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DOMINION INVESTOR SERVICES, INC.

COMMISSION STATEMENT

October 31, 2018

WALSTON DPM

BROKER #:
BRANCH: DPM

, TX

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			CLEARIN	G TRANS	ACTIONS					
PRODUCT CAT	EGORY: STOCKS									
09/27/2018 21	.5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,498.52	16.00	16.00	0.00	0.0	0.00
09/28/2018 21	.5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,459.61	16.00	16.00	0.00	0.0	0.00
10/04/2018 21	5745263 DOSDALL, ALEX	SCOTT S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 21	5745263 DOSDALL, ALEX	SCOTT S	MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018 21	.5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	983.76	15.00	15.00	0.00	0.0	0.00
10/08/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,790.52	16.00	16.00	0.00	0.0	0.00
0/08/2018 21	5745263 DOSDALL, ALEX	SCOTT S	TESLA INC FORMERLY TESLA MO	TORS B	505.88	15.00	15.00	0.00	0.0	0.00
.0/10/2018 21	.5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	1,731.80	16.00	16.00	0.00	0.0	0.00
0/10/2018 21	.5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	2,242.55	17.00	17.00	0.00	0.0	0.00
0/16/2018 21	5745263 DOSDALL, ALEX	SCOTT S	CANOPY GROWTH CORP ISIN	В	1,309.50	15.00	15.00	0.00	0.0	0.00
0/22/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
0/23/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	899.48	15.00	15.00	0.00	0.0	0.00
0/24/2018 21	5745263 DOSDALL, ALEX	SCOTT S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
9/27/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	2,239.55	17.00	17.00	0.00	0.0	0.00
9/28/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,640.52	16.00	16.00	0.00	0.0	0.00
0/02/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	738.03	15.00	15.00	0.00	0.0	0.00
0/04/2018 30	6754795 GREEN, JULIE	ANN S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,616.52	16.00	16.00	0.00	0.0	0.00
.0/05/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,624.52	16.00	16.00	0.00	0.0	0.00
0/08/2018 30	6754795 GREEN, JULIE	ANN S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
.0/08/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,721.52	16.00	16.00	0.00	0.0	0.00
0/08/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,790.52	16.00	16.00	0.00	0.0	0.00
0/08/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,951.08	16.00	16.00	0.00	0.0	0.00
0/08/2018 30	6754795 GREEN, JULIE	ANN S	TESLA INC FORMERLY TESLA MO	TORS B	505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 30	6754795 GREEN, JULIE	ANN S	SPDR S&P 500 ETF TRUST	В	2,589.48	17.00	17.00	0.00	0.0	0.00

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/16/2018 306754795 GREEN, JULIE ANN	S	CANOPY GROWTH CORP ISIN	В	1,578.68	15.00	15.00	0.00	0.0	0.00
10/22/2018 306754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 306754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 306754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 306754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	12,614.03	31.00	31.00	0.00	0.0	0.00
09/28/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	12,196.96	29.00	29.00	0.00	0.0	0.00
10/02/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 337573775 HARDWICKE, ALAN	S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	12,076.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 337573775 HARDWICKE, ALAN	S	MORGAN STANLEY	S	-159.21	31.00	31.00	0.00	0.0	0.00
10/08/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	11,951.93	28.00	28.00	0.00	0.0	0.00
10/08/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 337573775 HARDWICKE, ALAN	S	TESLA INC FORMERLY TESLA MOTORS	В	1,974.18	18.00	18.00	0.00	0.0	0.00
10/08/2018 337573775 HARDWICKE, ALAN	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	10,404.93	28.00	28.00	0.00	0.0	0.00
10/16/2018 337573775 HARDWICKE, ALAN	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	15,067.90	34.00	34.00	0.00	0.0	0.00
10/23/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 337573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	14,096.10	33.00	33.00	0.00	0.0	0.00
09/28/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	13,009.00	30.00	30.00	0.00	0.0	0.00
10/02/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	14,448.06	34.00	34.00	0.00	0.0	0.00
10/04/2018 338136256 HELMS, CARLA ANN	S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	16,017.14	34.00	34.00	0.00	0.0	0.00
10/05/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	12,881.00	30.00	30.00	0.00	0.0	0.00
10/08/2018 338136256 HELMS, CARLA ANN	S	MORGAN STANLEY	S	-190.20	34.00	34.00	0.00	0.0	0.00
10/08/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	12,804.46	29.00	29.00	0.00	0.0	0.00
10/08/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	14,526.19	29.00	29.00	0.00	0.0	0.00
10/08/2018 338136256 HELMS, CARLA ANN	S	TESLA INC FORMERLY TESLA MOTORS	В	2,463.61	19.00	19.00	0.00	0.0	0.00
10/08/2018 338136256 HELMS, CARLA ANN	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	11,146.96	29.00	29.00	0.00	0.0	0.00
10/16/2018 338136256 HELMS, CARLA ANN	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 338136256 HELMS, CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 338152542 HELMS, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	111,171.60	164.00	164.00	0.00	0.0	0.00

TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/28/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	81,219.88	114.00	114.00	0.00	0.0	0.00
10/02/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	72,174.48	114.00	114.00	0.00	0.0	0.00
10/04/2018 33	38152542 HELMS,	MORRIS DALE	S	FACEBOOK INC-CLASS A	В	21,657.82	54.00	54.00	0.00	0.0	0.00
10/04/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	80,019.88	114.00	114.00	0.00	0.0	0.00
10/05/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	80,419.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 33	38152542 HELMS,	MORRIS DALE	S	MORGAN STANLEY	S	-1,016.82	114.00	114.00	0.00	0.0	0.00
10/08/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	108,288.31	141.00	141.00	0.00	0.0	0.00
10/08/2018 33	38152542 HELMS,	MORRIS DALE	S	TESLA INC FORMERLY TESLA MOTOR	SB	24,488.02	64.00	64.00	0.00	0.0	0.00
10/08/2018 33	38152542 HELMS,	MORRIS DALE	S	TEXAS INSTRUMENTS INC	S	17.44	43.00	43.00	0.00	0.0	0.00
10/10/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	102,937.61	134.00	134.00	0.00	0.0	0.00
10/10/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	96,480.91	144.00	144.00	0.00	0.0	0.00
10/16/2018 33	38152542 HELMS,	MORRIS DALE	S	CANOPY GROWTH CORP ISIN	В	80,770.55	15.00	15.00	0.00	0.0	0.00
10/22/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	132,088.05	164.00	164.00	0.00	0.0	0.00
10/23/2018 33	38152542 HELMS,	MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	94,087.99	139.00	139.00	0.00	0.0	0.00
09/27/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	20,765.41	42.00	42.00	0.00	0.0	0.00
09/28/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	20,317.31	39.00	39.00	0.00	0.0	0.00
10/02/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	14,448.06	34.00	34.00	0.00	0.0	0.00
10/04/2018 33	38154872 HELMS,	CARLA ANN	S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	16,017.14	34.00	34.00	0.00	0.0	0.00
10/05/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	24,137.48	44.00	44.00	0.00	0.0	0.00
10/08/2018 33	38154872 HELMS,	CARLA ANN	S	MORGAN STANLEY	S	-241.87	39.00	39.00	0.00	0.0	0.00
10/08/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	19,624.74	37.00	37.00	0.00	0.0	0.00
10/08/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	22,192.31	39.00	39.00	0.00	0.0	0.00
10/08/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	24,199.36	39.00	39.00	0.00	0.0	0.00
10/08/2018 33	38154872 HELMS,	CARLA ANN	S	TESLA INC FORMERLY TESLA MOTOR	SB	3,442.47	21.00	21.00	0.00	0.0	0.00
10/08/2018 33	38154872 HELMS,	CARLA ANN	S	TEXAS INSTRUMENTS INC	S	16.59	18.00	18.00	0.00	0.0	0.00
10/10/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	17,169.98	34.00	34.00	0.00	0.0	0.00
10/10/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	17,083.24	37.00	37.00	0.00	0.0	0.00
10/16/2018 33	38154872 HELMS,	CARLA ANN	S	CANOPY GROWTH CORP ISIN	В	16,168.07	15.00	15.00	0.00	0.0	0.00
10/22/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	17,626.00	34.00	34.00	0.00	0.0	0.00
10/23/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	15,067.90	34.00	34.00	0.00	0.0	0.00
10/23/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 33	38190586 HOLT,	JOHN THOMAS	S	SPDR S&P 500 ETF TRUST	В	27,434.72	51.00	51.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	24,377.48	44.00	44.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	21,663.86	44.00	44.00	0.00	0.0	0.00
	38190586 HOLT,		S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	16,017.14	34.00	34.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	24,137.48	44.00	44.00	0.00	0.0	0.00
	38190586 HOLT,		S	MORGAN STANLEY	S	-293.53	44.00	44.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	22,192.31	39.00	39.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	24,199.36	39.00	39.00	0.00	0.0	0.00
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TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 338190586 HOLT, JOHN THOMAS	S	SPDR S&P 500 ETF TRUST	В	24,739.94	43.00	43.00	0.00	0.0	0.00
10/08/2018 338190586 HOLT, JOHN THOMAS	S	TESLA INC FORMERLY TESLA MOTORS	В	4,421.33	23.00	23.00	0.00	0.0	0.00
10/08/2018 338190586 HOLT, JOHN THOMAS	S	TEXAS INSTRUMENTS INC	S	16.66	20.00	20.00	0.00	0.0	0.00
10/10/2018 338190586 HOLT, JOHN THOMAS	S	SPDR S&P 500 ETF TRUST	В	17,169.98	34.00	34.00	0.00	0.0	0.00
10/10/2018 338190586 HOLT, JOHN THOMAS	S	SPDR S&P 500 ETF TRUST	В	21,535.44	43.00	43.00	0.00	0.0	0.00
10/16/2018 338190586 HOLT, JOHN THOMAS	S	CANOPY GROWTH CORP ISIN	В	16,168.07	15.00	15.00	0.00	0.0	0.00
10/22/2018 338190586 HOLT, JOHN THOMAS	S	LAREDO PETROLEUM INC FORMERLY	В	30,217.45	15.00	15.00	0.00	0.0	0.00
10/22/2018 338190586 HOLT, JOHN THOMAS	S	SPDR S&P 500 ETF TRUST	В	17,626.00	34.00	34.00	0.00	0.0	0.00
10/23/2018 338190586 HOLT, JOHN THOMAS	S	SPDR S&P 500 ETF TRUST	В	37,645.07	64.00	64.00	0.00	0.0	0.00
09/27/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368255592 RUSSELL, KIMBERLEY	S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,428.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368255592 RUSSELL, KIMBERLEY	S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 368255592 RUSSELL, KIMBERLEY	S	TESLA INC FORMERLY TESLA MOTORS	В	505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,589.48	17.00	17.00	0.00	0.0	0.00
10/16/2018 368255592 RUSSELL, KIMBERLEY	S	CANOPY GROWTH CORP ISIN	В	1,632.51	15.00	15.00	0.00	0.0	0.00
10/22/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368255592 RUSSELL, KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	37,068.17	64.00	64.00	0.00	0.0	0.00
09/28/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	40,618.17	64.00	64.00	0.00	0.0	0.00
10/02/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	36,095.47	64.00	64.00	0.00	0.0	0.00
10/04/2018 368260214 HARDWICKE, ALAN	S	FACEBOOK INC-CLASS A	В	5,426.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	40,018.17	64.00	64.00	0.00	0.0	0.00
10/05/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	40,218.17	64.00	64.00	0.00	0.0	0.00
10/08/2018 368260214 HARDWICKE, ALAN	S	MORGAN STANLEY	S	-396.85	54.00	54.00	0.00	0.0	0.00
10/08/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	36,675.42	57.00	57.00	0.00	0.0	0.00
10/08/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	48,382.27	64.00	64.00	0.00	0.0	0.00
10/08/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368260214 HARDWICKE, ALAN	S	TESLA INC FORMERLY TESLA MOTORS	В	6,868.49	28.00	28.00	0.00	0.0	0.00
10/08/2018 368260214 HARDWICKE, ALAN	S	TEXAS INSTRUMENTS INC	S	17.27	38.00	38.00	0.00	0.0	0.00
10/10/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	37,118.17	64.00	64.00	0.00	0.0	0.00
10/10/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	85,784.08	114.00	114.00	0.00	0.0	0.00
10/16/2018 368260214 HARDWICKE, ALAN	S	CANOPY GROWTH CORP ISIN	В	21,551.61	15.00	15.00	0.00	0.0	0.00
10/22/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	44,040.32	64.00	64.00	0.00	0.0	0.00
10/23/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	-37,645.07	-64.00	-64.00	0.00	0.0	0.00

