



COMMISSION STATEMENT

September 17, 2018

LINDA BLACK
604 S. King Street
SUITE 208
Leesburg, VA 20175

BROKER #: 742
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/04/2018 | ***-**-354I - CALLAGY, LINDA | INVESCO SUMMIT FUND - CLASS A | 100.00 | 5.00 | 0.00 | 5.00 | 85.0 | 4.25 |
| | ACCOUNT #: 01001263728 | BUY 4 Units @24.4900 | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$100.00 | \$5.00 | \$0.00 | \$5.00 | | \$4.25 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 09/12/2018 | ***-**-465X - STEWART, ANNE | SPARTAN US EQTY INDX FID ADVANTAGE | 30,000.02 | 36.00 | 18.00 | 18.00 | 85.0 | 15.30 |
| | ACCOUNT #: LSR012757 | SELL 296 Units @101.3100 | | | | | | |
| | | * STOCKS SUBTOTAL * | \$30,000.02 | \$36.00 | \$18.00 | \$18.00 | | \$15.30 |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | |
| 09/12/2018 | ***-**-465X - STEWART, ANNE | FIDELITY STRATEGIC INCOME FUND | 100.00 | 0.00 | 24.00 | -24.00 | 100.0 | -24.00 |
| | ACCOUNT #: LSR012757 | SELL 8 Units @12.1500 | | | | | | |
| | | * BONDS SUBTOTAL * | \$100.00 | \$0.00 | \$24.00 | \$-24.00 | | \$-24.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA | AMCAP FUND-529A | 0.00 | 14.33 | 0.00 | 14.33 | 85.0 | 12.18 |
| | ACCOUNT #: 00073154047 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA | AMCAP FUND-529A | 0.00 | 2.76 | 0.00 | 2.76 | 85.0 | 2.35 |
| | ACCOUNT #: 00073154496 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-75IN - TARKINGTON, | AMCAP FUND-A | 0.00 | 0.39 | 0.00 | 0.39 | 85.0 | 0.33 |
| | ACCOUNT #: 00084915455 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - STEWART, DAVID | AMERICAN BALANCED FUND-529A | 0.00 | 1.40 | 0.00 | 1.40 | 85.0 | 1.19 |
| | ACCOUNT #: 00085597268 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | AMERICAN BALANCED FUND-529A | 0.00 | 0.94 | 0.00 | 0.94 | 85.0 | 0.80 |
| | ACCOUNT #: 00085847526 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | AMERICAN BALANCED FUND-529A | 0.00 | 1.17 | 0.00 | 1.17 | 85.0 | 0.99 |
| | ACCOUNT #: 00085847539 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-29IN - WISE, JANICE | AMERICAN FUNDS 02629M587 | 0.00 | 2.53 | 0.00 | 2.53 | 85.0 | 2.15 |
| | ACCOUNT #: 04000011624 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6063 - KRIPPNER, ANGEL | AMERICAN FUNDS 02629M587 | 0.00 | 0.12 | 0.00 | 0.12 | 85.0 | 0.10 |
| | ACCOUNT #: 04000400381 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6063 - KRIPPNER, ANGEL | AMERICAN FUNDS 02629M587 | 0.00 | 0.12 | 0.00 | 0.12 | 85.0 | 0.10 |
| | ACCOUNT #: 04000400395 | BUY 0 Units @0.0000 | | | | | | |



