

Bill Payment Stub

| | |
|---------------|-----------|
| Check Date: | 4/25/2018 |
| Check No.: | ACH |
| Check Amount: | 462.70 |

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: JAMES E. FOREMAN
628 TUPPER DRIVE
GALLATIN, TN 37066

| Date | Type | Reference | Original Amt. | Balance | Discount | Payment |
|-----------|--------|-----------------|---------------|---------|----------|---------|
| 4/15/2018 | Bill | COMMISSIONS | 597.70 | 597.70 | | 597.70 |
| 4/5/2018 | Credit | FINRA ASSESMENT | -135.00 | -135.00 | | -135.00 |

COMMISSION STATEMENT

April 15, 2018

ERIC FOREMAN-D023

BROKER #: D023

BRANCH: DME

, TX

COMMISSION STATEMENT for ERIC FOREMAN-D023

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 04/02/2018 (None) | VARIOUS, FOREMAN | MF FIDELITY ADVISOR FDS | B | 0.00 | 5.00 | 0.00 | 5.00 | 70.0 | 3.50 |
| 03/26/2018 00068637021 | MCQUIDDY, LINDA | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 03/26/2018 00068637022 | MCQUIDDY, LESLIE | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 04/02/2018 00074185860 | JOHNSON, JESSICA | MF AMERICAN BALANCED FUND-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 70.0 | 1.49 |
| 04/02/2018 00074185860 | JOHNSON, JESSICA | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 70.0 | 1.49 |
| 03/26/2018 00074205491 | WALKER, SIDNEY | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 1.88 | 0.00 | 1.88 | 70.0 | 1.32 |
| 03/26/2018 00074205491 | WALKER, SIDNEY | MF THE INVESTMENT COMPANY OF | B | 50.00 | 1.88 | 0.00 | 1.88 | 70.0 | 1.32 |
| 03/28/2018 00074518547 | BUCHANAN, ERNEST | MF WASHINGTON MUTUAL INVESTORS | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 03/26/2018 00075947998 | ROUSE, MIRIAM | MF FUNDAMENTAL INVESTORS-A | B | 25.00 | 1.25 | 0.00 | 1.25 | 70.0 | 0.88 |
| 03/26/2018 00075947998 | ROUSE, MIRIAM | MF NEW WORLD FUND-A | B | 25.00 | 1.25 | 0.00 | 1.25 | 70.0 | 0.88 |
| 03/26/2018 00082530761 | SHAW, JOSHUA | MF NEW WORLD FUND-A | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 03/26/2018 00085343084 | JOHNSON, SKYE | MF AMERICAN MUTUAL FUND-529A | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 03/26/2018 00085343084 | JOHNSON, SKYE | MF THE GROWTH FUND OF AMERICA-529A | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 03/26/2018 00087031501 | HIBBLER, ALANA | MF CAPITAL WORLD GROWTH AND INCOME | B | 83.33 | 3.54 | 0.00 | 3.54 | 70.0 | 2.48 |
| 03/26/2018 00087031501 | HIBBLER, ALANA | MF NEW WORLD FUND-A | B | 83.33 | 3.54 | 0.00 | 3.54 | 70.0 | 2.48 |
| 03/26/2018 00087031501 | HIBBLER, ALANA | MF THE GROWTH FUND OF AMERICA-A | B | 83.33 | 3.54 | 0.00 | 3.54 | 70.0 | 2.48 |
| 03/26/2018 00087031501 | HIBBLER, ALANA | MF THE INCOME FUND OF AMERICA-A | B | 83.35 | 3.54 | 0.00 | 3.54 | 70.0 | 2.48 |
| 03/26/2018 00087031501 | HIBBLER, ALANA | MF THE INVESTMENT COMPANY OF | B | 83.33 | 3.54 | 0.00 | 3.54 | 70.0 | 2.48 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$966.67 | \$48.22 | \$0.00 | \$48.22 | | \$33.78 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|------------------------|------------------|-------------------------------------|---|------|-------|------|-------|------|-------|
| 04/06/2018 (None) | VARIOUS, FOREMAN | MFT FIDELITY ADVISOR FDS | B | 0.00 | 50.09 | 0.00 | 50.09 | 70.0 | 35.06 |
| 04/01/2018 00060505043 | CRATE, ERNESTINE | MFT MFS GLOBAL GROWTH FUND-A | B | 0.00 | 1.66 | 0.00 | 1.66 | 70.0 | 1.16 |
| 04/01/2018 00060506848 | CRATE, ERNESTINE | MFT MFS MID CAP GROWTH FUND-A | B | 0.00 | 1.05 | 0.00 | 1.05 | 70.0 | 0.74 |
| 04/01/2018 00060506956 | CRATE, ERNESTINE | MFT MFS MID CAP GROWTH FUND-A | B | 0.00 | 3.30 | 0.00 | 3.30 | 70.0 | 2.31 |
| 04/01/2018 00060507859 | CRATE, ERNESTINE | MFT MFS EMERGING GROWTH FUND CLASS | B | 0.00 | 1.21 | 0.00 | 1.21 | 70.0 | 0.85 |
| 04/01/2018 00060507868 | CRATE, ERNESTINE | MFT MFS EMERGING GROWTH FUND CLASS | B | 0.00 | 7.05 | 0.00 | 7.05 | 70.0 | 4.94 |
| 04/01/2018 00060508053 | CRATE, ERNESTINE | MFT MFS TECHNOLOGY FUND-A | B | 0.00 | 2.09 | 0.00 | 2.09 | 70.0 | 1.46 |
| 04/01/2018 00060508102 | CRATE, ERNESTINE | MFT MFS TECHNOLOGY FUND-A | B | 0.00 | 5.95 | 0.00 | 5.95 | 70.0 | 4.17 |
| 04/01/2018 00671919163 | MORRIS, MATTIE | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 25.67 | 0.00 | 25.67 | 70.0 | 17.97 |
| 04/01/2018 00671925648 | CRYSTAL R | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 0.80 | 0.00 | 0.80 | 70.0 | 0.56 |

