

COMMISSION STATEMENT

November 30, 2018

RICHARD DURAND-D020
 9100 IH 10 West
 Suite 210
 San Antonio, TX 78230

BROKER #: D020
 BRANCH: DMN

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
11/27/2018	368262501	W HERNANDEZ, DR JOE	MF	FIDELITY GOVT MONEY MARKET FD	B	-104,987.55	0.00	5.00	-5.00	85.0	-4.25
10/30/2018	368441433	FERRO, JOSEPH	MF	FUNDAMENTAL INVESTORS FUND	S	-9,913.78	0.00	5.00	-5.00	85.0	-4.25
11/01/2018	368441433	FERRO, JOSEPH	MF	CAPITAL WORLD GROWTH AND	S	-7,284.97	0.00	5.00	-5.00	85.0	-4.25
11/09/2018	368441433	FERRO, JOSEPH	MF	GROWTH FUND OF AMERICA CLASS F	S	-10,865.29	0.00	5.00	-5.00	85.0	-4.25
11/16/2018	646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
* MUTUAL FUNDS SUBTOTAL *						\$-132,634.93	\$11.39	\$22.50	\$-11.11		\$-9.44

PRODUCT CATEGORY: STOCKS

11/07/2018	275964370	FERRO, RENEE	S	AKEBIA THERAPEUTICS INC	S	-3,743.10	100.00	15.00	85.00	85.0	72.25
11/07/2018	275964370	FERRO, RENEE	S	AMARIN CORPORATION PLC	B	11,122.45	120.00	15.00	105.00	85.0	89.25
11/07/2018	275964370	FERRO, RENEE	S	CLOVIS ONCOLOGY INC	S	-6,293.51	100.00	15.00	85.00	85.0	72.25
11/09/2018	276073491	FERRO, JOSEPH	S	AKEBIA THERAPEUTICS INC	S	-4,222.89	75.00	15.00	60.00	85.0	51.00
11/09/2018	276073491	FERRO, JOSEPH	S	AMARIN CORPORATION PLC	B	15,877.45	125.00	15.00	110.00	85.0	93.50
11/09/2018	276073491	FERRO, JOSEPH	S	BITCOIN INVESTMENT TRUST SHS	S	-3,532.50	75.00	15.00	60.00	85.0	51.00
11/09/2018	276073491	FERRO, JOSEPH	S	CEVA INC	S	-5,238.50	75.00	15.00	60.00	85.0	51.00
11/09/2018	276073491	FERRO, JOSEPH	S	CORBUS PHARMACEUTICALS HOLDINGS	S	-1,273.63	60.00	15.00	45.00	85.0	38.25
11/08/2018	368262501	W HERNANDEZ, DR JOE	S	OMEGA HEALTHCARE INVS INC	S	-3,253.50	50.00	15.00	35.00	85.0	29.75
11/08/2018	368262501	W HERNANDEZ, DR JOE	S	WALGREENS BOOTS ALLIANCE INC	S	-1,102.39	50.00	15.00	35.00	85.0	29.75
11/13/2018	368262501	W HERNANDEZ, DR JOE	S	EXELON CORPORATION ISIN	S	-2,099.65	50.00	15.00	35.00	85.0	29.75
11/21/2018	368262501	W HERNANDEZ, DR JOE	S	HOME DEPOT INCORPORATED	B	85,121.15	50.00	15.00	35.00	85.0	29.75
11/27/2018	368262501	W HERNANDEZ, DR JOE	S	OMEGA HEALTHCARE INVS INC	S	-1,229.23	50.00	15.00	35.00	85.0	29.75
11/28/2018	368262501	W HERNANDEZ, DR JOE	S	HOME DEPOT INCORPORATED	S	-3,127.03	50.00	15.00	35.00	85.0	29.75
10/30/2018	368441433	FERRO, JOSEPH	S	CLOVIS ONCOLOGY INC	B	8,302.40	100.00	15.00	85.00	85.0	72.25
11/01/2018	368441433	FERRO, JOSEPH	S	CLOVIS ONCOLOGY INC	B	6,912.40	100.00	15.00	85.00	85.0	72.25
11/09/2018	368441433	FERRO, JOSEPH	S	AMARIN CORPORATION PLC	B	10,627.40	125.00	15.00	110.00	85.0	93.50
11/13/2018	368492671	KOEBEL, ANDREW	S	ISHARES US AEROSPACE & DEFENSE	S	-4,245.94	75.00	15.00	60.00	85.0	51.00
11/13/2018	368492671	KOEBEL, ANDREW	S	JOHNSON & JOHNSON	S	-5,727.67	75.00	15.00	60.00	85.0	51.00
11/01/2018	400615403	CHASE, JP MORGAN	S	KELLOGG COMPANY	S	-32,240.88	100.00	15.00	85.00	85.0	72.25
11/12/2018	400615403	CHASE, JP MORGAN	S	DOWDUPONT INC	S	-44,533.26	100.00	15.00	85.00	85.0	72.25
11/12/2018	400615403	CHASE, JP MORGAN	S	SIEMENS A G-SPONSORED ADR ADS	S	-78,655.47	140.00	15.00	125.00	85.0	106.25
