



COMMISSION STATEMENT

December 10, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/03/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	39.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00087877714	BUY 1 Units @34.0000						
12/03/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @34.0000						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @27.3800						
12/07/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	35.14	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: 00089949168	BUY 2 Units @14.0700						
12/07/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.0700						
12/07/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 5 Units @14.3700						
12/07/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @13.7300						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.2500						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @45.9200						
12/03/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 1 Units @50.9500						
12/03/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 3 Units @50.9500						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @37.7600						
12/03/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	13.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 00087877714	BUY 0 Units @63.5300						
12/03/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @63.5300						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @56.9800						
12/03/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	16.25	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00087877714	BUY 0 Units @56.7700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/03/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @56.7700						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @45.7800						
12/03/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 4 Units @12.7300						
12/03/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.7300						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.4800						
12/03/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 1 Units @54.2700						
12/03/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @54.2700						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @45.9700						
12/03/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 2 Units @41.2600						
12/03/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @41.2600						
12/07/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @39.1900						
* MUTUAL FUNDS SUBTOTAL *			\$1,459.48	\$27.59	\$0.00	\$27.59		\$24.86
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/03/2018	***-**-6352 - CLABORN, DAVID	LINCOLN FINANCIAL GROUP CUSIP 53404	12,057.00	301.43	0.00	301.43	90.0	271.29
	ACCOUNT #: 4910049	BUY 0 Units @0.0000						
12/06/2018	***-**-7979 - THOMPSON,	PACIFIC LIFE DTCC RENEWAL	500.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: VP60440630	BUY 0 Units @0.0000						
12/06/2018	***-**-8160 - CARROLL, THOMAS	PACIFIC LIFE DTCC RENEWAL	1,000.00	20.00	0.00	20.00	90.0	18.00
	ACCOUNT #: VP60758160	BUY 0 Units @0.0000						
12/07/2018	***-**-8270 - KELLY, PAMELA	PACIFIC LIFE DTCC RENEWAL	416.67	8.33	0.00	8.33	90.0	7.50
	ACCOUNT #: VP60088270	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
12/06/2018	***-**-125P - CANNADY, JAKE	PACIFIC LIFE EXEC II DTCC	800.00	15.84	0.00	15.84	90.0	14.26
	ACCOUNT #: VP61059840	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$14,773.67	\$355.60	\$0.00	\$355.60		\$320.05
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
12/10/2018	***-**-5031 - VICI PROPERTIES	JOHN HANCOCK VA TRAILS	0.00	1,540.93	0.00	1,540.93	90.0	1,386.84
	ACCOUNT #: 131264	BUY 1 Units @0.0000						
12/10/2018	***-**-0664 - FOCUS	JOHN HANCOCK VA TRAILS	0.00	801.22	0.00	801.22	90.0	721.10
	ACCOUNT #: 114317	BUY 1 Units @0.0000						
11/30/2018	***-**-3276 - ZAMORA, WILLIAM	PERSPECTIVE II DTCC	0.00	46.48	0.00	46.48	90.0	41.83
	ACCOUNT #: 1018869493	BUY 0 Units @0.0000						
12/10/2018	***-**-7528 - ELLIOTT	QUALIFIED PLAN SUMMARY	0.00	92.75	0.00	92.75	90.0	83.48
	ACCOUNT #: 23170	BUY 1 Units @0.0000						
12/10/2018	***-**-8042 - JCM AMERICAN	QUALIFIED PLAN SUMMARY	0.00	1,449.02	0.00	1,449.02	90.0	1,304.12
	ACCOUNT #: 130807	BUY 1 Units @0.0000						
12/10/2018	***-**-2744 - SHREE GANESH LLC	QUALIFIED PLAN SUMMARY	0.00	69.69	0.00	69.69	90.0	62.72
	ACCOUNT #: 127802	BUY 1 Units @0.0000						
12/10/2018	***-**-2070 - TCH LAW PLC 401K	QUALIFIED PLAN SUMMARY	0.00	437.03	0.00	437.03	90.0	393.33
	ACCOUNT #: 123577	BUY 1 Units @0.0000						
12/10/2018	***-**-4580 - ROSS AERIAL,	QUALIFIED PLAN SUMMARY	0.00	1,098.25	0.00	1,098.25	90.0	988.43
	ACCOUNT #: 114840	BUY 2 Units @0.0000						
12/10/2018	***-**-888K - MKS GRAPHICS,	QUALIFIED PLAN SUMMARY	0.00	1,041.44	0.00	1,041.44	90.0	937.30
	ACCOUNT #: 114333	BUY 1 Units @0.0000						
12/10/2018	***-**-0215 - PRO-STEEL	QUALIFIED PLAN SUMMARY	0.00	779.17	0.00	779.17	90.0	701.26
	ACCOUNT #: 107166	BUY 4 Units @0.0000						
12/10/2018	***-**-2436 - RT MANAGEMENT,	QUALIFIED PLAN SUMMARY	0.00	3.64	0.00	3.64	90.0	3.28
		BUY 1 Units @0.0000						
12/10/2018	***-**-5760 - KR SALINE, &	QUALIFIED PLAN SUMMARY	0.00	3,323.18	0.00	3,323.18	90.0	2,990.86
		BUY 1 Units @0.0000						
12/10/2018	***-**-7053 - VISHWANATH MD	QUALIFIED PLAN SUMMARY	0.00	455.02	0.00	455.02	90.0	409.52
	ACCOUNT #: 87501	BUY 1 Units @0.0000						
12/10/2018	***-**-74JK - RSI ENTERPRISES,	QUALIFIED PLAN SUMMARY	0.00	535.22	0.00	535.22	90.0	481.70
	ACCOUNT #: 129968	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
12/10/2018	***-**-54QP - STAR NURSERY INC	QUALIFIED PLAN SUMMARY	0.00	1,291.92	0.00	1,291.92	90.0	1,162.73
	ACCOUNT #: 118948	BUY 1 Units @0.0000						
12/10/2018	***-**-7584 - MED-SMART,	QUALIFIED PLAN SUMMARY	0.00	1,572.73	0.00	1,572.73	90.0	1,415.46
	ACCOUNT #: 116011	BUY 1 Units @0.0000						
12/10/2018	***-**-728Q - HORSEMEN INC	QUALIFIED PLAN SUMMARY	0.00	734.29	0.00	734.29	90.0	660.86
	ACCOUNT #: 109030	BUY 1 Units @0.0000						
12/10/2018	***-**-66QP - MVT SERVICES LLC	QUALIFIED PLAN SUMMARY	0.00	1,855.86	0.00	1,855.86	90.0	1,670.27
	ACCOUNT #: 107713	BUY 1 Units @0.0000						
12/10/2018	***-**-58CL - KELLY, CLIENTS	VOYA VA TRIALS	0.00	0.55	0.00	0.55	90.0	0.50
		BUY 1 Units @0.0000						
12/10/2018	***-**-58CL - KELLY, CLIENTS	VOYA VA TRIALS	0.00	0.09	0.00	0.09	90.0	0.08
		BUY 1 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$17,128.48	\$0.00	\$17,128.48		\$15,415.67
*** BROKER TRANSACTIONS TOTAL ***			\$16,233.15	\$17,511.67	\$0.00	\$17,511.67		\$15,760.58

BROKER COMMISSION TOTALS

Broker Transactions	\$14,094.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$15,760.58
Year-to-date Earnings	\$350,901.88