



# COMMISSION STATEMENT

## October 08, 2018

JON HEDDLESON  
1021 Park Ave.  
Elyria, OH 44035

BROKER #: A55  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT   | INVESTMENT  | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |  |   |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |  |   |                      |                     |                    |                   |      |                      |
| 10/01/2018                                   | ***-**-81IN - JACKSON,<br>ACCOUNT #: 00081681468         | AMERICAN BALANCED FUND-A<br>BUY 2 Units @29.3200            | 53.75                | 2.02                | 0.00               | 2.02              | 80.0 | 1.62                 |
| 10/01/2018                                   | ***-**-7995 - BEABOUT,<br>ACCOUNT #: 04001031535         | AMERICAN FUNDS GROWTH PORT CL C<br>BUY 16 Units @19.2300    | 308.00               | 3.08                | 0.00               | 3.08              | 80.0 | 2.46                 |
| 10/01/2018                                   | ***-**-05IN - LEIKALA, JOSH<br>ACCOUNT #: 00085956564    | AMERICAN GLOBAL BALANCED CLASS A<br>BUY 1 Units @33.9700    | 25.00                | 1.25                | 0.00               | 1.25              | 80.0 | 1.00                 |
| 10/01/2018                                   | ***-**-13IN - BEMENT, JERRY<br>ACCOUNT #: 00088836397    | AMERICAN HIGH-INCOME TRUST-C<br>BUY 1 Units @10.2600        | 10.00                | 0.10                | 0.00               | 0.10              | 80.0 | 0.08                 |
| 10/01/2018                                   | ***-**-7995 - BEABOUT,<br>ACCOUNT #: 04001031535         | AMERICAN HIGH-INCOME TRUST-C<br>BUY 30 Units @10.2600       | 308.00               | 3.08                | 0.00               | 3.08              | 80.0 | 2.46                 |
| 10/03/2018                                   | ***-**-37IN - SALSBUURY, SARAH<br>ACCOUNT #: 00088864053 | AMERICAN HIGH-INCOME TRUST-C<br>BUY 67 Units @10.2700       | 691.80               | 6.92                | 0.00               | 6.92              | 80.0 | 5.54                 |
| 10/01/2018                                   | ***-**-99IN - ENDERLE, ANGELA<br>ACCOUNT #: 00083270336  | AMERICAN MUTUAL FUND-C<br>BUY 1 Units @42.4700              | 25.00                | 0.25                | 0.00               | 0.25              | 80.0 | 0.20                 |
| 10/04/2018                                   | ***-**-75IN - WELLS, CAROL<br>ACCOUNT #: 00953303928     | CAPITAL INCOME BUILDER-A<br>BUY 1 Units @63.7100            | 75.00                | 3.75                | 0.00               | 3.75              | 80.0 | 3.00                 |
| 10/01/2018                                   | ***-**-13IN - BEMENT, JERRY<br>ACCOUNT #: 00088836397    | CAPITAL WORLD BOND FUND-C<br>BUY 1 Units @18.8300           | 12.50                | 0.13                | 0.00               | 0.13              | 80.0 | 0.10                 |
| 10/03/2018                                   | ***-**-37IN - SALSBUURY, SARAH<br>ACCOUNT #: 00088864053 | CAPITAL WORLD BOND FUND-C<br>BUY 46 Units @18.7400          | 864.75               | 8.65                | 0.00               | 8.65              | 80.0 | 6.92                 |
| 10/01/2018                                   | ***-**-81IN - JACKSON,<br>ACCOUNT #: 00081681468         | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @53.6600 | 53.75                | 2.02                | 0.00               | 2.02              | 80.0 | 1.62                 |
| 10/01/2018                                   | ***-**-71IN - HLAS, LEE<br>ACCOUNT #: 00074169955        | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @53.9500 | 50.00                | 2.13                | 0.00               | 2.13              | 80.0 | 1.70                 |
| 10/01/2018                                   | ***-**-7995 - BEABOUT,<br>ACCOUNT #: 04001031535         | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 3 Units @50.7000 | 154.00               | 1.54                | 0.00               | 1.54              | 80.0 | 1.23                 |
| 10/04/2018                                   | ***-**-45IN - INGERSOLL, LORNA<br>ACCOUNT #: 00075090099 | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @53.0700 | 34.62                | 1.47                | 0.00               | 1.47              | 80.0 | 1.18                 |
| 10/04/2018                                   | ***-**-45IN - INGERSOLL, LORNA<br>ACCOUNT #: 00075090099 | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @53.0700 | 57.69                | 2.45                | 0.00               | 2.45              | 80.0 | 1.96                 |
| 10/04/2018                                   | ***-**-75IN - WELLS, CAROL<br>ACCOUNT #: 00977979325     | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @53.5000 | 75.00                | 3.75                | 0.00               | 3.75              | 80.0 | 3.00                 |



