

COMMISSION STATEMENT

November 15, 2018

JIM HUGHES-D355

BROKER #: D355

BRANCH: DMV

, TX

COMMISSION STATEMENT for JIM HUGHES-D355

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/31/2018	000000000950016	STRINGFELLOW, MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	31.03	0.00	31.03	80.0	24.82
10/31/2018	0000000002863381	KARR, LARRY MFT MUTUAL SHRS FND - CL C	B	0.00	22.38	0.00	22.38	80.0	17.90
10/31/2018	0000000003183249	KARR, LARRY MFT TEMP GRWTH FND - CL C	B	0.00	17.63	0.00	17.63	80.0	14.10
10/31/2018	0000000005468766	KARR, LARRY MFT FRANKLIN INCOME FUND CLASS C	B	0.00	15.07	0.00	15.07	80.0	12.06
10/31/2018	0000000006172934	BURNE, CLAUL MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.02	0.00	0.02	80.0	0.02
10/31/2018	000011627691699	PAUL HUGHES MFT FRANKLIN FEDERAL TAX FREE	B	0.00	31.60	0.00	31.60	80.0	25.28
10/31/2018	000013015792697	PAUL HUGHES MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	54.37	0.00	54.37	80.0	43.50
10/31/2018	000050118341539	FRANCES MFT FRK AGE HIGH INC FND - CL A	B	0.00	17.33	0.00	17.33	80.0	13.86
10/31/2018	000050118341770	BISHOP, MFT FRK AGE HIGH INC FND - CL A	B	0.00	11.20	0.00	11.20	80.0	8.96
10/31/2018	000050118341818	PEGGY CANNON MFT FRK AGE HIGH INC FND - CL A	B	0.00	32.00	0.00	32.00	80.0	25.60
10/31/2018	000050118341820	PAUL HUGHES MFT FRK AGE HIGH INC FND - CL A	B	0.00	28.08	0.00	28.08	80.0	22.46
10/31/2018	000050118342012	POPHAM, MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.00	0.00	4.00	80.0	3.20
10/31/2018	000050118342024	RAYMOND, MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.69	0.00	4.69	80.0	3.75
10/31/2018	000050118342048	STIVENDER, MFT FRK AGE HIGH INC FND - CL A	B	0.00	5.83	0.00	5.83	80.0	4.66
10/31/2018	000050118342149	BRADEN, MFT FRK AGE HIGH INC FND - CL A	B	0.00	27.61	0.00	27.61	80.0	22.09
10/31/2018	000050118343800	MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.79	0.00	3.79	80.0	3.03
10/31/2018	000050118343990	LANDA KARR MFT FRK AGE HIGH INC FND - CL A	B	0.00	30.92	0.00	30.92	80.0	24.74
10/31/2018	000050118346009	FLOY L OWENS, MFT FRK AGE HIGH INC FND - CL A	B	0.00	6.79	0.00	6.79	80.0	5.43
10/31/2018	000050118353116	CYNTHIA MFT FRK AGE HIGH INC FND - CL A	B	0.00	23.44	0.00	23.44	80.0	18.75
10/31/2018	000060177850102	MIJARCARLB MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	0.73	0.00	0.73	80.0	0.58
10/31/2018	000060177871702	BAILEY, JAN MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	82.67	0.00	82.67	80.0	66.14
10/31/2018	000060177872161	JAN BAILEY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	98.27	0.00	98.27	80.0	78.62
10/31/2018	000060177875384	FRANCES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	39.73	0.00	39.73	80.0	31.78
10/31/2018	000060177876069	BISHOP, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	40.55	0.00	40.55	80.0	32.44
10/31/2018	000060177876083	PEGGY CANNON MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	69.06	0.00	69.06	80.0	55.25
10/31/2018	000060177876095	PAUL HUGHES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	74.29	0.00	74.29	80.0	59.43
10/31/2018	000060177876107	PAUL HUGHES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	113.06	0.00	113.06	80.0	90.45
10/31/2018	000060177876475	BRAWLEY, JOY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.83	0.00	18.83	80.0	15.06
10/31/2018	000060177876501	CANNON, PEGGY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.84	0.00	4.84	80.0	3.87
10/31/2018	000060177876513	DOVER, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	8.62	0.00	8.62	80.0	6.90
10/31/2018	000060177876537	FANNING, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	16.51	0.00	16.51	80.0	13.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/31/2018	000060177876564	HOPKINS, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	13.19	0.00	13.19	80.0	10.55
10/31/2018	000060177876588	HUGHES, JAMES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	9.74	0.00	9.74	80.0	7.79
10/31/2018	000060177876602	POPHAM, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	15.91	0.00	15.91	80.0	12.73
10/31/2018	000060177876614	RAYMOND, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.77	0.00	18.77	80.0	15.02
10/31/2018	000060177876626	HUGHES, JAMES MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	28.40	0.00	28.40	80.0	22.72
10/31/2018	000060177876640	STIVENDER, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	25.00	0.00	25.00	80.0	20.00
