

# COMMISSION STATEMENT

August 31, 2018

RICHARD DURAND-D020  
 9100 IH 10 West  
 Suite 210  
 San Antonio, TX 78230

BROKER #: D020  
 BRANCH: DMN

## COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

08/17/2018	646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
				* MUTUAL FUNDS SUBTOTAL *		\$416.66	\$11.39	\$2.50	\$8.89		\$7.56

#### PRODUCT CATEGORY: STOCKS

07/31/2018	123746900	AFT MINERALS LTD	S	APACHE CORPORATION	S	-89,924.18	400.00	15.00	385.00	85.0	327.25
07/31/2018	123746900	AFT MINERALS LTD	S	DEVON ENERGY CORPORATION NEW	S	-88,561.39	400.00	15.00	385.00	85.0	327.25
08/08/2018	123746900	AFT MINERALS LTD	S	DOCUSIGN INC COM	S	-118,226.01	400.00	15.00	385.00	85.0	327.25
08/27/2018	123746900	AFT MINERALS LTD	S	APPLIED MATERIALS INC	B	88,277.45	500.00	15.00	485.00	85.0	412.25
08/15/2018	152918272	BRANNIES, CATHERINE	S	BRISTOL MYERS SQUIBB COMPANY	S	-89,331.98	500.00	15.00	485.00	85.0	412.25
08/02/2018	214443197	DURAND, RICHARD	S	MDC PARTNERS INC CL A ISIN	S	-23,004.25	18.00	15.00	3.00	85.0	2.55
08/08/2018	214443197	DURAND, RICHARD	S	CLOVIS ONCOLOGY INC	S	-17,234.38	18.00	15.00	3.00	85.0	2.55
08/16/2018	215153974	DOYLE II, JOHN	S	FRANCO-NEVADA CORP ISIN	S	-8,751.54	125.00	15.00	110.00	85.0	93.50
08/16/2018	215153974	DOYLE II, JOHN	S	NVIDIA CORPORATION	S	-25,604.21	275.00	15.00	260.00	85.0	221.00
08/16/2018	215153974	DOYLE II, JOHN	S	QUALCOMM INC	S	-12,964.40	175.00	15.00	160.00	85.0	136.00
08/16/2018	215168539	DOYLE II TTEE, JOHN	S	ETFS PLATINUM TRUST	S	-7,322.49	100.00	15.00	85.00	85.0	72.25
08/16/2018	215168539	DOYLE II TTEE, JOHN	S	THE BLACKSTONE GROUP L.P.	S	-17,772.37	200.00	15.00	185.00	85.0	157.25
08/02/2018	306712582	GUERRA, RICARDO	S	DROPBOX INC CLASS A	B	2,922.45	60.00	15.00	45.00	85.0	38.25
08/14/2018	368262501	W HERNANDEZ, DR JOE	S	BRISTOL MYERS SQUIBB COMPANY	S	-2,946.02	50.00	15.00	35.00	85.0	29.75
08/14/2018	368262501	W HERNANDEZ, DR JOE	S	EXELON CORPORATION ISIN	S	-2,550.62	50.00	15.00	35.00	85.0	29.75
08/14/2018	368262501	W HERNANDEZ, DR JOE	S	VANGUARD INDEX FDS S&P 500 ETF	S	-10,122.95	50.00	15.00	35.00	85.0	29.75
08/14/2018	368262501	W HERNANDEZ, DR JOE	S	WALGREENS BOOTS ALLIANCE INC	S	-2,148.80	50.00	15.00	35.00	85.0	29.75
08/20/2018	368262501	W HERNANDEZ, DR JOE	S	VANGUARD TOTAL STK MKT ETF	S	-2,010.00	50.00	15.00	35.00	85.0	29.75
08/14/2018	368298729	MATTHEWS, JOHN	S	TEXAS PAC LD TR SUB SH CTF	S	-78,765.34	50.00	15.00	35.00	85.0	29.75
08/16/2018	368364342	DOYLE, JOHN	S	BHP BILLITON LIMITED-SPON ADR	S	-8,406.42	125.00	15.00	110.00	85.0	93.50
08/16/2018	368364342	DOYLE, JOHN	S	CLOVIS ONCOLOGY INC	S	-5,204.02	100.00	15.00	85.00	85.0	72.25
08/16/2018	368364342	DOYLE, JOHN	S	ENERGIOUS CORP	S	-12,593.98	175.00	15.00	160.00	85.0	136.00
08/16/2018	368364342	DOYLE, JOHN	S	FRANCO-NEVADA CORP ISIN	S	-9,622.57	125.00	15.00	110.00	85.0	93.50
08/16/2018	368364342	DOYLE, JOHN	S	PROSHARES TR SHORT RUSSELL 2000	S	-5,627.23	80.00	15.00	65.00	85.0	55.25
08/07/2018	368492671	KOEBEL, ANDREW	S	SELECT SECTOR SPDR FD CONSUMER	S	-7,630.10	50.00	15.00	35.00	85.0	29.75
08/13/2018	369948156	PLAN, IBI 401(K)	S	CONSUMER STAPLES SELECT SECTOR	S	-106,543.09	1,150.00	15.00	1,135.00	85.0	964.75
08/13/2018	369948156	PLAN, IBI 401(K)	S	ISHARES SILVER TRUST	S	-8,417.65	125.00	15.00	110.00	85.0	93.50

