Bill Payment Stub

Check Date: 2/7/2018
Check No.: 41397
Check Amount: 1,354.95

Dominion Investor Services P.O. Box 4768 Horseshoe Bay, TX 78657-4768 Paid To: PAUL R. WIMBERLY
13918 KEEL AVE
CORPUS CHRISTI, TX 78418

| Date | Туре | Reference | Original Amt. | Balance | Discount | Payment |
|-----------|------|-------------|---------------|----------|----------|----------|
| 1/31/2018 | Bill | COMMISSIONS | 1,354.95 | 1,354.95 | | 1,354.95 |

All Companies

DOMINION INVESTOR SERVICES, INC.

COMMISSION STATEMENT

January 31, 2018

PAUL WIMBERLY-D073

BROKER #: D073
BRANCH: DMN

, TX

COMMISSION STATEMENT for PAUL WIMBERLY-D073

| TRADE DATE CLIENT | | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|---|---------------------------------|------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | CLEARING T | 'RAN | SACTIONS | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | |
| 01/04/2018 153273526 BENNETT, BARBARA | S | ISHARES S&P SMALL CAP 600/BARRA | A S | -16,963.93 | 153.40 | 15.00 | 138.40 | 87.5 | 121.10 |
| 01/05/2018 153273526 BENNETT, BARBARA | S | ISHARES MSCI EMERGING MKTS | В | 9,910.82 | 98.57 | 15.00 | 83.57 | 87.5 | 73.12 |
| 01/05/2018 153273526 BENNETT, BARBARA | S | VANGUARD FTSE EMERGING MARKETS | В | 10,139.68 | 100.28 | 15.00 | 85.28 | 87.5 | 74.62 |
| 12/29/2017 462157792 LAFFOON, KYE | S | KINDER MORGAN INC | S | -26,073.78 | 222.24 | 15.00 | 207.24 | 87.5 | 181.34 |
| 01/03/2018 491952028 MOLLER, JUDY | S | SOUTHERN COPPER CORP | S | -16,020.80 | 145.90 | 15.00 | 130.90 | 87.5 | 114.54 |
| 01/03/2018 491953029 MOLLER, G | S | SOUTHERN COPPER CORP | S | -63,656.17 | 414.56 | 15.00 | 399.56 | 87.5 | 349.62 |
| 01/04/2018 677623004 CURTIS, DAVID | S | ISHARES S&P SMALL CAP 600/BARRA | A S | -25,453.66 | 217.56 | 15.00 | 202.56 | 87.5 | 177.24 |
| 01/05/2018 677623004 CURTIS, DAVID | S | ISHARES MSCI EMERGING MKTS | В | 12,360.51 | 116.81 | 15.00 | 101.81 | 87.5 | 89.08 |
| 01/05/2018 677623004 CURTIS, DAVID | S | VANGUARD FTSE EMERGING MARKETS | В | 14,455.35 | 132.40 | 15.00 | 117.40 | 87.5 | 102.73 |
| 01/11/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 4,940.20 | 18.00 | 15.00 | 3.00 | 87.5 | 2.63 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 766.77 | 0.03 | 0.00 | 0.03 | 87.5 | 0.03 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 766.77 | 0.03 | 0.00 | 0.03 | 87.5 | 0.03 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 766.77 | 0.03 | 0.00 | 0.03 | 87.5 | 0.03 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 766.77 | 0.03 | 0.00 | 0.03 | 87.5 | 0.03 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,553.20 | 0.06 | 0.00 | 0.06 | 87.5 | 0.05 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,553.20 | 0.06 | 0.00 | 0.06 | 87.5 | 0.05 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,967.52 | 0.07 | 15.00 | -14.93 | 87.5 | -13.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 799497978 WIMBERLY, PAUL | S | AGNC INVESTMENT CORP | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |

02/07/2018 Page No. 2

| | | | | _ | | | | D/G | INVESTMENT | GROSS | CLEARING | NET | | BROKER |
|--------------------------------|----------|-----------|-------|--------|-------|-----------------------|------|------------|------------------------|-----------------|----------------|-----------------|------------------|--------------------|
| TRADE DATE 01/12/2018 7 | CLIENT | | DAIII | S | NVEST | INVESTMENT | CORD | B/S | AMOUNT 1,965.57 | COMMISSION 0.07 | CHARGE 0.00 | COMMISSION 0.07 | RATE 87.5 | COMMISSION 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | , | _ | S | | INVESTMENT | | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,965.57 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,965.87 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| | | | | | | | | В | | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 01/12/2018 7 | | | | S S | | INVESTMENT | | В | 1,966.07 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| | | | | | | INVESTMENT | | В | | 0.07 | 0.00 | 0.07 | 87.5 | |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 01/12/2018 7 | | | | S | | | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | | 1,966.07 | | | | | |
| | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | , | _ | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | 0.07 | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 1,966.07 | 0.07 | 0.00 | | 87.5 | 0.06 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 3,932.15 | 0.15 | 0.00 | | 87.5 | 0.13 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 3,932.15 | 0.15 | 0.00 | | 87.5 | 0.13 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 3,932.15 | 0.15 | 0.00 | | 87.5 | 0.13 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 3,932.15 | 0.15 | 0.00 | | 87.5 | 0.13 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 5,898.22 | 0.22 | 0.00 | | 87.5 | 0.19 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В | 9,830.36 | 0.36 | 0.00 | | 87.5 | 0.32 |
| 01/12/2018 7 | | | | S | | INVESTMENT | | В _ | 13,506.92 | 0.50 | 0.00 | | 87.5 | 0.44 |
| 01/12/2018 7 | 99497978 | WIMBERLY, | PAUL | S | AGNC | INVESTMENT | CORP | В | 19,660.73 | 0.73 | 0.00 | 0.73 | 87.5 | 0.64 |

