



COMMISSION STATEMENT

November 19, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/13/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL INCOME BUILDER-A SELL 38 Units @58.4800	2,200.02	0.00	18.00	-18.00	100.0	-18.00
11/12/2018	***-**-848I - SCHNEIDER, ACCOUNT #: LSR011876	HARTFORD BALANCED INCOME FD CL A SELL 491 Units @14.0100	6,872.80	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$9,072.82	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>PRODUCT CATEGORY: RIA</u>								
11/20/2018	***-**-90FB - ETHRIDGE, MAX ACCOUNT #: RE2947000R	HOWARD CAPITAL MANAGMENT RIA FEE BUY 0 Units @0.0000	425.55	425.55	0.00	425.55	75.0	319.16
* RIA SUBTOTAL *			\$425.55	\$425.55	\$0.00	\$425.55		\$319.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMCAP FUND-A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	75.0	1.66
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	75.0	0.74
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.95	0.00	0.95	75.0	0.71
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.95	0.00	1.95	75.0	1.46
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.32	0.00	2.32	75.0	1.74
10/19/2018	***-**-246T - JESSIE MAE ACCOUNT #: LSR012571	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	75.0	0.38
10/19/2018	***-**-466O - FOX, PAMELA ACCOUNT #: LSR012621	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	75.0	0.49
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	75.0	0.72
10/19/2018	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	75.0	0.66
10/19/2018	***-**-09IO - BROCK, SHELLEY ACCOUNT #: LSR011831	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	3.53	0.00	3.53	75.0	2.65



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-679I - VIGIL, ELLI ACCOUNT #: LSR011852	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	20.60	0.00	20.60	75.0	15.45
10/19/2018	***-**-593I - HUFF, CYNTHIA ACCOUNT #: LSR011879	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	10.33	0.00	10.33	75.0	7.75
10/19/2018	***-**-365O - ROSINI, LINDA ACCOUNT #: LSR011888	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	4.86	0.00	4.86	75.0	3.65
10/19/2018	***-**-14IR - MEDLICOTT, ACCOUNT #: LSR011900	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	4.97	0.00	4.97	75.0	3.73
10/19/2018	***-**-53IR - MEDLICOTT, ACCOUNT #: LSR011901	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	6.21	0.00	6.21	75.0	4.66
10/19/2018	***-**-553I - MEDLICOTT, ACCOUNT #: LSR011902	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	75.0	1.97
10/19/2018	***-**-105I - HUEBNER, CAROLYN ACCOUNT #: LSR011910	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	7.14	0.00	7.14	75.0	5.36
10/19/2018	***-**-321J - STERTZ, MICHAEL ACCOUNT #: LSR012194	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	13.97	0.00	13.97	75.0	10.48
10/19/2018	***-**-335I - ENGEL, ILYNE ACCOUNT #: LSR012346	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	3.23	0.00	3.23	75.0	2.42
10/19/2018	***-**-478O - WRIGHT, SCOTT ACCOUNT #: LSR012693	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	10.82	0.00	10.82	75.0	8.12
10/19/2018	***-**-184I - SANTIAGO, THELMA ACCOUNT #: LSR012760	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	7.91	0.00	7.91	75.0	5.93
10/19/2018	***-**-13IO - JONES, LYNN ACCOUNT #: LSR012776	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	5.11	0.00	5.11	75.0	3.83
10/19/2018	***-**-78TR - WRIGHT, SCOTT ACCOUNT #: LSR012869	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	75.0	1.25
10/19/2018	***-**-97IR - VIDGER, JAMES ACCOUNT #: LSR012653	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	75.0	0.89
10/19/2018	***-**-597O - VIDGER, JAMES ACCOUNT #: LSR012654	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	6.77	0.00	6.77	75.0	5.08
10/19/2018	***-**-478O - WRIGHT, SCOTT ACCOUNT #: LSR012693	FUNDAMENTAL INVESTORS FUND CLASS F BUY 1 Units @0.0000	0.00	8.06	0.00	8.06	75.0	6.05



