

COMMISSION STATEMENT

October 31, 2018

ABRAHAM HELLER
30 WOODSHIRE TERRACEBROKER #: R11, P8H
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/08/2018	75500548	MARK WACHTEL TTEE	BOX INC CL A	S	8,199.92	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500548	MARK WACHTEL TTEE	ON SEMICONDUCTOR CORP	S	8,575.05	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500548	MARK WACHTEL TTEE	TYLER TECHNOLOGIES INC	S	5,458.75	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500548	MARK WACHTEL TTEE	GLOBAL PAYMENTS INC	S	9,096.11	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500548	MARK WACHTEL TTEE	ON SEMICONDUCTOR CORP	S	8,220.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500548	MARK WACHTEL TTEE	FISERV INC	B	6,915.01	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500548	MARK WACHTEL TTEE	INTUITIVE SURGICAL INC COM	B	7,880.25	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500548	MARK WACHTEL TTEE	ROPER TECHNOLOGIES NEW	B	6,943.75	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500548	MARK WACHTEL TTEE	THERMO FISHER SCIENTIFIC INC	B	6,921.30	32.00	7.00	25.00	80.0	18.60
10/22/2018	75500548	MARK WACHTEL TTEE	INTUITIVE SURGICAL INC COM	S	7,544.24	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500548	MARK WACHTEL TTEE	ROPER TECHNOLOGIES NEW	S	6,667.50	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500548	MARK WACHTEL TTEE	THERMO FISHER SCIENTIFIC INC	S	6,555.28	32.00	7.00	25.00	80.0	18.60
10/03/2018	75500550	KOKULAK, JOHN	NIO INC AMERICAN DEPOSITARY SHARES	B	12,879.60	28.00	7.00	21.00	80.0	15.40
10/25/2018	75500550	KOKULAK, JOHN	SOGOU INC ADR REPSTG CL A SHS ISIN#	B	5,790.00	25.00	7.00	18.00	80.0	13.00
10/08/2018	75500551	JOSEPH, ALAN	BOX INC CL A	S	8,199.92	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500551	JOSEPH, ALAN	PAYPAL HOLDINGS INC COM	S	15,893.42	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500551	JOSEPH, ALAN	TYLER TECHNOLOGIES INC	S	5,458.75	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500551	JOSEPH, ALAN	GLOBAL PAYMENTS INC	S	9,096.11	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500551	JOSEPH, ALAN	ON SEMICONDUCTOR CORP	S	13,152.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500551	JOSEPH, ALAN	ADOBE SYSTEMS INC	B	9,934.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500551	JOSEPH, ALAN	FISERV INC	B	9,604.18	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500551	JOSEPH, ALAN	INTUITIVE SURGICAL INC COM	B	10,507.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500551	JOSEPH, ALAN	ROPER TECHNOLOGIES NEW	B	9,721.25	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500551	JOSEPH, ALAN	THERMO FISHER SCIENTIFIC INC	B	10,381.95	32.00	7.00	25.00	80.0	18.60
10/22/2018	75500551	JOSEPH, ALAN	INTUITIVE SURGICAL INC COM	S	10,058.98	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500551	JOSEPH, ALAN	CYPRESS SEMICONDUCTOR CORP	B	12,863.50	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500551	JOSEPH, ALAN	ROPER TECHNOLOGIES NEW	S	9,334.50	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500551	JOSEPH, ALAN	THERMO FISHER SCIENTIFIC INC	S	9,832.92	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500552	WACHTEL, MARK	BOX INC CL A	S	8,199.92	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500552	WACHTEL, MARK	CYPRESS SEMICONDUCTOR CORP	B	12,863.50	32.00	7.00	25.00	80.0	18.60
10/05/2018	75500554	JOSEPH, BRUCE	ARISTA NETWORKS INC COM	S	37,534.50	32.00	7.00	25.00	80.0	18.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/05/2018	75500554 JOSEPH, BRUCE	FIRST TRUST ETF DOW JONES INTERNET S		67,525.00	32.00	7.00	25.00	80.0	18.60
10/05/2018	75500554 JOSEPH, BRUCE	MARRIOTT VACATIONS WORLDWIDE CORPOR S		33,469.39	32.00	7.00	25.00	80.0	18.60
10/05/2018	75500554 JOSEPH, BRUCE	NEWELL BRANDS INC SHS	S	19,492.00	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500554 JOSEPH, BRUCE	PAYPAL HOLDINGS INC COM	S	39,733.55	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500554 JOSEPH, BRUCE	TRANSUNION COM	S	35,515.00	32.00	7.00	25.00	80.0	18.60
10/08/2018	75500554 JOSEPH, BRUCE	TYLER TECHNOLOGIES INC	S	32,752.50	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	ALIGN TECHNOLOGY INC	S	65,461.00	36.00	7.00	29.00	80.0	21.80
10/10/2018	75500554 JOSEPH, BRUCE	ANSYS INC	S	32,360.00	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S		27,344.00	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	FLEETCOR TECHNOLOGIES INC	S	31,565.51	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	GLOBAL PAYMENTS INC	S	28,425.35	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	GUIDEWIRE SOFTWARE INC	S	27,300.00	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	MOODYS CORP COM	S	86,630.50	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	MSCI INC	S	31,726.00	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500554 JOSEPH, BRUCE	ON SEMICONDUCTOR CORP	S	49,320.00	42.00	7.00	35.00	80.0	26.60
10/11/2018	75500554 JOSEPH, BRUCE	RESMED INC	S	30,705.00	32.00	7.00	25.00	80.0	18.60
10/11/2018	75500554 JOSEPH, BRUCE	SHERWIN WILLIAMS COMPANY	S	32,948.80	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500554 JOSEPH, BRUCE	ADOBE SYSTEMS INC	B	24,835.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500554 JOSEPH, BRUCE	FISERV INC	B	30,733.36	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500554 JOSEPH, BRUCE	INTUITIVE SURGICAL INC COM	B	39,401.25	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500554 JOSEPH, BRUCE	ROPER TECHNOLOGIES NEW	B	13,887.50	32.00	7.00	25.00	80.0	18.60
10/12/2018	75500554 JOSEPH, BRUCE	ZOETIS INC CL A	B	26,415.00	32.00	7.00	25.00	80.0	18.60
10/17/2018	75500554 JOSEPH, BRUCE	KRYSTAL BIOTECH INC COM	B	21,629.10	32.00	7.00	25.00	80.0	18.60
10/17/2018	75500554 JOSEPH, BRUCE	MARKETAXESS HOLDINGS INC	B	28,905.00	32.00	7.00	25.00	80.0	18.60
10/18/2018	75500554 JOSEPH, BRUCE	VEEVA SYSTEMS INC CL A COM	B	28,833.00	32.00	7.00	25.00	80.0	18.60
10/22/2018	75500554 JOSEPH, BRUCE	INTUITIVE SURGICAL INC COM	S	75,442.38	32.00	7.00	25.00	80.0	18.60
