All Companies

DOMINION INVESTOR SERVICES, INC.

### **COMMISSION STATEMENT**

November 30, 2018

DON BOYD-D076

615 SW 8th Avenue

Amarillo, TX 79101-2113

BROKER #: D076
BRANCH: DMC

#### COMMISSION STATEMENT for DON BOYD-D076

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		CLEARING	TRAN	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS								
11/15/2018 3	99475043 JONES, KINLEY	MF VANGUARD BALANCED INDEX FD AD	м в	-1,997.55	0.00	5.00	-5.00	90.0	-4.50
		* MUTUAL FUNDS SUBTOTA	AL *	\$-1,997.55	\$0.00	\$5.00	\$-5.00		\$-4.50
PRODUCT CA	TEGORY: STOCKS								
	76353190 FUENTES, RALPH	S DEVON ENERGY CORPORATION NEW	В	558.45	25.00	15.00	10.00	90.0	9.00
	668244731 RUSSELL, JOHN	S AMAZON.COM INC	В	10,586.47	50.00	15.00	35.00	90.0	31.50
,,		* STOCKS SUBTOTA		\$11,144.92	\$75.00	\$30.00	\$45.00		\$40.50
				VII/III.72	Ų/3.00	ψ30 <b>.</b> 00	Ų13.00		Ų10.50
	TEGORY: CERTIFICATES OF								
11/19/2018 3	06762043 GARNER, DALE	CD DISCOVER BK GREENWOOD DE	В	50,002.45	112.50	20.00	92.50	90.0	83.25
		* CERTIFICATES OF DEPOSIT SUBTOTA	AL *	\$50,002.45	\$112.50	\$20.00	\$92.50		\$83.25
PRODUCT CA	TEGORY: MUTUAL FUND 12B	1 TRAILS							
11/01/2018 m	nfc562087 BOYD D 562087	MFT FUTURE SCHOLAR 529 FUNDS	В	0.00	15.44	0.00	15.44	90.0	13.90
11/19/2018 m	nfc562087 BOYD D 562087	MFT COLUMBIA THREADNEEDLE FUNDS	В	0.00	15.48	0.00	15.48	90.0	13.93
11/21/2018 m	nfc562087 BOYD D 562087	MFT AB INTERMEDIATE BOND A	В	0.00	0.60	0.00	0.60	90.0	0.54
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.01	0.00	0.01	90.0	0.01
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.03	0.00	0.03	90.0	0.03
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.03	0.00	0.03	90.0	0.03
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.05	0.00	0.05	90.0	0.05
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	0.07	0.00	0.07	90.0	0.06
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	0.74	0.00	0.74	90.0	0.67
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	1.03	0.00	1.03	90.0	0.93
11/21/2018 m	nfc562087 BOYD D 562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	2.00	0.00	2.00	90.0	1.80
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMANA INCOME FD INV	В	0.00	10.67	0.00	10.67	90.0	9.60
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMANA INCOME FD INV	В	0.00	11.60	0.00	11.60	90.0	10.44
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMANA INCOME FD INV	В	0.00	15.18	0.00	15.18	90.0	13.66
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMANA INCOME FD INV	В	0.00	17.92	0.00	17.92	90.0	16.13
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMANA INCOME FD INV	В	0.00	17.92	0.00	17.92	90.0	16.13
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMANA INCOME FD INV	В	0.00	18.85	0.00	18.85	90.0	16.97
11/21/2018 m	nfc562087 BOYD D 562087	MFT AMCAP FUND-A	В	0.00	10.51	0.00	10.51	90.0	9.46
	nfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	2.15	0.00	2.15	90.0	1.94

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	3.66	0.00	3.66	90.0	3.29
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	4.19	0.00	4.19	90.0	3.77
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	6.44	0.00	6.44	90.0	5.80
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	14.16	0.00	14.16	90.0	12.74
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	18.31	0.00	18.31	90.0	16.48
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	28.13	0.00	28.13	90.0	25.32
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	29.24	0.00	29.24	90.0	26.32
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-A	В	0.00	33.23	0.00	33.23	90.0	29.91
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-C	В	0.00	7.53	0.00	7.53	90.0	6.78
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-C	В	0.00	26.10	0.00	26.10	90.0	23.49
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-C	В	0.00	138.73	0.00	138.73	90.0	124.86
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-F1	В	0.00	1.50	0.00	1.50	90.0	1.35
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN BALANCED FUND-F1	В	0.00	7.75	0.00	7.75	90.0	6.98
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN FDS TAX EXEMPT BOND	FD B	0.00	1.16	0.00	1.16	90.0	1.04
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN HIGH-INCOME TRUST-C	В	0.00	3.98	0.00	3.98	90.0	3.58
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN HIGH-INCOME TRUST-C	В	0.00	6.39	0.00	6.39	90.0	5.75
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	0.92	0.00	0.92	90.0	0.83
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	0.98	0.00	0.98	90.0	0.88
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	0.98	0.00	0.98	90.0	0.88
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	3.80	0.00	3.80	90.0	3.42
11/21/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	13.34	0.00	13.34	90.0	12.01
11/21/2018 mfc562087 BOYD D 562087	MFT BLACKROCK EQUITY DIVIDEND FD	С В	0.00	33.66	0.00	33.66	90.0	30.29
11/21/2018 mfc562087 BOYD D 562087	MFT BLACKROCK GLOBAL ALLOCATION	В	0.00	1.56	0.00	1.56	90.0	1.40
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL APPRECIATION A	В	0.00	1.79	0.00	1.79	90.0	1.61
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	1.34	0.00	1.34	90.0	1.21
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	1.99	0.00	1.99	90.0	1.79
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	4.04	0.00	4.04	90.0	3.64
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	8.88	0.00	8.88	90.0	7.99
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	9.71	0.00	9.71	90.0	8.74
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	19.16	0.00	19.16	90.0	17.24
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	22.67	0.00	22.67	90.0	20.40
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	35.76	0.00	35.76	90.0	32.18
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER CLASS	С В	0.00	2.62	0.00	2.62	90.0	2.36
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.01	0.00	0.01	90.0	0.01
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.07	0.00	0.07	90.0	0.06
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.07	0.00	0.07	90.0	0.06
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.13	0.00	0.13	90.0	0.12
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	2.02	0.00	2.02	90.0	1.82
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	4.78	0.00	4.78	90.0	4.30
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD BOND FUND-A	В	0.00	10.45	0.00	10.45	90.0	9.41
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD BOND FUND-A	В	0.00	14.53	0.00	14.53	90.0	13.08
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND	В	0.00	1.89	0.00	1.89	90.0	1.70
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND	В	0.00	5.18	0.00	5.18	90.0	4.66
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND	В	0.00	7.91	0.00	7.91	90.0	7.12

	<u> </u>		INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND IN	ICOME B	0.00	3.57	0.00	3.57	90.0	3.21
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND IN	ICOME B	0.00	11.28	0.00	11.28	90.0	10.15
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND IN	ICOME B	0.00	21.84	0.00	21.84	90.0	19.66
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND IN	ICOME B	0.00	27.68	0.00	27.68	90.0	24.91
11/21/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND IN	ICOME B	0.00	38.63	0.00	38.63	90.0	34.77
11/21/2018 mfc562087 BOYD D 562087	MFT COLUMBIA BALANCED FD CL A	В	0.00	5.62	0.00	5.62	90.0	5.06
11/21/2018 mfc562087 BOYD D 562087	MFT COLUMBIA SMALL CAP VALUE FU	ND I B	0.00	1.13	0.00	1.13	90.0	1.02
11/21/2018 mfc562087 BOYD D 562087	MFT COLUMBIA TAX-EXEMPT FUND-A	В	0.00	2.27	0.00	2.27	90.0	2.04
11/21/2018 mfc562087 BOYD D 562087	MFT DRYDEN INTERNATIONAL EQUITY	FD B	0.00	3.57	0.00	3.57	90.0	3.21
11/21/2018 mfc562087 BOYD D 562087	MFT EATON VANCE FLOATING RATE F	D CL B	0.00	11.31	0.00	11.31	90.0	10.18
11/21/2018 mfc562087 BOYD D 562087	MFT EATON VANCE FLOATING RATE F	D CL B	0.00	11.31	0.00	11.31	90.0	10.18
11/21/2018 mfc562087 BOYD D 562087	MFT EUROPACIFIC GROWTH FUND CLA	SS F B	0.00	3.23	0.00	3.23	90.0	2.91
11/21/2018 mfc562087 BOYD D 562087	MFT FRANKLIN INCOME FUND CLASS	СВ	0.00	4.12	0.00	4.12	90.0	3.71
11/21/2018 mfc562087 BOYD D 562087	MFT FRANKLIN INCOME FUND CLASS	СВ	0.00	5.01	0.00	5.01	90.0	4.51
11/21/2018 mfc562087 BOYD D 562087	MFT FRANKLIN INCOME FUND CLASS	А В	0.00	1.02	0.00	1.02	90.0	0.92
11/21/2018 mfc562087 BOYD D 562087	MFT FRK INC FD CL A	В	0.00	0.07	0.00	0.07	90.0	0.06
11/21/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	3.64	0.00	3.64	90.0	3.28
11/21/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	3.78	0.00	3.78	90.0	3.40
11/21/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	4.90	0.00	4.90	90.0	4.41
11/21/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	10.40	0.00	10.40	90.0	9.36
11/21/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	14.33	0.00	14.33	90.0	12.90
11/21/2018 mfc562087 BOYD D 562087	MFT GABELLI SMALL CAP GROWTH FD	CL B	0.00	6.97	0.00	6.97	90.0	6.27
11/21/2018 mfc562087 BOYD D 562087	MFT GLOBAL BALANCED FUND-A	В	0.00	20.61	0.00	20.61	90.0	18.55
11/21/2018 mfc562087 BOYD D 562087	MFT GLOBAL BALANCED FUND-C	В	0.00	43.74	0.00	43.74	90.0	39.37
11/21/2018 mfc562087 BOYD D 562087	MFT GOLDMAN SACHS SHORT DURATIO	N B	0.00	3.52	0.00	3.52	90.0	3.17
11/21/2018 mfc562087 BOYD D 562087	MFT GROWTH AND INCOME PORTFOLIO	)-F1 B	0.00	6.95	0.00	6.95	90.0	6.26
11/21/2018 mfc562087 BOYD D 562087	MFT GROWTH AND INCOME PORTFOLIO	)-C B	0.00	84.77	0.00	84.77	90.0	76.29
11/21/2018 mfc562087 BOYD D 562087	MFT GROWTH FUND OF AMERICA CLAS	SF B	0.00	4.85	0.00	4.85	90.0	4.37
11/21/2018 mfc562087 BOYD D 562087	MFT GROWTH FUND OF AMERICA CLAS	SF B	0.00	8.43	0.00	8.43	90.0	7.59
11/21/2018 mfc562087 BOYD D 562087	MFT GROWTH FUND OF AMERICA CLAS	SF B	0.00	21.15	0.00	21.15	90.0	19.04
11/21/2018 mfc562087 BOYD D 562087	MFT GROWTH FUND OF AMERICA CLAS	SF B	0.00	21.19	0.00	21.19	90.0	19.07
11/21/2018 mfc562087 BOYD D 562087	MFT INTERNATIONAL GROWTH AND	В	0.00	21.76	0.00	21.76	90.0	19.58
11/21/2018 mfc562087 BOYD D 562087	MFT INTERNATIONAL GROWTH AND	В	0.00	31.11	0.00	31.11	90.0	28.00
11/21/2018 mfc562087 BOYD D 562087	MFT INVESTMENT COMPANY OF AMERI	CA B	0.00	11.12	0.00	11.12	90.0	10.01
11/21/2018 mfc562087 BOYD D 562087	MFT LEGG MASON CB FUND VALUE FD	A B	0.00	3.64	0.00	3.64	90.0	3.28
11/21/2018 mfc562087 BOYD D 562087	MFT LEGG MASON CB FUND VALUE FD	A B	0.00	3.92	0.00	3.92	90.0	3.53
11/21/2018 mfc562087 BOYD D 562087	MFT LEGG MASON CB AGGRESSIVE GR	OW B	0.00	4.98	0.00	4.98	90.0	4.48
11/21/2018 mfc562087 BOYD D 562087	MFT LEGG MASON CB AGGRESSIVE GR	OW B	0.00	5.04	0.00	5.04	90.0	4.54
11/21/2018 mfc562087 BOYD D 562087	MFT LOOMIS SAYLES INVEST GRADE	BD B	0.00	4.91	0.00	4.91	90.0	4.42
11/21/2018 mfc562087 BOYD D 562087	MFT LORD ABBETT MULTI-ASSET GRO	WTH B	0.00	13.14	0.00	13.14	90.0	11.83
11/21/2018 mfc562087 BOYD D 562087	MFT NEW PERSPECTIVE FUND-A	В	0.00	1.24	0.00	1.24	90.0	1.12
11/21/2018 mfc562087 BOYD D 562087	MFT NEW PERSPECTIVE FUND-A	В	0.00	1.64	0.00		90.0	1.48
11/21/2018 mfc562087 BOYD D 562087	MFT NEW PERSPECTIVE FUND-A	В	0.00	17.51	0.00	17.51	90.0	15.76
11/21/2018 mfc562087 BOYD D 562087	MFT NEW PERSPECTIVE FUND-F1	В	0.00	4.48	0.00	4.48	90.0	4.03

