



COMMISSION STATEMENT

November 05, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/31/2018	***-**-9806 - KERINS, MARILYN	GENWORTH 37250G327	0.00	10.73	0.00	10.73	90.0	9.66
	ACCOUNT #: T06433169	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$10.73	\$0.00	\$10.73		\$9.66
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$10.73	\$0.00	\$10.73		\$9.66

VERRIDE TRANSACTIONS

BROKER: CHRISTOPHER MILGROOM

11/05/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.87	0.00	1.87	15.0	0.28
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
11/05/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.83	0.00	4.83	15.0	0.72
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
11/05/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.39	0.00	0.39	15.0	0.06
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
11/05/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.36	0.00	0.36	15.0	0.05
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
11/05/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.26	0.00	0.26	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
11/05/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.87	0.00	1.87	15.0	0.28
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
11/05/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.83	0.00	4.83	15.0	0.72
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
11/05/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.39	0.00	0.39	15.0	0.06
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
10/27/2018	***-**-6062 - FIGUERAS,	ING ADVANTAGE SELECT	0.00	10.62	0.00	10.62	15.0	1.59
	ACCOUNT #: R077163-ZS	BUY 0 Units @0.0000						
10/27/2018	***-**-2107 - FIGUERAS, DAURIS	ING ADVANTAGE SELECT	0.00	25.36	0.00	25.36	15.0	3.80
	ACCOUNT #: R077170-ZS	BUY 0 Units @0.0000						
11/01/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	450.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
10/23/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	139.52	1.05	0.00	1.05	15.0	0.16
	ACCOUNT #: 07123000494	BUY 11 Units @12.5300						



COMMISSION STATEMENT

November 05, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS (continued)

* CHRISTOPHER MILGROOM SUBTOTAL *	\$589.52	\$56.33	\$0.00	\$56.33	\$8.44
*** OVERRIDE TRANSACTIONS TOTAL ***	\$589.52	\$56.33	\$0.00	\$56.33	\$8.44

BROKER COMMISSION TOTALS

Broker Transactions	\$9.66
Split Transactions	\$0.00
Override Transactions	\$8.44
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$21.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$39.21
Year-to-date Earnings	\$3,818.84