

## COMMISSION STATEMENT

September 30, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: C30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/18/2018	QGW033466	CUSTODIAN, EMILY	BLUE BIRD CORPORATION COM	B	2,561.63	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW033466	CUSTODIAN, EMILY	IQIYI INC SPONS ADR ISIN#US46267X10	S	-4,184.56	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW033649	ARLENE M FRANCIS,	BLUE BIRD CORPORATION COM	B	2,559.15	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW033649	ARLENE M FRANCIS,	FORTIVE CORPORATION COM	B	8,659.25	75.00	6.00	69.00	80.0	54.00
09/21/2018	QGW033649	ARLENE M FRANCIS,	DUNKIN BRANDS GROUP INC	S	-21,750.00	242.19	6.00	236.19	80.0	187.75
09/21/2018	QGW033649	ARLENE M FRANCIS,	TANDEM DIABETES CARE INC COM NEW	B	8,690.00	130.00	6.00	124.00	80.0	98.00
09/24/2018	QGW033748	BROWN L, ANTHONY	COHERUS BIOSCIENCES INC COM	S	-7,962.50	100.00	6.00	94.00	80.0	74.00
09/25/2018	QGW033748	BROWN L, ANTHONY	NEOGENOMICS INC	B	7,325.40	140.00	6.00	134.00	80.0	106.00
09/24/2018	QGW033755	KIM, IN	COHERUS BIOSCIENCES INC COM	S	-8,757.98	100.00	6.00	94.00	80.0	74.00
09/25/2018	QGW033755	KIM, IN	NEOGENOMICS INC	B	8,023.95	140.00	6.00	134.00	80.0	106.00
09/14/2018	QGW033763	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10	S	-13,500.00	100.00	6.00	94.00	80.0	74.00
09/17/2018	QGW033763	LYONS, SALLY	FORTIVE CORPORATION COM	B	8,633.49	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW033763	LYONS, SALLY	BLUE BIRD CORPORATION COM	B	2,559.13	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW033763	LYONS, SALLY	FIREEYE INC COM	B	4,997.34	90.00	6.00	84.00	80.0	66.00
09/12/2018	QGW033805	LYONS, SALLY	UNITI GROUP INC COMMON STOCK	B	10,194.95	178.00	6.00	172.00	80.0	136.40
09/14/2018	QGW033805	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10	S	-10,800.00	100.00	6.00	94.00	80.0	74.00
09/19/2018	QGW033805	LYONS, SALLY	UNITI GROUP INC COMMON STOCK	S	-10,530.40	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW033805	LYONS, SALLY	FIREEYE INC COM	B	16,339.90	200.00	6.00	194.00	80.0	154.00
09/20/2018	QGW033805	LYONS, SALLY	FIREEYE INC COM	B	-16,339.90	-200.00	-6.00	-194.00	80.0	-154.00
09/20/2018	QGW033805	LYONS, SALLY	FIREEYE INC COM	B	16,339.90	200.00	6.00	194.00	80.0	154.00
09/25/2018	QGW033805	LYONS, SALLY	NEOGENOMICS INC	B	14,550.00	200.00	6.00	194.00	80.0	154.00
09/18/2018	QGW034761	SYM CZAK, STEPHEN	BLUE BIRD CORPORATION COM	B	2,556.68	50.00	6.00	44.00	80.0	34.00
09/04/2018	QGW035438	RIZZO, GIOVANNI	ATHENEX INC COM	B	16,159.90	200.00	6.00	194.00	80.0	154.00
09/17/2018	QGW035438	RIZZO, GIOVANNI	ATHENEX INC COM	S	-16,500.00	50.00	6.00	44.00	80.0	34.00
09/17/2018	QGW035438	RIZZO, GIOVANNI	FORTIVE CORPORATION COM	B	8,613.98	75.00	6.00	69.00	80.0	54.00
