



COMMISSION STATEMENT

December 17, 2018

NEIL BAUMAN
700 Ridge Road
Suite 1
Webster, NY 14580

BROKER #: 985 Terminated 12/31/2017
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: TAMMY MASON</u>								
12/03/2018	***--259I - WINTERMUTE, ACCOUNT #: 00087282271	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	2.06	0.00	2.06	85.0	1.75
12/03/2018	***--259I - WINTERMUTE, ACCOUNT #: 00087282271	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.83	0.00	10.83	85.0	9.21
12/03/2018	***--259I - WINTERMUTE, ACCOUNT #: 00087282271	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	11.23	0.00	11.23	85.0	9.55
* TAMMY MASON SUBTOTAL *			\$0.00	\$24.12	\$0.00	\$24.12		\$20.51
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$24.12	\$0.00	\$24.12		\$20.51

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$20.51
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$95.15
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$115.66
Year-to-date Earnings	\$115.66