

COMMISSION STATEMENT

October 31, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/11/2018	75597035 PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC	S	1,500.00	0.00	0.00	0.00	100.0	0.00
10/17/2018	75597042 ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F	S	16,369.03	0.00	0.00	0.00	100.0	0.00
10/10/2018	75597081 BLITZ, DAVID	FIDELITY ADVISOR SER VII BIOTECHNOL	B	2,500.00	0.00	0.00	0.00	100.0	0.00
10/10/2018	75597087 FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	S	25,000.00	0.00	0.00	0.00	100.0	0.00
10/24/2018	75597101 STEINBORN, DEBRA	FIDELITY ADVISOR FUNDS 315918474	B	20,000.00	200.00	0.00	200.00	100.0	200.00
10/24/2018	75597101 STEINBORN, DEBRA	FIDELITY ADVISOR FUNDS 315918532	B	15,000.00	150.00	0.00	150.00	100.0	150.00
10/05/2018	75597114 SPALDING, MARY	FRANKLIN INCOME FUND CLASS A	S	21,185.00	0.00	0.00	0.00	100.0	0.00
10/03/2018	75597127 WALDMAN, ALVIN	DEUTSCHE GNMA FUND A	S	900.00	0.00	0.00	0.00	100.0	0.00
10/24/2018	75597135 JOHN W STEINBORN TTEE AMERICAN BALANCED FUND-A		S	18,200.00	0.00	0.00	0.00	100.0	0.00
10/24/2018	75597135 JOHN W STEINBORN TTEE FINANCIAL INDUSTRIES A		S	12,300.00	0.00	0.00	0.00	100.0	0.00
10/24/2018	75597135 JOHN W STEINBORN TTEE JOHN HANCOCK INVT TR DISCIPLINED VA	S		28,403.96	0.00	0.00	0.00	100.0	0.00
10/24/2018	75597135 JOHN W STEINBORN TTEE THE INCOME FUND OF AMERICA-A		S	5,302.00	0.00	0.00	0.00	100.0	0.00
10/29/2018	75597136 JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS	S	48,728.49	0.00	0.00	0.00	100.0	0.00
10/22/2018	75597173 NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00	100.0	0.00
10/10/2018	75597186 ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	B	50,000.00	800.00	0.00	800.00	100.0	800.00
10/11/2018	75597189 ALBERTTIS, ROSIO	FIDELITY ADV UTILITIES CL A	B	30,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
10/12/2018	75597189 ALBERTTIS, ROSIO	BLACKROCK ASSET ALLOCATION PORTFOLI B		15,000.00	750.00	0.00	750.00	100.0	750.00

* MUTUAL FUNDS SUBTOTAL *	\$311,188.48	\$3,400.00	\$0.00	\$3,400.00	\$3,400.00
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PRODUCT CATEGORY: STOCKS

10/29/2018	75597046 MENZ, SUSAN	NESTLE SA-SPONSORED ADR REPSTG REGD	S	16,674.00	210.00	12.00	198.00	100.0	198.00
10/29/2018	75597047 MENZ, SUSAN	CNO FINANCIAL GROUP INC	B	23,125.40	349.00	12.00	337.00	100.0	337.00
10/29/2018	75597047 MENZ, SUSAN	NESTLE SA-SPONSORED ADR REPSTG REGD	S	20,812.50	299.00	12.00	287.00	100.0	287.00
10/29/2018	75597072 JAMES R MENZ TTEE	CNO FINANCIAL GROUP INC	B	23,461.00	299.00	12.00	287.00	100.0	287.00
10/29/2018	75597072 JAMES R MENZ TTEE	EATON VANCE TAX MANAGD GLBL DIVERSI	B	25,278.98	399.00	12.00	387.00	100.0	387.00
10/29/2018	75597072 JAMES R MENZ TTEE	NESTLE SA-SPONSORED ADR REPSTG REGD	S	25,011.00	299.00	12.00	287.00	100.0	287.00
10/10/2018	75597081 BLITZ, DAVID	CORBUS PHARMACEUTICALS HOLDINGS INC	B	1,325.98	20.00	12.00	8.00	100.0	8.00

* STOCKS SUBTOTAL *	\$135,688.86	\$1,875.00	\$84.00	\$1,791.00	\$1,791.00
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018		FEE COUNT - 216		0.00	2,409.69	0.00	2,409.69	100.0	2,409.69
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,409.69	\$0.00	\$2,409.69		\$2,409.69
		*** BROKER TRANSACTIONS TOTAL ***		\$446,877.34	\$7,684.69	\$84.00	\$7,600.69		\$7,600.69

ADJUSTMENTS

10/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
10/31/2018		OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00		-365.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-2,165.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,600.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,165.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.61
SIPC Assessment	\$-19.21

Check Amount	\$5,406.87
Year-to-date Earnings	\$42,367.36