

COMMISSION STATEMENT February 15, 2018

ALAN SMITH-D206

 BROKER #: D206
 BRANCH: DMR

, TX

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018 (None)	GLENNROBES	MF BLACKROCK	B	0.00	1.00	0.00	1.00	80.0	0.80
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$1.00	\$0.00	\$1.00		\$0.80
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/08/2018 (None)	EXPLOREUSA	VA TRANSAMERICA LIFE	B	0.00	1,547.31	0.00	1,547.31	80.0	1,237.85
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$1,547.31	\$0.00	\$1,547.31		\$1,237.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.81	0.00	5.81	80.0	4.65
12/31/2017 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	6.45	0.00	6.45	80.0	5.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$12.26	\$0.00	\$12.26		\$9.81
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$1,560.57	\$0.00	\$1,560.57		\$1,248.46

BROKER COMMISSION TOTALS

Broker Transactions	\$1,248.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,248.46
Year-to-date Commissions	\$13,737.94