

COMMISSION STATEMENT

September 30, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/18/2018	QGW032005	SIGLE, SCOTT	FORTIVE CORPORATION COM	B	8,659.53	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032013	STROPE, DALE	FORTIVE CORPORATION COM	B	8,667.45	100.00	0.00	100.00	82.5	82.50
09/25/2018	QGW032013	STROPE, DALE	WHIRLPOOL CORP	S	-18,194.55	50.00	0.00	50.00	82.5	41.25
09/26/2018	QGW032013	STROPE, DALE	BLUE BIRD CORPORATION COM	B	2,470.05	30.00	0.00	30.00	82.5	24.75
09/18/2018	QGW032039	SANDERS, RALPH	FORTIVE CORPORATION COM	B	8,557.46	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032047	SHIER, ANDY	FORTIVE CORPORATION COM	B	8,662.50	100.00	0.00	100.00	82.5	82.50
09/25/2018	QGW032047	SHIER, ANDY	WHIRLPOOL CORP	S	-24,260.00	50.00	0.00	50.00	82.5	41.25
09/18/2018	QGW032054	PAEGLOW, JOSEPH	FORTIVE CORPORATION COM	B	8,591.22	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032062	SIADÉ, RANDY	FORTIVE CORPORATION COM	B	8,555.56	100.00	0.00	100.00	82.5	82.50
09/12/2018	QGW032096	CHARLTON, JOHN	BOX INC CL A	S	-9,850.88	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032096	CHARLTON, JOHN	FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/11/2018	QGW032104	DAVIDOV, WILLIAM	CRONOS GROUP INC COM ISIN#CA22717L1	S	-3,540.00	25.00	0.00	25.00	82.5	20.63
09/12/2018	QGW032104	DAVIDOV, WILLIAM	ZENDESK INC COM	B	3,241.16	25.00	0.00	25.00	82.5	20.63
09/17/2018	QGW032104	DAVIDOV, WILLIAM	TWITTER INC	S	-2,869.46	25.00	0.00	25.00	82.5	20.63
09/20/2018	QGW032104	DAVIDOV, WILLIAM	FORTIVE CORPORATION COM	B	8,050.00	25.00	0.00	25.00	82.5	20.63
09/20/2018	QGW032104	DAVIDOV, WILLIAM	STEMLINE THERAPEUTICS INC COM	B	2,572.50	25.00	0.00	25.00	82.5	20.63
09/20/2018	QGW032104	DAVIDOV, WILLIAM	ZENDESK INC COM	S	-8,232.85	25.00	0.00	25.00	82.5	20.63
09/24/2018	QGW032104	DAVIDOV, WILLIAM	BERRY PETE CORP COM	B	2,943.50	25.00	0.00	25.00	82.5	20.63
09/24/2018	QGW032104	DAVIDOV, WILLIAM	STEMLINE THERAPEUTICS INC COM	S	-2,557.50	25.00	0.00	25.00	82.5	20.63
09/18/2018	QGW032112	CIARLO, ANTHONY	FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032112	CIARLO, ANTHONY	BLUE BIRD CORPORATION COM	B	2,445.30	35.00	0.00	35.00	82.5	28.88
09/10/2018	QGW032120	DAVIDOV, WILLIAM	MAGIC SOFTWARE ENTERPRISES LTD ISIN	S	-1,810.00	25.00	0.00	25.00	82.5	20.63
09/11/2018	QGW032120	DAVIDOV, WILLIAM	SUNRUN INC COM	B	1,660.95	25.00	0.00	25.00	82.5	20.63
09/24/2018	QGW032120	DAVIDOV, WILLIAM	SUNRUN INC COM	S	-1,630.60	25.00	0.00	25.00	82.5	20.63
09/26/2018	QGW032120	DAVIDOV, WILLIAM	HCP INC	S	-5,905.39	25.00	0.00	25.00	82.5	20.63
09/26/2018	QGW032120	DAVIDOV, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	3,293.99	25.00	0.00	25.00	82.5	20.63
