



# COMMISSION STATEMENT

## October 22, 2018

TODD JESSOP  
400 West Metro Park  
SUITE 700  
Rochester, NY 14623

BROKER #: 693  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/16/2018	***-**-593I - NOKER, DAVID	AIM DIVERSIFIED DIVIDEND FUND - CL	389.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011260	SELL 20 Units @19.9100						
10/01/2018	***-**-606I - SPEZZANO, MARK	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: 03209812528	BUY 0 Units @0.0000						
10/01/2018	***-**-606I - SPEZZANO, MARK	CAPITAL APPRECIATION A	30.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: 03209812528	BUY 0 Units @0.0000						
10/16/2018	***-**-593I - NOKER, DAVID	INVESCO INCOME ALLOCATION FUND - CL	389.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011260	SELL 35 Units @11.1800						
10/02/2018	***-**-175P - BISHOP, MASON	MAIN STREET FUND A	150.00	5.70	0.00	5.70	90.0	5.13
	ACCOUNT #: 07007921710	BUY 0 Units @0.0000						
10/15/2018	***-**-175P - BISHOP, MASON	MAIN STREET FUND A	75.00	2.85	0.00	2.85	90.0	2.57
	ACCOUNT #: 07007921710	BUY 0 Units @0.0000						
10/10/2018	***-**-87IR - ROBINSON, JOSEPH	MUTUAL SHRS FND - CL C	50.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 000000000996963	BUY 2 Units @28.1600						
10/01/2018	***-**-606I - SPEZZANO, MARK	OPPENHEIMER DISCOVERY CLASS A	120.00	3.60	0.00	3.60	90.0	3.24
	ACCOUNT #: 05004952420	BUY 0 Units @0.0000						
10/01/2018	***-**-606I - SPEZZANO, MARK	OPPENHEIMER DISCOVERY CLASS A	60.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: 05004952420	BUY 0 Units @0.0000						
10/01/2018	***-**-606I - SPEZZANO, MARK	OPPENHEIMER MAIN STREET SMALL CAP F	120.00	3.60	0.00	3.60	90.0	3.24
	ACCOUNT #: 08476189854	BUY 0 Units @0.0000						
10/01/2018	***-**-606I - SPEZZANO, MARK	OPPENHEIMER MAIN STREET SMALL CAP F	60.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: 08476189854	BUY 0 Units @0.0000						
10/02/2018	***-**-917I - BISHOP, JULIA	OPPENHEIMER STRATEGIC INCOME FD CL	150.00	4.80	0.00	4.80	90.0	4.32
	ACCOUNT #: 02309378545	BUY 0 Units @0.0000						
10/15/2018	***-**-917I - BISHOP, JULIA	OPPENHEIMER STRATEGIC INCOME FD CL	75.00	2.40	0.00	2.40	90.0	2.16
	ACCOUNT #: 02309378545	BUY 0 Units @0.0000						
10/09/2018	***-**-97CL - JESSOP, CLIENTS	TEMPLETON GROWTH FUND CLASS A	50.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 000001977123647	BUY 2 Units @28.3100						
10/17/2018	***-**-599I - SMITH, FBO JAMES	THE GROWTH FUND OF AMERICA-A	499.98	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR002879	SELL 9 Units @54.1100						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,277.98</b>	<b>\$32.15</b>	<b>\$0.00</b>	<b>\$32.15</b>		<b>\$28.94</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
10/22/2018	***-**-296I - HEIT, DONALD	BRIGHTHOUSE VA TRAILS BUY 1 Units @0.0000	0.00	390.78	0.00	390.78	90.0	351.70
10/22/2018	***-**-96IN - HEIT, DONALD	BRIGHTHOUSE VA TRAILS BUY 1 Units @0.0000	0.00	25.53	0.00	25.53	90.0	22.98
