

COMMISSION STATEMENT

September 30, 2018

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: A02 T02
BRANCH: FULCRUM GROUP

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/13/2018 (None)	VARIOUS, LAWRENCE	AMERICAN FUND	B	0.00	62.68	0.00	62.68	60.0	37.61
09/13/2018 75502114	BEHAR, RAYMOND	HARDING LOEVNER FDS INC FRNTIER EME S		5,000.00	0.00	0.00	0.00	60.0	0.00
09/13/2018 75502114	BEHAR, RAYMOND	INVESCO ASIA PACIFIC GROWTH FD CL Y S		5,000.00	0.00	0.00	0.00	60.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$10,000.00	\$62.68	\$0.00	\$62.68		\$37.61

PRODUCT CATEGORY: STOCKS

09/12/2018 75501981	LAWRENCE, VICTORIA	NEKTAR THERAPEUTICS COM	B	15,704.65	0.00	0.00	0.00	60.0	0.00
09/12/2018 75501981	LAWRENCE, VICTORIA	PIONEER NATURAL RESOURCES COMPANY	S	21,266.25	0.00	0.00	0.00	60.0	0.00
09/19/2018 75501981	LAWRENCE, VICTORIA	TEREX CORP	S	10,441.93	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501981	LAWRENCE, VICTORIA	CHIPOTLE MEXICAN GRILL INC COMMON S B		23,501.50	0.00	0.00	0.00	60.0	0.00
09/24/2018 75501981	LAWRENCE, VICTORIA	MAZOR ROBOTICS LTD SPONSORED ADS	S	29,075.00	0.00	0.00	0.00	60.0	0.00
09/14/2018 75501985	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	7,000.34	0.00	0.00	0.00	60.0	0.00
09/14/2018 75501985	LAWRENCE, CHRISTOPHER	REGENERON PHARMACEUTICALS INC	S	5,570.32	0.00	0.00	0.00	60.0	0.00
09/14/2018 75501985	LAWRENCE, CHRISTOPHER	SAREPTA THERAPEUTICS INC COM	S	7,634.51	0.00	0.00	0.00	60.0	0.00
09/24/2018 75501985	LAWRENCE, CHRISTOPHER	MAZOR ROBOTICS LTD SPONSORED ADS	S	6,978.00	0.00	0.00	0.00	60.0	0.00
08/30/2018 75501986	LAWRENCE, CHRISTOPHER	NEKTAR THERAPEUTICS COM	B	11,655.00	0.00	0.00	0.00	60.0	0.00
09/20/2018 75501986	LAWRENCE, CHRISTOPHER	CHIPOTLE MEXICAN GRILL INC COMMON S B		12,062.25	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501987	SCHWARTZ, MARILYN	MAZOR ROBOTICS LTD SPONSORED ADS	S	14,538.28	55.00	0.00	55.00	60.0	33.00
09/21/2018 75501987	SCHWARTZ, MARILYN	MICRON TECHNOLOGY INC	B	15,697.47	55.00	0.00	55.00	60.0	33.00
09/21/2018 75501988	LAWRENCE, CHRISTOPHER	CHIPOTLE MEXICAN GRILL INC COMMON S B		5,623.56	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501988	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	3,463.50	0.00	0.00	0.00	60.0	0.00
09/24/2018 75501988	LAWRENCE, CHRISTOPHER	MAZOR ROBOTICS LTD SPONSORED ADS	S	5,815.00	0.00	0.00	0.00	60.0	0.00
09/14/2018 75501989	BINES, BURTON	TANDEM DIABETES CARE INC COM NEW	B	46,800.00	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501989	BINES, BURTON	MAZOR ROBOTICS LTD SPONSORED ADS	S	58,084.00	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501989	BINES, BURTON	MICRON TECHNOLOGY INC	B	33,502.43	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501990	LAWRENCE, JEFFREY	CHIPOTLE MEXICAN GRILL INC COMMON S B		5,620.44	0.00	0.00	0.00	60.0	0.00
