



# COMMISSION STATEMENT

## October 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/30/2018	***-**-001I - HUBBARD, ARLENE	OPPENHEIMER CORE BOND FUND A	0.00	8.06	0.00	8.06	95.0	7.66
	ACCOUNT #: 02850962500	BUY 0 Units @0.0000						
09/30/2018	***-**-19IN - MELECA, FRANK	ROCHESTER FUND MUNICIPALS A	0.00	4.15	0.00	4.15	95.0	3.94
	ACCOUNT #: 00019757000	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$12.21</b>	<b>\$0.00</b>	<b>\$12.21</b>		<b>\$11.60</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$0.00</b>	<b>\$12.21</b>	<b>\$0.00</b>	<b>\$12.21</b>		<b>\$11.60</b>

### VERRIDE TRANSACTIONS

#### **BROKER: RONALD DRAKE**

10/01/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714	BUY 1 Units @37.4500						
10/01/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.00	0.00	1.00	20.0	0.20
	ACCOUNT #: 00085099445	BUY 2 Units @28.7200						
10/02/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @29.7100						
10/02/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00085154620	BUY 4 Units @28.7200						
10/02/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 3 Units @29.0200						
10/02/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 14 Units @29.0200						
10/02/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @29.7100						
10/04/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @29.2700						
10/05/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 5 Units @29.0200						
10/01/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.00	0.00	1.00	20.0	0.20
	ACCOUNT #: 00085099445	BUY 5 Units @10.5200						
10/02/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 04000247689	BUY 5 Units @10.6700						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
10/02/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5300	100.00	2.00	0.00	2.00	20.0	0.40
10/02/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.6400	165.00	4.54	0.00	4.54	20.0	0.91
10/02/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.6400	38.25	1.05	0.00	1.05	20.0	0.21
10/04/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.6000	25.00	0.69	0.00	0.69	20.0	0.14
10/04/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6300	52.50	1.58	0.00	1.58	20.0	0.32
10/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @10.6100	80.00	2.40	0.00	2.40	20.0	0.48
10/01/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN MUTUAL FUND-A BUY 1 Units @44.1800	50.00	1.00	0.00	1.00	20.0	0.20
09/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 03209265036	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	3.98	0.00	3.98	20.0	0.80
09/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	11.16	0.00	11.16	20.0	2.23
09/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	7.25	0.00	7.25	20.0	1.45
09/30/2018	***-**-030J - MERRILL, ACCOUNT #: 03009145719	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	1.66	0.00	1.66	20.0	0.33
09/30/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	20.0	0.32
10/01/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	CAPITAL INCOME BUILDER-A BUY 1 Units @64.0700	50.00	2.50	0.00	2.50	20.0	0.50
10/02/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @62.5700	400.00	11.00	0.00	11.00	20.0	2.20
10/02/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.3100	100.00	2.00	0.00	2.00	20.0	0.40
10/02/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @52.8500	165.00	4.54	0.00	4.54	20.0	0.91



