

## COMMISSION STATEMENT

September 30, 2018

STEPHEN MOSS  
1336 MARYLAND AVENUE

BROKER #: V05 A05  
BRANCH: FULCRUM GROUP

HAVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/11/2018 (None)	VARIOUS, MOSS	529 PLAN	B	0.00	3.50	0.00	3.50	50.0	1.75
09/27/2018 (None)	VARIOUS, MOSS	MASSMUTUAL	B	0.00	8.27	0.00	8.27	50.0	4.14
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$11.77	\$0.00	\$11.77		\$5.89

PRODUCT CATEGORY: STOCKS

09/17/2018 75501813	IRWIN, LYND	SPDR INDEX SHS FDS DOW JONES GLOBAL S		195.00	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR INDEX SHS FDS S&P INTERNATIONAL S		68.16	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR INDEX SHS FDS S&P EMERGING MAR S		237.72	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR INDEX SHS FDS S&P WORLD EX-US S		880.44	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR RUSSELL 1000 ETF	S	1,329.12	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR RUSSELL 2000 ETF	S	369.93	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR SERIES TRUST SPDR BLOOMBERG BA S		34.20	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR SERIES TRUST SPDR PORTFOLIO IN S		99.78	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR SERIES TRUST SPDR BLOOMBERG BA S		218.28	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR SERIES TRUST SPDR BLOOMBERG BA S		220.12	0.00	0.00	0.00	50.0	0.00
09/17/2018 75501813	IRWIN, LYND	SPDR SERIES TRUST SPDR BLOOMBERG BA S		1,303.78	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	INVESCO EXCHANGE TRADED FD TR II IN B		884.89	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	INVESCO EXCHANGE TRADED FD TR II IN B		20,786.81	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	INVESCO QQQ TR UNIT SER 1	S	2,763.45	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES FLOATING RATE BOND ETF	S	53,523.75	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES IBOX \$ HIGH YIELD CORPORAT B		948.97	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES IBOX \$ HIGH YIELD CORPORAT B		20,706.96	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES RUSSELL 2000 ETF	S	2,217.54	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET S		4,115.60	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF S		2,204.54	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	S	2,816.96	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	B	23,589.76	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	B	23,584.38	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	B	23,483.78	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF	B	23,572.94	0.00	0.00	0.00	50.0	0.00
09/13/2018 75501877	MORRIS WEISMAN TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV S		51,970.56	0.00	0.00	0.00	50.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/13/2018	75501877	MORRIS WEISMAN TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA S	51,973.82	0.00	0.00	0.00	50.0	0.00
09/13/2018	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B	21,399.90	0.00	0.00	0.00	50.0	0.00
09/13/2018	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B	938.60	0.00	0.00	0.00	50.0	0.00
09/25/2018	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US B	62.11	0.00	0.00	0.00	50.0	0.00
09/25/2018	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	82.85	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR INDEX SHS FDS S&P EMERGING MAR B	1,470.60	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR INDEX SHS FDS S&P WORLD EX-US B	5,286.75	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR INDEX SHARES FUNDS SPDR S&P EM B	270.30	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR INDEX SHS FDS DOW JONES GLOBAL B	1,173.84	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR INDEX SHS FDS S&P INTERNATIONAL B	575.79	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR RUSSELL 1000 ETF B	6,763.55	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR RUSSELL 2000 ETF B	2,059.36	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	7,918.73	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	1,477.71	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	1,183.36	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	585.14	0.00	0.00	0.00	50.0	0.00
09/13/2018	75502191	LEIGH, JOHN	SPDR SERIES TRUST SPDR PORTFOLIO IN B	566.19	0.00	0.00	0.00	50.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$365,916.02</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
09/06/2018	(None)	VARIOUS, MOSS	JACKSON B	0.00	16.80	0.00	16.80	50.0	8.40
09/07/2018	(None)	VARIOUS, MOSS	MASS MUTUAL B	0.00	8.06	0.00	8.06	50.0	4.03
09/13/2018	(None)	VARIOUS, MOSS	LINCOLN B	0.00	276.96	0.00	276.96	50.0	138.48
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$301.82</b>	<b>\$0.00</b>	<b>\$301.82</b>		<b>\$150.91</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/01/2018	75501796	MOSS, KATHLEEN	FAS FEES PT. III/III B	-148.63	49.28	0.00	49.28	50.0	24.64
07/01/2018	75501797	HERTEN, TIMOTHY	FAS FEES PT. III/III B	-114.91	38.10	0.00	38.10	50.0	19.05
07/01/2018	75501799	HERTEN, TIMOTHY	FAS FEES PT. III/III B	-112.20	37.20	0.00	37.20	50.0	18.60
07/01/2018	75501801	JOHN W SCHULTZ TTEE	FAS FEES PT. III/III B	-381.40	126.45	0.00	126.45	50.0	63.23
07/01/2018	75501802	MCELREAVEY, DANIEL	FAS FEES PT. III/III B	-192.74	63.90	0.00	63.90	50.0	31.95
07/01/2018	75501873	BESETH, DAVID	FAS FEES PT. III/III B	-435.52	144.39	0.00	144.39	50.0	72.20
07/01/2018	75501875	RALPH G BARTO TRUST	FAS FEES PT. III/III B	-610.84	202.51	0.00	202.51	50.0	101.26
07/01/2018	75501877	MORRIS WEISMAN TTEE	FAS FEES PT. III/III B	-1,697.68	562.82	0.00	562.82	50.0	281.41
07/01/2018	75501878	MORRIS WEISMAN TTEE	FAS FEES PT. III/III B	-215.72	71.52	0.00	71.52	50.0	35.76
07/01/2018	75501907	HESTON, EUGENE	FAS FEES PT. III/III B	-385.16	127.69	0.00	127.69	50.0	63.85
07/01/2018	75501922	HAFENMAIER, HELEN	FAS FEES PT. III/III B	-303.89	100.75	0.00	100.75	50.0	50.38
07/01/2018	75501924	CUNNINGHAM, WENDY	FAS FEES PT. III/III B	-348.21	115.44	0.00	115.44	50.0	57.72
07/01/2018	75501937	BERG, ELIZABETH	FAS FEES PT. III/III B	-332.67	110.29	0.00	110.29	50.0	55.15
07/01/2018	75501944	EDWARD W CUNICELLI	FAS FEES PT. III/III B	-317.06	105.12	0.00	105.12	50.0	52.56

