

# COMMISSION STATEMENT

September 30, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

## COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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## CLEARING TRANSACTIONS

### PRODUCT CATEGORY: MUTUAL FUNDS

08/30/2018 152977825	BURTON, GILLETTE	MF PIMCO TOTAL RETURN CLASS A	S	-15.31	0.00	5.00	-5.00	0.0	0.00
09/04/2018 338549508	HODGES III (TOD),	MF FIDELITY BLUE CHIP GROWTH FUND	B	-4,300.00	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$-4,315.31	\$0.00	\$10.00	\$-10.00		\$0.00

### PRODUCT CATEGORY: STOCKS

09/05/2018 214755472	SERVICES INC,	S APPLIED OPTOELECTRONICS INC	B	-97,667.21	0.00	15.00	-15.00	0.0	0.00
09/05/2018 214755472	SERVICES INC,	S APPLIED OPTOELECTRONICS INC	B	98,014.07	0.00	15.00	-15.00	0.0	0.00
09/06/2018 584303875	PILLA, M	S ACURA PHARMACEUTICALS INC PAR	S	-12.04	0.00	15.00	-15.00	0.0	0.00
* STOCKS SUBTOTAL *				\$334.82	\$0.00	\$45.00	\$-45.00		\$0.00

### PRODUCT CATEGORY: MISCELLANEOUS

08/30/2018 a03434562	HOUSE-D000,	MI MUTUAL FUND MISC FEE 152880787	S	0.00	10.00	0.00	10.00	0.0	0.00
09/05/2018 a03434562	HOUSE-D000,	MI MUTUAL FUND MISC FEE 338549508	S	0.00	5.00	0.00	5.00	0.0	0.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$15.00	\$0.00	\$15.00		\$0.00

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.21	0.00	0.21	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.14	0.00	1.14	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.84	0.00	1.84	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.35	0.00	0.35	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.03	0.00	0.03	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.47	0.00	4.47	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.04	0.00	0.04	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.04	0.00	0.04	0.0	0.00
09/21/2018 mfc033980	VARIOUS,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.32	0.00	1.32	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.19	0.00	0.19	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.07	0.00	1.07	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.73	0.00	1.73	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.33	0.00	0.33	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.03	0.00	0.03	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.20	0.00	4.20	0.0	0.00
09/24/2018 mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.04	0.00	0.04	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc033980	VARIOUS ,	MFT PUTNAM 746444108	B	0.00	0.04	0.00	0.04	0.00
09/24/2018	mfc033980	VARIOUS ,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.24	0.00	1.24	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.21	0.00	0.21	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.59	0.00	0.59	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT AMCAP FUND-A	B	0.00	2.14	0.00	2.14	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-A	B	0.00	2.53	0.00	2.53	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.80	0.00	4.80	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN FDS INTL GROWTH &	B	0.00	0.65	0.00	0.65	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	0.56	0.00	0.56	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.34	0.00	1.34	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT BLACKROCK S&P 500 INDEX FD	B	0.00	0.27	0.00	0.27	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	0.10	0.00	0.10	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	0.09	0.00	0.09	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.86	0.00	1.86	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FEDERATED KAUFMANN FUND	B	0.00	1.13	0.00	1.13	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.61	0.00	0.61	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADV TECHNOLOGY CL T	B	0.00	2.28	0.00	2.28	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.98	0.00	0.98	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	1.27	0.00	1.27	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.85	0.00	1.85	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.38	0.00	0.38	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.52	0.00	0.52	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.41	0.00	0.41	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	1.00	0.00	1.00	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK GOLD FUND - CLASS A	B	0.00	0.22	0.00	0.22	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.56	0.00	0.56	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.63	0.00	0.63	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.96	0.00	0.96	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	2.56	0.00	2.56	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.57	0.00	3.57	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.70	0.00	0.70	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	2.18	0.00	2.18	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.31	0.00	0.31	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.44	0.00	0.44	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.29	0.00	0.29	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.90	0.00	0.90	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MFS TECHNOLOGY FUND-A	B	0.00	0.63	0.00	0.63	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.48	0.00	0.48	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.54	0.00	0.54	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.54	0.00	0.54	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.51	0.00	1.51	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	1.73	0.00	1.73	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	9.95	0.00	9.95	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT OBERWEIS CHINA OPPORTUNITIES FD	B	0.00	0.38	0.00	0.38	0.00

