



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/23/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @31.9700						
11/23/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @31.9700						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @31.9700						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @31.9700						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.2600						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.2600						
11/23/2018	***-**-0521 - CRUMRINE, MONICA	AMERICAN BALANCED FUND-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @27.9800						
11/20/2018	***-**-7938 - WENGERT, CRAIG	AMERICAN FUNDS 2020 TARGET DATE-A	1,184.68	50.35	0.00	50.35	75.0	37.76
	ACCOUNT #: 04001087396	BUY 91 Units @12.9500						
11/21/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @12.9100						
11/21/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	40.07	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00085629087	BUY 3 Units @12.9100						
11/23/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.6100						
11/23/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	3.75	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 00086069280	BUY 0 Units @12.6100						
11/20/2018	***-**-7938 - WENGERT, CRAIG	AMERICAN FUNDS 2025 TARGET DATE-A	1,767.93	75.14	0.00	75.14	75.0	56.36
	ACCOUNT #: 04001087396	BUY 128 Units @13.7900						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN FUNDS 2025 TARGET DATE-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 8 Units @13.7100						
11/23/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2030 TARGET DATE-A	15.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 04000501282	BUY 1 Units @14.6700						
11/21/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	51.40	1.93	0.00	1.93	75.0	1.45
	ACCOUNT #: 04000070058	BUY 3 Units @15.1100						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/21/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	49.97	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 04000070058	BUY 3 Units @15.1100						
11/23/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2035 TARGET DATE-A	15.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 04000501282	BUY 1 Units @15.0400						
11/23/2018	***-**-4719 - MARTIN, KRISTY	AMERICAN FUNDS 2035 TARGET DATE-A	126.77	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: 00088870706	BUY 8 Units @15.2400						
11/23/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2040 TARGET DATE-A	20.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 04000501282	BUY 1 Units @15.3700						
11/23/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2040 TARGET DATE-A	80.00	4.00	0.00	4.00	75.0	3.00
	ACCOUNT #: 04000747642	BUY 5 Units @15.5800						
11/19/2018	***-**-3291 - REILLY, BETHANY	AMERICAN FUNDS 2045 TARGET DATE-A	160.00	2.56	0.00	2.56	75.0	1.92
	ACCOUNT #: 04000139353	BUY 10 Units @15.4100						
11/19/2018	***-**-3291 - REILLY, BETHANY	AMERICAN FUNDS 2045 TARGET DATE-A	67.80	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: 04000139353	BUY 4 Units @15.4100						
11/21/2018	***-**-8119 - ROY, CALI	AMERICAN FUNDS 2045 TARGET DATE-A	32.22	1.21	0.00	1.21	75.0	0.91
	ACCOUNT #: 04001246972	BUY 2 Units @15.6900						
11/21/2018	***-**-8119 - ROY, CALI	AMERICAN FUNDS 2045 TARGET DATE-A	32.24	1.21	0.00	1.21	75.0	0.91
	ACCOUNT #: 04001246972	BUY 2 Units @15.6900						
11/21/2018	***-**-1126 - GLASS, KAYLA	AMERICAN FUNDS 2045 TARGET DATE-A	107.45	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: 04001247049	BUY 7 Units @15.6900						
11/21/2018	***-**-1126 - GLASS, KAYLA	AMERICAN FUNDS 2045 TARGET DATE-A	53.92	2.02	0.00	2.02	75.0	1.52
	ACCOUNT #: 04001247049	BUY 3 Units @15.6900						
11/23/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2045 TARGET DATE-A	20.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 04000747642	BUY 1 Units @15.8200						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN HIGH-INCOME TRUST-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 11 Units @10.2600						
11/13/2018	***-**-011N - MARNELL, CARMEL	CAPITAL APPRECIATION A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 03212148367	BUY 0 Units @0.0000						
11/15/2018	***-**-3335 - SPENCER, DAVID	CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03220677006	BUY 0 Units @0.0000						
11/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @57.8700						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @57.8700						
11/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @57.8700						
11/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @57.8700						
11/19/2018	***-**-7671 - REILLY, RICHARD	CAPITAL INCOME BUILDER-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488673	BUY 3 Units @59.7700						
11/19/2018	***-**-8307 - REILLY, KATHY	CAPITAL INCOME BUILDER-A	9.60	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00063488674	BUY 0 Units @59.7700						
