

**COMMISSION STATEMENT**  
**November 30, 2018**

LAWRENCE CALDWELL-381717

BROKER #:  
BRANCH: DME

, TX

COMMISSION STATEMENT for LAWRENCE CALDWELL-381717

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc381717 VARIOUS,	MFT CALAMOS GROWTH & INCOME FD CL C B	0.00	20.61	0.00	20.61	90.0	18.55
11/21/2018 mfc381717 VARIOUS,	MFT NUVEEN TENNESSEE MUNI BD A B	0.00	2.74	0.00	2.74	90.0	2.47
11/21/2018 mfc381717 VARIOUS,	MFT VOYA SENIOR INCOME FUND C B	0.00	7.17	0.00	7.17	90.0	6.45
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$30.52</b>	<b>\$0.00</b>	<b>\$30.52</b>		<b>\$27.47</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$30.52</b>	<b>\$0.00</b>	<b>\$30.52</b>		<b>\$27.47</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$27.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>