12/10/2018

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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

November 30, 2018

WILLIAM SAAS

32 William Street

Wallingford, CT 06492

BROKER #: 91

BRANCH: FULCRUM GROUP

| COMMISSION STATEMENT f | Eor | WILLIAM | SAAS |
|------------------------|-----|---------|------|
|------------------------|-----|---------|------|

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISS | | RATE | BROKER COMMISSION |
|------------|---|-------------------------------|----------|----------------------|---------------------|---|----------------|--------|--------|----------------------|
| | | <u> </u> | ADJUSTME | NTS | | | | | | |
| 11/30/2018 | | E & O INSURANCE: E & O INSURA | ANCE | 0.00 | 0.00 | 0.00 | | 0.00 | | -250.00 |
| 11/30/2018 | | OTHER: RBC- WEALTH STATION | | 0.00 | 0.00 | 0.00 0.00 | | | -25.00 | |
| 11/30/2018 | | OTHER: FOXTROT | | 0.00 0.00 | | 0.00 | 0.00 | | | -20.00 |
| 11/30/2018 | | OTHER: OFFICE 360 | | 0.00 | 0.00 | 0.00 | | 0.00 | | -8.00 |
| | | *** ADJUSTMENTS TO | OTAL *** | \$0.00 | \$0.00 | \$0.00 | | \$0.00 | | \$-303.00 |
| | | | | | | Ī | BROKER | COMMI | SSION | TOTALS |
| | | | | | | Broke | r Transa | ctions | | \$0.00 |
| | | | | | | Split Transactions Override Transactions | | | | \$0.00 |
| | | | | | | | | | | \$0.00 |
| | | | | | | | Adjus | tments | | \$-303.00 |
| | | | | | | | Payrol: | l Draw | | \$0.00 |
| | | | | | | | Base : | Salary | | \$0.00 |
| | | | | | | Prior | Period B | alance | | \$-964.00 |
| | | | | | | FI | NRA Asse | ssment | | \$0.00 |
| | | | | | | S | IPC Asse | ssment | | \$0.00 |
| | Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance | | | | | | alance F | orward | | \$-1,267.00 |
| | | | | | | Year-to | -date Ea: | rnings | | \$57,258.26 |