

COMMISSION STATEMENT

October 15, 2018

 MARK HENTEMANN-D034
 3100 West Road
 Building 2, Suite 203
 East Lansing, MI 48823

 BROKER #: D034
 BRANCH: DMT

COMMISSION STATEMENT for MARK HENTEMANN-D034

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|--------------------------------|-------------|-------------------|-----|---------------------------------|---------------------|--------------------|-------------------|------|----------------------|------|------|
| DIRECT TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 10/02/2018 | 00074147989 | LUKE, RICHARD | MF | CAPITAL WORLD GROWTH AND INCOME | B | 230.76 | 6.35 | 0.00 | 6.35 | 75.0 | 4.76 |
| 10/02/2018 | 00074147989 | LUKE, RICHARD | MF | THE GROWTH FUND OF AMERICA-A | B | 230.76 | 6.35 | 0.00 | 6.35 | 75.0 | 4.76 |
| 10/02/2018 | 00074147989 | LUKE, RICHARD | MF | THE INVESTMENT COMPANY OF | B | 230.76 | 6.35 | 0.00 | 6.35 | 75.0 | 4.76 |
| 10/02/2018 | 00074148433 | CLARK, DAN | MF | AMERICAN BALANCED FUND-A | B | 83.18 | 2.29 | 0.00 | 2.29 | 75.0 | 1.72 |
| 10/02/2018 | 00074148433 | CLARK, DAN | MF | THE GROWTH FUND OF AMERICA-A | B | 194.07 | 5.34 | 0.00 | 5.34 | 75.0 | 4.01 |
| 10/02/2018 | 00088990408 | OAKS, SAMUEL | MF | CAPITAL WORLD GROWTH AND INCOME | B | 29.15 | 0.80 | 0.00 | 0.80 | 75.0 | 0.60 |
| 10/02/2018 | 00088990408 | OAKS, SAMUEL | MF | THE GROWTH FUND OF AMERICA-A | B | 34.01 | 0.94 | 0.00 | 0.94 | 75.0 | 0.71 |
| 10/02/2018 | 00088990408 | OAKS, SAMUEL | MF | THE INVESTMENT COMPANY OF | B | 34.01 | 0.94 | 0.00 | 0.94 | 75.0 | 0.71 |
| 10/02/2018 | 00088990432 | BRESSERS, AMANDA | MF | AMERICAN BALANCED FUND-A | B | 37.78 | 1.04 | 0.00 | 1.04 | 75.0 | 0.78 |
| 10/02/2018 | 00088990432 | BRESSERS, AMANDA | MF | CAPITAL WORLD GROWTH AND INCOME | B | 22.67 | 0.62 | 0.00 | 0.62 | 75.0 | 0.47 |
| 10/02/2018 | 00088990432 | BRESSERS, AMANDA | MF | FUNDAMENTAL INVESTORS-A | B | 30.23 | 0.83 | 0.00 | 0.83 | 75.0 | 0.62 |
| 10/02/2018 | 00088990432 | BRESSERS, AMANDA | MF | THE GROWTH FUND OF AMERICA-A | B | 30.23 | 0.83 | 0.00 | 0.83 | 75.0 | 0.62 |
| 10/02/2018 | 00088990432 | BRESSERS, AMANDA | MF | THE INVESTMENT COMPANY OF | B | 30.22 | 0.83 | 0.00 | 0.83 | 75.0 | 0.62 |
| 10/02/2018 | 00088990439 | VANBEELEN, JORDAN | MF | CAPITAL WORLD GROWTH AND INCOME | B | 22.18 | 0.61 | 0.00 | 0.61 | 75.0 | 0.46 |
| 10/02/2018 | 00088990439 | VANBEELEN, JORDAN | MF | FUNDAMENTAL INVESTORS-A | B | 22.18 | 0.61 | 0.00 | 0.61 | 75.0 | 0.46 |
| 10/02/2018 | 00088990439 | VANBEELEN, JORDAN | MF | THE GROWTH FUND OF AMERICA-A | B | 33.27 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| 10/02/2018 | 00088990439 | VANBEELEN, JORDAN | MF | THE INVESTMENT COMPANY OF | B | 33.26 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| 10/02/2018 | 00088990540 | MONROE, LACEY | MF | AMERICAN BALANCED FUND-A | B | 17.65 | 0.49 | 0.00 | 0.49 | 75.0 | 0.37 |
| 10/02/2018 | 00088990540 | MONROE, LACEY | MF | FUNDAMENTAL INVESTORS-A | B | 17.65 | 0.49 | 0.00 | 0.49 | 75.0 | 0.37 |
| 10/02/2018 | 00088990540 | MONROE, LACEY | MF | THE GROWTH FUND OF AMERICA-A | B | 26.47 | 0.73 | 0.00 | 0.73 | 75.0 | 0.55 |
| 10/02/2018 | 00088990540 | MONROE, LACEY | MF | THE INVESTMENT COMPANY OF | B | 26.46 | 0.73 | 0.00 | 0.73 | 75.0 | 0.55 |
| 10/02/2018 | 00088990639 | HILER, STEVEN | MF | AMERICAN BALANCED FUND-A | B | 56.00 | 1.54 | 0.00 | 1.54 | 75.0 | 1.16 |
| 10/02/2018 | 00088990639 | HILER, STEVEN | MF | THE GROWTH FUND OF AMERICA-A | B | 56.00 | 1.54 | 0.00 | 1.54 | 75.0 | 1.16 |
| 10/02/2018 | 00088991330 | HART, JACOB | MF | CAPITAL WORLD GROWTH AND INCOME | B | 41.67 | 1.15 | 0.00 | 1.15 | 75.0 | 0.86 |
| 10/02/2018 | 00088991330 | HART, JACOB | MF | THE GROWTH FUND OF AMERICA-A | B | 41.67 | 1.15 | 0.00 | 1.15 | 75.0 | 0.86 |
| 10/02/2018 | 00088991330 | HART, JACOB | MF | THE INVESTMENT COMPANY OF | B | 42.94 | 1.18 | 0.00 | 1.18 | 75.0 | 0.89 |
| 10/02/2018 | 00089942792 | BELLOLI, EMILY | MF | AMERICAN BALANCED FUND-A | B | 116.51 | 3.20 | 0.00 | 3.20 | 75.0 | 2.40 |
| 10/02/2018 | 00089942792 | BELLOLI, EMILY | MF | THE GROWTH FUND OF AMERICA-A | B | 113.08 | 3.11 | 0.00 | 3.11 | 75.0 | 2.33 |
| 10/02/2018 | 00089942792 | BELLOLI, EMILY | MF | THE INVESTMENT COMPANY OF | B | 113.09 | 3.11 | 0.00 | 3.11 | 75.0 | 2.33 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$1,997.91 | \$54.97 | \$0.00 | \$54.97 | | \$41.25 | | |

