

COMMISSION STATEMENT November 30, 2018

WARREN BELL-D375
 1031 Andrews Highway
 Suite 204
 Midland, TX 75701

BROKER #: D375
 BRANCH: D12

COMMISSION STATEMENT for WARREN BELL-D375

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
11/26/2018	184303312	COLLINS, JAN	MF	DAVIS NEW YORK VENTURE CLASS A	B	-4,192.55	0.00	5.00	-5.00	85.0	-4.25
11/26/2018	184305647	COLLINS, JAN	MF	DAVIS NEW YORK VENTURE CLASS A	B	-4,192.55	0.00	5.00	-5.00	85.0	-4.25
11/26/2018	184308873	COLLINS, JAN	MF	DAVIS NEW YORK VENTURE CLASS A	B	-4,192.55	0.00	5.00	-5.00	85.0	-4.25
11/16/2018	215373485	DUTTON, TAMERA	MF	MONEY MARKET	B	-11,992.55	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *					\$-24,570.20	\$0.00	\$20.00	\$-20.00	\$-17.00		
PRODUCT CATEGORY: STOCKS											
10/30/2018	152894525	BRANDON, GEORGE	S	INTL BUSINESS MACHINES INC	B	58,928.51	1,036.11	15.00	1,021.11	85.0	867.94
10/30/2018	153533596	MANAGEMENT, BELL	S	INTL BUSINESS MACHINES INC	B	11,590.44	20.00	15.00	5.00	85.0	4.25
10/30/2018	154246284	FOUNDATION, BUCKNER	S	INTL BUSINESS MACHINES INC	B	23,994.13	409.90	15.00	394.90	85.0	335.67
10/30/2018	154246284	FOUNDATION, BUCKNER	S	INTL BUSINESS MACHINES INC	B	-23,994.13	-409.90	-15.00	-394.90	85.0	-335.67
10/30/2018	154246284	FOUNDATION, BUCKNER	S	INTL BUSINESS MACHINES INC	B	23,584.23	423.80	15.00	408.80	85.0	347.48
10/30/2018	184254612	CLARK, GLENDA	S	INTL BUSINESS MACHINES INC	B	58,888.98	1,039.03	15.00	1,024.03	85.0	870.43
11/16/2018	215371252	DUTTON, JOE	S	BP PLC-SPONS ADR	B	41,606.23	736.18	15.00	721.18	85.0	613.00
11/16/2018	215371252	DUTTON, JOE	S	INTL BUSINESS MACHINES INC	B	43,300.51	766.06	15.00	751.06	85.0	638.40
11/16/2018	215373485	DUTTON, TAMERA	S	CNX MIDSTREAM PARTNERS LP	B	26,730.96	479.11	15.00	464.11	85.0	394.49
11/15/2018	216099348	DAVIS, KAREN	S	INTL BUSINESS MACHINES INC	B	12,359.38	228.94	15.00	213.94	85.0	181.85
10/30/2018	338807010	HAGELSTEIN, RONA	S	INTL BUSINESS MACHINES INC	B	35,331.69	629.74	15.00	614.74	85.0	522.53
11/15/2018	368299197	MAYNE BENE,	S	CNX MIDSTREAM PARTNERS LP	B	26,850.99	478.84	15.00	463.84	85.0	394.26
11/16/2018	368463417	JOHNSON, DYNA	S	CNX MIDSTREAM PARTNERS LP	B	21,370.98	383.53	15.00	368.53	85.0	313.25
11/16/2018	399467022	JOHNSON, DYNA	S	BP PLC-SPONS ADR	B	20,785.98	374.18	15.00	359.18	85.0	305.30
11/16/2018	399467022	JOHNSON, DYNA	S	INTL BUSINESS MACHINES INC	B	24,771.80	443.37	15.00	428.37	85.0	364.11
11/08/2018	399467214	JONES, LINDA	S	INTL BUSINESS MACHINES INC	B	21,346.16	386.13	15.00	371.13	85.0	315.46
11/23/2018	399467214	JONES, LINDA	S	CNX MIDSTREAM PARTNERS LP	B	26,422.15	470.90	15.00	455.90	85.0	387.52
11/15/2018	491813419	MAYNE, PATRICIA	S	BP PLC-SPONS ADR	B	25,124.53	450.14	15.00	435.14	85.0	369.87
11/15/2018	491813419	MAYNE, PATRICIA	S	INTL BUSINESS MACHINES INC	B	24,629.12	442.69	15.00	427.69	85.0	363.54
10/30/2018	553318828	OWEN, JOSEPH	S	INTL BUSINESS MACHINES INC	B	11,781.71	219.26	15.00	204.26	85.0	173.62
10/30/2018	676346562	SMITH (BENE),	S	INTL BUSINESS MACHINES INC	B	58,853.96	1,036.56	15.00	1,021.56	85.0	868.33
10/30/2018	677266379	SCHULZE, BEN	S	DENBURY RESOURCES INC (NEW)	B	3,125.52	109.96	15.00	94.96	85.0	80.72
* STOCKS SUBTOTAL *					\$577,383.83	\$10,154.53	\$300.00	\$9,854.53	\$8,376.35		

