



COMMISSION STATEMENT

December 10, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/03/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.1600	75.00	3.19	0.00	3.19	70.0	2.23
12/03/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.1600	75.00	3.19	0.00	3.19	70.0	2.23
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.7900	29.53	0.89	0.00	0.89	70.0	0.62
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @19.7900	5.54	0.17	0.00	0.17	70.0	0.12
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @50.9700	132.92	5.65	0.00	5.65	70.0	3.96
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.9700	24.92	1.06	0.00	1.06	70.0	0.74
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 1 Units @52.2900	44.31	1.88	0.00	1.88	70.0	1.32
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @52.2900	8.31	0.35	0.00	0.35	70.0	0.25
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6900	59.08	2.51	0.00	2.51	70.0	1.76
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.6900	11.07	0.47	0.00	0.47	70.0	0.33
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.0300	29.54	1.26	0.00	1.26	70.0	0.88
12/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE INCOME FUND OF AMERICA-A BUY 0 Units @24.0300	5.54	0.24	0.00	0.24	70.0	0.17
* MUTUAL FUNDS SUBTOTAL *			\$500.76	\$20.86	\$0.00	\$20.86		\$14.61
<u>PRODUCT CATEGORY: RIA</u>								
12/11/2018	***-**-82FB - AGUIAR, DANA ACCOUNT #: 925573753	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.54	22.54	0.00	22.54	70.0	15.78
* RIA SUBTOTAL *			\$22.54	\$22.54	\$0.00	\$22.54		\$15.78



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	10,000.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011610	SELL 1041 Units @9.6100						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$10,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/30/2018	***-**-7131 - PHILLIPS, DAVID	MASS MUTUAL VA DTCC TRAILS	0.00	58.80	0.00	58.80	70.0	41.16
	ACCOUNT #: EVN46024214	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$58.80	\$0.00	\$58.80		\$41.16
		*** BROKER TRANSACTIONS TOTAL ***	\$10,523.30	\$102.20	\$0.00	\$102.20		\$71.55

BROKER COMMISSION TOTALS

Broker Transactions	\$71.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$71.55
Year-to-date Earnings	\$62,537.11