

COMMISSION STATEMENT

October 31, 2018

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: I19 K1H R70

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/02/2018	75500978	LERNER, SUSAN	VANGUARD MMR PRIME	S	181,000.00	0.00	10.00	-10.00	80.0	-8.00
10/03/2018	75500978	LERNER, SUSAN	VANGUARD MMR PRIME	S	131,400.00	0.00	10.00	-10.00	80.0	-8.00
10/03/2018	75500978	LERNER, SUSAN	VANGUARD MMR PRIME	S	701,000.00	0.00	10.00	-10.00	80.0	-8.00
10/05/2018	75500978	LERNER, SUSAN	VANGUARD MMR PRIME	S	75,000.00	0.00	10.00	-10.00	80.0	-8.00
* MUTUAL FUNDS SUBTOTAL *					\$1,088,400.00	\$0.00	\$40.00	\$-40.00		\$-32.00

PRODUCT CATEGORY: STOCKS

09/28/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	69,772.80	0.00	10.00	-10.00	80.0	-8.00
10/01/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	70,015.20	0.00	10.00	-10.00	80.0	-8.00
10/10/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	66,792.00	0.00	10.00	-10.00	80.0	-8.00
10/15/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	65,856.00	0.00	10.00	-10.00	80.0	-8.00
10/24/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	53,204.00	0.00	10.00	-10.00	80.0	-8.00
10/25/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	54,016.00	0.00	10.00	-10.00	80.0	-8.00
09/27/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	47,073.00	0.00	10.00	-10.00	80.0	-8.00
09/28/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	72,276.00	0.00	10.00	-10.00	80.0	-8.00
09/28/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	25,313.40	0.00	10.00	-10.00	80.0	-8.00
09/28/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	S	32,358.00	0.00	10.00	-10.00	80.0	-8.00
09/28/2018	75500514	HAMILTON, CHRISTOPHER	SELECT SECTOR SPDR TRUST THE UTILIT	S	42,120.00	0.00	10.00	-10.00	80.0	-8.00
09/28/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	98,844.80	0.00	10.00	-10.00	80.0	-8.00
10/01/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	72,768.00	0.00	10.00	-10.00	80.0	-8.00
10/01/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	B	32,334.00	0.00	10.00	-10.00	80.0	-8.00
10/01/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	99,188.20	0.00	10.00	-10.00	80.0	-8.00
10/02/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	145,200.00	0.00	10.00	-10.00	80.0	-8.00
10/02/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	S	32,520.00	0.00	10.00	-10.00	80.0	-8.00
10/03/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	143,928.00	0.00	10.00	-10.00	80.0	-8.00
10/03/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	143,928.00	0.00	10.00	-10.00	80.0	-8.00
10/04/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES 20 PLUS YEAR TREASURY BOND	B	68,579.40	0.00	10.00	-10.00	80.0	-8.00
10/04/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES 20 PLUS YEAR TREASURY BOND	S	68,330.40	0.00	10.00	-10.00	80.0	-8.00
10/04/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	B	37,337.93	0.00	10.00	-10.00	80.0	-8.00
10/04/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	S	37,443.00	0.00	10.00	-10.00	80.0	-8.00
10/05/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	B	37,408.00	0.00	10.00	-10.00	80.0	-8.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/08/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	90,292.29	0.00	10.00	-10.00	80.0	-8.00
10/08/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	142,632.00	0.00	10.00	-10.00	80.0	-8.00
10/08/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	142,632.00	0.00	10.00	-10.00	80.0	-8.00
10/08/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	37,926.00	0.00	10.00	-10.00	80.0	-8.00
10/09/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	91,040.00	0.00	10.00	-10.00	80.0	-8.00
10/09/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	32,436.00	0.00	10.00	-10.00	80.0	-8.00
