

# COMMISSION STATEMENT

October 15, 2018

 MARK ARCHAMBO-D003  
 310 South Osage

 BROKER #: D003  
 BRANCH: DMB

Bartlesville, OK 74003

## COMMISSION STATEMENT for MARK ARCHAMBO-D003

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/30/2018 (None)	VARIOUS, ARCHAMBO M	MFT NATIXIS	B	0.00	3.06	0.00	3.06	90.0	2.75
09/30/2018 (None)	VARIOUS, ARCHAMBO M	MFT OPPENHEIMER	B	0.00	8.95	0.00	8.95	90.0	8.06
10/05/2018 00523766434	THOMAS, CLAYTON	MFT FIDELITY ADVISOR FUNDS	B	0.00	115.35	0.00	115.35	90.0	103.82
10/05/2018 00524268448	THOMAS, CLAYTON	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	6.96	0.00	6.96	90.0	6.26
10/05/2018 00689589232	TRISTA R	MFT FA 529 PORT 2019 CL A	B	0.00	2.76	0.00	2.76	90.0	2.48
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$137.08</b>	<b>\$0.00</b>	<b>\$137.08</b>		<b>\$123.37</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$137.08</b>	<b>\$0.00</b>	<b>\$137.08</b>		<b>\$123.37</b>

## LINKED DIRECT TRANSACTIONS

### BROKER: MARK ARCHAMBO-D167 #5

10/01/2018 00000745992	NIXON, RAY	MFT AIM DIVERSIFIED DIVIDEND FUND - B		0.00	15.80	0.00	15.80		14.22
10/01/2018 00000746057	NIXON, ANNA	MFT AIM DIVERSIFIED DIVIDEND FUND - B		0.00	19.52	0.00	19.52		17.57
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	54.24	0.00	54.24		48.82
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	66.22	0.00	66.22		59.60
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	80.55	0.00	80.55		72.50
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	52.17	0.00	52.17		46.95
10/05/2018 00523766483	THOMAS, SUSAN	MFT TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24		0.22
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.33	0.00	29.33		26.40
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	32.21	0.00	32.21		28.99
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	46.89	0.00	46.89		42.20
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	124.69	0.00	124.69		112.22
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	17.35	0.00	17.35		15.62
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.28	0.00	1.28		1.15
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	2.00	0.00	2.00		1.80
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.66	0.00	2.66		2.39
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.76	0.00	3.76		3.38
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	7.16	0.00	7.16		6.44
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.33	0.00	0.33		0.30
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.54	0.00	0.54		0.49

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.07	0.00	18.07		16.26
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	20.80	0.00	20.80		18.72
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	29.98	0.00	29.98		26.98
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	14.86	0.00	14.86		13.37
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.11	0.00	0.11		0.10
10/08/2018 02820004877	JUDITH A PAGE,	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.56	0.00	11.56		10.40
10/01/2018 04028072090	JONES, LINDA	MFT AIM SHORT TERM BOND FUND CLASS	B	0.00	1.55	0.00	1.55		1.40
* MARK ARCHAMBO-D167 SUBTOTAL *				\$0.00	\$653.87	\$0.00	\$653.87		\$588.49
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$653.87	\$0.00	\$653.87		\$588.49

BROKER COMMISSION TOTALS

Broker Transactions	\$711.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$711.86
Year-to-date Commissions	\$92,840.17