

COMMISSION STATEMENT

November 30, 2018

CHRISTOPHER HAMILTON
21 WEST STREET
APT 6J
NEW YORK, NY 10006

BROKER #: I19 K1H R70
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/16/2018	75501118	BYRNE, MARY	PIONEER CULLEN VALUE FUND CLASS A	S	13,695.84	25.00	10.00	15.00	80.0	12.00
11/16/2018	75501118	BYRNE, MARY	PIONEER HIGH YIELD FUND A	S	23,597.51	25.00	10.00	15.00	80.0	12.00
11/16/2018	75501118	BYRNE, MARY	VANGUARD GNMA FUND	S	24,727.03	25.00	10.00	15.00	80.0	12.00
* MUTUAL FUNDS SUBTOTAL *					\$62,020.38	\$75.00	\$30.00	\$45.00		\$36.00

PRODUCT CATEGORY: STOCKS

10/31/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	67,724.75	0.00	10.00	-10.00	80.0	-8.00
11/02/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	67,972.50	0.00	10.00	-10.00	80.0	-8.00
11/14/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	67,550.00	0.00	10.00	-10.00	80.0	-8.00
11/16/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	68,432.50	0.00	10.00	-10.00	80.0	-8.00
11/23/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	68,445.00	0.00	10.00	-10.00	80.0	-8.00
11/26/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	69,550.00	0.00	10.00	-10.00	80.0	-8.00
10/30/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	130,344.00	0.00	10.00	-10.00	80.0	-8.00
10/30/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	130,344.00	0.00	10.00	-10.00	80.0	-8.00
10/30/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	32,833.74	0.00	10.00	-10.00	80.0	-8.00
10/31/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	131,616.00	0.00	10.00	-10.00	80.0	-8.00
10/31/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	97,458.20	0.00	10.00	-10.00	80.0	-8.00
11/01/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	131,304.00	0.00	10.00	-10.00	80.0	-8.00
11/01/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	S	20,720.00	0.00	10.00	-10.00	80.0	-8.00
11/02/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	132,048.00	0.00	10.00	-10.00	80.0	-8.00
11/02/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	132,048.00	0.00	10.00	-10.00	80.0	-8.00
11/02/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	97,880.40	0.00	10.00	-10.00	80.0	-8.00
11/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	133,056.00	0.00	10.00	-10.00	80.0	-8.00
11/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	133,056.00	0.00	10.00	-10.00	80.0	-8.00
11/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,440.00	0.00	10.00	-10.00	80.0	-8.00
11/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,440.00	0.00	10.00	-10.00	80.0	-8.00
11/12/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	24,104.46	0.00	10.00	-10.00	80.0	-8.00
11/13/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	24,186.06	0.00	10.00	-10.00	80.0	-8.00
11/13/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	33,740.46	0.00	10.00	-10.00	80.0	-8.00
11/14/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	20,067.05	0.00	10.00	-10.00	80.0	-8.00
11/14/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	70,252.00	0.00	10.00	-10.00	80.0	-8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/14/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	B	15,310.32	0.00	10.00	-10.00	80.0	-8.00
11/14/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	S	15,080.00	0.00	10.00	-10.00	80.0	-8.00
11/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	131,150.87	0.00	10.00	-10.00	80.0	-8.00
11/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	131,237.10	0.00	10.00	-10.00	80.0	-8.00
11/15/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	20,135.00	0.00	10.00	-10.00	80.0	-8.00
11/15/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	33,552.00	0.00	10.00	-10.00	80.0	-8.00
11/15/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	70,985.20	0.00	10.00	-10.00	80.0	-8.00
11/16/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	23,886.00	0.00	10.00	-10.00	80.0	-8.00
11/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,704.00	0.00	10.00	-10.00	80.0	-8.00
11/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,704.00	0.00	10.00	-10.00	80.0	-8.00