11/15/2018	400615403	CHASE, JP MORGAN	S	WILLIAMS COMPANIES INC (DEL)	S	-22,203.88	90.00	15.00	75.00	85.0	63.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/01/2018 400617109	CHASE, JP MORGAN	S KELLOGG COMPANY	S	-96,254.80	150.00	15.00	135.00	85.0	114.75
11/09/2018 400617109	CHASE, JP MORGAN	S SIEMENS A G-SPONSORED ADR ADS	S	-172,712.25	300.00	15.00	285.00	85.0	242.25
11/12/2018 400617109	CHASE, JP MORGAN	S CATERPILLAR INC	S	-126,285.06	100.00	15.00	85.00	85.0	72.25
11/12/2018 400617109	CHASE, JP MORGAN	S CONOCOPHILLIPS	S	-99,092.76	150.00	15.00	135.00	85.0	114.75
11/12/2018 400617109	CHASE, JP MORGAN	S DOWDUPONT INC	S	-89,444.74	150.00	15.00	135.00	85.0	114.75
11/15/2018 400617109	CHASE, JP MORGAN	S THE COCA-COLA COMPANY	S	-148,768.36	300.00	15.00	285.00	85.0	242.25
11/15/2018 400618113	CHASE, JP MORGAN	S THE COCA-COLA COMPANY	S	-49,540.65	100.00	15.00	85.00	85.0	72.25
11/15/2018 400618113	CHASE, JP MORGAN	S WILLIAMS COMPANIES INC (DEL)	S	-24,720.88	100.00	15.00	85.00	85.0	72.25
11/16/2018 400618113	CHASE, JP MORGAN	S CLOROX CO	S	-64,643.44	100.00	15.00	85.00	85.0	72.25
11/15/2018 400623072	CHASE, JP MORGAN	S KINDER MORGAN INC	S	-11,193.03	100.00	15.00	85.00	85.0	72.25
11/15/2018 429898620	KURTZ, ROYCE	S RED HAT INC	S	-103,276.19	1,000.00	15.00	985.00	85.0	837.25
11/19/2018 429898620	KURTZ, ROYCE	S CEVA INC	S	-39,195.08	640.00	15.00	625.00	85.0	531.25
11/19/2018 429898620	KURTZ, ROYCE	S CLOVIS ONCOLOGY INC	S	-15,957.44	290.00	15.00	275.00	85.0	233.75
11/27/2018 429910206	KIRKPATRICK,	S CANOPY GROWTH CORP ISIN	S	-46,334.19	765.00	15.00	750.00	85.0	637.50
11/27/2018 429910206	KIRKPATRICK,	S FRONTIER COMM CORP	S	-180.44	60.00	15.00	45.00	85.0	38.25
11/27/2018 429910206	KIRKPATRICK,	S KINDER MORGAN INC	S	-16,448.73	300.00	15.00	285.00	85.0	242.25
11/27/2018 429910206	KIRKPATRICK,	S PANASONIC CORP-SPON ADR	S	-9,859.42	200.00	15.00	185.00	85.0	157.25
11/15/2018 554470501	OPPENHEIMER TRUST	S WILLIAMS COMPANIES INC (DEL)	S	14,619.26	-273.39	-15.00	-258.39	85.0	-219.63
11/15/2018 554470501	OPPENHEIMER TRUST	S WILLIAMS COMPANIES INC (DEL)	S	-14,619.26	273.39	15.00	258.39	85.0	219.63
11/15/2018 554470501	OPPENHEIMER TRUST	S WILLIAMS COMPANIES INC (DEL)	S	-14,792.65	100.00	15.00	85.00	85.0	72.25
11/14/2018 676119996	SHARP, CAROL	S ABBVIE INC	S	-43,748.98	350.00	15.00	335.00	85.0	284.75
11/14/2018 676119996	SHARP, CAROL	S ALTRIA GROUP INCORPORATED	S	-118,686.00	900.00	15.00	885.00	85.0	752.25
11/14/2018 676119996	SHARP, CAROL	S AT&T INC	S	-15,018.05	140.00	15.00	125.00	85.0	106.25
11/14/2018 676119996	SHARP, CAROL	S CENTERPOINT ENERGY HLDG INC	S	-27,477.29	230.00	15.00	215.00	85.0	182.75
11/14/2018 676119996	SHARP, CAROL	S DOWDUPONT INC	S	-28,527.83	240.00	15.00	225.00	85.0	191.25
11/14/2018 676119996	SHARP, CAROL	S INTERNATIONAL BANCSHARES CORP	S	-115,728.83	900.00	15.00	885.00	85.0	752.25
11/14/2018 676119996	SHARP, CAROL	S PFIZER INCORPORATED	S	-21,492.92	185.00	15.00	170.00	85.0	144.50
* STOCKS SUBTOTAL *				\$-1,584,139.79	\$9,785.00	\$720.00	\$9,065.00		\$7,705.25

