

## COMMISSION STATEMENT

November 30, 2018

ANDREW LINDNER  
37 GOLDENEYE LANE

BROKER #: I60 I61 I62  
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

## COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
10/30/2018	QGN005274	OLSTEIN ALL CAP	PROCTER & GAMBLE CO	S	-356,600.00	120.00	6.00	114.00	57.00
11/02/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	S	-240,000.00	90.00	6.00	84.00	42.00
11/02/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	S	240,000.00	-90.00	-6.00	-84.00	-42.00 C
11/02/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	S	-240,000.00	90.00	6.00	84.00	42.00
11/07/2018	QGN005274	OLSTEIN ALL CAP	AON PLC SHS CL A ISIN#GB00B5BT0K07	S	-649,075.60	120.00	6.00	114.00	57.00
11/07/2018	QGN005274	OLSTEIN ALL CAP	STARBUCKS CORP	S	-269,498.80	120.00	6.00	114.00	57.00
11/08/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	S	-246,750.00	90.00	6.00	84.00	42.00
11/15/2018	QGN005274	OLSTEIN ALL CAP	DISCOVERY COMMUNICATIONS INC COM SE B	B	85,500.00	90.00	6.00	84.00	42.00
11/20/2018	QGN005274	OLSTEIN ALL CAP	TAPESTRY INC COM	B	114,750.00	90.00	6.00	84.00	42.00
11/26/2018	QGN005274	OLSTEIN ALL CAP	APPLE INC	B	171,250.00	30.00	6.00	24.00	12.00
11/27/2018	QGN005274	OLSTEIN ALL CAP	CRACKER BARREL OLD COUNTRY STORE IN S	S	-821,172.18	133.68	6.00	127.68	63.84
11/27/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	S	-250,500.00	90.00	6.00	84.00	42.00
11/28/2018	QGN005274	OLSTEIN ALL CAP	DELTA AIR LINES INC DEL COM	S	-175,500.00	90.00	6.00	84.00	42.00
11/13/2018	QGN005282	OPPORTUNITIES,	HENDERSON GROUP PLC REGISTERED SHS	B	114,167.00	150.00	6.00	144.00	72.00
11/19/2018	QGN005282	OPPORTUNITIES,	DELPHI TECHNOLOGIES PLC SHS	B	163,917.74	294.00	6.00	288.00	144.00
11/23/2018	QGN005282	OPPORTUNITIES,	HELEN OF TROY LTD-NEW BERMUDA	S	-263,184.38	56.25	6.00	50.25	25.13
11/27/2018	QGN005282	OPPORTUNITIES,	CRACKER BARREL OLD COUNTRY STORE IN S	S	-182,441.75	29.70	6.00	23.70	11.85
11/13/2018	QGN005308	DIOCESE OF ARLINGTON	HENDERSON GROUP PLC REGISTERED SHS	B	15,983.38	21.00	6.00	15.00	7.50
11/19/2018	QGN005308	DIOCESE OF ARLINGTON	DELPHI TECHNOLOGIES PLC SHS	B	13,381.04	24.00	6.00	18.00	9.00
11/23/2018	QGN005308	DIOCESE OF ARLINGTON	HELEN OF TROY LTD-NEW BERMUDA	S	-17,545.63	3.75	6.00	-2.25	-1.13
11/27/2018	QGN005308	DIOCESE OF ARLINGTON	CRACKER BARREL OLD COUNTRY STORE IN S	S	-9,214.23	1.50	6.00	-4.50	-2.25
11/14/2018	QGN006488	STACEY BRAUN	STEEL DYNAMICS INC COM	S	-317,909.60	240.00	6.00	234.00	117.00
11/14/2018	QGN006488	STACEY BRAUN	WOLVERINE WORLD WIDE INC COMMON STO S	S	-326,210.03	291.00	6.00	285.00	142.50
11/14/2018	QGN006645	ASSOCIATES, STACEY	WOLVERINE WORLD WIDE INC COMMON STO S	S	-1,681.50	1.50	6.00	-4.50	-2.25
10/30/2018	QGV006562	PATRIOT STRATEGY	AURORA CANNABIS INC COM ISIN#CA0515 S	S	-18,240.00	90.00	6.00	84.00	42.00
10/30/2018	QGV006562	PATRIOT STRATEGY	GENERAL ELECTRIC CO	B	103,078.00	500.00	6.00	494.00	247.00
10/30/2018	QGV006562	PATRIOT STRATEGY	INTERNATIONAL BUSINESS MACHINES COR S	S	-289,155.75	125.00	6.00	119.00	59.50
10/30/2018	QGV006562	PATRIOT STRATEGY	INTERNATIONAL BUSINESS MACHINES COR B	B	294,130.50	125.00	6.00	119.00	59.50
10/30/2018	QGV006562	PATRIOT STRATEGY	NEW AGE BEVERAGES CORP COM	S	-19,421.50	150.00	6.00	144.00	72.00
11/01/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI S	S	-51,769.50	250.00	6.00	244.00	122.00
11/01/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTES WEB HOLDINGS INC COM ISI S	S	-51,769.50	250.00	6.00	244.00	122.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
11/01/2018	QGV006562	PATRIOT STRATEGY	CHARLOTTE'S WEB HOLDINGS INC COM	ISI S	51,769.50	-250.00	-6.00	-244.00	50.0 -122.00 C
11/01/2018	QGV006562	PATRIOT STRATEGY	WAYFAIR INC CL A	B	94,400.00	50.00	6.00	44.00	50.0 22.00
11/02/2018	QGV006562	PATRIOT STRATEGY	ADVANCED MICRO DEVICES INC	S	-58,509.25	145.80	6.00	139.80	50.0 69.90
11/02/2018	QGV006562	PATRIOT STRATEGY	GENERAL ELECTRIC CO	S	-183,400.00	600.00	6.00	594.00	50.0 297.00
11/02/2018	QGV006562	PATRIOT STRATEGY	INTERNATIONAL BUSINESS MACHINES CORP	B	60,102.02	25.70	6.00	19.70	50.0 9.85
11/02/2018	QGV006562	PATRIOT STRATEGY	INTERNATIONAL BUSINESS MACHINES CORP	S	-60,652.00	25.70	6.00	19.70	50.0 9.85
11/02/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND	B	112,380.00	50.00	6.00	44.00	50.0 22.00
11/08/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND	B	112,530.00	50.00	6.00	44.00	50.0 22.00
11/08/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM	B	37,613.00	100.00	6.00	94.00	50.0 47.00
11/09/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM	B	65,023.20	200.00	6.00	194.00	50.0 97.00
11/12/2018	QGV006562	PATRIOT STRATEGY	GENERAL ELECTRIC CO	S	-332,898.16	1,253.13	6.00	1,247.13	50.0 623.57
11/12/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM	B	30,000.00	100.00	6.00	94.00	50.0 47.00
11/13/2018	QGV006562	PATRIOT STRATEGY	BOEING CO	B	314,318.43	90.00	6.00	84.00	50.0 42.00