10/22/2018	***-**-79IT - CAPPOTELLI,	BRIGHTHOUSE VA TRAILS BUY 1 Units @0.0000	0.00	444.59	0.00	444.59	90.0	400.13
10/22/2018	***-**-06NQ - CAPPOTELLI, JOAN	BRIGHTHOUSE VA TRAILS BUY 1 Units @0.0000	0.00	310.77	0.00	310.77	90.0	279.69
10/22/2018	***-**-076I - GOMBORONE, CAROL	BRIGHTHOUSE VA TRAILS BUY 1 Units @0.0000	0.00	269.89	0.00	269.89	90.0	242.90
10/16/2018	***-**-232I - FOUCHIE, SUSAN ACCOUNT #: 1201711756	JACKSON NAT VA TRAILS 468511134 BUY 0 Units @0.0000	10,000.00	525.00	0.00	525.00	90.0	472.50
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$10,000.00</b>	<b>\$1,966.56</b>	<b>\$0.00</b>	<b>\$1,966.56</b>		<b>\$1,769.90</b>
<b>PRODUCT CATEGORY: RIA</b>								
10/23/2018	***-**-75FB - PRENDERGAST, ACCOUNT #: 3145494	NEW FRONTIER, MAI, PROFILE 2, MODER BUY 0 Units @1259.7117	125,971.17	292.10	0.00	292.10	90.0	262.89
10/23/2018	***-**-75FB - PRENDERGAST, ACCOUNT #: 3145496	SSGA, GLOBAL SECTOR ROTATION, PROFI BUY 0 Units @893.6868	89,368.68	168.55	0.00	168.55	90.0	151.70
10/23/2018	***-**-75FB - PRENDERGAST, ACCOUNT #: 3145497	SSGA, PROFILE 2, MODERATE CONSERVAT BUY 0 Units @911.0961	91,109.61	211.26	0.00	211.26	90.0	190.13
<b>* RIA SUBTOTAL *</b>			<b>\$306,449.46</b>	<b>\$671.91</b>	<b>\$0.00</b>	<b>\$671.91</b>		<b>\$604.72</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
10/15/2018	***-**-5910 - MCCANN, ACCOUNT #: 00085464503	AMERICAN BALANCED FUND-529A BUY 1 Units @28.4000	25.00	1.06	0.00	1.06	90.0	0.95
10/15/2018	***-**-5910 - MCCANN, ACCOUNT #: 00085464503	AMERICAN MUTUAL FUND-529A BUY 1 Units @43.3700	25.00	1.06	0.00	1.06	90.0	0.95
10/15/2018	***-**-5910 - MCCANN, ACCOUNT #: 00085464503	CAPITAL INCOME BUILDER-529A BUY 0 Units @61.5100	25.00	1.06	0.00	1.06	90.0	0.95
10/15/2018	***-**-5910 - MCCANN, ACCOUNT #: 00085464503	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @50.5600	25.00	1.06	0.00	1.06	90.0	0.95
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$4.24</b>	<b>\$0.00</b>	<b>\$4.24</b>		<b>\$3.80</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/01/2018	***-**-35IE - PFENDLER, ACCOUNT #: 03810882930	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
10/01/2018	***-**-07IR - JOHNSON, BARBARA ACCOUNT #: 03909300844	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	3.84	0.00	3.84	90.0	3.46
10/01/2018	***-**-526I - ROBERTS, ACCOUNT #: 03909300851	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	3.03	0.00	3.03	90.0	2.73
10/01/2018	***-**-545P - TILLOTSON, ACCOUNT #: 03910746852	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	3.79	0.00	3.79	90.0	3.41
10/01/2018	***-**-91IN - CARL ACCOUNT #: 03911354086	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	3.37	0.00	3.37	90.0	3.03
10/01/2018	***-**-8503 - BACHORSKI, ACCOUNT #: 05023114902	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	48.52	0.00	48.52	90.0	43.67
10/01/2018	***-**-896I - MOONEY, JOAN ACCOUNT #: 05027972388	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	4.87	0.00	4.87	90.0	4.38
