



# COMMISSION STATEMENT

November 12, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/05/2018	***-**-7360 - UNGER, HELEN	RESOURCE CREDIT INCOME FUND A	25,000.00	1,375.00	18.00	1,357.00	70.0	949.90
	ACCOUNT #: LSR012925	BUY 2137 Units @11.7000						
11/05/2018	***-**-7360 - UNGER, HELEN	RESOURCE REAL ESTATES-NFS	25,000.01	1,375.00	18.00	1,357.00	70.0	949.90
	ACCOUNT #: LSR012925	BUY 2269 Units @11.0200						
* MUTUAL FUNDS SUBTOTAL *			<u>\$50,000.01</u>	<u>\$2,750.00</u>	<u>\$36.00</u>	<u>\$2,714.00</u>		<u>\$1,899.80</u>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
11/12/2018	***-**-15CL - BRODER, CLIENTS	SECURITY BENEFIT VUL TRAILS	0.00	10.38	0.00	10.38	70.0	7.27
		BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<u>\$0.00</u>	<u>\$10.38</u>	<u>\$0.00</u>	<u>\$10.38</u>		<u>\$7.27</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$50,000.01</u>	<u>\$2,760.38</u>	<u>\$36.00</u>	<u>\$2,724.38</u>		<u>\$1,907.07</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,907.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,907.07
Year-to-date Earnings	\$42,258.98