



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN11 Walnut Hill Drive
Penfield, NY 14526**BROKER #: 9**

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2018	***-**-545P - MARSJANIK, ACCOUNT #: 000000003189317	FRANKLIN BIOTECHNOLOGY DISCOVERY FU BUY 0 Units @0.0000	0.00	9.57	0.00	9.57	80.0	7.66
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000000003461237	FRANKLIN BIOTECHNOLOGY DISCOVERY FU BUY 0 Units @0.0000	0.00	5.27	0.00	5.27	80.0	4.22
10/31/2018	***-**-717H - MARSJANIK, ACCOUNT #: 000013712159170	FRANKLIN CONV SEC FUND - CLASS A BUY 0 Units @0.0000	0.00	33.40	0.00	33.40	80.0	26.72
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000013712303291	FRANKLIN CONV SEC FUND - CLASS A BUY 0 Units @0.0000	0.00	11.11	0.00	11.11	80.0	8.89
10/31/2018	***-**-717I - MARSJANIK, ACCOUNT #: 000000000704938	FRANKLIN COREFOLIO ALLOCATION CL A BUY 0 Units @0.0000	0.00	37.76	0.00	37.76	80.0	30.21
10/31/2018	***-**-717I - MARSJANIK, ACCOUNT #: 000000000722138	FRANKLIN COREFOLIO ALLOCATION CL A BUY 0 Units @0.0000	0.00	8.94	0.00	8.94	80.0	7.15
10/31/2018	***-**-54IN - MARSJANIK, ACCOUNT #: 000000000722140	FRANKLIN COREFOLIO ALLOCATION CL A BUY 0 Units @0.0000	0.00	8.94	0.00	8.94	80.0	7.15
10/31/2018	***-**-010P - LOMBARD, LAURIE ACCOUNT #: 000080192066100	FRANKLIN DYNATECH FUND CLASS A BUY 0 Units @0.0000	0.00	25.65	0.00	25.65	80.0	20.52
10/31/2018	***-**-328P - HALM, VICKY ACCOUNT #: 000080192227013	FRANKLIN DYNATECH FUND CLASS A BUY 0 Units @0.0000	0.00	7.52	0.00	7.52	80.0	6.02
10/31/2018	***-**-717H - MARSJANIK, ACCOUNT #: 000080192940585	FRANKLIN DYNATECH FUND CLASS A BUY 0 Units @0.0000	0.00	39.54	0.00	39.54	80.0	31.63
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000080193424771	FRANKLIN DYNATECH FUND CLASS A BUY 0 Units @0.0000	0.00	11.12	0.00	11.12	80.0	8.90
10/31/2018	***-**-97IN - BRESIN, ACCOUNT #: 000017411249873	FRANKLIN FEDERAL INTER TERM TAX-FRE BUY 0 Units @0.0000	0.00	7.73	0.00	7.73	80.0	6.18
10/31/2018	***-**-754I - MARSJANIK, ACCOUNT #: 000060177727189	FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	9.46	0.00	9.46	80.0	7.57
10/31/2018	***-**-717I - MARSJANIK, ACCOUNT #: 000060177727191	FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	9.58	0.00	9.58	80.0	7.66
10/31/2018	***-**-60TR - BRESIN, FLORENCE ACCOUNT #: 000090217170492	FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000	0.00	42.30	0.00	42.30	80.0	33.84
10/31/2018	***-**-328P - HALM, VICKY ACCOUNT #: 000090218155718	FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000	0.00	3.33	0.00	3.33	80.0	2.66



