



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/10/2018 | ***-**-511I - ROOD, SCOTT | AMCAP FUND-C | 25.10 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00088607840 | BUY 1 Units @30.0900 | | | | | | |
| 09/10/2018 | ***-**-511I - ROOD, SCOTT | AMCAP FUND-C | 74.77 | 0.75 | 0.00 | 0.75 | 80.0 | 0.60 |
| | ACCOUNT #: 00088607840 | BUY 2 Units @30.0900 | | | | | | |
| 09/10/2018 | ***-**-8208 - KARNS, SHANNON | AMCAP FUND-C | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| | ACCOUNT #: 04000591533 | BUY 7 Units @30.0900 | | | | | | |
| 09/10/2018 | ***-**-0006 - FORSYTH, KATHRYN | AMCAP FUND-C | 57.69 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 04000650661 | BUY 2 Units @30.0900 | | | | | | |
| 09/10/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @27.7900 | | | | | | |
| 09/10/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-A | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089461113 | BUY 0 Units @27.7900 | | | | | | |
| 09/10/2018 | ***-**-965P - SHAFER, PAUL | AMERICAN BALANCED FUND-A | 39.55 | 0.63 | 0.00 | 0.63 | 80.0 | 0.50 |
| | ACCOUNT #: 00073549401 | BUY 1 Units @28.3600 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | AMERICAN BALANCED FUND-A | 34.20 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00073550085 | BUY 1 Units @28.3600 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | AMERICAN BALANCED FUND-A | 186.00 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00073550085 | BUY 7 Units @28.3600 | | | | | | |
| 09/10/2018 | ***-**-395P - DIVASTA, ANTHONY | AMERICAN BALANCED FUND-A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| | ACCOUNT #: 00059597416 | BUY 2 Units @29.1000 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 299.40 | 2.99 | 0.00 | 2.99 | 80.0 | 2.39 |
| | ACCOUNT #: 00067351603 | BUY 11 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 61.53 | 0.62 | 0.00 | 0.62 | 80.0 | 0.50 |
| | ACCOUNT #: 00067351603 | BUY 2 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 217.50 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| | ACCOUNT #: 00067351603 | BUY 8 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 374.25 | 3.74 | 0.00 | 3.74 | 80.0 | 2.99 |
| | ACCOUNT #: 00067351603 | BUY 13 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00067400188 | BUY 0 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00067400188 | BUY 11 Units @27.8200 | | | | | | |



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|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | AMERICAN BALANCED FUND-A BUY 0 Units @27.8200 | 13.13 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | AMERICAN BALANCED FUND-A BUY 13 Units @27.8200 | 373.06 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | AMERICAN BALANCED FUND-A BUY 1 Units @27.8200 | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | AMERICAN BALANCED FUND-A BUY 11 Units @27.8200 | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | AMERICAN BALANCED FUND-A BUY 1 Units @27.8200 | 22.50 | 0.23 | 0.00 | 0.23 | 80.0 | 0.18 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | AMERICAN BALANCED FUND-A BUY 13 Units @27.8200 | 373.06 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| 09/11/2018 | ***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532 | AMERICAN BALANCED FUND-A BUY 6 Units @27.8200 | 153.45 | 1.53 | 0.00 | 1.53 | 80.0 | 1.22 |
| 09/11/2018 | ***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532 | AMERICAN BALANCED FUND-A BUY 5 Units @27.8200 | 150.00 | 1.50 | 0.00 | 1.50 | 80.0 | 1.20 |
| 09/11/2018 | ***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532 | AMERICAN BALANCED FUND-A BUY 4 Units @27.8200 | 123.48 | 1.23 | 0.00 | 1.23 | 80.0 | 0.98 |
| 09/11/2018 | ***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532 | AMERICAN BALANCED FUND-A BUY 4 Units @27.8200 | 125.00 | 1.25 | 0.00 | 1.25 | 80.0 | 1.00 |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | AMERICAN BALANCED FUND-A BUY 1 Units @27.8200 | 36.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | AMERICAN BALANCED FUND-A BUY 1 Units @27.8200 | 36.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | AMERICAN BALANCED FUND-A BUY 2 Units @27.8200 | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | AMERICAN BALANCED FUND-A BUY 2 Units @27.8200 | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112 | AMERICAN BALANCED FUND-A BUY 2 Units @27.8200 | 49.37 | 0.49 | 0.00 | 0.49 | 80.0 | 0.39 |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112 | AMERICAN BALANCED FUND-A BUY 2 Units @27.8200 | 65.82 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | AMERICAN BALANCED FUND-A | 60.30 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00083474112 | BUY 2 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | AMERICAN BALANCED FUND-A | 45.23 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00083474112 | BUY 2 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | AMERICAN BALANCED FUND-A | 102.40 | 1.02 | 0.00 | 1.02 | 80.0 | 0.82 |
| | ACCOUNT #: 00087870442 | BUY 4 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | AMERICAN BALANCED FUND-A | 38.40 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | AMERICAN BALANCED FUND-A | 48.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087870442 | BUY 2 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | AMERICAN BALANCED FUND-A | 128.00 | 1.28 | 0.00 | 1.28 | 80.0 | 1.02 |
| | ACCOUNT #: 00087870442 | BUY 5 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-9535 - MITCHELL, | AMERICAN BALANCED FUND-A | 108.12 | 1.08 | 0.00 | 1.08 | 80.0 | 0.86 |
| | ACCOUNT #: 00089874312 | BUY 4 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-9535 - MITCHELL, | AMERICAN BALANCED FUND-A | 180.00 | 1.80 | 0.00 | 1.80 | 80.0 | 1.44 |
| | ACCOUNT #: 00089874312 | BUY 6 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-9535 - MITCHELL, | AMERICAN BALANCED FUND-A | 150.00 | 1.50 | 0.00 | 1.50 | 80.0 | 1.20 |
| | ACCOUNT #: 00089874312 | BUY 5 Units @27.8200 | | | | | | |
| 09/11/2018 | ***-**-9535 - MITCHELL, | AMERICAN BALANCED FUND-A | 79.09 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| | ACCOUNT #: 00089874312 | BUY 3 Units @27.8200 | | | | | | |
| 09/12/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 56.99 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 00073798048 | BUY 2 Units @27.7400 | | | | | | |
| 09/12/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-A | 37.81 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00089461113 | BUY 1 Units @27.7400 | | | | | | |
| 09/10/2018 | ***-**-3834 - SWARTWOUT, | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089957234 | BUY 2 Units @27.5700 | | | | | | |
| 09/10/2018 | ***-**-435P - BOSCO, SOFIA | AMERICAN BALANCED FUND-C | 187.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| | ACCOUNT #: 00073003754 | BUY 7 Units @27.5700 | | | | | | |
| 09/10/2018 | ***-**-6000 - SMITH, KENNETH | AMERICAN BALANCED FUND-C | 40.60 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| | ACCOUNT #: 00083081099 | BUY 1 Units @27.5700 | | | | | | |
| 09/10/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 85.00 | 0.85 | 0.00 | 0.85 | 80.0 | 0.68 |
| | ACCOUNT #: 00086979418 | BUY 3 Units @27.5700 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/10/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418 | AMERICAN BALANCED FUND-C BUY 2 Units @27.5700 | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 09/10/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | AMERICAN BALANCED FUND-C BUY 2 Units @27.5700 | 61.20 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| 09/10/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | AMERICAN BALANCED FUND-C BUY 1 Units @27.5700 | 15.18 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| 09/10/2018 | ***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089244081 | AMERICAN BALANCED FUND-C BUY 3 Units @27.5700 | 87.96 | 0.88 | 0.00 | 0.88 | 80.0 | 0.70 |
| 09/10/2018 | ***-**-0962 - TRETYAK, ACCOUNT #: 00089399972 | AMERICAN BALANCED FUND-C BUY 2 Units @27.5700 | 46.00 | 0.46 | 0.00 | 0.46 | 80.0 | 0.37 |
| 09/10/2018 | ***-**-0962 - TRETYAK, ACCOUNT #: 00089399972 | AMERICAN BALANCED FUND-C BUY 7 Units @27.5700 | 194.83 | 1.95 | 0.00 | 1.95 | 80.0 | 1.56 |
| 09/10/2018 | ***-**-035S - CAPIZZI, TRACIE ACCOUNT #: 00089679504 | AMERICAN BALANCED FUND-C BUY 1 Units @27.5700 | 37.75 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| 09/13/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | AMERICAN BALANCED FUND-C BUY 0 Units @27.6500 | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 09/13/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | AMERICAN BALANCED FUND-C BUY 1 Units @27.6500 | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| 09/13/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN BALANCED FUND-C BUY 0 Units @27.6500 | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| 09/13/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN BALANCED FUND-C BUY 0 Units @27.6500 | 2.08 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 09/13/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | AMERICAN BALANCED FUND-C BUY 1 Units @27.6500 | 16.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| 09/13/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | AMERICAN BALANCED FUND-C BUY 0 Units @27.6500 | 4.59 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192 | AMERICAN BALANCED FUND-C BUY 1 Units @27.6500 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | AMERICAN BALANCED FUND-C BUY 1 Units @27.6500 | 40.80 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | AMERICAN BALANCED FUND-C BUY 1 Units @27.6500 | 15.18 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/13/2018 | ***-**-312S - DISCH, JASMINE | AMERICAN BALANCED FUND-C | 20.52 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00089583477 | BUY 1 Units @27.6500 | | | | | | |
| 09/14/2018 | ***-**-2825 - SPERANZA, JOHN | AMERICAN BALANCED FUND-C | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| | ACCOUNT #: 00089910177 | BUY 4 Units @27.6600 | | | | | | |
| 09/13/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 7.20 | 0.07 | 0.00 | 0.07 | 80.0 | 0.06 |
| | ACCOUNT #: 04000820464 | BUY 0 Units @19.2900 | | | | | | |
| 09/13/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 43.20 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| | ACCOUNT #: 04000820464 | BUY 2 Units @19.2900 | | | | | | |
| 09/10/2018 | ***-**-511I - ROOD, SCOTT | AMERICAN GLOBAL BALANCED CL C | 21.52 | 0.22 | 0.00 | 0.22 | 80.0 | 0.18 |
| | ACCOUNT #: 00088607840 | BUY 1 Units @31.4200 | | | | | | |
| 09/10/2018 | ***-**-511I - ROOD, SCOTT | AMERICAN GLOBAL BALANCED CL C | 64.10 | 0.64 | 0.00 | 0.64 | 80.0 | 0.51 |
| | ACCOUNT #: 00088607840 | BUY 2 Units @31.4200 | | | | | | |
| 09/10/2018 | ***-**-7063 - ZIOTO, JULIA | AMERICAN GLOBAL BALANCED CL C | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 04000322984 | BUY 3 Units @31.4200 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 4.55 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @10.2700 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 105.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| | ACCOUNT #: 00087236607 | BUY 10 Units @10.2700 | | | | | | |
| 09/10/2018 | ***-**-275P - LAMANNA, DANIEL | AMERICAN MUTUAL FUND-A | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00066758347 | BUY 1 Units @42.6700 | | | | | | |
| 09/10/2018 | ***-**-255P - EVANS, JAMES | AMERICAN MUTUAL FUND-A | 600.00 | 6.00 | 0.00 | 6.00 | 80.0 | 4.80 |
| | ACCOUNT #: 00066759711 | BUY 14 Units @42.6700 | | | | | | |
| 09/12/2018 | ***-**-275P - LAMANNA, DANIEL | AMERICAN MUTUAL FUND-A | 83.49 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00066758347 | BUY 2 Units @42.7500 | | | | | | |
| 09/12/2018 | ***-**-255P - EVANS, JAMES | AMERICAN MUTUAL FUND-A | 72.94 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| | ACCOUNT #: 00066759711 | BUY 2 Units @42.7500 | | | | | | |
| 09/10/2018 | ***-**-511I - ROOD, SCOTT | AMERICAN MUTUAL FUND-C | 25.10 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00088607840 | BUY 1 Units @42.0000 | | | | | | |
| 09/10/2018 | ***-**-511I - ROOD, SCOTT | AMERICAN MUTUAL FUND-C | 74.78 | 0.75 | 0.00 | 0.75 | 80.0 | 0.60 |
| | ACCOUNT #: 00088607840 | BUY 2 Units @42.0000 | | | | | | |
| 09/10/2018 | ***-**-482I - MARCONI, TODD | AMERICAN MUTUAL FUND-C | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @42.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/13/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN MUTUAL FUND-C | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @42.2600 | | | | | | |
| 09/13/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN MUTUAL FUND-C | 1.73 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @42.2600 | | | | | | |
| 09/10/2018 | ***-**-7171 - GIUDICE, JUSTIN | CAPITAL INCOME BUILDER CLASS C | 36.03 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00086494794 | BUY 1 Units @60.2300 | | | | | | |
| 09/10/2018 | ***-**-7171 - GIUDICE, JUSTIN | CAPITAL INCOME BUILDER CLASS C | 103.95 | 1.04 | 0.00 | 1.04 | 80.0 | 0.83 |
| | ACCOUNT #: 00086494794 | BUY 2 Units @60.2300 | | | | | | |
| 09/10/2018 | ***-**-0962 - TRETYAK, | CAPITAL INCOME BUILDER CLASS C | 46.00 | 0.46 | 0.00 | 0.46 | 80.0 | 0.37 |
| | ACCOUNT #: 00089399972 | BUY 1 Units @60.2300 | | | | | | |
| 09/10/2018 | ***-**-0962 - TRETYAK, | CAPITAL INCOME BUILDER CLASS C | 194.83 | 1.95 | 0.00 | 1.95 | 80.0 | 1.56 |
| | ACCOUNT #: 00089399972 | BUY 3 Units @60.2300 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | CAPITAL INCOME BUILDER-A | 34.20 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00073550085 | BUY 1 Units @61.4500 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | CAPITAL INCOME BUILDER-A | 186.00 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00073550085 | BUY 3 Units @61.4500 | | | | | | |
| 09/10/2018 | ***-**-405P - FRISA, ALAN | CAPITAL WORLD GROWTH AND INCOME FUN | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00066758344 | BUY 0 Units @50.1300 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | CAPITAL WORLD GROWTH AND INCOME FUN | 34.20 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00073550085 | BUY 1 Units @51.1500 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | CAPITAL WORLD GROWTH AND INCOME FUN | 186.00 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00073550085 | BUY 4 Units @51.1500 | | | | | | |
| 09/10/2018 | ***-**-4036 - AMES, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 48.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00083414092 | BUY 1 Units @49.5200 | | | | | | |
| 09/10/2018 | ***-**-4036 - AMES, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 72.00 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00083414092 | BUY 1 Units @49.5200 | | | | | | |
| 09/10/2018 | ***-**-4926 - HAUN, GARY | CAPITAL WORLD GROWTH AND INCOME FUN | 42.30 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| | ACCOUNT #: 04000492822 | BUY 1 Units @49.5200 | | | | | | |
| 09/10/2018 | ***-**-4926 - HAUN, GARY | CAPITAL WORLD GROWTH AND INCOME FUN | 190.35 | 1.90 | 0.00 | 1.90 | 80.0 | 1.52 |
| | ACCOUNT #: 04000492822 | BUY 4 Units @49.5200 | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 27.20 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @50.0900 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 27.20 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @50.0900 | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 22.02 | 0.22 | 0.00 | 0.22 | 80.0 | 0.18 |
| | ACCOUNT #: 00067400189 | BUY 0 Units @50.0900 | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 34.00 | 0.34 | 0.00 | 0.34 | 80.0 | 0.27 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @50.0900 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 36.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00083382475 | BUY 1 Units @50.0900 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 36.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00083382475 | BUY 1 Units @50.0900 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00083382475 | BUY 1 Units @50.0900 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00083382475 | BUY 1 Units @50.0900 | | | | | | |
| 09/12/2018 | ***-**-405P - FRISA, ALAN | CAPITAL WORLD GROWTH AND INCOME FUN | 47.94 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @50.0300 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 96.40 | 0.96 | 0.00 | 0.96 | 80.0 | 0.77 |
| | ACCOUNT #: 00087038420 | BUY 2 Units @49.8200 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 3.60 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @49.8200 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @49.8200 | | | | | | |
| 09/14/2018 | ***-**-2825 - SPERANZA, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00089910177 | BUY 1 Units @49.9700 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | EUROPACIFIC GROWTH FUND-A | 41.66 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @51.5700 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | EUROPACIFIC GROWTH FUND-A | 61.20 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @51.5700 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | EUROPACIFIC GROWTH FUND-A | 51.00 | 0.51 | 0.00 | 0.51 | 80.0 | 0.41 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @51.5700 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | EUROPACIFIC GROWTH FUND-A | 34.52 | 0.35 | 0.00 | 0.35 | 80.0 | 0.28 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @51.5700 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @51.5700 | 46.53 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | EUROPACIFIC GROWTH FUND-A BUY 2 Units @51.5700 | 79.20 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @51.5700 | 63.26 | 0.63 | 0.00 | 0.63 | 80.0 | 0.50 |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | EUROPACIFIC GROWTH FUND-A BUY 2 Units @51.5700 | 99.00 | 0.99 | 0.00 | 0.99 | 80.0 | 0.79 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @51.5700 | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @51.5700 | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @51.5700 | 46.62 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @51.5700 | 72.00 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| 09/10/2018 | ***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710 | FUNDAMENTAL INVESTORS-A BUY 0 Units @64.2900 | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 09/10/2018 | ***-**-925P - BURNS, JASON ACCOUNT #: 00073550035 | FUNDAMENTAL INVESTORS-A BUY 1 Units @65.6000 | 44.55 | 0.71 | 0.00 | 0.71 | 80.0 | 0.57 |
| 09/10/2018 | ***-**-925P - BURNS, JASON ACCOUNT #: 00073550035 | FUNDAMENTAL INVESTORS-A BUY 4 Units @65.6000 | 290.82 | 4.65 | 0.00 | 4.65 | 80.0 | 3.72 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | FUNDAMENTAL INVESTORS-A BUY 0 Units @64.4000 | 17.60 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | FUNDAMENTAL INVESTORS-A BUY 0 Units @64.4000 | 17.60 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | FUNDAMENTAL INVESTORS-A BUY 0 Units @64.4000 | 14.25 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | FUNDAMENTAL INVESTORS-A BUY 0 Units @64.4000 | 22.00 | 0.22 | 0.00 | 0.22 | 80.0 | 0.18 |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA ACCOUNT #: 04001141304 | FUNDAMENTAL INVESTORS-A BUY 0 Units @64.4000 | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA | FUNDAMENTAL INVESTORS-A | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 04001141304 | BUY 0 Units @64.4000 | | | | | | |
| 09/12/2018 | ***-**-495P - HARTER, EDWARD | FUNDAMENTAL INVESTORS-A | 140.88 | 1.41 | 0.00 | 1.41 | 80.0 | 1.13 |
| | ACCOUNT #: 00066759710 | BUY 2 Units @64.4600 | | | | | | |
| 09/10/2018 | ***-**-7171 - GIUDICE, JUSTIN | FUNDAMENTAL INVESTORS-C | 36.03 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00086494794 | BUY 1 Units @63.8500 | | | | | | |
| 09/10/2018 | ***-**-7171 - GIUDICE, JUSTIN | FUNDAMENTAL INVESTORS-C | 103.95 | 1.04 | 0.00 | 1.04 | 80.0 | 0.83 |
| | ACCOUNT #: 00086494794 | BUY 2 Units @63.8500 | | | | | | |
| 09/10/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @63.8500 | | | | | | |
| 09/10/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 7.59 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @63.8500 | | | | | | |
| 09/10/2018 | ***-**-482I - MARCONI, TODD | FUNDAMENTAL INVESTORS-C | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @63.8500 | | | | | | |
| 09/10/2018 | ***-**-6171 - ROWE, KEVIN | FUNDAMENTAL INVESTORS-C | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| | ACCOUNT #: 04000591484 | BUY 4 Units @63.8500 | | | | | | |
| 09/13/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 4.16 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @64.3000 | | | | | | |
| 09/13/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @64.3000 | | | | | | |
| 09/13/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @64.3000 | | | | | | |
| 09/13/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 2.24 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @64.3000 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.3000 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 7.59 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.3000 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 1.95 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @22.8300 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00087236607 | BUY 2 Units @22.8300 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/10/2018 | ***-**-564I - CARNEY, BARBARA | INVESTMENT COMPANY OF AMERICA CLASS | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089563161 | BUY 0 Units @40.8200 | | | | | | |
| 09/13/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 3.74 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @41.2600 | | | | | | |
| 09/13/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 28.90 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @41.2600 | | | | | | |
| 09/13/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 4.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @41.2600 | | | | | | |
| 09/13/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 1.15 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @41.2600 | | | | | | |
| 09/13/2018 | ***-**-312S - DISCH, JASMINE | INVESTMENT COMPANY OF AMERICA CLASS | 19.91 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @41.2600 | | | | | | |
| 09/10/2018 | ***-**-955P - ROSE, JUSTIN | NEW PERSPECTIVE FUND-A | 45.24 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00073550044 | BUY 1 Units @46.8100 | | | | | | |
| 09/10/2018 | ***-**-955P - ROSE, JUSTIN | NEW PERSPECTIVE FUND-A | 337.50 | 5.40 | 0.00 | 5.40 | 80.0 | 4.32 |
| | ACCOUNT #: 00073550044 | BUY 7 Units @46.8100 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | NEW PERSPECTIVE FUND-A | 299.40 | 2.99 | 0.00 | 2.99 | 80.0 | 2.39 |
| | ACCOUNT #: 00067351603 | BUY 7 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | NEW PERSPECTIVE FUND-A | 61.52 | 0.62 | 0.00 | 0.62 | 80.0 | 0.50 |
| | ACCOUNT #: 00067351603 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | NEW PERSPECTIVE FUND-A | 217.50 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| | ACCOUNT #: 00067351603 | BUY 5 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | NEW PERSPECTIVE FUND-A | 374.25 | 3.74 | 0.00 | 3.74 | 80.0 | 2.99 |
| | ACCOUNT #: 00067351603 | BUY 8 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | NEW PERSPECTIVE FUND-A | 65.52 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| | ACCOUNT #: 00067400187 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | NEW PERSPECTIVE FUND-A | 163.80 | 1.64 | 0.00 | 1.64 | 80.0 | 1.31 |
| | ACCOUNT #: 00067400187 | BUY 4 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | NEW PERSPECTIVE FUND-A | 54.61 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00067400187 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | NEW PERSPECTIVE FUND-A | 136.50 | 1.37 | 0.00 | 1.37 | 80.0 | 1.10 |
| | ACCOUNT #: 00067400187 | BUY 3 Units @45.9700 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