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	36,141.99	64.00	64.00	0.00	0.0	0.00
10/23/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	37,645.07	64.00	64.00	0.00	0.0	0.00
10/23/2018 368260214 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	88,319.88	114.00	114.00	0.00	0.0	0.00
09/27/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368270193 HARDWICKE, SARAH	S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193 HARDWICKE, SARAH	S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193 HARDWICKE, SARAH	S	TESLA INC FORMERLY TESLA MOTOR		505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018 368270193 HARDWICKE, SARAH	s	SPDR S&P 500 ETF TRUST	В	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368270193 HARDWICKE, SARAH	s	SPDR S&P 500 ETF TRUST	В	2,589.48	17.00	17.00	0.00	0.0	0.00
10/16/2018 368270193 HARDWICKE, SARAH	s	CANOPY GROWTH CORP ISIN	В	1,632.51	15.00	15.00	0.00	0.0	0.00
10/22/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В		19.00	19.00	0.00	0.0	0.00
•				4,431.62					
10/24/2018 368270193 HARDWICKE, SARAH	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	74,119.88	114.00	114.00	0.00	0.0	0.00
09/28/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	81,219.88	114.00	114.00	0.00	0.0	0.00
10/02/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	72,174.48	114.00	114.00	0.00	0.0	0.00
10/04/2018 368279732 ANDERSON, DAVID	S	FACEBOOK INC-CLASS A	В	16,247.48	44.00	44.00	0.00	0.0	0.00
10/04/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	80,019.88	114.00	114.00	0.00	0.0	0.00
10/05/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	80,419.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732 ANDERSON, DAVID	S	MORGAN STANLEY	S	-1,016.82	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	92,090.15	122.00	122.00	0.00	0.0	0.00
10/08/2018 368279732 ANDERSON, DAVID	S	TESLA INC FORMERLY TESLA MOTOR	В В	17,635.97	50.00	50.00	0.00	0.0	0.00
10/08/2018 368279732 ANDERSON, DAVID	S	TEXAS INSTRUMENTS INC	S	17.20	36.00	36.00	0.00	0.0	0.00
10/10/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	74,219.88	114.00	114.00	0.00	0.0	0.00
10/10/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	85,784.08	114.00	114.00	0.00	0.0	0.00
10/16/2018 368279732 ANDERSON, DAVID	S	CANOPY GROWTH CORP ISIN	В	70,003.47	15.00	15.00	0.00	0.0	0.00
10/22/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	132,088.05	164.00	164.00	0.00	0.0	0.00
10/23/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	94,087.99	139.00	139.00	0.00	0.0	0.00
10/23/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	132,471.60	164.00	164.00	0.00	0.0	0.00
10/24/2018 368279732 ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	143,871.60	164.00	164.00	0.00	0.0	0.00
09/27/2018 368292049 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	8,167.83	25.00	25.00	0.00	0.0	0.00
09/28/2018 368292049 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	7,324.76	23.00	23.00	0.00	0.0	0.00
10/02/2018 368292049 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368292049 UNDERWOOD, TAMMY	S	FACEBOOK INC-CLASS A	В	1,098.52	16.00	16.00	0.00	0.0	0.00

