

COMMISSION STATEMENT

October 31, 2018

 DAN FENCL-D022
 422 Main Street

 BROKER #: D022
 BRANCH: DMG

Cedar Falls, IA 50613

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/15/2018	276393378	FENCL TTEE, DANIEL	MF	VANGUARD VALUE INDEX FD	S	502.45	0.00	2.50	-2.50	85.0	-2.13
10/18/2018	276393378	FENCL TTEE, DANIEL	MF	VANGUARD VALUE INDEX FD	B	-2,487.55	0.00	5.00	-5.00	85.0	-4.25
10/08/2018	368252344	ORUM, ALVA MANLEY	MF	VANGUARD VALUE INDEX FD	B	-1,087.55	0.00	5.00	-5.00	85.0	-4.25
10/09/2018	368434100	BAILEY, JAMES	MF	MONEY MARKET C	S	-54,967.87	0.00	5.00	-5.00	85.0	-4.25
10/09/2018	368434100	BAILEY, JAMES	MF	MONEY MARKET A	S	-30,944.17	0.00	5.00	-5.00	85.0	-4.25
10/09/2018	368435370	FULLER, DUANE	MF	MONEY MARKET C	S	-87,865.32	0.00	5.00	-5.00	85.0	-4.25
10/09/2018	368435370	FULLER, DUANE	MF	MONEY MARKET A	S	-39,604.08	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *						\$-216,454.09	\$0.00	\$32.50	\$-32.50		\$-27.63