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BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | NEW PERSPECTIVE FUND-A | 51.20 | 0.51 | 0.00 | 0.51 | 80.0 | 0.41 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | NEW PERSPECTIVE FUND-A | 19.20 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| | ACCOUNT #: 00087870442 | BUY 0 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | NEW PERSPECTIVE FUND-A | 24.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | NEW PERSPECTIVE FUND-A | 64.00 | 0.64 | 0.00 | 0.64 | 80.0 | 0.51 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-A | 26.58 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00089254350 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-A | 79.20 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| | ACCOUNT #: 00089254350 | BUY 2 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-A | 16.93 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00089254350 | BUY 0 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-A | 66.00 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| | ACCOUNT #: 00089254350 | BUY 1 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA | NEW PERSPECTIVE FUND-A | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 04001141304 | BUY 0 Units @45.9700 | | | | | | |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA | NEW PERSPECTIVE FUND-A | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 04001141304 | BUY 0 Units @45.9700 | | | | | | |
| 09/10/2018 | ***-**-6000 - SMITH, KENNETH | NEW PERSPECTIVE FUND-C | 20.30 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00083081099 | BUY 0 Units @43.8400 | | | | | | |
| 09/10/2018 | ***-**-4036 - AMES, JOHN | NEW PERSPECTIVE FUND-C | 36.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00083414092 | BUY 1 Units @43.8400 | | | | | | |
| 09/10/2018 | ***-**-4036 - AMES, JOHN | NEW PERSPECTIVE FUND-C | 54.00 | 0.54 | 0.00 | 0.54 | 80.0 | 0.43 |
| | ACCOUNT #: 00083414092 | BUY 1 Units @43.8400 | | | | | | |
| 09/10/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 7.58 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @43.8400 | | | | | | |
| 09/10/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @43.8400 | | | | | | |
| 09/13/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 4.85 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @44.2500 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/13/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @44.2500 | | | | | | |
| 09/13/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @44.2500 | | | | | | |
| 09/13/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @44.2500 | | | | | | |
| 09/13/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @44.2500 | | | | | | |
| 09/13/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 2.62 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @44.2500 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @44.2500 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 7.58 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @44.2500 | | | | | | |
| 09/10/2018 | ***-**-4036 - AMES, JOHN | NEW WORLD FUND-C | 36.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00083414092 | BUY 1 Units @60.0000 | | | | | | |
| 09/10/2018 | ***-**-4036 - AMES, JOHN | NEW WORLD FUND-C | 54.00 | 0.54 | 0.00 | 0.54 | 80.0 | 0.43 |
| | ACCOUNT #: 00083414092 | BUY 1 Units @60.0000 | | | | | | |
| 09/13/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 4.85 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @60.4100 | | | | | | |
| 09/13/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @60.4100 | | | | | | |
| 09/13/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @60.4100 | | | | | | |
| 09/13/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 2.62 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @60.4100 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | NEW WORLD FUND-C | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087038420 | BUY 1 Units @60.4100 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | NEW WORLD FUND-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @60.4100 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | SHORT-TERM BOND FUND OF AMERICA - A | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00067400188 | BUY 1 Units @9.7900 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | SHORT-TERM BOND FUND OF AMERICA - A BUY 30 Units @9.7900 | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | SHORT-TERM BOND FUND OF AMERICA - A BUY 1 Units @9.7900 | 13.13 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | SHORT-TERM BOND FUND OF AMERICA - A BUY 38 Units @9.7900 | 373.06 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | SHORT-TERM BOND FUND OF AMERICA - A BUY 2 Units @9.7900 | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | SHORT-TERM BOND FUND OF AMERICA - A BUY 30 Units @9.7900 | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | SHORT-TERM BOND FUND OF AMERICA - A BUY 2 Units @9.7900 | 22.50 | 0.23 | 0.00 | 0.23 | 80.0 | 0.18 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | SHORT-TERM BOND FUND OF AMERICA - A BUY 38 Units @9.7900 | 373.06 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| 09/10/2018 | ***-**-925P - BURNS, JASON ACCOUNT #: 00073550035 | SMALLCAP WORLD FUND-A BUY 1 Units @60.9400 | 44.55 | 0.71 | 0.00 | 0.71 | 80.0 | 0.57 |
| 09/10/2018 | ***-**-925P - BURNS, JASON ACCOUNT #: 00073550035 | SMALLCAP WORLD FUND-A BUY 5 Units @60.9400 | 290.82 | 4.65 | 0.00 | 4.65 | 80.0 | 3.72 |
| 09/10/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 1 Units @52.2500 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 09/10/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @52.2500 | 7.59 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 1 Units @52.4500 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 0 Units @52.4500 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @52.4500 | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 09/13/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @52.4500 | 7.59 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187 | THE BOND FUND OF AMERICA-A BUY 4 Units @12.5000 | 45.24 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE BOND FUND OF AMERICA-A | 113.10 | 1.13 | 0.00 | 1.13 | 80.0 | 0.90 |
| | ACCOUNT #: 00067400187 | BUY 9 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE BOND FUND OF AMERICA-A | 37.71 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00067400187 | BUY 3 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE BOND FUND OF AMERICA-A | 94.25 | 0.94 | 0.00 | 0.94 | 80.0 | 0.75 |
| | ACCOUNT #: 00067400187 | BUY 8 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-A | 48.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00083382475 | BUY 4 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-A | 48.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00083382475 | BUY 4 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-A | 60.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00083382475 | BUY 5 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-A | 60.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00083382475 | BUY 5 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-A | 65.82 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| | ACCOUNT #: 00083474112 | BUY 5 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-A | 87.76 | 0.88 | 0.00 | 0.88 | 80.0 | 0.70 |
| | ACCOUNT #: 00083474112 | BUY 7 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-A | 80.40 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| | ACCOUNT #: 00083474112 | BUY 6 Units @12.5000 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-A | 60.30 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00083474112 | BUY 5 Units @12.5000 | | | | | | |
| 09/10/2018 | ***-**-7171 - GIUDICE, JUSTIN | THE BOND FUND OF AMERICA-C | 37.13 | 0.37 | 0.00 | 0.37 | 80.0 | 0.30 |
| | ACCOUNT #: 00086494794 | BUY 3 Units @12.5300 | | | | | | |
| 09/10/2018 | ***-**-7171 - GIUDICE, JUSTIN | THE BOND FUND OF AMERICA-C | 107.10 | 1.07 | 0.00 | 1.07 | 80.0 | 0.86 |
| | ACCOUNT #: 00086494794 | BUY 9 Units @12.5300 | | | | | | |
| 09/13/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @12.5100 | | | | | | |
| 09/13/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 2 Units @12.5100 | | | | | | |
| 09/13/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| | ACCOUNT #: 00085904181 | BUY 1 Units @12.5100 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/13/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 3.12 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @12.5100 | | | | | | |
| 09/10/2018 | ***-**-195P - OZMINKOWSKI, | THE GROWTH FUND OF AMERICA-A | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00066758342 | BUY 5 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-405P - FRISA, ALAN | THE GROWTH FUND OF AMERICA-A | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-275P - LAMANNA, DANIEL | THE GROWTH FUND OF AMERICA-A | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00066758347 | BUY 1 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-945P - VEGA, EDWARD | THE GROWTH FUND OF AMERICA-A | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00066758348 | BUY 1 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-095P - RIVERA, MIGUEL | THE GROWTH FUND OF AMERICA-A | 130.00 | 1.30 | 0.00 | 1.30 | 80.0 | 1.04 |
| | ACCOUNT #: 00074922243 | BUY 2 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-4750 - OSCHMANN, MARK | THE GROWTH FUND OF AMERICA-A | 61.01 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| | ACCOUNT #: 00083414110 | BUY 1 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-9447 - ZAJONCZKOSKI, | THE GROWTH FUND OF AMERICA-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00087318332 | BUY 0 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-4263 - HARTER, IAN | THE GROWTH FUND OF AMERICA-A | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089461113 | BUY 0 Units @55.2500 | | | | | | |
| 09/10/2018 | ***-**-965P - SHAFER, PAUL | THE GROWTH FUND OF AMERICA-A | 39.55 | 0.63 | 0.00 | 0.63 | 80.0 | 0.50 |
| | ACCOUNT #: 00073549401 | BUY 1 Units @56.3800 | | | | | | |
| 09/10/2018 | ***-**-925P - BURNS, JASON | THE GROWTH FUND OF AMERICA-A | 45.90 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| | ACCOUNT #: 00073550035 | BUY 1 Units @56.3800 | | | | | | |
| 09/10/2018 | ***-**-925P - BURNS, JASON | THE GROWTH FUND OF AMERICA-A | 299.62 | 4.79 | 0.00 | 4.79 | 80.0 | 3.83 |
| | ACCOUNT #: 00073550035 | BUY 5 Units @56.3800 | | | | | | |
| 09/10/2018 | ***-**-955P - ROSE, JUSTIN | THE GROWTH FUND OF AMERICA-A | 45.23 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00073550044 | BUY 1 Units @56.3800 | | | | | | |
| 09/10/2018 | ***-**-955P - ROSE, JUSTIN | THE GROWTH FUND OF AMERICA-A | 337.50 | 5.40 | 0.00 | 5.40 | 80.0 | 4.32 |
| | ACCOUNT #: 00073550044 | BUY 6 Units @56.3800 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | THE GROWTH FUND OF AMERICA-A | 68.40 | 1.09 | 0.00 | 1.09 | 80.0 | 0.87 |
| | ACCOUNT #: 00073550085 | BUY 1 Units @56.3800 | | | | | | |
| 09/10/2018 | ***-**-625P - BORRELLI, LOUIS | THE GROWTH FUND OF AMERICA-A | 372.00 | 5.95 | 0.00 | 5.95 | 80.0 | 4.76 |
| | ACCOUNT #: 00073550085 | BUY 7 Units @56.3800 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE GROWTH FUND OF AMERICA-A | 40.43 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE GROWTH FUND OF AMERICA-A | 59.40 | 0.59 | 0.00 | 0.59 | 80.0 | 0.47 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE GROWTH FUND OF AMERICA-A | 49.50 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE GROWTH FUND OF AMERICA-A | 33.50 | 0.34 | 0.00 | 0.34 | 80.0 | 0.27 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 299.40 | 2.99 | 0.00 | 2.99 | 80.0 | 2.39 |
| | ACCOUNT #: 00067351603 | BUY 5 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 61.52 | 0.62 | 0.00 | 0.62 | 80.0 | 0.50 |
| | ACCOUNT #: 00067351603 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 217.50 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| | ACCOUNT #: 00067351603 | BUY 4 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 374.25 | 3.74 | 0.00 | 3.74 | 80.0 | 2.99 |
| | ACCOUNT #: 00067351603 | BUY 7 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 46.53 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| | ACCOUNT #: 00067351605 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 79.20 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| | ACCOUNT #: 00067351605 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 63.25 | 0.63 | 0.00 | 0.63 | 80.0 | 0.50 |
| | ACCOUNT #: 00067351605 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 99.00 | 0.99 | 0.00 | 0.99 | 80.0 | 0.79 |
| | ACCOUNT #: 00067351605 | BUY 2 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE GROWTH FUND OF AMERICA-A | 45.23 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00067400187 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE GROWTH FUND OF AMERICA-A | 113.10 | 1.13 | 0.00 | 1.13 | 80.0 | 0.90 |
| | ACCOUNT #: 00067400187 | BUY 2 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE GROWTH FUND OF AMERICA-A | 37.70 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00067400187 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-815P - WEBER, ANTHONY | THE GROWTH FUND OF AMERICA-A | 94.25 | 0.94 | 0.00 | 0.94 | 80.0 | 0.75 |
| | ACCOUNT #: 00067400187 | BUY 2 Units @55.5900 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | THE GROWTH FUND OF AMERICA-A | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | THE GROWTH FUND OF AMERICA-A | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | THE GROWTH FUND OF AMERICA-A | 46.62 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-705P - SNYDER, STEVEN | THE GROWTH FUND OF AMERICA-A | 72.00 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00067400189 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE GROWTH FUND OF AMERICA-A | 49.36 | 0.49 | 0.00 | 0.49 | 80.0 | 0.39 |
| | ACCOUNT #: 00083474112 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE GROWTH FUND OF AMERICA-A | 65.82 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| | ACCOUNT #: 00083474112 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE GROWTH FUND OF AMERICA-A | 60.30 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00083474112 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-3757 - MALONE, KENNETH | THE GROWTH FUND OF AMERICA-A | 45.22 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00083474112 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-8919 - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| | ACCOUNT #: 00086553837 | BUY 2 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-8919 - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| | ACCOUNT #: 00086553837 | BUY 2 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-8919 - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00086553837 | BUY 2 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-8919 - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00086553837 | BUY 2 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE GROWTH FUND OF AMERICA-A | 51.20 | 0.51 | 0.00 | 0.51 | 80.0 | 0.41 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE GROWTH FUND OF AMERICA-A | 19.20 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| | ACCOUNT #: 00087870442 | BUY 0 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE GROWTH FUND OF AMERICA-A | 24.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| | ACCOUNT #: 00087870442 | BUY 0 Units @55.5900 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE GROWTH FUND OF AMERICA-A | 64.00 | 0.64 | 0.00 | 0.64 | 80.0 | 0.51 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @55.5900 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.5900 | 26.58 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| 09/11/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.5900 | 79.20 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| 09/11/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.5900 | 16.93 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 09/11/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.5900 | 66.00 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| 09/11/2018 | ***-**-9535 - MITCHELL, ACCOUNT #: 00089874312 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.5900 | 72.08 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| 09/11/2018 | ***-**-9535 - MITCHELL, ACCOUNT #: 00089874312 | THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.5900 | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| 09/11/2018 | ***-**-9535 - MITCHELL, ACCOUNT #: 00089874312 | THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.5900 | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 09/11/2018 | ***-**-9535 - MITCHELL, ACCOUNT #: 00089874312 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.5900 | 52.73 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA ACCOUNT #: 04001141304 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.5900 | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA ACCOUNT #: 04001141304 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.5900 | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 09/12/2018 | ***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.6900 | 174.14 | 1.74 | 0.00 | 1.74 | 80.0 | 1.39 |
| 09/12/2018 | ***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6900 | 71.90 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| 09/12/2018 | ***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6900 | 83.49 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| 09/12/2018 | ***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6900 | 72.19 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| 09/12/2018 | ***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243 | THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6900 | 100.06 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 09/12/2018 | ***-**-4750 - OSCHMANN, MARK ACCOUNT #: 00083414110 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.6900 | 157.58 | 1.58 | 0.00 | 1.58 | 80.0 | 1.26 |



COMMISSION STATEMENT

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BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/12/2018 | ***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332 | THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6900 | 116.72 | 1.17 | 0.00 | 1.17 | 80.0 | 0.94 |
| 09/12/2018 | ***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6900 | 37.81 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| 09/14/2018 | ***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.6900 | 51.84 | 1.94 | 0.00 | 1.94 | 80.0 | 1.55 |
| 09/14/2018 | ***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @58.6900 | 200.00 | 7.50 | 0.00 | 7.50 | 80.0 | 6.00 |
| 09/10/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | THE GROWTH FUND OF AMERICA-C BUY 3 Units @50.9700 | 176.00 | 1.76 | 0.00 | 1.76 | 80.0 | 1.41 |
| 09/10/2018 | ***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.9700 | 20.30 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 09/10/2018 | ***-**-1307 - BONACCI, ACCOUNT #: 00087952601 | THE GROWTH FUND OF AMERICA-C BUY 2 Units @50.9700 | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 09/10/2018 | ***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.9700 | 70.66 | 0.71 | 0.00 | 0.71 | 80.0 | 0.57 |
| 09/10/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.9700 | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| 09/10/2018 | ***-**-50IN - BONACCI, ACCOUNT #: 00089947853 | THE GROWTH FUND OF AMERICA-C BUY 2 Units @50.9700 | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 09/10/2018 | ***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.9700 | 56.40 | 0.56 | 0.00 | 0.56 | 80.0 | 0.45 |
| 09/10/2018 | ***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822 | THE GROWTH FUND OF AMERICA-C BUY 5 Units @50.9700 | 253.80 | 2.54 | 0.00 | 2.54 | 80.0 | 2.03 |
| 09/10/2018 | ***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000591484 | THE GROWTH FUND OF AMERICA-C BUY 4 Units @50.9700 | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| 09/10/2018 | ***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000591533 | THE GROWTH FUND OF AMERICA-C BUY 4 Units @50.9700 | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| 09/10/2018 | ***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661 | THE GROWTH FUND OF AMERICA-C BUY 2 Units @50.9700 | 86.54 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| 09/13/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.6400 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |



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400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|---|------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/13/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @51.6400 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087038420 | BUY 1 Units @51.6400 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @51.6400 | | | | | | |
| 09/13/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @51.6400 | | | | | | |
| 09/13/2018 | ***-**-312S - DISCH, JASMINE | THE GROWTH FUND OF AMERICA-C | 19.92 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @51.6400 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00067400188 | BUY 0 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00067400188 | BUY 13 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 13.13 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| | ACCOUNT #: 00067400188 | BUY 1 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 373.06 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| | ACCOUNT #: 00067400188 | BUY 16 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00067436327 | BUY 1 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00067436327 | BUY 13 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 22.50 | 0.23 | 0.00 | 0.23 | 80.0 | 0.18 |
| | ACCOUNT #: 00067436327 | BUY 1 Units @23.1600 | | | | | | |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 373.06 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| | ACCOUNT #: 00067436327 | BUY 16 Units @23.1600 | | | | | | |
| 09/10/2018 | ***-**-195P - OZMINKOWSKI, | THE INVESTMENT COMPANY OF AMERICA-A | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00066758342 | BUY 6 Units @41.3200 | | | | | | |
| 09/10/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00066758348 | BUY 1 Units @41.3200 | | | | | | |
| 09/10/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00073798048 | BUY 0 Units @41.3200 | | | | | | |



COMMISSION STATEMENT

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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/10/2018 | ***-**-395P - DIVASTA, ANTHONY | THE INVESTMENT COMPANY OF AMERICA-A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| | ACCOUNT #: 00059597416 | BUY 1 Units @43.2700 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE INVESTMENT COMPANY OF AMERICA-A | 40.43 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE INVESTMENT COMPANY OF AMERICA-A | 59.40 | 0.59 | 0.00 | 0.59 | 80.0 | 0.47 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE INVESTMENT COMPANY OF AMERICA-A | 49.50 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-275P - HUGGINS, EDDISON | THE INVESTMENT COMPANY OF AMERICA-A | 33.50 | 0.34 | 0.00 | 0.34 | 80.0 | 0.27 |
| | ACCOUNT #: 00067335866 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 51.20 | 0.51 | 0.00 | 0.51 | 80.0 | 0.41 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 19.20 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| | ACCOUNT #: 00087870442 | BUY 0 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 24.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| | ACCOUNT #: 00087870442 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-1788 - SYKES, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 64.00 | 0.64 | 0.00 | 0.64 | 80.0 | 0.51 |
| | ACCOUNT #: 00087870442 | BUY 2 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | THE INVESTMENT COMPANY OF AMERICA-A | 27.39 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00089254350 | BUY 1 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | THE INVESTMENT COMPANY OF AMERICA-A | 81.60 | 0.82 | 0.00 | 0.82 | 80.0 | 0.66 |
| | ACCOUNT #: 00089254350 | BUY 2 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | THE INVESTMENT COMPANY OF AMERICA-A | 17.44 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00089254350 | BUY 0 Units @41.3800 | | | | | | |
| 09/11/2018 | ***-**-96CL - SCARPULLA, | THE INVESTMENT COMPANY OF AMERICA-A | 68.00 | 0.68 | 0.00 | 0.68 | 80.0 | 0.54 |
| | ACCOUNT #: 00089254350 | BUY 2 Units @41.3800 | | | | | | |
| 09/12/2018 | ***-**-195P - OZMINKOWSKI, | THE INVESTMENT COMPANY OF AMERICA-A | 174.14 | 1.74 | 0.00 | 1.74 | 80.0 | 1.39 |
| | ACCOUNT #: 00066758342 | BUY 4 Units @41.5200 | | | | | | |
| 09/12/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 72.19 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758348 | BUY 2 Units @41.5200 | | | | | | |
| 09/12/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 56.99 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @41.5200 | | | | | | |



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|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u> | | | | | | | | |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA | THE NEW ECONOMY FUND-A | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 04001141304 | BUY 0 Units @48.3800 | | | | | | |
| 09/11/2018 | ***-**-7063 - REGALI, JOHANNA | THE NEW ECONOMY FUND-A | 10.98 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 04001141304 | BUY 0 Units @48.3800 | | | | | | |
| 09/10/2018 | ***-**-415P - MALOVICS, LASZLO | WASHINGTON MUTUAL INVESTORS FUND-A | 500.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| | ACCOUNT #: 00066758345 | BUY 11 Units @46.4900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 299.40 | 2.99 | 0.00 | 2.99 | 80.0 | 2.39 |
| | ACCOUNT #: 00067351603 | BUY 6 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 61.52 | 0.62 | 0.00 | 0.62 | 80.0 | 0.50 |
| | ACCOUNT #: 00067351603 | BUY 1 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 217.50 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| | ACCOUNT #: 00067351603 | BUY 5 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-825P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 374.25 | 3.74 | 0.00 | 3.74 | 80.0 | 2.99 |
| | ACCOUNT #: 00067351603 | BUY 8 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 47.94 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00067351605 | BUY 1 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 81.60 | 0.82 | 0.00 | 0.82 | 80.0 | 0.66 |
| | ACCOUNT #: 00067351605 | BUY 2 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 65.17 | 0.65 | 0.00 | 0.65 | 80.0 | 0.52 |
| | ACCOUNT #: 00067351605 | BUY 1 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-375P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 102.00 | 1.02 | 0.00 | 1.02 | 80.0 | 0.82 |
| | ACCOUNT #: 00067351605 | BUY 2 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00067400188 | BUY 0 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00067400188 | BUY 6 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 13.11 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| | ACCOUNT #: 00067400188 | BUY 0 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-685P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 373.07 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| | ACCOUNT #: 00067400188 | BUY 8 Units @46.5900 | | | | | | |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, | WASHINGTON MUTUAL INVESTORS FUND-A | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00067436327 | BUY 0 Units @46.5900 | | | | | | |



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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 6 Units @46.5900 | 298.45 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @46.5900 | 22.50 | 0.23 | 0.00 | 0.23 | 80.0 | 0.18 |
| 09/11/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 8 Units @46.5900 | 373.07 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| 09/12/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 7 Units @46.6000 | 348.24 | 3.48 | 0.00 | 3.48 | 80.0 | 2.78 |
| 09/10/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @45.8100 | 187.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| 09/10/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.8100 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 09/10/2018 | ***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.8100 | 42.30 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| 09/10/2018 | ***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @45.8100 | 190.35 | 1.90 | 0.00 | 1.90 | 80.0 | 1.52 |
| 09/11/2018 | ***-**-305P - MESH, RONALD ACCOUNT #: 00074720484 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 9 Units @45.9100 | 400.00 | 4.00 | 0.00 | 4.00 | 80.0 | 3.20 |
| 09/14/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @46.0900 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$30,894.71 | \$336.47 | \$0.00 | \$336.47 | | \$269.00 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 2.48 | 0.00 | 2.48 | 80.0 | 1.98 |
| 09/04/2018 | ***-**-765P - CRISPINO/, DAVID ACCOUNT #: 00059085342 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 48.72 | 0.00 | 48.72 | 80.0 | 38.98 |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 61.51 | 0.00 | 61.51 | 80.0 | 49.21 |
| 09/04/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00063451518 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 59.13 | 0.00 | 59.13 | 80.0 | 47.30 |
| 09/04/2018 | ***-**-984P - DELUCA, SHARI ACCOUNT #: 00065146525 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |



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|--|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-515P - GUERRIERI, ACCOUNT #: 00066746363 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 19.73 | 0.00 | 19.73 | 80.0 | 15.78 |
| 09/04/2018 | ***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 84.20 | 0.00 | 84.20 | 80.0 | 67.36 |
| 09/04/2018 | ***-**-126I - GIAMBRONE, ACCOUNT #: 00068322646 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 32.51 | 0.00 | 32.51 | 80.0 | 26.01 |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE ACCOUNT #: 00068622801 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 36.83 | 0.00 | 36.83 | 80.0 | 29.46 |
| 09/04/2018 | ***-**-539I - JALLOH, ABDULAI ACCOUNT #: 00084394270 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 3.44 | 0.00 | 3.44 | 80.0 | 2.75 |
| 09/04/2018 | ***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 9.49 | 0.00 | 9.49 | 80.0 | 7.59 |
| 09/04/2018 | ***-**-1078 - DENMARK, KEVIN ACCOUNT #: 00087230703 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 09/04/2018 | ***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 4.76 | 0.00 | 4.76 | 80.0 | 3.81 |
| 09/04/2018 | ***-**-5225 - BOWERMAN, DAWN ACCOUNT #: 04000089664 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| 09/04/2018 | ***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000409714 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 0.56 | 0.00 | 0.56 | 80.0 | 0.45 |
| 09/04/2018 | ***-**-4115 - ROWE, BRITTNEY ACCOUNT #: 04000409792 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 09/04/2018 | ***-**-8388 - ROWE, RYAN ACCOUNT #: 04000409804 | AMCAP FUND-C BUY 0 Units @0.0000 | 0.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | AMCAP FUND-F1 BUY 0 Units @0.0000 | 0.00 | 2.42 | 0.00 | 2.42 | 80.0 | 1.94 |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | AMCAP FUND-F1 BUY 0 Units @0.0000 | 0.00 | 3.99 | 0.00 | 3.99 | 80.0 | 3.19 |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00067522496 | AMERICAN BALANCED FUND-529A BUY 0 Units @0.0000 | 0.00 | 2.42 | 0.00 | 2.42 | 80.0 | 1.94 |
| 09/04/2018 | ***-**-195P - HECHT, ANDREW ACCOUNT #: 00068453142 | AMERICAN BALANCED FUND-529A BUY 0 Units @0.0000 | 0.00 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |



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|--|--------------------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-685P - SPEACH, HANNAH | AMERICAN BALANCED FUND-529A | 0.00 | 17.72 | 0.00 | 17.72 | 80.0 | 14.18 |
| | ACCOUNT #: 00073714504 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-685P - SPEACH, HANNAH | AMERICAN BALANCED FUND-529A | 0.00 | 1.91 | 0.00 | 1.91 | 80.0 | 1.53 |
| | ACCOUNT #: 00073714525 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MAAR, NATHANIEL | AMERICAN BALANCED FUND-529A | 0.00 | 1.83 | 0.00 | 1.83 | 80.0 | 1.46 |
| | ACCOUNT #: 00073850845 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MAAR, NATHANIEL | AMERICAN BALANCED FUND-529A | 0.00 | 1.83 | 0.00 | 1.83 | 80.0 | 1.46 |
| | ACCOUNT #: 00073850866 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-375P - FONTANA, | AMERICAN BALANCED FUND-529A | 0.00 | 0.26 | 0.00 | 0.26 | 80.0 | 0.21 |
| | ACCOUNT #: 00074129219 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MAAR, NATHANIEL | AMERICAN BALANCED FUND-529A | 0.00 | 0.33 | 0.00 | 0.33 | 80.0 | 0.26 |
| | ACCOUNT #: 00075731640 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-315P - ARBORE, JENNIFER | AMERICAN BALANCED FUND-529A | 0.00 | 3.64 | 0.00 | 3.64 | 80.0 | 2.91 |
| | ACCOUNT #: 00081613426 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA | AMERICAN BALANCED FUND-529C | 0.00 | 32.22 | 0.00 | 32.22 | 80.0 | 25.78 |
| | ACCOUNT #: 00067522496 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MAAR, NATHANIEL | AMERICAN BALANCED FUND-529C | 0.00 | 1.03 | 0.00 | 1.03 | 80.0 | 0.82 |
| | ACCOUNT #: 00073850845 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MAAR, NATHANIEL | AMERICAN BALANCED FUND-529C | 0.00 | 1.03 | 0.00 | 1.03 | 80.0 | 0.82 |
| | ACCOUNT #: 00073850866 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MAAR, NATHANIEL | AMERICAN BALANCED FUND-529C | 0.00 | 0.90 | 0.00 | 0.90 | 80.0 | 0.72 |
| | ACCOUNT #: 00075731640 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-315P - ARBORE, JENNIFER | AMERICAN BALANCED FUND-529C | 0.00 | 5.45 | 0.00 | 5.45 | 80.0 | 4.36 |
| | ACCOUNT #: 00081613426 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5899 - ARBORE, CARL | AMERICAN BALANCED FUND-529C | 0.00 | 13.20 | 0.00 | 13.20 | 80.0 | 10.56 |
| | ACCOUNT #: 00084503660 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7989 - SMITH, MICHAEL | AMERICAN BALANCED FUND-529C | 0.00 | -285.30 | 0.00 | -285.30 | 80.0 | -228.24 |
| | ACCOUNT #: 00084869153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7255 - LIPPA, HARITINI | AMERICAN BALANCED FUND-529C | 0.00 | 17.76 | 0.00 | 17.76 | 80.0 | 14.21 |
| | ACCOUNT #: 00087198121 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7255 - LIPPA, HARITINI | AMERICAN BALANCED FUND-529C | 0.00 | 18.29 | 0.00 | 18.29 | 80.0 | 14.63 |
| | ACCOUNT #: 00087198137 | BUY 0 Units @0.0000 | | | | | | |



