

## COMMISSION STATEMENT

November 30, 2018

VAN DREGGE OLMSTEAD

10 RICHARDS DRIVE

WILMINGTON, DE 19810

BROKER #: A01 V01

BRANCH: FULCRUM GROUP

## COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/01/2018	75502039 SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
		* MUTUAL FUNDS SUBTOTAL *		\$2,800.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/27/2018	75501391 BORISH, MURIEL	SPDR S&P 500 ETF TRUST	S	7,757.79	0.00	0.00	0.00	70.0	0.00
11/06/2018	75501461 OLMSTEAD, ELIZABETH	FIRST SOLAR INC	S	8,720.40	75.87	0.00	75.87	70.0	53.11
10/30/2018	75501727 PENN, SHELDON	ACUITY BRANDS INC	B	6,717.58	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501727 PENN, SHELDON	CARLISLE COMPANIES INC	B	6,752.30	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501727 PENN, SHELDON	HEICO CORPORATION	S	7,230.06	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501727 PENN, SHELDON	TJX COMPANIES INC NEW	B	6,568.12	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501727 PENN, SHELDON	ANSYS INC	B	4,492.19	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501727 PENN, SHELDON	ILLUMINA INC	B	4,703.93	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501727 PENN, SHELDON	METHANEX CORP	B	6,940.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501727 PENN, SHELDON	MKS INSTRUMENTS INC	B	6,908.74	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501727 PENN, SHELDON	OLD DOMINION FREIGHT LINES INC	B	3,878.19	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501727 PENN, SHELDON	ANTHEM INC COM	B	7,150.80	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501727 PENN, SHELDON	BIO TECHNE CORP COM	S	8,113.18	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501727 PENN, SHELDON	COLUMBIA SPORTSWEAR CO	S	7,709.16	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501727 PENN, SHELDON	LILLY ELI & CO	B	6,787.25	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501727 PENN, SHELDON	EPAM SYSTEMS INC	S	7,859.12	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501727 PENN, SHELDON	HELEN OF TROY LTD-NEW BERMUDA	B	6,988.31	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501727 PENN, SHELDON	UBIQUITI NETWORKS INC COM	B	3,308.11	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501727 PENN, SHELDON	AMERICAN EXPRESS COMPANY (N.Y)	B	8,376.26	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501727 PENN, SHELDON	ANTHEM INC COM	B	2,881.19	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501727 PENN, SHELDON	NORTHROP GRUMMAN CORP	S	13,071.09	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501733 OLMSTEAD, CHRISTYN	SELECT SECTOR SPDR TRUST THE UTILIT B		90,755.52	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501733 OLMSTEAD, CHRISTYN	SPDR S&P 500 ETF TRUST	S	180,179.25	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501772 OLMSTEAD, ELIZABETH	SELECT SECTOR SPDR TRUST THE UTILIT B		29,286.36	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501772 OLMSTEAD, ELIZABETH	SPDR S&P 500 ETF TRUST	S	58,157.41	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501773 SMITH, GLENN	ACUITY BRANDS INC	B	4,274.83	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501773 SMITH, GLENN	CARLISLE COMPANIES INC	B	3,858.46	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/30/2018	75501773	SMITH, GLENN	HEICO CORPORATION	S	4,016.70	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501773	SMITH, GLENN	TJX COMPANIES INC NEW	B	3,831.41	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501773	SMITH, GLENN	ANSYS INC	B	2,246.09	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501773	SMITH, GLENN	ILLUMINA INC	B	1,567.98	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501773	SMITH, GLENN	METHANEX CORP	B	3,966.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501773	SMITH, GLENN	MKS INSTRUMENTS INC	B	3,999.80	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501773	SMITH, GLENN	OLD DOMINION FREIGHT LINES INC	B	2,585.46	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501773	SMITH, GLENN	ANTHEM INC COM	B	4,290.48	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501773	SMITH, GLENN	BIO TECHNE CORP COM	S	4,867.91	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501773	SMITH, GLENN	COLUMBIA SPORTSWEAR CO	S	4,534.80	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501773	SMITH, GLENN	LILLY ELI & CO	B	3,959.23	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501773	SMITH, GLENN	EPAM SYSTEMS INC	S	4,836.38	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501773	SMITH, GLENN	HELEN OF TROY LTD-NEW BERMUDA	B	4,192.99	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501773	SMITH, GLENN	UBIQUITI NETWORKS INC COM	B	1,654.05	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501773	SMITH, GLENN	AMERICAN EXPRESS COMPANY (N.Y)	B	5,025.75	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501773	SMITH, GLENN	ANTHEM INC COM	B	1,440.60	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501773	SMITH, GLENN	NORTHROP GRUMMAN CORP	S	7,842.65	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501774	SMITH, GLENN	SELECT SECTOR SPDR TRUST THE UTILIT B	B	120,363.71	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501774	SMITH, GLENN	SPDR S&P 500 ETF TRUST	S	238,880.18	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501778	VORMWALD, G ROBERT	ACUITY BRANDS INC	B	10,992.41	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501778	VORMWALD, G ROBERT	CARLISLE COMPANIES INC	B	11,093.07	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501778	VORMWALD, G ROBERT	HEICO CORPORATION	S	10,845.09	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501778	VORMWALD, G ROBERT	TJX COMPANIES INC NEW	B	10,946.87	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501778	VORMWALD, G ROBERT	ANSYS INC	B	6,738.28	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501778	VORMWALD, G ROBERT	ILLUMINA INC	B	7,839.88	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501778	VORMWALD, G ROBERT	METHANEX CORP	B	11,237.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501778	VORMWALD, G ROBERT	MKS INSTRUMENTS INC	B	11,272.16	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501778	VORMWALD, G ROBERT	OLD DOMINION FREIGHT LINES INC	B	7,110.01	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501778	VORMWALD, G ROBERT	ANTHEM INC COM	B	11,441.28	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501778	VORMWALD, G ROBERT	BIO TECHNE CORP COM	S	12,981.09	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501778	VORMWALD, G ROBERT	COLUMBIA SPORTSWEAR CO	S	12,697.44	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501778	VORMWALD, G ROBERT	LILLY ELI & CO	B	11,312.08	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501778	VORMWALD, G ROBERT	EPAM SYSTEMS INC	S	13,300.05	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501778	VORMWALD, G ROBERT	HELEN OF TROY LTD-NEW BERMUDA	B	11,181.30	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501778	VORMWALD, G ROBERT	UBIQUITI NETWORKS INC COM	B	5,513.52	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501778	VORMWALD, G ROBERT	AMERICAN EXPRESS COMPANY (N.Y)	B	13,960.43	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501778	VORMWALD, G ROBERT	ANTHEM INC COM	B	5,762.38	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501778	VORMWALD, G ROBERT	NORTHROP GRUMMAN CORP	S	20,913.74	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501779	OLMSTEAD, VAN	ACUITY BRANDS INC	B	3,664.14	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501779	OLMSTEAD, VAN	CARLISLE COMPANIES INC	B	3,858.46	0.00	0.00	0.00	70.0	0.00

