

COMMISSION STATEMENT**October 31, 2018**JOHN KILLEEN
306 SCHUNNEMUNK STREETBROKER #: W06
BRANCH: FULCRUM GROUP

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

10/11/2018	00061663272	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
10/11/2018	00061663272	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
10/11/2018	00061663272	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/11/2018	00061663272	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
10/11/2018	00061663272	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	00061663272	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
10/18/2018	00061663272	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
10/18/2018	00061663272	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/18/2018	00061663272	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
10/18/2018	00061663272	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/11/2018	00061736606	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
10/11/2018	00061736606	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
10/18/2018	00061736606	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
10/18/2018	00061736606	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
10/11/2018	00061736608	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/11/2018	00061736608	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/11/2018	00061736608	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
10/18/2018	00061736608	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/18/2018	00061736608	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/18/2018	00061736608	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
10/11/2018	00061736614	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/11/2018	00061736614	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/18/2018	00061736614	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/18/2018	00061736614	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/11/2018	00061736616	DAVIS, CAROL		AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/11/2018	00061736616	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
10/11/2018	00061736616	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/18/2018	00061736616	DAVIS, CAROL		AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/18/2018	00061736616	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
10/18/2018	00061736616	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/11/2018	00062829276	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45	86.0	0.39

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/11/2018	00062829276	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
10/11/2018	00062829276	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
10/11/2018	00062829276	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
10/18/2018	00062829276	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
10/18/2018	00062829276	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
10/18/2018	00062829276	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
10/18/2018	00062829276	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
10/11/2018	00063329982	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
10/11/2018	00063329982	DAVIS, CAROL		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
10/11/2018	00063329982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
10/18/2018	00063329982	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
10/18/2018	00063329982	DAVIS, CAROL		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
10/18/2018	00063329982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
10/11/2018	00063362305	DAVIS, CAROL		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/11/2018	00063362305	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	00063362305	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
10/11/2018	00063362305	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/11/2018	00063362305	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/18/2018	00063362305	DAVIS, CAROL		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/18/2018	00063362305	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/18/2018	00063362305	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
10/18/2018	00063362305	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/18/2018	00063362305	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/11/2018	00063362311	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
10/11/2018	00063362311	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/11/2018	00063362311	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	00063362311	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/11/2018	00063362311	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
10/18/2018	00063362311	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
10/18/2018	00063362311	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/18/2018	00063362311	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/18/2018	00063362311	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
10/18/2018	00063362311	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
10/11/2018	00063795979	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
10/11/2018	00063795979	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
10/11/2018	00063795979	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
10/18/2018	00063795979	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
10/18/2018	00063795979	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
10/18/2018	00063795979	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
10/11/2018	00064217122	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/11/2018	00064217122	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
10/11/2018	00064217122	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
10/18/2018	00064217122	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55
10/18/2018	00064217122	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
10/18/2018	00064217122	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
10/11/2018	00064217123	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/11/2018	00064217123	DAVIS, CAROL		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/11/2018	00064217123	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/11/2018	00064217123	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/11/2018	00064217123	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/18/2018	00064217123	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/18/2018	00064217123	DAVIS, CAROL		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/18/2018	00064217123	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/18/2018	00064217123	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
10/18/2018	00064217123	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
09/26/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	10.80	0.22	0.00	0.22 86.0 0.19
09/26/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
09/26/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	14.40	0.29	0.00	0.29 86.0 0.25
09/26/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
09/26/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	10.80	0.22	0.00	0.22 86.0 0.19
09/26/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
10/01/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	10.80	0.22	0.00	0.22 86.0 0.19
10/01/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
10/01/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	14.40	0.29	0.00	0.29 86.0 0.25
10/01/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
10/01/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	10.80	0.22	0.00	0.22 86.0 0.19
10/01/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
10/09/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	10.80	0.22	0.00	0.22 86.0 0.19
10/09/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
10/09/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	14.40	0.29	0.00	0.29 86.0 0.25
10/09/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
10/09/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	10.80	0.22	0.00	0.22 86.0 0.19
10/09/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
10/15/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	10.80	0.30	0.00	0.30 86.0 0.26
10/15/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41 86.0 0.35
10/15/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	14.40	0.40	0.00	0.40 86.0 0.34
10/15/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55 86.0 0.47
10/15/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	10.80	0.30	0.00	0.30 86.0 0.26
10/15/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41 86.0 0.35
10/22/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41 86.0 0.35