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT		B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
11/21/2018 mfc562087 BOYD D 562087	MFT NEW WORLD FUND-A	В	0.00	0.38	0.00	0.38	90.0	0.34
11/21/2018 mfc562087 BOYD D 562087	MFT NEW WORLD FUND-A	В	0.00	1.62	0.00	1.62	90.0	1.46
11/21/2018 mfc562087 BOYD D 562087	MFT OPPENHEIMER GLOBAL CLASS C	В	0.00	14.53	0.00	14.53	90.0	13.08
11/21/2018 mfc562087 BOYD D 562087	MFT PACIFIC PORT OPTIMA MODERATE FD	В	0.00	1.26	0.00	1.26	90.0	1.13
11/21/2018 mfc562087 BOYD D 562087	MFT PACIFIC PORT OPTIMA MODERATE FD	В	0.00	1.39	0.00	1.39	90.0	1.25
11/21/2018 mfc562087 BOYD D 562087	MFT PIMCO TOTAL RETURN CLASS A	В	0.00	2.78	0.00	2.78	90.0	2.50
11/21/2018 mfc562087 BOYD D 562087	MFT PRUDENTIAL JENNISON MID CAP	В	0.00	2.79	0.00	2.79	90.0	2.51
11/21/2018 mfc562087 BOYD D 562087	MFT PRUDENTIAL JENNISON MID CAP	В	0.00	2.81	0.00	2.81	90.0	2.53
11/21/2018 mfc562087 BOYD D 562087	MFT PRUDENTIAL JENNISON MID CAP	В	0.00	6.55	0.00	6.55	90.0	5.90
11/21/2018 mfc562087 BOYD D 562087	MFT PRUDENTIAL JENNISON VALUE FD C	В	0.00	4.85	0.00	4.85	90.0	4.37
11/21/2018 mfc562087 BOYD D 562087	MFT STRATEGIC PRTNS / JENNISON FND	В	0.00	5.69	0.00	5.69	90.0	5.12
11/21/2018 mfc562087 BOYD D 562087	MFT TEMP GLOBAL BOND FND - CL A	В	0.00	1.03	0.00	1.03	90.0	0.93
11/21/2018 mfc562087 BOYD D 562087	MFT TEMPLETON GLOBAL BOND FUND -	В	0.00	0.78	0.00	0.78	90.0	0.70
11/21/2018 mfc562087 BOYD D 562087	MFT THE BOND FUND OF AMERICA-A	В	0.00	0.98	0.00	0.98	90.0	0.88
11/21/2018 mfc562087 BOYD D 562087	MFT THE BOND FUND OF AMERICA-A	В	0.00	0.98	0.00	0.98	90.0	0.88
11/21/2018 mfc562087 BOYD D 562087	MFT THE BOND FUND OF AMERICA-F1	В	0.00	2.14	0.00	2.14	90.0	1.93
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	0.84	0.00	0.84	90.0	0.76
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	0.84	0.00	0.84	90.0	0.76
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	3.44	0.00	3.44	90.0	3.10
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	6.86	0.00	6.86	90.0	6.17
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	19.75	0.00	19.75	90.0	17.78
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	26.20	0.00	26.20	90.0	23.58
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-C	В	0.00	8.18	0.00	8.18	90.0	7.36
11/21/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-C	В	0.00	12.24	0.00	12.24	90.0	11.02
11/21/2018 mfc562087 BOYD D 562087	MFT THE HARTFORD CHECKS AND	В	0.00	0.20	0.00	0.20	90.0	0.18
11/21/2018 mfc562087 BOYD D 562087	MFT THE HARTFORD CHECKS AND	В	0.00	48.70	0.00	48.70	90.0	43.83
11/21/2018 mfc562087 BOYD D 562087	MFT THE INCOME FUND OF AMERICA-A	В	0.00	13.55	0.00	13.55	90.0	12.20
11/21/2018 mfc562087 BOYD D 562087	MFT THE INCOME FUND OF AMERICA-A	В	0.00	17.37	0.00	17.37	90.0	15.63
11/21/2018 mfc562087 BOYD D 562087	MFT THE INCOME FUND OF AMERICA-F1	В	0.00	5.43	0.00	5.43	90.0	4.89
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	1.70	0.00	1.70	90.0	1.53
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	5.94	0.00	5.94	90.0	5.35
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	0.95	0.00	0.95	90.0	0.86
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	1.25	0.00	1.25	90.0	1.13
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	1.25	0.00	1.25	90.0	1.13
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	3.40	0.00	3.40	90.0	3.06
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	4.10	0.00	4.10	90.0	3.69
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	16.12	0.00	16.12	90.0	14.51
11/21/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	24.52	0.00			22.07
11/21/2018 mfc562087 BOYD D 562087	MFT THE NEW ECONOMY FUND-C	В	0.00	8.13	0.00		90.0	7.32
11/21/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	1.31	0.00		90.0	1.18
11/21/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	2.36	0.00		90.0	2.12
11/21/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	3.44	0.00		90.0	3.10
11/21/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	6.65	0.00		90.0	5.99
11/21/2018 mfc562087 BOYD D 562087	MFT WELLS FARGO SHORT TERM MUNI BD		0.00	0.61	0.00		90.0	0.55
, .,		-	0.50	0.01	0.00	0.01	• •	0.55

#### COMMISSION STATEMENT for DON BOYD-D076

TRADE DATE CLIENT	I	NVESTMENT B	/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	* M	UTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,512.29	\$0.00	\$1,512.29		\$1,361.20
	**	* CLEARING TRANSACTIONS TOTAL ***		\$59,149.82	\$1,699.79	\$55.00	\$1,644.79		\$1,480.45
		DIRECT TRA	NS <i>I</i>	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS									
11/06/2018 (None) TERRY, ROBIN	MF	OPPENHEIMER	В	100.00	1.00	0.00	1.00	90.0	0.90
11/19/2018 00000000036475 SAMPLE, PETER	MF	FRANKLIN BALANCED FUND - CLASS	В	100.00	1.00	0.00	1.00	90.0	0.90
11/19/2018 00000000036487 ELLIOTT, GARY	MF	FRANKLIN BALANCED FUND - CLASS	В	1,700.00	17.00	0.00	17.00	90.0	15.30
11/19/2018 00000000036879 FOWLER, NANCY	MF	FRANKLIN BALANCED FUND - CLASS	В	100.00	1.00	0.00	1.00	90.0	0.90
11/19/2018 00000000036881 HILAIRE,	MF	FRANKLIN BALANCED FUND - CLASS	В	175.00	1.75	0.00	1.75	90.0	1.58
11/19/2018 00000000051918 KENNEDY,	MF	FRANKLIN BALANCED FUND - CLASS	В	200.00	2.00	0.00	2.00	90.0	1.80
11/19/2018 000000001144690 SHREFFLER,	MF	TEMP WORLD FND - CL C	В	300.00	3.00	0.00	3.00	90.0	2.70
11/12/2018 00059156368 NEELY, APRIL	MF	AMERICAN BALANCED FUND-A	В	200.00	7.50	0.00	7.50	90.0	6.75
11/21/2018 00062956178 KING TEN COM,	MF	THE NEW ECONOMY FUND-A	В	200.00	7.50	0.00	7.50	90.0	6.75
11/20/2018 00062971314 WHITE FL/TOD,	MF	CAPITAL WORLD GROWTH AND INCOME	В	50.00	2.50	0.00	2.50	90.0	2.25
11/20/2018 00062971314 WHITE FL/TOD,	MF	NEW PERSPECTIVE FUND-A	В	50.00	2.50	0.00	2.50	90.0	2.25
11/15/2018 00063859463 MORRISON, GRETA	MF	CAPITAL WORLD GROWTH AND INCOME	В	33.37	0.33	0.00	0.33	90.0	0.30
11/15/2018 00063859463 MORRISON, GRETA	MF	CAPITAL WORLD GROWTH AND INCOME	В	225.00	2.25	0.00	2.25	90.0	2.03
11/15/2018 00063859464 HARPER, LORAN	MF	AMCAP FUND-A	В	8.55	0.09	0.00	0.09	90.0	0.08
11/15/2018 00063859464 HARPER, LORAN	MF	AMCAP FUND-A	В	96.88	0.97	0.00	0.97	90.0	0.87
11/15/2018 00063859464 HARPER, LORAN	MF	CAPITAL WORLD GROWTH AND INCOME	В	5.70	0.06	0.00	0.06	90.0	0.05
11/15/2018 00063859464 HARPER, LORAN	MF	CAPITAL WORLD GROWTH AND INCOME	В	64.58	0.65	0.00	0.65	90.0	0.59
11/15/2018 00063859464 HARPER, LORAN	MF	FUNDAMENTAL INVESTORS-A	В	8.55	0.09	0.00	0.09	90.0	0.08
11/15/2018 00063859464 HARPER, LORAN	MF	FUNDAMENTAL INVESTORS-A	В	96.88	0.97	0.00	0.97	90.0	0.87
11/15/2018 00063859464 HARPER, LORAN	MF	NEW WORLD FUND-A	В	3.99	0.04	0.00	0.04	90.0	0.04
11/15/2018 00063859464 HARPER, LORAN	MF	NEW WORLD FUND-A	В	45.21	0.45	0.00	0.45	90.0	0.41
11/15/2018 00063859464 HARPER, LORAN	MF	SMALLCAP WORLD FUND-A	В	4.56	0.05	0.00	0.05	90.0	0.05
11/15/2018 00063859464 HARPER, LORAN	MF	SMALLCAP WORLD FUND-A	В	51.66	0.52	0.00	0.52	90.0	0.47
11/15/2018 00063859464 HARPER, LORAN	MF	THE GROWTH FUND OF AMERICA-A	В	11.40	0.11	0.00	0.11	90.0	0.10
11/15/2018 00063859464 HARPER, LORAN	MF	THE GROWTH FUND OF AMERICA-A	В	129.17	1.29	0.00	1.29	90.0	1.16
11/15/2018 00063859464 HARPER, LORAN	MF	WASHINGTON MUTUAL INVESTORS	В	14.25	0.14	0.00	0.14	90.0	0.13
11/15/2018 00063859464 HARPER, LORAN	MF	WASHINGTON MUTUAL INVESTORS	В	161.46	1.61	0.00	1.61	90.0	1.45
11/15/2018 00064146409 HOLT, MARY	MF	NEW PERSPECTIVE FUND-A	В	166.65	4.58	0.00	4.58	90.0	4.12
11/15/2018 00064146410 HOLT, FOREST	MF	NEW PERSPECTIVE FUND-A	В	166.65	4.58	0.00	4.58	90.0	4.12
			В	77.50	0.78	0.00	0.78	90.0	0.70
			В	215.92	2.16	0.00	2.16		1.94
11/16/2018 00064699188 KING/SEP, RICHARD			В	77.50	0.78	0.00	0.78		0.70
11/16/2018 00064699188 KING/SEP, RICHARD			В	174.08	1.74	0.00	1.74		1.57
11/16/2018 00064699188 KING/SEP, RICHARD			В	1,032.56	10.33	0.00	10.33		9.30
11/16/2018 00064699188 KING/SEP, RICHARD			В	75.40	0.75	0.00	0.75	90.0	0.68
11/16/2018 00064699189 PIPKIN /SEP, MARY			В	12.36	0.12	0.00	0.12		0.11
11/16/2018 00064699189 PIPKIN /SEP, MARY			В	50.00	0.50	0.00		90.0	0.45
,,,, Part   Part			_	30.00	0.50	0.00	0.50	20.0	0.15

