BROKER

All Companies

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

October 31, 2018

JOHN BOYCE

15408 DUNCAN HILL ROAD

BULTER, MD 21023

BROKER #: C15 P7N

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for JOHN BOYCE

INVESTMENT

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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			BROKER	TRANSA	CTIONS					
PRODUCT CAT	TEGORY: STO	CKS								
		E, ANN HAGERTY	ADVANCED MICRO DEVICES INC	S	5,436.10	40.00	10.00	30.00	70.0	18.00
		E, ANN HAGERTY	INTEL CORP	В	9,799.38	30.00	10.00	20.00	70.0	11.00
10/03/2018 75	5500360 BOYCI	E, ANN HAGERTY	SEABRIDGE GOLD INC	S	25,757.60	40.00	10.00	30.00	70.0	18.00
10/23/2018 75	5500360 BOYCI	E, ANN HAGERTY	MARTIN MARIETTA MATLS INC	S	15,700.05	30.00	10.00	20.00	70.0	11.00
10/25/2018 75	5500360 BOYCI	E, ANN HAGERTY	ENPHASE ENERGY INC	S	8,643.40	50.00	10.00	40.00	70.0	25.00
10/25/2018 75	5500360 BOYCI	E, ANN HAGERTY	INTEL CORP	S	8,880.28	50.00	10.00	40.00	70.0	25.00
10/25/2018 75	5500360 BOYCI	E, ANN HAGERTY	PFIZER INCORPORATED	S	8,708.38	30.00	10.00	20.00	70.0	11.00
10/25/2018 75	5500360 BOYCI	E, ANN HAGERTY	SEABRIDGE GOLD INC	S	13,451.05	30.00	10.00	20.00	70.0	11.00
10/26/2018 75	5500360 BOYCI	E, ANN HAGERTY	AMAZON.COM INC	S	32,157.76	25.00	10.00	15.00	70.0	7.50
10/26/2018 75	5500360 BOYCI	E, ANN HAGERTY	RANDGOLD RESOURCES LTD ADR	S	22,112.75	40.00	10.00	30.00	70.0	18.00
10/26/2018 75	5500360 BOYCI	E, ANN HAGERTY	SQUARE INC CLASS A COM	S	14,379.44	30.00	10.00	20.00	70.0	11.00
10/29/2018 75	5500360 BOYCI	E, ANN HAGERTY	CISCO SYS INC	S	43,394.30	50.00	10.00	40.00	70.0	25.00
10/29/2018 75	5500360 BOYCI	E, ANN HAGERTY	NVIDIA CORP	S	18,532.98	25.00	10.00	15.00	70.0	7.50
10/12/2018 75	5500361 BOYC	E, JOHN C	INTELLICHECK MOBILISA INC COM NE	W S	14,903.54	50.00	10.00	40.00	70.0	25.00
10/22/2018 75	5500361 BOYC	E, JOHN C	DELEK US HOLDINGS INC COMMON STO	CK S	7,421.56	30.00	10.00	20.00	70.0	11.00
10/22/2018 75	5500361 BOYCI	E, JOHN C	GILEAD SCIENCES INC	S	14,653.80	30.00	10.00	20.00	70.0	11.00
10/22/2018 75	5500361 BOYC	E, JOHN C	SALESFORCE.COM INC	S	14,010.50	25.00	10.00	15.00	70.0	7.50
10/22/2018 75	5500361 BOYCI	E, JOHN C	TEXAS ROADHOUSE INC	S	13,312.52	30.00	10.00	20.00	70.0	11.00
10/23/2018 75	5500361 BOYC	E, JOHN C	ALTIUS MINERALS CORPORATION	S	19,636.59	50.00	10.00	40.00	70.0	25.00
10/23/2018 75	5500361 BOYC	E, JOHN C	PEBBLEBROOK HOTEL TRUST	S	31,250.60	70.00	10.00	60.00	70.0	39.00
10/24/2018 75	5500361 BOYCI	E, JOHN C	PACWEST BANCORP	В	19,597.10	50.00	10.00	40.00	70.0	25.00
10/03/2018 75	5500365 BOYCI	E, ANN HAGERTY	AVX CORP NEW	S	6,564.73	25.00	10.00	15.00	70.0	7.50
10/03/2018 75	5500365 BOYCI	E, ANN HAGERTY	SEABRIDGE GOLD INC	S	757.57	15.00	10.00	5.00	70.0	0.50
10/03/2018 75	5500365 BOYCI	E, ANN HAGERTY	TENCENT HOLDINGS LIMITED UNSPONS	ORE B	12,933.80	30.00	10.00	20.00	70.0	11.00
10/03/2018 75	5500365 BOYCI	E, ANN HAGERTY	WESTERN DIGITAL CORP	S	6,408.88	25.00	10.00	15.00	70.0	7.50
10/16/2018 75	5500365 BOYCI	E, ANN HAGERTY	TENCENT HOLDINGS LIMITED UNSPONS	ORE B	807.73	0.00	10.00	-10.00	70.0	-10.00
10/03/2018 75	5500366 BOYCI	E, JOHN C	AVX CORP NEW	S	10,584.54	40.00	10.00	30.00	70.0	18.00
10/03/2018 75	5500366 BOYCI	E, JOHN C	TENCENT HOLDINGS LIMITED UNSPONS	ORE B	10,334.55	30.00	10.00	20.00	70.0	11.00
10/16/2018 75	5500366 BOYCI	E, JOHN C	TENCENT HOLDINGS LIMITED UNSPONS	ORE B	182.14	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75	5500366 BOYCI	E, JOHN C	ADVANCED MICRO DEVICES INC	S	2,526.29	30.00	10.00	20.00	70.0	11.00
09/28/2018 75	5500368 PETR	, THOMAS	SALESFORCE.COM INC	В	320,260.00	120.00	10.00	110.00	70.0	74.00

