

COMMISSION STATEMENT

December 15, 2018

RICHARD DURAND-D020
 9100 IH 10 West
 Suite 210
 San Antonio, TX 78230

BROKER #: D020
 BRANCH: DMN

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/19/2018 (None)	ZIMMERMAN, STEVEN	MF	OPPENHEIMER	B	300.00	13.42	0.00	13.42	85.0	11.41
12/06/2018 00064992220	VELA, PATRICIA	MF	THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
12/03/2018 00067562789	PEREZ, GRACIELA	MF	AMERICAN BALANCED FUND-A	B	138.70	5.20	0.00	5.20	85.0	4.42
12/03/2018 00068501235	GUTIERREZ, OTTO	MF	THE BOND FUND OF AMERICA-A	B	190.92	5.25	0.00	5.25	85.0	4.46
12/03/2018 00085713380	BENAVIDES, JOHN	MF	CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
12/03/2018 00085713380	BENAVIDES, JOHN	MF	THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
* MUTUAL FUNDS SUBTOTAL *					\$964.62	\$37.06	\$0.00	\$37.06		\$31.50

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/30/2018 009066455A	STEPHENS, JUNE	VA	PERSPECTIVE-RPL	B	42,343.61	8.82	0.00	8.82	85.0	7.50
11/30/2018 009066456A	STEPHENS, SHERMAN	VA	PERSPECTIVE-RPL	B	31,533.32	6.57	0.00	6.57	85.0	5.58
11/30/2018 1016582030	BRANNIES,	VA	PERSP II 04/14	B	318,435.73	132.68	0.00	132.68	85.0	112.78
* VARIABLE ANNUITIES SUBTOTAL *					\$392,312.66	\$148.07	\$0.00	\$148.07		\$125.86

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/03/2018 00050332808	ROSS, FRANCES	MFT	AMERICAN BALANCED FUND-A	B	0.00	34.25	0.00	34.25	85.0	29.11
12/03/2018 00050332808	ROSS, FRANCES	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	22.72	0.00	22.72	85.0	19.31
12/03/2018 00050332808	ROSS, FRANCES	MFT	THE INVESTMENT COMPANY OF	B	0.00	270.13	0.00	270.13	85.0	229.61
12/03/2018 00050332808	ROSS, FRANCES	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	39.75	0.00	39.75	85.0	33.79
12/03/2018 00064241136	GARZA, NELDA	MFT	SMALLCAP WORLD FUND-A	B	0.00	1.57	0.00	1.57	85.0	1.33
12/03/2018 00064241136	GARZA, NELDA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	3.15	0.00	3.15	85.0	2.68
12/03/2018 00064241136	GARZA, NELDA	MFT	THE INVESTMENT COMPANY OF	B	0.00	2.96	0.00	2.96	85.0	2.52
12/03/2018 00064241136	GARZA, NELDA	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	11.58	0.00	11.58	85.0	9.84
12/03/2018 00064303663	HERNANDEZ, ANNA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.81	0.00	1.81	85.0	1.54
12/03/2018 00064563501	MAUGHAN, ROSARIO	MFT	AMCAP FUND-A	B	0.00	23.29	0.00	23.29	85.0	19.80
12/03/2018 00064563501	MAUGHAN, ROSARIO	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	21.16	0.00	21.16	85.0	17.99
12/03/2018 00064563501	MAUGHAN, ROSARIO	MFT	THE INVESTMENT COMPANY OF	B	0.00	10.59	0.00	10.59	85.0	9.00
12/03/2018 00064722655	BENAVIDES, M	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.19	0.00	2.19	85.0	1.86
12/03/2018 00064884007	BURRELL, MARIA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	3.89	0.00	3.89	85.0	3.31
12/03/2018 00064884007	BURRELL, MARIA	MFT	THE NEW ECONOMY FUND-A	B	0.00	3.66	0.00	3.66	85.0	3.11
12/03/2018 00064884007	BURRELL, MARIA	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	80.69	0.00	80.69	85.0	68.59
12/03/2018 00064884008	XIMENEZ, BETTY	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.85	0.00	1.85	85.0	1.57