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/16/2018 00064699189 PIPKIN /SEP, MARY M		В	12.38	0.12	0.00	0.12	90.0	0.11
11/16/2018 00064699189 PIPKIN /SEP, MARY M		В	50.00	0.50	0.00	0.50	90.0	0.45
11/16/2018 00064699189 PIPKIN /SEP, MARY M		В	12.38	0.12	0.00	0.12	90.0	0.11
11/16/2018 00064699189 PIPKIN /SEP, MARY M	F THE BOND FUND OF AMERICA-A	В	50.00	0.50	0.00	0.50	90.0	0.45
11/16/2018 00064699189 PIPKIN /SEP, MARY M		В	12.38	0.12	0.00	0.12	90.0	0.11
11/16/2018 00064699189 PIPKIN /SEP, MARY M		В	50.00	0.50	0.00	0.50	90.0	0.45
11/16/2018 00064699198 BRISENDINE/SEP, M		В	250.00	2.50	0.00	2.50	90.0	2.25
11/16/2018 00064699198 BRISENDINE/SEP, M	F THE NEW ECONOMY FUND-A	В	98.00	0.98	0.00	0.98	90.0	0.88
11/16/2018 00064699203 DOCHE /SEP, JAMES M	F NEW WORLD FUND-A	В	75.00	0.75	0.00	0.75	90.0	0.68
11/16/2018 00064699203 DOCHE /SEP, JAMES M	F NEW WORLD FUND-A	В	75.00	0.75	0.00	0.75	90.0	0.68
11/16/2018 00064704153 PIPKIN /SEP, MARY M		В	102.73	1.03	0.00	1.03	90.0	0.93
11/16/2018 00064704153 PIPKIN /SEP, MARY M		В	2.50	0.03	0.00	0.03	90.0	0.03
11/16/2018 00064704153 PIPKIN /SEP, MARY M	F NEW WORLD FUND-A	В	102.73	1.03	0.00	1.03	90.0	0.93
11/16/2018 00064704153 PIPKIN /SEP, MARY M	F NEW WORLD FUND-A	В	2.50	0.03	0.00	0.03	90.0	0.03
11/16/2018 00064704153 PIPKIN /SEP, MARY M		В	102.73	1.03	0.00	1.03	90.0	0.93
11/16/2018 00064704153 PIPKIN /SEP, MARY M		В	2.50	0.03	0.00	0.03	90.0	0.03
11/16/2018 00064704153 PIPKIN /SEP, MARY M		В	102.71	1.03	0.00	1.03	90.0	0.93
11/16/2018 00064704153 PIPKIN /SEP, MARY M		В	2.50	0.03	0.00	0.03	90.0	0.03
11/16/2018 00064709906 VAUGHN/SEP, DAVID M		В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 00064709906 VAUGHN/SEP, DAVID M	F NEW WORLD FUND-A	В	48.00	0.48	0.00	0.48	90.0	0.43
11/16/2018 00064709906 VAUGHN/SEP, DAVID M		В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 00064709906 VAUGHN/SEP, DAVID M		В	48.00	0.48	0.00	0.48	90.0	0.43
11/16/2018 00064709907 ALLRED, SUZANNE M		В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 00064709907 ALLRED, SUZANNE M		В	56.10	0.56	0.00	0.56	90.0	0.50
11/16/2018 00064873293 CARDENAS, DAVID M		В	30.00	0.30	0.00	0.30	90.0	0.27
11/16/2018 00064873293 CARDENAS, DAVID M		В	5.00	0.05	0.00	0.05	90.0	0.05
11/16/2018 00064873293 CARDENAS, DAVID M		В	30.00	0.30	0.00	0.30	90.0	0.27
11/16/2018 00064873293 CARDENAS, DAVID M		В	5.00	0.05	0.00	0.05	90.0	0.05
11/16/2018 00064873296 RAGAN, TAMMY M		В	41.25	0.41	0.00	0.41	90.0	0.37
11/16/2018 00064873296 RAGAN, TAMMY M		В	30.50	0.31	0.00	0.31		0.28
11/16/2018 00064873296 RAGAN, TAMMY M		В	41.25	0.41	0.00	0.41	90.0	0.37
11/16/2018 00064873296 RAGAN, TAMMY M		В	30.50	0.31	0.00	0.31	90.0	0.28
11/16/2018 00064873299 STONE, RONALD M.		В	500.00	5.00	0.00	5.00	90.0	4.50
11/16/2018 00064873299 STONE, RONALD M.		В	52.20	0.52	0.00	0.52	90.0	0.47
11/16/2018 00064873299 STONE, RONALD M.		В	500.00	5.00	0.00	5.00	90.0	4.50
11/16/2018 00064873299 STONE, RONALD M.		В	52.20	0.52	0.00		90.0	0.47
11/16/2018 00064873301 CLANCY, KELLY M		В	75.00	0.75	0.00		90.0	0.68
11/16/2018 00064873301 CLANCY, KELLY M.		В	12.00	0.12	0.00		90.0	0.11
11/16/2018 00064873301 CLANCY, KELLY M		В	75.00	0.75	0.00		90.0	0.68
11/16/2018 00064873301 CLANCY, KELLY M		В	12.00	0.12	0.00		90.0	0.11
11/16/2018 00064891743 ROBERSON/SEP, SUE M.		В	100.00	1.00	0.00	1.00		0.90
11/16/2018 00064891743 ROBERSON/SEP, SUE M.		В	100.00	1.00	0.00		90.0	0.90
11/16/2018 00064891745 KONEKSON/SEF, SOE M. 11/16/2018 00064891745 KING/SEP, KELLY M.		В	341.25	3.41	0.00		90.0	3.07
	F AMERICAN BALANCED FUND-A	В	31.87	0.32	0.00		90.0	0.29
TT/TO/ZOTO OOOO4091/43 KING/SEP, KELLI M.	APPRICAN DADANCED FUND-A	ь	31.07	0.32	0.00	0.32	20.0	0.49

