

COMMISSION STATEMENT

August 31, 2018

 FRANK WAGNER-879660
 605 Chamberlain Street

 BROKER #:
 BRANCH: D12

Corpus Christi, TX 78404

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/31/2018	492077698	TRUST, MELISSA	MF	NEW PERSPECTIVE FUND-A	B	-59,997.55	0.00	5.00	-5.00	80.0	-4.00
				* MUTUAL FUNDS SUBTOTAL *		\$-59,997.55	\$0.00	\$5.00	\$-5.00		\$-4.00

PRODUCT CATEGORY: STOCKS

08/10/2018	368271956	RATHKE, CALVIN	S	DOWDUPONT INC	B	4,092.05	15.00	15.00	0.00	80.0	0.00
08/10/2018	368271956	RATHKE, CALVIN	S	DROPBOX INC CLASS A	B	3,192.45	15.00	15.00	0.00	80.0	0.00
08/10/2018	645836913	ROWLING, DAVID	S	DOWDUPONT INC	B	13,601.25	15.00	15.00	0.00	80.0	0.00
08/10/2018	645836913	ROWLING, DAVID	S	DROPBOX INC CLASS A	B	31,752.05	60.00	15.00	45.00	80.0	36.00
08/02/2018	645846940	ROWLING, DAVID	S	MICROSOFT CORPORATION	S	-10,766.51	15.00	15.00	0.00	80.0	0.00
08/02/2018	645846940	ROWLING, DAVID	S	TEXAS INSTRUMENTS INC	S	-22,464.86	15.00	15.00	0.00	80.0	0.00
08/22/2018	645846940	ROWLING, DAVID	S	SAP SE FORMERLY SAP	S	-29,700.41	15.00	15.00	0.00	80.0	0.00
08/10/2018	677227782	SCHMIDT, RONALD	S	DOWDUPONT INC	B	1,036.55	15.00	15.00	0.00	80.0	0.00
08/10/2018	677227782	SCHMIDT, RONALD	S	DROPBOX INC CLASS A	B	811.20	15.00	15.00	0.00	80.0	0.00
				* STOCKS SUBTOTAL *		\$-8,446.23	\$180.00	\$135.00	\$45.00		\$36.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018	mfc879660	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	14.57	0.00	14.57	80.0	11.66
08/21/2018	mfc879660	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	18.49	0.00	18.49	80.0	14.79
08/21/2018	mfc879660	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	65.37	0.00	65.37	80.0	52.30
08/21/2018	mfc879660	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	11.30	0.00	11.30	80.0	9.04
08/21/2018	mfc879660	VARIOUS,	MFT	AMERICAN BALANCED FUND-C	B	0.00	27.41	0.00	27.41	80.0	21.93
08/21/2018	mfc879660	VARIOUS,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	15.23	0.00	15.23	80.0	12.18
08/21/2018	mfc879660	VARIOUS,	MFT	CALAMOS GROWTH FUND CLASS C	B	0.00	12.54	0.00	12.54	80.0	10.03
08/21/2018	mfc879660	VARIOUS,	MFT	CALAMOS GROWTH FUND CLASS C	B	0.00	21.81	0.00	21.81	80.0	17.45
08/21/2018	mfc879660	VARIOUS,	MFT	CALAMOS GROWTH FUND CLASS A	B	0.00	9.64	0.00	9.64	80.0	7.71
08/21/2018	mfc879660	VARIOUS,	MFT	CALVERT INCOME FD CL A	B	0.00	17.17	0.00	17.17	80.0	13.74
08/21/2018	mfc879660	VARIOUS,	MFT	CALVERT LONG TERM INCOME FD CL	B	0.00	19.38	0.00	19.38	80.0	15.50
08/21/2018	mfc879660	VARIOUS,	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	15.85	0.00	15.85	80.0	12.68
08/21/2018	mfc879660	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.19	0.00	1.19	80.0	0.95
08/21/2018	mfc879660	VARIOUS,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	1.32	0.00	1.32	80.0	1.06
08/21/2018	mfc879660	VARIOUS,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	58.40	0.00	58.40	80.0	46.72
08/21/2018	mfc879660	VARIOUS,	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	2.36	0.00	2.36	80.0	1.89

