



COMMISSION STATEMENT

November 19, 2018

STEVEN JOEL
404 Chateau Drive
Buffalo Grove, IL 60089-6708

BROKER #: 592
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/09/2018	***-**-0821 - PIZANO, ACCOUNT #: 04000084453	AMERICAN FUNDS 2040 TARGET DATE-A BUY 3 Units @15.9100	51.93	1.95	0.00	1.95	60.0	1.17
11/09/2018	***-**-0821 - PIZANO, ACCOUNT #: 04000084453	AMERICAN FUNDS 2040 TARGET DATE-A BUY 9 Units @15.9100	138.48	5.19	0.00	5.19	60.0	3.11
11/09/2018	***-**-0477 - ELIAS, ADI ACCOUNT #: 04000084445	AMERICAN FUNDS 2050 TARGET DATE-A BUY 9 Units @15.8400	150.00	5.63	0.00	5.63	60.0	3.38
11/09/2018	***-**-0477 - ELIAS, ADI ACCOUNT #: 04000084445	AMERICAN FUNDS 2050 TARGET DATE-A BUY 5 Units @15.8400	75.00	2.81	0.00	2.81	60.0	1.69
11/09/2018	***-**-2300 - GOMEZ, LAURA ACCOUNT #: 04000084436	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @15.8400	37.50	1.41	0.00	1.41	60.0	0.85
11/09/2018	***-**-2300 - GOMEZ, LAURA ACCOUNT #: 04000084436	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @15.8400	37.50	1.41	0.00	1.41	60.0	0.85
* MUTUAL FUNDS SUBTOTAL *			\$490.41	\$18.40	\$0.00	\$18.40		\$11.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2018	***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264	AMERICAN DEVELOPING WRLD GRWTH & IN BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	60.0	0.34
10/19/2018	***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	60.0	0.40
10/19/2018	***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	60.0	0.43
10/19/2018	***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	60.0	0.38
10/19/2018	***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	60.0	0.46
11/19/2018	***-**-1388 - JOEL, JOSEPH	VIRTUS MF TRAILS BUY 0 Units @0.0000	0.00	16.59	0.00	16.59	60.0	9.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$19.91	\$0.00	\$19.91		\$11.96
*** BROKER TRANSACTIONS TOTAL ***			\$490.41	\$38.31	\$0.00	\$38.31		\$23.01



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$23.01
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$34.16
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$57.17
Year-to-date Earnings								\$19,482.65