

COMMISSION STATEMENT

August 31, 2018

 JOHN BOYD-D008
 615 SW 8th Avenue

 BROKER #: D008
 BRANCH: DMC

Amarillo, TX 79101-2113

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|---|-----------|---------------------|-----|---------------------------------|---------------------|--------------------|-------------------|----------|----------------------|------|----------|
| CLEARING TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 08/15/2018 | 646200695 | THORNTON, ROBERT | MF | THE NEW ECONOMY FUND-A | S | 66,002.45 | 1,056.00 | 2.50 | 1,053.50 | 90.0 | 948.15 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$66,002.45 | \$1,056.00 | \$2.50 | \$1,053.50 | | \$948.15 |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | | |
| 08/01/2018 | 153034712 | TOD, BILL BARBEE | S | TRANSATLANTIC PETROLEUM LTD NEW | S | -652.39 | 50.00 | 15.00 | 35.00 | 90.0 | 31.50 |
| 08/15/2018 | 646200695 | THORNTON, ROBERT | S | ALERIAN MLP ETF | S | -27,322.19 | 50.00 | 15.00 | 35.00 | 90.0 | 31.50 |
| 08/15/2018 | 646200695 | THORNTON, ROBERT | S | GRAN TIERRA ENERGY INC | S | -13,735.37 | 50.00 | 15.00 | 35.00 | 90.0 | 31.50 |
| 08/15/2018 | 646200695 | THORNTON, ROBERT | S | LAMAR ADVERTISING CO-A | S | -24,517.56 | 50.00 | 15.00 | 35.00 | 90.0 | 31.50 |
| 08/13/2018 | 676735144 | SLOPESIDE | S | DIREXION DAILY TECH BULL & BEAR | B | 310,035.45 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/23/2018 | 676735144 | SLOPESIDE | S | DIREXION DAILY TECH BULL & BEAR | S | -314,756.06 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/24/2018 | 676735144 | SLOPESIDE | S | PROSHARES ULTRAPRO QQQ | B | -133,637.25 | -75.00 | -15.00 | -60.00 | 90.0 | -54.00 |
| 08/24/2018 | 676735144 | SLOPESIDE | S | PROSHARES ULTRAPRO QQQ | B | 133,637.25 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/24/2018 | 676735144 | SLOPESIDE | S | PROSHARES ULTRAPRO QQQ | B | 133,637.25 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/27/2018 | 676735144 | SLOPESIDE | S | PROSHARES ULTRAPRO QQQ | S | -417,461.92 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/29/2018 | 676735144 | SLOPESIDE | S | DIREXION DAILY TECH BULL & BEAR | B | 332,077.45 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/07/2018 | 677621262 | KAMNANI, LAXMICHAND | S | DIREXION DAILY TECH BULL & BEAR | S | -309,402.53 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/23/2018 | 677621262 | KAMNANI, LAXMICHAND | S | NVIDIA CORPORATION | S | -266,462.68 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| 08/29/2018 | 677621262 | KAMNANI, LAXMICHAND | S | PROSHARES ULTRAPRO QQQ | B | 281,717.45 | 75.00 | 15.00 | 60.00 | 90.0 | 54.00 |
| * STOCKS SUBTOTAL * | | | | | | \$-316,843.10 | \$800.00 | \$180.00 | \$620.00 | | \$558.00 |
| PRODUCT CATEGORY: MISCELLANEOUS | | | | | | | | | | | |
| 07/30/2018 | a03396814 | BOYD-D008, JOHN | MI | MUTUAL FUND MISC FEE 677656894 | S | 0.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 07/30/2018 | a03396814 | BOYD-D008, JOHN | MI | MUTUAL FUND MISC FEE 677656894 | S | 0.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| * MISCELLANEOUS SUBTOTAL * | | | | | | \$0.00 | \$10.00 | \$0.00 | \$10.00 | | \$9.00 |
| PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT | | | | | | | | | | | |
| 08/15/2018 | 554277755 | OSBORNE, JANYCE | CD | MORGAN STANLEY PRIVATE BK NA | B | 22,004.11 | 39.60 | 20.00 | 19.60 | 90.0 | 17.64 |
| * CERTIFICATES OF DEPOSIT SUBTOTAL * | | | | | | \$22,004.11 | \$39.60 | \$20.00 | \$19.60 | | \$17.64 |

