



COMMISSION STATEMENT

December 17, 2018

JOHN HERGER
1345 West Mason St, Ste 116
Suite 210
Green Bay, WI 54303

BROKER #: B85
BRANCH #01049 - 49 - DESCHENES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/20/2018	***-**-3442 - RHODE, ROBERT	AIM SMALL CAP GROWTH FUND - CLASS A	6,500.00	325.00	0.00	325.00	84.0	273.00
	ACCOUNT #: 01001411649	BUY 169 Units @38.5400						
		* MUTUAL FUNDS SUBTOTAL *	\$6,500.00	\$325.00	\$0.00	\$325.00		\$273.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/17/2018	***-**-22CL - HERGER, CLIENTS	AMERICAN FUNDS MF TRAILS	0.00	34.32	0.00	34.32	84.0	28.83
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.32	\$0.00	\$34.32		\$28.83
		*** BROKER TRANSACTIONS TOTAL ***	\$6,500.00	\$359.32	\$0.00	\$359.32		\$301.83

BROKER COMMISSION TOTALS

Broker Transactions	\$301.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$301.83
Year-to-date Earnings	\$708.42