



COMMISSION STATEMENT

October 15, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/01/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209	FIDELITY ADV MF 040813 BUY 8 Units @21.5500	175.00	5.25	0.00	5.25	50.0	2.63
10/01/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868	FIDELITY ADV MF 040813 BUY 8 Units @21.5500	175.00	5.25	0.00	5.25	50.0	2.63
10/01/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344	FIDELITY ADV MF 040813 BUY 8 Units @21.5500	175.00	5.25	0.00	5.25	50.0	2.63
09/25/2018	***-**-33IN - PIROLI, DONNA ACCOUNT #: 03308118319	GLOBAL FUND A BUY 0 Units @0.0000	25.00	1.19	0.00	1.19	50.0	0.60
* MUTUAL FUNDS SUBTOTAL *			\$550.00	\$16.94	\$0.00	\$16.94		\$8.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/15/2018	***-**-160K - DIGITAL INC, GM ACCOUNT #: 837763	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	23.83	0.00	23.83	50.0	11.92
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$23.83	\$0.00	\$23.83		\$11.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/15/2018	***-**-88IN - CARSON, RICHARD ACCOUNT #: 0616685542	DREYFUS MF TRAILS BUY 0 Units @0.0000	0.00	2.62	0.00	2.62	50.0	1.31
10/15/2018	***-**-8866 - PJURA, ANNE T ACCOUNT #: 3357369994	DREYFUS MF TRAILS BUY 0 Units @0.0000	0.00	26.51	0.00	26.51	50.0	13.26
10/05/2018	***-**-0250 - RUBINO, ACCOUNT #: 00701378648	FA 529 COLLEGE PORT-CL A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	50.0	0.09
10/05/2018	***-**-615P - AMBRUSCO, JOHN ACCOUNT #: 00625334479	FA 529 PORT 2025-CL A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	50.0	0.63
10/05/2018	***-**-615P - AMBRUSCO, JOHN ACCOUNT #: 01453260323	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	50.0	0.43
10/05/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	3.92	0.00	3.92	50.0	1.96
10/05/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	50.0	1.91
10/05/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	1.03	0.00	1.03	50.0	0.52



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/15/2018	***-**-5545 - AMBRUSCO, DENISE	PRUDENTIAL MF TRAILS	0.00	191.63	0.00	191.63	50.0	95.82
	ACCOUNT #: 32279280	BUY 0 Units @0.0000						
10/15/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS	0.00	68.53	0.00	68.53	50.0	34.27
		BUY 0 Units @0.0000						
10/15/2018	***-**-388H - CARSON, RICHARD	PRUDENTIAL MF TRAILS	0.00	63.22	0.00	63.22	50.0	31.61
		BUY 0 Units @0.0000						
10/15/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS	0.00	3.87	0.00	3.87	50.0	1.94
		BUY 0 Units @0.0000						
10/15/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS	0.00	0.24	0.00	0.24	50.0	0.12
		BUY 0 Units @0.0000						
10/15/2018	***-**-001I -	PRUDENTIAL MF TRAILS	0.00	16.00	0.00	16.00	50.0	8.00
		BUY 0 Units @0.0000						
10/15/2018	***-**-1058 - LIPTON, DAVID	PRUDENTIAL MF TRAILS	0.00	400.32	0.00	400.32	50.0	200.16
		BUY 0 Units @0.0000						
10/15/2018	***-**-2319 - MARTIN, DIANE	PRUDENTIAL MF TRAILS	0.00	11.80	0.00	11.80	50.0	5.90
		BUY 0 Units @0.0000						
10/15/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS	0.00	72.10	0.00	72.10	50.0	36.05
		BUY 0 Units @0.0000						
10/15/2018	***-**-507R - COMPANY,	PRUDENTIAL MF TRAILS	0.00	45.44	0.00	45.44	50.0	22.72
		BUY 0 Units @0.0000						
10/15/2018	***-**-837I - RUSSELL, GEORGE	PRUDENTIAL MF TRAILS	0.00	51.04	0.00	51.04	50.0	25.52
		BUY 0 Units @0.0000						
10/15/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS	0.00	80.79	0.00	80.79	50.0	40.40
		BUY 0 Units @0.0000						
10/15/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS	0.00	80.38	0.00	80.38	50.0	40.19
		BUY 0 Units @0.0000						
10/15/2018	***-**-1704 - CAPPETTA	QUALIFIED PLAN SUMMARY	0.00	53.53	0.00	53.53	50.0	26.77
	ACCOUNT #: IRK121845	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,179.06	\$0.00	\$1,179.06		\$589.58
*** BROKER TRANSACTIONS TOTAL ***			\$550.00	\$1,219.83	\$0.00	\$1,219.83		\$609.99



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$609.99
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$609.99
						Year-to-date Earnings		\$4,019.36