

# COMMISSION STATEMENT November 30, 2018

MELTON DPM

 BROKER #:  
 BRANCH: DPM

, TX

## COMMISSION STATEMENT for MELTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/09/2018 153261497	BENNETT, DEBBIE	MF BLACKROCK MIDCAP GROWTH EQUITY	S	5,202.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 153261497	BENNETT, DEBBIE	MF FIDELITY ADV INTL GROWTH INST	S	13,002.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 153261497	BENNETT, DEBBIE	MF MFS VALUE FD CL I	S	3,252.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 153261497	BENNETT, DEBBIE	MF T ROWE PRICE BLUE CHIP GROWTH	S	16,002.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 153261497	BENNETT, DEBBIE	MF THE GROWTH FUND OF AMERICA-F2	S	10,002.45	0.00	5.00	-5.00	0.0	0.00
11/13/2018 153261497	BENNETT, DEBBIE	MF PIMCO TOTAL RETURN FUND FD CL	S	10,002.45	0.00	5.00	-5.00	0.0	0.00
11/08/2018 338724178	HUGHEY, RICK	MF THE GROWTH FUND OF AMERICA-F2	S	54,002.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 338724178	HUGHEY, RICK	MF ALGER SMALL CAP FOCUS FD CL Z	S	21,002.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 338724178	HUGHEY, RICK	MF AMERICAN FDS FUNDAMENTAL INVSTR	S	40,502.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 338724178	HUGHEY, RICK	MF BLACKROCK MIDCAP GROWTH EQUITY	S	32,002.45	0.00	5.00	-5.00	0.0	0.00
11/09/2018 338724178	HUGHEY, RICK	MF FIDELITY ADV INTL GROWTH INST	S	35,002.45	0.00	5.00	-5.00	0.0	0.00
11/13/2018 338724178	HUGHEY, RICK	MF VANGUARD DIVIDEND APPR INDEX FD	S	15,002.45	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$254,979.40	\$0.00	\$60.00	\$-60.00		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/12/2018 368300399	NELMS, DIANE	S VISA INC-CLASS A SHRS	B	14,055.36	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$14,055.36	\$15.00	\$15.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/21/2018 mfc909637	DPM MELTON 909637	MFT T ROWE PRICE BLUE CHIP GROWTH	B	0.00	1.02	0.00	1.02	0.0	0.00
11/21/2018 mfc909637	DPM MELTON 909637	MFT T ROWE PRICE BLUE CHIP GROWTH	B	0.00	2.70	0.00	2.70	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.72	\$0.00	\$3.72		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$269,034.76	\$18.72	\$75.00	\$-56.28		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>