



COMMISSION STATEMENT

December 17, 2018

RICHARD SOWERS
 2451 McMullen Booth Road
 Suite 263
 Clearwater, FL 33759

BROKER #: 71
 BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/07/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 17 Units @6.0200						
12/14/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @59.2800						
12/14/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 4 Units @59.2800						
12/14/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @59.9000						
12/14/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @60.5200						
12/14/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	50.00	2.13	0.00	2.13	90.0	1.92
	ACCOUNT #: 00084334451	BUY 1 Units @60.8400						
12/14/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @47.2000						
12/14/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @47.2000						
12/14/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 4 Units @47.6900						
12/14/2018	***-**-224I - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	138.31	5.19	0.00	5.19	90.0	4.67
	ACCOUNT #: 00085899638	BUY 3 Units @48.1900						
12/14/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 3 Units @48.1900						
12/14/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	6.38	0.00	6.38	90.0	5.74
	ACCOUNT #: 00086578408	BUY 3 Units @48.4400						
12/14/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	2.13	0.00	2.13	90.0	1.92
	ACCOUNT #: 00084334451	BUY 1 Units @48.4400						
12/14/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	138.31	5.19	0.00	5.19	90.0	4.67
	ACCOUNT #: 00085899638	BUY 2 Units @61.6300						
12/14/2018	***-**-224I - WILSON, FRANCIS	NEW PERSPECTIVE FUND-A	138.31	5.19	0.00	5.19	90.0	4.67
	ACCOUNT #: 00085899638	BUY 3 Units @43.4300						
11/23/2018	***-**-343I - BALBIN, RICHARD	SAMMONS LIVEWELL MUTUAL FUND	737,642.12	7,376.42	0.00	7,376.42	90.0	6,638.78
		BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/17/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS LIVEWELL MUTUAL FUND	1,625.00	16.25	0.00	16.25	90.0	14.63
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
12/14/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @50.8800						
12/14/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @50.8800						
12/14/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	167.67	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @51.4100						
12/14/2018	***-**-224I - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	138.32	5.19	0.00	5.19	90.0	4.67
	ACCOUNT #: 00085899638	BUY 3 Units @51.9500						
12/14/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @51.9500						
12/14/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	90.0	1.92
	ACCOUNT #: 00084334451	BUY 1 Units @52.2200						
12/14/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	150.00	6.38	0.00	6.38	90.0	5.74
	ACCOUNT #: 00086578408	BUY 3 Units @52.2200						
12/14/2018	***-**-2945 - DAVIS, RENEE	THE GROWTH FUND OF AMERICA-A	125.00	6.25	0.00	6.25	90.0	5.63
	ACCOUNT #: 04001185859	BUY 2 Units @52.6400						
12/14/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 7 Units @22.8900						
12/14/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 5 Units @23.1300						
12/14/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	50.00	2.13	0.00	2.13	90.0	1.92
	ACCOUNT #: 00084334451	BUY 2 Units @23.2500						
12/14/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @44.6900						
12/14/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	245.71	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @44.6900						
12/14/2018	***-**-2945 - DAVIS, RENEE	WASHINGTON MUTUAL INVESTORS FUND-A	125.00	6.25	0.00	6.25	90.0	5.63
	ACCOUNT #: 04001185859	BUY 3 Units @46.2300						
* MUTUAL FUNDS SUBTOTAL *			\$743,073.92	\$7,513.05	\$0.00	\$7,513.05		\$6,761.79



