

COMMISSION STATEMENT

November 30, 2018

CHIP KLEINBROOK-D037
 2817 South Milford Road
 Suite 101
 Highland, MI 48357

BROKER #: D037
 BRANCH: DMN

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/28/2018 277269323	FAGELMAN, JERRY	MF FRANKLIN MI TAX FR INCOME FUND	S	2,502.45	25.00	2.50	22.50	80.0	18.00
11/14/2018 368296447	MITCHELL, NANCY	MF FIDELITY ADV INVESTMENT GRADE	S	-6,270.13	0.00	5.00	-5.00	80.0	-4.00
11/14/2018 368296447	MITCHELL, NANCY	MF FIDELITY ADVISOR FUNDS	B	-17,987.55	0.00	5.00	-5.00	80.0	-4.00
11/01/2018 368435008	KLEINBROOK, ROBERT	MF FIDELITY ADVISOR FUNDS	B	-497.55	0.00	5.00	-5.00	80.0	-4.00
10/31/2018 461851078	LEWIS, JEAN	MF PUTNAM TAX EXEMPT INCM C	B	-3,577.55	0.00	5.00	-5.00	80.0	-4.00
11/28/2018 646417736	KROLL, RICHARD	MF NUVEEN MI MUNI BD C	S	9,902.45	99.00	2.50	96.50	80.0	77.20
* MUTUAL FUNDS SUBTOTAL *				\$-15,927.88	\$124.00	\$25.00	\$99.00		\$79.20

PRODUCT CATEGORY: MISCELLANEOUS

11/01/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 461851078	S	0.00	20.00	0.00	20.00	80.0	16.00
11/15/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 368296447	S	0.00	10.00	0.00	10.00	80.0	8.00
11/15/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 368296447	S	0.00	10.00	0.00	10.00	80.0	8.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$40.00	\$0.00	\$40.00		\$32.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/08/2018 mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.40	0.00	6.40	80.0	5.12
11/21/2018 mfc587889	KLEINBROOK 587889	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	8.72	0.00	8.72	80.0	6.98
11/21/2018 mfc587889	KLEINBROOK 587889	MFT AB HIGH INCOME FD C	B	0.00	19.81	0.00	19.81	80.0	15.85
11/21/2018 mfc587889	KLEINBROOK 587889	MFT AB WEALTH PRES STRAT FD C	B	0.00	51.14	0.00	51.14	80.0	40.91
11/21/2018 mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	31.38	0.00	31.38	80.0	25.10
11/21/2018 mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	62.75	0.00	62.75	80.0	50.20
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.77	0.00	0.77	80.0	0.62
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.87	0.00	0.87	80.0	0.70
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.49	0.00	1.49	80.0	1.19
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.16	0.00	11.16	80.0	8.93
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.97	0.00	1.97	80.0	1.58
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	15.36	0.00	15.36	80.0	12.29
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.33	0.00	1.33	80.0	1.06
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.68	0.00	2.68	80.0	2.14
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.07	0.00	2.07	80.0	1.66
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.66	0.00	3.66	80.0	2.93
11/21/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	2.16	0.00	2.16	80.0	1.73

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	14.71	0.00	14.71	80.0	11.77
11/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	72.64	0.00	72.64	80.0	58.11
11/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.65	0.00	1.65	80.0	1.32
11/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.55	0.00	0.55	80.0	0.44
11/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	0.32	0.00	0.32	80.0	0.26
11/21/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.28	0.00	1.28	80.0	1.02
11/21/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.28	0.00	8.28	80.0	6.62
11/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.17	0.00	15.17	80.0	12.14
11/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	30.33	0.00	30.33	80.0	24.26
11/21/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.95	0.00	5.95	80.0	4.76
11/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.10	0.00	1.10	80.0	0.88
11/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.28	0.00	2.28	80.0	1.82
11/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	7.00	0.00	7.00	80.0	5.60
11/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.24	0.00	4.24	80.0	3.39
11/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.58	0.00	5.58	80.0	4.46
11/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.59	0.00	5.59	80.0	4.47
11/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.49	0.00	6.49	80.0	5.19
11/21/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	33.30	0.00	33.30	80.0	26.64
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	25.29	0.00	25.29	80.0	20.23
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	32.97	0.00	32.97	80.0	26.38
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	69.33	0.00	69.33	80.0	55.46
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.87	0.00	0.87	80.0	0.70
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.56	0.00	14.56	80.0	11.65
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	37.11	0.00	37.11	80.0	29.69
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	5.59	0.00	5.59	80.0	4.47
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.06	0.00	1.06	80.0	0.85
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	1.26	0.00	1.26	80.0	1.01
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.43	0.00	19.43	80.0	15.54
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.51	0.00	3.51	80.0	2.81
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	9.05	0.00	9.05	80.0	7.24
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.21	0.00	1.21	80.0	0.97
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.13	0.00	12.13	80.0	9.70
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.22	0.00	20.22	80.0	16.18
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.25	0.00	4.25	80.0	3.40
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	21.57	0.00	21.57	80.0	17.26
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	23.81	0.00	23.81	80.0	19.05
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	37.59	0.00	37.59	80.0	30.07
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	23.95	0.00	23.95	80.0	19.16
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	75.05	0.00	75.05	80.0	60.04
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.80	0.00	3.80	80.0	3.04
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	31.36	0.00	31.36	80.0	25.09
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	70.17	0.00	70.17	80.0	56.14
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	2.34	0.00	2.34	80.0	1.87
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.26	0.00	2.26	80.0	1.81

