

COMMISSION STATEMENT September 30, 2018

DENNUS COOPER-D339
 9270 Glenwood
 Suite B
 Overland Park, KS 66212

BROKER #: D339
 BRANCH: DMN

COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/05/2018	152910090	BROWN, SUSAN	MF	NATIXIS US DIVERS PORTFOLIO A	B	-4,997.55	0.00	5.00	-5.00	85.0	-4.25
09/24/2018	368247127	RASANEN, DAVID	MF	BLK 091928846	S	31,902.45	877.25	2.50	874.75	85.0	743.54
09/06/2018	368284952	MORIN-RASANEN,	MF	BLK 091928846	S	14,402.45	396.00	2.50	393.50	85.0	334.48
09/06/2018	368284952	MORIN-RASANEN,	MF	CAPITAL APPRECIATION - CLASS A	S	75,602.45	2,457.00	5.00	2,452.00	85.0	2,084.20
09/06/2018	368284952	MORIN-RASANEN,	MF	LARGE CAP EQUITY A	S	75,602.45	2,154.60	5.00	2,149.60	85.0	1,827.16
09/06/2018	368284952	MORIN-RASANEN,	MF	NATIXIS US DIVERS PORTFOLIO A	S	75,602.45	2,268.00	5.00	2,263.00	85.0	1,923.55
08/30/2018	584401768	PAULSEN, DAWN	MF	ROCHESTER NATIONAL MUNICIPALS A	S	-5,173.89	0.00	5.00	-5.00	85.0	-4.25
09/12/2018	677686309	SAPP, ALISSA	MF	BLK 091928846	S	5,002.45	225.00	5.00	220.00	85.0	187.00
* MUTUAL FUNDS SUBTOTAL *						\$267,943.26	\$8,377.85	\$35.00	\$8,342.85	\$7,091.43	

PRODUCT CATEGORY: MISCELLANEOUS

08/31/2018 a03109920 COOPER-D339, DENNUS MI	MUTUAL FUND MISC FEE 584401768	S	0.00	5.00	0.00	5.00	85.0	4.25
* MISCELLANEOUS SUBTOTAL *			\$0.00	\$5.00	\$0.00	\$5.00		\$4.25

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/06/2018	368284952	MORIN-RASANEN,	MFT	SENTINEL SMALL COMPANY FUND -	S	90,702.45	2,494.25	5.00	2,489.25	85.0	2,115.86
09/21/2018	mfc109920	COOPER 109920	MFT	ALLIANZ OCC RENAISSANCE FUND	B	0.00	5.38	0.00	5.38	85.0	4.57
09/21/2018	mfc109920	COOPER 109920	MFT	AMERICAN MUTUAL FUND-A	B	0.00	0.70	0.00	0.70	85.0	0.60
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928788	B	0.00	0.87	0.00	0.87	85.0	0.74
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	0.58	0.00	0.58	85.0	0.49
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	0.82	0.00	0.82	85.0	0.70
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	1.12	0.00	1.12	85.0	0.95
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	1.89	0.00	1.89	85.0	1.61
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	2.29	0.00	2.29	85.0	1.95
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	3.28	0.00	3.28	85.0	2.79
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	4.72	0.00	4.72	85.0	4.01
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	5.40	0.00	5.40	85.0	4.59
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	5.72	0.00	5.72	85.0	4.86
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	8.98	0.00	8.98	85.0	7.63
09/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	10.60	0.00	10.60	85.0	9.01
09/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	1.12	0.00	1.12	85.0	0.95
09/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	1.71	0.00	1.71	85.0	1.45

COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	2.20	0.00	2.20	85.0	1.87
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	3.45	0.00	3.45	85.0	2.93
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	4.97	0.00	4.97	85.0	4.22
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	5.19	0.00	5.19	85.0	4.41
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	6.74	0.00	6.74	85.0	5.73
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.73	0.00	9.73	85.0	8.27
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.54	0.00	0.54	85.0	0.46
09/21/2018	mfc109920	COOPER 109920	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.57	0.00	0.57	85.0	0.48
09/21/2018	mfc109920	COOPER 109920	MFT DEUTSCHE CROCI	B	0.00	0.29	0.00	0.29	85.0	0.25
09/21/2018	mfc109920	COOPER 109920	MFT DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	1.50	0.00	1.50	85.0	1.28
09/21/2018	mfc109920	COOPER 109920	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.43	0.00	0.43	85.0	0.37
09/21/2018	mfc109920	COOPER 109920	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.97	0.00	0.97	85.0	0.82
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	1.57	0.00	1.57	85.0	1.33
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	1.63	0.00	1.63	85.0	1.39
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	4.05	0.00	4.05	85.0	3.44
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	6.18	0.00	6.18	85.0	5.25
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	6.40	0.00	6.40	85.0	5.44
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	9.88	0.00	9.88	85.0	8.40
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	10.47	0.00	10.47	85.0	8.90
09/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	10.68	0.00	10.68	85.0	9.08
09/21/2018	mfc109920	COOPER 109920	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.65	0.00	1.65	85.0	1.40
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.61	0.00	1.61	85.0	1.37
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.54	0.00	4.54	85.0	3.86
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.60	0.00	4.60	85.0	3.91
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.76	0.00	4.76	85.0	4.05
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.24	0.00	5.24	85.0	4.45
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.58	0.00	5.58	85.0	4.74
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.90	0.00	6.90	85.0	5.87
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.51	0.00	11.51	85.0	9.78
09/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	13.16	0.00	13.16	85.0	11.19
09/21/2018	mfc109920	COOPER 109920	MFT ROCHESTER NATIONAL MUNICIPALS A	B	0.00	0.56	0.00	0.56	85.0	0.48
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	1.10	0.00	1.10	85.0	0.94
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	2.72	0.00	2.72	85.0	2.31
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	3.88	0.00	3.88	85.0	3.30
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.17	0.00	4.17	85.0	3.54
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.36	0.00	4.36	85.0	3.71
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.62	0.00	4.62	85.0	3.93
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.44	0.00	7.44	85.0	6.32
09/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.88	0.00	7.88	85.0	6.70
09/21/2018	mfc109920	COOPER 109920	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	1.38	0.00	1.38	85.0	1.17
09/21/2018	mfc109920	COOPER 109920	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.46	0.00	0.46	85.0	0.39
09/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD CHECKS AND	B	0.00	0.38	0.00	0.38	85.0	0.32
09/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	0.43	0.00	0.43	85.0	0.37
09/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.35	0.00	1.35	85.0	1.15

COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.53	0.00	1.53	85.0	1.30
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.58	0.00	1.58	85.0	1.34
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	4.75	0.00	4.75	85.0	4.04
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	4.85	0.00	4.85	85.0	4.12
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.50	0.00	5.50	85.0	4.68
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.58	0.00	5.58	85.0	4.74
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	7.40	0.00	7.40	85.0	6.29
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.73	0.00	9.73	85.0	8.27
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.61	0.00	10.61	85.0	9.02
09/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD CAPITAL	B	0.00	6.25	0.00	6.25	85.0	5.31
09/24/2018	mfc109920 COOPER 109920	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	5.10	0.00	5.10	85.0	4.34
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928788	B	0.00	0.83	0.00	0.83	85.0	0.71
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	0.78	0.00	0.78	85.0	0.66
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	1.07	0.00	1.07	85.0	0.91
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	1.81	0.00	1.81	85.0	1.54
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	2.22	0.00	2.22	85.0	1.89
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	2.34	0.00	2.34	85.0	1.99
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	3.14	0.00	3.14	85.0	2.67
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	4.51	0.00	4.51	85.0	3.83
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	5.17	0.00	5.17	85.0	4.39
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	5.48	0.00	5.48	85.0	4.66
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	8.59	0.00	8.59	85.0	7.30
09/24/2018	mfc109920 COOPER 109920	MFT BLK 091928846	B	0.00	10.14	0.00	10.14	85.0	8.62
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	1.06	0.00	1.06	85.0	0.90
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	1.62	0.00	1.62	85.0	1.38
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	2.09	0.00	2.09	85.0	1.78
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	3.27	0.00	3.27	85.0	2.78
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	4.72	0.00	4.72	85.0	4.01
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	4.92	0.00	4.92	85.0	4.18
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	6.39	0.00	6.39	85.0	5.43
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.22	0.00	9.22	85.0	7.84
09/24/2018	mfc109920 COOPER 109920	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.54	0.00	0.54	85.0	0.46
09/24/2018	mfc109920 COOPER 109920	MFT DEUTSCHE CROCI	B	0.00	0.63	0.00	0.63	85.0	0.54
09/24/2018	mfc109920 COOPER 109920	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.40	0.00	0.40	85.0	0.34
09/24/2018	mfc109920 COOPER 109920	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.91	0.00	0.91	85.0	0.77
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	1.49	0.00	1.49	85.0	1.27
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	1.54	0.00	1.54	85.0	1.31
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	3.85	0.00	3.85	85.0	3.27
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	5.87	0.00	5.87	85.0	4.99
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	6.07	0.00	6.07	85.0	5.16
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	9.37	0.00	9.37	85.0	7.96
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	9.93	0.00	9.93	85.0	8.44
09/24/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	10.13	0.00	10.13	85.0	8.61
09/24/2018	mfc109920 COOPER 109920	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.56	0.00	1.56	85.0	1.33

