

# COMMISSION STATEMENT September 30, 2018

**FERNANDO TREVINO-D077**  
 9901 IH 10 West  
 Suite 685  
 San Antonio, TX 78230

**BROKER #: D077**  
 BRANCH: DMN

## COMMISSION STATEMENT for FERNANDO TREVINO-D077

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc403239	TREVINO 403239	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	3.16	0.00	3.16	90.0	2.84
09/21/2018	mfc403239	TREVINO 403239	MFT AB INTERMEDIATE BOND C	B	0.00	5.61	0.00	5.61	90.0	5.05
09/21/2018	mfc403239	TREVINO 403239	MFT AB INTERMEDIATE BOND A	B	0.00	9.85	0.00	9.85	90.0	8.87
09/21/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD ALL MARKET INCOME FD	B	0.00	5.10	0.00	5.10	90.0	4.59
09/21/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD WEALTH APPR C	B	0.00	284.14	0.00	284.14	90.0	255.73
09/21/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD WEALTH APPR C	B	0.00	327.07	0.00	327.07	90.0	294.36
09/21/2018	mfc403239	TREVINO 403239	MFT ALL VALUE FUND CLASS A	B	0.00	7.88	0.00	7.88	90.0	7.09
09/21/2018	mfc403239	TREVINO 403239	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.44	0.00	0.44	90.0	0.40
09/21/2018	mfc403239	TREVINO 403239	MFT BLK 091928549	B	0.00	10.66	0.00	10.66	90.0	9.59
09/21/2018	mfc403239	TREVINO 403239	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	3.75	0.00	3.75	90.0	3.38
09/21/2018	mfc403239	TREVINO 403239	MFT DELAWARE EXTENDED DURATION BD	B	0.00	51.01	0.00	51.01	90.0	45.91
09/21/2018	mfc403239	TREVINO 403239	MFT DELAWARE TAX-FREE USA FUND A	B	0.00	63.82	0.00	63.82	90.0	57.44
09/21/2018	mfc403239	TREVINO 403239	MFT DREYFUS AMT-FREE MUNI BD FD A	B	0.00	21.49	0.00	21.49	90.0	19.34
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	12.02	0.00	12.02	90.0	10.82
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE FLOATING RATE FD CL	B	0.00	26.63	0.00	26.63	90.0	23.97
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD MULTICAP	B	0.00	95.55	0.00	95.55	90.0	86.00
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD EQ ASSET	B	0.00	64.03	0.00	64.03	90.0	57.63
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD INTL GROWTH	B	0.00	45.60	0.00	45.60	90.0	41.04
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MANAGED VALUE	B	0.00	65.21	0.00	65.21	90.0	58.69
09/21/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD SMALL CAP	B	0.00	32.56	0.00	32.56	90.0	29.30
09/21/2018	mfc403239	TREVINO 403239	MFT EHV 277902813	B	0.00	0.71	0.00	0.71	90.0	0.64
09/21/2018	mfc403239	TREVINO 403239	MFT EHV 277911467	B	0.00	92.29	0.00	92.29	90.0	83.06
09/21/2018	mfc403239	TREVINO 403239	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.70	0.00	0.70	90.0	0.63
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	0.63	0.00	0.63	90.0	0.57
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.50	0.00	1.50	90.0	1.35
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.50	0.00	1.50	90.0	1.35
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.06	0.00	6.06	90.0	5.45
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.06	0.00	6.06	90.0	5.45
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	49.29	0.00	49.29	90.0	44.36
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	2.10	0.00	2.10	90.0	1.89
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	2.10	0.00	2.10	90.0	1.89

