



COMMISSION STATEMENT

November 12, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/05/2018	***-**-720X - COOK, TAMI	CALVERT GLOBAL WATER FUND CLASS A	4,618.05	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012548	SELL 246 Units @18.7500						
11/07/2018	***-**-03TR - NEAL TRUST,	DELAWARE GROWTH OPPORTUNITIES FD CL	45,000.00	2,250.00	18.00	2,232.00	85.0	1,897.20
	ACCOUNT #: LSR012505	BUY 1612 Units @27.9200						
11/05/2018	***-**-909I - BROWN, CHARLES	THE HARTFORD GLOBAL HEALTH FUND-A	10,030.73	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012778	SELL 285 Units @35.2100						
* MUTUAL FUNDS SUBTOTAL *			\$59,648.78	\$2,250.00	\$54.00	\$2,196.00		\$1,861.20
<u>PRODUCT CATEGORY: STOCKS</u>								
11/05/2018	***-**-703I - RHODE, SUSAN	DIODES INC	9,204.60	100.00	21.00	79.00	85.0	67.15
	ACCOUNT #: LSR012463	SELL 300 Units @30.6820						
11/07/2018	***-**-03TR - NEAL TRUST,	DIODES INC	21,850.20	333.33	27.00	306.33	85.0	260.38
	ACCOUNT #: LSR012505	SELL 600 Units @36.4170						
11/07/2018	***-**-03TR - NEAL TRUST,	DIODES INC	3,643.40	55.56	2.00	53.56	85.0	45.53
	ACCOUNT #: LSR012505	SELL 100 Units @36.4340						
11/07/2018	***-**-03TR - NEAL TRUST,	DIODES INC	3,643.40	55.56	2.00	53.56	85.0	45.53
	ACCOUNT #: LSR012505	SELL 100 Units @36.4340						
11/07/2018	***-**-03TR - NEAL TRUST,	DIODES INC	3,643.40	55.56	2.00	53.56	85.0	45.53
	ACCOUNT #: LSR012505	SELL 100 Units @36.4340						
11/05/2018	***-**-168I - JONES, TERI	DSW INC CL A	13,611.50	150.00	25.00	125.00	85.0	106.25
	ACCOUNT #: LSR012588	SELL 500 Units @27.2230						
11/05/2018	***-**-160I - BROWN, DEANNA	HUB GROUP INC CL A	9,254.40	100.00	19.00	81.00	85.0	68.85
	ACCOUNT #: LSR012780	SELL 200 Units @46.2720						
11/07/2018	***-**-03TR - NEAL TRUST,	ISHARES MSCI EMERGING MARKETS ETF U	16,621.09	200.00	23.04	176.96	85.0	150.42
	ACCOUNT #: LSR012505	SELL 402 Units @41.3460						
11/06/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	2,716.00	26.67	31.00	-4.33	100.0	-4.33
	ACCOUNT #: LSR012514	SELL 800 Units @3.3950						
11/06/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	2,376.50	23.33	14.00	9.33	85.0	7.93
	ACCOUNT #: LSR012514	SELL 700 Units @3.3950						
11/06/2018	***-**-141I - ROHMAN, ARTHUR	LOUISIANA PACIFIC	3,885.06	49.80	18.32	31.48	85.0	26.76
	ACCOUNT #: LSR012574	SELL 166 Units @23.4040						
11/06/2018	***-**-141I - ROHMAN, ARTHUR	LOUISIANA PACIFIC	4,680.80	60.00	4.00	56.00	85.0	47.60
	ACCOUNT #: LSR012574	SELL 200 Units @23.4040						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
11/06/2018	***-**-141I - ROHMAN, ARTHUR	LOUISIANA PACIFIC	795.74	10.20	0.68	9.52	85.0	8.09
	ACCOUNT #: LSR012574	SELL 34 Units @23.4040						
11/06/2018	***-**-141I - ROHMAN, ARTHUR	LOUISIANA PACIFIC	2,340.40	30.00	2.00	28.00	85.0	23.80
	ACCOUNT #: LSR012574	SELL 100 Units @23.4040						
11/06/2018	***-**-2267 - WIEBLER, STEVE	LOUISIANA PACIFIC	2,343.00	25.00	17.00	8.00	85.0	6.80
	ACCOUNT #: LSR012606	SELL 100 Units @23.4300						
11/06/2018	***-**-2267 - WIEBLER, STEVE	LOUISIANA PACIFIC	4,686.00	50.00	4.00	46.00	85.0	39.10
	ACCOUNT #: LSR012606	SELL 200 Units @23.4300						
11/05/2018	***-**-434I - DVIZAC, ZACK	NORBORD INC COM NEW ISIN #CA65548P4	5,825.20	100.00	19.00	81.00	85.0	68.85
	ACCOUNT #: LSR012474	SELL 200 Units @29.1260						
11/06/2018	***-**-617I - DVIZAC, LORI	ORION GROUP HOLDINGS INC COM	450.00	6.67	17.00	-10.33	100.0	-10.33
