

COMMISSION STATEMENT

October 15, 2018

 DREW NIXON-D350
 1509 West Panola

 BROKER #: D350
 BRANCH: DMV

Carthage, TX 75633

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/30/2018	1008334745 WEDDEL, LOIS	VA PERSPECTIVE L	B	81,078.18	94.59	0.00	94.59	90.0	85.13
* VARIABLE ANNUITIES SUBTOTAL *				\$81,078.18	\$94.59	\$0.00	\$94.59		\$85.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018	(None) NIXON, VARIOUS	MFT OPPENHEIMER	B	0.00	74.78	0.00	74.78	90.0	67.30
10/01/2018	00060135336 DUBOSE, ONEIDA	MFT MFS HIGH INCOME FUND-A	B	0.00	7.38	0.00	7.38	90.0	6.64
10/01/2018	00240029432 DURRETT, HELEN	MFT PUTNAM 746870104	B	0.00	9.81	0.00	9.81	90.0	8.83
10/01/2018	00240029432 DURRETT, HELEN	MFT PUTNAM 746870104	B	0.00	34.25	0.00	34.25	90.0	30.83
10/01/2018	00670045780 WALTON, LINDA	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	1.45	0.00	1.45	90.0	1.31
10/01/2018	00670141894 SCOTT,	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	22.79	0.00	22.79	90.0	20.51
10/02/2018	00966833112 ITES, DAWN	MFT PIONEER VALUE FUND A	B	0.00	3.14	0.00	3.14	90.0	2.83
10/01/2018	03809425006 ALLGOOD, CATHY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	8.39	0.00	8.39	90.0	7.55
10/08/2018	04700206371 CHOATE SEP-,	MFT AB HIGH INCOME A	B	0.00	7.15	0.00	7.15	90.0	6.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$169.14	\$0.00	\$169.14		\$152.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/22/2018	(None) KELLEY, BLANE	VAT AMERICAN GENERAL	B	0.00	41.10	0.00	41.10	90.0	36.99
09/22/2018	(None) KELLEY, BLANE	VAT AMERICAN GENERAL	B	0.00	41.11	0.00	41.11	90.0	37.00
09/26/2018	(None) ANDRUS, JAMES	VAT AMERICAN GENERAL	B	0.00	32.88	0.00	32.88	90.0	29.59
09/27/2018	(None) COOPER, TINA	VAT DELAWARE LIFE	B	0.00	35.22	0.00	35.22	90.0	31.70
09/27/2018	(None) WILLIAMS, DOUGLAS	VAT DELAWARE LIFE	B	0.00	18.35	0.00	18.35	90.0	16.52
09/30/2018	(None) OESTREICH, JAME	VAT AMERICAN GENERAL	B	0.00	1.41	0.00	1.41	90.0	1.27
09/30/2018	(None) MCMILLAN, SHELBY	VAT LINCOLN NATL LIFE	B	0.00	51.56	0.00	51.56	90.0	46.40
09/30/2018	(None) NIXON, VARIOUS	VAT TALCOTT RESOLUTION	B	0.00	174.98	0.00	174.98	90.0	157.48
10/02/2018	(None) WEDGEWORTH, JOHN	VAT AMERICAN GENERAL	B	0.00	42.05	0.00	42.05	90.0	37.85
10/03/2018	(None) ODEN, SANDRA	VAT AMERICAN GENERAL	B	0.00	45.60	0.00	45.60	90.0	41.04
10/05/2018	(None) KELLEY, JUDY	VAT AMERICAN GENERAL	B	0.00	11.48	0.00	11.48	90.0	10.33
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$495.74	\$0.00	\$495.74		\$446.17
*** DIRECT TRANSACTIONS TOTAL ***				\$81,078.18	\$759.47	\$0.00	\$759.47		\$683.54

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 OVERRIDE TRANSACTIONS**BROKER: JIM HUGHES-D349 #138**

09/25/2018 (None) HUGHES, VARIOUS	MF	MAINSTAY	B	0.00	28.84	0.00	28.84		2.88
09/25/2018 000000000055083 MEDLEY, DONNA	MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50		0.25
10/01/2018 0000000001642697 RODGEKATH	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00		0.10
09/25/2018 0000000003912312 ADAMS, MICH	MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00		0.30
09/25/2018 0000000004070696 MEDLEY, DONNA	MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50		0.25
10/01/2018 0000000007053662 DUFREMAXA	MF	CUSIP 645769696	B	100.00	5.00	0.00	5.00		0.50
10/01/2018 0000000012760498 POLLOSTEP	MF	FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58		0.46
10/05/2018 0000000012879742 HUFFMMICHR	MF	FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00		0.20
10/04/2018 1069511004 POWELL, CANDACE	VA	SECURITY BENEFIT LIFE #39	B	100.00	5.00	0.00	5.00		0.50
10/04/2018 1301070095 POWELL, CANDACE	VA	SECURITY BENEFIT LIFE #39	B	1,010.20	50.51	0.00	50.51		5.05
10/01/2018 1301103647 ELWELL, JOSEPH	VA	SECURITY BENEFIT LIFE #39	B	573.42	28.67	0.00	28.67		2.87
09/27/2018 (None) POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	11.06	0.00	11.06		1.11
09/27/2018 (None) POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	69.54	0.00	69.54		6.95
09/27/2018 (None) TAYLOR, JEWELL	VAT	SECURITY BENEFIT LIFE	B	0.00	1.13	0.00	1.13		0.11
09/27/2018 (None) FISHBACK, CECILE	VAT	SECURITY BENEFIT LIFE	B	0.00	0.75	0.00	0.75		0.08
09/27/2018 (None) BALDWIN, PATRICIA	VAT	SECURITY BENEFIT LIFE	B	0.00	0.57	0.00	0.57		0.06
09/27/2018 (None) ELWELL, JOSEPH	VAT	SECURITY BENEFIT LIFE	B	0.00	31.81	0.00	31.81		3.18
09/30/2018 (None) HUGHES, VARIOUS	VAT	TALCOTT RESOLUTION	B	0.00	783.96	0.00	783.96		78.40
10/05/2018 (None) ADVANTAGE	VAT	MASS MUTUAL	B	0.00	725.42	0.00	725.42		72.54
10/05/2018 (None) WEAVERMOT	VAT	MASS MUTUAL	B	0.00	3,570.88	0.00	3,570.88		357.09
* JIM HUGHES-D349 SUBTOTAL *				<u>\$3,341.95</u>	<u>\$5,328.72</u>	<u>\$0.00</u>	<u>\$5,328.72</u>		<u>\$532.88</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$3,341.95</u>	<u>\$5,328.72</u>	<u>\$0.00</u>	<u>\$5,328.72</u>		<u>\$532.88</u>

 REP LINK TRANSACTIONS**BROKER: DREW NIXON-D352 #137**

09/27/2018 000090237684460 ADAMSJOSCN	MF	FRANKLIN INCOME FUND CLASS A	B	300.00	0.00	0.00	0.00		1.20
* DREW NIXON-D352 SUBTOTAL *				<u>\$300.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$1.20</u>
*** REP LINK TRANSACTIONS TOTAL ***				<u>\$300.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$1.20</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$684.74
Split Transactions	\$0.00
Override Transactions	\$534.08
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,217.62
Year-to-date Commissions	\$74,075.83