

## COMMISSION STATEMENT

September 30, 2018

VAN DREGGE OLMSTEAD

10 RICHARDS DRIVE

WILMINGTON, DE 19810

BROKER #: A01 V01

BRANCH: FULCRUM GROUP

## COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/13/2018 (None)	VARIOUS, OLMSTEAD	JOHN HANCOCK VARIOUS	B	0.00	98.24	0.00	98.24	70.0	68.77
09/12/2018	75501915 SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	S	1,210.00	0.00	0.00	0.00	70.0	0.00
08/31/2018	75502039 SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				<b>\$4,010.00</b>	<b>\$98.24</b>	<b>\$0.00</b>	<b>\$98.24</b>		<b>\$68.77</b>

PRODUCT CATEGORY: STOCKS

09/04/2018	75501395 OLMSTEAD, VAN	FIRST SOLAR INC	S	25,647.20	219.53	0.00	219.53	70.0	153.67
09/11/2018	75501727 PENN, SHELDON	EAGLE MATERIALS INC	S	6,605.09	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501727 PENN, SHELDON	LAM RESEARCH CORP	S	11,481.46	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501727 PENN, SHELDON	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501727 PENN, SHELDON	ILLUMINA INC	B	7,129.97	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501727 PENN, SHELDON	UBIQUITI NETWORKS INC COM	B	7,801.76	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501773 SMITH, GLENN	EAGLE MATERIALS INC	S	3,963.05	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501773 SMITH, GLENN	LAM RESEARCH CORP	S	6,826.81	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501773 SMITH, GLENN	ILLUMINA INC	B	5,347.48	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501773 SMITH, GLENN	UBIQUITI NETWORKS INC COM	B	4,876.10	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501778 VORMWALD, G ROBERT	EAGLE MATERIALS INC	S	10,568.14	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501778 VORMWALD, G ROBERT	LAM RESEARCH CORP	S	18,463.42	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501778 VORMWALD, G ROBERT	ALPHABET INC CLASS C CAPITAL STOCK	S	11,593.00	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501778 VORMWALD, G ROBERT	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501778 VORMWALD, G ROBERT	ILLUMINA INC	B	12,477.45	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501778 VORMWALD, G ROBERT	UBIQUITI NETWORKS INC COM	B	12,677.86	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501779 OLMSTEAD, VAN	EAGLE MATERIALS INC	S	3,963.05	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501779 OLMSTEAD, VAN	LAM RESEARCH CORP	S	7,292.28	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501779 OLMSTEAD, VAN	ILLUMINA INC	B	5,347.48	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501779 OLMSTEAD, VAN	UBIQUITI NETWORKS INC COM	B	4,876.10	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501783 HALLAM, DONALD	EAGLE MATERIALS INC	S	16,732.88	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501783 HALLAM, DONALD	LAM RESEARCH CORP	S	28,703.64	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501783 HALLAM, DONALD	ALPHABET INC CLASS C CAPITAL STOCK	S	11,593.00	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501783 HALLAM, DONALD	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501783 HALLAM, DONALD	ILLUMINA INC	B	19,607.43	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/24/2018	75501783 HALLAM, DONALD	UBIQUITI NETWORKS INC COM	B	19,992.01	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501805 BARBARAS, GLEN	EAGLE MATERIALS INC	S	4,403.39	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501805 BARBARAS, GLEN	LAM RESEARCH CORP	S	7,292.28	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501805 BARBARAS, GLEN	ILLUMINA INC	B	5,347.48	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501805 