09/17/2018	QGW035438	RIZZO, GIOVANNI	GAMCO GLOBAL GOLD NATURAL RESOURCES	S	-13,081.50	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW035438	RIZZO, GIOVANNI	BLUE BIRD CORPORATION COM	B	2,569.05	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW035438	RIZZO, GIOVANNI	FIREEYE INC COM	B	16,550.00	200.00	6.00	194.00	80.0	154.00
09/21/2018	QGW035438	RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	S	-16,000.00	235.98	6.00	229.98	80.0	182.78
09/24/2018	QGW035438	RIZZO, GIOVANNI	SYMANTEC CORPORATION	B	10,853.20	165.00	6.00	159.00	80.0	126.00
09/25/2018	QGW036493	ARLENE M FRANCIS,	BCB BANCORP INC COM	S	-3,501.13	50.00	6.00	44.00	80.0	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
09/04/2018	QGW036584	TREIBER, NANCY							
		ATHENEX INC COM	B	16,129.00	200.00	6.00	194.00	80.0	154.00
09/12/2018	QGW036584	TREIBER, NANCY							
		CENTRAL GARDEN & PET CO CL A NON-VT S		-7,477.36	50.00	6.00	44.00	80.0	34.00
09/17/2018	QGW036584	TREIBER, NANCY							
		ATHENEX INC COM	S	-16,500.00	50.00	6.00	44.00	80.0	34.00
09/17/2018	QGW036584	TREIBER, NANCY							
		FORTIVE CORPORATION COM	B	8,613.00	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW036584	TREIBER, NANCY							
		BLUE BIRD CORPORATION COM	B	2,566.10	50.00	6.00	44.00	80.0	34.00
09/25/2018	QGW036584	TREIBER, NANCY							
		NEOGENOMICS INC	B	14,726.30	200.00	6.00	194.00	80.0	154.00
09/10/2018	QGW036592	TREIBER, NANCY							
		VUZIX CORP COM NEW	B	4,445.00	100.00	6.00	94.00	80.0	74.00
09/14/2018	QGW036592	TREIBER, NANCY							
		IQIYI INC SPONS ADR ISIN#US46267X10 S	S	-8,100.00	100.00	6.00	94.00	80.0	74.00
09/17/2018	QGW036592	TREIBER, NANCY							
		FORTIVE CORPORATION COM	B	8,621.66	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW036592	TREIBER, NANCY							
		BLUE BIRD CORPORATION COM	B	1,783.65	40.00	6.00	34.00	80.0	26.00
09/26/2018	QGW036592	TREIBER, NANCY							
		ABRAXAS PETROLEUM CORP	S	-1,185.00	50.00	6.00	44.00	80.0	34.00
09/10/2018	QGW038705	SAPIO, ROBERTA							
		ATHENEX INC COM	B	15,625.60	200.00	6.00	194.00	80.0	154.00
09/17/2018	QGW038705	SAPIO, ROBERTA							
		ATHENEX INC COM	S	-16,429.40	75.00	6.00	69.00	80.0	54.00
09/17/2018	QGW038705	SAPIO, ROBERTA							
		FORTIVE CORPORATION COM	B	8,626.76	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW038705	SAPIO, ROBERTA							
		BLUE BIRD CORPORATION COM	B	2,564.10	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW038705	SAPIO, ROBERTA							
		SPLUNK INC COM	B	11,635.94	150.00	6.00	144.00	80.0	114.00
09/10/2018	QGW038812	KOHN, ROBERT							
		ATHENEX INC COM	B	15,688.60	200.00	6.00	194.00	80.0	154.00
09/11/2018	QGW038812	KOHN, ROBERT							
		BARCLAYS BANK PLC IPATH S&P 500 VIX B		8,908.92	150.00	6.00	144.00	80.0	114.00
09/14/2018	QGW038812	KOHN, ROBERT							
		IQIYI INC SPONS ADR ISIN#US46267X10 S	S	-13,750.00	100.00	6.00	94.00	80.0	74.00
09/17/2018	QGW038812	KOHN, ROBERT							
		ATHENEX INC COM	S	-16,432.40	50.00	6.00	44.00	80.0	34.00
09/17/2018	QGW038812	KOHN, ROBERT							
		FORTIVE CORPORATION COM	B	8,629.83	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW038812	KOHN, ROBERT							