COMMISSION STATEMENT for JOHN BOYCE

INVESTMENT

TRADE DATE

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PRODUCT CATEGORY: STOCKS							
09/28/2018 75500368 PETR, THOMAS	SQUARE INC CLASS A COM	в 198,	633.89 120.	00 10.00	110.00	70.0	74.00
10/10/2018 75500368 PETR, THOMAS	ADOBE SYSTEMS INC	S 962,	840.40 240.	00 10.00	230.00	70.0	158.00
10/10/2018 75500368 PETR, THOMAS	ADVANCED MICRO DEVICES INC	S 126,	307.56 300.	00 10.00	290.00	70.0	200.00
10/10/2018 75500368 PETR, THOMAS	NVIDIA CORP	S 749,	539.80 180.	00 10.00	170.00	70.0	116.00
10/10/2018 75500368 PETR, THOMAS	SALESFORCE.COM INC	S 278,	274.99 120.	00 10.00	110.00	70.0	74.00
10/10/2018 75500368 PETR, THOMAS	SQUARE INC CLASS A COM	S 156,	329.00 120.	00 10.00	110.00	70.0	74.00
10/10/2018 75500368 PETR, THOMAS	VISA INC CL A COMMON STOCK	S 274,	083.00 120.	00 10.00	110.00	70.0	74.00
10/16/2018 75500368 PETR, THOMAS	APPLE INC	в 437,	967.20 120.	00 10.00	110.00	70.0	74.00
10/16/2018 75500368 PETR, THOMAS	BP P L C SPONSORED ADR (FRM BP AMOC	в 223,8	896.00 250.	00 10.00	240.00	70.0	165.00
10/25/2018 75500368 PETR, THOMAS	ADVANCED MICRO DEVICES INC	S 194,	900.00 500.	00 10.00	490.00	70.0	340.00
10/25/2018 75500368 PETR, THOMAS	APPLE INC	в 439,	327.80 120.	00 10.00	110.00	70.0	74.00
10/25/2018 75500368 PETR, THOMAS	BECTON DICKINSON & CO	B 462,	567.20 120.	00 10.00	110.00	70.0	74.00
10/25/2018 75500368 PETR, THOMAS	NVIDIA CORP	S 195,	243.70 60.	00 10.00	50.00	70.0	32.00
10/25/2018 75500368 PETR, THOMAS	NVIDIA CORP	S 391,	942.60 120.	00 10.00	110.00	70.0	74.00
10/25/2018 75500368 PETR, THOMAS	PACWEST BANCORP	в 196,	101.75 300.	00 10.00	290.00	70.0	200.00
10/29/2018 75500368 PETR, THOMAS	IQIYI INC SPONS ADR ISIN#US46267X10	S 66,0	015.30 180.	00 10.00	170.00	70.0	116.00
10/15/2018 75500370 HUBARD, MARGARET	ALIBABA GROUP HOLDING LTD SPONSORED	S 14,	405.66 30.	00 10.00	20.00	70.0	11.00
10/25/2018 75500370 HUBARD, MARGARET	WHEATON PRECIOUS METALS CORP COM	S 16,9	910.00 70.	00 10.00	60.00	70.0	39.00
10/26/2018 75500370 HUBARD, MARGARET	BLACKSTONE GROUP L P (THE) COMMON U	S 12,	601.16 50.	00 10.00	40.00	70.0	25.00
10/26/2018 75500370 HUBARD, MARGARET	PROCTER & GAMBLE CO	S 8,0	019.90 25.	00 10.00	15.00	70.0	7.50
10/03/2018 75500378 CLOUGH, CHARLES EVAI	NS SEABRIDGE GOLD INC	S 3,8	864.54 20.	00 10.00	10.00	70.0	4.00
10/23/2018 75500385 HUBARD, JOHN	VERSUM MATERIALS INC COM	s 12,	118.88 50.	00 10.00	40.00	70.0	25.00
10/26/2018 75500385 HUBARD, JOHN	3M COMPANY	S 18,	289.04 50.	00 10.00	40.00	70.0	25.00
10/26/2018 75500385 HUBARD, JOHN	CITIGROUP INC COM	S 25,	400.80 60.	00 10.00	50.00	70.0	32.00
10/19/2018 75500391 NIEDRINGHAUS, WALLAG	CE CLEVELAND CLIFFS INC COM	S 11,0	000.00 70.	00 10.00	60.00	70.0	39.00
10/02/2018 75500424 JOHN B HUBARD TTEE	AMERICAN EXPRESS COMPANY (N.Y)	в 16,	230.66 75.	00 10.00	65.00	70.0	42.50
10/17/2018 75500424 JOHN B HUBARD TTEE	ALIBABA GROUP HOLDING LTD SPONSORED	в 95,0	000.00 70.	00 10.00	60.00	70.0	39.00
10/01/2018 75500443 GOOD, TIMOTHY	MARTIN MARIETTA MATLS INC	в 120,0	000.00 50.	00 10.00	40.00	70.0	25.00
10/03/2018 75500443 GOOD, TIMOTHY	SEABRIDGE GOLD INC	s 64,	272.00 100.	00 10.00	90.00	70.0	60.00
10/19/2018 75500443 GOOD, TIMOTHY	U S SILICA HOLDINGS INC	в 3,	300.00 30.	00 10.00	20.00	70.0	11.00
10/26/2018 75500443 GOOD, TIMOTHY	INTELLICHECK MOBILISA INC COM NEW	S 11,0	051.34 40.	00 10.00	30.00	70.0	18.00
10/26/2018 75500443 GOOD, TIMOTHY	MARTIN MARIETTA MATLS INC	S 77,	490.30 80.	00 10.00	70.00	70.0	46.00
10/26/2018 75500443 GOOD, TIMOTHY	NVIDIA CORP	s 20,0	037.05 30.	00 10.00	20.00	70.0	11.00
10/26/2018 75500443 GOOD, TIMOTHY	TEREX CORP	s 30,	173.00 70.	00 10.00	60.00	70.0	39.00
10/26/2018 75500443 GOOD, TIMOTHY			597.30 100.				60.00
10/26/2018 75500443 GOOD, TIMOTHY			107.60 120.				74.00
10/16/2018 75500445 GOOD, TIMOTHY		•	666.20 75.				42.50
10/16/2018 75500445 GOOD, TIMOTHY		•	905.91 75.				42.50
10/16/2018 75500445 GOOD, TIMOTHY		•	370.03 60.			70.0	32.00
10/16/2018 75500445 GOOD, TIMOTHY	HARMONIC INC		649.60 75.		65.00		

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COMMISSION STATEMENT for JOHN BOYCE

