



# COMMISSION STATEMENT

## December 10, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/03/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @28.8200						
12/03/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @62.1900						
12/03/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	1,000.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 72 Units @13.9100						
12/06/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 125 Units @9.9900						
12/03/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @54.8300						
12/03/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 8 Units @23.6600						
12/03/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @48.3900						
* MUTUAL FUNDS SUBTOTAL *			\$2,850.00	\$28.51	\$0.00	\$28.51		\$24.25
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/10/2018	***-**-30IN - STRAUB, JANE	SAMMONS LIVEWELL MUTUAL FUND	0.00	13.11	0.00	13.11	85.0	11.14
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$13.11	\$0.00	\$13.11		\$11.14
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/03/2018	***-**-859I - CAMPBELL, GERALD	ING ADVANTAGE SELECT	0.00	16.62	0.00	16.62	85.0	14.13
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
11/30/2018	***-**-36IR - HERDSON, JOHN	JACKSON ELITE ACCESS E	0.00	38.66	0.00	38.66	85.0	32.86
	ACCOUNT #: 1012521924	BUY 0 Units @0.0000						
11/30/2018	***-**-632I - BURG, THERESA	JACKSON ELITE ACCESS E	0.00	68.56	0.00	68.56	85.0	58.28
	ACCOUNT #: 1015747249	BUY 0 Units @0.0000						
11/30/2018	***-**-648I - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	19.79	0.00	19.79	85.0	16.82
	ACCOUNT #: 1015810986	BUY 0 Units @0.0000						
11/30/2018	***-**-305I - WAGNER, KEITH	JACKSON ELITE ACCESS E	0.00	47.94	0.00	47.94	85.0	40.75
	ACCOUNT #: 1015946705	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
11/30/2018	***-**-48IN - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	36.24	0.00	36.24	85.0	30.80
	ACCOUNT #: 1019931044	BUY 0 Units @0.0000						
11/30/2018	***-**-597I - LEAR, DIANE	JACKSON ELITE ACCESS OPTION A	0.00	17.82	0.00	17.82	85.0	15.15
	ACCOUNT #: 1014504202	BUY 0 Units @0.0000						
11/30/2018	***-**-36IN - CROWL, LINDA	JACKSON ELITE ACCESS OPTION A	0.00	203.98	0.00	203.98	85.0	173.38
	ACCOUNT #: 1016264217	BUY 0 Units @0.0000						
11/30/2018	***-**-94IR - VITALE, LAURIE	JACKSON ELITE ACCESS OPTION A	0.00	15.12	0.00	15.12	85.0	12.85
	ACCOUNT #: 1016299231	BUY 0 Units @0.0000						
11/30/2018	***-**-20IN - GARMAN, KARL	JACKSON ELITE ACCESS OPTION A	0.00	7.52	0.00	7.52	85.0	6.39
	ACCOUNT #: 1017012386	BUY 0 Units @0.0000						
11/30/2018	***-**-53IN - SHOCKEY, JEFFREY	JACKSON NATIONAL PERSPECTIVE II	0.00	35.67	0.00	35.67	85.0	30.32
	ACCOUNT #: 1014308870	BUY 0 Units @0.0000						
11/30/2018	***-**-113I - PIERMANI, JOHN	JACKSON NATIONAL PERSPECTIVE II	0.00	48.37	0.00	48.37	85.0	41.11
	ACCOUNT #: 1014761337	BUY 0 Units @0.0000						
11/30/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	44.54	0.00	44.54	85.0	37.86
	ACCOUNT #: 1006011280	BUY 0 Units @0.0000						
11/30/2018	***-**-85IN - GRAHAM, BARBARA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	53.89	0.00	53.89	85.0	45.81
	ACCOUNT #: 1012657141	BUY 0 Units @0.0000						
11/30/2018	***-**-814I - MCKENNA, DONNA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	144.67	0.00	144.67	85.0	122.97
	ACCOUNT #: 1013003528	BUY 0 Units @0.0000						
11/30/2018	***-**-400I - ROMIG, MARK	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	58.00	0.00	58.00	85.0	49.30
	ACCOUNT #: 1013168853	BUY 0 Units @0.0000						
11/30/2018	***-**-276I - GASSWINT, SUSAN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	76.46	0.00	76.46	85.0	64.99
	ACCOUNT #: 1013258658	BUY 0 Units @0.0000						
11/30/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	62.40	0.00	62.40	85.0	53.04
	ACCOUNT #: 1013442998	BUY 0 Units @0.0000						
11/30/2018	***-**-227I - ZELLERS,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	57.72	0.00	57.72	85.0	49.06
	ACCOUNT #: 1013578113	BUY 0 Units @0.0000						
11/30/2018	***-**-364J - SHIFFLET,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	54.29	0.00	54.29	85.0	46.15
	ACCOUNT #: 1013759851	BUY 0 Units @0.0000						
11/30/2018	***-**-697I - ENEDY, EMILY	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	19.91	0.00	19.91	85.0	16.92