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/31/2018	***-**-180I - HALM, MATILDA	FRANKLIN INCOME FUND CLASS A	0.00	46.38	0.00	46.38	80.0	37.10
	ACCOUNT #: 000090218558321	BUY 0 Units @0.0000						
10/31/2018	***-**-77IN - JAMES, WESLEY &	FRANKLIN INCOME FUND CLASS A	0.00	36.09	0.00	36.09	80.0	28.87
	ACCOUNT #: 000090219913489	BUY 0 Units @0.0000						
10/31/2018	***-**-910I - CLEMENT, JAMES	FRANKLIN INCOME FUND CLASS A	0.00	32.43	0.00	32.43	80.0	25.94
	ACCOUNT #: 000090227083552	BUY 0 Units @0.0000						
10/31/2018	***-**-13IN - CLEMENT, SANDRA	FRANKLIN INCOME FUND CLASS A	0.00	9.74	0.00	9.74	80.0	7.79
	ACCOUNT #: 000090227938571	BUY 0 Units @0.0000						
10/31/2018	***-**-619J - JAMES, WESLEY &	FRANKLIN INCOME FUND CLASS A	0.00	6.81	0.00	6.81	80.0	5.45
	ACCOUNT #: 000090228004886	BUY 0 Units @0.0000						
10/31/2018	***-**-80IN - HALM, MATILDA	FRANKLIN INCOME FUND CLASS A	0.00	7.95	0.00	7.95	80.0	6.36
	ACCOUNT #: 000090229738252	BUY 0 Units @0.0000						
10/31/2018	***-**-717J - MARSJANIK,	FRANKLIN INCOME FUND CLASS A	0.00	13.30	0.00	13.30	80.0	10.64
	ACCOUNT #: 000090234599758	BUY 0 Units @0.0000						
10/31/2018	***-**-716J - STERN, ROBERT	FRANKLIN INCOME FUND CLASS A	0.00	16.61	0.00	16.61	80.0	13.29
	ACCOUNT #: 000090234599796	BUY 0 Units @0.0000						
10/31/2018	***-**-972I - BRENNAN, DANNIE	FRANKLIN INCOME FUND CLASS A	0.00	39.62	0.00	39.62	80.0	31.70
	ACCOUNT #: 000090234896467	BUY 0 Units @0.0000						
10/31/2018	***-**-030I - BRENNAN, BARBARA	FRANKLIN INCOME FUND CLASS A	0.00	7.25	0.00	7.25	80.0	5.80
	ACCOUNT #: 000090234945296	BUY 0 Units @0.0000						
10/31/2018	***-**-7195 - SHARON M	FRANKLIN INCOME FUND CLASS A	0.00	2.36	0.00	2.36	80.0	1.89
	ACCOUNT #: 000090235240721	BUY 0 Units @0.0000						
10/31/2018	***-**-7196 - BRESIN, BENE	FRANKLIN INCOME FUND CLASS A	0.00	3.64	0.00	3.64	80.0	2.91
	ACCOUNT #: 000090235240772	BUY 0 Units @0.0000						
10/31/2018	***-**-7197 - LYNN M ABRAHAM,	FRANKLIN INCOME FUND CLASS A	0.00	2.63	0.00	2.63	80.0	2.10
	ACCOUNT #: 000090235266512	BUY 0 Units @0.0000						
10/31/2018	***-**-7194 - RICHARD H	FRANKLIN INCOME FUND CLASS A	0.00	2.44	0.00	2.44	80.0	1.95
	ACCOUNT #: 000090235266777	BUY 0 Units @0.0000						
10/31/2018	***-**-006J - BECKER, MARK	FRANKLIN INCOME FUND CLASS A	0.00	106.98	0.00	106.98	80.0	85.58
	ACCOUNT #: 000090238436718	BUY 0 Units @0.0000						
10/31/2018	***-**-716I - STERN, PATRICIA	FRANKLIN INCOME FUND CLASS A	0.00	6.67	0.00	6.67	80.0	5.34
	ACCOUNT #: 000090239776583	BUY 0 Units @0.0000						



