

COMMISSION STATEMENT October 15, 2018

MICHAEL BRADFORD-D338
 1400 Broadfield
 Suite 200
 Houston, TX 77084

BROKER #: D338
 BRANCH: DMN

COMMISSION STATEMENT for MICHAEL BRADFORD-D338

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|---------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 09/30/2018 | 1017004227 MARK R PESCHEL, MR | VA PERSP II 04/14 | B | 71,512.06 | 26.82 | 0.00 | 26.82 | 85.0 | 22.80 |
| 09/30/2018 | 1017035238 FREDERICK C | VA PERSP II 04/14 | B | 138,019.62 | 51.76 | 0.00 | 51.76 | 85.0 | 44.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$209,531.68 | \$78.58 | \$0.00 | \$78.58 | | \$66.80 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | | |
| 08/31/2018 | (None) OWEN, RICHARD | VAT ALLSTATE | B | 0.00 | 200.13 | 0.00 | 200.13 | 85.0 | 170.11 |
| 09/28/2018 | (None) VARIOUS, BRADFORD | VAT PRUDENTIAL LIFE | B | 0.00 | 539.54 | 0.00 | 539.54 | 85.0 | 458.61 |
| 10/05/2018 | (None) VARIOUS, BRADFORD | VAT AXA LIFE | B | 0.00 | 3,467.81 | 0.00 | 3,467.81 | 85.0 | 2,947.64 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$4,207.48 | \$0.00 | \$4,207.48 | | \$3,576.36 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$209,531.68 | \$4,286.06 | \$0.00 | \$4,286.06 | | \$3,643.16 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$3,643.16 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-89.94 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$3,553.22 |
| Year-to-date Commissions | \$48,550.17 |