

## COMMISSION STATEMENT

October 31, 2018

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: S01 S22

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/28/2018 75500864	WINICK, JESSE	AT&T INC	S	264,000.00	0.00	7.00	-7.00	85.0	-7.00
10/05/2018 75500864	WINICK, JESSE	AT&T INC	S	81,600.00	0.00	7.00	-7.00	85.0	-7.00
10/08/2018 75500864	WINICK, JESSE	AT&T INC	S	54,400.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2018 75500864	WINICK, JESSE	AT&T INC	B	53,550.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75500864	WINICK, JESSE	AT&T INC	B	198,450.00	0.00	7.00	-7.00	85.0	-7.00
10/05/2018 75500881	SUSLOW, RONNIE	AT&T INC	S	660,000.00	0.00	7.00	-7.00	85.0	-7.00
10/11/2018 75500881	SUSLOW, RONNIE	AT&T INC	B	62,700.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018 75500881	SUSLOW, RONNIE	AT&T INC	B	201,300.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75500881	SUSLOW, RONNIE	AT&T INC	B	124,000.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2018 75500882	SUSLOW, RONNIE	AT&T INC	B	82,500.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75500882	SUSLOW, RONNIE	AT&T INC	B	181,500.00	0.00	7.00	-7.00	85.0	-7.00
10/05/2018 75500918	SUSLOW, ELISSA	AT&T INC	S	759,000.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018 75500918	SUSLOW, ELISSA	AT&T INC	B	528,000.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75500918	SUSLOW, ELISSA	AT&T INC	B	315,000.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2018 75500933	LAWRENCE, IRA	ARLO TECHNOLOGIES INC COMMON STOCK	B	14,510.00	10.00	7.00	3.00	85.0	1.50
10/08/2018 75500933	LAWRENCE, IRA	INFINERA CORP	S	12,004.40	10.00	7.00	3.00	85.0	1.50
10/08/2018 75500933	LAWRENCE, IRA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US	S	11,063.40	10.00	7.00	3.00	85.0	1.50
10/16/2018 75500933	LAWRENCE, IRA	YANDEX N V CLASS A	S	34,471.40	10.00	7.00	3.00	85.0	1.50
10/23/2018 75500933	LAWRENCE, IRA	SCRIPPS E W CO OHIO CL A NEW	B	17,000.00	10.00	7.00	3.00	85.0	1.50
10/05/2018 75500954	SUSLOW, STEPHEN	BLACKROCK TAXABLE MUNICIPAL BOND TR	B	6,117.00	0.00	7.00	-7.00	85.0	-7.00
10/08/2018 75500954	SUSLOW, STEPHEN	BLACKROCK TAXABLE MUNICIPAL BOND TR	B	40,519.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018 75500954	SUSLOW, STEPHEN	AT&T INC	B	322,560.50	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75500954	SUSLOW, STEPHEN	AT&T INC	B	264,000.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75500986	SUSLOVICH, JACOB	AT&T INC	B	186,000.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2018 75501098	WINICK FAMILY	OCWEN FINANCIAL CORPORATION	S	120,550.98	20.20	7.00	13.20	85.0	10.17
10/25/2018 75501098	WINICK FAMILY	AT&T INC	B	75,600.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018 75501098	WINICK FAMILY	AT&T INC	B	176,400.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2018 75501161	LAWRENCE, DAVID	ARLO TECHNOLOGIES INC COMMON STOCK	B	14,510.00	10.00	7.00	3.00	85.0	1.50
10/12/2018 75501161	LAWRENCE, DAVID	NEWMONT MINING CORP (NEW)	B	31,987.50	10.00	7.00	3.00	85.0	1.50
10/23/2018 75501161	LAWRENCE, DAVID	SCRIPPS E W CO OHIO CL A NEW	B	17,000.00	10.00	7.00	3.00	85.0	1.50
10/26/2018 75501161	LAWRENCE, DAVID	ARLO TECHNOLOGIES INC COMMON STOCK	B	23,000.00	10.00	7.00	3.00	85.0	1.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: STOCKS												
10/26/2018	75501161	LAWRENCE, DAVID	ARLO TECHNOLOGIES INC COMMON STOCK	S	25,200.00	10.00	7.00	3.00	85.0	1.50		
10/16/2018	75501189	IRA S LAWRENCE TTEE	ACADIA PHARMACEUTICALS INC COM	S	22,550.00	10.00	7.00	3.00	85.0	1.50		
10/23/2018	75501196	LAWRENCE, ADELLE	BANK OZK COMMON STOCK	B	24,910.00	10.00	7.00	3.00	85.0	1.50		
10/26/2018	75501215	SISTERS TWO LLC	AT&T INC	B	186,000.00	0.00	7.00	-7.00	85.0	-7.00		
10/26/2018	75502117	BLUMA ROTTERMANN TTEE	AT&T INC	B	257,300.00	0.00	7.00	-7.00	85.0	-7.00		
* STOCKS SUBTOTAL *					\$5,449,254.18	\$140.20	\$252.00	\$-111.80		\$-132.83		
