

# COMMISSION STATEMENT November 30, 2018

 KENNETH MARX-D376  
 2419 GENESEO OAKS

 BROKER #: D376  
 BRANCH: DMN

NEW BRAUNFELS, TX 78132

COMMISSION STATEMENT for KENNETH MARX-D376

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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## DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/15/2018 (None)	VARIOUS, MARX-D376	MF HARTFORD MUTUAL FUIND	B	200.00	8.00	0.00	8.00	70.0	5.60
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$8.00	\$0.00	\$8.00		\$5.60

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None)	VARIOUS, MARX-D376	MFT HARTFORD MUTUAL FUIND	B	0.00	16.85	0.00	16.85	70.0	11.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$16.85	\$0.00	\$16.85		\$11.80
*** DIRECT TRANSACTIONS TOTAL ***				\$200.00	\$24.85	\$0.00	\$24.85		\$17.40

## ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$17.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-81.77
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-219.37</b>
<b>Year-to-date Commissions</b>	<b>\$2,784.98</b>