

COMMISSION STATEMENT October 31, 2018

RICHARD DURAND-D020
 9100 IH 10 West
 Suite 210
 San Antonio, TX 78230

BROKER #: D020
 BRANCH: DMN

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/17/2018	646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
				* MUTUAL FUNDS SUBTOTAL *		\$416.66	\$11.39	\$2.50	\$8.89		\$7.56

PRODUCT CATEGORY: STOCKS

10/16/2018	123746900	AFT MINERALS LTD	S	CANOPY GROWTH CORP ISIN	B	108,242.25	500.00	15.00	485.00	85.0	412.25
10/17/2018	123746900	AFT MINERALS LTD	S	DEVON ENERGY CORPORATION NEW	B	90,121.20	500.00	15.00	485.00	85.0	412.25
10/09/2018	276073491	FERRO, JOSEPH	S	AMARIN CORPORATION PLC	S	-16,847.33	150.00	15.00	135.00	85.0	114.75
10/16/2018	276073491	FERRO, JOSEPH	S	AMARIN CORPORATION PLC	B	14,954.88	125.00	15.00	110.00	85.0	93.50
10/16/2018	276073491	FERRO, JOSEPH	S	AMARIN CORPORATION PLC	B	2,592.79	70.00	24.00	46.00	85.0	39.10
10/03/2018	368262501	W HERNANDEZ, DR JOE	S	BRISTOL MYERS SQUIBB COMPANY	S	-2,461.92	50.00	15.00	35.00	85.0	29.75
10/08/2018	368262501	W HERNANDEZ, DR JOE	S	BRISTOL MYERS SQUIBB COMPANY	S	-53,650.43	50.00	15.00	35.00	85.0	29.75
10/15/2018	368262501	W HERNANDEZ, DR JOE	S	WALGREENS BOOTS ALLIANCE INC	S	-2,581.27	50.00	15.00	35.00	85.0	29.75
10/03/2018	368355670	DIXON, LAMANA	S	WALGREENS BOOTS ALLIANCE INC	S	-18,255.06	225.00	15.00	210.00	85.0	178.50
10/02/2018	368372031	GONZALEZ, EVELYN	S	OCCIDENTAL PETROLEUM CORP	B	8,334.45	75.00	15.00	60.00	85.0	51.00
10/02/2018	368435253	GONZALEZ, MANUEL	S	OCCIDENTAL PETROLEUM CORP	B	8,337.17	75.00	15.00	60.00	85.0	51.00
10/03/2018	400572971	INC, J POWER	S	AMAZON.COM INC	B	19,780.15	175.00	15.00	160.00	85.0	136.00
10/03/2018	400572971	INC, J POWER	S	SPDR GOLD TRUST GOLD SHS	S	-13,463.14	140.00	15.00	125.00	85.0	106.25
10/18/2018	400615403	CHASE, JP MORGAN	S	WELLS FARGO & CO	S	-53,389.40	100.00	15.00	85.00	85.0	72.25
10/18/2018	400617109	CHASE, JP MORGAN	S	INTL BUSINESS MACHINES INC	S	-78,494.96	100.00	15.00	85.00	85.0	72.25
10/18/2018	400617109	CHASE, JP MORGAN	S	RIO TINTO PLC SPONSORED ADR	S	-49,100.76	100.00	15.00	85.00	85.0	72.25
10/18/2018	400617109	CHASE, JP MORGAN	S	WELLS FARGO & CO	S	-213,397.62	400.00	15.00	385.00	85.0	327.25
10/18/2018	400625326	CHASE, JP MORGAN	S	WELLS FARGO & CO	S	-42,699.52	100.00	15.00	85.00	85.0	72.25
10/25/2018	400625326	CHASE, JP MORGAN	S	ANANT RAJ INDS LTD SPONSORED	B	23,619.90	100.00	15.00	85.00	85.0	72.25
10/05/2018	429910206	KIRKPATRICK,	S	CANOPY GROWTH CORP ISIN	B	72,281.35	500.00	15.00	485.00	85.0	412.25
10/05/2018	429910206	KIRKPATRICK,	S	CEVA INC	S	-50,953.28	750.00	15.00	735.00	85.0	624.75
10/08/2018	462163802	RATHKAMP, MARGARET	S	ENTERPRISE PRODS PARTNERS L	B	14,816.95	200.00	15.00	185.00	85.0	157.25
10/01/2018	554470501	OPPENHEIMER TRUST	S	3M COMPANY	S	-63,621.57	100.00	15.00	85.00	85.0	72.25
10/01/2018	554470501	OPPENHEIMER TRUST	S	ALTRIA GROUP INCORPORATED	S	-60,140.62	100.00	15.00	85.00	85.0	72.25
10/01/2018	554470501	OPPENHEIMER TRUST	S	JOHNSON & JOHNSON	S	-69,520.44	100.00	15.00	85.00	85.0	72.25
10/01/2018	554470501	OPPENHEIMER TRUST	S	MCDONALDS CORPORATION	S	-83,625.96	100.00	15.00	85.00	85.0	72.25
10/01/2018	554470501	OPPENHEIMER TRUST	S	THE COCA-COLA COMPANY	S	-46,150.70	100.00	15.00	85.00	85.0	72.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* STOCKS SUBTOTAL *				\$-555,272.89	\$5,035.00	\$414.00	\$4,621.00		\$3,927.85

