

# COMMISSION STATEMENT

November 30, 2018

 SPENCER JOHNSON-383056  
 422 Main Street

 BROKER #: 383056  
 BRANCH: SAMPLE HOME OFFICE

Cedar Falls, IA 50613

## COMMISSION STATEMENT for SPENCER JOHNSON-383056

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
11/20/2018 431392912	KAVANAGH, KATHLEEN	MF VANGUARD TOTAL STOCK MKT INDEX	S	5,412.45	0.00	2.50	-2.50	21.0	-0.53
10/31/2018 800389273	WOJCIECHOWSKI,	MF RUSSELL LIFEPOINTS BAL STRATEGY	S	-5,812.04	0.00	5.00	-5.00	21.0	-1.05
11/01/2018 800389273	WOJCIECHOWSKI,	MF VANGUARD TOTAL STOCK MKT INDEX	S	5,607.45	0.00	5.00	-5.00	21.0	-1.05
10/30/2018 800393769	WOJCIECHOWSKI,	MF VANGUARD TOTAL STOCK MKT INDEX	S	1,607.45	0.00	5.00	-5.00	21.0	-1.05
10/30/2018 800401067	WOJCIECHOWSKI,	MF VANGUARD TOTAL STOCK MKT INDEX	S	6,607.45	0.00	5.00	-5.00	21.0	-1.05
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$13,422.76</b>	<b>\$0.00</b>	<b>\$22.50</b>	<b>\$-22.50</b>		<b>\$-4.73</b>
<b>PRODUCT CATEGORY: STOCKS</b>									
10/31/2018 368458869	JOHNSON, ASHLEY	S AT&T INC	B	623.45	15.00	15.00	0.00	21.0	0.00
11/08/2018 368467865	GANSKE, MARK	S CARDINAL HEALTH INC	B	2,808.95	22.00	15.00	7.00	21.0	1.47
11/08/2018 368467875	KOPRIVA, JULIANN	S ADR BRITISH AMERICAN TOBACCO	B	1,779.46	22.00	15.00	7.00	21.0	1.47
11/08/2018 368467875	KOPRIVA, JULIANN	S ENTERPRISE PRODS PARTNERS L	B	1,407.95	22.00	15.00	7.00	21.0	1.47
11/08/2018 368467875	KOPRIVA, JULIANN	S GENERAL MILLS INCORPORATED	B	1,794.45	22.00	15.00	7.00	21.0	1.47
<b>* STOCKS SUBTOTAL *</b>				<b>\$8,414.26</b>	<b>\$103.00</b>	<b>\$75.00</b>	<b>\$28.00</b>		<b>\$5.88</b>
<b>PRODUCT CATEGORY: MISCELLANEOUS</b>									
10/31/2018 a03383056	JOHNSON-383056,	MI MUTUAL FUND MISC FEE 800393769	S	0.00	5.00	0.00	5.00	21.0	1.05
10/31/2018 a03383056	JOHNSON-383056,	MI MUTUAL FUND MISC FEE 800401067	S	0.00	5.00	0.00	5.00	21.0	1.05
11/01/2018 a03383056	JOHNSON-383056,	MI MUTUAL FUND MISC FEE 800389273	S	0.00	5.00	0.00	5.00	21.0	1.05
11/02/2018 a03383056	JOHNSON-383056,	MI MUTUAL FUND MISC FEE 800389273	S	0.00	5.00	0.00	5.00	21.0	1.05
11/21/2018 a03383056	JOHNSON-383056,	MI MUTUAL FUND MISC FEE 431392912	S	0.00	10.00	0.00	10.00	21.0	2.10
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$30.00</b>	<b>\$0.00</b>	<b>\$30.00</b>		<b>\$6.30</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
11/21/2018 mfc383056	JOHNSON SPENCER	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	0.08	0.00	0.08	21.0	0.02
11/23/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.35	0.00	0.35	21.0	0.07
11/23/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.82	0.00	0.82	21.0	0.17
11/23/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.26	0.00	0.26	21.0	0.05
11/23/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.60	0.00	0.60	21.0	0.13
11/23/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.14	0.00	0.14	21.0	0.03
11/23/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.14	0.00	0.14	21.0	0.03
11/23/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.53	0.00	0.53	21.0	0.11

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11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.56	0.00	0.56	21.0	0.12
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.78	0.00	1.78	21.0	0.37
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.88	0.00	1.88	21.0	0.39
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.15	0.00	0.15	21.0	0.03
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.15	0.00	0.15	21.0	0.03
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.45	0.00	0.45	21.0	0.09
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.64	0.00	0.64	21.0	0.13
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.51	0.00	1.51	21.0	0.32
11/23/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	2.13	0.00	2.13	21.0	0.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$12.17	\$0.00	\$12.17		\$2.54
*** CLEARING TRANSACTIONS TOTAL ***				\$21,837.02	\$145.17	\$97.50	\$47.67		\$9.99

BROKER COMMISSION TOTALS

Broker Transactions	\$9.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9.99
Year-to-date Commissions	\$183.76