



COMMISSION STATEMENT

November 19, 2018

RICHARD PASCALE
 321 Grover Avenue North
 Massapequa Park, NY 11762

BROKER #: 981
 BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/05/2018	***-**-7975 - VAGLIO, JOSEPH	FA 529 COLLEGE PORT-CL C	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00701694614	BUY 17 Units @15.0600						
		* MUTUAL FUNDS SUBTOTAL *	\$250.00	\$1.25	\$0.00	\$1.25		\$1.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
11/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
11/11/2018	***-**-285P - MORMINO, STEVE	PACIFIC LIFE ABRB DTCC	181.67	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: VP71053490	BUY 0 Units @0.0000						
11/12/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
11/14/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	1,000.00	15.00	0.00	15.00	90.0	13.50
	ACCOUNT #: VP71054510	BUY 0 Units @0.0000						
11/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	165.33	1.66	0.00	1.66	90.0	1.49
	ACCOUNT #: VP71058060	BUY 0 Units @0.0000						
11/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	155.75	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: VP71058080	BUY 0 Units @0.0000						
11/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	113.58	1.14	0.00	1.14	90.0	1.03
	ACCOUNT #: VP71058070	BUY 0 Units @0.0000						
11/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	164.42	1.65	0.00	1.65	90.0	1.49
	ACCOUNT #: VP71058050	BUY 0 Units @0.0000						
11/06/2018	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067620	BUY 0 Units @0.0000						
11/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
11/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
11/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
11/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
11/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$3,340.75	\$34.69	\$0.00	\$34.69		\$31.23
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/19/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.92	0.00	1.92	90.0	1.73
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
10/19/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	2.19	0.00	2.19	90.0	1.97
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
10/19/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN MID-CAP GRO A	0.00	1.95	0.00	1.95	90.0	1.76
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
10/19/2018	***-**-8546 - KALANTZOPOULOS,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.01	0.00	2.01	90.0	1.81
	ACCOUNT #: LSR101451	BUY 1 Units @0.0000						
10/19/2018	***-**-3854 - TAYLOR, KATHLEEN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.76	0.00	4.76	90.0	4.28
	ACCOUNT #: LSR103144	BUY 1 Units @0.0000						
10/19/2018	***-**-9101 - PASCALE, DEBRA	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.36	0.00	2.36	90.0	2.12
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
10/19/2018	***-**-9101 - PASCALE, DEBRA	ALLIANZ RCM GLOBAL SMALL-CAP FUND C	0.00	2.71	0.00	2.71	90.0	2.44
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
11/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 COLLEGE PORT-CL A	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
11/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 PORT 2019-CL A	0.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
11/07/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 00701164063	BUY 0 Units @0.0000						
11/07/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.80	0.00	0.80	90.0	0.72
	ACCOUNT #: 00701164071	BUY 0 Units @0.0000						
11/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY 31618H580	0.00	1.31	0.00	1.31	90.0	1.18
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
11/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.33	0.00	2.33	90.0	2.10
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
11/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV EQUITY INCOME CL A	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/07/2018	***-**-885I - TOMASIEWICZ, ACCOUNT #: 00700995913	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	1.60	0.00	1.60	90.0	1.44
11/07/2018	***-**-04IR - DEVECCHIS, ROSE ACCOUNT #: 01438976696	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	90.0	0.47
10/19/2018	***-**-71IN - PASCALE, LYNNE ACCOUNT #: LSR003816	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	14.47	0.00	14.47	90.0	13.02
11/07/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	90.0	1.35
11/07/2018	***-**-9173 - GORDON, DANIEL ACCOUNT #: 00535143713	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	90.0	0.21
10/19/2018	***-**-71IN - PASCALE, LYNNE ACCOUNT #: LSR003816	FIDELITY ADV LARGE CAP-CL A BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	90.0	2.81
10/19/2018	***-**-1469 - GIGLIO, SUSAN ACCOUNT #: LSR102172	FIDELITY ADV LARGE CAP-CL A BUY 1 Units @0.0000	0.00	3.59	0.00	3.59	90.0	3.23
10/19/2018	***-**-3410 - PONSOT, DENIS ACCOUNT #: LSR100803	FIDELITY ADV LEVERAGED CO STOCK CL BUY 1 Units @0.0000	0.00	0.62	0.00	0.62	90.0	0.56
10/19/2018	***-**-1469 - GIGLIO, SUSAN ACCOUNT #: LSR102172	FIDELITY ADV MID CAP II-CL A BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	90.0	1.13
10/19/2018	***-**-3410 - PONSOT, DENIS ACCOUNT #: LSR100803	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	90.0	0.77
10/19/2018	***-**-623I - LEIBOWITZ, IRWIN ACCOUNT #: LSR102156	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	3.81	0.00	3.81	90.0	3.43
11/07/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	90.0	1.37
10/19/2018	***-**-1469 - GIGLIO, SUSAN ACCOUNT #: LSR102172	FIDELITY ADVISOR SMALL-CAP GROWTH F BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	90.0	3.13
11/07/2018	***-**-9173 - GORDON, DANIEL ACCOUNT #: 00535143713	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	90.0	0.11
11/19/2018	***-**-5723 - PONTURO, ACCOUNT #: 77161355101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.86	0.00	3.86	90.0	3.47
11/19/2018	***-**-545P - FELLIN, DIANE ACCOUNT #: 77169971001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	90.0	0.13