11/19/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	33,582.00	0.00	10.00	-10.00	80.0	-8.00
11/21/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	23,742.00	0.00	10.00	-10.00	80.0	-8.00
11/23/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	32,874.00	0.00	10.00	-10.00	80.0	-8.00
11/23/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	71,077.50	0.00	10.00	-10.00	80.0	-8.00
11/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	131,544.00	0.00	10.00	-10.00	80.0	-8.00
11/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	131,544.00	0.00	10.00	-10.00	80.0	-8.00
11/26/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	72,095.43	0.00	10.00	-10.00	80.0	-8.00
11/28/2018	75500517	FAKLER, LEO AT&T INC	B	30,539.00	303.00	10.00	293.00	80.0	234.40
10/31/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	97,642.08	50.00	10.00	40.00	80.0	32.00
11/02/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	97,880.40	50.00	10.00	40.00	80.0	32.00
11/09/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	108,000.00	50.00	10.00	40.00	80.0	32.00
11/12/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	109,767.96	50.00	10.00	40.00	80.0	32.00
11/14/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	97,272.00	50.00	10.00	40.00	80.0	32.00
11/15/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	49,143.60	25.00	10.00	15.00	80.0	12.00
11/16/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	49,271.40	50.00	10.00	40.00	80.0	32.00
11/14/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST	B	29,722.00	15.00	10.00	5.00	80.0	4.00
11/15/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST	S	15,016.10	0.00	10.00	-10.00	80.0	-8.00
11/16/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST	S	15,055.15	15.00	10.00	5.00	80.0	4.00
11/23/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST	B	28,957.50	15.00	10.00	5.00	80.0	4.00
11/26/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST	S	29,425.00	0.00	10.00	-10.00	80.0	-8.00
11/02/2018	75500814	KARLIN, RONALD PUMA BIOTECHNOLOGY INC	S	7,920.16	25.00	10.00	15.00	80.0	12.00
11/09/2018	75500814	KARLIN, RONALD RIOT BLOCKCHAIN INC COM	S	1,280.75	0.00	10.00	-10.00	80.0	-8.00
11/14/2018	75500816	KERN, DOUGLAS SPDR S&P 500 ETF TRUST	B	148,610.00	50.00	10.00	40.00	80.0	32.00
11/15/2018	75500816	KERN, DOUGLAS SPDR S&P 500 ETF TRUST	S	75,080.50	50.00	10.00	40.00	80.0	32.00
11/16/2018	75500816	KERN, DOUGLAS SPDR S&P 500 ETF TRUST	S	75,275.75	50.00	10.00	40.00	80.0	32.00
11/23/2018	75500816	KERN, DOUGLAS SPDR S&P 500 ETF TRUST	B	147,420.00	50.00	10.00	40.00	80.0	32.00
11/26/2018	75500816	KERN, DOUGLAS SPDR S&P 500 ETF TRUST	S	149,800.00	50.00	10.00	40.00	80.0	32.00
11/19/2018	75500829	GRAFSTEIN, ALLEN APPLE INC	B	185,744.10	50.00	10.00	40.00	80.0	32.00
11/02/2018	75500845	GERSHMAN, WENDIE EXPRESS SCRIPTS HOLDING COMPANY	S	37,969.39	75.00	10.00	65.00	80.0	52.00
11/02/2018	75500845	GERSHMAN, WENDIE WAL-MART STORES INC	S	50,306.00	75.00	10.00	65.00	80.0	52.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/28/2018	75500854 LERNER, PETER	NUTANIX INC CL A	S	157,150.00	50.00	10.00	40.00	80.0	32.00
10/31/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	48,794.99	25.00	10.00	15.00	80.0	12.00
11/02/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	48,940.20	25.00	10.00	15.00	80.0	12.00
11/14/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	48,636.00	25.00	10.00	15.00	80.0	12.00
11/15/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	24,571.80	25.00	10.00	15.00	80.0	12.00
11/16/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	24,635.70	25.00	10.00	15.00	80.0	12.00
11/23/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	47,385.00	25.00	10.00	15.00	80.0	12.00
11/26/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	48,150.00	25.00	10.00	15.00	80.0	12.00
11/20/2018	75500926 CONGREGATION DARCHEI	GOLDMAN SACHS GROUP INC	S	9,467.78	50.00	10.00	40.00	80.0	32.00
11/13/2018	75500931 RON KARP AND/OR	FORESCOUT TECHNOLOGIES INC COM	B	26,100.00	50.00	10.00	40.00	80.0	32.00
11/09/2018	75500948 BIEL, LYNN	ADOBE SYSTEMS INC	B	29,460.54	324.00	10.00	314.00	80.0	251.20
11/09/2018	75500948 BIEL, LYNN	GENERAL MILLS INC	B	44,588.50	489.00	10.00	479.00	80.0	383.20
