



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

BLAKE CHRISTIAN MATTSON
7216 FISH POND RD.

BROKER #: 5744
BRANCH: HOME OFFICE

Waco, TX 76710-

COMMISSION STATEMENT for BLAKE CHRISTIAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/26/2018	MAKOVY, BRENT	COLLEGEBOUND 529	B	200.00	7.00	0.00	7.00	90.0	6.30
11/30/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	200.00	2.00	0.00	2.00	90.0	1.80
11/30/2018	WHITE, ABIGAIL/HAMILTO	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
11/30/2018	HUNT, CLAIRE	COLLEGEBOUND 529	B	150.00	1.50	0.00	1.50	90.0	1.35
11/30/2018	BENNETT, BRENDA J.	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
12/14/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	200.00	2.00	0.00	2.00	90.0	1.80
12/10/2018	00009152435 MOTOR SHOP PSP	FOCUSED DIVIDEND STRAT CL C	B	1,950.00	19.50	0.00	19.50	90.0	17.55
12/17/2018	00066784040 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	00075876845 LENART, THOMAS J	AMERICAN FUNDS INVESTMENT COMP OF A B		1,153.25	31.71	0.00	31.71	90.0	28.54
12/10/2018	00075876845 LENART, THOMAS J	AMERICAN FUNDS INVESTMENT COMP OF A B		8,203.98	225.61	0.00	225.61	90.0	203.05
12/10/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		100.00	1.00	0.00	1.00	90.0	0.90
12/10/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		81.25	0.81	0.00	0.81	90.0	0.73
12/21/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		81.25	0.81	0.00	0.81	90.0	0.73
12/21/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		100.00	1.00	0.00	1.00	90.0	0.90
12/20/2018	00086492946 OLIVER, JANET	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/20/2018	00086492946 OLIVER, JANET	WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/20/2018	00086725137 FLORES, JANE/OLIVIA	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/20/2018	00086725137 FLORES, JANE/OLIVIA	THE BOND FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/20/2018	00086725137 FLORES, JANE/OLIVIA	THE INCOME FUND OF AMERICA-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	327.35	3.27	0.00	3.27	90.0	2.94
12/21/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	207.49	2.07	0.00	2.07	90.0	1.86
12/21/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	315.19	3.15	0.00	3.15	90.0	2.84
12/10/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		327.35	3.27	0.00	3.27	90.0	2.94
12/21/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		207.48	2.07	0.00	2.07	90.0	1.86
12/21/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		315.19	3.15	0.00	3.15	90.0	2.84
12/17/2018	00089834562 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089834562 GRAHAM, HEATHER N.	THE INVESTMENT COMPANY OF AMERICA-5 B		50.00	0.50	0.00	0.50	90.0	0.45

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/17/2018	00089834576	GRAHAM, HEATHER N. THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089834576	GRAHAM, HEATHER N. THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	04000110803	NORS, JANA/HAYZE COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	04000278693	GRAHAM, HEATHER N. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	04000278693	GRAHAM, HEATHER N. WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	04000776693	MOORE, FRED THE GROWTH FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	04000776693	MOORE, FRED THE INCOME FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	04001299541	BURRIS, CHASE M. THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
12/17/2018	04001299541	BURRIS, CHASE M. THE INVESTMENT COMPANY OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
12/10/2018	04001314189	WOYTEK, LINDA THE GROWTH FUND OF AMERICA-C	B	1,000.00	10.00	0.00	10.00	90.0	9.00
12/18/2018	04001314189	WOYTEK, LINDA THE GROWTH FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	04001314189	WOYTEK, LINDA THE INVESTMENT COMPANY OF AMERICA-C	B	1,000.00	10.00	0.00	10.00	90.0	9.00
12/18/2018	04001314189	WOYTEK, LINDA THE INVESTMENT COMPANY OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
11/30/2018	81257153301	KENNEDY, RYAN COLLEGEBOUND 529	B	500.00	5.00	0.00	5.00	90.0	4.50
12/14/2018	81697345401	VAN BORSSUM, COLLEGEBOUND 529	B	50.00	0.00	0.00	0.00	90.0	0.00
		ROBERT H.							
12/14/2018	81697345401	VAN BORSSUM, COLLEGEBOUND 529	B	100.00	4.00	0.00	4.00	90.0	3.60
		ROBERT H.							
11/30/2018	81721981201	WHITE, COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
		ABIGAIL/MADISON							
12/04/2018	81721981201	WHITE, COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
		ABIGAIL/MADISON							
11/30/2018	81976518801	FERGUSON, COLLEGEBOUND 529	B	500.00	5.00	0.00	5.00	90.0	4.50
		L.DONIECE/HAILE							
* MUTUAL FUNDS SUBTOTAL *				\$19,919.78	\$372.42	\$0.00	\$372.42		\$335.19
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3,815.72	3,815.72	0.00	3,815.72	90.0	3,434.15
* STOCKS SUBTOTAL *				\$3,815.72	\$3,815.72	\$0.00	\$3,815.72		\$3,434.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/19/2018	TRAILS	-JOHN HANCOCK	B	75.07	75.07	0.00	75.07	90.0	67.56
* VARIABLE ANNUITIES SUBTOTAL *				\$75.07	\$75.07	\$0.00	\$75.07		\$67.56
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	110.26	0.00	110.26	90.0	99.23
01/02/2019	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	147.52	0.00	147.52	90.0	132.77
01/02/2019	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	52.80	0.00	52.80	90.0	47.52
01/02/2019	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	256.76	0.00	256.76	90.0	231.08
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	4.13	0.00	4.13	90.0	3.72

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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$571.47	\$0.00	\$571.47	\$514.32
*** BROKER TRANSACTIONS TOTAL ***	\$23,810.57	\$4,834.68	\$0.00	\$4,834.68	\$4,351.22

ADJUSTMENTS

01/02/2019	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-787.00
01/02/2019	HILLTOP: CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-782.72
01/02/2019	HILLTOP: FIXED INCOME TICKET CHARGE	0.00	0.00	0.00	0.00	-17.50
01/02/2019	TECH: MATTSON ASST TECH SERVICES	0.00	0.00	0.00	0.00	-20.00
01/02/2019	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-1,634.72

BROKER COMMISSION TOTALS

Broker Transactions	\$4,351.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,634.72
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,716.50
Year-to-date Earnings	\$2,716.50