PRODUCT CATEGORY: BONDS												
10/09/2018	75500837	BELL, MARTIN	UNITED STATES TREAS BILLS 0.000% 01 B		198,877.67	0.00	7.00	-7.00	85.0	-7.00		
* BONDS SUBTOTAL *					\$198,877.67	\$0.00	\$7.00	\$-7.00		\$-7.00		
PRODUCT CATEGORY: OPTIONS												
10/19/2018	75500791	SUSLOVICH, ESTHER	CALL T	10/26/18	33.50	S	497.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500791	SUSLOVICH, ESTHER	CALL T	10/26/18	33.50	B	7,693.82	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500791	SUSLOVICH, ESTHER	PUT T	11/16/18	30.50	S	9,286.80	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500837	BELL, MARTIN	CALL T	10/26/18	33.50	S	686.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500837	BELL, MARTIN	CALL T	10/26/18	33.50	B	10,619.64	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500837	BELL, MARTIN	PUT T	11/16/18	30.50	S	12,818.40	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500838	BELL, MARTIN	CALL T	10/26/18	33.50	S	280.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500838	BELL, MARTIN	CALL T	10/26/18	33.50	B	4,334.55	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500838	BELL, MARTIN	PUT T	11/16/18	30.50	S	5,232.00	0.00	7.00	-7.00	85.0	-7.00
10/17/2018	75500859	LABELLA, CHARLES	PUT T	10/19/18	32	S	160.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500859	LABELLA, CHARLES	CALL T	10/26/18	33.50	S	560.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500859	LABELLA, CHARLES	CALL T	10/26/18	33.50	B	8,669.09	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500859	LABELLA, CHARLES	PUT T	11/16/18	30.50	S	10,464.00	0.00	7.00	-7.00	85.0	-7.00
10/01/2018	75500864	WINICK, JESSE	CALL T	10/05/18	34	S	405.00	40.00	7.00	33.00	85.0	27.00
10/01/2018	75500864	WINICK, JESSE	PUT T	10/05/18	32.50	S	360.00	36.00	7.00	29.00	85.0	23.60
10/04/2018	75500864	WINICK, JESSE	CALL T	10/05/18	34	B	210.00	0.00	7.00	-7.00	85.0	-7.00
10/05/2018	75500864	WINICK, JESSE	CALL T	10/05/18	34	B	120.00	0.00	7.00	-7.00	85.0	-7.00
10/08/2018	75500864	WINICK, JESSE	PUT T	10/19/18	32.50	S	1,000.00	100.00	7.00	93.00	85.0	78.00
10/19/2018	75500864	WINICK, JESSE	CALL T	10/26/18	33.50	S	1,440.00	144.00	7.00	137.00	85.0	115.40
10/26/2018	75500864	WINICK, JESSE	CALL WFC	11/02/18	53	S	3,120.00	0.00	7.00	-7.00	85.0	-7.00
10/29/2018	75500864	WINICK, JESSE	CALL AABA	11/09/18	64.50	S	1,260.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018	75500867	GREENSPAN, WILLIAM	PUT T	10/19/18	33	S	1,350.00	135.00	7.00	128.00	85.0	107.75
10/22/2018	75500867	GREENSPAN, WILLIAM	CALL SPY	01/20/17	222	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500867	GREENSPAN, WILLIAM	CALL WFC	11/02/18	53	S	3,120.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2018	75500881	SUSLOW, RONNIE	PUT T	09/28/18	33	B	1,139.71	0.00	7.00	-7.00	85.0	-7.00
10/01/2018	75500881	SUSLOW, RONNIE	PUT T	10/05/18	32.50	S	120.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500881	SUSLOW, RONNIE	CALL AABA	10/05/18	69	S	473.71	0.00	7.00	-7.00	85.0	-7.00
10/08/2018	75500881	SUSLOW, RONNIE	PUT T	10/12/18	33	S	760.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/10/2018	75500881	SUSLOW, RONNIE	PUT T	10/19/18	32.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018	75500881	SUSLOW, RONNIE	CALL T	10/26/18	33.50	S	2,580.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018	75500881	SUSLOW, RONNIE	CALL T	10/26/18	34	S	692.31	0.00	7.00	-7.00	85.0	-7.00
10/15/2018	75500881	SUSLOW, RONNIE	PUT T	10/19/18	32.50	S	560.00	0.00	7.00	-7.00	85.0	-7.00
10/22/2018	75500881	SUSLOW, RONNIE	CALL T	10/26/18	33.50	S	320.00	0.00	7.00	-7.00	85.0	-7.00
10/22/2018	75500881	SUSLOW, RONNIE	PUT T	10/26/18	31	S	480.00	0.00	7.00	-7.00	85.0	-7.00
10/23/2018	75500881	SUSLOW, RONNIE	CALL SPY	01/20/17	222	S	1,140.00	0.00	7.00	-7.00	85.0	-7.00
