



COMMISSION STATEMENT

September 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/11/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JANUS HENDERSON SMALL CAP VALUE I SELL 145 Units @23.7500	3,438.41	19.99	18.00	1.99	0.0	0.00
09/11/2018	***-**-05IN - GATES, ROGER ACCOUNT #: LSR012771	MERIDIAN CONTRATIAN FUND BUY 407 Units @49.1600	20,000.01	1,018.00	18.00	1,000.00	0.0	0.00
09/11/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	VOYA SMALL CAP OPPORTUNITIES SELL 35 Units @70.6300	2,463.50	19.99	18.00	1.99	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$25,901.92	\$1,057.98	\$54.00	\$1,003.98		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
09/10/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	AMAZON.COM INC UNSOLICITED ORDER BUY 3 Units @1938.4000	5,815.20	76.11	18.00	58.11	0.0	0.00
09/13/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	CRONOS GROUP INC COM NPV ISIN #CA22 SELL 200 Units @10.6030	2,120.60	39.50	19.00	20.50	0.0	0.00
09/12/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	TILRAY INC COM USD0.0001 CLASS 2 BUY 13 Units @104.4800	1,358.24	32.00	15.26	16.74	0.0	0.00
09/12/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	TILRAY INC COM USD0.0001 CLASS 2 BUY 6 Units @105.2150	631.29	25.00	15.12	9.88	0.0	0.00
* STOCKS SUBTOTAL *			\$9,925.33	\$172.61	\$67.38	\$105.23		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/04/2018	***-**-78IR - FALES, JOAN ACCOUNT #: 00088082227	AF MODERATE GROWTH AND INCOME-A BUY 0 Units @0.0000	0.00	4.83	0.00	4.83	0.0	0.00
09/04/2018	***-**-72TD - GAVALO, JULIE ACCOUNT #: 00087169935	AF SHORT-TERM TAX-EXEMPT BOND FUND- BUY 0 Units @0.0000	0.00	6.84	0.00	6.84	0.0	0.00
09/04/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	AMCAP FUND-C BUY 0 Units @0.0000	0.00	7.02	0.00	7.02	0.0	0.00
09/04/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083849497	AMERICAN BALANCED FUND-529C BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
09/04/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530007	AMERICAN BALANCED FUND-529E BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	0.0	0.00
09/04/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530008	AMERICAN BALANCED FUND-529E BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	5.42	0.00	5.42	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - SHEPPARD,	AMERICAN BALANCED FUND-A	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	AMERICAN BALANCED FUND-A	0.00	5.74	0.00	5.74	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-480J - EVANS, RICHARD	AMERICAN BALANCED FUND-A	0.00	2.93	0.00	2.93	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
09/04/2018	***-**-81IR - CAMPBELL,	AMERICAN BALANCED FUND-A	0.00	6.96	0.00	6.96	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
09/04/2018	***-**-20IR - DEMETRI, AMELIA	AMERICAN BALANCED FUND-A	0.00	3.69	0.00	3.69	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	AMERICAN BALANCED FUND-A	0.00	5.12	0.00	5.12	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
09/04/2018	***-**-265J - MEEKINS, TIMOTHY	AMERICAN BALANCED FUND-A	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
09/04/2018	***-**-901I - SATTERLY, ANN	AMERICAN BALANCED FUND-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
09/04/2018	***-**-382I - SATTERLY, NEIL	AMERICAN BALANCED FUND-A	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-C	0.00	6.00	0.00	6.00	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	AMERICAN FUNDS 45956T808	0.00	5.31	0.00	5.31	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
09/04/2018	***-**-72TD - GAVALO, JULIE	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	13.85	0.00	13.85	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	AMERICAN HIGH-INCOME TRUST-A	0.00	19.98	0.00	19.98	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-81IR - CAMPBELL,	AMERICAN HIGH-INCOME TRUST-A	0.00	4.29	0.00	4.29	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-20JT - KOCHER, DENISE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
09/04/2018	***-**-72IN - GAVALO, JULIE	AMERICAN MUTUAL FUND-529E	0.00	5.69	0.00	5.69	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	AMERICAN MUTUAL FUND-A	0.00	10.87	0.00	10.87	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-20JT - KOCHER, DENISE	AMERICAN MUTUAL FUND-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - SHEPPARD,	CAPITAL INCOME BUILDER-529A	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
09/04/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL INCOME BUILDER-A	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	3.27	0.00	3.27	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	CAPITAL INCOME BUILDER-A	0.00	21.74	0.00	21.74	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-72RI - GAVALO, JULIE	CAPITAL INCOME BUILDER-A	0.00	12.36	0.00	12.36	0.0	0.00