PRODUCT CATEGORY: STOCKS

10/23/2018	183770139	CHRISTEN, VEDA	S	3M COMPANY	B	5,752.02	22.00	15.00	7.00	85.0	5.95
10/23/2018	183770139	CHRISTEN, VEDA	S	AEGON N V 6.375% PFD CALLABLE	S	-1,898.34	22.00	15.00	7.00	85.0	5.95
10/23/2018	183770139	CHRISTEN, VEDA	S	AMERIGAS PARTNERS LP	S	-1,921.53	22.00	15.00	7.00	85.0	5.95
10/23/2018	183770139	CHRISTEN, VEDA	S	ATMOS ENERGY CORP	S	-4,351.93	22.00	15.00	7.00	85.0	5.95
10/23/2018	183770139	CHRISTEN, VEDA	S	GENERAL MILLS INCORPORATED	B	3,542.04	22.00	15.00	7.00	85.0	5.95
10/23/2018	183770139	CHRISTEN, VEDA	S	ROYAL DUTCH SHELL PLC-SPON ADR	B	3,278.45	22.00	15.00	7.00	85.0	5.95
09/28/2018	216061121	FENCL, DAVID	S	CASEYS GENERAL STORES INC	S	-7,742.45	22.00	15.00	7.00	85.0	5.95
09/28/2018	216061121	FENCL, DAVID	S	DEERE & COMPANY	S	15,060.95	-22.00	-15.00	-7.00	85.0	-5.95
09/28/2018	216061121	FENCL, DAVID	S	DEERE & COMPANY	S	-15,060.95	22.00	15.00	7.00	85.0	5.95
09/28/2018	216061121	FENCL, DAVID	S	DEERE & COMPANY	S	-4,501.17	22.00	15.00	7.00	85.0	5.95
09/28/2018	216061121	FENCL, DAVID	S	WELLS FARGO & CO	S	-2,618.03	22.00	15.00	7.00	85.0	5.95
09/28/2018	216061121	FENCL, DAVID	S	WILLIAMS COMPANIES INC (DEL)	S	-2,625.57	22.00	15.00	7.00	85.0	5.95
09/27/2018	276393378	FENCL TTEE, DANIEL	S	GARMIN LTD (SWITZERLAND) ISIN	S	-1,740.91	0.00	15.00	-15.00	85.0	-12.75
09/27/2018	276393378	FENCL TTEE, DANIEL	S	KIMBERLY-CLARK CORPORATION	S	-4,422.58	118.15	15.00	103.15	85.0	87.68
09/27/2018	276393378	FENCL TTEE, DANIEL	S	MERCK & CO INC NEW	S	-2,123.95	0.00	15.00	-15.00	85.0	-12.75
10/04/2018	276393378	FENCL TTEE, DANIEL	S	LOCKHEED MARTIN CORPORATION	S	-8,685.86	20.00	15.00	5.00	85.0	4.25
10/18/2018	276393378	FENCL TTEE, DANIEL	S	GARMIN LTD (SWITZERLAND) ISIN	S	-4,685.49	22.00	15.00	7.00	85.0	5.95
10/19/2018	276393378	FENCL TTEE, DANIEL	S	XCEL ENERGY INC	S	-4,808.49	22.00	15.00	7.00	85.0	5.95
10/17/2018	306748922	GRENIER, JILLIAN	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	1,707.05	22.00	15.00	7.00	85.0	5.95
10/17/2018	306748922	GRENIER, JILLIAN	S	BRIGHTHOUSE FINANCIAL INC	S	-372.09	22.00	15.00	7.00	85.0	5.95
10/17/2018	306748922	GRENIER, JILLIAN	S	CAL-MAINE FOODS INC	S	-4,616.50	22.00	15.00	7.00	85.0	5.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/17/2018 306748922	GRENIER, JILLIAN	S CHEVRON CORPORATION	B	5,833.95	22.00	15.00	7.00	85.0	5.95
10/15/2018 337890183	HUNT, HARLAN	S EVERGY INC COM	S	-5,575.49	22.00	15.00	7.00	85.0	5.95
10/17/2018 368256182	ROSOL, MICHAEL	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	-4,579.35	-22.00	-15.00	-7.00	85.0	-5.95
10/17/2018 368256182	ROSOL, MICHAEL	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	4,579.35	22.00	15.00	7.00	85.0	5.95
10/17/2018 368256182	ROSOL, MICHAEL	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	4,572.35	15.00	15.00	0.00	85.0	0.00
10/23/2018 368268648	HERMANSEN, CHRISTIN	S VERIZON COMMUNICATIONS INC	S	-2,552.92	15.00	15.00	0.00	85.0	0.00
10/17/2018 368271512	ROSOL, NANCY LYNN	S ANHEUSER-BUSCH INBEV SPONS ADR	B	2,127.95	22.00	15.00	7.00	85.0	5.95
10/15/2018 368287386	HUNT, MARY	S BRISTOL MYERS SQUIBB COMPANY	S	-4,315.37	22.00	15.00	7.00	85.0	5.95
10/15/2018 368287386	HUNT, MARY	S DUKE ENERGY CORP NEW COM NEW	S	-3,449.04	22.00	15.00	7.00	85.0	5.95
10/15/2018 368287386	HUNT, MARY	S GLAXOSMITHKLINE PLC ADS	S	-1,924.53	22.00	15.00	7.00	85.0	5.95
10/15/2018 368287386	HUNT, MARY	S JOHNSON & JOHNSON	S	-2,672.11	22.00	15.00	7.00	85.0	5.95
10/15/2018 368287386	HUNT, MARY	S SUNOCO LOGISTICS ETP	S	-1,615.79	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S ANHEUSER-BUSCH INBEV SPONS ADR	B	3,362.05	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S ATMOS ENERGY CORP	S	-5,703.68	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S CARDINAL HEALTH INC	B	3,228.44	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S CHEMOURS CO/THE	S	-267.75	15.00	15.00	0.00	85.0	0.00
10/18/2018 368294509	NAPIER, JOHN	S CONOCOPHILLIPS	S	-2,160.27	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S GENERAL MILLS INCORPORATED	B	1,333.95	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S HUANENG POWER INTERNATIONAL	S	-2,338.92	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S PHILIP MORRIS INTERNATIONAL	B	4,399.95	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S PROCTER & GAMBLE COMPANY	B	2,030.45	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S RAYONIER ADVANCED MATERIALS INC	S	-197.27	15.00	15.00	0.00	85.0	0.00
10/18/2018 368294509	NAPIER, JOHN	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	1,640.95	22.00	15.00	7.00	85.0	5.95
10/18/2018 368294509	NAPIER, JOHN	S TOTAL S A-SPONSORED ADR	B	3,064.04	22.00	15.00	7.00	85.0	5.95
10/24/2018 368321274	LEQUATTE, SUSAN	S 3M COMPANY	B	4,727.68	22.00	15.00	7.00	85.0	5.95
10/24/2018 368321274	LEQUATTE, SUSAN	S EVERGY INC COM	S	-4,252.75	22.00	15.00	7.00	85.0	5.95
10/24/2018 368321274	LEQUATTE, SUSAN	S HAWAIIAN ELECTRIC INDS INC	S	-2,670.27	22.00	15.00	7.00	85.0	5.95
10/24/2018 368321274	LEQUATTE, SUSAN	S HUANENG POWER INTERNATIONAL	S	-2,089.02	22.00	15.00	7.00	85.0	5.95
10/24/2018 368321274	LEQUATTE, SUSAN	S ROYAL DUTCH SHELL PLC-SPON ADR	B	3,905.84	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S ADR BRITISH AMERICAN TOBACCO	B	1,942.02	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,458.95	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S CARDINAL HEALTH INC	B	4,072.94	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S CONOCOPHILLIPS	S	-7,734.59	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S GENERAL MILLS INCORPORATED	B	2,402.09	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S GLAXOSMITHKLINE PLC ADS	B	1,645.65	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S HANESBRANDS INC	B	3,663.65	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S INTEL CORPORATION	B	1,172.20	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S INVESCO LTD ISIN #BMG491BT1088	B	1,169.45	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S MCKESSON CORPORATION	B	3,981.12	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S MICROSOFT CORPORATION	S	-2,838.79	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S NOVARTIS AG-ADR	S	-6,430.05	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S ROYAL DUTCH SHELL PLC-SPON ADR	B	4,301.42	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S T ROWE PRICE GROUP INC	S	-2,170.52	22.00	15.00	7.00	85.0	5.95
09/27/2018 368323667	HILDMAN, PAULA RAE	S WELLS FARGO & CO	S	-3,954.26	22.00	15.00	7.00	85.0	5.95