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/13/2018 369948156	PLAN, IBI 401(K)	S SPDR FD UTILITIES INDX TRADING	S	-32,841.41	380.00	15.00	365.00	85.0	310.25
08/13/2018 369948156	PLAN, IBI 401(K)	S SPDR GOLD TRUST GOLD SHS	S	-23,090.53	300.00	15.00	285.00	85.0	242.25
08/13/2018 369948156	PLAN, IBI 401(K)	S SPDR S&P OIL & GAS EXPLORATI	S	-21,034.95	250.00	15.00	235.00	85.0	199.75
08/13/2018 369948156	PLAN, IBI 401(K)	S SYSCO CORPORATION	S	-10,835.21	150.00	15.00	135.00	85.0	114.75
07/30/2018 400615403	CHASE, JP MORGAN	S CATERPILLAR INC	S	-71,599.22	50.00	15.00	35.00	85.0	29.75
07/30/2018 400615403	CHASE, JP MORGAN	S DEERE & COMPANY	S	-56,784.46	40.00	15.00	25.00	85.0	21.25
07/30/2018 400615403	CHASE, JP MORGAN	S INTL BUSINESS MACHINES INC	S	-13,822.70	100.00	15.00	85.00	85.0	72.25
07/30/2018 400615403	CHASE, JP MORGAN	S VODAFONE GROUP PLC NEW - SPON	S	-47,621.38	200.00	15.00	185.00	85.0	157.25
08/07/2018 400617109	CHASE, JP MORGAN	S KRAFT HEINZ CO/THE	S	-99,132.64	160.00	15.00	145.00	85.0	123.25
08/07/2018 400617109	CHASE, JP MORGAN	S SCHLUMBERGER LIMITED ISIN	S	99,599.15	-150.00	-15.00	-135.00	85.0	-114.75
08/07/2018 400617109	CHASE, JP MORGAN	S SCHLUMBERGER LIMITED ISIN	S	-99,599.15	150.00	15.00	135.00	85.0	114.75
08/07/2018 400617109	CHASE, JP MORGAN	S SCHLUMBERGER LIMITED ISIN	S	-99,613.85	150.00	15.00	135.00	85.0	114.75
08/07/2018 400617109	CHASE, JP MORGAN	S VODAFONE GROUP PLC NEW - SPON	S	-73,115.35	300.00	15.00	285.00	85.0	242.25
08/13/2018 400617109	CHASE, JP MORGAN	S CHEMOURS CO/THE	S	-26,545.71	100.00	15.00	85.00	85.0	72.25
08/13/2018 400617109	CHASE, JP MORGAN	S IMPERIAL OIL LIMITED NEW	S	-63,358.17	200.00	15.00	185.00	85.0	157.25
08/13/2018 400617109	CHASE, JP MORGAN	S VERSUM MATERIALS INC	S	-18,330.26	100.00	15.00	85.00	85.0	72.25
08/22/2018 400617109	CHASE, JP MORGAN	S ABB LTD	S	-137,536.20	600.00	15.00	585.00	85.0	497.25
08/23/2018 400617109	CHASE, JP MORGAN	S INTERNATIONAL PAPER COMPANY	S	-102,500.66	200.00	15.00	185.00	85.0	157.25
08/01/2018 429910206	KIRKPATRICK,	S BRISTOL MYERS SQUIBB COMPANY	S	-89,578.48	600.00	15.00	585.00	85.0	497.25
08/14/2018 492403091	MATTHEWS, ZELIME	S TEXAS PAC LD TR SUB SH CTF	S	-78,765.34	50.00	15.00	35.00	85.0	29.75
08/14/2018 492606790	MCNEEL, MARTHA	S JOHNSON & JOHNSON	S	-25,689.41	250.00	15.00	235.00	85.0	199.75
08/03/2018 583753688	PUE, ROGER	S GLADSTONE COMMERCIAL CORP 7.5%	B	10,852.53	140.00	15.00	125.00	85.0	106.25
08/03/2018 583753688	PUE, ROGER	S TWO HARBOR INVESTMENT CORP	B	4,160.50	75.00	15.00	60.00	85.0	51.00
08/27/2018 583769793	PEREZ, GRACIELA	S CANOPY GROWTH CORP ISIN	B	4,762.45	60.00	15.00	45.00	85.0	38.25
* STOCKS SUBTOTAL *				\$-1,742,106.54	\$9,856.00	\$735.00	\$9,121.00		\$7,752.85

