

COMMISSION STATEMENT

November 30, 2018

BILL MAYO-D043

278 West San Antonio Street

New Braunfels, TX 78130

BROKER #: D043

BRANCH: DMN

COMMISSION STATEMENT for BILL MAYO-D043

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/07/2018 368279268	MAYO, SUZY	MF IVY BOND FD A	S	-132.45	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *				\$-132.45	\$0.00	\$5.00	\$-5.00		\$-4.25
PRODUCT CATEGORY: STOCKS									
11/26/2018 429899783	KRETZMEIER, WILLIAM S	EDWARDS LIFESCIENCES CORP	S	-6,150.44	52.00	15.00	37.00	85.0	31.45
11/26/2018 429899783	KRETZMEIER, WILLIAM S	THE WALT DISNEY CO	S	-2,211.49	52.00	15.00	37.00	85.0	31.45
* STOCKS SUBTOTAL *				\$-8,361.93	\$104.00	\$30.00	\$74.00		\$62.90
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018 mfc465872	MAYO 465872	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	0.24	0.00	0.24	85.0	0.20
11/21/2018 mfc465872	MAYO 465872	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	9.31	0.00	9.31	85.0	7.91
11/21/2018 mfc465872	MAYO 465872	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	5.15	0.00	5.15	85.0	4.38
11/21/2018 mfc465872	MAYO 465872	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.87	0.00	3.87	85.0	3.29
11/21/2018 mfc465872	MAYO 465872	MFT IVY BOND FD A	B	0.00	0.03	0.00	0.03	85.0	0.03
11/21/2018 mfc465872	MAYO 465872	MFT IVY BOND FD A	B	0.00	0.77	0.00	0.77	85.0	0.65
11/21/2018 mfc465872	MAYO 465872	MFT IVY CORE EQUITY FD CL A	B	0.00	0.06	0.00	0.06	85.0	0.05
11/21/2018 mfc465872	MAYO 465872	MFT MAIN STREET FUND A	B	0.00	6.86	0.00	6.86	85.0	5.83
11/21/2018 mfc465872	MAYO 465872	MFT PRUDENTIAL HIGH YEILD FUND A	B	0.00	0.33	0.00	0.33	85.0	0.28
11/21/2018 mfc465872	MAYO 465872	MFT PRUDENTIAL HIGH YEILD FUND A	B	0.00	11.94	0.00	11.94	85.0	10.15
11/21/2018 mfc465872	MAYO 465872	MFT QUEST OPPORTUNITY VALUE A	B	0.00	3.71	0.00	3.71	85.0	3.15
11/21/2018 mfc465872	MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	0.09	0.00	0.09	85.0	0.08
11/21/2018 mfc465872	MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	0.36	0.00	0.36	85.0	0.31
11/21/2018 mfc465872	MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	3.75	0.00	3.75	85.0	3.19
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$46.47	\$0.00	\$46.47		\$39.50
*** CLEARING TRANSACTIONS TOTAL ***				\$-8,494.38	\$150.47	\$35.00	\$115.47		\$98.15

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		1,183.50
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$1,128.50
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$1,128.50

BROKER COMMISSION TOTALS

Broker Transactions	\$98.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,128.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,226.65
Year-to-date Commissions	\$21,710.45