

COMMISSION STATEMENT

December 15, 2018

TOMMY THOMAS-D007
 2836 Bill Owens Parkway
 Suite A
 Longview, TX 75605

BROKER #: D007
 BRANCH: DMN

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/05/2018 (None)	VARIOUS, THOMAS	MF JOHN HANCOCK FREEDOM	B	0.00	6.39	0.00	6.39	85.0	5.43
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$6.39	\$0.00	\$6.39		\$5.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/26/2018 (None)	THE TRIM SHOP	VA PRUDENTIAL LIFE	B	1,000.00	15.00	0.00	15.00	85.0	12.75
12/07/2018 (None)	THE TRIM SHOP	VA PRUDENTIAL LIFE	B	1,000.00	15.00	0.00	15.00	85.0	12.75
11/30/2018 009626232A	GORDON, HAROLD &	VA L SERIES	B	53,994.74	58.49	0.00	58.49	85.0	49.72
11/30/2018 1009553912	HUYSER, GORDON	VA PERSPECTIVE L	B	526,159.46	438.47	0.00	438.47	85.0	372.70
11/30/2018 1009680191	EATON, BARRY	VA PERSPECTIVE L	B	37,383.83	31.15	0.00	31.15	85.0	26.48
11/30/2018 1009683115	JONES, DIANNE	VA PERSPECTIVE L	B	98,627.85	82.19	0.00	82.19	85.0	69.86
11/30/2018 1010957199	SMART INDIVIDUAL	VA PERSP L 08/11	B	472,817.70	394.01	0.00	394.01	85.0	334.91
11/30/2018 1011344646	MAHURIN, JANETTE	VA PERSP L 08/11	B	55,832.74	46.53	0.00	46.53	85.0	39.55
11/30/2018 1011349192	MAHURIN, JOHN	VA PERSP L 08/11	B	33,281.99	27.73	0.00	27.73	85.0	23.57
11/30/2018 1011363012	MAHURIN, JANETTE	VA PERSP L 08/11	B	26,625.52	22.19	0.00	22.19	85.0	18.86
11/30/2018 1011400821	MAHURIN, JOHN	VA PERSP L 08/11	B	36,124.96	30.10	0.00	30.10	85.0	25.59
11/30/2018 1013867581	GORDON, JOYCE	VA PERSP L 08/11	B	169,116.30	140.93	0.00	140.93	85.0	119.79
11/30/2018 1014010692	SMART HOSPITALIST	VA PERSP L 08/11	B	264,766.52	220.64	0.00	220.64	85.0	187.54
11/30/2018 1014013020	VERNER, MICHAEL	VA PERSP L 08/11	B	150,236.15	125.20	0.00	125.20	85.0	106.42
11/30/2018 1014085366	NGANKEU, EVARISTE	VA PERSP L 08/11	B	314,091.94	261.74	0.00	261.74	85.0	222.48
11/30/2018 1014100446	KAY, ANGELIA	VA PERSP L 08/11	B	107,913.20	89.93	0.00	89.93	85.0	76.44
11/30/2018 1014100489	KAY, ANGELIA	VA PERSP L 08/11	B	34,495.48	28.75	0.00	28.75	85.0	24.44
11/30/2018 1014100497	KAY, ANGELIA	VA PERSP L 08/11	B	562,063.32	468.39	0.00	468.39	85.0	398.13
11/30/2018 1015182330	HAGGARD INDY 401 K	VA PERSP L 08/11	B	117,716.66	98.10	0.00	98.10	85.0	83.39
11/30/2018 1015347453	JANWA EPSE	VA PERSP L 08/11	B	16,553.64	13.79	0.00	13.79	85.0	11.72
11/30/2018 1017602728	BEARD, DARLENE	VA ELITE ACCESS L	B	219,553.87	182.96	0.00	182.96	85.0	155.52
11/30/2018 1017605786	BEARD, DARLENE	VA ELITE ACCESS	B	636,090.53	530.08	0.00	530.08	85.0	450.57
11/30/2018 1018337262	GORDON, JOYCE	VA PERSP L 08/11	B	95,344.56	79.45	0.00	79.45	85.0	67.53
11/30/2018 1019580861	MOORE, THOMAS &	VA PERSP II 01/16	B	84,836.29	70.70	0.00	70.70	85.0	60.10
11/27/2018 1021847239	NGANKEU, GISELE	VA PERSP II 01/16	B	7,723.88	154.48	0.00	154.48	85.0	131.31
* VARIABLE ANNUITIES SUBTOTAL *				\$4,123,351.13	\$3,626.00	\$0.00	\$3,626.00		\$3,082.12

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/30/2018 (None)	VARIOUS, THOMAS	MFT JOHN HANCOCK FREEDOM	B	0.00	12.66	0.00	12.66	85.0	10.76
12/03/2018 00063440112	EASLEY, JAMES	MFT THE BOND FUND OF AMERICA-A	B	0.00	33.08	0.00	33.08	85.0	28.12
12/03/2018 00063440119	EASLEY, WANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.70	0.00	0.70	85.0	0.60
12/03/2018 00089274948	DARLENE BEARD	MFT AMCAP FUND-A	B	0.00	36.20	0.00	36.20	85.0	30.77
12/03/2018 00089274948	DARLENE BEARD	MFT FUNDAMENTAL INVESTORS-A	B	0.00	35.61	0.00	35.61	85.0	30.27
12/03/2018 00089274948	DARLENE BEARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	33.92	0.00	33.92	85.0	28.83
12/03/2018 00089274948	DARLENE BEARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	37.08	0.00	37.08	85.0	31.52
12/03/2018 00089454377	DAVID B CRAVEY	MFT AMCAP FUND-A	B	0.00	0.65	0.00	0.65	85.0	0.55
12/03/2018 00089454377	DAVID B CRAVEY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.64	0.00	0.64	85.0	0.54
12/03/2018 00089454377	DAVID B CRAVEY	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.62	0.00	0.62	85.0	0.53
12/03/2018 00089454377	DAVID B CRAVEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.68	0.00	0.68	85.0	0.58
12/03/2018 00089454414	DAVID B CRAVEY	MFT AMCAP FUND-A	B	0.00	0.67	0.00	0.67	85.0	0.57
12/03/2018 00089454414	DAVID B CRAVEY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.66	0.00	0.66	85.0	0.56
12/03/2018 00089454414	DAVID B CRAVEY	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.64	0.00	0.64	85.0	0.54
12/03/2018 00089454414	DAVID B CRAVEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.70	0.00	0.70	85.0	0.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$194.51	\$0.00	\$194.51		\$165.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/07/2018 (None)	VARIOUS, THOMAS	VAT MANULIFE	B	0.00	1,330.08	0.00	1,330.08	85.0	1,130.57
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,330.08	\$0.00	\$1,330.08		\$1,130.57
*** DIRECT TRANSACTIONS TOTAL ***				\$4,123,351.13	\$5,156.98	\$0.00	\$5,156.98		\$4,383.46

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

12/15/2018	:	2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,383.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,358.46
Year-to-date Commissions	\$93,794.22