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DOMINION INVESTOR SERVICES, INC.

COMMISSION STATEMENT

September 30, 2018

WALSTON DPM

BROKER #:
BRANCH: DPM

, TX

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			CLEARING	TRANS	BACTIONS					
PRODUCT CAT	EGORY: STOCKS									
08/30/2018 21	.5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	В	1,806.84	17.00	17.00	0.00	0.0	0.00
09/04/2018 21	5745263 DOSDALL, ALEX SCO	TT S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 21	5745263 DOSDALL, ALEX SCO	TT S	FREEPORT-MCMORAN INC CL B	S	-1,710.54	15.00	15.00	0.00	0.0	0.00
09/04/2018 21	5745263 DOSDALL, ALEX SCO	TT S	MARATHON OIL CORPORATION	S	-1,554.79	15.00	15.00	0.00	0.0	0.00
09/04/2018 21	5745263 DOSDALL, ALEX SCO	TT S	ZTO EXPRESS CAYMAN INC-ADR	S	-1,414.28	15.00	15.00	0.00	0.0	0.00
09/05/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	S	-1,867.43	17.00	17.00	0.00	0.0	0.00
9/05/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	В	1,338.52	16.00	16.00	0.00	0.0	0.00
9/06/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
9/06/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	В	1,405.76	17.00	17.00	0.00	0.0	0.00
9/13/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	S	-1,789.43	17.00	17.00	0.00	0.0	0.00
9/13/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	S	-1,435.31	16.00	16.00	0.00	0.0	0.00
9/13/2018 21	5745263 DOSDALL, ALEX SCO	TT S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
9/25/2018 21	5745263 DOSDALL, ALEX SCO	TT S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
9/26/2018 21	5745263 DOSDALL, ALEX SCO	TT S	MORGAN STANLEY	В	314.84	17.00	17.00	0.00	0.0	0.00
08/30/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	2,403.65	18.00	18.00	0.00	0.0	0.00
9/04/2018 30	6754795 GREEN, JULIE ANN	S	FREEPORT-MCMORAN INC CL B	S	-2,366.90	15.00	15.00	0.00	0.0	0.00
9/05/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
9/05/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	S	-2,495.38	18.00	18.00	0.00	0.0	0.00
9/05/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
9/06/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
9/06/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	2,660.59	18.00	18.00	0.00	0.0	0.00
9/06/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	В	2,331.97	19.00	19.00	0.00	0.0	0.00
9/13/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	S	-2,993.34	19.00	19.00	0.00	0.0	0.00
9/13/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	S	-2,887.07	18.00	18.00	0.00	0.0	0.00
9/13/2018 30	6754795 GREEN, JULIE ANN	S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
9/25/2018 30	6754795 GREEN, JULIE ANN	S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
9/26/2018 30	6754795 GREEN, JULIE ANN	S	MORGAN STANLEY	В	414.31	18.00	18.00	0.00	0.0	0.00
08/30/2018 33	37573775 HARDWICKE, ALAN	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 33	37573775 HARDWICKE, ALAN	S	SPDR S&P 500 ETF TRUST	В	13,146.01	36.00	36.00	0.00	0.0	0.00

09/04/2018 337573775 HARDWICKE, ALAN S DROPBOX INC CLASS A S -1,314.72 15.00 15.00 0.00 0.0 0.00 09/04/2018 337573775 HARDWICKE, ALAN S FREEPORT-MCMORAN INC CL B S -11,395.28 15.00 15.00 0.00 0.00 0.00 0.00 09/04/2018 337573775 HARDWICKE, ALAN S MARATHON OIL CORPORATION S -2,222.45 15.00 15.00 0.00 0.00 0.00 0.00 09/04/2018 337573775 HARDWICKE, ALAN S ZTO EXPRESS CAYMAN INC-ADR S -1,803.15 15.00 15.00 0.00 0.00 0.00 0.00 09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -6,445.76 44.00 44.00 0.00 0.00 0.00 0.00 09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,798.62 36.00 36.00 0.00 0.00 0.00 0.00 09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,362.69 34.00 34.00 0.00 0.00 0.00 0.00 09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,067.90 27.00 27.00 0.00 0.00 0.00 09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,576.10 33.00 33.00 0.00 0.00 0.00 0.00 09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,576.10 33.00 33.00 0.00 0.00 0.00 09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,576.10 33.00 33.00 0.00 0.00 0.00 09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -16,236.41 41.00 41.00 0.00 0.00 0.00 09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,775.31 33.00 33.00 0.00 0.00 0.00 09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,112.15 27.00 27.00 0.00 0.00 0.00 0.00 09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,112.15 27.00 27.00 0.00 0.00 0.00 0.00 09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,112.15 27.00 27.00 0.00 0.00 0.00 0.00 0.00 0.
09/04/2018 337573775 HARDWICKE, ALAN S MARATHON OIL CORPORATION S -2,222.45 15.00 15.00 0.00 0.0 0.0 0.00 09/04/2018 337573775 HARDWICKE, ALAN S ZTO EXPRESS CAYMAN INC-ADR S -1,803.15 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.00 09/05/2018 337573775 HARDWICKE, ALAN S M&T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.00 0.0 0.00 09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -6,445.76 44.00 44.00 0.00 0.00 0.0 0.00 09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,798.62 36.00 36.00 0.00 0.00 0.00 0.00 09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,362.69 34.00 34.00 0.00 0.00 0.00 0.00 0.00 09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,067.90 27.00 27.00 0.00 0.00 0.00 0.00 0.00 0
09/04/2018 337573775 HARDWICKE, ALAN S ZTO EXPRESS CAYMAN INC-ADR S -1,803.15 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0
09/05/2018 337573775 HARDWICKE, ALAN S M&T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.0 0.0 0.00 0.00 0.00
09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -6,445.76 44.00 44.00 0.00 0.00 0.00 0.00 0.00 0
09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,798.62 36.00 36.00 0.00 0.0 0.0 0.00 0.00 0.00
09/05/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,362.69 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.00
09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,067.90 27.00 27.00 0.00 0.0 0.00 0.00 0.00 0.
09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,576.10 33.00 33.00 0.00 0.0 0.00 0.00 0.00
09/06/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST B 12,520.27 41.00 41.00 0.00 0.0 0.00 0.00 0.00 0.0
09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -16,236.41 41.00 41.00 0.00 0.00 0.00 0.00 0.00
09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13,775.31 33.00 33.00 0.00 0.00
09/13/2018 337573775 HARDWICKE, ALAN S SPDR S&P 500 ETF TRUST S -13.112.15 27.00 27.00 0.00 0.00 0.00
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09/25/2018 337573775 HARDWICKE, ALAN S YY INC-ADR B 1,843.69 21.00 21.00 0.00 0.00 0.00
09/26/2018 337573775 HARDWICKE, ALAN S MORGAN STANLEY B 2,005.76 34.00 34.00 0.00 0.00 0.00
08/30/2018 338136256 HELMS, CARLA ANN S ARISTA NETWORK, INC S -10,958.69 24.00 24.00 0.00 0.00 0.00
08/30/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST B 13,742.81 37.00 37.00 0.00 0.00 0.00
09/04/2018 338136256 HELMS, CARLA ANN S DROPBOX INC CLASS A S -5,311.22 15.00 15.00 0.00 0.00 0.00
09/04/2018 338136256 HELMS, CARLA ANN S FREEPORT-MCMORAN INC CL B S -12,641.04 15.00 15.00 0.00 0.00 0.00
09/04/2018 338136256 HELMS, CARLA ANN S MARATHON OIL CORPORATION S -11,677.43 15.00 15.00 0.00 0.00 0.00
09/04/2018 338136256 HELMS, CARLA ANN S ZTO EXPRESS CAYMAN INC-ADR S -9,598.11 15.00 15.00 0.00 0.00 0.00
09/05/2018 338136256 HELMS, CARLA ANN S M & T BANK CORPORATION S -2,148.68 24.00 24.00 0.00 0.00 0.00
09/05/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST S -7,522.79 49.00 49.00 0.00 0.00 0.00
09/05/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST S -14,426.57 37.00 37.00 0.00 0.00 0.00
09/05/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST S -13,362.69 34.00 34.00 0.00 0.00 0.00
09/06/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST B 12,994.93 28.00 28.00 0.00 0.00 0.00
09/06/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST B 13,898.17 35.00 35.00 0.00 0.00 0.00
09/06/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST B 13,446.47 43.00 43.00 0.00 0.00 0.00
09/13/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST S -17,440.33 43.00 43.00 0.00 0.00 0.00
09/13/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST S -15,227.08 35.00 35.00 0.00 0.00 0.00
09/13/2018 338136256 HELMS, CARLA ANN S SPDR S&P 500 ETF TRUST S -14,122.05 28.00 28.00 0.00 0.00 0.00
09/25/2018 338136256 HELMS, CARLA ANN S YY INC-ADR B 1,843.69 21.00 21.00 0.00 0.00 0.00
09/26/2018 338136256 HELMS, CARLA ANN S MORGAN STANLEY B 2,005.76 34.00 34.00 0.00 0.0 0.00
08/30/2018 338152542 HELMS, MORRIS DALE S ARISTA NETWORK, INC S -109,734.85 114.00 114.00 0.00 0.00 0.00
08/30/2018 338152542 HELMS, MORRIS DALE S SPDR S&P 500 ETF TRUST B 119,376.11 214.00 214.00 0.00 0.00 0.00
09/04/2018 338152542 HELMS, MORRIS DALE S DROPBOX INC CLASS A S -53,269.26 15.00 15.00 0.00 0.00 0.00
09/04/2018 338152542 HELMS, MORRIS DALE S FREEPORT-MCMORAN INC CL B S -113,547.27 15.00 15.00 0.00 0.00 0.00
09/04/2018 338152542 HELMS, MORRIS DALE S MARATHON OIL CORPORATION S -101,962.70 15.00 15.00 0.00 0.00 0.00
09/04/2018 338152542 HELMS, MORRIS DALE S ZTO EXPRESS CAYMAN INC-ADR S -88,360.90 15.00 0.00 0.00 0.00
09/05/2018 338152542 HELMS, MORRIS DALE S M & T BANK CORPORATION S -21,634.84 114.00 114.00 0.00 0.00 0.00
09/05/2018 338152542 HELMS, MORRIS DALE S SPDR S&P 500 ETF TRUST S -125,575.05 214.00 214.00 0.00 0.00
09/05/2018 338152542 HELMS, MORRIS DALE S SPDR S&P 500 ETF TRUST S -21,524.24 114.00 114.00 0.00 0.00
09/05/2018 338152542 HELMS, MORRIS DALE S SPDR S&P 500 ETF TRUST S -66,879.25 114.00 114.00 0.00 0.00 0.00

TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	38152542 HELMS	MORRIS DALE		SPDR S&P 500 ETF TRUST	В	46,326.88	114.00	114.00	0.00	0.0	0.00
09/06/2018 33		MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	124,239.05	148.00	148.00	0.00	0.0	0.00
09/06/2018 33		MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	126,935.04	206.00	206.00	0.00	0.0	0.00
09/13/2018 33		MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-139,352.94	206.00	206.00	0.00	0.0	0.00
09/13/2018 33		MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-135,309.15	148.00	148.00	0.00	0.0	0.00
09/13/2018 33		MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-60,179.34	114.00	114.00	0.00	0.0	0.00
09/25/2018 33		MORRIS DALE	S	YY INC-ADR	В	18,288.85	84.00	84.00	0.00	0.0	0.00
	38152542 HELMS,			MORGAN STANLEY	В	9,962.98	114.00	114.00	0.00	0.0	0.00
08/30/2018 33		CARLA ANN	S	ARISTA NETWORK, INC	S	-16,446.25	29.00	29.00	0.00	0.0	0.00
08/30/2018 33		CARLA ANN	S	SPDR S&P 500 ETF TRUST	В	20,904.39	49.00	49.00	0.00	0.0	0.00
09/04/2018 33	38154872 HELMS,	CARLA ANN	S	DROPBOX INC CLASS A	S	-15,968.56	15.00	15.00	0.00	0.0	0.00
09/04/2018 33		CARLA ANN	S	FREEPORT-MCMORAN INC CL B	S	-18,910.00	15.00	15.00	0.00	0.0	0.00
09/04/2018 33	38154872 HELMS,	CARLA ANN	S	MARATHON OIL CORPORATION	S	-17,621.78	15.00	15.00	0.00	0.0	0.00
09/04/2018 33	38154872 HELMS,	CARLA ANN	S	ZTO EXPRESS CAYMAN INC-ADR	S	-12,461.58	15.00	15.00	0.00	0.0	0.00
	38154872 HELMS,		S	M & T BANK CORPORATION	S	-3,231.25	29.00	29.00	0.00	0.0	0.00
09/05/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	S	-10,753.89	64.00	64.00	0.00	0.0	0.00
09/05/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	S	-21,962.06	49.00	49.00	0.00	0.0	0.00
	38154872 HELMS,		S	SPDR S&P 500 ETF TRUST	S	-20,052.26	44.00	44.00	0.00	0.0	0.00
	38154872 HELMS,		S	SPDR S&P 500 ETF TRUST	В	20,411.20	36.00	36.00	0.00	0.0	0.00
	38154872 HELMS,		S	SPDR S&P 500 ETF TRUST	В	20,508.51	45.00	45.00	0.00	0.0	0.00
	38154872 HELMS,		S	SPDR S&P 500 ETF TRUST	В	19,929.93	57.00	57.00	0.00	0.0	0.00
	38154872 HELMS,		S	SPDR S&P 500 ETF TRUST	S	-25,867.74	57.00	57.00	0.00	0.0	0.00
09/13/2018 33	38154872 HELMS,	CARLA ANN	S	SPDR S&P 500 ETF TRUST	S	-22,485.91	45.00	45.00	0.00	0.0	0.00
	38154872 HELMS,		S	SPDR S&P 500 ETF TRUST	S	-22,201.19	36.00	36.00	0.00	0.0	0.00
	38154872 HELMS,		S	YY INC-ADR	В	2,887.83	25.00	25.00	0.00	0.0	0.00
	38154872 HELMS,		S	MORGAN STANLEY	В	3,995.06	54.00	54.00	0.00	0.0	0.00
	38190586 HOLT,		S	ARISTA NETWORK, INC	S	-21,933.80	34.00	34.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	27,469.17	60.00	60.00	0.00	0.0	0.00
	38190586 HOLT,		S	DROPBOX INC CLASS A	S	-15,968.56	15.00	15.00	0.00	0.0	0.00
	38190586 HOLT,		S	FREEPORT-MCMORAN INC CL B	S	-24,736.93	15.00	15.00	0.00	0.0	0.00
	38190586 HOLT,		S	MARATHON OIL CORPORATION	S	-23,609.21	15.00	15.00	0.00	0.0	0.00
	38190586 HOLT,		S	ZTO EXPRESS CAYMAN INC-ADR	S	-17,658.22	15.00	15.00	0.00	0.0	0.00
	38190586 HOLT,		S	M & T BANK CORPORATION	S	-4,313.80	34.00	34.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	S	-10,753.89	64.00	64.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	S	-33,431.39	64.00	64.00	0.00	0.0	0.00
	88190586 HOLT,		S	SPDR S&P 500 ETF TRUST	S	-28,869.59	60.00	60.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	26,900.44	43.00	43.00	0.00	0.0	0.00
	88190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	27,118.86	55.00	55.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	В	26,413.40	71.00	71.00	0.00	0.0	0.00
	88190586 HOLT,		S	SPDR S&P 500 ETF TRUST	S	-34,295.14	71.00	71.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	S	-29,744.72	55.00	55.00	0.00	0.0	0.00
	38190586 HOLT,		S	SPDR S&P 500 ETF TRUST	S	-29,270.44	43.00	43.00	0.00	0.0	0.00
	38190586 HOLT,		S	YY INC-ADR	В	3,931.96	29.00	29.00	0.00	0.0	0.00
	38190586 HOLT,		S	MORGAN STANLEY	В	4,989.72	64.00	64.00	0.00	0.0	0.00
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TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/30/2018 36	8255592 RUSSELL,	KIMBERLEY	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,403.65	18.00	18.00	0.00	0.0	0.00
09/04/2018 36	8255592 RUSSELL,	KIMBERLEY	S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8255592 RUSSELL,	KIMBERLEY	S	FREEPORT-MCMORAN INC CL B	S	-2,407.09	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8255592 RUSSELL,	KIMBERLEY	S	MARATHON OIL CORPORATION	S	-2,222.45	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8255592 RUSSELL,	KIMBERLEY	S	ZTO EXPRESS CAYMAN INC-ADR	S	-1,803.15	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	8255592 RUSSELL,	KIMBERLEY	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	S	-2,495.38	18.00	18.00	0.00	0.0	0.00
09/05/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,660.59	18.00	18.00	0.00	0.0	0.00
09/06/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	В	2,331.97	19.00	19.00	0.00	0.0	0.00
09/13/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	S	-2,993.34	19.00	19.00	0.00	0.0	0.00
09/13/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	S	-2,887.07	18.00	18.00	0.00	0.0	0.00
09/13/2018 36	8255592 RUSSELL,	KIMBERLEY	S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
09/25/2018 36	8255592 RUSSELL,	KIMBERLEY	S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
09/26/2018 36	8255592 RUSSELL,	KIMBERLEY	S	MORGAN STANLEY	В	513.78	19.00	19.00	0.00	0.0	0.00
08/30/2018 36	8260214 HARDWICK	E, ALAN	S	ARISTA NETWORK, INC	S	-27,421.37	39.00	39.00	0.00	0.0	0.00
08/30/2018 36		E, ALAN	s	SPDR S&P 500 ETF TRUST	В	59,696.28	114.00	114.00	0.00	0.0	0.00
09/04/2018 36		E, ALAN	s	DROPBOX INC CLASS A	S	-15,968.56	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		E, ALAN	s	FREEPORT-MCMORAN INC CL B	S	-34,555.63	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		E, ALAN	s	MARATHON OIL CORPORATION	S	-28,713.61	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		E, ALAN	s	ZTO EXPRESS CAYMAN INC-ADR	S	-26,496.06	15.00	15.00	0.00	0.0	0.00
09/05/2018 36		E, ALAN	s	M & T BANK CORPORATION	S	-5,396.37	39.00	39.00	0.00	0.0	0.00
09/05/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	S	-62,779.30	114.00	114.00	0.00	0.0	0.00
09/05/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	S	-10,753.89	64.00	64.00	0.00	0.0	0.00
09/05/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	S	-33,431.39	64.00	64.00	0.00	0.0	0.00
09/06/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	В	38,951.89	56.00	56.00	0.00	0.0	0.00
09/06/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	В	39,678.51	74.00	74.00	0.00	0.0	0.00
09/06/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	В	37,991.00	96.00	96.00	0.00	0.0	0.00
09/13/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	S	-49,344.10	96.00	96.00	0.00	0.0	0.00
09/13/2018 36	8260214 HARDWICK	E, ALAN	S	SPDR S&P 500 ETF TRUST	S	-43,536.48	74.00	74.00	0.00	0.0	0.00
	8260214 HARDWICK		S	SPDR S&P 500 ETF TRUST	S	-42,399.04	56.00	56.00	0.00	0.0	0.00
	8260214 HARDWICK		S	YY INC-ADR	В	5,759.20	36.00	36.00	0.00	0.0	0.00
	8260214 HARDWICK		S	MORGAN STANLEY	В	4,989.72	64.00	64.00	0.00	0.0	0.00
	8270193 HARDWICK		S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00		0.00
	8270193 HARDWICK		S	SPDR S&P 500 ETF TRUST	В	2,403.65	18.00	18.00	0.00	0.0	0.00
	8270193 HARDWICK		S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
	8270193 HARDWICK		S	FREEPORT-MCMORAN INC CL B	S	-2,299.92	15.00	15.00	0.00	0.0	0.00
	8270193 HARDWICK		S	MARATHON OIL CORPORATION	S	-2,179.38	15.00	15.00	0.00	0.0	0.00
	8270193 HARDWICK		S	ZTO EXPRESS CAYMAN INC-ADR	S	-1,750.12	15.00	15.00	0.00	0.0	0.00
	8270193 HARDWICK		S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
	58270193 HARDWICK		S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
32,00,2010	, 0 0 _ 11111121111011	_,	٥		2	2,000.33	17.00	17.00	0.00	٥.٠	0.00

TRADE DATE	CLIENT				INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/05/2018 36			SARAH	S	SPDR S&P 500 ETF TRUST	S	-2,495.38	18.00	18.00	0.00	0.0	0.00
09/05/2018 36	8270193	HARDWICKE,	SARAH	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	8270193	HARDWICKE,	SARAH	S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	8270193	HARDWICKE,	SARAH	S	SPDR S&P 500 ETF TRUST	В	1,999.55	17.00	17.00	0.00	0.0	0.00
09/06/2018 36	8270193	HARDWICKE,	SARAH	S	SPDR S&P 500 ETF TRUST	В	2,331.97	19.00	19.00	0.00	0.0	0.00
09/13/2018 36	8270193	HARDWICKE,	SARAH	S	SPDR S&P 500 ETF TRUST	S	-2,993.34	19.00	19.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-2,161.20	17.00	17.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
09/25/2018 36				S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
09/26/2018 36				S	MORGAN STANLEY	В	513.78	19.00	19.00	0.00	0.0	0.00
08/30/2018 36				S	ARISTA NETWORK, INC	S	-76,809.46	84.00	84.00	0.00	0.0	0.00
08/30/2018 36	58279732	ANDERSON, I	DAVID	S	SPDR S&P 500 ETF TRUST	В	59,696.28	114.00	114.00	0.00	0.0	0.00
09/04/2018 36	58279732	ANDERSON, I	DAVID	S	DROPBOX INC CLASS A	S	-42,611.92	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58279732	ANDERSON, I	DAVID	S	FREEPORT-MCMORAN INC CL B	S	-88,364.25	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58279732	ANDERSON, I	DAVID	S	MARATHON OIL CORPORATION	S	-78,788.34	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58279732	ANDERSON, I	DAVID	S	ZTO EXPRESS CAYMAN INC-ADR	S	-70,685.23	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	58279732	ANDERSON, I	DAVID	S	M & T BANK CORPORATION	S	-21,634.84	114.00	114.00	0.00	0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-62,779.30	114.00	114.00	0.00	0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-66,879.25	114.00	114.00	0.00	0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-10,753.89	64.00	64.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	46,326.88	114.00	114.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	97,355.05	119.00	119.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	99,171.60	164.00	164.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-108,865.88	164.00	164.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-106,022.27	119.00	119.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-60,179.34	114.00	114.00	0.00	0.0	0.00
09/25/2018 36				S	YY INC-ADR	В	13,068.17	64.00	64.00	0.00	0.0	0.00
09/26/2018 36				S	MORGAN STANLEY	В	9,962.98	114.00	114.00	0.00	0.0	0.00
08/30/2018 36				S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,774.83	27.00	27.00	0.00	0.0	0.00
09/04/2018 36				S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		•		S	FREEPORT-MCMORAN INC CL B	S	-7,309.73	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		,		S	MARATHON OIL CORPORATION	S	-6,766.87	15.00	15.00	0.00	0.0	0.00
09/04/2018 36				S	ZTO EXPRESS CAYMAN INC-ADR	S	-5,621.09	15.00	15.00	0.00	0.0	0.00
09/05/2018 36				S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-4,291.68	34.00	34.00		0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-8,146.99	27.00	27.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,432.72	22.00	22.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,948.86	26.00	26.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,889.22	31.00	31.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-10,216.84	31.00	31.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-8,694.14	26.00	26.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	s	-8,062.69	22.00	22.00	0.00	0.0	0.00
37,13,2010 30		CINDLINGOD,		_	SISH Dai 500 HIT INODI	D	0,002.09	22.00	22.00	0.00	0.0	0.00

TRADE DATE	CLIENT				INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/25/2018 36	68292049	UNDERWOOD,	TAMMY	S	YY INC-ADR	В	1,060.59	18.00	18.00	0.00	0.0	0.00
09/26/2018 36	68292049	UNDERWOOD,	TAMMY	S	MORGAN STANLEY	В	1,607.90	30.00	30.00	0.00	0.0	0.00
08/30/2018 36	68299283	ANDRENACCI	, LETICIA	S	ARISTA NETWORK, INC	S	-10,958.69	24.00	24.00	0.00	0.0	0.00
08/30/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	16,726.80	42.00	42.00	0.00	0.0	0.00
09/04/2018 36	68299283	ANDRENACCI	, LETICIA	S	DROPBOX INC CLASS A	S	-10,639.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68299283	ANDRENACCI	, LETICIA	S	FREEPORT-MCMORAN INC CL B	S	-15,011.99	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68299283	ANDRENACCI	, LETICIA	S	MARATHON OIL CORPORATION	S	-13,981.94	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68299283	ANDRENACCI	, LETICIA	S	ZTO EXPRESS CAYMAN INC-ADR	S	-11,701.52	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68299283	ANDRENACCI	, LETICIA	S	M & T BANK CORPORATION	S	-3,231.25	29.00	29.00	0.00	0.0	0.00
09/05/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-8,599.83	54.00	54.00	0.00	0.0	0.00
09/05/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-20,052.26	44.00	44.00	0.00	0.0	0.00
09/05/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-17,566.36	42.00	42.00	0.00	0.0	0.00
09/06/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	15,776.03	31.00	31.00	0.00	0.0	0.00
09/06/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	16,542.31	39.00	39.00	0.00	0.0	0.00
09/06/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	В	16,225.10	49.00	49.00	0.00	0.0	0.00
09/13/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-21,052.08	49.00	49.00	0.00	0.0	0.00
09/13/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-18,130.60	39.00	39.00	0.00	0.0	0.00
09/13/2018 36	68299283	ANDRENACCI	, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-17,151.73	31.00	31.00	0.00	0.0	0.00
09/25/2018 36	68299283	ANDRENACCI	, LETICIA	S	YY INC-ADR	В	2,365.76	23.00	23.00	0.00	0.0	0.00
09/26/2018 36	68299283	ANDRENACCI	, LETICIA	S	MORGAN STANLEY	В	3,000.41	44.00	44.00	0.00	0.0	0.00
08/30/2018 36				S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 36	68300237	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	В	7,774.83	27.00	27.00	0.00	0.0	0.00
09/04/2018 36	68300237	UNDERWOOD,	TAMMY	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68300237	UNDERWOOD,	TAMMY	S	FREEPORT-MCMORAN INC CL B	S	-6,934.67	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68300237	UNDERWOOD,	TAMMY	S	MARATHON OIL CORPORATION	S	-6,400.73	15.00	15.00	0.00	0.0	0.00
09/04/2018 36				S	ZTO EXPRESS CAYMAN INC-ADR	S	-5,302.93	15.00	15.00	0.00	0.0	0.00
09/05/2018 36				S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	68300237	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	S	-4,291.68	34.00	34.00	0.00	0.0	0.00
09/05/2018 36	68300237	UNDERWOOD,	TAMMY	S	SPDR S&P 500 ETF TRUST	S	-8,146.99	27.00	27.00	0.00	0.0	0.00
09/05/2018 36				S	SPDR S&P 500 ETF TRUST	S	-6,673.12	24.00	24.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,432.72	22.00	22.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,287.83	25.00	25.00	0.00	0.0	0.00
09/06/2018 36				S	SPDR S&P 500 ETF TRUST	В	7,426.12	30.00	30.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-9,614.87	30.00	30.00	0.00	0.0	0.00
09/13/2018 36				S	SPDR S&P 500 ETF TRUST	S	-7,968.26	25.00	25.00	0.00	0.0	0.00
09/13/2018 36		•		S		S	-8,062.69	22.00	22.00	0.00	0.0	0.00
09/25/2018 36				S	YY INC-ADR	В	1,060.59	18.00	18.00	0.00		0.00
09/26/2018 36				S	MORGAN STANLEY	В	1,508.43	29.00	29.00	0.00	0.0	0.00
08/30/2018 36					ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 36					SPDR S&P 500 ETF TRUST	В	8,968.42	29.00	29.00	0.00	0.0	0.00
09/04/2018 36					DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 36					FREEPORT-MCMORAN INC CL B	S	-8,367.96	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		•			MARATHON OIL CORPORATION	S	-7,779.13	15.00	15.00	0.00	0.0	0.00
09/04/2018 36		•			ZTO EXPRESS CAYMAN INC-ADR	S	-6,522.54	15.00	15.00	0.00	0.0	0.00
32,01,2010 30	- 332 / 12 /	, , , , , , , , , , , , , , , , ,		ے	IIIIIII IIII IIII	٥	0,522.51	13.00	13.00	0.00	٥.٠	0.00

TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/05/2018 36	8327197 HELMS	, MORRIS DALE	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/05/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-9,402.92	29.00	29.00	0.00	0.0	0.00
09/05/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/06/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	8,359.76	23.00	23.00	0.00	0.0	0.00
09/06/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	9,270.93	28.00	28.00	0.00	0.0	0.00
09/06/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	В	8,815.43	33.00	33.00	0.00	0.0	0.00
09/13/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-11,420.75	33.00	33.00	0.00	0.0	0.00
09/13/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-10,145.90	28.00	28.00	0.00	0.0	0.00
09/13/2018 36	8327197 HELMS	, MORRIS DALE	S	SPDR S&P 500 ETF TRUST	S	-9,072.58	23.00	23.00	0.00	0.0	0.00
09/25/2018 36	8327197 HELMS	, MORRIS DALE	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
09/26/2018 36	8327197 HELMS	, MORRIS DALE	S	MORGAN STANLEY	В	1,906.29	33.00	33.00	0.00	0.0	0.00
08/30/2018 36	8351790 DOSDA	LL, KEVIN	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	7,178.03	26.00	26.00	0.00	0.0	0.00
09/04/2018 36	8351790 DOSDA	LL, KEVIN	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8351790 DOSDA	LL, KEVIN	S	FREEPORT-MCMORAN INC CL B	S	-6,747.14	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8351790 DOSDA	LL, KEVIN	S	MARATHON OIL CORPORATION	S	-6,228.43	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8351790 DOSDA	LL, KEVIN	S	ZTO EXPRESS CAYMAN INC-ADR	S	-5,161.52	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	8351790 DOSDA	LL, KEVIN	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-4,291.68	34.00	34.00	0.00	0.0	0.00
09/05/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-7,519.04	26.00	26.00	0.00	0.0	0.00
09/05/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-6,673.12	24.00	24.00	0.00	0.0	0.00
09/06/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	6,505.69	21.00	21.00	0.00	0.0	0.00
09/06/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	7,287.83	25.00	25.00	0.00	0.0	0.00
09/06/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	6,963.01	29.00	29.00	0.00	0.0	0.00
09/13/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-9,012.92	29.00	29.00	0.00	0.0	0.00
09/13/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-7,968.26	25.00	25.00	0.00	0.0	0.00
09/13/2018 36	8351790 DOSDA	LL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-7,052.80	21.00	21.00	0.00	0.0	0.00
09/25/2018 36	8351790 DOSDA	LL, KEVIN	S	YY INC-ADR	В	1,060.59	18.00	18.00	0.00	0.0	0.00
09/26/2018 36	8351790 DOSDA	LL, KEVIN	S	MORGAN STANLEY	В	1,508.43	29.00	29.00	0.00	0.0	0.00
08/30/2018 36	8362828 TUCKE	R, GARY DON	S	ARISTA NETWORK, INC	S	-109,734.85	114.00	114.00	0.00	0.0	0.00
08/30/2018 36	8362828 TUCKE	R, GARY DON	S	SPDR S&P 500 ETF TRUST	В	59,696.28	114.00	114.00	0.00	0.0	0.00
09/04/2018 36	8362828 TUCKE	R, GARY DON	S	DROPBOX INC CLASS A	S	-42,611.92	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8362828 TUCKE	R, GARY DON	S	FREEPORT-MCMORAN INC CL B	S	-92,489.98	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8362828 TUCKE	R, GARY DON	S	MARATHON OIL CORPORATION	S	-78,379.12	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	8362828 TUCKE	R, GARY DON	S	ZTO EXPRESS CAYMAN INC-ADR	S	-70,685.23	15.00	15.00	0.00	0.0	0.00
	8362828 TUCKE		S	M & T BANK CORPORATION	S	-15,139.45	84.00	84.00	0.00	0.0	0.00
09/05/2018 36	8362828 TUCKE	R, GARY DON	S	SPDR S&P 500 ETF TRUST	S	-21,524.24	114.00	114.00	0.00	0.0	0.00
	8362828 TUCKE		S	SPDR S&P 500 ETF TRUST	S	-62,779.30	114.00	114.00	0.00	0.0	0.00
09/05/2018 36	8362828 TUCKE	R, GARY DON	S	SPDR S&P 500 ETF TRUST	S	-66,879.25	114.00	114.00	0.00	0.0	0.00
	8362828 TUCKE		S	SPDR S&P 500 ETF TRUST	В	46,326.88	114.00	114.00	0.00	0.0	0.00
	58362828 TUCKE		S	SPDR S&P 500 ETF TRUST	В	97,355.05	119.00	119.00	0.00	0.0	0.00
	8362828 TUCKE		S	SPDR S&P 500 ETF TRUST	В	99,171.60	164.00	164.00	0.00	0.0	0.00
	58362828 TUCKE		S	SPDR S&P 500 ETF TRUST	S	-108,865.88	164.00	164.00	0.00	0.0	0.00

19/13/2018 368362022 TUCKER, GARY DON S SURV SEP 500 TTT TRUST S -106,072.77 119.00 119.00 0.00 0.00 0.00 0.00 0.00 0.01/3/2018 368362022 TUCKER, GARY DON S SURV SEP 500 TTT TRUST S -6,073.73 119.00 114.00 0.00	TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
99/25/2018 368362828 TUCKER, GAHY DON	09/13/2018 368	8362828 TUCF	ER, GARY DON	S	SPDR S&P 500 ETF TRUST	S	-106,022.27	119.00	119.00	0.00	0.0	0.00
09/16/2013 368460139 BUTLER, ANTHONY S MARGAN STANLEY B 9,962,98 114.00 114.00 0.00	09/13/2018 368	8362828 TUCF	ER, GARY DON	S	SPDR S&P 500 ETF TRUST	S	-60,179.34	114.00	114.00	0.00	0.0	0.00
08/30/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST B 7,178.03 26.00 26.00 26.00 0.00 0.00 0.00 09/04/2018 368460190 EUTLER, ANTHONY S PEREPORT -MEMBRAN INC CL B S -6,573.00 15.00 15.00 15.00 0.00 0.00 0.00 09/04/2018 368460190 EUTLER, ANTHONY S PREPORT -MEMBRAN INC CL B S -6,573.00 15.00 15.00 15.00 0.00 0.00 0.00 09/04/2018 368460190 EUTLER, ANTHONY S MARATHON TIC CORPORATION S -6,573.00 15.00 15.00 15.00 0.00 0.00 09/04/2018 368460190 EUTLER, ANTHONY S MARATHON TIC CORPORATION S -1,066.12 19.00 15.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S MARATHON TIC CORPORATION S -1,066.12 19.00 19.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -4,949.42 15.00 19.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -4,519.68 34.00 34.00 0.00 0.0 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -4,519.04 26.00 26.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -6,573.12 24.00 24.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -6,573.12 24.00 24.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST B 6,505.69 21.00 21.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST B 6,505.69 21.00 21.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST B 6,505.69 21.00 21.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -7,952.80 21.00 21.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -7,952.80 21.00 21.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -7,952.80 21.00 21.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -7,952.80 21.00 21.00 0.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -7,952.80 21.00 21.00 0.00 0.00 0.00 0.00 09/05/2018 368460190 EUTLER, ANTHONY S SPER SAP 500 ETF TRUST S -7,952.80 11.00 11.00 0.00	09/25/2018 368	8362828 TUCF	ER, GARY DON	S	YY INC-ADR	В	13,068.17	64.00	64.00	0.00	0.0	0.00
08/30/2018 368460190 BUTLER, ANTHONY S PORDEOX INC CLASS A S -2.646.89 15.00 15.00 0.00 0.0 0.00 0.00 0.00 0.0	09/26/2018 368	8362828 TUCF	ER, GARY DON	S	MORGAN STANLEY	В	9,962.98	114.00	114.00	0.00	0.0	0.00
09/04/2018 368460190 BUTLER, ANTHONY S PREPFORT-MCMORAN INC CLASS A S -2,646.89 15.00 15.00 15.00 0.00 0.00 0.00 0.00 0	08/30/2018 368	8460190 BUTI	ER, ANTHONY	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
09/04/2018 368460190 BUTLER, ANTHONY S FREEPORT-MCMORAN INC CL B S -6.573.00 15.00 15.00 0.00 0.00 0.00 0.00 0.00	08/30/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	7,178.03	26.00	26.00	0.00	0.0	0.00
09/04/2018 368460190 BUTLER, ANTHONY S MARATHON OLL CORPORATION S -6.013.05 15.00 15.00 0.00 0.00 0.00 0.00 0.00	09/04/2018 368	8460190 BUTI	ER, ANTHONY	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 368460190 BUTLER, ANTHONY S	09/04/2018 368	8460190 BUTI	ER, ANTHONY	S	FREEPORT-MCMORAN INC CL B	S	-6,573.00	15.00	15.00	0.00	0.0	0.00
09/05/2018 368460190 BUTLER, ANTHONY S SPDR SAP 500 ETF TRUST S -4,291.68 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.00	09/04/2018 368	8460190 BUTI	ER, ANTHONY	S	MARATHON OIL CORPORATION	S	-6,013.05	15.00	15.00	0.00	0.0	0.00
09/05/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -4,291.68 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.00	09/04/2018 368	8460190 BUTI	ER, ANTHONY	S	ZTO EXPRESS CAYMAN INC-ADR	S	-4,949.42	15.00	15.00	0.00	0.0	0.00
09/05/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -6,673.12 24.00 26.00 0.00 0.00 0.00 0.00 0.00 0.00	09/05/2018 368	8460190 BUTI	ER, ANTHONY	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST B 6,505.69 21.00 21.00 0.00 0.00 0.00 0.00 0.00 0.	09/05/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	S	-4,291.68	34.00	34.00	0.00	0.0	0.00
09/06/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST B 7.287.83 25.0 25.00 0.00 0.0 0.0 0.00 0.00 0.00	09/05/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	S	-7,519.04	26.00	26.00	0.00	0.0	0.00
09/06/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST B 6,963.01 25.00 25.00 0.00 0.0 0.0 0.00 09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -9,012.92 29.00 29.00 0.00 0.0 0.0 0.00 09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,968.26 25.00 25.00 0.00 0.0 0.0 0.0 0.0 09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,968.26 25.00 25.00 0.00 0.0 0.0 0.0 0.0 09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,968.26 25.00 25.00 0.00 0.0 0.0 0.0 0.0 09/25/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,052.80 21.00 21.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/05/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	S	-6,673.12	24.00	24.00	0.00	0.0	0.00
09/06/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -9,012.92 29.00 29.00 0.00 0.0 0.0 0.00 09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,968.26 25.00 25.00 0.00 0.0 0.0 0.0 0.00 09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,968.26 25.00 25.00 0.00 0.0 0.0 0.0 0.00 09/25/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,052.80 21.00 21.00 0.00 0.00 0.0 0.00 09/25/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST B 1,060.59 18.00 18.00 0.00 0.00 0.00 0.00 09/26/2018 368460190 BUTLER, ANTHONY S WORGAN STANLEY B 1,408.96 28.00 28.00 0.00 0.00 0.0 0.00 09/26/2018 368460190 BUTLER, ANTHONY S MORGAN STANLEY B 1,408.96 28.00 28.00 0.00 0.0 0.0 0.0 0.00 08/30/2018 368461459 0AKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST B 6,581.23 25.00 25.00 0.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0	09/06/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	6,505.69	21.00	21.00	0.00	0.0	0.00
09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -9,012.92 29.00 29.00 0.00 0.00 0.00 0.00 0.0	09/06/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	7,287.83	25.00	25.00	0.00	0.0	0.00
09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,968.26 25.00 25.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/06/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	В	6,963.01	29.00	29.00	0.00	0.0	0.00
09/13/2018 368460190 BUTLER, ANTHONY S SPDR S&P 500 ETF TRUST S -7,052.80 21.00 21.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/13/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	S	-9,012.92	29.00	29.00	0.00	0.0	0.00
09/25/2018 368460190 BUTLER, ANTHONY S YY INC-ADR B 1,060.59 18.00 18.00 0.00 0.0 0.0 0.00 09/26/2018 368460190 BUTLER, ANTHONY S MORGAN STANLEY B 1,408.96 28.00 28.00 0.00 0.00 0.00 0.00 08/30/2018 368461459 OAKLEY, SUSAN GAYLE S ARISTA NETWORK, INC S -5,471.12 19.00 19.00 0.00 0.00 0.00 0.00 0.00 0.	09/13/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	S	-7,968.26	25.00	25.00	0.00	0.0	0.00
09/26/2018 368460190 BUTLER, ANTHONY S MORGAN STANLEY B 1,408.96 28.00 28.00 0.00 0.00 0.00 0.00 0.00 0.	09/13/2018 368	8460190 BUTI	ER, ANTHONY	S	SPDR S&P 500 ETF TRUST	S	-7,052.80	21.00	21.00	0.00	0.0	0.00
08/30/2018 368461459 OAKLEY, SUSAN GAYLE S ARISTA NETWORK, INC S -5,471.12 19.00 19.00 0.00 0.00 0.00 0.00 0.00 0.	09/25/2018 368	8460190 BUTI	ER, ANTHONY	S	YY INC-ADR	В	1,060.59	18.00	18.00	0.00	0.0	0.00
08/30/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST B 6,581.23 25.00 25.00 0.00 0.0 0.0 0.00 0.00 0.00	09/26/2018 368	8460190 BUTI	ER, ANTHONY	S	MORGAN STANLEY	В	1,408.96	28.00	28.00	0.00	0.0	0.00
09/04/2018 368461459 OAKLEY, SUSAN GAYLE S DROPBOX INC CLASS A S -2,646.89 15.00 15.00 0.00 0.00 0.00 0.00 0.00 0.	08/30/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
09/04/2018 368461459 OAKLEY, SUSAN GAYLE S FREEPORT-MCMORAN INC CL B S -6,372.08 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00	08/30/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	SPDR S&P 500 ETF TRUST	В	6,581.23	25.00	25.00	0.00	0.0	0.00
09/04/2018 368461459 OAKLEY, SUSAN GAYLE S MARATHON OIL CORPORATION S -5,840.75 15.00 15.00 0.00 0.0 0.00 0.00 0.00 0.	09/04/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 368461459 OAKLEY, SUSAN GAYLE S ZTO EXPRESS CAYMAN INC-ADR S -4,790.33 15.00 15.00 0.00 0.0 0.0 0.00 0.9/05/2018 368461459 OAKLEY, SUSAN GAYLE S M & T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.0 0.0 0.0 0.00 0.00	09/04/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	FREEPORT-MCMORAN INC CL B	S	-6,372.08	15.00	15.00	0.00	0.0	0.00
09/05/2018 368461459 OAKLEY, SUSAN GAYLE S M & T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.0 0.0 0.00 0.00 0.00	09/04/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	MARATHON OIL CORPORATION	S	-5,840.75	15.00	15.00	0.00	0.0	0.00
09/05/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST S -4,291.68 34.00 34.00 0.00 0.00 0.00 0.00 0.00 0.	09/04/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	ZTO EXPRESS CAYMAN INC-ADR	S	-4,790.33	15.00	15.00	0.00	0.0	0.00
09/05/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST S -6,891.08 25.00 25.00 0.00 0.0 0.0 0.00 0.00 0.00	09/05/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST S -6,673.12 24.00 24.00 0.00 0.0 0.0 0.00 0.00 0.0	09/05/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	SPDR S&P 500 ETF TRUST	S	-4,291.68	34.00	34.00	0.00	0.0	0.00
09/06/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST B 6,505.69 21.00 21.00 0.00 0.00 0.00 0.00 0.00 0.	09/05/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	SPDR S&P 500 ETF TRUST	S	-6,891.08	25.00	25.00	0.00	0.0	0.00
09/06/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST B 6,505.69 21.00 21.00 0.00 0.00 0.00 0.00 0.00 0.	09/05/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S	SPDR S&P 500 ETF TRUST	S	-6,673.12	24.00	24.00	0.00	0.0	0.00
09/06/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST B 6,626.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 0.					SPDR S&P 500 ETF TRUST	В		21.00	21.00	0.00	0.0	0.00
09/06/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST B 6,499.91 28.00 28.00 0.00 0.00 0.00	09/06/2018 368	8461459 OAKI	EY, SUSAN GAYLE	S		В			24.00	0.00	0.0	
					SPDR S&P 500 ETF TRUST	В			28.00	0.00	0.0	
					SPDR S&P 500 ETF TRUST	S			28.00	0.00	0.0	0.00
09/13/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST S -7,242.38 24.00 24.00 0.00 0.00 0.00												
09/13/2018 368461459 OAKLEY, SUSAN GAYLE S SPDR S&P 500 ETF TRUST S -7,052.80 21.00 21.00 0.00 0.00 0.00						S						
09/25/2018 368461459 OAKLEY, SUSAN GAYLE S YY INC-ADR B 1,060.59 18.00 18.00 0.00 0.00 0.00												
09/26/2018 368461459 OAKLEY, SUSAN GAYLE S MORGAN STANLEY B 1,408.96 28.00 28.00 0.00 0.0 0.00												
08/30/2018 368461587 POYNOR, TERESA S ARISTA NETWORK, INC S -5,471.12 19.00 19.00 0.00 0.0 0.00												
08/30/2018 368461587 POYNOR, TERESA S SPDR S&P 500 ETF TRUST B 3,597.24 20.00 20.00 0.00 0.00 0.00												
09/04/2018 368461587 POYNOR, TERESA S DROPBOX INC CLASS A S -2,646.89 15.00 15.00 0.00 0.00 0.00												
09/04/2018 368461587 POYNOR, TERESA S FREEPORT-MCMORAN INC CL B S -3,572.47 15.00 15.00 0.00 0.00 0.00			•									

