



COMMISSION STATEMENT

October 22, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/15/2018	***-**-134I - KOONTZ, JOSEPH	PL PORTFOLIO OPTIMIZATION AGGRESSIV	200.01	9.50	0.00	9.50	70.0	6.65
	ACCOUNT #: LSR012089	BUY 11 Units @17.8800						
10/17/2018	***-**-18IN - SANCHEZ, THERESA	RESOURCE REAL ESTATES-NFS	12,000.00	660.00	18.00	642.00	70.0	449.40
	ACCOUNT #: LSR010749	BUY 1089 Units @11.0200						
10/17/2018	***-**-889I - BAILLARGEON,	RESOURCE REAL ESTATES-NFS	30,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012333	SELL 2887 Units @10.3900						
10/17/2018	***-**-714O - MAYERSON, STEVEN	RESOURCE REAL ESTATES-NFS	8,286.08	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR012678	SELL 798 Units @10.3900						
* MUTUAL FUNDS SUBTOTAL *			\$50,486.09	\$687.50	\$54.00	\$633.50		\$438.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/08/2018	***-**-1609 - GUARNA, ANNA	JACKSON NAT PERS II OPT A 0-80	60,000.00	4,200.00	0.00	4,200.00	70.0	2,940.00
		BUY 1 Units @100000.000						
10/05/2018	***-**-918I - SANCHEZ, THERESA	PACIFIC CHOICE ADDITIONAL DEPOSIT	12,751.80	701.35	0.00	701.35	70.0	490.95
		BUY 1 Units @12000.0000						
10/15/2018	***-**-1609 - GUARNA, ANNA	PERSPECTIVE II DTCC	40,000.00	2,800.00	0.00	2,800.00	70.0	1,960.00
	ACCOUNT #: 1021745827	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$112,751.80	\$7,701.35	\$0.00	\$7,701.35		\$5,390.95
<u>PRODUCT CATEGORY: RIA</u>								
10/22/2018	***-**-15CL - BRODER, CLIENTS	ASSETMARK QRTLY PLATFORM MAINTENANC	0.00	-125.00	0.00	-125.00	70.0	-87.50
		BUY 1 Units @0.0000						
10/23/2018	***-**-44FB - ADDONA, MARIO	GPS ACCUMULATION, PROFILE 5, GROWTH	14,514.82	54.87	0.00	54.87	70.0	38.41
	ACCOUNT #: 3394862	BUY 0 Units @145.1482						
10/23/2018	***-**-87FB - AMANTE, JAMES	GLOBAL MARKET BLEND, PROFILE 5, GRO	116,437.25	293.42	0.00	293.42	70.0	205.39
	ACCOUNT #: 3479710	BUY 0 Units @1164.3725						
* RIA SUBTOTAL *			\$130,952.07	\$223.29	\$0.00	\$223.29		\$156.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/12/2018	***-**-57IR - SOCHA, CYNTHIA	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.43	0.00	2.43	70.0	1.70
	ACCOUNT #: 00002019641	BUY 0 Units @0.0000						
10/12/2018	***-**-118I - PIRULLI, DEBORAH	STRATEGIC INCOME A	0.00	4.92	0.00	4.92	70.0	3.44
	ACCOUNT #: 00002034826	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$7.35	\$0.00	\$7.35		\$5.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/16/2018	***-**-18IN - SANCHEZ, THERESA PACIFIC LIFE VA COMM	BUY 0 Units @0.0000	0.00	15.26	0.00	15.26	70.0	10.68
	ACCOUNT #: VM15002308							
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$15.26	\$0.00	\$15.26		\$10.68
		*** BROKER TRANSACTIONS TOTAL ***	\$294,189.96	\$8,634.75	\$54.00	\$8,580.75		\$6,001.12

BROKER COMMISSION TOTALS

Broker Transactions	\$6,001.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$6,001.12
Year-to-date Earnings	\$37,167.04