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/17/2018	***-**-2960 - KELLEY, BRENDA	MIDLAND LIVEWELL VA	0.00	36.21	0.00	36.21	90.0	32.59
	ACCOUNT #: 7100008985	BUY 1 Units @0.0000						
12/17/2018	***-**-54IN - STOUT, LOUISE	MIDLAND LIVEWELL VA	0.00	40.07	0.00	40.07	90.0	36.06
		BUY 1 Units @0.0000						
12/17/2018	***-**-54IN - STOUT, LOUISE	MIDLAND NATIONAL VA TRAILS	0.00	42.33	0.00	42.33	90.0	38.10
	ACCOUNT #: 7100009490	BUY 1 Units @0.0000						
11/26/2018	***-**-343I - BALBIN, RICHARD	STRUCTURED CAP STRAT PLUS OPT I	185,000.00	11,100.00	0.00	11,100.00	90.0	9,990.00
		BUY 1 Units @185000.000						
	* VARIABLE ANNUITIES SUBTOTAL *		\$185,000.00	\$11,218.61	\$0.00	\$11,218.61		\$10,096.75
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES								
12/17/2018	***-**-8027 - GRAY, PEGGY	AMERICAN LEGEND III TRAILS	0.00	165.99	0.00	165.99	100.0	165.99
		BUY 1 Units @0.0000						
	* EQUITY INDEXED ANNUITIES SUBTOTAL *		\$0.00	\$165.99	\$0.00	\$165.99		\$165.99
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/03/2018	***-**-255P - WILSON, HELEN	AMCAP FUND-A	0.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
12/03/2018	***-**-593I - SOMERS,	AMCAP FUND-A	0.00	5.45	0.00	5.45	90.0	4.91
	ACCOUNT #: 00084632422	BUY 0 Units @0.0000						
12/03/2018	***-**-87IN - HALL, J	AMCAP FUND-A	0.00	38.67	0.00	38.67	90.0	34.80
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
12/03/2018	***-**-36RI - TICHENOR, TYLER	AMCAP FUND-C	0.00	14.34	0.00	14.34	90.0	12.91
	ACCOUNT #: 00088002857	BUY 0 Units @0.0000						
12/03/2018	***-**-0658 - MCKILLOP, NORMA	AMCAP FUND-C	0.00	7.79	0.00	7.79	90.0	7.01
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
12/03/2018	***-**-5555 - FORTNER, DAVID	AMERICAN BALANCED FUND-A	0.00	12.82	0.00	12.82	90.0	11.54
	ACCOUNT #: 00074908206	BUY 0 Units @0.0000						
12/03/2018	***-**-005P - IAEL, PAULETTE	AMERICAN BALANCED FUND-A	0.00	3.24	0.00	3.24	90.0	2.92
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
12/03/2018	***-**-593P - HUMISTON, PAMELA	AMERICAN FUNDS U.S. GOV MONEY MRKT	0.00	2.18	0.00	2.18	90.0	1.96
	ACCOUNT #: 00073779600	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-64IN - TURNER, VERA ACCOUNT #: 00067836179	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	5.60	0.00	5.60	90.0	5.04
12/03/2018	***-**-005P - IAEL, PAULETTE ACCOUNT #: 00075989081	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	77.07	0.00	77.07	90.0	69.36
12/03/2018	***-**-426P - PHILBRICK, ACCOUNT #: 00082084305	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	90.0	0.16
12/03/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	24.20	0.00	24.20	90.0	21.78
12/03/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	6.42	0.00	6.42	90.0	5.78
12/03/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	7.61	0.00	7.61	90.0	6.85
12/03/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	3.67	0.00	3.67	90.0	3.30
12/03/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	17.52	0.00	17.52	90.0	15.77
12/03/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00085023181	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	90.0	0.24
12/03/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	27.29	0.00	27.29	90.0	24.56
12/03/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	7.21	0.00	7.21	90.0	6.49
12/03/2018	***-**-273I - JACKSON, JOHN ACCOUNT #: 00082498498	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	3.49	0.00	3.49	90.0	3.14
12/03/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	90.0	7.67
12/03/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	4.15	0.00	4.15	90.0	3.74
12/03/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	19.74	0.00	19.74	90.0	17.77
12/03/2018	***-**-87IN - HALL, J ACCOUNT #: 00084955124	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	34.69	0.00	34.69	90.0	31.22