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/31/2018	***-**-1223 - MELLINA, ALBERTA	FRANKLIN INCOME FUND CLASS C	0.00	71.98	0.00	71.98	80.0	57.58
	ACCOUNT #: 000000012912527	BUY 0 Units @0.0000						
10/31/2018	***-**-99IN - GOTTHEIM, JOAN &	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	35.98	0.00	35.98	80.0	28.78
	ACCOUNT #: 000011503953850	BUY 0 Units @0.0000						
10/31/2018	***-**-97IN - BRESIN,	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	4.88	0.00	4.88	80.0	3.90
	ACCOUNT #: 000011516371052	BUY 0 Units @0.0000						
10/31/2018	***-**-716I - STERN, PATRICIA	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	5.81	0.00	5.81	80.0	4.65
	ACCOUNT #: 000011517046170	BUY 0 Units @0.0000						
10/31/2018	***-**-717H - MARSJANIK,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	13.54	0.00	13.54	80.0	10.83
	ACCOUNT #: 000015814218883	BUY 0 Units @0.0000						
10/31/2018	***-**-1223 - MELLINA, ALBERTA	FRK AGE HIGH INC FND - CL C	0.00	71.23	0.00	71.23	80.0	56.98
	ACCOUNT #: 000000001306998	BUY 0 Units @0.0000						
10/31/2018	***-**-4010 - LOMBARD, LAURIE	FRK AGGRESSIVE GRWTH - CL A	0.00	18.28	0.00	18.28	80.0	14.62
	ACCOUNT #: 000000000993093	BUY 0 Units @0.0000						
10/31/2018	***-**-4892 - STERN, ROBERT	FRK AGGRESSIVE GRWTH - CL A	0.00	12.64	0.00	12.64	80.0	10.11
	ACCOUNT #: 000000001039270	BUY 0 Units @0.0000						
10/31/2018	***-**-717J - MARSJANIK,	FRK AGGRESSIVE GRWTH - CL A	0.00	9.95	0.00	9.95	80.0	7.96
	ACCOUNT #: 000000001329921	BUY 0 Units @0.0000						
10/31/2018	***-**-54IN - MARSJANIK,	FRK EQUITY INC FND - CL A	0.00	10.29	0.00	10.29	80.0	8.23
	ACCOUNT #: 000013912701779	BUY 0 Units @0.0000						
10/31/2018	***-**-716I - STERN, PATRICIA	FRK EQUITY INC FND - CL A	0.00	11.31	0.00	11.31	80.0	9.05
	ACCOUNT #: 000013912710501	BUY 0 Units @0.0000						
10/31/2018	***-**-60TR - BRESIN, FLORENCE	FRK FL TF INC FND - CL A	0.00	45.36	0.00	45.36	80.0	36.29
	ACCOUNT #: 000016511756296	BUY 0 Units @0.0000						
10/31/2018	***-**-006J - BECKER, MARK	FRK FL TF INC FND - CL A	0.00	47.61	0.00	47.61	80.0	38.09
	ACCOUNT #: 000016512044563	BUY 0 Units @0.0000						
10/31/2018	***-**-560I - BRESIN-GOTTHEIM,	FRK HIGH INC FND - CL A	0.00	33.06	0.00	33.06	80.0	26.45
	ACCOUNT #: 000050116107381	BUY 0 Units @0.0000						
10/31/2018	***-**-910I - CLEMENT, JAMES	FRK HIGH INC FND - CL A	0.00	24.44	0.00	24.44	80.0	19.55
	ACCOUNT #: 000050116987436	BUY 0 Units @0.0000						
10/31/2018	***-**-717J - MARSJANIK,	FRK HIGH INC FND - CL A	0.00	14.27	0.00	14.27	80.0	11.42
	ACCOUNT #: 000050117717095	BUY 0 Units @0.0000						



