

COMMISSION STATEMENT March 15, 2018

ALAN SMITH-D206

BROKER #: D206

BRANCH: DMR

, TX

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/20/2018 (None)	GLENNROBES	MF BLACKROCK	B	0.00	0.50	0.00	0.50	80.0	0.40
02/20/2018 (None)	GLENNROBES	MF BLACKROCK	B	0.00	0.50	0.00	0.50	80.0	0.40
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$1.00	\$0.00	\$1.00		\$0.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	6.56	0.00	6.56	80.0	5.25
01/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.50	0.00	5.50	80.0	4.40
03/01/2018 00086725626	LEONARD, MADELYN	MFT COLLEGE 2021 FUND-529A	B	0.00	68.49	0.00	68.49	80.0	54.79
03/01/2018 00088192883	LEE, DANIEL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	135.07	0.00	135.07	80.0	108.06
03/01/2018 00088192900	LEE, LISA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.17	0.00	31.17	80.0	24.94
03/01/2018 00088400986	MERCER, JETT	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	44.14	0.00	44.14	80.0	35.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$290.93	\$0.00	\$290.93		\$232.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
03/09/2018 (None)	EXPLOREUSA	VAT TRANSAMERICA LIFE	B	0.00	1,568.08	0.00	1,568.08	80.0	1,254.46
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,568.08	\$0.00	\$1,568.08		\$1,254.46
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$1,860.01	\$0.00	\$1,860.01		\$1,488.01

BROKER COMMISSION TOTALS

Broker Transactions	\$1,488.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,488.01
Year-to-date Commissions	\$19,090.17