



COMMISSION STATEMENT

September 17, 2018

GARRETT MORETZ181 North Main Street, Ste 208
Mooresville, NC 28115**BROKER #: B09**

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/13/2018	***-**-13IR - RUSSELL, MARK	AMERICAFIRST LARGE CAP	2,150.01	97.42	18.00	79.42	82.0	65.12
	ACCOUNT #: LSR012813	BUY 167 Units @12.9100						
09/13/2018	***-**-94IR - RUSSELL, LYNN	MORGAN STANLEY GROWTH PORT A-NFS	2,050.01	102.50	18.00	84.50	82.0	69.29
	ACCOUNT #: LSR011980	BUY 39 Units @52.5200						
09/13/2018	***-**-13IR - RUSSELL, MARK	MORGAN STANLEY GROWTH PORT A-NFS	2,150.01	107.50	18.00	89.50	82.0	73.39
	ACCOUNT #: LSR012813	BUY 41 Units @52.5200						
09/13/2018	***-**-94IR - RUSSELL, LYNN	NEW PERSPECTIVE FUND-A	2,050.02	102.50	18.00	84.50	82.0	69.29
	ACCOUNT #: LSR011980	BUY 42 Units @49.1400						
09/13/2018	***-**-13IR - RUSSELL, MARK	NEW PERSPECTIVE FUND-A	2,150.02	107.50	18.00	89.50	82.0	73.39
	ACCOUNT #: LSR012813	BUY 44 Units @49.1400						
* MUTUAL FUNDS SUBTOTAL *			\$10,550.07	\$517.42	\$90.00	\$427.42		\$350.48
<u>PRODUCT CATEGORY: RIA</u>								
09/17/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	1,487.39	0.00	1,487.39	82.0	1,219.66
		BUY 1 Units @0.0000						
09/18/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	82.82	82.82	0.00	82.82	82.0	67.91
	ACCOUNT #: RH0813100D	BUY 0 Units @0.0000						
09/18/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	67.65	67.65	0.00	67.65	82.0	55.47
	ACCOUNT #: RH24513009	BUY 0 Units @0.0000						
09/18/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	103.40	103.40	0.00	103.40	82.0	84.79
	ACCOUNT #: RE1889900Z	BUY 0 Units @0.0000						
09/18/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	38.42	38.42	0.00	38.42	82.0	31.50
	ACCOUNT #: RE1910700J	BUY 0 Units @0.0000						
09/18/2018	***-**-40FB - BOHNAKER, ANGELA	EQIS ADVISOR FEES	40.93	40.93	0.00	40.93	82.0	33.56
	ACCOUNT #: RC6490700F	BUY 0 Units @0.0000						
09/18/2018	***-**-95FB - BROWN, SUSAN	EQIS ADVISOR FEES	82.54	82.54	0.00	82.54	82.0	67.68
	ACCOUNT #: RD9347700L	BUY 0 Units @0.0000						
09/18/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	78.69	78.69	0.00	78.69	82.0	64.53
	ACCOUNT #: RD90457005	BUY 0 Units @0.0000						
09/18/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	175.67	175.67	0.00	175.67	82.0	144.05
	ACCOUNT #: RD9898400H	BUY 0 Units @0.0000						
09/18/2018	***-**-71FB - CARPENTIER,	EQIS ADVISOR FEES	27.08	27.08	0.00	27.08	82.0	22.21
	ACCOUNT #: RC9591500B	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
09/18/2018	***-**-77FB - CLAYTON, HORACE	EQIS ADVISOR FEES	179.12	179.12	0.00	179.12	82.0	146.88
	ACCOUNT #: RD9998300X	BUY 0 Units @0.0000						
09/18/2018	***-**-68FB - CLAYTON, LINDA	EQIS ADVISOR FEES	40.99	40.99	0.00	40.99	82.0	33.61
	ACCOUNT #: RE0183300B	BUY 0 Units @0.0000						
09/18/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	27.08	27.08	0.00	27.08	82.0	22.21
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
09/18/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	37.99	37.99	0.00	37.99	82.0	31.15
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
09/18/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	42.51	42.51	0.00	42.51	82.0	34.86
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
09/18/2018	***-**-36FB - CLINGON, KAREN	EQIS ADVISOR FEES	36.50	36.50	0.00	36.50	82.0	29.93
	ACCOUNT #: RE3070500V	BUY 0 Units @0.0000						
09/18/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	9.37	9.37	0.00	9.37	82.0	7.68
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
09/18/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	33.59	33.59	0.00	33.59	82.0	27.54
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
09/18/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	53.67	53.67	0.00	53.67	82.0	44.01
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
09/18/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	45.59	45.59	0.00	45.59	82.0	37.38
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
09/18/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	240.84	240.84	0.00	240.84	82.0	197.49
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
09/18/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.99	14.99	0.00	14.99	82.0	12.29
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
09/18/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	138.75	138.75	0.00	138.75	82.0	113.78
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
09/18/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.37	6.37	0.00	6.37	82.0	5.22
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
09/18/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	30.85	30.85	0.00	30.85	82.0	25.30
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						
09/18/2018	***-**-68FB - GLAUDIN, EDDY	EQIS ADVISOR FEES	31.97	31.97	0.00	31.97	82.0	26.22
	ACCOUNT #: RB8331200P	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
09/18/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	123.56	123.56	0.00	123.56	82.0	101.32
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
09/18/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	46.48	46.48	0.00	46.48	82.0	38.11
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
09/18/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	131.38	131.38	0.00	131.38	82.0	107.73
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						
