

**COMMISSION STATEMENT**
**September 30, 2018**

BRISTER TOM DPM

BROKER #: D111

BRANCH: DWA

, TX

**COMMISSION STATEMENT for BRISTER TOM DPM**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

09/14/2018 368441888	PHILLIPS, CHRISTINE	MF	RUSSELL LIFEPOINTS GROWTH	B	-31,997.55	0.00	5.00	-5.00	0.0	0.00
09/10/2018 461530764	LADNER, MARY	MF	ALLIANZGI GLOBAL ALLOCATION C	S	-23,454.17	0.00	5.00	-5.00	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>					<b>\$-55,451.72</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$-10.00</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: STOCKS**

08/31/2018 152901548	BRUMELLE TOD,	S	GENERAL ELECTRIC COMPANY	B	5,007.62	15.00	15.00	0.00	0.0	0.00
08/31/2018 152901548	BRUMELLE TOD,	S	INVESCO PREFERRED ETF	B	5,017.01	15.00	15.00	0.00	0.0	0.00
08/31/2018 152901548	BRUMELLE TOD,	S	VENTAS INCORPORATED	B	4,989.14	15.00	15.00	0.00	0.0	0.00
09/10/2018 152901548	BRUMELLE TOD,	S	COHEN & STEERS REIT AND	B	5,002.36	15.00	15.00	0.00	0.0	0.00
09/10/2018 152901548	BRUMELLE TOD,	S	COHEN & STEERS CLOSED-END	B	5,026.02	15.00	15.00	0.00	0.0	0.00
09/10/2018 152901548	BRUMELLE TOD,	S	LIBERTY ALL STAR EQUITY FUND SH	B	5,011.78	15.00	15.00	0.00	0.0	0.00
09/10/2018 152901548	BRUMELLE TOD,	S	TARGA RESOURCES CORP	B	4,960.57	15.00	15.00	0.00	0.0	0.00
09/14/2018 152901548	BRUMELLE TOD,	S	TANGER FACTORY OUTLET CENTER	B	6,008.59	15.00	15.00	0.00	0.0	0.00
09/24/2018 152901548	BRUMELLE TOD,	S	GENERAL ELECTRIC COMPANY	S	-4,491.36	15.00	15.00	0.00	0.0	0.00
09/26/2018 152901548	BRUMELLE TOD,	S	INVESCO PREFERRED ETF	S	-4,876.54	15.00	15.00	0.00	0.0	0.00
09/14/2018 154119554	BAKER, BEVERLY	S	TANGER FACTORY OUTLET CENTER	B	11,010.48	15.00	15.00	0.00	0.0	0.00
09/26/2018 154119554	BAKER, BEVERLY	S	INVESCO PREFERRED ETF	S	-9,814.35	15.00	15.00	0.00	0.0	0.00
09/25/2018 307663507	GOTHARD, CAROLYN	S	TANGER FACTORY OUTLET CENTER	B	3,001.79	15.00	15.00	0.00	0.0	0.00
09/14/2018 368259871	ROBBINS, L	S	TANGER FACTORY OUTLET CENTER	B	9,018.99	15.00	15.00	0.00	0.0	0.00
09/24/2018 368259871	ROBBINS, L	S	GENERAL ELECTRIC COMPANY	S	-2,324.54	15.00	15.00	0.00	0.0	0.00
09/14/2018 368260199	ROBBINS, L	S	TANGER FACTORY OUTLET CENTER	B	15,029.27	15.00	15.00	0.00	0.0	0.00
09/24/2018 368260199	ROBBINS, L	S	GENERAL ELECTRIC COMPANY	S	-15,159.55	15.00	15.00	0.00	0.0	0.00
09/14/2018 368261812	WURSTER, SUSANNE	S	TANGER FACTORY OUTLET CENTER	B	10,012.47	15.00	15.00	0.00	0.0	0.00
09/24/2018 368261812	WURSTER, SUSANNE	S	GENERAL ELECTRIC COMPANY	S	-16,673.66	15.00	15.00	0.00	0.0	0.00
09/26/2018 368261812	WURSTER, SUSANNE	S	INVESCO PREFERRED ETF	S	-19,645.74	15.00	15.00	0.00	0.0	0.00
09/14/2018 368290532	MARSHALL, RICHARD	S	TANGER FACTORY OUTLET CENTER	B	6,009.91	15.00	15.00	0.00	0.0	0.00
09/24/2018 368293615	MARTIN, RONALD	S	GENERAL ELECTRIC COMPANY	S	-14,023.45	15.00	15.00	0.00	0.0	0.00
09/25/2018 368293615	MARTIN, RONALD	S	TANGER FACTORY OUTLET CENTER	B	18,005.94	15.00	15.00	0.00	0.0	0.00
09/24/2018 368300440	MARTIN, RONALD	S	GENERAL ELECTRIC COMPANY	S	-1,643.94	15.00	15.00	0.00	0.0	0.00
09/14/2018 368314002	LEMMONS, THOMAS	S	TANGER FACTORY OUTLET CENTER	B	8,032.14	15.00	15.00	0.00	0.0	0.00
09/24/2018 368314002	LEMMONS, THOMAS	S	GENERAL ELECTRIC COMPANY	S	-6,279.76	15.00	15.00	0.00	0.0	0.00