09/18/2018	QGW032138	AUCHSTETTER, GERALD	FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032138	AUCHSTETTER, GERALD	BLUE BIRD CORPORATION COM	B	2,470.05	30.00	0.00	30.00	82.5	24.75
09/20/2018	QGW032146	WINGO, CHARLES	FORTIVE CORPORATION COM	B	6,743.88	70.00	0.00	70.00	82.5	57.75
09/18/2018	QGW032153	LONGWORTH, RICHARD	FORTIVE CORPORATION COM	B	8,568.45	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032161	JOERS, DAVID	FORTIVE CORPORATION COM	B	8,578.35	100.00	0.00	100.00	82.5	82.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/18/2018	QGW032179	BOND, PATRICK							
		FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/07/2018	QGW032195	NERY, GENEROSO							
		ATLASSIAN CORPORATION PLC CLASS A	S	-8,725.43	200.00	0.00	200.00	82.5	165.00
09/13/2018	QGW032195	NERY, GENEROSO							
		ATLASSIAN CORPORATION PLC CLASS A	S	-18,854.00	200.00	0.00	200.00	82.5	165.00
09/14/2018	QGW032195	NERY, GENEROSO							
		THE TRADE DESK INC COM CL A	B	21,900.00	600.00	0.00	600.00	82.5	495.00
09/18/2018	QGW032195	NERY, GENEROSO							
		FORTIVE CORPORATION COM	B	8,603.10	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032195	NERY, GENEROSO							
		BLUE BIRD CORPORATION COM	B	2,465.10	35.00	0.00	35.00	82.5	28.88
09/20/2018	QGW032211	WINDRIDGE, CHRIS							
		FORTIVE CORPORATION COM	B	8,551.62	100.00	0.00	100.00	82.5	82.50
09/20/2018	QGW032229	WATSON, ROGER							
		FORTIVE CORPORATION COM	B	8,553.60	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032229	WATSON, ROGER							
		BLUE BIRD CORPORATION COM	B	2,470.05	35.00	0.00	35.00	82.5	28.88
09/18/2018	QGW032237	PETERSON, SHAWN							
		BOX INC CL A	S	-9,600.00	75.00	0.00	75.00	82.5	61.88
09/18/2018	QGW032237	PETERSON, SHAWN							
		FORTIVE CORPORATION COM	B	8,581.32	100.00	0.00	100.00	82.5	82.50
09/21/2018	QGW032245	D'ADAMO, WILLIAM							
		FORTIVE CORPORATION COM	B	8,707.05	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032252	OMAR, ZEKI							
		BLUE BIRD CORPORATION COM	B	2,470.05	25.00	0.00	25.00	82.5	20.63
09/18/2018	QGW032278	DUFRESNE, WAYNE							
		FORTIVE CORPORATION COM	B	8,603.10	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032286	HLIWA, DANNY							
		FORTIVE CORPORATION COM	B	8,568.45	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032302	CHEN, PETER							
		FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032302	CHEN, PETER							
		BLUE BIRD CORPORATION COM	B	2,470.05	35.00	0.00	35.00	82.5	28.88
09/20/2018	QGW032336	WESSTROM, LAUREN							
		FORTIVE CORPORATION COM	B	8,553.60	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032336	WESSTROM, LAUREN							
		BLUE BIRD CORPORATION COM	B	2,465.10	35.00	0.00	35.00	82.5	28.88
09/18/2018	QGW032344	DYKSTRA, JAMES							
		FORTIVE CORPORATION COM	B	8,599.14	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW032344	DYKSTRA, JAMES							
