

COMMISSION STATEMENT

September 15, 2018

TOMMY THOMAS-D007
 2836 Bill Owens Parkway
 Suite A
 Longview, TX 75605

BROKER #: D007
 BRANCH: DMN

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
08/31/2018 (None)	VENKATESH, REDDY	VA PRUDENTIAL LIFE	B	59,000.00	885.00	0.00	885.00	85.0	752.25
09/04/2018 (None)	BORY, ARIADNA	VA AXA LIFE	B	40,699.71	1,424.49	0.00	1,424.49	85.0	1,210.82
08/31/2018 009626232A	GORDON, HAROLD &	VA L SERIES	B	56,489.72	61.20	0.00	61.20	85.0	52.02
08/31/2018 1009553912	HUYSER, GORDON	VA PERSPECTIVE L	B	566,040.00	471.70	0.00	471.70	85.0	400.95
08/31/2018 1009680191	EATON, BARRY	VA PERSPECTIVE L	B	39,706.73	33.09	0.00	33.09	85.0	28.13
08/31/2018 1009683115	JONES, DIANNE	VA PERSPECTIVE L	B	105,947.56	88.29	0.00	88.29	85.0	75.05
08/31/2018 1010957199	SMART INDIVIDUAL	VA PERSP L 08/11	B	508,869.61	424.06	0.00	424.06	85.0	360.45
08/31/2018 1011344646	MAHURIN, JANETTE	VA PERSP L 08/11	B	60,554.68	50.46	0.00	50.46	85.0	42.89
08/31/2018 1011349192	MAHURIN, JOHN	VA PERSP L 08/11	B	36,092.34	30.08	0.00	30.08	85.0	25.57
08/31/2018 1011363012	MAHURIN, JANETTE	VA PERSP L 08/11	B	28,874.08	24.06	0.00	24.06	85.0	20.45
08/31/2018 1011400821	MAHURIN, JOHN	VA PERSP L 08/11	B	38,575.65	32.15	0.00	32.15	85.0	27.33
08/31/2018 1013867581	GORDON, JOYCE	VA PERSP L 08/11	B	184,038.21	153.37	0.00	153.37	85.0	130.36
08/31/2018 1014010692	SMART HOSPITALIST	VA PERSP L 08/11	B	225,449.28	187.87	0.00	187.87	85.0	159.69
08/31/2018 1014013020	VERNER, MICHAEL	VA PERSP L 08/11	B	160,246.82	133.54	0.00	133.54	85.0	113.51
08/31/2018 1014085366	NGANKEU, EVARISTE	VA PERSP L 08/11	B	278,143.63	231.79	0.00	231.79	85.0	197.02
08/31/2018 1014100446	KAY, ANGELIA	VA PERSP L 08/11	B	115,076.74	95.90	0.00	95.90	85.0	81.52
08/31/2018 1014100489	KAY, ANGELIA	VA PERSP L 08/11	B	36,785.09	30.65	0.00	30.65	85.0	26.05
08/31/2018 1014100497	KAY, ANGELIA	VA PERSP L 08/11	B	599,256.10	499.38	0.00	499.38	85.0	424.47
08/31/2018 1015182330	HAGGARD INDY 401 K	VA PERSP L 08/11	B	125,705.14	104.75	0.00	104.75	85.0	89.04
08/31/2018 1015347453	JANWA EPSE	VA PERSP L 08/11	B	18,456.07	15.38	0.00	15.38	85.0	13.07
08/31/2018 1017602728	BEARD, DARLENE	VA ELITE ACCESS L	B	234,252.82	195.21	0.00	195.21	85.0	165.93
08/31/2018 1017605786	BEARD, DARLENE	VA ELITE ACCESS	B	677,339.39	564.45	0.00	564.45	85.0	479.78
08/31/2018 1018337262	GORDON, JOYCE	VA PERSP L 08/11	B	104,158.10	86.80	0.00	86.80	85.0	73.78
08/31/2018 1019580861	MOORE, THOMAS &	VA PERSP II 01/16	B	88,110.36	73.43	0.00	73.43	85.0	62.42
* VARIABLE ANNUITIES SUBTOTAL *				\$4,387,867.83	\$5,897.10	\$0.00	\$5,897.10		\$5,012.55

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018 00063440112	EASLEY, JAMES	MFT THE BOND FUND OF AMERICA-A	B	0.00	38.13	0.00	38.13	85.0	32.41
09/04/2018 00063440119	EASLEY, WANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.71	0.00	0.71	85.0	0.60
09/04/2018 00089274948	DARLENE BEARD	MFT AMCAP FUND-A	B	0.00	37.21	0.00	37.21	85.0	31.63
09/04/2018 00089274948	DARLENE BEARD	MFT FUNDAMENTAL INVESTORS-A	B	0.00	36.55	0.00	36.55	85.0	31.07

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/04/2018	00089274948	DARLENE BEARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	35.58	0.00	35.58	85.0	30.24
09/04/2018	00089274948	DARLENE BEARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	38.53	0.00	38.53	85.0	32.75
09/04/2018	00089454377	DAVID B CRAVEY	MFT AMCAP FUND-A	B	0.00	0.67	0.00	0.67	85.0	0.57
09/04/2018	00089454377	DAVID B CRAVEY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.66	0.00	0.66	85.0	0.56
09/04/2018	00089454377	DAVID B CRAVEY	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.65	0.00	0.65	85.0	0.55
09/04/2018	00089454377	DAVID B CRAVEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.71	0.00	0.71	85.0	0.60
09/04/2018	00089454414	DAVID B CRAVEY	MFT AMCAP FUND-A	B	0.00	0.69	0.00	0.69	85.0	0.59
09/04/2018	00089454414	DAVID B CRAVEY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.67	0.00	0.67	85.0	0.57
09/04/2018	00089454414	DAVID B CRAVEY	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.67	0.00	0.67	85.0	0.57
09/04/2018	00089454414	DAVID B CRAVEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.73	0.00	0.73	85.0	0.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$192.16	\$0.00	\$192.16		\$163.33
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
08/31/2018	(None)	VARIOUS, THOMAS	VAT MANULIFE	B	0.00	1,392.27	0.00	1,392.27	85.0	1,183.43
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,392.27	\$0.00	\$1,392.27		\$1,183.43
*** DIRECT TRANSACTIONS TOTAL ***					\$4,387,867.83	\$7,481.53	\$0.00	\$7,481.53		\$6,359.31

BROKER COMMISSION TOTALS

Broker Transactions	\$6,359.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-136.82
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6,222.49
Year-to-date Commissions	\$67,988.33