COMMISSION STATEMENT

October 31, 2018

RUSSEL RATLIFF-D333

BROKER #: D333 Terminated 12/31/2017

BRANCH: DMN

, TX

COMMISSION STATEMENT for RUSSEL RATLIFF-D333

| TRADE DATE CLIENT | | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-------------|-------------------------------|-------------------|----------------------|-----------------------|--------------------|-------------------|--------|----------------------|
| | | DIRECT : | TRANS. | ACTIONS | | | | | |
| PRODUCT CATEGORY: MUTUAL FU | NDS | | | | | | | | |
| 10/22/2018 00081236814 PULLIAM, | PATRICIA MF | AMERICAN BALANCED FUND-A | В | 62.50 | 2.66 | 0.00 | 2.66 | 0.0 | 0.00 |
| 10/22/2018 00081236814 PULLIAM, | PATRICIA MF | FUNDAMENTAL INVESTORS-A | В | 125.00 | 5.31 | 0.00 | 5.31 | 0.0 | 0.00 |
| 10/22/2018 00081236814 PULLIAM, | PATRICIA MF | WASHINGTON MUTUAL INVESTORS | В | 62.50 | 2.66 | 0.00 | 2.66 | 0.0 | 0.00 |
| | | * MUTUAL FUNDS SUBTOTA | AL * | \$250.00 | \$10.63 | \$0.00 | \$10.63 | | \$0.00 |
| | | *** DIRECT TRANSACTIONS TOTAL | *** | \$250.00 | \$10.63 | \$0.00 | \$10.63 | | \$0.00 |
| | | | | | | Ē | BROKER COMMI | ssion | TOTALS |
| | | | | | | Broke: | r Transactions | | \$0.00 |
| | | | Split Transaction | | t Transactions | | \$0.00 | | |
| | | | | | Override Transactions | | | \$0.00 | |
| | | | | | | Adjustments | | | \$0.00 |
| | | | | | Payroll Draw | | | \$0.00 | |
| | Base Salary | | | \$0.00 | | | | | |
| | | | | | | Prior | Period Balance | | \$0.00 |
| | | | | | | FI | NRA Assessment | | \$0.00 |
| | | | | | | S | IPC Assessment | | \$0.00 |
| | | | | | | N | et Commissions | | \$0.00 |
| | | | | | | Year-to-da | te Commissions | | \$12.89 |