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09/27/2018 368432239	FENCL, DANIEL	S ALTRIA GROUP INCORPORATED	S	-2,928.87	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S AT&T INC	B	3,357.95	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S ENBRIDGE INC ISIN CA29250N1050	B	3,245.44	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S GLAXOSMITHKLINE PLC ADS	S	-2,433.53	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S INVESCO LTD ISIN #BMG491BT1088	B	2,333.25	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S KINDER MORGAN INC	S	-1,654.76	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S SOUTHERN COMPANY	S	-3,835.19	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S TOTAL S A-SPONSORED ADR	B	6,561.06	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 368432239	FENCL, DANIEL	S WESTPAC BANKING LTD CORP	B	4,000.45	0.00	15.00	-15.00	85.0	-12.75
10/09/2018 368434100	BAILEY, JAMES	S ALTRIA GROUP INCORPORATED	B	2,555.65	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S AMERIGAS PARTNERS LP	B	1,445.80	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,352.95	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S BT GROUP PLC ADS	B	2,274.44	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S CARDINAL HEALTH INC	B	5,467.44	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S CHEVRON CORPORATION	B	2,565.65	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S COMPASS MINERALS INTL INC	B	3,298.95	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S DOMINION RESOURCES INC/VA NEW	B	3,695.40	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S ENBRIDGE INC ISIN CA29250N1050	B	3,395.32	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S GILEAD SCIENCES INC	B	5,789.70	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S GLAXOSMITHKLINE PLC ADS	B	1,996.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S INVESCO LTD ISIN #BMG491BT1088	B	4,383.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S KINDER MORGAN INC	B	655.49	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S L BRANDS INC	B	2,910.35	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S OMNICOM GROUP INCORPORATED	B	5,411.69	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S PRINCIPAL FINANCIAL GROUP INC	B	6,080.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S PROCTER & GAMBLE COMPANY	B	2,496.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S ROCHE HOLDING LTD-SPONS ADR	B	3,098.15	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S ROYAL DUTCH SHELL PLC-SPON ADR	B	7,094.31	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S SANOFI-AVENTIS S A ADR FORMERLY	B	1,119.73	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S SIEMENS A G-SPONSORED ADR ADS	B	6,030.65	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S THE COCA-COLA COMPANY	B	952.85	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S TRANSCANADA CORPORATION HLDG CO	B	4,109.95	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S UNILEVER N V -NY SHARES	B	1,914.00	22.00	15.00	7.00	85.0	5.95
10/09/2018 368434100	BAILEY, JAMES	S WESTPAC BANKING LTD CORP	B	3,860.43	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S AMERIGAS PARTNERS LP	B	1,650.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S ANHEUSER-BUSCH INBEV SPONS ADR	B	7,826.54	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S ARTESIAN RES CORP	B	3,647.43	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S BT GROUP PLC ADS	B	2,426.03	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S CARDINAL HEALTH INC	B	5,472.44	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S CARNIVAL CORP NEW PARIED COM	B	6,094.35	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S CHEVRON CORPORATION	B	8,905.34	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S ENBRIDGE INC ISIN CA29250N1050	B	3,402.32	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S ENTERPRISE PRODS PARTNERS L	B	3,243.71	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S GENERAL MILLS INCORPORATED	B	4,359.31	22.00	15.00	7.00	85.0	5.95

