

# COMMISSION STATEMENT

November 30, 2018

DEBBIE MUEGGENBORG-D146

BROKER #: D146

BRANCH: DMB

, TX

## COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D146

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
11/19/2018	153059420	BOOHER, ERNEST	MF	AMERICAN BALANCED FUND-C	B	-2,497.55	0.00	5.00	-5.00 90.0 -4.50
11/19/2018	153059420	BOOHER, ERNEST	MF	AMERICAN BALANCED FUND-F1	B	-2,497.55	0.00	5.00	-5.00 90.0 -4.50
11/19/2018	368245715	ARMSTRONG, NANCY	MF	LOOMIS SAYLES STRAT INCOME FD	B	-19,997.55	0.00	5.00	-5.00 90.0 -4.50
11/19/2018	368245715	ARMSTRONG, NANCY	MF	OAKMARK FUND	B	-44,997.55	0.00	5.00	-5.00 90.0 -4.50
11/19/2018	368433779	PEET JR, CURTIS	MF	AMERICAN FDS FUNDAMENTAL INVSTR	B	-4,997.55	0.00	5.00	-5.00 90.0 -4.50
11/16/2018	368460348	STAPLETON, BRAD	MF	RUSSELL US DYNAMIC EQUITY FD CL	B	-55,997.55	0.00	5.00	-5.00 90.0 -4.50
11/16/2018	368460348	STAPLETON, BRAD	MF	VANGUARD INFLATION PROTECT SEC	S	-17,099.20	0.00	5.00	-5.00 90.0 -4.50
11/19/2018	368460348	STAPLETON, BRAD	MF	AMG YACKTMAN FD I	S	55,002.45	0.00	5.00	-5.00 90.0 -4.50
11/19/2018	368460348	STAPLETON, BRAD	MF	OPPENHEIMER SENIOR FLOATING	S	16,002.45	0.00	2.50	-2.50 90.0 -2.25
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$-77,079.60</b>	<b>\$0.00</b>	<b>\$42.50</b>	<b>\$-42.50 \$-38.25</b>

## PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.42	0.00	2.42 90.0 2.18
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-C	B	0.00	8.37	0.00	8.37 90.0 7.53
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-C	B	0.00	8.69	0.00	8.69 90.0 7.82
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-C	B	0.00	18.06	0.00	18.06 90.0 16.25
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-C	B	0.00	20.76	0.00	20.76 90.0 18.68
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-F1	B	0.00	3.02	0.00	3.02 90.0 2.72
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-F1	B	0.00	4.51	0.00	4.51 90.0 4.06
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN BALANCED FUND-F1	B	0.00	13.70	0.00	13.70 90.0 12.33
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	AMERICAN MUTUAL FUND-A	B	0.00	3.70	0.00	3.70 90.0 3.33
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	3.43	0.00	3.43 90.0 3.09
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.52	0.00	9.52 90.0 8.57
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL WORLD GROWTH AND	B	0.00	1.52	0.00	1.52 90.0 1.37
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL WORLD GROWTH AND	B	0.00	1.67	0.00	1.67 90.0 1.50
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL WORLD GROWTH AND	B	0.00	3.69	0.00	3.69 90.0 3.32
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL WORLD GROWTH AND	B	0.00	9.32	0.00	9.32 90.0 8.39
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	CAPITAL WORLD BOND FUND-F1	B	0.00	1.34	0.00	1.34 90.0 1.21
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	COLUMBIA US GOVT MORTGAGE FD C	B	0.00	8.57	0.00	8.57 90.0 7.71
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.80	0.00	0.80 90.0 0.72
11/21/2018	mfc284158	MUEGGENBORG 284158	MFT	DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.37	0.00	1.37 90.0 1.23