BARBARAS, GLEN	UBIQUITI NETWORKS INC COM	B	5,363.71	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501808 WRIGHT, PAMELA	EAGLE MATERIALS INC	S	2,642.03	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501808 WRIGHT, PAMELA	LAM RESEARCH CORP	S	4,499.49	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501808 WRIGHT, PAMELA	ILLUMINA INC	B	3,564.99	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501808 WRIGHT, PAMELA	UBIQUITI NETWORKS INC COM	B	3,413.27	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	ALPHABET INC CLASS C CAPITAL STOCK	S	2,356.06	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	AMAZON.COM INC	S	5,852.73	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	AMEDISYS INC	S	612.65	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	ANSYS INC	S	738.96	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	BECTON DICKINSON & CO	S	787.32	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	BIOGEN INC	S	693.32	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	BROADCOM INC COMMON STOCK	S	247.49	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	CATERPILLAR INC	S	461.52	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	CELANESE CORPORATION SERIES A	S	116.12	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	COLUMBIA SPORTSWEAR CO	S	451.25	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	COPART INC	S	307.08	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	ECHO GLOBAL LOGISTICS INC COM	S	329.50	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	EPAM SYSTEMS INC	S	412.78	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	GRUBHUB INC COM	S	832.56	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	HEICO CORPORATION	S	271.48	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	ILLUMINA INC	S	360.67	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	LEAR CORPORATION	S	454.81	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	LENNOX INTL INC	S	648.64	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	NOVOCURE LIMITED SHS ISIN#JE00BYSS4	S	497.00	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	OLD DOMINION FREIGHT LINES INC	S	158.57	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	ROPER TECHNOLOGIES NEW	S	299.99	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	SIMPSON MANUFACTURING CO INC	S	510.65	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	STEEL DYNAMICS INC COM	S	277.38	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	THERMO FISHER SCIENTIFIC INC	S	484.54	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	UBIQUITI NETWORKS INC COM	S	198.82	0.00	0.00	0.00	70.0	0.00
09/25/2018	75501808 WRIGHT, PAMELA	VAIL RESORTS INC	S	570.68	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501817 GELLIS, HOWARD	EAGLE MATERIALS INC	S	22,457.29	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501817 GELLIS, HOWARD	LAM RESEARCH CORP	S	38,323.24	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501817 GELLIS, HOWARD	ALPHABET INC CLASS C CAPITAL STOCK	S	17,389.50	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501817 GELLIS, HOWARD	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501817 GELLIS, HOWARD	ILLUMINA INC	B	26,737.40	0.00	0.00	0.00	70.