

COMMISSION STATEMENT

October 31, 2018

JOYCE BRISKIN-D009

919 Arizona Ash

BROKER #: D009

BRANCH: DMO

San Antonio, TX 78232

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
10/26/2018 368255014	ROERINK, BEVERLY	MF SMALLCAP WORLD FUND-A	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
10/26/2018 368255014	ROERINK, BEVERLY	MF THORNBURG INVESTMENT INCOME	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
10/26/2018 368255014	ROERINK, BEVERLY	MF THORNBURG INTERNATIONAL GROWTH	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
10/26/2018 368255014	ROERINK, BEVERLY	MF THORNBURG CORE GROWTH CL A	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
10/26/2018 368255014	ROERINK, BEVERLY	MF VALUE A	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-4,987.75	\$0.00	\$25.00	\$-25.00		\$-22.50

PRODUCT CATEGORY: STOCKS

09/28/2018 122134292	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	S	-12,620.00	242.98	15.00	227.98	90.0	205.18
10/03/2018 122134292	ARMSTRONG, SHANNON	S RMR GROUP INC/THE- CL A	S	-8,423.36	164.53	0.00	164.53	90.0	148.08
10/03/2018 122134292	ARMSTRONG, SHANNON	S RMR GROUP INC/THE- CL A	S	-2,270.85	44.37	0.00	44.37	90.0	39.93
10/03/2018 122134292	ARMSTRONG, SHANNON	S RMR GROUP INC/THE- CL A	S	-502.77	9.87	15.00	-5.13	90.0	-4.62
10/03/2018 122134292	ARMSTRONG, SHANNON	S RMR GROUP INC/THE- CL A	S	-505.28	9.87	0.00	9.87	90.0	8.88
10/03/2018 122134292	ARMSTRONG, SHANNON	S RMR GROUP INC/THE- CL A	S	-84.30	1.65	0.00	1.65	90.0	1.49
10/10/2018 122134292	ARMSTRONG, SHANNON	S OSHKOSH TRUCK CORP	S	-9,459.56	190.06	0.00	190.06	90.0	171.05
10/10/2018 122134292	ARMSTRONG, SHANNON	S OSHKOSH TRUCK CORP	S	-977.90	19.69	15.00	4.69	90.0	4.22
10/18/2018 122134292	ARMSTRONG, SHANNON	S METHANEX CORPORATION ISIN	S	-7,174.80	143.10	0.00	143.10	90.0	128.79
10/18/2018 122134292	ARMSTRONG, SHANNON	S METHANEX CORPORATION ISIN	S	-3,584.95	71.55	15.00	56.55	90.0	50.90
10/25/2018 122134292	ARMSTRONG, SHANNON	S INVESCO EXCHANGE-TRADED FD TR	S	-9,745.22	199.20	15.00	184.20	90.0	165.78
10/11/2018 123383825	AKIN, DREW	S MARATHON PETROLEUM CORP	S	-10,920.11	217.10	15.00	202.10	90.0	181.89
09/28/2018 215652386	DOUGHERTY, JOHN	S MARATHON PETROLEUM CORP	S	-12,620.00	242.98	15.00	227.98	90.0	205.18
10/08/2018 215652386	DOUGHERTY, JOHN	S ISHARES DEVELOPED SMALL CAP	S	-5,140.10	129.08	15.00	114.08	90.0	102.67
10/10/2018 215652386	DOUGHERTY, JOHN	S OSHKOSH TRUCK CORP	S	-6,530.25	131.21	15.00	116.21	90.0	104.59
10/10/2018 215652386	DOUGHERTY, JOHN	S OSHKOSH TRUCK CORP	S	-3,919.62	78.73	0.00	78.73	90.0	70.86
10/18/2018 215652386	DOUGHERTY, JOHN	S METHANEX CORPORATION ISIN	S	-7,174.32	143.13	15.00	128.13	90.0	115.32
10/18/2018 215652386	DOUGHERTY, JOHN	S METHANEX CORPORATION ISIN	S	-3,587.40	71.55	0.00	71.55	90.0	64.40
10/11/2018 368270423	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	S	-7,799.38	155.07	15.00	140.07	90.0	126.06
10/11/2018 368270423	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	S	-3,120.34	62.02	0.00	62.02	90.0	55.82
10/23/2018 368270423	ARMSTRONG, SHANNON	S TORONTO-DOMINION BANK TORONTO	S	-8,662.71	182.72	15.00	167.72	90.0	150.95
10/25/2018 368270423	ARMSTRONG, SHANNON	S INVESCO EXCHANGE-TRADED FD TR	S	-9,745.22	199.20	15.00	184.20	90.0	165.78
10/11/2018 368298595	ANDERSON, PATRICIA	S MARATHON PETROLEUM CORP	S	-7,818.97	135.48	15.00	120.48	90.0	108.43

