



COMMISSION STATEMENT

September 10, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/29/2018	***-**-87IN - HALL, J	AMCAP FUND-A	119.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: 00084955124	BUY 3 Units @35.7200						
08/29/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	119.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: 00084955124	BUY 2 Units @53.1300						
08/29/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	119.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: 00084955124	BUY 2 Units @67.0800						
08/27/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00075150658	BUY 3 Units @59.6200						
08/29/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	119.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: 00084955124	BUY 2 Units @48.0400						
* MUTUAL FUNDS SUBTOTAL *			\$676.00	\$19.52	\$0.00	\$19.52		\$17.56
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/28/2018	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$1.50	\$0.00	\$1.50		\$1.35
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
09/10/2018	***-**-8027 - GRAY, PEGGY	AMERICAN LEGEND III TRAILS	0.00	165.99	0.00	165.99	100.0	165.99
		BUY 1 Units @0.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$0.00	\$165.99	\$0.00	\$165.99		\$165.99
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/31/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	207.19	0.00	207.19	90.0	186.47
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
08/31/2018	***-**-395I - PIERSON, GEORGE	GENWORTH 37250G277	0.00	18.15	0.00	18.15	90.0	16.34
	ACCOUNT #: T06441035	BUY 0 Units @0.0000						
08/31/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	1.89	0.00	1.89	90.0	1.70
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
08/31/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	80.20	0.00	80.20	90.0	72.18
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
09/04/2018	***-**-4155 - OUELLETTE, JOYCE	GENWORTH 37250G277	0.00	38.72	0.00	38.72	90.0	34.85
	ACCOUNT #: T06422061	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
09/03/2018	***-**-454I - STRONACH, ACCOUNT #: R078802-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	10.22	0.00	10.22	90.0	9.20
08/31/2018	***-**-32RI - MITCHEN, DORIS ACCOUNT #: 1015309900	JACKSON ELITE ACCESS OPTION A BUY 0 Units @0.0000	0.00	33.46	0.00	33.46	90.0	30.11
08/31/2018	***-**-94NQ - REGULSKI, SUSAN ACCOUNT #: 1015441751	JACKSON ELITE ACCESS OPTION A BUY 0 Units @0.0000	0.00	7.00	0.00	7.00	90.0	6.30
08/31/2018	***-**-36IN - CLAWSON, ACCOUNT #: 1016486066	JACKSON ELITE ACCESS OPTION A BUY 0 Units @0.0000	0.00	5.86	0.00	5.86	90.0	5.27
08/31/2018	***-**-559I - HUBBARD, JAMES ACCOUNT #: 1013454350	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	34.74	0.00	34.74	90.0	31.27
08/31/2018	***-**-21IN - KELLER, TERESA ACCOUNT #: 2110977	JOHN HANCOCK 41014C168 BUY 0 Units @0.0000	0.00	7.36	0.00	7.36	90.0	6.62
09/10/2018	***-**-3199 - MAY, DAVID ACCOUNT #: 1910090	OHIO NATIONAL TRAILS BUY 1 Units @0.0000	0.00	163.40	0.00	163.40	90.0	147.06
08/31/2018	***-**-3199 - MAY, DAVID ACCOUNT #: 1019236311	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	41.45	0.00	41.45	90.0	37.31
08/30/2018	***-**-7693 - WARD, WILLIAM ACCOUNT #: 507907900101137	SUN LIFE REGATTA PLATINUM BUY 0 Units @0.0000	0.00	175.90	0.00	175.90	90.0	158.31
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$825.54	\$0.00	\$825.54		\$742.99
*** BROKER TRANSACTIONS TOTAL ***			\$776.00	\$1,012.55	\$0.00	\$1,012.55		\$927.89



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$927.89
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$927.89
						Year-to-date Earnings		\$36,807.97