		BLUE BIRD CORPORATION COM	B	2,559.15	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW038812	KOHN, ROBERT							
		SPLUNK INC COM	B	11,633.08	150.00	6.00	144.00	80.0	114.00
09/21/2018	QGW038812	KOHN, ROBERT							
		TANDEM DIABETES CARE INC COM NEW	S	-20,000.00	288.14	6.00	282.14	80.0	224.51
09/25/2018	QGW038812	KOHN, ROBERT							
		NEOGENOMICS INC	B	14,599.90	200.00	6.00	194.00	80.0	154.00
09/17/2018	QGW039158	MASTERSON, PATRICIA							
		FORTIVE CORPORATION COM	B	8,607.03	75.00	6.00	69.00	80.0	54.00
09/17/2018	QGW039158	MASTERSON, PATRICIA							
		SHOPIFY INC CL A ISIN#CA82509L1076 S	S	-15,725.88	100.00	6.00	94.00	80.0	74.00
09/18/2018	QGW039158	MASTERSON, PATRICIA							
		BLUE BIRD CORPORATION COM	B	2,559.15	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW039158	MASTERSON, PATRICIA							
		CHIMERA INVESTMENT CORPORATION	S	-10,270.59	100.00	6.00	94.00	80.0	74.00
09/19/2018	QGW039158	MASTERSON, PATRICIA							
		SPLUNK INC COM	B	11,556.58	150.00	6.00	144.00	80.0	114.00
09/11/2018	QGW039398	RAGAZZO, RALPH							
		SPLUNK INC COM	B	12,664.21	150.00	6.00	144.00	80.0	114.00
09/12/2018	QGW039398	RAGAZZO, RALPH							
		UNITI GROUP INC COMMON STOCK	B	10,165.00	178.00	6.00	172.00	80.0	136.40
09/17/2018	QGW039398	RAGAZZO, RALPH							
		FORTIVE CORPORATION COM	B	8,617.93	75.00	6.00	69.00	80.0	54.00
09/17/2018	QGW039398	RAGAZZO, RALPH							
		SHOPIFY INC CL A ISIN#CA82509L1076 S	S	-15,711.53	100.00	6.00	94.00	80.0	74.00
09/18/2018	QGW039398	RAGAZZO, RALPH							
		BLUE BIRD CORPORATION COM	B	2,564.10	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW039398	RAGAZZO, RALPH							
		UNITI GROUP INC COMMON STOCK	S	-10,531.35	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW039398	RAGAZZO, RALPH							
		FIREEYE INC COM	B	16,640.00	200.00	6.00	194.00	80.0	154.00
09/21/2018	QGW039398	RAGAZZO, RALPH							
		CISCO SYS INC	S	-13,500.00	200.58	6.00	194.58	80.0	154.46
09/21/2018	QGW039398	RAGAZZO, RALPH							
		TANDEM DIABETES CARE INC COM NEW	B	8,690.00	130.00	6.00	124.00	80.0	98.00
09/18/2018	QGW039414	RAGAZZO, RALPH							
		FORTIVE CORPORATION COM	B	7,786.46	65.00	6.00	59.00	80.0	46.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/18/2018	QGW039414	RAGAZZO, RALPH	WHITING PETROLEUM CORPORATION COMMO S	-7,129.50	50.00	6.00	44.00	80.0	34.00	
09/04/2018	QGW039422	RAGAZZO, KATHLEEN	ATHENEX INC COM	B	16,119.30	200.00	6.00	194.00	80.0	154.00
09/10/2018	QGW039422	RAGAZZO, KATHLEEN	APHRIA INC COM ISIN#CA03765K1049	S	-7,926.50	150.00	6.00	144.00	80.0	114.00
09/11/2018	QGW039422	RAGAZZO, KATHLEEN	BARCLAYS BANK PLC IPATH S&P 500 VIX B		12,006.48	150.00	6.00	144.00	80.0	114.00
09/12/2018	QGW039422	RAGAZZO, KATHLEEN	DYNEX CAP INC COM NEW	S	-9,585.45	75.00	6.00	69.00	80.0	54.00
09/12/2018	QGW039422	RAGAZZO, KATHLEEN	UNITI GROUP INC COMMON STOCK	B	10,157.80	178.00	6.00	172.00	80.0	136.40
09/17/2018	QGW039422	RAGAZZO, KATHLEEN	FORTIVE CORPORATION COM	B	8,615.97	75.00	6.00	69.00	80.0	54.00
