

## COMMISSION STATEMENT

November 30, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTERTAINMENT CORP REGIS B	10,950.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTERTAINMENT CORP REGIS B	7,300.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTERTAINMENT CORP REGIS S	18,250.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG B	6,800.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	2,516.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG B	34,000.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	1,717.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	3,723.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	1,258.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	1,768.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	2,227.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	2,465.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	153.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	4,250.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	2,499.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	5,032.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	3,774.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	1,037.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	850.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG B	6,800.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	1,258.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	10,982.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	850.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599821	RF LAFFERTY & CO	LIONS GATE ENTMT CORP CL B NON VTG S	1,241.00	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599821	RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B	2,940.56	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599821	RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B	2,940.56	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599821	RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E S	7,841.48	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599821	RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B	1,960.37	0.00	0.00	0.00	80.0	0.00
11/05/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A B	49,958.97	0.00	0.00	0.00	80.0	0.00
11/05/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A S	49,959.00	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599821	RF LAFFERTY & CO	BOEING CO B	31,835.91	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/06/2018	75599821 RF LAFFERTY & CO	BOEING CO	S	109,779.00	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599821 RF LAFFERTY & CO	BOEING CO	B	36,593.00	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599821 RF LAFFERTY & CO	BOEING CO	B	4,757.09	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599821 RF LAFFERTY & CO	BOEING CO	B	36,593.00	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599821 RF LAFFERTY & CO	XYLEM INC COM	S	48,566.00	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599821 RF LAFFERTY & CO	XYLEM INC COM	B	48,565.93	0.00	0.00	0.00	80.0	0.00
11/07/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	S	59,178.00	0.00	0.00	0.00	80.0	0.00
11/07/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	43,495.83	0.00	0.00	0.00	80.0	0.00
11/07/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	15,682.17	0.00	0.00	0.00	80.0	0.00
11/07/2018	75599821 RF LAFFERTY & CO	ROSS STORES INC	B	10,224.00	0.00	0.00	0.00	80.0	0.00
11/07/2018	75599821 RF LAFFERTY & CO	ROSS STORES INC	B	61,344.00	0.00	0.00	0.00	80.0	0.00
11/07/2018	75599821 RF LAFFERTY & CO	ROSS STORES INC	S	71,568.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	CATERPILLAR INC	B	81,022.36	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	CATERPILLAR INC	B	13,348.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	CATERPILLAR INC	B	12,146.68	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	CATERPILLAR INC	S	106,784.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	CATERPILLAR INC	B	266.96	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	DOWDUPONT INC COMMON STOCK	S	12,027.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	DOWDUPONT INC COMMON STOCK	B	12,027.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	DOWDUPONT INC COMMON STOCK	B	12,027.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	DOWDUPONT INC COMMON STOCK	S	12,027.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	INTERNATIONAL PAPER CO	S	47,320.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	INTERNATIONAL PAPER CO	B	37,382.80	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	INTERNATIONAL PAPER CO	B	9,937.20	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	S	10,600.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	S	10,600.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	4,240.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,120.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,120.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,120.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,120.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	4,240.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	4,240.00	0.00	0.00	0.00	80.0	0.00
11/08/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,120.00	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	APPIAN CORP CL A	S	5,094.18	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	APPIAN CORP CL A	B	5,094.18	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	EMERSON ELECTRIC CO	S	6,857.00	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	EMERSON ELECTRIC CO	B	6,857.00	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		24,448.80	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		122,223.67	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		24,448.80	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/12/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		36,663.00	0.00	0.00	0.00	80.0	0.00
11/12/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		36,664.70	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG B		4,980.00	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG B		8,092.50	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG B		8,266.80	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG S		49,800.00	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG B		9,337.50	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG B		2,490.00	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599821 RF LAFFERTY & CO	JPMORGAN CHASE & CO DEP SHS REPSTG B		16,633.20	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	BOEING CO	S	3,114.90	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	BOEING CO	S	33,225.60	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	BOEING CO	B	103,830.00	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	BOEING CO	S	67,489.50	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	ROSS STORES INC	B	69,230.00	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	ROSS STORES INC	S	69,230.07	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	S	47,446.00	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	23,723.00	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	23,723.00	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	TOTAL SYSTEM SERVICES INC	B	26,416.26	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	TOTAL SYSTEM SERVICES INC	S	38,303.49	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	TOTAL SYSTEM SERVICES INC	S	440.38	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	TOTAL SYSTEM SERVICES INC	B	6,163.79	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	TOTAL SYSTEM SERVICES INC	B	6,163.79	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	XYLEM INC COM	B	47,460.00	0.00	0.00	0.00	80.0	0.00
