



COMMISSION STATEMENT

October 29, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/15/2018	***-**-5389 - POWER, KATHLEEN	FA 529 PORT 2019-CL A	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 01467261812	BUY 24 Units @20.9400						
		* MUTUAL FUNDS SUBTOTAL *	\$500.00	\$7.50	\$0.00	\$7.50		\$6.75
<u>PRODUCT CATEGORY: STOCKS</u>								
10/24/2018	***-**-4645 - KALANTZOPOULOS,	FACEBOOK INC COM USD0.000006 CL A	4,845.39	27.00	7.83	19.17	90.0	17.25
	ACCOUNT #: LSR101427	BUY 33 Units @146.8300						
10/24/2018	***-**-4645 - KALANTZOPOULOS,	SIRIUS XM HLDGS INC COM UNSOLICITED	1,717.50	22.50	9.00	13.50	90.0	12.15
	ACCOUNT #: LSR101427	BUY 300 Units @5.7250						
		* STOCKS SUBTOTAL *	\$6,562.89	\$49.50	\$16.83	\$32.67		\$29.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/24/2018	***-**-1628 - KESSLER, LEWIS	PACIFIC LIFE ABRB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71057460	BUY 0 Units @0.0000						
10/25/2018	***-**-433I - BECCE, WILLIAM	PACIFIC LIFE ABRB DTCC	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: VP71053600	BUY 0 Units @0.0000						
10/25/2018	***-**-7994 - NEWMAN, JOSHUA	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067450	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$950.00	\$14.25	\$0.00	\$14.25		\$12.83
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/29/2018	***-**-6780 - SERRINS, RICHARD	PENN LIFE VUL	0.00	5.63	0.00	5.63	90.0	5.07
	ACCOUNT #: 8567518	BUY 1 Units @0.0000						
10/29/2018	***-**-4205 - TURPAN, NATALIE	PENN LIFE VUL	0.00	11.95	0.00	11.95	90.0	10.76
	ACCOUNT #: 8564255	BUY 1 Units @0.0000						
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$17.58	\$0.00	\$17.58		\$15.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/29/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS	0.00	0.43	0.00	0.43	90.0	0.39
		BUY 0 Units @0.0000						
10/29/2018	***-**-723I - PONTURO,	VICTORY TRAILS	0.00	0.51	0.00	0.51	90.0	0.46
		BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
10/29/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1.29	\$0.00	\$1.29		\$1.17
		*** BROKER TRANSACTIONS TOTAL ***	\$8,012.89	\$90.12	\$16.83	\$73.29		\$65.98

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

10/08/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A BUY 18 Units @27.7100	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 00700773088							
10/15/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034 BUY 15 Units @13.3600	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: 01489789329							
10/10/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A BUY 15 Units @13.6700	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061339							
10/10/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A BUY 22 Units @13.6700	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061339							
10/10/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A BUY 15 Units @13.6700	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061420							
10/10/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A BUY 22 Units @13.6700	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061420							
10/22/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV GROWTH & INCOME CL C BUY 9 Units @27.0700	249.99	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898							
10/22/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV HEALTH CARE CL C BUY 6 Units @39.8900	249.99	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898							
10/21/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71028600							
10/25/2018	***-**-8584 - WINCHURCH,	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71048920							
10/25/2018	***-**-8584 - WINCHURCH,	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71048920							
10/29/2018	***-**-4875 - GURIAN	PENN LIFE VUL BUY 1 Units @0.0000	0.00	4.23	0.00	4.23	90.0	3.81
	ACCOUNT #: 8568628							



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
10/29/2018	***-**-8374 - GURIAN	PENN LIFE VUL	0.00	4.08	0.00	4.08	90.0	3.67
	ACCOUNT #: 008568374	BUY 1 Units @0.0000						
10/29/2018	***-**-8268 - LEHMANN	PENN LIFE VUL	0.00	130.12	0.00	130.12	90.0	117.11
	ACCOUNT #: 8569173	BUY 1 Units @0.0000						
10/29/2018	***-**-6318 - ZALINSKY, STEVEN	PENN LIFE VUL	0.00	8.95	0.00	8.95	90.0	8.06
	ACCOUNT #: 8566318	BUY 1 Units @0.0000						
10/29/2018	***-**-8826 - LAFRANCE,	PENN LIFE VUL	0.00	0.36	0.00	0.36	90.0	0.32
	ACCOUNT #: 8570988	BUY 1 Units @0.0000						
10/29/2018	***-**-3312 - TRAUTMAN,	PENN LIFE VUL	0.00	8.00	0.00	8.00	90.0	7.20
	ACCOUNT #: 8569288	BUY 1 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$2,899.98	\$190.76	\$3.00	\$187.76		\$168.95
*** SPLIT TRANSACTIONS TOTAL ***			\$2,899.98	\$190.76	\$3.00	\$187.76		\$168.95

OVERRIDE TRANSACTIONS

<u>BROKER: ALAN FISHMAN</u>								
10/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	0.47	0.00	0.47	7.5	0.04
		BUY 0 Units @0.0000						
10/29/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.15	0.00	0.15	7.5	0.01
		BUY 0 Units @0.0000						
10/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.38	0.00	1.38	7.5	0.10
		BUY 0 Units @0.0000						
10/29/2018	***-**-955P - VICARI,	VICTORY TRAILS	0.00	0.43	0.00	0.43	7.5	0.03
		BUY 0 Units @0.0000						
10/29/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS	0.00	0.81	0.00	0.81	7.5	0.06
		BUY 0 Units @0.0000						
10/29/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	64.67	0.00	64.67	7.5	4.85
		BUY 0 Units @0.0000						
10/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.45	0.00	1.45	7.5	0.11
		BUY 0 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$69.36	\$0.00	\$69.36		\$5.20



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ADJUSTMENTS (continued)								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$69.36	\$0.00	\$69.36		\$5.20

BROKER COMMISSION TOTALS

Broker Transactions	\$65.98
Split Transactions	\$168.95
Override Transactions	\$5.20
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$240.13
Year-to-date Earnings	\$56,514.10