

# COMMISSION STATEMENT October 31, 2018

 ALAN SMITH-D206  
 1900 NW Loop 410

 BROKER #: D206  
 BRANCH: DMR

San Antonio, TX 78213

**COMMISSION STATEMENT for ALAN SMITH-D206**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
10/19/2018	mfc892289 SMITH ALAN 892289	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.64	0.00	1.64	80.0	1.31
10/19/2018	mfc892289 SMITH ALAN 892289	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.68	0.00	1.68	80.0	1.34
10/19/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	33.80	0.00	33.80	80.0	27.04
10/19/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	294.48	0.00	294.48	80.0	235.58
10/19/2018	mfc892289 SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	93.17	0.00	93.17	80.0	74.54
10/19/2018	mfc892289 SMITH ALAN 892289	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	29.84	0.00	29.84	80.0	23.87
10/19/2018	mfc892289 SMITH ALAN 892289	MFT THE INCOME FUND OF AMERICA-A	B	0.00	78.70	0.00	78.70	80.0	62.96
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$533.31</b>	<b>\$0.00</b>	<b>\$533.31</b>		<b>\$426.64</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$533.31</b>	<b>\$0.00</b>	<b>\$533.31</b>		<b>\$426.64</b>

**DIRECT TRANSACTIONS**

<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
10/12/2018	00088400986 MERCER, JETT	MF AMERICAN FUNDS 2025 TARGET	B	54,000.00	1,485.00	0.00	1,485.00	80.0	1,188.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$54,000.00</b>	<b>\$1,485.00</b>	<b>\$0.00</b>	<b>\$1,485.00</b>		<b>\$1,188.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$54,000.00</b>	<b>\$1,485.00</b>	<b>\$0.00</b>	<b>\$1,485.00</b>		<b>\$1,188.00</b>

**ADJUSTMENTS TRANSACTIONS**

<b>BROKER: #0</b>									
10/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018		: BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00		-30.00
10/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018		: E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00		-25.00
10/31/2018		: E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00		-25.00
<b>* SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-135.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-135.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,614.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$1,479.64</b>
<b>Year-to-date Commissions</b>	<b>\$41,695.82</b>