

COMMISSION STATEMENT

November 30, 2018

BRISTER TOM DPM

BROKER #: D111

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISTER TOM DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/31/2018	154119554	BAKER, BEVERLY	MF	MFS VALUE FD CL I	S	-7,996.08	0.00	5.00	-5.00	0.0	0.00
10/31/2018	154119554	BAKER, BEVERLY	MF	NATIONWIDE GENEVA MID CAP GROW	S	-4,560.27	0.00	5.00	-5.00	0.0	0.00
10/31/2018	492387904	MATTHEWS, R ELLEN	MF	RUSSELL GLOBAL REAL ESTATE SEC	S	-5,498.87	0.00	5.00	-5.00	0.0	0.00
10/31/2018	492387904	MATTHEWS, R ELLEN	MF	RUSSELL US DEFENSIVE EQUITY FD	S	-21,240.95	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						\$-39,296.17	\$0.00	\$20.00	\$-20.00		\$0.00

PRODUCT CATEGORY: STOCKS

11/07/2018	152901548	BRUMELLE TOD,	S	DUKE REALTY CORPORATION	B	6,007.11	15.00	15.00	0.00	0.0	0.00
11/08/2018	152901548	BRUMELLE TOD,	S	LTC PROPERTIES INCORPORATE	B	2,995.10	15.00	15.00	0.00	0.0	0.00
11/08/2018	152901548	BRUMELLE TOD,	S	PHYSICIANS REALTY TRUST	B	3,022.98	15.00	15.00	0.00	0.0	0.00
11/07/2018	154105444	BAKER, CLAUDE	S	DUKE REALTY CORPORATION	B	9,974.97	15.00	15.00	0.00	0.0	0.00
11/08/2018	154105444	BAKER, CLAUDE	S	LTC PROPERTIES INCORPORATE	B	8,044.67	15.00	15.00	0.00	0.0	0.00
11/08/2018	154105444	BAKER, CLAUDE	S	PHYSICIANS REALTY TRUST	B	8,076.08	15.00	15.00	0.00	0.0	0.00
10/31/2018	154117252	BAKER, BEVERLY	S	NEWELL BRANDS, INC FORMERLY	S	-770.09	15.00	15.00	0.00	0.0	0.00
10/31/2018	154117252	BAKER, BEVERLY	S	OLIN CORPORATION	S	-693.74	15.00	15.00	0.00	0.0	0.00
10/31/2018	154117252	BAKER, BEVERLY	S	SELECT INCOME REIT	S	-738.54	15.00	15.00	0.00	0.0	0.00
11/07/2018	154117252	BAKER, BEVERLY	S	DUKE REALTY CORPORATION	B	4,986.66	15.00	15.00	0.00	0.0	0.00
11/07/2018	154117252	BAKER, BEVERLY	S	PROSHARES ULTRASHORT S&P 500	S	-9,409.84	15.00	15.00	0.00	0.0	0.00
11/08/2018	154117252	BAKER, BEVERLY	S	LTC PROPERTIES INCORPORATE	B	5,021.61	15.00	15.00	0.00	0.0	0.00
11/08/2018	154117252	BAKER, BEVERLY	S	PHYSICIANS REALTY TRUST	B	5,048.60	15.00	15.00	0.00	0.0	0.00
11/07/2018	154119554	BAKER, BEVERLY	S	DUKE REALTY CORPORATION	B	10,013.90	15.00	15.00	0.00	0.0	0.00
11/08/2018	154119554	BAKER, BEVERLY	S	LTC PROPERTIES INCORPORATE	B	10,025.83	15.00	15.00	0.00	0.0	0.00
11/08/2018	154119554	BAKER, BEVERLY	S	PHYSICIANS REALTY TRUST	B	10,102.64	15.00	15.00	0.00	0.0	0.00
11/07/2018	307663507	GOTHARD, CAROLYN	S	DUKE REALTY CORPORATION	B	3,006.70	15.00	15.00	0.00	0.0	0.00
11/08/2018	307663507	GOTHARD, CAROLYN	S	LTC PROPERTIES INCORPORATE	B	10,001.78	15.00	15.00	0.00	0.0	0.00
11/07/2018	368259871	ROBBINS, L	S	DUKE REALTY CORPORATION	B	7,994.76	15.00	15.00	0.00	0.0	0.00
11/07/2018	368260199	ROBBINS, L	S	DUKE REALTY CORPORATION	B	15,006.84	15.00	15.00	0.00	0.0	0.00
11/07/2018	368260199	ROBBINS, L	S	SCANA CORP NEW	S	-4,003.54	15.00	15.00	0.00	0.0	0.00
11/08/2018	368260199	ROBBINS, L	S	LTC PROPERTIES INCORPORATE	B	15,049.20	15.00	15.00	0.00	0.0	0.00
11/08/2018	368260199	ROBBINS, L	S	PHYSICIANS REALTY TRUST	B	15,091.20	15.00	15.00	0.00	0.0	0.00
11/07/2018	368261812	WURSTER, SUSANNE	S	DUKE REALTY CORPORATION	B	23,256.98	15.00	15.00	0.00	0.0	0.00

