



# COMMISSION STATEMENT

## October 29, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/25/2018	***-**-20IO - BARBER, SAMUEL	ALIBABA GROUP HLDG LTD SPONSORED AD	864.00	44.19	9.05	35.14	75.0	26.36
	ACCOUNT #: LSF000307	BUY 6 Units @144.0000						
10/25/2018	***-**-20IO - BARBER, SAMUEL	ALPHABET INC CAP STK CL A	1,096.24	48.14	8.99	39.15	75.0	29.36
	ACCOUNT #: LSF000307	BUY 1 Units @1096.2400						
10/23/2018	***-**-63IO - BARBER,	ALPHABET INC CAP STK CL C	5,404.45	38.00	18.00	20.00	75.0	15.00
	ACCOUNT #: LSR012835	BUY 5 Units @1080.8900						
10/25/2018	***-**-20IO - BARBER, SAMUEL	ALPHABET INC CAP STK CL C	1,070.00	47.69	8.99	38.70	75.0	29.03
	ACCOUNT #: LSF000307	BUY 1 Units @1070.0000						
10/25/2018	***-**-20IO - BARBER, SAMUEL	AMAZON.COM INC UNSOLICITED ORDER	1,697.00	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	BUY 1 Units @1697.0000						
10/25/2018	***-**-20IO - BARBER, SAMUEL	APPLE INC UNSOLICITED ORDER	1,087.55	47.99	8.99	39.00	75.0	29.25
	ACCOUNT #: LSF000307	BUY 5 Units @217.5100						
10/25/2018	***-**-20IO - BARBER, SAMUEL	ARK ETF TR INNOVATION ETF	810.60	43.28	9.19	34.09	75.0	25.57
	ACCOUNT #: LSF000307	BUY 20 Units @40.5300						
10/25/2018	***-**-63IO - BARBER,	AT&T INC COM	8,891.40	38.00	20.80	17.20	75.0	12.90
	ACCOUNT #: LSR012835	BUY 290 Units @30.6600						
10/25/2018	***-**-20IO - BARBER, SAMUEL	BAIDU INC SPONS ADS REPR 0.10 ORD C	2,827.50	54.00	9.14	44.86	75.0	33.65
	ACCOUNT #: LSF000307	BUY 15 Units @188.5000						
10/25/2018	***-**-20IO - BARBER, SAMUEL	BERKSHIRE HATHAWAY INC DEL CL B NEW	2,010.00	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	BUY 10 Units @201.0000						
10/25/2018	***-**-63IO - BARBER,	ISHARES TRUST MSCI USA MINVOLATILIT	5,435.00	38.00	17.00	21.00	75.0	15.75
	ACCOUNT #: LSR012835	BUY 100 Units @54.3500						
10/25/2018	***-**-20IO - BARBER, SAMUEL	MASTERCARD INC CL A UNSOLICITED ORD	1,986.50	54.00	9.09	44.91	75.0	33.68
	ACCOUNT #: LSF000307	BUY 10 Units @198.6500						
10/23/2018	***-**-63IO - BARBER,	MICROSOFT CORP UNSOLICITED ORDER EX	10,750.00	38.00	18.00	20.00	75.0	15.00
	ACCOUNT #: LSR012835	BUY 100 Units @107.5000						
10/25/2018	***-**-20IO - BARBER, SAMUEL	MICROSOFT CORP UNSOLICITED ORDER EX	644.80	40.46	8.99	31.47	75.0	23.60
	ACCOUNT #: LSF000307	BUY 6 Units @107.4670						
10/25/2018	***-**-20IO - BARBER, SAMUEL	TENCENT HOLDINGS LIMITED UNSP ADR E	1,029.15	47.00	8.99	38.01	75.0	28.51
	ACCOUNT #: LSF000307	BUY 30 Units @34.3050						
10/25/2018	***-**-20IO - BARBER, SAMUEL	TILRAY INC COM USD0.0001 CLASS 2	1,116.08	48.47	9.09	39.38	75.0	29.54
	ACCOUNT #: LSF000307	BUY 10 Units @111.6080						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
10/23/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	VANGUARD WHITEHALL FDS HIGH DIVIDEN BUY 5 Units @82.9400	414.70	38.00	15.10	22.90	75.0	17.18
10/23/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	VANGUARD WHITEHALL FDS HIGH DIVIDEN BUY 60 Units @82.9400	4,976.40	0.00	1.20	-1.20	100.0	-1.20
10/23/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	VANGUARD WHITEHALL FDS HIGH DIVIDEN BUY 35 Units @82.9400	2,902.90	0.00	0.70	-0.70	100.0	-0.70
* STOCKS SUBTOTAL *			<u>\$55,014.27</u>	<u>\$773.22</u>	<u>\$199.29</u>	<u>\$573.93</u>		<u>\$430.00</u>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
10/29/2018	***-**-0853 - BOYER, THOMAS ACCOUNT #: 77467864401	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	75.0	1.79
* 529 PLAN SUBTOTAL *			<u>\$50.00</u>	<u>\$2.38</u>	<u>\$0.00</u>	<u>\$2.38</u>		<u>\$1.79</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$55,064.27</u>	<u>\$775.60</u>	<u>\$199.29</u>	<u>\$576.31</u>		<u>\$431.79</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$431.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$431.79
Year-to-date Earnings	\$48,782.40