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|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |   |  |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |   |  |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-17IN - METZGER-GASIOR,<br>ACCOUNT #: 00084959995 | EUROPACIFIC GROWTH FUND-A<br>BUY 1 Units @55.7700            | 75.00                | 3.19                | 0.00               | 3.19              | 80.0 | 2.55                 |
| 10/04/2018  | ***-**-07IN - WARD, DANIEL<br>ACCOUNT #: 00075090142    | EUROPACIFIC GROWTH FUND-A<br>BUY 0 Units @54.2100            | 27.00                | 1.15                | 0.00               | 1.15              | 80.0 | 0.92                 |
| 10/04/2018  | ***-**-07IN - WARD, DANIEL<br>ACCOUNT #: 00075090142    | EUROPACIFIC GROWTH FUND-A<br>BUY 0 Units @54.2100            | 27.00                | 1.15                | 0.00               | 1.15              | 80.0 | 0.92                 |
| 09/21/2018  | ***-**-83IN - GRIMM, LISA<br>ACCOUNT #: 000090233211843 | FRANKLIN INCOME FUND CLASS A<br>BUY 10 Units @2.4400         | 25.00                | 1.00                | 0.00               | 1.00              | 80.0 | 0.80                 |
| 10/01/2018  | ***-**-13IN - BEMENT, JERRY<br>ACCOUNT #: 00088836397   | INTERMEDIATE BOND FUND OF AMERICA-A<br>BUY 1 Units @13.4200  | 11.25                | 0.23                | 0.00               | 0.23              | 80.0 | 0.18                 |
| 10/03/2018  | ***-**-37IN - SALSBUY, SARAH<br>ACCOUNT #: 00088864053  | INTERMEDIATE BOND FUND OF AMERICA-A<br>BUY 58 Units @13.3900 | 778.27               | 15.57               | 0.00               | 15.57             | 80.0 | 12.46                |
| 10/01/2018  | ***-**-17IN - METZGER-GASIOR,<br>ACCOUNT #: 00084959995 | INTERNATIONAL GROWTH AND INCOME-A<br>BUY 2 Units @34.7600    | 75.00                | 3.19                | 0.00               | 3.19              | 80.0 | 2.55                 |
| 10/01/2018  | ***-**-99IN - ENDERLE, ANGELA<br>ACCOUNT #: 00083270336 | INTERNATIONAL GROWTH AND INCOME-C<br>BUY 1 Units @32.9300    | 25.00                | 0.25                | 0.00               | 0.25              | 80.0 | 0.20                 |
| 10/01/2018  | ***-**-13IN - BEMENT, JERRY<br>ACCOUNT #: 00088836397   | INTERNATIONAL GROWTH AND INCOME-C<br>BUY 1 Units @32.9300    | 18.75                | 0.19                | 0.00               | 0.19              | 80.0 | 0.15                 |
| 10/03/2018  | ***-**-37IN - SALSBUY, SARAH<br>ACCOUNT #: 00088864053  | INTERNATIONAL GROWTH AND INCOME-C<br>BUY 40 Units @32.7500   | 1,297.12             | 12.97               | 0.00               | 12.97             | 80.0 | 10.38                |
| 10/01/2018  | ***-**-13IN - BEMENT, JERRY<br>ACCOUNT #: 00088836397   | NEW PERSPECTIVE FUND-C<br>BUY 0 Units @44.8600               | 10.00                | 0.10                | 0.00               | 0.10              | 80.0 | 0.08                 |
| 10/03/2018  | ***-**-37IN - SALSBUY, SARAH<br>ACCOUNT #: 00088864053  | NEW PERSPECTIVE FUND-C<br>BUY 16 Units @44.5700              | 691.80               | 6.92                | 0.00               | 6.92              | 80.0 | 5.54                 |
| 10/04/2018  | ***-**-0083 - MASSEY, TONY<br>ACCOUNT #: 00089986382    | NEW WORLD FUND-A<br>BUY 0 Units @65.7100                     | 13.50                | 0.57                | 0.00               | 0.57              | 80.0 | 0.46                 |
| 10/04/2018  | ***-**-0083 - MASSEY, TONY<br>ACCOUNT #: 00089986382    | NEW WORLD FUND-A<br>BUY 0 Units @65.7100                     | 13.50                | 0.57                | 0.00               | 0.57              | 80.0 | 0.46                 |
| 10/01/2018  | ***-**-13IN - BEMENT, JERRY<br>ACCOUNT #: 00088836397   | NEW WORLD FUND-C<br>BUY 0 Units @61.4600                     | 7.50                 | 0.08                | 0.00               | 0.08              | 80.0 | 0.06                 |
| 10/03/2018  | ***-**-37IN - SALSBUY, SARAH<br>ACCOUNT #: 00088864053  | NEW WORLD FUND-C<br>BUY 8 Units @61.0900                     | 518.85               | 5.19                | 0.00               | 5.19              | 80.0 | 4.15                 |



