



COMMISSION STATEMENT

November 12, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2018	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @28.2900						
11/01/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @28.2300						
10/30/2018	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @61.5000						
11/01/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @61.2500						
10/30/2018	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @63.7500						
11/02/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	999.99	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 73 Units @13.6800						
11/07/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 118 Units @10.6300						
10/30/2018	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @44.3000						
10/22/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
10/22/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
11/02/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @53.7200						
11/02/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 9 Units @23.2000						
11/01/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @46.9300						
* MUTUAL FUNDS SUBTOTAL *			\$3,476.71	\$54.77	\$0.00	\$54.77		\$46.59



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/22/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$65.28	\$0.65	\$0.00	\$0.65		\$0.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/03/2018	***-**-859I - CAMPBELL, GERALD ING	ADVANTAGE SELECT	0.00	16.56	0.00	16.56	85.0	14.08
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$16.56	\$0.00	\$16.56		\$14.08
		*** BROKER TRANSACTIONS TOTAL ***	\$3,541.99	\$71.98	\$0.00	\$71.98		\$61.22

BROKER COMMISSION TOTALS

Broker Transactions	\$61.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$61.22
Year-to-date Earnings	\$113,125.15