

COMMISSION STATEMENT

October 31, 2018

JENNIFER HIEBER

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

BROKER #: R43

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
10/10/2018	QGW037806 PICKET, GEOFFREY	AL FRANK FUND INVESTOR CLASS	S	-6,500.00	65.16	0.00	65.16	60.0	39.10
		* MUTUAL FUNDS SUBTOTAL *		\$-6,500.00	\$65.16	\$0.00	\$65.16		\$39.10
PRODUCT CATEGORY: STOCKS									
10/08/2018	QGW037236 JACOBSON, HARVEY	AT&T INC	B	6,761.00	100.00	0.00	100.00	60.0	60.00
10/29/2018	QGW037236 JACOBSON, HARVEY	ALTRIA GROUP INC	S	-14,206.17	100.00	0.00	100.00	60.0	60.00
10/29/2018	QGW037236 JACOBSON, HARVEY	SQUARE INC CLASS A COM	S	-4,912.40	100.00	0.00	100.00	60.0	60.00
10/10/2018	QGW037244 HEIBER, JEAN	APPLE INC	S	-111,660.00	1,000.00	0.00	1,000.00	60.0	600.00
10/10/2018	QGW037244 HEIBER, JEAN	VERIZON COMMUNICATIONS	S	-110,740.00	1,000.00	0.00	1,000.00	60.0	600.00
09/28/2018	QGW037277 HEIBER, JEAN	TILRAY INC COM	S	-142,932.80	1,000.00	0.00	1,000.00	60.0	600.00
09/28/2018	QGW037277 HEIBER, JEAN	TILRAY INC COM	S	142,932.80	-1,000.00	0.00	-1,000.00	60.0	-600.00 C
09/28/2018	QGW037277 HEIBER, JEAN	TILRAY INC COM	S	-142,952.80	1,000.00	0.00	1,000.00	60.0	600.00
10/01/2018	QGW037277 HEIBER, JEAN	TILRAY INC COM	S	-161,120.00	1,000.00	0.00	1,000.00	60.0	600.00
10/05/2018	QGW037277 HEIBER, JEAN	CRONOS GROUP INC COM ISIN#CA22717L1	B	51,599.50	100.00	0.00	100.00	60.0	60.00
10/11/2018	QGW037277 HEIBER, JEAN	SQUARE INC CLASS A COM	B	139,500.00	100.00	0.00	100.00	60.0	60.00
10/16/2018	QGW037277 HEIBER, JEAN	CANOPY GROWTH CORP COM ISIN# CA1380	B	56,914.20	100.00	0.00	100.00	60.0	60.00
10/16/2018	QGW037277 HEIBER, JEAN	TILRAY INC COM	B	170,000.00	100.00	0.00	100.00	60.0	60.00
10/01/2018	QGW037285 HEIBER, JEAN	CATERPILLAR INC	S	-76,560.00	100.00	0.00	100.00	60.0	60.00
10/01/2018	QGW037285 HEIBER, JEAN	DUNKIN BRANDS GROUP INC	S	-36,454.85	200.00	0.00	200.00	60.0	120.00
10/01/2018	QGW037285 HEIBER, JEAN	GNC HLDGS INC COM CL A	S	-20,714.50	200.00	0.00	200.00	60.0	120.00
10/01/2018	QGW037285 HEIBER, JEAN	TORONTO-DOMINION BANK	S	-61,193.30	500.00	0.00	500.00	60.0	300.00
10/02/2018	QGW037285 HEIBER, JEAN	ULTRALIFE CORP COM	S	-39,439.00	100.00	0.00	100.00	60.0	60.00
10/03/2018	QGW037285 HEIBER, JEAN	GERON CORP	S	-25,500.00	100.00	0.00	100.00	60.0	60.00
10/10/2018	QGW037285 HEIBER, JEAN	DOMO INC CL B	S	-84,341.50	100.00	0.00	100.00	60.0	60.00
10/16/2018	QGW037285 HEIBER, JEAN	ETF MANAGERS TR ETFMG ALTERNATIVE H	B	83,228.00	100.00	0.00	100.00	60.0	60.00
09/28/2018	QGW037301 JACOBSON, HARVEY	PULTEGROUP INC COM	B	19,800.00	100.00	0.00	100.00	60.0	60.00
10/01/2018	QGW037301 JACOBSON, HARVEY	PULTEGROUP INC COM	B	3,300.00	100.00	0.00	100.00	60.0	60.00
10/02/2018	QGW037301 JACOBSON, HARVEY	CRONOS GROUP INC COM ISIN#CA22717L1	B	5,500.00	100.00	0.00	100.00	60.0	60.00
10/08/2018	QGW037301 JACOBSON, HARVEY	PAYPAL HOLDINGS INC COM	B	6,764.82	100.00	0.00	100.00	60.0	60.00
10/08/2018	QGW037301 JACOBSON, HARVEY	SCHLUMBERGER LTD	S	-15,631.00	100.00	0.00	100.00	60.0	60.00