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.34	0.00	2.34	90.0	2.11
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.59	0.00	3.59	90.0	3.23
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.71	0.00	3.71	90.0	3.34
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.94	0.00	3.94	90.0	3.55
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	7.97	0.00	7.97	90.0	7.17
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	12.72	0.00	12.72	90.0	11.45
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	24.11	0.00	24.11	90.0	21.70
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	43.31	0.00	43.31	90.0	38.98
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	81.30	0.00	81.30	90.0	73.17
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	6.56	0.00	6.56	90.0	5.90
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.49	0.00	2.49	90.0	2.24
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	1.26	0.00	1.26	90.0	1.13
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	7.26	0.00	7.26	90.0	6.53
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	17.73	0.00	17.73	90.0	15.96
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	24.47	0.00	24.47	90.0	22.02
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	8.68	0.00	8.68	90.0	7.81
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	14.23	0.00	14.23	90.0	12.81
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	1.14	0.00	1.14	90.0	1.03
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	3.72	0.00	3.72	90.0	3.35
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES BOND FD CL R	B	0.00	6.53	0.00	6.53	90.0	5.88
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES STRATEGIC INCOME	B	0.00	8.77	0.00	8.77	90.0	7.89
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES HIGH INCOME FD CL	B	0.00	12.08	0.00	12.08	90.0	10.87
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	1.22	0.00	1.22	90.0	1.10
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	2.89	0.00	2.89	90.0	2.60
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	19.65	0.00	19.65	90.0	17.69
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.86	0.00	2.86	90.0	2.57
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.21	0.00	3.21	90.0	2.89
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.63	0.00	4.63	90.0	4.17
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.41	0.00	5.41	90.0	4.87
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	46.87	0.00	46.87	90.0	42.18
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NEW WORLD FUND-F1	B	0.00	2.17	0.00	2.17	90.0	1.95
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT NEW WORLD FUND-F1	B	0.00	2.45	0.00	2.45	90.0	2.21
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	1.16	0.00	1.16	90.0	1.04
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	1.29	0.00	1.29	90.0	1.16
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT OPPENHEIMER SENIOR FLOATING	B	0.00	0.02	0.00	0.02	90.0	0.02
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT PIMCO INCOME FD CL A	B	0.00	3.10	0.00	3.10	90.0	2.79
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.20	0.00	1.20	90.0	1.08
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.57	0.00	3.57	90.0	3.21
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	18.65	0.00	18.65	90.0	16.79
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT PUTNAM 746885102	B	0.00	4.16	0.00	4.16	90.0	3.74
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT ROYCE HERITAGE FD 1% REDEMPTION	B	0.00	2.00	0.00	2.00	90.0	1.80
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL EMERGING MARKETS FD CL	B	0.00	3.07	0.00	3.07	90.0	2.76
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL EMERGING MARKETS FD CL	B	0.00	22.61	0.00	22.61	90.0	20.35
11/21/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL GLOBAL EQUITY FD CL C	B	0.00	7.48	0.00	7.48	90.0	6.73

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11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL GLOBAL REAL ESTATE SEC	B	0.00	2.47	0.00	2.47	90.0	2.22
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL INVESTMENT GRADE BOND	B	0.00	5.55	0.00	5.55	90.0	5.00
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL INTER DEV MKTS FD CL C	B	0.00	3.28	0.00	3.28	90.0	2.95
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL INTER DEV MKTS FD CL C	B	0.00	10.60	0.00	10.60	90.0	9.54
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	25.29	0.00	25.29	90.0	22.76
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL SHORT DURATION BOND FD	B	0.00	12.80	0.00	12.80	90.0	11.52
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL SHORT DURATION BOND FD	B	0.00	43.50	0.00	43.50	90.0	39.15
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	7.55	0.00	7.55	90.0	6.80
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	33.31	0.00	33.31	90.0	29.98
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	5.34	0.00	5.34	90.0	4.81
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	13.61	0.00	13.61	90.0	12.25
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	23.13	0.00	23.13	90.0	20.82
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	4.68	0.00	4.68	90.0	4.21
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	28.25	0.00	28.25	90.0	25.43
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US SMALL CAP EQUITY FD	B	0.00	4.13	0.00	4.13	90.0	3.72
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT RUSSELL US SMALL CAP EQUITY FD	B	0.00	4.73	0.00	4.73	90.0	4.26
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT SMALLCAP WORLD FUND-C	B	0.00	5.17	0.00	5.17	90.0	4.65
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT SMALLCAP WORLD FUND-F1	B	0.00	27.83	0.00	27.83	90.0	25.05
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT SMALLCAP WORLD FUND-F1	B	0.00	47.64	0.00	47.64	90.0	42.88
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT THE GROWTH FUND OF AMERICA-C	B	0.00	13.52	0.00	13.52	90.0	12.17
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.30	0.00	2.30	90.0	2.07
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT THORNBURG LTD TERM INCOME FD CL	B	0.00	14.06	0.00	14.06	90.0	12.65
11/21/2018 mfc284158	MUEGGENBORG	284158 MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	58.59	0.00	58.59	90.0	52.73
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$979.37</b>	<b>\$0.00</b>	<b>\$979.37</b>		<b>\$881.45</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-77,079.60</b>	<b>\$979.37</b>	<b>\$42.50</b>	<b>\$936.87</b>		<b>\$843.20</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/19/2018 00069057320	REYNOLDS, DEVYN	MF AMERICAN BALANCED FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
11/19/2018 00069057320	REYNOLDS, DEVYN	MF THE INVESTMENT COMPANY OF	B	100.00	1.00	0.00	1.00	90.0	0.90
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$200.00</b>	<b>\$2.00</b>	<b>\$0.00</b>	<b>\$2.00</b>		<b>\$1.80</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$200.00</b>	<b>\$2.00</b>	<b>\$0.00</b>	<b>\$2.00</b>		<b>\$1.80</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$845.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>