02/07/2018 Page No. 3 COMMISSION STATEMENT for PAUL WIMBERLY-D073

| TRADE DATE CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | | | |
|--------------------------------------|-----------------------------------|------|----------------------|---------------------|--------------------|-------------------|------|----------------------|--|--|--|--|
| 01/12/2018 799497978 WIMBERLY, PAUL | S AGNC INVESTMENT CORP | В | 56,996.45 | 2.11 | 0.00 | 2.11 | 87.5 | 1.85 | | | | |
| 01/12/2018 799497978 WIMBERLY, PAUL | S AGNC INVESTMENT CORP | В | 258,538.56 | 9.56 | 0.00 | 9.56 | 87.5 | 8.37 | | | | |
| 01/12/2018 799497978 WIMBERLY, PAUL | S AGNC INVESTMENT CORP | S | -435,256.40 | 18.00 | 15.00 | 3.00 | 87.5 | 2.63 | | | | |
| 01/18/2018 799497978 WIMBERLY, PAUL | S SPDR S&P 500 ETF TRUST | S | -613,919.59 | 18.00 | 15.00 | 3.00 | 87.5 | 2.63 | | | | |
| 01/22/2018 799497978 WIMBERLY, PAUL | S SPDR S&P 500 ETF TRUST | В | 561,358.45 | 18.00 | 15.00 | 3.00 | 87.5 | 2.63 | | | | |
| 01/24/2018 799497978 WIMBERLY, PAUL | S SPDR S&P 500 ETF TRUST | В | 52,328.94 | 18.00 | 15.00 | 3.00 | 87.5 | 2.63 | | | | |
| | * STOCKS SUBTOTA | AL * | \$-45,259.74 | \$1,709.61 | \$225.00 | \$1,484.61 | | \$1,299.03 | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 | TRAILS | | | | | | | | | | | |
| 01/23/2018 mfc750162 WIMBERLY 750162 | MFT LARGE CAP EQUITY A | В | 0.00 | 1.84 | 0.00 | 1.84 | 87.5 | 1.61 | | | | |
| 01/23/2018 mfc750162 WIMBERLY 750162 | MFT NEW PERSPECTIVE FUND-A | В | 0.00 | 1.51 | 0.00 | 1.51 | 87.5 | 1.32 | | | | |
| 01/23/2018 mfc750162 WIMBERLY 750162 | MFT THE GROWTH FUND OF AMERICA-A | В | 0.00 | 0.67 | 0.00 | 0.67 | 87.5 | 0.59 | | | | |
| | * MUTUAL FUND 12B1 TRAILS SUBTOTA | AL * | \$0.00 | \$4.02 | \$0.00 | \$4.02 | | \$3.52 | | | | |
| | *** CLEARING TRANSACTIONS TOTAL | *** | \$-45,259.74 | \$1,713.63 | \$225.00 | \$1,488.63 | | \$1,302.55 | | | | |
| DIRECT TRANSACTIONS | | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 | TRAILS | | | | | | | | | | | |
| 12/31/2017 (None) MUTCHLER, PATSY | MFT JOHN HANCOCK MUTUAL FUND | В | 0.00 | 15.06 | 0.00 | 15.06 | 87.5 | 13.18 | | | | |
| 12/31/2017 (None) HILDRETH, JANE | MFT JOHN HANCOCK MUTUAL FUND | В | 0.00 | 1.68 | 0.00 | 1.68 | 87.5 | 1.47 | | | | |
| | * MUTUAL FUND 12B1 TRAILS SUBTOTA | AL * | \$0.00 | \$16.74 | \$0.00 | \$16.74 | | \$14.65 | | | | |
| | *** DIRECT TRANSACTIONS TOTAL | *** | \$0.00 | \$16.74 | \$0.00 | \$16.74 | | \$14.65 | | | | |
| <u>ADJUSTMENTS</u> | | | | | | | | | | | | |
| 01/31/2018 | : BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 | | | | |
| 01/31/2018 | : E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 | | | | |
| 01/31/2018 | : MONEY MARKET TRAILS | | 0.00 | 0.00 | 0.00 | 0.00 | | 92.75 | | | | |
| | *** ADJUSTMENTS TOTAL | *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$37.75 | | | | |

BROKER COMMISSION TOTALS \$1,317.20 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$37.75 Payroll Draw \$0.00 \$0.00 Base Salary \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$1,354.95 Net Commissions Year-to-date Commissions \$3,315.76