10/22/2018	75500554 JOSEPH, BRUCE	VEEVA SYSTEMS INC CL A COM	S	27,036.00	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500554 JOSEPH, BRUCE	CYPRESS SEMICONDUCTOR CORP	B	38,590.50	42.00	7.00	35.00	80.0	26.60
10/23/2018	75500554 JOSEPH, BRUCE	CYPRESS SEMICONDUCTOR CORP	B	26,320.00	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500554 JOSEPH, BRUCE	ROPER TECHNOLOGIES NEW	S	53,340.00	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500554 JOSEPH, BRUCE	S&P GLOBAL INC COM	S	54,606.00	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500554 JOSEPH, BRUCE	TEXAS PACIFIC LAND TRUST SUB-SHARE S		35,850.00	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500554 JOSEPH, BRUCE	THERMO FISHER SCIENTIFIC INC	S	65,552.79	32.00	7.00	25.00	80.0	18.60
10/24/2018	75500554 JOSEPH, BRUCE	DXC TECHNOLOGY COMPANY COMMON STOCK S		47,844.00	32.00	7.00	25.00	80.0	18.60
10/10/2018	75500570 MAZUR, ARNOLD	CA INC	S	2,413,874.40	1,120.00	7.00	1,113.00	80.0	889.00
10/08/2018	75500573 BRED, JEFFREY	BOX INC CL A	S	8,199.92	32.00	7.00	25.00	80.0	18.60
10/23/2018	75500573 BRED, JEFFREY	CYPRESS SEMICONDUCTOR CORP	B	12,863.50	32.00	7.00	25.00	80.0	18.60
10/01/2018	QGV006570 F/A/O, TDPRIME	INTERNATIONAL ISOTOPES INC CDT-COM B		12,165.45	90.00	7.00	83.00	80.0	65.00
10/01/2018	QGW001463 MERKIN VENTURE	TILRAY INC COM	S	-1,633.18	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW001463 MERKIN VENTURE	AMAZON.COM INC	B	5,521.54	32.00	7.00	25.00	80.0	18.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/08/2018	QGW001463	MERKIN VENTURE							
		GOLDMAN SACHS GROUP INC	B	13,529.40	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW001463	MERKIN VENTURE							
		EVENTBRITE INC COM CL A	B	8,815.08	32.00	7.00	25.00	80.0	18.60
10/11/2018	QGW001463	MERKIN VENTURE							
		GOLDMAN SACHS GROUP INC	B	34,374.40	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		AMAZON.COM INC	S	-5,361.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		EVENTBRITE INC COM CL A	S	-8,963.02	34.00	7.00	27.00	80.0	20.20
10/12/2018	QGW001463	MERKIN VENTURE							
		GOLDMAN SACHS GROUP INC	S	-14,885.50	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		NEW RESIDENTIAL INVESTMENT CORP	S	-12,147.31	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		NEW RESIDENTIAL INVESTMENT CORP	S	-10,380.06	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		TILRAY INC COM	S	-1,484.80	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		U S SILICA HOLDINGS INC	S	-5,912.55	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001463	MERKIN VENTURE							
		U S SILICA HOLDINGS INC	S	-8,154.00	32.00	7.00	25.00	80.0	18.60
10/15/2018	QGW001463	MERKIN VENTURE							
		HI-CRUSH PARTNERS LP COM UNIT PARTN B		5,339.04	33.00	7.00	26.00	80.0	19.40
10/18/2018	QGW001463	MERKIN VENTURE							
		SCHLUMBERGER LTD	B	18,259.43	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW001463	MERKIN VENTURE							
		ALPHABET INC CLASS A COMMON STOCK	B	110,500.00	45.00	7.00	38.00	80.0	29.00
10/19/2018	QGW001463	MERKIN VENTURE							
		SCHLUMBERGER LTD	S	-18,591.41	33.00	7.00	26.00	80.0	19.40
10/22/2018	QGW001463	MERKIN VENTURE							
		ALPHABET INC CLASS A COMMON STOCK	S	-78,152.28	34.00	7.00	27.00	80.0	20.20
10/22/2018	QGW001463	MERKIN VENTURE							
		GOLDMAN SACHS GROUP INC	S	-19,956.69	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW001463	MERKIN VENTURE							
		NEW RESIDENTIAL INVESTMENT CORP	S	-8,960.00	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW001463	MERKIN VENTURE							
		U S SILICA HOLDINGS INC	S	-6,265.84	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW001463	MERKIN VENTURE							
		U S SILICA HOLDINGS INC	S	-6,048.39	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW001463	MERKIN VENTURE							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		3,227.83	32.00	7.00	25.00	80.0	18.60
10/24/2018	QGW001463	MERKIN VENTURE							
		NVIDIA CORP	B	13,682.32	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW001463	MERKIN VENTURE							
		ALPHABET INC CLASS A COMMON STOCK	S	-33,416.40	34.00	7.00	27.00	80.0	20.20
10/25/2018	QGW001463	MERKIN VENTURE							
		AMAZON.COM INC	B	37,142.74	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW001463	MERKIN VENTURE							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-3,348.00	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW001463	MERKIN VENTURE							
		NVIDIA CORP	S	-10,060.29	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW001463	MERKIN VENTURE							
		GOLDMAN SACHS GROUP INC	S	-12,780.60	32.00	7.00	25.00	80.0	18.60
09/27/2018	QGW001471	MERKIN, JONATHAN							
		SCHLUMBERGER LTD	S	-12,226.22	32.00	7.00	25.00	80.0	18.60
10/05/2018	QGW001471	MERKIN, JONATHAN							
		U S SILICA HOLDINGS INC	B	9,045.48	33.00	7.00	26.00	80.0	19.40
10/08/2018	QGW001471	MERKIN, JONATHAN							
		GOLDMAN SACHS GROUP INC	B	23,676.45	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW001471	MERKIN, JONATHAN							
		U S SILICA HOLDINGS INC	S	-6,000.45	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	S	-16,386.20	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001471	MERKIN, JONATHAN							
		NIO INC AMERICAN DEPOSITARY SHARES	S	-3,344.30	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW001471	MERKIN, JONATHAN							
		U S SILICA HOLDINGS INC	S	-16,611.45	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW001471	MERKIN, JONATHAN							
		TWITTER INC	B	6,396.56	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW001471	MERKIN, JONATHAN							
		GOLDMAN SACHS GROUP INC	B	12,736.80	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW001471	MERKIN, JONATHAN							
		TWITTER INC	S	-26,517.67	34.00	7.00	27.00	80.0	20.20
10/26/2018	QGW001471	MERKIN, JONATHAN							
		GOLDMAN SACHS GROUP INC	B	6,356.85	32.00	7.00	25.00	80.0	18.60
10/29/2018	QGW001471	MERKIN, JONATHAN							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		11,706.69	34.00	7.00	27.00	80.0	20.20
10/29/2018	QGW001471	MERKIN, JONATHAN							
		GOLDMAN SACHS GROUP INC	S	-12,930.44	32.00	7.00	25.00	80.0	18.60