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/30/2018	75501779	OLMSTEAD, VAN		HEICO CORPORATION	S	4,820.04	0.00	0.00	0.00
10/30/2018	75501779	OLMSTEAD, VAN		TJX COMPANIES INC NEW	B	3,831.41	0.00	0.00	0.00
10/31/2018	75501779	OLMSTEAD, VAN		ANSYS INC	B	2,246.09	0.00	0.00	0.00
10/31/2018	75501779	OLMSTEAD, VAN		ILLUMINA INC	B	1,567.98	0.00	0.00	0.00
10/31/2018	75501779	OLMSTEAD, VAN		METHANEX CORP	B	3,966.00	0.00	0.00	0.00
10/31/2018	75501779	OLMSTEAD, VAN		MKS INSTRUMENTS INC	B	3,999.80	0.00	0.00	0.00
10/31/2018	75501779	OLMSTEAD, VAN		OLD DOMINION FREIGHT LINES INC	B	1,939.09	0.00	0.00	0.00
11/16/2018	75501779	OLMSTEAD, VAN		ANTHEM INC COM	B	4,290.48	0.00	0.00	0.00
11/16/2018	75501779	OLMSTEAD, VAN		BIO TECHNE CORP COM	S	4,867.91	0.00	0.00	0.00
11/16/2018	75501779	OLMSTEAD, VAN		COLUMBIA SPORTSWEAR CO	S	4,988.28	0.00	0.00	0.00
11/16/2018	75501779	OLMSTEAD, VAN		LILLY ELI & CO	B	3,959.23	0.00	0.00	0.00
11/19/2018	75501779	OLMSTEAD, VAN		EPAM SYSTEMS INC	S	4,836.38	0.00	0.00	0.00
11/19/2018	75501779	OLMSTEAD, VAN		HELEN OF TROY LTD-NEW BERMUDA	B	4,192.99	0.00	0.00	0.00
11/19/2018	75501779	OLMSTEAD, VAN		UBIQUITI NETWORKS INC COM	B	1,654.05	0.00	0.00	0.00
11/28/2018	75501779	OLMSTEAD, VAN		AMERICAN EXPRESS COMPANY (N.Y)	B	5,025.75	0.00	0.00	0.00
11/28/2018	75501779	OLMSTEAD, VAN		ANTHEM INC COM	B	1,440.60	0.00	0.00	0.00
11/28/2018	75501779	OLMSTEAD, VAN		NORTHROP GRUMMAN CORP	S	7,842.65	0.00	0.00	0.00
11/01/2018	75501780	OLMSTEAD, VAN		SELECT SECTOR SPDR TRUST THE UTILIT B		62,381.01	0.00	0.00	0.00
11/01/2018	75501780	OLMSTEAD, VAN		SPDR S&P 500 ETF TRUST	S	123,652.43	0.00	0.00	0.00
11/06/2018	75501781	HALLAM, DONALD		FIRST SOLAR INC	S	21,821.20	0.00	0.00	0.00
11/21/2018	75501781	HALLAM, DONALD		ABERDEEN STANDARD PLATINUM ETF TR A B		12,033.50	0.00	0.00	0.00
11/01/2018	75501782	HALLAM, DONALD		SELECT SECTOR SPDR TRUST THE UTILIT B		42,856.77	0.00	0.00	0.00
11/01/2018	75501782	HALLAM, DONALD		SPDR S&P 500 ETF TRUST	S	85,062.00	0.00	0.00	0.00
10/30/2018	75501783	HALLAM, DONALD		ACUITY BRANDS INC	B	17,099.31	0.00	0.00	0.00
10/30/2018	75501783	HALLAM, DONALD		CARLISLE COMPANIES INC	B	17,363.07	0.00	0.00	0.00
10/30/2018	75501783	HALLAM, DONALD		HEICO CORPORATION	S	17,271.81	0.00	0.00	0.00
10/30/2018	75501783	HALLAM, DONALD		TJX COMPANIES INC NEW	B	17,515.00	0.00	0.00	0.00
10/31/2018	75501783	HALLAM, DONALD		ANSYS INC	B	10,481.77	0.00	0.00	0.00
10/31/2018	75501783	HALLAM, DONALD		ILLUMINA INC	B	10,975.83	0.00	0.00	0.00
10/31/2018	75501783	HALLAM, DONALD		METHANEX CORP	B	17,516.50	0.00	0.00	0.00
10/31/2018	75501783	HALLAM, DONALD		MKS INSTRUMENTS INC	B	17,817.28	0.00	0.00	0.00
10/31/2018	75501783	HALLAM, DONALD		OLD DOMINION FREIGHT LINES INC	B	10,988.20	0.00	0.00	0.00
11/16/2018	75501783	HALLAM, DONALD		ANTHEM INC COM	B	17,161.93	0.00	0.00	0.00
11/16/2018	75501783	HALLAM, DONALD		BIO TECHNE CORP COM	S	20,282.95	0.00	0.00	0.00
11/16/2018	75501783	HALLAM, DONALD		COLUMBIA SPORTSWEAR CO	S	19,953.13	0.00	0.00	0.00
11/16/2018	75501783	HALLAM, DONALD		LILLY ELI & CO	B	17,533.72	0.00	0.00	0.00
11/19/2018	75501783	HALLAM, DONALD		EPAM SYSTEMS INC	S	21,159.16	0.00	0.00	0.00
11/19/2018	75501783	HALLAM, DONALD		HELEN OF TROY LTD-NEW BERMUDA	B	17,470.78	0.00	0.00	0.00
11/19/2018	75501783	HALLAM, DONALD		UBIQUITI NETWORKS INC COM	B	8,270.27	0.00	0.00	0.00
11/28/2018	75501783	HALLAM, DONALD		AMERICAN EXPRESS COMPANY (N.Y)	B	22,336.68	0.00	0.00	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/28/2018	75501783 HALLAM, DONALD	ANTHEM INC COM	B	10,084.17	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501783 HALLAM, DONALD	NORTHROP GRUMMAN CORP	S	32,677.73	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501784 HALLAM, DONALD	SELECT SECTOR SPDR TRUST THE UTILIT B	B	177,220.00	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501784 HALLAM, DONALD	SPDR S&P 500 ETF TRUST	S	351,662.07	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501805 BARBARAS, GLEN	ACUITY BRANDS INC	B	4,274.83	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501805 BARBARAS, GLEN	CARLISLE COMPANIES INC	B	4,340.77	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501805 BARBARAS, GLEN	HEICO CORPORATION	S	4,418.37	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501805 BARBARAS, GLEN	TJX COMPANIES INC NEW	B	4,378.75	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501805 BARBARAS, GLEN	ANSYS INC	B	2,994.79	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501805 BARBARAS, GLEN	ILLUMINA INC	B	3,135.95	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501805 BARBARAS, GLEN	METHANEX CORP	B	4,627.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501805 BARBARAS, GLEN	MKS INSTRUMENTS INC	B	4,363.42	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501805 BARBARAS, GLEN	OLD DOMINION FREIGHT LINES INC	B	2,585.46	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501805 BARBARAS, GLEN	ANTHEM INC COM	B	4,290.48	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501805 BARBARAS, GLEN	BIO TECHNE CORP COM	S	4,867.91	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501805 BARBARAS, GLEN	COLUMBIA SPORTSWEAR CO	S	4,988.28	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501805 BARBARAS, GLEN	LILLY ELI & CO	B	4,524.83	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501805 BARBARAS, GLEN	EPAM SYSTEMS INC	S	5,440.93	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501805 BARBARAS, GLEN	HELEN OF TROY LTD-NEW BERMUDA	B	4,192.99	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501805 BARBARAS, GLEN	UBIQUITI NETWORKS INC COM	B	1,654.05	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501805 BARBARAS, GLEN	AMERICAN EXPRESS COMPANY (N.Y)	B	5,584.17	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501805 BARBARAS, GLEN	ANTHEM INC COM	B	2,881.19	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501805 BARBARAS, GLEN	NORTHROP GRUMMAN CORP	S	7,842.65	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501807 WRIGHT, PAMELA	SELECT SECTOR SPDR TRUST THE UTILIT B	B	167,565.16	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501807 WRIGHT, PAMELA	SPDR S&P 500 ETF TRUST	S	333,182.14	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501808 WRIGHT, PAMELA	ACUITY BRANDS INC	B	2,442.76	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501808 WRIGHT, PAMELA	CARLISLE COMPANIES INC	B	2,893.84	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501808 WRIGHT, PAMELA	HEICO CORPORATION	S	2,811.69	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501808 WRIGHT, PAMELA	TJX COMPANIES INC NEW	B	2,736.72	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501808 WRIGHT, PAMELA	ANSYS INC	B	1,497.40	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501808 WRIGHT, PAMELA	ILLUMINA INC	B	1,567.98	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501808 WRIGHT, PAMELA	METHANEX CORP	B	2,644.