



COMMISSION STATEMENT

October 22, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/15/2018	***-**-2960 - KELLEY, BRENDA	FUNDAMENTAL INVESTORS-C	2,500.29	18.00	18.00	0.00	90.0	0.00
	ACCOUNT #: LSR011834	SELL 41 Units @61.3900						
		* MUTUAL FUNDS SUBTOTAL *	\$2,500.29	\$18.00	\$18.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
10/15/2018	***-**-215P - WILLIAMS, DOYLE	THE INVESTMENT COMPANY OF AMERICA-5	100.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00088493817	BUY 2 Units @42.4400						
		* 529 PLAN SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$4.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/22/2018	***-**-308I - KEENAN, PETER	COLUMBIA MF TRAILS	0.00	0.05	0.00	0.05	90.0	0.05
		BUY 0 Units @0.0000						
10/22/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	6.31	0.00	6.31	90.0	5.68
		BUY 0 Units @0.0000						
10/22/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	4.92	0.00	4.92	90.0	4.43
		BUY 0 Units @0.0000						
10/22/2018	***-**-2700 - WELDON, CHARLTON	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	12.45	0.00	12.45	90.0	11.21
		BUY 0 Units @0.0000						
10/22/2018	***-**-54IN - STOUT, LOUISE	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	18.84	0.00	18.84	90.0	16.96
	ACCOUNT #: 91148263	BUY 0 Units @0.0000						
10/22/2018	***-**-657A - LIBERTY,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	49.81	0.00	49.81	90.0	44.83
	ACCOUNT #: 091150059	BUY 0 Units @0.0000						
10/22/2018	***-**-3994 - RAY, CLYDE	SAMMONS LIVEWELL MUTUAL FUND	0.00	6.72	0.00	6.72	90.0	6.05
	ACCOUNT #: 7200037829	BUY 0 Units @0.0000						
10/22/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.64	0.00	7.64	90.0	6.88
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
10/22/2018	***-**-36IN - CLAWSON,	SAMMONS MF TRAILS	0.00	6.04	0.00	6.04	90.0	5.44
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
10/22/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	18.90	0.00	18.90	90.0	17.01
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
10/22/2018	***-**-9117 - RAY, EILEEN	SAMMONS MF TRAILS	0.00	5.69	0.00	5.69	90.0	5.12
	ACCOUNT #: 7200037826	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$137.37	\$0.00	\$137.37		\$123.66



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VERRIDE TRANSACTIONS (continued)

*** BROKER TRANSACTIONS TOTAL ***	\$2,600.29	\$160.37	\$18.00	\$142.37	\$128.16
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BROKER COMMISSION TOTALS

Broker Transactions	\$128.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$128.16
Year-to-date Earnings	\$44,085.44