

COMMISSION STATEMENT

September 30, 2018

DPM HAVARD

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for DPM HAVARD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
09/21/2018 338862568	KEITH, HUGHES	S ALASKA AIR GROUP INC	B	3,318.26	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S CALLAWAY GOLF COMPANY (DEL)	B	3,309.28	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S CARNIVAL CORP NEW PARIED COM	B	3,311.67	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S CROCS INC	B	3,308.10	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S DEERE & COMPANY	B	3,232.32	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S DOLLAR TREE INC	B	3,326.17	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S HASBRO INC	B	3,239.45	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S HOME DEPOT INCORPORATED	B	3,190.33	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S LOGITECH INTERNATIONAL SA-REG	B	3,313.54	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S NATIONAL BEVERAGE CORP	B	3,311.85	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S SOUTHWEST AIRLINES COMPANY	B	3,207.45	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S STANLEY BLACK & DECKER INC	B	3,264.31	15.00	15.00	0.00	0.0	0.00
09/21/2018 338862568	KEITH, HUGHES	S WEIGHT WATCHERS INTERNTIONAL	B	3,294.76	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S ALASKA AIR GROUP INC	B	4,153.85	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S CALLAWAY GOLF COMPANY (DEL)	B	4,225.27	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S CARNIVAL CORP NEW PARIED COM	B	4,221.28	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S CROCS INC	B	4,217.58	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S DEERE & COMPANY	B	4,212.56	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S DOLLAR TREE INC	B	4,206.58	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S HASBRO INC	B	4,212.83	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S HOME DEPOT INCORPORATED	B	4,117.25	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S LOGITECH INTERNATIONAL SA-REG	B	4,218.57	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S NATIONAL BEVERAGE CORP	B	4,171.95	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S SOUTHWEST AIRLINES COMPANY	B	4,198.70	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S STANLEY BLACK & DECKER INC	B	4,296.92	15.00	15.00	0.00	0.0	0.00
09/05/2018 368373451	COMPTON, RICKY	S WEIGHT WATCHERS INTERNTIONAL	B	4,275.25	15.00	15.00	0.00	0.0	0.00
09/21/2018 368461620	STRONG, CHRISTINE	S AARONS INC PAR \$0.50	S	5,911.54	-15.00	-15.00	0.00	0.0	0.00
09/21/2018 368461620	STRONG, CHRISTINE	S AARONS INC PAR \$0.50	S	-5,911.54	15.00	15.00	0.00	0.0	0.00
09/21/2018 368461620	STRONG, CHRISTINE	S AARONS INC PAR \$0.50	S	-5,926.54	0.00	15.00	-15.00	0.0	0.00
* STOCKS SUBTOTAL *				\$91,429.54	\$390.00	\$405.00	\$-15.00		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/21/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK MULTI-ASSET INCOME FD B	0.00	21.73	0.00	21.73	0.0	0.00
09/21/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK STRATEGIC INC OPP FD B	0.00	25.31	0.00	25.31	0.0	0.00
09/24/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK MULTI-ASSET INCOME FD B	0.00	20.39	0.00	20.39	0.0	0.00
09/24/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK STRAT INC OPP FD INC B	0.00	23.72	0.00	23.72	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$91.15	\$0.00	\$91.15		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$91,429.54	\$481.15	\$405.00	\$76.15		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00