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/03/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.41	0.00	1.41	90.0	1.27
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
12/03/2018	***-**-224I - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.60	0.00	8.60	90.0	7.74
	ACCOUNT #: 00085899638	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.04	0.00	3.04	90.0	2.74
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
12/03/2018	***-**-351C - SOWERS, CLIENTS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.06	0.00	8.06	90.0	7.25
	ACCOUNT #: 00087567436	BUY 0 Units @0.0000						
12/03/2018	***-**-48IN - BEST, JOHN &	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	21.73	0.00	21.73	90.0	19.56
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.34	0.00	1.34	90.0	1.21
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
12/17/2018	***-**-308I - KEENAN, PETER	COLUMBIA THREADNEEDLE TRAILS	0.00	0.05	0.00	0.05	90.0	0.05
		BUY 0 Units @0.0000						
12/17/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA THREADNEEDLE TRAILS	0.00	10.33	0.00	10.33	90.0	9.30
		BUY 0 Units @0.0000						
12/03/2018	***-**-273I - JACKSON, JOHN	EUROPACIFIC GROWTH FUND-A	0.00	2.95	0.00	2.95	90.0	2.66
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
12/03/2018	***-**-005P - IAEL, PAULETTE	FUNDAMENTAL INVESTORS-A	0.00	15.24	0.00	15.24	90.0	13.72
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
12/03/2018	***-**-525P - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	0.00	21.60	0.00	21.60	90.0	19.44
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
12/03/2018	***-**-255P - WILSON, HELEN	FUNDAMENTAL INVESTORS-A	0.00	5.65	0.00	5.65	90.0	5.09
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
12/03/2018	***-**-935I - LOWE, JAMES	FUNDAMENTAL INVESTORS-A	0.00	4.43	0.00	4.43	90.0	3.99
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
12/03/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	0.00	42.17	0.00	42.17	90.0	37.95
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
12/03/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	0.00	17.21	0.00	17.21	90.0	15.49
	ACCOUNT #: 00085899638	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	FUNDAMENTAL INVESTORS-A	0.00	2.09	0.00	2.09	90.0	1.88
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-0658 - MCKILLOP, NORMA	FUNDAMENTAL INVESTORS-C	0.00	7.52	0.00	7.52	90.0	6.77
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
12/03/2018	***-**-224I - WILSON, FRANCIS	NEW PERSPECTIVE FUND-A	0.00	8.49	0.00	8.49	90.0	7.64
	ACCOUNT #: 00085899638	BUY 0 Units @0.0000						
12/03/2018	***-**-48IN - BEST, JOHN &	NEW PERSPECTIVE FUND-A	0.00	11.61	0.00	11.61	90.0	10.45
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
12/03/2018	***-**-273I - JACKSON, JOHN	NEW WORLD FUND-A	0.00	3.02	0.00	3.02	90.0	2.72
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
12/17/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.20	0.00	5.20	90.0	4.68
		BUY 0 Units @0.0000						
12/17/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	4.49	0.00	4.49	90.0	4.04
		BUY 0 Units @0.0000						
12/17/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	6.27	0.00	6.27	90.0	5.64
		BUY 0 Units @0.0000						
12/17/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	18.91	0.00	18.91	90.0	17.02
		BUY 0 Units @0.0000						
12/17/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	15.21	0.00	15.21	90.0	13.69
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
12/17/2018	***-**-854I - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.65	0.00	3.65	90.0	3.29
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
12/17/2018	***-**-199I - MITCHEN, RICHARD	SAMMONS MF TRAILS	0.00	14.87	0.00	14.87	90.0	13.38
	ACCOUNT #: 7200011695	BUY 0 Units @0.0000						
12/17/2018	***-**-32RI - MITCHEN, DORIS	SAMMONS MF TRAILS	0.00	14.65	0.00	14.65	90.0	13.19
	ACCOUNT #: 7200011766	BUY 0 Units @0.0000						
12/17/2018	***-**-224I - WILSON, FRANCIS	SAMMONS MF TRAILS	0.00	5.21	0.00	5.21	90.0	4.69
	ACCOUNT #: 7200036196	BUY 0 Units @0.0000						
12/17/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	24.38	0.00	24.38	90.0	21.94
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
12/17/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	7.35	0.00	7.35	90.0	6.62
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
12/17/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS MF TRAILS	0.00	57.49	0.00	57.49	90.0	51.74
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/17/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.19	0.00	7.19	90.0	6.47
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
12/17/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.07	0.00	7.07	90.0	6.36
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
12/17/2018	***-**-36IN - CLAWSON,	SAMMONS MF TRAILS	0.00	5.61	0.00	5.61	90.0	5.05
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
12/17/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	17.89	0.00	17.89	90.0	16.10
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
12/17/2018	***-**-850I - REMSEN, DEBRA	SAMMONS MF TRAILS	0.00	28.14	0.00	28.14	90.0	25.33
	ACCOUNT #: 7200046505	BUY 0 Units @0.0000						
12/03/2018	***-**-055P - HENRY, CELIA	THE GROWTH FUND OF AMERICA-A	0.00	9.18	0.00	9.18	90.0	8.26
	ACCOUNT #: 00064286092	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - CUSTY, JOSEPH	THE GROWTH FUND OF AMERICA-A	0.00	9.53	0.00	9.53	90.0	8.58
	ACCOUNT #: 00065159881	BUY 0 Units @0.0000						
12/03/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	0.00	3.91	0.00	3.91	90.0	3.52
	ACCOUNT #: 00075150658	BUY 0 Units @0.0000						
12/03/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	0.00	36.33	0.00	36.33	90.0	32.70
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
12/03/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	0.00	9.47	0.00	9.47	90.0	8.52
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
12/03/2018	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	7.98	0.00	7.98	90.0	7.18
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
12/03/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	0.00	11.12	0.00	11.12	90.0	10.01
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
12/03/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	0.00	5.46	0.00	5.46	90.0	4.91
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
12/03/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	25.30	0.00	25.30	90.0	22.77
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
12/03/2018	***-**-224I - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	0.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00085899638	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	4.47	0.00	4.47	90.0	4.02
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
12/03/2018	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	9.60	0.00	9.60	90.0	8.64
	ACCOUNT #: 00087567436	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	1.55	0.00	1.55	90.0	1.40
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
12/03/2018	***-**-2945 - DAVIS, RENEE	THE GROWTH FUND OF AMERICA-A	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 04001185859	BUY 0 Units @0.0000						
12/03/2018	***-**-0658 - MCKILLOP, NORMA	THE GROWTH FUND OF AMERICA-C	0.00	7.75	0.00	7.75	90.0	6.98
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
12/03/2018	***-**-005P - IAEL, PAULETTE	THE INCOME FUND OF AMERICA-A	0.00	54.14	0.00	54.14	90.0	48.73
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
12/03/2018	***-**-426P - PHILBRICK,	THE INCOME FUND OF AMERICA-A	0.00	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: 00082084305	BUY 0 Units @0.0000						
12/03/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	0.00	8.46	0.00	8.46	90.0	7.61
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
12/03/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	0.00	4.12	0.00	4.12	90.0	3.71
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
12/03/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	19.32	0.00	19.32	90.0	17.39
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
12/03/2018	***-**-215P - WILLIAMS, DOYLE	THE INVESTMENT COMPANY OF AMERICA-5	0.00	1.09	0.00	1.09	90.0	0.98
	ACCOUNT #: 00088493817	BUY 0 Units @0.0000						
12/03/2018	***-**-585P - CELIN, MARTHA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.98	0.00	1.98	90.0	1.78
	ACCOUNT #: 00063955620	BUY 0 Units @0.0000						
12/03/2018	***-**-254I - PEKAREK, KARL	THE INVESTMENT COMPANY OF AMERICA-A	0.00	12.04	0.00	12.04	90.0	10.84
	ACCOUNT #: 00067426527	BUY 0 Units @0.0000						
12/03/2018	***-**-48IN - BEST, JOHN &	THE INVESTMENT COMPANY OF AMERICA-A	0.00	120.90	0.00	120.90	90.0	108.81
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.33	0.00	1.33	90.0	1.20
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
12/03/2018	***-**-525P - WILSON, FRANCIS	THE NEW ECONOMY FUND-A	0.00	8.84	0.00	8.84	90.0	7.96
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