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/24/2018	75501817	GELLIS, HOWARD	UBIQUITI NETWORKS INC COM	B	27,306.16	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501822	KLAHN, ROBERT	EAGLE MATERIALS INC	S	4,403.39	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501822	KLAHN, ROBERT	LAM RESEARCH CORP	S	7,602.59	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501822	KLAHN, ROBERT	ALPHABET INC CLASS C CAPITAL STOCK	S	5,796.50	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501822	KLAHN, ROBERT	ILLUMINA INC	B	5,347.48	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501822	KLAHN, ROBERT	UBIQUITI NETWORKS INC COM	B	5,363.71	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501858	REMINGTON, THOMAS	EAGLE MATERIALS INC	S	10,127.80	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501858	REMINGTON, THOMAS	LAM RESEARCH CORP	S	17,377.34	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501858	REMINGTON, THOMAS	ALPHABET INC CLASS C CAPITAL STOCK	S	11,593.00	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501858	REMINGTON, THOMAS	ILLUMINA INC	B	12,477.45	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501858	REMINGTON, THOMAS	UBIQUITI NETWORKS INC COM	B	12,190.25	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501870	ESKENAZI, PHOEBE	EAGLE MATERIALS INC	S	2,642.03	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501870	ESKENAZI, PHOEBE	LAM RESEARCH CORP	S	4,809.80	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501870	ESKENAZI, PHOEBE	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501870	ESKENAZI, PHOEBE	ILLUMINA INC	B	3,564.99	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501870	ESKENAZI, PHOEBE	UBIQUITI NETWORKS INC COM	B	3,413.27	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501884	MARTIN, KATHY	EAGLE MATERIALS INC	S	7,485.76	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501884	MARTIN, KATHY	LAM RESEARCH CORP	S	12,257.23	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501884	MARTIN, KATHY	ALPHABET INC CLASS C CAPITAL STOCK	S	5,796.50	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501884	MARTIN, KATHY	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501884	MARTIN, KATHY	ILLUMINA INC	B	8,912.47	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501884	MARTIN, KATHY	UBIQUITI NETWORKS INC COM	B	8,776.98	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501888	KAYLOR, KEVIN	EAGLE MATERIALS INC	S	1,321.02	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501888	KAYLOR, KEVIN	LAM RESEARCH CORP	S	2,482.48	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501888	KAYLOR, KEVIN	ILLUMINA INC	B	1,782.49	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501888	KAYLOR, KEVIN	UBIQUITI NETWORKS INC COM	B	1,462.83	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501890	KAYLOR, KEVIN	EAGLE MATERIALS INC	S	9,247.12	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501890	KAYLOR, KEVIN	LAM RESEARCH CORP	S	16,291.25	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501890	KAYLOR, KEVIN	ALPHABET INC CLASS C CAPITAL STOCK	S	5,796.50	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501890	KAYLOR, KEVIN	ILLUMINA INC	B	10,694.96	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501890	KAYLOR, KEVIN	UBIQUITI NETWORKS INC COM	B	11,215.03	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501914	NATASHA RAJ AHUJA	EAGLE MATERIALS INC	S	4,403.39	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501914	NATASHA RAJ AHUJA	LAM RESEARCH CORP	S	7,602.59	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501914	NATASHA RAJ AHUJA	ALPHABET INC CLASS C CAPITAL STOCK	S	5,796.50	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501914	NATASHA RAJ AHUJA	ILLUMINA INC	B	5,347.48	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501914	NATASHA RAJ AHUJA	UBIQUITI NETWORKS INC COM	B	5,363.71	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501946	ANYA P AHUJA 1999	EAGLE MATERIALS INC	S	4,403.39	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501946	