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10/09/2018 368435370	FULLER, DUANE	S HANESBRANDS INC	B	3,509.87	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S INTEL CORPORATION	B	4,703.44	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S INVESCO LTD ISIN #BMG491BT1088	B	4,366.25	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S KIMBERLY-CLARK CORPORATION	B	2,314.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S L BRANDS INC	B	2,917.35	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S MCDONALDS CORPORATION	B	3,429.65	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S MICROSOFT CORPORATION	B	4,524.41	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S MONDELEZ INTERNATIONAL INC CL A	B	4,303.44	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S OMNICOM GROUP INCORPORATED	B	7,216.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S PHILIP MORRIS INTERNATIONAL	B	5,547.49	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S PRINCIPAL FINANCIAL GROUP INC	B	6,066.95	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S ROCHE HOLDING LTD-SPONS ADR	B	3,101.95	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S SANOFI-AVENTIS S A ADR FORMERLY	B	4,409.56	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S SUNOCO LOGISTICS ETP	B	2,909.14	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S THE COCA-COLA COMPANY	B	2,347.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S THOMSON REUTERS CORPORATION	B	953.45	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S TOTAL S A-SPONSORED ADR	B	6,383.46	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S TRANSCANADA CORPORATION HLDG CO	B	4,115.95	22.00	15.00	7.00	85.0	5.95
10/09/2018 368435370	FULLER, DUANE	S WESTPAC BANKING LTD CORP	B	3,860.43	22.00	15.00	7.00	85.0	5.95
10/17/2018 368453794	GRENIER, JILLIAN	S CARDINAL HEALTH INC	B	5,346.44	22.00	15.00	7.00	85.0	5.95
10/17/2018 368453794	GRENIER, JILLIAN	S CONOCOPHILLIPS	S	-7,132.30	159.41	15.00	144.41	85.0	122.75
10/17/2018 368453794	GRENIER, JILLIAN	S CONOCOPHILLIPS	S	7,132.30	-159.41	-15.00	-144.41	85.0	-122.75
10/17/2018 368453794	GRENIER, JILLIAN	S CONOCOPHILLIPS	S	-7,269.71	22.00	15.00	7.00	85.0	5.95
10/17/2018 368453794	GRENIER, JILLIAN	S GENERAL MILLS INCORPORATED	B	1,122.20	22.00	15.00	7.00	85.0	5.95
10/17/2018 368453794	GRENIER, JILLIAN	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,521.35	22.00	15.00	7.00	85.0	5.95
10/17/2018 368453794	GRENIER, JILLIAN	S WELLS FARGO & CO	S	-5,305.49	22.00	15.00	7.00	85.0	5.95
10/17/2018 368453794	GRENIER, JILLIAN	S WESTPAC BANKING LTD CORP	B	1,917.44	22.00	15.00	7.00	85.0	5.95
10/18/2018 523654301	NAPIER, JOHN	S ADVANSIX INC	S	-12.19	15.00	15.00	0.00	85.0	0.00
10/18/2018 523654301	NAPIER, JOHN	S GARRETT MOTION INC COM	S	-26.08	15.00	15.00	0.00	85.0	0.00
10/18/2018 523654301	NAPIER, JOHN	S GENERAL ELECTRIC COMPANY	B	639.45	22.00	15.00	7.00	85.0	5.95
10/18/2018 523654301	NAPIER, JOHN	S GREAT LAKES DREDGE & DOCK CO	S	-390.37	22.00	15.00	7.00	85.0	5.95
10/09/2018 552910247	ORUM, ALVA	S AMERIGAS PARTNERS LP	S	-2,000.53	22.00	15.00	7.00	85.0	5.95
10/09/2018 552910247	ORUM, ALVA	S ENBRIDGE INC ISIN CA29250N1050	B	3,396.31	22.00	15.00	7.00	85.0	5.95
10/09/2018 552910247	ORUM, ALVA	S GENERAL MILLS INCORPORATED	B	2,839.59	22.00	15.00	7.00	85.0	5.95
10/09/2018 552910247	ORUM, ALVA	S WILLIAMS COMPANIES INC (DEL)	S	-2,653.70	22.00	15.00	7.00	85.0	5.95
09/27/2018 646265081	FENCL, DANIEL	S CARDINAL HEALTH INC	B	5,422.44	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 646265081	FENCL, DANIEL	S HANESBRANDS INC	B	2,727.65	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 646265081	FENCL, DANIEL	S ROCHE HOLDING LTD-SPONS ADR	B	3,045.45	0.00	15.00	-15.00	85.0	-12.75
10/17/2018 676367143	SNYDER, ROBERT	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,225.95	22.00	15.00	7.00	85.0	5.95
10/17/2018 676367143	SNYDER, ROBERT	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,537.07	22.00	15.00	7.00	85.0	5.95
10/17/2018 676367143	SNYDER, ROBERT	S WELLS FARGO & CO	S	-5,315.49	22.00	15.00	7.00	85.0	5.95
09/27/2018 677654176	FENCL, DANIEL	S GENERAL MILLS INCORPORATED	B	2,175.45	0.00	15.00	-15.00	85.0	-12.75
09/27/2018 677654176	FENCL, DANIEL	S GLAXOSMITHKLINE PLC ADS	B	1,017.70	0.00	15.00	-15.00	85.0	-12.75
10/15/2018 677666658	VENEM, ROBERT	S ANHEUSER-BUSCH INBEV SPONS ADR	B	6,826.84	22.00	15.00	7.00	85.0	5.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/15/2018 677666658	VENEM, ROBERT	S GARRETT MOTION INC COM	S	-84.05	22.00	15.00	7.00	85.0	5.95
10/15/2018 677666658	VENEM, ROBERT	S GENERAL MILLS INCORPORATED	B	4,403.33	22.00	15.00	7.00	85.0	5.95
10/15/2018 677666658	VENEM, ROBERT	S MCKESSON CORPORATION	B	5,303.21	22.00	15.00	7.00	85.0	5.95
10/15/2018 677666658	VENEM, ROBERT	S WELLS FARGO & CO	S	-8,280.36	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S ADR BRITTISH AMERICAN TOBACCO	B	1,676.42	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S CARDINAL HEALTH INC	B	4,378.77	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S CONOCOPHILLIPS	S	-3,860.75	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S DUKE ENERGY CORP NEW COM NEW	B	2,072.21	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S EVERGY INC COM	S	-5,423.77	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S FORD MOTOR CO NEW	B	2,436.89	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S GENERAL MILLS INCORPORATED	B	4,387.31	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S GLAXOSMITHKLINE PLC ADS	B	2,054.45	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S JOHNSON & JOHNSON	S	-3,461.25	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S KIMBERLY-CLARK CORPORATION	S	-2,822.04	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S LAMB WESTON HOLDING INC	S	-1,033.00	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	4,845.35	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S VERIZON COMMUNICATIONS INC	B	1,360.95	22.00	15.00	7.00	85.0	5.95
09/27/2018 799773421	FENCL TOD, BARBARA	S WILLIAMS COMPANIES INC (DEL)	S	-1,334.04	22.00	15.00	7.00	85.0	5.95

