



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

CHRISTOPHER SNOW
6336 WARWICK HILLS DR

BROKER #: 4854
BRANCH: HOME OFFICE

FORT WORTH, TX 76132-

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/27/2018	TRAILS	BLACK ROCK	B	3.09	3.09	0.00	3.09	70.0	2.16
12/27/2018	TRAILS	TOMORROWS SCHOLAR 529 PLAN	B	21.43	21.43	0.00	21.43	70.0	15.00
12/20/2018	000001970770529 GARDNER, JR, JOHN HAROLD	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	70.0	1.75
12/20/2018	00087127617 BRENNAN, JEAN S	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
12/11/2018	00087833241 HAGAN, SHERYL	THE NEW ECONOMY FUND-529A	B	5,000.00	137.50	0.00	137.50	70.0	96.25
12/14/2018	00087833241 HAGAN, SHERYL	THE NEW ECONOMY FUND-529A	B	150.00	4.13	0.00	4.13	70.0	2.89
12/11/2018	00089303973 HAGAN, SHERYL	THE NEW ECONOMY FUND-529A	B	5,000.00	137.50	0.00	137.50	70.0	96.25
12/17/2018	00089517055 MCKIMMEY, KENDALL W.	AMCAP FUND-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS AMCAP FD	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BALANCED FD	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BD FD OF AMER FD	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS CAPITAL WORLD GROWT	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS EURO PACIFIC GROWT	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS GROWTH FUND OF AMERI	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INCOME FD OF AMERI	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INTERM BD FD OF AMER	B	8.68	0.09	0.00	0.09	70.0	0.06
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INVESTMENT COMP OF A	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS NEW PERSPECTIVE FD	B	17.36	0.17	0.00	0.17	70.0	0.12
12/18/2018	02000171308 CENTURY	AMERICAN FUNDS WA MUTUAL	INVES B	8.68	0.09	0.00	0.09	70.0	0.06

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
ENGINEERING									
12/18/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER	FD B	30.00	0.30	0.00	0.30	70.0	0.21
12/18/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INCOME FD OF	AMERI B	30.00	0.30	0.00	0.30	70.0	0.21
12/18/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	30.00	0.30	0.00	0.30	70.0	0.21
12/18/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0.15	70.0	0.11
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS AMCAP FD	B	2.00	0.02	0.00	0.02	70.0	0.01
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER	FD B	3.00	0.03	0.00	0.03	70.0	0.02
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS EURO PACIFIC	GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	2.00	0.02	0.00	0.02	70.0	0.01
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	3.00	0.03	0.00	0.03	70.0	0.02
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INVESTMENT COMP OF A	B	3.00	0.03	0.00	0.03	70.0	0.02
12/18/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
12/17/2018	04000205238 MCKIMMEY, KENDALL W.	CAPITAL INCOME BUILDER-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
* MUTUAL FUNDS SUBTOTAL *				\$10,801.12	\$323.09	\$0.00	\$323.09		\$226.16
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	699.01	699.01	0.00	699.01	70.0	489.31
* STOCKS SUBTOTAL *				\$699.01	\$699.01	\$0.00	\$699.01		\$489.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/19/2018	TRAILS	-JOHN HANCOCK	B	42.66	42.66	0.00	42.66	70.0	29.86
12/19/2018	TRAILS	JOHN HANCOCK	B	283.56	283.56	0.00	283.56	70.0	198.49
11/15/2018	COMP USA	METROPOLITAN LIFE AND AFFILIATED CO	B	5,137.55	513.76	0.00	513.76	70.0	359.63
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	393.28	393.28	0.00	393.28	70.0	275.30
12/20/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	266.94	266.94	0.00	266.94	70.0	186.86
* VARIABLE ANNUITIES SUBTOTAL *				\$6,123.99	\$1,500.20	\$0.00	\$1,500.20		\$1,050.14