TRADE DATE CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 368461587 POYN	OD TEDECA	S	MARATHON OIL CORPORATION	S	-3,170.10	15.00	15.00	0.00	0.0	0.00
09/04/2018 368461587 POIN	- ,	S	ZTO EXPRESS CAYMAN INC-ADR	S	-2,616.23	15.00	15.00	0.00	0.0	0.00
09/05/2018 368461587 POYN		S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 368461587 POYN	,	S	SPDR S&P 500 ETF TRUST	S	-2,137.62	24.00	24.00	0.00	0.0	0.00
09/05/2018 368461587 POYN	,	S	SPDR S&P 500 ETF TRUST	S	-3,751.29	20.00	20.00	0.00	0.0	0.00
09/05/2018 368461587 POYN	,	S	SPDR S&P 500 ETF TRUST	S	-3,328.34	19.00	19.00	0.00	0.0	0.00
09/06/2018 368461587 POYN		S	SPDR S&P 500 ETF TRUST	В	3,724.59	18.00	18.00	0.00	0.0	0.00
09/06/2018 368461587 POYN	•	S	SPDR S&P 500 ETF TRUST	В	3,321.62	19.00	19.00	0.00	0.0	0.00
09/06/2018 368461587 POYN		S	SPDR S&P 500 ETF TRUST	В	3,721.28	22.00	22.00	0.00	0.0	0.00
09/13/2018 368461587 POYN		S	SPDR S&P 500 ETF TRUST	S	-4,799.22	22.00	22.00	0.00	0.0	0.00
09/13/2018 368461587 POYN		S	SPDR S&P 500 ETF TRUST	S	-3,612.96	19.00	19.00	0.00	0.0	0.00
09/13/2018 368461587 POYN		S	SPDR S&P 500 ETF TRUST	S	-4,023.12	18.00	18.00	0.00	0.0	0.00
09/25/2018 368461587 POYN		S	YY INC-ADR	В	538.52	16.00	16.00	0.00	0.0	0.00
09/26/2018 368461587 POYN		S		В	712.71	21.00	21.00	0.00	0.0	0.00
08/30/2018 368462433 POYN			MORGAN STANLEY	Б S		16.00	16.00		0.0	0.00
	,		ARISTA NETWORK, INC	-	-2,178.57			0.00		
08/30/2018 368462433 POYN			SPDR S&P 500 ETF TRUST	В	1,806.84	17.00	17.00	0.00	0.0	0.00
09/04/2018 368462433 POYN			DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 368462433 POYN			FREEPORT-MCMORAN INC CL B	S	-2,058.81	15.00	15.00	0.00	0.0	0.00
09/04/2018 368462433 POYN			MARATHON OIL CORPORATION	S	-1,920.92	15.00	15.00	0.00	0.0	0.00
09/04/2018 368462433 POYN			ZTO EXPRESS CAYMAN INC-ADR	S	-1,520.33	15.00	15.00	0.00	0.0	0.00
09/05/2018 368462433 POYN			M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	S	-1,867.43	17.00	17.00	0.00	0.0	0.00
09/05/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
09/06/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	В	1,999.55	17.00	17.00	0.00	0.0	0.00
09/06/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	В	1,868.87	18.00	18.00	0.00	0.0	0.00
09/13/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	S	-2,391.38	18.00	18.00	0.00	0.0	0.00
09/13/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	S	-2,161.20	17.00	17.00	0.00	0.0	0.00
09/13/2018 368462433 POYN	OR, CASEY ALLEN	S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
09/25/2018 368462433 POYN	OR, CASEY ALLEN	S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
09/26/2018 368462433 POYN	OR, CASEY ALLEN	S	MORGAN STANLEY	В	414.31	18.00	18.00	0.00	0.0	0.00
08/30/2018 368462543 BAGG	ETT, KELLY	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 368462543 BAGG	ETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	4,194.04	21.00	21.00	0.00	0.0	0.00
09/04/2018 368462543 BAGG	ETT, KELLY	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 368462543 BAGG	ETT, KELLY	S	FREEPORT-MCMORAN INC CL B	S	-3,987.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 368462543 BAGG	ETT, KELLY	S	MARATHON OIL CORPORATION	S	-3,579.31	15.00	15.00	0.00	0.0	0.00
09/04/2018 368462543 BAGG	ETT, KELLY	S	ZTO EXPRESS CAYMAN INC-ADR	S	-2,987.41	15.00	15.00	0.00	0.0	0.00
09/05/2018 368462543 BAGG		S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 368462543 BAGG		S	SPDR S&P 500 ETF TRUST	S	-2,137.62	24.00	24.00	0.00	0.0	0.00
09/05/2018 368462543 BAGG		S	SPDR S&P 500 ETF TRUST	S	-4,379.25	21.00	21.00	0.00	0.0	0.00
09/05/2018 368462543 BAGG		S	SPDR S&P 500 ETF TRUST	S	-3,328.34	19.00	19.00	0.00	0.0	0.00
09/06/2018 368462543 BAGG		S	SPDR S&P 500 ETF TRUST	В	3,724.59	18.00	18.00	0.00	0.0	0.00
09/06/2018 368462543 BAGG		S	SPDR S&P 500 ETF TRUST	В	3,982.66	20.00	20.00	0.00	0.0	0.00
,, 300102010 Diloc	,	-		-	=,>02.00	20.00	_0.00	2.00		0.00

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 36	68462543 BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	4,184.39	23.00	23.00	0.00	0.0	0.00
09/13/2018 36	58462543 BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-5,401.17	23.00	23.00	0.00	0.0	0.00
09/13/2018 36	68462543 BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-4,338.84	20.00	20.00	0.00	0.0	0.00
09/13/2018 36	68462543 BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-4,023.12	18.00	18.00	0.00	0.0	0.00
09/25/2018 36	68462543 BAGGETT, KELLY	S	YY INC-ADR	В	538.52	16.00	16.00	0.00	0.0	0.00
09/26/2018 36	68462543 BAGGETT, KELLY	S	MORGAN STANLEY	В	812.17	22.00	22.00	0.00	0.0	0.00
08/30/2018 36	68462553 PAGE, JAMES SUTTON	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,790.83	22.00	22.00	0.00	0.0	0.00
09/04/2018 36	68462553 PAGE, JAMES SUTTON	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68462553 PAGE, JAMES SUTTON	S	FREEPORT-MCMORAN INC CL B	S	-4,510.14	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68462553 PAGE, JAMES SUTTON	S	MARATHON OIL CORPORATION	S	-4,053.14	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462553 PAGE, JAMES SUTTON	S	ZTO EXPRESS CAYMAN INC-ADR	S	-3,411.63	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68462553 PAGE, JAMES SUTTON	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	S	-2,137.62	24.00	24.00	0.00	0.0	0.00
09/05/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	S	-5,007.21	22.00	22.00	0.00	0.0	0.00
09/05/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	S	-3,328.34	19.00	19.00	0.00	0.0	0.00
09/06/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,651.62	19.00	19.00	0.00	0.0	0.00
09/06/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,643.69	21.00	21.00	0.00	0.0	0.00
09/06/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	В	4,647.49	24.00	24.00	0.00	0.0	0.00
09/13/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	S	-6,003.13	24.00	24.00	0.00	0.0	0.00
09/13/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	S	-5,064.72	21.00	21.00	0.00	0.0	0.00
09/13/2018 36	68462553 PAGE, JAMES SUTTON	S	SPDR S&P 500 ETF TRUST	S	-5,033.01	19.00	19.00	0.00	0.0	0.00
09/25/2018 36	68462553 PAGE, JAMES SUTTON	S	YY INC-ADR	В	538.52	16.00	16.00	0.00	0.0	0.00
09/26/2018 36	68462553 PAGE, JAMES SUTTON	S	MORGAN STANLEY	В	1,011.10	24.00	24.00	0.00	0.0	0.00
08/30/2018 36	58462653 BATTEN, PAUL	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,806.84	17.00	17.00	0.00	0.0	0.00
09/04/2018 36	58462653 BATTEN, PAUL	S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462653 BATTEN, PAUL	S	FREEPORT-MCMORAN INC CL B	S	-1,938.25	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462653 BATTEN, PAUL	S	MARATHON OIL CORPORATION	S	-1,813.24	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462653 BATTEN, PAUL	S	ZTO EXPRESS CAYMAN INC-ADR	S	-1,431.95	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	58462653 BATTEN, PAUL	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	S	-1,867.43	17.00	17.00	0.00	0.0	0.00
09/05/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,999.55	17.00	17.00	0.00	0.0	0.00
	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	В	1,868.87	18.00	18.00	0.00	0.0	0.00
09/13/2018 36	68462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	S	-2,391.38	18.00	18.00	0.00	0.0	0.00
09/13/2018 36	58462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	S	-2,161.20	17.00	17.00	0.00	0.0	0.00
09/13/2018 36	68462653 BATTEN, PAUL	S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
	68462653 BATTEN, PAUL	S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
	68462653 BATTEN, PAUL	S	MORGAN STANLEY	В	414.31	18.00	18.00	0.00	0.0	0.00
08/30/2018 36	58462688 BAGGETT, KELLY	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
	58462688 BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	9,565.22	30.00	30.00	0.00	0.0	0.00
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TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 36	58462688 B	BAGGETT, KELLY	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462688 в	BAGGETT, KELLY	S	FREEPORT-MCMORAN INC CL B	S	-8,796.61	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462688 в	BAGGETT, KELLY	S	MARATHON OIL CORPORATION	S	-8,059.13	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58462688 в	BAGGETT, KELLY	S	ZTO EXPRESS CAYMAN INC-ADR	S	-6,770.01	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	58462688 в	BAGGETT, KELLY	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/05/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-10,030.87	30.00	30.00	0.00	0.0	0.00
09/05/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/06/2018 36	58462688 в	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	9,286.79	24.00	24.00	0.00	0.0	0.00
09/06/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	9,931.96	29.00	29.00	0.00	0.0	0.00
09/06/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	В	9,278.54	34.00	34.00	0.00	0.0	0.00
09/13/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-12,022.70	34.00	34.00	0.00	0.0	0.00
09/13/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-10,871.79	29.00	29.00	0.00	0.0	0.00
09/13/2018 36	58462688 B	BAGGETT, KELLY	S	SPDR S&P 500 ETF TRUST	S	-10,082.48	24.00	24.00	0.00	0.0	0.00
09/25/2018 36	58462688 B	BAGGETT, KELLY	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
09/26/2018 36	58462688 B	BAGGETT, KELLY	S	MORGAN STANLEY	В	2,005.76	34.00	34.00	0.00	0.0	0.00
08/30/2018 36	58463677 G	REEN (BENE), JULIE	S	ARISTA NETWORK, INC	S	-3,276.09	17.00	17.00	0.00	0.0	0.00
08/30/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	В	3,000.44	19.00	19.00	0.00	0.0	0.00
09/04/2018 36	58463677 G	REEN (BENE), JULIE	S	FREEPORT-MCMORAN INC CL B	S	-2,755.36	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	S	-3,123.34	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	В	2,797.55	17.00	17.00	0.00	0.0	0.00
09/06/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	В	2,660.59	18.00	18.00	0.00	0.0	0.00
09/06/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	В	2,795.08	20.00	20.00	0.00	0.0	0.00
09/13/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	S	-3,595.29	20.00	20.00	0.00	0.0	0.00
09/13/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	S	-2,887.07	18.00	18.00	0.00	0.0	0.00
09/13/2018 36	58463677 G	REEN (BENE), JULIE	S	SPDR S&P 500 ETF TRUST	S	-3,013.23	17.00	17.00	0.00	0.0	0.00
09/25/2018 36	58463677 G	REEN (BENE), JULIE	S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
09/26/2018 36	58463677 G	REEN (BENE), JULIE	S	MORGAN STANLEY	В	613.25	20.00	20.00	0.00	0.0	0.00
08/30/2018 36	58463942 C	ORTEZ, RAMIRO	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 36	58463942 C	ORTEZ, RAMIRO	S	SPDR S&P 500 ETF TRUST	В	8,968.42	29.00	29.00	0.00	0.0	0.00
09/04/2018 36	58463942 C	ORTEZ, RAMIRO	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58463942 C	ORTEZ, RAMIRO	S	FREEPORT-MCMORAN INC CL B	S	-8,314.38	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58463942 C	ORTEZ, RAMIRO	S	MARATHON OIL CORPORATION	S	-7,692.98	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	58463942 C	ORTEZ, RAMIRO	S	ZTO EXPRESS CAYMAN INC-ADR	S	-6,469.53	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	58463942 C	ORTEZ, RAMIRO	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	58463942 C	ORTEZ, RAMIRO	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/05/2018 36	58463942 C	ORTEZ, RAMIRO	S	SPDR S&P 500 ETF TRUST	S	-9,402.92	29.00	29.00	0.00	0.0	0.00
09/05/2018 36	58463942 C		S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	В	8,359.76	23.00	23.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	В	9,270.93	28.00	28.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	В	8,815.43	33.00	33.00	0.00	0.0	0.00
09/13/2018 36	58463942 C	ORTEZ, RAMIRO	S	SPDR S&P 500 ETF TRUST	S	-11,420.75	33.00	33.00	0.00	0.0	0.00