11/09/2018	75500948 BIEL, LYNN	MERCK & CO INC	S	22,621.50	609.00	10.00	599.00	80.0	479.20
11/09/2018	75500948 BIEL, LYNN	PFIZER INCORPORATED	S	32,971.05	791.00	10.00	781.00	80.0	624.80
11/15/2018	75500972 GRAFSTEIN, RACHEL	SCE TR VI TR PREF SECS 5.00% QUARTE B	B	17,472.60	50.00	10.00	40.00	80.0	32.00
11/13/2018	75500978 LERNER, SUSAN	FEDEX CORP	S	112,500.00	50.00	10.00	40.00	80.0	32.00
11/13/2018	75500978 LERNER, SUSAN	GENERAL ELECTRIC CO	S	67,500.00	75.00	10.00	65.00	80.0	52.00
11/16/2018	75500978 LERNER, SUSAN	WEST PHARMACEUTICAL SVCS INC COM	S	55,000.00	50.00	10.00	40.00	80.0	32.00
11/20/2018	75500978 LERNER, SUSAN	ORION ENGINEERED CARBONS S A COM	S	61,250.00	75.00	10.00	65.00	80.0	52.00
11/28/2018	75500978 LERNER, SUSAN	ADOBE SYSTEMS INC	S	122,500.00	50.00	10.00	40.00	80.0	32.00
11/28/2018	75500978 LERNER, SUSAN	BROOKS AUTOMATION INC NEW COM	S	37,500.00	50.00	10.00	40.00	80.0	32.00
11/28/2018	75500978 LERNER, SUSAN	NUTANIX INC CL A	S	190,825.00	50.00	10.00	40.00	80.0	32.00
11/28/2018	75500978 LERNER, SUSAN	REGENERON PHARMACEUTICALS INC	S	133,875.00	50.00	10.00	40.00	80.0	32.00
11/15/2018	75501000 GRAFSTEIN, RACHEL	SCE TR VI TR PREF SECS 5.00% QUARTE B	B	17,458.90	50.00	10.00	40.00	80.0	32.00
11/12/2018	75501084 ROTH, JOSEPH	GENERAL ELECTRIC CO	B	4,000.00	50.00	10.00	40.00	80.0	32.00
11/14/2018	75501171 LERNER, PETER	MINERALS TECHNOLOGIES INC	S	131,100.00	69.00	10.00	59.00	80.0	47.20
11/20/2018	75501192 ALLEN GRAFSTEIN	GOLDMAN SACHS GROUP INC	B	192,000.00	50.00	10.00	40.00	80.0	32.00
11/15/2018	75501341 GRAFSTEIN, LEO	SCE TR VI TR PREF SECS 5.00% QUARTE B	B	17,458.90	50.00	10.00	40.00	80.0	32.00
11/01/2018	QGW019903 KARP, SELWYN	AFFIMED N V COM ISIN#NL0010872420	B	35,542.00	100.00	10.00	90.00	80.0	72.00
10/31/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	18,856.00	80.00	10.00	70.00	80.0	56.00
10/31/2018	QGW019929 KARP, JEFFREY	TATA MOTORS LTD SPONSORED ADR	S	-48,800.00	100.00	10.00	90.00	80.0	72.00
11/01/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6	S	-26,840.00	80.00	10.00	70.00	80.0	56.00
11/02/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	B	30,552.00	80.00	10.00	70.00	80.0	56.00
11/02/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6	S	-26,801.60	80.00	10.00	70.00	80.0	56.00
11/14/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6	B	23,988.00	80.00	10.00	70.00	80.0	56.00
11/14/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6	B	22,174.00	80.00	10.00	70.00	80.0	56.00
11/14/2018	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	B	48,839.60	50.00	10.00	40.00	80.0	32.00
11/16/2018	QGW019929 KARP, JEFFREY	BED BATH & BEYOND INC	B	25,190.20	50.00	10.00	40.00	80.0	32.00
11/16/2018	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	S	-52,284.40	50.00	10.00	40.00	80.0	32.00
11/23/2018	QGW019929 KARP, JEFFREY	BED BATH & BEYOND INC	S	-25,788.20	50.00	10.00	40.00	80.0	32.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/23/2018	QGW019929 KARP, JEFFREY	COMPANHIA ENERGETICA DE MINAS GERAIS		-12,528.00	80.00	10.00	70.00	80.0	56.00
11/23/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6 B		18,964.80	80.00	10.00	70.00	80.0	56.00
11/23/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6 B		18,797.20	80.00	10.00	70.00	80.0	56.00
11/23/2018	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	40,640.00	80.00	10.00	70.00	80.0	56.00
11/23/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037 S		-26,962.40	80.00	10.00	70.00	80.0	56.00
11/27/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6 B		16,472.80	80.00	10.00	70.00	80.0	56.00
11/27/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6 B		16,938.40	80.00	10.00	70.00	80.0	56.00
11/27/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037 S		-26,738.40	80.00	10.00	70.00	80.0	56.00
11/27/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037 S		-20,040.00	60.00	10.00	50.00	80.0	40.00
11/01/2018	QGW019937 KARP, BARBARA	AFFIMED N V COM ISIN#NL0010872420 B		8,849.75	50.00	10.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *				\$6,814,850.59	\$6,085.00	\$1,150.00	\$4,935.00		\$3,948.00

