

# COMMISSION STATEMENT November 15, 2018

**JIM HUGHES-D349**  
 150 West Gibson  
 Suite 100  
 Jasper, TX 75951

**BROKER #: D349**  
 BRANCH: DMV

## COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
10/30/2018 (None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	39.18	0.00	39.18	80.0	31.34
11/01/2018 000000001642697	RODGEKATH	MF FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	80.0	0.80
11/01/2018 000000007053662	DUFREMAXA	MF CUSIP 645769696	B	100.00	5.00	0.00	5.00	80.0	4.00
11/01/2018 000000012760498	POLLOSTEP	MF FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	80.0	3.66
11/05/2018 000000012879742	HUFFMMICHR	MF FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	80.0	1.60
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$858.33</b>	<b>\$51.76</b>	<b>\$0.00</b>	<b>\$51.76</b>		<b>\$41.40</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
10/17/2018 (None)	LOVELADY, JERRY	VA TALCOTT RESOLUTION	B	604.72	42.33	0.00	42.33	80.0	33.86
10/17/2018 (None)	ESTER, NORMAN	VA TALCOTT RESOLUTION	B	236.22	16.54	0.00	16.54	80.0	13.23
10/29/2018 1069511004	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	100.00	5.00	0.00	5.00	80.0	4.00
10/30/2018 1069511004	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	124,902.45	10.41	0.00	10.41	80.0	8.33
10/29/2018 1301070095	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	1,010.20	50.51	0.00	50.51	80.0	40.41
10/30/2018 1301070095	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	771,721.94	64.31	0.00	64.31	80.0	51.45
10/30/2018 1301070214	FISHBACK, CECILE	VA SECURITY BENEFIT LIFE #39	B	8,399.47	0.70	0.00	0.70	80.0	0.56
10/30/2018 1301103647	ELWELL, JOSEPH	VA SECURITY BENEFIT LIFE #39	B	348,891.24	29.07	0.00	29.07	80.0	23.26
11/01/2018 1301103647	ELWELL, JOSEPH	VA SECURITY BENEFIT LIFE #39	B	573.42	28.67	0.00	28.67	80.0	22.94
10/30/2018 1301115674	BALDWIN, PATRICIA	VA SECURITY BENEFIT LIFE #39	B	6,325.35	0.53	0.00	0.53	80.0	0.42
10/30/2018 1301204788	TAYLOR, JEWELL	VA SECURITY BENEFIT LIFE #39	B	12,610.06	1.05	0.00	1.05	80.0	0.84
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$1,275,375.07</b>	<b>\$249.12</b>	<b>\$0.00</b>	<b>\$249.12</b>		<b>\$199.30</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/31/2018 000000000055083	MEDLEY, DONNA	MFT FRANKLIN REAL RETURN	B	0.00	29.94	0.00	29.94	80.0	23.95
10/31/2018 000000000380511	CANNON, PEGGY	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	22.70	0.00	22.70	80.0	18.16
10/31/2018 000000000969434	CHESNUT,	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	24.98	0.00	24.98	80.0	19.98
10/31/2018 000000001013109	RODGERS,	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.44	0.00	1.44	80.0	1.15
10/31/2018 000000001234069	MONTELONGO,	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	5.56	0.00	5.56	80.0	4.45
10/31/2018 000000001294899	LATLOLAIS,	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	7.95	0.00	7.95	80.0	6.36
10/31/2018 000000001516006	MARSHALL,	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	2.48	0.00	2.48	80.0	1.98
10/31/2018 000000001642697	RODGEKATH	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	4.75	0.00	4.75	80.0	3.80
10/31/2018 000000001774708	CANNON, PEGGY	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	0.75	0.00	0.75	80.0	0.60
10/31/2018 000000002367136	JONES, JESS	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	82.21	0.00	82.21	80.0	65.77

