



All Companies  
**COMMISSION STATEMENT**  
**January 02, 2019**

12/28/2018  
Page No. 1

RAY WALKER  
P. O. Box 88

BROKER #: 2954  
BRANCH: HOME OFFICE

Cranfills Gap, TX 76637-

COMMISSION STATEMENT for RAY WALKER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	287.06	287.06	0.00	287.06	85.0	244.00
* STOCKS SUBTOTAL *				<u>\$287.06</u>	<u>\$287.06</u>	<u>\$0.00</u>	<u>\$287.06</u>		<u>\$244.00</u>

PRODUCT CATEGORY: RIA

10/11/2018	25597640 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	488.00	0.00	488.00	85.0	414.80
10/11/2018	26246524 JOSE, JOHN STUART	SIGNAL SECURITIES RIA	B	0.00	26.66	0.00	26.66	85.0	22.66
10/11/2018	26706109 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	181.00	0.00	181.00	85.0	153.85
10/11/2018	34585794 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	85.0	73.95
10/11/2018	35483887 LEE, KATIE LYNN	SIGNAL SECURITIES RIA	B	0.00	1,255.00	0.00	1,255.00	85.0	1,066.75
10/11/2018	37021536 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	4,547.34	0.00	4,547.34	85.0	3,865.24
10/11/2018	40184316 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	538.34	0.00	538.34	85.0	457.59
10/11/2018	44016824 MARTIN, AIMEE WILSON	SIGNAL SECURITIES RIA	B	0.00	184.66	0.00	184.66	85.0	156.96
10/11/2018	44459525 MILLIANS, DAN R & SHARON	SIGNAL SECURITIES RIA	B	0.00	366.34	0.00	366.34	85.0	311.39
10/11/2018	45082495 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	148.00	0.00	148.00	85.0	125.80
10/11/2018	45866447 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	238.34	0.00	238.34	85.0	202.59
10/11/2018	50342706 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	1,446.66	0.00	1,446.66	85.0	1,229.66
10/11/2018	51306592 MILLIANS, SHARON S.	SIGNAL SECURITIES RIA	B	0.00	706.34	0.00	706.34	85.0	600.39
10/11/2018	58909071 RICE, CHRISTEL E	SIGNAL SECURITIES RIA	B	0.00	77.66	0.00	77.66	85.0	66.01
10/11/2018	66032684 MILES O'LOUGHLIN, MARITAL TRUST	SIGNAL SECURITIES RIA	B	0.00	1,358.00	0.00	1,358.00	85.0	1,154.30
10/11/2018	70019589 CLANCY, LISE A	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	85.0	11.90
10/11/2018	72530120 KELTNER, LARISA	SIGNAL SECURITIES RIA	B	0.00	573.66	0.00	573.66	85.0	487.61
10/11/2018	75505044 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	143.34	0.00	143.34	85.0	121.84

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
10/11/2018	78212467 ALVARADO, ANNA KINGSLEY	SIGNAL SECURITIES RIA	B	0.00	603.34	0.00	603.34	85.0	512.84
10/11/2018	79494777 MACLEAN, CAROL JEAN	SIGNAL SECURITIES RIA	B	0.00	80.34	0.00	80.34	85.0	68.29
10/11/2018	86198628 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34	85.0	20.69
10/11/2018	91894239 PRICE, MICHAEL D	SIGNAL SECURITIES RIA	B	0.00	85.00	0.00	85.00	85.0	72.25
10/11/2018	97572617 RALPH, JEAN M	SIGNAL SECURITIES RIA	B	0.00	224.66	0.00	224.66	85.0	190.96
10/11/2018	99078640 O'LOUGHLIN, LESLIE WILSON	SIGNAL SECURITIES RIA	B	0.00	861.34	0.00	861.34	85.0	732.14
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$14,259.36</u>	<u>\$0.00</u>	<u>\$14,259.36</u>		<u>\$12,120.46</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$287.06</u>	<u>\$14,546.42</u>	<u>\$0.00</u>	<u>\$14,546.42</u>		<u>\$12,364.46</u>
<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: WALKER/MOYNIHAN #9609</u></b>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	200.00	100.00	0.00	100.00	85.0	85.00
* WALKER/MOYNIHAN SUBTOTAL *				<u>\$200.00</u>	<u>\$100.00</u>	<u>\$0.00</u>	<u>\$100.00</u>		<u>\$85.00</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$200.00</u>	<u>\$100.00</u>	<u>\$0.00</u>	<u>\$100.00</u>		<u>\$85.00</u>
<b><u>ADJUSTMENTS</u></b>									
01/02/2019		CABLE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-35.00
01/02/2019		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-10.00
01/02/2019		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-13.50
01/02/2019		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		10.00
01/02/2019		MISC: LONG DISTANCE CHARGES		0.00	0.00	0.00	0.00		-25.00
01/02/2019		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-21.59
01/02/2019		POSTAGE: POSTAGE		0.00	0.00	0.00	0.00		-5.54
01/02/2019		RENT: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-225.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-325.63</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$12,364.46
Split Transactions	\$85.00
Override Transactions	\$0.00
Adjustments	\$-325.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$12,123.83
Year-to-date Earnings	\$12,123.83