



COMMISSION STATEMENT

September 17, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 2 Units @29.0500						
09/13/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @62.8500						
09/13/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @54.5000						
09/12/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00068915116	BUY 1 Units @67.5000						
09/12/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @48.2400						
09/10/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00083299252	BUY 1 Units @58.1600						
09/12/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @58.3100						
09/10/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00083299252	BUY 1 Units @48.9400						
* MUTUAL FUNDS SUBTOTAL *			\$475.00	\$18.33	\$0.00	\$18.33		\$14.65

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	***-**-0935 - MINEO, JAMES	AMERICAN BALANCED FUND-529A	0.00	10.95	0.00	10.95	80.0	8.76
	ACCOUNT #: 00073571816	BUY 0 Units @0.0000						
09/04/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	0.00	8.31	0.00	8.31	80.0	6.65
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
09/04/2018	***-**-7067 - SMITH, CAROL	AMERICAN BALANCED FUND-A	0.00	3.24	0.00	3.24	80.0	2.59
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	AMERICAN BALANCED FUND-A	0.00	29.67	0.00	29.67	80.0	23.74
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	AMERICAN BALANCED FUND-A	0.00	9.23	0.00	9.23	80.0	7.38
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	0.00	9.21	0.00	9.21	80.0	7.37
	ACCOUNT #: 00075139902	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	AMERICAN BALANCED FUND-A	0.00	6.70	0.00	6.70	80.0	5.36
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	0.00	6.39	0.00	6.39	80.0	5.11
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	AMERICAN BALANCED FUND-A	0.00	13.33	0.00	13.33	80.0	10.66
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	AMERICAN BALANCED FUND-A	0.00	14.75	0.00	14.75	80.0	11.80
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-6802 - NAKANO, AMELIA	AMERICAN BALANCED FUND-A	0.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	0.00	7.10	0.00	7.10	80.0	5.68
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
09/04/2018	***-**-8900 - MARTIN, JAMES	AMERICAN BALANCED FUND-A	0.00	2.22	0.00	2.22	80.0	1.78
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
09/04/2018	***-**-1433 - ANDERSON, NANCY	AMERICAN BALANCED FUND-A	0.00	2.71	0.00	2.71	80.0	2.17
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
09/04/2018	***-**-0229 - VINCENT, JOSEPH	AMERICAN BALANCED FUND-A	0.00	2.07	0.00	2.07	80.0	1.66
	ACCOUNT #: 00086823451	BUY 0 Units @0.0000						
09/04/2018	***-**-2509 - CLARK, GABRIELLE	AMERICAN BALANCED FUND-A	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00087536824	BUY 0 Units @0.0000						
09/04/2018	***-**-3040 - KOTARY, BRANDY	AMERICAN BALANCED FUND-A	0.00	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
09/04/2018	***-**-4238 - STRASSER, JOANN	AMERICAN BALANCED FUND-A	0.00	3.02	0.00	3.02	80.0	2.42
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	0.00	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 00088153955	BUY 0 Units @0.0000						
09/04/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	0.00	0.73	0.00	0.73	80.0	0.58
	ACCOUNT #: 04000460394	BUY 0 Units @0.0000						
09/04/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	0.00	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 04000460402	BUY 0 Units @0.0000						
09/04/2018	***-**-1748 - LOMBARDO, JAMES	AMERICAN BALANCED FUND-A	0.00	19.32	0.00	19.32	80.0	15.46
	ACCOUNT #: 00089228818	BUY 0 Units @0.0000						
09/04/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	0.00	13.68	0.00	13.68	80.0	10.94
	ACCOUNT #: 00074487927	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-4165 - EICHORN, ACCOUNT #: 00075441363	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	9.20	0.00	9.20	80.0	7.36
09/04/2018	***-**-7241 - LENIHAN IRR ACCOUNT #: 00084513371	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	44.06	0.00	44.06	80.0	35.25
09/04/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00087068370	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	5.53	0.00	5.53	80.0	4.42
09/04/2018	***-**-9313 - DONNELLY, SUSAN ACCOUNT #: 00088156132	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	12.14	0.00	12.14	80.0	9.71
09/04/2018	***-**-2056 - DONNELLY, ACCOUNT #: 00088230744	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	12.04	0.00	12.04	80.0	9.63