11/14/2018	75599821 RF LAFFERTY & CO	XYLEM INC COM	S	47,460.07	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	329,914.65	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	659,895.60	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	329,980.95	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	7,550.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	30,900.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	38,450.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	BROADRIDGE FINANCIAL SOLUTIONS INC	B	10,145.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	BROADRIDGE FINANCIAL SOLUTIONS INC	B	5,072.50	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	BROADRIDGE FINANCIAL SOLUTIONS INC	B	10,145.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	BROADRIDGE FINANCIAL SOLUTIONS INC	S	35,507.50	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	BROADRIDGE FINANCIAL SOLUTIONS INC	B	10,145.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	B	5,037.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	B	10,074.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	S	100.74	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	B	10,074.00	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	S	1,611.84	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	B	10,074.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	S	10,074.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	DANAHER CORPORATION	S	23,472.42	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	S	9,778.80	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	B	50,580.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	S	6,744.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	S	33,720.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	S	337.20	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	B	5,739.54	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	19,131.80	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	B	5,739.54	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	19,131.80	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	19,131.80	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	11,479.08	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	B	76,527.20	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	19,131.80	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	SCHLUMBERGER LTD	B	48,150.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599821 RF LAFFERTY & CO	SCHLUMBERGER LTD	S	48,150.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	8,736.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	65,520.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	60,060.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	14,196.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	APPLE INC	B	269,235.60	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	APPLE INC	S	179,089.10	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	APPLE INC	S	90,146.50	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	BARCLAYS BANK PLC IPATH S&P 500 VIX B		79,511.80	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	BARCLAYS BANK PLC IPATH S&P 500 VIX S		39,485.90	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	BARCLAYS BANK PLC IPATH S&P 500 VIX S		40,025.90	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	CALLOWAYS NURSERY INC	S	22,174.50	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	CALLOWAYS NURSERY INC	B	5,130.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	CALLOWAYS NURSERY INC	B	17,044.50	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SERIES C B		19,425.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SERIES C B		18,655.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SERIES C S		18,655.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SERIES C S		-19,425.00	0.00	0.00	0.00	80.0	0.00 C
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	7,648.55	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	7,652.85	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	7,649.50	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	7,652.31	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	7,652.31	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	7,652.31	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	7,652.31	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	7,652.31	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	7,657.50	0.00	0.00	0.00	80.0	0.00
11/21/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	7,653.15	0.00	0.00	0.00	80.0	0.00
11/23/2018	75599821 RF LAFFERTY & CO	EXXON MOBIL CORPORATION	S	75,000.00	0.00	0.00	0.00	80.0	0.00
11/23/2018	75599821 RF LAFFERTY & CO	EXXON MOBIL CORPORATION	B	75,000.00	0.00	0.00	0.00	80.0	0.00
11/23/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	158,520.00	0.00	0.00	0.00	80.0	0.00
11/23/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	317,070.00	0.00	0.00	0.00	80.0	0.00
11/23/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	158,550.00	0.00	0.00	0.00	80.0	0.00
11/26/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	39,450.00	0.00	0.00	0.00	80.0	0.00
11/26/2018	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	39,450.00	0.00	0.00	0.00	80.0	0.00
11/27/2018	75599821 RF LAFFERTY & CO	GOVERNMENT PROPERTIES INCOME TRUST	S	12,478.80	0.00	0.00	0.00	80.0	0.00
11/27/2018	75599821 RF LAFFERTY & CO	GOVERNMENT PROPERTIES INCOME TRUST	B	12,478.80	0.00	0.00	0.00	80.0	0.00
11/27/2018	75599821 RF LAFFERTY & CO	SACHEM CAPITAL CORP COMMON SHARES	S	9,875.00	0.00	0.00	0.00	80.0	0.00
11/27/2018	75599821 RF LAFFERTY & CO	SACHEM CAPITAL CORP COMMON SHARES	B	9,875.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599821 RF LAFFERTY & CO	WELLS FARGO & CO	B	27,105.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599821 RF LAFFERTY & CO	WELLS FARGO & CO	S	108,420.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599821 RF LAFFERTY & CO	WELLS FARGO & CO	B	27,105.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599821 RF LAFFERTY & CO	WELLS FARGO & CO	B	27,105.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599821 RF LAFFERTY & CO	WELLS FARGO & CO	B	27,105.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	B	138,015.83	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	4,885.52	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	17,099.31	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	2,442.76	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	3,664.14	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	10,381.72	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	3,053.45	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	4,885.52	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	1,221.38	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	4,885.52	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	17,710.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	10,992.41	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	22,595.51	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	7,328.27	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	4,274.83	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	9,160.34	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	4,274.83	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	2,442.76	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/30/2018	75599823 RF LAFFERTY & CO	ACUITY BRANDS INC	S	6,717.58	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	2,411.54	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	11,093.07	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	17,363.07	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	23,150.75	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	17,845.37	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	7,716.92	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	4,823.07	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	6,752.30	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	9,646.15	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	4,340.77	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	1,446.92	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	4,823.07	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	2,893.84	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	4,823.07	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	3,858.46	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	B	140,351.45	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	3,858.46	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	2,893.84	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	CARLISLE COMPANIES INC	S	10,610.76	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	4,820.04	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	7,631.73	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	2,008.35	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	4,820.04	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	1,205.01	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	2,811.69	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	10,845.09	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	4,820.04	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	10,845.09	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	4,016.70	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	18,075.15	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	4,418.37	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	10,041.75	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	7,230.06	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	2,811.69	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	23,296.86	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	4,820.04	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	17,271.81	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	S	141,789.51	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	2,736.72	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	17,515.