

COMMISSION STATEMENT

September 30, 2018

 FRANK WAGNER-879660
 605 Chamberlain Street

 BROKER #:
 BRANCH: D12

Corpus Christi, TX 78404

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

09/19/2018	769599222	142 TRUST, VICTOR	MF	AMERICAN FD FUNDAMENTAL INV FD	S	50,002.45	0.00	5.00	-5.00	80.0	-4.00
* MUTUAL FUNDS SUBTOTAL *						\$50,002.45	\$0.00	\$5.00	\$-5.00		\$-4.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc879660	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	7.54	0.00	7.54	80.0	6.03
09/21/2018	mfc879660	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	9.57	0.00	9.57	80.0	7.66
09/21/2018	mfc879660	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	28.63	0.00	28.63	80.0	22.90
09/21/2018	mfc879660	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.87	0.00	5.87	80.0	4.70
09/21/2018	mfc879660	VARIOUS,	MFT	AMERICAN BALANCED FUND-C	B	0.00	14.23	0.00	14.23	80.0	11.38
09/21/2018	mfc879660	VARIOUS,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	7.97	0.00	7.97	80.0	6.38
09/21/2018	mfc879660	VARIOUS,	MFT	CALAMOS GROWTH FUND CLASS C	B	0.00	6.52	0.00	6.52	80.0	5.22
09/21/2018	mfc879660	VARIOUS,	MFT	CALAMOS GROWTH FUND CLASS C	B	0.00	11.35	0.00	11.35	80.0	9.08
09/21/2018	mfc879660	VARIOUS,	MFT	CALAMOS GROWTH FUND CLASS A	B	0.00	5.02	0.00	5.02	80.0	4.02
09/21/2018	mfc879660	VARIOUS,	MFT	CALVERT INCOME FD CL A	B	0.00	8.88	0.00	8.88	80.0	7.10
09/21/2018	mfc879660	VARIOUS,	MFT	CALVERT LONG TERM INCOME FD CL	B	0.00	9.99	0.00	9.99	80.0	7.99
09/21/2018	mfc879660	VARIOUS,	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	8.23	0.00	8.23	80.0	6.58
09/21/2018	mfc879660	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.61	0.00	0.61	80.0	0.49
09/21/2018	mfc879660	VARIOUS,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.67	0.00	0.67	80.0	0.54
09/21/2018	mfc879660	VARIOUS,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	29.85	0.00	29.85	80.0	23.88
09/21/2018	mfc879660	VARIOUS,	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	1.24	0.00	1.24	80.0	0.99
09/21/2018	mfc879660	VARIOUS,	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	1.79	0.00	1.79	80.0	1.43
09/21/2018	mfc879660	VARIOUS,	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	6.02	0.00	6.02	80.0	4.82
09/21/2018	mfc879660	VARIOUS,	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	7.21	0.00	7.21	80.0	5.77
09/21/2018	mfc879660	VARIOUS,	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	7.66	0.00	7.66	80.0	6.13
09/21/2018	mfc879660	VARIOUS,	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	2.24	0.00	2.24	80.0	1.79
09/21/2018	mfc879660	VARIOUS,	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	21.05	0.00	21.05	80.0	16.84
09/21/2018	mfc879660	VARIOUS,	MFT	GLOBAL OPPORTUNITIES A	B	0.00	15.54	0.00	15.54	80.0	12.43
09/21/2018	mfc879660	VARIOUS,	MFT	GLOBAL OPPORTUNITIES A	B	0.00	25.31	0.00	25.31	80.0	20.25
09/21/2018	mfc879660	VARIOUS,	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	3.98	0.00	3.98	80.0	3.18
09/21/2018	mfc879660	VARIOUS,	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	6.58	0.00	6.58	80.0	5.26
09/21/2018	mfc879660	VARIOUS,	MFT	KAR CAPITAL GROWTH	B	0.00	1.44	0.00	1.44	80.0	1.15

