

# COMMISSION STATEMENT

September 30, 2018

FIRST INVESTMENT GREEN C-421318

BROKER #:

BRANCH: DMH

, TX

## COMMISSION STATEMENT for FIRST INVESTMENT GREEN C-421318

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
09/07/2018 368265068	HARPER, BOBBY	MF RUSSELL EQUITY I FD CL S	B	-5,992.55	0.00	2.50	-2.50	0.0	0.00
09/07/2018 368266920	RHOADES, JERRY	MF FIDELITY ADV CONSUMER STAPLES	S	15,007.45	0.00	2.50	-2.50	0.0	0.00
09/07/2018 368266920	RHOADES, JERRY	MF FIDELITY ADVISOR HEALTH CARE FD	S	15,007.45	0.00	2.50	-2.50	0.0	0.00
09/07/2018 368266920	RHOADES, JERRY	MF LOOMIS STRATEGIC INCOME FUND-A	B	-36,992.55	0.00	2.50	-2.50	0.0	0.00
09/07/2018 368266920	RHOADES, JERRY	MF RUSSELL EMERGING MARKETS FD CL	B	-19,992.55	0.00	2.50	-2.50	0.0	0.00
09/07/2018 368266920	RHOADES, JERRY	MF RUSSELL EQUITY I FD CL S	B	-39,992.55	0.00	2.50	-2.50	0.0	0.00
09/12/2018 368266920	RHOADES, JERRY	MF AMERICAN FDS SMALLCAP WORLD FD	S	20,007.45	0.00	2.50	-2.50	0.0	0.00
09/12/2018 368266920	RHOADES, JERRY	MF EUROPACIFIC GROWTH FUND-F2	S	50,007.45	0.00	2.50	-2.50	0.0	0.00
09/12/2018 368266920	RHOADES, JERRY	MF FIDELITY ADVISOR BIOTECH FD CL	S	10,007.45	0.00	2.50	-2.50	0.0	0.00
09/12/2018 368266920	RHOADES, JERRY	MF FIDELITY ADVISOR EMERGING MKT	S	20,007.45	0.00	2.50	-2.50	0.0	0.00
09/19/2018 368347844	THORNE, ROBERT	MF RUSSELL EQUITY I FD CL S	B	-3,492.55	0.00	2.50	-2.50	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$23,581.95</b>	<b>\$0.00</b>	<b>\$27.50</b>	<b>\$-27.50</b>		<b>\$0.00</b>

## PRODUCT CATEGORY: MISCELLANEOUS

09/10/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/10/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/10/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/10/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368265068	S	0.00	2.50	0.00	2.50	0.0	0.00
09/10/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/10/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/13/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/13/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/13/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/13/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
09/20/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 368347844	S	0.00	2.50	0.00	2.50	0.0	0.00
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$27.50</b>	<b>\$0.00</b>	<b>\$27.50</b>		<b>\$0.00</b>

## PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc421318	VARIOUS,	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.24	0.00	6.24	0.0	0.00
09/21/2018 mfc421318	VARIOUS,	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.90	0.00	15.90	0.0	0.00
09/21/2018 mfc421318	VARIOUS,	MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	3.13	0.00	3.13	0.0	0.00
09/24/2018 mfc421318	VARIOUS,	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.91	0.00	5.91	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc421318 VARIOUS,	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.05	0.00	15.05	0.0	0.00
09/24/2018	mfc421318 VARIOUS,	MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	2.94	0.00	2.94	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$49.17	\$0.00	\$49.17		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$23,581.95	\$76.67	\$27.50	\$49.17		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00