09/21/2018 75501990	LAWRENCE, JEFFREY	NINTENDO CO LTD-ADR NEW	S	2,309.00	0.00	0.00	0.00	60.0	0.00
09/24/2018 75501990	LAWRENCE, JEFFREY	MAZOR ROBOTICS LTD SPONSORED ADS	S	1,744.50	0.00	0.00	0.00	60.0	0.00
08/30/2018 75501991	NSH 81 LLC	BLUEBIRD BIO INC COM	B	10,458.00	0.00	0.00	0.00	60.0	0.00
08/30/2018 75501991	NSH 81 LLC	HOWARD HUGHES CORP	S	13,057.39	0.00	0.00	0.00	60.0	0.00
09/18/2018 75501991	NSH 81 LLC	HOWARD HUGHES CORP	S	25,018.02	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
09/25/2018	75501991	NSH 81 LLC		UNIVERSAL DISPLAY CORPORATION	B	12,280.00	0.00	0.00	0.00	60.0	0.00
09/26/2018	75501991	NSH 81 LLC		BIOGEN INC	S	17,543.50	0.00	0.00	0.00	60.0	0.00
09/26/2018	75501991	NSH 81 LLC		COHERUS BIOSCIENCES INC COM	S	8,583.75	0.00	0.00	0.00	60.0	0.00
09/26/2018	75501991	NSH 81 LLC		SAREPTA THERAPEUTICS INC COM	S	15,808.95	0.00	0.00	0.00	60.0	0.00
09/18/2018	75501992	THE BARBARA & NEAL		PEPSICO INC	S	17,154.86	0.00	0.00	0.00	60.0	0.00
09/18/2018	75501992	THE BARBARA & NEAL		STARBUCKS CORP	B	13,771.28	0.00	0.00	0.00	60.0	0.00
09/04/2018	75501999	LAWRENCE, CHARLES		COHERUS BIOSCIENCES INC COM	B	10,864.00	100.00	0.00	100.00	60.0	60.00
09/06/2018	75501999	LAWRENCE, CHARLES		NEKTAR THERAPEUTICS COM	B	14,868.07	150.00	0.00	150.00	60.0	90.00
09/20/2018	75501999	LAWRENCE, CHARLES		BLUE APRON HOLDINGS INC CLASS A COM	S	3,203.20	51.00	0.00	51.00	60.0	30.60
09/20/2018	75501999	LAWRENCE, CHARLES		MAZOR ROBOTICS LTD SPONSORED ADS	S	6,378.00	55.00	0.00	55.00	60.0	33.00
09/25/2018	75501999	LAWRENCE, CHARLES		TANDEM DIABETES CARE INC COM NEW	B	11,016.15	100.00	0.00	100.00	60.0	60.00
08/30/2018	75502001	LAWRENCE, CHARLES		ACTIVISION BLIZZARD INC	B	12,955.22	125.00	0.00	125.00	60.0	75.00
09/04/2018	75502001	LAWRENCE, CHARLES		ROKU INC CLASS A COMMON STOCK	B	15,678.00	150.00	0.00	150.00	60.0	90.00
09/04/2018	75502001	LAWRENCE, CHARLES		SAREPTA THERAPEUTICS INC COM	S	17,612.50	75.00	0.00	75.00	60.0	45.00
09/05/2018	75502001	LAWRENCE, CHARLES		FOOT LOCKER INC	S	11,289.02	51.00	0.00	51.00	60.0	30.60
09/05/2018	75502001	LAWRENCE, CHARLES		NEKTAR THERAPEUTICS COM	B	15,318.00	150.00	0.00	150.00	60.0	90.00
09/07/2018	75502001	LAWRENCE, CHARLES		DROPBOX INC CL A COM	S	6,437.50	51.00	0.00	51.00	60.0	30.60
09/10/2018	75502001	LAWRENCE, CHARLES		ROKU INC CLASS A COMMON STOCK	S	17,399.02	75.00	0.00	75.00	60.0	45.00
09/10/2018	75502001	LAWRENCE, CHARLES		XPO LOGISTICS INC COM	B	14,005.94	125.00	0.00	125.00	60.0	75.00
09/11/2018	75502001	LAWRENCE, CHARLES		ACTIVISION BLIZZARD INC	S	13,821.83	70.00	0.00	70.00	60.0	42.00
09/11/2018	75502001	LAWRENCE, CHARLES		DROPBOX INC CL A COM	S	13,566.90	51.00	0.00	51.00	60.0	30.60
