

COMMISSION STATEMENT

August 31, 2018

AARON ARCHAMBO-D166

BROKER #: D166

BRANCH: DMB

, TX

COMMISSION STATEMENT for AARON ARCHAMBO-D166

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/16/2018 368279345	HAMM, DAN	MF OPPENHEIMER INTL GROWTH FD CL Y S		-5,279.72	0.00	5.00	-5.00	90.0	-4.50
08/09/2018 368321646	HILGER, GARY	MF AMERICAN FDS BALANCED FD CL F2 B		-23,597.55	0.00	5.00	-5.00	90.0	-4.50
08/23/2018 368341892	DICKINSON, SHONNA	MF EUROPACIFIC GROWTH FUND CLASS F B		-4,497.55	0.00	5.00	-5.00	90.0	-4.50
08/23/2018 368341892	DICKINSON, SHONNA	MF FIDELITY ADV GROWTH OPPORT CL T B		-2,997.55	0.00	5.00	-5.00	90.0	-4.50
08/23/2018 368341892	DICKINSON, SHONNA	MF OPPENHEIMER DEVELOPING MKT FD S		-5,189.26	0.00	5.00	-5.00	90.0	-4.50
08/08/2018 368445490	CARROLL, DAVID	MF DREYFUS SMALL CAP STOCK INDEX B		-4,297.55	0.00	5.00	-5.00	90.0	-4.50
08/08/2018 368445490	CARROLL, DAVID	MF RUSSELL STRATEGIC BOND FD S B		-8,197.55	0.00	5.00	-5.00	90.0	-4.50
08/08/2018 368445490	CARROLL, DAVID	MF VANGUARD INTERMEDIATE US S		-16,513.35	0.00	5.00	-5.00	90.0	-4.50
08/09/2018 368445490	CARROLL, DAVID	MF PUTNAM US GOVT INCOME FD Y S		16,502.45	0.00	5.00	-5.00	90.0	-4.50
08/08/2018 368463868	CARROLL, MARY	MF AMERICAN BALANCED FUND-F1 B		-2,997.55	0.00	5.00	-5.00	90.0	-4.50
08/17/2018 646261660	FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I S		152.45	0.00	2.50	-2.50	90.0	-2.25
08/17/2018 646266202	FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I S		152.45	0.00	2.50	-2.50	90.0	-2.25
08/01/2018 646277370	FITZSIMMONS, JULIE	MF AMERICAN FDS BALANCED FD CL F2 S		202.45	0.00	2.50	-2.50	90.0	-2.25
* MUTUAL FUNDS SUBTOTAL *				\$-56,557.83	\$0.00	\$57.50	\$-57.50		\$-51.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc002080	ARCHAMBO A 002080	MFT AB HIGH INCOME A B	0.00	1.03	0.00	1.03	90.0	0.93
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1 B	0.00	4.79	0.00	4.79	90.0	4.31
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1 B	0.00	13.74	0.00	13.74	90.0	12.37
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1 B	0.00	21.74	0.00	21.74	90.0	19.57
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV B	0.00	1.57	0.00	1.57	90.0	1.41
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV B	0.00	8.41	0.00	8.41	90.0	7.57
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A B	0.00	8.42	0.00	8.42	90.0	7.58
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	11.08	0.00	11.08	90.0	9.97
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	9.92	0.00	9.92	90.0	8.93
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A B	0.00	2.97	0.00	2.97	90.0	2.67
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A B	0.00	3.17	0.00	3.17	90.0	2.85
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	0.37	0.00	0.37	90.0	0.33
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	0.50	0.00	0.50	90.0	0.45
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	0.86	0.00	0.86	90.0	0.77
08/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	1.04	0.00	1.04	90.0	0.94

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.05	0.00	1.05	90.0	0.95
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.51	0.00	1.51	90.0	1.36
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.67	0.00	1.67	90.0	1.50
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.74	0.00	1.74	90.0	1.57
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.30	0.00	2.30	90.0	2.07
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.99	0.00	5.99	90.0	5.39
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	6.30	0.00	6.30	90.0	5.67
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	3.91	0.00	3.91	90.0	3.52
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	0.50	0.00	0.50	90.0	0.45
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	5.12	0.00	5.12	90.0	4.61
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE	B	0.00	0.91	0.00	0.91	90.0	0.82
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE	B	0.00	2.24	0.00	2.24	90.0	2.02
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.04	0.00	0.04	90.0	0.04
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.67	0.00	1.67	90.0	1.50
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.00	0.00	4.00	90.0	3.60
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.84	0.00	4.84	90.0	4.36
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A	B	0.00	4.47	0.00	4.47	90.0	4.02
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.53	0.00	0.53	90.0	0.48
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.56	0.00	0.56	90.0	0.50
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.80	0.00	0.80	90.0	0.72
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.59	0.00	1.59	90.0	1.43
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.59	0.00	1.59	90.0	1.43
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.28	0.00	3.28	90.0	2.95
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.40	0.00	3.40	90.0	3.06
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	12.57	0.00	12.57	90.0	11.31
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT INTERMEDIATE BOND FUND OF	B	0.00	9.81	0.00	9.81	90.0	8.83
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.34	0.00	0.34	90.0	0.31
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.75	0.00	0.75	90.0	0.68
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.30	0.00	2.30	90.0	2.07
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT NATIXIS OAKMARK INTL FD A	B	0.00	0.79	0.00	0.79	90.0	0.71
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-F1	B	0.00	2.85	0.00	2.85	90.0	2.57
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.62	0.00	1.62	90.0	1.46
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.63	0.00	1.63	90.0	1.47
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	2.01	0.00	2.01	90.0	1.81
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MARKETS	B	0.00	1.30	0.00	1.30	90.0	1.17
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	0.45	0.00	0.45	90.0	0.41
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	3.04	0.00	3.04	90.0	2.74
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	1.76	0.00	1.76	90.0	1.58
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	2.99	0.00	2.99	90.0	2.69
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.52	0.00	1.52	90.0	1.37
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.79	0.00	2.79	90.0	2.51
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.98	0.00	2.98	90.0	2.68
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.49	0.00	3.49	90.0	3.14
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	10.28	0.00	10.28	90.0	9.25

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08/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.58	0.00	0.58	90.0	0.52
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	1.01	0.00	1.01	90.0	0.91
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	0.70	0.00	0.70	90.0	0.63
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	1.78	0.00	1.78	90.0	1.60
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT THE BOND FUND OF AMERICA-A	B	0.00	2.40	0.00	2.40	90.0	2.16
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	1.92	0.00	1.92	90.0	1.73
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.94	0.00	5.94	90.0	5.35
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.52	0.00	1.52	90.0	1.37
08/21/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.16	0.00	4.16	90.0	3.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$234.90	\$0.00	\$234.90		\$211.44
*** CLEARING TRANSACTIONS TOTAL ***					\$-56,557.83	\$234.90	\$57.50	\$177.40		\$159.69

BROKER COMMISSION TOTALS

Broker Transactions	\$159.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00