



# COMMISSION STATEMENT

## September 24, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/18/2018	***-**-691I - HEINZ, MARK	FEDERATED MDT SMALL CAP CORE FUND	10,000.00	500.00	18.00	482.00	85.0	409.70
	ACCOUNT #: LSR012513	BUY 425 Units @23.5200						
09/18/2018	***-**-82IN - WINTERS, KEVIN	FRK HIGH INC FND - CL A	11,403.55	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012586	SELL 6164 Units @1.8500						
09/19/2018	***-**-168I - JONES, TERI	JPMORGAN SMALL CAP GROWTH CLASS A	21,810.05	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012588	SELL 1117 Units @19.5200						
* MUTUAL FUNDS SUBTOTAL *			<b>\$43,213.60</b>	<b>\$500.00</b>	<b>\$54.00</b>	<b>\$446.00</b>		<b>\$373.70</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
09/20/2018	***-**-496I - MCCOY, SHERIANNE	CATERPILLAR INC	30,959.20	300.00	19.00	281.00	85.0	238.85
	ACCOUNT #: LSR012469	SELL 200 Units @154.7960						
09/20/2018	***-**-03TR - NEAL TRUST,	CATERPILLAR INC	46,443.00	400.00	21.00	379.00	85.0	322.15
	ACCOUNT #: LSR012505	SELL 300 Units @154.8100						
09/20/2018	***-**-141I - ROHMAN, ARTHUR	CATERPILLAR INC	30,972.00	300.00	19.00	281.00	85.0	238.85
	ACCOUNT #: LSR012574	SELL 200 Units @154.8600						
09/20/2018	***-**-41IR - ROHMAN, ARTHUR	CATERPILLAR INC	15,476.40	150.00	17.00	133.00	85.0	113.05
	ACCOUNT #: LSR012575	SELL 100 Units @154.7640						
09/19/2018	***-**-03TR - NEAL TRUST,	CLEVELAND CLIFFS INC COM	24,420.00	350.00	55.00	295.00	85.0	250.75
	ACCOUNT #: LSR012505	SELL 2000 Units @12.2100						
09/19/2018	***-**-691I - HEINZ, MARK	CLEVELAND CLIFFS INC COM	9,768.00	160.00	31.00	129.00	85.0	109.65
	ACCOUNT #: LSR012513	SELL 800 Units @12.2100						
09/19/2018	***-**-691I - HEINZ, MARK	CLEVELAND CLIFFS INC COM	2,442.00	40.00	4.00	36.00	85.0	30.60
	ACCOUNT #: LSR012513	SELL 200 Units @12.2100						
09/19/2018	***-**-042I - HEINZ, CHERI	CLEVELAND CLIFFS INC COM	1,223.00	20.00	17.00	3.00	85.0	2.55
	ACCOUNT #: LSR012514	SELL 100 Units @12.2300						
09/19/2018	***-**-042I - HEINZ, CHERI	CLEVELAND CLIFFS INC COM	3,669.00	60.00	6.00	54.00	85.0	45.90
	ACCOUNT #: LSR012514	SELL 300 Units @12.2300						
09/19/2018	***-**-042I - HEINZ, CHERI	CLEVELAND CLIFFS INC COM	1,223.00	20.00	2.00	18.00	85.0	15.30
	ACCOUNT #: LSR012514	SELL 100 Units @12.2300						
09/19/2018	***-**-042I - HEINZ, CHERI	CLEVELAND CLIFFS INC COM	3,669.00	60.00	6.00	54.00	85.0	45.90
	ACCOUNT #: LSR012514	SELL 300 Units @12.2300						
09/19/2018	***-**-042I - HEINZ, CHERI	CLEVELAND CLIFFS INC COM	1,223.00	20.00	2.00	18.00	85.0	15.30
	ACCOUNT #: LSR012514	SELL 100 Units @12.2300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
09/19/2018	***-**-042I - HEINZ, CHERI	CLEVELAND CLIFFS INC COM	1,223.00	20.00	2.00	18.00	85.0	15.30
	ACCOUNT #: LSR012514	SELL 100 Units @12.2300						
09/19/2018	***-**-168I - JONES, TERI	CLEVELAND CLIFFS INC COM	4,880.00	100.00	23.00	77.00	85.0	65.45
	ACCOUNT #: LSR012588	SELL 400 Units @12.2000						
09/19/2018	***-**-168I - JONES, TERI	CLEVELAND CLIFFS INC COM	2,440.00	50.00	4.00	46.00	85.0	39.10
	ACCOUNT #: LSR012588	SELL 200 Units @12.2000						
09/19/2018	***-**-168I - JONES, TERI	CLEVELAND CLIFFS INC COM	1,220.00	25.00	2.00	23.00	85.0	19.55
	ACCOUNT #: LSR012588	SELL 100 Units @12.2000						
09/19/2018	***-**-168I - JONES, TERI	CLEVELAND CLIFFS INC COM	3,660.00	75.00	6.00	69.00	85.0	58.65
	ACCOUNT #: LSR012588	SELL 300 Units @12.2000						
09/19/2018	***-**-2267 - WIEBLER, STEVE	CLEVELAND CLIFFS INC COM	12,233.00	200.00	35.00	165.00	85.0	140.25
	ACCOUNT #: LSR012606	SELL 1000 Units @12.2330						
