

# COMMISSION STATEMENT

August 15, 2018

 TOM BRISTER-D011  
 32180 Inverness Drive

 BROKER #: D011  
 BRANCH: DMD

Evergreen, CO 80439

## COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/01/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
08/01/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
08/01/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
08/01/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
08/01/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: MISCELLANEOUS											
07/31/2018	VARIOUS, BRISTER T	MI	PVG		B	0.00	411.04	0.00	411.04	87.5	359.66
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$411.04	\$0.00	\$411.04		\$359.66
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/07/2018	00000021076	ASHER, CHARLES	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	5.85	0.00	5.85	87.5	5.12
07/31/2018	000070170055795	MARTINEZ,	MFT	FRK UTIL FND - CL A	B	0.00	10.46	0.00	10.46	87.5	9.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$16.31	\$0.00	\$16.31		\$14.27
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
07/18/2018	(None)	FINCH, LEWIS	VAT	AMERITAS	B	0.00	80.00	0.00	80.00	87.5	70.00
07/18/2018	(None)	JOHNSON, DYLAN	VAT	AMERITAS	B	0.00	1.74	0.00	1.74	87.5	1.52
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$81.74	\$0.00	\$81.74		\$71.52
*** DIRECT TRANSACTIONS TOTAL ***						\$900.00	\$542.86	\$0.00	\$542.86		\$475.01

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

OVERRIDE TRANSACTIONS

BROKER: ALEX BRISTER-D010 #14

08/06/2018 04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75		5.16
* ALEX BRISTER-D010 SUBTOTAL *				\$500.00	\$13.75	\$0.00	\$13.75		\$5.16
*** OVERRIDE TRANSACTIONS TOTAL ***				\$500.00	\$13.75	\$0.00	\$13.75		\$5.16

BROKER COMMISSION TOTALS

Broker Transactions	\$475.01
Split Transactions	\$0.00
Override Transactions	\$5.16
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$480.17
Year-to-date Commissions	\$17,964.33