	ACCOUNT #: 1014092982	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
11/30/2018	***-**-33IN - KNOBL, LISA	PERSPECTIVE II DTCC	0.00	30.31	0.00	30.31	85.0	25.76
	ACCOUNT #: 1018661027	BUY 0 Units @0.0000						
11/30/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	207.55	0.00	207.55	85.0	176.42
	ACCOUNT #: 1018787969	BUY 0 Units @0.0000						
11/30/2018	***-**-52IN - KUNKEL, RAY	PERSPECTIVE II DTCC	0.00	107.95	0.00	107.95	85.0	91.76
	ACCOUNT #: 1018799355	BUY 0 Units @0.0000						
11/30/2018	***-**-99IR - BUCHANAN, STEVEN	PERSPECTIVE II DTCC	0.00	38.83	0.00	38.83	85.0	33.01
	ACCOUNT #: 1018892479	BUY 0 Units @0.0000						
11/30/2018	***-**-400I - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	52.05	0.00	52.05	85.0	44.24
	ACCOUNT #: 1019057603	BUY 0 Units @0.0000						
11/30/2018	***-**-949I - KLOKIS, DENISE	PERSPECTIVE II DTCC	0.00	33.09	0.00	33.09	85.0	28.13
	ACCOUNT #: 1019390361	BUY 0 Units @0.0000						
11/30/2018	***-**-962I - KLOKIS, ROBERT	PERSPECTIVE II DTCC	0.00	32.93	0.00	32.93	85.0	27.99
	ACCOUNT #: 1019390434	BUY 0 Units @0.0000						
11/30/2018	***-**-74IN - MCLAUGHLIN, JOHN	PERSPECTIVE II DTCC	0.00	84.36	0.00	84.36	85.0	71.71
	ACCOUNT #: 1019656124	BUY 0 Units @0.0000						
11/30/2018	***-**-00IN - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	32.10	0.00	32.10	85.0	27.29
	ACCOUNT #: 1020004262	BUY 0 Units @0.0000						
11/30/2018	***-**-14IN - MCKENNA, DONNA	PERSPECTIVE II DTCC	0.00	38.23	0.00	38.23	85.0	32.50
	ACCOUNT #: 1020006044	BUY 0 Units @0.0000						
11/30/2018	***-**-508I - FREY, DONALD	PERSPECTIVE II DTCC	0.00	11.20	0.00	11.20	85.0	9.52
	ACCOUNT #: 1020119299	BUY 0 Units @0.0000						
11/30/2018	***-**-30IR - STRAUB, FBO MRS	PERSPECTIVE II DTCC	0.00	45.83	0.00	45.83	85.0	38.96
	ACCOUNT #: 1020163875	BUY 0 Units @0.0000						
11/30/2018	***-**-35IN - TOBIN, MAUREEN	PERSPECTIVE II DTCC	0.00	44.79	0.00	44.79	85.0	38.07
	ACCOUNT #: 1020192220	BUY 0 Units @0.0000						
11/30/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	95.88	0.00	95.88	85.0	81.50
	ACCOUNT #: 1020250050	BUY 0 Units @0.0000						
11/30/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	98.75	0.00	98.75	85.0	83.94
	ACCOUNT #: 1020329722	BUY 0 Units @0.0000						
11/30/2018	***-**-881I - HOUSER, LORI	PERSPECTIVE II DTCC	0.00	178.38	0.00	178.38	85.0	151.62
	ACCOUNT #: 1020346309	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
11/30/2018	***-**-1013 - LOYCH, ALICE	PERSPECTIVE II DTCC	0.00	182.72	0.00	182.72	85.0	155.31
	ACCOUNT #: 1020374345	BUY 0 Units @0.0000						
11/30/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	89.96	0.00	89.96	85.0	76.47
	ACCOUNT #: 1020404619	BUY 0 Units @0.0000						
11/30/2018	***-**-31IN - REED, JACK	PERSPECTIVE II DTCC	0.00	41.80	0.00	41.80	85.0	35.53
	ACCOUNT #: 1020415033	BUY 0 Units @0.0000						
11/30/2018	***-**-44IR - REED, GENEVIEVE	PERSPECTIVE II DTCC	0.00	50.51	0.00	50.51	85.0	42.93
	ACCOUNT #: 1020415050	BUY 0 Units @0.0000						
11/30/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	43.62	0.00	43.62	85.0	37.08
	ACCOUNT #: 1020415157	BUY 0 Units @0.0000						
11/30/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	44.10	0.00	44.10	85.0	37.49
	ACCOUNT #: 1020415165	BUY 0 Units @0.0000						
11/30/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	75.18	0.00	75.18	85.0	63.90
	ACCOUNT #: 1020419535	BUY 0 Units @0.0000						
11/30/2018	***-**-20IN - GARMAN, KARL	PERSPECTIVE II DTCC	0.00	38.79	0.00	38.79	85.0	32.97
	ACCOUNT #: 1020579915	BUY 0 Units @0.0000						
11/30/2018	***-**-305I - WAGNER, KEITH	PERSPECTIVE II DTCC	0.00	45.96	0.00	45.96	85.0	39.07
	ACCOUNT #: 1020614664	BUY 0 Units @0.0000						
11/30/2018	***-**-545P - GAROFALO,	PERSPECTIVE II DTCC	0.00	45.62	0.00	45.62	85.0	38.78
	ACCOUNT #: 1020697977	BUY 0 Units @0.0000						
11/30/2018	***-**-67IN - REAM, PATRICIA	PERSPECTIVE II DTCC	0.00	26.02	0.00	26.02	85.0	22.12
	ACCOUNT #: 1020748156	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,944.68</b>	<b>\$0.00</b>	<b>\$2,944.68</b>		<b>\$2,503.01</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,850.00</b>	<b>\$2,986.30</b>	<b>\$0.00</b>	<b>\$2,986.30</b>		<b>\$2,538.40</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,538.40
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$96.29
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,634.69
						Year-to-date Earnings		\$118,083.54