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------|---------------|-----|-------------------------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT AMCAP FUND-A | B | 0.00 | 5.45 | 0.00 | 5.45 90.0 4.91 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT AMCAP FUND-A | B | 0.00 | 28.79 | 0.00 | 28.79 90.0 25.91 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 14.69 | 0.00 | 14.69 90.0 13.22 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT AMERICAN HIGH-INCOME TRUST-A | B | 0.00 | 1.78 | 0.00 | 1.78 90.0 1.60 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT AMERICAN MUTUAL FUND-A | B | 0.00 | 9.68 | 0.00 | 9.68 90.0 8.71 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 1.36 | 0.00 | 1.36 90.0 1.22 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 1.61 | 0.00 | 1.61 90.0 1.45 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 2.98 | 0.00 | 2.98 90.0 2.68 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 1.05 | 0.00 | 1.05 90.0 0.95 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 2.05 | 0.00 | 2.05 90.0 1.85 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 3.07 | 0.00 | 3.07 90.0 2.76 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 7.99 | 0.00 | 7.99 90.0 7.19 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 9.54 | 0.00 | 9.54 90.0 8.59 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 15.99 | 0.00 | 15.99 90.0 14.39 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 24.15 | 0.00 | 24.15 90.0 21.74 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT COLUMBIA TAX-EXEMPT FUND-A | B | 0.00 | 2.92 | 0.00 | 2.92 90.0 2.63 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT COLUMBIA TAX-EXEMPT FUND-A | B | 0.00 | 12.85 | 0.00 | 12.85 90.0 11.57 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT COLUMBIA TOTAL RETURN BOND FD A | B | 0.00 | 6.28 | 0.00 | 6.28 90.0 5.65 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT DREYFUS PREMIER TECHNOLOGY | B | 0.00 | 2.99 | 0.00 | 2.99 90.0 2.69 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT DRYDEN INTERNATIONAL EQUITY FD | B | 0.00 | 0.82 | 0.00 | 0.82 90.0 0.74 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 1.16 | 0.00 | 1.16 90.0 1.04 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT FIDELITY ADV NEW INSIGHTS-CL A | B | 0.00 | 2.36 | 0.00 | 2.36 90.0 2.12 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT FRANKLIN FEDERAL TAX FREE | B | 0.00 | 2.17 | 0.00 | 2.17 90.0 1.95 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT FRANKLIN NATURAL RESOURCES FND | B | 0.00 | 1.48 | 0.00 | 1.48 90.0 1.33 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT FRANKLIN RISING DIVIDENDS FD | B | 0.00 | 7.61 | 0.00 | 7.61 90.0 6.85 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT FRANKLIN RISING DIVIDENDS FD | B | 0.00 | 7.61 | 0.00 | 7.61 90.0 6.85 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 2.32 | 0.00 | 2.32 90.0 2.09 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 35.69 | 0.00 | 35.69 90.0 32.12 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 43.45 | 0.00 | 43.45 90.0 39.11 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT IVY LARGE CAP GROWTH FD CL A | B | 0.00 | 2.65 | 0.00 | 2.65 90.0 2.39 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT JENNISON GROWTH FUND CLASS A | B | 0.00 | 9.97 | 0.00 | 9.97 90.0 8.97 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT LORD ABBETT MULTI-ASSET INCOME | B | 0.00 | 2.04 | 0.00 | 2.04 90.0 1.84 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT LORD ABBETT FLOATING RATE FD CL | B | 0.00 | 14.23 | 0.00 | 14.23 90.0 12.81 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT MFS EMERGING GROWTH FUND CLASS | B | 0.00 | 0.15 | 0.00 | 0.15 90.0 0.14 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 5.44 | 0.00 | 5.44 90.0 4.90 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 9.20 | 0.00 | 9.20 90.0 8.28 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 16.51 | 0.00 | 16.51 90.0 14.86 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT NEW WORLD FUND-A | B | 0.00 | 1.17 | 0.00 | 1.17 90.0 1.05 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT NEW WORLD FUND-A | B | 0.00 | 18.71 | 0.00 | 18.71 90.0 16.84 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT OPPENHEIMER SENIOR FLOATING | B | 0.00 | 4.11 | 0.00 | 4.11 90.0 3.70 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT OPPENHEIMER STRATEGIC INCOME FD | B | 0.00 | 4.59 | 0.00 | 4.59 90.0 4.13 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT OPPENHEIMER STRATEGIC INCOME FD | B | 0.00 | 45.50 | 0.00 | 45.50 90.0 40.95 |
| 08/21/2018 | mfc396814 | BOYD J 396814 | | MFT PIMCO TOTAL RETURN CLASS A | B | 0.00 | 15.28 | 0.00 | 15.28 90.0 13.75 |

