



All Companies

12/28/2018  
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# COMMISSION STATEMENT January 02, 2019

BRIAN VAN MATTSON  
1020 HIDDEN VALLEY CT.

BROKER #: 9116  
BRANCH: HOME OFFICE

McGregor, TX 76657-

## COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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## BROKER TRANSACTIONS

### PRODUCT CATEGORY: MUTUAL FUNDS

12/18/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	28.45	28.45	0.00	28.45	90.0	25.61
12/14/2018	BEELER/CARPENTER, JASON/KELLIE	COLLEGEBOUND 529	B	150.00	5.25	0.00	5.25	90.0	4.73
12/10/2018	00062563099 BURKETT, JAMES	AMERICAN FUNDS WA MUTUAL	INVEST B	100.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056501 EPPES, ELIZABETH	AMERICAN BALANCED FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056501 EPPES, ELIZABETH	CAPITAL INCOME BUILDER-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056501 EPPES, ELIZABETH	THE GROWTH FUND OF AMERICA-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056501 EPPES, ELIZABETH	WASHINGTON MUTUAL INVESTORS FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056502 WORLEY, SUSAN	AMERICAN BALANCED FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056502 WORLEY, SUSAN	CAPITAL INCOME BUILDER-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056502 WORLEY, SUSAN	THE GROWTH FUND OF AMERICA-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056502 WORLEY, SUSAN	WASHINGTON MUTUAL INVESTORS FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056503 EPPES JR, FRED R.	AMERICAN BALANCED FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056503 EPPES JR, FRED R.	CAPITAL INCOME BUILDER-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056503 EPPES JR, FRED R.	THE GROWTH FUND OF AMERICA-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00065056503 EPPES JR, FRED R.	WASHINGTON MUTUAL INVESTORS FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/10/2018	00082796933 YODER, DENNIS	AMERICAN BALANCED FUND-C	B	152.78	1.53	0.00	1.53	90.0	1.38
12/10/2018	00082796933 YODER, DENNIS	CAPITAL INCOME BUILDER-C	B	152.78	1.53	0.00	1.53	90.0	1.38
12/10/2018	00082796933 YODER, DENNIS	CAPITAL WORLD GROWTH AND INCOME FUN	B	152.77	1.53	0.00	1.53	90.0	1.38
12/17/2018	00084996825 HOLUB, BRANDI L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00084996825 HOLUB, BRANDI L.	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00084996825 HOLUB, BRANDI L.	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00084996825 HOLUB, BRANDI L.	THE INVESTMENT COMPANY OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00085786312 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	150.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	00085786341 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	200.00	2.00	0.00	2.00	90.0	1.80
12/17/2018	00086625581 HARRIS/BAKER, CONNIE/GRACE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
12/21/2018	00086985077 WORLEY/EPPES, AUBREY/FRED	AMERICAN BALANCED FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00086985077 WORLEY/EPPES, AUBREY/FRED	CAPITAL INCOME BUILDER-C	B	500.00	5.00	0.00	5.00	90.0	4.50

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/21/2018	00086985077	WORLEY/EPPES, AUBREY/FRED		CAPITAL WORLD GROWTH AND INCOME FUN B	500.00	5.00	0.00	5.00	90.0 4.50
12/21/2018	00086985077	WORLEY/EPPES, AUBREY/FRED	B	FUNDAMENTAL INVESTORS-C	500.00	5.00	0.00	5.00	90.0 4.50
12/21/2018	00086985149	WORLEY/EPPES, DUNCAN/FRED	B	AMERICAN BALANCED FUND-C	500.00	5.00	0.00	5.00	90.0 4.50
12/21/2018	00086985149	WORLEY/EPPES, DUNCAN/FRED	B	CAPITAL INCOME BUILDER-C	500.00	5.00	0.00	5.00	90.0 4.50
12/21/2018	00086985149	WORLEY/EPPES, DUNCAN/FRED		CAPITAL WORLD GROWTH AND INCOME FUN B	500.00	5.00	0.00	5.00	90.0 4.50
12/21/2018	00086985149	WORLEY/EPPES, DUNCAN/FRED	B	FUNDAMENTAL INVESTORS-C	500.00	5.00	0.00	5.00	90.0 4.50
12/21/2018	00086996665	WORLEY, KAITLYN	B	CAPITAL INCOME BUILDER-C	2,000.00	20.00	0.00	20.00	90.0 18.00
12/10/2018	00087474076	SHAFFER, TRACY	B	AMERICAN BALANCED FUND-C	179.00	1.79	0.00	1.79	90.0 1.61
12/10/2018	00087474076	SHAFFER, TRACY	B	CAPITAL INCOME BUILDER-C	179.00	1.79	0.00	1.79	90.0 1.61
12/10/2018	00087474076	SHAFFER, TRACY		CAPITAL WORLD GROWTH AND INCOME FUN B	180.00	1.80	0.00	1.80	90.0 1.62
12/17/2018	00088427132	HANNA, MICHAEL	B	CAPITAL WORLD GROWTH AND INCOME-529	100.00	1.00	0.00	1.00	90.0 0.90
12/17/2018	00088435772	ARRENDELL, LANE R	B	THE INVESTMENT COMPANY OF AMERICA-5	100.00	1.00	0.00	1.00	90.0 0.90
12/17/2018	00088682857	ROASA, MICHAEL	B	COLLEGE 2030 FUND-529C	100.00	1.00	0.00	1.00	90.0 0.90
12/17/2018	00088682879	ROASA, MICHAEL	B	COLLEGE 2030 FUND-529C	100.00	1.00	0.00	1.00	90.0 0.90
12/17/2018	00089364522	CALLEN, DEAN	B	CAPITAL WORLD GROWTH AND INCOME-529	200.00	2.00	0.00	2.00	90.0 1.80
12/17/2018	00089364522	CALLEN, DEAN	B	THE GROWTH FUND OF AMERICA-529C	200.00	2.00	0.00	2.00	90.0 1.80
12/17/2018	00089544673	ARRENDELL, LANE R	B	THE INVESTMENT COMPANY OF AMERICA-5	100.00	1.00	0.00	1.00	90.0 0.90
12/10/2018	04000167042	LONG/ECHAVEZ, MICHAEL/MIMI	B	COLLEGE 2030 FUND-529C	100.00	1.00	0.00	1.00	90.0 0.90
12/17/2018	04000893867	KOCHMAN, MACY M.	B	AMERICAN BALANCED FUND-C	100.00	1.00	0.00	1.00	90.0 0.90
12/17/2018	04001057885	KOCHMAN, THEODORE P.	B	FUNDAMENTAL INVESTORS-529A	50.00	2.50	0.00	2.50	90.0 2.25
12/17/2018	04001057885	KOCHMAN, THEODORE P.	B	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	90.0 2.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$15,074.78</b>	<b>\$190.67</b>	<b>\$0.00</b>	<b>\$190.67</b>		<b>\$171.62</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/02/2019	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	56.32	0.00	56.32	90.0	50.70
01/02/2019	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	76.74	0.00	76.74	90.0	69.07
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	1.59	0.00	1.59	90.0	1.43
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$134.65</b>	<b>\$0.00</b>	<b>\$134.65</b>		<b>\$121.20</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$15,074.78</b>	<b>\$325.32</b>	<b>\$0.00</b>	<b>\$325.32</b>		<b>\$292.82</b>

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ADJUSTMENTS

01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$292.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$285.32
Year-to-date Earnings	\$285.32