



# COMMISSION STATEMENT

## December 17, 2018

RONALD CIOTOLI  
3401 E Main St.  
Endwell, NY 13760

BROKER #: A89  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/10/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	60.0	2.25
	ACCOUNT #: 00068752100	BUY 4 Units @27.9800						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$3.75</b>	<b>\$0.00</b>	<b>\$3.75</b>		<b>\$2.25</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
12/17/2018	***-**-295P - MILLER, PAUL	NEW YORK 529 TRAILS	0.00	5.80	0.00	5.80	60.0	3.48
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
12/17/2018	***-**-354I - MASTRONARDI,	NEW YORK 529 TRAILS	0.00	3.04	0.00	3.04	60.0	1.82
	ACCOUNT #: 77407712301	BUY 0 Units @0.0000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$8.84</b>	<b>\$0.00</b>	<b>\$8.84</b>		<b>\$5.30</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/03/2018	***-**-108I - KROH, TODD	AMERICAN BALANCED FUND-A	0.00	4.78	0.00	4.78	60.0	2.87
	ACCOUNT #: 00062495916	BUY 0 Units @0.0000						
12/03/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	0.00	33.01	0.00	33.01	60.0	19.81
	ACCOUNT #: 00068752100	BUY 0 Units @0.0000						
12/03/2018	***-**-108I - KROH, TODD	AMERICAN BALANCED FUND-A	0.00	15.69	0.00	15.69	60.0	9.41
	ACCOUNT #: 00085501054	BUY 0 Units @0.0000						
12/03/2018	***-**-108I - KROH, TODD	AMERICAN BALANCED FUND-A	0.00	3.80	0.00	3.80	60.0	2.28
	ACCOUNT #: 00085501328	BUY 0 Units @0.0000						
12/03/2018	***-**-949I - FIAN, JOHN	AMERICAN BALANCED FUND-A	0.00	21.04	0.00	21.04	60.0	12.62
	ACCOUNT #: 00089654997	BUY 0 Units @0.0000						
12/03/2018	***-**-8095 - FOX, REBECCA	AMERICAN BALANCED FUND-A	0.00	57.12	0.00	57.12	60.0	34.27
	ACCOUNT #: 04000236467	BUY 0 Units @0.0000						
12/03/2018	***-**-9298 - FLETCHER, JOSEPH	AMERICAN BALANCED FUND-A	0.00	42.19	0.00	42.19	60.0	25.31
	ACCOUNT #: 04000410254	BUY 0 Units @0.0000						
12/03/2018	***-**-5000 - CRAWFORD,	AMERICAN BALANCED FUND-C	0.00	14.48	0.00	14.48	60.0	8.69
	ACCOUNT #: 00088707510	BUY 0 Units @0.0000						
12/03/2018	***-**-7556 - WALKER, RANDY	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	13.94	0.00	13.94	60.0	8.36
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
12/17/2018	***-**-8626 - CIOTOLI, CLIENTS	AMERICAN FUNDS MF TRAILS	0.00	13.56	0.00	13.56	60.0	8.14
		BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/17/2018	***-**-9765 - GREENLEE, ASHLEY	AMERICAN FUNDS MF TRAILS	0.00	0.26	0.00	0.26	60.0	0.16
	ACCOUNT #: 04001291331	BUY 0 Units @0.0000						
12/17/2018	***-**-5053 - GREENLEE, RACHEL	AMERICAN FUNDS MF TRAILS	0.00	0.11	0.00	0.11	60.0	0.07
	ACCOUNT #: 04001291224	BUY 0 Units @0.0000						
12/03/2018	***-**-1730 - BENZI, ROBERT	AMERICAN GLOBAL BALANCED CLASS A	0.00	8.25	0.00	8.25	60.0	4.95
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						
12/03/2018	***-**-5000 - CRAWFORD,	AMERICAN MUTUAL FUND-A	0.00	54.34	0.00	54.34	60.0	32.60
	ACCOUNT #: 00067346436	BUY 0 Units @0.0000						
12/03/2018	***-**-108I - KROH, TODD	CAPITAL INCOME BUILDER-A	0.00	4.61	0.00	4.61	60.0	2.77
	ACCOUNT #: 00062495916	BUY 0 Units @0.0000						
12/03/2018	***-**-108I - KROH, TODD	CAPITAL INCOME BUILDER-A	0.00	15.13	0.00	15.13	60.0	9.08
	ACCOUNT #: 00085501054	BUY 0 Units @0.0000						
12/03/2018	***-**-108I - KROH, TODD	CAPITAL INCOME BUILDER-A	0.00	3.60	0.00	3.60	60.0	2.16