10/23/2018	75500881	SUSLOW, RONNIE	CALL T	10/26/18	33.50	S	820.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2018	75500881	SUSLOW, RONNIE	CALL SPY	01/20/17	222	B	2,300.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500881	SUSLOW, RONNIE	CALL T	10/26/18	33.50	B	13,003.64	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500881	SUSLOW, RONNIE	PUT T	11/16/18	30.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500881	SUSLOW, RONNIE	PUT T	11/16/18	30.50	S	15,696.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2018	75500882	SUSLOW, RONNIE	PUT T	10/19/18	33	S	860.00	0.00	7.00	-7.00	85.0	-7.00
10/15/2018	75500882	SUSLOW, RONNIE	PUT T	10/19/18	33	S	116.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500882	SUSLOW, RONNIE	CALL T	10/26/18	33.50	S	5,240.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500882	SUSLOW, RONNIE	PUT T	10/19/18	33	B	1,900.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2018	75500918	SUSLOW, ELISSA	PUT T	09/28/18	33	B	807.29	0.00	7.00	-7.00	85.0	-7.00
09/28/2018	75500918	SUSLOW, ELISSA	PUT T	09/28/18	33	B	272.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2018	75500918	SUSLOW, ELISSA	CALL T	10/05/18	34	B	357.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2018	75500918	SUSLOW, ELISSA	CALL T	10/05/18	34	S	315.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500918	SUSLOW, ELISSA	CALL T	10/05/18	34	S	231.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500918	SUSLOW, ELISSA	CALL T	10/05/18	34	B	377.00	0.00	7.00	-7.00	85.0	-7.00
10/08/2018	75500918	SUSLOW, ELISSA	PUT T	10/12/18	33	S	760.00	0.00	7.00	-7.00	85.0	-7.00
10/09/2018	75500918	SUSLOW, ELISSA	PUT T	10/19/18	32.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2018	75500918	SUSLOW, ELISSA	PUT T	10/12/18	33	B	800.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2018	75500918	SUSLOW, ELISSA	PUT T	10/12/18	33	S	1,040.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2018	75500918	SUSLOW, ELISSA	PUT T	10/19/18	32.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018	75500918	SUSLOW, ELISSA	CALL T	10/26/18	34	S	1,153.85	0.00	7.00	-7.00	85.0	-7.00
10/12/2018	75500918	SUSLOW, ELISSA	CALL T	10/26/18	33.50	S	5,160.00	0.00	7.00	-7.00	85.0	-7.00
10/23/2018	75500918	SUSLOW, ELISSA	CALL T	10/26/18	33.50	S	2,200.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2018	75500918	SUSLOW, ELISSA	CALL PNC	01/18/19	110	S	700.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500918	SUSLOW, ELISSA	CALL WFC	11/02/18	53	S	3,120.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500919	SUSLOW, ELISSA	CALL T	10/26/18	33.50	S	217.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500919	SUSLOW, ELISSA	CALL T	10/26/18	33.50	B	3,359.27	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500919	SUSLOW, ELISSA	PUT T	11/16/18	30.50	S	4,054.80	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500954	SUSLOW, STEPHEN	CALL T	10/26/18	33.50	S	5,250.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500954	SUSLOW, STEPHEN	CALL T	10/05/18	33.50	B	4,087.50	0.00	7.00	-7.00	85.0	-7.00
10/10/2018	75500954	SUSLOW, STEPHEN	PUT T	10/19/18	33	S	860.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2018	75500954	SUSLOW, STEPHEN	CALL T	10/26/18	34	S	1,153.85	0.00	7.00	-7.00	85.0	-7.00
10/15/2018	75500954	SUSLOW, STEPHEN	PUT T	10/19/18	32	S	1,800.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/19/2018	75500954	SUSLOW, STEPHEN	CALL T	10/26/18	33.50	S	5,240.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500954	SUSLOW, STEPHEN	PUT T	10/19/18	33	B	1,900.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500986	SUSLOVICH, JACOB	CALL T	10/26/18	33.50	S	350.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2018	75500986	SUSLOVICH, JACOB	CALL T	10/05/18	33.50	B	272.50	0.00	7.00	-7.00	85.0	-7.00
10/04/2018	75500986	SUSLOVICH, JACOB	CALL T	10/26/18	33.50	S	5,138.71	0.00	7.00	-7.00	85.0	-7.00
