

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: 1-ACCOUNTS, FIRM</i>								
10532	CALL 100 VUZIX CORP COM NEW	RF LAFFERTY & CO INC	115 PERGTDE - 01/19/2017	01/18/2017	01/25/2017	0.00	58.00	NO
9251	CANADIAN DOLLAR CURRENCY	RF LAFFERTY & CO INC	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	6.07	NO
9252	EURO CURRENCY	RF LAFFERTY & CO INC	105 PERGTDE - 01/04/2017	01/06/2017	01/25/2017	0.00	2.92	NO
12027	FREEMPORT MCMORAN INC	FERRANTE, ANTHONY	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	35.00	NO
12028	FRONTIER COMMUNICATIONS CORP	FERRANTE, ANTHONY	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	35.00	NO
12029	FUELCELL ENERGY INC COM NEW	FERRANTE, ANTHONY	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	35.00	NO
9282	GAMESTOP CORP NEW CLASS A	ROTHBEIN, SYLVIA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	28.25	NO
12030	KINDER MORGAN INC	FERRANTE, ANTHONY	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	35.00	NO
4457	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.07	NO
4516	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.07	NO
4582	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.07	NO
4671	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.07	NO
4776	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.07	NO
4896	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.07	NO
14607	MASS INVESTORS GROWTH STOCK	MORSE, SUSANNA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.07	NO
9283	MERCER INTERNATIONAL INC	ROTHBEIN, SYLVIA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	28.25	NO
4456	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	52.83	NO
4515	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	52.83	NO
4581	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	52.83	NO
4670	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	52.83	NO
4775	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	52.83	NO
4895	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	52.83	NO
14606	MFS EQUITY OPPORTUNITIES FUND	ROTHBEIN, SYLVIA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	52.83	NO
4777	OPPENHEIMER DEVELOPING	ROTHBEIN, HOWARD	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	25.49	NO
4897	OPPENHEIMER DEVELOPING	ROTHBEIN, HOWARD	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	25.49	NO
14608	OPPENHEIMER DEVELOPING	ROTHBEIN, HOWARD	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	25.49	NO
4778	PUTNAM 74680E101	MORSE, SUSANNA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.72	NO
4898	PUTNAM 74680E101	MORSE, SUSANNA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.72	NO
14609	PUTNAM 74680E101	MORSE, SUSANNA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.72	NO
12134	ROYAL DUTCH SHELL PLC	FOSTER, WILLIAM C.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	28.25	NO
9298	SOUTHWESTERN ENERGY CO	FERRANTE, ANTHONY	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	35.00	NO
* 1-ACCOUNTS, FIRM TOTAL *						0.00	789.67	

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: BELLINFANTE, RICHARD								
4626	AIM UTILITIES FUND - CLASS A	LIEBERT, JAMES W.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.34	NO
4722	AIM UTILITIES FUND - CLASS A	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.34	NO
4841	AIM UTILITIES FUND - CLASS A	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.34	NO
14551	AIM UTILITIES FUND - CLASS A	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.34	NO
4491	ALLIANZGI GLOBAL WATER FUND	LIEBERT, JAMES W.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	9.66	NO
4556	ALLIANZGI GLOBAL WATER FUND	LIEBERT, JAMES W.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	9.66	NO
4627	ALLIANZGI GLOBAL WATER FUND	LIEBERT, JAMES W.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	9.66	NO
4723	ALLIANZGI GLOBAL WATER FUND	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	9.66	NO
4842	ALLIANZGI GLOBAL WATER FUND	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	9.66	NO
14552	ALLIANZGI GLOBAL WATER FUND	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	9.66	NO
4724	AMERICAN CENTURY GLOBAL GOLD	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.12	NO
4843	AMERICAN CENTURY GLOBAL GOLD	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.12	NO
14553	AMERICAN CENTURY GLOBAL GOLD	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.12	NO
9558	BLACKROCK REAL ESTATE	LIEBERT, JAMES W.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	1,875.12	NO
9559	COLUMBIA CONVERTIBLE	LIEBERT, JAMES W.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	1,499.97	NO
4492	DELAWARE HEALTHCARE FUNDS	LIEBERT, JAMES W.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	9.13	NO
4557	DELAWARE HEALTHCARE FUNDS	LIEBERT, JAMES W.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	9.13	NO
4628	DELAWARE HEALTHCARE FUNDS	LIEBERT, JAMES W.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	9.13	NO
4725	DELAWARE HEALTHCARE FUNDS	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	9.13	NO
4844	DELAWARE HEALTHCARE FUNDS	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	9.13	NO
14554	DELAWARE HEALTHCARE FUNDS	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	9.13	NO
10399	KKR & CO L P DEL COM UNITS	LIEBERT, JAMES W.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	90.00	NO
4438	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.15	NO
4493	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.15	NO
4558	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.15	NO
4629	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.15	NO
4726	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.15	NO
4845	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.15	NO
14555	MFS UTILITIES FUND-A	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.15	NO
9472	NUCOR CORP	LIEBERT, JAMES W.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	75.00	NO
4727	PUTNAM GLOBAL CUSTOMER FUND	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.00	NO
4846	PUTNAM GLOBAL CUSTOMER FUND	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BELLINFANTE, RICHARD (continued)</i>								
14556	PUTNAM GLOBAL CUSTOMER FUND	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.00	NO
4392	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	5.60	NO
4411	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	5.60	NO
4439	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.60	NO
4494	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.60	NO
4559	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.60	NO
4630	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.60	NO
4728	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.60	NO
4847	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.60	NO
14557	RYDEX TELECOMMUNICATIONS FUND	LIEBERT, JAMES W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.60	NO
* BELLINFANTE, RICHARD TOTAL *						0.00	3,739.00	
<i>BROKER: BELZ, ERIC</i>								
9223	ALCOA CORPORATION COMMON	SCIARRINO, RUTHELLEN	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	40.00	NO
10066	ALCOA CORPORATION COMMON	KMIECIAK, ZBIGNIEW	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	115.00	NO
10590	ALCOA CORPORATION COMMON	DUSATKO, ANNE M.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	26.00	NO
10596	ALCOA CORPORATION COMMON	BALLO, CATHERINE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	29.00	NO
10716	ALCOA CORPORATION COMMON	LYDEN, MARY E.	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	286.65	NO
10718	ALCOA CORPORATION COMMON	MONGELUZZI, LINDA	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	28.00	NO
10720	ALCOA CORPORATION COMMON	KOLLAR, ROBERT	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	98.00	NO
10939	ALCOA CORPORATION COMMON	LYDEN, MARY E.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	280.00	NO
10940	ALCOA CORPORATION COMMON	KMIECIAK, ZBIGNIEW	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	150.00	NO
10941	ALCOA CORPORATION COMMON	DUSATKO, ANNE M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	195.00	NO
10944	ALCOA CORPORATION COMMON	MONGELUZZI, LINDA	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	195.00	NO
10947	ALCOA CORPORATION COMMON	EICHENHOLZ, SONDR	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	100.00	NO
10953	ALCOA CORPORATION COMMON	PANASUK, JOHN	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	195.00	NO
10958	ALCOA CORPORATION COMMON	BALLO, CATHERINE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	190.00	NO
12079	ALCOA CORPORATION COMMON	LYDEN, MARY E.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	335.00	NO
9473	AMAZON.COM INC	SMITH, SUSAN	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	75.00	NO
9717	AMAZON.COM INC	FAMILY 2011 IRREVOCABLE, FISCHLER	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	75.00	NO
10954	APPLE INC	PANASUK, JOHN	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	295.00	NO
9224	ARCONIC INC COM	SCIARRINO, RUTHELLEN	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	69.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BELZ, ERIC (continued)</i>								
12297	ARCONIC INC COM	ZIMMERMAN, FRANCINE	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	145.00	NO
9230	BANK OF AMERICA CORP	FAMILY 2011 IRREVOCABLE, FISCHLER	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	55.00	NO
9477	CALL 100 ALCOA UPSTREAM CORP	KMIECIAK, ZBIGNIEW	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	27.00	NO
9479	CALL 100 ALCOA UPSTREAM CORP	MONGELUZZI, LINDA	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	38.00	NO
9481	CALL 100 ALCOA UPSTREAM CORP	EICHENHOLZ, SONDR	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	27.00	NO
9487	CALL 100 ALCOA UPSTREAM CORP	PANASUK, JOHN	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	46.00	NO
9235	CALL 100 APPLE INC COM EXP	PANASUK, JOHN	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
10412	CALL 100 ARCONIC INC COM EXP	DUSATKO, ANNE M.	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	55.00	NO
10592	CALL 100 ARCONIC INC COM EXP	EICHENHOLZ, SONDR	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	48.00	NO
10593	CALL 100 ARCONIC INC COM EXP	SZARKA, MICHAEL	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	58.00	NO
10595	CALL 100 ARCONIC INC COM EXP	PANASUK, JOHN	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	61.00	NO
10598	CALL 100 ARCONIC INC COM EXP	BALLO, CATHERINE	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	61.00	NO
10717	CALL 100 ARCONIC INC COM EXP	KMIECIAK, ZBIGNIEW	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	68.00	NO
10719	CALL 100 ARCONIC INC COM EXP	MONGELUZZI, LINDA	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	71.00	NO
10721	CALL 100 ARCONIC INC COM EXP	KOLLAR, ROBERT	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	29.00	NO
11081	CALL 100 ARCONIC INC COM EXP	LYDEN, MARY E.	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	34.00	NO
11083	CALL 100 ARCONIC INC COM EXP	EICHENHOLZ, SONDR	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	70.00	NO
10957	CALL 100 ATLAS AIR WORLDWIDE	WALLNER, ERIC	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	43.00	NO
11089	CALL 100 ATLAS AIR WORLDWIDE	BALLO, CATHERINE	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	99.00	NO
10589	CALL 100 GENERAL ELECTRIC CO	AMENITA, FREDERICK	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	41.00	NO
10231	CALL 100 KRATOS DEFENSE & SEC	ROBERTS, KATHLEEN	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	99.00	NO
10428	CALL 100 KRATOS DEFENSE & SEC	HENNEMANN, PATRICIA	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	39.20	NO
10430	CALL 100 KRATOS DEFENSE & SEC	FAST, GLYNNE	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	42.00	NO
9461	CALL 100 VUZIX CORP COM NEW	JACKSON, FRANK	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	82.00	NO
9469	CALL 100 VUZIX CORP COM NEW	CHAIMOVITCH, BERNARD	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	88.00	NO
9476	CALL 100 VUZIX CORP COM NEW	AMENITA, PATRICIA	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	88.00	NO
9486	CALL 100 VUZIX CORP COM NEW	SHEEHAN, DAVID	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	92.00	NO
10410	CALL 100 VUZIX CORP COM NEW	LYDEN, MARY E.	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	72.00	NO
10417	CALL 100 VUZIX CORP COM NEW	NOLTE, DONNA	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	58.00	NO
10420	CALL 100 VUZIX CORP COM NEW	PANASUK, JOHN	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	71.00	NO
10433	CALL 100 VUZIX CORP COM NEW	WOLF, KIM	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	58.00	NO
10594	CALL 100 VUZIX CORP COM NEW	NOLTE, DONNA	115 PERGTDE - 01/19/2017	01/18/2017	01/25/2017	0.00	-58.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BELZ, ERIC (continued)</i>								
9474	CALL 100 VUZIX CORP COM NEW	WULFF, HANS	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	99.00	NO
9475	CALL 100 VUZIX CORP COM NEW	SKAHILL, ROBERT	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	99.00	NO
9478	CALL 100 VUZIX CORP COM NEW	DUSATKO, ANNE M.	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	98.00	NO
9483	CALL 100 VUZIX CORP COM NEW	SZARKA, MICHAEL	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	99.00	NO
10513	CALL 100 YAHOO INC COM EXP	AMENITA, PATRICIA	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	80.00	NO
4220	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	2.48	NO
4391	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	2.48	NO
4410	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	2.48	NO
4437	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.48	NO
4490	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.48	NO
4555	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.48	NO
4625	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.48	NO
4719	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.48	NO
4838	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.48	NO
14548	DREYFUS RESH GROWTH FD INC	JACKSON, FRANK	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.48	NO
10427	FORD MOTOR CO PAR \$0.01	HENNEMANN, DENNIS	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	55.00	NO
4720	GLOBAL OPPORTUNITIES A	SIMPSON, DAVID	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.80	NO
4839	GLOBAL OPPORTUNITIES A	SIMPSON, DAVID	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.80	NO
14549	GLOBAL OPPORTUNITIES A	SIMPSON, DAVID	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.80	NO
10938	KRATOS DEFENSE & SEC	MODUGNO, ROCCO	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	225.00	NO
9225	MANHATTAN BRDG CAP INC COM	SCIARRINO, RUTHELLEN	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	69.00	NO
9231	MANHATTAN BRDG CAP INC COM	FAMILY 2011 IRREVOCABLE, FISCHLER	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	45.00	NO
10403	MANHATTAN BRDG CAP INC COM	BROCHARD, MARIA	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	110.00	NO
10926	OPKO HEALTH INC	JACKSON, FRANK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	180.00	NO
10933	OPKO HEALTH INC	AMENITA, PATRICIA	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	175.00	NO
10935	OPKO HEALTH INC	WULFF, HANS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	315.00	NO
10942	OPKO HEALTH INC	DUSATKO, ANNE M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	395.00	NO
10945	OPKO HEALTH INC	MONGELUZZI, LINDA	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	295.00	NO
10946	OPKO HEALTH INC	KOLLAR, MAURA	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	255.00	NO
10948	OPKO HEALTH INC	EICHENHOLZ, SONDRRA	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	295.00	NO
10949	OPKO HEALTH INC	SZARKA, MICHAEL	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	295.00	NO
10950	OPKO HEALTH INC	FAMILY 2011 IRREVOCABLE,	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	180.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BELZ, ERIC (continued)</i>								
		FISCHLER						
10956	OPKO HEALTH INC	HERDTER, RICHARD	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	240.00	NO
10959	OPKO HEALTH INC	HENNEMANN, PATRICIA	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	175.00	NO
9226	PAYPAL HOLDINGS INC COM	SCIARRINO, RUTHELLEN	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	98.00	NO
10591	PUT 100 BANK AMER CORP COM	DUSATKO, ANNE M.	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	42.00	NO
10597	PUT 100 BANK AMER CORP COM	BALLO, CATHERINE	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	78.00	NO
10063	PUT 100 BANK AMER CORP COM	BROCHARD, THOMAS	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10065	PUT 100 BANK AMER CORP COM	LYDEN, MARY E.	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10067	PUT 100 BANK AMER CORP COM	MONGELUZZI, LINDA	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10068	PUT 100 BANK AMER CORP COM	EICHENHOLZ, SONDR	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10069	PUT 100 BANK AMER CORP COM	MASSA, PATRICIA	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10229	PUT 100 BANK AMER CORP COM	BROCHARD, THOMAS	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	-99.00	NO
10230	PUT 100 BANK AMER CORP COM	BROCHARD, THOMAS	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10232	PUT 100 BANK AMER CORP COM	MASSA, PATRICIA	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	-99.00	NO
10233	PUT 100 BANK AMER CORP COM	MASSA, PATRICIA	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10311	PUT 100 BANK AMER CORP COM	EICHENHOLZ, SONDR	112 PERGTDE - 01/13/2017	01/12/2017	01/25/2017	0.00	-99.00	NO
10312	PUT 100 BANK AMER CORP COM	EICHENHOLZ, SONDR	112 PERGTDE - 01/13/2017	01/12/2017	01/25/2017	0.00	99.00	NO
10393	PUT 100 BANK AMER CORP COM	JACKSON, FRANK	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10400	PUT 100 BANK AMER CORP COM	WULFF, HANS	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10411	PUT 100 BANK AMER CORP COM	DUSATKO, ANNE M.	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10416	PUT 100 BANK AMER CORP COM	SHEEHAN, DAVID	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	52.00	NO
10418	PUT 100 BANK AMER CORP COM	PANASUK, JOHN	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10421	PUT 100 BANK AMER CORP COM	HERDTER, RICHARD	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10423	PUT 100 BANK AMER CORP COM	BALLO, CATHERINE	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10432	PUT 100 BANK AMER CORP COM	WOLF, KIM	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	98.00	NO
10434	PUT 100 BANK AMER CORP COM	FRANCK, RACHEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10934	PUT 100 BANK AMER CORP COM	CHAIMOVITCH, BERNARD	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	99.00	NO
10943	PUT 100 BANK AMER CORP COM	DUSATKO, ANNE M.	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	99.00	NO
10951	PUT 100 BANK AMER CORP COM	ZIMMERMAN, FRANCINE	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	99.00	NO
10952	PUT 100 BANK AMER CORP COM	SHEEHAN, DAVID	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	99.00	NO
10960	PUT 100 BANK AMER CORP COM	ARDOLINO, SUSAN	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	99.00	NO
11085	PUT 100 BANK AMER CORP COM	SZARKA, MICHAEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	99.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: BELZ, ERIC (continued)								
11086	PUT 100 BANK AMER CORP COM	SHEEHAN, DAVID	118 PERGTDE - 01/24/2017	01/24/2017	01/25/2017	0.00	-99.00	NO
11087	PUT 100 BANK AMER CORP COM	SHEEHAN, MARY	118 PERGTDE - 01/24/2017	01/24/2017	01/25/2017	0.00	99.00	NO
10407	PUT 100 BANK AMER CORP COM	O'BRIEN, THOMAS	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	42.00	NO
9222	PUT 100 COHERUS BIOSCIENCES	WULFF, HANS	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	99.00	NO
9220	PUT 100 OPKO HEALTH INC COM	JACKSON, FRANK	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	51.00	NO
9221	PUT 100 OPKO HEALTH INC COM	WULFF, HANS	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	82.00	NO
9227	PUT 100 OPKO HEALTH INC COM	DUSATKO, ANNE M.	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	99.00	NO
9228	PUT 100 OPKO HEALTH INC COM	MONGELUZZI, LINDA	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	82.00	NO
9229	PUT 100 OPKO HEALTH INC COM	KOLLAR, MAURA	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	78.00	NO
9233	PUT 100 OPKO HEALTH INC COM	FAMILY 2011 IRREVOCABLE, FISCHLER	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	49.00	NO
9236	PUT 100 OPKO HEALTH INC COM	HERDTER, RICHARD	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	78.00	NO
9480	PUT 100 OPKO HEALTH INC COM	EICHENHOLZ, SONDRRA	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	79.00	NO
9482	PUT 100 OPKO HEALTH INC COM	SZARKA, MICHAEL	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	99.00	NO
12293	PUT 100 OPKO HEALTH INC COM	AMENITA, PATRICIA	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	61.00	NO
12296	PUT 100 OPKO HEALTH INC COM	HERDTER, CYNTHIA	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	71.00	NO
12298	PUT 100 OPKO HEALTH INC COM	BALLO, CATHERINE	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	65.00	NO
12299	PUT 100 OPKO HEALTH INC COM	HENNEMANN, PATRICIA	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	72.00	NO
10064	PUT 100 OPKO HEALTH INC COM	ROBERTS, KATHLEEN	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	89.00	NO
10070	PUT 100 OPKO HEALTH INC COM	SHEEHAN, MARY	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	65.00	NO
10313	PUT 100 OPKO HEALTH INC COM	SHEEHAN, MARY	112 PERGTDE - 01/13/2017	01/12/2017	01/25/2017	0.00	-65.00	NO
10314	PUT 100 OPKO HEALTH INC COM	SHEEHAN, MARY	112 PERGTDE - 01/13/2017	01/12/2017	01/25/2017	0.00	65.00	NO
10396	PUT 100 OPKO HEALTH INC COM	CHAIMOVITCH, BERNARD	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	97.00	NO
10401	PUT 100 OPKO HEALTH INC COM	WULFF, HANS	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10402	PUT 100 OPKO HEALTH INC COM	SKAHILL, ROBERT	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	61.00	NO
10405	PUT 100 OPKO HEALTH INC COM	BROCHARD, MARIA	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10408	PUT 100 OPKO HEALTH INC COM	O'BRIEN, THOMAS	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	29.00	NO
10409	PUT 100 OPKO HEALTH INC COM	LYDEN, MARY E.	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10413	PUT 100 OPKO HEALTH INC COM	KOLLAR, ROBERT	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10414	PUT 100 OPKO HEALTH INC COM	HERDTER, CYNTHIA	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10415	PUT 100 OPKO HEALTH INC COM	MASSA, PATRICIA	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10419	PUT 100 OPKO HEALTH INC COM	PANASUK, JOHN	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10422	PUT 100 OPKO HEALTH INC COM	HERDTER, RICHARD	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BELZ, ERIC (continued)</i>								
10424	PUT 100 OPKO HEALTH INC COM	BALLO, CATHERINE	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	98.00	NO
10429	PUT 100 OPKO HEALTH INC COM	FRANCO, PETER	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10404	PUT 100 OPKO HEALTH INC COM	BROCHARD, MARIA	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10425	PUT 100 OPKO HEALTH INC COM	FITZPATRICK, BARRY	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10514	PUT 100 OPKO HEALTH INC COM	FRANCK, RACHEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	98.00	NO
9234	PUT 100 VUZIX CORP COM NEW	FAMILY 2011 IRREVOCABLE, FISCHLER	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	99.00	NO
9716	PUT 100 VUZIX CORP COM NEW	DUSATKO, ANNE M.	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	99.00	NO
9916	PUT 100 VUZIX CORP COM NEW	HERDTER, CYNTHIA	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	99.00	NO
10426	PUT 100 VUZIX CORP COM NEW	FITZPATRICK, BARRY	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	99.00	NO
10431	PUT 100 VUZIX CORP COM NEW	WOLF, KIM	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	98.00	NO
10955	PUT 100 YAHOO INC COM EXP	PANASUK, JOHN	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	99.00	NO
4721	ROCHESTER FUND MUNICIPALS A	MARCOLINI, MARANN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.96	NO
4840	ROCHESTER FUND MUNICIPALS A	MARCOLINI, MARANN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.96	NO
14550	ROCHESTER FUND MUNICIPALS A	MARCOLINI, MARANN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.96	NO
9484	STARWOOD PROPERTY TRUST INC	FAMILY 2011 IRREVOCABLE, FISCHLER	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	70.00	NO
9718	SUNOCO LP COMMON UNITS	FAMILY 2011 IRREVOCABLE, FISCHLER	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	65.00	NO
9232	VERIZON COMMUNICATIONS	FAMILY 2011 IRREVOCABLE, FISCHLER	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	45.00	NO
9485	VUZIX CORP COM NEW	ZIMMERMAN, FRANCINE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	290.00	NO
12073	VUZIX CORP COM NEW	JACKSON, FRANK	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	185.00	NO
12077	VUZIX CORP COM NEW	SKAHILL, ROBERT	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	185.00	NO
12078	VUZIX CORP COM NEW	AMENITA, PATRICIA	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	185.00	NO
12080	VUZIX CORP COM NEW	LYDEN, MARY E.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	270.00	NO
12082	VUZIX CORP COM NEW	SZARKA, MICHAEL	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	185.00	NO
12084	VUZIX CORP COM NEW	PANASUK, JOHN	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	145.00	NO
12086	VUZIX CORP COM NEW	WOLF, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	185.00	NO
12184	VUZIX CORP COM NEW	ZIMMERMAN, FRANCINE	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	185.00	NO
12186	VUZIX CORP COM NEW	SHEEHAN, DAVID	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	185.00	NO
12294	VUZIX CORP COM NEW	CHAIMOVITCH, BERNARD	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	185.00	NO
12295	VUZIX CORP COM NEW	WULFF, HANS	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	195.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BOYCE, JOHN (continued)</i>								
				* BELZ, ERIC TOTAL *		0.00	16,457.93	
<i>BROKER: BOYCE, JOHN</i>								
9617	ABBOTT LABORATORIES	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	18.60	NO
10247	AETNA INC NEW	ASSOCIATES, F/A/O B.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	25.72	NO
9618	AGILENT TECHNOLOGIES INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	14.40	NO
9242	AMETEK INC NEW	ASSOCIATES, F/A/O B.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	351.00	NO
9243	AMETEK INC NEW	PERSHING LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	94.20	NO
9244	AMETEK INC NEW	BANK OF AMERICA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	173.60	NO
9620	AMETEK INC NEW	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	205.80	NO
10248	AVANGRID INC COM	ASSOCIATES, F/A/O B.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	208.96	NO
10252	AVANGRID INC COM	PERSHING LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	795.44	NO
10253	AVANGRID INC COM	BANK OF AMERICA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	107.20	NO
10518	BOEING CO	ASSOCIATES, F/A/O B.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	6.12	NO
10519	BOEING CO	PERSHING LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	20.40	NO
10520	BOEING CO	PERSHING LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	203.12	NO
10780	BOEING CO	ASSOCIATES, F/A/O B.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	110.00	NO
10784	BOEING CO	PERSHING LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	29.40	NO
10788	BOEING CO	BANK OF AMERICA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	54.20	NO
9621	C H ROBINSON WORLDWIDE INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	132.60	NO
10781	CALLON PETROLEUM CO-DEL	ASSOCIATES, F/A/O B.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	66.00	NO
10785	CALLON PETROLEUM CO-DEL	PERSHING LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	92.00	NO
10789	CALLON PETROLEUM CO-DEL	BANK OF AMERICA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	41.80	NO
9752	CHEVRON CORPORATION	ASSOCIATES, F/A/O B.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	0.80	NO
9757	CHEVRON CORPORATION	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	36.20	NO
9622	CINEMARK HLDGS INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	17.20	NO
9623	CISCO SYS INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	28.40	NO
9758	CISCO SYS INC	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	22.00	NO
9624	CITIGROUP INC COM	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	43.80	NO
9625	CONCHO RESOURCES INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	14.40	NO
9626	CURTISS WRIGHT CORP	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	45.00	NO
10249	CURTISS WRIGHT CORP	ASSOCIATES, F/A/O B.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	37.76	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: BOYCE, JOHN (continued)								
9499	DONNELLEY FINANCIAL SOLUTIONS	BANK OF AMERICA	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	10.00	16.80	NO
10342	DONNELLEY FINANCIAL SOLUTIONS ASSOCIATES, F/A/O B.		113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	10.00	59.44	NO
10343	DONNELLEY FINANCIAL SOLUTIONS PERSHING LLC		113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	10.00	228.04	NO
10345	DONNELLEY FINANCIAL SOLUTIONS BANK OF AMERICA		113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	10.00	5.60	NO
9498	DONNELLEY R R & SONS CO COM	BANK OF AMERICA	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	10.00	33.60	NO
10250	DU PONT E I DE NEMOURS & CO	ASSOCIATES, F/A/O B.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	46.88	NO
12095	ENTEGRIS INC	PERSHING LLC	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	14.80	NO
12097	ENTEGRIS INC	BANK OF AMERICA	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	11.60	NO
9628	FIDELITY NATIONAL INFORMATION ASSOCIATES, F/A/O B.		108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	37.20	NO
9627	FMC CORP NEW	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	26.60	NO
9629	HALLIBURTON COMPANY	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	22.00	NO
9753	HALLIBURTON COMPANY	ASSOCIATES, F/A/O B.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	3.40	NO
9759	HALLIBURTON COMPANY	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	109.00	NO
9630	HARRIS CORP DEL	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	96.00	NO
9754	HUNTINGTON BANCSHARES INC	ASSOCIATES, F/A/O B.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	6.80	NO
9760	HUNTINGTON BANCSHARES INC	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	229.50	NO
12198	HUNTINGTON BANCSHARES INC	ASSOCIATES, F/A/O B.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	10.00	85.80	NO
12199	HUNTINGTON BANCSHARES INC	PERSHING LLC	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	10.00	34.20	NO
9755	IBERIABANK CORP COM	ASSOCIATES, F/A/O B.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	2.00	NO
9761	IBERIABANK CORP COM	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	72.80	NO
9631	INGREDION INC COM	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	16.80	NO
9762	INTEL CORP	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	16.00	NO
12014	ISHARES MSCI EAFE ETF	PERSHING LLC	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	10.00	20.00	NO
9500	LSC COMMUNICATIONS INC COM	BANK OF AMERICA	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	10.00	16.80	NO
12094	MACOM TECHNOLOGY SOLUTIONS	ASSOCIATES, F/A/O B.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	1.20	NO
12096	MACOM TECHNOLOGY SOLUTIONS	PERSHING LLC	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	5.60	NO
12098	MACOM TECHNOLOGY SOLUTIONS	BANK OF AMERICA	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	4.00	NO
9140	MENTOR GRAPHICS CORP	ASSOCIATES, F/A/O B.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	482.80	NO
9143	MENTOR GRAPHICS CORP	PERSHING LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	792.40	NO
9144	MENTOR GRAPHICS CORP	PERSHING LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	44.80	NO
9147	MENTOR GRAPHICS CORP	BANK OF AMERICA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	307.20	NO
9148	MENTOR GRAPHICS CORP	BANK OF AMERICA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	39.20	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BOYCE, JOHN (continued)</i>								
9632	MONDELEZ INTERNATIONAL INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	16.60	NO
9633	NEWELL BRANDS INC SHS	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	12.20	NO
9634	OSHKOSH CORPORATION	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	148.60	NO
9635	PFIZER INCORPORATED	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	24.60	NO
9141	PHYSICIANS RLTY TR COM	ASSOCIATES, F/A/O B.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	159.20	NO
9145	PHYSICIANS RLTY TR COM	PERSHING LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	229.60	NO
9149	PHYSICIANS RLTY TR COM	BANK OF AMERICA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	102.00	NO
9636	ROCKWELL COLLINS INC DEL COM	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	83.60	NO
10157	ROCKWELL COLLINS INC DEL COM	ASSOCIATES, F/A/O B.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	168.00	NO
10158	ROCKWELL COLLINS INC DEL COM	ASSOCIATES, F/A/O B.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	73.20	NO
10159	ROCKWELL COLLINS INC DEL COM	PERSHING LLC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	45.44	NO
10161	ROCKWELL COLLINS INC DEL COM	BANK OF AMERICA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	82.96	NO
9637	ROSS STORES INC	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	58.20	NO
9756	ROYAL DUTCH SHELL PLC	ASSOCIATES, F/A/O B.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	3.40	NO
9763	ROYAL DUTCH SHELL PLC	PERSHING LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	99.80	NO
10251	SEALED AIR CORP NEW	ASSOCIATES, F/A/O B.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	181.16	NO
10782	SEALED AIR CORP NEW	ASSOCIATES, F/A/O B.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	360.00	NO
10786	SEALED AIR CORP NEW	PERSHING LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	96.80	NO
10790	SEALED AIR CORP NEW	BANK OF AMERICA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	178.00	NO
9619	TEST AIM	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	20.20	NO
9638	TEXAS INSTRUMENTS	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	15.80	NO
10451	TRANSUNION COM	ASSOCIATES, F/A/O B.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	275.08	NO
10783	WATTS WATER TECHNOLOGIES INC	ASSOCIATES, F/A/O B.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	82.00	NO
10787	WATTS WATER TECHNOLOGIES INC	PERSHING LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	22.20	NO
10791	WATTS WATER TECHNOLOGIES INC	BANK OF AMERICA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	40.00	NO
9142	WOLVERINE WORLD WIDE INC	ASSOCIATES, F/A/O B.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	128.00	NO
9146	WOLVERINE WORLD WIDE INC	PERSHING LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	188.80	NO
9150	WOLVERINE WORLD WIDE INC	BANK OF AMERICA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	10.00	81.60	NO
9639	XYLEM INC COM	ASSOCIATES, F/A/O B.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	13.20	NO
* BOYCE, JOHN TOTAL *						900.00	8,875.22	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: BULL, ROBERT</i>								
4459	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	70.35	NO
4518	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	70.35	NO
4584	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	70.35	NO
4674	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	70.35	NO
4781	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	70.35	NO
4901	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	70.35	NO
14612	JP MORGAN U.S. EQUITY FUND	STRAZZA, RICHARD W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	70.35	NO
4782	OPPENHEIMERFUNDS 68380L308	STRAZZA, RICHARD W.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	15.32	NO
4902	OPPENHEIMERFUNDS 68380L308	STRAZZA, RICHARD W.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	15.32	NO
14613	OPPENHEIMERFUNDS 68380L308	STRAZZA, RICHARD W.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	15.32	NO
<i>* BULL, ROBERT TOTAL *</i>						<b>0.00</b>	<b>538.41</b>	
<i>BROKER: BYRNE, EDWARD</i>								
9705	NEONODE INC COM NEW	CARPENTER, BOBBY E.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	17.00	168.00	NO
10223	NEONODE INC COM NEW	CARPENTER, BOBBY E.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	17.00	282.00	NO
<i>* BYRNE, EDWARD TOTAL *</i>						<b>34.00</b>	<b>450.00</b>	
<i>BROKER: CASALE, MARCO</i>								
9903	ALIBABA GROUP HOLDING LTD	HANNS, RUTH	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	100.00	NO
9904	ALIBABA GROUP HOLDING LTD	PRAGER, SERENA N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	208.00	NO
9347	COHERUS BIOSCIENCES INC COM	ARLENE M FRANCIS, SEP	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	200.00	NO
9466	COHERUS BIOSCIENCES INC COM	PILLERA, CHARLES A.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	100.00	NO
12193	COHERUS BIOSCIENCES INC COM	TREIBER, NANCY J.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	225.00	NO
10394	FIREEYE INC COM	PILLERA, CHARLES A.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	140.00	NO
10395	FIREEYE INC COM	HANNS, RUTH	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	207.00	NO
10398	FIREEYE INC COM	PELLEGRINO, DONNA M.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	310.00	NO
10439	FIREEYE INC COM	TREIBER, NANCY J.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	310.00	NO
12192	GAMCO GLOBAL GOLD NATURAL	TREIBER, NANCY J.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	378.00	NO
12194	GAMCO GLOBAL GOLD NATURAL	TREIBER, NANCY J.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	378.00	NO
4623	GOLDMAN SACHS HIGH YIELD BOND	TREIBER, NANCY J.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.55	NO
4717	GOLDMAN SACHS HIGH YIELD BOND	TREIBER, NANCY J.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.55	NO
4836	GOLDMAN SACHS HIGH YIELD BOND	TREIBER, NANCY J.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.55	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: CASALE, MARCO (continued)</i>								
14546	GOLDMAN SACHS HIGH YIELD BOND	TREIBER, NANCY J.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.55	NO
4624	GOLDMAN SACHS SHORT DURATION	TREIBER, NANCY J.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.05	NO
4718	GOLDMAN SACHS SHORT DURATION	TREIBER, NANCY J.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.05	NO
4837	GOLDMAN SACHS SHORT DURATION	TREIBER, NANCY J.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.05	NO
14547	GOLDMAN SACHS SHORT DURATION	TREIBER, NANCY J.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.05	NO
10440	JOHN HANCOCK GLOBAL	TREIBER, NANCY J.	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	2.64	NO
9898	MAZOR ROBOTICS LTD SPONSORED	CUSTODIAN, EMILY S.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	80.00	NO
10712	MONSTER BEVERAGE CORPORATION	ARLENE M FRANCIS, SEP	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	302.00	NO
10722	MONSTER BEVERAGE CORPORATION	TREIBER, NANCY J.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	301.00	NO
9709	NUANCE COMMUNICATIONS INC	RAMU, JULIO	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	321.00	NO
9714	NUANCE COMMUNICATIONS INC	PELLEGRINO, DONNA M.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	174.00	NO
9719	NUANCE COMMUNICATIONS INC	TREIBER, NANCY J.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	276.00	NO
9899	NUANCE COMMUNICATIONS INC	CUSTODIAN, EMILY S.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	92.00	NO
9901	NUANCE COMMUNICATIONS INC	ARLENE M FRANCIS, SEP	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	347.00	NO
9914	NUANCE COMMUNICATIONS INC	HANNS, RUTH	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	430.00	NO
9917	NUANCE COMMUNICATIONS INC	TREIBER, NANCY J.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	155.00	NO
9468	SALESFORCE.COM INC	RAMU, JULIO	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	100.00	NO
9470	SALESFORCE.COM INC	PELLEGRINO, DONNA M.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	100.00	NO
9902	SALESFORCE.COM INC	ARLENE M FRANCIS, SEP	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	150.00	NO
10438	SEI MULTI-ASSET INCOME FUND	COPPA, CARMINE G.	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	32.68	NO
9488	STRATASYS LTD	ARLENE M FRANCIS, SEP	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	301.00	NO
9713	STRATASYS LTD	PELLEGRINO, DONNA M.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	303.00	NO
10397	STRATASYS LTD	PELLEGRINO, DONNA M.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	250.00	NO
10437	STRATASYS LTD	ARLENE M FRANCIS, SEP	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	250.00	NO
9489	TERRA NITROGEN CO LP COMMON	TREIBER, NANCY J.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	100.00	NO
9490	TERRA NITROGEN CO LP COMMON	TREIBER, NANCY J.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	100.00	NO
12195	TERRA NITROGEN CO LP COMMON	TREIBER, NANCY J.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	161.00	NO
9467	WHITING PETROLEUM CORPORATION	PILLERA, CHARLES A.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	346.00	NO
10226	WHITING PETROLEUM CORPORATION	PRAGER, SERENA N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	299.00	NO
12196	WHITING PETROLEUM CORPORATION	TREIBER, NANCY J.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	240.00	NO
9915	WYNN RESORTS LTD	HANNS, RUTH	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	100.00	NO
<b>* CASALE, MARCO TOTAL *</b>						<b>0.00</b>	<b>7,879.72</b>	