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/11/2018 368438115	PAULSON, ANTHONY	S MARATHON PETROLEUM CORP	S	-7,795.88	161.02	0.00	161.02	90.0	144.92
10/11/2018 368438115	PAULSON, ANTHONY	S MARATHON PETROLEUM CORP	S	-1,556.73	32.20	15.00	17.20	90.0	15.48
10/23/2018 368438115	PAULSON, ANTHONY	S TORONTO-DOMINION BANK TORONTO	S	-7,028.62	157.84	15.00	142.84	90.0	128.56
10/25/2018 368438115	PAULSON, ANTHONY	S INVESCO EXCHANGE-TRADED FD TR	S	-9,745.22	199.20	15.00	184.20	90.0	165.78
09/28/2018 400566872	JBRISKIN HOLDINGS	S MARATHON PETROLEUM CORP	S	-7,889.04	151.86	0.00	151.86	90.0	136.67
09/28/2018 400566872	JBRISKIN HOLDINGS	S MARATHON PETROLEUM CORP	S	-4,730.97	91.12	15.00	76.12	90.0	68.51
10/03/2018 400566872	JBRISKIN HOLDINGS	S RMR GROUP INC/THE- CL A	S	-6,187.10	10.29	15.00	-4.71	90.0	-4.24
10/03/2018 400566872	JBRISKIN HOLDINGS	S RMR GROUP INC/THE- CL A	S	-5,830.05	9.71	0.00	9.71	90.0	8.74
10/10/2018 400566872	JBRISKIN HOLDINGS	S OSHKOSH TRUCK CORP	S	-10,625.41	20.00	15.00	5.00	90.0	4.50
10/16/2018 400566872	JBRISKIN HOLDINGS	S ALTIMMUNE INC COM NEW	B	2,874.74	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S ALTIMMUNE INC COM NEW	S	-2,624.17	13.95	15.00	-1.05	90.0	-0.95
10/16/2018 400566872	JBRISKIN HOLDINGS	S ALTIMMUNE INC COM NEW	S	-196.95	1.05	0.00	1.05	90.0	0.95
10/16/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	B	2,603.45	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S ASCENT CAPITAL GROUP INC	B	2,959.17	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S ASCENT CAPITAL GROUP INC	S	-2,867.27	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S COVIA HLDGS CORP COM	B	2,351.42	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S DIEBOLD NIXDORF FORMERLY	B	2,484.86	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S GERON CORP	B	2,411.31	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S GTX INC DEL NEW	B	2,867.30	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S GTX INC DEL NEW	S	-1,888.53	10.00	15.00	-5.00	90.0	-4.50
10/16/2018 400566872	JBRISKIN HOLDINGS	S GTX INC DEL NEW	S	-945.49	5.00	0.00	5.00	90.0	4.50
10/16/2018 400566872	JBRISKIN HOLDINGS	S JUPAI HOLDINGS LTD ADS ADR	B	2,419.36	20.00	15.00	5.00	90.0	4.50
10/16/2018 400566872	JBRISKIN HOLDINGS	S LANNETT CO INC	B	2,541.95	15.00	15.00	0.00	90.0	0.00
10/16/2018 400566872	JBRISKIN HOLDINGS	S USA TECHNOLOGIES INC NEW	B	2,206.42	20.00	15.00	5.00	90.0	4.50
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-475.49	2.50	0.00	2.50	90.0	2.25
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-475.49	2.50	0.00	2.50	90.0	2.25
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-475.49	2.50	0.00	2.50	90.0	2.25
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-475.49	2.50	0.00	2.50	90.0	2.25
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-474.54	2.50	15.00	-12.50	90.0	-11.25
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-393.82	2.08	0.00	2.08	90.0	1.87
10/17/2018 400566872	JBRISKIN HOLDINGS	S ARBUTUS BIOPHARMA CORP ISIN	S	-80.84	0.42	0.00	0.42	90.0	0.38
10/17/2018 400566872	JBRISKIN HOLDINGS	S COVIA HLDGS CORP COM	S	-1,395.98	10.00	0.00	10.00	90.0	9.00
10/17/2018 400566872	JBRISKIN HOLDINGS	S COVIA HLDGS CORP COM	S	-695.54	5.00	15.00	-10.00	90.0	-9.00
10/17/2018 400566872	JBRISKIN HOLDINGS	S JUPAI HOLDINGS LTD ADS ADR	S	-1,483.98	10.00	0.00	10.00	90.0	9.00
10/17/2018 400566872	JBRISKIN HOLDINGS	S JUPAI HOLDINGS LTD ADS ADR	S	-739.54	5.00	15.00	-10.00	90.0	-9.00
10/17/2018 400566872	JBRISKIN HOLDINGS	S LANNETT CO INC	S	-2,310.52	15.00	15.00	0.00	90.0	0.00
10/18/2018 400566872	JBRISKIN HOLDINGS	S GERON CORP	S	-2,362.52	15.00	15.00	0.00	90.0	0.00
10/18/2018 400566872	JBRISKIN HOLDINGS	S METHANEX CORPORATION ISIN	S	-7,303.57	13.33	0.00	13.33	90.0	12.00
10/18/2018 400566872	JBRISKIN HOLDINGS	S METHANEX CORPORATION ISIN	S	-3,649.33	6.67	15.00	-8.33	90.0	-7.50
10/18/2018 400566872	JBRISKIN HOLDINGS	S USA TECHNOLOGIES INC NEW	S	-2,079.02	15.00	15.00	0.00	90.0	0.00
10/23/2018 400566872	JBRISKIN HOLDINGS	S DIEBOLD NIXDORF FORMERLY	S	-2,464.91	15.00	15.00	0.00	90.0	0.00
09/28/2018 677650476	BRISKIN, JOSHUA	S MARATHON PETROLEUM CORP	S	-11,234.80	20.00	15.00	5.00	90.0	4.50
