



# COMMISSION STATEMENT

November 19, 2018

**TIMOTHY STATES**  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/09/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	CISCO SYSTEMS INC BUY 100 Units @47.6290	4,762.90	10.00	8.99	1.01	75.0	0.76
11/09/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	FACEBOOK INC COM USD0.000006 CL A SELL 50 Units @146.4590	7,322.95	10.00	9.49	0.51	75.0	0.38
11/09/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	MICROSOFT CORP UNSOLICITED ORDER EX BUY 23 Units @109.4760	2,517.95	10.00	8.99	1.01	75.0	0.76
<b>* STOCKS SUBTOTAL *</b>			<b>\$14,603.80</b>	<b>\$30.00</b>	<b>\$27.47</b>	<b>\$2.53</b>		<b>\$1.90</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	CLEARBRIDGE APPRECIATION CLASS A BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	75.0	1.19
10/19/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	COLUMBIA DISCIPLINED CORE A BUY 1 Units @0.0000	0.00	4.95	0.00	4.95	75.0	3.71
10/19/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	EQUITY INCOME BUY 1 Units @0.0000	0.00	4.09	0.00	4.09	75.0	3.07
10/19/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.94	0.00	4.94	75.0	3.71
10/19/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.10	0.00	4.10	75.0	3.08
10/19/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	75.0	1.52
10/19/2018	***-**-549I - WHITE, WILLIAM ACCOUNT #: LSR010690	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	75.0	1.52
10/19/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.06	0.00	2.06	75.0	1.55
10/19/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	75.0	1.03
10/19/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	3.91	0.00	3.91	75.0	2.93
10/19/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	75.0	0.77
10/19/2018	***-**-384I - WALKER, EUGENE ACCOUNT #: LSR010626	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	75.0	0.19



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	75.0	1.52
10/19/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	75.0	1.31
10/19/2018	***-**-58TR - HOUSING ACCOUNT #: LSR010838	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	3.68	0.00	3.68	75.0	2.76
10/19/2018	***-**-437K - SIGUE, JOCELYN ACCOUNT #: LSR012251	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.38	0.00	2.38	75.0	1.79
10/19/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	7.71	0.00	7.71	75.0	5.78
10/19/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	3.95	0.00	3.95	75.0	2.96
10/19/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.79	0.00	1.79	75.0	1.34
10/19/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 1 Units @0.0000	0.00	4.52	0.00	4.52	75.0	3.39
10/19/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	75.0	0.38
10/19/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	75.0	1.70
10/19/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	75.0	0.31
10/19/2018	***-**-00TR - BRITT, MRS RUTH ACCOUNT #: LSR010582	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	75.0	1.14
10/19/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.76	0.00	7.76	75.0	5.82
10/19/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	75.0	1.25
10/19/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	75.0	1.91
10/19/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.60	0.00	4.60	75.0	3.45



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-574I - DAVIS, MISCHA	THE GROWTH FUND OF AMERICA-A	0.00	5.67	0.00	5.67	75.0	4.25
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
10/19/2018	***-**-736J - HIGHSMITH SR,	THE GROWTH FUND OF AMERICA-A	0.00	2.56	0.00	2.56	75.0	1.92
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
10/19/2018	***-**-542O - BROWN, MARY	THE GROWTH FUND OF AMERICA-A	0.00	7.40	0.00	7.40	75.0	5.55
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
10/19/2018	***-**-195O - CARTER, IRIS	THE GROWTH FUND OF AMERICA-A	0.00	6.58	0.00	6.58	75.0	4.94
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
10/19/2018	***-**-069O - SHANNON, HERBERT	THE GROWTH FUND OF AMERICA-A	0.00	1.95	0.00	1.95	75.0	1.46
	ACCOUNT #: LSR012807	BUY 1 Units @0.0000						
10/19/2018	***-**-826I - CLARK, FBO MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
10/19/2018	***-**-587I - KEARNEY, FBO MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.11	0.00	2.11	75.0	1.58
	ACCOUNT #: LSR010590	BUY 1 Units @0.0000						
10/19/2018	***-**-35IN - ANTOINE, MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.11	0.00	2.11	75.0	1.58
	ACCOUNT #: LSR010597	BUY 1 Units @0.0000						
10/19/2018	***-**-44TR - LYNCH TTEE,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	7.04	0.00	7.04	75.0	5.28
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
10/19/2018	***-**-574I - DAVIS, MISCHA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.76	0.00	3.76	75.0	2.82
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
10/19/2018	***-**-736J - HIGHSMITH SR,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.66	0.00	4.66	75.0	3.50
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
10/19/2018	***-**-069O - SHANNON, HERBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.95	0.00	1.95	75.0	1.46
	ACCOUNT #: LSR012807	BUY 1 Units @0.0000						
10/19/2018	***-**-542O - BROWN, MARY	WELLS FARGO ULTRA SHRT TRM MUNI CL	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
10/19/2018	***-**-542O - BROWN, MARY	WESTERN ASSET CORE BOND FUND CL A	0.00	2.09	0.00	2.09	75.0	1.57
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$132.66</b>	<b>\$0.00</b>	<b>\$132.66</b>		<b>\$99.55</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$14,603.80</b>	<b>\$162.66</b>	<b>\$27.47</b>	<b>\$135.19</b>		<b>\$101.45</b>



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<b><u>BROKER COMMISSION TOTALS</u></b>								
						Broker Transactions		\$101.45
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
<b>Net Commission Earned</b>								<b>\$101.45</b>
<b>Year-to-date Earnings</b>								<b>\$75,363.04</b>