11/19/2018	***-**-7671 - REILLY, RICHARD	CAPITAL INCOME BUILDER-A	19.20	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 00063488673	BUY 0 Units @59.7700						
11/19/2018	***-**-8307 - REILLY, KATHY	CAPITAL INCOME BUILDER-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488674	BUY 3 Units @59.7700						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	CAPITAL INCOME BUILDER-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @60.5700						
11/19/2018	***-**-7671 - REILLY, RICHARD	CAPITAL WORLD BOND FUND-A	19.20	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 00063488673	BUY 1 Units @19.4200						
11/19/2018	***-**-7671 - REILLY, RICHARD	CAPITAL WORLD BOND FUND-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488673	BUY 10 Units @19.4200						
11/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @18.6400						
11/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.62	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @18.6400						
11/21/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @48.8300						
11/21/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @48.8300						
11/23/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @47.5100						
11/23/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @47.5100						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/23/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @47.5100						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @47.5100						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	CAPITAL WORLD GROWTH AND INCOME FUN	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @48.5000						
11/23/2018	***-**-0521 - CRUMRINE, MONICA	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00084226912	BUY 0 Units @48.7600						
11/23/2018	***-**-4913 - ELSENBECK, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00082651124	BUY 1 Units @49.1500						
11/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @45.7700						
11/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @45.7700						
11/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @45.7700						
11/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @45.7700						
11/13/2018	***-**-01IN - MARNELL, CARMEL	EMERGING MARKETS INNOVATORS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100109770	BUY 0 Units @0.0000						
11/01/2018	***-**-8166 - SUYDAM, JERRY	EQUITY INCOME FUND, INC. C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 08370430485	BUY 0 Units @0.0000						
11/23/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @60.7300						
11/23/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @60.7300						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	FUNDAMENTAL INVESTORS-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @62.0000						
11/23/2018	***-**-1001 - FLAHERTY, KELLY	FUNDAMENTAL INVESTORS-A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00081241053	BUY 0 Units @62.8200						
11/23/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @58.8300						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/23/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @58.8300						
11/13/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
11/13/2018	***-**-01IN - MARNELL, CARMEL	GLOBAL FUND A	40.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: 03308022016	BUY 0 Units @0.0000						
11/23/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @21.9300						
11/23/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @21.9300						
11/19/2018	***-**-8307 - REILLY, KATHY	INTERMEDIATE BOND FUND OF AMERICA-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488674	BUY 14 Units @13.3700						
11/19/2018	***-**-8307 - REILLY, KATHY	INTERMEDIATE BOND FUND OF AMERICA-A	9.60	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00063488674	BUY 1 Units @13.3700						
11/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 07024172894	BUY 0 Units @0.0000						
11/01/2018	***-**-8166 - SUYDAM, JERRY	MAIN STREET FUND C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 07024172916	BUY 0 Units @0.0000						
11/15/2018	***-**-3335 - SPENCER, DAVID	MAIN STREET FUND C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 07022642860	BUY 0 Units @0.0000						
11/23/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @42.5800						
11/23/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @42.5800						
11/19/2018	***-**-7671 - REILLY, RICHARD	NEW WORLD FUND-A	19.20	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 00063488673	BUY 0 Units @60.8900						
11/19/2018	***-**-7671 - REILLY, RICHARD	NEW WORLD FUND-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488673	BUY 3 Units @60.8900						
11/21/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @62.3100						
11/21/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @62.3100						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/23/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @60.7400						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @60.7400						
