



COMMISSION STATEMENT

September 03, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/03/2018	***-**-6793 - ESTEP, ARDEN ACCOUNT #: 77375699601	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	49.50	0.50	0.00	0.50	80.0	0.40
09/03/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	223.98	2.27	0.00	2.27	80.0	1.82
09/03/2018	***-**-3758 - CONNORS, DAVID ACCOUNT #: 77162702001	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	24.75	0.25	0.00	0.25	80.0	0.20
09/03/2018	***-**-6793 - ESTEP, ARDEN ACCOUNT #: 77375699601	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	49.50	0.50	0.00	0.50	80.0	0.40
09/03/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	137.13	1.39	0.00	1.39	80.0	1.11
09/03/2018	***-**-275P - ROBERTS, ACCOUNT #: 77891325801	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	24.75	0.25	0.00	0.25	80.0	0.20
09/03/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NYA JPM 529 EQUITY INCOME PORTF C BUY 0 Units @0.0000	138.52	1.39	0.00	1.39	80.0	1.11
09/03/2018	***-**-503J - OBI, JEAN	NYA JPM 529 MOD AGE-BASED A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	80.0	1.90
* 529 PLAN SUBTOTAL *			\$698.13	\$8.93	\$0.00	\$8.93		\$7.14
*** BROKER TRANSACTIONS TOTAL ***			\$698.13	\$8.93	\$0.00	\$8.93		\$7.14



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$7.14
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$7.14
Year-to-date Earnings								\$144,564.04