



COMMISSION STATEMENT

October 08, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/28/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	FIDELITY ADVISOR MUNI INCOME CL C BUY 554 Units @12.6400	6,999.99	70.00	18.00	52.00	75.0	39.00
09/28/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	JANUS HENDERSON GLBL EQUITY BUY 1125 Units @7.1100	8,000.00	80.00	18.00	62.00	75.0	46.50
09/28/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	NUVEEN SHORT DURATN HIGH MUNI BOND BUY 787 Units @10.1700	8,000.00	80.00	18.00	62.00	75.0	46.50
09/28/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	WELLS FARGO MUNI BOND CL C BUY 890 Units @10.1100	9,000.00	90.00	18.00	72.00	75.0	54.00
* MUTUAL FUNDS SUBTOTAL *			\$31,999.99	\$320.00	\$72.00	\$248.00		\$186.00
<u>PRODUCT CATEGORY: RIA</u>								
10/05/2018	***-**-43FB - CAHILL, ACCOUNT #: 925569048	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	10.18	10.18	0.00	10.18	75.0	7.64
10/05/2018	***-**-24FB - IMBRIALE, DONALD ACCOUNT #: 925568187	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	308.09	308.09	0.00	308.09	75.0	231.07
10/05/2018	***-**-63FB - HOWIE, MARGARET ACCOUNT #: 925589694	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	20.75	20.75	0.00	20.75	75.0	15.56
10/05/2018	***-**-34FB - RODESCHINI, ACCOUNT #: 925579511	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	952.63	952.63	0.00	952.63	75.0	714.47
* RIA SUBTOTAL *			\$1,291.65	\$1,291.65	\$0.00	\$1,291.65		\$968.74
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2018	***-**-3472 - ONG-VALENCIA, ACCOUNT #: 921076252	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	165.04	0.00	165.04	75.0	123.78
09/30/2018	***-**-4905 - SMITH, MELANIE ACCOUNT #: 921076560	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	34.69	0.00	34.69	75.0	26.02
09/30/2018	***-**-5445 - IMBRIALE, ACCOUNT #: 1013231636	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	153.45	0.00	153.45	75.0	115.09
09/30/2018	***-**-7424 - IMBRIALE, DONALD ACCOUNT #: 1012248322	JACKSON ELITE ACCESS OPTION A BUY 0 Units @0.0000	0.00	29.71	0.00	29.71	75.0	22.28
09/30/2018	***-**-5445 - IMBRIALE, ACCOUNT #: 1013231660	JACKSON ELITE ACCESS OPTION A BUY 0 Units @0.0000	0.00	13.34	0.00	13.34	75.0	10.01



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
09/30/2018	***-**-74IO - BRENNAN, JOHN	JACKSON NAT VA TRAILS 468511134	0.00	9.44	0.00	9.44	75.0	7.08
	ACCOUNT #: 1200627917	BUY 0 Units @0.0000						
09/30/2018	***-**-7424 - IMBRIALE, DONALD	JACKSON NATIONAL PERSPECTIVE II	0.00	112.73	0.00	112.73	75.0	84.55
	ACCOUNT #: 1007504523	BUY 0 Units @0.0000						
09/30/2018	***-**-5445 - IMBRIALE,	JACKSON NATIONAL PERSPECTIVE II	0.00	73.88	0.00	73.88	75.0	55.41
	ACCOUNT #: 1007525890	BUY 0 Units @0.0000						
09/30/2018	***-**-7424 - IMBRIALE, DONALD	JACKSON NATIONAL PERSPECTIVE II	0.00	56.88	0.00	56.88	75.0	42.66
	ACCOUNT #: 1013986092	BUY 0 Units @0.0000						
09/30/2018	***-**-74IO - BRENNAN, JOHN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	772.83	0.00	772.83	75.0	579.62
	ACCOUNT #: 1011340241	BUY 0 Units @0.0000						
09/30/2018	***-**-34FB - RODESCHINI,	LINCOLN FIN VA 533787750	0.00	289.22	0.00	289.22	75.0	216.92
	ACCOUNT #: 921074336	BUY 0 Units @0.0000						
09/30/2018	***-**-2696 - IGNACIO, CECILIA	LINCOLN FIN VA 53404B676	0.00	69.59	0.00	69.59	75.0	52.19
	ACCOUNT #: 922308324	BUY 0 Units @0.0000						
09/30/2018	***-**-8194 - FINELLI, NANCY	LINCOLN FINANCIAL CP ASSURANCE SERI	0.00	268.57	0.00	268.57	75.0	201.43
	ACCOUNT #: 922188228	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,049.37	\$0.00	\$2,049.37		\$1,537.04
*** BROKER TRANSACTIONS TOTAL ***			\$33,291.64	\$3,661.02	\$72.00	\$3,589.02		\$2,691.78



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,691.78
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,691.78
						Year-to-date Earnings		\$41,585.25