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/19/2018	***-**-465P - KALANTZOPOULOS,	NEW YORK 529 TRAILS	0.00	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: 77280070001	BUY 0 Units @0.0000						
11/19/2018	***-**-623I - LEIBOWITZ, IRWIN	NEW YORK 529 TRAILS	0.00	0.41	0.00	0.41	90.0	0.37
	ACCOUNT #: 77287712601	BUY 0 Units @0.0000						
11/19/2018	***-**-895P - POWER, KATHLEEN	NEW YORK 529 TRAILS	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 77321173601	BUY 0 Units @0.0000						
11/19/2018	***-**-775P - RHODES, EDWARD	NEW YORK 529 TRAILS	0.00	0.29	0.00	0.29	90.0	0.26
	ACCOUNT #: 77426669701	BUY 0 Units @0.0000						
11/19/2018	***-**-815P - WILSON, JOHN	NEW YORK 529 TRAILS	0.00	0.85	0.00	0.85	90.0	0.77
		BUY 0 Units @0.0000						
11/19/2018	***-**-515P - PASSARO, MARY	NEW YORK 529 TRAILS	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: 77507742202	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$70.11	\$0.00	\$70.11		\$63.11
*** BROKER TRANSACTIONS TOTAL ***			\$3,590.75	\$106.05	\$0.00	\$106.05		\$95.47