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/22/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	10.80	0.30	0.00	0.30	86.0	0.26
10/22/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
10/22/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	14.40	0.40	0.00	0.40	86.0	0.34
10/22/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
10/22/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	10.80	0.30	0.00	0.30	86.0	0.26
10/11/2018	00068080343	DAVIS, CAROL							
		AMCAP FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
10/11/2018	00068080343	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/11/2018	00068080343	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/11/2018	00068080343	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/11/2018	00068080343	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/18/2018	00068080343	DAVIS, CAROL							
		AMCAP FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
10/18/2018	00068080343	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	00068080343	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
10/18/2018	00068080343	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	00068080343	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/11/2018	00068086982	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62	86.0	0.53
10/11/2018	00068086982	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62	86.0	0.53
10/18/2018	00068086982	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62	86.0	0.53
10/18/2018	00068086982	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62	86.0	0.53
10/11/2018	00070001812	DAVIS, CAROL							
		AMCAP FUND-A	B	19.48	0.10	0.00	0.10	86.0	0.09
10/11/2018	00070001812	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19	86.0	0.16
10/11/2018	00070001812	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
10/11/2018	00070001812	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
10/11/2018	00070001812	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29	86.0	0.25
10/18/2018	00070001812	DAVIS, CAROL							
		AMCAP FUND-A	B	19.48	0.10	0.00	0.10	86.0	0.09
10/18/2018	00070001812	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19	86.0	0.16
10/18/2018	00070001812	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
10/18/2018	00070001812	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
10/18/2018	00070001812	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29	86.0	0.25
10/11/2018	00070004355	DAVIS, CAROL							
		AMCAP FUND-A	B	36.97	0.18	0.00	0.18	86.0	0.15
10/11/2018	00070004355	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17	86.0	0.15
10/11/2018	00070004355	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43	86.0	0.37
10/11/2018	00070004355	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31	86.0	0.27
10/11/2018	00070004355	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33	86.0	0.28
10/11/2018	00070004355	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39	86.0	0.34
10/18/2018	00070004355	DAVIS, CAROL							
		AMCAP FUND-A	B	36.97	0.18	0.00	0.18	86.0	0.15
10/18/2018	00070004355	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17	86.0	0.15
10/18/2018	00070004355	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43	86.0	0.37
10/18/2018	00070004355	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31	86.0	0.27
10/18/2018	00070004355	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33	86.0	0.28

