



COMMISSION STATEMENT

October 15, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/28/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	3.00	0.00	3.00	95.0	2.85
	ACCOUNT #: 00701328528	BUY 5 Units @18.2300						
10/15/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF	25.00	1.25	0.00	1.25	95.0	1.19
		BUY 0 Units @0.0000						
10/15/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF	50.00	2.50	0.00	2.50	95.0	2.38
		BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$175.00	\$6.75	\$0.00	\$6.75		\$6.42
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/02/2018	***-**-926I - MEDLEY, MARY	CALVERT SMALL CAP VALUE FUND	0.00	10.57	0.00	10.57	95.0	10.04
	ACCOUNT #: 00006835005	BUY 0 Units @0.0000						
10/05/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	1.17	0.00	1.17	95.0	1.11
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
10/05/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.74	0.00	1.74	95.0	1.65
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
10/05/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.21	0.00	1.21	95.0	1.15
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
10/01/2018	***-**-59IN - JOHNSON, WILLIAM	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.38	0.00	4.38	95.0	4.16
	ACCOUNT #: 00020405739	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$19.07	\$0.00	\$19.07		\$18.11
*** BROKER TRANSACTIONS TOTAL ***			\$175.00	\$25.82	\$0.00	\$25.82		\$24.53

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

10/09/2018	***-**-28IO - BARNES, BROOKE	AMERICAN BALANCED FUND-A	8,849.99	358.12	0.00	358.12	20.0	71.62
	ACCOUNT #: LSR012852	BUY 303 Units @29.2000						
10/09/2018	***-**-28IO - BARNES, BROOKE	AMERICAN HIGH-INCOME TRUST-A	5,300.00	141.00	0.00	141.00	20.0	28.20
	ACCOUNT #: LSR012852	BUY 501 Units @10.5800						
09/28/2018	***-**-9500 - KELLER, BILLIE	AXA EQUI VA 00248C360	0.00	28.33	0.00	28.33	20.0	5.67
	ACCOUNT #: 316022320	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
09/28/2018	***-**-9002 - ANDERSON, BRYAN	AXA EQUI VA 00248C360	0.00	64.16	0.00	64.16	20.0	12.83
	ACCOUNT #: 316102600	BUY 0 Units @0.0000						
09/28/2018	***-**-2456 - VOELKER, ROBERT	AXA EQUI VA 00248C360	0.00	173.10	0.00	173.10	20.0	34.62
	ACCOUNT #: 316126418	BUY 0 Units @0.0000						
09/28/2018	***-**-143R - DRAKE, RONALD	AXA EQUI VA 00248C360	0.00	31.15	0.00	31.15	20.0	6.23
	ACCOUNT #: 317006112	BUY 0 Units @0.0000						
09/28/2018	***-**-4108 - FOREST, YVONNE	AXA EQUI VA 00248C360	0.00	25.96	0.00	25.96	20.0	5.19
	ACCOUNT #: 317062788	BUY 0 Units @0.0000						
09/28/2018	***-**-0457 - DEMARCHE,	AXA EQUI VA 00248C360	0.00	134.74	0.00	134.74	20.0	26.95
	ACCOUNT #: 317069932	BUY 0 Units @0.0000						
09/28/2018	***-**-1787 - ANO, MICHAEL	AXA EQUI VA 00248C360	0.00	179.71	0.00	179.71	20.0	35.94
	ACCOUNT #: 317070844	BUY 0 Units @0.0000						
09/18/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	20.0	0.36
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
09/18/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
09/19/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
09/18/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
10/09/2018	***-**-28IO - BARNES, BROOKE	FUNDAMENTAL INVESTORS-A	12,400.01	509.00	0.00	509.00	20.0	101.80
	ACCOUNT #: LSR012852	BUY 183 Units @67.7800						
10/01/2018	***-**-4427 - ZOGBY, MICHAEL	MASSACHUSETTS INVESTORS TRUST-A	0.00	5.28	0.00	5.28	20.0	1.06
	ACCOUNT #: 00060558718	BUY 0 Units @0.0000						
09/18/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
09/19/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
09/18/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
09/18/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
09/19/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
09/18/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
09/18/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
09/18/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
09/18/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
09/18/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
09/18/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
09/19/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
10/09/2018	***-**-28IO - BARNES, BROOKE	THE GROWTH FUND OF AMERICA-A	8,850.03	358.12	0.00	358.12	20.0	71.62
	ACCOUNT #: LSR012852	BUY 153 Units @57.9900						
		* RONALD DRAKE SUBTOTAL *	\$36,500.03	\$2,041.56	\$0.00	\$2,041.56		\$408.36
<u>BROKER: RONALD CIOTOLI</u>								
09/18/2018	***-**-338O - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
10/15/2018	***-**-295P - MILLER, PAUL	NEW YORK 529 TRAILS	0.00	5.89	0.00	5.89	35.0	2.06
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
10/15/2018	***-**-354I - MASTRONARDI,	NEW YORK 529 TRAILS	0.00	3.18	0.00	3.18	35.0	1.11
	ACCOUNT #: 77407712301	BUY 0 Units @0.0000						
		* RONALD CIOTOLI SUBTOTAL *	\$50.00	\$11.45	\$0.00	\$11.45		\$4.00
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$36,550.03	\$2,053.01	\$0.00	\$2,053.01		\$412.36

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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions		\$24.53	
					Split Transactions		\$0.00	
					Override Transactions		\$412.36	
					Adjustments		\$0.00	
					Payroll Draw		\$0.00	
					Base Salary		\$0.00	
					Prior Period Balance		\$0.00	
					FINRA Assessment		\$0.00	
					SIPC Assessment		\$0.00	
					Net Commission Earned		\$436.89	
					Year-to-date Earnings		\$23,024.67	