



COMMISSION STATEMENT

October 29, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/12/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @33.9900						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @33.9900						
10/12/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @33.9900						
10/12/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @33.9900						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @32.2500						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @32.2500						
10/26/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @32.2500						
10/26/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @32.2500						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.7800						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.7800						
10/25/2018	***-**-8464 - OLTMAN, CHAD	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089638005	BUY 2 Units @28.3600						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.2100						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.2100						
10/09/2018	***-**-7938 - WENGERT, CRAIG	AMERICAN FUNDS 2020 TARGET DATE-A	2,466.84	104.84	0.00	104.84	75.0	78.63
	ACCOUNT #: 04001087396	BUY 185 Units @13.3700						
10/10/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	40.43	1.52	0.00	1.52	75.0	1.14
	ACCOUNT #: 00085629087	BUY 3 Units @13.1200						
10/10/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @13.1200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/10/2018	***-**-7938 - WENGERT, CRAIG ACCOUNT #: 04001087396	AMERICAN FUNDS 2020 TARGET DATE-A BUY 190 Units @13.1900	2,500.00	106.25	0.00	106.25	75.0	79.69
10/12/2018	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 1 Units @12.8100	11.25	0.23	0.00	0.23	75.0	0.17
10/12/2018	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.8100	25.00	0.50	0.00	0.50	75.0	0.38
10/24/2018	***-**-1060 - DUNLAP, DONNA ACCOUNT #: 00085629087	AMERICAN FUNDS 2020 TARGET DATE-A BUY 3 Units @12.8500	40.94	1.54	0.00	1.54	75.0	1.16
10/24/2018	***-**-1060 - DUNLAP, DONNA ACCOUNT #: 00085629087	AMERICAN FUNDS 2020 TARGET DATE-A BUY 4 Units @12.8500	50.00	1.88	0.00	1.88	75.0	1.41
10/26/2018	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 1 Units @12.6200	11.25	0.23	0.00	0.23	75.0	0.17
10/26/2018	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.6200	25.00	0.50	0.00	0.50	75.0	0.38
10/10/2018	***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.5800	49.62	1.86	0.00	1.86	75.0	1.40
10/10/2018	***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.5800	48.67	1.83	0.00	1.83	75.0	1.37
10/24/2018	***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @14.9900	43.59	1.63	0.00	1.63	75.0	1.22
10/24/2018	***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @14.9900	43.51	1.63	0.00	1.63	75.0	1.22
10/12/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.5300	1.63	0.02	0.00	0.02	75.0	0.02
10/12/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.5300	6.25	0.06	0.00	0.06	75.0	0.05
10/12/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.5300	3.24	0.03	0.00	0.03	75.0	0.02
10/12/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @58.5300	35.77	0.36	0.00	0.36	75.0	0.27
10/26/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @57.6400	2.09	0.02	0.00	0.02	75.0	0.02



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @57.6400						
10/26/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @57.6400						
10/26/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @57.6400						
10/12/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.62	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @18.7500						
10/12/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @18.7500						
10/26/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.62	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @18.7500						
10/26/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @18.7500						
10/10/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @50.9500						
10/10/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @50.9500						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @49.6900						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @49.6900						
10/12/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @49.6900						
10/12/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @49.6900						
10/12/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.63	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @47.9200						
10/12/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @47.9200						
10/12/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @47.9200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/12/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @47.9200						
10/23/2018	***-**-4913 - ELSENBECK, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00082651124	BUY 1 Units @50.6200						
10/24/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @48.5800						
10/24/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @48.5800						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @47.7400						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @47.7400						
10/26/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @47.7400						
10/26/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @47.7400						
10/26/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	2.09	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @46.0200						
10/26/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @46.0200						
10/26/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @46.0200						
10/26/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @46.0200						
10/12/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @63.6200						
10/12/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @63.6200						
10/26/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @61.1100						
10/26/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @61.1100						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/12/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @61.6900						
10/12/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @61.6900						
10/26/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @59.2300						
10/26/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @59.2300						
10/12/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.2000						
10/12/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.2000						
10/26/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @21.7700						
10/26/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 2 Units @21.7700						
10/12/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @44.9200						
10/12/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @44.9200						
10/26/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @42.9100						
10/26/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @42.9100						
10/10/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @62.9600						
10/10/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @62.9600						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @62.1800						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @62.1800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/24/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @61.0300						
10/24/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @61.0300						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @60.1500						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @60.1500						
10/12/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @58.2500						
10/12/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @58.2500						
10/26/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @56.3300						
10/26/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @56.3300						
10/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO 72200Q216	150.00	1.50	0.00	1.50	75.0	1.13
		BUY 0 Units @0.0000						
10/10/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @57.9300						
10/10/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @57.9300						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @56.8100						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @56.8100						
10/12/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @56.8100						
10/12/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @56.8100						
10/24/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @55.1000						