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
12/18/2018	FINE, GAVIN F	SIGNAL SECURITIES RIA	B	0.00	426.14	0.00	426.14	70.0	298.30
10/11/2018	12390799 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	42.34	0.00	42.34	70.0	29.64
10/11/2018	16601324 NELSON, GINA AL-JAZRAWI	SIGNAL SECURITIES RIA	B	0.00	60.34	0.00	60.34	70.0	42.24
10/11/2018	18300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	139.34	0.00	139.34	70.0	97.54
10/11/2018	18987768 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	70.0	3.04
10/11/2018	22527639 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	70.0	7.24
10/11/2018	27525617 NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	B	0.00	382.34	0.00	382.34	70.0	267.64
10/11/2018	27562425 MCKINNEY, HAROLD LOUIS	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	70.0	6.54
10/11/2018	28409072 RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA	B	0.00	728.00	0.00	728.00	70.0	509.60
10/11/2018	28442209 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	504.00	0.00	504.00	70.0	352.80
10/11/2018	29294822 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66	70.0	17.26
10/11/2018	30917351 SCHEIDEMAN, SUMMER SHARIE	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
10/11/2018	32062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	70.0	155.40
10/11/2018	37406476 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	21.66	0.00	21.66	70.0	15.16
10/11/2018	39194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	B	0.00	445.00	0.00	445.00	70.0	311.50
10/11/2018	39923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	70.0	5.36
12/18/2018	401K SRNKA, DAVID	SIGNAL SECURITIES RIA	B	0.00	117.00	0.00	117.00	70.0	81.90
10/11/2018	40772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	70.0	6.30
10/11/2018	41231228 HAGAN, JR, WILLIAM L	SIGNAL SECURITIES RIA	B	0.00	1,457.00	0.00	1,457.00	70.0	1,019.90
10/11/2018	44998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	B	0.00	215.66	0.00	215.66	70.0	150.96
10/11/2018	45505681 D'ONOFRIO, JUN & BROOKE	SIGNAL SECURITIES RIA	B	0.00	171.66	0.00	171.66	70.0	120.16
10/11/2018	45603849 HOWARD REV. TRUST, CAROLYN COX	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	70.0	3.04
10/11/2018	50429988 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	2,108.00	0.00	2,108.00	70.0	1,475.60
10/11/2018	50676897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	1.66	0.00	1.66	70.0	1.16
10/11/2018	50869265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	70.0	4.44
10/11/2018	51578464 NELSON, GINA AL-JAZRAWI	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	70.0	21.70
10/11/2018	52520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	70.0	7.46
10/11/2018	55290712 PROVOST, MORGAN	SIGNAL SECURITIES RIA	B	0.00	431.00	0.00	431.00	70.0	301.70
10/11/2018	56345160 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	70.0	9.34