	ACCOUNT #: 00087231055	BUY 0 Units @0.0000						
09/04/2018	***-**-8158 - BEHA, DEBORAH	CAPITAL INCOME BUILDER-A	0.00	3.78	0.00	3.78	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	CAPITAL INCOME BUILDER-A	0.00	4.46	0.00	4.46	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
09/04/2018	***-**-86IR - MEEKINS, LISA	CAPITAL INCOME BUILDER-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
09/04/2018	***-**-265I - MEEKINS, TIMOTHY	CAPITAL INCOME BUILDER-A	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
09/04/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL INCOME BUILDER-A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
09/04/2018	***-**-443I - LATUS, FREDERICK	CAPITAL INCOME BUILDER-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
09/04/2018	***-**-20JT - KOCHER, DENISE	CAPITAL INCOME BUILDER-A	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-5375 - CARTER, LISA	CAPITAL INCOME BUILDER-A	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
09/04/2018	***-**-901I - SATTERLY, ANN	CAPITAL INCOME BUILDER-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
09/04/2018	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER-A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	CAPITAL INCOME BUILDER-A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-094I - KATRENA L	CAPITAL INCOME BUILDER-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	CAPITAL INCOME BUILDER-A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	CAPITAL INCOME BUILDER-A	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	CAPITAL WORLD BOND FUND-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	CAPITAL WORLD BOND FUND-A	0.00	3.55	0.00	3.55	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-8158 - BEHA, DEBORAH	CAPITAL WORLD BOND FUND-A	0.00	1.90	0.00	1.90	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-A	0.00	0.07	0.00	0.07	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
09/04/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL WORLD BOND FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
09/04/2018	***-**-094I - KATRENA L	CAPITAL WORLD BOND FUND-A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	CAPITAL WORLD BOND FUND-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	CAPITAL WORLD BOND FUND-A	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-C	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***--0069 - KRECIDLO, ACCOUNT #: 00083510637	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	0.0	0.00
09/04/2018	***--2855 - KRECIDLO, MARTA ACCOUNT #: 00083510745	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	0.0	0.00
09/04/2018	***--2855 - KRECIDLO, MARTA ACCOUNT #: 00083946447	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.76	0.00	0.76	0.0	0.00
09/04/2018	***--755P - SHEPPARD, ACCOUNT #: 00084218264	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.80	0.00	2.80	0.0	0.00
09/04/2018	***--20IN - DEMETRI, AMELIA ACCOUNT #: 00085948151	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
09/04/2018	***--18IR - MEEKINS, KAIDEN ACCOUNT #: 00087145992	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	0.0	0.00
09/04/2018	***--0069 - KRECIDLO, ACCOUNT #: 00083510637	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	26.18	0.00	26.18	0.0	0.00
09/04/2018	***--2855 - KRECIDLO, MARTA ACCOUNT #: 00083510745	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	26.18	0.00	26.18	0.0	0.00
09/04/2018	***--18IR - MEEKINS, KAIDEN ACCOUNT #: 00087145992	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	3.98	0.00	3.98	0.0	0.00
09/04/2018	***--20IR - DEMETRI, AMELIA ACCOUNT #: 00074234451	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	4.15	0.00	4.15	0.0	0.00
09/04/2018	***--643I - PELLETIER, MARY ACCOUNT #: 00083385757	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	0.0	0.00
09/04/2018	***--643I - PELLETIER, MARY ACCOUNT #: 00083385810	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	0.0	0.00
09/04/2018	***--86IR - MEEKINS, LISA ACCOUNT #: 00083811211	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.0	0.00
09/04/2018	***--265I - MEEKINS, TIMOTHY ACCOUNT #: 00083811243	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	0.0	0.00
09/04/2018	***--443I - LATUS, FREDERICK ACCOUNT #: 00083932986	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	0.0	0.00
09/04/2018	***--20JT - KOCHER, DENISE ACCOUNT #: 00083988898	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	4.11	0.00	4.11	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-094I - KATRENA L	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
09/04/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
09/04/2018	***-**-680I - HARRELL, DARYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
