



# COMMISSION STATEMENT

## December 10, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/07/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @32.0700						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @32.0700						
12/07/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @32.0700						
12/07/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @32.0700						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.3800						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.3800						
12/06/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	41.18	1.54	0.00	1.54	75.0	1.16
	ACCOUNT #: 00085629087	BUY 3 Units @13.0100						
12/06/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @13.0100						
12/07/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.6600						
12/07/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.6600						
12/06/2018	***-**-4547 - NORWOOD, CLINTON	AMERICAN FUNDS 2025 TARGET DATE-A	300.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00083594601	BUY 21 Units @14.0600						
12/06/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	50.17	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 04000070058	BUY 3 Units @15.2600						
12/06/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	50.03	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 04000070058	BUY 3 Units @15.2600						
12/06/2018	***-**-8119 - ROY, CALI	AMERICAN FUNDS 2045 TARGET DATE-A	31.29	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: 04001246972	BUY 2 Units @15.8500						
12/06/2018	***-**-8119 - ROY, CALI	AMERICAN FUNDS 2045 TARGET DATE-A	31.29	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: 04001246972	BUY 2 Units @15.8500						
12/06/2018	***-**-1126 - GLASS, KAYLA	AMERICAN FUNDS 2045 TARGET DATE-A	53.34	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 04001247049	BUY 3 Units @15.8500						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/06/2018	***-**-1126 - GLASS, KAYLA ACCOUNT #: 04001247049	AMERICAN FUNDS 2045 TARGET DATE-A BUY 7 Units @15.8500	105.88	3.97	0.00	3.97	75.0	2.98
12/06/2018	***-**-627J - KALWARA, TREVOR ACCOUNT #: 04000868759	AMERICAN FUNDS 2045 TARGET DATE-A BUY 6 Units @16.0600	100.00	5.00	0.00	5.00	75.0	3.75
12/07/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @57.9400	1.36	0.01	0.00	0.01	75.0	0.01
12/07/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @57.9400	6.25	0.06	0.00	0.06	75.0	0.05
12/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @57.9400	3.24	0.03	0.00	0.03	75.0	0.02
12/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @57.9400	35.77	0.36	0.00	0.36	75.0	0.27
12/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD BOND FUND-C BUY 0 Units @18.7900	1.62	0.02	0.00	0.02	75.0	0.02
12/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD BOND FUND-C BUY 1 Units @18.7900	17.88	0.18	0.00	0.18	75.0	0.14
12/06/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.0400	20.40	0.77	0.00	0.77	75.0	0.58
12/06/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.0400	53.00	1.99	0.00	1.99	75.0	1.49
12/07/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @47.3500	7.40	0.15	0.00	0.15	75.0	0.11
12/07/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @47.3500	31.25	0.63	0.00	0.63	75.0	0.47
12/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @47.3500	6.49	0.13	0.00	0.13	75.0	0.10
12/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @47.3500	62.50	1.25	0.00	1.25	75.0	0.94
12/07/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @45.6200	1.36	0.01	0.00	0.01	75.0	0.01
12/07/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @45.6200	6.25	0.06	0.00	0.06	75.0	0.05