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	2.46	0.00	2.46	85.0	2.09
10/19/2018	mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	3.15	0.00	3.15	85.0	2.68
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.09	0.00	3.09	85.0	2.63
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.15	0.00	3.15	85.0	2.68
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.55	0.00	3.55	85.0	3.02
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.15	0.00	4.15	85.0	3.53
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.18	0.00	4.18	85.0	3.55
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.22	0.00	4.22	85.0	3.59
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.57	0.00	4.57	85.0	3.88
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.21	0.00	5.21	85.0	4.43
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.21	0.00	5.21	85.0	4.43
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.21	0.00	5.21	85.0	4.43
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	6.77	0.00	6.77	85.0	5.75
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	10.99	0.00	10.99	85.0	9.34
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	20.53	0.00	20.53	85.0	17.45
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	55.31	0.00	55.31	85.0	47.01
10/19/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	154.35	0.00	154.35	85.0	131.20
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.58	0.00	1.58	85.0	1.34
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.78	0.00	3.78	85.0	3.21
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.35	0.00	5.35	85.0	4.55
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.73	0.00	5.73	85.0	4.87
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.28	0.00	6.28	85.0	5.34
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.75	0.00	0.75	85.0	0.64
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.08	0.00	1.08	85.0	0.92
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.12	0.00	1.12	85.0	0.95
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.59	0.00	1.59	85.0	1.35
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.84	0.00	0.84	85.0	0.71
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.90	0.00	0.90	85.0	0.77
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.05	0.00	1.05	85.0	0.89
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.53	0.00	1.53	85.0	1.30
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.59	0.00	1.59	85.0	1.35
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.60	0.00	1.60	85.0	1.36
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.86	0.00	1.86	85.0	1.58
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.89	0.00	1.89	85.0	1.61
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.26	0.00	2.26	85.0	1.92
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.51	0.00	2.51	85.0	2.13
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.62	0.00	2.62	85.0	2.23
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.91	0.00	2.91	85.0	2.47
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.30	0.00	4.30	85.0	3.66
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.10	0.00	5.10	85.0	4.34
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.10	0.00	5.10	85.0	4.34

