



COMMISSION STATEMENT

September 17, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/11/2018	***-**-212X - MOCTEZUMA, ANA	AMCAP FUND-A	1,439.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 42 Units @34.4100						
09/12/2018	***-**-59IO - HARTER, NAOMI	AMCAP FUND-A	7,399.99	314.50	18.00	296.50	75.0	222.38
	ACCOUNT #: LSR011810	BUY 204 Units @36.3300						
09/13/2018	***-**-59IO - HARTER, NAOMI	AMCAP FUND-A	2,600.01	110.50	18.00	92.50	75.0	69.38
	ACCOUNT #: LSR011810	BUY 71 Units @36.5900						
09/13/2018	***-**-784X - NIPPER, SHERICIA	AMERICAN BALANCED FUND-A	1,000.01	50.00	18.00	32.00	75.0	24.00
	ACCOUNT #: LSR012148	BUY 34 Units @29.5100						
09/13/2018	***-**-206X - ROLLINGS, KEITH	AMERICAN BALANCED FUND-A	2,000.01	100.00	18.00	82.00	75.0	61.50
	ACCOUNT #: LSR012149	BUY 68 Units @29.5100						
09/13/2018	***-**-523X - FROST, JULIE	AMERICAN BALANCED FUND-A	499.99	25.00	18.00	7.00	75.0	5.25
	ACCOUNT #: LSR012151	BUY 17 Units @29.5100						
09/11/2018	***-**-212X - MOCTEZUMA, ANA	AMERICAN MUTUAL FUND-A	1,440.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 34 Units @42.7100						
09/13/2018	***-**-907X - ROLLINGS, TYLER	FRANKLIN LIFESMART 2030 RET TARGET	1,000.00	50.00	18.00	32.00	75.0	24.00
	ACCOUNT #: LSR012150	BUY 73 Units @13.7500						
09/12/2018	***-**-59IO - HARTER, NAOMI	FRANKLIN RISING DIVIDENDS FD CLASS	3,499.98	175.00	18.00	157.00	75.0	117.75
	ACCOUNT #: LSR011810	BUY 51 Units @68.9800						
09/13/2018	***-**-59IO - HARTER, NAOMI	FRANKLIN RISING DIVIDENDS FD CLASS	4,000.01	200.00	18.00	182.00	75.0	136.50
	ACCOUNT #: LSR011810	BUY 58 Units @69.4700						
09/12/2018	***-**-59IO - HARTER, NAOMI	JPMORGAN EQUITY INCOME FUND CL A	11,000.01	522.50	18.00	504.50	75.0	378.38
	ACCOUNT #: LSR011810	BUY 587 Units @18.7500						
09/13/2018	***-**-59IO - HARTER, NAOMI	LORD ABBETT FLOATING RATE FD CL A	7,400.00	148.00	18.00	130.00	75.0	97.50
	ACCOUNT #: LSR011810	BUY 791 Units @9.3600						
09/13/2018	***-**-523X - FROST, JULIE	THE GROWTH FUND OF AMERICA-A	500.03	25.00	18.00	7.00	75.0	5.25
	ACCOUNT #: LSR012151	BUY 8 Units @59.4000						
09/11/2018	***-**-212X - MOCTEZUMA, ANA	THE INVESTMENT COMPANY OF AMERICA-A	1,439.98	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 35 Units @41.3800						
09/11/2018	***-**-212X - MOCTEZUMA, ANA	WASHINGTON MUTUAL INVESTORS FUND-A	1,440.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 31 Units @46.5900						
09/12/2018	***-**-59IO - HARTER, NAOMI	WASHINGTON MUTUAL INVESTORS FUND-A	36,999.98	1,572.50	18.00	1,554.50	75.0	1,165.88
	ACCOUNT #: LSR011810	BUY 754 Units @49.0500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
* MUTUAL FUNDS SUBTOTAL *			\$83,660.00	\$3,293.00	\$288.00	\$3,005.00		\$2,235.77
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/04/2018	***-***-600I - HARTER, BRADLEY	AMCAP FUND-A	0.00	3.23	0.00	3.23	75.0	2.42
	ACCOUNT #: 00085860527	BUY 0 Units @0.0000						
09/04/2018	***-***-24IO - HOPPER, GINA	AMCAP FUND-A	0.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: 00087626950	BUY 0 Units @0.0000						
09/04/2018	***-***-0613 - KAHNS, DWAYNE	AMERICAN BALANCED FUND-529A	0.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 04000996691	BUY 0 Units @0.0000						
09/04/2018	***-***-1985 - BELZER, STEVEN	AMERICAN BALANCED FUND-A	0.00	12.65	0.00	12.65	75.0	9.49