11/16/2018 0006483174 ELINO/SER, MILLY   ME PIREMENTAL: INTROFERENCE   N.   551.58   1.52   0.00   1.52   90.0   0.31   91.0   0.11/16/2018 0006483174 ELINO/SER, MILLY   ME PIREMENTAL: INTROFERENCE   N.   311.25   3.51   0.00   0.13   90.0   0.32   91.0   0.11/16/2018 0006483174 ELINO/SER, MILLY   ME PIREMENTAL: INTROFERENCE   N.   311.25   0.10   0.00   0.13   90.0   0.27   11/16/2018 0006483174 ELINO/SER, MILLY   ME PIREMENTAL: INTROFERENCE   N.   311.25   0.11   0.00   0.12   90.0   0.27   11/16/2018 0006483174 ELINO/SER, MILLY   ME PIREMENTAL: INTROFERENCE   N.   11/16/2018 00067463374 TENNO, CHARLES   ME PIREMENTAL: INTROFERENCE   N.   11/16/2018 00067463374 TENNO, CHA	TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/16/2018 00064991579 STANPON, COREY   MT   MEN KORGAM FUND-A   B   34.125   3.41   0.00   0.32	11/16/2018 00064891745 KING/SEP, KELLY	MF	FUNDAMENTAL INVESTORS-A	В	351.58	3.52	0.00	3.52	90.0	3.17
11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.30   9.00   0.27     11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.30   9.00   0.27     11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.30   9.00   0.27     11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.00   0.30   9.00   0.27     11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.00   9.00   0.27     11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.00   9.00   0.27     11/14/2018 00064891871 STINNOWN, SUMMAN   MERCEN FUNDA   B   30.00   0.30   0.00   0.00   9.00   0.27     11/14/2018 0006449990 CHANGE, SUMMAN   MERCEN FUNDA   B   72.00   0.72   0.00   0.28   9.00   0.28     11/14/2018 0006449990 CHANGE, SUMMAN   MERCEN FUNDA   B   72.00   0.72   0.00   0.28   9.00   0.28     11/14/2018 0006449990 CHANGE, SUMMAN   MERCEN FUNDA   B   72.00   0.72   0.00   0.29   9.00   0.28     11/14/2018 0006449990 CHANGE, SUMMAN   MERCEN FUNDA   B   72.00   0.72   0.00   0.29   9.00   0.28     11/14/2018 0006449990 CHANGE, SUMMAN   MERCEN FUNDA   B   72.00   0.72   0.00   0.29   9.00   0.28     11/14/2018 00067919947 TUCKK, ROBERT   MERCEN FUNDA   B   72.00   0.23   0.00   0.23   9.00   0.21     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA   B   72.00   0.23   0.00   0.23   9.00   0.21     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA   B   43.75   0.44   0.00   0.44   9.00   0.40     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA   B   43.75   0.44   0.00   0.44   9.00   0.40     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA   B   43.75   0.44   0.00   0.44   9.00   0.40     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA   B   43.75   0.44   0.00   0.46   9.00   0.40     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA   MERCEN FUNDA   B   43.75   0.44   0.00   0.40   9.00   0.40     11/14/2018 00067919940 EACKEY, MIGH   MERCEN FUNDA	11/16/2018 00064891745 KING/SEP, KELLY	MF	FUNDAMENTAL INVESTORS-A	В	32.83	0.33	0.00	0.33	90.0	0.30
11/14/2018 00064891791 STILETE, COREY   MF   THE NAY ECONOMY FIND-A   B   30.00   0.	11/16/2018 00064891745 KING/SEP, KELLY	MF	SMALLCAP WORLD FUND-A	В	341.25	3.41	0.00	3.41	90.0	3.07
11/14/2018 000649913913 FINANCIOL, STEWN   MF   NEW PERSPECTIVE FUND A   B   150.00   0.30   0.00   0.30   90.0   0.27     11/14/2018 000649913913 STANCOLL, STEWN   MF   NEW PERSPECTIVE FUND A   B   150.00   0.30   0.00   0.30   90.0   0.27     11/14/2018 000649913913 STANCOLL, STEWN   MF   NEW PERSPECTIVE FUND A   B   150.00   0.30   0.00   0.30   90.0   0.27     11/14/2018 00064993913 STANCOLL, STEWN   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.23     11/14/2018 0006446990 CHARACE, CHARLES   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.25     11/14/2018 0006446990 CHARCE, CHARLES   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.25     11/14/2018 0006446990 CHARCE, CHARLES   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.25     11/14/2018 0006446990 CHARCE, CHARLES   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.25     11/14/2018 0006446990 CHARCE, CHARLES   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.25     11/14/2018 0006446990 CHARCE, CHARLES   MF   NEW PERSPECTIVE FUND A   B   24.78   0.25   0.00   0.25   90.0   0.25     11/14/2018 000649919494 TUCKE, ROBERT   MF   NEW PERSPECTIVE FUND A   B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 000649919549 TUCKE, ROBERT   MF   ARREITON MINISTER   MF   MF   ARREITON MINISTER   MF   MF   ARREITON MINISTER   MF   MF   MF   MF   MF   MF   MF   M	11/16/2018 00064891745 KING/SEP, KELLY	MF	SMALLCAP WORLD FUND-A	В	31.87	0.32	0.00	0.32	90.0	0.29
11/16/2018 00064891871 STANFORD, STEVEN MP NEW PERSPECTIVE FIND-A   30.00   0.10   0.00   0.27   0.10   0.00   0.10   0.00   0.27   0.10   0.00   0	11/16/2018 00064891870 SNIPES, COREY	MF	THE NEW ECONOMY FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
11/16/2018 00064991871 STANFORD, STRUYEN NF NF PRESPECTIVE FOND—A B   150.00   0.30   0.00   0.30   0.00   0.35   1/16/2018 0006491871 STANFORD, STRUYEN NF   THE GROWTH FUND OF AMERICA B   30.00   0.30   0.00   0.30   0.00   0.25   0.00   0.25   1/16/2018 0006496891871 STANFORD, STRUYEN NF   THE GROWTH FUND OF AMERICA B   30.00   0.30   0.00   0.25   0.00   0.25   0.00   0.25   1/16/2018 00064968990 CHANCE, CHARLES NF   THE NEW PERSPECTIVE FUND—A B   72.00   0.72   0.00   0.72   90.0   0.65   1/16/2018 0006496990 CHANCE, CHARLES NF   THE NEW ENCONON FUND—A B   72.00   0.72   0.00   0.75   90.0   0.65   1/16/2018 00067915947 TUCKE, FORET NF   THE NEW ENCONON FUND—A B   72.00   0.72   0.00   0.25   90.0   0.25   1/16/2018 00067915947 TUCKE, FORET NF   THE NEW ENCONON FUND—A B   22.50   0.23   0.00   0.23   90.0   0.21   1/16/2018 00067915947 TUCKE, FORET NF   THE NEW ENCONON FUND—A B   22.50   0.23   0.00   0.23   90.0   0.21   1/16/2018 00067915947 TUCKE, FORET NF   THE NEW ENCONON FUND—A B   22.50   0.23   0.00   0.23   90.0   0.21   1/16/2018 00067915947 TUCKE, FORET NF   THE NEW ENCONON FUND—A B   22.50   0.23   0.00   0.23   90.0   0.21   1/16/2018 00067915949 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   22.50   0.23   0.00   0.23   90.0   0.21   1/16/2018 00067915949 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   22.50   0.23   0.00   0.24   90.0   0.21   1/16/2018 00067915949 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   43.75   0.44   0.00   0.44   90.0   0.40   1/16/2018 00067915949 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   60.01   1/16/2018 0006791594 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   80.01   1/16/2018 0006791594 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   80.01   1/16/2018 0006791594 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   80.01   1/16/2018 0006791594 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   80.01   1/16/2018 0006791594 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   80.01   1/16/2018 0006791594 LACKEY, HOSH NF   THE NEW ENCONON FUND—A B   80.01   1/16/2018 0006791594 LACKEY, HOSH N	11/16/2018 00064891870 SNIPES, COREY	MF	THE NEW ECONOMY FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
ILI-16/2018 000644991871 SYMANOVAD, STEVENEN   MP   THE GROWTH FUND OF AMERICA-A   B   150.00   1.50   0.00   0.00   0.00   0.00   0.27	11/16/2018 00064891871 STANFORD, STEVEN	I MF	NEW PERSPECTIVE FUND-A	В	150.00	1.50	0.00	1.50	90.0	1.35
ILI-16/2018 00064361990 CHANCE, CHARLES   MF   THE GROWTH FUND—A   B   30.00   0.30   0.00   0.20   90.0   0.27	11/16/2018 00064891871 STANFORD, STEVEN	I MF	NEW PERSPECTIVE FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
11/15/2018 00067466990 CHANCE, CHARLES	11/16/2018 00064891871 STANFORD, STEVEN	I MF	THE GROWTH FUND OF AMERICA-A	В	150.00	1.50	0.00	1.50	90.0	1.35
11/15/2018 00067466990 CHANCE, CHARLES	11/16/2018 00064891871 STANFORD, STEVEN	I MF	THE GROWTH FUND OF AMERICA-A	В	30.00	0.30	0.00	0.30	90.0	0.27
11/15/2018 00067466990 CHANCE, CHARLES   MP   THE NEW ECONOMY FUND—A   B   24.78   0.25   0.00   0.25   90.0   0.23     11/14/2018 00067915947 TUCEK, ROBERT   MP   ARRESTECTION PRINCED FUND—A   B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 00067915947 TUCEK, ROBERT   MP   ARRESTECTION PRINCED FUND—A   B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 00067915947 TUCEK, ROBERT   MP   ARRESTECTION PRINCED FUND—A   B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 00067915947 TUCEK, ROBERT   MP   CAPITAL WORLD GROWTH AND INCOME B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 00067915949 LACKEY, HUGH   MF   ARRESTAN BALANCED FUND—A   B   43.75   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915949 LACKEY, HUGH   MF   ARRESTAN BALANCED FUND—A   B   43.75   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915949 LACKEY, HUGH   MF   ARRESTAN BALANCED FUND—A   B   43.75   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME B   43.74   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915951 GABERT, GORDON   MF   GROWTH PORTFOLIO—A   B   45.00   0.45   0.00   0.45   90.0   0.45     11/16/2018 00068379192 FOWLER, MICHAEL   MF   FUND OF AMERICA—A   B   31.06   0.31   0.00   0.31   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA—A   B   31.07   0.31   0.00   0.31   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA—A   B   35.00   0.65   0.00   0.65   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA—A   B   65.00   0.65   0.00   0.65   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA—A   B   65.00   0.65   0.00   0.65   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA—A   B   65.00   0.65   0.00   0.65   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA—A   B   65.00	11/15/2018 00067466990 CHANCE, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	24.78	0.25	0.00	0.25	90.0	0.23
11/15/2018 00067915947 TUCKK, ROBERT   MF   THE NEW ECONOMY FUND-A   B   22.50   0.23   0.00   0.72   90.0   0.65   11/14/2018 00067915947 TUCKK, ROBERT   MF   AMERICAN BALANCED FUND-A   B   22.50   0.23   0.00   0.23   90.0   0.21   11/14/2018 00067915947 TUCKK, ROBERT   MF   CAPITAL WORLD GROWTH AND INCOME   B   22.50   0.23   0.00   0.23   90.0   0.21   11/14/2018 00067915947 TUCKK, ROBERT   MF   CAPITAL WORLD GROWTH AND INCOME   B   22.50   0.23   0.00   0.23   90.0   0.21   11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME   B   22.50   0.23   0.00   0.44   90.0   0.40   11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME   B   43.75   0.44   0.00   0.44   90.0   0.40   11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME   B   43.75   0.44   0.00   0.44   90.0   0.40   11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME   B   43.74   0.44   0.00   0.44   90.0   0.40   11/14/2018 00067915951 GABERT, GORDON   MF   CROWTH FORTFOLIO-A   B   604.17   6.04   0.00   0.44   90.0   0.40   11/14/2018 000667915952 GABERT, GORDON   MF   CROWTH FORTFOLIO-A   B   604.17   6.04   0.00   0.44   90.0   0.40   11/14/2018 00068379192 FOWLER, MICHAEL   MF   NEW WORLD FUND-A   B   82.50   0.83   0.00   0.83   90.0   0.41   11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA-A   B   82.50   0.83   0.00   0.83   90.0   0.75   11/16/2018 00068379198 BRISENDINE, JOIL   MF   NEW WORLD FUND-A   B   65.00   0.65   0.00   0.65   90.0   0.28   11/16/2018 00068379198 BRISENDINE, JOIL   MF   NEW WORLD FUND-A   B   65.00   0.65   0.00   0.65   90.0   0.28   11/16/2018 00068379198 BRISENDINE, JOIL   MF   NEW WORLD FUND-A   B   65.00   0.65   0.00   0.65   90.0   0.23   11/16/2018 00068379198 BRISENDINE, JOIL   MF   NEW WORLD FUND-A   B   65.00   0.65   0.00   0.65   90.0   0.54   11/16/2018 00068379198 BRISENDINE, JOIL   MF   NEW WORLD FUND-A   B   65.00   0.65   0.00   0.65   90.0   0.59   11/16/2018 00068379198 BRISENDINE,	11/15/2018 00067466990 CHANCE, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	72.00	0.72	0.00	0.72	90.0	0.65
11/14/2018 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND—A B 22.50	11/15/2018 00067466990 CHANCE, CHARLES	MF	THE NEW ECONOMY FUND-A	В	24.78	0.25	0.00	0.25	90.0	0.23
11/14/2018 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 11/14/2018 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 11/14/2018 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 11/14/2018 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 43.75 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 43.75 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 0.45 90.0 0.45 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.85 90.0 0.25 90.0 0.25 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 83.00 0.85 0.00 0.85 90.0 0.85 90.0 0.25 11/16/2018 00068379199 BRISENDINE, JODI MF NASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.55 90.0 0.55 90.0 0.25 11/16/2018 00068379199 BRISENDINE, JODI MF NASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.55 90.0 0.55 11/16/2018 0006379199 BRISENDINE, JODI MF NASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.05 90.0 0.55 90.0 0.55 11/16/2018 00073179517 NILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.05 0.05 0.00 0.05 90.0 0.55 90.0 0.55 11/16/2018 00073179517 NILLIAMS, JILL MF THE GROWTH FUND FUND	11/15/2018 00067466990 CHANCE, CHARLES	MF	THE NEW ECONOMY FUND-A	В	72.00	0.72	0.00	0.72	90.0	0.65
11/14/2018 00067915947 TUCEK, ROBERT   MF   CAPITAL WORLD GROWTH AND INCOME   B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 00067915947 TUCEK, ROBERT   MF   CAPITAL WORLD GROWTH AND INCOME   B   22.50   0.23   0.00   0.23   90.0   0.21     11/14/2018 00067915949 LACKEY, HUGH   MF   AMERICAN BALANCED FUND-A   B   43.75   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME   B   43.74   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915949 LACKEY, HUGH   MF   CAPITAL WORLD GROWTH AND INCOME   B   43.74   0.44   0.00   0.44   90.0   0.40     11/14/2018 00067915951 CARERT, GORDON   MF   CROWTH PORTPOLIO-A   B   664.17   6.04   0.00   6.04   90.0   5.44     11/14/2018 00067915951 GABERT, GORDON   MF   CROWTH PORTPOLIO-A   B   82.50   0.83   0.00   0.85   90.0   0.41     11/16/2018 00068379192 FOWLER, MICHAEL   MF   NEW WORLD FIND-A   B   82.50   0.83   0.00   0.83   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   NEW WORLD FIND-A   B   82.50   0.83   0.00   0.83   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   NEW WORLD FIND-A   B   82.50   0.83   0.00   0.83   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA-A   B   82.50   0.83   0.00   0.83   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   THE GROWTH FUND OF AMERICA-A   B   83.00   0.85   0.00   0.85   90.0   0.75     11/16/2018 00068379192 FOWLER, MICHAEL   MF   NEW WORLD FIND-A   B   65.00   0.85   0.00   0.85   90.0   0.77     11/16/2018 00068379192 FOWLER, MICHAEL   MF   NEW WORLD FIND-A   B   65.00   0.85   0.00   0.85   90.0   0.75     11/16/2018 00068379193 BRISENDINE, JODI   MF   NEW WORLD FIND-A   B   65.00   0.65   0.00   0.65   90.0   0.59     11/16/2018 0006379193 BRISENDINE, JODI   MF   NEW WORLD FIND-A   B   65.00   0.65   0.00   0.65   90.0   0.59     11/16/2018 0006379193 BRISENDINE, JODI   MF   NEW WORLD FIND-A   B   65.00   0.65   0.00   0.65   90.0   0.59     11/16/2018 0006379193 B	11/14/2018 00067915947 TUCEK, ROBERT	MF	AMERICAN BALANCED FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 11/14/2018 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 43.75 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIC-A B 604.17 6.04 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIC-A B 604.17 6.04 0.00 0.45 90.0 0.45 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIC-A B 604.17 6.04 0.00 0.45 90.0 0.45 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIC-A B 605.00 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 825.00 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 825.00 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 825.00 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 825.00 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 825.00 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 825.00 0.85 0.00 0.85 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 825.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 825.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 825.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 11/16/2018 000693719918 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 825.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 11/16/2018 000693719918 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 825.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 11/16/2018 000693719918 BRISENDINE, JODI	11/14/2018 00067915947 TUCEK, ROBERT	MF	AMERICAN BALANCED FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND—A B 43.75 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND—A B 43.75 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABBERT, GORDON MF GROWTH PORTFOLIO—A B 604.17 6.04 0.00 6.04 90.0 0.40 11/14/2018 00067915951 GABBERT, GORDON MF GROWTH PORTFOLIO—A B 604.17 6.04 0.00 6.04 90.0 0.45 90.0 0.41 11/14/2018 00067915951 GABBERT, GORDON MF GROWTH PORTFOLIO—A B 604.17 6.04 0.00 0.04 90.0 0.45 90.0 0.41 11/14/2018 00067915951 GABBERT, GORDON MF GROWTH PORTFOLIO—A B 604.17 6.04 0.00 0.08 90.0 0.45 90.0 0.41 11/14/2018 00066379192 FOWLER, MICHAEL MF NEW WORLD FUND—A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00066379192 FOWLER, MICHAEL MF NEW WORLD FUND—A B 82.50 0.83 0.00 0.83 90.0 0.53 11/16/2018 00066379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA—A B 82.50 0.83 0.00 0.83 90.0 0.53 11/16/2018 00066379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA—A B 82.50 0.83 0.00 0.85 90.0 0.25 11/16/2018 00066379192 FOWLER, MICHAEL MF WASHINSTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77 11/16/2018 00066379192 FOWLER, MICHAEL MF WASHINSTON MUTUAL INVESTORS B 82.00 0.85 0.00 0.85 90.0 0.75 11/16/2018 00066379198 BRISENDINE, JODI MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00066379198 BRISENDINE, JODI MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00066379198 BRISENDINE, JODI MF WASHINSTON MUTUAL INVESTORS B 65.00 0.55 0.00 0.55 90.0 0.25 90.0 0.25 11/16/2018 00066379198 BRISENDINE, JODI MF WASHINSTON MUTUAL INVESTORS B 65.00 0.55 0.00 0.55 90.0 0.55 90.0 0.55 11/16/2018 00066379198 BRISENDINE, JODI MF WASHINSTON MUTUAL INVESTORS B 65.00 0.55 0.00 0.55 90.0 0.55 90.0 0.55 11/16/2018 0006379198 BRISENDINE, JODI MF WASHINSTON MUTUAL INVESTORS B 65.00 0.55 0.00 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55 90.0 0.55	11/14/2018 00067915947 TUCEK, ROBERT	MF	CAPITAL WORLD GROWTH AND INCOM	ΣВ	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.75 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PONTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PONTFOLIO-A B 604.17 6.04 0.00 0.45 90.0 0.41 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PONTFOLIO-A B 604.17 6.04 0.00 0.45 90.0 0.41 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.55 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 831.06 0.31 0.00 0.31 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 830.00 0.85 90.0 0.85 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NASSHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.59 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 90.0 0.55 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 90.0 0.55 11/16/2018 00068379198 BRISSENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.66 0.00 0.60 0.00 0.60 90.0 0.55 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 0.00 0.60 90.0 0.55 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60	11/14/2018 00067915947 TUCEK, ROBERT	MF	CAPITAL WORLD GROWTH AND INCOM	ΣВ	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 000679159591 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 0.45 90.0 0.45 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 0.45 90.0 0.45 11/14/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 83.10 0.00 0.31 90.0 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 85.00 0.85 0.00 0.85 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.55 11/16/2018 00073179517 WILLIAMS, JILL	11/14/2018 00067915949 LACKEY, HUGH	MF	AMERICAN BALANCED FUND-A	В	43.75	0.44	0.00	0.44	90.0	0.40
11/14/2018 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 43.74 0.44 0.00 0.44 90.0 0.40 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44 11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 0.45 90.0 0.41 11/14/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 83.10 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 83.10 0.00 0.85 0.00 0.85 90.0 0.25 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.25 0.00 0.25 90.0 0.23 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.55 90.0 0.55 90.0 0.55 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 60.00 0.60 0.00 0.60 0.00 0.60 90.0 0.65 90.0 0.65 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 60.00 0.6	11/14/2018 00067915949 LACKEY, HUGH	MF	AMERICAN BALANCED FUND-A	В	43.75	0.44	0.00	0.44	90.0	0.40
11/14/2018 00067915951 GABERT, GORDON MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44 11/14/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 831.07 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.75 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.66 0.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.76 90.0 0.46 11/16/2018 00074198415 MITCHELL, BYRON MF THE INVESTMENT COMPANY OF B 70.50 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF THE INVESTMENT COMPANY OF B 70.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF GROWTH FUND OF AMERICA-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF MF WORLD FUND-A B 70.90 0.73 0.00 0.73 90.0 0.66 11/16/201	11/14/2018 00067915949 LACKEY, HUGH	MF	CAPITAL WORLD GROWTH AND INCOM	ΣВ	43.74	0.44	0.00	0.44	90.0	0.40
11/14/2018 00067915951 GABERT, GORDON MF GROWTH FORTFOLIO-A B 45.00 0.45 0.00 0.45 90.0 0.41 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 32.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.77 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.66 0.00 0.66 90.0 0.50 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.77 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF ROWNELD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.6	11/14/2018 00067915949 LACKEY, HUGH	MF	CAPITAL WORLD GROWTH AND INCOM	ΣВ	43.74	0.44	0.00	0.44	90.0	0.40
11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.23 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.46 11/16/2018 00073179517 WILLIAMS, JILL MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.73 90.0 0.66 11/16/2018 00073498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075498415 MITCHELL, BYRON MF NEW	11/14/2018 00067915951 GABERT, GORDON	MF	GROWTH PORTFOLIO-A	В	604.17	6.04	0.00	6.04	90.0	5.44
11/16/2018 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.85 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 90.0 0.23 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.65 90.0 0.59 11/16/2018 00073179517 WILLIAMS, JILL MF WASHINGTON MUTUAL INVESTORS B 25.00 0.55 0.00 0.55 90.0 0.25 90.0 0.23 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.55 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.66 91.1/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.73 90.0 0.66 11/16/2018 00074198415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 604.17 6.04 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 0.73 90.0 0.55 90.0 0.55 90.0 0.55 9	11/14/2018 00067915951 GABERT, GORDON	MF	GROWTH PORTFOLIO-A	В	45.00	0.45	0.00	0.45	90.0	0.41
11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.83 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.55 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.23 11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.66 0.00 0.66 0.00 0.66 90.0 0.67 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.66 0.00 0.66 0.00 0.66 90.0 0.66 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-5 29C B 50.00 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF NEW WORLD FUND-5 29C B 50.00 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF ORD WORLD FUND-5 B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF ORD WORLD FUND-5 B 60.00 0.00 0.00 0.73 90.0 0.66 11/16/201	11/16/2018 00068379192 FOWLER, MICHAEL	MF	NEW WORLD FUND-A	В	82.50	0.83	0.00	0.83	90.0	0.75
11/16/2018 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.65 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/123/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.60 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.60 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.78 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44 90.0 5.44 90.0 5.44 90.0 5.44 90.0 5.44 90.0 5.44 90.0 6.66 90.0 6.60 90.0 90.	11/16/2018 00068379192 FOWLER, MICHAEL	MF	NEW WORLD FUND-A	В	31.06	0.31	0.00	0.31	90.0	0.28
11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.85 90.0 0.29 11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.65 90.0 0.23 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.75 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.65 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.45 11/16/2018 00074198415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH FORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44 11/16/2018 00075029508 GABERT, JULIE MF GROWTH FORTFOLIO-A	11/16/2018 00068379192 FOWLER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	В	82.50	0.83	0.00	0.83	90.0	0.75
11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.45 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-529C B 50.00 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	11/16/2018 00068379192 FOWLER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	В	31.07	0.31	0.00	0.31	90.0	0.28
11/16/2018 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.45 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-529C B 50.00 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	11/16/2018 00068379192 FOWLER, MICHAEL	MF	WASHINGTON MUTUAL INVESTORS	В	85.00	0.85	0.00	0.85	90.0	0.77
11/16/2018 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.23 11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 000744210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44 90.0 5.44		MF	WASHINGTON MUTUAL INVESTORS	В	32.01	0.32	0.00	0.32	90.0	0.29
11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.23 11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	11/16/2018 00068379198 BRISENDINE, JOD	MF	NEW WORLD FUND-A	В	65.00	0.65	0.00	0.65	90.0	0.59
11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59 11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.23 11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44			NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	
11/16/2018 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.25 11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44			WASHINGTON MUTUAL INVESTORS	В	65.00	0.65	0.00	0.65	90.0	
11/23/2018 00069075232 FAULK, GAGE MF AMERICAN BALANCED FUND-529C B 75.00 0.75 0.00 0.75 90.0 0.68 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	11/16/2018 00068379198 BRISENDINE, JOD	MF	WASHINGTON MUTUAL INVESTORS	В	25.00	0.25	0.00	0.25	90.0	
11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44			AMERICAN BALANCED FUND-529C	В	75.00	0.75	0.00	0.75	90.0	
11/16/2018 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	11/16/2018 00073179517 WILLIAMS, JILL	MF	THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 76.50 0.77 0.00 0.77 90.0 0.69 11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44										
11/16/2018 00073179526 SEYMOUR, TONI MF THE INVESTMENT COMPANY OF B 46.00 0.46 0.00 0.46 90.0 0.41 11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	•									
11/15/2018 00074210656 COOK, CODY MF AMERICAN BALANCED FUND-529C B 50.00 0.50 0.00 0.50 90.0 0.45 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44										
11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44	•									
11/16/2018 00074498415 MITCHELL, BYRON MF NEW WORLD FUND-A B 72.90 0.73 0.00 0.73 90.0 0.66 11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44										
11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 604.17 6.04 0.00 6.04 90.0 5.44										
11/14/2018 00075029508 GABERT, JULIE MF GROWTH PORTFOLIO-A B 22.50 0.23 0.00 0.23 90.0 0.21	11/14/2018 00075029508 GABERT, JULIE	MF		В	22.50	0.23	0.00			0.21

