

COMMISSION STATEMENT

December 15, 2018

 BRIAN MAPES-D040
 6936 Angling Road

 BROKER #: D040
 BRANCH: DMN

Portage, MI 49024

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: VARIABLE ANNUITIES											
12/06/0201	7200003388	GENTILIA	VA	SAMMONS FINANCIAL	B	13,446.17	5.60	0.00	5.60	85.0	4.76
12/03/0201	7200011302	MARTIN	VA	SAMMONS FINANCIAL	B	7,116.69	2.96	0.00	2.96	85.0	2.52
12/03/0201	7200012804	THOMAN	VA	SAMMONS FINANCIAL	B	116,359.64	48.48	0.00	48.48	85.0	41.21
12/06/0201	7200016561	CLAPP	VA	SAMMONS FINANCIAL	B	30,975.34	12.92	0.00	12.92	85.0	10.98
				* VARIABLE ANNUITIES SUBTOTAL *		\$167,897.84	\$69.96	\$0.00	\$69.96		\$59.47

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018	(None)	VARIOUS, MAPES	MFT	JOHN HANCOCK FREEDOM	B	0.00	21.02	0.00	21.02	85.0	17.87
12/03/2018	00059451643	POSTEMA, GREG	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	4.55	0.00	4.55	85.0	3.87
12/03/2018	00062179219	SCOBIE, DAVID	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	176.56	0.00	176.56	85.0	150.08
12/03/2018	00062645628	DURANT, FAYE	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.19	0.00	1.19	85.0	1.01
12/03/2018	00062645628	DURANT, FAYE	MFT	NEW PERSPECTIVE FUND-A	B	0.00	82.04	0.00	82.04	85.0	69.73
12/03/2018	00062645628	DURANT, FAYE	MFT	THE BOND FUND OF AMERICA-A	B	0.00	28.44	0.00	28.44	85.0	24.17
12/03/2018	00062645628	DURANT, FAYE	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	85.0	1.08
12/03/2018	00062645628	DURANT, FAYE	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	79.29	0.00	79.29	85.0	67.40
12/03/2018	00064196399	LAMPEN, WAYNE	MFT	CAPITAL INCOME BUILDER-A	B	0.00	42.12	0.00	42.12	85.0	35.80
12/03/2018	00064196399	LAMPEN, WAYNE	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	39.21	0.00	39.21	85.0	33.33
12/03/2018	00064196399	LAMPEN, WAYNE	MFT	NEW PERSPECTIVE FUND-A	B	0.00	109.25	0.00	109.25	85.0	92.86
12/03/2018	00064196399	LAMPEN, WAYNE	MFT	THE BOND FUND OF AMERICA-A	B	0.00	2.98	0.00	2.98	85.0	2.53
12/03/2018	00064266498	SCOBIE, MAUREEN	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	21.60	0.00	21.60	85.0	18.36
12/03/2018	00064736599	LAMPEN, JANE	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.15	0.00	7.15	85.0	6.08
12/03/2018	00064736599	LAMPEN, JANE	MFT	INTERMEDIATE BOND FUND OF	B	0.00	5.20	0.00	5.20	85.0	4.42
12/03/2018	00064736599	LAMPEN, JANE	MFT	NEW PERSPECTIVE FUND-A	B	0.00	15.14	0.00	15.14	85.0	12.87
12/03/2018	00064736599	LAMPEN, JANE	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	20.96	0.00	20.96	85.0	17.82
12/03/2018	00069227886	MAKLEY, KENNETH	MFT	CAPITAL INCOME BUILDER-A	B	0.00	46.77	0.00	46.77	85.0	39.75
12/03/2018	00069227886	MAKLEY, KENNETH	MFT	INTERMEDIATE BOND FUND OF	B	0.00	4.81	0.00	4.81	85.0	4.09
12/03/2018	00069227886	MAKLEY, KENNETH	MFT	THE BOND FUND OF AMERICA-A	B	0.00	11.49	0.00	11.49	85.0	9.77
12/03/2018	00069227886	MAKLEY, KENNETH	MFT	THE INVESTMENT COMPANY OF	B	0.00	50.98	0.00	50.98	85.0	43.33
12/03/2018	00069227886	MAKLEY, KENNETH	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	48.92	0.00	48.92	85.0	41.58
12/03/2018	00074496610	BALLETT, WENDY	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.56	0.00	5.56	85.0	4.73
12/03/2018	00074496610	BALLETT, WENDY	MFT	AMERICAN MUTUAL FUND-A	B	0.00	6.07	0.00	6.07	85.0	5.16