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09/21/2018	mfc434562	HOUSE 434562	MFT PIMCO ALL ASSET A	B	0.00	0.61	0.00	0.61	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	5.37	0.00	5.37	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	7.75	0.00	7.75	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PRUDENTIAL QMA STOCK INDEX FD C	B	0.00	1.79	0.00	1.79	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746444876	B	0.00	8.54	0.00	8.54	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746745108	B	0.00	0.04	0.00	0.04	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	0.85	0.00	0.85	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	0.90	0.00	0.90	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	2.21	0.00	2.21	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	1.13	0.00	1.13	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	1.45	0.00	1.45	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700 FD	B	0.00	7.11	0.00	7.11	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.23	0.00	1.23	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.23	0.00	0.23	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.68	0.00	0.68	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	2.49	0.00	2.49	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	18.57	0.00	18.57	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT SMALLCAP GROWTH - CLASS A	B	0.00	0.53	0.00	0.53	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.37	0.00	0.37	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.85	0.00	0.85	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.08	0.00	0.08	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.35	0.00	2.35	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.63	0.00	1.63	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.04	0.00	1.04	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.33	0.00	1.33	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.41	0.00	3.41	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.70	0.00	10.70	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.10	0.00	0.10	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.52	0.00	0.52	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	1.54	0.00	1.54	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.01	0.00	0.01	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.05	0.00	0.05	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.65	0.00	3.65	0.0	0.00
09/21/2018	mfc434562	HOUSE 434562	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.10	0.00	0.10	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.20	0.00	0.20	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.56	0.00	0.56	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT AMCAP FUND-A	B	0.00	2.03	0.00	2.03	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-A	B	0.00	2.38	0.00	2.38	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.53	0.00	4.53	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT AMERICAN FDS INTL GROWTH &	B	0.00	0.60	0.00	0.60	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	0.53	0.00	0.53	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.25	0.00	1.25	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT BLACKROCK S&P 500 INDEX FD	B	0.00	0.26	0.00	0.26	0.0	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	0.09	0.00	0.09	0.0	0.00