10/04/2018	75500986	SUSLOVICH, JACOB	CALL T	10/05/18	33.50	B	3,638.71	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75500986	SUSLOVICH, JACOB	PUT T	10/26/18	31	S	625.42	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75500986	SUSLOVICH, JACOB	PUT T	11/16/18	30.50	S	900.00	0.00	7.00	-7.00	85.0	-7.00
10/08/2018	75501098	WINICK FAMILY	PUT T	10/19/18	32.50	S	1,000.00	100.00	7.00	93.00	85.0	78.00
10/19/2018	75501098	WINICK FAMILY	CALL T	10/26/18	33.50	S	1,440.00	144.00	7.00	137.00	85.0	115.40
10/29/2018	75501098	WINICK FAMILY	CALL AABA	11/09/18	64.50	S	1,260.00	0.00	7.00	-7.00	85.0	-7.00
10/04/2018	75501215	SISTERS TWO LLC	CALL T	10/26/18	33.50	S	5,481.29	0.00	7.00	-7.00	85.0	-7.00
10/04/2018	75501215	SISTERS TWO LLC	CALL T	10/05/18	33.50	B	3,881.29	0.00	7.00	-7.00	85.0	-7.00
10/19/2018	75501215	SISTERS TWO LLC	PUT T	10/26/18	31	S	625.42	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75501215	SISTERS TWO LLC	PUT T	11/16/18	30.50	S	900.00	0.00	7.00	-7.00	85.0	-7.00
10/08/2018	75502117	BLUMA ROTTERMANN	TTEE PUT T	10/19/18	32.50	S	1,000.00	100.00	7.00	93.00	85.0	78.00
10/12/2018	75502117	BLUMA ROTTERMANN	TTEE PUT T	10/19/18	33	S	1,500.00	150.00	7.00	143.00	85.0	120.50
10/19/2018	75502117	BLUMA ROTTERMANN	TTEE PUT T	10/26/18	31	S	865.16	86.00	7.00	79.00	85.0	66.10
10/26/2018	75502117	BLUMA ROTTERMANN	TTEE PUT T	11/16/18	30.50	S	1,245.00	124.00	7.00	117.00	85.0	98.40
09/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/05/18	69.50	S	11,861.00	380.00	7.00	373.00	85.0	316.00
09/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/28/18	68	B	4,253.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/05/18	70	S	3,360.00	168.00	7.00	161.00	85.0	135.80
10/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/12/18	69	S	9,500.00	300.00	7.00	293.00	85.0	248.00
10/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/05/18	69	S	1,184.29	59.00	7.00	52.00	85.0	43.15
10/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/12/18	70	S	5,380.00	269.00	7.00	262.00	85.0	221.65
10/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/12/18	70.50	S	2,140.00	107.00	7.00	100.00	85.0	83.95
10/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/12/18	70.50	S	14,340.00	600.00	7.00	593.00	85.0	503.00
10/08/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	66.50	S	16,980.00	849.00	7.00	842.00	85.0	714.65
10/08/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	67	S	12,156.00	607.00	7.00	600.00	85.0	508.95
10/09/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	66	S	125.00	0.00	7.00	-7.00	85.0	-7.00
10/09/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	65.50	S	360.00	18.00	7.00	11.00	85.0	8.30
10/10/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	64.50	S	12,420.00	621.00	7.00	614.00	85.0	520.85
10/10/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	6,920.00	346.00	7.00	339.00	85.0	287.10
10/11/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	64	S	3,200.00	160.00	7.00	153.00	85.0	129.00
10/11/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	64	S	12,360.00	600.00	7.00	593.00	85.0	503.00
10/11/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	64.50	S	10,460.00	523.00	7.00	516.00	85.0	437.55
10/11/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	7,120.00	356.00	7.00	349.00	85.0	295.60
10/12/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	64.50	S	6,320.00	300.00	7.00	293.00	85.0	248.00
10/12/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	64	S	3,000.00	150.00	7.00	143.00	85.0	120.50
10/12/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	13,640.00	660.00	7.00	653.00	85.0	554.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/15/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	9,452.00	472.00	7.00	465.00	85.0	394.20
10/15/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	64.50	S	18,300.00	900.00	7.00	893.00	85.0	758.00
10/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	2,560.00	128.00	7.00	121.00	85.0	101.80
10/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	2,300.00	115.00	7.00	108.00	85.0	90.75