09/17/2018	QGW039422	RAGAZZO, KATHLEEN	SHOPIFY INC CL A ISIN#CA82509L1076	S	-15,672.79	100.00	6.00	94.00	80.0	74.00
09/17/2018	QGW039422	RAGAZZO, KATHLEEN	SOGOU INC ADR REPSTG CL A SHS ISIN#	S	-3,695.00	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW039422	RAGAZZO, KATHLEEN	BLUE BIRD CORPORATION COM	B	2,564.10	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW039422	RAGAZZO, KATHLEEN	UNITI GROUP INC COMMON STOCK	S	-10,520.05	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW039422	RAGAZZO, KATHLEEN	FIREEYE INC COM	B	16,640.00	200.00	6.00	194.00	80.0	154.00
09/24/2018	QGW039422	RAGAZZO, KATHLEEN	ATHENEX INC COM	S	-16,730.00	50.00	6.00	44.00	80.0	34.00
09/25/2018	QGW039422	RAGAZZO, KATHLEEN	NEOGENOMICS INC	B	14,549.90	200.00	6.00	194.00	80.0	154.00
09/18/2018	QGW039448	PELAK, LAVERNE	BLUE BIRD CORPORATION COM	B	2,564.10	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW039448	PELAK, LAVERNE	FORTIVE CORPORATION COM	B	8,583.29	75.00	6.00	69.00	80.0	54.00
09/18/2018	QGW039448	PELAK, LAVERNE	GAMCO GLOBAL GOLD NATURAL RESOURCES S		-4,595.00	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW039448	PELAK, LAVERNE	TEVA PHARMACEUTICAL INDUSTRIES LIM S		-7,084.08	50.00	6.00	44.00	80.0	34.00
09/11/2018	QGW039455	BIELINKI, LOUISE	SPLUNK INC COM	B	12,632.40	150.00	6.00	144.00	80.0	114.00
09/12/2018	QGW039455	BIELINKI, LOUISE	DYNEX CAP INC COM NEW	S	-12,769.60	100.00	6.00	94.00	80.0	74.00
09/12/2018	QGW039455	BIELINKI, LOUISE	UNITI GROUP INC COMMON STOCK	B	12,235.74	208.00	6.00	202.00	80.0	160.40
09/18/2018	QGW039455	BIELINKI, LOUISE	BLUE BIRD CORPORATION COM	B	2,559.15	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW039455	BIELINKI, LOUISE	UNITI GROUP INC COMMON STOCK	S	-12,612.06	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW039455	BIELINKI, LOUISE	FIREEYE INC COM	B	9,904.80	180.00	6.00	174.00	80.0	138.00
09/12/2018	QGW039463	BIELINKI, LOUISE	CISCO SYS INC	S	-3,272.85	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW039463	BIELINKI, LOUISE	BLUE BIRD CORPORATION COM	B	2,564.10	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW039463	BIELINKI, LOUISE	FORTIVE CORPORATION COM	B	8,685.17	75.00	6.00	69.00	80.0	54.00
09/24/2018	QGW039463	BIELINKI, LOUISE	CISCO SYS INC	S	-9,672.72	50.00	6.00	44.00	80.0	34.00
09/11/2018	QGW039828	BIELINKI, LOUIS	SPLUNK INC COM	B	12,616.38	150.00	6.00	144.00	80.0	114.00
09/12/2018	QGW039828	BIELINKI, LOUIS	UNITI GROUP INC COMMON STOCK	B	10,186.30	178.00	6.00	172.00	80.0	136.40
09/14/2018	QGW039828	BIELINKI, LOUIS	IQIYI INC SPONS ADR ISIN#US46267X10	S	-10,800.00	177.85	6.00	171.85	80.0	136.28
09/18/2018	QGW039828	BIELINKI, LOUIS	BLUE BIRD CORPORATION COM	B	2,569.05	50.00	6.00	44.00	80.0	34.00
09/18/2018	QGW039828	BIELINKI, LOUIS	FORTIVE CORPORATION COM	B	8,677.34	75.00	6.00	69.00	80.0	54.00
09/19/2018	QGW039828	BIELINKI, LOUIS	TANDEM DIABETES CARE INC COM NEW	B	8,080.00	130.00	6.00	124.00	80.0	98.00
