



# COMMISSION STATEMENT

## November 05, 2018

JOHN TRUMAN  
1932 W Chesterfield Blvd #110  
Springfield, MO 65807

BROKER #: B02  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/29/2018	***-**-242I - CLOSSER, JAMES	AMCAP FUND-A	25,000.01	937.50	18.00	919.50	75.0	689.63
	ACCOUNT #: LSR012362	BUY 769 Units @32.5200						
10/29/2018	***-**-242I - CLOSSER, JAMES	FRANKLIN RISING DIVIDENDS FD CLASS	15,000.02	750.00	18.00	732.00	75.0	549.00
	ACCOUNT #: LSR012362	BUY 236 Units @63.6700						
10/29/2018	***-**-242I - CLOSSER, JAMES	JPMORGAN EQUITY INCOME FUND CL A	20,000.00	950.00	18.00	932.00	75.0	699.00
	ACCOUNT #: LSR012362	BUY 1144 Units @17.4800						
10/29/2018	***-**-242I - CLOSSER, JAMES	LORD ABBETT FLOATING RATE FD CL A	10,000.00	200.00	18.00	182.00	75.0	136.50
	ACCOUNT #: LSR012362	BUY 1073 Units @9.3200						
10/29/2018	***-**-242I - CLOSSER, JAMES	PIMCO INV GRADE CORP	10,000.00	325.00	18.00	307.00	75.0	230.25
	ACCOUNT #: LSR012362	BUY 968 Units @10.3300						
10/29/2018	***-**-242I - CLOSSER, JAMES	WASHINGTON MUTUAL INVESTORS FUND-A	24,999.99	937.50	18.00	919.50	75.0	689.63
	ACCOUNT #: LSR012362	BUY 552 Units @45.3300						
* MUTUAL FUNDS SUBTOTAL *			\$105,000.02	\$4,100.00	\$108.00	\$3,992.00		\$2,994.01
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/01/2018	***-**-2550 - SMITH, JOYCE	FRANKLIN MISSOURI TAX FREE CLASS C	11,500.00	115.00	0.00	115.00	75.0	86.25
	ACCOUNT #: LSR012875	BUY 1011 Units @11.3800						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$11,500.00	\$115.00	\$0.00	\$115.00		\$86.25
*** BROKER TRANSACTIONS TOTAL ***			\$116,500.02	\$4,215.00	\$108.00	\$4,107.00		\$3,080.26



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$3,080.26
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$10.89
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,091.15
						Year-to-date Earnings		\$20,093.03