09/04/2018	***-**-0147 - RIZZO, JAMES ACCOUNT #: 00088432565	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	22.37	0.00	22.37	80.0	17.90
09/04/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00089216358	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	8.05	0.00	8.05	80.0	6.44
09/04/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00089665845	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	3.57	0.00	3.57	80.0	2.86
09/04/2018	***-**-2704 - DOBRANSKY, ALAN ACCOUNT #: 00089978644	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	4.60	0.00	4.60	80.0	3.68
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00082295499	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	3.68	0.00	3.68	80.0	2.94
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00083468095	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	80.0	0.70
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00084623430	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	80.0	0.54
09/04/2018	***-**-5885 - HEPLER, MAY ACCOUNT #: 00084623446	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	80.0	0.81
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00086934940	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	80.0	1.10
09/04/2018	***-**-7067 - SMITH, CAROL ACCOUNT #: 00073595941	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	80.0	0.97
09/04/2018	***-**-2095 - MARONEY, ROBERT ACCOUNT #: 00074101227	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	7.65	0.00	7.65	80.0	6.12



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-3510 - GUGINO, ROBIN	AMERICAN MUTUAL FUND-A	0.00	3.39	0.00	3.39	80.0	2.71
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	AMERICAN MUTUAL FUND-A	0.00	2.79	0.00	2.79	80.0	2.23
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	0.00	7.47	0.00	7.47	80.0	5.98
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	AMERICAN MUTUAL FUND-A	0.00	4.57	0.00	4.57	80.0	3.66
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	AMERICAN MUTUAL FUND-A	0.00	2.58	0.00	2.58	80.0	2.06
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN MUTUAL FUND-A	0.00	3.63	0.00	3.63	80.0	2.90
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						
09/04/2018	***-**-9208 - COLUCCIO, MARY	AMERICAN MUTUAL FUND-A	0.00	17.01	0.00	17.01	80.0	13.61
	ACCOUNT #: 00060551224	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHHORN,	AMERICAN MUTUAL FUND-A	0.00	1.94	0.00	1.94	80.0	1.55
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	CAPITAL INCOME BUILDER-A	0.00	14.13	0.00	14.13	80.0	11.30
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	CAPITAL INCOME BUILDER-A	0.00	5.37	0.00	5.37	80.0	4.30
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	CAPITAL INCOME BUILDER-A	0.00	3.18	0.00	3.18	80.0	2.54
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	CAPITAL INCOME BUILDER-A	0.00	8.78	0.00	8.78	80.0	7.02
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	CAPITAL INCOME BUILDER-A	0.00	7.16	0.00	7.16	80.0	5.73
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-6403 - GARGUILO, JU	CAPITAL INCOME BUILDER-A	0.00	10.63	0.00	10.63	80.0	8.50
	ACCOUNT #: 00084100700	BUY 0 Units @0.0000						
09/04/2018	***-**-8900 - MARTIN, JAMES	CAPITAL INCOME BUILDER-A	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
09/04/2018	***-**-78IR - LONGLAND, PAULA	CAPITAL INCOME BUILDER-A	0.00	9.51	0.00	9.51	80.0	7.61
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-7138 - KUHNAST, GELLENA	CAPITAL INCOME BUILDER-A	0.00	1.03	0.00	1.03	80.0	0.82
	ACCOUNT #: 00087360423	BUY 0 Units @0.0000						
09/04/2018	***-**-6948 - DIBBLE, MONTY	CAPITAL INCOME BUILDER-A	0.00	2.61	0.00	2.61	80.0	2.09
	ACCOUNT #: 00087557561	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHHORN,	CAPITAL INCOME BUILDER-A	0.00	5.45	0.00	5.45	80.0	4.36
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
09/04/2018	***-**-5925 - SANTA MARIA,	CAPITAL INCOME BUILDER-A	0.00	8.57	0.00	8.57	80.0	6.86
	ACCOUNT #: 00082083085	BUY 0 Units @0.0000						
09/04/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	0.00	8.14	0.00	8.14	80.0	6.51
	ACCOUNT #: 00082729374	BUY 0 Units @0.0000						
09/04/2018	***-**-7138 - KUHNAST, GELLENA	CAPITAL WORLD BOND FUND-A	0.00	0.44	0.00	0.44	80.0	0.35
	ACCOUNT #: 00087360423	BUY 0 Units @0.0000						
09/04/2018	***-**-6948 - DIBBLE, MONTY	CAPITAL WORLD BOND FUND-A	0.00	1.29	0.00	1.29	80.0	1.03
	ACCOUNT #: 00087557561	BUY 0 Units @0.0000						
09/04/2018	***-**-781R - LONGLAND, PAULA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