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-78TR - WRIGHT, SCOTT	FUNDAMENTAL INVESTORS FUND CLASS F	0.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: LSR012869	BUY 1 Units @0.0000						
10/19/2018	***-**-127I - SCHEAFER, HARRY	FUNDAMENTAL INVESTORS-A	0.00	2.60	0.00	2.60	75.0	1.95
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
10/19/2018	***-**-69IR - FONTENOT,	FUNDAMENTAL INVESTORS-A	0.00	1.94	0.00	1.94	75.0	1.46
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
10/19/2018	***-**-478O - WRIGHT, SCOTT	GROWTH FUND OF AMERICA CLASS F	0.00	7.14	0.00	7.14	75.0	5.36
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
10/19/2018	***-**-78TR - WRIGHT, SCOTT	GROWTH FUND OF AMERICA CLASS F	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: LSR012869	BUY 1 Units @0.0000						
10/19/2018	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	2.70	0.00	2.70	75.0	2.03
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						
10/19/2018	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	8.37	0.00	8.37	75.0	6.28
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
10/19/2018	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	3.09	0.00	3.09	75.0	2.32
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
10/19/2018	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.43	0.00	5.43	75.0	4.07
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
10/19/2018	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	6.09	0.00	6.09	75.0	4.57
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
10/19/2018	***-**-82TR - DAVIES, JANET	HARTFORD BALANCED INCOME FD CL A	0.00	7.35	0.00	7.35	75.0	5.51
	ACCOUNT #: LSR012422	BUY 1 Units @0.0000						
10/19/2018	***-**-105I - HUEBNER, CAROLYN	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: LSR011910	BUY 1 Units @0.0000						
10/19/2018	***-**-365O - ROSINI, LINDA	JANUS HENDERSON GLBL EQUITY INC CL	0.00	4.05	0.00	4.05	75.0	3.04
	ACCOUNT #: LSR011888	BUY 1 Units @0.0000						
10/19/2018	***-**-74IN - STORMAN, JOEL	JANUS HENDERSON GLBL EQUITY INC CL	0.00	5.97	0.00	5.97	75.0	4.48
	ACCOUNT #: LSR012685	BUY 1 Units @0.0000						
10/19/2018	***-**-78IR - WRIGHT, SCOTT	JANUS HENDERSON GLBL EQUITY INC CL	0.00	13.67	0.00	13.67	75.0	10.25
	ACCOUNT #: LSR012695	BUY 1 Units @0.0000						
10/19/2018	***-**-770O - WEBER, F DIANNE	MFS VALUE FUND-A	0.00	2.62	0.00	2.62	75.0	1.97
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-127I - SCHEAFER, HARRY	NEW PERSPECTIVE FUND-A	0.00	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
10/19/2018	***-**-478O - WRIGHT, SCOTT	SMALLCAP WORLD FUND-F1	0.00	6.12	0.00	6.12	75.0	4.59
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
10/19/2018	***-**-78TR - WRIGHT, SCOTT	SMALLCAP WORLD FUND-F1	0.00	0.94	0.00	0.94	75.0	0.71
	ACCOUNT #: LSR012869	BUY 1 Units @0.0000						
10/19/2018	***-**-466O - FOX, PAMELA	THE BOND FUND OF AMERICA-A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						
10/19/2018	***-**-127I - SCHEAFER, HARRY	THE GROWTH FUND OF AMERICA-A	0.00	1.02	0.00	1.02	75.0	0.77
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
10/19/2018	***-**-65IR - ROSINI, LINDA	THE HARTFORD MID CAP FUND-A	0.00	2.55	0.00	2.55	75.0	1.91
	ACCOUNT #: LSR011887	BUY 1 Units @0.0000						
10/19/2018	***-**-335I - ENGEL, ILYNE	THE HARTFORD MID CAP FUND-A	0.00	3.16	0.00	3.16	75.0	2.37
	ACCOUNT #: LSR012346	BUY 1 Units @0.0000						
10/19/2018	***-**-466O - FOX, PAMELA	THE INCOME FUND OF AMERICA-A	0.00	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						
10/19/2018	***-**-69IR - FONTENOT,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
10/19/2018	***-**-478O - WRIGHT, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.00	0.00	4.00	75.0	3.00
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
10/19/2018	***-**-78TR - WRIGHT, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: LSR012869	BUY 1 Units @0.0000						
10/19/2018	***-**-787I - VONHEDEMANN, HAL	WELLS FARGO INDEX ASSET ALLOC A	0.00	12.17	0.00	12.17	75.0	9.13
	ACCOUNT #: LSR011985	BUY 1 Units @0.0000						
10/19/2018	***-**-21IR - STERTZ, MICHAEL	WELLS FARGO INDEX ASSET ALLOC A	0.00	3.04	0.00	3.04	75.0	2.28
	ACCOUNT #: LSR012195	BUY 1 Units @0.0000						
10/19/2018	***-**-70IR - STERTZ, CARRIE	WELLS FARGO INDEX ASSET ALLOC A	0.00	3.04	0.00	3.04	75.0	2.28
	ACCOUNT #: LSR012197	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$245.50	\$0.00	\$245.50		\$184.19



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
10/15/2018	***-**-4240 - WEBER, DONALD	TA LANDMARK VARIABLE ANNUITY	0.00	39.08	0.00	39.08	75.0	29.31
	ACCOUNT #: 111290LK3	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$39.08	\$0.00	\$39.08		\$29.31
		*** BROKER TRANSACTIONS TOTAL ***	\$9,498.37	\$710.13	\$36.00	\$674.13		\$496.66

BROKER COMMISSION TOTALS

Broker Transactions	\$496.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-36.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$460.66
Year-to-date Earnings	\$98,548.46