



COMMISSION STATEMENT

August 27, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | |
| 08/16/2018 | ***-**-3644 - BROOKMIRE, MATT | FA 529 COLLEGE PORT-CL A | 200.00 | 6.00 | 0.00 | 6.00 | 95.0 | 5.70 |
| | ACCOUNT #: 00701328528 | BUY 11 Units @18.1900 | | | | | | |
| 08/27/2018 | ***-**-6713 - KREMER, JOHN | VIRTUS MF | 25.00 | 1.25 | 0.00 | 1.25 | 95.0 | 1.19 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 08/27/2018 | ***-**-3782 - WEINERT-WILEY, | VIRTUS MF | 50.00 | 2.50 | 0.00 | 2.50 | 95.0 | 2.38 |
| | | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$275.00 | \$9.75 | \$0.00 | \$9.75 | | \$9.27 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 08/27/2018 | ***-**-861 - SEELEY, NANCY | BLACKROCK TRAILS | 0.00 | 4.32 | 0.00 | 4.32 | 95.0 | 4.10 |
| | ACCOUNT #: 5291468659 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-599P - IVINO, MARY | FRANKLIN GROWTH FUND CLASS A | 0.00 | 1.63 | 0.00 | 1.63 | 95.0 | 1.55 |
| | ACCOUNT #: 000060172289841 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-983P - BODE, DANA | FRANKLIN TEMP 88019R484 | 0.00 | 0.38 | 0.00 | 0.38 | 95.0 | 0.36 |
| | ACCOUNT #: 000019110334137 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-99IN - IVINO, MARY | FRK AGGRESSIVE GRWTH - CL A | 0.00 | 0.94 | 0.00 | 0.94 | 95.0 | 0.89 |
| | ACCOUNT #: 000000000901658 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-71IN - O'NEILL, RAYMOND | FRK STRAT INC FND - CL A | 0.00 | 5.99 | 0.00 | 5.99 | 95.0 | 5.69 |
| | ACCOUNT #: 000019400013468 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-599P - IVINO, MARY | TEMP FRGN FND - CL A | 0.00 | 0.76 | 0.00 | 0.76 | 95.0 | 0.72 |
| | ACCOUNT #: 000004960163604 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-83IN - BODE, DANA | TEMP GLOBAL SM CO FND - CL A | 0.00 | 0.74 | 0.00 | 0.74 | 95.0 | 0.70 |
| | ACCOUNT #: 000003971325531 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$14.76 | \$0.00 | \$14.76 | | \$14.01 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$275.00 | \$24.51 | \$0.00 | \$24.51 | | \$23.28 |



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| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE</u> | | | | | | | | |
| 08/20/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | AMERICAN BALANCED FUND-A BUY 4 Units @28.8000 | 125.00 | 3.44 | 0.00 | 3.44 | 20.0 | 0.69 |
| 08/20/2018 | ***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097 | AMERICAN BALANCED FUND-A BUY 2 Units @29.4900 | 60.00 | 3.00 | 0.00 | 3.00 | 20.0 | 0.60 |
| 08/20/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5900 | 100.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| 08/20/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.5900 | 75.00 | 2.06 | 0.00 | 2.06 | 20.0 | 0.41 |
| 08/20/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.5900 | 38.25 | 1.05 | 0.00 | 1.05 | 20.0 | 0.21 |
| 08/20/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL INCOME BUILDER-A BUY 2 Units @62.9500 | 100.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| 08/20/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD BOND FUND-A BUY 4 Units @20.0900 | 75.00 | 2.06 | 0.00 | 2.06 | 20.0 | 0.41 |
| 08/20/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.5200 | 100.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| 08/20/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.5200 | 125.00 | 3.44 | 0.00 | 3.44 | 20.0 | 0.69 |
| 08/20/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.5200 | 47.25 | 1.30 | 0.00 | 1.30 | 20.0 | 0.26 |
| 08/20/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.6900 | 47.25 | 1.30 | 0.00 | 1.30 | 20.0 | 0.26 |