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/13/2018 368463942 CORTEZ, RAMIRO	S	SPDR S&P 500 ETF TRUST	S	-10,145.90	28.00	28.00	0.00	0.0	0.00
09/13/2018 368463942 CORTEZ, RAMIRO	S	SPDR S&P 500 ETF TRUST	S	-9,072.58	23.00	23.00	0.00	0.0	0.00
09/25/2018 368463942 CORTEZ, RAMIRO	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
09/26/2018 368463942 CORTEZ, RAMIRO	S	MORGAN STANLEY	В	1,906.29	33.00	33.00	0.00	0.0	0.00
08/30/2018 368463952 PAGE, JOHNNA	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	5,984.43	24.00	24.00	0.00	0.0	0.00
09/04/2018 368463952 PAGE, JOHNNA	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 368463952 PAGE, JOHNNA	S	FREEPORT-MCMORAN INC CL B	S	-5,554.97	15.00	15.00	0.00	0.0	0.00
09/04/2018 368463952 PAGE, JOHNNA	S	MARATHON OIL CORPORATION	S	-5,022.33	15.00	15.00	0.00	0.0	0.00
09/04/2018 368463952 PAGE, JOHNNA	S	ZTO EXPRESS CAYMAN INC-ADR	S	-4,260.06	15.00	15.00	0.00	0.0	0.00
09/05/2018 368463952 PAGE, JOHNNA	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	S	-3,214.66	29.00	29.00	0.00	0.0	0.00
09/05/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	S	-6,263.13	24.00	24.00	0.00	0.0	0.00
09/05/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	S	-6,673.12	24.00	24.00	0.00	0.0	0.00
09/06/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	5,578.66	20.00	20.00	0.00	0.0	0.00
09/06/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	5,965.76	23.00	23.00	0.00	0.0	0.00
09/06/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	В	5,573.70	26.00	26.00	0.00	0.0	0.00
09/13/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	S	-7,207.05	26.00	26.00	0.00	0.0	0.00
09/13/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	S	-6,516.48	23.00	23.00	0.00	0.0	0.00
09/13/2018 368463952 PAGE, JOHNNA	S	SPDR S&P 500 ETF TRUST	S	-6,042.90	20.00	20.00	0.00	0.0	0.00
09/25/2018 368463952 PAGE, JOHNNA	S	YY INC-ADR	В	799.55	17.00	17.00	0.00	0.0	0.00
09/26/2018 368463952 PAGE, JOHNNA	S	MORGAN STANLEY	В	1,210.03	26.00	26.00	0.00	0.0	0.00
08/30/2018 368466825 SNIDER, DAVID	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,968.42	29.00	29.00	0.00	0.0	0.00
09/04/2018 368466825 SNIDER, DAVID	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 368466825 SNIDER, DAVID	S	FREEPORT-MCMORAN INC CL B	S	-8,046.48	15.00	15.00	0.00	0.0	0.00
09/04/2018 368466825 SNIDER, DAVID	S	MARATHON OIL CORPORATION	S	-7,456.07	15.00	15.00	0.00	0.0	0.00
09/04/2018 368466825 SNIDER, DAVID	S	ZTO EXPRESS CAYMAN INC-ADR	S	-6,239.74	15.00	15.00	0.00	0.0	0.00
09/05/2018 368466825 SNIDER, DAVID	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/05/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	S	-9,402.92	29.00	29.00	0.00	0.0	0.00
09/05/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/06/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,359.76	23.00	23.00	0.00	0.0	0.00
09/06/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,609.90	27.00	27.00	0.00	0.0	0.00
09/06/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	В	8,352.33	32.00	32.00	0.00		0.00
09/13/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	S	-10,818.79	32.00	32.00	0.00	0.0	0.00
09/13/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	S	-9,420.02	27.00	27.00	0.00	0.0	0.00
09/13/2018 368466825 SNIDER, DAVID	S	SPDR S&P 500 ETF TRUST	S	-9,072.58	23.00	23.00	0.00	0.0	0.00
09/25/2018 368466825 SNIDER, DAVID	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
09/26/2018 368466825 SNIDER, DAVID	S	MORGAN STANLEY	В	1,806.83	32.00	32.00	0.00	0.0	0.00
08/30/2018 368500081 STUBBLEFIELD,	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 368500081 STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,968.42	29.00	29.00	0.00	0.0	0.00
09/04/2018 368500081 STUBBLEFIELD,	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 368500081 STUBBLEFIELD,	S	FREEPORT-MCMORAN INC CL B	S	-8,073.26	15.00	15.00	0.00	0.0	0.00
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						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		STUBBLEFIELD,	S	MARATHON OIL CORPORATION	S	-7,456.07	15.00	15.00	0.00	0.0	0.00
		STUBBLEFIELD,	S	ZTO EXPRESS CAYMAN INC-ADR	S	-6,257.41	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68500081	STUBBLEFIELD,	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/05/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	S	-9,402.92	29.00	29.00	0.00	0.0	0.00
09/05/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/06/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,359.76	23.00	23.00	0.00	0.0	0.00
09/06/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,609.90	27.00	27.00	0.00	0.0	0.00
09/06/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	В	8,352.33	32.00	32.00	0.00	0.0	0.00
09/13/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	S	-10,818.79	32.00	32.00	0.00	0.0	0.00
09/13/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	S	-9,420.02	27.00	27.00	0.00	0.0	0.00
09/13/2018 36	68500081	STUBBLEFIELD,	S	SPDR S&P 500 ETF TRUST	S	-9,072.58	23.00	23.00	0.00	0.0	0.00
09/25/2018 36	68500081	STUBBLEFIELD,	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
09/26/2018 36	68500081	STUBBLEFIELD,	S	MORGAN STANLEY	В	1,806.83	32.00	32.00	0.00	0.0	0.00
09/04/2018 36	68500121	FERTIG, LILIA ANN	S	MARATHON OIL CORPORATION	S	-4,053.14	15.00	15.00	0.00	0.0	0.00
09/04/2018 36	68500121	FERTIG, LILIA ANN	S	ZTO EXPRESS CAYMAN INC-ADR	S	-3,588.38	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68500121	FERTIG, LILIA ANN	S	FIRST TR PFD SECS & INCOME ETF	S	-8,409.56	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68500121	FERTIG, LILIA ANN	S	MKS INSTRUMENTS INC	S	-667.33	20.00	20.00	0.00	0.0	0.00
09/05/2018 36	68500121	FERTIG, LILIA ANN	S	NETFLIX.COM INC	S	-18,004.32	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68500121	FERTIG, LILIA ANN	S	PALO ALTO NETWORKS INC	S	-18,262.31	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68500121	FERTIG, LILIA ANN	S	PAYCOM SOFTWARE INC	S	-15,499.35	15.00	15.00	0.00	0.0	0.00
09/05/2018 36	68500121	FERTIG, LILIA ANN	S	UNITED STATES STEEL CORP	S	-499.33	20.00	20.00	0.00	0.0	0.00
		FERTIG, LILIA ANN	S	VERTEX PHARMACEUTICALS INC	S	-6,387.47	15.00	15.00	0.00	0.0	0.00
		FERTIG, LILIA ANN	S	YY INC-ADR	S	-3,757.00	15.00	15.00	0.00	0.0	0.00
		JAMES, PAULINE	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,597.24	20.00	20.00	0.00	0.0	0.00
		JAMES, PAULINE	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
		JAMES, PAULINE	S	FREEPORT-MCMORAN INC CL B	S	-3,344.76	15.00	15.00	0.00	0.0	0.00
		JAMES, PAULINE	S	MARATHON OIL CORPORATION	S	-3,170.10	15.00	15.00	0.00	0.0	0.00
		JAMES, PAULINE	S	ZTO EXPRESS CAYMAN INC-ADR	S	-2,616.23	15.00	15.00	0.00	0.0	0.00
		JAMES, PAULINE	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	S	-2,137.62	24.00	24.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	S	-3,751.29	20.00	20.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	S	-3,328.34	19.00	19.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	2,797.55	17.00	17.00	0.00	0.0	0.00
		JAMES, PAULINE	S		В	3,321.62	19.00	19.00		0.0	0.00
											0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	В	3,258.18	21.00	21.00	0.00	0.0	
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	S	-4,197.26	21.00	21.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	S	-3,612.96	19.00	19.00	0.00	0.0	0.00
		JAMES, PAULINE	S	SPDR S&P 500 ETF TRUST	S	-3,013.23	17.00	17.00	0.00	0.0	0.00
		JAMES, PAULINE	S	YY INC-ADR	В	538.52	16.00	16.00	0.00	0.0	0.00
		JAMES, PAULINE	S	MORGAN STANLEY	В	712.71	21.00	21.00	0.00	0.0	0.00
		KIPPES, LOY DUANE	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 43	31485692	KIPPES, LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	3,000.44	19.00	19.00	0.00	0.0	0.00

