

# COMMISSION STATEMENT

August 31, 2018

JOE CUMLEY  
 9270 Glenwood  
 Suite B  
 Overland Park, KS 66212

BROKER #: 113971  
 BRANCH: D12

## COMMISSION STATEMENT for JOE CUMLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/21/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.57	0.00	0.57	85.0	0.48
08/21/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	3.66	0.00	3.66	85.0	3.11
08/21/2018	mfc113971	VARIOUS, CUMLEY	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.14	0.00	2.14	85.0	1.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$6.37	\$0.00	\$6.37		\$5.41
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$6.37	\$0.00	\$6.37		\$5.41

## OVERRIDE TRANSACTIONS

**BROKER: CHELSEA SCHLOSSER-687888 #154**

07/31/2018	368461983	CADY, SHERRY	MF NATIXIS US DIVERS PORTFOLIO A	B	-1,497.55	0.00	5.00	-5.00		-1.00
07/31/2018	368461983	CADY, SHERRY	MF NATIXIS US DIVERS PORTFOLIO A	B	1,497.55	0.00	-5.00	5.00		1.00
07/31/2018	368461983	CADY, SHERRY	MF NATIXIS US DIVERS PORTFOLIO A	B	-1,500.00	0.00	5.00	-5.00		-1.00
08/17/2018	368461983	CADY, SHERRY	MF NATIXIS US DIVERS PORTFOLIO A	B	-9,597.55	0.00	5.00	-5.00		-1.00
08/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.93	0.00	3.93		0.79
08/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.94	0.00	3.94		0.79
08/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	7.84	0.00	7.84		1.57
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	1.45	0.00	1.45		0.29
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.92	0.00	5.92		1.18
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.95	0.00	5.95		1.19
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.44	0.00	6.44		1.29
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.59	0.00	6.59		1.32
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.92	0.00	6.92		1.38
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.16	0.00	7.16		1.43
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.34	0.00	7.34		1.47
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.59	0.00	9.59		1.92
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.87	0.00	9.87		1.97
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	10.90	0.00	10.90		2.18
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	11.47	0.00	11.47		2.29
08/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	12.16	0.00	12.16		2.43
08/21/2018	mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	4.08	0.00	4.08		0.82

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08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	6.96	0.00	6.96		1.39
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.36	0.00	7.36		1.47
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.76	0.00	7.76		1.55
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.58	0.00	8.58		1.72
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.97	0.00	8.97		1.79
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	9.24	0.00	9.24		1.85
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	9.32	0.00	9.32		1.86
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.39	0.00	11.39		2.28
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.94	0.00	11.94		2.39
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.97	0.00	11.97		2.39
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.76	0.00	12.76		2.55
08/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	27.54	0.00	27.54		5.51
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.54	0.00	1.54		0.31
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.93	0.00	2.93		0.59
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.04	0.00	3.04		0.61
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.59	0.00	4.59		0.92
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.81	0.00	4.81		0.96
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.87	0.00	4.87		0.97
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.93	0.00	4.93		0.99
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.46	0.00	5.46		1.09
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.47	0.00	6.47		1.29
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.59	0.00	6.59		1.32
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.18	0.00	7.18		1.44
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.40	0.00	7.40		1.48
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.46	0.00	7.46		1.49
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.94	0.00	7.94		1.59
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.17	0.00	8.17		1.63
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.31	0.00	8.31		1.66
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	9.15	0.00	9.15		1.83
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.65	0.00	10.65		2.13
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.90	0.00	11.90		2.38
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.59	0.00	14.59		2.92
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	16.62	0.00	16.62		3.32
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.35	0.00	19.35		3.87
08/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	20.13	0.00	20.13		4.03
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.18	0.00	4.18		0.84
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.54	0.00	4.54		0.91
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.78	0.00	4.78		0.96
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.92	0.00	4.92		0.98
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.01	0.00	5.01		1.00
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.74	0.00	5.74		1.15
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	6.04	0.00	6.04		1.21
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.65	0.00	7.65		1.53
08/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	10.16	0.00	10.16		2.03

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08/21/2018	mfc687888 VARIOUS,	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	17.03	0.00	17.03		3.41
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.28	0.00	1.28		0.26
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.45	0.00	5.45		1.09
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.12	0.00	6.12		1.22
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.63	0.00	6.63		1.33
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	8.16	0.00	8.16		1.63
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	11.64	0.00	11.64		2.33
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.75	0.00	12.75		2.55
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	13.41	0.00	13.41		2.68
08/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	16.08	0.00	16.08		3.22
* CHELSEA SCHLOSSER-687888 SUBTOTAL *				\$-11,097.55	\$600.99	\$10.00	\$590.99		\$118.21
*** OVERRIDE TRANSACTIONS TOTAL ***				\$-11,097.55	\$600.99	\$10.00	\$590.99		\$118.21

ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5.41
Split Transactions	\$0.00
Override Transactions	\$118.21
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-61.38
Year-to-date Commissions	\$10,402.31