		BLUE BIRD CORPORATION COM	B	2,470.05	35.00	0.00	35.00	82.5	28.88
09/18/2018	QGW032351	COLLINS, DONNIE							
		FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/20/2018	QGW032385	GIBBINGS, WAYNE							
		FORTIVE CORPORATION COM	B	8,561.52	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032401	DEFILIPO, STEVEN							
		FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032450	LYDON, MICHELLE							
		FORTIVE CORPORATION COM	B	7,868.69	90.00	0.00	90.00	82.5	74.25
09/20/2018	QGW032468	HUNDLEY, MICHAEL							
		FORTIVE CORPORATION COM	B	7,345.80	80.00	0.00	80.00	82.5	66.00
09/20/2018	QGW032468	HUNDLEY, MICHAEL							
		QUALCOMM INC	S	-7,472.01	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032476	HANNAN, JOHN							
		FORTIVE CORPORATION COM	B	7,618.16	90.00	0.00	90.00	82.5	74.25
09/21/2018	QGW032476	HANNAN, JOHN							
		AVITA MED LTD SPONSORED ADR ISIN#US	B	1,421.00	30.00	0.00	30.00	82.5	24.75
09/21/2018	QGW032476	HANNAN, JOHN							
		TWITTER INC	S	-2,904.56	50.00	0.00	50.00	82.5	41.25
09/18/2018	QGW032500	MAHER, MICHAEL							
		FORTIVE CORPORATION COM	B	8,569.14	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032500	MAHER, MICHAEL							
		FORTIVE CORPORATION COM	B	-8,569.14	-100.00	0.00	-100.00	82.5	-82.50 C
09/18/2018	QGW032500	MAHER, MICHAEL							
		FORTIVE CORPORATION COM	B	8,569.14	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032534	RAY, DAVID							
		FACEBOOK INC CL A	S	-6,439.64	50.00	0.00	50.00	82.5	41.25
09/18/2018	QGW032534	RAY, DAVID							
		FORTIVE CORPORATION COM	B	7,391.60	80.00	0.00	80.00	82.5	66.00
09/20/2018	QGW032559	APPELBAUM, ALAN							
		FORTIVE CORPORATION COM	B	8,657.54	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032641	SMITH, WALTER							
		FORTIVE CORPORATION COM	B	8,581.32	100.00	0.00	100.00	82.5	82.50
09/18/2018	QGW032765	HUNDLEY, BRIAN							
		FORTIVE CORPORATION COM	B	8,568.45	100.00	0.00	100.00	82.5	82.50
09/13/2018	QGW032831	FEDYK, PAUL							
		QUALCOMM INC	S	-6,705.00	120.00	0.00	120.00	82.5	99.00
09/18/2018	QGW032831	FEDYK, PAUL							
		FORTIVE CORPORATION COM	B	8,573.40	100.00	0.00	100.00	82.5	82.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/26/2018	QGW032864	FEDYK, PAUL		BLUE BIRD CORPORATION COM	B	1,794.60	25.00	0.00	25.00 82.5 20.63
09/18/2018	QGW033102	SHIPLEY, CRAIG		FORTIVE CORPORATION COM	B	8,554.57	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW033128	STRAUGHTER, EDNA		GLOBAL X FDS GLOBAL X SUPERDIVIDEND S		-2,544.00	50.00	0.00	50.00 82.5 41.25
09/20/2018	QGW033128	STRAUGHTER, EDNA		FORTIVE CORPORATION COM	B	8,476.02	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW033136	MARAGLINO, NICHOLAS		FORTIVE CORPORATION COM	B	8,588.25	100.00	0.00	100.00 82.5 82.50
