



COMMISSION STATEMENT

November 19, 2018

DEVIN BORN
128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/19/2018	***-**-33IN - SCHWEMMER, JOHN	FIDELITY ADDITIONAL DEPOSIT BUY 0 Units @0.0000	100.00	5.00	0.00	5.00	75.0	3.75
11/02/2018	***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 17 Units @11.4500	200.00	7.50	0.00	7.50	75.0	5.63
11/13/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011842	FIDELITY ADV HIGH INCOME ADVANTAGE SELL 92 Units @10.8700	1,000.00	0.00	18.00	-18.00	100.0	-18.00
11/01/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 2 Units @20.2700	50.00	1.25	0.00	1.25	75.0	0.94
11/13/2018	***-**-8879 - ILLIANO, MARIO ACCOUNT #: 00084446460	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8700	75.00	3.19	0.00	3.19	75.0	2.39
* MUTUAL FUNDS SUBTOTAL *			\$1,425.00	\$16.94	\$18.00	\$-1.06		\$-5.29
<u>PRODUCT CATEGORY: 529 PLAN</u>								
11/01/2018	***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085	FA 529 PORT 2019-OLD CL A BUY 2 Units @20.7900	50.00	1.25	0.00	1.25	75.0	0.94
11/01/2018	***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	FA 529 PORT 2022-CL A BUY 4 Units @27.2800	100.00	3.00	0.00	3.00	75.0	2.25
11/01/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	FA 529 PORT 2022-CL A BUY 2 Units @27.1400	50.00	1.25	0.00	1.25	75.0	0.94
11/01/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	FA 529 PORT 2028-CL A BUY 2 Units @28.0400	50.00	1.25	0.00	1.25	75.0	0.94
* 529 PLAN SUBTOTAL *			\$250.00	\$6.75	\$0.00	\$6.75		\$5.07
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2018	***-**-90IO - LUBAS, AMY ACCOUNT #: LSR011748	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 1 Units @0.0000	0.00	12.93	0.00	12.93	75.0	9.70
10/19/2018	***-**-36IO - CEGANICK, ACCOUNT #: LSM000176	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	0.00	5.34	0.00	5.34	75.0	4.01
10/19/2018	***-**-467X - POPP, ANN ACCOUNT #: LSR012830	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.08	0.00	4.08	75.0	3.06
10/19/2018	***-**-857I - GRIESEMER, ACCOUNT #: LSR012863	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	2.14	0.00	2.14	75.0	1.61



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-57TR - GRIESEMER LIVING	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: LSR012870	BUY 1 Units @0.0000						
11/14/2018	***-**-94IR - BARRETT, JAMES	BALANCED A	0.00	0.83	0.00	0.83	75.0	0.62
	ACCOUNT #: 00002174510	BUY 0 Units @0.0000						
11/14/2018	***-**-6725 - BARRETT, TRACIE	BALANCED A	0.00	0.83	0.00	0.83	75.0	0.62
	ACCOUNT #: 00002174511	BUY 0 Units @0.0000						
11/14/2018	***-**-9897 - ZIMMERMAN, PAUL	BOND A	0.00	20.19	0.00	20.19	75.0	15.14
	ACCOUNT #: 00002034883	BUY 0 Units @0.0000						
10/19/2018	***-**-467X - POPP, ANN	CAPITAL INCOME BUILDER-A	0.00	3.34	0.00	3.34	75.0	2.51
	ACCOUNT #: LSR012830	BUY 1 Units @0.0000						
10/19/2018	***-**-857I - GRIESEMER,	CAPITAL INCOME BUILDER-A	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: LSR012863	BUY 1 Units @0.0000						
10/19/2018	***-**-55IO - APPLEBY, SHARON	COLUMBIA INCOME OPPT FD CL A	0.00	8.72	0.00	8.72	75.0	6.54
	ACCOUNT #: LSR012278	BUY 1 Units @0.0000						
10/19/2018	***-**-87IR - BORN, LAUREN	EQUITY INCOME	0.00	1.57	0.00	1.57	75.0	1.18
	ACCOUNT #: LSR012721	BUY 1 Units @0.0000						
10/19/2018	***-**-05IR - BORN, SHAWN	EQUITY INCOME FUND, INC. A	0.00	3.28	0.00	3.28	75.0	2.46
	ACCOUNT #: LSM000165	BUY 1 Units @0.0000						
11/07/2018	***-**-03IN - COLLINS, CHRISTY	FA 529 PORT 2019-OLD CL A	0.00	3.89	0.00	3.89	75.0	2.92
	ACCOUNT #: 00700912066	BUY 0 Units @0.0000						
