

COMMISSION STATEMENT

September 30, 2018

 DAN FENCL-D022
 422 Main Street

 BROKER #: D022
 BRANCH: DMG

Cedar Falls, IA 50613

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/14/2018 123745510	DRENNER CUST FOR,	MF VANGUARD UTILITIES INDEX FD ADM	S	3,002.45	0.00	2.50	-2.50	85.0	-2.13
09/14/2018 123745510	DRENNER CUST FOR,	MF VANGUARD VALUE INDEX FD	S	4,002.45	0.00	2.50	-2.50	85.0	-2.13
09/14/2018 123756130	DRENNER CUST FOR,	MF VANGUARD UTILITIES INDEX FD ADM	S	3,002.45	0.00	2.50	-2.50	85.0	-2.13
09/14/2018 123756130	DRENNER CUST FOR,	MF VANGUARD VALUE INDEX FD	S	4,002.45	0.00	2.50	-2.50	85.0	-2.13
09/14/2018 123762157	DRENNER CUST FOR,	MF VANGUARD UTILITIES INDEX FD ADM	S	3,002.45	0.00	2.50	-2.50	85.0	-2.13
09/14/2018 123762157	DRENNER CUST FOR,	MF VANGUARD VALUE INDEX FD	S	4,002.45	0.00	2.50	-2.50	85.0	-2.13
09/14/2018 276393378	FENCL TTEE, DANIEL	MF VANGUARD VALUE INDEX FD	S	502.45	0.00	2.50	-2.50	85.0	-2.13
09/24/2018 276570653	FULLER, DUANE	MF VANGUARD HIGH DIV YIELD INDEX	S	-5,276.17	0.00	5.00	-5.00	85.0	-4.25
09/24/2018 276570653	FULLER, DUANE	MF VANGUARD SMALL CAP VALUE INDEX	S	-5,477.00	0.00	5.00	-5.00	85.0	-4.25
09/24/2018 276570653	FULLER, DUANE	MF VANGUARD WINDSOR FD II	S	-5,227.08	0.00	5.00	-5.00	85.0	-4.25
09/14/2018 306748800	GREIG GRIMM 401K &	MF VANGUARD WINDSOR FD II	S	-6,753.86	0.00	5.00	-5.00	85.0	-4.25
09/12/2018 368295989	ANDERSON, GREGORY	MF VANGUARD WINDSOR FD II	S	-35,491.47	0.00	5.00	-5.00	85.0	-4.25
09/25/2018 368327713	LILLY, STEVEN	MF VANGUARD VALUE INDEX FD	B	-687.55	0.00	5.00	-5.00	85.0	-4.25
09/14/2018 368434037	BAKER, GARY	MF PACIFIC PORT OPTIMA MOD- AGGR	S	-415,527.03	0.00	5.00	-5.00	85.0	-4.25
09/24/2018 368435370	FULLER, DUANE	MF VANGUARD DIVIDEND APPR INDEX FD	S	-2,728.67	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *				\$-455,651.68	\$0.00	\$57.50	\$-57.50		\$-48.91

