

COMMISSION STATEMENT November 30, 2018

JIM HUGHES-D349
 150 West Gibson
 Suite 100
 Jasper, TX 75951

BROKER #: D349
 BRANCH: DMV

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/12/2018	000000002367136	JONES, JESS MF FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50	80.0	1.20
* MUTUAL FUNDS SUBTOTAL *				\$150.00	\$1.50	\$0.00	\$1.50		\$1.20
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
11/25/2018	(None)	ADVANTAGE VAT MASS MUTUAL	B	0.00	28.55	0.00	28.55	80.0	22.84
11/19/2018	VM15001220	MATTHEWS, LATISHA VAT PACIFIC LIFE VA 69448B191	B	0.00	11.25	0.00	11.25	80.0	9.00
11/19/2018	VM16000353	THOMPSON, SANDRA VAT PACIFIC LIFE VA 69448B175	B	0.00	3.56	0.00	3.56	80.0	2.85
11/19/2018	VM16000357	WALSTON, FAITH VAT PACIFIC LIFE VA 69448B175	B	0.00	2.90	0.00	2.90	80.0	2.32
11/19/2018	VM16000361	GARESS, LAURA VAT PACIFIC LIFE VA 69448B175	B	0.00	9.53	0.00	9.53	80.0	7.62
11/19/2018	VM16000365	HENSARLING, SHAWNA VAT PACIFIC LIFE VA 69448B175	B	0.00	2.74	0.00	2.74	80.0	2.19
11/19/2018	VM16000385	CLOWERS, SUNNIE VAT PACIFIC LIFE VA 69448B175	B	0.00	1.71	0.00	1.71	80.0	1.37
11/19/2018	VM16000393	DALTON, MELISSA VAT PACIFIC LIFE VA 69448B175	B	0.00	3.37	0.00	3.37	80.0	2.70
11/19/2018	VM16005144	BRONSTAD, LORI VAT PACIFIC LIFE VA 69448B191	B	0.00	9.17	0.00	9.17	80.0	7.34
11/19/2018	VM17002103	WEBB, BRIAN VAT PACIFIC LIFE VA 69448B191	B	0.00	15.37	0.00	15.37	80.0	12.30
11/19/2018	VM17004943	WINN, TYLER VAT PACIFIC LIFE VA 69448B191	B	0.00	10.07	0.00	10.07	80.0	8.06
11/19/2018	VR11009288	DRAKE, JORDAN VAT PACIFIC LIFE CUSIP 694475807	B	0.00	21.95	0.00	21.95	80.0	17.56
11/19/2018	VR11010756	OGDEN, KRISTI VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	80.0	10.98
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$133.90	\$0.00	\$133.90		\$107.13
*** DIRECT TRANSACTIONS TOTAL ***				\$150.00	\$135.40	\$0.00	\$135.40		\$108.33

ADJUSTMENTS TRANSACTIONS

<u>BROKER:</u>	<u>#0</u>								
11/30/2018		: 2019 FINRA RENEWALS		0.00	0.00	0.00	0.00		-420.00
11/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-605.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-605.00

BROKER COMMISSION TOTALS

Broker Transactions	\$108.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-605.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-496.67
Year-to-date Commissions	\$38,199.82