

# COMMISSION STATEMENT

August 31, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

## COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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## CLEARING TRANSACTIONS

### PRODUCT CATEGORY: MUTUAL FUNDS

08/29/2018	152880787	BARRY, REAGAN	MF	THE INVESTMENT COMPANY OF	B	-1,387.55	0.00	5.00	-5.00	0.0	0.00
				* MUTUAL FUNDS SUBTOTAL *		\$-1,387.55	\$0.00	\$5.00	\$-5.00		\$0.00

### PRODUCT CATEGORY: STOCKS

08/29/2018	276572674	FOWLER, DAVID	S	ACURA PHARMACEUTICALS INC PAR	S	-23.05	15.00	15.00	0.00	0.0	0.00
08/17/2018	646868337	CLARY, WILLIAM	S	ENNIS INC FORMERLY ENNIS	B	6,367.45	35.00	15.00	20.00	0.0	0.00
08/29/2018	706753678	TURNNEY, JAMES	S	ACURA PHARMACEUTICALS INC PAR	S	4.03	0.00	-15.00	15.00	0.0	0.00
08/29/2018	706753678	TURNNEY, JAMES	S	ACURA PHARMACEUTICALS INC PAR	S	-4.03	0.00	15.00	-15.00	0.0	0.00
				* STOCKS SUBTOTAL *		\$6,344.40	\$50.00	\$30.00	\$20.00		\$0.00

### PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

08/21/2018	930249560	K35 RISKLESS FI	UIT	FIRST TRUST DIVERSFD HIGH INC	S	-88,192.60	1,799.98	0.00	1,799.98	0.0	0.00
				* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$-88,192.60	\$1,799.98	\$0.00	\$1,799.98		\$0.00

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018	mfc033980	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.40	0.00	0.40	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.21	0.00	2.21	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.57	0.00	3.57	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.67	0.00	0.67	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	0.06	0.00	0.06	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	FRANKLIN INCOME FUND CLASS A	B	0.00	8.59	0.00	8.59	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	PUTNAM 746444108	B	0.00	0.09	0.00	0.09	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	PUTNAM 746444108	B	0.00	0.09	0.00	0.09	0.0	0.00
08/21/2018	mfc033980	VARIOUS,	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	2.54	0.00	2.54	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.40	0.00	0.40	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.13	0.00	1.13	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	AMCAP FUND-A	B	0.00	4.14	0.00	4.14	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.87	0.00	4.87	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	AMERICAN BALANCED FUND-F1	B	0.00	5.02	0.00	5.02	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	AMERICAN BALANCED FUND-F1	B	0.00	9.25	0.00	9.25	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	AMERICAN FDS INTL GROWTH &	B	0.00	0.68	0.00	0.68	0.0	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT	AMERICAN FDS INTL GROWTH &	B	0.00	1.26	0.00	1.26	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.33	0.00	1.33	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.58	0.00	2.58	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT BLACKROCK S&P 500 INDEX FD	B	0.00	0.51	0.00	0.51	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	0.19	0.00	0.19	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	0.18	0.00	0.18	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	3.63	0.00	3.63	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FEDERATED KAUFMANN FUND	B	0.00	2.22	0.00	2.22	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FEDERATED EQUITY INCOME FUND	B	0.00	1.16	0.00	1.16	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADV TECHNOLOGY CL T	B	0.00	4.42	0.00	4.42	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.92	0.00	1.92	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	2.41	0.00	2.41	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	3.56	0.00	3.56	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.73	0.00	0.73	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.99	0.00	0.99	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.80	0.00	0.80	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	1.92	0.00	1.92	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK GOLD FUND - CLASS A	B	0.00	0.46	0.00	0.46	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.08	0.00	1.08	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.21	0.00	1.21	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.85	0.00	1.85	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	4.93	0.00	4.93	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.89	0.00	6.89	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.36	0.00	1.36	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	2.30	0.00	2.30	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	4.21	0.00	4.21	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.60	0.00	0.60	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.84	0.00	0.84	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.55	0.00	0.55	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	1.72	0.00	1.72	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MFS TECHNOLOGY FUND-A	B	0.00	1.23	0.00	1.23	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.92	0.00	0.92	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.03	0.00	1.03	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.03	0.00	1.03	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.92	0.00	2.92	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	3.39	0.00	3.39	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	19.50	0.00	19.50	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT OBERWEIS CHINA OPPORTUNITIES FD	B	0.00	0.80	0.00	0.80	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PIMCO ALL ASSET A	B	0.00	1.19	0.00	1.19	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	4.37	0.00	4.37	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	6.30	0.00	6.30	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PRUDENTIAL QMA STOCK INDEX FD C	B	0.00	3.41	0.00	3.41	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746444876	B	0.00	16.42	0.00	16.42	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746745108	B	0.00	0.07	0.00	0.07	0.00
08/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	1.64	0.00	1.64	0.00

