

COMMISSION STATEMENT

January 31, 2018

JOY LAWRENCE-D098

BROKER #: D098

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/05/2018	184047863	CHHEN, KUONG	MF FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25	50.0	0.13
01/05/2018	184047863	CHHEN, KUONG	MF FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25	50.0	0.13
* MUTUAL FUNDS SUBTOTAL *					\$204.90	\$5.50	\$5.00	\$0.50		\$0.26
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT AB HIGH INCOME A	B	0.00	5.26	0.00	5.26	50.0	2.63
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	2.58	0.00	2.58	50.0	1.29
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.97	0.00	0.97	50.0	0.49
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	13.24	0.00	13.24	50.0	6.62
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.92	0.00	3.92	50.0	1.96
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN GLOBAL	B	0.00	24.81	0.00	24.81	50.0	12.41
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	22.46	0.00	22.46	50.0	11.23
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.87	0.00	1.87	50.0	0.94
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT EATON VANCE GOVT OBLIGATIONS	B	0.00	6.51	0.00	6.51	50.0	3.26
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT EMPIRIC 2500 FD A	B	0.00	6.71	0.00	6.71	50.0	3.36
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR LARGE CAP	B	0.00	8.36	0.00	8.36	50.0	4.18
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	7.96	0.00	7.96	50.0	3.98
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	22.84	0.00	22.84	50.0	11.42
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR FUNDS	B	0.00	19.22	0.00	19.22	50.0	9.61
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT MFS MID CAP GROWTH FUND-C	B	0.00	16.28	0.00	16.28	50.0	8.14
01/23/2018	mfc946954	LAWRENCE JOY	946954 MFT PUTNAM 746802800	B	0.00	13.06	0.00	13.06	50.0	6.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$176.05	\$0.00	\$176.05		\$88.05
*** CLEARING TRANSACTIONS TOTAL ***					\$204.90	\$181.55	\$5.00	\$176.55		\$88.31

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	00551135830 CHHEN, KUONG	MF PUTNAM ABSOLUTE RETURN 500-A	B	540.00	10.80	0.00	10.80	50.0	5.40
01/16/2018	00551137086 CHHEN, CHAN	MF PUTNAM ABSOLUTE RETURN 500-A	B	540.00	10.80	0.00	10.80	50.0	5.40
* MUTUAL FUNDS SUBTOTAL *				\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2018 (None)	VARIOUS, LAWRENCE	MFT PUTNAM	B	0.00	129.35	0.00	129.35	50.0	64.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$129.35	\$0.00	\$129.35		\$64.68
*** DIRECT TRANSACTIONS TOTAL ***				\$1,080.00	\$150.95	\$0.00	\$150.95		\$75.48

REP LINK TRANSACTIONS**BROKER: JOY LAWRENCE-D198 #64**

01/16/2018	00483478258 SCHRAUBEN, DIANA	MF PUTNAM 746802800	B	100.00	5.00	0.00	5.00		1.00
01/25/2018	214776560 DUNLAP, TERRY	MF AMERICAN BALANCED FUND-A	S	100.00	4.88	2.50	2.38		0.48
01/23/2018	368371758 SMITH, BARBARA	MF BALANCED PORTFOLIO-C	B	-287.55	0.00	5.00	-5.00		-1.00
01/24/2018	a03974464 LAWRENCE-D198, JOY	MI MUTUAL FUND MISC FEE 368371758	S	0.00	10.00	0.00	10.00		2.00
12/31/2017 (None)	VARIOUS, JOY RIX	MFT ALLIANCE BERNSTEIN 12B-1	B	0.00	4.81	0.00	4.81		0.96
12/31/2017 (None)	VARIOUS, JOY RIX	MFT HARTFORD MUTUAL FUIND	B	0.00	24.96	0.00	24.96		4.99
01/02/2018 (None)	VARIOUS, JOY RIX	MFT PUTNAM	B	0.00	464.50	0.00	464.50		92.90
01/16/2018	00089409077 KNIGHT, HEATHER	MFT DEUTSCHE GNMA FUND-A	B	0.00	3.76	0.00	3.76		0.75
01/16/2018	00089409077 KNIGHT, HEATHER	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	6.86	0.00	6.86		1.37
01/16/2018	00133424003 BECKER, DENISE	MFT DEUTSCHE MULTI-ASSET MODERATE	B	0.00	0.64	0.00	0.64		0.13
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AIM SHORT TERM BOND FUND CLASS	B	0.00	1.39	0.00	1.39		0.28
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	8.59	0.00	8.59		1.72
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	3.76	0.00	3.76		0.75
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT ALLIANCEBERNSTEIN SMALL CAP	B	0.00	2.43	0.00	2.43		0.49
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMCAP FUND-A	B	0.00	3.18	0.00	3.18		0.64
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMCAP FUND-A	B	0.00	3.80	0.00	3.80		0.76
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMCAP FUND-A	B	0.00	4.36	0.00	4.36		0.87
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMCAP FUND-F1	B	0.00	1.99	0.00	1.99		0.40
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMERICAN BALANCED FUND-A	B	0.00	0.77	0.00	0.77		0.15
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMERICAN BALANCED FUND-A	B	0.00	1.65	0.00	1.65		0.33
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMERICAN BALANCED FUND-A	B	0.00	3.24	0.00	3.24		0.65
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMERICAN BALANCED FUND-A	B	0.00	3.24	0.00	3.24		0.65
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMERICAN BALANCED FUND-A	B	0.00	3.67	0.00	3.67		0.73
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT AMERICAN BALANCED FUND-F1	B	0.00	2.11	0.00	2.11		0.42
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	1.94	0.00	1.94		0.39
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	4.31	0.00	4.31		0.86
01/23/2018	mfc974464 LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	4.51	0.00	4.51		0.90

