

COMMISSION STATEMENT

December 15, 2018

JOY LAWRENCE-D098

9000 Highway 2147 West

Suite 201

Horseshoe Bay, TX 78657

BROKER #: D098

BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
12/03/2018 00081129301	WATSON, BARRY	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.98	0.00	0.98	50.0	0.49
12/03/2018 00081129301	WATSON, BARRY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.84	0.00	1.84	50.0	0.92
12/03/2018 00081129301	WATSON, BARRY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.45	0.00	1.45	50.0	0.73
12/03/2018 00081129301	WATSON, BARRY	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.80	0.00	1.80	50.0	0.90
12/03/2018 00081129301	WATSON, BARRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.28	0.00	2.28	50.0	1.14
12/03/2018 00081129318	WATSON, REBECCA	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.84	0.00	0.84	50.0	0.42
12/03/2018 00081129318	WATSON, REBECCA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.69	0.00	1.69	50.0	0.85
12/03/2018 00081129318	WATSON, REBECCA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.28	0.00	1.28	50.0	0.64
12/03/2018 00081129318	WATSON, REBECCA	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.54	0.00	1.54	50.0	0.77
12/03/2018 00081129318	WATSON, REBECCA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.89	0.00	1.89	50.0	0.95
12/03/2018 00082428346	WATSON, BARRY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.48	0.00	0.48	50.0	0.24
12/03/2018 00082428346	WATSON, BARRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30	50.0	0.15
12/03/2018 00088026407	ELODIA GUERRERO	MFT AMERICAN BALANCED FUND-A	B	0.00	4.36	0.00	4.36	50.0	2.18
12/03/2018 00088072276	DIETRICH,	MFT AMERICAN BALANCED FUND-A	B	0.00	12.06	0.00	12.06	50.0	6.03
12/03/2018 00088072276	DIETRICH,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	12.57	0.00	12.57	50.0	6.29
12/03/2018 00088072276	DIETRICH,	MFT NEW PERSPECTIVE FUND-A	B	0.00	12.53	0.00	12.53	50.0	6.27
12/03/2018 00088072276	DIETRICH,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.46	0.00	13.46	50.0	6.73
12/03/2018 00088092484	IRMA SAUCEDO	MFT AMERICAN BALANCED FUND-A	B	0.00	2.74	0.00	2.74	50.0	1.37
12/03/2018 00089684168	ALEXANDER LEE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.73	0.00	0.73	50.0	0.37
12/03/2018 00089684168	ALEXANDER LEE	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.33	0.00	1.33	50.0	0.67
12/03/2018 00089684168	ALEXANDER LEE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.74	0.00	1.74	50.0	0.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$77.89	\$0.00	\$77.89		\$38.98
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$77.89	\$0.00	\$77.89		\$38.98

BROKER COMMISSION TOTALS

Broker Transactions	\$38.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$38.98
Year-to-date Commissions	\$14,268.01