PRODUCT CATEGORY: MISCELLANEOUS

10/31/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368441433	S	0.00	10.00	0.00	10.00	85.0	8.50
11/02/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368441433	S	0.00	10.00	0.00	10.00	85.0	8.50
11/12/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368441433	S	0.00	10.00	0.00	10.00	85.0	8.50
11/28/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368262501	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$40.00	\$0.00	\$40.00		\$34.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	2.42	0.00	2.42	85.0	2.06
11/21/2018 mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	3.11	0.00	3.11	85.0	2.64
11/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.11	0.00	3.11	85.0	2.64
11/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.17	0.00	3.17	85.0	2.69
11/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.57	0.00	3.57	85.0	3.03
11/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.18	0.00	4.18	85.0	3.55
11/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.21	0.00	4.21	85.0	3.58
11/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.25	0.00	4.25	85.0	3.61

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11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.60	0.00	4.60	85.0	3.91
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.25	0.00	5.25	85.0	4.46
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.25	0.00	5.25	85.0	4.46
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.25	0.00	5.25	85.0	4.46
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	6.82	0.00	6.82	85.0	5.80
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	11.07	0.00	11.07	85.0	9.41
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	20.69	0.00	20.69	85.0	17.59
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	55.74	0.00	55.74	85.0	47.38
11/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	155.55	0.00	155.55	85.0	132.22
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.59	0.00	1.59	85.0	1.35
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.82	0.00	3.82	85.0	3.25
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.39	0.00	5.39	85.0	4.58
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.79	0.00	5.79	85.0	4.92
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.35	0.00	6.35	85.0	5.40
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.76	0.00	0.76	85.0	0.65
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.09	0.00	1.09	85.0	0.93
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.10	0.00	1.10	85.0	0.94
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.57	0.00	1.57	85.0	1.33
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.83	0.00	0.83	85.0	0.71
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.89	0.00	0.89	85.0	0.76
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.03	0.00	1.03	85.0	0.88
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.52	0.00	1.52	85.0	1.29
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.57	0.00	1.57	85.0	1.33
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.58	0.00	1.58	85.0	1.34
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.83	0.00	1.83	85.0	1.56
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.87	0.00	1.87	85.0	1.59
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.24	0.00	2.24	85.0	1.90
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.48	0.00	2.48	85.0	2.11
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.59	0.00	2.59	85.0	2.20
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.87	0.00	2.87	85.0	2.44
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.25	0.00	4.25	85.0	3.61
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.04	0.00	5.04	85.0	4.28
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.04	0.00	5.04	85.0	4.28
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.04	0.00	5.04	85.0	4.28
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.25	0.00	6.25	85.0	5.31
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.41	0.00	6.41	85.0	5.45
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.79	0.00	6.79	85.0	5.77
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.39	0.00	7.39	85.0	6.28
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	50.50	0.00	50.50	85.0	42.93
11/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	89.99	0.00	89.99	85.0	76.49
11/21/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	4.01	0.00	4.01	85.0	3.41
11/21/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.14	0.00	0.14	85.0	0.12
11/21/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A	B	0.00	1.24	0.00	1.24	85.0	1.05
11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.68	0.00	0.68	85.0	0.58