*** STOCKS SUBTOTAL *****\$218,306.33****\$3,330.15****\$2,475.00****\$855.15****\$726.88**PRODUCT CATEGORY: MISCELLANEOUS

10/09/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368252344	S	0.00	10.00	0.00	10.00	85.0	8.50
10/10/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368434100	S	0.00	5.00	0.00	5.00	85.0	4.25
10/10/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368435370	S	0.00	5.00	0.00	5.00	85.0	4.25
10/10/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368434100	S	0.00	5.00	0.00	5.00	85.0	4.25
10/10/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368435370	S	0.00	5.00	0.00	5.00	85.0	4.25
10/19/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 276393378	S	0.00	10.00	0.00	10.00	85.0	8.50

*** MISCELLANEOUS SUBTOTAL *****\$0.00****\$40.00****\$0.00****\$40.00****\$34.00**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc148327	FENCL 148327	MFT LIFESTYLE MODERATE PORTFOLIO A	B	0.00	0.49	0.00	0.49	85.0	0.42
10/19/2018 mfc148327	FENCL 148327	MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.72	0.00	7.72	85.0	6.56
10/19/2018 mfc148327	FENCL 148327	MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.72	0.00	7.72	85.0	6.56
10/19/2018 mfc148327	FENCL 148327	MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.72	0.00	7.72	85.0	6.56
10/19/2018 mfc148327	FENCL 148327	MFT LORD ABBETT HIGH YIELD MUNI BD	B	0.00	0.46	0.00	0.46	85.0	0.39
10/19/2018 mfc148327	FENCL 148327	MFT PACIFIC PORT OPTIMA MOD- AGGR	B	0.00	147.40	0.00	147.40	85.0	125.29
10/19/2018 mfc148327	FENCL 148327	MFT REGIONAL BANK A	B	0.00	0.27	0.00	0.27	85.0	0.23

*** MUTUAL FUND 12B1 TRAILS SUBTOTAL *****\$0.00****\$171.78****\$0.00****\$171.78****\$146.01******* CLEARING TRANSACTIONS TOTAL *******\$1,852.24****\$3,541.93****\$2,507.50****\$1,034.43****\$879.26**

