

COMMISSION STATEMENT
October 15, 2018

RIX SMITH-D063
9000 Highway 2147 West
Suite 201
Horseshoe Bay, TX 78657

BROKER #: D063
BRANCH: DMA

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None)	VARIOUS, SMITH RIX	MFT OPPENHEIMER	B	0.00	9.59	0.00	9.59	48.0	4.60
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9.59	\$0.00	\$9.59	\$4.60
				*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$9.59	\$0.00	\$9.59	\$4.60

SPLIT TRANSACTIONS

BROKER: RIX/RANDY SMITH/SMITH-D081 #80

10/05/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,819.19	48.19	0.00	48.19	23.13
				* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *	\$4,819.19	\$48.19	\$0.00	\$48.19	\$23.13
				*** SPLIT TRANSACTIONS TOTAL ***	\$4,819.19	\$48.19	\$0.00	\$48.19	\$23.13

BROKER COMMISSION TOTALS

Broker Transactions	\$4.60
Split Transactions	\$23.13
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$27.73
Year-to-date Commissions	\$15,785.93