INVESTMENT

TRADE DATE

CLIENT

10/16/2018 755012459 NOW, DAVID								
10/16/2018 7500445 2000. FINCHING MADRINIA CIL & CAS COMPOSATION CLAS 7,198.85 75.00 10.00 50.00 70.0 42.		BROKER TRANS	ACTIONS					
10/16/2018 75800445 GOOD, TIMOTIFY TOWER SEMICONDOTOR ITD SIRE \$ 5,045.75 60.00 10.00 50.00 70.0 32.00 10/11/2018 75801269 KINX, DAVID YCE, NICC, LA, COMMON STOCK R 11,464.43 70.00 10.00 10.00 70.0 4.09/21/2018 75801338 ROYCE, ROGER P COTI GILGALI INE 8 759.17 70.00 10.00 10.00 70.0 4.09/21/2018 75801338 ROYCE, ROGER P COTI GILGALI INE 8 759.17 70.00 10.00 10.00 70.0 7.09/21/2018 75801338 ROYCE, ROGER P COTI GILGALI INE 8 7598.13 758.00 10.00 10.00 70.0 7.09/21/2018 758001338 ROYCE, ROGER P COTI GILGALI INE 8 7598.13 758.00 10.00 10.00 70.0 7.09/21/2018 758001338 ROYCE, ROGER P COTI GILGALI INE 8 7598.13 758.00 10.00 10.00 10.00 70.0 7.09/21/2018 758001338 ROYCE, ROGER P CAMPS FIRE COLONY CORP 8 7776.484, 33 310.80 10.00 30.08 70.0 20.7 7.09/21/2018 758001394 ASSOCIATES, P/A/O CAMPS FIRE COLONY CORP 8 7776.484, 33 310.80 10.00 30.08 70.0 20.1 7.09/21/2018 758001394 ASSOCIATES, P/A/O ARBOTI LABORATORI ISS 18 133.2164, 38 20.00 10.00 63.00 70.0 41.1 70.00 70.0	PRODUCT CATEGORY: STOCKS							
10/11/2018 75501269 KNNX, DAVID	10/16/2018 75500445 GOOD, TIMOTHY	MAGNOLIA OIL & GAS CORPORATION CLAS B	7,198.85	75.00	10.00	65.00	70.0	42.50
10/24/2018 7501338 BOYCS, ROGER PACKET BANCORP B 910.69 20.00 10.00 10.00 70.0 70.0 70.0 70.00 7	10/16/2018 75500445 GOOD, TIMOTHY	TOWER SEMICONDUCTOR LTD SHS S	5,045.75	60.00	10.00	50.00	70.0	32.00
19/28/18/18/18/18/18/18/18/18/18/18/18/18/18	10/11/2018 75501269 KNOX, DAVID	VISA INC CL A COMMON STOCK B	13,646.43	70.00	10.00	60.00	70.0	39.00
10/02/2018 GOV0012942 ASSOCIATES, F/A/O LINNCSTER COLONY CORP S -171, 276.78 46.40 10.00 35.40 70.0 22.	10/24/2018 75501269 KNOX, DAVID	PACWEST BANCORP B	910.69	20.00	10.00	10.00	70.0	4.00
99/38/2018 QCV002942 ASSOCIATES, F/A/O REGALTICS TINC REGALTION TINC S REGALTION TO S REGALT TO S REGA	09/28/2018 75501338 BOYCE, ROGER F	CUI GLOBAL INC S	793.17	30.00	10.00	20.00	70.0	11.00
10/01/2018 QUV002942 ASSOCIATES, F/A/O ROBARTDOE FINANCIAL SOLUTIONS INC S -15,166,83 4.60 10.00 -5.40 70.0 -6.10 70.0 70.0 -6.10 70.0 70.0 -6.10 70.0 70.0 -6.10 70.0 70.0 -6.10 70.0 70.0 70.0 -6.10 70.0	10/02/2018 75501338 BOYCE, ROGER F	SQUARE INC CLASS A COM B	9,758.30	25.00	10.00	15.00	70.0	7.50
11/10/2/2018 QCV002942 ASSOCIATES, F/A/O CARTER'S INC 8 776,448.33 310.80 10.00 30.00 70.0 207. 10/20/2018 QCV002942 ASSOCIATES, F/A/O CARTER'S INC 8 -109,621.61 45.16 10.00 35.16 70.0 21. 10/03/2018 QCV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES 5 -133,986.38 73.20 10.00 63.20 70.0 41. 10/03/2018 QCV002942 ASSOCIATES, F/A/O CARDATION B 332,164.39 206.08 10.00 196.08 70.0 134. 10/04/2018 QCV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES 5 -137,931.72 99.04 10.00 89.04 70.0 134. 10/04/2018 QCV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES 5 -177,931.72 99.04 10.00 89.00 70.0 134. 10/05/2018 QCV002942 ASSOCIATES, F/A/O BATON CORPORATION PLC B 8 89,911.88 41.20 10.00 93.00 70.0 -6. 10/10/2018 QCV002942 ASSOCIATES, F/A/O BLACK KINGTI INC COMMON STOCK 5 -124,771.86 10.60 10.00 93.60 70.0 62. 10/10/2018 QCV002942 ASSOCIATES, F/A/O BLACK KINGTI INC COMMON STOCK 5 -124,771.86 10.60 10.00 93.60 70.0 62. 10/10/2018 QCV002942 ASSOCIATES, F/A/O BLACK KINGTI INC COMMON STOCK 5 -124,771.86 10.60 10.00 93.60 70.0 62. 10/10/2018 QCV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO 5 -17,259.53 28.14 10.00 00 93.60 70.0 62. 10/10/2018 QCV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO 5 -17,259.53 28.14 10.00 10.00 93.60 70.0 326. 10/19/2018 QCV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO 5 -17,259.53 28.14 10.00 10.00 10.10 10.00 93.00 70.0 72. 10/19/2018 QCV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO 5 -17,259.53 28.14 10.00 10.00 10.00 10.00 70.0 72. 10/19/2018 QCV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO 5 -17,259.53 28.14 10.00 10.00 10.00 10.00 70.0 72. 10/19/2018 QCV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO 5 -17,259.53 28.14 10.00 10.00 10.00 10.00 70.0 72. 10/19/2018 QCV002942 ASSOCIATES, F/A/O SENSER COLONY COMP 5 -18,207.00 284.00 10.00 274.00 70.0 72. 10/19/2018 QCV002949 PSENSHING LLC GENERAL ELECTRIC CO 5 -18,207.00 284.00 10.00 274.00 70.0 12. 10/19/2018 QCV002959 PERSHING LLC GENERAL ELECTRIC CO 5 -18,207.00 284.00 10.00 274.00 70.0 12. 10/19/2018 QCV002959 PERSHING LLC GARDET LABORATORIES 5 -512,813.44 252.00 10.00	09/28/2018 QGV002942 ASSOCIATES, F/A/O	LANCASTER COLONY CORP S	-171,276.78	46.40	10.00	36.40	70.0	22.48
10/02/2018 QGV002942 ASSOCIATES, F/A/O ROSS STORES INC S -109,621.61 45.16 10.00 35.16 70.0 21. 10/03/2018 QGV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES S -133,986.38 73.20 10.00 63.20 70.0 141. 10/03/2018 QGV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES S -173,986.38 73.20 10.00 63.20 70.0 134. 10/04/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION B 33.2164.38 40.00 10.00 39.40 70.0 134. 10/04/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 8.89,811.88 41.20 10.00 39.40 70.0 59. 10/04/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10.066.96 40.00 0.00 39.40 70.0 -6. 10/10/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10.066.96 40.00 0.00 92.60 70.0 62. 10/10/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10.066.96 40.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/01/2018 QGV002942 ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC S	-15,166.83	4.60	10.00	-5.40	70.0	-6.78
10/03/2018 QQV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES S -133,986.38 73.20 10.00 163.20 70.0 134. 10/03/2018 QQV002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES S -17,931.72 90.40 10.00 156.08 70.0 59. 10/04/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 8,9,811.88 41.20 10.00 31.20 70.0 18. 10/05/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,695.96 4.88 10.00 -5.12 70.0 6. 10/10/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,695.96 4.88 10.00 -5.12 70.0 6. 10/10/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,695.96 4.88 10.00 -5.12 70.0 6. 10/10/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,695.96 4.88 10.00 3.00 30.00 70.0 62. 10/10/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,695.96 4.88 10.00 30.00 30.00 70.0 62. 10/10/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION COM S -231,388.01 103.60 10.00 30.00 70.0 22.00 70.0 149. 10/15/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION COM S -11,299.53 28.14 10.00 10.00 30.00 70.0 149. 10/15/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION COM S -147,299.53 28.14 10.00 10.00 30.00 70.0 326. 10/15/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION COM B 200.00 400.00 400.00 400.00 30.00 30.00 70.0 326. 10/15/2018 QQV002942 ASSOCIATES, F/A/O EATON CORPORATION CORP COM B 200.00 400.00 400.00 400.00 400.00 30.00 30.00 70.0 70.0 70.0 70.0 70	10/01/2018 QGV002942 ASSOCIATES, F/A/O	CARTER'S INC B	776,448.33	310.80	10.00	300.80	70.0	207.56