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	7.13	0.00	7.13		1.43
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	13.50	0.00	13.50		2.70
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	14.29	0.00	14.29		2.86
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	18.12	0.00	18.12		3.62
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	19.62	0.00	19.62		3.92
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	23.38	0.00	23.38		4.68
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	31.69	0.00	31.69		6.34
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	34.30	0.00	34.30		6.86
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	38.97	0.00	38.97		7.79
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	43.53	0.00	43.53		8.71
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BALANCED PORTFOLIO-C	B	0.00	66.02	0.00	66.02		13.20
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT BLK 091928846	B	0.00	5.42	0.00	5.42		1.08
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.09	0.00	1.09		0.22
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.09	0.00	1.09		0.22
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.35	0.00	1.35		0.27
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.58	0.00	3.58		0.72
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.67	0.00	3.67		0.73
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.63	0.00	2.63		0.53
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL INCOME BUILDER-FI	B	0.00	2.08	0.00	2.08		0.42
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL WORLD BOND FUND-C	B	0.00	2.07	0.00	2.07		0.41
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL WORLD BOND FUND-A	B	0.00	2.21	0.00	2.21		0.44
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.98	0.00	1.98		0.40
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.72	0.00	2.72		0.54
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT EHV 277902813	B	0.00	4.15	0.00	4.15		0.83
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.87	0.00	0.87		0.17
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FEDERATED KAUFMANN SMALL CAP FD	B	0.00	1.71	0.00	1.71		0.34
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FEDERATED KAUFMANN FUND	B	0.00	1.95	0.00	1.95		0.39
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.25	0.00	2.25		0.45
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	1.93	0.00	1.93		0.39
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	2.48	0.00	2.48		0.50
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	2.18	0.00	2.18		0.44
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.42	0.00	3.42		0.68
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT FUNDAMENTAL INVESTORS-A	B	0.00	26.61	0.00	26.61		5.32
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	16.87	0.00	16.87		3.37
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	17.32	0.00	17.32		3.46
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	24.58	0.00	24.58		4.92
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	37.39	0.00	37.39		7.48
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.48	0.00	2.48		0.50
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.75	0.00	2.75		0.55
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH PORTFOLIO-C	B	0.00	6.15	0.00	6.15		1.23
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT HARTFORD GLOBAL CAP	B	0.00	2.43	0.00	2.43		0.49
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT INVESCO US GOVT FD INV CL	B	0.00	4.05	0.00	4.05		0.81
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT INVESCO WORLD BOND FD A	B	0.00	2.48	0.00	2.48		0.50
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.82	0.00	1.82		0.36

