



All Companies

12/28/2018  
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# COMMISSION STATEMENT

January 02, 2019

BUDDY JOSEPH BUTLER  
1013 PALO DURO TRAIL

BROKER #: 9558  
BRANCH: HOME OFFICE

Keller, TX 76248-

## COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/20/2018	00087016452	BOZARTH, BREWER	NEW WORLD FUND-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
12/20/2018	00087016452	BOZARTH, BREWER	SMALLCAP WORLD FUND-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
12/17/2018	00087870022	HANKS, PRESLEY	NEW WORLD FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
12/17/2018	00087870022	HANKS, PRESLEY	SMALLCAP WORLD FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
12/17/2018	00087870022	HANKS, PRESLEY	THE GROWTH FUND OF AMERICA-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
12/17/2018	00087870022	HANKS, PRESLEY	THE NEW ECONOMY FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
12/17/2018	00087870030	HANKS, PRESLEY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00087870030	HANKS, PRESLEY	THE NEW ECONOMY FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089961777	CHURCHILL, TODD	COLLEGE 2033 FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089989427	HANKS, JENELLE F	GROWTH PORTFOLIO-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	00089989432	HANKS, JENELLE F	GROWTH PORTFOLIO-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *					\$700.00	\$7.00	\$0.00	\$7.00		\$6.33

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

12/14/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	5,517.16	27.58	0.00	27.58	90.0	24.82
12/27/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	150.45	150.45	0.00	150.45	90.0	135.41
12/18/2018	BARTUSH-SCHNITZIUS, FOOD CO.	JOHN HANCOCK	B	539.74	2.69	0.00	2.69	90.0	2.42
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	330.55	330.55	0.00	330.55	90.0	297.50
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	320.90	320.90	0.00	320.90	90.0	288.81
* VARIABLE ANNUITIES SUBTOTAL *				\$6,858.80	\$832.17	\$0.00	\$832.17		\$748.96

#### PRODUCT CATEGORY: RIA

12/18/2018	HENDERSON, CHARLES A	SIGNAL SECURITIES RIA	B	500,000.00	266.01	0.00	266.01	90.0	239.41
12/18/2018	NICHOLSON, LEONARD A	SIGNAL SECURITIES RIA	B	500,000.00	36.00	0.00	36.00	90.0	32.40
12/18/2018	EARP, ROBERT/SANDRA	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	90.0	51.30
12/18/2018	BEHELER, PRISCILLA J	SIGNAL SECURITIES RIA	B	100,000.00	18.34	0.00	18.34	90.0	16.51
12/18/2018	COST, LORENE	SIGNAL SECURITIES RIA	B	100,000.00	2.00	0.00	2.00	90.0	1.80
10/11/2018	10903303 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	183.34	0.00	183.34	90.0	165.01
10/11/2018	10985026 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	90.0	8.41
10/11/2018	11240553 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	317.00	0.00	317.00	90.0	285.30
10/11/2018	11575002 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
10/11/2018	12568962 SABLACK,	SIGNAL SECURITIES RIA	B	0.00	170.66	0.00	170.66	90.0	153.59

