

COMMISSION STATEMENT

September 30, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: P71 P72
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/30/2018	QGV001100	AND EDWARD FRENCH		CANOPY GROWTH CORP COM ISIN# CA1380 S	-9,104.48	73.00	0.00	73.00	40.0 29.20
08/31/2018	QGV001100	AND EDWARD FRENCH		ARENA PHARMACEUTICALS INC COMMON ST B	7,759.98	73.00	0.00	73.00	40.0 29.20
08/31/2018	QGV001100	AND EDWARD FRENCH		CRISPR THERAPEUTICS AG NAMEN-AKT IS B	17,254.47	73.00	0.00	73.00	40.0 29.20
08/31/2018	QGV001100	AND EDWARD FRENCH		MITEK SYS INC COM NEW S	-14,230.00	73.00	0.00	73.00	40.0 29.20
08/31/2018	QGV001100	AND EDWARD FRENCH		NEON THERAPEUTICS INC COM S	-11,732.90	73.00	0.00	73.00	40.0 29.20
09/10/2018	QGV001100	AND EDWARD FRENCH		GOOSEHEAD INS INC COM CL A B	13,441.36	73.00	0.00	73.00	40.0 29.20
09/10/2018	QGV001100	AND EDWARD FRENCH		IOVANCE BIOTHERAPEUTICS INC COM S	-11,484.40	73.00	0.00	73.00	40.0 29.20
09/12/2018	QGV001100	AND EDWARD FRENCH		NAMASTE TECHNOLOGIES INC SHS ISIN#C B	2,759.50	73.00	0.00	73.00	40.0 29.20
09/13/2018	QGV001100	AND EDWARD FRENCH		AXOGEN INC COM S	-33,977.10	73.00	0.00	73.00	40.0 29.20
09/18/2018	QGV001100	AND EDWARD FRENCH		ACXIOM CORP COM B	9,700.28	73.00	0.00	73.00	40.0 29.20
09/18/2018	QGV001100	AND EDWARD FRENCH		CARGURUS INC CL A B	10,539.00	73.00	0.00	73.00	40.0 29.20
09/18/2018	QGV001100	AND EDWARD FRENCH		PETIQ INC COM CL A B	21,127.60	73.00	0.00	73.00	40.0 29.20
09/18/2018	QGV001100	AND EDWARD FRENCH		VIPER ENERGY PARTNERS LP COM UNIT R B	7,997.00	73.00	0.00	73.00	40.0 29.20
09/19/2018	QGV001100	AND EDWARD FRENCH		TILRAY INC COM B	7,575.00	73.00	0.00	73.00	40.0 29.20
09/19/2018	QGV001100	AND EDWARD FRENCH		TILRAY INC COM B	-7,575.00	-73.00	0.00	-73.00	40.0 -29.20 C
08/31/2018	QGW002180	FRENCH, JOHN		CV SCIENCES INC COM B	4,270.00	73.00	0.00	73.00	40.0 29.20
08/31/2018	QGW002180	FRENCH, JOHN		GREEN THUMB INDS INC SUB VTG SHS IS B	5,810.80	73.00	0.00	73.00	40.0 29.20
08/31/2018	QGW002180	FRENCH, JOHN		MEDMEN ENTERPRISES INC CL B ISIN#CA B	4,139.80	73.00	0.00	73.00	40.0 29.20
09/11/2018	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S	-722.16	13.68	0.00	13.68	40.0 5.47
09/11/2018	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S	-47.94	0.91	0.00	0.91	40.0 0.36
09/11/2018	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S	-1,917.76	36.50	0.00	36.50	40.0 14.60
09/11/2018	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S	-382.03	7.30	0.00	7.30	40.0 2.92