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00064884008	XIMENEZ, BETTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.65	0.00	1.65	85.0	1.40
12/03/2018 00064884008	XIMENEZ, BETTY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.87	0.00	1.87	85.0	1.59
12/03/2018 00064884008	XIMENEZ, BETTY	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.17	0.00	1.17	85.0	0.99
12/03/2018 00064884008	XIMENEZ, BETTY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.92	0.00	1.92	85.0	1.63
12/03/2018 00064884008	XIMENEZ, BETTY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.50	0.00	1.50	85.0	1.28
12/03/2018 00064884008	XIMENEZ, BETTY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.78	0.00	1.78	85.0	1.51
12/03/2018 00064930229	PEREZ, GRACIELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.68	0.00	2.68	85.0	2.28
12/03/2018 00064930229	PEREZ, GRACIELA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.21	0.00	7.21	85.0	6.13
12/03/2018 00064939725	GONZALEZ, EDULFO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29	85.0	0.25
12/03/2018 00064992220	VELA, PATRICIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.42	0.00	2.42	85.0	2.06
12/03/2018 00064992220	VELA, PATRICIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.74	0.00	3.74	85.0	3.18
12/03/2018 00064992220	VELA, PATRICIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.41	0.00	8.41	85.0	7.15
12/03/2018 00066536308	VILLA, PETER	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	5.15	0.00	5.15	85.0	4.38
12/03/2018 00066536308	VILLA, PETER	MFT THE INVESTMENT COMPANY OF	B	0.00	8.81	0.00	8.81	85.0	7.49
12/03/2018 00066584889	BENAVIDES, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.74	0.00	0.74	85.0	0.63
12/03/2018 00066584889	BENAVIDES, JOHN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.96	0.00	2.96	85.0	2.52
12/03/2018 00066584889	BENAVIDES, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.03	0.00	10.03	85.0	8.53
12/03/2018 00066584889	BENAVIDES, JOHN	MFT THE INVESTMENT COMPANY OF	B	0.00	4.96	0.00	4.96	85.0	4.22
12/03/2018 00066840040	HENDERSON, HAROLD	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	4.44	0.00	4.44	85.0	3.77
12/03/2018 00066840040	HENDERSON, HAROLD	MFT THE INVESTMENT COMPANY OF	B	0.00	6.27	0.00	6.27	85.0	5.33
12/03/2018 00067311891	SALINAS, RUFINO	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	27.14	0.00	27.14	85.0	23.07
12/03/2018 00067311891	SALINAS, RUFINO	MFT AMERICAN MUTUAL FUND-A	B	0.00	15.58	0.00	15.58	85.0	13.24
12/03/2018 00067311891	SALINAS, RUFINO	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.84	0.00	10.84	85.0	9.21
12/03/2018 00067311891	SALINAS, RUFINO	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.87	0.00	14.87	85.0	12.64
12/03/2018 00067311891	SALINAS, RUFINO	MFT THE BOND FUND OF AMERICA-A	B	0.00	21.40	0.00	21.40	85.0	18.19
12/03/2018 00067311891	SALINAS, RUFINO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.50	0.00	11.50	85.0	9.78
12/03/2018 00067311891	SALINAS, RUFINO	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.62	0.00	5.62	85.0	4.78
12/03/2018 00067311892	SALINAS, MARY	MFT AMERICAN BALANCED FUND-A	B	0.00	21.74	0.00	21.74	85.0	18.48
12/03/2018 00067311892	SALINAS, MARY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.36	0.00	1.36	85.0	1.16
12/03/2018 00067311892	SALINAS, MARY	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	10.12	0.00	10.12	85.0	8.60
12/03/2018 00067311892	SALINAS, MARY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	19.43	0.00	19.43	85.0	16.52
12/03/2018 00067311892	SALINAS, MARY	MFT LIMITED TERM TAX- EXEMPT BOND	B	0.00	5.96	0.00	5.96	85.0	5.07
12/03/2018 00067311892	SALINAS, MARY	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	11.57	0.00	11.57	85.0	9.83
12/03/2018 00067311892	SALINAS, MARY	MFT SMALLCAP WORLD FUND-A	B	0.00	13.21	0.00	13.21	85.0	11.23
12/03/2018 00067311892	SALINAS, MARY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	21.96	0.00	21.96	85.0	18.67
12/03/2018 00067311892	SALINAS, MARY	MFT THE INVESTMENT COMPANY OF	B	0.00	14.71	0.00	14.71	85.0	12.50
12/03/2018 00067311892	SALINAS, MARY	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	7.20	0.00	7.20	85.0	6.12
12/03/2018 00067311892	SALINAS, MARY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	22.42	0.00	22.42	85.0	19.06
12/03/2018 00067311893	SALINAS, MARICELA	MFT AMERICAN BALANCED FUND-A	B	0.00	8.88	0.00	8.88	85.0	7.55
12/03/2018 00067311893	SALINAS, MARICELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	9.73	0.00	9.73	85.0	8.27
12/03/2018 00067311893	SALINAS, MARICELA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	19.30	0.00	19.30	85.0	16.41
12/03/2018 00067311893	SALINAS, MARICELA	MFT THE NEW ECONOMY FUND-A	B	0.00	10.90	0.00	10.90	85.0	9.27
12/03/2018 00067311893	SALINAS, MARICELA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	13.88	0.00	13.88	85.0	11.80
12/03/2018 00067311894	SALINAS, MONICA	MFT AMERICAN BALANCED FUND-A	B	0.00	6.18	0.00	6.18	85.0	5.25