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	4,926.09	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	2,736.72	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	2,189.37	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	1,642.03	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	10,399.53	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	17,515.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	7,662.81	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	4,926.09	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	22,988.43	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	6,568.12	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	4,926.09	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	3,831.41	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	9,852.19	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	B	139,572.63	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	4,378.75	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	10,946.87	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599823 RF LAFFERTY & CO	TJX COMPANIES INC NEW	S	3,831.41	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	B	85,351.57	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,246.09	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,994.79	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	14,225.26	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	5,240.89	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,994.79	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	4,492.19	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	11,230.47	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	6,738.28	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	4,492.19	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,246.09	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,246.09	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	1,497.40	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	748.70	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	1,497.40	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	10,481.77	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,994.79	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	2,994.79	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	5,989.58	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	3,135.95	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,567.98	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	4,703.93	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	10,975.83	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	B	86,238.63	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	10,975.83	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	3,135.95	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	6,271.90	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	3,135.95	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,567.98	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	14,111.78	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	3,135.95	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	6,271.90	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,567.98	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	7,839.88	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,567.98	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	4,703.93	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,567.98	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	9,915.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	3,966.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	7,601.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	18,177.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	2,974.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	4,957.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	4,957.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	17,516.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	2,644.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	4,627.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	23,796.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	B	142,445.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	11,237.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	1,322.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	6,940.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	4,957.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	2,313.50	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	10,576.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	METHANEX CORP	S	3,966.00	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	18,180.90	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	17,817.28	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	4,727.03	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	6,908.74	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	B	141,811.02	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	4,727.03	0.00	0.00	0.00	80.0	0.00



COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	4,363.42	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	1,454.47	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	11,272.16	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	2,181.71	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	3,999.80	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	2,908.94	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	10,544.92	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	23,635.17	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	7,635.98	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	9,817.69	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	4,727.03	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	3,999.80	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	MKS INSTRUMENTS INC	S	2,908.94	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	B	84,673.75	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	7,110.01	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	14,220.02	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	2,585.46	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	10,988.20	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	3,878.19	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	2,585.46	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	2,585.46	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	2,585.46	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	2,585.46	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	10,988.20	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	646.36	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	1,939.09	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	4,524.55	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	1,939.09	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	6,463.65	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	5,817.28	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	1,939.09	0.00	0.00	0.00	80.0	0.00