09/26/2018	QGW033136	MARAGLINO, NICHOLAS		BLUE BIRD CORPORATION COM	B	2,457.68	35.00	0.00	35.00 82.5 28.88
09/18/2018	QGW033193	EHRlichMAN, NEIL		FORTIVE CORPORATION COM	B	5,027.44	50.00	0.00	50.00 82.5 41.25
09/18/2018	QGW033219	LATHAM, ROGER		FORTIVE CORPORATION COM	B	8,573.40	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW033227	WHITE, CLARENCE		FORTIVE CORPORATION COM	B	8,650.62	100.00	0.00	100.00 82.5 82.50
09/21/2018	QGW033268	SACHS, STEVEN		FORTIVE CORPORATION COM	B	8,680.32	20.00	0.00	20.00 82.5 16.50
09/18/2018	QGW033300	SECCHIANO, EMILIA		FORTIVE CORPORATION COM	B	8,568.45	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW033300	SECCHIANO, EMILIA		ICICI BANK LTD SPONSORED ADR	S	-7,735.73	50.00	0.00	50.00 82.5 41.25
09/26/2018	QGW033300	SECCHIANO, EMILIA		BLUE BIRD CORPORATION COM	B	2,470.05	30.00	0.00	30.00 82.5 24.75
09/18/2018	QGW033318	CUSTODIAN, EMILIA		FORTIVE CORPORATION COM	B	7,101.20	80.00	0.00	80.00 82.5 66.00
09/26/2018	QGW033326	CUSTODIAN, EMILIA		BLUE BIRD CORPORATION COM	B	1,721.55	20.00	0.00	20.00 82.5 16.50
09/18/2018	QGW033383	DEROIN, KATHLEEN		FORTIVE CORPORATION COM	B	8,604.09	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW033409	DEROIN, JOHN		FORTIVE CORPORATION COM	B	6,090.00	70.00	0.00	70.00 82.5 57.75
09/06/2018	QGW033417	O'BRIEN, PAUL		OVERSTOCK COM INC DEL COM	S	-26,214.60	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW033417	O'BRIEN, PAUL		FORTIVE CORPORATION COM	B	8,578.35	100.00	0.00	100.00 82.5 82.50
09/24/2018	QGW033581	RYKEN, MICHAEL		ALIBABA GROUP HOLDING LTD SPONSORED S		-7,227.00	150.00	0.00	150.00 82.5 123.75
09/24/2018	QGW033581	RYKEN, MICHAEL		TWITTER INC	S	-11,294.00	250.00	0.00	250.00 82.5 206.25
09/04/2018	QGW033847	GOMEZ, SUSANNA		TESLA MOTORS INC	B	3,244.56	30.00	0.00	30.00 82.5 24.75
09/18/2018	QGW033847	GOMEZ, SUSANNA		FORTIVE CORPORATION COM	B	8,561.52	100.00	0.00	100.00 82.5 82.50
09/05/2018	QGW033854	SPATES, JULIUS		ALIBABA GROUP HOLDING LTD SPONSORED B		2,132.00	30.00	0.00	30.00 82.5 24.75
09/20/2018	QGW033854	SPATES, JULIUS		FORTIVE CORPORATION COM	B	6,487.50	70.00	0.00	70.00 82.5 57.75
09/18/2018	QGW033870	BROWN, KENNETH		FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00 82.5 82.50
09/26/2018	QGW033870	BROWN, KENNETH		BLUE BIRD CORPORATION COM	B	2,445.30	35.00	0.00	35.00 82.5 28.88
09/26/2018	QGW034043	GROSCOST, GARY		BLUE BIRD CORPORATION COM	B	2,232.00	25.00	0.00	25.00 82.5 20.63
09/20/2018	QGW034100	SOMOGYI, ANTHONY		FORTIVE CORPORATION COM	B	8,550.62	100.00	0.00	100.00 82.5 82.50
09/18/2018	QGW034118	FOODS, LANDCO		FORTIVE CORPORATION COM	B	8,586.27	100.00	0.00	100.00 82.5 82.50
09/26/2018	QGW034118	FOODS, LANDCO		BLUE BIRD CORPORATION COM	B	2,475.00	30.00	0.00	30.00 82.5 24.75
