



# COMMISSION STATEMENT

## November 19, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/08/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	32.83	1.40	0.00	1.40	80.0	1.12
	ACCOUNT #: 04001030377	BUY 1 Units @34.8200						
11/08/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	19.70	0.84	0.00	0.84	80.0	0.67
	ACCOUNT #: 04001030377	BUY 1 Units @34.8200						
11/13/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	22.25	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 04001030377	BUY 1 Units @33.6000						
11/13/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	13.35	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 04001030377	BUY 0 Units @33.6000						
11/15/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @33.3500						
11/08/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	118.36	5.03	0.00	5.03	80.0	4.02
	ACCOUNT #: 04001030237	BUY 6 Units @20.0200						
11/08/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	33.82	1.44	0.00	1.44	80.0	1.15
	ACCOUNT #: 04001030237	BUY 2 Units @20.0200						
11/13/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	33.29	1.41	0.00	1.41	80.0	1.13
	ACCOUNT #: 04001030237	BUY 2 Units @19.4900						
11/13/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	116.50	4.95	0.00	4.95	80.0	3.96
	ACCOUNT #: 04001030237	BUY 6 Units @19.4900						
10/10/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	-11.02	-0.47	0.00	-0.47	80.0	-0.38
	ACCOUNT #: 04000812765	BUY 0 Units @28.6300						
10/10/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	-5.97	-0.25	0.00	-0.25	80.0	-0.20
	ACCOUNT #: 04000812765	BUY 0 Units @28.6300						
11/05/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.0100						
11/05/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.0100						
11/05/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
11/05/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
11/07/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	26.60	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000812765	BUY 1 Units @28.7500						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/07/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	17.66	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 04000812765	BUY 1 Units @28.7500						
11/09/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.7100						
11/09/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @27.7100						
11/09/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.7100						
11/09/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.7100						
11/09/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.7100						
11/09/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.7100						
11/09/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.7100						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.30	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.30	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	29.31	1.10	0.00	1.10	80.0	0.88
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	29.31	1.10	0.00	1.10	80.0	0.88
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.35	1.03	0.00	1.03	80.0	0.82
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						
11/12/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.35	1.03	0.00	1.03	80.0	0.82
	ACCOUNT #: 04000627501	BUY 1 Units @28.2600						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/14/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.8500						
11/14/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.8500						
11/14/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.8500						
11/14/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @27.8500						
11/14/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	18.23	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 04000812765	BUY 1 Units @28.1500						
11/14/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	17.36	0.65	0.00	0.65	80.0	0.52
	ACCOUNT #: 04000812765	BUY 1 Units @28.1500						
11/16/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.5400						
11/16/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @27.5400						
11/16/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.5400						
11/16/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.5400						
11/16/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.5400						
11/16/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.5400						
11/16/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.5400						
11/08/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	26.08	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 04001030342	BUY 1 Units @27.2000						
11/08/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @27.2000						
11/13/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.29	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @26.7400						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/13/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @26.7400						
11/05/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9000						
11/05/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @12.9000						
11/14/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.8400						
11/14/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @12.8400						
11/07/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000812746	BUY 5 Units @15.2300						
11/08/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	48.74	2.07	0.00	2.07	80.0	1.66
	ACCOUNT #: 04001030467	BUY 3 Units @15.2400						
11/08/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	27.05	1.15	0.00	1.15	80.0	0.92
	ACCOUNT #: 04001030268	BUY 2 Units @15.2400						
11/08/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	45.09	1.92	0.00	1.92	80.0	1.54
	ACCOUNT #: 04001030268	BUY 3 Units @15.2400						
11/08/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.24	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 04001030256	BUY 2 Units @15.2400						
11/08/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.24	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 04001030256	BUY 2 Units @15.2400						
11/08/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	68.41	2.91	0.00	2.91	80.0	2.33
	ACCOUNT #: 04001030247	BUY 4 Units @15.2400						
11/08/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.32	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 04001030247	BUY 2 Units @15.2400						
11/08/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	6.80	0.00	6.80	80.0	5.44
	ACCOUNT #: 04001030467	BUY 10 Units @15.2400						
11/13/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	6.80	0.00	6.80	80.0	5.44
	ACCOUNT #: 04001030467	BUY 11 Units @14.9500						
11/13/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	27.36	1.16	0.00	1.16	80.0	0.93
	ACCOUNT #: 04001030268	BUY 2 Units @14.9500						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/13/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	45.60	1.94	0.00	1.94	80.0	1.55
	ACCOUNT #: 04001030268	BUY 3 Units @14.9500						
11/13/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.44	1.29	0.00	1.29	80.0	1.03
	ACCOUNT #: 04001030256	BUY 2 Units @14.9500						
11/13/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.44	1.29	0.00	1.29	80.0	1.03
	ACCOUNT #: 04001030256	BUY 2 Units @14.9500						
11/13/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.18	1.24	0.00	1.24	80.0	0.99
	ACCOUNT #: 04001030247	BUY 2 Units @14.9500						
11/13/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	68.09	2.89	0.00	2.89	80.0	2.31
	ACCOUNT #: 04001030247	BUY 5 Units @14.9500						
11/13/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	48.01	2.04	0.00	2.04	80.0	1.63
	ACCOUNT #: 04001030467	BUY 3 Units @14.9500						
11/14/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000812746	BUY 5 Units @14.8400						
11/08/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 18 Units @14.1800						
11/08/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @14.1800						
11/13/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @13.9100						
11/13/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 18 Units @13.9100						
11/05/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.2800						
11/05/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.2800						
11/07/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000813270	BUY 5 Units @15.7400						
11/14/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.0900						
11/14/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.0900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/14/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000813270	BUY 5 Units @15.2500						
10/10/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	-10.00	-0.43	0.00	-0.43	80.0	-0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.0500						
10/10/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	-10.00	-0.43	0.00	-0.43	80.0	-0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.0500						
11/07/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 04000812773	BUY 1 Units @16.1500						
11/07/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 04000812773	BUY 1 Units @16.1500						
11/07/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	11.25	0.00	11.25	80.0	9.00
	ACCOUNT #: 04000812733	BUY 19 Units @16.1500						
11/07/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000812733	BUY 5 Units @16.1500						
11/08/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 04001030442	BUY 5 Units @16.1500						
11/08/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	10.20	0.00	10.20	80.0	8.16
	ACCOUNT #: 04001030442	BUY 15 Units @16.1500						
11/08/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	68.00	2.89	0.00	2.89	80.0	2.31
	ACCOUNT #: 04001030412	BUY 4 Units @16.1500						
11/08/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	20.40	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04001030412	BUY 1 Units @16.1500						
11/08/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	250.00	10.63	0.00	10.63	80.0	8.50
	ACCOUNT #: 04001030407	BUY 15 Units @16.1500						
11/08/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	30.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04001030407	BUY 2 Units @16.1500						
11/13/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	25.20	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 04000627489	BUY 2 Units @15.6500						
11/13/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @15.6500						
11/13/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 04001030442	BUY 5 Units @15.7400						



