

COMMISSION STATEMENT

August 15, 2018

CHIP KLEINBROOK-D037
 2817 South Milford Road
 Suite 101
 Highland, MI 48357

BROKER #: D037
 BRANCH: DMN

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/16/2018 (None)	JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	B	50.00	0.50	0.00	0.50	80.0	0.40
08/10/2018 000000000221299	FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
08/06/2018 000000007304567	OLEJNIK,	MF FRK GTH ALC NEWB-8 YR 529 PORT	B	200.00	2.00	0.00	2.00	80.0	1.60
08/01/2018 01454269950	ALEXIS M WALKER,	MF FA 529 PORT 2028 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
08/01/2018 01469591323	ALEXIS M WALKER,	MF FA 529 PORT 2031 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
* MUTUAL FUNDS SUBTOTAL *				\$550.00	\$5.50	\$0.00	\$5.50		\$4.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018 000000000221299	FURMAN, VICKI	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	43.69	0.00	43.69	80.0	34.95
07/31/2018 000000000251177	ANGNER,	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	117.73	0.00	117.73	80.0	94.18
07/31/2018 000000000428856	ANGNER,	MFT FRK CSV ALC FD CL C	B	0.00	14.63	0.00	14.63	80.0	11.70
07/31/2018 000000001475781	FINNERTY,	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	33.65	0.00	33.65	80.0	26.92
07/31/2018 000000005703792	JTTEN	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	9.72	0.00	9.72	80.0	7.78
07/31/2018 000000006229304	FINNERTY,	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	25.25	0.00	25.25	80.0	20.20
07/31/2018 000000007567236	OLEJNIK,	MFT FRK GTH ALC NEWB-8 YR 529 PORT	B	0.00	2.54	0.00	2.54	80.0	2.03
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	0.53	0.00	0.53	80.0	0.42
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.50	0.00	1.50	80.0	1.20
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.16	0.00	1.16	80.0	0.93
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR GLOBAL CAP	B	0.00	0.28	0.00	0.28	80.0	0.22
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	0.59	0.00	0.59	80.0	0.47
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	1.55	0.00	1.55	80.0	1.24
08/07/2018 00501548101	BUCKLER, SHANNON	MFT FIDELITY ADV EUROPE FUND	B	0.00	0.25	0.00	0.25	80.0	0.20
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV BALANCED CL C	B	0.00	53.27	0.00	53.27	80.0	42.62
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	11.12	0.00	11.12	80.0	8.90
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	7.98	0.00	7.98	80.0	6.38
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	94.00	0.00	94.00	80.0	75.20
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	0.15	0.00	0.15	80.0	0.12
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV CANADA CL C	B	0.00	8.70	0.00	8.70	80.0	6.96
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	68.87	0.00	68.87	80.0	55.10
08/07/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	5.40	0.00	5.40	80.0	4.32
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR FUNDS	B	0.00	30.29	0.00	30.29	80.0	24.23