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
PRODUCT CATEGORY: MISCELLANEOUS											
11/19/2018	a03074209	VARIOUS, WARREN	MI	MUTUAL FUND MISC FEE 215373485	S	0.00	5.00	0.00	5.00	85.0	4.25
11/27/2018	a03074209	VARIOUS, WARREN	MI	MUTUAL FUND MISC FEE 184303312	S	0.00	5.00	0.00	5.00	85.0	4.25
11/27/2018	a03074209	VARIOUS, WARREN	MI	MUTUAL FUND MISC FEE 184305647	S	0.00	5.00	0.00	5.00	85.0	4.25
11/27/2018	a03074209	VARIOUS, WARREN	MI	MUTUAL FUND MISC FEE 184308873	S	0.00	5.00	0.00	5.00	85.0	4.25
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$20.00	\$0.00	\$20.00		\$17.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.02	0.00	0.02	85.0	0.02
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	AMERICAN BALANCED FUND-A	B	0.00	17.58	0.00	17.58	85.0	14.94
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.17	0.00	2.17	85.0	1.84
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.96	0.00	2.96	85.0	2.52
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.78	0.00	4.78	85.0	4.06
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL INCOME BUILDER-A	B	0.00	13.99	0.00	13.99	85.0	11.89
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.73	0.00	0.73	85.0	0.62
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.79	0.00	0.79	85.0	0.67
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.79	0.00	0.79	85.0	0.67
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.80	0.00	0.80	85.0	0.68
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.73	0.00	2.73	85.0	2.32
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.19	0.00	3.19	85.0	2.71
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.19	0.00	3.19	85.0	2.71
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.40	0.00	3.40	85.0	2.89
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.98	0.00	5.98	85.0	5.08
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	26.43	0.00	26.43	85.0	22.47
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	CEREDEX MID CAP VALUE EQUITY	B	0.00	1.96	0.00	1.96	85.0	1.67
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	COLUMBIA BALANCED FD CL A	B	0.00	3.33	0.00	3.33	85.0	2.83
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	COLUMBIA BALANCED FD CL A	B	0.00	4.26	0.00	4.26	85.0	3.62
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	COLUMBIA BALANCED FD CL A	B	0.00	13.86	0.00	13.86	85.0	11.78
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	COLUMBIA BALANCED FD CL A	B	0.00	33.75	0.00	33.75	85.0	28.69
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.96	0.00	0.96	85.0	0.82
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.82	0.00	1.82	85.0	1.55
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.93	0.00	1.93	85.0	1.64
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.44	0.00	5.44	85.0	4.62
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.44	0.00	5.44	85.0	4.62
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.45	0.00	5.45	85.0	4.63
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	22.50	0.00	22.50	85.0	19.13
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DELAWARE SELECT GROWTH FUND A	B	0.00	3.39	0.00	3.39	85.0	2.88
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DELAWARE SELECT GROWTH FUND A	B	0.00	16.28	0.00	16.28	85.0	13.84
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DEUTSCHE MID CAP VALUE A	B	0.00	4.76	0.00	4.76	85.0	4.05
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DEUTSCHE MID CAP VALUE A	B	0.00	4.76	0.00	4.76	85.0	4.05
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DEUTSCHE MID CAP VALUE A	B	0.00	4.76	0.00	4.76	85.0	4.05
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	DEUTSCHE MID CAP VALUE A	B	0.00	18.06	0.00	18.06	85.0	15.35
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	EATON VANCE LARGE CAP VALUE FD	B	0.00	2.34	0.00	2.34	85.0	1.99
11/21/2018	mfc074209	VARIOUS, WARREN	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	2.38	0.00	2.38	85.0	2.02