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/31/2018	000000002367163	JONES, JOHN MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	113.30	0.00	113.30	80.0	90.64
10/31/2018	000000002482723	KELLIE R MFT MUTUAL SHRS FND - CL C	B	0.00	9.55	0.00	9.55	80.0	7.64
10/31/2018	000000002520106	RHAME, NITA MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	16.47	0.00	16.47	80.0	13.18
10/31/2018	000000002543534	PUGH, C/O MFT MUTUAL SHRS FND - CL C	B	0.00	9.99	0.00	9.99	80.0	7.99
10/31/2018	000000003017492	CHESNUT, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	12.99	0.00	12.99	80.0	10.39
10/31/2018	000000003162132	GREER, DYLAN MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	19.36	0.00	19.36	80.0	15.49
10/31/2018	000000003288192	LANDRUM, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	18.64	0.00	18.64	80.0	14.91
10/31/2018	000000003912312	ADAMS, MICH MFT MUTUAL SHRS FND - CL C	B	0.00	4.91	0.00	4.91	80.0	3.93
10/31/2018	000000004070696	MEDLEY, DONNA MFT MUTUAL DISC FND - CL C	B	0.00	59.24	0.00	59.24	80.0	47.39
10/31/2018	000000004300909	VARGAS, AMY MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.16	0.00	0.16	80.0	0.13
10/31/2018	000000005413380	FULLERTON, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.01	0.00	0.01	80.0	0.01
10/31/2018	000000006142470	KARR, EVAN MFT FRK GTH ALC 13-16 YR 529 PORT	B	0.00	4.81	0.00	4.81	80.0	3.85
10/31/2018	000000006142470	KARR, EVAN MFT FRK GTH ALC 17+ YR 529 PORT CL	B	0.00	6.19	0.00	6.19	80.0	4.95
10/31/2018	000000006142470	KARR, EVAN MFT FRK GTH ALC 13-16 YR 529 PORT	B	0.00	79.38	0.00	79.38	80.0	63.50
10/31/2018	000000006142470	KARR, EVAN MFT FRK GTH ALC 17+ YR 529 PORT CL	B	0.00	92.08	0.00	92.08	80.0	73.66
10/31/2018	000000006142471	KARR, EVAN MFT FRK GTH ALC 17+ YR 529 PORT CL	B	0.00	9.65	0.00	9.65	80.0	7.72
10/31/2018	000000006142471	KARR, EVAN MFT FRK GTH ALC 17+ YR 529 PORT CL	B	0.00	140.07	0.00	140.07	80.0	112.06
10/31/2018	000000006273852	RHAME, NITA MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.65	0.00	0.65	80.0	0.52
10/31/2018	000000006296976	MONTELONGO, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.22	0.00	0.22	80.0	0.18
10/31/2018	000000006308605	JONES, JESS MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.48	0.00	0.48	80.0	0.38