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11/08/2018 368261812	WURSTER, SUSANNE	S LTC PROPERTIES INCORPORATE	B	20,071.10	15.00	15.00	0.00	0.0	0.00
11/08/2018 368261812	WURSTER, SUSANNE	S PHYSICIANS REALTY TRUST	B	3,699.45	15.00	15.00	0.00	0.0	0.00
11/07/2018 368290532	MARSHALL, RICHARD	S DUKE REALTY CORPORATION	B	12,010.14	15.00	15.00	0.00	0.0	0.00
11/08/2018 368290532	MARSHALL, RICHARD	S LTC PROPERTIES INCORPORATE	B	12,019.57	15.00	15.00	0.00	0.0	0.00
11/08/2018 368290532	MARSHALL, RICHARD	S PHYSICIANS REALTY TRUST	B	12,089.19	15.00	15.00	0.00	0.0	0.00
11/08/2018 368300440	MARTIN, RONALD	S LTC PROPERTIES INCORPORATE	B	6,012.31	15.00	15.00	0.00	0.0	0.00
11/08/2018 368300440	MARTIN, RONALD	S PHYSICIANS REALTY TRUST	B	7,402.09	15.00	15.00	0.00	0.0	0.00
11/07/2018 368314002	LEMMONS, THOMAS	S DUKE REALTY CORPORATION	B	9,015.55	15.00	15.00	0.00	0.0	0.00
11/08/2018 368314002	LEMMONS, THOMAS	S LTC PROPERTIES INCORPORATE	B	8,525.09	15.00	15.00	0.00	0.0	0.00
11/07/2018 368330542	LADNER, HERSHEL	S DUKE REALTY CORPORATION	B	14,034.04	15.00	15.00	0.00	0.0	0.00
11/08/2018 368330542	LADNER, HERSHEL	S PHYSICIANS REALTY TRUST	B	7,536.97	15.00	15.00	0.00	0.0	0.00
11/07/2018 368431614	STUBBS, JACQUELINE	S DUKE REALTY CORPORATION	B	6,998.19	15.00	15.00	0.00	0.0	0.00
11/27/2018 368431614	STUBBS, JACQUELINE	S ISHARES RUSSELL 2000 VAL IND	S	-3,004.54	15.00	15.00	0.00	0.0	0.00
11/07/2018 368434452	GREGERSEN, DONNA	S DUKE REALTY CORPORATION	B	2,996.45	15.00	15.00	0.00	0.0	0.00
11/08/2018 368434452	GREGERSEN, DONNA	S PHYSICIANS REALTY TRUST	B	3,025.63	15.00	15.00	0.00	0.0	0.00
11/07/2018 368434462	GREGERSEN, JOHN	S DUKE REALTY CORPORATION	B	2,995.07	15.00	15.00	0.00	0.0	0.00
11/08/2018 368434462	GREGERSEN, JOHN	S PHYSICIANS REALTY TRUST	B	3,029.19	15.00	15.00	0.00	0.0	0.00
11/07/2018 368435724	STAFF, RENEE	S DUKE REALTY CORPORATION	B	4,992.53	15.00	15.00	0.00	0.0	0.00
11/08/2018 368435724	STAFF, RENEE	S LTC PROPERTIES INCORPORATE	B	3,002.24	15.00	15.00	0.00	0.0	0.00
11/08/2018 368435724	STAFF, RENEE	S PHYSICIANS REALTY TRUST	B	3,027.41	15.00	15.00	0.00	0.0	0.00
11/07/2018 368441888	PHILLIPS, CHRISTINE	S DUKE REALTY CORPORATION	B	14,031.57	15.00	15.00	0.00	0.0	0.00
11/08/2018 368441888	PHILLIPS, CHRISTINE	S LTC PROPERTIES INCORPORATE	B	7,018.73	15.00	15.00	0.00	0.0	0.00
11/08/2018 368441888	PHILLIPS, CHRISTINE	S PHYSICIANS REALTY TRUST	B	7,043.65	15.00	15.00	0.00	0.0	0.00
11/07/2018 368462158	PARSONS, JAMES	S DUKE REALTY CORPORATION	B	11,992.42	15.00	15.00	0.00	0.0	0.00
11/08/2018 368462158	PARSONS, JAMES	S PHYSICIANS REALTY TRUST	B	4,437.36	15.00	15.00	0.00	0.0	0.00
11/07/2018 368462732	GOTHARD, CAROLYN	S DUKE REALTY CORPORATION	B	21,049.71	15.00	15.00	0.00	0.0	0.00
11/08/2018 368462732	GOTHARD, CAROLYN	S LTC PROPERTIES INCORPORATE	B	20,032.62	15.00	15.00	0.00	0.0	0.00
11/08/2018 368462732	GOTHARD, CAROLYN	S PHYSICIANS REALTY TRUST	B	20,104.53	15.00	15.00	0.00	0.0	0.00
11/07/2018 368464494	STUBBS, DAVID	S DUKE REALTY CORPORATION	B	3,991.42	15.00	15.00	0.00	0.0	0.00
11/08/2018 368464494	STUBBS, DAVID	S LTC PROPERTIES INCORPORATE	B	4,005.52	15.00	15.00	0.00	0.0	0.00
11/08/2018 368464494	STUBBS, DAVID	S PHYSICIANS REALTY TRUST	B	4,037.25	15.00	15.00	0.00	0.0	0.00
11/07/2018 461530764	LADNER, MARY	S DUKE REALTY CORPORATION	B	2,011.68	15.00	15.00	0.00	0.0	0.00
11/07/2018 492387904	MATTHEWS, R ELLEN	S DUKE REALTY CORPORATION	B	6,991.96	15.00	15.00	0.00	0.0	0.00
11/08/2018 492387904	MATTHEWS, R ELLEN	S LTC PROPERTIES INCORPORATE	B	7,515.53	15.00	15.00	0.00	0.0	0.00
11/08/2018 553224194	TOD, MAURICE OHMAN	S LTC PROPERTIES INCORPORATE	B	1,532.48	15.00	15.00	0.00	0.0	0.00
11/08/2018 584306925	ANN POWELL, MARY	S LTC PROPERTIES INCORPORATE	B	8,001.32	15.00	15.00	0.00	0.0	0.00
11/07/2018 584415418	PHILLIPS, TRAVIS	S DUKE REALTY CORPORATION	B	2,205.79	15.00	15.00	0.00	0.0	0.00
11/07/2018 646121468	WURSTER, SUSANNE	S DUKE REALTY CORPORATION	B	2,489.12	15.00	15.00	0.00	0.0	0.00
11/08/2018 646121468	WURSTER, SUSANNE	S PHYSICIANS REALTY TRUST	B	2,228.72	15.00	15.00	0.00	0.0	0.00
11/07/2018 646271794	PARSONS, JAMES	S DUKE REALTY CORPORATION	B	3,002.60	15.00	15.00	0.00	0.0	0.00
11/07/2018 646769616	ROBBINS, BRIAN	S DUKE REALTY CORPORATION	B	12,998.76	15.00	15.00	0.00	0.0	0.00
11/07/2018 646769616	ROBBINS, BRIAN	S SCANA CORP NEW	S	-4,002.50	15.00	15.00	0.00	0.0	0.00
11/07/2018 677627409	CAREY, LESLEY	S DUKE REALTY CORPORATION	B	10,010.21	15.00	15.00	0.00	0.0	0.00
11/07/2018 799809455	WILFORD, NED	S DUKE REALTY CORPORATION	B	25,769.27	15.00	15.00	0.00	0.0	0.00