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08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM 746802800	B	0.00	1.72	0.00	1.72	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM 746802800	B	0.00	4.25	0.00	4.25	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	2.19	0.00	2.19	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	2.82	0.00	2.82	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700 FD	B	0.00	13.82	0.00	13.82	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	2.33	0.00	2.33	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.45	0.00	0.45	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.30	0.00	1.30	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	4.77	0.00	4.77	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	35.98	0.00	35.98	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT SMALLCAP GROWTH - CLASS A	B	0.00	1.03	0.00	1.03	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.72	0.00	0.72	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	1.65	0.00	1.65	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.15	0.00	3.15	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.54	0.00	4.54	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.01	0.00	2.01	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.57	0.00	2.57	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.55	0.00	6.55	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	20.58	0.00	20.58	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.20	0.00	0.20	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	1.01	0.00	1.01	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	2.97	0.00	2.97	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.02	0.00	0.02	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.10	0.00	0.10	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.00	0.00	7.00	0.0	0.00
08/21/2018	mfc434562 HOUSE 434562	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.20	0.00	0.20	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$289.82</b>	<b>\$0.00</b>	<b>\$289.82</b>		<b>\$0.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-83,235.75</b>	<b>\$2,139.80</b>	<b>\$35.00</b>	<b>\$2,104.80</b>		<b>\$0.00</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/20/2018	(None) VARIOUS, HOUSE	MF TOUCHSTONE FOCUSED FD Y	B	0.00	11.25	0.00	11.25	0.0	0.00
08/01/2018	(None) CHAU, MACH	MF FIDELITY DESTINY	B	0.00	2.26	0.00	2.26	0.0	0.00
08/10/2018	(None) VARIOUS, HOUSE	MF OPPENHEIMER	B	0.00	9.74	0.00	9.74	0.0	0.00
08/13/2018	(None) PHAN, NONNIE	MF BLACKROCK	B	50.00	2.50	0.00	2.50	0.0	0.00
08/15/2018	(None) VARIOUS, HOUSE	MF VIRTUS	B	0.00	5.00	0.00	5.00	0.0	0.00
08/10/2018	00060428524 FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	100.00	4.00	0.00	4.00	0.0	0.00
08/20/2018	00060428524 FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	100.00	4.00	0.00	4.00	0.0	0.00
08/27/2018	00060428524 FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	125.00	5.00	0.00	5.00	0.0	0.00
08/15/2018	00064309614 DELISE, KOPAVI	MF CAPITAL INCOME BUILDER-A	B	83.00	3.11	0.00	3.11	0.0	0.00
08/15/2018	00064309614 DELISE, KOPAVI	MF THE INCOME FUND OF AMERICA-A	B	83.00	3.11	0.00	3.11	0.0	0.00