PRODUCT CATEGORY: STOCKS

09/11/2018 215645132	DEKEYREL, DONALD	S ADR BRITISH AMERICAN TOBACCO	B	949.03	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S AMERICAN ELECTRIC POWER CO	B	1,487.85	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,421.95	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S CHEVRON CORPORATION	B	5,791.45	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S COMPASS MINERALS INTL INC	B	4,381.95	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S EXXON-MOBIL CORPORATION	B	1,680.15	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S KRAFT HEINZ CO/THE	B	4,431.92	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S LOCKHEED MARTIN CORPORATION	B	6,467.05	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S MCKESSON CORPORATION	B	5,219.21	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S PROCTER & GAMBLE COMPANY	B	4,142.70	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S ROYAL DUTCH SHELL PLC-SPON ADR	B	4,638.84	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S SOUTHERN COMPANY	B	1,790.81	22.00	15.00	7.00	85.0	5.95
09/11/2018 215645132	DEKEYREL, DONALD	S UNILEVER PLC SPONSORED ADR NEW	B	3,934.58	22.00	15.00	7.00	85.0	5.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/12/2018 276393378	FENCL TTEE, DANIEL	S AEGON N V ORD AMER REG	S	-4,806.29	0.00	15.00	-15.00	85.0	-12.75
09/12/2018 276393378	FENCL TTEE, DANIEL	S BB&T CORP	S	-5,090.58	0.00	15.00	-15.00	85.0	-12.75
09/13/2018 276393378	FENCL TTEE, DANIEL	S NESTLE S A SPONS ADR REPSTG REG	S	-5,046.12	0.00	15.00	-15.00	85.0	-12.75
09/24/2018 276570653	FULLER, DUANE	S EXXON-MOBIL CORPORATION	B	8,680.44	22.00	15.00	7.00	85.0	5.95
09/24/2018 276570653	FULLER, DUANE	S SANOFI-AVENTIS S A ADR FORMERLY	B	4,364.42	22.00	15.00	7.00	85.0	5.95
09/25/2018 276570653	FULLER, DUANE	S PPL CORPORATION	B	3,358.15	22.00	15.00	7.00	85.0	5.95
09/13/2018 306748800	GREIG GRIMM 401K &	S CONAGRA BRANDS INC FORMERLY	S	-5,677.73	22.00	15.00	7.00	85.0	5.95
09/13/2018 306748800	GREIG GRIMM 401K &	S NOVARTIS AG-ADR	S	-8,511.74	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,430.45	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S ATMOS ENERGY CORP	S	-9,364.49	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S CARDINAL HEALTH INC	B	5,245.44	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S COMPASS MINERALS INTL INC	B	3,141.70	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S DOWDUPONT INC	S	-6,988.47	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S EVERGY INC COM	S	-5,830.48	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S GENERAL DYNAMICS CORPORATION	B	11,787.39	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S GENERAL MILLS INCORPORATED	B	4,716.36	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S GLAXOSMITHKLINE PLC ADS	B	3,921.95	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S HANESBRANDS INC	B	3,583.65	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S KEURIG DR PEPPER INC COM	B	2,915.33	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S KOHLS CORP	S	-4,023.50	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S L BRANDS INC	B	2,837.35	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S NOVARTIS AG-ADR	S	-10,049.54	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S OCCIDENTAL PETROLEUM CORP	B	7,746.35	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S PHILIP MORRIS INTERNATIONAL	B	3,920.95	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,415.35	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S SANOFI-AVENTIS S A ADR FORMERLY	B	4,285.18	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S TRANSCANADA CORPORATION HLDG CO	B	4,264.35	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S UNILEVER PLC SPONSORED ADR NEW	B	2,819.95	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S UNITED PARCEL SERVICE INC CL B	B	3,719.82	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S WAL-MART STORES INC	B	2,439.95	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S WILLIAMS COMPANIES INC (DEL)	S	-6,401.49	22.00	15.00	7.00	85.0	5.95
09/11/2018 368295989	ANDERSON, GREGORY	S WINDSTREAM HOLDINGS INC	S	-40.51	22.00	15.00	7.00	85.0	5.95
09/13/2018 368327713	LILLY, STEVEN	S ATMOS ENERGY CORP	S	-4,696.00	22.00	15.00	7.00	85.0	5.95
09/13/2018 368327713	LILLY, STEVEN	S EVERGY INC COM	S	-4,336.00	22.00	15.00	7.00	85.0	5.95
09/13/2018 368327713	LILLY, STEVEN	S WELLS FARGO & CO	S	-2,730.52	22.00	15.00	7.00	85.0	5.95
09/24/2018 368331544	SR, DONALD LASKEY	S ANHEUSER-BUSCH INBEV SPONS ADR	B	6,368.54	22.00	15.00	7.00	85.0	5.95
09/24/2018 368331544	SR, DONALD LASKEY	S EVERGY INC COM	S	-4,984.97	22.00	15.00	7.00	85.0	5.95
09/24/2018 368331544	SR, DONALD LASKEY	S INTEL CORPORATION	B	1,194.45	22.00	15.00	7.00	85.0	5.95
09/25/2018 368431675	FENCL, RONALD	S VANGUARD GROWTH ETF (FORMERLY)	S	-5,599.98	22.00	15.00	7.00	85.0	5.95
09/07/2018 368432239	FENCL, DANIEL	S UNITED UTILITIES GROUP	B	3,715.05	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,497.45	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S CHEVRON CORPORATION	B	2,462.25	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S DOMINION RESOURCES INC/VA NEW	B	6,940.95	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S DOWDUPONT INC	S	-1,944.58	0.00	15.00	-15.00	85.0	-12.75