	ACCOUNT #: 00085501328	BUY 0 Units @0.0000						
12/03/2018	***-**-949I - FIAN, JOHN	CAPITAL INCOME BUILDER-A	0.00	20.81	0.00	20.81	60.0	12.49
	ACCOUNT #: 00089654997	BUY 0 Units @0.0000						
12/03/2018	***-**-8095 - FOX, REBECCA	CAPITAL INCOME BUILDER-A	0.00	52.82	0.00	52.82	60.0	31.69
	ACCOUNT #: 04000236467	BUY 0 Units @0.0000						
12/03/2018	***-**-9298 - FLETCHER, JOSEPH	CAPITAL INCOME BUILDER-A	0.00	39.13	0.00	39.13	60.0	23.48
	ACCOUNT #: 04000410254	BUY 0 Units @0.0000						
12/03/2018	***-**-7556 - WALKER, RANDY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.02	0.00	5.02	60.0	3.01
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
12/03/2018	***-**-7556 - WALKER, RANDY	EUROPACIFIC GROWTH FUND-A	0.00	4.51	0.00	4.51	60.0	2.71
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
12/03/2018	***-**-1730 - BENZI, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	10.32	0.00	10.32	60.0	6.19
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						
12/03/2018	***-**-7556 - WALKER, RANDY	SMALLCAP WORLD FUND-A	0.00	5.53	0.00	5.53	60.0	3.32
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
12/03/2018	***-**-7839 - CONTI, ELLEN	THE BOND FUND OF AMERICA-A	0.00	1.84	0.00	1.84	60.0	1.10
	ACCOUNT #: 00086719090	BUY 0 Units @0.0000						
12/03/2018	***-**-1730 - BENZI, ROBERT	THE BOND FUND OF AMERICA-A	0.00	7.40	0.00	7.40	60.0	4.44
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.70	0.00	12.70	60.0	7.62
12/03/2018	***-**-1730 - BENZI, ROBERT ACCOUNT #: 00089110730	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.86	0.00	10.86	60.0	6.52
12/03/2018	***-**-108I - KROH, TODD ACCOUNT #: 00062495916	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.72	0.00	4.72	60.0	2.83
12/03/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	56.09	0.00	56.09	60.0	33.65
12/03/2018	***-**-108I - KROH, TODD ACCOUNT #: 00085501054	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.50	0.00	15.50	60.0	9.30
12/03/2018	***-**-108I - KROH, TODD ACCOUNT #: 00085501328	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.73	0.00	3.73	60.0	2.24
12/03/2018	***-**-1730 - BENZI, ROBERT ACCOUNT #: 00089110730	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.90	0.00	8.90	60.0	5.34
12/03/2018	***-**-949I - FIAN, JOHN ACCOUNT #: 00089654997	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	21.71	0.00	21.71	60.0	13.03
12/03/2018	***-**-8095 - FOX, REBECCA ACCOUNT #: 04000236467	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	54.62	0.00	54.62	60.0	32.77
12/03/2018	***-**-9298 - FLETCHER, JOSEPH ACCOUNT #: 04000410254	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	42.18	0.00	42.18	60.0	25.31
12/03/2018	***-**-7839 - CONTI, ELLEN ACCOUNT #: 00081378698	U.S GOVERNMENT SECURITIES FND-F1 BUY 0 Units @0.0000	0.00	11.86	0.00	11.86	60.0	7.12
12/03/2018	***-**-7839 - CONTI, ELLEN ACCOUNT #: 00087841527	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @0.0000	0.00	21.36	0.00	21.36	60.0	12.82
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$717.52</b>	<b>\$0.00</b>	<b>\$717.52</b>		<b>\$430.53</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$100.00</b>	<b>\$730.11</b>	<b>\$0.00</b>	<b>\$730.11</b>		<b>\$438.08</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$438.08
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$438.08
						Year-to-date Earnings		\$12,093.88