09/11/2018	75502001	LAWRENCE, CHARLES		MATTEL INCORPORATED	B	9,168.06	100.00	0.00	100.00	60.0	60.00
09/12/2018	75502001	LAWRENCE, CHARLES		ROKU INC CLASS A COMMON STOCK	B	15,602.99	150.00	0.00	150.00	60.0	90.00
09/13/2018	75502001	LAWRENCE, CHARLES		CHIPOTLE MEXICAN GRILL INC COMMON S	B	14,795.10	75.00	0.00	75.00	60.0	45.00
09/14/2018	75502001	LAWRENCE, CHARLES		MATTEL INCORPORATED	S	9,413.40	55.00	0.00	55.00	60.0	33.00
09/14/2018	75502001	LAWRENCE, CHARLES		ROKU INC CLASS A COMMON STOCK	S	16,517.84	75.00	0.00	75.00	60.0	45.00
09/18/2018	75502001	LAWRENCE, CHARLES		TANDEM DIABETES CARE INC COM NEW	B	9,295.70	100.00	0.00	100.00	60.0	60.00
09/18/2018	75502001	LAWRENCE, CHARLES		XPO LOGISTICS INC COM	S	14,206.73	55.00	0.00	55.00	60.0	33.00
09/19/2018	75502001	LAWRENCE, CHARLES		COHERUS BIOSCIENCES INC COM	B	9,306.50	100.00	0.00	100.00	60.0	60.00
09/19/2018	75502001	LAWRENCE, CHARLES		TANDEM DIABETES CARE INC COM NEW	B	9,174.33	95.00	0.00	95.00	60.0	57.00
09/19/2018	75502001	LAWRENCE, CHARLES		UNIVERSAL DISPLAY CORPORATION	S	14,208.00	51.00	0.00	51.00	60.0	30.60
09/24/2018	75502001	LAWRENCE, CHARLES		TANDEM DIABETES CARE INC COM NEW	S	21,821.02	100.00	0.00	100.00	60.0	60.00
09/25/2018	75502001	LAWRENCE, CHARLES		COHERUS BIOSCIENCES INC COM	S	9,638.00	55.00	0.00	55.00	60.0	33.00
09/25/2018	75502001	LAWRENCE, CHARLES		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	13,249.60	150.00	0.00	150.00	60.0	90.00
09/25/2018	75502001	LAWRENCE, CHARLES		XPO LOGISTICS INC COM	B	16,859.19	150.00	0.00	150.00	60.0	90.00
09/26/2018	75502001	LAWRENCE, CHARLES		BITCOIN INVT TR SHS	B	10,143.00	125.00	0.00	125.00	60.0	75.00
09/26/2018	75502001	LAWRENCE, CHARLES		TANDEM DIABETES CARE INC COM NEW	B	16,591.25	175.00	0.00	175.00	60.0	105.00
08/30/2018	75502005	SHEMIN, VICKI		BLUEBIRD BIO INC COM	B	17,505.00	55.00	0.00	55.00	60.0	33.00
09/05/2018	75502005	SHEMIN, VICKI		BLUEBIRD BIO INC COM	S	145,395.00	55.00	0.00	55.00	60.0	33.00
09/07/2018	75502005	SHEMIN, VICKI		AMERICAN HOMES 4 RENT CL A	B	115,500.00	55.00	0.00	55.00	60.0	33.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/07/2018	75502005	SHEMIN, VICKI		GREEN BRICK PARTNERS INC COM	B	128.05	0.24	0.00	0.24 60.0 0.00
09/12/2018	75502005	SHEMIN, VICKI		GREEN BRICK PARTNERS INC COM	B	19,762.64	55.00	0.00	55.00 60.0 33.00
09/06/2018	75502006	SHEMIN, VICKI		GENERAL FINANCE CORPORATION	S	27,800.00	55.00	0.00	55.00 60.0 33.00
08/30/2018	75502008	DEC, JOHN		FACEBOOK INC CL A	B	49,084.20	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502008	DEC, JOHN		STARBUCKS CORP	B	26,575.00	0.00	0.00	0.00 60.0 0.00
08/31/2018	75502008	DEC, JOHN		REGENERON PHARMACEUTICALS INC	B	49,020.00	0.00	0.00	0.00 60.0 0.00
09/04/2018	75502008	DEC, JOHN		PAYPAL HOLDINGS INC COM	B	27,552.18	0.00	0.00	0.00 60.0 0.00
