



COMMISSION STATEMENT

November 26, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
11/05/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.03	0.00	1.03	15.0	0.15
11/05/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	5.33	0.00	5.33	15.0	0.80
11/05/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: 07468200945	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.42	0.00	1.42	15.0	0.21
11/05/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	15.0	0.03
11/05/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	15.0	0.40
11/02/2018	***-**-1584 - CAMPBELL, COLIN ACCOUNT #: 00929501828	CALVERT LONG-TERM INCOME FUND BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	15.0	0.03
11/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	CAPITAL WORLD GROWTH AND INCOME FUN BUY 4 Units @47.9500	180.00	4.95	0.00	4.95	15.0	0.74
11/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 08352639846	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
11/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	EUROPACIFIC GROWTH FUND-A BUY 4 Units @48.8700	180.00	4.95	0.00	4.95	15.0	0.74
11/01/2018	***-**-97IR - CRAWFORD, JAMES ACCOUNT #: 03305198767	GLOBAL FUND A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
11/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 02156825863	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
11/14/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 07108000257	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	5.35	0.00	5.35	15.0	0.80
11/14/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07108000258	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	18.61	0.00	18.61	15.0	2.79
11/14/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07108000269	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	72.56	0.00	72.56	15.0	10.88
11/17/2018	***-**-843I - FANO, OKSANA ACCOUNT #: R110836-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.49	0.00	7.49	15.0	1.12
11/20/2018	***-**-894I - GARCIA, MARIANO ACCOUNT #: R109394-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	5.51	0.00	5.51	15.0	0.83



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
11/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.04	0.00	5.04	15.0	0.76
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
11/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	32.34	0.00	32.34	15.0	4.85
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
11/01/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
11/13/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	1,000.87	7.51	0.00	7.51	15.0	1.13
	ACCOUNT #: 07123000494	BUY 78 Units @12.9000						
11/26/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS	0.00	49.69	0.00	49.69	15.0	7.45
		BUY 0 Units @0.0000						
11/15/2018	***-**-3804 - LETOURNEAU,	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 01952878018	BUY 0 Units @0.0000						
11/05/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	2.48	0.00	2.48	15.0	0.37
	ACCOUNT #: 07418403711	BUY 0 Units @0.0000						
11/05/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	0.68	0.00	0.68	15.0	0.10
	ACCOUNT #: 07418403731	BUY 0 Units @0.0000						
11/05/2018	***-**-7574 - G-MEN CORP	SMALL CAP VALUE FUND - R2	0.00	0.12	0.00	0.12	15.0	0.02
	ACCOUNT #: 07418404146	BUY 0 Units @0.0000						
11/20/2018	***-**-461I - CAMPBELL, COLIN	THE GROWTH FUND OF AMERICA-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 4 Units @51.3700						
11/05/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.53	0.00	0.53	15.0	0.08
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
11/05/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.59	0.00	1.59	15.0	0.24
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$1,790.87	\$246.68	\$0.00	\$246.68		\$37.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,790.87	\$246.68	\$0.00	\$246.68		\$37.00



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
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BROKER COMMISSION TOTALS							
Broker Transactions							\$0.00
Split Transactions							\$0.00
Override Transactions							\$37.00
Adjustments							\$0.00
Payroll Draw							\$0.00
Base Salary							\$0.00
Prior Period Balance							\$0.00
FINRA Assessment							\$0.00
SIPC Assessment							\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					<div><div>Balance Forward\$37.00</div><div>Year-to-date Earnings\$3,922.45</div></div>		