

COMMISSION STATEMENT

November 30, 2018

ARNETT DPM

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for ARNETT DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/20/2018 368257366	RAINER, SANDRA	MF	VANGUARD DIV APPR INDEX FD INV	B	-5,997.55	0.00	5.00	-5.00	0.0	0.00
11/05/2018 368288519	MOLTER, DANE	MF	VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
11/05/2018 368294569	MOLTER, RACHEL	MF	VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
11/01/2018 368353272	TARRH, LLOYD	MF	VANGUARD DIV APPR INDEX FD INV	B	-45,997.55	0.00	5.00	-5.00	0.0	0.00
11/27/2018 368462747	CHIASSON LIV TRUST,	MF	CAPITAL WORLD GROWTH AND INCOME	S	-7,472.47	0.00	5.00	-5.00	0.0	0.00
11/27/2018 368462747	CHIASSON LIV TRUST,	MF	JP MORGAN INVESTOR GROWTH FD CL	S	-19,283.54	0.00	5.00	-5.00	0.0	0.00
11/27/2018 368462747	CHIASSON LIV TRUST,	MF	THE BOND FUND OF AMERICA-A	S	-2,142.22	0.00	5.00	-5.00	0.0	0.00
11/27/2018 368462747	CHIASSON LIV TRUST,	MF	THE GROWTH FUND OF AMERICA-A	S	-6,789.67	0.00	5.00	-5.00	0.0	0.00
11/16/2018 429964612	KIRBY, LINDA	MF	VANGUARD SMALL CAP INDEX FD ADM	S	-4,961.30	0.00	5.00	-5.00	0.0	0.00
11/07/2018 554429649	OBRYAN, NANCY	MF	CAPITAL INCOME BUILDER-A	B	-1,497.55	0.00	5.00	-5.00	0.0	0.00
11/09/2018 554429649	OBRYAN, NANCY	MF	VANGUARD EQUITY INCOME FD	S	3,002.45	0.00	5.00	-5.00	0.0	0.00
11/20/2018 554429649	OBRYAN, NANCY	MF	VANGUARD EQUITY INCOME FD	S	252.45	0.00	2.50	-2.50	0.0	0.00
11/07/2018 554440617	OBRYAN, NANCY	MF	VANGUARD WELLINGTON FD CLOSED	S	2,202.45	0.00	2.50	-2.50	0.0	0.00
11/20/2018 554440617	OBRYAN, NANCY	MF	VANGUARD WELLINGTON FD CLOSED	S	152.45	0.00	2.50	-2.50	0.0	0.00
11/20/2018 645500391	RAINER, SANDRA	MF	CAPITAL INCOME BUILDER-F1	S	-24,327.05	0.00	5.00	-5.00	0.0	0.00
11/23/2018 646263838	O'BRYAN, THOMAS	MF	VANGUARD GROWTH INDEX FD	S	252.45	0.00	2.50	-2.50	0.0	0.00
11/20/2018 769614429	VAZQUEZ, JOSE	MF	VANGUARD 500 INDEX FD ADMIRAL	S	502.45	0.00	2.50	-2.50	0.0	0.00
11/20/2018 769618011	VAZQUEZ CUSTODIAN,	MF	VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
11/20/2018 769627558	VAZQUEZ CUST, JOSE	MF	VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
11/20/2018 799742657	WALTON, SUSAN	MF	VANGUARD HEALTH CARE FD INV CL	S	3,002.45	0.00	5.00	-5.00	0.0	0.00
11/21/2018 a03037813	VARIOUS, ARNETT -	MF	NEW WORLD FUND-A	B	0.00	9.54	0.00	9.54	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$-107,191.95	\$9.54	\$77.50	\$-67.96		\$0.00

PRODUCT CATEGORY: STOCKS

11/20/2018 645500391	RAINER, SANDRA	S	ISHARES NASDAQ BIOTECHNOLOGY	S	-5,191.62	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *					\$-5,191.62	\$15.00	\$15.00	\$0.00		\$0.00

PRODUCT CATEGORY: BONDS

11/02/2018 154253009	BICKLEY, ALLEN	B	VERIZON COMMUNICATIONS INC FR	B	25,616.33	19.76	15.00	4.76	0.0	0.00
11/02/2018 368353272	TARRH, LLOYD	B	MCDONALDS CORP CALL @ MAKE	B	46,085.52	19.78	15.00	4.78	0.0	0.00
* BONDS SUBTOTAL *					\$71,701.85	\$39.54	\$30.00	\$9.54		\$0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.96	0.00	0.96	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.70	0.00	2.70	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.66	0.00	6.66	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.01	0.00	9.01	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	12.65	0.00	12.65	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	13.25	0.00	13.25	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	16.71	0.00	16.71	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	17.19	0.00	17.19	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.71	0.00	2.71	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.24	0.00	5.24	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND	B	0.00	5.47	0.00	5.47	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.64	0.00	1.64	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	0.94	0.00	0.94	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	2.51	0.00	2.51	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	20.88	0.00	20.88	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.81	0.00	0.81	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.75	0.00	4.75	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	4.94	0.00	4.94	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	5.51	0.00	5.51	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT GABELLI SMALL CAP GROWTH FD CL	B	0.00	1.07	0.00	1.07	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT GOLD & SPECIAL MINERALS A	B	0.00	0.56	0.00	0.56	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.19	0.00	2.19	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.28	0.00	4.28	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT HARBOR INTERNATIONAL FD INV CL	B	0.00	3.33	0.00	3.33	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT JP MORGAN INVESTOR GROWTH FD CL	B	0.00	4.23	0.00	4.23	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT MFS TOTAL RETURN FUND-A	B	0.00	6.68	0.00	6.68	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	5.73	0.00	5.73	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	5.73	0.00	5.73	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT NEW WORLD FUND-A	B	0.00	8.14	0.00	8.14	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	1.40	0.00	1.40	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	2.24	0.00	2.24	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	6.46	0.00	6.46	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.39	0.00	8.39	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	10.17	0.00	10.17	0.0	0.00
11/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.45				

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$279.95	\$0.00	\$279.95		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***		\$-40,681.72	\$344.03	\$122.50	\$221.53		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00