

# COMMISSION STATEMENT October 31, 2018

**KIM MELTON-D097**  
 2802 North Highland Avenue  
 Suite B  
 Jackson, TN 38305

**BROKER #: D097**  
 BRANCH: DME

## COMMISSION STATEMENT for KIM MELTON-D097

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/02/2018	153509690	BUEHLMAN, KAREN	MF	JOHN HANCOCK BLUE CHIP GROWTH	S	14,012.45	140.00	5.00	135.00	85.0	114.75
10/02/2018	153509690	BUEHLMAN, KAREN	MF	THE GROWTH FUND OF AMERICA-C	S	18,012.45	180.00	5.00	175.00	85.0	148.75
10/02/2018	153509690	BUEHLMAN, KAREN	MF	WELLS FARGO GWTH BALANCED ADMIN	S	-46,420.06	0.00	5.00	-5.00	85.0	-4.25
10/03/2018	153509690	BUEHLMAN, KAREN	MF	ALGER SMALL CAP FOCUS FD CL C	S	7,012.45	70.00	5.00	65.00	85.0	55.25
10/03/2018	153509690	BUEHLMAN, KAREN	MF	BLACKROCK MIDCAP GROWTH EQUITY	S	11,012.45	110.00	5.00	105.00	85.0	89.25
10/03/2018	153509690	BUEHLMAN, KAREN	MF	FIDELITY ADVISOR INTL GROWTH FD	S	9,012.45	90.00	5.00	85.00	85.0	72.25
10/03/2018	153509690	BUEHLMAN, KAREN	MF	FUNDAMENTAL INVESTORS-C	S	14,012.45	140.00	5.00	135.00	85.0	114.75
10/03/2018	153509690	BUEHLMAN, KAREN	MF	MFS VALUE FUND-C	S	9,012.45	90.00	5.00	85.00	85.0	72.25
10/03/2018	153509690	BUEHLMAN, KAREN	MF	OPPENHEIMER DEVELOPING MARKET	S	9,012.45	90.00	5.00	85.00	85.0	72.25
09/27/2018	153619827	BELL, HOWARD	MF	FIDELITY ADVISOR INTL GROWTH FD	S	11,012.45	110.00	5.00	105.00	85.0	89.25
* MUTUAL FUNDS SUBTOTAL *						\$55,691.99	\$1,020.00	\$50.00	\$970.00		\$824.50

#### PRODUCT CATEGORY: MISCELLANEOUS

09/27/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153619827	S	0.00	10.00	0.00	10.00	85.0	8.50
09/28/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153619827	S	0.00	10.00	0.00	10.00	85.0	8.50
10/03/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/03/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/03/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/04/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/04/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/04/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/04/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/04/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
10/04/2018	a03133900	MELTON-D097, KIM	MI	MUTUAL FUND MISC FEE 153509690	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$110.00	\$0.00	\$110.00		\$93.50

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc133900	MELTON 133900	MFT	AIM DIVERSIFIED DIVIDEND FUND - B	B	0.00	2.22	0.00	2.22	85.0	1.89
10/19/2018	mfc133900	MELTON 133900	MFT	AIM DIVERSIFIED DIVIDEND FUND - B	B	0.00	2.27	0.00	2.27	85.0	1.93
10/19/2018	mfc133900	MELTON 133900	MFT	AIM GLOBAL GROWTH FUND - CLASS	B	0.00	2.06	0.00	2.06	85.0	1.75
10/19/2018	mfc133900	MELTON 133900	MFT	AIM GLOBAL GROWTH FUND - CLASS	B	0.00	2.15	0.00	2.15	85.0	1.83
10/19/2018	mfc133900	MELTON 133900	MFT	ALGER SMALL CAP FOCUS FD CL C	B	0.00	3.14	0.00	3.14	85.0	2.67