10/10/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	138,336.00	0.00	10.00	-10.00	80.0	-8.00
10/10/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	138,336.00	0.00	10.00	-10.00	80.0	-8.00
10/10/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	69,575.00	0.00	10.00	-10.00	80.0	-8.00
10/12/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	31,428.00	0.00	10.00	-10.00	80.0	-8.00
10/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	135,744.00	0.00	10.00	-10.00	80.0	-8.00
10/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	138,264.00	0.00	10.00	-10.00	80.0	-8.00
10/16/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	70,100.00	0.00	10.00	-10.00	80.0	-8.00
10/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	135,672.00	0.00	10.00	-10.00	80.0	-8.00
10/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	135,672.00	0.00	10.00	-10.00	80.0	-8.00
10/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	135,672.00	0.00	10.00	-10.00	80.0	-8.00
10/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	-135,672.00	0.00	-10.00	10.00	80.0	8.00 C
10/18/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	26,735.00	0.00	10.00	-10.00	80.0	-8.00
10/18/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	28,400.00	0.00	10.00	-10.00	80.0	-8.00
10/19/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	27,345.00	0.00	10.00	-10.00	80.0	-8.00
10/19/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	27,856.67	0.00	10.00	-10.00	80.0	-8.00
10/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	66,744.00	0.00	10.00	-10.00	80.0	-8.00
10/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	133,560.00	0.00	10.00	-10.00	80.0	-8.00
10/23/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	B	22,004.10	0.00	10.00	-10.00	80.0	-8.00
10/24/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	129,288.00	0.00	10.00	-10.00	80.0	-8.00
10/24/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	64,644.00	0.00	10.00	-10.00	80.0	-8.00
10/24/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	98,095.62	0.00	10.00	-10.00	80.0	-8.00
10/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	131,208.00	0.00	10.00	-10.00	80.0	-8.00
10/25/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	99,929.60	0.00	10.00	-10.00	80.0	-8.00
10/26/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	32,282.46	0.00	10.00	-10.00	80.0	-8.00
10/26/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	27,900.00	105.00	10.00	95.00	80.0	76.00
10/26/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	27,900.00	0.00	10.00	-10.00	80.0	-8.00
10/26/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	-27,900.00	-105.00	-10.00	-95.00	80.0	-76.00 C
10/29/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	128,328.00	0.00	10.00	-10.00	80.0	-8.00
10/29/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	26,884.01	0.00	10.00	-10.00	80.0	-8.00
09/28/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	98,844.80	50.00	10.00	40.00	80.0	32.00
10/01/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	99,188.20	50.00	10.00	40.00	80.0	32.00
10/02/2018	75500529	KALOS, PAUL ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00
10/05/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	114,671.96	50.00	10.00	40.00	80.0	32.00
10/10/2018	75500529	KALOS, PAUL BLUE BIRD CORPORATION COM	S	2,260.17	0.00	10.00	-10.00	80.0	-8.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2018	75500529 KALOS, PAUL	SPDR S&P 500 ETF TRUST	B	97,405.00	50.00	10.00	40.00	80.0	32.00
10/15/2018	75500529 KALOS, PAUL	SPDR S&P 500 ETF TRUST	S	48,020.00	50.00	10.00	40.00	80.0	32.00
10/16/2018	75500529 KALOS, PAUL	SPDR S&P 500 ETF TRUST	S	49,070.00	25.00	10.00	15.00	80.0	12.00