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01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.36	0.00	7.36		1.47
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.36	0.00	7.36		1.47
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT NEW WORLD FUND-C	B	0.00	2.33	0.00	2.33		0.47
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PIMCO ALL ASSET FD CL D	B	0.00	0.74	0.00	0.74		0.15
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746444504	B	0.00	5.59	0.00	5.59		1.12
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746444108	B	0.00	2.37	0.00	2.37		0.47
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746444108	B	0.00	2.51	0.00	2.51		0.50
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746802578	B	0.00	1.81	0.00	1.81		0.36
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746745504	B	0.00	8.68	0.00	8.68		1.74
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 74677Q109	B	0.00	1.30	0.00	1.30		0.26
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM ASSET ALLOCATION	B	0.00	4.01	0.00	4.01		0.80
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM DIVERSIFIED INCOME TRUST	B	0.00	1.29	0.00	1.29		0.26
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	1.91	0.00	1.91		0.38
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.91	0.00	0.91		0.18
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT SMALLCAP WORLD FUND-F1	B	0.00	1.64	0.00	1.64		0.33
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT SMALLCAP WORLD FUND-A	B	0.00	1.16	0.00	1.16		0.23
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT SMALLCAP WORLD FUND-A	B	0.00	1.30	0.00	1.30		0.26
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.79	0.00	1.79		0.36
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.61	0.00	2.61		0.52
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.19	0.00	3.19		0.64
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	23.76	0.00	23.76		4.75
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.51	0.00	2.51		0.50
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.62	0.00	2.62		0.52
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.62	0.00	2.62		0.52
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.59	0.00	3.59		0.72
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.48	0.00	4.48		0.90
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.94	0.00	2.94		0.59
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INVESTMENT COMPANY OF	B	0.00	1.39	0.00	1.39		0.28
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INVESTMENT COMPANY OF	B	0.00	2.37	0.00	2.37		0.47
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INVESTMENT COMPANY OF	B	0.00	18.91	0.00	18.91		3.78
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT TRANSAMERICA ASSET ALLOC	B	0.00	2.06	0.00	2.06		0.41
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.20	0.00	7.20		1.44
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.20	0.00	7.20		1.44
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.21	0.00	19.21		3.84
01/23/2018 mfc974464	LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.33	0.00	2.33		0.47

* JOY LAWRENCE-D198 SUBTOTAL *

\$-87.55

\$1,249.71

\$7.50

\$1,242.21

\$248.44

BROKER: JOY LAWRENCE-D602 #79

12/31/2017 (None) VARIOUS, LAWRENCE-	MFT COLUMBIA THREADNEEDLE	B	0.00	1,017.71	0.00	1,017.71	203.54
12/31/2017 (None) WILSO, LAURA	MFT TEXAS 529 PLAN	B	0.00	0.96	0.00	0.96	0.19
12/31/2017 (None) VINCENT, KENNETH	MFT TEXAS 529 PLAN	B	0.00	0.47	0.00	0.47	0.09
01/04/2018 00060858365 HENRY, DENNIS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.75	0.00	4.75	0.95
01/04/2018 00100268920 SHELTON, BARBARA	MFT WELLS FARGO DISCOVERY-A	B	0.00	19.73	0.00	19.73	3.95
01/04/2018 00100268920 SHELTON, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	21.98	0.00	21.98	4.40