10/31/2018	000000006308617	JONES, JOHN MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.50	0.00	0.50	80.0	0.40
10/31/2018	000000006335463	MARSHALL, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.10	0.00	0.10	80.0	0.08
10/31/2018	000000006714642	SHEPHERD, MFT FRANKLIN INCOME 529 PORTFOLIO -	B	0.00	4.96	0.00	4.96	80.0	3.97
10/31/2018	000000007053662	DUFREMAXA MFT CUSIP 645769696	B	0.00	3.81	0.00	3.81	80.0	3.05
10/31/2018	000000007131360	PUGH, C/O MFT MUTUAL SERIES SHARES CLASS A	B	0.00	10.02	0.00	10.02	80.0	8.02
10/31/2018	000000007543073	MIJARCARLB MFT FRK FDG FDS 529 PORT CL A	B	0.00	2.94	0.00	2.94	80.0	2.35
10/31/2018	000000007863196	KELLIE R MFT MUTUAL SERIES SHARES CLASS A	B	0.00	0.37	0.00	0.37	80.0	0.30
10/31/2018	000000008513006	CANNON, PEGGY MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.84	0.00	11.84	80.0	9.47
10/31/2018	000000012145746	HOPKINS, MFT FRANKLIN INCOME FUND CLASS C	B	0.00	3.63	0.00	3.63	80.0	2.90
10/31/2018	000000012166435	FRANKUM, MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.47	0.00	4.47	80.0	3.58
10/31/2018	000000012469589	PERDUE, HAL MFT FRANKLIN INCOME FUND CLASS C	B	0.00	2.14	0.00	2.14	80.0	1.71
10/31/2018	000000012755652	CHESNUT, MFT FRANKLIN INCOME FUND CLASS C	B	0.00	18.89	0.00	18.89	80.0	15.11
10/31/2018	000000012760498	POLLOSTEP MFT FRANKLIN INCOME FUND CLASS C	B	0.00	12.24	0.00	12.24	80.0	9.79
10/31/2018	000000012855704	URIBE, JAMES MFT FRANKLIN INCOME FUND CLASS C	B	0.00	41.49	0.00	41.49	80.0	33.19
10/31/2018	000000012858318	URIBE, KRISTI MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.21	0.00	4.21	80.0	3.37
10/31/2018	000000012865984	MOONEY, DEENA MFT FRANKLIN INCOME FUND CLASS C	B	0.00	17.91	0.00	17.91	80.0	14.33
10/31/2018	000000012879742	HUFFMMICHR MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.06	0.00	0.06	80.0	0.05
10/31/2018	000060176494066	TAYLOR, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	3.64	0.00	3.64	80.0	2.91
10/31/2018	000060177674019	HUGHES, JAMES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	1.10	0.00	1.10	80.0	0.88
10/31/2018	000090237752539	BEAN, LYDIA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.86	0.00	1.86	80.0	1.49
10/31/2018	000090239464667	SMITH, JOSHUA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	57.46	0.00	57.46	80.0	45.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$984.55	\$0.00	\$984.55		\$787.64

