



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/10/2018	ASHLEY, SUSAN	-JOHN HANCOCK FREEDOM 529	B	1,200.00	12.00	0.00	12.00	90.0	10.80
12/18/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	63.03	63.03	0.00	63.03	90.0	56.73
12/19/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS BD FD OF AMER FD	B	75.00	1.50	0.00	1.50	90.0	1.35
12/19/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	150.00	3.00	0.00	3.00	90.0	2.70
12/19/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	750.00	15.00	0.00	15.00	90.0	13.50
12/19/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS HIGH INCOME TR FD	B	300.00	6.00	0.00	6.00	90.0	5.40
12/19/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS INTERM BD FD OF AMER B	B	75.00	1.50	0.00	1.50	90.0	1.35
12/19/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS WA MUTUAL	INVES B	150.00	3.00	0.00	3.00	90.0	2.70
12/19/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS BD FD OF AMER FD	B	375.00	7.50	0.00	7.50	90.0	6.75
12/19/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	375.00	7.50	0.00	7.50	90.0	6.75
12/19/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS HIGH INCOME TR FD	B	375.00	7.50	0.00	7.50	90.0	6.75
12/19/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS INTERM BD FD OF AMER B	B	375.00	7.50	0.00	7.50	90.0	6.75
12/17/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVES B	250.00	9.38	0.00	9.38	90.0	8.44
12/10/2018	04000907578 MCKIE, TAMMY/TANNER	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
12/21/2018	04001338903 DIXON, CHERYL	AMERICAN FUNDS NEW ECONOMY FD	B	3,250.00	162.50	0.00	162.50	90.0	146.25
12/21/2018	04001338903 DIXON, CHERYL	AMERICAN FUNDS WA MUTUAL	INVES B	3,250.00	162.50	0.00	162.50	90.0	146.25
12/03/2018	07150213657 BLAKE/MANSFIELD, FRANCES/MORGAN	SHORT TERM BOND PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
12/03/2018	07150213657 BLAKE/MANSFIELD, FRANCES/MORGAN	SHORT TERM BOND PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80

* MUTUAL FUNDS SUBTOTAL *	\$11,513.03	\$477.66	\$0.00	\$477.66	\$429.90
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PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	272.02	272.02	0.00	272.02	90.0	244.82
* STOCKS SUBTOTAL *				\$272.02	\$272.02	\$0.00	\$272.02		\$244.82

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/15/2018	CORBET, JEFFERY L	JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
12/19/2018	TRAILS	JOHN HANCOCK	B	105.46	105.46	0.00	105.46	90.0	94.91
* VARIABLE ANNUITIES SUBTOTAL *				\$405.46	\$123.46	\$0.00	\$123.46		\$111.11

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	17946488 MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	911.34	0.00	911.34	90.0	820.21
10/11/2018	30932836 BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	170.66	0.00	170.66	90.0	153.59
10/11/2018	66984409 DIXON, STEVEN C	SIGNAL SECURITIES RIA	B	0.00	417.00	0.00	417.00	90.0	375.30
10/11/2018	77430114 WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
10/11/2018	81766746 KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	271.00	0.00	271.00	90.0	243.90
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$1,861.00</u>	<u>\$0.00</u>	<u>\$1,861.00</u>		<u>\$1,674.90</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	7.09	0.00	7.09	90.0	6.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$7.09</u>	<u>\$0.00</u>	<u>\$7.09</u>		<u>\$6.38</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$12,190.51</u>	<u>\$2,741.23</u>	<u>\$0.00</u>	<u>\$2,741.23</u>		<u>\$2,467.11</u>
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: JOINT ASHLEY/ASHLEY #8030</u>									
12/19/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	344.84	172.42	0.00	172.42	90.0	155.18
10/11/2018	30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B	0.00	165.17	0.00	165.17	90.0	148.65
* JOINT ASHLEY/ASHLEY SUBTOTAL *				<u>\$344.84</u>	<u>\$337.59</u>	<u>\$0.00</u>	<u>\$337.59</u>		<u>\$303.83</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$344.84</u>	<u>\$337.59</u>	<u>\$0.00</u>	<u>\$337.59</u>		<u>\$303.83</u>
<u>ADJUSTMENTS</u>									
01/02/2019		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-20.00
01/02/2019		HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-8.75
01/02/2019		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-13.65
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-69.90</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,467.11
Split Transactions	\$303.83
Override Transactions	\$0.00
Adjustments	\$-69.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,701.04
Year-to-date Earnings	\$2,701.04