09/20/2018	QGW034142	JANKLOW, DONALD		FORTIVE CORPORATION COM	B	7,271.77	80.00	0.00	80.00 82.5 66.00
09/20/2018	QGW035305	POORMAN, JEFFREY		GAMCO GLOBAL GOLD NATURAL RESOURCES S		-472.30	5.00	0.00	5.00 82.5 4.13
09/20/2018	QGW035305	POORMAN, JEFFREY		GENERAL ELECTRIC CO	S	-758.85	5.00	0.00	5.00 82.5 4.13
09/21/2018	QGW035305	POORMAN, JEFFREY		FORTIVE CORPORATION COM	B	8,160.75	75.00	0.00	75.00 82.5 61.88
09/18/2018	QGW036501	FERRARO, SARAH		FORTIVE CORPORATION COM	B	6,581.60	45.00	0.00	45.00 82.5 37.13
09/18/2018	QGW036907	RICHARDS, ALAN		FORTIVE CORPORATION COM	B	8,587.26	100.00	0.00	100.00 82.5 82.50
09/26/2018	QGW036907	RICHARDS, ALAN		BLUE BIRD CORPORATION COM	B	2,470.05	35.00	0.00	35.00 82.5 28.88
09/18/2018	QGW037202	ELIHU, ALBERT		FORTIVE CORPORATION COM	B	8,657.53	100.00	0.00	100.00 82.5 82.50
09/20/2018	QGW037319	PETERSON, GARY		FORTIVE CORPORATION COM	B	8,552.61	100.00	0.00	100.00 82.5 82.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/20/2018	QGW038549 MORAN, STEVEN	FORTIVE CORPORATION COM	B	8,549.64	100.00	0.00	100.00	82.5	82.50
09/21/2018	QGW039364 LEWIS, HARRY	FORTIVE CORPORATION COM	B	7,471.50	35.00	0.00	35.00	82.5	28.88
09/21/2018	QGW039364 LEWIS, HARRY	MAZOR ROBOTICS LTD SPONSORED ADS	S	-7,667.88	50.00	0.00	50.00	82.5	41.25
09/18/2018	QGW039877 CHESTNUT, JONATHAN	FORTIVE CORPORATION COM	B	8,613.00	100.00	0.00	100.00	82.5	82.50
09/26/2018	QGW039877 CHESTNUT, JONATHAN	BLUE BIRD CORPORATION COM	B	2,450.25	35.00	0.00	35.00	82.5	28.88
* STOCKS SUBTOTAL *				\$393,374.99	\$8,870.00	\$0.00	\$8,870.00		\$7,317.91
<u>PRODUCT CATEGORY: BONDS</u>									
09/18/2018	QGW032450 LYDON, MICHELLE	MORGAN STANLEY MEDIUM TERM SR NTS L S		-3,300.00	50.00	0.00	50.00	82.5	41.25
* BONDS SUBTOTAL *				\$-3,300.00	\$50.00	\$0.00	\$50.00		\$41.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018		FEE COUNT - 11		0.00	10.01	0.00	10.01	82.5	8.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10.01	\$0.00	\$10.01		\$8.26
*** BROKER TRANSACTIONS TOTAL ***				\$390,074.99	\$8,930.01	\$0.00	\$8,930.01		\$7,367.42

ADJUSTMENTS

09/30/2018	FEDEX CHARGE: FEDEX CHARGE -BOND	0.00	0.00	0.00	0.00	-13.02
09/30/2018	COMMISSION INCOME: QGW003287 FTV	0.00	0.00	0.00	0.00	50.00
09/30/2018	COMMISSION INCOME: QGW003386 FTV	0.00	0.00	0.00	0.00	50.00
09/30/2018	COMMISSION INCOME: QGW022485 FTV	0.00	0.00	0.00	0.00	40.00
09/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
09/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-12.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-35.02

BROKER COMMISSION TOTALS

Broker Transactions	\$7,367.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-35.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.16
SIPC Assessment	\$-22.33

Check Amount	\$7,298.91
Year-to-date Earnings	\$71,347.03