	TRADE DATE	CLIENT				INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 368290204 UNDERWOOD, TAMMY S POPM SES FOR SES	10/04/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В					0.0	
1.0.00 1	10/05/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	7,252.76	23.00	23.00	0.00	0.0	0.00
10/08/2018 368230249 UNDERWOOD, TAMEN S SHUM SELF 500 FIFT PRINSET B 4,855.03 19.00 19.00 0.00 0.00 0.00 0.00 10/08/2018 368230249 UNDERWOOD, TAMEN S TEXIA INC PORMERLY TEXIA MOTORS B 1,484.74 17.00 17.00 17.00 0.00 0.0 0.00 0.00 10/08/2018 368230249 UNDERWOOD, TAMEN S SPERN SELF 500 FIFT PRINSET B 4,204.83 19.00 19.00 19.00 0.00 0.00 0.00 10/10/2018 368230249 UNDERWOOD, TAMEN S SPERN SELF 500 FIFT PRINSET B 4,204.83 19.00 19.00 0.00 0.00 0.00 10/10/2018 368230249 UNDERWOOD, TAMEN S SPERN SELF 500 FIFT PRINSET B 6,694.76 0.30 0.30 0.00 0.00 0.00 10/10/2018 368230249 UNDERWOOD, TAMEN S SPERN SELF 500 FIFT PRINSET B 6,694.76 0.30 0.30 0.00 0.00 0.00 10/12/2018 368230249 UNDERWOOD, TAMEN S SPERN SELF 500 FIFT PRINSET B 8,400.99 14.00 0.40 0.00 0	10/08/2018 36	58292049	UNDERWOOD,	TAMMY	S	MORGAN STANLEY	S	-86.88	24.00	24.00	0.00	0.0	0.00
10/08/2018 368292049 UNDERWOOD, TAMMY S SUPE SEP 500 NET TRUET B 1,484.48 17.00 17.00 0.00 0.0 0.00 0	10/08/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368290249 UNDERWOOD, TAMBY S TEXAS INSTITUTIONS IN 16,484.74 17,00 10,00 0.0	10/08/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/10/2018 368292049 UNDERHOOD, TAMMY S SERS SED SET TRUST S 4.304.83 13.00 19.00 0.	10/08/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	6,836.72	22.00	22.00	0.00	0.0	0.00
10/10/2018 368292049 UNDERWOOD, TAMOY S SPUR SAF SOD ITT TRUST B 6,694.76 23.00 23.00 0.00	10/08/2018 36	58292049	UNDERWOOD,	TAMMY	S	TESLA INC FORMERLY TESLA	MOTORS B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/10/2018 3683992049 UNDERWOOD, TAMMY S SPEE SEP 500 ETT TRUST B 6,694.76 23.00 23.00 0.00 0.00 0.00 10/12/2018 368392049 UNDERWOOD, TAMMY S SPEE SEP 500 ETT TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.00	10/08/2018 36	58292049	UNDERWOOD,	TAMMY	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/16/2018 3682992049 UNDERWOOD, TAMMY S SEPR SAP SOD ETF TRUST R 8,841.22 24.00 24.00 0.00 0.0 0.0	10/10/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/22/2018 368292049 UNDERWOOD, TAMMY S SPOR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.00	10/10/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	6,694.76	23.00	23.00	0.00	0.0	0.00
10/23/2018 368292049 UNDERWOOD, TAMMY S S SPER SAP 500 ETF TRUST B 8.846.79 24.00 24.00 0.00 0.0 0.0 0.00 10/23/2018 368292049 UNDERWOOD, TAMMY S SPER SAP 500 ETF TRUST B 8.846.79 24.00 24.00 0.00 0.0 0.0 0.00 10/24/2018 3682929283 ANDREHACCI, LETICIA S SPER SAP 500 ETF TRUST B 17,060.24 37.00 37.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/16/2018 36	58292049	UNDERWOOD,	TAMMY	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/23/2018 368292049 UNIDERNOOD, TAMMY	10/22/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/24/2018 368299283 ANDRENACCI, LETICIA S SUPE SAP 500 ETF TRUST B 17,060.24 37.00 37.00 0.00 0.0 0.0 0.00 0.00 0.00	10/23/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
09/27/2018 368299283 ANDRENACCI, LETICIA S SPOR SAP 500 ETF TRUST B 16,257.14 34.00 34.00 0.00 0.0 0.00 0.00 0.00 0.	10/23/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368299283 ANDRENACCI, LETICIA S SPDR SEP 500 ETF TRUST B 16,257.14 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.0	10/24/2018 36	58292049	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 368299283 ANDRENACCI, LETICIA S SPDR SAF 500 ETF TRUST B 14,448.06 34.00 34.00 0.00 0.0 0.00	09/27/2018 36	58299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	17,060.24	37.00	37.00	0.00	0.0	0.00
10/04/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 16,017.14 34.00 34.00 0.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 16,017.14 34.00 34.00 0.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 16,017.14 34.00 34.00 0.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 13,321.96 29.00 29.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 14,526.19 29.00 29.00 0.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 15,362.07 32.00 32.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 15,362.07 32.00 32.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 15,362.07 32.00 32.00 0.00 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 18,373.07 32.00 0.00 0.00 0.00 10/10/10/10/10 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 18,593.21 0.00 0.00 0.00 10/10/10/10/18 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 18,593.21 0.00 0.00 0.00 10/10/10/10/18 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 18,593.21 0.00 0.00 0.00 10/10/20/18 368299283 ANDRENACCI, LETICIA S 5PDR S&P 500 ETF TRUST B 18,593.21 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	09/28/2018 36	58299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	16,257.14	34.00	34.00	0.00	0.0	0.00
10/04/2018 368299283 ANDRENACCI, LETICIA S SPDR SAP 500 ETF TRUST B 16,097.14 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.0	10/02/2018 36	58299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	14,448.06	34.00	34.00	0.00	0.0	0.00
10/05/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 16,097.14 34.00 34.00 0.00 0.00 0.00 0.00 0.00 0	10/04/2018 36	58299283	ANDRENACCI	, LETICIA	S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368299283 ANDRENACCI, LETICIA S SDER SAP 500 ETF TRUST B 13,321.96 29.00 29.00 0.00 0.00 0.00 0.00 0.00 0.	10/04/2018 36	58299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	16,017.14	34.00	34.00	0.00	0.0	0.00
10/08/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 14,526.19 29.00 29.00 0.00 0.0 0.0 0.00 0.00 0.0						SPDR S&P 500 ETF TRUST	В		34.00	34.00	0.00	0.0	0.00
10/08/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 14,526.19 29.00 29.00 0.00 0.0 0.0 0.0 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,362.07 32.00 29.00 0.00 0.0 0.0 0.0 0.0 10/08/2018 368299283 ANDRENACCI, LETICIA S TESLA INC FORMERLY TESLA MOTORS B 2,953.04 20.00 20.00 0.00 0.0 0.0 0.0 0.0 10/08/2018 368299283 ANDRENACCI, LETICIA S TEXAS INSTRUMENTS INC S 16.55 17.00 17.00 0.00 0.0 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	58299283	ANDRENACCI	, LETICIA	S	MORGAN STANLEY	S		34.00	34.00	0.00	0.0	0.00
10/08/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,362.07 32.00 29.00 0.00 0.0 0.0 0.00 10/08/2018 368299283 ANDRENACCI, LETICIA S TESLA INC FORMERLY TESLA MOTORS B 2,953.04 20.00 20.00 0.00 0.0 0.0 0.0 0.0 10/08/2018 368299283 ANDRENACCI, LETICIA S TEXAS INSTRUMENTS INC S 16.55 17.00 17.00 0.00 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S TEXAS INSTRUMENTS INC S 16.55 17.00 17.00 0.00 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 13,373.07 32.00 32.00 0.00 0.0 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S CANOPY GROWTH CORP ISIN B 10,784.53 15.00 15.00 0.00 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.						SPDR S&P 500 ETF TRUST	В	13,321.96	29.00	29.00	0.00	0.0	
10/08/2018 368299283 ANDRENACCI, LETICIA S TESLA INC FORMERLY TESLA MOTORS B 2,953.04 20.00 20.00 0.00 0.00 0.00 0.00 0.00						SPDR S&P 500 ETF TRUST	В			29.00	0.00	0.0	0.00
10/08/2018 368299283 ANDRENACCI, LETICIA S TEXAS INSTRUMENTS INC S 16.55 17.00 17.00 0.00 0.0 0.0 0.00 0.00 0.00						SPDR S&P 500 ETF TRUST	В			32.00	0.00	0.0	0.00
10/08/2018 368299283 ANDRENACCI, LETICIA S FEXAS INSTRUMENTS INC S 16.55 17.00 17.00 0.00 0.0 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.0 0.0 0.0 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 13,373.07 32.00 32.00 0.00 0.0 0.0 0.0 0.0 10/16/2018 368299283 ANDRENACCI, LETICIA S CANOPY GROWTH CORP ISIN B 10,784.53 15.00 15.00 0.00 0.00 0.0 0.0 0.0 10/22/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.00 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.0 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	58299283	ANDRENACCI	, LETICIA	S	TESLA INC FORMERLY TESLA	MOTORS B			20.00	0.00	0.0	0.00
10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 13,373.07 32.00 32.00 0.00 0.00 0.00 0.00 10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 10,784.53 15.00 15.00 0.00 0.00 0.00 0.00 0.00 10/22/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 10,784.53 15.00 15.00 0.00 0.00 0.00 0.00 0.00 10/22/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.00 0.00 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.00 0.00 0.00 10/24/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.00 0.00 0.00 10/24/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 23,992.31 39.00 39.00 0.00 0.00 0.00 0.00 0.00 0.						TEXAS INSTRUMENTS INC	S			17.00	0.00	0.0	
10/10/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 10,784.53 15.00 32.00 0.00 0.00 0.00 0.00 10/16/2018 368299283 ANDRENACCI, LETICIA S CANOPY GROWTH CORP ISIN B 10,784.53 15.00 15.00 0.00 0.00 0.00 0.00 10/22/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.00 0.00 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.00 0.00 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.00 0.00 0.00 10/24/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 23,992.31 39.00 39.00 0.00 0.00 0.00 0.00 0.00 0.						SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368299283 ANDRENACCI, LETICIA S CANOPY GROWTH CORP ISIN B 10,784.53 15.00 15.00 0.00 0.0 0.0 0.00 10/22/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.0 0.0 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.0 0.0 0.0 0.0 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.							В						
10/22/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,626.00 34.00 34.00 0.00 0.0 0.0 0.00 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.00 0.00 0.00 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.00 0.00 0.00 0.00 10/24/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 23,992.31 39.00 39.00 0.00 0.00 0.00 0.00 0.00 0.				•			В						
10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 15,067.90 34.00 34.00 0.00 0.00 0.0 0.00 10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.00 0.00 0.00 10/24/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 23,992.31 39.00 39.00 0.00 0.00 0.00 0.00 0.00 0.							В						
10/23/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 17,677.14 34.00 34.00 0.00 0.00 0.00 0.00 10/24/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 7,426.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 0.							В						
10/24/2018 368299283 ANDRENACCI, LETICIA S SPDR S&P 500 ETF TRUST B 23,992.31 39.00 39.00 0.00 0.00 0.00 0.00 0.00 0.							В						
09/27/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 7,426.79 24.00 24.00 0.00 0.0 0.0 0.0 0.00 0.0 0.00 0.0						SPDR S&P 500 ETF TRUST	В						
09/28/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 7,324.76 23.00 23.00 0.00 0.0 0.0 0.0 10/02/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 7,232.25 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/04/2018 368300237 UNDERWOOD, TAMMY S FACEBOOK INC-CLASS A B 1,098.52 16.00 16.00 0.00 0.0 0.0 0.0 10/04/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.0 0.0 0.0 10/05/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 6,448.72 22.00 22.00 0.00 0.0 0.0 0.0 10/08/2018 368300237 UNDERWOOD, TAMMY S MORGAN STANLEY S -76.54 23.00 23.00 0.00 0.0 0.0 0.0 10/08/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 4,451.62 19.00 19.00 0.00 0.0 0.0 0.0 0.0	09/27/2018 36	58300237	UNDERWOOD,	TAMMY	S		В						
10/02/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 7,232.25 24.00 24.00 0.00 0.00 0.00 0.00 10/04/2018 368300237 UNDERWOOD, TAMMY S FACEBOOK INC-CLASS A B 1,098.52 16.00 16.00 0.00 0.00 0.00 0.00 10/04/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.00 0.00 0.00 10/05/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 6,448.72 22.00 22.00 0.00 0.00 0.00 0.00 10/08/2018 368300237 UNDERWOOD, TAMMY S MORGAN STANLEY S -76.54 23.00 23.00 0.00 0.00 0.00 0.00 10/08/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 4,451.62 19.00 19.00 19.00 0.00 0.00 0.00 0.00							В						
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10/04/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.00 0.00 0.00 10/05/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 6,448.72 22.00 22.00 0.00 0.00 0.00 10/08/2018 368300237 UNDERWOOD, TAMMY S MORGAN STANLEY S 7-76.54 23.00 23.00 0.00 0.00 0.00 10/08/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 4,451.62 19.00 19.00 0.00 0.00 0.00 0.00													
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10/08/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 4,451.62 19.00 19.00 0.00 0.00 0.00			•										
2 2/000/00 20/00 0/00 0/00													
10/08/2018 368300237 UNDERWOOD, TAMMY S SPDR S&P 500 ETF TRUST B 6,836.72 22.00 22.00 0.00 0.00 0.00													

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 368300237 UNDERWOOD, TAMMY	S	TESLA INC FORMERLY TESLA M	OTORS B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368300237 UNDERWOOD, TAMMY	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368300237 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368300237 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	5,952.72	22.00	22.00	0.00	0.0	0.00
10/16/2018 368300237 UNDERWOOD, TAMMY	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368300237 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368300237 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368300237 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368300237 UNDERWOOD, TAMMY	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368327197 HELMS, MORRIS DAI	E S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197 HELMS, MORRIS DAI	E S	MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197 HELMS, MORRIS DAI	E S	TESLA INC FORMERLY TESLA M	OTORS B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368327197 HELMS, MORRIS DAI	E S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368327197 HELMS, MORRIS DAI	E S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368327197 HELMS, MORRIS DAI	E S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	7,426.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	6,512.72	22.00	22.00	0.00	0.0	0.00
10/02/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368351790 DOSDALL, KEVIN	S	FACEBOOK INC-CLASS A	В	1,098.52	16.00	16.00	0.00	0.0	0.00
10/04/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368351790 DOSDALL, KEVIN	S	MORGAN STANLEY	S	-76.54	23.00	23.00	0.00	0.0	0.00
10/08/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	6,836.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368351790 DOSDALL, KEVIN	S	TESLA INC FORMERLY TESLA M		995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368351790 DOSDALL, KEVIN	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368351790 DOSDALL, KEVIN							0.00	0.0	0.00
	S	SPDR S&P 500 ETF TRUST	В	5,952.72	22.00	22.00	0.00	0.0	0.00
10/16/2018 368351790 DOSDALL, KEVIN	S S	SPDR S&P 500 ETF TRUST CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368351790 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	74,119.88	114.00	114.00	0.00	0.0	0.00
09/28/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	81,219.88	114.00	114.00	0.00	0.0	0.00
10/02/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	72,174.48	114.00	114.00	0.00	0.0	0.00
10/04/2018 368362828 TUCKER, GARY DON	S	FACEBOOK INC-CLASS A	В	16,247.48	44.00	44.00	0.00	0.0	0.00
10/04/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	80,019.88	114.00	114.00	0.00	0.0	0.00
10/05/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	80,419.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828 TUCKER, GARY DON	S	MORGAN STANLEY	S	-1,016.82	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	92,090.15	122.00	122.00	0.00	0.0	0.00
10/08/2018 368362828 TUCKER, GARY DON	S	TESLA INC FORMERLY TESLA MOTORS		17,635.97	50.00	50.00	0.00	0.0	0.00
10/08/2018 368362828 TUCKER, GARY DON	S	TEXAS INSTRUMENTS INC	S	17.20	36.00	36.00	0.00	0.0	0.00
10/10/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	74,219.88	114.00	114.00	0.00	0.0	0.00
10/10/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	85,784.08	114.00	114.00	0.00	0.0	0.00
10/16/2018 368362828 TUCKER, GARY DON	S	CANOPY GROWTH CORP ISIN	В	70,003.47	15.00	15.00	0.00	0.0	0.00
10/22/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	132,088.05	164.00	164.00	0.00	0.0	0.00
10/23/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	94,087.99	139.00	139.00	0.00	0.0	0.00
10/23/2018 368362828 TUCKER, GARY DON	S	SPDR S&P 500 ETF TRUST	В	132,471.60	164.00	164.00	0.00	0.0	0.00
09/27/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	7,426.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	6,512.72	22.00	22.00	0.00	0.0	0.00
	S	SPDR S&P 500 EIF TRUST	В		24.00	24.00	0.00	0.0	0.00
10/02/2018 368460190 BUTLER, ANTHONY				7,232.25					
10/04/2018 368460190 BUTLER, ANTHONY	S	FACEBOOK INC-CLASS A	В	1,098.52	16.00	16.00	0.00	0.0	0.00
10/04/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368460190 BUTLER, ANTHONY	S	MORGAN STANLEY	S	-76.54	23.00	23.00	0.00	0.0	0.00
10/08/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	5,984.19	21.00	21.00	0.00	0.0	0.00
10/08/2018 368460190 BUTLER, ANTHONY	S	TESLA INC FORMERLY TESLA MOTORS	-	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368460190 BUTLER, ANTHONY	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	5,952.72	22.00	22.00	0.00	0.0	0.00
10/16/2018 368460190 BUTLER, ANTHONY	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368460190 BUTLER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368461459 OAKLEY, SUSAN GAYLI	E S	SPDR S&P 500 ETF TRUST	В	6,685.76	23.00	23.00	0.00	0.0	0.00
09/28/2018 368461459 OAKLEY, SUSAN GAYLI	E S	SPDR S&P 500 ETF TRUST	В	6,512.72	22.00	22.00	0.00	0.0	0.00
10/02/2018 368461459 OAKLEY, SUSAN GAYLI	E S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368461459 OAKLEY, SUSAN GAYLI	E S	FACEBOOK INC-CLASS A	В	1,098.52	16.00	16.00	0.00	0.0	0.00