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08/24/2018 00067001641	TAN-COLON, JOANA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	0.0	0.00
08/16/2018 00067697270	LAWRENCE,	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
08/15/2018 00067833895	CURL, THOMAS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	0.0	0.00
08/15/2018 00068779798	ULLOA, AUDREY	MF COLLEGE ENROLLMENT FUND-529A	B	150.00	3.00	0.00	3.00	0.0	0.00
08/20/2018 00069291988	ABALOS, NATHAN	MF CAPITAL WORLD GROWTH AND	B	100.00	2.75	0.00	2.75	0.0	0.00
08/20/2018 00069291988	ABALOS, NATHAN	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	2.75	0.00	2.75	0.0	0.00
08/13/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	0.99	0.05	0.00	0.05	0.0	0.00
08/13/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	33.00	1.65	0.00	1.65	0.0	0.00
08/13/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	0.99	0.05	0.00	0.05	0.0	0.00
08/13/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	33.00	1.65	0.00	1.65	0.0	0.00
08/13/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	1.02	0.05	0.00	0.05	0.0	0.00
08/13/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	34.00	1.70	0.00	1.70	0.0	0.00
08/13/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	9.24	0.46	0.00	0.46	0.0	0.00
08/13/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	0.27	0.01	0.00	0.01	0.0	0.00
08/13/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	9.24	0.46	0.00	0.46	0.0	0.00
08/13/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	0.28	0.01	0.00	0.01	0.0	0.00
08/13/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	9.52	0.48	0.00	0.48	0.0	0.00
08/13/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	0.29	0.01	0.00	0.01	0.0	0.00
08/22/2018 03910267776	PHILLIPS, MATTHEW	MF AIM SMALL CAP GROWTH FUND -	B	5,500.00	165.00	0.00	165.00	0.0	0.00
08/15/2018 03910377153	MCCARTNEY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	5.00	0.00	5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				<b>\$6,772.84</b>	<b>\$241.56</b>	<b>\$0.00</b>	<b>\$241.56</b>		<b>\$0.00</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018 (None) VARIOUS, HOUSE	MFT AIG	B	0.00	17.50	0.00	17.50	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT AIG	B	0.00	8.43	0.00	8.43	0.0	0.00
07/31/2018 (None) PHAN, NONNIE	MFT BLACKROCK	B	0.00	3.34	0.00	3.34	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT BLACKROCK	B	0.00	2.35	0.00	2.35	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT DELWARE	B	0.00	13.71	0.00	13.71	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT DELWARE	B	0.00	3.57	0.00	3.57	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	6.47	0.00	6.47	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT LORD ABBETT	B	0.00	13.08	0.00	13.08	0.0	0.00
07/31/2018 (None) FORREEDSO	MFT VIRTUS	B	0.00	2.17	0.00	2.17	0.0	0.00
07/31/2018 (None) VARIOUS, HOUSE	MFT VIRTUS	B	0.00	8.56	0.00	8.56	0.0	0.00
08/03/2018 00999005828 907445019	MFT COLUMBIA STRATEGIC INCOME	B	0.00	2.88	0.00	2.88	0.0	0.00
08/13/2018 01002969111 GREENWELL, HAROLD	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.74	0.00	0.74	0.0	0.00
08/13/2018 01008869663 TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.14	0.00	0.14	0.0	0.00
08/13/2018 01008869663 TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.42	0.00	0.42	0.0	0.00
08/13/2018 01009334945 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.62	0.00	0.62	0.0	0.00
08/13/2018 01009334945 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.85	0.00	1.85	0.0	0.00
08/13/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	0.56	0.00	0.56	0.0	0.00
08/13/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	1.69	0.00	1.69	0.0	0.00
08/13/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.63	0.00	0.63	0.0	0.00
08/13/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.88	0.00	1.88	0.0	0.00
08/13/2018 08009120302 LYNN PARKER	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.21	0.00	0.21	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/13/2018 08303694207	COAN, JUDITH	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	0.77	0.00	0.77	0.0	0.00
08/13/2018 08322273206	HIGGINS, STEPHEN	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.28	0.00	0.28	0.0	0.00
08/03/2018 08537500312	DEVINE, MARC	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.15	0.00	0.15	0.0	0.00
08/03/2018 08694039007	OWEN, JAMES	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	11.52	0.00	11.52	0.0	0.00
08/03/2018 51460000018	DEVINE, MARC	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.57	0.00	0.57	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$104.09	\$0.00	\$104.09		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
08/10/2018 (None) EVANS		VAT SAMMONS	B	0.00	13.94	0.00	13.94	0.0	0.00
08/14/2018 (None) ARROYO, YUN		VAT AMERICAN GENERAL	B	0.00	112.12	0.00	112.12	0.0	0.00
08/17/2018 (None) PITTMAN, ERIC		VAT NATIONWIDE	B	0.00	0.45	0.00	0.45	0.0	0.00
08/17/2018 (None) VARIOUS, HOUSE		VAT PACIIFIC LIFE	B	0.00	8.43	0.00	8.43	0.0	0.00
08/10/2018 00712821077	VARIOUS, HOUSE	VAT HARTFORD LIFE	B	0.00	3.00	0.00	3.00	0.0	0.00
08/20/2018 VR03076107	BARTHOLOMEW, JACOB	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	114.37	0.00	114.37	0.0	0.00
08/20/2018 VR04013218	MUSSELMAN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	59.78	0.00	59.78	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$312.09	\$0.00	\$312.09		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$6,772.84	\$657.74	\$0.00	\$657.74		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00