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09/26/2018 368432239	FENCL, DANIEL	S EVERGY INC COM	S	-5,480.58	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S HELMERICH & PAYNE	S	-6,871.76	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S LEGGETT & PLATT INCORPORATED	S	-2,211.57	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S SYSCO CORPORATION	S	-3,658.05	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S TC PIPELINES LP	S	-3,065.01	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S TRANSCANADA CORPORATION HLDG CO B	B	6,997.78	0.00	15.00	-15.00	85.0	-12.75
09/26/2018 368432239	FENCL, DANIEL	S WILLIAMS COMPANIES INC (DEL)	S	-2,708.65	0.00	15.00	-15.00	85.0	-12.75
09/14/2018 368434037	BAKER, GARY	S 3M COMPANY	B	20,773.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ABBVIE INC	B	9,582.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ADR BRITISH AMERICAN TOBACCO	B	9,612.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ALTRIA GROUP INCORPORATED	B	12,344.29	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S AMERICAN ELECTRIC POWER CO	B	7,284.35	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S AMERIGAS PARTNERS LP	B	7,982.39	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ANHEUSER-BUSCH INBEV SPONS ADR	B	13,314.44	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S APPLIED MATERIALS INC	B	7,820.37	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ARTESIAN RES CORP	B	7,410.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S AT&T INC	B	6,732.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S BB&T CORP	B	5,036.45	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S BERKSHIRE HATHAWAY INC DEL CL B	B	21,589.44	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S BLACKROCK INCORPORATED	B	23,844.40	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S BP PLC-SPONS ADR	B	8,668.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S BT GROUP PLC ADS	B	7,469.40	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S CARDINAL HEALTH INC	B	10,606.43	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S CARNIVAL CORP NEW PARIED COM	B	6,382.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S CHEVRON CORPORATION	B	11,718.38	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S COMPASS MINERALS INTL INC	B	6,388.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S CVS HEALTH CORPORATION .	B	7,734.10	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S DEERE & COMPANY	B	14,782.85	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S DOMINION RESOURCES INC/VA NEW	B	7,179.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S DUKE ENERGY CORP NEW COM NEW	B	8,203.35	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ENBRIDGE INC ISIN CA29250N1050	B	13,588.05	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ENTERPRISE PRODS PARTNERS L	B	11,688.05	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S EXXON-MOBIL CORPORATION	B	16,589.45	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S GENERAL DYNAMICS CORPORATION	B	19,959.65	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S GENERAL MILLS INCORPORATED	B	19,064.41	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S GILEAD SCIENCES INC	B	7,352.10	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S GLAXOSMITHKLINE PLC ADS	B	11,694.42	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S HANESBRANDS INC	B	7,062.85	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S INTEL CORPORATION	B	9,130.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S INVESCO LTD ISIN #BMG491BT1088	B	7,120.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S KRAFT HEINZ CO/THE	B	5,906.37	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S L BRANDS INC	B	5,762.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S LOCKHEED MARTIN CORPORATION	B	16,686.45	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S MCDONALDS CORPORATION	B	16,078.44	22.00	15.00	7.00	85.0	5.95