09/04/2018	***-**-7138 - KUHNAST, GELLENA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.99	0.00	0.99	80.0	0.79
	ACCOUNT #: 00087360423	BUY 0 Units @0.0000						
09/04/2018	***-**-6948 - DIBBLE, MONTY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: 00087557561	BUY 0 Units @0.0000						
09/04/2018	***-**-3040 - KOTARY, BRANDY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.78	0.00	0.78	80.0	0.62
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
09/04/2018	***-**-4238 - STRASSER, JOANN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.93	0.00	2.93	80.0	2.34
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
09/04/2018	***-**-4017 - BURKEY, FRED	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	6.70	0.00	6.70	80.0	5.36
	ACCOUNT #: 00075679652	BUY 0 Units @0.0000						
09/04/2018	***-**-7077 - MISERCOLA, DIANE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.47	0.00	2.47	80.0	1.98
	ACCOUNT #: 00082441411	BUY 0 Units @0.0000						
09/04/2018	***-**-0147 - RIZZO, JAMES	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	22.65	0.00	22.65	80.0	18.12
	ACCOUNT #: 00088432565	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	EUROPACIFIC GROWTH FUND-A	0.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	EUROPACIFIC GROWTH FUND-A	0.00	3.82	0.00	3.82	80.0	3.06
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
09/04/2018	***-**-78IR - LONGLAND, PAULA	EUROPACIFIC GROWTH FUND-A	0.00	1.79	0.00	1.79	80.0	1.43
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
09/04/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	0.00	8.50	0.00	8.50	80.0	6.80
	ACCOUNT #: 00082729374	BUY 0 Units @0.0000						
09/04/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	0.00	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
09/04/2018	***-**-7067 - SMITH, CAROL	FUNDAMENTAL INVESTORS-A	0.00	3.86	0.00	3.86	80.0	3.09
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	9.65	0.00	9.65	80.0	7.72
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	FUNDAMENTAL INVESTORS-A	0.00	4.07	0.00	4.07	80.0	3.26
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	FUNDAMENTAL INVESTORS-A	0.00	5.65	0.00	5.65	80.0	4.52
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	0.00	8.36	0.00	8.36	80.0	6.69
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	FUNDAMENTAL INVESTORS-A	0.00	2.32	0.00	2.32	80.0	1.86
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	5.44	0.00	5.44	80.0	4.35
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
09/04/2018	***-**-8900 - MARTIN, JAMES	FUNDAMENTAL INVESTORS-A	0.00	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
09/04/2018	***-**-1433 - ANDERSON, NANCY	FUNDAMENTAL INVESTORS-A	0.00	1.78	0.00	1.78	80.0	1.42
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHHORN,	FUNDAMENTAL INVESTORS-A	0.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						



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BROKER #: A08
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-4017 - BURKEY, FRED	FUNDAMENTAL INVESTORS-A	0.00	4.20	0.00	4.20	80.0	3.36
	ACCOUNT #: 00075679652	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 00086934940	BUY 0 Units @0.0000						
09/05/2018	***-**-0683 - DAVIS, SHARON	HARTFORD INFLATION PLUS FUND CLASS	0.00	19.63	0.00	19.63	80.0	15.70
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
09/04/2018	***-**-2608 - GIAMAS, GREGORY	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
09/04/2018	***-**-7241 - LENIHAN IRR	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	20.47	0.00	20.47	80.0	16.38
	ACCOUNT #: 00084513371	BUY 0 Units @0.0000						
09/04/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	0.00	8.86	0.00	8.86	80.0	7.09
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
09/04/2018	***-**-7067 - SMITH, CAROL	NEW PERSPECTIVE FUND-A	0.00	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	NEW PERSPECTIVE FUND-A	0.00	9.31	0.00	9.31	80.0	7.45
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	NEW PERSPECTIVE FUND-A	0.00	3.10	0.00	3.10	80.0	2.48
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-2608 - GIAMAS, GREGORY	NEW PERSPECTIVE FUND-A	0.00	7.97	0.00	7.97	80.0	6.38
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	NEW PERSPECTIVE FUND-A	0.00	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	NEW PERSPECTIVE FUND-A	0.00	3.26	0.00	3.26	80.0	2.61
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	NEW PERSPECTIVE FUND-A	0.00	3.59	0.00	3.59	80.0	2.87
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						