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
BROKER: CRAWFORD, GUY								
10539	AARONS INC COM PAR \$0 50	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	10.00	NO
10540	AARONS INC COM PAR \$0 50	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	10.00	NO
9803	AGILENT TECHNOLOGIES INC	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
9804	AGILENT TECHNOLOGIES INC	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
4631	AIM SMALL CAP GROWTH FUND -	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.12	NO
4729	AIM SMALL CAP GROWTH FUND -	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.12	NO
4848	AIM SMALL CAP GROWTH FUND -	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.12	NO
14558	AIM SMALL CAP GROWTH FUND -	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.12	NO
10961	ALCOA CORPORATION COMMON	BELZ, KATHLEEN	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10962	ALCOA CORPORATION COMMON	BELZ, RICHARD	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
11090	ALCOA CORPORATION COMMON	BELZ, KATHLEEN	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	-20.00	NO
11091	ALCOA CORPORATION COMMON	BELZ, KATHLEEN	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO
11092	ALCOA CORPORATION COMMON	BELZ, RICHARD	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	-20.00	NO
11093	ALCOA CORPORATION COMMON	BELZ, RICHARD	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO
4632	ALLIANCEBERNSTEIN GROWTH AND	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.69	NO
4730	ALLIANCEBERNSTEIN GROWTH AND	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.69	NO
4849	ALLIANCEBERNSTEIN GROWTH AND	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.69	NO
14559	ALLIANCEBERNSTEIN GROWTH AND	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.69	NO
10436	ALPHABET INC CLASS C CAPITAL	BELZ, RICHARD	113 PERGTDE - 01/17/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10435	AMAZON.COM INC	BELZ, KATHLEEN	113 PERGTDE - 01/17/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10175	APPLIED OPTOELECTRONICS INC	HACKEL, DEBBIE	111 PERGTDE - 01/12/2017	01/17/2017	01/25/2017	0.00	10.00	NO
10901	AQUABOUNTY TECHNOLOGIES INC	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
9792	ARIAD PHARMACEUTICALS INC-DEL	BRIMBERG BIOTECH FUND LP	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	100.00	NO
9217	AT&T INC	RUDOLPH, HOWARD	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
10898	AT&T INC	GRASS, ELAINE M.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10899	AT&T INC	GRASS, ELAINE M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10366	BANK OF AMERICA CORP	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10637	BARRICK GOLD CORP	HACKEL, DEBBIE	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	10.00	NO
12202	BARRICK GOLD CORP	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	10.00	NO
10673	BRISTOL MYERS SQUIBB CO	SHARTSIS, JAY	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10674	BRISTOL MYERS SQUIBB CO	SHARTSIS, JAY	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10693	BRISTOL MYERS SQUIBB CO	FATTORUSO, PHYLLIS	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: CRAWFORD, GUY (continued)								
9253	BROADCOM LIMITED SHS	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	10.00	NO
9811	BROOKDALE SR LIVING INC	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10278	BROOKDALE SR LIVING INC	HACKEL, DEBBIE	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10545	BROOKDALE SR LIVING INC	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
12131	BROOKDALE SR LIVING INC	HACKEL, DEBBIE	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12123	BWX TECHNOLOGIES INC COM	SHARTSIS, JAY	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12231	BWX TECHNOLOGIES INC COM	SHARTSIS, JAY	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
11013	CALL 100 ALIBABA GROUP HLDG	SHARTSIS, JAY	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO
9156	CALL 100 AMERICAN INTL GROUP	HACKEL, DEBBIE	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	10.00	NO
9157	CALL 100 AMERICAN INTL GROUP	HACKEL, DEBBIE	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	10.00	NO
9158	CALL 100 AMERICAN INTL GROUP	HACKEL, DEBBIE	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	10.00	NO
9159	CALL 100 AMERICAN INTL GROUP	HACKEL, DEBBIE	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	10.00	NO
9174	CALL 100 AMERICAN INTL GROUP	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
9176	CALL 100 AMERICAN INTL GROUP	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
9254	CALL 100 AMERICAN INTL GROUP	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	10.00	NO
9288	CALL 100 AMERICAN INTL GROUP	SHARTSIS, JAY	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	20.00	NO
9390	CALL 100 AMERICAN INTL GROUP	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	10.00	NO
9173	CALL 100 APPLE INC COM EXP	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
9177	CALL 100 APPLE INC COM EXP	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
10308	CALL 100 APPLE INC COM EXP	RUDOLPH, MARTIN	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	20.00	NO
11063	CALL 100 AT&T INC COM EXP	RUDOLPH, MARTIN	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10640	CALL 100 BARRICK GOLD CORP	HACKEL, DEBBIE	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	10.00	NO
10925	CALL 100 BARRICK GOLD CORP	CAPATOSTO, STEVEN	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	20.00	NO
12290	CALL 100 BARRICK GOLD CORP	CAPATOSTO, STEVEN	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9178	CALL 100 CHESAPEAKE ENERGY	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
9982	CALL 100 CHESAPEAKE ENERGY	SHARTSIS, JAY	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
9642	CALL 100 CHEVRON CORP NEW COM	HACKEL, DEBBIE	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	10.00	NO
9643	CALL 100 CHEVRON CORP NEW COM	HACKEL, DEBBIE	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	10.00	NO
9774	CALL 100 CHEVRON CORP NEW COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9775	CALL 100 CHEVRON CORP NEW COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9776	CALL 100 CHEVRON CORP NEW COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9777	CALL 100 CHEVRON CORP NEW COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	10.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: CRAWFORD, GUY (continued)</i>								
9963	CALL 100 CHEVRON CORP NEW COM HACKEL, DEBBIE		110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	10.00	NO
9966	CALL 100 CHEVRON CORP NEW COM HACKEL, DEBBIE		110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	10.00	NO
10259	CALL 100 CHEVRON CORP NEW COM HACKEL, DEBBIE		112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	10.00	NO
10460	CALL 100 CHEVRON CORP NEW COM HACKEL, DEBBIE		114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10461	CALL 100 CHEVRON CORP NEW COM HACKEL, DEBBIE		114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10703	CALL 100 DELTA AIRLINES INC RUDOLPH, MARTIN		116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
9219	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
9702	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9703	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	20.00	NO
10048	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
10573	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10677	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10681	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10695	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10704	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10871	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		117 PERGTDE - 01/23/2017	01/23/2017	01/25/2017	0.00	-20.00	NO
10872	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		117 PERGTDE - 01/23/2017	01/23/2017	01/25/2017	0.00	20.00	NO
11068	CALL 100 DIREXION SHS ETF TR CAPATOSTO, STEVEN		118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO
12234	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12235	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12257	CALL 100 DIREXION SHS ETF TR SHARTSIS, JAY		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9523	CALL 100 GOLDMAN SACHS GROUP SHARTSIS, JAY		107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	20.00	NO
10679	CALL 100 GOLDMAN SACHS GROUP SHARTSIS, JAY		116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
12204	CALL 100 GOLDMAN SACHS GROUP HACKEL, DEBBIE		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	10.00	NO
12205	CALL 100 GOLDMAN SACHS GROUP HACKEL, DEBBIE		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	10.00	NO
12236	CALL 100 GOLDMAN SACHS GROUP SHARTSIS, JAY		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12237	CALL 100 GOLDMAN SACHS GROUP SHARTSIS, JAY		129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9778	CALL 100 JETBLUE AIRWAYS CORP HACKEL, DEBBIE		109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	10.00	NO
10269	CALL 100 JETBLUE AIRWAYS CORP SHARTSIS, JAY		112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10270	CALL 100 JETBLUE AIRWAYS CORP SHARTSIS, JAY		112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10464	CALL 100 JETBLUE AIRWAYS CORP HACKEL, DEBBIE		114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10390	CALL 100 MARKET VECTORS ETF RUDOLPH, MARTIN		113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	20.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: CRAWFORD, GUY (continued)</i>								
9175	CALL 100 MARKET VECTORS ETF	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
9419	CALL 100 MARKET VECTORS ETF	SHARTSIS, JAY	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	20.00	NO
10370	CALL 100 MARKET VECTORS ETF	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10676	CALL 100 MARKET VECTORS ETF	SHARTSIS, JAY	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
12233	CALL 100 MARKET VECTORS ETF	SHARTSIS, JAY	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	20.00	NO
10680	CALL 100 MEDICINES CO COM EXP	SHARTSIS, JAY	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10501	CALL 100 NETFLIX INC COM EXP	RUDOLPH, MARTIN	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10533	CALL 100 NETFLIX INC COM EXP	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10534	CALL 100 NETFLIX INC COM EXP	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	10.00	NO
10678	CALL 100 NETFLIX INC COM EXP	SHARTSIS, JAY	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
9218	CALL 100 PBF ENERGY INC CL A	WINNICK, CHARLES	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	20.00	NO
10921	CALL 100 PHILIP MORRIS INTL	RUDOLPH, MARTIN	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	20.00	NO
9393	CALL 100 QUALCOMM INC EXP	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	10.00	NO
9394	CALL 100 QUALCOMM INC EXP	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	10.00	NO
12206	CALL 100 QUALCOMM INC EXP	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	10.00	NO
12207	CALL 100 QUALCOMM INC EXP	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	10.00	NO
10371	CALL 100 QUALCOMM INC EXP	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10372	CALL 100 QUALCOMM INC EXP	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	20.00	NO
9391	CALL 100 SELECT SECTOR SPDR	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	10.00	NO
9392	CALL 100 SELECT SECTOR SPDR	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	10.00	NO
10267	CALL 100 SELECT SECTOR SPDR	SHARTSIS, JAY	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10268	CALL 100 SELECT SECTOR SPDR	SHARTSIS, JAY	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	20.00	NO
9289	CALL 100 SPDR S&P 500 ETF TR	SHARTSIS, JAY	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	20.00	NO
9664	CALL 100 SPDR S&P 500 ETF TR	SHARTSIS, JAY	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9799	CALL 100 SPDR S&P 500 ETF TR	SHARTSIS, JAY	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	20.00	NO
9981	CALL 100 SPDR S&P 500 ETF TR	SHARTSIS, JAY	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
12208	CALL 100 STARBUCKS CORP COM	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	10.00	NO
12209	CALL 100 STARBUCKS CORP COM	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	10.00	NO
10459	CALL 100 STRAIGHT PATH	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10462	CALL 100 TABLEAU SOFTWARE INC	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10463	CALL 100 TABLEAU SOFTWARE INC	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	10.00	NO
11065	CALL 100 VANECK VECTORS ETF	RUDOLPH, MARTIN	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: CRAWFORD, GUY (continued)								
11064	CALL 100 VUZIX CORP COM NEW	RUDOLPH, MARTIN	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO
4736	CAPITAL INCOME A	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.83	NO
4855	CAPITAL INCOME A	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.83	NO
14565	CAPITAL INCOME A	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.83	NO
9805	CAPITAL SR LIVING CORP COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
9806	CAPITAL SR LIVING CORP COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
12201	CAPRICOR THERAPEUTICS INC COM	GRAYMAN, EZRA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9172	CHESAPEAKE ENERGY CORP	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
12124	CHESAPEAKE ENERGY CORP	SHARTSIS, JAY	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
9801	CHIPOTLE MEXICAN GRILL INC	GILPIN, DOUGLAS	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10367	CITIGROUP INC COM	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10924	CLEAN ENERGY FUELS CORP	CAPATOSTO, STEVEN	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10274	COGNIZANT TECHNOLOGY	HACKEL, DEBBIE	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10275	COGNIZANT TECHNOLOGY	HACKEL, DEBBIE	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10922	COLLEGIUM PHARMACEUTICAL INC	WINNICK, CHARLES	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10638	CRAY INC COM NEW	HACKEL, DEBBIE	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	10.00	NO
12232	CREDIT SUISSE AG NASSAU	SHARTSIS, JAY	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12130	DALECO RES CORP COM NEW	FORST, DANIEL	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12240	DALECO RES CORP COM NEW	CUSTODIAN, JEANA R.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	10.00	NO
12241	DALECO RES CORP COM NEW	RAFANELLO, KRISTA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	10.00	NO
12252	DALECO RES CORP COM NEW	FORST, DANIEL	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
4495	DELAWARE REAL ESTATE	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.27	NO
4560	DELAWARE REAL ESTATE	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.27	NO
4633	DELAWARE REAL ESTATE	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.27	NO
4731	DELAWARE REAL ESTATE	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.27	NO
4850	DELAWARE REAL ESTATE	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.27	NO
14560	DELAWARE REAL ESTATE	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.27	NO
9551	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9552	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9553	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	20.00	NO
9699	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	0.00	-10.00	NO
9700	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	0.00	-10.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: CRAWFORD, GUY (continued)								
9701	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	0.00	20.00	NO
10047	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10368	DIREXION SHS ETF TR DAILY JR	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10392	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	113 PERGTDE - 01/17/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10572	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10866	DIREXION SHS ETF TR DAILY JR	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	10.00	NO
10867	DIREXION SHS ETF TR DAILY JR	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10868	DIREXION SHS ETF TR DAILY JR	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	10.00	NO
12289	DIREXION SHS ETF TR DAILY JR	CAPATOSTO, STEVEN	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9698	DIREXION SHS ETF TR DIREXION	BEGLEY, MICHAEL A.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	20.00	NO
10383	DTE ENERGY CO JR SUB DEB 2016	FATTORUSO, PHYLLIS	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10276	ELECTRONIC ARTS INC	HACKEL, DEBBIE	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10277	ELECTRONIC ARTS INC	HACKEL, DEBBIE	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	10.00	NO
10046	ELLIS PERRY INTERNATIONAL INC	WINNICK, CHARLES	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
9812	EMERGENT CAP INC COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10176	EMERGENT CAP INC COM	HACKEL, DEBBIE	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
9800	ENSCO PLC NEW CLASS A ORD	GILPIN, DOUGLAS	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9984	ETHAN ALLEN INTERIORS INC	HACKEL, DEBBIE	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	10.00	NO
9985	ETHAN ALLEN INTERIORS INC	HACKEL, DEBBIE	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	10.00	NO
4221	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	0.18	NO
4393	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	0.18	NO
4412	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.18	NO
4440	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.18	NO
4496	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.18	NO
4561	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.18	NO
4634	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.18	NO
4732	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.18	NO
4851	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.18	NO
14561	FIRST EAGLE GLOBAL FUND CLASS	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.18	NO
9421	G-III APPAREL GROUP LTD	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	10.00	NO
9527	G-III APPAREL GROUP LTD	HACKEL, DEBBIE	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	-10.00	NO
9528	G-III APPAREL GROUP LTD	HACKEL, DEBBIE	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	10.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: CRAWFORD, GUY (continued)								
9529	G-III APPAREL GROUP LTD	HACKEL, DEBBIE	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9275	GENERAL ELECTRIC CO	HEATH, KIMBERLY A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9278	GENERAL ELECTRIC CO	HEATH, KIMBERLY A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
4737	GLOBAL FUND A	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.38	NO
4856	GLOBAL FUND A	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.38	NO
14566	GLOBAL FUND A	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.38	NO
9337	GOGO INC	RUDOLPH, CAROL	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
10546	GOPRO INC CL A	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
9802	HAIN CELESTIAL GROUP INC	GILPIN, DOUGLAS	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
12021	HERC HLDGS INC COM	SHARTSIS, JAY	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9274	HORIZON PHARMA PLC SHS	HEATH, KIMBERLY A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9277	HORIZON PHARMA PLC SHS	HEATH, KIMBERLY A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
10902	INTREXON CORP COM	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
12072	ISHARES U S HOME CONSTRUCTION	WARD, HEATHER	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	50.00	NO
10484	KLA-TENCOR CORP COM	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	10.00	NO
10485	KLA-TENCOR CORP COM	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	10.00	NO
9986	LA Z BOY INC COM	HACKEL, DEBBIE	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	10.00	NO
9987	LA Z BOY INC COM	HACKEL, DEBBIE	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	10.00	NO
12239	MANNKIND CORP	GALLO, TERESA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
10639	MEDICINES CO COM	HACKEL, DEBBIE	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	10.00	NO
4442	MFS INTERNATIONAL NEW	REILLY, DAVID P.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.21	NO
4499	MFS INTERNATIONAL NEW	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.21	NO
4564	MFS INTERNATIONAL NEW	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.21	NO
4637	MFS INTERNATIONAL NEW	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.21	NO
4735	MFS INTERNATIONAL NEW	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.21	NO
4854	MFS INTERNATIONAL NEW	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.21	NO
14564	MFS INTERNATIONAL NEW	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.21	NO
4441	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.64	NO
4498	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.64	NO
4563	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.64	NO
4636	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.64	NO
4734	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.64	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: CRAWFORD, GUY (continued)								
4853	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.64	NO
14563	MFS TOTAL RETURN FUND-A	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.64	NO
9155	MOBILEYE N V ORD SHS	HACKEL, DEBBIE	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	10.00	NO
9171	MOBILEYE N V ORD SHS	SHARTSIS, JAY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
10480	NETFLIX COM INC	SHARTSIS, JAY	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	20.00	NO
9291	NORDSTROM INC	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	10.00	NO
9292	NORDSTROM INC	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	10.00	NO
9422	NORDSTROM INC	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/09/2017	01/25/2017	0.00	-10.00	NO
9423	NORDSTROM INC	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/09/2017	01/25/2017	0.00	10.00	NO
9884	NUVECTRA CORP COM	WINNICK, CHARLES	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9276	OPKO HEALTH INC	HEATH, KIMBERLY A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9279	OPKO HEALTH INC	HEATH, KIMBERLY A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9962	OPKO HEALTH INC	GRAYMAN, EZRA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
9885	PBF ENERGY INC CL A	WINNICK, CHARLES	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
12288	PBF ENERGY INC CL A	WINNICK, CHARLES	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9338	PBF LOGISTICS LP UNIT LTD	WINNICK, CHARLES	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9457	PBF LOGISTICS LP UNIT LTD	WINNICK, CHARLES	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9550	PBF LOGISTICS LP UNIT LTD	WINNICK, CHARLES	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	20.00	NO
12145	PULTEGROUP INC COM	SIEGEL, STUART N.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
9965	PUT 100 ACADIA	HACKEL, DEBBIE	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	10.00	NO
10570	PUT 100 APPLE INC COM EXP	RUDOLPH, MARTIN	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10571	PUT 100 APPLE INC COM EXP	RUDOLPH, MARTIN	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10481	PUT 100 BANK AMER CORP COM	SHARTSIS, JAY	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10503	PUT 100 DIREXION SHS ETF TR	CAPATOSTO, STEVEN	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10482	PUT 100 NETFLIX INC COM EXP	SHARTSIS, JAY	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10483	PUT 100 NETFLIX INC COM EXP	SHARTSIS, JAY	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10391	PUT 100 PHILIP MORRIS INTL	RUDOLPH, MARTIN	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10502	PUT 100 PHILIP MORRIS INTL	RUDOLPH, MARTIN	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
9964	PUT 100 PROSHARES TR II	HACKEL, DEBBIE	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	10.00	NO
9980	PUT 100 PROSHARES TR II	SHARTSIS, JAY	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
10017	PUT 100 PROSHARES TR II	SHARTSIS, JAY	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
10541	QORVO INC COM	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	10.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: CRAWFORD, GUY (continued)								
10542	QORVO INC COM	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	10.00	NO
10684	QORVO INC COM	HACKEL, DEBBIE	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	-10.00	NO
10685	QORVO INC COM	HACKEL, DEBBIE	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	10.00	NO
10486	RENT A CENTER INC COM	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	10.00	NO
10543	RENT A CENTER INC COM	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	10.00	NO
10544	RENT A CENTER INC COM	HACKEL, DEBBIE	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	10.00	NO
10013	RITE AID CORPORATION	DAVID M MARKS, SEP	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
10197	RITE AID CORPORATION	DAVID M MARKS, SEP	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10288	RITE AID CORPORATION	DAVID M MARKS, SEP	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	50.00	NO
9341	ROSETTA GENOMICS LTD	CAPATOSTO, STEVEN	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9886	ROSETTA GENOMICS LTD	CAPATOSTO, STEVEN	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9293	ROSS STORES INC	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	10.00	NO
9424	ROSS STORES INC	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	10.00	NO
10487	SCHOLASTIC CORP	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	10.00	NO
10488	SCHOLASTIC CORP	HACKEL, DEBBIE	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	10.00	NO
12099	SIBANYE GOLD LTD SPONSORED	HACKEL, DEBBIE	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	10.00	NO
12125	SIBANYE GOLD LTD SPONSORED	SHARTSIS, JAY	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
10794	STARBUCKS CORP	HACKEL, DEBBIE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	10.00	NO
10795	STARBUCKS CORP	HACKEL, DEBBIE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	10.00	NO
10873	STARBUCKS CORP	SIEGEL, DANIEL I.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
9336	STRATASYS LTD	RUDOLPH, CAROL	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9807	STRYKER CORP	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
9808	STRYKER CORP	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
4497	THE GROWTH FUND OF AMERICA-A	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.87	NO
4562	THE GROWTH FUND OF AMERICA-A	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.87	NO
4635	THE GROWTH FUND OF AMERICA-A	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.87	NO
4733	THE GROWTH FUND OF AMERICA-A	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.87	NO
4852	THE GROWTH FUND OF AMERICA-A	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.87	NO
14562	THE GROWTH FUND OF AMERICA-A	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.87	NO
9294	TJX COMPANIES INC NEW	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	10.00	NO
9425	TJX COMPANIES INC NEW	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	10.00	NO
9309	TRANS LUX CORPORATION	RAFANELLO, KRISTA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: CRAWFORD, GUY (continued)</i>								
12242	TRANS LUX CORPORATION	HILL, SAG	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
10369	TTM TECHNOLOGIES INC	SHARTSIS, JAY	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10675	TTM TECHNOLOGIES INC	SHARTSIS, JAY	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
12022	TTM TECHNOLOGIES INC	SHARTSIS, JAY	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
10869	TWILIO INC CL A	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10273	UNDER ARMOUR INC CLASS C	GILPIN, DOUGLAS	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10222	UNITED STATES STL CORP NEW	WARD, HEATHER	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	80.00	NO
10504	UNITED STATES STL CORP NEW	WARD, HEATHER	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	50.00	NO
10874	UNITED STATES STL CORP NEW	GALLO, TERESA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12292	UNITED STATES STL CORP NEW	WARD, HEATHER	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
9426	URBAN OUTFITTERS INC	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	10.00	NO
9530	URBAN OUTFITTERS INC	HACKEL, DEBBIE	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	10.00	NO
9295	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9427	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9531	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	-20.00	NO
9532	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9667	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	20.00	NO
10373	VANECK VECTORS ETF TR GOLD	GILPIN, DOUGLAS	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10388	VANECK VECTORS ETF TR GOLD	RUDOLPH, MARTIN	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10389	VANECK VECTORS ETF TR GOLD	RUDOLPH, MARTIN	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
12132	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	10.00	NO
12133	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	10.00	NO
12243	VANECK VECTORS ETF TR GOLD	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9389	VANECK VECTORS ETF TR JR GOLD	HACKEL, DEBBIE	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	10.00	NO
9416	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	6.67	NO
9417	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	13.33	NO
9418	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9522	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	20.00	NO
9798	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10796	VANECK VECTORS ETF TR JR GOLD	HACKEL, DEBBIE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	7.50	NO
10797	VANECK VECTORS ETF TR JR GOLD	HACKEL, DEBBIE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	2.50	NO
10870	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: CRAWFORD, GUY (continued)</i>								
10903	VANECK VECTORS ETF TR JR GOLD	SHARTSIS, JAY	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
12203	VANECK VECTORS ETF TR JR GOLD	HACKEL, DEBBIE	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	10.00	NO
4500	WASHINGTON MUTUAL INVESTORS	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.02	NO
4565	WASHINGTON MUTUAL INVESTORS	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.02	NO
4638	WASHINGTON MUTUAL INVESTORS	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.02	NO
4738	WASHINGTON MUTUAL INVESTORS	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.02	NO
4857	WASHINGTON MUTUAL INVESTORS	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.02	NO
14567	WASHINGTON MUTUAL INVESTORS	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.02	NO
9809	WATERS CORP COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
9810	WATERS CORP COM	HACKEL, DEBBIE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	10.00	NO
4413	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.49	NO
4443	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.49	NO
4501	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.49	NO
4566	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.49	NO
4639	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.49	NO
4739	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.49	NO
4858	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.49	NO
14568	WELLS FARGO ADVANTAGE	REILLY, DAVID P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.49	NO
10798	YIRENDAI LTD SPONSORED ADR	HACKEL, DEBBIE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	10.00	NO
12179	ZIOPHARM ONCOLOGY INC	WINNICK, CHARLES	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
<b>* CRAWFORD, GUY TOTAL *</b>						<b>0.00</b>	<b>4,548.50</b>	

