



# COMMISSION STATEMENT

## December 24, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/14/2018	***-**-579I - FREDERICK, ACCOUNT #: 00001676185	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	3.74	0.00	3.74	70.0	2.62
12/14/2018	***-**-492I - SELL, MICHAEL ACCOUNT #: 00001722478	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	1.91	0.00	1.91	70.0	1.34
12/14/2018	***-**-280I - CURD, NANCY ACCOUNT #: 00001860711	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	70.0	0.77
12/14/2018	***-**-837I - CURTIN, PAUL ACCOUNT #: 00002116172	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	70.0	1.49
12/14/2018	***-**-180I - GOODMILLER, ACCOUNT #: 00002330736	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	3.87	0.00	3.87	70.0	2.71
12/14/2018	***-**-36IN - PISARIK, MICHAEL ACCOUNT #: 00001840495	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	4.78	0.00	4.78	70.0	3.35
12/14/2018	***-**-9040 - WATSON, MARY ACCOUNT #: 00002400791	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	9.08	0.00	9.08	70.0	6.36
12/14/2018	***-**-4036 - PISARIK, MICHAEL ACCOUNT #: 00002493848	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	70.0	0.34
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$27.09</b>	<b>\$0.00</b>	<b>\$27.09</b>		<b>\$18.98</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$27.09</b>	<b>\$0.00</b>	<b>\$27.09</b>		<b>\$18.98</b>



**BROKER #:** 698  
**BRANCH #**01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions\$18.98								
Split Transactions\$0.00								
Override Transactions\$0.00								
Adjustments\$0.00								
Payroll Draw\$0.00								
Base Salary\$0.00								
Prior Period Balance\$98.99								
FINRA Assessment\$0.00								
SIPC Assessment\$0.00								
Net Commission Earned\$117.97								
Year-to-date Earnings\$66,081.82								