



All Companies

12/28/2018
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COMMISSION STATEMENT January 02, 2019

WILLIAM PRICE SCHWENNSEN
501 GREEN RIVER TRAIL

BROKER #: 9544
BRANCH: HOME OFFICE

Fort Worth, TX 76103-

COMMISSION STATEMENT for WILLIAM PRICE SCHWENNSEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	2,748.00	2,748.00	0.00	2,748.00	85.0	2,335.80
* STOCKS SUBTOTAL *				\$2,748.00	\$2,748.00	\$0.00	\$2,748.00		\$2,335.80

PRODUCT CATEGORY: RIA

10/11/2018	12422258 BROWN, FRANCES ELISE	SIGNAL SECURITIES RIA	B	0.00	169.00	0.00	169.00	85.0	143.65
10/11/2018	13105054 WHITE LAKE HILLS COM	SIGNAL SECURITIES RIA	B	0.00	110.66	0.00	110.66	85.0	94.06
10/11/2018	47118102 DAILY, WILLIAM F	SIGNAL SECURITIES RIA	B	0.00	325.34	0.00	325.34	85.0	276.54
10/11/2018	64481780 BROWN, FRANCES ELISE	SIGNAL SECURITIES RIA	B	0.00	137.34	0.00	137.34	85.0	116.74
10/11/2018	68177451 FERGUSON, CHARLES & ERNES	SIGNAL SECURITIES RIA	B	0.00	285.34	0.00	285.34	85.0	242.54
10/11/2018	79635223 RYON, RODY & BEVERLY	SIGNAL SECURITIES RIA	B	0.00	97.34	0.00	97.34	85.0	82.74
10/11/2018	84275067 FERGUSON, CHARLES & ERNES	SIGNAL SECURITIES RIA	B	0.00	288.00	0.00	288.00	85.0	244.80
10/11/2018	97084977 FERGUSON, ERNESTINE	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	85.0	20.40
* RIA SUBTOTAL *				\$0.00	\$1,437.02	\$0.00	\$1,437.02		\$1,221.47
*** BROKER TRANSACTIONS TOTAL ***				\$2,748.00	\$4,185.02	\$0.00	\$4,185.02		\$3,557.27

ADJUSTMENTS

01/02/2019	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
01/02/2019	HILLTOP: CLEARING CHARGES			0.00	0.00	0.00	0.00		-105.00
01/02/2019	HILLTOP: MISC TICKET FEES			0.00	0.00	0.00	0.00		90.00
01/02/2019	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-142.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,557.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-142.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,414.77
Year-to-date Earnings	\$3,414.77