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/10/2018 (None)	VARIOUS, FENCL	MF JOHN HANCOCK MUTUAL FUND	B	43.75	0.44	0.00	0.44	85.0	0.37
10/09/2018 00075755748	RYAN, ANGELA	MF CAPITAL WORLD GROWTH AND INCOME	B	508.71	19.08	0.00	19.08	85.0	16.22
10/09/2018 00075755748	RYAN, ANGELA	MF FUNDAMENTAL INVESTORS-A	B	508.71	19.08	0.00	19.08	85.0	16.22
10/09/2018 00083685226	STARLING, BROOKE	MF CAPITAL INCOME BUILDER-A	B	52.63	2.63	0.00	2.63	85.0	2.24
10/09/2018 00083685226	STARLING, BROOKE	MF CAPITAL WORLD GROWTH AND INCOME	B	105.28	5.26	0.00	5.26	85.0	4.47
10/09/2018 00083685226	STARLING, BROOKE	MF EUROPACIFIC GROWTH FUND-A	B	105.27	5.26	0.00	5.26	85.0	4.47
10/09/2018 00083685226	STARLING, BROOKE	MF NEW WORLD FUND-A	B	157.92	7.90	0.00	7.90	85.0	6.72
10/09/2018 00083685226	STARLING, BROOKE	MF WASHINGTON MUTUAL INVESTORS	B	105.27	5.26	0.00	5.26	85.0	4.47
10/01/2018 06206144790	BERRY, DIANA	MF PIONEER FUND A	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$1,687.54	\$68.91	\$0.00	\$68.91		\$58.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/22/2018 (None)	ROCHE, DAVID	VA NATIONWIDE	B	30.00	1.58	0.00	1.58	85.0	1.34
* VARIABLE ANNUITIES SUBTOTAL *				\$30.00	\$1.58	\$0.00	\$1.58		\$1.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018 (None)	VARIOUS, FENCL	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	253.64	0.00	253.64	85.0	215.59
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE CROCI	B	0.00	3.90	0.00	3.90	85.0	3.32
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	11.52	0.00	11.52	85.0	9.79
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	2.47	0.00	2.47	85.0	2.10
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE CROCI INTERNATIONAL	B	0.00	1.12	0.00	1.12	85.0	0.95
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE CORE EQUITY FUND-C	B	0.00	7.37	0.00	7.37	85.0	6.26
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE ENHANCED COMMODITY	B	0.00	1.97	0.00	1.97	85.0	1.67
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE MID CAP VALUE A	B	0.00	2.10	0.00	2.10	85.0	1.79
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE MID CAP VALUE FUND-C	B	0.00	6.41	0.00	6.41	85.0	5.45
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE SMALL CAP CORE FUND-A	B	0.00	0.98	0.00	0.98	85.0	0.83
10/08/2018 00026011567	PULS, JERRY	MFT DEUTSCHE SMALL CAP CORE FUND-C	B	0.00	3.00	0.00	3.00	85.0	2.55
10/08/2018 00026011567	PULS, JERRY	MFT DWS ENHANCED COMMODITY	B	0.00	0.63	0.00	0.63	85.0	0.54
10/08/2018 00026011567	PULS, JERRY	MFT DWS1	B	0.00	3.50	0.00	3.50	85.0	2.98
10/08/2018 00089161822	KING, DUANE	MFT DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	11.82	0.00	11.82	85.0	10.05
10/08/2018 00089531114	CLARK, TRACY	MFT DEUTSCHE SMALL CAP GROWTH	B	0.00	39.71	0.00	39.71	85.0	33.75
10/08/2018 00133355452	EDEKER, TROY	MFT DEUTSCHE GLOBAL SMALL CAP	B	0.00	0.23	0.00	0.23	85.0	0.20
10/08/2018 00133355452	EDEKER, TROY	MFT DWS GLOBAL SMALL CAP FUND-A	B	0.00	0.07	0.00	0.07	85.0	0.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$350.44	\$0.00	\$350.44		\$297.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
10/03/2018 (None)	VARIOUS, FENCL	VAT TRANSAMERICA LIFE	B	0.00	147.61	0.00	147.61	85.0	125.47
10/10/2018 (None)	LEE, ELMERNA	VAT ZURICH	B	0.00	4.67	0.00	4.67	85.0	3.97
10/08/2018 VA96265060	MARQUETTE, LEO	VAT PACIFIC LIFE VA 694474206	B	0.00	34.31	0.00	34.31	85.0	29.16
10/12/2018 VA99151480	HARN, MARGARET	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	35.30	0.00	35.30	85.0	30.01
10/15/2018 VA99319930	MARK	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	86.94	0.00	86.94	85.0	73.90

