

COMMISSION STATEMENT
September 15, 2018

RANDALL SMITH-D444

BROKER #: D444

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDALL SMITH-D444

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/04/2018 00068217982	STEHLLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.00	0.00	4.00	50.0	2.00
09/04/2018 00073354171	ARMSTRONG,	MFT AMCAP FUND-A	B	0.00	22.21	0.00	22.21	50.0	11.11
09/04/2018 00073354184	ARMSTRONG,	MFT THE INVESTMENT COMPANY OF	B	0.00	16.93	0.00	16.93	50.0	8.47
09/04/2018 00074171940	DICKEY, G	MFT THE INVESTMENT COMPANY OF	B	0.00	16.86	0.00	16.86	50.0	8.43
09/04/2018 00074509080	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	8.46	0.00	8.46	50.0	4.23
09/04/2018 00074509102	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	10.36	0.00	10.36	50.0	5.18
09/04/2018 00074623111	DE LA VEGA, JESUS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.00	0.00	13.00	50.0	6.50
09/04/2018 00074739683	LANE, MORGAN	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.78	0.00	0.78	50.0	0.39
09/04/2018 00082268142	CLEVELAND, KATHIE	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	1.06	0.00	1.06	50.0	0.53
09/04/2018 04000090127	DICKEY, G	MFT AMERICAN BALANCED FUND-A	B	0.00	93.48	0.00	93.48	50.0	46.74
09/04/2018 04000090127	DICKEY, G	MFT CAPITAL INCOME BUILDER-A	B	0.00	118.37	0.00	118.37	50.0	59.19
09/04/2018 04000090127	DICKEY, G	MFT NEW PERSPECTIVE FUND-A	B	0.00	37.43	0.00	37.43	50.0	18.72
09/04/2018 04000090127	DICKEY, G	MFT THE INCOME FUND OF AMERICA-A	B	0.00	85.43	0.00	85.43	50.0	42.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$428.37	\$0.00	\$428.37		\$214.21
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$428.37	\$0.00	\$428.37		\$214.21

BROKER COMMISSION TOTALS

Broker Transactions	\$214.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00