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12/03/2018 00067311894	SALINAS, MONICA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	5.11	0.00	5.11	85.0	4.34
12/03/2018 00067311894	SALINAS, MONICA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.70	0.00	8.70	85.0	7.40
12/03/2018 00067311894	SALINAS, MONICA	MFT THE NEW ECONOMY FUND-A	B	0.00	6.99	0.00	6.99	85.0	5.94
12/03/2018 00067311894	SALINAS, MONICA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.25	0.00	9.25	85.0	7.86
12/03/2018 00067355718	JONES, WILLIAM	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	8.40	0.00	8.40	85.0	7.14
12/03/2018 00067355718	JONES, WILLIAM	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	6.19	0.00	6.19	85.0	5.26
12/03/2018 00067355718	JONES, WILLIAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.18	0.00	7.18	85.0	6.10
12/03/2018 00067562789	PEREZ, GRACIELA	MFT AMERICAN BALANCED FUND-A	B	0.00	12.85	0.00	12.85	85.0	10.92
12/03/2018 00067562789	PEREZ, GRACIELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	14.80	0.00	14.80	85.0	12.58
12/03/2018 00067562789	PEREZ, GRACIELA	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.00	0.00	5.00	85.0	4.25
12/03/2018 00067715647	MONTOYA, MEKAYLA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.79	0.00	8.79	85.0	7.47
12/03/2018 00067715649	MONTOYA, MELINDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.10	0.00	14.10	85.0	11.99
12/03/2018 00067715649	MONTOYA, MELINDA	MFT THE INVESTMENT COMPANY OF	B	0.00	11.57	0.00	11.57	85.0	9.83
12/03/2018 00068501235	GUTIERREZ, OTTO	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.61	0.00	9.61	85.0	8.17
12/03/2018 00068501235	GUTIERREZ, OTTO	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.54	0.00	14.54	85.0	12.36
12/03/2018 00068501235	GUTIERREZ, OTTO	MFT THE BOND FUND OF AMERICA-A	B	0.00	13.10	0.00	13.10	85.0	11.14
12/03/2018 00068501235	GUTIERREZ, OTTO	MFT THE INCOME FUND OF AMERICA-A	B	0.00	11.33	0.00	11.33	85.0	9.63
12/03/2018 00068501237	GUTIERREZ, MARIA	MFT AMERICAN BALANCED FUND-A	B	0.00	21.75	0.00	21.75	85.0	18.49
12/03/2018 00068501237	GUTIERREZ, MARIA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	13.46	0.00	13.46	85.0	11.44
12/03/2018 00068501237	GUTIERREZ, MARIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.56	0.00	9.56	85.0	8.13
12/03/2018 00068501237	GUTIERREZ, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.16	0.00	6.16	85.0	5.24
12/03/2018 00068777733	BRANNIES,	MFT AMERICAN BALANCED FUND-A	B	0.00	57.32	0.00	57.32	85.0	48.72