ANYA P AHUJA 1999	LAM RESEARCH CORP	S	7,602.59	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501946	ANYA P AHUJA 1999	ALPHABET INC CLASS C CAPITAL STOCK	S	5,796.50	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501946	ANYA P AHUJA 1999	ILLUMINA INC	B	5,347.48	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/24/2018	75501946	ANYA P AHUJA 1999	UBIQUITI NETWORKS INC COM	B	5,363.71	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501953	MCCRAY, ROBIN	EAGLE MATERIALS INC	S	2,201.70	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501953	MCCRAY, ROBIN	LAM RESEARCH CORP	S	3,878.87	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501953	MCCRAY, ROBIN	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501953	MCCRAY, ROBIN	ILLUMINA INC	B	1,782.49	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501953	MCCRAY, ROBIN	UBIQUITI NETWORKS INC COM	B	2,438.05	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501960	BLUE PELICAN LLC	EAGLE MATERIALS INC	S	17,173.22	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501960	BLUE PELICAN LLC	LAM RESEARCH CORP	S	29,324.26	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501960	BLUE PELICAN LLC	ALPHABET INC CLASS C CAPITAL STOCK	S	11,593.00	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501960	BLUE PELICAN LLC	AMAZON.COM INC	S	9,515.10	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501960	BLUE PELICAN LLC	ILLUMINA INC	B	21,389.92	0.00	0.00	0.00	70.0	0.00
09/24/2018	75501960	BLUE PELICAN LLC	UBIQUITI NETWORKS INC COM	B	20,967.23	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *					\$905,368.56	\$219.53	\$0.00	\$219.53		\$153.67
PRODUCT CATEGORY: OPTIONS										
09/05/2018	75501395	OLMSTEAD, VAN	PUT CTSH 01/18/19 75	B	700.00	50.00	0.00	50.00	70.0	35.00
09/05/2018	75501395	OLMSTEAD, VAN	PUT HST 01/18/19 22	B	456.00	50.00	0.00	50.00	70.0	35.00
09/10/2018	75501395	OLMSTEAD, VAN	CALL DAL 12/21/18 55	B	850.00	20.00	0.00	20.00	70.0	14.00
09/10/2018	75501395	OLMSTEAD, VAN	CALL XLK 12/21/18 72	B	800.00	20.00	0.00	20.00	70.0	14.00
09/11/2018	75501395	OLMSTEAD, VAN	PUT CTSH 01/18/19 75	S	640.00	0.00	0.00	0.00	70.0	0.00
09/11/2018	75501395	OLMSTEAD, VAN	PUT HST 01/18/19 22	S	450.00	0.00	0.00	0.00	70.0	0.00
09/12/2018	75501395	OLMSTEAD, VAN	CALL NDAQ 12/21/18 92.50	B	738.00	20.00	0.00	20.00	70.0	14.00
09/13/2018	75501395	OLMSTEAD, VAN	CALL BBY 11/16/18 75	B	1,080.00	21.60	0.00	21.60	70.0	15.12
09/13/2018	75501395	OLMSTEAD, VAN	CALL HBAN 01/18/19 14	B	820.00	20.00	0.00	20.00	70.0	14.00
09/18/2018	75501395	OLMSTEAD, VAN	CALL NDAQ 12/21/18 92.50	S	452.00	20.00	0.00	20.00	70.0	14.00
09/27/2018	75501395	OLMSTEAD, VAN	PUT HBI 01/18/19 18	B	472.00	20.00	0.00	20.00	70.0	14.00
09/04/2018	75501726	PENN, SHELDON	PUT IVZ 01/18/19 26	B	1,375.00	51.56	0.00	51.56	70.0	36.09
09/10/2018	75501726	PENN, SHELDON	CALL V 01/18/19 140	B	2,913.00	76.71	0.00	76.71	70.0	53.70
09/17/2018	75501726	PENN, SHELDON	CALL CTXS 12/21/18 105	S	2,940.00	77.00	0.00	77.00	70.0	53.90
09/17/2018	75501726	PENN, SHELDON	CALL KR 01/18/19 31	S	470.00	37.50	0.00	37.50	70.0	26.25
09/17/2018	75501726	PENN, SHELDON	CALL SPY 01/20/17 222	B	2,790.00	75.43	0.00	75.43	70.0	52.80
09/20/2018	75501726	PENN, SHELDON	CALL GOV 12/21/18 10	B	875.00	37.50	0.00	37.50	70.0	26.25
09/20/2018	75501726	PENN, SHELDON	PUT MRK 03/15/19 65	S	429.00	37.50	0.00	37.50	70.0	26.25
09/24/2018	75501726	PENN, SHELDON	PUT TAP 10/19/18 75	S	3,240.00	80.12	0.00	80.12	70.0	56.08
09/24/2018	75501726	PENN, SHELDON	PUT TAP 01/18/19 70	B	2,100.00	66.38	0.00	66.38	70.0	46.47
* OPTIONS SUBTOTAL *					\$24,590.00	\$781.30	\$0.00	\$781.30		\$546.91