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10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.10	0.00	5.10	85.0	4.34
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.32	0.00	6.32	85.0	5.37
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.50	0.00	6.50	85.0	5.53
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.87	0.00	6.87	85.0	5.84
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	7.49	0.00	7.49	85.0	6.37
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	51.10	0.00	51.10	85.0	43.44
10/19/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	91.06	0.00	91.06	85.0	77.40
10/19/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS A B	0.00	4.13	0.00	4.13	85.0	3.51
10/19/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A B	0.00	0.15	0.00	0.15	85.0	0.13
10/19/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A B	0.00	1.31	0.00	1.31	85.0	1.11
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	0.70	0.00	0.70	85.0	0.60
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	1.01	0.00	1.01	85.0	0.86
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	0.60	0.00	0.60	85.0	0.51
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	1.63	0.00	1.63	85.0	1.39
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	5.78	0.00	5.78	85.0	4.91
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	5.88	0.00	5.88	85.0	5.00
10/19/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	11.97	0.00	11.97	85.0	10.17
10/19/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	4.65	0.00	4.65	85.0	3.95
10/19/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	11.98	0.00	11.98	85.0	10.18
10/19/2018	mfc884599	DURAND 884599	MFT FRANKLIN HIGH-YIELD TAX-FREE B	0.00	1.57	0.00	1.57	85.0	1.33
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	1.52	0.00	1.52	85.0	1.29
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	2.20	0.00	2.20	85.0	1.87
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.42	0.00	0.42	85.0	0.36
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.92	0.00	1.92	85.0	1.63
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.15	0.00	2.15	85.0	1.83
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.17	0.00	2.17	85.0	1.84
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.58	0.00	3.58	85.0	3.04
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.66	0.00	3.66	85.0	3.11
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	4.53	0.00	4.53	85.0	3.85
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	7.23	0.00	7.23	85.0	6.15
10/19/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	57.51	0.00	57.51	85.0	48.88
10/19/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A B	0.00	4.07	0.00	4.07	85.0	3.46
10/19/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A B	0.00	4.54	0.00	4.54	85.0	3.86
10/19/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	1.65	0.00	1.65	85.0	1.40
10/19/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	2.39	0.00	2.39	85.0	2.03
10/19/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	1.20	0.00	1.20	85.0	1.02
10/19/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	1.38	0.00	1.38	85.0	1.17
10/19/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	10.38	0.00	10.38	85.0	8.82
10/19/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A B	0.00	1.31	0.00	1.31	85.0	1.11
10/19/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A B	0.00	5.58	0.00	5.58	85.0	4.74
10/19/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A B	0.00	5.16	0.00	5.16	85.0	4.39
10/19/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A B	0.00	5.19	0.00	5.19	85.0	4.41
10/19/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A B	0.00	1.45	0.00	1.45	85.0	1.23
10/19/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A B	0.00	1.47	0.00	1.47	85.0	1.25

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10/19/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	17.90	0.00	17.90	85.0	15.22
10/19/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	1.26	0.00	1.26	85.0	1.07
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.99	0.00	0.99	85.0	0.84
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.05	0.00	1.05	85.0	0.89
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.53	0.00	0.53	85.0	0.45
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.53	0.00	1.53	85.0	1.30
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.15	0.00	3.15	85.0	2.68
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	4.18	0.00	4.18	85.0	3.55
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.63	0.00	2.63	85.0	2.24
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.47	0.00	2.47	85.0	2.10
10/19/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.14	0.00	2.14	85.0	1.82
10/19/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.35	0.00	2.35	85.0	2.00
10/19/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.72	0.00	0.72	85.0	0.61
10/19/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.42	0.00	3.42	85.0	2.91
10/19/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.70	0.00	0.70	85.0	0.60
10/19/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	1.01	0.00	1.01	85.0	0.86
10/19/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	1.00	0.00	1.00	85.0	0.85
10/19/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.15	0.00	3.15	85.0	2.68
10/19/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	15.14	0.00	15.14	85.0	12.87
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.25	0.00	0.25	85.0	0.21
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.52	0.00	1.52	85.0	1.29
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.30	0.00	2.30	85.0	1.96
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.55	0.00	2.55	85.0	2.17
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.15	0.00	3.15	85.0	2.68
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.78	0.00	3.78	85.0	3.21
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.81	0.00	5.81	85.0	4.94
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.38	0.00	6.38	85.0	5.42
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.38	0.00	6.38	85.0	5.42
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.55	0.00	7.55	85.0	6.42
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.53	0.00	11.53	85.0	9.80
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.83	0.00	29.83	85.0	25.36
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	30.48	0.00	30.48	85.0	25.91
10/19/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	36.62	0.00	36.62	85.0	31.13
10/19/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.04	0.00	1.04	85.0	0.88
10/19/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.30	0.00	3.30	85.0	2.81
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.76	0.00	3.76	85.0	3.20
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.45	0.00	4.45	85.0	3.78
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.75	0.00	4.75	85.0	4.04
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.09	0.00	5.09	85.0	4.33
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.62	0.00	5.62	85.0	4.78
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.62	0.00	5.62	85.0	4.78
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.71	0.00	8.71	85.0	7.40
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	9.07	0.00	9.07	85.0	7.71
10/19/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	26.87	0.00	26.87	85.0	22.84