10/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	4,955.00	247.00	7.00	240.00	85.0	202.95
10/17/2018	75502141	BOSGANG, ALVIN	PUT AABA	12/15/17	68	S	5,040.00	252.00	7.00	245.00	85.0	207.20
10/17/2018	75502141	BOSGANG, ALVIN	PUT AABA	10/26/18	59	S	6,660.00	330.00	7.00	323.00	85.0	273.50
10/18/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	2,280.00	114.00	7.00	107.00	85.0	89.90
10/19/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	5,220.00	261.00	7.00	254.00	85.0	214.85
10/19/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	2,180.00	109.00	7.00	102.00	85.0	85.65
10/19/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	5,680.00	284.00	7.00	277.00	85.0	234.40
10/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	6,600.00	300.00	7.00	293.00	85.0	248.00
10/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/26/18	65	S	1,200.00	60.00	7.00	53.00	85.0	44.00
10/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	3,100.00	155.00	7.00	148.00	85.0	124.75
10/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	6,340.00	300.00	7.00	293.00	85.0	248.00
10/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	10/19/18	64	S	3,800.00	190.00	7.00	183.00	85.0	154.50
10/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	3,500.00	150.00	7.00	143.00	85.0	120.50
10/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	4,860.00	243.00	7.00	236.00	85.0	199.55
10/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	2,860.00	143.00	7.00	136.00	85.0	114.55
10/23/2018	75502141	BOSGANG, ALVIN	PUT T	10/26/18	31	S	7,100.00	355.00	7.00	348.00	85.0	294.75
10/24/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	13,104.00	600.00	7.00	593.00	85.0	503.00
10/24/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	14,216.00	710.00	7.00	703.00	85.0	596.50
10/25/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	4,880.00	244.00	7.00	237.00	85.0	200.40
10/25/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	900.00	45.00	7.00	38.00	85.0	31.25
10/25/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	6,960.00	348.00	7.00	341.00	85.0	288.80
10/25/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	1,140.00	57.00	7.00	50.00	85.0	41.45
10/26/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	17,534.00	690.00	7.00	683.00	85.0	579.50
10/26/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	6,580.00	329.00	7.00	322.00	85.0	272.65
10/26/2018	75502141	BOSGANG, ALVIN	PUT T	10/26/18	31	B	96,996.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75502141	BOSGANG, ALVIN	PUT T	11/16/18	30	S	62,543.00	0.00	7.00	-7.00	85.0	-7.00
10/26/2018	75502141	BOSGANG, ALVIN	PUT T	11/16/18	30.50	S	14,400.00	720.00	7.00	713.00	85.0	605.00
10/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/02/18	66.50	S	4,780.00	239.00	7.00	232.00	85.0	196.15
10/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/09/18	64.50	S	6,568.00	300.00	7.00	293.00	85.0	248.00
10/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/09/18	64.50	S	6,080.00	300.00	7.00	293.00	85.0	248.00
10/30/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/09/18	64.50	S	15,035.00	750.00	7.00	743.00	85.0	630.50
10/30/2018	75502141	BOSGANG, ALVIN	CALL AABA	11/09/18	64	S	13,601.00	680.00	7.00	673.00	85.0	571.00
				* OPTIONS SUBTOTAL *		\$757,610.02	\$19,352.00	\$1,008.00	\$18,344.00		\$15,441.20	

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018		FEE COUNT - 1		0.00	22.91	0.00	22.91	85.0	19.47
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$22.91	\$0.00	\$22.91		\$19.47
		*** BROKER TRANSACTIONS TOTAL ***		\$6,405,741.87	\$19,515.11	\$1,267.00	\$18,248.11		\$15,320.84

ADJUSTMENTS

10/31/2018		OTHER: HEALTH INSURANCE		0.00	0.00	0.00	0.00		-556.05
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$15,320.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-24.39
SIPC Assessment	\$-48.79

Check Amount	\$14,691.61
Year-to-date Earnings	\$161,926.83