

# COMMISSION STATEMENT

November 30, 2018

AARON ARCHAMBO-D166

BROKER #: D166

BRANCH: DMB

, TX

## COMMISSION STATEMENT for AARON ARCHAMBO-D166

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/19/2018 368334282	LACK, DANA	MF OAKMARK FUND	B	-5,197.55	0.00	5.00	-5.00	90.0	-4.50
11/19/2018 368334282	LACK, DANA	MF PIMCO INVESTMENT GRADE CORP	B	-5,197.55	0.00	5.00	-5.00	90.0	-4.50
11/07/2018 368430515	TRUITT, DANIELLE	MF OAKMARK FUND	B	-597.55	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF CARILLON SCOUT MID CAP FUND	S	-28,577.06	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF FIDELITY ADV SMALL CAP GROWTH	S	-32,279.86	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF FIDELITY SELECT TECH NOLOGY	S	-11,516.92	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF OAKMARK INTL FD CL INV	S	-38,147.73	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF OPPENHEIMER SENIOR FLOATING	S	-22,204.69	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF PIMCO INVESTMENT GRADE CORP	S	-51,200.07	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF RUSSELL EMERGING MARKETS FD CL	S	-9,245.55	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF RUSSELL US DYNAMIC EQUITY FD CL	S	-39,227.86	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF THORNBURG LTD TERM INCOME FD CL	S	-21,864.22	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 368459487	CROW, JANET	MF USAA HIGH YIELD OPPORTUNITIES	S	-26,365.90	0.00	5.00	-5.00	90.0	-4.50
11/01/2018 368459487	CROW, JANET	MF VANGUARD INTERMEDIATE US	S	-21,952.62	0.00	5.00	-5.00	90.0	-4.50
11/01/2018 368459487	CROW, JANET	MF VANGUARD INFLATION PROTECT SEC	S	-15,787.98	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF CARILLON SCOUT MID CAP FUND	S	-19,378.04	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF FIDELITY ADVISOR NEW INSIGHTS	S	-35,249.87	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF FIDELITY ADV SMALL CAP GROWTH	S	-21,889.01	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF FIDELITY SELECT TECH NOLOGY	S	-7,809.03	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF OAKMARK INTL FD CL INV	S	-25,868.15	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF OPPENHEIMER SENIOR FLOATING	S	-15,056.77	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF PIMCO INVESTMENT GRADE CORP	S	-34,719.23	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF RUSSELL EMERGING MARKETS FD CL	S	-6,268.84	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF THORNBURG LTD TERM INCOME FD CL	S	-14,825.88	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 399421354	CROW REV LIV TRUST,	MF USAA HIGH YIELD OPPORTUNITIES	S	-17,878.58	0.00	5.00	-5.00	90.0	-4.50
11/01/2018 399421354	CROW REV LIV TRUST,	MF VANGUARD INTERMEDIATE US	S	-14,885.91	0.00	5.00	-5.00	90.0	-4.50
11/01/2018 399421354	CROW REV LIV TRUST,	MF VANGUARD INFLATION PROTECT SEC	S	-10,705.41	0.00	5.00	-5.00	90.0	-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF FIDELITY ADVISOR FLOAT RATE HI	S	-31,990.47	0.00	5.00	-5.00	90.0	-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF IVY HIGH INCOME FD CL I	S	-10,720.07	0.00	5.00	-5.00	90.0	-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF MFS CORP BOND FD CL I	S	-10,501.66	0.00	5.00	-5.00	90.0	-4.50
11/09/2018 523377234	NEWSOME, KEVIN	MF THORNBURG LTD TERM INCOME FD CL	S	-21,128.47	0.00	5.00	-5.00	90.0	-4.50