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/04/2018 01002608920	SHELTON, BARBARA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	49.92	0.00	49.92		9.98
01/04/2018 01002608920	SHELTON, BARBARA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	46.73	0.00	46.73		9.35
01/04/2018 01002608920	SHELTON, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	55.51	0.00	55.51		11.10
01/04/2018 01007228411	COOPER, BENOLA	MFT WELLS FARGO GLOBAL	B	0.00	51.63	0.00	51.63		10.33
01/04/2018 01007228411	COOPER, BENOLA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	50.94	0.00	50.94		10.19
01/04/2018 01007228411	COOPER, BENOLA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	64.14	0.00	64.14		12.83
01/04/2018 01007228411	COOPER, BENOLA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	57.44	0.00	57.44		11.49
01/04/2018 01007296463	DAVIS, EDITH	MFT WELLS FARGO GLOBAL	B	0.00	19.49	0.00	19.49		3.90
01/04/2018 01007296463	DAVIS, EDITH	MFT WELLS FARGO UTILITY AND	B	0.00	6.51	0.00	6.51		1.30
01/04/2018 01007296463	DAVIS, EDITH	MFT WELLS FARGO SPECIALIZED	B	0.00	6.75	0.00	6.75		1.35
01/04/2018 01007296463	DAVIS, EDITH	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	48.38	0.00	48.38		9.68
01/04/2018 01007297943	CANTRELLE, JOY	MFT WELLS FARGO GLOBAL	B	0.00	9.21	0.00	9.21		1.84
01/04/2018 01007297943	CANTRELLE, JOY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.99	0.00	10.99		2.20
01/04/2018 01007354845	DAVIS, JAMES	MFT WELLS FARGO GLOBAL	B	0.00	5.26	0.00	5.26		1.05
01/04/2018 01007354845	DAVIS, JAMES	MFT WELLS FARGO UTILITY AND	B	0.00	26.49	0.00	26.49		5.30
01/04/2018 01007354845	DAVIS, JAMES	MFT WELLS FARGO SPECIALIZED	B	0.00	6.92	0.00	6.92		1.38
01/04/2018 01007354845	DAVIS, JAMES	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	32.34	0.00	32.34		6.47
01/04/2018 01007719453	CANTRELLE, JOY	MFT WELLS FARGO GLOBAL	B	0.00	22.54	0.00	22.54		4.51
01/04/2018 01007719453	CANTRELLE, JOY	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.00	0.00	0.00		0.00
01/04/2018 01007719453	CANTRELLE, JOY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	19.97	0.00	19.97		3.99
01/04/2018 01007719453	CANTRELLE, JOY	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	19.39	0.00	19.39		3.88
01/04/2018 01007728997	CEPERO, PAMELA	MFT WELLS FARGO GLOBAL	B	0.00	1.48	0.00	1.48		0.30
01/04/2018 01007728997	CEPERO, PAMELA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.25	0.00	2.25		0.45
01/04/2018 01008182529	BAKER, G	MFT WELLS FARGO UTILITY AND	B	0.00	12.81	0.00	12.81		2.56
01/04/2018 01008182529	BAKER, G	MFT WELLS FARGO DISCOVERY-C	B	0.00	15.88	0.00	15.88		3.18
01/04/2018 01008182529	BAKER, G	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	19.64	0.00	19.64		3.93
01/04/2018 01008182529	BAKER, G	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	19.11	0.00	19.11		3.82
01/04/2018 01008361595	BILLARD, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.82	0.00	13.82		2.76
01/04/2018 01008376384	CANCIENNE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	83.81	0.00	83.81		16.76
01/04/2018 01008490232	READ, THOMAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	27.07	0.00	27.07		5.41
01/04/2018 01008585265	CANCIENNE, CHRIS	MFT WELLS FARGO GLOBAL	B	0.00	17.55	0.00	17.55		3.51
01/04/2018 01008624892	BORCHERT,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.41	0.00	1.41		0.28
01/04/2018 01008804046	GUIDRY SEPERATE	MFT WELLS FARGO GLOBAL	B	0.00	9.42	0.00	9.42		1.88
01/04/2018 01008804046	GUIDRY SEPERATE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.96	0.00	11.96		2.39
01/04/2018 01008891807	SNYDER, MICHELLE	MFT WELLS FARGO GLOBAL	B	0.00	7.08	0.00	7.08		1.42
01/04/2018 01008891807	SNYDER, MICHELLE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.17	0.00	18.17		3.63
01/04/2018 01008891807	SNYDER, MICHELLE	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	21.40	0.00	21.40		4.28
01/04/2018 01008896697	HENRY, BARBARA	MFT WELLS FARGO GLOBAL	B	0.00	6.20	0.00	6.20		1.24
01/04/2018 01008896697	HENRY, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.04	0.00	10.04		2.01
01/04/2018 01008896697	HENRY, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	9.42	0.00	9.42		1.88
01/04/2018 01008977270	STERMER, SALLY	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.60	0.00	3.60		0.72
01/04/2018 01008977270	STERMER, SALLY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.19	0.00	5.19		1.04
01/04/2018 01008977270	STERMER, SALLY	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	10.97	0.00	10.97		2.19
01/04/2018 01009311456	ST AMENT, DOUGLAS	MFT WELLS FARGO GLOBAL	B	0.00	4.66	0.00	4.66		0.93