# COMMISSION STATEMENT

## November 19, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/13/2018	***-**-0355 - PERREAULT, ACCOUNT #: 04001030442	AMERICAN FUNDS 2040 TARGET DATE-A BUY 15 Units @15.7400	240.00	10.20	0.00	10.20	80.0	8.16
11/13/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @15.7400	20.09	0.85	0.00	0.85	80.0	0.68
11/13/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 4 Units @15.7400	66.98	2.85	0.00	2.85	80.0	2.28
11/13/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 3 Units @15.7400	47.25	2.01	0.00	2.01	80.0	1.61
11/13/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 16 Units @15.7400	250.00	10.63	0.00	10.63	80.0	8.50
11/14/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @15.6000	10.00	0.38	0.00	0.38	80.0	0.30
11/14/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @15.6000	10.00	0.38	0.00	0.38	80.0	0.30
11/14/2018	***-**-6609 - GAUDIOSI, ACCOUNT #: 04000812733	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.6000	81.00	3.04	0.00	3.04	80.0	2.43
11/14/2018	***-**-6609 - GAUDIOSI, ACCOUNT #: 04000812733	AMERICAN FUNDS 2040 TARGET DATE-A BUY 19 Units @15.6000	300.00	11.25	0.00	11.25	80.0	9.00
11/05/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.5900	50.30	1.38	0.00	1.38	80.0	1.10
11/05/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @15.5900	100.00	2.75	0.00	2.75	80.0	2.20
11/05/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @15.5900	187.00	5.14	0.00	5.14	80.0	4.11
11/05/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.5900	45.41	1.25	0.00	1.25	80.0	1.00
11/08/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.0800	33.00	1.40	0.00	1.40	80.0	1.12
11/08/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.0800	33.00	1.40	0.00	1.40	80.0	1.12
11/13/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @15.6600	30.93	1.31	0.00	1.31	80.0	1.05