COMMISSION STATEMENT for ERIC FOREMAN-D023

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 04/01/2018 00671925649 | PHILIP D WILLIAMS | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 30.93 | 0.00 | 30.93 | 70.0 | 21.65 |
| 04/01/2018 00671955622 | COLEMAN, MICHAEL | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 0.40 | 0.00 | 0.40 | 70.0 | 0.28 |
| 04/01/2018 00672272920 | TONYA D JOHNSON | MFT INVESCO GOVT MONEY MARKET FUND | B | 0.00 | 1.14 | 0.00 | 1.14 | 70.0 | 0.80 |
| 04/01/2018 01000058862 | WHITAKER, STEPHEN | MFT INVESCO VALUE OPPORTUNITIES | B | 0.00 | 6.56 | 0.00 | 6.56 | 70.0 | 4.59 |
| 04/01/2018 03911296204 | WHITAKER, STEPHEN | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 5.90 | 0.00 | 5.90 | 70.0 | 4.13 |
| 04/01/2018 03912716713 | WILLIAMS, PHILIP | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 45.42 | 0.00 | 45.42 | 70.0 | 31.79 |
| 04/01/2018 03912716721 | WILLIAMS, TRACY | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 39.16 | 0.00 | 39.16 | 70.0 | 27.41 |
| 04/01/2018 04040708101 | DALY, SHEA | MFT AIM CHARTER FUND - CLASS A | B | 0.00 | 3.96 | 0.00 | 3.96 | 70.0 | 2.77 |
| 04/01/2018 04040823181 | GRAHAM, CALVIN | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 0.27 | 0.00 | 0.27 | 70.0 | 0.19 |
| 04/01/2018 04040823181 | GRAHAM, CALVIN | MFT INVESCO VALUE OPPORTUNITIES | B | 0.00 | 0.19 | 0.00 | 0.19 | 70.0 | 0.13 |
| 04/01/2018 05002104213 | TURNER, TONEY | MFT INVESCO EQUITY AND INCOME FUND | B | 0.00 | 5.28 | 0.00 | 5.28 | 70.0 | 3.70 |
| 04/01/2018 05002104213 | TURNER, TONEY | MFT INVESCO VALUE OPPORTUNITIES | B | 0.00 | 7.77 | 0.00 | 7.77 | 70.0 | 5.44 |
| 04/01/2018 05025747998 | TURNER, TONEY | MFT AIM CHARTER FUND - CLASS A | B | 0.00 | 8.53 | 0.00 | 8.53 | 70.0 | 5.97 |
| 04/01/2018 05025747998 | TURNER, TONEY | MFT AIM INTERNATIONAL GROWTH FUND - | B | 0.00 | 3.27 | 0.00 | 3.27 | 70.0 | 2.29 |
| 04/01/2018 05025747998 | TURNER, TONEY | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 7.86 | 0.00 | 7.86 | 70.0 | 5.50 |
| 04/01/2018 05025747998 | TURNER, TONEY | MFT INVESCO VALUE OPPORTUNITIES | B | 0.00 | 6.88 | 0.00 | 6.88 | 70.0 | 4.82 |
| 04/01/2018 05054711600 | CALEB J GRAHAM | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 2.35 | 0.00 | 2.35 | 70.0 | 1.65 |
| 04/01/2018 05054711600 | CALEB J GRAHAM | MFT INVESCO VALUE OPPORTUNITIES | B | 0.00 | 4.92 | 0.00 | 4.92 | 70.0 | 3.44 |