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/18/2018	00070004355	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39	86.0	0.34
10/11/2018	00070005362	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	8.02	0.04	0.00	0.04	86.0	0.03
10/11/2018	00070005362	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	16.04	0.08	0.00	0.08	86.0	0.07
10/11/2018	00070005362	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	24.06	0.12	0.00	0.12	86.0	0.10
10/11/2018	00070005362	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	32.08	0.16	0.00	0.16	86.0	0.14
10/11/2018	00070005362	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	16.03	0.08	0.00	0.08	86.0	0.07
10/18/2018	00070005362	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	8.02	0.04	0.00	0.04	86.0	0.03
10/18/2018	00070005362	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	16.04	0.08	0.00	0.08	86.0	0.07
10/18/2018	00070005362	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	24.06	0.12	0.00	0.12	86.0	0.10
10/18/2018	00070005362	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	32.08	0.16	0.00	0.16	86.0	0.14
10/18/2018	00070005362	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	16.03	0.08	0.00	0.08	86.0	0.07
10/11/2018	00070005678	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	115.63	0.58	0.00	0.58	86.0	0.50
10/11/2018	00070005678	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	192.71	0.96	0.00	0.96	86.0	0.83
10/11/2018	00070005678	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	385.42	1.93	0.00	1.93	86.0	1.66
10/11/2018	00070005678	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
10/18/2018	00070005678	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	115.63	0.58	0.00	0.58	86.0	0.50
10/18/2018	00070005678	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	192.71	0.96	0.00	0.96	86.0	0.83
10/18/2018	00070005678	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	385.42	1.93	0.00	1.93	86.0	1.66
10/18/2018	00070005678	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
09/28/2018	00075952291	ZOTTOLA, MONICA	NEW PERSPECTIVE FUND-A	B	80.00	2.20	0.00	2.20	86.0	1.89
09/28/2018	00075952291	ZOTTOLA, MONICA	THE GROWTH FUND OF AMERICA-A	B	80.00	2.20	0.00	2.20	86.0	1.89
10/11/2018	00086545644	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
10/11/2018	00086545644	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/11/2018	00086545644	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/11/2018	00086545644	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/11/2018	00086545644	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
10/11/2018	00086545644	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/18/2018	00086545644	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
10/18/2018	00086545644	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/18/2018	00086545644	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/18/2018	00086545644	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/18/2018	00086545644	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
10/18/2018	00086545644	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
10/11/2018	00087384406	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	770.84	3.85	0.00	3.85	86.0	3.31
10/18/2018	00087384406	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	770.84	3.85	0.00	3.85	86.0	3.31
10/11/2018	00087384433	DAVIS, CAROL	AMCAP FUND-A	B	408.34	2.04	0.00	2.04	86.0	1.75
10/11/2018	00087384433	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/11/2018	00087384433	DAVIS, CAROL	NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/11/2018	00087384433	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/11/2018	00087384433	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/18/2018	00087384433	DAVIS, CAROL							
		AMCAP FUND-A	B	408.34	2.04	0.00	2.04	86.0	1.75
10/18/2018	00087384433	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	00087384433	DAVIS, CAROL							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	00087384433	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/18/2018	00087384433	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
10/11/2018	00087384446	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	88.15	0.44	0.00	0.44	86.0	0.38
10/11/2018	00087384446	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	66.12	0.33	0.00	0.33	86.0	0.28
10/11/2018	00087384446	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	66.11	0.33	0.00	0.33	86.0	0.28
10/18/2018	00087384446	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	88.15	0.44	0.00	0.44	86.0	0.38
10/18/2018	00087384446	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	66.12	0.33	0.00	0.33	86.0	0.28
10/18/2018	00087384446	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	66.11	0.33	0.00	0.33	86.0	0.28
10/11/2018	00087384464	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
10/18/2018	00087384464	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
10/11/2018	00087384507	DAVIS, CAROL							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
10/11/2018	00087384507	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
10/11/2018	00087384507	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
10/18/2018	00087384507	DAVIS, CAROL							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
10/18/2018	00087384507	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
10/18/2018	00087384507	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
10/11/2018	00087384541	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/11/2018	00087384541	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/18/2018	00087384541	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/18/2018	00087384541	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
10/11/2018	00087384558	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	56.58	0.28	0.00	0.28	86.0	0.24
10/11/2018	00087384558	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	56.58	0.28	0.00	0.28	86.0	0.24
10/18/2018	00087384558	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	42.82	0.21	0.00	0.21	86.0	0.18
10/18/2018	00087384558	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	42.82	0.21	0.00	0.21	86.0	0.18
10/11/2018	00087842379	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	1.00	0.01	0.00	0.01	86.0	0.01
10/11/2018	00087842379	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	9.00	0.05	0.00	0.05	86.0	0.04
10/18/2018	00087842379	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	1.00	0.01	0.00	0.01	86.0	0.01
10/18/2018	00087842379	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	9.00	0.05	0.00	0.05	86.0	0.04
10/11/2018	00088877759	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20
10/11/2018	00088877759	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
10/18/2018	00088877759	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	105.95	0.53	0.00	0.53	86.0	0.46
10/18/2018	00088877759	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	317.84	1.59	0.00	1.59	86.0	1.37
10/11/2018	00089378227	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	30.17	0.15	0.00	0.15	86.0	0.13
10/11/2018	00089378227	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	10.05	0.05	0.00	0.05	86.0	0.04
10/11/2018	00089378227	DAVIS, CAROL							
		NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
10/11/2018	00089378227	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10	86.0	0.09