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|---|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |                                |                                    |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |                                |                                    |                      |                     |                    |                   |      |                      |
| 10/04/2018  | ***-**-0083 - MASSEY, TONY     | SMALLCAP WORLD FUND-A              | 13.50                | 0.57                | 0.00               | 0.57              | 80.0 | 0.46                 |
|   | ACCOUNT #: 00089986382         | BUY 0 Units @61.4400               |                      |                     |                    |                   |      |                      |
| 10/04/2018  | ***-**-0083 - MASSEY, TONY     | SMALLCAP WORLD FUND-A              | 13.50                | 0.57                | 0.00               | 0.57              | 80.0 | 0.46                 |
|   | ACCOUNT #: 00089986382         | BUY 0 Units @61.4400               |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-99IN - ENDERLE, ANGELA  | SMALLCAP WORLD FUND-C              | 25.00                | 0.25                | 0.00               | 0.25              | 80.0 | 0.20                 |
|   | ACCOUNT #: 00083270336         | BUY 0 Units @52.3400               |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-13IN - BEMENT, JERRY    | SMALLCAP WORLD FUND-C              | 35.00                | 0.35                | 0.00               | 0.35              | 80.0 | 0.28                 |
|   | ACCOUNT #: 00088836397         | BUY 1 Units @52.3400               |                      |                     |                    |                   |      |                      |
| 10/03/2018  | ***-**-37IN - SALSBUURY, SARAH | SMALLCAP WORLD FUND-C              | 2,421.32             | 24.21               | 0.00               | 24.21             | 80.0 | 19.37                |
|   | ACCOUNT #: 00088864053         | BUY 47 Units @51.9300              |                      |                     |                    |                   |      |                      |
| 10/04/2018  | ***-**-45IN - INGERSOLL, LORNA | THE GROWTH FUND OF AMERICA-A       | 34.61                | 1.47                | 0.00               | 1.47              | 80.0 | 1.18                 |
|   | ACCOUNT #: 00075090099         | BUY 1 Units @58.7700               |                      |                     |                    |                   |      |                      |
| 10/04/2018  | ***-**-45IN - INGERSOLL, LORNA | THE GROWTH FUND OF AMERICA-A       | 57.69                | 2.45                | 0.00               | 2.45              | 80.0 | 1.96                 |
|   | ACCOUNT #: 00075090099         | BUY 1 Units @58.7700               |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-7995 - BEABOUT,         | THE GROWTH FUND OF AMERICA-C       | 770.00               | 7.70                | 0.00               | 7.70              | 80.0 | 6.16                 |
|   | ACCOUNT #: 04001031535         | BUY 15 Units @52.3800              |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-99IN - ENDERLE, ANGELA  | WASHINGTON MUTUAL INVESTORS FUND-C | 25.00                | 0.25                | 0.00               | 0.25              | 80.0 | 0.20                 |
|   | ACCOUNT #: 00083270336         | BUY 1 Units @46.3600               |                      |                     |                    |                   |      |                      |
| 10/01/2018  | ***-**-13IN - BEMENT, JERRY    | WASHINGTON MUTUAL INVESTORS FUND-C | 20.00                | 0.20                | 0.00               | 0.20              | 80.0 | 0.16                 |
|   | ACCOUNT #: 00088836397         | BUY 0 Units @46.3600               |                      |                     |                    |                   |      |                      |
| 10/03/2018  | ***-**-37IN - SALSBUURY, SARAH | WASHINGTON MUTUAL INVESTORS FUND-C | 1,383.60             | 13.84               | 0.00               | 13.84             | 80.0 | 11.07                |
|   | ACCOUNT #: 00088864053         | BUY 30 Units @46.4800              |                      |                     |                    |                   |      |                      |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>                  |                                |                                    | <b>\$11,212.62</b>   | <b>\$146.77</b>     | <b>\$0.00</b>      | <b>\$146.77</b>   |      | <b>\$117.43</b>      |
| <b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>       |                                |                                    |                      |                     |                    |                   |      |                      |
| 10/08/2018  | ***-**-2205 - CORE             | JOHN HANCOCK VA TRAILS             | 0.00                 | 65.45               | 0.00               | 65.45             | 80.0 | 52.36                |
|   |                                | BUY 1 Units @0.0000                |                      |                     |                    |                   |      |                      |
| 10/08/2018  | ***-**-737I - SULLIVAN, TERESA | METLIFE VA                         | 400.00               | 1.52                | 0.00               | 1.52              | 80.0 | 1.22                 |
|   | ACCOUNT #: 230003447           | BUY 1 Units @400.0000              |                      |                     |                    |                   |      |                      |
| <b>* VARIABLE ANNUITIES SUBTOTAL *</b>            |                                |                                    | <b>\$400.00</b>      | <b>\$66.97</b>      | <b>\$0.00</b>      | <b>\$66.97</b>    |      | <b>\$53.58</b>       |