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|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087225254 | AMERICAN BALANCED FUND-529C BUY 0 Units @0.0000 | 0.00 | 2.79 | 0.00 | 2.79 | 80.0 | 2.23 |
| 09/04/2018 | ***-**-643I - BOSCO, GIULIO ACCOUNT #: 00089514602 | AMERICAN BALANCED FUND-529C BUY 0 Units @0.0000 | 0.00 | 6.77 | 0.00 | 6.77 | 80.0 | 5.42 |
| 09/04/2018 | ***-**-8776 - LEVINE, TODD ACCOUNT #: 00066829688 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 5.25 | 0.00 | 5.25 | 80.0 | 4.20 |
| 09/04/2018 | ***-**-145P - SCARPULLA, ACCOUNT #: 00058728614 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 66.26 | 0.00 | 66.26 | 80.0 | 53.01 |
| 09/04/2018 | ***-**-575P - DREXLER, DEANNA ACCOUNT #: 00059180971 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| 09/04/2018 | ***-**-543I - MCSHANE, ELAINE ACCOUNT #: 00059264718 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 24.05 | 0.00 | 24.05 | 80.0 | 19.24 |
| 09/04/2018 | ***-**-99IN - ARBORE, CARL ACCOUNT #: 00059377247 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 19.99 | 0.00 | 19.99 | 80.0 | 15.99 |
| 09/04/2018 | ***-**-395P - DIVASTA, ANTHONY ACCOUNT #: 00059597416 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 10.66 | 0.00 | 10.66 | 80.0 | 8.53 |
| 09/04/2018 | ***-**-395P - DREXLER, ROBERT ACCOUNT #: 00060009689 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.84 | 0.00 | 0.84 | 80.0 | 0.67 |
| 09/04/2018 | ***-**-50IN - BONACCI, ACCOUNT #: 00060494752 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 2.73 | 0.00 | 2.73 | 80.0 | 2.18 |
| 09/04/2018 | ***-**-837I - PADULA, JOHN ACCOUNT #: 00060627928 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 13.15 | 0.00 | 13.15 | 80.0 | 10.52 |
| 09/04/2018 | ***-**-0785 - DWYER-SKINNER, ACCOUNT #: 00062084359 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 25.65 | 0.00 | 25.65 | 80.0 | 20.52 |
| 09/04/2018 | ***-**-385P - BONACCI, ACCOUNT #: 00063160662 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 62.85 | 0.00 | 62.85 | 80.0 | 50.28 |
| 09/04/2018 | ***-**-09IN - ARBORE, ACCOUNT #: 00063715252 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 12.43 | 0.00 | 12.43 | 80.0 | 9.94 |
| 09/04/2018 | ***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 14.47 | 0.00 | 14.47 | 80.0 | 11.58 |
| 09/04/2018 | ***-**-985P - WASHINGTON, ACCOUNT #: 00067073172 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 18.48 | 0.00 | 18.48 | 80.0 | 14.78 |



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|--|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-065P - MASTROSIMONE, ACCOUNT #: 00067185133 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.21 | 0.00 | 1.21 | 80.0 | 0.97 |
| 09/04/2018 | ***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 60.39 | 0.00 | 60.39 | 80.0 | 48.31 |
| 09/04/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 46.28 | 0.00 | 46.28 | 80.0 | 37.02 |
| 09/04/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 61.29 | 0.00 | 61.29 | 80.0 | 49.03 |
| 09/04/2018 | ***-**-375I - BORK, LISA ACCOUNT #: 00067497897 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 24.75 | 0.00 | 24.75 | 80.0 | 19.80 |
| 09/04/2018 | ***-**-605P - MULVANEY, CORY ACCOUNT #: 00067510163 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.72 | 0.00 | 1.72 | 80.0 | 1.38 |
| 09/04/2018 | ***-**-695P - CURRY, JUDY ACCOUNT #: 00067859734 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.37 | 0.00 | 0.37 | 80.0 | 0.30 |
| 09/04/2018 | ***-**-855P - JASON, MICHAEL ACCOUNT #: 00067944415 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| 09/04/2018 | ***-**-465P - MONTEMALO, ACCOUNT #: 00068133068 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.10 | 0.00 | 1.10 | 80.0 | 0.88 |
| 09/04/2018 | ***-**-035P - MONTEMALO, ANNA ACCOUNT #: 00068133070 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.10 | 0.00 | 1.10 | 80.0 | 0.88 |
| 09/04/2018 | ***-**-165P - CLEMENT, SCOTT ACCOUNT #: 00068190881 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| 09/04/2018 | ***-**-126I - GIAMBRONE, ACCOUNT #: 00068322646 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 14.39 | 0.00 | 14.39 | 80.0 | 11.51 |
| 09/04/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00068454444 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 10.91 | 0.00 | 10.91 | 80.0 | 8.73 |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00068454445 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 10.91 | 0.00 | 10.91 | 80.0 | 8.73 |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE ACCOUNT #: 00068622801 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 63.60 | 0.00 | 63.60 | 80.0 | 50.88 |
| 09/04/2018 | ***-**-315P - SILLUZZIO, JUSTIN ACCOUNT #: 00068803650 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |



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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-275P - BERMUDEZ, CARLOS | AMERICAN BALANCED FUND-A | 0.00 | 5.41 | 0.00 | 5.41 | 80.0 | 4.33 |
| | ACCOUNT #: 00069016532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-745P - POLASKI, ASHLEY | AMERICAN BALANCED FUND-A | 0.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00069327236 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-495P - UTZ, TINA | AMERICAN BALANCED FUND-A | 0.00 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00073370225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-42IR - ADAMS, GRACE | AMERICAN BALANCED FUND-A | 0.00 | 2.53 | 0.00 | 2.53 | 80.0 | 2.02 |
| | ACCOUNT #: 00073505114 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - SHAFER, PAUL | AMERICAN BALANCED FUND-A | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| | ACCOUNT #: 00073549401 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-625P - BORRELLI, LOUIS | AMERICAN BALANCED FUND-A | 0.00 | 13.91 | 0.00 | 13.91 | 80.0 | 11.13 |
| | ACCOUNT #: 00073550085 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-801I - MASTROSIMONE, | AMERICAN BALANCED FUND-A | 0.00 | 6.91 | 0.00 | 6.91 | 80.0 | 5.53 |
| | ACCOUNT #: 00073753943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 0.00 | 10.45 | 0.00 | 10.45 | 80.0 | 8.36 |
| | ACCOUNT #: 00073798048 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-215P - HINKEL, VICTORIA | AMERICAN BALANCED FUND-A | 0.00 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| | ACCOUNT #: 00074107112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - GAGNIER, | AMERICAN BALANCED FUND-A | 0.00 | 5.35 | 0.00 | 5.35 | 80.0 | 4.28 |
| | ACCOUNT #: 00082149035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - CASCINO, JULIANA | AMERICAN BALANCED FUND-A | 0.00 | 1.45 | 0.00 | 1.45 | 80.0 | 1.16 |
| | ACCOUNT #: 00082252976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-635P - CASCINO, LORI | AMERICAN BALANCED FUND-A | 0.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00082478975 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-937I - PELLICANE, MARY | AMERICAN BALANCED FUND-A | 0.00 | 1.47 | 0.00 | 1.47 | 80.0 | 1.18 |
| | ACCOUNT #: 00082678067 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-505I - LICALSI, KAREN | AMERICAN BALANCED FUND-A | 0.00 | 51.22 | 0.00 | 51.22 | 80.0 | 40.98 |
| | ACCOUNT #: 00082861748 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-436I - RAGO, LISA | AMERICAN BALANCED FUND-A | 0.00 | 8.98 | 0.00 | 8.98 | 80.0 | 7.18 |
| | ACCOUNT #: 00083139532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8808 - LONDON, JODY | AMERICAN BALANCED FUND-A | 0.00 | 2.52 | 0.00 | 2.52 | 80.0 | 2.02 |
| | ACCOUNT #: 00083382430 | BUY 0 Units @0.0000 | | | | | | |



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BROKER #: 417
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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 2.66 | 0.00 | 2.66 | 80.0 | 2.13 |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 2.70 | 0.00 | 2.70 | 80.0 | 2.16 |
| 09/04/2018 | ***-**-36IN - LEWIS, SUE ACCOUNT #: 00084396204 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 15.99 | 0.00 | 15.99 | 80.0 | 12.79 |
| 09/04/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 09/04/2018 | ***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 3.12 | 0.00 | 3.12 | 80.0 | 2.50 |
| 09/04/2018 | ***-**-44IN - RAAB, TAMMY ACCOUNT #: 00086754409 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 6.64 | 0.00 | 6.64 | 80.0 | 5.31 |
| 09/04/2018 | ***-**-455P - RAAB, JOHN ACCOUNT #: 00086754452 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 6.64 | 0.00 | 6.64 | 80.0 | 5.31 |
| 09/04/2018 | ***-**-135P - RAAB, NATALIE ACCOUNT #: 00086754493 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 5.49 | 0.00 | 5.49 | 80.0 | 4.39 |
| 09/04/2018 | ***-**-975P - COTTONE, MARIA ACCOUNT #: 00086785798 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 9.87 | 0.00 | 9.87 | 80.0 | 7.90 |
| 09/04/2018 | ***-**-455P - RAAB, JOHN ACCOUNT #: 00086788038 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 4.91 | 0.00 | 4.91 | 80.0 | 3.93 |
| 09/04/2018 | ***-**-135P - RAAB, NATALIE ACCOUNT #: 00086788848 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 5.06 | 0.00 | 5.06 | 80.0 | 4.05 |
| 09/04/2018 | ***-**-44IN - RAAB, TAMMY ACCOUNT #: 00086789318 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 4.91 | 0.00 | 4.91 | 80.0 | 3.93 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087118596 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 22.88 | 0.00 | 22.88 | 80.0 | 18.30 |
| 09/04/2018 | ***-**-385P - SIMMONS, MEGAN ACCOUNT #: 00087273922 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 4.12 | 0.00 | 4.12 | 80.0 | 3.30 |
| 09/04/2018 | ***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 09/04/2018 | ***-**-1841 - ROTOLI, CHERYL ACCOUNT #: 00087899407 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 52.78 | 0.00 | 52.78 | 80.0 | 42.22 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9535 - MITCHELL, ACCOUNT #: 00089874312 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.12 | 0.00 | 1.12 | 80.0 | 0.90 |
| 09/04/2018 | ***-**-7935 - BOERMAN, HAROLD ACCOUNT #: 04000151961 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 12.18 | 0.00 | 12.18 | 80.0 | 9.74 |
| 09/04/2018 | ***-**-7268 - DIVASTA, ANTHONY ACCOUNT #: 04000255152 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 9.64 | 0.00 | 9.64 | 80.0 | 7.71 |
| 09/04/2018 | ***-**-635P - RAAB, SARAH ACCOUNT #: 04000424268 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 7.05 | 0.00 | 7.05 | 80.0 | 5.64 |
| 09/04/2018 | ***-**-5912 - BELLAVIA, ACCOUNT #: 04000971773 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 12.25 | 0.00 | 12.25 | 80.0 | 9.80 |
| 09/04/2018 | ***-**-4435 - HORNE, JUANITA ACCOUNT #: 04000366903 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 25.56 | 0.00 | 25.56 | 80.0 | 20.45 |
| 09/04/2018 | ***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 20.12 | 0.00 | 20.12 | 80.0 | 16.10 |
| 09/04/2018 | ***-**-613J - RAAB, CHARLES ACCOUNT #: 00066965869 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 21.00 | 0.00 | 21.00 | 80.0 | 16.80 |
| 09/04/2018 | ***-**-985P - WASHINGTON, ACCOUNT #: 00067073172 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 56.38 | 0.00 | 56.38 | 80.0 | 45.10 |
| 09/04/2018 | ***-**-126I - GIAMBRONE, ACCOUNT #: 00068322646 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 33.04 | 0.00 | 33.04 | 80.0 | 26.43 |
| 09/04/2018 | ***-**-315P - SILLUZZIO, JUSTIN ACCOUNT #: 00068803650 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 5.92 | 0.00 | 5.92 | 80.0 | 4.74 |
| 09/04/2018 | ***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 24.36 | 0.00 | 24.36 | 80.0 | 19.49 |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 132.19 | 0.00 | 132.19 | 80.0 | 105.75 |
| 09/04/2018 | ***-**-620I - ACCOUNT #: 00074021540 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 86.45 | 0.00 | 86.45 | 80.0 | 69.16 |
| 09/04/2018 | ***-**-215P - HINKEL, VICTORIA ACCOUNT #: 00074107112 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 18.17 | 0.00 | 18.17 | 80.0 | 14.54 |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 33.68 | 0.00 | 33.68 | 80.0 | 26.94 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-435P - MOORE, SARA | AMERICAN BALANCED FUND-C | 0.00 | 0.82 | 0.00 | 0.82 | 80.0 | 0.66 |
| | ACCOUNT #: 00081602977 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-875P - TOOLE, NORA | AMERICAN BALANCED FUND-C | 0.00 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| | ACCOUNT #: 00081801403 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-85IN - CANORI, JOSEPH | AMERICAN BALANCED FUND-C | 0.00 | 5.81 | 0.00 | 5.81 | 80.0 | 4.65 |
| | ACCOUNT #: 00082908831 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6000 - SMITH, KENNETH | AMERICAN BALANCED FUND-C | 0.00 | 10.17 | 0.00 | 10.17 | 80.0 | 8.14 |
| | ACCOUNT #: 00083081099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3084 - STRATON, SCOTT | AMERICAN BALANCED FUND-C | 0.00 | 6.36 | 0.00 | 6.36 | 80.0 | 5.09 |
| | ACCOUNT #: 00083382293 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8808 - LONDON, JODY | AMERICAN BALANCED FUND-C | 0.00 | 18.05 | 0.00 | 18.05 | 80.0 | 14.44 |
| | ACCOUNT #: 00083382430 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, | AMERICAN BALANCED FUND-C | 0.00 | 23.21 | 0.00 | 23.21 | 80.0 | 18.57 |
| | ACCOUNT #: 00083382475 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | AMERICAN BALANCED FUND-C | 0.00 | 19.94 | 0.00 | 19.94 | 80.0 | 15.95 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES | AMERICAN BALANCED FUND-C | 0.00 | 20.98 | 0.00 | 20.98 | 80.0 | 16.78 |
| | ACCOUNT #: 00083687369 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8651 - JACKSON, WILLIE | AMERICAN BALANCED FUND-C | 0.00 | 0.39 | 0.00 | 0.39 | 80.0 | 0.31 |
| | ACCOUNT #: 00084058016 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IN - LEWIS, SUE | AMERICAN BALANCED FUND-C | 0.00 | 54.16 | 0.00 | 54.16 | 80.0 | 43.33 |
| | ACCOUNT #: 00084396204 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-595I - MUSCATE, SHERRY | AMERICAN BALANCED FUND-C | 0.00 | 17.91 | 0.00 | 17.91 | 80.0 | 14.33 |
| | ACCOUNT #: 00084455861 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2612 - UCAR, AHMET | AMERICAN BALANCED FUND-C | 0.00 | 0.23 | 0.00 | 0.23 | 80.0 | 0.18 |
| | ACCOUNT #: 00084700980 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-90UG - UNTIL AGE 21, | AMERICAN BALANCED FUND-C | 0.00 | 1.22 | 0.00 | 1.22 | 80.0 | 0.98 |
| | ACCOUNT #: 00085631036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3505 - MYGA, ROMAN | AMERICAN BALANCED FUND-C | 0.00 | 7.95 | 0.00 | 7.95 | 80.0 | 6.36 |
| | ACCOUNT #: 00085712873 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 0.00 | 37.68 | 0.00 | 37.68 | 80.0 | 30.14 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 0.00 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA | AMERICAN BALANCED FUND-C | 0.00 | 11.55 | 0.00 | 11.55 | 80.0 | 9.24 |
| | ACCOUNT #: 00085904195 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 0.00 | 8.56 | 0.00 | 8.56 | 80.0 | 6.85 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2459 - MASCARO, ANTHONY | AMERICAN BALANCED FUND-C | 0.00 | 23.69 | 0.00 | 23.69 | 80.0 | 18.95 |
| | ACCOUNT #: 00085904215 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3981 - GUERRIERI, PAUL | AMERICAN BALANCED FUND-C | 0.00 | 16.77 | 0.00 | 16.77 | 80.0 | 13.42 |
| | ACCOUNT #: 00086040659 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 88.63 | 0.00 | 88.63 | 80.0 | 70.90 |
| | ACCOUNT #: 00086082668 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 34.23 | 0.00 | 34.23 | 80.0 | 27.38 |
| | ACCOUNT #: 00086979418 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 1.03 | 0.00 | 1.03 | 80.0 | 0.82 |
| | ACCOUNT #: 00087036503 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA | AMERICAN BALANCED FUND-C | 0.00 | 177.07 | 0.00 | 177.07 | 80.0 | 141.66 |
| | ACCOUNT #: 00087191245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-541N - FANTAUZZO, EVA | AMERICAN BALANCED FUND-C | 0.00 | 25.31 | 0.00 | 25.31 | 80.0 | 20.25 |
| | ACCOUNT #: 00087406469 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 9.86 | 0.00 | 9.86 | 80.0 | 7.89 |
| | ACCOUNT #: 00087429936 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5838 - SPOLETA, BRENDA | AMERICAN BALANCED FUND-C | 0.00 | 266.15 | 0.00 | 266.15 | 80.0 | 212.92 |
| | ACCOUNT #: 00087435959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-851R - SMITH, GLENN | AMERICAN BALANCED FUND-C | 0.00 | 9.39 | 0.00 | 9.39 | 80.0 | 7.51 |
| | ACCOUNT #: 00088065308 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7072 - SMITH, DEBBIE | AMERICAN BALANCED FUND-C | 0.00 | 2.97 | 0.00 | 2.97 | 80.0 | 2.38 |
| | ACCOUNT #: 00088065327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8081 - RICHARDSON, | AMERICAN BALANCED FUND-C | 0.00 | 174.43 | 0.00 | 174.43 | 80.0 | 139.54 |
| | ACCOUNT #: 00088192906 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 1.39 | 0.00 | 1.39 | 80.0 | 1.11 |
| | ACCOUNT #: 00088294534 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9373 - CLINE, KIMBERLY | AMERICAN BALANCED FUND-C | 0.00 | 21.70 | 0.00 | 21.70 | 80.0 | 17.36 |
| | ACCOUNT #: 00088773853 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 12.29 | 0.00 | 12.29 | 80.0 | 9.83 |
| | ACCOUNT #: 00089045192 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 54.12 | 0.00 | 54.12 | 80.0 | 43.30 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00089055530 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 32.04 | 0.00 | 32.04 | 80.0 | 25.63 |
| | ACCOUNT #: 00089104864 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 32.04 | 0.00 | 32.04 | 80.0 | 25.63 |
| | ACCOUNT #: 00089104943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 4.36 | 0.00 | 4.36 | 80.0 | 3.49 |
| | ACCOUNT #: 00089215183 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 3.83 | 0.00 | 3.83 | 80.0 | 3.06 |
| | ACCOUNT #: 00089215207 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1134 - BARTON, WILLIAM | AMERICAN BALANCED FUND-C | 0.00 | 14.83 | 0.00 | 14.83 | 80.0 | 11.86 |
| | ACCOUNT #: 00089244081 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0962 - TRETAK, | AMERICAN BALANCED FUND-C | 0.00 | 3.18 | 0.00 | 3.18 | 80.0 | 2.54 |
| | ACCOUNT #: 00089399972 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-C | 0.00 | 0.92 | 0.00 | 0.92 | 80.0 | 0.74 |
| | ACCOUNT #: 00089461113 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-281S - FLUGEL, AMANDA | AMERICAN BALANCED FUND-C | 0.00 | 6.21 | 0.00 | 6.21 | 80.0 | 4.97 |
| | ACCOUNT #: 00089561651 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-312S - DISCH, JASMINE | AMERICAN BALANCED FUND-C | 0.00 | 3.47 | 0.00 | 3.47 | 80.0 | 2.78 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-035S - CAPIZZI, TRACIE | AMERICAN BALANCED FUND-C | 0.00 | 2.48 | 0.00 | 2.48 | 80.0 | 1.98 |
| | ACCOUNT #: 00089679504 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 0.00 | 3.12 | 0.00 | 3.12 | 80.0 | 2.50 |
| | ACCOUNT #: 00089722785 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2825 - SPERANZA, JOHN | AMERICAN BALANCED FUND-C | 0.00 | 13.59 | 0.00 | 13.59 | 80.0 | 10.87 |
| | ACCOUNT #: 00089910177 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-5703 - DIODATO, ACCOUNT #: 04000262459 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 359.06 | 0.00 | 359.06 | 80.0 | 287.25 |
| 09/04/2018 | ***-**-4267 - DESSO, PATRICIA ACCOUNT #: 04000296625 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 4.73 | 0.00 | 4.73 | 80.0 | 3.78 |
| 09/04/2018 | ***-**-7063 - ZIOTO, JULIA ACCOUNT #: 04000323242 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 8.39 | 0.00 | 8.39 | 80.0 | 6.71 |
| 09/04/2018 | ***-**-255U - LIPPA, HARITINI ACCOUNT #: 04000385806 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 0.59 | 0.00 | 0.59 | 80.0 | 0.47 |
| 09/04/2018 | ***-**-0742 - D'ERCOLE, ACCOUNT #: 04000473339 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 1.37 | 0.00 | 1.37 | 80.0 | 1.10 |
| 09/04/2018 | ***-**-0742 - D'ERCOLE, ACCOUNT #: 04000473367 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 10.55 | 0.00 | 10.55 | 80.0 | 8.44 |
| 09/04/2018 | ***-**-1764 - HUGHES, KATHLEEN ACCOUNT #: 04000998901 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 160.07 | 0.00 | 160.07 | 80.0 | 128.06 |
| 09/04/2018 | ***-**-855P - DIVASTA, ERASMO ACCOUNT #: 00067943068 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 5.24 | 0.00 | 5.24 | 80.0 | 4.19 |
| 09/04/2018 | ***-**-155P - MANCZYK, SANDRA ACCOUNT #: 00068561804 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| 09/04/2018 | ***-**-275P - BERMUDEZ, CARLOS ACCOUNT #: 00069016532 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 09/04/2018 | ***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00069040847 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 8.00 | 0.00 | 8.00 | 80.0 | 6.40 |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 9.67 | 0.00 | 9.67 | 80.0 | 7.74 |
| 09/04/2018 | ***-**-265P - SMITH, WILLIAM ACCOUNT #: 00073715215 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 11.03 | 0.00 | 11.03 | 80.0 | 8.82 |
| 09/04/2018 | ***-**-620I - ACCOUNT #: 00074021540 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 42.48 | 0.00 | 42.48 | 80.0 | 33.98 |
| 09/04/2018 | ***-**-845P - BUKOLT, LEONARD ACCOUNT #: 00074390043 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 33.70 | 0.00 | 33.70 | 80.0 | 26.96 |
| 09/04/2018 | ***-**-195P - WEST, HOLLY ACCOUNT #: 00075135342 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 9.84 | 0.00 | 9.84 | 80.0 | 7.87 |



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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
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|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 3.01 | 0.00 | 3.01 | 80.0 | 2.41 |
| 09/04/2018 | ***-**-214I - RUSH, ROBERT ACCOUNT #: 00075148251 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 11.96 | 0.00 | 11.96 | 80.0 | 9.57 |
| 09/04/2018 | ***-**-435P - MOORE, SARA ACCOUNT #: 00081602977 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 5.21 | 0.00 | 5.21 | 80.0 | 4.17 |
| 09/04/2018 | ***-**-875P - TOOLE, NORA ACCOUNT #: 00081801403 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 2.04 | 0.00 | 2.04 | 80.0 | 1.63 |
| 09/04/2018 | ***-**-85IN - CANORI, JOSEPH ACCOUNT #: 00082908831 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 2.61 | 0.00 | 2.61 | 80.0 | 2.09 |
| 09/04/2018 | ***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 1.59 | 0.00 | 1.59 | 80.0 | 1.27 |
| 09/04/2018 | ***-**-3084 - STRATON, SCOTT ACCOUNT #: 00083382293 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.82 | 0.00 | 0.82 | 80.0 | 0.66 |
| 09/04/2018 | ***-**-8808 - LONDON, JODY ACCOUNT #: 00083382430 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.26 | 0.00 | 0.26 | 80.0 | 0.21 |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.39 | 0.00 | 0.39 | 80.0 | 0.31 |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES ACCOUNT #: 00083687369 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 4.63 | 0.00 | 4.63 | 80.0 | 3.70 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086082668 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 30.30 | 0.00 | 30.30 | 80.0 | 24.24 |
| 09/04/2018 | ***-**-54IN - FANTAUZZO, EVA ACCOUNT #: 00087406469 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 4.29 | 0.00 | 4.29 | 80.0 | 3.43 |
| 09/04/2018 | ***-**-4399 - CAPUTO, MARILYN ACCOUNT #: 00088050157 | AMERICAN BALANCED FUND-F1 BUY 0 Units @0.0000 | 0.00 | 1.26 | 0.00 | 1.26 | 80.0 | 1.01 |
| 09/04/2018 | ***-**-0242 - COZZO, JOSEPHINE ACCOUNT #: 00087049914 | AMERICAN FUND TAX - EXEMPT FUND OF BUY 0 Units @0.0000 | 0.00 | 334.97 | 0.00 | 334.97 | 80.0 | 267.98 |
| 09/04/2018 | ***-**-4205 - DEBREE, ROBERT ACCOUNT #: 00087911542 | AMERICAN FUND TAX - EXEMPT FUND OF BUY 0 Units @0.0000 | 0.00 | 136.69 | 0.00 | 136.69 | 80.0 | 109.35 |



