



COMMISSION STATEMENT

November 05, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2018	***-**-325X - WARD, JAMES ACCOUNT #: LSR010789	AMERICAN 2035 TARGET DATE RETIREMNT BUY 7 Units @14.1300	100.00	1.00	3.00	-2.00	100.0	-2.00
10/30/2018	***-**-007X - MONACO, CARMINE ACCOUNT #: LSR010800	AMERICAN 2035 TARGET DATE RETIREMNT BUY 94 Units @14.1300	1,325.00	13.25	3.00	10.25	80.0	8.20
10/30/2018	***-**-310X - HARDWICK, TODD ACCOUNT #: LSR011310	AMERICAN 2035 TARGET DATE RETIREMNT BUY 6 Units @14.1300	89.99	0.90	3.00	-2.10	100.0	-2.10
11/01/2018	***-**-524X - CONLEY, WILLIAM ACCOUNT #: LSR012483	AMERICAN BALANCED FUND-C BUY 7 Units @26.7700	175.00	1.75	3.00	-1.25	100.0	-1.25
10/30/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	AMERICAN FUNDS 2030 TARGET DATE-C BUY 89 Units @13.7700	1,219.99	12.20	3.00	9.20	80.0	7.36
10/30/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN FUNDS 2030 TARGET DATE-C BUY 58 Units @13.7700	800.00	8.00	3.00	5.00	80.0	4.00
10/30/2018	***-**-558X - FAMIGLIETTI, ACCOUNT #: LSR010788	AMERICAN FUNDS 2030 TARGET DATE-C BUY 7 Units @13.7700	100.00	1.00	3.00	-2.00	100.0	-2.00
* MUTUAL FUNDS SUBTOTAL *			\$3,809.98	\$38.10	\$21.00	\$17.10		\$12.21
<u>PRODUCT CATEGORY: RIA</u>								
10/31/2018	***-**-96FB - FOSTER, MELISSA ACCOUNT #: 534689	SYMMETRY RIA BUY 0 Units @3.6557	36,557.50	91.40	0.00	91.40	80.0	73.12
* RIA SUBTOTAL *			\$36,557.50	\$91.40	\$0.00	\$91.40		\$73.12
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/05/2018	***-**-447I - BELVAL, PAUL ACCOUNT #: 7200002672	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	69.63	0.00	69.63	80.0	55.70
11/05/2018	***-**-8503 - DEPINHO, MARY ACCOUNT #: 7200004964	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	30.42	0.00	30.42	80.0	24.34
11/05/2018	***-**-057I - DASILVA, JOSE ACCOUNT #: 7200010529	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	12.37	0.00	12.37	80.0	9.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$112.42	\$0.00	\$112.42		\$89.94



COMMISSION STATEMENT

November 05, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/31/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	42.39	0.00	42.39	80.0	33.91
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
10/31/2018	***-**-3912 - LAFUENTE, CARLOS	MASS MUTUAL VA DTCC TRAILS	0.00	197.83	0.00	197.83	80.0	158.26
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$240.22	\$0.00	\$240.22		\$192.17
*** BROKER TRANSACTIONS TOTAL ***			\$40,367.48	\$482.14	\$21.00	\$461.14		\$367.44

BROKER COMMISSION TOTALS

Broker Transactions	\$367.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$367.44
Year-to-date Earnings	\$98,540.34