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
KENDALL									
10/11/2018	57264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
10/11/2018	58961957 SAAD LIVING TRUST, SHOUKRY & AWATE	SIGNAL SECURITIES RIA	B	0.00	2,285.00	0.00	2,285.00	70.0	1,599.50
10/11/2018	59258271 HAGAN, JR, WILLIAM L	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	70.0	11.44
10/11/2018	59370637 NELSON, GINA	SIGNAL SECURITIES RIA	B	0.00	297.66	0.00	297.66	70.0	208.36
AL-JAZRAWI									
10/11/2018	60684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	70.0	2.34
10/11/2018	61324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	70.0	25.20
10/11/2018	62479593 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	70.0	12.84
KENDALL									
10/11/2018	64638814 AL-JAZRAWI, KATHRYN A	SIGNAL SECURITIES RIA	B	0.00	587.34	0.00	587.34	70.0	411.14
10/11/2018	71324279 TREADWELL, TONEY	SIGNAL SECURITIES RIA	B	0.00	566.34	0.00	566.34	70.0	396.44
ELLEN									
10/11/2018	72500561 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	70.0	0.24
10/11/2018	73189180 WIMBERLY, MELISSA	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	70.0	63.70
BROWNIN									
10/11/2018	74389400 ZEIGLER, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	70.0	6.54
PATRICK									
10/11/2018	74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66	70.0	6.76
10/11/2018	76521383 HENDERSON, CLIFFORD &	SIGNAL SECURITIES RIA	B	0.00	116.00	0.00	116.00	70.0	81.20
KATH									
10/11/2018	77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	B	0.00	135.00	0.00	135.00	70.0	94.50
10/11/2018	77901571 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	B	0.00	51.66	0.00	51.66	70.0	36.16
KENDALL									
10/11/2018	78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	66.66	0.00	66.66	70.0	46.66
10/11/2018	78797347 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	77.00	0.00	77.00	70.0	53.90
10/11/2018	78947702 SIMONE, GARY &	SIGNAL SECURITIES RIA	B	0.00	812.00	0.00	812.00	70.0	568.40
PENELOPE									
10/11/2018	80337453 TREADWELL, TONEY	SIGNAL SECURITIES RIA	B	0.00	112.66	0.00	112.66	70.0	78.86
ELLEN									
10/11/2018	82350222 ZEIGLER, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	248.00	0.00	248.00	70.0	173.60
PATRICK									
10/11/2018	82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	191.00	0.00	191.00	70.0	133.70
10/11/2018	83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	B	0.00	78.66	0.00	78.66	70.0	55.06
10/11/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	664.34	0.00	664.34	70.0	465.04
10/11/2018	88111788 AL-JAZRAWI, KATHRYN A	SIGNAL SECURITIES RIA	B	0.00	173.66	0.00	173.66	70.0	121.56
10/11/2018	92270080 PROVOST, MORGAN	SIGNAL SECURITIES RIA	B	0.00	26.34	0.00	26.34	70.0	18.44
10/11/2018	92989374 SCHEIDEMAN, JOHN	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	70.0	0.46

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
	PARKER								
10/11/2018	93302124 SCHEIDEMAN, JOHN	SIGNAL SECURITIES RIA	B	0.00	66.34	0.00	66.34	70.0	46.44
	PARKER								
10/11/2018	94041537 NELSON, GINA	SIGNAL SECURITIES RIA	B	0.00	32.66	0.00	32.66	70.0	22.86
	AL-JAZRAWI								
10/11/2018	95135675 NELSON, GINA	SIGNAL SECURITIES RIA	B	0.00	54.66	0.00	54.66	70.0	38.26
	AL-JAZRAWI								
10/11/2018	95235681 STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	B	0.00	506.00	0.00	506.00	70.0	354.20
10/11/2018	97573277 GARZA, RICARDO C	SIGNAL SECURITIES RIA	B	0.00	441.00	0.00	441.00	70.0	308.70
10/11/2018	98761332 LOPEZ, VICTOR	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	70.0	6.54
10/11/2018	99462551 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	230.00	0.00	230.00	70.0	161.00
		* RIA SUBTOTAL *		\$0.00	\$15,632.50	\$0.00	\$15,632.50		\$10,942.76
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2019	TRAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	32.59	0.00	32.59	70.0	22.82
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$32.59	\$0.00	\$32.59		\$22.82
		*** BROKER TRANSACTIONS TOTAL ***		\$17,624.12	\$18,187.39	\$0.00	\$18,187.39		\$12,731.19
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
10/11/2018	47895792 HICKERSON, JAMIE	SIGNAL SECURITIES RIA	B	0.00	257.60	0.00	257.60	70.0	180.32
	MARJORIE								
10/11/2018	75756775 HICKERSON, JAMIE	SIGNAL SECURITIES RIA	B	0.00	158.60	0.00	158.60	70.0	111.02
	MARJORIE								
10/11/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	28.40	0.00	28.40	70.0	19.88
10/11/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	13.80	0.00	13.80	70.0	9.66
		* SNOW/MOYNIHAN SUBTOTAL *		\$0.00	\$458.40	\$0.00	\$458.40		\$320.88
<u>BROKER: SINGLETON/SNOW #9575</u>									
10/11/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	154.83	0.00	154.83	70.0	108.38
10/11/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	173.50	0.00	173.50	70.0	121.45
		* SINGLETON/SNOW SUBTOTAL *		\$0.00	\$328.33	\$0.00	\$328.33		\$229.83
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
12/18/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	77.60	0.00	77.60	70.0	54.32
10/11/2018	10556019 GILLILAND, JULIE DEANN	SIGNAL SECURITIES RIA	B	0.00	30.40	0.00	30.40	70.0	21.28
10/11/2018	10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	92.66	0.00	92.66	70.0	64.86