11/07/2018	***-**-1668 - BONNER, STEPHEN	FA 529 PORT 2019-OLD CL A	0.00	10.47	0.00	10.47	75.0	7.85
	ACCOUNT #: 00700557085	BUY 0 Units @0.0000						
11/07/2018	***-**-68IN - ESHBACH, ERIC	FA 529 PORT 2022-CL A	0.00	3.04	0.00	3.04	75.0	2.28
	ACCOUNT #: 00701661217	BUY 0 Units @0.0000						
11/07/2018	***-**-35IR - SPERRATORE, ROSE	FA 529 PORT 2022-CL A	0.00	5.12	0.00	5.12	75.0	3.84
	ACCOUNT #: 00689597003	BUY 0 Units @0.0000						
11/07/2018	***-**-975P - SCANTZOS,	FA 529 PORT 2022-CL A	0.00	2.26	0.00	2.26	75.0	1.70
	ACCOUNT #: 00700225170	BUY 0 Units @0.0000						
11/07/2018	***-**-975P - SCANTZOS,	FA 529 PORT 2022-CL A	0.00	3.71	0.00	3.71	75.0	2.78
	ACCOUNT #: 00701649865	BUY 0 Units @0.0000						
11/07/2018	***-**-4593 - TOWER, GERTRUDE	FA 529 PORT 2022-CL A	0.00	1.43	0.00	1.43	75.0	1.07
	ACCOUNT #: 01471499630	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/07/2018	***-**-4593 - TOWER, GERTRUDE	FA 529 PORT 2022-CL A	0.00	1.43	0.00	1.43	75.0	1.07
	ACCOUNT #: 01471499655	BUY 0 Units @0.0000						
11/07/2018	***-**-975P - SCANTZOS,	FA 529 PORT 2025-CL A	0.00	2.16	0.00	2.16	75.0	1.62
	ACCOUNT #: 00704894625	BUY 0 Units @0.0000						
11/07/2018	***-**-35IR - SPERRATORE, ROSE	FA 529 PORT 2028-CL A	0.00	4.01	0.00	4.01	75.0	3.01
	ACCOUNT #: 00625390331	BUY 0 Units @0.0000						
11/07/2018	***-**-35IR - SPERRATORE, ROSE	FA 529 PORT 2028-CL A	0.00	1.29	0.00	1.29	75.0	0.97
	ACCOUNT #: 01464625126	BUY 0 Units @0.0000						
11/07/2018	***-**-86IN - KOHL, BRIAN	FEDERATED EQUITY INCOME FUND	0.00	8.42	0.00	8.42	75.0	6.32
	ACCOUNT #: 09999344822	BUY 0 Units @0.0000						
11/07/2018	***-**-817J - ESHBACH, DANIEL	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	14.95	0.00	14.95	75.0	11.21
	ACCOUNT #: 09999348808	BUY 0 Units @0.0000						
11/07/2018	***-**-817J - ESHBACH, DANIEL	FEDERATED KAUFMANN FUND	0.00	13.31	0.00	13.31	75.0	9.98
	ACCOUNT #: 09999348737	BUY 0 Units @0.0000						
11/07/2018	***-**-5475 - ZIMMERMAN,	FIDELITY ADV EMERGING MKTS CL A	0.00	0.47	0.00	0.47	75.0	0.35
	ACCOUNT #: 00704561026	BUY 0 Units @0.0000						
11/07/2018	***-**-9290 - BRYNIARSKI,	FIDELITY ADV FINANCIAL SERVICES CL	0.00	0.30	0.00	0.30	75.0	0.23
	ACCOUNT #: 00701194474	BUY 0 Units @0.0000						
11/07/2018	***-**-5475 - ZIMMERMAN,	FIDELITY ADV FINANCIAL SERVICES CL	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 00704561026	BUY 0 Units @0.0000						
11/07/2018	***-**-2330 - BRYNIARSKI,	FIDELITY ADV FREEDOM 2035-CL A	0.00	2.85	0.00	2.85	75.0	2.14
	ACCOUNT #: 01427341720	BUY 0 Units @0.0000						
11/07/2018	***-**-9418 - ESHBACH, SUSAN	FIDELITY ADV FREEDOM 2050-CL A	0.00	2.68	0.00	2.68	75.0	2.01
	ACCOUNT #: 01422030047	BUY 0 Units @0.0000						
11/07/2018	***-**-9290 - BRYNIARSKI,	FIDELITY ADV HEALTH CARE-CL A	0.00	1.06	0.00	1.06	75.0	0.80
	ACCOUNT #: 00701194474	BUY 0 Units @0.0000						
11/07/2018	***-**-9816 - ESHBACH, AUDREY	FIDELITY ADV HEALTH CARE-CL A	0.00	8.09	0.00	8.09	75.0	6.07
	ACCOUNT #: 00537147738	BUY 0 Units @0.0000						
10/19/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	13.79	0.00	13.79	75.0	10.34
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
10/19/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	7.31	0.00	7.31	75.0	5.48
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011842	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 1 Units @0.0000	0.00	9.19	0.00	9.19	75.0	6.89
10/19/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 1 Units @0.0000	0.00	25.71	0.00	25.71	75.0	19.28