10/31/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	1,292.73	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		177,220.00	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		120,363.71	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		71,714.03	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		65,116.55	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		90,755.52	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		140,638.88	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		42,856.77	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		125,352.04	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT B		1,554,536.98	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		167,565.16	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		192,077.74	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		137,206.04	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		34,811.07	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		62,381.01	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		29,286.36	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SELECT SECTOR SPDR TRUST THE UTILIT S		97,192.08	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	85,062.00	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	351,662.07	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	142,404.11	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	272,307.10	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	3,085,875.40	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	192,952.14	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	129,359.46	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	58,157.41	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	248,663.67	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	180,179.25	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	238,880.18	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	333,182.14	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	381,284.30	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	279,101.19	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	69,027.95	0.00	0.00	0.00	80.0	0.00
11/01/2018	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	123,652.43	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	11,441.28	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	17,161.93	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	18,592.09	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,290.48	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,290.48	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,860.32	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	7,150.80	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	11,441.28	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	24,312.73	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	7,150.80	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	10,011.12	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,290.48	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,290.48	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	1,430.16	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	B	143,016.05	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,290.48	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,290.48	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,860.32	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,860.32	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	12,169.77	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	8,113.18	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	20,282.95	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	4,867.91	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	1,622.64	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	26,773.49	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	8,924.50	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	5,679.23	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	5,679.23	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	4,867.91	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	12,981.09	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	3,245.27	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	2,433.95	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	4,867.91	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	3,245.27	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	S	163,886.24	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	21,094.27	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	5,679.23	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	BIO TECHNE CORP COM	B	11,358.45	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	27,208.81	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	1,813.92	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	2,720.88	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	S	162,345.90	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	20,860.09	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	4,534.80	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	5,441.76	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	11,337.00	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	12,697.44	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	19,953.13	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	8,616.12	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	3,174.36	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	12,243.96	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	5,441.76	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	5,441.76	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	7,709.16	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	4,988.28	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	3,174.36	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/16/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	4,988.28	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	B	143,097.81	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	5,090.44	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	11,312.08	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	9,615.27	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	17,533.72	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	3,959.23	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	4,524.83	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	1,696.81	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	2,828.02	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	2,262.42	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	2,828.02	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	23,755.37	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	5,090.44	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	10,746.48	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	3,959.23	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	18,099.33	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	7,918.46	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	6,787.25	0.00	0.00	0.00	80.0	0.00
11/16/2018	75599823 RF LAFFERTY & CO	LILLY ELI & CO	S	5,090.44	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	21,159.16	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	9,068.21	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	6,045.48	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	13,300.05	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	3,264.56	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	6,045.48	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	3,627.29	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	S	169,515.12	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	5,440.93	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	7,859.12	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	12,695.50	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	27,809.19	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	2,418.19	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	21,763.71	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	4,836.38	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	4,836.38	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	1,813.64	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	11,486.40	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	6,045.48	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	6,988.31	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	B	