



# COMMISSION STATEMENT

November 12, 2018

**TIMOTHY STATES**  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/05/2018	***-**-549I - WHITE, WILLIAM	THE GROWTH FUND OF AMERICA-A	9,999.98	500.00	18.00	482.00	75.0	361.50
	ACCOUNT #: LSR010690	BUY 181 Units @55.1300						
11/05/2018	***-**-826I - CLARK, FBO MS	WASHINGTON MUTUAL INVESTORS FUND-A	15,000.02	562.50	18.00	544.50	75.0	408.38
	ACCOUNT #: LSR010588	BUY 318 Units @47.1300						
11/06/2018	***-**-1950 - CARTER, IRIS	WASHINGTON MUTUAL INVESTORS FUND-A	10,000.00	375.00	18.00	357.00	75.0	267.75
	ACCOUNT #: LSR012687	BUY 211 Units @47.4100						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$35,000.00</b>	<b>\$1,437.50</b>	<b>\$54.00</b>	<b>\$1,383.50</b>		<b>\$1,037.63</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/06/2018	***-**-837I - LEWIS, FBO V	CANOPY GROWTH CORPORATION COM NPV I	8,409.60	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010565	BUY 200 Units @42.0480						
11/06/2018	***-**-1950 - CARTER, IRIS	CANOPY GROWTH CORPORATION COM NPV I	8,522.20	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR012687	BUY 200 Units @42.6110						
11/02/2018	***-**-66IN - STATES, MR	CISCO SYSTEMS INC	4,922.00	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000281	SELL 107 Units @46.0000						
11/05/2018	***-**-549I - WHITE, WILLIAM	CONOCOPHILLIPS UNSOLICITED ORDER	10,445.12	150.00	18.02	131.98	75.0	98.99
	ACCOUNT #: LSR010690	SELL 151 Units @69.1730						
11/07/2018	***-**-66IN - STATES, MR	CRONOS GROUP INC COM NPV ISIN #CA22	1,846.00	10.00	10.99	-0.99	100.0	-0.99
	ACCOUNT #: LSF000313	BUY 200 Units @9.2300						
11/06/2018	***-**-407I - LEWIS, FBO	FACEBOOK INC COM USD0.000006 CL A	7,503.60	140.00	16.00	124.00	75.0	93.00
	ACCOUNT #: LSR010564	BUY 50 Units @150.0720						
11/06/2018	***-**-837I - LEWIS, FBO V	FACEBOOK INC COM USD0.000006 CL A	7,525.25	140.00	16.00	124.00	75.0	93.00
	ACCOUNT #: LSR010565	BUY 50 Units @150.5050						
11/05/2018	***-**-100I - BRITT, FBO RUTH	MICROSOFT CORP UNSOLICITED ORDER EX	9,238.72	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010568	BUY 86 Units @107.4270						
11/07/2018	***-**-66IN - STATES, MR	MICROSOFT CORP UNSOLICITED ORDER EX	1,764.56	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000313	SELL 16 Units @110.2850						
11/02/2018	***-**-66IN - STATES, MR	UNDER ARMOUR INC COM STK USD0.00033	4,885.15	10.00	11.04	-1.04	100.0	-1.04
	ACCOUNT #: LSF000281	BUY 205 Units @23.8300						
11/05/2018	***-**-100I - BRITT, FBO RUTH	XPO LOGISTICS INC COM USD0.001	9,356.93	140.00	17.20	122.80	75.0	92.10
	ACCOUNT #: LSR010568	SELL 110 Units @85.0630						
11/05/2018	***-**-826I - CLARK, FBO MS	XPO LOGISTICS INC COM USD0.001	17,017.80	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010588	SELL 200 Units @85.0890						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: RIA (continued)</u></b>								
		* STOCKS SUBTOTAL *	\$91,436.93	\$1,180.00	\$180.23	\$999.77		\$749.33
<b><u>PRODUCT CATEGORY: RIA</u></b>								
11/12/2018 ***-**-27FB - LYNCH, JAIR		LIFEMARK INVESTMENT ADVISORY FEE	364.65	364.65	0.00	364.65	75.0	273.49
		BUY 0 Units @0.0000						
		* RIA SUBTOTAL *	\$364.65	\$364.65	\$0.00	\$364.65		\$273.49
		*** BROKER TRANSACTIONS TOTAL ***	\$126,801.58	\$2,982.15	\$234.23	\$2,747.92		\$2,060.45

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$2,060.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,060.45
Year-to-date Earnings	\$75,261.59