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<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
10/11/2018 15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	116.66	0.00	116.66	70.0	81.66
10/11/2018 16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	28.94	0.00	28.94	70.0	20.26
10/11/2018 18091956	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	9.86	0.00	9.86	70.0	6.90
10/11/2018 20770029	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	193.34	0.00	193.34	70.0	135.34
10/11/2018 20872135	SLAUGHTER, JUSTINE MARIE	SIGNAL SECURITIES RIA	B	0.00	53.34	0.00	53.34	70.0	37.34
10/11/2018 22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	70.0	10.26
10/11/2018 27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	20.14	0.00	20.14	70.0	14.10
10/11/2018 29192217	JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	B	0.00	51.60	0.00	51.60	70.0	36.12
10/11/2018 29329286	QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	247.74	0.00	247.74	70.0	173.42
10/11/2018 30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	87.34	0.00	87.34	70.0	61.14
10/11/2018 31207916	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	56.80	0.00	56.80	70.0	39.76
10/11/2018 31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	224.14	0.00	224.14	70.0	156.90
10/11/2018 31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	12.80	0.00	12.80	70.0	8.96
10/11/2018 32811544	JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	40.80	0.00	40.80	70.0	28.56
10/11/2018 33117987	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	12.94	0.00	12.94	70.0	9.06
10/11/2018 34447890	JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	55.06	0.00	55.06	70.0	38.54
10/11/2018 35080832	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	10.40	0.00	10.40	70.0	7.28
10/11/2018 35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	5.06	0.00	5.06	70.0	3.54
10/11/2018 39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	106.54	0.00	106.54	70.0	74.58
10/11/2018 39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	75.06	0.00	75.06	70.0	52.54
10/11/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	168.40	0.00	168.40	70.0	117.88
10/11/2018 42458316	WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	42.80	0.00	42.80	70.0	29.96
10/11/2018 49635286	BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	16.26	0.00	16.26	70.0	11.38
10/11/2018 51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	286.26	0.00	286.26	70.0	200.38
10/11/2018 51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	137.34	0.00	137.34	70.0	96.14
10/11/2018 52054063	SWAIN, CYNTHIA CHRISTI	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	70.0	11.20
10/11/2018 56512595	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	70.0	5.14
10/11/2018 58196619	STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.20	0.00	1.20	70.0	0.84
10/11/2018 59968411	KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	94.40	0.00	94.40	70.0	66.08
10/11/2018 62652031	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	112.66	0.00	112.66	70.0	78.86

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
10/11/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	26.80	0.00	26.80	70.0	18.76
10/11/2018	64679619 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	76.26	0.00	76.26	70.0	53.38
10/11/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	114.54	0.00	114.54	70.0	80.18
10/11/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	245.06	0.00	245.06	70.0	171.54
10/11/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	188.80	0.00	188.80	70.0	132.16
10/11/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	32.40	0.00	32.40	70.0	22.68
10/11/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	16.40	0.00	16.40	70.0	11.48
10/11/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	180.94	0.00	180.94	70.0	126.66
10/11/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	70.0	5.14
10/11/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	70.0	6.54
10/11/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	18.14	0.00	18.14	70.0	12.70
10/11/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	21.34	0.00	21.34	70.0	14.94
10/11/2018	79512456 BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	B	0.00	56.94	0.00	56.94	70.0	39.86
10/11/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	200.54	0.00	200.54	70.0	140.38
10/11/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.40	0.00	32.40	70.0	22.68
10/11/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	146.66	0.00	146.66	70.0	102.66
10/11/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	153.20	0.00	153.20	70.0	107.24
10/11/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	21.06	0.00	21.06	70.0	14.74
10/11/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	79.34	0.00	79.34	70.0	55.54
10/11/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	113.06	0.00	113.06	70.0	79.14
10/11/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	7.74	0.00	7.74	70.0	5.42
10/11/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	31.20	0.00	31.20	70.0	21.84
10/11/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	282.54	0.00	282.54	70.0	197.78
10/11/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.14	0.00	4.14	70.0	2.90
10/11/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	8.54	0.00	8.54	70.0	5.98
10/11/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	77.86	0.00	77.86	70.0	54.50
12/18/2018	FIDELITY 401K KERN, NINA M	SIGNAL SECURITIES RIA	B	0.00	128.94	0.00	128.94	70.0	90.26
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$4,788.06	\$0.00	\$4,788.06		\$3,351.66

