

COMMISSION STATEMENT October 15, 2018

JOY LAWRENCE-D098
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D098
 BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/17/2018	00551135830	CHHEN, KUONG	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
09/17/2018	00551137086	CHHEN, CHAN	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
* MUTUAL FUNDS SUBTOTAL *						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
10/01/2018	00551135830	CHHEN, KUONG	MFT	PUTNAM 746444108	B	0.00	62.03	0.00	62.03	50.0	31.02
10/01/2018	00551135830	CHHEN, KUONG	MFT	PUTNAM ABSOLUTE RETURN 700-A	B	0.00	14.11	0.00	14.11	50.0	7.06
10/01/2018	00551137086	CHHEN, CHAN	MFT	PUTNAM 746802206	B	0.00	46.31	0.00	46.31	50.0	23.16
10/01/2018	00551137086	CHHEN, CHAN	MFT	PUTNAM ABSOLUTE RETURN 700-A	B	0.00	15.56	0.00	15.56	50.0	7.78
10/01/2018	05566004525	MORELAND, VICKI	MFT	MFS GROWTH FUND-C	B	0.00	1.74	0.00	1.74	50.0	0.87
10/01/2018	05566004525	MORELAND, VICKI	MFT	MFS MID CAP VALUE FUND-A	B	0.00	0.30	0.00	0.30	50.0	0.15
10/01/2018	08191434768	MORELAND, VICKI	MFT	MFS GROWTH FUND-C	B	0.00	28.05	0.00	28.05	50.0	14.03
10/01/2018	08191434768	MORELAND, VICKI	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	3.53	0.00	3.53	50.0	1.77
10/01/2018	08191434768	MORELAND, VICKI	MFT	MFS INTERNATIONAL VALUE FUND-C	B	0.00	12.38	0.00	12.38	50.0	6.19
10/01/2018	08191434768	MORELAND, VICKI	MFT	MFS MID CAP VALUE FUND-C	B	0.00	124.98	0.00	124.98	50.0	62.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$308.99	\$0.00	\$308.99		\$154.52
*** DIRECT TRANSACTIONS TOTAL ***						\$1,080.00	\$330.59	\$0.00	\$330.59		\$165.32

BROKER COMMISSION TOTALS

Broker Transactions	\$165.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$165.32
Year-to-date Commissions	\$13,713.74