

COMMISSION STATEMENT

October 31, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: P71 P72
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/02/2018	QGV001100	AND EDWARD FRENCH		CRISPR THERAPEUTICS AG NAMEN-AKT IS S	-12,096.30	73.00	0.00	73.00	40.0 29.20
10/02/2018	QGV001100	AND EDWARD FRENCH		PETIQ INC COM CL A S	-17,747.55	73.00	0.00	73.00	40.0 29.20
10/04/2018	QGV001100	AND EDWARD FRENCH		LIVERAMP HLDGS INC COM S	-14,310.06	73.00	0.00	73.00	40.0 29.20
10/04/2018	QGV001100	AND EDWARD FRENCH		LIVERAMP HLDGS INC COM S	14,310.06	-73.00	0.00	-73.00	40.0 -29.20 C
10/04/2018	QGV001100	AND EDWARD FRENCH		LIVERAMP HLDGS INC COM S	-9,540.04	73.00	0.00	73.00	40.0 29.20
10/04/2018	QGV001100	AND EDWARD FRENCH		NEUROCRINE BIOSCIENCES INC COM S	-11,688.00	73.00	0.00	73.00	40.0 29.20
10/05/2018	QGV001100	AND EDWARD FRENCH		ARENA PHARMACEUTICALS INC COMMON ST S	-8,164.00	73.00	0.00	73.00	40.0 29.20
10/05/2018	QGV001100	AND EDWARD FRENCH		CARGURUS INC CL A S	-9,733.72	73.00	0.00	73.00	40.0 29.20
10/05/2018	QGV001100	AND EDWARD FRENCH		LEMAITRE VASCULAR INC S	-29,500.10	73.00	0.00	73.00	40.0 29.20
10/09/2018	QGV001100	AND EDWARD FRENCH		TELADOC INC COM S	-13,915.58	73.00	0.00	73.00	40.0 29.20
10/10/2018	QGV001100	AND EDWARD FRENCH		AXOGEN INC COM S	-33,020.80	73.00	0.00	73.00	40.0 29.20
10/11/2018	QGV001100	AND EDWARD FRENCH		TELADOC INC COM S	-25,269.36	73.00	0.00	73.00	40.0 29.20
10/15/2018	QGV001100	AND EDWARD FRENCH		MEDMEN ENTERPRISES INC CL B ISIN#CA B	7,012.90	73.00	0.00	73.00	40.0 29.20
10/16/2018	QGV001100	AND EDWARD FRENCH		GOOSEHEAD INS INC COM CL A S	-10,358.60	73.00	0.00	73.00	40.0 29.20
10/23/2018	QGV001100	AND EDWARD FRENCH		VIPER ENERGY PARTNERS LP COM UNIT R S	-7,107.00	73.00	0.00	73.00	40.0 29.20
10/25/2018	QGV001100	AND EDWARD FRENCH		IRHYTHM TECHNOLOGIES INC COM S	-37,817.25	73.00	0.00	73.00	40.0 29.20
10/29/2018	QGV001100	AND EDWARD FRENCH		SALESFORCE.COM INC S	-13,038.09	73.00	0.00	73.00	40.0 29.20
09/28/2018	QGW002180	FRENCH, JOHN		TILRAY INC COM S	-7,084.13	73.00	0.00	73.00	40.0 29.20
10/05/2018	QGW002180	FRENCH, JOHN		GLAUKOS CORP COM S	-12,186.42	73.00	0.00	73.00	40.0 29.20
10/12/2018	QGW002180	FRENCH, JOHN		22ND CENTY GROUP INC COM B	2,856.70	73.00	0.00	73.00	40.0 29.20
10/12/2018	QGW002180	FRENCH, JOHN		MEDMEN ENTERPRISES INC CL B ISIN#CA B	5,390.99	73.00	0.00	73.00	40.0 29.20
10/15/2018	QGW002180	FRENCH, JOHN		NAMASTE TECHNOLOGIES INC SHS ISIN#C B	2,170.00	73.00	0.00	73.00	40.0 29.20
10/19/2018	QGW002180	FRENCH, JOHN		ADMA BIOLOGICS INC COM S	-5,478.50	73.00	0.00	73.00	40.0 29.20
