

# COMMISSION STATEMENT

September 15, 2018

SCOTT SICKLES-D060  
 4200 Ridgecrest Circle  
 Suite A4  
 Amarillo, TX 79101

BROKER #: D060  
 BRANCH: DMC

## COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/29/2018	000000001042631	WEIR, DEBBIE	MF	FRK AGGRESSIVE GRWTH - CL A	B	250.00	9.38	0.00	9.38	75.0	7.04
08/29/2018	00082895917	JOHNSON, KRISTY	MF	CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
08/29/2018	00082895917	JOHNSON, KRISTY	MF	THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
08/29/2018	00082895917	JOHNSON, KRISTY	MF	THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
08/27/2018	00084222411	VAUGHN, JOE	MF	CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	75.0	2.81
08/27/2018	00084222411	VAUGHN, JOE	MF	CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	75.0	2.81
09/04/2018	00085552496	MAXIE A SICKLES	MF	CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
09/04/2018	04000584689	OLGA HOUSAND	MF	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
* MUTUAL FUNDS SUBTOTAL *						\$950.00	\$25.22	\$0.00	\$25.22	\$18.92	

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/04/2018	00082100637	SUZEWITS, MARIA	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	0.20	0.00	0.20	75.0	0.15
09/04/2018	00082100637	SUZEWITS, MARIA	MFT	INCOME FUND OF AMERICA CLASS C	B	0.00	0.02	0.00	0.02	75.0	0.02
09/04/2018	00082100637	SUZEWITS, MARIA	MFT	THE BOND FUND OF AMERICA-C	B	0.00	0.08	0.00	0.08	75.0	0.06
09/04/2018	00082889269	GIBBS, LORI	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.23	0.00	1.23	75.0	0.92
09/04/2018	00082889269	GIBBS, LORI	MFT	THE BOND FUND OF AMERICA-A	B	0.00	0.90	0.00	0.90	75.0	0.68
09/04/2018	00082889269	GIBBS, LORI	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	75.0	0.95
09/04/2018	00082895917	JOHNSON, KRISTY	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.76	0.00	3.76	75.0	2.82
09/04/2018	00082895917	JOHNSON, KRISTY	MFT	THE BOND FUND OF AMERICA-A	B	0.00	2.98	0.00	2.98	75.0	2.24
09/04/2018	00082895917	JOHNSON, KRISTY	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	3.99	0.00	3.99	75.0	2.99
09/04/2018	00083035369	MENG, DEBRA	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.78	0.00	1.78	75.0	1.34
09/04/2018	00083035369	MENG, DEBRA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.33	0.00	1.33	75.0	1.00
09/04/2018	00083035369	MENG, DEBRA	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.58	0.00	1.58	75.0	1.19
09/04/2018	00083035369	MENG, DEBRA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	2.15	0.00	2.15	75.0	1.61
09/04/2018	00084116303	SPIVA, HOLLY	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	7.11	0.00	7.11	75.0	5.33
09/04/2018	00084116303	SPIVA, HOLLY	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	7.51	0.00	7.51	75.0	5.63
09/04/2018	00084116303	SPIVA, HOLLY	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	5.26	0.00	5.26	75.0	3.95
09/04/2018	00084116303	SPIVA, HOLLY	MFT	NEW WORLD FUND-C	B	0.00	7.16	0.00	7.16	75.0	5.37
09/04/2018	00084116303	SPIVA, HOLLY	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	11.36	0.00	11.36	75.0	8.52
09/04/2018	00084116303	SPIVA, HOLLY	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	4.57	0.00	4.57	75.0	3.43
09/04/2018	00084118996	DAVIS, JANET	MFT	CAPITAL WORLD BOND FUND-C	B	0.00	22.45	0.00	22.45	75.0	16.84

