

COMMISSION STATEMENT

November 30, 2018

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #: P80
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/30/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-52,872.40	100.00	0.00	100.00 52.0 52.00
11/01/2018	QGW007700	SARNA, MARK		UNITED STATES STL CORP NEW	B	26,983.60	50.00	0.00	50.00 52.0 26.00
11/02/2018	QGW007700	SARNA, MARK		UNITED STATES STL CORP NEW	S	-27,893.20	50.00	0.00	50.00 52.0 26.00
11/08/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-28,833.20	50.00	0.00	50.00 52.0 26.00
11/15/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-82,410.00	150.00	0.00	150.00 52.0 78.00
11/16/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	B	24,000.00	50.00	0.00	50.00 52.0 26.00
11/20/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-54,700.20	100.00	0.00	100.00 52.0 52.00
10/30/2018	QGW007825	SARNA FAMILY LTD		ALCOA CORPORATION COMMON STOCK	S	-306,290.70	450.00	0.00	450.00 52.0 234.00
10/30/2018	QGW007825	SARNA FAMILY LTD		ARCONIC INC COM	S	-118,299.60	300.00	0.00	300.00 52.0 156.00
10/30/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	S	-132,353.00	250.00	0.00	250.00 52.0 130.00
10/30/2018	QGW007825	SARNA FAMILY LTD		CISCO SYS INC	S	-88,583.80	100.00	0.00	100.00 52.0 52.00
10/30/2018	QGW007825	SARNA FAMILY LTD		TWITTER INC	S	-168,301.00	250.00	0.00	250.00 52.0 130.00
11/01/2018	QGW007825	SARNA FAMILY LTD		UNITED STATES STL CORP NEW	B	26,983.60	50.00	0.00	50.00 52.0 26.00
11/15/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	S	-82,380.00	150.00	0.00	150.00 52.0 78.00
11/16/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	48,000.00	100.00	0.00	100.00 52.0 52.00
11/16/2018	QGW007825	SARNA FAMILY LTD		CISCO SYS INC	B	42,000.00	50.00	0.00	50.00 52.0 26.00
11/16/2018	QGW007825	SARNA FAMILY LTD		CITIGROUP INC COM	B	60,000.00	50.00	0.00	50.00 52.0 26.00
11/19/2018	QGW007825	SARNA FAMILY LTD		ANTARES PHARMA INC COM	S	-3,272.70	50.00	0.00	50.00 52.0 26.00
11/19/2018	QGW007825	SARNA FAMILY LTD		ARCONIC INC COM	S	-422,551.23	1,031.00	0.00	1,031.00 52.0 536.12
11/19/2018	QGW007825	SARNA FAMILY LTD		ARCONIC INC COM	S	422,551.23	-1,031.00	0.00	-1,031.00 52.0 -536.12 C
11/19/2018	QGW007825	SARNA FAMILY LTD		ARCONIC INC COM	S	-402,058.93	1,031.00	0.00	1,031.00 52.0 536.12
11/19/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	S	-27,673.20	50.00	0.00	50.00 52.0 26.00
11/19/2018	QGW007825	SARNA FAMILY LTD		CITIGROUP INC COM	S	-64,611.60	50.00	0.00	50.00 52.0 26.00
11/20/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	S	-54,700.20	100.00	0.00	100.00 52.0 52.00
* STOCKS SUBTOTAL *				\$-1,467,266.53		\$3,581.00	\$0.00	\$3,581.00	\$1,862.12