10/09/2018	QGW037301 JACOBSON, HARVEY	TILRAY INC COM	B	4,563.36	100.00	0.00	100.00	60.0	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2018	QGW037301	JACOBSON, HARVEY		RITE AID CORPORATION	B	1,808.00	100.00	0.00	100.00 60.0 60.00
10/11/2018	QGW037301	JACOBSON, HARVEY		AT&T INC	B	6,471.30	100.00	0.00	100.00 60.0 60.00
10/11/2018	QGW037301	JACOBSON, HARVEY		GILEAD SCIENCES INC	B	6,578.99	100.00	0.00	100.00 60.0 60.00
10/12/2018	QGW037301	JACOBSON, HARVEY		GENERAL MOTORS COMPANY	B	24,000.00	100.00	0.00	100.00 60.0 60.00
10/26/2018	QGW037301	JACOBSON, HARVEY		INTEL CORP	S	-16,069.76	100.00	0.00	100.00 60.0 60.00
09/27/2018	QGW037343	JT TRUST, HARVEY		NEW YORK COMMUNITY BANCORP INC	B	6,275.64	100.00	0.00	100.00 60.0 60.00
10/04/2018	QGW037418	PARTNERS, JACOBSON		LEGG MASON INC	B	20,500.00	291.87	0.00	291.87 60.0 175.12
10/04/2018	QGW037418	PARTNERS, JACOBSON		LEGG MASON INC	B	-20,500.00	-291.87	0.00	-291.87 60.0 -175.12 C
10/04/2018	QGW037418	PARTNERS, JACOBSON		LEGG MASON INC	B	20,500.00	100.00	0.00	100.00 60.0 60.00
10/08/2018	QGW037418	PARTNERS, JACOBSON		AT&T INC	B	6,781.32	100.00	0.00	100.00 60.0 60.00
10/08/2018	QGW037418	PARTNERS, JACOBSON		GENERAL MILLS INC	B	6,572.49	100.00	0.00	100.00 60.0 60.00
10/09/2018	QGW037418	PARTNERS, JACOBSON		ALIBABA GROUP HOLDING LTD SPONSORED	B	6,728.83	100.00	0.00	100.00 60.0 60.00
10/26/2018	QGW037418	PARTNERS, JACOBSON		BANK OF AMERICA CORP	B	6,561.68	100.00	0.00	100.00 60.0 60.00
10/29/2018	QGW037418	PARTNERS, JACOBSON		PFIZER INCORPORATED	S	-8,256.18	100.00	0.00	100.00 60.0 60.00
10/01/2018	QGW037525	HOLBROOK, WILLIAM		PROCTER & GAMBLE CO	B	83,417.20	100.00	0.00	100.00 60.0 60.00
10/02/2018	QGW037525	HOLBROOK, WILLIAM		NEWMONT MINING CORP (NEW)	B	31,203.00	100.00	0.00	100.00 60.0 60.00
10/04/2018	QGW037525	HOLBROOK, WILLIAM		PROCTER & GAMBLE CO	S	-81,770.00	100.00	0.00	100.00 60.0 60.00
10/08/2018	QGW037525	HOLBROOK, WILLIAM		NEWMONT MINING CORP (NEW)	S	-30,010.00	100.00	0.00	100.00 60.0 60.00
10/25/2018	QGW037533	GASTON, DAVID		VANGUARD INDEX FUNDS VANGUARD VALUE	B	10,386.64	100.00	0.00	100.00 60.0 60.00
10/10/2018	QGW037616	PAYFER, KELLY		ETF MANAGERS TR ETFMG ALTERNATIVE H	B	3,797.99	100.00	0.00	100.00 60.0 60.00
10/24/2018	QGW037681	SWEZEY, SALLY		BP P L C SPONSORED ADR (FRM BP AMOC	B	8,356.00	150.00	0.00	150.00 60.0 90.00
10/24/2018	QGW037681	SWEZEY, SALLY		VERIZON COMMUNICATIONS	S	-17,434.38	300.00	0.00	300.00 60.0 180.00
09/28/2018	QGW037723	PICKET, GEOFFREY		CRITEO S A SPONSORED ADS	B	11,645.00	150.00	0.00	150.00 60.0 90.00
10/12/2018	QGW037723	PICKET, GEOFFREY		PACKAGING CORP AMER	B	8,886.20	100.00	0.00	100.00 60.0 60.00
10/03/2018	QGW037970	MENELL, AMY		SQUARE INC CLASS A COM	S	-14,556.71	300.00	0.00	300.00 60.0 180.00
10/03/2018	QGW037970	MENELL, AMY		STERLING BANCORP	S	-5,738.74	200.00	0.00	200.00 60.0 120.00
				* STOCKS SUBTOTAL *		\$-281,360.13	\$9,700.00	\$0.00	\$9,700.00 \$5,820.00

