



# COMMISSION STATEMENT

## September 03, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/28/2018	***-**-54IO - FRIES, SHARON	CALVERT GLOBAL WATER FUND CLASS A	25,562.95	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012478	SELL 1264 Units @20.2300						
08/28/2018	***-**-03TR - NEAL TRUST,	COHEN AND STEERS INTL REALTY INC CL	16,844.19	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012505	SELL 1406 Units @11.9800						
* MUTUAL FUNDS SUBTOTAL *			<b>\$42,407.14</b>	<b>\$0.00</b>	<b>\$36.00</b>	<b>\$-36.00</b>		<b>\$-36.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/29/2018	***-**-32IN - ROPER, SANDRA	ALTRIA GROUP INC COM	1,461.55	54.00	15.50	38.50	85.0	32.73
	ACCOUNT #: LSR012512	SELL 25 Units @58.4620						
08/29/2018	***-**-32IN - ROPER, SANDRA	ALTRIA GROUP INC COM	1,461.55	0.00	0.50	-0.50	100.0	-0.50
	ACCOUNT #: LSR012512	SELL 25 Units @58.4620						
08/28/2018	***-**-496I - MCCOY, SHERIANNE	CATERPILLAR INC	14,185.00	250.00	17.00	233.00	85.0	198.05
	ACCOUNT #: LSR012469	BUY 100 Units @141.8500						
08/28/2018	***-**-141I - ROHMAN, ARTHUR	CATERPILLAR INC	14,196.00	300.00	17.00	283.00	85.0	240.55
	ACCOUNT #: LSR012574	BUY 100 Units @141.9600						
08/28/2018	***-**-41IR - ROHMAN, ARTHUR	CATERPILLAR INC	14,201.40	300.00	17.00	283.00	85.0	240.55
	ACCOUNT #: LSR012575	BUY 100 Units @142.0140						
08/29/2018	***-**-03TR - NEAL TRUST,	CATERPILLAR INC	14,195.90	247.50	17.00	230.50	85.0	195.93
	ACCOUNT #: LSR012505	BUY 100 Units @141.9590						
08/29/2018	***-**-03TR - NEAL TRUST,	CATERPILLAR INC	14,196.00	247.50	2.00	245.50	85.0	208.68
	ACCOUNT #: LSR012505	BUY 100 Units @141.9600						
08/29/2018	***-**-691I - HEINZ, MARK	CATERPILLAR INC	14,195.50	300.00	17.00	283.00	85.0	240.55
	ACCOUNT #: LSR012513	BUY 100 Units @141.9550						
08/29/2018	***-**-361J - COOK, ALAN	CATERPILLAR INC	14,199.50	300.00	17.00	283.00	85.0	240.55
	ACCOUNT #: LSR012544	BUY 100 Units @141.9950						
08/28/2018	***-**-496I - MCCOY, SHERIANNE	MURPHY OIL CORP	3,065.00	60.00	17.00	43.00	85.0	36.55
	ACCOUNT #: LSR012469	SELL 100 Units @30.6500						
08/28/2018	***-**-496I - MCCOY, SHERIANNE	MURPHY OIL CORP	3,067.00	60.00	2.00	58.00	85.0	49.30
	ACCOUNT #: LSR012469	SELL 100 Units @30.6700						
08/28/2018	***-**-496I - MCCOY, SHERIANNE	MURPHY OIL CORP	3,067.00	60.00	2.00	58.00	85.0	49.30
	ACCOUNT #: LSR012469	SELL 100 Units @30.6700						
08/28/2018	***-**-496I - MCCOY, SHERIANNE	MURPHY OIL CORP	3,067.00	60.00	2.00	58.00	85.0	49.30
	ACCOUNT #: LSR012469	SELL 100 Units @30.6700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
08/28/2018	***-**-496I - MCCOY, SHERIANNE	MURPHY OIL CORP	3,067.00	60.00	2.00	58.00	85.0	49.30
	ACCOUNT #: LSR012469	SELL 100 Units @30.6700						
08/28/2018	***-**-03TR - NEAL TRUST,	MURPHY OIL CORP	15,320.00	300.00	25.00	275.00	85.0	233.75
	ACCOUNT #: LSR012505	SELL 500 Units @30.6400						
08/28/2018	***-**-691I - HEINZ, MARK	MURPHY OIL CORP	12,257.20	250.00	23.00	227.00	85.0	192.95
	ACCOUNT #: LSR012513	SELL 400 Units @30.6430						
08/28/2018	***-**-141I - ROHMAN, ARTHUR	MURPHY OIL CORP	15,325.50	300.00	25.00	275.00	85.0	233.75
	ACCOUNT #: LSR012574	SELL 500 Units @30.6510						
08/28/2018	***-**-41IR - ROHMAN, ARTHUR	MURPHY OIL CORP	15,292.50	300.00	25.00	275.00	85.0	233.75
	ACCOUNT #: LSR012575	SELL 500 Units @30.5850						
08/28/2018	***-**-496I - MCCOY, SHERIANNE	ROSEHILL RES INC CL A	10,375.00	200.00	40.00	160.00	85.0	136.00
	ACCOUNT #: LSR012469	SELL 1250 Units @8.3000						
08/28/2018	***-**-55IR - WISE, MARY	ROSEHILL RES INC CL A	8,311.00	150.00	35.00	115.00	85.0	97.75
	ACCOUNT #: LSR012558	SELL 1000 Units @8.3110						
08/28/2018	***-**-825J - BARR, JANET	ROSEHILL RES INC CL A	413.55	7.50	16.00	-8.50	100.0	-8.50
	ACCOUNT #: LSR012627	SELL 50 Units @8.2710						
08/28/2018	***-**-825J - BARR, JANET	ROSEHILL RES INC CL A	7,537.50	135.00	18.00	117.00	85.0	99.45
	ACCOUNT #: LSR012627	SELL 900 Units @8.3750						
08/28/2018	***-**-825J - BARR, JANET	ROSEHILL RES INC CL A	414.50	7.50	1.00	6.50	85.0	5.53
	ACCOUNT #: LSR012627	SELL 50 Units @8.2900						
<b>* STOCKS SUBTOTAL *</b>			<b>\$202,872.15</b>	<b>\$3,949.00</b>	<b>\$353.00</b>	<b>\$3,596.00</b>		<b>\$3,055.27</b>

### PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

08/29/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	18,740.00	350.00	24.00	326.00	85.0	277.10
	ACCOUNT #: LSR012469	BUY 1000 Units @18.7400						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	1,877.50	33.00	24.00	9.00	85.0	7.65
	ACCOUNT #: LSR012478	BUY 100 Units @18.7750						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	1,877.50	33.00	0.00	33.00	85.0	28.05
	ACCOUNT #: LSR012478	BUY 100 Units @18.7750						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	1,877.50	33.00	0.00	33.00	85.0	28.05
	ACCOUNT #: LSR012478	BUY 100 Units @18.7750						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	13,175.40	231.00	0.00	231.00	85.0	196.35
	ACCOUNT #: LSR012478	BUY 700 Units @18.8220						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)</b>								
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	375.50	6.60	0.00	6.60	85.0	5.61
	ACCOUNT #: LSR012478	BUY 20 Units @18.7750						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	1,370.58	24.09	0.00	24.09	85.0	20.48
	ACCOUNT #: LSR012478	BUY 73 Units @18.7750						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	131.75	2.31	0.00	2.31	85.0	1.96
	ACCOUNT #: LSR012478	BUY 7 Units @18.8220						
08/29/2018	***-**-54IO - FRIES, SHARON	CONSOL COAL RES LP COM UNIT SOLICIT	7,510.00	132.00	0.00	132.00	85.0	112.20
	ACCOUNT #: LSR012478	BUY 400 Units @18.7750						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$46,935.73	\$845.00	\$48.00	\$797.00		\$677.45
*** BROKER TRANSACTIONS TOTAL ***			\$292,215.02	\$4,794.00	\$437.00	\$4,357.00		\$3,696.72

### BROKER COMMISSION TOTALS

Broker Transactions	\$3,696.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,696.72
Year-to-date Earnings	\$132,523.81