

COMMISSION STATEMENT

August 31, 2018

SCOTT SICKLES-D060
 4200 Ridgcrest Circle
 Suite A4
 Amarillo, TX 79101

BROKER #: D060
 BRANCH: DMC

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.96	0.00	0.96	75.0	0.72
08/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	8.30	0.00	8.30	75.0	6.23
08/21/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.66	0.00	7.66	75.0	5.75
08/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.69	0.00	4.69	75.0	3.52
08/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.22	0.00	0.22	75.0	0.17
08/21/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	12.73	0.00	12.73	75.0	9.55
08/21/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	23.80	0.00	23.80	75.0	17.85
08/21/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	23.16	0.00	23.16	75.0	17.37
08/21/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.33	0.00	5.33	75.0	4.00
08/21/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.06	0.00	1.06	75.0	0.80
08/21/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.60	0.00	0.60	75.0	0.45
08/21/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	26.04	0.00	26.04	75.0	19.53
08/21/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.13	0.00	9.13	75.0	6.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$123.68	\$0.00	\$123.68		\$92.79
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$123.68	\$0.00	\$123.68		\$92.79

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/20/2018	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
08/20/2018	0000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
08/20/2018	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
08/20/2018	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
08/20/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	500.00	5.00	0.00	5.00	75.0	3.75
08/20/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
08/20/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
08/20/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
08/20/2018	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
08/20/2018	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
08/20/2018	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/15/2018	04000638082 PEREZ, GEORGIA	MF THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$1,120.00	\$17.21	\$0.00	\$17.21		\$12.92
*** DIRECT TRANSACTIONS TOTAL ***				\$1,120.00	\$17.21	\$0.00	\$17.21		\$12.92

ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$105.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-49.29
Year-to-date Commissions	\$4,556.96