10/19/2018	QGW002180	FRENCH, JOHN		GOOSEHEAD INS INC COM CL A S	-5,650.92	73.00	0.00	73.00	40.0 29.20
10/29/2018	QGW002180	FRENCH, JOHN		VENTRIPOINT DIAGNOSTICS LTD COM NEW S	-2,430.00	100.00	0.00	100.00	40.0 40.00
09/27/2018	QGW002925	BATHRICK, CHARLES		WAL-MART STORES INC S	-18,881.00	75.00	0.00	75.00	40.0 30.00
10/02/2018	QGW002925	BATHRICK, CHARLES		ALTRA INDL MOTION CORP COM S	-9,005.69	75.00	0.00	75.00	40.0 30.00
10/02/2018	QGW002925	BATHRICK, CHARLES		CIENA CORPORATION S	-5,952.04	75.00	0.00	75.00	40.0 30.00
10/02/2018	QGW002925	BATHRICK, CHARLES		VISA INC CL A COMMON STOCK B	14,951.92	75.00	0.00	75.00	40.0 30.00
10/04/2018	QGW002925	BATHRICK, CHARLES		CSX CORPORATION COM B	14,931.28	75.00	0.00	75.00	40.0 30.00
10/04/2018	QGW002925	BATHRICK, CHARLES		KRATOS DEFENSE & SEC SOLUTIONS B	4,296.72	75.00	0.00	75.00	40.0 30.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2018	QGW002925	BATHRICK, CHARLES							
		SALESFORCE.COM INC	S	-31,153.80	75.00	0.00	75.00	40.0	30.00
10/08/2018	QGW002925	BATHRICK, CHARLES							
		XILINX INC	B	15,623.14	75.00	0.00	75.00	40.0	30.00
10/10/2018	QGW002925	BATHRICK, CHARLES							
		PFIZER INCORPORATED	S	-8,910.30	75.00	0.00	75.00	40.0	30.00
10/11/2018	QGW002925	BATHRICK, CHARLES							
		MICROSOFT CORP	B	10,675.00	75.00	0.00	75.00	40.0	30.00
10/11/2018	QGW002925	BATHRICK, CHARLES							
		SAILPOINT TECHNOLOGIES HLDGS INC CO B		7,986.24	75.00	0.00	75.00	40.0	30.00
10/12/2018	QGW002925	BATHRICK, CHARLES							
		VISA INC CL A COMMON STOCK	S	-14,020.00	75.00	0.00	75.00	40.0	30.00
10/12/2018	QGW002925	BATHRICK, CHARLES							
		XILINX INC	S	-15,049.04	75.00	0.00	75.00	40.0	30.00
10/17/2018	QGW002925	BATHRICK, CHARLES							
		ADMA BIOLOGICS INC COM	S	-2,662.25	75.00	0.00	75.00	40.0	30.00
10/18/2018	QGW002925	BATHRICK, CHARLES							
		BLACKSTONE GROUP L P (THE) COMMON U S		-10,864.29	75.00	0.00	75.00	40.0	30.00
10/18/2018	QGW002925	BATHRICK, CHARLES							
		QUALCOMM INC	S	-13,194.70	75.00	0.00	75.00	40.0	30.00
10/19/2018	QGW002925	BATHRICK, CHARLES							
		CSX CORPORATION COM	S	-13,660.20	75.00	0.00	75.00	40.0	30.00
10/19/2018	QGW002925	BATHRICK, CHARLES							
		SAILPOINT TECHNOLOGIES HLDGS INC CO S		-8,109.00	75.00	0.00	75.00	40.0	30.00
10/25/2018	QGW002925	BATHRICK, CHARLES							
		MICROSOFT CORP	S	-10,735.00	75.00	0.00	75.00	40.0	30.00
10/26/2018	QGW002925	BATHRICK, CHARLES							
		FIREEYE INC COM	B	5,160.00	75.00	0.00	75.00	40.0	30.00
09/27/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		25,220.00	150.00	0.00	150.00	40.0	60.00
09/27/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		50,172.00	250.00	0.00	250.00	40.0	100.00
