

COMMISSION STATEMENT

November 15, 2018

 BARRY DAVIS-D018
 270 South Glynn Street

 BROKER #: D018
 BRANCH: DME

Fayetteville, GA 30214

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/29/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
11/05/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
10/29/2018	00067998454	KEARNS, LEAH	MF	NEW PERSPECTIVE FUND-A	B	33.00	1.40	0.00	1.40 70.0 0.98
10/29/2018	00067998454	KEARNS, LEAH	MF	THE GROWTH FUND OF AMERICA-A	B	33.00	1.40	0.00	1.40 70.0 0.98
10/29/2018	00067998454	KEARNS, LEAH	MF	THE INVESTMENT COMPANY OF	B	34.00	1.45	0.00	1.45 70.0 1.02
10/29/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
10/29/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
10/29/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
10/29/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
11/05/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
11/05/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
11/05/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
11/05/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
10/29/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
10/29/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
10/29/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
10/29/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
10/29/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
10/29/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
11/05/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
11/05/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
11/05/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
11/05/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
11/05/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
11/05/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
10/29/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
10/29/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
10/29/2018	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
10/29/2018	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
10/29/2018	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08
10/29/2018	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/29/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/29/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
11/05/2018 00086607305	MINSHAW, RICHIE	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
11/05/2018 00086607305	MINSHAW, RICHIE	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
11/05/2018 00086607305	MINSHAW, RICHIE	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/05/2018 00086607305	MINSHAW, RICHIE	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/05/2018 00086607305	MINSHAW, RICHIE	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/05/2018 00086607305	MINSHAW, RICHIE	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/05/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
11/05/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/29/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	15.87	0.44	0.00	0.44	70.0	0.31
10/29/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	15.87	0.44	0.00	0.44	70.0	0.31
10/29/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	15.87	0.44	0.00	0.44	70.0	0.31
10/29/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	15.87	0.44	0.00	0.44	70.0	0.31
11/05/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	15.87	0.44	0.00	0.44	70.0	0.31
11/05/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	15.87	0.44	0.00	0.44	70.0	0.31
11/05/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	15.87	0.44	0.00	0.44	70.0	0.31
11/05/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	15.87	0.44	0.00	0.44	70.0	0.31
11/02/2018 00088460018	CLOUD, CHASITY	MF NEW PERSPECTIVE FUND-529A	B	350.00	14.88	0.00	14.88	70.0	10.42
11/02/2018 00088460059	CLOUD, CHASITY	MF NEW PERSPECTIVE FUND-529A	B	350.00	14.88	0.00	14.88	70.0	10.42
11/05/2018 00088968626	DAVIS, KATHY	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	70.0	3.15
11/05/2018 00088968626	DAVIS, KATHY	MF NEW WORLD FUND-A	B	150.00	7.50	0.00	7.50	70.0	5.25
* MUTUAL FUNDS SUBTOTAL *				<u>\$1,826.94</u>	<u>\$67.19</u>	<u>\$0.00</u>	<u>\$67.19</u>		<u>\$47.10</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/07/2018 00538436361	WORSHAM, ADAM	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.37	0.00	0.37	70.0	0.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$0.37</u>	<u>\$0.00</u>	<u>\$0.37</u>		<u>\$0.26</u>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/02/2018 (None)	WHITE, JOY	VAT AMERICAN GENERAL	B	0.00	289.79	0.00	289.79	70.0	202.85
11/08/2018 (None)	TURNER, PATRICIA	VAT AMERICAN GENERAL	B	0.00	153.12	0.00	153.12	70.0	107.18
11/05/2018 VR06083666	HORNE	VAT PACIFIC LIFE VA 694474610	B	0.00	321.56	0.00	321.56	70.0	225.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$764.47</u>	<u>\$0.00</u>	<u>\$764.47</u>		<u>\$535.12</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$1,826.94</u>	<u>\$832.03</u>	<u>\$0.00</u>	<u>\$832.03</u>		<u>\$582.48</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$582.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$582.48
Year-to-date Commissions	\$50,468.73