

**COMMISSION STATEMENT****October 31, 2018**JAY TOMLINSON  
474 GREGORY AVENUEBROKER #: I50  
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/02/2018	QGW001778	INTERACTIVE BROKERS	ATHERSYS INC NEW COM	S	-141,155.60	670.00	10.00	660.00	70.0	459.00
10/23/2018	QGW001778	INTERACTIVE BROKERS	SAVARA INC COMMON STOCK	S	-188,504.00	400.00	10.00	390.00	70.0	270.00
10/25/2018	QGW001778	INTERACTIVE BROKERS	SAVARA INC COMMON STOCK	S	-28,341.60	60.00	10.00	50.00	70.0	32.00
10/26/2018	QGW001778	INTERACTIVE BROKERS	SAVARA INC COMMON STOCK	S	-46,015.50	678.21	10.00	668.21	70.0	464.75
* STOCKS SUBTOTAL *					<u>\$-404,016.70</u>	<u>\$1,808.21</u>	<u>\$40.00</u>	<u>\$1,768.21</u>		<u>\$1,225.75</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-404,016.70</u>	<u>\$1,808.21</u>	<u>\$40.00</u>	<u>\$1,768.21</u>		<u>\$1,225.75</u>

ADJUSTMENTS

10/31/2018	OTHER: 360 OFFICE	0.00	0.00	0.00	0.00	-8.00
10/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
10/31/2018	OTHER: OMGEO OASYS ALERT	0.00	0.00	0.00	0.00	-154.44
10/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-607.44</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,225.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-607.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,645.36
FINRA Assessment	\$-2.26
SIPC Assessment	\$-4.52

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-1,033.83</b>
<b>Year-to-date Earnings</b>	<b>\$1,734.07</b>