09/04/2018	75502008	DEC, JOHN		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	11,213.00	0.00	0.00	0.00 60.0 0.00
09/05/2018	75502008	DEC, JOHN		EXTREME NETWORKS INC COM	B	11,840.00	0.00	0.00	0.00 60.0 0.00
09/06/2018	75502008	DEC, JOHN		ETSY INC COM	B	15,960.00	0.00	0.00	0.00 60.0 0.00
09/11/2018	75502008	DEC, JOHN		CHIPOTLE MEXICAN GRILL INC COMMON	S B	26,922.50	0.00	0.00	0.00 60.0 0.00
09/21/2018	75502008	DEC, JOHN		MICRON TECHNOLOGY INC	B	26,801.94	0.00	0.00	0.00 60.0 0.00
09/21/2018	75502010	KEETER, LANCE		MICRON TECHNOLOGY INC	B	4,472.99	0.00	0.00	0.00 60.0 0.00
09/21/2018	75502010	KEETER, LANCE		MICROSOFT CORP	S	2,857.25	0.00	0.00	0.00 60.0 0.00
09/11/2018	75502011	DEC, JANICE		CHIPOTLE MEXICAN GRILL INC COMMON	S B	7,328.70	0.00	0.00	0.00 60.0 0.00
09/11/2018	75502011	DEC, JANICE		NINTENDO CO LTD-ADR NEW	S	2,206.05	0.00	0.00	0.00 60.0 0.00
09/21/2018	75502011	DEC, JANICE		MICRON TECHNOLOGY INC	B	4,473.00	0.00	0.00	0.00 60.0 0.00
09/21/2018	75502011	DEC, JANICE		MICROSOFT CORP	S	2,855.50	0.00	0.00	0.00 60.0 0.00
09/04/2018	75502012	DEC, JOHN		NINTENDO CO LTD-ADR NEW	S	44,280.20	0.00	0.00	0.00 60.0 0.00
09/13/2018	75502012	DEC, JOHN		ETSY INC COM	S	22,663.22	0.00	0.00	0.00 60.0 0.00
09/13/2018	75502012	DEC, JOHN		VISTRA ENERGY CORP COM	S	14,693.11	0.00	0.00	0.00 60.0 0.00
09/26/2018	75502012	DEC, JOHN		EXTREME NETWORKS INC COM	B	11,236.40	0.00	0.00	0.00 60.0 0.00
09/12/2018	75502013	DEC, JOHN		XPO LOGISTICS INC COM	B	2,766.50	0.00	0.00	0.00 60.0 0.00
09/12/2018	75502014	DEC, JOHN		XPO LOGISTICS INC COM	B	2,766.75	0.00	0.00	0.00 60.0 0.00
09/26/2018	75502022	APPEL, CHARLES		NINTENDO CO LTD-ADR NEW	S	6,882.90	55.00	0.00	55.00 60.0 33.00
09/18/2018	75502023	APPEL, CHARLES		UNIVERSAL DISPLAY CORPORATION	S	5,861.25	55.00	0.00	55.00 60.0 33.00
09/26/2018	75502023	APPEL, CHARLES		INVITAE CORP COM	S	4,240.33	55.00	0.00	55.00 60.0 33.00
09/26/2018	75502023	APPEL, CHARLES		NINTENDO CO LTD-ADR NEW	S	9,176.00	55.00	0.00	55.00 60.0 33.00
09/06/2018	75502034	ROZECKI, KAYE		MICRON TECHNOLOGY INC	B	11,839.92	60.00	0.00	60.00 60.0 36.00
09/19/2018	75502035	ROMANO, LISA		BROADCOM INC COMMON STOCK	S	2,403.70	0.00	0.00	0.00 60.0 0.00
09/19/2018	75502036	ROMANO, MARK		BROADCOM INC COMMON STOCK	S	3,603.00	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502060	MASSEY, GEORGE		DOLLAR GENERAL CORPORATION	S	2,097.90	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502060	MASSEY, GEORGE		HASBRO INC	S	3,999.28	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502060	MASSEY, GEORGE		REGENERON PHARMACEUTICALS INC	B	4,072.70	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502060	MASSEY, GEORGE		STARBUCKS CORP	B	2,653.50	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502060	MASSEY, GEORGE		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	5,768.75	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502060	MASSEY, GEORGE		ZOETIS INC CL A	B	3,584.20	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502061	MASSEY, GEORGE		AUTOMATIC DATA PROCESSING INC	S	2,901.20	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502061	MASSEY, GEORGE		DOLLAR GENERAL CORPORATION	S	2,622.33	0.00	0.00	0.00 60.0 0.00