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11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.98	0.00	0.98	85.0	0.83
11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.58	0.00	0.58	85.0	0.49
11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.58	0.00	1.58	85.0	1.34
11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	5.61	0.00	5.61	85.0	4.77
11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	5.71	0.00	5.71	85.0	4.85
11/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	11.62	0.00	11.62	85.0	9.88
11/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	4.50	0.00	4.50	85.0	3.83
11/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	11.60	0.00	11.60	85.0	9.86
11/21/2018	mfc884599	DURAND 884599	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	8.03	0.00	8.03	85.0	6.83
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	1.51	0.00	1.51	85.0	1.28
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	2.05	0.00	2.05	85.0	1.74
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.42	0.00	0.42	85.0	0.36
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.91	0.00	1.91	85.0	1.62
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.13	0.00	2.13	85.0	1.81
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.15	0.00	2.15	85.0	1.83
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.56	0.00	3.56	85.0	3.03
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.63	0.00	3.63	85.0	3.09
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.50	0.00	4.50	85.0	3.83
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	7.18	0.00	7.18	85.0	6.10
11/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	57.06	0.00	57.06	85.0	48.50
11/21/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A	B	0.00	3.90	0.00	3.90	85.0	3.32
11/21/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A	B	0.00	4.13	0.00	4.13	85.0	3.51
11/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.61	0.00	1.61	85.0	1.37
11/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.34	0.00	2.34	85.0	1.99
11/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.18	0.00	1.18	85.0	1.00
11/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.36	0.00	1.36	85.0	1.16
11/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	10.23	0.00	10.23	85.0	8.70
11/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	1.27	0.00	1.27	85.0	1.08
11/21/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	5.52	0.00	5.52	85.0	4.69
11/21/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	5.11	0.00	5.11	85.0	4.34
11/21/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	5.14	0.00	5.14	85.0	4.37
11/21/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	1.45	0.00	1.45	85.0	1.23
11/21/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	1.47	0.00	1.47	85.0	1.25
11/21/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	16.93	0.00	16.93	85.0	14.39
11/21/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	1.24	0.00	1.24	85.0	1.05
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.92	0.00	0.92	85.0	0.78
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.97	0.00	0.97	85.0	0.82
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.53	0.00	0.53	85.0	0.45
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.52	0.00	1.52	85.0	1.29
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.13	0.00	3.13	85.0	2.66
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	4.15	0.00	4.15	85.0	3.53
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.64	0.00	2.64	85.0	2.24
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.40	0.00	2.40	85.0	2.04
11/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.20	0.00	2.20	85.0	1.87