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09/14/2018 368434037	BAKER, GARY	S MCKESSON CORPORATION	B	13,025.35	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S METLIFE INC	B	4,664.35	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S MICROSOFT CORPORATION	B	11,305.05	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S MONDELEZ INTERNATIONAL INC CL A	B	8,745.85	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S OMNICOM GROUP INCORPORATED	B	6,934.09	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S PEPSICO INCORPORATED	B	17,169.30	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S PFIZER INCORPORATED	B	8,583.45	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S PHILIP MORRIS INTERNATIONAL	B	15,888.17	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S PRINCIPAL FINANCIAL GROUP INC	B	5,664.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S PROCTER & GAMBLE COMPANY	B	16,710.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ROCHE HOLDING LTD-SPONS ADR	B	6,174.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S ROYAL DUTCH SHELL PLC-SPON ADR	B	13,312.43	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S SANOFI-AVENTIS S A ADR FORMERLY	B	8,820.74	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S SMUCKER J M COMPANY NEW	B	11,087.45	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S SOUTHERN COMPANY	B	8,850.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S THE COCA-COLA COMPANY	B	13,768.95	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S THE WALT DISNEY CO	B	10,966.35	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S TOTAL S A-SPONSORED ADR	B	6,305.23	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S TRANSCANADA CORPORATION HLDG CO	B	8,393.45	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S UNILEVER PLC SPONSORED ADR NEW	B	11,168.25	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S UNITED TECHNOLOGIES CORP	B	13,675.05	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S VANGUARD SMALL-CAP VALUE ETF	B	14,172.42	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S VERIZON COMMUNICATIONS INC	B	10,881.89	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S WAL-MART STORES INC	B	9,483.38	22.00	15.00	7.00	85.0	5.95
09/14/2018 368434037	BAKER, GARY	S WESTPAC BANKING LTD CORP	B	3,998.43	22.00	15.00	7.00	85.0	5.95
09/20/2018 368434037	BAKER, GARY	S CUMMINS INC (FORMERLY CUMMINS	B	15,046.30	22.00	15.00	7.00	85.0	5.95
09/20/2018 368434037	BAKER, GARY	S GENERAL MOTORS CO	B	7,239.45	22.00	15.00	7.00	85.0	5.95
09/20/2018 368434037	BAKER, GARY	S SIEMENS A G-SPONSORED ADR ADS	B	6,498.05	22.00	15.00	7.00	85.0	5.95
09/24/2018 368434100	BAILEY, JAMES	S ENTERPRISE PRODS PARTNERS L	B	5,855.65	22.00	15.00	7.00	85.0	5.95
09/24/2018 368434100	BAILEY, JAMES	S EVERGY INC COM	S	-4,984.97	22.00	15.00	7.00	85.0	5.95
09/24/2018 368434100	BAILEY, JAMES	S TOTAL S A-SPONSORED ADR	B	2,940.17	22.00	15.00	7.00	85.0	5.95
09/24/2018 368434100	BAILEY, JAMES	S WILLIAMS COMPANIES INC (DEL)	S	-2,093.10	22.00	15.00	7.00	85.0	5.95
09/24/2018 368435370	FULLER, DUANE	S ANHEUSER-BUSCH INBEV SPONS ADR	B	3,190.20	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S CARDINAL HEALTH INC	B	5,255.20	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S EXXON-MOBIL CORPORATION	B	2,107.43	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S HANESBRANDS INC	B	3,612.25	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S KOHLS CORP	S	-4,017.50	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S LOUISIANA-PACIFIC CORPORATION	S	-6,187.47	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S MICROSOFT CORPORATION	S	-2,814.76	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S PHILIP MORRIS INTERNATIONAL	B	3,213.25	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S PROCTER & GAMBLE COMPANY	B	3,371.97	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S ROYAL DUTCH SHELL PLC-SPON ADR	B	6,754.44	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S SYSCO CORPORATION	S	-3,661.00	22.00	15.00	7.00	85.0	5.95
09/17/2018 368462508	STEVENS, LORINDA	S T ROWE PRICE GROUP INC	S	-2,735.51	22.00	15.00	7.00	85.0	5.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018 368491551	BAILEY, DEBBIE	S ANHEUSER-BUSCH INBEV SPONS ADR	B	4,552.95	22.00	15.00	7.00	85.0	5.95
09/24/2018 368491551	BAILEY, DEBBIE	S EVERGY INC COM	S	-4,429.17	22.00	15.00	7.00	85.0	5.95
09/11/2018 646201439	DRENNER, MARTHA	S THE COCA-COLA COMPANY	B	9,242.25	22.00	15.00	7.00	85.0	5.95
09/11/2018 646201439	DRENNER, MARTHA	S WELLS FARGO & CO	S	-8,589.96	22.00	15.00	7.00	85.0	5.95
09/11/2018 646209628	DRENNER, WILLIAM	S ATMOS ENERGY CORP	S	-6,817.03	22.00	15.00	7.00	85.0	5.95
09/11/2018 646209628	DRENNER, WILLIAM	S LOCKHEED MARTIN CORPORATION	B	9,681.15	22.00	15.00	7.00	85.0	5.95
09/11/2018 646209628	DRENNER, WILLIAM	S NORTHROP GRUMMAN CORP	B	3,059.05	22.00	15.00	7.00	85.0	5.95
09/26/2018 646265081	FENCL, DANIEL	S NORDSTROM INCORPORATED	S	-10,259.20	0.00	15.00	-15.00	85.0	-12.75
09/24/2018 646265963	FULLER, DUANE	S ALTRIA GROUP INCORPORATED	B	2,165.75	22.00	15.00	7.00	85.0	5.95
09/24/2018 646265963	FULLER, DUANE	S BUCKEYE PARTNERS LP	B	1,815.45	22.00	15.00	7.00	85.0	5.95
09/24/2018 646265963	FULLER, DUANE	S KRAFT HEINZ CO/THE	B	3,802.58	22.00	15.00	7.00	85.0	5.95
09/24/2018 646265963	FULLER, DUANE	S WELLS FARGO & CO	S	-4,050.25	22.00	15.00	7.00	85.0	5.95
09/24/2018 646265963	FULLER, DUANE	S WILLIAMS COMPANIES INC (DEL)	S	-2,648.94	22.00	15.00	7.00	85.0	5.95
09/24/2018 646274889	FULLER, NANCY	S HUANENG POWER INTERNATIONAL	S	-2,540.72	22.00	15.00	7.00	85.0	5.95
09/24/2018 646274889	FULLER, NANCY	S OCCIDENTAL PETROLEUM CORP	B	1,647.65	22.00	15.00	7.00	85.0	5.95
09/24/2018 646274889	FULLER, NANCY	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	1,730.70	22.00	15.00	7.00	85.0	5.95
* STOCKS SUBTOTAL *				\$762,837.60	\$3,190.00	\$2,415.00	\$775.00		\$658.75

