



COMMISSION STATEMENT

September 10, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/27/2018	***-**-5076 - TORRES, JASON	AMCAP FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 34 Units @35.3800						
08/24/2018	***-**-5076 - TORRES, JASON	AMERICAN BALANCED FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
		BUY 0 Units @0.0000						
08/24/2018	***-**-5076 - TORRES, JASON	AMERICAN MUTUAL FUND-A	2,400.00	38.40	0.00	38.40	70.0	26.88
		BUY 0 Units @0.0000						
08/24/2018	***-**-5076 - TORRES, JASON	NEW PERSPECTIVE FUND-A	600.00	9.60	0.00	9.60	70.0	6.72
		BUY 0 Units @0.0000						
08/24/2018	***-**-5076 - TORRES, JASON	SMALLCAP WORLD FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
		BUY 0 Units @0.0000						
08/27/2018	***-**-5076 - TORRES, JASON	THE GROWTH FUND OF AMERICA-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 21 Units @57.3400						
08/27/2018	***-**-5076 - TORRES, JASON	THE INCOME FUND OF AMERICA-A	600.00	9.60	0.00	9.60	70.0	6.72
	ACCOUNT #: 00088000759	BUY 25 Units @23.8800						
08/27/2018	***-**-5076 - TORRES, JASON	THE INVESTMENT COMPANY OF AMERICA-A	2,400.00	38.40	0.00	38.40	70.0	26.88
	ACCOUNT #: 00088000759	BUY 56 Units @42.7300						
08/27/2018	***-**-5076 - TORRES, JASON	THE NEW ECONOMY FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 24 Units @50.5700						
* MUTUAL FUNDS SUBTOTAL *			\$12,000.00	\$192.00	\$0.00	\$192.00		\$134.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/20/2018	***-**-7748 - MCCANNA, GLORIA	PRUDENTIAL DEFINED INCOME OPTION A	205,981.45	10,299.07	0.00	10,299.07	70.0	7,209.35
		BUY 1 Units @205981.450						
* VARIABLE ANNUITIES SUBTOTAL *			\$205,981.45	\$10,299.07	\$0.00	\$10,299.07		\$7,209.35
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/31/2018	***-**-7131 - PHILLIPS, DAVID	MASS MUTUAL VA DTCC TRAILS	0.00	61.68	0.00	61.68	70.0	43.18
	ACCOUNT #: EVN46024214	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$61.68	\$0.00	\$61.68		\$43.18
*** BROKER TRANSACTIONS TOTAL ***			\$217,981.45	\$10,552.75	\$0.00	\$10,552.75		\$7,386.93



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$7,386.93
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$38.33
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$7,425.26
						Year-to-date Earnings		\$49,707.12