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11/08/2018 799809455	WILFORD, NED	S LTC PROPERTIES INCORPORATE	B	25,064.98	15.00	15.00	0.00	0.0	0.00
11/08/2018 799809455	WILFORD, NED	S PHYSICIANS REALTY TRUST	B	25,179.53	15.00	15.00	0.00	0.0	0.00
11/07/2018 799810243	WILFORD (TOD), DAN	S DUKE REALTY CORPORATION	B	11,967.75	15.00	15.00	0.00	0.0	0.00
11/08/2018 799810243	WILFORD (TOD), DAN	S PHYSICIANS REALTY TRUST	B	15,046.97	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				<u>\$572,348.48</u>	<u>\$1,080.00</u>	<u>\$1,080.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.06	0.00	3.06	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZ RCM TECHNOLOGY FUND	B	0.00	5.09	0.00	5.09	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.39	0.00	1.39	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.93	0.00	4.93	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT DREYFUS RESEARCH GROWTH FD A	B	0.00	0.34	0.00	0.34	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT MARSICO FOCUS FD	B	0.00	1.02	0.00	1.02	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT OPPENHEIMER CAPITAL INCOME FD	B	0.00	2.40	0.00	2.40	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT PIM 018919704	B	0.00	7.16	0.00	7.16	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	19.49	0.00	19.49	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.14	0.00	10.14	0.0	0.00
11/21/2018 mfc539565	DPM BRSITER TOM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	33.21	0.00	33.21	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$88.23</u>	<u>\$0.00</u>	<u>\$88.23</u>		<u>\$0.00</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$533,052.31</u>	<u>\$1,168.23</u>	<u>\$1,100.00</u>	<u>\$68.23</u>		<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00