09/18/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES	24.07	24.07	0.00	24.07	82.0	19.74
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
09/18/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES	21.85	21.85	0.00	21.85	82.0	17.92
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
09/18/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	117.96	117.96	0.00	117.96	82.0	96.73
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						
09/18/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	57.30	57.30	0.00	57.30	82.0	46.99
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						
09/18/2018	***-**-45FB - HULL, DEBORAH	EQIS ADVISOR FEES	15.02	15.02	0.00	15.02	82.0	12.32
	ACCOUNT #: RG98804003	BUY 0 Units @0.0000						
09/18/2018	***-**-13FB - HULL, GARY	EQIS ADVISOR FEES	68.36	68.36	0.00	68.36	82.0	56.06
	ACCOUNT #: RE2367800J	BUY 0 Units @0.0000						
09/18/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES	17.95	17.95	0.00	17.95	82.0	14.72
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
09/18/2018	***-**-26FB - KOMINEK, DAVID	EQIS ADVISOR FEES	116.52	116.52	0.00	116.52	82.0	95.55
	ACCOUNT #: RD9045800X	BUY 0 Units @0.0000						
09/18/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES	11.64	11.64	0.00	11.64	82.0	9.54
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
09/18/2018	***-**-88FB - LITTLETON,	EQIS ADVISOR FEES	42.65	42.65	0.00	42.65	82.0	34.97
	ACCOUNT #: RB0358400H	BUY 0 Units @0.0000						
09/18/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES	41.67	41.67	0.00	41.67	82.0	34.17
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						
09/18/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	33.11	33.11	0.00	33.11	82.0	27.15
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						
09/18/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	90.21	90.21	0.00	90.21	82.0	73.97
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
09/18/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	21.07	21.07	0.00	21.07	82.0	17.28
	ACCOUNT #: RD5247000H	BUY 0 Units @0.0000						
09/18/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	20.02	20.02	0.00	20.02	82.0	16.42
	ACCOUNT #: RD52495005	BUY 0 Units @0.0000						
09/18/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	63.54	63.54	0.00	63.54	82.0	52.10
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
09/18/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	14.60	14.60	0.00	14.60	82.0	11.97
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
09/18/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	78.27	78.27	0.00	78.27	82.0	64.18
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
09/18/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	2.37	2.37	0.00	2.37	82.0	1.94
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						
09/18/2018	***-**-70FB - FERRELL,	EQIS ADVISOR FEES	52.92	52.92	0.00	52.92	82.0	43.39
	ACCOUNT #: RB50708009	BUY 0 Units @0.0000						
09/18/2018	***-**-759I - MERRILL, SARA	EQIS ADVISOR FEES	24.77	24.77	0.00	24.77	82.0	20.31
	ACCOUNT #: RB5875400D	BUY 0 Units @0.0000						
09/18/2018	***-**-58FB - NELSON-GAINES,	EQIS ADVISOR FEES	13.38	13.38	0.00	13.38	82.0	10.97
	ACCOUNT #: RH0166600L	BUY 0 Units @0.0000						
09/18/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	66.83	66.83	0.00	66.83	82.0	54.80
	ACCOUNT #: RG9534800V	BUY 0 Units @0.0000						
09/18/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	21.20	21.20	0.00	21.20	82.0	17.38
	ACCOUNT #: RG9885700V	BUY 0 Units @0.0000						
09/18/2018	***-**-77FB - PECK, GORDON	EQIS ADVISOR FEES	34.10	34.10	0.00	34.10	82.0	27.96
	ACCOUNT #: RE14731003	BUY 0 Units @0.0000						
09/18/2018	***-**-98FB - PFYFFER, ALBERT	EQIS ADVISOR FEES	39.69	39.69	0.00	39.69	82.0	32.55
	ACCOUNT #: RE1500600F	BUY 0 Units @0.0000						
09/18/2018	***-**-43FB - RODES, KENNETH	EQIS ADVISOR FEES	89.02	89.02	0.00	89.02	82.0	73.00
	ACCOUNT #: RE1686200F	BUY 0 Units @0.0000						
09/18/2018	***-**-13FB - RUSSELL, MARK	EQIS ADVISOR FEES	33.01	33.01	0.00	33.01	82.0	27.07
	ACCOUNT #: RG9722700B	BUY 0 Units @0.0000						
09/18/2018	***-**-51FB - SCHMITT, CAROL	EQIS ADVISOR FEES	78.24	78.24	0.00	78.24	82.0	64.16
	ACCOUNT #: RD9797400D	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
09/18/2018	***-**-67FB - SCHMITT, EDWARD	EQIS ADVISOR FEES	15.72	15.72	0.00	15.72	82.0	12.89
	ACCOUNT #: RE0237700Z	BUY 0 Units @0.0000						
09/18/2018	***-**-52FB - SCHNABEL,	EQIS ADVISOR FEES	71.89	71.89	0.00	71.89	82.0	58.95
	ACCOUNT #: RH00769005	BUY 0 Units @0.0000						
09/18/2018	***-**-94FB - SWAN, ANDREW	EQIS ADVISOR FEES	36.47	36.47	0.00	36.47	82.0	29.91
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
09/18/2018	***-**-97FB - SWAN, MELANIE	EQIS ADVISOR FEES	25.36	25.36	0.00	25.36	82.0	20.80
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
09/18/2018	***-**-38FB - TOLLIVER, GLORIA	EQIS ADVISOR FEES	5.78	5.78	0.00	5.78	82.0	4.74
	ACCOUNT #: RD5984200P	BUY 0 Units @0.0000						
09/18/2018	***-**-29FB - TOLLIVER, SHANE	EQIS ADVISOR FEES	9.06	9.06	0.00	9.06	82.0	7.43
	ACCOUNT #: RD95555005	BUY 0 Units @0.0000						
09/18/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES	5.77	5.77	0.00	5.77	82.0	4.73
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
09/18/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES	181.23	181.23	0.00	181.23	82.0	148.61
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$3,631.42	\$5,118.81	\$0.00	\$5,118.81		\$4,197.44