09/27/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		-25,220.00	-150.00	0.00	-150.00	40.0	-60.00 C
10/03/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		23,995.00	150.00	0.00	150.00	40.0	60.00
10/11/2018	QGW002974	CAIN, ANTHONY							
		ADMA BIOLOGICS INC COM	S	-5,621.50	80.00	0.00	80.00	40.0	32.00
10/11/2018	QGW002974	CAIN, ANTHONY							
		APPLE INC	B	71,358.74	100.00	0.00	100.00	40.0	40.00
10/11/2018	QGW002974	CAIN, ANTHONY							
		FRONTLINE LTD SHS NEW	S	-6,141.90	100.00	0.00	100.00	40.0	40.00
10/12/2018	QGW002974	CAIN, ANTHONY							
		APPLE INC	B	26,082.24	100.00	0.00	100.00	40.0	40.00
10/12/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-24,804.75	150.00	0.00	150.00	40.0	60.00
10/15/2018	QGW002974	CAIN, ANTHONY							
		AMAZON.COM INC	B	79,661.32	200.00	0.00	200.00	40.0	80.00
10/15/2018	QGW002974	CAIN, ANTHONY							
		AURORA CANNABIS INC COM ISIN#CA0515 S		-22,680.00	250.00	0.00	250.00	40.0	100.00
10/15/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-54,300.30	250.00	0.00	250.00	40.0	100.00
10/15/2018	QGW002974	CAIN, ANTHONY							
		MEDMEN ENTERPRISES INC CL B ISIN#CA S		-6,881.30	150.00	0.00	150.00	40.0	60.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		AURORA CANNABIS INC COM ISIN#CA0515 B		21,654.00	200.00	0.00	200.00	40.0	80.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-18,374.50	100.00	0.00	100.00	40.0	40.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-1,841.69	12.50	0.00	12.50	40.0	5.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-1,841.69	12.50	0.00	12.50	40.0	5.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-1,841.69	12.50	0.00	12.50	40.0	5.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-3,683.37	25.00	0.00	25.00	40.0	10.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-5,499.66	37.50	0.00	37.50	40.0	15.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-1,833.22	12.50	0.00	12.50	40.0	5.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		-1,833.22	12.50	0.00	12.50	40.0	5.00
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		1,841.69	-12.50	0.00	-12.50	40.0	-5.00 C
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		1,841.69	-12.50	0.00	-12.50	40.0	-5.00 C
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		1,841.69	-12.50	0.00	-12.50	40.0	-5.00 C
10/16/2018	QGW002974	CAIN, ANTHONY							
		GREEN THUMB INDS INC SUB VTG SHS IS S		3,683.37	-25.00	0.00	-25.00	40.0	-10.00 C

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/16/2018	QGW002974	CAIN, ANTHONY		GREEN THUMB INDS INC SUB VTG SHS IS S	5,499.66	-37.50	0.00	-37.50	40.0 -15.00 C