*BROKER: CROCKETT, RICHARD*

9706	CYTODYN INC COM	HUTT, HOWARD	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	350.65	NO
9710	CYTODYN INC COM	D'AZEVEDO TRUST, CANDY	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	148.32	NO
9887	CYTODYN INC COM	HUTT, HOWARD	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	360.00	NO
9906	CYTODYN INC COM	D'AZEVEDO TRUST, CANDY	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	211.68	NO
10049	CYTODYN INC COM	HUTT, HOWARD	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	10.00	375.00	NO
10224	CYTODYN INC COM	HUTT, HOWARD	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	293.48	NO
10227	CYTODYN INC COM	D'AZEVEDO TRUST, CANDY	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	73.08	NO
10309	CYTODYN INC COM	D'AZEVEDO TRUST, CANDY	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	122.60	NO
10310	CYTODYN INC COM	CZAR VENTURES LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	255.51	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: CROCKETT, RICHARD (continued)</i>								
10406	CYTODYN INC COM	CZAR VENTURES LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	10.00	383.35	NO
10511	CYTODYN INC COM	CZAR VENTURES LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	448.88	NO
10512	CYTODYN INC COM	CZAR VENTURES LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	75.75	NO
10586	CYTODYN INC COM	CZAR VENTURES LLC	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	-75.75	NO
10587	CYTODYN INC COM	CZAR VENTURES LLC	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	75.75	NO
10588	CYTODYN INC COM	CZAR VENTURES LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	562.88	NO
10715	CYTODYN INC COM	CZAR VENTURES LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	10.00	562.50	NO
10936	CYTODYN INC COM	CZAR VENTURES LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	0.45	NO
10937	CYTODYN INC COM	CZAR VENTURES LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	10.00	554.71	NO
* CROCKETT, RICHARD TOTAL *						<b>180.00</b>	<b>4,778.84</b>	
<i>BROKER: FATTORUSO, PHYLLIS</i>								
10835	ALCOA CORPORATION COMMON	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	11.00	NO
10844	ALCOA CORPORATION COMMON	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	27.50	NO
10845	ALIBABA GROUP HOLDING LTD	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10846	ALIBABA GROUP HOLDING LTD	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10963	ALIBABA GROUP HOLDING LTD	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	648.32	NO
11007	ALIBABA GROUP HOLDING LTD	SARNA FAMILY LTD PARTNERS	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	-50.00	NO
11008	ALIBABA GROUP HOLDING LTD	SARNA FAMILY LTD PARTNERS	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	50.00	NO
11097	ALIBABA GROUP HOLDING LTD	SARNA, MARK	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	-648.32	NO
10836	ARCONIC INC COM	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	33.00	NO
10837	ARCONIC INC COM	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10847	ARCONIC INC COM	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10848	ARCONIC INC COM	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	82.50	NO
10838	BANCO SANTANDER S A SPONSORED	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	150.00	NO
10839	BANCO SANTANDER S A SPONSORED	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	250.00	NO
10849	BANK OF AMERICA CORP	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	250.00	NO
10850	BANK OF AMERICA CORP	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	250.00	NO
9491	CALL 100 ALIBABA GROUP HLDG	SARNA, MARK	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	40.00	NO
10723	CALL 100 ALIBABA GROUP HLDG	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	20.00	NO
9411	CALL 100 ALIBABA GRP SHS ADR	SARNA, MARK	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	80.00	NO
10654	CALL 100 ALIBABA GRP SHS ADR	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: FATTORUSO, PHYLLIS (continued)</i>								
10655	CALL 100 ALIBABA GRP SHS ADR	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10665	CALL 100 ALIBABA GRP SHS ADR	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10666	CALL 100 ALIBABA GRP SHS ADR	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
11009	CALL 100 ALIBABA GRP SHS ADR	SARNA FAMILY LTD PARTNERS	118 PERGTDE - 01/24/2017	01/23/2017	01/25/2017	0.00	-40.00	NO
11098	CALL 100 ALIBABA GRP SHS ADR	SARNA, MARK	118 PERGTDE - 01/24/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10663	CALL 100 ARCONIC INC COM EXP	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10672	CALL 100 ARCONIC INC COM EXP	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10363	CALL 100 BANK AMER CORP COM	SARNA, MARK	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	30.00	NO
10364	CALL 100 BANK AMER CORP COM	SARNA FAMILY LTD PARTNERS	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	60.00	NO
10474	CALL 100 BANK AMER CORP COM	SARNA, MARK	114 PERGTDE - 01/18/2017	01/18/2017	01/25/2017	0.00	-30.00	NO
10475	CALL 100 BANK AMER CORP COM	SARNA, MARK	114 PERGTDE - 01/18/2017	01/18/2017	01/25/2017	0.00	60.00	NO
10476	CALL 100 BANK AMER CORP COM	SARNA FAMILY LTD PARTNERS	114 PERGTDE - 01/18/2017	01/18/2017	01/25/2017	0.00	-60.00	NO
10477	CALL 100 BANK AMER CORP COM	SARNA FAMILY LTD PARTNERS	114 PERGTDE - 01/18/2017	01/18/2017	01/25/2017	0.00	120.00	NO
10656	CALL 100 BANK AMER CORP COM	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	480.00	NO
10667	CALL 100 BANK AMER CORP COM	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	450.00	NO
10841	CALL 100 BANK AMER CORP COM	SARNA, MARK	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	300.00	NO
10857	CALL 100 BANK AMER CORP COM	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	300.00	NO
10659	CALL 100 CHIPOTLE MEXICAN	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10662	CALL 100 CHIPOTLE MEXICAN	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10671	CALL 100 CHIPOTLE MEXICAN	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	40.00	NO
10653	CALL 100 CISCO SYSTEMS INC	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	80.00	NO
10664	CALL 100 CISCO SYSTEMS INC	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	120.00	NO
9977	CALL 100 CISCO SYSTEMS INC	SARNA, MARK	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	60.00	NO
10658	CALL 100 GENERAL ELECTRIC CO	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	80.00	NO
10661	CALL 100 GENERAL ELECTRIC CO	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	80.00	NO
10669	CALL 100 GENERAL ELECTRIC CO	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	400.00	NO
10842	CALL 100 GENERAL ELECTRIC CO	SARNA, MARK	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	120.00	NO
10858	CALL 100 GENERAL ELECTRIC CO	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	300.00	NO
9286	CALL 100 MARATHON OIL CORP	SARNA, MARK	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	40.00	NO
10660	CALL 100 MARATHON OIL CORP	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	120.00	NO
10670	CALL 100 MARATHON OIL CORP	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	280.00	NO
10843	CALL 100 MARATHON OIL CORP	SARNA, MARK	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	60.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: FATTORUSO, PHYLLIS (continued)</i>								
10859	CALL 100 MARATHON OIL CORP	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	150.00	NO
9976	CALL 100 MARATHON PETE CORP	SARNA, MARK	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
9978	CALL 100 MARATHON PETE CORP	SARNA FAMILY LTD PARTNERS	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.00	NO
10657	CALL 100 MARATHON PETE CORP	SARNA, MARK	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	80.00	NO
10668	CALL 100 MARATHON PETE CORP	SARNA FAMILY LTD PARTNERS	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	160.00	NO
10851	CHIPOTLE MEXICAN GRILL INC	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10840	CISCO SYS INC	SARNA, MARK	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10852	CISCO SYS INC	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	100.00	NO
10853	COCA COLA COMPANY (THE)	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	150.00	NO
12019	FREEMPORT MCMORAN INC	SARNA, MARK	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	100.00	NO
10854	GENERAL ELECTRIC CO	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	250.00	NO
12254	GLAXOSMITHKLINE PLC SPONSORED	BRAHA, EZRA E.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	35.00	NO
9285	GROW SOLUTIONS HLDGS INC COM	SARNA, MARK	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	50.00	NO
10855	MARATHON OIL CORP	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	150.00	NO
10856	MARATHON PETE CORP COM	SARNA FAMILY LTD PARTNERS	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	50.00	NO
4397	THORNBURG INVESTMENT INCOME	SARNA, MARK	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	15.18	NO
4419	THORNBURG INVESTMENT INCOME	SARNA, MARK	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	15.18	NO
4458	THORNBURG INVESTMENT INCOME	SARNA, MARK	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	15.18	NO
4517	THORNBURG INVESTMENT INCOME	SARNA, MARK	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	15.18	NO
4583	THORNBURG INVESTMENT INCOME	SARNA, MARK	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	15.18	NO
4672	THORNBURG INVESTMENT INCOME	SARNA, MARK	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	15.18	NO
4779	THORNBURG INVESTMENT INCOME	SARNA, MARK	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	15.18	NO
4899	THORNBURG INVESTMENT INCOME	SARNA, MARK	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	15.18	NO
14610	THORNBURG INVESTMENT INCOME	SARNA, MARK	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	15.18	NO
4673	TRANSAMERICA MULTI-MANAGED	MASSA, MARY A.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	7.23	NO
4780	TRANSAMERICA MULTI-MANAGED	MASSA, MARY A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	7.23	NO
4900	TRANSAMERICA MULTI-MANAGED	MASSA, MARY A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	7.23	NO
14611	TRANSAMERICA MULTI-MANAGED	MASSA, MARY A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	7.23	NO
* FATTORUSO, PHYLLIS TOTAL *						0.00	6,784.54	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION		
				RECEIVED	POSTED		RECEIVED	HOLD	
BROKER: GRAYMAN, EZRA									
10453	ADVANCEPIERRE FOODS HLDGS INC	MCGREGOR CAPITAL LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	300.00	NO	
10524	ADVANCEPIERRE FOODS HLDGS INC	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	200.00	NO	
10615	AFFIMED N V COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	100.00	NO	
10630	AFFIMED N V COM	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	150.00	NO	
10454	ALMOST FAMILY INC	MCGREGOR CAPITAL LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	50.00	NO	
10525	ALMOST FAMILY INC	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	150.00	NO	
10616	ALMOST FAMILY INC	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	166.44	NO	
10526	APOLLO GLOBAL MANAGEMENT LLC	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO	
10617	APOLLO GLOBAL MANAGEMENT LLC	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	-20.00	NO	
10631	APOLLO GLOBAL MANAGEMENT LLC	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	20.00	NO	
9991	BAZAARVOICE INC COM	SCHNEIDER, WILLIAM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	102.97	NO	
10015	BAZAARVOICE INC COM	SCHNEIDER, WILLIAM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	136.73	NO	
9246	BLUEBIRD BIO INC COM	MCGREGOR CAPITAL LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO	
9247	BLUEBIRD BIO INC COM	MCGREGOR CAPITAL LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO	
9955	BLUEBIRD BIO INC COM	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO	
9956	BLUEBIRD BIO INC COM	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO	
10346	BLUEBIRD BIO INC COM	MCGREGOR CAPITAL LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	25.00	NO	
10347	BLUEBIRD BIO INC COM	MCGREGOR CAPITAL LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	25.00	NO	
9957	BLUEROCK RESIDENTIAL GROWTH	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	110.00	NO	
9814	CALL 100 ROCKWELL MED INC COM	RINGER, DENNIS H.	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	50.00	NO	
9988	CALL 100 ROCKWELL MED INC COM	RINGER, DENNIS H.	110 PERGTDE - 01/11/2017	01/11/2017	01/25/2017	0.00	-50.00	NO	
9989	CALL 100 ROCKWELL MED INC COM	RINGER, DENNIS H.	110 PERGTDE - 01/11/2017	01/11/2017	01/25/2017	0.00	50.00	NO	
9179	CALL 100 URANIUM ENERGY CORP	RINGER, DENNIS H.	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	60.00	NO	
9815	CALL 100 URANIUM ENERGY CORP	RINGER, DENNIS H.	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	89.00	NO	
9990	CALL 100 URANIUM ENERGY CORP	RINGER, DENNIS H.	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	50.00	NO	
11020	CALL 100 URANIUM ENERGY CORP	RINGER, DENNIS H.	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	75.00	NO	
12213	CAREVIEW COMMUNICATIONS INC	ROTARY PARTNERS LLC	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO	
10618	CAROLINA FINL CORP NEW COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO	
10162	CELLECT BIOTECHNOLOGY LTD	MCGREGOR CAPITAL LLC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	300.00	NO	
10348	CELLECT BIOTECHNOLOGY LTD WT	MCGREGOR CAPITAL LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	110.00	NO	
10455	CELLECT BIOTECHNOLOGY LTD WT	MCGREGOR CAPITAL LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	90.00	NO	
9767	CENTERSTATE BKS INC COM	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	80.00	NO	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: GRAYMAN, EZRA (continued)</i>								
9772	CENTERSTATE BKS INC COM	DAVIS FAMILY OFFICE, F/A/O	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9773	CENTERSTATE BKS INC COM	DAVIS FAMILY OFFICE, F/A/O	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	48.00	NO
9152	CLOVIS ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9153	CLOVIS ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9154	CLOVIS ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	125.00	NO
9248	CLOVIS ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	100.00	NO
9249	CLOVIS ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	100.00	NO
9250	CLOVIS ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	125.00	NO
4445	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	29.13	NO
4446	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	14.39	NO
4503	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	29.13	NO
4504	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	14.39	NO
4568	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	29.13	NO
4569	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	14.39	NO
4645	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	29.13	NO
4646	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	14.39	NO
4745	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	29.13	NO
4746	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	14.39	NO
4864	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	29.13	NO
4865	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	14.39	NO
14574	COLUMBIA SHORT TERM BOND FUND GOLD, ALAN		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	29.13	NO
14575	COLUMBIA SHORT TERM BOND FUND GOLD, SUSAN		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	14.39	NO
9958	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	134.52	NO
9959	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	40.00	NO
9960	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	134.52	NO
10163	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	60.00	NO
10164	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	60.00	NO
10254	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	40.00	NO
10349	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	40.00	NO
10350	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	40.00	NO
10456	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	60.00	NO
10457	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION		
				RECEIVED	POSTED		RECEIVED	HOLD	
BROKER: GRAYMAN, EZRA (continued)									
10792	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	160.00	NO	
10793	ENTEROMEDICS INC COM PAR	MCGREGOR CAPITAL LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	160.00	NO	
10619	FINTECH ACQUISITION CORP II	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	125.00	NO	
10620	FINTECH ACQUISITION CORP II	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO	
10632	FINTECH ACQUISITION CORP II	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	100.00	NO	
10986	FINTECH ACQUISITION CORP II	MCGREGOR CAPITAL LLC	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	-20.00	NO	
10989	FINTECH ACQUISITION CORP II	DAVIS FAMILY OFFICE, F/A/O	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	20.00	NO	
9768	FIRST MIDWEST BANCORP INC DEL	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO	
9788	GEOSPATIAL CORP COM	ROTARY PARTNERS LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	75.00	NO	
12214	GEOSPATIAL CORP COM	ROTARY PARTNERS LLC	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO	
4647	GOLDMAN SACHS SHORT DURATION	GOLD, ALAN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	4.80	NO	
4747	GOLDMAN SACHS SHORT DURATION	GOLD, ALAN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	4.80	NO	
4866	GOLDMAN SACHS SHORT DURATION	GOLD, ALAN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	4.80	NO	
14576	GOLDMAN SACHS SHORT DURATION	GOLD, ALAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.80	NO	
10527	HERON THERAPEUTICS INC COM	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	100.00	NO	
10621	KEANE GROUP INC COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	36.00	NO	
9385	KILROY REALTY CORP COM	MCGREGOR CAPITAL LLC	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO	
9386	KILROY REALTY CORP COM	MCGREGOR CAPITAL LLC	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	25.00	NO	
9388	KILROY REALTY CORP COM	DAVIS FAMILY OFFICE, F/A/O	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	27.50	NO	
9501	KILROY REALTY CORP COM	MCGREGOR CAPITAL LLC	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	-25.00	NO	
9502	KILROY REALTY CORP COM	MCGREGOR CAPITAL LLC	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	25.00	NO	
9640	KILROY REALTY CORP COM	MCGREGOR CAPITAL LLC	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	-25.00	NO	
9641	KILROY REALTY CORP COM	DAVIS FAMILY OFFICE, F/A/O	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	25.00	NO	
9387	LOXO ONCOLOGY INC COM	MCGREGOR CAPITAL LLC	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO	
9668	MACYS INC	ALBANESE, JOHN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	600.00	NO	
9696	MACYS INC	GOLD, ALAN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	100.00	NO	
9697	MACYS INC	GOLD, SUSAN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	100.00	NO	
9503	MIRATI THERAPEUTICS INC COM	MCGREGOR CAPITAL LLC	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	200.00	NO	
10351	MIRATI THERAPEUTICS INC COM	MCGREGOR CAPITAL LLC	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	150.00	NO	
9429	NATIONAL FUEL GAS CO N J COM	MINTEER, JAMES W.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	125.00	NO	
14577	NUVEEN LIMITED TERM MUNI BOND	GOLD, ALAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.25	NO	
10255	OOMA INC COM	MCGREGOR CAPITAL LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	100.00	NO	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: GRAYMAN, EZRA (continued)</i>								
9961	PARSLEY ENERGY INC CL A	MCGREGOR CAPITAL LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
4648	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	4.02	NO
4649	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.31	NO
4650	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.72	NO
4651	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.72	NO
4748	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	4.02	NO
4749	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.31	NO
4750	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.72	NO
4751	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.72	NO
4867	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	4.02	NO
4868	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.31	NO
4869	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.72	NO
4870	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.72	NO
14578	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.02	NO
14579	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.31	NO
14580	PIMCO COMMODITY REAL RETURN	GOLD, ALAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.72	NO
14581	PIMCO COMMODITY REAL RETURN	GOLD, SUSAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.72	NO
10622	PLURISTEM THERAPEUTICS INC	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	250.00	NO
10633	PLURISTEM THERAPEUTICS INC	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	100.00	NO
10634	PLURISTEM THERAPEUTICS INC	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	100.00	NO
10256	SKYLINE MED INC COM PAR \$0.01	MCGREGOR CAPITAL LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
12215	SMA ALLIANCE INC COM NEW	ROTARY PARTNERS LLC	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
9769	SPRINT CORPORATION COM SER 1	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	75.00	NO
10528	SPRINT CORPORATION COM SER 1	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	50.00	NO
10623	SPRINT CORPORATION COM SER 1	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	100.00	NO
10624	STEMLINE THERAPEUTICS INC COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
9533	SUNOPTA INC COM	ALBANESE, JOHN	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	300.00	NO
9813	SUNOPTA INC COM	ALBANESE, JOHN	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	600.00	NO
9816	SUNOPTA INC COM	RARE COIN GALLERIES, CORONET	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	404.10	NO
10529	TELADOC INC COM	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	33.00	NO
10531	TELADOC INC COM	DAVIS FAMILY OFFICE, F/A/O	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	26.00	NO
10625	TELADOC INC COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	-33.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: GRAYMAN, EZRA (continued)</i>								
10626	TELADOC INC COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	68.00	NO
10635	TELADOC INC COM	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	-26.00	NO
9992	TWILIO INC CL A	SCHNEIDER, WILLIAM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	135.13	NO
10014	TWILIO INC CL A	SCHNEIDER, WILLIAM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	135.02	NO
10016	TWILIO INC CL A	SCHNEIDER, WILLIAM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	135.10	NO
12032	URANIUM ENERGY CORP	RINGER, DENNIS H.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	100.00	NO
10257	URANIUM RES INC COM PAR \$.001	MCGREGOR CAPITAL LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	100.00	NO
10258	URANIUM RES INC COM PAR \$.001	MCGREGOR CAPITAL LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	150.00	NO
12244	VALEANT PHARMACEUTICALS	ALBANESE, JOHN	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	600.00	NO
9428	VEREIT INC COM	ALBANESE, JOHN	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	1,800.00	NO
12031	VEREIT INC COM	ALBANESE, JOHN	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	650.82	NO
10530	VIPER ENERGY PARTNERS LP COM	MCGREGOR CAPITAL LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	59.00	NO
10627	VIPER ENERGY PARTNERS LP COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	-59.00	NO
10628	VIPER ENERGY PARTNERS LP COM	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	29.00	NO
10636	VIPER ENERGY PARTNERS LP COM	DAVIS FAMILY OFFICE, F/A/O	116 PERGTDE - 01/20/2017	01/24/2017	01/25/2017	0.00	30.00	NO
9765	WILLIAMS COMPANIES (DEL)	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	25.00	NO
9766	WILLIAMS COMPANIES (DEL)	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	25.00	NO
9770	WILLIAMS COMPANIES (DEL)	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	100.00	NO
9771	WILLIAMS COMPANIES (DEL)	MCGREGOR CAPITAL LLC	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	150.00	NO
10458	WPX ENERGY INC	MCGREGOR CAPITAL LLC	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10629	ZYNERBA PHARMACEUTICALS INC	MCGREGOR CAPITAL LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
<b>* GRAYMAN, EZRA TOTAL *</b>						<b>0.00</b>	<b>12,911.02</b>	

*BROKER: HACKEL, HENRY*

10538	22ND CENTY GROUP INC COM	RABBITO, RICHARD V.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	35.00	NO
9322	ACHAOGEN INC COM	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9323	ACHAOGEN INC COM	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9876	AK STEEL HOLDING CORP	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9877	AK STEEL HOLDING CORP	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10028	AK STEEL HOLDING CORP	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
12261	AKORN INC	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12262	AKORN INC	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO



# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
9878	ALCOA CORPORATION COMMON	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9879	ALCOA CORPORATION COMMON	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10876	ALCOA CORPORATION COMMON	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
9196	ALIBABA GROUP HOLDING LTD	CORPORATION, VAR G.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
10904	ALIBABA GROUP HOLDING LTD	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10905	ALIBABA GROUP HOLDING LTD	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12263	ALIBABA GROUP HOLDING LTD	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12264	ALIBABA GROUP HOLDING LTD	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9206	ALLERGAN PLC	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	-13.33	NO
9207	ALLERGAN PLC	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	20.00	NO
9208	ALLERGAN PLC	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	-6.67	NO
12161	ALLERGAN PLC	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12162	ALLERGAN PLC	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12274	ALLERGAN PLC	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12275	ALLERGAN PLC	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	13.33	NO
12276	ALLERGAN PLC	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	6.67	NO
9160	ALLIQUA BIOMEDICAL INC COM	KOONDEL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	30.12	NO
9644	ALLIQUA BIOMEDICAL INC COM	KOONDEL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	23.88	NO
10535	ALLIQUA BIOMEDICAL INC COM	KOONDEL	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	52.50	NO
10441	ALPHABET INC CLASS C CAPITAL	MOZAIC LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	30.00	NO
10042	AMAZON.COM INC	DAVIS, KIM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10043	AMAZON.COM INC	DAVIS, KIM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10442	AMAZON.COM INC	MOZAIC LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	30.00	NO
9201	AMGEN INC	PARTNERS, FHANNA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9324	AMGEN INC	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9548	ANDERSONS INC COM	CHERNOFF, GLENN I.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	20.00	NO
12158	ANHEUSER-BUSCH INBEV SA	PARTNERS, FHANNA	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12268	ANHEUSER-BUSCH INBEV SA	PARTNERS, FHANNA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9846	ANTHEM INC COM	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9847	ANTHEM INC COM	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10044	APACHE CORP COMMON	DAVIS, KIM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10215	APACHE CORP COMMON	DAVIS, KIM	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: HACKEL, HENRY (continued)								
9161	APPLE HOSPITALITY REIT INC	KOONDEL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	15.10	NO
9255	APPLE HOSPITALITY REIT INC	KOONDEL	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	15.95	NO
9395	APPLE HOSPITALITY REIT INC	KOONDEL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	12.55	NO
9779	APPLE HOSPITALITY REIT INC	KOONDEL	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	14.25	NO
10799	APPLE HOSPITALITY REIT INC	KOONDEL	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	11.70	NO
10877	APPLE INC	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	11.66	NO
10878	APPLE INC	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	23.34	NO
10879	ARCONIC INC COM	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
10880	ARCONIC INC COM	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	35.00	NO
9848	ATWOOD OCEANICS INC	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9849	ATWOOD OCEANICS INC	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9645	BANK OF AMERICA CORP	KOONDEL	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	0.00	86.50	NO
10800	BANK OF AMERICA CORP	KOONDEL	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	18.50	NO
12058	BARCLAYS BANK PLC IPATH S&P	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12059	BARCLAYS BANK PLC IPATH S&P	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12163	BARCLAYS BANK PLC IPATH S&P	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12164	BARCLAYS BANK PLC IPATH S&P	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12277	BARCLAYS BANK PLC IPATH S&P	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9325	BEAZER HOMES USA INC COM NEW	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9326	BEAZER HOMES USA INC COM NEW	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9452	BEAZER HOMES USA INC COM NEW	PARTNERS, FHANNA	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9315	BELLEROPHON THERAPEUTICS INC	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9721	BHP BILLITON LTD SPONSORED	JONATHAN T BARKER	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	40.00	NO
10444	BHP BILLITON LTD SPONSORED	DRURY W COOPER TRUST	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	120.00	NO
9850	BIOCEPT INC COM NEW	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9851	BIOCEPT INC COM NEW	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10045	BWX TECHNOLOGIES INC COM	DAVIS, KIM	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10216	BWX TECHNOLOGIES INC COM	DAVIS, KIM	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
12165	CABOT MICROELECTRONICS CORP	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12278	CABOT MICROELECTRONICS CORP	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
10994	CALL 100 ALPHABET INC CL C	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	12.55	NO
10995	CALL 100 ALPHABET INC CL C	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	13.40	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION		
				RECEIVED	POSTED		RECEIVED	HOLD	
BROKER: HACKEL, HENRY (continued)									
9509	CALL 100 ALPHABET INC CL C	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	11.70	NO	
9510	CALL 100 ALPHABET INC CL C	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	11.70	NO	
9511	CALL 100 ALPHABET INC CL C	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	11.70	NO	
12211	CALL 100 ALPHABET INC CL C	KOONDEL	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	14.25	NO	
12212	CALL 100 ALPHABET INC CL C	KOONDEL	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	14.25	NO	
10808	CALL 100 ALPHABET INC CL C	KOONDEL	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	12.55	NO	
10809	CALL 100 ALPHABET INC CL C	KOONDEL	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	14.25	NO	
10810	CALL 100 ALPHABET INC CL C	ASSOCIATES, BKI	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	11.70	NO	
10811	CALL 100 ALPHABET INC CL C	ASSOCIATES, BKI	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	12.55	NO	
9256	CALL 100 APPLE INC COM EXP	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO	
9257	CALL 100 APPLE INC COM EXP	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	26.15	NO	
9505	CALL 100 APPLE INC COM EXP	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	16.80	NO	
9506	CALL 100 APPLE INC COM EXP	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	21.05	NO	
9647	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	11.70	NO	
9648	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	21.90	NO	
9649	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	11.70	NO	
9650	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	19.35	NO	
9651	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	11.70	NO	
9652	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	19.35	NO	
9781	CALL 100 APPLE INC COM EXP	KOONDEL	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	12.55	NO	
9782	CALL 100 APPLE INC COM EXP	KOONDEL	109 PERGTDE - 01/10/2017	01/10/2017	01/25/2017	0.00	-11.70	NO	
9783	CALL 100 APPLE INC COM EXP	KOONDEL	109 PERGTDE - 01/10/2017	01/10/2017	01/25/2017	0.00	11.70	NO	
9784	CALL 100 APPLE INC COM EXP	KOONDEL	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	12.55	NO	
9967	CALL 100 APPLE INC COM EXP	KOONDEL	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.20	NO	
9968	CALL 100 APPLE INC COM EXP	KOONDEL	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	20.20	NO	
9969	CALL 100 APPLE INC COM EXP	KOONDEL	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	22.75	NO	
10165	CALL 100 APPLE INC COM EXP	KOONDEL	111 PERGTDE - 01/12/2017	01/10/2017	01/25/2017	0.00	-11.70	NO	
10166	CALL 100 APPLE INC COM EXP	KOONDEL	111 PERGTDE - 01/12/2017	01/10/2017	01/25/2017	0.00	11.70	NO	
10260	CALL 100 APPLE INC COM EXP	KOONDEL	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	33.80	NO	
10353	CALL 100 APPLE INC COM EXP	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	28.70	NO	
10354	CALL 100 APPLE INC COM EXP	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	49.10	NO	
10467	CALL 100 APPLE INC COM EXP	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	11.70	NO	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
10468	CALL 100 APPLE INC COM EXP	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	18.50	NO
10469	CALL 100 APPLE INC COM EXP	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	38.05	NO
9653	CALL 100 APPLE INC COM EXP	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	20.20	NO
9971	CALL 100 APPLE INC COM EXP	KOONDEL	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	18.50	NO
10805	CALL 100 APPLE INC COM EXP	KOONDEL	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	12.55	NO
10806	CALL 100 APPLE INC COM EXP	KOONDEL	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	15.10	NO
10807	CALL 100 APPLE INC COM EXP	KOONDEL	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	17.65	NO
10261	CALL 100 APPLE INC COM EXP	KOONDEL	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	15.95	NO
10357	CALL 100 APPLE INC COM EXP	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	15.10	NO
10470	CALL 100 APPLE INC COM EXP	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	15.10	NO
10992	CALL 100 APPLE INC COM EXP	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	13.40	NO
10993	CALL 100 APPLE INC COM EXP	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	13.40	NO
10355	CALL 100 APPLE INC COM EXP	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	27.85	NO
10356	CALL 100 APPLE INC COM EXP	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	27.85	NO
10471	CALL 100 APPLE INC COM EXP	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	16.80	NO
10472	CALL 100 APPLE INC COM EXP	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	16.80	NO
9258	CALL 100 APPLE INC COM EXP	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	15.10	NO
9785	CALL 100 BANK AMER CORP COM	KOONDEL	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	27.00	NO
10473	CALL 100 BANK AMER CORP COM	KOONDEL	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	18.50	NO
10642	CALL 100 BANK AMER CORP COM	KOONDEL	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	18.50	NO
9261	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
9262	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
9263	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
9264	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
9265	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
9266	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
10996	CALL 100 BANK AMER CORP COM	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	18.50	NO
10997	CALL 100 BANK AMER CORP COM	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	18.50	NO
9259	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
9260	CALL 100 BANK AMER CORP COM	KOONDEL	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	18.50	NO
10998	CALL 100 BANK AMER CORP COM	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	18.50	NO
10999	CALL 100 BANK AMER CORP COM	KOONDEL	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	18.50	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
9970	CALL 100 BANK AMER CORP COM	KOONDEL	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	18.50	NO
10167	CALL 100 BANK AMER CORP COM	KOONDEL	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	35.50	NO
10358	CALL 100 BANK AMER CORP COM	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	44.00	NO
10359	CALL 100 BANK AMER CORP COM	KOONDEL	113 PERGTDE - 01/17/2017	01/18/2017	01/25/2017	0.00	48.25	NO
9284	CALL 100 BIOTIME INC COM EXP	LOWISH, RICHARD M.	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	0.62	NO
9408	CALL 100 BIOTIME INC COM EXP	LOWISH, RICHARD M.	106 PERGTDE - 01/05/2017	01/05/2017	01/25/2017	0.00	-0.62	NO
9409	CALL 100 BIOTIME INC COM EXP	LOWISH, RICHARD M.	106 PERGTDE - 01/05/2017	01/05/2017	01/25/2017	0.00	62.00	NO
9410	CALL 100 BIOTIME INC COM EXP	LOWISH, RICHARD M.	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	188.00	NO
9975	CALL 100 DISNEY WALT CO	JT/WROS, MINNA R.	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	50.00	NO
10172	CALL 100 DISNEY WALT CO	JT/WROS, MINNA R.	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	-50.00	NO
10173	CALL 100 DISNEY WALT CO	JT/WROS, MINNA R.	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9460	CALL 100 ISHARES TR BARCLAYS	HEALY, TIMOTHY J.	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	40.00	NO
11066	CANADIAN DOLLAR CURRENCY	TO B CAPITAL SPECIAL SITU, A	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	0.77	NO
9396	CANCER GENETICS INC COM	KOONDEL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	18.50	NO
9646	CANCER GENETICS INC COM	KOONDEL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	16.80	NO
9780	CANCER GENETICS INC COM	KOONDEL	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	25.30	NO
10682	CANNABIS SCIENCE INC	CAPOLINO, MICHAEL	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	50.00	NO
4508	CAPITAL WORLD GROWTH & INCOME SAG LLC		69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	20.74	NO
4574	CAPITAL WORLD GROWTH & INCOME SAG LLC		70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	20.74	NO
4661	CAPITAL WORLD GROWTH & INCOME SAG LLC		71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	20.74	NO
4761	CAPITAL WORLD GROWTH & INCOME SAG LLC		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	20.74	NO
4881	CAPITAL WORLD GROWTH & INCOME SAG LLC		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	20.74	NO
14592	CAPITAL WORLD GROWTH & INCOME SAG LLC		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	20.74	NO
9197	CELGENE CORP	CORPORATION, VAR G.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9316	CELGENE CORP	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9332	CELLECTAR BIOSCIENCES INC COM	DAVIS, KIM	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9455	CELLECTAR BIOSCIENCES INC COM	DAVIS, KIM	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9169	CEMTREX INC COM PAR \$ 001	GOLDBERG, RONALD	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9549	CEMTREX INC COM PAR \$ 001	CHERNOFF, GLENN I.	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	20.00	NO
10696	CEMTREX INC COM PAR \$ 001	CHERNOFF, GLENN I.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
9880	CLIFFS NATURAL RESOURCES INC	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9881	CLIFFS NATURAL RESOURCES INC	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
12159	COCA COLA COMPANY (THE)	PARTNERS, FHANNA	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12269	COCA COLA COMPANY (THE)	PARTNERS, FHANNA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
4450	COLUMBIA SELIGMAN	VOGEL, NEALA S.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	4.81	NO
4509	COLUMBIA SELIGMAN	VOGEL, NEALA S.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	4.81	NO
4575	COLUMBIA SELIGMAN	VOGEL, NEALA S.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	4.81	NO
4662	COLUMBIA SELIGMAN	VOGEL, NEALA S.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	4.81	NO
4762	COLUMBIA SELIGMAN	VOGEL, NEALA S.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	4.81	NO
4882	COLUMBIA SELIGMAN	VOGEL, NEALA S.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	4.81	NO
14593	COLUMBIA SELIGMAN	VOGEL, NEALA S.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.81	NO
9852	COMBIMATRIX CORP DEL COM APR	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9853	COMBIMATRIX CORP DEL COM APR	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10019	COMBIMATRIX CORP DEL COM APR	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-20.00	NO
10020	COMBIMATRIX CORP DEL COM APR	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-20.00	NO
10029	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10030	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10031	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10206	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10297	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10298	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10386	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10914	COMBIMATRIX CORP DEL COM APR	PARTNERS, FHANNA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12160	CONATUS PHARMACEUTICALS INC	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
9405	CONOCOPHILLIPS	WAYNE, BRITTANY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9854	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9855	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10021	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-20.00	NO
10022	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-20.00	NO
10032	CREDIT SUISSE AG NASSAU BRH	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10033	CREDIT SUISSE AG NASSAU BRH	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10034	CREDIT SUISSE AG NASSAU BRH	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10207	CREDIT SUISSE AG NASSAU BRH	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10208	CREDIT SUISSE AG NASSAU BRH	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
10299	CREDIT SUISSE AG NASSAU BRH	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10906	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10907	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10908	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10909	CREDIT SUISSE AG NASSAU BRH	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10565	CSX CORPORATION COM	PARTNERS, FHANNA	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10566	CSX CORPORATION COM	PARTNERS, FHANNA	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
9327	CTRIIP.COM INTL LTD AMERICAN	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9328	CTRIIP.COM INTL LTD AMERICAN	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
10360	CV SCIENCES INC COM	RABBITO, RICHARD V.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	35.00	NO
10834	CV SCIENCES INC COM	RABBITO, RICHARD V.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	35.00	NO
12049	DATARAM CORP COM PAR \$ NEW	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
4451	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.59	NO
4510	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.59	NO
4576	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.59	NO
4663	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.59	NO
4763	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.59	NO
4883	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.59	NO
14594	DAVIS NEW YORK VENTURE CLASS	VOGEL, NEALA S.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.59	NO
10701	DEERE & CO	DAVIS, KIM	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10918	DEERE & CO	DAVIS, KIM	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10652	DENTSPLY SIRONA INC COM	PERLA, JOY S.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	35.00	NO
9856	DIFFUSION PHARMACEUTICALS INC	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9857	DIFFUSION PHARMACEUTICALS INC	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10385	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
10498	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	20.00	NO
12062	DIREXION SHARES ETF TRUST	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12168	DIREXION SHARES ETF TRUST	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
9202	DIREXION SHARES ETF TRUST	PARTNERS, FHANNA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
10560	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10697	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
12061	DIREXION SHARES ETF TRUST	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
12167	DIREXION SHARES ETF TRUST	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12270	DIREXION SHARES ETF TRUST	PARTNERS, FHANNA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12271	DIREXION SHARES ETF TRUST	PARTNERS, FHANNA	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9209	DIREXION SHARES ETF TRUST	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	20.00	NO
9210	DIREXION SHARES ETF TRUST	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	-9.66	NO
9211	DIREXION SHARES ETF TRUST	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	-10.34	NO
10910	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10911	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12050	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12063	DIREXION SHARES ETF TRUST	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12064	DIREXION SHARES ETF TRUST	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12154	DIREXION SHARES ETF TRUST	CORPORATION, VAR G.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12169	DIREXION SHARES ETF TRUST	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12170	DIREXION SHARES ETF TRUST	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12281	DIREXION SHARES ETF TRUST	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	9.66	NO
12282	DIREXION SHARES ETF TRUST	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	10.34	NO
12283	DIREXION SHARES ETF TRUST	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9198	DIREXION SHS ETF TR DAILY	CORPORATION, VAR G.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
12265	DIREXION SHS ETF TR DAILY	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12060	DIREXION SHS ETF TR DAILY	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12166	DIREXION SHS ETF TR DAILY	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
10497	DIREXION SHS ETF TR DAILY	CORPORATION, VAR G.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10558	DIREXION SHS ETF TR DAILY	CORPORATION, VAR G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10559	DIREXION SHS ETF TR DAILY	CORPORATION, VAR G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10209	DIREXION SHS ETF TR DIREXION	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10300	DIREXION SHS ETF TR DIREXION	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
12279	DIREXION SHS ETF TR ENERGY	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12280	DIREXION SHS ETF TR ENERGY	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9135	DOMINION RESOURCES INC VA NEW	THOMPSON, MARY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	100.00	NO
12171	DOMINOS PIZZA INC	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12172	DOMINOS PIZZA INC	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
10564	DRYSHIPS INC COM PAR \$0.01	PARTNERS, FHANNA	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
9136	DUKE ENERGY CORPORATION	THOMPSON, MARY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9317	DYNAVAX TECHNOLOGIES CORP	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9447	DYNAVAX TECHNOLOGIES CORP	CORPORATION, VAR G.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
10301	DYNEGY INC	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10387	DYNEGY INC	PARTNERS, FHANNA	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	20.00	NO
9983	ENERGY EDGE TECHNOLOGIES CORP	MERDINGER, STANLEY	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	75.00	NO
10302	ENPHASE ENERGY INC	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10303	ENPHASE ENERGY INC	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
12051	ENTEROMEDICS INC COM PAR \$0	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12155	ENTEROMEDICS INC COM PAR \$0	CORPORATION, VAR G.	128 PERGTDE - 12/29/2016	01/03/2017	01/25/2017	0.00	-20.00	NO
9448	ENTEROMEDICS INC COM PAR	CORPORATION, VAR G.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9858	ENTEROMEDICS INC COM PAR	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9859	ENTEROMEDICS INC COM PAR	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
12156	ENTEROMEDICS INC COM PAR	CORPORATION, VAR G.	128 PERGTDE - 12/29/2016	01/03/2017	01/25/2017	0.00	20.00	NO
10702	EQT CORPORATION	DAVIS, KIM	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10919	EQT CORPORATION	DAVIS, KIM	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
9665	EXCEL CORP COM	MERDINGER, STANLEY	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	75.00	NO
12238	EXCEL CORP COM	MERDINGER, STANLEY	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	75.00	NO
9129	EXXON MOBIL CORPORATION	BRENNAN, LUCY J.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	187.50	NO
10881	FACEBOOK INC CL A	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
10915	FACEBOOK INC CL A	PARTNERS, FHANNA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
4416	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	5.67	NO
4452	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.67	NO
4511	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.67	NO
4577	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.67	NO
4665	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.67	NO
4765	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.67	NO
4885	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.67	NO
14596	FINANCIAL INDUSTRIES A	MANOS, WAYNE M.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.67	NO
4222	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	0.37	NO
4394	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	0.37	NO
4414	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.37	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
4447	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.37	NO
4505	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.37	NO
4570	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.37	NO
4652	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.37	NO
4752	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.37	NO
4871	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.37	NO
14582	FIRST EAGLE GLOBAL FUND CLASS WOLLOCH, PSP M.		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.37	NO
10801	FORD MOTOR CO PAR \$0.01	KOONDEL	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	27.00	NO
12291	GAMESTOP CORP NEW CLASS A	HEALY, TIMOTHY J.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	120.00	NO
10882	GENERAL ELECTRIC CO	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
9860	GENVEC INC COMMON STOCK	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9861	GENVEC INC COMMON STOCK	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10912	GLOBAL X FDS GLOBAL X URANIUM	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12052	GOLDFIELD CORP	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
4664	GOLDMAN SACHS CAPITAL GROWTH	MANOS, WAYNE M.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	6.66	NO
4764	GOLDMAN SACHS CAPITAL GROWTH	MANOS, WAYNE M.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	6.66	NO
4884	GOLDMAN SACHS CAPITAL GROWTH	MANOS, WAYNE M.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	6.66	NO
14595	GOLDMAN SACHS CAPITAL GROWTH	MANOS, WAYNE M.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	6.66	NO
10802	GRAMERCY PPTY TR COM NEW	KOONDEL	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	10.85	NO
9204	HALCON RES CORP COM PAR\$ NEW	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
12272	HALCON RES CORP COM PAR\$ NEW	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12273	HALCON RES CORP COM PAR\$ NEW	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9446	HARMONY MERGER CORP UNIT 1	CHERNOFF, GLENN I.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
10500	HEALTH EQUITY INC COM	PARTNERS, FHANNA	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10567	HEALTH EQUITY INC COM	PARTNERS, FHANNA	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
9862	HEAT BIOLOGICS INC COM	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10023	HEAT BIOLOGICS INC COM	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
4666	HENDERSON EUROPEAN FOCUS FUND	MANOS, WAYNE M.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	9.28	NO
4766	HENDERSON EUROPEAN FOCUS FUND	MANOS, WAYNE M.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	9.28	NO
4886	HENDERSON EUROPEAN FOCUS FUND	MANOS, WAYNE M.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	9.28	NO
14597	HENDERSON EUROPEAN FOCUS FUND	MANOS, WAYNE M.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	9.28	NO
10035	HUDSON TECHNOLOGIES INC	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
10210	HUDSON TECHNOLOGIES INC	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10875	HUNTSMAN CORP	WOLLOCH, PSP M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10202	IMMUNOMEDICS INC COM	CORPORATION, VAR G.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10294	IMMUNOMEDICS INC COM	CORPORATION, VAR G.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
9212	IMPERVA INC COM	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
12284	IMPERVA INC COM	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
10018	INSPIRED ENTMT INC COM	CHERNOFF, GLENN I.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10201	INSPIRED ENTMT INC COM	CHERNOFF, GLENN I.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
9863	INSPIREMD INC COM PAR \$0.0001	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9864	INSPIREMD INC COM PAR \$0.0001	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9329	INTRA-CELLULAR THERAPIES INC	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9453	INTRA-CELLULAR THERAPIES INC	PARTNERS, FHANNA	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
4659	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	6.98	NO
4660	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	6.98	NO
4759	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	6.98	NO
4760	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	6.98	NO
4879	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	6.98	NO
4880	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	6.98	NO
14590	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	6.98	NO
14591	INVESCO GROWTH AND INCOME	CUSTODIAN, DIANNE D.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	6.98	NO
9704	ISHARES IBOX \$ HIGH YIELD	HEALY, TIMOTHY J.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	66.00	NO
9203	ISHARES NASDAQ BIOTECHNOLOGY	PARTNERS, FHANNA	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9330	ISHARES NASDAQ BIOTECHNOLOGY	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
10127	J P MORGAN CHASE & CO	ROSE JACKSON MILBANK TRUS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	106.80	NO
10328	J P MORGAN CHASE & CO	HENRY L THOMPSON	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	100.00	NO
10330	J P MORGAN CHASE & CO	THOMPSON, MARY	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	35.00	NO
10568	JD.COM INC SPONSORED ADR	PARTNERS, FHANNA	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10699	JD.COM INC SPONSORED ADR	PARTNERS, FHANNA	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
12173	KIMBERLY CLARK CORP	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12285	KIMBERLY CLARK CORP	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
10555	LEGACY ED ALLIANCE INC COM	KOLENIK, PATRICK	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	40.00	NO
10694	LEGACY ED ALLIANCE INC COM	KOLENIK, PATRICK	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	40.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
12146	LEGACY ED ALLIANCE INC COM	KOLENIK, PATRICK	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	40.00	NO
12253	LEGACY ED ALLIANCE INC COM	KOLENIK, PATRICK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	40.00	NO
9373	LILLY ELI & CO	MARIAN RUSSEL LARKIN TRUS	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	114.00	NO
10217	LILLY ELI & CO	DAVIS, KIM	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10218	LILLY ELI & CO	DAVIS, KIM	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10306	LILLY ELI & CO	DAVIS, KIM	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
9660	MADALENA ENERGY INC COM	FRIED, ALLAN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	287.57	NO
12018	MADALENA ENERGY INC COM	FRIED, ALLAN	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	211.93	NO
12065	MAGELLAN PETE CORP COM NEW	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12174	MAGELLAN PETE CORP COM NEW	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
10361	MAGNEGAS CORP COM NEW	RABBITO, RICHARD V.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	35.00	NO
10219	MARINUS PHARMACEUTICALS INC	DAVIS, KIM	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10220	MARINUS PHARMACEUTICALS INC	DAVIS, KIM	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10307	MARINUS PHARMACEUTICALS INC	DAVIS, KIM	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
9132	MCCORMICK & CO INC NON-VOTING	HENRY L THOMPSON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9137	MCCORMICK & CO INC NON-VOTING	THOMPSON, MARY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	150.00	NO
10329	MCCORMICK & CO INC NON-VOTING	HENRY L THOMPSON	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	100.00	NO
10203	MEMORIAL PRODTN PARTNERS LP	CORPORATION, VAR G.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10295	MEMORIAL PRODTN PARTNERS LP	CORPORATION, VAR G.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
4453	MFS RESEARCH FUND-A	VOGEL, NEALA S.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.02	NO
4512	MFS RESEARCH FUND-A	VOGEL, NEALA S.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.02	NO
4578	MFS RESEARCH FUND-A	VOGEL, NEALA S.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.02	NO
4667	MFS RESEARCH FUND-A	VOGEL, NEALA S.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.02	NO
4767	MFS RESEARCH FUND-A	VOGEL, NEALA S.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.02	NO
4887	MFS RESEARCH FUND-A	VOGEL, NEALA S.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.02	NO
14598	MFS RESEARCH FUND-A	VOGEL, NEALA S.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.02	NO
12152	MOBILEYE N V ORD SHS	CORPORATION, VAR G.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12153	MOBILEYE N V ORD SHS	CORPORATION, VAR G.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12260	MOBILEYE N V ORD SHS	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9449	MONSTER DIGITAL INC COM PAR	CORPORATION, VAR G.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
12053	MONSTER DIGITAL INC COM PAR	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9334	NETFLIX COM INC	DAVIS, KIM	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
9456	NETFLIX COM INC	DAVIS, KIM	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9865	NEURALSTEM INC COM NEW	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9866	NEURALSTEM INC COM NEW	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10024	NEURALSTEM INC COM NEW	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10499	NEURALSTEM INC COM NEW	CORPORATION, VAR G.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	20.00	NO
10561	NEURALSTEM INC COM NEW	CORPORATION, VAR G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
9525	NEXT GROUP HLDGS INC COM	MERDINGER, STANLEY	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	75.00	NO
9526	NEXT GROUP HLDGS INC COM	MERDINGER, STANLEY	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	75.00	NO
9666	NEXT GROUP HLDGS INC COM	MERDINGER, STANLEY	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	75.00	NO
12067	NORTHERN DYNASTY MINERALS LTD	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9661	NORTHWEST BIOTHERAPEUTICS INC	RABBITO, RICHARD V.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	35.00	NO
9793	NORTHWEST BIOTHERAPEUTICS INC	RABBITO, RICHARD V.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	35.00	NO
10913	NUTANIX INC CL A	CORPORATION, VAR G.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
11052	NUTANIX INC CL A	CORPORATION, VAR G.	118 PERGTDE - 01/24/2017	01/26/2017	01/25/2017	0.00	-20.00	NO
11059	NUTANIX INC CL A	PARTNERS, FHANNA	118 PERGTDE - 01/24/2017	01/26/2017	01/25/2017	0.00	20.00	NO
9280	NUTRAFUELS INC COM	WAYNE, BRITTANY	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	50.00	NO
9406	NUTRAFUELS INC COM	WAYNE, BRITTANY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9213	NVIDIA CORP	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9214	NVIDIA CORP	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
12068	NVIDIA CORP	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12069	NVIDIA CORP	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12175	NVIDIA CORP	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12176	NVIDIA CORP	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12266	NVIDIA CORP	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12267	NVIDIA CORP	CORPORATION, VAR G.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
10204	OPEXA THERAPEUTICS INC COM	CORPORATION, VAR G.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10205	OPEXA THERAPEUTICS INC COM	CORPORATION, VAR G.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10296	OPEXA THERAPEUTICS INC COM	CORPORATION, VAR G.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
9162	OPKO HEALTH INC	KOONDEL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	22.75	NO
9296	OPKO HEALTH INC	WOLLOCH, PSP M.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9397	OPKO HEALTH INC	KOONDEL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	19.35	NO
10352	OPKO HEALTH INC	KOONDEL	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	16.80	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
10465	OPKO HEALTH INC	KOONDEL	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	11.91	NO
10536	OPKO HEALTH INC	KOONDEL	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	13.40	NO
10641	OPKO HEALTH INC	KOONDEL	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	11.49	NO
10803	OPKO HEALTH INC	KOONDEL	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	14.68	NO
12210	OPKO HEALTH INC	KOONDEL	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	37.20	NO
12055	PAN AMERICAN SILVER CORP	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
10804	PATTERSON COS INC COM	KOONDEL	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	13.40	NO
10128	PROGRESSIVE CORP OH COM	ROSE JACKSON MILBANK TRUS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	214.32	NO
9867	PROSHARES TR II ULTRA VIX	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9868	PROSHARES TR II ULTRA VIX	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10920	PROSHARES TR PROSHARES ULTRA	DAVIS, KIM	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12070	PROSHARES TR PROSHARES	DAVIS, KIM	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
12177	PROSHARES TR PROSHARES	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
10562	PROSHARES TRUST II PROSHARES	CORPORATION, VAR G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10563	PROSHARES TRUST II PROSHARES	CORPORATION, VAR G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO
10698	PROSHARES TRUST II PROSHARES	CORPORATION, VAR G.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
9215	PROSHARES ULTRASHORT QQQ	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
12286	PROSHARES ULTRASHORT QQQ	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
12116	PROVISION HLDG INC COM	RABBITO, RICHARD V.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	35.00	NO
9398	PUT 100 AMAZON COM INC EXP	KOONDEL	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	11.70	NO
9399	PUT 100 AMAZON COM INC EXP	KOONDEL	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	10.85	NO
9507	PUT 100 APPLE INC COM EXP	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	27.00	NO
9508	PUT 100 APPLE INC COM EXP	KOONDEL	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	27.00	NO
9163	PUT 100 CME GROUP INC COM EXP	KOONDEL	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	11.70	NO
9164	PUT 100 CME GROUP INC COM EXP	KOONDEL	104 PERGTDE - 01/03/2017	01/04/2017	01/25/2017	0.00	11.70	NO
9786	PUT 100 CME GROUP INC COM EXP	KOONDEL	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	11.70	NO
10168	PUT 100 CME GROUP INC COM EXP	KOONDEL	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	11.70	NO
9787	PUT 100 CME GROUP INC COM EXP	KOONDEL	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	11.70	NO
9654	PUT 100 FORD MOTOR CO DEL COM	KOONDEL	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	27.00	NO
4769	PUTNAM 746802800	MANOS, WAYNE M.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.54	NO
4889	PUTNAM 746802800	MANOS, WAYNE M.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.54	NO
14600	PUTNAM 746802800	MANOS, WAYNE M.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.54	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
4770	PUTNAM 746809102	VOGEL, NEALA S.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.33	NO
4890	PUTNAM 746809102	VOGEL, NEALA S.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.33	NO
14601	PUTNAM 746809102	VOGEL, NEALA S.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.33	NO
9524	QED CONNECT INC	MERDINGER, NATALIE	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	166.60	NO
9882	QORVO INC COM	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10036	QORVO INC COM	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10916	RANDGOLD RESOURCES LTD ADR	PARTNERS, FHANNA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
12178	RAYTHEON CO	DAVIS, KIM	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
12287	RAYTHEON CO	DAVIS, KIM	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	20.00	NO
9504	REGEN BIOPHARMA INC PFD SER A KOONDEL		107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	22.75	NO
10466	REGEN BIOPHARMA INC PFD SER A KOONDEL		114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	27.00	NO
10037	RENNOVA HEALTH INC COM	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10211	RENNOVA HEALTH INC COM	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
9318	REX ENERGY CORP	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9450	REX ENERGY CORP	CORPORATION, VAR G.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
4771	RMB MENDON FINANCIAL LNG/SHRT MANOS, WAYNE M.		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	9.73	NO
4891	RMB MENDON FINANCIAL LNG/SHRT MANOS, WAYNE M.		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	9.73	NO
14602	RMB MENDON FINANCIAL LNG/SHRT MANOS, WAYNE M.		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	9.73	NO
4772	RMB MENDON FINANCIAL SERVICES MANOS, WAYNE M.		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	16.41	NO
4892	RMB MENDON FINANCIAL SERVICES MANOS, WAYNE M.		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	16.41	NO
14603	RMB MENDON FINANCIAL SERVICES MANOS, WAYNE M.		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	16.41	NO
4768	ROCHESTER NATIONAL MUNICIPALS MCGOWAN, DIANE S.		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.48	NO
4888	ROCHESTER NATIONAL MUNICIPALS MCGOWAN, DIANE S.		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.48	NO
14599	ROCHESTER NATIONAL MUNICIPALS MCGOWAN, DIANE S.		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.48	NO
4396	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	5.40	NO
4417	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	5.40	NO
4454	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.40	NO
4513	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.40	NO
4579	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.40	NO
4668	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.40	NO
4773	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.40	NO
4893	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.40	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: HACKEL, HENRY (continued)								
14604	RYDEX DYNAMIC STRENGTH DOLLAR FAMILY, SAC G.		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.40	NO
9869	SECTOR SPDR TRUST SBI CORPORATION, VAR G.		109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9870	SECTOR SPDR TRUST SBI CORPORATION, VAR G.		109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10025	SECTOR SPDR TRUST SBI CORPORATION, VAR G.		110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-20.00	NO
10026	SECTOR SPDR TRUST SBI CORPORATION, VAR G.		110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-20.00	NO
10038	SECTOR SPDR TRUST SBI PARTNERS, FHANNA		110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10039	SECTOR SPDR TRUST SBI PARTNERS, FHANNA		110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10683	SEQUANS COMMUNICATIONS S A	CAPOLINO, MICHAEL	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	50.00	NO
12056	SHOPIFY INC CL A	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
9331	SPDR SER TR S&P BIOTECH ETF	PARTNERS, FHANNA	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9454	SPDR SER TR S&P BIOTECH ETF	PARTNERS, FHANNA	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9513	SPHERE 3D CORP NEW COM	RABBITO, RICHARD V.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	35.00	NO
12117	SPHERE 3D CORP NEW COM	RABBITO, RICHARD V.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	35.00	NO
9794	STAGE STORES INC COM NEW	RABBITO, RICHARD V.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	35.00	NO
10537	STAGE STORES INC COM NEW	RABBITO, RICHARD V.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	35.00	NO
9662	SUPERCONDUCTOR TECHNOLOGIES	RABBITO, RICHARD V.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	35.00	NO
9281	SURNA INC COM	RABBITO, RICHARD V.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	35.00	NO
10174	SURNA INC COM	RABBITO, RICHARD V.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	35.00	NO
10362	SURNA INC COM	RABBITO, RICHARD V.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	35.00	NO
12118	SYSOREX GLOBAL COM	RABBITO, RICHARD V.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	35.00	NO
9883	TECK RESOURCES LIMITED SUB	PARTNERS, FHANNA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10040	TECK RESOURCES LIMITED SUB	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10041	TECK RESOURCES LIMITED SUB	PARTNERS, FHANNA	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
10212	TECK RESOURCES LIMITED SUB	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
9514	TETRALOGIC PHARMACEUTICALS	RABBITO, RICHARD V.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	35.00	NO
12119	TETRALOGIC PHARMACEUTICALS	RABBITO, RICHARD V.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	35.00	NO
9216	TEVA PHARMACEUTICAL	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9335	TEVA PHARMACEUTICAL	DAVIS, KIM	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9130	THOR INDS INC	BRENNAN, LUCY J.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	120.00	NO
9871	TORCHLIGHT ENERGY RES INC COM	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9170	TRANS LUX CORPORATION	MILLNER, STEVEN	104 PERGTDE - 01/03/2017	01/05/2017	01/25/2017	0.00	50.00	NO
10213	TRANSGENOMIC INC COM NEW	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
10214	TRANSGENOMIC INC COM NEW	PARTNERS, FHANNA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	20.00	NO
10883	UNITED CONTINENTAL HLDGS INC	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
10884	UNITED STATES OIL FUND LP	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
10241	UNITED STATES TREAS BILLS	SWEET, ADELE HALL	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	50.00	NO
10242	UNITED STATES TREAS BILLS	ADELE HALL SWEET TRUST	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	250.00	NO
10243	UNITED STATES TREAS BILLS	FREDERIC LEOPOLD CO-TTEES	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	125.00	NO
10331	UNITED STATES TREAS BILLS	SWEET, ADELE HALL	113 PERGTDE - 01/17/2017	01/17/2017	01/25/2017	0.00	-50.00	NO
10332	UNITED STATES TREAS BILLS	SWEET, ADELE HALL	113 PERGTDE - 01/17/2017	01/17/2017	01/25/2017	0.00	50.00	NO
10333	UNITED STATES TREAS BILLS	ADELE HALL SWEET TRUST	113 PERGTDE - 01/17/2017	01/17/2017	01/25/2017	0.00	-250.00	NO
10334	UNITED STATES TREAS BILLS	ADELE HALL SWEET TRUST	113 PERGTDE - 01/17/2017	01/17/2017	01/25/2017	0.00	250.00	NO
10335	UNITED STATES TREAS BILLS	FREDERIC LEOPOLD CO-TTEES	113 PERGTDE - 01/17/2017	01/17/2017	01/25/2017	0.00	-125.00	NO
10336	UNITED STATES TREAS BILLS	FREDERIC LEOPOLD CO-TTEES	113 PERGTDE - 01/17/2017	01/17/2017	01/25/2017	0.00	125.00	NO
10445	UNITED STATES TREAS BILLS	SWEET, ADELE HALL	114 PERGTDE - 01/18/2017	01/17/2017	01/25/2017	0.00	-50.00	NO
10446	UNITED STATES TREAS BILLS	SWEET, ADELE HALL	114 PERGTDE - 01/18/2017	01/17/2017	01/25/2017	0.00	50.00	NO
10447	UNITED STATES TREAS BILLS	ADELE HALL SWEET TRUST	114 PERGTDE - 01/18/2017	01/17/2017	01/25/2017	0.00	-250.00	NO
10448	UNITED STATES TREAS BILLS	ADELE HALL SWEET TRUST	114 PERGTDE - 01/18/2017	01/17/2017	01/25/2017	0.00	250.00	NO
10449	UNITED STATES TREAS BILLS	FREDERIC LEOPOLD CO-TTEES	114 PERGTDE - 01/18/2017	01/17/2017	01/25/2017	0.00	-125.00	NO
10450	UNITED STATES TREAS BILLS	FREDERIC LEOPOLD CO-TTEES	114 PERGTDE - 01/18/2017	01/17/2017	01/25/2017	0.00	125.00	NO
9512	UNITED STATES TREAS BILLS	CARROLL, DAVID	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	50.00	NO
9205	UNIVERSAL HEALTH SVCS INC CL	DAVIS, KIM	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9333	UNIVERSAL HEALTH SVCS INC CL	DAVIS, KIM	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9199	URANIUM ENERGY CORP	CORPORATION, VAR G.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9290	URANIUM ENERGY CORP	RINGER, DENNIS H.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	65.00	NO
9319	URANIUM ENERGY CORP	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9420	URANIUM ENERGY CORP	RINGER, DENNIS H.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	65.00	NO
9320	URANIUM RES INC COM PAR \$.001	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9451	URANIUM RES INC COM PAR \$.001	CORPORATION, VAR G.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	20.00	NO
9872	URANIUM RES INC COM PAR \$.001	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9873	URANIUM RES INC COM PAR \$.001	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10304	URANIUM RES INC COM PAR \$.001	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10305	URANIUM RES INC COM PAR \$.001	PARTNERS, FHANNA	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	20.00	NO
10569	URANIUM RES INC COM PAR \$.001	PARTNERS, FHANNA	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	20.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, HENRY (continued)</i>								
10700	URANIUM RES INC COM PAR \$.001	PARTNERS, FHANNA	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	20.00	NO
10917	URANIUM RES INC COM PAR \$.001	PARTNERS, FHANNA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	20.00	NO
10885	VALERO ENERGY CORP NEW	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	35.00	NO
10886	VALERO ENERGY CORP NEW	DARWISH, MENACHEM M.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	35.00	NO
12057	VANECK VECTORS ETF TR JR GOLD	CORPORATION, VAR G.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	20.00	NO
10130	VANGUARD INFO TECH VIPERS	ROSE JACKSON MILBANK TRUS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	114.00	NO
10129	VANGUARD WORLD FUNDS VANGUARD	ROSE JACKSON MILBANK TRUS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	102.00	NO
9297	VODAFONE GROUP PLC SPONSORED	WOLLOCH, PSP M.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
10443	WALT DISNEY CO	MOZAIC LLC	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	111.00	NO
9133	WD 40 CO	HENRY L THOMPSON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	75.00	NO
9138	WD 40 CO	THOMPSON, MARY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	125.00	NO
9134	WELLS FARGO & CO	HENRY L THOMPSON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	200.00	NO
9139	WELLS FARGO & CO	THOMPSON, MARY	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	420.00	NO
10131	WELLS FARGO & CO	ROSE JACKSON MILBANK TRUS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	123.00	NO
4418	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	1.76	NO
4455	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	1.76	NO
4514	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.76	NO
4580	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.76	NO
4669	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.76	NO
4774	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.76	NO
4894	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.76	NO
14605	WELLS FARGO OPPORTUNITY FD	OPPELT, MARION	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.76	NO
9200	XG TECHNOLOGY INC COM	CORPORATION, VAR G.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	20.00	NO
9321	XG TECHNOLOGY INC COM	CORPORATION, VAR G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	20.00	NO
9874	XG TECHNOLOGY INC COM	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
9875	XG TECHNOLOGY INC COM	CORPORATION, VAR G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	20.00	NO
10027	XG TECHNOLOGY INC COM	CORPORATION, VAR G.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	20.00	NO
12157	XG TECHNOLOGY INC COM	CORPORATION, VAR G.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	20.00	NO
9407	ZYNGA INC CL A	WAYNE, BRITTANY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
* HACKEL, HENRY TOTAL *						0.00	14,250.46	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HACKEL, ROBERT</i>								
4872	HEARTLAND SELECT VALUE FUND	RUDOLPH, BRIAN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.01	NO
14583	HEARTLAND SELECT VALUE FUND	RUDOLPH, BRIAN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.01	NO
* HACKEL, ROBERT TOTAL *						0.00	0.02	
<i>BROKER: HAMILTON, CHRISTOPHER</i>								
842	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		39	01/06/2017	01/09/2017	0.00	0.03	NO
844	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		41	01/09/2017	01/10/2017	0.00	0.03	NO
846	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		43	01/10/2017	01/11/2017	0.00	0.03	NO
4226	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	0.03	NO
4401	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	0.03	NO
4427	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.03	NO
4480	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.03	NO
4545	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.03	NO
4613	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.03	NO
4706	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.03	NO
4825	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.03	NO
4945	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.03	NO
14660	GABELLI SMALL-CAP GROWTH FUND KARP, SELWYN		131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.03	NO
12142	J P MORGAN CHASE & CO	KARP, SELWYN	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	50.00	NO
12143	VANECK VECTORS ETF TR JR GOLD KARP, JEFFREY		128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	50.00	NO
9308	VOYA EMERGING MKTS HIGH DIVID KARP, JEFFREY		105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	100.00	NO
* HAMILTON, CHRISTOPHER TOTAL *						0.00	200.39	
<i>BROKER: HEIDENREICH, JOHN</i>								
9845	AKERS BIOSCIENCES INC COM	GOULD, PETER C.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	0.00	NO
12151	DIREXION SHARES ETF TRUST	GOULD, PETER C.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	303.94	NO
4707	GOLDMAN SACHS HIGH YIELD	COHEN, MICHAEL	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	22.26	NO
4826	GOLDMAN SACHS HIGH YIELD	COHEN, MICHAEL	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	22.26	NO
4946	GOLDMAN SACHS HIGH YIELD	COHEN, MICHAEL	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	22.26	NO
14661	GOLDMAN SACHS HIGH YIELD	COHEN, MICHAEL	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	22.26	NO
12258	KADMON HOLDINGS INC COMMON	GOULD, PETER C.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	10.00	82.05	NO
9707	MY SIZE INC COM	YALINEWITZ, BOAZ	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE	DATE	CHARGE	COMMISSION	
				RECEIVED	POSTED		RECEIVED	HOLD
BROKER: HEIDENREICH, JOHN (continued)								
9888	MY SIZE INC COM	YALINEWITZ, BOAZ	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	50.00	NO
10506	MY SIZE INC COM	YALINEWITZ, BOAZ	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	5.00	NO
10507	MY SIZE INC COM	YALINEWITZ, BOAZ	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	45.00	NO
10574	MY SIZE INC COM	YALINEWITZ, BOAZ	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	50.00	NO
10575	MY SIZE INC COM	YALINEWITZ, BOAZ	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	50.00	NO
10576	MY SIZE INC COM	YALINEWITZ, BOAZ	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	-5.00	NO
10577	MY SIZE INC COM	YALINEWITZ, BOAZ	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	-45.00	NO
12259	PROSHARES TR ULTRAPRO SHORT	GOULD, PETER C.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	10.00	301.11	NO
9348	VIEWRAY INC COM	MAT9 LLC	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	2.02	NO
10071	VIEWRAY INC COM	MAT9 LLC	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	10.00	3.76	NO
10234	VIEWRAY INC COM	MAT9 LLC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	106.48	NO
10235	VIEWRAY INC COM	MAT9 LLC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	53.24	NO
10315	VIEWRAY INC COM	MAT9 LLC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	3.33	NO
10599	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	52.50	NO
10600	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	43.75	NO
10601	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	87.51	NO
10602	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	43.75	NO
10603	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	262.53	NO
10604	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	35.01	NO
10605	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	175.01	NO
10606	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	43.75	NO
10607	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	87.52	NO
10608	VIEWRAY INC COM	MAT9 LLC	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	43.75	NO
12190	VIEWRAY INC COM	MAT9 LLC	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	118.67	NO
12191	VIEWRAY INC COM	MAT9 LLC	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	94.83	NO
* HEIDENREICH, JOHN TOTAL *						290.00	2,233.55	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM</i>								
58	*MERIDIAN VALUE FUND		7 AMERICAN FUNDS	04/25/2016	02/02/2015	0.00	1.00	YES
9789	ACACIA COMMUNICATIONS INC	COM MERKIN VENTURE MANAGEMENT	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9837	ACACIA COMMUNICATIONS INC	COM MERKIN, DAPHNE	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9838	ACACIA COMMUNICATIONS INC	COM MERKIN, DAVID E.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9839	ACACIA COMMUNICATIONS INC	COM GERBER, DEBRA	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9972	ACACIA COMMUNICATIONS INC	COM MERKIN VENTURE MANAGEMENT	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
9998	ACACIA COMMUNICATIONS INC	COM MERKIN FAMILY TRUST, SOLOMON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
9999	ACACIA COMMUNICATIONS INC	COM MERKIN FAMILY TRUST, SOLOMON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10011	ACACIA COMMUNICATIONS INC	COM MERKIN, DAPHNE	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10185	ACACIA COMMUNICATIONS INC	COM MERKIN FAMILY TRUST, SOLOMON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10194	ACACIA COMMUNICATIONS INC	COM MERKIN, DAPHNE	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10195	ACACIA COMMUNICATIONS INC	COM MERKIN, DAVID E.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10196	ACACIA COMMUNICATIONS INC	COM GERBER, DEBRA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10280	ACACIA COMMUNICATIONS INC	COM MERKIN FAMILY TRUST, SOLOMON	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	7.00	32.00	NO
10282	ACACIA COMMUNICATIONS INC	COM MERKIN, SHARON	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	7.00	32.00	NO
10551	ACACIA COMMUNICATIONS INC	COM MERKIN, SHARON	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	7.00	32.00	NO
10895	ACACIA COMMUNICATIONS INC	COM MERKIN FAMILY TRUST, SOLOMON	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
53	AF 02630T548		7 AMERICAN FUNDS	04/26/2016	12/12/2014	0.00	500.00	YES
54	AF 02630T548		7 AMERICAN FUNDS	04/25/2016	12/12/2014	0.00	50.00	YES
55	AF 02630T548		7 AMERICAN FUNDS	04/25/2016	12/12/2014	0.00	1.00	YES
9673	AGIOS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9685	AGIOS PHARMACEUTICALS INC	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9817	AGIOS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9826	AGIOS PHARMACEUTICALS INC	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9995	AGIOS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10004	AGIOS PHARMACEUTICALS INC	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10189	AGIOS PHARMACEUTICALS INC	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10190	AGIOS PHARMACEUTICALS INC	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10491	AGIOS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	7.00	32.00	NO
10494	AGIOS PHARMACEUTICALS INC	MERKIN, SHARON	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	7.00	32.00	NO
10549	AGIOS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	7.00	32.00	NO
10888	AGIOS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
10003	ALLERGAN PLC	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	36.00	NO
10183	ALLERGAN PLC	MERKIN FAMILY TRUST, SOLOMON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10184	ALLERGAN PLC	MERKIN FAMILY TRUST, SOLOMON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10187	ALLERGAN PLC	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10188	ALLERGAN PLC	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10378	ALLERGAN PLC	MERKIN, SHARON	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10493	ALLERGAN PLC	MERKIN, SHARON	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	7.00	32.00	NO
10691	ALLERGAN PLC	MERKIN, SHARON	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	35.00	NO
9534	AMAZON.COM INC	MERKIN FAMILY TRUST, SOLOMON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9535	AMAZON.COM INC	MERKIN FAMILY TRUST, SOLOMON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9539	AMAZON.COM INC	MERKIN, SHARON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9540	AMAZON.COM INC	MERKIN, SHARON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9545	AMAZON.COM INC	KIFFEL, ELI	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9546	AMAZON.COM INC	KIFFEL, ELI	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
10281	AMAZON.COM INC	MERKIN FAMILY TRUST, SOLOMON	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	7.00	32.00	NO
10374	AMAZON.COM INC	MERKIN, SOLOMON N.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10377	AMAZON.COM INC	MERKIN FAMILY TRUST, SOLOMON	113 PERGTDE - 01/17/2017	01/19/2017	01/25/2017	7.00	-32.00	NO
10379	AMAZON.COM INC	MERKIN, SHARON	113 PERGTDE - 01/17/2017	01/19/2017	01/25/2017	7.00	32.00	NO
10380	AMAZON.COM INC	MERKIN, SHARON	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10550	AMAZON.COM INC	MERKIN, SOLOMON N.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	7.00	32.00	NO
10552	AMAZON.COM INC	MERKIN, SHARON	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	7.00	32.00	NO
9302	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	7.00	32.00	NO
9303	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	7.00	32.00	NO
9435	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9439	CALL 100 AMAZON COM INC EXP	MERKIN, SHARON	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	7.00	32.00	NO
12248	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12251	CALL 100 AMAZON COM INC EXP	MERKIN, SHARON	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	7.00	32.00	NO
10687	CALL 100 AMAZON COM INC EXP	MERKIN, SOLOMON N.	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	7.00	32.00	NO
10690	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	7.00	32.00	NO
10891	CALL 100 AMAZON COM INC EXP	MERKIN, SOLOMON N.	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	7.00	32.00	NO
10892	CALL 100 AMAZON COM INC EXP	MERKIN, SOLOMON N.	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	7.00	32.00	NO
11028	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	7.00	32.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
11029	CALL 100 AMAZON COM INC EXP	MERKIN FAMILY TRUST, SOLOMON	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	7.00	32.00	NO
9400	CARLYLE GROUP L P COM UNITS	MERKIN VENTURE MANAGEMENT	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
12109	CARLYLE GROUP L P COM UNITS	MERKIN VENTURE MANAGEMENT	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
9401	CATALYST BIOSCIENCES INC COM	MERKIN VENTURE MANAGEMENT	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	40.00	NO
9180	CONATUS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9181	CONATUS PHARMACEUTICALS INC	MERKIN FAMILY TRUST, SOLOMON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9186	CONATUS PHARMACEUTICALS INC	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	35.00	NO
9304	CONATUS PHARMACEUTICALS INC	MERKIN, SHARON	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	32.00	NO
12039	CONATUS PHARMACEUTICALS INC	MERKIN, SHARON	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12135	CONATUS PHARMACEUTICALS INC	MERKIN, SOLOMON N.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
9440	COUPA SOFTWARE INCORPORATED	GERBER, DEBRA	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9182	CREDIT SUISSE NASSAU BRH	MERKIN FAMILY TRUST, SOLOMON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	46.00	NO
12034	CREDIT SUISSE NASSAU BRH	MERKIN FAMILY TRUST, SOLOMON	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	35.00	NO
12110	CREDIT SUISSE NASSAU BRH	MERKIN VENTURE MANAGEMENT	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
12111	CREDIT SUISSE NASSAU BRH	MERKIN VENTURE MANAGEMENT	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
12136	CREDIT SUISSE NASSAU BRH	MERKIN, SOLOMON N.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
12137	CREDIT SUISSE NASSAU BRH	MERKIN FAMILY TRUST, SOLOMON	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
12138	CREDIT SUISSE NASSAU BRH	MERKIN FAMILY TRUST, SOLOMON	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	36.00	NO
10813	DRYSHIPS INC COMMON STOCK	MERKIN, JONATHAN	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
10887	DRYSHIPS INC COMMON STOCK	MERKIN, SOLOMON N.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
10893	DRYSHIPS INC COMMON STOCK	MERKIN FAMILY TRUST, SOLOMON	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
9432	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9436	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9536	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	40.00	NO
9537	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9541	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO
9656	ENTEROMEDICS INC COM PAR	MERKIN, JONATHAN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9657	ENTEROMEDICS INC COM PAR	MERKIN, JONATHAN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9674	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9675	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9676	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9677	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
9680	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9681	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9686	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9687	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9821	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9822	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9827	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9828	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9829	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9830	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9835	ENTEROMEDICS INC COM PAR	MERKIN, JAMES	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9836	ENTEROMEDICS INC COM PAR	MERKIN, JAMES	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9996	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10000	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10005	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10186	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10198	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10375	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10381	ENTEROMEDICS INC COM PAR	MERKIN, SHARON	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10384	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10492	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	7.00	32.00	NO
10686	ENTEROMEDICS INC COM PAR	MERKIN, SOLOMON N.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	32.00	NO
10688	ENTEROMEDICS INC COM PAR	MERKIN FAMILY TRUST, SOLOMON	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	32.00	NO
9692	FREEMPORT MCMORAN INC	BROD TRUST, ZOE M.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9693	FREEMPORT MCMORAN INC	MERKIN TRUST, Yael T.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
10283	FREEMPORT MCMORAN INC	MERKIN TRUST, ESTHER N.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	7.00	32.00	NO
10284	FREEMPORT MCMORAN INC	MERKIN TRUST, SOPHIA A.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	7.00	32.00	NO
10285	FREEMPORT MCMORAN INC	MERKIN TRUST, Yael T.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	7.00	32.00	NO
9694	GOLDMAN SACHS GROUP INC	LIEBERMAN, ROBERT J.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	60.00	NO
10382	GOLDMAN SACHS GROUP INC	LIEBERMAN, ROBERT J.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	45.00	NO
9402	ICAHN ENTERPRISES LP	MERKIN VENTURE MANAGEMENT	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
10643	INTERCEPT PHARMACEUTICALS INC	MERKIN VENTURE MANAGEMENT	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	32.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
10812	INTERCEPT PHARMACEUTICALS INC	MERKIN VENTURE MANAGEMENT	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
10160	INTERNATIONAL ISOTOPES INC	JEFFERIES & COMPANY INC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	125.00	NO
10376	INTERNATIONAL SEAWAYS INC COM	MERKIN FAMILY TRUST, SOLOMON	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	7.00	32.00	NO
10894	INTERNATIONAL SEAWAYS INC COM	MERKIN FAMILY TRUST, SOLOMON	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
12245	INTERNATIONAL SEAWAYS INC COM	MERKIN, SOLOMON N.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	7.00	32.00	NO
9165	INTERPACE DIAGNOSTICS GROUP	MERKIN VENTURE MANAGEMENT	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9167	INTERPACE DIAGNOSTICS GROUP	MERKIN, JONATHAN	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9183	INTERPACE DIAGNOSTICS GROUP	MERKIN FAMILY TRUST, SOLOMON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9187	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9273	INTERPACE DIAGNOSTICS GROUP	MERKIN VENTURE MANAGEMENT	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	32.00	NO
9300	INTERPACE DIAGNOSTICS GROUP	MERKIN FAMILY TRUST, SOLOMON	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	32.00	NO
9305	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	32.00	NO
9404	INTERPACE DIAGNOSTICS GROUP	MERKIN, JONATHAN	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9433	INTERPACE DIAGNOSTICS GROUP	MERKIN FAMILY TRUST, SOLOMON	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9437	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9655	INTERPACE DIAGNOSTICS GROUP	MERKIN VENTURE MANAGEMENT	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9658	INTERPACE DIAGNOSTICS GROUP	MERKIN, JONATHAN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9682	INTERPACE DIAGNOSTICS GROUP	MERKIN FAMILY TRUST, SOLOMON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9688	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	36.00	NO
9790	INTERPACE DIAGNOSTICS GROUP	MERKIN VENTURE MANAGEMENT	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	36.00	NO
9831	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	40.00	NO
10006	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10191	INTERPACE DIAGNOSTICS GROUP	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10644	INTERPACE DIAGNOSTICS GROUP	MERKIN VENTURE MANAGEMENT	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	32.00	NO
10645	INTERPACE DIAGNOSTICS GROUP	MERKIN, JONATHAN	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	32.00	NO
9678	LIONS GATE ENTERTAINMENT CORP	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9818	LIONS GATE ENTERTAINMENT CORP	MERKIN, SOLOMON N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9823	LIONS GATE ENTERTAINMENT CORP	MERKIN FAMILY TRUST, SOLOMON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9824	LIONS GATE ENTERTAINMENT CORP	MERKIN FAMILY TRUST, SOLOMON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9689	NEURALSTEM INC COM NEW	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	35.00	NO
9825	NEURALSTEM INC COM NEW	MERKIN FAMILY TRUST, SOLOMON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9832	NEURALSTEM INC COM NEW	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
9842	NEURALSTEM INC COM NEW	MERKIN, SOLOMON N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
10001	NEURALSTEM INC COM NEW	MERKIN FAMILY TRUST, SOLOMON	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	7.00	32.00	NO
10007	NEURALSTEM INC COM NEW	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	35.00	NO
10008	NEURALSTEM INC COM NEW	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	7.00	32.00	NO
10199	NEURALSTEM INC COM NEW	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10553	NEURALSTEM INC COM NEW	MERKIN, SHARON	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	7.00	32.00	NO
10896	NEURALSTEM INC COM NEW	MERKIN, SHARON	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
9538	NEURALSTEM INC COM R/S EFF	MERKIN FAMILY TRUST, SOLOMON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	60.00	NO
9542	NEURALSTEM INC COM R/S EFF	MERKIN, SHARON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	70.00	NO
7166	OPPENHEIMER ACTIVE ALLOCATION		9 OPPENHEIMER	07/20/2016	07/20/2016	0.00	10.00	YES
7167	OPPENHEIMER ACTIVE ALLOCATION		9 OPPENHEIMER	09/29/2016	09/29/2016	0.00	1.00	YES
7168	OPPENHEIMER ACTIVE ALLOCATION		9	07/20/2016	09/29/2016	0.00	1.00	YES
9188	PALO ALTO NETWORKS INC COM	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9189	PALO ALTO NETWORKS INC COM	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9403	PALO ALTO NETWORKS INC COM	MERKIN VENTURE MANAGEMENT	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
10889	PAYPAL HOLDINGS INC COM	MERKIN, SOLOMON N.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
9306	PROSHARES TR II ULTRA VIX	MERKIN, SHARON	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	32.00	NO
12040	PROSHARES TR II ULTRA VIX	MERKIN, SHARON	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12249	PROSHARES TR II ULTRA VIX	MERKIN, SHARON	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	7.00	32.00	NO
10692	PROSHARES TRUST II PROSHARES	MERKIN, SHARON	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	32.00	NO
9184	REAL GOODS SOLAR INC CL A NEW	MERKIN FAMILY TRUST, SOLOMON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9190	REAL GOODS SOLAR INC CL A NEW	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	95.00	NO
9301	REAL GOODS SOLAR INC CL A NEW	MERKIN FAMILY TRUST, SOLOMON	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	115.00	NO
12035	REAL GOODS SOLAR INC CL A NEW	MERKIN FAMILY TRUST, SOLOMON	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	35.00	NO
9194	TALEND S A AMERN DEPOSITARY	MERKIN, SOLOMON N.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9166	TWILIO INC CL A	MERKIN VENTURE MANAGEMENT	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9185	TWILIO INC CL A	MERKIN FAMILY TRUST, SOLOMON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9191	TWILIO INC CL A	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9195	TWILIO INC CL A	MERKIN, SOLOMON N.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9307	TWILIO INC CL A	MERKIN, SHARON	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	7.00	32.00	NO
9438	TWILIO INC CL A	MERKIN, SHARON	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9543	TWILIO INC CL A	MERKIN, SHARON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	32.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
9683	TWILIO INC CL A	MERKIN FAMILY TRUST, SOLOMON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9819	TWILIO INC CL A	MERKIN, SOLOMON N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9833	TWILIO INC CL A	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
10169	TWILIO INC CL A	MERKIN VENTURE MANAGEMENT	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10179	TWILIO INC CL A	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10192	TWILIO INC CL A	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	35.00	NO
10554	TWILIO INC CL A	MERKIN, SHARON	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	7.00	32.00	NO
10890	TWILIO INC CL A	MERKIN, SOLOMON N.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	35.00	NO
12041	TWILIO INC CL A	MERKIN, SHARON	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12045	TWILIO INC CL A	MERKIN, DAPHNE	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12046	TWILIO INC CL A	MERKIN, DAVID E.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12047	TWILIO INC CL A	GERBER, DEBRA	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO
12139	TWILIO INC CL A	MERKIN FAMILY TRUST, SOLOMON	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	7.00	32.00	NO
12246	TWILIO INC CL A	MERKIN, SOLOMON N.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	7.00	32.00	NO
12247	TWILIO INC CL A	MERKIN FAMILY TRUST, SOLOMON	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	7.00	32.00	NO
12250	TWILIO INC CL A	MERKIN, SHARON	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	7.00	32.00	NO
9684	TWITTER INC	MERKIN FAMILY TRUST, SOLOMON	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	7.00	50.00	NO
9690	TWITTER INC	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	7.00	50.00	NO
10193	TWITTER INC	MERKIN, SHARON	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
9434	U S SILICA HOLDINGS INC	MERKIN FAMILY TRUST, SOLOMON	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	7.00	32.00	NO
9544	U S SILICA HOLDINGS INC	MERKIN, SHARON	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	7.00	36.00	NO
9679	U S SILICA HOLDINGS INC	MERKIN, SOLOMON N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9691	U S SILICA HOLDINGS INC	MERKIN, SHARON	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	7.00	32.00	NO
9820	U S SILICA HOLDINGS INC	MERKIN, SOLOMON N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
9834	U S SILICA HOLDINGS INC	MERKIN, SHARON	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
10002	U S SILICA HOLDINGS INC	MERKIN FAMILY TRUST, SOLOMON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10009	U S SILICA HOLDINGS INC	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	36.00	NO
10689	U S SILICA HOLDINGS INC	MERKIN FAMILY TRUST, SOLOMON	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	7.00	35.00	NO
9192	VALEANT PHARMACEUTICALS	MERKIN, SHARON	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	7.00	32.00	NO
9843	VALEANT PHARMACEUTICALS	MERKIN, SOLOMON N.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	7.00	32.00	NO
10200	VALEANT PHARMACEUTICALS	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
12042	VALEANT PHARMACEUTICALS	MERKIN, SHARON	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	7.00	32.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: HELLER, ABRAHAM (continued)</i>								
9973	WILLIAMS COMPANIES (DEL)	MERKIN VENTURE MANAGEMENT	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
9997	WILLIAMS COMPANIES (DEL)	MERKIN, SOLOMON N.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	320.00	NO
10010	WILLIAMS COMPANIES (DEL)	MERKIN, SHARON	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	7.00	32.00	NO
10170	WILLIAMS COMPANIES (DEL)	MERKIN VENTURE MANAGEMENT	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10180	WILLIAMS COMPANIES (DEL)	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	7.00	32.00	NO
10181	WILLIAMS COMPANIES (DEL)	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/17/2017	01/25/2017	7.00	-320.00	NO
10182	WILLIAMS COMPANIES (DEL)	MERKIN, SOLOMON N.	111 PERGTDE - 01/12/2017	01/17/2017	01/25/2017	7.00	36.00	NO
10897	WILLIAMS COMPANIES (DEL)	MERKIN, SHARON	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	7.00	32.00	NO
* HELLER, ABRAHAM TOTAL *						1,575.00	8,111.00	
<i>BROKER: HORN, LAWRENCE</i>								
10344	EVERTEC INC COM	MORGAN STANLEY	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	10.00	300.00	NO
10521	EXPEDITORS INTL WASH INC	MORGAN STANLEY	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	169.11	NO
10614	EXPEDITORS INTL WASH INC	MORGAN STANLEY	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	10.00	300.00	NO
10522	RESMED INC	MORGAN STANLEY	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	450.00	NO
12200	SPDR S&P 500 ETF TRUST	GS ARBITRAGE	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	10.00	150.00	NO
* HORN, LAWRENCE TOTAL *						50.00	1,369.11	
<i>BROKER: KITTELSTAD, ARTHUR</i>								
10505	APPLE INC	BARFIELD, BARBARA	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	234.00	NO
4404	BOND A	HOBGOOD, ROBIN D.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	3.02	NO
4430	BOND A	HOBGOOD, ROBIN D.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.02	NO
4483	BOND A	HOBGOOD, ROBIN D.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.02	NO
4548	BOND A	HOBGOOD, ROBIN D.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.02	NO
4616	BOND A	HOBGOOD, ROBIN D.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.02	NO
4710	BOND A	HOBGOOD, ROBIN D.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.02	NO
4829	BOND A	HOBGOOD, ROBIN D.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.02	NO
14539	BOND A	HOBGOOD, ROBIN D.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.02	NO
10489	CERUS CORP COM	LUNCEFORD, WENDELL H.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	85.00	NO
10490	DINEEQUITY INC	LUNCEFORD, WENDELL H.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	326.00	NO
10547	DINEEQUITY INC	WAYMEL, RAYMOND J.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	324.00	NO
10548	FIREEYE INC COM	WAYMEL, RAYMOND J.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	192.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: KITTELSTAD, ARTHUR (continued)</i>								
9993	INVESCO STIC PRIME PORTFOLIO	SENTZ, ROBERT G.	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	10.00	686.08	NO
10177	INVESCO STIC PRIME PORTFOLIO	SENTZ, ROBERT G.	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	10.00	-686.08	NO
10178	INVESCO STIC PRIME PORTFOLIO	SENTZ, ROBERT G.	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	10.00	0.00	NO
4406	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	5.65	NO
4407	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	2.57	NO
4432	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.65	NO
4433	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.57	NO
4485	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.65	NO
4486	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.57	NO
4550	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.65	NO
4551	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.57	NO
4618	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.65	NO
4619	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.57	NO
4712	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.65	NO
4713	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.57	NO
4831	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.65	NO
4832	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.57	NO
14541	JOHN HANCOCK FDS II NEW	STEPANEK, CATHERINE R.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.65	NO
14542	JOHN HANCOCK FDS II NEW	DERTOUZOS, JAMES N.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.57	NO
4405	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.07	NO
4431	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.07	NO
4484	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.07	NO
4549	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.07	NO
4617	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.07	NO
4711	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.07	NO
4830	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.07	NO
14540	JOHN HANCOCK FDS III	RICHARDSON, MARY T.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.07	NO
4434	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	19.76	NO
4487	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	19.76	NO
4552	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	19.76	NO
4620	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	19.76	NO
4714	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	19.76	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: KITTELSTAD, ARTHUR (continued)</i>								
4833	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	19.76	NO
14543	JP MORGAN LIMITED DURATION	STEPANEK, DENNIS K.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	19.76	NO
4403	LARGE CAP EQUITY A	SENTZ, ROBERT G.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.12	NO
4429	LARGE CAP EQUITY A	SENTZ, ROBERT G.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.12	NO
4482	LARGE CAP EQUITY A	SENTZ, ROBERT G.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.12	NO
4547	LARGE CAP EQUITY A	SENTZ, ROBERT G.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.12	NO
4615	LARGE CAP EQUITY A	SENTZ, ROBERT G.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.12	NO
4709	LARGE CAP EQUITY A	SENTZ, ROBERT G.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.12	NO
4828	LARGE CAP EQUITY A	SENTZ, ROBERT G.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.12	NO
14538	LARGE CAP EQUITY A	SENTZ, ROBERT G.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.12	NO
9267	LAS VEGAS SANDS CORP	SMALL, WAYNE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	157.00	NO
9271	LAS VEGAS SANDS CORP	KNUDSON, BENJAMIN A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	239.00	NO
9430	LAS VEGAS SANDS CORP	HAecker, DAWN M.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	10.00	160.00	NO
9443	LAS VEGAS SANDS CORP	MCEVOY JT TEN, ROSEMARY L.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	10.00	159.00	NO
4402	REGIONAL BANK A	DErTOUZOS, JAMES N.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	2.20	NO
4428	REGIONAL BANK A	DErTOUZOS, JAMES N.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.20	NO
4481	REGIONAL BANK A	DErTOUZOS, JAMES N.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.20	NO
4546	REGIONAL BANK A	DErTOUZOS, JAMES N.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.20	NO
4614	REGIONAL BANK A	DErTOUZOS, JAMES N.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.20	NO
4708	REGIONAL BANK A	DErTOUZOS, JAMES N.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.20	NO
4827	REGIONAL BANK A	DErTOUZOS, JAMES N.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.20	NO
14537	REGIONAL BANK A	DErTOUZOS, JAMES N.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.20	NO
9268	TEVA PHARMACEUTICAL	SMALL, WAYNE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	164.00	NO
9272	TEVA PHARMACEUTICAL	KNUDSON, BENJAMIN A.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	219.00	NO
9844	TEVA PHARMACEUTICAL	KITTELSTAD, ARTHUR C.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	152.00	NO
9994	TEVA PHARMACEUTICAL	FOUTS, DAVID L.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	10.00	98.00	NO
9269	VODAFONE GROUP PLC SPONSORED	SMALL, WAYNE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	147.00	NO
9299	VODAFONE GROUP PLC SPONSORED	SENTZ, ROBERT G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	152.00	NO
9312	VODAFONE GROUP PLC SPONSORED	WAYMEL, RAYMOND J.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	368.00	NO
9313	VODAFONE GROUP PLC SPONSORED	KNUDSON, SUSAN L.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	222.00	NO
9314	VODAFONE GROUP PLC SPONSORED	LUNCEfORD, WENDELL H.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	294.00	NO
9339	VODAFONE GROUP PLC SPONSORED	JOHNSON, KENNETH R.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	221.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: KITTELSTAD, ARTHUR (continued)</i>								
9270	WELLTOWER INC COM	SMALL, WAYNE	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	10.00	164.00	NO
9431	WELLTOWER INC COM	HAECCKER, DAWN M.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	10.00	193.00	NO
9442	WELLTOWER INC COM	PENNINGTON, CHARLES D.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	10.00	195.00	NO
* KITTELSTAD, ARTHUR TOTAL *						250.00	4,712.36	
<i>BROKER: LINDNER, ANDREW</i>								
9724	ABBVIE INC FXD RT SR NT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9725	APPLE INC FXD RT 2.400%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	62.50	NO
12092	AT&T INC FXD RT GLOBAL NT	OPPENHEIMER & CO	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	62.50	NO
9726	BANK NEW YORK INC MEDIUM TERM	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9727	BERKSHIRE HATHAWAY FIN CORP	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9728	BLACKROCK INC FIXED RT 5.000%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9729	CAPITAL ONE BK USA NATL ASSN	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
10515	CISCO SYS INC	OLSTEIN ALL CAP VALUE FUN	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	270.00	NO
10609	CITIZENS FINANCIAL GROUP INC	OLSTEIN ALL CAP VALUE FUN	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	306.00	NO
10611	CITIZENS FINANCIAL GROUP INC	OPPORTUNITIES, OLSTEIN S.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	181.50	NO
10612	CITIZENS FINANCIAL GROUP INC	BANK/WILMINGTON, M&T	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	7.50	NO
9730	EATON CORP OHIO SR NT 4.000%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9731	EATON CORP OHIO SR NT 4.150%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	62.50	NO
10327	EXPRESS SCRIPTS HOLDING	OLSTEIN ALL CAP VALUE FUN	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	81.00	NO
10749	GENERAL ELEC CO DEB	NO1, BRICKLAYERS L.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
9239	GENERAL MOTORS COMPANY	OLSTEIN ALL CAP VALUE FUN	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	600.00	NO
10121	GENERAL MOTORS COMPANY	OLSTEIN ALL CAP VALUE FUN	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	45.00	NO
10966	HARMAN INTERNATIONAL	OLSTEIN ALL CAP VALUE FUN	118 PERGTDE - 01/24/2017	01/26/2017	01/25/2017	0.00	12.00	NO
10968	HARMAN INTERNATIONAL	OPPORTUNITIES, OLSTEIN S.	118 PERGTDE - 01/24/2017	01/26/2017	01/25/2017	0.00	18.00	NO
9935	INTEL CORP SR NT 4.000%	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9732	JOHNSON & JOHNSON FXD RT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9733	JPMORGAN CHASE & CO NT 2.000%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	62.50	NO
9734	KELLOGG CO FXD RT SR NT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9936	KELLOGG CO FXD RT SR NT	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-50.00	NO
9937	KELLOGG CO FXD RT SR NT	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9735	KIMBERLY CLARK CORP SR NT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
9371	MACYS INC	OLSTEIN ALL CAP VALUE FUN	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	375.00	NO
9492	MACYS INC	OLSTEIN ALL CAP VALUE FUN	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	447.00	NO
10610	MACYS INC	OLSTEIN ALL CAP VALUE FUN	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	300.00	NO
9736	MARSH & MCLENNAN COS INC SR	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	62.50	NO
9131	MEDTRONIC PLC COM	OLSTEIN ALL CAP VALUE FUN	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	180.00	NO
9737	NATIONAL RURAL UTILS COOP FIN	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9738	NATIONAL RURAL UTILS COOP FIN	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9739	NUCOR CORP FXD RT 4.000%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9740	OCCIDENTAL PETE CORP SR FIXE	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9354	ORACLE CORP FXD RT SR NT	LOCOMOTIVE, BROTHERHOOD O.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9355	ORACLE CORP FXD RT SR NT	804 IBT & LOCAL 447, LOCAL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	118.75	NO
9364	ORACLE CORP FXD RT SR NT	LOCAL 1205 WELFARE FUND	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9374	ORACLE CORP FXD RT SR NT	BROTHERHOOD OF TEAMSTERS, INTL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	62.50	NO
9379	ORACLE CORP FXD RT SR NT	HOUSE, VOLUNTARY H.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	218.75	NO
9383	ORACLE CORP FXD RT SR NT	BROTHERHOOD OF TEAMSTERS, INTL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9493	ORACLE CORP FXD RT SR NT	HOUSE, VOLUNTARY H.	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	-218.75	NO
9494	ORACLE CORP FXD RT SR NT	HOUSE, VOLUNTARY H.	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9495	ORACLE CORP FXD RT SR NT	BROTHERHOOD OF TEAMSTERS, INTL	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	-50.00	NO
9496	ORACLE CORP FXD RT SR NT	BROTHERHOOD OF TEAMSTERS, INTL	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	0.00	218.75	NO
9741	ORACLE CORP FXD RT SR NT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
10516	ORACLE CORPORATION	OLSTEIN ALL CAP VALUE FUN	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	90.00	NO
9742	PHILLIPS 66 GTD FXD SR NTS	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9743	PHILLIPS 66 GTD FXD SR NTS	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9938	PHILLIPS 66 GTD FXD SR NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-50.00	NO
9939	PHILLIPS 66 GTD FXD SR NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	62.50	NO
9940	PHILLIPS 66 GTD FXD SR NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	-50.00	NO
9744	PRUDENTIAL FINL INC MEDIUM	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9720	SMUCKER J M COMPANY	OLSTEIN ALL CAP VALUE FUN	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	90.00	NO
12093	STRYKER CORP NT 1.300%	OPPENHEIMER & CO	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	50.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
10072	UNITED STATES TREAS BDS	UNION OF OPERATING, INTL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10075	UNITED STATES TREAS BDS	TEAMSTERS LOCAL 237 WELFA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10077	UNITED STATES TREAS BDS	LOCAL 282 WELFARE TRUST F	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10080	UNITED STATES TREAS BDS	CPA'S, SCHULTHEIS &	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10083	UNITED STATES TREAS BDS	STACEY BRAUN ASSOCIATES I	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10085	UNITED STATES TREAS BDS	ASSOCIATES, STACEY B.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10095	UNITED STATES TREAS BDS	COUNCIL, TEAMSTER J.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10106	UNITED STATES TREAS BDS	SAVASTA, MR N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10107	UNITED STATES TREAS BDS	PENSION FUND LOCAL 445	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10109	UNITED STATES TREAS BDS	817 AFFILIATED WITH INTL, LOCAL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10136	UNITED STATES TREAS BDS	BROTHERHOOD OF TEAMSTERS, INTL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10143	UNITED STATES TREAS BDS	LOCAL 177 UNION PENSION F	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10151	UNITED STATES TREAS BDS	SAVASTA, MR N.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10154	UNITED STATES TREAS BDS	BROTHERHOOD OF TEAMSTERS, INTL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	109.38	NO
10156	UNITED STATES TREAS BDS	OF, INTERNATIONAL B.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10090	UNITED STATES TREAS BDS	804 IBT & LOCAL 447, LOCAL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	93.75	NO
10100	UNITED STATES TREAS BDS	OPPENHEIMER & CO	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10102	UNITED STATES TREAS BDS	BRAUN ASSOCIATES IN, STACEY	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10111	UNITED STATES TREAS BDS	LOCAL 1205 WELFARE FUND	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10115	UNITED STATES TREAS BDS	AND STONE MASONS, BRICKLAYERS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10116	UNITED STATES TREAS BDS	LEAGUE GRAPHIC ARTS, PRINTERS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10126	UNITED STATES TREAS BDS	LEGAL, CONNECTICUT L.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10145	UNITED STATES TREAS BDS	LEAQQUE GRAPHIC COMM, PRINTERS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10147	UNITED STATES TREAS BDS	HOUSE, VOLUNTARY H.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10149	UNITED STATES TREAS BDS	LOCAL UNION 43 & ELECTRIC, IBEW	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10079	UNITED STATES TREAS BDS	ASSOCIATES, STACEY B.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10081	UNITED STATES TREAS BDS	CPA'S, SCHULTHEIS &	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10086	UNITED STATES TREAS BDS	PUBLIC EMPLOYEES FED, NYS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
10091	UNITED STATES TREAS BDS	804 IBT & LOCAL 447, LOCAL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	62.50	NO
10098	UNITED STATES TREAS BDS	STACEY BRAUN ASSOCIATES I	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10101	UNITED STATES TREAS BDS	INTERNS, COMMIOF	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10135	UNITED STATES TREAS BDS	PNC BANK	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10140	UNITED STATES TREAS BDS	LOCAL, PLUMBERS &	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10150	UNITED STATES TREAS BDS	LOCAL UNION 43 & ELECTRIC, IBEW	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10155	UNITED STATES TREAS BDS	LOCAL 1205 PENSION FUND	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
9111	UNITED STATES TREAS BDS 30 YR	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9110	UNITED STATES TREAS BDS TREAS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9112	UNITED STATES TREAS BDS TREAS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9113	UNITED STATES TREAS BDS TREAS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9114	UNITED STATES TREAS BDS TREAS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9127	UNITED STATES TREAS NOTES	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9117	UNITED STATES TREAS NTS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
10142	UNITED STATES TREAS NTS	LOCAL 804 ANNUITY FUND	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	62.50	NO
9944	UNITED STATES TREAS NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	140.63	NO
10732	UNITED STATES TREAS NTS	LOCAL UNION 43, IBEW	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	50.00	NO
9108	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	104 PERGTDE - 01/03/2017	01/03/2017	01/25/2017	0.00	-50.00	NO
9109	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	104 PERGTDE - 01/03/2017	01/03/2017	01/25/2017	0.00	50.00	NO
12197	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	129 PERGTDE - 12/30/2016	01/03/2017	01/25/2017	0.00	50.00	NO
9116	UNITED STATES TREAS NTS 05 YR	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9943	UNITED STATES TREAS NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	171.88	NO
10141	UNITED STATES TREAS NTS	LOCAL 804 ANNUITY FUND	111 PERGTDE - 01/12/2017	01/12/2017	01/25/2017	0.00	156.25	NO
9237	UNITED STATES TREAS NTS	PUBLIC EMPLOYEES FED, NYS	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	50.00	NO
9941	UNITED STATES TREAS NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	156.25	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
9918	UNITED STATES TREAS NTS	METAL WORKERS INTL, SHEET	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9919	UNITED STATES TREAS NTS	UNION OF OPERATING, INTERNATIONAL	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9920	UNITED STATES TREAS NTS	WEINBERGER TRUST, BEATRICE W.	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9921	UNITED STATES TREAS NTS	ASSISTANT DIRECTOR TRAINI, DGA	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9922	UNITED STATES TREAS NTS	OPPENHEIMER & CO	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9923	UNITED STATES TREAS NTS	IBT, LOCAL 8.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9924	UNITED STATES TREAS NTS	LOCAL 804 WELFARE FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9925	UNITED STATES TREAS NTS	LOCAL 804 WELFARE FUND	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9926	UNITED STATES TREAS NTS	456, TEAMSTERS L.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9927	UNITED STATES TREAS NTS	LEAGUE GRAPHIC ARTS, PRINTERS	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9928	UNITED STATES TREAS NTS	OPPENHEIMER & CO	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9930	UNITED STATES TREAS NTS	DEFINED CONTRIBUTION FUND	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9931	UNITED STATES TREAS NTS	PNC BANK	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9932	UNITED STATES TREAS NTS	BROTHERHOOD OF TEAMSTERS, INTL	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9933	UNITED STATES TREAS NTS	EMPLOYEES, NYS P.	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9934	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES,	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9945	UNITED STATES TREAS NTS	237, TEAMSTERS L.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9946	UNITED STATES TREAS NTS	LOCAL UNION 43 & ELECTRIC, IBEW	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9947	UNITED STATES TREAS NTS	LOCAL UNION 43 & ELECTRIC, IBEW	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9948	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9949	UNITED STATES TREAS NTS	ANNUITY FUND OF INTERNATI	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9950	UNITED STATES TREAS NTS	ANNUITY FUND OF INTERNATI	110 PERGTDE - 01/11/2017	01/13/2017	01/25/2017	0.00	62.50	NO
9951	UNITED STATES TREAS NTS	UNION LOCAL 731, EXCAVATORS	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9952	UNITED STATES TREAS NTS	LOCAL 237 SUPPLEMENTAL, TEAMSTERS	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	50.00	NO
10074	UNITED STATES TREAS NTS	METAL WORKERS INTL, SHEET	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	-50.00	NO
10099	UNITED STATES TREAS NTS	LOCAL, WESTCHESTER L.	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	50.00	NO
10724	UNITED STATES TREAS NTS	WORKERS LOCAL 451 ANNUITY,	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
		IRON						
10727	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10729	UNITED STATES TREAS NTS	ASSOCIATES, STACEY B.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10730	UNITED STATES TREAS NTS	TRUSTEES, BOARD O.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10734	UNITED STATES TREAS NTS	LOCOMOTIVE, BROTHERHOOD O.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10735	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10739	UNITED STATES TREAS NTS	LOCAL 300 CIVIL SERVICE, SEIU	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10740	UNITED STATES TREAS NTS	WESTCHESTER MODULAR HOMES	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10741	UNITED STATES TREAS NTS	COUNCIL, TEAMSTER J.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10742	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10744	UNITED STATES TREAS NTS	RETIREMENT, SOUTHERN E.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	93.75	NO
10745	UNITED STATES TREAS NTS	138, 138A, 138B INTL, LOCAL	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10747	UNITED STATES TREAS NTS	BRAUN ASSOCIATES IN, STACEY	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10748	UNITED STATES TREAS NTS	IBT, LOCAL 8.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10750	UNITED STATES TREAS NTS	ORTHODOX COMM ASTORIA, HELLENIC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10753	UNITED STATES TREAS NTS	HEALTH AND WELFARE FUND O	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10754	UNITED STATES TREAS NTS	RETIREMENT PLAN OF, STAFF	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10756	UNITED STATES TREAS NTS	LOCAL 181 SECURITY, CWA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10757	UNITED STATES TREAS NTS	LABORERS LOCAL 235 GEN FU	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10758	UNITED STATES TREAS NTS	LOCAL UNION 491, CARPENTERS	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10759	UNITED STATES TREAS NTS	LOCAL 804 PENSION FUND	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10760	UNITED STATES TREAS NTS	456, TEAMSTERS L.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10764	UNITED STATES TREAS NTS	LEGAL, CONNECTICUT L.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10766	UNITED STATES TREAS NTS	PNC BANK	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10770	UNITED STATES TREAS NTS	CRAFTSMEN, BRICKLAYERS &	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10772	UNITED STATES TREAS NTS	LOCAL UNION 43 & ELECTRIC, IBEW	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	156.25	NO
10773	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10775	UNITED STATES TREAS NTS	UNION LOCAL 731, EXCAVATORS	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	87.50	NO
10964	UNITED STATES TREAS NTS	138, 138A, 138B INTL, LOCAL	118 PERGTDE - 01/24/2017	01/26/2017	01/25/2017	0.00	-50.00	NO
10137	UNITED STATES TREAS NTS	DRIVERS LOCAL 550 AND, BAKERY	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	62.50	NO
10725	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	62.50	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
10746	UNITED STATES TREAS NTS	INTERNS, COMMIOF	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	50.00	NO
9124	UNITED STATES TREAS NTS 10 YR SERVICE EMPLOYEES UNION, SOCIAL		104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9126	UNITED STATES TREAS NTS 10 YR SERVICE EMPLOYEES UNION, SOCIAL		104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9115	UNITED STATES TREAS NTS 10YR SERVICE EMPLOYEES UNION, SOCIAL		104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9119	UNITED STATES TREAS NTS 10YR SERVICE EMPLOYEES UNION, SOCIAL		104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9349	UNITED STATES TREAS NTS	LOCAL 282 WELFARE TRUST F	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9350	UNITED STATES TREAS NTS	TRUSTEES, BOARD O.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9351	UNITED STATES TREAS NTS	CPA'S, SCHULTHEIS &	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	62.50	NO
9353	UNITED STATES TREAS NTS	LOCAL UNION 43, IBEW	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9365	UNITED STATES TREAS NTS	LOCAL 181 SECURITY, CWA	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9367	UNITED STATES TREAS NTS	LOCAL UNION 491, CARPENTERS	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9369	UNITED STATES TREAS NTS	LOCAL # 1181, CWA	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9372	UNITED STATES TREAS NTS	LEGAL, CONNECTICUT L.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9375	UNITED STATES TREAS NTS	BROTHERHOOD OF TEAMSTERS, INTL	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9377	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9381	UNITED STATES TREAS NTS	SAVASTA, MR N.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	78.13	NO
9382	UNITED STATES TREAS NTS	ANNUITY FUND OF INTERNATI	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9560	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9561	UNITED STATES TREAS NTS	UNION OF OPERATING, INTERNATIONAL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9563	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9564	UNITED STATES TREAS NTS	PUBLIC EMPLOYEES FED, NYS	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9568	UNITED STATES TREAS NTS	804 IBT & LOCAL 447, LOCAL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	125.00	NO
9570	UNITED STATES TREAS NTS	LOCAL 300 CIVIL SERVICE, SEIU	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9571	UNITED STATES TREAS NTS	TEAMSTERS LOCAL 445 WELFA	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9572	UNITED STATES TREAS NTS	11, TEAMSTERS L.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9573	UNITED STATES TREAS NTS	WESTCHESTER MODULAR HOMES	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9576	UNITED STATES TREAS NTS	RETIREE, DOCTORS C.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
9577	UNITED STATES TREAS NTS	DEL JERSEY, NECA, PENN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9578	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9579	UNITED STATES TREAS NTS	LOCAL, WESTCHESTER L.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9581	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES,	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9582	UNITED STATES TREAS NTS	RETIREMENT, SOUTHERN E.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	85.94	NO
9583	UNITED STATES TREAS NTS	INTERNS, COMMIOF	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9584	UNITED STATES TREAS NTS	METAL WORKERS HEALTH, SHEET	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9586	UNITED STATES TREAS NTS	PENSION FUND LOCAL 445	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9588	UNITED STATES TREAS NTS	LOCAL 804 WELFARE FUND	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	62.50	NO
9591	UNITED STATES TREAS NTS	LOCAL 1205 WELFARE FUND	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9596	UNITED STATES TREAS NTS	LEGAL, CONNECTICUT L.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9598	UNITED STATES TREAS NTS	DOCTORS COUNCIL WELFARE F	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9599	UNITED STATES TREAS NTS	DEL JERSEY, NECA, PENN	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9600	UNITED STATES TREAS NTS	PNC BANK	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9602	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES,	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9603	UNITED STATES TREAS NTS	LOCAL, PLUMBERS &	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9604	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9605	UNITED STATES TREAS NTS	237, TEAMSTERS L.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	78.13	NO
9606	UNITED STATES TREAS NTS	SAVASTA, MR N.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9607	UNITED STATES TREAS NTS	ANNUITY FUND OF INTERNATI	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	73.44	NO
9609	UNITED STATES TREAS NTS	UNION LOCAL 731, EXCAVATORS	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	78.13	NO
9611	UNITED STATES TREAS NTS	LOCAL 1205 PENSION FUND	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	62.50	NO
9612	UNITED STATES TREAS NTS	LOCAL 237 SUPPLEMENTAL, TEAMSTERS	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
10073	UNITED STATES TREAS NTS	DEFINED CONTRIBUTION FUND	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10076	UNITED STATES TREAS NTS	TEAMSTERS LOCAL 237 WELFA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10078	UNITED STATES TREAS NTS	LOCAL 282 WELFARE TRUST F	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10082	UNITED STATES TREAS NTS	LOCOMOTIVE, BROTHERHOOD O.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10084	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10087	UNITED STATES TREAS NTS	WEINBERGER TRUST, BEATRICE W.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10088	UNITED STATES TREAS NTS	CLERKS, NY C.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10089	UNITED STATES TREAS NTS	STATE COURT CLERKS, NY	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10092	UNITED STATES TREAS NTS	TEAMSTERS LOCAL 445 WELFA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
10093	UNITED STATES TREAS NTS	11, TEAMSTERS L.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10094	UNITED STATES TREAS NTS	WESTCHESTER MODULAR HOMES	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10096	UNITED STATES TREAS NTS	COUNCIL, TEAMSTER J.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10097	UNITED STATES TREAS NTS	OF, CARPENTERS &	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10103	UNITED STATES TREAS NTS	BRAUN ASSOCIATES IN, STACEY	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10104	UNITED STATES TREAS NTS	NO1, BRICKLAYERS L.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10105	UNITED STATES TREAS NTS	STACEY BRAUN ASSOC INN	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10108	UNITED STATES TREAS NTS	PENSION FUND LOCAL 445	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10110	UNITED STATES TREAS NTS	817 AFFILIATED WITH INTL, LOCAL	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10112	UNITED STATES TREAS NTS	LOCAL 1205 WELFARE FUND	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10113	UNITED STATES TREAS NTS	LABORERS LOCAL 235 GEN FU	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10114	UNITED STATES TREAS NTS	LOCAL 804 PENSION FUND	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10117	UNITED STATES TREAS NTS	LEAGUE GRAPHIC ARTS, PRINTERS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10118	UNITED STATES TREAS NTS	CLERKS, NY C.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10119	UNITED STATES TREAS NTS	ROSS FAMILY LTD PARTNERSH	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10120	UNITED STATES TREAS NTS	I.B.E.W, LOCAL 3.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10132	UNITED STATES TREAS NTS	YORK STATE COURT CLERKS, NEW	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10133	UNITED STATES TREAS NTS	DOCTORS COUNCIL WELFARE F	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10134	UNITED STATES TREAS NTS	DEFINED CONTRIBUTION FUND	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10138	UNITED STATES TREAS NTS	DRIVERS LOCAL 550 AND, BAKERY	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10139	UNITED STATES TREAS NTS	EMPLOYEES, NYS P.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10144	UNITED STATES TREAS NTS	LOCAL UNION #313 IBEW, NECA	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10146	UNITED STATES TREAS NTS	LEAQQUE GRAPHIC COMM, PRINTERS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10148	UNITED STATES TREAS NTS	HOUSE, VOLUNTARY H.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10152	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10153	UNITED STATES TREAS NTS	UNION LOCAL 731, EXCAVATORS	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	50.00	NO
10316	UNITED STATES TREAS NTS	TEAMSTERS LOCAL 237 WELFA	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10317	UNITED STATES TREAS NTS	LOCAL 282 WELFARE TRUST F	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10318	UNITED STATES TREAS NTS	ASSOCIATES, STACEY B.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10319	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10320	UNITED STATES TREAS NTS	804 IBT & LOCAL 447, LOCAL	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
10321	UNITED STATES TREAS NTS	TEAMSTERS LOCAL 445 WELFA	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10322	UNITED STATES TREAS NTS	11, TEAMSTERS L.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10323	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES,	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10324	UNITED STATES TREAS NTS	RETIREMENT, SOUTHERN E.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10325	UNITED STATES TREAS NTS	SAVASTA, MR N.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10326	UNITED STATES TREAS NTS	HEALTH AND WELFARE FUND O	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10337	UNITED STATES TREAS NTS	DEL JERSEY, NECA, PENN	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10338	UNITED STATES TREAS NTS	PNC BANK	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10339	UNITED STATES TREAS NTS	SAVASTA, MR N.	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	50.00	NO
10340	UNITED STATES TREAS NTS	BROTHERHOOD OF TEAMSTERS, INTL	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	93.75	NO
10726	UNITED STATES TREAS NTS	OF, INTERNATIONAL A.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10728	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10731	UNITED STATES TREAS NTS	CPA'S, SCHULTHEIS &	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	62.50	NO
10733	UNITED STATES TREAS NTS	LOCOMOTIVE, BROTHERHOOD O.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10736	UNITED STATES TREAS NTS	CLERKS, NY C.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10737	UNITED STATES TREAS NTS	STATE COURT CLERKS, NY	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10738	UNITED STATES TREAS NTS	804 IBT & LOCAL 447, LOCAL	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	62.50	NO
10743	UNITED STATES TREAS NTS	RETIREMENT, SOUTHERN E.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10751	UNITED STATES TREAS NTS	PENSION FUND LOCAL 445	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10752	UNITED STATES TREAS NTS	LOCAL 804 WELFARE FUND	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10755	UNITED STATES TREAS NTS	LOCAL 181 SECURITY, CWA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10765	UNITED STATES TREAS NTS	DEFINED CONTRIBUTION FUND	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10767	UNITED STATES TREAS NTS	BROTHERHOOD OF TEAMSTERS, INTL	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10768	UNITED STATES TREAS NTS	LOCAL, PLUMBERS &	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10769	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES I	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10771	UNITED STATES TREAS NTS	LOCAL UNION #313 IBEW, NECA	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
10774	UNITED STATES TREAS NTS	OF DELAWARE LOCAL 199, LABORERS	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
9238	UNITED STATES TREAS NTS	PUBLIC EMPLOYEES FED, NYS	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	50.00	NO
9352	UNITED STATES TREAS NTS	CPA'S, SCHULTHEIS &	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	62.50	NO
9356	UNITED STATES TREAS NTS	WESTCHESTER MODULAR HOMES	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
9357	UNITED STATES TREAS NTS	RETIREE, DOCTORS C.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9358	UNITED STATES TREAS NTS	DEL JERSEY, NECA, PENN	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9359	UNITED STATES TREAS NTS	STACEY BRAUN ASSOCIATES,	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9360	UNITED STATES TREAS NTS	OPPENHEIMER & CO	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9361	UNITED STATES TREAS NTS	METAL WORKERS HEALTH, SHEET	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9362	UNITED STATES TREAS NTS	BRAUN ASSOCIATES IN, STACEY	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9363	UNITED STATES TREAS NTS	PENSION FUND LOCAL 445	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9366	UNITED STATES TREAS NTS	LABORERS LOCAL 235 GEN FU	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9368	UNITED STATES TREAS NTS	CARNEY,, MICHAEL A.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9370	UNITED STATES TREAS NTS	OPPENHEIMER & CO	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9376	UNITED STATES TREAS NTS	EMPLOYEES, NYS P.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9378	UNITED STATES TREAS NTS	237, TEAMSTERS L.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	62.50	NO
9380	UNITED STATES TREAS NTS	LOCAL UNION 43 & ELECTRIC, IBEW	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9384	UNITED STATES TREAS NTS	OF, INTERNATIONAL B.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	50.00	NO
9562	UNITED STATES TREAS NTS	LOCOMOTIVE, BROTHERHOOD O.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9565	UNITED STATES TREAS NTS	PUBLIC EMPLOYEES FED, NYS	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9566	UNITED STATES TREAS NTS	CLERKS, NY C.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9567	UNITED STATES TREAS NTS	STATE COURT CLERKS, NY	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9569	UNITED STATES TREAS NTS	804 IBT & LOCAL 447, LOCAL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	62.50	NO
9574	UNITED STATES TREAS NTS	WESTCHESTER MODULAR HOMES	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9575	UNITED STATES TREAS NTS	COUNCIL, TEAMSTER J.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9580	UNITED STATES TREAS NTS	ASSISTANT DIRECTOR TRAINI, DGA	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9585	UNITED STATES TREAS NTS	IBT, LOCAL 8.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9587	UNITED STATES TREAS NTS	PENSION FUND LOCAL 445	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9589	UNITED STATES TREAS NTS	LOCAL 804 WELFARE FUND	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9590	UNITED STATES TREAS NTS	817 AFFILIATED WITH INTL, LOCAL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9592	UNITED STATES TREAS NTS	LOCAL 1205 WELFARE FUND	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9593	UNITED STATES TREAS NTS	HEALTH AND WELFARE FUND O	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9594	UNITED STATES TREAS NTS	LOCAL 804 PENSION FUND	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9595	UNITED STATES TREAS NTS	CLEMENT SL. MANG,, DR.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
9597	UNITED STATES TREAS NTS	YORK STATE COURT CLERKS, NEW	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9601	UNITED STATES TREAS NTS	EMPLOYEES, NYS P.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9608	UNITED STATES TREAS NTS	ANNUITY FUND OF INTERNATI	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	73.44	NO
9610	UNITED STATES TREAS NTS	BROTHERHOOD OF TEAMSTERS, INTL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	50.00	NO
9942	UNITED STATES TREAS NTS	LOCAL 804 ANNUITY FUND	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	62.50	NO
9125	UNITED STATES TREAS NTS 5 YR	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9118	UNITED STATES TREAS NTS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9121	UNITED STATES TREAS NTS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9123	UNITED STATES TREAS NTS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9122	UNITED STATES TREAS NTS TREAS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9128	UNITED STATES TREAS NTS TREAS	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
9120	UNITED STATES TREAS NTS TRES	SERVICE EMPLOYEES UNION, SOCIAL	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	50.00	NO
10122	VCA INC	OLSTEIN ALL CAP VALUE FUN	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	7.47	NO
10123	VCA INC	OPPORTUNITIES, OLSTEIN S.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	3.00	NO
10236	VCA INC	OLSTEIN ALL CAP VALUE FUN	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	300.00	NO
10238	VCA INC	OPPORTUNITIES, OLSTEIN S.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	150.00	NO
10240	VCA INC	DIOCESE OF ARLINGTON VA, CATHOLIC	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	6.60	NO
9745	VERIZON COMMUNICATIONS INC NT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
10761	VITAMIN SHOPPE INC COM	OLSTEIN ALL CAP VALUE FUN	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	225.00	NO
10762	VITAMIN SHOPPE INC COM	OPPORTUNITIES, OLSTEIN S.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	141.00	NO
10763	VITAMIN SHOPPE INC COM	DIOCESE OF ARLINGTON VA, CATHOLIC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	4.80	NO
10124	WABASH NATIONAL CORP	OPPORTUNITIES, OLSTEIN S.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	81.00	NO
10125	WABASH NATIONAL CORP	DIOCESE OF ARLINGTON VA, CATHOLIC	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	9.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: LINDNER, ANDREW (continued)</i>								
10237	WABASH NATIONAL CORP	OLSTEIN ALL CAP VALUE FUN	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	237.00	NO
10239	WABASH NATIONAL CORP	OPPORTUNITIES, OLSTEIN S.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	26.70	NO
9747	WELLS FARGO & CO NEW FXD RT	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9746	WELLS FARGO & CO NEW MEDIUM	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9748	WYETH FXD RT NT 5.450%	LOCAL 804 ANNUITY FUND	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	50.00	NO
9929	ZIMMER BIOMET HLDGS INC COM	OLSTEIN ALL CAP VALUE FUN	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	180.00	NO
* LINDNER, ANDREW TOTAL *						0.00	20,876.17	
<i>BROKER: MCNEILL, MARTIN M.</i>								
10776	ACORN ENERGY INC COM	AND EDWARD FRENCH TRUSTEE, SETH	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
10649	ADAMAS PHARMACEUTICALS INC	FRENCH, JOHN H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	73.00	NO
9193	APACHE CORP COMMON	STEINMETZ, GEORGE E.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	60.00	NO
9613	ARCAM AB SHS NEW	AND EDWARD FRENCH TRUSTEE, SETH	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	73.00	NO
9953	ARCAM AB SHS NEW	AND EDWARD FRENCH TRUSTEE, SETH	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	-73.00	NO
9974	ARCAM AB SHS NEW	FRENCH, JOHN H.	110 PERGTDE - 01/11/2017	01/12/2017	01/25/2017	0.00	73.00	NO
10647	ARCAM AB SHS NEW	FRENCH, JOHN H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	24.33	NO
10648	ARCAM AB SHS NEW	FRENCH, JOHN H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	48.67	NO
9547	ARCELORMITTAL SA LUXEMBOURG	STEINMETZ, GEORGE E.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	60.00	NO
9497	BARCLAYS BANK PLC IPATH S&P	YALINEWITZ, BOAZ	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	900.30	NO
9749	BLUEBIRD BIO INC COM	AND EDWARD FRENCH TRUSTEE, SETH	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	73.00	NO
10517	BONANZA CREEK ENERGY INC COM	AND EDWARD FRENCH TRUSTEE, SETH	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	73.00	NO
10821	BTL GROUP LTD SHS	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	9.73	NO
10822	BTL GROUP LTD SHS	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	12.16	NO
10823	BTL GROUP LTD SHS	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	24.33	NO
10824	BTL GROUP LTD SHS	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	26.78	NO
10819	BYD COMPANY LTD SHS H	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	36.50	NO
10820	BYD COMPANY LTD SHS H	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	36.50	NO
10171	CALL 100 AERIE	FRENCH, JOHN H.	111 PERGTDE - 01/12/2017	01/13/2017	01/25/2017	0.00	73.00	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: MCNEILL, MARTIN M. (continued)</i>								
10263	CALL 100 INTRA-CELLULAR	FRENCH, JOHN H.	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	73.00	NO
4448	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	12.95	NO
4506	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	12.95	NO
4571	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	12.95	NO
4653	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	12.95	NO
4753	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	12.95	NO
4873	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	12.95	NO
14584	COLUMBIA NEW YORK TAX EXEMPT	SKELLY, HENRY T.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	12.95	NO
9614	COMMERCIAL METALS CO	AND EDWARD FRENCH TRUSTEE, SETH	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	73.00	NO
10478	DISCOVER FINANCIAL SERVICES	DRUERY, MARIE A.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	350.00	NO
4654	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.37	NO
4655	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.71	NO
4656	FEDERATED PRUDENT BEAR FUND	ROBERTS, PAGE	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.49	NO
4754	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.37	NO
4755	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.71	NO
4756	FEDERATED PRUDENT BEAR FUND	ROBERTS, PAGE	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.49	NO
4874	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.37	NO
4875	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.71	NO
4876	FEDERATED PRUDENT BEAR FUND	ROBERTS, PAGE	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.49	NO
14585	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.37	NO
14586	FEDERATED PRUDENT BEAR FUND	ROBERTS, JAMES B.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.71	NO
14587	FEDERATED PRUDENT BEAR FUND	ROBERTS, PAGE	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.49	NO
12048	FIRST MAJESTIC SILVER CORP	BAUMHARDT, DANCA M.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	75.00	NO
10777	GIGA TRONICS INC COM	AND EDWARD FRENCH TRUSTEE, SETH	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
12114	INTREPID POTASH INC	BATHRICK, CHARLES E.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	75.00	NO
10244	JD.COM INC SPONSORED ADR	AND EDWARD FRENCH TRUSTEE, SETH	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	73.00	NO
12147	JOHNSON CONTROLS	STEINMETZ, GEORGE E.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	60.00	NO
9240	KITE PHARMA INC COM	AND EDWARD FRENCH TRUSTEE, SETH	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	73.00	NO
9615	KITE PHARMA INC COM	AND EDWARD FRENCH TRUSTEE,	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	73.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: MCNEILL, MARTIN M. (continued)</i>								
		SETH						
10825	KRAKEN SONAR INC SHS	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	65.70	NO
10826	KRAKEN SONAR INC SHS	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	7.30	NO
843	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	41	01/09/2017	01/10/2017	0.00	2.04	NO
845	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	43	01/10/2017	01/11/2017	0.00	2.04	NO
4225	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	2.04	NO
4400	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	2.04	NO
4426	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	2.04	NO
4475	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.04	NO
4539	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.04	NO
4605	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.04	NO
4695	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.04	NO
4813	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.04	NO
4933	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.04	NO
14647	LOOMIS SAYLES INVESTMENT	DRUERY, MARIE A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.04	NO
10827	MEMEX INC COM	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
12115	MICRON TECHNOLOGY INC	BATHRICK, CHARLES E.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	75.00	NO
9750	NANOSTRING TECHNOLOGIES INC	AND EDWARD FRENCH TRUSTEE, SETH	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	73.00	NO
10778	NANOSTRING TECHNOLOGIES INC	AND EDWARD FRENCH TRUSTEE, SETH	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
10650	NEOS THERAPEUTICS INC COM	FRENCH, JOHN H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	73.00	NO
10828	NEPTUNE TECHNOLOGIES &	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
9841	NEW GOLD INC	MERGOTT, JONATHAN S.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	75.00	NO
10900	NEW GOLD INC	MERGOTT, JONATHAN S.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	75.00	NO
10817	NOBLE GROUP LTD	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	1.46	NO
10818	NOBLE GROUP LTD	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	71.54	NO
9241	OPKO HEALTH INC	AND EDWARD FRENCH TRUSTEE, SETH	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	73.00	NO
12216	OPKO HEALTH INC	BATHRICK, CHARLES E.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	75.00	NO
10829	ORGANOVO HOLDINGS INC	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
9659	POWERSHARES DB MULTI-SECTOR	CAIN, ANTHONY L.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	300.00	NO
12013	PROSHARES TR PROSHARES	YALINEWITZ, BOAZ	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	1,000.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: MCNEILL, MARTIN M. (continued)</i>								
10287	RAYMOND JAMES FINANCIAL INC	STEINMETZ, GEORGE E.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	60.00	NO
10651	RECRO PHARMA INC COM	FRENCH, JOHN H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	73.00	NO
10832	ROYAL GOLD INC	COOKE, ROBERT P.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	75.00	NO
4395	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	0.07	NO
4415	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.07	NO
4449	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.07	NO
4507	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.07	NO
4572	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.07	NO
4657	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.07	NO
4757	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.07	NO
4877	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.07	NO
14588	SALIENT SELECT INCOME FUND	MAHARISHI UNIVERSITY OF	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.07	NO
10245	SHOPIFY INC CL A	AND EDWARD FRENCH TRUSTEE, SETH	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	73.00	NO
10341	SHOPIFY INC CL A	AND EDWARD FRENCH TRUSTEE, SETH	113 PERGTDE - 01/17/2017	01/20/2017	01/25/2017	0.00	73.00	NO
10833	SILVER STANDARD RESOURCES INC	JOSEPH FRY RETIREMENT TRU, ERIC	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	213.62	NO
11003	SILVER STANDARD RESOURCES INC	JOSEPH FRY RETIREMENT TRU, ERIC	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	-213.62	NO
11004	SILVER STANDARD RESOURCES INC	JOSEPH FRY RETIREMENT TRU, ERIC	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	75.00	NO
10012	SPDR S&P 500 ETF TRUST	STEINMETZ, GEORGE E.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	60.00	NO
9616	STEEL DYNAMICS INC COM	AND EDWARD FRENCH TRUSTEE, SETH	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	73.00	NO
10779	STRATEGIC ENVIRONMENTAL &	AND EDWARD FRENCH TRUSTEE, SETH	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
9168	TANZANIAN ROYALTY EXPLORATION	WARDEN, CHRISTOPHER S.	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	75.00	NO
10830	TAPIMMUNE INC COM PAR \$0.001	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
10613	TECK RESOURCES LIMITED SUB	AND EDWARD FRENCH TRUSTEE, SETH	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	73.00	NO
10831	TROVAGENE INC COM NEW	FRENCH, JOHN H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	73.00	NO
10246	USA TECHNOLOGIES INC	AND EDWARD FRENCH TRUSTEE, SETH	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	73.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: MCNEILL, MARTIN M. (continued)</i>								
4573	VOYA GLOBAL EQUITY FUND CLASS	ROBERTS, JAMES B.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.40	NO
4658	VOYA GLOBAL EQUITY FUND CLASS	ROBERTS, JAMES B.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.40	NO
4758	VOYA GLOBAL EQUITY FUND CLASS	ROBERTS, JAMES B.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.40	NO
4878	VOYA GLOBAL EQUITY FUND CLASS	ROBERTS, JAMES B.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.40	NO
14589	VOYA GLOBAL EQUITY FUND CLASS	ROBERTS, JAMES B.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.40	NO
9441	YAMANA GOLD INC	STEINMETZ, GEORGE E.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	60.00	NO
12113	YUM CHINA HOLDINGS INC COM	MAHARISHI UNIVERSITY OF	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	75.00	NO
9751	ZELTIQ AESTHETICS INC COM	AND EDWARD FRENCH TRUSTEE, SETH	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	73.00	NO
* MCNEILL, MARTIN M. TOTAL *						0.00	6,266.34	
<i>BROKER: MIHAYLOV, VESSELIN</i>								
9907	AKERS BIOSCIENCES INC COM	LEITER, MICHAEL	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	0.00	NO
9908	AKERS BIOSCIENCES INC COM	LEITER, MICHAEL	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	30.00	NO
9912	AKERS BIOSCIENCES INC COM	LP, HANNAHLU V.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	0.00	NO
9913	AKERS BIOSCIENCES INC COM	LP, HANNAHLU V.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	30.00	NO
9557	CELL SOURCE INC COM SHS	PAYNE, STEVE M.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	10.00	60.00	NO
9911	CELL SOURCE INC COM SHS	PAYNE, STEVE M.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	10.00	3.00	NO
10228	CELL SOURCE INC COM SHS	PAYNE, STEVE M.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	10.00	57.00	NO
10509	CELL SOURCE INC COM SHS	PAYNE, STEVE M.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	70.00	NO
9465	CEMTREX INC COM PAR \$ 001	DENORA, JOSEPH	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	10.00	328.81	NO
9555	CEMTREX INC COM PAR \$ 001	DENORA, JOSEPH	107 PERGTDE - 01/06/2017	01/10/2017	01/25/2017	10.00	-328.81	NO
10062	MATINAS BIOPHARMA HLDGS INC	NISAN, EMANUEL	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	10.00	30.00	NO
9712	SANUWAVE HEALTH INC COM	LEITER, MICHAEL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	230.00	NO
10510	SANUWAVE HEALTH INC COM	LIGHTMAN, ANTHONY	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	10.00	250.00	NO
10583	SANUWAVE HEALTH INC COM	LIGHTMAN, ANTHONY	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	200.00	NO
10584	SANUWAVE HEALTH INC COM	LIGHTMAN, ANTHONY	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	-250.00	NO
10585	SANUWAVE HEALTH INC COM	LIGHTMAN, ANTHONY	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	10.00	250.00	NO
9711	WAFERGEN BIO-SYSTEMS INC COM	NISAN, EMANUEL	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	50.00	NO
10582	WOUND MGMT TECHNOLOGIES INC	LEITER, MICHAEL	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	10.00	30.00	NO
10713	WOUND MGMT TECHNOLOGIES INC	LEITER, MICHAEL	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	10.00	30.00	NO
* MIHAYLOV, VESSELIN TOTAL *						190.00	1,070.00	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: QUINONES, CAROL</i>								
7155	AIM 001413301		7 AIM	04/23/2016	02/19/2015	0.00	25.00	YES
70	DELAWARE SELECT GROWTH FUND A		12	04/25/2016	02/17/2015	0.00	6.73	YES
72	DELAWARE SELECT GROWTH FUND A		12	04/24/2016	02/17/2015	0.00	6.73	YES
73	DELAWARE SELECT GROWTH FUND A		12	04/24/2016	02/17/2015	0.00	6.73	YES
<i>* QUINONES, CAROL TOTAL *</i>						<b>0.00</b>	<b>45.19</b>	
<i>BROKER: REILLY, DAVID</i>								
9796	CALL 100 ACADIA	CAPUANO CASELLA, LORRAINE I.	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	50.00	NO
9797	CALL 100 ACADIA	CAPUANO CASELLA, LORRAINE I.	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	50.00	NO
9909	CALL 100 ACADIA	CAPUANO CASELLA, LORRAINE I.	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	50.00	NO
9910	CALL 100 ACADIA	CAPUANO CASELLA, LORRAINE I.	109 PERGTDE - 01/10/2017	01/11/2017	01/25/2017	0.00	50.00	NO
10291	CALL 100 ACADIA	BYRNE, GERARD A.	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	50.00	NO
10292	CALL 100 ACADIA	BYRNE, GERARD A.	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	50.00	NO
4804	CAPITAL APPRECIATION A	OLSSON, RITA A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.44	NO
4924	CAPITAL APPRECIATION A	OLSSON, RITA A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.44	NO
14638	CAPITAL APPRECIATION A	OLSSON, RITA A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.44	NO
14616	DEUTSCHE STRATEGIC GOVERNMENT	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.14	NO
14617	DEUTSCHE STRATEGIC GOVERNMENT	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.14	NO
14618	DEUTSCHE STRATEGIC GOVERNMENT	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.14	NO
4223	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	3.67	NO
4224	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	64 PER M12B1 - 01/11/2017	01/11/2017	01/12/2017	0.00	3.67	NO
4398	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	3.67	NO
4399	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	3.67	NO
4421	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	3.67	NO
4422	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	3.67	NO
4461	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.67	NO
4462	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.67	NO
4520	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.67	NO
4521	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.67	NO
4586	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.67	NO
4587	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.67	NO
4676	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.67	NO