08/30/2018	75502061	MASSEY, GEORGE		EXXON MOBIL CORPORATION	S	4,022.01	0.00	0.00	0.00 60.0 0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/30/2018	75502061	MASSEY, GEORGE	HASBRO INC	S	2,503.12	0.00	0.00	0.00	60.0	0.00
08/30/2018	75502061	MASSEY, GEORGE	STARBUCKS CORP	B	5,308.49	0.00	0.00	0.00	60.0	0.00
08/30/2018	75502061	MASSEY, GEORGE	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	3,460.35	0.00	0.00	0.00	60.0	0.00
08/30/2018	75502061	MASSEY, GEORGE	ZOETIS INC CL A	B	4,484.49	0.00	0.00	0.00	60.0	0.00
09/20/2018	75502073	HAND FAMILY LP	NUVEEN REAL ESTATE INCOME FD COMMON	B	29,880.00	300.00	0.00	300.00	60.0	180.00
09/05/2018	75502104	LAWRENCE, CHARLES	MAZOR ROBOTICS LTD SPONSORED ADS	S	3,198.73	51.00	0.00	51.00	60.0	30.60
09/05/2018	75502104	LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK	B	10,531.98	75.00	0.00	75.00	60.0	45.00
09/07/2018	75502104	LAWRENCE, CHARLES	AKAMAI TECHNOLOGIES INC	S	15,666.00	55.00	0.00	55.00	60.0	33.00
09/07/2018	75502104	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	B	13,734.00	55.00	0.00	55.00	60.0	33.00
09/10/2018	75502104	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	4,377.20	51.00	0.00	51.00	60.0	30.60
09/10/2018	75502104	LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK	S	11,373.00	55.00	0.00	55.00	60.0	33.00
09/11/2018	75502104	LAWRENCE, CHARLES	NUTANIX INC CL A	B	9,740.25	75.00	0.00	75.00	60.0	45.00
09/25/2018	75502104	LAWRENCE, CHARLES	MAZOR ROBOTICS LTD SPONSORED ADS	S	9,421.26	51.00	0.00	51.00	60.0	30.60
09/25/2018	75502104	LAWRENCE, CHARLES	TANDEM DIABETES CARE INC COM NEW	B	7,655.84	55.00	0.00	55.00	60.0	33.00
09/06/2018	75502113	BEHAR, RAYMOND	MICRON TECHNOLOGY INC	B	3,338.25	0.00	0.00	0.00	60.0	0.00
09/11/2018	75502113	BEHAR, RAYMOND	NINTENDO CO LTD-ADR NEW	S	2,200.50	0.00	0.00	0.00	60.0	0.00
08/30/2018	75502114	BEHAR, RAYMOND	MICRON TECHNOLOGY INC	B	10,514.00	0.00	0.00	0.00	60.0	0.00
09/06/2018	75502114	BEHAR, RAYMOND	LAM RESEARCH CORP	B	4,894.20	0.00	0.00	0.00	60.0	0.00
09/11/2018	75502114	BEHAR, RAYMOND	NINTENDO CO LTD-ADR NEW	S	4,401.00	0.00	0.00	0.00	60.0	0.00
09/12/2018	75502196	LAWRENCE, JEFFREY	XPO LOGISTICS INC COM	B	4,447.60	0.00	0.00	0.00	60.0	0.00
09/24/2018	75502196	LAWRENCE, JEFFREY	MAZOR ROBOTICS LTD SPONSORED ADS	S	5,815.00	0.00	0.00	0.00	60.0	0.00
09/26/2018	75502196	LAWRENCE, JEFFREY	UNIVERSAL DISPLAY CORPORATION	B	3,851.10	0.00	0.00	0.00	60.0	0.00