BROKER: ERIC CHARLES GUTH #9617

10/11/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	269.20	0.00	269.20	70.0	188.44
10/11/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	14.72	0.00	14.72	70.0	10.30
10/11/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.20	0.00	1.20	70.0	0.84
10/11/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	64.40	0.00	64.40	70.0	45.08

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
10/11/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	75.68	0.00	75.68	70.0	52.98
10/11/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	17.28	0.00	17.28	70.0	12.10
10/11/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	20.80	0.00	20.80	70.0	14.56
10/11/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	218.56	0.00	218.56	70.0	152.99
10/11/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	199.04	0.00	199.04	70.0	139.33
10/11/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	25.60	0.00	25.60	70.0	17.92
10/11/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	27.44	0.00	27.44	70.0	19.21
10/11/2018	58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	14.56	0.00	14.56	70.0	10.19
10/11/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	126.32	0.00	126.32	70.0	88.42
10/11/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	58.56	0.00	58.56	70.0	40.99
10/11/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	17.52	0.00	17.52	70.0	12.26
10/11/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	0.40	0.00	0.40	70.0	0.28
10/11/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	99.28	0.00	99.28	70.0	69.50
10/11/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	6.16	0.00	6.16	70.0	4.31
10/11/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	10.32	0.00	10.32	70.0	7.22
10/11/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	229.20	0.00	229.20	70.0	160.44
10/11/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	35.04	0.00	35.04	70.0	24.53
* ERIC CHARLES GUTH SUBTOTAL *				\$0.00	\$1,531.28	\$0.00	\$1,531.28		\$1,071.89
<u>BROKER: SNOW/POOL #9627</u>									
11/25/2018	TWILLEY, JAMES/JACOB	MASS MUTUAL	B	384.00	2.30	0.00	2.30	70.0	1.61
12/27/2018	TRAILS	MASS MUTUAL	B	15.55	9.33	0.00	9.33	70.0	6.53
* SNOW/POOL SUBTOTAL *				\$399.55	\$11.63	\$0.00	\$11.63		\$8.14
*** SPLIT TRANSACTIONS TOTAL ***				\$399.55	\$7,117.70	\$0.00	\$7,117.70		\$4,982.40

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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VERRIDE TRANSACTIONSBROKER: THOMPSON/SIGNAL #9622

10/11/2018	35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA JERRY F		B	0.00	5,194.00	0.00	5,194.00		285.67
* THOMPSON/SIGNAL SUBTOTAL *				<u>\$0.00</u>	<u>\$5,194.00</u>	<u>\$0.00</u>	<u>\$5,194.00</u>		<u>\$285.67</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$5,194.00</u>	<u>\$0.00</u>	<u>\$5,194.00</u>		<u>\$285.67</u>

ADJUSTMENTS

01/02/2019	MISC: 10% OVERRIDE 9533			0.00	0.00	0.00	0.00		125.97
01/02/2019	POSTAGE: POSTAGE			0.00	0.00	0.00	0.00		-0.47
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$125.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$12,731.19
Split Transactions	\$4,982.40
Override Transactions	\$285.67
Adjustments	\$125.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$18,124.76
Year-to-date Earnings	\$18,124.76