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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7072 - SMITH, DEBBIE | AMERICAN FUND TAX - EXEMPT FUND OF | 0.00 | 2.03 | 0.00 | 2.03 | 80.0 | 1.62 |
| | ACCOUNT #: 00088065344 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5703 - DIODATO, | AMERICAN FUNDS INCOME PORT CL C | 0.00 | 472.84 | 0.00 | 472.84 | 80.0 | 378.27 |
| | ACCOUNT #: 04000262459 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5838 - SPOLETA, BRENDA | AMERICAN GLOBAL BALANCED CL C | 0.00 | 55.27 | 0.00 | 55.27 | 80.0 | 44.22 |
| | ACCOUNT #: 00088037501 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4399 - CAPUTO, MARILYN | AMERICAN GLOBAL BALANCED CL C | 0.00 | 56.50 | 0.00 | 56.50 | 80.0 | 45.20 |
| | ACCOUNT #: 00088050157 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-511I - ROOD, SCOTT | AMERICAN GLOBAL BALANCED CL C | 0.00 | 3.41 | 0.00 | 3.41 | 80.0 | 2.73 |
| | ACCOUNT #: 00088607840 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7063 - ZIOTO, JULIA | AMERICAN GLOBAL BALANCED CL C | 0.00 | 6.04 | 0.00 | 6.04 | 80.0 | 4.83 |
| | ACCOUNT #: 04000322984 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-620I - | AMERICAN HIGH INCOME CLASS F1 | 0.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00074021540 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5838 - SPOLETA, BRENDA | AMERICAN HIGH INCOME MUNI BOND CLAS | 0.00 | 226.46 | 0.00 | 226.46 | 80.0 | 181.17 |
| | ACCOUNT #: 00087435959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3160 - DEBREE, CHARLES | AMERICAN HIGH INCOME MUNI BOND CLAS | 0.00 | 26.43 | 0.00 | 26.43 | 80.0 | 21.14 |
| | ACCOUNT #: 00087458614 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4205 - DEBREE, ROBERT | AMERICAN HIGH INCOME MUNI BOND CLAS | 0.00 | 151.77 | 0.00 | 151.77 | 80.0 | 121.42 |
| | ACCOUNT #: 00087911542 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3758 - CONNORS, DAVID | AMERICAN HIGH INCOME MUNI BOND CLAS | 0.00 | 59.38 | 0.00 | 59.38 | 80.0 | 47.50 |
| | ACCOUNT #: 04000430331 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE | AMERICAN HIGH-INCOME MUNI BOND FUND | 0.00 | 51.77 | 0.00 | 51.77 | 80.0 | 41.42 |
| | ACCOUNT #: 00068622801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CRISPINO/, DAVID | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 145.68 | 0.00 | 145.68 | 80.0 | 116.54 |
| | ACCOUNT #: 00059085342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 52.35 | 0.00 | 52.35 | 80.0 | 41.88 |
| | ACCOUNT #: 00068622801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-278I - GUERRIERI, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 33.68 | 0.00 | 33.68 | 80.0 | 26.94 |
| | ACCOUNT #: 00082579230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-92IN - MULLER FAMILY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 69.01 | 0.00 | 69.01 | 80.0 | 55.21 |
| | ACCOUNT #: 00083914711 | BUY 0 Units @0.0000 | | | | | | |



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Rochester, NY 14623

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-975P - COTTONE, MARIA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 8.06 | 0.00 | 8.06 | 80.0 | 6.45 |
| | ACCOUNT #: 00086785798 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-620I - | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 241.90 | 0.00 | 241.90 | 80.0 | 193.52 |
| | ACCOUNT #: 00074021540 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-83IR - COTTONE, HEATHER | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 11.54 | 0.00 | 11.54 | 80.0 | 9.23 |
| | ACCOUNT #: 00085914099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-503J - OBI, JEAN | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 45.13 | 0.00 | 45.13 | 80.0 | 36.10 |
| | ACCOUNT #: 00086750937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-375P - COTTONE, CHARLES | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 70.11 | 0.00 | 70.11 | 80.0 | 56.09 |
| | ACCOUNT #: 00086771782 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-35IR - GAMBACURTA, | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 85.32 | 0.00 | 85.32 | 80.0 | 68.26 |
| | ACCOUNT #: 00086918053 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 89.07 | 0.00 | 89.07 | 80.0 | 71.26 |
| | ACCOUNT #: 00087191245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 0.22 | 0.00 | 0.22 | 80.0 | 0.18 |
| | ACCOUNT #: 00087233373 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 84.12 | 0.00 | 84.12 | 80.0 | 67.30 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0472 - SPOTTS, MARGARET | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 59.53 | 0.00 | 59.53 | 80.0 | 47.62 |
| | ACCOUNT #: 00087294821 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5838 - SPOLETA, BRENDA | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 76.65 | 0.00 | 76.65 | 80.0 | 61.32 |
| | ACCOUNT #: 00087435959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 1.76 | 0.00 | 1.76 | 80.0 | 1.41 |
| | ACCOUNT #: 00089050957 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3043 - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 0.00 | 535.48 | 0.00 | 535.48 | 80.0 | 428.38 |
| | ACCOUNT #: 00089920138 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-41EF - LEAHY, JEFFRIE | AMERICAN MUTUAL FUND-A | 0.00 | 1,613.45 | 0.00 | 1,613.45 | 80.0 | 1,290.76 |
| | ACCOUNT #: 00074805551 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, | AMERICAN MUTUAL FUND-A | 0.00 | 0.56 | 0.00 | 0.56 | 80.0 | 0.45 |
| | ACCOUNT #: 00067409966 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-14IN - SCARPULLA, | AMERICAN MUTUAL FUND-A | 0.00 | 46.02 | 0.00 | 46.02 | 80.0 | 36.82 |
| | ACCOUNT #: 00062082815 | BUY 0 Units @0.0000 | | | | | | |



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|--|--------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-275P - LAMANNA, DANIEL | AMERICAN MUTUAL FUND-A | 0.00 | 16.23 | 0.00 | 16.23 | 80.0 | 12.98 |
| | ACCOUNT #: 00066758347 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-255P - EVANS, JAMES | AMERICAN MUTUAL FUND-A | 0.00 | 113.23 | 0.00 | 113.23 | 80.0 | 90.58 |
| | ACCOUNT #: 00066759711 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-126I - GIAMBRONE, | AMERICAN MUTUAL FUND-A | 0.00 | 22.21 | 0.00 | 22.21 | 80.0 | 17.77 |
| | ACCOUNT #: 00068322646 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-641O - LEAHY, JEFFRIE | AMERICAN MUTUAL FUND-A | 0.00 | 147.59 | 0.00 | 147.59 | 80.0 | 118.07 |
| | ACCOUNT #: 00073513664 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4926 - HAUN, GARY | AMERICAN MUTUAL FUND-A | 0.00 | 3.13 | 0.00 | 3.13 | 80.0 | 2.50 |
| | ACCOUNT #: 04000492822 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-895P - ARBORE, | AMERICAN MUTUAL FUND-C | 0.00 | 11.15 | 0.00 | 11.15 | 80.0 | 8.92 |
| | ACCOUNT #: 00081632761 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-23UG - ARBORE, | AMERICAN MUTUAL FUND-C | 0.00 | 16.10 | 0.00 | 16.10 | 80.0 | 12.88 |
| | ACCOUNT #: 00084503705 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL | AMERICAN MUTUAL FUND-C | 0.00 | 9.77 | 0.00 | 9.77 | 80.0 | 7.82 |
| | ACCOUNT #: 00085791891 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-503J - OBI, JEAN | AMERICAN MUTUAL FUND-C | 0.00 | 34.43 | 0.00 | 34.43 | 80.0 | 27.54 |
| | ACCOUNT #: 00086750937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-375P - COTTONE, CHARLES | AMERICAN MUTUAL FUND-C | 0.00 | 53.03 | 0.00 | 53.03 | 80.0 | 42.42 |
| | ACCOUNT #: 00086771782 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-01UG - DIGIOVANNI, | AMERICAN MUTUAL FUND-C | 0.00 | 15.68 | 0.00 | 15.68 | 80.0 | 12.54 |
| | ACCOUNT #: 00087087389 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA | AMERICAN MUTUAL FUND-C | 0.00 | 40.53 | 0.00 | 40.53 | 80.0 | 32.42 |
| | ACCOUNT #: 00087191245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-511I - ROOD, SCOTT | AMERICAN MUTUAL FUND-C | 0.00 | 4.49 | 0.00 | 4.49 | 80.0 | 3.59 |
| | ACCOUNT #: 00088607840 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN MUTUAL FUND-C | 0.00 | 5.21 | 0.00 | 5.21 | 80.0 | 4.17 |
| | ACCOUNT #: 00089215280 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-482I - MARCONI, TODD | AMERICAN MUTUAL FUND-C | 0.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3043 - SCARPULLA, | AMERICAN MUTUAL FUND-C | 0.00 | 670.72 | 0.00 | 670.72 | 80.0 | 536.58 |
| | ACCOUNT #: 00089920138 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6781 - KARNS, DANIELLE | AMERICAN MUTUAL FUND-C | 0.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 04000409710 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8388 - ROWE, RYAN | AMERICAN MUTUAL FUND-C | 0.00 | 0.07 | 0.00 | 0.07 | 80.0 | 0.06 |
| | ACCOUNT #: 04000409804 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, | AMERICAN MUTUAL FUND-F1 | 0.00 | 0.54 | 0.00 | 0.54 | 80.0 | 0.43 |
| | ACCOUNT #: 00067409966 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-895P - ARBORE, | AMERICAN MUTUAL FUND-F1 | 0.00 | 1.72 | 0.00 | 1.72 | 80.0 | 1.38 |
| | ACCOUNT #: 00081632761 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00067409966 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-255P - VELKY, JOHN | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00063204896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-855P - DIVASTA, ERASMO | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 4.27 | 0.00 | 4.27 | 80.0 | 3.42 |
| | ACCOUNT #: 00067943068 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-862I - BOAS, JOHANNA | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 3.01 | 0.00 | 3.01 | 80.0 | 2.41 |
| | ACCOUNT #: 00069029472 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7255 - LIPPA, HARITINI | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 8.99 | 0.00 | 8.99 | 80.0 | 7.19 |
| | ACCOUNT #: 00069040847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 10.08 | 0.00 | 10.08 | 80.0 | 8.06 |
| | ACCOUNT #: 00073003754 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-625P - CENTOLA, ANITA | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 0.98 | 0.00 | 0.98 | 80.0 | 0.78 |
| | ACCOUNT #: 00074256566 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-845P - BUKOLT, LEONARD | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 39.20 | 0.00 | 39.20 | 80.0 | 31.36 |
| | ACCOUNT #: 00074390043 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-435P - MOORE, SARA | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 3.50 | 0.00 | 3.50 | 80.0 | 2.80 |
| | ACCOUNT #: 00081602977 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0693 - POWELL, KRISTIN | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 7.66 | 0.00 | 7.66 | 80.0 | 6.13 |
| | ACCOUNT #: 00083026630 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 4.86 | 0.00 | 4.86 | 80.0 | 3.89 |
| | ACCOUNT #: 00083687369 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 37.29 | 0.00 | 37.29 | 80.0 | 29.83 |
| | ACCOUNT #: 00086082668 | BUY 0 Units @0.0000 | | | | | | |



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Rochester, NY 14623

BROKER #: 417
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-63IN - DIAMOND, ANN | AMERICAN WASHINGTON MUTUAL INVESTOR | 0.00 | 7.66 | 0.00 | 7.66 | 80.0 | 6.13 |
| | ACCOUNT #: 00089876011 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-215P - HINKEL, VICTORIA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 13.72 | 0.00 | 13.72 | 80.0 | 10.98 |
| | ACCOUNT #: 00074107112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-355P - RUSH, DONNA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 21.56 | 0.00 | 21.56 | 80.0 | 17.25 |
| | ACCOUNT #: 00075148100 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-844I - PIAZZA, ANNIE | CAPITAL INCOME BUILDER CLASS C | 0.00 | 51.85 | 0.00 | 51.85 | 80.0 | 41.48 |
| | ACCOUNT #: 00083884556 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-03UG - JAY, JONATHON | CAPITAL INCOME BUILDER CLASS C | 0.00 | 12.16 | 0.00 | 12.16 | 80.0 | 9.73 |
| | ACCOUNT #: 00085704943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-56UG - JAY, AIDEN | CAPITAL INCOME BUILDER CLASS C | 0.00 | 12.16 | 0.00 | 12.16 | 80.0 | 9.73 |
| | ACCOUNT #: 00085704960 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-07UG - JAY, JASEN | CAPITAL INCOME BUILDER CLASS C | 0.00 | 12.16 | 0.00 | 12.16 | 80.0 | 9.73 |
| | ACCOUNT #: 00085704971 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4392 - GARCIA, MICHELLE | CAPITAL INCOME BUILDER CLASS C | 0.00 | 8.36 | 0.00 | 8.36 | 80.0 | 6.69 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | CAPITAL INCOME BUILDER CLASS C | 0.00 | 78.83 | 0.00 | 78.83 | 80.0 | 63.06 |
| | ACCOUNT #: 00086082668 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-717I - GIUDICE, JUSTIN | CAPITAL INCOME BUILDER CLASS C | 0.00 | 1.82 | 0.00 | 1.82 | 80.0 | 1.46 |
| | ACCOUNT #: 00086494794 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-671I - LEGRETTE, TRICIA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 13.34 | 0.00 | 13.34 | 80.0 | 10.67 |
| | ACCOUNT #: 00086616175 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-503J - OBI, JEAN | CAPITAL INCOME BUILDER CLASS C | 0.00 | 25.58 | 0.00 | 25.58 | 80.0 | 20.46 |
| | ACCOUNT #: 00086750937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-35IR - GAMBACURTA, | CAPITAL INCOME BUILDER CLASS C | 0.00 | 150.66 | 0.00 | 150.66 | 80.0 | 120.53 |
| | ACCOUNT #: 00086918053 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0472 - SPOTTS, MARGARET | CAPITAL INCOME BUILDER CLASS C | 0.00 | 48.86 | 0.00 | 48.86 | 80.0 | 39.09 |
| | ACCOUNT #: 00087294821 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-961I - MACDUFFIE, LINDA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 76.35 | 0.00 | 76.35 | 80.0 | 61.08 |
| | ACCOUNT #: 00087299599 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5838 - SPOLETA, BRENDA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 56.83 | 0.00 | 56.83 | 80.0 | 45.46 |
| | ACCOUNT #: 00088037501 | BUY 0 Units @0.0000 | | | | | | |



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|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-0962 - TRETYAK, ACCOUNT #: 00089399972 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 3.02 | 0.00 | 3.02 | 80.0 | 2.42 |
| 09/04/2018 | ***-**-0447 - LEVINE, JACQUE ACCOUNT #: 00084996765 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 22.08 | 0.00 | 22.08 | 80.0 | 17.66 |
| 09/04/2018 | ***-**-145P - SCARPULLA, ACCOUNT #: 00058728614 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 2.21 | 0.00 | 2.21 | 80.0 | 1.77 |
| 09/04/2018 | ***-**-069I - BUCK, LESLIE ACCOUNT #: 00060471066 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 6.61 | 0.00 | 6.61 | 80.0 | 5.29 |
| 09/04/2018 | ***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 11.57 | 0.00 | 11.57 | 80.0 | 9.26 |
| 09/04/2018 | ***-**-215P - HINKEL, VICTORIA ACCOUNT #: 00074107112 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 09/04/2018 | ***-**-415P - VAN ORMAN, LOUIS ACCOUNT #: 00081940220 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 45.96 | 0.00 | 45.96 | 80.0 | 36.77 |
| 09/04/2018 | ***-**-01IN - MASTROSIMONE, ACCOUNT #: 00082324069 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 3.87 | 0.00 | 3.87 | 80.0 | 3.10 |
| 09/04/2018 | ***-**-635P - CASCINO, LORI ACCOUNT #: 00082478975 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| 09/04/2018 | ***-**-975P - COTTONE, MARIA ACCOUNT #: 00086785798 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 8.40 | 0.00 | 8.40 | 80.0 | 6.72 |
| 09/04/2018 | ***-**-613J - RAAB, CHARLES ACCOUNT #: 00066965869 | CAPITAL INCOME BUILDER-F1 BUY 0 Units @0.0000 | 0.00 | 4.50 | 0.00 | 4.50 | 80.0 | 3.60 |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | CAPITAL INCOME BUILDER-F1 BUY 0 Units @0.0000 | 0.00 | 2.16 | 0.00 | 2.16 | 80.0 | 1.73 |
| 09/04/2018 | ***-**-222J - WAGNER, PAUL ACCOUNT #: 00082698727 | CAPITAL INCOME BUILDER-F1 BUY 0 Units @0.0000 | 0.00 | 6.14 | 0.00 | 6.14 | 80.0 | 4.91 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086082668 | CAPITAL INCOME BUILDER-F1 BUY 0 Units @0.0000 | 0.00 | 26.82 | 0.00 | 26.82 | 80.0 | 21.46 |
| 09/04/2018 | ***-**-4399 - CAPUTO, MARILYN ACCOUNT #: 00088050157 | CAPITAL INCOME BUILDER-F1 BUY 0 Units @0.0000 | 0.00 | 0.69 | 0.00 | 0.69 | 80.0 | 0.55 |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117 | CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000 | 0.00 | 8.12 | 0.00 | 8.12 | 80.0 | 6.50 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00063451518 | CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000 | 0.00 | 7.81 | 0.00 | 7.81 | 80.0 | 6.25 |
| 09/04/2018 | ***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344 | CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000 | 0.00 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 14.35 | 0.00 | 14.35 | 80.0 | 11.48 |
| 09/04/2018 | ***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 9.92 | 0.00 | 9.92 | 80.0 | 7.94 |
| 09/04/2018 | ***-**-671I - LEGRETTE, TRICIA ACCOUNT #: 00086616175 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 18.62 | 0.00 | 18.62 | 80.0 | 14.90 |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA ACCOUNT #: 00087191245 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 18.47 | 0.00 | 18.47 | 80.0 | 14.78 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.68 | 0.00 | 0.68 | 80.0 | 0.54 |
| 09/04/2018 | ***-**-765P - CRISPINO/, DAVID ACCOUNT #: 00059085342 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 216.76 | 0.00 | 216.76 | 80.0 | 173.41 |
| 09/04/2018 | ***-**-069I - BUCK, LESLIE ACCOUNT #: 00060471066 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.70 | 0.00 | 7.70 | 80.0 | 6.16 |
| 09/04/2018 | ***-**-09IN - ARBORE, ACCOUNT #: 00063715252 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 10.29 | 0.00 | 10.29 | 80.0 | 8.23 |
| 09/04/2018 | ***-**-048I - DEAN, MARY ACCOUNT #: 00065085393 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 25.42 | 0.00 | 25.42 | 80.0 | 20.34 |
| 09/04/2018 | ***-**-515P - GUERRIERI, ACCOUNT #: 00066746363 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 6.65 | 0.00 | 6.65 | 80.0 | 5.32 |
| 09/04/2018 | ***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| 09/04/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 65.87 | 0.00 | 65.87 | 80.0 | 52.70 |
| 09/04/2018 | ***-**-855P - GREENE, DARRIS ACCOUNT #: 00066758349 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 09/04/2018 | ***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066898425 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.89 | 0.00 | 0.89 | 80.0 | 0.71 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-705P - SNYDER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 6.16 | 0.00 | 6.16 | 80.0 | 4.93 |
| | ACCOUNT #: 00067400189 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-515P - GUERRIERI, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 6.47 | 0.00 | 6.47 | 80.0 | 5.18 |
| | ACCOUNT #: 00069141953 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-625P - BORRELLI, LOUIS | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 14.12 | 0.00 | 14.12 | 80.0 | 11.30 |
| | ACCOUNT #: 00073550085 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-115P - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 8.33 | 0.00 | 8.33 | 80.0 | 6.66 |
| | ACCOUNT #: 00073591575 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-801I - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 7.29 | 0.00 | 7.29 | 80.0 | 5.83 |
| | ACCOUNT #: 00073753943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-425P - MEEKS, PERDITA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.76 | 0.00 | 0.76 | 80.0 | 0.61 |
| | ACCOUNT #: 00074210167 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-338P - ARBORE, JENNIFER | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.33 | 0.00 | 0.33 | 80.0 | 0.26 |
| | ACCOUNT #: 00081283898 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-535P - MCLARK, ADRIENNE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 6.27 | 0.00 | 6.27 | 80.0 | 5.02 |
| | ACCOUNT #: 00081674394 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - VAN ORMAN, LOUIS | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 54.16 | 0.00 | 54.16 | 80.0 | 43.33 |
| | ACCOUNT #: 00081940220 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - GAGNIER, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.46 | 0.00 | 4.46 | 80.0 | 3.57 |
| | ACCOUNT #: 00082149035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-635P - CASCINO, LORI | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00082478975 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-278I - GUERRIERI, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 32.44 | 0.00 | 32.44 | 80.0 | 25.95 |
| | ACCOUNT #: 00082579230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-937I - PELLICANE, MARY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.74 | 0.00 | 2.74 | 80.0 | 2.19 |
| | ACCOUNT #: 00082678067 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-505I - LICALSI, KAREN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00082861748 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-436I - RAGO, LISA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.61 | 0.00 | 5.61 | 80.0 | 4.49 |
| | ACCOUNT #: 00083139532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.69 | 0.00 | 2.69 | 80.0 | 2.15 |
| | ACCOUNT #: 00083382475 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-43UG - UNTIL AGE 21, ACCOUNT #: 00083403071 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.22 | 0.00 | 5.22 | 80.0 | 4.18 |
| 09/04/2018 | ***-**-71UG - UNTIL AGE 21, ACCOUNT #: 00083403093 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.22 | 0.00 | 5.22 | 80.0 | 4.18 |
| 09/04/2018 | ***-**-296I - TEUMER, ACCOUNT #: 00083577034 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.99 | 0.00 | 5.99 | 80.0 | 4.79 |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON ACCOUNT #: 00083798896 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.81 | 0.00 | 2.81 | 80.0 | 2.25 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086082668 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.53 | 0.00 | 3.53 | 80.0 | 2.82 |
| 09/04/2018 | ***-**-5912 - BELLAVIA, ACCOUNT #: 04000971773 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 11.29 | 0.00 | 11.29 | 80.0 | 9.03 |
| 09/04/2018 | ***-**-048I - DEAN, MARY ACCOUNT #: 00065085393 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 160.50 | 0.00 | 160.50 | 80.0 | 128.40 |
| 09/04/2018 | ***-**-126I - GIAMBRONE, ACCOUNT #: 00068322646 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 86.08 | 0.00 | 86.08 | 80.0 | 68.86 |
| 09/04/2018 | ***-**-115P - MASTROSIMONE, ACCOUNT #: 00073591575 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.31 | 0.00 | 4.31 | 80.0 | 3.45 |
| 09/04/2018 | ***-**-620I - ACCOUNT #: 00074021540 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 167.39 | 0.00 | 167.39 | 80.0 | 133.91 |
| 09/04/2018 | ***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.21 | 0.00 | 5.21 | 80.0 | 4.17 |
| 09/04/2018 | ***-**-075P - BARON, ROBIN ACCOUNT #: 00081304092 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 9.69 | 0.00 | 9.69 | 80.0 | 7.75 |
| 09/04/2018 | ***-**-278I - GUERRIERI, JOHN ACCOUNT #: 00082579230 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 22.17 | 0.00 | 22.17 | 80.0 | 17.74 |
| 09/04/2018 | ***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 10.57 | 0.00 | 10.57 | 80.0 | 8.46 |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES ACCOUNT #: 00083687369 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 18.42 | 0.00 | 18.42 | 80.0 | 14.74 |



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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 20.92 | 0.00 | 20.92 | 80.0 | 16.74 |
| | ACCOUNT #: 00083798896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-844I - PIAZZA, ANNIE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 50.64 | 0.00 | 50.64 | 80.0 | 40.51 |
| | ACCOUNT #: 00083884556 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-75IR - GUERRIERI, SOFIA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 155.62 | 0.00 | 155.62 | 80.0 | 124.50 |
| | ACCOUNT #: 00084649198 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 27.36 | 0.00 | 27.36 | 80.0 | 21.89 |
| | ACCOUNT #: 00084905937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-383I - OUKES, CHARLENE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 21.71 | 0.00 | 21.71 | 80.0 | 17.37 |
| | ACCOUNT #: 00084968205 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1209 - NATALE, KIMBERLY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.93 | 0.00 | 15.93 | 80.0 | 12.74 |
| | ACCOUNT #: 00085764285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4392 - GARCIA, MICHELLE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 9.77 | 0.00 | 9.77 | 80.0 | 7.82 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-44IR - GUERRIERI, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 109.06 | 0.00 | 109.06 | 80.0 | 87.25 |
| | ACCOUNT #: 00085876068 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 7.83 | 0.00 | 7.83 | 80.0 | 6.26 |
| | ACCOUNT #: 00085904195 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-83IR - COTTONE, HEATHER | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 11.52 | 0.00 | 11.52 | 80.0 | 9.22 |
| | ACCOUNT #: 00085914099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-05IN - RANDALL, ADAM | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 34.98 | 0.00 | 34.98 | 80.0 | 27.98 |
| | ACCOUNT #: 00085941585 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-671I - LEGRETTE, TRICIA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 16.28 | 0.00 | 16.28 | 80.0 | 13.02 |
| | ACCOUNT #: 00086616175 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 78.45 | 0.00 | 78.45 | 80.0 | 62.76 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-01UG - DIGIOVANNI, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.20 | 0.00 | 15.20 | 80.0 | 12.16 |
| | ACCOUNT #: 00087087389 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.59 | 0.00 | 3.59 | 80.0 | 2.87 |
| | ACCOUNT #: 00088870923 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 9.71 | 0.00 | 9.71 | 80.0 | 7.77 |
| | ACCOUNT #: 00089045192 | BUY 0 Units @0.0000 | | | | | | |