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	MORGAN STANLEY	S	-66.22	22.00	22.00	0.00	0.0	0.00
		, SUSAN GAYLE S		В	4,451.62	19.00	19.00	0.00	0.0	0.00
		, SUSAN GAYLE S		В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 36	8461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	5,984.19	21.00	21.00	0.00	0.0	0.00
		, SUSAN GAYLE S		A MOTORS B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	5,210.69	21.00	21.00	0.00	0.0	0.00
10/16/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 36	58461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
		, SUSAN GAYLE S		В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 36	8461459 OAKLEY	, SUSAN GAYLE S	S SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
		, SUSAN GAYLE S		В	9,606.79	24.00	24.00	0.00	0.0	0.00
	8461587 POYNOR			В	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 36	8461587 POYNOR	., TERESA S	S SPDR S&P 500 ETF TRUST	В	3,264.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 36	8461587 POYNOR	., TERESA S	S SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 36	8461587 POYNOR	,		В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 36	58461587 POYNOR	•		В	4,016.62	19.00	19.00	0.00	0.0	0.00
	8461587 POYNOR	,		В	3,232.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 36	8461587 POYNOR	,		S	-24.88	18.00	18.00	0.00	0.0	0.00
10/08/2018 36	58461587 POYNOR	•		В	3,426.59	18.00	18.00	0.00	0.0	0.00
	58461587 POYNOR	•		В	4,451.62	19.00	19.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	4,853.03	19.00	19.00	0.00	0.0	0.00
	8461587 POYNOR	•			505.88	15.00	15.00	0.00	0.0	0.00
	8461587 POYNOR	•		S	16.48	15.00	15.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	2,589.48	17.00	17.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	2,984.59	18.00	18.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	2,709.22	15.00	15.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	4,418.84	19.00	19.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	3,779.31	19.00	19.00	0.00	0.0	0.00
	8461587 POYNOR	•		В	4,431.62	19.00	19.00	0.00	0.0	0.00
., .,	8461587 POYNOR	•		В	4,811.62	19.00	19.00	0.00	0.0	0.00
		, CASEY ALLEN S		В	2,239.55	17.00	17.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	1,640.52	16.00	16.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	3,624.35	19.00	19.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	557.48	15.00	15.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	1,616.52	16.00	16.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	1,624.52	16.00	16.00	0.00	0.0	0.00
		., CASEY ALLEN S		S	-4.21	16.00	16.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	1,721.52	16.00	16.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	1,790.52	16.00	16.00	0.00	0.0	0.00
		., CASEY ALLEN S		В	1,951.08	16.00	16.00	0.00	0.0	0.00
10/00/2010 30	,0102133 TOTNOI	., Chigh Land C	, SIDA DEL SOO HIL INOSI	ם	1,751.00	10.00	10.00	0.00	0.0	3.00

TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	58462433 POYNOR	, CASEY ALLEN		TESLA INC FORMERLY TESLA MOTO	-	505.88	15.00	15.00	0.00	0.0	0.00
	58462433 POYNOR	•		TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
	58462433 POYNOR			SPDR S&P 500 ETF TRUST	В	1,500.52	16.00	16.00	0.00	0.0	0.00
	58462433 POYNOR			SPDR S&P 500 ETF TRUST	В	1,731.80	16.00	16.00	0.00	0.0	0.00
	58462433 POYNOR	•		CANOPY GROWTH CORP ISIN	В	1,524.84	15.00	15.00	0.00	0.0	0.00
	58462433 POYNOR			SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
	58462433 POYNOR			SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
	58462433 POYNOR			SPDR S&P 500 ETF TRUST	В	899.48	15.00	15.00	0.00	0.0	0.00
	58462433 POYNOR			SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	3,721.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,076.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,036.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	MORGAN STANLEY	S	-35.22	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	3,426.59	18.00	18.00	0.00	0.0	0.00
	58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET		S	TESLA INC FORMERLY TESLA MOTOR		505.88	15.00	15.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET		S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
., ,	58462543 BAGGET 58462543 BAGGET	•	S	SPDR S&P 500 ETF TRUST	В	2,984.59	18.00	18.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET		S	CANOPY GROWTH CORP ISIN	В	2,709.22	15.00	15.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET	•	S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET 58462543 BAGGET		S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00		0.0	0.00
			S	SPDR S&P 500 EIF IRUSI SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
	58462543 BAGGET							20.00		0.0	
	58462553 PAGE,		S	SPDR S&P 500 ETF TRUST	В	4,462.66	20.00		0.00		0.00
	58462553 PAGE,		S	SPDR S&P 500 ETF TRUST	В	4,076.62	19.00	19.00	0.00	0.0	0.00
	58462553 PAGE,		S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
	58462553 PAGE,		S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
	58462553 PAGE,		S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
	58462553 PAGE,		S	SPDR S&P 500 ETF TRUST	В	4,036.62	19.00	19.00	0.00	0.0	0.00
	58462553 PAGE,			MORGAN STANLEY	S	-45.54	20.00	20.00	0.00	0.0	0.00
	58462553 PAGE,			SPDR S&P 500 ETF TRUST	В	4,279.12	19.00	19.00	0.00		0.00
	58462553 PAGE,				В	4,451.62	19.00	19.00	0.00		0.00
	58462553 PAGE,			SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00		0.00
	58462553 PAGE,			TESLA INC FORMERLY TESLA MOTO		505.88	15.00	15.00	0.00	0.0	0.00
	58462553 PAGE,			TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
	58462553 PAGE,			SPDR S&P 500 ETF TRUST	В	3,726.62	19.00	19.00	0.00	0.0	0.00
	58462553 PAGE,			SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
	58462553 PAGE,			CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 36	58462553 PAGE,	JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00

TRADE DATE	CLIENT		-	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		PAGE, JAMES SUTTON		SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 36	68462553	PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 36	68462553	PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,498.52	16.00	16.00	0.00	0.0	0.00
09/28/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	738.03	15.00	15.00	0.00	0.0	0.00
10/04/2018 36	68462653	BATTEN, PAUL	S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 36	68462653	BATTEN, PAUL	S	MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	983.76	15.00	15.00	0.00	0.0	0.00
10/08/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 36	68462653	BATTEN, PAUL	S	TESLA INC FORMERLY TESLA MOTORS	5 B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 36	68462653	BATTEN, PAUL	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,731.80	16.00	16.00	0.00	0.0	0.00
10/16/2018 36	68462653	BATTEN, PAUL	S	CANOPY GROWTH CORP ISIN	В	1,578.68	15.00	15.00	0.00	0.0	0.00
10/22/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	2,665.55	17.00	17.00	0.00	0.0	0.00
10/24/2018 36	68462653	BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	9,649.90	27.00	27.00	0.00	0.0	0.00
09/28/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 36	68462688	BAGGETT, KELLY	S	FACEBOOK INC-CLASS A	В	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,860.83	25.00	25.00	0.00	0.0	0.00
10/08/2018 36	68462688	BAGGETT, KELLY	S	MORGAN STANLEY	S	-107.55	26.00	26.00	0.00	0.0	0.00
10/08/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 36	68462688	BAGGETT, KELLY	S	TESLA INC FORMERLY TESLA MOTORS	з в	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 36	68462688	BAGGETT, KELLY	S	TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/10/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 36	68462688	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,178.83	25.00	25.00	0.00	0.0	0.00
		BAGGETT, KELLY	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
		BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
		BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
		BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
		BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	19,197.14	34.00	34.00	0.00	0.0	0.00
		GREEN (BENE), JULIE		SPDR S&P 500 ETF TRUST	В	2,980.59	18.00	18.00	0.00	0.0	0.00
		GREEN (BENE), JULIE		SPDR S&P 500 ETF TRUST	В	2,452.55	17.00	17.00	0.00	0.0	0.00
		GREEN (BENE), JULIE		SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 368463677 GREEN (BENE), JULIE S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	2,428.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463677 GREEN (BENE), JULIE S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	2,574.05	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368463677 GREEN (BENE), JULIE S	TESLA INC FORMERLY TESLA MOTO	DRS B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368463677 GREEN (BENE), JULIE S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	1,731.80	16.00	16.00	0.00	0.0	0.00
10/10/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	2,242.55	17.00	17.00	0.00	0.0	0.00
10/16/2018 368463677 GREEN (BENE), JULIE S	CANOPY GROWTH CORP ISIN	В	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368463677 GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368463942 CORTEZ, RAMIRO S	FACEBOOK INC-CLASS A	В	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942 CORTEZ, RAMIRO S	MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942 CORTEZ, RAMIRO S	TESLA INC FORMERLY TESLA MOTO	DRS B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463942 CORTEZ, RAMIRO S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368463942 CORTEZ, RAMIRO S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368463942 CORTEZ, RAMIRO S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	5,944.72	22.00	22.00	0.00	0.0	0.00
09/28/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	5,700.69	21.00	21.00	0.00	0.0	0.00
10/02/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368463952 PAGE, JOHNNA S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	5,644.69	21.00	21.00	0.00	0.0	0.00
10/08/2018 368463952 PAGE, JOHNNA S	MORGAN STANLEY	S	-55.88	21.00	21.00	0.00	0.0	0.00
10/08/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368463952 PAGE, JOHNNA S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00