PRODUCT CATEGORY: MISCELLANEOUS

09/13/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368295989	S	0.00	10.00	0.00	10.00	85.0	8.50
09/17/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 306748800	S	0.00	10.00	0.00	10.00	85.0	8.50
09/25/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 276570653	S	0.00	10.00	0.00	10.00	85.0	8.50
09/25/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 276570653	S	0.00	10.00	0.00	10.00	85.0	8.50
09/25/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 276570653	S	0.00	10.00	0.00	10.00	85.0	8.50
09/25/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368435370	S	0.00	10.00	0.00	10.00	85.0	8.50
09/26/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368327713	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$70.00	\$0.00	\$70.00		\$59.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.34	0.00	0.34	85.0	0.29
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.44	0.00	0.44	85.0	0.37
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.47	0.00	0.47	85.0	0.40
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.47	0.00	0.47	85.0	0.40
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.55	0.00	0.55	85.0	0.47
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.56	0.00	0.56	85.0	0.48
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.69	0.00	0.69	85.0	0.59
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.69	0.00	0.69	85.0	0.59
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.92	0.00	0.92	85.0	0.78
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.92	0.00	0.92	85.0	0.78
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.03	0.00	1.03	85.0	0.88
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.15	0.00	1.15	85.0	0.98
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.22	0.00	1.22	85.0	1.04
08/30/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.87	0.00	1.87	85.0	1.59

