

# COMMISSION STATEMENT November 15, 2018

DENICE LAND-D341  
 320 South Boston Avenue  
 #1130  
 Tulsa, OK 74130

BROKER #: D341  
 BRANCH: DMN

## COMMISSION STATEMENT for DENICE LAND-D341

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/30/2018	00084310415	EVANS, KRISTY	MF	AMERICAN FUNDS 2025 TARGET	B	20.86	1.04	0.00	1.04	60.0	0.62
10/30/2018	00084310415	EVANS, KRISTY	MF	AMERICAN FUNDS 2025 TARGET	B	20.86	1.04	0.00	1.04	60.0	0.62
10/30/2018	00084310433	WITTEN, DONALD	MF	AMERICAN FUNDS 2025 TARGET	B	69.00	3.45	0.00	3.45	60.0	2.07
10/30/2018	00084310433	WITTEN, DONALD	MF	AMERICAN FUNDS 2025 TARGET	B	69.00	3.45	0.00	3.45	60.0	2.07
10/30/2018	00084310473	BREWSTER, ROBERT	MF	AMERICAN FUNDS 2025 TARGET	B	43.50	1.85	0.00	1.85	60.0	1.11
10/30/2018	00084310473	BREWSTER, ROBERT	MF	AMERICAN FUNDS 2025 TARGET	B	43.50	1.85	0.00	1.85	60.0	1.11
10/30/2018	00084310478	OSTER, CAROL	MF	AMERICAN FUNDS 2015 TARGET	B	21.00	1.05	0.00	1.05	60.0	0.63
10/30/2018	00084310478	OSTER, CAROL	MF	AMERICAN FUNDS 2015 TARGET	B	21.00	1.05	0.00	1.05	60.0	0.63
10/30/2018	00084310522	LATIMER, KARLA	MF	AMERICAN FUNDS 2045 TARGET	B	26.25	1.31	0.00	1.31	60.0	0.79
10/30/2018	00084310522	LATIMER, KARLA	MF	AMERICAN FUNDS 2045 TARGET	B	26.25	1.31	0.00	1.31	60.0	0.79
10/30/2018	00086610084	YOUNG, LANCE	MF	AMERICAN FUNDS 2015 TARGET	B	15.66	0.78	0.00	0.78	60.0	0.47
10/30/2018	00086610084	YOUNG, LANCE	MF	AMERICAN FUNDS 2015 TARGET	B	15.66	0.78	0.00	0.78	60.0	0.47
10/30/2018	00087869964	GOODSON, RUSSELL	MF	AMERICAN FUNDS 2040 TARGET	B	30.51	1.53	0.00	1.53	60.0	0.92
10/30/2018	00087869964	GOODSON, RUSSELL	MF	AMERICAN FUNDS 2040 TARGET	B	30.51	1.53	0.00	1.53	60.0	0.92
10/30/2018	00087920714	LIVELY, ADAM	MF	AMERICAN FUNDS 2055 TARGET	B	26.73	1.34	0.00	1.34	60.0	0.80
10/30/2018	00087920714	LIVELY, ADAM	MF	AMERICAN FUNDS 2055 TARGET	B	26.73	1.34	0.00	1.34	60.0	0.80
10/30/2018	00088618353	WITTEN, SHERYL	MF	AMERICAN FUNDS 2020 TARGET	B	29.25	1.24	0.00	1.24	60.0	0.74
10/30/2018	00088618353	WITTEN, SHERYL	MF	AMERICAN FUNDS 2020 TARGET	B	29.25	1.24	0.00	1.24	60.0	0.74
10/30/2018	04000139083	TOWNSEND, TREVOR	MF	AMERICAN FUNDS 2030 TARGET	B	26.91	1.35	0.00	1.35	60.0	0.81
10/30/2018	04000139083	TOWNSEND, TREVOR	MF	AMERICAN FUNDS 2030 TARGET	B	26.91	1.35	0.00	1.35	60.0	0.81
10/30/2018	04000803201	GIDDENS, JORDAN	MF	AMERICAN FUNDS 2040 TARGET	B	23.56	0.24	0.00	0.24	60.0	0.14
10/30/2018	04000803201	GIDDENS, JORDAN	MF	AMERICAN FUNDS 2040 TARGET	B	23.56	0.24	0.00	0.24	60.0	0.14

* MUTUAL FUNDS SUBTOTAL *				\$666.46	\$30.36	\$0.00	\$30.36		\$18.20
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#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/28/2018	(None)	FRANKSUMM	MFT	BLACKROCK	B	0.00	11.03	0.00	11.03	60.0	6.62
11/07/2018	01451345696	COOK, WILLIAM	MFT	FIDELITY ADV ENERGY CL A	B	0.00	0.15	0.00	0.15	60.0	0.09
11/07/2018	01451345696	COOK, WILLIAM	MFT	FIDELITY ADV LATIN AMERICA FUND	B	0.00	0.09	0.00	0.09	60.0	0.05
11/07/2018	01451345696	COOK, WILLIAM	MFT	FIDELITY GOVERNMENT MKT DAILY	B	0.00	1.18	0.00	1.18	60.0	0.71
11/07/2018	01451345720	COOK, WILLIAM	MFT	FIDELITY ADV LEVERAGED CO STOCK	B	0.00	1.06	0.00	1.06	60.0	0.64
11/07/2018	01451345720	COOK, WILLIAM	MFT	FIDELITY ADVISOR FUNDS	B	0.00	19.88	0.00	19.88	60.0	11.93

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11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	1.62	0.00	1.62	60.0	0.97
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR DIVERSIFIED	B	0.00	1.55	0.00	1.55	60.0	0.93
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.94	0.00	0.94	60.0	0.56
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	7.68	0.00	7.68	60.0	4.61
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR FUNDS	B	0.00	17.31	0.00	17.31	60.0	10.39
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR MID CAP II	B	0.00	2.19	0.00	2.19	60.0	1.31
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADV LATIN AMERICA FUND	B	0.00	0.49	0.00	0.49	60.0	0.29
11/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	2.38	0.00	2.38	60.0	1.43
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$67.55</b>	<b>\$0.00</b>	<b>\$67.55</b>		<b>\$40.53</b>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/01/2018 (None) SIVADON, ANGELA	VAT VOYA LIFE	B	0.00	39.55	0.00	39.55	60.0	23.73
11/04/2018 (None) MADDOX, REBBECCA	VAT VOYA LIFE	B	0.00	38.71	0.00	38.71	60.0	23.23
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$78.26</b>	<b>\$0.00</b>	<b>\$78.26</b>	<b>\$46.96</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$666.46</b>	<b>\$176.17</b>	<b>\$0.00</b>	<b>\$176.17</b>	<b>\$105.69</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$105.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-20.60
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$85.09</b>
<b>Year-to-date Commissions</b>	<b>\$13,507.01</b>