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc133900	MELTON 133900	MFT AMCAP FUND-A	B	0.00	2.35	0.00	2.35	85.0	2.00
10/19/2018	mfc133900	MELTON 133900	MFT AMCAP FUND-A	B	0.00	2.90	0.00	2.90	85.0	2.47
10/19/2018	mfc133900	MELTON 133900	MFT AMCAP FUND-A	B	0.00	4.36	0.00	4.36	85.0	3.71
10/19/2018	mfc133900	MELTON 133900	MFT BLACKROCK MULTI-ASSET INCOME FD	B	0.00	13.95	0.00	13.95	85.0	11.86
10/19/2018	mfc133900	MELTON 133900	MFT BLACKROCK BALANCED CAPITAL FD A	B	0.00	9.00	0.00	9.00	85.0	7.65
10/19/2018	mfc133900	MELTON 133900	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.75	0.00	1.75	85.0	1.49
10/19/2018	mfc133900	MELTON 133900	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	7.43	0.00	7.43	85.0	6.32
10/19/2018	mfc133900	MELTON 133900	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	10.74	0.00	10.74	85.0	9.13
10/19/2018	mfc133900	MELTON 133900	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	25.15	0.00	25.15	85.0	21.38
10/19/2018	mfc133900	MELTON 133900	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.66	0.00	0.66	85.0	0.56
10/19/2018	mfc133900	MELTON 133900	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.84	0.00	0.84	85.0	0.71
10/19/2018	mfc133900	MELTON 133900	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.17	0.00	1.17	85.0	0.99
10/19/2018	mfc133900	MELTON 133900	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.74	0.00	2.74	85.0	2.33
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO AMERICAN VALUE FUND -	B	0.00	2.27	0.00	2.27	85.0	1.93
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO AMERICAN VALUE FUND -	B	0.00	2.34	0.00	2.34	85.0	1.99
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	77.58	0.00	77.58	85.0	65.94
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	81.18	0.00	81.18	85.0	69.00
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	85.74	0.00	85.74	85.0	72.88
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	89.72	0.00	89.72	85.0	76.26
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	254.83	0.00	254.83	85.0	216.61
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	267.82	0.00	267.82	85.0	227.65
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	3.26	0.00	3.26	85.0	2.77
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	3.43	0.00	3.43	85.0	2.92
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	74.87	0.00	74.87	85.0	63.64
10/19/2018	mfc133900	MELTON 133900	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	78.68	0.00	78.68	85.0	66.88
10/19/2018	mfc133900	MELTON 133900	MFT LARGE CAP EQUITY A	B	0.00	1.63	0.00	1.63	85.0	1.39
10/19/2018	mfc133900	MELTON 133900	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	10.84	0.00	10.84	85.0	9.21
10/19/2018	mfc133900	MELTON 133900	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.20	0.00	2.20	85.0	1.87
10/19/2018	mfc133900	MELTON 133900	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.43	0.00	2.43	85.0	2.07
10/19/2018	mfc133900	MELTON 133900	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.16	0.00	4.16	85.0	3.54
10/19/2018	mfc133900	MELTON 133900	MFT NEW WORLD FUND-A	B	0.00	3.05	0.00	3.05	85.0	2.59
10/19/2018	mfc133900	MELTON 133900	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.01	0.00	0.01	85.0	0.01
10/19/2018	mfc133900	MELTON 133900	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	0.02	0.00	0.02	85.0	0.02
10/19/2018	mfc133900	MELTON 133900	MFT THE INVESTMENT COMPANY OF	B	0.00	1.91	0.00	1.91	85.0	1.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,142.85	\$0.00	\$1,142.85		\$971.46
*** CLEARING TRANSACTIONS TOTAL ***					\$55,691.99	\$2,272.85	\$50.00	\$2,222.85		\$1,889.46

