



# COMMISSION STATEMENT

## September 10, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/28/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00083468095	BUY 2 Units @29.6900						
09/04/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	110.00	5.50	0.00	5.50	80.0	4.40
	ACCOUNT #: 00088153955	BUY 4 Units @29.5800						
09/04/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000460394	BUY 2 Units @29.5800						
09/04/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000460402	BUY 2 Units @29.5800						
09/04/2018	***-**-1748 - LOMBARDO, JAMES	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00087068370	BUY 3 Units @29.5800						
09/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 2 Units @29.1200						
09/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @44.6500						
09/06/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @67.1100						
09/06/2018	***-**-2608 - GIAMAS, GREGORY	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @47.9600						
08/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.0800						
09/04/2018	***-**-3040 - KOTARY, BRANDY	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087642268	BUY 4 Units @13.0400						
09/06/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @57.7300						
09/04/2018	***-**-6802 - NAKANO, AMELIA	THE NEW ECONOMY FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00082853395	BUY 1 Units @51.8900						
09/05/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	150.00	4.50	0.00	4.50	80.0	3.60
	ACCOUNT #: 00084530390	BUY 11 Units @13.8700						
08/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @48.9300						
09/04/2018	***-**-6802 - NAKANO, AMELIA	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00082853395	BUY 1 Units @48.9700						



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<div>ADJUSTMENTS (continued)</div>								
* MUTUAL FUNDS SUBTOTAL *			\$1,060.00	\$42.72	\$0.00	\$42.72		\$34.15
*** BROKER TRANSACTIONS TOTAL ***			\$1,060.00	\$42.72	\$0.00	\$42.72		\$34.15
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$34.15
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$22.80
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
<div>Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD</div>					<div>Balance Forward</div>			\$56.95
					<div>Year-to-date Earnings</div>			\$4,538.22