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc884599 DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	32.46	0.00	32.46	85.0	27.59
10/19/2018	mfc884599 DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	52.12	0.00	52.12	85.0	44.30
10/19/2018	mfc884599 DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	153.25	0.00	153.25	85.0	130.26
10/19/2018	mfc884599 DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	41.73	0.00	41.73	85.0	35.47
10/19/2018	mfc884599 DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	620.25	0.00	620.25	85.0	527.21
10/19/2018	mfc884599 DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	40.95	0.00	40.95	85.0	34.81
10/19/2018	mfc884599 DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	44.80	0.00	44.80	85.0	38.08
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.66	0.00	0.66	85.0	0.56
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.69	0.00	0.69	85.0	0.59
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.74	0.00	1.74	85.0	1.48
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.78	0.00	1.78	85.0	1.51
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.05	0.00	2.05	85.0	1.74
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.60	0.00	2.60	85.0	2.21
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.64	0.00	2.64	85.0	2.24
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.97	0.00	3.97	85.0	3.37
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.01	0.00	4.01	85.0	3.41
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.74	0.00	5.74	85.0	4.88
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.74	0.00	5.74	85.0	4.88
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.74	0.00	5.74	85.0	4.88
10/19/2018	mfc884599 DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.46	0.00	7.46	85.0	6.34
10/19/2018	mfc884599 DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.42	0.00	5.42	85.0	4.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,045.41	\$0.00	\$2,045.41		\$1,738.63
*** CLEARING TRANSACTIONS TOTAL ***				\$-554,856.23	\$7,091.80	\$416.50	\$6,675.30		\$5,674.04

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/28/2018	(None) ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	300.00	11.40	0.00	11.40	85.0	9.69
10/15/2018	00067562789 PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
10/18/2018	00660109470 HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$550.00	\$19.90	\$0.00	\$19.90		\$16.92

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018	(None) VARIOUS, DURAND	MFT DAVIS	B	0.00	15.14	0.00	15.14	85.0	12.87
09/30/2018	(None) VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	33.25	0.00	33.25	85.0	28.26
10/08/2018	00010158172 MARGARET B PARR,	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	82.65	0.00	82.65	85.0	70.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$131.04	\$0.00	\$131.04		\$111.38

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/03/2018	(None) DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	229.13	0.00	229.13	85.0	194.76
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$229.13	\$0.00	\$229.13		\$194.76
*** DIRECT TRANSACTIONS TOTAL ***				\$550.00	\$380.07	\$0.00	\$380.07		\$323.06

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	BROKER WORKSTATION-GIFFEN		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		981.68
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$766.68</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$766.68</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,997.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$766.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6,763.78
Year-to-date Commissions	\$122,038.19