08/31/2018	75502198	LAWRENCE, CHRISTOPHER	MICRON TECHNOLOGY INC	B	5,241.45	0.00	0.00	0.00	60.0	0.00
09/20/2018	75502198	LAWRENCE, CHRISTOPHER	CHIPOTLE MEXICAN GRILL INC COMMON	S B	5,795.16	0.00	0.00	0.00	60.0	0.00
09/20/2018	75502198	LAWRENCE, CHRISTOPHER	NEKTAR THERAPEUTICS COM	S	2,908.25	0.00	0.00	0.00	60.0	0.00
08/31/2018	75502199	LAWRENCE, JEFFREY	MICRON TECHNOLOGY INC	B	5,243.44	0.00	0.00	0.00	60.0	0.00
09/20/2018	75502199	LAWRENCE, JEFFREY	CHIPOTLE MEXICAN GRILL INC COMMON	S B	5,793.24	0.00	0.00	0.00	60.0	0.00
09/20/2018	75502199	LAWRENCE, JEFFREY	NEKTAR THERAPEUTICS COM	S	2,909.68	0.00	0.00	0.00	60.0	0.00
08/30/2018	75502200	LAWRENCE, CHARLES	ACTIVISION BLIZZARD INC	B	13,338.00	125.00	0.00	125.00	60.0	75.00
09/10/2018	75502200	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	S	14,096.50	55.00	0.00	55.00	60.0	33.00
09/11/2018	75502200	LAWRENCE, CHARLES	ACTIVISION BLIZZARD INC	S	14,184.00	70.00	0.00	70.00	60.0	42.00
09/11/2018	75502200	LAWRENCE, CHARLES	XPO LOGISTICS INC COM	B	13,389.60	120.00	0.00	120.00	60.0	72.00
09/12/2018	75502200	LAWRENCE, CHARLES	CHIPOTLE MEXICAN GRILL INC COMMON	S B	14,595.00	60.00	0.00	60.00	60.0	36.00
09/26/2018	75502200	LAWRENCE, CHARLES	XPO LOGISTICS INC COM	S	13,752.00	55.00	0.00	55.00	60.0	33.00
* STOCKS SUBTOTAL *					\$1,923,598.57	\$4,963.24	\$0.00	\$4,963.24		\$2,977.80
PRODUCT CATEGORY: BONDS										
09/20/2018	75502073	HAND FAMILY LP	SAFEWAY INC SR DEB	B	28,683.60	450.00	0.00	450.00	60.0	270.00
09/25/2018	75502073	HAND FAMILY LP	JPMORGAN CHASE FINL CO LKD TO TWTR	B	30,000.00	375.00	0.00	375.00	60.0	225.00
* BONDS SUBTOTAL *					\$58,683.60	\$825.00	\$0.00	\$825.00		\$495.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75501981	LAWRENCE, VICTORIA FAS FEES PT. III/III	B	-1,722.57	496.84	0.00	496.84	60.0	298.10
07/01/2018	75501985	LAWRENCE, CHRISTOPHER FAS FEES PT. III/III	B	-174.65	37.64	0.00	37.64	60.0	22.58
09/15/2018	75501985	LAWRENCE, CHRISTOPHER FAS FEES	B	7.67	-4.99	0.00	-4.99	60.0	-2.99
07/01/2018	75501986	LAWRENCE, CHRISTOPHER FAS FEES PT. III/III	B	-608.86	168.21	0.00	168.21	60.0	100.93
07/01/2018	75501988	LAWRENCE, CHRISTOPHER FAS FEES PT. III/III	B	-234.10	50.45	0.00	50.45	60.0	30.27
07/01/2018	75501989	BINES, BURTON FAS FEES PT. III/III	B	-4,855.44	1,432.62	0.00	1,432.62	60.0	859.57
07/01/2018	75501990	LAWRENCE, JEFFREY FAS FEES PT. III/III	B	-177.02	38.15	0.00	38.15	60.0	22.89
07/01/2018	75501991	NSH 81 LLC FAS FEES PT. III/III	B	-4,622.94	1,364.02	0.00	1,364.02	60.0	818.41
07/01/2018	75501992	THE BARBARA & NEAL FAS FEES PT. III/III	B	-1,430.40	412.57	0.00	412.57	60.0	247.54
07/01/2018	75502008	DEC, JOHN FAS FEES PT. III/III	B	-1,011.66	275.02	0.00	275.02	60.0	165.01
07/01/2018	75502010	KEETER, LANCE FAS FEES PT. III/III	B	-881.79	252.83	0.00	252.83	60.0	151.70
