



COMMISSION STATEMENT

September 24, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/17/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00068454444	AMERICAN BALANCED FUND-A BUY 2 Units @29.2600	50.00	2.13	0.00	2.13	80.0	1.70
09/17/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00068454445	AMERICAN BALANCED FUND-A BUY 2 Units @29.2600	50.00	2.13	0.00	2.13	80.0	1.70
09/21/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	AMERICAN BALANCED FUND-A BUY 1 Units @28.0700	20.00	0.20	0.00	0.20	80.0	0.16
09/21/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	AMERICAN BALANCED FUND-A BUY 1 Units @28.0700	28.50	0.29	0.00	0.29	80.0	0.23
09/21/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	AMERICAN BALANCED FUND-A BUY 0 Units @28.0700	10.00	0.10	0.00	0.10	80.0	0.08
09/21/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	AMERICAN BALANCED FUND-A BUY 1 Units @28.0700	18.91	0.19	0.00	0.19	80.0	0.15
09/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087429936	AMERICAN BALANCED FUND-C BUY 2 Units @27.6300	50.00	0.50	0.00	0.50	80.0	0.40
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	40.00	0.40	0.00	0.40	80.0	0.32
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	15.18	0.15	0.00	0.15	80.0	0.12
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	40.80	0.41	0.00	0.41	80.0	0.33
09/18/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	14.98	0.15	0.00	0.15	80.0	0.12
09/20/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @27.8700	50.00	0.50	0.00	0.50	80.0	0.40
09/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 0 Units @27.8700	3.63	0.04	0.00	0.04	80.0	0.03
09/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @27.8700	28.05	0.28	0.00	0.28	80.0	0.22
09/20/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.8700	6.00	0.06	0.00	0.06	80.0	0.05
09/20/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.8700	1.78	0.02	0.00	0.02	80.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @27.8700						
09/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	3.80	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @27.8700						
09/20/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	61.20	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00089051066	BUY 2 Units @27.8700						
09/20/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	15.18	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089051066	BUY 1 Units @27.8700						
09/21/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.8900						
09/20/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.48	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @19.5200						
09/20/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	44.87	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 04000820464	BUY 2 Units @19.5200						
09/24/2018	***-**-801I - MASTROSIMONE,	AMERICAN FUNDS MF	50,000.00	500.00	0.00	500.00	80.0	400.00
		BUY 0 Units @0.0000						
09/13/2018	***-**-801I - MASTROSIMONE,	AMERICAN HIGH-INCOME TRUST-C	50,000.00	500.00	0.00	500.00	80.0	400.00
		BUY 0 Units @0.0000						
09/20/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.2700						
09/20/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.2700						
09/21/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @43.1600						
09/21/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	41.74	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00066758347	BUY 1 Units @43.1600						
09/21/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 00066759711	BUY 14 Units @43.1600						
09/21/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	36.47	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066759711	BUY 1 Units @43.1600						
09/17/2018	***-**-01UG - DIGIOVANNI,	AMERICAN MUTUAL FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087087389	BUY 4 Units @42.0600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/17/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @42.0600						
09/20/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @42.4500						
09/20/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.48	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @42.4500						
09/04/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION C	62.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 03222178044	BUY 0 Units @0.0000						
09/04/2018	***-**-9206 - SANDER, DAVID	CAPITAL APPRECIATION C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 03222178055	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
09/14/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
09/14/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
09/21/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00087299599	BUY 5 Units @60.8000						
09/20/2018	***-**-0447 - LEVINE, JACQUE	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00084996765	BUY 2 Units @63.3500						
09/17/2018	***-**-01UG - DIGIOVANNI,	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087087389	BUY 3 Units @49.7600						
09/18/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @50.1400						
09/20/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.6900						
09/20/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.6900						
09/21/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758344	BUY 0 Units @51.4100						
09/21/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	23.97	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00066758344	BUY 0 Units @51.4100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/21/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @50.8700						
09/14/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
09/17/2018	***-**-43IN - BISHOP, EVELYN	EUROPACIFIC GROWTH FUND CLASS C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000849605	BUY 1 Units @50.6600						
09/21/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @65.7100						
09/21/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	70.44	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 00066759710	BUY 1 Units @65.7100						
09/17/2018	***-**-845P - MARTHALLER,	FUNDAMENTAL INVESTORS-C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00087023930	BUY 1 Units @64.1100						
09/17/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @64.1100						
09/18/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	7.59	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @64.5600						
09/18/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @64.5600						
09/20/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @65.3200						
09/20/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @65.3200						
09/20/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @65.3200						
09/20/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.23	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @65.3200						
09/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	7.59	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @65.3200						
09/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 0 Units @65.3200						
09/04/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/14/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
09/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @23.0300						
09/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @23.0300						
09/17/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @41.0800						
09/18/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	14.53	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089583477	BUY 0 Units @41.2700						
09/20/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @41.6600						
09/20/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @41.6600						
09/20/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @41.6600						
09/20/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	0.95	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @41.6600						
09/04/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
09/14/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
09/17/2018	***-**-05IN - RANDALL, ADAM	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085941585	BUY 1 Units @44.0500						
09/18/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	7.58	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.3500						
09/18/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @44.3500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/20/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @44.8600						
09/20/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @44.8600						
09/20/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.8600						
09/20/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.8600						
09/20/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @44.8600						
09/20/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.61	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.8600						
09/20/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	7.58	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.8600						
09/20/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @44.8600						
09/20/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @61.5200						
09/20/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @61.5200						
09/20/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.60	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.5200						
09/20/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @61.5200						
09/20/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @61.5200						
09/20/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @61.5200						
09/04/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
09/04/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/04/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
09/14/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
09/14/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
09/14/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
09/14/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
09/14/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
09/04/2018	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	62.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