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/04/2018 01009311456	ST AMENT, DOUGLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.77	0.00	2.77		0.55
01/04/2018 01009318256	VINCENT, KENNETH	MFT WELLS FARGO DISCOVERY-C	B	0.00	40.99	0.00	40.99		8.20
01/04/2018 01009320281	VINCENT, SHEILA	MFT WELLS FARGO UTILITY AND	B	0.00	4.13	0.00	4.13		0.83
01/04/2018 01009320281	VINCENT, SHEILA	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	4.20	0.00	4.20		0.84
01/04/2018 01009327434	COGNEVICH, SHANIE	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	5.19	0.00	5.19		1.04
01/04/2018 01009351525	READ, THOMAS	MFT WELLS FARGO GLOBAL	B	0.00	70.58	0.00	70.58		14.12
01/04/2018 01009351525	READ, THOMAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	58.42	0.00	58.42		11.68
01/04/2018 01009351525	READ, THOMAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	104.54	0.00	104.54		20.91
01/04/2018 01009365168	BOURGEOIS,	MFT WELLS FARGO GLOBAL	B	0.00	5.72	0.00	5.72		1.14
01/04/2018 01009365168	BOURGEOIS,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	21.32	0.00	21.32		4.26
01/04/2018 01009367069	ROBINSON, PEGGY	MFT WELLS FARGO GLOBAL	B	0.00	7.70	0.00	7.70		1.54
01/04/2018 01009367069	ROBINSON, PEGGY	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	6.11	0.00	6.11		1.22
01/04/2018 01009367069	ROBINSON, PEGGY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.53	0.00	5.53		1.11
01/04/2018 01009367542	GRAY, SHARON	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.87	0.00	2.87		0.57
01/04/2018 01009368209	FERNANDEZ, CARLOS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.53	0.00	3.53		0.71
01/04/2018 03900355390	THOMAS & ZOE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.73	0.00	4.73		0.95
01/04/2018 03900355390	THOMAS & ZOE	MFT WELLS FARGO GLOBAL	B	0.00	4.23	0.00	4.23		0.85
01/04/2018 03900367530	VAN DIEREN, OTTO	MFT WELLS FARGO GLOBAL	B	0.00	15.49	0.00	15.49		3.10
01/04/2018 03900367530	VAN DIEREN, OTTO	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	25.75	0.00	25.75		5.15
01/04/2018 03900370676	CORNELISON,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	27.73	0.00	27.73		5.55
01/04/2018 03900370676	CORNELISON,	MFT WELLS FARGO ENTERPRISE-C	B	0.00	24.56	0.00	24.56		4.91
01/04/2018 03900370676	CORNELISON,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	29.12	0.00	29.12		5.82
01/04/2018 03900372534	DUCOTE, ROLLE	MFT WELLS FARGO GLOBAL	B	0.00	3.77	0.00	3.77		0.75
01/04/2018 03900372534	DUCOTE, ROLLE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.20	0.00	8.20		1.64
01/04/2018 03900372955	VAN DIEREN, OTTO	MFT WELLS FARGO GLOBAL	B	0.00	9.09	0.00	9.09		1.82
01/04/2018 03900372955	VAN DIEREN, OTTO	MFT WELLS FARGO SPECIALIZED	B	0.00	0.81	0.00	0.81		0.16
01/04/2018 03900372955	VAN DIEREN, OTTO	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.54	0.00	8.54		1.71
01/04/2018 03900373095	SAVAGE, NANCY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	92.04	0.00	92.04		18.41
01/04/2018 03900378000	MOZISEK, RILEY	MFT WELLS FARGO GLOBAL	B	0.00	1.34	0.00	1.34		0.27
01/04/2018 03900378000	MOZISEK, RILEY	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.01	0.00	4.01		0.80
01/04/2018 03900378000	MOZISEK, RILEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.12	0.00	5.12		1.02
01/04/2018 03900378000	MOZISEK, RILEY	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.76	0.00	2.76		0.55
01/04/2018 03900378054	HENRY, JAMES	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.95	0.00	5.95		1.19
01/04/2018 03900378316	PIERCE, LINDA	MFT WELLS FARGO GLOBAL	B	0.00	1.31	0.00	1.31		0.26
01/04/2018 03900378316	PIERCE, LINDA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.42	0.00	1.42		0.28
01/04/2018 03900378316	PIERCE, LINDA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.64	0.00	1.64		0.33
01/04/2018 03900381770	ROBINSON, VALERIE	MFT WELLS FARGO GLOBAL	B	0.00	5.73	0.00	5.73		1.15
01/04/2018 03900381770	ROBINSON, VALERIE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.67	0.00	8.67		1.73
01/04/2018 03900381770	ROBINSON, VALERIE	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	9.09	0.00	9.09		1.82
01/04/2018 03900382176	MOZISEK, MATTHEW	MFT WELLS FARGO GLOBAL	B	0.00	1.34	0.00	1.34		0.27
01/04/2018 03900382176	MOZISEK, MATTHEW	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.63	0.00	2.63		0.53
01/04/2018 03900382176	MOZISEK, MATTHEW	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.66	0.00	2.66		0.53
01/04/2018 03900382814	MOZISEK, SKYLAR	MFT WELLS FARGO GLOBAL	B	0.00	1.34	0.00	1.34		0.27
01/04/2018 03900382814	MOZISEK, SKYLAR	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.71	0.00	2.71		0.54