09/11/2018	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S	-764.05	14.61	0.00	14.61	40.0 5.84
09/11/2018	QGW002180	FRENCH, JOHN		GLAUKOS CORP COM B	12,813.52	73.00	0.00	73.00	40.0 29.20
09/11/2018	QGW002180	FRENCH, JOHN		GOOSEHEAD INS INC COM CL A B	6,933.52	73.00	0.00	73.00	40.0 29.20
09/11/2018	QGW002180	FRENCH, JOHN		HEXO CORP COM ISIN#CA4283041099 B	2,421.36	29.20	0.00	29.20	40.0 11.68
09/11/2018	QGW002180	FRENCH, JOHN		HEXO CORP COM ISIN#CA4283041099 B	3,632.04	43.80	0.00	43.80	40.0 17.52
09/11/2018	QGW002180	FRENCH, JOHN		IANTHUS CAPITAL HOLDINGS INC SHS IS B	6,828.00	73.00	0.00	73.00	40.0 29.20
09/11/2018	QGW002180	FRENCH, JOHN		INTRICON CORP COM S	-30,845.00	73.00	0.00	73.00	40.0 29.20
09/14/2018	QGW002180	FRENCH, JOHN		MY SIZE INC COM B	1,379.90	73.00	0.00	73.00	40.0 29.20
09/14/2018	QGW002180	FRENCH, JOHN		MY SIZE INC COM B	2,779.80	73.00	0.00	73.00	40.0 29.20

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2018	QGW002180	FRENCH, JOHN	MY SIZE INC COM	B	-1,379.90	-73.00	0.00	-73.00	40.0 -29.20 C
09/18/2018	QGW002180	FRENCH, JOHN	GREEN THUMB INDS INC SUB VTG SHS IS	B	4,316.07	73.00	0.00	73.00	40.0 29.20
09/19/2018	QGW002180	FRENCH, JOHN	TILRAY INC COM	B	7,575.00	73.00	0.00	73.00	40.0 29.20
09/20/2018	QGW002180	FRENCH, JOHN	TILRAY INC COM	S	-10,777.50	73.00	0.00	73.00	40.0 29.20
09/21/2018	QGW002180	FRENCH, JOHN	TILRAY INC COM	B	6,500.00	73.00	0.00	73.00	40.0 29.20
09/11/2018	QGW002404	MAHARISHI UNIVERSITY	AMAZON.COM INC	S	-27,566.00	75.00	0.00	75.00	40.0 30.00
08/31/2018	QGW002925	BATHRICK, CHARLES	ADMA BIOLOGICS INC COM	B	3,114.60	75.00	0.00	75.00	40.0 30.00
08/31/2018	QGW002925	BATHRICK, CHARLES	PFIZER INCORPORATED	B	8,277.20	75.00	0.00	75.00	40.0 30.00
09/05/2018	QGW002925	BATHRICK, CHARLES	SALESFORCE.COM INC	B	29,830.60	75.00	0.00	75.00	40.0 30.00
09/06/2018	QGW002925	BATHRICK, CHARLES	APPLIED MATERIALS INC	S	-16,298.64	75.00	0.00	75.00	40.0 30.00
09/06/2018	QGW002925	BATHRICK, CHARLES	AT&T INC	S	-9,642.03	75.00	0.00	75.00	40.0 30.00
09/06/2018	QGW002925	BATHRICK, CHARLES	VERIZON COMMUNICATIONS	S	-10,806.00	75.00	0.00	75.00	40.0 30.00
09/07/2018	QGW002925	BATHRICK, CHARLES	FORTIVE CORPORATION COM	B	8,382.20	75.00	0.00	75.00	40.0 30.00
09/10/2018	QGW002925	BATHRICK, CHARLES	T MOBILE US INC	S	-13,098.20	75.00	0.00	75.00	40.0 30.00
09/11/2018	QGW002925	BATHRICK, CHARLES	INTEL CORP	S	-9,024.78	75.00	0.00	75.00	40.0 30.00
09/12/2018	QGW002925	BATHRICK, CHARLES	NVIDIA CORP	B	26,489.39	75.00	0.00	75.00	40.0 30.00
