



# COMMISSION STATEMENT

December 24, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/17/2018	***-**-35IN - LIGHT AND POWER,	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	95.0	1.96
	ACCOUNT #: 00081655418	BUY 7 Units @10.1600						
12/17/2018	***-**-35IN - LIGHT AND POWER,	CAPITAL INCOME BUILDER-A	125.00	3.44	0.00	3.44	95.0	3.27
	ACCOUNT #: 00081655418	BUY 2 Units @59.3300						
12/17/2018	***-**-2256 - SPITZER, HERSHEL	CAPITAL INCOME BUILDER-A	87.50	2.41	0.00	2.41	95.0	2.29
	ACCOUNT #: 00083997024	BUY 1 Units @59.3300						
12/17/2018	***-**-2256 - SPITZER, HERSHEL	EUROPACIFIC GROWTH FUND-A	87.50	2.41	0.00	2.41	95.0	2.29
	ACCOUNT #: 00083997024	BUY 2 Units @48.1000						
12/17/2018	***-**-2256 - SPITZER, HERSHEL	FUNDAMENTAL INVESTORS-A	137.50	3.78	0.00	3.78	95.0	3.59
	ACCOUNT #: 00083997024	BUY 2 Units @59.9000						
12/17/2018	***-**-2256 - SPITZER, HERSHEL	NEW PERSPECTIVE FUND-A	137.50	3.78	0.00	3.78	95.0	3.59
	ACCOUNT #: 00083997024	BUY 3 Units @42.2700						
12/17/2018	***-**-35IN - LIGHT AND POWER,	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	95.0	3.92
	ACCOUNT #: 00081655418	BUY 7 Units @22.6100						
* MUTUAL FUNDS SUBTOTAL *			\$800.00	\$22.01	\$0.00	\$22.01		\$20.91
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/17/2018	***-**-575I - PALELLA, CHARLES	PRUDENTIAL VA TRAILS 74429P144	100.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: E1764889	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/24/2018	***-**-3500 - NIELSEN, JEFFREY	DELAWARE MF TRAILS	0.00	11.22	0.00	11.22	95.0	10.66
		BUY 0 Units @0.0000						
12/24/2018	***-**-1852 - CAROSCIO,	DELAWARE MF TRAILS	0.00	12.33	0.00	12.33	95.0	11.71
		BUY 0 Units @0.0000						
12/24/2018	***-**-175I - CURNS, DANIEL	DELAWARE MF TRAILS	0.00	0.49	0.00	0.49	95.0	0.47
		BUY 0 Units @0.0000						
12/24/2018	***-**-552I - TODD, MARY	DELAWARE MF TRAILS	0.00	0.78	0.00	0.78	95.0	0.74
	ACCOUNT #: 5014398821	BUY 0 Units @0.0000						
12/24/2018	***-**-2275 - LAMANNA, LISA M	DELAWARE MF TRAILS	0.00	3.11	0.00	3.11	95.0	2.95
	ACCOUNT #: 5014574054	BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
12/14/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777231908	FINANCIAL INDUSTRIES A BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	95.0	0.17
12/14/2018	***-**-428J - MYKINS, RONALD ACCOUNT #: 00008994438	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	95.0	0.77
12/14/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777230696	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	95.0	0.15
12/14/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777230400	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	95.0	0.10
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$29.18</b>	<b>\$0.00</b>	<b>\$29.18</b>		<b>\$27.72</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$900.00</b>	<b>\$51.19</b>	<b>\$0.00</b>	<b>\$51.19</b>		<b>\$48.63</b>

### VERRIDE TRANSACTIONS

#### **BROKER: BARRY BRESIN**

12/24/2018	***-**-16IN - STERN, PATRICIA ACCOUNT #: 7200027673	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	19.06	0.00	19.06	15.0	2.86
<b>* BARRY BRESIN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$19.06</b>	<b>\$0.00</b>	<b>\$19.06</b>		<b>\$2.86</b>