09/19/2018	***-**-324I - MCGHEE, TERRY	CLEVELAND CLIFFS INC COM	12,232.00	200.00	35.00	165.00	85.0	140.25
	ACCOUNT #: LSR012649	SELL 1000 Units @12.2320						
09/19/2018	***-**-85IR - LINCOLN, LEROY	CLEVELAND CLIFFS INC COM	12,233.00	200.00	35.00	165.00	85.0	140.25
	ACCOUNT #: LSR012773	SELL 1000 Units @12.2330						
09/20/2018	***-**-168I - JONES, TERI	DSW INC CL A	15,981.50	300.00	25.00	275.00	85.0	233.75
	ACCOUNT #: LSR012588	BUY 500 Units @31.9630						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INC ME FD III	9,345.00	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012461	SELL 500 Units @18.6900						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	2,145.50	40.00	17.00	23.00	85.0	19.55
	ACCOUNT #: LSR012461	SELL 100 Units @21.4550						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	2,145.40	40.00	2.00	38.00	85.0	32.30
	ACCOUNT #: LSR012461	SELL 100 Units @21.4540						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	1,244.33	23.20	1.16	22.04	85.0	18.73
	ACCOUNT #: LSR012461	SELL 58 Units @21.4540						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	2,145.00	40.00	2.00	38.00	85.0	32.30
	ACCOUNT #: LSR012461	SELL 100 Units @21.4500						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	900.48	16.80	0.84	15.96	85.0	13.57
	ACCOUNT #: LSR012461	SELL 42 Units @21.4400						
09/18/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	2,145.40	40.00	2.00	38.00	85.0	32.30
	ACCOUNT #: LSR012461	SELL 100 Units @21.4540						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
09/18/2018	***-**-496I - MCCOY, SHERIANNE	IEC ELECTRS CORP NEW COM	6,736.25	100.00	40.00	60.00	85.0	51.00
	ACCOUNT #: LSR012469	SELL 1250 Units @5.3890						
09/18/2018	***-**-266O - COILE, TIM	JOHN HANCOCK PATRIOT PREMIUM DIVIDE	21,763.42	400.00	41.98	358.02	85.0	304.32
	ACCOUNT #: LSR012461	SELL 1349 Units @16.1330						
09/18/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	15,600.00	300.00	35.00	265.00	85.0	225.25
	ACCOUNT #: LSR012469	SELL 1000 Units @15.6000						
09/18/2018	***-**-691I - HEINZ, MARK	SECTOR SPDR TR SHS BEN INT FINANCIA	14,132.50	250.00	25.00	225.00	85.0	191.25
	ACCOUNT #: LSR012513	SELL 500 Units @28.2650						
09/19/2018	***-**-168I - JONES, TERI	SPRINT CORPORATION COM USD0.01	6,380.00	120.00	35.00	85.00	85.0	72.25
	ACCOUNT #: LSR012588	SELL 1000 Units @6.3800						
09/17/2018	***-**-267I - WIEBLER, STEVE	TURTLE BEACH CORP COM NEW	3,823.00	75.00	19.00	56.00	85.0	47.60
	ACCOUNT #: LSR012639	BUY 200 Units @19.1150						
09/20/2018	***-**-168I - JONES, TERI	TURTLE BEACH CORP COM NEW	14,007.00	245.00	29.00	216.00	85.0	183.60
	ACCOUNT #: LSR012588	BUY 700 Units @20.0100						
09/20/2018	***-**-168I - JONES, TERI	TURTLE BEACH CORP COM NEW	2,005.90	35.00	2.00	33.00	85.0	28.05
	ACCOUNT #: LSR012588	BUY 100 Units @20.0590						
09/20/2018	***-**-168I - JONES, TERI	TURTLE BEACH CORP COM NEW	2,005.90	35.00	2.00	33.00	85.0	28.05
	ACCOUNT #: LSR012588	BUY 100 Units @20.0590						
09/20/2018	***-**-168I - JONES, TERI	TURTLE BEACH CORP COM NEW	2,005.90	35.00	2.00	33.00	85.0	28.05
	ACCOUNT #: LSR012588	BUY 100 Units @20.0590						
09/19/2018	***-**-168I - JONES, TERI	ZAGG INC	4,717.80	75.00	21.00	54.00	85.0	45.90
	ACCOUNT #: LSR012588	SELL 300 Units @15.7260						
* STOCKS SUBTOTAL *			\$350,838.88	\$5,120.00	\$667.98	\$4,452.02		\$3,784.22
*** BROKER TRANSACTIONS TOTAL ***			\$394,052.48	\$5,620.00	\$721.98	\$4,898.02		\$4,157.92



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$4,157.92
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,157.92
						Year-to-date Earnings		\$144,079.24