

**COMMISSION STATEMENT**

October 15, 2018

JOYCE BRISKIN-D009

919 Arizona Ash

BROKER #: D009

BRANCH: DMO

San Antonio, TX 78232

**COMMISSION STATEMENT for JOYCE BRISKIN-D009**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
10/05/2018 (None) NIX		MF NEST ADVISOR	B	200.00	6.00	0.00	6.00	90.0	5.40
10/05/2018 (None) NIX		MF NEST ADVISOR	B	400.00	12.00	0.00	12.00	90.0	10.80
10/05/2018 (None) SULTEMEIER, FARRON		MF NEST ADVISOR	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018 00551287150 SMITH,		MF PUTNAM 529 AGE-BASED 2001-A	B	500.00	10.00	0.00	10.00	90.0	9.00
09/17/2018 00551287155 SMITH,		MF PUTNAM 529 AGE-BASED 2000-A	B	500.00	10.00	0.00	10.00	90.0	9.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,650.00</b>	<b>\$38.50</b>	<b>\$0.00</b>	<b>\$38.50</b>		<b>\$34.65</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/30/2018 (None) VARIOUS, BRISKIN		MFT HARTFORD MUTUAL FUIND	B	0.00	16.44	0.00	16.44	90.0	14.80
09/30/2018 (None) VARIOUS, BRISKIN		MFT NEST ADVISOR	B	0.00	22.79	0.00	22.79	90.0	20.51
09/30/2018 (None) VARIOUS, BRISKIN		MFT OPPENHEIMER	B	0.00	28.79	0.00	28.79	90.0	25.91
09/04/2018 00000112453 STRUNK, VANESSA		MFT THORNBURG INTERNATIONAL VALUE	B	0.00	1.10	0.00	1.10	90.0	0.99
09/04/2018 00000112453 STRUNK, VANESSA		MFT THORNBURG CORE GROWTH CL A	B	0.00	1.76	0.00	1.76	90.0	1.58
09/04/2018 00000112453 STRUNK, VANESSA		MFT THORNBURG INTERNATIONAL VALUE	B	0.00	3.30	0.00	3.30	90.0	2.97
09/04/2018 00000112453 STRUNK, VANESSA		MFT THORNBURG CORE GROWTH CL C	B	0.00	5.06	0.00	5.06	90.0	4.55
09/04/2018 00000113382 CUPIT-BROUGHT,		MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	1.93	0.00	1.93	90.0	1.74
09/04/2018 00000113382 CUPIT-BROUGHT,		MFT THORNBURG INVESTMENT INCOME	B	0.00	2.74	0.00	2.74	90.0	2.47
09/04/2018 00000113382 CUPIT-BROUGHT,		MFT THORNBURG CORE GROWTH CL A	B	0.00	2.91	0.00	2.91	90.0	2.62
09/04/2018 00000113382 CUPIT-BROUGHT,		MFT VALUE A	B	0.00	2.81	0.00	2.81	90.0	2.53
09/04/2018 00000113664 JACQUELINE J		MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.95	0.00	8.95	90.0	8.06
09/04/2018 00000113664 JACQUELINE J		MFT THORNBURG INVESTMENT INCOME	B	0.00	17.09	0.00	17.09	90.0	15.38
09/04/2018 00000113664 JACQUELINE J		MFT THORNBURG CORE GROWTH CL A	B	0.00	15.77	0.00	15.77	90.0	14.19
09/04/2018 00000113664 JACQUELINE J		MFT VALUE A	B	0.00	15.08	0.00	15.08	90.0	13.57
09/04/2018 00000113666 JACQUELINE J		MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.95	0.00	8.95	90.0	8.06
09/04/2018 00000113666 JACQUELINE J		MFT THORNBURG INVESTMENT INCOME	B	0.00	17.09	0.00	17.09	90.0	15.38
09/04/2018 00000113666 JACQUELINE J		MFT THORNBURG CORE GROWTH CL A	B	0.00	15.77	0.00	15.77	90.0	14.19
09/04/2018 00000113666 JACQUELINE J		MFT VALUE A	B	0.00	15.08	0.00	15.08	90.0	13.57
09/04/2018 00000113668 JACQUELINE J		MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.95	0.00	8.95	90.0	8.06
09/04/2018 00000113668 JACQUELINE J		MFT THORNBURG INVESTMENT INCOME	B	0.00	17.09	0.00	17.09	90.0	15.38
09/04/2018 00000113668 JACQUELINE J		MFT THORNBURG CORE GROWTH CL A	B	0.00	15.77	0.00	15.77	90.0	14.19
09/04/2018 00000113668 JACQUELINE J		MFT VALUE A	B	0.00	15.08	0.00	15.08	90.0	13.57