10.0962/1813 369463992 ZARE, LOMENA 2 SPER SERP SOU ETT TRUST D 5.131.65 20.00 16.00 16.00 0.00 0.00 0.00 10.0962/1813 369463992 ZARE, LUDENAN 8 INSAR INTERVIRENTENT TRUST NOT NOT NOT NOT NOT NOT NOT NOT NOT NO	TRADE DATE	CLIENT		3	NVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
1.00	10/08/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	5,131.66	20.00	20.00	0.00	0.0	0.00
10/10/2018 1864-0955 PAGE, JOHNSMA SERIES SOD ETF TRUST B 4,180.8 19.00 19.00 0.00	10/08/2018 36	68463952 PAG	E, JOHNNA	S	TESLA INC FORMERLY TESLA MOTORS	В	995.31	16.00	16.00	0.00	0.0	0.00
10/10/2018 RANK-STRUMEN, JOHNNA S	10/08/2018 36	68463952 PAG	E, JOHNNA	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/16/2018 368469352 PAGE, JOHNAN S CONNEY GROWTH CORD ISIN S 5,400,99 15,00 15,00 0.00 0.00 0.00 10/23/2018 368463552 PAGN, JOHNAN S SEDER SER SOD ETY TRUST S 4,418.48 19.00 19.00 0.00 0.00 0.00 10/23/2018 368463552 PAGN, JOHNAN S SEDER SER SOD ETY TRUST S 4,418.62 19.00 19.00 0.0	10/10/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/22/2018 368463952 MACH, JOHNNA S SUPE SEF 500 HTY TRUST S 4.418.84 19.00 19.00 0.00 0.00 0.00 0.00 10/23/2018 368463952 MACH, JOHNNA S SUPE SEF 500 HTY TRUST S 4.431.62 19.00 19.00 19.00 0.00 0.00 0.00 10/23/2018 368463952 MACH, JOHNNA S SUPE SEF 500 HTY TRUST S 4.431.62 19.00 24.00 24.00 0.00	10/10/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	4,468.66	20.00	20.00	0.00	0.0	0.00
10/23/2013 368463952 FACE, JOHNAN S SEPEN SEP 500 TIT TRUST B 4.45162 19.00 19.00 0.	10/16/2018 36	68463952 PAG	E, JOHNNA	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/23/2018 368466395 PACE, JOHNNA S SPER SAP SOO ETT TRUST B 4,431.62 19.00 19.00 0.00 0.00 0.00 0.00 0.77/2018 368466395 PACE, JOHNNA S SPER SAP SOO ETT TRUST B 8,066.79 24.00 24.00 0.00 0.0 0.00	10/22/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
10/24/2018 368466835 SNIDER, DAVID S SPOR SAF NOO ETT TRUST B 8,808.86 26.00 26.00 0	10/23/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
99/27/2018 368466825 SNIDER, DAVID S STERS SEP SON ETT TRUST B 8,968.86 26.00 26.00 0.00	10/23/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8,156.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S FACEROOK INC-CLASS A B 1.639.55 17.00 17.00 1.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8,065.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8.7689.26 23.00 23.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8.886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8.886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER SEP 500 ETF TRUST B 8.886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S TEXAS INFORMATIONE B 7.689.61 24.00 24.00 0.00 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S TEXAS INSTRUMENTE INC S 16.52 16.00 16.00 0.00	10/24/2018 36	68463952 PAG	E, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 368466825 SNIDER, DAVID S SPOR SLP 500 ETP TRUST B 1,639.55 17.00 17.00 0	09/27/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,908.86	26.00	26.00	0.00	0.0	0.00
10/04/2018 368466825 SNIDER, DAVID S SPOR SAP 500 ETF TRUST B 8.016.79 24.00 24.00 0.00 0.0 0.0 0.00 10/05/2018 368466825 SNIDER, DAVID S SPOR SAP 500 ETF TRUST B 8.016.79 24.00 24.00 0.00 0.0 0.00 0.00 10/05/2018 368466825 SNIDER, DAVID S SPOR SAP 500 ETF TRUST B 7.689.26 25.00 25.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S SPOR SAP 500 ETF TRUST B 7.689.26 23.00 25.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/28/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,136.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 368466825 SNIDER, DAVID S SPER SAP 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.0 0.0 0.00 10/05/2018 368466825 SNIDER, DAVID S SPER SAP 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.0 0.0 0.00 10/05/2018 368466825 SNIDER, DAVID S SPER SAP 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.0 0.0 0.0 0.00 10/05/2018 368466825 SNIDER, DAVID S SPER SAP 500 ETF TRUST B 7,689.26 23.00 24.00 0.00 0.0 0.0 0.0 0.0 10/05/2018 368466825 SNIDER, DAVID S SPER SAP 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/05/2018 368466825 SNIDER, DAVID S TESLA INSTRUMENTS INC S 1.484.74 17.00 17.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/02/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825 SHIDER, DAVID S SPER SAP SOO ETF TRUST B 8,056.79 24.00 24.00 0	10/04/2018 36	68466825 SNI	DER, DAVID	S	FACEBOOK INC-CLASS A	В	1,639.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368466825 SNIDER, DAVID S POR SAP 500 ETF TRUST B 7,689.26 22.00 23.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S SPOR SAP 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S SPOR SAP 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.0 10/08/2018 368466825 SNIDER, DAVID S PARSE SAP 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/08/2018 368466825 SNIDER, DAVID S TESLA INC FORMERIN TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/04/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825 SNIDER, DAVID S PDR SAP 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S PDR SAP 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 17.00 0.00 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.00 10/18/2018 368466825 SNIDER, DAVID S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/05/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.00 0.00 10/08/2018 368466825 SNIDER, DAVID S TELLA INC FORMERLY TEELA MOTORS B 1.484.74 17.00 17.00 0.00 0.0 0.0 0.00 10/10/2018 368466825 SNIDER, DAVID S TELLA INC FORMERLY TEELA MOTORS B 1.484.74 17.00 17.00 0.00 0.0 0.0 0.0 0.0 10/10/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 6.694.76 23.00 23.00 0.00 0.0 0.0 0.0 0.0 10/10/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 8.694.76 23.00 23.00 0.00 0.0 0.0 0.0 0.0 10/16/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 8.694.76 23.00 15.00 0.0 0.0 0.0 0.0 0.0 10/16/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 8.621.22 24.00 24.00 0.00 0.0 0.0 0.0 10/22/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 8.821.22 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 8.846.79 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPER S&P 500 ETF TRUST B 8.846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	68466825 SNI	DER, DAVID	S	MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368466825 SNIDER, DAVID S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.0 0.0 0.00 10/10/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 6,694.76 23.00 23.00 0.00 0.0 0.0 0.0 10/10/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.0 0.0 0.0 10/10/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 10/10/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/22/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	7,689.26	23.00	23.00	0.00	0.0	0.00
10/08/2018 368466825 SNIDER, DAVID S TEXAS INSTRIMENTS INC S 16.52 16.00 16.00 0.00 0.0 0.0 0.00 10/08/2018 368466825 SNIDER, DAVID S TEXAS INSTRIMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.0 0.00 10/10/2018 368466825 SNIDER, DAVID S SPDR SEP 500 ETF TRUST B 6,694.76 23.00 23.00 0.00 0.0 0.0 0.00 10/10/2018 368466825 SNIDER, DAVID S CANOPY GROWTH CORP ISIN B 5,400.99 15.00 15.00 0.00 0.0 0.0 0.00 10/16/2018 368466825 SNIDER, DAVID S CANOPY GROWTH CORP ISIN B 5,400.99 15.00 15.00 0.00 0.00 0.00 10/22/2018 368466825 SNIDER, DAVID S SPDR SEP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.00 0.0 0.00 10/23/2018 368466825 SNIDER, DAVID S SPDR SEP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.00 10/23/2018 368466825 SNIDER, DAVID S SPDR SEP 500 ETF TRUST B 7,542.17 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR SEP 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825 SNIDER, DAVID S PERSEP 500 ETF TRUST B 6.694.76 23.00 23.00 0.00 0.0 0.0 0.00 10/10/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,5400.99 15.00 24.00 0.00 0.0 0.0 0.0 10/16/2018 368466825 SNIDER, DAVID S CANOPY GROWTH CORP ISIN B 8,593.21 24.00 24.00 0.00 0.0 0.0 0.0 10/16/2018 368466825 SNIDER, DAVID S CANOPY GROWTH CORP ISIN B 5.400.99 15.00 15.00 0.00 0.0 0.0 0.0 10/16/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/24/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/24/2018 368500081 STUBBLEFIELD, S SPDR SAP 500 ETF TRUST B 8,908.86 26.00 26.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/10/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.0 0.0 0.00 10/10/2018 368466825 SNIDER, DAVID S CANOFY GROWTH CORP ISIN B 5,400.99 15.00 15.00 0.00 0.0 0.0 0.0 0.0 10/22/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,8456.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,8466.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	68466825 SNI	DER, DAVID	S	TESLA INC FORMERLY TESLA MOTORS	В	1,484.74	17.00	17.00	0.00	0.0	0.00
10/10/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.0 0.0 0.00 10/16/2018 368466825 SNIDER, DAVID S CANOPY GROWTH CORP ISIN B 5,400.99 15.00 15.00 0.00 0.0 0.0 0.0 0.0 10/22/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.00 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 7,542.17 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 36850685 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 9,606.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/08/2018 36	68466825 SNI	DER, DAVID	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/16/2018 368466825 SNIDER, DAVID S SCANOPY GROWTH CORP ISIN B 5,400.99 15.00 15.00 0.00 0.0 0.0 0.0 0.0 10/22/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 7,542.17 24.00 24.00 0.00 0.0 0.0 0.0 10/24/2018 368466825 SNIDER, DAVID S SPDR SAP 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/10/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	6,694.76	23.00	23.00	0.00	0.0	0.00
10/22/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,821.22 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 7,542.17 24.00 24.00 0.00 0.0 0.0 0.0 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 10/24/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 9,606.79 24.00 24.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	10/10/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 7,542.17 24.00 24.00 0.00 0.0 0.0 0.00 10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.00 10/24/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 9,606.79 24.00 24.00 0.00 0.0 0.0 0.00 0.00 0.00	10/16/2018 36	68466825 SNI	DER, DAVID	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/23/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 8,846.79 24.00 24.00 0.00 0.0 0.0 0.00 10/24/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 9,606.79 24.00 24.00 0.00 0.0 0.0 0.00 0.00 0.00	10/22/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/24/2018 368466825 SNIDER, DAVID S SPDR S&P 500 ETF TRUST B 9,606.79 24.00 24.00 0.00 0.0 0.0 0.00 0.00 0.00	10/23/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
09/27/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,908.86 26.00 26.00 0.00 0.00 0.0 0.00 0.00 0.	10/23/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,232.25 24.00 24.00 0.00 0.0 0.0 0.00 10/02/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,232.25 24.00 24.00 0.00 0.0 0.0 0.0 10/04/2018 368500081 STUBBLEFIELD, S FACEBOOK INC-CLASS A B 1,639.55 17.00 17.00 0.00 0.0 0.0 0.0 10/04/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.0 0.0 0.0 10/05/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S MORGAN STANLEY S -97.21 25.00 25.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.0 0.0 0.0 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081	10/24/2018 36	68466825 SNI	DER, DAVID	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,232.25 24.00 24.00 0.00 0.0 0.0 0.00 10/04/2018 368500081 STUBBLEFIELD, S FACEBOOK INC-CLASS A B 1,639.55 17.00 17.00 0.00 0.0 0.00 0.00 10/04/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.00 0.00 0.00 10/05/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S MORGAN STANLEY S -97.21 25.00 25.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018	09/27/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,908.86	26.00	26.00	0.00	0.0	0.00
10/04/2018 368500081 STUBBLEFIELD, S FACEBOOK INC-CLASS A B 1,639.55 17.00 17.00 0.00 0.0 0.0 0.00 10/04/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.00 0.0 0.00 10/05/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S MORGAN STANLEY S -97.21 25.00 25.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018	09/28/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,136.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,016.79 24.00 24.00 0.00 0.0 0.0 0.00 10/05/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S MORGAN STANLEY S -97.21 25.00 25.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.0 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.0 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10	10/02/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/05/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,056.79 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S MORGAN STANLEY S -97.21 25.00 25.00 0.00 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.00 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00 0.00 0.	10/04/2018 36	68500081 STU	BBLEFIELD,	S	FACEBOOK INC-CLASS A	В	1,639.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368500081 STUBBLEFIELD, S MORGAN STANLEY S -97.21 25.00 25.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,689.26 23.00 23.00 0.00 0.0 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.0 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.0 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.0 0.0 0.0 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.0 0.00 0.00	10/04/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 23.00 0.00 0.00 0.00 0.00 0.00 0.00	10/05/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,886.79 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.00 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.00 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00	10/08/2018 36	68500081 STU	BBLEFIELD,	S	MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 9,689.61 24.00 24.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.0 0.0 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.0 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.0 0.0 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.0 0.00	10/08/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	7,689.26	23.00	23.00	0.00	0.0	0.00
10/08/2018 368500081 STUBBLEFIELD, S TESLA INC FORMERLY TESLA MOTORS B 1,484.74 17.00 17.00 0.00 0.00 0.00 0.00 10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00	10/08/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368500081 STUBBLEFIELD, S TEXAS INSTRUMENTS INC S 16.52 16.00 16.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00 0.00	10/08/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 7,436.79 24.00 24.00 0.00 0.00 0.00 0.00 10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00	10/08/2018 36	68500081 STU	BBLEFIELD,	S	TESLA INC FORMERLY TESLA MOTORS	В	1,484.74	17.00	17.00	0.00	0.0	0.00
10/10/2018 368500081 STUBBLEFIELD, S SPDR S&P 500 ETF TRUST B 8,593.21 24.00 24.00 0.00 0.00 0.00	10/08/2018 36	68500081 STU	BBLEFIELD,	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