COMMISSION STATEMENT for DENNUS COOPER-D339

				INVESTMENT		GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.52	0.00	1.52	85.0	1.29
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.30	0.00	4.30	85.0	3.66
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.37	0.00	4.37	85.0	3.71
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.51	0.00	4.51	85.0	3.83
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.97	0.00	4.97	85.0	4.22
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.30	0.00	5.30	85.0	4.51
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.55	0.00	6.55	85.0	5.57
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.92	0.00	10.92	85.0	9.28
09/24/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	12.49	0.00	12.49	85.0	10.62
09/24/2018	mfc109920	COOPER 109920	MFT ROCHESTER NATIONAL MUNICIPALS A	B	0.00	0.46	0.00	0.46	85.0	0.39
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	1.06	0.00	1.06	85.0	0.90
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	2.62	0.00	2.62	85.0	2.23
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	3.74	0.00	3.74	85.0	3.18
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.02	0.00	4.02	85.0	3.42
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.20	0.00	4.20	85.0	3.57
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.45	0.00	4.45	85.0	3.78
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.16	0.00	7.16	85.0	6.09
09/24/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.59	0.00	7.59	85.0	6.45
09/24/2018	mfc109920	COOPER 109920	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	1.29	0.00	1.29	85.0	1.10
09/24/2018	mfc109920	COOPER 109920	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.44	0.00	0.44	85.0	0.37
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD CHECKS AND	B	0.00	0.36	0.00	0.36	85.0	0.31
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	0.41	0.00	0.41	85.0	0.35
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.27	0.00	1.27	85.0	1.08
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.46	0.00	1.46	85.0	1.24
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.51	0.00	1.51	85.0	1.28
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	4.52	0.00	4.52	85.0	3.84
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	4.62	0.00	4.62	85.0	3.93
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.24	0.00	5.24	85.0	4.45
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.32	0.00	5.32	85.0	4.52
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	7.05	0.00	7.05	85.0	5.99
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.27	0.00	9.27	85.0	7.88
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.11	0.00	10.11	85.0	8.59
09/24/2018	mfc109920	COOPER 109920	MFT THE HARTFORD CAPITAL	B	0.00	5.93	0.00	5.93	85.0	5.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$90,702.45	\$3,080.72	\$5.00	\$3,075.72		\$2,614.37
*** CLEARING TRANSACTIONS TOTAL ***					\$358,645.71	\$11,463.57	\$40.00	\$11,423.57		\$9,710.05

COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/04/2018 (None)	GATES, JASON	MF JOHN HANCOCK FREEDOM	B	0.00	37.50	0.00	37.50	85.0	31.88
		* MUTUAL FUNDS SUBTOTAL *		\$0.00	\$37.50	\$0.00	\$37.50		\$31.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/31/2018 (None)	SCHLOCHELR	MFT JOHN HANCOCK FREEDOM	B	0.00	0.75	0.00	0.75	85.0	0.64
08/31/2018 (None)	GATES, JASON	MFT JOHN HANCOCK FREEDOM	B	0.00	16.33	0.00	16.33	85.0	13.88
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$17.08	\$0.00	\$17.08		\$14.52
		*** DIRECT TRANSACTIONS TOTAL ***		\$0.00	\$54.58	\$0.00	\$54.58		\$46.40

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
		* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,756.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9,571.45
Year-to-date Commissions	\$35,053.05