09/04/2018	***-**-3040 - KOTARY, BRANDY	NEW PERSPECTIVE FUND-A	0.00	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
09/04/2018	***-**-4238 - STRASSER, JOANN	NEW PERSPECTIVE FUND-A	0.00	1.95	0.00	1.95	80.0	1.56
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHHORN,	NEW PERSPECTIVE FUND-A	0.00	2.14	0.00	2.14	80.0	1.71
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-4017 - BURKEY, FRED	NEW WORLD FUND-A	0.00	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 00075679652	BUY 0 Units @0.0000						
09/04/2018	***-**-2323 - BOLTON, DIANE	THE BOND FUND OF AMERICA-A	0.00	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00073510289	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	THE BOND FUND OF AMERICA-A	0.00	1.66	0.00	1.66	80.0	1.33
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	THE BOND FUND OF AMERICA-A	0.00	1.14	0.00	1.14	80.0	0.91
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	THE BOND FUND OF AMERICA-A	0.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	THE BOND FUND OF AMERICA-A	0.00	3.91	0.00	3.91	80.0	3.13
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-9134 - DARROW, DWIGHT	THE BOND FUND OF AMERICA-A	0.00	3.42	0.00	3.42	80.0	2.74
	ACCOUNT #: 00081787398	BUY 0 Units @0.0000						
09/04/2018	***-**-4982 - UPDEGROVE, ALMA	THE BOND FUND OF AMERICA-A	0.00	23.59	0.00	23.59	80.0	18.87
	ACCOUNT #: 00081820793	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	1.90	0.00	1.90	80.0	1.52
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						
09/04/2018	***-**-6802 - NAKANO, AMELIA	THE BOND FUND OF AMERICA-A	0.00	3.86	0.00	3.86	80.0	3.09
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
09/04/2018	***-**-8900 - MARTIN, JAMES	THE BOND FUND OF AMERICA-A	0.00	0.44	0.00	0.44	80.0	0.35
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 00084623430	BUY 0 Units @0.0000						
09/04/2018	***-**-5885 - HEPLER, MAY	THE BOND FUND OF AMERICA-A	0.00	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 00084623446	BUY 0 Units @0.0000						
09/04/2018	***-**-1433 - ANDERSON, NANCY	THE BOND FUND OF AMERICA-A	0.00	0.71	0.00	0.71	80.0	0.57
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
09/04/2018	***-**-78IR - LONGLAND, PAULA	THE BOND FUND OF AMERICA-A	0.00	2.19	0.00	2.19	80.0	1.75
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-3040 - KOTARY, BRANDY	THE BOND FUND OF AMERICA-A	0.00	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
09/04/2018	***-**-4238 - STRASSER, JOANN	THE BOND FUND OF AMERICA-A	0.00	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
09/04/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	0.00	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 00089449406	BUY 0 Units @0.0000						
09/04/2018	***-**-9727 - GATES, DOROTHY	THE BOND FUND OF AMERICA-A	0.00	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04000215167	BUY 0 Units @0.0000						
09/04/2018	***-**-9208 - COLUCCIO, MARY	THE BOND FUND OF AMERICA-A	0.00	7.35	0.00	7.35	80.0	5.88
	ACCOUNT #: 00060551224	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHORN,	THE BOND FUND OF AMERICA-A	0.00	5.86	0.00	5.86	80.0	4.69
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
09/04/2018	***-**-5925 - SANTA MARIA,	THE BOND FUND OF AMERICA-A	0.00	4.82	0.00	4.82	80.0	3.86
	ACCOUNT #: 00082083085	BUY 0 Units @0.0000						
09/04/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	0.00	6.68	0.00	6.68	80.0	5.34
	ACCOUNT #: 00082729374	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00086934940	BUY 0 Units @0.0000						
09/04/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	8.26	0.00	8.26	80.0	6.61
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
09/04/2018	***-**-7067 - SMITH, CAROL	THE GROWTH FUND OF AMERICA-A	0.00	4.37	0.00	4.37	80.0	3.50
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	11.55	0.00	11.55	80.0	9.24
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	THE GROWTH FUND OF AMERICA-A	0.00	5.13	0.00	5.13	80.0	4.10
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	THE GROWTH FUND OF AMERICA-A	0.00	8.07	0.00	8.07	80.0	6.46
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	0.00	9.64	0.00	9.64	80.0	7.71
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	5.33	0.00	5.33	80.0	4.26
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-5484 - KULCZYNSKI, ACCOUNT #: 00081538281	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.50	0.00	3.50	80.0	2.80
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00082295499	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.77	0.00	5.77	80.0	4.62
