



# COMMISSION STATEMENT

December 03, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/28/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00083468095	BUY 2 Units @28.8600						
11/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @12.8500						
11/26/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	150.00	7.50	0.00	7.50	80.0	6.00
	ACCOUNT #: 00083088053	BUY 3 Units @53.6200						
11/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @46.8500						
* MUTUAL FUNDS SUBTOTAL *			<b>\$350.00</b>	<b>\$15.06</b>	<b>\$0.00</b>	<b>\$15.06</b>		<b>\$12.05</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$350.00</b>	<b>\$15.06</b>	<b>\$0.00</b>	<b>\$15.06</b>		<b>\$12.05</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$12.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$3.84
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$15.89</b>
<b>Year-to-date Earnings</b>	<b>\$6,213.61</b>