# COMMISSION STATEMENT

## November 19, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/13/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	30.93	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04001030287	BUY 2 Units @15.6600						
11/14/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.3600						
11/14/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 7 Units @15.3600						
11/14/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.3600						
11/14/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.3600						
11/08/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	24.12	1.03	0.00	1.03	80.0	0.82
	ACCOUNT #: 04001030422	BUY 2 Units @13.1700						
11/08/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	14.47	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 04001030422	BUY 1 Units @13.1700						
11/09/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.5800						
11/09/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.5800						
11/13/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	23.30	0.99	0.00	0.99	80.0	0.79
	ACCOUNT #: 04001030422	BUY 2 Units @12.8200						
11/13/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	13.98	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 04001030422	BUY 1 Units @12.8200						
11/16/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.4700						
11/16/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.4700						
11/12/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @14.5900						
11/12/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	33.63	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00085621852	BUY 2 Units @14.5900						
11/12/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @14.5900						





# COMMISSION STATEMENT

## November 19, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/12/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.5900	36.56	0.37	0.00	0.37	80.0	0.30
11/12/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.5900	35.72	0.36	0.00	0.36	80.0	0.29
11/12/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.5900	45.00	0.45	0.00	0.45	80.0	0.36
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.5900	240.50	2.41	0.00	2.41	80.0	1.93
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.5900	66.96	0.67	0.00	0.67	80.0	0.54
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.5900	240.50	2.41	0.00	2.41	80.0	1.93
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.5900	66.96	0.67	0.00	0.67	80.0	0.54
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.5900	240.50	2.41	0.00	2.41	80.0	1.93
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.5900	66.96	0.67	0.00	0.67	80.0	0.54
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.5900	66.96	0.67	0.00	0.67	80.0	0.54
11/12/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.5900	240.50	2.41	0.00	2.41	80.0	1.93
11/12/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.5900	45.00	0.45	0.00	0.45	80.0	0.36
11/12/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.5900	37.78	0.38	0.00	0.38	80.0	0.30
11/08/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 1 Units @44.5300	32.82	1.39	0.00	1.39	80.0	1.11
11/08/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @44.5300	19.69	0.84	0.00	0.84	80.0	0.67
11/13/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @43.8000	13.34	0.57	0.00	0.57	80.0	0.46



# COMMISSION STATEMENT

## November 19, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/13/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	22.24	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 04001030377	BUY 1 Units @43.8000						
11/15/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 00067807144	BUY 3 Units @49.2200						
11/09/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 000060177222593	BUY 1 Units @104.3700						
11/09/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 000090236508494	BUY 32 Units @2.3500						
11/09/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 000000007745197	BUY 2 Units @32.2000						
11/09/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 000006984020841	BUY 6 Units @12.1600						
11/08/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	18.96	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 04001030438	BUY 0 Units @48.9900						
11/08/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	50.56	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 04001030438	BUY 1 Units @48.9900						
11/13/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	15.45	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 04001030438	BUY 0 Units @47.1500						
11/13/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	41.19	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 04001030438	BUY 1 Units @47.1500						
11/09/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.3600						
11/16/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.4700						
11/15/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 3 Units @46.8000						
11/08/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	33.70	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 04001030438	BUY 1 Units @45.4000						
11/08/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.64	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @45.4000						
11/13/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	10.30	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 04001030438	BUY 0 Units @44.3400						



