



All Companies

12/28/2018  
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# COMMISSION STATEMENT January 02, 2019

ERIC CHARLES GUTH  
3603 Labrador Bay

BROKER #: 9617  
BRANCH: HOME OFFICE

Grapevine, TX 76051-

## COMMISSION STATEMENT for ERIC CHARLES GUTH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: RIA</b>									
10/11/2018 11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	448.66	0.00	448.66	90.0	403.79
10/11/2018 13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	24.54	0.00	24.54	90.0	22.09
10/11/2018 17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
10/11/2018 24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	107.34	0.00	107.34	90.0	96.61
10/11/2018 27613913	RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	126.14	0.00	126.14	90.0	113.53
	JEFFREY								
10/11/2018 29396124	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	90.0	25.92
	TAMMY M.								
10/11/2018 32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	34.66	0.00	34.66	90.0	31.19
10/11/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	364.26	0.00	364.26	90.0	327.83
10/11/2018 37351723	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	331.74	0.00	331.74	90.0	298.57
	DIANNE								
10/11/2018 39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	42.66	0.00	42.66	90.0	38.39
10/11/2018 52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	45.74	0.00	45.74	90.0	41.17
	JUDITH								
10/11/2018 58050029	RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	24.26	0.00	24.26	90.0	21.83
	SHANKLAND								
10/11/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	210.54	0.00	210.54	90.0	189.49
10/11/2018 84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	97.60	0.00	97.60	90.0	87.84
	GARBER								
10/11/2018 86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	29.20	0.00	29.20	90.0	26.28
10/11/2018 89069414	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
	TAMMY M.								
10/11/2018 90329067	RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	165.46	0.00	165.46	90.0	148.91
10/11/2018 94409837	RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	10.26	0.00	10.26	90.0	9.23
10/11/2018 98008502	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	17.20	0.00	17.20	90.0	15.48
10/11/2018 98257329	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	382.00	0.00	382.00	90.0	343.80
	DIANNE								
10/11/2018 98569607	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	58.40	0.00	58.40	90.0	52.56
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$2,552.12</b>	<b>\$0.00</b>	<b>\$2,552.12</b>		<b>\$2,296.90</b>

COMMISSION STATEMENT for ERIC CHARLES GUTH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$2,552.12	\$0.00	\$2,552.12	\$2,296.90
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ADJUSTMENTS

01/02/2019	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
01/02/2019	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,296.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$2,041.90
Year-to-date Earnings	\$2,041.90