TRADE DATE CLIENT	:	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/19/2018 00075214436 KIRTON, WES	MF	CAPITAL WORLD GROWTH AND	INCOME B	50.00	2.50	0.00	2.50	90.0	2.25
11/19/2018 00075224867 POLING, WENDY	MF	CAPITAL WORLD GROWTH AND	INCOME B	50.00	0.50	0.00	0.50	90.0	0.45
11/15/2018 00075691800 WINEGARNER, AARON	MF	NEW WORLD FUND-C	В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 00075766744 MALLECK, DONALD	MF	CAPITAL WORLD GROWTH AND	INCOME B	36.00	0.36	0.00	0.36	90.0	0.32
11/16/2018 00075766744 MALLECK, DONALD	MF	CAPITAL WORLD GROWTH AND	INCOME B	25.00	0.25	0.00	0.25	90.0	0.23
11/16/2018 00075766788 RAY, KEITH	MF	CAPITAL WORLD GROWTH AND	INCOME B	78.60	0.79	0.00	0.79	90.0	0.71
11/16/2018 00075766788 RAY, KEITH	MF	CAPITAL WORLD GROWTH AND	INCOME B	78.60	0.79	0.00	0.79	90.0	0.71
11/15/2018 00081581474 COOK, CODY	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
11/19/2018 00082349839 COOK, CODY	MF	CAPITAL WORLD GROWTH AND	INCOME B	150.00	1.50	0.00	1.50	90.0	1.35
11/19/2018 00082349839 COOK, CODY	MF	NEW WORLD FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
11/20/2018 00082421610 HOPKINS, DARRIAN	MF	CAPITAL INCOME BUILDER-52	9C B	50.00	0.50	0.00	0.50	90.0	0.45
11/20/2018 00082421665 HOPKINS, DARRIAN	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
11/15/2018 00083080594 HARPER, LEONARD	MF	CAPITAL WORLD GROWTH AND	INCOME B	87.00	0.87	0.00	0.87	90.0	0.78
11/15/2018 00083080594 HARPER, LEONARD	MF	CAPITAL WORLD GROWTH AND	INCOME B	500.00	5.00	0.00	5.00	90.0	4.50
11/15/2018 00083081327 HURST, REGINA	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
11/15/2018 00083081354 HURST, REGINA	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
11/16/2018 00083282682 KING, COLTON	MF	CAPITAL WORLD GROWTH AND	INCOME B	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 00083282682 KING, COLTON	MF	CAPITAL WORLD GROWTH AND	INCOME B	25.00	0.25	0.00	0.25	90.0	0.23
11/16/2018 00083282682 KING, COLTON	MF	NEW WORLD FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 00083282682 KING, COLTON	MF	NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 00084056837 LEWIS, KRISTY	MF	AMCAP FUND-C	В	400.00	4.00	0.00	4.00	90.0	3.60
11/19/2018 00084056837 LEWIS, KRISTY	MF	GROWTH PORTFOLIO-C	В	600.00	6.00	0.00	6.00	90.0	5.40
11/19/2018 00084056837 LEWIS, KRISTY	MF	THE GROWTH FUND OF AMERIC.	A-C B	600.00	6.00	0.00	6.00	90.0	5.40
11/19/2018 00084056837 LEWIS, KRISTY	MF	THE NEW ECONOMY FUND-C	В	400.00	4.00	0.00	4.00	90.0	3.60
11/13/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL INCOME BUILDER CL	ASS C B	71.25	0.71	0.00	0.71	90.0	0.64
11/13/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL WORLD GROWTH AND	INCOME B	71.25	0.71	0.00	0.71	90.0	0.64
11/15/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL INCOME BUILDER CL	ASS C B	14.25	0.14	0.00	0.14	90.0	0.13
11/15/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL WORLD GROWTH AND	INCOME B	14.25	0.14	0.00	0.14	90.0	0.13
11/13/2018 00084193466 BROWNING, RANDALL	MF	CAPITAL WORLD GROWTH AND	INCOME B	645.83	6.46	0.00	6.46	90.0	5.81
11/15/2018 00084193466 BROWNING, RANDALL	MF	CAPITAL WORLD GROWTH AND	INCOME B	112.82	1.13	0.00	1.13	90.0	1.02
11/19/2018 00084238049 BLACK, MACHELLE	MF	AMERICAN BALANCED FUND-A	В	800.00	34.00	0.00	34.00	90.0	30.60
11/20/2018 00084306283 CARR, TYRA	MF	CAPITAL INCOME BUILDER CL	ASS C B	96.86	0.97	0.00	0.97	90.0	0.87
11/20/2018 00084306283 CARR, TYRA	MF	CAPITAL INCOME BUILDER CL	ASS C B	72.65	0.73	0.00	0.73	90.0	0.66
11/20/2018 00084306312 CASTRO, ALICE	MF	CAPITAL WORLD GROWTH AND	INCOME B	97.93	0.98	0.00	0.98	90.0	0.88
11/20/2018 00084306312 CASTRO, ALICE	MF	CAPITAL WORLD GROWTH AND	INCOME B	58.76	0.59	0.00	0.59	90.0	0.53
11/20/2018 00084306341 CHAVEZ, RICK	MF	CAPITAL WORLD BOND FUND-C	В	51.12	0.51	0.00	0.51	90.0	0.46
11/20/2018 00084306341 CHAVEZ, RICK	MF	CAPITAL WORLD BOND FUND-C		51.12	0.51	0.00		90.0	0.46
11/20/2018 00084306458 FLORES, RAY	MF	CAPITAL WORLD GROWTH AND		75.00	0.75	0.00	0.75		0.68
11/20/2018 00084306458 FLORES, RAY	MF	CAPITAL WORLD GROWTH AND		75.00	0.75	0.00	0.75		0.68
11/20/2018 00084306506 GARCIA, FRANCES	MF	CAPITAL INCOME BUILDER CL.		134.33	1.34	0.00	1.34		1.21
11/20/2018 00084306506 GARCIA, FRANCES	MF	CAPITAL INCOME BUILDER CL.		80.60	0.81	0.00	0.81		0.73
11/20/2018 00084306531 GUEBARA, LUTILDA		CAPITAL INCOME BUILDER CL.		48.81	0.49	0.00	0.49	90.0	0.44
11/20/2018 00084306531 GUEBARA, LUTILDA		CAPITAL INCOME BUILDER CL.		48.81	0.49	0.00	0.49	90.0	0.44
11/20/2018 00084307114 KOONTZ, KARLA	MF	CAPITAL INCOME BUILDER CL.		80.60	0.81	0.00		90.0	0.73

