

# COMMISSION STATEMENT

September 15, 2018

 TOM BRISTER-D011  
 32180 Inverness Drive

 BROKER #: D011  
 BRANCH: DMD

Evergreen, CO 80439

## COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/04/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
09/04/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
09/04/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
09/04/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
09/04/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: VARIABLE ANNUITIES											
08/18/2018	(None)	POLLA, DONALD	VA	AMERITAS	B	300.00	12.00	0.00	12.00	87.5	10.50
* VARIABLE ANNUITIES SUBTOTAL *						\$300.00	\$12.00	\$0.00	\$12.00		\$10.50
PRODUCT CATEGORY: MISCELLANEOUS											
08/31/2018	VARIOUS, BRISTER T	MI	PVG	0904	B	0.00	411.04	0.00	411.04	87.5	359.66
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$411.04	\$0.00	\$411.04		\$359.66
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/04/2018	00056812178	MOLEN, MARK	MFT	AMERICAN MUTUAL FUND-A	B	0.00	24.15	0.00	24.15	87.5	21.13
09/04/2018	00059063691	STUBBS/SEP, DAVID	MFT	CAPITAL INCOME BUILDER-A	B	0.00	25.06	0.00	25.06	87.5	21.93
09/04/2018	00064805200	SCHMIDT,	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.39	0.00	1.39	87.5	1.22
09/04/2018	00064805200	SCHMIDT,	MFT	THE INVESTMENT COMPANY OF	B	0.00	6.20	0.00	6.20	87.5	5.43
09/04/2018	00068527137	KINZELMAN, PHOEBE	MFT	THE INVESTMENT COMPANY OF	B	0.00	10.68	0.00	10.68	87.5	9.35
09/04/2018	00068527137	KINZELMAN, PHOEBE	MFT	THE INVESTMENT COMPANY OF	B	0.00	17.57	0.00	17.57	87.5	15.37
09/04/2018	00074778210	OLSON, ANDREW	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	11.30	0.00	11.30	87.5	9.89
09/04/2018	00074778243	OLSON, ANDREW	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	24.63	0.00	24.63	87.5	21.55
09/04/2018	00086110551	SWISHER, DAWN	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	15.44	0.00	15.44	87.5	13.51
09/04/2018	00086110551	SWISHER, DAWN	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	10.17	0.00	10.17	87.5	8.90
09/04/2018	00086110559	DRAUGHN, DUSTIN	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	10.85	0.00	10.85	87.5	9.49
09/04/2018	00086110559	DRAUGHN, DUSTIN	MFT	THE INVESTMENT COMPANY OF	B	0.00	14.96	0.00	14.96	87.5	13.09
09/04/2018	00930237814	STUBBS COMPRO,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	62.79	0.00	62.79	87.5	54.94
09/04/2018	04000954663	DRAUGHN, DUSTIN	MFT	AMCAP FUND-A	B	0.00	0.47	0.00	0.47	87.5	0.41
09/04/2018	04000954663	DRAUGHN, DUSTIN	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14

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* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$235.82	\$0.00	\$235.82		\$206.35
*** DIRECT TRANSACTIONS TOTAL ***				\$1,200.00	\$692.63	\$0.00	\$692.63		\$606.07

OVERRIDE TRANSACTIONS

BROKER: ALEX BRISTER-D010 #14									
09/07/2018	04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75	5.16
09/04/2018	00064364960	WIEBER, SANDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.69	0.00	17.69	6.63
09/04/2018	00067067479	TICKLE, MICHAEL	MFT NEW PERSPECTIVE FUND-A	B	0.00	24.88	0.00	24.88	9.33
09/04/2018	00067067479	TICKLE, MICHAEL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	24.07	0.00	24.07	9.03
09/04/2018	00067067479	TICKLE, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	18.57	0.00	18.57	6.96
09/04/2018	00067670267	SCHMIDT, JACK	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	8.84	0.00	8.84	3.32
09/04/2018	00067670267	SCHMIDT, JACK	MFT NEW PERSPECTIVE FUND-F1	B	0.00	11.17	0.00	11.17	4.19
09/04/2018	00067670267	SCHMIDT, JACK	MFT SHORT-TERM BOND FUND OF	B	0.00	2.98	0.00	2.98	1.12
09/04/2018	00067670267	SCHMIDT, JACK	MFT THE INVESTMENT COMPANY OF	B	0.00	17.86	0.00	17.86	6.70
09/04/2018	00068717375	GERRIE/AUTH PER,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.22	0.00	0.22	0.08
09/04/2018	00068717375	GERRIE/AUTH PER,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.06	0.00	2.06	0.77
09/04/2018	00068717375	GERRIE/AUTH PER,	MFT SMALLCAP WORLD FUND-A	B	0.00	0.25	0.00	0.25	0.09
09/04/2018	00068781179	GERRIE, SARA	MFT AMCAP FUND-A	B	0.00	43.83	0.00	43.83	16.44
09/04/2018	00068781179	GERRIE, SARA	MFT THE INVESTMENT COMPANY OF	B	0.00	6.05	0.00	6.05	2.27
09/04/2018	00083404474	BARNES, WENDY	MFT AMCAP FUND-A	B	0.00	32.64	0.00	32.64	12.24
09/04/2018	04000508909	GERRIE, ANDREW	MFT AMERICAN FUNDS 2040 TARGET	B	0.00	4.65	0.00	4.65	1.74
* ALEX BRISTER-D010 SUBTOTAL *					\$500.00	\$229.51	\$0.00	\$229.51	\$86.07
*** OVERRIDE TRANSACTIONS TOTAL ***					\$500.00	\$229.51	\$0.00	\$229.51	\$86.07

BROKER COMMISSION TOTALS

Broker Transactions	\$606.07
Split Transactions	\$0.00
Override Transactions	\$86.07
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$692.14
Year-to-date Commissions	\$27,198.08