	10/10/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	7,436.79	24.00	24.00	0.00	0.0	0.00
10/16/2018 368500081 STUBBLEFIELD, S CANOPY GROWTH CORP ISIN B 5,400.99 15.00 15.00 0.00 0.00 0.00	10/10/2018 36	68500081 STU	BBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
	10/16/2018 36	68500081 STU	BBLEFIELD,	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	68500081 STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 3	68500081 STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 3	68500081 STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 3	68500081 STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,264.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 3	99449030 JAMES, PAULINE	S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,232.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 3	99449030 JAMES, PAULINE	S	MORGAN STANLEY	S	-24.88	18.00	18.00	0.00	0.0	0.00
10/08/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,426.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 3	99449030 JAMES, PAULINE	S	TESLA INC FORMERLY TESLA MOTOR	RS B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 3	99449030 JAMES, PAULINE	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	2,589.48	17.00	17.00	0.00	0.0	0.00
10/10/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	2,984.59	18.00	18.00	0.00	0.0	0.00
10/16/2018 3	99449030 JAMES, PAULINE	S	CANOPY GROWTH CORP ISIN	В	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 3	99449030 JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,980.59	18.00	18.00	0.00	0.0	0.00
09/28/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 4	31485692 KIPPES, LOY DUANE	S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,428.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 4	31485692 KIPPES, LOY DUANE	S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,574.05	17.00	17.00	0.00	0.0	0.00
10/08/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,677.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 4	31485692 KIPPES, LOY DUANE	S	TESLA INC FORMERLY TESLA MOTOR	RS B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 4	31485692 KIPPES, LOY DUANE	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 4	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	1,731.80	16.00	16.00	0.00	0.0	0.00
	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,242.55	17.00	17.00	0.00	0.0	0.00
10/16/2018 4	31485692 KIPPES, LOY DUANE	S	CANOPY GROWTH CORP ISIN	В	2,709.22	15.00	15.00	0.00	0.0	0.00
	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	1,521.60	16.00	16.00	0.00	0.0	0.00
	31485692 KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
	83947985 POYNER, TERESA	S	SPDR S&P 500 ETF TRUST	В	2,239.55	17.00	17.00	0.00	0.0	0.00
	83947985 POYNER, TERESA	S	SPDR S&P 500 ETF TRUST	В	1,640.52	16.00	16.00	0.00	0.0	0.00
	83947985 POYNER, TERESA	S	SPDR S&P 500 ETF TRUST	В	738.03	15.00	15.00	0.00	0.0	0.00
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TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	83947985	POYNER, TERES	SA S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 58	83947985	POYNER, TERES	SA S	MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	983.76	15.00	15.00	0.00	0.0	0.00
10/08/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 58	83947985	POYNER, TERES	SA S	TESLA INC FORMERLY TESLA MO	TORS B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 58	83947985	POYNER, TERES	SA S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	1,731.80	16.00	16.00	0.00	0.0	0.00
10/16/2018 58	83947985	POYNER, TERES	SA S	CANOPY GROWTH CORP ISIN	В	1,632.51	15.00	15.00	0.00	0.0	0.00
10/22/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 58	83947985	POYNER, TERES	SA S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	4,076.62	19.00	19.00	0.00	0.0	0.00
10/02/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 64	46137924	ANDRENACCI, I	LETICIA S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	4,036.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 64	46137924	ANDRENACCI, I	LETICIA S	MORGAN STANLEY	S	-35.22	19.00	19.00	0.00	0.0	0.00
10/08/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	3,426.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 64	46137924	ANDRENACCI, I	LETICIA S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 64	46137924	ANDRENACCI, I	LETICIA S	TESLA INC FORMERLY TESLA MO	TORS B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 64	46137924	ANDRENACCI, I	ETICIA S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 64	46137924	ANDRENACCI, I	ETICIA S	SPDR S&P 500 ETF TRUST	В	2,984.59	18.00	18.00	0.00	0.0	0.00
10/10/2018 64	46137924	ANDRENACCI, I	ETICIA S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/16/2018 64	46137924	ANDRENACCI, I	ETICIA S	CANOPY GROWTH CORP ISIN	В	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 64	46137924	ANDRENACCI, I	ETICIA S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 64	46137924	ANDRENACCI, I	ETICIA S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 64	46137924	ANDRENACCI, I	ETICIA S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 64	46137924	ANDRENACCI, I	ETICIA S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 64	46147745	ANDERSON, DAV	/ID S	SPDR S&P 500 ETF TRUST	В	6,685.76	23.00	23.00	0.00	0.0	0.00
		ANDERSON, DAV		SPDR S&P 500 ETF TRUST	В	6,512.72	22.00	22.00	0.00	0.0	0.00
		ANDERSON, DAY		SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
		ANDERSON, DAY		FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
		ANDERSON, DAY		SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
		ANDERSON, DAY		SPDR S&P 500 ETF TRUST	В	5,644.69	21.00	21.00	0.00	0.0	0.00
		ANDERSON, DAY		MORGAN STANLEY	S	-66.22	22.00	22.00	0.00	0.0	0.00
		ANDERSON, DAY		SPDR S&P 500 ETF TRUST	В	4,451.62	19.00	19.00	0.00	0.0	0.00
		ANDERSON, DAY		SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
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TRADE DATE	CLIENT					B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
10/08/2018 64		·		S	SPDR S&P 500 ETF TRUST	В	5,984.19	21.00	21.00	0.00	0.0	0.00
10/08/2018 64				S	TESLA INC FORMERLY TESLA MOTORS	В	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 64	46147745	ANDERSON,	DAVID	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 64	46147745	ANDERSON,	DAVID	S	SPDR S&P 500 ETF TRUST	В	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 64	46147745	ANDERSON,	DAVID	S	SPDR S&P 500 ETF TRUST	В	5,210.69	21.00	21.00	0.00	0.0	0.00
10/16/2018 64	46147745	ANDERSON,	DAVID	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 64	46147745	ANDERSON,	DAVID	S	SPDR S&P 500 ETF TRUST	В	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 64	46147745	ANDERSON,	DAVID	S	SPDR S&P 500 ETF TRUST	В	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 64	46147745	ANDERSON,	DAVID	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 64	46147745	ANDERSON,	DAVID	S	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,136.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 67	77594762	DOSDALL,	KEVIN	S	FACEBOOK INC-CLASS A	В	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 67	77594762	DOSDALL,	KEVIN	S	MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	7,689.26	23.00	23.00	0.00	0.0	0.00
10/08/2018 67	77594762	DOSDALL,	KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 67				S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 67	77594762	DOSDALL,	KEVIN	S	TESLA INC FORMERLY TESLA MOTORS	В	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 67				S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/09/2018 67				S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 67				S	SPDR S&P 500 ETF TRUST	В	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 67				S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 67				S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 67				S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 67				S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 67				S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 67				s	SPDR S&P 500 ETF TRUST	В	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 70				S	SPDR S&P 500 ETF TRUST	В	11,873.00	30.00	30.00	0.00	0.0	0.00
09/28/2018 70				S	SPDR S&P 500 ETF TRUST	В	11,384.93	28.00	28.00	0.00	0.0	0.00
10/02/2018 70				S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/02/2018 70				S	FACEBOOK INC-CLASS A	В	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 70		•		S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 70				S	SPDR S&P 500 ETF TRUST		11,272.93	28.00	28.00		0.0	0.00
						В						
10/08/2018 70				S	MORGAN STANLEY	S	-138.55	29.00	29.00	0.00	0.0	0.00
10/08/2018 70				S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 70				S	SPDR S&P 500 ETF TRUST	В	11,099.40	27.00	27.00	0.00	0.0	0.00
10/08/2018 70		•		S	SPDR S&P 500 ETF TRUST	В	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 70		•		S	TESLA INC FORMERLY TESLA MOTORS		1,974.18	18.00	18.00	0.00	0.0	0.00
10/08/2018 70				S	TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/09/2018 70				S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 70	08078768	TUCKER, C	LARENCE	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/10/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	9,662.90	27.00	27.00	0.00	0.0	0.00
10/16/2018 708078768 TUCKER, CLARENCE	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	19,197.14	34.00	34.00	0.00	0.0	0.00
09/27/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,980.59	18.00	18.00	0.00	0.0	0.00
09/28/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	3,264.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 800358756 WEST, JAY	S	FIRST FINANCIAL BANKSHARES INC	S	-17,632.40	15.00	15.00	0.00	0.0	0.00
10/02/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 800358756 WEST, JAY	S	FACEBOOK INC-CLASS A	В	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	3,232.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 800358756 WEST, JAY	S	MORGAN STANLEY	S	-24.88	18.00	18.00	0.00	0.0	0.00
10/08/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,574.05	17.00	17.00	0.00	0.0	0.00
10/08/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,677.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 800358756 WEST, JAY	S	TESLA INC FORMERLY TESLA MOTORS	3 В	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 800358756 WEST, JAY	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/09/2018 800358756 WEST, JAY	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,242.55	17.00	17.00	0.00	0.0	0.00
10/10/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,589.48	17.00	17.00	0.00	0.0	0.00
10/16/2018 800358756 WEST, JAY	S	CANOPY GROWTH CORP ISIN	В	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,657.88	17.00	17.00	0.00	0.0	0.00
10/23/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	9,649.90	27.00	27.00	0.00	0.0	0.00
09/28/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 800359189 WEST, ANN	S	FACEBOOK INC-CLASS A	В	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,860.83	25.00	25.00	0.00	0.0	0.00
10/08/2018 800359189 WEST, ANN	S	MORGAN STANLEY	S	-107.55	26.00	26.00	0.00	0.0	0.00
10/08/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 800359189 WEST, ANN	S	TESLA INC FORMERLY TESLA MOTORS	В В	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 800359189 WEST, ANN	S	TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/09/2018 800359189 WEST, ANN	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,178.83	25.00	25.00	0.00	0.0	0.00
10/16/2018 800359189 WEST, ANN	S	CANOPY GROWTH CORP ISIN	В	5,400.99	15.00	15.00	0.00	0.0	0.00
	D	CHICH GROWTH CORE IDEN	_	5,100.55			0.00	٠.٠	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	19,197.14	34.00	34.00	0.00	0.0	0.00
09/27/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	37,068.17	64.00	64.00	0.00	0.0	0.00
09/28/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	40,618.17	64.00	64.00	0.00	0.0	0.00
10/02/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	28,879.66	54.00	54.00	0.00	0.0	0.00
10/04/2018 800370191 TRUST, WEST	S	FACEBOOK INC-CLASS A	В	5,426.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	40,018.17	64.00	64.00	0.00	0.0	0.00
10/05/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	40,218.17	64.00	64.00	0.00	0.0	0.00
10/08/2018 800370191 TRUST, WEST	S	MORGAN STANLEY	S	-396.85	54.00	54.00	0.00	0.0	0.00
10/08/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	31,062.65	49.00	49.00	0.00	0.0	0.00
10/08/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	34,117.82	54.00	54.00	0.00	0.0	0.00
10/08/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 800370191 TRUST, WEST	S	TESLA INC FORMERLY TESLA MOTORS	ВВ	6,379.06	27.00	27.00	0.00	0.0	0.00
10/08/2018 800370191 TRUST, WEST	S	TEXAS INSTRUMENTS INC	S	16.72	22.00	22.00	0.00	0.0	0.00
10/09/2018 800370191 TRUST, WEST	S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	28,955.79	53.00	53.00	0.00	0.0	0.00
10/10/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	42,900.27	64.00	64.00	0.00	0.0	0.00
10/16/2018 800370191 TRUST, WEST	S	CANOPY GROWTH CORP ISIN	В	21,551.61	15.00	15.00	0.00	0.0	0.00
10/22/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	44,040.32	64.00	64.00	0.00	0.0	0.00
10/23/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	37,645.07	64.00	64.00	0.00	0.0	0.00
10/23/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	44,168.17	64.00	64.00	0.00	0.0	0.00
09/27/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	148,223.31	214.00	214.00	0.00	0.0	0.00
09/28/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	243,626.74	314.00	314.00	0.00	0.0	0.00
10/02/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	216,490.54	314.00	314.00	0.00	0.0	0.00
10/04/2018 800371394 WEST, JOHN	S	FACEBOOK INC-CLASS A	В	54,119.88	114.00	114.00	0.00	0.0	0.00
10/04/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	240,026.74	314.00	314.00	0.00	0.0	0.00
10/05/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	241,226.74	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394 WEST, JOHN	S	MORGAN STANLEY	S	-3,083.35	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	255,776.74	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	266,126.74	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	290,211.34	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394 WEST, JOHN	S	TESLA INC FORMERLY TESLA MOTORS	ВВ	48,959.58	114.00	114.00	0.00	0.0	0.00
10/08/2018 800371394 WEST, JOHN	S	TEXAS INSTRUMENTS INC	S	18.71	80.00	80.00	0.00	0.0	0.00
10/09/2018 800371394 WEST, JOHN	S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	222,626.74	314.00	314.00	0.00	0.0	0.00
10/10/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	257,319.34	314.00	314.00	0.00	0.0	0.00
10/16/2018 800371394 WEST, JOHN	S	CANOPY GROWTH CORP ISIN	В	161,523.65	15.00	15.00	0.00	0.0	0.00
10/22/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	176,111.91	214.00	214.00	0.00	0.0	0.00
10/23/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	188,159.53	264.00	264.00	0.00	0.0	0.00
10/23/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	220,775.03	264.00	264.00	0.00	0.0	0.00
10/24/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	287,726.74	314.00	314.00	0.00	0.0	0.00
		* STOCKS SUBTOTAL	*	\$12,400,628.09	\$26,560.00	\$26,560.00	\$0.00		\$0.00