	ACCOUNT #: 00086616031	BUY 0 Units @0.0000						
09/04/2018	***-***-2326 - ELLIOTT, SHANNON	AMERICAN BALANCED FUND-A	0.00	9.86	0.00	9.86	75.0	7.40
	ACCOUNT #: 00086902076	BUY 0 Units @0.0000						
09/04/2018	***-***-8364 - COLLIER, CLINTON	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	12.78	0.00	12.78	75.0	9.59
	ACCOUNT #: 00085392602	BUY 0 Units @0.0000						
09/04/2018	***-***-3815 - MILLER, ASHLEY	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	9.71	0.00	9.71	75.0	7.28
	ACCOUNT #: 00087325265	BUY 0 Units @0.0000						
09/04/2018	***-***-7671 - SMITH, LINZI	AMERICAN GLOBAL BALANCED CL C	0.00	4.98	0.00	4.98	75.0	3.74
	ACCOUNT #: 00088555899	BUY 0 Units @0.0000						
09/04/2018	***-***-600I - HARTER, BRADLEY	AMERICAN GLOBAL BALANCED CLASS A	0.00	0.82	0.00	0.82	75.0	0.62
	ACCOUNT #: 00085860527	BUY 0 Units @0.0000						
09/04/2018	***-***-3172 - PABON-RESTREPO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	15.97	0.00	15.97	75.0	11.98
	ACCOUNT #: 00081631019	BUY 0 Units @0.0000						
09/04/2018	***-***-3172 - PABON-RESTREPO,	EUROPACIFIC GROWTH FUND-A	0.00	13.90	0.00	13.90	75.0	10.43
	ACCOUNT #: 00081631019	BUY 0 Units @0.0000						
09/04/2018	***-***-600I - HARTER, BRADLEY	FUNDAMENTAL INVESTORS-A	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: 00085860527	BUY 0 Units @0.0000						
09/04/2018	***-***-24IO - HOPPER, GINA	FUNDAMENTAL INVESTORS-A	0.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: 00087626950	BUY 0 Units @0.0000						
09/04/2018	***-***-600I - HARTER, BRADLEY	THE GROWTH FUND OF AMERICA-A	0.00	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: 00085860527	BUY 0 Units @0.0000						
09/04/2018	***-***-8406 - STILL, STEPHANIE	THE GROWTH FUND OF AMERICA-A	0.00	8.87	0.00	8.87	75.0	6.65
	ACCOUNT #: 00081788132	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-8642 - LORD, BENJAMIN	THE GROWTH FUND OF AMERICA-A	0.00	7.55	0.00	7.55	75.0	5.66
	ACCOUNT #: 00084039536	BUY 0 Units @0.0000						
09/04/2018	***-**-9985 - KENYON, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	8.89	0.00	8.89	75.0	6.67
	ACCOUNT #: 00084176414	BUY 0 Units @0.0000						
09/04/2018	***-**-600I - HARTER, BRADLEY	THE INCOME FUND OF AMERICA-A	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 00085860527	BUY 0 Units @0.0000						
09/04/2018	***-**-8406 - STILL, STEPHANIE	THE INCOME FUND OF AMERICA-A	0.00	6.76	0.00	6.76	75.0	5.07
	ACCOUNT #: 00081788132	BUY 0 Units @0.0000						
09/04/2018	***-**-24IO - HOPPER, GINA	THE INCOME FUND OF AMERICA-A	0.00	1.83	0.00	1.83	75.0	1.37
	ACCOUNT #: 00087626950	BUY 0 Units @0.0000						
09/04/2018	***-**-600I - HARTER, BRADLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.42	0.00	2.42	75.0	1.82
	ACCOUNT #: 00085860527	BUY 0 Units @0.0000						
09/04/2018	***-**-24IO - HOPPER, GINA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.17	0.00	2.17	75.0	1.63
	ACCOUNT #: 00087626950	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$133.60	\$0.00	\$133.60		\$100.24
*** BROKER TRANSACTIONS TOTAL ***			\$83,660.00	\$3,426.60	\$288.00	\$3,138.60		\$2,336.01



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,336.01
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$9.10
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,345.11
						Year-to-date Earnings		\$16,778.65