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
09/11/2018 (None)	VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	42.13	0.00	42.13	70.0	29.49
09/19/2018 (None)	VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	40.13	0.00	40.13	70.0	28.09
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$82.26</b>	<b>\$0.00</b>	<b>\$82.26</b>		<b>\$57.58</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/01/2018 75501391	BORISH, MURIEL	FAS FEES PT. III/III	B	-561.89	186.28	0.00	186.28	70.0	130.40
07/01/2018 75501727	PENN, SHELDON	FAS FEES PT. III/III	B	-1,821.74	603.95	0.00	603.95	70.0	422.77
07/01/2018 75501733	OLMSTEAD, CHRISTYN	FAS FEES PT. III/III	B	-718.90	238.34	0.00	238.34	70.0	166.84
07/01/2018 75501772	OLMSTEAD, ELIZABETH	FAS FEES PT. III/III	B	-231.91	76.89	0.00	76.89	70.0	53.82
07/01/2018 75501773	SMITH, GLENN	FAS FEES PT. III/III	B	-1,096.04	363.37	0.00	363.37	70.0	254.36
07/01/2018 75501774	SMITH, GLENN	FAS FEES PT. III/III	B	-953.35	316.06	0.00	316.06	70.0	221.24
07/01/2018 75501778	VORMWALD, G ROBERT	FAS FEES PT. III/III	B	-2,843.35	942.64	0.00	942.64	70.0	659.85
07/01/2018 75501779	OLMSTEAD, VAN	FAS FEES PT. III/III	B	-1,084.02	359.38	0.00	359.38	70.0	251.57
07/01/2018 75501780	OLMSTEAD, VAN	FAS FEES PT. III/III	B	-494.13	163.82	0.00	163.82	70.0	114.67
07/01/2018 75501781	HALLAM, DONALD	FAS FEES PT. III/III	B	-420.36	139.36	0.00	139.36	70.0	97.55
07/01/2018 75501782	HALLAM, DONALD	FAS FEES PT. III/III	B	-339.33	112.50	0.00	112.50	70.0	78.75
07/01/2018 75501783	HALLAM, DONALD	FAS FEES PT. III/III	B	-4,230.43	1,402.48	0.00	1,402.48	70.0	981.74
07/01/2018 75501784	HALLAM, DONALD	FAS FEES PT. III/III	B	-1,403.72	465.37	0.00	465.37	70.0	325.76
07/01/2018 75501805	BARBARAS, GLEN	FAS FEES PT. III/III	B	-1,187.03	393.53	0.00	393.53	70.0	275.47
07/01/2018 75501807	WRIGHT, PAMELA	FAS FEES PT. III/III	B	-1,693.73	561.51	0.00	561.51	70.0	393.06
07/01/2018 75501808	WRIGHT, PAMELA	FAS FEES PT. III/III	B	-819.68	271.75	0.00	271.75	70.0	190.23
07/01/2018 75501817	GELLIS, HOWARD	FAS FEES PT. III/III	B	-5,120.80	1,697.66	0.00	1,697.66	70.0	1,188.36
07/01/2018 75501820	KLAHN, ROBERT	FAS FEES PT. III/III	B	-993.22	329.28	0.00	329.28	70.0	230.50
07/01/2018 75501822	KLAHN, ROBERT	FAS FEES PT. III/III	B	-1,281.91	424.99	0.00	424.99	70.0	297.49
07/01/2018 75501857	REMINGTON, THOMAS	FAS FEES PT. III/III	B	-275.68	91.40	0.00	91.40	70.0	63.98
07/01/2018 75501858	REMINGTON, THOMAS	FAS FEES PT. III/III	B	-2,773.82	919.59	0.00	919.59	70.0	643.71
07/01/2018 75501859	LOVE, MARGARET	FAS FEES PT. III/III	B	-515.96	171.06	0.00	171.06	70.0	119.74
07/01/2018 75501860	LOVE, MARGARET	FAS FEES PT. III/III	B	-1,086.88	360.33	0.00	360.33	70.0	252.23
07/01/2018 75501861	BENJAMIN, WAYNE	FAS FEES PT. III/III	B	-1,381.89	458.13	0.00	458.13	70.0	320.69
07/27/2018 75501861	BENJAMIN, WAYNE	FAS FEES PT. III/III	B	0.00	-458.13	0.00	-458.13	70.0	-320.69
07/01/2018 75501862	BENJAMIN, WAYNE	FAS FEES PT. III/III	B	-342.05	113.40	0.00	113.40	70.0	79.38
07/27/2018 75501862	BENJAMIN, WAYNE	FAS FEES PT. III/III	B	0.00	-113.40	0.00	-113.40	70.0	-79.38
07/01/2018 75501866	WELAISH, JEFFREY	FAS FEES PT. III/III	B	-1,521.70	504.48	0.00	504.48	70.0	353.14
07/01/2018 75501870	ESKENAZI, PHOEBE	FAS FEES PT. III/III	B	-775.82	257.21	0.00	257.21	70.0	180.05
07/01/2018 75501884	MARTIN, KATHY	FAS FEES PT. III/III	B	-2,013.62	667.56	0.00	667.56	70.0	467.29
07/01/2018 75501885	MARTIN, KATHY	FAS FEES PT. III/III	B	-769.76	255.20	0.00	255.20	70.0	178.64
07/01/2018 75501888	KAYLOR, KEVIN	FAS FEES PT. III/III	B	-383.15	127.03	0.00	127.03	70.0	88.92
07/01/2018 75501889	KAYLOR, KEVIN	FAS FEES PT. III/III	B	-568.13	188.35	0.00	188.35	70.0	131.85
07/01/2018 75501890	KAYLOR, KEVIN	FAS FEES PT. III/III	B	-2,523.10	836.47	0.00	836.47	70.0	585.53
07/01/2018 75501914	NATASHA RAJ AHUJA	FAS FEES PT. III/III	B	-1,284.20	425.75	0.00	425.75	70.0	298.03

