



COMMISSION STATEMENT

November 19, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 11/01/2018 | ***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868 | FIDELITY ADV MF 040813 BUY 9 Units @20.3700 | 175.00 | 5.25 | 0.00 | 5.25 | 50.0 | 2.63 |
| 11/01/2018 | ***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344 | FIDELITY ADV MF 040813 BUY 9 Units @20.3700 | 175.00 | 5.25 | 0.00 | 5.25 | 50.0 | 2.63 |
| 11/01/2018 | ***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209 | FIDELITY ADV MF 040813 BUY 9 Units @20.3700 | 175.00 | 5.25 | 0.00 | 5.25 | 50.0 | 2.63 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$525.00 | \$15.75 | \$0.00 | \$15.75 | | \$7.89 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 11/07/2018 | ***-**-0250 - RUBINO, ACCOUNT #: 00701378648 | FA 529 COLLEGE PORT-CL A BUY 0 Units @0.0000 | 0.00 | 0.05 | 0.00 | 0.05 | 50.0 | 0.03 |
| 11/07/2018 | ***-**-615P - AMBRUSCO, JOHN ACCOUNT #: 00625334479 | FA 529 PORT 2025-CL A BUY 0 Units @0.0000 | 0.00 | 1.26 | 0.00 | 1.26 | 50.0 | 0.63 |
| 11/07/2018 | ***-**-615P - AMBRUSCO, JOHN ACCOUNT #: 01453260323 | FA 529 PORT 2028-CL A BUY 0 Units @0.0000 | 0.00 | 0.85 | 0.00 | 0.85 | 50.0 | 0.43 |
| 11/07/2018 | ***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868 | FIDELITY ADV MF 040813 BUY 0 Units @0.0000 | 0.00 | 3.92 | 0.00 | 3.92 | 50.0 | 1.96 |
| 11/07/2018 | ***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344 | FIDELITY ADV MF 040813 BUY 0 Units @0.0000 | 0.00 | 3.82 | 0.00 | 3.82 | 50.0 | 1.91 |
| 11/07/2018 | ***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209 | FIDELITY ADV MF 040813 BUY 0 Units @0.0000 | 0.00 | 1.06 | 0.00 | 1.06 | 50.0 | 0.53 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$10.96 | \$0.00 | \$10.96 | | \$5.49 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$525.00 | \$26.71 | \$0.00 | \$26.71 | | \$13.38 |



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| | | | | GROSS | CLEARING | NET | BROKER | |
|--|--------|------------|--------|------------|----------|---------------------------------|--------|------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| | | | | | | | | |
| | | | | | | <u>BROKER COMMISSION TOTALS</u> | | |
| | | | | | | Broker Transactions | | \$13.38 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$1.74 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | | | |
| | | | | | | Balance Forward | | \$15.12 |
| | | | | | | Year-to-date Earnings | | \$4,019.36 |