**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: REILLY, DAVID (continued)</i>								
4677	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.67	NO
4785	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.67	NO
4786	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.67	NO
4905	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.67	NO
4906	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.67	NO
14619	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.67	NO
14620	DREYFUS BALANCED	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.67	NO
10860	ENERGY TRANSFER PARTNERS L P	CAPUANO CASELLA, LORRAINE I.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	79.00	NO
10862	ENERGY TRANSFER PARTNERS L P	CASELLA, LORRAINE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	79.00	NO
4522	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.34	NO
4588	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.34	NO
4678	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.34	NO
4787	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.34	NO
4907	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.34	NO
9310	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	72.25	NO
14621	EUROPACIFIC GROWTH FUND-A	STEFANO, MARGARET	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.34	NO
10863	EXELON CORPORATION	CASELLA, LORRAINE	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	120.00	NO
4523	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.22	NO
4524	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.22	NO
4525	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.22	NO
4589	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.22	NO
4590	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.22	NO
4591	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.22	NO
4679	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.22	NO
4680	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.22	NO
4681	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.22	NO
4788	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.22	NO
4789	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.22	NO
4790	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.22	NO
4908	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.22	NO
4909	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.22	NO
4910	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.22	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: REILLY, DAVID (continued)</i>								
14622	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.22	NO
14623	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.22	NO
14624	FIDELITY ADVISOR BALANCED	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.22	NO
4423	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	2.99	NO
4463	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.99	NO
4527	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.99	NO
4593	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.99	NO
4683	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.99	NO
4792	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.99	NO
4912	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.99	NO
14626	FINANCIAL INDUSTRIES A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.99	NO
4466	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.78	NO
4467	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.78	NO
4468	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.78	NO
4530	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.78	NO
4531	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.78	NO
4532	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.78	NO
4596	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.78	NO
4597	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.78	NO
4598	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.78	NO
4686	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.78	NO
4687	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.78	NO
4688	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.78	NO
4795	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.78	NO
4796	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.78	NO
4797	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.78	NO
4915	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.78	NO
4916	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.78	NO
4917	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.78	NO
14629	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.78	NO
14630	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.78	NO
14631	GROWTH AND INCOME FUND - A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.78	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION		
				RECEIVED	POSTED		RECEIVED	HOLD	
BROKER: REILLY, DAVID (continued)									
4424	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	3.26	NO	
4425	HIGH YIELD A	DOUGHERTY, JANE	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	1.10	NO	
4464	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.26	NO	
4465	HIGH YIELD A	DOUGHERTY, JANE	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	1.10	NO	
4528	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.26	NO	
4529	HIGH YIELD A	DOUGHERTY, JANE	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.10	NO	
4594	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.26	NO	
4595	HIGH YIELD A	DOUGHERTY, JANE	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.10	NO	
4684	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.26	NO	
4685	HIGH YIELD A	DOUGHERTY, JANE	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.10	NO	
4793	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.26	NO	
4794	HIGH YIELD A	DOUGHERTY, JANE	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.10	NO	
4913	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.26	NO	
4914	HIGH YIELD A	DOUGHERTY, JANE	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.10	NO	
14627	HIGH YIELD A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.26	NO	
14628	HIGH YIELD A	DOUGHERTY, JANE	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.10	NO	
4469	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	1.30	NO	
4470	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.47	NO	
4471	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	8.21	NO	
4472	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.17	NO	
4473	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	1.73	NO	
4533	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.30	NO	
4534	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.47	NO	
4535	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	8.21	NO	
4536	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.17	NO	
4537	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.73	NO	
4599	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.30	NO	
4600	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.47	NO	
4601	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	8.21	NO	
4602	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.17	NO	
4603	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.73	NO	
4689	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.30	NO	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION		
				RECEIVED	POSTED		RECEIVED	HOLD	
BROKER: REILLY, DAVID (continued)									
4690	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.47	NO	
4691	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	8.21	NO	
4692	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.17	NO	
4693	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.73	NO	
4798	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.30	NO	
4799	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.47	NO	
4800	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	8.21	NO	
4801	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.17	NO	
4802	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.73	NO	
4918	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.30	NO	
4919	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.47	NO	
4920	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	8.21	NO	
4921	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.17	NO	
4922	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.73	NO	
14632	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.30	NO	
14633	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.47	NO	
14634	MFS INTERNTL DIVERSIFICATION	CASELLA, LORRAINE	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	8.21	NO	
14635	MFS INTERNTL DIVERSIFICATION	BYRNE, GERARD A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.17	NO	
14636	MFS INTERNTL DIVERSIFICATION	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.73	NO	
4474	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	3.63	NO	
4538	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.63	NO	
4604	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.63	NO	
4694	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.63	NO	
4803	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.63	NO	
4923	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.63	NO	
14637	MFS TOTAL RETURN FUND-A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.63	NO	
10861	PHILIP MORRIS INTERNATIONAL	CAPUANO CASELLA, LORRAINE I.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	129.00	NO	
4806	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.61	NO	
4807	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.61	NO	
4808	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.61	NO	
4926	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.61	NO	
4927	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.61	NO	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: REILLY, DAVID (continued)</i>								
4928	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.61	NO
14640	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.61	NO
14641	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.61	NO
14642	PUTNAM FUND FOR GROWTH &	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.61	NO
4812	ROCH. LIMITED TERM NEW YORK	SCHULZ, CARL A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	13.27	NO
4932	ROCH. LIMITED TERM NEW YORK	SCHULZ, CARL A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	13.27	NO
14646	ROCH. LIMITED TERM NEW YORK	SCHULZ, CARL A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	13.27	NO
4809	ROCHESTER FUND MUNICIPALS A	CAPUANO CASELLA, LORRAINE I.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	40.71	NO
4810	ROCHESTER FUND MUNICIPALS A	SCHULZ, CARL A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	39.98	NO
4811	ROCHESTER FUND MUNICIPALS A	STEFANO, MARGARET	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.86	NO
4929	ROCHESTER FUND MUNICIPALS A	CAPUANO CASELLA, LORRAINE I.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	40.71	NO
4930	ROCHESTER FUND MUNICIPALS A	SCHULZ, CARL A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	39.98	NO
4931	ROCHESTER FUND MUNICIPALS A	STEFANO, MARGARET	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.86	NO
14643	ROCHESTER FUND MUNICIPALS A	CAPUANO CASELLA, LORRAINE I.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	40.71	NO
14644	ROCHESTER FUND MUNICIPALS A	SCHULZ, CARL A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	39.98	NO
14645	ROCHESTER FUND MUNICIPALS A	STEFANO, MARGARET	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.86	NO
4805	ROCHESTER NATIONAL MUNICIPALS	DOUGHERTY, JANE	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.70	NO
4925	ROCHESTER NATIONAL MUNICIPALS	DOUGHERTY, JANE	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.70	NO
14639	ROCHESTER NATIONAL MUNICIPALS	DOUGHERTY, JANE	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.70	NO
4526	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	3.81	NO
4592	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	3.81	NO
4682	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	3.81	NO
4791	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	3.81	NO
4911	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	3.81	NO
9311	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	68.89	NO
14625	THE GROWTH FUND OF AMERICA-A	STEFANO, MARGARET	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	3.81	NO
9556	VODAFONE GROUP PLC SPONSORED	CAPUANO CASELLA, LORRAINE I.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	120.00	NO
9795	VODAFONE GROUP PLC SPONSORED	CAPUANO CASELLA, LORRAINE I.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	120.00	NO
10290	VODAFONE GROUP PLC SPONSORED	BYRNE, GERARD A.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	165.00	NO
* REILLY, DAVID TOTAL *						0.00	1,981.94	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: SACHS, ANDREW STUART</i>								
9708	AGILENT TECHNOLOGIES INC	DAVIDOV, WILLIAM H.	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	0.00	45.00	NO
4390	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	66 PER M12B1 - 01/12/2017	01/12/2017	01/13/2017	0.00	5.71	NO
4408	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	5.71	NO
4435	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.71	NO
4488	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.71	NO
4553	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.71	NO
4621	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.71	NO
4715	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.71	NO
4834	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.71	NO
14544	AIT ARROW DWA BALANCED FUND	DEVORE, DOREEN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.71	NO
9900	ALIBABA GROUP HOLDING LTD	RYKEN, MICHAEL P.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	550.00	NO
10052	ALIBABA GROUP HOLDING LTD	SHIER, ANDY	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	620.00	NO
10058	ALIBABA GROUP HOLDING LTD	DYKSTRA, JAMES	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	300.00	NO
10580	ALIBABA GROUP HOLDING LTD	APPELBAUM, ALAN	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	150.00	NO
9343	AMERICAN EXPRESS COMPANY	JONES, HARVEY L.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	120.00	NO
9889	AMERICAN EXPRESS COMPANY	STROPE, DALE G.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	150.00	NO
10706	AMERICAN EXPRESS COMPANY	DAVIDOV, WILLIAM H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	50.00	NO
10927	AMERICAN EXPRESS COMPANY	SANDERS, RALPH R.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	150.00	NO
10928	AMERICAN EXPRESS COMPANY	PAEGLOW, JOSEPH	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	150.00	NO
9344	CALL 100 AMERICAN EXPRESS	SANDERS, RALPH R.	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	30.00	NO
9345	CALL 100 AMERICAN EXPRESS	PAEGLOW, JOSEPH	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	30.00	NO
10707	GAMCO GLOBAL GOLD NATURAL	DAVIDOV, WILLIAM H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	50.00	NO
9890	HARRAHS OPER INC SR NT	SANDERS, RALPH R.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	800.00	NO
9892	HARRAHS OPER INC SR NT	NERY, GENEROSO S.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	200.00	NO
9893	HARRAHS OPER INC SR NT	PETERSON, SHAWN	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	960.00	NO
9894	HARRAHS OPER INC SR NT	DYKSTRA, JAMES	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	380.00	NO
9896	HARRAHS OPER INC SR NT	SHIPLEY, CRAIG	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	260.00	NO
9897	HARRAHS OPER INC SR NT	DEROIN, JOHN	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	500.00	NO
9905	HARRAHS OPER INC SR NT	BROWN, KENNETH P.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	680.00	NO
10508	HARRAHS OPER INC SR NT	APPELBAUM, ALAN	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	150.00	NO
10705	HARRAHS OPER INC SR NT	SIADÉ, RANDY N.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	220.00	NO
10709	HARRAHS OPER INC SR NT	HUNDLEY, MICHAEL	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	160.00	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE		CHARGE	COMMISSION		
				RECEIVED	POSTED		RECEIVED	HOLD	
BROKER: SACHS, ANDREW STUART (continued)									
10710	HARRAHS OPER INC SR NT	HANNAN, JOHN R.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	100.00	NO	
10711	HARRAHS OPER INC SR NT	FEDYK, PAUL W.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	200.00	NO	
12074	HUNTSMAN CORP	WINGO, CHARLES P.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	55.00	NO	
10581	INTERNATIONAL BUSINESS	BROWN, KENNETH P.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	175.00	NO	
9891	MERCER INTERNATIONAL INC	CIARLO, ANTHONY	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	150.00	NO	
10057	MERCER INTERNATIONAL INC	CHEN, PETER K.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	200.00	NO	
10578	MERCER INTERNATIONAL INC	STROPE, DALE G.	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	150.00	NO	
10708	MERCER INTERNATIONAL INC	DAVIDOV, WILLIAM H.	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	50.00	NO	
10931	MERCER INTERNATIONAL INC	DYKSTRA, JAMES	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	150.00	NO	
10929	MGM RESORTS INTL COM	DAVIDOV, WILLIAM H.	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO	
9554	NUANCE COMMUNICATIONS INC	DAVIDOV, WILLIAM H.	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	50.00	NO	
9895	NUANCE COMMUNICATIONS INC	HANNAN, JOHN R.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	150.00	NO	
12075	PATTERN ENERGY GROUP INC CL A	WINGO, CHARLES P.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	55.00	NO	
9346	TESLA MOTORS INC	DAVIDOV, WILLIAM H.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	100.00	NO	
9462	TESLA MOTORS INC	H OEI, HOWARD H.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	100.00	NO	
9342	TORONTO-DOMINION BANK	STROPE, DALE G.	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	250.00	NO	
10930	TORONTO-DOMINION BANK	JOERS, DAVID	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	150.00	NO	
12076	VISA INC CL A COMMON STOCK	WINGO, CHARLES P.	127 PERGTDE - 12/28/2016	01/03/2017	01/25/2017	0.00	55.00	NO	
9463	VODAFONE GROUP PLC SPONSORED	YALINEWITZ, BOAZ	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	230.00	NO	
9464	VODAFONE GROUP PLC SPONSORED	WATSON, ROGER	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	110.00	NO	
10050	VODAFONE GROUP PLC SPONSORED	STROPE, DALE G.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	270.00	NO	
10051	VODAFONE GROUP PLC SPONSORED	JONES, HARVEY L.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	425.00	NO	
10053	VODAFONE GROUP PLC SPONSORED	PAEGLOW, JOSEPH	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	330.00	NO	
10054	VODAFONE GROUP PLC SPONSORED	YALINEWITZ, BOAZ	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	230.00	NO	
10055	VODAFONE GROUP PLC SPONSORED	CIARLO, ANTHONY	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	150.00	NO	
10056	VODAFONE GROUP PLC SPONSORED	PETERSON, SHAWN	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	385.00	NO	
10059	VODAFONE GROUP PLC SPONSORED	SHIPLEY, CRAIG	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	230.00	NO	
10060	VODAFONE GROUP PLC SPONSORED	DEROIN, JOHN	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	230.00	NO	
10061	VODAFONE GROUP PLC SPONSORED	BROWN, KENNETH P.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	300.00	NO	
10225	VODAFONE GROUP PLC SPONSORED	SANDERS, RALPH R.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	330.00	NO	
10579	VODAFONE GROUP PLC SPONSORED	WATSON, ROGER	115 PERGTDE - 01/19/2017	01/24/2017	01/25/2017	0.00	110.00	NO	
10932	VODAFONE GROUP PLC SPONSORED	DYKSTRA, JAMES	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	200.00	NO	