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<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>									
11/09/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	28.46	0.00	28.46	80.0	22.77
11/08/2018	VM16000353 THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	23.42	0.00	23.42	80.0	18.74
11/08/2018	VM16000357 WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	18.14	0.00	18.14	80.0	14.51
11/08/2018	VM16000361 GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	53.91	0.00	53.91	80.0	43.13
11/08/2018	VM16000365 HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	4.56	0.00	4.56	80.0	3.65
11/08/2018	VM16000385 CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	9.83	0.00	9.83	80.0	7.86
11/08/2018	VM16000389 SPURLOCK, CHARLES	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.50	0.00	3.50	80.0	2.80
11/08/2018	VM16000393 DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	16.10	0.00	16.10	80.0	12.88
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$157.92</b>	<b>\$0.00</b>	<b>\$157.92</b>		<b>\$126.34</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$1,276,233.40</b>	<b>\$1,443.35</b>	<b>\$0.00</b>	<b>\$1,443.35</b>		<b>\$1,154.68</b>

LINKED DIRECT TRANSACTIONSBROKER: JIM HUGHES-D355 #152

10/31/2018	000000000950016	STRINGFELLOW, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	31.03	0.00	31.03		24.82
10/31/2018	0000000002863381	KARR, LARRY MFT MUTUAL SHRS FND - CL C	B	0.00	22.38	0.00	22.38		17.90
10/31/2018	0000000003183249	KARR, LARRY MFT TEMP GRWTH FND - CL C	B	0.00	17.63	0.00	17.63		14.10
10/31/2018	0000000005468766	KARR, LARRY MFT FRANKLIN INCOME FUND CLASS C	B	0.00	15.07	0.00	15.07		12.06
10/31/2018	0000000006172934	BURNE, CLAUL MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.02	0.00	0.02		0.02
10/31/2018	000011627691699	PAUL HUGHES MFT FRANKLIN FEDERAL TAX FREE	B	0.00	31.60	0.00	31.60		25.28
10/31/2018	000013015792697	PAUL HUGHES MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	54.37	0.00	54.37		43.50
10/31/2018	000050118341539	FRANCES MFT FRK AGE HIGH INC FND - CL A	B	0.00	17.33	0.00	17.33		13.86
10/31/2018	000050118341770	BISHOP, MFT FRK AGE HIGH INC FND - CL A	B	0.00	11.20	0.00	11.20		8.96
10/31/2018	000050118341818	PEGGY CANNON MFT FRK AGE HIGH INC FND - CL A	B	0.00	32.00	0.00	32.00		25.60
10/31/2018	000050118341820	PAUL HUGHES MFT FRK AGE HIGH INC FND - CL A	B	0.00	28.08	0.00	28.08		22.46
10/31/2018	000050118342012	POPHAM, MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.00	0.00	4.00		3.20
10/31/2018	000050118342024	RAYMOND, MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.69	0.00	4.69		3.75
10/31/2018	000050118342048	STIVENDER, MFT FRK AGE HIGH INC FND - CL A	B	0.00	5.83	0.00	5.83		4.66
10/31/2018	000050118342149	BRADEN, MFT FRK AGE HIGH INC FND - CL A	B	0.00	27.61	0.00	27.61		22.09
10/31/2018	000050118343800	MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.79	0.00	3.79		3.03
10/31/2018	000050118343990	LANDA KARR MFT FRK AGE HIGH INC FND - CL A	B	0.00	30.92	0.00	30.92		24.74
10/31/2018	000050118346009	FLOY L OWENS, MFT FRK AGE HIGH INC FND - CL A	B	0.00	6.79	0.00	6.79		5.43
10/31/2018	000050118353116	CYNTHIA MFT FRK AGE HIGH INC FND - CL A	B	0.00	23.44	0.00	23.44		18.75
10/31/2018	000060177850102	MIJARCARLB MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	0.73	0.00	0.73		0.58
10/31/2018	000060177871702	BAILEY, JAN MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	82.67	0.00	82.67		66.14
10/31/2018	000060177872161	JAN BAILEY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	98.27	0.00	98.27		78.62
10/31/2018	000060177875384	FRANCES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	39.73	0.00	39.73		31.78
10/31/2018	000060177876069	BISHOP, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	40.55	0.00	40.55		32.44
10/31/2018	000060177876083	PEGGY CANNON MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	69.06	0.00	69.06		55.25
10/31/2018	000060177876095	PAUL HUGHES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	74.29	0.00	74.29		59.43
10/31/2018	000060177876107	PAUL HUGHES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	113.06	0.00	113.06		90.45
10/31/2018	000060177876475	BRAWLEY, JOY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.83	0.00	18.83		15.06