10/19/2018	***-**-087J - BORN, LAUREN ACCOUNT #: LSR012720	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 1 Units @0.0000	0.00	2.67	0.00	2.67	75.0	2.00
11/07/2018	***-**-0610 - SWEIGART, SUE ACCOUNT #: 00527640569	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	7.03	0.00	7.03	75.0	5.27
11/07/2018	***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	4.31	0.00	4.31	75.0	3.23
11/07/2018	***-**-06IR - YAKAITIS, JAMES ACCOUNT #: 00700935596	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	4.40	0.00	4.40	75.0	3.30
11/07/2018	***-**-72IN - BOYER, SUSAN ACCOUNT #: 00704025758	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.08	0.00	2.08	75.0	1.56
11/07/2018	***-**-127I - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	8.99	0.00	8.99	75.0	6.74
10/19/2018	***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	8.97	0.00	8.97	75.0	6.73
10/19/2018	***-**-34IO - KAUFFMAN, GARY ACCOUNT #: LSM000145	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	7.01	0.00	7.01	75.0	5.26
10/19/2018	***-**-915I - FIDLER, JOYCE ACCOUNT #: LSM000191	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	11.73	0.00	11.73	75.0	8.80
10/19/2018	***-**-274I - EDRIS, DEBRA ACCOUNT #: LSR011699	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	9.65	0.00	9.65	75.0	7.24
11/07/2018	***-**-35IO - CAMERON, NANCY ACCOUNT #: 01442128896	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	75.0	0.44
11/07/2018	***-**-9358 - RAMBO, JOHN ACCOUNT #: 00537052706	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	13.49	0.00	13.49	75.0	10.12
11/07/2018	***-**-2999 - GODFREY, MICHAEL ACCOUNT #: 00700702285	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	75.0	0.12
11/07/2018	***-**-0618 - GODFREY, REBECCA ACCOUNT #: 00700702293	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	75.0	0.12



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/07/2018	***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	6.11	0.00	6.11	75.0	4.58
11/07/2018	***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADV INDUSTRIALS CL A BUY 0 Units @0.0000	0.00	4.80	0.00	4.80	75.0	3.60
10/19/2018	***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	FIDELITY ADV INTERMEDIATE BOND-CL A BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	75.0	0.86
10/19/2018	***-**-72IO - BOYER, SUSAN ACCOUNT #: LSR011696	FIDELITY ADV INTERMEDIATE BOND-CL A BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	75.0	3.40
10/19/2018	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	FIDELITY ADV INVESTMENT GRADE BOND- BUY 1 Units @0.0000	0.00	7.74	0.00	7.74	75.0	5.81
11/07/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	1.94	0.00	1.94	75.0	1.46
11/07/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	75.0	0.64
10/19/2018	***-**-55IO - APPLEBY, SHARON ACCOUNT #: LSR012278	FIDELITY ADV MID CAP II-CL A BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	75.0	2.23
11/07/2018	***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	6.20	0.00	6.20	75.0	4.65
11/07/2018	***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
10/19/2018	***-**-38IO - SCHWEMMER, ACCOUNT #: LSR011612	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	7.78	0.00	7.78	75.0	5.84
10/19/2018	***-**-38IO - SCHWEMMER, MARY ACCOUNT #: LSR011798	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	6.57	0.00	6.57	75.0	4.93
11/07/2018	***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV STCK SEL LRG CP VAL CL BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	75.0	0.56
11/07/2018	***-**-5527 - BONNER, BETTINA ACCOUNT #: 01487530055	FIDELITY ADV STCK SEL LRG CP VAL CL BUY 0 Units @0.0000	0.00	1.57	0.00	1.57	75.0	1.18
