

COMMISSION STATEMENT

November 30, 2018

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: P76

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/30/2018	75501322	GILBERTSON, JAY		GARRETT MOTION INC COMMON STOCK	S	72.52	0.00	0.00	0.00	80.0	0.00
10/31/2018	75501322	GILBERTSON, JAY		TERADATA CORP	S	2,403.60	0.00	0.00	0.00	80.0	0.00
11/02/2018	75501322	GILBERTSON, JAY		CHEVRON CORPORATION	S	452.90	0.00	0.00	0.00	80.0	0.00
11/02/2018	75501322	GILBERTSON, JAY		METLIFE INC	S	5,600.28	0.00	0.00	0.00	80.0	0.00
11/02/2018	75501322	GILBERTSON, JAY		UNITEDHEALTH GROUP INC	S	519.51	0.00	0.00	0.00	80.0	0.00
11/02/2018	75501322	GILBERTSON, JAY		WALT DISNEY CO	S	345.01	0.00	0.00	0.00	80.0	0.00
11/02/2018	75501322	GILBERTSON, JAY		WRIGHT MED GROUP N V ORDINARY SHS P B		2,074.69	0.00	0.00	0.00	80.0	0.00
11/07/2018	75501322	GILBERTSON, JAY		GARTNER INC	B	2,333.28	0.00	0.00	0.00	80.0	0.00
11/07/2018	75501322	GILBERTSON, JAY		MICROSOFT CORP	B	2,343.77	0.00	0.00	0.00	80.0	0.00
11/07/2018	75501322	GILBERTSON, JAY		NESTLE SA-SPONSORED ADR REPSTG REGD S		1,011.97	0.00	0.00	0.00	80.0	0.00
11/07/2018	75501322	GILBERTSON, JAY		STARBUCKS CORP	S	336.91	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501322	GILBERTSON, JAY		ABBVIE INC	B	1,852.57	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501322	GILBERTSON, JAY		PUBLIC SERVICE ENTERPRISE GROUP INC S		2,272.80	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501322	GILBERTSON, JAY		UNITED PARCEL SERVICE INC CL B	B	1,113.57	0.00	0.00	0.00	80.0	0.00
11/12/2018	75501322	GILBERTSON, JAY		RESIDEO TECHNOLOGIES INC COM	S	85.25	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501322	GILBERTSON, JAY		BRITISH AMERN TOB PLC SPONSORED ADR B		1,896.01	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501322	GILBERTSON, JAY		PFIZER INCORPORATED	S	2,043.67	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501322	GILBERTSON, JAY		PNC FINANCIAL SVCS GROUP INC	B	1,490.98	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501322	GILBERTSON, JAY		PUBLIC SERVICE ENTERPRISE GROUP INC S		1,761.18	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501322	GILBERTSON, JAY		UNITED PARCEL SERVICE INC CL B	B	656.66	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501322	GILBERTSON, JAY		ABBVIE INC	B	1,437.41	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501322	GILBERTSON, JAY		ACTIVISION BLIZZARD INC	B	747.17	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501322	GILBERTSON, JAY		HUNTINGTON BANCSHARES INC	B	1,533.36	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501322	GILBERTSON, JAY		MONSTER BEVERAGE CORPORATION NEW	S	605.00	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501322	GILBERTSON, JAY		PFIZER INCORPORATED	S	3,056.70	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501322	GILBERTSON, JAY		STARBUCKS CORP	S	6,946.86	0.00	0.00	0.00	80.0	0.00
11/16/2018	75501322	GILBERTSON, JAY		AIR PRODUCTS & CHEMICALS INC	B	651.40	0.00	0.00	0.00	80.0	0.00
11/16/2018	75501322	GILBERTSON, JAY		FACEBOOK INC CL A	B	8,586.84	0.00	0.00	0.00	80.0	0.00
11/21/2018	75501322	GILBERTSON, JAY		CME GROUP INC COM	B	1,150.38	0.00	0.00	0.00	80.0	0.00
11/21/2018	75501322	GILBERTSON, JAY		LINDE PLC ORDINARY SHARE	B	1,110.87	0.00	0.00	0.00	80.0	0.00
11/28/2018	75501322	GILBERTSON, JAY		SALESFORCE.COM INC	B	965.56	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/02/2018	75501761	WRIGHTON, BRYAN							
		METLIFE INC	S	2,865.26	0.00	0.00	0.00	80.0	0.00
11/02/2018	75501761	WRIGHTON, BRYAN							
		NEXTERA ENERGY INC	S	167.39	0.00	0.00	0.00	80.0	0.00
11/05/2018	75501761	WRIGHTON, BRYAN							
		ROLLINS INC	S	768.11	0.00	0.00	0.00	80.0	0.00
11/07/2018	75501761	WRIGHTON, BRYAN							
		NESTLE SA-SPONSORED ADR REPSTG REGD S		758.98	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		ABBVIE INC	B	705.74	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		ACI WORLDWIDE INC	S	758.96	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		BOTTOMLINE TECHNOLOGIES (DE) INC	B	460.07	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		DOUGLAS DYNAMICS INC COM	B	794.99	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		PUBLIC SERVICE ENTERPRISE GROUP INC S		1,268.54	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		UNITED PARCEL SERVICE INC CL B	B	334.07	0.00	0.00	0.00	80.0	0.00