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	DATE	BROKER COMMISSION
		ME BUILDER CLASS C B	80.60	0.81	0.00	0.81	90.0	0.73
11/20/2018 00084307114 ROON12, RARLA M. 11/20/2018 00084307160 LAM, BIC M.		ME BUILDER CLASS C B	48.91	0.49	0.00	0.49	90.0	0.73
11/20/2018 00084307100 LAM, BIC M		ME BUILDER CLASS C B	48.91	0.49	0.00	0.49	90.0	0.44
11/20/2018 00084307186 LONG, GABRIELLE M		B BUILDER CLASS C B	24.85	0.49	0.00	0.49	90.0	0.44
		В	7.46	0.23	0.00	0.23	90.0	0.23
·			24.85	0.07	0.00	0.07	90.0	0.23
11/20/2018 00084307186 LONG, GABRIELLE M	F AMERICAN MUTU F AMERICAN MUTU		7.46	0.23	0.00	0.23	90.0	0.23
•		ME BUILDER CLASS C B	33.14		0.00		90.0	0.30
11/20/2018 00084307186 LONG, GABRIELLE M 11/20/2018 00084307186 LONG, GABRIELLE M		ME BUILDER CLASS C B	9.94	0.33	0.00	0.33	90.0	0.30
•			33.14	0.10	0.00		90.0	0.30
11/20/2018 00084307186 LONG, GABRIELLE M			9.94	0.33	0.00	0.33	90.0	0.30
11/20/2018 00084307186 LONG, GABRIELLE M						0.10		
11/20/2018 00084307186 LONG, GABRIELLE M		OMPANY OF AMERICA B	24.86	0.25	0.00	0.25	90.0	0.23
11/20/2018 00084307186 LONG, GABRIELLE M		OMPANY OF AMERICA B	7.46	0.07	0.00	0.07	90.0	0.06
11/20/2018 00084307186 LONG, GABRIELLE M		JND OF AMERICA-C B	24.85	0.25	0.00	0.25	90.0	0.23
11/20/2018 00084307186 LONG, GABRIELLE M 11/20/2018 00084307239 MARTIN, SHERRY M		IND OF AMERICA-C B ME BUILDER CLASS C B	7.45	0.07	0.00	0.07	90.0	0.06
			61.03	0.61	0.00	0.61	90.0	0.55
, , , , , , , , , , , , , , , , , , , ,		ME BUILDER CLASS C B	61.03	0.61	0.00	0.61	90.0	0.55 6.75
•	F INTERNATIONAL		750.00	7.50	0.00	7.50	90.0	
, .,	F INTERNATIONAL		93.75	0.94	0.00	0.94	90.0	0.85
11/20/2018 00084307345 RAMOS, ROSA M		ME BUILDER CLASS C B	43.77	0.44	0.00	0.44	90.0	0.40
11/20/2018 00084307345 RAMOS, ROSA M		ME BUILDER CLASS C B	43.77	0.44	0.00	0.44	90.0	0.40
11/20/2018 00084307428 SILLIVENT, SHELBY M		ME BUILDER CLASS C B	222.12	2.22	0.00	2.22	90.0	2.00
11/20/2018 00084307428 SILLIVENT, SHELBY M		ME BUILDER CLASS C B	55.53	0.56	0.00	0.56	90.0	0.50
11/20/2018 00084307428 SILLIVENT, SHELBY M		GROWTH AND INCOME B	109.40	1.09	0.00	1.09	90.0	0.98
11/20/2018 00084307428 SILLIVENT, SHELBY M		GROWTH AND INCOME B	27.35	0.27	0.00	0.27	90.0	0.24
11/20/2018 00084307464 TOBAR, VICTORIA M			43.75	0.44	0.00	0.44	90.0	0.40
11/20/2018 00084307464 TOBAR, VICTORIA M			43.75	0.44	0.00	0.44	90.0	0.40
11/20/2018 00084307502 WALTERS, M			51.83	0.52	0.00	0.52	90.0	0.47
11/20/2018 00084307502 WALTERS, M	F CAPITAL WORLD	BOND FUND-C B	51.83	0.52	0.00	0.52	90.0	0.47
11/20/2018 00084307674 MARTINEZ, MONICA M			71.50	0.72	0.00	0.72	90.0	0.65
11/20/2018 00084307674 MARTINEZ, MONICA M	F CAPITAL WORLD	BOND FUND-C B	71.50	0.72	0.00	0.72	90.0	0.65
11/16/2018 00084359266 WILLIAMS, JOY M	F AMERICAN BALA	ANCED FUND-A B	50.00	0.50	0.00	0.50	90.0	0.45
11/16/2018 00084359266 WILLIAMS, JOY	F AMERICAN BALA	ANCED FUND-A B	25.00	0.25	0.00	0.25	90.0	0.23
11/20/2018 00084573009 THORNTON, LAINIE M	F CAPITAL INCOM	ME BUILDER CLASS C B	129.19	1.29	0.00	1.29	90.0	1.16
11/20/2018 00084573009 THORNTON, LAINIE M	F CAPITAL INCOM	ME BUILDER CLASS C B	55.37	0.55	0.00	0.55	90.0	0.50
11/20/2018 00084712006 HORNE, LORRAINE M	F CAPITAL WORLD	BOND FUND-C B	46.88	0.47	0.00	0.47	90.0	0.42
11/20/2018 00084712006 HORNE, LORRAINE M	F CAPITAL WORLD	BOND FUND-C B	46.88	0.47	0.00	0.47	90.0	0.42
11/20/2018 00084970997 EVANS, AARON M	F INTERNATIONAL	GROWTH AND B	64.57	0.65	0.00	0.65	90.0	0.59
11/20/2018 00084970997 EVANS, AARON M	F INTERNATIONAL	GROWTH AND B	64.57	0.65	0.00	0.65	90.0	0.59
11/16/2018 00084979351 MALLECK, DONALD M	F CAPITAL WORLD	GROWTH AND INCOME B	40.00	0.40	0.00	0.40	90.0	0.36
11/16/2018 00084979351 MALLECK, DONALD M	F CAPITAL WORLD	GROWTH AND INCOME B	40.00	0.40	0.00	0.40	90.0	0.36
11/16/2018 00084979364 BAKER, MEGHAN M	F CAPITAL WORLD	GROWTH AND INCOME B	51.00	0.51	0.00	0.51	90.0	0.46
11/16/2018 00084979364 BAKER, MEGHAN M	F CAPITAL WORLD	GROWTH AND INCOME B	51.00	0.51	0.00	0.51	90.0	0.46
11/16/2018 00084979405 HERNANDEZ, IAEL M	F CAPITAL WORLD	GROWTH AND INCOME B	50.00	0.50	0.00	0.50	90.0	0.45

					INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE (	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
	34979405 HERNANDEZ,		' CAPITAL WORLD GROWTH AND INCOM	ΞВ	50.00	0.50	0.00	0.50	90.0	0.45
11/16/2018 0008	35000842 SANCHEZ, R	AUL ME	CAPITAL WORLD BOND FUND-A	В	54.00	0.54	0.00	0.54	90.0	0.49
11/16/2018 0008	35000842 SANCHEZ, R	AUL ME	CAPITAL WORLD BOND FUND-A	В	54.00	0.54	0.00	0.54	90.0	0.49
11/20/2018 0008	35093277 JONES, JES	SICA ME	GLOBAL BALANCED FUND-C	В	142.48	1.42	0.00	1.42	90.0	1.28
11/20/2018 0008	35093277 JONES, JES	SICA ME	GLOBAL BALANCED FUND-C	В	53.43	0.53	0.00	0.53	90.0	0.48
11/20/2018 0008	35093307 WHITE, STA	CIE ME	CAPITAL WORLD BOND FUND-C	В	49.85	0.50	0.00	0.50	90.0	0.45
11/20/2018 0008	35093307 WHITE, STA	CIE ME	CAPITAL WORLD BOND FUND-C	В	49.85	0.50	0.00	0.50	90.0	0.45
11/14/2018 0008	35350239 GABERT, BE	AU ME	DEVELOPING WORLD GROWTH &	В	125.00	1.25	0.00	1.25	90.0	1.13
11/14/2018 0008	35350239 GABERT, BE	AU ME	DEVELOPING WORLD GROWTH &	В	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 0008	35350239 GABERT, BE	AU ME	NEW PERSPECTIVE FUND-A	В	125.00	1.25	0.00	1.25	90.0	1.13
11/14/2018 0008	35350239 GABERT, BE	AU ME	NEW PERSPECTIVE FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 0008	35350239 GABERT, BE	AU ME	THE GROWTH FUND OF AMERICA-A	В	125.00	1.25	0.00	1.25	90.0	1.13
11/14/2018 0008	35350239 GABERT, BE	AU ME	THE GROWTH FUND OF AMERICA-A	В	22.50	0.23	0.00	0.23	90.0	0.21
11/14/2018 0008	35350239 GABERT, BE	AU ME	THE INVESTMENT COMPANY OF	В	125.00	1.25	0.00	1.25	90.0	1.13
11/14/2018 0008	35350239 GABERT, BE	AU ME	THE INVESTMENT COMPANY OF	В	22.50	0.23	0.00	0.23	90.0	0.21
11/19/2018 0008	35445325 HIGBY, KIM	BERLY ME	CAPITAL WORLD GROWTH AND INCOM	ΣВ	750.00	7.50	0.00	7.50	90.0	6.75
11/14/2018 0008	35937196 VILLARREAL	, SAUL ME	' AMERICAN BALANCED FUND-A	В	49.51	0.50	0.00	0.50	90.0	0.45
11/14/2018 0008	35937196 VILLARREAL	, SAUL ME	AMERICAN BALANCED FUND-A	В	49.51	0.50	0.00	0.50	90.0	0.45
11/14/2018 0008	36064807 DAVIS, WHI	TNEY ME	CAPITAL WORLD BOND FUND-A	В	250.00	2.50	0.00	2.50	90.0	2.25
	36064807 DAVIS, WHI:			В	26.25	0.26	0.00	0.26	90.0	0.23
	36064807 DAVIS, WHI				125.00	1.25	0.00	1.25	90.0	1.13
	36064807 DAVIS, WHI				13.13	0.13	0.00	0.13	90.0	0.12
	36064807 DAVIS, WHI			В	125.00	1.25	0.00	1.25	90.0	1.13
	36064807 DAVIS, WHI			В	13.12	0.13	0.00	0.13	90.0	0.12
	36553806 POPEJOY, R			В	25.00	0.25	0.00	0.25	90.0	0.23
	36553806 POPEJOY, R			В	25.00	0.25	0.00	0.25	90.0	0.23
	36553806 POPEJOY, R			В	25.00	0.25	0.00	0.25	90.0	0.23
	36553806 POPEJOY, R			В	25.00	0.25	0.00	0.25	90.0	0.23
	36665083 MARSIC, UR			В	40.89	0.41	0.00	0.41	90.0	0.37
	36665083 MARSIC, UR			В	40.89	0.41	0.00	0.41	90.0	0.37
	36665101 O'ROURKE, 1			В	12.50	0.13	0.00	0.13	90.0	0.12
	36665101 O'ROURKE, 1			В	6.06	0.06	0.00	0.06	90.0	0.05
	36665101 O'ROURKE, 1			В	6.08	0.06	0.00	0.06	90.0	0.05
, , , , , , , , , , , , , , , , , , , ,	,			В	12.50	0.13	0.00	0.00	90.0	0.12
	36665101 O'ROURKE, 1 36666430 GARCIA, CR			В	62.50	0.63	0.00	0.63	90.0	0.12
	36666430 GARCIA, CR		' AMERICAN BALANCED FUND-C' ' AMERICAN BALANCED FUND-C	В	20.67					0.19
				_		0.21	0.00	0.21		
			AMERICAN BALANCED FUND-A	В	80.00	0.80	0.00		90.0	0.72
			AMERICAN BALANCED FUND-A	В	25.00	0.25	0.00		90.0	0.23
	37192229 GABERT, LY		DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00		90.0	0.23
	37192229 GABERT, LY		INVESTMENT COMPANY OF AMERICA	В	25.00	0.25	0.00		90.0	0.23
	37192229 GABERT, LY		NEW PERSPECTIVE FUND-C	В	25.00	0.25	0.00		90.0	0.23
	37192229 GABERT, LY		THE GROWTH FUND OF AMERICA-C	В	25.00	0.25	0.00		90.0	0.23
	37204412 BULLARD, BI		' AMERICAN BALANCED FUND-A	В	400.00	4.00	0.00		90.0	3.60
11/16/2018 0008	37204412 BULLARD, B	RYANT ME	' AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54

						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
11/20/2018 0	0087690214	GALLOWAY,	MF	AMERICAN BALANCED FUND-C	В	52.00	0.52	0.00	0.52	90.0	0.47
11/20/2018 0	0087690214	GALLOWAY,	MF	AMERICAN BALANCED FUND-C	В	52.00	0.52	0.00	0.52	90.0	0.47
11/16/2018 0	0087725681	COOPER, TRACY	MF	AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54
11/16/2018 0	0087725681	COOPER, TRACY	MF	AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54
11/16/2018 0	0087813930	BLANCHARD, ROBERT	MF	AMERICAN MUTUAL FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 0	0087813930	BLANCHARD, ROBERT	MF	AMERICAN MUTUAL FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
11/20/2018 0	0087819909	FOSHEE, CAROLL	MF	AMERICAN BALANCED FUND-C	В	43.77	0.44	0.00	0.44	90.0	0.40
11/20/2018 0	0087819909	FOSHEE, CAROLL	MF	AMERICAN BALANCED FUND-C	В	43.77	0.44	0.00	0.44	90.0	0.40
11/15/2018 0	0087836077	DOMINGUEZ, ERIC	MF	AMERICAN BALANCED FUND-C	В	20.00	0.20	0.00	0.20	90.0	0.18
11/15/2018 0	0087836077	DOMINGUEZ, ERIC	MF	AMERICAN BALANCED FUND-C	В	16.67	0.17	0.00	0.17	90.0	0.15
11/16/2018 0	0087836092	WAKEFIELD,	MF	AMERICAN BALANCED FUND-A	В	26.00	0.26	0.00	0.26	90.0	0.23
11/16/2018 0	0087836092	WAKEFIELD,	MF	AMERICAN BALANCED FUND-A	В	15.00	0.15	0.00	0.15	90.0	0.14
11/16/2018 0	0087846961	MCCARTNEY,	MF	GLOBAL BALANCED FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 0	0087846961	MCCARTNEY,	MF	GLOBAL BALANCED FUND-A	В	88.64	0.89	0.00	0.89	90.0	0.80
11/16/2018 0	0087916087	JENKINS, TAMMIE	MF	GLOBAL BALANCED FUND-A	В	200.00	2.00	0.00	2.00	90.0	1.80
11/16/2018 0	0087916087	JENKINS, TAMMIE	MF	GLOBAL BALANCED FUND-A	В	50.00	0.50	0.00	0.50	90.0	0.45
11/19/2018 0	0088101439	GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 0	0088101439	GABERT, BEAU	MF	NEW PERSPECTIVE FUND-529C	В	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 0	0088101439	GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-5290	! B	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 0	0088101439	GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	25.00	0.25	0.00	0.25	90.0	0.23
11/20/2018 0	0088209450	MASHBURN,	MF	GLOBAL BALANCED FUND-C	В	84.36	0.84	0.00	0.84	90.0	0.76
11/20/2018 0	0088209450	MASHBURN,	MF	GLOBAL BALANCED FUND-C	В	36.16	0.36	0.00	0.36	90.0	0.32
		CANADA, ERMA	MF	GLOBAL BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
		CANADA, ERMA	MF	GLOBAL BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
		MORGAN, ROXANNE	MF	GLOBAL BALANCED FUND-C	В	56.10	0.56	0.00	0.56	90.0	0.50
		MORGAN, ROXANNE	MF	GLOBAL BALANCED FUND-C	В	42.08	0.42	0.00	0.42		0.38
		BULLARD, ANGELA	MF	CAPITAL WORLD GROWTH AND INCOME		105.00	1.05	0.00	1.05	90.0	0.95
		BULLARD, ANGELA	MF	CAPITAL WORLD GROWTH AND INCOME		45.00	0.45	0.00	0.45	90.0	0.41
		VICKERS, GRACE	MF	AMERICAN BALANCED FUND-C	В	130.28	1.30	0.00	1.30	90.0	1.17
		VICKERS, GRACE	MF	AMERICAN BALANCED FUND-C	В	13.03	0.13	0.00	0.13	90.0	0.12
		LONG, GABRIELLE	MF		В	229.16	2.29	0.00	2.29	90.0	2.06
		PEREIDA, JENNIFER		AMERICAN BALANCED FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
		PEREIDA, JENNIFER		AMERICAN BALANCED FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
11/15/2018 0			MF	FUNDAMENTAL INVESTORS-C	В	100.00	1.00	0.00	1.00	90.0	0.90
		DAVIS, WHITNEY		NEW PERSPECTIVE FUND-529C	В	25.00	0.25	0.00		90.0	0.23
		DAVIS, WHITNEY	MF			25.00	0.25	0.00		90.0	0.23
		DAVIS, WHITNEY	MF	THE INVESTMENT COMPANY OF	В	25.00	0.25	0.00	0.25		0.23
		DAVIS, WHITNEY	MF		В	25.00	0.25	0.00	0.25		0.23
		PHOUCHANE, LEA		AMERICAN BALANCED FUND-C	В	47.75	0.48	0.00	0.48		0.43
		PHOUCHANE, LEA		AMERICAN BALANCED FUND-C AMERICAN BALANCED FUND-C	В	47.75 81.61	0.48	0.00	0.48		0.43 0.74
		LACKEY, STEPHANIE			В	81.61	0.82	0.00	0.82		
		LACKEY, STEPHANIE		AMERICAN BALANCED FUND-C	В	40.81	0.41	0.00	0.41		0.37
11/16/2018 0		,	MF	THE GROWTH FUND OF AMERICA-A	В	100.00	1.00	0.00	1.00		0.90
11/16/2018 0	0089380095	KING, JACOB	MF'	THE GROWTH FUND OF AMERICA-A	В	57.00	0.57	0.00	0.57	90.0	0.51