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09/24/2018	mfc434562	HOUSE 434562	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	0.09	0.00	0.09	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.73	0.00	1.73	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FEDERATED KAUFMANN FUND	B	0.00	1.09	0.00	1.09	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.57	0.00	0.57	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADV TECHNOLOGY CL T	B	0.00	2.14	0.00	2.14	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.91	0.00	0.91	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	1.20	0.00	1.20	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.77	0.00	1.77	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.36	0.00	0.36	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.49	0.00	0.49	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.39	0.00	0.39	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.95	0.00	0.95	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK GOLD FUND - CLASS A	B	0.00	0.19	0.00	0.19	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.53	0.00	0.53	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.59	0.00	0.59	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.91	0.00	0.91	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	2.41	0.00	2.41	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.36	0.00	3.36	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.66	0.00	0.66	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	2.04	0.00	2.04	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.30	0.00	0.30	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.42	0.00	0.42	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.27	0.00	0.27	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.86	0.00	0.86	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MFS TECHNOLOGY FUND-A	B	0.00	0.60	0.00	0.60	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.45	0.00	0.45	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.51	0.00	0.51	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.51	0.00	0.51	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.42	0.00	1.42	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	1.60	0.00	1.60	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	9.20	0.00	9.20	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT OBERWEIS CHINA OPPORTUNITIES FD	B	0.00	0.35	0.00	0.35	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PIMCO ALL ASSET A	B	0.00	0.57	0.00	0.57	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	5.07	0.00	5.07	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	7.32	0.00	7.32	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PRUDENTIAL QMA STOCK INDEX FD C	B	0.00	1.70	0.00	1.70	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746444876	B	0.00	8.07	0.00	8.07	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746745108	B	0.00	0.03	0.00	0.03	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	0.81	0.00	0.81	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	0.85	0.00	0.85	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	2.10	0.00	2.10	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	1.05	0.00	1.05	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	1.36	0.00	1.36	0.00
09/24/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700 FD	B	0.00	6.66	0.00	6.66	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc434562 HOUSE 434562	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.16	0.00	1.16	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.22	0.00	0.22	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.65	0.00	0.65	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	2.37	0.00	2.37	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	17.38	0.00	17.38	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT SMALLCAP GROWTH - CLASS A	B	0.00	0.53	0.00	0.53	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.35	0.00	0.35	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.81	0.00	0.81	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.54	0.00	1.54	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.07	0.00	0.07	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.21	0.00	2.21	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.98	0.00	0.98	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.26	0.00	1.26	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.21	0.00	3.21	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.09	0.00	10.09	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.10	0.00	0.10	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.46	0.00	0.46	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	1.45	0.00	1.45	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.01	0.00	0.01	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.05	0.00	0.05	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.46	0.00	3.46	0.0	0.00
09/24/2018	mfc434562 HOUSE 434562	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.10	0.00	0.10	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$297.52</b>	<b>\$0.00</b>	<b>\$297.52</b>		<b>\$0.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-3,980.49</b>	<b>\$312.52</b>	<b>\$55.00</b>	<b>\$257.52</b>		<b>\$0.00</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/10/2018	(None) MCCLOSKEY, BILL	MF OPPENHEIMER	B	50.00	2.38	0.00	2.38	0.0	0.00
09/11/2018	(None) PHAN, NONNIE	MF BLACKROCK	B	50.00	2.50	0.00	2.50	0.0	0.00
09/10/2018	000000001566633 SHAWN M	MF FRK TEMP FOUNDING FUNDS ALLOC	B	50.00	2.50	0.00	2.50	0.0	0.00
09/17/2018	00064309614 DELISE, KOPAVI	MF CAPITAL INCOME BUILDER-A	B	83.00	3.11	0.00	3.11	0.0	0.00
09/17/2018	00064309614 DELISE, KOPAVI	MF THE INCOME FUND OF AMERICA-A	B	83.00	3.11	0.00	3.11	0.0	0.00
09/10/2018	00067093803 P AHMU,	MF AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	0.0	0.00
