



COMMISSION STATEMENT

November 19, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/05/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @19.4000	200.00	5.50	0.00	5.50	75.0	4.13
11/05/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @19.4000	66.24	1.82	0.00	1.82	75.0	1.37
11/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498	AMERICAN FUNDS 2030 TARGET DATE-A BUY 5 Units @14.7200	76.00	2.09	0.00	2.09	75.0	1.57
11/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498	AMERICAN FUNDS 2030 TARGET DATE-A BUY 5 Units @14.7200	76.00	2.09	0.00	2.09	75.0	1.57
11/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @14.7200	125.00	3.44	0.00	3.44	75.0	2.58
11/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 16 Units @14.7200	231.00	6.35	0.00	6.35	75.0	4.76
11/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 16 Units @14.7200	231.00	6.35	0.00	6.35	75.0	4.76
11/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @14.7200	125.00	3.44	0.00	3.44	75.0	2.58
10/26/2018	***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 47 Units @13.6300	645.91	32.30	0.00	32.30	75.0	24.23
10/26/2018	***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @13.6300	93.75	4.69	0.00	4.69	75.0	3.52
10/26/2018	***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 4 Units @13.6300	50.00	2.50	0.00	2.50	75.0	1.88
10/26/2018	***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 4 Units @13.6300	50.00	2.50	0.00	2.50	75.0	1.88
10/26/2018	***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @13.6300	37.44	1.87	0.00	1.87	75.0	1.40
10/26/2018	***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @13.6300	37.44	1.87	0.00	1.87	75.0	1.40
10/31/2018	***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @14.8600	27.60	1.38	0.00	1.38	75.0	1.04
10/31/2018	***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @14.8600	27.60	1.38	0.00	1.38	75.0	1.04



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.2600						
11/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.2600						
10/26/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	44.96	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 03900185962	BUY 4 Units @11.8800						
10/26/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	44.96	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 03900185962	BUY 4 Units @11.8800						
10/26/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 4 Units @11.8800						
10/26/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 4 Units @11.8800						
10/26/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	41.21	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 03900186010	BUY 3 Units @11.8800						
10/26/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	41.21	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 03900186010	BUY 3 Units @11.8800						
10/26/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 03900186012	BUY 13 Units @11.8800						
10/26/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	52.04	2.60	0.00	2.60	75.0	1.95
	ACCOUNT #: 03900186012	BUY 4 Units @11.8800						
10/26/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 17 Units @11.8800						
10/26/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 17 Units @11.8800						
10/26/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @11.8800						
10/26/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @11.8800						
10/26/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @11.8800						
10/26/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @11.8800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	43.66	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: 03900186047	BUY 4 Units @11.8800						
10/26/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	43.66	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: 03900186047	BUY 4 Units @11.8800						
11/12/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00086454950	BUY 4 Units @13.3900						
11/12/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	27.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: 00086454950	BUY 2 Units @13.3900						
11/05/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @53.8400						
11/05/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @53.8400						
* MUTUAL FUNDS SUBTOTAL *			\$3,612.10	\$147.48	\$0.00	\$147.48		\$110.71
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/19/2018	***-**-2742 - CLASEN, SHIRLEY	EUROPACIFIC GROWTH FUND-A	0.00	5.52	0.00	5.52	75.0	4.14
	ACCOUNT #: LSR102512	BUY 1 Units @0.0000						
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.39	0.00	0.39	75.0	0.29
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.28	0.00	0.28	75.0	0.21
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
11/07/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	0.00	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
11/07/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 03900221014	BUY 0 Units @0.0000						
11/07/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 03900221916	BUY 0 Units @0.0000						
11/07/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2025-CL A	0.00	27.96	0.00	27.96	75.0	20.97
	ACCOUNT #: 03900227458	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/07/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 03900228796	BUY 0 Units @0.0000						
11/07/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 03900233790	BUY 0 Units @0.0000						
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
11/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 03900219123	BUY 0 Units @0.0000						
11/07/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 03900185962	BUY 0 Units @0.0000						
11/07/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.39	0.00	0.39	75.0	0.29
	ACCOUNT #: 03900185999	BUY 0 Units @0.0000						
11/07/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 03900186010	BUY 0 Units @0.0000						
11/07/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 03900186012	BUY 0 Units @0.0000						
11/07/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.96	0.00	1.96	75.0	1.47
	ACCOUNT #: 03900186019	BUY 0 Units @0.0000						
11/07/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						
11/07/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
11/07/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.49	0.00	0.49	75.0	0.37
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						
11/07/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
11/07/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
11/07/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
11/07/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
11/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.22	0.00	0.22	75.0	0.17
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
10/19/2018	***-**-1240 - RODMAN, BRIAN	FIDELITY ADV GROWTH & INCOME CL A	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: LSR103420	BUY 1 Units @0.0000						
10/19/2018	***-**-5390 - REPELLA, CRAIG	FIDELITY ADVISOR BALANCED CLASS T	0.00	41.60	0.00	41.60	75.0	31.20
	ACCOUNT #: LSR102520	BUY 1 Units @0.0000						
11/07/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	63.55	0.00	63.55	75.0	47.66
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
11/02/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	0.00	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00519094815	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$157.80	\$0.00	\$157.80		\$118.38
*** BROKER TRANSACTIONS TOTAL ***			\$3,612.10	\$305.28	\$0.00	\$305.28		\$229.09

BROKER COMMISSION TOTALS

Broker Transactions	\$229.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$229.09
Year-to-date Earnings	\$61,115.96