

# COMMISSION STATEMENT

September 15, 2018

 ALEX BRISTER-D010  
 32180 Inverness Drive

 BROKER #: D010  
 BRANCH: DMD

Evergreen, CO 80439

## COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/07/2018	04000508909	GERRIE, ANDREW	MF	AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75	50.0	6.88
* MUTUAL FUNDS SUBTOTAL *						\$500.00	\$13.75	\$0.00	\$13.75		\$6.88
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/04/2018	00064364960	WIEBER, SANDRA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	17.69	0.00	17.69	50.0	8.85
09/04/2018	00067067479	TICKLE, MICHAEL	MFT	NEW PERSPECTIVE FUND-A	B	0.00	24.88	0.00	24.88	50.0	12.44
09/04/2018	00067067479	TICKLE, MICHAEL	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	24.07	0.00	24.07	50.0	12.04
09/04/2018	00067067479	TICKLE, MICHAEL	MFT	THE INVESTMENT COMPANY OF	B	0.00	18.57	0.00	18.57	50.0	9.29
09/04/2018	00067670267	SCHMIDT, JACK	MFT	EUROPACIFIC GROWTH FUND CLASS F	B	0.00	8.84	0.00	8.84	50.0	4.42
09/04/2018	00067670267	SCHMIDT, JACK	MFT	NEW PERSPECTIVE FUND-F1	B	0.00	11.17	0.00	11.17	50.0	5.59
09/04/2018	00067670267	SCHMIDT, JACK	MFT	SHORT-TERM BOND FUND OF	B	0.00	2.98	0.00	2.98	50.0	1.49
09/04/2018	00067670267	SCHMIDT, JACK	MFT	THE INVESTMENT COMPANY OF	B	0.00	17.86	0.00	17.86	50.0	8.93
09/04/2018	00068717375	GERRIE/AUTH PER,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.22	0.00	0.22	50.0	0.11
09/04/2018	00068717375	GERRIE/AUTH PER,	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	2.06	0.00	2.06	50.0	1.03
09/04/2018	00068717375	GERRIE/AUTH PER,	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.25	0.00	0.25	50.0	0.13
09/04/2018	00068781179	GERRIE, SARA	MFT	AMCAP FUND-A	B	0.00	43.83	0.00	43.83	50.0	21.92
09/04/2018	00068781179	GERRIE, SARA	MFT	THE INVESTMENT COMPANY OF	B	0.00	6.05	0.00	6.05	50.0	3.03
09/04/2018	00083404474	BARNES, WENDY	MFT	AMCAP FUND-A	B	0.00	32.64	0.00	32.64	50.0	16.32
09/04/2018	04000508909	GERRIE, ANDREW	MFT	AMERICAN FUNDS 2040 TARGET	B	0.00	4.65	0.00	4.65	50.0	2.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$215.76	\$0.00	\$215.76		\$107.92
*** DIRECT TRANSACTIONS TOTAL ***						\$500.00	\$229.51	\$0.00	\$229.51		\$114.80

**BROKER COMMISSION TOTALS**

Broker Transactions	\$114.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$114.80</b>
<b>Year-to-date Commissions</b>	<b>\$1,772.86</b>