| 07/31/2018 | ***-**-0403 - DONOVAN, HEATHER ACCOUNT #: 000060177003031 | FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000 | 0.00 | 15.51 | 0.00 | 15.51 | 20.0 | 3.10 |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL ACCOUNT #: 000060177122300 | FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000 | 0.00 | 3.49 | 0.00 | 3.49 | 20.0 | 0.70 |
| 07/31/2018 | ***-**-9217 - POLITIS, DEBRA ACCOUNT #: 000060177196078 | FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000 | 0.00 | 32.45 | 0.00 | 32.45 | 20.0 | 6.49 |
| 07/31/2018 | ***-**-9217 - POLITIS, DEBRA ACCOUNT #: 000090236333236 | FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000 | 0.00 | 6.81 | 0.00 | 6.81 | 20.0 | 1.36 |
| 07/31/2018 | ***-**-8321 - HIGGINS, CYNTHIA ACCOUNT #: 000090236456264 | FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000 | 0.00 | 9.43 | 0.00 | 9.43 | 20.0 | 1.89 |



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| <u>VERRIDE TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | FRANKLIN INCOME FUND CLASS A | 0.00 | 0.88 | 0.00 | 0.88 | 20.0 | 0.18 |
| | ACCOUNT #: 000090237957306 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9500 - KELLER, BILLIE | FRANKLIN INCOME FUND CLASS A | 0.00 | 1.12 | 0.00 | 1.12 | 20.0 | 0.22 |
| | ACCOUNT #: 000090238038740 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | FRANKLIN INCOME FUND CLASS A | 0.00 | 12.10 | 0.00 | 12.10 | 20.0 | 2.42 |
| | ACCOUNT #: 000090239092353 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | FRANKLIN INCOME FUND CLASS A | 0.00 | 2.91 | 0.00 | 2.91 | 20.0 | 0.58 |
| | ACCOUNT #: 000090239535847 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | FRANKLIN INCOME FUND CLASS A | 0.00 | 0.31 | 0.00 | 0.31 | 20.0 | 0.06 |
| | ACCOUNT #: 000090239551269 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-481J - MERRILL, JASON | FRANKLIN NEW YORK TAX FREE CLASS C | 0.00 | 11.72 | 0.00 | 11.72 | 20.0 | 2.34 |
| | ACCOUNT #: 000000000426484 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-3756 - GAGNE, JOHN | FRANKLIN NEW YORK TAX-FREE INCOME F | 0.00 | 6.10 | 0.00 | 6.10 | 20.0 | 1.22 |
| | ACCOUNT #: 000011516893059 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-8526 - SPINELI, ELISE | FRANKLIN NEW YORK TAX-FREE INCOME F | 0.00 | 2.14 | 0.00 | 2.14 | 20.0 | 0.43 |
| | ACCOUNT #: 000011516901730 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | FRANKLIN NEW YORK TAX-FREE INCOME F | 0.00 | 2.36 | 0.00 | 2.36 | 20.0 | 0.47 |
| | ACCOUNT #: 000011516948107 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5559 - SEYMOUR, DEBORAH | FRANKLIN NY INTERM TERM TAX FREE A | 0.00 | 0.81 | 0.00 | 0.81 | 20.0 | 0.16 |
| | ACCOUNT #: 000015311057123 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-481J - MERRILL, JASON | FRANKLIN NY INTR-TRM TF INC FD | 0.00 | 8.93 | 0.00 | 8.93 | 20.0 | 1.79 |
| | ACCOUNT #: 000000000033504 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 33.78 | 0.00 | 33.78 | 20.0 | 6.76 |
| | ACCOUNT #: 000015815231665 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0403 - DONOVAN, HEATHER | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 20.13 | 0.00 | 20.13 | 20.0 | 4.03 |
| | ACCOUNT #: 000015813931694 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0961 - BUDINE, JESSE | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 1.57 | 0.00 | 1.57 | 20.0 | 0.31 |
| | ACCOUNT #: 000015814136194 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-4848 - DOGGETT, AMY | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 2.76 | 0.00 | 2.76 | 20.0 | 0.55 |
| | ACCOUNT #: 000015814148023 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 9.87 | 0.00 | 9.87 | 20.0 | 1.97 |
| | ACCOUNT #: 000015814180311 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 07/31/2018 | ***-**-3034 - DRAKE, CATHERINE | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 1.12 | 0.00 | 1.12 | 20.0 | 0.22 |
| | ACCOUNT #: 000015814181008 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 9.64 | 0.00 | 9.64 | 20.0 | 1.93 |
