



COMMISSION STATEMENT

December 24, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/21/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.4500						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.4500						
12/21/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @27.4500						
12/21/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @27.4500						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @24.9600						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 3 Units @24.9600						
12/17/2018	***-**-4459 - CRAFT, ROSEANNE	AMERICAN FUNDS 2020 TARGET DATE-A	100.00	4.25	0.00	4.25	75.0	3.19
	ACCOUNT #: 00081619958	BUY 8 Units @12.8400						
12/19/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	36.62	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: 00085629087	BUY 3 Units @12.7100						
12/19/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @12.7100						
12/21/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.2800						
12/21/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.2800						
12/17/2018	***-**-8896 - MARNELL, STEVE	AMERICAN FUNDS 2025 TARGET DATE-A	200.00	10.00	0.00	10.00	75.0	7.50
	ACCOUNT #: 04000868778	BUY 15 Units @13.7500						
12/17/2018	***-**-8896 - MARNELL, STEVE	AMERICAN FUNDS 2030 TARGET DATE-A	250.00	12.50	0.00	12.50	75.0	9.38
	ACCOUNT #: 04000868778	BUY 17 Units @14.6500						
12/19/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	50.34	1.89	0.00	1.89	75.0	1.42
	ACCOUNT #: 04000070058	BUY 3 Units @14.6100						
12/19/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	48.09	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 04000070058	BUY 3 Units @14.6100						
12/17/2018	***-**-3291 - REILLY, BETHANY	AMERICAN FUNDS 2045 TARGET DATE-A	200.00	3.20	0.00	3.20	75.0	2.40
	ACCOUNT #: 04000139353	BUY 13 Units @14.9000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/17/2018	***-**-3291 - REILLY, BETHANY	AMERICAN FUNDS 2045 TARGET DATE-A	91.35	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: 04000139353	BUY 6 Units @14.9000						
12/19/2018	***-**-8119 - ROY, CALI	AMERICAN FUNDS 2045 TARGET DATE-A	30.72	1.15	0.00	1.15	75.0	0.86
	ACCOUNT #: 04001246972	BUY 2 Units @15.1100						
12/19/2018	***-**-8119 - ROY, CALI	AMERICAN FUNDS 2045 TARGET DATE-A	30.61	1.15	0.00	1.15	75.0	0.86
	ACCOUNT #: 04001246972	BUY 2 Units @15.1100						
12/19/2018	***-**-1126 - GLASS, KAYLA	AMERICAN FUNDS 2045 TARGET DATE-A	49.95	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 04001247049	BUY 3 Units @15.1100						
12/19/2018	***-**-1126 - GLASS, KAYLA	AMERICAN FUNDS 2045 TARGET DATE-A	99.90	3.75	0.00	3.75	75.0	2.81
	ACCOUNT #: 04001247049	BUY 7 Units @15.1100						
12/21/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @55.4500						
12/21/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @55.4500						
12/21/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @55.4500						
12/21/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @55.4500						
12/17/2018	***-**-7671 - REILLY, RICHARD	CAPITAL INCOME BUILDER-A	24.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00063488673	BUY 0 Units @58.4200						
12/17/2018	***-**-7671 - REILLY, RICHARD	CAPITAL INCOME BUILDER-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488673	BUY 4 Units @58.4200						
12/17/2018	***-**-8307 - REILLY, KATHY	CAPITAL INCOME BUILDER-A	12.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 00063488674	BUY 0 Units @58.4200						
12/17/2018	***-**-8307 - REILLY, KATHY	CAPITAL INCOME BUILDER-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488674	BUY 4 Units @58.4200						
12/17/2018	***-**-7671 - REILLY, RICHARD	CAPITAL WORLD BOND FUND-A	24.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00063488673	BUY 1 Units @19.5200						
12/17/2018	***-**-7671 - REILLY, RICHARD	CAPITAL WORLD BOND FUND-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488673	BUY 12 Units @19.5200						
12/21/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.62	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @18.7500						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/21/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @18.7500						
12/17/2018	***-**-9311 - YOZZO, JOSEPH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00068838818	BUY 3 Units @46.5500						
12/19/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @44.5100						
12/19/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @44.5100						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @42.6500						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @42.6500						
12/21/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @42.6500						
12/21/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @42.6500						
12/21/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @41.1300						
12/21/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @41.1300						
12/21/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @41.1300						
12/21/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @41.1300						
12/17/2018	***-**-9311 - YOZZO, JOSEPH	EUROPACIFIC GROWTH FUND-A	166.00	3.32	0.00	3.32	75.0	2.49
	ACCOUNT #: 00068838818	BUY 3 Units @47.6100						
12/21/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @51.7100						