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501808 WRIGHT, PAMELA	MKS INSTRUMENTS INC	B	2,908.94	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501808 WRIGHT, PAMELA	OLD DOMINION FREIGHT LINES INC	B	1,939.09	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501808 WRIGHT, PAMELA	ANTHEM INC COM	B	2,860.32	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501808 WRIGHT, PAMELA	BIO TECHNE CORP COM	S	3,245.27	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501808 WRIGHT, PAMELA	COLUMBIA SPORTSWEAR CO	S	3,174.36	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501808 WRIGHT, PAMELA	LILLY ELI & CO	B	2,828.02	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501808 WRIGHT, PAMELA	EPAM SYSTEMS INC	S	3,264.56	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501808 WRIGHT, PAMELA	HELEN OF TROY LTD-NEW BERMUDA	B	2,795.32	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
11/19/2018	75501808	WRIGHT, PAMELA		UBIQUITI NETWORKS INC COM	B	1,102.70	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501808	WRIGHT, PAMELA		AMERICAN EXPRESS COMPANY (N.Y)	B	3,350.50	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501808	WRIGHT, PAMELA		ANTHEM INC COM	B	1,440.60	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501808	WRIGHT, PAMELA		NORTHROP GRUMMAN CORP	S	5,228.44	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501817	GELLIS, HOWARD		ACUITY BRANDS INC	B	22,595.51	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501817	GELLIS, HOWARD		CARLISLE COMPANIES INC	B	23,150.75	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501817	GELLIS, HOWARD		HEICO CORPORATION	S	23,296.86	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501817	GELLIS, HOWARD		TJX COMPANIES INC NEW	B	22,988.43	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501817	GELLIS, HOWARD		ANSYS INC	B	14,225.26	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501817	GELLIS, HOWARD		ILLUMINA INC	B	14,111.78	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501817	GELLIS, HOWARD		METHANEX CORP	B	23,796.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501817	GELLIS, HOWARD		MKS INSTRUMENTS INC	B	23,635.17	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501817	GELLIS, HOWARD		OLD DOMINION FREIGHT LINES INC	B	14,220.02	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501817	GELLIS, HOWARD		ANTHEM INC COM	B	24,312.73	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501817	GELLIS, HOWARD		BIO TECHNE CORP COM	S	26,773.49	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501817	GELLIS, HOWARD		COLUMBIA SPORTSWEAR CO	S	27,208.81	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501817	GELLIS, HOWARD		LILLY ELI & CO	B	23,755.37	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501817	GELLIS, HOWARD		EPAM SYSTEMS INC	S	27,809.19	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501817	GELLIS, HOWARD		HELEN OF TROY LTD-NEW BERMUDA	B	23,760.26	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501817	GELLIS, HOWARD		UBIQUITI NETWORKS INC COM	B	11,027.03	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501817	GELLIS, HOWARD		AMERICAN EXPRESS COMPANY (N.Y)	B	29,596.10	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501817	GELLIS, HOWARD		ANTHEM INC COM	B	11,524.76	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501817	GELLIS, HOWARD		NORTHROP GRUMMAN CORP	S	44,441.71	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501820	KLAHN, ROBERT		SELECT SECTOR SPDR TRUST THE UTILIT B	B	125,352.04	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501820	KLAHN, ROBERT		SPDR S&P 500 ETF TRUST	S	248,663.67	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501822	KLAHN, ROBERT		ACUITY BRANDS INC	B	4,885.52	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501822	KLAHN, ROBERT		CARLISLE COMPANIES INC	B	4,823.07	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501822	KLAHN, ROBERT		HEICO CORPORATION	S	4,820.04	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501822	KLAHN, ROBERT		TJX COMPANIES INC NEW	B	4,926.09	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501822	KLAHN, ROBERT		ANSYS INC	B	2,994.79	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501822	KLAHN, ROBERT		ILLUMINA INC	B	3,135.95	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501822	KLAHN, ROBERT		METHANEX CORP	B	4,957.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501822	KLAHN, ROBERT		MKS INSTRUMENTS INC	B	4,727.03	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501822	KLAHN, ROBERT		OLD DOMINION FREIGHT LINES INC	B	2,585.46	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501822	KLAHN, ROBERT		ANTHEM INC COM	B	4,290.48	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501822	KLAHN, ROBERT		BIO TECHNE CORP COM	S	5,679.23	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501822	KLAHN, ROBERT		COLUMBIA SPORTSWEAR CO	S	5,441.76	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501822	KLAHN, ROBERT		LILLY ELI & CO	B	5,090.44	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501822	KLAHN, ROBERT		EPAM SYSTEMS INC	S	6,045.48	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501822	KLAHN, ROBERT		HELEN OF TROY LTD-NEW BERMUDA	B	4,891.82	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/19/2018	75501822	KLAHN, ROBERT		UBIQUITI NETWORKS INC COM	B	2,205.41	0.00	0.00	0.00