	ACCOUNT #: LSR012473	SELL 100 Units @4.5000						
11/06/2018	***-**-617I - DVIZAC, LORI	ORION GROUP HOLDINGS INC COM	900.00	13.33	4.00	9.33	85.0	7.93
	ACCOUNT #: LSR012473	SELL 200 Units @4.5000						
11/06/2018	***-**-617I - DVIZAC, LORI	ORION GROUP HOLDINGS INC COM	5,388.00	80.00	24.00	56.00	85.0	47.60
	ACCOUNT #: LSR012473	SELL 1200 Units @4.4900						
11/06/2018	***-**-879X - WELCH, KELLY	ORION GROUP HOLDINGS INC COM	3,361.50	50.00	30.00	20.00	85.0	17.00
	ACCOUNT #: LSR012710	SELL 750 Units @4.4820						
11/06/2018	***-**-617I - DVIZAC, LORI	PARATEK PHARMACEUTICALS INC	10,485.00	150.00	45.00	105.00	85.0	89.25
	ACCOUNT #: LSR012473	BUY 1500 Units @6.9900						
11/06/2018	***-**-879X - WELCH, KELLY	ROSEHILL RES INC CL A	4,820.00	50.00	35.00	15.00	85.0	12.75
	ACCOUNT #: LSR012710	SELL 1000 Units @4.8200						
11/06/2018	***-**-042I - HEINZ, CHERI	SEAGATE TECHNOLOGY PLC COM USD0.000	9,001.40	100.00	19.00	81.00	85.0	68.85
	ACCOUNT #: LSR012514	SELL 200 Units @45.0070						
11/05/2018	***-**-703I - RHODE, SUSAN	SECTOR SPDR TR SHS BEN INT TECHNOLO	10,195.50	100.00	18.00	82.00	85.0	69.70
	ACCOUNT #: LSR012463	BUY 150 Units @67.9700						
11/05/2018	***-**-03IR - RHODE, SUSAN	SECTOR SPDR TR SHS BEN INT TECHNOLO	10,207.05	100.00	18.00	82.00	85.0	69.70
	ACCOUNT #: LSR012464	BUY 150 Units @68.0470						
11/05/2018	***-**-434I - DVIZAC, ZACK	SECTOR SPDR TR SHS BEN INT TECHNOLO	16,975.50	250.00	20.00	230.00	85.0	195.50
	ACCOUNT #: LSR012474	BUY 250 Units @67.9020						
11/05/2018	***-**-160I - BROWN, DEANNA	SECTOR SPDR TR SHS BEN INT TECHNOLO	10,186.20	100.00	18.00	82.00	85.0	69.70
	ACCOUNT #: LSR012780	BUY 150 Units @67.9080						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
11/06/2018	***-**-042I - HEINZ, CHERI	SECTOR SPDR TR SHS BEN INT TECHNOLO	13,806.00	150.00	19.00	131.00	85.0	111.35
	ACCOUNT #: LSR012514	BUY 200 Units @69.0300						
11/06/2018	***-**-879X - WELCH, KELLY	SECTOR SPDR TR SHS BEN INT TECHNOLO	13,790.40	150.00	19.00	131.00	85.0	111.35
	ACCOUNT #: LSR012710	BUY 200 Units @68.9520						
11/07/2018	***-**-03TR - NEAL TRUST,	SELECT SECTOR SPDR TR CONSUMER DISC	11,008.80	150.00	17.00	133.00	85.0	113.05
	ACCOUNT #: LSR012505	SELL 100 Units @110.0880						
11/05/2018	***-**-168I - JONES, TERI	TURTLE BEACH CORP COM NEW	5,978.40	100.00	21.00	79.00	85.0	67.15
	ACCOUNT #: LSR012588	SELL 300 Units @19.9280						
11/05/2018	***-**-434I - DVIZAC, ZACK	TWITTER INC COM USD0.000005 UNSOLIC	16,865.00	250.00	25.00	225.00	85.0	191.25
	ACCOUNT #: LSR012474	SELL 500 Units @33.7300						
11/06/2018	***-**-879X - WELCH, KELLY	ULTRA CLEAN HLDGS INC	5,688.50	50.00	25.00	25.00	85.0	21.25
	ACCOUNT #: LSR012710	SELL 500 Units @11.3770						
11/06/2018	***-**-042I - HEINZ, CHERI	VIRTU FINL INC CL A	4,904.60	50.00	19.00	31.00	85.0	26.35
	ACCOUNT #: LSR012514	SELL 200 Units @24.5230						
11/06/2018	***-**-617I - DVIZAC, LORI	ZAGG INC	3,708.00	50.00	21.00	29.00	85.0	24.65
	ACCOUNT #: LSR012473	SELL 300 Units @12.3600						
11/07/2018	***-**-03TR - NEAL TRUST,	ZAGG INC	55.60	1.00	15.10	-14.10	100.0	-14.10
	ACCOUNT #: LSR012505	SELL 5 Units @11.1200						
11/07/2018	***-**-03TR - NEAL TRUST,	ZAGG INC	11,076.34	199.00	19.90	179.10	85.0	152.24
	ACCOUNT #: LSR012505	SELL 995 Units @11.1320						
* STOCKS SUBTOTAL *			\$279,972.48	\$3,575.01	\$680.04	\$2,894.97		\$2,456.43