PRODUCT CATEGORY: OPTIONS

10/08/2018	QGW037236	JACOBSON, HARVEY		CALL 100 AT&T INC COM EXP 04-18-19@	S	-475.00	70.00	0.00	70.00 60.0 42.00
10/08/2018	QGW037236	JACOBSON, HARVEY		PUT 100 AT&T INC COM EXP 10-19-18@3	B	2,208.00	70.00	0.00	70.00 60.0 42.00
10/08/2018	QGW037236	JACOBSON, HARVEY		PUT 100 AT&T INC COM EXP 04-18-19@3	S	-1,480.00	70.00	0.00	70.00 60.0 42.00
10/19/2018	QGW037236	JACOBSON, HARVEY		PUT 100 WELLS FARGO & CO NEW COM EX	B	10.00	12.00	0.00	12.00 60.0 0.00
10/29/2018	QGW037236	JACOBSON, HARVEY		CALL 100 ALTRIA GROUP INC COM EXP 1	B	2,260.00	70.00	0.00	70.00 60.0 42.00
10/29/2018	QGW037236	JACOBSON, HARVEY		PUT 100 SQUARE INC CL A EXP 12-21-1	S	-1,868.00	70.00	0.00	70.00 60.0 42.00
10/02/2018	QGW037301	JACOBSON, HARVEY		PUT 100 CRONOS GROUP INC COM ISIN#C	B	4,718.00	70.00	0.00	70.00 60.0 42.00
10/02/2018	QGW037301	JACOBSON, HARVEY		PUT 100 CRONOS GROUP INC COM ISIN#C	S	-4,032.00	70.00	0.00	70.00 60.0 42.00
10/05/2018	QGW037301	JACOBSON, HARVEY		PUT 100 LEGG MASON INC EXP 02-15-19	S	-1,300.00	70.00	0.00	70.00 60.0 42.00
10/05/2018	QGW037301	JACOBSON, HARVEY		PUT 100 LEGG MASON INC EXP 02-15-19	S	1,300.00	-70.00	0.00	-70.00 60.0 -42.00 C
10/08/2018	QGW037301	JACOBSON, HARVEY		CALL 100 GENERAL ELECTRIC CO COM EX	S	-354.00	70.00	0.00	70.00 60.0 42.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/08/2018	QGW037301 JACOBSON, HARVEY	CALL 100 PAYPAL HLDGS INC COM EXP 0 S		-1,863.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GENERAL ELECTRIC CO COM EXP S		-1,206.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 PAYPAL HLDGS INC COM EXP 10 B		1,875.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 PAYPAL HLDGS INC COM EXP 04 S		-2,298.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# S		-2,300.00	70.00	0.00	70.00	60.0	42.00
10/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 RITE AID CORP EXP 10-19-18@ B		1,350.00	70.00	0.00	70.00	60.0	42.00
10/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TILRAY INC COM EXP 01-18-19 S		-5,427.00	70.00	0.00	70.00	60.0	42.00
10/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TILRAY INC COM EXP 11-16-18 B		8,748.00	70.00	0.00	70.00	60.0	42.00
10/10/2018	QGW037301 JACOBSON, HARVEY	PUT 100 CORNING INC COM EXP 01-18-1 S		-1,740.00	70.00	0.00	70.00	60.0	42.00
10/11/2018	QGW037301 JACOBSON, HARVEY	CALL 100 AT&T INC COM EXP 04-18-19@ S		-618.00	70.00	0.00	70.00	60.0	42.00
10/11/2018	QGW037301 JACOBSON, HARVEY	PUT 100 AT&T INC COM EXP 10-19-18@3 B		1,590.00	70.00	0.00	70.00	60.0	42.00
10/11/2018	QGW037301 JACOBSON, HARVEY	PUT 100 AT&T INC COM EXP 04-18-19@3 S		-1,848.00	70.00	0.00	70.00	60.0	42.00
10/11/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GILEAD SCIENCES INC EXP 11- B		3,004.00	70.00	0.00	70.00	60.0	42.00
10/11/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GILEAD SCIENCES INC EXP 05- S		-2,968.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037301 JACOBSON, HARVEY	CALL 100 CANOPY GROWTH CORP COM ISI S		-1,736.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037301 JACOBSON, HARVEY	PUT 100 CANOPY GROWTH CORP COM ISIN S		-2,872.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037301 JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 04-18-19 S		-2,995.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037301 JACOBSON, HARVEY	PUT 100 QUALCOMM INC EXP 04-18-19@6 S		-2,556.00	70.00	0.00	70.00	60.0	42.00