10/23/2018 677650476	BRISKIN, JOSHUA	S TORONTO-DOMINION BANK TORONTO	S	-8,825.43	20.00	15.00	5.00	90.0	4.50
* STOCKS SUBTOTAL *				\$-233,975.16	\$4,048.38	\$645.00	\$3,403.38		\$3,063.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	0.96	0.00	0.96	90.0	0.86
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.01	0.00	1.01	90.0	0.91
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.17	0.00	1.17	90.0	1.05
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.23	0.00	1.23	90.0	1.11
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.90	0.00	1.90	90.0	1.71
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.99	0.00	1.99	90.0	1.79
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	4.12	0.00	4.12	90.0	3.71
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	4.32	0.00	4.32	90.0	3.89
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	8.76	0.00	8.76	90.0	7.88
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	9.18	0.00	9.18	90.0	8.26
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	16.46	0.00	16.46	90.0	14.81
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	17.26	0.00	17.26	90.0	15.53
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM CHARTER FUND - CLASS A B	0.00	3.23	0.00	3.23	90.0	2.91
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM CHARTER FUND - CLASS A B	0.00	3.31	0.00	3.31	90.0	2.98
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND B	0.00	3.17	0.00	3.17	90.0	2.85
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND B	0.00	3.20	0.00	3.20	90.0	2.88
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND B	0.00	3.42	0.00	3.42	90.0	3.08
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND B	0.00	3.46	0.00	3.46	90.0	3.11
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND B	0.00	8.70	0.00	8.70	90.0	7.83
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND B	0.00	8.79	0.00	8.79	90.0	7.91
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM TECHNOLOGY FUND - CLASS A B	0.00	0.83	0.00	0.83	90.0	0.75
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM TECHNOLOGY FUND - CLASS A B	0.00	0.84	0.00	0.84	90.0	0.76
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM TECHNOLOGY FUND - CLASS A B	0.00	5.05	0.00	5.05	90.0	4.55
10/19/2018	mfc156871	BRISKIN 156871	MFT AIM TECHNOLOGY FUND - CLASS A B	0.00	5.15	0.00	5.15	90.0	4.64
10/19/2018	mfc156871	BRISKIN 156871	MFT BLACKROCK ADV SMALL CAP GROW EQ B	0.00	5.15	0.00	5.15	90.0	4.64
10/19/2018	mfc156871	BRISKIN 156871	MFT COLUMBIA HIGH YIELD MUNI FD A B	0.00	3.87	0.00	3.87	90.0	3.48
10/19/2018	mfc156871	BRISKIN 156871	MFT DELAWARE GROWTH OPPORTUNITIES B	0.00	5.29	0.00	5.29	90.0	4.76
10/19/2018	mfc156871	BRISKIN 156871	MFT DELAWARE HIGH YIELD B	0.00	1.30	0.00	1.30	90.0	1.17
10/19/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP CORE FD A B	0.00	2.78	0.00	2.78	90.0	2.50
10/19/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A B	0.00	1.15	0.00	1.15	90.0	1.04
10/19/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A B	0.00	9.22	0.00	9.22	90.0	8.30
10/19/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP B	0.00	4.74	0.00	4.74	90.0	4.27
10/19/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP B	0.00	5.06	0.00	5.06	90.0	4.55
10/19/2018	mfc156871	BRISKIN 156871	MFT EATON VANCE NATIONAL MUNICIPAL B	0.00	0.76	0.00	0.76	90.0	0.68
10/19/2018	mfc156871	BRISKIN 156871	MFT FUNDAMENTAL INVESTORS-A B	0.00	6.62	0.00	6.62	90.0	5.96
10/19/2018	mfc156871	BRISKIN 156871	MFT HARTFORD INTL EQUITY FD A B	0.00	0.27	0.00	0.27	90.0	0.24
10/19/2018	mfc156871	BRISKIN 156871	MFT INTERNATIONAL SMALL COMPANY A B	0.00	18.97	0.00	18.97	90.0	17.07
10/19/2018	mfc156871	BRISKIN 156871	MFT INVESCO AMERICAN FRANCHISE FUND B	0.00	4.03	0.00	4.03	90.0	3.63
10/19/2018	mfc156871	BRISKIN 156871	MFT INVESCO AMERICAN FRANCHISE FUND B	0.00	4.12	0.00	4.12	90.0	3.71
10/19/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	0.91	0.00	0.91	90.0	0.82
10/19/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	0.92	0.00	0.92	90.0	0.83
10/19/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	2.27	0.00	2.27	90.0	2.04
10/19/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	2.31	0.00	2.31	90.0	2.08