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12/03/2018 00074496610	BALLETT, WENDY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.62	0.00	5.62	85.0	4.78
12/03/2018 00074496610	BALLETT, WENDY	MFT THE BOND FUND OF AMERICA-A	B	0.00	3.25	0.00	3.25	85.0	2.76
12/03/2018 00075506553	ROBIN L MCENROE	MFT AMCAP FUND-A	B	0.00	20.34	0.00	20.34	85.0	17.29
12/03/2018 00075506553	ROBIN L MCENROE	MFT AMERICAN BALANCED FUND-A	B	0.00	21.72	0.00	21.72	85.0	18.46
12/03/2018 00075506553	ROBIN L MCENROE	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.45	0.00	18.45	85.0	15.68
12/03/2018 00075506553	ROBIN L MCENROE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	20.67	0.00	20.67	85.0	17.57
12/03/2018 00075506553	ROBIN L MCENROE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.59	0.00	7.59	85.0	6.45
12/03/2018 00083486530	POST, CARL	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.74	0.00	0.74	85.0	0.63
12/03/2018 00083486530	POST, CARL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.48	0.00	1.48	85.0	1.26
12/03/2018 00083486530	POST, CARL	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.03	0.00	2.03	85.0	1.73
12/03/2018 00083486530	POST, CARL	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.93	0.00	0.93	85.0	0.79
12/03/2018 00083589509	MAPES, LAURA	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	70.58	0.00	70.58	85.0	59.99
12/03/2018 00083589509	MAPES, LAURA	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.12	0.00	4.12	85.0	3.50
12/03/2018 00084586701	REV	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	17.50	0.00	17.50	85.0	14.88
12/03/2018 00084586701	REV	MFT CAPITAL WORLD BOND FUND-C	B	0.00	27.55	0.00	27.55	85.0	23.42
12/03/2018 00084586701	REV	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	28.40	0.00	28.40	85.0	24.14
12/03/2018 00084586701	REV	MFT U.S. GOVERNMENT SECURITIES	B	0.00	36.63	0.00	36.63	85.0	31.14
12/03/2018 00087568332	MCCLINTIC, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	5.70	0.00	5.70	85.0	4.85
12/03/2018 00088629055	VENDEVILLE, MARY	MFT INCOME PORTFOLIO-C	B	0.00	26.27	0.00	26.27	85.0	22.33
12/03/2018 00088722941	POST, DAELYNN	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.16	0.00	3.16	85.0	2.69
12/03/2018 00088722941	POST, DAELYNN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.56	0.00	3.56	85.0	3.03
12/03/2018 00088722941	POST, DAELYNN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.18	0.00	4.18	85.0	3.55
12/03/2018 00088722941	POST, DAELYNN	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	2.31	0.00	2.31	85.0	1.96
12/03/2018 00089332789	RICHARD L &	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.67	0.00	7.67	85.0	6.52
12/03/2018 00089332789	RICHARD L &	MFT NEW PERSPECTIVE FUND-A	B	0.00	6.92	0.00	6.92	85.0	5.88
12/03/2018 00089332789	RICHARD L &	MFT SMALLCAP WORLD FUND-A	B	0.00	6.51	0.00	6.51	85.0	5.53
12/03/2018 00089332789	RICHARD L &	MFT THE BOND FUND OF AMERICA-A	B	0.00	3.86	0.00	3.86	85.0	3.28
12/03/2018 00089332824	MELANIE FISHER	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.67	0.00	7.67	85.0	6.52
12/03/2018 00089332824	MELANIE FISHER	MFT NEW PERSPECTIVE FUND-A	B	0.00	6.92	0.00	6.92	85.0	5.88
12/03/2018 00089332824	MELANIE FISHER	MFT SMALLCAP WORLD FUND-A	B	0.00	6.51	0.00	6.51	85.0	5.53
12/03/2018 00089332824	MELANIE FISHER	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.74	0.00	3.74	85.0	3.18
12/03/2018 00089433882	BONNIE B DUMLAO	MFT RTMT INCOME PORTFOLIO	B	0.00	67.33	0.00	67.33	85.0	57.23
12/03/2018 00089525946	HAROLD M JOHNSON	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	24.11	0.00	24.11	85.0	20.49
12/03/2018 04000486249	LAMPEN, WAYNE	MFT RTMT INCOME PORTFOLIO	B	0.00	11.74	0.00	11.74	85.0	9.98
12/03/2018 04000539114	REEVES, YVONNE	MFT RTMT INCOME PORTFOLIO	B	0.00	22.20	0.00	22.20	85.0	18.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,340.53	\$0.00	\$1,340.53		\$1,139.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/07/2018 (None)	VARIOUS, MAPES	VAT MANULIFE	B	0.00	1,789.00	0.00	1,789.00	85.0	1,520.65
12/06/2018 VR03083686	HERCIK	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	430.81	0.00	430.81	85.0	366.19
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,219.81	\$0.00	\$2,219.81		\$1,886.84
*** DIRECT TRANSACTIONS TOTAL ***				\$167,897.84	\$3,630.30	\$0.00	\$3,630.30		\$3,085.77

BROKER COMMISSION TOTALS

Broker Transactions	\$3,085.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,085.77
Year-to-date Commissions	\$38,286.19