09/13/2018	QGW002925	BATHRICK, CHARLES	NVIDIA CORP	S	-27,142.73	75.00	0.00	75.00	40.0 30.00
09/14/2018	QGW002925	BATHRICK, CHARLES	GRAFTECH INTL LTD COM	S	-7,328.32	75.00	0.00	75.00	40.0 30.00
09/14/2018	QGW002925	BATHRICK, CHARLES	SALESFORCE.COM INC	S	-31,493.00	75.00	0.00	75.00	40.0 30.00
09/18/2018	QGW002925	BATHRICK, CHARLES	BLUE BIRD CORPORATION COM	B	2,554.20	50.00	0.00	50.00	40.0 20.00
09/18/2018	QGW002925	BATHRICK, CHARLES	CIENA CORPORATION	B	6,167.24	75.00	0.00	75.00	40.0 30.00
09/19/2018	QGW002925	BATHRICK, CHARLES	SALESFORCE.COM INC	B	30,706.08	75.00	0.00	75.00	40.0 30.00
09/20/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS	B	2,769.28	75.00	0.00	75.00	40.0 30.00
09/26/2018	QGW002925	BATHRICK, CHARLES	BLACKSTONE GROUP L P (THE) COMMON U B	B	11,550.00	75.00	0.00	75.00	40.0 30.00
09/26/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS	S	-14,710.00	75.00	0.00	75.00	40.0 30.00
08/30/2018	QGW002974	CAIN, ANTHONY	ADMA BIOLOGICS INC COM	B	6,273.30	80.00	0.00	80.00	40.0 32.00
08/31/2018	QGW002974	CAIN, ANTHONY	CRONOS GROUP INC COM ISIN#CA22717L1 B	B	9,886.90	150.00	0.00	150.00	40.0 60.00
09/04/2018	QGW002974	CAIN, ANTHONY	APHRIA INC COM ISIN#CA03765K1049	B	6,924.00	125.00	0.00	125.00	40.0 50.00
09/04/2018	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B	B	6,780.00	100.00	0.00	100.00	40.0 40.00
09/04/2018	QGW002974	CAIN, ANTHONY	ORGANIGRAM HOLDINGS INC SHS ISIN#CA B	B	5,040.00	100.00	0.00	100.00	40.0 40.00
09/05/2018	QGW002974	CAIN, ANTHONY	HEXO CORP COM ISIN#CA4283041099	B	4,443.30	100.00	0.00	100.00	40.0 40.00
09/07/2018	QGW002974	CAIN, ANTHONY	APHRIA INC COM ISIN#CA03765K1049	B	7,911.80	125.00	0.00	125.00	40.0 50.00
09/11/2018	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B	B	6,576.00	150.00	0.00	150.00	40.0 60.00
09/11/2018	QGW002974	CAIN, ANTHONY	CRONOS GROUP INC COM ISIN#CA22717L1 S	S	-11,663.20	150.00	0.00	150.00	40.0 60.00
09/11/2018	QGW002974	CAIN, ANTHONY	FRONTLINE LTD SHS NEW	B	5,229.90	100.00	0.00	100.00	40.0 40.00
09/12/2018	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B	B	25,443.10	150.00	0.00	150.00	40.0 60.00
09/12/2018	QGW002974	CAIN, ANTHONY	HEXO CORP COM ISIN#CA4283041099	B	652.67	12.50	0.00	12.50	40.0 5.00
09/12/2018	QGW002974	CAIN, ANTHONY	HEXO CORP COM ISIN#CA4283041099	B	652.67	12.50	0.00	12.50	40.0 5.00
09/12/2018	QGW002974	CAIN, ANTHONY	HEXO CORP COM ISIN#CA4283041099	B	4,568.69	87.50	0.00	87.50	40.0 35.00
