

# COMMISSION STATEMENT October 15, 2018

 HENRY BOTTER-D364  
 10897 FM 2625 W

 BROKER #: D364  
 BRANCH: D00

HALLSVILLE, TX 75650

**COMMISSION STATEMENT for HENRY BOTTER-D364**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/04/2018	04001185901	STALLINGS, HUBERT MF	AMERICAN BALANCED FUND-A	B	1,332,786.32	13,327.86	0.00	13,327.86	75.0	9,995.90
* MUTUAL FUNDS SUBTOTAL *					\$1,332,786.32	\$13,327.86	\$0.00	\$13,327.86		\$9,995.90
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/01/2018	00550191970	WANDA L BULLOCK	MFT PUTNAM 746933100	B	0.00	2.17	0.00	2.17	75.0	1.63
10/01/2018	00550191970	WANDA L BULLOCK	MFT PUTNAM GLOBAL FINANCIALS-A	B	0.00	2.68	0.00	2.68	75.0	2.01
10/01/2018	00550191970	WANDA L BULLOCK	MFT PUTNAM GLOBAL INDUSTRIALS-A	B	0.00	2.83	0.00	2.83	75.0	2.12
10/01/2018	00550191970	WANDA L BULLOCK	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	2.62	0.00	2.62	75.0	1.97
10/01/2018	00551073680	YOUNGBLOOD	MFT PUTNAM 746792100	B	0.00	7.72	0.00	7.72	75.0	5.79
10/01/2018	00551073680	YOUNGBLOOD	MFT PUTNAM 746933100	B	0.00	5.12	0.00	5.12	75.0	3.84
10/01/2018	00551073680	YOUNGBLOOD	MFT PUTNAM ASSET ALLOCATION	B	0.00	28.01	0.00	28.01	75.0	21.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$51.15	\$0.00	\$51.15		\$38.37
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
09/28/2018	(None)	VARIOUS, BOTTER-D364	VAT PRUDENTIAL LIFE	B	0.00	264.36	0.00	264.36	75.0	198.27
09/28/2018	(None)	VARIOUS, BOTTER-D364	VAT PRUDENTIAL LIFE	B	0.00	3,467.42	0.00	3,467.42	75.0	2,600.57
09/29/2018	(None)	STALLINGS, HUBERT	VAT NATIONWIDE	B	0.00	60.12	0.00	60.12	75.0	45.09
09/29/2018	(None)	MUELLER, JOYCE	VAT NATIONWIDE	B	0.00	9.77	0.00	9.77	75.0	7.33
09/30/2018	(None)	VARIOUS, BOTTER-D364	VAT TALCOTT RESOLUTION	B	0.00	249.21	0.00	249.21	75.0	186.91
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$4,050.88	\$0.00	\$4,050.88		\$3,038.17
*** DIRECT TRANSACTIONS TOTAL ***					\$1,332,786.32	\$17,429.89	\$0.00	\$17,429.89		\$13,072.44

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,072.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-445.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$12,627.44</b>
<b>Year-to-date Commissions</b>	<b>\$19,174.11</b>