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

10/19/2018	***-**-8826 - LAFRANCE,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: LSR100145	BUY 1 Units @0.0000						
10/19/2018	***-**-553J - OLIVO, EILEEN	AMCAP FUND-A	0.00	0.52	0.00	0.52	90.0	0.47
	ACCOUNT #: LSR101540	BUY 1 Units @0.0000						
10/19/2018	***-**-2838 - WATSON	AMCAP FUND-A	0.00	0.46	0.00	0.46	90.0	0.41
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
10/19/2018	***-**-9481 - WATSON	AMCAP FUND-A	0.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
10/19/2018	***-**-5165 - WATSON	AMCAP FUND-A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
10/19/2018	***-**-1182 - WATSON	AMCAP FUND-A	0.00	0.85	0.00	0.85	90.0	0.77
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
10/19/2018	***-**-553J - OLIVO, EILEEN	AMERICAN MUTUAL FUND-A	0.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: LSR101540	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/19/2018	***-**-1182 - WATSON	AMERICAN MUTUAL FUND-A	0.00	0.72	0.00	0.72	90.0	0.65
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
10/19/2018	***-**-2838 - WATSON	AMERICAN NEW ECONOMY CLASS F1	0.00	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
10/19/2018	***-**-9481 - WATSON	AMERICAN NEW ECONOMY CLASS F1	0.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
11/15/2018	***-**-581R - HOVSEPIAN, ARDA	AURORA CANNABIS INC COM NPV ISIN #C	66.70	19.00	9.00	10.00	90.0	9.00
	ACCOUNT #: LSR009512	BUY 10 Units @6.6700						
11/15/2018	***-**-581R - HOVSEPIAN, ARDA	AURORA CANNABIS INC COM NPV ISIN #C	73.37	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR009512	BUY 11 Units @6.6700						
11/15/2018	***-**-581R - HOVSEPIAN, ARDA	AURORA CANNABIS INC COM NPV ISIN #C	526.54	1.42	0.00	1.42	90.0	1.28
	ACCOUNT #: LSR009512	BUY 79 Units @6.6650						
11/15/2018	***-**-581R - HOVSEPIAN, ARDA	CRONOS GROUP INC COM NPV ISIN #CA22	861.50	22.08	8.50	13.58	90.0	12.22
	ACCOUNT #: LSR009512	BUY 100 Units @8.6150						
10/19/2018	***-**-1182 - WATSON	EUROPACIFIC GROWTH FUND-A	0.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.74	0.00	1.74	90.0	1.57
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.89	0.00	1.89	90.0	1.70
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.16	0.00	2.16	90.0	1.94
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
11/07/2018	***-**-915P - WOO, BENE	FA 529 PORT 2019-CL A	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 01446854794	BUY 0 Units @0.0000						
11/07/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	0.00	10.70	0.00	10.70	90.0	9.63
	ACCOUNT #: 00702446014	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 00700773088	BUY 18 Units @27.4700						
11/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	0.00	3.04	0.00	3.04	90.0	2.74
	ACCOUNT #: 00700773088	BUY 0 Units @0.0000						
11/07/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	0.00	5.79	0.00	5.79	90.0	5.21
	ACCOUNT #: 00704633189	BUY 0 Units @0.0000						
11/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL A	0.00	0.82	0.00	0.82	90.0	0.74
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
11/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL C	0.00	1.98	0.00	1.98	90.0	1.78
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
11/07/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	0.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: 01489789329	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.65	0.00	1.65	90.0	1.49
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.78	0.00	1.78	90.0	1.60
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
11/07/2018	***-**-809I - YANKILEVICH,	FIDELITY 31618H580	0.00	1.78	0.00	1.78	90.0	1.60
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
11/07/2018	***-**-485I - YANKILEVICH,	FIDELITY 31618H580	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
10/19/2018	***-**-60TR - BRILL FAMILY	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	3.33	0.00	3.33	90.0	3.00
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
10/19/2018	***-**-464I - GAMINI, ARUNA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR012623	BUY 1 Units @0.0000						
11/07/2018	***-**-095P - DHANDAPANI,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: 00536397284	BUY 0 Units @0.0000						
11/07/2018	***-**-809I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	3.18	0.00	3.18	90.0	2.86
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
11/07/2018	***-**-485I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.39	0.00	1.39	90.0	1.25
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
11/07/2018	***-**-311I -	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: 01425465406	BUY 0 Units @0.0000						



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RICHARD PASCALE
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BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/19/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105562	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	90.0	1.53
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 6 Units @17.8500	112.50	1.55	0.00	1.55	90.0	1.40
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 2 Units @17.8500	27.00	0.37	0.00	0.37	90.0	0.33
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 8 Units @17.8500	150.00	2.07	0.00	2.07	90.0	1.86
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 2 Units @17.8500	36.00	0.50	0.00	0.50	90.0	0.45
11/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	90.0	1.94
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 1 Units @25.0800	27.00	0.37	0.00	0.37	90.0	0.33
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 4 Units @25.0800	112.50	1.55	0.00	1.55	90.0	1.40
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 1 Units @25.0800	36.00	0.50	0.00	0.50	90.0	0.45
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 6 Units @25.0800	150.00	2.07	0.00	2.07	90.0	1.86
11/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
11/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	90.0	1.47
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 3 Units @32.5100	112.50	1.55	0.00	1.55	90.0	1.40
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 1 Units @32.5100	27.00	0.37	0.00	0.37	90.0	0.33
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 5 Units @32.5100	150.00	2.07	0.00	2.07	90.0	1.86
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 1 Units @32.5100	36.00	0.50	0.00	0.50	90.0	0.45