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/17/2018	QGW019598	MERKIN, SOLOMON	CANOPY GROWTH CORP COM	ISIN# CA1380 B	30,085.44	34.00	7.00	27.00	80.0	20.20
10/19/2018	QGW019598	MERKIN, SOLOMON	CANOPY GROWTH CORP COM	ISIN# CA1380 B	14,838.15	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019598	MERKIN, SOLOMON	CANOPY GROWTH CORP COM	ISIN# CA1380 S	-8,351.13	32.00	7.00	25.00	80.0	18.60
10/01/2018	QGW019606	MERKIN FAMILY TRUST,	TILRAY INC COM	S	-2,449.77	32.00	7.00	25.00	80.0	18.60
10/04/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	B	16,556.43	33.00	7.00	26.00	80.0	19.40
10/05/2018	QGW019606	MERKIN FAMILY TRUST,	TILRAY INC COM	B	3,378.48	32.00	7.00	25.00	80.0	18.60
10/05/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	B	14,140.35	34.00	7.00	27.00	80.0	20.20
10/08/2018	QGW019606	MERKIN FAMILY TRUST,	THE GREEN ORGANIC DUTCHMAN HOLDINGS	S	-5,140.00	33.00	7.00	26.00	80.0	19.40
10/08/2018	QGW019606	MERKIN FAMILY TRUST,	TILRAY INC COM	S	-6,988.63	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	S	-15,659.88	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW019606	MERKIN FAMILY TRUST,	AMAZON.COM INC	B	16,113.02	33.00	7.00	26.00	80.0	19.40
10/11/2018	QGW019606	MERKIN FAMILY TRUST,	AMAZON.COM INC	S	-15,468.30	32.00	7.00	25.00	80.0	18.60
10/11/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	S	-14,996.10	33.00	7.00	26.00	80.0	19.40
10/12/2018	QGW019606	MERKIN FAMILY TRUST,	EVENTBRITE INC COM CL A	B	16,758.12	34.00	7.00	27.00	80.0	20.20
10/12/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	B	10,600.00	45.00	7.00	38.00	80.0	29.00
10/15/2018	QGW019606	MERKIN FAMILY TRUST,	EVENTBRITE INC COM CL A	S	-16,550.03	34.00	7.00	27.00	80.0	20.20
10/17/2018	QGW019606	MERKIN FAMILY TRUST,	CANOPY GROWTH CORP COM	ISIN# CA1380 B	24,168.64	34.00	7.00	27.00	80.0	20.20
10/17/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	B	12,217.96	34.00	7.00	27.00	80.0	20.20
10/17/2018	QGW019606	MERKIN FAMILY TRUST,	U S SILICA HOLDINGS INC	B	15,185.07	34.00	7.00	27.00	80.0	20.20
10/19/2018	QGW019606	MERKIN FAMILY TRUST,	CANOPY GROWTH CORP COM	ISIN# CA1380 B	16,034.78	36.00	7.00	29.00	80.0	21.80
10/19/2018	QGW019606	MERKIN FAMILY TRUST,	SCHLUMBERGER LTD	B	33,000.00	50.00	7.00	43.00	80.0	33.00
10/19/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	B	11,736.80	36.00	7.00	29.00	80.0	21.80
10/22/2018	QGW019606	MERKIN FAMILY TRUST,	CANOPY GROWTH CORP COM	ISIN# CA1380 S	-34,232.14	36.00	7.00	29.00	80.0	21.80
10/22/2018	QGW019606	MERKIN FAMILY TRUST,	SCHLUMBERGER LTD	S	-34,106.04	33.00	7.00	26.00	80.0	19.40
10/22/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	S	-26,212.12	32.00	7.00	25.00	80.0	18.60
10/24/2018	QGW019606	MERKIN FAMILY TRUST,	CANOPY GROWTH CORP COM	ISIN# CA1380 B	12,225.50	40.00	7.00	33.00	80.0	25.00
10/25/2018	QGW019606	MERKIN FAMILY TRUST,	CANOPY GROWTH CORP COM	ISIN# CA1380 B	17,291.25	34.00	7.00	27.00	80.0	20.20
10/25/2018	QGW019606	MERKIN FAMILY TRUST,	TWITTER INC	S	-31,644.00	34.00	7.00	27.00	80.0	20.20
10/25/2018	QGW019606	MERKIN FAMILY TRUST,	U S SILICA HOLDINGS INC	S	-6,715.05	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019606	MERKIN FAMILY TRUST,	CITIGROUP GLOBAL MKTS HLDGS INC	LEV B	6,318.34	34.00	7.00	27.00	80.0	20.20
10/26/2018	QGW019606	MERKIN FAMILY TRUST,	U S SILICA HOLDINGS INC	S	-5,254.00	32.00	7.00	25.00	80.0	18.60
09/27/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	S	-20,005.49	32.00	7.00	25.00	80.0	18.60
09/27/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	S	-20,150.00	32.00	7.00	25.00	80.0	18.60
09/27/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	S	-20,056.23	32.00	7.00	25.00	80.0	18.60
09/27/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	S	-20,110.00	32.00	7.00	25.00	80.0	18.60
10/03/2018	QGW019614	MENDES, DINAH	FACEBOOK INC CL A	S	-48,729.03	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	B	18,735.35	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	B	17,738.46	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW019614	MENDES, DINAH	FACEBOOK INC CL A	B	15,238.99	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019614	MENDES, DINAH	AMAZON.COM INC	B	16,353.60	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/27/2018	QGW019622	MERKIN, SHARON		CORBUS PHARMACEUTICALS HOLDINGS INC S	-4,320.00	34.00	7.00	27.00	80.0 20.20
09/27/2018	QGW019622	MERKIN, SHARON		TESLA MOTORS INC B	27,000.00	34.00	7.00	27.00	80.0 20.20
09/27/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	3,182.49	65.11	7.00	58.11	80.0 45.09
09/27/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	-3,182.49	-65.11	-7.00	-58.11	80.0 -45.09 C
09/27/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	3,182.49	65.11	7.00	58.11	80.0 45.09
09/28/2018	QGW019622	MERKIN, SHARON		TESLA MOTORS INC S	-37,000.00	45.00	7.00	38.00	80.0 29.00
09/28/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	33,543.08	36.00	7.00	29.00	80.0 21.80
10/01/2018	QGW019622	MERKIN, SHARON		CORBUS PHARMACEUTICALS HOLDINGS INC S	-15,140.00	36.00	7.00	29.00	80.0 21.80
10/01/2018	QGW019622	MERKIN, SHARON		TWITTER INC S	-10,312.70	32.00	7.00	25.00	80.0 18.60
10/01/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC S	-9,775.05	32.00	7.00	25.00	80.0 18.60
10/03/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM B	15,387.69	36.00	7.00	29.00	80.0 21.80
10/04/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM B	9,195.97	38.00	7.00	31.00	80.0 23.40
10/04/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM S	-16,357.52	38.00	7.00	31.00	80.0 23.40
10/04/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	2,280.85	57.60	7.00	50.60	80.0 39.08
10/04/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	-2,280.85	-57.60	-7.00	-50.60	80.0 -39.08 C
10/04/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	2,280.85	57.60	7.00	50.60	80.0 39.08
10/04/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	33,788.64	35.00	7.00	28.00	80.0 21.00
10/05/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM S	-6,323.04	34.00	7.00	27.00	80.0 20.20
10/05/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	14,140.35	34.00	7.00	27.00	80.0 20.20
10/08/2018	QGW019622	MERKIN, SHARON		CORBUS PHARMACEUTICALS HOLDINGS INC S	-15,642.30	34.00	7.00	27.00	80.0 20.20
10/08/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM B	10,873.95	36.00	7.00	29.00	80.0 21.80
10/08/2018	QGW019622	MERKIN, SHARON		TWITTER INC S	-18,955.49	35.00	7.00	28.00	80.0 21.00
10/08/2018	QGW019622	MERKIN, SHARON		TWITTER INC S	-34,382.04	34.00	7.00	27.00	80.0 20.20
10/08/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC S	-32,002.40	34.00	7.00	27.00	80.0 20.20
10/09/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM B	3,490.05	34.00	7.00	27.00	80.0 20.20
10/10/2018	QGW019622	MERKIN, SHARON		NEW AGE BEVERAGES CORP COM S	-16,281.90	34.00	7.00	27.00	80.0 20.20
10/10/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	22,949.36	32.00	7.00	25.00	80.0 18.60
10/11/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM B	19,254.21	32.00	7.00	25.00	80.0 18.60
10/11/2018	QGW019622	MERKIN, SHARON		TURTLE BEACH CORP COM NEW B	14,571.52	32.00	7.00	25.00	80.0 18.60
10/11/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	12,819.87	34.00	7.00	27.00	80.0 20.20
10/11/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC S	-16,419.96	32.00	7.00	25.00	80.0 18.60
10/12/2018	QGW019622	MERKIN, SHARON		ANAPLAN INC COM B	27,214.98	38.00	7.00	31.00	80.0 23.40
10/12/2018	QGW019622	MERKIN, SHARON		BAIDU INC SPONSORED ADR REPSTG ORD B	40,000.00	45.00	7.00	38.00	80.0 29.00
10/12/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM S	-21,315.24	34.00	7.00	27.00	80.0 20.20
10/12/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	1,704.96	58.50	7.00	51.50	80.0 39.80
10/12/2018	QGW019622	MERKIN, SHARON		TURTLE BEACH CORP COM NEW S	-15,419.42	32.00	7.00	25.00	80.0 18.60
10/12/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	19,758.69	32.00	7.00	25.00	80.0 18.60
10/12/2018	QGW019622	MERKIN, SHARON		TWITTER INC B	21,200.00	45.00	7.00	38.00	80.0 29.00
10/15/2018	QGW019622	MERKIN, SHARON		ANAPLAN INC COM B	18,389.27	36.00	7.00	29.00	80.0 21.80
10/15/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH B	1,378.00	58.50	7.00	51.50	80.0 39.80