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00083299252	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.82	0.00	5.82	80.0	4.66
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00083468095	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.30	0.00	2.30	80.0	1.84
09/04/2018	***-**-8900 - MARTIN, JAMES ACCOUNT #: 00084439788	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	80.0	0.72
09/04/2018	***-**-1433 - ANDERSON, NANCY ACCOUNT #: 00085338654	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	80.0	2.78
09/04/2018	***-**-78IR - LONGLAND, PAULA ACCOUNT #: 00086809974	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.66	0.00	8.66	80.0	6.93
09/04/2018	***-**-3586 - WOODWORTH, ACCOUNT #: 00086918983	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	6.67	0.00	6.67	80.0	5.34
09/04/2018	***-**-7138 - KUHNAST, GELLENA ACCOUNT #: 00087360423	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	80.0	1.08
09/04/2018	***-**-6948 - DIBBLE, MONTY ACCOUNT #: 00087557561	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.13	0.00	3.13	80.0	2.50
09/04/2018	***-**-6759 - TARANTINO, GARY ACCOUNT #: 00089449406	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	80.0	1.20
09/04/2018	***-**-4165 - EICHHORN, ACCOUNT #: 00075441363	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	6.34	0.00	6.34	80.0	5.07
09/04/2018	***-**-4017 - BURKEY, FRED ACCOUNT #: 00075679652	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.99	0.00	3.99	80.0	3.19
09/04/2018	***-**-2270 - DANGLER, GINA ACCOUNT #: 00082492694	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	80.0	0.65
09/04/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00083088053	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	14.82	0.00	14.82	80.0	11.86
09/04/2018	***-**-3618 - VAILE, STACEY ACCOUNT #: 00083933946	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.65	0.00	4.65	80.0	3.72



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	15.88	0.00	15.88	80.0	12.70
	ACCOUNT #: 00086934940	BUY 0 Units @0.0000						
09/04/2018	***-**-0147 - RIZZO, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	33.29	0.00	33.29	80.0	26.63
	ACCOUNT #: 00088432565	BUY 0 Units @0.0000						
09/04/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	9.52	0.00	9.52	80.0	7.62
	ACCOUNT #: 00089665845	BUY 0 Units @0.0000						
09/05/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD ADVISERS FUND-C	0.00	17.11	0.00	17.11	80.0	13.69
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
09/05/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	22.51	0.00	22.51	80.0	18.01
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
09/04/2018	***-**-2885 - BISHOP, DAVID	THE INCOME FUND OF AMERICA-A	0.00	4.69	0.00	4.69	80.0	3.75
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
09/04/2018	***-**-7067 - SMITH, CAROL	THE INCOME FUND OF AMERICA-A	0.00	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	15.28	0.00	15.28	80.0	12.22
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	THE INCOME FUND OF AMERICA-A	0.00	5.74	0.00	5.74	80.0	4.59
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	THE INCOME FUND OF AMERICA-A	0.00	10.30	0.00	10.30	80.0	8.24
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	THE INCOME FUND OF AMERICA-A	0.00	8.89	0.00	8.89	80.0	7.11
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	THE INCOME FUND OF AMERICA-A	0.00	4.05	0.00	4.05	80.0	3.24
	ACCOUNT #: 00082295499	BUY 0 Units @0.0000						
09/04/2018	***-**-6403 - GARGUILO, JU	THE INCOME FUND OF AMERICA-A	0.00	12.66	0.00	12.66	80.0	10.13
	ACCOUNT #: 00084100700	BUY 0 Units @0.0000						
09/04/2018	***-**-8900 - MARTIN, JAMES	THE INCOME FUND OF AMERICA-A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
09/04/2018	***-**-1433 - ANDERSON, NANCY	THE INCOME FUND OF AMERICA-A	0.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHORN,	THE INCOME FUND OF AMERICA-A	0.00	6.26	0.00	6.26	80.0	5.01
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-5925 - SANTA MARIA, ACCOUNT #: 00082083085	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.19	0.00	10.19	80.0	8.15
09/04/2018	***-**-7077 - MISERCOLA, DIANE ACCOUNT #: 00082441411	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	80.0	1.91
09/04/2018	***-**-5484 - KULCZYNSKI, ACCOUNT #: 00081538281	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	80.0	0.98
09/04/2018	***-**-7138 - KUHNAST, GELLEN ACCOUNT #: 00087360423	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	1.12	0.00	1.12	80.0	0.90
09/04/2018	***-**-5280 - KIRBY, JENNIFER ACCOUNT #: 00087401450	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	2.63	0.00	2.63	80.0	2.10