09/17/2018	00067697270 LAWRENCE,	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
09/17/2018	00067833895 CURL, THOMAS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	0.0	0.00
09/17/2018	00068779798 ULLOA, AUDREY	MF COLLEGE ENROLLMENT FUND-529A	B	150.00	3.00	0.00	3.00	0.0	0.00
09/20/2018	00069291988 ABALOS, NATHAN	MF CAPITAL WORLD GROWTH AND	B	100.00	2.75	0.00	2.75	0.0	0.00
09/20/2018	00069291988 ABALOS, NATHAN	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	2.75	0.00	2.75	0.0	0.00
09/10/2018	00069291990 ABALOS, NATHAN	MF NEW PERSPECTIVE FUND-529A	B	100.00	2.75	0.00	2.75	0.0	0.00
09/10/2018	00069291990 ABALOS, NATHAN	MF THE INVESTMENT COMPANY OF	B	100.00	2.75	0.00	2.75	0.0	0.00
09/10/2018	00081410354 VAN STONE, CATHY	MF CAPITAL WORLD GROWTH AND INCOME	B	62.50	2.34	0.00	2.34	0.0	0.00
09/10/2018	00081410354 VAN STONE, CATHY	MF SMALLCAP WORLD FUND-A	B	62.50	2.34	0.00	2.34	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/10/2018 00081410354	VAN STONE, CATHY	MF THE GROWTH FUND OF AMERICA-A	B	62.50	2.34	0.00	2.34	0.0	0.00
09/10/2018 00081410354	VAN STONE, CATHY	MF THE INCOME FUND OF AMERICA-A	B	62.50	2.34	0.00	2.34	0.0	0.00
09/10/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	1.23	0.06	0.00	0.06	0.0	0.00
09/10/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	1.24	0.06	0.00	0.06	0.0	0.00
09/10/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	1.28	0.06	0.00	0.06	0.0	0.00
09/10/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	0.27	0.01	0.00	0.01	0.0	0.00
09/10/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	42.24	2.11	0.00	2.11	0.0	0.00
09/10/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	0.28	0.01	0.00	0.01	0.0	0.00
09/10/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	42.24	2.11	0.00	2.11	0.0	0.00
09/10/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	0.29	0.01	0.00	0.01	0.0	0.00
09/10/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	43.52	2.18	0.00	2.18	0.0	0.00
09/17/2018 03910377153	MCCARTNEY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	5.00	0.00	5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,548.59	\$56.95	\$0.00	\$56.95		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/10/2018 (None)	VARIOUS, HOUSE	VA TALCOTT RESOLUTION	B	0.00	3.00	0.00	3.00	0.0	0.00
09/15/2018 (None)	PITTMAN, ERIC	VA NATIONWIDE	B	45.00	0.45	0.00	0.45	0.0	0.00
09/10/0201 7200000913	EVANS	VA SAMMONS FINANCIAL	B	33,380.18	13.92	0.00	13.92	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *				\$33,425.18	\$17.37	\$0.00	\$17.37		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018 (None)	VARIOUS, HOUSE	MFT API	B	0.00	25.92	0.00	25.92	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT DELWARE	B	0.00	17.71	0.00	17.71	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT FIDELITY DESTINY	B	0.00	2.26	0.00	2.26	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT HARTFORD MUTUAL FUIND	B	0.00	0.40	0.00	0.40	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT HARTFORD MUTUAL FUIND	B	0.00	2.13	0.00	2.13	0.0	0.00
08/31/2018 (None)	BURNSWILEL	MFT JOHN HANCOCK FREEDOM	B	0.00	4.49	0.00	4.49	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	6.52	0.00	6.52	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	3.32	0.00	3.32	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	96.49	0.00	96.49	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT VAN ECK 12B-1	B	0.00	47.51	0.00	47.51	0.0	0.00
08/31/2018 (None)	FORREEDSO	MFT VIRTUS	B	0.00	2.18	0.00	2.18	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT VIRTUS	B	0.00	8.59	0.00	8.59	0.0	0.00
09/06/2018 00999005828	907445019	MFT COLUMBIA STRATEGIC INCOME	B	0.00	2.89	0.00	2.89	0.0	0.00
09/06/2018 01002969111	GREENWELL, HAROLD	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.67	0.00	0.67	0.0	0.00
09/06/2018 01008869663	TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.14	0.00	0.14	0.0	0.00
09/06/2018 01008869663	TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.42	0.00	0.42	0.0	0.00
09/06/2018 01009334945	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.62	0.00	0.62	0.0	0.00
09/06/2018 01009334945	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.87	0.00	1.87	0.0	0.00
09/06/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	0.57	0.00	0.57	0.0	0.00
09/06/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	1.70	0.00	1.70	0.0	0.00
09/06/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.63	0.00	0.63	0.0	0.00
09/06/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.90	0.00	1.90	0.0	0.00
09/06/2018 08009120302	LYNN PARKER	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.21	0.00	0.21	0.0	0.00
09/06/2018 08303694207	COAN, JUDITH	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	0.71	0.00	0.71	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 08322273206	HIGGINS, STEPHEN	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.25	0.00	0.25	0.0	0.00
09/06/2018 08537500312	DEVINE, MARC	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.30	0.00	0.30	0.0	0.00
09/06/2018 08694039007	OWEN, JAMES	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	11.71	0.00	11.71	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$242.11	\$0.00	\$242.11		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
08/21/2018 (None)	VARIOUS, HOUSE	VAT CHOICE STANDARD DB 0 YRS - AFIS	B	0.00	8.93	0.00	8.93	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	VAT TRANSAMERICA LIFE	B	0.00	529.00	0.00	529.00	0.0	0.00
09/09/2018 (None)	BELL, LAURIE	VAT VOYA	B	0.00	445.78	0.00	445.78	0.0	0.00
09/14/2018 (None)	ATKINSON M BER	VAT AMERICAN GENERAL	B	0.00	90.34	0.00	90.34	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,074.05	\$0.00	\$1,074.05		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$34,973.77	\$1,390.48	\$0.00	\$1,390.48		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Net Commissions	\$0.00
Year-to-date Commissions	\$0.00