

# COMMISSION STATEMENT

October 15, 2018

 DALE HESTER-D346  
 951 West Dallas Street

 BROKER #: D346  
 BRANCH: DMN

Canton, TX 75103

## COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018 (None) ARMSTRONG	MF	HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
09/17/2018 (None) ARMSTRONG	MF	HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
09/17/2018 (None) HAILEY	MF	HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$1,050.00	\$26.25	\$0.00	\$26.25		\$23.63

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018 (None) VARIOUS, HESTER DALE	MFT	AIG	B	0.00	113.09	0.00	113.09	90.0	101.78
09/30/2018 (None) 3360098133	MFT	DREYFUS 12B-1	B	0.00	10.34	0.00	10.34	90.0	9.31
09/30/2018 (None) GORDON-C-&	MFT	EATON VANCE	B	0.00	9.78	0.00	9.78	90.0	8.80
09/30/2018 (None) VARIOUS, HESTER DALE	MFT	HARTFORD MUTUAL FUIND	B	0.00	64.41	0.00	64.41	90.0	57.97
09/30/2018 (None) VARIOUS, HESTER DALE	MFT	HARTFORD SMART	B	0.00	51.85	0.00	51.85	90.0	46.67
09/30/2018 (None) VARIOUS, HESTER DALE	MFT	OPPENHEIMER	B	0.00	3.62	0.00	3.62	90.0	3.26
10/01/2018 00483087881 GORDON C WOOD,	MFT	PUTNAM TAX-FREE HIGH YIELD FUND	B	0.00	42.96	0.00	42.96	90.0	38.66
10/01/2018 00777113191 TAMMEN, MATHEW	MFT	GROWTH ALLOCATION A	B	0.00	17.98	0.00	17.98	90.0	16.18
10/01/2018 00777116731 HILLIARD, KEITH	MFT	INVESCO MODERATE ALLOCATION FD	B	0.00	280.76	0.00	280.76	90.0	252.68
10/01/2018 00777116733 HILLIARD, SHARON	MFT	INVESCO MODERATE ALLOCATION FD	B	0.00	265.26	0.00	265.26	90.0	238.73
10/01/2018 00777116734 RUST, MELISSA	MFT	INVESCO GROWTH ALLOCATION FUND	B	0.00	89.74	0.00	89.74	90.0	80.77
10/01/2018 00777116736 HILLIARD, KEITH	MFT	INVESCO GROWTH ALLOCATION FUND	B	0.00	17.17	0.00	17.17	90.0	15.45
10/01/2018 00777138617 CZUPRYNA, ROBERT	MFT	INVESCO BALANCED-RISK	B	0.00	5.93	0.00	5.93	90.0	5.34
10/01/2018 00777152978 STOLTZFUS, DEAN	MFT	INVESCO BALANCED-RISK	B	0.00	23.14	0.00	23.14	90.0	20.83
10/01/2018 00777152979 STOLTZFUS, TONYA	MFT	INVESCO BALANCED-RISK	B	0.00	19.03	0.00	19.03	90.0	17.13
10/01/2018 00777153252 TERRY, SHANE	MFT	INVESCO BALANCED-RISK	B	0.00	104.77	0.00	104.77	90.0	94.29
10/01/2018 00777153255 TERRY, MELINDA	MFT	INVESCO BALANCED-RISK	B	0.00	10.91	0.00	10.91	90.0	9.82
10/01/2018 01000156446 POWERS, MICHAEL	MFT	GROWTH ALLOCATION A	B	0.00	12.09	0.00	12.09	90.0	10.88
10/01/2018 01000183770 OXFORD, KELLY	MFT	INVESCO MUNICIPAL INCOME FUND -	B	0.00	360.85	0.00	360.85	90.0	324.77
10/01/2018 01001274435 STOLTZFUS, TONYA	MFT	INVESCO BALANCED-RISK	B	0.00	6.85	0.00	6.85	90.0	6.17
10/01/2018 01001274441 STOLTZFUS, DEAN	MFT	INVESCO BALANCED-RISK	B	0.00	16.27	0.00	16.27	90.0	14.64
10/01/2018 02500058317 MAYBERRY, JUDY	MFT	AIM MODERATE ALLOCATION - CLASS	B	0.00	1.58	0.00	1.58	90.0	1.42
10/01/2018 02500076128 GEBERT, SHAUN	MFT	AIM ASIA PACIFIC GROWTH FUND -	B	0.00	12.52	0.00	12.52	90.0	11.27
10/01/2018 02500076128 GEBERT, SHAUN	MFT	AIM REAL ESTATE FUND - CLASS A	B	0.00	8.60	0.00	8.60	90.0	7.74
10/01/2018 02500076128 GEBERT, SHAUN	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	16.77	0.00	16.77	90.0	15.09