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADV BALANCED CL C	B	0.00	40.01	0.00	40.01	80.0	32.01
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR FUNDS	B	0.00	26.81	0.00	26.81	80.0	21.45
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	32.55	0.00	32.55	80.0	26.04
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	11.37	0.00	11.37	80.0	9.10
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	32.18	0.00	32.18	80.0	25.74
08/07/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR VALUE	B	0.00	38.60	0.00	38.60	80.0	30.88
08/07/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	13.09	0.00	13.09	80.0	10.47
08/07/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADVISOR HIGH INC	B	0.00	25.28	0.00	25.28	80.0	20.22
08/07/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	27.91	0.00	27.91	80.0	22.33
08/07/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	19.50	0.00	19.50	80.0	15.60
08/07/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	34.18	0.00	34.18	80.0	27.34
08/07/2018 00520128786	COTEY, JOHN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	27.40	0.00	27.40	80.0	21.92
08/07/2018 00520128810	COTEY, MARIANN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.37	0.00	1.37	80.0	1.10
08/07/2018 00520235862	COTEY, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.73	0.00	1.73	80.0	1.38
08/07/2018 00520235862	COTEY, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	2.22	0.00	2.22	80.0	1.78
08/07/2018 00520236563	COTEY, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.98	0.00	1.98	80.0	1.58
08/07/2018 00520236563	COTEY, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	2.22	0.00	2.22	80.0	1.78
08/07/2018 00528954597	LAI, LICHUN	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.82	0.00	2.82	80.0	2.26
08/07/2018 00536438369	MCCAULEY, JULIE	MFT FIDELITY ADV FREEDOM 2040 CL C	B	0.00	6.85	0.00	6.85	80.0	5.48
08/07/2018 00625439310	HOEY, LAURIE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	11.26	0.00	11.26	80.0	9.01
08/07/2018 00625443098	MLINARICH, SUSAN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	12.12	0.00	12.12	80.0	9.70
08/07/2018 00670088970	MARTIN, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.55	0.00	1.55	80.0	1.24
08/07/2018 00670088970	MARTIN, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	0.86	0.00	0.86	80.0	0.69
08/07/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR LARGE CAP	B	0.00	0.72	0.00	0.72	80.0	0.58
08/07/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.74	0.00	0.74	80.0	0.59
08/07/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.80	0.00	0.80	80.0	0.64
08/07/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADV FREEDOM 2025-CL A	B	0.00	1.69	0.00	1.69	80.0	1.35
08/07/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.04	0.00	1.04	80.0	0.83
08/07/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV BALANCED CL C	B	0.00	16.18	0.00	16.18	80.0	12.94
08/07/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV OVERSEAS CL C	B	0.00	5.64	0.00	5.64	80.0	4.51
08/07/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADVISOR INTL DISCOVERY	B	0.00	5.69	0.00	5.69	80.0	4.55
08/07/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	7.14	0.00	7.14	80.0	5.71
08/07/2018 00700998610	HOEHNER, JEFFREY	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.22	0.00	0.22	80.0	0.18
08/07/2018 00700998610	HOEHNER, JEFFREY	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	0.07	0.00	0.07	80.0	0.06
08/07/2018 00700998610	HOEHNER, JEFFREY	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	2.95	0.00	2.95	80.0	2.36
08/07/2018 00701133928	MILLINER, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.04	0.00	0.04	80.0	0.03
08/07/2018 00701277857	PILONI, ALMA	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	6.95	0.00	6.95	80.0	5.56
08/07/2018 00701688350	DEWITT, BENE	MFT FA 529 PORT 2022 CL A	B	0.00	2.46	0.00	2.46	80.0	1.97
08/07/2018 00702371188	ROBERT P KOHLOFF,	MFT FA 529 COLLEGE PORT CL D	B	0.00	5.30	0.00	5.30	80.0	4.24
08/07/2018 00702371196	ROBERT P KOHLOFF,	MFT FA 529 PORT 2019 CL D	B	0.00	6.94	0.00	6.94	80.0	5.55
08/07/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.14	0.00	3.14	80.0	2.51
08/07/2018 00702462417	KOHLOFF,	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	3.62	0.00	3.62	80.0	2.90
08/07/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	3.21	0.00	3.21	80.0	2.57
08/07/2018 00702462417	KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.32	0.00	0.32	80.0	0.26