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc879660	VARIOUS, MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	9.09	0.00	9.09	80.0	7.27
09/21/2018	mfc879660	VARIOUS, MFT MAIN STREET FUND A	B	0.00	5.69	0.00	5.69	80.0	4.55
09/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	6.72	0.00	6.72	80.0	5.38
09/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	6.90	0.00	6.90	80.0	5.52
09/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	7.76	0.00	7.76	80.0	6.21
09/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	15.20	0.00	15.20	80.0	12.16
09/21/2018	mfc879660	VARIOUS, MFT NEW PERSPECTIVE FUND-A	B	0.00	25.28	0.00	25.28	80.0	20.22
09/21/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.66	0.00	0.66	80.0	0.53
09/21/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	6.19	0.00	6.19	80.0	4.95
09/21/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	23.18	0.00	23.18	80.0	18.54
09/21/2018	mfc879660	VARIOUS, MFT OPPENHEIMERFUNDS 68381A103	B	0.00	7.94	0.00	7.94	80.0	6.35
09/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.71	0.00	1.71	80.0	1.37
09/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.42	0.00	2.42	80.0	1.94
09/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	4.80	0.00	4.80	80.0	3.84
09/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	13.38	0.00	13.38	80.0	10.70
09/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	18.51	0.00	18.51	80.0	14.81
09/21/2018	mfc879660	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.42	0.00	0.42	80.0	0.34
09/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.71	0.00	1.71	80.0	1.37
09/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.12	0.00	17.12	80.0	13.70
09/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	26.52	0.00	26.52	80.0	21.22
09/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	33.98	0.00	33.98	80.0	27.18
09/21/2018	mfc879660	VARIOUS, MFT THE HARTFORD CAPITAL	B	0.00	0.10	0.00	0.10	80.0	0.08
09/21/2018	mfc879660	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	15.42	0.00	15.42	80.0	12.34
09/21/2018	mfc879660	VARIOUS, MFT THE NEW ECONOMY FUND-A	B	0.00	5.66	0.00	5.66	80.0	4.53
09/21/2018	mfc879660	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.65	0.00	3.65	80.0	2.92
09/24/2018	mfc879660	VARIOUS, MFT AMCAP FUND-A	B	0.00	7.13	0.00	7.13	80.0	5.70
09/24/2018	mfc879660	VARIOUS, MFT AMCAP FUND-A	B	0.00	9.05	0.00	9.05	80.0	7.24
09/24/2018	mfc879660	VARIOUS, MFT AMCAP FUND-A	B	0.00	31.99	0.00	31.99	80.0	25.59
09/24/2018	mfc879660	VARIOUS, MFT AMERICAN BALANCED FUND-A	B	0.00	5.53	0.00	5.53	80.0	4.42
09/24/2018	mfc879660	VARIOUS, MFT AMERICAN BALANCED FUND-C	B	0.00	13.40	0.00	13.40	80.0	10.72
09/24/2018	mfc879660	VARIOUS, MFT AMERICAN MUTUAL FUND-A	B	0.00	7.56	0.00	7.56	80.0	6.05
09/24/2018	mfc879660	VARIOUS, MFT CALAMOS GROWTH FUND CLASS C	B	0.00	6.18	0.00	6.18	80.0	4.94
09/24/2018	mfc879660	VARIOUS, MFT CALAMOS GROWTH FUND CLASS C	B	0.00	10.74	0.00	10.74	80.0	8.59
09/24/2018	mfc879660	VARIOUS, MFT CALAMOS GROWTH FUND CLASS A	B	0.00	4.75	0.00	4.75	80.0	3.80
09/24/2018	mfc879660	VARIOUS, MFT CALVERT INCOME FD CL A	B	0.00	8.35	0.00	8.35	80.0	6.68
09/24/2018	mfc879660	VARIOUS, MFT CALVERT LONG TERM INCOME FD CL	B	0.00	9.43	0.00	9.43	80.0	7.54
09/24/2018	mfc879660	VARIOUS, MFT CAPITAL APPRECIATION - CLASS A	B	0.00	7.81	0.00	7.81	80.0	6.25
09/24/2018	mfc879660	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.57	0.00	0.57	80.0	0.46
09/24/2018	mfc879660	VARIOUS, MFT EUROPACIFIC GROWTH FUND-A	B	0.00	27.82	0.00	27.82	80.0	22.26
09/24/2018	mfc879660	VARIOUS, MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.63	0.00	0.63	80.0	0.50
09/24/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	1.17	0.00	1.17	80.0	0.94
09/24/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	1.69	0.00	1.69	80.0	1.35
09/24/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	5.67	0.00	5.67	80.0	4.54
09/24/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	6.80	0.00	6.80	80.0	5.44