#### **BROKER: FREDERIC SCARPULLA**

12/17/2018	***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661	AMCAP FUND-C BUY 2 Units @26.6100	57.69	0.58	0.00	0.58	10.0	0.06
12/17/2018	***-**-195P - HECHT, ANDREW ACCOUNT #: 00068453142	AMERICAN BALANCED FUND-529A BUY 7 Units @27.7900	200.00	10.00	0.00	10.00	10.0	1.00
12/17/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198137	AMERICAN BALANCED FUND-529C BUY 2 Units @26.1500	50.00	0.50	0.00	0.50	10.0	0.05
12/17/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198121	AMERICAN BALANCED FUND-529C BUY 2 Units @26.1500	50.00	0.50	0.00	0.50	10.0	0.05
12/17/2018	***-**-685P - SAVAGE, ACCOUNT #: 00074880299	AMERICAN BALANCED FUND-A BUY 1 Units @26.9100	32.50	0.65	0.00	0.65	10.0	0.07
12/17/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	AMERICAN BALANCED FUND-A BUY 1 Units @26.2400	20.00	0.20	0.00	0.20	10.0	0.02



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
12/17/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089461113	BUY 0 Units @26.2400						
12/17/2018	***-**-535P - DELGAIZO,	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	10.0	0.21
	ACCOUNT #: 00068454444	BUY 2 Units @27.6200						
12/17/2018	***-**-425P - DELGAIZO, LOUISA	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	10.0	0.21
	ACCOUNT #: 00068454445	BUY 2 Units @27.6200						
12/17/2018	***-**-36IN - SILLUZIO, THOMAS	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00068088908	BUY 2 Units @26.0200						
12/17/2018	***-**-315P - SILLUZIO, JUSTIN	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00068803650	BUY 2 Units @26.0200						
12/17/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	10.0	0.19
	ACCOUNT #: 00073003754	BUY 7 Units @26.0200						
12/17/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00087429936	BUY 2 Units @26.0200						
12/17/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089045192	BUY 2 Units @26.0200						
12/17/2018	***-**-1134 - BARTON, WILLIAM	AMERICAN BALANCED FUND-C	92.36	0.92	0.00	0.92	10.0	0.09
	ACCOUNT #: 00089244081	BUY 4 Units @26.0200						
12/17/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	26.64	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00089583477	BUY 1 Units @26.0200						
12/17/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	35.39	0.35	0.00	0.35	10.0	0.04
	ACCOUNT #: 00089679504	BUY 1 Units @26.0200						
12/20/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00089957234	BUY 2 Units @24.4000						
12/20/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @24.4000						
12/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 00085904210	BUY 1 Units @24.4000						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	12.00	0.12	0.00	0.12	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @24.4000						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089051066	BUY 2 Units @24.4000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
12/20/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	10.0	0.12
	ACCOUNT #: 00089910177	BUY 5 Units @24.4000						
12/17/2018	***-**-1102 - FINOCCHARIO,	AMERICAN FUNDS 2050 TARGET DATE-C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 04001203455	BUY 2 Units @13.9600						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	10.0	0.11
	ACCOUNT #: 00087236607	BUY 11 Units @9.6200						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00087236607	BUY 0 Units @9.6200						
12/17/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00066758347	BUY 1 Units @39.8300						
12/17/2018	***-**-01UG - DIGIOVANNI,	AMERICAN MUTUAL FUND-C	150.00	1.50	0.00	1.50	10.0	0.15
	ACCOUNT #: 00087087389	BUY 4 Units @39.2000						
12/17/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @39.2000						
12/21/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	10.0	0.30
	ACCOUNT #: 00087299599	BUY 5 Units @55.4500						
12/17/2018	***-**-685P - SAVAGE,	CAPITAL INCOME BUILDER-A	48.75	0.98	0.00	0.98	10.0	0.10
	ACCOUNT #: 00074880299	BUY 1 Units @58.7200						
12/20/2018	***-**-0447 - LEVINE, JACQUE	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	10.0	0.38
	ACCOUNT #: 00084996765	BUY 2 Units @59.1300						
12/17/2018	***-**-685P - SAVAGE,	CAPITAL WORLD GROWTH AND INCOME FUN	81.25	1.63	0.00	1.63	10.0	0.16
	ACCOUNT #: 00074880299	BUY 2 Units @46.5500						