11/28/2018	75501822	KLAHN, ROBERT		AMERICAN EXPRESS COMPANY (N.Y)	B	6,142.59	0.00	0.00	0.00
11/28/2018	75501822	KLAHN, ROBERT		ANTHEM INC COM	B	2,881.19	0.00	0.00	0.00
11/28/2018	75501822	KLAHN, ROBERT		NORTHROP GRUMMAN CORP	S	9,149.76	0.00	0.00	0.00
11/01/2018	75501857	REMINGTON, THOMAS		SELECT SECTOR SPDR TRUST THE UTILIT	B	34,811.07	0.00	0.00	0.00
11/01/2018	75501857	REMINGTON, THOMAS		SPDR S&P 500 ETF TRUST	S	69,027.95	0.00	0.00	0.00
10/30/2018	75501858	REMINGTON, THOMAS		ACUITY BRANDS INC	B	10,381.72	0.00	0.00	0.00
10/30/2018	75501858	REMINGTON, THOMAS		CARLISLE COMPANIES INC	B	10,610.76	0.00	0.00	0.00
10/30/2018	75501858	REMINGTON, THOMAS		HEICO CORPORATION	S	10,845.09	0.00	0.00	0.00
10/30/2018	75501858	REMINGTON, THOMAS		TJX COMPANIES INC NEW	B	10,399.53	0.00	0.00	0.00
10/31/2018	75501858	REMINGTON, THOMAS		ANSYS INC	B	5,989.58	0.00	0.00	0.00
10/31/2018	75501858	REMINGTON, THOMAS		ILLUMINA INC	B	6,271.90	0.00	0.00	0.00
10/31/2018	75501858	REMINGTON, THOMAS		METHANEX CORP	B	10,576.00	0.00	0.00	0.00
10/31/2018	75501858	REMINGTON, THOMAS		MKS INSTRUMENTS INC	B	10,544.92	0.00	0.00	0.00
10/31/2018	75501858	REMINGTON, THOMAS		OLD DOMINION FREIGHT LINES INC	B	6,463.65	0.00	0.00	0.00
11/16/2018	75501858	REMINGTON, THOMAS		ANTHEM INC COM	B	11,441.28	0.00	0.00	0.00
11/16/2018	75501858	REMINGTON, THOMAS		BIO TECHNE CORP COM	S	12,169.77	0.00	0.00	0.00
11/16/2018	75501858	REMINGTON, THOMAS		COLUMBIA SPORTSWEAR CO	S	12,243.96	0.00	0.00	0.00
11/16/2018	75501858	REMINGTON, THOMAS		LILLY ELI & CO	B	10,746.48	0.00	0.00	0.00
11/19/2018	75501858	REMINGTON, THOMAS		EPAM SYSTEMS INC	S	12,695.50	0.00	0.00	0.00
11/19/2018	75501858	REMINGTON, THOMAS		HELEN OF TROY LTD-NEW BERMUDA	B	10,482.47	0.00	0.00	0.00
11/19/2018	75501858	REMINGTON, THOMAS		UBIQUITI NETWORKS INC COM	B	4,962.16	0.00	0.00	0.00
11/28/2018	75501858	REMINGTON, THOMAS		AMERICAN EXPRESS COMPANY (N.Y)	B	13,402.01	0.00	0.00	0.00
11/28/2018	75501858	REMINGTON, THOMAS		ANTHEM INC COM	B	4,321.79	0.00	0.00	0.00
11/28/2018	75501858	REMINGTON, THOMAS		NORTHROP GRUMMAN CORP	S	20,913.74	0.00	0.00	0.00
11/01/2018	75501859	LOVE, MARGARET		SELECT SECTOR SPDR TRUST THE UTILIT	B	65,116.55	0.00	0.00	0.00
11/01/2018	75501859	LOVE, MARGARET		SPDR S&P 500 ETF TRUST	S	129,359.46	0.00	0.00	0.00
11/01/2018	75501860	LOVE, MARGARET		SELECT SECTOR SPDR TRUST THE UTILIT	B	137,206.04	0.00	0.00	0.00
11/01/2018	75501860	LOVE, MARGARET		SPDR S&P 500 ETF TRUST	S	272,307.10	0.00	0.00	0.00
11/01/2018	75501866	WELAISH, JEFFREY		SELECT SECTOR SPDR TRUST THE UTILIT	B	192,077.74	0.00	0.00	0.00
11/01/2018	75501866	WELAISH, JEFFREY		SPDR S&P 500 ETF TRUST	S	381,284.30	0.00	0.00	0.00
10/30/2018	75501870	ESKENAZI, PHOEBE		ACUITY BRANDS INC	B	3,053.45	0.00	0.00	0.00
10/30/2018	75501870	ESKENAZI, PHOEBE		CARLISLE COMPANIES INC	B	2,893.84	0.00	0.00	0.00
10/30/2018	75501870	ESKENAZI, PHOEBE		HEICO CORPORATION	S	2,811.69	0.00	0.00	0.00
10/30/2018	75501870	ESKENAZI, PHOEBE		TJX COMPANIES INC NEW	B	2,736.72	0.00	0.00	0.00
10/31/2018	75501870	ESKENAZI, PHOEBE		ANSYS INC	B	2,246.09	0.00	0.00	0.00
10/31/2018	75501870	ESKENAZI, PHOEBE		ILLUMINA INC	B	1,567.98	0.00	0.00	0.00
10/31/2018	75501870	ESKENAZI, PHOEBE		METHANEX CORP	B	2,974.50	0.00	0.00	0.00
10/31/2018	75501870	ESKENAZI, PHOEBE		MKS INSTRUMENTS INC	B	2,908.94	0.00	0.00	0.00
10/31/2018	75501870	ESKENAZI, PHOEBE		OLD DOMINION FREIGHT LINES INC	B	1,939.09	0.00	0.00	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/16/2018	75501870	ESKENAZI, PHOEBE	ANTHEM INC COM	B	2,860.32	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501870	ESKENAZI, PHOEBE	BIO TECHNE CORP COM	S	3,245.27	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501870	ESKENAZI, PHOEBE	COLUMBIA SPORTSWEAR CO	S	3,174.36	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501870	ESKENAZI, PHOEBE	LILLY ELI & CO	B	2,828.02	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501870	ESKENAZI, PHOEBE	EPAM SYSTEMS INC	S	3,627.29	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501870	ESKENAZI, PHOEBE	HELEN OF TROY LTD-NEW BERMUDA	B	2,795.32	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501870	ESKENAZI, PHOEBE	UBIQUITI NETWORKS INC COM	B	1,102.70	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501870	ESKENAZI, PHOEBE	AMERICAN EXPRESS COMPANY (N.Y)	B	3,908.92	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501870	ESKENAZI, PHOEBE	ANTHEM INC COM	B	1,440.60	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501870	ESKENAZI, PHOEBE	NORTHROP GRUMMAN CORP	S	5,228.44	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501884	MARTIN, KATHY	ACUITY BRANDS INC	B	7,328.27	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501884	MARTIN, KATHY	CARLISLE COMPANIES INC	B	7,716.92	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501884	MARTIN, KATHY	HEICO CORPORATION	S	7,631.73	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501884	MARTIN, KATHY	TJX COMPANIES INC NEW	B	7,662.81	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501884	MARTIN, KATHY	ANSYS INC	B	4,492.19	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501884	MARTIN, KATHY	ILLUMINA INC	B	4,703.93	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501884	MARTIN, KATHY	METHANEX CORP	B	7,601.