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|--|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089055722 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 09/04/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.74 | 0.00 | 4.74 | 80.0 | 3.79 |
| 09/04/2018 | ***-**-3043 - SCARPULLA, ACCOUNT #: 00089920138 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 640.46 | 0.00 | 640.46 | 80.0 | 512.37 |
| 09/04/2018 | ***-**-5225 - BOWERMAN, DAWN ACCOUNT #: 04000089664 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.54 | 0.00 | 0.54 | 80.0 | 0.43 |
| 09/04/2018 | ***-**-4267 - DESSO, PATRICIA ACCOUNT #: 04000296625 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.49 | 0.00 | 3.49 | 80.0 | 2.79 |
| 09/04/2018 | ***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081282910 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 7.26 | 0.00 | 7.26 | 80.0 | 5.81 |
| 09/04/2018 | ***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081283006 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 7.26 | 0.00 | 7.26 | 80.0 | 5.81 |
| 09/04/2018 | ***-**-4399 - CAPUTO, MARILYN ACCOUNT #: 00084706767 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 19.44 | 0.00 | 19.44 | 80.0 | 15.55 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 0.67 | 0.00 | 0.67 | 80.0 | 0.54 |
| 09/04/2018 | ***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00069040847 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 10.19 | 0.00 | 10.19 | 80.0 | 8.15 |
| 09/04/2018 | ***-**-115P - MASTROSIMONE, ACCOUNT #: 00073591575 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 1.76 | 0.00 | 1.76 | 80.0 | 1.41 |
| 09/04/2018 | ***-**-620I - ACCOUNT #: 00074021540 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 21.21 | 0.00 | 21.21 | 80.0 | 16.97 |
| 09/04/2018 | ***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 1.06 | 0.00 | 1.06 | 80.0 | 0.85 |
| 09/04/2018 | ***-**-195P - WEST, HOLLY ACCOUNT #: 00075135342 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 5.09 | 0.00 | 5.09 | 80.0 | 4.07 |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 3.08 | 0.00 | 3.08 | 80.0 | 2.46 |
| 09/04/2018 | ***-**-214I - RUSH, ROBERT ACCOUNT #: 00075148251 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 12.31 | 0.00 | 12.31 | 80.0 | 9.85 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-075P - BARON, ROBIN ACCOUNT #: 00081304092 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 7.83 | 0.00 | 7.83 | 80.0 | 6.26 |
| 09/04/2018 | ***-**-995P - CAPUTO, MARILYN ACCOUNT #: 00082091777 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 1.14 | 0.00 | 1.14 | 80.0 | 0.91 |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 0.33 | 0.00 | 0.33 | 80.0 | 0.26 |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES ACCOUNT #: 00083687369 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 3.73 | 0.00 | 3.73 | 80.0 | 2.98 |
| 09/04/2018 | ***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100 | CAPITAL WPRLD BOND FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| 09/17/2018 | ***-**-540J - ARBORE, MARIA ACCOUNT #: 03020030772 | COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 2.28 | 0.00 | 2.28 | 80.0 | 1.82 |
| 09/17/2018 | ***-**-07IN - SCARPULLA, ACCOUNT #: 07600010039 | COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 15.82 | 0.00 | 15.82 | 80.0 | 12.66 |
| 09/17/2018 | ***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960 | EATON VANCE MF TRAILS BUY 0 Units @0.0000 | 0.00 | 11.07 | 0.00 | 11.07 | 80.0 | 8.86 |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL ACCOUNT #: 00085791891 | EUROPACIFIC GROWTH FUND CLASS C BUY 0 Units @0.0000 | 0.00 | 9.63 | 0.00 | 9.63 | 80.0 | 7.70 |
| 09/04/2018 | ***-**-7615 - MCGINNIS, ACCOUNT #: 00085902209 | EUROPACIFIC GROWTH FUND CLASS C BUY 0 Units @0.0000 | 0.00 | 1.06 | 0.00 | 1.06 | 80.0 | 0.85 |
| 09/04/2018 | ***-**-8776 - LEVINE, TODD ACCOUNT #: 00066829688 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 2.92 | 0.00 | 2.92 | 80.0 | 2.34 |
| 09/04/2018 | ***-**-18IN - RIEDEL, JAMES ACCOUNT #: 00058934237 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 9.59 | 0.00 | 9.59 | 80.0 | 7.67 |
| 09/04/2018 | ***-**-160I - HEBERGER, ACCOUNT #: 00059221008 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 12.12 | 0.00 | 12.12 | 80.0 | 9.70 |
| 09/04/2018 | ***-**-701I - MCSHANE, THOMAS ACCOUNT #: 00059264717 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 6.04 | 0.00 | 6.04 | 80.0 | 4.83 |
| 09/04/2018 | ***-**-543I - MCSHANE, ELAINE ACCOUNT #: 00059264718 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 9.94 | 0.00 | 9.94 | 80.0 | 7.95 |
| 09/04/2018 | ***-**-99IN - ARBORE, CARL ACCOUNT #: 00059377247 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 32.83 | 0.00 | 32.83 | 80.0 | 26.26 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-14IN - SCARPULLA, ACCOUNT #: 00062082815 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 8.49 | 0.00 | 8.49 | 80.0 | 6.79 |
| 09/04/2018 | ***-**-604I - CAPEZZUTO, MARIA ACCOUNT #: 00062579023 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 65.31 | 0.00 | 65.31 | 80.0 | 52.25 |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 30.13 | 0.00 | 30.13 | 80.0 | 24.10 |
| 09/04/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00063451518 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 28.89 | 0.00 | 28.89 | 80.0 | 23.11 |
| 09/04/2018 | ***-**-465P - CANNON, PAULA ACCOUNT #: 00065090950 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 1.55 | 0.00 | 1.55 | 80.0 | 1.24 |
| 09/04/2018 | ***-**-984P - DELUCA, SHARI ACCOUNT #: 00065146525 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 09/04/2018 | ***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 1.53 | 0.00 | 1.53 | 80.0 | 1.22 |
| 09/04/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 27.94 | 0.00 | 27.94 | 80.0 | 22.35 |
| 09/04/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 12.97 | 0.00 | 12.97 | 80.0 | 10.38 |
| 09/04/2018 | ***-**-2243 - SPENCER, JANICE ACCOUNT #: 00068185254 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 9.08 | 0.00 | 9.08 | 80.0 | 7.26 |
| 09/04/2018 | ***-**-141I - MALOVICS, LASZLO ACCOUNT #: 00081215719 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 6.50 | 0.00 | 6.50 | 80.0 | 5.20 |
| 09/04/2018 | ***-**-825P - GAGNIER, ACCOUNT #: 00082149035 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 3.87 | 0.00 | 3.87 | 80.0 | 3.10 |
| 09/04/2018 | ***-**-937I - PELLICANE, MARY ACCOUNT #: 00082678067 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 2.37 | 0.00 | 2.37 | 80.0 | 1.90 |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL ACCOUNT #: 00085791891 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 09/04/2018 | ***-**-895P - LIBEY, ERIK ACCOUNT #: 00086828942 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 5.36 | 0.00 | 5.36 | 80.0 | 4.29 |
| 09/04/2018 | ***-**-385P - MASTROSIMONE, ACCOUNT #: 00068834568 | FUNDAMENTAL INVESTORS FUND CLASS F BUY 0 Units @0.0000 | 0.00 | 12.43 | 0.00 | 12.43 | 80.0 | 9.94 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-615P - LE, TU | FUNDAMENTAL INVESTORS FUND CLASS F | 0.00 | 1.09 | 0.00 | 1.09 | 80.0 | 0.87 |
| | ACCOUNT #: 00075107985 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-145P - SCARPULLA, | FUNDAMENTAL INVESTORS-A | 0.00 | 51.71 | 0.00 | 51.71 | 80.0 | 41.37 |
| | ACCOUNT #: 00058728614 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0785 - DWYER-SKINNER, | FUNDAMENTAL INVESTORS-A | 0.00 | 31.72 | 0.00 | 31.72 | 80.0 | 25.38 |
| | ACCOUNT #: 00062084359 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-604I - CAPEZZUTO, MARIA | FUNDAMENTAL INVESTORS-A | 0.00 | 85.41 | 0.00 | 85.41 | 80.0 | 68.33 |
| | ACCOUNT #: 00062579023 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-545P - VISCA, ANGELO | FUNDAMENTAL INVESTORS-A | 0.00 | 4.18 | 0.00 | 4.18 | 80.0 | 3.34 |
| | ACCOUNT #: 00063056262 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-385P - BONACCI, | FUNDAMENTAL INVESTORS-A | 0.00 | 25.18 | 0.00 | 25.18 | 80.0 | 20.14 |
| | ACCOUNT #: 00063160662 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA | FUNDAMENTAL INVESTORS-A | 0.00 | 85.99 | 0.00 | 85.99 | 80.0 | 68.79 |
| | ACCOUNT #: 00063412117 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-535P - DELGAIZO, | FUNDAMENTAL INVESTORS-A | 0.00 | 82.38 | 0.00 | 82.38 | 80.0 | 65.90 |
| | ACCOUNT #: 00063451518 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-495P - HARTER, EDWARD | FUNDAMENTAL INVESTORS-A | 0.00 | 37.41 | 0.00 | 37.41 | 80.0 | 29.93 |
| | ACCOUNT #: 00066759710 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-705P - SNYDER, STEVEN | FUNDAMENTAL INVESTORS-A | 0.00 | 5.13 | 0.00 | 5.13 | 80.0 | 4.10 |
| | ACCOUNT #: 00067400189 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-605P - MULVANEY, CORY | FUNDAMENTAL INVESTORS-A | 0.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00067510163 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1540 - COTA, GRETCHEN | FUNDAMENTAL INVESTORS-A | 0.00 | 3.08 | 0.00 | 3.08 | 80.0 | 2.46 |
| | ACCOUNT #: 00068099713 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-165P - CLEMENT, SCOTT | FUNDAMENTAL INVESTORS-A | 0.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00068190881 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-126I - GIAMBRONE, | FUNDAMENTAL INVESTORS-A | 0.00 | 24.74 | 0.00 | 24.74 | 80.0 | 19.79 |
| | ACCOUNT #: 00068322646 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-495P - UTZ, TINA | FUNDAMENTAL INVESTORS-A | 0.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00073370225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-42IR - ADAMS, GRACE | FUNDAMENTAL INVESTORS-A | 0.00 | 2.55 | 0.00 | 2.55 | 80.0 | 2.04 |
| | ACCOUNT #: 00073505114 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-925P - BURNS, JASON | FUNDAMENTAL INVESTORS-A | 0.00 | 41.95 | 0.00 | 41.95 | 80.0 | 33.56 |
| | ACCOUNT #: 00073550035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - BEAHM, NATHAN | FUNDAMENTAL INVESTORS-A | 0.00 | 4.74 | 0.00 | 4.74 | 80.0 | 3.79 |
| | ACCOUNT #: 00073550069 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-A | 0.00 | 18.00 | 0.00 | 18.00 | 80.0 | 14.40 |
| | ACCOUNT #: 00086084236 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-845P - MARTHALLER, | FUNDAMENTAL INVESTORS-A | 0.00 | 1.60 | 0.00 | 1.60 | 80.0 | 1.28 |
| | ACCOUNT #: 00087023930 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1841 - ROTOLI, CHERYL | FUNDAMENTAL INVESTORS-A | 0.00 | 11.01 | 0.00 | 11.01 | 80.0 | 8.81 |
| | ACCOUNT #: 00087899407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-385P - MASTROSIMONE, | FUNDAMENTAL INVESTORS-C | 0.00 | 34.47 | 0.00 | 34.47 | 80.0 | 27.58 |
| | ACCOUNT #: 00068834568 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-615P - LE, TU | FUNDAMENTAL INVESTORS-C | 0.00 | 9.95 | 0.00 | 9.95 | 80.0 | 7.96 |
| | ACCOUNT #: 00075107985 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7625 - PRINCE, ADAM | FUNDAMENTAL INVESTORS-C | 0.00 | 46.70 | 0.00 | 46.70 | 80.0 | 37.36 |
| | ACCOUNT #: 00085764342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4392 - GARCIA, MICHELLE | FUNDAMENTAL INVESTORS-C | 0.00 | 11.40 | 0.00 | 11.40 | 80.0 | 9.12 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 0.00 | 15.53 | 0.00 | 15.53 | 80.0 | 12.42 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 0.00 | 31.73 | 0.00 | 31.73 | 80.0 | 25.38 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4052 - DOROFY, STEPHEN | FUNDAMENTAL INVESTORS-C | 0.00 | 8.81 | 0.00 | 8.81 | 80.0 | 7.05 |
| | ACCOUNT #: 00085904206 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7171 - GIUDICE, JUSTIN | FUNDAMENTAL INVESTORS-C | 0.00 | 2.11 | 0.00 | 2.11 | 80.0 | 1.69 |
| | ACCOUNT #: 00086494794 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 0.00 | 19.93 | 0.00 | 19.93 | 80.0 | 15.94 |
| | ACCOUNT #: 00086770612 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-845P - MARTHALLER, | FUNDAMENTAL INVESTORS-C | 0.00 | 6.19 | 0.00 | 6.19 | 80.0 | 4.95 |
| | ACCOUNT #: 00087023930 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-138I - ARBORE, JENNIFER | FUNDAMENTAL INVESTORS-C | 0.00 | 9.77 | 0.00 | 9.77 | 80.0 | 7.82 |
| | ACCOUNT #: 00087821859 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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400 West Metro Park
Rochester, NY 14623

BROKER #: 417
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3104 - DODANE, SABINE | FUNDAMENTAL INVESTORS-C | 0.00 | 0.89 | 0.00 | 0.89 | 80.0 | 0.71 |
| | ACCOUNT #: 00087946898 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 0.00 | 30.25 | 0.00 | 30.25 | 80.0 | 24.20 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 0.00 | 0.26 | 0.00 | 0.26 | 80.0 | 0.21 |
| | ACCOUNT #: 00089055530 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 0.00 | 17.82 | 0.00 | 17.82 | 80.0 | 14.26 |
| | ACCOUNT #: 00089104864 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 0.00 | 17.82 | 0.00 | 17.82 | 80.0 | 14.26 |
| | ACCOUNT #: 00089104943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-482I - MARCONI, TODD | FUNDAMENTAL INVESTORS-C | 0.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5703 - DIODATO, | FUNDAMENTAL INVESTORS-C | 0.00 | 375.78 | 0.00 | 375.78 | 80.0 | 300.62 |
| | ACCOUNT #: 04000262459 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6171 - ROWE, KEVIN | FUNDAMENTAL INVESTORS-C | 0.00 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 04000409801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6171 - ROWE, KEVIN | FUNDAMENTAL INVESTORS-C | 0.00 | 8.08 | 0.00 | 8.08 | 80.0 | 6.46 |
| | ACCOUNT #: 04000414580 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, | GROWTH FUND OF AMERICA CLASS F | 0.00 | 2.65 | 0.00 | 2.65 | 80.0 | 2.12 |
| | ACCOUNT #: 00067409966 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-397P - COTTONE, MARIA | GROWTH FUND OF AMERICA CLASS F | 0.00 | 21.32 | 0.00 | 21.32 | 80.0 | 17.06 |
| | ACCOUNT #: 00066766778 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - REYES, ISAIH | GROWTH FUND OF AMERICA CLASS F | 0.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00069016230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7255 - LIPPA, HARITINI | GROWTH FUND OF AMERICA CLASS F | 0.00 | 11.32 | 0.00 | 11.32 | 80.0 | 9.06 |
| | ACCOUNT #: 00069040847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA | GROWTH FUND OF AMERICA CLASS F | 0.00 | 12.03 | 0.00 | 12.03 | 80.0 | 9.62 |
| | ACCOUNT #: 00073003754 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-625P - BORRELLI, LOUIS | GROWTH FUND OF AMERICA CLASS F | 0.00 | 5.35 | 0.00 | 5.35 | 80.0 | 4.28 |
| | ACCOUNT #: 00073550085 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-620I - | GROWTH FUND OF AMERICA CLASS F | 0.00 | 28.62 | 0.00 | 28.62 | 80.0 | 22.90 |
| | ACCOUNT #: 00074021540 | BUY 0 Units @0.0000 | | | | | | |



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|--|--------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-555P - ALKORIRJI, AMIRA | GROWTH FUND OF AMERICA CLASS F | 0.00 | 4.77 | 0.00 | 4.77 | 80.0 | 3.82 |
| | ACCOUNT #: 00074865176 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-195P - WEST, HOLLY | GROWTH FUND OF AMERICA CLASS F | 0.00 | 6.14 | 0.00 | 6.14 | 80.0 | 4.91 |
| | ACCOUNT #: 00075135342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-214I - RUSH, ROBERT | GROWTH FUND OF AMERICA CLASS F | 0.00 | 15.18 | 0.00 | 15.18 | 80.0 | 12.14 |
| | ACCOUNT #: 00075148251 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - JORDAN, | GROWTH FUND OF AMERICA CLASS F | 0.00 | 0.65 | 0.00 | 0.65 | 80.0 | 0.52 |
| | ACCOUNT #: 00081346085 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995P - CAPUTO, MARILYN | GROWTH FUND OF AMERICA CLASS F | 0.00 | 1.43 | 0.00 | 1.43 | 80.0 | 1.14 |
| | ACCOUNT #: 00082091777 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-85IN - CANORI, JOSEPH | GROWTH FUND OF AMERICA CLASS F | 0.00 | 4.44 | 0.00 | 4.44 | 80.0 | 3.55 |
| | ACCOUNT #: 00082908831 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0693 - POWELL, KRISTIN | GROWTH FUND OF AMERICA CLASS F | 0.00 | 2.94 | 0.00 | 2.94 | 80.0 | 2.35 |
| | ACCOUNT #: 00083026630 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6000 - SMITH, KENNETH | GROWTH FUND OF AMERICA CLASS F | 0.00 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00083081099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | GROWTH FUND OF AMERICA CLASS F | 0.00 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES | GROWTH FUND OF AMERICA CLASS F | 0.00 | 2.73 | 0.00 | 2.73 | 80.0 | 2.18 |
| | ACCOUNT #: 00083687369 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4435 - HORNE, JUANITA | INCOME FUND OF AMERICA CLASS C | 0.00 | 25.43 | 0.00 | 25.43 | 80.0 | 20.34 |
| | ACCOUNT #: 04000366903 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IN - LEWIS, SUE | INCOME FUND OF AMERICA CLASS C | 0.00 | 50.60 | 0.00 | 50.60 | 80.0 | 40.48 |
| | ACCOUNT #: 00084396204 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-383I - OUKES, CHARLENE | INCOME FUND OF AMERICA CLASS C | 0.00 | 44.46 | 0.00 | 44.46 | 80.0 | 35.57 |
| | ACCOUNT #: 00084968205 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-503J - OBI, JEAN | INCOME FUND OF AMERICA CLASS C | 0.00 | 28.70 | 0.00 | 28.70 | 80.0 | 22.96 |
| | ACCOUNT #: 00086750937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-35IR - GAMBACURTA, | INCOME FUND OF AMERICA CLASS C | 0.00 | 151.47 | 0.00 | 151.47 | 80.0 | 121.18 |
| | ACCOUNT #: 00086918053 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 0.00 | 39.21 | 0.00 | 39.21 | 80.0 | 31.37 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-0472 - SPOTTS, MARGARET | INCOME FUND OF AMERICA CLASS C | 0.00 | 54.49 | 0.00 | 54.49 | 80.0 | 43.59 |
| | ACCOUNT #: 00087294821 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 0.00 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| | ACCOUNT #: 00088578113 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 0.00 | 4.90 | 0.00 | 4.90 | 80.0 | 3.92 |
| | ACCOUNT #: 00089215280 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3043 - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 0.00 | 608.51 | 0.00 | 608.51 | 80.0 | 486.81 |
| | ACCOUNT #: 00089920138 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4267 - DESSO, PATRICIA | INCOME FUND OF AMERICA CLASS C | 0.00 | 3.44 | 0.00 | 3.44 | 80.0 | 2.75 |
| | ACCOUNT #: 04000296625 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7946 - CROTTY, MARGARET | INCOME FUND OF AMERICA CLASS C | 0.00 | 122.70 | 0.00 | 122.70 | 80.0 | 98.16 |
| | ACCOUNT #: 04000304747 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4435 - HORNE, JUANITA | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 26.01 | 0.00 | 26.01 | 80.0 | 20.81 |
| | ACCOUNT #: 04000366903 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-445P - PIAZZA, ANNIE | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 17.48 | 0.00 | 17.48 | 80.0 | 13.98 |
| | ACCOUNT #: 00067750908 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-383I - OUKES, CHARLENE | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 27.33 | 0.00 | 27.33 | 80.0 | 21.86 |
| | ACCOUNT #: 00084968205 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1209 - NATALE, KIMBERLY | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 17.38 | 0.00 | 17.38 | 80.0 | 13.90 |
| | ACCOUNT #: 00085764285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 43.90 | 0.00 | 43.90 | 80.0 | 35.12 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 2.93 | 0.00 | 2.93 | 80.0 | 2.34 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-564I - CARNEY, BARBARA | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 0.89 | 0.00 | 0.89 | 80.0 | 0.71 |
| | ACCOUNT #: 00089563161 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-312S - DISCH, JASMINE | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 3.50 | 0.00 | 3.50 | 80.0 | 2.80 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 2.40 | 0.00 | 2.40 | 80.0 | 1.92 |
| | ACCOUNT #: 00089722785 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3727 - D'ANGELO, ANDREW | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 04000409699 | BUY 0 Units @0.0000 | | | | | | |