10/03/2018 QGY002942 ASSOCIATES, F/A/O ABBOTT LABORATORIES S -177,931.72 99.40 10.00 89.40 70.0 59. 10/04/2018 QGY002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 8,911.88 41.20 10.00 31.20 70.0 59. 10/10/2018 QGY002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 8 10.695.96 4.88 10.00 -5.12 70.0 -6. 10/10/2018 QGY002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102.60 10.00 93.60 70.0 61. 10/10/2018 QGY002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102.60 10.00 93.60 70.0 62. 10/10/2018 QGY002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102.60 10.00 93.60 70.0 62. 10/10/2018 QGY002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102.60 10.00 93.60 70.0 62. 10/10/2018 QGY002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102.60 10.00 93.60 70.0 62. 10/10/2018 QGY002942 ASSOCIATES, F/A/O FORM MECHANICLEY SOLUTIONS HLDSS INS -126,082.42 316.00 10.00 306.00 70.0 211. 10/15/2018 QGY002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 217.20 70.0 149. 10/17/2018 QGY002942 ASSOCIATES, F/A/O SYNEK CORP COM B 230.460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGY002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORF COM B 95,644.03 116.00 10.00 107.60 70.0 72. 10/25/2018 QGY002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORF COM B 95,644.03 116.00 10.00 107.60 70.0 71. 10/25/2018 QGY002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORF COM B 95,644.03 116.00 10.00 107.60 70.0 71. 10/25/2018 QGY002949 PERSHING LLC ALTRA INDL MOTION CORF COM B 95,644.03 116.00 10.00 274.00 70.0 188. 10/03/2018 QGY002949 PERSHING LLC ALTRA INDL MOTION CORF COM B 95,644.03 116.00 10.00 274.00 70.0 188. 10/03/2018 QGY002949 PERSHING LLC ALTRA INDL MOTION CORF COM B 95,644.03 116.00 10.00 274.00 70.0 170. 10/25/2018 QGY002949 PERSHING LLC BROADTICE FINACIAL SOLUTIONS INC S -143,222.83 38.80 10.00 276.00 70.0 170. 10/25/2018 QGY002949 PERSHING LLC BROADTICE FINACIAL SOLUTIONS INC S -143,222.83 38.80 10.00 276.20 70.0 170. 10/30/2018 QGY002949 PER	10/02/2018 QGV002942 ASSOCIATES, F/A/O	ROSS STORES INC S	-109,621.61	45.16	10.00	35.16	70.0	21.61
10/04/2018 QGV002942 ASSOCIATES, F/A/O	10/03/2018 QGV002942 ASSOCIATES, F/A/O	ABBOTT LABORATORIES S	-133,986.38	73.20	10.00	63.20	70.0	41.24
10/04/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 8,9,811.88 41.20 10.00 31.20 70.0 18. 10/05/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,696.96 4.88 10.00 5.12 70.0 61. 10/10/2018 QGV002942 ASSOCIATES, F/A/O EATON CORPORATION PLC B 10,00/2018 QGV002942 ASSOCIATES, F/A/O INGEVITY CORPORATION COM S -231.388.01 103.60 10.00 93.60 70.0 62. 10/10/2018 QGV002942 ASSOCIATES, F/A/O HARDON TROUBLES IN S -126,082.42 316.00 10.00 366.00 70.0 121. 10/15/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -10/10/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/10/19/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 230,460.13 117.60 10.00 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 25,644.03 116.00 10.00 10.00 106.00 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 274.00 70.0 188. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 274.00 70.0 188. 10/29/2018 QGV002959 PERSHING LLC BROADEINGE FINANCIAL SOLUTIONS INC S -133,222.83 38.80 10.00 28.80 70.0 170. 10/19/2018 QGV002959 PERSHING LLC ARRES'S INC BROADEINGE FINANCIAL SOLUTIONS INC S -133,222.83 38.80 10.00 247.20 70.0 170. 10/10/2018 QGV002959 PERSHING LLC ARRES'S INC BROADEINGE FINANCIAL SOLUTIONS INC S -135,034.60 155.60 10.00 145.60 70.0 170. 10/10/2018 QGV002959 PERSHING LLC CARTER'S INC BROADEINGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 170. 10/10/2018 QGV002959 PERSHING LLC ARRES'S INC BROADEINGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 170. 10/10/2018 QGV002959 PERSHING LLC ARBOTT LABORATORIES S -512,931.46 286.20 10.00 247.20 70.0 170. 10/10/2018 QGV002959 PERSHING LLC ARBOTT LABORATOR	10/03/2018 QGV002942 ASSOCIATES, F/A/O	CERNER CORPORATION B	332,164.38	206.08	10.00	196.08	70.0	134.26
10/05/2018 QSV002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102,60 10.00 92.60 70.0 62. 10/10/2018 QSV002942 ASSOCIATES, F/A/O INCOMENSIAN STOCK S -124,771.86 102,60 10.00 92.60 70.0 62. 10/10/2018 QSV002942 ASSOCIATES, F/A/O INCOMENSIAN STOCK S -124,771.86 102,60 10.00 92.60 70.0 62. 10/10/2018 QSV002942 ASSOCIATES, F/A/O MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -126,082.42 316.00 10.00 306.00 70.0 211. 10/15/2018 QSV002942 ASSOCIATES, F/A/O GROWEN STOCK S -109,144.61 227.20 10.00 217.20 70.0 149. 10/17/2018 QSV002942 ASSOCIATES, F/A/O GROWEN STOCK S -1-17,259.53 28.14 10.00 18.14 70.0 9. 10/17/2018 QSV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QSV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QSV002942 ASSOCIATES, F/A/O SYNDEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QSV002942 ASSOCIATES, F/A/O SYNDEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QSV002942 ASSOCIATES, F/A/O ROBER S -147,062.30 480.80 10.00 20.00 10.00 107.60 70.0 72. 10/25/2018 QSV002942 ASSOCIATES, F/A/O SYNDEX CORP COM B 230,460.13 117.60 10.00 10.00 107.60 70.0 72. 10/25/2018 QSV002942 ASSOCIATES, F/A/O SYNDEX CORP COM B 230,460.13 117.60 10.00 10.00 274.00 70.0 188. 10/29/2018 QSV002942 ASSOCIATES, F/A/O ROBER S -273.854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 QSV002942 ASSOCIATES, F/A/O SOUTH	10/04/2018 QGV002942 ASSOCIATES, F/A/O	ABBOTT LABORATORIES S	-177,931.72	99.40	10.00	89.40	70.0	59.58