11/13/2018	QGV006562	PATRIOT STRATEGY	QORVO INC COM	B	255,756.80	200.00	6.00	194.00	50.0 97.00
11/15/2018	QGV006562	PATRIOT STRATEGY	GENERAL ELECTRIC CO	S	-372,631.50	1,350.00	6.00	1,344.00	50.0 672.00
11/16/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND	S	-228,905.00	100.00	6.00	94.00	50.0 47.00
11/20/2018	QGV006562	PATRIOT STRATEGY	NVIDIA CORP	S	-225,259.95	150.00	6.00	144.00	50.0 72.00
11/23/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND	S	-173,355.00	75.00	6.00	69.00	50.0 34.50
11/26/2018	QGV006562	PATRIOT STRATEGY	GENERAL MOTORS COMPANY	B	956,695.00	1,250.00	6.00	1,244.00	50.0 622.00
11/26/2018	QGV006562	PATRIOT STRATEGY	ISHARES 20 PLUS YEAR TREASURY BOND	B	172,297.50	75.00	6.00	69.00	50.0 34.50
11/27/2018	QGV006562	PATRIOT STRATEGY	HEALTH INS INNOVATIONS INC COM CL A	B	106,939.00	175.00	6.00	169.00	50.0 84.50
11/27/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM	S	-55,651.20	200.00	6.00	194.00	50.0 97.00
11/28/2018	QGV006562	PATRIOT STRATEGY	JUNIPER NETWORKS	S	-144,500.50	250.00	6.00	244.00	50.0 122.00
11/28/2018	QGV006562	PATRIOT STRATEGY	PYXUS INTL INC COM	S	-30,401.20	100.00	6.00	94.00	50.0 47.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$-2,977,821.60</b>	<b>\$10,031.71</b>	<b>\$306.00</b>	<b>\$9,725.71</b>		<b>\$4,862.86</b>

**PRODUCT CATEGORY: BONDS**

10/31/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.750% 08/1	B	199,062.50	62.50	5.00	57.50	50.0 28.75
11/19/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.750% 08/3	B	74,671.88	50.00	5.00	45.00	50.0 22.50
11/28/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.500% 05/1	B	24,507.81	50.00	5.00	45.00	50.0 22.50
11/19/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 07/3	B	24,890.63	50.00	5.00	45.00	50.0 22.50
11/19/2018	QGN001026	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.750% 08/3	B	19,903.13	50.00	5.00	45.00	50.0 22.50
11/19/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 07/3	B	99,562.50	50.00	5.00	45.00	50.0 22.50
11/19/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.750% 08/3	B	49,781.25	50.00	5.00	45.00	50.0 22.50
11/20/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 07/3	B	99,625.00	50.00	5.00	45.00	50.0 22.50
11/28/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.000% 07/3	B	97,062.50	50.00	5.00	45.00	50.0 22.50
11/19/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 07/3	B	29,868.75	50.00	5.00	45.00	50.0 22.50
11/20/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.875% 07/3	B	24,875.00	50.00	5.00	45.00	50.0 22.50
11/20/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.875% 07/3	B	34,825.00	50.00	5.00	45.00	50.0 22.50
11/29/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 1.375% 12/3	S	-24,976.56	50.00	5.00	45.00	50.0 22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
11/09/2018	QGN001091	STACEY BRAUN	FORD MTR CO DEL NT 4.750% 01/15/43	S	-38,887.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3	B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 08/3	B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.500% 05/1	B	24,507.81	50.00	5.00	45.00	50.0	22.50
11/02/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREASURY NOTE	S	-195,437.50	62.50	5.00	57.50	50.0	28.75
11/19/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.875% 07/3	B	49,750.00	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREASURY NOTE	B	24,609.38	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.875% 07/3	B	49,750.00	50.00	5.00	45.00	50.0	22.50
11/29/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 1.250% 01/3	S	-294,750.00	93.75	5.00	88.75	50.0	44.38
11/19/2018	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875% 07/3	B	29,850.00	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.875% 07/3	B	24,875.00	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 1.375% 02/2	S	-19,943.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.875% 07/3	B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001141	GREAT NECK TEACHERS	UNITED STATES TREAS NTS 2.875% 10/3	B	49,968.75	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001141	GREAT NECK TEACHERS	UNITED STATES TREAS NTS 2.875% 10/3	B	-49,968.75	-50.00	-5.00	-45.00	50.0	-22.50
11/01/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.125% 06/3	B	194,312.50	62.50	5.00	57.50	50.0	28.75
11/01/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 09/3	B	199,062.50	62.50	5.00	57.50	50.0	28.75
11/01/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 10/1	B	199,812.50	62.50	5.00	57.50	50.0	28.75
11/16/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.000% 07/3	B	96,937.50	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 10/3	B	49,968.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 07/3	B	99,390.63	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.750% 08/3	B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 07/3	B	69,715.63	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.875% 07/3	B	164,200.78	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 2.875% 07/3	B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREASURY NOTE	B	24,609.38	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 2.875% 07/3	B	24,859.38	50.00	5.00	45.00	50.0	22.50