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/15/2018	VM14002832	BEST	VAT PACIFIC LIFE VA 69448B175	B	0.00	648.76	0.00	648.76	85.0	551.45
10/18/2018	VM16005581	RIPPEL, SONDR	VAT PACIFIC LIFE VA 69448B191	B	0.00	626.07	0.00	626.07	85.0	532.16
10/17/2018	VR00011137	PAISLEY, RUTH	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	21.47	0.00	21.47	85.0	18.25
10/25/2018	VR00011328	SCHRODER	VAT PACIFIC LIFE VA 694474883	B	0.00	4.80	0.00	4.80	85.0	4.08
10/15/2018	VR01021777	DUCKWORTH	VAT PACIFIC LIFE VA 694474883	B	0.00	22.55	0.00	22.55	85.0	19.17
10/22/2018	VR02010758	RYAN	VAT PACIFIC LIFE VA 694474883	B	0.00	152.55	0.00	152.55	85.0	129.67
10/15/2018	VR03024366	SBIRAL, SUSAN	VAT PACIFIC LIFE VA 694474586	B	0.00	74.83	0.00	74.83	85.0	63.61
10/08/2018	VR04072110	RYAN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	119.08	0.00	119.08	85.0	101.22
10/25/2018	VR05005533	HARVEY	VAT PACIFIC LIFE VA 694474594	B	0.00	5.25	0.00	5.25	85.0	4.46
10/25/2018	VR05036710	DAVIS	VAT CUSIP 694474628	B	0.00	95.06	0.00	95.06	85.0	80.80
10/11/2018	VR05042587	DUNHAM, ARTHUR	VAT PACIFIC LIFE VA 694474610	B	0.00	83.77	0.00	83.77	85.0	71.20
10/25/2018	VR05056014	BARTZ	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	14.15	0.00	14.15	85.0	12.03
10/08/2018	VR06014963	HUSTON, ANDREA	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	71.23	0.00	71.23	85.0	60.55
10/10/2018	VR06078033	CLEMMENSEN	VAT PACIFIC LIFE VA 694474594	B	0.00	20.19	0.00	20.19	85.0	17.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$2,268.59	\$0.00	\$2,268.59		\$1,928.32
*** DIRECT TRANSACTIONS TOTAL ***					\$1,717.54	\$2,689.52	\$0.00	\$2,689.52		\$2,286.12

OVERRIDE TRANSACTIONSBROKER: SARAH BRAUNSHAUSEN-D062 #13

10/19/2018	152901006	BRAUNSHAUSEN,	MF	AMERICAN BALANCED FUND-A	S	-1,206.37	0.00	5.00	-5.00	-3.00
10/19/2018	152901006	BRAUNSHAUSEN,	MF	COLUMBIA GLOBAL EQUITY VALUE-A	B	-2,767.55	0.00	5.00	-5.00	-3.00
10/15/2018	646107186	ROUSE, CHELSEY	MF	AMCAP FUND-F1	S	122.45	0.00	2.50	-2.50	-1.50
10/15/2018	646107186	ROUSE, CHELSEY	MF	FUNDAMENTAL INVESTORS FUND	S	82.45	0.00	2.50	-2.50	-1.50
10/01/2018	646230641	CHRISTENSEN,	MF	AMCAP FUND-F1	S	102.45	0.00	2.50	-2.50	-1.50
10/15/2018	646230641	CHRISTENSEN,	MF	AMERICAN FDS WA MUTUAL FD CL F2	S	87.45	0.00	2.50	-2.50	-1.50
10/15/2018	646230641	CHRISTENSEN,	MF	THE GROWTH FUND OF AMERICA-F2	S	167.45	0.00	2.50	-2.50	-1.50
10/15/2018	152998771	BERST, MATTHEW	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	4,239.45	22.00	15.00	7.00	4.20
10/15/2018	152998771	BERST, MATTHEW	S	CVS HEALTH CORPORATION .	B	4,106.55	22.00	15.00	7.00	4.20
10/15/2018	152998771	BERST, MATTHEW	S	GENERAL MILLS INCORPORATED	B	2,653.04	22.00	15.00	7.00	4.20
10/15/2018	152998771	BERST, MATTHEW	S	MCDONALDS CORPORATION	B	4,139.95	22.00	15.00	7.00	4.20
10/15/2018	152998771	BERST, MATTHEW	S	PHILIP MORRIS INTERNATIONAL	B	2,977.75	22.00	15.00	7.00	4.20
10/15/2018	152998771	BERST, MATTHEW	S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	4,291.70	22.00	15.00	7.00	4.20
10/22/2018	368434370	BRAUNSHAUSEN,	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	2,118.95	22.00	15.00	7.00	4.20
10/22/2018	368434370	BRAUNSHAUSEN,	S	GENERAL MILLS INCORPORATED	B	2,230.45	22.00	15.00	7.00	4.20
10/22/2018	646280691	BRAUNSHAUSEN,	S	CVS HEALTH CORPORATION .	B	2,938.45	22.00	15.00	7.00	4.20
10/22/2018	a03076832	BRAUNSHAUSEN-D062,	MI	MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	6.00
10/22/2018	a03076832	BRAUNSHAUSEN-D062,	MI	MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	6.00
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMCAP FUND-F1	B	0.00	0.11	0.00	0.11	0.07
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMCAP FUND-F1	B	0.00	0.90	0.00	0.90	0.54
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.73	0.00	0.73	0.44
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN BALANCED FUND-F1	B	0.00	1.09	0.00	1.09	0.65
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN MUTUAL FUND-F1	B	0.00	0.94	0.00	0.94	0.56