12/03/2018 00068777733	BRANNIES,	MFT CAPITAL INCOME BUILDER-A	B	0.00	44.34	0.00	44.34	85.0	37.69
12/03/2018 00068777733	BRANNIES,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	27.81	0.00	27.81	85.0	23.64
12/03/2018 00068777733	BRANNIES,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	51.99	0.00	51.99	85.0	44.19
12/03/2018 00068777733	BRANNIES,	MFT THE INVESTMENT COMPANY OF	B	0.00	47.87	0.00	47.87	85.0	40.69
12/03/2018 00068786791	BENAVIDES,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.22	0.00	0.22	85.0	0.19
12/03/2018 00068786791	BENAVIDES,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.23	0.00	0.23	85.0	0.20
12/03/2018 00068826159	LAMBERTH, VIVIAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.68	0.00	6.68	85.0	5.68
12/03/2018 00068826159	LAMBERTH, VIVIAN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.66	0.00	4.66	85.0	3.96
12/03/2018 00068826159	LAMBERTH, VIVIAN	MFT NEW WORLD FUND-A	B	0.00	3.46	0.00	3.46	85.0	2.94
12/03/2018 00073314665	SALINAS, MARY	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.33	0.00	7.33	85.0	6.23
12/03/2018 00073314665	SALINAS, MARY	MFT THE INVESTMENT COMPANY OF	B	0.00	11.79	0.00	11.79	85.0	10.02
12/03/2018 00073352550	SALINAS, MARICELA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.00	0.00	4.00	85.0	3.40
12/03/2018 00073352550	SALINAS, MARICELA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.12	0.00	17.12	85.0	14.55
12/03/2018 00073352550	SALINAS, MARICELA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.26	0.00	7.26	85.0	6.17
12/03/2018 00073352619	SALINAS, MONICA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.10	0.00	3.10	85.0	2.64
12/03/2018 00073352619	SALINAS, MONICA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	19.36	0.00	19.36	85.0	16.46
12/03/2018 00073572061	JONES, WILLIAM	MFT NEW PERSPECTIVE FUND-F1	B	0.00	16.53	0.00	16.53	85.0	14.05
12/03/2018 00073572061	JONES, WILLIAM	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	17.10	0.00	17.10	85.0	14.54
12/03/2018 00073572061	JONES, WILLIAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.75	0.00	14.75	85.0	12.54
12/03/2018 00073897018	MARTIN, HANNAH	MFT AMERICAN BALANCED FUND-529A	B	0.00	15.90	0.00	15.90	85.0	13.52
12/03/2018 00073897018	MARTIN, HANNAH	MFT CAPITAL WORLD GROWTH AND	B	0.00	11.81	0.00	11.81	85.0	10.04
12/03/2018 00073897018	MARTIN, HANNAH	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	7.24	0.00	7.24	85.0	6.15