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: RONALD DRAKE (continued)</u></b>								
10/02/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @52.8500						
10/04/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @52.2500						
10/04/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @53.0700						
09/30/2018	***-**-59VA - SEYMOUR, DEBORAH	CHOICEPLUS ASSURANCE BONUS	0.00	16.86	0.00	16.86	20.0	3.37
	ACCOUNT #: 921058830	BUY 0 Units @0.0000						
09/30/2018	***-**-57VA - NELSON, IRENE	CHOICEPLUS ASSURANCE BONUS	0.00	27.69	0.00	27.69	20.0	5.54
	ACCOUNT #: 921059039	BUY 0 Units @0.0000						
09/30/2018	***-**-9692 - KELLER, KENNETH	CHOICEPLUS ASSURANCE BONUS	0.00	91.61	0.00	91.61	20.0	18.32
	ACCOUNT #: 921075402	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	EQUITY INCOME FUND, INC. A	0.00	5.85	0.00	5.85	20.0	1.17
	ACCOUNT #: 02401404062	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	EQUITY INCOME FUND, INC. A	0.00	2.94	0.00	2.94	20.0	0.59
	ACCOUNT #: 08351548041	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	0.00	4.73	0.00	4.73	20.0	0.95
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
09/30/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	0.00	7.67	0.00	7.67	20.0	1.53
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
10/02/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @54.4900						
10/05/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 2 Units @67.6100						
09/14/2018	***-**-4848 - DOGGETT, AMY	GWG L BOND - 7 YR	40,000.00	2,400.00	0.00	2,400.00	20.0	480.00
		BUY 40 Units @1000.0000						
09/30/2018	***-**-030J - MERRILL,	INTERNATIONAL DIVERSIFIED FUND N	0.00	1.24	0.00	1.24	20.0	0.25
	ACCOUNT #: 01980118393	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	INTERNATIONAL DIVERSIFIED FUND N	0.00	0.04	0.00	0.04	20.0	0.01
	ACCOUNT #: 01980118393	BUY 0 Units @0.0000						
10/05/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	59.67	59.67	0.00	59.67	15.0	8.95
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
10/05/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	124.93	124.93	0.00	124.93	15.0	18.74
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
10/05/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	129.47	129.47	0.00	129.47	15.0	19.42
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						
09/30/2018	***-**-7431 - PATANE, JOANNE	LINCOLN FIN VA 533787727	0.00	70.56	0.00	70.56	20.0	14.11
	ACCOUNT #: 951069509	BUY 0 Units @0.0000						
09/30/2018	***-**-61VA - BUDINE, JESSE	LINCOLN FIN VA 53404B676	0.00	112.81	0.00	112.81	20.0	22.56
	ACCOUNT #: 924689841	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	MAIN STREET FUND A	0.00	3.15	0.00	3.15	20.0	0.63
	ACCOUNT #: 04206247879	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	0.00	42.02	0.00	42.02	20.0	8.40
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	0.00	21.18	0.00	21.18	20.0	4.24
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER CORE BOND FUND A	0.00	0.67	0.00	0.67	20.0	0.13
	ACCOUNT #: 02851702085	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER CORE BOND FUND A	0.00	1.42	0.00	1.42	20.0	0.28
	ACCOUNT #: 02851702096	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER CORE BOND FUND A	0.00	0.78	0.00	0.78	20.0	0.16
	ACCOUNT #: 02852063622	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	6.89	0.00	6.89	20.0	1.38
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.63	0.00	0.63	20.0	0.13
	ACCOUNT #: 07859845440	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER DISCOVERY CLASS A	0.00	8.27	0.00	8.27	20.0	1.65
	ACCOUNT #: 07453729187	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.78	0.00	3.78	20.0	0.76
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	1.41	0.00	1.41	20.0	0.28
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	2.86	0.00	2.86	20.0	0.57
	ACCOUNT #: 01951897060	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
09/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 07315104495	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	2.81	0.00	2.81	20.0	0.56
09/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 08475741681	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	9.16	0.00	9.16	20.0	1.83
09/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.08	0.00	8.08	20.0	1.62
09/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 08476711969	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	6.04	0.00	6.04	20.0	1.21
09/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	7.82	0.00	7.82	20.0	1.56
09/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	4.97	0.00	4.97	20.0	0.99
09/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02305070475	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	20.0	0.05
09/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	6.94	0.00	6.94	20.0	1.39
09/30/2018	***-**-030J - MERRILL, ACCOUNT #: 05480060203	PORTFOLIO SERIES: MODERATE INVESTOR BUY 0 Units @0.0000	0.00	10.63	0.00	10.63	20.0	2.13
09/30/2018	***-**-030J - MERRILL, ACCOUNT #: 05480060203	PORTFOLIO SERIES: MODERATE INVESTOR BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	20.0	0.24
09/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	6.49	0.00	6.49	20.0	1.30
09/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	8.11	0.00	8.11	20.0	1.62
09/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02254507140	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	3.90	0.00	3.90	20.0	0.78
09/30/2018	***-**-24IR - BERTRAND, JOHN ACCOUNT #: 02254870800	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	20.0	0.08
09/30/2018	***-**-030J - MERRILL, ACCOUNT #: 06000782386	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	1.74	0.00	1.74	20.0	0.35
09/30/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 06000782397	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	1.65	0.00	1.65	20.0	0.33



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<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
10/04/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @61.4400						
10/02/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 7 Units @12.9200						
10/01/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	20.0	0.20
	ACCOUNT #: 00085099445	BUY 1 Units @58.2700						
10/02/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	3.50	0.00	3.50	20.0	0.70
	ACCOUNT #: 00085154620	BUY 3 Units @58.0300						
10/02/2018	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	4.68	0.00	4.68	20.0	0.94
	ACCOUNT #: 00081620466	BUY 3 Units @58.6300						
10/02/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	20.0	0.51
	ACCOUNT #: 00084481157	BUY 2 Units @58.6300						
10/02/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	54.00	1.49	0.00	1.49	20.0	0.30
	ACCOUNT #: 00085839015	BUY 1 Units @58.6300						
10/04/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @57.8500						
10/05/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	55.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00082570536	BUY 1 Units @57.9900						
10/01/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00086643595	BUY 2 Units @24.3400						
10/02/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	38.25	1.05	0.00	1.05	20.0	0.21
	ACCOUNT #: 00085839015	BUY 2 Units @24.0900						
10/02/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @24.6700						
10/04/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @24.0100						
10/05/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 6 Units @24.1700						
09/30/2018	***-**-5453 - O'HARA, DARLENE	VALUE FUND A	0.00	6.40	0.00	6.40	20.0	1.28
	ACCOUNT #: 03751903044	BUY 0 Units @0.0000						
<b>* RONALD DRAKE SUBTOTAL *</b>			<b>\$43,974.07</b>	<b>\$3,369.86</b>	<b>\$0.00</b>	<b>\$3,369.86</b>		<b>\$658.29</b>