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.15	0.00	8.15	4.89
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	10.97	0.00	10.97	6.58
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	10.92	0.00	10.92	6.55
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	10.32	0.00	10.32	6.19
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.57	0.00	0.57	0.34
10/19/2018	mfc076832	BRAUNSHAUSEN 076832	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.19	0.00	1.19	0.71
* SARAH BRAUNSHAUSEN-D062 SUBTOTAL *				\$26,284.62	\$263.89	\$157.50	\$106.39		\$63.82

BROKER: SPENCER JOHNSON-383056 #30

10/04/2018	368464222	KAVANAGH, KATHLEEN	S	ADR BRITTIISH AMERICAN TOBACCO	B	1,366.35	22.00	15.00	7.00	4.48
10/04/2018	368464222	KAVANAGH, KATHLEEN	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	1,768.65	22.00	15.00	7.00	4.48
10/04/2018	368464222	KAVANAGH, KATHLEEN	S	INVESCO LTD ISIN #BMG491BT1088	B	3,511.20	22.00	15.00	7.00	4.48
10/04/2018	368464222	KAVANAGH, KATHLEEN	S	WELLS FARGO & CO	S	-4,264.30	22.00	15.00	7.00	4.48
10/04/2018	431391859	KAVANAGH, KATHLEEN	S	AEGON N V ORD AMER REG	S	-5,256.28	22.00	15.00	7.00	4.48
10/04/2018	431391859	KAVANAGH, KATHLEEN	S	CARDINAL HEALTH INC	B	4,343.64	22.00	15.00	7.00	4.48
10/04/2018	431391859	KAVANAGH, KATHLEEN	S	GENERAL MILLS INCORPORATED	B	4,329.31	22.00	15.00	7.00	4.48
10/04/2018	431391859	KAVANAGH, KATHLEEN	S	PRAXAIR INCORPORATED	S	-4,097.00	22.00	15.00	7.00	4.48
10/04/2018	431391859	KAVANAGH, KATHLEEN	S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	5,562.85	22.00	15.00	7.00	4.48
10/04/2018	431391859	KAVANAGH, KATHLEEN	S	SYSCO CORPORATION	S	-3,576.55	22.00	15.00	7.00	4.48
09/27/2018	mfc383056	JOHNSON SPENCER		MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.26	0.00	0.26	0.17
09/27/2018	mfc383056	JOHNSON SPENCER		MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.60	0.00	0.60	0.38
09/27/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.46	0.00	0.46	0.29
09/27/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.48	0.00	0.48	0.31
09/27/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	1.55	0.00	1.55	0.99
09/27/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	1.61	0.00	1.61	1.03
10/11/2018	mfc383056	JOHNSON SPENCER		MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.36	0.00	0.36	0.23
10/11/2018	mfc383056	JOHNSON SPENCER		MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.84	0.00	0.84	0.54
10/11/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.10	0.00	0.10	0.06
10/11/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.10	0.00	0.10	0.06
10/11/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.56	0.00	0.56	0.36
10/11/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.61	0.00	0.61	0.39
10/11/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	1.86	0.00	1.86	1.19
10/11/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	2.02	0.00	2.02	1.29
10/25/2018	mfc383056	JOHNSON SPENCER		MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.36	0.00	0.36	0.23
10/25/2018	mfc383056	JOHNSON SPENCER		MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.85	0.00	0.85	0.54
10/25/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.22	0.00	0.22	0.14
10/25/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.22	0.00	0.22	0.14
10/25/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.46	0.00	0.46	0.29
10/25/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	0.62	0.00	0.62	0.40
10/25/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	1.53	0.00	1.53	0.98
10/25/2018	mfc383056	JOHNSON SPENCER		MFT FUNDAMENTAL EQUITY C	B	0.00	2.07	0.00	2.07	1.32
* SPENCER JOHNSON-383056 SUBTOTAL *						\$3,687.87	\$237.74	\$150.00	\$87.74	\$56.13
*** OVERRIDE TRANSACTIONS TOTAL ***						\$29,972.49	\$501.63	\$307.50	\$194.13	\$119.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	BROKER WORKSTATION-HARN		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	BROKER WORKSTATION-JOHNSON		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	BROKER WORKSTATION-SOLL		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	E-MAIL ACCOUNT-HARN		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	E-MAIL ACCOUNT-JOHNSON		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	E-MAIL ACCOUNT-SOLL		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	FINRA CE-SPENCER JOHNSON		0.00	0.00	0.00	0.00		-55.00
10/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		68.97
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-206.03</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-206.03</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,165.38
Split Transactions	\$0.00
Override Transactions	\$119.95
Adjustments	\$-206.03
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,079.30
Year-to-date Commissions	\$70,714.89