COMMISSION STATEMENT for MARK HENTEMANN-D034

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | |
| 09/30/2018 (None) | VARIOUS, HENTEMANN | MFT OPPENHEIMER | B | 0.00 | 18.94 | 0.00 | 18.94 | 75.0 | 14.21 |
| 10/05/2018 00006253904 | HAMMOND, BETTE | MFT FIDELITY ADV GROWTH OPPORT CL T B | | 0.00 | 22.28 | 0.00 | 22.28 | 75.0 | 16.71 |
| 10/05/2018 00006253904 | HAMMOND, BETTE | MFT FIDELITY ADVISOR BALANCED CLASS B | | 0.00 | 18.45 | 0.00 | 18.45 | 75.0 | 13.84 |
| 10/05/2018 00062879911 | THORNTON, | MFT FIDELITY ADV GROWTH OPPORT CL T B | | 0.00 | 0.46 | 0.00 | 0.46 | 75.0 | 0.35 |
| 10/05/2018 00062879911 | THORNTON, | MFT FIDELITY ADVISOR BALANCED CLASS B | | 0.00 | 0.81 | 0.00 | 0.81 | 75.0 | 0.61 |
| 10/05/2018 00062879911 | THORNTON, | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.42 | 0.00 | 0.42 | 75.0 | 0.32 |
| 10/05/2018 00063544324 | GRIFFITH, JAMES | MFT FIDELITY ADVISOR GROWTH AND | B | 0.00 | 13.29 | 0.00 | 13.29 | 75.0 | 9.97 |
| 10/05/2018 00063544357 | ROITER, LAURA | MFT FIDELITY ADVISOR BALANCED FD CL B | | 0.00 | 1.01 | 0.00 | 1.01 | 75.0 | 0.76 |
| 10/05/2018 00063544357 | ROITER, LAURA | MFT FIDELITY ADVISOR GROWTH AND | B | 0.00 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| 10/05/2018 00063544373 | CARPENTER, LEE | MFT FIDELITY ADVISOR GROWTH AND | B | 0.00 | 10.04 | 0.00 | 10.04 | 75.0 | 7.53 |
| 10/05/2018 00063544381 | CARPENTER, JERRY | MFT FIDELITY ADVISOR GROWTH AND | B | 0.00 | 14.02 | 0.00 | 14.02 | 75.0 | 10.52 |
| 10/05/2018 00508870987 | GARRETT, DANIEL | MFT FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |
| 10/05/2018 00508870987 | GARRETT, DANIEL | MFT FIDELITY ADVISOR GROWTH & | B | 0.00 | 1.73 | 0.00 | 1.73 | 75.0 | 1.30 |
| 10/05/2018 00508870987 | GARRETT, DANIEL | MFT FIDELITY ADV STRATEGIC INCOME | B | 0.00 | 1.04 | 0.00 | 1.04 | 75.0 | 0.78 |
| 10/05/2018 00508870987 | GARRETT, DANIEL | MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| 10/05/2018 01436454399 | KROLL, SY | MFT FIDELITY ADVISOR GROWTH AND | B | 0.00 | 4.34 | 0.00 | 4.34 | 75.0 | 3.26 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$109.57 | \$0.00 | \$109.57 | | \$82.21 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$1,997.91 | \$164.54 | \$0.00 | \$164.54 | | \$123.46 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$123.46 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-163.86 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|---------------------------------|-------------------|
| Balance Forward | \$-40.40 |
| Year-to-date Commissions | \$7,485.75 |