10/16/2018	QGW002974	CAIN, ANTHONY		GREEN THUMB INDS INC SUB VTG SHS IS S	1,833.22	-12.50	0.00	-12.50	40.0 -5.00 C
10/16/2018	QGW002974	CAIN, ANTHONY		GREEN THUMB INDS INC SUB VTG SHS IS S	1,833.22	-12.50	0.00	-12.50	40.0 -5.00 C
10/18/2018	QGW002974	CAIN, ANTHONY		AMAZON.COM INC S	-81,983.19	200.00	0.00	200.00	40.0 80.00
10/18/2018	QGW002974	CAIN, ANTHONY		APPLE INC S	-98,554.50	200.00	0.00	200.00	40.0 80.00
10/18/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380 B	24,678.35	150.00	0.00	150.00	40.0 60.00
10/19/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380 B	23,847.15	150.00	0.00	150.00	40.0 60.00
10/22/2018	QGW002974	CAIN, ANTHONY		WEIBO CORP SPONS ADR ISIN#US9485961 B	6,097.90	50.00	0.00	50.00	40.0 20.00
10/22/2018	QGW002974	CAIN, ANTHONY		YY INC ADS REPSTG COM CL A ISIN#US9 B	6,102.58	50.00	0.00	50.00	40.0 20.00
10/23/2018	QGW002974	CAIN, ANTHONY		AMAZON.COM INC B	87,199.39	250.00	0.00	250.00	40.0 100.00
10/23/2018	QGW002974	CAIN, ANTHONY		WEIBO CORP SPONS ADR ISIN#US9485961 B	5,823.89	50.00	0.00	50.00	40.0 20.00
10/23/2018	QGW002974	CAIN, ANTHONY		YY INC ADS REPSTG COM CL A ISIN#US9 B	6,041.79	50.00	0.00	50.00	40.0 20.00
10/24/2018	QGW002974	CAIN, ANTHONY		AMAZON.COM INC B	43,300.00	100.00	0.00	100.00	40.0 40.00
10/24/2018	QGW002974	CAIN, ANTHONY		WEIBO CORP SPONS ADR ISIN#US9485961 S	-11,275.84	75.00	0.00	75.00	40.0 30.00
10/24/2018	QGW002974	CAIN, ANTHONY		YY INC ADS REPSTG COM CL A ISIN#US9 S	-11,691.28	75.00	0.00	75.00	40.0 30.00
10/25/2018	QGW002974	CAIN, ANTHONY		AMAZON.COM INC S	-134,129.18	250.00	0.00	250.00	40.0 100.00
10/25/2018	QGW002974	CAIN, ANTHONY		BLUE BIRD CORPORATION COM B	9,383.45	150.00	0.00	150.00	40.0 60.00
10/05/2018	QGW003287	KLUTH, KENT		BLUE BIRD CORPORATION COM B	2,452.23	40.00	0.00	40.00	40.0 16.00
10/23/2018	QGW003345	COOKE, ROBERT		BLUE BIRD CORPORATION COM B	3,811.00	75.00	0.00	75.00	40.0 30.00
10/05/2018	QGW003386	RF LAFFERTY & CO		BLUE BIRD CORPORATION COM B	2,442.98	40.00	0.00	40.00	40.0 16.00
10/22/2018	QGW003568	SZTELLE, MATTHEW		TANZANIAN ROYALTY EXPLORATION CORPO B	2,193.00	75.00	0.00	75.00	40.0 30.00
10/17/2018	QGW004392	VALENTINE, OLIVIA		CANOPY GROWTH CORP COM ISIN# CA1380 B	25,210.00	150.00	0.00	150.00	40.0 60.00
10/18/2018	QGW004392	VALENTINE, OLIVIA		COMCAST CORP CL A S	-17,990.00	100.00	0.00	100.00	40.0 40.00
10/16/2018	QGW004665	JOSEPH FRY		PAGSEGURO DIGITAL LTD REGISTERED SH S	-29,960.00	90.00	0.00	90.00	40.0 36.00
10/17/2018	QGW004665	JOSEPH FRY		ENERGY FUELS INC COM NEW B	9,569.10	90.00	0.00	90.00	40.0 36.00
10/17/2018	QGW004665	JOSEPH FRY		FIRST COBALT CORP SHS ISIN#CA319702 S	-4,300.00	75.00	0.00	75.00	40.0 30.00