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|--|-------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b> |                               |                                |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: RIA</b>           |                               |                                |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-14FB - KRASE, MELINDA  | AMHERST SCHOOLS                | 74.57                | 74.57               | 0.00               | 74.57             | 80.0 | 59.66                |
|  | ACCOUNT #: 102892301          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-46FB - TAYLOR, KELLY   | AMHERST SCHOOLS                | 25.98                | 25.98               | 0.00               | 25.98             | 80.0 | 20.78                |
|  | ACCOUNT #: 102892401          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-50FB - ANDERSON, DAVID | AMHERST SCHOOLS                | 63.69                | 63.69               | 0.00               | 63.69             | 80.0 | 50.95                |
|  | ACCOUNT #: 102897501          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-74FB - ANDERSON,       | AMHERST SCHOOLS                | 99.23                | 99.23               | 0.00               | 99.23             | 80.0 | 79.38                |
|  | ACCOUNT #: 102897601          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-29FB - PECZE, SCOTT    | AMHERST SCHOOLS                | 40.65                | 40.65               | 0.00               | 40.65             | 80.0 | 32.52                |
|  | ACCOUNT #: 102897701          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-06FB - WOLFE, MAUREEN  | AMHERST SCHOOLS                | 13.57                | 13.57               | 0.00               | 13.57             | 80.0 | 10.86                |
|  | ACCOUNT #: 102897801          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-80FB - MELVIN, JOEL    | AMHERST SCHOOLS                | 0.68                 | 0.68                | 0.00               | 0.68              | 80.0 | 0.54                 |
|  | ACCOUNT #: 102925001          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-60FB - SCHNEIDER,      | AMHERST SCHOOLS                | 38.05                | 38.05               | 0.00               | 38.05             | 80.0 | 30.44                |
|  | ACCOUNT #: 102940101          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-81FB - HARCULA, LISA   | AMHERST SCHOOLS                | 2.56                 | 2.56                | 0.00               | 2.56              | 80.0 | 2.05                 |
|  | ACCOUNT #: 103034101          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-74FB - HARCULA, ROBERT | AMHERST SCHOOLS                | 23.39                | 23.39               | 0.00               | 23.39             | 80.0 | 18.71                |
|  | ACCOUNT #: 103034201          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-76FB - SCHENK, LISA    | AMHERST SCHOOLS                | 28.09                | 28.09               | 0.00               | 28.09             | 80.0 | 22.47                |
|  | ACCOUNT #: 103061801          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-14IN - HOBER, GIA      | AMHERST SCHOOLS                | 35.25                | 35.25               | 0.00               | 35.25             | 80.0 | 28.20                |
|  | ACCOUNT #: 103127901          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-1850 - JARMUSZ, MEGAN  | AMHERST SCHOOLS                | 1.73                 | 1.73                | 0.00               | 1.73              | 80.0 | 1.38                 |
|  | ACCOUNT #: 103297701          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-56FB - TOMER, ANDREA   | BARBERTON CITY SCHOOL DISTRICT | 3.57                 | 3.57                | 0.00               | 3.57              | 80.0 | 2.86                 |
|  | ACCOUNT #: 102874901          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-05FB - LEIKALA, JOSH   | CHARDON LOCAL                  | 0.36                 | 0.36                | 0.00               | 0.36              | 80.0 | 0.29                 |
|  | ACCOUNT #: 102930201          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |
| 10/09/2018                             | ***-**-51FB - GINLEY, ERIN    | CLOVERLEAF LOCAL SCHOOLS       | 20.87                | 20.87               | 0.00               | 20.87             | 80.0 | 16.70                |
|  | ACCOUNT #: 102700001          | BUY 0 Units @0.0000            |                      |                     |                    |                   |      |                      |