12/17/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00066758344	BUY 0 Units @45.3900						
12/17/2018	***-**-01UG - DIGIOVANNI,	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	10.0	0.15
	ACCOUNT #: 00087087389	BUY 3 Units @44.8300						
12/17/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00089045192	BUY 1 Units @44.8300						
12/20/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	10.0	0.10
	ACCOUNT #: 00087038420	BUY 2 Units @41.7000						
12/20/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @41.7000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> BROKER: FREDERIC SCARPULLA (continued)</b>								
12/17/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	10.0	0.21
	ACCOUNT #: 00081282910	BUY 1 Units @47.6000						
12/17/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	10.0	0.21
	ACCOUNT #: 00081283006	BUY 1 Units @47.6000						
12/24/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	6.68	0.00	6.68	10.0	0.67
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
12/17/2018	***-**-43IN - BISHOP, EVELYN	EUROPACIFIC GROWTH FUND CLASS C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000849605	BUY 1 Units @45.0400						
12/17/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00066759710	BUY 0 Units @57.8000						
12/17/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @57.4000						
12/20/2018	***-**-172I - TABER, LISA	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @55.6500						
12/20/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	6.00	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00085904203	BUY 0 Units @55.6500						
12/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @55.6500						
12/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	6.00	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @55.6500						
12/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 00087236607	BUY 2 Units @20.1600						
12/17/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089563161	BUY 0 Units @36.9300						
12/17/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	25.85	0.26	0.00	0.26	10.0	0.03
	ACCOUNT #: 00089583477	BUY 1 Units @36.9300						
12/17/2018	***-**-0578 - DIODATO, ZACHARY	INVESTMENT COMPANY OF AMERICA CLASS	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000618224	BUY 1 Units @36.9300						
12/17/2018	***-**-4232 - DIODATO, MELISSA	INVESTMENT COMPANY OF AMERICA CLASS	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000618245	BUY 1 Units @36.9300						
12/20/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @33.0100						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
11/16/2018	***-**-905X - TAYLOR, JENNIFER	LINCOLN CHOICEPLUS ASSURANCE B BUY 1 Units @90000.0000	88,083.74	5,505.23	0.00	5,505.23	10.0	550.52
12/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 1 Units @38.0500	21.00	0.21	0.00	0.21	10.0	0.02
12/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	7.00	0.07	0.00	0.07	10.0	0.01
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 1 Units @38.0500	20.00	0.20	0.00	0.20	10.0	0.02
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	6.00	0.06	0.00	0.06	10.0	0.01
12/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @55.5600	21.00	0.21	0.00	0.21	10.0	0.02
12/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @55.5600	7.00	0.07	0.00	0.07	10.0	0.01
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @55.5600	48.20	0.48	0.00	0.48	10.0	0.05
12/24/2018	***-**-503J - OBI, JEAN	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	10.0	0.24
12/24/2018	***-**-015P - FANTAUZZO, ACCOUNT #: 77529133201	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	165.00	1.65	0.00	1.65	10.0	0.17
12/24/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	226.25	2.27	0.00	2.27	10.0	0.23
12/24/2018	***-**-6352 - GINERVA, ENZA ACCOUNT #: 77766297801	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
12/24/2018	***-**-2942 - WARNEY, KENNETH ACCOUNT #: 77932712101	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
12/24/2018	***-**-6793 - ESTEP, ARDEN ACCOUNT #: 77375699601	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
12/24/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	226.25	2.27	0.00	2.27	10.0	0.23
12/24/2018	***-**-80IN - MOYER, COLLEEN ACCOUNT #: 77705588101	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	50.00	1.52	0.00	1.52	10.0	0.15