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|---|---------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT PRUDENTIAL JENNISON BLEND FD A | B | 0.00 | 4.07 | 0.00 | 4.07 | 90.0 | 3.66 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT PRUDENTIAL JENNISON MID CAP | B | 0.00 | 4.88 | 0.00 | 4.88 | 90.0 | 4.39 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT ROCHESTER NATIONAL MUNICIPALS A | B | 0.00 | 99.19 | 0.00 | 99.19 | 90.0 | 89.27 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT SHORT DURATION INCOME A | B | 0.00 | 19.54 | 0.00 | 19.54 | 90.0 | 17.59 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 4.79 | 0.00 | 4.79 | 90.0 | 4.31 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 7.47 | 0.00 | 7.47 | 90.0 | 6.72 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 12.48 | 0.00 | 12.48 | 90.0 | 11.23 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 18.23 | 0.00 | 18.23 | 90.0 | 16.41 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 3.89 | 0.00 | 3.89 | 90.0 | 3.50 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 5.18 | 0.00 | 5.18 | 90.0 | 4.66 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE HARTFORD CAPITAL | B | 0.00 | 0.47 | 0.00 | 0.47 | 90.0 | 0.42 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 23.59 | 0.00 | 23.59 | 90.0 | 21.23 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.86 | 0.00 | 2.86 | 90.0 | 2.57 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 4.57 | 0.00 | 4.57 | 90.0 | 4.11 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 5.89 | 0.00 | 5.89 | 90.0 | 5.30 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 23.67 | 0.00 | 23.67 | 90.0 | 21.30 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 5.15 | 0.00 | 5.15 | 90.0 | 4.64 |
| 08/21/2018 mfc396814 | BOYD J 396814 | MFT WELLS FARGO MUNICIPAL BOND-A | B | 0.00 | 39.54 | 0.00 | 39.54 | 90.0 | 35.59 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$694.90 | \$0.00 | \$694.90 | | \$625.42 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$-228,836.54 | \$2,600.50 | \$202.50 | \$2,398.00 | | \$2,158.21 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|--|----|------------------------------|---|-------------------|----------------|---------------|----------------|------|----------------|
| 08/06/2018 (None) BLAIR, SHIRLEY | MF | DELAWARE | B | 500.00 | 20.00 | 0.00 | 20.00 | 90.0 | 18.00 |
| 08/07/2018 (None) WILLIAMS, SHANE | MF | OPPENHEIMER | B | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 08/20/2018 000000000700361 BARBOUR, LISA | MF | FRANKLIN MODERATE ALLOCATION | B | 250.00 | 12.50 | 0.00 | 12.50 | 90.0 | 11.25 |
| 08/16/2018 00066979577 PINSON, MELODY | MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 08/17/2018 00084046008 RAMSEY, GARY | MF | AMERICAN BALANCED FUND-A | B | 200.00 | 8.50 | 0.00 | 8.50 | 90.0 | 7.65 |
| 08/17/2018 04000330579 SHANKS, STEVEN | MF | CAPITAL INCOME BUILDER-529A | B | 100.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 08/17/2018 04000330579 SHANKS, STEVEN | MF | CAPITAL WORLD GROWTH AND | B | 100.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 08/17/2018 04000330579 SHANKS, STEVEN | MF | SMALLCAP WORLD FUND-529A | B | 100.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 08/24/2018 04001063247 ORCUTT, STEPHEN | MF | AMCAP FUND-C | B | 1,912.55 | 19.13 | 0.00 | 19.13 | 90.0 | 17.22 |
| 08/24/2018 04001063247 ORCUTT, STEPHEN | MF | THE NEW ECONOMY FUND-C | B | 1,950.00 | 19.50 | 0.00 | 19.50 | 90.0 | 17.55 |
| 08/24/2018 04001063255 ORCUTT, STEPHEN | MF | AMCAP FUND-C | B | 441.84 | 4.42 | 0.00 | 4.42 | 90.0 | 3.98 |
| 08/24/2018 04001063255 ORCUTT, STEPHEN | MF | THE NEW ECONOMY FUND-C | B | 450.00 | 4.50 | 0.00 | 4.50 | 90.0 | 4.05 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$6,154.39 | \$97.65 | \$0.00 | \$97.65 | | \$87.89 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|---|-----|----------|---|-------------------|-----------------|---------------|-----------------|------|----------------|
| 07/31/2018 (None) BLAIR, SHIRLEY | MFT | DELAWARE | B | 0.00 | 11.35 | 0.00 | 11.35 | 90.0 | 10.22 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$11.35 | \$0.00 | \$11.35 | | \$10.22 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$6,154.39 | \$109.00 | \$0.00 | \$109.00 | | \$98.11 |