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	2.48	0.00	2.48	85.0	2.11
08/21/2018 mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	3.18	0.00	3.18	85.0	2.70
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.14	0.00	3.14	85.0	2.67
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.21	0.00	3.21	85.0	2.73
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.61	0.00	3.61	85.0	3.07
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.23	0.00	4.23	85.0	3.60
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.25	0.00	4.25	85.0	3.61
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.29	0.00	4.29	85.0	3.65
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.65	0.00	4.65	85.0	3.95
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.30	0.00	5.30	85.0	4.51
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.30	0.00	5.30	85.0	4.51
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.30	0.00	5.30	85.0	4.51
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	6.89	0.00	6.89	85.0	5.86
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	11.19	0.00	11.19	85.0	9.51
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	20.90	0.00	20.90	85.0	17.77
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	29.18	0.00	29.18	85.0	24.80
08/21/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	56.30	0.00	56.30	85.0	47.86

COMMISSION STATEMENT for RICHARD DURAND-D020

				INVESTMENT		GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.63	0.00	1.63	85.0	1.39
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.91	0.00	3.91	85.0	3.32
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.56	0.00	5.56	85.0	4.73
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.92	0.00	5.92	85.0	5.03
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.49	0.00	6.49	85.0	5.52
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.39	0.00	0.39	85.0	0.33
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.68	0.00	0.68	85.0	0.58
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.11	0.00	1.11	85.0	0.94
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.32	0.00	0.32	85.0	0.27
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.81	0.00	1.81	85.0	1.54
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.09	0.00	1.09	85.0	0.93
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.21	0.00	1.21	85.0	1.03
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.88	0.00	0.88	85.0	0.75
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.94	0.00	0.94	85.0	0.80
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.09	0.00	1.09	85.0	0.93
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.60	0.00	1.60	85.0	1.36
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.66	0.00	1.66	85.0	1.41
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.67	0.00	1.67	85.0	1.42
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.94	0.00	1.94	85.0	1.65
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.97	0.00	1.97	85.0	1.67
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.36	0.00	2.36	85.0	2.01
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.62	0.00	2.62	85.0	2.23
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.73	0.00	2.73	85.0	2.32
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.03	0.00	3.03	85.0	2.58
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.49	0.00	4.49	85.0	3.82
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.32	0.00	5.32	85.0	4.52
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.32	0.00	5.32	85.0	4.52
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.32	0.00	5.32	85.0	4.52
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.60	0.00	6.60	85.0	5.61
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.80	0.00	6.80	85.0	5.78
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.17	0.00	7.17	85.0	6.09
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.83	0.00	7.83	85.0	6.66
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.47	0.00	32.47	85.0	27.60
08/21/2018	mfc884599	DURAND	884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	95.03	0.00	95.03	85.0	80.78
08/21/2018	mfc884599	DURAND	884599	MFT DAVIS NEW YORK VENTURE CLASS C	B	0.00	15.84	0.00	15.84	85.0	13.46
08/21/2018	mfc884599	DURAND	884599	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.28	0.00	0.28	85.0	0.24
08/21/2018	mfc884599	DURAND	884599	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.15	0.00	0.15	85.0	0.13
08/21/2018	mfc884599	DURAND	884599	MFT EMERGING GROWTH FUND A	B	0.00	1.28	0.00	1.28	85.0	1.09
08/21/2018	mfc884599	DURAND	884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.75	0.00	0.75	85.0	0.64
08/21/2018	mfc884599	DURAND	884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	1.07	0.00	1.07	85.0	0.91
08/21/2018	mfc884599	DURAND	884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.63	0.00	0.63	85.0	0.54
08/21/2018	mfc884599	DURAND	884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.73	0.00	1.73	85.0	1.47
08/21/2018	mfc884599	DURAND	884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.13	0.00	6.13	85.0	5.21
08/21/2018	mfc884599	DURAND	884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.24	0.00	6.24	85.0	5.30

