



COMMISSION STATEMENT

August 27, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/22/2018	***-**-679I - VIGIL, ELLI ACCOUNT #: LSR011852	DELAWARE EXTENDED DURATION BOND FUN SELL 3962 Units @6.3100	25,000.00	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JP MORGAN INCOME BUILDER FUND CL A SELL 1183 Units @10.4600	12,370.84	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JP MORGAN INTL EQUITY INCOME CL A SELL 770 Units @15.8200	12,187.08	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN EMERGING MARKETS EQUITY CL SELL 136 Units @25.9700	3,542.59	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN EMERGING MRKTS STRATEGIC DB SELL 737 Units @7.7600	5,716.50	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN EQUITY INCOME FUND CL A SELL 600 Units @17.7400	10,650.56	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN FLOATING RATE INCOME CL A SELL 592 Units @9.3800	5,552.91	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN HIGH YIELD BOND FUND CLASS SELL 1987 Units @7.2200	14,343.05	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN INCOME FUND CLASS A SELL 1095 Units @9.2500	10,133.34	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN REALTY INCOME FUND CLASS A SELL 329 Units @13.4100	4,405.83	0.00	18.00	-18.00	100.0	-18.00
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	JPMORGAN SHORT DURATION CORE PLUS A SELL 176 Units @9.3600	1,643.34	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$105,546.04	\$0.00	\$198.00	\$-198.00		\$-198.00
<u>PRODUCT CATEGORY: STOCKS</u>								
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	INVESCO CURRENCYSHARES EURO TR EURO SELL 7 Units @109.3300	765.31	2.98	15.14	-12.16	100.0	-12.16
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	INVESCO CURRENCYSHARES EURO TR EURO SELL 2 Units @109.3300	218.66	0.85	0.04	0.81	75.0	0.61
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	INVESCO CURRENCYSHARES EURO TR EURO SELL 38 Units @109.3300	4,154.54	16.17	0.76	15.41	75.0	11.56
08/17/2018	***-**-101I - ESSELMAN, KELLY ACCOUNT #: LSR012497	ISHARES COMEX GOLD TR ISHARES SELL 62 Units @11.3100	701.22	2.84	16.24	-13.40	100.0	-13.40



COMMISSION STATEMENT

August 27, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES COMEX GOLD TR ISHARES	4,241.25	17.16	7.50	9.66	75.0	7.25
	ACCOUNT #: LSR012497	SELL 375 Units @11.3100						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES GLOBAL TECH ETF	6,092.28	20.00	15.72	4.28	75.0	3.21
	ACCOUNT #: LSR012497	SELL 36 Units @169.2300						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI HONG KG ETF	2,359.00	8.89	17.00	-8.11	100.0	-8.11
	ACCOUNT #: LSR012497	SELL 100 Units @23.5900						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI HONG KG ETF	684.11	2.58	0.58	2.00	75.0	1.50
	ACCOUNT #: LSR012497	SELL 29 Units @23.5900						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI HONG KG ETF	23.59	0.09	0.02	0.07	75.0	0.05
	ACCOUNT #: LSR012497	SELL 1 Units @23.5900						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI HONG KG ETF	2,241.05	8.44	1.90	6.54	75.0	4.91
	ACCOUNT #: LSR012497	SELL 95 Units @23.5900						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI SNG ETF NEW	705.60	2.75	15.60	-12.85	100.0	-12.85
	ACCOUNT #: LSR012497	SELL 30 Units @23.5200						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI SNG ETF NEW	1,881.60	7.34	1.60	5.74	75.0	4.31
	ACCOUNT #: LSR012497	SELL 80 Units @23.5200						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI SNG ETF NEW	188.16	0.73	0.16	0.57	75.0	0.43
	ACCOUNT #: LSR012497	SELL 8 Units @23.5200						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES INC MSCI SNG ETF NEW	2,352.00	9.17	2.00	7.17	75.0	5.38
	ACCOUNT #: LSR012497	SELL 100 Units @23.5200						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES TR PHLX SOX SEMICONDUCTOR S	5,563.26	20.00	15.62	4.38	75.0	3.29
	ACCOUNT #: LSR012497	SELL 31 Units @179.4600						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES TR SHRT TRS BD ETF	5,628.87	20.00	16.02	3.98	75.0	2.99
	ACCOUNT #: LSR012497	SELL 51 Units @110.3700						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES TRUST FLOATING RATE BD ETF	5,607.80	20.00	17.20	2.80	75.0	2.10
	ACCOUNT #: LSR012497	SELL 110 Units @50.9800						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES US TECHNOLOGY ETF	2,247.36	7.27	15.24	-7.97	100.0	-7.97
	ACCOUNT #: LSR012497	SELL 12 Units @187.2800						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES US TECHNOLOGY ETF	1,123.68	3.64	0.12	3.52	75.0	2.64
	ACCOUNT #: LSR012497	SELL 6 Units @187.2800						
08/17/2018	***-**-101I - ESSELMAN, KELLY	ISHARES US TECHNOLOGY ETF	2,809.20	9.09	0.30	8.79	75.0	6.59
	ACCOUNT #: LSR012497	SELL 15 Units @187.2800						



COMMISSION STATEMENT

August 27, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
08/17/2018	***-**-101I - ESSELMAN, KELLY	SPDR SER TR BARCLAYS CAP CONV SECS	4,155.40	14.50	16.58	-2.08	100.0	-2.08
	ACCOUNT #: LSR012497	SELL 79 Units @52.6000						
08/17/2018	***-**-101I - ESSELMAN, KELLY	SPDR SER TR BARCLAYS CAP CONV SECS	1,578.00	5.50	0.60	4.90	75.0	3.68
	ACCOUNT #: LSR012497	SELL 30 Units @52.6000						
* STOCKS SUBTOTAL *			<u>\$55,321.94</u>	<u>\$199.99</u>	<u>\$175.94</u>	<u>\$24.05</u>		<u>\$3.93</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/20/2018	***-**-9402 - VALENZUELA,	PERSPECTIVE II DTCC	100.00	7.00	0.00	7.00	75.0	5.25
	ACCOUNT #: 1016267798	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<u>\$100.00</u>	<u>\$7.00</u>	<u>\$0.00</u>	<u>\$7.00</u>		<u>\$5.25</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$160,967.98</u>	<u>\$206.99</u>	<u>\$373.94</u>	<u>\$-166.95</u>		<u>\$-188.82</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$-188.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-188.82
Year-to-date Earnings	\$61,143.88