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11/09/2018 523377234	NEWSOME, KEVIN	MF VANGUARD INTERMEDIATE US	S	-8,740.21	0.00	5.00	-5.00	90.0	-4.50
10/31/2018 646245997	CROW, JANET	MF AMERICAN FDS BALANCED FD CL F2	S	-36,676.89	0.00	5.00	-5.00	90.0	-4.50
11/16/2018 646261660	FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50	90.0	-2.25
11/16/2018 646266202	FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50	90.0	-2.25
11/01/2018 646277370	FITZSIMMONS, JULIE	MF AMERICAN FDS BALANCED FD CL F2	S	202.45	0.00	2.50	-2.50	90.0	-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF FIDELITY ADV MID CAP VALUE	S	5,002.45	0.00	2.50	-2.50	90.0	-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF NATIXIS LOOMIS SAYLES INV GRD	S	5,502.45	0.00	2.50	-2.50	90.0	-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF OPPENHEIMER INTL GROWTH FD CL Y	S	5,002.45	0.00	2.50	-2.50	90.0	-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF RUSSELL GLOBAL REAL ESTATE SEC	S	3,002.45	0.00	2.50	-2.50	90.0	-2.25
11/08/2018 799783984	WILSON, MICHAEL	MF VANGUARD SHORT TERM INVT GRADE	S	2,502.45	0.00	2.50	-2.50	90.0	-2.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-652,136.00</b>	<b>\$0.00</b>	<b>\$185.00</b>	<b>\$-185.00</b>		<b>\$-166.50</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AB HIGH INCOME A	B	0.00	1.03	0.00	1.03	90.0	0.93
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.75	0.00	4.75	90.0	4.28
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	12.98	0.00	12.98	90.0	11.68
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	21.52	0.00	21.52	90.0	19.37
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	0.40	0.00	0.40	90.0	0.36
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	2.16	0.00	2.16	90.0	1.94
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.24	0.00	8.24	90.0	7.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.47	0.00	10.47	90.0	9.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	2.83	0.00	2.83	90.0	2.55
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	3.02	0.00	3.02	90.0	2.72
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.47	0.00	0.47	90.0	0.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.76	0.00	0.76	90.0	0.68
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.81	0.00	0.81	90.0	0.73
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.99	0.00	0.99	90.0	0.89
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.42	0.00	1.42	90.0	1.28
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.57	0.00	1.57	90.0	1.41
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.63	0.00	1.63	90.0	1.47
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.02	0.00	2.02	90.0	1.82
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.16	0.00	2.16	90.0	1.94
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	4.78	0.00	4.78	90.0	4.30
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.91	0.00	5.91	90.0	5.32
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.69	0.00	2.69	90.0	2.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	3.80	0.00	3.80	90.0	3.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.03	0.00	0.03	90.0	0.03
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.61	0.00	1.61	90.0	1.45
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.06	0.00	4.06	90.0	3.65
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.91	0.00	4.91	90.0	4.42
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A	B	0.00	4.58	0.00	4.58	90.0	4.12
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.50	0.00	0.50	90.0	0.45
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.53	0.00	0.53	90.0	0.48
11/21/2018 mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.76	0.00	0.76	90.0	0.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT HENNESSY FOCUS FD INV	B	0.00	1.51	0.00	1.51	90.0	1.36
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT HENNESSY FOCUS FD INV	B	0.00	1.51	0.00	1.51	90.0	1.36
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT HENNESSY FOCUS FD INV	B	0.00	3.11	0.00	3.11	90.0	2.80
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT HENNESSY FOCUS FD INV	B	0.00	3.22	0.00	3.22	90.0	2.90
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT HENNESSY FOCUS FD INV	B	0.00	11.92	0.00	11.92	90.0	10.73
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.34	0.00	0.34	90.0	0.31
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.28	0.00	2.28	90.0	2.05
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT NEW PERSPECTIVE FUND-A	B	0.00	9.28	0.00	9.28	90.0	8.35
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT NEW PERSPECTIVE FUND-F1	B	0.00	2.72	0.00	2.72	90.0	2.45
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT NEW WORLD FUND-F1	B	0.00	0.35	0.00	0.35	90.0	0.32
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT NEW WORLD FUND-F1	B	0.00	1.50	0.00	1.50	90.0	1.35
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT NEW WORLD FUND-F1	B	0.00	1.85	0.00	1.85	90.0	1.67
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT OPPENHEIMER DEVELOPING MARKETS	B	0.00	1.17	0.00	1.17	90.0	1.05
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	0.40	0.00	0.40	90.0	0.36
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT PIMCO INCOME FD CL A	B	0.00	1.76	0.00	1.76	90.0	1.58
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.49	0.00	1.49	90.0	1.34
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.74	0.00	2.74	90.0	2.47
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.75	0.00	2.75	90.0	2.48
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.42	0.00	3.42	90.0	3.08
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT PIMCO REAL RETURN FUND (A)	B	0.00	10.10	0.00	10.10	90.0	9.09
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.56	0.00	0.56	90.0	0.50
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.97	0.00	0.97	90.0	0.87
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT STEWARD LARGE CAP ENHANCED	B	0.00	0.69	0.00	0.69	90.0	0.62
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT STEWARD LARGE CAP ENHANCED	B	0.00	1.76	0.00	1.76	90.0	1.58
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT THE INCOME FUND OF AMERICA-F1	B	0.00	1.90	0.00	1.90	90.0	1.71
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.52	0.00	1.52	90.0	1.37
11/21/2018	mfc002080 ARCHAMBO A	002080 MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.16	0.00	4.16	90.0	3.74
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$188.37</b>	<b>\$0.00</b>	<b>\$188.37</b>		<b>\$169.54</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-652,136.00</b>	<b>\$188.37</b>	<b>\$185.00</b>	<b>\$3.37</b>		<b>\$3.04</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>