

# COMMISSION STATEMENT October 15, 2018

 ALEX BRISTER-D010  
 32180 Inverness Drive

 BROKER #: D010  
 BRANCH: DMD

Evergreen, CO 80439

**COMMISSION STATEMENT for ALEX BRISTER-D010**

| TRADE DATE                                | CLIENT      | INVESTMENT         | B/S                               | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |       |
|---|-------------|--------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|-------|
| DIRECT TRANSACTIONS                       |             |                    |                                   |                      |                     |                    |                   |       |                      |       |
| PRODUCT CATEGORY: MUTUAL FUNDS            |             |                    |                                   |                      |                     |                    |                   |       |                      |       |
| 10/04/2018 (None)                         | 0045711389  | MF SCHOLARS CHOICE | B                                 | 50.00                | 1.50                | 0.00               | 1.50              | 50.0  | 0.75                 |       |
| 10/04/2018 (None)                         | 0045795127  | MF SCHOLARS CHOICE | B                                 | 50.00                | 1.50                | 0.00               | 1.50              | 50.0  | 0.75                 |       |
| 10/04/2018 (None)                         | 0045709342  | MF SCHOLARS CHOICE | B                                 | 50.00                | 1.50                | 0.00               | 1.50              | 50.0  | 0.75                 |       |
| 10/02/2018                                | 04000508909 | GERRIE, ANDREW     | MF AMERICAN FUNDS 2040 TARGET     | B                    | 500.00              | 13.75              | 0.00              | 13.75 | 50.0                 | 6.88  |
| * MUTUAL FUNDS SUBTOTAL *                 |             |                    |                                   | \$650.00             | \$18.25             | \$0.00             | \$18.25           |       | \$9.13               |       |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS |             |                    |                                   |                      |                     |                    |                   |       |                      |       |
| 09/30/2018 (None)                         | CANADGUSD   | MFT OKLAHOMA 529   | B                                 | 0.00                 | 0.44                | 0.00               | 0.44              | 50.0  | 0.22                 |       |
| 10/02/2018                                | 01200976205 | RIMBEY, PAMELA     | MFT ALLIANZGI GLOBAL ALLOCATION C | B                    | 0.00                | 14.09              | 0.00              | 14.09 | 50.0                 | 7.05  |
| 10/02/2018                                | 01200976205 | RIMBEY, PAMELA     | MFT ALLIANZGI GLOBAL ALLOCATION C | B                    | 0.00                | 38.83              | 0.00              | 38.83 | 50.0                 | 19.42 |
| 10/02/2018                                | 01220231615 | ROSE, HEATHER      | MFT ALLIANZGI GLOBAL ALLOCATION A | B                    | 0.00                | 5.24               | 0.00              | 5.24  | 50.0                 | 2.62  |
| 10/02/2018                                | 01240215275 | HUNT, CYNTHIA      | MFT ALLIANZGI GLOBAL ALLOCATION A | B                    | 0.00                | 3.51               | 0.00              | 3.51  | 50.0                 | 1.76  |
| 10/02/2018                                | 01241725892 | EVANS, SHELLEY     | MFT ALLIANZGI GLOBAL ALLOCATION A | B                    | 0.00                | 0.76               | 0.00              | 0.76  | 50.0                 | 0.38  |
| 10/02/2018                                | 01241747409 | KEFFLER, EMILY     | MFT ALLIANZGI GLOBAL ALLOCATION A | B                    | 0.00                | 1.09               | 0.00              | 1.09  | 50.0                 | 0.55  |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *      |             |                    |                                   | \$0.00               | \$63.96             | \$0.00             | \$63.96           |       | \$32.00              |       |
| *** DIRECT TRANSACTIONS TOTAL ***         |             |                    |                                   | \$650.00             | \$82.21             | \$0.00             | \$82.21           |       | \$41.13              |       |

**BROKER COMMISSION TOTALS**

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$41.13 |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$0.00  |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

|                                 |                   |
|---------------------------------|-------------------|
| <b>Net Commissions</b>          | <b>\$41.13</b>    |
| <b>Year-to-date Commissions</b> | <b>\$1,878.14</b> |