| | ACCOUNT #: 000015814241626 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9217 - POLITIS, DEBRA | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 60.43 | 0.00 | 60.43 | 20.0 | 12.09 |
| | ACCOUNT #: 000015814353890 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-8321 - HIGGINS, CYNTHIA | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 28.20 | 0.00 | 28.20 | 20.0 | 5.64 |
| | ACCOUNT #: 000015814404622 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 1.84 | 0.00 | 1.84 | 20.0 | 0.37 |
| | ACCOUNT #: 000015814926861 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9500 - KELLER, BILLIE | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 2.27 | 0.00 | 2.27 | 20.0 | 0.45 |
| | ACCOUNT #: 000015814954630 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 2.30 | 0.00 | 2.30 | 20.0 | 0.46 |
| | ACCOUNT #: 000015814954705 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 22.65 | 0.00 | 22.65 | 20.0 | 4.53 |
| | ACCOUNT #: 000015815282015 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 5.40 | 0.00 | 5.40 | 20.0 | 1.08 |
| | ACCOUNT #: 000015815518754 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 0.54 | 0.00 | 0.54 | 20.0 | 0.11 |
| | ACCOUNT #: 000015815524857 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0403 - DONOVAN, HEATHER | FRANKLIN U.S. GOVERNMENT SECURITIES | 0.00 | 2.07 | 0.00 | 2.07 | 20.0 | 0.41 |
| | ACCOUNT #: 000010187500957 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0403 - DONOVAN, HEATHER | FRK EQUITY INC FND - CL A | 0.00 | 18.85 | 0.00 | 18.85 | 20.0 | 3.77 |
| | ACCOUNT #: 000013912505964 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0961 - BUDINE, JESSE | FRK EQUITY INC FND - CL A | 0.00 | 0.76 | 0.00 | 0.76 | 20.0 | 0.15 |
| | ACCOUNT #: 000013912529800 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | FRK EQUITY INC FND - CL A | 0.00 | 5.75 | 0.00 | 5.75 | 20.0 | 1.15 |
| | ACCOUNT #: 000013912534634 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | FRK EQUITY INC FND - CL A | 0.00 | 3.52 | 0.00 | 3.52 | 20.0 | 0.70 |
| | ACCOUNT #: 000013912540587 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | FRK HIGH INC FND - CL A | 0.00 | 1.86 | 0.00 | 1.86 | 20.0 | 0.37 |
| | ACCOUNT #: 000050117882954 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | FRK HIGH INC FND - CL A | 0.00 | 2.89 | 0.00 | 2.89 | 20.0 | 0.58 |
| | ACCOUNT #: 000050117905563 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-4848 - DOGETT, AMY | FRK STRAT INC FND - CL A | 0.00 | 0.45 | 0.00 | 0.45 | 20.0 | 0.09 |
| | ACCOUNT #: 000019413185810 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | FRK STRAT INC FND - CL A | 0.00 | 1.85 | 0.00 | 1.85 | 20.0 | 0.37 |
| | ACCOUNT #: 000019413201563 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | FRK STRAT INC FND - CL A | 0.00 | 4.42 | 0.00 | 4.42 | 20.0 | 0.88 |
| | ACCOUNT #: 000019413236403 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-8321 - HIGGINS, CYNTHIA | FRK STRAT INC FND - CL A | 0.00 | 9.23 | 0.00 | 9.23 | 20.0 | 1.85 |
| | ACCOUNT #: 000019413353537 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | FRK STRAT INC FND - CL A | 0.00 | 1.31 | 0.00 | 1.31 | 20.0 | 0.26 |
| | ACCOUNT #: 000019413735729 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9500 - KELLER, BILLIE | FRK STRAT INC FND - CL A | 0.00 | 1.68 | 0.00 | 1.68 | 20.0 | 0.34 |
| | ACCOUNT #: 000019413751292 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | FRK STRAT INC FND - CL A | 0.00 | 11.20 | 0.00 | 11.20 | 20.0 | 2.24 |
| | ACCOUNT #: 000019413960182 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | FRK STRAT INC FND - CL A | 0.00 | 4.47 | 0.00 | 4.47 | 20.0 | 0.89 |
