R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

October 31, 2018

STEPHEN MOSS

1336 MARYLAND AVENUE

HAVERTOWN, PA 19082

BROKER #: V05 A05

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS							
10/05/2018 (None) VARIOUS, MOSS	MASSMUTUAL B	0.00	158.59	0.00	158.59	50.0	79.30
10/19/2018 (None) VARIOUS, MOSS	529 PLAN B	0.00	17.39	0.00	17.39	50.0	8.70
	* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$175.98	\$0.00	\$175.98		\$88.00
PRODUCT CATEGORY: STOCKS							
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P INTERNATIONA B	34.30	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P WORLD EX-US B	30.72	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR RUSSELL 1000 ETF S	340.48	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR RUSSELL 2000 ETF S	33.19	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR PORTFOLIO IN B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	67.66	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.60	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	166.24	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	INVESCO QQQ TR UNIT SER 1 S	3,927.25	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	21,138.86	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES MSCI EMERGING MARKETS ETF S	13,720.94	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES RUSSELL 2000 ETF B	14,766.80	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES S&P SMALL CAP 600 GROWTH ET B	14,380.85	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES S&P500 GROWTH INDEX FD S	4,116.25	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES TR S&P MIDCAP 400 GROWTH S	16,536.52	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES U S INDUSTRIALS ETF B	7,302.50	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES U S TECHNOLOGY ETF B	7,326.29	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES U S HEALTHCARE ETF B	17,917.38	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES U S FINANCIALS ETF S	10,133.77	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	ISHARES U S CONSUMER SERVICES ETF B	7,036.02	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	PIMCO ENHANCED SHORT MATURITY ACTIV B	20,499.97	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	SPDR DOW JONES INDL AVERAGE ETF TR S	18,224.64	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	SPDR S&P 500 ETF TRUST S	3,848.11	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BAS	20,677.87	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875 RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BAS	20,923.60	0.00	0.00	0.00	50.0	0.00

INVESTMENT

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TRADE DATE	CLIENI	INVESIMENT B/S	AMOUNI	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS							
09/28/2018 7	5501876 ROHRBACH, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US B	61.44	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5501876 ROHRBACH, EDWARD	SPDR RUSSELL 1000 ETF S	136.19	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5501876 ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	33.83	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5501876 ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	83.12	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	INVESCO EXCHANGE TRADED FD TR II IN S	20,827.85	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	INVESCO QQQ TR UNIT SER 1 S	2,389.53	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES FLOATING RATE BOND ETF B	22,059.19	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	1,442.37	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES RUSSELL 2000 ETF S	2,030.47	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD S	2,807.72	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF S	29,042.08	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET S	1,479.20	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF B	10,259.74	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF B	32,837.77	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF B	11,236.04	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF B	11,378.43	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF S	22,004.32	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV B	22,022.25	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST B	26,500.79	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501877 MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S	21,684.89	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	INVESCO EXCHANGE TRADED FD TR EMERG S	4,476.25	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	INVESCO QQQ TR UNIT SER 1 B	5,847.32	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES FLOATING RATE BOND ETF B	4,839.78	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	5,012.05	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES RUSSELL 2000 ETF B	5,783.84	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET B	5,742.75	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD B	5,799.15	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF S	5,178.69	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH S	4,965.01	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF B	7,371.24	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF S	4,355.49	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF S	6,793.83	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV B	4,871.52	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR S	5,089.80	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL S	4,665.10	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	STANDARD & POORS MIDCAP 400 SPDR S& S	4,489.42	0.00	0.00	0.00	50.0	0.00
10/11/2018 7	5501878 MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S	4,804.26	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5501932 CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US B	30.72	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5501932 CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5501932 CUNICELLI, EDWARD	SPDR RUSSELL 1000 ETF S	68.10	0.00	0.00	0.00	50.0	0.00