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
GEORGE/ROSEMARY									
10/11/2018	12646736 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	117.34	0.00	117.34	90.0	105.61
10/11/2018	15938838 GORDON, YANA DUKE	SIGNAL SECURITIES RIA	B	0.00	112.34	0.00	112.34	90.0	101.11
10/11/2018	17691322 CHURCHILL, JULIE F	SIGNAL SECURITIES RIA	B	0.00	21.34	0.00	21.34	90.0	19.21
10/11/2018	19311838 BUCKNER, ALFRED/SHARON	SIGNAL SECURITIES RIA	B	0.00	496.00	0.00	496.00	90.0	446.40
10/11/2018	21282922 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	1,016.66	0.00	1,016.66	90.0	914.99
10/11/2018	21697737 ROGERS REV. LVG. TRS, BILL C	SIGNAL SECURITIES RIA	B	0.00	1,269.00	0.00	1,269.00	90.0	1,142.10
10/11/2018	21839817 MCGINN FAM LIV TRUST, WILLIAM/KATHY	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	90.0	0.31
10/11/2018	21849623 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	52.34	0.00	52.34	90.0	47.11
10/11/2018	21998355 PRAUL, KAREN S	SIGNAL SECURITIES RIA	B	0.00	439.34	0.00	439.34	90.0	395.41
10/11/2018	22402020 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	256.34	0.00	256.34	90.0	230.71
10/11/2018	22431988 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
10/11/2018	22787957 GORDON, YANA DUKE	SIGNAL SECURITIES RIA	B	0.00	226.34	0.00	226.34	90.0	203.71
10/11/2018	24875646 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	231.34	0.00	231.34	90.0	208.21
10/11/2018	25320334 MCGINN, TIMOTHY D	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
10/11/2018	25377313 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34	90.0	2.11
10/11/2018	25726497 BERGLUND, JAMES E.	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
10/11/2018	25780232 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	757.66	0.00	757.66	90.0	681.89
10/11/2018	25953225 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	56.34	0.00	56.34	90.0	50.71
10/11/2018	26508300 MECASKEY, CHARLES & IMOGE	SIGNAL SECURITIES RIA	B	0.00	190.66	0.00	190.66	90.0	171.59
10/11/2018	29000999 HEINEMAN, BRYON DONALD	SIGNAL SECURITIES RIA	B	0.00	332.34	0.00	332.34	90.0	299.11
10/11/2018	31191575 HESSLING, CONNIE M	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0	18.90
12/18/2018	31794327 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	479.50	0.00	479.50	90.0	431.55
10/11/2018	32068400 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	257.00	0.00	257.00	90.0	231.30
10/11/2018	32522388 DAHN, TRAVIS & DESIRA	SIGNAL SECURITIES RIA	B	0.00	42.34	0.00	42.34	90.0	38.11
10/11/2018	33352821 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	159.00	0.00	159.00	90.0	143.10
10/11/2018	35202907 HORN, ALISA J	SIGNAL SECURITIES RIA	B	0.00	41.66	0.00	41.66	90.0	37.49
10/11/2018	36377350 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	99.66	0.00	99.66	90.0	89.69
10/11/2018	36445988 HENDERSON, CARSON P	SIGNAL SECURITIES RIA	B	0.00	2.66	0.00	2.66	90.0	2.39
10/11/2018	40233916 FABIAN, DEBRA A	SIGNAL SECURITIES RIA	B	0.00	41.34	0.00	41.34	90.0	37.21
10/11/2018	41000315 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
10/11/2018	41085541 HIGGINS, JOAN B	SIGNAL SECURITIES RIA	B	0.00	11.66	0.00	11.66	90.0	10.49

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
10/11/2018	41110684	STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
10/11/2018	41140555	SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
10/11/2018	41395061	HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	284.00	0.00	284.00	90.0	255.60
10/11/2018	41445572	DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	48.34	0.00	48.34	90.0	43.51
10/11/2018	41515337	BUCKNER, ALFRED/SHARON	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018	41598212	BARTUSH-SCHNITZIUS, FOOD CO.	SIGNAL SECURITIES RIA	B	0.00	626.34	0.00	626.34	90.0	563.71
10/11/2018	43144139	BARRAGAN, GUILLERMO	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	90.0	3.29
10/11/2018	43216280	HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	556.34	0.00	556.34	90.0	500.71
10/11/2018	43408918	HIGGINS TRUST, WILLIAM/ JOAN	SIGNAL SECURITIES RIA	B	0.00	215.66	0.00	215.66	90.0	194.09
10/11/2018	45551096	KEENEY, DANNY B	SIGNAL SECURITIES RIA	B	0.00	45.66	0.00	45.66	90.0	41.09
10/11/2018	46467197	FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	590.00	0.00	590.00	90.0	531.00
12/18/2018	46801250	JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	14.68	0.00	14.68	90.0	13.21
10/11/2018	46801252	JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	377.00	0.00	377.00	90.0	339.30
10/11/2018	47058294	HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	181.66	0.00	181.66	90.0	163.49
10/11/2018	47639185	RONCK, PHILIP S	SIGNAL SECURITIES RIA	B	0.00	68.00	0.00	68.00	90.0	61.20
10/11/2018	48834901	HARTMANN, GERALDINE VESEY	SIGNAL SECURITIES RIA	B	0.00	397.66	0.00	397.66	90.0	357.89
10/11/2018	49134591	LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
10/11/2018	49767010	HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	212.34	0.00	212.34	90.0	191.11
12/18/2018	49767010	HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	271.89	0.00	271.89	90.0	244.70
10/11/2018	49858081	KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	74.66	0.00	74.66	90.0	67.19
10/11/2018	50386604	FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	44.34	0.00	44.34	90.0	39.91
10/11/2018	51436088	BUCKNER, SHARON R	SIGNAL SECURITIES RIA	B	0.00	89.00	0.00	89.00	90.0	80.10
10/11/2018	52224402	STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
10/11/2018	52891646	STONE, FRED STEPHEN	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0	18.90
10/11/2018	53407653	STUCK, III, EDWARD O	SIGNAL SECURITIES RIA	B	0.00	674.00	0.00	674.00	90.0	606.60
10/11/2018	56380456	SOLDNER, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	445.66	0.00	445.66	90.0	401.09
10/11/2018	61029197	ARMSTRONG REV. TRUST, MELBA	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
10/11/2018	61346794	SNELL, JR, RAYMOND F	SIGNAL SECURITIES RIA	B	0.00	109.66	0.00	109.66	90.0	98.69
10/11/2018	61517600	MCCOY, BRYAN/MARIA	SIGNAL SECURITIES RIA	B	0.00	31.66	0.00	31.66	90.0	28.49
10/11/2018	62583030	FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	154.34	0.00	154.34	90.0	138.91
10/11/2018	62630420	CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	20.34	0.00	20.34	90.0	18.31
10/11/2018	63369933	MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	76.34	0.00	76.34	90.0	68.71
12/18/2018	67256991	NOWLIN, WILLOYDEAN	SIGNAL SECURITIES RIA	B	0.00	156.02	0.00	156.02	90.0	140.42