| | ACCOUNT #: 000019414041778 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | FRK STRAT INC FND - CL A | 0.00 | 0.39 | 0.00 | 0.39 | 20.0 | 0.08 |
| | ACCOUNT #: 000019414044317 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-481J - MERRILL, JASON | FRK TEMP FOUNDING FUNDS ALLOC FND C | 0.00 | 3.04 | 0.00 | 3.04 | 20.0 | 0.61 |
| | ACCOUNT #: 000000000369520 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-4848 - DOGETT, AMY | FRK TOTAL RETURN FND - CL A | 0.00 | 0.42 | 0.00 | 0.42 | 20.0 | 0.08 |
| | ACCOUNT #: 000000000938415 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | FRK TOTAL RETURN FND - CL A | 0.00 | 4.65 | 0.00 | 4.65 | 20.0 | 0.93 |
| | ACCOUNT #: 000000000947097 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | FRK TOTAL RETURN FND - CL A | 0.00 | 5.27 | 0.00 | 5.27 | 20.0 | 1.05 |
| | ACCOUNT #: 000000000963900 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9217 - POLITIS, DEBRA | FRK TOTAL RETURN FND - CL A | 0.00 | 7.84 | 0.00 | 7.84 | 20.0 | 1.57 |
| | ACCOUNT #: 000000001004629 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | FRK TOTAL RETURN FND - CL A | 0.00 | 6.35 | 0.00 | 6.35 | 20.0 | 1.27 |
| | ACCOUNT #: 000000001197399 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | FRK UTIL FND - CL A | 0.00 | 0.53 | 0.00 | 0.53 | 20.0 | 0.11 |
| | ACCOUNT #: 000070188041136 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9500 - KELLER, BILLIE | FRK UTIL FND - CL A | 0.00 | 0.68 | 0.00 | 0.68 | 20.0 | 0.14 |
| | ACCOUNT #: 000070188050820 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0403 - DONOVAN, HEATHER | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 9.36 | 0.00 | 9.36 | 20.0 | 1.87 |
| | ACCOUNT #: 000000007175262 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0961 - BUDINE, JESSE | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 0.91 | 0.00 | 0.91 | 20.0 | 0.18 |
| | ACCOUNT #: 000000007475698 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-4848 - DOGGETT, AMY | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 0.83 | 0.00 | 0.83 | 20.0 | 0.17 |
| | ACCOUNT #: 000000007485725 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 3.08 | 0.00 | 3.08 | 20.0 | 0.62 |
| | ACCOUNT #: 000000007508916 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 2.57 | 0.00 | 2.57 | 20.0 | 0.51 |
| | ACCOUNT #: 000000007556347 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9217 - POLITIS, DEBRA | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 22.50 | 0.00 | 22.50 | 20.0 | 4.50 |
| | ACCOUNT #: 000000007691975 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 0.77 | 0.00 | 0.77 | 20.0 | 0.15 |
| | ACCOUNT #: 000000008301804 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9500 - KELLER, BILLIE | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 0.97 | 0.00 | 0.97 | 20.0 | 0.19 |
| | ACCOUNT #: 000000008332761 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 12.28 | 0.00 | 12.28 | 20.0 | 2.46 |
| | ACCOUNT #: 000000008780278 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 4.87 | 0.00 | 4.87 | 20.0 | 0.97 |
| | ACCOUNT #: 000000008962250 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0961 - BUDINE, JESSE | TEMP FRGN FND - CL A | 0.00 | 0.81 | 0.00 | 0.81 | 20.0 | 0.16 |
| | ACCOUNT #: 000004978424895 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0403 - DONOVAN, HEATHER | TEMP GLOBAL BOND FND - CL A | 0.00 | 4.54 | 0.00 | 4.54 | 20.0 | 0.91 |
| | ACCOUNT #: 000006982468899 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0961 - BUDINE, JESSE | TEMP GLOBAL BOND FND - CL A | 0.00 | 0.77 | 0.00 | 0.77 | 20.0 | 0.15 |
| | ACCOUNT #: 000006983356424 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-4848 - DOGGETT, AMY | TEMP GLOBAL BOND FND - CL A | 0.00 | 0.52 | 0.00 | 0.52 | 20.0 | 0.10 |
| | ACCOUNT #: 000006983376554 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