09/19/2018	QGW039828	BIELINKI, LOUIS	UNITI GROUP INC COMMON STOCK	S	-10,515.00	50.00	6.00	44.00	80.0	34.00
09/20/2018	QGW039828	BIELINKI, LOUIS	TANDEM DIABETES CARE INC COM NEW	S	-8,800.00	50.00	6.00	44.00	80.0	34.00
09/24/2018	QGW039828	BIELINKI, LOUIS	TRANSOCEAN LTD US LISTED	B	6,984.35	142.00	6.00	136.00	80.0	107.60
* STOCKS SUBTOTAL *					\$145,537.59	\$11,516.74	\$636.00	\$10,880.74	\$8,577.38	

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/06/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	CATERPILLAR INC COM EXP 09 S	-213.00	50.00	6.00	44.00	34.00
09/06/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-320.00	50.00	6.00	44.00	34.00
09/19/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	TANDEM DIABETES CARE INC C B	594.00	30.00	6.00	24.00	18.00
09/17/2018	QGW033730	KIM, IN	CALL 100	PATTERSON-UTI ENERGY INC C S	-580.00	50.00	6.00	44.00	34.00
09/17/2018	QGW033730	KIM, IN	CALL 100	PATTERSON-UTI ENERGY INC C S	580.00	-50.00	-6.00	-44.00	-34.00 C
09/17/2018	QGW033730	KIM, IN	CALL 100	PATTERSON-UTI ENERGY INC C S	-580.00	50.00	6.00	44.00	34.00
09/04/2018	QGW033763	LYONS, SALLY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-129.00	50.00	6.00	44.00	34.00
09/10/2018	QGW033763	LYONS, SALLY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-265.00	50.00	6.00	44.00	34.00
09/04/2018	QGW033805	LYONS, SALLY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-176.00	50.00	6.00	44.00	34.00
09/10/2018	QGW033805	LYONS, SALLY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-212.00	50.00	6.00	44.00	34.00
09/18/2018	QGW033805	LYONS, SALLY	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-108.00	40.00	6.00	34.00	26.00
09/12/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-150.00	65.00	6.00	59.00	46.00
09/21/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD B	939.00	60.00	6.00	54.00	42.00
09/06/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	BCB BANCORP INC COM EXP 01 S	-10.00	0.00	6.00	-6.00	-6.00
09/06/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	CATERPILLAR INC COM EXP 09 S	-236.00	50.00	6.00	44.00	34.00
09/06/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	TANDEM DIABETES CARE INC C S	-460.00	50.00	6.00	44.00	34.00
09/06/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-170.00	50.00	6.00	44.00	34.00
09/24/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	TANDEM DIABETES CARE INC C S	-370.00	50.00	6.00	44.00	34.00
09/26/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	BCB BANCORP INC COM EXP 01 B	100.00	25.00	6.00	19.00	14.00
08/31/2018	QGW036519	SINGH, BHUPINDER	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-120.00	50.00	6.00	44.00	34.00
09/10/2018	QGW036584	TREIBER, NANCY	CALL 100	BARCLAYS BK PLC IPATH S&P S	-1,102.00	50.00	6.00	44.00	34.00
09/10/2018	QGW036592	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-138.00	50.00	6.00	44.00	34.00