10/29/2018	QGW037301 JACOBSON, HARVEY	PUT 100 INTERNATIONAL BUSINESS MACH S		-1,830.00	70.00	0.00	70.00	60.0	42.00
10/30/2018	QGW037301 JACOBSON, HARVEY	CALL 100 WESTERN UN CO COM EXP 05-1 S		-518.00	70.00	0.00	70.00	60.0	42.00
10/30/2018	QGW037301 JACOBSON, HARVEY	PUT 100 WESTERN UN CO COM EXP 11-16 B		1,610.00	70.00	0.00	70.00	60.0	42.00
10/30/2018	QGW037301 JACOBSON, HARVEY	PUT 100 WESTERN UN CO COM EXP 05-17 S		-1,449.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037343 JT TRUST, HARVEY	PUT 100 VERIZON COMMUNICATIONS INC S		-1,910.00	70.00	0.00	70.00	60.0	42.00
10/05/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 LEGG MASON INC EXP 02-15-19 S		-1,300.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	CALL 100 AT&T INC COM EXP 04-18-19@ S		-510.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	CALL 100 GENERAL ELECTRIC CO COM EX S		-354.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 AT&T INC COM EXP 10-19-18@3 B		1,820.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 AT&T INC COM EXP 04-18-19@3 S		-1,430.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL MILLS INC COM EXP 1 B		1,684.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP S		-1,212.00	70.00	0.00	70.00	60.0	42.00
10/08/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL MILLS INC COM EXP 0 S		-1,488.00	70.00	0.00	70.00	60.0	42.00
10/09/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 ALIBABA GROUP HLDG LTD SPON S		-3,294.00	70.00	0.00	70.00	60.0	42.00
10/09/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 ALIBABA GROUP HLDG LTD SPON B		6,196.00	70.00	0.00	70.00	60.0	42.00
10/19/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 CANOPY GROWTH CORP COM ISIN B		20.00	20.00	0.00	20.00	60.0	0.00
10/19/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 CANOPY GROWTH CORP COM ISIN S		-2,240.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037418 PARTNERS, JACOBSON	CALL 100 BANK OF AMERICA CORPORATIO S		-870.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 BANK OF AMERICA CORPORATION B		2,268.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 BANK OF AMERICA CORPORATION S		-1,848.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 WALGREENS BOOTS ALLIANCE EX S		-1,892.00	70.00	0.00	70.00	60.0	42.00
10/26/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 WALGREENS BOOTS ALLIANCE EX B		504.00	70.00	0.00	70.00	60.0	42.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

10/26/2018	QGW037418	PARTNERS, JACOBSON	PUT 100 WEYERHAEUSER CO EXP 01-18-1 S	-1,366.02	70.00	0.00	70.00	60.0	42.00
10/29/2018	QGW037418	PARTNERS, JACOBSON	PUT 100 PFIZER INC COM EXP 03-15-19 S	-1,635.00	70.00	0.00	70.00	60.0	42.00
* OPTIONS SUBTOTAL *				\$-23,917.02	\$3,462.00	\$0.00	\$3,462.00		\$2,058.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018			FEE COUNT - 6	0.00	44.77	0.00	44.77	60.0	26.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$44.77	\$0.00	\$44.77		\$26.87
*** BROKER TRANSACTIONS TOTAL ***				\$-311,777.15	\$13,271.93	\$0.00	\$13,271.93		\$7,943.97

ADJUSTMENTS

10/31/2018			OTHER: THOMAS ROSS	0.00	0.00	0.00	0.00		-2,500.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,500.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,943.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,500.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.59
SIPC Assessment	\$-33.18

Check Amount	\$5,394.20
Year-to-date Earnings	\$86,523.13