



COMMISSION STATEMENT

August 27, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/20/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273 | AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @20.2200 | 60.72 | 1.67 | 0.00 | 1.67 | 75.0 | 1.25 |
| 08/20/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273 | AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @20.2200 | 200.00 | 5.50 | 0.00 | 5.50 | 75.0 | 4.13 |
| 08/20/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3300 | 125.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 08/20/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 130 Units @15.3300 | 2,000.00 | 55.00 | 0.00 | 55.00 | 75.0 | 41.25 |
| 08/20/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3300 | 125.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 08/20/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3300 | 125.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 08/13/2018 | ***-**-1489 - TATUSKO, MARY ACCOUNT #: 03900228796 | FIDELITY ADV FREEDOM 2025-CL A BUY 6 Units @14.3400 | 93.15 | 4.66 | 0.00 | 4.66 | 75.0 | 3.50 |
| 08/13/2018 | ***-**-1489 - TATUSKO, MARY ACCOUNT #: 03900228796 | FIDELITY ADV FREEDOM 2025-CL A BUY 1 Units @14.3400 | 13.97 | 0.70 | 0.00 | 0.70 | 75.0 | 0.53 |
| 08/13/2018 | ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916 | FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3400 | 5.53 | 0.28 | 0.00 | 0.28 | 75.0 | 0.21 |
| 08/13/2018 | ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916 | FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3400 | 5.53 | 0.28 | 0.00 | 0.28 | 75.0 | 0.21 |
| 08/13/2018 | ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916 | FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3400 | -5.53 | -0.28 | 0.00 | -0.28 | 75.0 | -0.21 |
| 08/13/2018 | ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916 | FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3400 | -5.53 | -0.28 | 0.00 | -0.28 | 75.0 | -0.21 |
| 08/13/2018 | ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014 | FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.3400 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 08/13/2018 | ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014 | FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.3400 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 08/13/2018 | ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125 | FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @14.3400 | 93.75 | 4.69 | 0.00 | 4.69 | 75.0 | 3.52 |
| 08/13/2018 | ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125 | FIDELITY ADV FREEDOM 2025-CL A BUY 45 Units @14.3400 | 645.91 | 32.30 | 0.00 | 32.30 | 75.0 | 24.23 |



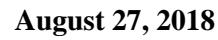
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/16/2018 | ***-**-2566 - MINOTT, JUANITA | FIDELITY ADV FREEDOM 2025-CL A | 2.57 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 03900221916 | BUY 0 Units @14.3200 | | | | | | |
| 08/16/2018 | ***-**-2566 - MINOTT, JUANITA | FIDELITY ADV FREEDOM 2025-CL A | 2.57 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 03900221916 | BUY 0 Units @14.3200 | | | | | | |
| 08/13/2018 | ***-**-5939 - WHITE, TAMARA | FIDELITY ADV FREEDOM 2030-CL A | 27.60 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| | ACCOUNT #: 03900219123 | BUY 2 Units @15.6000 | | | | | | |
| 08/13/2018 | ***-**-5939 - WHITE, TAMARA | FIDELITY ADV FREEDOM 2030-CL A | 27.60 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| | ACCOUNT #: 03900219123 | BUY 2 Units @15.6000 | | | | | | |
| 08/16/2018 | ***-**-5939 - WHITE, TAMARA | FIDELITY ADV FREEDOM 2030-CL A | 27.60 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| | ACCOUNT #: 03900219123 | BUY 2 Units @15.5900 | | | | | | |
| 08/16/2018 | ***-**-5939 - WHITE, TAMARA | FIDELITY ADV FREEDOM 2030-CL A | 27.60 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| | ACCOUNT #: 03900219123 | BUY 2 Units @15.5900 | | | | | | |
| 08/20/2018 | ***-**-673I - GEIGER, MAJOR | INTERMEDIATE BOND FUND OF AMERICA-A | 100.00 | 2.00 | 0.00 | 2.00 | 75.0 | 1.50 |
| | ACCOUNT #: 00086454950 | BUY 7 Units @13.5000 | | | | | | |
| 08/20/2018 | ***-**-673I - GEIGER, MAJOR | INTERMEDIATE BOND FUND OF AMERICA-A | 54.00 | 1.08 | 0.00 | 1.08 | 75.0 | 0.81 |
| | ACCOUNT #: 00086454950 | BUY 4 Units @13.5000 | | | | | | |
| 08/20/2018 | ***-**-645P - CALLES, LORIANN | THE GROWTH FUND OF AMERICA-A | 90.00 | 2.48 | 0.00 | 2.48 | 75.0 | 1.86 |
| | ACCOUNT #: 00081807366 | BUY 2 Units @56.8400 | | | | | | |
| 08/20/2018 | ***-**-645P - CALLES, LORIANN | THE GROWTH FUND OF AMERICA-A | 150.00 | 4.13 | 0.00 | 4.13 | 75.0 | 3.10 |
| | ACCOUNT #: 00081807366 | BUY 3 Units @56.8400 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$4,092.04 | \$135.31 | \$0.00 | \$135.31 | | \$101.54 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 07/31/2018 | ***-**-90IN - REPELLA, CRAIG | TEMPLETON GROWTH FUND CLASS A | 0.00 | 35.50 | 0.00 | 35.50 | 75.0 | 26.63 |
| | ACCOUNT #: 000001900266117 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$35.50 | \$0.00 | \$35.50 | | \$26.63 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$4,092.04 | \$170.81 | \$0.00 | \$170.81 | | \$128.17 |



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|-------------------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$128.17 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$128.17 |
| | | | | | | Year-to-date Earnings | | \$44,860.95 |