12/21/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @51.7100						
12/21/2018	***-**-3503 - SPINELLA,	FUNDAMENTAL INVESTORS-A	5,000.00	100.00	0.00	100.00	75.0	75.00
	ACCOUNT #: 00081866339	BUY 97 Units @51.7100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/21/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.1500						
12/21/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @50.1500						
12/21/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @20.0200						
12/21/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 2 Units @20.0200						
12/17/2018	***-**-8307 - REILLY, KATHY	INTERMEDIATE BOND FUND OF AMERICA-A	12.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 00063488674	BUY 1 Units @13.4100						
12/17/2018	***-**-8307 - REILLY, KATHY	INTERMEDIATE BOND FUND OF AMERICA-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488674	BUY 18 Units @13.4100						
12/21/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @37.2300						
12/21/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @37.2300						
12/21/2018	***-**-3503 - SPINELLA,	NEW PERSPECTIVE FUND-A	5,000.00	100.00	0.00	100.00	75.0	75.00
	ACCOUNT #: 00081866339	BUY 134 Units @37.2300						
12/21/2018	***-**-3123 - BALIO, SHAWN	NEW PERSPECTIVE FUND-A	2,275.28	96.70	0.00	96.70	75.0	72.53
	ACCOUNT #: 00083684779	BUY 60 Units @38.2100						
12/17/2018	***-**-7671 - REILLY, RICHARD	NEW WORLD FUND-A	24.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00063488673	BUY 0 Units @59.6900						
12/17/2018	***-**-7671 - REILLY, RICHARD	NEW WORLD FUND-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488673	BUY 4 Units @59.6900						
12/17/2018	***-**-9311 - YOZZO, JOSEPH	NEW WORLD FUND-A	125.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00068838818	BUY 2 Units @60.0000						
12/17/2018	***-**-1701 - NOFFER, THOMAS	NEW WORLD FUND-A	100.00	4.25	0.00	4.25	75.0	3.19
	ACCOUNT #: 00083444381	BUY 2 Units @61.5800						
12/19/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @60.8100						
12/19/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @60.8100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/21/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @57.3100						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @57.3100						
12/21/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @54.0800						
12/21/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @54.0800						
12/17/2018	***-**-1701 - NOFFER, THOMAS	SMALLCAP WORLD FUND-A	100.00	4.25	0.00	4.25	75.0	3.19
	ACCOUNT #: 00083444381	BUY 2 Units @53.1200						
12/19/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @52.2600						
12/19/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @52.2600						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @49.4600						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @49.4600						
12/21/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @49.4600						
12/21/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @49.4600						
12/21/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @42.0900						
12/21/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @42.0900						
12/17/2018	***-**-8307 - REILLY, KATHY	THE BOND FUND OF AMERICA-A	12.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 00063488674	BUY 1 Units @12.7400						
12/17/2018	***-**-8307 - REILLY, KATHY	THE BOND FUND OF AMERICA-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488674	BUY 19 Units @12.7400						
12/17/2018	***-**-9311 - YOZZO, JOSEPH	THE BOND FUND OF AMERICA-A	125.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00068838818	BUY 10 Units @12.8100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/19/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @13.0300						
12/19/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @13.0300						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.8300						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.8300						
12/21/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.37	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @12.5100						
12/21/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.5100						
12/21/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.5100						
12/21/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.5100						
12/17/2018	***-**-7671 - REILLY, RICHARD	THE GROWTH FUND OF AMERICA-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488673	BUY 5 Units @49.5900						
12/17/2018	***-**-7671 - REILLY, RICHARD	THE GROWTH FUND OF AMERICA-A	24.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00063488673	BUY 0 Units @49.5900						
12/17/2018	***-**-8307 - REILLY, KATHY	THE GROWTH FUND OF AMERICA-A	12.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 00063488674	BUY 0 Units @49.5900						
12/17/2018	***-**-8307 - REILLY, KATHY	THE GROWTH FUND OF AMERICA-A	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488674	BUY 5 Units @49.5900						
12/19/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @50.1200						
12/19/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @50.1200						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @42.0000						
12/21/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @42.0000						