09/04/2018	***-**-382I - SATTERLY, NEIL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	30.08	0.00	30.08	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - SHEPPARD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.13	0.00	6.13	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.13	0.00	6.13	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.46	0.00	2.46	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
09/04/2018	***-**-72IN - GAVALO, JULIE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.15	0.00	8.15	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	EUROPACIFIC GROWTH FUND CLASS C	0.00	5.32	0.00	5.32	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-72IN - GAVALO, JULIE	EUROPACIFIC GROWTH FUND-529E	0.00	6.95	0.00	6.95	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
09/04/2018	***-**-169I - EVANS, PAUL	EUROPACIFIC GROWTH FUND-A	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-506J - CURRIERE JR, MR	EUROPACIFIC GROWTH FUND-A	0.00	5.37	0.00	5.37	0.0	0.00
	ACCOUNT #: 00083609136	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	EUROPACIFIC GROWTH FUND-A	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-094I - KATRENA L	EUROPACIFIC GROWTH FUND-A	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529A	0.00	1.52	0.00	1.52	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529A	0.00	1.52	0.00	1.52	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	11.37	0.00	11.37	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	11.37	0.00	11.37	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-529C	0.00	4.04	0.00	4.04	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
09/04/2018	***-**-2855 - KRECIDLO, MARTA	FUNDAMENTAL INVESTORS-A	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - SHEPPARD,	FUNDAMENTAL INVESTORS-A	0.00	3.90	0.00	3.90	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	6.23	0.00	6.23	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-20IN - DEMETRI, AMELIA	FUNDAMENTAL INVESTORS-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	FUNDAMENTAL INVESTORS-A	0.00	11.86	0.00	11.86	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-8901 - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-A	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
09/04/2018	***-**-8158 - BEHA, DEBORAH	FUNDAMENTAL INVESTORS-A	0.00	7.88	0.00	7.88	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	6.33	0.00	6.33	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
09/04/2018	***-**-86IR - MEEKINS, LISA	FUNDAMENTAL INVESTORS-A	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
09/04/2018	***-**-265I - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
09/04/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
09/04/2018	***-**-443I - LATUS, FREDERICK	FUNDAMENTAL INVESTORS-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
09/04/2018	***-**-20JT - KOCHER, DENISE	FUNDAMENTAL INVESTORS-A	0.00	2.75	0.00	2.75	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-A	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
09/04/2018	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-A	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-A	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-094I - KATRENA L	FUNDAMENTAL INVESTORS-A	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	FUNDAMENTAL INVESTORS-A	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	FUNDAMENTAL INVESTORS-A	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-169I - EVANS, PAUL	FUNDAMENTAL INVESTORS-C	0.00	6.56	0.00	6.56	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
09/04/2018	***-**-18IR - MEEKINS, KAIDEN	FUNDAMENTAL INVESTORS-C	0.00	2.96	0.00	2.96	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
09/04/2018	***-**-8901 - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-C	0.00	25.99	0.00	25.99	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-C	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	14.95	0.00	14.95	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
09/04/2018	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-C	0.00	2.42	0.00	2.42	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-C	0.00	6.76	0.00	6.76	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.43	0.00	3.43	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
09/04/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.43	0.00	3.43	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-8158 - BEHA, DEBORAH	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	INTERNATIONAL GROWTH AND INCOME-A	0.00	3.13	0.00	3.13	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	11.90	0.00	11.90	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-8901 - GURDO, ANTHONY	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
09/04/2018	***-**-8158 - BEHA, DEBORAH	INTERNATIONAL GROWTH AND INCOME-A	0.00	4.97	0.00	4.97	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-901I - SATTERLY, ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
