

COMMISSION STATEMENT October 15, 2018

JOY LAWRENCE-D198

BROKER #: D198

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018	00483478258	SCHRAUBEN, DIANA	MF	PUTNAM 746802800	B	100.00	5.00	0.00	5.00	0.0	0.00
				* MUTUAL FUNDS SUBTOTAL *		\$100.00	\$5.00	\$0.00	\$5.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018	(None)	VARIOUS, JOY RIX	MFT	HARTFORD MUTUAL FUIND	B	0.00	23.01	0.00	23.01	0.0	0.00
10/01/2018	00097504946	BLOHM, LINDA	MFT	PUTNAM 746802206	B	0.00	0.24	0.00	0.24	0.0	0.00
10/02/2018	00099812633	ANIBLE, PATRICK	MFT	TRANSAMERICA-IDEX 893961813	B	0.00	0.90	0.00	0.90	0.0	0.00
10/02/2018	00099812633	ANIBLE, PATRICK	MFT	TRANSAMERICA-IDEX 893962415	B	0.00	1.43	0.00	1.43	0.0	0.00
10/01/2018	00220999478	HALE, PAMELA	MFT	PUTNAM 746802800	B	0.00	0.12	0.00	0.12	0.0	0.00
10/01/2018	00234860368	JOHNSON, MARIA	MFT	GEORGE PUTNAM BALANCED FUND-A	B	0.00	12.30	0.00	12.30	0.0	0.00
10/01/2018	00234860368	JOHNSON, MARIA	MFT	PUTNAM MULTI-CAP CORE FUND-A	B	0.00	10.83	0.00	10.83	0.0	0.00
10/01/2018	00234860368	JOHNSON, MARIA	MFT	PUTNAM NEW OPPORTUNITIES FUND	B	0.00	13.98	0.00	13.98	0.0	0.00
10/01/2018	00288706308	CHRISTINA M	MFT	PUTNAM 746802800	B	0.00	0.37	0.00	0.37	0.0	0.00
10/01/2018	00288830844	CHERYL A GUNN	MFT	PUTNAM 746802800	B	0.00	0.02	0.00	0.02	0.0	0.00
10/01/2018	00322852003	CHERRYL L ENGLAND	MFT	PUTNAM 746802800	B	0.00	10.94	0.00	10.94	0.0	0.00
10/01/2018	00322852003	CHERRYL L ENGLAND	MFT	PUTNAM 746802859	B	0.00	8.77	0.00	8.77	0.0	0.00
10/01/2018	00322852003	CHERRYL L ENGLAND	MFT	PUTNAM MULTI-CAP CORE FUND-A	B	0.00	11.37	0.00	11.37	0.0	0.00
10/01/2018	00322852003	CHERRYL L ENGLAND	MFT	PUTNAM NEW OPPORTUNITIES FUND	B	0.00	14.97	0.00	14.97	0.0	0.00
10/01/2018	00322864542	CHERRYL L ENGLAND	MFT	PUTNAM 746802800	B	0.00	2.45	0.00	2.45	0.0	0.00
10/01/2018	00322864542	CHERRYL L ENGLAND	MFT	PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.06	0.00	1.06	0.0	0.00
10/01/2018	00322864542	CHERRYL L ENGLAND	MFT	PUTNAM NEW OPPORTUNITIES FUND	B	0.00	2.53	0.00	2.53	0.0	0.00
10/01/2018	00323119244	ENGLAND, CHERRYL	MFT	PUTNAM 746802800	B	0.00	1.36	0.00	1.36	0.0	0.00
10/01/2018	00323119244	ENGLAND, CHERRYL	MFT	PUTNAM 746802859	B	0.00	0.89	0.00	0.89	0.0	0.00
10/01/2018	00323119244	ENGLAND, CHERRYL	MFT	PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.31	0.00	1.31	0.0	0.00
10/01/2018	00323119244	ENGLAND, CHERRYL	MFT	PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.72	0.00	1.72	0.0	0.00
10/01/2018	00334245375	MICHELLE BOTT	MFT	PUTNAM 746802859	B	0.00	1.51	0.00	1.51	0.0	0.00
10/01/2018	00334245375	MICHELLE BOTT	MFT	PUTNAM MULTI-CAP CORE FUND-A	B	0.00	2.48	0.00	2.48	0.0	0.00
10/01/2018	00334245375	MICHELLE BOTT	MFT	PUTNAM NEW OPPORTUNITIES FUND	B	0.00	3.01	0.00	3.01	0.0	0.00
10/01/2018	00337228031	MARK W BOTT	MFT	PUTNAM 746802859	B	0.00	1.51	0.00	1.51	0.0	0.00
10/01/2018	00337228031	MARK W BOTT	MFT	PUTNAM MULTI-CAP CORE FUND-A	B	0.00	2.23	0.00	2.23	0.0	0.00