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09/26/2018 368314002	LEMMONS, THOMAS	S INVESCO PREFERRED ETF	S	-8,826.08	15.00	15.00	0.00	0.0	0.00
08/31/2018 368330542	LADNER, HERSHEL	S GENERAL ELECTRIC COMPANY	B	8,007.94	15.00	15.00	0.00	0.0	0.00
09/14/2018 368330542	LADNER, HERSHEL	S TANGER FACTORY OUTLET CENTER	B	15,045.58	15.00	15.00	0.00	0.0	0.00
09/24/2018 368330542	LADNER, HERSHEL	S GENERAL ELECTRIC COMPANY	S	-7,225.38	15.00	15.00	0.00	0.0	0.00
09/26/2018 368330542	LADNER, HERSHEL	S INVESCO PREFERRED ETF	S	-9,828.59	15.00	15.00	0.00	0.0	0.00
09/26/2018 368372647	SORTWELL, FRED	S INVESCO PREFERRED ETF	S	-16,268.60	15.00	15.00	0.00	0.0	0.00
09/14/2018 368431614	STUBBS, JACQUELINE	S TANGER FACTORY OUTLET CENTER	B	8,016.74	15.00	15.00	0.00	0.0	0.00
09/25/2018 368435724	STAFF, RENEE	S TANGER FACTORY OUTLET CENTER	B	3,001.79	15.00	15.00	0.00	0.0	0.00
09/26/2018 368435724	STAFF, RENEE	S INVESCO PREFERRED ETF	S	-2,930.64	15.00	15.00	0.00	0.0	0.00
09/24/2018 368441888	PHILLIPS, CHRISTINE	S GENERAL ELECTRIC COMPANY	S	-5,665.91	15.00	15.00	0.00	0.0	0.00
09/25/2018 368441888	PHILLIPS, CHRISTINE	S TANGER FACTORY OUTLET CENTER	B	14,041.04	15.00	15.00	0.00	0.0	0.00
09/14/2018 368462158	PARSONS, JAMES	S TANGER FACTORY OUTLET CENTER	B	12,011.97	15.00	15.00	0.00	0.0	0.00
09/26/2018 368462158	PARSONS, JAMES	S INVESCO PREFERRED ETF	S	-12,318.82	15.00	15.00	0.00	0.0	0.00
09/14/2018 368462732	GOTHARD, CAROLYN	S TANGER FACTORY OUTLET CENTER	B	20,019.10	15.00	15.00	0.00	0.0	0.00
09/24/2018 368462732	GOTHARD, CAROLYN	S GENERAL ELECTRIC COMPANY	S	-17,980.93	15.00	15.00	0.00	0.0	0.00
09/26/2018 368464494	STUBBS, DAVID	S INVESCO PREFERRED ETF	S	-8,811.77	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S BROOKFIELD INFRASTRUCTURE	B	2,804.20	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S BROOKFIELD RENEWABLE ENRGY P	B	2,800.16	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S COHEN & STEERS REIT AND	B	2,803.66	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S INVESCO PREFERRED ETF	B	2,817.69	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S LIBERTY ALL STAR EQUITY FUND SH	B	2,819.27	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S TARGA RESOURCES CORP	B	2,781.45	15.00	15.00	0.00	0.0	0.00
09/13/2018 461530764	LADNER, MARY	S VENTAS INCORPORATED	B	2,785.23	15.00	15.00	0.00	0.0	0.00
09/14/2018 461530764	LADNER, MARY	S TANGER FACTORY OUTLET CENTER	B	2,499.27	15.00	15.00	0.00	0.0	0.00
09/26/2018 461530764	LADNER, MARY	S INVESCO PREFERRED ETF	S	-2,744.59	15.00	15.00	0.00	0.0	0.00
09/14/2018 492387904	MATTHEWS, R ELLEN	S TANGER FACTORY OUTLET CENTER	B	7,531.52	15.00	15.00	0.00	0.0	0.00
09/24/2018 492387904	MATTHEWS, R ELLEN	S GENERAL ELECTRIC COMPANY	S	-5,665.08	15.00	15.00	0.00	0.0	0.00
09/24/2018 584415418	PHILLIPS, TRAVIS	S GENERAL ELECTRIC COMPANY	S	-1,304.74	15.00	15.00	0.00	0.0	0.00
09/24/2018 646121468	WURSTER, SUSANNE	S GENERAL ELECTRIC COMPANY	S	-1,878.74	15.00	15.00	0.00	0.0	0.00
09/24/2018 646271794	PARSONS, JAMES	S GENERAL ELECTRIC COMPANY	S	-2,857.02	15.00	15.00	0.00	0.0	0.00
09/26/2018 646271794	PARSONS, JAMES	S INVESCO PREFERRED ETF	S	-1,943.15	15.00	15.00	0.00	0.0	0.00
09/14/2018 677627409	CAREY, LESLEY	S TANGER FACTORY OUTLET CENTER	B	10,009.05	15.00	15.00	0.00	0.0	0.00
09/14/2018 799809455	WILFORD, NED	S TANGER FACTORY OUTLET CENTER	B	22,026.37	15.00	15.00	0.00	0.0	0.00
09/24/2018 799809455	WILFORD, NED	S GENERAL ELECTRIC COMPANY	S	-20,877.98	15.00	15.00	0.00	0.0	0.00
09/24/2018 799810243	WILFORD (TOD), DAN	S GENERAL ELECTRIC COMPANY	S	-11,330.50	15.00	15.00	0.00	0.0	0.00
09/25/2018 799810243	WILFORD (TOD), DAN	S TANGER FACTORY OUTLET CENTER	B	15,030.42	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$44,605.12	\$930.00	\$930.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	1.65	0.00	1.65	0.0	0.00
09/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZ RCM TECHNOLOGY FUND	B	0.00	2.74	0.00	2.74	0.0	0.00
09/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.04	0.00	1.04	0.0	0.00
09/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	15.10	0.00	15.10	0.0	0.00
09/21/2018 mfc539565	DPM BRSITER TOM	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	2.67	0.00	2.67	0.0	0.00