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501884	MARTIN, KATHY	MKS INSTRUMENTS INC	B	7,635.98	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501884	MARTIN, KATHY	OLD DOMINION FREIGHT LINES INC	B	4,524.55	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501884	MARTIN, KATHY	ANTHEM INC COM	B	7,150.80	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501884	MARTIN, KATHY	BIO TECHNE CORP COM	S	8,924.50	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501884	MARTIN, KATHY	COLUMBIA SPORTSWEAR CO	S	8,616.12	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501884	MARTIN, KATHY	LILLY ELI & CO	B	7,918.46	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501884	MARTIN, KATHY	EPAM SYSTEMS INC	S	9,068.21	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501884	MARTIN, KATHY	HELEN OF TROY LTD-NEW BERMUDA	B	7,687.14	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501884	MARTIN, KATHY	UBIQUITI NETWORKS INC COM	B	3,859.46	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501884	MARTIN, KATHY	AMERICAN EXPRESS COMPANY (N.Y)	B	9,493.09	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501884	MARTIN, KATHY	ANTHEM INC COM	B	4,321.79	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501884	MARTIN, KATHY	NORTHROP GRUMMAN CORP	S	14,378.20	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501885	MARTIN, KATHY	SELECT SECTOR SPDR TRUST THE UTILIT	B	97,192.08	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501885	MARTIN, KATHY	SPDR S&P 500 ETF TRUST	S	192,952.14	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501888	KAYLOR, KEVIN	ACUITY BRANDS INC	B	1,221.38	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501888	KAYLOR, KEVIN	CARLISLE COMPANIES INC	B	1,446.92	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501888	KAYLOR, KEVIN	HEICO CORPORATION	S	1,205.01	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501888	KAYLOR, KEVIN	TJX COMPANIES INC NEW	B	1,642.03	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501888	KAYLOR, KEVIN	ANSYS INC	B	748.70	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501888	KAYLOR, KEVIN	METHANEX CORP	B	1,322.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501888	KAYLOR, KEVIN	MKS INSTRUMENTS INC	B	1,454.47	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501888	KAYLOR, KEVIN	OLD DOMINION FREIGHT LINES INC	B	646.36	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501888	KAYLOR, KEVIN	ANTHEM INC COM	B	1,430.16	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2018	75501888 KAYLOR, KEVIN	BIO TECHNE CORP COM	S	1,622.64	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501888 KAYLOR, KEVIN	COLUMBIA SPORTSWEAR CO	S	1,813.92	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501888 KAYLOR, KEVIN	LILLY ELI & CO	B	1,696.81	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501888 KAYLOR, KEVIN	EPAM SYSTEMS INC	S	1,813.64	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501888 KAYLOR, KEVIN	HELEN OF TROY LTD-NEW BERMUDA	B	1,397.66	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501888 KAYLOR, KEVIN	UBIQUITI NETWORKS INC COM	B	1,102.70	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501888 KAYLOR, KEVIN	AMERICAN EXPRESS COMPANY (N.Y)	B	1,675.25	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501888 KAYLOR, KEVIN	ANTHEM INC COM	B	1,440.60	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501888 KAYLOR, KEVIN	NORTHROP GRUMMAN CORP	S	2,614.22	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501889 KAYLOR, KEVIN	SELECT SECTOR SPDR TRUST THE UTILIT B		71,714.03	0.00	0.00	0.00	70.0	0.00
11/01/2018	75501889 KAYLOR, KEVIN	SPDR S&P 500 ETF TRUST	S	142,404.11	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501890 KAYLOR, KEVIN	ACUITY BRANDS INC	B	9,160.34	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501890 KAYLOR, KEVIN	CARLISLE COMPANIES INC	B	9,646.15	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501890 KAYLOR, KEVIN	HEICO CORPORATION	S	10,041.75	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501890 KAYLOR, KEVIN	TJX COMPANIES INC NEW	B	9,852.19	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501890 KAYLOR, KEVIN	ANSYS INC	B	5,240.89	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501890 KAYLOR, KEVIN	ILLUMINA INC	B	6,271.90	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501890 KAYLOR, KEVIN	METHANEX CORP	B	9,915.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501890 KAYLOR, KEVIN	MKS INSTRUMENTS INC	B	9,817.69	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501890 KAYLOR, KEVIN	OLD DOMINION FREIGHT LINES INC	B	5,817.28	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501890 KAYLOR, KEVIN	ANTHEM INC COM	B	10,011.12	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501890 KAYLOR, KEVIN	BIO TECHNE CORP COM	S	11,358.45	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501890 KAYLOR, KEVIN	COLUMBIA SPORTSWEAR CO	S	11,337.00	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501890 KAYLOR, KEVIN	LILLY ELI & CO	B	9,615.27	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501890 KAYLOR, KEVIN	EPAM SYSTEMS INC	S	11,486.40	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501890 KAYLOR, KEVIN	HELEN OF TROY LTD-NEW BERMUDA	B	9,783.63	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501890 KAYLOR, KEVIN	UBIQUITI NETWORKS INC COM	B	4,410.81	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501890 KAYLOR, KEVIN	AMERICAN EXPRESS COMPANY (N.Y)	B	12,285.17	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501890 KAYLOR, KEVIN	ANTHEM INC COM	B	4,321.79	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501890 KAYLOR, KEVIN	NORTHROP GRUMMAN CORP	S	18,299.53	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501914 NATASHA RAJ AHUJA	ACUITY BRANDS INC	B	4,885.52	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501914 NATASHA RAJ AHUJA	CARLISLE COMPANIES INC	B	4,823.07	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501914 NATASHA RAJ AHUJA	HEICO CORPORATION	S	4,820.04	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501914 NATASHA RAJ AHUJA	TJX COMPANIES INC NEW	B	4,926.09	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501914 NATASHA RAJ AHUJA	ANSYS INC	B	2,994.79	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501914 NATASHA RAJ AHUJA	ILLUMINA INC	B	3,135.95	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501914 NATASHA RAJ AHUJA	METHANEX CORP	B	4,957.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501914 NATASHA RAJ AHUJA	MKS INSTRUMENTS INC	B	4,727.03	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501914 NATASHA RAJ AHUJA	OLD DOMINION FREIGHT LINES INC	B	2,585.46	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501914 NATASHA RAJ AHUJA	ANTHEM INC COM	B	4,290.48	0.00	0.00	0.00	70.0	0.00



COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2018	75501914 NATASHA RAJ AHUJA	BIO TECHNE CORP COM	S	5,679.23	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501914 NATASHA RAJ AHUJA	COLUMBIA SPORTSWEAR CO	S	5,441.76	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501914 NATASHA RAJ AHUJA	LILLY ELI & CO	B	5,090.44	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501914 NATASHA RAJ AHUJA	EPAM SYSTEMS INC	S	6,045.48	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501914 NATASHA RAJ AHUJA	HELEN OF TROY LTD-NEW BERMUDA	B	4,891.82	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501914 NATASHA RAJ AHUJA	UBIQUITI NETWORKS INC COM	B	2,205.41	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501914 NATASHA RAJ AHUJA	AMERICAN EXPRESS COMPANY (N.Y)	B	6,142.59	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501914 NATASHA RAJ AHUJA	ANTHEM INC COM	B	2,881.19	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501914 NATASHA RAJ AHUJA	NORTHROP GRUMMAN CORP	S	9,149.76	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501946 ANYA P AHUJA 1999	ACUITY BRANDS INC	B	4,885.52	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501946 ANYA P AHUJA 1999	CARLISLE COMPANIES INC	B	4,823.07	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501946 ANYA P AHUJA 1999	HEICO CORPORATION	S	4,820.04	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501946 ANYA P AHUJA 1999	TJX COMPANIES INC NEW	B	4,926.09	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501946 ANYA P AHUJA 1999	ANSYS INC	B	2,994.79	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501946 ANYA P AHUJA 1999	ILLUMINA INC	B	3,135.95	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501946 ANYA P AHUJA 1999	METHANEX CORP	B	4,957.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501946 ANYA P AHUJA 1999	MKS INSTRUMENTS INC	B	4,727.03	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501946 ANYA P AHUJA 1999	OLD DOMINION FREIGHT LINES INC	B	2,585.46	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501946 ANYA P AHUJA 1999	ANTHEM INC COM	B	4,290.48	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501946 ANYA P AHUJA 1999	BIO TECHNE CORP COM	S	5,679.23	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501946 ANYA P AHUJA 1999	COLUMBIA SPORTSWEAR CO	S	5,441.76	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501946 ANYA P AHUJA 1999	LILLY ELI & CO	B	5,090.44	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501946 ANYA P AHUJA 1999	EPAM SYSTEMS INC	S	6,045.48	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501946 ANYA P AHUJA 1999	HELEN OF TROY LTD-NEW BERMUDA	B	4,891.82	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501946 ANYA P AHUJA 1999	UBIQUITI NETWORKS INC COM	B	2,205.41	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501946 ANYA P AHUJA 1999	AMERICAN EXPRESS COMPANY (N.Y)	B	6,142.59	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501946 ANYA P AHUJA 1999	ANTHEM INC COM	B	2,881.19	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501946 ANYA P AHUJA 1999	NORTHROP GRUMMAN CORP	S	9,149.76	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501953 MCCRAY, ROBIN	ACUITY BRANDS INC	B	2,442.76	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501953 MCCRAY, ROBIN	CARLISLE COMPANIES INC	B	2,411.54	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501953 MCCRAY, ROBIN	HEICO CORPORATION	S	2,008.35	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501953 MCCRAY, ROBIN	TJX COMPANIES INC NEW	B	2,189.37	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501953 MCCRAY, ROBIN	ANSYS INC	B	1,497.40	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501953 MCCRAY, ROBIN	ILLUMINA INC	B	1,567.98	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501953 MCCRAY, ROBIN	METHANEX CORP	B	2,313.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501953 MCCRAY, ROBIN	MKS INSTRUMENTS INC	B	2,181.71	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501953 MCCRAY, ROBIN	OLD DOMINION FREIGHT LINES INC	B	1,292.73	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501953 MCCRAY, ROBIN	ANTHEM INC COM	B	2,860.32	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501953 MCCRAY, ROBIN	BIO TECHNE CORP COM	S	2,433.95	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501953 MCCRAY, ROBIN	COLUMBIA SPORTSWEAR CO	S	2,720.88	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/16/2018	75501953	MCCRAY, ROBIN	LILLY ELI & CO	B	2,262.42	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501953	MCCRAY, ROBIN	EPAM SYSTEMS INC	S	2,418.19	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501953	MCCRAY, ROBIN	HELEN OF TROY LTD-NEW BERMUDA	B	2,096.49	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501953	MCCRAY, ROBIN	UBIQUITI NETWORKS INC COM	B	1,102.70	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501953	MCCRAY, ROBIN	AMERICAN EXPRESS COMPANY (N.Y)	B	2,792.09	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501953	MCCRAY, ROBIN	NORTHROP GRUMMAN CORP	S	3,921.33	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501960	BLUE PELICAN LLC	ACUITY BRANDS INC	B	17,710.00	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501960	BLUE PELICAN LLC	CARLISLE COMPANIES INC	B	17,845.37	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501960	BLUE PELICAN LLC	HEICO CORPORATION	S	18,075.15	0.00	0.00	0.00	70.0	0.00
10/30/2018	75501960	BLUE PELICAN LLC	TJX COMPANIES INC NEW	B	17,515.00	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501960	BLUE PELICAN LLC	ANSYS INC	B	11,230.47	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501960	BLUE PELICAN LLC	ILLUMINA INC	B	10,975.83	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501960	BLUE PELICAN LLC	METHANEX CORP	B	18,177.50	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501960	BLUE PELICAN LLC	MKS INSTRUMENTS INC	B	18,180.90	0.00	0.00	0.00	70.0	0.00
10/31/2018	75501960	BLUE PELICAN LLC	OLD DOMINION FREIGHT LINES INC	B	10,988.20	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501960	BLUE PELICAN LLC	ANTHEM INC COM	B	18,592.09	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501960	BLUE PELICAN LLC	BIO TECHNE CORP COM	S	21,094.27	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501960	BLUE PELICAN LLC	COLUMBIA SPORTSWEAR CO	S	20,860.09	0.00	0.00	0.00	70.0	0.00
11/16/2018	75501960	BLUE PELICAN LLC	LILLY ELI & CO	B	18,099.33	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501960	BLUE PELICAN LLC	EPAM SYSTEMS INC	S	21,763.71	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501960	BLUE PELICAN LLC	HELEN OF TROY LTD-NEW BERMUDA	B	18,169.61	0.00	0.00	0.00	70.0	0.00
11/19/2018	75501960	BLUE PELICAN LLC	UBIQUITI NETWORKS INC COM	B	8,270.27	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501960	BLUE PELICAN LLC	AMERICAN EXPRESS COMPANY (N.Y)	B	22,895.10	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501960	BLUE PELICAN LLC	ANTHEM INC COM	B	8,643.57	0.00	0.00	0.00	70.0	0.00
11/28/2018	75501960	BLUE PELICAN LLC	NORTHROP GRUMMAN CORP	S	33,984.83	0.00	0.00	0.00	70.0	0.00
11/01/2018	75502088	KLAHN, ROBERT	SELECT SECTOR SPDR TRUST THE UTILIT B		140,638.88	0.00	0.00	0.00	70.0	0.00
11/01/2018	75502088	KLAHN, ROBERT	SPDR S&P 500 ETF TRUST	S	279,101.19	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *					\$7,295,704.32	\$75.87	\$0.00	\$75.87		\$53.11
PRODUCT CATEGORY: OPTIONS										
10/31/2018	75501395	OLMSTEAD, VAN	CALL SYMC 01/18/19 19	S	252.00	20.00	0.00	20.00	70.0	14.00
11/20/2018	75501395	OLMSTEAD, VAN	CALL DAL 12/21/18 55	S	239.00	20.00	0.00	20.00	70.0	14.00
11/05/2018	75501726	PENN, SHELDON	PUT IVZ 01/18/19 23	B	1,075.00	40.31	0.00	40.31	70.0	28.22
11/12/2018	75501726	PENN, SHELDON	CALL DNKN 03/15/19 72.50	B	1,740.00	60.96	0.00	60.96	70.0	42.67
11/15/2018	75501726	PENN, SHELDON	PUT PSX 11/16/18 97.50	B	735.00	37.50	0.00	37.50	70.0	26.25
11/16/2018	75501726	PENN, SHELDON	PUT PSX 02/15/19 85	S	522.00	37.50	0.00	37.50	70.0	26.25
11/19/2018	75501726	PENN, SHELDON	CALL JWN 01/18/19 57.50	S	240.00	37.50	0.00	37.50	70.0	26.25
11/19/2018	75501726	PENN, SHELDON	CALL LLY 02/15/19 110	B	2,400.00	70.90	0.00	70.90	70.0	49.63
11/19/2018	75501726	PENN, SHELDON	CALL SPY 01/20/17 222	S	3,000.00	91.52	0.00	91.52	70.0	64.06
11/26/2018	75501726	PENN, SHELDON	CALL DNKN 03/15/19 72.50	S	960.00	37.50	0.00	37.50	70.0	26.25