COMMISSION STATEMENT for FERNANDO TREVINO-D077

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	12.01	0.00	12.01	90.0	10.81
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	1.13	0.00	1.13	90.0	1.02
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	3.50	0.00	3.50	90.0	3.15
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	14.99	0.00	14.99	90.0	13.49
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	36.97	0.00	36.97	90.0	33.27
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH &	B	0.00	39.09	0.00	39.09	90.0	35.18
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR MORTGAGE SEC	B	0.00	29.47	0.00	29.47	90.0	26.52
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.85	0.00	0.85	90.0	0.77
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.96	0.00	0.96	90.0	0.86
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	4.46	0.00	4.46	90.0	4.01
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	7.81	0.00	7.81	90.0	7.03
09/21/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	27.35	0.00	27.35	90.0	24.62
09/21/2018	mfc403239	TREVINO 403239	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	32.19	0.00	32.19	90.0	28.97
09/21/2018	mfc403239	TREVINO 403239	MFT FRK TEMP MONEY FND - CL C	B	0.00	1.30	0.00	1.30	90.0	1.17
09/21/2018	mfc403239	TREVINO 403239	MFT MFS GOVERNMENT SECURITIES	B	0.00	9.56	0.00	9.56	90.0	8.60
09/21/2018	mfc403239	TREVINO 403239	MFT MORGAN STANLEY INST GBL OPPTY	B	0.00	0.34	0.00	0.34	90.0	0.31
09/21/2018	mfc403239	TREVINO 403239	MFT NUVEEN ALL AMERICAN MUNI BD FD	B	0.00	39.14	0.00	39.14	90.0	35.23
09/21/2018	mfc403239	TREVINO 403239	MFT PIMCO LONG TERM US GOVT FD CL A	B	0.00	9.73	0.00	9.73	90.0	8.76
09/21/2018	mfc403239	TREVINO 403239	MFT PIMCO LOW DURATION FD CL A	B	0.00	0.92	0.00	0.92	90.0	0.83
09/21/2018	mfc403239	TREVINO 403239	MFT PIMCO MUNI BOND FD CL A	B	0.00	21.71	0.00	21.71	90.0	19.54
09/21/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	0.21	0.00	0.21	90.0	0.19
09/21/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL SHORT TERM CORP BOND	B	0.00	11.65	0.00	11.65	90.0	10.49
09/21/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL NATIONAL MUNI FUND	B	0.00	31.04	0.00	31.04	90.0	27.94
09/21/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL QMA STOCK INDEX FD A	B	0.00	0.30	0.00	0.30	90.0	0.27
09/21/2018	mfc403239	TREVINO 403239	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	22.31	0.00	22.31	90.0	20.08
09/21/2018	mfc403239	TREVINO 403239	MFT TCW TOTAL RETURN BOND FD CL N	B	0.00	4.25	0.00	4.25	90.0	3.83
09/21/2018	mfc403239	TREVINO 403239	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.38	0.00	1.38	90.0	1.24
09/21/2018	mfc403239	TREVINO 403239	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.09	0.00	14.09	90.0	12.68
09/21/2018	mfc403239	TREVINO 403239	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	10.55	0.00	10.55	90.0	9.50
09/21/2018	mfc403239	TREVINO 403239	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.02	0.00	0.02	90.0	0.02
09/21/2018	mfc403239	TREVINO 403239	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.29	0.00	0.29	90.0	0.26
09/24/2018	mfc403239	TREVINO 403239	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	2.96	0.00	2.96	90.0	2.66
09/24/2018	mfc403239	TREVINO 403239	MFT AB INTERMEDIATE BOND A	B	0.00	10.57	0.00	10.57	90.0	9.51
09/24/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD ALL MARKET INCOME FD	B	0.00	4.80	0.00	4.80	90.0	4.32
09/24/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD WEALTH APPR C	B	0.00	268.25	0.00	268.25	90.0	241.43
09/24/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD WEALTH APPR C	B	0.00	308.77	0.00	308.77	90.0	277.89
09/24/2018	mfc403239	TREVINO 403239	MFT ALL VALUE FUND CLASS A	B	0.00	7.47	0.00	7.47	90.0	6.72
09/24/2018	mfc403239	TREVINO 403239	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.42	0.00	0.42	90.0	0.38
09/24/2018	mfc403239	TREVINO 403239	MFT BLACKROCK US GOVT BOND FD A	B	0.00	10.03	0.00	10.03	90.0	9.03
09/24/2018	mfc403239	TREVINO 403239	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	3.52	0.00	3.52	90.0	3.17
09/24/2018	mfc403239	TREVINO 403239	MFT DELAWARE EXTENDED DURATION BOND	B	0.00	8.01	0.00	8.01	90.0	7.21
09/24/2018	mfc403239	TREVINO 403239	MFT DELAWARE EXTENDED DURATION BD	B	0.00	16.01	0.00	16.01	90.0	14.41
09/24/2018	mfc403239	TREVINO 403239	MFT DELAWARE TAX-FREE USA FUND A	B	0.00	59.86	0.00	59.86	90.0	53.87
09/24/2018	mfc403239	TREVINO 403239	MFT DREYFUS AMT-FREE MUNI BD FD A	B	0.00	20.17	0.00	20.17	90.0	18.15