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/05/2018 (None)	WHITE, JENNIFER	MF SCHOLAR'S EDGE	B	100.00	2.50	0.00	2.50	85.0	2.13
10/18/2018 00085064575	CALI, ELLEN	MF AMCAP FUND-C	B	50.00	0.50	0.00	0.50	85.0	0.43
10/18/2018 00085064575	CALI, ELLEN	MF FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	85.0	0.43
10/17/2018 00086089092	DONALD, STACEY	MF CAPITAL INCOME BUILDER-A	B	50.83	1.40	0.00	1.40	85.0	1.19
10/17/2018 00086089092	DONALD, STACEY	MF CAPITAL WORLD GROWTH AND INCOME	B	119.16	3.28	0.00	3.28	85.0	2.79
10/17/2018 00086089092	DONALD, STACEY	MF FUNDAMENTAL INVESTORS-A	B	164.58	4.53	0.00	4.53	85.0	3.85
10/17/2018 00086089092	DONALD, STACEY	MF NEW PERSPECTIVE FUND-A	B	123.75	3.40	0.00	3.40	85.0	2.89
10/15/2018 00086489661	GAMBILL, MARILYN	MF CAPITAL INCOME BUILDER-A	B	17.00	0.85	0.00	0.85	85.0	0.72
10/15/2018 00086489661	GAMBILL, MARILYN	MF FUNDAMENTAL INVESTORS-A	B	16.50	0.83	0.00	0.83	85.0	0.71
10/15/2018 00086489661	GAMBILL, MARILYN	MF NEW WORLD FUND-A	B	16.50	0.83	0.00	0.83	85.0	0.71
10/17/2018 00086995134	BALTHROP, HEATHER	MF CAPITAL INCOME BUILDER-A	B	31.25	1.17	0.00	1.17	85.0	0.99
10/17/2018 00086995134	BALTHROP, HEATHER	MF FUNDAMENTAL INVESTORS-A	B	62.50	2.34	0.00	2.34	85.0	1.99
10/17/2018 00086995134	BALTHROP, HEATHER	MF NEW WORLD FUND-A	B	31.25	1.17	0.00	1.17	85.0	0.99
10/15/2018 00087273693	ACUFF, SONYA	MF CAPITAL INCOME BUILDER-A	B	60.00	2.55	0.00	2.55	85.0	2.17
10/15/2018 00087273693	ACUFF, SONYA	MF FUNDAMENTAL INVESTORS-A	B	150.00	6.38	0.00	6.38	85.0	5.42
10/15/2018 00087273693	ACUFF, SONYA	MF NEW WORLD FUND-A	B	90.00	3.83	0.00	3.83	85.0	3.26
10/19/2018 00087337064	HASZ, MARIA	MF FUNDAMENTAL INVESTORS-A	B	75.00	3.75	0.00	3.75	85.0	3.19
10/19/2018 00087337064	HASZ, MARIA	MF NEW WORLD FUND-A	B	75.00	3.75	0.00	3.75	85.0	3.19
10/10/2018 00087408901	MCCULLAR, WHITNEY	MF CAPITAL INCOME BUILDER-A	B	90.00	3.83	0.00	3.83	85.0	3.26
10/10/2018 00087408901	MCCULLAR, WHITNEY	MF FUNDAMENTAL INVESTORS-A	B	260.00	11.05	0.00	11.05	85.0	9.39
10/15/2018 00087874320	CASEY ESSARY	MF FUNDAMENTAL INVESTORS-A	B	25.00	1.25	0.00	1.25	85.0	1.06
10/15/2018 00087874320	CASEY ESSARY	MF GLOBAL BALANCED FUND-A	B	25.00	1.25	0.00	1.25	85.0	1.06
10/15/2018 00087874320	CASEY ESSARY	MF THE NEW ECONOMY FUND-A	B	25.00	1.25	0.00	1.25	85.0	1.06
10/25/2018 00087905216	BAILEY, REBECCA	MF AMERICAN BALANCED FUND-A	B	91.00	2.50	0.00	2.50	85.0	2.13
10/25/2018 00087905216	BAILEY, REBECCA	MF FUNDAMENTAL INVESTORS-A	B	273.00	7.51	0.00	7.51	85.0	6.38
10/25/2018 00087905216	BAILEY, REBECCA	MF THE INCOME FUND OF AMERICA-A	B	91.00	2.50	0.00	2.50	85.0	2.13
10/25/2018 00087905254	BAILEY, KY	MF FUNDAMENTAL INVESTORS-A	B	295.75	8.13	0.00	8.13	85.0	6.91
10/25/2018 00087905254	BAILEY, KY	MF THE INCOME FUND OF AMERICA-A	B	159.25	4.38	0.00	4.38	85.0	3.72
10/22/2018 00088709094	BRUEN, ZOE	MF FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
10/15/2018 00088950743	HILL, JONATHAN	MF THE INCOME FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	85.0	1.81
10/15/2018 00088950824	HILL, LACEY	MF THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
10/15/2018 00088950847	HILL, LACEY	MF THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
10/15/2018 00088958030	HILL, LACEY	MF THE INCOME FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	85.0	1.81
10/15/2018 00089033081	BENNETT, DEBBIE	MF AMERICAN BALANCED FUND-C	B	180.00	1.80	0.00	1.80	85.0	1.53
10/15/2018 00089033081	BENNETT, DEBBIE	MF INCOME FUND OF AMERICA CLASS C	B	120.00	1.20	0.00	1.20	85.0	1.02
10/08/2018 00089065412	CATHEY, KAYLA	MF AMERICAN BALANCED FUND-A	B	150.00	6.38	0.00	6.38	85.0	5.42
10/08/2018 00089065412	CATHEY, KAYLA	MF FUNDAMENTAL INVESTORS-A	B	150.00	6.38	0.00	6.38	85.0	5.42
10/22/2018 00089065412	CATHEY, KAYLA	MF AMERICAN BALANCED FUND-A	B	300.00	12.75	0.00	12.75	85.0	10.84
10/22/2018 00089065412	CATHEY, KAYLA	MF FUNDAMENTAL INVESTORS-A	B	300.00	12.75	0.00	12.75	85.0	10.84
10/22/2018 00089196135	BRUEN, ZOE	MF FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	85.0	0.43

