

COMMISSION STATEMENT

October 31, 2018

 GEORGE HADDAD-D344
 515 East 4th Street

 BROKER #: D344
 BRANCH: DMN

Duluth, MN 55805

COMMISSION STATEMENT for GEORGE HADDAD-D344

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

10/25/2018 338523543	HADDAD TOD, EMAN	CD CROSSFIRST BK LEAWOOD KS	B	100,002.45	42.50	20.00	22.50	70.0	15.75
10/25/2018 338523543	HADDAD TOD, EMAN	CD KENNEBEC SVGS BK AUGUSTA ME	B	100,002.45	42.50	20.00	22.50	70.0	15.75
10/25/2018 338523543	HADDAD TOD, EMAN	CD UNITED BANK OF MICHIGAN GRAND	B	100,002.45	42.50	20.00	22.50	70.0	15.75
* CERTIFICATES OF DEPOSIT SUBTOTAL *				\$300,007.35	\$127.50	\$60.00	\$67.50		\$47.25

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	1.32	0.00	1.32	70.0	0.92
10/19/2018 mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	1.79	0.00	1.79	70.0	1.25
10/19/2018 mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	4.50	0.00	4.50	70.0	3.15
10/19/2018 mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	21.70	0.00	21.70	70.0	15.19
10/19/2018 mfc304115	HADDAD 304115	MFT CALVERT MODERATE ALLOC FD CL A	B	0.00	1.42	0.00	1.42	70.0	0.99
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.83	0.00	0.83	70.0	0.58
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.16	0.00	1.16	70.0	0.81
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.21	0.00	6.21	70.0	4.35
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.55	0.00	10.55	70.0	7.39
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	16.84	0.00	16.84	70.0	11.79
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.66	0.00	1.66	70.0	1.16
10/19/2018 mfc304115	HADDAD 304115	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.84	0.00	2.84	70.0	1.99
10/19/2018 mfc304115	HADDAD 304115	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.33	0.00	1.33	70.0	0.93
10/19/2018 mfc304115	HADDAD 304115	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.00	0.00	3.00	70.0	2.10
10/19/2018 mfc304115	HADDAD 304115	MFT JP MORGAN INVESTOR BALANCED FD	B	0.00	11.89	0.00	11.89	70.0	8.32
10/19/2018 mfc304115	HADDAD 304115	MFT PUTNAM ASSET ALLOCATION	B	0.00	12.23	0.00	12.23	70.0	8.56
10/19/2018 mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.43	0.00	1.43	70.0	1.00
10/19/2018 mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.88	0.00	1.88	70.0	1.32
10/19/2018 mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.22	0.00	3.22	70.0	2.25
10/19/2018 mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.65	0.00	11.65	70.0	8.16
10/19/2018 mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.98	0.00	2.98	70.0	2.09
10/19/2018 mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.45	0.00	6.45	70.0	4.52
10/19/2018 mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.69	0.00	6.69	70.0	4.68
10/19/2018 mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	17.38	0.00	17.38	70.0	12.17
10/19/2018 mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	32.95	0.00	32.95	70.0	23.07

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10/19/2018	mfc304115 HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	34.27	0.00	34.27	70.0	23.99
10/19/2018	mfc304115 HADDAD 304115	MFT THE INVESTMENT COMPANY OF	B	0.00	1.30	0.00	1.30	70.0	0.91
10/19/2018	mfc304115 HADDAD 304115	MFT THE INVESTMENT COMPANY OF	B	0.00	8.46	0.00	8.46	70.0	5.92
10/19/2018	mfc304115 HADDAD 304115	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.33	0.00	1.33	70.0	0.93
10/19/2018	mfc304115 HADDAD 304115	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.04	0.00	3.04	70.0	2.13
10/19/2018	mfc304115 HADDAD 304115	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.72	0.00	8.72	70.0	6.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$241.02</u>	<u>\$0.00</u>	<u>\$241.02</u>		<u>\$168.72</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$300,007.35</u>	<u>\$368.52</u>	<u>\$60.00</u>	<u>\$308.52</u>		<u>\$215.97</u>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/22/2018	04001189611 BUBACZ, TIMOTHY	MF AMERICAN BALANCED FUND-A	B	5,096.28	191.11	0.00	191.11	70.0	133.78
10/22/2018	04001189611 BUBACZ, TIMOTHY	MF THE GROWTH FUND OF AMERICA-A	B	7,644.44	286.67	0.00	286.67	70.0	200.67
10/22/2018	04001189611 BUBACZ, TIMOTHY	MF THE INCOME FUND OF AMERICA-A	B	38,222.16	1,433.33	0.00	1,433.33	70.0	1,003.33
* MUTUAL FUNDS SUBTOTAL *				<u>\$50,962.88</u>	<u>\$1,911.11</u>	<u>\$0.00</u>	<u>\$1,911.11</u>		<u>\$1,337.78</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$50,962.88</u>	<u>\$1,911.11</u>	<u>\$0.00</u>	<u>\$1,911.11</u>		<u>\$1,337.78</u>

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
10/31/2018	: NV REG FEE	0.00	0.00	0.00	0.00	-125.00
* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-180.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-180.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,553.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-180.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,373.75
Year-to-date Commissions	\$9,061.36