11/07/2018	***-**-4794 - BARRETT, JAMES ACCOUNT #: 00700238868	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	9.32	0.00	9.32	75.0	6.99
11/07/2018	***-**-4794 - BARRETT, JAMES ACCOUNT #: 00704610013	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	75.0	0.11



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-87IR - BORN, LAUREN	FIDELITY ADV WORLDWIDE-CL A	0.00	1.54	0.00	1.54	75.0	1.16
	ACCOUNT #: LSR012721	BUY 1 Units @0.0000						
11/07/2018	***-**-4873 - ECK, TARA	FIDELITY ADVISOR FREEDOM 2040	0.00	1.62	0.00	1.62	75.0	1.22
	ACCOUNT #: 01426408108	BUY 0 Units @0.0000						
10/19/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	6.07	0.00	6.07	75.0	4.55
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
10/19/2018	***-**-596I - EDRIS, TERRY	FIDELITY ADVISOR HIGH INCOME	0.00	13.26	0.00	13.26	75.0	9.95
	ACCOUNT #: LSR011700	BUY 1 Units @0.0000						
10/19/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADVISOR LATIN AMERICA CL A	0.00	1.40	0.00	1.40	75.0	1.05
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
11/07/2018	***-**-1668 - BONNER, STEPHEN	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	7.18	0.00	7.18	75.0	5.39
	ACCOUNT #: 00700557093	BUY 0 Units @0.0000						
11/07/2018	***-**-2330 - BRYNIARSKI,	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: 00701194482	BUY 0 Units @0.0000						
11/07/2018	***-**-0349 - BREIDENSTEIN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.56	0.00	1.56	75.0	1.17
	ACCOUNT #: 03900189228	BUY 0 Units @0.0000						
11/07/2018	***-**-1271 - BREIDENSTEIN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.39	0.00	2.39	75.0	1.79
	ACCOUNT #: 01483191639	BUY 0 Units @0.0000						
11/19/2018	***-**-482J - SCHWEMMER, JOHN	FIDELITY MF TRAIL	0.00	0.18	0.00	0.18	75.0	0.14
		BUY 0 Units @0.0000						
10/19/2018	***-**-857I - GRIESEMER,	FRANKLIN INCOME FUND CLASS A	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: LSR012863	BUY 1 Units @0.0000						
10/19/2018	***-**-57TR - GRIESEMER LIVING	FRANKLIN INCOME FUND CLASS A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: LSR012870	BUY 1 Units @0.0000						
10/19/2018	***-**-014I - WHITE, DEBORAH	GLOBAL OPPORTUNITIES A	0.00	11.01	0.00	11.01	75.0	8.26
	ACCOUNT #: LSR012480	BUY 1 Units @0.0000						
11/02/2018	***-**-529J - KILLIAN, FRANCES	HARTFORD SM/MID CAP EQ & PORT MANAG	0.00	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: 00000772646	BUY 0 Units @0.0000						
11/14/2018	***-**-4330 - FRANTZ, LOIS	HIGH YIELD A	0.00	14.81	0.00	14.81	75.0	11.11
	ACCOUNT #: 00001455848	BUY 0 Units @0.0000						
11/14/2018	***-**-310I - NAUGLE, ANN	HIGH YIELD A	0.00	2.74	0.00	2.74	75.0	2.06
	ACCOUNT #: 00001860223	BUY 0 Units @0.0000						



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128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/14/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD A	0.00	19.45	0.00	19.45	75.0	14.59
	ACCOUNT #: 00001999970	BUY 0 Units @0.0000						
11/14/2018	***-**-6725 - BARRETT, TRACIE	HIGH YIELD A	0.00	4.66	0.00	4.66	75.0	3.50
	ACCOUNT #: 00002174325	BUY 0 Units @0.0000						
11/14/2018	***-**-94IR - BARRETT, JAMES	HIGH YIELD A	0.00	4.11	0.00	4.11	75.0	3.08
	ACCOUNT #: 00002174327	BUY 0 Units @0.0000						
11/14/2018	***-**-9897 - ZIMMERMAN, PAUL	HIGH YIELD A	0.00	8.02	0.00	8.02	75.0	6.02
	ACCOUNT #: 00002176710	BUY 0 Units @0.0000						
11/14/2018	***-**-2137 - ECK, DREW	HIGH YIELD A	0.00	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: 00002188371	BUY 0 Units @0.0000						