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.34	0.00	2.34	85.0	1.99
11/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.74	0.00	0.74	85.0	0.63
11/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.49	0.00	3.49	85.0	2.97
11/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.67	0.00	0.67	85.0	0.57
11/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.96	0.00	0.96	85.0	0.82
11/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.95	0.00	0.95	85.0	0.81
11/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.00	0.00	3.00	85.0	2.55
11/21/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL A	B	0.00	1.55	0.00	1.55	85.0	1.32
11/21/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	8.94	0.00	8.94	85.0	7.60
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.24	0.00	0.24	85.0	0.20
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.49	0.00	1.49	85.0	1.27
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.25	0.00	2.25	85.0	1.91
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.50	0.00	2.50	85.0	2.13
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.09	0.00	3.09	85.0	2.63
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.71	0.00	3.71	85.0	3.15
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.69	0.00	5.69	85.0	4.84
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.25	0.00	6.25	85.0	5.31
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.25	0.00	6.25	85.0	5.31
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.40	0.00	7.40	85.0	6.29
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.30	0.00	11.30	85.0	9.61
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.32	0.00	29.32	85.0	24.92
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.87	0.00	29.87	85.0	25.39
11/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	35.89	0.00	35.89	85.0	30.51
11/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.04	0.00	1.04	85.0	0.88
11/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.34	0.00	3.34	85.0	2.84
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.77	0.00	3.77	85.0	3.20
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.45	0.00	4.45	85.0	3.78
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.76	0.00	4.76	85.0	4.05
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.10	0.00	5.10	85.0	4.34
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.63	0.00	5.63	85.0	4.79
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.63	0.00	5.63	85.0	4.79
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.70	0.00	8.70	85.0	7.40
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	9.08	0.00	9.08	85.0	7.72
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	26.89	0.00	26.89	85.0	22.86
11/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	32.43	0.00	32.43	85.0	27.57
11/21/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	49.71	0.00	49.71	85.0	42.25
11/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	153.59	0.00	153.59	85.0	130.55
11/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	41.99	0.00	41.99	85.0	35.69
11/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	640.81	0.00	640.81	85.0	544.69
11/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	40.17	0.00	40.17	85.0	34.14
11/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	44.32	0.00	44.32	85.0	37.67
11/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.66	0.00	0.66	85.0	0.56
11/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.69	0.00	0.69	85.0	0.59
11/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.74	0.00	1.74	85.0	1.48

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.79	0.00	1.79	85.0	1.52
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.05	0.00	2.05	85.0	1.74
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.60	0.00	2.60	85.0	2.21
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.64	0.00	2.64	85.0	2.24
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.97	0.00	3.97	85.0	3.37
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.00	0.00	4.00	85.0	3.40
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.75	0.00	5.75	85.0	4.89
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.75	0.00	5.75	85.0	4.89
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.75	0.00	5.75	85.0	4.89
11/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.46	0.00	7.46	85.0	6.34
11/21/2018	mfc884599 DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.56	0.00	5.56	85.0	4.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,057.13	\$0.00	\$2,057.13		\$1,748.58
*** CLEARING TRANSACTIONS TOTAL ***				\$-1,716,774.72	\$11,893.52	\$742.50	\$11,151.02		\$9,478.39

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/15/2018	00067562789 PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
11/19/2018	00660109470 HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND B	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$250.00	\$8.50	\$0.00	\$8.50		\$7.23

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018	(None) VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	32.68	0.00	32.68	85.0	27.78
11/05/2018	08308608103 CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.73	0.00	0.73	85.0	0.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$33.41	\$0.00	\$33.41		\$28.40

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/09/2018	(None) DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	228.38	0.00	228.38	85.0	194.12
11/18/2018	(None) MATTE, JOSEPH	VAT AMERITAS	B	0.00	5.93	0.00	5.93	85.0	5.04
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$234.31	\$0.00	\$234.31		\$199.16
*** DIRECT TRANSACTIONS TOTAL ***				\$250.00	\$276.22	\$0.00	\$276.22		\$234.79

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: BROKER WORKSTATION-GIFFEN	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: CUSTOMER WRITE OFF 275964370	0.00	0.00	0.00	0.00	-61.19
11/30/2018	: CUSTOMER WRITE OFF 337884351	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	958.47

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00		\$657.28
		*** ADJUSTMENTS	TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$657.28

Broker Transactions	\$9,713.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$657.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$10,370.46
Year-to-date Commissions	\$132,723.50