

COMMISSION STATEMENT

October 31, 2018

AARON ARCHAMBO-D166

BROKER #: D166

BRANCH: DMB

, TX

COMMISSION STATEMENT for AARON ARCHAMBO-D166

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/09/2018	184055574	COMITO, DENNIS	MF	BAIRD CORE PLUS BOND FD INV	S	-7,355.65	0.00	5.00	-5.00 90.0 -4.50	
10/09/2018	184055574	COMITO, DENNIS	MF	DREYFUS SMALL CAP STOCK INDEX	S	7,002.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184055574	COMITO, DENNIS	MF	OPPENHEIMER INTL GROWTH FD CL Y B	B	-6,997.55	0.00	5.00	-5.00 90.0 -4.50	
10/10/2018	184055574	COMITO, DENNIS	MF	OPPENHEIMER SENIOR FLOATING	S	6,302.45	0.00	5.00	-5.00 90.0 -4.50	
10/08/2018	184072873	CHENG, KOON TAT	MF	LOOMIS SAYLES STRAT INCOME FD	S	-15,082.41	0.00	5.00	-5.00 90.0 -4.50	
10/08/2018	184072873	CHENG, KOON TAT	MF	NEW WORLD FUND-F1	S	-7,138.02	0.00	5.00	-5.00 90.0 -4.50	
10/08/2018	184072873	CHENG, KOON TAT	MF	PIMCO INVESTMENT GRADE CORP	S	-13,190.36	0.00	5.00	-5.00 90.0 -4.50	
10/08/2018	184072873	CHENG, KOON TAT	MF	THORNBURG LTD TERM INCOME FD CL	S	-13,478.96	0.00	5.00	-5.00 90.0 -4.50	
10/08/2018	184072873	CHENG, KOON TAT	MF	USAA HIGH YIELD OPPORTUNITIES	S	-7,692.59	0.00	5.00	-5.00 90.0 -4.50	
10/09/2018	184072873	CHENG, KOON TAT	MF	CARILLON SCOUT MID CAP FUND	S	11,302.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	FIDELITY ADVISOR HEALTH CARE FD	S	6,302.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	FIDELITY ADV SMALL CAP GROWTH	S	9,102.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	FIDELITY SELECT TECH NOLOGY	S	6,802.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	HARDING LOEVNER INTL EQUITY FD	S	3,502.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	OAKMARK FUND	S	7,002.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	OAKMARK INTL FD CL INV	S	4,302.45	0.00	2.50	-2.50 90.0 -2.25	
10/09/2018	184072873	CHENG, KOON TAT	MF	RUSSELL US DYNAMIC EQUITY FD CL	S	7,702.45	0.00	2.50	-2.50 90.0 -2.25	
10/03/2018	368279345	HAMM, DAN	MF	THORNBURG LTD TERM INCOME FD CL	S	-8,721.55	0.00	5.00	-5.00 90.0 -4.50	
10/16/2018	368315069	LOTTRIDGE, MARK	MF	IVY EMERGING MARKETS EQUITY FD	B	-1,997.55	0.00	5.00	-5.00 90.0 -4.50	
10/09/2018	368432724	COMITO, DENNIS	MF	BAIRD CORE PLUS BOND FD INV	S	-39,376.68	0.00	5.00	-5.00 90.0 -4.50	
10/10/2018	368432724	COMITO, DENNIS	MF	OPPENHEIMER SENIOR FLOATING	S	37,502.45	0.00	5.00	-5.00 90.0 -4.50	
10/10/2018	368437487	PETERSON, ALETHA	MF	FIDELITY ADV MID CAP VALUE	S	-17,375.90	0.00	5.00	-5.00 90.0 -4.50	
10/10/2018	368437487	PETERSON, ALETHA	MF	PIMCO INVESTMENT GRADE CORP	S	-21,316.17	0.00	5.00	-5.00 90.0 -4.50	
10/10/2018	368437487	PETERSON, ALETHA	MF	RUSSELL STRATEGIC BOND FD	S	-31,500.74	0.00	5.00	-5.00 90.0 -4.50	
10/11/2018	368437487	PETERSON, ALETHA	MF	CARILLON SCOUT MID CAP FUND	S	16,002.45	0.00	5.00	-5.00 90.0 -4.50	
10/11/2018	368437487	PETERSON, ALETHA	MF	NATIXIS LOOMIS SAYLES INV GRD	S	31,002.45	0.00	5.00	-5.00 90.0 -4.50	
10/11/2018	368437487	PETERSON, ALETHA	MF	OPPENHEIMER SENIOR FLOATING	S	21,002.45	0.00	2.50	-2.50 90.0 -2.25	
10/17/2018	646261660	FITZSIMMONS,	MF	MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50 90.0 -2.25	
10/17/2018	646266202	FITZSIMMONS,	MF	MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50 90.0 -2.25	
10/01/2018	646277370	FITZSIMMONS, JULIE	MF	AMERICAN FDS BALANCED FD CL F2	S	202.45	0.00	2.50	-2.50 90.0 -2.25	
* MUTUAL FUNDS SUBTOTAL *						\$-15,882.48	\$0.00	\$117.50	\$-117.50	\$-105.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT AB HIGH INCOME A	B	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.71	0.00	4.71	90.0	4.24
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	12.88	0.00	12.88	90.0	11.59
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	21.36	0.00	21.36	90.0	19.22
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	1.52	0.00	1.52	90.0	1.37
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	8.16	0.00	8.16	90.0	7.34
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.15	0.00	8.15	90.0	7.34
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.60	0.00	10.60	90.0	9.54
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.27	0.00	1.27	90.0	1.14
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	2.95	0.00	2.95	90.0	2.66
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	3.15	0.00	3.15	90.0	2.84
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT CUSIP 926826827	B	0.00	1.06	0.00	1.06	90.0	0.95
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT CUSIP 926826827	B	0.00	1.10	0.00	1.10	90.0	0.99
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT INTL EQUITY FD	B	0.00	1.16	0.00	1.16	90.0	1.04
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT INTL EQUITY FD	B	0.00	1.23	0.00	1.23	90.0	1.11
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT BOND FD INV	B	0.00	0.97	0.00	0.97	90.0	0.87
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT BOND FD INV	B	0.00	1.01	0.00	1.01	90.0	0.91
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.50	0.00	0.50	90.0	0.45
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.81	0.00	0.81	90.0	0.73
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.86	0.00	0.86	90.0	0.77
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.04	0.00	1.04	90.0	0.94
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.05	0.00	1.05	90.0	0.95
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.51	0.00	1.51	90.0	1.36
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.67	0.00	1.67	90.0	1.50
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.74	0.00	1.74	90.0	1.57
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.30	0.00	2.30	90.0	2.07
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.09	0.00	5.09	90.0	4.58
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	6.29	0.00	6.29	90.0	5.66
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.77	0.00	2.77	90.0	2.49
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	3.92	0.00	3.92	90.0	3.53
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.03	0.00	0.03	90.0	0.03
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.64	0.00	1.64	90.0	1.48
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	3.91	0.00	3.91	90.0	3.52
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.73	0.00	4.73	90.0	4.26
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A	B	0.00	4.43	0.00	4.43	90.0	3.99
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.52	0.00	0.52	90.0	0.47
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.55	0.00	0.55	90.0	0.50
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.79	0.00	0.79	90.0	0.71
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.57	0.00	1.57	90.0	1.41
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.57	0.00	1.57	90.0	1.41
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.22	0.00	3.22	90.0	2.90
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.34	0.00	3.34	90.0	3.01
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	12.36	0.00	12.36	90.0	11.12