09/14/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
09/17/2018	***-**-43IN - BISHOP, EVELYN	SMALLCAP WORLD FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000849605	BUY 1 Units @52.2100						
09/18/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @52.5600						
09/18/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	7.59	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @52.5600						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	7.59	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @52.8300						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @52.8300						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @52.8300						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @52.8300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/04/2018	***-**-9206 - SANDER, DAVID	STRATEGIC INCOME FUND C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 02322653794	BUY 0 Units @0.0000						
09/14/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
09/20/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @12.4600						
09/20/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.4600						
09/20/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085904181	BUY 1 Units @12.4600						
09/20/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	2.66	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @12.4600						
09/21/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 4 Units @56.3800						
09/21/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	87.07	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 00066758342	BUY 2 Units @56.3800						
09/21/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758344	BUY 1 Units @56.3800						
09/21/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	35.95	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066758344	BUY 1 Units @56.3800						
09/21/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @56.3800						
09/21/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	41.75	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00066758347	BUY 1 Units @56.3800						
09/21/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @56.3800						
09/21/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	36.10	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066758348	BUY 1 Units @56.3800						
09/21/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	130.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: 00074922243	BUY 2 Units @56.3800						
09/21/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	50.03	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00074922243	BUY 1 Units @56.3800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/21/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	64.45	0.64	0.00	0.64	80.0	0.51
	ACCOUNT #: 00083414110	BUY 1 Units @56.3800						
09/21/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	78.79	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 00083414110	BUY 1 Units @56.3800						
09/21/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @56.3800						
09/21/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	58.36	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087318332	BUY 1 Units @56.3800						
09/21/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @56.3800						
09/21/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	18.90	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089461113	BUY 0 Units @56.3800						
09/17/2018	***-**-3431 - SPENCER,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000347049	BUY 2 Units @51.1900						
09/17/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @51.1900						
09/17/2018	***-**-0578 - DIODATO, ZACHARY	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000618224	BUY 1 Units @51.1900						
09/17/2018	***-**-4232 - DIODATO, MELISSA	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000618245	BUY 1 Units @51.1900						
09/18/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @51.6500						
09/18/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	14.54	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089583477	BUY 0 Units @51.6500						
09/20/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @52.1100						
09/20/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @52.1100						
09/20/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @52.1100						
09/20/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @52.1100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/20/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 4 Units @24.4200						
09/21/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @42.1400						
09/21/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	87.07	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 00066758342	BUY 2 Units @42.1400						
09/21/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @42.1400						
09/21/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	36.09	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066758348	BUY 1 Units @42.1400						
09/21/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 0 Units @42.1400						
09/21/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	28.49	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00073798048	BUY 1 Units @42.1400						
09/17/2018	***-**-9024 - HACKETT, ETHAN	THE NEW ECONOMY FUND-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00088123299	BUY 1 Units @43.2000						
09/17/2018	***-**-8932 - RUSH, MICHELLE	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000574835	BUY 4 Units @12.7200						
09/18/2018	***-**-279J - HERRMANN, KEVIN	THE TAX-EXEMPT BOND FUND OF AMERICA	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00086046167	BUY 10 Units @12.7000						
09/04/2018	***-**-9206 - SANDER, DAVID	VALUE FUND C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 03770488829	BUY 0 Units @0.0000						
09/21/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 11 Units @47.3000						
09/21/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	174.12	1.74	0.00	1.74	80.0	1.39
	ACCOUNT #: 00066758345	BUY 4 Units @47.3000						
09/17/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @45.9900						
09/17/2018	***-**-436I - RAGO, LISA	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000456708	BUY 1 Units @45.9900						
09/21/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @46.6800						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
* MUTUAL FUNDS SUBTOTAL *			\$108,233.09	\$1,091.18	\$0.00	\$1,091.18		\$872.88
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/17/2018	***-**-195P - HECHT, ANDREW	AMERICAN BALANCED FUND-529A	200.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00068453142	BUY 7 Units @29.4400						
09/17/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198137	BUY 2 Units @27.7600						
09/17/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198121	BUY 2 Units @27.7600						
09/17/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00081282910	BUY 1 Units @52.7500						
09/17/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00081283006	BUY 1 Units @52.7500						
09/24/2018	***-**-503J - OBI, JEAN	NY 529 ADDITIONAL DEPOSIT	50.00	2.38	0.00	2.38	80.0	1.90
		BUY 0 Units @0.0000						
09/24/2018	***-**-015P - FANTAUZZO,	NY 529 ADDITIONAL DEPOSIT	165.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
09/24/2018	***-**-335P - JULIAN, GIOVANA	NY 529 ADDITIONAL DEPOSIT	226.25	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
09/24/2018	***-**-6352 - GINERVA, ENZA	NY 529 ADDITIONAL DEPOSIT	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
09/24/2018	***-**-2942 - WARNEY, KENNETH	NY 529 ADDITIONAL DEPOSIT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
09/17/2018	***-**-415P - SCHILLACI, AMY	THE GROWTH FUND OF AMERICA-529C	75.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 00081268733	BUY 1 Units @51.3400						
* 529 PLAN SUBTOTAL *			\$961.25	\$22.76	\$0.00	\$22.76		\$18.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/06/2018	***-**-732J - ARBORE, MARIA	CORE BOND FUND - A	0.00	4.26	0.00	4.26	80.0	3.41
	ACCOUNT #: 00000108231	BUY 0 Units @0.0000						
09/24/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	3.69	0.00	3.69	80.0	2.95
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	6.45	0.00	6.45	80.0	5.16
09/24/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	80.0	2.77
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	6.22	0.00	6.22	80.0	4.98
09/24/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	80.0	2.89
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	2.03	0.00	2.03	80.0	1.62
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	4.42	0.00	4.42	80.0	3.54
09/10/2018	***-**-4550 - JONES, JEFFREY ACCOUNT #: 00529631491	FA 529 PORT 2019-CL P BUY 0 Units @0.0000	0.00	6.85	0.00	6.85	80.0	5.48
09/10/2018	***-**-7278 - GUERRIERI DDS, ACCOUNT #: 00655383206	FIDELITY ADV ASSET MANAGER 50 PERCE BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	80.0	0.58
09/10/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475410302	FIDELITY ADV FREEDOM 2020-CL C BUY 0 Units @0.0000	0.00	1.80	0.00	1.80	80.0	1.44
09/10/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475423313	FIDELITY ADV INTL DISCOVERY-CL A BUY 0 Units @0.0000	0.00	1.65	0.00	1.65	80.0	1.32
09/10/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475423313	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	3.85	0.00	3.85	80.0	3.08
09/10/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475423313	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	5.15	0.00	5.15	80.0	4.12
09/10/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475423313	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	5.14	0.00	5.14	80.0	4.11
09/10/2018	***-**-7352 - GEISELHART, ACCOUNT #: 00618796254	FIDELITY ADV STOCK SELECTOR ALL CAP BUY 0 Units @0.0000	0.00	33.05	0.00	33.05	80.0	26.44
09/10/2018	***-**-5703 - DIODATO, ACCOUNT #: 03900218260	FIDELITY ADV STRATEGIC INCOME CL C BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	80.0	0.28
09/10/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475423313	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	5.12	0.00	5.12	80.0	4.10



