

COMMISSION STATEMENT

September 15, 2018

JIM HUGHES-D349
 150 West Gibson
 Suite 100
 Jasper, TX 75951

BROKER #: D349
 BRANCH: DMV

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/27/2018	000000000055083	MEDLEY, DONNA MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	80.0	2.00
09/04/2018	0000000001642697	RODGEKATH MF	FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	80.0	0.80
08/27/2018	0000000003912312	ADAMS, MICH MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	80.0	2.40
08/27/2018	0000000004070696	MEDLEY, DONNA MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	80.0	2.00
09/04/2018	0000000007053662	DUFREMAXA MF	CUSIP 645769696	B	100.00	5.00	0.00	5.00	80.0	4.00
09/04/2018	0000000012760498	POLLOSTEP MF	FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	80.0	3.66
09/05/2018	0000000012879742	HUFFMMICHR MF	FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	80.0	1.60
08/27/2018	000090237684460	ADAMSJOSCN MF	FRANKLIN INCOME FUND CLASS A	B	300.00	12.00	0.00	12.00	80.0	9.60
* MUTUAL FUNDS SUBTOTAL *					\$1,958.33	\$32.58	\$0.00	\$32.58		\$26.06
PRODUCT CATEGORY: VARIABLE ANNUITIES										
09/05/2018	(None) ELWELL, JOSEPH	VA	SECURITY BENEFIT LIFE	B	0.00	28.67	0.00	28.67	80.0	22.94
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$28.67	\$0.00	\$28.67		\$22.94
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/04/2018	01076259519	MARY E GILLIS	MFT THE INVESTMENT COMPANY OF	B	0.00	1.92	0.00	1.92	80.0	1.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1.92	\$0.00	\$1.92		\$1.54
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
08/30/2018	(None) POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	55.51	0.00	55.51	80.0	44.41
08/30/2018	(None) BALDWIN, PATRICIA	VAT	SECURITY BENEFIT LIFE	B	0.00	0.57	0.00	0.57	80.0	0.46
08/30/2018	(None) ELWELL, JOSEPH	VAT	SECURITY BENEFIT LIFE	B	0.00	31.54	0.00	31.54	80.0	25.23
08/30/2018	(None) FISHBACK, CECILE	VAT	SECURITY BENEFIT LIFE	B	0.00	0.75	0.00	0.75	80.0	0.60
08/30/2018	(None) POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	80.67	0.00	80.67	80.0	64.54
08/30/2018	(None) TAYLOR, JEWELL	VAT	SECURITY BENEFIT LIFE	B	0.00	1.14	0.00	1.14	80.0	0.91
09/07/2018	(None) ADVANTAGE	VAT	MASS MUTUAL	B	0.00	33.61	0.00	33.61	80.0	26.89
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$203.79	\$0.00	\$203.79		\$163.04
*** DIRECT TRANSACTIONS TOTAL ***					\$1,958.33	\$266.96	\$0.00	\$266.96		\$213.58

BROKER COMMISSION TOTALS

Broker Transactions	\$213.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-25.72
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$187.86
Year-to-date Commissions	\$29,802.35