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
10/19/2018	***-**-9974 - JAKOBSEN, GLENN	FIDELITY ADV FINANCIAL SERVICES CL	0.00	2.58	0.00	2.58	90.0	2.32
	ACCOUNT #: LSR104710	BUY 1 Units @0.0000						
10/26/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	110.19	1.52	0.00	1.52	90.0	1.37
	ACCOUNT #: 01443905136	BUY 8 Units @13.3200						
10/26/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	110.20	1.52	0.00	1.52	90.0	1.37
	ACCOUNT #: 01443905136	BUY 8 Units @13.3200						
10/26/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	137.73	1.90	0.00	1.90	90.0	1.71
	ACCOUNT #: 01443905136	BUY 10 Units @13.3200						
10/26/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	137.75	1.90	0.00	1.90	90.0	1.71
	ACCOUNT #: 01443905136	BUY 10 Units @13.3200						
11/07/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	0.00	9.03	0.00	9.03	90.0	8.13
	ACCOUNT #: 00525061339	BUY 0 Units @0.0000						
11/07/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	0.00	9.06	0.00	9.06	90.0	8.15
	ACCOUNT #: 00525061420	BUY 0 Units @0.0000						
11/07/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	0.00	2.82	0.00	2.82	90.0	2.54
	ACCOUNT #: 01443905136	BUY 0 Units @0.0000						
11/08/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061339	BUY 15 Units @13.6700						
11/08/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061339	BUY 22 Units @13.6700						
11/08/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061420	BUY 22 Units @13.6700						
11/08/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061420	BUY 15 Units @13.6700						
10/26/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	86.73	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: 01488339027	BUY 7 Units @12.8000						
10/26/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	82.72	1.14	0.00	1.14	90.0	1.03
	ACCOUNT #: 01488339027	BUY 6 Units @12.8000						
10/26/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	108.41	1.49	0.00	1.49	90.0	1.34
	ACCOUNT #: 01488339027	BUY 8 Units @12.8000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/26/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 8 Units @12.8000	104.41	1.44	0.00	1.44	90.0	1.30
11/07/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	90.0	0.34
10/19/2018	***-**-27TR - PELL TTEE, ACCOUNT #: LSR010162	FIDELITY ADV GROWTH & INCOME CL C BUY 1 Units @0.0000	0.00	3.70	0.00	3.70	90.0	3.33
10/19/2018	***-**-27IR - PELL, ANDREA ACCOUNT #: LSR010637	FIDELITY ADV GROWTH & INCOME CL C BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	90.0	0.97
10/19/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV GROWTH & INCOME CL C BUY 1 Units @0.0000	0.00	5.10	0.00	5.10	90.0	4.59
10/19/2018	***-**-27TR - PELL TTEE, ACCOUNT #: LSR010162	FIDELITY ADV HEALTH CARE CL C BUY 1 Units @0.0000	0.00	3.97	0.00	3.97	90.0	3.57
10/19/2018	***-**-27IR - PELL, ANDREA ACCOUNT #: LSR010637	FIDELITY ADV HEALTH CARE CL C BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	90.0	1.04
10/19/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV HEALTH CARE CL C BUY 1 Units @0.0000	0.00	7.58	0.00	7.58	90.0	6.82
10/19/2018	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	90.0	0.63
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	3.47	0.00	3.47	90.0	3.12
10/19/2018	***-**-88IN - HOVSEPIAN, ACCOUNT #: LSR011940	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
10/19/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
10/19/2018	***-**-277I - ZALINSKY, ACCOUNT #: LSR100013	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	90.0	0.58
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV INDUSTRIALS CL A BUY 1 Units @0.0000	0.00	4.91	0.00	4.91	90.0	4.42
10/19/2018	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	FIDELITY ADV INT'L CAPITAL APP CL A BUY 1 Units @0.0000	0.00	2.44	0.00	2.44	90.0	2.20
11/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	2.05	0.00	2.05	90.0	1.85