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<u>BROKER TRANSACTIONS</u>									
<b>PRODUCT CATEGORY: RIA</b>									
10/11/2018	67527515 MCGINN, KATHY J	SIGNAL SECURITIES RIA	B	0.00	644.34	0.00	644.34	90.0	579.91
10/11/2018	67528927 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	125.66	0.00	125.66	90.0	113.09
10/11/2018	69485810 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
10/11/2018	71472539 MCGINN, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	608.00	0.00	608.00	90.0	547.20
10/11/2018	71533523 MCGINN, NICOLE D	SIGNAL SECURITIES RIA	B	0.00	73.00	0.00	73.00	90.0	65.70
10/11/2018	73284089 HUGHES, DENNIS W.	SIGNAL SECURITIES RIA	B	0.00	73.34	0.00	73.34	90.0	66.01
10/11/2018	73298505 PRAUL VA IRREVOCABLE TRST	SIGNAL SECURITIES RIA	B	0.00	476.66	0.00	476.66	90.0	428.99
10/11/2018	73402532 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
12/18/2018	73428063 SILVA, JR, JESSE	SIGNAL SECURITIES RIA	B	0.00	39.34	0.00	39.34	90.0	35.41
10/11/2018	74461821 HUGHES, DEBORAH C	SIGNAL SECURITIES RIA	B	0.00	66.66	0.00	66.66	90.0	59.99
10/11/2018	77272659 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	79.34	0.00	79.34	90.0	71.41
10/11/2018	77877392 PRILL, KAREN A	SIGNAL SECURITIES RIA	B	0.00	234.00	0.00	234.00	90.0	210.60
10/11/2018	77929375 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
10/11/2018	78866913 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	90.0	81.59
10/11/2018	79117403 MOORE, DAVID W	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
10/11/2018	79226928 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
10/11/2018	80658877 ROGERS FAMILY TRST, BILL C.	SIGNAL SECURITIES RIA	B	0.00	67.34	0.00	67.34	90.0	60.61
10/11/2018	80748872 ALWIN, STEPHEN L	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
10/11/2018	81352244 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
10/11/2018	81532821 RODAK, STEVEN ANDREW	SIGNAL SECURITIES RIA	B	0.00	81.66	0.00	81.66	90.0	73.49
10/11/2018	83089595 SNELL, ALENA PAGE	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
10/11/2018	84733773 FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	282.66	0.00	282.66	90.0	254.39
10/11/2018	85428341 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
10/11/2018	85539161 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	67.34	0.00	67.34	90.0	60.61
10/11/2018	85657154 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	1.34	0.00	1.34	90.0	1.21
10/11/2018	88087946 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	231.34	0.00	231.34	90.0	208.21
10/11/2018	88850048 HUGHES, DEBORAH C	SIGNAL SECURITIES RIA	B	0.00	107.66	0.00	107.66	90.0	96.89
10/11/2018	90821543 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	1,464.00	0.00	1,464.00	90.0	1,317.60
10/11/2018	92229524 DAHN, SHARON A.	SIGNAL SECURITIES RIA	B	0.00	39.34	0.00	39.34	90.0	35.41
10/11/2018	94351664 OSBORN, WILLIAM A	SIGNAL SECURITIES RIA	B	0.00	2,012.66	0.00	2,012.66	90.0	1,811.39
10/11/2018	95245970 FABIAN, RANDALL/DEBRA	SIGNAL SECURITIES RIA	B	0.00	367.00	0.00	367.00	90.0	330.30
10/11/2018	96553430 YAPELLI, FRANK A.	SIGNAL SECURITIES RIA	B	0.00	643.00	0.00	643.00	90.0	578.70
10/11/2018	96553451 YAPELLI, KAREN Y	SIGNAL SECURITIES RIA	B	0.00	371.66	0.00	371.66	90.0	334.49
<b>* RIA SUBTOTAL *</b>				<b>\$1,200,000.00</b>	<b>\$22,026.44</b>	<b>\$0.00</b>	<b>\$22,026.44</b>		<b>\$19,823.80</b>

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$1,207,558.80	\$22,865.61	\$0.00	\$22,865.61	\$20,579.09
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ADJUSTMENTS

01/02/2019	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
01/02/2019	E & O INSURANCE: ZACH 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-200.00

BROKER COMMISSION TOTALS

Broker Transactions	\$20,579.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-200.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,379.09
Year-to-date Earnings	\$20,379.09