

COMMISSION STATEMENT

August 31, 2018

JOY LAWRENCE-D098
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D098
 BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25	50.0	0.13
08/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25	50.0	0.13
08/16/2018	368324019	LYTLE, JENNIFER	MF	EMPIRIC 2500 FD A	B	-2,387.55	0.00	5.00	-5.00	50.0	-2.50
* MUTUAL FUNDS SUBTOTAL *						\$-2,182.65	\$5.50	\$10.00	\$-4.50		\$-2.24
PRODUCT CATEGORY: STOCKS											
08/15/2018	306872158	GRABLE, JOHN	S	TEVA PHARMACEUTICAL INDS ADR	B	22,441.45	50.00	15.00	35.00	50.0	17.50
* STOCKS SUBTOTAL *						\$22,441.45	\$50.00	\$15.00	\$35.00		\$17.50
PRODUCT CATEGORY: MISCELLANEOUS											
08/17/2018	a03946954	LAWRENCE-D098, JOY	MI	MUTUAL FUND MISC FEE 368324019	S	0.00	10.00	0.00	10.00	50.0	5.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$10.00	\$0.00	\$10.00		\$5.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	AB HIGH INCOME A	B	0.00	5.01	0.00	5.01	50.0	2.51
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCE BERNSTEIN SMALL/MID	B	0.00	2.70	0.00	2.70	50.0	1.35
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.99	0.00	0.99	50.0	0.50
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	12.99	0.00	12.99	50.0	6.50
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	4.38	0.00	4.38	50.0	2.19
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN GLOBAL	B	0.00	25.27	0.00	25.27	50.0	12.64
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	22.71	0.00	22.71	50.0	11.36
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	2.22	0.00	2.22	50.0	1.11
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	EATON VANCE GOVT OBLIGATIONS	B	0.00	6.39	0.00	6.39	50.0	3.20
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	EMPIRIC 2500 FD A	B	0.00	7.72	0.00	7.72	50.0	3.86
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR LARGE CAP	B	0.00	8.88	0.00	8.88	50.0	4.44
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR MID CAP STOCK	B	0.00	8.74	0.00	8.74	50.0	4.37
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR EMERGING MKT	B	0.00	21.81	0.00	21.81	50.0	10.91
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	FIDELITY ADVISOR FUNDS	B	0.00	20.38	0.00	20.38	50.0	10.19
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	MFS MID CAP GROWTH FUND-C	B	0.00	18.59	0.00	18.59	50.0	9.30
08/21/2018	mfc946954	LAWRENCE JOY 946954	MFT	PUTNAM 746802800	B	0.00	14.84	0.00	14.84	50.0	7.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$183.62	\$0.00	\$183.62		\$91.85

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$20,258.80	\$249.12	\$25.00	\$224.12		\$112.11

ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		11.69
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$11.69
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$11.69

BROKER COMMISSION TOTALS

Broker Transactions	\$112.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$11.69
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$123.80
Year-to-date Commissions	\$10,419.72