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08/21/2018	mfc879660	VARIOUS,							
		MFT FEDERATED EQUITY INCOME FUND	B	0.00	3.43	0.00	3.43	80.0	2.74
08/21/2018	mfc879660	VARIOUS,							
		MFT FEDERATED EQUITY INCOME FUND	B	0.00	11.51	0.00	11.51	80.0	9.21
08/21/2018	mfc879660	VARIOUS,							
		MFT FEDERATED EQUITY INCOME FUND	B	0.00	13.79	0.00	13.79	80.0	11.03
08/21/2018	mfc879660	VARIOUS,							
		MFT FEDERATED EQUITY INCOME FUND	B	0.00	14.63	0.00	14.63	80.0	11.70
08/21/2018	mfc879660	VARIOUS,							
		MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.32	0.00	4.32	80.0	3.46
08/21/2018	mfc879660	VARIOUS,							
		MFT FUNDAMENTAL INVESTORS-A	B	0.00	50.51	0.00	50.51	80.0	40.41
08/21/2018	mfc879660	VARIOUS,							
		MFT GLOBAL OPPORTUNITIES A	B	0.00	29.99	0.00	29.99	80.0	23.99
08/21/2018	mfc879660	VARIOUS,							
		MFT GLOBAL OPPORTUNITIES A	B	0.00	48.83	0.00	48.83	80.0	39.06
08/21/2018	mfc879660	VARIOUS,							
		MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	7.70	0.00	7.70	80.0	6.16
08/21/2018	mfc879660	VARIOUS,							
		MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	12.73	0.00	12.73	80.0	10.18
08/21/2018	mfc879660	VARIOUS,							
		MFT KAR CAPITAL GROWTH	B	0.00	2.80	0.00	2.80	80.0	2.24
08/21/2018	mfc879660	VARIOUS,							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	17.53	0.00	17.53	80.0	14.02
08/21/2018	mfc879660	VARIOUS,							
		MFT MAIN STREET FUND A	B	0.00	10.92	0.00	10.92	80.0	8.74
08/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	12.86	0.00	12.86	80.0	10.29
08/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	13.19	0.00	13.19	80.0	10.55
08/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	14.83	0.00	14.83	80.0	11.86
08/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	29.07	0.00	29.07	80.0	23.26
08/21/2018	mfc879660	VARIOUS,							
		MFT NEW PERSPECTIVE FUND-A	B	0.00	61.10	0.00	61.10	80.0	48.88
08/21/2018	mfc879660	VARIOUS,							
		MFT NEW WORLD FUND-A	B	0.00	1.29	0.00	1.29	80.0	1.03
08/21/2018	mfc879660	VARIOUS,							
		MFT NEW WORLD FUND-A	B	0.00	12.14	0.00	12.14	80.0	9.71
08/21/2018	mfc879660	VARIOUS,							
		MFT NEW WORLD FUND-A	B	0.00	45.45	0.00	45.45	80.0	36.36
08/21/2018	mfc879660	VARIOUS,							
		MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.16	0.00	15.16	80.0	12.13
08/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.33	0.00	3.33	80.0	2.66
08/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	4.70	0.00	4.70	80.0	3.76
08/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.35	0.00	9.35	80.0	7.48
08/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	26.05	0.00	26.05	80.0	20.84
08/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	36.04	0.00	36.04	80.0	28.83
08/21/2018	mfc879660	VARIOUS,							
		MFT SMALLCAP WORLD FUND-A	B	0.00	0.82	0.00	0.82	80.0	0.66
08/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.31	0.00	3.31	80.0	2.65
08/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	33.12	0.00	33.12	80.0	26.50
08/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	51.31	0.00	51.31	80.0	41.05
08/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	76.10	0.00	76.10	80.0	60.88
08/21/2018	mfc879660	VARIOUS,							
		MFT THE HARTFORD CAPITAL	B	0.00	0.20	0.00	0.20	80.0	0.16
08/21/2018	mfc879660	VARIOUS,							
		MFT THE INVESTMENT COMPANY OF	B	0.00	39.61	0.00	39.61	80.0	31.69
08/21/2018	mfc879660	VARIOUS,							
		MFT THE NEW ECONOMY FUND-A	B	0.00	11.08	0.00	11.08	80.0	8.86
08/21/2018	mfc879660	VARIOUS,							
		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.99	0.00	6.99	80.0	5.59
08/21/2018	mfc879660	VARIOUS,							
		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	18.99	0.00	18.99	80.0	15.19
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,066.81	\$0.00	\$1,066.81		\$853.44
*** CLEARING TRANSACTIONS TOTAL ***				\$-68,443.78	\$1,246.81	\$140.00	\$1,106.81		\$885.44

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ADJUSTMENTS TRANSACTIONSBROKER: #0

08/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
08/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
08/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$885.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$700.44
Year-to-date Commissions	\$11,572.79