11/09/2018	QGN001216	STACEY BRAUN	FORD MTR CO DEL NT 4.750% 01/15/43	S	-27,221.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3	B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3	B	24,906.25	50.00	5.00	45.00	50.0	22.50
10/30/2018	QGN001224	ASSOCIATES, STACEY	MICROSOFT CORP FXD RT SR NT 4.200%	B	20,435.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.750% 08/3	B	19,903.13	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.750% 08/3	B	24,890.63	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.000% 07/3	B	145,406.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.875% 07/3	B	99,562.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.875% 07/3	B	74,695.31	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.000% 07/3	B	96,937.50	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.875% 10/3	B	99,937.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.875% 07/3	B	99,468.75	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.875% 07/3	B	49,765.63	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/31/2018	QGN001398 ASSOCIATES, INC,	GENERAL ELEC CO NT 2.700% 10/09/22	S	-9,473.50	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN001398 ASSOCIATES, INC,	GENERAL ELEC CO NT 2.700% 10/09/22	S	9,473.50	-50.00	-5.00	-45.00	50.0	-22.50 C
11/09/2018	QGN001414 11, TEAMSTERS	FORD MTR CO DEL NT 4.750% 01/15/43	S	-97,218.75	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.875% 10/3	B	99,937.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.875% 07/3	B	149,203.13	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.875% 07/3	B	74,648.44	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.875% 10/3	B	49,968.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.875% 07/3	B	99,562.50	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	ADOBE SYS INC FXD RT NTS 3.250% 02/	S	-24,156.25	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	APPLE INC FXD RT 2.400% 05/03/23	B/ S	-23,781.25	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	AT&T INC FXD RT NT 2.300% 03/11/19	S	-9,962.50	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	AT&T INC SR UNSECURED	S	-33,250.00	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	CHEVRON CORP NEW FXD RT NT 2.419% 1	S	-14,737.50	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	CISCO SYS INC SR NT 4.950% 02/15/19	S	-35,131.25	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	CITIGROUP INC SUB NT 4.000% 08/05/2	S	-24,637.50	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	CONAGRA BRANDS INC SR NT 3.200% 01/	S	-24,125.00	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	LOCKHEED MARTIN CORP SR NT 2.900% 0	S	-18,910.00	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	MERCK & CO INC NEW FXD RT 2.800% 05	S	-24,250.00	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	QUALCOMM INC FXD RT NT 3.450% 05/20	S	-14,272.50	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 11/3	S	-103,850.58	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.375% 08/1	S	-14,467.03	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.875% 11/3	S	-9,681.41	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.250% 01/3	S	-19,607.34	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.500% 01/3	S	-33,409.14	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.375% 04/3	S	-39,122.50	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 11/3	S	-14,429.53	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.125% 02/2	S	-57,568.12	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.500% 03/3	S	-9,382.19	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.125% 08/1	S	-14,662.73	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.375% 11/3	S	-39,942.81	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 02/1	S	-58,172.81	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.125% 07/3	S	-52,354.28	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.250% 07/3	S	-13,844.77	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.000% 06/3	S	-14,836.17	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.625% 10/3	S	-28,080.94	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 02/1	S	-52,792.27	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 07/3	S	-39,369.37	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.125% 08/3	S	-24,641.02	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.250% 12/3	S	-19,269.06	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.250% 02/1	S	-46,801.56	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.750% 04/3 S	-47,965.62	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.500% 05/3 S	-24,464.26	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 05/3 S	-18,947.19	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.375% 05/1 S	-47,184.37	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.500% 08/1 S	-4,445.78	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.375% 08/3 S	-9,271.25	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREASURY NOTE S	-29,463.75	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.750% 04/3 S	-14,839.69	50.00	5.00	45.00	50.0	22.50