| 04/01/2018 06900173968 | HIBBLER, EDDIE | MFT INVESCO MID CAP GROWTH FUND - | B | 0.00 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| 04/01/2018 06900177268 | HIBBLER, EDDIE | MFT INVESCO MID CAP GROWTH FUND - | B | 0.00 | 0.64 | 0.00 | 0.64 | 70.0 | 0.45 |
| 04/01/2018 06900189701 | MATTHEWS, | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 1.82 | 0.00 | 1.82 | 70.0 | 1.27 |
| 04/01/2018 06900191584 | MATTHEWS, | MFT AIM TECHNOLOGY FUND - CLASS A | B | 0.00 | 0.55 | 0.00 | 0.55 | 70.0 | 0.39 |
| 04/01/2018 06900201994 | CRATE, CHRISTINE | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 0.85 | 0.00 | 0.85 | 70.0 | 0.60 |
| 04/01/2018 06900209024 | CRATE, CHRISTINE | MFT INVESCO MID CAP GROWTH FUND - | B | 0.00 | 1.09 | 0.00 | 1.09 | 70.0 | 0.76 |
| 04/01/2018 06900212966 | CRATE, CHRISTINE | MFT AIM TECHNOLOGY FUND - CLASS A | B | 0.00 | 1.03 | 0.00 | 1.03 | 70.0 | 0.72 |
| 04/01/2018 06900215249 | CRATE, CHRISTINE | MFT AIM TECHNOLOGY FUND - CLASS A | B | 0.00 | 0.34 | 0.00 | 0.34 | 70.0 | 0.24 |
| 04/01/2018 06900216648 | CRATE, CHRISTINE | MFT INVESCO AMERICAN FRANCHISE FUND | B | 0.00 | 0.50 | 0.00 | 0.50 | 70.0 | 0.35 |
| 04/01/2018 06900217966 | CRATE, CHRISTINE | MFT INVESCO GOVT MONEY MARKET FUND | B | 0.00 | 0.16 | 0.00 | 0.16 | 70.0 | 0.11 |
| 04/01/2018 06900218177 | CRATE, CHRISTINE | MFT INVESCO MID CAP GROWTH FUND - | B | 0.00 | 0.54 | 0.00 | 0.54 | 70.0 | 0.38 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$287.39 | \$0.00 | \$287.39 | | \$201.19 |

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

| | | | | | | | | | |
|---|------------------|-------------------|---|----------|----------|--------|----------|------|----------|
| 03/31/2018 (None) | CLARK, HERSHEL | VAT HARTFORD LIFE | B | 0.00 | 6.16 | 0.00 | 6.16 | 70.0 | 4.31 |
| 03/31/2018 (None) | FOREMAN, GEORGIA | VAT HARTFORD LIFE | B | 0.00 | 5.11 | 0.00 | 5.11 | 70.0 | 3.58 |
| 03/31/2018 (None) | MANIS, GILES | VAT HARTFORD LIFE | B | 0.00 | 321.60 | 0.00 | 321.60 | 70.0 | 225.12 |
| 03/31/2018 (None) | JONES, GAYLA | VAT HARTFORD LIFE | B | 0.00 | 169.40 | 0.00 | 169.40 | 70.0 | 118.58 |
| 03/31/2018 (None) | JOHNSON, GEORGE | VAT HARTFORD LIFE | B | 0.00 | 15.92 | 0.00 | 15.92 | 70.0 | 11.14 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$518.19 | \$0.00 | \$518.19 | | \$362.73 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$966.67 | \$853.80 | \$0.00 | \$853.80 | | \$597.70 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$597.70 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|-------------------|
| Net Commissions | \$597.70 |
| Year-to-date Commissions | \$2,004.94 |