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				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.30	0.00	16.30	80.0	13.04
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	17.39	0.00	17.39	80.0	13.91
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.79	0.00	17.79	80.0	14.23
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	21.14	0.00	21.14	80.0	16.91
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.97	0.00	24.97	80.0	19.98
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	38.29	0.00	38.29	80.0	30.63
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.34	0.00	5.34	80.0	4.27
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.62	0.00	16.62	80.0	13.30
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	4.07	0.00	4.07	80.0	3.26
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	16.38	0.00	16.38	80.0	13.10
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	35.21	0.00	35.21	80.0	28.17
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	16.27	0.00	16.27	80.0	13.02
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	27.11	0.00	27.11	80.0	21.69
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	32.78	0.00	32.78	80.0	26.22
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	65.62	0.00	65.62	80.0	52.50
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	24.71	0.00	24.71	80.0	19.77
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.05	0.00	1.05	80.0	0.84
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.24	0.00	3.24	80.0	2.59
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.32	0.00	11.32	80.0	9.06
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	18.82	0.00	18.82	80.0	15.06
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	58.86	0.00	58.86	80.0	47.09
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	19.16	0.00	19.16	80.0	15.33
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	56.46	0.00	56.46	80.0	45.17
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.47	0.00	5.47	80.0	4.38
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	23.94	0.00	23.94	80.0	19.15
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	40.99	0.00	40.99	80.0	32.79
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.45	0.00	5.45	80.0	4.36
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.29	0.00	10.29	80.0	8.23
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	23.30	0.00	23.30	80.0	18.64
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	64.09	0.00	64.09	80.0	51.27
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	8.50	0.00	8.50	80.0	6.80
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	13.88	0.00	13.88	80.0	11.10
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.59	0.00	1.59	80.0	1.27
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	56.16	0.00	56.16	80.0	44.93
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	22.44	0.00	22.44	80.0	17.95
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	40.92	0.00	40.92	80.0	32.74
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.75	0.00	10.75	80.0	8.60
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN COREFOLIO ALLOCATION	B	0.00	0.64	0.00	0.64	80.0	0.51
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN COREFOLIO ALLOCATION	B	0.00	0.65	0.00	0.65	80.0	0.52
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN COREFOLIO ALLOCATION	B	0.00	5.67	0.00	5.67	80.0	4.54
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.33	0.00	7.33	80.0	5.86
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FREE INCOME FD	B	0.00	0.09	0.00	0.09	80.0	0.07
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FREE INCOME FD	B	0.00	0.23	0.00	0.23	80.0	0.18
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FREE INCOME FD	B	0.00	0.29	0.00	0.29	80.0	0.23

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11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FREE INCOME FD	B	0.00	0.90	0.00	0.90	80.0	0.72
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FREE INCOME FD	B	0.00	3.45	0.00	3.45	80.0	2.76
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.40	0.00	6.40	80.0	5.12
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.79	0.00	6.79	80.0	5.43
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.40	0.00	9.40	80.0	7.52
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.90	0.00	13.90	80.0	11.12
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	20.92	0.00	20.92	80.0	16.74
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	27.57	0.00	27.57	80.0	22.06
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	37.29	0.00	37.29	80.0	29.83
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	73.56	0.00	73.56	80.0	58.85
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	78.13	0.00	78.13	80.0	62.50
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	83.43	0.00	83.43	80.0	66.74
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.99	0.00	0.99	80.0	0.79
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN TEMPLETON GROWTH FD C	B	0.00	8.10	0.00	8.10	80.0	6.48
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.41	0.00	7.41	80.0	5.93
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	25.12	0.00	25.12	80.0	20.10
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	53.72	0.00	53.72	80.0	42.98
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL A	B	0.00	4.44	0.00	4.44	80.0	3.55
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL A	B	0.00	7.89	0.00	7.89	80.0	6.31
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	3.71	0.00	3.71	80.0	2.97
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	13.29	0.00	13.29	80.0	10.63
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	33.08	0.00	33.08	80.0	26.46
11/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.45	0.00	3.45	80.0	2.76
11/21/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.65	0.00	0.65	80.0	0.52
11/21/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	55.63	0.00	55.63	80.0	44.50
11/21/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	5.86	0.00	5.86	80.0	4.69
11/21/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.44	0.00	1.44	80.0	1.15
11/21/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.82	0.00	0.82	80.0	0.66
11/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-A	B	0.00	3.24	0.00	3.24	80.0	2.59
11/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	31.16	0.00	31.16	80.0	24.93
11/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.90	0.00	4.90	80.0	3.92
11/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	5.04	0.00	5.04	80.0	4.03
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	8.31	0.00	8.31	80.0	6.65
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	19.07	0.00	19.07	80.0	15.26
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	57.70	0.00	57.70	80.0	46.16
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	75.50	0.00	75.50	80.0	60.40
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	88.32	0.00	88.32	80.0	70.66
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	184.09	0.00	184.09	80.0	147.27
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	94.39	0.00	94.39	80.0	75.51
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.11	0.00	0.11	80.0	0.09
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	2.71	0.00	2.71	80.0	2.17
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	2.71	0.00	2.71	80.0	2.17
11/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	2.71	0.00	2.71	80.0	2.17