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 11/3 S	103,850.58	-50.00	-5.00	-45.00	50.0	-22.50 C
11/13/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 11/3 S	-103,000.08	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875% 07/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREASURY NOTE B	49,140.63	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.875% 07/3 B	49,718.75	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001489	RETIREE, DOCTORS	UNITED STATES TREAS NTS 2.875% 10/3 B	19,987.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001489	RETIREE, DOCTORS	UNITED STATES TREAS NTS 2.875% 07/3 B	14,925.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001497	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.875% 07/3 B	14,920.31	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.875% 07/3 B	99,468.75	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.875% 07/3 B	99,500.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3 B	199,125.00	62.50	5.00	57.50	50.0	28.75
11/20/2018	QGN001554	STACEY BRAUN	UNITED STATES TREASURY NOTE B	98,437.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3 B	288,912.50	90.63	5.00	85.63	50.0	42.82
11/19/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.875% 07/3 B	24,867.19	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.750% 08/3 B	9,951.56	50.00	5.00	45.00	50.0	22.50
11/14/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 08/1 B	48,421.88	50.00	5.00	45.00	50.0	22.50
11/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 08/1 B	96,937.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 3.125% 11/1 B	48,703.13	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3 B	99,500.00	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.875% 10/3 B	199,875.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.875% 07/3 B	248,750.00	78.13	5.00	73.13	50.0	36.57
11/20/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.875% 07/3 B	199,000.00	62.50	5.00	57.50	50.0	28.75
11/28/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.000% 07/3 B	291,093.75	93.75	5.00	88.75	50.0	44.38
10/31/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.625% 08/1 B	497,968.75	156.25	5.00	151.25	50.0	75.63
11/29/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 11 B	341,421.50	50.00	5.00	45.00	50.0	22.50
11/29/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.625% 08/1 B	349,015.63	109.38	5.00	104.38	50.0	52.19
11/29/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.750% 08/1 B	349,343.75	109.38	5.00	104.38	50.0	52.19
11/16/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.875% 10/3 B	24,984.38	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.875% 07/3 B	19,912.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.875% 07/3 B	19,912.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.875% 07/3 B	14,925.00	50.00	5.00	45.00	50.0	22.50
10/30/2018	QGN001968	NO1, BRICKLAYERS	ABBVIE INC FXD RT SR NT 4.500% 05/1 S	-9,205.00	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
10/30/2018	QGN001968	NO1, BRICKLAYERS	BURLINGTON NORTHN SANTA FE LLC FXD S	-13,537.50	50.00	5.00	45.00	50.0	22.50
10/30/2018	QGN001968	NO1, BRICKLAYERS	PEPSICO INC SR NT 4.000% 03/05/42 B S	-14,163.75	50.00	5.00	45.00	50.0	22.50
10/30/2018	QGN001968	NO1, BRICKLAYERS	UNION PAC CORP FXD RT NT 3.375% 02/ S	-12,997.50	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS NTS 1.375% 04/3 S	-19,606.25	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.000% 07/3 B	24,234.38	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.750% 08/3 B	74,636.72	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002024	SAVASTA, MR	UNITED STATES TREAS NTS 2.875% 07/3 B	24,847.66	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.875% 07/3 B	14,934.38	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.500% 05/1 B	19,606.25	50.00	5.00	45.00	50.0	22.50
11/14/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.125% 06/3 B	48,585.94	50.00	5.00	45.00	50.0	22.50
11/14/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 09/1 B	49,812.50	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 07/3 B	96,937.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.875% 07/3 B	74,542.97	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002248	LOCAL 1205 WELFARE	DUKE ENERGY OHIO INC FIRST MORTGAGE S	-50,300.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.875% 07/3 B	49,750.00	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.625% 07/3 S	-49,656.25	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.875% 07/3 B	14,929.69	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.750% 08/1 B	24,882.81	50.00	5.00	45.00	50.0	22.50