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/07/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @45.6200						
12/07/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @45.6200						
12/07/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @60.7600						
12/07/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @60.7600						
12/07/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @58.8400						
12/07/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @58.8400						
12/07/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @21.8500						
12/07/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 2 Units @21.8500						
12/07/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @42.6500						
12/07/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @42.6500						
12/06/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @62.9500						
12/06/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @62.9500						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @60.8900						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @60.8900						
12/07/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @56.9800						
12/07/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @56.9800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/06/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @55.8100						
12/06/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @55.8100						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @53.7700						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @53.7700						
12/07/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @53.7700						
12/07/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @53.7700						
12/07/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @45.7800						
12/07/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @45.7800						
12/06/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @12.9400						
12/06/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @12.9400						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.8000						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.8000						
12/07/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.37	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @12.4800						
12/07/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.4800						
12/07/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.4800						
12/07/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.4800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/06/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @53.5300						
12/06/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @53.5300						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @51.2100						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @51.2100						
12/07/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @51.2100						
12/07/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @51.2100						
12/07/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @45.9700						
12/07/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @45.9700						
12/07/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @45.9700						
12/07/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @45.9700						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @22.7300						
12/07/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @22.7300						
12/07/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @22.7300						
12/07/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @22.7300						
12/07/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.16	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.3500						
12/07/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.3500						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @45.0800	6.48	0.13	0.00	0.13	75.0	0.10
12/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.0800	62.50	1.25	0.00	1.25	75.0	0.94
* MUTUAL FUNDS SUBTOTAL *			<b>\$2,378.07</b>	<b>\$69.16</b>	<b>\$0.00</b>	<b>\$69.16</b>		<b>\$51.97</b>
<b>PRODUCT CATEGORY: RIA</b>								
12/11/2018	***-**-15FB - KHAN, AFSAR ACCOUNT #: 925318399	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1,154.08	1,154.08	0.00	1,154.08	75.0	865.56
12/11/2018	***-**-15FB - KHAN, AFSAR ACCOUNT #: 925330573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	272.94	272.94	0.00	272.94	75.0	204.71
12/11/2018	***-**-38FB - WENGERT, CRAIG ACCOUNT #: 925475478	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	505.34	505.34	0.00	505.34	75.0	379.01
* RIA SUBTOTAL *			<b>\$1,932.36</b>	<b>\$1,932.36</b>	<b>\$0.00</b>	<b>\$1,932.36</b>		<b>\$1,449.28</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INTERNATIONAL VALUE C BUY 0 Units @0.0000	0.00	1.69	0.00	1.69	75.0	1.27
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR SEMICONDUCTORS CL BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	75.0	1.22
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FA SHORT TERM BOND A BUY 0 Units @0.0000	0.00	0.84	0.00	0.84	75.0	0.63
12/07/2018	***-**-1970 - CZEBIENIAK, ACCOUNT #: 01486582578	FIDELITY ADV 01480463155 BUY 0 Units @0.0000	0.00	18.34	0.00	18.34	75.0	13.76
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	75.0	0.10
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	75.0	1.38
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV CHINA REGION CL A BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	75.0	1.13
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	6.57	0.00	6.57	75.0	4.93



# COMMISSION STATEMENT

## December 10, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	1.93	0.00	1.93	75.0	1.45
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	5.05	0.00	5.05	75.0	3.79
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	75.0	0.06
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	1.56	0.00	1.56	75.0	1.17
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	10.15	0.00	10.15	75.0	7.61
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	75.0	1.70
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	75.0	1.40
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EQUITY INCOME CL A BUY 0 Units @0.0000	0.00	7.89	0.00	7.89	75.0	5.92
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	14.10	0.00	14.10	75.0	10.58
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EUROPE FUND CLASS A BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EUROPE FUND CLASS A BUY 0 Units @0.0000	0.00	1.69	0.00	1.69	75.0	1.27
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV FLOATING RATE HIGH INC BUY 0 Units @0.0000	0.00	3.45	0.00	3.45	75.0	2.59
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV FLOATING RATE HIGH INC BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	75.0	0.94
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV FREEDOM 2015-CL A BUY 0 Units @0.0000	0.00	9.15	0.00	9.15	75.0	6.86
12/07/2018	***-**-1970 - CZEBIENIAK, ACCOUNT #: 01486582578	FIDELITY ADV FREEDOM 2015-CL C BUY 0 Units @0.0000	0.00	9.92	0.00	9.92	75.0	7.44



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/07/2018	***-**-1970 - CZEBIENIAK, ACCOUNT #: 01486582578	FIDELITY ADV FREEDOM 2020-CL C BUY 0 Units @0.0000	0.00	12.10	0.00	12.10	75.0	9.08
12/07/2018	***-**-1543 - FALCHI, GARY ACCOUNT #: 01475399455	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
12/07/2018	***-**-1970 - CZEBIENIAK, ACCOUNT #: 01486582578	FIDELITY ADV FREEDOM 2025-CL C BUY 0 Units @0.0000	0.00	18.20	0.00	18.20	75.0	13.65
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV FREEDOM 2030-CL A BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	75.0	0.10
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	75.0	0.14
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV GLOBAL EQUITY INCOME C BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	75.0	1.36
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV GROWTH & INCOME CL A BUY 0 Units @0.0000	0.00	12.11	0.00	12.11	75.0	9.08
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV GROWTH & INCOME CL A BUY 0 Units @0.0000	0.00	4.77	0.00	4.77	75.0	3.58
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV GROWTH OPPORT CL A BUY 0 Units @0.0000	0.00	2.01	0.00	2.01	75.0	1.51
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	2.00	0.00	2.00	75.0	1.50
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	6.43	0.00	6.43	75.0	4.82
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV INDUSTRIALS CL A BUY 0 Units @0.0000	0.00	7.60	0.00	7.60	75.0	5.70
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INDUSTRIALS CL A BUY 0 Units @0.0000	0.00	1.77	0.00	1.77	75.0	1.33
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INT'L CAPITAL APP CL A BUY 0 Units @0.0000	0.00	1.67	0.00	1.67	75.0	1.25
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV INTERMEDIATE BOND-CL A BUY 0 Units @0.0000	0.00	4.46	0.00	4.46	75.0	3.35
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV INTL DISCOVERY-CL A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	75.0	0.06





# COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/07/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	6.96	0.00	6.96	75.0	5.22
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
12/07/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV INTL SMALL CAP-CL A	0.00	1.64	0.00	1.64	75.0	1.23
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
12/07/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	6.24	0.00	6.24	75.0	4.68
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
12/07/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	11.82	0.00	11.82	75.0	8.87
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
12/07/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
12/07/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV MATERIALS-CL A	0.00	5.03	0.00	5.03	75.0	3.77
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
12/07/2018	***-**-5381 - ZARNOCK, MARTIN	FIDELITY ADV MATERIALS-CL A	0.00	1.06	0.00	1.06	75.0	0.80
	ACCOUNT #: 01482293584	BUY 0 Units @0.0000						
12/07/2018	***-**-5381 - ZARNOCK, MARTIN	FIDELITY ADV MID CAP II-CL A	0.00	1.27	0.00	1.27	75.0	0.95
	ACCOUNT #: 01482293584	BUY 0 Units @0.0000						
12/07/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV MID CAP VALUE-CL A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
12/07/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV NEW INSIGHTS-CL A	0.00	11.26	0.00	11.26	75.0	8.45
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
12/07/2018	***-**-5381 - ZARNOCK, MARTIN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 01482293584	BUY 0 Units @0.0000						
12/07/2018	***-**-7266 - ZUMPARO, ANDREA	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
12/07/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	3.65	0.00	3.65	75.0	2.74
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
12/07/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STCK SEL LRG CP VAL CL	0.00	8.47	0.00	8.47	75.0	6.35
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
12/07/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV STCK SEL LRG CP VAL CL	0.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
12/07/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STRATEGIC INCOME CL A	0.00	7.43	0.00	7.43	75.0	5.57
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	2.76	0.00	2.76	75.0	2.07
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV STRATEGIC REAL RETURN- BUY 0 Units @0.0000	0.00	5.73	0.00	5.73	75.0	4.30
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	75.0	0.10
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	2.56	0.00	2.56	75.0	1.92
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	5.05	0.00	5.05	75.0	3.79
12/07/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	75.0	0.08
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	4.65	0.00	4.65	75.0	3.49
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR CORP BOND CLASS A BUY 0 Units @0.0000	0.00	2.78	0.00	2.78	75.0	2.09
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADVISOR DIVERSIFIED INTL F BUY 0 Units @0.0000	0.00	5.81	0.00	5.81	75.0	4.36
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR FREEDOM 2020 CL A BUY 0 Units @0.0000	0.00	4.56	0.00	4.56	75.0	3.42
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADVISOR GOVERNMENT INCOME BUY 0 Units @0.0000	0.00	5.19	0.00	5.19	75.0	3.89
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR GOVERNMENT INCOME BUY 0 Units @0.0000	0.00	5.58	0.00	5.58	75.0	4.19
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 0 Units @0.0000	0.00	2.80	0.00	2.80	75.0	2.10
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	5.98	0.00	5.98	75.0	4.49
12/07/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.97	0.00	1.97	75.0	1.48
12/07/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	10.25	0.00	10.25	75.0	7.69



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
12/07/2018	***--7401 - RUNDLE, AARON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.13	0.00	1.13	75.0	0.85
	ACCOUNT #: 01483068944	BUY 0 Units @0.0000						
12/07/2018	***--2972 - SCHROEDER,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 03900219577	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$322.59</b>	<b>\$0.00</b>	<b>\$322.59</b>		<b>\$242.05</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$4,310.43</b>	<b>\$2,324.11</b>	<b>\$0.00</b>	<b>\$2,324.11</b>		<b>\$1,743.30</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,743.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$72.45
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,815.75</b>
<b>Year-to-date Earnings</b>	<b>\$77,815.12</b>