

COMMISSION STATEMENT October 31, 2018

JOY LAWRENCE-D098
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D098
 BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
10/05/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25 50.0 0.13
10/05/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25 50.0 0.13
10/19/2018	a03946954	LAWRENCE-D098, JOY	MF	PUTNAM 746802800	B	0.00	40.81	0.00	40.81 50.0 20.41
* MUTUAL FUNDS SUBTOTAL *				\$204.90	\$46.31	\$5.00	\$41.31		\$20.67
PRODUCT CATEGORY: STOCKS									
10/22/2018	153319825	BINGAMAN, BRANNON	S	AURORA CANNABIS INC ISIN	B	489.09	15.00	15.00	0.00 50.0 0.00
10/15/2018	306872158	GRABLE, JOHN	S	ALIBABA GROUP HOLDING LTD	S	-14,355.43	50.00	15.00	35.00 50.0 17.50
10/15/2018	306872158	GRABLE, JOHN	S	AMAZON.COM INC	B	35,387.05	50.00	15.00	35.00 50.0 17.50
10/15/2018	306872158	GRABLE, JOHN	S	TEVA PHARMACEUTICAL INDS ADR	S	-21,550.07	50.00	15.00	35.00 50.0 17.50
* STOCKS SUBTOTAL *				\$-29.36	\$165.00	\$60.00	\$105.00		\$52.50
PRODUCT CATEGORY: BONDS									
10/04/2018	461573829	LYTLE, JENNIFER	B	LA PORTE TX INDEP SCH DIST REF	B	25,114.95	125.00	15.00	110.00 50.0 55.00
10/17/2018	461573829	LYTLE, JENNIFER	B	NEW BRAUNFELS TX COMB TAX REV	B	24,676.86	250.00	15.00	235.00 50.0 117.50
* BONDS SUBTOTAL *				\$49,791.81	\$375.00	\$30.00	\$345.00		\$172.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	AB HIGH INCOME A	B	0.00	4.80	0.00	4.80 50.0 2.40
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCE BERNSTEIN SMALL/MID	B	0.00	2.63	0.00	2.63 50.0 1.32
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	4.45	0.00	4.45 50.0 2.23
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	12.49	0.00	12.49 50.0 6.25
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	4.35	0.00	4.35 50.0 2.18
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN GLOBAL	B	0.00	10.85	0.00	10.85 50.0 5.43
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	2.86	0.00	2.86 50.0 1.43
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	11.39	0.00	11.39 50.0 5.70
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	2.28	0.00	2.28 50.0 1.14
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	EATON VANCE GOVT OBLIGATIONS	B	0.00	6.18	0.00	6.18 50.0 3.09
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	EMPIRIC 2500 FD A	B	0.00	7.42	0.00	7.42 50.0 3.71
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR LARGE CAP	B	0.00	9.00	0.00	9.00 50.0 4.50
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR MID CAP STOCK	B	0.00	8.76	0.00	8.76 50.0 4.38
10/19/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR EMERGING MKT	B	0.00	20.57	0.00	20.57 50.0 10.29

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10/19/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR FUNDS	B	0.00	20.22	0.00	20.22	50.0	10.11
10/19/2018	mfc946954	LAWRENCE JOY 946954 MFT MFS MID CAP GROWTH FUND-C	B	0.00	18.80	0.00	18.80	50.0	9.40
10/19/2018	mfc946954	LAWRENCE JOY 946954 MFT PUTNAM 746802800	B	0.00	15.00	0.00	15.00	50.0	7.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$162.05	\$0.00	\$162.05		\$81.06
*** CLEARING TRANSACTIONS TOTAL ***				\$49,967.35	\$748.36	\$95.00	\$653.36		\$326.73

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		29.44
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$29.44
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$29.44

BROKER COMMISSION TOTALS

Broker Transactions	\$326.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$29.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$356.17
Year-to-date Commissions	\$14,069.91