



COMMISSION STATEMENT

September 03, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/29/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	TILRAY INC COM USD0.0001 CLASS 2 SELL 125 Units @62.3000	7,787.50	67.75	17.50	50.25	75.0	37.69
		* STOCKS SUBTOTAL *	\$7,787.50	\$67.75	\$17.50	\$50.25		\$37.69
<u>PRODUCT CATEGORY: OPTIONS</u>								
08/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (INTC) INTEL CORP JAN 18 19 \$5 BUY 6 Units @222.0000	1,332.00	49.81	24.00	25.81	75.0	19.36
08/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (INTC) INTEL CORP JAN 18 19 \$5 BUY 6 Units @222.0000	1,332.00	20.25	6.00	14.25	75.0	10.69
08/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (MSFT) MICROSOFT CORP JAN 18 1 BUY 7 Units @425.0000	2,975.00	72.55	25.00	47.55	75.0	35.66
		* OPTIONS SUBTOTAL *	\$5,639.00	\$142.61	\$55.00	\$87.61		\$65.71
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/27/2018	***-**-8991 - PFORR, MARTHA ACCOUNT #: P55D6518240	AMERICAN GENERAL VA TRAILS 00139986 BUY 0 Units @0.0000	0.00	12.15	0.00	12.15	75.0	9.11
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$12.15	\$0.00	\$12.15		\$9.11
		*** BROKER TRANSACTIONS TOTAL ***	\$13,426.50	\$222.51	\$72.50	\$150.01		\$112.51



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$112.51
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$76.17
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$188.68
						Year-to-date Earnings		\$32,360.49