



COMMISSION STATEMENT

October 29, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	39.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00087877714	BUY 1 Units @33.9600						
10/10/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @33.9600						
10/11/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	39.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00087877714	BUY 1 Units @33.4600						
10/11/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @33.4600						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @28.5900						
10/11/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.06	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @14.3900						
10/11/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.3900						
10/11/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @14.7300						
10/11/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @13.9800						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.4800						
10/08/2018	***-**-835P - BARNETT, ROBERT	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00089237420	BUY 8 Units @13.0100						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @47.3000						
10/10/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 1 Units @50.5500						
10/10/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 4 Units @50.5500						
10/11/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 1 Units @49.9300						
10/11/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 4 Units @49.9300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/11/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @39.4300						
10/10/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	13.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 00087877714	BUY 0 Units @61.6700						
10/10/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @61.6700						
10/11/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	13.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 00087877714	BUY 0 Units @61.0300						
10/11/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @61.0300						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @57.1600						
10/10/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	16.25	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00087877714	BUY 0 Units @56.7400						
10/10/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @56.7400						
10/11/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	16.25	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00087877714	BUY 0 Units @55.9300						
10/11/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @55.9300						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @47.6700						
10/10/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 4 Units @12.6900						
10/10/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.6900						
10/11/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 4 Units @12.7200						
10/11/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.7200						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.4000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/10/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 1 Units @54.3100						
10/10/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @54.3100						
10/11/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 1 Units @53.5100						
10/11/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @53.5100						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @48.0900						
10/10/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 2 Units @41.6200						
10/10/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @41.6200						
10/11/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 2 Units @40.8800						
10/11/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @40.8800						
10/11/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @40.3600						
* MUTUAL FUNDS SUBTOTAL *			\$2,861.74	\$55.61	\$0.00	\$55.61		\$50.08
PRODUCT CATEGORY: VARIABLE ANNUITIES								
10/20/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	90.0	7.42
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
10/20/2018	***-**-209I - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	90.0	27.19
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						
10/25/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	230.35	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
10/25/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	230.35	2.28	0.00	2.28	90.0	2.05
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
10/25/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	186.33	1.86	0.00	1.86	90.0	1.67
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
10/25/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	186.33	1.84	0.00	1.84	90.0	1.66
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$2,756.03	\$46.73	\$0.00	\$46.73		\$42.06
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/29/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	31.28	0.00	31.28	90.0	28.15
	ACCOUNT #: 207247219	BUY 0 Units @0.0000						
10/29/2018	***-**-409H - CERRETA, JEFFREY	VICTORY TRAILS	0.00	0.76	0.00	0.76	90.0	0.68
		BUY 0 Units @0.0000						
10/29/2018	***-**-761H - CERRETA,	VICTORY TRAILS	0.00	0.76	0.00	0.76	90.0	0.68
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$32.80	\$0.00	\$32.80		\$29.51
		*** BROKER TRANSACTIONS TOTAL ***	\$5,617.77	\$135.14	\$0.00	\$135.14		\$121.65

BROKER COMMISSION TOTALS

Broker Transactions	\$121.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$121.65
Year-to-date Earnings	\$319,058.05