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|--|--------------------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>   |                                |                             |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: RIA (continued)</b> |                                |                             |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-74FB - COLLUM, LEANNE   | CLOVERLEAF LOCAL SCHOOLS    | 80.75                | 80.75               | 0.00               | 80.75             | 80.0 | 64.60                |
|  | ACCOUNT #: 102700101           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-90FB - URSEM-FRUIT, S   | CLOVERLEAF LOCAL SCHOOLS    | 6.06                 | 6.06                | 0.00               | 6.06              | 80.0 | 4.85                 |
|  | ACCOUNT #: 102798201           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-25FB - ROCKHOLD, MARK   | CLOVERLEAF LOCAL SCHOOLS    | 21.20                | 21.20               | 0.00               | 21.20             | 80.0 | 16.96                |
|  | ACCOUNT #: 102835401           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-80FB - DALESSANDRO, J   | CLOVERLEAF LOCAL SCHOOLS    | 40.47                | 40.47               | 0.00               | 40.47             | 80.0 | 32.38                |
|  | ACCOUNT #: 103041501           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-12FB - HEWIS, KRISTINA  | EUCLID CITY SCHOOLS         | 49.83                | 49.83               | 0.00               | 49.83             | 80.0 | 39.86                |
|  | ACCOUNT #: 102814301           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-81FB - SHAMBLIN, J      | EUCLID CITY SCHOOLS         | 28.54                | 28.54               | 0.00               | 28.54             | 80.0 | 22.83                |
|  | ACCOUNT #: 102827001           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-76FB - MADENFORT, J     | GREEN LOCAL SCHOOL DISTRICT | 44.20                | 44.20               | 0.00               | 44.20             | 80.0 | 35.36                |
|  | ACCOUNT #: 102866101           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-10FB - RADDISH, CHARLEE | GREEN LOCAL SCHOOL DISTRICT | 33.20                | 33.20               | 0.00               | 33.20             | 80.0 | 26.56                |
|  | ACCOUNT #: 102907801           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-60FB - BINNS, THERESA   | GREEN LOCAL SCHOOL DISTRICT | 29.81                | 29.81               | 0.00               | 29.81             | 80.0 | 23.85                |
|  | ACCOUNT #: 102910201           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-24FB - MADENFORT, J     | GREEN LOCAL SCHOOL DISTRICT | 6.72                 | 6.72                | 0.00               | 6.72              | 80.0 | 5.38                 |
|  | ACCOUNT #: 102989501           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-58FB - STECKER, LACEY   | GREEN LOCAL SCHOOL DISTRICT | 2.42                 | 2.42                | 0.00               | 2.42              | 80.0 | 1.94                 |
|  | ACCOUNT #: 103050801           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-58FB - STECKER, LACEY   | GREEN LOCAL SCHOOL DISTRICT | 0.06                 | 0.06                | 0.00               | 0.06              | 80.0 | 0.05                 |
|  | ACCOUNT #: 103050802           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-47FB - DOLATA, TANYA    | HIGHLAND LOCAL SCHOOLS      | 36.49                | 36.49               | 0.00               | 36.49             | 80.0 | 29.19                |
|  | ACCOUNT #: 102803501           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-88FB - WHITNEY, ABIGAIL | HIGHLAND LOCAL SCHOOLS      | 0.44                 | 0.44                | 0.00               | 0.44              | 80.0 | 0.35                 |
|  | ACCOUNT #: 102803601           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-49FB - VALENTASIS, J    | HIGHLAND LOCAL SCHOOLS      | 64.52                | 64.52               | 0.00               | 64.52             | 80.0 | 51.62                |
|  | ACCOUNT #: 102803701           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-54FB - BOND, LINDSAY    | HIGHLAND LOCAL SCHOOLS      | 9.69                 | 9.69                | 0.00               | 9.69              | 80.0 | 7.75                 |
|  | ACCOUNT #: 102920601           | BUY 0 Units @0.0000         |                      |                     |                    |                   |      |                      |



