

September 17, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
	CATEGORY: MUTUAL FUNDS					0.40		
09/10/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @34.8200	200.00	2.40	0.00	2.40	60.0	1.44
09/10/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 10 Units @41.9500	400.00	4.80	0.00	4.80	60.0	2.88
		* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$7.20	\$0.00	\$7.20		\$4.32
PRODUCT	CATEGORY: MUTUAL FUND 12B1	FRAILS						
09/04/201	8 ***-**-950I - JACKSON, DAVID ACCOUNT #: 00059229216	AMCAP FUND-A BUY 0 Units @0.0000	0.00	45.82	0.00	45.82	60.0	27.49
09/04/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 0 Units @0.0000	0.00	258.49	0.00	258.49	60.0	155.09
09/04/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	15.16	0.00	15.16	60.0	9.10
09/04/201	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	21.26	0.00	21.26	60.0	12.76
09/04/201	8 ***-**-06IR - BRUM, ROBERT ACCOUNT #: 00073483164	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	31.53	0.00	31.53	60.0	18.92
09/04/201	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	57.87	0.00	57.87	60.0	34.72
09/04/201	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	19.02	0.00	19.02	60.0	11.41
09/04/201	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	60.0	0.73
09/04/201	8 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	10.14	0.00	10.14	60.0	6.08
09/04/201	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	44.30	0.00	44.30	60.0	26.58
09/04/201	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	15.14	0.00	15.14	60.0	9.08
09/04/201	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	60.0	0.60
09/04/201	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	21.15	0.00	21.15	60.0	12.69



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PPONICT	CATEGORY: MUTUAL FUND 12B1 1		TRANSACTIONS	(continu	ued)				
	8 ***-**-454I - RUDERMAN,	CAPITAL WORLD GROWTH AND	INCOME FUN	0.00	1.94	0.00	1.94	60.0	1.16
	ACCOUNT #: 00061201993	BUY 0 Units @0.0000							
09/04/201	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	5.27	0.00	5.27	60.0	3.16
09/04/201	8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	32.74	0.00	32.74	60.0	19.64
09/04/201	8 ***-**-215P - GLICKMAN-LERIN, ACCOUNT #: 00074018600	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	6.31	0.00	6.31	60.0	3.79
09/04/201	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	49.87	0.00	49.87	60.0	29.92
09/04/201	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	30.82	0.00	30.82	60.0	18.49
09/04/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	EUROPACIFIC GROWTH FUND-ABUY 0 Units @0.0000	L.	0.00	44.08	0.00	44.08	60.0	26.45
09/04/201	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-ABUY 0 Units @0.0000	L.	0.00	4.46	0.00	4.46	60.0	2.68
09/04/201	8 ***-**-943I - KOULOS, JOHN ACCOUNT #: 00060686406	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	112.00	0.00	112.00	60.0	67.20
09/04/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	70.36	0.00	70.36	60.0	42.22
09/04/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	1.58	0.00	1.58	60.0	0.95
09/04/201	8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	49.18	0.00	49.18	60.0	29.51
09/04/201	8 ***-**-321I - MARSHALL, ACCOUNT #: 00075914418	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	6.24	0.00	6.24	60.0	3.74
09/04/201	8 ***-**-165I - FISCHER, FELICE ACCOUNT #: 00085895413	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	22.61	0.00	22.61	60.0	13.57
09/04/201	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	2.57	0.00	2.57	60.0	1.54
09/04/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	65.87	0.00	65.87	60.0	39.52



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BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	BROKER TRANSACTI	ONS (continu	ued)				
09/04/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	1.41	0.00	1.41	60.0	0.85
09/04/2018	8 ***-**-06IR - BRUM, ROBERT ACCOUNT #: 00073483164	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	56.12	0.00	56.12	60.0	33.67
09/04/2018	8 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	10.34	0.00	10.34	60.0	6.20
09/04/2018	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	37.62	0.00	37.62	60.0	22.57
09/04/2018	8 ***-**-454I - RUDERMAN, ACCOUNT #: 00061201993	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	8.44	0.00	8.44	60.0	5.06
09/04/2018	8 ***-**-5461 - ZUKOR, RONALD ACCOUNT #: 00063643212	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	3.85	0.00	3.85	60.0	2.31
09/04/2018	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.69	0.00	11.69	60.0	7.01
09/04/2018	8 ***-**-454I - RUDERMAN, ACCOUNT #: 00061201993	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.45	0.00	1.45	60.0	0.87
09/04/2018	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	78.31	0.00	78.31	60.0	46.99
09/04/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	60.0	0.98
09/04/2018	8 ***-**-848I - KOSKIE, HEATHER ACCOUNT #: 00067652141	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.91	0.00	10.91	60.0	6.55
09/04/2018	8 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.45	0.00	8.45	60.0	5.07
09/04/2018	8 ***-**-215P - GLICKMAN-LERIN, ACCOUNT #: 00074018600	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.30	0.00	7.30	60.0	4.38
09/04/2018	8 ***-**-212I - JACKSON, ROBERT ACCOUNT #: 00075983777	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.76	0.00	12.76	60.0	7.66
09/04/2018	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	41.20	0.00	41.20	60.0	24.72
09/04/2018	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.92	0.00	15.92	60.0	9.55



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		BROKER TRANSACTI	ONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
09/04/201	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.61	0.00	15.61	60.0	9.37
09/04/201	8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	23.89	0.00	23.89	60.0	14.33
09/04/201	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	21.23	0.00	21.23	60.0	12.74
09/04/201	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	29.81	0.00	29.81	60.0	17.89
09/04/201	8 ***-**-57IN - JACKSON, MARY ACCOUNT #: 00059229217	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	40.45	0.00	40.45	60.0	24.27
09/04/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	257.40	0.00	257.40	60.0	154.44
09/04/201	8 ***-**-113I - BRUNCKHORST, ACCOUNT #: 00059670454	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	56.83	0.00	56.83	60.0	34.10
09/04/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	4.21	0.00	4.21	60.0	2.53
09/04/201	8 ***-**-3051 - BRUNCKHORST, ACCOUNT #: 00059677391	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	77.61	0.00	77.61	60.0	46.57
09/04/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	10.99	0.00	10.99	60.0	6.59
09/04/201	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	13.47	0.00	13.47	60.0	8.08
09/06/201	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FARGO HIGH YLD INC FD CL A BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	60.0	0.08
09/06/201	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FGO AVTG DIVERSFD CAPITAL BLD BUY 0 Units @0.0000	0.00	3.18	0.00	3.18	60.0	1.91
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,910.22	\$0.00	\$1,910.22		\$1,146.13
		*** BROKER TRANSACTIONS TOTAL ***	\$600.00	\$1,917.42	\$0.00	\$1,917.42		\$1,150.45



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						BROKER COMMI	SSION	TOTALS
					Brok	er Transactions		\$1,150.45
					Spl	it Transactions		\$0.00
					Overri	de Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$71.72
					F	INRA Assessment		\$0.00
					:	SIPC Assessment		\$0.00
					Net Co	mmission Earned		\$1,222.17
					Year-to	o-date Earnings		\$15,195.14