10/24/2018	75500529 KALOS, PAUL	SPDR S&P 500 ETF TRUST	B	98,157.26	50.00	10.00	40.00	80.0	32.00
10/25/2018	75500529 KALOS, PAUL	SPDR S&P 500 ETF TRUST	S	99,929.60	50.00	10.00	40.00	80.0	32.00
10/10/2018	75500767 HAMILTON, DOUGLAS	SPDR S&P 500 ETF TRUST	B	25,047.00	0.00	10.00	-10.00	80.0	-8.00
10/15/2018	75500767 HAMILTON, DOUGLAS	SPDR S&P 500 ETF TRUST	S	12,348.00	15.00	10.00	5.00	80.0	4.00
10/16/2018	75500767 HAMILTON, DOUGLAS	SPDR S&P 500 ETF TRUST	S	12,618.00	15.00	10.00	5.00	80.0	4.00
10/24/2018	75500767 HAMILTON, DOUGLAS	SPDR S&P 500 ETF TRUST	B	29,161.36	15.00	10.00	5.00	80.0	4.00
10/25/2018	75500767 HAMILTON, DOUGLAS	SPDR S&P 500 ETF TRUST	S	29,708.80	15.00	10.00	5.00	80.0	4.00
10/03/2018	75500814 KARLIN, RONALD	ORAGENICS INC COM PAR \$0.001	B	1,250.00	25.00	10.00	15.00	80.0	12.00
10/16/2018	75500814 KARLIN, RONALD	UNIVERSAL DISPLAY CORPORATION	S	6,051.51	25.00	10.00	15.00	80.0	12.00
10/10/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	B	147,499.00	50.00	10.00	40.00	80.0	32.00
10/15/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	S	72,716.00	25.00	10.00	15.00	80.0	12.00
10/16/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	S	74,306.00	25.00	10.00	15.00	80.0	12.00
10/24/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	B	146,107.45	50.00	10.00	40.00	80.0	32.00
10/25/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	S	148,544.00	50.00	10.00	40.00	80.0	32.00
09/27/2018	75500829 GRAFSTEIN, ALLEN	BLUE BIRD CORPORATION COM	B	2,425.50	50.00	10.00	40.00	80.0	32.00
10/02/2018	75500829 GRAFSTEIN, ALLEN	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00
10/16/2018	75500829 GRAFSTEIN, ALLEN	CANOPY GROWTH CORP COM ISIN# CA1380 B	B	159,554.02	90.00	10.00	80.00	80.0	64.00
10/23/2018	75500829 GRAFSTEIN, ALLEN	CANOPY GROWTH CORP COM ISIN# CA1380 S	S	112,579.50	90.00	10.00	80.00	80.0	64.00
10/12/2018	75500854 LERNER, PETER	SALLY BEAUTY HOLDINGS INC	S	45,179.00	75.00	10.00	65.00	80.0	52.00
10/12/2018	75500854 LERNER, PETER	TE CONNECTIVITY LTD	S	79,154.40	50.00	10.00	40.00	80.0	32.00
10/12/2018	75500854 LERNER, PETER	THERMO FISHER SCIENTIFIC INC	B	114,698.25	50.00	10.00	40.00	80.0	32.00
10/26/2018	75500913 KARP, RONALD	PROSHARES TRUST PROSHARES ULTRASHOR S	S	775.08	10.00	10.00	0.00	80.0	0.00
09/28/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	40,700.80	25.00	10.00	15.00	80.0	12.00
10/01/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	40,842.20	25.00	10.00	15.00	80.0	12.00
10/02/2018	75500921 CZECH, ERIC	ALTRA INDL MOTION CORP COM	S	9,090.60	25.00	10.00	15.00	80.0	12.00
10/10/2018	75500921 CZECH, ERIC	BLUE BIRD CORPORATION COM	S	2,260.17	0.00	10.00	-10.00	80.0	-8.00
10/10/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	47,311.00	0.00	10.00	-10.00	80.0	-8.00
10/15/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	23,324.00	25.00	10.00	15.00	80.0	12.00
10/16/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	23,834.00	25.00	10.00	15.00	80.0	12.00
10/24/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	47,739.58	25.00	10.00	15.00	80.0	12.00
10/25/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	48,614.40	25.00	10.00	15.00	80.0	12.00
10/18/2018	75500926 CONGREGATION DARCHEI	IDEXX LABORATORIES CORP	S	9,099.20	50.00	10.00	40.00	80.0	32.00
10/02/2018	75500978 LERNER, SUSAN	GENERAL ELECTRIC CO	B	181,950.00	300.00	10.00	290.00	80.0	232.00
10/03/2018	75500978 LERNER, SUSAN	ADOBE SYSTEMS INC	B	271,576.30	50.00	10.00	40.00	80.0	32.00
10/03/2018	75500978 LERNER, SUSAN	FEDEX CORP	B	242,805.70	50.00	10.00	40.00	80.0	32.00
10/03/2018	75500978 LERNER, SUSAN	TE CONNECTIVITY LTD	B	131,288.70	50.00	10.00	40.00	80.0	32.00
10/03/2018	75500978 LERNER, SUSAN	WEST PHARMACEUTICAL SVCS INC COM	B	186,232.80	50.00	10.00	40.00	80.0	32.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: STOCKS												