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	***-**-1570 - YOUNG, ROBERT	AMCAP FUND-A	0.00	5.23	0.00	5.23	82.0	4.29
	ACCOUNT #: 00060071420	BUY 0 Units @0.0000						
09/04/2018	***-**-1570 - YOUNG, ROBERT	AMERICAN MUTUAL FUND-A	0.00	4.75	0.00	4.75	82.0	3.90
	ACCOUNT #: 00060071420	BUY 0 Units @0.0000						
09/04/2018	***-**-5195 - BROWN, SUSAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.21	0.00	0.21	82.0	0.17
	ACCOUNT #: 00073149394	BUY 0 Units @0.0000						
09/04/2018	***-**-5195 - BROWN, SUSAN	NEW WORLD FUND-529A	0.00	1.28	0.00	1.28	82.0	1.05
	ACCOUNT #: 00073149394	BUY 0 Units @0.0000						
09/04/2018	***-**-5195 - BROWN, SUSAN	THE GROWTH FUND OF AMERICA-529A	0.00	3.10	0.00	3.10	82.0	2.54
	ACCOUNT #: 00073149394	BUY 0 Units @0.0000						
09/04/2018	***-**-5195 - BROWN, SUSAN	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.58	0.00	0.58	82.0	0.48
	ACCOUNT #: 00073149394	BUY 0 Units @0.0000						
09/04/2018	***-**-5203 - ALLRED, PATSY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	20.40	0.00	20.40	82.0	16.73
	ACCOUNT #: 00084622571	BUY 0 Units @0.0000						



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<div>ADJUSTMENTS (continued)</div>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.55	\$0.00	\$35.55		\$29.16
		*** BROKER TRANSACTIONS TOTAL ***	\$14,181.49	\$5,671.78	\$90.00	\$5,581.78		\$4,577.08
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$4,577.08
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$93.92
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,671.00
						Year-to-date Earnings		\$64,860.14