141,862.70	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	10,482.47	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	4,192.99	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	7,687.14	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	1,397.66	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	4,891.82	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	9,783.63	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	2,795.32	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	4,891.82	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	4,192.99	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	11,181.30	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	2,096.49	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	4,192.99	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	4,891.82	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	18,169.61	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	2,795.32	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	17,470.78	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	23,760.26	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	B	65,610.83	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,654.05	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	2,205.41	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	11,027.03	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	8,270.27	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	5,513.52	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,102.70	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	8,270.27	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,102.70	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	2,205.41	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	4,962.16	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,102.70	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	3,308.11	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,654.05	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	4,410.81	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,102.70	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	2,205.41	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,654.05	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	3,859.46	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	3,908.92	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	2,792.09	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	3,350.50	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	B	178,135.02	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	22,895.10	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	1,675.25	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	6,142.59	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	6,142.59	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	5,025.75	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	8,376.26	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	13,402.01	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	5,025.75	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	5,584.17	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	22,336.68	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	29,596.10	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	6,142.59	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	13,960.43	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	9,493.09	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	AMERICAN EXPRESS COMPANY (N.Y)	S	12,285.17	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,881.19	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	1,440.60	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,321.79	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	1,440.60	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	1,440.60	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,881.19	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,881.19	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	8,643.57	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	5,762.38	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,321.79	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	1,440.60	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	1,440.60	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	11,524.76	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	B	70,589.18	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	4,321.79	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	10,084.17	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,881.19	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	ANTHEM INC COM	S	2,881.19	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	9,149.76	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	7,842.65	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	44,441.71	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	18,299.53	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	5,228.44	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	S	266,650.24	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	5,228.44	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	3,921.33	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	20,913.74	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	2,614.22	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	33,984.83	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	14,378.20	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	9,149.76	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	13,071.09	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	7,842.65	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	32,677.73	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	20,913.74	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	7,842.65	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599823 RF LAFFERTY & CO	NORTHROP GRUMMAN CORP	B	9,149.76	0.00	0.00	0.00	80.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$20,736,786.10</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
11/13/2018	75599820 RF LAFFERTY & CO	CAPITAL TR AGY FLA MULTIFAMILY GMF- B		80,934.75	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599820 RF LAFFERTY & CO	CAPITAL TR AGY FLA MULTIFAMILY GMF- S		81,122.25	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599820 RF LAFFERTY & CO	GENERAL ELECTRIC COMPANY 5.00% FIXE S		24,375.00	0.00	0.00	0.00	80.0	0.00
11/13/2018	75599820 RF LAFFERTY & CO	GENERAL ELECTRIC COMPANY 5.00% FIXE B		23,850.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	14,930.50	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	14,980.50	0.00	0.00	0.00	80.0	0.00
11/27/2018	75599821 RF LAFFERTY & CO	GWG HLDGS INC -L BD 5.5%20	B	96,750.00	0.00	0.00	0.00	80.0	0.00
11/27/2018	75599821 RF LAFFERTY & CO	GWG HLDGS INC -L BD 5.5%20	S	100,000.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599826 RF LAFFERTY & CO	***CREDIT SUISSE LONDON SR NT	B	12,500.00	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599826 RF LAFFERTY & CO	CITIGROUP GLOBAL MKTS HLDGS IN RGE S	S	9,737.50	0.00	0.00	0.00	80.0	0.00
11/19/2018	75599826 RF LAFFERTY & CO	CITIGROUP GLOBAL MKTS HLDGS IN RGE B	B	9,650.00	0.00	0.00	0.00	80.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$468,830.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
11/20/2018	75599821 RF LAFFERTY & CO	CALL AABA 11/16/18 66.50	B	8,503.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	CALL AABA 11/16/18 66.50	S	6,713.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599821 RF LAFFERTY & CO	CALL AABA 11/16/18 66.50	S	1,790.00	0.00	0.00	0.00	80.0	0.00
<b>* OPTIONS SUBTOTAL *</b>				<b>\$17,006.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
11/30/2018	75502164 BENNETT, EVERRETT	FAS FEES PT. I/II	B	216.71	0.00	0.00	0.00	80.0	0.00
<b>* RIA SUBTOTAL *</b>				<b>\$216.71</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018	FEE COUNT - 23			0.00	35.01	0.00	35.01	80.0	28.01
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$35.01	\$0.00	\$35.01		\$28.01
	*** BROKER TRANSACTIONS TOTAL ***			\$21,222,839.31	\$35.01	\$0.00	\$35.01		\$28.01

BROKER COMMISSION TOTALS

Broker Transactions	\$28.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.04
SIPC Assessment	\$-0.09

Check Amount	\$27.88
Year-to-date Earnings	\$666.90