

COMMISSION STATEMENT December 15, 2018

 ALAN SMITH-D206
 1900 NW Loop 410

 BROKER #: D206
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	3.04	0.00	3.04	80.0	2.43
10/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.59	0.00	5.59	80.0	4.47
12/03/2018 00086725626	LEONARD, MADELYN	MFT COLLEGE 2021 FUND-529A	B	0.00	68.96	0.00	68.96	80.0	55.17
12/03/2018 00088400986	MERCER, JETT	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	62.06	0.00	62.06	80.0	49.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$139.65	\$0.00	\$139.65		\$111.72
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$139.65	\$0.00	\$139.65		\$111.72

ADJUSTMENTS TRANSACTIONS
BROKER: #0

12/15/2018	: 2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$111.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$86.72
Year-to-date Commissions	\$42,058.62