PRODUCT CATEGORY: OPTIONS

11/01/2018	QGW007700	SARNA, MARK		CALL 100 ALIBABA GROUP HLDG LTD SPO B		10,620.00	40.00	0.00	40.00 52.0 20.80
11/01/2018	QGW007700	SARNA, MARK		CALL 100 CISCO SYSTEMS INC EXP 12-2 B		5,940.00	60.00	0.00	60.00 52.0 31.20
11/02/2018	QGW007700	SARNA, MARK		CALL 100 APPLE INC COM EXP 12-21-18 B		16,700.00	40.00	0.00	40.00 52.0 20.80
11/02/2018	QGW007700	SARNA, MARK		CALL 100 GENERAL ELECTRIC CO COM EX B		12,750.00	150.00	0.00	150.00 52.0 78.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/05/2018	QGW007700	SARNA, MARK	CALL 100	CITIGROUP INC COM NEW ISIN S	-7,930.00	40.00	0.00	40.00	52.0 20.80
11/07/2018	QGW007700	SARNA, MARK	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-16,020.00	40.00	0.00	40.00	52.0 20.80
11/07/2018	QGW007700	SARNA, MARK	CALL 100	CISCO SYSTEMS INC EXP 11-1 S	-6,030.00	40.00	0.00	40.00	52.0 20.80
11/07/2018	QGW007700	SARNA, MARK	CALL 100	SCHLUMBERGER LTD COM ISIN# B	3,488.70	40.00	0.00	40.00	52.0 20.80
11/08/2018	QGW007700	SARNA, MARK	CALL 100	ANADARKO PETE CORP COM EXP B	6,670.00	40.00	0.00	40.00	52.0 20.80
11/08/2018	QGW007700	SARNA, MARK	CALL 100	BANK OF AMERICA CORPORATIO S	-4,800.00	40.00	0.00	40.00	52.0 20.80
11/08/2018	QGW007700	SARNA, MARK	CALL 100	MARATHON OIL CORP COM EXP B	4,720.00	60.00	0.00	60.00	52.0 31.20
11/09/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	18,000.00	200.00	0.00	200.00	52.0 104.00
11/09/2018	QGW007700	SARNA, MARK	CALL 100	IQIYI INC SPONS ADR ISIN#U B	10,500.00	120.00	0.00	120.00	52.0 62.40
11/15/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	8,040.00	90.00	0.00	90.00	52.0 46.80
11/20/2018	QGW007700	SARNA, MARK	CALL 100	BANK OF AMERICA CORPORATIO B	5,800.00	60.00	0.00	60.00	52.0 31.20
11/26/2018	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 02-15-1 B	6,370.00	40.00	0.00	40.00	52.0 20.80
11/26/2018	QGW007700	SARNA, MARK	CALL 100	UNITED STS STL CORP NEW CO B	4,020.00	40.00	0.00	40.00	52.0 20.80
11/01/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	10,620.00	40.00	0.00	40.00	52.0 20.80
11/01/2018	QGW007825	SARNA FAMILY LTD	CALL 100	CISCO SYSTEMS INC EXP 12-2 B	5,940.00	60.00	0.00	60.00	52.0 31.20
11/02/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 12-21-18 B	16,700.00	40.00	0.00	40.00	52.0 20.80
11/02/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-16,000.00	40.00	0.00	40.00	52.0 20.80
11/02/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	12,750.00	150.00	0.00	150.00	52.0 78.00
11/05/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 12-21-18 B	14,550.00	40.00	0.00	40.00	52.0 20.80
11/06/2018	QGW007825	SARNA FAMILY LTD	CALL 100	CITIGROUP INC COM NEW ISIN B	14,720.00	80.00	0.00	80.00	52.0 41.60
11/06/2018	QGW007825	SARNA FAMILY LTD	CALL 100	MARATHON PETE CORP COM EXP B	9,690.00	40.00	0.00	40.00	52.0 20.80
11/07/2018	QGW007825	SARNA FAMILY LTD	CALL 100	SCHLUMBERGER LTD COM ISIN# B	6,977.40	80.00	0.00	80.00	52.0 41.60
11/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	30,414.00	80.00	0.00	80.00	52.0 41.60
11/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 12-21-18 B	12,700.00	40.00	0.00	40.00	52.0 20.80
11/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	-30,414.00	-80.00	0.00	-80.00	52.0 -41.60 C
11/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	30,414.00	80.00	0.00	80.00	52.0 41.60
11/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	18,000.00	200.00	0.00	200.00	52.0 104.00
11/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	IQIYI INC SPONS ADR ISIN#U B	10,500.00	120.00	0.00	120.00	52.0 62.40
11/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 12-21-18 B	11,510.00	40.00	0.00	40.00	52.0 20.80
11/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 12-21-18 B	-11,510.00	-40.00	0.00	-40.00	52.0 -20.80 C
11/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 12-21-18 B	11,510.00	40.00	0.00	40.00	52.0 20.80
11/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	12,260.00	40.00	0.00	40.00	52.0 20.80
11/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-19,600.00	50.00	0.00	50.00	52.0 26.00
11/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-21,620.00	40.00	0.00	40.00	52.0 20.80
11/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-23,170.00	40.00	0.00	40.00	52.0 20.80
11/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	MARATHON PETE CORP COM EXP S	-7,000.00	40.00	0.00	40.00	52.0 20.80
11/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	5,800.00	60.00	0.00	60.00	52.0 31.20
11/21/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 01-18-19 B	13,690.00	40.00	0.00	40.00	52.0 20.80
11/21/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BOEING CO COM EXP 01-18-19 B	28,845.00	40.00	0.00	40.00	52.0 20.80
11/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 02-15-1 B	6,370.00	40.00	0.00	40.00	52.0 20.80

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

11/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100 UNITED STS STL CORP NEW CO B	4,020.00	40.00	0.00	40.00	52.0	20.80
11/28/2018	QGW007825	SARNA FAMILY LTD	CALL 100 BOEING CO COM EXP 01-18-19 S	-36,800.00	40.00	0.00	40.00	52.0	20.80
* OPTIONS SUBTOTAL *				\$200,705.10	\$2,660.00	\$0.00	\$2,660.00		\$1,383.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018			FEE COUNT - 2	0.00	25.57	0.00	25.57	52.0	13.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$25.57	\$0.00	\$25.57		\$13.29
*** BROKER TRANSACTIONS TOTAL ***				\$-1,266,561.43	\$6,266.57	\$0.00	\$6,266.57		\$3,258.61

ADJUSTMENTS

11/30/2018			OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,258.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.83
SIPC Assessment	\$-15.67

Check Amount	\$3,215.11
Year-to-date Earnings	\$24,793.54