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10/24/2018 00089462646	GUY SPENCE IV,	MF COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	85.0	2.98
10/16/2018 00089471835	WALTERS, NOLAN	MF FUNDAMENTAL INVESTORS-A	B	100.00	4.25	0.00	4.25	85.0	3.61
10/10/2018 00089977876	VLACH, CYNTHIA	MF AMCAP FUND-C	B	112.50	1.13	0.00	1.13	85.0	0.96
10/10/2018 00089977876	VLACH, CYNTHIA	MF AMERICAN BALANCED FUND-C	B	150.00	1.50	0.00	1.50	85.0	1.28
10/10/2018 00089977876	VLACH, CYNTHIA	MF FUNDAMENTAL INVESTORS-C	B	112.50	1.13	0.00	1.13	85.0	0.96
10/10/2018 00089977892	VLACH, BERNARD	MF AMCAP FUND-C	B	112.50	1.13	0.00	1.13	85.0	0.96
10/10/2018 00089977892	VLACH, BERNARD	MF AMERICAN BALANCED FUND-C	B	150.00	1.50	0.00	1.50	85.0	1.28
10/10/2018 00089977892	VLACH, BERNARD	MF FUNDAMENTAL INVESTORS-C	B	112.50	1.13	0.00	1.13	85.0	0.96
10/15/2018 02500080343	TOLIVER JR,	MF AIM EUROPEAN GROWTH FUND -	B	500.00	25.00	0.00	25.00	85.0	21.25
10/15/2018 03810917009	SANDERS, DENNIS	MF AIM EUROPEAN GROWTH FUND -	B	500.00	25.00	0.00	25.00	85.0	21.25
10/17/2018 04000471844	FRAXIER, SELLUS	MF AMCAP FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
10/17/2018 04000471844	FRAXIER, SELLUS	MF CAPITAL WORLD GROWTH AND INCOME	B	15.00	0.15	0.00	0.15	85.0	0.13
10/17/2018 04000471844	FRAXIER, SELLUS	MF FUNDAMENTAL INVESTORS-C	B	25.00	0.25	0.00	0.25	85.0	0.21
10/17/2018 04000471844	FRAXIER, SELLUS	MF NEW PERSPECTIVE FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
10/17/2018 04000471844	FRAXIER, SELLUS	MF THE GROWTH FUND OF AMERICA-C	B	10.00	0.10	0.00	0.10	85.0	0.09
10/15/2018 04000483654	LUNN, JOSH	MF AMCAP FUND-A	B	114.58	5.73	0.00	5.73	85.0	4.87
10/15/2018 04000483654	LUNN, JOSH	MF CAPITAL WORLD GROWTH AND INCOME	B	91.66	4.58	0.00	4.58	85.0	3.89
10/15/2018 04000483654	LUNN, JOSH	MF FUNDAMENTAL INVESTORS-A	B	137.50	6.88	0.00	6.88	85.0	5.85
10/15/2018 04000483654	LUNN, JOSH	MF NEW PERSPECTIVE FUND-A	B	114.58	5.73	0.00	5.73	85.0	4.87
10/15/2018 04000503196	PARIS, OLIVER	MF COLLEGE 2030 FUND-529A	B	100.00	3.50	0.00	3.50	85.0	2.98
10/22/2018 04000566094	FOWLER, STEVE	MF AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	85.0	0.51
10/22/2018 04000566094	FOWLER, STEVE	MF FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00	85.0	0.85