# COMMISSION STATEMENT

## October 08, 2018

JON HEDDLESON  
1021 Park Ave.  
Elyria, OH 44035

BROKER #: A55  
BRANCH #01001 - LifeMark Home Office

| DATE                                     | CLIENT                         | INVESTMENT                    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------------|-------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>   |                                |                               |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: RIA (continued)</b> |                                |                               |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-31FB - WATERS, MONIQUE  | HIGHLAND LOCAL SCHOOLS        | 2.11                 | 2.11                | 0.00               | 2.11              | 80.0 | 1.69                 |
|  | ACCOUNT #: 103182501           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-08FB - KISH, MAURA      | MAYFIELD CITY SCHOOL DISTRICT | 65.85                | 65.85               | 0.00               | 65.85             | 80.0 | 52.68                |
|  | ACCOUNT #: 102706601           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-83FB - LUBIN, MATTHEW   | MAYFIELD CITY SCHOOL DISTRICT | 87.73                | 87.73               | 0.00               | 87.73             | 80.0 | 70.18                |
|  | ACCOUNT #: 102707601           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-10FB - MARCIANO, KATE   | MAYFIELD CITY SCHOOL DISTRICT | 30.14                | 30.14               | 0.00               | 30.14             | 80.0 | 24.11                |
|  | ACCOUNT #: 102710901           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-79FB - SAUNDERS,        | MAYFIELD CITY SCHOOL DISTRICT | 21.10                | 21.10               | 0.00               | 21.10             | 80.0 | 16.88                |
|  | ACCOUNT #: 102712201           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-59FB - GOLEM, ALLISON   | MAYFIELD CITY SCHOOL DISTRICT | 51.23                | 51.23               | 0.00               | 51.23             | 80.0 | 40.98                |
|  | ACCOUNT #: 102802001           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-70FB - ELLIS, MICHAEL   | MAYFIELD CITY SCHOOL DISTRICT | 9.85                 | 9.85                | 0.00               | 9.85              | 80.0 | 7.88                 |
|  | ACCOUNT #: 102808201           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-70FB - FINI-SANSON,     | MAYFIELD CITY SCHOOL DISTRICT | 30.21                | 30.21               | 0.00               | 30.21             | 80.0 | 24.17                |
|  | ACCOUNT #: 102809101           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-67FB - BECERRA, BEN     | MAYFIELD CITY SCHOOL DISTRICT | 2.99                 | 2.99                | 0.00               | 2.99              | 80.0 | 2.39                 |
|  | ACCOUNT #: 102846601           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-68FB - HASTINGS, JACLYN | MAYFIELD CITY SCHOOL DISTRICT | 1.14                 | 1.14                | 0.00               | 1.14              | 80.0 | 0.91                 |
|  | ACCOUNT #: 103080101           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-14FB - WESTFALL, EMILY  | MAYFIELD CITY SCHOOL DISTRICT | 1.50                 | 1.50                | 0.00               | 1.50              | 80.0 | 1.20                 |
|  | ACCOUNT #: 103081701           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-18FB - EBERHARDT, TODD  | OLMSTED FALLS CITY SCHOOLS    | 88.03                | 88.03               | 0.00               | 88.03             | 80.0 | 70.42                |
|  | ACCOUNT #: 102881801           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-92FB - TROMBA, HEATHER  | PERRY LOCAL SD                | 26.02                | 26.02               | 0.00               | 26.02             | 80.0 | 20.82                |
|  | ACCOUNT #: 102700801           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-88FB - WARD, PAIGE      | PERRY LOCAL SD                | 75.44                | 75.44               | 0.00               | 75.44             | 80.0 | 60.35                |
|  | ACCOUNT #: 102701001           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-52FB - ROBERTS, TAMARA  | PERRY LOCAL SD                | 50.66                | 50.66               | 0.00               | 50.66             | 80.0 | 40.53                |
|  | ACCOUNT #: 102722801           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |
| 10/09/2018                               | ***-**-88FB - WEISBARTH,       | SHAKER HEIGHTS CITY SD (BOE)  | 46.69                | 46.69               | 0.00               | 46.69             | 80.0 | 37.35                |
|  | ACCOUNT #: 102898001           | BUY 0 Units @0.0000           |                      |                     |                    |                   |      |                      |