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/16/2018	QGW019622 MERKIN, SHARON	ANAPLAN INC COM	B	5,551.48	32.00	7.00	25.00	80.0	18.60
10/16/2018	QGW019622 MERKIN, SHARON	BAIDU INC SPONSORED ADR REPSTG ORD	S	-40,393.40	34.00	7.00	27.00	80.0	20.20
10/16/2018	QGW019622 MERKIN, SHARON	CANOPY GROWTH CORP COM ISIN# CA1380	B	37,322.95	35.00	7.00	28.00	80.0	21.00
10/17/2018	QGW019622 MERKIN, SHARON	ANAPLAN INC COM	S	-25,489.52	38.00	7.00	31.00	80.0	23.40
10/18/2018	QGW019622 MERKIN, SHARON	ANAPLAN INC COM	S	-6,879.60	32.00	7.00	25.00	80.0	18.60
10/18/2018	QGW019622 MERKIN, SHARON	CANOPY GROWTH CORP COM ISIN# CA1380	B	25,716.08	34.00	7.00	27.00	80.0	20.20
10/18/2018	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	B	18,259.43	32.00	7.00	25.00	80.0	18.60
10/18/2018	QGW019622 MERKIN, SHARON	TWITTER INC	S	-14,640.00	32.00	7.00	25.00	80.0	18.60
10/18/2018	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	20,486.79	35.00	7.00	28.00	80.0	21.00
10/19/2018	QGW019622 MERKIN, SHARON	ALPHABET INC CLASS A COMMON STOCK	B	110,500.00	45.00	7.00	38.00	80.0	29.00
10/19/2018	QGW019622 MERKIN, SHARON	ALPHABET INC CLASS A COMMON STOCK	B	110,000.00	45.00	7.00	38.00	80.0	29.00
10/19/2018	QGW019622 MERKIN, SHARON	ANAPLAN INC COM	S	-8,394.33	34.00	7.00	27.00	80.0	20.20
10/19/2018	QGW019622 MERKIN, SHARON	BAIDU INC SPONSORED ADR REPSTG ORD	B	19,000.00	45.00	7.00	38.00	80.0	29.00
10/19/2018	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	S	-18,591.41	33.00	7.00	26.00	80.0	19.40
10/19/2018	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	4,224.32	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW019622 MERKIN, SHARON	ALPHABET INC CLASS A COMMON STOCK	S	-223,292.22	36.00	7.00	29.00	80.0	21.80
10/22/2018	QGW019622 MERKIN, SHARON	ANAPLAN INC COM	S	-14,310.97	36.00	7.00	29.00	80.0	21.80
10/22/2018	QGW019622 MERKIN, SHARON	BAIDU INC SPONSORED ADR REPSTG ORD	S	-19,749.25	34.00	7.00	27.00	80.0	20.20
10/22/2018	QGW019622 MERKIN, SHARON	CANOPY GROWTH CORP COM ISIN# CA1380	B	13,599.83	35.00	7.00	28.00	80.0	21.00
10/22/2018	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	S	-7,512.05	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW019622 MERKIN, SHARON	AURORA CANNABIS INC COM ISIN#CA0515	B	7,228.61	36.00	7.00	29.00	80.0	21.80
10/23/2018	QGW019622 MERKIN, SHARON	CANOPY GROWTH CORP COM ISIN# CA1380	B	8,216.73	36.00	7.00	29.00	80.0	21.80
10/23/2018	QGW019622 MERKIN, SHARON	THE FLOWR CORPORATION REGISTERED SH	B	1,701.36	58.50	7.00	51.50	80.0	39.80
10/23/2018	QGW019622 MERKIN, SHARON	THE FLOWR CORPORATION REGISTERED SH	B	1,701.36	58.50	7.00	51.50	80.0	39.80
10/23/2018	QGW019622 MERKIN, SHARON	THE FLOWR CORPORATION REGISTERED SH	B	-1,701.36	-58.50	-7.00	-51.50	80.0	-39.80 C
10/23/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	7,155.22	32.00	7.00	25.00	80.0	18.60
10/24/2018	QGW019622 MERKIN, SHARON	AURORA CANNABIS INC COM ISIN#CA0515	S	-6,423.50	32.00	7.00	25.00	80.0	18.60
10/24/2018	QGW019622 MERKIN, SHARON	NVIDIA CORP	B	15,787.29	34.00	7.00	27.00	80.0	20.20
10/24/2018	QGW019622 MERKIN, SHARON	THE FLOWR CORPORATION REGISTERED SH	S	-5,831.00	63.00	7.00	56.00	80.0	43.40
10/24/2018	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	S	-13,060.50	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019622 MERKIN, SHARON	ALPHABET INC CLASS A COMMON STOCK	B	52,406.56	36.00	7.00	29.00	80.0	21.80
10/25/2018	QGW019622 MERKIN, SHARON	ALPHABET INC CLASS A COMMON STOCK	S	-25,464.00	34.00	7.00	27.00	80.0	20.20
10/25/2018	QGW019622 MERKIN, SHARON	AMAZON.COM INC	B	44,217.54	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019622 MERKIN, SHARON	AURORA CANNABIS INC COM ISIN#CA0515	B	21,676.79	40.00	7.00	33.00	80.0	25.00
10/25/2018	QGW019622 MERKIN, SHARON	CITIGROUP GLOBAL MKTS HLDGS INC LEV	B	4,626.30	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019622 MERKIN, SHARON	NVIDIA CORP	B	39,469.82	36.00	7.00	29.00	80.0	21.80
10/25/2018	QGW019622 MERKIN, SHARON	NVIDIA CORP	S	-56,255.49	36.00	7.00	29.00	80.0	21.80
10/25/2018	QGW019622 MERKIN, SHARON	THE FLOWR CORPORATION REGISTERED SH	B	1,983.83	74.00	7.00	67.00	80.0	52.20
10/25/2018	QGW019622 MERKIN, SHARON	TWITTER INC	S	-237,773.02	70.00	7.00	63.00	80.0	49.00
10/26/2018	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED	B	42,300.00	242.19	7.00	235.19	80.0	186.75