COMMISSION STATEMENT

December 24, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/21/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 0 Units @42.0000	6.49	0.13	0.00	0.13	75.0	0.10
12/21/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 1 Units @42.0000	62.50	1.25	0.00	1.25	75.0	0.94
12/21/2018	***-**-3503 - SPINELLA, ACCOUNT #: 00081866339	THE GROWTH FUND OF AMERICA-A BUY 91 Units @42.0000	3,842.60	76.85	0.00	76.85	75.0	57.64
12/21/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @37.5900	1.36	0.01	0.00	0.01	75.0	0.01
12/21/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @37.5900	6.25	0.06	0.00	0.06	75.0	0.05
12/21/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 0 Units @37.5900	2.43	0.02	0.00	0.02	75.0	0.02
12/21/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 1 Units @37.5900	26.83	0.27	0.00	0.27	75.0	0.20
12/21/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 0 Units @20.8000	7.38	0.15	0.00	0.15	75.0	0.11
12/21/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 2 Units @20.8000	31.25	0.63	0.00	0.63	75.0	0.47
12/21/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @20.8000	6.49	0.13	0.00	0.13	75.0	0.10
12/21/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 3 Units @20.8000	62.50	1.25	0.00	1.25	75.0	0.94
12/21/2018	***-**-3503 - SPINELLA, ACCOUNT #: 00081866339	THE NEW ECONOMY FUND-A BUY 262 Units @38.2400	10,000.00	200.00	0.00	200.00	75.0	150.00
12/21/2018	***-**-3123 - BALIO, SHAWN ACCOUNT #: 00083684779	THE NEW ECONOMY FUND-A BUY 56 Units @39.2400	2,192.72	93.19	0.00	93.19	75.0	69.89
12/17/2018	***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.6500	24.00	0.38	0.00	0.38	75.0	0.29
12/17/2018	***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673	U.S. GOVERNMENT SECURITIES FUND CLA BUY 18 Units @13.6500	240.00	3.84	0.00	3.84	75.0	2.88
12/17/2018	***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674	U.S. GOVERNMENT SECURITIES FUND CLA BUY 1 Units @13.6500	12.00	0.19	0.00	0.19	75.0	0.14



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/17/2018	***-**-8307 - REILLY, KATHY	U.S. GOVERNMENT SECURITIES FUND CLA	240.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: 00063488674	BUY 18 Units @13.6500						
12/21/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.16	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.3800						
12/21/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.3800						
12/21/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @40.8400						
12/21/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 2 Units @40.8400						
		* MUTUAL FUNDS SUBTOTAL *	\$34,434.07	\$807.25	\$0.00	\$807.25		\$605.57
<u>PRODUCT CATEGORY: STOCKS</u>								
12/20/2018	***-**-76IN - KRAWIEC, PETER	CISCO SYSTEMS INC	2,135.55	40.00	0.00	40.00	75.0	30.00
	ACCOUNT #: LSM000204	SELL 50 Units @42.7110						
		* STOCKS SUBTOTAL *	\$2,135.55	\$40.00	\$0.00	\$40.00		\$30.00
		*** BROKER TRANSACTIONS TOTAL ***	\$36,569.62	\$847.25	\$0.00	\$847.25		\$635.57



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$635.57
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$635.57
						Year-to-date Earnings		\$83,274.63