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BROKER TRANSACTIONS							
PRODUCT CATEGORY: STOCKS							
09/28/2018 75501932 CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.71	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P WORLD EX-US B	61.44	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P INTERNATIONA B	34.30	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR RUSSELL 1000 ETF S	272.38	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR PORTFOLIO IN B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B	83.12	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501945 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B	67.66	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501994 MCCOACH, MARY	SPDR RUSSELL 1000 ETF S	34.05	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501994 MCCOACH, MARY	SPDR SERIES TRUST SPDR PORTFOLIO IN B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501994 MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BAS	27.69	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	INVESCO EXCHANGE TRADED FD TR EMERG S	2,960.00	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	INVESCO QQQ TR UNIT SER 1 B	3,825.15	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES FLOATING RATE BOND ETF B	3,260.80	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	3,340.35	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES RUSSELL 2000 ETF B	3,920.40	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES S&P SMALL CAP 600 GROWTH ET B	3,894.20	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES S&P500 GROWTH INDEX FD B	3,843.40	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES S&P SMALL CAP 600 VALUE ETF S	3,416.70	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES TR S&P MIDCAP 400 GROWTH S	3,404.70	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES U S FINANCIALS ETF S	4,507.34	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES U S TECHNOLOGY ETF S	3,436.02	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	ISHARES U S HEALTHCARE ETF B	4,811.52	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	PIMCO ENHANCED SHORT MATURITY ACTIV B	3,246.08	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	SPDR DOW JONES INDL AVERAGE ETF TR S	3,454.23	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	SPDR SER TR BLOOMBERG BARCLAYS INTL S	3,213.29	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	STANDARD & POORS MIDCAP 400 SPDR S& S	3,239.41	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502025 THIEU, MINH	VANECK VECTORS ETF TR HIGH YIELD MU S	3,228.40	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	INVESCO EXCHANGE TRADED FD TR EMERG S	4,908.51	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	INVESCO QQQ TR UNIT SER 1 B	6,734.74	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES FLOATING RATE BOND ETF B	5,604.28	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	5,737.21	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES RUSSELL 2000 ETF B	6,704.32	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF S	5,863.68	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES S&P500 GROWTH INDEX FD B	6,631.00	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET B	6,623.20	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES TR S&P MIDCAP 400 GROWTH S	5,903.30	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES U S FINANCIALS ETF S	7,433.47	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES U S TECHNOLOGY ETF S	5,719.50	0.00	0.00	0.00	50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	ISHARES U S HEALTHCARE ETF B	8,217.22	0.00	0.00	0.00	50.0	0.00