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/30/2018	mfc148327	FENCL 148327							
		MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
08/30/2018	mfc148327	FENCL 148327							
		MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.39	0.00	0.39	85.0	0.33
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.43	0.00	0.43	85.0	0.37
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.51	0.00	0.51	85.0	0.43
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.51	0.00	0.51	85.0	0.43
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.52	0.00	0.52	85.0	0.44
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.52	0.00	0.52	85.0	0.44
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.61	0.00	0.61	85.0	0.52
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.69	0.00	0.69	85.0	0.59
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.81	0.00	0.81	85.0	0.69
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.86	0.00	0.86	85.0	0.73
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.87	0.00	0.87	85.0	0.74
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.91	0.00	0.91	85.0	0.77
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.15	0.00	1.15	85.0	0.98
09/13/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	1.72	0.00	1.72	85.0	1.46
09/13/2018	mfc148327	FENCL 148327							
		MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
09/13/2018	mfc148327	FENCL 148327							
		MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
09/21/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE MODERATE PORTFOLIO A	B	0.00	0.26	0.00	0.26	85.0	0.22
09/21/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	4.09	0.00	4.09	85.0	3.48
09/21/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	4.09	0.00	4.09	85.0	3.48
09/21/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	4.09	0.00	4.09	85.0	3.48
09/21/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT HIGH YIELD MUNI BD	B	0.00	0.25	0.00	0.25	85.0	0.21
09/21/2018	mfc148327	FENCL 148327							
		MFT PACIFIC PORT OPTIMA MOD- AGGR	B	0.00	180.78	0.00	180.78	85.0	153.66
09/21/2018	mfc148327	FENCL 148327							
		MFT REGIONAL BANK A	B	0.00	0.14	0.00	0.14	85.0	0.12
09/24/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE MODERATE PORTFOLIO A	B	0.00	0.24	0.00	0.24	85.0	0.20
09/24/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	3.86	0.00	3.86	85.0	3.28
09/24/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	3.86	0.00	3.86	85.0	3.28
09/24/2018	mfc148327	FENCL 148327							
		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	3.86	0.00	3.86	85.0	3.28
09/24/2018	mfc148327	FENCL 148327							
		MFT LORD ABBETT HIGH YIELD MUNI BD	B	0.00	0.23	0.00	0.23	85.0	0.20
09/24/2018	mfc148327	FENCL 148327							
		MFT PACIFIC PORT OPTIMA MOD- AGGR	B	0.00	170.57	0.00	170.57	85.0	144.98
09/24/2018	mfc148327	FENCL 148327							
		MFT REGIONAL BANK A	B	0.00	0.14	0.00	0.14	85.0	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$399.44	\$0.00	\$399.44		\$339.51
*** CLEARING TRANSACTIONS TOTAL ***				\$307,185.92	\$3,659.44	\$2,472.50	\$1,186.94		\$1,008.85

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/31/2018 (None)	VARIOUS, FENCL	MF JOHN HANCOCK MUTUAL FUND	B	0.00	2.52	0.00	2.52	85.0	2.14
09/04/2018 (None)	VARIOUS, FENCL	MF JOHN HANCOCK MUTUAL FUND	B	0.00	11.20	0.00	11.20	85.0	9.52
09/14/2018 (None)	VARIOUS, FENCL	MF JOHN HANCOCK MUTUAL FUND	B	0.00	5.48	0.00	5.48	85.0	4.66
09/04/2018 06206144790	BERRY, DIANA	MF PIONEER FUND A	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$23.20	\$0.00	\$23.20		\$19.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/20/2018 (None)	ROCHE, DAVID	VA NATIONWIDE	B	30.00	1.58	0.00	1.58	85.0	1.34
* VARIABLE ANNUITIES SUBTOTAL *				\$30.00	\$1.58	\$0.00	\$1.58		\$1.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/31/2018 (None)	VARIOUS, FENCL	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	278.33	0.00	278.33	85.0	236.58
08/31/2018 (None)	VARIOUS, FENCL	MFT PRINCIPAL	B	0.00	66.44	0.00	66.44	85.0	56.47
09/06/2018 01001676965	VERDON, MICHAEL	MFT WELLS FARGO OPPORTUNITY-A	B	0.00	1.98	0.00	1.98	85.0	1.68
09/06/2018 01001676965	VERDON, MICHAEL	MFT WELLS FARGO DIVERSFD CAPITAL	B	0.00	1.78	0.00	1.78	85.0	1.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$348.53	\$0.00	\$348.53		\$296.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
08/31/2018 (None)	VARIOUS, FENCL	VAT TRANSAMERICA LIFE	B	0.00	147.13	0.00	147.13	85.0	125.06
09/19/2018 VA96307360	EDWARDS	VAT PACIFIC LIFE VA 694474206	B	0.00	14.35	0.00	14.35	85.0	12.20
09/19/2018 VM14002310	HILSENBECK	VAT PACIFIC LIFE	B	0.00	49.31	0.00	49.31	85.0	41.91
09/19/2018 VR00055838	HARVEY	VAT PACIFIC LIFE VA 694474883	B	0.00	29.94	0.00	29.94	85.0	25.45
09/19/2018 VR03017432	WHEELLOCK	VAT PACIFIC LIFE VA 694474586	B	0.00	39.37	0.00	39.37	85.0	33.46
09/10/2018 VR04072110	RYAN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	302.30	0.00	302.30	85.0	256.96
09/17/2018 VR04073770	MILLER, DALE	VAT PACIFIC LIFE VA 694474594	B	0.00	226.17	0.00	226.17	85.0	192.24
09/11/2018 VR05013271	OPITZ	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	32.57	0.00	32.57	85.0	27.68
09/18/2018 VR05013613	OVERTURF	VAT PACIFIC LIFE VA 694474594	B	0.00	7.99	0.00	7.99	85.0	6.79
09/17/2018 VR06085023	COULTER, PATRICIA	VAT PACIFIC LIFE VA 694474594	B	0.00	153.46	0.00	153.46	85.0	130.44
09/13/2018 VR07012841	ARDITH L R	VAT PACIFIC LIFE VA 694474610	B	0.00	187.65	0.00	187.65	85.0	159.50
09/13/2018 VR07012842	ARDITH L R	VAT PACIFIC LIFE VA 694474610	B	0.00	153.19	0.00	153.19	85.0	130.21
09/13/2018 VR07012843	ARDITH L R	VAT PACIFIC LIFE VA 694474610	B	0.00	139.21	0.00	139.21	85.0	118.33
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,482.64	\$0.00	\$1,482.64		\$1,260.23
*** DIRECT TRANSACTIONS TOTAL ***				\$130.00	\$1,855.95	\$0.00	\$1,855.95		\$1,577.53