09/04/2018	***-**-382I - SATTERLY, NEIL	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

KURT GRUENEWALD
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Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-094I - KATRENA L	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-265J - MEEKINS, TIMOTHY	INTERNATIONAL GROWTH AND INCOME-C	0.00	7.13	0.00	7.13	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-C	0.00	4.16	0.00	4.16	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-72TD - GAVALO, JULIE	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	11.84	0.00	11.84	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	NEW PERSPECTIVE FUND-529C	0.00	0.20	0.00	0.20	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
09/04/2018	***-**-169I - EVANS, PAUL	NEW PERSPECTIVE FUND-A	0.00	2.44	0.00	2.44	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	16.71	0.00	16.71	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
09/04/2018	***-**-72RI - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	12.97	0.00	12.97	0.0	0.00
	ACCOUNT #: 00087231055	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	NEW PERSPECTIVE FUND-A	0.00	3.50	0.00	3.50	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
09/04/2018	***-**-86IR - MEEKINS, LISA	NEW PERSPECTIVE FUND-A	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
09/04/2018	***-**-20JT - KOCHER, DENISE	NEW PERSPECTIVE FUND-A	0.00	1.71	0.00	1.71	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	NEW PERSPECTIVE FUND-C	0.00	4.57	0.00	4.57	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-529C	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

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BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-2855 - KRECIDLO, MARTA	NEW WORLD FUND-A	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - SHEPPARD,	NEW WORLD FUND-A	0.00	1.90	0.00	1.90	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
09/04/2018	***-**-20IR - DEMETRI, AMELIA	NEW WORLD FUND-A	0.00	4.39	0.00	4.39	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
09/04/2018	***-**-643I - PELLETIER, MARY	NEW WORLD FUND-A	0.00	2.24	0.00	2.24	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
09/04/2018	***-**-86IR - MEEKINS, LISA	NEW WORLD FUND-A	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
09/04/2018	***-**-265I - MEEKINS, TIMOTHY	NEW WORLD FUND-A	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
09/04/2018	***-**-443I - LATUS, FREDERICK	NEW WORLD FUND-A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
09/04/2018	***-**-20JT - KOCHER, DENISE	NEW WORLD FUND-A	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-A	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	NEW WORLD FUND-A	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	NEW WORLD FUND-A	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	NEW WORLD FUND-C	0.00	3.04	0.00	3.04	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-C	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-C	0.00	3.80	0.00	3.80	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-0462 - FRIOT, MICHAEL	SHORT-TERM BOND FUND OF AMERICA - A	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

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BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-8158 - BEHA, DEBORAH	SHORT-TERM BOND FUND OF AMERICA - A	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-2855 - KRECIDLO, MARTA	SMALLCAP WORLD FUND-A	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
09/04/2018	***-**-755P - SHEPPARD,	SMALLCAP WORLD FUND-A	0.00	3.04	0.00	3.04	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
09/04/2018	***-**-8158 - BEHA, DEBORAH	SMALLCAP WORLD FUND-A	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
09/04/2018	***-**-265I - MEEKINS, TIMOTHY	SMALLCAP WORLD FUND-A	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
09/04/2018	***-**-443I - LATUS, FREDERICK	SMALLCAP WORLD FUND-A	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
09/04/2018	***-**-5375 - CARTER, LISA	SMALLCAP WORLD FUND-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	SMALLCAP WORLD FUND-A	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
09/04/2018	***-**-5414 - KARAM, SEGEAN	SMALLCAP WORLD FUND-A	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
09/04/2018	***-**-6981 - KARAM, MARY ANN	SMALLCAP WORLD FUND-A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
09/04/2018	***-**-169I - EVANS, PAUL	SMALLCAP WORLD FUND-C	0.00	2.77	0.00	2.77	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
09/04/2018	***-**-72TD - GAVALO, JULIE	TAX-EXEMPT BOND FUND OF AMERICA	0.00	15.00	0.00	15.00	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
09/04/2018	***-**-072I - GAVALO, JULIE	THE BOND FUND OF AMERICA-A	0.00	16.72	0.00	16.72	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 17, 2018