10/17/2018	QGW004665	JOSEPH FRY		METALLA ROYALTY AND STREAMING LTD R B	2,280.00	18.00	0.00	18.00	40.0 7.20
10/17/2018	QGW004665	JOSEPH FRY		METALLA ROYALTY AND STREAMING LTD R B	9,136.00	72.00	0.00	72.00	40.0 28.80
10/22/2018	QGW004665	JOSEPH FRY		BRF SA SPONSORED ADR S	-8,374.95	75.00	0.00	75.00	40.0 30.00
10/22/2018	QGW004665	JOSEPH FRY		CELLCUBE ENERGY STORAGE SYS INC COM B	7,950.00	125.00	0.00	125.00	40.0 50.00
10/23/2018	QGW004665	JOSEPH FRY		ENERGY FUELS INC COM NEW S	-3,510.36	75.00	0.00	75.00	40.0 30.00
10/02/2018	QGW014458	B, MICHELLE		ALTRA INDL MOTION CORP COM S	-8,959.80	90.00	0.00	90.00	40.0 36.00
10/12/2018	QGW020521	FORST, DANIEL		PROGRESSIVE PLANET SOLUTIONS INC RE B	2,720.00	20.00	0.00	20.00	40.0 8.00
10/22/2018	QGW020521	FORST, DANIEL		BLUE BIRD CORPORATION COM B	1,738.83	20.00	0.00	20.00	40.0 8.00
10/01/2018	QGW021990	STEINMETZ, GEORGE		FACEBOOK INC CL A S	-19,676.92	60.00	0.00	60.00	40.0 24.00
10/01/2018	QGW021990	STEINMETZ, GEORGE		FCB FINL HLDGS COM SHS A S	-14,192.31	60.00	0.00	60.00	40.0 24.00
10/01/2018	QGW021990	STEINMETZ, GEORGE		SPDR GOLD TR GOLD SHS S	-22,487.34	60.00	0.00	60.00	40.0 24.00
10/01/2018	QGW021990	STEINMETZ, GEORGE		WISDOMTREE JAPAN HEDGED EQUITY FUND B	23,480.00	60.00	0.00	60.00	40.0 24.00
10/02/2018	QGW021990	STEINMETZ, GEORGE		ALTRA INDL MOTION CORP COM S	-8,961.28	60.00	0.00	60.00	40.0 24.00
10/04/2018	QGW021990	STEINMETZ, GEORGE		GENERAL MOTORS COMPANY S	-10,300.02	60.00	0.00	60.00	40.0 24.00

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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/08/2018	QGW021990	STEINMETZ, GEORGE	GRUBHUB INC COM	S	-12,500.11	60.00	0.00	60.00	40.0	24.00
10/12/2018	QGW021990	STEINMETZ, GEORGE	MICROSOFT CORP	B	10,899.00	60.00	0.00	60.00	40.0	24.00
10/16/2018	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND B	B	21,958.44	60.00	0.00	60.00	40.0	24.00
10/24/2018	QGW021990	STEINMETZ, GEORGE	ACTIVISION BLIZZARD INC	S	-13,603.00	60.00	0.00	60.00	40.0	24.00
10/24/2018	QGW021990	STEINMETZ, GEORGE	PALO ALTO NETWORKS INC COM	S	-15,153.20	60.00	0.00	60.00	40.0	24.00
10/24/2018	QGW021990	STEINMETZ, GEORGE	PAYPAL HOLDINGS INC COM	S	-13,712.32	60.00	0.00	60.00	40.0	24.00
10/24/2018	QGW021990	STEINMETZ, GEORGE	SPDR DOW JONES INDL AVERAGE ETF TR	S	-62,694.30	60.00	0.00	60.00	40.0	24.00
10/24/2018	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND S	S	-41,881.44	60.00	0.00	60.00	40.0	24.00
10/25/2018	QGW021990	STEINMETZ, GEORGE	ADOBE SYSTEMS INC	S	-12,642.50	60.00	0.00	60.00	40.0	24.00