11/08/2018	75501761	WRIGHTON, BRYAN							
		WAGEWORKS INC	S	727.66	0.00	0.00	0.00	80.0	0.00
11/12/2018	75501761	WRIGHTON, BRYAN							
		FIVE9 INC COM	B	544.73	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501761	WRIGHTON, BRYAN							
		BRITISH AMERN TOB PLC SPONSORED ADR B		817.89	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501761	WRIGHTON, BRYAN							
		PFIZER INCORPORATED	S	1,087.06	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501761	WRIGHTON, BRYAN							
		PNC FINANCIAL SVCS GROUP INC	B	813.26	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501761	WRIGHTON, BRYAN							
		PUBLIC SERVICE ENTERPRISE GROUP INC S		960.64	0.00	0.00	0.00	80.0	0.00
11/13/2018	75501761	WRIGHTON, BRYAN							
		UNITED PARCEL SERVICE INC CL B	B	437.77	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501761	WRIGHTON, BRYAN							
		ABBVIE INC	B	808.54	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501761	WRIGHTON, BRYAN							
		CANTEL MEDICAL CORPORATION	B	253.72	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501761	WRIGHTON, BRYAN							
		HUNTINGTON BANCSHARES INC	B	855.14	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501761	WRIGHTON, BRYAN							
		PFIZER INCORPORATED	S	1,722.08	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501761	WRIGHTON, BRYAN							
		STARBUCKS CORP	S	3,642.04	0.00	0.00	0.00	80.0	0.00
11/15/2018	75501761	WRIGHTON, BRYAN							
		TYLER TECHNOLOGIES INC	B	189.51	0.00	0.00	0.00	80.0	0.00
11/16/2018	75501761	WRIGHTON, BRYAN							
		DOWDUPONT INC COMMON STOCK	B	587.82	0.00	0.00	0.00	80.0	0.00
11/16/2018	75501761	WRIGHTON, BRYAN							
		FACEBOOK INC CL A	B	5,208.41	0.00	0.00	0.00	80.0	0.00
11/20/2018	75501761	WRIGHTON, BRYAN							
		FIRSTSERVICE CORP SHS SUBORD VOTING B		947.62	0.00	0.00	0.00	80.0	0.00
11/20/2018	75501761	WRIGHTON, BRYAN							
		PAYLOCITY HOLDING CORPORATION COM	B	878.58	0.00	0.00	0.00	80.0	0.00
11/20/2018	75501761	WRIGHTON, BRYAN							
		REPLIGEN CORP	S	246.26	0.00	0.00	0.00	80.0	0.00
11/20/2018	75501761	WRIGHTON, BRYAN							
		WD 40 CO	S	166.16	0.00	0.00	0.00	80.0	0.00
10/30/2018	QGW006942	FAMILY, SAC							
		SELECT SECTOR SPDR TRUST THE INDUST B		3,275,505.60	41,000.00	0.00	41,000.00	80.0	32,800.00
11/19/2018	QGW006942	FAMILY, SAC							
		CISCO SYS INC	B	342,908.25	6,000.00	0.00	6,000.00	80.0	4,800.00
11/20/2018	QGW006942	FAMILY, SAC							
		APPLE INC	B	1,068,300.00	22,000.00	0.00	22,000.00	80.0	17,600.00
* STOCKS SUBTOTAL *				\$4,773,949.53	\$69,000.00	\$0.00	\$69,000.00		\$55,200.00
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75501322	GILBERTSON, JAY							
		FAS FEES PT. II/III	B	-6,820.21	1,687.82	0.00	1,687.82	80.0	1,350.26
10/01/2018	75501761	WRIGHTON, BRYAN							
		FAS FEES PT. II/III	B	-2,964.34	738.28	0.00	738.28	80.0	590.62
10/01/2018	75502027	HACKEL, STUART							
		FAS FEES PT. II/III	B	0.00	64.37	0.00	64.37	80.0	51.50
10/01/2018	75502029	HACKEL, STUART							
		FAS FEES PT. II/III	B	0.00	72.61	0.00	72.61	80.0	58.09
10/01/2018	75502030	RYNN, SUSAN							
		FAS FEES PT. II/III	B	0.00	516.50	0.00	516.50	80.0	413.20

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

10/01/2018 75502031 RYNN, SUSAN	FAS FEES PT. II/III	B	0.00	11.00	0.00	11.00	80.0	8.80
10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-316.85	0.00	0.00	0.00	80.0	0.00
10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-58.69	0.00	0.00	0.00	80.0	0.00
10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-949.76	245.35	0.00	245.35	80.0	196.28
10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-1,850.17	0.00	0.00	0.00	80.0	0.00
10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-280.90	0.00	0.00	0.00	80.0	0.00
* RIA SUBTOTAL *				\$-13,240.92	\$3,335.93	\$0.00	\$3,335.93	\$2,668.75
*** BROKER TRANSACTIONS TOTAL ***				\$4,760,708.61	\$72,335.93	\$0.00	\$72,335.93	\$57,868.75

ADJUSTMENTS

11/30/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00	-2.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-2.00

BROKER COMMISSION TOTALS

Broker Transactions	\$57,868.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-90.42
SIPC Assessment	\$-180.84

Check Amount	\$57,595.49
Year-to-date Earnings	\$222,161.34