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|--|-----------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | | |
| BROKER: SCOTT SICKLES-D060 #54 | | | | | | | | | |
| 08/20/2018 | 000000000701021 | BRANNON, MF FRANKLIN MODERATE ALLOCATION | B | 51.00 | 2.55 | 0.00 | 2.55 | | 0.38 |
| 08/20/2018 | 000000012372684 | GRIEGO, MF FRANKLIN INCOME FUND CLASS C | B | 250.00 | 2.50 | 0.00 | 2.50 | | 0.38 |
| 08/20/2018 | 000019817879772 | BRANNON, MF FRANKLIN SMALL-MID CAP GROWTH | B | 49.50 | 2.48 | 0.00 | 2.48 | | 0.37 |
| 08/20/2018 | 000060177110343 | BRANNON, MF FRANKLIN GROWTH FUND CLASS A | B | 49.50 | 2.48 | 0.00 | 2.48 | | 0.37 |
| 08/20/2018 | 00089635528 | BAUTISTA, MOISES MF FUNDAMENTAL INVESTORS-C | B | 500.00 | 5.00 | 0.00 | 5.00 | | 0.75 |
| 08/20/2018 | 04000032754 | SPIVA, HOLLY MF CAPITAL INCOME BUILDER CLASS C | B | 20.00 | 0.20 | 0.00 | 0.20 | | 0.03 |
| 08/20/2018 | 04000032754 | SPIVA, HOLLY MF EUROPACIFIC GROWTH FUND CLASS C | B | 20.00 | 0.20 | 0.00 | 0.20 | | 0.03 |
| 08/20/2018 | 04000032754 | SPIVA, HOLLY MF FUNDAMENTAL INVESTORS-C | B | 10.00 | 0.10 | 0.00 | 0.10 | | 0.02 |
| 08/20/2018 | 04000032754 | SPIVA, HOLLY MF NEW WORLD FUND-C | B | 20.00 | 0.20 | 0.00 | 0.20 | | 0.03 |
| 08/20/2018 | 04000032754 | SPIVA, HOLLY MF THE GROWTH FUND OF AMERICA-C | B | 20.00 | 0.20 | 0.00 | 0.20 | | 0.03 |
| 08/20/2018 | 04000032754 | SPIVA, HOLLY MF WASHINGTON MUTUAL INVESTORS | B | 10.00 | 0.10 | 0.00 | 0.10 | | 0.02 |
| 08/15/2018 | 04000638082 | PEREZ, GEORGIA MF THE NEW ECONOMY FUND-C | B | 120.00 | 1.20 | 0.00 | 1.20 | | 0.18 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT AMERICAN BALANCED FUND-A | B | 0.00 | 0.96 | 0.00 | 0.96 | | 0.14 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT AMERICAN BALANCED FUND-C | B | 0.00 | 8.30 | 0.00 | 8.30 | | 1.25 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT CAPITAL INCOME BUILDER CLASS C | B | 0.00 | 7.66 | 0.00 | 7.66 | | 1.15 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 0.22 | 0.00 | 0.22 | | 0.03 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT FRANKLIN INCOME FUND CLASS C | B | 0.00 | 4.69 | 0.00 | 4.69 | | 0.70 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT FRK RISING DIV FND - CL C | B | 0.00 | 12.73 | 0.00 | 12.73 | | 1.91 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT FUNDAMENTAL INVESTORS-C | B | 0.00 | 23.80 | 0.00 | 23.80 | | 3.57 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT INVESTMENT COMPANY OF AMERICA | B | 0.00 | 23.16 | 0.00 | 23.16 | | 3.47 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT MUTUAL DISC FND - CL C | B | 0.00 | 5.33 | 0.00 | 5.33 | | 0.80 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT TEMP GLOBAL BOND FND - CL A | B | 0.00 | 1.06 | 0.00 | 1.06 | | 0.16 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT THE BOND FUND OF AMERICA-A | B | 0.00 | 0.60 | 0.00 | 0.60 | | 0.09 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT THE GROWTH FUND OF AMERICA-C | B | 0.00 | 26.04 | 0.00 | 26.04 | | 3.91 |
| 08/21/2018 | mfc500587 | SICKLES 500587 MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 9.13 | 0.00 | 9.13 | | 1.37 |
| * SCOTT SICKLES-D060 SUBTOTAL * | | | | \$1,120.00 | \$140.89 | \$0.00 | \$140.89 | | \$21.14 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | | \$1,120.00 | \$140.89 | \$0.00 | \$140.89 | | \$21.14 |
| <u> ADJUSTMENTS TRANSACTIONS </u> | | | | | | | | | |
| BROKER: #0 | | | | | | | | | |
| 08/31/2018 | | : BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 08/31/2018 | | : BROKER WORKSTATION-SHEILA | | 0.00 | 0.00 | 0.00 | 0.00 | | -15.00 |
| 08/31/2018 | | : E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 08/31/2018 | | : E-MAIL ACCOUNT-SHEILA TUCKER | | 0.00 | 0.00 | 0.00 | 0.00 | | -12.50 |
| 08/31/2018 | | : MONEY MARKET TRAILS | | 0.00 | 0.00 | 0.00 | 0.00 | | 606.04 |
| * SUBTOTAL * | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$523.54 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$523.54 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,256.32 |
| Split Transactions | \$0.00 |
| Override Transactions | \$21.14 |
| Adjustments | \$523.54 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$2,801.00 |
| Year-to-date Commissions | \$39,078.28 |