11/09/2018	QGN002271	HEALTH AND WELFARE	FORD MTR CO DEL NT 4.750% 01/15/43 S	-7,777.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.875% 07/3 B	34,846.88	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREASURY NOTE B	49,218.75	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.500% 05/1 B	24,507.81	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.875% 07/3 B	19,918.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002305	LOCAL 181 SECURITY,	UNITED STATES TREAS NTS 2.750% 08/3 B	9,956.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.875% 07/3 B	29,868.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.750% 08/3 B	49,757.81	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.750% 08/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREASURY NOTE B	29,531.25	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.500% 05/1 B	24,507.81	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 2.875% 07/3 B	9,950.00	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.000% 07/3 B	48,468.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.875% 07/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.875% 07/3 B	24,875.00	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.500% 05/1 B	24,507.81	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.750% 08/1 B	9,953.13	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.875% 07/3 B	9,951.56	50.00	5.00	45.00	50.0	22.50
11/15/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.375% 08/1 B	33,928.13	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.875% 07/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.750% 08/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.875% 07/3 B	24,867.19	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/19/2018	QGN002503	CITY EMPLOYEES UNION UNITED STATES	TREAS NTS 2.875% 07/3 B	24,890.63	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002503	CITY EMPLOYEES UNION UNITED STATES	TREAS NTS 2.750% 08/3 B	24,890.63	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002503	CITY EMPLOYEES UNION UNITED STATES	TREASURY NOTE B	34,453.13	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002578	NY LABORERS DIST UNITED STATES	TREAS NTS 2.875% 07/3 B	9,946.88	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN002594	CARNEY,, MICHAEL UNITED STATES	TREAS NTS 2.875% 10/3 B	14,990.63	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002594	CARNEY,, MICHAEL UNITED STATES	TREAS NTS 2.875% 07/3 B	9,956.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002636	ROSS FAMILY LTD UNITED STATES	TREAS NTS 2.875% 07/3 B	9,956.25	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002636	ROSS FAMILY LTD UNITED STATES	TREASURY NOTE B	9,843.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002891	STACEY BRAUN ASSOC, UNITED STATES	TREAS NTS 2.875% 07/3 B	124,453.13	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002891	STACEY BRAUN ASSOC, UNITED STATES	TREAS NTS 2.750% 08/3 B	149,343.75	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN002891	STACEY BRAUN ASSOC, UNITED STATES	TREAS NTS 2.875% 07/3 B	149,437.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN002917	LOCAL # 1181, CWA UNITED STATES	TREAS NTS 2.750% 08/3 B	9,951.56	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN003105	YORK STATE PUBLIC UNITED STATES	TREAS NTS 2.875% 07/3 B	9,951.56	50.00	5.00	45.00	50.0	22.50
11/05/2018	QGN003279	VERNON FEDERATION OF UNITED STATES	TREAS NTS 2.250% 02/2 S	-74,437.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN003279	VERNON FEDERATION OF FEDERAL NATL	MTG ASSN WITH 10-DAY C S	-49,617.50	50.00	5.00	45.00	50.0	22.50
11/29/2018	QGN003279	VERNON FEDERATION OF FEDERAL NATL	MTG ASSN WITH 10-DAY C S	-39,680.00	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN003618	OPPENHEIMER & CO UNITED STATES	TREAS NTS 2.875% 10/3 B	24,984.38	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN003618	OPPENHEIMER & CO UNITED STATES	TREAS NTS 2.875% 07/3 B	14,927.34	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN005175	OPPENHEIMER & CO UNITED STATES	TREAS NTS 2.750% 08/3 B	14,934.38	50.00	5.00	45.00	50.0	22.50