# COMMISSION STATEMENT

## October 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI</u></b>								
10/04/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 3 Units @29.1200						
09/30/2018	***-**-213I - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	24.80	0.00	24.80	35.0	8.68
	ACCOUNT #: 02704872989	BUY 0 Units @0.0000						
09/30/2018	***-**-213I - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	0.06	0.00	0.06	35.0	0.02
	ACCOUNT #: 03203076865	BUY 0 Units @0.0000						
09/30/2018	***-**-338O - DENTON, KELLI	CAPITAL APPRECIATION A	0.00	8.59	0.00	8.59	35.0	3.01
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
09/30/2018	***-**-850I - BERG, SCOTT	CAPITAL APPRECIATION A	0.00	12.31	0.00	12.31	35.0	4.31
	ACCOUNT #: 03210694585	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	CAPITAL INCOME A	0.00	26.17	0.00	26.17	35.0	9.16
	ACCOUNT #: 03009150416	BUY 0 Units @0.0000						
09/30/2018	***-**-793I - CIOTOLI, LOUIS	DISCOVERY FUND C	0.00	89.56	0.00	89.56	35.0	31.35
	ACCOUNT #: 07470601535	BUY 0 Units @0.0000						
09/30/2018	***-**-832I - FLETCHER, JAMES	EQUITY INCOME FUND, INC. A	0.00	5.47	0.00	5.47	35.0	1.91
	ACCOUNT #: 08352369477	BUY 0 Units @0.0000						
09/30/2018	***-**-753I - BRYAN, DEBRA	EQUITY INCOME FUND, INC. A	0.00	5.13	0.00	5.13	35.0	1.80
	ACCOUNT #: 08353019005	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	EQUITY INCOME FUND, INC. A	0.00	1.38	0.00	1.38	35.0	0.48
	ACCOUNT #: 08352477761	BUY 0 Units @0.0000						
09/30/2018	***-**-793I - CIOTOLI, LOUIS	EQUITY INCOME FUND, INC. C	0.00	233.41	0.00	233.41	35.0	81.69
	ACCOUNT #: 08370088704	BUY 0 Units @0.0000						
09/30/2018	***-**-832I - FLETCHER, JAMES	GLOBAL FUND A	0.00	4.76	0.00	4.76	35.0	1.67
	ACCOUNT #: 03307051794	BUY 0 Units @0.0000						
09/30/2018	***-**-753I - BRYAN, DEBRA	GLOBAL FUND A	0.00	2.84	0.00	2.84	35.0	0.99
	ACCOUNT #: 03307545892	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	5.61	0.00	5.61	35.0	1.96
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	0.65	0.00	0.65	35.0	0.23
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
09/30/2018	***-**-832I - FLETCHER, JAMES	INTERNATIONAL BOND A	0.00	1.52	0.00	1.52	35.0	0.53
	ACCOUNT #: 08809946992	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## October 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	INTERNATIONAL BOND A	0.00	1.07	0.00	1.07	35.0	0.37
	ACCOUNT #: 08809999616	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	11.77	0.00	11.77	35.0	4.12
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	3.74	0.00	3.74	35.0	1.31
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
09/30/2018	***-**-850I - BERG, SCOTT	INTERNATIONAL GROWTH FUND A	0.00	9.45	0.00	9.45	35.0	3.31
	ACCOUNT #: 08254805537	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	INTERNATIONAL GROWTH FUND A	0.00	1.34	0.00	1.34	35.0	0.47
	ACCOUNT #: 08257768090	BUY 0 Units @0.0000						
09/30/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	0.00	45.01	0.00	45.01	35.0	15.75
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
10/05/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	199.97	199.97	0.00	199.97	35.0	69.99
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
10/05/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	243.12	243.12	0.00	243.12	35.0	85.09
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
10/05/2018	***-**-1730 - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	248.28	248.28	0.00	248.28	35.0	86.90
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						
10/05/2018	***-**-14FB - CONTI, THOMAS	LIFEMARK INVESTMENT ADVISORY FEE	93.75	93.75	0.00	93.75	35.0	32.81
	ACCOUNT #: 925530255	BUY 0 Units @0.0000						
10/05/2018	***-**-08FB - KROH, TODD	LIFEMARK INVESTMENT ADVISORY FEE	849.35	849.35	0.00	849.35	35.0	297.27
	ACCOUNT #: 925550769	BUY 0 Units @0.0000						
09/30/2018	***-**-8626 - CIOTOLI, CLIENTS	LINCOLN FIN VA TRAILS 533785101	0.00	28.09	0.00	28.09	35.0	9.83
	ACCOUNT #: 951057679	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	MAIN STREET FUND A	0.00	29.13	0.00	29.13	35.0	10.20
	ACCOUNT #: 07006148794	BUY 0 Units @0.0000						
09/30/2018	***-**-7713 - KROH, CRYSTAL	MAIN STREET FUND C	0.00	13.95	0.00	13.95	35.0	4.88
	ACCOUNT #: 07024468992	BUY 0 Units @0.0000						
09/30/2018	***-**-354I - MASTRONARDI,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	18.17	0.00	18.17	35.0	6.36
	ACCOUNT #: 05502014892	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	OPPENHEIMER CORE BOND FUND A	0.00	24.46	0.00	24.46	35.0	8.56
	ACCOUNT #: 02852454661	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## October 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
09/30/2018	***-**-258I - JOHNSON, ACCOUNT #: 05001906223	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	2.93	0.00	2.93	35.0	1.03
09/30/2018	***-**-850I - BERG, SCOTT ACCOUNT #: 08476862416	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	13.16	0.00	13.16	35.0	4.61
09/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 08477449156	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	2.72	0.00	2.72	35.0	0.95
09/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02912380983	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	35.0	0.89
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	21.04	0.00	21.04	35.0	7.36
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	17.26	0.00	17.26	35.0	6.04
09/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02306352283	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	13.82	0.00	13.82	35.0	4.84
09/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02306960198	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	9.35	0.00	9.35	35.0	3.27
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 05550672298	PORT SERIES: EQUITY INV FD A BUY 0 Units @0.0000	0.00	24.00	0.00	24.00	35.0	8.40
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	9.79	0.00	9.79	35.0	3.43
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	4.78	0.00	4.78	35.0	1.67
09/30/2018	***-**-850I - BERG, SCOTT ACCOUNT #: 02253463944	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	11.97	0.00	11.97	35.0	4.19
09/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02253984684	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	7.39	0.00	7.39	35.0	2.59
09/30/2018	***-**-354I - MASTRONARDI, ACCOUNT #: 02254007586	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	8.38	0.00	8.38	35.0	2.93
09/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02254472149	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.57	0.00	5.57	35.0	1.95
09/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 02350125422	RISING DIVIDENDS FUND, INC. N BUY 0 Units @0.0000	0.00	8.93	0.00	8.93	35.0	3.13