09/12/2018	QGW002974	CAIN, ANTHONY	HEXO CORP COM ISIN#CA4283041099	B	652.67	12.50	0.00	12.50	40.0 5.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/12/2018	QGW002974	CAIN, ANTHONY		NAMASTE TECHNOLOGIES INC SHS ISIN#C B	2,864.86	100.00	0.00	100.00	40.0	40.00
09/12/2018	QGW002974	CAIN, ANTHONY		PHILIP MORRIS INTERNATIONAL INC S	-32,167.28	200.00	0.00	200.00	40.0	80.00
09/12/2018	QGW002974	CAIN, ANTHONY		THE GREEN ORGANIC DUTCHMAN HOLDINGS B	6,126.00	150.00	0.00	150.00	40.0	60.00
09/12/2018	QGW002974	CAIN, ANTHONY		ZTO EXPRESSCAYMAN INC SPONS ADR B	16,986.80	150.00	0.00	150.00	40.0	60.00
09/13/2018	QGW002974	CAIN, ANTHONY		HEXO CORP COM ISIN#CA4283041099 S	-11,348.20	175.00	0.00	175.00	40.0	70.00
09/13/2018	QGW002974	CAIN, ANTHONY		ORGANIGRAM HOLDINGS INC SHS ISIN#CA S	-5,350.00	100.00	0.00	100.00	40.0	40.00
09/13/2018	QGW002974	CAIN, ANTHONY		YY INC ADS REPSTG COM CL A ISIN#US9 B	36,745.20	100.00	0.00	100.00	40.0	40.00
09/13/2018	QGW002974	CAIN, ANTHONY		YY INC ADS REPSTG COM CL A ISIN#US9 S	-37,280.00	100.00	0.00	100.00	40.0	40.00
09/13/2018	QGW002974	CAIN, ANTHONY		ZTO EXPRESSCAYMAN INC SPONS ADR S	-17,914.40	150.00	0.00	150.00	40.0	60.00
09/19/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515 S	-16,318.40	150.00	0.00	150.00	40.0	60.00
09/19/2018	QGW002974	CAIN, ANTHONY		GREEN THUMB INDS INC SUB VTG SHS IS B	21,056.20	150.00	0.00	150.00	40.0	60.00
09/19/2018	QGW002974	CAIN, ANTHONY		THE GREEN ORGANIC DUTCHMAN HOLDINGS S	-6,325.40	100.00	0.00	100.00	40.0	40.00
09/20/2018	QGW002974	CAIN, ANTHONY		MEDMEN ENTERPRISES INC CL B ISIN#CA B	4,950.00	100.00	0.00	100.00	40.0	40.00
09/20/2018	QGW002974	CAIN, ANTHONY		ORGANIGRAM HOLDINGS INC SHS ISIN#CA B	5,743.00	100.00	0.00	100.00	40.0	40.00
09/20/2018	QGW002974	CAIN, ANTHONY		TILRAY INC COM S	-16,476.00	100.00	0.00	100.00	40.0	40.00
09/20/2018	QGW002974	CAIN, ANTHONY		TILRAY INC COM B	18,600.00	100.00	0.00	100.00	40.0	40.00
09/21/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515 B	9,170.00	125.00	0.00	125.00	40.0	50.00
09/21/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380 B	24,750.00	150.00	0.00	150.00	40.0	60.00
09/24/2018	QGW002974	CAIN, ANTHONY		APHRIA INC COM ISIN#CA03765K1049 S	-15,510.00	150.00	0.00	150.00	40.0	60.00
09/24/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380 S	-52,092.00	250.00	0.00	250.00	40.0	100.00
09/24/2018	QGW002974	CAIN, ANTHONY		NAMASTE TECHNOLOGIES INC SHS ISIN#C S	-2,841.29	100.00	0.00	100.00	40.0	40.00
09/24/2018	QGW002974	CAIN, ANTHONY		ORGANIGRAM HOLDINGS INC SHS ISIN#CA S	-5,373.50	100.00	0.00	100.00	40.0	40.00