10/25/2018	QGW021990	STEINMETZ, GEORGE	MICROSOFT CORP	S	-10,743.36	60.00	0.00	60.00	40.0	24.00
10/05/2018	QGW022485	HAERINGER, JACQUES	BLUE BIRD CORPORATION COM	B	2,443.32	40.00	0.00	40.00	40.0	16.00
10/03/2018	QGW031239	CERRUTO, BARBARA	SEYCHELLE ENVIRONMENTAL COM	S	-1,700.00	75.00	0.00	75.00	40.0	30.00
10/18/2018	QGW031239	CERRUTO, BARBARA	SEYCHELLE ENVIRONMENTAL COM	S	-3,167.50	60.00	0.00	60.00	40.0	24.00
10/26/2018	QGW031312	RETIREMENT TRUST,	ENERGY FUELS INC COM NEW	B	14,797.00	125.00	0.00	125.00	40.0	50.00
10/29/2018	QGW039851	PEKULA, ANDREA	KINROSS GOLD CORP	S	-1,057.44	75.00	0.00	75.00	40.0	30.00
10/29/2018	QGW039851	PEKULA, ANDREA	NEW GOLD INC	S	-335.00	75.00	0.00	75.00	40.0	30.00
10/29/2018	QGW039851	PEKULA, ANDREA	RACKLA METALS INC SHS ISIN#CA750080	S	-63.84	15.00	0.00	15.00	40.0	6.00
10/29/2018	QGW039851	PEKULA, ANDREA	RADIUS GOLD INC COM ISIN#CA75046810	S	-840.00	75.00	0.00	75.00	40.0	30.00
10/29/2018	QGW039851	PEKULA, ANDREA	SILVERCORP METALS INC COM ISIN#CA82	S	-954.88	75.00	0.00	75.00	40.0	30.00
10/29/2018	QGW039851	PEKULA, ANDREA	TANZANIAN ROYALTY EXPLORATION CORPO	S	-250.71	40.00	0.00	40.00	40.0	16.00
10/29/2018	QGW039851	PEKULA, ANDREA	YAMANA GOLD INC	S	-1,354.17	75.00	0.00	75.00	40.0	30.00
* STOCKS SUBTOTAL *					\$-532,295.07	\$10,006.00	\$0.00	\$10,006.00		\$4,002.40

PRODUCT CATEGORY: OPTIONS

10/23/2018	QGW004665	JOSEPH FRY	CALL 100 PETROLEO BRASILEIRO SA PET B		4,860.00	90.00	0.00	90.00	40.0	36.00
* OPTIONS SUBTOTAL *					\$4,860.00	\$90.00	\$0.00	\$90.00		\$36.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018			FEE COUNT - 4		0.00	14.03	0.00	14.03	40.0	5.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$14.03	\$0.00	\$14.03		\$5.61
*** BROKER TRANSACTIONS TOTAL ***					\$-527,435.07	\$10,110.03	\$0.00	\$10,110.03		\$4,044.01

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2018		COMMISSION INCOME: COMMISSION INCOM		0.00	0.00	0.00	0.00		-16.00
10/31/2018		COMMISSION INCOME: COMMISSION INCOM		0.00	0.00	0.00	0.00		-16.00
10/31/2018		COMMISSION INCOME: COMMISSION INCOM		0.00	0.00	0.00	0.00		16.00
10/31/2018		COMMISSION INCOME: KLUTH		0.00	0.00	0.00	0.00		8.00
10/31/2018		COMMISSION INCOME: DRAPER		0.00	0.00	0.00	0.00		8.00
10/31/2018		COMMISSION INCOME: HAERINGER		0.00	0.00	0.00	0.00		8.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-12.00</u>
				*** ADJUSTMENTS TOTAL ***					

BROKER COMMISSION TOTALS

Broker Transactions	\$4,044.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-12.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.64
SIPC Assessment	\$-25.28

Check Amount	\$3,994.09
Year-to-date Earnings	\$54,288.53