

## COMMISSION STATEMENT

November 30, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/27/2018	QGW032005	SIGLE, SCOTT	APPLE INC	B	12,145.00	225.00	0.00	225.00	82.5	185.63
11/27/2018	QGW032005	SIGLE, SCOTT	NEW YORK COMMUNITY BANCORP INC	S	-5,934.18	50.00	0.00	50.00	82.5	41.25
11/27/2018	QGW032005	SIGLE, SCOTT	QUALCOMM INC	S	-5,555.01	50.00	0.00	50.00	82.5	41.25
10/30/2018	QGW032013	STROPE, DALE	BLUE BIRD CORPORATION COM	S	-1,665.30	25.00	0.00	25.00	82.5	20.63
11/07/2018	QGW032013	STROPE, DALE	STARBUCKS CORP	S	-20,457.00	250.00	0.00	250.00	82.5	206.25
11/08/2018	QGW032013	STROPE, DALE	SQUARE INC CLASS A COM	B	15,200.00	400.00	0.00	400.00	82.5	330.00
11/28/2018	QGW032013	STROPE, DALE	BARCLAYS BANK PLC IPATH S&P 500 VIX S		-5,223.00	75.00	0.00	75.00	82.5	61.88
11/28/2018	QGW032047	SHIER, ANDY	APPLE INC	B	17,965.00	350.00	0.00	350.00	82.5	288.75
11/09/2018	QGW032096	CHARLTON, JOHN	ALIBABA GROUP HOLDING LTD SPONSORED B		19,856.82	550.00	0.00	550.00	82.5	453.75
11/26/2018	QGW032096	CHARLTON, JOHN	ALIBABA GROUP HOLDING LTD SPONSORED S		-21,551.46	100.00	0.00	100.00	82.5	82.50
11/28/2018	QGW032096	CHARLTON, JOHN	SQUARE INC CLASS A COM	B	20,961.00	450.00	0.00	450.00	82.5	371.25
10/30/2018	QGW032104	DAVIDOV, WILLIAM	GENERAL ELECTRIC CO	S	-2,827.00	25.00	0.00	25.00	82.5	20.63
11/01/2018	QGW032104	DAVIDOV, WILLIAM	BOYD GAMING CORP	B	2,800.00	16.66	0.00	16.66	82.5	13.74
11/01/2018	QGW032104	DAVIDOV, WILLIAM	EVOLENT HEALTH INC CL A	B	3,450.36	25.00	0.00	25.00	82.5	20.63
11/01/2018	QGW032104	DAVIDOV, WILLIAM	SQUARE INC CLASS A COM	B	2,687.30	25.00	0.00	25.00	82.5	20.63
11/02/2018	QGW032104	DAVIDOV, WILLIAM	APPLE INC	B	6,177.00	24.00	0.00	24.00	82.5	19.80
11/08/2018	QGW032104	DAVIDOV, WILLIAM	EVOLENT HEALTH INC CL A	S	-3,955.50	25.00	0.00	25.00	82.5	20.63
11/08/2018	QGW032104	DAVIDOV, WILLIAM	SQUARE INC CLASS A COM	B	3,775.00	25.00	0.00	25.00	82.5	20.63
11/13/2018	QGW032104	DAVIDOV, WILLIAM	BOYD GAMING CORP	S	-2,398.02	25.00	0.00	25.00	82.5	20.63
11/13/2018	QGW032104	DAVIDOV, WILLIAM	CALIX INC COM	B	2,310.00	25.00	0.00	25.00	82.5	20.63
11/20/2018	QGW032104	DAVIDOV, WILLIAM	AMAZON.COM INC	S	-1,507.36	25.00	0.00	25.00	82.5	20.63
11/20/2018	QGW032104	DAVIDOV, WILLIAM	SQUARE INC CLASS A COM	B	1,248.00	25.00	0.00	25.00	82.5	20.63
11/21/2018	QGW032104	DAVIDOV, WILLIAM	CALIX INC COM	S	-2,217.73	25.00	0.00	25.00	82.5	20.63
11/23/2018	QGW032104	DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	B	5,038.80	25.00	0.00	25.00	82.5	20.63
11/23/2018	QGW032104	DAVIDOV, WILLIAM	APPLE INC	S	-3,451.62	25.00	0.00	25.00	82.5	20.63
11/26/2018	QGW032104	DAVIDOV, WILLIAM	APPLE INC	S	-5,202.15	25.00	0.00	25.00	82.5	20.63
11/27/2018	QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	B	5,368.66	25.00	0.00	25.00	82.5	20.63
11/23/2018	QGW032112	CIARLO, ANTHONY	BLUE BIRD CORPORATION COM	S	-1,745.38	25.00	0.00	25.00	82.5	20.63
11/27/2018	QGW032112	CIARLO, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED B		14,010.33	410.00	0.00	410.00	82.5	338.25
10/31/2018	QGW032120	DAVIDOV, WILLIAM	ARES CAPITAL CORPORATION	B	2,569.50	25.00	0.00	25.00	82.5	20.63
11/02/2018	QGW032120	DAVIDOV, WILLIAM	EVOLENT HEALTH INC CL A	B	2,737.99	25.00	0.00	25.00	82.5	20.63