09/24/2018	QGW002974	CAIN, ANTHONY		PROCTER & GAMBLE CO S	-42,626.85	150.00	0.00	150.00	40.0	60.00
09/26/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515 B	9,670.00	150.00	0.00	150.00	40.0	60.00
09/26/2018	QGW002974	CAIN, ANTHONY		BLUE BIRD CORPORATION COM B	2,450.25	50.00	0.00	50.00	40.0	20.00
09/18/2018	QGW003139	HUEBNER, CAROL		BLUE BIRD CORPORATION COM B	2,559.15	50.00	0.00	50.00	40.0	20.00
09/21/2018	QGW003287	KLUTH, KENT		FORTIVE CORPORATION COM B	8,662.50	100.00	0.00	100.00	40.0	40.00
08/31/2018	QGW003345	COOKE, ROBERT		ROYAL GOLD INC B	18,968.00	75.00	0.00	75.00	40.0	30.00
09/18/2018	QGW003345	COOKE, ROBERT		BLUE BIRD CORPORATION COM B	2,559.15	50.00	0.00	50.00	40.0	20.00
09/18/2018	QGW003345	COOKE, ROBERT		TANZANIAN ROYALTY EXPLORATION CORPO B	1,900.00	75.00	0.00	75.00	40.0	30.00
09/20/2018	QGW003345	COOKE, ROBERT		TANZANIAN ROYALTY EXPLORATION CORPO B	1,728.00	75.00	0.00	75.00	40.0	30.00
09/18/2018	QGW003386	RF LAFFERTY & CO		FORTIVE CORPORATION COM B	8,523.06	100.00	0.00	100.00	40.0	40.00
09/06/2018	QGW004392	VALENTINE, OLIVIA		ALIBABA GROUP HOLDING LTD SPONSORED B	82,419.70	150.00	0.00	150.00	40.0	60.00
09/14/2018	QGW004392	VALENTINE, OLIVIA		KRAFT HEINZ COMPANY (THE) COMMON ST S	-29,502.50	150.00	0.00	150.00	40.0	60.00
09/14/2018	QGW004392	VALENTINE, OLIVIA		WAL-MART STORES INC S	-47,256.75	150.00	0.00	150.00	40.0	60.00
09/14/2018	QGW004392	VALENTINE, OLIVIA		WALT DISNEY CO S	-54,635.00	150.00	0.00	150.00	40.0	60.00
09/19/2018	QGW004392	VALENTINE, OLIVIA		BLUE BIRD CORPORATION COM B	2,484.90	50.00	0.00	50.00	40.0	20.00
09/26/2018	QGW004392	VALENTINE, OLIVIA		COMCAST CORP CL A B	17,852.50	150.00	0.00	150.00	40.0	60.00
09/12/2018	QGW004665	JOSEPH FRY		PAGSEGURO DIGITAL LTD REGISTERED SH B	26,755.00	90.00	0.00	90.00	40.0	36.00
09/26/2018	QGW004665	JOSEPH FRY		BLUE BIRD CORPORATION COM B	2,450.25	50.00	0.00	50.00	40.0	20.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/07/2018	QGW014458 B, MICHELLE	FORTIVE CORPORATION COM	B	8,379.35	75.00	0.00	75.00	40.0	30.00
09/24/2018	QGW014458 B, MICHELLE	BLUE BIRD CORPORATION COM	B	2,504.70	50.00	0.00	50.00	40.0	20.00
09/24/2018	QGW014458 B, MICHELLE	KRATOS DEFENSE & SEC SOLUTIONS	S	-7,125.00	90.00	0.00	90.00	40.0	36.00
09/24/2018	QGW020323 CUTANEO, FRANCES	BLUE BIRD CORPORATION COM	B	2,497.28	60.00	0.00	60.00	40.0	24.00
09/24/2018	QGW020372 CUTANEO, NICHOLAS	BLUE BIRD CORPORATION COM	B	2,509.65	60.00	0.00	60.00	40.0	24.00