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/26/2018	QGW019622 MERKIN, SHARON	ALPHABET INC CLASS A COMMON STOCK	S	-26,219.00	34.00	7.00	27.00	80.0	20.20
10/26/2018	QGW019622 MERKIN, SHARON	AMAZON.COM INC	B	16,312.86	36.00	7.00	29.00	80.0	21.80
10/26/2018	QGW019622 MERKIN, SHARON	AURORA CANNABIS INC COM ISIN#CA0515	B	19,595.73	38.00	7.00	31.00	80.0	23.40
10/26/2018	QGW019622 MERKIN, SHARON	CITIGROUP GLOBAL MKTS HLDGS INC LEV	S	-4,743.47	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019622 MERKIN, SHARON	THE FLOWR CORPORATION REGISTERED SH	B	1,741.56	45.00	7.00	38.00	80.0	29.00
10/26/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	16,247.50	34.00	7.00	27.00	80.0	20.20
10/29/2018	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED	B	59,508.54	36.00	7.00	29.00	80.0	21.80
10/29/2018	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED	S	-89,073.45	40.00	7.00	33.00	80.0	25.00
10/29/2018	QGW019622 MERKIN, SHARON	AMAZON.COM INC	B	11,172.59	32.00	7.00	25.00	80.0	18.60
10/29/2018	QGW019622 MERKIN, SHARON	AURORA CANNABIS INC COM ISIN#CA0515	B	23,438.31	50.00	7.00	43.00	80.0	33.00
10/29/2018	QGW019622 MERKIN, SHARON	CANOPY GROWTH CORP COM ISIN# CA1380	B	34,717.65	38.00	7.00	31.00	80.0	23.40
10/29/2018	QGW019622 MERKIN, SHARON	TWITTER INC	S	-16,996.68	40.00	7.00	33.00	80.0	25.00
10/29/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	13,590.74	36.00	7.00	29.00	80.0	21.80
10/25/2018	QGW019630 MERKIN, JAMES	TWITTER INC	S	-9,493.20	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019630 MERKIN, JAMES	CANOPY GROWTH CORP COM ISIN# CA1380	B	8,776.55	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019630 MERKIN, JAMES	THE FLOWR CORPORATION REGISTERED SH	B	1,367.00	40.00	7.00	33.00	80.0	25.00
10/25/2018	QGW019648 MERKIN, SOLOMON	TWITTER INC	S	-11,075.40	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019648 MERKIN, SOLOMON	CANOPY GROWTH CORP COM ISIN# CA1380	B	10,990.45	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019648 MERKIN, SOLOMON	THE FLOWR CORPORATION REGISTERED SH	B	1,367.00	40.00	7.00	33.00	80.0	25.00
10/08/2018	QGW019655 MERKIN, DAPHNE	SMART GLOBAL HLDGS INC SHS	B	12,050.76	32.00	7.00	25.00	80.0	18.60
10/09/2018	QGW019655 MERKIN, DAPHNE	SMART GLOBAL HLDGS INC SHS	B	8,283.26	33.00	7.00	26.00	80.0	19.40
10/10/2018	QGW019655 MERKIN, DAPHNE	SMART GLOBAL HLDGS INC SHS	S	-11,436.12	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW019655 MERKIN, DAPHNE	TWITTER INC	B	8,147.70	32.00	7.00	25.00	80.0	18.60
10/11/2018	QGW019655 MERKIN, DAPHNE	AMAZON.COM INC	B	6,868.03	32.00	7.00	25.00	80.0	18.60
10/11/2018	QGW019655 MERKIN, DAPHNE	SMART GLOBAL HLDGS INC SHS	S	-9,608.90	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW019655 MERKIN, DAPHNE	SMART GLOBAL HLDGS INC SHS	S	-3,988.29	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW019655 MERKIN, DAPHNE	AMAZON.COM INC	B	7,082.52	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019655 MERKIN, DAPHNE	ALLERGAN PLC	S	-15,453.00	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019655 MERKIN, DAPHNE	TWITTER INC	S	-9,493.20	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019655 MERKIN, DAPHNE	U S SILICA HOLDINGS INC	S	-21,779.20	36.00	7.00	29.00	80.0	21.80
10/29/2018	QGW019655 MERKIN, DAPHNE	AMAZON.COM INC	B	6,384.34	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW019663 MERKIN, DAVID	SMART GLOBAL HLDGS INC SHS	B	12,050.76	32.00	7.00	25.00	80.0	18.60
10/09/2018	QGW019663 MERKIN, DAVID	SMART GLOBAL HLDGS INC SHS	B	8,283.26	33.00	7.00	26.00	80.0	19.40
10/10/2018	QGW019663 MERKIN, DAVID	SMART GLOBAL HLDGS INC SHS	S	-11,436.12	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW019663 MERKIN, DAVID	TWITTER INC	B	8,147.70	32.00	7.00	25.00	80.0	18.60
10/11/2018	QGW019663 MERKIN, DAVID	AMAZON.COM INC	B	6,868.03	32.00	7.00	25.00	80.0	18.60
10/11/2018	QGW019663 MERKIN, DAVID	SMART GLOBAL HLDGS INC SHS	S	-9,608.90	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW019663 MERKIN, DAVID	SMART GLOBAL HLDGS INC SHS	S	-3,988.29	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW019663 MERKIN, DAVID	AMAZON.COM INC	B	5,311.89	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019663 MERKIN, DAVID	ALLERGAN PLC	S	-13,101.19	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2018	QGW019663	MERKIN, DAVID							
