



# COMMISSION STATEMENT

November 12, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/29/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @27.2200						
10/29/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.02	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @13.9800						
10/29/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @13.9800						
10/29/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 5 Units @14.2800						
10/29/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @13.6500						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @39.7100						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @45.6500						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @37.9900						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @55.5500						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @45.6900						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.4100						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @45.6900						
10/29/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @38.5900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$165.02</b>	<b>\$1.65</b>	<b>\$0.00</b>	<b>\$1.65</b>		<b>\$1.50</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
11/12/2018	***-**-7053 - VISHWANATH MD	JOHN HANCOCK VA TRAILS	0.00	447.01	0.00	447.01	90.0	402.31
	ACCOUNT #: 87501	BUY 1 Units @0.0000						
11/12/2018	***-**-7528 - ELLIOTT	JOHN HANCOCK VA TRAILS	0.00	90.92	0.00	90.92	90.0	81.83
	ACCOUNT #: 23170	BUY 1 Units @0.0000						
11/12/2018	***-**-5031 - VICI PROPERTIES	JOHN HANCOCK VA TRAILS	0.00	1,501.67	0.00	1,501.67	90.0	1,351.50
	ACCOUNT #: 131264	BUY 1 Units @0.0000						
11/12/2018	***-**-8042 - JCM AMERICAN	JOHN HANCOCK VA TRAILS	0.00	1,411.24	0.00	1,411.24	90.0	1,270.12
	ACCOUNT #: 130807	BUY 1 Units @0.0000						
11/12/2018	***-**-74JK - RSI ENTERPRISES,	JOHN HANCOCK VA TRAILS	0.00	523.46	0.00	523.46	90.0	471.11
	ACCOUNT #: 129968	BUY 1 Units @0.0000						
11/12/2018	***-**-2744 - SHREE GANESH LLC	JOHN HANCOCK VA TRAILS	0.00	46.52	0.00	46.52	90.0	41.87
	ACCOUNT #: 127802	BUY 1 Units @0.0000						
11/12/2018	***-**-2070 - TCH LAW PLC 401K	JOHN HANCOCK VA TRAILS	0.00	428.34	0.00	428.34	90.0	385.51
	ACCOUNT #: 123577	BUY 1 Units @0.0000						
11/12/2018	***-**-54QP - STAR NURSERY INC	JOHN HANCOCK VA TRAILS	0.00	1,261.28	0.00	1,261.28	90.0	1,135.15
	ACCOUNT #: 118948	BUY 1 Units @0.0000						
11/12/2018	***-**-7584 - MED-SMART,	JOHN HANCOCK VA TRAILS	0.00	1,527.75	0.00	1,527.75	90.0	1,374.98
	ACCOUNT #: 116011	BUY 1 Units @0.0000						
11/12/2018	***-**-4580 - ROSS AERIAL,	JOHN HANCOCK VA TRAILS	0.00	1,090.58	0.00	1,090.58	90.0	981.52
	ACCOUNT #: 114840	BUY 1 Units @0.0000						
11/12/2018	***-**-888K - MKS GRAPHICS,	JOHN HANCOCK VA TRAILS	0.00	1,024.51	0.00	1,024.51	90.0	922.06
	ACCOUNT #: 114333	BUY 1 Units @0.0000						
11/12/2018	***-**-0664 - FOCUS	JOHN HANCOCK VA TRAILS	0.00	792.52	0.00	792.52	90.0	713.27
	ACCOUNT #: 114317	BUY 1 Units @0.0000						
11/12/2018	***-**-728Q - HORSEMEN INC	JOHN HANCOCK VA TRAILS	0.00	716.00	0.00	716.00	90.0	644.40
	ACCOUNT #: 109030	BUY 1 Units @0.0000						
11/12/2018	***-**-66QP - MVT SERVICES LLC	JOHN HANCOCK VA TRAILS	0.00	1,807.61	0.00	1,807.61	90.0	1,626.85
	ACCOUNT #: 107713	BUY 1 Units @0.0000						
11/12/2018	***-**-0215 - PRO-STEEL	JOHN HANCOCK VA TRAILS	0.00	529.38	0.00	529.38	90.0	476.44
	ACCOUNT #: 107166	BUY 1 Units @0.0000						
11/12/2018	***-**-0215 - PRO-STEEL	JOHN HANCOCK VA TRAILS-ST.CYR	0.00	254.25	0.00	254.25	90.0	228.83
	ACCOUNT #: 107166	BUY 1 Units @0.0000						



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## BROKER TRANSACTIONS (continued)

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)

* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$13,453.04	\$0.00	\$13,453.04		\$12,107.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/12/2018 ***-**-1330 - SKIN SPECTRUM,	QUALIFIED PLAN SUMMARY		0.00	2.10	0.00	2.10	90.0	1.89
ACCOUNT #: 000000000018580	BUY 0 Units @0.0000							
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.10	\$0.00	\$2.10		\$1.89
*** BROKER TRANSACTIONS TOTAL ***			\$165.02	\$13,456.79	\$0.00	\$13,456.79		\$12,111.14

### BROKER COMMISSION TOTALS

Broker Transactions	\$12,111.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$41.47
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$12,152.61
Year-to-date Earnings	\$331,210.66