09/17/2018	QGW020521 FORST, DANIEL	BLUE BIRD CORPORATION COM	B	2,554.20	20.00	0.00	20.00	40.0	8.00
09/07/2018	QGW021990 STEINMETZ, GEORGE	DOMO INC CL B	B	6,417.78	60.00	0.00	60.00	40.0	24.00
09/10/2018	QGW021990 STEINMETZ, GEORGE	FORTIVE CORPORATION COM	B	8,434.27	60.00	0.00	60.00	40.0	24.00
09/17/2018	QGW021990 STEINMETZ, GEORGE	GRAFTECH INTL LTD COM	S	-9,198.05	60.00	0.00	60.00	40.0	24.00
09/17/2018	QGW021990 STEINMETZ, GEORGE	SPOTIFY TECHNOLOGY SA REGISTERED SH S	S	-18,019.26	60.00	0.00	60.00	40.0	24.00
09/18/2018	QGW021990 STEINMETZ, GEORGE	INVESCO EXCHANGE- TRADED FD TR BUYB B	B	24,526.56	60.00	0.00	60.00	40.0	24.00
09/21/2018	QGW021990 STEINMETZ, GEORGE	ALPHABET INC CLASS C CAPITAL STOCK S	S	-4,702.83	60.00	0.00	60.00	40.0	24.00
09/18/2018	QGW022485 HAERINGER, JACQUES	FORTIVE CORPORATION COM	B	7,014.60	80.00	0.00	80.00	40.0	32.00
09/24/2018	QGW028359 CRUTCHER, ERIN	SEYCHELLE ENVIRONMENTAL COM	S	-658.00	60.00	0.00	60.00	40.0	24.00
09/13/2018	QGW030207 ANDERSEN, GARY	TANZANIAN ROYALTY EXPLORATION CORPO B	B	4,296.00	150.00	0.00	150.00	40.0	60.00
08/31/2018	QGW031239 CERRUTO, BARBARA	SEYCHELLE ENVIRONMENTAL COM	S	-1,600.00	75.00	0.00	75.00	40.0	30.00
09/04/2018	QGW031239 CERRUTO, BARBARA	SEYCHELLE ENVIRONMENTAL COM	S	-1,632.00	75.00	0.00	75.00	40.0	30.00
* STOCKS SUBTOTAL *				\$43,872.87	\$10,771.00	\$0.00	\$10,771.00		\$4,308.39
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/12/2018	QGW020521 FORST, DANIEL	CALL 100 KRATOS DEFENSE & SEC SOLUT S	S	-2,840.00	20.00	0.00	20.00	40.0	8.00
* OPTIONS SUBTOTAL *				\$-2,840.00	\$20.00	\$0.00	\$20.00		\$8.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018		FEE COUNT - 4		0.00	14.62	0.00	14.62	40.0	5.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$14.62	\$0.00	\$14.62		\$5.85
*** BROKER TRANSACTIONS TOTAL ***				\$41,032.87	\$10,805.62	\$0.00	\$10,805.62		\$4,322.24
<u>ADJUSTMENTS</u>									
09/30/2018		COMMISSION INCOME: QGW003287 FTV		0.00	0.00	0.00	0.00		-40.00
09/30/2018		COMMISSION INCOME: QGW003386 FTV		0.00	0.00	0.00	0.00		-40.00
09/30/2018		COMMISSION INCOME: QGW022485 FTV		0.00	0.00	0.00	0.00		-32.00
09/30/2018		COMMISSION INCOME: SACHS TRADE		0.00	0.00	0.00	0.00		46.00
09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-86.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,322.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-86.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-13.51
SIPC Assessment	\$-27.01

Check Amount	\$4,195.72
Year-to-date Earnings	\$50,294.44