10/01/2018	00337228031	MARK W BOTT	MFT	PUTNAM NEW OPPORTUNITIES FUND	B	0.00	3.00	0.00	3.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/01/2018 00341332786	STEPHANIE FISHER	MFT PUTNAM 746802800	B	0.00	3.39	0.00	3.39	0.0	0.00
10/01/2018 00341332786	STEPHANIE FISHER	MFT PUTNAM 746802859	B	0.00	2.97	0.00	2.97	0.0	0.00
10/01/2018 00341332786	STEPHANIE FISHER	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	68.17	0.00	68.17	0.0	0.00
10/01/2018 00341332786	STEPHANIE FISHER	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	4.76	0.00	4.76	0.0	0.00
10/01/2018 00342781065	MARTY D SHOPE	MFT PUTNAM 74680E101	B	0.00	0.21	0.00	0.21	0.0	0.00
10/01/2018 00342781065	MARTY D SHOPE	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	0.11	0.00	0.11	0.0	0.00
10/01/2018 00342781065	MARTY D SHOPE	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.48	0.00	0.48	0.0	0.00
10/01/2018 00342835274	GORSKI, ANITA	MFT PUTNAM 74680E101	B	0.00	9.20	0.00	9.20	0.0	0.00
10/01/2018 00342835274	GORSKI, ANITA	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	29.57	0.00	29.57	0.0	0.00
10/01/2018 00342835274	GORSKI, ANITA	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	35.59	0.00	35.59	0.0	0.00
10/01/2018 00443322405	MARGUERITE	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	0.07	0.00	0.07	0.0	0.00
10/01/2018 00443347482	MARGUERITE	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	0.07	0.00	0.07	0.0	0.00
10/01/2018 00462789535	LAWSON, RHONDA	MFT PUTNAM 746745108	B	0.00	9.97	0.00	9.97	0.0	0.00
10/01/2018 00462789535	LAWSON, RHONDA	MFT PUTNAM 74680E101	B	0.00	2.02	0.00	2.02	0.0	0.00
10/01/2018 00462789535	LAWSON, RHONDA	MFT PUTNAM 746802511	B	0.00	5.96	0.00	5.96	0.0	0.00
10/01/2018 00462789535	LAWSON, RHONDA	MFT PUTNAM DIVERSIFIED INCOME TRUST	B	0.00	2.67	0.00	2.67	0.0	0.00
10/01/2018 00462789535	LAWSON, RHONDA	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	3.42	0.00	3.42	0.0	0.00
10/01/2018 00483478258	SCHRAUBEN, DIANA	MFT PUTNAM 746802800	B	0.00	5.92	0.00	5.92	0.0	0.00
10/01/2018 00483478258	SCHRAUBEN, DIANA	MFT PUTNAM GROWTH OPPORTUNITIES	B	0.00	6.55	0.00	6.55	0.0	0.00
10/01/2018 00495149255	BOETTCHER, PAMELA	MFT PUTNAM 746745108	B	0.00	0.29	0.00	0.29	0.0	0.00
10/01/2018 00495149255	BOETTCHER, PAMELA	MFT PUTNAM 746802800	B	0.00	0.38	0.00	0.38	0.0	0.00
10/01/2018 00507324837	THOMPSON, LAURIE	MFT PUTNAM 746444108	B	0.00	0.85	0.00	0.85	0.0	0.00
10/01/2018 00507324837	THOMPSON, LAURIE	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	0.71	0.00	0.71	0.0	0.00
10/01/2018 00513012193	CATRINA J HYDE	MFT PUTNAM 746444108	B	0.00	0.66	0.00	0.66	0.0	0.00
10/01/2018 00513922548	CATRINA J HYDE	MFT PUTNAM 746444108	B	0.00	0.77	0.00	0.77	0.0	0.00
10/01/2018 00513922548	CATRINA J HYDE	MFT PUTNAM 746802800	B	0.00	0.37	0.00	0.37	0.0	0.00
10/01/2018 00550918088	JOHN R FISHER	MFT PUTNAM 746745108	B	0.00	0.68	0.00	0.68	0.0	0.00