		AMAZON.COM INC	S	-7,168.00	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019663	MERKIN, DAVID							
		TWITTER INC	S	-9,493.20	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019663	MERKIN, DAVID							
		U S SILICA HOLDINGS INC	S	-21,779.20	36.00	7.00	29.00	80.0	21.80
10/29/2018	QGW019663	MERKIN, DAVID							
		AMAZON.COM INC	B	4,788.25	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW019713	MERKIN TRUST, SAMUEL INTERCEPT PHARMACEUTICALS INC COM	B	6,226.20	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019713	MERKIN TRUST, SAMUEL INTERCEPT PHARMACEUTICALS INC COM	B	6,200.47	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW019713	MERKIN TRUST, SAMUEL UNIVERSAL DISPLAY CORPORATION	S	-3,126.90	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW019739	MERKIN TRUST, ESTHER GOLDMAN SACHS GROUP INC	B	16,911.75	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019754	MERKIN TRUST, PHILIP TWITTER INC	S	-11,550.06	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW019796	MERKIN TRUST, JENNY ALLERGAN PLC	S	-15,007.60	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW019796	MERKIN TRUST, JENNY BLACKROCK INC	B	13,573.00	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW019796	MERKIN TRUST, JENNY SCHLUMBERGER LTD	B	4,247.27	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW019796	MERKIN TRUST, JENNY TWITTER INC	S	-8,646.00	34.00	7.00	27.00	80.0	20.20
10/24/2018	QGW019796	MERKIN TRUST, JENNY NVIDIA CORP	B	6,946.41	32.00	7.00	25.00	80.0	18.60
10/05/2018	QGW019804	MERKIN TRUST, Yael	B	9,356.00	36.00	7.00	29.00	80.0	21.80
10/25/2018	QGW019804	MERKIN TRUST, Yael	B	5,869.60	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019804	MERKIN TRUST, Yael	S	-15,822.00	34.00	7.00	27.00	80.0	20.20
10/29/2018	QGW019804	MERKIN TRUST, Yael	B	6,384.34	32.00	7.00	25.00	80.0	18.60
10/05/2018	QGW019887	LIEBERMAN, ROBERT	S	-33,893.85	50.00	7.00	43.00	80.0	33.00
10/11/2018	QGW019887	LIEBERMAN, ROBERT	S	-184,757.02	60.00	7.00	53.00	80.0	41.00
10/22/2018	QGW019887	LIEBERMAN, ROBERT	B	165,269.70	65.00	7.00	58.00	80.0	45.00
10/11/2018	QGW028763	MERKIN, SOLOMON							
		CORBUS PHARMACEUTICALS HOLDINGS INC S	S	-7,283.05	33.00	7.00	26.00	80.0	19.40
10/11/2018	QGW028763	MERKIN, SOLOMON							
		TURTLE BEACH CORP COM NEW	B	7,294.88	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW028763	MERKIN, SOLOMON							
		TURTLE BEACH CORP COM NEW	S	-7,719.36	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW028763	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	3,389.22	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW028763	MERKIN, SOLOMON							
		TWITTER INC	S	-17,350.32	33.00	7.00	26.00	80.0	19.40
10/29/2018	QGW028763	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	10,726.37	38.00	7.00	31.00	80.0	23.40
09/28/2018	QGW028771	MERKIN, SOLOMON							
		NEW AGE BEVERAGES CORP COM	S	-4,114.54	34.00	7.00	27.00	80.0	20.20
09/28/2018	QGW028771	MERKIN, SOLOMON							
		TWITTER INC	B	16,800.00	45.00	7.00	38.00	80.0	29.00
10/01/2018	QGW028771	MERKIN, SOLOMON							
		TWITTER INC	S	-17,136.00	34.00	7.00	27.00	80.0	20.20
10/09/2018	QGW028771	MERKIN, SOLOMON							
		EVENTBRITE INC COM CL A	B	7,072.80	32.00	7.00	25.00	80.0	18.60
10/15/2018	QGW028771	MERKIN, SOLOMON							
		EVENTBRITE INC COM CL A	S	-6,732.22	32.00	7.00	25.00	80.0	18.60
10/23/2018	QGW028771	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	3,679.73	32.00	7.00	25.00	80.0	18.60
* STOCKS SUBTOTAL *				\$3,948,384.79	\$11,677.40	\$2,086.00	\$9,591.40		\$7,255.92
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/19/2018	QGW001463	MERKIN VENTURE							
		CALL 100 ALPHABET INC CL A EXP 10-1 B		1,105.80	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW001471	MERKIN, JONATHAN							
		CALL 100 ALPHABET INC CL A EXP 10-1 B		3,317.40	34.00	7.00	27.00	80.0	20.20
10/19/2018	QGW001471	MERKIN, JONATHAN							
		CALL 100 ALPHABET INC CL A EXP 10-1 S		-1,400.01	34.00	7.00	27.00	80.0	20.20
09/28/2018	QGW019598	MERKIN, SOLOMON							
		CALL 100 NVIDIA CORP COM EXP 09-28- B		3,215.03	34.00	7.00	27.00	80.0	20.20