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10/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO AMERICAN VALUE FUND -	B	0.00	15.03	0.00	15.03	90.0	13.53
10/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO SMALL CAP DISCOVERY	B	0.00	19.76	0.00	19.76	90.0	17.78
10/01/2018 03870006974	GEBERT, JENNIFER	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	11.09	0.00	11.09	90.0	9.98
10/01/2018 03908934668	ALLEN, BRENDA	MFT AIM CHARTER FUND - CLASS A	B	0.00	2.40	0.00	2.40	90.0	2.16
10/01/2018 03908934668	ALLEN, BRENDA	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	1.66	0.00	1.66	90.0	1.49
10/01/2018 03908934668	ALLEN, BRENDA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.94	0.00	3.94	90.0	3.55
10/01/2018 03908934668	ALLEN, BRENDA	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	1.86	0.00	1.86	90.0	1.67
10/01/2018 03910366438	MOORE, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.39	0.00	0.39	90.0	0.35
10/01/2018 03910904568	MICHELS TIC,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.13	0.00	0.13	90.0	0.12
10/01/2018 03910904568	MICHELS TIC,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.41	0.00	0.41	90.0	0.37
10/01/2018 03913007054	MICHELS, PATTI	MFT AIM CHARTER FUND - CLASS A	B	0.00	14.18	0.00	14.18	90.0	12.76
10/01/2018 03913007054	MICHELS, PATTI	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	18.17	0.00	18.17	90.0	16.35
10/01/2018 03913007054	MICHELS, PATTI	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	25.77	0.00	25.77	90.0	23.19
10/01/2018 04039391992	RICHARDSON,	MFT GROWTH ALLOCATION A	B	0.00	8.61	0.00	8.61	90.0	7.75
10/08/2018 04718378555	OXFORD, KELLY	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	4.28	0.00	4.28	90.0	3.85
10/01/2018 05036208709	WHITTON,	MFT AIM CHARTER FUND - CLASS A	B	0.00	21.44	0.00	21.44	90.0	19.30
10/01/2018 05041348235	MICHELS, TIMOTHY	MFT AIM CHARTER FUND - CLASS A	B	0.00	14.20	0.00	14.20	90.0	12.78
10/01/2018 05041348235	MICHELS, TIMOTHY	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	22.69	0.00	22.69	90.0	20.42
10/01/2018 05041348235	MICHELS, TIMOTHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	20.98	0.00	20.98	90.0	18.88
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,773.26</b>	<b>\$0.00</b>	<b>\$1,773.26</b>		<b>\$1,595.93</b>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/23/2018 (None)	MACY, SARA	VAT AMERICAN GENERAL	B	0.00	25.09	0.00	25.09	90.0	22.58
09/23/2018 (None)	EBERHART, TIM	VAT AMERICAN GENERAL	B	0.00	865.19	0.00	865.19	90.0	778.67
09/24/2018 (None)	DAVIS, GRETТА	VAT AMERICAN GENERAL	B	0.00	252.73	0.00	252.73	90.0	227.46
09/24/2018 (None)	BARTON, DANA	VAT AMERICAN GENERAL	B	0.00	100.17	0.00	100.17	90.0	90.15
09/24/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	409.21	0.00	409.21	90.0	368.29
09/24/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	367.36	0.00	367.36	90.0	330.62
09/24/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	409.21	0.00	409.21	90.0	368.29
09/24/2018 (None)	MACY, DANIEL	VAT AMERICAN GENERAL	B	0.00	153.61	0.00	153.61	90.0	138.25
09/27/2018 (None)	HESTER, WILLIAM	VAT DELAWARE LIFE	B	0.00	664.44	0.00	664.44	90.0	598.00
09/27/2018 (None)	REYNOLDS, KEITHA	VAT DELAWARE LIFE	B	0.00	329.64	0.00	329.64	90.0	296.68
09/27/2018 (None)	MITCHELL, LARRY	VAT FORETHOUGHT LIFE	B	0.00	687.61	0.00	687.61	90.0	618.85
09/27/2018 (None)	GASSIOTT, GLENN	VAT FORETHOUGHT LIFE	B	0.00	739.36	0.00	739.36	90.0	665.42
09/27/2018 (None)	DAVIS, JUDY	VAT FORETHOUGHT LIFE	B	0.00	248.50	0.00	248.50	90.0	223.65
09/28/2018 (None)	VARIOUS, HESTER DALE	VAT PRUDENTIAL LIFE	B	0.00	214.71	0.00	214.71	90.0	193.24
09/29/2018 (None)	DAVIS, ELTON	VAT NATIONWIDE	B	0.00	1,829.64	0.00	1,829.64	90.0	1,646.68
09/29/2018 (None)	FORD, LORETTA	VAT NATIONWIDE	B	0.00	543.77	0.00	543.77	90.0	489.39
09/30/2018 (None)	VARIOUS, HESTER DALE	VAT TALCOTT RESOLUTION	B	0.00	6,105.68	0.00	6,105.68	90.0	5,495.11
10/01/2018 (None)	PRATUMRAT, PAIROJ	VAT AMERICAN GENERAL	B	0.00	75.53	0.00	75.53	90.0	67.98
10/01/2018 (None)	MCRADY, SANDRA JE	VAT AMERICAN GENERAL	B	0.00	263.08	0.00	263.08	90.0	236.77
10/01/2018 (None)	MCRADY, SANDRA JE	VAT AMERICAN GENERAL	B	0.00	263.08	0.00	263.08	90.0	236.77
10/01/2018 (None)	MCRADY, SANDRA JE	VAT AMERICAN GENERAL	B	0.00	263.08	0.00	263.08	90.0	236.77
10/01/2018 (None)	MCRADY, SANDRA JE	VAT AMERICAN GENERAL	B	0.00	263.08	0.00	263.08	90.0	236.77

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10/01/2018 (None)	MCRADY, SANDRA JE	VAT AMERICAN GENERAL	B	0.00	263.08	0.00	263.08	90.0	236.77
10/05/2018 (None)	WILLIAMS, PATRICIA	VAT AMERICAN GENERAL	B	0.00	119.35	0.00	119.35	90.0	107.42
10/05/2018 (None)	VARIOUS, HESTER DALE	VAT AXA LIFE	B	0.00	141.26	0.00	141.26	90.0	127.13
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$15,597.46	\$0.00	\$15,597.46		\$14,037.71
*** DIRECT TRANSACTIONS TOTAL ***				\$1,050.00	\$17,396.97	\$0.00	\$17,396.97		\$15,657.27

BROKER COMMISSION TOTALS

Broker Transactions	\$15,657.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$15,657.27
Year-to-date Commissions	\$187,063.10