

COMMISSION STATEMENT November 30, 2018

 GEORGE OFFUTT-D067
 146 Pinehurst

 BROKER #: D067
 BRANCH: DMT

Meadowlakes, TX 78654

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
11/19/2018	306858156 CORPORATION, GO	S CVR ENERGY INC	B	3,110.04	30.00	15.00	15.00	90.0	13.50
11/19/2018	308006840 OFFUTT, GEORGE	S ARCBEST CORP	B	3,000.13	30.00	15.00	15.00	90.0	13.50
11/19/2018	308006840 OFFUTT, GEORGE	S ESTERLINE TECHNOLOGIES CORP	B	2,965.18	30.00	15.00	15.00	90.0	13.50
11/19/2018	308006840 OFFUTT, GEORGE	S PBF ENERGY INC	B	3,017.45	30.00	15.00	15.00	90.0	13.50
11/16/2018	368435423 GUFFEE, CLARENCE	S ANNALY CAPITAL MANAGEMENT	S	-5,886.31	40.00	15.00	25.00	90.0	22.50
11/16/2018	368435423 GUFFEE, CLARENCE	S OMEGA HEALTHCARE INVS INC	S	-8,005.47	40.00	15.00	25.00	90.0	22.50
* STOCKS SUBTOTAL *				\$-1,798.98	\$200.00	\$90.00	\$110.00		\$99.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc668438 OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	1.72	0.00	1.72	90.0	1.55
11/21/2018	mfc668438 OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	2.86	0.00	2.86	90.0	2.57
11/21/2018	mfc668438 OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.86	0.00	2.86	90.0	2.57
11/21/2018	mfc668438 OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.35	0.00	3.35	90.0	3.02
11/21/2018	mfc668438 OFFUTT 668438	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.63	0.00	0.63	90.0	0.57
11/21/2018	mfc668438 OFFUTT 668438	MFT AMERICAN BALANCED FUND-A	B	0.00	0.09	0.00	0.09	90.0	0.08
11/21/2018	mfc668438 OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B	B	0.00	0.70	0.00	0.70	90.0	0.63
11/21/2018	mfc668438 OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B	B	0.00	0.80	0.00	0.80	90.0	0.72
11/21/2018	mfc668438 OFFUTT 668438	MFT BLK 091928788	B	0.00	2.11	0.00	2.11	90.0	1.90
11/21/2018	mfc668438 OFFUTT 668438	MFT COLUMBIA SMALL CAP VALUE FUND I B	B	0.00	2.50	0.00	2.50	90.0	2.25
11/21/2018	mfc668438 OFFUTT 668438	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	2.18	0.00	2.18	90.0	1.96
11/21/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	0.31	0.00	0.31	90.0	0.28
11/21/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	1.22	0.00	1.22	90.0	1.10
11/21/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	2.21	0.00	2.21	90.0	1.99
11/21/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	2.98	0.00	2.98	90.0	2.68
11/21/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	6.35	0.00	6.35	90.0	5.72
11/21/2018	mfc668438 OFFUTT 668438	MFT DELAWARE DIVIDEND INCOME FUND A B	B	0.00	2.53	0.00	2.53	90.0	2.28
11/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	3.31	0.00	3.31	90.0	2.98
11/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.50	0.00	4.50	90.0	4.05
11/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.95	0.00	4.95	90.0	4.46
11/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	3.91	0.00	3.91	90.0	3.52
11/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE REAL ESTATE SECURITIES B	B	0.00	0.90	0.00	0.90	90.0	0.81

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.71	0.00	1.71	90.0	1.54
11/21/2018	mfc668438 OFFUTT 668438	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	11.12	0.00	11.12	90.0	10.01
11/21/2018	mfc668438 OFFUTT 668438	MFT EHV 277902813	B	0.00	1.27	0.00	1.27	90.0	1.14
11/21/2018	mfc668438 OFFUTT 668438	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.79	0.00	0.79	90.0	0.71
11/21/2018	mfc668438 OFFUTT 668438	MFT IVY SCIENCE AND TECHNOLOGY FUND	B	0.00	1.27	0.00	1.27	90.0	1.14
11/21/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMERFUNDS 68380E304	B	0.00	1.22	0.00	1.22	90.0	1.10
11/21/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	4.13	0.00	4.13	90.0	3.72
11/21/2018	mfc668438 OFFUTT 668438	MFT TEMP FRGN FND - CL A	B	0.00	0.86	0.00	0.86	90.0	0.77
11/21/2018	mfc668438 OFFUTT 668438	MFT TEMP WORLD FND - CL A	B	0.00	1.57	0.00	1.57	90.0	1.41
11/21/2018	mfc668438 OFFUTT 668438	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	1.15	0.00	1.15	90.0	1.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$78.06	\$0.00	\$78.06		\$70.27
*** CLEARING TRANSACTIONS TOTAL ***				\$-1,798.98	\$278.06	\$90.00	\$188.06		\$169.27

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/05/2018	00000275988 JACKSON, TANYA	MF COLUMBIA HIGH YIELD BOND FUND-A	B	15.00	0.53	0.00	0.53	90.0	0.48
11/05/2018	07320103858 JACKSON, TANYA	MF COLUMBIA SELECT INTL EQUITY	B	20.00	0.75	0.00	0.75	90.0	0.68
11/05/2018	07790166208 JACKSON, TANYA	MF COLUMBIA GLOBAL EQUITY VALUE-A	B	40.00	1.50	0.00	1.50	90.0	1.35
11/05/2018	09690115201 JACKSON, TANYA	MF COLUMBIA SMALL CAP VALUE FUND I	B	25.00	0.94	0.00	0.94	90.0	0.85
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$3.72	\$0.00	\$3.72		\$3.36

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/09/2018	(None) OFFUTT, GEORGE	VA PRUDENTIAL LIFE	B	300.00	21.00	0.00	21.00	90.0	18.90
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$21.00	\$0.00	\$21.00		\$18.90
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$24.72	\$0.00	\$24.72		\$22.26

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		311.13
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$256.13
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$256.13

BROKER COMMISSION TOTALS

Broker Transactions	\$191.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$256.13
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$447.66
Year-to-date Commissions	\$12,559.23