10/10/2018 GV0002942 ASSOCIATES, F/A/O BLACK KNIGHT INC COMMON STOCK S -124,771.86 102.60 10.00 92.60 70.0 61. 10/10/2018 GV0002942 ASSOCIATES, F/A/O INGEVITY CORPORATION COM S -231,388.01 103.60 10.00 93.60 70.0 62. 10/10/2018 GV0002942 ASSOCIATES, F/A/O MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -126,082.42 316.00 10.00 306.00 70.0 211. 10/15/2018 GV0002942 ASSOCIATES, F/A/O FORUM ENDERGY TECHNOLOGIES INC COM IS -109,144.61 227.20 10.00 217.20 70.0 149. 10/17/2018 GV0002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -117,729.53 28.14 10.00 18.14 70.0 9. 10/17/2018 GV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 GV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 72. 10/25/2018 GV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 230,460.13 117.60 10.00 10.00 107.60 70.0 72. 10/25/2018 GV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 9.5,644.03 116.00 10.00 10.00 107.60 70.0 71. 10/25/2018 GV002942 ASSOCIATES, F/A/O FCB FIRL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 GV002942 ASSOCIATES, F/A/O FCB FIRL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 GV002942 ASSOCIATES, F/A/O FCB FIRL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 GV002949 PERSHING LLC LANCASTER COLONY CORP S -148,222.83 38.80 10.00 274.00 70.0 170. 10/29/2018 GV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -518,3034.60 155.60 10.00 247.20 70.0 170. 10/01/2018 GV002959 PERSHING LLC CARTER'S INC S -518,3034.60 155.60 10.00 247.20 70.0 170. 10/01/2018 GV002959 PERSHING LLC CARTER'S INC S -518,3034.60 155.60 10.00 247.20 70.0 170. 10/04/2018 GV002959 PERSHING LLC CENER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 GV002959 PERSHING LLC CENER CORPORATION PLC B 34,4967.84 159.52 10.00 247.20 70.0 170. 10/10/2018 GV002959 PERSHING LLC EATON CORPORATION PLC B 34,4967.84 159.52 10.00 75.80 70.0 120. 10/10/2018 GV002959 PERSHING LLC EATON CORPORATION PLC B 34,	10/04/2018 QGV002942 ASSOCIATES, F/A/O	EATON CORPORATION PLC B	89,811.88	41.20	10.00	31.20	70.0	18.84
10/10/2018 GQV002942 ASSOCIATES, F/A/O MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -231,388.01 103.60 10.00 93.60 70.0 62. 10/10/2018 QGV002942 ASSOCIATES, F/A/O FORUM ENERGY TECHNOLOGIS SIN S -126,082.42 316.00 10.00 306.00 70.0 211. 01/15/2018 QGV002942 ASSOCIATES, F/A/O FORUM ENERGY TECHNOLOGIS INC COM IS -109,144.61 227.20 10.00 217.20 70.0 149. 10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -17,259.53 28.14 10.00 18.14 70.0 9. 10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 106.00 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 274.00 70.0 188. 10/25/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 276.00 70.0 141. 10/25/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -145,222.83 38.80 10.00 286.60 70.0 141. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -143,222.83 38.80 10.00 286.60 70.0 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,931.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC ARBOTT LABORATORIES S -519,937.79 28.40 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ARBOTT LABORATORIES S -519,937.79 28.40 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC CERRE CORPORATION PLC B 349,667.84 159.52 10.00 247.20 70.0 170. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 247.20 70.0 170. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 248.40 70.0 170. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 248.40 70.0 149.52 70.0 101. 10/10/2018 QGV	10/05/2018 QGV002942 ASSOCIATES, F/A/O	EATON CORPORATION PLC B	10,696.96	4.88	10.00	-5.12	70.0	-6.58
10/10/2018 QGV002942 ASSOCIATES, F/A/O FORUM ENERGY TECHNOLOGIES INC COM I S -126,082.42 316.00 10.00 306.00 70.0 211. 10/15/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -17,259.53 28.14 10.00 18.14 70.0 9. 10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,052.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,052.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 100.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 276.00 70.0 141. 09/28/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 247.20 70.0 98. 10/01/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -513,034.60 155.60 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 247.20 70.0 170. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 247.20 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC BATON CORPORATION PLC B 34,967.84 159.52 10.00 149.52 70.0 101. 10/05/2018 QGV002959 PERSHING LLC BATON CORPORATION PLC B 34,967.84 159.52 10.00	10/10/2018 QGV002942 ASSOCIATES, F/A/O	BLACK KNIGHT INC COMMON STOCK S	-124,771.86	102.60	10.00	92.60	70.0	61.82
10/15/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -17,255,53 28.14 10.00 18.14 70.0 9. 10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O SYNEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 274.00 70.0 141. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -143,222.83 38.80 10.00 28.80 70.0 141. 10/10/10/10/18 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 141. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -513,034.60 155.60 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,295.90 561.04 10.00 2551.04 70.0 99. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 276.20 70.0 190. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 276.20 70.0 10.1 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 276.20 70.0 10.1 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 276.20 70.0 10.1 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 276.20 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGY SOLUTIONS HLDGS IN S -90,661.55 186.60 10.00 176.60 70.0 122. 1	10/10/2018 QGV002942 ASSOCIATES, F/A/O	INGEVITY CORPORATION COM S	-231,388.01	103.60	10.00	93.60	70.0	62.52
10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,259.53 28.14 10.00 18.14 70.0 9. 10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O FCB FINL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 206.60 70.0 141. 10/26/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ARBERT'S INC BROADRIDGE FINANCIAL SOLUTIONS INC S -51,983.79 28.40 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 276.20 70.0 18.20 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 75.80 70.0 190. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 75.80 70.0 10.1 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -191,632.16 85.80 10.00 75.80 70.0 120. 10/15/2018 QGV002959 PERSHING LLC FORUM EMERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 927.40 70.0 646. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646.	10/10/2018 QGV002942 ASSOCIATES, F/A/O	MACOM TECHNOLOGY SOLUTIONS HLDGS IN S	-126,082.42	316.00	10.00	306.00	70.0	211.20
10/17/2018 QGV002942 ASSOCIATES, F/A/O GENERAL ELECTRIC CO S -147,062.30 480.80 10.00 470.80 70.0 326. 10/19/2018 QGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 274.00 70.0 188. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/03/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBORT LABORATORIES S -51,983.79 28.40 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBORT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC BABORT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,676.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 122. 10/10/10/2018 QGV002959 PERSHING LLC GE	10/15/2018 QGV002942 ASSOCIATES, F/A/O	FORUM ENERGY TECHNOLOGIES INC COM I S	-109,144.61	227.20	10.00	217.20	70.0	149.04