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10/31/2018 000060177876501	CANNON, PEGGY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.84	0.00	4.84		3.87
10/31/2018 000060177876513	DOVER,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	8.62	0.00	8.62		6.90
10/31/2018 000060177876537	FANNING,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	16.51	0.00	16.51		13.21
10/31/2018 000060177876564	HOPKINS,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	13.19	0.00	13.19		10.55
10/31/2018 000060177876588	HUGHES, JAMES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	9.74	0.00	9.74		7.79
10/31/2018 000060177876602	POPHAM,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	15.91	0.00	15.91		12.73
10/31/2018 000060177876614	RAYMOND,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.77	0.00	18.77		15.02
10/31/2018 000060177876626	HUGHES, JAMES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	28.40	0.00	28.40		22.72
10/31/2018 000060177876640	STIVENDER,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	25.00	0.00	25.00		20.00
10/31/2018 000060177876665	STIVENDER,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.89	0.00	24.89		19.91
10/31/2018 000060177876956	BAILEY, JAN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	30.79	0.00	30.79		24.63
10/31/2018 000060177876968	BISHOP,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	12.13	0.00	12.13		9.70
10/31/2018 000060177877109	BISHOP,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.80	0.00	24.80		19.84
10/31/2018 000060177877135	BOULET,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	61.63	0.00	61.63		49.30
10/31/2018 000060177877174	BRADEN,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	69.09	0.00	69.09		55.27
10/31/2018 000060177877198	HUGHES, RITA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	22.60	0.00	22.60		18.08
10/31/2018 000060177877200	KELLY, MARK	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	26.60	0.00	26.60		21.28
10/31/2018 000060177877301	MEDLEY, DONNA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	29.35	0.00	29.35		23.48
10/31/2018 000060177877592	POLLOCK, JOHN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.84	0.00	6.84		5.47
10/31/2018 000060177879685	SEXTON, BRIAN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	16.76	0.00	16.76		13.41
10/31/2018 000060177882337		MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	3.31	0.00	3.31		2.65
10/31/2018 000060177883075	KARR, LANDA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.61	0.00	10.61		8.49
10/31/2018 000060177883099	LANDA KARR	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	31.62	0.00	31.62		25.30
10/31/2018 000060177883669	PAULA K	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.32	0.00	5.32		4.26
10/31/2018 000060177883683	HUGHES, PAULA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	38.78	0.00	38.78		31.02
10/31/2018 000060177884026	HOUGH, DAVID	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	31.71	0.00	31.71		25.37
10/31/2018 000060177885637	FOMBY, GARY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	17.78	0.00	17.78		14.22
10/31/2018 000060177885652	FOMBY, GARY &	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	17.86	0.00	17.86		14.29
10/31/2018 000060177886490	SHARON COX,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.06	0.00	18.06		14.45
10/31/2018 000060177889407	FLOY L OWENS,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	8.77	0.00	8.77		7.02
10/31/2018 000060177892136	SHEPHERD,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.06	0.00	10.06		8.05
10/31/2018 000060177919147	CYNTHIA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	38.74	0.00	38.74		30.99
10/31/2018 000060177944253	BAILEY, SCOTT	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	7.00	0.00	7.00		5.60
10/31/2018 000060177944277	BOULWARE, AMY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.77	0.00	4.77		3.82
10/31/2018 000060177984651	KOTZ, JARRED	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	14.83	0.00	14.83		11.86
10/31/2018 000060178020851	ACOSTA,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	1.89	0.00	1.89		1.51
10/31/2018 000060178020863	KARR, LARRY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.97	0.00	5.97		4.78
10/31/2018 000060178020875	SANDERS,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	0.52	0.00	0.52		0.42
10/31/2018 000060178020887	STRINGFELLOW,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	2.93	0.00	2.93		2.34
10/31/2018 000080193319957	BAILEY, JAN	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	64.10	0.00	64.10		51.28
10/31/2018 000080193320151	JAN BAILEY	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	94.66	0.00	94.66		75.73
10/31/2018 000080193323284	BISHOP,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	23.88	0.00	23.88		19.10
10/31/2018 000080193323334	BRADEN,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	38.85	0.00	38.85		31.08
10/31/2018 000080193328107	HOUGH, DAVID	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	29.36	0.00	29.36		23.49