10/01/2018	***-**-335I - TISDALE, SHANE ACCOUNT #: 05027972453	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	90.0	0.29
10/01/2018	***-**-045P - WHALEY UTMA/NY, ACCOUNT #: 05032729690	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.95	0.00	0.95	90.0	0.86
10/01/2018	***-**-91IR - MAHAR, DAVID ACCOUNT #: 05042108273	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	90.0	0.66
10/01/2018	***-**-513I - HENNIG, BRAD ACCOUNT #: 05046098835	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
10/01/2018	***-**-11IR - JESSOP, CYNTHIA ACCOUNT #: 05053660113	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	9.22	0.00	9.22	90.0	8.30
10/01/2018	***-**-96IN - BRENNAN, SANDRA ACCOUNT #: 00100656260	AIM ENERGY FUND INVESTOR CLASS BUY 0 Units @0.0000	0.00	2.77	0.00	2.77	90.0	2.49
10/01/2018	***-**-526I - ROBERTS, ACCOUNT #: 03909300851	AIM HIGH YIELD FUND - CLASS A BUY 0 Units @0.0000	0.00	1.32	0.00	1.32	90.0	1.19
10/01/2018	***-**-896I - MOONEY, JOAN ACCOUNT #: 05027972388	AIM HIGH YIELD FUND - CLASS A BUY 0 Units @0.0000	0.00	4.94	0.00	4.94	90.0	4.45
10/01/2018	***-**-231I - HACKEMER, JOHN ACCOUNT #: 05032524471	AIM HIGH YIELD FUND - CLASS A BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/01/2018	***-**-287I - ROBINSON, JOSEPH	AIM HIGH YIELD FUND - CLASS A	0.00	0.36	0.00	0.36	90.0	0.32
	ACCOUNT #: 05032524687	BUY 0 Units @0.0000						
10/12/2018	***-**-17IN - DAVIS, LORETTA	BOND A	0.00	4.21	0.00	4.21	90.0	3.79
	ACCOUNT #: 00004341411	BUY 0 Units @0.0000						
10/12/2018	***-**-99TR - SWEENEY, MARY	BOND A	0.00	7.43	0.00	7.43	90.0	6.69
	ACCOUNT #: 00004486512	BUY 0 Units @0.0000						
10/12/2018	***-**-460I - RADWICH, FRANCES	BOND A	0.00	2.41	0.00	2.41	90.0	2.17
	ACCOUNT #: 00001824282	BUY 0 Units @0.0000						
10/12/2018	***-**-526P - ROBERTS,	BOND A	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 00777240753	BUY 0 Units @0.0000						
10/22/2018	***-**-274P - COLELLA,	EATON VANCE MF TRAILS	0.00	2.14	0.00	2.14	90.0	1.93
		BUY 0 Units @0.0000						
10/12/2018	***-**-946I - FREEDMAN, AUDREY	GOVERNMENT INCOME A	0.00	3.24	0.00	3.24	90.0	2.92
	ACCOUNT #: 00003263931	BUY 0 Units @0.0000						
10/01/2018	***-**-245P - WELCH, ROBERT	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	6.69	0.00	6.69	90.0	6.02
	ACCOUNT #: 03810344857	BUY 0 Units @0.0000						
10/01/2018	***-**-96IR - PTAK, ROSETTE	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	2.49	0.00	2.49	90.0	2.24
	ACCOUNT #: 03810428627	BUY 0 Units @0.0000						
10/01/2018	***-**-47IE - POPE, MADELYN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 03810938963	BUY 0 Units @0.0000						
10/01/2018	***-**-45IR - KENNISON, WESTON	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	6.43	0.00	6.43	90.0	5.79
	ACCOUNT #: 03811179898	BUY 0 Units @0.0000						
10/01/2018	***-**-395P - LEMEN, TIMOTHY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	3.84	0.00	3.84	90.0	3.46
	ACCOUNT #: 03811312689	BUY 0 Units @0.0000						