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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6781 - KARNS, DANIELLE | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 0.07 | 0.00 | 0.07 | 80.0 | 0.06 |
| | ACCOUNT #: 04000409710 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4115 - ROWE, BRITNEY | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 04000409792 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3758 - CONNORS, DAVID | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 36.30 | 0.00 | 36.30 | 80.0 | 29.04 |
| | ACCOUNT #: 04000430331 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1764 - HUGHES, KATHLEEN | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 60.20 | 0.00 | 60.20 | 80.0 | 48.16 |
| | ACCOUNT #: 04000998901 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, | NEW PERSPECTIVE FUND-A | 0.00 | 0.71 | 0.00 | 0.71 | 80.0 | 0.57 |
| | ACCOUNT #: 00067409966 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-145P - SCARPULLA, | NEW PERSPECTIVE FUND-A | 0.00 | 58.37 | 0.00 | 58.37 | 80.0 | 46.70 |
| | ACCOUNT #: 00058728614 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-565P - VISCA, STACY | NEW PERSPECTIVE FUND-A | 0.00 | 1.62 | 0.00 | 1.62 | 80.0 | 1.30 |
| | ACCOUNT #: 00062374424 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA | NEW PERSPECTIVE FUND-A | 0.00 | 13.44 | 0.00 | 13.44 | 80.0 | 10.75 |
| | ACCOUNT #: 00063412117 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-535P - DELGAIZO, | NEW PERSPECTIVE FUND-A | 0.00 | 12.99 | 0.00 | 12.99 | 80.0 | 10.39 |
| | ACCOUNT #: 00063451518 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-225P - LILL, DONNA | NEW PERSPECTIVE FUND-A | 0.00 | 21.03 | 0.00 | 21.03 | 80.0 | 16.82 |
| | ACCOUNT #: 00065016915 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-465P - CANNON, PAULA | NEW PERSPECTIVE FUND-A | 0.00 | 2.05 | 0.00 | 2.05 | 80.0 | 1.64 |
| | ACCOUNT #: 00065090950 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-515P - GUERRIERI, | NEW PERSPECTIVE FUND-A | 0.00 | 7.33 | 0.00 | 7.33 | 80.0 | 5.86 |
| | ACCOUNT #: 00066746363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - LAMANNA, DANIEL | NEW PERSPECTIVE FUND-A | 0.00 | 0.95 | 0.00 | 0.95 | 80.0 | 0.76 |
| | ACCOUNT #: 00066898425 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - MASTROSIMONE, | NEW PERSPECTIVE FUND-A | 0.00 | 79.90 | 0.00 | 79.90 | 80.0 | 63.92 |
| | ACCOUNT #: 00067351603 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-815P - WEBER, ANTHONY | NEW PERSPECTIVE FUND-A | 0.00 | 37.07 | 0.00 | 37.07 | 80.0 | 29.66 |
| | ACCOUNT #: 00067400187 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-185P - WARREN, STEVEN | NEW PERSPECTIVE FUND-A | 0.00 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| | ACCOUNT #: 00067400190 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-545P - JOHNS, IAN | NEW PERSPECTIVE FUND-A | 0.00 | 3.97 | 0.00 | 3.97 | 80.0 | 3.18 |
| | ACCOUNT #: 00067863131 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-126I - GIAMBRONE, | NEW PERSPECTIVE FUND-A | 0.00 | 32.14 | 0.00 | 32.14 | 80.0 | 25.71 |
| | ACCOUNT #: 00068322646 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-955P - ROSE, JUSTIN | NEW PERSPECTIVE FUND-A | 0.00 | 7.81 | 0.00 | 7.81 | 80.0 | 6.25 |
| | ACCOUNT #: 00073550044 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - BEAHM, NATHAN | NEW PERSPECTIVE FUND-A | 0.00 | 4.50 | 0.00 | 4.50 | 80.0 | 3.60 |
| | ACCOUNT #: 00073550069 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-170I - SNYDER, STEVEN | NEW PERSPECTIVE FUND-A | 0.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00074577742 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-54IN - VISCA, ANGELO | NEW PERSPECTIVE FUND-A | 0.00 | 1.26 | 0.00 | 1.26 | 80.0 | 1.01 |
| | ACCOUNT #: 00074704820 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-141I - MALOVICS, LASZLO | NEW PERSPECTIVE FUND-A | 0.00 | 9.12 | 0.00 | 9.12 | 80.0 | 7.30 |
| | ACCOUNT #: 00081215719 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - VAN ORMAN, LOUIS | NEW PERSPECTIVE FUND-A | 0.00 | 86.79 | 0.00 | 86.79 | 80.0 | 69.43 |
| | ACCOUNT #: 00081940220 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - GAGNIER, | NEW PERSPECTIVE FUND-A | 0.00 | 5.59 | 0.00 | 5.59 | 80.0 | 4.47 |
| | ACCOUNT #: 00082149035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-296I - TEUMER, | NEW PERSPECTIVE FUND-A | 0.00 | 4.96 | 0.00 | 4.96 | 80.0 | 3.97 |
| | ACCOUNT #: 00083577034 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | NEW PERSPECTIVE FUND-A | 0.00 | 3.46 | 0.00 | 3.46 | 80.0 | 2.77 |
| | ACCOUNT #: 00083798896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-895P - LIBEY, ERIK | NEW PERSPECTIVE FUND-A | 0.00 | 7.22 | 0.00 | 7.22 | 80.0 | 5.78 |
| | ACCOUNT #: 00086828942 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-A | 0.00 | 20.67 | 0.00 | 20.67 | 80.0 | 16.54 |
| | ACCOUNT #: 00087118596 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1788 - SYKES, DANIEL | NEW PERSPECTIVE FUND-A | 0.00 | 0.12 | 0.00 | 0.12 | 80.0 | 0.10 |
| | ACCOUNT #: 00087870442 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-A | 0.00 | 1.59 | 0.00 | 1.59 | 80.0 | 1.27 |
| | ACCOUNT #: 00088058999 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-54IN - VISCA, ANGELO | NEW PERSPECTIVE FUND-A | 0.00 | 3.07 | 0.00 | 3.07 | 80.0 | 2.46 |
| | ACCOUNT #: 00190943536 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-225P - LILL, DONNA | NEW PERSPECTIVE FUND-C | 0.00 | 27.24 | 0.00 | 27.24 | 80.0 | 21.79 |
| | ACCOUNT #: 00065016915 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-620I - | NEW PERSPECTIVE FUND-C | 0.00 | 136.36 | 0.00 | 136.36 | 80.0 | 109.09 |
| | ACCOUNT #: 00074021540 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6000 - SMITH, KENNETH | NEW PERSPECTIVE FUND-C | 0.00 | 5.73 | 0.00 | 5.73 | 80.0 | 4.58 |
| | ACCOUNT #: 00083081099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4036 - AMES, JOHN | NEW PERSPECTIVE FUND-C | 0.00 | 8.73 | 0.00 | 8.73 | 80.0 | 6.98 |
| | ACCOUNT #: 00083414092 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | NEW PERSPECTIVE FUND-C | 0.00 | 18.47 | 0.00 | 18.47 | 80.0 | 14.78 |
| | ACCOUNT #: 00083798896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | NEW PERSPECTIVE FUND-C | 0.00 | 26.19 | 0.00 | 26.19 | 80.0 | 20.95 |
| | ACCOUNT #: 00084905937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7615 - MCGINNIS, | NEW PERSPECTIVE FUND-C | 0.00 | 1.13 | 0.00 | 1.13 | 80.0 | 0.90 |
| | ACCOUNT #: 00085902209 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 0.00 | 16.86 | 0.00 | 16.86 | 80.0 | 13.49 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 0.00 | 0.85 | 0.00 | 0.85 | 80.0 | 0.68 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 0.00 | 34.88 | 0.00 | 34.88 | 80.0 | 27.90 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4052 - DOROFY, STEPHEN | NEW PERSPECTIVE FUND-C | 0.00 | 5.73 | 0.00 | 5.73 | 80.0 | 4.58 |
| | ACCOUNT #: 00085904206 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-05IN - RANDALL, ADAM | NEW PERSPECTIVE FUND-C | 0.00 | 22.88 | 0.00 | 22.88 | 80.0 | 18.30 |
| | ACCOUNT #: 00085941585 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | NEW PERSPECTIVE FUND-C | 0.00 | 5.07 | 0.00 | 5.07 | 80.0 | 4.06 |
| | ACCOUNT #: 00088870923 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 0.00 | 30.04 | 0.00 | 30.04 | 80.0 | 24.03 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 0.00 | 17.97 | 0.00 | 17.97 | 80.0 | 14.38 |
| | ACCOUNT #: 00089104943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1955 - MARCELLUS, JAMES | NEW PERSPECTIVE FUND-C | 0.00 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 04000409718 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785 | NEW PERSPECTIVE FUND-C BUY 0 Units @0.0000 | 0.00 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| 09/04/2018 | ***-**-9004 - MARCELLUS, ACCOUNT #: 04000414551 | NEW PERSPECTIVE FUND-C BUY 0 Units @0.0000 | 0.00 | 5.59 | 0.00 | 5.59 | 80.0 | 4.47 |
| 09/04/2018 | ***-**-1764 - HUGHES, KATHLEEN ACCOUNT #: 04000998901 | NEW PERSPECTIVE FUND-C BUY 0 Units @0.0000 | 0.00 | 59.77 | 0.00 | 59.77 | 80.0 | 47.82 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | NEW PERSPECTIVE FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.70 | 0.00 | 0.70 | 80.0 | 0.56 |
| 09/04/2018 | ***-**-620I - ACCOUNT #: 00074021540 | NEW PERSPECTIVE FUND-F1 BUY 0 Units @0.0000 | 0.00 | 24.36 | 0.00 | 24.36 | 80.0 | 19.49 |
| 09/04/2018 | ***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099 | NEW PERSPECTIVE FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.78 | 0.00 | 0.78 | 80.0 | 0.62 |
| 09/04/2018 | ***-**-145P - SCARPULLA, ACCOUNT #: 00058728614 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 2.09 | 0.00 | 2.09 | 80.0 | 1.67 |
| 09/04/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 61.38 | 0.00 | 61.38 | 80.0 | 49.10 |
| 09/04/2018 | ***-**-855P - GREENE, DARRIS ACCOUNT #: 00066758349 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 0.51 | 0.00 | 0.51 | 80.0 | 0.41 |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE ACCOUNT #: 00068622801 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 19.52 | 0.00 | 19.52 | 80.0 | 15.62 |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON ACCOUNT #: 00083798896 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 2.53 | 0.00 | 2.53 | 80.0 | 2.02 |
| 09/04/2018 | ***-**-540I - ARBORE, CHARLES ACCOUNT #: 00085068481 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL ACCOUNT #: 00085791891 | NEW WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 09/04/2018 | ***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092 | NEW WORLD FUND-C BUY 0 Units @0.0000 | 0.00 | 7.29 | 0.00 | 7.29 | 80.0 | 5.83 |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON ACCOUNT #: 00083798896 | NEW WORLD FUND-C BUY 0 Units @0.0000 | 0.00 | 19.48 | 0.00 | 19.48 | 80.0 | 15.58 |
| 09/04/2018 | ***-**-1650 - CAPPON, DOUGLAS ACCOUNT #: 00084731688 | NEW WORLD FUND-C BUY 0 Units @0.0000 | 0.00 | 4.23 | 0.00 | 4.23 | 80.0 | 3.38 |



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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | NEW WORLD FUND-C | 0.00 | 20.41 | 0.00 | 20.41 | 80.0 | 16.33 |
| | ACCOUNT #: 00084905937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL | NEW WORLD FUND-C | 0.00 | 9.63 | 0.00 | 9.63 | 80.0 | 7.70 |
| | ACCOUNT #: 00085791891 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7615 - MCGINNIS, | NEW WORLD FUND-C | 0.00 | 0.95 | 0.00 | 0.95 | 80.0 | 0.76 |
| | ACCOUNT #: 00085902209 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 0.00 | 13.75 | 0.00 | 13.75 | 80.0 | 11.00 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 0.00 | 28.47 | 0.00 | 28.47 | 80.0 | 22.78 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4052 - DOROFY, STEPHEN | NEW WORLD FUND-C | 0.00 | 4.37 | 0.00 | 4.37 | 80.0 | 3.50 |
| | ACCOUNT #: 00085904206 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-83IR - COTTONE, HEATHER | NEW WORLD FUND-C | 0.00 | 8.71 | 0.00 | 8.71 | 80.0 | 6.97 |
| | ACCOUNT #: 00085914099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-05IN - RANDALL, ADAM | NEW WORLD FUND-C | 0.00 | 15.86 | 0.00 | 15.86 | 80.0 | 12.69 |
| | ACCOUNT #: 00085941585 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-398I - GUERRIERI, PAUL | NEW WORLD FUND-C | 0.00 | 22.39 | 0.00 | 22.39 | 80.0 | 17.91 |
| | ACCOUNT #: 00086040659 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | NEW WORLD FUND-C | 0.00 | 36.77 | 0.00 | 36.77 | 80.0 | 29.42 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | NEW WORLD FUND-C | 0.00 | 5.10 | 0.00 | 5.10 | 80.0 | 4.08 |
| | ACCOUNT #: 00088870923 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3043 - SCARPULLA, | RTMT INCOME PORTFOLIO ENHANCED-R2 | 0.00 | 556.04 | 0.00 | 556.04 | 80.0 | 444.83 |
| | ACCOUNT #: 04000319849 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-685P - MASTROSIMONE, | SHORT-TERM BOND FUND OF AMERICA - A | 0.00 | 42.39 | 0.00 | 42.39 | 80.0 | 33.91 |
| | ACCOUNT #: 00067400188 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - MASTROSIMONE, | SHORT-TERM BOND FUND OF AMERICA - A | 0.00 | 39.51 | 0.00 | 39.51 | 80.0 | 31.61 |
| | ACCOUNT #: 00067436327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2243 - SPENCER, JANICE | SMALLCAP WORLD FUND-A | 0.00 | 12.24 | 0.00 | 12.24 | 80.0 | 9.79 |
| | ACCOUNT #: 00068185254 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-925P - BURNS, JASON | SMALLCAP WORLD FUND-A | 0.00 | 39.33 | 0.00 | 39.33 | 80.0 | 31.46 |
| | ACCOUNT #: 00073550035 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-415P - BEAHM, NATHAN | SMALLCAP WORLD FUND-A | 0.00 | 4.55 | 0.00 | 4.55 | 80.0 | 3.64 |
| | ACCOUNT #: 00073550069 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - GAGNIER, | SMALLCAP WORLD FUND-A | 0.00 | 4.23 | 0.00 | 4.23 | 80.0 | 3.38 |
| | ACCOUNT #: 00082149035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | SMALLCAP WORLD FUND-C | 0.00 | 42.66 | 0.00 | 42.66 | 80.0 | 34.13 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | SMALLCAP WORLD FUND-C | 0.00 | 30.22 | 0.00 | 30.22 | 80.0 | 24.18 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-76IN - CRISPINO, JULIE | TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 734.81 | 0.00 | 734.81 | 80.0 | 587.85 |
| | ACCOUNT #: 00059092005 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-365P - CRISPINO, JULIE | TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 321.68 | 0.00 | 321.68 | 80.0 | 257.34 |
| | ACCOUNT #: 00066582235 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE | TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 44.14 | 0.00 | 44.14 | 80.0 | 35.31 |
| | ACCOUNT #: 00068622801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 12.72 | 0.00 | 12.72 | 80.0 | 10.18 |
| | ACCOUNT #: 00086084236 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CRISPINO/, DAVID | THE BOND FUND OF AMERICA-A | 0.00 | 129.40 | 0.00 | 129.40 | 80.0 | 103.52 |
| | ACCOUNT #: 00059085342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-99IN - ARBORE, CARL | THE BOND FUND OF AMERICA-A | 0.00 | 6.01 | 0.00 | 6.01 | 80.0 | 4.81 |
| | ACCOUNT #: 00059377247 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-069I - BUCK, LESLIE | THE BOND FUND OF AMERICA-A | 0.00 | 10.30 | 0.00 | 10.30 | 80.0 | 8.24 |
| | ACCOUNT #: 00060471066 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-97IN - WAGNER, PAUL | THE BOND FUND OF AMERICA-A | 0.00 | 13.65 | 0.00 | 13.65 | 80.0 | 10.92 |
| | ACCOUNT #: 00062900111 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA | THE BOND FUND OF AMERICA-A | 0.00 | 16.12 | 0.00 | 16.12 | 80.0 | 12.90 |
| | ACCOUNT #: 00063412117 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-535P - DELGAIZO, | THE BOND FUND OF AMERICA-A | 0.00 | 15.42 | 0.00 | 15.42 | 80.0 | 12.34 |
| | ACCOUNT #: 00063451518 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-815P - WEBER, ANTHONY | THE BOND FUND OF AMERICA-A | 0.00 | 13.34 | 0.00 | 13.34 | 80.0 | 10.67 |
| | ACCOUNT #: 00067400187 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-165P - CLEMENT, SCOTT | THE BOND FUND OF AMERICA-A | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00068190881 | BUY 0 Units @0.0000 | | | | | | |



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|--|--------------------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE | THE BOND FUND OF AMERICA-A | 0.00 | 35.00 | 0.00 | 35.00 | 80.0 | 28.00 |
| | ACCOUNT #: 00068622801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-215P - HINKEL, VICTORIA | THE BOND FUND OF AMERICA-A | 0.00 | 0.74 | 0.00 | 0.74 | 80.0 | 0.59 |
| | ACCOUNT #: 00074107112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - VAN ORMAN, LOUIS | THE BOND FUND OF AMERICA-A | 0.00 | 38.53 | 0.00 | 38.53 | 80.0 | 30.82 |
| | ACCOUNT #: 00081940220 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-505I - LICALSI, KAREN | THE BOND FUND OF AMERICA-A | 0.00 | 7.74 | 0.00 | 7.74 | 80.0 | 6.19 |
| | ACCOUNT #: 00082861748 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-A | 0.00 | 2.84 | 0.00 | 2.84 | 80.0 | 2.27 |
| | ACCOUNT #: 00083382475 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-143I - MEEKS, KAMIKA | THE BOND FUND OF AMERICA-A | 0.00 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00083473970 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-A | 0.00 | 2.92 | 0.00 | 2.92 | 80.0 | 2.34 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IN - LEWIS, SUE | THE BOND FUND OF AMERICA-A | 0.00 | 5.01 | 0.00 | 5.01 | 80.0 | 4.01 |
| | ACCOUNT #: 00084396204 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-44IN - RAAB, TAMMY | THE BOND FUND OF AMERICA-A | 0.00 | 3.10 | 0.00 | 3.10 | 80.0 | 2.48 |
| | ACCOUNT #: 00086754409 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-455P - RAAB, JOHN | THE BOND FUND OF AMERICA-A | 0.00 | 3.65 | 0.00 | 3.65 | 80.0 | 2.92 |
| | ACCOUNT #: 00086754452 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-135P - RAAB, NATALIE | THE BOND FUND OF AMERICA-A | 0.00 | 3.76 | 0.00 | 3.76 | 80.0 | 3.01 |
| | ACCOUNT #: 00086754493 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-975P - COTTONE, MARIA | THE BOND FUND OF AMERICA-A | 0.00 | 6.90 | 0.00 | 6.90 | 80.0 | 5.52 |
| | ACCOUNT #: 00086785798 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3758 - CONNORS, DAVID | THE BOND FUND OF AMERICA-A | 0.00 | 2.37 | 0.00 | 2.37 | 80.0 | 1.90 |
| | ACCOUNT #: 00089033223 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5670 - CONNORS, | THE BOND FUND OF AMERICA-A | 0.00 | 2.37 | 0.00 | 2.37 | 80.0 | 1.90 |
| | ACCOUNT #: 00089167547 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7935 - BOERMAN, HAROLD | THE BOND FUND OF AMERICA-A | 0.00 | 6.41 | 0.00 | 6.41 | 80.0 | 5.13 |
| | ACCOUNT #: 04000151961 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-215P - HINKEL, VICTORIA | THE BOND FUND OF AMERICA-C | 0.00 | 17.30 | 0.00 | 17.30 | 80.0 | 13.84 |
| | ACCOUNT #: 00074107112 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-625P - CENTOLA, ANITA | THE BOND FUND OF AMERICA-C | 0.00 | 3.68 | 0.00 | 3.68 | 80.0 | 2.94 |
| | ACCOUNT #: 00074256566 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-C | 0.00 | 17.99 | 0.00 | 17.99 | 80.0 | 14.39 |
| | ACCOUNT #: 00083382475 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-C | 0.00 | 15.56 | 0.00 | 15.56 | 80.0 | 12.45 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES | THE BOND FUND OF AMERICA-C | 0.00 | 5.94 | 0.00 | 5.94 | 80.0 | 4.75 |
| | ACCOUNT #: 00083687369 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-844I - PIAZZA, ANNIE | THE BOND FUND OF AMERICA-C | 0.00 | 49.13 | 0.00 | 49.13 | 80.0 | 39.30 |
| | ACCOUNT #: 00083884556 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8651 - JACKSON, WILLIE | THE BOND FUND OF AMERICA-C | 0.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00084058016 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IN - LEWIS, SUE | THE BOND FUND OF AMERICA-C | 0.00 | 20.50 | 0.00 | 20.50 | 80.0 | 16.40 |
| | ACCOUNT #: 00084396204 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2612 - UCAR, AHMET | THE BOND FUND OF AMERICA-C | 0.00 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| | ACCOUNT #: 00084700980 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2479 - THRASH, MARK | THE BOND FUND OF AMERICA-C | 0.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00084737002 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-383I - OUKES, CHARLENE | THE BOND FUND OF AMERICA-C | 0.00 | 35.91 | 0.00 | 35.91 | 80.0 | 28.73 |
| | ACCOUNT #: 00084968205 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-03UG - JAY, JONATHON | THE BOND FUND OF AMERICA-C | 0.00 | 1.39 | 0.00 | 1.39 | 80.0 | 1.11 |
| | ACCOUNT #: 00085704943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-56UG - JAY, AIDEN | THE BOND FUND OF AMERICA-C | 0.00 | 1.39 | 0.00 | 1.39 | 80.0 | 1.11 |
| | ACCOUNT #: 00085704960 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-07UG - JAY, JASEN | THE BOND FUND OF AMERICA-C | 0.00 | 1.39 | 0.00 | 1.39 | 80.0 | 1.11 |
| | ACCOUNT #: 00085704971 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 0.00 | 26.96 | 0.00 | 26.96 | 80.0 | 21.57 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 0.00 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE BOND FUND OF AMERICA-C | 0.00 | 64.90 | 0.00 | 64.90 | 80.0 | 51.92 |
| | ACCOUNT #: 00086082668 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7171 - GIUDICE, JUSTIN | THE BOND FUND OF AMERICA-C | 0.00 | 1.66 | 0.00 | 1.66 | 80.0 | 1.33 |
| | ACCOUNT #: 00086494794 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5180 - BUCHIERE, MARK | THE BOND FUND OF AMERICA-C | 0.00 | 9.55 | 0.00 | 9.55 | 80.0 | 7.64 |
| | ACCOUNT #: 00086494804 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5502 - TORELLA, CECELIA | THE BOND FUND OF AMERICA-C | 0.00 | 12.80 | 0.00 | 12.80 | 80.0 | 10.24 |
| | ACCOUNT #: 00086652945 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-463I - MARRA, MICHAEL | THE BOND FUND OF AMERICA-C | 0.00 | 2.41 | 0.00 | 2.41 | 80.0 | 1.93 |
| | ACCOUNT #: 00087161117 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5838 - SPOLETA, BRENDA | THE BOND FUND OF AMERICA-C | 0.00 | 81.39 | 0.00 | 81.39 | 80.0 | 65.11 |
| | ACCOUNT #: 00088037501 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9373 - CLINE, KIMBERLY | THE BOND FUND OF AMERICA-C | 0.00 | 7.17 | 0.00 | 7.17 | 80.0 | 5.74 |
| | ACCOUNT #: 00088773853 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-855P - DIVASTA, ERASMO | THE BOND FUND OF AMERICA-F1 | 0.00 | 2.89 | 0.00 | 2.89 | 80.0 | 2.31 |
| | ACCOUNT #: 00067943068 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-155P - MANCZYK, SANDRA | THE BOND FUND OF AMERICA-F1 | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| | ACCOUNT #: 00068561804 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-862I - BOAS, JOHANNA | THE BOND FUND OF AMERICA-F1 | 0.00 | 2.82 | 0.00 | 2.82 | 80.0 | 2.26 |
| | ACCOUNT #: 00069029472 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-265P - SMITH, WILLIAM | THE BOND FUND OF AMERICA-F1 | 0.00 | 9.69 | 0.00 | 9.69 | 80.0 | 7.75 |
| | ACCOUNT #: 00073715215 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-625P - CENTOLA, ANITA | THE BOND FUND OF AMERICA-F1 | 0.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00074256566 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-845P - BUKOLT, LEONARD | THE BOND FUND OF AMERICA-F1 | 0.00 | 51.12 | 0.00 | 51.12 | 80.0 | 40.90 |
| | ACCOUNT #: 00074390043 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-195P - WEST, HOLLY | THE BOND FUND OF AMERICA-F1 | 0.00 | 2.86 | 0.00 | 2.86 | 80.0 | 2.29 |
| | ACCOUNT #: 00075135342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-214I - RUSH, ROBERT | THE BOND FUND OF AMERICA-F1 | 0.00 | 6.95 | 0.00 | 6.95 | 80.0 | 5.56 |
| | ACCOUNT #: 00075148251 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3306 - MASTROSIMONE, | THE BOND FUND OF AMERICA-F1 | 0.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00083382475 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | THE BOND FUND OF AMERICA-F1 | 0.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES | THE BOND FUND OF AMERICA-F1 | 0.00 | 4.46 | 0.00 | 4.46 | 80.0 | 3.57 |
| | ACCOUNT #: 00083687369 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE BOND FUND OF AMERICA-F1 | 0.00 | 12.51 | 0.00 | 12.51 | 80.0 | 10.01 |
| | ACCOUNT #: 00086082668 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-985P - WASHINGTON, | THE GROWTH FUND OF AMERICA-529A | 0.00 | 5.54 | 0.00 | 5.54 | 80.0 | 4.43 |
| | ACCOUNT #: 00073318350 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-465P - HILL V, RICHARD | THE GROWTH FUND OF AMERICA-529A | 0.00 | 2.11 | 0.00 | 2.11 | 80.0 | 1.69 |
| | ACCOUNT #: 00074071629 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - SCHILLACI, AMY | THE GROWTH FUND OF AMERICA-529A | 0.00 | 1.75 | 0.00 | 1.75 | 80.0 | 1.40 |
| | ACCOUNT #: 00081268733 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-315P - ARBORE, JENNIFER | THE GROWTH FUND OF AMERICA-529A | 0.00 | 3.18 | 0.00 | 3.18 | 80.0 | 2.54 |
| | ACCOUNT #: 00081613426 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - SCHILLACI, AMY | THE GROWTH FUND OF AMERICA-529C | 0.00 | 6.70 | 0.00 | 6.70 | 80.0 | 5.36 |
| | ACCOUNT #: 00081268733 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-315P - ARBORE, JENNIFER | THE GROWTH FUND OF AMERICA-529C | 0.00 | 22.60 | 0.00 | 22.60 | 80.0 | 18.08 |
| | ACCOUNT #: 00081613426 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5899 - ARBORE, CARL | THE GROWTH FUND OF AMERICA-529C | 0.00 | 19.56 | 0.00 | 19.56 | 80.0 | 15.65 |
| | ACCOUNT #: 00084503660 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8776 - LEVINE, TODD | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.34 | 0.00 | 7.34 | 80.0 | 5.87 |
| | ACCOUNT #: 00066829688 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-526I - CAVALLARO, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.71 | 0.00 | 2.71 | 80.0 | 2.17 |
| | ACCOUNT #: 00067409966 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-145P - SCARPULLA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 119.43 | 0.00 | 119.43 | 80.0 | 95.54 |
| | ACCOUNT #: 00058728614 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-76IN - CRISPINO, JULIE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2,269.83 | 0.00 | 2,269.83 | 80.0 | 1,815.86 |
| | ACCOUNT #: 00059092005 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-655P - CRISPINO, JULIE | THE GROWTH FUND OF AMERICA-A | 0.00 | 97.01 | 0.00 | 97.01 | 80.0 | 77.61 |
| | ACCOUNT #: 00059209317 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-062I - CRISPINO, DIANE | THE GROWTH FUND OF AMERICA-A | 0.00 | 42.71 | 0.00 | 42.71 | 80.0 | 34.17 |
| | ACCOUNT #: 00059659617 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-069I - BUCK, LESLIE | THE GROWTH FUND OF AMERICA-A | 0.00 | 22.50 | 0.00 | 22.50 | 80.0 | 18.00 |
| | ACCOUNT #: 00060471066 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