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/01/2018	75502025	THIEU, MINH	FAS FEES PT. III/III	B	-499.12	165.47	0.00	165.47	50.0	82.74
07/01/2018	75502026	DAVID DIEU TTEE	FAS FEES PT. III/III	B	-332.58	110.26	0.00	110.26	50.0	55.13
07/01/2018	75502095	VERBITSKI, THOMAS	FAS FEES PT. III/III	B	-1,040.30	344.89	0.00	344.89	50.0	172.45
07/01/2018	75502176	DENNIN, MARY	FAS FEES PT. III/III	B	-236.31	78.35	0.00	78.35	50.0	39.18
09/15/2018	75502191	LEIGH, JOHN	FAS FEES	B	-19.23	19.23	0.00	19.23	50.0	9.62
				* RIA SUBTOTAL *	\$-7,724.17	\$2,573.66	\$0.00	\$2,573.66		\$1,286.88

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018		FEE COUNT - 7			0.00	3.49	0.00	3.49	50.0	0.64
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.49	\$0.00	\$3.49		\$0.64
				*** BROKER TRANSACTIONS TOTAL ***	\$358,191.85	\$2,890.74	\$0.00	\$2,890.74		\$1,444.32

ADJUSTMENTS

09/30/2018		OTHER: UNBUNDLED FEES 3/3			0.00	0.00	0.00	0.00		-255.89
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-255.89

BROKER COMMISSION TOTALS

Broker Transactions	\$1,444.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-255.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.61
SIPC Assessment	\$-7.23

Check Amount	\$1,177.59
Year-to-date Earnings	\$13,780.02