

Bill Payment Stub

Check Date:	3/7/2018
Check No.:	ACH
Check Amount:	19.20

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: SCOTT A. SICKLES
4200 RIDGECREST CIRCLE SUITE A4
AMARILLO, TX 79109

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/28/2018	Bill	COMMISSIONS	139.20	139.20		139.20
3/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

February 28, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/06/2018 368371617	GRAVES, WILLIAM	S KITE REALTY GROUP TR NEW	S	-481.24	35.00	15.00	20.00	75.0	15.00
* STOCKS SUBTOTAL *				\$-481.24	\$35.00	\$15.00	\$20.00		\$15.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/22/2018 mfc500587	SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.96	0.00	0.96	75.0	0.72
02/22/2018 mfc500587	SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	8.33	0.00	8.33	75.0	6.25
02/22/2018 mfc500587	SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	8.00	0.00	8.00	75.0	6.00
02/22/2018 mfc500587	SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.14	0.00	4.14	75.0	3.11
02/22/2018 mfc500587	SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.22	0.00	0.22	75.0	0.17
02/22/2018 mfc500587	SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	12.90	0.00	12.90	75.0	9.68
02/22/2018 mfc500587	SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	24.00	0.00	24.00	75.0	18.00
02/22/2018 mfc500587	SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	23.26	0.00	23.26	75.0	17.45
02/22/2018 mfc500587	SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.53	0.00	5.53	75.0	4.15
02/22/2018 mfc500587	SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.07	0.00	1.07	75.0	0.80
02/22/2018 mfc500587	SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.60	0.00	0.60	75.0	0.45
02/22/2018 mfc500587	SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	24.77	0.00	24.77	75.0	18.58
02/22/2018 mfc500587	SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.19	0.00	9.19	75.0	6.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$122.97	\$0.00	\$122.97		\$92.25
*** CLEARING TRANSACTIONS TOTAL ***				\$-481.24	\$157.97	\$15.00	\$142.97		\$107.25

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/20/2018 000000000701021	BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
02/15/2018 000000001042631	WEIR, DEBBIE	MF FRK AGGRESSIVE GRWTH - CL A	B	250.00	9.38	0.00	9.38	75.0	7.04
02/20/2018 000000012372684	GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
02/20/2018 000019817879772	BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
02/20/2018 000060177110343	BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
02/23/2018 00089635528	BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	75.0	7.50
02/20/2018 04000032754	SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
02/20/2018	04000032754	SPIVA, HOLLY	MF	EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
02/20/2018	04000032754	SPIVA, HOLLY	MF	FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
02/20/2018	04000032754	SPIVA, HOLLY	MF	NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
02/20/2018	04000032754	SPIVA, HOLLY	MF	THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
02/20/2018	04000032754	SPIVA, HOLLY	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
02/15/2018	04000638082	PEREZ, GEORGIA	MF	THE NEW ECONOMY FUND-C	B	1,500.00	15.00	0.00	15.00	75.0	11.25
02/23/2018	04000650197	MONFORT, RONALD	MF	AMCAP FUND-A	B	150.00	7.50	0.00	7.50	75.0	5.63
02/23/2018	04000650197	MONFORT, RONALD	MF	THE GROWTH FUND OF AMERICA-A	B	150.00	7.50	0.00	7.50	75.0	5.63
02/23/2018	04000650593	MONFORT, TINA	MF	RTMT INCOME PORTFOLIO	B	300.00	15.00	0.00	15.00	75.0	11.25
02/23/2018	04000650593	MONFORT, TINA	MF	RTMT INCOME PORTFOLIO	B	10.00	0.50	0.00	0.50	75.0	0.38
* MUTUAL FUNDS SUBTOTAL *						\$3,860.00	\$75.89	\$0.00	\$75.89		\$56.95
*** DIRECT TRANSACTIONS TOTAL ***						\$3,860.00	\$75.89	\$0.00	\$75.89		\$56.95

ADJUSTMENTS

02/28/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$164.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$139.20
Year-to-date Commissions	\$1,297.31