

# COMMISSION STATEMENT October 31, 2018

DPM BRADFORD

BROKER #:

BRANCH: DPM

, TX

## COMMISSION STATEMENT for DPM BRADFORD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/27/2018 368340663	DAVISON, FREDERICK	MF	BLACKROCK MULTI ASSET FD I	S	50,002.45	0.00	5.00	-5.00	0.0	0.00
09/28/2018 368459359	ACKERMANN, ANNE	MF	BLACKROCK MULTI ASSET FD I	S	-43,327.27	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$6,675.18	\$0.00	\$10.00	\$-10.00		\$0.00

#### PRODUCT CATEGORY: STOCKS

10/12/2018 368341969	TIEMANN, JAMES	S	COHEN & STEERS QUALITY INCOME	S	-2,234.82	15.00	15.00	0.00	0.0	0.00
10/12/2018 368341969	TIEMANN, JAMES	S	FIDUCIARY/CLAYMORE MLP	S	-10,504.31	15.00	15.00	0.00	0.0	0.00
09/28/2018 368459359	ACKERMANN, ANNE	S	FIDUCIARY/CLAYMORE MLP	S	-1,465.07	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	AIR PRODUCTS & CHEMICALS INC	S	-1,179.96	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	ILLINOIS TOOL WORKS INC	S	-1,284.38	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	KIMBERLY-CLARK CORPORATION	B	-1,057.93	-15.00	-15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	KIMBERLY-CLARK CORPORATION	B	1,057.93	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	KIMBERLY-CLARK CORPORATION	S	-1,021.24	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	MERCK & CO INC NEW	S	-2,501.86	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	OCCIDENTAL PETROLEUM CORP	S	-3,098.13	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	PNC FINANCIAL SERVICES GROUP	B	-2,493.53	-15.00	-15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	PNC FINANCIAL SERVICES GROUP	B	2,493.53	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	PNC FINANCIAL SERVICES GROUP	S	-2,456.98	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	PUBLIC STORAGE INCORPORATED	S	-783.26	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	REALTY INCOME CORP (MA)	B	-1,215.92	-15.00	-15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	REALTY INCOME CORP (MA)	B	1,215.92	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	REALTY INCOME CORP (MA)	S	1,180.37	-15.00	-15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	REALTY INCOME CORP (MA)	S	-1,180.37	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	REALTY INCOME CORP (MA)	S	-1,180.37	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	TARGET CORP	B	-2,872.76	-15.00	-15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	TARGET CORP	B	2,872.76	15.00	15.00	0.00	0.0	0.00
10/03/2018 368459359	ACKERMANN, ANNE	S	TARGET CORP	S	-2,844.65	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *					\$-30,555.03	\$180.00	\$180.00	\$0.00		\$0.00

COMMISSION STATEMENT for DPM BRADFORD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
10/19/2018	mfc076950	VARIOUS,		MFT PIONEER FUNDAMENTAL GROWTH FD A B	0.00	0.28	0.00	0.28	0.0	0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.28	\$0.00	\$0.28		\$0.00
				*** CLEARING TRANSACTIONS TOTAL ***	\$-23,879.85	\$180.28	\$190.00	\$-9.72		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00