# COMMISSION STATEMENT

October 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
09/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	6.86	0.00	6.86	35.0	2.40
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
09/30/2018	***-**-354I - MASTRONARDI,	ROCHESTER FUND MUNICIPALS A	0.00	12.67	0.00	12.67	35.0	4.43
	ACCOUNT #: 03652709938	BUY 0 Units @0.0000						
09/30/2018	***-**-258I - JOHNSON,	SELECT VALUE FUND A	0.00	28.45	0.00	28.45	35.0	9.96
	ACCOUNT #: 06000784399	BUY 0 Units @0.0000						
09/30/2018	***-**-793I - CIOTOLI, LOUIS	SMALL- & MID- CAP VALUE FUND C	0.00	101.70	0.00	101.70	35.0	35.60
	ACCOUNT #: 02530273031	BUY 0 Units @0.0000						
09/30/2018	***-**-8078 - DUBRAVA, VANESSA	SMALL- & MID-CAP GROWTH FUND A	0.00	1.73	0.00	1.73	35.0	0.61
	ACCOUNT #: 07210733898	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	27.27	0.00	27.27	35.0	9.54
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
09/30/2018	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	8.39	0.00	8.39	35.0	2.94
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
* RONALD CIOTOLI SUBTOTAL *			<u>\$1,734.47</u>	<u>\$2,597.36</u>	<u>\$0.00</u>	<u>\$2,597.36</u>		<u>\$909.08</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$45,708.54</u>	<u>\$5,967.22</u>	<u>\$0.00</u>	<u>\$5,967.22</u>		<u>\$1,567.37</u>



BROKER #: AK417  
BRANCH #01016 - 16 - CASPER

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions						\$11.60		
Split Transactions						\$0.00		
Override Transactions						\$1,567.37		
Adjustments						\$0.00		
Payroll Draw						\$0.00		
Base Salary						\$0.00		
Prior Period Balance						\$36.58		
FINRA Assessment						\$0.00		
SIPC Assessment						\$0.00		
Net Commission Earned						\$1,615.55		
Year-to-date Earnings						\$22,587.78		