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10/19/2018	mfc002080	ARCHAMBO A 002080	MFT INTERMEDIATE BOND FUND OF	B	0.00	1.27	0.00	1.27	90.0	1.14
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.33	0.00	0.33	90.0	0.30
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.22	0.00	2.22	90.0	2.00
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.25	0.00	8.25	90.0	7.43
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-F1	B	0.00	2.79	0.00	2.79	90.0	2.51
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.51	0.00	1.51	90.0	1.36
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.52	0.00	1.52	90.0	1.37
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.88	0.00	1.88	90.0	1.69
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MARKETS	B	0.00	1.20	0.00	1.20	90.0	1.08
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	0.41	0.00	0.41	90.0	0.37
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	0.62	0.00	0.62	90.0	0.56
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	0.64	0.00	0.64	90.0	0.58
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	1.03	0.00	1.03	90.0	0.93
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	1.07	0.00	1.07	90.0	0.96
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	0.40	0.00	0.40	90.0	0.36
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	0.42	0.00	0.42	90.0	0.38
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	1.30	0.00	1.30	90.0	1.17
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	1.37	0.00	1.37	90.0	1.23
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	1.70	0.00	1.70	90.0	1.53
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.46	0.00	1.46	90.0	1.31
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.69	0.00	2.69	90.0	2.42
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.69	0.00	2.69	90.0	2.42
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.36	0.00	3.36	90.0	3.02
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.91	0.00	9.91	90.0	8.92
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.57	0.00	0.57	90.0	0.51
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.99	0.00	0.99	90.0	0.89
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	0.70	0.00	0.70	90.0	0.63
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	1.79	0.00	1.79	90.0	1.61
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.31	0.00	0.31	90.0	0.28
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	1.88	0.00	1.88	90.0	1.69
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	0.77	0.00	0.77	90.0	0.69
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.52	0.00	1.52	90.0	1.37
10/19/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.16	0.00	4.16	90.0	3.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$213.22	\$0.00	\$213.22		\$191.91
*** CLEARING TRANSACTIONS TOTAL ***					\$-15,882.48	\$213.22	\$117.50	\$95.72		\$86.16

BROKER COMMISSION TOTALS

Broker Transactions	\$86.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00