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
11/16/2018 00089380378 WOOLSEY, MARISA MI	F AMERICAN BALANCED FUND-A	В	86.06	0.86	0.00	0.86	90.0	0.77
11/16/2018 00089380378 WOOLSEY, MARISA MI	F AMERICAN BALANCED FUND-A	В	64.54	0.65	0.00	0.65	90.0	0.59
11/16/2018 00089393166 ROSS, STEPHEN MI	F THE GROWTH FUND OF AMERICA-A	В	54.00	0.54	0.00	0.54	90.0	0.49
11/16/2018 00089393166 ROSS, STEPHEN MI	F THE GROWTH FUND OF AMERICA-A	В	54.00	0.54	0.00	0.54	90.0	0.49
11/16/2018 00089393179 MILLER, MICAIAH M	F THE GROWTH FUND OF AMERICA-A	В	57.40	0.57	0.00	0.57	90.0	0.51
11/16/2018 00089393179 MILLER, MICAIAH M	F THE GROWTH FUND OF AMERICA-A	В	57.40	0.57	0.00	0.57	90.0	0.51
11/20/2018 00089446201 CARLSON, CHESTER M	F AMERICAN BALANCED FUND-C	В	173.40	1.73	0.00	1.73	90.0	1.56
11/20/2018 00089446201 CARLSON, CHESTER M	F AMERICAN BALANCED FUND-C	В	52.02	0.52	0.00	0.52	90.0	0.47
11/15/2018 00089468348 WYLIE, KRISTIN M	F NEW PERSPECTIVE FUND-C	В	211.54	2.12	0.00	2.12	90.0	1.91
11/20/2018 00089508477 JOHNSON, JESSICA M	F AMERICAN BALANCED FUND-C	В	47.10	0.47	0.00	0.47	90.0	0.42
11/20/2018 00089508477 JOHNSON, JESSICA M	F AMERICAN BALANCED FUND-C	В	14.13	0.14	0.00	0.14	90.0	0.13
11/20/2018 00089508507 LOPEZ, ANTONIO M	F AMERICAN BALANCED FUND-C	В	29.29	0.29	0.00	0.29	90.0	0.26
11/20/2018 00089508507 LOPEZ, ANTONIO M	F AMERICAN BALANCED FUND-C	В	10.98	0.11	0.00	0.11	90.0	0.10
11/20/2018 00089508507 LOPEZ, ANTONIO M	F NEW PERSPECTIVE FUND-C	В	29.29	0.29	0.00	0.29	90.0	0.26
11/20/2018 00089508507 LOPEZ, ANTONIO M	F NEW PERSPECTIVE FUND-C	В	10.99	0.11	0.00	0.11	90.0	0.10
11/16/2018 00089807491 WILLIAMSON, LISA M	F AMERICAN BALANCED FUND-C	В	140.00	1.40	0.00	1.40	90.0	1.26
11/16/2018 04000149180 DUGGAN, KENNETH M	F THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
11/16/2018 04000149180 DUGGAN, KENNETH M	F THE GROWTH FUND OF AMERICA-A	В	53.82	0.54	0.00	0.54	90.0	0.49
11/16/2018 04000149281 EADY, ASHLEY MI	F THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
11/16/2018 04000149281 EADY, ASHLEY MI	F THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
11/16/2018 04000251848 GUEVARA, MICHELLE M	F AMERICAN BALANCED FUND-C	В	16.00	0.16	0.00	0.16	90.0	0.14
11/16/2018 04000251848 GUEVARA, MICHELLE M		В	4.40	0.04	0.00	0.04	90.0	0.04
11/23/2018 04000251848 GUEVARA, MICHELLE MI		В	5.68	0.06	0.00	0.06	90.0	0.05
11/23/2018 04000251848 GUEVARA, MICHELLE MI		В	16.00	0.16	0.00	0.16	90.0	0.14
11/15/2018 04000344353 BERNARD, CONNER MI		В	100.00	1.00	0.00	1.00	90.0	0.90
11/15/2018 04000344353 BERNARD, CONNER MI			100.00	1.00	0.00	1.00	90.0	0.90
11/15/2018 04000344408 BERNARD, CONNER MI		В	100.00	1.00	0.00	1.00	90.0	0.90
11/15/2018 04000344408 BERNARD, CONNER MI			100.00	1.00	0.00	1.00	90.0	0.90
11/16/2018 04000373393 HILL, THOMAS MI		В	129.83	1.30	0.00	1.30	90.0	1.17
11/16/2018 04000373393 HILL, THOMAS MI		В	129.83	1.30	0.00	1.30	90.0	1.17
11/23/2018 04000373393 HILL, THOMAS MI		В	121.43	1.21	0.00	1.21	90.0	1.09
11/23/2018 04000373393 HILL, THOMAS MI		В	121.43	1.21	0.00	1.21	90.0	1.09
11/16/2018 04000373393 HILL, THOMAS MI		В	76.35	0.76	0.00	0.76	90.0	0.68
11/16/2018 04000373417 POOVEY, RUSSELL MI		В	32.72	0.33	0.00	0.78	90.0	0.30
				0.33				0.30
· · · · ·		В	76.35		0.00	0.76	90.0	
	F THE GROWTH FUND OF AMERICA-C	В	32.72	0.33	0.00		90.0	0.30
	F THE GROWTH FUND OF AMERICA-C	В	27.80	0.28	0.00		90.0	0.25
	F THE GROWTH FUND OF AMERICA-C	В	64.87	0.65	0.00		90.0	0.59
	F THE GROWTH FUND OF AMERICA-C	В	64.64	0.65	0.00		90.0	0.59
11/23/2018 04000373445 KELSO, PAUL MI		В	27.70	0.28	0.00		90.0	0.25
11/16/2018 04000373465 HENSON, CHASE MI		В	25.28	0.25	0.00		90.0	0.23
11/16/2018 04000373465 HENSON, CHASE MI		В	58.99	0.59	0.00		90.0	0.53
11/23/2018 04000373465 HENSON, CHASE MI		В	58.99	0.59	0.00		90.0	0.53
11/23/2018 04000373465 HENSON, CHASE MI	F THE GROWTH FUND OF AMERICA-C	В	25.28	0.25	0.00	0.25	90.0	0.23

TRADE DATE	CLIENT		:	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/15/2018 04	4000374817 POUND	S, DAVID	MF	CAPITAL WORLD GROWTH AND INCOME	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	CAPITAL WORLD GROWTH AND INCOME	В	17.82	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	FUNDAMENTAL INVESTORS-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	FUNDAMENTAL INVESTORS-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	NEW PERSPECTIVE FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	NEW PERSPECTIVE FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	THE GROWTH FUND OF AMERICA-A	В	17.82	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	THE GROWTH FUND OF AMERICA-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	THE NEW ECONOMY FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/15/2018 04	4000374817 POUND	S, DAVID	MF	THE NEW ECONOMY FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
11/16/2018 04	4000415640 MORRI	S, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	17.98	0.18	0.00	0.18	90.0	0.16
11/16/2018 04	4000415640 MORRI	S, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	17.98	0.18	0.00	0.18	90.0	0.16
11/23/2018 04	4000415640 MORRI	S, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	11.41	0.11	0.00	0.11	90.0	0.10
11/23/2018 04	4000415640 MORRI	S, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	11.41	0.11	0.00	0.11	90.0	0.10
11/20/2018 04	4000444415 CRAWF	ORD, ZACHARY	MF	THE GROWTH FUND OF AMERICA-C	В	100.00	1.00	0.00	1.00	90.0	0.90
11/15/2018 04	4000484729 BERNA	RD, SHANE	MF	GROWTH PORTFOLIO-C	В	250.00	2.50	0.00	2.50	90.0	2.25
11/15/2018 04	4000484764 BERNA	RD, TERENCE	MF	GROWTH PORTFOLIO-C	В	250.00	2.50	0.00	2.50	90.0	2.25
11/20/2018 04	4000566805 PORRA	SDANA	MF	NEW PERSPECTIVE FUND-C	В	50.95	0.51	0.00	0.51	90.0	0.46
11/20/2018 04	4000566805 PORRA	SDANA	MF	NEW PERSPECTIVE FUND-C	В	50.95	0.51	0.00	0.51	90.0	0.46
11/13/2018 04	4000643527 SORIA	, CHRISTY	MF	AMERICAN BALANCED FUND-C	В	37.34	0.37	0.00	0.37	90.0	0.33
11/15/2018 04	4000643527 SORIA	, CHRISTY	MF	AMERICAN BALANCED FUND-C	В	12.45	0.12	0.00	0.12	90.0	0.11
11/23/2018 04	4000652364 CROSS	, SETH	MF	GLOBAL GROWTH PORTFOLIO-C	В	250.00	2.50	0.00	2.50	90.0	2.25
11/20/2018 04	4000785441 PALAC	IOS, FREDDY	MF	AMERICAN BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
11/20/2018 04	4000785441 PALAC	IOS, FREDDY	MF	AMERICAN BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
11/20/2018 04	4000785459 MALON	EY,	MF	AMERICAN BALANCED FUND-C	В	131.25	1.31	0.00	1.31	90.0	1.18
11/20/2018 04	4000785459 MALON	EY,	MF	AMERICAN BALANCED FUND-C	В	56.25	0.56	0.00	0.56	90.0	0.50
11/16/2018 04	4000815153 HARDE	GREE, KEVIN	MF	THE GROWTH FUND OF AMERICA-A	В	88.50	0.89	0.00	0.89	90.0	0.80
11/16/2018 04	4000815153 HARDE	GREE, KEVIN	MF	THE GROWTH FUND OF AMERICA-A	В	88.50	0.89	0.00	0.89	90.0	0.80
11/16/2018 04	4000815226 RUTLE	DGE, AMBER	MF	AMERICAN BALANCED FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
11/16/2018 04	4000815226 RUTLE	DGE, AMBER	MF	AMERICAN BALANCED FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
11/16/2018 04	4000816613 FRANK	LIN, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	В	300.00	3.00	0.00	3.00	90.0	2.70
11/16/2018 04	4000816613 FRANK	LIN, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	В	37.00	0.37	0.00	0.37	90.0	0.33
11/19/2018 04	4001007344 GABER	T, BEAU	MF	DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 04	4001007344 GABER	T, BEAU	MF	INVESTMENT COMPANY OF AMERICA	В	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 04	4001007344 GABER	T, BEAU	MF	NEW PERSPECTIVE FUND-C	В	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 04	4001007344 GABER	T, BEAU	MF	THE GROWTH FUND OF AMERICA-C	В	25.00	0.25	0.00	0.25	90.0	0.23
	4001007748 ARTER			AMERICAN BALANCED FUND-C	В	990.30	9.90	0.00		90.0	8.91
	4001187578 WYLIE		MF	THE GROWTH FUND OF AMERICA-529C	В	100.00	1.00	0.00		90.0	0.90
	4001193718 FEATH		MF	THE GROWTH FUND OF AMERICA-C	В	750.00	7.50	0.00		90.0	6.75
	4001241598 CORDE		MF	INVESTMENT COMPANY OF AMERICA	В	41.25	0.41	0.00		90.0	0.37
	4001241598 CORDE	•	MF	INVESTMENT COMPANY OF AMERICA	В	41.25	0.41	0.00		90.0	0.37
				* MUTUAL FUNDS SUBTOTAL		\$36,070.27	\$409.69	\$0.00	\$409.69		\$369.20

## 12/06/2018 Page No. 14 COMMISSION STATEMENT for DON BOYD-D076

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANN	UITIES							
11/15/2018 (None) ATER, APRIL	VA VOYA LIFE	В	166.66	1.25	0.00	1.25	90.0	1.13
	* VARIABLE ANNUITIES SUBTOTAL	*	\$166.66	\$1.25	\$0.00	\$1.25		\$1.13
PRODUCT CATEGORY: MUTUAL FUND	12B1 TRAILS							
10/31/2018 (None) VARIOUS, BOYD D	MFT AIG	В	0.00	4.25	0.00	4.25	90.0	3.83
10/31/2018 (None) GARNER, DALE	MFT VIRTUS	В	0.00	19.21	0.00	19.21	90.0	17.29
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$23.46	\$0.00	\$23.46		\$21.12
PRODUCT CATEGORY: VARIABLE ANN	UITY 12B1 TRAILS							
11/13/2018 VR07456714 STUBBLEFIELD	, JUDY VAT PACIFIC LIFE CUSIP 694474701	В	0.00	47.40	0.00	47.40	90.0	42.66
11/16/2018 VR08464388 LYMAN STUBBLEFIELD VAT PACIFIC LIFE CUSIP 694474701 B			0.00	124.30	0.00	124.30	90.0	111.87
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL	*	\$0.00	\$171.70	\$0.00	\$171.70		\$154.53
	*** DIRECT TRANSACTIONS TOTAL **	**	\$36,236.93	\$606.10	\$0.00	\$606.10		\$545.98
	ADJUSTMENTS	TRA	NSACTIONS					
BROKER: #0								
11/30/2018	: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	: BROKER WORKSTATION-SHEILA		0.00	0.00	0.00	0.00		-15.00
11/30/2018	: CUSTOMER WRITE OFF 307138720		0.00	0.00	0.00	0.00		-25.00
11/30/2018	: CUSTOMER WRITE OFF 584407759		0.00	0.00	0.00	0.00		-19.89
11/30/2018	: CUSTOMER WRITE OFF 461930999		0.00	0.00	0.00	0.00		-25.00
11/30/2018	: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	: E-MAIL ACCOUNT-SHEILA TUCKER		0.00	0.00	0.00	0.00		-12.50
11/30/2018	: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		355.83
	* SUBTOTAL	*	\$0.00	\$0.00	\$0.00	\$0.00		\$203.44
	*** ADJUSTMENTS TRANSACTIONS TOTAL **	*	\$0.00	\$0.00	\$0.00	\$0.00		\$203.44

# BROKER COMMISSION TOTALS Broker Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment Net Commissions Year-to-date Commissions

\$2,026.43

\$0.00

\$0.00

\$0.00 \$0.00

\$0.00

\$0.00 \$0.00

\$2,229.87

\$233,084.53

\$203.44