



COMMISSION STATEMENT

September 24, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/17/2018	***-**-1366 - AZ CNTR FOR ACCOUNT #: 00082206502	EUROPACIFIC GROWTH FUND-A BUY 122 Units @54.0000	6,583.95	181.06	0.00	181.06	90.0	162.95
09/17/2018	***-**-1366 - AZ CNTR FOR ACCOUNT #: 00082206502	NEW WORLD FUND-A BUY 22 Units @65.0700	1,463.11	40.24	0.00	40.24	90.0	36.22
09/17/2018	***-**-1366 - AZ CNTR FOR ACCOUNT #: 00082206502	THE BOND FUND OF AMERICA-A BUY 734 Units @12.9500	9,510.15	261.53	0.00	261.53	90.0	235.38
09/17/2018	***-**-1366 - AZ CNTR FOR ACCOUNT #: 00082206502	THE NEW ECONOMY FUND-A BUY 59 Units @49.9900	2,926.20	80.47	0.00	80.47	90.0	72.42
* MUTUAL FUNDS SUBTOTAL *			\$20,483.41	\$563.30	\$0.00	\$563.30		\$506.97
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/15/2018	***-**-7979 - THOMPSON, ACCOUNT #: VP60440630	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	500.00	10.00	0.00	10.00	90.0	9.00
09/17/2018	***-**-0795 - SWARTZ, SHARI ACCOUNT #: VP60737990	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
09/17/2018	***-**-0304 - LOUGHRIDGE, ACCOUNT #: VP60638610	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,666.00	24.99	0.00	24.99	90.0	22.49
09/17/2018	***-**-6551 - SWARTZ, MICHAEL ACCOUNT #: VP60738000	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
09/17/2018	***-**-9211 - OSBORNE, DARIN ACCOUNT #: VP60570240	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,546.50	30.93	0.00	30.93	90.0	27.84
09/20/2018	***-**-8258 - IFG, KELLY,J ACCOUNT #: VP60088260	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	412.00	8.24	0.00	8.24	90.0	7.42
09/20/2018	***-**-5757 - DEGROOT, ROBERT ACCOUNT #: VP60706860	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	750.00	15.00	0.00	15.00	90.0	13.50
09/20/2018	***-**-209I - SPARACO, MICHAEL ACCOUNT #: VP60570220	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,510.67	30.21	0.00	30.21	90.0	27.19
* VARIABLE ANNUITIES SUBTOTAL *			\$6,585.17	\$121.37	\$0.00	\$121.37		\$109.24



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @53.9000	50.00	1.88	0.00	1.88	90.0	1.69
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @53.9000	50.00	1.88	0.00	1.88	90.0	1.69
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE BOND FUND OF AMERICA-529A BUY 4 Units @12.9900	50.00	1.50	0.00	1.50	90.0	1.35
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE BOND FUND OF AMERICA-529A BUY 4 Units @12.9900	50.00	1.50	0.00	1.50	90.0	1.35
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @57.4900	50.00	1.88	0.00	1.88	90.0	1.69
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @57.4900	50.00	1.88	0.00	1.88	90.0	1.69
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3500	50.00	1.88	0.00	1.88	90.0	1.69
09/17/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3500	50.00	1.88	0.00	1.88	90.0	1.69
* 529 PLAN SUBTOTAL *			\$400.00	\$14.28	\$0.00	\$14.28		\$12.84
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/24/2018	***-**-970I - KLEVER, JAMES ACCOUNT #: 5014176478	DELAWARE INV MF TRAILS BUY 0 Units @0.0000	0.00	4.12	0.00	4.12	90.0	3.71
09/24/2018	***-**-970I - KLEVER, JAMES ACCOUNT #: 5014176478	DELAWARE INV MF TRAILS BUY 0 Units @0.0000	0.00	4.85	0.00	4.85	90.0	4.37
09/24/2018	***-**-98JK - VESTAR ACCOUNT #: 207247219	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	154.44	0.00	154.44	90.0	139.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$163.41	\$0.00	\$163.41		\$147.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/24/2018	***-**-4539 - SPENCER, SCOTT ACCOUNT #: 57030694	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	599.19	0.00	599.19	90.0	539.27
09/15/2018	***-**-3535 - DEVORE, THOMAS ACCOUNT #: VP60375070	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	0.00	65.45	0.00	65.45	90.0	58.91
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$664.64	\$0.00	\$664.64		\$598.18



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ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$27,468.58	\$1,527.00	\$0.00	\$1,527.00		\$1,374.31

BROKER COMMISSION TOTALS

Broker Transactions	\$1,331.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,374.31
Year-to-date Earnings	\$278,095.68