PRODUCT CATEGORY: OPTIONS

11/12/2018	75500514 HAMILTON, CHRISTOPHER PUT	SPY	11/16/18	274.50	S	238.00	0.00	10.00	-10.00	80.0	-8.00
11/16/2018	75500514 HAMILTON, CHRISTOPHER CALL	SPY	11/30/18	270	S	340.00	0.00	10.00	-10.00	80.0	-8.00
11/16/2018	75500514 HAMILTON, CHRISTOPHER PUT	SPY	11/16/18	274.50	B	64.00	0.00	10.00	-10.00	80.0	-8.00
11/06/2018	75500528 KALOS, LINDA	CALL OXY	11/16/18	75	B	520.00	25.00	10.00	15.00	80.0	12.00
11/06/2018	75500528 KALOS, LINDA	CALL OXY	02/15/19	65	S	8,100.00	50.00	10.00	40.00	80.0	32.00
11/12/2018	75500529 KALOS, PAUL	CALL SPY	11/30/18	270	S	2,924.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$12,186.00		\$125.00	\$60.00	\$65.00			\$52.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018	FEE COUNT - 36					0.00	529.62	0.00	529.62	80.0	423.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00		\$529.62	\$0.00	\$529.62			\$423.71
*** BROKER TRANSACTIONS TOTAL ***				\$6,889,056.97		\$6,814.62	\$1,240.00	\$5,574.62			\$4,459.71

ADJUSTMENTS

11/30/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI				0.00	0.00	0.00	0.00			-2.00
11/30/2018	MISCELLANEOUS: FED INS				0.00	0.00	0.00	0.00			-279.36
11/30/2018	COMMISSION INCOME: SULLIVAN OVERRID				0.00	0.00	0.00	0.00			1,286.68
11/30/2018	OTHER: 360 OFFICE				0.00	0.00	0.00	0.00			-12.00
11/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00			-20.00
11/30/2018	OTHER: THOMSON				0.00	0.00	0.00	0.00			-112.86
11/30/2018	OTHER: DISABILITY INSURANCE				0.00	0.00	0.00	0.00			-2.04
11/30/2018	OTHER: EXCHANGE FEES				0.00	0.00	0.00	0.00			-55.66
11/30/2018	OTHER: WORKMAN COMP				0.00	0.00	0.00	0.00			-133.34
*** ADJUSTMENTS TOTAL ***				\$0.00		\$0.00	\$0.00	\$0.00			\$669.42

BROKER COMMISSION TOTALS

Broker Transactions	\$4,459.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$669.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.52
SIPC Assessment	\$-17.04

Check Amount	\$5,103.57
Year-to-date Earnings	\$42,981.04