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u> </b>								
12/17/2018	***-**-43IN - BISHOP, EVELYN	SMALLCAP WORLD FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000849605	BUY 1 Units @44.0500						
12/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @42.9400						
12/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	6.00	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @42.9400						
12/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.9400						
12/17/2018	***-**-685P - SAVAGE,	THE BOND FUND OF AMERICA-A	32.50	0.65	0.00	0.65	10.0	0.07
	ACCOUNT #: 00074880299	BUY 3 Units @12.8100						
12/20/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	10.0	0.03
	ACCOUNT #: 00085902249	BUY 2 Units @12.5000						
12/17/2018	***-**-415P - SCHILLACI, AMY	THE GROWTH FUND OF AMERICA-529C	75.00	0.75	0.00	0.75	10.0	0.08
	ACCOUNT #: 00081268733	BUY 2 Units @44.8600						
12/17/2018	***-**-685P - SAVAGE,	THE GROWTH FUND OF AMERICA-A	48.75	0.98	0.00	0.98	10.0	0.10
	ACCOUNT #: 00074880299	BUY 1 Units @49.8500						
12/17/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	10.0	0.25
	ACCOUNT #: 00066758342	BUY 5 Units @48.6000						
12/17/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00066758344	BUY 1 Units @48.6000						
12/17/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00066758347	BUY 1 Units @48.6000						
12/17/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00066758348	BUY 1 Units @48.6000						
12/17/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 00074922243	BUY 1 Units @48.6000						
12/17/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	72.26	0.72	0.00	0.72	10.0	0.07
	ACCOUNT #: 00083414110	BUY 1 Units @48.6000						
12/17/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00087318332	BUY 0 Units @48.6000						
12/17/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089461113	BUY 0 Units @48.6000						



# COMMISSION STATEMENT

## December 24, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: FREDERIC SCARPULLA (continued) </u></b>								
12/17/2018	***-**-3431 - SPENCER, ACCOUNT #: 04000347049	THE GROWTH FUND OF AMERICA-C BUY 2 Units @44.7300	100.00	1.00	0.00	1.00	10.0	0.10
12/17/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 4 Units @44.7300	176.00	1.76	0.00	1.76	10.0	0.18
12/17/2018	***-**-845P - MARTHALLER, ACCOUNT #: 00087023930	THE GROWTH FUND OF AMERICA-C BUY 1 Units @44.7300	60.00	0.60	0.00	0.60	10.0	0.06
12/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @44.7300	30.00	0.30	0.00	0.30	10.0	0.03
12/17/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958	THE GROWTH FUND OF AMERICA-C BUY 2 Units @44.7300	80.09	0.80	0.00	0.80	10.0	0.08
12/17/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @44.7300	10.00	0.10	0.00	0.10	10.0	0.01
12/17/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 1 Units @44.7300	25.86	0.26	0.00	0.26	10.0	0.03
12/17/2018	***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661	THE GROWTH FUND OF AMERICA-C BUY 2 Units @44.7300	86.54	0.87	0.00	0.87	10.0	0.09
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @43.3700	48.20	0.48	0.00	0.48	10.0	0.05
12/20/2018	***-**-8776 - LEVINE, TODD ACCOUNT #: 00067996697	THE INCOME FUND OF AMERICA-A BUY 5 Units @21.3800	100.00	3.75	0.00	3.75	10.0	0.38
12/17/2018	***-**-685P - SAVAGE, ACCOUNT #: 00074880299	THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @38.3400	81.25	1.63	0.00	1.63	10.0	0.16
12/17/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE INVESTMENT COMPANY OF AMERICA-A BUY 7 Units @37.3800	250.00	2.50	0.00	2.50	10.0	0.25
12/17/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @37.3800	50.00	0.50	0.00	0.50	10.0	0.05
12/17/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @37.3800	20.00	0.20	0.00	0.20	10.0	0.02
12/17/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @38.3300	25.00	0.25	0.00	0.25	10.0	0.03
12/17/2018	***-**-8932 - RUSH, MICHELLE ACCOUNT #: 04000574835	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 4 Units @12.7100	50.00	0.50	0.00	0.50	10.0	0.05