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/31/2018	***-**-716J - STERN, ROBERT	FRK HIGH INC FND - CL A	0.00	1.95	0.00	1.95	80.0	1.56
	ACCOUNT #: 000050117717107	BUY 0 Units @0.0000						
10/31/2018	***-**-972I - BRENNAN, DANNIE	FRK HIGH INC FND - CL A	0.00	58.14	0.00	58.14	80.0	46.51
	ACCOUNT #: 000050117765058	BUY 0 Units @0.0000						
10/31/2018	***-**-030I - BRENNAN, BARBARA	FRK HIGH INC FND - CL A	0.00	6.60	0.00	6.60	80.0	5.28
	ACCOUNT #: 000050117771389	BUY 0 Units @0.0000						
10/31/2018	***-**-7195 - SHARON M	FRK HIGH INC FND - CL A	0.00	2.21	0.00	2.21	80.0	1.77
	ACCOUNT #: 000050117803073	BUY 0 Units @0.0000						
10/31/2018	***-**-7196 - BRESIN, BENE	FRK HIGH INC FND - CL A	0.00	3.40	0.00	3.40	80.0	2.72
	ACCOUNT #: 000050117803097	BUY 0 Units @0.0000						
10/31/2018	***-**-7197 - LYNN M ABRAHAM,	FRK HIGH INC FND - CL A	0.00	2.49	0.00	2.49	80.0	1.99
	ACCOUNT #: 000050117806219	BUY 0 Units @0.0000						
10/31/2018	***-**-7194 - RICHARD H	FRK HIGH INC FND - CL A	0.00	2.30	0.00	2.30	80.0	1.84
	ACCOUNT #: 000050117806272	BUY 0 Units @0.0000						
10/31/2018	***-**-717H - MARSJANIK,	FRK HIGH INC FND - CL A	0.00	27.43	0.00	27.43	80.0	21.94
	ACCOUNT #: 000050117897234	BUY 0 Units @0.0000						
10/31/2018	***-**-006J - BECKER, MARK	FRK HIGH INC FND - CL A	0.00	56.85	0.00	56.85	80.0	45.48
	ACCOUNT #: 000050118219644	BUY 0 Units @0.0000						
10/31/2018	***-**-754I - MARSJANIK,	FRK HIGH INC FND - CL A	0.00	18.22	0.00	18.22	80.0	14.58
	ACCOUNT #: 000050118288714	BUY 0 Units @0.0000						
10/31/2018	***-**-716I - STERN, PATRICIA	FRK HIGH INC FND - CL A	0.00	6.71	0.00	6.71	80.0	5.37
	ACCOUNT #: 000050118365922	BUY 0 Units @0.0000						
10/31/2018	***-**-60TR - BRESIN, FLORENCE	FRK STRAT INC FND - CL A	0.00	66.02	0.00	66.02	80.0	52.82
	ACCOUNT #: 000019411071905	BUY 0 Units @0.0000						
10/31/2018	***-**-180P - HALM, MATILDA	FRK STRAT INC FND - CL A	0.00	24.00	0.00	24.00	80.0	19.20
	ACCOUNT #: 000019411672292	BUY 0 Units @0.0000						
10/31/2018	***-**-910I - CLEMENT, JAMES	FRK STRAT INC FND - CL A	0.00	28.25	0.00	28.25	80.0	22.60
	ACCOUNT #: 000019411777206	BUY 0 Units @0.0000						
10/31/2018	***-**-7195 - SHARON M	FRK STRAT INC FND - CL A	0.00	3.62	0.00	3.62	80.0	2.90
	ACCOUNT #: 000019413064395	BUY 0 Units @0.0000						
10/31/2018	***-**-7196 - BRESIN, BENE	FRK STRAT INC FND - CL A	0.00	3.98	0.00	3.98	80.0	3.18
	ACCOUNT #: 000019413064421	BUY 0 Units @0.0000						



