

COMMISSION STATEMENT

September 30, 2018

MARY COSTA

6008 Lake Manor Drive

Baltimore, MD 21210-1019

BROKER #: A12 P7M

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARY COSTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2018	75501293	JOE, HENRY	BRP INC COMMON SUBORDINATE VOTING S S	-9,400.00	0.00	-10.00	10.00	70.0	10.00 C
09/14/2018	75501293	JOE, HENRY	BRP INC COMMON SUBORDINATE VOTING S B	9,400.00	0.00	10.00	-10.00	70.0	-10.00
* STOCKS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
09/06/2018	75502192	COSTA, GALE	UNITED STATES TREAS NTS 1.500% 03/3 B	298,881.00	99.00	10.00	89.00	70.0	59.30
09/06/2018	75502194	COSTA, SHERIDAN	UNITED STATES TREASURY NOTE B	198,938.22	0.00	10.00	-10.00	70.0	-10.00
* BONDS SUBTOTAL *				\$497,819.22	\$99.00	\$20.00	\$79.00		\$49.30
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75502194	COSTA, SHERIDAN	FAS FEES PT. III/III B	-7,604.71	2,344.65	10.00	2,334.65	70.0	1,631.26
07/05/2018	75502194	COSTA, SHERIDAN	FAS FEES PT. III/III B	1,704.57	-537.62	10.00	-547.62	70.0	-386.33
* RIA SUBTOTAL *				\$-5,900.14	\$1,807.03	\$20.00	\$1,787.03		\$1,244.93
*** BROKER TRANSACTIONS TOTAL ***				\$491,919.08	\$1,906.03	\$40.00	\$1,866.03		\$1,294.23
<u>ADJUSTMENTS</u>									
09/30/2018			OTHER: ADV 75502201 III/III	0.00	0.00	0.00	0.00		369.40
09/30/2018			OTHER: ADV III/III 75502201	0.00	0.00	0.00	0.00		42.95
09/30/2018			OTHER: REVERSE IA CLEARING CHG	0.00	0.00	0.00	0.00		30.00
09/30/2018			OTHER: TECHNOLOGY FEE	0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$422.35

BROKER COMMISSION TOTALS

Broker Transactions	\$1,294.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$422.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.38
SIPC Assessment	\$-4.77

Check Amount	\$1,709.43
Year-to-date Earnings	\$9,447.15