

COMMISSION STATEMENT

November 30, 2018

 GREG PHILLIPS-D366
 809 Jefferson Avenue

 BROKER #: D366
 BRANCH: DMD

LaPorte, IN 46350

COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/31/2018 (None)	BALLINGER, TRACY	MF COLLEGE CHOICE ADVISOR	B	100.00	4.75	0.00	4.75	72.5	3.44
11/15/2018 00067921794	PHILLIPS, GREGORY	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
11/15/2018 00075559657	SENNE, CONNIE	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
11/20/2018 00075615228	HARAZINOVA,	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	72.5	0.91
11/20/2018 00075615228	HARAZINOVA,	MF THE INVESTMENT COMPANY OF	B	25.00	1.25	0.00	1.25	72.5	0.91
11/15/2018 00083955954	BALLINGER, TRACY	MF AMERICAN BALANCED FUND-A	B	12.50	0.63	0.00	0.63	72.5	0.46
11/15/2018 00083955954	BALLINGER, TRACY	MF CAPITAL INCOME BUILDER-A	B	12.50	0.63	0.00	0.63	72.5	0.46
11/15/2018 00083955954	BALLINGER, TRACY	MF NEW WORLD FUND-A	B	12.50	0.63	0.00	0.63	72.5	0.46
11/15/2018 00083955954	BALLINGER, TRACY	MF THE INVESTMENT COMPANY OF	B	12.50	0.63	0.00	0.63	72.5	0.46
11/20/2018 00087205975	SHAFFER, GRACE	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	72.5	3.63
11/20/2018 00087205995	SHAFFER, GRACE	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	72.5	3.63
11/20/2018 00927192686	DELUCCA, CINDY	MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	72.5	1.81
11/20/2018 00937042928	DELUCCA, CINDY	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
* MUTUAL FUNDS SUBTOTAL *				\$600.00	\$29.77	\$0.00	\$29.77		\$21.60
*** DIRECT TRANSACTIONS TOTAL ***				\$600.00	\$29.77	\$0.00	\$29.77		\$21.60

ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$21.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-100.56
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-233.96
Year-to-date Commissions	\$4,639.01