11/14/2018	***-**-068I - SHOLLENBERGER,	HIGH YIELD A	0.00	2.89	0.00	2.89	75.0	2.17
	ACCOUNT #: 00002188879	BUY 0 Units @0.0000						
11/14/2018	***-**-0514 - WESSNER, ARLAN	HIGH YIELD A	0.00	7.36	0.00	7.36	75.0	5.52
	ACCOUNT #: 00002492869	BUY 0 Units @0.0000						
11/14/2018	***-**-68IN - DODDS, JOHN	HIGH YIELD A	0.00	18.32	0.00	18.32	75.0	13.74
	ACCOUNT #: 00777291985	BUY 0 Units @0.0000						
11/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	0.00	6.90	0.00	6.90	75.0	5.18
	ACCOUNT #: 00777296924	BUY 0 Units @0.0000						
11/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	0.00	6.86	0.00	6.86	75.0	5.15
	ACCOUNT #: 00777296925	BUY 0 Units @0.0000						
11/14/2018	***-**-4803 - HERTZOG, MICHAEL	HIGH YIELD A	0.00	8.07	0.00	8.07	75.0	6.05
	ACCOUNT #: 00777305368	BUY 0 Units @0.0000						
11/14/2018	***-**-9736 - SINDACO, LINDA	HIGH YIELD A	0.00	2.59	0.00	2.59	75.0	1.94
	ACCOUNT #: 00777313061	BUY 0 Units @0.0000						
11/14/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD B	0.00	3.83	0.00	3.83	75.0	2.87
	ACCOUNT #: 00001858344	BUY 0 Units @0.0000						
11/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD B	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: 00005979470	BUY 0 Units @0.0000						
11/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD B	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: 00005979471	BUY 0 Units @0.0000						
11/14/2018	***-**-68IN - DODDS, JOHN	HIGH YIELD B	0.00	2.21	0.00	2.21	75.0	1.66
	ACCOUNT #: 00006280812	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-87IR - BORN, LAUREN	INTERNATIONAL BOND A	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: LSR012721	BUY 1 Units @0.0000						
11/14/2018	***-**-03IN - COLLINS, CHRISTY	JOHN HANCOCK BLUE CHIP GROWTH CL A	0.00	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00003377198	BUY 0 Units @0.0000						
11/14/2018	***-**-94IR - BARRETT, JAMES	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.92	0.00	0.92	75.0	0.69
	ACCOUNT #: 00002174326	BUY 0 Units @0.0000						
11/14/2018	***-**-6725 - BARRETT, TRACIE	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.92	0.00	0.92	75.0	0.69
	ACCOUNT #: 00002174512	BUY 0 Units @0.0000						
11/14/2018	***-**-9897 - ZIMMERMAN, PAUL	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00002176709	BUY 0 Units @0.0000						
11/14/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	0.00	20.21	0.00	20.21	75.0	15.16
	ACCOUNT #: 00002492630	BUY 0 Units @0.0000						
11/14/2018	***-**-6725 - BARRETT, TRACIE	JOHN HANCOCK GLOBAL SHAREHOLDER YIE	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 00002174324	BUY 0 Units @0.0000						
11/14/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	3.37	0.00	3.37	75.0	2.53
	ACCOUNT #: 00777222058	BUY 0 Units @0.0000						
11/14/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: 00777236470	BUY 0 Units @0.0000						
11/14/2018	***-**-2833 - SCHWEMMER, JOHN	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	22.84	0.00	22.84	75.0	17.13
	ACCOUNT #: 00777288968	BUY 0 Units @0.0000						
11/14/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00777290682	BUY 0 Units @0.0000						
11/14/2018	***-**-514J - GEHRINGER,	LARGE CAP EQUITY A	0.00	4.37	0.00	4.37	75.0	3.28
	ACCOUNT #: 00002049765	BUY 0 Units @0.0000						
11/14/2018	***-**-10IN - YAKAITIS, JOSEPH	LARGE CAP EQUITY A	0.00	1.57	0.00	1.57	75.0	1.18
	ACCOUNT #: 00777289121	BUY 0 Units @0.0000						
11/14/2018	***-**-514J - GEHRINGER,	LARGE CAP EQUITY A	0.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00777290844	BUY 0 Units @0.0000						