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09/24/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	7.23	0.00	7.23	80.0	5.78
09/24/2018	mfc879660	VARIOUS, MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.11	0.00	2.11	80.0	1.69
09/24/2018	mfc879660	VARIOUS, MFT FUNDAMENTAL INVESTORS-A	B	0.00	24.66	0.00	24.66	80.0	19.73
09/24/2018	mfc879660	VARIOUS, MFT GLOBAL OPPORTUNITIES A	B	0.00	15.03	0.00	15.03	80.0	12.02
09/24/2018	mfc879660	VARIOUS, MFT GLOBAL OPPORTUNITIES A	B	0.00	24.48	0.00	24.48	80.0	19.58
09/24/2018	mfc879660	VARIOUS, MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	3.75	0.00	3.75	80.0	3.00
09/24/2018	mfc879660	VARIOUS, MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	6.20	0.00	6.20	80.0	4.96
09/24/2018	mfc879660	VARIOUS, MFT KAR CAPITAL GROWTH	B	0.00	1.37	0.00	1.37	80.0	1.10
09/24/2018	mfc879660	VARIOUS, MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	8.62	0.00	8.62	80.0	6.90
09/24/2018	mfc879660	VARIOUS, MFT MAIN STREET FUND A	B	0.00	5.42	0.00	5.42	80.0	4.34
09/24/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	6.39	0.00	6.39	80.0	5.11
09/24/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	6.55	0.00	6.55	80.0	5.24
09/24/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	7.37	0.00	7.37	80.0	5.90
09/24/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	14.44	0.00	14.44	80.0	11.55
09/24/2018	mfc879660	VARIOUS, MFT NEW PERSPECTIVE FUND-A	B	0.00	23.80	0.00	23.80	80.0	19.04
09/24/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.61	0.00	0.61	80.0	0.49
09/24/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	5.73	0.00	5.73	80.0	4.58
09/24/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	21.44	0.00	21.44	80.0	17.15
09/24/2018	mfc879660	VARIOUS, MFT OPPENHEIMERFUNDS 68381A103	B	0.00	7.53	0.00	7.53	80.0	6.02
09/24/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.61	0.00	1.61	80.0	1.29
09/24/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.28	0.00	2.28	80.0	1.82
09/24/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	4.52	0.00	4.52	80.0	3.62
09/24/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	12.59	0.00	12.59	80.0	10.07
09/24/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	17.41	0.00	17.41	80.0	13.93
09/24/2018	mfc879660	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.40	0.00	0.40	80.0	0.32
09/24/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.13	0.00	16.13	80.0	12.90
09/24/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.61	0.00	1.61	80.0	1.29
09/24/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	24.99	0.00	24.99	80.0	19.99
09/24/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	37.07	0.00	37.07	80.0	29.66
09/24/2018	mfc879660	VARIOUS, MFT THE HARTFORD CAPITAL	B	0.00	0.10	0.00	0.10	80.0	0.08
09/24/2018	mfc879660	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	19.35	0.00	19.35	80.0	15.48
09/24/2018	mfc879660	VARIOUS, MFT THE NEW ECONOMY FUND-A	B	0.00	5.33	0.00	5.33	80.0	4.26
09/24/2018	mfc879660	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.46	0.00	3.46	80.0	2.77
09/24/2018	mfc879660	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.38	0.00	9.38	80.0	7.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,030.23	\$0.00	\$1,030.23		\$824.18
*** CLEARING TRANSACTIONS TOTAL ***				\$50,002.45	\$1,030.23	\$5.00	\$1,025.23		\$820.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$820.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$635.18
Year-to-date Commissions	\$12,207.97