

**COMMISSION STATEMENT**

October 31, 2018

 DREW NIXON-D350  
 1509 West Panola

 BROKER #: D350  
 BRANCH: DMV

Carthage, TX 75633

**COMMISSION STATEMENT for DREW NIXON-D350**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/19/2018	677279852	SCOTT, TRACIE	MF FRK CA TF INC FND - CL A	B	-9,997.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *					\$-9,997.55	\$0.00	\$5.00	\$-5.00		\$-4.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	3.70	0.00	3.70	90.0	3.33
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	17.86	0.00	17.86	90.0	16.07
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.78	0.00	7.78	90.0	7.00
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.78	0.00	7.78	90.0	7.00
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	14.57	0.00	14.57	90.0	13.11
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.19	0.00	18.19	90.0	16.37
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.39	0.00	18.39	90.0	16.55
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.55	0.00	0.55	90.0	0.50
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	20.70	0.00	20.70	90.0	18.63
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	1.20	0.00	1.20	90.0	1.08
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	1.74	0.00	1.74	90.0	1.57
10/19/2018	mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	1.57	0.00	1.57	90.0	1.41
10/19/2018	mfc533651	NIXON, VARIOUS	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	17.75	0.00	17.75	90.0	15.98
10/19/2018	mfc533651	NIXON, VARIOUS	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	18.33	0.00	18.33	90.0	16.50
10/19/2018	mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.46	0.00	0.46	90.0	0.41
10/19/2018	mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.99	0.00	0.99	90.0	0.89
10/19/2018	mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	1.97	0.00	1.97	90.0	1.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$153.53	\$0.00	\$153.53		\$138.17
*** CLEARING TRANSACTIONS TOTAL ***					\$-9,997.55	\$153.53	\$5.00	\$148.53		\$133.67

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
10/22/2018	0000000002350888	BAGLECASE MF FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	30.00	0.00	30.00	90.0	27.00
10/22/2018	0000000002350888	BAGLECASE MF FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	9.00	0.00	9.00	90.0	8.10
10/22/2018	0000000002350938	SHARPBRANP MF FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	24.00	0.00	24.00	90.0	21.60
10/22/2018	0000000002350938	SHARPBRANP MF FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	7.20	0.00	7.20	90.0	6.48
10/22/2018	0000000002356588	BAGLERICK MF FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	18.00	0.00	18.00	90.0	16.20
10/22/2018	0000000002356588	BAGLERICK MF FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	5.40	0.00	5.40	90.0	4.86
10/22/2018	0000000005944703	BAGLEBEAT MF FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	0.90	0.00	0.90	90.0	0.81
10/22/2018	0000000005944703	BAGLEBEAT MF FRK TEMP FOUNDING FUNDS ALLOC	B	12.00	0.27	0.00	0.27	90.0	0.24
10/22/2018	0000000006153163	GARCIJUAN MF FRK TEMP FOUNDING FUNDS ALLOC	B	342.00	17.10	0.00	17.10	90.0	15.39
10/22/2018	0000000006153163	GARCIJUAN MF FRK TEMP FOUNDING FUNDS ALLOC	B	102.60	5.13	0.00	5.13	90.0	4.62
* MUTUAL FUNDS SUBTOTAL *				\$3,876.60	\$117.00	\$0.00	\$117.00		\$105.30
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/30/2018	(None) VERALLI	MFT COLLEGE BOUND	B	0.00	43.95	0.00	43.95	90.0	39.56
09/30/2018	(None) NIXON, VARIOUS	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	84.85	0.00	84.85	90.0	76.37
10/08/2018	00090090946 TALCOTT, MARTHA	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	2.99	0.00	2.99	90.0	2.69
10/08/2018	00094423955 HANSEN, WES	MFT DEUTSCHE GNMA FUND-A	B	0.00	6.77	0.00	6.77	90.0	6.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$138.56	\$0.00	\$138.56		\$124.71
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
09/30/2018	(None) VERALLI	VAT PHOENIX LIFE	B	0.00	205.34	0.00	205.34	90.0	184.81
10/07/2018	(None) BAKER, CHAD	VAT AMERICAN GENERAL	B	0.00	8.83	0.00	8.83	90.0	7.95
10/12/2018	(None) NIXON, DREW	VAT AMERICAN GENERAL	B	0.00	43.95	0.00	43.95	90.0	39.56
10/13/2018	(None) MILLER, CHRISTIN	VAT AMERICAN GENERAL	B	0.00	24.59	0.00	24.59	90.0	22.13
10/14/2018	(None) WILLIAMS, GREGO	VAT AMERICAN GENERAL	B	0.00	39.24	0.00	39.24	90.0	35.32
10/14/2018	(None) HUDMAN, BETTY	VAT AMERICAN GENERAL	B	0.00	2.58	0.00	2.58	90.0	2.32
10/17/2018	(None) GRIFFIN, PEGGY	VAT AMERICAN GENERAL	B	0.00	12.10	0.00	12.10	90.0	10.89
10/23/2018	(None) MARTIN, SHERRY	VAT AMERICAN GENERAL	B	0.00	96.94	0.00	96.94	90.0	87.25
10/23/2018	(None) KEEN, SHIRLEY	VAT AMERICAN GENERAL	B	0.00	75.68	0.00	75.68	90.0	68.11
10/24/2018	(None) KEEN, BOBBY	VAT AMERICAN GENERAL	B	0.00	45.87	0.00	45.87	90.0	41.28
10/25/2018	(None) BANKHEAD, CORE	VAT AMERICAN GENERAL	B	0.00	8.49	0.00	8.49	90.0	7.64
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$563.61	\$0.00	\$563.61		\$507.26
*** DIRECT TRANSACTIONS TOTAL ***				\$3,876.60	\$819.17	\$0.00	\$819.17		\$737.27