						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
	31485692 KIPPES,		S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
	31485692 KIPPES,		S	FREEPORT-MCMORAN INC CL B	S	-2,808.94	15.00	15.00	0.00	0.0	0.00
	31485692 KIPPES,		S	MARATHON OIL CORPORATION	S	-2,631.67	15.00	15.00	0.00	0.0	0.00
09/04/2018 43	31485692 KIPPES,	LOY DUANE	S	ZTO EXPRESS CAYMAN INC-ADR	S	-2,121.30	15.00	15.00	0.00	0.0	0.00
09/05/2018 43	31485692 KIPPES,	LOY DUANE	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	S	-3,123.34	19.00	19.00	0.00	0.0	0.00
09/05/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,797.55	17.00	17.00	0.00	0.0	0.00
09/06/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,660.59	18.00	18.00	0.00	0.0	0.00
09/06/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	В	2,795.08	20.00	20.00	0.00	0.0	0.00
09/13/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	S	-3,595.29	20.00	20.00	0.00	0.0	0.00
09/13/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	S	-2,887.07	18.00	18.00	0.00	0.0	0.00
09/13/2018 43	31485692 KIPPES,	LOY DUANE	S	SPDR S&P 500 ETF TRUST	S	-3,013.23	17.00	17.00	0.00	0.0	0.00
09/25/2018 43	31485692 KIPPES,	LOY DUANE	S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
09/26/2018 43	31485692 KIPPES,	LOY DUANE	S	MORGAN STANLEY	В	613.25	20.00	20.00	0.00	0.0	0.00
08/30/2018 58	33947985 POYNER,	TERESA	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	В	1,210.05	16.00	16.00	0.00	0.0	0.00
09/04/2018 58	33947985 POYNER,	TERESA	S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 58	33947985 POYNER,	TERESA	S	FREEPORT-MCMORAN INC CL B	S	-2,005.23	15.00	15.00	0.00	0.0	0.00
09/04/2018 58	33947985 POYNER,	TERESA	S	MARATHON OIL CORPORATION	S	-1,920.92	15.00	15.00	0.00	0.0	0.00
09/04/2018 58	33947985 POYNER,	TERESA	S	ZTO EXPRESS CAYMAN INC-ADR	S	-1,520.33	15.00	15.00	0.00	0.0	0.00
09/05/2018 58	33947985 POYNER,	TERESA	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 58	33947985 POYNER,	TERESA	s	SPDR S&P 500 ETF TRUST	S	-1,239.46	16.00	16.00	0.00	0.0	0.00
09/05/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
09/06/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
09/06/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	В	1,999.55	17.00	17.00	0.00	0.0	0.00
09/06/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	В	1,868.87	18.00	18.00	0.00	0.0	0.00
09/13/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	S	-2,391.38	18.00	18.00	0.00	0.0	0.00
09/13/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	S	-2,161.20	17.00	17.00	0.00	0.0	0.00
09/13/2018 58	33947985 POYNER,	TERESA	S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
	33947985 POYNER,		S	YY INC-ADR	В	277.48	15.00	15.00	0.00	0.0	0.00
	33947985 POYNER,		S	MORGAN STANLEY	В	414.31	18.00	18.00	0.00	0.0	0.00
	16137924 ANDRENA		A S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
	16137924 ANDRENA				В	4,194.04	21.00	21.00	0.00		0.00
	16137924 ANDRENA			DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00		0.00
	16137924 ANDRENA			FREEPORT-MCMORAN INC CL B	S	-4,041.31	15.00	15.00	0.00	0.0	0.00
	16137921 ANDRENA			MARATHON OIL CORPORATION	S	-3,643.93	15.00	15.00	0.00	0.0	0.00
	16137924 ANDRENA			ZTO EXPRESS CAYMAN INC-ADR	S	-3,040.44	15.00	15.00	0.00	0.0	0.00
	16137921 ANDRENA 16137924 ANDRENA			M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
	16137921 ANDRENA 16137924 ANDRENA			SPDR S&P 500 ETF TRUST	S	-2,137.62	24.00	24.00	0.00	0.0	0.00
	16137921 ANDRENA 16137924 ANDRENA			SPDR S&P 500 ETF TRUST	S	-4,379.25	21.00	21.00	0.00	0.0	0.00
	16137924 ANDRENA 16137924 ANDRENA			SPDR S&P 500 ETF TRUST	S	-3,328.34	19.00	19.00	0.00	0.0	0.00
02/03/2010 04	TOTO LOCAL PRINTERNA	CCI, DELICIA	7 0	DIDY DOE 300 FIL IVODI	S	-3,320.34	10.00	19.00	0.00	0.0	0.00

TRADE DATE	CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 64	46137924	ANDRENACCI, LETICIA	S	SPDR S&P 500 ETF TRUST	В	3,724.59	18.00	18.00	0.00	0.0	0.00
09/06/2018 64	46137924	ANDRENACCI, LETICIA	S	SPDR S&P 500 ETF TRUST	В	3,982.66	20.00	20.00	0.00	0.0	0.00
09/06/2018 64	46137924	ANDRENACCI, LETICIA	S	SPDR S&P 500 ETF TRUST	В	4,184.39	23.00	23.00	0.00	0.0	0.00
09/13/2018 64	46137924	ANDRENACCI, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-5,401.17	23.00	23.00	0.00	0.0	0.00
09/13/2018 64	46137924	ANDRENACCI, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-4,338.84	20.00	20.00	0.00	0.0	0.00
09/13/2018 64	46137924	ANDRENACCI, LETICIA	S	SPDR S&P 500 ETF TRUST	S	-4,023.12	18.00	18.00	0.00	0.0	0.00
09/25/2018 64	46137924	ANDRENACCI, LETICIA	S	YY INC-ADR	В	538.52	16.00	16.00	0.00	0.0	0.00
09/26/2018 64	46137924	ANDRENACCI, LETICIA	S	MORGAN STANLEY	В	812.17	22.00	22.00	0.00	0.0	0.00
08/30/2018 64	46147745	ANDERSON, DAVID	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	6,581.23	25.00	25.00	0.00	0.0	0.00
09/04/2018 64	46147745	ANDERSON, DAVID	S	DROPBOX INC CLASS A	S	-2,646.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 64	46147745	ANDERSON, DAVID	S	FREEPORT-MCMORAN INC CL B	S	-6,117.56	15.00	15.00	0.00	0.0	0.00
09/04/2018 64	46147745	ANDERSON, DAVID	S	MARATHON OIL CORPORATION	S	-5,539.23	15.00	15.00	0.00	0.0	0.00
09/04/2018 64	46147745	ANDERSON, DAVID	S	ZTO EXPRESS CAYMAN INC-ADR	S	-4,719.63	15.00	15.00	0.00	0.0	0.00
09/05/2018 64	46147745	ANDERSON, DAVID	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	S	-3,214.66	29.00	29.00	0.00	0.0	0.00
09/05/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	S	-6,891.08	25.00	25.00	0.00	0.0	0.00
09/05/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	S	-6,673.12	24.00	24.00	0.00	0.0	0.00
09/06/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	6,505.69	21.00	21.00	0.00	0.0	0.00
09/06/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	6,626.79	24.00	24.00	0.00	0.0	0.00
09/06/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	В	6,499.91	28.00	28.00	0.00	0.0	0.00
09/13/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	S	-8,410.96	28.00	28.00	0.00	0.0	0.00
09/13/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	S	-7,242.38	24.00	24.00	0.00	0.0	0.00
09/13/2018 64	46147745	ANDERSON, DAVID	S	SPDR S&P 500 ETF TRUST	S	-7,052.80	21.00	21.00	0.00	0.0	0.00
09/25/2018 64	46147745	ANDERSON, DAVID	S	YY INC-ADR	В	799.55	17.00	17.00	0.00	0.0	0.00
09/26/2018 64	46147745	ANDERSON, DAVID	S	MORGAN STANLEY	В	1,309.50	27.00	27.00	0.00	0.0	0.00
08/30/2018 64	46197331	DOSDALL, LESLIE	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 64	46197331	DOSDALL, LESLIE	S	SPDR S&P 500 ETF TRUST	В	2,403.65	18.00	18.00	0.00	0.0	0.00
09/04/2018 64	46197331	DOSDALL, LESLIE	S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
09/04/2018 64	46197331	DOSDALL, LESLIE	S	FREEPORT-MCMORAN INC CL B	S	-2,259.74	15.00	15.00	0.00	0.0	0.00
09/04/2018 64	46197331	DOSDALL, LESLIE	S	MARATHON OIL CORPORATION	S	-2,114.76	15.00	15.00	0.00	0.0	0.00
09/04/2018 64	46197331	DOSDALL, LESLIE	S	ZTO EXPRESS CAYMAN INC-ADR	S	-1,697.09	15.00	15.00	0.00	0.0	0.00
09/05/2018 64	46197331	DOSDALL, LESLIE	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
09/05/2018 64	46197331	DOSDALL, LESLIE	S	SPDR S&P 500 ETF TRUST	S	-1,060.59	19.00	19.00	0.00	0.0	0.00
09/05/2018 64	46197331	DOSDALL, LESLIE	S	SPDR S&P 500 ETF TRUST	S	-2,495.38	18.00	18.00	0.00	0.0	0.00
09/05/2018 64	46197331	DOSDALL, LESLIE	S	SPDR S&P 500 ETF TRUST	S	-1,321.46	16.00	16.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	В	1,870.52	16.00	16.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	В	1,999.55	17.00	17.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	В	2,331.97	19.00	19.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	S	-2,993.34	19.00	19.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	S	-2,161.20	17.00	17.00	0.00	0.0	0.00
			S	SPDR S&P 500 ETF TRUST	S	-2,003.33	16.00	16.00	0.00	0.0	0.00
			S	CITIGROUP INC NEW	S	-879.47	16.00	16.00	0.00	0.0	0.00
		•	S	GOLDMAN SACHS GROUP INC	S	12.59	18.00	18.00	0.00	0.0	0.00
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		<u></u>		INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/20/2018 646197331 DOSDALL, LESLIE	S	LAS VEGAS SANDS CORP	S	-4.45	17.00	17.00	0.00	0.0	0.00
09/20/2018 646197331 DOSDALL, LESLIE	S	MICRON TECHNOLOGY INC.	S	-19.34	20.00	20.00	0.00	0.0	0.00
09/20/2018 646197331 DOSDALL, LESLIE	S	MKS INSTRUMENTS INC	S	4.55	17.00	17.00	0.00	0.0	0.00
09/20/2018 646197331 DOSDALL, LESLIE	S	MORGAN STANLEY	S	-382.44	17.00	17.00	0.00	0.0	0.00
09/20/2018 646197331 DOSDALL, LESLIE	S	TEXAS INSTRUMENTS INC	S	-29.52	15.00	15.00	0.00	0.0	0.00
09/20/2018 646197331 DOSDALL, LESLIE	S	UNITED STATES STEEL CORP	S	-259.45	17.00	17.00	0.00	0.0	0.00
08/30/2018 677594762 DOSDALL, KEVIN	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
08/30/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,968.42	29.00	29.00	0.00	0.0	0.00
09/04/2018 677594762 DOSDALL, KEVIN	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 677594762 DOSDALL, KEVIN	S	FREEPORT-MCMORAN INC CL B	S	-8,073.26	15.00	15.00	0.00	0.0	0.00
09/04/2018 677594762 DOSDALL, KEVIN	S	MARATHON OIL CORPORATION	S	-7,477.60	15.00	15.00	0.00	0.0	0.00
09/04/2018 677594762 DOSDALL, KEVIN	S	ZTO EXPRESS CAYMAN INC-ADR	S	-6,257.41	15.00	15.00	0.00	0.0	0.00
09/05/2018 677594762 DOSDALL, KEVIN	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/05/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-9,402.92	29.00	29.00	0.00	0.0	0.00
09/05/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/06/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,359.76	23.00	23.00	0.00	0.0	0.00
09/06/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,609.90	27.00	27.00	0.00	0.0	0.00
09/06/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	В	8,352.33	32.00	32.00	0.00	0.0	0.00
09/13/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-10,818.79	32.00	32.00	0.00	0.0	0.00
09/13/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-9,420.02	27.00	27.00	0.00	0.0	0.00
09/13/2018 677594762 DOSDALL, KEVIN	S	SPDR S&P 500 ETF TRUST	S	-9,072.58	23.00	23.00	0.00	0.0	0.00
09/25/2018 677594762 DOSDALL, KEVIN	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
09/26/2018 677594762 DOSDALL, KEVIN	S	MORGAN STANLEY	В	1,806.83	32.00	32.00	0.00	0.0	0.00
08/30/2018 708078768 TUCKER, CLARENCE	S	ARISTA NETWORK, INC	S	-10,958.69	24.00	24.00	0.00	0.0	0.00
08/30/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	11,952.42	34.00	34.00	0.00	0.0	0.00
09/04/2018 708078768 TUCKER, CLARENCE	S	DROPBOX INC CLASS A	S	-10,639.89	15.00	15.00	0.00	0.0	0.00
09/04/2018 708078768 TUCKER, CLARENCE	S	FREEPORT-MCMORAN INC CL B	S	-11,207.75	15.00	15.00	0.00	0.0	0.00
09/04/2018 708078768 TUCKER, CLARENCE	S	MARATHON OIL CORPORATION	S	-10,342.10	15.00	15.00	0.00	0.0	0.00
09/04/2018 708078768 TUCKER, CLARENCE	S	ZTO EXPRESS CAYMAN INC-ADR	S	-8,820.39	15.00	15.00	0.00	0.0	0.00
09/05/2018 708078768 TUCKER, CLARENCE	S	M & T BANK CORPORATION	S	-2,148.68	24.00	24.00	0.00	0.0	0.00
09/05/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	S	-6,445.76	44.00	44.00	0.00	0.0	0.00
09/05/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	S	-12,542.70	34.00	34.00	0.00	0.0	0.00
09/05/2018 708078768 TUCKER, CLARENCE			S	-12,342.70					
	S	SPDR S&P 500 ETF TRUST	-	•	34.00	34.00	0.00	0.0	0.00
09/06/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	12,067.90	27.00	27.00	0.00	0.0	0.00
09/06/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	11,915.07	32.00	32.00	0.00	0.0	0.00
09/06/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	В	11,594.06	39.00	39.00	0.00	0.0	0.00
09/13/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	S	-15,032.49	39.00	39.00	0.00	0.0	0.00
09/13/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	S	-13,049.43	32.00	32.00	0.00	0.0	0.00
09/13/2018 708078768 TUCKER, CLARENCE	S	SPDR S&P 500 ETF TRUST	S	-13,112.15	27.00	27.00	0.00	0.0	0.00
09/25/2018 708078768 TUCKER, CLARENCE	S	YY INC-ADR	В	1,843.69	21.00	21.00	0.00	0.0	0.00
09/26/2018 708078768 TUCKER, CLARENCE	S	MORGAN STANLEY	В	2,105.22	35.00	35.00	0.00	0.0	0.00
08/30/2018 800358756 WEST, JAY	S	ARISTA NETWORK, INC	S	-2,178.57	16.00	16.00	0.00	0.0	0.00
08/30/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	3,597.24	20.00	20.00	0.00	0.0	0.00

