



COMMISSION STATEMENT

October 08, 2018

RICHARD BIRNS
6800 Jericho Turnpike
Suite # 200AW
Syosset, NY 11791

BROKER #: 31
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2018	***-**-68IN - BIRNS, RICHARD	AMT-FREE NEW YORK MUNICIPALS A	0.00	9.47	0.00	9.47	75.0	7.10
	ACCOUNT #: 03600811899	BUY 0 Units @0.0000						
09/30/2018	***-**-7325 - BIRNS, EDWIN	ROCHESTER FUND MUNICIPALS A	0.00	32.50	0.00	32.50	75.0	24.38
	ACCOUNT #: 03653529251	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$41.97	\$0.00	\$41.97		\$31.48
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2018	***-**-13IN - SANDAS, ANTHONY	PRUDENTIAL AS ADVISORS PLAN III	0.00	178.21	0.00	178.21	75.0	133.66
	ACCOUNT #: E1051937	BUY 0 Units @0.0000						
09/30/2018	***-**-375P - SANDAS, JEAN	PRUDENTIAL AS ADVISORS PLAN III	0.00	15.07	0.00	15.07	75.0	11.30
	ACCOUNT #: E1123274	BUY 0 Units @0.0000						
09/30/2018	***-**-4630 - IELPI-MAJOR,	PRUDENTIAL VA TRAILS 74430A227	0.00	398.12	0.00	398.12	75.0	298.59
	ACCOUNT #: E0938463	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$591.40	\$0.00	\$591.40		\$443.55
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$633.37	\$0.00	\$633.37		\$475.03

BROKER COMMISSION TOTALS

Broker Transactions	\$475.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$475.03
Year-to-date Earnings	\$36,452.53