# COMMISSION STATEMENT

## November 19, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
11/13/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	27.46	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 04001030438	BUY 1 Units @44.3400						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$12,334.09</b>	<b>\$307.84</b>	<b>\$0.00</b>	<b>\$307.84</b>		<b>\$246.19</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
11/06/2018	***-**-848I - STEINBACHER,	LINCOLN BENEFIT LIFE CUSIP 53404B11	3.39	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 357287614	BUY 0 Units @0.0000						
11/06/2018	***-**-848I - STEINBACHER,	LINCOLN BENEFIT LIFE CUSIP 53404B11	3.39	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 357287614	BUY 0 Units @0.0000						
11/06/2018	***-**-0216 - STEINBACHER,	LINCOLN BENEFIT LIFE CUSIP 53404B11	5.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 357287615	BUY 0 Units @0.0000						
11/06/2018	***-**-0216 - STEINBACHER,	LINCOLN BENEFIT LIFE CUSIP 53404B11	5.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 357287615	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$17.78</b>	<b>\$0.31</b>	<b>\$0.00</b>	<b>\$0.31</b>		<b>\$0.25</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/19/2018	***-**-7960 - CARVAJAL, JUDITH	AF MODERATE GROWTH AND INCOME-A	0.00	2.17	0.00	2.17	80.0	1.74
	ACCOUNT #: LSR011337	BUY 1 Units @0.0000						
10/19/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	0.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: LSR010802	BUY 1 Units @0.0000						
10/19/2018	***-**-404X - SCHROETER, LAURA	AMERICAN 2025 TARGET DATE RETIREMNT	0.00	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: LSR010799	BUY 1 Units @0.0000						
10/19/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.76	0.00	1.76	80.0	1.41
	ACCOUNT #: LSR010789	BUY 1 Units @0.0000						
10/19/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	33.66	0.00	33.66	80.0	26.93
	ACCOUNT #: LSR010800	BUY 1 Units @0.0000						
10/19/2018	***-**-032X - MONACO, SARAH	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	26.49	0.00	26.49	80.0	21.19
	ACCOUNT #: LSR010801	BUY 1 Units @0.0000						
10/19/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.72	0.00	1.72	80.0	1.38
	ACCOUNT #: LSR011310	BUY 1 Units @0.0000						
10/19/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	41.28	0.00	41.28	80.0	33.02
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

November 19, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	26.85	0.00	26.85	80.0	21.48
10/19/2018	***-**-558X - FAMIGLIETTI, ACCOUNT #: LSR010788	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	3.03	0.00	3.03	80.0	2.42
10/19/2018	***-**-990I - GAW, PATRICIA ACCOUNT #: LSR010840	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	16.72	0.00	16.72	80.0	13.38
10/19/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	14.65	0.00	14.65	80.0	11.72
10/19/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	4.59	0.00	4.59	80.0	3.67
11/19/2018	***-**-235I - DASILVA, BRANDON ACCOUNT #: 68701113601	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	94.72	0.00	94.72	80.0	75.78
10/19/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	6.76	0.00	6.76	80.0	5.41
10/19/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	GLOBAL FUND A BUY 1 Units @0.0000	0.00	4.78	0.00	4.78	80.0	3.82
10/19/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	80.0	2.08
10/19/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	OPPENHEIMER CORE BOND FUND A BUY 1 Units @0.0000	0.00	4.96	0.00	4.96	80.0	3.97
10/19/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	OPPENHEIMER U.S. GOVT TR CLASS A BUY 1 Units @0.0000	0.00	4.91	0.00	4.91	80.0	3.93
11/19/2018	***-**-657Q - EDWARD SEGAL ACCOUNT #: 00670223965	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	377.93	0.00	377.93	80.0	302.35
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$670.27</b>	<b>\$0.00</b>	<b>\$670.27</b>		<b>\$536.23</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$12,351.87</b>	<b>\$978.42</b>	<b>\$0.00</b>	<b>\$978.42</b>		<b>\$782.67</b>



**BROKER #:** 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$487.05
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$782.67
						Year-to-date Earnings		\$99,760.38