

COMMISSION STATEMENT

October 15, 2018

MARIE OFFITT-D047
 425 South Water Avenue
 Suite 14
 Gallatin, TN 37066

BROKER #: D047
 BRANCH: DME

COMMISSION STATEMENT for MARIE OFFITT-D047

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/28/2018	00067212328	GEORGE C CARLISLE	MF	INVESCO COMSTOCK FUND - CLASS A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/28/2018	00068623960	FIRST BAPTIST	MF	CAPITAL INCOME BUILDER-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
09/28/2018	00068623960	FIRST BAPTIST	MF	THE GROWTH FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
09/28/2018	00068623960	FIRST BAPTIST	MF	THE INCOME FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
09/28/2018	00068623960	FIRST BAPTIST	MF	THE INVESTMENT COMPANY OF	B	1,118.75	22.38	0.00	22.38	70.0	15.67
09/28/2018	00075964908	MAYS, GARY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/28/2018	00081281401	HAMILTON, MOLLY	MF	CAPITAL INCOME BUILDER-A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/28/2018	00081281401	HAMILTON, MOLLY	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/27/2018	00085828612	CRUTCHER,	MF	CAPITAL WORLD GROWTH AND INCOME	B	100.00	5.00	0.00	5.00	70.0	3.50
10/05/2018	04000248795	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/05/2018	04000248831	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/05/2018	04000248863	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	04000580926	LEWISFELEC	MF	CAPITAL INCOME BUILDER-A	B	100.00	5.00	0.00	5.00	70.0	3.50
10/01/2018	04000580926	LEWISFELEC	MF	THE INCOME FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	70.0	3.50
10/05/2018	04000711016	WILLIAMS, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/05/2018	04000711016	WILLIAMS, MICHAEL	MF	THE NEW ECONOMY FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	04000718508	CARTER, SHANE	MF	FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	04000718508	CARTER, SHANE	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	04000718508	CARTER, SHANE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	04000718508	CARTER, SHANE	MF	THE NEW ECONOMY FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
* MUTUAL FUNDS SUBTOTAL *						\$5,350.00	\$133.27	\$0.00	\$133.27	\$93.32	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018	(None)	VARIOUS, OFFITT	MFT	OPPENHEIMER	B	0.00	2.18	0.00	2.18	70.0	1.53
10/01/2018	00060451120	JACKSON, LAURIE	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	14.06	0.00	14.06	70.0	9.84
10/01/2018	00067212328	GEORGE C CARLISLE	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	1.15	0.00	1.15	70.0	0.81
10/05/2018	00655762862	HIBBLER, ADRIANA	MFT	FIDELITY ADVISOR BIOTECH FD CL	B	0.00	8.69	0.00	8.69	70.0	6.08
10/05/2018	00655762862	HIBBLER, ADRIANA	MFT	FIDELITY ADVISOR VALUE	B	0.00	4.14	0.00	4.14	70.0	2.90
10/01/2018	00671943693	MAYS, GARY	MFT	INVESCO GOVT MONEY MARKET FUND	B	0.00	0.91	0.00	0.91	70.0	0.64
10/01/2018	00671943926	WILHITE, DANIEL	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.27	0.00	0.27	70.0	0.19
10/01/2018	00671943926	WILHITE, DANIEL	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.47	0.00	0.47	70.0	0.33

COMMISSION STATEMENT for MARIE OFFITT-D047

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/01/2018 00671959438	OLUTA A GBASIN,	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.53	0.00	0.53	70.0	0.37
10/01/2018 00671997678	MCQUIDDY, LINDA	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.89	0.00	0.89	70.0	0.62
10/01/2018 00672001122	GASTON, ANGEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.76	0.00	1.76	70.0	1.23
10/01/2018 00672001122	GASTON, ANGEL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	3.75	0.00	3.75	70.0	2.63
10/01/2018 00672001122	GASTON, ANGEL	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	2.18	0.00	2.18	70.0	1.53
10/01/2018 00672001122	GASTON, ANGEL	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	2.18	0.00	2.18	70.0	1.53
10/01/2018 00672048323	IGE, ABEL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.45	0.00	0.45	70.0	0.32
10/01/2018 00672090643	WILLIAMS, EUDITH	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.30	0.00	0.30	70.0	0.21
10/01/2018 00672090643	WILLIAMS, EUDITH	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.74	0.00	0.74	70.0	0.52
10/01/2018 00672200148	SHAW, FERRELL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	5.40	0.00	5.40	70.0	3.78
10/01/2018 08187857253	HIBBLER, ADRIANA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	10.55	0.00	10.55	70.0	7.39
10/01/2018 08187857257	HIBBLER, ADRIANA	MFT MFS CORE EQUITY FUND-A	B	0.00	9.65	0.00	9.65	70.0	6.76
10/01/2018 08187857261	HIBBLER, ADRIANA	MFT MASS INVESTORS GROWTH STOCK	B	0.00	9.94	0.00	9.94	70.0	6.96
10/01/2018 08191006046	JACKSON, DERRICK	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	12.23	0.00	12.23	70.0	8.56
10/01/2018 08800698412	LOWE JT TEN,	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.21	0.00	0.21	70.0	0.15
10/01/2018 08800798873	SHARON D MCKIBBEN	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	2.13	0.00	2.13	70.0	1.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$94.76	\$0.00	\$94.76		\$66.37
*** DIRECT TRANSACTIONS TOTAL ***				\$5,350.00	\$228.03	\$0.00	\$228.03		\$159.69

BROKER COMMISSION TOTALS

Broker Transactions	\$159.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5.64
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$154.05
Year-to-date Commissions	\$6,311.23