

# COMMISSION STATEMENT September 30, 2018

 ALAN SMITH-D206  
 1900 NW Loop 410

 BROKER #: D206  
 BRANCH: DMR

San Antonio, TX 78213

## COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/21/2018	mfc892289	SMITH ALAN 892289		MFT AMERICAN BALANCED FUND-A	B	0.00	17.87	0.00	17.87 80.0 14.30
09/21/2018	mfc892289	SMITH ALAN 892289		MFT AMERICAN BALANCED FUND-A	B	0.00	59.82	0.00	59.82 80.0 47.86
09/21/2018	mfc892289	SMITH ALAN 892289		MFT CAPITAL INCOME BUILDER-A	B	0.00	49.74	0.00	49.74 80.0 39.79
09/21/2018	mfc892289	SMITH ALAN 892289		MFT THE INCOME FUND OF AMERICA-A	B	0.00	41.71	0.00	41.71 80.0 33.37
09/24/2018	mfc892289	SMITH ALAN 892289		MFT AMERICAN BALANCED FUND-A	B	0.00	16.84	0.00	16.84 80.0 13.47
09/24/2018	mfc892289	SMITH ALAN 892289		MFT AMERICAN BALANCED FUND-A	B	0.00	146.72	0.00	146.72 80.0 117.38
09/24/2018	mfc892289	SMITH ALAN 892289		MFT CAPITAL INCOME BUILDER-A	B	0.00	46.69	0.00	46.69 80.0 37.35
09/24/2018	mfc892289	SMITH ALAN 892289		MFT THE INCOME FUND OF AMERICA-A	B	0.00	39.34	0.00	39.34 80.0 31.47
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$418.73</b>	<b>\$0.00</b>	<b>\$418.73 \$334.99</b>
				<b>*** CLEARING TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$418.73</b>	<b>\$0.00</b>	<b>\$418.73 \$334.99</b>

## DIRECT TRANSACTIONS

### **PRODUCT CATEGORY: MUTUAL FUNDS**

08/20/2018	(None)	GLENNROBES		MF BLACKROCK	B	100.00	1.00	0.00	1.00 80.0 0.80
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$100.00</b>	<b>\$1.00</b>	<b>\$0.00</b>	<b>\$1.00 \$0.80</b>
				<b>*** DIRECT TRANSACTIONS TOTAL ***</b>		<b>\$100.00</b>	<b>\$1.00</b>	<b>\$0.00</b>	<b>\$1.00 \$0.80</b>

## ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00	-25.00
				<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00 \$-135.00</b>
				<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00 \$-135.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$335.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$200.79</b>
<b>Year-to-date Commissions</b>	<b>\$40,207.17</b>