



COMMISSION STATEMENT

September 17, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	22.20	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @13.1100						
09/13/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	500.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 49 Units @10.1200						
09/07/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	5,000.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 469 Units @10.6500						
09/12/2018	***-**-674I - RAMSEY, MARION	PL PORTFOLIO OPTIMIZATION MODERATE	7.69	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 1 Units @14.5900						
09/04/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @27.4700						
09/12/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	61.53	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @25.8200						
09/12/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	134.82	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 23 Units @5.8000						
* MUTUAL FUNDS SUBTOTAL *			\$5,826.24	\$41.00	\$36.00	\$5.00		\$3.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/28/2018	***-**-889I - BAILLARGEON,	JACKSON NAT PERS II OPT A 0-80	30,000.00	2,100.00	0.00	2,100.00	70.0	1,470.00
		BUY 1 Units @60000.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$30,000.00	\$2,100.00	\$0.00	\$2,100.00		\$1,470.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/04/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
09/04/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00085732525	BUY 0 Units @0.0000						
09/04/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529C	0.00	2.24	0.00	2.24	70.0	1.57
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.25	\$0.00	\$3.25		\$2.28



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/17/2018	***-**-76IN - FENGLER, KERI	SECURITY BENEFIT VA TRAIL	0.00	11.34	0.00	11.34	70.0	7.94
	ACCOUNT #: 46762776	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$11.34	\$0.00	\$11.34		\$7.94
		*** BROKER TRANSACTIONS TOTAL ***	\$35,826.24	\$2,155.59	\$36.00	\$2,119.59		\$1,483.72

BROKER COMMISSION TOTALS

Broker Transactions	\$1,483.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,483.72
Year-to-date Earnings	\$27,113.84