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12/03/2018 00073897018	MARTIN, HANNAH	MFT THE INVESTMENT COMPANY OF	B	0.00	7.64	0.00	7.64	85.0	6.49
12/03/2018 00073897018	MARTIN, HANNAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.01	0.00	8.01	85.0	6.81
12/03/2018 00073903190	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.72	0.00	3.72	85.0	3.16
12/03/2018 00073903397	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.72	0.00	3.72	85.0	3.16
12/03/2018 00073903408	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.72	0.00	3.72	85.0	3.16
12/03/2018 00073950669	BURRELL, MARIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.63	0.00	3.63	85.0	3.09
12/03/2018 00073950669	BURRELL, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.76	0.00	2.76	85.0	2.35
12/03/2018 00075030097	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	67.23	0.00	67.23	85.0	57.15
12/03/2018 00075030149	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	67.23	0.00	67.23	85.0	57.15
12/03/2018 00075478810	BELL, JACK	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.52	0.00	0.52	85.0	0.44
12/03/2018 00075552570	VILLA, KELLIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.39	0.00	3.39	85.0	2.88
12/03/2018 00081668774	SALINAS, RUFINO	MFT CAPITAL INCOME BUILDER-A	B	0.00	41.10	0.00	41.10	85.0	34.94
12/03/2018 00081668774	SALINAS, RUFINO	MFT INTERMEDIATE BOND FUND OF	B	0.00	6.15	0.00	6.15	85.0	5.23
12/03/2018 00081668774	SALINAS, RUFINO	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.64	0.00	6.64	85.0	5.64
12/03/2018 00081668774	SALINAS, RUFINO	MFT THE INVESTMENT COMPANY OF	B	0.00	9.07	0.00	9.07	85.0	7.71
12/03/2018 00081668774	SALINAS, RUFINO	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	7.19	0.00	7.19	85.0	6.11
12/03/2018 00081668774	SALINAS, RUFINO	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.71	0.00	14.71	85.0	12.50
12/03/2018 00081764945	SHANNON WOLFE,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.26	0.00	1.26	85.0	1.07
12/03/2018 00082323763	HOWARD, GARY	MFT AMERICAN BALANCED FUND-A	B	0.00	38.06	0.00	38.06	85.0	32.35
12/03/2018 00082323763	HOWARD, GARY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	15.49	0.00	15.49	85.0	13.17
12/03/2018 00082589900	ENCINIA, ALBERT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.19	0.00	0.19	85.0	0.16
12/03/2018 00083540133	WOLFE, BRENNNA	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	2.71	0.00	2.71	85.0	2.30
12/03/2018 00083686001	STEELE, KELLY	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.36	0.00	9.36	85.0	7.96
12/03/2018 00083686001	STEELE, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.06	0.00	10.06	85.0	8.55
12/03/2018 00083686001	STEELE, KELLY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.09	0.00	14.09	85.0	11.98
12/03/2018 00083686001	STEELE, KELLY	MFT NEW PERSPECTIVE FUND-A	B	0.00	12.70	0.00	12.70	85.0	10.80
12/03/2018 00083686001	STEELE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.25	0.00	15.25	85.0	12.96
12/03/2018 00084143620	ROBERTS, PATRICIA	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	74.84	0.00	74.84	85.0	63.61
12/03/2018 00084143620	ROBERTS, PATRICIA	MFT SMALLCAP WORLD FUND-A	B	0.00	48.54	0.00	48.54	85.0	41.26
12/03/2018 00084143620	ROBERTS, PATRICIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	176.39	0.00	176.39	85.0	149.93
12/03/2018 00084143620	ROBERTS, PATRICIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	110.50	0.00	110.50	85.0	93.93
12/03/2018 00084183589	VILLA, KELLIE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.53	0.00	9.53	85.0	8.10
12/03/2018 00085713380	BENAVIDES, JOHN	MFT CAPITAL WORLD GROWTH AND	B	0.00	10.96	0.00	10.96	85.0	9.32
12/03/2018 00085713380	BENAVIDES, JOHN	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	13.66	0.00	13.66	85.0	11.61
12/03/2018 00085792100	ZERTUCHE, JOANNE	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792100	ZERTUCHE, JOANNE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792100	ZERTUCHE, JOANNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792100	ZERTUCHE, JOANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792181	RENTERIA, JENNEL	MFT AMCAP FUND-C	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792181	RENTERIA, JENNEL	MFT AMERICAN BALANCED FUND-C	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792181	RENTERIA, JENNEL	MFT AMERICAN MUTUAL FUND-C	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792181	RENTERIA, JENNEL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792181	RENTERIA, JENNEL	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	0.01	0.00	0.01	85.0	0.01
12/03/2018 00085792181	RENTERIA, JENNEL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.01	0.00	0.01	85.0	0.01