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				INVESTMENT		GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
10/19/2018	mfc156871	BRISKIN 156871		MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	3.62	0.00	3.62	90.0	3.26
10/19/2018	mfc156871	BRISKIN 156871		MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	3.68	0.00	3.68	90.0	3.31
10/19/2018	mfc156871	BRISKIN 156871		MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	8.10	0.00	8.10	90.0	7.29
10/19/2018	mfc156871	BRISKIN 156871		MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	8.23	0.00	8.23	90.0	7.41
10/19/2018	mfc156871	BRISKIN 156871		MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	10.16	0.00	10.16	90.0	9.14
10/19/2018	mfc156871	BRISKIN 156871		MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	10.62	0.00	10.62	90.0	9.56
10/19/2018	mfc156871	BRISKIN 156871		MFT NEW PERSPECTIVE FUND-A	B	0.00	4.05	0.00	4.05	90.0	3.65
10/19/2018	mfc156871	BRISKIN 156871		MFT OPPENHEIMER MAIN STREET	B	0.00	2.26	0.00	2.26	90.0	2.03
10/19/2018	mfc156871	BRISKIN 156871		MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	2.49	0.00	2.49	90.0	2.24
10/19/2018	mfc156871	BRISKIN 156871		MFT QUEST BALANCED A	B	0.00	1.11	0.00	1.11	90.0	1.00
10/19/2018	mfc156871	BRISKIN 156871		MFT QUEST BALANCED A	B	0.00	2.07	0.00	2.07	90.0	1.86
10/19/2018	mfc156871	BRISKIN 156871		MFT SMALLCAP WORLD FUND-A	B	0.00	11.07	0.00	11.07	90.0	9.96
10/19/2018	mfc156871	BRISKIN 156871		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.05	0.00	5.05	90.0	4.55
10/19/2018	mfc156871	BRISKIN 156871		MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.66	0.00	2.66	90.0	2.39
10/19/2018	mfc156871	BRISKIN 156871		MFT THE HARTFORD GROWTH	B	0.00	1.90	0.00	1.90	90.0	1.71
10/19/2018	mfc156871	BRISKIN 156871		MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.23	0.00	0.23	90.0	0.21
10/19/2018	mfc156871	BRISKIN 156871		MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	1.24	0.00	1.24	90.0	1.12
10/19/2018	mfc156871	BRISKIN 156871		MFT THE HARTFORD VALUE	B	0.00	1.11	0.00	1.11	90.0	1.00
10/19/2018	mfc156871	BRISKIN 156871		MFT THE INVESTMENT COMPANY OF	B	0.00	61.74	0.00	61.74	90.0	55.57
10/19/2018	mfc156871	BRISKIN 156871		MFT THE NEW ECONOMY FUND-A	B	0.00	6.64	0.00	6.64	90.0	5.98
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.43	0.00	9.43	90.0	8.49
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG CORE GROWTH CL A	B	0.00	10.56	0.00	10.56	90.0	9.50
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INTERM MUNI NATL FD	B	0.00	10.42	0.00	10.42	90.0	9.38
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	3.15	0.00	3.15	90.0	2.84
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INVESTMENT INCOME	B	0.00	1.45	0.00	1.45	90.0	1.31
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INVESTMENT INCOME	B	0.00	10.44	0.00	10.44	90.0	9.40
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INVESTMENT INCOME	B	0.00	23.69	0.00	23.69	90.0	21.32
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INVESTMENT INCOME	B	0.00	43.32	0.00	43.32	90.0	38.99
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	4.83	0.00	4.83	90.0	4.35
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	9.53	0.00	9.53	90.0	8.58
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	12.41	0.00	12.41	90.0	11.17
10/19/2018	mfc156871	BRISKIN 156871		MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	19.78	0.00	19.78	90.0	17.80
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.94	0.00	1.94	90.0	1.75
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA SMALL/MID CAP	B	0.00	5.95	0.00	5.95	90.0	5.36
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA-IDEX 893961813	B	0.00	1.56	0.00	1.56	90.0	1.40
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA-IDEX 893961813	B	0.00	4.45	0.00	4.45	90.0	4.01
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.13	0.00	1.13	90.0	1.02
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.54	0.00	3.54	90.0	3.19
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.60	0.00	3.60	90.0	3.24
10/19/2018	mfc156871	BRISKIN 156871		MFT TRANSAMERICA-IDEX 893962415	B	0.00	8.72	0.00	8.72	90.0	7.85
10/19/2018	mfc156871	BRISKIN 156871		MFT VALUE A	B	0.00	10.73	0.00	10.73	90.0	9.66
10/19/2018	mfc156871	BRISKIN 156871		MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.56	0.00	0.56	90.0	0.50
10/19/2018	mfc156871	BRISKIN 156871		MFT VOYA SENIOR INCOME FUND C	B	0.00	58.57	0.00	58.57	90.0	52.71
10/19/2018	mfc156871	BRISKIN 156871		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.29	0.00	1.29	90.0	1.16