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08/07/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	3.69	0.00	3.69	80.0	2.95
08/07/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	3.71	0.00	3.71	80.0	2.97
08/07/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.44	0.00	0.44	80.0	0.35
08/07/2018 00702669698	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	4.79	0.00	4.79	80.0	3.83
08/07/2018 00702669706	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	4.54	0.00	4.54	80.0	3.63
08/07/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.03	0.00	0.03	80.0	0.02
08/07/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.07	0.00	0.07	80.0	0.06
08/07/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	0.05	0.00	0.05	80.0	0.04
08/07/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	0.04	0.00	0.04	80.0	0.03
08/07/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.84	0.00	0.84	80.0	0.67
08/07/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.91	0.00	1.91	80.0	1.53
08/07/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	1.31	0.00	1.31	80.0	1.05
08/07/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	1.14	0.00	1.14	80.0	0.91
08/07/2018 00704265347	STANKO, PATRICIA	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.83	0.00	1.83	80.0	1.46
08/07/2018 00704660992	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	15.40	0.00	15.40	80.0	12.32
08/07/2018 01423897501	PRESTON, THOMAS	MFT FIDELITY ADV INTL SMALL CAP	B	0.00	1.07	0.00	1.07	80.0	0.86
08/07/2018 01425820881	PRESTON, YOLANDA	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	5.23	0.00	5.23	80.0	4.18
08/07/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	11.36	0.00	11.36	80.0	9.09
08/07/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	11.10	0.00	11.10	80.0	8.88
08/07/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	14.05	0.00	14.05	80.0	11.24
08/07/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.24	0.00	20.24	80.0	16.19
08/07/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.42	0.00	29.42	80.0	23.54
08/07/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	27.09	0.00	27.09	80.0	21.67
08/07/2018 01437758582	NANCY E KRZISNIK	MFT FA 529 PORT 2019 CL A	B	0.00	3.29	0.00	3.29	80.0	2.63
08/07/2018 01437772005	NANCY E KRZISNIK	MFT FA 529 PORT 2022 CL A	B	0.00	3.30	0.00	3.30	80.0	2.64
08/07/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL A	B	0.00	4.69	0.00	4.69	80.0	3.75
08/07/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL C	B	0.00	3.88	0.00	3.88	80.0	3.10
08/07/2018 01447670405	SZEWCZYK,	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.19	0.00	2.19	80.0	1.75
08/07/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV BALANCED CL C	B	0.00	1.47	0.00	1.47	80.0	1.18
08/07/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	1.51	0.00	1.51	80.0	1.21
08/07/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL A	B	0.00	0.42	0.00	0.42	80.0	0.34
08/07/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL C	B	0.00	2.52	0.00	2.52	80.0	2.02
08/07/2018 01465735031	NORRIS, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.81	0.00	0.81	80.0	0.65
08/07/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	30.75	0.00	30.75	80.0	24.60
08/07/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV FREEDOM INCOME CL	B	0.00	22.22	0.00	22.22	80.0	17.78
08/07/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL A	B	0.00	0.04	0.00	0.04	80.0	0.03
08/07/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL C	B	0.00	2.60	0.00	2.60	80.0	2.08
08/07/2018 01482972286	GARVOCK, BENE	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	4.16	0.00	4.16	80.0	3.33
08/07/2018 01483364202	DRAHUSHUK, ADAM	MFT FIDELITY ADV FREEDOM 2035 CL C	B	0.00	8.21	0.00	8.21	80.0	6.57
08/07/2018 03900215447	NISSETYLEJ	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	3.76	0.00	3.76	80.0	3.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,236.74	\$0.00	\$1,236.74		\$989.40

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
07/30/2018 (None)	BROWN, JULIE COTE	VAT SECURITY BENEFIT LIFE	B	0.00	17.92	0.00	17.92	80.0	14.34
07/30/2018 (None)	BROWN, KEVIN	VAT SECURITY BENEFIT LIFE	B	0.00	17.96	0.00	17.96	80.0	14.37
07/30/2018 (None)	KLEINBROOK, CHARLES	VAT SECURITY BENEFIT LIFE	B	0.00	18.16	0.00	18.16	80.0	14.53
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$54.04	\$0.00	\$54.04		\$43.24
*** DIRECT TRANSACTIONS TOTAL ***				\$550.00	\$1,296.28	\$0.00	\$1,296.28		\$1,037.04

BROKER COMMISSION TOTALS

Broker Transactions	\$1,037.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,037.04
Year-to-date Commissions	\$57,986.69