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/01/2018	QGW032179	BOND, PATRICK	APPLE INC	B	4,830.00	150.00	0.00	150.00	82.5	123.75
11/14/2018	QGW032179	BOND, PATRICK	APPLE INC	B	1,898.80	50.00	0.00	50.00	82.5	41.25
11/08/2018	QGW032195	NERY, GENEROSO	SQUARE INC CLASS A COM	B	14,900.00	425.00	0.00	425.00	82.5	350.63
11/02/2018	QGW032237	PETERSON, SHAWN	APPLE INC	B	20,600.00	550.00	0.00	550.00	82.5	453.75
11/12/2018	QGW032237	PETERSON, SHAWN	APPLE INC	B	6,925.45	200.00	0.00	200.00	82.5	165.00
11/12/2018	QGW032237	PETERSON, SHAWN	ATLASSIAN CORPORATION PLC CLASS A	S	-7,200.01	50.00	0.00	50.00	82.5	41.25
10/30/2018	QGW032286	HLIWA, DANNY	BLUE BIRD CORPORATION COM	S	-1,647.10	25.00	0.00	25.00	82.5	20.63
10/30/2018	QGW032302	CHEN, PETER	BLUE BIRD CORPORATION COM	S	-1,656.20	25.00	0.00	25.00	82.5	20.63
11/19/2018	QGW032336	WESSTROM, LAUREN	APPLE INC	B	1,857.80	45.00	0.00	45.00	82.5	37.13
11/02/2018	QGW032344	DYKSTRA, JAMES	APPLE INC	B	10,413.50	300.00	0.00	300.00	82.5	247.50
11/12/2018	QGW032344	DYKSTRA, JAMES	APPLE INC	B	4,950.00	150.00	0.00	150.00	82.5	123.75
11/12/2018	QGW032401	DEFILIPO, STEVEN	ALIBABA GROUP HOLDING LTD SPONSORED	B	8,267.32	200.00	0.00	200.00	82.5	165.00
11/12/2018	QGW032401	DEFILIPO, STEVEN	BLUE BIRD CORPORATION COM	S	-1,752.51	25.00	0.00	25.00	82.5	20.63
11/12/2018	QGW032401	DEFILIPO, STEVEN	JD.COM INC SPONSORED ADR REPSTG COM	S	-5,565.03	50.00	0.00	50.00	82.5	41.25
11/12/2018	QGW032450	LYDON, MICHELLE	APPLE INC	B	4,875.00	150.00	0.00	150.00	82.5	123.75
11/12/2018	QGW032450	LYDON, MICHELLE	BLUE BIRD CORPORATION COM	S	-1,751.69	35.00	0.00	35.00	82.5	28.88
11/05/2018	QGW032641	SMITH, WALTER	APPLE INC	B	6,591.75	197.00	0.00	197.00	82.5	162.53
11/19/2018	QGW033102	SHIPLEY, CRAIG	APPLE INC	B	9,285.00	275.00	0.00	275.00	82.5	226.88
11/27/2018	QGW033151	DEROIN, JOHN	ALIBABA GROUP HOLDING LTD SPONSORED	B	10,908.10	335.00	0.00	335.00	82.5	276.38
10/30/2018	QGW033193	EHRlichMAN, NEIL	BLUE BIRD CORPORATION COM	S	-1,638.00	20.00	0.00	20.00	82.5	16.50
10/30/2018	QGW033219	LATHAM, ROGER	BLUE BIRD CORPORATION COM	S	-1,648.01	25.00	0.00	25.00	82.5	20.63
11/12/2018	QGW033227	WHITE, CLARENCE	APPLE INC	B	8,730.00	200.00	0.00	200.00	82.5	165.00
11/12/2018	QGW033227	WHITE, CLARENCE	KINDER MORGAN INC	S	-8,771.60	75.00	0.00	75.00	82.5	61.88
11/27/2018	QGW033581	RYKEN, MICHAEL	ALIBABA GROUP HOLDING LTD SPONSORED	S	-14,147.26	425.00	0.00	425.00	82.5	350.63
11/19/2018	QGW033847	GOMEZ, SUSANNA	APPLE INC	B	4,649.50	100.00	0.00	100.00	82.5	82.50
11/12/2018	QGW033854	SPATES, JULIUS	APPLE INC	B	2,744.00	35.00	0.00	35.00	82.5	28.88
11/06/2018	QGW037319	PETERSON, GARY	APPLE INC	B	9,123.75	200.00	0.00	200.00	82.5	165.00
11/05/2018	QGW038549	MORAN, STEVEN	APPLE INC	B	7,999.20	100.00	0.00	100.00	82.5	82.50
11/23/2018	QGW038549	MORAN, STEVEN	ROCKWELL COLLINS INC DEL COM STK	S	-10,650.00	50.00	0.00	50.00	82.5	41.25
11/01/2018	QGW039877	CHESTNUT, JONATHAN	APPLE INC	B	5,880.00	180.00	0.00	180.00	82.5	148.50
* STOCKS SUBTOTAL *					\$143,611.81	\$8,047.66	\$0.00	\$8,047.66		\$6,639.48
PRODUCT CATEGORY: OPTIONS										
11/28/2018	QGW032047	SHIER, ANDY	CALL 100 APPLE INC COM EXP 01-18-19	S	-560.00	15.00	0.00	15.00	82.5	12.38
* OPTIONS SUBTOTAL *					\$-560.00	\$15.00	\$0.00	\$15.00		\$12.38
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/30/2018			FEE COUNT - 9		0.00	8.99	0.00	8.99	82.5	7.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$8.99	\$0.00	\$8.99		\$7.42
*** BROKER TRANSACTIONS TOTAL ***					\$143,051.81	\$8,071.65	\$0.00	\$8,071.65		\$6,659.28

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ADJUSTMENTS

11/30/2018		COMMISSION INCOME: QGW003386		0.00	0.00	0.00	0.00		75.00
11/30/2018		COMMISSION INCOME: QGW003386		0.00	0.00	0.00	0.00		12.50
11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.00
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-74.50

BROKER COMMISSION TOTALS

Broker Transactions	\$6,659.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-74.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.09
SIPC Assessment	\$-20.18

Check Amount	\$6,554.51
Year-to-date Earnings	\$86,971.34