



COMMISSION STATEMENT

October 22, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/19/2018	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 00082865142	BUY 3 Units @47.6000						
10/15/2018	***-**-32IR - SHAW, WILLIAM	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @50.9700						
10/19/2018	***-**-54IN - SCHMIDT, JENNY	INCOME FUND OF AMERICA CLASS C	100.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: 00082865142	BUY 4 Units @22.2500						
10/09/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
10/09/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
10/17/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 4 Units @56.0700						
10/17/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 9 Units @23.4900						
10/15/2018	***-**-72IR - SHAW, SUSAN	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @41.5500						
* MUTUAL FUNDS SUBTOTAL *			\$876.72	\$17.52	\$0.00	\$17.52		\$14.91
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/05/2018	***-**-305I - WAGNER, KEITH	JACKSON NAT PERS II OPT E 0-80	100,000.00	2,000.00	0.00	2,000.00	85.0	1,700.00
		BUY 1 Units @100000.000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100,000.00	\$2,000.00	\$0.00	\$2,000.00		\$1,700.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/09/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$65.28	\$0.65	\$0.00	\$0.65		\$0.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/13/2018	***-**-597I - LEAR, DIANE	ING ADVANTAGE SELECT	0.00	14.38	0.00	14.38	85.0	12.22
	ACCOUNT #: R080334-ZS	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$14.38	\$0.00	\$14.38		\$12.22



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BROKER TRANSACTIONS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$100,942.00	\$2,032.55	\$0.00	\$2,032.55		\$1,727.68
BROKER COMMISSION TOTALS								
					Broker Transactions			\$1,727.68
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$1,727.68
					Year-to-date Earnings			\$107,435.65