COMMISSION STATEMENT

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JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/24/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @55.1000						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @54.1300						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @54.1300						
10/26/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @54.1300						
10/26/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @54.1300						
10/12/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @48.4300						
10/12/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @48.4300						
10/26/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @46.1300						
10/26/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @46.1300						
10/10/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @12.8500						
10/10/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @12.8500						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.7100						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.7100						
10/24/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @12.8800						
10/24/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @12.8800						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.7400						



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.7400						
10/12/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.64	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.3900						
10/12/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.3900						
10/12/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.3900						
10/12/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.3900						
10/26/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	2.08	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.4200						
10/26/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.4200						
10/26/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.4200						
10/26/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.4200						
10/10/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @55.4500						
10/10/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @55.4500						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @54.5200						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @54.5200						
10/12/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @54.5200						
10/12/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @54.5200						
10/23/2018	***-**-4913 - ELSENBECK, DAVID	THE GROWTH FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00082651124	BUY 1 Units @55.4000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/24/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @52.5100						
10/24/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @52.5100						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @51.6800						
10/26/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @51.6800						
10/26/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @51.6800						
10/26/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @51.6800						
10/12/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.63	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @49.0000						
10/12/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @49.0000						
10/12/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @49.0000						
10/12/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @49.0000						
10/26/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	2.09	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @46.4400						
10/26/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @46.4400						
10/26/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @46.4400						
10/26/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @46.4400						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @23.0700						
10/12/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.0700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/12/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @23.0700	6.49	0.13	0.00	0.13	75.0	0.10
10/12/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 3 Units @23.0700	62.50	1.25	0.00	1.25	75.0	0.94
10/26/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 0 Units @22.6300	7.38	0.15	0.00	0.15	75.0	0.11
10/26/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 1 Units @22.6300	31.25	0.63	0.00	0.63	75.0	0.47
10/26/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @22.6300	6.49	0.13	0.00	0.13	75.0	0.10
10/26/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 3 Units @22.6300	62.50	1.25	0.00	1.25	75.0	0.94
10/12/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.1600	2.16	0.02	0.00	0.02	75.0	0.02
10/12/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.1600	23.85	0.24	0.00	0.24	75.0	0.18
10/26/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.2300	2.16	0.02	0.00	0.02	75.0	0.02
10/26/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.2300	23.85	0.24	0.00	0.24	75.0	0.18
10/12/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @46.0600	6.48	0.13	0.00	0.13	75.0	0.10
10/12/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @46.0600	62.50	1.25	0.00	1.25	75.0	0.94
10/26/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @44.6300	6.48	0.13	0.00	0.13	75.0	0.10
10/26/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @44.6300	62.50	1.25	0.00	1.25	75.0	0.94
* MUTUAL FUNDS SUBTOTAL *			\$8,787.36	\$302.28	\$0.00	\$302.28		\$226.92



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/23/2018	***-**-80IO - MAMMONE, JOANN ACCOUNT #: LSM000223	VANGUARD INDEX FDS VANGUARD GROWTH BUY 50 Units @146.5830	7,329.15	0.00	0.00	0.00	75.0	0.00
10/23/2018	***-**-80IO - MAMMONE, JOANN ACCOUNT #: LSM000223	VANGUARD SECTOR INDEX FDS VANGUARD BUY 25 Units @184.5690	4,614.23	0.00	0.00	0.00	75.0	0.00
* STOCKS SUBTOTAL *			<u>\$11,943.38</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$20,730.74</u>	<u>\$302.28</u>	<u>\$0.00</u>	<u>\$302.28</u>		<u>\$226.92</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$226.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$226.92
Year-to-date Earnings	\$73,023.54