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: SACHS, ANDREW STUART (continued)</i>								
4409	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	0.42	NO
4436	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	0.42	NO
4489	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.42	NO
4554	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.42	NO
4622	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.42	NO
4716	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.42	NO
4835	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.42	NO
14545	WELLS FARGO EMERGING MARKETS	DEVORE, DOREEN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.42	NO
* SACHS, ANDREW STUART TOTAL *						0.00	12,479.75	
<i>BROKER: SHARTSIS, JAY</i>								
9979	APPLE INC	OSTOW, MARK L.	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	80.00	NO
10864	AXT INC COM	-, MICHAEL KRUEGER	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	50.00	NO
9287	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	105 PERGTDE - 01/04/2017	01/05/2017	01/25/2017	0.00	180.00	NO
9413	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	106 PERGTDE - 01/05/2017	01/05/2017	01/25/2017	0.00	-180.00	NO
9414	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	106 PERGTDE - 01/05/2017	01/05/2017	01/25/2017	0.00	120.00	NO
9517	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	60.00	NO
9518	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	50.00	NO
9519	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	25.00	NO
9520	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	25.00	NO
9521	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	100.00	NO
10266	CALL 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	50.00	NO
9444	CALL 100 GOLDMAN SACHS GROUP	HUNT, GREGORY	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	102.54	NO
9445	CALL 100 GOLDMAN SACHS GROUP	HUNT, GREGORY	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	71.08	NO
10495	CALL 100 GOLDMAN SACHS GROUP	HUNT, GREGORY	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	74.30	NO



# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: SHARTSIS, JAY (continued)</i>								
10496	CALL 100 GOLDMAN SACHS GROUP	HUNT, GREGORY	114 PERGTDE - 01/18/2017	01/19/2017	01/25/2017	0.00	50.00	NO
10556	CALL 100 NETFLIX INC COM EXP	HUNT, GREGORY	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	91.26	NO
10557	CALL 100 NETFLIX INC COM EXP	HUNT, GREGORY	115 PERGTDE - 01/19/2017	01/20/2017	01/25/2017	0.00	61.79	NO
10865	CALL 100 SPDR GOLD TR GOLD	NADEL, JAMES	117 PERGTDE - 01/23/2017	01/24/2017	01/25/2017	0.00	125.00	NO
9516	CALL 100 TAHOE RES INC COM	-, MICHAEL KRUEGER	107 PERGTDE - 01/06/2017	01/09/2017	01/25/2017	0.00	50.00	NO
9515	CPI AEROSTRUCTURES INC COM	-, MICHAEL KRUEGER	107 PERGTDE - 01/06/2017	01/11/2017	01/25/2017	0.00	50.00	NO
9663	DIREXION SHARES ETF TRUST	PRINDLE DDS EMPLOYEES, EDGAR D.	108 PERGTDE - 01/09/2017	01/11/2017	01/25/2017	0.00	330.00	NO
10365	DIREXION SHARES ETF TRUST	PRINDLE DDS EMPLOYEES, EDGAR D.	113 PERGTDE - 01/17/2017	01/19/2017	01/25/2017	0.00	210.00	NO
10479	DIREXION SHARES ETF TRUST	PRINDLE DDS EMPLOYEES, EDGAR D.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	120.00	NO
12217	EV ENERGY PARTNERS LP COM	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12221	EV ENERGY PARTNERS LP COM	SCHIFF MINOR, NICOLE M.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12224	EV ENERGY PARTNERS LP COM	PARTNERSHIP, 311	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	60.00	NO
12228	EV ENERGY PARTNERS LP COM	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12218	FIREEYE INC COM	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12222	FIREEYE INC COM	SCHIFF MINOR, NICOLE M.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	60.00	NO
12225	FIREEYE INC COM	PARTNERSHIP, 311	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	80.00	NO
12229	FIREEYE INC COM	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	120.00	NO
9412	PUT 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	110.00	NO
9415	PUT 100 DIREXION SHS ETF TR	PRINDLE DDS EMPLOYEES, EDGAR D.	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	100.00	NO
10264	PUT 100 SELECT SECTOR SPDR TR	KANTO, WILLIAM P.	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	125.00	NO
10265	PUT 100 SELECT SECTOR SPDR TR	KANTO, WILLIAM P.	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	0.00	125.00	NO
11012	PUT 100 TTM TECHNOLOGIES INC	-, MICHAEL KRUEGER	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	0.00	50.00	NO
12219	SANGAMO BIOSCIENCES INC COM	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12223	SANGAMO BIOSCIENCES INC COM	SCHIFF MINOR, NICOLE M.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12226	SANGAMO BIOSCIENCES INC COM	PARTNERSHIP, 311	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	80.00	NO
12230	SANGAMO BIOSCIENCES INC COM	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	80.00	NO
12227	TRANSOCEAN LTD US LISTED	CINQUEMANI, FRANK	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	50.00	NO
12220	VIRNETX HOLDING CORPORATION	KOBOLD, MICHAEL C.	129 PERGTDE - 12/30/2016	01/05/2017	01/25/2017	0.00	110.66	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
BROKER: SIEGEL, DANIEL (continued)								
* SHARTSIS, JAY TOTAL *						0.00	3,346.63	
BROKER: SIEGEL, DANIEL								
10262	ALLY FINL INC COM	KLUGMAN, ROBERT	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	136.00	NO
10271	ALLY FINL INC COM	KANTOR MINOR, JAIME R.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	79.00	NO
10272	ALLY FINL INC COM	GREENBURG, JON M.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	50.00	NO
10286	ALLY FINL INC COM	KELLY, ROBERT A.	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	136.00	NO
10289	ALLY FINL INC COM	PATRICK E OBRIEN, SEP	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	0.00	112.00	NO
4420	BOND A	LEICHER, MARVIN	67 PER M12B1 - 01/13/2017	01/13/2017	01/17/2017	0.00	5.55	NO
4460	BOND A	LEICHER, MARVIN	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.55	NO
4519	BOND A	LEICHER, MARVIN	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.55	NO
4585	BOND A	LEICHER, MARVIN	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.55	NO
4675	BOND A	LEICHER, MARVIN	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.55	NO
4783	BOND A	LEICHER, MARVIN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.55	NO
4903	BOND A	LEICHER, MARVIN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.55	NO
14614	BOND A	LEICHER, MARVIN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.55	NO
4784	OPPENHEIMER ROCHESTER NEW	LEICHER, MARVIN	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	15.13	NO
4904	OPPENHEIMER ROCHESTER NEW	LEICHER, MARVIN	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	15.13	NO
14615	OPPENHEIMER ROCHESTER NEW	LEICHER, MARVIN	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	15.13	NO
12112	PULTEGROUP INC COM	KLUGMAN, ROBERT	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	133.00	NO
12129	PULTEGROUP INC COM	GREENBURG, JON M.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	50.00	NO
12144	PULTEGROUP INC COM	KELLY, ROBERT A.	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	133.00	NO
12148	PULTEGROUP INC COM	PATRICK E OBRIEN, SEP	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	0.00	133.00	NO
9791	VIACOM INC CLASS B	KLUGMAN, ROBERT	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	129.00	NO
9840	VIACOM INC CLASS B	KELLY, ROBERT A.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	129.00	NO
* SIEGEL, DANIEL TOTAL *						0.00	1,309.79	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: SULLIVAN, IRENE</i>								
4444	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	2.69	NO
4502	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	2.69	NO
4567	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	2.69	NO
4640	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.69	NO
4740	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.69	NO
4859	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.69	NO
14569	DAVIS SERIES INC FINANCIAL	SOLE, ABIGAIL G.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.69	NO
4641	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	8.72	NO
4642	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	7.29	NO
4643	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	2.00	NO
4644	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.72	NO
4741	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	8.72	NO
4742	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	7.29	NO
4743	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	2.00	NO
4744	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.72	NO
4860	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	8.72	NO
4861	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	7.29	NO
4862	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	2.00	NO
4863	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.72	NO
14570	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	8.72	NO
14571	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	7.29	NO
14572	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	2.00	NO
14573	GOLDMAN SACHS TOLLKEEPER FUND	HARRISON, LISA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.72	NO
* SULLIVAN, IRENE TOTAL *						0.00	97.75	
<i>BROKER: TOMLINSON, JAY</i>								
10646	ASPEN GROUP INC COM NEW	INTERACTIVE BROKERS LLC	116 PERGTDE - 01/20/2017	01/25/2017	01/25/2017	0.00	2.60	NO
10814	ASPEN GROUP INC COM NEW	INTERACTIVE BROKERS LLC	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	-2.60	NO
10815	ASPEN GROUP INC COM NEW	INTERACTIVE BROKERS LLC	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	260.10	NO
10816	ASPEN GROUP INC COM NEW	INTERACTIVE BROKERS LLC	117 PERGTDE - 01/23/2017	01/26/2017	01/25/2017	0.00	200.00	NO
* TOMLINSON, JAY TOTAL *						0.00	460.10	

