



All Companies

12/28/2018  
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# COMMISSION STATEMENT January 02, 2019

EDWARD F. TATE  
4620 CUMMINGS DRIVE E

BROKER #: 9526  
BRANCH: HOME OFFICE

Fort Worth, TX 76180-

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/30/2018	MATHEW, JOHN	COLLEGEBOUND 529	B	100.00	3.50	0.00	3.50	65.0	2.28
12/18/2018	TRAILS	WELLS FARGO	B	17.03	17.03	0.00	17.03	65.0	11.07
12/17/2018	00074538041	HOLT, ROY	AMERICAN FUNDS INVESTMENT COMP OF A B	150.00	3.00	0.00	3.00	65.0	1.95
12/17/2018	00074538041	HOLT, ROY	AMERICAN FUNDS WA MUTUAL INVES B	150.00	3.00	0.00	3.00	65.0	1.95
12/17/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	1.38	0.00	1.38	65.0	0.90
12/17/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	65.0	0.90
12/17/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	65.0	0.90
12/17/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS WA MUTUAL INVES B	50.00	1.38	0.00	1.38	65.0	0.90
12/17/2018	00074976150	EVERETT JR,	WASHINGTON MUTUAL INVESTORS FUND-52 B	100.00	2.75	0.00	2.75	65.0	1.79
MICHAEL/AIDAN									
12/17/2018	00081207203	EVERETT, MORGAN	AMERICAN FUNDS INVESTMENT COMP OF A B	200.00	5.50	0.00	5.50	65.0	3.58
12/17/2018	00081329147	HAWKINS, KENZI	THE GROWTH FUND OF AMERICA-529A B	100.00	4.25	0.00	4.25	65.0	2.76
12/17/2018	00081802103	NICHOLS, ANGELA	AMERICAN FUNDS 2040 TARGET DATE-A B	75.00	3.75	0.00	3.75	65.0	2.44
12/17/2018	00081802103	NICHOLS, ANGELA	AMERICAN FUNDS CAPITAL WORLD GROWT B	175.00	8.75	0.00	8.75	65.0	5.69
12/17/2018	00084081417	EVERETT JR,	WASHINGTON MUTUAL INVESTORS FUND-52 B	100.00	2.75	0.00	2.75	65.0	1.79
MICHAEL/LUCAS									
12/12/2018	00084996018	ELSNER,	THE INVESTMENT COMPANY OF AMERICA-5 B	500.00	18.75	0.00	18.75	65.0	12.19
AUSTIN/BECKETT									
12/17/2018	00087426832	EVERETT, LUCAS	WASHINGTON MUTUAL INVESTORS FUND-52 B	100.00	2.75	0.00	2.75	65.0	1.79
12/20/2018	00088327158	HARNELL, LILIYA A.	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	5.00	0.00	5.00	65.0	3.25
12/20/2018	04001088582	DALAPATHY, SURESH	COLLEGE 2030 FUND-529A B	100.00	3.50	0.00	3.50	65.0	2.28
12/17/2018	04001088651	MADDIGATLA, USHA	AMERICAN FUNDS 2040 TARGET DATE-A B	100.00	5.00	0.00	5.00	65.0	3.25
12/11/2018	04892926623	BALLARD,	ALLIANCE PREMIER GROWTH FD CL A B	200.00	8.00	0.00	8.00	65.0	5.20
ALEXANDRIA									
12/03/2018	07900035229	LUNDREN,	ALLIANCE PREMIER GROWTH FD CL B B	100.00	4.00	0.00	4.00	65.0	2.60
TERRY/STEPHANIE									
* MUTUAL FUNDS SUBTOTAL *				\$2,567.03	\$106.80	\$0.00	\$106.80		\$69.46
*** BROKER TRANSACTIONS TOTAL ***				\$2,567.03	\$106.80	\$0.00	\$106.80		\$69.46

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ADJUSTMENTS

01/02/2019		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$69.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-58.04
Year-to-date Earnings	\$-58.04