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400 West Metro Park
Rochester, NY 14623

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-935P - CHARLES, VICKI | THE GROWTH FUND OF AMERICA-A | 0.00 | 24.09 | 0.00 | 24.09 | 80.0 | 19.27 |
| | ACCOUNT #: 00060985028 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-935P - CHARLES, VICKI | THE GROWTH FUND OF AMERICA-A | 0.00 | 43.00 | 0.00 | 43.00 | 80.0 | 34.40 |
| | ACCOUNT #: 00061113092 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-54IN - VISCA, ANGELO | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.87 | 0.00 | 2.87 | 80.0 | 2.30 |
| | ACCOUNT #: 00062120859 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-565P - VISCA, STACY | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.69 | 0.00 | 1.69 | 80.0 | 1.35 |
| | ACCOUNT #: 00062374424 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-604I - CAPEZZUTO, MARIA | THE GROWTH FUND OF AMERICA-A | 0.00 | 83.05 | 0.00 | 83.05 | 80.0 | 66.44 |
| | ACCOUNT #: 00062579023 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-97IN - WAGNER, PAUL | THE GROWTH FUND OF AMERICA-A | 0.00 | 19.47 | 0.00 | 19.47 | 80.0 | 15.58 |
| | ACCOUNT #: 00062900111 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-385P - BONACCI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 21.20 | 0.00 | 21.20 | 80.0 | 16.96 |
| | ACCOUNT #: 00063160662 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-425P - DELGAIZO, LOUISA | THE GROWTH FUND OF AMERICA-A | 0.00 | 46.35 | 0.00 | 46.35 | 80.0 | 37.08 |
| | ACCOUNT #: 00063412117 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-535P - DELGAIZO, | THE GROWTH FUND OF AMERICA-A | 0.00 | 44.41 | 0.00 | 44.41 | 80.0 | 35.53 |
| | ACCOUNT #: 00063451518 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-00IN - DIVASTA, JANINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.32 | 0.00 | 8.32 | 80.0 | 6.66 |
| | ACCOUNT #: 00063669925 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6250 - BONACCI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.79 | 0.00 | 2.79 | 80.0 | 2.23 |
| | ACCOUNT #: 00063920779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-375P - PADULA, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 133.32 | 0.00 | 133.32 | 80.0 | 106.66 |
| | ACCOUNT #: 00064009806 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-605P - WAGNER, NASTASIA | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.35 | 0.00 | 2.35 | 80.0 | 1.88 |
| | ACCOUNT #: 00064165818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-685P - WAGNER, CALISTA | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.16 | 0.00 | 3.16 | 80.0 | 2.53 |
| | ACCOUNT #: 00064208783 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-145P - MACCARONE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.42 | 0.00 | 5.42 | 80.0 | 4.34 |
| | ACCOUNT #: 00064814649 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-984I - DELUCA, SHARI | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.58 | 0.00 | 10.58 | 80.0 | 8.46 |
| | ACCOUNT #: 00064868315 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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Rochester, NY 14623

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|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-245P - LILL, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.44 | 0.00 | 3.44 | 80.0 | 2.75 |
| | ACCOUNT #: 00065011647 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-24IN - LILL, DONNA | THE GROWTH FUND OF AMERICA-A | 0.00 | 85.26 | 0.00 | 85.26 | 80.0 | 68.21 |
| | ACCOUNT #: 00065011648 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-465P - CANNON, PAULA | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.60 | 0.00 | 5.60 | 80.0 | 4.48 |
| | ACCOUNT #: 00065090950 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-984P - DELUCA, SHARI | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.74 | 0.00 | 0.74 | 80.0 | 0.59 |
| | ACCOUNT #: 00065146525 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-515P - GUERRIERI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.95 | 0.00 | 6.95 | 80.0 | 5.56 |
| | ACCOUNT #: 00066746363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-195P - OZMINKOWSKI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 72.55 | 0.00 | 72.55 | 80.0 | 58.04 |
| | ACCOUNT #: 00066758342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - LAMANNA, DANIEL | THE GROWTH FUND OF AMERICA-A | 0.00 | 19.80 | 0.00 | 19.80 | 80.0 | 15.84 |
| | ACCOUNT #: 00066758347 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-945P - VEGA, EDWARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 26.99 | 0.00 | 26.99 | 80.0 | 21.59 |
| | ACCOUNT #: 00066758348 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - WARREN, TREVOR | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00066882360 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-185P - CAMPOMIZZI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 26.40 | 0.00 | 26.40 | 80.0 | 21.12 |
| | ACCOUNT #: 00066945211 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-115P - CAMPOMIZZI, JAX | THE GROWTH FUND OF AMERICA-A | 0.00 | 28.48 | 0.00 | 28.48 | 80.0 | 22.78 |
| | ACCOUNT #: 00066945212 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-465P - WASHINGTON, | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.14 | 0.00 | 6.14 | 80.0 | 4.91 |
| | ACCOUNT #: 00067014718 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-015P - OZMINKOWSKI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 18.47 | 0.00 | 18.47 | 80.0 | 14.78 |
| | ACCOUNT #: 00067027831 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-065P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.73 | 0.00 | 1.73 | 80.0 | 1.38 |
| | ACCOUNT #: 00067185133 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - HUGGINS, EDDISON | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| | ACCOUNT #: 00067335866 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 80.53 | 0.00 | 80.53 | 80.0 | 64.42 |
| | ACCOUNT #: 00067351603 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 39.98 | 0.00 | 39.98 | 80.0 | 31.98 |
| 09/04/2018 | ***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 27.59 | 0.00 | 27.59 | 80.0 | 22.07 |
| 09/04/2018 | ***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 17.32 | 0.00 | 17.32 | 80.0 | 13.86 |
| 09/04/2018 | ***-**-185P - WARREN, STEVEN ACCOUNT #: 00067400190 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.49 | 0.00 | 0.49 | 80.0 | 0.39 |
| 09/04/2018 | ***-**-605P - MULVANEY, CORY ACCOUNT #: 00067510163 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 2.29 | 0.00 | 2.29 | 80.0 | 1.83 |
| 09/04/2018 | ***-**-577I - HERMAN, TIMOTHY ACCOUNT #: 00067626135 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 4.80 | 0.00 | 4.80 | 80.0 | 3.84 |
| 09/04/2018 | ***-**-515P - WAGNER, RUTGER ACCOUNT #: 00067636670 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| 09/04/2018 | ***-**-13IN - CASCINO, RICHARD ACCOUNT #: 00067691118 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 09/04/2018 | ***-**-695P - CURRY, JUDY ACCOUNT #: 00067859734 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| 09/04/2018 | ***-**-545P - JOHNS, IAN ACCOUNT #: 00067863131 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 5.12 | 0.00 | 5.12 | 80.0 | 4.10 |
| 09/04/2018 | ***-**-855P - JASON, MICHAEL ACCOUNT #: 00067944415 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| 09/04/2018 | ***-**-2243 - SPENCER, JANICE ACCOUNT #: 00068185254 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 33.80 | 0.00 | 33.80 | 80.0 | 27.04 |
| 09/04/2018 | ***-**-126I - GIAMBRONE, ACCOUNT #: 00068322646 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 35.17 | 0.00 | 35.17 | 80.0 | 28.14 |
| 09/04/2018 | ***-**-495P - UTZ, TINA ACCOUNT #: 00073370225 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 09/04/2018 | ***-**-42IR - ADAMS, GRACE ACCOUNT #: 00073505114 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 2.97 | 0.00 | 2.97 | 80.0 | 2.38 |
| 09/04/2018 | ***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|--|---------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-925P - BURNS, JASON | THE GROWTH FUND OF AMERICA-A | 0.00 | 44.86 | 0.00 | 44.86 | 80.0 | 35.89 |
| | ACCOUNT #: 00073550035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-955P - ROSE, JUSTIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.55 | 0.00 | 8.55 | 80.0 | 6.84 |
| | ACCOUNT #: 00073550044 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-415P - BEAHM, NATHAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.52 | 0.00 | 4.52 | 80.0 | 3.62 |
| | ACCOUNT #: 00073550069 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-625P - BORRELLI, LOUIS | THE GROWTH FUND OF AMERICA-A | 0.00 | 36.15 | 0.00 | 36.15 | 80.0 | 28.92 |
| | ACCOUNT #: 00073550085 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-801I - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.81 | 0.00 | 8.81 | 80.0 | 7.05 |
| | ACCOUNT #: 00073753943 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-365P - SILLUZZIO, GINENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00074112090 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-425P - MEEKS, PERDITA | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00074210167 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-54IN - VISCA, ANGELO | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.96 | 0.00 | 1.96 | 80.0 | 1.57 |
| | ACCOUNT #: 00074704820 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-095P - RIVERA, MIGUEL | THE GROWTH FUND OF AMERICA-A | 0.00 | 29.67 | 0.00 | 29.67 | 80.0 | 23.74 |
| | ACCOUNT #: 00074922243 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - BROWN, GEOVANNI | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.05 | 0.00 | 3.05 | 80.0 | 2.44 |
| | ACCOUNT #: 00081157672 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995P - ARBORE, CARL | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.02 | 0.00 | 10.02 | 80.0 | 8.02 |
| | ACCOUNT #: 00081166789 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-895P - ARBORE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00081888388 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-075P - CAMPOMIZZI, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.72 | 0.00 | 8.72 | 80.0 | 6.98 |
| | ACCOUNT #: 00081920102 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-825P - GAGNIER, | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.60 | 0.00 | 3.60 | 80.0 | 2.88 |
| | ACCOUNT #: 00082149035 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-278I - GUERRIERI, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 54.64 | 0.00 | 54.64 | 80.0 | 43.71 |
| | ACCOUNT #: 00082579230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-436I - RAGO, LISA | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.16 | 0.00 | 8.16 | 80.0 | 6.53 |
| | ACCOUNT #: 00083139532 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.20 | 0.00 | 3.20 | 80.0 | 2.56 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-296I - TEUMER, | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.79 | 0.00 | 5.79 | 80.0 | 4.63 |
| | ACCOUNT #: 00083577034 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.12 | 0.00 | 4.12 | 80.0 | 3.30 |
| | ACCOUNT #: 00083798896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-97UG - GIAMBRONE, LUCIA | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.54 | 0.00 | 0.54 | 80.0 | 0.43 |
| | ACCOUNT #: 00085054008 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-291I - HAMMOND, LAURA | THE GROWTH FUND OF AMERICA-A | 0.00 | 47.10 | 0.00 | 47.10 | 80.0 | 37.68 |
| | ACCOUNT #: 00085380651 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.42 | 0.00 | 8.42 | 80.0 | 6.74 |
| | ACCOUNT #: 00086082668 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8919 - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.01 | 0.00 | 2.01 | 80.0 | 1.61 |
| | ACCOUNT #: 00086553837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-975P - COTTONE, MARIA | THE GROWTH FUND OF AMERICA-A | 0.00 | 46.89 | 0.00 | 46.89 | 80.0 | 37.51 |
| | ACCOUNT #: 00086606929 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-895P - LIBEY, ERIK | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.49 | 0.00 | 7.49 | 80.0 | 5.99 |
| | ACCOUNT #: 00086828942 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-845P - MARTHALLER, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| | ACCOUNT #: 00087023930 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 29.50 | 0.00 | 29.50 | 80.0 | 23.60 |
| | ACCOUNT #: 00087118596 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9611 - MACDUFFIE, LINDA | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.23 | 0.00 | 16.23 | 80.0 | 12.98 |
| | ACCOUNT #: 00087299599 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1788 - SYKES, DANIEL | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| | ACCOUNT #: 00087870442 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1841 - ROTOLI, CHERYL | THE GROWTH FUND OF AMERICA-A | 0.00 | 11.25 | 0.00 | 11.25 | 80.0 | 9.00 |
| | ACCOUNT #: 00087899407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.72 | 0.00 | 1.72 | 80.0 | 1.38 |
| | ACCOUNT #: 00088058999 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9535 - MITCHELL, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.85 | 0.00 | 0.85 | 80.0 | 0.68 |
| | ACCOUNT #: 00089874312 | BUY 0 Units @0.0000 | | | | | | |



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|--|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7182 - CRISPINO, DIANA | THE GROWTH FUND OF AMERICA-A | 0.00 | 106.23 | 0.00 | 106.23 | 80.0 | 84.98 |
| | ACCOUNT #: 04000864809 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3431 - SPENCER, | THE GROWTH FUND OF AMERICA-C | 0.00 | 2.78 | 0.00 | 2.78 | 80.0 | 2.22 |
| | ACCOUNT #: 04000347049 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-984I - DELUCA, SHARI | THE GROWTH FUND OF AMERICA-C | 0.00 | 26.02 | 0.00 | 26.02 | 80.0 | 20.82 |
| | ACCOUNT #: 00064868315 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-405P - FRISA, ALAN | THE GROWTH FUND OF AMERICA-C | 0.00 | 24.24 | 0.00 | 24.24 | 80.0 | 19.39 |
| | ACCOUNT #: 00066758344 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-465P - WASHINGTON, | THE GROWTH FUND OF AMERICA-C | 0.00 | 46.29 | 0.00 | 46.29 | 80.0 | 37.03 |
| | ACCOUNT #: 00067014718 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-595P - WATKINS, KALI | THE GROWTH FUND OF AMERICA-C | 0.00 | 43.32 | 0.00 | 43.32 | 80.0 | 34.66 |
| | ACCOUNT #: 00067062585 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA | THE GROWTH FUND OF AMERICA-C | 0.00 | 158.34 | 0.00 | 158.34 | 80.0 | 126.67 |
| | ACCOUNT #: 00073003754 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-620I - | THE GROWTH FUND OF AMERICA-C | 0.00 | 148.03 | 0.00 | 148.03 | 80.0 | 118.42 |
| | ACCOUNT #: 00074021540 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-85IN - CANORI, JOSEPH | THE GROWTH FUND OF AMERICA-C | 0.00 | 13.67 | 0.00 | 13.67 | 80.0 | 10.94 |
| | ACCOUNT #: 00082908831 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0693 - POWELL, KRISTIN | THE GROWTH FUND OF AMERICA-C | 0.00 | 29.97 | 0.00 | 29.97 | 80.0 | 23.98 |
| | ACCOUNT #: 00083026630 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6000 - SMITH, KENNETH | THE GROWTH FUND OF AMERICA-C | 0.00 | 6.68 | 0.00 | 6.68 | 80.0 | 5.34 |
| | ACCOUNT #: 00083081099 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4750 - OSCHMANN, MARK | THE GROWTH FUND OF AMERICA-C | 0.00 | 3.60 | 0.00 | 3.60 | 80.0 | 2.88 |
| | ACCOUNT #: 00083414110 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3757 - MALONE, KENNETH | THE GROWTH FUND OF AMERICA-C | 0.00 | 26.55 | 0.00 | 26.55 | 80.0 | 21.24 |
| | ACCOUNT #: 00083474112 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES | THE GROWTH FUND OF AMERICA-C | 0.00 | 9.13 | 0.00 | 9.13 | 80.0 | 7.30 |
| | ACCOUNT #: 00083687369 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | THE GROWTH FUND OF AMERICA-C | 0.00 | 27.61 | 0.00 | 27.61 | 80.0 | 22.09 |
| | ACCOUNT #: 00083798896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8651 - JACKSON, WILLIE | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00084058016 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-539I - JALLOH, ABDULAI | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.62 | 0.00 | 0.62 | 80.0 | 0.50 |
| | ACCOUNT #: 00084394270 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1650 - CAPPON, DOUGLAS | THE GROWTH FUND OF AMERICA-C | 0.00 | 21.71 | 0.00 | 21.71 | 80.0 | 17.37 |
| | ACCOUNT #: 00084731688 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON | THE GROWTH FUND OF AMERICA-C | 0.00 | 30.49 | 0.00 | 30.49 | 80.0 | 24.39 |
| | ACCOUNT #: 00084905937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4392 - GARCIA, MICHELLE | THE GROWTH FUND OF AMERICA-C | 0.00 | 12.04 | 0.00 | 12.04 | 80.0 | 9.63 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-135I - MORROW, JENNIFER | THE GROWTH FUND OF AMERICA-C | 0.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00085895371 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-05IN - RANDALL, ADAM | THE GROWTH FUND OF AMERICA-C | 0.00 | 35.83 | 0.00 | 35.83 | 80.0 | 28.66 |
| | ACCOUNT #: 00085941585 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9501 - SHALLENBERGER, | THE GROWTH FUND OF AMERICA-C | 0.00 | 10.32 | 0.00 | 10.32 | 80.0 | 8.26 |
| | ACCOUNT #: 00086494801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8919 - MASTROSIMONE, | THE GROWTH FUND OF AMERICA-C | 0.00 | 5.20 | 0.00 | 5.20 | 80.0 | 4.16 |
| | ACCOUNT #: 00086553837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-671I - LEGRETTE, TRICIA | THE GROWTH FUND OF AMERICA-C | 0.00 | 21.72 | 0.00 | 21.72 | 80.0 | 17.38 |
| | ACCOUNT #: 00086616175 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 0.00 | 21.20 | 0.00 | 21.20 | 80.0 | 16.96 |
| | ACCOUNT #: 00086770612 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-375P - COTTONE, CHARLES | THE GROWTH FUND OF AMERICA-C | 0.00 | 66.39 | 0.00 | 66.39 | 80.0 | 53.11 |
| | ACCOUNT #: 00086771782 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 0.00 | 3.17 | 0.00 | 3.17 | 80.0 | 2.54 |
| | ACCOUNT #: 00086981859 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-845P - MARTHALLER, | THE GROWTH FUND OF AMERICA-C | 0.00 | 6.54 | 0.00 | 6.54 | 80.0 | 5.23 |
| | ACCOUNT #: 00087023930 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 0.00 | 47.52 | 0.00 | 47.52 | 80.0 | 38.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-463I - MARRA, MICHAEL | THE GROWTH FUND OF AMERICA-C | 0.00 | 3.61 | 0.00 | 3.61 | 80.0 | 2.89 |
| | ACCOUNT #: 00087161117 | BUY 0 Units @0.0000 | | | | | | |



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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087233373 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 09/04/2018 | ***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 16.53 | 0.00 | 16.53 | 80.0 | 13.22 |
| 09/04/2018 | ***-**-3572 - GIANGRECO, ACCOUNT #: 00087777483 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 171.41 | 0.00 | 171.41 | 80.0 | 137.13 |
| 09/04/2018 | ***-**-1439 - GIANGRECO, ACCOUNT #: 00087777516 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 171.41 | 0.00 | 171.41 | 80.0 | 137.13 |
| 09/04/2018 | ***-**-1307 - BONACCI, ACCOUNT #: 00087952601 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 27.27 | 0.00 | 27.27 | 80.0 | 21.82 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00088445726 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 23.44 | 0.00 | 23.44 | 80.0 | 18.75 |
| 09/04/2018 | ***-**-9373 - CLINE, KIMBERLY ACCOUNT #: 00088773853 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 14.44 | 0.00 | 14.44 | 80.0 | 11.55 |
| 09/04/2018 | ***-**-68IN - RANDALL, JASON ACCOUNT #: 00088870923 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 5.33 | 0.00 | 5.33 | 80.0 | 4.26 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 10.89 | 0.00 | 10.89 | 80.0 | 8.71 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089055530 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089055722 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089104864 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 18.97 | 0.00 | 18.97 | 80.0 | 15.18 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089104943 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 18.97 | 0.00 | 18.97 | 80.0 | 15.18 |
| 09/04/2018 | ***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 12.38 | 0.00 | 12.38 | 80.0 | 9.90 |
| 09/04/2018 | ***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 1.03 | 0.00 | 1.03 | 80.0 | 0.82 |
| 09/04/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 1.09 | 0.00 | 1.09 | 80.0 | 0.87 |



