

# COMMISSION STATEMENT

October 31, 2018

 DARYL ARNETT-D363  
 11736 Marigold Circle

 BROKER #: D363  
 BRANCH: D00

Fishers, IN 46038

## COMMISSION STATEMENT for DARYL ARNETT-D363

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/27/2018 122140721	ARNETT, DARYL	MF VANGUARD ENERGY FD INV	B	-1,997.55	0.00	5.00	-5.00	87.5	-4.38
09/27/2018 646195576	HOTTON, JOSEPH	MF VANGUARD DIV APPR INDEX FD INV	B	-14,997.55	0.00	5.00	-5.00	87.5	-4.38
09/27/2018 646220478	HORTON, RENEE	MF VANGUARD DIV APPR INDEX FD INV	B	-14,997.55	0.00	5.00	-5.00	87.5	-4.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-31,992.65</b>	<b>\$0.00</b>	<b>\$15.00</b>	<b>\$-15.00</b>		<b>\$-13.14</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/01/2018 646210921	TARRH, LINDA	S AFLAC CORP	S	-2,286.97	72.55	15.00	57.55	87.5	50.36
10/16/2018 799734695	WALTON, SUSAN	S TERADATA CORP	S	-598.44	30.00	15.00	15.00	87.5	13.13
<b>* STOCKS SUBTOTAL *</b>				<b>\$-2,885.41</b>	<b>\$102.55</b>	<b>\$30.00</b>	<b>\$72.55</b>		<b>\$63.49</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
10/04/2018 646195576	HOTTON, JOSEPH	B BURLINGTON NORTH SANTA FE CPN:	B	16,044.85	60.00	15.00	45.00	87.5	39.38
10/04/2018 646220478	HORTON, RENEE	B BURLINGTON NORTH SANTA FE CPN:	B	16,047.41	60.00	15.00	45.00	87.5	39.38
<b>* BONDS SUBTOTAL *</b>				<b>\$32,092.26</b>	<b>\$120.00</b>	<b>\$30.00</b>	<b>\$90.00</b>		<b>\$78.76</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
10/15/2018 368463838	BECKWITH, BARBARA	CD BMO HARRIS BANK NA CHICAGO ILL	S	-4,457.14	20.00	20.00	0.00	87.5	0.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>				<b>\$-4,457.14</b>	<b>\$20.00</b>	<b>\$20.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/19/2018 mfc038408	VARIOUS,	MFT AMCAP FUND-A	B	0.00	1.92	0.00	1.92	87.5	1.68
10/19/2018 mfc038408	VARIOUS,	MFT AMERICAN MUTUAL FUND-A	B	0.00	16.50	0.00	16.50	87.5	14.44
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.87	0.00	0.87	87.5	0.76
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.27	0.00	1.27	87.5	1.11
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.80	0.00	1.80	87.5	1.58
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.78	0.00	11.78	87.5	10.31
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	80.74	0.00	80.74	87.5	70.65
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.18	0.00	0.18	87.5	0.16
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	87.5	0.17
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	87.5	0.17
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.28	0.00	0.28	87.5	0.25
10/19/2018 mfc038408	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.33	0.00	0.33	87.5	0.29

