



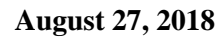
COMMISSION STATEMENT

August 27, 2018

ALAN FISHMAN
3 Buckley Place
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.67	0.00	15.67	75.0	11.75
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	70.25	0.00	70.25	75.0	52.69
	ACCOUNT #: 000015013299403	BUY 0 Units @0.0000						
07/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	125.66	0.00	125.66	75.0	94.25
	ACCOUNT #: 000015811742741	BUY 0 Units @0.0000						
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 529 645769787	0.00	17.49	0.00	17.49	75.0	13.12
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 645769480	0.00	92.31	0.00	92.31	75.0	69.23
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRK SMALL MID CAP GRWTH 529 PORTFL-	0.00	11.75	0.00	11.75	75.0	8.81
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-619I - BLUMEN, IAN	FRK VAL FND - CL A	0.00	84.96	0.00	84.96	75.0	63.72
	ACCOUNT #: 000028210803424	BUY 0 Units @0.0000						
08/27/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	0.49	0.00	0.49	75.0	0.37
		BUY 0 Units @0.0000						
08/27/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.16	0.00	0.16	75.0	0.12
		BUY 0 Units @0.0000						
08/27/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.39	0.00	1.39	75.0	1.04
		BUY 0 Units @0.0000						
08/27/2018	***-**-955P - VICARI,	VICTORY TRAILS	0.00	0.43	0.00	0.43	75.0	0.32
		BUY 0 Units @0.0000						
08/27/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS	0.00	0.82	0.00	0.82	75.0	0.62
		BUY 0 Units @0.0000						
08/27/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	6.42	0.00	6.42	75.0	4.82
		BUY 0 Units @0.0000						
08/27/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.46	0.00	1.46	75.0	1.10
		BUY 0 Units @0.0000						
08/27/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.02	0.00	0.02	75.0	0.02
		BUY 0 Units @0.0000						
08/27/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	72.11	0.00	72.11	75.0	54.08
		BUY 0 Units @0.0000						



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ADJUSTMENTS (continued)								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$501.39	\$0.00	\$501.39		\$376.06
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$501.39	\$0.00	\$501.39		\$376.06
BROKER COMMISSION TOTALS								
						Broker Transactions		\$376.06
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$58.05
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$434.11
						Year-to-date Earnings		\$15,739.97