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11/21/2018	mfc074209	VARIOUS, WARREN	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.77	0.00	2.77	85.0	2.35
11/21/2018	mfc074209	VARIOUS, WARREN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.95	0.00	2.95	85.0	2.51
11/21/2018	mfc074209	VARIOUS, WARREN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.59	0.00	4.59	85.0	3.90
11/21/2018	mfc074209	VARIOUS, WARREN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.58	0.00	8.58	85.0	7.29
11/21/2018	mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	3.77	0.00	3.77	85.0	3.20
11/21/2018	mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	3.77	0.00	3.77	85.0	3.20
11/21/2018	mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	3.77	0.00	3.77	85.0	3.20
11/21/2018	mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	4.14	0.00	4.14	85.0	3.52
11/21/2018	mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	20.02	0.00	20.02	85.0	17.02
11/21/2018	mfc074209	VARIOUS, WARREN	MFT KEELEY SMALL CAP VALUE FD A	B	0.00	1.30	0.00	1.30	85.0	1.11
11/21/2018	mfc074209	VARIOUS, WARREN	MFT KEELEY SMALL CAP VALUE FD A	B	0.00	8.09	0.00	8.09	85.0	6.88
11/21/2018	mfc074209	VARIOUS, WARREN	MFT KEELEY SMALL MIDCAP VALUE FD CL	B	0.00	9.09	0.00	9.09	85.0	7.73
11/21/2018	mfc074209	VARIOUS, WARREN	MFT NEW WORLD FUND-A	B	0.00	1.64	0.00	1.64	85.0	1.39
11/21/2018	mfc074209	VARIOUS, WARREN	MFT NEW WORLD FUND-A	B	0.00	12.73	0.00	12.73	85.0	10.82
11/21/2018	mfc074209	VARIOUS, WARREN	MFT OMEGA GROWTH FUND WELLS FARGO	B	0.00	1.29	0.00	1.29	85.0	1.10
11/21/2018	mfc074209	VARIOUS, WARREN	MFT PRINCIPAL INVESTOR EQUITY	B	0.00	2.79	0.00	2.79	85.0	2.37
11/21/2018	mfc074209	VARIOUS, WARREN	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.29	0.00	1.29	85.0	1.10
11/21/2018	mfc074209	VARIOUS, WARREN	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	3.04	0.00	3.04	85.0	2.58
11/21/2018	mfc074209	VARIOUS, WARREN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67	85.0	3.12
11/21/2018	mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.20	0.00	3.20	85.0	2.72
11/21/2018	mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.07	0.00	6.07	85.0	5.16
11/21/2018	mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.62	0.00	15.62	85.0	13.28
11/21/2018	mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	164.61	0.00	164.61	85.0	139.92
11/21/2018	mfc074209	VARIOUS, WARREN	MFT TOUCHSTONE FOCUSED FD A	B	0.00	3.76	0.00	3.76	85.0	3.20
11/21/2018	mfc074209	VARIOUS, WARREN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.37	0.00	4.37	85.0	3.71
11/21/2018	mfc074209	VARIOUS, WARREN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.44	0.00	6.44	85.0	5.47
11/21/2018	mfc074209	VARIOUS, WARREN	MFT WELLS FARGO ENTERPRISE-A	B	0.00	1.93	0.00	1.93	85.0	1.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$552.25	\$0.00	\$552.25		\$469.41
*** CLEARING TRANSACTIONS TOTAL ***					\$552,813.63	\$10,726.78	\$320.00	\$10,406.78		\$8,845.76

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

11/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	:	BROKER WORKSTATION-HAGELSTEIN	0.00	0.00	0.00	0.00	-30.00
11/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-215.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-215.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,845.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-215.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,630.76
Year-to-date Commissions	\$30,949.70