KURT GRUENEWALD
2108 Genesee St.
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BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-81IR - CAMPBELL, ACCOUNT #: 00073375822	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.0	0.00
09/04/2018	***-**-43IR - FRANZ, WAYNE ACCOUNT #: 00089261490	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.13	0.00	5.13	0.0	0.00
09/04/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	THE GROWTH FUND OF AMERICA-529A BUY 0 Units @0.0000	0.00	0.84	0.00	0.84	0.0	0.00
09/04/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	THE GROWTH FUND OF AMERICA-529C BUY 0 Units @0.0000	0.00	24.38	0.00	24.38	0.0	0.00
09/04/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530007	THE GROWTH FUND OF AMERICA-529E BUY 0 Units @0.0000	0.00	10.41	0.00	10.41	0.0	0.00
09/04/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.77	0.00	1.77	0.0	0.00
09/04/2018	***-**-480J - EVANS, RICHARD ACCOUNT #: 00073288662	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.45	0.00	3.45	0.0	0.00
09/04/2018	***-**-81IR - CAMPBELL, ACCOUNT #: 00073375822	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.06	0.00	3.06	0.0	0.00
09/04/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: 00083609136	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.88	0.00	8.88	0.0	0.00
09/04/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00084131722	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	0.0	0.00
09/04/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	0.0	0.00
09/04/2018	***-**-0462 - FRIOT, MICHAEL ACCOUNT #: 00084291287	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	5.73	0.00	5.73	0.0	0.00
09/04/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: 00083996385	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	2.62	0.00	2.62	0.0	0.00
09/04/2018	***-**-2855 - KRECIDLO, MARTA ACCOUNT #: 00083946447	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	0.0	0.00
09/04/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084218264	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.93	0.00	1.93	0.0	0.00
09/04/2018	***-**-0462 - FRIOT, MICHAEL ACCOUNT #: 00084291287	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.97	0.00	3.97	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-81IR - CAMPBELL, ACCOUNT #: 00073375822	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.35	0.00	5.35	0.0	0.00
09/04/2018	***-**-643I - PELLETIER, MARY ACCOUNT #: 00083385810	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.54	0.00	4.54	0.0	0.00
09/04/2018	***-**-265I - MEEKINS, TIMOTHY ACCOUNT #: 00083811243	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00
09/04/2018	***-**-265J - MEEKINS, TIMOTHY ACCOUNT #: 00083811335	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	0.0	0.00
09/04/2018	***-**-443I - LATUS, FREDERICK ACCOUNT #: 00083932986	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	0.0	0.00
09/04/2018	***-**-901I - SATTERLY, ANN ACCOUNT #: 00084301189	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	0.0	0.00
09/04/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: 00084301242	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	0.0	0.00
09/04/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	0.0	0.00
09/04/2018	***-**-78IR - FALES, JOAN ACCOUNT #: 00088082227	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.63	0.00	4.63	0.0	0.00
09/04/2018	***-**-43IR - FRANZ, WAYNE ACCOUNT #: 00089261490	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.88	0.00	7.88	0.0	0.00
09/04/2018	***-**-443I - LATUS, FREDERICK ACCOUNT #: 00083932986	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	0.0	0.00
09/04/2018	***-**-643I - PELLETIER, MARY ACCOUNT #: 00083385757	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
09/04/2018	***-**-86IR - MEEKINS, LISA ACCOUNT #: 00083811211	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
09/04/2018	***-**-20JT - KOCHER, DENISE ACCOUNT #: 00083988898	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	1.15	0.00	1.15	0.0	0.00
09/04/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	THE NEW ECONOMY FUND-C BUY 0 Units @0.0000	0.00	6.11	0.00	6.11	0.0	0.00
09/04/2018	***-**-643I - PELLETIER, MARY ACCOUNT #: 00083385757	THE NEW ECONOMY FUND-C BUY 0 Units @0.0000	0.00	1.83	0.00	1.83	0.0	0.00



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BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-0462 - FRIOT, MICHAEL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
09/04/2018	***-**-901I - SATTERLY, ANN	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
09/04/2018	***-**-382I - SATTERLY, NEIL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
09/04/2018	***-**-43IR - FRANZ, WAYNE	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	4.92	0.00	4.92	0.0	0.00
	ACCOUNT #: 00089261490	BUY 0 Units @0.0000						
09/04/2018	***-**-72IN - GAVALO, JULIE	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.06	0.00	9.06	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
09/04/2018	***-**-169I - EVANS, PAUL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
09/04/2018	***-**-443I - LATUS, FREDERICK	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
09/04/2018	***-**-753J - FALCHI, VALERIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$792.13	\$0.00	\$792.13		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/13/2018	***-**-7918 - BAREFOOT, JOHN	PACIFIC LIFE SE5-NYB DTCC	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: VP71073430	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.84	\$0.00	\$0.84		\$0.00
	*** BROKER TRANSACTIONS TOTAL ***		\$35,827.25	\$2,023.56	\$121.38	\$1,902.18		\$0.00



BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
						<u>BROKER COMMISSION TOTALS</u>	
						Broker Transactions	\$0.00
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$-393.30
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD						Balance Forward	\$-393.30
						Year-to-date Earnings	\$0.00