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
08/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	12.70	0.00	12.70	85.0	10.80
08/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	4.65	0.00	4.65	85.0	3.95
08/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	11.96	0.00	11.96	85.0	10.17
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	1.55	0.00	1.55	85.0	1.32
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	2.24	0.00	2.24	85.0	1.90
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.43	0.00	0.43	85.0	0.37
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.95	0.00	1.95	85.0	1.66
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.18	0.00	2.18	85.0	1.85
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.20	0.00	2.20	85.0	1.87
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.64	0.00	3.64	85.0	3.09
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.72	0.00	3.72	85.0	3.16
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.60	0.00	4.60	85.0	3.91
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	7.35	0.00	7.35	85.0	6.25
08/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	37.02	0.00	37.02	85.0	31.47
08/21/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A	B	0.00	4.25	0.00	4.25	85.0	3.61
08/21/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A	B	0.00	4.44	0.00	4.44	85.0	3.77
08/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.68	0.00	1.68	85.0	1.43
08/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.43	0.00	2.43	85.0	2.07
08/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	1.34	0.00	1.34	85.0	1.14
08/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.26	0.00	1.26	85.0	1.07
08/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.46	0.00	1.46	85.0	1.24
08/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	10.95	0.00	10.95	85.0	9.31
08/21/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	5.72	0.00	5.72	85.0	4.86
08/21/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	4.70	0.00	4.70	85.0	4.00
08/21/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	4.73	0.00	4.73	85.0	4.02
08/21/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	2.02	0.00	2.02	85.0	1.72
08/21/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	2.05	0.00	2.05	85.0	1.74
08/21/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	18.16	0.00	18.16	85.0	15.44
08/21/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	1.35	0.00	1.35	85.0	1.15
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.10	0.00	1.10	85.0	0.94
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.17	0.00	1.17	85.0	0.99
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.20	0.00	0.20	85.0	0.17
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.52	0.00	0.52	85.0	0.44
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.50	0.00	1.50	85.0	1.28
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.09	0.00	3.09	85.0	2.63
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	4.09	0.00	4.09	85.0	3.48
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.64	0.00	2.64	85.0	2.24
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.62	0.00	2.62	85.0	2.23
08/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.23	0.00	2.23	85.0	1.90
08/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.35	0.00	2.35	85.0	2.00
08/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.74	0.00	0.74	85.0	0.63
08/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.46	0.00	3.46	85.0	2.94
08/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	0.40	0.00	0.40	85.0	0.34
08/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	0.77	0.00	0.77	85.0	0.65

