

COMMISSION STATEMENT

September 30, 2018

BILL MAYO-D043

278 West San Antonio Street

BROKER #: D043

BRANCH: DMN

New Braunfels, TX 78130

COMMISSION STATEMENT for BILL MAYO-D043

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
09/11/2018 429887509	KRETZMEIER, WILLIAM S	NORWEGIAN CRUISE LINE HOLDINGS	B	1,524.56	36.29	15.00	21.29	85.0	18.10
09/11/2018 429887509	KRETZMEIER, WILLIAM S	NORWEGIAN CRUISE LINE HOLDINGS	B	3,913.99	93.31	0.00	93.31	85.0	79.31
09/14/2018 429899783	KRETZMEIER, WILLIAM S	AIR LEASE CORP	B	9,303.14	186.71	15.00	171.71	85.0	145.95
09/04/2018 429914860	COTE SEPARATE, KAY S	HELMERICH & PAYNE	S	-6,381.00	147.97	15.00	132.97	85.0	113.02
09/04/2018 429914860	COTE SEPARATE, KAY S	KINDER MORGAN INC	S	-3,399.34	102.57	15.00	87.57	85.0	74.43
09/04/2018 429914860	COTE SEPARATE, KAY S	NUVEEN MUNICIPAL VALUE FUND	S	-4,621.31	121.18	15.00	106.18	85.0	90.25
09/04/2018 429914860	COTE SEPARATE, KAY S	SABINE ROYALTY TRUST	S	-7,455.25	164.33	15.00	149.33	85.0	126.93
09/04/2018 429914860	COTE SEPARATE, KAY S	SAN JUAN BASIN ROYALTY TRUST	S	-1,100.13	60.00	15.00	45.00	85.0	38.25
09/04/2018 429914860	COTE SEPARATE, KAY S	THE COCA-COLA COMPANY	S	-4,336.75	116.84	15.00	101.84	85.0	86.56
09/04/2018 429914860	COTE SEPARATE, KAY S	UNITED PARCEL SERVICE INC CL B	S	-12,138.44	235.65	15.00	220.65	85.0	187.55
09/04/2018 429914860	COTE SEPARATE, KAY S	WEYERHAEUSER COMPANY	S	-3,339.95	101.66	15.00	86.66	85.0	73.66
09/21/2018 677538590	MCGILL, SUSAN	CANOPY GROWTH CORP ISIN	B	5,127.45	125.00	15.00	110.00	85.0	93.50
* STOCKS SUBTOTAL *				\$-22,903.03	\$1,491.51	\$165.00	\$1,326.51		\$1,127.51

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc465872	MAYO 465872	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	0.12	0.00	0.12	85.0	0.10
09/21/2018 mfc465872	MAYO 465872	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	4.93	0.00	4.93	85.0	4.19
09/21/2018 mfc465872	MAYO 465872	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	2.77	0.00	2.77	85.0	2.35
09/21/2018 mfc465872	MAYO 465872	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.06	0.00	2.06	85.0	1.75
09/21/2018 mfc465872	MAYO 465872	MFT IVY BOND FD A	B	0.00	0.01	0.00	0.01	85.0	0.01
09/21/2018 mfc465872	MAYO 465872	MFT IVY BOND FD A	B	0.00	0.40	0.00	0.40	85.0	0.34
09/21/2018 mfc465872	MAYO 465872	MFT IVY CORE EQUITY FD CL A	B	0.00	0.03	0.00	0.03	85.0	0.03
09/21/2018 mfc465872	MAYO 465872	MFT MAIN STREET FUND A	B	0.00	3.59	0.00	3.59	85.0	3.05
09/21/2018 mfc465872	MAYO 465872	MFT PRUDENTIAL HIGH YEILD FUND A	B	0.00	0.17	0.00	0.17	85.0	0.14
09/21/2018 mfc465872	MAYO 465872	MFT PRUDENTIAL HIGH YEILD FUND A	B	0.00	6.23	0.00	6.23	85.0	5.30
09/21/2018 mfc465872	MAYO 465872	MFT QUEST OPPORTUNITY VALUE A	B	0.00	1.88	0.00	1.88	85.0	1.60
09/21/2018 mfc465872	MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	0.05	0.00	0.05	85.0	0.04
09/21/2018 mfc465872	MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	0.20	0.00	0.20	85.0	0.17
09/21/2018 mfc465872	MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	2.06	0.00	2.06	85.0	1.75
09/24/2018 mfc465872	MAYO 465872	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	0.12	0.00	0.12	85.0	0.10
09/24/2018 mfc465872	MAYO 465872	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	4.85	0.00	4.85	85.0	4.12

COMMISSION STATEMENT for BILL MAYO-D043

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc465872 MAYO 465872	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	2.63	0.00	2.63	85.0	2.24
09/24/2018	mfc465872 MAYO 465872	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.97	0.00	1.97	85.0	1.67
09/24/2018	mfc465872 MAYO 465872	MFT IVY BOND FD A	B	0.00	0.01	0.00	0.01	85.0	0.01
09/24/2018	mfc465872 MAYO 465872	MFT IVY BOND FD A	B	0.00	0.38	0.00	0.38	85.0	0.32
09/24/2018	mfc465872 MAYO 465872	MFT IVY CORE EQUITY FD CL A	B	0.00	0.03	0.00	0.03	85.0	0.03
09/24/2018	mfc465872 MAYO 465872	MFT MAIN STREET FUND A	B	0.00	3.42	0.00	3.42	85.0	2.91
09/24/2018	mfc465872 MAYO 465872	MFT PRUDENTIAL HIGH YEILD FUND A	B	0.00	0.16	0.00	0.16	85.0	0.14
09/24/2018	mfc465872 MAYO 465872	MFT PRUDENTIAL HIGH YEILD FUND A	B	0.00	5.84	0.00	5.84	85.0	4.96
09/24/2018	mfc465872 MAYO 465872	MFT QUEST OPPORTUNITY VALUE A	B	0.00	1.78	0.00	1.78	85.0	1.51
09/24/2018	mfc465872 MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	0.05	0.00	0.05	85.0	0.04
09/24/2018	mfc465872 MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	0.18	0.00	0.18	85.0	0.15
09/24/2018	mfc465872 MAYO 465872	MFT USA MUTUALS VICE FD INV	B	0.00	1.92	0.00	1.92	85.0	1.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$47.84	\$0.00	\$47.84		\$40.65
*** CLEARING TRANSACTIONS TOTAL ***				\$-22,903.03	\$1,539.35	\$165.00	\$1,374.35		\$1,168.16

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	1,173.57
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$1,118.57
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$1,118.57

BROKER COMMISSION TOTALS

Broker Transactions	\$1,168.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,118.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,286.73
Year-to-date Commissions	\$19,046.31