TRADE DATE	CLIENT	IN	VESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CA	TEGORY: OPTIONS									
10/02/2018 2	215745263 DOSDALL, ALEX SCOTT	0	CALL: ULTA BEAUTY INC DEC 201	8 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 2	215745263 DOSDALL, ALEX SCOTT	0	CALL: MRC GLOBAL INC DEC 2018	@ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 2	215745263 DOSDALL, ALEX SCOTT	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 3	306754795 GREEN, JULIE ANN	0	CALL: ULTA BEAUTY INC DEC 201	8 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 3	306754795 GREEN, JULIE ANN	0	CALL: MRC GLOBAL INC DEC 2018	@ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 3	306754795 GREEN, JULIE ANN	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 3	337573775 HARDWICKE, ALAN	0	CALL: ULTA BEAUTY INC DEC 201	8 В	1,978.68	16.00	16.00	0.00	0.0	0.00
10/04/2018 3	337573775 HARDWICKE, ALAN	0	CALL: MRC GLOBAL INC DEC 2018	@ B	2,830.40	44.00	44.00	0.00	0.0	0.00
10/08/2018 3	337573775 HARDWICKE, ALAN	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	-11.31	21.00	21.00	0.00	0.0	0.00
10/02/2018 3	338136256 HELMS, CARLA ANN	0	CALL: ULTA BEAUTY INC DEC 201	8 В	1,978.68	16.00	16.00	0.00	0.0	0.00
10/04/2018 3	338136256 HELMS, CARLA ANN	0	CALL: MRC GLOBAL INC DEC 2018	@ B	2,830.40	44.00	44.00	0.00	0.0	0.00
10/08/2018 3	338136256 HELMS, CARLA ANN	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	-11.31	21.00	21.00	0.00	0.0	0.00
10/02/2018 3	338152542 HELMS, MORRIS DALE	0	CALL: ULTA BEAUTY INC DEC 201	8 B	19,638.79	34.00	34.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		18,776.11	214.00	214.00	0.00	0.0	0.00
			CALL: BLUEBIRD BIO INC OCT 20		-261.15	84.00	84.00	0.00	0.0	0.00
			CALL: ULTA BEAUTY INC DEC 201		4,922.03	19.00	19.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		4,706.37	64.00	64.00	0.00	0.0	0.00
			CALL: BLUEBIRD BIO INC OCT 20		-27.17	25.00	25.00	0.00	0.0	0.00
			CALL: ULTA BEAUTY INC DEC 201		4,922.03	19.00	19.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		4,706.37	64.00	64.00	0.00	0.0	0.00
			CALL: BLUEBIRD BIO INC OCT 20		-43.04	29.00	29.00	0.00	0.0	0.00
			CALL: ULTA BEAUTY INC DEC 201		997.56	15.00	15.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		485.44	19.00	19.00	0.00	0.0	0.00
	368255592 RUSSELL, KIMBERLEY		CALL: BLUEBIRD BIO INC OCT 20		12.48	15.00	15.00	0.00	0.0	0.00
			CALL: ULTA BEAUTY INC DEC 201		4,922.03	19.00	19.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		4,706.37	64.00	64.00	0.00	0.0	0.00
			CALL: BLUEBIRD BIO INC OCT 20		-70.80	36.00	36.00	0.00	0.0	0.00
					997.56				0.0	0.00
	•		CALL: ULTA BEAUTY INC DEC 2019		485.44	15.00	15.00	0.00	0.0	0.00
	•		CALL: MRC GLOBAL INC DEC 2018			19.00	19.00	0.00		
	•		CALL: BLUEBIRD BIO INC OCT 201		12.48	15.00	15.00	0.00	0.0	0.00
	•		CALL: ULTA BEAUTY INC DEC 201		19,638.79	34.00	34.00	0.00	0.0	0.00
	•		CALL: MRC GLOBAL INC DEC 2018		6,113.34	79.00	79.00	0.00	0.0	0.00
	•		CALL: BLUEBIRD BIO INC OCT 20		-201.66	69.00	69.00	0.00	0.0	0.00
	,		CALL: ULTA BEAUTY INC DEC 201		997.56	15.00	15.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		1,892.42	34.00	34.00	0.00	0.0	0.00
			CALL: BLUEBIRD BIO INC OCT 20		0.59	18.00	18.00	0.00	0.0	0.00
	368299283 ANDRENACCI, LETICIA		CALL: ULTA BEAUTY INC DEC 201		4,922.03	19.00	19.00	0.00	0.0	0.00
	368299283 ANDRENACCI, LETICIA		CALL: MRC GLOBAL INC DEC 2018		3,768.38	54.00	54.00	0.00	0.0	0.00
	368299283 ANDRENACCI, LETICIA		CALL: BLUEBIRD BIO INC OCT 20		-19.24	23.00	23.00	0.00	0.0	0.00
			CALL: ULTA BEAUTY INC DEC 201		997.56	15.00	15.00	0.00	0.0	0.00
			CALL: MRC GLOBAL INC DEC 2018		1,892.42	34.00	34.00	0.00	0.0	0.00
			CALL: BLUEBIRD BIO INC OCT 20		0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 3	368327197 HELMS, MORRIS DALE	0	CALL: ULTA BEAUTY INC DEC 201	8 B	997.56	15.00	15.00	0.00	0.0	0.00

TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 3	68327197	HELMS, MORRIS DALE	0	CALL: MRC GLOBAL INC DEC 2018	@ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 3	68327197	HELMS, MORRIS DALE	0	CALL: BLUEBIRD BIO INC OCT 201	8 S	-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 3	68351790	DOSDALL, KEVIN	0	CALL: ULTA BEAUTY INC DEC 2018	В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 3	68351790	DOSDALL, KEVIN	0	CALL: MRC GLOBAL INC DEC 2018	@ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 3	68351790	DOSDALL, KEVIN	0	CALL: BLUEBIRD BIO INC OCT 201	8 S	0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 3	68362828	TUCKER, GARY DON	0	CALL: ULTA BEAUTY INC DEC 2018	В	19,638.79	34.00	34.00	0.00	0.0	0.00
10/04/2018 3	68362828	TUCKER, GARY DON	0	CALL: MRC GLOBAL INC DEC 2018	@ B	6,113.34	79.00	79.00	0.00	0.0	0.00
10/08/2018 3	68362828	TUCKER, GARY DON	0	CALL: BLUEBIRD BIO INC OCT 201	8 S	-201.66	69.00	69.00	0.00	0.0	0.00
10/02/2018 3	68460190	BUTLER, ANTHONY	0	CALL: ULTA BEAUTY INC DEC 2018	В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 3	68460190	BUTLER, ANTHONY	0	CALL: MRC GLOBAL INC DEC 2018	@ B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 3	68460190	BUTLER, ANTHONY	0	CALL: BLUEBIRD BIO INC OCT 201	8 S	0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 3	68461459	OAKLEY, SUSAN GAYLE	0	CALL: ULTA BEAUTY INC DEC 2018	В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 36	68461459	OAKLEY, SUSAN GAYLE	0	CALL: MRC GLOBAL INC DEC 2018	@ B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 36	68461459	OAKLEY, SUSAN GAYLE	0	CALL: BLUEBIRD BIO INC OCT 201	8 S	0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 36	68461587	POYNOR, TERESA	0	CALL: ULTA BEAUTY INC DEC 2018	В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 36	68461587	POYNOR, TERESA	0	CALL: MRC GLOBAL INC DEC 2018	@ B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 30	68461587	POYNOR, TERESA	0	CALL: BLUEBIRD BIO INC OCT 201	8 S	8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 30	68462433	POYNOR, CASEY ALLEN	0	CALL: ULTA BEAUTY INC DEC 2018	В	997.56	15.00	15.00	0.00	0.0	0.00
		POYNOR, CASEY ALLEN		CALL: MRC GLOBAL INC DEC 2018	@ B	485.44	19.00	19.00	0.00	0.0	0.00
		POYNOR, CASEY ALLEN		CALL: BLUEBIRD BIO INC OCT 201		12.48	15.00	15.00	0.00	0.0	0.00
			0	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
		•	0	CALL: MRC GLOBAL INC DEC 2018		954.43	24.00	24.00	0.00	0.0	0.00
			0	CALL: BLUEBIRD BIO INC OCT 201		8.52	16.00	16.00	0.00	0.0	0.00
		PAGE, JAMES SUTTON	-	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
		PAGE, JAMES SUTTON		CALL: MRC GLOBAL INC DEC 2018		954.43	24.00	24.00	0.00	0.0	0.00
		PAGE, JAMES SUTTON		CALL: BLUEBIRD BIO INC OCT 201		8.52	16.00	16.00	0.00	0.0	0.00
		BATTEN, PAUL	0	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
			0	CALL: MRC GLOBAL INC DEC 2018		485.44	19.00	19.00	0.00	0.0	0.00
			0	CALL: BLUEBIRD BIO INC OCT 201		12.48	15.00	15.00	0.00	0.0	0.00
			0	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
			0	CALL: MRC GLOBAL INC DEC 2018		1,892.42	34.00	34.00	0.00	0.0	0.00
			0	CALL: BLUEBIRD BIO INC OCT 201		-3.38	19.00	19.00	0.00	0.0	0.00
		GREEN (BENE), JULIE	-	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
		GREEN (BENE), JULIE		CALL: MRC GLOBAL INC DEC 2018		485.44	19.00	19.00	0.00	0.0	0.00
		GREEN (BENE), JULIE		CALL: BLUEBIRD BIO INC OCT 201		12.48	15.00	15.00	0.00		0.00
				CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00		0.0	0.00
		CORTEZ, RAMIRO	0	CALL: MRC GLOBAL INC DEC 2018		1,892.42	34.00	34.00	0.00	0.0	0.00
		CORTEZ, RAMIRO	0	CALL: BLUEBIRD BIO INC OCT 201		-3.38	19.00	19.00	0.00	0.0	0.00
		PAGE, JOHNNA	0	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
		PAGE, JOHNNA	0	CALL: MRC GLOBAL INC DEC 2018		954.43	24.00	24.00	0.00	0.0	0.00
		PAGE, JOHNNA	0	CALL: BLUEBIRD BIO INC OCT 201		4.55	17.00	17.00	0.00	0.0	0.00
		SNIDER, DAVID	0	CALL: ULTA BEAUTY INC DEC 2018		997.56	15.00	15.00	0.00	0.0	0.00
		SNIDER, DAVID		CALL: MRC GLOBAL INC DEC 2018		1,892.42		34.00	0.00	0.0	0.00
			0				34.00				
10/00/2018 36	00400825	SNIDER, DAVID	0	CALL: BLUEBIRD BIO INC OCT 201	6 0	-3.38	19.00	19.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/02/2018 368	3500081 STUBBLEFIELD,	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368	3500081 STUBBLEFIELD,	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368	3500081 STUBBLEFIELD,	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 399	9449030 JAMES, PAULINE	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 399	9449030 JAMES, PAULINE	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 399	9449030 JAMES, PAULINE	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 431	1485692 KIPPES, LOY DUANE	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 431	1485692 KIPPES, LOY DUANE	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 431	1485692 KIPPES, LOY DUANE	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 583	3947985 POYNER, TERESA	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 583	3947985 POYNER, TERESA	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 583	3947985 POYNER, TERESA	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 646	5137924 ANDRENACCI, LETICIA	A O	CALL: ULTA BEAUTY INC DEC 203	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 646	5137924 ANDRENACCI, LETICIA	A O	CALL: MRC GLOBAL INC DEC 2018	8 @ B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 646	5137924 ANDRENACCI, LETICIA	A O	CALL: BLUEBIRD BIO INC OCT 20)18 S	8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 646	5147745 ANDERSON, DAVID	0	CALL: ULTA BEAUTY INC DEC 203	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 646	5147745 ANDERSON, DAVID	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 646	5147745 ANDERSON, DAVID	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	4.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 677	7594762 DOSDALL, KEVIN	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 677	7594762 DOSDALL, KEVIN	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 677	7594762 DOSDALL, KEVIN	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 708	3078768 TUCKER, CLARENCE	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	1,978.68	16.00	16.00	0.00	0.0	0.00
10/04/2018 708	3078768 TUCKER, CLARENCE	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	2,830.40	44.00	44.00	0.00	0.0	0.00
10/08/2018 708	3078768 TUCKER, CLARENCE	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	-15.28	22.00	22.00	0.00	0.0	0.00
10/02/2018 800)358756 WEST, JAY	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 800)358756 WEST, JAY	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 800)358756 WEST, JAY	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	4.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 800)359189 WEST, ANN	0	CALL: ULTA BEAUTY INC DEC 201	.8 В	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 800)359189 WEST, ANN	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 800)359189 WEST, ANN	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	-7.34	20.00	20.00	0.00	0.0	0.00
10/02/2018 800	370191 TRUST, WEST	0	CALL: ULTA BEAUTY INC DEC 203	.8 В	4,922.03	19.00	19.00	0.00	0.0	0.00
10/04/2018 800	370191 TRUST, WEST	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	4,706.37	64.00	64.00	0.00	0.0	0.00
10/08/2018 800	370191 TRUST, WEST	0	CALL: BLUEBIRD BIO INC OCT 20)18 S	-66.83	35.00	35.00	0.00	0.0	0.00
10/02/2018 800)371394 WEST, JOHN	0	CALL: ULTA BEAUTY INC DEC 203	.8 B	49,072.29	64.00	64.00	0.00	0.0	0.00
10/04/2018 800)371394 WEST, JOHN	0	CALL: MRC GLOBAL INC DEC 2018	8 @ B	73,803.60	514.00	514.00	0.00	0.0	0.00
10/08/2018 800)371394 WEST, JOHN	0	CALL: BLUEBIRD BIO INC OCT 20	18 S	-633.91	178.00	178.00	0.00	0.0	0.00
			* OPTIONS SUBTOT	AL *	\$334,304.55	\$3,920.00	\$3,920.00	\$0.00		\$0.00
PRODUCT CATE	GORY: MUTUAL FUND 12B1	TRA	AILS							
10/19/2018 mfc	2882166 VARIOUS,	MF	TT THE INVESTMENT COMPANY OF	В	0.00	0.39	0.00	0.39	0.0	0.00
		*	MUTUAL FUND 12B1 TRAILS SUBTOT	AL *	\$0.00	\$0.39	\$0.00	\$0.39		\$0.00
		,	*** CLEARING TRANSACTIONS TOTAL	***	\$12,734,932.64	\$30,480.39	\$30,480.00	\$0.39		\$0.00

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BROKER COMMISSION TOTALS

Net Commissions	\$0.00 \$0.00
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$0.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$0.00