



COMMISSION STATEMENT

November 12, 2018

WILLIAM ROBERTS
400 West Metro Park
Rochester, NY 14623

BROKER #: 16
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2018	***-**-42TR - RUES, JAMES	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	35.22	0.00	35.22	85.0	29.94
	ACCOUNT #: 000011516266163	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.22	\$0.00	\$35.22		\$29.94
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$35.22	\$0.00	\$35.22		\$29.94

BROKER COMMISSION TOTALS

Broker Transactions	\$29.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$37.20
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$67.14
Year-to-date Earnings	\$30,290.50