# COMMISSION STATEMENT

## October 08, 2018

JON HEDDLESON  
1021 Park Ave.  
Elyria, OH 44035

BROKER #: A55  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT                         | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>           |                                |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: RIA (continued)</b>         |                                |                                     |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-00FB - DAWSON, DAWN     | WESTLAKE CITY SD (BOE)              | 118.48               | 118.48              | 0.00               | 118.48            | 80.0 | 94.78                |
|  | ACCOUNT #: 102984201           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-07FB - PADO, KRISTEN    | WESTLAKE CITY SD (BOE)              | 64.22                | 64.22               | 0.00               | 64.22             | 80.0 | 51.38                |
|  | ACCOUNT #: 102984301           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-52FB - CLARK, MINDY     | WESTLAKE CITY SD (BOE)              | 45.33                | 45.33               | 0.00               | 45.33             | 80.0 | 36.26                |
|  | ACCOUNT #: 102984501           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-27FB - DIETERICH, DONNA | WESTLAKE CITY SD (BOE)              | 88.90                | 88.90               | 0.00               | 88.90             | 80.0 | 71.12                |
|  | ACCOUNT #: 102984901           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-93FB - ZUK, LISA        | WESTLAKE CITY SD (BOE)              | 87.89                | 87.89               | 0.00               | 87.89             | 80.0 | 70.31                |
|  | ACCOUNT #: 102985001           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-0759 - ROYCE, BRIDGET   | WESTLAKE CITY SD (BOE)              | 6.87                 | 6.87                | 0.00               | 6.87              | 80.0 | 5.50                 |
|  | ACCOUNT #: 103152801           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 10/09/2018                                       | ***-**-58FB - MUSSELMAN,       | WESTLAKE CITY SD (BOE)              | 3.28                 | 3.28                | 0.00               | 3.28              | 80.0 | 2.62                 |
|  | ACCOUNT #: 103303601           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| <b>* RIA SUBTOTAL *</b>                          |                                |                                     | <b>\$1,932.30</b>    | <b>\$1,932.30</b>   | <b>\$0.00</b>      | <b>\$1,932.30</b> |      | <b>\$1,545.83</b>    |
| <b>PRODUCT CATEGORY: 529 PLAN</b>                |                                |                                     |                      |                     |                    |                   |      |                      |
| 10/05/2018                                       | ***-**-25IN - SCHLOSSER, SCOTT | AMERICAN BALANCED FUND-529A         | 50.00                | 2.50                | 0.00               | 2.50              | 80.0 | 2.00                 |
|  | ACCOUNT #: 04000615940         | BUY 2 Units @29.3500                |                      |                     |                    |                   |      |                      |
| 10/05/2018                                       | ***-**-25IN - SCHLOSSER, SCOTT | CAPITAL WORLD GROWTH AND INCOME-529 | 50.00                | 2.50                | 0.00               | 2.50              | 80.0 | 2.00                 |
|  | ACCOUNT #: 04000615940         | BUY 1 Units @53.0100                |                      |                     |                    |                   |      |                      |
| <b>* 529 PLAN SUBTOTAL *</b>                     |                                |                                     | <b>\$100.00</b>      | <b>\$5.00</b>       | <b>\$0.00</b>      | <b>\$5.00</b>     |      | <b>\$4.00</b>        |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                                |                                     |                      |                     |                    |                   |      |                      |
