



# COMMISSION STATEMENT

## November 26, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/21/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @27.4500						
11/21/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	43.21	0.43	0.00	0.43	90.0	0.39
	ACCOUNT #: 00089949168	BUY 3 Units @14.1300						
11/21/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.1300						
11/21/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	166.00	1.66	0.00	1.66	90.0	1.49
	ACCOUNT #: 00089949172	BUY 11 Units @14.4400						
11/21/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @13.7700						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.4800						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @46.5000						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @38.0900						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @57.1200						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @46.0500						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.3800						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @46.0300						
11/21/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @39.1700						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$269.21</b>	<b>\$2.69</b>	<b>\$0.00</b>	<b>\$2.69</b>		<b>\$2.44</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
11/15/2018	***-**-7979 - THOMPSON, ACCOUNT #: VP60440630	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	-500.00	-10.00	0.00	-10.00	90.0	-9.00
11/17/2018	***-**-0795 - SWARTZ, SHARI ACCOUNT #: VP60737990	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
11/17/2018	***-**-0304 - LOUGHRIDGE, ACCOUNT #: VP60638610	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,666.00	24.99	0.00	24.99	90.0	22.49
11/17/2018	***-**-655I - SWARTZ, MICHAEL ACCOUNT #: VP60738000	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
11/17/2018	***-**-921I - OSBORNE, DARIN ACCOUNT #: VP60570240	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,546.50	30.93	0.00	30.93	90.0	27.84
11/20/2018	***-**-8258 - IFG, KELLY,J ACCOUNT #: VP60088260	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	412.00	8.24	0.00	8.24	90.0	7.42
11/20/2018	***-**-209I - SPARACO, MICHAEL ACCOUNT #: VP60570220	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,510.67	30.21	0.00	30.21	90.0	27.19
11/21/2018	***-**-7600 - HOFHEINZ, JAMES ACCOUNT #: VP60357760	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	485.27	9.71	0.00	9.71	90.0	8.74
11/21/2018	***-**-7600 - HOFHEINZ, JAMES ACCOUNT #: VP60357760	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	9.90	0.20	0.00	0.20	90.0	0.18
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,330.34</b>	<b>\$96.28</b>	<b>\$0.00</b>	<b>\$96.28</b>		<b>\$86.66</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
11/22/2018	***-**-209I - SPARACO, MICHAEL ACCOUNT #: VP60570220	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	0.00	488.28	0.00	488.28	90.0	439.45
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$488.28</b>	<b>\$0.00</b>	<b>\$488.28</b>		<b>\$439.45</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,599.55</b>	<b>\$587.25</b>	<b>\$0.00</b>	<b>\$587.25</b>		<b>\$528.55</b>



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<b><u>BROKER COMMISSION TOTALS</u></b>								
						Broker Transactions		\$528.55
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
<b>Net Commission Earned</b>								<b>\$528.55</b>
<b>Year-to-date Earnings</b>								<b>\$332,140.82</b>