



COMMISSION STATEMENT

September 17, 2018

RONALD CIOTOLI
3401 E Main St.
Endwell, NY 13760

BROKER #: A89
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/14/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @23.7200	190.00	3.80	0.00	3.80	60.0	2.28
09/14/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.7200	57.00	1.14	0.00	1.14	60.0	0.68
* MUTUAL FUNDS SUBTOTAL *			\$247.00	\$4.94	\$0.00	\$4.94		\$2.96
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/04/2018	***-**-108I - KROH, TODD ACCOUNT #: 00062495916	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	4.85	0.00	4.85	60.0	2.91
09/04/2018	***-**-923I - MILLER, DENISE ACCOUNT #: 00068752100	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	33.27	0.00	33.27	60.0	19.96
09/04/2018	***-**-108I - KROH, TODD ACCOUNT #: 00085501054	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	15.92	0.00	15.92	60.0	9.55
09/04/2018	***-**-108I - KROH, TODD ACCOUNT #: 00085501328	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	5.71	0.00	5.71	60.0	3.43
09/04/2018	***-**-949I - FIAN, JOHN ACCOUNT #: 00089654997	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	23.58	0.00	23.58	60.0	14.15
09/04/2018	***-**-8095 - FOX, REBECCA ACCOUNT #: 04000236467	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	59.97	0.00	59.97	60.0	35.98
09/04/2018	***-**-9298 - FLETCHER, JOSEPH ACCOUNT #: 04000410254	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	21.57	0.00	21.57	60.0	12.94
09/04/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00088707510	AMERICAN BALANCED FUND-C BUY 0 Units @0.0000	0.00	19.28	0.00	19.28	60.0	11.57
09/04/2018	***-**-7556 - WALKER, RANDY ACCOUNT #: 00089500716	AMERICAN FUNDS 2025 TARGET DATE-A BUY 0 Units @0.0000	0.00	14.29	0.00	14.29	60.0	8.57
09/04/2018	***-**-1730 - BENZI, ROBERT ACCOUNT #: 00089110730	AMERICAN GLOBAL BALANCED CLASS A BUY 0 Units @0.0000	0.00	8.58	0.00	8.58	60.0	5.15
09/04/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	54.09	0.00	54.09	60.0	32.45
09/04/2018	***-**-108I - KROH, TODD ACCOUNT #: 00062495916	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	4.73	0.00	4.73	60.0	2.84
09/04/2018	***-**-108I - KROH, TODD ACCOUNT #: 00085501054	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	15.52	0.00	15.52	60.0	9.31



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-108I - KROH, TODD	CAPITAL INCOME BUILDER-A	0.00	5.56	0.00	5.56	60.0	3.34
	ACCOUNT #: 00085501328	BUY 0 Units @0.0000						
09/04/2018	***-**-949I - FIAN, JOHN	CAPITAL INCOME BUILDER-A	0.00	22.87	0.00	22.87	60.0	13.72
	ACCOUNT #: 00089654997	BUY 0 Units @0.0000						
09/04/2018	***-**-8095 - FOX, REBECCA	CAPITAL INCOME BUILDER-A	0.00	56.23	0.00	56.23	60.0	33.74
	ACCOUNT #: 04000236467	BUY 0 Units @0.0000						
09/04/2018	***-**-9298 - FLETCHER, JOSEPH	CAPITAL INCOME BUILDER-A	0.00	20.13	0.00	20.13	60.0	12.08
	ACCOUNT #: 04000410254	BUY 0 Units @0.0000						
09/04/2018	***-**-7556 - WALKER, RANDY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.31	0.00	5.31	60.0	3.19
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
09/04/2018	***-**-7556 - WALKER, RANDY	EUROPACIFIC GROWTH FUND-A	0.00	4.90	0.00	4.90	60.0	2.94
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
09/04/2018	***-**-1730 - BENZI, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	10.60	0.00	10.60	60.0	6.36
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						
09/04/2018	***-**-7556 - WALKER, RANDY	SMALLCAP WORLD FUND-A	0.00	5.87	0.00	5.87	60.0	3.52
	ACCOUNT #: 00089500716	BUY 0 Units @0.0000						
09/04/2018	***-**-7839 - CONTI, ELLEN	THE BOND FUND OF AMERICA-A	0.00	1.87	0.00	1.87	60.0	1.12
	ACCOUNT #: 00086719090	BUY 0 Units @0.0000						
09/04/2018	***-**-1730 - BENZI, ROBERT	THE BOND FUND OF AMERICA-A	0.00	7.51	0.00	7.51	60.0	4.51
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						
09/04/2018	***-**-5000 - CRAWFORD,	THE GROWTH FUND OF AMERICA-A	0.00	13.20	0.00	13.20	60.0	7.92
	ACCOUNT #: 00067346436	BUY 0 Units @0.0000						
09/04/2018	***-**-1730 - BENZI, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	11.28	0.00	11.28	60.0	6.77
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						
09/04/2018	***-**-108I - KROH, TODD	THE INCOME FUND OF AMERICA-A	0.00	4.80	0.00	4.80	60.0	2.88
	ACCOUNT #: 00062495916	BUY 0 Units @0.0000						
09/04/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	0.00	55.70	0.00	55.70	60.0	33.42
	ACCOUNT #: 00067346436	BUY 0 Units @0.0000						
09/04/2018	***-**-108I - KROH, TODD	THE INCOME FUND OF AMERICA-A	0.00	15.75	0.00	15.75	60.0	9.45
	ACCOUNT #: 00085501054	BUY 0 Units @0.0000						
09/04/2018	***-**-108I - KROH, TODD	THE INCOME FUND OF AMERICA-A	0.00	5.65	0.00	5.65	60.0	3.39
	ACCOUNT #: 00085501328	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-1730 - BENZI, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	9.05	0.00	9.05	60.0	5.43
	ACCOUNT #: 00089110730	BUY 0 Units @0.0000						
09/04/2018	***-**-949I - FIAN, JOHN	THE INCOME FUND OF AMERICA-A	0.00	24.08	0.00	24.08	60.0	14.45
	ACCOUNT #: 00089654997	BUY 0 Units @0.0000						
09/04/2018	***-**-8095 - FOX, REBECCA	THE INCOME FUND OF AMERICA-A	0.00	57.55	0.00	57.55	60.0	34.53
	ACCOUNT #: 04000236467	BUY 0 Units @0.0000						
09/04/2018	***-**-9298 - FLETCHER, JOSEPH	THE INCOME FUND OF AMERICA-A	0.00	21.59	0.00	21.59	60.0	12.95
	ACCOUNT #: 04000410254	BUY 0 Units @0.0000						
09/04/2018	***-**-7839 - CONTI, ELLEN	U.S GOVERNMENT SECURITIES FND-F1	0.00	12.05	0.00	12.05	60.0	7.23
	ACCOUNT #: 00081378698	BUY 0 Units @0.0000						
09/04/2018	***-**-7839 - CONTI, ELLEN	U.S. GOVERNMENT SECURITIES FUND-C	0.00	21.73	0.00	21.73	60.0	13.04
	ACCOUNT #: 00087841527	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$674.64	\$0.00	\$674.64		\$404.79
*** BROKER TRANSACTIONS TOTAL ***			\$247.00	\$679.58	\$0.00	\$679.58		\$407.75

BROKER COMMISSION TOTALS

Broker Transactions	\$407.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$35.07
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$442.82
Year-to-date Earnings	\$8,044.20