11/09/2018	QGN005209	SETTERS LOCAL 84, FORD MTR CO	DEL NT 4.750% 01/15/43 S	-11,666.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN005209	SETTERS LOCAL 84, UNITED STATES	TREAS NTS 2.875% 07/3 B	24,867.19	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005209	SETTERS LOCAL 84, UNITED STATES	TREAS NTS 2.875% 07/3 B	24,875.00	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN005233	ASSOCIATES, STACEY UNITED STATES	TREAS NTS 2.875% 10/3 B	24,984.38	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN005290	STACEY BRAUN ASSOC, UNITED STATES	TREAS NTS 2.000% 07/3 B	145,593.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN005316	LOCAL, LABORERS UNITED STATES	TREAS NTS 2.875% 07/3 B	74,625.00	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005316	LOCAL, LABORERS UNITED STATES	TREASURY NOTE B	49,218.75	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005316	LOCAL, LABORERS UNITED STATES	TREAS NTS 2.875% 07/3 B	99,625.00	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN005316	LOCAL, LABORERS UNITED STATES	TREAS NTS 2.875% 07/3 B	49,718.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN005324	LOCAL 235 WELFARE UNITED STATES	TREAS NTS 2.875% 07/3 B	29,868.75	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005324	LOCAL 235 WELFARE UNITED STATES	TREAS NTS 2.875% 07/3 B	19,900.00	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN005324	LOCAL 235 WELFARE UNITED STATES	TREAS NTS 2.875% 07/3 B	14,927.34	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN005597	LEGAL, CONNECTICUT UNITED STATES	TREAS NTS 2.875% 07/3 B	24,890.63	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005597	LEGAL, CONNECTICUT UNITED STATES	TREAS NTS 1.375% 02/2 S	-24,890.63	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005597	LEGAL, CONNECTICUT UNITED STATES	TREAS NTS 2.875% 07/3 B	19,900.00	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN005597	LEGAL, CONNECTICUT UNITED STATES	TREAS NTS 1.375% 02/2 S	24,890.63	-50.00	-5.00	-45.00	50.0	-22.50 C
11/20/2018	QGN005597	LEGAL, CONNECTICUT UNITED STATES	TREAS NTS 1.375% 02/2 S	-24,914.06	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN005670	ASSOCIATES, STACEY GENERAL ELEC CO	NT 2.700% 10/09/22 S	-9,473.50	50.00	5.00	45.00	50.0	22.50
11/09/2018	QGN006041	TEAMSTERS LOCAL 237 FORD MTR CO	DEL NT 4.750% 01/15/43 S	-23,332.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN006041	TEAMSTERS LOCAL 237 UNITED STATES	TREAS NTS 2.875% 07/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN006223	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.875% 07/3 B	99,562.50	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/28/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.875% 07/3 B	49,757.81	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN006272	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.625% 08/1 B	19,918.75	50.00	5.00	45.00	50.0	22.50
11/09/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS BILLS 0.000% 08 B	294,179.17	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 07/3 B	145,406.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 08/3 B	248,789.06	78.13	5.00	73.13	50.0	36.57
11/28/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 08/3 B	149,250.00	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN006744	F/A/O, STACY BRAUN & GENERAL ELEC CO NT 2.700% 10/09/22 S		-9,473.50	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN006769	ACCOCIATES, STACY	UNITED STATES TREAS NTS 2.750% 08/3 B	29,850.00	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN006769	ACCOCIATES, STACY	UNITED STATES TREAS NTS 2.750% 08/3 B	-29,850.00	-50.00	-5.00	-45.00	50.0	-22.50 C
11/19/2018	QGN026064	YORK STATE COURT	UNITED STATES TREAS NTS 2.750% 08/3 B	14,927.34	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.875% 10/3 B	19,987.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.750% 08/3 B	24,878.91	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.875% 07/3 B	14,929.69	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.875% 07/3 B	19,912.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.875% 07/3 B	19,900.00	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.750% 08/3 B	29,850.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.750% 08/3 B	24,890.63	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.875% 07/3 B	49,734.38	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.875% 07/3 B	74,625.00	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.875% 07/3 B	99,531.25	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 10/3 B	199,875.00	62.50	5.00	57.50	50.0	28.75
11/20/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 07/3 B	248,984.38	78.13	5.00	73.13	50.0	36.57
11/28/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.500% 05/1 B	127,440.63	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 07/3 B	99,515.63	50.00	5.00	45.00	50.0	22.50
11/14/2018	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 2.750% 09/1 B	24,906.25	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 2.000% 07/3 B	24,234.38	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 2.875% 07/3 B	14,934.38	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS BILLS 0.000% 07 S	-162,250.50	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 2.875% 10/3 B	19,987.50	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 2.875% 07/3 B	19,925.00	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 2.875% 07/3 B	9,951.56	50.00	5.00	45.00	50.0	22.50
