

COMMISSION STATEMENT

October 31, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/10/2018	75501342 WINNICK, HILLARY	INVESTORS BANCORP INC NEW COM	B	30,621.75	20.00	0.00	20.00	55.0	0.00
10/16/2018	75501342 WINNICK, HILLARY	EMPIRE BANCORP INC COM	B	35,625.00	20.00	0.00	20.00	55.0	0.00
10/10/2018	75501345 WINNICK, JOELY	INVESTORS BANCORP INC NEW COM	B	30,621.75	20.00	0.00	20.00	55.0	0.00
10/16/2018	75501345 WINNICK, JOELY	EMPIRE BANCORP INC COM	B	35,625.00	20.00	0.00	20.00	55.0	0.00
10/16/2018	QGN006702 F/A/O EVENTIDE	ARISTA NETWORKS INC COM	B	629,452.60	56.00	0.00	56.00	55.0	30.80
10/01/2018	QGV006075 PARTNERS, F/A/O:	TEEKAY CORP SHS ISIN#MHY8564W1030	B	17,661.50	100.00	0.00	100.00	55.0	55.00
10/08/2018	QGV006075 PARTNERS, F/A/O:	PICO HOLDINGS INC-NEW	S	-21,052.50	70.00	0.00	70.00	55.0	38.50
10/26/2018	QGV006075 PARTNERS, F/A/O:	PRICESMART INC COM	B	105,295.05	60.00	0.00	60.00	55.0	33.00
10/08/2018	QGV006208 HEARTLAND VALUE FUND	AES CORPORATION	S	-736,630.00	2,500.00	0.00	2,500.00	55.0	1,375.00
10/09/2018	QGV006208 HEARTLAND VALUE FUND	CENTURY CMNTYS INC COM	B	1,245,910.00	2,500.00	0.00	2,500.00	55.0	1,375.00
10/12/2018	QGV006430 JPMORGAN CLEARING	AIR LEASE CORP CL A	B	378,032.87	456.20	0.00	456.20	55.0	250.91
10/25/2018	QGV006430 JPMORGAN CLEARING	GREAT WESTN BANCORP INC COM	B	563,899.00	875.00	0.00	875.00	55.0	481.25
10/12/2018	QGV006448 MORGAN STANLEY	AIR LEASE CORP CL A	B	378,032.87	456.20	0.00	456.20	55.0	250.91
10/25/2018	QGV006448 MORGAN STANLEY	GREAT WESTN BANCORP INC COM	B	563,899.00	875.00	0.00	875.00	55.0	481.25
10/02/2018	QGW031098 KOPIN, BENJAMIN	ALTRA INDL MOTION CORP COM	S	-9,156.00	51.00	0.00	51.00	55.0	28.05
10/10/2018	QGW031106 TIMYAN, PHILIP	EMPIRE BANCORP INC COM	B	72,404.00	51.00	0.00	51.00	55.0	28.05
10/02/2018	QGW031130 KOPIN, BENJAMIN	ALTRA INDL MOTION CORP COM	S	-9,156.00	51.00	0.00	51.00	55.0	28.05
10/02/2018	QGW031148 KOPIN, ZACHARY	ALTRA INDL MOTION CORP COM	S	-9,156.00	51.00	0.00	51.00	55.0	28.05
10/02/2018	QGW031155 KOPIN, JOSHUA	ALTRA INDL MOTION CORP COM	S	-9,156.00	51.00	0.00	51.00	55.0	28.05
10/02/2018	QGW031163 KOPIN, JOSHUA	ALTRA INDL MOTION CORP COM	S	-9,156.00	51.00	0.00	51.00	55.0	28.05
10/02/2018	QGW031171 KOPIN, ZACHARY	ALTRA INDL MOTION CORP COM	S	-9,156.00	51.00	0.00	51.00	55.0	28.05
10/11/2018	QGW034852 HOLMES, MEGAN	KANDI TECHNOLOGIES GROUP INC COM	B	24,500.00	250.00	0.00	250.00	55.0	137.50

* STOCKS SUBTOTAL *	\$3,298,961.89	\$8,635.40	\$0.00	\$8,635.40		\$4,705.47
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PRODUCT CATEGORY: OPTIONS

10/11/2018	QGW034852 HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 11 S		-7,117.00	300.00	0.00	300.00	55.0	165.00
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* OPTIONS SUBTOTAL *	\$-7,117.00	\$300.00	\$0.00	\$300.00		\$165.00
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PRODUCT CATEGORY: MISCELLANEOUS

10/02/2018	VARIOUS, WINNICK	FIDELITY	B	0.00	1,604.77	0.00	1,604.77	55.0	882.62
10/05/2018	VARIOUS, WINNICK	RESEARCH- STEADFAST	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00

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ADJUSTMENTS

* MISCELLANEOUS SUBTOTAL *	\$0.00	\$4,104.77	\$0.00	\$4,104.77	\$2,257.62
*** BROKER TRANSACTIONS TOTAL ***	\$3,291,844.89	\$13,040.17	\$0.00	\$13,040.17	\$7,128.09

ADJUSTMENTS

10/31/2018	COMMISSION INCOME: ADJUSTMENT HEWES	0.00	0.00	0.00	0.00	-878.79
10/31/2018	FEDEX CHARGE: FLORIN- MUNDI WESTPOR	0.00	0.00	0.00	0.00	-8.19
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-886.98

BROKER COMMISSION TOTALS

Broker Transactions	\$7,128.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-886.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.17
SIPC Assessment	\$-22.34

Check Amount	\$6,207.60
Year-to-date Earnings	\$41,379.84