41	0.00	101.41	90.0	91.27
08/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	80,964.25	67.47	0.00	67.47	90.0	60.72
08/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	260,884.81	282.63	0.00	282.63	90.0	254.37
08/31/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	89,293.34	96.73	0.00	96.73	90.0	87.06
08/31/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	107,191.00	116.12	0.00	116.12	90.0	104.51
08/31/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	150,064.79	62.53	0.00	62.53	90.0	56.28
08/31/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	229,257.48	95.52	0.00	95.52	90.0	85.97
08/31/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	212,940.88	88.73	0.00	88.73	90.0	79.86
08/31/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	24,485.05	15.30	0.00	15.30	90.0	13.77
08/31/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	6,269.86	2.09	0.00	2.09	90.0	1.88
08/31/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	20,164.63	6.72	0.00	6.72	90.0	6.05
08/31/2018 1017547069	COMPTON, RICKY	VA PERSP II 04/14	B	356,024.12	148.34	0.00	148.34	90.0	133.51

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/31/2018	1019204223 WARD, VIRGINIA	VA PERSP II 01/16	B	82,602.67	34.42	0.00	34.42	90.0	30.98
				* VARIABLE ANNUITIES SUBTOTAL *	\$4,362,897.95	\$3,458.84	\$0.00	\$3,458.84	\$3,112.99
				*** DIRECT TRANSACTIONS TOTAL ***	\$4,362,897.95	\$3,458.84	\$0.00	\$3,458.84	\$3,112.99

BROKER COMMISSION TOTALS

Broker Transactions	\$3,112.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,112.99
Year-to-date Commissions	\$51,775.62