09/10/2018	QGW036592	TREIBER, NANCY	CALL 100	VUZIX CORP COM NEW EXP 01- S	-315.00	50.00	6.00	44.00	34.00
09/17/2018	QGW038697	KOHN, SUSAN	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-225.00	50.00	6.00	44.00	34.00
09/10/2018	QGW038705	SAPIO, ROBERTA	CALL 100	VUZIX CORP COM NEW EXP 10- S	-100.00	50.00	6.00	44.00	34.00
09/04/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-150.00	50.00	6.00	44.00	34.00
09/04/2018	QGW038812	KOHN, ROBERT	CALL 100	SPOTIFY TECHNOLOGY SA REGI S	-263.00	50.00	6.00	44.00	34.00
09/10/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-155.00	50.00	6.00	44.00	34.00
09/18/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-995.00	50.00	6.00	44.00	34.00
09/19/2018	QGW038812	KOHN, ROBERT	CALL 100	BARCLAYS BK PLC IPATH S&P S	-1,065.00	59.00	6.00	53.00	41.20
09/24/2018	QGW038812	KOHN, ROBERT	CALL 100	SPOTIFY TECHNOLOGY SA REGI S	-339.00	50.00	6.00	44.00	34.00
09/17/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-350.00	50.00	6.00	44.00	34.00
09/24/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-225.00	50.00	6.00	44.00	34.00
09/11/2018	QGW039398	RAGAZZO, RALPH	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-95.00	50.00	6.00	44.00	34.00
09/24/2018	QGW039398	RAGAZZO, RALPH	CALL 100	TANDEM DIABETES CARE INC C S	-350.00	50.00	6.00	44.00	34.00
09/11/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	DROPBOX INC CL A EXP 09-21 S	-760.00	50.00	6.00	44.00	34.00
09/18/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-420.00	50.00	6.00	44.00	34.00
09/19/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	BARCLAYS BK PLC IPATH S&P S	-1,440.00	50.00	6.00	44.00	34.00
09/24/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-236.00	50.00	6.00	44.00	34.00
09/24/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-525.00	50.00	6.00	44.00	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

09/11/2018	QGW039455	BIELINKI, LOUISE	CALL 100 BOX INC CL A EXP 09-21-18@ S	-370.00	50.00	6.00	44.00	80.0	34.00
09/24/2018	QGW039463	BIELINKI, LOUISE	CALL 100 CISCO SYSTEMS INC EXP 10-1 B	689.00	50.00	6.00	44.00	80.0	34.00
09/11/2018	QGW039828	BIELINKI, LOUIS	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-260.00	50.00	6.00	44.00	80.0	34.00
09/19/2018	QGW039828	BIELINKI, LOUIS	CALL 100 SPLUNK INC COM EXP 10-05-1 S	-63.00	25.00	6.00	19.00	80.0	14.00
* OPTIONS SUBTOTAL *				\$-11,138.00	\$2,004.00	\$252.00	\$1,752.00		\$1,351.20
*** BROKER TRANSACTIONS TOTAL ***				\$134,399.59	\$13,520.74	\$888.00	\$12,632.74		\$9,928.58

ADJUSTMENTS

09/30/2018	COMMISSION INCOME: REV CLEARING CHR	0.00	0.00	0.00	0.00	90.00
09/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
09/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-68.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,928.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-68.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.90
SIPC Assessment	\$-33.80

Check Amount	\$9,809.88
Year-to-date Earnings	\$121,024.35