

December 17, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
12/10/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @31.8500	200.00	2.40	0.00	2.40	60.0	1.44
12/10/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 10 Units @38.9100	400.00	4.80	0.00	4.80	60.0	2.88
		* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$7.20	\$0.00	\$7.20		\$4.32
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS .						
12/03/2018	8 ***-**-950I - JACKSON, DAVID ACCOUNT #: 00059229216	AMCAP FUND-A BUY 0 Units @0.0000	0.00	44.57	0.00	44.57	60.0	26.74
12/03/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 0 Units @0.0000	0.00	251.99	0.00	251.99	60.0	151.19
12/03/2018	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	14.95	0.00	14.95	60.0	8.97
12/03/2018	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	20.82	0.00	20.82	60.0	12.49
12/03/2018	8 ***-**-06IR - BRUM, ROBERT ACCOUNT #: 00073483164	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	31.09	0.00	31.09	60.0	18.65
12/03/2018	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	57.06	0.00	57.06	60.0	34.24
12/03/2018	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	18.75	0.00	18.75	60.0	11.25
12/03/2018	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	60.0	0.72
12/03/2018	8 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	10.19	0.00	10.19	60.0	6.11
12/03/2018	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	43.19	0.00	43.19	60.0	25.91
12/03/2018	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	14.76	0.00	14.76	60.0	8.86
12/03/2018	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.97	0.00	0.97	60.0	0.58
12/03/2018	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	20.62	0.00	20.62	60.0	12.37



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 T		TRANSACTIONS	(continu	ied)				
	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	5.19	0.00	5.19	60.0	3.11
12/03/201	8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	30.94	0.00	30.94	60.0	18.56
12/03/201	8 ***-**-215P - GLICKMAN-LERIN, ACCOUNT #: 00074018600	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	5.96	0.00	5.96	60.0	3.58
12/03/201	8 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	47.12	0.00	47.12	60.0	28.27
12/03/201	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	CAPITAL WORLD GROWTH AND BUY 0 Units @0.0000	INCOME FUN	0.00	29.12	0.00	29.12	60.0	17.47
12/03/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	EUROPACIFIC GROWTH FUND-ABUY 0 Units @0.0000	Δ	0.00	40.52	0.00	40.52	60.0	24.31
12/03/201	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-ABUY 0 Units @0.0000	Δ	0.00	4.31	0.00	4.31	60.0	2.59
12/03/201	8 ***-**-943I - KOULOS, JOHN ACCOUNT #: 00060686406	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	109.11	0.00	109.11	60.0	65.47
12/03/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	68.54	0.00	68.54	60.0	41.12
12/03/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	1.76	0.00	1.76	60.0	1.06
12/03/201	8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	47.91	0.00	47.91	60.0	28.75
12/03/201	8 ***-**-3211 - MARSHALL, ACCOUNT #: 00075914418	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	6.08	0.00	6.08	60.0	3.65
12/03/201	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	22.03	0.00	22.03	60.0	13.22
12/03/201	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	2.50	0.00	2.50	60.0	1.50
12/03/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	62.79	0.00	62.79	60.0	37.67
12/03/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	1.56	0.00	1.56	60.0	0.94



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		BROKER TRANSACTI	ONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
12/03/203	18 ***-**-06IR - BRUM, ROBERT ACCOUNT #: 00073483164	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	53.50	0.00	53.50	60.0	32.10
12/03/203	18 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	9.86	0.00	9.86	60.0	5.92
12/03/203	18 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	35.87	0.00	35.87	60.0	21.52
12/03/203	18 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	60.0	2.17
12/03/203	18 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.50	0.00	11.50	60.0	6.90
12/03/203	18 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	75.37	0.00	75.37	60.0	45.22
12/03/203	18 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.78	0.00	1.78	60.0	1.07
12/03/203	18 ***-**-848I - KOSKIE, HEATHER ACCOUNT #: 00067652141	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.50	0.00	10.50	60.0	6.30
12/03/203	18 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.13	0.00	8.13	60.0	4.88
12/03/203	18 ***-**-215P - GLICKMAN-LERIN, ACCOUNT #: 00074018600	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.02	0.00	7.02	60.0	4.21
12/03/203	18 ***-**-212I - JACKSON, ROBERT ACCOUNT #: 00075983777	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.28	0.00	12.28	60.0	7.37
12/03/203	18 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	39.66	0.00	39.66	60.0	23.80
12/03/203	18 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.67	0.00	15.67	60.0	9.40
12/03/203	18 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.26	0.00	15.26	60.0	9.16
12/03/203	18 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	23.51	0.00	23.51	60.0	14.11
12/03/203	18 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	20.89	0.00	20.89	60.0	12.53



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		BROKER TRANSACT	IONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	FRAILS (continued)						
12/03/201	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	29.33	0.00	29.33	60.0	17.60
12/03/201	8 ***-**-57IN - JACKSON, MARY ACCOUNT #: 00059229217	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	39.55	0.00	39.55	60.0	23.73
12/03/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	252.74	0.00	252.74	60.0	151.64
12/03/201	8 ***-**-113I - BRUNCKHORST, ACCOUNT #: 00059670454	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	55.57	0.00	55.57	60.0	33.34
12/03/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	3.95	0.00	3.95	60.0	2.37
12/03/201	8 ***-**-3051 - BRUNCKHORST, ACCOUNT #: 00059677391	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	77.30	0.00	77.30	60.0	46.38
12/03/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	10.94	0.00	10.94	60.0	6.56
12/03/201	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	13.33	0.00	13.33	60.0	8.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,842.72	\$0.00	\$1,842.72		\$1,105.63
		*** BROKER TRANSACTIONS TOTAL ***	\$600.00	\$1,849.92	\$0.00	\$1,849.92		\$1,109.95



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						BROKER COMM	SSION	TOTALS
					Broke	er Transactions		\$1,109.95
					Spl	it Transactions		\$0.00
					Overrio	de Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw	,	\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$27.48
					F	INRA Assessment		\$0.00
					S	SIPC Assessment		\$0.00
					Net Cor	mmission Earned	L	\$1,137.43
					Year-to	o-date Earnings	ı	\$17,807.27