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FREDERIC SCARPULLA
400 West Metro Park
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BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-312S - DISCH, JASMINE | THE GROWTH FUND OF AMERICA-C | 0.00 | 3.95 | 0.00 | 3.95 | 80.0 | 3.16 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 0.00 | 2.73 | 0.00 | 2.73 | 80.0 | 2.18 |
| | ACCOUNT #: 00089722785 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-50IN - BONACCI, | THE GROWTH FUND OF AMERICA-C | 0.00 | 13.91 | 0.00 | 13.91 | 80.0 | 11.13 |
| | ACCOUNT #: 00089947853 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5502 - BEACHER, BRETT | THE GROWTH FUND OF AMERICA-C | 0.00 | 18.23 | 0.00 | 18.23 | 80.0 | 14.58 |
| | ACCOUNT #: 04000017020 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - SCISM, KYLE | THE GROWTH FUND OF AMERICA-C | 0.00 | 1.67 | 0.00 | 1.67 | 80.0 | 1.34 |
| | ACCOUNT #: 04000017039 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5225 - BOWERMAN, DAWN | THE GROWTH FUND OF AMERICA-C | 0.00 | 1.18 | 0.00 | 1.18 | 80.0 | 0.94 |
| | ACCOUNT #: 04000089664 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7186 - VELLA, DOMENICO | THE GROWTH FUND OF AMERICA-C | 0.00 | 2.09 | 0.00 | 2.09 | 80.0 | 1.67 |
| | ACCOUNT #: 04000248474 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2678 - VELLA, VINCENZO | THE GROWTH FUND OF AMERICA-C | 0.00 | 2.09 | 0.00 | 2.09 | 80.0 | 1.67 |
| | ACCOUNT #: 04000248491 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5703 - DIODATO, | THE GROWTH FUND OF AMERICA-C | 0.00 | 468.49 | 0.00 | 468.49 | 80.0 | 374.79 |
| | ACCOUNT #: 04000262459 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6260 - ZIOTO, ANDREW | THE GROWTH FUND OF AMERICA-C | 0.00 | 4.44 | 0.00 | 4.44 | 80.0 | 3.55 |
| | ACCOUNT #: 04000324997 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3727 - D'ANGELO, ANDREW | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 04000409699 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8208 - KARNS, SHANNON | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 04000409714 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1955 - MARCELLUS, JAMES | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.07 | 0.00 | 0.07 | 80.0 | 0.06 |
| | ACCOUNT #: 04000409718 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9004 - MARCELLUS, | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.12 | 0.00 | 0.12 | 80.0 | 0.10 |
| | ACCOUNT #: 04000409785 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6171 - ROWE, KEVIN | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 04000409801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9004 - MARCELLUS, | THE GROWTH FUND OF AMERICA-C | 0.00 | 5.97 | 0.00 | 5.97 | 80.0 | 4.78 |
| | ACCOUNT #: 04000414551 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6171 - ROWE, KEVIN | THE GROWTH FUND OF AMERICA-C | 0.00 | 8.55 | 0.00 | 8.55 | 80.0 | 6.84 |
| | ACCOUNT #: 04000414580 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3758 - CONNORS, DAVID | THE GROWTH FUND OF AMERICA-C | 0.00 | 41.38 | 0.00 | 41.38 | 80.0 | 33.10 |
| | ACCOUNT #: 04000430331 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0742 - D'ERCOLE, | THE GROWTH FUND OF AMERICA-C | 0.00 | 1.54 | 0.00 | 1.54 | 80.0 | 1.23 |
| | ACCOUNT #: 04000473339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1764 - HUGHES, KATHLEEN | THE GROWTH FUND OF AMERICA-C | 0.00 | 119.11 | 0.00 | 119.11 | 80.0 | 95.29 |
| | ACCOUNT #: 04000998901 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8776 - LEVINE, TODD | THE INCOME FUND OF AMERICA-A | 0.00 | 33.18 | 0.00 | 33.18 | 80.0 | 26.54 |
| | ACCOUNT #: 00067996697 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CRISPINO/, DAVID | THE INCOME FUND OF AMERICA-A | 0.00 | 256.31 | 0.00 | 256.31 | 80.0 | 205.05 |
| | ACCOUNT #: 00059085342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-474I - ADDY, MICHELLE | THE INCOME FUND OF AMERICA-A | 0.00 | 12.86 | 0.00 | 12.86 | 80.0 | 10.29 |
| | ACCOUNT #: 00059509617 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-97IN - WAGNER, PAUL | THE INCOME FUND OF AMERICA-A | 0.00 | 14.80 | 0.00 | 14.80 | 80.0 | 11.84 |
| | ACCOUNT #: 00062900111 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-515P - GUERRIERI, | THE INCOME FUND OF AMERICA-A | 0.00 | 6.22 | 0.00 | 6.22 | 80.0 | 4.98 |
| | ACCOUNT #: 00066746363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - HUGGINS, EDDISON | THE INCOME FUND OF AMERICA-A | 0.00 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00067335866 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-685P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 0.00 | 44.03 | 0.00 | 44.03 | 80.0 | 35.22 |
| | ACCOUNT #: 00067400188 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - MASTROSIMONE, | THE INCOME FUND OF AMERICA-A | 0.00 | 58.47 | 0.00 | 58.47 | 80.0 | 46.78 |
| | ACCOUNT #: 00067436327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - PADULA, ANN | THE INCOME FUND OF AMERICA-A | 0.00 | 15.53 | 0.00 | 15.53 | 80.0 | 12.42 |
| | ACCOUNT #: 00068229865 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE | THE INCOME FUND OF AMERICA-A | 0.00 | 61.61 | 0.00 | 61.61 | 80.0 | 49.29 |
| | ACCOUNT #: 00068622801 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-115P - MACDUFFIE, LINDA | THE INCOME FUND OF AMERICA-A | 0.00 | 22.29 | 0.00 | 22.29 | 80.0 | 17.83 |
| | ACCOUNT #: 00074048292 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IN - LEWIS, SUE | THE INCOME FUND OF AMERICA-A | 0.00 | 14.36 | 0.00 | 14.36 | 80.0 | 11.49 |
| | ACCOUNT #: 00084396204 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086084236 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 9.42 | 0.00 | 9.42 | 80.0 | 7.54 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00088058999 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.36 | 0.00 | 1.36 | 80.0 | 1.09 |
| 09/04/2018 | ***-**-3758 - CONNORS, DAVID ACCOUNT #: 00089033223 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 6.81 | 0.00 | 6.81 | 80.0 | 5.45 |
| 09/04/2018 | ***-**-5670 - CONNORS, ACCOUNT #: 00089167547 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 10.77 | 0.00 | 10.77 | 80.0 | 8.62 |
| 09/04/2018 | ***-**-2979 - AIMOLA, ADRIANO ACCOUNT #: 00089367879 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.44 | 0.00 | 0.44 | 80.0 | 0.35 |
| 09/04/2018 | ***-**-7935 - BOERMAN, HAROLD ACCOUNT #: 04000151961 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 11.26 | 0.00 | 11.26 | 80.0 | 9.01 |
| 09/04/2018 | ***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 2.72 | 0.00 | 2.72 | 80.0 | 2.18 |
| 09/04/2018 | ***-**-5912 - BELLAVIA, ACCOUNT #: 04000971773 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 11.51 | 0.00 | 11.51 | 80.0 | 9.21 |
| 09/04/2018 | ***-**-862I - BOAS, JOHANNA ACCOUNT #: 00069029472 | THE INCOME FUND OF AMERICA-F1 BUY 0 Units @0.0000 | 0.00 | 2.79 | 0.00 | 2.79 | 80.0 | 2.23 |
| 09/04/2018 | ***-**-265P - SMITH, WILLIAM ACCOUNT #: 00073715215 | THE INCOME FUND OF AMERICA-F1 BUY 0 Units @0.0000 | 0.00 | 10.27 | 0.00 | 10.27 | 80.0 | 8.22 |
| 09/04/2018 | ***-**-214I - RUSH, ROBERT ACCOUNT #: 00075148251 | THE INCOME FUND OF AMERICA-F1 BUY 0 Units @0.0000 | 0.00 | 11.11 | 0.00 | 11.11 | 80.0 | 8.89 |
| 09/04/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00074389946 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 0 Units @0.0000 | 0.00 | 1.30 | 0.00 | 1.30 | 80.0 | 1.04 |
| 09/04/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00074389959 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 0 Units @0.0000 | 0.00 | 0.93 | 0.00 | 0.93 | 80.0 | 0.74 |
| 09/04/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00074389969 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 0 Units @0.0000 | 0.00 | 3.07 | 0.00 | 3.07 | 80.0 | 2.46 |
| 09/04/2018 | ***-**-8776 - LEVINE, TODD ACCOUNT #: 00066829688 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 5.79 | 0.00 | 5.79 | 80.0 | 4.63 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-88IN - ALLETTO, ALFONSO | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.36 | 0.00 | 7.36 | 80.0 | 5.89 |
| | ACCOUNT #: 00057171072 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-18IN - RIEDEL, JAMES | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 9.64 | 0.00 | 9.64 | 80.0 | 7.71 |
| | ACCOUNT #: 00058934237 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-76IN - CRISPINO, JULIE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3,119.03 | 0.00 | 3,119.03 | 80.0 | 2,495.22 |
| | ACCOUNT #: 00059092005 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-318I - RIEDEL, JAMES | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.15 | 0.00 | 7.15 | 80.0 | 5.72 |
| | ACCOUNT #: 00059148109 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-160I - HEBERGER, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 63.12 | 0.00 | 63.12 | 80.0 | 50.50 |
| | ACCOUNT #: 00059221008 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-701I - MCSHANE, THOMAS | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.36 | 0.00 | 7.36 | 80.0 | 5.89 |
| | ACCOUNT #: 00059264717 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-543I - MCSHANE, ELAINE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 19.20 | 0.00 | 19.20 | 80.0 | 15.36 |
| | ACCOUNT #: 00059264718 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-99IN - ARBORE, CARL | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 16.96 | 0.00 | 16.96 | 80.0 | 13.57 |
| | ACCOUNT #: 00059377247 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-474I - ADDY, MICHELLE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 14.91 | 0.00 | 14.91 | 80.0 | 11.93 |
| | ACCOUNT #: 00059509617 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-395P - DIVASTA, ANTHONY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 13.07 | 0.00 | 13.07 | 80.0 | 10.46 |
| | ACCOUNT #: 00059597416 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-062I - CRISPINO, DIANE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 44.40 | 0.00 | 44.40 | 80.0 | 35.52 |
| | ACCOUNT #: 00059659617 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-395P - DREXLER, ROBERT | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.08 | 0.00 | 1.08 | 80.0 | 0.86 |
| | ACCOUNT #: 00060009689 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-50IN - BONACCI, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 4.79 | 0.00 | 4.79 | 80.0 | 3.83 |
| | ACCOUNT #: 00060494752 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-837I - PADULA, JOHN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 15.34 | 0.00 | 15.34 | 80.0 | 12.27 |
| | ACCOUNT #: 00060627928 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-675I - QUARANTO, PAUL | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.07 | 0.00 | 3.07 | 80.0 | 2.46 |
| | ACCOUNT #: 00060720715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-984I - QUARANTO, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.24 | 0.00 | 3.24 | 80.0 | 2.59 |
| | ACCOUNT #: 00061233835 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-285P - GALLETTS, BARBARA | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 5.48 | 0.00 | 5.48 | 80.0 | 4.38 |
| | ACCOUNT #: 00062124529 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - GALLETTS, TIMOTHY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.29 | 0.00 | 3.29 | 80.0 | 2.63 |
| | ACCOUNT #: 00062162110 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-565P - VISCA, STACY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 2.52 | 0.00 | 2.52 | 80.0 | 2.02 |
| | ACCOUNT #: 00062374424 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-545P - VISCA, ANGELO | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 15.06 | 0.00 | 15.06 | 80.0 | 12.05 |
| | ACCOUNT #: 00063056262 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-46UG - ALLETTA, JESSICA | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 4.73 | 0.00 | 4.73 | 80.0 | 3.78 |
| | ACCOUNT #: 00063773936 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-515P - GUERRIERI, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 17.47 | 0.00 | 17.47 | 80.0 | 13.98 |
| | ACCOUNT #: 00066746363 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-195P - OZMINKOWSKI, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 63.99 | 0.00 | 63.99 | 80.0 | 51.19 |
| | ACCOUNT #: 00066758342 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 22.99 | 0.00 | 22.99 | 80.0 | 18.39 |
| | ACCOUNT #: 00066758348 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - LAMANNA, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.51 | 0.00 | 0.51 | 80.0 | 0.41 |
| | ACCOUNT #: 00066898425 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - HUGGINS, EDDISON | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.71 | 0.00 | 1.71 | 80.0 | 1.37 |
| | ACCOUNT #: 00067335866 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-577I - HERMAN, TIMOTHY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.46 | 0.00 | 3.46 | 80.0 | 2.77 |
| | ACCOUNT #: 00067626135 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-445P - PIAZZA, ANNIE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 2.73 | 0.00 | 2.73 | 80.0 | 2.18 |
| | ACCOUNT #: 00067750908 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2243 - SPENCER, JANICE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 33.77 | 0.00 | 33.77 | 80.0 | 27.02 |
| | ACCOUNT #: 00068185254 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-535P - ARBORE, TAYLER | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00068832852 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 11.53 | 0.00 | 11.53 | 80.0 | 9.22 |
| | ACCOUNT #: 00073798048 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-54IN - VISCA, ANGELO | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.18 | 0.00 | 3.18 | 80.0 | 2.54 |
| | ACCOUNT #: 00074704820 | BUY 0 Units @0.0000 | | | | | | |



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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-605P - ARBORE, ALESSA ACCOUNT #: 00081283934 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| 09/04/2018 | ***-**-291I - HAMMOND, LAURA ACCOUNT #: 00085380651 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 54.79 | 0.00 | 54.79 | 80.0 | 43.83 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086084236 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 44.00 | 0.00 | 44.00 | 80.0 | 35.20 |
| 09/04/2018 | ***-**-455P - RAAB, JOHN ACCOUNT #: 00086788038 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 6.08 | 0.00 | 6.08 | 80.0 | 4.86 |
| 09/04/2018 | ***-**-135P - RAAB, NATALIE ACCOUNT #: 00086788848 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 6.26 | 0.00 | 6.26 | 80.0 | 5.01 |
| 09/04/2018 | ***-**-44IN - RAAB, TAMMY ACCOUNT #: 00086789318 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 6.08 | 0.00 | 6.08 | 80.0 | 4.86 |
| 09/04/2018 | ***-**-385P - SIMMONS, MEGAN ACCOUNT #: 00087273922 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 4.38 | 0.00 | 4.38 | 80.0 | 3.50 |
| 09/04/2018 | ***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00088058999 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.50 | 0.00 | 1.50 | 80.0 | 1.20 |
| 09/04/2018 | ***-**-3758 - CONNORS, DAVID ACCOUNT #: 00089033223 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 7.85 | 0.00 | 7.85 | 80.0 | 6.28 |
| 09/04/2018 | ***-**-5670 - CONNORS, ACCOUNT #: 00089167547 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 14.01 | 0.00 | 14.01 | 80.0 | 11.21 |
| 09/04/2018 | ***-**-54IN - VISCA, ANGELO ACCOUNT #: 00166067563 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 5.31 | 0.00 | 5.31 | 80.0 | 4.25 |
| 09/04/2018 | ***-**-7268 - DIVASTA, ANTHONY ACCOUNT #: 04000255152 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 9.03 | 0.00 | 9.03 | 80.0 | 7.22 |
| 09/04/2018 | ***-**-635P - RAAB, SARAH ACCOUNT #: 04000424268 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 6.91 | 0.00 | 6.91 | 80.0 | 5.53 |
| 09/04/2018 | ***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 3.91 | 0.00 | 3.91 | 80.0 | 3.13 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | THE INVESTMENT COMPANY OF AMERICA-F BUY 0 Units @0.0000 | 0.00 | 0.56 | 0.00 | 0.56 | 80.0 | 0.45 |



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BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-155P - MANCZYK, SANDRA | THE INVESTMENT COMPANY OF AMERICA-F | 0.00 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00068561804 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - REYES, ISAIH | THE INVESTMENT COMPANY OF AMERICA-F | 0.00 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| | ACCOUNT #: 00069016230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-675I - QUARANTO, PAUL | THE NEW ECONOMY FUND-A | 0.00 | 2.10 | 0.00 | 2.10 | 80.0 | 1.68 |
| | ACCOUNT #: 00060720715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-984I - QUARANTO, | THE NEW ECONOMY FUND-A | 0.00 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| | ACCOUNT #: 00061233835 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-385P - BONACCI, | THE NEW ECONOMY FUND-A | 0.00 | 3.46 | 0.00 | 3.46 | 80.0 | 2.77 |
| | ACCOUNT #: 00064219744 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-405P - FRISA, ALAN | THE NEW ECONOMY FUND-A | 0.00 | 1.93 | 0.00 | 1.93 | 80.0 | 1.54 |
| | ACCOUNT #: 00066758344 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-275P - LAMANNA, DANIEL | THE NEW ECONOMY FUND-A | 0.00 | 1.04 | 0.00 | 1.04 | 80.0 | 0.83 |
| | ACCOUNT #: 00066898425 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2243 - SPENCER, JANICE | THE NEW ECONOMY FUND-A | 0.00 | 13.57 | 0.00 | 13.57 | 80.0 | 10.86 |
| | ACCOUNT #: 00068185254 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL | THE NEW ECONOMY FUND-A | 0.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00085791891 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-925P - CRISPINO, JOSEPH | THE NEW ECONOMY FUND-A | 0.00 | 121.56 | 0.00 | 121.56 | 80.0 | 97.25 |
| | ACCOUNT #: 00089032090 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2979 - AIMOLA, ADRIANO | THE NEW ECONOMY FUND-A | 0.00 | 1.04 | 0.00 | 1.04 | 80.0 | 0.83 |
| | ACCOUNT #: 00089367879 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2638 - DIAK, APRIL | THE NEW ECONOMY FUND-C | 0.00 | 22.12 | 0.00 | 22.12 | 80.0 | 17.70 |
| | ACCOUNT #: 00085791891 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9024 - HACKETT, ETHAN | THE NEW ECONOMY FUND-C | 0.00 | 28.05 | 0.00 | 28.05 | 80.0 | 22.44 |
| | ACCOUNT #: 00088123299 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | THE NEW ECONOMY FUND-C | 0.00 | 19.47 | 0.00 | 19.47 | 80.0 | 15.58 |
| | ACCOUNT #: 00089104864 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-98IN - WASHINGTON, | THE TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 25.22 | 0.00 | 25.22 | 80.0 | 20.18 |
| | ACCOUNT #: 00066908385 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-278J - GUERRIERI, JOHN | THE TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 310.46 | 0.00 | 310.46 | 80.0 | 248.37 |
| | ACCOUNT #: 00084395514 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-897J - GUERRIERI, ACCOUNT #: 00084493231 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 239.62 | 0.00 | 239.62 | 80.0 | 191.70 |
| 09/04/2018 | ***-**-935J - RUSH, DONNA ACCOUNT #: 00085296459 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 121.19 | 0.00 | 121.19 | 80.0 | 96.95 |
| 09/04/2018 | ***-**-44UG - GUERRIERI, ACCOUNT #: 00085399145 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 47.27 | 0.00 | 47.27 | 80.0 | 37.82 |
| 09/04/2018 | ***-**-51IN - GUERRIERI, KIM ACCOUNT #: 00085399151 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 89.09 | 0.00 | 89.09 | 80.0 | 71.27 |
| 09/04/2018 | ***-**-75UG - GUERRIERI, SOFIA ACCOUNT #: 00085399166 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 58.85 | 0.00 | 58.85 | 80.0 | 47.08 |
| 09/04/2018 | ***-**-279J - HERRMANN, KEVIN ACCOUNT #: 00086046167 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 74.13 | 0.00 | 74.13 | 80.0 | 59.30 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086084236 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 147.66 | 0.00 | 147.66 | 80.0 | 118.13 |
| 09/04/2018 | ***-**-671I - LEGRETTE, TRICIA ACCOUNT #: 00086592195 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 0.74 | 0.00 | 0.74 | 80.0 | 0.59 |
| 09/04/2018 | ***-**-503J - OBI, JEAN ACCOUNT #: 00086612257 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 249.48 | 0.00 | 249.48 | 80.0 | 199.58 |
| 09/04/2018 | ***-**-3425 - DOROFY, ROSALBA ACCOUNT #: 00087191252 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 25.34 | 0.00 | 25.34 | 80.0 | 20.27 |
| 09/04/2018 | ***-**-98IN - WASHINGTON, ACCOUNT #: 00066908385 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 27.21 | 0.00 | 27.21 | 80.0 | 21.77 |
| 09/04/2018 | ***-**-46IN - WASHINGTON, ACCOUNT #: 00068293525 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 1.58 | 0.00 | 1.58 | 80.0 | 1.26 |
| 09/04/2018 | ***-**-222J - WAGNER, PAUL ACCOUNT #: 00082698727 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 6.34 | 0.00 | 6.34 | 80.0 | 5.07 |
| 09/04/2018 | ***-**-937J - MAMMANO, LUISA ACCOUNT #: 00083403056 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000 | 0.00 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| 09/04/2018 | ***-**-76IN - CRISPINO, JULIE ACCOUNT #: 00059092005 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 462.39 | 0.00 | 462.39 | 80.0 | 369.91 |
| 09/04/2018 | ***-**-07IN - SCARPULLA, ACCOUNT #: 00059467672 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 0.32 | 0.00 | 0.32 | 80.0 | 0.26 |



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|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-09IN - ARBORE, ACCOUNT #: 00063715252 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 26.53 | 0.00 | 26.53 | 80.0 | 21.22 |
| 09/04/2018 | ***-**-825P - WARREN, TREVOR ACCOUNT #: 00066882360 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 09/04/2018 | ***-**-305P - BROWN, GEOVANNI ACCOUNT #: 00081157672 | U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @0.0000 | 0.00 | 9.29 | 0.00 | 9.29 | 80.0 | 7.43 |
| 09/04/2018 | ***-**-595I - MUSCATE, SHERRY ACCOUNT #: 00084455861 | U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @0.0000 | 0.00 | 69.27 | 0.00 | 69.27 | 80.0 | 55.42 |
| 09/04/2018 | ***-**-63IN - DIAMOND, ANN ACCOUNT #: 00085468057 | U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @0.0000 | 0.00 | 13.61 | 0.00 | 13.61 | 80.0 | 10.89 |
| 09/04/2018 | ***-**-557I - YARID, CHARLES ACCOUNT #: 00086494799 | U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @0.0000 | 0.00 | 2.63 | 0.00 | 2.63 | 80.0 | 2.10 |
| 09/04/2018 | ***-**-526I - CAVALLARO, ACCOUNT #: 00067409966 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 09/04/2018 | ***-**-145P - SCARPULLA, ACCOUNT #: 00058728614 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 90.60 | 0.00 | 90.60 | 80.0 | 72.48 |
| 09/04/2018 | ***-**-765P - CRISPINO/, DAVID ACCOUNT #: 00059085342 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 299.14 | 0.00 | 299.14 | 80.0 | 239.31 |
| 09/04/2018 | ***-**-97IN - WAGNER, PAUL ACCOUNT #: 00062900111 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 18.13 | 0.00 | 18.13 | 80.0 | 14.50 |
| 09/04/2018 | ***-**-405P - CARTONIA, ACCOUNT #: 00063002661 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 2.33 | 0.00 | 2.33 | 80.0 | 1.86 |
| 09/04/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 10.21 | 0.00 | 10.21 | 80.0 | 8.17 |
| 09/04/2018 | ***-**-385P - BONACCI, ACCOUNT #: 00063160662 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 30.20 | 0.00 | 30.20 | 80.0 | 24.16 |
| 09/04/2018 | ***-**-255P - VELKY, JOHN ACCOUNT #: 00063204896 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 09/04/2018 | ***-**-00IN - DIVASTA, JANINE ACCOUNT #: 00063669925 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 8.01 | 0.00 | 8.01 | 80.0 | 6.41 |
| 09/04/2018 | ***-**-40IN - ARBORE, MARIA ACCOUNT #: 00063715251 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-09IN - ARBORE, ACCOUNT #: 00063715252 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 12.85 | 0.00 | 12.85 | 80.0 | 10.28 |
| 09/04/2018 | ***-**-739I - BONACCI, ACCOUNT #: 00063790194 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 4.29 | 0.00 | 4.29 | 80.0 | 3.43 |
| 09/04/2018 | ***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 6.82 | 0.00 | 6.82 | 80.0 | 5.46 |
| 09/04/2018 | ***-**-515P - GUERRIERI, ACCOUNT #: 00066746363 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 6.01 | 0.00 | 6.01 | 80.0 | 4.81 |
| 09/04/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 4.10 | 0.00 | 4.10 | 80.0 | 3.28 |
| 09/04/2018 | ***-**-065P - MASTROSIMONE, ACCOUNT #: 00067185133 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 1.12 | 0.00 | 1.12 | 80.0 | 0.90 |
| 09/04/2018 | ***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 72.22 | 0.00 | 72.22 | 80.0 | 57.78 |
| 09/04/2018 | ***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 35.90 | 0.00 | 35.90 | 80.0 | 28.72 |
| 09/04/2018 | ***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 51.55 | 0.00 | 51.55 | 80.0 | 41.24 |
| 09/04/2018 | ***-**-185P - WARREN, STEVEN ACCOUNT #: 00067400190 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| 09/04/2018 | ***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 68.75 | 0.00 | 68.75 | 80.0 | 55.00 |
| 09/04/2018 | ***-**-1540 - COTA, GRETCHEN ACCOUNT #: 00068099713 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 2.37 | 0.00 | 2.37 | 80.0 | 1.90 |
| 09/04/2018 | ***-**-062P - CRISPINO, DIANE ACCOUNT #: 00068622801 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 72.39 | 0.00 | 72.39 | 80.0 | 57.91 |
| 09/04/2018 | ***-**-305P - MESH, RONALD ACCOUNT #: 00074720484 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 6.76 | 0.00 | 6.76 | 80.0 | 5.41 |
| 09/04/2018 | ***-**-296I - TEUMER, ACCOUNT #: 00083577034 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 7.59 | 0.00 | 7.59 | 80.0 | 6.07 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086084236 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 11.24 | 0.00 | 11.24 | 80.0 | 8.99 |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087118596 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 22.14 | 0.00 | 22.14 | 80.0 | 17.71 |
| 09/04/2018 | ***-**-1841 - ROTOLI, CHERYL ACCOUNT #: 00087899407 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 20.33 | 0.00 | 20.33 | 80.0 | 16.26 |
| 09/04/2018 | ***-**-925P - CRISPINO, JOSEPH ACCOUNT #: 00089032090 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 109.91 | 0.00 | 109.91 | 80.0 | 87.93 |
| 09/04/2018 | ***-**-7935 - BOERMAN, HAROLD ACCOUNT #: 04000151961 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 16.33 | 0.00 | 16.33 | 80.0 | 13.06 |
| 09/04/2018 | ***-**-7182 - CRISPINO, DIANA ACCOUNT #: 04000864809 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 103.22 | 0.00 | 103.22 | 80.0 | 82.58 |
| 09/04/2018 | ***-**-5912 - BELLAVIA, ACCOUNT #: 04000971773 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 5.26 | 0.00 | 5.26 | 80.0 | 4.21 |
| 09/04/2018 | ***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 23.54 | 0.00 | 23.54 | 80.0 | 18.83 |
| 09/04/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 153.87 | 0.00 | 153.87 | 80.0 | 123.10 |
| 09/04/2018 | ***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 5.22 | 0.00 | 5.22 | 80.0 | 4.18 |
| 09/04/2018 | ***-**-305P - MESH, RONALD ACCOUNT #: 00074720484 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 118.40 | 0.00 | 118.40 | 80.0 | 94.72 |
| 09/04/2018 | ***-**-435P - MOORE, SARA ACCOUNT #: 00081602977 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 0.64 | 0.00 | 0.64 | 80.0 | 0.51 |
| 09/04/2018 | ***-**-0693 - POWELL, KRISTIN ACCOUNT #: 00083026630 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 83.19 | 0.00 | 83.19 | 80.0 | 66.55 |
| 09/04/2018 | ***-**-673I - TEATOR, JAMES ACCOUNT #: 00083687369 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 19.98 | 0.00 | 19.98 | 80.0 | 15.98 |
| 09/04/2018 | ***-**-595I - MUSCATE, SHERRY ACCOUNT #: 00084455861 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 20.03 | 0.00 | 20.03 | 80.0 | 16.02 |
| 09/04/2018 | ***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 28.66 | 0.00 | 28.66 | 80.0 | 22.93 |
| 09/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00086082668 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @0.0000 | 0.00 | 138.34 | 0.00 | 138.34 | 80.0 | 110.67 |



COMMISSION STATEMENT

September 17, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-375P - COTTONE, CHARLES | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 56.57 | 0.00 | 56.57 | 80.0 | 45.26 |
| | ACCOUNT #: 00086771782 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-54IN - FANTAUZZO, EVA | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 1.07 | 0.00 | 1.07 | 80.0 | 0.86 |
| | ACCOUNT #: 00087406469 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-96CL - SCARPULLA, | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 20.74 | 0.00 | 20.74 | 80.0 | 16.59 |
| | ACCOUNT #: 00088445726 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9373 - CLINE, KIMBERLY | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 12.30 | 0.00 | 12.30 | 80.0 | 9.84 |
| | ACCOUNT #: 00088773853 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-482I - MARCONI, TODD | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-63IN - DIAMOND, ANN | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 37.80 | 0.00 | 37.80 | 80.0 | 30.24 |
| | ACCOUNT #: 00089876011 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2825 - SPERANZA, JOHN | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 4.72 | 0.00 | 4.72 | 80.0 | 3.78 |
| | ACCOUNT #: 00089910177 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-255P - VELKY, JOHN | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 12.19 | 0.00 | 12.19 | 80.0 | 9.75 |
| | ACCOUNT #: 04000414756 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-436I - RAGO, LISA | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| | ACCOUNT #: 04000456708 | BUY 0 Units @0.0000 | | | | | | |
| 09/06/2018 | ***-**-27IN - TINKLEPAUGH, | WELLS FGO AVTG DIVERSFD CAPITAL BLD | 0.00 | 1.17 | 0.00 | 1.17 | 80.0 | 0.94 |
| | ACCOUNT #: 01005412974 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$34,312.08 | \$0.00 | \$34,312.08 | | \$27,449.69 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$30,894.71 | \$34,648.55 | \$0.00 | \$34,648.55 | | \$27,718.69 |

SPLIT TRANSACTIONS

BROKER: FREDERIC SCARPULLA

| | | | | | | | | |
|---|------------------------------|-----------------------------|---------------|-----------------|---------------|-----------------|------|-----------------|
| 09/04/2018 | ***-**-7989 - SMITH, MICHAEL | AMERICAN BALANCED FUND-529C | 0.00 | 126.80 | 0.00 | 126.80 | 80.0 | 101.44 |
| | ACCOUNT #: 00084869153 | BUY 0 Units @0.0000 | | | | | | |
| * FREDERIC SCARPULLA SUBTOTAL * | | | \$0.00 | \$126.80 | \$0.00 | \$126.80 | | \$101.44 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$0.00 | \$126.80 | \$0.00 | \$126.80 | | \$101.44 |



BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| BROKER COMMISSION TOTALS | | | | | | | | |
| | | | | | | Broker Transactions | | \$27,718.69 |
| | | | | | | Split Transactions | | \$101.44 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$27,820.13 |
| | | | | | | Year-to-date Earnings | | \$172,630.05 |