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	BROKER TRANSA	CTIONS				
PRODUCT CATEGORY: STOCKS						
10/04/2018 75502026 DAVID DIEU TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV B	5,579.20	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	SPDR DOW JONES INDL AVERAGE ETF TR S	6,106.50	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	SPDR S&P 500 ETF TRUST B	1,155.40	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL S	5,346.51	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	STANDARD & POORS MIDCAP 400 SPDR S& S	5,401.42	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502026 DAVID DIEU TTEE	VANECK VECTORS ETF TR HIGH YIELD MUS	5,196.19	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P WORLD EX-US B	30.72	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR RUSSELL 1000 ETF S	374.52	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR PORTFOLIO IN B	66.57	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	221.66	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	33.83	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502094 VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.60	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	INVESCO QQQ TR UNIT SER 1 S	7,516.12	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES FLOATING RATE BOND ETF B	9,731.07	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	27,004.35	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES MSCI EMERGING MARKETS ETF S	21,102.26	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES RUSSELL 2000 ETF B	21,091.20	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES S&P500 GROWTH INDEX FD S	7,192.22	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES S&P SMALL CAP 600 GROWTH ET B	21,193.93	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES U S FINANCIALS ETF S	19,055.17	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	ISHARES U S HEALTHCARE ETF B	19,482.45	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	PIMCO ENHANCED SHORT MATURITY ACTIV B	26,170.23	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	SPDR DOW JONES INDL AVERAGE ETF TR S	27,140.16	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	SPDR S&P 500 ETF TRUST S	6,087.90	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	SPDR SERIES TRUST SPDR BLOOMBERG BAS	17,593.32	0.00	0.00	0.00 50.0	0.00
10/04/2018 75502095 VERBITSKI, THOMAS	VANECK VECTORS ETF TR HIGH YIELD MUS	16,342.00	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	INVESCO QQQ TR UNIT SER 1 B	2,037.48	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES FLOATING RATE BOND ETF B	3,056.70	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	3,133.16	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES RUSSELL 2000 ETF B	2,156.56	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES S&P500 GROWTH INDEX FD B	2,121.21	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES S&P SMALL CAP 600 GROWTH ET B	2,007.06	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES U S INDUSTRIALS ETF B	2,601.54	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES U S TECHNOLOGY ETF B	2,651.25	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES U S CONSUMER SERVICES ETF B	2,515.44	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	ISHARES U S HEALTHCARE ETF B	2,670.22	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	PIMCO ENHANCED SHORT MATURITY ACTIV B	3,044.70	0.00	0.00	0.00 50.0	0.00
10/11/2018 75502095 VERBITSKI, THOMAS	SPDR S&P 500 ETF TRUST B	1,911.25	0.00	0.00	0.00 50.0	0.00
09/28/2018 75502174 SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P INTERNATIONA B	34.30	0.00	0.00	0.00 50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS							
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P WORLD EX-US B	92.16	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P EMERGING MAR B	104.22	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR INDEX SHARES FUNDS SPDR S&P EM B	44.77	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR RUSSELL 2000 ETF S	33.19	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR RUSSELL 1000 ETF S	544.76	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR PORTFOLIO IN B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	54.48	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	33.83	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	166.24	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.60	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES FLOATING RATE BOND ETF S	2,088.34	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	7,534.56	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES MSCI EMERGING MARKETS ETF S	4,218.37	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES RUSSELL 2000 ETF B	5,889.60	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES S&P SMALL CAP 600 GROWTH ET B	5,852.70	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES U S FINANCIALS ETF S	4,496.61	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	ISHARES U S HEALTHCARE ETF B	5,404.32	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	PIMCO ENHANCED SHORT MATURITY ACTIV B	7,303.68	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	SPDR DOW JONES INDL AVERAGE ETF TR S	5,299.40	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BAS	9,986.89	0.00	0.00	0.00	50.0	0.00
10/04/2018 7	5502176 DENNIN, MARY	VANECK VECTORS ETF TR HIGH YIELD MU S	9,334.43	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502191 LEIGH, JOHN	SPDR INDEX SHS FDS S&P WORLD EX-US S	61.43	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502191 LEIGH, JOHN	SPDR RUSSELL 2000 ETF B	66.42	0.00	0.00	0.00	50.0	0.00
09/28/2018 7	5502191 LEIGH, JOHN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	55.41	0.00	0.00	0.00	50.0	0.00
		* STOCKS SUBTOTAL *	\$1,066,519.02	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CAT	TEGORY: VARIABLE ANNUIT	IES						
10/08/2018 (1	None) VARIOUS, MOSS	LIFE & ANNUNITY B	0.00	55.90	0.00	55.90	50.0	27.95
10/10/2018 (1	None) VARIOUS, MOSS	JACKSON B	0.00	16.56	0.00	16.56	50.0	8.28
10/10/2018 (1	None) VARIOUS, MOSS	LINCOLN B	0.00	274.47	0.00	274.47	50.0	137.24
10/16/2018 (1	None) VARIOUS, MOSS	SERIES CTRA B	0.00	829.43	0.00	829.43	50.0	414.72
10/19/2018 (1	None) VARIOUS, MOSS	MASS MUTUAL B	0.00	10.28	0.00	10.28	50.0	5.14
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$1,186.64	\$0.00	\$1,186.64		\$593.33
PRODUCT CAT	TEGORY: MISCELLANEOUS							
10/10/2018	VARIOUS, MOSS	COLLEGEBOUND B	0.00	3.50	0.00	3.50	50.0	1.75
		* MISCELLANEOUS SUBTOTAL *	\$0.00	\$3.50	\$0.00	\$3.50		\$1.75