10/22/2018 04000566094	FOWLER, STEVE	MF INCOME FUND OF AMERICA CLASS C	B	80.00	0.80	0.00	0.80	85.0	0.68
10/22/2018 04000566094	FOWLER, STEVE	MF NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	85.0	0.51
10/22/2018 04000566094	FOWLER, STEVE	MF THE GROWTH FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	85.0	0.85
10/22/2018 04000849053	ALEXANDER,	MF AMCAP FUND-C	B	97.50	0.98	0.00	0.98	85.0	0.83
10/22/2018 04000849053	ALEXANDER,	MF AMERICAN BALANCED FUND-C	B	195.00	1.95	0.00	1.95	85.0	1.66
10/22/2018 04000849053	ALEXANDER,	MF CAPITAL WORLD GROWTH AND INCOME	B	97.50	0.98	0.00	0.98	85.0	0.83
10/22/2018 04000849053	ALEXANDER,	MF FUNDAMENTAL INVESTORS-C	B	130.00	1.30	0.00	1.30	85.0	1.11
10/22/2018 04000849053	ALEXANDER,	MF THE BOND FUND OF AMERICA-C	B	130.00	1.30	0.00	1.30	85.0	1.11
10/22/2018 04000865509	BURCHAM, MELANIE	MF AMCAP FUND-A	B	91.60	4.58	0.00	4.58	85.0	3.89
10/22/2018 04000865509	BURCHAM, MELANIE	MF AMERICAN BALANCED FUND-A	B	137.40	6.87	0.00	6.87	85.0	5.84
10/22/2018 04000865509	BURCHAM, MELANIE	MF CAPITAL WORLD GROWTH AND INCOME	B	68.70	3.44	0.00	3.44	85.0	2.92
10/22/2018 04000865509	BURCHAM, MELANIE	MF FUNDAMENTAL INVESTORS-A	B	91.60	4.58	0.00	4.58	85.0	3.89
10/22/2018 04000865509	BURCHAM, MELANIE	MF NEW PERSPECTIVE FUND-A	B	68.70	3.44	0.00	3.44	85.0	2.92
10/22/2018 04000865977	BURCHAM, BENJAMIN	MF AMCAP FUND-A	B	91.60	4.58	0.00	4.58	85.0	3.89
10/22/2018 04000865977	BURCHAM, BENJAMIN	MF AMERICAN BALANCED FUND-A	B	137.40	6.87	0.00	6.87	85.0	5.84
10/22/2018 04000865977	BURCHAM, BENJAMIN	MF CAPITAL WORLD GROWTH AND INCOME	B	68.70	3.44	0.00	3.44	85.0	2.92
10/22/2018 04000865977	BURCHAM, BENJAMIN	MF FUNDAMENTAL INVESTORS-A	B	91.60	4.58	0.00	4.58	85.0	3.89
10/22/2018 04000865977	BURCHAM, BENJAMIN	MF NEW PERSPECTIVE FUND-A	B	68.70	3.44	0.00	3.44	85.0	2.92
10/22/2018 04000944226	BYRD, LOGAN	MF COLLEGE 2036 FUND-529A	B	75.00	2.63	0.00	2.63	85.0	2.24
10/22/2018 04000944318	BYRD, LOGAN	MF COLLEGE 2036 FUND-529A	B	100.00	3.50	0.00	3.50	85.0	2.98
10/15/2018 04001123091	SCHMITT, JEFFREY	MF AMCAP FUND-C	B	108.33	1.08	0.00	1.08	85.0	0.92
10/15/2018 04001123091	SCHMITT, JEFFREY	MF CAPITAL WORLD GROWTH AND INCOME	B	108.33	1.08	0.00	1.08	85.0	0.92