STATE 1.00	TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
0.914/21018 000389756 MEST, 1AY S	09/04/2018 800358756 WEST, JAY	S	DROPBOX INC CLASS A	S	-1,314.72	15.00	15.00	0.00	0.0	0.00
1996/4/2018 800388756 KBET, TAY S ETC EXPRESS CAYMEN INC ARE S 2,888.77 15.00 15.00 0.00	09/04/2018 800358756 WEST, JAY	S	FREEPORT-MCMORAN INC CL B	S	-3,184.01	15.00	15.00	0.00	0.0	0.00
9.715.2018 800358576 MEST, JAY 9. M. A T BANK CORPORATION 9. 1.15.00 9.715.2018 800358576 MEST, JAY 9. SURPARE DOE BY TRUST 9. 1.23.716.29 9. 10.00	09/04/2018 800358756 WEST, JAY	S	MARATHON OIL CORPORATION	S	-2,911.65	15.00	15.00	0.00	0.0	0.00
0.00 0.00	09/04/2018 800358756 WEST, JAY	S	ZTO EXPRESS CAYMAN INC-ADR	S	-2,368.77	15.00	15.00	0.00	0.0	0.00
0.00 0.00	09/05/2018 800358756 WEST, JAY	S	M & T BANK CORPORATION	S	-416.57	16.00	16.00	0.00	0.0	0.00
19/10/2018 800358756 WEST, JAY S SUDE SAP 500 KTY TRUST B 2,797.5 17.00 17.00 0.00	09/05/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	S	-2,137.62	24.00	24.00	0.00	0.0	0.00
99/06/2018 800358756 WEST, JAY S SPOR SAP 500 ETF TRUST B 2,797.55 17.00 17.00 0.00 0.0 0.00 0.00 0.90 0.90 0.90 0.90 0.90 0.90 0.90 0.90 0.90 0.00 0.00 0.00 0.90	09/05/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	S	-3,751.29	20.00	20.00	0.00	0.0	0.00
09/06/2018 8003589756 WEST, JAY S SPOR SAF 500 ETF TRUST R 3,321.62 19.00 19.00 0.00 0.0 0.0 0.00 0.00 0.00	09/05/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	S	-3,328.34	19.00	19.00	0.00	0.0	0.00
09/06/2018 800358755 WEST, JAY S SPUR SEP 500 ETF TRUST S 4,197.26 21.00 21.00 0.00 0.00 0.00 0.00 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00	09/06/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	2,797.55	17.00	17.00	0.00	0.0	0.00
09/13/2018 800358755 MIST, JAY S SIDEN SEP 500 ETF TRUST S -4,197.26 21.00 21.00 0	09/06/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	3,321.62	19.00	19.00	0.00	0.0	0.00
09/13/2018 800359756 NRST, JAY	09/06/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	В	3,258.18	21.00	21.00	0.00	0.0	0.00
09/12/2018 00358756 WEST, JAY S POR SAP 500 ETF TRUST S -3,013.23 17.00 17.00 0.00 0.00 0.00 0.00 0.00 0.	09/13/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	S	-4,197.26	21.00	21.00	0.00	0.0	0.00
09/26/2018 800359175 WEST, JAY S YY INC-ARR B 538.52 16.00 16.00 0.00	09/13/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	S	-3,612.96	19.00	19.00	0.00	0.0	0.00
09/26/2018 800359199 WEST, ANN S ALTSTA NETWORK, INC S -5.471.12 19.00 19.00 0.00 0.0 0.0 0.00 0.00 0.00	09/13/2018 800358756 WEST, JAY	S	SPDR S&P 500 ETF TRUST	S	-3,013.23	17.00	17.00	0.00	0.0	0.00
08/30/2018 800359189 WEST, ANN S BORR SAF 500 ETT TRUST B 9,565.22 30.00 30.00 0.00 0.00 0.00 0.00 0.00	09/25/2018 800358756 WEST, JAY	S	YY INC-ADR	В	538.52	16.00	16.00	0.00	0.0	0.00
08/30/2018 800359189 WEST, ANN S DROPBOX INC CLASS A S -5,311.22 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00	09/26/2018 800358756 WEST, JAY	S	MORGAN STANLEY	В	712.71	21.00	21.00	0.00	0.0	0.00
09/04/2018 800359189 WEST, ANN S PREFEORT-MGMCRAN INC CLASS A S -5,311.22 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	08/30/2018 800359189 WEST, ANN	S	ARISTA NETWORK, INC	S	-5,471.12	19.00	19.00	0.00	0.0	0.00
09/04/2018 800359189 WEST, ANN S MARATHON OIL CORPORATION S -8,188.34 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00	08/30/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	9,565.22	30.00	30.00	0.00	0.0	0.00
09/04/2018 800359189 WEST, ANN S MARATHON OIL CORPORATION S -8,188.34 15.00 15.00 0.00 0.0 0.0 0.00 09/04/2018 800359189 WEST, ANN S M&T BANK CORPORATION S -6,893.74 15.00 15.00 0.00 0.00 0.0 0.00 09/05/2018 800359189 WEST, ANN S M&T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.00 0.00 09/05/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -5,368.72 39.00 39.00 0.00 0.00 0.00 09/05/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,030.87 30.00 30.00 0.00 0.00 0.00 09/05/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,011.91 29.00 29.00 0.00 0.00 0.00 09/06/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,286.79 24.00 24.00 0.00 0.00 0.00 09/06/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,931.96 29.00 29.00 0.00 0.00 0.00 09/06/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,931.96 29.00 29.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,278.54 34.00 34.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,278.54 34.00 34.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 09/25/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 09/25/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 0.00 09/25/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.00 0.00 0.00 09/25/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,871.73 39.00 39.00 0.00 0.00 0.00 0.00 09/25/2018 800370191 TRUST, WEST S SPDR SAP 500 ETF TRUST B 35,824.35 74.00 74.00 0.00 0.00 0.00 0.00 0.00 0.	09/04/2018 800359189 WEST, ANN	S	DROPBOX INC CLASS A	S	-5,311.22	15.00	15.00	0.00	0.0	0.00
09/04/2018 800359189 WEST, ANN S MA T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.0 0.0 0.00 09/05/2018 800359189 WEST, ANN S MA T BANK CORPORATION S -1,066.12 19.00 19.00 0.00 0.0 0.0 0.00 09/05/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -5,368.72 39.00 39.00 0.00 0.0 0.0 0.00 09/05/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,030.87 30.00 30.00 0.00 0.00 0.0 0.00 09/05/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,017.91 29.00 29.00 0.00 0.0 0.0 0.00 09/06/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,286.79 24.00 24.00 0.00 0.00 0.0 0.00 09/06/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,931.96 29.00 29.00 0.00 0.0 0.0 0.00 09/06/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,931.96 29.00 29.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST B 9,278.54 34.00 34.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,087.48 24.00 29.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,082.48 24.00 29.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,082.48 24.00 24.00 0.00 0.0 0.0 0.00 09/26/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,082.48 24.00 24.00 0.00 0.0 0.0 0.00 09/26/2018 800359189 WEST, ANN S SPDR SAP 500 ETF TRUST S -10,082.48 24.00 34.00 0.00 0.00 0.0 0.00 09/26/2018 800370191 TRUST, WEST S ARISTA NETWORK, INC S -27,421.37 39.00 39.00 0.00 0.00 0.0 0.00 09/26/2018 800370191 TRUST, WEST S ARISTA NETWORK, INC S -27,421.37 39.00 39.00 0.00 0.00 0.0 0.00 09/26/2018 800370191 TRUST, WEST S RAP 500 ETF TRUST B 35,824.35 74.00 74.00 74.00 0.00 0.0 0.00 09/26/2018 800370191 TRUST, WEST S RAP 500 ETF TRUST S -32,786.36 15.00 15.00 0.00 0.00 0.00 0.00 09/26/2018 800370191 TRUST, WEST S RAP 500 ETF TRUST S -32,864.36 15.00 15.00 0	09/04/2018 800359189 WEST, ANN	S	FREEPORT-MCMORAN INC CL B	S	-8,890.37	15.00	15.00	0.00	0.0	0.00
09/05/2018 800359189 WEST, ANN	09/04/2018 800359189 WEST, ANN	S	MARATHON OIL CORPORATION	S	-8,188.34	15.00	15.00	0.00	0.0	0.00
09/05/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,030.87 39.00 39.00 0.00 0.0 0.0 0.00 09/05/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,030.87 30.00 30.00 0.00 0.0 0.0 0.00 09/05/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,017.91 29.00 29.00 0.00 0.00 0.0 0.00 09/05/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,286.79 24.00 24.00 0.00 0.0 0.0 0.00 09/06/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,931.96 29.00 29.00 0.00 0.0 0.0 0.00 09/06/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,286.79 24.00 34.00 0.00 0.0 0.0 0.00 09/06/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,278.54 34.00 34.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.0 0.0 0.00 09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,871.79 29.00 29.00 0.00 0.0 0.0 0.0 09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,871.79 29.00 29.00 0.00 0.0 0.0 0.0 09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,082.48 24.00 24.00 0.00 0.0 0.0 0.0 09/25/2018 800359189 WEST, ANN S YINC-ADR B 1,321.62 19.00 19.00 0.00 0.0 0.0 0.0 09/25/2018 800359189 WEST, ANN S MORGAN STANLEY B 2,005.76 34.00 34.00 34.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/04/2018 800359189 WEST, ANN	S	ZTO EXPRESS CAYMAN INC-ADR	S	-6,893.74	15.00	15.00	0.00	0.0	0.00
09/05/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,030.87 30.00 29.00 0.00 0.0 0.0 0.00 0.00 0.00 0	09/05/2018 800359189 WEST, ANN	S	M & T BANK CORPORATION	S	-1,066.12	19.00	19.00	0.00	0.0	0.00
09/05/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,017.91 29.00 29.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/05/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	S	-5,368.72	39.00	39.00	0.00	0.0	0.00
09/06/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,286.79 24.00 24.00 0.00 0.00 0.00 0.00 0.00 0.	09/05/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	S	-10,030.87	30.00	30.00	0.00	0.0	0.00
09/06/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,931.96 29.00 29.00 0.00 0.0 0.0 0.00 0.00 0.00	09/05/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	S	-10,017.91	29.00	29.00	0.00	0.0	0.00
09/06/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST B 9,278.54 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.0	09/06/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	9,286.79	24.00	24.00	0.00	0.0	0.00
09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -12,022.70 34.00 34.00 0.00 0.0 0.0 0.00 0.00 0.0	09/06/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	9,931.96	29.00	29.00	0.00	0.0	0.00
09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,871.79 29.00 29.00 0.00 0.0 0.0 0.00 0.00 0.0	09/06/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	В	9,278.54	34.00	34.00	0.00	0.0	0.00
09/13/2018 800359189 WEST, ANN S SPDR S&P 500 ETF TRUST S -10,082.48 24.00 24.00 0.00 0.0 0.0 0.00 09/25/2018 800359189 WEST, ANN S YY INC-ADR B 1,321.62 19.00 19.00 0.00 0.00 0.00 0.00 09/26/2018 800359189 WEST, ANN S MORGAN STANLEY B 2,005.76 34.00 34.00 0.00 0.00 0.00 0.00 08/30/2018 800370191 TRUST, WEST S ARISTA NETWORK, INC S -27,421.37 39.00 39.00 0.00 0.00 0.00 0.00 08/30/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST B 35,824.35 74.00 74.00 0.00 0.00 0.00 0.00 09/04/2018 800370191 TRUST, WEST S DROPBOX INC CLASS A S -15,968.56 15.00 15.00 0.00 0.00 0.00 0.00 09/04/2018 800370191 TRUST, WEST S FREEPORT-MCMORAN INC CL B S -32,787.45 15.00 15.00 0.00 0.00 0.00 0.00 09/04/2018 800370191 TRUST, WEST S MARATHON OIL CORPORATION S -28,864.36 15.00 15.00 0.00 0.00 0.00 0.00 09/04/2018 800370191 TRUST, WEST S ZTO EXPRESS CAYMAN INC-ADR S -26,496.06 15.00 15.00 0.00 0.00 0.00 0.00 09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -5,396.37 39.00 39.00 0.00 0.00 0.00 0.00 09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -37,661.00 74.00 74.00 0.00 0.00 0.00 0.00 09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -37,661.00 74.00 74.00 0.00 0.00 0.00 0.00 09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -10,753.89 64.00 64.00 0.00 0.00 0.00 0.00 0.00 0.	09/13/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	S	-12,022.70	34.00	34.00	0.00	0.0	0.00
09/25/2018 800359189 WEST, ANN S YY INC-ADR B 1,321.62 19.00 19.00 0.00 0.00 0.0 0.00 09/26/2018 800359189 WEST, ANN S MORGAN STANLEY B 2,005.76 34.00 34.00 34.00 0.00 0.00 0.00 0.00 0	09/13/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	S	-10,871.79	29.00	29.00	0.00	0.0	0.00
09/26/2018 800359189 WEST, ANN S MORGAN STANLEY B 2,005.76 34.00 34.00 0.00 0.0 0.0 0.00 08/30/2018 800370191 TRUST, WEST S ARISTA NETWORK, INC S -27,421.37 39.00 39.00 0.00 0.0 0.0 0.00 08/30/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST B 35,824.35 74.00 74.00 0.00 0.0 0.0 0.00 0.00 09/04/2018 800370191 TRUST, WEST S DROPBOX INC CLASS A S -15,968.56 15.00 15.00 0.00 0.0 0.0 0.00 0.00 09/04/2018 800370191 TRUST, WEST S FREEPORT-MCMORAN INC CL B S -32,787.45 15.00 15.00 0.00 0.0 0.0 0.00 0.00 09/04/2018 800370191 TRUST, WEST S MARATHON OIL CORPORATION S -28,864.36 15.00 15.00 0.00 0.0 0.0 0.0 0.00 09/04/2018 800370191 TRUST, WEST S ZTO EXPRESS CAYMAN INC-ADR S -26,496.06 15.00 15.00 0.00 0.00 0.0 0.00 09/05/2018 800370191 TRUST, WEST S M& T BANK CORPORATION S -5,396.37 39.00 39.00 0.00 0.00 0.0 0.00 09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -37,661.00 74.00 74.00 74.00 0.00 0.0 0.00 0.00	09/13/2018 800359189 WEST, ANN	S	SPDR S&P 500 ETF TRUST	S	-10,082.48	24.00	24.00	0.00	0.0	0.00
08/30/2018 800370191 TRUST, WEST S ARISTA NETWORK, INC S -27,421.37 39.00 39.00 0.00 0.0 0.0 0.00 0.00 0.00	09/25/2018 800359189 WEST, ANN	S	YY INC-ADR	В	1,321.62	19.00	19.00	0.00	0.0	0.00
08/30/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST B 35,824.35 74.00 74.00 0.00 0.00 0.00 0.00 0.00 0.	09/26/2018 800359189 WEST, ANN	S	MORGAN STANLEY	В	2,005.76	34.00	34.00	0.00	0.0	0.00
09/04/2018 800370191 TRUST, WEST S DROPBOX INC CLASS A S -15,968.56 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00	08/30/2018 800370191 TRUST, WEST	S	ARISTA NETWORK, INC	S	-27,421.37	39.00	39.00	0.00	0.0	0.00
09/04/2018 800370191 TRUST, WEST S DROPBOX INC CLASS A S -15,968.56 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00	08/30/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	35,824.35	74.00	74.00	0.00	0.0	0.00
09/04/2018 800370191 TRUST, WEST S FREEPORT-MCMORAN INC CL B S -32,787.45 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.0		S		S						
09/04/2018 800370191 TRUST, WEST S MARATHON OIL CORPORATION S -28,864.36 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00										
09/04/2018 800370191 TRUST, WEST S ZTO EXPRESS CAYMAN INC-ADR S -26,496.06 15.00 15.00 0.00 0.0 0.00 0.00 0.00 0.0										
09/05/2018 800370191 TRUST, WEST S M & T BANK CORPORATION S -5,396.37 39.00 39.00 0.00 0.00 0.00 0.00 0.00 0.										
09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -37,661.00 74.00 0.00 0.00 0.00 0.00 0.00 0.00										
09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -10,753.89 64.00 64.00 0.00 0.00 0.00										
09/05/2018 800370191 TRUST, WEST S SPDR S&P 500 ETF TRUST S -33,431.39 64.00 64.00 0.00 0.00 0.00	09/05/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	S	-33,431.39	64.00	64.00	0.00	0.0	0.00