10/11/2018	00089378227	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/18/2018	00089378227	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	30.17	0.15	0.00	0.15 86.0 0.13
10/18/2018	00089378227	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	10.05	0.05	0.00	0.05 86.0 0.04
10/18/2018	00089378227	DAVIS, CAROL		NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10 86.0 0.09
10/18/2018	00089378227	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10 86.0 0.09
10/18/2018	00089378227	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10 86.0 0.09
10/11/2018	00089378259	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.20
10/11/2018	00089378259	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.20
10/11/2018	00089378259	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.13
10/11/2018	00089378259	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
10/11/2018	00089378259	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
10/18/2018	00089378259	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.20
10/18/2018	00089378259	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.20
10/18/2018	00089378259	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.13
10/18/2018	00089378259	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
10/18/2018	00089378259	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
10/11/2018	00089378412	DAVIS, CAROL		AMCAP FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
10/11/2018	00089378412	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22 86.0 0.19
10/11/2018	00089378412	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27 86.0 0.23
10/11/2018	00089378412	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22 86.0 0.19
10/11/2018	00089378412	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
10/18/2018	00089378412	DAVIS, CAROL		AMCAP FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
10/18/2018	00089378412	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22 86.0 0.19
10/18/2018	00089378412	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27 86.0 0.23
10/18/2018	00089378412	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22 86.0 0.19
10/18/2018	00089378412	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
10/15/2018	00089545290	RONGA, DANIEL		THE GROWTH FUND OF AMERICA-A	B	200.00	5.50	0.00	5.50 86.0 4.73
10/19/2018	04000113773	VARIOUS, KILLEEN		02630R203	B	0.00	1.91	0.00	1.91 86.0 1.64
10/11/2018	04000113984	VARIOUS, KILLEEN		AMCAP FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/11/2018	04000113984	VARIOUS, KILLEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/18/2018	04000113984	VARIOUS, KILLEEN		AMCAP FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/18/2018	04000113984	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
10/18/2018	04000113984	VARIOUS, KILLEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/18/2018	04000113984	VARIOUS, KILLEEN	NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	04000113984	VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	04000113984	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	04000113984	VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
10/18/2018	04000113984	VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	04000113984	VARIOUS, KILLEEN	THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/18/2018	04000113984	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
10/11/2018	04000114048	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	273.71	1.37	0.00	1.37	86.0	1.18
10/15/2018	04000292411	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
10/11/2018	04000493953	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
10/11/2018	04000493953	DAVIS, CAROL	NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
10/11/2018	04000493953	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
10/11/2018	04000493953	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
10/11/2018	04000493953	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
10/18/2018	04000493953	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
10/18/2018	04000493953	DAVIS, CAROL	NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
10/18/2018	04000493953	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
10/18/2018	04000493953	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
10/18/2018	04000493953	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
10/11/2018	04000772673	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
10/11/2018	04000772673	VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
10/11/2018	04000772673	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
10/11/2018	04000772673	VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
10/11/2018	04000772673	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
10/18/2018	04000772673	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
10/18/2018	04000772673	VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
10/18/2018	04000772673	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
10/18/2018	04000772673	VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
10/18/2018	04000772673	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
10/11/2018	04000938747	DAWSON, PETER	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/11/2018	04000938747	DAWSON, PETER	NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/11/2018	04000938747	DAWSON, PETER	NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/11/2018	04000938747	DAWSON, PETER	THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/18/2018	04000938747	DAWSON, PETER	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/18/2018	04000938747	DAWSON, PETER	NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/18/2018	04000938747	DAWSON, PETER	NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/18/2018	04000938747	DAWSON, PETER	THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
10/11/2018	04000998747	DAVIS, CAROL	AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/11/2018	04000998747	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/11/2018	04000998747	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/11/2018	04000998747	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/18/2018	04000998747	DAVIS, CAROL	AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/18/2018	04000998747	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/18/2018	04000998747	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/18/2018	04000998747	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
10/18/2018	04001150125	KILLEEN, JOHN	AMCAP FUND-A	B	233.34	1.17	0.00	1.17	86.0	1.01
10/18/2018	04001150125	KILLEEN, JOHN	THE GROWTH FUND OF AMERICA-A	B	93.33	0.47	0.00	0.47	86.0	0.40
10/18/2018	04001150125	KILLEEN, JOHN	THE INCOME FUND OF AMERICA-A	B	46.67	0.23	0.00	0.23	86.0	0.20
10/18/2018	04001150125	KILLEEN, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	B	93.33	0.47	0.00	0.47	86.0	0.40
* MUTUAL FUNDS SUBTOTAL *					\$35,745.82	\$202.25	\$0.00	\$202.25		\$174.10
*** BROKER TRANSACTIONS TOTAL ***					\$35,745.82	\$202.25	\$0.00	\$202.25		\$174.10

ADJUSTMENTS

10/31/2018	E & O INSURANCE: E & O INSURANCE	0.00	0.00	0.00	0.00	-200.00
10/31/2018	OTHER: 360 OFFICE	0.00	0.00	0.00	0.00	-8.00
10/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
10/31/2018	OTHER: VIRTRU	0.00	0.00	0.00	0.00	-7.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$174.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.25
SIPC Assessment	\$-0.51

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-61.66
Year-to-date Earnings	\$101,007.69