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/28/2018	QGW019598	MERKIN, SOLOMON	CALL 100	NVIDIA CORP COM EXP 09-28- S	-3,800.02	34.00	7.00	27.00	80.0 20.20
09/28/2018	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-12- B	1,784.00	34.00	7.00	27.00	80.0 20.20
10/01/2018	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-12- B	1,544.96	32.00	7.00	25.00	80.0 18.60
10/04/2018	QGW019598	MERKIN, SOLOMON	CALL 100	GOLDMAN SACHS GROUP INC CO B	3,365.00	32.00	7.00	25.00	80.0 18.60
10/08/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-19-1 B	36,285.00	34.00	7.00	27.00	80.0 20.20
10/09/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-19-1 S	-29,145.00	35.00	7.00	28.00	80.0 21.00
10/09/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-12-1 B	17,674.00	36.00	7.00	29.00	80.0 21.80
10/09/2018	QGW019598	MERKIN, SOLOMON	CALL 100	EVENTBRITE INC COM CL A EX B	3,360.00	34.00	7.00	27.00	80.0 20.20
10/09/2018	QGW019598	MERKIN, SOLOMON	CALL 100	GOLDMAN SACHS GROUP INC CO B	3,710.00	36.00	7.00	29.00	80.0 21.80
10/09/2018	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-12- S	-4,595.20	36.00	7.00	29.00	80.0 21.80
10/10/2018	QGW019598	MERKIN, SOLOMON	CALL 100	ALIBABA GROUP HLDG LTD SPO B	7,077.99	32.00	7.00	25.00	80.0 18.60
10/12/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-19-1 B	4,400.00	32.00	7.00	25.00	80.0 18.60
10/15/2018	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-19- S	-642.00	32.00	7.00	25.00	80.0 18.60
10/16/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-19-1 S	-2,457.00	32.00	7.00	25.00	80.0 18.60
10/16/2018	QGW019598	MERKIN, SOLOMON	CALL 100	EVENTBRITE INC COM CL A EX S	-2,628.92	34.00	7.00	27.00	80.0 20.20
10/16/2018	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-19- S	-2,372.00	34.00	7.00	27.00	80.0 20.20
10/17/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-19-1 S	-7,750.00	34.00	7.00	27.00	80.0 20.20
10/17/2018	QGW019598	MERKIN, SOLOMON	CALL 100	BAIDU COM INC SPONS ADR RE B	2,380.00	33.00	7.00	26.00	80.0 19.40
10/18/2018	QGW019598	MERKIN, SOLOMON	CALL 100	ALPHABET INC CL A EXP 10-1 B	1,299.00	32.00	7.00	25.00	80.0 18.60
10/18/2018	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-19- S	-1,072.00	32.00	7.00	25.00	80.0 18.60
10/19/2018	QGW019598	MERKIN, SOLOMON	CALL 100	AMAZON COM INC EXP 10-19-1 S	-700.00	32.00	7.00	25.00	80.0 18.60
10/22/2018	QGW019598	MERKIN, SOLOMON	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-6,160.00	33.00	7.00	26.00	80.0 19.40
09/28/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALIBABA GROUP HLDG LTD SPO B	4,510.00	32.00	7.00	25.00	80.0 18.60
10/01/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 10-12- B	1,544.96	32.00	7.00	25.00	80.0 18.60
10/02/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	SCHLUMBERGER LTD COM ISIN# S	-2,085.00	32.00	7.00	25.00	80.0 18.60
10/04/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	BAIDU COM INC SPONS ADR RE B	3,939.42	32.00	7.00	25.00	80.0 18.60
10/09/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	COUPA SOFTWARE INC COM EXP B	5,390.00	34.00	7.00	27.00	80.0 20.20
10/09/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 10-12- S	-1,148.80	32.00	7.00	25.00	80.0 18.60
10/12/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	AMAZON COM INC EXP 10-12-1 S	-11,919.99	34.00	7.00	27.00	80.0 20.20
10/12/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	AMAZON COM INC EXP 10-12-1 B	7,842.00	34.00	7.00	27.00	80.0 20.20
10/15/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	AMAZON COM INC EXP 10-19-1 B	13,700.00	34.00	7.00	27.00	80.0 20.20
10/16/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	AMAZON COM INC EXP 10-19-1 S	-13,765.00	34.00	7.00	27.00	80.0 20.20
10/16/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	COUPA SOFTWARE INC COM EXP S	-3,720.99	36.00	7.00	29.00	80.0 21.80
10/16/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 10-19- S	-2,372.00	34.00	7.00	27.00	80.0 20.20
10/17/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	BAIDU COM INC SPONS ADR RE B	2,100.00	32.00	7.00	25.00	80.0 18.60
10/18/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	AMAZON COM INC EXP 10-19-1 B	2,140.00	32.00	7.00	25.00	80.0 18.60
10/18/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALPHABET INC CL A EXP 10-1 B	2,598.00	32.00	7.00	25.00	80.0 18.60
10/19/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	AMAZON COM INC EXP 10-19-1 S	-1,080.00	32.00	7.00	25.00	80.0 18.60
10/19/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	BAIDU COM INC SPONS ADR RE S	-1,270.00	33.00	7.00	26.00	80.0 19.40
10/19/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100	SCHLUMBERGER LTD COM ISIN# S	-1,065.00	32.00	7.00	25.00	80.0 18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/24/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALPHABET INC CL A EXP 10-2 B		3,006.67	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALPHABET INC CL A EXP 10-2 S		-3,720.00	32.00	7.00	25.00	80.0	18.60
09/28/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO B		2,500.00	36.00	7.00	29.00	80.0	21.80
09/28/2018	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 11-16- B		7,347.04	38.00	7.00	31.00	80.0	23.40
09/28/2018	QGW019622	MERKIN, SHARON PUT 100 TESLA INC EXP 01-18-19 @370 S		-22,070.00	34.00	7.00	27.00	80.0	20.20
10/02/2018	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-12- B		1,480.00	33.00	7.00	26.00	80.0	19.40
10/04/2018	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		11,589.99	36.00	7.00	29.00	80.0	21.80
10/04/2018	QGW019622	MERKIN, SHARON CALL 100 BAIDU COM INC SPONS ADR RE B		5,252.56	34.00	7.00	27.00	80.0	20.20
10/04/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO B		3,365.00	34.00	7.00	27.00	80.0	20.20
10/04/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO S		-1,155.00	32.00	7.00	25.00	80.0	18.60
10/04/2018	QGW019622	MERKIN, SHARON PUT 100 TESLA INC EXP 01-18-19 @370 S		-9,200.00	32.00	7.00	25.00	80.0	18.60
10/05/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO B		3,790.02	36.00	7.00	29.00	80.0	21.80
10/08/2018	QGW019622	MERKIN, SHARON CALL 100 BAIDU COM INC SPONS ADR RE B		1,170.00	32.00	7.00	25.00	80.0	18.60
10/08/2018	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E S		-2,152.95	33.00	7.00	26.00	80.0	19.40
10/09/2018	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		2,930.00	32.00	7.00	25.00	80.0	18.60
10/09/2018	QGW019622	MERKIN, SHARON CALL 100 BAIDU COM INC SPONS ADR RE B		2,947.00	36.00	7.00	29.00	80.0	21.80
10/10/2018	QGW019622	MERKIN, SHARON CALL 100 AMAZON COM INC EXP 10-19-1 B		20,095.00	34.00	7.00	27.00	80.0	20.20
10/10/2018	QGW019622	MERKIN, SHARON CALL 100 AMAZON COM INC EXP 10-12-1 B		9,815.00	34.00	7.00	27.00	80.0	20.20
10/10/2018	QGW019622	MERKIN, SHARON CALL 100 EVENTBRITE INC COM CL A EX B		990.00	32.00	7.00	25.00	80.0	18.60
10/10/2018	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-19- B		5,056.00	38.00	7.00	31.00	80.0	23.40
10/11/2018	QGW019622	MERKIN, SHARON CALL 100 AMAZON COM INC EXP 10-12-1 B		6,960.00	32.00	7.00	25.00	80.0	18.60
10/12/2018	QGW019622	MERKIN, SHARON CALL 100 AMAZON COM INC EXP 10-12-1 S		-15,950.00	34.00	7.00	27.00	80.0	20.20