10/01/2018 00550918088	JOHN R FISHER	MFT PUTNAM 746802800	B	0.00	7.88	0.00	7.88	0.0	0.00
10/01/2018 00550918088	JOHN R FISHER	MFT PUTNAM 746802859	B	0.00	6.61	0.00	6.61	0.0	0.00
10/01/2018 00550918088	JOHN R FISHER	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	10.32	0.00	10.32	0.0	0.00
10/01/2018 00550918088	JOHN R FISHER	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	10.99	0.00	10.99	0.0	0.00
10/01/2018 00550918901	KATHLEEN PRESTON	MFT PUTNAM 746745108	B	0.00	1.06	0.00	1.06	0.0	0.00
10/01/2018 00550918901	KATHLEEN PRESTON	MFT PUTNAM 746802800	B	0.00	0.93	0.00	0.93	0.0	0.00
10/01/2018 00550918901	KATHLEEN PRESTON	MFT PUTNAM 746802859	B	0.00	0.72	0.00	0.72	0.0	0.00
10/01/2018 00550918901	KATHLEEN PRESTON	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.55	0.00	0.55	0.0	0.00
10/01/2018 00550924744	EDWARD F CLAYTON	MFT PUTNAM 746745108	B	0.00	25.01	0.00	25.01	0.0	0.00
10/01/2018 00550924744	EDWARD F CLAYTON	MFT PUTNAM 746802800	B	0.00	10.79	0.00	10.79	0.0	0.00
10/01/2018 00550924744	EDWARD F CLAYTON	MFT PUTNAM 746802859	B	0.00	10.57	0.00	10.57	0.0	0.00
10/01/2018 00550924744	EDWARD F CLAYTON	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	13.29	0.00	13.29	0.0	0.00
10/01/2018 00550927322	FRANCEK, COLLEEN	MFT PUTNAM 746745108	B	0.00	1.16	0.00	1.16	0.0	0.00
10/01/2018 00550927322	FRANCEK, COLLEEN	MFT PUTNAM 746802800	B	0.00	0.90	0.00	0.90	0.0	0.00
10/01/2018 00550928140	BOSS, MARY	MFT PUTNAM 746745108	B	0.00	3.50	0.00	3.50	0.0	0.00
10/01/2018 00550928140	BOSS, MARY	MFT PUTNAM 746444108	B	0.00	4.62	0.00	4.62	0.0	0.00
10/01/2018 00550928140	BOSS, MARY	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	7.00	0.00	7.00	0.0	0.00

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10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 746745108	B	0.00	14.04	0.00	14.04	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 74680E101	B	0.00	4.97	0.00	4.97	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 746802800	B	0.00	4.88	0.00	4.88	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 746802883	B	0.00	7.10	0.00	7.10	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 746802859	B	0.00	6.12	0.00	6.12	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 746802693	B	0.00	5.34	0.00	5.34	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM 746802511	B	0.00	7.97	0.00	7.97	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	7.58	0.00	7.58	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.59	0.00	1.59	0.0	0.00
10/01/2018 00550928208	MICHELLE BOTT	MFT PUTNAM SMALL CAP GROWTH FUND	B	0.00	3.85	0.00	3.85	0.0	0.00
10/01/2018 00550933513	DEBRA A UNSER	MFT PUTNAM 746745108	B	0.00	2.56	0.00	2.56	0.0	0.00
10/01/2018 00550933513	DEBRA A UNSER	MFT PUTNAM 746802693	B	0.00	0.38	0.00	0.38	0.0	0.00
10/01/2018 00550933513	DEBRA A UNSER	MFT PUTNAM 746802511	B	0.00	2.87	0.00	2.87	0.0	0.00