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	90.0	0.82
11/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	90.0	0.32
10/19/2018	***-**-9974 - JAKOBSEN, GLENN ACCOUNT #: LSR104710	FIDELITY ADV LARGE CAP-CL A BUY 1 Units @0.0000	0.00	9.68	0.00	9.68	90.0	8.71
10/19/2018	***-**-9333 - SPINELLI, SONIA ACCOUNT #: LSR102431	FIDELITY ADV LEVERAGED CO STOCK CL BUY 1 Units @0.0000	0.00	0.51	0.00	0.51	90.0	0.46
10/19/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105554	FIDELITY ADV MID CAP II-CL A BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	90.0	1.08
11/07/2018	***-**-5870 - BRUNO, KIM ACCOUNT #: 00510642531	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.54	0.00	1.54	90.0	1.39
11/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV MID CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	90.0	0.66
10/19/2018	***-**-9333 - SPINELLI, SONIA ACCOUNT #: LSR102431	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	90.0	0.67
10/19/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105562	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	90.0	2.18
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 2 Units @15.6800	27.00	0.37	0.00	0.37	90.0	0.33
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 7 Units @15.6800	112.50	1.55	0.00	1.55	90.0	1.40
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 2 Units @15.6800	36.00	0.50	0.00	0.50	90.0	0.45
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 10 Units @15.6800	150.00	2.07	0.00	2.07	90.0	1.86
11/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	90.0	1.90
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV STRATEGIC DIV & INCOME BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	90.0	2.37
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 3 Units @8.9200	27.00	0.37	0.00	0.37	90.0	0.33



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 13 Units @8.9200	112.50	1.55	0.00	1.55	90.0	1.40
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 4 Units @8.9200	36.00	0.50	0.00	0.50	90.0	0.45
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 17 Units @8.9200	150.00	2.07	0.00	2.07	90.0	1.86
11/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	90.0	1.40
10/19/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV TECHNOLOGY CL A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV UTILITIES CL A BUY 1 Units @0.0000	0.00	6.34	0.00	6.34	90.0	5.71
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	90.0	2.81
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADVISOR CORP BOND CLASS A BUY 1 Units @0.0000	0.00	2.55	0.00	2.55	90.0	2.30
10/19/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADVISOR EMERGING ASIA FUND BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
10/19/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADVISOR FREEDOM 2040 BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	90.0	0.07
10/26/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 7 Units @14.9100	99.93	1.38	0.00	1.38	90.0	1.24
10/26/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 13 Units @14.9100	192.48	2.65	0.00	2.65	90.0	2.39
10/26/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 8 Units @14.9100	124.92	1.72	0.00	1.72	90.0	1.55
10/26/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 16 Units @14.9100	240.60	3.31	0.00	3.31	90.0	2.98
11/07/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	2.85	0.00	2.85	90.0	2.57
11/07/2018	***-**-535I - RIOLO, DOROTHEA ACCOUNT #: 01443938483	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	90.0	1.09



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 1 Units @16.8000	18.00	0.25	0.00	0.25	90.0	0.23
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 4 Units @16.8000	75.00	1.03	0.00	1.03	90.0	0.93
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 1 Units @16.8000	24.00	0.33	0.00	0.33	90.0	0.30
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 6 Units @16.8000	100.00	1.38	0.00	1.38	90.0	1.24
11/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	90.0	0.69
10/19/2018	***-**-29IO - WHITE, SHIRLEY ACCOUNT #: LSR012456	FIDELITY ADVISOR MID CAP STOCK CL A BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADVISOR REAL ESTATE FUND C BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	90.0	1.99
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 5 Units @21.8000	112.50	1.55	0.00	1.55	90.0	1.40
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 1 Units @21.8000	27.00	0.37	0.00	0.37	90.0	0.33
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 7 Units @21.8000	150.00	2.07	0.00	2.07	90.0	1.86
10/26/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 2 Units @21.8000	36.00	0.50	0.00	0.50	90.0	0.45
11/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	2.07	0.00	2.07	90.0	1.86
11/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	90.0	0.83
11/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.82	0.00	1.82	90.0	1.64
10/19/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105554	FIDELITY ADVISOR SMALL-CAP GROWTH F BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	90.0	1.46
11/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	90.0	0.95



