

COMMISSION STATEMENT November 30, 2018

BRISTER DPM

 BROKER #: D311
 BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISTER DPM

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------|---------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 11/09/2018 368325691 | HALKETT, BONNIE | S GRANITESHARES GOLD TR SHS BEN | B | 6,048.95 | 15.00 | 15.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 368325691 | HALKETT, BONNIE | S GRANITESHARES GOLD TR SHS BEN | B | 6,070.95 | 15.00 | 15.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 368325691 | HALKETT, BONNIE | S ISHARES SILVER TRUST | B | 6,651.80 | 15.00 | 15.00 | 0.00 | 0.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | | \$18,771.70 | \$45.00 | \$45.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 11/13/2018 338236246 | HALKETT, BONNIE | B UNITED STATES T NOTES CPN: | B | 49,933.70 | 25.00 | 25.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 338236246 | HALKETT, BONNIE | B U. S. TREASURY NOTE | B | -45,445.93 | -25.00 | -25.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 338236246 | HALKETT, BONNIE | B U. S. TREASURY NOTE | B | 45,445.93 | 25.00 | 25.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 338236246 | HALKETT, BONNIE | B U. S. TREASURY NOTE | B | 49,445.93 | 25.00 | 25.00 | 0.00 | 0.0 | 0.00 |
| 11/13/2018 368325691 | HALKETT, BONNIE | B UNITED STATES T NOTES CPN: | B | 49,933.70 | 25.00 | 25.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 368325691 | HALKETT, BONNIE | B U. S. TREASURY NOTE | B | -45,445.93 | -25.00 | -25.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 368325691 | HALKETT, BONNIE | B U. S. TREASURY NOTE | B | 45,445.93 | 25.00 | 25.00 | 0.00 | 0.0 | 0.00 |
| 11/27/2018 368325691 | HALKETT, BONNIE | B U. S. TREASURY NOTE | B | 49,445.93 | 25.00 | 25.00 | 0.00 | 0.0 | 0.00 |
| * BONDS SUBTOTAL * | | | | \$198,759.26 | \$100.00 | \$100.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 11/21/2018 mfc083822 | DPM BRISTER 083822 | MFT FRANKLIN FEDERAL TAX FREE | B | 0.00 | 2.14 | 0.00 | 2.14 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$2.14 | \$0.00 | \$2.14 | | \$0.00 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$217,530.96 | \$147.14 | \$145.00 | \$2.14 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|---------------|
| Net Commissions | \$0.00 |
| Year-to-date Commissions | \$0.00 |