

COMMISSION STATEMENT October 31, 2018

JIM HUGHES-D349
 150 West Gibson
 Suite 100
 Jasper, TX 75951

BROKER #: D349
 BRANCH: DMV

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/25/2018	000000000055083	MEDLEY, DONNA MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	2.00
10/10/2018	0000000002367136	JONES, JESS MF	FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50	1.20
10/25/2018	0000000003912312	ADAMS, MICH MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	2.40
10/25/2018	0000000004070696	MEDLEY, DONNA MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	2.00
* MUTUAL FUNDS SUBTOTAL *				\$950.00	\$9.50	\$0.00	\$9.50		\$7.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018	(None)	HUGHES, VARIOUS	MFT MAINSTAY	B	0.00	54.81	0.00	54.81	43.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$54.81	\$0.00	\$54.81		\$43.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
10/19/2018	(None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	29.82	0.00	29.82	23.86
10/15/2018	VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.91	0.00	10.91	8.73
10/18/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.56	0.00	1.56	1.25
10/19/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.61	0.00	1.61	1.29
10/18/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.16	0.00	1.16	0.93
10/19/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.34	0.00	1.34	1.07
10/18/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.73	0.00	3.73	2.98
10/19/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	4.25	0.00	4.25	3.40
10/18/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.11	0.00	1.11	0.89
10/19/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.23	0.00	1.23	0.98
10/18/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	0.73	0.00	0.73	0.58
10/19/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	0.70	0.00	0.70	0.56
10/18/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.75	0.00	1.75	1.40
10/19/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.63	0.00	1.63	1.30
10/18/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	3.95	0.00	3.95	3.16
10/19/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	4.35	0.00	4.35	3.48
10/15/2018	VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92	11.94
10/09/2018	VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	1.43	0.00	1.43	1.14
10/15/2018	VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.72	0.00	9.72	7.78
10/15/2018	VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	21.95	0.00	21.95	17.56
10/15/2018	VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	10.98

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		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$131.58	\$0.00	\$131.58		\$105.26
		*** DIRECT TRANSACTIONS TOTAL ***		\$950.00	\$195.89	\$0.00	\$195.89		\$156.71

LINKED DIRECT TRANSACTIONS**BROKER: JIM HUGHES-D355 #152**

09/27/2018	000090237684460	ADAMSJOSCN	MF	FRANKLIN INCOME FUND CLASS A	B	-300.00	-12.00	0.00	-12.00	-9.60
				* JIM HUGHES-D355 SUBTOTAL *		\$-300.00	\$-12.00	\$0.00	\$-12.00	\$-9.60
				*** LINKED DIRECT TRANSACTIONS TOTAL ***		\$-300.00	\$-12.00	\$0.00	\$-12.00	\$-9.60

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

10/31/2018		:	BROKER WORKSTATION	0.00	0.00	0.00	0.00		-30.00
10/31/2018		:	E&O	0.00	0.00	0.00	0.00		-130.00
10/31/2018		:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00		-25.00
			* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
			*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$147.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-37.89
Year-to-date Commissions	\$34,231.81