07/01/2018	75502011	DEC, JANICE FAS FEES PT. III/III	B	-478.30	118.93	0.00	118.93	60.0	71.36
07/01/2018	75502012	DEC, JOHN FAS FEES PT. III/III	B	-3,718.54	1,097.18	0.00	1,097.18	60.0	658.31
09/15/2018	75502012	DEC, JOHN FAS FEES	B	45.48	-40.48	0.00	-40.48	60.0	-24.29
07/01/2018	75502013	DEC, JOHN FAS FEES PT. III/III	B	-464.59	115.52	0.00	115.52	60.0	69.31
07/17/2018	75502013	DEC, JOHN FAS FEES PT. III/III	B	24.55	-7.39	0.00	-7.39	60.0	-4.43
07/01/2018	75502014	DEC, JOHN FAS FEES PT. III/III	B	-410.40	102.05	0.00	102.05	60.0	61.23
07/01/2018	75502021	ARCARO, DANIEL FAS FEES PT. III/III	B	-441.20	118.60	0.00	118.60	60.0	71.16
07/01/2018	75502035	ROMANO, LISA FAS FEES PT. III/III	B	-292.27	74.29	0.00	74.29	60.0	44.57
07/01/2018	75502036	ROMANO, MARK FAS FEES PT. III/III	B	-483.07	133.46	0.00	133.46	60.0	80.08
07/01/2018	75502041	MCCORD, ELIZABETH FAS FEES PT. III/III	B	-1,162.29	315.97	0.00	315.97	60.0	189.58
07/01/2018	75502043	MCCORD, ELIZABETH FAS FEES PT. III/III	B	-2,632.66	450.18	0.00	450.18	60.0	270.11
07/01/2018	75502044	MCCORD, ELIZABETH FAS FEES PT. III/III	B	-1,988.65	573.58	0.00	573.58	60.0	344.15
07/01/2018	75502060	MASSEY, GEORGE FAS FEES PT. III/III	B	-366.56	97.22	0.00	97.22	60.0	58.33
07/01/2018	75502061	MASSEY, GEORGE FAS FEES PT. III/III	B	-197.33	47.11	0.00	47.11	60.0	28.27
07/01/2018	75502113	BEHAR, RAYMOND FAS FEES PT. III/III	B	-349.38	86.87	0.00	86.87	60.0	52.12
07/01/2018	75502114	BEHAR, RAYMOND FAS FEES PT. III/III	B	-671.71	182.61	0.00	182.61	60.0	109.57
07/01/2018	75502115	BEHAR, RAYMOND FAS FEES PT. III/III	B	-441.93	58.61	0.00	58.61	60.0	35.17
07/03/2018	75502196	LAWRENCE, JEFFREY FAS FEES PT. III/III	B	-340.63	115.44	0.00	115.44	60.0	69.26
07/03/2018	75502198	LAWRENCE, CHRISTOPHER FAS FEES PT. III/III	B	-186.22	63.11	0.00	63.11	60.0	37.87
07/03/2018	75502199	LAWRENCE, JEFFREY FAS FEES PT. III/III	B	-186.22	63.11	0.00	63.11	60.0	37.87
* RIA SUBTOTAL *				\$-30,453.68	\$8,289.33	\$0.00	\$8,289.33		\$4,973.61
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018		FEE COUNT - 15		0.00	450.30	0.00	450.30	60.0	270.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$450.30	\$0.00	\$450.30		\$270.17
*** BROKER TRANSACTIONS TOTAL ***				\$1,961,828.49	\$14,590.55	\$0.00	\$14,590.55		\$8,754.19

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

09/30/2018		COMMISSION INCOME: ADV III/III 7550		0.00	0.00	0.00	0.00		315.34
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$315.34

BROKER COMMISSION TOTALS

Broker Transactions	\$8,754.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$315.34
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-18.24
SIPC Assessment	\$-36.48

Check Amount	\$9,014.81
Year-to-date Earnings	\$88,326.50