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/01/2018	75501925	HOWELL, WILLIAM	FAS FEES PT. III/III	B	-266.51	88.36	0.00	88.36	70.0 61.85
07/01/2018	75501926	KILPATRICK, CHARLES	FAS FEES PT. III/III	B	-1,043.23	345.86	0.00	345.86	70.0 242.10
07/01/2018	75501946	ANYA P AHUJA 1999	FAS FEES PT. III/III	B	-1,283.63	425.56	0.00	425.56	70.0 297.89
07/01/2018	75501952	HOWELL, WILLIAM	FAS FEES PT. III/III	B	-362.08	120.04	0.00	120.04	70.0 84.03
07/01/2018	75501953	MCCRAY, ROBIN	FAS FEES PT. III/III	B	-608.52	201.74	0.00	201.74	70.0 141.22
07/01/2018	75501954	MCCRAY, GAIL	FAS FEES PT. III/III	B	-272.03	90.19	0.00	90.19	70.0 63.13
07/01/2018	75501960	BLUE PELICAN LLC	FAS FEES PT. III/III	B	-4,335.11	1,437.19	0.00	1,437.19	70.0 1,006.03
07/01/2018	75502088	KLAHN, ROBERT	FAS FEES PT. III/III	B	-1,114.08	369.35	0.00	369.35	70.0 258.55
<b>* RIA SUBTOTAL *</b>					<b>\$-52,796.49</b>	<b>\$16,931.88</b>	<b>\$0.00</b>	<b>\$16,931.88</b>	<b>\$11,852.34</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/30/2018		FEE COUNT - 89			0.00	831.80	0.00	831.80	70.0 578.80
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>					<b>\$0.00</b>	<b>\$831.80</b>	<b>\$0.00</b>	<b>\$831.80</b>	<b>\$578.80</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>					<b>\$881,172.07</b>	<b>\$18,945.01</b>	<b>\$0.00</b>	<b>\$18,945.01</b>	<b>\$13,258.07</b>

**ADJUSTMENTS**

09/30/2018		OTHER: AAM 3Q -3/3			0.00	0.00	0.00	0.00	-2,727.05
09/30/2018		OTHER: AAM 2Q 3/3			0.00	0.00	0.00	0.00	-2,746.36
09/30/2018		OTHER: UNBUNDLED FEES - 3/3			0.00	0.00	0.00	0.00	-2,595.76
<b>*** ADJUSTMENTS TOTAL ***</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-8,069.17</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,258.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-8,069.17
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-23.68
SIPC Assessment	\$-47.36

<b>Check Amount</b>	<b>\$5,117.86</b>
<b>Year-to-date Earnings</b>	<b>\$108,602.52</b>