| 09/30/2018                                       | ***-**-07IN - WATSON, SANDRA   | CAPITAL INCOME A                    | 0.00                 | 2.29                | 0.00               | 2.29              | 80.0 | 1.83                 |
|  | ACCOUNT #: 03006252092         | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 09/30/2018                                       | ***-**-07IN - WATSON, SANDRA   | GLOBAL FUND A                       | 0.00                 | 0.92                | 0.00               | 0.92              | 80.0 | 0.74                 |
|  | ACCOUNT #: 03307799176         | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 09/30/2018                                       | ***-**-83IN - GRIMM, LISA      | GLOBAL OPPORTUNITIES A              | 0.00                 | 12.15               | 0.00               | 12.15             | 80.0 | 9.72                 |
|  | ACCOUNT #: 02155916636         | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 09/30/2018                                       | ***-**-10IN - RADDISH, CHARLEE | GOLD & SPECIAL MINERALS A           | 0.00                 | 0.50                | 0.00               | 0.50              | 80.0 | 0.40                 |
|  | ACCOUNT #: 04101695606         | BUY 0 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      |                                |                                     | <b>\$0.00</b>        | <b>\$15.86</b>      | <b>\$0.00</b>      | <b>\$15.86</b>    |      | <b>\$12.69</b>       |



BROKER #: A55  
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| DATE                              | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE    | NET<br>COMMISSION | BROKER<br>COMMISSION | RATE | COMMISSION |
|-----------------------------------|--------|------------|----------------------|---------------------|-----------------------|-------------------|----------------------|------|------------|
| ADJUSTMENTS (continued)           |        |            |                      |                     |                       |                   |                      |      |            |
| *** BROKER TRANSACTIONS TOTAL *** |        |            | \$13,644.92          | \$2,166.90          | \$0.00                | \$2,166.90        | \$1,733.53           |      |            |
| BROKER COMMISSION TOTALS          |        |            |                      |                     |                       |                   |                      |      |            |
|                                   |        |            |                      |                     | Broker Transactions   | \$1,733.53        |                      |      |            |
|                                   |        |            |                      |                     | Split Transactions    | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | Override Transactions | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | Adjustments           | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | Payroll Draw          | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | Base Salary           | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | Prior Period Balance  | \$35.35           |                      |      |            |
|                                   |        |            |                      |                     | FINRA Assessment      | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | SIPC Assessment       | \$0.00            |                      |      |            |
|                                   |        |            |                      |                     | Net Commission Earned | \$1,768.88        |                      |      |            |
|                                   |        |            |                      |                     | Year-to-date Earnings | \$67,243.82       |                      |      |            |