09/04/2018	***-**-6948 - DIBBLE, MONTY ACCOUNT #: 00087557561	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	2.73	0.00	2.73	80.0	2.18
09/04/2018	***-**-8238 - ASMUS, MICHAEL ACCOUNT #: 00074487927	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	28.34	0.00	28.34	80.0	22.67
09/04/2018	***-**-3510 - GUGINO, ROBIN ACCOUNT #: 00075079307	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	4.62	0.00	4.62	80.0	3.70
09/04/2018	***-**-6802 - NAKANO, AMELIA ACCOUNT #: 00082853395	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	8.06	0.00	8.06	80.0	6.45
09/04/2018	***-**-3586 - WOODWORTH, ACCOUNT #: 00086918983	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	3.43	0.00	3.43	80.0	2.74
09/04/2018	***-**-7077 - MISERCOLA, DIANE ACCOUNT #: 00082441411	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	80.0	2.77
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00084623418	U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000	0.00	5.23	0.00	5.23	80.0	4.18
09/04/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00084623430	U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000	0.00	10.24	0.00	10.24	80.0	8.19
09/04/2018	***-**-5885 - HEPLER, MAY ACCOUNT #: 00084623446	U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000	0.00	15.41	0.00	15.41	80.0	12.33
09/04/2018	***-**-9727 - GATES, DOROTHY ACCOUNT #: 04000215167	U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000	0.00	2.35	0.00	2.35	80.0	1.88
09/04/2018	***-**-1063 - DUSABLON, ANGELA ACCOUNT #: 00084530289	U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000	0.00	5.22	0.00	5.22	80.0	4.18



COMMISSION STATEMENT

September 17, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	15.91	0.00	15.91	80.0	12.73
	ACCOUNT #: 00084530390	BUY 0 Units @0.0000						
09/04/2018	***-**-2095 - MARONEY, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.36	0.00	6.36	80.0	5.09
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
09/04/2018	***-**-3510 - GUGINO, ROBIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.25	0.00	3.25	80.0	2.60
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
09/04/2018	***-**-1760 - MAJORS, JANET	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.15	0.00	1.15	80.0	0.92
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
09/04/2018	***-**-13CL - BERG, C, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.36	0.00	3.36	80.0	2.69
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
09/04/2018	***-**-5484 - KULCZYNSKI,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.80	0.00	2.80	80.0	2.24
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	5.04	0.00	5.04	80.0	4.03
	ACCOUNT #: 00082295499	BUY 0 Units @0.0000						
09/04/2018	***-**-6802 - NAKANO, AMELIA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.75	0.00	6.75	80.0	5.40
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	5.11	0.00	5.11	80.0	4.09
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
09/04/2018	***-**-78IR - LONGLAND, PAULA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	14.73	0.00	14.73	80.0	11.78
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
09/04/2018	***-**-3586 - WOODWORTH,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00086918983	BUY 0 Units @0.0000						
09/04/2018	***-**-3040 - KOTARY, BRANDY	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
09/04/2018	***-**-4238 - STRASSER, JOANN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.70	0.00	2.70	80.0	2.16
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
09/04/2018	***-**-4165 - EICHORN,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.73	0.00	6.73	80.0	5.38
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
09/04/2018	***-**-7077 - MISERCOLA, DIANE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.86	0.00	2.86	80.0	2.29
	ACCOUNT #: 00082441411	BUY 0 Units @0.0000						
09/04/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	11.45	0.00	11.45	80.0	9.16
	ACCOUNT #: 00082729374	BUY 0 Units @0.0000						



BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>ADJUSTMENTS (continued)</div>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,221.97	\$0.00	\$1,221.97		\$977.57
		*** BROKER TRANSACTIONS TOTAL ***	\$475.00	\$1,240.30	\$0.00	\$1,240.30		\$992.22
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$992.22
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$56.95
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,049.17
						Year-to-date Earnings		\$5,587.39