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERRIDE TRANSACTIONS									
BROKER: SARAH BRAUNSHAUSEN-D062 #13									
09/24/2018	152901006	BRAUNSHAUSEN, MF	AMERICAN BALANCED FUND-A	B	-2,987.55	0.00	5.00	-5.00	-3.00
09/14/2018	646107186	ROUSE, CHELSEY MF	AMCAP FUND-F1	S	122.45	0.00	2.50	-2.50	-1.50
09/14/2018	646107186	ROUSE, CHELSEY MF	FUNDAMENTAL INVESTORS FUND	S	82.45	0.00	2.50	-2.50	-1.50
08/31/2018	646230641	CHRISTENSEN, MF	AMCAP FUND-F1	S	102.45	0.00	2.50	-2.50	-1.50
09/14/2018	646230641	CHRISTENSEN, MF	AMERICAN FDS WA MUTUAL FD CL F2	S	87.45	0.00	2.50	-2.50	-1.50
09/14/2018	646230641	CHRISTENSEN, MF	THE GROWTH FUND OF AMERICA-F2	S	167.45	0.00	2.50	-2.50	-1.50
09/18/2018	368253889	ORTH, DAVID S	ANHEUSER-BUSCH INBEV SPONS ADR	B	3,183.17	22.00	15.00	7.00	4.20
09/18/2018	368253889	ORTH, DAVID S	CARDINAL HEALTH INC	B	3,504.55	22.00	15.00	7.00	4.20
09/18/2018	368253889	ORTH, DAVID S	WELLS FARGO & CO	S	-3,237.72	22.00	15.00	7.00	4.20
09/18/2018	368362869	TRUMM, TONY S	ANHEUSER-BUSCH INBEV SPONS ADR	B	2,287.43	22.00	15.00	7.00	4.20
09/18/2018	368362869	TRUMM, TONY S	ROYAL DUTCH SHELL PLC-SPON ADR	B	4,108.65	22.00	15.00	7.00	4.20
09/18/2018	368362869	TRUMM, TONY S	WELLS FARGO & CO	S	-2,699.52	22.00	15.00	7.00	4.20
09/18/2018	368437852	BRAUNSHAUSEN, S	ANHEUSER-BUSCH INBEV SPONS ADR	B	2,727.05	18.00	15.00	3.00	1.80
09/18/2018	368447928	BRAUNSHAUSEN, SARAH S	CARDINAL HEALTH INC	B	2,964.60	18.00	15.00	3.00	1.80
09/26/2018	368464132	FOLIE, EMILY S	CARDINAL HEALTH INC	B	2,182.05	22.00	15.00	7.00	4.20
09/26/2018	368464132	FOLIE, EMILY S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	3,142.95	22.00	15.00	7.00	4.20
09/26/2018	368464132	FOLIE, EMILY S	WELLS FARGO & CO	S	-3,210.72	22.00	15.00	7.00	4.20
09/14/2018	646117444	ROBSON, JANE S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,476.35	22.00	15.00	7.00	4.20
09/25/2018	a03076832	BRAUNSHAUSEN-D062, MI	MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	6.00
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMCAP FUND-F1	B	0.00	0.45	0.00	0.45	0.27
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN BALANCED FUND-A	B	0.00	0.82	0.00	0.82	0.49
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN BALANCED FUND-F1	B	0.00	0.58	0.00	0.58	0.35
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN MUTUAL FUND-F1	B	0.00	0.49	0.00	0.49	0.29
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.37	0.00	4.37	2.62
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	5.76	0.00	5.76	3.46
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	5.74	0.00	5.74	3.44
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA LARGE CAP GROWTH FUND	B	0.00	5.37	0.00	5.37	3.22
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	FUNDAMENTAL INVESTORS FUND	B	0.00	0.29	0.00	0.29	0.17
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.63	0.00	0.63	0.38
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMCAP FUND-F1	B	0.00	0.44	0.00	0.44	0.26
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN BALANCED FUND-A	B	0.00	0.44	0.00	0.44	0.26
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN BALANCED FUND-F1	B	0.00	0.55	0.00	0.55	0.33
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN MUTUAL FUND-F1	B	0.00	0.47	0.00	0.47	0.28
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.11	0.00	4.11	2.47
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	5.46	0.00	5.46	3.28
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	5.42	0.00	5.42	3.25
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA LARGE CAP GROWTH FUND	B	0.00	5.09	0.00	5.09	3.05
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	FUNDAMENTAL INVESTORS FUND	B	0.00	0.28	0.00	0.28	0.17
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.59	0.00	0.59	0.35
09/14/2018	VM15006698	BRAUNSHAUSEN, VAT	PACIFIC LIFE VA 69448B191	B	0.00	208.96	0.00	208.96	125.38