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$606.33	\$0.00	\$606.33		\$545.75
		*** CLEARING TRANSACTIONS TOTAL ***		\$-238,962.91	\$4,654.71	\$670.00	\$3,984.71		\$3,586.30

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/15/2018 03810399026 IKERD, AARON	MF	AIM MID-CAP EQUITY FUND CLASS A B		1,000.00	50.00	0.00	50.00	90.0	45.00
10/15/2018 03810613137 SULTEMEIER,	MF	AIM MID-CAP EQUITY FUND CLASS A B		100.00	5.00	0.00	5.00	90.0	4.50
10/15/2018 03810613137 SULTEMEIER,	MF	INVESCO VALUE OPPORTUNITIES	B	100.00	5.00	0.00	5.00	90.0	4.50
		* MUTUAL FUNDS SUBTOTAL *		\$1,200.00	\$60.00	\$0.00	\$60.00		\$54.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None) VARIOUS, BRISKIN	MFT	DELAWARE	B	0.00	71.15	0.00	71.15	90.0	64.04
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$71.15	\$0.00	\$71.15		\$64.04
		*** DIRECT TRANSACTIONS TOTAL ***		\$1,200.00	\$131.15	\$0.00	\$131.15		\$118.04

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		268.36
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$83.36
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$83.36

BROKER COMMISSION TOTALS

Broker Transactions	\$3,704.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$83.36
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,787.70
Year-to-date Commissions	\$77,913.83