

COMMISSION STATEMENT
November 30, 2018

HARPER DPM

BROKER #:
BRANCH: DPM

, TX

COMMISSION STATEMENT for HARPER DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

11/15/2018 430222589 KING, MICHAEL	S	UPLAND SOFTWARE INC	S	-10,408.34	15.00	15.00	0.00	0.0	0.00
				<u>* STOCKS SUBTOTAL *</u>	<u>\$-10,408.34</u>	<u>\$15.00</u>	<u>\$15.00</u>	<u>\$0.00</u>	<u>\$0.00</u>
				<u>*** CLEARING TRANSACTIONS TOTAL ***</u>	<u>\$-10,408.34</u>	<u>\$15.00</u>	<u>\$15.00</u>	<u>\$0.00</u>	<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00