11/16/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.875% 10/3 B	49,968.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.875% 07/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.750% 08/3 B	24,890.63	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREASURY NOTE B	49,218.75	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.000% 07/3 B	24,257.81	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.875% 07/3 B	49,757.81	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 08/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
10/31/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 08/1 B	99,531.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.875% 07/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50



COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/21/2018	QGN026759	STACEY BRAUN		UNITED STATES TREASURY NOTE	B	73,710.94	50.00	5.00	45.00 50.0 22.50
11/21/2018	QGN026759	STACEY BRAUN		UNITED STATES TREAS NTS 2.875% 07/3 B		74,578.13	50.00	5.00	45.00 50.0 22.50
11/09/2018	QGN026957	LOCAL 177 UNION		FORD MTR CO DEL NT 4.750% 01/15/43 S		-19,443.75	50.00	5.00	45.00 50.0 22.50
11/19/2018	QGN026957	LOCAL 177 UNION		UNITED STATES TREAS NTS 2.875% 07/3 B		49,781.25	50.00	5.00	45.00 50.0 22.50
11/21/2018	QGN026957	LOCAL 177 UNION		UNITED STATES TREAS NTS 2.875% 07/3 B		24,859.38	50.00	5.00	45.00 50.0 22.50
11/28/2018	QGN026957	LOCAL 177 UNION		UNITED STATES TREAS NTS 2.500% 05/1 B		24,507.81	50.00	5.00	45.00 50.0 22.50
11/28/2018	QGN026957	LOCAL 177 UNION		UNITED STATES TREAS NTS 2.000% 07/3 B		48,531.25	50.00	5.00	45.00 50.0 22.50
10/31/2018	QGN027005	LOCAL UNION #313		UNITED STATES TREAS NTS 2.750% 08/1 B		199,062.50	62.50	5.00	57.50 50.0 28.75
11/09/2018	QGN027005	LOCAL UNION #313		FORD MTR CO DEL NT 4.750% 01/15/43 S		-97,218.75	50.00	5.00	45.00 50.0 22.50
11/19/2018	QGN027005	LOCAL UNION #313		UNITED STATES TREAS NTS 2.750% 08/3 B		99,562.50	50.00	5.00	45.00 50.0 22.50
11/20/2018	QGN027005	LOCAL UNION #313		UNITED STATES TREAS NTS 2.875% 07/3 B		199,187.50	62.50	5.00	57.50 50.0 28.75
11/28/2018	QGN027005	LOCAL UNION #313		UNITED STATES TREAS NTS 2.000% 07/3 B		48,515.63	50.00	5.00	45.00 50.0 22.50
11/28/2018	QGN027005	LOCAL UNION #313		UNITED STATES TREAS NTS 2.750% 08/3 B		99,500.00	50.00	5.00	45.00 50.0 22.50
11/28/2018	QGN027088	237, TEAMSTERS		UNITED STATES TREAS NTS 2.875% 07/3 B		119,437.50	50.00	5.00	45.00 50.0 22.50
11/16/2018	QGN027120	HOUSE, VOLUNTARY		UNITED STATES TREAS NTS 2.875% 10/3 B		99,937.50	50.00	5.00	45.00 50.0 22.50
11/20/2018	QGN027120	HOUSE, VOLUNTARY		UNITED STATES TREAS NTS 2.875% 07/3 B		199,000.00	62.50	5.00	57.50 50.0 28.75
11/21/2018	QGN027120	HOUSE, VOLUNTARY		UNITED STATES TREAS NTS 2.875% 07/3 B		99,437.50	50.00	5.00	45.00 50.0 22.50
11/28/2018	QGN027120	HOUSE, VOLUNTARY		UNITED STATES TREAS NTS 2.875% 07/3 B		99,531.25	50.00	5.00	45.00 50.0 22.50
11/09/2018	QGN027146	LOCAL UNION 43 &		FORD MTR CO DEL NT 4.750% 01/15/43 S		-77,775.00	50.00	5.00	45.00 50.0 22.50
11/19/2018	QGN027146	LOCAL UNION 43 &		UNITED STATES TREAS NTS 2.875% 07/3 B		248,476.56	78.13	5.00	73.13 50.0 36.57
11/19/2018	QGN027187	SAVASTA, MR		UNITED STATES TREAS NTS 2.875% 07/3 B		99,562.50	50.00	5.00	45.00 50.0 22.50
11/20/2018	QGN027187	SAVASTA, MR		UNITED STATES TREAS NTS 1.250% 01/3 S		-49,875.00	50.00	5.00	45.00 50.0 22.50
11/20/2018	QGN027187	SAVASTA, MR		UNITED STATES TREAS NTS 2.875% 07/3 B		49,750.00	50.00	5.00	45.00 50.0 22.50
11/28/2018	QGN027187	SAVASTA, MR		UNITED STATES TREAS NTS 2.875% 07/3 B		24,882.81	50.00	5.00	45.00 50.0 22.50
11/19/2018	QGN027195	STACEY BRAUN		UNITED STATES TREAS NTS 2.750% 08/3 B		24,878.91	50.00	5.00	45.00 50.0 22.50
10/31/2018	QGN027229	OF DELAWARE LOCAL		UNITED STATES TREAS NTS 2.750% 08/1 B		99,531.25	50.00	5.00	45.00 50.0 22.50
11/09/2018	QGN027229	OF DELAWARE LOCAL		FORD MTR CO DEL NT 4.750% 01/15/43 S		-116,662.50	50.00	5.00	45.00 50.0 22.50
11/19/2018	QGN027229	OF DELAWARE LOCAL		UNITED STATES TREAS NTS 2.875% 07/3 B		49,781.25	50.00	5.00	45.00 50.0 22.50
11/19/2018	QGN027229	OF DELAWARE LOCAL		UNITED STATES TREAS NTS 2.750% 08/3 B		149,343.75	50.00	5.00	45.00 50.0 22.50
11/16/2018	QGN027286	ANNUITY FUND OF		UNITED STATES TREAS NTS 2.875% 10/3 B		199,875.00	62.50	5.00	57.50 50.0 28.75
11/19/2018	QGN027286	ANNUITY FUND OF		UNITED STATES TREAS NTS 2.875% 07/3 B		149,343.75	50.00	5.00	45.00 50.0 22.50
11/20/2018	QGN027286	ANNUITY FUND OF		UNITED STATES TREAS NTS 2.875% 07/3 B		199,250.00	62.50	5.00	57.50 50.0 28.75
11/28/2018	QGN027286	ANNUITY FUND OF		UNITED STATES TREAS NTS 2.500% 05/1 B		196,062.50	200.00	5.00	195.00 50.0 97.50
11/28/2018	QGN027286	ANNUITY FUND OF		UNITED STATES TREAS NTS 2.500% 05/1 B		-196,062.50	-200.00	-5.00	-195.00 50.0 -97.50 C