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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 OVERRIDE TRANSACTIONS **BROKER: JIM HUGHES-D349 #138**

10/25/2018	000000000055083	MEDLEY, DONNA MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	0.25
10/10/2018	0000000002367136	JONES, JESS MF	FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50	0.15
10/25/2018	0000000003912312	ADAMS, MICH MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	0.30
10/25/2018	0000000004070696	MEDLEY, DONNA MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	0.25
09/30/2018	(None)	HUGHES, VARIOUS	MFT MAINSTAY	B	0.00	54.81	0.00	54.81	5.48
10/19/2018	(None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	29.82	0.00	29.82	2.98
10/15/2018	VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.91	0.00	10.91	1.09
10/18/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.56	0.00	1.56	0.16
10/19/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.61	0.00	1.61	0.16
10/18/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.16	0.00	1.16	0.12
10/19/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.34	0.00	1.34	0.13
10/18/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.73	0.00	3.73	0.37
10/19/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	4.25	0.00	4.25	0.43
10/18/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.11	0.00	1.11	0.11
10/19/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.23	0.00	1.23	0.12
10/18/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	0.73	0.00	0.73	0.07
10/19/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	0.70	0.00	0.70	0.07
10/18/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.75	0.00	1.75	0.18
10/19/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.63	0.00	1.63	0.16
10/18/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	3.95	0.00	3.95	0.40
10/19/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	4.35	0.00	4.35	0.44
10/15/2018	VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92	1.49
10/09/2018	VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	1.43	0.00	1.43	0.14
10/15/2018	VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.72	0.00	9.72	0.97
10/15/2018	VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	21.95	0.00	21.95	2.20
10/15/2018	VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	1.37
* JIM HUGHES-D349 SUBTOTAL *					<u>\$950.00</u>	<u>\$195.89</u>	<u>\$0.00</u>	<u>\$195.89</u>	<u>\$19.59</u>
*** OVERRIDE TRANSACTIONS TOTAL ***					<u>\$950.00</u>	<u>\$195.89</u>	<u>\$0.00</u>	<u>\$195.89</u>	<u>\$19.59</u>