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|--|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | AMERICAN FUNDS 02630R732 | 0.00 | 1.53 | 0.00 | 1.53 | 85.0 | 1.30 |
| | ACCOUNT #: 00085847526 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | AMERICAN FUNDS 02630R732 | 0.00 | 1.53 | 0.00 | 1.53 | 85.0 | 1.30 |
| | ACCOUNT #: 00085847539 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-295P - PHILLIPS, | AMERICAN FUNDS 02630R732 | 0.00 | 1.45 | 0.00 | 1.45 | 85.0 | 1.23 |
| | ACCOUNT #: 00088393885 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-55SI - MAHAFFEY, | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 11.68 | 0.00 | 11.68 | 85.0 | 9.93 |
| | ACCOUNT #: 00084465596 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-01SI - WRISLEY, KENDRA | AMERICAN FUNDS 2040 TARGET DATE-A | 0.00 | 5.70 | 0.00 | 5.70 | 85.0 | 4.85 |
| | ACCOUNT #: 00084501043 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - STEWART, DAVID | AMERICAN MUTUAL FUND-529A | 0.00 | 0.85 | 0.00 | 0.85 | 85.0 | 0.72 |
| | ACCOUNT #: 00085597268 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | AMERICAN MUTUAL FUND-529A | 0.00 | 0.74 | 0.00 | 0.74 | 85.0 | 0.63 |
| | ACCOUNT #: 00085847526 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | AMERICAN MUTUAL FUND-529A | 0.00 | 0.94 | 0.00 | 0.94 | 85.0 | 0.80 |
| | ACCOUNT #: 00085847539 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-75IN - TARKINGTON, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.28 | 0.00 | 0.28 | 85.0 | 0.24 |
| | ACCOUNT #: 00084915455 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-305P - STEWART, DAVID | CAPITAL WORLD GROWTH AND INCOME-529 | 0.00 | 0.85 | 0.00 | 0.85 | 85.0 | 0.72 |
| | ACCOUNT #: 00085597268 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | CAPITAL WORLD GROWTH AND INCOME-529 | 0.00 | 0.86 | 0.00 | 0.86 | 85.0 | 0.73 |
| | ACCOUNT #: 00085847526 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN | CAPITAL WORLD GROWTH AND INCOME-529 | 0.00 | 1.08 | 0.00 | 1.08 | 85.0 | 0.92 |
| | ACCOUNT #: 00085847539 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA | EUROPACIFIC GROWTH FUND-529A | 0.00 | 0.87 | 0.00 | 0.87 | 85.0 | 0.74 |
| | ACCOUNT #: 00073154047 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA | EUROPACIFIC GROWTH FUND-529A | 0.00 | 0.87 | 0.00 | 0.87 | 85.0 | 0.74 |
| | ACCOUNT #: 00073154496 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-75IN - TARKINGTON, | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.23 | 0.00 | 0.23 | 85.0 | 0.20 |
| | ACCOUNT #: 00084915455 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA | FUNDAMENTAL INVESTORS-529A | 0.00 | 8.86 | 0.00 | 8.86 | 85.0 | 7.53 |
| | ACCOUNT #: 00073154047 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-75IN - TARKINGTON, ACCOUNT #: 00084915455 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 0.36 | 0.00 | 0.36 | 85.0 | 0.31 |
| 09/04/2018 | ***-**-275P - RUDOLPH, ACCOUNT #: 00083900072 | NEW PERSPECTIVE FUND-529A BUY 0 Units @0.0000 | 0.00 | 0.62 | 0.00 | 0.62 | 85.0 | 0.53 |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN ACCOUNT #: 00085847526 | NEW PERSPECTIVE FUND-529A BUY 0 Units @0.0000 | 0.00 | 0.99 | 0.00 | 0.99 | 85.0 | 0.84 |
| 09/04/2018 | ***-**-285P - SCHUSTER, SUSAN ACCOUNT #: 00085847539 | NEW PERSPECTIVE FUND-529A BUY 0 Units @0.0000 | 0.00 | 1.23 | 0.00 | 1.23 | 85.0 | 1.05 |
| 09/17/2018 | ***-**-371I - DEAHL, JOHN ACCOUNT #: 7200035148 | SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000 | 0.00 | 46.36 | 0.00 | 46.36 | 85.0 | 39.41 |
| 09/17/2018 | ***-**-334I - ESKEW, CAROLYN ACCOUNT #: 7200023141 | SAMMONS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 28.08 | 0.00 | 28.08 | 85.0 | 23.87 |
| 09/17/2018 | ***-**-9333 - BONNER, RACHEL ACCOUNT #: 7200027587 | SAMMONS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 52.72 | 0.00 | 52.72 | 85.0 | 44.81 |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA ACCOUNT #: 00073154047 | SMALLCAP WORLD FUND-529A BUY 0 Units @0.0000 | 0.00 | 11.87 | 0.00 | 11.87 | 85.0 | 10.09 |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA ACCOUNT #: 00073154496 | SMALLCAP WORLD FUND-529A BUY 0 Units @0.0000 | 0.00 | 1.15 | 0.00 | 1.15 | 85.0 | 0.98 |
| 09/04/2018 | ***-**-305P - STEWART, DAVID ACCOUNT #: 00085597268 | SMALLCAP WORLD FUND-529A BUY 0 Units @0.0000 | 0.00 | 0.90 | 0.00 | 0.90 | 85.0 | 0.77 |
| 09/04/2018 | ***-**-75IN - TARKINGTON, ACCOUNT #: 00084915455 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 0.30 | 0.00 | 0.30 | 85.0 | 0.26 |
| 09/04/2018 | ***-**-75IN - TARKINGTON, ACCOUNT #: 00084915455 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.28 | 0.00 | 0.28 | 85.0 | 0.24 |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA ACCOUNT #: 00073154047 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 0 Units @0.0000 | 0.00 | 12.06 | 0.00 | 12.06 | 85.0 | 10.25 |
| 09/04/2018 | ***-**-765P - CROWE, DEANNA ACCOUNT #: 00073154496 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 0 Units @0.0000 | 0.00 | 1.01 | 0.00 | 1.01 | 85.0 | 0.86 |
| 09/04/2018 | ***-**-3730 - RUDOLPH, LUCA ACCOUNT #: 00082969681 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 0 Units @0.0000 | 0.00 | 0.26 | 0.00 | 0.26 | 85.0 | 0.22 |
| 09/04/2018 | ***-**-75IN - TARKINGTON, ACCOUNT #: 00084915455 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.35 | 0.00 | 0.35 | 85.0 | 0.30 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***--285P - SCHUSTER, SUSAN | THE NEW ECONOMY FUND-529A | 0.00 | 1.05 | 0.00 | 1.05 | 85.0 | 0.89 |
| | ACCOUNT #: 00085847526 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***--285P - SCHUSTER, SUSAN | THE NEW ECONOMY FUND-529A | 0.00 | 1.31 | 0.00 | 1.31 | 85.0 | 1.11 |
| | ACCOUNT #: 00085847539 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***--765P - CROWE, DEANNA | WASHINGTON MUTUAL INVESTORS FUND-52 | 0.00 | 8.58 | 0.00 | 8.58 | 85.0 | 7.29 |
| | ACCOUNT #: 00073154047 | BUY 0 Units @0.0000 | | | | | | |
| | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | \$0.00 | \$231.56 | \$0.00 | \$231.56 | | \$196.85 |
| | *** BROKER TRANSACTIONS TOTAL *** | | \$30,200.02 | \$272.56 | \$42.00 | \$230.56 | | \$192.40 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$192.40 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|--------------------|
| Net Commission Earned | \$192.40 |
| Year-to-date Earnings | \$87,497.78 |