10/31/2018	000060177876665	STIVENDER, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.89	0.00	24.89	80.0	19.91
10/31/2018	000060177876956	BAILEY, JAN MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	30.79	0.00	30.79	80.0	24.63
10/31/2018	000060177876968	BISHOP, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	12.13	0.00	12.13	80.0	9.70
10/31/2018	000060177877109	BISHOP, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.80	0.00	24.80	80.0	19.84
10/31/2018	000060177877135	BOULET, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	61.63	0.00	61.63	80.0	49.30
10/31/2018	000060177877174	BRADEN, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	69.09	0.00	69.09	80.0	55.27
10/31/2018	000060177877198	HUGHES, RITA MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	22.60	0.00	22.60	80.0	18.08
10/31/2018	000060177877200	KELLY, MARK MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	26.60	0.00	26.60	80.0	21.28
10/31/2018	000060177877301	MEDLEY, DONNA MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	29.35	0.00	29.35	80.0	23.48
10/31/2018	000060177877592	POLLOCK, JOHN MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.84	0.00	6.84	80.0	5.47
10/31/2018	000060177879685	SEXTON, BRIAN MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	16.76	0.00	16.76	80.0	13.41
10/31/2018	000060177882337	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	3.31	0.00	3.31	80.0	2.65
10/31/2018	000060177883075	KARR, LANDA MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.61	0.00	10.61	80.0	8.49
10/31/2018	000060177883099	LANDA KARR MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	31.62	0.00	31.62	80.0	25.30
10/31/2018	000060177883669	PAULA K MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.32	0.00	5.32	80.0	4.26
10/31/2018	000060177883683	HUGHES, PAULA MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	38.78	0.00	38.78	80.0	31.02
10/31/2018	000060177884026	HOUGH, DAVID MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	31.71	0.00	31.71	80.0	25.37
10/31/2018	000060177885637	FOMBY, GARY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	17.78	0.00	17.78	80.0	14.22
10/31/2018	000060177885652	FOMBY, GARY & MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	17.86	0.00	17.86	80.0	14.29
10/31/2018	000060177886490	SHARON COX, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.06	0.00	18.06	80.0	14.45
10/31/2018	000060177889407	FLOY L OWENS, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	8.77	0.00	8.77	80.0	7.02
10/31/2018	000060177892136	SHEPHERD, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.06	0.00	10.06	80.0	8.05
10/31/2018	000060177919147	CYNTHIA MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	38.74	0.00	38.74	80.0	30.99
10/31/2018	000060177944253	BAILEY, SCOTT MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	7.00	0.00	7.00	80.0	5.60
10/31/2018	000060177944277	BOULWARE, AMY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.77	0.00	4.77	80.0	3.82
10/31/2018	000060177984651	KOTZ, JARRED MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	14.83	0.00	14.83	80.0	11.86
10/31/2018	000060178020851	ACOSTA, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	1.89	0.00	1.89	80.0	1.51
10/31/2018	000060178020863	KARR, LARRY MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.97	0.00	5.97	80.0	4.78
10/31/2018	000060178020875	SANDERS, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	0.52	0.00	0.52	80.0	0.42
10/31/2018	000060178020887	STRINGFELLOW, MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	2.93	0.00	2.93	80.0	2.34
10/31/2018	000080193319957	BAILEY, JAN MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	64.10	0.00	64.10	80.0	51.28
10/31/2018	000080193320151	JAN BAILEY MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	94.66	0.00	94.66	80.0	75.73
10/31/2018	000080193323284	BISHOP, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	23.88	0.00	23.88	80.0	19.10
10/31/2018	000080193323334	BRADEN, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	38.85	0.00	38.85	80.0	31.08
10/31/2018	000080193328107	HOUGH, DAVID MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	29.36	0.00	29.36	80.0	23.49
10/31/2018	000080193329174	FOMBY, GARY MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	18.14	0.00	18.14	80.0	14.51
10/31/2018	000080193376526	BISHOP, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	38.10	0.00	38.10	80.0	30.48
10/31/2018	000080193376603	HUGHES, JAMES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.10	0.00	9.10	80.0	7.28