August 27, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 07/31/2018 | ***-**-1787 - ANO, MICHAEL | TEMP GLOBAL BOND FND - CL A | 0.00 | 4.94 | 0.00 | 4.94 | 20.0 | 0.99 |
| | ACCOUNT #: 000006983429330 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-3034 - DRAKE, CATHERINE | TEMP GLOBAL BOND FND - CL A | 0.00 | 0.51 | 0.00 | 0.51 | 20.0 | 0.10 |
| | ACCOUNT #: 000006983437097 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | TEMP GLOBAL BOND FND - CL A | 0.00 | 3.07 | 0.00 | 3.07 | 20.0 | 0.61 |
| | ACCOUNT #: 000006983546669 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9217 - POLITIS, DEBRA | TEMP GLOBAL BOND FND - CL A | 0.00 | 26.41 | 0.00 | 26.41 | 20.0 | 5.28 |
| | ACCOUNT #: 000006983894537 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9692 - KELLER, KENNETH | TEMP GLOBAL BOND FND - CL A | 0.00 | 1.30 | 0.00 | 1.30 | 20.0 | 0.26 |
| | ACCOUNT #: 000006985212585 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-9500 - KELLER, BILLIE | TEMP GLOBAL BOND FND - CL A | 0.00 | 1.65 | 0.00 | 1.65 | 20.0 | 0.33 |
| | ACCOUNT #: 000006985253074 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-5371 - SOUTHFIELD, | TEMP GLOBAL BOND FND - CL A | 0.00 | 10.89 | 0.00 | 10.89 | 20.0 | 2.18 |
| | ACCOUNT #: 000006985760625 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | TEMP GLOBAL BOND FND - CL A | 0.00 | 4.36 | 0.00 | 4.36 | 20.0 | 0.87 |
| | ACCOUNT #: 000006985886089 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-6469 - BENNETT, DAVID | TEMP GLOBAL BOND FND - CL A | 0.00 | 0.30 | 0.00 | 0.30 | 20.0 | 0.06 |
| | ACCOUNT #: 000006985890265 | BUY 0 Units @0.0000 | | | | | | |
| 07/31/2018 | ***-**-0608 - ANO, CHERYL | TEMPLETON GROWTH FUND CLASS A | 0.00 | 1.48 | 0.00 | 1.48 | 20.0 | 0.30 |
| | ACCOUNT #: 000007077039626 | BUY 0 Units @0.0000 | | | | | | |
| 08/20/2018 | ***-**-408B - SNIZEK, | THE GROWTH FUND OF AMERICA-A | 175.00 | 4.81 | 0.00 | 4.81 | 20.0 | 0.96 |
| | ACCOUNT #: 00085154620 | BUY 3 Units @56.8400 | | | | | | |
| 08/20/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 54.00 | 1.49 | 0.00 | 1.49 | 20.0 | 0.30 |
| | ACCOUNT #: 00085839015 | BUY 1 Units @56.8400 | | | | | | |
| 08/20/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 38.25 | 1.05 | 0.00 | 1.05 | 20.0 | 0.21 |
| | ACCOUNT #: 00085839015 | BUY 2 Units @24.1500 | | | | | | |
| 08/20/2018 | ***-**-4932 - BAKER, STEVEN | THE INCOME FUND OF AMERICA-A | 150.00 | 4.13 | 0.00 | 4.13 | 20.0 | 0.83 |
| | ACCOUNT #: 00086683340 | BUY 6 Units @24.1500 | | | | | | |
| 08/20/2018 | ***-**-0939 - LAWRENCE, JASON | THE INCOME FUND OF AMERICA-A | 60.00 | 3.00 | 0.00 | 3.00 | 20.0 | 0.60 |
| | ACCOUNT #: 00087909097 | BUY 2 Units @24.7200 | | | | | | |
| * RONALD DRAKE SUBTOTAL * | | | \$1,370.00 | \$579.12 | \$0.00 | \$579.12 | | \$115.80 |



COMMISSION STATEMENT

August 27, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD CIOTOLI</u> | | | | | | | | |
| 08/20/2018 | ***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436 | THE INCOME FUND OF AMERICA-A BUY 8 Units @23.9000 | 190.00 | 3.80 | 0.00 | 3.80 | 35.0 | 1.33 |
| 08/20/2018 | ***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436 | THE INCOME FUND OF AMERICA-A BUY 2 Units @23.9000 | 57.00 | 1.14 | 0.00 | 1.14 | 35.0 | 0.40 |
| * RONALD CIOTOLI SUBTOTAL * | | | <u>\$247.00</u> | <u>\$4.94</u> | <u>\$0.00</u> | <u>\$4.94</u> | | <u>\$1.73</u> |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | <u>\$1,617.00</u> | <u>\$584.06</u> | <u>\$0.00</u> | <u>\$584.06</u> | | <u>\$117.53</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$23.28 |
| Split Transactions | \$0.00 |
| Override Transactions | \$117.53 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Net Commission Earned | \$140.81 |
| Year-to-date Earnings | \$17,661.31 |