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	51.78	0.00	51.78	80.0	41.42
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	52.69	0.00	52.69	80.0	42.15
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	53.27	0.00	53.27	80.0	42.62
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	46.36	0.00	46.36	80.0	37.09
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	74.88	0.00	74.88	80.0	59.90
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.83	0.00	4.83	80.0	3.86
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	16.93	0.00	16.93	80.0	13.54
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	51.14	0.00	51.14	80.0	40.91
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.01	0.00	15.01	80.0	12.01
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	48.32	0.00	48.32	80.0	38.66
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	2.98	0.00	2.98	80.0	2.38
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.50	0.00	3.50	80.0	2.80
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	20.21	0.00	20.21	80.0	16.17
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.35	0.00	5.35	80.0	4.28
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.01	0.00	0.01	80.0	0.01
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.07	0.00	0.07	80.0	0.06
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.10	0.00	0.10	80.0	0.08
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.10	0.00	0.10	80.0	0.08
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.13	0.00	0.13	80.0	0.10
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.25	0.00	0.25	80.0	0.20
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.79	0.00	0.79	80.0	0.63
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.78	0.00	3.78	80.0	3.02
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	11.00	0.00	11.00	80.0	8.80
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.27	0.00	0.27	80.0	0.22
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.61	0.00	1.61	80.0	1.29
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.49	0.00	2.49	80.0	1.99
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.12	0.00	7.12	80.0	5.70
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.46	0.00	9.46	80.0	7.57
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	10.00	0.00	10.00	80.0	8.00
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.63	0.00	18.63	80.0	14.90
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	34.80	0.00	34.80	80.0	27.84
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	62.29	0.00	62.29	80.0	49.83
11/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	67.75	0.00	67.75	80.0	54.20
11/21/2018	mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.64	0.00	0.64	80.0	0.51
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEM GLOBAL TOTAL RETURN FUND -	B	0.00	0.21	0.00	0.21	80.0	0.17
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	80.0	0.12
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.27	0.00	0.27	80.0	0.22
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.95	0.00	0.95	80.0	0.76
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	2.38	0.00	2.38	80.0	1.90
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.50	0.00	3.50	80.0	2.80
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	8.98	0.00	8.98	80.0	7.18
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.11	0.00	7.11	80.0	5.69
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.45	0.00	7.45	80.0	5.96
11/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	28.67	0.00	28.67	80.0	22.94

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	1.81	0.00	1.81	80.0	1.45
11/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	3.35	0.00	3.35	80.0	2.68
11/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.79	0.00	4.79	80.0	3.83
11/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FD A1	B	0.00	0.08	0.00	0.08	80.0	0.06
11/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FD A1	B	0.00	0.22	0.00	0.22	80.0	0.18
11/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	10.90	0.00	10.90	80.0	8.72
11/21/2018	mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.93	0.00	7.93	80.0	6.34
11/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.89	0.00	6.89	80.0	5.51
11/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.28	0.00	1.28	80.0	1.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,824.31	\$0.00	\$3,824.31		\$3,059.46
*** CLEARING TRANSACTIONS TOTAL ***				\$-15,927.88	\$3,988.31	\$25.00	\$3,963.31		\$3,170.66

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/15/2018	(None) JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	B	50.00	0.50	0.00	0.50	80.0	0.40
11/12/2018	000000000221299 FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
11/15/2018	00089905459 CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
11/15/2018	00520235862 COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
11/15/2018	00520236563 COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
11/15/2018	03900215447 NISSETYLEJ	MF FIDELITY ADVISOR BALANCED CLASS B	B	417.00	12.51	0.00	12.51	80.0	10.01
* MUTUAL FUNDS SUBTOTAL *				\$1,217.00	\$20.51	\$0.00	\$20.51		\$16.41
*** DIRECT TRANSACTIONS TOTAL ***				\$1,217.00	\$20.51	\$0.00	\$20.51		\$16.41

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,187.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,002.07
Year-to-date Commissions	\$85,486.76