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
11/26/2018	75501726 PENN, SHELDON	CALL UAL 12/21/18	82.50	S	3,930.00	87.32	0.00	87.32	70.0	61.12
11/26/2018	75501726 PENN, SHELDON	CALL UAL 03/15/19	92.50	B	2,490.00	72.26	0.00	72.26	70.0	50.58
11/28/2018	75501726 PENN, SHELDON	CALL WBA 01/18/19	72.50	S	3,780.00	85.75	0.00	85.75	70.0	60.03
* OPTIONS SUBTOTAL *					\$21,363.00	\$699.02	\$0.00	\$699.02		\$489.31
PRODUCT CATEGORY: VARIABLE ANNUITIES										
11/05/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE		B	0.00	45.91	0.00	45.91	70.0	32.14
11/07/2018	(None) VARIOUS, OLMSTEAD	JACKSON		B	0.00	7.63	0.00	7.63	70.0	5.34
11/20/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE		B	0.00	42.70	0.00	42.70	70.0	29.89
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$96.24	\$0.00	\$96.24		\$67.37
PRODUCT CATEGORY: RIA										
10/01/2018	75501391 BORISH, MURIEL	FAS FEES PT. II/III		B	-550.33	183.44	0.00	183.44	70.0	128.41
10/01/2018	75501727 PENN, SHELDON	FAS FEES PT. II/III		B	-1,985.62	661.87	0.00	661.87	70.0	463.31
10/01/2018	75501733 OLMSTEAD, CHRISTYN	FAS FEES PT. II/III		B	-761.36	253.78	0.00	253.78	70.0	177.65
10/01/2018	75501772 OLMSTEAD, ELIZABETH	FAS FEES PT. II/III		B	-245.62	81.87	0.00	81.87	70.0	57.31
10/01/2018	75501773 SMITH, GLENN	FAS FEES PT. II/III		B	-1,195.80	398.60	0.00	398.60	70.0	279.02
10/01/2018	75501774 SMITH, GLENN	FAS FEES PT. II/III		B	-1,009.64	336.54	0.00	336.54	70.0	235.58
10/01/2018	75501778 VORMWALD, G ROBERT	FAS FEES PT. II/III		B	-3,077.91	1,025.97	0.00	1,025.97	70.0	718.18
10/01/2018	75501779 OLMSTEAD, VAN	FAS FEES PT. II/III		B	-1,187.02	395.67	0.00	395.67	70.0	276.97
10/01/2018	75501780 OLMSTEAD, VAN	FAS FEES PT. II/III		B	-523.26	174.42	0.00	174.42	70.0	122.09
10/01/2018	75501781 HALLAM, DONALD	FAS FEES PT. II/III		B	-377.81	125.93	0.00	125.93	70.0	88.15
10/01/2018	75501782 HALLAM, DONALD	FAS FEES PT. II/III		B	-359.38	119.79	0.00	119.79	70.0	83.85
10/01/2018	75501783 HALLAM, DONALD	FAS FEES PT. II/III		B	-4,530.79	1,510.26	0.00	1,510.26	70.0	1,057.18
10/01/2018	75501784 HALLAM, DONALD	FAS FEES PT. II/III		B	-1,486.59	495.53	0.00	495.53	70.0	346.87
10/01/2018	75501805 BARBARAS, GLEN	FAS FEES PT. II/III		B	-1,291.67	430.55	0.00	430.55	70.0	301.39
10/01/2018	75501807 WRIGHT, PAMELA	FAS FEES PT. II/III		B	-1,788.23	596.07	0.00	596.07	70.0	417.25
10/01/2018	75501808 WRIGHT, PAMELA	FAS FEES PT. II/III		B	-804.36	268.12	0.00	268.12	70.0	187.68
10/01/2018	75501817 GELLIS, HOWARD	FAS FEES PT. II/III		B	-5,402.63	1,800.87	0.00	1,800.87	70.0	1,260.61
10/01/2018	75501820 KLAHN, ROBERT	FAS FEES PT. II/III		B	-1,051.81	350.60	0.00	350.60	70.0	245.42
10/01/2018	75501822 KLAHN, ROBERT	FAS FEES PT. II/III		B	-1,390.04	463.34	0.00	463.34	70.0	324.34
10/01/2018	75501857 REMINGTON, THOMAS	FAS FEES PT. II/III		B	-291.94	97.31	0.00	97.31	70.0	68.12
10/01/2018	75501858 REMINGTON, THOMAS	FAS FEES PT. II/III		B	-2,965.99	988.66	0.00	988.66	70.0	692.06
10/01/2018	75501859 LOVE, MARGARET	FAS FEES PT. II/III		B	-546.44	182.14	0.00	182.14	70.0	127.50
10/01/2018	75501860 LOVE, MARGARET	FAS FEES PT. II/III		B	-1,151.05	383.68	0.00	383.68	70.0	268.58
10/01/2018	75501866 WELAISH, JEFFREY	FAS FEES PT. II/III		B	-1,611.55	537.18	0.00	537.18	70.0	376.03
10/01/2018	75501870 ESKENAZI, PHOEBE	FAS FEES PT. II/III		B	-844.66	281.55	0.00	281.55	70.0	197.09
10/01/2018	75501884 MARTIN, KATHY	FAS FEES PT. II/III		B	-2,189.50	729.83	0.00	729.83	70.0	510.88
10/01/2018	75501885 MARTIN, KATHY	FAS FEES PT. II/III		B	-815.24	271.74	0.00	271.74	70.0	190.22
10/01/2018	75501888 KAYLOR, KEVIN	FAS FEES PT. II/III		B	-415.06	138.35	0.00	138.35	70.0	96.85