10/01/2018	***-**-545P - TILLOTSON,	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	6.88	0.00	6.88	90.0	6.19
	ACCOUNT #: 03910746852	BUY 0 Units @0.0000						
10/01/2018	***-**-287I - ROBINSON, JOSEPH	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 05032524687	BUY 0 Units @0.0000						
10/01/2018	***-**-91IR - MAHAR, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.72	0.00	0.72	90.0	0.65
	ACCOUNT #: 05042108273	BUY 0 Units @0.0000						
10/01/2018	***-**-635P - MOUNTS, MICHAEL	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	2.12	0.00	2.12	90.0	1.91
	ACCOUNT #: 05053584511	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/01/2018	***-**-11IR - JESSOP, CYNTHIA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	13.90	0.00	13.90	90.0	12.51
	ACCOUNT #: 05053660113	BUY 0 Units @0.0000						
10/01/2018	***-**-305P - ENGLERT, ANDREW	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	6.87	0.00	6.87	90.0	6.18
	ACCOUNT #: 03811019102	BUY 0 Units @0.0000						
10/01/2018	***-**-45IR - KENNISON, WESTON	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	5.22	0.00	5.22	90.0	4.70
	ACCOUNT #: 03811179898	BUY 0 Units @0.0000						
10/12/2018	***-**-461J - MCBRIDE,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	1.69	0.00	1.69	90.0	1.52
	ACCOUNT #: 00004326487	BUY 0 Units @0.0000						
10/22/2018	***-**-205P - LANGEN, JOSEPH	SAMMONS MF TRAILS	0.00	3.95	0.00	3.95	90.0	3.56
	ACCOUNT #: 7200005342	BUY 0 Units @0.0000						
10/22/2018	***-**-205P - LANGEN, JOSEPH	SAMMONS MF TRAILS	0.00	7.14	0.00	7.14	90.0	6.43
	ACCOUNT #: 7200005343	BUY 0 Units @0.0000						
10/12/2018	***-**-491I - MAHAR, DAVID	STRATEGIC INCOME A	0.00	3.88	0.00	3.88	90.0	3.49
	ACCOUNT #: 00006482604	BUY 0 Units @0.0000						
10/12/2018	***-**-245P - MCBURNEY, PHILIP	STRATEGIC INCOME A	0.00	1.15	0.00	1.15	90.0	1.04
	ACCOUNT #: 00777302860	BUY 0 Units @0.0000						
10/12/2018	***-**-64IN - BRUNDAGE, BETTY	TAX-FREE BOND A	0.00	1.93	0.00	1.93	90.0	1.74
	ACCOUNT #: 00000474340	BUY 0 Units @0.0000						
10/12/2018	***-**-98IN - SCHEUERMAN, JOHN	TAX-FREE BOND A	0.00	1.38	0.00	1.38	90.0	1.24
	ACCOUNT #: 00004407212	BUY 0 Units @0.0000						
10/12/2018	***-**-647E - REDDING, PHYLLIS	TAX-FREE BOND A	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: 00777311163	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$185.59</b>	<b>\$0.00</b>	<b>\$185.59</b>		<b>\$167.08</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$318,827.44</b>	<b>\$2,860.45</b>	<b>\$0.00</b>	<b>\$2,860.45</b>		<b>\$2,574.44</b>



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<b>BROKER COMMISSION TOTALS</b>									
						Broker Transactions			\$2,574.44
						Split Transactions			\$0.00
						Override Transactions			\$0.00
						Adjustments			\$0.00
						Payroll Draw			\$0.00
						Base Salary			\$0.00
						Prior Period Balance			\$0.00
						FINRA Assessment			\$0.00
						SIPC Assessment			\$0.00
						Net Commission Earned			\$2,574.44
						Year-to-date Earnings			\$105,088.52