



COMMISSION STATEMENT

November 05, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	VISTORY RS SCIENCE AND TECHNOLOGY C BUY 602 Units @24.9300	15,000.01	750.00	18.00	732.00	85.0	622.20
10/31/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	VISTORY RS SCIENCE AND TECHNOLOGY C BUY 802 Units @24.9300	19,999.99	1,000.00	18.00	982.00	85.0	834.70
10/31/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	VISTORY RS SCIENCE AND TECHNOLOGY C BUY 802 Units @24.9300	19,999.99	1,000.00	18.00	982.00	85.0	834.70
10/31/2018	***-**-324I - MCGHEE, TERRY ACCOUNT #: LSR012649	VISTORY RS SCIENCE AND TECHNOLOGY C BUY 401 Units @24.9300	10,000.00	500.00	18.00	482.00	85.0	409.70
* MUTUAL FUNDS SUBTOTAL *			\$64,999.99	\$3,250.00	\$72.00	\$3,178.00		\$2,701.30
<u>PRODUCT CATEGORY: STOCKS</u>								
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	ALLIANZGI CONV & INCOME FD II COM SELL 3089 Units @5.4100	16,711.49	300.00	76.78	223.22	85.0	189.74
10/30/2018	***-**-32IN - ROPER, SANDRA ACCOUNT #: LSR012512	ALTRIA GROUP INC COM SELL 50 Units @65.5940	3,279.70	54.00	16.00	38.00	85.0	32.30
10/30/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	ARCHER DANIELS MIDLAND SELL 200 Units @47.7030	9,540.60	200.00	19.00	181.00	85.0	153.85
10/29/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	BLACKROCK CREDIT ALL INC TR COM SELL 1000 Units @11.6730	11,673.00	100.00	35.00	65.00	85.0	55.25
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.1440	314.40	6.47	17.00	-10.53	100.0	-10.53
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.1440	314.40	6.47	2.00	4.47	85.0	3.80
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 49 Units @3.1350	153.62	3.17	0.98	2.19	85.0	1.86
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 51 Units @3.1350	159.89	3.30	1.02	2.28	85.0	1.94
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 78 Units @3.1400	244.92	5.05	1.56	3.49	85.0	2.97
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 67 Units @3.1300	209.71	4.34	1.34	3.00	85.0	2.55
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.1350	313.50	6.47	2.00	4.47	85.0	3.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.1350	313.50	6.47	2.00	4.47	85.0	3.80
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 460 Units @3.1350	1,442.10	29.77	9.20	20.57	85.0	17.48
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.1350	313.50	6.47	2.00	4.47	85.0	3.80
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 200 Units @3.1400	628.00	12.94	4.00	8.94	85.0	7.60
10/30/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN SELL 140 Units @3.1350	438.90	9.06	2.80	6.26	85.0	5.32
10/29/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	TWITTER INC COM USD0.000005 UNSOLIC SELL 100 Units @33.5430	3,354.30	60.00	17.00	43.00	85.0	36.55
10/29/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	TWITTER INC COM USD0.000005 UNSOLIC SELL 100 Units @33.5420	3,354.20	60.00	2.00	58.00	85.0	49.30
10/29/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	TWITTER INC COM USD0.000005 UNSOLIC SELL 200 Units @33.5360	6,707.20	120.00	4.00	116.00	85.0	98.60
10/29/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	TWITTER INC COM USD0.000005 UNSOLIC SELL 100 Units @33.5360	3,353.60	60.00	2.00	58.00	85.0	49.30
10/30/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	WESTERN ASSET MUN HIGH INCOME FD IN SELL 1024 Units @6.9250	7,091.20	150.00	35.48	114.52	85.0	97.34
* STOCKS SUBTOTAL *			\$69,911.73	\$1,203.98	\$253.16	\$950.82		\$806.62

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

10/30/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CONSOL COAL RES LP COM UNIT SOLICIT BUY 550 Units @19.3040	10,617.20	200.00	24.00	176.00	85.0	149.60
10/30/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	CONSOL COAL RES LP COM UNIT SOLICIT BUY 550 Units @19.3040	10,617.20	200.00	24.00	176.00	85.0	149.60
10/30/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3040	1,930.40	30.00	24.00	6.00	85.0	5.10
10/30/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3040	1,930.40	30.00	0.00	30.00	85.0	25.50
10/30/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3040	1,930.40	30.00	0.00	30.00	85.0	25.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
10/30/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3040	1,930.40	30.00	0.00	30.00	85.0	25.50
10/30/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3040	1,930.40	30.00	0.00	30.00	85.0	25.50
10/30/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3840	1,938.40	36.36	24.00	12.36	85.0	10.51
10/30/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.4040	1,940.40	36.36	0.00	36.36	85.0	30.91
10/30/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3640	1,936.40	36.36	0.00	36.36	85.0	30.91
10/30/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CONSOL COAL RES LP COM UNIT SOLICIT BUY 100 Units @19.3670	1,936.70	36.36	0.00	36.36	85.0	30.91
10/30/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CONSOL COAL RES LP COM UNIT SOLICIT BUY 150 Units @19.4040	2,910.60	54.55	0.00	54.55	85.0	46.37
10/30/2018	***-**-29IO - ANJAR, MARY ACCOUNT #: LSR012868	CONSOL COAL RES LP COM UNIT SOLICIT BUY 550 Units @19.2790	10,603.45	200.00	24.00	176.00	85.0	149.60
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$52,152.35	\$949.99	\$120.00	\$829.99		\$705.51
*** BROKER TRANSACTIONS TOTAL ***			\$187,064.07	\$5,403.97	\$445.16	\$4,958.81		\$4,213.43



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS									
							Broker Transactions		\$4,213.43
							Split Transactions		\$0.00
							Override Transactions		\$0.00
							Adjustments		\$0.00
							Payroll Draw		\$0.00
							Base Salary		\$0.00
							Prior Period Balance		\$0.00
							FINRA Assessment		\$0.00
							SIPC Assessment		\$0.00
							Net Commission Earned		\$4,213.43
							Year-to-date Earnings		\$167,720.64