

COMMISSION STATEMENT

October 15, 2018

 BRIAN MAPES-D040
 6936 Angling Road

 BROKER #: D040
 BRANCH: DMN

Portage, MI 49024

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/28/2018	00075506553	ROBIN L MCENROE	MF	WASHINGTON MUTUAL INVESTORS	B	-20,000.00	-550.00	0.00	-550.00	85.0	-467.50
				* MUTUAL FUNDS SUBTOTAL *		\$-20,000.00	\$-550.00	\$0.00	\$-550.00		\$-467.50
PRODUCT CATEGORY: VARIABLE ANNUITIES											
10/01/0201	7200011302	MARTIN	VA	SAMMONS FINANCIAL	B	7,431.02	3.10	0.00	3.10	85.0	2.64
10/02/0201	7200012804	THOMAN	VA	SAMMONS FINANCIAL	B	120,467.52	50.19	0.00	50.19	85.0	42.66
				* VARIABLE ANNUITIES SUBTOTAL *		\$127,898.54	\$53.29	\$0.00	\$53.29		\$45.30
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/30/2018	(None)	VARIOUS, MAPES	MFT	JOHN HANCOCK FREEDOM	B	0.00	21.58	0.00	21.58	85.0	18.34
09/30/2018	(None)	HISCOCK	MFT	PACIFIC FUNDS 12B-1	B	0.00	7.79	0.00	7.79	85.0	6.62
10/01/2018	00777056437	HOEKSTRA, TJEERD	MFT	INVESCO MID CAP GROWTH FUND -	B	0.00	7.80	0.00	7.80	85.0	6.63
10/01/2018	00777056437	HOEKSTRA, TJEERD	MFT	INVESCO VALUE OPPORTUNITIES	B	0.00	5.53	0.00	5.53	85.0	4.70
10/01/2018	00777065091	DRENTH, DAVID	MFT	AIM SMALL CAP EQUITY FUND -	B	0.00	2.74	0.00	2.74	85.0	2.33
10/01/2018	00777065091	DRENTH, DAVID	MFT	INVESCO LOW VOLATILITY EQTY	B	0.00	10.50	0.00	10.50	85.0	8.93
10/01/2018	00777065091	DRENTH, DAVID	MFT	INVESCO VALUE OPPORTUNITIES	B	0.00	2.01	0.00	2.01	85.0	1.71
10/01/2018	00777066082	NEEDHAM,	MFT	AIM CHARTER FUND - CLASS A	B	0.00	1.31	0.00	1.31	85.0	1.11
10/01/2018	00777066082	NEEDHAM,	MFT	AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	1.59	0.00	1.59	85.0	1.35
10/01/2018	00777068013	JANISSE, JOEL	MFT	INVESCO MID CAP GROWTH FUND -	B	0.00	17.44	0.00	17.44	85.0	14.82
10/01/2018	00777068013	JANISSE, JOEL	MFT	INVESCO VALUE OPPORTUNITIES	B	0.00	3.57	0.00	3.57	85.0	3.03
10/01/2018	00777081598	PATINKA, JEANNE	MFT	AIM CASH RESERVE SHARES	B	0.00	0.29	0.00	0.29	85.0	0.25
10/01/2018	05055270119	STOVER, DONALD	MFT	AIM GLOBAL GROWTH FUND - CLASS	B	0.00	16.11	0.00	16.11	85.0	13.69
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$98.26	\$0.00	\$98.26		\$83.51
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
09/21/2018	(None)	HOARD	VAT	SAMMONS	B	0.00	76.69	0.00	76.69	85.0	65.19
09/30/2018	(None)	VARIOUS, MAPES	VAT	MANULIFE	B	0.00	1,865.64	0.00	1,865.64	85.0	1,585.79
09/27/2018	VR03014913	RUELAS	VAT	PACIFIC LIFE CUSIP 694474701	B	0.00	90.61	0.00	90.61	85.0	77.02
10/01/2018	VR03081564	DUBIE	VAT	PACIFIC LIFE CUSIP 694474701	B	0.00	141.19	0.00	141.19	85.0	120.01
10/01/2018	VR03081569	KLAERAN	VAT	PACIFIC LIFE CUSIP 694474701	B	0.00	17.38	0.00	17.38	85.0	14.77
10/01/2018	VR03081571	BLEAU	VAT	PACIFIC LIFE CUSIP 694474701	B	0.00	141.70	0.00	141.70	85.0	120.45
09/24/2018	VR03083089	KRONKE	VAT	PACIFIC LIFE CUSIP 694474800	B	0.00	36.47	0.00	36.47	85.0	31.00

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		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,369.68	\$0.00	\$2,369.68		\$2,014.23
		*** DIRECT TRANSACTIONS TOTAL ***		\$107,898.54	\$1,971.23	\$0.00	\$1,971.23		\$1,675.54

OVERRIDE TRANSACTIONS

BROKER: HAROLD JOHNSON-D217 #36

09/30/2018 (None)	JOHNSBERNA	MFT EATON VANCE	B	0.00	0.55	0.00	0.55		0.25
09/30/2018 (None)	JOHNSBERNA	MFT EATON VANCE	B	0.00	2.21	0.00	2.21		0.99
		* HAROLD JOHNSON-D217 SUBTOTAL *		\$0.00	\$2.76	\$0.00	\$2.76		\$1.24
		*** OVERRIDE TRANSACTIONS TOTAL ***		\$0.00	\$2.76	\$0.00	\$2.76		\$1.24

BROKER COMMISSION TOTALS

Broker Transactions	\$1,675.54
Split Transactions	\$0.00
Override Transactions	\$1.24
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,676.78
Year-to-date Commissions	\$32,207.15