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/19/2018	***-**-1182 - WATSON	FUNDAMENTAL INVESTORS-A	0.00	0.37	0.00	0.37	90.0	0.33
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
10/19/2018	***-**-2838 - WATSON	GLOBAL FUND A	0.00	0.44	0.00	0.44	90.0	0.40
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
10/19/2018	***-**-9481 - WATSON	GLOBAL FUND A	0.00	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
10/19/2018	***-**-5165 - WATSON	GLOBAL FUND A	0.00	0.64	0.00	0.64	90.0	0.58
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
11/19/2018	***-**-75IN - WATSON, SANDRA	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	1.22	0.00	1.22	90.0	1.10
		BUY 0 Units @0.0000						
11/19/2018	***-**-24IN - BONGORT,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: 91119450	BUY 0 Units @0.0000						
10/19/2018	***-**-2816 - CALDERA,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	6.37	0.00	6.37	90.0	5.73
	ACCOUNT #: LSR103039	BUY 1 Units @0.0000						
10/19/2018	***-**-4434 - CALDERA,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	3.64	0.00	3.64	90.0	3.28
	ACCOUNT #: LSR108855	BUY 1 Units @0.0000						
10/19/2018	***-**-242I - KOUNOUKLOS,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR005991	BUY 1 Units @0.0000						
10/19/2018	***-**-36IR - KOUNOUKLOS,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: LSR006009	BUY 1 Units @0.0000						
10/19/2018	***-**-99IO - COHEN	GRIFFIN INST ACCESS REALESTATE FD C	0.00	2.10	0.00	2.10	90.0	1.89
	ACCOUNT #: LSR008370	BUY 1 Units @0.0000						
10/19/2018	***-**-06IN - MARK, SCOTT	GRIFFIN INST ACCESS REALESTATE FD C	0.00	7.47	0.00	7.47	90.0	6.72
	ACCOUNT #: LSR010056	BUY 1 Units @0.0000						
10/19/2018	***-**-17IR - TRAUTMAN, SHERRI	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.23	0.00	0.23	90.0	0.21
	ACCOUNT #: LSR010246	BUY 1 Units @0.0000						
10/19/2018	***-**-75TR - WATSON TTEE,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	1.48	0.00	1.48	90.0	1.33
	ACCOUNT #: LSR010292	BUY 1 Units @0.0000						
10/19/2018	***-**-36IN - KOUNOUKLOS	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR010363	BUY 1 Units @0.0000						
10/19/2018	***-**-236J - KOUNOUKLOS,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.99	0.00	0.99	90.0	0.89
	ACCOUNT #: LSR010580	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/19/2018	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	90.0	0.89
10/19/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	9.87	0.00	9.87	90.0	8.88
10/19/2018	***-**-24IO - REICH COHEN, ACCOUNT #: LSR011560	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	90.0	1.48
10/19/2018	***-**-809I - YANKILEVICH, ACCOUNT #: LSR011625	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	90.0	0.41
10/19/2018	***-**-88IN - HOVSEPIAN, ACCOUNT #: LSR011940	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	90.0	0.55
10/19/2018	***-**-92IN - GIANNASCO, ACCOUNT #: LSR012648	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
10/19/2018	***-**-0218 - MARK CUSTODIAN, ACCOUNT #: LSR100838	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	90.0	0.43
10/19/2018	***-**-9659 - MARK CUSTODIAN, ACCOUNT #: LSR100854	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	90.0	0.43
11/12/2018	***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820	LINCOLN FIN VA 533786166 BUY 0 Units @0.0000	1,611.99	80.60	0.00	80.60	90.0	72.54
11/12/2018	***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820	LINCOLN FIN VA 533786166 BUY 0 Units @0.0000	1,611.99	80.60	0.00	80.60	90.0	72.54
10/19/2018	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	90.0	0.79
10/19/2018	***-**-2838 - WATSON ACCOUNT #: LSR106100	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	90.0	0.67
10/19/2018	***-**-9481 - WATSON ACCOUNT #: LSR106135	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	90.0	0.20
10/19/2018	***-**-5165 - WATSON ACCOUNT #: LSR106151	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	90.0	0.23
10/19/2018	***-**-1182 - WATSON ACCOUNT #: LSR106160	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	90.0	0.52
11/19/2018	***-**-9385 - JOSEPH, BRIAN ACCOUNT #: 77094508001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.90	0.00	2.90	90.0	2.61