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

10/01/2018	75501889 KAYLOR, KEVIN	FAS FEES PT. II/III	B	-601.69	200.56	0.00	200.56	70.0	140.39
10/01/2018	75501890 KAYLOR, KEVIN	FAS FEES PT. II/III	B	-2,726.13	908.71	0.00	908.71	70.0	636.10
10/01/2018	75501914 NATASHA RAJ AHUJA	FAS FEES PT. II/III	B	-1,392.86	464.28	0.00	464.28	70.0	325.00
10/01/2018	75501925 HOWELL, WILLIAM	FAS FEES PT. II/III	B	-278.59	92.86	0.00	92.86	70.0	65.00
10/01/2018	75501926 KILPATRICK, CHARLES	FAS FEES PT. II/III	B	-1,080.61	360.20	0.00	360.20	70.0	252.14
10/01/2018	75501946 ANYA P AHUJA 1999	FAS FEES PT. II/III	B	-1,392.30	464.10	0.00	464.10	70.0	324.87
10/01/2018	75501952 HOWELL, WILLIAM	FAS FEES PT. II/III	B	-380.01	126.67	0.00	126.67	70.0	88.67
10/01/2018	75501953 MCCRAY, ROBIN	FAS FEES PT. II/III	B	-662.36	220.78	0.00	220.78	70.0	154.55
10/01/2018	75501954 MCCRAY, GAIL	FAS FEES PT. II/III	B	-276.78	92.26	0.00	92.26	70.0	64.58
10/01/2018	75501960 BLUE PELICAN LLC	FAS FEES PT. II/III	B	-4,605.10	1,535.03	0.00	1,535.03	70.0	1,074.52
10/01/2018	75502088 KLAHN, ROBERT	FAS FEES PT. II/III	B	-1,179.85	393.28	0.00	393.28	70.0	275.30
* RIA SUBTOTAL *				<u>\$-54,427.58</u>	<u>\$18,142.39</u>	<u>\$0.00</u>	<u>\$18,142.39</u>		<u>\$12,699.71</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018	FEE COUNT - 87			0.00	795.99	0.00	795.99	70.0	552.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$795.99</u>	<u>\$0.00</u>	<u>\$795.99</u>		<u>\$552.50</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$7,265,439.74</u>	<u>\$19,809.51</u>	<u>\$0.00</u>	<u>\$19,809.51</u>		<u>\$13,862.00</u>

ADJUSTMENTS

11/30/2018	OTHER: AAM - 4Q ADV FEE 2/3			0.00	0.00	0.00	0.00		-2,785.30
11/30/2018	MISCELLANEOUS: 2/3 UNBUNDLED FEES			0.00	0.00	0.00	0.00		-2,643.22
11/30/2018	OTHER: EMPIRICAL 3Q FEE			0.00	0.00	0.00	0.00		-2,183.51
11/30/2018	OTHER: EMPIRICAL 4Q - 1/3 2/3			0.00	0.00	0.00	0.00		-1,553.53
11/30/2018	MISCELLANEOUS: REDTAIL CREDIT 2018			0.00	0.00	0.00	0.00		525.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-8,640.56</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,862.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-8,640.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-24.76
SIPC Assessment	\$-49.52

Check Amount	\$5,147.16
Year-to-date Earnings	\$120,382.67