10/12/2018	QGW019622	MERKIN, SHARON CALL 100 BAIDU COM INC SPONS ADR RE S		-2,737.77	34.00	7.00	27.00	80.0	20.20
10/12/2018	QGW019622	MERKIN, SHARON CALL 100 EVENTBRITE INC COM CL A EX B		3,270.00	36.00	7.00	29.00	80.0	21.80
10/12/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO B		5,020.00	34.00	7.00	27.00	80.0	20.20
10/15/2018	QGW019622	MERKIN, SHARON CALL 100 CANOPY GROWTH CORP COM ISI S		-5,429.97	36.00	7.00	29.00	80.0	21.80
10/15/2018	QGW019622	MERKIN, SHARON CALL 100 CANOPY GROWTH CORP COM ISI B		4,400.00	36.00	7.00	29.00	80.0	21.80
10/15/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO S		-1,245.00	32.00	7.00	25.00	80.0	18.60
10/15/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO S		-456.00	32.00	7.00	25.00	80.0	18.60
10/15/2018	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-19- S		-5,525.00	40.00	7.00	33.00	80.0	25.00
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 AMAZON COM INC EXP 10-19-1 S		-9,828.00	34.00	7.00	27.00	80.0	20.20
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-6,080.00	32.00	7.00	25.00	80.0	18.60
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 BAIDU COM INC SPONS ADR RE S		-480.00	32.00	7.00	25.00	80.0	18.60
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 BAIDU COM INC SPONS ADR RE B		4,365.00	34.00	7.00	27.00	80.0	20.20
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 EVENTBRITE INC COM CL A EX S		-4,131.16	35.00	7.00	28.00	80.0	21.00
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO S		-5,585.00	36.00	7.00	29.00	80.0	21.80
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 GOLDMAN SACHS GROUP INC CO B		320.00	32.00	7.00	25.00	80.0	18.60
10/16/2018	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-19- S		-1,599.00	33.00	7.00	26.00	80.0	19.40
10/18/2018	QGW019622	MERKIN, SHARON CALL 100 AMAZON COM INC EXP 10-19-1 B		4,280.00	32.00	7.00	25.00	80.0	18.60
10/18/2018	QGW019622	MERKIN, SHARON CALL 100 ALPHABET INC CL A EXP 10-1 B		2,598.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
10/18/2018	QGW019622	MERKIN, SHARON	CALL 100 BAIDU COM INC SPONS ADR RE B	2,405.00	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-1 B	560.00	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-1 S	-2,033.34	36.00	7.00	29.00	80.0	21.80
10/19/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-1 B	1,105.80	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW019622	MERKIN, SHARON	CALL 100 BAIDU COM INC SPONS ADR RE S	-2,540.00	36.00	7.00	29.00	80.0	21.80
10/22/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-2 B	4,250.00	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	2,151.00	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 11-16- S	-5,952.00	32.00	7.00	25.00	80.0	18.60
10/22/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 11-16- S	-2,040.00	32.00	7.00	25.00	80.0	18.60
10/24/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-2 B	6,013.34	34.00	7.00	27.00	80.0	20.20
10/25/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-2 B	6,244.00	34.00	7.00	27.00	80.0	20.20
10/25/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-2 S	-3,720.00	32.00	7.00	25.00	80.0	18.60
10/25/2018	QGW019622	MERKIN, SHARON	CALL 100 SCHLUMBERGER LTD COM ISIN# B	8,665.00	36.00	7.00	29.00	80.0	21.80
10/25/2018	QGW019622	MERKIN, SHARON	PUT 100 TESLA INC EXP 12-21-18 @350 B	10,239.00	34.00	7.00	27.00	80.0	20.20
10/26/2018	QGW019622	MERKIN, SHARON	CALL 100 ALPHABET INC CL A EXP 10-2 S	-3,300.00	34.00	7.00	27.00	80.0	20.20
10/29/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	4,177.50	34.00	7.00	27.00	80.0	20.20
10/30/2018	QGW019622	MERKIN, SHARON	CALL 100 AMAZON COM INC EXP 11-02-1 B	9,590.00	36.00	7.00	29.00	80.0	21.80
10/30/2018	QGW019622	MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 11-02-18 B	5,992.50	36.00	7.00	29.00	80.0	21.80
10/05/2018	QGW028763	MERKIN, SOLOMON	CALL 100 BAIDU COM INC SPONS ADR RE B	1,305.00	33.00	7.00	26.00	80.0	19.40
10/05/2018	QGW028763	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-05- S	-973.44	34.00	7.00	27.00	80.0	20.20
10/12/2018	QGW028763	MERKIN, SOLOMON	CALL 100 BAIDU COM INC SPONS ADR RE S	-782.22	32.00	7.00	25.00	80.0	18.60
10/17/2018	QGW028763	MERKIN, SOLOMON	CALL 100 AMAZON COM INC EXP 10-19-1 B	7,510.00	33.00	7.00	26.00	80.0	19.40
10/19/2018	QGW028763	MERKIN, SOLOMON	CALL 100 AMAZON COM INC EXP 10-19-1 S	-2,160.00	32.00	7.00	25.00	80.0	18.60
10/29/2018	QGW028763	MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	4,177.50	34.00	7.00	27.00	80.0	20.20
10/30/2018	QGW028763	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 11-02-18 B	1,997.50	32.00	7.00	25.00	80.0	18.60
09/28/2018	QGW028771	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 09-28- S	-2,228.90	40.00	7.00	33.00	80.0	25.00
10/05/2018	QGW028771	MERKIN, SOLOMON	CALL 100 BAIDU COM INC SPONS ADR RE S	-6,209.98	40.00	7.00	33.00	80.0	25.00
10/05/2018	QGW028771	MERKIN, SOLOMON	CALL 100 BAIDU COM INC SPONS ADR RE B	3,922.94	40.00	7.00	33.00	80.0	25.00
10/05/2018	QGW028771	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-05- S	-1,338.48	34.00	7.00	27.00	80.0	20.20
10/05/2018	QGW028771	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-19- B	1,674.00	34.00	7.00	27.00	80.0	20.20
10/15/2018	QGW028771	MERKIN, SOLOMON	CALL 100 HI-CRUSH PARTNERS LP COM U B	2,025.00	34.00	7.00	27.00	80.0	20.20
10/15/2018	QGW028771	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-19- S	-1,802.00	35.00	7.00	28.00	80.0	21.00
10/16/2018	QGW028771	MERKIN, SOLOMON	CALL 100 HI-CRUSH PARTNERS LP COM U S	-1,000.00	32.00	7.00	25.00	80.0	18.60
10/17/2018	QGW028771	MERKIN, SOLOMON	CALL 100 AMAZON COM INC EXP 10-19-1 B	7,510.00	33.00	7.00	26.00	80.0	19.40
10/18/2018	QGW028771	MERKIN, SOLOMON	CALL 100 ALPHABET INC CL A EXP 10-1 B	1,299.00	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW028771	MERKIN, SOLOMON	CALL 100 AMAZON COM INC EXP 10-19-1 S	-2,160.00	32.00	7.00	25.00	80.0	18.60
10/19/2018	QGW028771	MERKIN, SOLOMON	CALL 100 ALPHABET INC CL A EXP 10-1 S	-1,016.67	32.00	7.00	25.00	80.0	18.60
10/26/2018	QGW028771	MERKIN, SOLOMON	CALL 100 HI-CRUSH PARTNERS LP COM U S	-675.00	32.00	7.00	25.00	80.0	18.60

* OPTIONS SUBTOTAL *

\$101,218.11

\$4,106.00

\$854.00

\$3,252.00

\$2,430.80

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$4,049,602.90	\$15,783.40	\$2,940.00	\$12,843.40	\$9,686.72
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ADJUSTMENTS

10/31/2018	OTHER: TRADING	0.00	0.00	0.00	0.00	14.46
10/31/2018	ADVANCE: COMMISSION 10/31/2018	0.00	0.00	0.00	0.00	-4,000.00
10/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
10/31/2018	OTHER: OFFICE 360	0.00	0.00	0.00	0.00	-8.00
10/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-500.00
10/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.44
10/31/2018	OTHER: REPAYMENT OF LOAN	0.00	0.00	0.00	0.00	-1,000.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-5,567.98

BROKER COMMISSION TOTALS

Broker Transactions	\$9,686.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,567.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-748.20
FINRA Assessment	\$-19.73
SIPC Assessment	\$-39.46

Check Amount	\$3,311.35
Year-to-date Earnings	\$44,253.33