11/23/2018	***-**-277I - CESARE, CYNTHIA	NEW WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @62.0100						
11/23/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @56.8500						
11/23/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @56.8500						
11/13/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
11/13/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER CORE BOND FUND A	40.00	1.60	0.00	1.60	75.0	1.20
	ACCOUNT #: 02852540989	BUY 0 Units @0.0000						
11/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
11/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER MAIN STREET OPPORTUNITY	100.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 07331164691	BUY 0 Units @0.0000						
11/01/2018	***-**-8166 - SUYDAM, JERRY	OPPENHEIMER MAIN STREET OPPORTUNITY	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 07331164724	BUY 0 Units @0.0000						
11/13/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
11/13/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER SMALL & MID CAP VALUE F	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02515071616	BUY 0 Units @0.0000						
11/13/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER STRATEGIC INCOME FD CL	20.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 02307440689	BUY 0 Units @0.0000						
11/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED FUND C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 02592264273	BUY 0 Units @0.0000						
11/01/2018	***-**-8166 - SUYDAM, JERRY	QUEST BALANCED FUND C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 02592264306	BUY 0 Units @0.0000						
11/13/2018	***-**-01IN - MARNELL, CARMEL	RIISING DIVIDENDS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02254838053	BUY 0 Units @0.0000						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/13/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
11/13/2018	***-**-01IN - MARNELL, CARMEL	SMALL CAP VALUE FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100051250	BUY 0 Units @0.0000						
11/21/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @55.2000						
11/21/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @55.2000						
11/23/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @54.1700						
11/23/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @54.1700						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @54.1700						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @54.1700						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	SMALLCAP WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @55.3100						
11/23/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @46.1300						
11/23/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @46.1300						
11/19/2018	***-**-8307 - REILLY, KATHY	THE BOND FUND OF AMERICA-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488674	BUY 15 Units @12.6500						
11/19/2018	***-**-8307 - REILLY, KATHY	THE BOND FUND OF AMERICA-A	9.60	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00063488674	BUY 1 Units @12.6500						
11/21/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @12.8600						
11/21/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @12.8600						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.7100						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/23/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.7100						
11/23/2018	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @12.8700						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	THE BOND FUND OF AMERICA-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 9 Units @12.8700						
11/23/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.3900						
11/23/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.3900						
11/23/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.3900						
11/23/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.37	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @12.3900						
11/19/2018	***-**-8307 - REILLY, KATHY	THE GROWTH FUND OF AMERICA-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488674	BUY 4 Units @51.3600						
11/19/2018	***-**-8307 - REILLY, KATHY	THE GROWTH FUND OF AMERICA-A	9.60	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00063488674	BUY 0 Units @51.3600						
11/19/2018	***-**-7671 - REILLY, RICHARD	THE GROWTH FUND OF AMERICA-A	19.20	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 00063488673	BUY 0 Units @51.3600						
11/19/2018	***-**-7671 - REILLY, RICHARD	THE GROWTH FUND OF AMERICA-A	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488673	BUY 4 Units @51.3600						
11/21/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @52.3400						
11/21/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @52.3400						
11/23/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @50.9100						
11/23/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @50.9100						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @50.9100						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/23/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @50.9100						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	THE GROWTH FUND OF AMERICA-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @51.9800						