11/28/2018	QGN027286	ANNUITY FUND OF		UNITED STATES TREAS NTS 2.500% 05/1 B		196,062.50	62.50	5.00	57.50 50.0 28.75
11/15/2018	QGN027294	UNION LOCAL 731,		UNITED STATES TREAS BDS 3.125% 02/1 B		145,265.63	50.00	5.00	45.00 50.0 22.50
11/15/2018	QGN027294	UNION LOCAL 731,		UNITED STATES TREAS NTS 2.000% 11/1 B		231,406.25	78.13	5.00	73.13 50.0 36.57
11/15/2018	QGN027294	UNION LOCAL 731,		UNITED STATES TREAS NTS 2.125% 06/3 B		292,078.13	93.75	5.00	88.75 50.0 44.38
11/15/2018	QGN027294	UNION LOCAL 731,		UNITED STATES TREAS NTS 2.875% 04/3 B		248,320.31	78.13	5.00	73.13 50.0 36.57
11/15/2018	QGN027294	UNION LOCAL 731,		UNITED STATES TREAS NTS 2.500% 05/3 B		298,593.75	93.75	5.00	88.75 50.0 44.38

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/15/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.750% 09/1 B	298,968.75	93.75	5.00	88.75	50.0	44.38
11/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.125% 11/1 B	242,500.00	78.13	5.00	73.13	50.0	36.57
11/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.000% 07/3 B	290,812.50	93.75	5.00	88.75	50.0	44.38
11/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	284,812.50	93.75	5.00	88.75	50.0	44.38
11/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.875% 07/3 B	298,125.00	93.75	5.00	88.75	50.0	44.38
11/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.625% 06/3 B	296,343.75	93.75	5.00	88.75	50.0	44.38
11/19/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.125% 11/1 B	97,406.25	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.875% 07/3 B	199,125.00	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.875% 07/3 B	-199,125.00	-50.00	-5.00	-45.00	50.0	-22.50 C
11/19/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.875% 07/3 B	199,125.00	62.50	5.00	57.50	50.0	28.75
11/20/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.875% 07/3 B	348,578.13	109.38	5.00	104.38	50.0	52.19
11/14/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.750% 09/1 B	298,875.00	93.75	5.00	88.75	50.0	44.38
11/16/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.125% 02/1 B	242,656.25	78.13	5.00	73.13	50.0	36.57
11/16/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 10/3 B	299,812.50	93.75	5.00	88.75	50.0	44.38
11/19/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.875% 07/3 B	298,687.50	93.75	5.00	88.75	50.0	44.38
11/19/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.750% 08/3 B	99,562.50	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 07/3 B	194,125.00	62.50	5.00	57.50	50.0	28.75
11/19/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.875% 07/3 B	34,825.00	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN027351	LOCAL 1205 PENSION	ABBVIE INC FXD RT SR NT 4.500% 05/1 S	-45,902.50	50.00	5.00	45.00	50.0	22.50
11/21/2018	QGN027351	LOCAL 1205 PENSION	TRAVELERS COS INC SR NT 5.900% 06/0 S	-141,827.00	50.00	5.00	45.00	50.0	22.50
11/26/2018	QGN027351	LOCAL 1205 PENSION	FIFTH THIRD BK CINCINNATI OHIO MEDI S	-24,225.00	50.00	5.00	45.00	50.0	22.50
11/26/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 3.875% 08/1 S	-21,843.75	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.875% 07/3 B	99,562.50	62.50	5.00	57.50	50.0	28.75
11/19/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.875% 07/3 B	-99,562.50	-62.50	-5.00	-57.50	50.0	-28.75 C
11/19/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.875% 07/3 B	99,562.50	50.00	5.00	45.00	50.0	22.50
11/19/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 07/3 B	49,695.31	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREASURY NOTE B	123,046.88	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 07/3 B	114,568.75	50.00	5.00	45.00	50.0	22.50
11/20/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 07/3 B	34,857.81	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.000% 07/3 B	121,289.06	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.875% 07/3 B	74,636.72	50.00	5.00	45.00	50.0	22.50
11/28/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.750% 08/3 B	99,500.00	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$18,386,535.58</u>	<u>\$16,725.06</u>	<u>\$1,545.00</u>	<u>\$15,180.06</u>		<u>\$7,590.14</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$15,408,713.98</u>	<u>\$26,756.77</u>	<u>\$1,851.00</u>	<u>\$24,905.77</u>		<u>\$12,453.00</u>

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-15.00
11/30/2018		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2018		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
11/30/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
11/30/2018		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,353.60

BROKER COMMISSION TOTALS

Broker Transactions	\$12,453.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,353.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-33.45
SIPC Assessment	\$-66.89

Check Amount	\$10,999.06
Year-to-date Earnings	\$50,941.93