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	1,984.10	20.00	24.00	-4.00	100.0	-4.00
	ACCOUNT #: LSR012469	SELL 100 Units @19.8410						
11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	2,698.38	27.20	0.00	27.20	85.0	23.12
	ACCOUNT #: LSR012469	SELL 136 Units @19.8410						
11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	1,984.10	20.00	0.00	20.00	85.0	17.00
	ACCOUNT #: LSR012469	SELL 100 Units @19.8410						
11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	238.08	2.40	0.00	2.40	85.0	2.04
	ACCOUNT #: LSR012469	SELL 12 Units @19.8400						
11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	4,007.88	40.40	0.00	40.40	85.0	34.34
	ACCOUNT #: LSR012469	SELL 202 Units @19.8410						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	1,984.10	20.00	0.00	20.00	85.0	17.00
	ACCOUNT #: LSR012469	SELL 100 Units @19.8410						
11/06/2018	***-**-496I - MCCOY, SHERIANNE	CONSOL COAL RES LP COM UNIT SOLICIT	1,984.10	20.00	0.00	20.00	85.0	17.00
	ACCOUNT #: LSR012469	SELL 100 Units @19.8410						
11/06/2018	***-**-03TR - NEAL TRUST,	CONSOL COAL RES LP COM UNIT SOLICIT	6,947.15	70.00	24.00	46.00	85.0	39.10
	ACCOUNT #: LSR012505	SELL 350 Units @19.8490						
11/06/2018	***-**-03TR - NEAL TRUST,	CONSOL COAL RES LP COM UNIT SOLICIT	5,954.70	60.00	0.00	60.00	85.0	51.00
	ACCOUNT #: LSR012505	SELL 300 Units @19.8490						
11/06/2018	***-**-03TR - NEAL TRUST,	CONSOL COAL RES LP COM UNIT SOLICIT	1,984.90	20.00	0.00	20.00	85.0	17.00
	ACCOUNT #: LSR012505	SELL 100 Units @19.8490						
11/05/2018	***-**-03IR - RHODE, SUSAN	HI-CRUSH PARTNERS LP COM UNIT LTD S	6,397.00	75.00	24.00	51.00	85.0	43.35
	ACCOUNT #: LSR012464	SELL 1000 Units @6.3970						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$36,164.49	\$375.00	\$72.00	\$303.00		\$256.95
*** BROKER TRANSACTIONS TOTAL ***			\$375,785.75	\$6,200.01	\$806.04	\$5,393.97		\$4,574.58

BROKER COMMISSION TOTALS

Broker Transactions	\$4,574.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$4,574.58
Year-to-date Earnings	\$172,295.22