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/19/2018	***-**-7673 - MORRISON, ACCOUNT #: 77152277401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	8.21	0.00	8.21	90.0	7.39
11/19/2018	***-**-446J - RUBIN ACCOUNT #: 77334416801	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.73	0.00	3.73	90.0	3.36
11/19/2018	***-**-7724 - NANDAA, NEIL ACCOUNT #: 77348040901	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.84	0.00	2.84	90.0	2.56
11/19/2018	***-**-18IN - WATSON ACCOUNT #: 77398834701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	90.0	0.45
11/19/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	13.63	0.00	13.63	90.0	12.27
11/19/2018	***-**-405P - KITOGRAJ, JAY ACCOUNT #: 77739941101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.65	0.00	1.65	90.0	1.49
10/19/2018	***-**-2838 - WATSON ACCOUNT #: LSR106100	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	90.0	0.26
10/19/2018	***-**-9481 - WATSON ACCOUNT #: LSR106135	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	90.0	0.54
10/19/2018	***-**-5165 - WATSON ACCOUNT #: LSR106151	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	90.0	0.60
10/19/2018	***-**-1182 - WATSON ACCOUNT #: LSR106160	OPPENHEIMER DISCOVERY CLASS A BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	90.0	0.50
10/19/2018	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	OPPENHEIMER GLOBAL CLASS C BUY 1 Units @0.0000	0.00	5.27	0.00	5.27	90.0	4.74
11/12/2018	***-**-809I - YANKILEVICH, ACCOUNT #: VP65924490	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	350.00	3.50	0.00	3.50	90.0	3.15
11/15/2018	***-**-8599 - COHEN, STUART ACCOUNT #: VP65920220	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	90.0	4.50
11/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
11/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
11/15/2018	***-**-9012 - GIUFFRE, RICHARD ACCOUNT #: VP65345830	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	250.00	1.25	0.00	1.25	90.0	1.13



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/15/2018	***-**-9012 - GIUFFRE, RICHARD	PACIFIC LIFE EXEC II DTCC	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: VP65345830	BUY 0 Units @0.0000						
11/16/2018	***-**-6017 - DILL, ROWAN	PACIFIC LIFE EXEC II DTCC	210.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: VP65524490	BUY 0 Units @0.0000						
11/16/2018	***-**-6017 - DILL, ROWAN	PACIFIC LIFE EXEC II DTCC	210.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: VP65524490	BUY 0 Units @0.0000						
11/04/2018	***-**-5634 - BLOCH, RAMNIT	PACIFIC LIFE SE5-NYB DTCC	50.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71073350	BUY 0 Units @0.0000						
11/06/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	10.00	0.15	0.00	0.15	90.0	0.14
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
11/06/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	125.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
11/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
11/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
11/05/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	2.25	0.00	2.25	90.0	2.03
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
11/05/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	6.75	0.00	6.75	90.0	6.08
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
10/19/2018	***-**-4673 - PEPE, JOANN	QUEST BALANCED A	0.00	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
10/19/2018	***-**-9132 - WOLOWITZ, CAROL	THE BOND FUND OF AMERICA-C	0.00	1.01	0.00	1.01	90.0	0.91
	ACCOUNT #: LSR101753	BUY 1 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$15,113.16	\$572.16	\$17.50	\$554.66		\$499.34
*** SPLIT TRANSACTIONS TOTAL ***			\$15,113.16	\$572.16	\$17.50	\$554.66		\$499.34



BROKER #: 981
BRANCH #01033 - 33 - PASCALE

				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						<u>BROKER COMMISSION TOTALS</u>		
						Broker Transactions		\$95.47
						Split Transactions		\$499.34
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$594.81
						Year-to-date Earnings		\$59,954.55