10/19/2018 GGV002942 ASSOCIATES, F/A/O SYNNEX CORP COM B 230,460.13 117.60 10.00 107.60 70.0 72. 10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 95,644.03 116.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O FCB FINL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 206.60 70.0 141. 10/29/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 9. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION FLC B 34,878.40 16.00 10.00 6.00 70.0 190. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION FLC B 34,878.40 16.00 10.00 75.80 70.0 101. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION FLC B 349,667.84 159.52 10.00 75.80 70.0 101. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 77.80 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 70.00 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 937.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 97.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 50.00 70.0 70.0 32.	10/17/2018 QGV002942 ASSOCIATES, F/A/O	GENERAL ELECTRIC CO S	-17,259.53	28.14	10.00	18.14	70.0	9.70
10/25/2018 QGV002942 ASSOCIATES, F/A/O ALTRA INDL MOTION CORP COM B 9,644.03 116.00 10.00 106.00 70.0 71. 10/25/2018 QGV002942 ASSOCIATES, F/A/O FCB FINL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/25/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 206.60 70.0 141. 09/28/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 288.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBORT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBORT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 00.00 00.00 70.00 32.	10/17/2018 QGV002942 ASSOCIATES, F/A/O	GENERAL ELECTRIC CO S	-147,062.30	480.80	10.00	470.80	70.0	326.56
10/25/2018 QGV002942 ASSOCIATES, F/A/O FCB FINL HLDGS COM SHS A S -273,854.10 284.00 10.00 274.00 70.0 188. 10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 206.60 70.0 141. 09/28/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBORT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBORT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/19/2018 QGV002942 ASSOCIATES, F/A/O	SYNNEX CORP COM B	230,460.13	117.60	10.00	107.60	70.0	72.32
10/29/2018 QGV002942 ASSOCIATES, F/A/O WOLVERINE WORLD WIDE INC COMMON STO S -185,207.08 216.60 10.00 206.60 70.0 141. 09/28/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 276.20 70.0 190. 10/10/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 10.10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/25/2018 QGV002942 ASSOCIATES, F/A/O	ALTRA INDL MOTION CORP COM B	95,644.03	116.00	10.00	106.00	70.0	71.20
09/28/2018 QGV002959 PERSHING LLC LANCASTER COLONY CORP S -143,222.83 38.80 10.00 28.80 70.0 17. 10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CENDER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 344,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 50.00 70.0 32.	10/25/2018 QGV002942 ASSOCIATES, F/A/O	FCB FINL HLDGS COM SHS A S	-273,854.10	284.00	10.00	274.00	70.0	188.80
10/01/2018 QGV002959 PERSHING LLC BROADRIDGE FINANCIAL SOLUTIONS INC S -513,034.60 155.60 10.00 145.60 70.0 98. 10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/29/2018 QGV002942 ASSOCIATES, F/A/O	WOLVERINE WORLD WIDE INC COMMON STO S	-185,207.08	216.60	10.00	206.60	70.0	141.62
10/01/2018 QGV002959 PERSHING LLC CARTER'S INC B 642,543.47 257.20 10.00 247.20 70.0 170. 10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	09/28/2018 QGV002959 PERSHING LLC	LANCASTER COLONY CORP S	-143,222.83	38.80	10.00	28.80	70.0	17.16
10/03/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -51,983.79 28.40 10.00 18.40 70.0 9. 10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/01/2018 QGV002959 PERSHING LLC	BROADRIDGE FINANCIAL SOLUTIONS INC S	-513,034.60	155.60	10.00	145.60	70.0	98.92
10/03/2018 QGV002959 PERSHING LLC CERNER CORPORATION B 904,296.90 561.04 10.00 551.04 70.0 382. 10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/01/2018 QGV002959 PERSHING LLC	CARTER'S INC B	642,543.47	257.20	10.00	247.20	70.0	170.04
10/04/2018 QGV002959 PERSHING LLC ABBOTT LABORATORIES S -512,314.46 286.20 10.00 276.20 70.0 190. 10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/03/2018 QGV002959 PERSHING LLC	ABBOTT LABORATORIES S	-51,983.79	28.40	10.00	18.40	70.0	9.88
10/04/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 34,878.40 16.00 10.00 6.00 70.0 1. 10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/03/2018 QGV002959 PERSHING LLC	CERNER CORPORATION B	904,296.90	561.04	10.00	551.04	70.0	382.73
10/05/2018 QGV002959 PERSHING LLC EATON CORPORATION PLC B 349,667.84 159.52 10.00 149.52 70.0 101. 10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/04/2018 QGV002959 PERSHING LLC	ABBOTT LABORATORIES S	-512,314.46	286.20	10.00	276.20	70.0	190.34
10/10/2018 QGV002959 PERSHING LLC INGEVITY CORPORATION COM S -191,632.16 85.80 10.00 75.80 70.0 50. 10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/04/2018 QGV002959 PERSHING LLC	EATON CORPORATION PLC B	34,878.40	16.00	10.00	6.00	70.0	1.20
10/10/2018 QGV002959 PERSHING LLC MACOM TECHNOLOGY SOLUTIONS HLDGS IN S -103,100.31 258.40 10.00 248.40 70.0 170. 10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/05/2018 QGV002959 PERSHING LLC	EATON CORPORATION PLC B	349,667.84	159.52	10.00	149.52	70.0	101.66
10/15/2018 QGV002959 PERSHING LLC FORUM ENERGY TECHNOLOGIES INC COM I S -90,601.55 188.60 10.00 178.60 70.0 122. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/10/2018 QGV002959 PERSHING LLC	INGEVITY CORPORATION COM S	-191,632.16	85.80	10.00	75.80	70.0	50.06
10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -56,891.82 186.00 10.00 176.00 70.0 120. 10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/10/2018 QGV002959 PERSHING LLC	MACOM TECHNOLOGY SOLUTIONS HLDGS IN S	-103,100.31	258.40	10.00	248.40	70.0	170.88
10/17/2018 QGV002959 PERSHING LLC GENERAL ELECTRIC CO S -574,949.60 937.40 10.00 927.40 70.0 646. 10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/15/2018 QGV002959 PERSHING LLC	FORUM ENERGY TECHNOLOGIES INC COM I S	-90,601.55	188.60	10.00	178.60	70.0	122.02
10/19/2018 QGV002959 PERSHING LLC SYNNEX CORP COM B 117,581.70 60.00 10.00 50.00 70.0 32.	10/17/2018 QGV002959 PERSHING LLC	GENERAL ELECTRIC CO S	-56,891.82	186.00	10.00	176.00	70.0	120.20
	10/17/2018 QGV002959 PERSHING LLC	GENERAL ELECTRIC CO S	-574,949.60	937.40	10.00	927.40	70.0	646.18
10/25/2010 OCKNOOOSS DEDCHING II A DIEDA INDI MORION CODD COM D 01/27/22 00/00 10/00 00/00 70/0	10/19/2018 QGV002959 PERSHING LLC	SYNNEX CORP COM B	117,581.70	60.00	10.00	50.00	70.0	32.00
10/25/2010 QGV00253 FERSHING LLC ALIKA INDL MOIION CORP COM B 81,62/.23 99.00 10.00 89.00 /0.0 59.	10/25/2018 QGV002959 PERSHING LLC	ALTRA INDL MOTION CORP COM B	81,627.23	99.00	10.00	89.00	70.0	59.30

