

**COMMISSION STATEMENT**

October 31, 2018

CHELSEA SCHLOSSER-687888

BROKER #:

BRANCH: D12

, TX

**COMMISSION STATEMENT for CHELSEA SCHLOSSER-687888**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

09/28/2018 368461983	CADY, SHERRY	MF BLK 091928846	S	19,002.45	712.50	2.50	710.00	65.0	461.50
09/28/2018 368461983	CADY, SHERRY	MF CAPITAL APPRECIATION - CLASS A	S	56,502.45	2,118.75	5.00	2,113.75	65.0	1,373.94
09/28/2018 646489023	RUSSELL, PENNY	MF NATIXIS US DIVERS PORTFOLIO A	B	-1,887.55	0.00	5.00	-5.00	65.0	-3.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$73,617.35</b>	<b>\$2,831.25</b>	<b>\$12.50</b>	<b>\$2,818.75</b>		<b>\$1,832.19</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/28/2018 368461983	CADY, SHERRY	MFT SENTINEL SMALL COMPANY FUND -	S	27,502.45	1,168.75	5.00	1,163.75	65.0	756.44
10/19/2018 mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.92	0.00	3.92	65.0	2.55
10/19/2018 mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.92	0.00	3.92	65.0	2.55
10/19/2018 mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	7.82	0.00	7.82	65.0	5.08
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.08	0.00	5.08	65.0	3.30
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.02	0.00	6.02	65.0	3.91
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.04	0.00	6.04	65.0	3.93
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.54	0.00	6.54	65.0	4.25
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.70	0.00	6.70	65.0	4.36
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.03	0.00	7.03	65.0	4.57
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.27	0.00	7.27	65.0	4.73
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.45	0.00	7.45	65.0	4.84
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.74	0.00	9.74	65.0	6.33
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	10.02	0.00	10.02	65.0	6.51
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	11.07	0.00	11.07	65.0	7.20
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	11.66	0.00	11.66	65.0	7.58
10/19/2018 mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	12.35	0.00	12.35	65.0	8.03
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	3.95	0.00	3.95	65.0	2.57
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	6.89	0.00	6.89	65.0	4.48
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	7.29	0.00	7.29	65.0	4.74
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	7.68	0.00	7.68	65.0	4.99
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	8.49	0.00	8.49	65.0	5.52
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	9.14	0.00	9.14	65.0	5.94
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	11.23	0.00	11.23	65.0	7.30
10/19/2018 mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	11.27	0.00	11.27	65.0	7.33

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10/19/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.81	0.00	11.81	65.0	7.68
10/19/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.84	0.00	11.84	65.0	7.70
10/19/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.21	0.00	12.21	65.0	7.94
10/19/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.62	0.00	12.62	65.0	8.20
10/19/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	27.25	0.00	27.25	65.0	17.71
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.52	0.00	1.52	65.0	0.99
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.90	0.00	2.90	65.0	1.89
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.00	0.00	3.00	65.0	1.95
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.47	0.00	4.47	65.0	2.91
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.54	0.00	4.54	65.0	2.95
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.75	0.00	4.75	65.0	3.09
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.81	0.00	4.81	65.0	3.13
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.87	0.00	4.87	65.0	3.17
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.40	0.00	5.40	65.0	3.51
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.40	0.00	6.40	65.0	4.16
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.51	0.00	6.51	65.0	4.23
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.28	0.00	7.28	65.0	4.73
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.38	0.00	7.38	65.0	4.80
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.80	0.00	7.80	65.0	5.07
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.85	0.00	7.85	65.0	5.10
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.21	0.00	8.21	65.0	5.34
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	9.05	0.00	9.05	65.0	5.88
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.53	0.00	10.53	65.0	6.84
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.76	0.00	11.76	65.0	7.64
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.43	0.00	14.43	65.0	9.38
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	16.43	0.00	16.43	65.0	10.68
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.13	0.00	19.13	65.0	12.43
10/19/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.90	0.00	19.90	65.0	12.94
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.23	0.00	4.23	65.0	2.75
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.59	0.00	4.59	65.0	2.98
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.84	0.00	4.84	65.0	3.15
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.98	0.00	4.98	65.0	3.24
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.08	0.00	5.08	65.0	3.30
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.81	0.00	5.81	65.0	3.78
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	6.12	0.00	6.12	65.0	3.98
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.74	0.00	7.74	65.0	5.03
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	10.29	0.00	10.29	65.0	6.69
10/19/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	17.24	0.00	17.24	65.0	11.21
10/19/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.26	0.00	1.26	65.0	0.82
10/19/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.37	0.00	5.37	65.0	3.49
10/19/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.03	0.00	6.03	65.0	3.92
10/19/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.53	0.00	6.53	65.0	4.24
10/19/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	8.04	0.00	8.04	65.0	5.23
10/19/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	11.47	0.00	11.47	65.0	7.46

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10/19/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.56	0.00	12.56	65.0	8.16
10/19/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	13.21	0.00	13.21	65.0	8.59
10/19/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	15.84	0.00	15.84	65.0	10.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$27,502.45	\$1,773.20	\$5.00	\$1,768.20		\$1,149.39
*** CLEARING TRANSACTIONS TOTAL ***				\$101,119.80	\$4,604.45	\$17.50	\$4,586.95		\$2,981.58

BROKER COMMISSION TOTALS

Broker Transactions	\$2,981.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00