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00085792217	PAZ, MARIA	MFT AMCAP FUND-C	B	0.00	0.20	0.00	0.20	85.0	0.17
12/03/2018 00085792217	PAZ, MARIA	MFT AMERICAN BALANCED FUND-C	B	0.00	0.12	0.00	0.12	85.0	0.10
12/03/2018 00085792217	PAZ, MARIA	MFT AMERICAN MUTUAL FUND-C	B	0.00	0.13	0.00	0.13	85.0	0.11
12/03/2018 00085792217	PAZ, MARIA	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.11	0.00	0.11	85.0	0.09
12/03/2018 00085792217	PAZ, MARIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.12	0.00	0.12	85.0	0.10
12/03/2018 00085792217	PAZ, MARIA	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	0.22	0.00	0.22	85.0	0.19
12/03/2018 00085792217	PAZ, MARIA	MFT INCOME FUND OF AMERICA CLASS C	B	0.00	0.12	0.00	0.12	85.0	0.10
12/03/2018 00085792217	PAZ, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.17	0.00	0.17	85.0	0.14
12/03/2018 00085792225	CARDONA, REBECCA	MFT NEW WORLD FUND-C	B	0.00	32.95	0.00	32.95	85.0	28.01
12/03/2018 00086533271	VILLA, KELLIE	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.38	0.00	1.38	85.0	1.17
12/03/2018 00086533271	VILLA, KELLIE	MFT AMERICAN HIGH-INCOME TRUST-529A	B	0.00	4.32	0.00	4.32	85.0	3.67
12/03/2018 00086533271	VILLA, KELLIE	MFT THE BOND FUND OF AMERICA-529A	B	0.00	1.71	0.00	1.71	85.0	1.45
12/03/2018 00087617663	COOPER, CHARLOTTE	MFT THE INVESTMENT COMPANY OF	B	0.00	20.35	0.00	20.35	85.0	17.30
12/03/2018 00088298840	PATRICIA ANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	55.72	0.00	55.72	85.0	47.36
12/03/2018 00089690062	COOPER, CHARLOTTE	MFT THE INVESTMENT COMPANY OF	B	0.00	29.29	0.00	29.29	85.0	24.90
12/03/2018 00089714210	ADAMS, HAROLD	MFT AMERICAN BALANCED FUND-A	B	0.00	2.23	0.00	2.23	85.0	1.90
12/03/2018 00089714210	ADAMS, HAROLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.97	0.00	2.97	85.0	2.52
12/03/2018 00089714210	ADAMS, HAROLD	MFT THE INVESTMENT COMPANY OF	B	0.00	2.65	0.00	2.65	85.0	2.25
12/03/2018 00089946843	STILES /BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	14.72	0.00	14.72	85.0	12.51
12/03/2018 00089946843	STILES /BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.65	0.00	19.65	85.0	16.70
12/03/2018 00089946848	STILES/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	14.72	0.00	14.72	85.0	12.51
12/03/2018 00089946848	STILES/BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.65	0.00	19.65	85.0	16.70
12/05/2018 01556570000	WAGNER JT TEN,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	48.22	0.00	48.22	85.0	40.99
12/03/2018 04000038708	KONRAD, TYLER	MFT AMERICAN BALANCED FUND-529A	B	0.00	28.39	0.00	28.39	85.0	24.13
12/03/2018 04000038708	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	31.09	0.00	31.09	85.0	26.43
12/05/2018 08021230000	ANDERSON, DEBRA	MFT COLUMBIA DISCIPLINED CORE	B	0.00	3.81	0.00	3.81	85.0	3.24
12/07/2018 08308608103	CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.71	0.00	0.71	85.0	0.60
12/05/2018 09990084509	OF MOULTON TEXAS,	MFT COLUMBIA INCOME FUND CLASS A	B	0.00	4.36	0.00	4.36	85.0	3.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,715.25	\$0.00	\$2,715.25		\$2,308.05
*** DIRECT TRANSACTIONS TOTAL ***				\$393,277.28	\$2,900.38	\$0.00	\$2,900.38		\$2,465.41

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018	: 2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,465.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,440.41
Year-to-date Commissions	\$135,163.91