



# COMMISSION STATEMENT

## September 03, 2018

GRADY GORMAN  
7105 West 44th Ave  
Wheat Ridge, CO 80033

BROKER #: A97  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/28/2018	***-**-53IR - BENDER, LYNDAY	HCM DIVIDEND SECTOR PLUS FND CL A-N	17,585.00	703.40	18.00	685.40	75.0	514.05
	ACCOUNT #: LSR012815	BUY 1223 Units @14.3800						
08/28/2018	***-**-2530 - BENDER, LYNDAY	HCM DIVIDEND SECTOR PLUS FND CL A-N	28,743.00	1,149.72	18.00	1,131.72	75.0	848.79
	ACCOUNT #: LSR012816	BUY 1999 Units @14.3800						
08/28/2018	***-**-53IR - BENDER, LYNDAY	HCM TACTICAL GROWTH FND CL A-NFS	17,584.99	703.40	18.00	685.40	75.0	514.05
	ACCOUNT #: LSR012815	BUY 1036 Units @16.9700						
08/28/2018	***-**-2530 - BENDER, LYNDAY	HCM TACTICAL GROWTH FND CL A-NFS	28,743.01	1,149.72	18.00	1,131.72	75.0	848.79
	ACCOUNT #: LSR012816	BUY 1694 Units @16.9700						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$92,656.00</b>	<b>\$3,706.24</b>	<b>\$72.00</b>	<b>\$3,634.24</b>		<b>\$2,725.68</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/28/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	125.00	3.80	18.00	-14.20	100.0	-14.20
	ACCOUNT #: LSR012642	SELL 100 Units @1.2500						
08/28/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	125.00	3.80	0.00	3.80	75.0	2.85
	ACCOUNT #: LSR012642	SELL 100 Units @1.2500						
08/28/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	1,830.00	57.00	0.00	57.00	75.0	42.75
	ACCOUNT #: LSR012642	SELL 1500 Units @1.2200						
08/28/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	366.00	11.40	0.00	11.40	75.0	8.55
	ACCOUNT #: LSR012642	SELL 300 Units @1.2200						
		<b>* STOCKS SUBTOTAL *</b>	<b>\$2,446.00</b>	<b>\$76.00</b>	<b>\$18.00</b>	<b>\$58.00</b>		<b>\$39.95</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
08/27/2018	***-**-2530 - BENDER, LYNDAY	FT UNIT 7111 STRATEGIC INTL OPPORTU	18,665.20	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012816	SELL 1966 Units @9.4940						
		<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>	<b>\$18,665.20</b>	<b>\$0.00</b>	<b>\$24.00</b>	<b>\$-24.00</b>		<b>\$-24.00</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$113,767.20</b>	<b>\$3,782.24</b>	<b>\$114.00</b>	<b>\$3,668.24</b>		<b>\$2,741.63</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,741.63
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,741.63
						Year-to-date Earnings		\$6,950.83