



# COMMISSION STATEMENT

## October 15, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/04/2018	***-**-825J - BARR, JANET	PACIFIC FUNDS HIGH INCOME A	24,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012627	SELL 2358 Units @10.1800						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$24,000.00</b>	<b>\$0.00</b>	<b>\$18.00</b>	<b>\$-18.00</b>		<b>\$-18.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/08/2018	***-**-825J - BARR, JANET	ARCBEST CORP COM USD0.01	20,937.50	400.00	25.00	375.00	85.0	318.75
	ACCOUNT #: LSR012627	BUY 500 Units @41.8750						
10/09/2018	***-**-434I - DVIZAC, ZACK	ARCHER DANIELS MIDLAND	15,537.90	150.00	21.00	129.00	85.0	109.65
	ACCOUNT #: LSR012474	SELL 300 Units @51.7930						
10/09/2018	***-**-168I - JONES, TERI	ARCHER DANIELS MIDLAND	5,178.00	50.00	17.00	33.00	85.0	28.05
	ACCOUNT #: LSR012588	SELL 100 Units @51.7800						
10/09/2018	***-**-168I - JONES, TERI	ARCHER DANIELS MIDLAND	2,589.00	25.00	1.00	24.00	85.0	20.40
	ACCOUNT #: LSR012588	SELL 50 Units @51.7800						
10/09/2018	***-**-168I - JONES, TERI	ARCHER DANIELS MIDLAND	5,178.00	50.00	2.00	48.00	85.0	40.80
	ACCOUNT #: LSR012588	SELL 100 Units @51.7800						
10/09/2018	***-**-825J - BARR, JANET	ARCHER DANIELS MIDLAND	15,538.50	300.00	21.00	279.00	85.0	237.15
	ACCOUNT #: LSR012627	SELL 300 Units @51.7950						
10/04/2018	***-**-825J - BARR, JANET	CATERPILLAR INC	15,771.00	150.00	17.00	133.00	85.0	113.05
	ACCOUNT #: LSR012627	SELL 100 Units @157.7100						
10/04/2018	***-**-909I - BROWN, CHARLES	CATERPILLAR INC	15,735.70	150.00	17.00	133.00	85.0	113.05
	ACCOUNT #: LSR012778	SELL 100 Units @157.3570						
10/08/2018	***-**-324I - MCGHEE, TERRY	CATERPILLAR INC	15,230.60	200.00	17.00	183.00	85.0	155.55
	ACCOUNT #: LSR012649	SELL 100 Units @152.3060						
10/09/2018	***-**-909I - BROWN, CHARLES	HUB GROUP INC CL A	6,721.50	100.00	18.00	82.00	85.0	69.70
	ACCOUNT #: LSR012778	BUY 150 Units @44.8100						
10/09/2018	***-**-496I - MCCOY, SHERIANNE	MURPHY OIL CORP	17,005.00	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012469	SELL 500 Units @34.0100						
10/09/2018	***-**-03TR - NEAL TRUST,	MURPHY OIL CORP	17,230.40	200.00	25.14	174.86	85.0	148.63
	ACCOUNT #: LSR012505	SELL 507 Units @33.9850						
10/09/2018	***-**-691I - HEINZ, MARK	MURPHY OIL CORP	13,580.00	100.00	23.00	77.00	85.0	65.45
	ACCOUNT #: LSR012513	SELL 400 Units @33.9500						
10/08/2018	***-**-324I - MCGHEE, TERRY	ORION GROUP HOLDINGS INC COM	6,694.00	100.00	35.00	65.00	85.0	55.25
	ACCOUNT #: LSR012649	SELL 1000 Units @6.6940						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
10/09/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM SELL 1000 Units @6.9500	6,950.00	100.00	35.00	65.00	85.0	55.25
10/04/2018	***-**-266O - COILE, TIM ACCOUNT #: LSR012461	PARATEK PHARMACEUTICALS INC BUY 1400 Units @11.6510	16,311.40	326.67	43.00	283.67	85.0	241.12
10/04/2018	***-**-266O - COILE, TIM ACCOUNT #: LSR012461	PARATEK PHARMACEUTICALS INC BUY 100 Units @11.6400	1,164.00	23.33	2.00	21.33	85.0	18.13
10/04/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	PARATEK PHARMACEUTICALS INC BUY 1500 Units @11.7700	17,655.00	350.00	45.00	305.00	85.0	259.25
10/04/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	PARATEK PHARMACEUTICALS INC BUY 400 Units @11.6500	4,660.00	60.00	23.00	37.00	85.0	31.45
10/04/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	PARATEK PHARMACEUTICALS INC BUY 1500 Units @11.6600	17,490.00	350.00	45.00	305.00	85.0	259.25
10/05/2018	***-**-20IN - STEVENS, GARY ACCOUNT #: LSR012883	PARATEK PHARMACEUTICALS INC BUY 1000 Units @11.7110	11,711.00	115.32	35.00	80.32	85.0	68.27
10/10/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	PARATEK PHARMACEUTICALS INC BUY 2000 Units @9.5740	19,148.00	250.00	55.00	195.00	85.0	165.75
10/04/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	SECTOR SPDR TR SHS BEN INT FINANCIA SELL 500 Units @28.0950	14,047.50	280.00	25.00	255.00	85.0	216.75
10/10/2018	***-**-434I - DVIZAC, ZACK ACCOUNT #: LSR012474	TWITTER INC COM USD0.000005 UNSOLIC BUY 500 Units @26.8200	13,410.00	250.00	25.00	225.00	85.0	191.25
10/10/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	TWITTER INC COM USD0.000005 UNSOLIC BUY 100 Units @26.8750	2,687.50	50.00	17.00	33.00	85.0	28.05
10/10/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	TWITTER INC COM USD0.000005 UNSOLIC BUY 200 Units @26.8770	5,375.40	100.00	4.00	96.00	85.0	81.60
10/10/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	TWITTER INC COM USD0.000005 UNSOLIC BUY 200 Units @26.8770	5,375.40	100.00	4.00	96.00	85.0	81.60
10/10/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	TWITTER INC COM USD0.000005 UNSOLIC BUY 500 Units @26.8900	13,445.00	250.00	25.00	225.00	85.0	191.25
10/05/2018	***-**-20IN - STEVENS, GARY ACCOUNT #: LSR012883	VERASTEM INC COM BUY 1000 Units @7.1080	7,108.00	99.67	35.00	64.67	85.0	54.97
10/04/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	VERIZON COMMUNICATIONS UNSOLICITED SELL 100 Units @54.5010	5,450.10	75.00	17.00	58.00	85.0	49.30



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ADJUSTMENTS (continued)								
		* STOCKS SUBTOTAL *	\$334,915.40	\$4,954.99	\$699.14	\$4,255.85		\$3,617.47
		*** BROKER TRANSACTIONS TOTAL ***	\$358,915.40	\$4,954.99	\$717.14	\$4,237.85		\$3,599.47
BROKER COMMISSION TOTALS								
						Broker Transactions		\$3,599.47
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,599.47
						Year-to-date Earnings		\$155,094.11