10/01/2018 00550933513	DEBRA A UNSER	MFT PUTNAM SMALL CAP GROWTH FUND	B	0.00	0.27	0.00	0.27	0.0	0.00
10/01/2018 00551391906	HARRY A PARISH OR	MFT PUTNAM 74677Q109	B	0.00	5.10	0.00	5.10	0.0	0.00
10/01/2018 00551391906	HARRY A PARISH OR	MFT PUTNAM ASSET ALLOCATION	B	0.00	3.61	0.00	3.61	0.0	0.00
10/01/2018 00551391906	HARRY A PARISH OR	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	3.41	0.00	3.41	0.0	0.00
10/01/2018 03551032252	MCHENRY, WENDY	MFT MFS HIGH INCOME FUND-A	B	0.00	5.35	0.00	5.35	0.0	0.00
10/01/2018 03551032261	MCHENRY, ROBERT	MFT MFS HIGH INCOME FUND-A	B	0.00	5.35	0.00	5.35	0.0	0.00
10/01/2018 03911432213	FRANKLIN,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.08	0.00	1.08	0.0	0.00
10/01/2018 03911432213	FRANKLIN,	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.92	0.00	0.92	0.0	0.00
10/01/2018 03911447781	BROWN, SHIANN	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.46	0.00	0.46	0.0	0.00
10/01/2018 03911447781	BROWN, SHIANN	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.17	0.00	0.17	0.0	0.00
10/01/2018 03911447781	BROWN, SHIANN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.44	0.00	0.44	0.0	0.00
10/01/2018 03911447781	BROWN, SHIANN	MFT INVESCO AMERICAN VALUE FUND -	B	0.00	0.40	0.00	0.40	0.0	0.00
10/01/2018 03911447781	BROWN, SHIANN	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	2.06	0.00	2.06	0.0	0.00
10/01/2018 03912667437	ALEXANDER,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.76	0.00	0.76	0.0	0.00
10/01/2018 03912667437	ALEXANDER,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.02	0.00	8.02	0.0	0.00
10/01/2018 03912667437	ALEXANDER,	MFT INVESCO CORPORATE BOND FUND -	B	0.00	0.64	0.00	0.64	0.0	0.00
10/01/2018 03912667437	ALEXANDER,	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	1.71	0.00	1.71	0.0	0.00
10/01/2018 04039190097	DELISE, KOPAVI	MFT AIM MODERATE ALLOCATION - CLASS	B	0.00	5.38	0.00	5.38	0.0	0.00
10/01/2018 04040446660	SOBHA, KUMPATI	MFT AIM UTILITIES FUND - CLASS A	B	0.00	0.69	0.00	0.69	0.0	0.00
10/01/2018 04040446660	SOBHA, KUMPATI	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.51	0.00	0.51	0.0	0.00
10/01/2018 04040446660	SOBHA, KUMPATI	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	0.58	0.00	0.58	0.0	0.00
10/08/2018 04700260305	AMESSE, MICHELLE	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	4.77	0.00	4.77	0.0	0.00
10/08/2018 04785240742	BEARD, KATHRYN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.72	0.00	0.72	0.0	0.00
10/02/2018 06500158439	ANIBLE, PATRICK	MFT TRANSAMERICA-IDEX 893962415	B	0.00	2.75	0.00	2.75	0.0	0.00
10/01/2018 06910159318	MOORE, WILLETTA	MFT INVESCO SMALL CAP VALUE FUND	B	0.00	3.32	0.00	3.32	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$573.74	\$0.00	\$573.74		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$578.74	\$0.00	\$578.74		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00