COMMISSION STATEMENT for KIM MELTON-D097

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/15/2018 04001123091	SCHMITT, JEFFREY	MF FUNDAMENTAL INVESTORS-C	B	108.33	1.08	0.00	1.08	85.0	0.92
10/15/2018 04001123091	SCHMITT, JEFFREY	MF THE NEW ECONOMY FUND-C	B	108.33	1.08	0.00	1.08	85.0	0.92
10/18/2018 04001138322	CALI, ELLEN	MF NEW PERSPECTIVE FUND-A	B	150.00	7.50	0.00	7.50	85.0	6.38
10/18/2018 04001138322	CALI, ELLEN	MF THE GROWTH FUND OF AMERICA-A	B	150.00	7.50	0.00	7.50	85.0	6.38
10/18/2018 04001148154	CALI, ELLEN	MF COLLEGE 2036 FUND-529A	B	50.00	1.75	0.00	1.75	85.0	1.49
10/15/2018 04001167316	STOLTZFUSJ	MF AMCAP FUND-A	B	100.00	5.00	0.00	5.00	85.0	4.25
10/15/2018 04001167316	STOLTZFUSJ	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	85.0	4.25
10/15/2018 04001167316	STOLTZFUSJ	MF FUNDAMENTAL INVESTORS-A	B	100.00	5.00	0.00	5.00	85.0	4.25
10/15/2018 04001167316	STOLTZFUSJ	MF THE NEW ECONOMY FUND-A	B	100.00	5.00	0.00	5.00	85.0	4.25
10/15/2018 04040692503	SANDERS UTMA/MS,	MF AIM EUROPEAN GROWTH FUND -	B	400.00	20.00	0.00	20.00	85.0	17.00
10/15/2018 04040692511	SANDERS, DEBRA	MF AIM EUROPEAN GROWTH FUND -	B	500.00	25.00	0.00	25.00	85.0	21.25
10/15/2018 08191285397	YODER, MARK	MF MFS AGGRESSIVE GROWTH	B	541.66	21.67	0.00	21.67	85.0	18.42
10/15/2018 08191328972	YODER, ANGELA	MF MFS GROWTH ALLOCATION FUND-A	B	520.83	26.04	0.00	26.04	85.0	22.13
* MUTUAL FUNDS SUBTOTAL *				<u>\$11,993.45</u>	<u>\$426.72</u>	<u>\$0.00</u>	<u>\$426.72</u>		<u>\$362.77</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None)	HOPKINSVILLE ELEVATOR	MFT FIDELITY ADVISOR 401K	B	0.00	5,624.27	0.00	5,624.27	85.0	4,780.63
10/08/2018 00088935832	TAYLOR, JACKSON	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	46.89	0.00	46.89	85.0	39.86
10/08/2018 00089683456	TAYLOR, JACKSON	MFT DEUTSCHE UNCONSTRAINED INCOME	B	0.00	17.31	0.00	17.31	85.0	14.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$5,688.47</u>	<u>\$0.00</u>	<u>\$5,688.47</u>		<u>\$4,835.20</u>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/11/2018 VM15007782	EMISON, STEVEN	VAT PACIFIC LIFE VA 69448B191	B	0.00	239.80	0.00	239.80	85.0	203.83
10/17/2018 VM17005894	LAMB	VAT PACIFIC LIFE VA 69448B191	B	0.00	142.99	0.00	142.99	85.0	121.54
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$382.79</u>	<u>\$0.00</u>	<u>\$382.79</u>		<u>\$325.37</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$11,993.45</u>	<u>\$6,497.98</u>	<u>\$0.00</u>	<u>\$6,497.98</u>		<u>\$5,523.34</u>

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
10/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,412.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$7,227.80</b>
<b>Year-to-date Commissions</b>	<b>\$117,850.03</b>