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09/04/2018 00084118996	DAVIS, JANET	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	41.75	0.00	41.75	75.0	31.31
09/04/2018 00084118996	DAVIS, JANET	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	22.88	0.00	22.88	75.0	17.16
09/04/2018 00084118996	DAVIS, JANET	MFT FUNDAMENTAL INVESTORS-C	B	0.00	30.17	0.00	30.17	75.0	22.63
09/04/2018 00084118996	DAVIS, JANET	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	53.65	0.00	53.65	75.0	40.24
09/04/2018 00084222411	VAUGHN, JOE	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.07	0.00	18.07	75.0	13.55
09/04/2018 00084222411	VAUGHN, JOE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	22.07	0.00	22.07	75.0	16.55
09/04/2018 00084770563	SPIVA, SAMANTHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.65	0.00	3.65	75.0	2.74
09/04/2018 00084785305	MCCOWN, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	75.0	0.09
09/04/2018 00085367391	BRANNON,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.45	0.00	2.45	75.0	1.84
09/04/2018 00085367391	BRANNON,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.93	0.00	2.93	75.0	2.20
09/04/2018 00085367391	BRANNON,	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.40	0.00	3.40	75.0	2.55
09/04/2018 00085552496	MAXIE A SICKLES	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	21.28	0.00	21.28	75.0	15.96
09/04/2018 00085552496	MAXIE A SICKLES	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.49	0.00	2.49	75.0	1.87
09/04/2018 00085552496	MAXIE A SICKLES	MFT INCOME FUND OF AMERICA CLASS C	B	0.00	19.20	0.00	19.20	75.0	14.40
09/04/2018 00085552496	MAXIE A SICKLES	MFT THE BOND FUND OF AMERICA-C	B	0.00	14.20	0.00	14.20	75.0	10.65
09/04/2018 00085552496	MAXIE A SICKLES	MFT THE BOND FUND OF AMERICA-F1	B	0.00	2.30	0.00	2.30	75.0	1.73
09/04/2018 00085552496	MAXIE A SICKLES	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.93	0.00	2.93	75.0	2.20
09/04/2018 00086907129	SICKLES, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.40	0.00	16.40	75.0	12.30
09/04/2018 00087696393	CHARLES MICHAEL	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	70.82	0.00	70.82	75.0	53.12
09/04/2018 00087696393	CHARLES MICHAEL	MFT NEW WORLD FUND-C	B	0.00	45.74	0.00	45.74	75.0	34.31
09/04/2018 00087696393	CHARLES MICHAEL	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	77.86	0.00	77.86	75.0	58.40
09/04/2018 00087696393	CHARLES MICHAEL	MFT THE NEW ECONOMY FUND-C	B	0.00	74.98	0.00	74.98	75.0	56.24
09/04/2018 00088702320	SICKLES, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.00	0.00	10.00	75.0	7.50
09/04/2018 00089635528	BAUTISTA, MOISES	MFT FUNDAMENTAL INVESTORS-C	B	0.00	13.18	0.00	13.18	75.0	9.89
09/04/2018 04000032754	SPIVA, HOLLY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.30	0.00	0.30	75.0	0.23
09/04/2018 04000032754	SPIVA, HOLLY	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	0.32	0.00	0.32	75.0	0.24
09/04/2018 04000032754	SPIVA, HOLLY	MFT FUNDAMENTAL INVESTORS-C	B	0.00	0.17	0.00	0.17	75.0	0.13
09/04/2018 04000032754	SPIVA, HOLLY	MFT NEW WORLD FUND-C	B	0.00	0.32	0.00	0.32	75.0	0.24
09/04/2018 04000032754	SPIVA, HOLLY	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	0.37	0.00	0.37	75.0	0.28
09/04/2018 04000032754	SPIVA, HOLLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.68	0.00	1.68	75.0	1.26
09/04/2018 04000612038	CARLSON, EDEN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.16	0.00	0.16	75.0	0.12
09/04/2018 04000650197	MONFORT, RONALD	MFT AMCAP FUND-A	B	0.00	0.37	0.00	0.37	75.0	0.28
09/04/2018 04000650197	MONFORT, RONALD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.38	0.00	0.38	75.0	0.29
09/04/2018 04000650593	MONFORT, TINA	MFT RTMT INCOME PORTFOLIO	B	0.00	0.73	0.00	0.73	75.0	0.55
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$664.01</b>	<b>\$0.00</b>	<b>\$664.01</b>		<b>\$498.09</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$950.00</b>	<b>\$689.23</b>	<b>\$0.00</b>	<b>\$689.23</b>		<b>\$517.01</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$517.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-49.29
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$467.72</b>
<b>Year-to-date Commissions</b>	<b>\$5,024.68</b>