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/04/2018 03900382814	MOZISEK, SKYLAR	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.47	0.00	3.47		0.69
01/04/2018 03900382814	MOZISEK, SKYLAR	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.76	0.00	2.76		0.55
01/04/2018 03903066761	SNYDER, MICHELLE	MFT WELLS FARGO GLOBAL	B	0.00	7.22	0.00	7.22		1.44
01/04/2018 03903066763	GROS, ANDREW	MFT WELLS FARGO UTILITY AND	B	0.00	2.99	0.00	2.99		0.60
01/04/2018 03903066763	GROS, ANDREW	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.34	0.00	3.34		0.67
01/04/2018 03903066763	GROS, ANDREW	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.48	0.00	3.48		0.70
01/04/2018 03903067159	CEPERO, RALPH	MFT WELLS FARGO GLOBAL	B	0.00	26.54	0.00	26.54		5.31
01/04/2018 03903067159	CEPERO, RALPH	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	24.81	0.00	24.81		4.96
01/04/2018 03903067159	CEPERO, RALPH	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	30.74	0.00	30.74		6.15
01/04/2018 03903067159	CEPERO, RALPH	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	30.29	0.00	30.29		6.06
01/04/2018 03903068827	MACOMBER, SHAWN	MFT WELLS FARGO GLOBAL	B	0.00	10.50	0.00	10.50		2.10
01/04/2018 03903068827	MACOMBER, SHAWN	MFT WELLS FARGO UTILITY AND	B	0.00	7.22	0.00	7.22		1.44
01/04/2018 03903068827	MACOMBER, SHAWN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.38	0.00	12.38		2.48
01/04/2018 03903068827	MACOMBER, SHAWN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.79	0.00	8.79		1.76
01/04/2018 03903070649	GREBE, WILLIAM	MFT WELLS FARGO GLOBAL	B	0.00	3.04	0.00	3.04		0.61
01/04/2018 03903074922	SHELTON, BARBARA	MFT WELLS FARGO GLOBAL	B	0.00	103.83	0.00	103.83		20.77
01/04/2018 03903074922	SHELTON, BARBARA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	96.02	0.00	96.02		19.20
01/04/2018 03903074922	SHELTON, BARBARA	MFT WELLS FARGO DISCOVERY-C	B	0.00	100.73	0.00	100.73		20.15
01/04/2018 03903074922	SHELTON, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	114.71	0.00	114.71		22.94
01/04/2018 03903074922	SHELTON, BARBARA	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	107.37	0.00	107.37		21.47
01/04/2018 03903074922	SHELTON, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	118.66	0.00	118.66		23.73
01/04/2018 03903086516	ANNE GROS, HEIDI	MFT WELLS FARGO SPECIALIZED	B	0.00	1.34	0.00	1.34		0.27
01/04/2018 03903086516	ANNE GROS, HEIDI	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.02	0.00	1.02		0.20
01/04/2018 03903087245	MOTT, SUE	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.98	0.00	3.98		0.80
01/04/2018 03903087701	ENRIGHT, WENDY	MFT WELLS FARGO GLOBAL	B	0.00	10.15	0.00	10.15		2.03
01/04/2018 03903087701	ENRIGHT, WENDY	MFT WELLS FARGO SPECIALIZED	B	0.00	13.55	0.00	13.55		2.71
01/04/2018 03903087701	ENRIGHT, WENDY	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	10.49	0.00	10.49		2.10
01/04/2018 03903087701	ENRIGHT, WENDY	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	11.16	0.00	11.16		2.23
01/04/2018 03903109957	DUCOTE, ELIZABETH	MFT WELLS FARGO GLOBAL	B	0.00	5.43	0.00	5.43		1.09
01/04/2018 03903109957	DUCOTE, ELIZABETH	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.63	0.00	12.63		2.53
* JOY LAWRENCE-D602 SUBTOTAL *				\$0.00	\$3,553.11	\$0.00	\$3,553.11		\$710.63
*** REP LINK TRANSACTIONS TOTAL ***				\$-87.55	\$4,802.82	\$7.50	\$4,795.32		\$959.07

ADJUSTMENTS

01/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	0.00
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,122.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,157.18
Year-to-date Commissions	\$3,811.92