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE CLIENT		INVEST	IENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
				BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: STO	OCKS			DICORDIC	1144101						
		FCB FINL	HLDGS COM SH	S A	S	-222,361.82	230.60	10.00	220.60	70.0	151.42
10/25/2018 QGV002959 PER			RGY TECHNOLO			-4,246.35	20.00	10.00	10.00	70.0	4.00
10/25/2018 QGV002959 PER		SYNNEX CO			В	71,944.80	37.20	10.00	27.20	70.0	16.04
10/29/2018 QGV002959 PER			WORLD WIDE	INC COMMON	I STO S	-153,056.64	179.00	10.00	169.00	70.0	115.30
09/28/2018 QGV006034 BAN	K OF AMERICA	LANCASTER	COLONY CORP		S	-12,550.45	3.40	10.00	-6.60	70.0	-7.62
10/01/2018 QGV006034 BAN	K OF AMERICA	CARTER'S	INC		В	68,951.01	27.60	10.00	17.60	70.0	9.32
10/01/2018 QGV006034 BAN	K OF AMERICA	FORWARD A	AIR CORP COM		В	75,041.10	41.60	10.00	31.60	70.0	19.12
10/03/2018 QGV006034 BAN	K OF AMERICA	ABBOTT LA	ABORATORIES		S	-8,785.99	4.80	10.00	-5.20	70.0	-6.64
10/03/2018 QGV006034 BAN	K OF AMERICA	GENERAL N	MILLS INC		В	12,039.16	11.20	10.00	1.20	70.0	-2.16
10/03/2018 QGV006034 BAN	K OF AMERICA	KNOLL INC	C COM NEW		В	13,096.61	22.80	10.00	12.80	70.0	5.96
10/04/2018 QGV006034 BAN	K OF AMERICA	ABBOTT LA	ABORATORIES		S	-51,553.66	28.80	10.00	18.80	70.0	10.16
10/04/2018 QGV006034 BAN	K OF AMERICA	EATON COR	RPORATION PLC		В	6,103.72	2.80	10.00	-7.20	70.0	-8.04
10/08/2018 QGV006034 BAN	K OF AMERICA	EATON COR	RPORATION PLC		В	35,453.56	16.40	10.00	6.40	70.0	1.48
10/10/2018 QGV006034 BAN	K OF AMERICA	INGEVITY	CORPORATION	COM	S	-21,888.06	9.80	10.00	-0.20	70.0	-3.14
10/10/2018 QGV006034 BAN	K OF AMERICA	MACOM TEC	CHNOLOGY SOLU	TIONS HLDG	S IN S	-12,049.65	30.20	10.00	20.20	70.0	11.14
10/15/2018 QGV006034 BAN	K OF AMERICA	FORUM EN	RGY TECHNOLO	GIES INC C	COM IS	-10,904.85	22.70	10.00	12.70	70.0	5.89
10/17/2018 QGV006034 BAN	K OF AMERICA	GENERAL I	LECTRIC CO		S	-9,971.36	32.60	10.00	22.60	70.0	12.82
10/17/2018 QGV006034 BAN	K OF AMERICA	GENERAL I	LECTRIC CO		S	-56,532.76	92.60	10.00	82.60	70.0	54.82
10/19/2018 QGV006034 BAN	K OF AMERICA	SYNNEX CO	ORP COM		В	21,164.71	10.80	10.00	0.80	70.0	-2.44
10/25/2018 QGV006034 BAN	K OF AMERICA	ALTRA INI	L MOTION COR	P COM	В	8,245.18	10.00	10.00	0.00	70.0	-3.00
10/25/2018 QGV006034 BAN	K OF AMERICA	FCB FINL	HLDGS COM SH	S A	S	-27,771.12	28.80	10.00	18.80	70.0	10.16
10/29/2018 QGV006034 BAN	K OF AMERICA	WOLVERINE	WORLD WIDE	INC COMMON	STO S	-19,837.51	23.20	10.00	13.20	70.0	6.24
			* ST	OCKS SUBTO	TAL *	\$6,079,750.79	\$12,874.12	\$1,330.00	\$11,544.12		\$7,681.89
PRODUCT CATEGORY: OPT	'IONS										
10/09/2018 75500360 BOYC	E, ANN HAGERTY	PUT BABA	01/18/19	200	В	51,750.00	40.00	10.00	30.00	70.0	18.00
10/11/2018 75500360 BOYC	E, ANN HAGERTY	PUT MSF	06/21/19	110	В	5,235.00	40.00	10.00	30.00	70.0	18.00
10/11/2018 75500360 BOYC	E, ANN HAGERTY	PUT NVD	01/18/19	230	В	16,130.00	30.00	10.00	20.00	70.0	11.00
10/11/2018 75500360 BOYC	E, ANN HAGERTY	PUT UNH	06/21/19	240	В	5,155.00	40.00	10.00	30.00	70.0	18.00
10/12/2018 75500360 BOYC	E, ANN HAGERTY	PUT NVD	06/21/19	230	S	11,285.00	30.00	10.00	20.00	70.0	11.00
10/25/2018 75500360 BOYC	E, ANN HAGERTY	CALL SPY	01/20/17	222	S	15,474.00	289.43	10.00	279.43	70.0	192.60
10/25/2018 75500360 BOYC	E, ANN HAGERTY	PUT AMD	04/18/19	32	В	6,800.00	20.00	10.00	10.00	70.0	4.00
10/25/2018 75500360 BOYC	E, ANN HAGERTY	PUT NFL	01/18/19	330	В	11,235.00	25.00	10.00	15.00	70.0	7.50
10/26/2018 75500360 BOYC	E, ANN HAGERTY	PUT HD	06/21/19	185	В	15,240.00	30.00	10.00	20.00	70.0	11.00
10/11/2018 75500361 BOYC	E, JOHN C	PUT GILI	02/15/19	80	В	8,410.00	40.00	10.00	30.00	70.0	18.00