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09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	11.27	0.00	11.27	90.0	10.14
09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE FLOATING RATE FD CL	B	0.00	24.97	0.00	24.97	90.0	22.47
09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD MULTICAP	B	0.00	90.85	0.00	90.85	90.0	81.77
09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD EQ ASSET	B	0.00	60.74	0.00	60.74	90.0	54.67
09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD INTL GROWTH	B	0.00	42.94	0.00	42.94	90.0	38.65
09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MANAGED VALUE	B	0.00	61.72	0.00	61.72	90.0	55.55
09/24/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD SMALL CAP	B	0.00	30.80	0.00	30.80	90.0	27.72
09/24/2018	mfc403239	TREVINO 403239	MFT EHV 277902813	B	0.00	0.67	0.00	0.67	90.0	0.60
09/24/2018	mfc403239	TREVINO 403239	MFT EHV 277911467	B	0.00	87.77	0.00	87.77	90.0	78.99
09/24/2018	mfc403239	TREVINO 403239	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.65	0.00	0.65	90.0	0.59
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	0.60	0.00	0.60	90.0	0.54
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.43	0.00	1.43	90.0	1.29
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.43	0.00	1.43	90.0	1.29
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.75	0.00	5.75	90.0	5.18
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.75	0.00	5.75	90.0	5.18
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	46.76	0.00	46.76	90.0	42.08
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	1.99	0.00	1.99	90.0	1.79
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	1.99	0.00	1.99	90.0	1.79
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	11.36	0.00	11.36	90.0	10.22
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	1.08	0.00	1.08	90.0	0.97
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	3.35	0.00	3.35	90.0	3.02
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	14.26	0.00	14.26	90.0	12.83
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	35.17	0.00	35.17	90.0	31.65
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH &	B	0.00	37.09	0.00	37.09	90.0	33.38
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR MORTGAGE SEC	B	0.00	27.69	0.00	27.69	90.0	24.92
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.80	0.00	0.80	90.0	0.72
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.90	0.00	0.90	90.0	0.81
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	4.19	0.00	4.19	90.0	3.77
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	7.35	0.00	7.35	90.0	6.62
09/24/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	25.73	0.00	25.73	90.0	23.16
09/24/2018	mfc403239	TREVINO 403239	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	30.24	0.00	30.24	90.0	27.22
09/24/2018	mfc403239	TREVINO 403239	MFT FRK TEMP MONEY FND - CL C	B	0.00	1.22	0.00	1.22	90.0	1.10
09/24/2018	mfc403239	TREVINO 403239	MFT MFS GOVERNMENT SECURITIES	B	0.00	8.99	0.00	8.99	90.0	8.09
09/24/2018	mfc403239	TREVINO 403239	MFT MORGAN STANLEY INST GBL OPPTY	B	0.00	0.32	0.00	0.32	90.0	0.29
09/24/2018	mfc403239	TREVINO 403239	MFT NUVEEN ALL AMERICAN MUNI BD FD	B	0.00	36.73	0.00	36.73	90.0	33.06
09/24/2018	mfc403239	TREVINO 403239	MFT PIMCO LONG TERM US GOVT FD CL A	B	0.00	9.24	0.00	9.24	90.0	8.32
09/24/2018	mfc403239	TREVINO 403239	MFT PIMCO LOW DURATION FD CL A	B	0.00	0.86	0.00	0.86	90.0	0.77
09/24/2018	mfc403239	TREVINO 403239	MFT PIMCO MUNI BOND FD CL A	B	0.00	20.36	0.00	20.36	90.0	18.32
09/24/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	0.20	0.00	0.20	90.0	0.18
09/24/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL SHORT TERM CORP BOND	B	0.00	10.94	0.00	10.94	90.0	9.85
09/24/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL NATIONAL MUNI FUND	B	0.00	29.11	0.00	29.11	90.0	26.20
09/24/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL QMA STOCK INDEX FD A	B	0.00	0.29	0.00	0.29	90.0	0.26
09/24/2018	mfc403239	TREVINO 403239	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	20.94	0.00	20.94	90.0	18.85
09/24/2018	mfc403239	TREVINO 403239	MFT TCW TOTAL RETURN BOND FD CL N	B	0.00	4.00	0.00	4.00	90.0	3.60

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09/24/2018	mfc403239	TREVINO 403239	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.30	0.00	1.30	90.0	1.17
09/24/2018	mfc403239	TREVINO 403239	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.28	0.00	13.28	90.0	11.95
09/24/2018	mfc403239	TREVINO 403239	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.80	0.00	9.80	90.0	8.82
09/24/2018	mfc403239	TREVINO 403239	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.01	0.00	0.01	90.0	0.01
09/24/2018	mfc403239	TREVINO 403239	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.27	0.00	0.27	90.0	0.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,254.08	\$0.00	\$3,254.08		\$2,928.73
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$3,254.08	\$0.00	\$3,254.08		\$2,928.73

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018	00656017183	FINNEY, DEBORAH	MF	FIDELITY ADVISOR GROWTH OPPORT	B	150.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *						\$150.00	\$1.50	\$0.00	\$1.50		\$1.35
*** DIRECT TRANSACTIONS TOTAL ***						\$150.00	\$1.50	\$0.00	\$1.50		\$1.35

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	352.11
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$167.11
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$167.11

BROKER COMMISSION TOTALS

Broker Transactions	\$2,930.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$167.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,097.19
Year-to-date Commissions	\$34,519.98