

COMMISSION STATEMENT December 15, 2018

RANDALL SMITH-D444

 BROKER #: D444
 BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDALL SMITH-D444

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
12/03/2018 00068217982	STEHLLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.81	0.00	3.81	50.0	1.91
12/03/2018 00073354171	ARMSTRONG,	MFT AMCAP FUND-A	B	0.00	21.60	0.00	21.60	50.0	10.80
12/03/2018 00073354184	ARMSTRONG,	MFT THE INVESTMENT COMPANY OF	B	0.00	16.56	0.00	16.56	50.0	8.28
12/03/2018 00074171940	DICKEY, G	MFT THE INVESTMENT COMPANY OF	B	0.00	16.49	0.00	16.49	50.0	8.25
12/03/2018 00074509080	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.53	0.00	3.53	50.0	1.77
12/03/2018 00074509102	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	9.04	0.00	9.04	50.0	4.52
12/03/2018 00074623111	DE LA VEGA, JESUS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.51	0.00	12.51	50.0	6.26
12/03/2018 00074739683	LANE, MORGAN	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.76	0.00	0.76	50.0	0.38
12/03/2018 00082268142	CLEVELAND, KATHIE	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	1.03	0.00	1.03	50.0	0.52
12/03/2018 04000090127	DICKEY, G	MFT AMERICAN BALANCED FUND-A	B	0.00	113.43	0.00	113.43	50.0	56.72
12/03/2018 04000090127	DICKEY, G	MFT CAPITAL INCOME BUILDER-A	B	0.00	33.48	0.00	33.48	50.0	16.74
12/03/2018 04000090127	DICKEY, G	MFT NEW PERSPECTIVE FUND-A	B	0.00	72.40	0.00	72.40	50.0	36.20
12/03/2018 04000090127	DICKEY, G	MFT THE INCOME FUND OF AMERICA-A	B	0.00	24.43	0.00	24.43	50.0	12.22
12/03/2018 04000090127	DICKEY, G	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	81.17	0.00	81.17	50.0	40.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$410.24	\$0.00	\$410.24		\$205.16
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$410.24	\$0.00	\$410.24		\$205.16

BROKER COMMISSION TOTALS

Broker Transactions	\$205.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00