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
08/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.61	0.00	0.61	85.0	0.52
08/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.83	0.00	0.83	85.0	0.71
08/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	1.02	0.00	1.02	85.0	0.87
08/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.21	0.00	3.21	85.0	2.73
08/21/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	15.63	0.00	15.63	85.0	13.29
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.25	0.00	0.25	85.0	0.21
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.54	0.00	1.54	85.0	1.31
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.34	0.00	2.34	85.0	1.99
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.59	0.00	2.59	85.0	2.20
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.20	0.00	3.20	85.0	2.72
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.85	0.00	3.85	85.0	3.27
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.91	0.00	5.91	85.0	5.02
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.49	0.00	6.49	85.0	5.52
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.49	0.00	6.49	85.0	5.52
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.68	0.00	7.68	85.0	6.53
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.73	0.00	11.73	85.0	9.97
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.50	0.00	14.50	85.0	12.33
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	30.02	0.00	30.02	85.0	25.52
08/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.00	0.00	31.00	85.0	26.35
08/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.05	0.00	1.05	85.0	0.89
08/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.39	0.00	3.39	85.0	2.88
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.83	0.00	3.83	85.0	3.26
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.53	0.00	4.53	85.0	3.85
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.84	0.00	4.84	85.0	4.11
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.18	0.00	5.18	85.0	4.40
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.72	0.00	5.72	85.0	4.86
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.72	0.00	5.72	85.0	4.86
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.88	0.00	8.88	85.0	7.55
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	9.23	0.00	9.23	85.0	7.85
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	27.34	0.00	27.34	85.0	23.24
08/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	33.18	0.00	33.18	85.0	28.20
08/21/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	52.29	0.00	52.29	85.0	44.45
08/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	154.33	0.00	154.33	85.0	131.18
08/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	42.92	0.00	42.92	85.0	36.48
08/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	638.25	0.00	638.25	85.0	542.51
08/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	42.68	0.00	42.68	85.0	36.28
08/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	44.78	0.00	44.78	85.0	38.06
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.66	0.00	0.66	85.0	0.56
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.69	0.00	0.69	85.0	0.59
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.75	0.00	1.75	85.0	1.49
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.79	0.00	1.79	85.0	1.52
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.05	0.00	2.05	85.0	1.74
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.61	0.00	2.61	85.0	2.22
08/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.64	0.00	2.64	85.0	2.24

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.97	0.00	3.97	85.0	3.37
08/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.02	0.00	4.02	85.0	3.42
08/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.75	0.00	5.75	85.0	4.89
08/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.75	0.00	5.75	85.0	4.89
08/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.75	0.00	5.75	85.0	4.89
08/21/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.47	0.00	7.47	85.0	6.35
08/21/2018	mfc884599 DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.61	0.00	5.61	85.0	4.77
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,913.61</b>	<b>\$0.00</b>	<b>\$1,913.61</b>		<b>\$1,626.68</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-1,741,689.88</b>	<b>\$11,781.00</b>	<b>\$737.50</b>	<b>\$11,043.50</b>		<b>\$9,387.09</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/15/2018	00067562789 PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
08/20/2018	00660109470 HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$250.00</b>	<b>\$8.50</b>	<b>\$0.00</b>	<b>\$8.50</b>		<b>\$7.23</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018	(None) VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	32.94	0.00	32.94	85.0	28.00
08/03/2018	01556570000 WAGNER JT TEN,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	53.02	0.00	53.02	85.0	45.07
08/03/2018	08021230000 ANDERSON, DEBRA	MFT COLUMBIA DISCIPLINED CORE	B	0.00	4.03	0.00	4.03	85.0	3.43
08/13/2018	08308608103 CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.74	0.00	0.74	85.0	0.63
08/03/2018	09990084509 OF MOULTON TEXAS,	MFT COLUMBIA INCOME FUND CLASS A	B	0.00	4.61	0.00	4.61	85.0	3.92
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$95.34</b>	<b>\$0.00</b>	<b>\$95.34</b>		<b>\$81.05</b>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

08/18/2018	(None) MATTE, JOSEPH	VAT AMERITAS	B	0.00	6.12	0.00	6.12	85.0	5.20
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$6.12</b>	<b>\$0.00</b>	<b>\$6.12</b>		<b>\$5.20</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$250.00</b>	<b>\$109.96</b>	<b>\$0.00</b>	<b>\$109.96</b>		<b>\$93.48</b>

ADJUSTMENTS TRANSACTIONSBROKER: #0

08/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	: BROKER WORKSTATION-GIFFEN	0.00	0.00	0.00	0.00	-30.00
08/31/2018	: CUSTOMER WRITE OFF 276073491	0.00	0.00	0.00	0.00	-10.00
08/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
08/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	958.24
<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$733.24</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$733.24</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,480.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$733.24
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$10,213.81</b>
<b>Year-to-date Commissions</b>	<b>\$107,346.52</b>