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/31/2018	***-**-7197 - LYNN M ABRAHAM, ACCOUNT #: 000019413070018	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	4.04	0.00	4.04	80.0	3.23
10/31/2018	***-**-7194 - RICHARD H ACCOUNT #: 000019413070069	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	3.72	0.00	3.72	80.0	2.98
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000070188385693	FRK UTIL FND - CL A BUY 0 Units @0.0000	0.00	6.74	0.00	6.74	80.0	5.39
10/31/2018	***-**-717H - MARSJANIK, ACCOUNT #: 000028212320647	FRK VAL FND - CL A BUY 0 Units @0.0000	0.00	13.80	0.00	13.80	80.0	11.04
10/31/2018	***-**-54IN - MARSJANIK, ACCOUNT #: 000028212618145	FRK VAL FND - CL A BUY 0 Units @0.0000	0.00	3.64	0.00	3.64	80.0	2.91
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000028212633906	FRK VAL FND - CL A BUY 0 Units @0.0000	0.00	10.86	0.00	10.86	80.0	8.69
10/31/2018	***-**-60TR - BRESIN, FLORENCE ACCOUNT #: 000000000141895	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	89.90	0.00	89.90	80.0	71.92
10/31/2018	***-**-180I - HALM, MATILDA ACCOUNT #: 000000000321745	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	17.94	0.00	17.94	80.0	14.35
10/31/2018	***-**-180P - HALM, MATILDA ACCOUNT #: 000000000749907	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	16.66	0.00	16.66	80.0	13.33
10/31/2018	***-**-7195 - SHARON M ACCOUNT #: 0000000001477328	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	2.59	0.00	2.59	80.0	2.07
10/31/2018	***-**-7197 - LYNN M ABRAHAM, ACCOUNT #: 0000000001479789	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	2.91	0.00	2.91	80.0	2.33
10/31/2018	***-**-7194 - RICHARD H ACCOUNT #: 0000000001479827	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	3.26	0.00	3.26	80.0	2.61
10/31/2018	***-**-717H - MARSJANIK, ACCOUNT #: 0000000001641772	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	156.82	0.00	156.82	80.0	125.46
10/31/2018	***-**-717I - MARSJANIK, ACCOUNT #: 0000000001735834	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	80.0	1.21
10/31/2018	***-**-54IN - MARSJANIK, ACCOUNT #: 0000000001754872	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	17.52	0.00	17.52	80.0	14.02
10/31/2018	***-**-717I - MARSJANIK, ACCOUNT #: 0000000001754884	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	16.81	0.00	16.81	80.0	13.45



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/31/2018	***-**-54IN - MARSJANIK, ACCOUNT #: 000000001755026	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	35.42	0.00	35.42	80.0	28.34
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000000001764226	FRNKLN FLOATING RATE DLY ACCESS FND BUY 0 Units @0.0000	0.00	16.63	0.00	16.63	80.0	13.30
10/31/2018	***-**-1223 - MELLINA, ALBERTA ACCOUNT #: 000000000597120	FRNKLN FLOATING RATE DLY ACCESS FUN BUY 0 Units @0.0000	0.00	71.39	0.00	71.39	80.0	57.11
10/31/2018	***-**-717I - MARSJANIK, ACCOUNT #: 000000000884054	FT MODERATE TARGET FUND - CLASS A BUY 0 Units @0.0000	0.00	20.22	0.00	20.22	80.0	16.18
10/31/2018	***-**-716I - STERN, PATRICIA ACCOUNT #: 000000000919833	FT MODERATE TARGET FUND - CLASS A BUY 0 Units @0.0000	0.00	11.11	0.00	11.11	80.0	8.89
10/31/2018	***-**-328P - HALM, VICKY ACCOUNT #: 000000001275991	MUTUAL SERIES BEACON CLASS A BUY 0 Units @0.0000	0.00	8.37	0.00	8.37	80.0	6.70
11/12/2018	***-**-21IN - BRESIN, EMMA ACCOUNT #: 7200036096	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	176.87	0.00	176.87	80.0	141.50
10/31/2018	***-**-030I - BRENNAN, BARBARA ACCOUNT #: 000006982355546	TEMP GLOBAL BOND FND - CL A BUY 0 Units @0.0000	0.00	0.54	0.00	0.54	80.0	0.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,064.19	\$0.00	\$2,064.19		\$1,651.36
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2,064.19	\$0.00	\$2,064.19		\$1,651.36



COMMISSION STATEMENT

November 12, 2018

BARRY BRESIN

11 Walnut Hill Drive

Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$1,651.36
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$30.66
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$1,682.02
Year-to-date Earnings								\$47,960.07