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
		* SARAH BRAUNSHAUSEN-D062 SUBTOTAL *		\$19,003.54	\$522.31	\$197.50	\$324.81		\$194.87	
<u>BROKER: SPENCER JOHNSON-383056 #30</u>										
09/20/2018	368362231	WHITE, EVELYN	S	FORD MOTOR CO NEW	B	1,488.30	22.00	15.00	7.00	4.48
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.36	0.00	0.36	0.23
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.84	0.00	0.84	0.54
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.17	0.00	0.17	0.11
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.17	0.00	0.17	0.11
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.49	0.00	0.49	0.31
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.57	0.00	0.57	0.36
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	1.62	0.00	1.62	1.04
08/30/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	1.91	0.00	1.91	1.22
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.33	0.00	0.33	0.21
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.76	0.00	0.76	0.49
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.09	0.00	0.09	0.06
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.09	0.00	0.09	0.06
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.49	0.00	0.49	0.31
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	0.59	0.00	0.59	0.38
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	1.63	0.00	1.63	1.04
09/13/2018	mfc383056	JOHNSON SPENCER	MFT	FUNDAMENTAL EQUITY C	B	0.00	1.98	0.00	1.98	1.27
		* SPENCER JOHNSON-383056 SUBTOTAL *		\$1,488.30	\$34.09	\$15.00	\$19.09		\$12.22	
		*** OVERRIDE TRANSACTIONS TOTAL ***		\$20,491.84	\$556.40	\$212.50	\$343.90		\$207.09	

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	BROKER WORKSTATION-HARN	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	BROKER WORKSTATION-JOHNSON	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	BROKER WORKSTATION-SOLL	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-HARN	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-JOHNSON	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-SOLL	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	68.13
		* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	-\$151.87
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	-\$151.87

BROKER COMMISSION TOTALS

Broker Transactions	\$2,586.38
Split Transactions	\$0.00
Override Transactions	\$207.09
Adjustments	\$-151.87
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,641.60
Year-to-date Commissions	\$63,324.74