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09/21/2018	mfc539565 DPM BRSITER TOM	MFT DREYFUS RESEARCH GROWTH FD A	B	0.00	0.24	0.00	0.24	0.0	0.00
09/21/2018	mfc539565 DPM BRSITER TOM	MFT MARSICO FOCUS FD	B	0.00	0.76	0.00	0.76	0.0	0.00
09/21/2018	mfc539565 DPM BRSITER TOM	MFT OPPENHEIMER CAPITAL INCOME FD	B	0.00	1.75	0.00	1.75	0.0	0.00
09/21/2018	mfc539565 DPM BRSITER TOM	MFT PIM 018919704	B	0.00	3.89	0.00	3.89	0.0	0.00
09/21/2018	mfc539565 DPM BRSITER TOM	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	28.62	0.00	28.62	0.0	0.00
09/21/2018	mfc539565 DPM BRSITER TOM	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.33	0.00	5.33	0.0	0.00
09/21/2018	mfc539565 DPM BRSITER TOM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	17.32	0.00	17.32	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	1.57	0.00	1.57	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT ALLIANZ RCM TECHNOLOGY FUND	B	0.00	2.65	0.00	2.65	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.98	0.00	0.98	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	2.83	0.00	2.83	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.50	0.00	2.50	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT DREYFUS RESEARCH GROWTH FD A	B	0.00	0.23	0.00	0.23	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT MARSICO FOCUS FD	B	0.00	0.72	0.00	0.72	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT OPPENHEIMER CAPITAL INCOME FD	B	0.00	1.65	0.00	1.65	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT PIM 018919704	B	0.00	3.74	0.00	3.74	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	26.85	0.00	26.85	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.02	0.00	5.02	0.0	0.00
09/24/2018	mfc539565 DPM BRSITER TOM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	16.42	0.00	16.42	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$146.27	\$0.00	\$146.27		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-10,846.60	\$1,076.27	\$940.00	\$136.27		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00