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: WINNICK, CHARLES</i>								
9764	ALLY FINL INC COM	PARTNERS, F/A/O: B.	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	80.00	NO
9458	BRYN MAWR BANK CORP	KOPIN, JOSHUA A.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	51.00	NO
9459	BRYN MAWR BANK CORP	KOPIN, ZACHARY S.	106 PERGTDE - 01/05/2017	01/10/2017	01/25/2017	0.00	51.00	NO
9722	CAPITOL FED FINL INC COM	HUNTINGTON NATIONAL BANK	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	935.00	NO
10452	FASTENAL CO	PARTNERS, F/A/O: B.	114 PERGTDE - 01/18/2017	01/23/2017	01/25/2017	0.00	1.20	NO
10523	FASTENAL CO	PARTNERS, F/A/O: B.	115 PERGTDE - 01/19/2017	01/23/2017	01/25/2017	0.00	-1.20	NO
10221	INDEPENDENT BANK CORP-MASS	KOPIN, BENJAMIN E.	111 PERGTDE - 01/12/2017	01/18/2017	01/25/2017	0.00	51.00	NO
9723	MACK CALI RLTY CORP COM	HUNTINGTON NATIONAL BANK	109 PERGTDE - 01/10/2017	01/13/2017	01/25/2017	0.00	530.75	NO
9471	PUT 100 PBF ENERGY INC CL A	HOLMES, MEGAN N.	106 PERGTDE - 01/05/2017	01/06/2017	01/25/2017	0.00	75.00	NO
10714	PUT 100 RITE AID CORP EXP	HOLMES, MEGAN N.	116 PERGTDE - 01/20/2017	01/23/2017	01/25/2017	0.00	75.00	NO
9715	PUT 100 SAREPTA THERAPEUTICS	HOLMES, MEGAN N.	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	0.00	80.00	NO
9340	SBERBANK OF RUSSIA SPONS ADR	WADLER, FREDERICK S.	105 PERGTDE - 01/04/2017	01/06/2017	01/25/2017	0.00	51.00	NO
9151	TROPICANA ENTMT INC COM	UBS SECURITIES LLC PRIME	104 PERGTDE - 01/03/2017	01/06/2017	01/25/2017	0.00	160.00	NO
9245	TROPICANA ENTMT INC COM	UBS SECURITIES LLC PRIME	105 PERGTDE - 01/04/2017	01/09/2017	01/25/2017	0.00	200.00	NO
10923	WEBSTER FINL CORP WATERBURY	TIMYAN, PHILIP J.	117 PERGTDE - 01/23/2017	01/25/2017	01/25/2017	0.00	51.00	NO
9954	WESTERN URANIUM CORP SHS	UBS SECURITIES LLC PRIME	110 PERGTDE - 01/11/2017	01/17/2017	01/25/2017	0.00	1,600.00	NO
10982	WISDOMTREE INVTS INC COM	PARTNERS, F/A/O: B.	118 PERGTDE - 01/24/2017	01/26/2017	01/25/2017	0.00	200.00	NO
* WINNICK, CHARLES TOTAL *						0.00	4,190.75	

*BROKER: WOLLOCH, MICHAEL*

4696	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD TRUST, JANE	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	18.63	NO
4697	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD, JANE A.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	12.42	NO
4698	ALLIANCEBERNSTEIN LARGE-CAP	MERGED TRUST, CARA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	12.42	NO
4814	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD TRUST, JANE	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	18.63	NO
4815	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD, JANE A.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	12.42	NO
4816	ALLIANCEBERNSTEIN LARGE-CAP	MERGED TRUST, CARA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	12.42	NO
4934	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD TRUST, JANE	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	18.63	NO
4935	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD, JANE A.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	12.42	NO
4936	ALLIANCEBERNSTEIN LARGE-CAP	MERGED TRUST, CARA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	12.42	NO
14648	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD TRUST, JANE	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	18.63	NO
14649	ALLIANCEBERNSTEIN LARGE-CAP	PEDDICORD, JANE A.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	12.42	NO
14650	ALLIANCEBERNSTEIN LARGE-CAP	MERGED TRUST, CARA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	12.42	NO

# ALL CATEGORIES COMMISSION POSTING LOG

Grouped by Broker  
All Postings thru 01/26/2017

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: WOLLOCH, MICHAEL (continued)</i>								
9695	DANONE SPONSORED ADR	WOLLEMAN, TODD	108 PERGTDE - 01/09/2017	01/12/2017	01/25/2017	10.00	73.00	NO
12150	HOLLYWOOD MEDIA CORP COM	RODGERS, VIRGINIA	128 PERGTDE - 12/29/2016	01/04/2017	01/25/2017	10.00	6,000.00	NO
12255	HOLLYWOOD MEDIA CORP COM	RODGERS, VIRGINIA	129 PERGTDE - 12/30/2016	01/04/2017	01/25/2017	10.00	-6,000.00	NO
12256	HOLLYWOOD MEDIA CORP COM	RODGERS, VIRGINIA	129 PERGTDE - 12/30/2016	01/04/2017	01/25/2017	10.00	600.00	NO
10293	MC DONALDS CORP	WOLLEMAN, TODD	112 PERGTDE - 01/13/2017	01/19/2017	01/25/2017	10.00	53.00	NO
4476	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	47.59	NO
4541	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	47.59	NO
4607	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	47.59	NO
4700	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	47.59	NO
4818	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	47.59	NO
4938	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	47.59	NO
14652	MFS GOVERNMENT SECURITIES	MAYER, MURRAY	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	47.59	NO
14653	NUVEEN CORE BOND FUND CLASS A	AGAR REVOCABLE TRST, BARBARA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	6.19	NO
4608	OLSTEIN ALL CAP VALUE FUND	MIRZOEFF, DANA	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	54.53	NO
4609	OLSTEIN ALL CAP VALUE FUND	KRAUSS, NANCY E.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	42.92	NO
4701	OLSTEIN ALL CAP VALUE FUND	MIRZOEFF, DANA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	54.53	NO
4702	OLSTEIN ALL CAP VALUE FUND	KRAUSS, NANCY E.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	42.92	NO
4819	OLSTEIN ALL CAP VALUE FUND	MIRZOEFF, DANA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	54.53	NO
4820	OLSTEIN ALL CAP VALUE FUND	KRAUSS, NANCY E.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	42.92	NO
4939	OLSTEIN ALL CAP VALUE FUND	MIRZOEFF, DANA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	54.53	NO
4940	OLSTEIN ALL CAP VALUE FUND	KRAUSS, NANCY E.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	42.92	NO
14654	OLSTEIN ALL CAP VALUE FUND	MIRZOEFF, DANA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	54.53	NO
14655	OLSTEIN ALL CAP VALUE FUND	KRAUSS, NANCY E.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	42.92	NO
9671	PUT 100 ABBVIE INC COM EXP	WOLLEMAN, TODD	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	10.00	73.00	NO
9670	PUT 100 EATON CORPORATION PLC	WOLLEMAN, TODD	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	10.00	73.00	NO
11021	PUT 100 EATON CORPORATION PLC	WOLLEMAN, TODD	118 PERGTDE - 01/24/2017	01/25/2017	01/25/2017	10.00	63.00	NO
9669	PUT 100 FACEBOOK INC CL A EXP	WOLLEMAN, TODD	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	10.00	68.00	NO
10279	PUT 100 MCDONALDS CORP EXP	WOLLEMAN, TODD	112 PERGTDE - 01/13/2017	01/17/2017	01/25/2017	10.00	53.00	NO
9672	PUT 100 TEVA PHARMACEUTICAL	WOLLEMAN, TODD	108 PERGTDE - 01/09/2017	01/10/2017	01/25/2017	10.00	73.00	NO
4821	PUTNAM 746782101	DEMSKO, DARYL	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	11.14	NO
4941	PUTNAM 746782101	DEMSKO, DARYL	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	11.14	NO
14656	PUTNAM 746782101	DEMSKO, DARYL	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	11.14	NO

**ALL CATEGORIES COMMISSION POSTING LOG**

**Grouped by Broker**  
**All Postings thru 01/26/2017**

TRADE #	PRODUCT	CLIENT	BATCH	DATE RECEIVED	DATE POSTED	CHARGE	COMMISSION RECEIVED	HOLD
<i>BROKER: WOLLOCH, MICHAEL (continued)</i>								
4477	SELECTED AMERICAN SHARES	TUERS, ERIC	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	1.09	NO
4478	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	5.91	NO
4479	SELECTED AMERICAN SHARES	GORDON, ARLENE	68 PER M12B1 - 01/17/2017	01/17/2017	01/18/2017	0.00	4.89	NO
4542	SELECTED AMERICAN SHARES	TUERS, ERIC	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	1.09	NO
4543	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	5.91	NO
4544	SELECTED AMERICAN SHARES	GORDON, ARLENE	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	4.89	NO
4610	SELECTED AMERICAN SHARES	TUERS, ERIC	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	1.09	NO
4611	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	5.91	NO
4612	SELECTED AMERICAN SHARES	GORDON, ARLENE	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	4.89	NO
4703	SELECTED AMERICAN SHARES	TUERS, ERIC	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	1.09	NO
4704	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	5.91	NO
4705	SELECTED AMERICAN SHARES	GORDON, ARLENE	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	4.89	NO
4822	SELECTED AMERICAN SHARES	TUERS, ERIC	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	1.09	NO
4823	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	5.91	NO
4824	SELECTED AMERICAN SHARES	GORDON, ARLENE	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	4.89	NO
4942	SELECTED AMERICAN SHARES	TUERS, ERIC	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	1.09	NO
4943	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	5.91	NO
4944	SELECTED AMERICAN SHARES	GORDON, ARLENE	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	4.89	NO
14657	SELECTED AMERICAN SHARES	TUERS, ERIC	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	1.09	NO
14658	SELECTED AMERICAN SHARES	MIRZOEFF, DANA	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	5.91	NO
14659	SELECTED AMERICAN SHARES	GORDON, ARLENE	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	4.89	NO
4540	THE BOND FUND OF AMERICA-A	JASON, LAURIE P.	69 PER M12B1 - 01/18/2017	01/18/2017	01/19/2017	0.00	0.01	NO
4606	THE BOND FUND OF AMERICA-A	JASON, LAURIE P.	70 PER M12B1 - 01/19/2017	01/19/2017	01/20/2017	0.00	0.01	NO
4699	THE BOND FUND OF AMERICA-A	JASON, LAURIE P.	71 PER M12B1 - 01/20/2017	01/20/2017	01/23/2017	0.00	0.01	NO
4817	THE BOND FUND OF AMERICA-A	JASON, LAURIE P.	72 PER M12B1 - 01/23/2017	01/23/2017	01/24/2017	0.00	0.01	NO
4937	THE BOND FUND OF AMERICA-A	JASON, LAURIE P.	73 PER M12B1 - 01/24/2017	01/24/2017	01/25/2017	0.00	0.01	NO
14651	THE BOND FUND OF AMERICA-A	JASON, LAURIE P.	131 PER M12B1 - 01/25/2017	01/25/2017	01/26/2017	0.00	0.01	NO
* WOLLOCH, MICHAEL TOTAL *						110.00	2,246.16	

**REPORT TOTAL: 3,579.00 153,000.31**