



COMMISSION STATEMENT

November 26, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: 01489789329	BUY 15 Units @13.1900						
		* MUTUAL FUNDS SUBTOTAL *	\$200.00	\$3.00	\$0.00	\$3.00		\$2.70
<u>PRODUCT CATEGORY: STOCKS</u>								
11/16/2018	***-**-48IN - ARNOLD, CAROL	AMARIN CORP ADR EACH REP 1 ORD GBP0	444.90	19.00	7.75	11.25	90.0	10.13
	ACCOUNT #: LSR012898	BUY 25 Units @17.7960						
11/19/2018	***-**-450I - ROSENBLATT, MARK	AMARIN CORP ADR EACH REP 1 ORD GBP0	8,690.00	52.53	12.50	40.03	90.0	36.03
	ACCOUNT #: LSR012160	BUY 500 Units @17.3800						
11/21/2018	***-**-75IN - WATSON, SANDRA	AMARIN CORP ADR EACH REP 1 ORD GBP0	9,282.00	53.53	12.50	41.03	90.0	36.93
	ACCOUNT #: LSR011049	BUY 500 Units @18.5640						
11/16/2018	***-**-48IN - ARNOLD, CAROL	KUSHCO HLDGS INC COM	474.12	19.00	9.00	10.00	90.0	9.00
	ACCOUNT #: LSR012898	BUY 90 Units @5.2680						
11/21/2018	***-**-75IN - WATSON, SANDRA	KUSHCO HLDGS INC COM	1,018.00	23.41	9.00	14.41	90.0	12.97
	ACCOUNT #: LSR011049	BUY 200 Units @5.0900						
11/21/2018	***-**-75IN - WATSON, SANDRA	KUSHCO HLDGS INC COM	508.00	4.32	0.00	4.32	90.0	3.89
	ACCOUNT #: LSR011049	BUY 100 Units @5.0800						
11/21/2018	***-**-75IN - WATSON, SANDRA	KUSHCO HLDGS INC COM	1,020.00	8.43	0.00	8.43	90.0	7.59
	ACCOUNT #: LSR011049	BUY 200 Units @5.1000						
11/19/2018	***-**-450I - ROSENBLATT, MARK	SECTOR SPDR TR SHS BEN INT INDUSTRI	3,549.50	27.00	8.00	19.00	90.0	17.10
	ACCOUNT #: LSR012160	SELL 50 Units @70.9900						
11/19/2018	***-**-450I - ROSENBLATT, MARK	SELECT SECTOR SPDR FD HEALTH CARE	5,456.16	27.00	8.10	18.90	90.0	17.01
	ACCOUNT #: LSR012160	SELL 60 Units @90.9360						
		* STOCKS SUBTOTAL *	\$30,442.68	\$234.22	\$66.85	\$167.37		\$150.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/21/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$500.00	\$7.50	\$0.00	\$7.50		\$6.75



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
11/21/2018	***-**-24IO - BONGORT, ACCOUNT #: LSR009792	AGNC INVT CORP COM UNSOLICITED ORDE SELL 65 Units @17.4940	1,137.11	24.42	12.00	12.42	90.0	11.18
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$1,137.11	\$24.42	\$12.00	\$12.42		\$11.18
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
11/26/2018	***-**-1915 - VAGLIO, JOSEPH ACCOUNT #: 008567826	PENN LIFE VUL BUY 1 Units @0.0000	0.00	7.97	0.00	7.97	90.0	7.17
11/26/2018	***-**-5572 - MORRISON, ACCOUNT #: 008568575	PENN LIFE VUL BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	90.0	0.05
11/26/2018	***-**-8826 - LAFRANCE, ACCOUNT #: 008570988	PENN LIFE VUL BUY 1 Units @0.0000	0.00	7.80	0.00	7.80	90.0	7.02
11/26/2018	***-**-8268 - LEHMANN ACCOUNT #: 008568268	PENN LIFE VUL BUY 1 Units @0.0000	0.00	129.59	0.00	129.59	90.0	116.63
11/26/2018	***-**-8374 - GURIAN ACCOUNT #: 008568374	PENN LIFE VUL BUY 1 Units @0.0000	0.00	3.99	0.00	3.99	90.0	3.59
11/26/2018	***-**-6154 - TURPAN, PETER	PENN LIFE VUL BUY 1 Units @0.0000	0.00	11.90	0.00	11.90	90.0	10.71
11/26/2018	***-**-8826 - LAFRANCE, ACCOUNT #: 008570988	PENN LIFE VUL BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	90.0	0.32
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$161.66	\$0.00	\$161.66		\$145.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/18/2018	***-**-9012 - GIUFFRE, RICHARD ACCOUNT #: VP65345830	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	0.00	6.19	0.00	6.19	90.0	5.57
11/18/2018	***-**-9012 - GIUFFRE, RICHARD ACCOUNT #: VP65345830	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	0.00	6.19	0.00	6.19	90.0	5.57
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$12.38	\$0.00	\$12.38		\$11.14
		*** BROKER TRANSACTIONS TOTAL ***	\$32,279.79	\$443.18	\$78.85	\$364.33		\$327.91



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: RICHARD PASCALE</u>								
11/26/2018	***-**-1994 - ATA FREIGHT LINE	ARA06 BUY 1 Units @0.0000	0.00	13.28	0.00	13.28	90.0	11.95
11/18/2018	***-**-0651 - NOSTRAMO, LORI	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	102.00	1.02	0.00	1.02	90.0	0.92
11/19/2018	***-**-6385 - MALONEY, DONNA	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	51.00	0.39	0.00	0.39	90.0	0.35
11/19/2018	***-**-6385 - MALONEY, DONNA	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	51.00	0.39	0.00	0.39	90.0	0.35
11/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	1,600.00	8.00	0.00	8.00	90.0	7.20
11/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	1,600.00	8.00	0.00	8.00	90.0	7.20
11/26/2018	***-**-693I - PONTURO, ANTHONY	PENN LIFE VUL BUY 1 Units @0.0000	0.00	5.40	0.00	5.40	90.0	4.86
11/26/2018	***-**-6780 - SERRINS, RICHARD	PENN LIFE VUL BUY 1 Units @0.0000	0.00	5.49	0.00	5.49	90.0	4.94
* RICHARD PASCALE SUBTOTAL *			\$3,404.00	\$41.97	\$0.00	\$41.97		\$37.77
*** SPLIT TRANSACTIONS TOTAL ***			\$3,404.00	\$41.97	\$0.00	\$41.97		\$37.77

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
11/12/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A BUY 1 Units @39.8200	25.00	1.25	0.00	1.25	7.5	0.09
* ALAN FISHMAN SUBTOTAL *			\$25.00	\$1.25	\$0.00	\$1.25		\$0.09
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25.00	\$1.25	\$0.00	\$1.25		\$0.09



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
								\$327.91
								\$37.77
								\$0.09
								\$0.00
								\$0.00
								\$0.00
								\$0.00
								\$0.00
								\$0.00
								\$0.00
								\$0.00
							Net Commission Earned	\$365.77
							Year-to-date Earnings	\$60,320.32