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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
12/18/2018	***-**-279J - HERRMANN, KEVIN	THE TAX-EXEMPT BOND FUND OF AMERICA	125.00	1.25	0.00	1.25	10.0	0.13
	ACCOUNT #: 00086046167	BUY 10 Units @12.7300						
12/17/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 00066758345	BUY 12 Units @42.8300						
12/17/2018	***-**-435P - BOSCO, SOFIA	WASHINGTON MUTUAL INVESTORS FUND-C	187.00	1.87	0.00	1.87	10.0	0.19
	ACCOUNT #: 00073003754	BUY 4 Units @42.1900						
12/17/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @42.1900						
12/17/2018	***-**-436I - RAGO, LISA	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000456708	BUY 1 Units @42.1900						
12/20/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @41.0300						
12/20/2018	***-**-27IN - TINKLEPAUGH,	WELLS FARGO TRAILS	0.00	1.05	0.00	1.05	10.0	0.11
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
	<b>* FREDERIC SCARPULLA SUBTOTAL *</b>		<b>\$94,905.47</b>	<b>\$5,607.38</b>	<b>\$0.00</b>	<b>\$5,607.38</b>		<b>\$560.89</b>
<b><u>BROKER: WILLIAM AGNELLO</u></b>								
12/24/2018	***-**-28IN - CUP, ANDREW	DELAWARE MF TRAILS	0.00	0.35	0.00	0.35	25.0	0.09
	ACCOUNT #: 4000021808	BUY 0 Units @0.0000						
12/18/2018	***-**-43IN - CUP, NICHOLAS	PEOPLES UTD FINL INC UNSOLICITED OR	1,531.50	37.00	0.00	37.00	25.0	9.25
	ACCOUNT #: LSR006890	BUY 100 Units @15.3150						
12/18/2018	***-**-43IN - CUP, NICHOLAS	VERIZON COMMUNICATIONS UNSOLICITED	5,641.60	37.00	0.00	37.00	25.0	9.25
	ACCOUNT #: LSR006890	BUY 100 Units @56.4160						
	<b>* WILLIAM AGNELLO SUBTOTAL *</b>		<b>\$7,173.10</b>	<b>\$74.35</b>	<b>\$0.00</b>	<b>\$74.35</b>		<b>\$18.59</b>
<b><u>BROKER: JAMES LOMBARDO</u></b>								
12/17/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	5,000.00	187.50	0.00	187.50	15.0	28.13
	ACCOUNT #: 00068915116	BUY 182 Units @27.4800						
12/17/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00074487927	BUY 3 Units @27.4800						
12/17/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	5,000.00	187.50	0.00	187.50	15.0	28.13
	ACCOUNT #: 00068915116	BUY 117 Units @42.7100						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JAMES LOMBARDO (continued)</u> </b>								
12/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	15.0	0.05
	ACCOUNT #: 00089449406	BUY 1 Units @12.9900						
12/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 00089449406	BUY 1 Units @49.9900						
12/17/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	15.0	0.79
	ACCOUNT #: 00074487927	BUY 4 Units @39.1400						
* JAMES LOMBARDO SUBTOTAL *			<u>\$10,265.00</u>	<u>\$385.36</u>	<u>\$0.00</u>	<u>\$385.36</u>		<u>\$57.82</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$112,343.57</u>	<u>\$6,086.15</u>	<u>\$0.00</u>	<u>\$6,086.15</u>		<u>\$640.16</u>

### BROKER COMMISSION TOTALS

Broker Transactions	\$48.63
Split Transactions	\$0.00
Override Transactions	\$640.16
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$688.79
Year-to-date Earnings	\$111,832.84