

COMMISSION STATEMENT May 31, 2018

RIX SMITH-D063

BROKER #: D063

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/30/2018	676349914	SMITH TTEE, RIX	S	WILLIAMS COMPANIES INC (DEL)	B	2,596.44	15.00	15.00	0.00 48.0 0.00
05/14/2018	676349914	SMITH TTEE, RIX	S	NUTRIEN LTD COM ISIN	B	3,030.64	15.00	15.00	0.00 48.0 0.00
05/23/2018	676349914	SMITH TTEE, RIX	S	ENBRIDGE INC ISIN CA29250N1050	B	3,158.44	15.00	15.00	0.00 48.0 0.00
05/29/2018	676349914	SMITH TTEE, RIX	S	DOMINION RESOURCES INC/VA NEW	B	4,829.08	15.00	15.00	0.00 48.0 0.00
05/29/2018	676349914	SMITH TTEE, RIX	S	SCANA CORP NEW	S	-3,542.53	15.00	15.00	0.00 48.0 0.00
05/29/2018	676349914	SMITH TTEE, RIX	S	VANECK VECTORS INDONESIA INDEX	S	-2,231.53	15.00	15.00	0.00 48.0 0.00
05/14/2018	677511653	SMITH, DEBORAH	S	NEWELL BRANDS, INC FORMERLY	B	2,730.95	15.00	15.00	0.00 48.0 0.00
05/22/2018	677511653	SMITH, DEBORAH	S	ENBRIDGE INC ISIN CA29250N1050	B	3,190.44	15.00	15.00	0.00 48.0 0.00
				* STOCKS SUBTOTAL *		\$13,761.93	\$120.00	\$120.00	\$0.00 \$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
05/14/2018	676349914	SMITH TTEE, RIX	B	FORTH WORTH TEX CTFS OBLIG	B	34,155.10	15.05	15.00	0.05 48.0 0.02
05/14/2018	676349914	SMITH TTEE, RIX	B	FORTH WORTH TEX CTFS OBLIG	B	-34,155.10	-15.05	-15.00	-0.05 48.0 -0.02
05/14/2018	676349914	SMITH TTEE, RIX	B	FORTH WORTH TEX CTFS OBLIG	B	34,155.10	15.05	15.00	0.05 48.0 0.02
05/16/2018	677238568	SCHNEIDER, LAURA	B	POTTER CNTY TEX CTFS OBLIG 2016	B	24,705.87	250.00	15.00	235.00 48.0 112.80
05/16/2018	677238568	SCHNEIDER, LAURA	B	POTTER CNTY TEX CTFS OBLIG 2016	B	-24,705.87	-250.00	-15.00	-235.00 48.0 -112.80
05/16/2018	677238568	SCHNEIDER, LAURA	B	POTTER CNTY TEX CTFS OBLIG 2016	B	24,705.87	250.00	15.00	235.00 48.0 112.80
				* BONDS SUBTOTAL *		\$58,860.97	\$265.05	\$30.00	\$235.05 \$112.82
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/21/2018	mfc595454	SMITH RIX 595454	MFT	DEVELOPING WORLD GROWTH &	B	0.00	1.09	0.00	1.09 48.0 0.52
05/21/2018	mfc595454	SMITH RIX 595454	MFT	LORD ABBETT SHORT DURATION	B	0.00	3.00	0.00	3.00 48.0 1.44
05/21/2018	mfc595454	SMITH RIX 595454	MFT	TEMP FRGN FND - CL A	B	0.00	3.44	0.00	3.44 48.0 1.65
05/21/2018	mfc595454	SMITH RIX 595454	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	6.11	0.00	6.11 48.0 2.93
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$13.64	\$0.00	\$13.64 \$6.54
				*** CLEARING TRANSACTIONS TOTAL ***		\$72,622.90	\$398.69	\$150.00	\$248.69 \$119.36

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SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

05/03/2018	492637897	PENNY-MCELROY TTEE, S	CUSIP 8821175E0	B	22,466.93	225.00	7.50	217.50	104.40
05/09/2018	492637897	PENNY-MCELROY TTEE, B	RICHARDSON TEX GO BDS 2016 B	B	10,008.70	100.00	7.50	92.50	44.40
05/16/2018	676356642	SMITH, RANDALL	POTTER CNTY TEX CTFS OBLIG 2016 B	B	14,823.28	150.00	7.50	142.50	68.40
05/16/2018	676356642	SMITH, RANDALL	POTTER CNTY TEX CTFS OBLIG 2016 B	B	-14,823.28	-150.00	-7.50	-142.50	-68.40
05/16/2018	676356642	SMITH, RANDALL	POTTER CNTY TEX CTFS OBLIG 2016 B	B	14,823.28	150.00	7.50	142.50	68.40
05/21/2018	mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.25	0.00	3.25	1.56
05/21/2018	mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	3.97	0.00	3.97	1.91
05/21/2018	mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.74	0.00	5.74	2.76
05/21/2018	mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	8.57	0.00	8.57	4.11
05/21/2018	mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	8.97	0.00	8.97	4.31
05/21/2018	mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.47	0.00	1.47	0.71
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *					<u>\$47,298.91</u>	<u>\$506.97</u>	<u>\$22.50</u>	<u>\$484.47</u>	<u>\$232.56</u>
*** SPLIT TRANSACTIONS TOTAL ***					<u>\$47,298.91</u>	<u>\$506.97</u>	<u>\$22.50</u>	<u>\$484.47</u>	<u>\$232.56</u>

REP LINK TRANSACTIONSBROKER: RIX SMITH-D091 #105

05/04/2018	491460657	MOORES, MICHAEL	FRONTIER COMM CORP	S	-56,378.75	18.00	15.00	3.00	1.44
05/04/2018	491460657	MOORES, MICHAEL	SUNOCO LOGISTICS ETP	B	-52,457.15	-18.00	-15.00	-3.00	-1.44
05/04/2018	491460657	MOORES, MICHAEL	SUNOCO LOGISTICS ETP	B	52,457.15	18.00	15.00	3.00	1.44
05/04/2018	491460657	MOORES, MICHAEL	SUNOCO LOGISTICS ETP	B	52,457.15	18.00	15.00	3.00	1.44
* RIX SMITH-D091 SUBTOTAL *					<u>\$-3,921.60</u>	<u>\$36.00</u>	<u>\$30.00</u>	<u>\$6.00</u>	<u>\$2.88</u>
*** REP LINK TRANSACTIONS TOTAL ***					<u>\$-3,921.60</u>	<u>\$36.00</u>	<u>\$30.00</u>	<u>\$6.00</u>	<u>\$2.88</u>

ADJUSTMENTS

05/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	719.01
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$719.01</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$122.24
Split Transactions	\$232.56
Override Transactions	\$0.00
Adjustments	\$719.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,073.81
Year-to-date Commissions	\$10,179.60