

COMMISSION STATEMENT November 30, 2018

 BRIAN MAPES-D040
 6936 Angling Road

 BROKER #: D040
 BRANCH: DMN

Portage, MI 49024

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B		0.00	0.52	0.00	0.52	85.0	0.44
11/21/2018 mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B		0.00	14.10	0.00	14.10	85.0	11.99
11/21/2018 mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B		0.00	38.63	0.00	38.63	85.0	32.84
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$53.25	\$0.00	\$53.25		\$45.27
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$53.25	\$0.00	\$53.25		\$45.27

DIRECT TRANSACTIONS
PRODUCT CATEGORY: VARIABLE ANNUITIES

11/16/2020 7200000477	HUBBS	VA SAMMONS FINANCIAL	B	132,344.43	55.15	0.00	55.15	85.0	46.88
11/21/2020 7200000957	HOARD	VA SAMMONS FINANCIAL	B	164,198.02	68.41	0.00	68.41	85.0	58.15
11/19/2020 7200024360	DUMLAO	VA SAMMONS FINANCIAL	B	66,869.94	27.86	0.00	27.86	85.0	23.68
11/19/2020 7200026184	HOARD STAFFORD,	VA SAMMONS FINANCIAL	B	187,912.57	78.29	0.00	78.29	85.0	66.55
* VARIABLE ANNUITIES SUBTOTAL *				\$551,324.96	\$229.71	\$0.00	\$229.71		\$195.26

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None)	VARIOUS, MAPES	MFT JOHN HANCOCK FREEDOM	B	0.00	21.88	0.00	21.88	85.0	18.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$21.88	\$0.00	\$21.88		\$18.60

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/12/2018 (None)	RUTLIDGE	VAT MIDLAND NATL LIFE	B	0.00	21.53	0.00	21.53	85.0	18.30
11/13/2018 (None)	HUBBS	VAT MIDLAND NATL LIFE	B	0.00	5.38	0.00	5.38	85.0	4.57
11/15/2018 (None)	PERRY	VAT MIDLAND NATL LIFE	B	0.00	5.99	0.00	5.99	85.0	5.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$32.90	\$0.00	\$32.90		\$27.96
*** DIRECT TRANSACTIONS TOTAL ***				\$551,324.96	\$284.49	\$0.00	\$284.49		\$241.82

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: HAROLD JOHNSON-D217 #36</u>									
10/31/2018 (None)	VARIOUS, JOHNSON	MFT DELWARE	B	0.00	75.68	0.00	75.68		34.06
10/31/2018 (None)	JOHNSBERNA	MFT EATON VANCE	B	0.00	2.30	0.00	2.30		1.04
10/31/2018 (None)	JOHNSBERNA	MFT EATON VANCE	B	0.00	0.57	0.00	0.57		0.26
* HAROLD JOHNSON-D217 SUBTOTAL *				<u>\$0.00</u>	<u>\$78.55</u>	<u>\$0.00</u>	<u>\$78.55</u>		<u>\$35.36</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$78.55</u>	<u>\$0.00</u>	<u>\$78.55</u>		<u>\$35.36</u>

ADJUSTMENTS TRANSACTIONS

<u>BROKER: #0</u>									
11/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$287.09
Split Transactions	\$0.00
Override Transactions	\$35.36
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$137.45
Year-to-date Commissions	\$35,200.42