11/23/2018	***-**-0521 - CRUMRINE, MONICA	THE GROWTH FUND OF AMERICA-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @52.2500						
11/23/2018	***-**-4913 - ELSENBECK, DAVID	THE GROWTH FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00082651124	BUY 1 Units @52.6700						
11/23/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @45.7200						
11/23/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @45.7200						
11/23/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @45.7200						
11/23/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @45.7200						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @22.8000						
11/23/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @22.8000						
11/23/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @22.8000						
11/23/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @22.8000						
11/23/2018	***-**-2771 - CESARE, CYNTHIA	THE NEW ECONOMY FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @45.8500						
11/19/2018	***-**-8307 - REILLY, KATHY	U.S. GOVERNMENT SECURITIES FUND CLA	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488674	BUY 14 Units @13.5500						
11/19/2018	***-**-8307 - REILLY, KATHY	U.S. GOVERNMENT SECURITIES FUND CLA	9.60	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00063488674	BUY 1 Units @13.5500						
11/19/2018	***-**-7671 - REILLY, RICHARD	U.S. GOVERNMENT SECURITIES FUND CLA	19.20	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 00063488673	BUY 1 Units @13.5500						



COMMISSION STATEMENT

November 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/19/2018	***-**-7671 - REILLY, RICHARD	U.S. GOVERNMENT SECURITIES FUND CLA	192.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 00063488673	BUY 14 Units @13.5500						
11/23/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.2400						
11/23/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.16	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.2400						
11/23/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @45.1500						
11/23/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @45.1500						
* MUTUAL FUNDS SUBTOTAL *			\$9,857.84	\$295.81	\$0.00	\$295.81		\$221.90
PRODUCT CATEGORY: STOCKS								
11/20/2018	***-**-10IO - PARKOLA, KATHRYN	AURORA CANNABIS INC COM NPV ISIN #C	1,527.50	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000194	BUY 250 Units @6.1100						
11/20/2018	***-**-12IO - HANRETTY,	BARCLAYS BK PLC IPATH S&P 500 VIX S	1,970.20	0.00	9.49	-9.49	100.0	-9.49
	ACCOUNT #: LSF000305	SELL 50 Units @39.4040						
11/20/2018	***-**-10IO - PARKOLA, KATHRYN	ETFMG ALTERNATIVE HARVEST ETF	2,927.20	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000194	BUY 100 Units @29.2720						
11/20/2018	***-**-12IO - HANRETTY,	EVOLUS INC COM	1,817.27	0.00	10.29	-10.29	100.0	-10.29
	ACCOUNT #: LSF000305	BUY 130 Units @13.9790						
11/20/2018	***-**-10IO - PARKOLA, KATHRYN	HORIZONS MARIJUANA LIFE SCIENCES IN	990.30	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000194	BUY 75 Units @13.2040						
11/20/2018	***-**-10IO - PARKOLA, KATHRYN	HORIZONS MARIJUANA LIFE SCIENCES IN	1,320.40	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000194	BUY 100 Units @13.2040						
11/20/2018	***-**-10IO - PARKOLA, KATHRYN	VANGUARD BD INDEX FD INC SHORT TERM	5,851.50	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000194	SELL 75 Units @78.0200						
11/20/2018	***-**-58IO - SPINELLA, CAROL	VANGUARD INDEX FDS VANGUARD GROWTH	10,053.14	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000193	BUY 72 Units @139.6270						
11/20/2018	***-**-58IO - SPINELLA, CAROL	VANGUARD INDEX FDS VANGUARD VALUE E	9,949.73	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000193	BUY 95 Units @104.7340						
* STOCKS SUBTOTAL *			\$36,407.24	\$0.00	\$19.78	\$-19.78		\$-19.78



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>ADJUSTMENTS (continued)</div> <div> <div>*** BROKER TRANSACTIONS TOTAL ***</div> <div> <div>\$46,265.08</div> <div>\$295.81</div> <div>\$19.78</div> <div>\$276.03</div> <div>\$202.12</div> </div> </div> <div> <div>BROKER COMMISSION TOTALS</div> <div> <div>Broker Transactions</div> <div>\$202.12</div> </div> <div> <div>Split Transactions</div> <div>\$0.00</div> </div> <div> <div>Override Transactions</div> <div>\$0.00</div> </div> <div> <div>Adjustments</div> <div>\$0.00</div> </div> <div> <div>Payroll Draw</div> <div>\$0.00</div> </div> <div> <div>Base Salary</div> <div>\$0.00</div> </div> <div> <div>Prior Period Balance</div> <div>\$0.00</div> </div> <div> <div>FINRA Assessment</div> <div>\$0.00</div> </div> <div> <div>SIPC Assessment</div> <div>\$0.00</div> </div> </div> <div> <div>Net Commission Earned</div> <div>\$202.12</div> </div> <div> <div>Year-to-date Earnings</div> <div>\$75,999.37</div> </div>								