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: RIA								
10/01/2018 75501796 MOSS, KATHLEEN	FAS FEES PT. I/III	В	-141.49	47.16	0.00	47.16	50.0	23.58
10/01/2018 75501797 HOSS, KATHLEEN 10/01/2018 75501797 HERTEN, TIMOTHY	FTCLD-FAS FEES	В	-10.52	10.52	0.00	10.52	50.0	5.26
10/01/2018 75501799 HERTEN, TIMOTHY	FAS FEES PT. I/III	В	-117.82	39.27	0.00	39.27	50.0	19.64
10/01/2018 75501801 JOHN W SCHULTZ TTEE		В	-299.59	99.86	0.00	99.86	50.0	49.93
10/01/2018 75501802 MCELREAVEY, DANIEL	FAS FEES PT. I/III	В	-200.47	66.82	0.00	66.82	50.0	33.41
10/01/2018 75501873 BESETH, DAVID	FAS FEES PT. I/III	В	-458.08	152.69	0.00	152.69	50.0	76.35
10/01/2018 75501875 RALPH G BARTO TRUST		В	-633.40	211.13	0.00	211.13		105.57
10/01/2018 75501877 MORRIS WEISMAN TTEE		В	-1,750.31	583.43	0.00	583.43	50.0	291.72
10/01/2018 75501878 MORRIS WEISMAN TTEE		В	-225.49	75.16	0.00	75.16		37.58
10/01/2018 75501907 HESTON, EUGENE	FAS FEES PT. I/III	В	-400.03	133.34	0.00	133.34	50.0	66.67
10/01/2018 75501922 HAFENMAIER, HELEN	FAS FEES PT. I/III	В	-319.76	106.58	0.00	106.58	50.0	53.29
10/01/2018 75501924 CUNNINGHAM, WENDY	FAS FEES PT. I/III	В	-366.64	122.21	0.00	122.21	50.0	61.11
10/01/2018 75501937 BERG, ELIZABETH	FAS FEES PT. I/III	В	-295.10	98.36	0.00	98.36	50.0	49.18
10/01/2018 75501944 EDWARD W CUNICELLI	FAS FEES PT. I/III	В	-361.01	120.33	0.00	120.33	50.0	60.17
10/01/2018 75502025 THIEU, MINH	FAS FEES PT. I/III	В	-527.78	175.92	0.00	175.92	50.0	87.96
10/01/2018 75502026 DAVID DIEU TTEE	FAS FEES PT. I/III	В	-359.18	119.72	0.00	119.72		59.86
10/01/2018 75502095 VERBITSKI, THOMAS	FAS FEES PT. I/III	В	-1,083.05	361.01	0.00	361.01		180.51
10/01/2018 75502176 DENNIN, MARY	FAS FEES PT. I/III	В	-246.19	82.06	0.00	82.06	50.0	41.03
10/01/2018 75502191 LEIGH, JOHN	FAS FEES PT. I/III	В	-93.27	31.09	0.00	31.09	50.0	15.55
	*	RIA SUBTOTAL *	\$-7,889.18	\$2,636.66	\$0.00	\$2,636.66		\$1,318.37
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
10/31/2018	FEE COUNT - 7		0.00	3.37	0.00	3.37	50.0	0.62
	* MUTUAL FUND 12B1 TR	AILS SUBTOTAL *	\$0.00	\$3.37	\$0.00	\$3.37		\$0.62
	*** BROKER TRANSAC	FIONS TOTAL ***	\$1,058,629.84	\$4,006.15	\$0.00	\$4,006.15		\$2,002.07
		ADJUSTME	NTS					
10/31/2018	DEUNB:UNBUNDLED ORTRL	Y FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018	DEUNB:UNBUNDLED QRTRL		0.00	0.00	0.00	0.00		-75.00
	~							-75.00
10/31/2018	DEUNB: UNBUNDLED ORTRL	Y FEE (LPCM):	0.00	0.00	0.00	0.00		
	DEUNB:UNBUNDLED QRTRL DEUNB:UNBUNDLED QRTRL		0.00	0.00	0.00	0.00		-222.77
10/31/2018		Y FEE (LPCM):						-222.77 -75.00
10/31/2018 10/31/2018	DEUNB:UNBUNDLED QRTRL	Y FEE (LPCM): Y FEE (LPCM):	0.00	0.00	0.00	0.00		
10/31/2018 10/31/2018 10/31/2018	DEUNB:UNBUNDLED QRTRL	Y FEE (LPCM): Y FEE (LPCM): Y FEE (LPCM):	0.00	0.00	0.00	0.00		-75.00
10/31/2018 10/31/2018 10/31/2018 10/31/2018 10/31/2018 10/31/2018	DEUNB:UNBUNDLED QRTRL DEUNB:UNBUNDLED QRTRL DEUNB:UNBUNDLED QRTRL	Y FEE (LPCM): Y FEE (LPCM): Y FEE (LPCM):	0.00 0.00 0.00	0.00 0.00 0.00	0.00 0.00 0.00	0.00 0.00 0.00		-75.00 -75.00
10/31/2018 10/31/2018 10/31/2018 10/31/2018	DEUNB:UNBUNDLED QRTRL DEUNB:UNBUNDLED QRTRL DEUNB:UNBUNDLED QRTRL DEUNB:UNBUNDLED QRTRL	Y FEE (LPCM): Y FEE (LPCM): Y FEE (LPCM): Y FEE (LPCM):	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00		-75.00 -75.00 -75.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJ	USTMEN	ITS					
10/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPC	M):	0.00	0.00	0.00	0.00		-80.61
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	1):	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	: (N	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	: (N	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	(N	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	(N	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	: (N	0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPC)	: (N	0.00	0.00	0.00	0.00		-121.30
10/31/2018		MISCELLANEOUS: DEUNC REV NOV & I	DEC	0.00	0.00	0.00	0.00		1,033.13
10/31/2018		MISCELLANEOUS: OCT FEES		0.00	0.00	0.00	0.00		-258.28
10/31/2018		MISCELLANEOUS: REV OCT TO ADD G	RID	0.00	0.00	0.00	0.00		516.55
		*** ADJUSTMENTS TOTAL	. ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-258.28

BROKER COMMISSION TOTALS

Broker Transactions	\$2,002.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-258.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.00
SIPC Assessment	\$-10.01
Check Amount	\$1,728.78

\$15,508.80

Year-to-date Earnings