11/14/2018	***-**-0491 - SUTTON, WAYNE	LIFESTYLE AGGRESSIVE PORTFOLIO A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: 00002409892	BUY 0 Units @0.0000						
11/14/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	0.00	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: 00002492633	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/14/2018	***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	75.0	0.64
11/14/2018	***-**-4620 - KLINGER, TODD ACCOUNT #: 00002162337	LIFESTYLE GROWTH PORTFOLIO A BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	75.0	0.11
11/14/2018	***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	75.0	0.97
10/19/2018	***-**-467X - POPP, ANN ACCOUNT #: LSR012830	MFS TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	3.21	0.00	3.21	75.0	2.41
10/19/2018	***-**-467X - POPP, ANN ACCOUNT #: LSR012830	MFS VALUE FUND-A BUY 1 Units @0.0000	0.00	6.75	0.00	6.75	75.0	5.06
10/19/2018	***-**-871R - BORN, LAUREN ACCOUNT #: LSR012721	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	3.59	0.00	3.59	75.0	2.69
11/14/2018	***-**-4803 - HERTZOG, MICHAEL ACCOUNT #: 00001712975	RETIREMENT LIVING THROUGH 2040 PORT BUY 0 Units @0.0000	0.00	4.38	0.00	4.38	75.0	3.29
11/14/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00777224541	STRATEGIC GROWTH A BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	75.0	2.00
10/19/2018	***-**-652J - BUSHBY, WILLIAM ACCOUNT #: LSR011728	THE BOND FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	0.11	0.00	0.11	75.0	0.08
10/19/2018	***-**-857I - GRIESEMER, ACCOUNT #: LSR012863	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	75.0	0.65
11/02/2018	***-**-529J - KILLIAN, FRANCES ACCOUNT #: 00000772646	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	75.0	2.03
10/19/2018	***-**-571N - NAUGLE, DENNIS ACCOUNT #: LSM000136	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	6.68	0.00	6.68	75.0	5.01
10/19/2018	***-**-331N - SCHWEMMER, JOHN ACCOUNT #: LSR012199	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	8.43	0.00	8.43	75.0	6.32
10/19/2018	***-**-011O - RATH, ROBERT ACCOUNT #: LSM000189	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	17.78	0.00	17.78	75.0	13.34
10/19/2018	***-**-857I - GRIESEMER, ACCOUNT #: LSR012863	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	75.0	0.69
10/19/2018	***-**-652J - BUSHBY, WILLIAM ACCOUNT #: LSR011728	THE INCOME FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	75.0	1.94



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
10/19/2018	***-**-40IO - BERSTLER, DAVID	THE INCOME FUND OF AMERICA-F1	0.00	4.08	0.00	4.08	75.0	3.06
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						
10/19/2018	***-**-857I - GRIESEMER,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: LSR012863	BUY 1 Units @0.0000						
10/19/2018	***-**-857I - GRIESEMER,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: LSR012863	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$661.91	\$0.00	\$661.91		\$496.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/14/2018	***-**-86IN - PATTON, JULIE	TRANSAMERICA VA 89354G562	0.00	73.49	0.00	73.49	75.0	55.12
	ACCOUNT #: 108505TAL	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$73.49	\$0.00	\$73.49		\$55.12
		*** BROKER TRANSACTIONS TOTAL ***	\$1,675.00	\$759.09	\$18.00	\$741.09		\$551.48

BROKER COMMISSION TOTALS

Broker Transactions	\$551.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$551.48
Year-to-date Earnings	\$57,267.71