10/22/2018 75500361 BOYC	E, JOHN C	PUT ADBI	06/21/19	270	В	11,424.00	15.00	10.00	5.00	70.0	0.50
10/22/2018 75500361 BOYC	E, JOHN C	PUT BABA	01/18/19	180	В	34,150.00	20.00	10.00	10.00	70.0	4.00
10/30/2018 75500361 BOYC	E, JOHN C	CALL AABA	11/09/18	64.50	S	1,900.00	25.00	10.00	15.00	70.0	7.50
10/02/2018 75500368 PETR	, THOMAS	PUT NVDA	06/21/19	270	S	59,740.00	100.00	10.00	90.00	70.0	60.00
10/02/2018 75500368 PETR	, THOMAS	PUT NVD	01/18/19	230	В	6,300.00	100.00	10.00	90.00	70.0	60.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE CLIENT	INVESTME	NT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BD∪KED	TDANG	ACTIONS					
			DROKEK	IKANDI	ACTIONS					
PRODUCT CATEGORY: OPTIONS										
10/25/2018 75500370 HUBARD, MARGARET	PUT GWPH	01/18/19	140	В	13,679.00	40.00	10.00	30.00	70.0	18.00
10/25/2018 75500370 HUBARD, MARGARET	PUT GWPH	05/17/19	135	S	16,800.00	40.00	10.00	30.00	70.0	18.00
10/26/2018 75500370 HUBARD, MARGARET	PUT ABBV	02/15/19	115	В	41,556.00	50.00	10.00	40.00	70.0	25.00
10/26/2018 75500370 HUBARD, MARGARET	PUT AMD	04/18/19	26	В	8,500.00	25.00	10.00	15.00	70.0	7.50
10/30/2018 75500370 HUBARD, MARGARET	PUT NVDA	06/21/19	270	В	40,000.00	25.00	10.00	15.00	70.0	7.50
10/02/2018 75500385 HUBARD, JOHN	PUT MIC	04/18/19	47.50	S	4,430.00	50.00	10.00	40.00	70.0	25.00
10/25/2018 75500385 HUBARD, JOHN	CALL C	12/21/18	75	В	264.00	40.00	10.00	30.00	70.0	18.00
10/26/2018 75500385 HUBARD, JOHN	PUT AMD	04/18/19	32	В	15,300.00	40.00	10.00	30.00	70.0	18.00
10/02/2018 75500424 JOHN B HUBARD TTEE	PUT AXP	01/18/19	100	В	1,584.00	50.00	10.00	40.00	70.0	25.00
10/02/2018 75500424 JOHN B HUBARD TTEE	PUT AXP	04/18/19	110	S	10,050.00	50.00	10.00	40.00	70.0	25.00
10/02/2018 75500443 GOOD, TIMOTHY	PUT COP	06/21/19	85	S	9,780.00	50.00	10.00	40.00	70.0	25.00
10/02/2018 75500443 GOOD, TIMOTHY	PUT COP	01/18/19	67.50	В	790.00	50.00	10.00	40.00	70.0	25.00
10/03/2018 75500443 GOOD, TIMOTHY	CALL SA	02/15/19	14	S	2,700.00	40.00	10.00	30.00	70.0	18.00
10/03/2018 75500443 GOOD, TIMOTHY	PUT AAPL	02/15/19	200	В	3,290.00	50.00	10.00	40.00	70.0	25.00
10/03/2018 75500443 GOOD, TIMOTHY	PUT AAPL	06/21/19	200	S	22,200.00	60.00	10.00	50.00	70.0	32.00
10/03/2018 75500443 GOOD, TIMOTHY	PUT AXP	04/18/19	110	S	9,810.00	50.00	10.00	40.00	70.0	25.00
10/03/2018 75500443 GOOD, TIMOTHY	PUT AXP	01/18/19	105	В	4,235.00	50.00	10.00	40.00	70.0	25.00
10/26/2018 75500443 GOOD, TIMOTHY	PUT NVDA	01/18/19	255	В	57,950.00	50.00	10.00	40.00	70.0	25.00
09/28/2018 75501338 BOYCE, ROGER F	PUT AAPL	06/21/19	200	S	3,982.00	25.00	10.00	15.00	70.0	7.50
09/28/2018 75501338 BOYCE, ROGER F	PUT SQ	03/15/19	65	В	300.00	25.00	10.00	15.00	70.0	7.50
09/28/2018 75501338 BOYCE, ROGER F	PUT SQ	03/15/19	110	S	3,723.00	25.00	10.00	15.00	70.0	7.50
		* OPI	IONS SUBTO	TAL *	\$531,151.00	\$1,729.43	\$360.00	\$1,369.43		\$850.60
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS									
10/31/2018	FEE COUNT	- 5			0.00	98.04	0.00	98.04	70.0	68.62
	* MUTUAL F	JND 12B1 TR	AILS SUBTO	TAL *	\$0.00	\$98.04	\$0.00	\$98.04		\$68.62
	*** BRO	KER TRANSAC	TIONS TOTA	L ***	\$6,610,901.79	\$14,701.59	\$1,690.00	\$13,011.59	,	\$8,601.11
			AD	JUSTME	NTS					
10/31/2018	OTHER: EZE	CASTLE INV	7#CIN047840		0.00	0.00	0.00	0.00		-700.00
10/31/2018	MISCELLANE	OUS: MONTHI	LY FIX CONN	ECTI	0.00	0.00	0.00	0.00		-175.00
		*** ADJUSI	MENTS TOTA	L ***	\$0.00	\$0.00	\$0.00	\$0.00	1	\$-875.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,601.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-875.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-18.38
SIPC Assessment	\$-36.75

Check Amount	\$7,670.98
Year-to-date Earnings	\$184,124.92