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10/31/2018	000080193376639	LANDA KARR MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	29.65	0.00	29.65	80.0	23.72
10/31/2018	000080193376641	MEDLEY, DONNA MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	31.79	0.00	31.79	80.0	25.43
10/31/2018	000080193380536	PEGGY CANNON MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	59.28	0.00	59.28	80.0	47.42
10/31/2018	000080193380548	FOMBY, GARY & MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	15.93	0.00	15.93	80.0	12.74
10/31/2018	000080193380551	PAUL HUGHES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	104.79	0.00	104.79	80.0	83.83
10/31/2018	000080193380563	PAUL HUGHES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	35.04	0.00	35.04	80.0	28.03
10/31/2018	000080193380587	HUGHES, JAMES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.69	0.00	8.69	80.0	6.95
10/31/2018	000080193380599	HUGHES, PAULA MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	25.01	0.00	25.01	80.0	20.01
10/31/2018	000080193409135	BURNE, CLAUL MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	13.06	0.00	13.06	80.0	10.45
10/31/2018	000080193414921	KOTZ, JARRED MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	14.06	0.00	14.06	80.0	11.25
10/31/2018	000080193414933	POPHAM, JAMES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	3.63	0.00	3.63	80.0	2.90
10/31/2018	000080193414945	POPHAM, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	3.63	0.00	3.63	80.0	2.90
10/31/2018	000080193454545	ACOSTA, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	1.59	0.00	1.59	80.0	1.27
10/31/2018	000080193454558	CYNTHIA MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.82	0.00	8.82	80.0	7.06
10/31/2018	000080193454560	KARR, LARRY MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	5.01	0.00	5.01	80.0	4.01
10/31/2018	000080193454596	STRINGFELLOW, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.46	0.00	2.46	80.0	1.97
10/31/2018	000080193454646	STIVENDER, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.94	0.00	2.94	80.0	2.35
10/31/2018	000090237684460	ADAMSJOSCN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.55	0.00	4.55	80.0	3.64
10/31/2018	000090239555837	JAN BAILEY MFT FRANKLIN INCOME FUND CLASS A	B	0.00	61.75	0.00	61.75	80.0	49.40
10/31/2018	000090239563872	BISHOP, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	32.92	0.00	32.92	80.0	26.34
10/31/2018	000090239563985	PEGGY CANNON MFT FRANKLIN INCOME FUND CLASS A	B	0.00	43.31	0.00	43.31	80.0	34.65
10/31/2018	000090239563997	PAUL HUGHES MFT FRANKLIN INCOME FUND CLASS A	B	0.00	84.36	0.00	84.36	80.0	67.49
10/31/2018	000090239564710	CANNON, PEGGY MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.22	0.00	5.22	80.0	4.18
10/31/2018	000090239564734	FANNING, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	11.25	0.00	11.25	80.0	9.00
10/31/2018	000090239564773	HOPKINS, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.20	0.00	5.20	80.0	4.16
10/31/2018	000090239564809	HUGHES, JAMES MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.68	0.00	8.68	80.0	6.94
10/31/2018	000090239564823	POPHAM, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.93	0.00	5.93	80.0	4.74
10/31/2018	000090239564847	RAYMOND, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.08	0.00	4.08	80.0	3.26
10/31/2018	000090239564850	HUGHES, JAMES MFT FRANKLIN INCOME FUND CLASS A	B	0.00	11.03	0.00	11.03	80.0	8.82
10/31/2018	000090239564900	STIVENDER, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	14.71	0.00	14.71	80.0	11.77
10/31/2018	000090239564948	STIVENDER, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.97	0.00	5.97	80.0	4.78
10/31/2018	000090239565624	BISHOP, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.30	0.00	6.30	80.0	5.04
10/31/2018	000090239565776	BISHOP, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.38	0.00	17.38	80.0	13.90
10/31/2018	000090239565814	BOULET, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	29.33	0.00	29.33	80.0	23.46
10/31/2018	000090239565903	BRADEN, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	41.74	0.00	41.74	80.0	33.39
10/31/2018	000090239565992	HUGHES, RITA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	9.20	0.00	9.20	80.0	7.36
10/31/2018	000090239566018	KELLY, MARK MFT FRANKLIN INCOME FUND CLASS A	B	0.00	10.15	0.00	10.15	80.0	8.12
10/31/2018	000090239566119	MEDLEY, DONNA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	21.95	0.00	21.95	80.0	17.56
10/31/2018	000090239566715	POLLOCK, JOHN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.83	0.00	3.83	80.0	3.06
10/31/2018	000090239567349	BAILEY, JAN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	46.68	0.00	46.68	80.0	37.34
10/31/2018	000090239570648	SEXTON, BRIAN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.51	0.00	7.51	80.0	6.01
10/31/2018	000090239577011	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.85	0.00	2.85	80.0	2.28
10/31/2018	000090239578722	KARR, LANDA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.39	0.00	4.39	80.0	3.51
10/31/2018	000090239578761	LANDA KARR MFT FRANKLIN INCOME FUND CLASS A	B	0.00	31.70	0.00	31.70	80.0	25.36

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10/31/2018 000090239580221	PAULA K	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.98	0.00	1.98	80.0	1.58
10/31/2018 000090239580245	HUGHES, PAULA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	23.93	0.00	23.93	80.0	19.14
10/31/2018 000090239584508	FOMBY, GARY &	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.58	0.00	7.58	80.0	6.06
10/31/2018 000090239586390	SHARON COX,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.45	0.00	8.45	80.0	6.76
10/31/2018 000090239592101	FLOY L OWENS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.14	0.00	7.14	80.0	5.71
10/31/2018 000090239653380	CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	38.68	0.00	38.68	80.0	30.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,943.26	\$0.00	\$2,943.26		\$2,354.55
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$2,943.26	\$0.00	\$2,943.26		\$2,354.55

BROKER COMMISSION TOTALS

Broker Transactions	\$2,354.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00