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
REP LINK TRANSACTIONS										
BROKER: DREW NIXON-D352 #137										
09/27/2018	000090237684460	ADAMSJOSCN	MF	FRANKLIN INCOME FUND CLASS A	B	-300.00	0.00	0.00	0.00	-1.20
* DREW NIXON-D352 SUBTOTAL *					\$-300.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-1.20
*** REP LINK TRANSACTIONS TOTAL ***					\$-300.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-1.20

**LINKED CLEARING TRANSACTIONS**

09/28/2018	368300127	NIXON, DREW	MF	FRANKLIN GROWTH FUND CLASS A	S	70,002.45	1,575.00	2.50	1,572.50	1,415.25
09/28/2018	368300127	NIXON, DREW	MF	FRANKLIN GROWTH FUND CLASS A	S	-70,002.45	-1,575.00	-2.50	-1,572.50	-1,415.25
09/28/2018	368300127	NIXON, DREW	MF	FRANKLIN GROWTH FUND CLASS A	S	70,002.45	0.00	2.50	-2.50	-2.25
09/28/2018	368460939	BLAKE, TERRY	MF	FRANKLIN GROWTH FUND CLASS A	S	30,002.45	900.00	2.50	897.50	807.75
09/28/2018	368460939	BLAKE, TERRY	MF	FRANKLIN GROWTH FUND CLASS A	S	-30,002.45	-900.00	-2.50	-897.50	-807.75
09/28/2018	368460939	BLAKE, TERRY	MF	FRANKLIN GROWTH FUND CLASS A	S	30,002.45	0.00	2.50	-2.50	-2.25
09/28/2018	492641112	MOSELEY, TONI	MF	FRANKLIN GROWTH FUND CLASS A	S	20,002.45	1,000.00	5.00	995.00	895.50
09/28/2018	492641112	MOSELEY, TONI	MF	FRANKLIN GROWTH FUND CLASS A	S	-20,002.45	-1,000.00	-5.00	-995.00	-895.50
09/28/2018	492641112	MOSELEY, TONI	MF	FRANKLIN GROWTH FUND CLASS A	S	20,002.45	0.00	5.00	-5.00	-4.50
10/25/2018	123385782	AKINS, SAMMY	S	OCCIDENTAL PETROLEUM CORP	S	-34,287.75	15.00	15.00	0.00	0.00
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.84	0.00	9.84	8.86
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	16.86	0.00	16.86	15.17
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	21.03	0.00	21.03	18.93
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	23.04	0.00	23.04	20.74
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	36.98	0.00	36.98	33.28
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	9.87	0.00	9.87	8.88
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	10.69	0.00	10.69	9.62
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	20.78	0.00	20.78	18.70
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	24.67	0.00	24.67	22.20
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	32.89	0.00	32.89	29.60
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	4.18	0.00	4.18	3.76
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	8.07	0.00	8.07	7.26
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	9.03	0.00	9.03	8.13
10/19/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	10.08	0.00	10.08	9.07
				<b>* DREW NIXON-D352 SUBTOTAL *</b>		<b>\$85,719.60</b>	<b>\$253.01</b>	<b>\$25.00</b>	<b>\$228.01</b>	<b>\$205.20</b>
				<b>*** LINKED CLEARING TRANSACTIONS TOTAL ***</b>		<b>\$85,719.60</b>	<b>\$253.01</b>	<b>\$25.00</b>	<b>\$228.01</b>	<b>\$205.20</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		105.77
10/31/2018	:	SHAREFILE		0.00	0.00	0.00	0.00		-13.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-92.23</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-92.23</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,074.94
Split Transactions	\$0.00
Override Transactions	\$18.39
Adjustments	\$-92.23
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,002.30
Year-to-date Commissions	\$75,078.13