COMMISSION STATEMENT

September 24, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADVISOR FREEDOM 2020 CL A	0.00	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
09/06/2018	***-**-39IN - ARBORE, MARIA	GROWTH AND INCOME FUND - A	0.00	3.09	0.00	3.09	80.0	2.47
	ACCOUNT #: 00000176375	BUY 0 Units @0.0000						
09/24/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.42	0.00	2.42	80.0	1.94
		BUY 0 Units @0.0000						
09/24/2018	***-**-3758 - CONNORS, DAVID	NEW YORK 529 TRAILS	0.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
09/24/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	2.59	0.00	2.59	80.0	2.07
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
09/24/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	8.61	0.00	8.61	80.0	6.89
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
09/24/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.89	0.00	13.89	80.0	11.11
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
09/24/2018	***-**-6352 - GINERVA, ENZA	NEW YORK 529 TRAILS	0.00	1.85	0.00	1.85	80.0	1.48
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
09/24/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
09/14/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
09/06/2018	***-**-33UG - DELGAIZO, ALESHA	SMALL CAP EQUITY FUND - A	0.00	1.82	0.00	1.82	80.0	1.46
	ACCOUNT #: 00000413901	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$25.00	\$134.18	\$0.00	\$134.18		\$107.35
*** BROKER TRANSACTIONS TOTAL ***			\$109,219.34	\$1,248.12	\$0.00	\$1,248.12		\$998.43



BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$998.43
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$998.43
						Year-to-date Earnings		\$173,628.48