

COMMISSION STATEMENT

November 30, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018 mfc303097	HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	10.67	0.00	10.67	90.0	9.60
11/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.71	0.00	1.71	90.0	1.54
11/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.21	0.00	2.21	90.0	1.99
11/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.89	0.00	1.89	90.0	1.70
11/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.45	0.00	2.45	90.0	2.21
11/21/2018 mfc303097	HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	1.35	0.00	1.35	90.0	1.22
11/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	1.56	0.00	1.56	90.0	1.40
11/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.72	0.00	1.72	90.0	1.55
11/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	2.22	0.00	2.22	90.0	2.00
11/21/2018 mfc303097	HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.73	0.00	1.73	90.0	1.56
11/21/2018 mfc303097	HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	1.48	0.00	1.48	90.0	1.33
11/21/2018 mfc303097	HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	2.36	0.00	2.36	90.0	2.12
11/21/2018 mfc303097	HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	2.19	0.00	2.19	90.0	1.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$33.54	\$0.00	\$33.54		\$30.19
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$33.54	\$0.00	\$33.54		\$30.19

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS									
11/19/2018 00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	425.00	4.25	0.00	4.25	90.0	3.83
11/19/2018 00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	255.00	2.55	0.00	2.55	90.0	2.30
11/19/2018 00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	80.37	0.80	0.00	0.80	90.0	0.72
11/19/2018 00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	80.37	0.80	0.00	0.80	90.0	0.72
11/19/2018 00777153255	TERRY, MELINDA	MF INVESCO BALANCED-RISK	B	25.00	0.25	0.00	0.25	90.0	0.23
11/19/2018 00777153255	TERRY, MELINDA	MF INVESCO BALANCED-RISK	B	15.00	0.15	0.00	0.15	90.0	0.14
* MUTUAL FUNDS SUBTOTAL *				\$880.74	\$8.80	\$0.00	\$8.80		\$7.94

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<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/15/2018 (None)	CLEMENTS, KEITH	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
11/15/2018 (None)	CLEMENTS, JUDY	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$18.00	\$0.00	\$18.00		\$16.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018 (None)	VARIOUS, HESTER DALE	MFT AIG	B	0.00	109.23	0.00	109.23	90.0	98.31
10/31/2018 (None)	GORDON-C-&	MFT EATON VANCE	B	0.00	10.01	0.00	10.01	90.0	9.01
10/31/2018 (None)	VARIOUS, HESTER DALE	MFT HARTFORD MUTUAL FUIND	B	0.00	64.11	0.00	64.11	90.0	57.70
10/31/2018 (None)	VARIOUS, HESTER DALE	MFT HARTFORD SMART	B	0.00	52.51	0.00	52.51	90.0	47.26
10/31/2018 (None)	HARRECONNM	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	10.50	0.00	10.50	90.0	9.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$246.36	\$0.00	\$246.36		\$221.73
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
11/10/2018 (None)	HARLOW, JOEL	VAT AMERICAN GENERAL	B	0.00	291.70	0.00	291.70	90.0	262.53
11/10/2018 (None)	HARLOW, JOEL	VAT AMERICAN GENERAL	B	0.00	393.00	0.00	393.00	90.0	353.70
11/10/2018 (None)	ELLIOTT, CAROLY	VAT AMERICAN GENERAL	B	0.00	254.12	0.00	254.12	90.0	228.71
11/11/2018 (None)	DEDMAN, JEAN	VAT AMERICAN GENERAL	B	0.00	291.85	0.00	291.85	90.0	262.67
11/12/2018 (None)	PRADO, BROWN	VAT AMERICAN GENERAL	B	0.00	37.52	0.00	37.52	90.0	33.77
11/12/2018 (None)	KOTLARZ, CHUCK	VAT AMERICAN GENERAL	B	0.00	200.12	0.00	200.12	90.0	180.11
11/12/2018 (None)	PARTNEY, CHARLE	VAT AMERICAN GENERAL	B	0.00	160.64	0.00	160.64	90.0	144.58
11/12/2018 (None)	NEWBURN, DENNI	VAT AMERICAN GENERAL	B	0.00	212.50	0.00	212.50	90.0	191.25
11/12/2018 (None)	HARRELL, JESSE	VAT AMERICAN GENERAL	B	0.00	137.88	0.00	137.88	90.0	124.09
11/12/2018 (None)	CLEMMONS, GERA	VAT AMERICAN GENERAL	B	0.00	395.55	0.00	395.55	90.0	356.00
11/13/2018 (None)	DAVIS, ELTON	VAT AMERICAN GENERAL	B	0.00	1,852.57	0.00	1,852.57	90.0	1,667.31
11/14/2018 (None)	DAVIS, CINDY	VAT AMERICAN GENERAL	B	0.00	74.54	0.00	74.54	90.0	67.09
11/14/2018 (None)	DAVIS, ELTON	VAT AMERICAN GENERAL	B	0.00	97.19	0.00	97.19	90.0	87.47
11/16/2018 (None)	MAYNE JOANIE D	VAT AMERICAN GENERAL	B	0.00	6.28	0.00	6.28	90.0	5.65
11/16/2018 (None)	SCOTT ROBIN A	VAT AMERICAN GENERAL	B	0.00	14.95	0.00	14.95	90.0	13.46
11/16/2018 (None)	MAYNE, JODY	VAT AMERICAN GENERAL	B	0.00	7.39	0.00	7.39	90.0	6.65
11/17/2018 (None)	GASSIOTT, GLENN	VAT AMERICAN GENERAL	B	0.00	599.10	0.00	599.10	90.0	539.19
11/18/2018 (None)	HOFFMAN, PATSY	VAT AMERICAN GENERAL	B	0.00	197.64	0.00	197.64	90.0	177.88
11/18/2018 (None)	HOFFMAN, JAMES	VAT AMERICAN GENERAL	B	0.00	265.01	0.00	265.01	90.0	238.51
11/19/2018 (None)	FAGAN, EUGENE	VAT AMERICAN GENERAL	B	0.00	153.04	0.00	153.04	90.0	137.74
11/19/2018 (None)	KYSER, GARY	VAT AMERICAN GENERAL	B	0.00	283.74	0.00	283.74	90.0	255.37
11/19/2018 (None)	WILLIAMS, PATRICIA	VAT AMERICAN GENERAL	B	0.00	39.19	0.00	39.19	90.0	35.27
11/20/2018 (None)	CONNELLY, MARK	VAT AMERICAN GENERAL	B	0.00	290.62	0.00	290.62	90.0	261.56
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$6,256.14	\$0.00	\$6,256.14		\$5,630.56
*** DIRECT TRANSACTIONS TOTAL ***				\$1,180.74	\$6,529.30	\$0.00	\$6,529.30		\$5,876.43

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<u>ADJUSTMENTS TRANSACTIONS</u>									
<u>BROKER: #0</u>									
11/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: E-MAIL ACCOUNT-DONNA HESTER		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: E-MAIL ACCOUNT-LAUREN JASON		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-235.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-235.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,906.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,671.62
Year-to-date Commissions	\$225,385.11