



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/27/2018	TRAILS	EATON VANCE - GENERAL	B	20.52	20.52	0.00	20.52	81.0	16.62	
12/17/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	81.0	0.41
12/17/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	81.0	0.41
12/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	B	90.00	1.80	0.00	1.80	81.0	1.46
12/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME MUNI	B	90.00	1.80	0.00	1.80	81.0	1.46
12/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A	B	60.00	1.20	0.00	1.20	81.0	0.97
12/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL	B	60.00	1.20	0.00	1.20	81.0	0.97
* MUTUAL FUNDS SUBTOTAL *				\$420.52	\$27.52	\$0.00	\$27.52		\$22.30	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
12/18/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	192.05	192.05	0.00	192.05	81.0	155.56	
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	B	400.70	400.70	0.00	400.70	81.0	324.57	
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	170.56	170.56	0.00	170.56	81.0	138.15	
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	158.57	158.57	0.00	158.57	81.0	128.44	
* VARIABLE ANNUITIES SUBTOTAL *				\$921.88	\$921.88	\$0.00	\$921.88		\$746.72	
PRODUCT CATEGORY: RIA										
10/11/2018	12706161	HALVORSON, DAVID E	SIGNAL SECURITIES RIA	B	0.00	409.34	0.00	409.34	81.0	331.57
10/11/2018	37791527	NORIS, SCOTT A	SIGNAL SECURITIES RIA	B	0.00	551.66	0.00	551.66	81.0	446.84
10/11/2018	82968618	BROWN, JACKIE L	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	81.0	73.71
* RIA SUBTOTAL *				\$0.00	\$1,052.00	\$0.00	\$1,052.00		\$852.12	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/02/2019	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	4.36	0.00	4.36	81.0	3.53	
01/02/2019	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	3.10	0.00	3.10	81.0	2.51	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$7.46	\$0.00	\$7.46		\$6.04	
*** BROKER TRANSACTIONS TOTAL ***				\$1,342.40	\$2,008.86	\$0.00	\$2,008.86		\$1,627.18	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/02/2019		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,627.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,499.68
Year-to-date Earnings	\$1,499.68