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/31/2018 000080193329174	FOMBY, GARY	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	18.14	0.00	18.14		14.51
10/31/2018 000080193376526	BISHOP,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	38.10	0.00	38.10		30.48
10/31/2018 000080193376603	HUGHES, JAMES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.10	0.00	9.10		7.28
10/31/2018 000080193376639	LANDA KARR	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	29.65	0.00	29.65		23.72
10/31/2018 000080193376641	MEDLEY, DONNA	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	31.79	0.00	31.79		25.43
10/31/2018 000080193380536	PEGGY CANNON	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	59.28	0.00	59.28		47.42
10/31/2018 000080193380548	FOMBY, GARY &	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	15.93	0.00	15.93		12.74
10/31/2018 000080193380551	PAUL HUGHES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	104.79	0.00	104.79		83.83
10/31/2018 000080193380563	PAUL HUGHES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	35.04	0.00	35.04		28.03
10/31/2018 000080193380587	HUGHES, JAMES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.69	0.00	8.69		6.95
10/31/2018 000080193380599	HUGHES, PAULA	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	25.01	0.00	25.01		20.01
10/31/2018 000080193409135	BURNE, CLAUL	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	13.06	0.00	13.06		10.45
10/31/2018 000080193414921	KOTZ, JARRED	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	14.06	0.00	14.06		11.25
10/31/2018 000080193414933	POPHAM, JAMES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	3.63	0.00	3.63		2.90
10/31/2018 000080193414945	POPHAM,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	3.63	0.00	3.63		2.90
10/31/2018 000080193454545	ACOSTA,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	1.59	0.00	1.59		1.27
10/31/2018 000080193454558	CYNTHIA	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.82	0.00	8.82		7.06
10/31/2018 000080193454560	KARR, LARRY	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	5.01	0.00	5.01		4.01
10/31/2018 000080193454596	STRINGFELLOW,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.46	0.00	2.46		1.97
10/31/2018 000080193454646	STIVENDER,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.94	0.00	2.94		2.35
10/31/2018 000090237684460	ADAMSJOSCN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.55	0.00	4.55		3.64
10/31/2018 000090239555837	JAN BAILEY	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	61.75	0.00	61.75		49.40
10/31/2018 000090239563872	BISHOP,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	32.92	0.00	32.92		26.34
10/31/2018 000090239563985	PEGGY CANNON	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	43.31	0.00	43.31		34.65
10/31/2018 000090239563997	PAUL HUGHES	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	84.36	0.00	84.36		67.49
10/31/2018 000090239564710	CANNON, PEGGY	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.22	0.00	5.22		4.18
10/31/2018 000090239564734	FANNING,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	11.25	0.00	11.25		9.00
10/31/2018 000090239564773	HOPKINS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.20	0.00	5.20		4.16
10/31/2018 000090239564809	HUGHES, JAMES	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.68	0.00	8.68		6.94
10/31/2018 000090239564823	POPHAM,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.93	0.00	5.93		4.74
10/31/2018 000090239564847	RAYMOND,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.08	0.00	4.08		3.26
10/31/2018 000090239564850	HUGHES, JAMES	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	11.03	0.00	11.03		8.82
10/31/2018 000090239564900	STIVENDER,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	14.71	0.00	14.71		11.77
10/31/2018 000090239564948	STIVENDER,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.97	0.00	5.97		4.78
10/31/2018 000090239565624	BISHOP,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.30	0.00	6.30		5.04
10/31/2018 000090239565776	BISHOP,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.38	0.00	17.38		13.90
10/31/2018 000090239565814	BOULET,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	29.33	0.00	29.33		23.46
10/31/2018 000090239565903	BRADEN,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	41.74	0.00	41.74		33.39
10/31/2018 000090239565992	HUGHES, RITA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	9.20	0.00	9.20		7.36
10/31/2018 000090239566018	KELLY, MARK	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	10.15	0.00	10.15		8.12
10/31/2018 000090239566119	MEDLEY, DONNA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	21.95	0.00	21.95		17.56
10/31/2018 000090239566715	POLLOCK, JOHN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.83	0.00	3.83		3.06
10/31/2018 000090239567349	BAILEY, JAN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	46.68	0.00	46.68		37.34
10/31/2018 000090239570648	SEXTON, BRIAN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.51	0.00	7.51		6.01

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/31/2018 000090239577011		MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.85	0.00	2.85		2.28
10/31/2018 000090239578722	KARR, LANDA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.39	0.00	4.39		3.51
10/31/2018 000090239578761	LANDA KARR	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	31.70	0.00	31.70		25.36
10/31/2018 000090239580221	PAULA K	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.98	0.00	1.98		1.58
10/31/2018 000090239580245	HUGHES, PAULA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	23.93	0.00	23.93		19.14
10/31/2018 000090239584508	FOMBY, GARY &	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.58	0.00	7.58		6.06
10/31/2018 000090239586390	SHARON COX,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.45	0.00	8.45		6.76
10/31/2018 000090239592101	FLOY L OWENS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.14	0.00	7.14		5.71
10/31/2018 000090239653380	CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	38.68	0.00	38.68		30.94
* JIM HUGHES-D355 SUBTOTAL *				\$0.00	\$2,943.26	\$0.00	\$2,943.26		\$2,354.55
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$2,943.26	\$0.00	\$2,943.26		\$2,354.55

BROKER COMMISSION TOTALS

Broker Transactions	\$3,509.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-37.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,471.34
Year-to-date Commissions	\$37,703.15