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09/04/2018 00000113670	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.95	0.00	8.95	90.0	8.06
09/04/2018 00000113670	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	17.09	0.00	17.09	90.0	15.38
09/04/2018 00000113670	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.77	0.00	15.77	90.0	14.19
09/04/2018 00000113670	JACQUELINE J	MFT VALUE A	B	0.00	15.08	0.00	15.08	90.0	13.57
09/04/2018 00000114566	JACQUELINE J	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	2.65	0.00	2.65	90.0	2.39
09/04/2018 00000114566	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	1.67	0.00	1.67	90.0	1.50
09/04/2018 00000114566	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.38	0.00	2.38	90.0	2.14
09/04/2018 00000114566	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.89	0.00	2.89	90.0	2.60
09/04/2018 00000114566	JACQUELINE J	MFT VALUE A	B	0.00	2.90	0.00	2.90	90.0	2.61
09/04/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	0.86	0.00	0.86	90.0	0.77
09/04/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG INVESTMENT INCOME	B	0.00	0.35	0.00	0.35	90.0	0.32
09/04/2018 00000188402	DIAZ, LETICIA	MFT VALUE A	B	0.00	0.92	0.00	0.92	90.0	0.83
09/04/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	108.63	0.00	108.63	90.0	97.77
09/04/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	62.07	0.00	62.07	90.0	55.86
09/04/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INVESTMENT INCOME	B	0.00	93.33	0.00	93.33	90.0	84.00
09/04/2018 00000188591	SMITH, DANIEL	MFT THORNBURG CORE GROWTH CL A	B	0.00	124.26	0.00	124.26	90.0	111.83
09/04/2018 00000188591	SMITH, DANIEL	MFT VALUE A	B	0.00	91.71	0.00	91.71	90.0	82.54
10/01/2018 00002998870	REMICK, NORMA	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.72	0.00	0.72	90.0	0.65
10/01/2018 00046474340	KIMBRELL, NANCY	MFT PUTNAM 746745108	B	0.00	39.77	0.00	39.77	90.0	35.79
10/01/2018 00060655993	SARSEP,	MFT MFS LIMITED MATURITY FUND-A	B	0.00	0.08	0.00	0.08	90.0	0.07
09/04/2018 00203705761	MORGAN, FRANK	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	13.38	0.00	13.38	90.0	12.04
09/04/2018 00203705761	MORGAN, FRANK	MFT THORNBURG INVESTMENT INCOME	B	0.00	18.60	0.00	18.60	90.0	16.74
09/04/2018 00203705761	MORGAN, FRANK	MFT THORNBURG CORE GROWTH CL A	B	0.00	21.38	0.00	21.38	90.0	19.24
09/04/2018 00203705761	MORGAN, FRANK	MFT VALUE A	B	0.00	20.29	0.00	20.29	90.0	18.26
09/04/2018 00203706218	LAMBERT,	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	32.46	0.00	32.46	90.0	29.21
09/04/2018 00203706218	LAMBERT,	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	15.68	0.00	15.68	90.0	14.11
09/04/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	17.93	0.00	17.93	90.0	16.14
09/04/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG INVESTMENT INCOME	B	0.00	50.00	0.00	50.00	90.0	45.00
09/04/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG CORE GROWTH CL A	B	0.00	16.45	0.00	16.45	90.0	14.81
09/04/2018 00203715420	LEWIS, ELIZABETH	MFT VALUE A	B	0.00	15.32	0.00	15.32	90.0	13.79
10/01/2018 00551152260	NANCY D KIMBRELL	MFT PUTNAM 746745108	B	0.00	0.66	0.00	0.66	90.0	0.59
10/01/2018 00551231899	E RUSSELL LAMBERT	MFT PUTNAM 529 AGE-BASED 2009-A	B	0.00	6.78	0.00	6.78	90.0	6.10
10/01/2018 00551231902	E RUSSELL LAMBERT	MFT PUTNAM 529 AGE-BASED 2006-A	B	0.00	6.46	0.00	6.46	90.0	5.81
10/01/2018 00551231904	A ELIZABETH H	MFT PUTNAM 529 AGE-BASED 2008-A	B	0.00	6.75	0.00	6.75	90.0	6.08
10/01/2018 00551231907	A ELIZABETH H	MFT PUTNAM 529 AGE-BASED 2006-A	B	0.00	6.43	0.00	6.43	90.0	5.79
10/01/2018 00551245484	NANCY D KIMBRELL,	MFT PUTNAM 529 AGE-BASED 2008-A	B	0.00	19.63	0.00	19.63	90.0	17.67
10/01/2018 00551274544	JULIET E BRISKIN,	MFT PUTNAM 529 AGE-BASED 2005-A	B	0.00	6.80	0.00	6.80	90.0	6.12
10/01/2018 00551287150	SMITH,	MFT PUTNAM 529 AGE-BASED 2001-A	B	0.00	88.04	0.00	88.04	90.0	79.24
10/01/2018 00551287155	SMITH,	MFT PUTNAM 529 AGE-BASED 2000-A	B	0.00	81.31	0.00	81.31	90.0	73.18
10/01/2018 03810399026	IKERD, AARON	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	7.66	0.00	7.66	90.0	6.89
10/01/2018 03810613137	SULTEMEIER,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	15.76	0.00	15.76	90.0	14.18
10/01/2018 03810613137	SULTEMEIER,	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	14.40	0.00	14.40	90.0	12.96
10/01/2018 04028967521	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.89	0.00	8.89	90.0	8.00
10/01/2018 04028967521	MARTIN, CHERYL	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	1.72	0.00	1.72	90.0	1.55

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10/01/2018 04028968248	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.44	0.00	8.44	90.0	7.60
10/01/2018 04028968248	MARTIN, CHERYL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.43	0.00	8.43	90.0	7.59
10/01/2018 05054640809	PAULSON, NANCY	MFT AIM CHARTER FUND - CLASS A	B	0.00	36.55	0.00	36.55	90.0	32.90
09/04/2018 05400002892	LAMBERT JT TEN,	MFT THORNBURG INVESTMENT INCOME	B	0.00	99.68	0.00	99.68	90.0	89.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,498.26	\$0.00	\$1,498.26		\$1,348.44
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
09/28/2018 (None)	KIMBRELL, NANCY	VAT ALLIANZ LIFE	B	0.00	31.42	0.00	31.42	90.0	28.28
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$31.42	\$0.00	\$31.42		\$28.28
*** DIRECT TRANSACTIONS TOTAL ***				\$1,650.00	\$1,568.18	\$0.00	\$1,568.18		\$1,411.37

BROKER COMMISSION TOTALS

Broker Transactions	\$1,411.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,411.37
Year-to-date Commissions	\$74,126.13