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	36,170.79	53.00	53.00	0.00	0.0	0.00
09/06/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	36,373.34	69.00	69.00	0.00	0.0	0.00
09/06/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	В	32,433.75	84.00	84.00	0.00	0.0	0.00
09/13/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	S	-42,120.60	84.00	84.00	0.00	0.0	0.00
09/13/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	S	-39,907.07	69.00	69.00	0.00	0.0	0.00
09/13/2018 800370191 TRUST, WEST	S	SPDR S&P 500 ETF TRUST	S	-39,369.36	53.00	53.00	0.00	0.0	0.00
09/25/2018 800370191 TRUST, WEST	S	YY INC-ADR	В	5,237.14	34.00	34.00	0.00	0.0	0.00
09/26/2018 800370191 TRUST, WEST	S	MORGAN STANLEY	В	4,989.72	64.00	64.00	0.00	0.0	0.00
08/30/2018 800371394 WEST, JOHN	S	ARISTA NETWORK, INC	S	-219,486.15	214.00	214.00	0.00	0.0	0.00
08/30/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	298,533.60	514.00	514.00	0.00	0.0	0.00
09/04/2018 800371394 WEST, JOHN	S	DROPBOX INC CLASS A	S	-106,555.96	15.00	15.00	0.00	0.0	0.00
09/04/2018 800371394 WEST, JOHN	S	FREEPORT-MCMORAN INC CL B	S	-251,491.31	15.00	15.00	0.00	0.0	0.00
09/04/2018 800371394 WEST, JOHN	S	MARATHON OIL CORPORATION	S	-220,074.47	15.00	15.00	0.00	0.0	0.00
09/04/2018 800371394 WEST, JOHN	S	ZTO EXPRESS CAYMAN INC-ADR	S	-176,739.25	15.00	15.00	0.00	0.0	0.00
09/05/2018 800371394 WEST, JOHN	S	M & T BANK CORPORATION	S	-43,286.12	214.00	214.00	0.00	0.0	0.00
09/05/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	S	-215,390.44	1,014.00	1,014.00	0.00	0.0	0.00
09/05/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	S	-313,962.31	514.00	514.00	0.00	0.0	0.00
09/05/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	S	-133,774.95	214.00	214.00	0.00	0.0	0.00
09/06/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	284,615.98	321.00	321.00	0.00	0.0	0.00
09/06/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	239,197.60	514.00	514.00	0.00	0.0	0.00
09/06/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	В	330,033.60	514.00	514.00	0.00	0.0	0.00
09/13/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	S	-300,962.48	514.00	514.00	0.00	0.0	0.00
09/13/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	S	-362,924.67	514.00	514.00	0.00	0.0	0.00
09/13/2018 800371394 WEST, JOHN	S	SPDR S&P 500 ETF TRUST	S	-310,020.57	321.00	321.00	0.00	0.0	0.00
09/25/2018 800371394 WEST, JOHN	S	YY INC-ADR	В	39,171.60	164.00	164.00	0.00	0.0	0.00
09/26/2018 800371394 WEST, JOHN	S	MORGAN STANLEY	В	9,962.98	114.00	114.00	0.00	0.0	0.00
		* STOCKS SUBTOTA	\L *	\$-4,949,664.21	\$27,759.00	\$27,759.00	\$0.00		\$0.00
PRODUCT CATEGORY: OPTIONS									
09/14/2018 215745263 DOSDALL, ALEX SCOTT	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 306754795 GREEN, JULIE ANN	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 337573775 HARDWICKE, ALAN	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	2,473.69	21.00	21.00	0.00	0.0	0.00
09/14/2018 338136256 HELMS, CARLA ANN	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	2,473.69	21.00	21.00	0.00	0.0	0.00
09/14/2018 338152542 HELMS, MORRIS DALE	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	24,588.85	84.00	84.00	0.00	0.0	0.00
09/14/2018 338154872 HELMS, CARLA ANN	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	3,877.83	25.00	25.00	0.00	0.0	0.00
09/14/2018 338190586 HOLT, JOHN THOMAS	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	5,281.96	29.00	29.00	0.00	0.0	0.00
09/14/2018 368255592 RUSSELL, KIMBERLEY	0	CALL: BLUEBIRD BIO INC OCT 20		367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 368260214 HARDWICKE, ALAN	0	CALL: BLUEBIRD BIO INC OCT 20	18 B	7,739.20	36.00	36.00	0.00	0.0	0.00
09/14/2018 368270193 HARDWICKE, SARAH	0	CALL: BLUEBIRD BIO INC OCT 20		367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 368279732 ANDERSON, DAVID	0	CALL: BLUEBIRD BIO INC OCT 20		19,323.34	69.00	69.00	0.00	0.0	0.00
09/14/2018 368292049 UNDERWOOD, TAMMY	0	CALL: BLUEBIRD BIO INC OCT 20		1,420.59	18.00	18.00	0.00	0.0	0.00
09/14/2018 368299283 ANDRENACCI, LETICIA		CALL: BLUEBIRD BIO INC OCT 20		3,175.76	23.00	23.00	0.00	0.0	0.00
09/14/2018 368300237 UNDERWOOD, TAMMY	0	CALL: BLUEBIRD BIO INC OCT 20		1,420.59	18.00	18.00	0.00	0.0	0.00
09/14/2018 368327197 HELMS, MORRIS DALE		CALL: BLUEBIRD BIO INC OCT 20		1,771.62	19.00	19.00	0.00	0.0	0.00
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COMMISSION STATEMENT for WALSTON DPM

TRADE DATE CLIENT		INVESTMEN	, rm	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/14/2018 368351790 DOSDALL, KEVIN	0		LUEBIRD BIO INC OCT 2018		1,420.59	18.00	18.00	0.00	0.0	0.00
09/14/2018 368362828 TUCKER, GARY DON	0		LUEBIRD BIO INC OCT 2018		19,323.34	69.00	69.00	0.00	0.0	0.00
09/14/2018 368460190 BUTLER, ANTHONY	0		LUEBIRD BIO INC OCT 2018		1,420.59	18.00	18.00	0.00	0.0	0.00
09/14/2018 368461459 OAKLEY, SUSAN GAY			LUEBIRD BIO INC OCT 2018		1,420.59	18.00	18.00	0.00	0.0	0.00
09/14/2018 368461587 POYNOR, TERESA	0		LUEBIRD BIO INC OCT 2018		718.52	16.00	16.00	0.00	0.0	0.00
09/14/2018 368462433 POYNOR, CASEY ALL			LUEBIRD BIO INC OCT 2018		367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 368462543 BAGGETT, KELLY	0		LUEBIRD BIO INC OCT 2018		718.52	16.00	16.00	0.00	0.0	0.00
09/14/2018 368462553 PAGE, JAMES SUTTO			LUEBIRD BIO INC OCT 2018		718.52	16.00	16.00	0.00	0.0	0.00
09/14/2018 368462653 BATTEN, PAUL	0		LUEBIRD BIO INC OCT 2018		367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 368462688 BAGGETT, KELLY			LUEBIRD BIO INC OCT 2018		1,771.62	19.00	19.00	0.00	0.0	0.00
•	0				367.48		15.00		0.0	
09/14/2018 368463677 GREEN (BENE), JUL			LUEBIRD BIO INC OCT 2018			15.00		0.00		0.00
09/14/2018 368463942 CORTEZ, RAMIRO	0		LUEBIRD BIO INC OCT 2018		1,771.62	19.00	19.00	0.00	0.0	0.00
09/14/2018 368463952 PAGE, JOHNNA	0		LUEBIRD BIO INC OCT 2018		1,069.55	17.00	17.00	0.00	0.0	0.00
09/14/2018 368466825 SNIDER, DAVID	0		LUEBIRD BIO INC OCT 2018		1,771.62	19.00	19.00	0.00	0.0	0.00
09/14/2018 368500081 STUBBLEFIELD,	0		LUEBIRD BIO INC OCT 2018		1,771.62	19.00	19.00	0.00	0.0	0.00
09/14/2018 399449030 JAMES, PAULINE	0		LUEBIRD BIO INC OCT 2018		718.52	16.00	16.00	0.00	0.0	0.00
09/14/2018 431485692 KIPPES, LOY DUANE	0		LUEBIRD BIO INC OCT 2018		367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 583947985 POYNER, TERESA	0		LUEBIRD BIO INC OCT 2018		367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 646137924 ANDRENACCI, LETIC			LUEBIRD BIO INC OCT 2018		718.52	16.00	16.00	0.00	0.0	0.00
09/14/2018 646147745 ANDERSON, DAVID	0		LUEBIRD BIO INC OCT 2018		1,069.55	17.00	17.00	0.00	0.0	0.00
09/14/2018 646197331 DOSDALL, LESLIE	0		LUEBIRD BIO INC OCT 2018		367.48	15.00	15.00	0.00	0.0	0.00
09/20/2018 646197331 DOSDALL, LESLIE	0		LUEBIRD BIO INC OCT 2018		-137.52	15.00	15.00	0.00	0.0	0.00
09/14/2018 677594762 DOSDALL, KEVIN	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	1,771.62	19.00	19.00	0.00	0.0	0.00
09/10/2018 708078768 TUCKER, CLARENCE	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 708078768 TUCKER, CLARENCE	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	2,473.69	21.00	21.00	0.00	0.0	0.00
09/10/2018 800358756 WEST, JAY	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 800358756 WEST, JAY	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	718.52	16.00	16.00	0.00	0.0	0.00
09/10/2018 800359189 WEST, ANN	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 800359189 WEST, ANN	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	1,771.62	19.00	19.00	0.00	0.0	0.00
09/10/2018 800370191 TRUST, WEST	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	367.48	15.00	15.00	0.00	0.0	0.00
09/14/2018 800370191 TRUST, WEST	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	7,037.14	34.00	34.00	0.00	0.0	0.00
09/10/2018 800371394 WEST, JOHN	0	CALL: B	LUEBIRD BIO INC OCT 2018	В	718.52	16.00	16.00	0.00	0.0	0.00
09/14/2018 800371394 WEST, JOHN	0	CALL: B	LUEBIRD BIO INC OCT 2018	ВВ	56,884.01	176.00	176.00	0.00	0.0	0.00
			* OPTIONS SUBTOTAL	*	\$186,332.73	\$1,202.00	\$1,202.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B	L TR	AILS								
09/21/2018 mfc882166 VARIOUS,	M	T AMERICAI	N BALANCED FUND-C	В	0.00	0.92	0.00	0.92	0.0	0.00
09/21/2018 mfc882166 VARIOUS,	M	T CAPITAL	INCOME BUILDER CLASS C	В	0.00	0.74	0.00	0.74	0.0	0.00
09/21/2018 mfc882166 VARIOUS,	M	T INCOME	FUND OF AMERICA CLASS C	В	0.00	0.83	0.00	0.83	0.0	0.00
09/21/2018 mfc882166 VARIOUS,	M	T THE INV	ESTMENT COMPANY OF	В	0.00	0.21	0.00	0.21	0.0	0.00
09/24/2018 mfc882166 VARIOUS,	M	T THE INV	ESTMENT COMPANY OF	В	0.00	0.19	0.00	0.19	0.0	0.00
	*	MUTUAL FU	JND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$2.89	\$0.00	\$2.89		\$0.00
		*** CLEARI	ING TRANSACTIONS TOTAL **	**	\$-4,763,331.48	\$28,963.89	\$28,961.00	\$2.89		\$0.00

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BROKER COMMISSION TOTALS

Net Commissions	\$0.00 \$0.00
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$0.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$0.00