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BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-935I - LOWE, JAMES	THE NEW ECONOMY FUND-A	0.00	6.40	0.00	6.40	90.0	5.76
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	THE NEW ECONOMY FUND-A	0.00	3.28	0.00	3.28	90.0	2.95
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
12/03/2018	***-**-36RI - TICHENOR, TYLER	THE NEW ECONOMY FUND-C	0.00	14.25	0.00	14.25	90.0	12.83
	ACCOUNT #: 00088002857	BUY 0 Units @0.0000						
12/03/2018	***-**-005P - IAEL, PAULETTE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	71.98	0.00	71.98	90.0	64.78
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
12/03/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	33.45	0.00	33.45	90.0	30.11
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
12/03/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	8.72	0.00	8.72	90.0	7.85
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
12/03/2018	***-**-273I - JACKSON, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.35	0.00	4.35	90.0	3.92
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
12/03/2018	***-**-935I - LOWE, JAMES	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	5.23	0.00	5.23	90.0	4.71
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
12/03/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	43.59	0.00	43.59	90.0	39.23
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.43	0.00	1.43	90.0	1.29
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
12/03/2018	***-**-46IN - MILLS, CATHERINE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.42	0.00	1.42	90.0	1.28
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
12/03/2018	***-**-2945 - DAVIS, RENEE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 04001185859	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,353.63	\$0.00	\$1,353.63		\$1,218.37
*** BROKER TRANSACTIONS TOTAL ***			\$928,073.92	\$20,251.28	\$0.00	\$20,251.28		\$18,242.90



BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$18,242.90
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$18,242.90
						Year-to-date Earnings		\$69,643.19