COMMISSION STATEMENT for DARYL ARNETT-D363

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.61	0.00	1.61	87.5	1.41
10/19/2018	mfc038408	VARIOUS, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.09	0.00	0.09	87.5	0.08
10/19/2018	mfc038408	VARIOUS, MFT INVESCO EQUITY AND INCOME FUND	B	0.00	0.67	0.00	0.67	87.5	0.59
10/19/2018	mfc038408	VARIOUS, MFT INVESCO EQUITY AND INCOME FUND	B	0.00	0.69	0.00	0.69	87.5	0.60
10/19/2018	mfc038408	VARIOUS, MFT INVESCO MID CAP GROWTH FUND -	B	0.00	2.63	0.00	2.63	87.5	2.30
10/19/2018	mfc038408	VARIOUS, MFT INVESCO MID CAP GROWTH FUND -	B	0.00	2.68	0.00	2.68	87.5	2.35
10/19/2018	mfc038408	VARIOUS, MFT JP MORGAN 339128308	B	0.00	2.20	0.00	2.20	87.5	1.93
10/19/2018	mfc038408	VARIOUS, MFT MFS GLOBAL TOTAL RETURN FUND-A	B	0.00	2.03	0.00	2.03	87.5	1.78
10/19/2018	mfc038408	VARIOUS, MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	2.19	0.00	2.19	87.5	1.92
10/19/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14
10/19/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14
10/19/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.29	0.00	0.29	87.5	0.25
10/19/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.80	0.00	0.80	87.5	0.70
10/19/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.86	0.00	0.86	87.5	0.75
10/19/2018	mfc038408	VARIOUS, MFT OPPENHEIMER SMALL & MID CAP	B	0.00	2.56	0.00	2.56	87.5	2.24
10/19/2018	mfc038408	VARIOUS, MFT OPPENHEIMER SMALL & MID CAP	B	0.00	3.53	0.00	3.53	87.5	3.09
10/19/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.11	0.00	0.11	87.5	0.10
10/19/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.20	0.00	0.20	87.5	0.18
10/19/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.38	0.00	0.38	87.5	0.33
10/19/2018	mfc038408	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	0.40	0.00	0.40	87.5	0.35
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	87.5	0.10
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.57	0.00	1.57	87.5	1.37
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.67	0.00	1.67	87.5	1.46
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.89	0.00	1.89	87.5	1.65
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.89	0.00	1.89	87.5	1.65
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.10	0.00	2.10	87.5	1.84
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.13	0.00	2.13	87.5	1.86
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.51	0.00	5.51	87.5	4.82
10/19/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	20.28	0.00	20.28	87.5	17.75
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$177.44</b>	<b>\$0.00</b>	<b>\$177.44</b>		<b>\$155.30</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-7,242.94</b>	<b>\$419.99</b>	<b>\$95.00</b>	<b>\$324.99</b>		<b>\$284.41</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/08/2018	00067872236	MACRY, RACHAEL	MF	NEW PERSPECTIVE FUND-A	B	50.00	0.50	0.00	0.50	87.5	0.44
10/08/2018	00067872236	MACRY, RACHAEL	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	0.50	0.00	0.50	87.5	0.44
10/08/2018	00067872239	GALLOWAY, BRANDY	MF	WASHINGTON MUTUAL INVESTORS	B	40.00	0.40	0.00	0.40	87.5	0.35
10/08/2018	00067872246	LAND, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	0.25	0.00	0.25	87.5	0.22
10/08/2018	00067872261	ROYAL, PAMELA	MF	WASHINGTON MUTUAL INVESTORS	B	35.00	0.35	0.00	0.35	87.5	0.31
10/08/2018	00067872709	SMITH, THAD	MF	FUNDAMENTAL INVESTORS-A	B	100.00	1.00	0.00	1.00	87.5	0.88
10/08/2018	00067872710	TOMEY, BRENDA	MF	NEW PERSPECTIVE FUND-A	B	5.00	0.05	0.00	0.05	87.5	0.04
10/08/2018	00067872710	TOMEY, BRENDA	MF	THE GROWTH FUND OF AMERICA-A	B	10.00	0.10	0.00	0.10	87.5	0.09

COMMISSION STATEMENT for DARYL ARNETT-D363

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 00067872710	TOMEY, BRENDA	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	87.5	0.09
10/08/2018 00067872713	SMITH, CARLIE	MF WASHINGTON MUTUAL INVESTORS	B	100.00	1.00	0.00	1.00	87.5	0.88
10/09/2018 00089028655	WOLF, AMY	MF AMERICAN FUNDS 2040 TARGET	B	296.01	11.10	0.00	11.10	87.5	9.71
10/09/2018 00089028655	WOLF, AMY	MF AMERICAN FUNDS 2040 TARGET	B	253.74	9.52	0.00	9.52	87.5	8.33
10/09/2018 00089038683	HOSPODARSKY,	MF AMERICAN FUNDS 2025 TARGET	B	587.74	5.88	0.00	5.88	87.5	5.15
10/09/2018 00089038683	HOSPODARSKY,	MF AMERICAN FUNDS 2025 TARGET	B	352.65	3.53	0.00	3.53	87.5	3.09
10/09/2018 04000735963	PANARANTO, CHRIS	MF AMERICAN FUNDS 2040 TARGET	B	369.04	13.84	0.00	13.84	87.5	12.11
10/09/2018 04000735963	PANARANTO, CHRIS	MF AMERICAN FUNDS 2040 TARGET	B	101.42	3.80	0.00	3.80	87.5	3.33
10/09/2018 04001030096	GEARHEART, DARRIN	MF AMERICAN FUNDS 2040 TARGET	B	370.01	13.88	0.00	13.88	87.5	12.15
10/09/2018 04001030096	GEARHEART, DARRIN	MF AMERICAN FUNDS 2040 TARGET	B	370.01	13.88	0.00	13.88	87.5	12.15
* MUTUAL FUNDS SUBTOTAL *				<u>\$3,125.62</u>	<u>\$79.68</u>	<u>\$0.00</u>	<u>\$79.68</u>		<u>\$69.76</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$3,125.62</u>	<u>\$79.68</u>	<u>\$0.00</u>	<u>\$79.68</u>		<u>\$69.76</u>

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
10/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$354.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$169.17
Year-to-date Commissions	\$33,783.93