10/04/2018	75500978	LERNER, SUSAN	LEAP THERAPEUTICS INC COMMON STOCK	B	75,377.00	100.00	10.00	90.00	80.0	72.00		
10/12/2018	75500978	LERNER, SUSAN	NEOGEN CORP	S	66,810.00	50.00	10.00	40.00	80.0	32.00		
10/12/2018	75500978	LERNER, SUSAN	TE CONNECTIVITY LTD	S	197,709.00	75.00	10.00	65.00	80.0	52.00		
10/12/2018	75500978	LERNER, SUSAN	THERMO FISHER SCIENTIFIC INC	B	114,736.80	50.00	10.00	40.00	80.0	32.00		
09/27/2018	75501006	GRAFSTEIN, ALLEN	BLUE BIRD CORPORATION COM	B	2,425.50	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501006	GRAFSTEIN, ALLEN	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501006	GRAFSTEIN, ALLEN	MORGAN STANLEY DEAN WITTER & CO	B	46,250.00	50.00	10.00	40.00	80.0	32.00		
09/27/2018	75501024	GRAFSTEIN, ALLEN	BLUE BIRD CORPORATION COM	B	2,425.50	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501024	GRAFSTEIN, ALLEN	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501024	GRAFSTEIN, ALLEN	MORGAN STANLEY DEAN WITTER & CO	B	46,250.00	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501159	EISBERG, JAMES	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00		
10/10/2018	75501159	EISBERG, JAMES	BLUE BIRD CORPORATION COM	S	2,259.20	0.00	10.00	-10.00	80.0	-8.00		
09/27/2018	75501192	ALLEN GRAFSTEIN	BLUE BIRD CORPORATION COM	B	2,425.50	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501192	ALLEN GRAFSTEIN	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75501192	ALLEN GRAFSTEIN	MORGAN STANLEY DEAN WITTER & CO	B	138,750.00	90.00	10.00	80.00	80.0	64.00		
10/09/2018	75501192	ALLEN GRAFSTEIN	TILRAY INC COM	B	193,500.00	50.00	10.00	40.00	80.0	32.00		
10/10/2018	75501192	ALLEN GRAFSTEIN	TILRAY INC COM	S	206,850.00	50.00	10.00	40.00	80.0	32.00		
10/23/2018	75501341	GRAFSTEIN, LEO	KRAFT HEINZ COMPANY (THE) COMMON ST	B	55,667.80	50.00	10.00	40.00	80.0	32.00		
09/27/2018	75502159	GRAFSTEIN, RACHEL	BLUE BIRD CORPORATION COM	B	2,425.50	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75502159	GRAFSTEIN, RACHEL	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00		
09/27/2018	75502160	GRAFSTEIN, RALPH	BLUE BIRD CORPORATION COM	B	2,425.50	50.00	10.00	40.00	80.0	32.00		
10/02/2018	75502160	GRAFSTEIN, RALPH	ALTRA INDL MOTION CORP COM	S	9,090.60	50.00	10.00	40.00	80.0	32.00		
10/15/2018	QGW019929	KARP, JEFFREY	ALAMOS GOLD INC COM CL A	S	-40,000.80	100.00	10.00	90.00	80.0	72.00		
10/16/2018	QGW019929	KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	23,840.00	80.00	10.00	70.00	80.0	56.00		
10/17/2018	QGW019929	KARP, JEFFREY	TATA MOTORS LTD SPONSORED ADR	B	24,219.80	60.00	10.00	50.00	80.0	40.00		
10/18/2018	QGW019929	KARP, JEFFREY	ALAMOS GOLD INC COM CL A	B	36,561.60	100.00	10.00	90.00	80.0	72.00		
10/23/2018	QGW019929	KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6	B	25,519.20	100.00	10.00	90.00	80.0	72.00		
10/24/2018	QGW019929	KARP, JEFFREY	ALAMOS GOLD INC COM CL A	S	-37,521.60	100.00	10.00	90.00	80.0	72.00		
10/24/2018	QGW019929	KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6	B	23,919.60	100.00	10.00	90.00	80.0	72.00		
10/29/2018	QGW019929	KARP, JEFFREY	ALAMOS GOLD INC COM CL A	B	34,225.60	80.00	10.00	70.00	80.0	56.00		
10/29/2018	QGW019929	KARP, JEFFREY	TATA MOTORS LTD SPONSORED ADR	B	24,276.40	60.00	10.00	50.00	80.0	40.00		
* STOCKS SUBTOTAL *					\$8,795,887.09	\$3,870.00	\$1,310.00	\$2,560.00	\$2,048.00			
PRODUCT CATEGORY: OPTIONS												
10/10/2018	75500514	HAMILTON, CHRISTOPHER PUT	SPY	10/19/18	280	S	264.00	0.00	10.00	-10.00	80.0	-8.00
10/19/2018	75500514	HAMILTON, CHRISTOPHER PUT	SPY	10/26/18	279	S	278.00	0.00	10.00	-10.00	80.0	-8.00
10/29/2018	75500514	HAMILTON, CHRISTOPHER PUT	SPY	11/09/18	269	S	459.00	0.00	10.00	-10.00	80.0	-8.00
10/23/2018	75500527	KALOS, PAUL	CALL PNC	11/16/18	135	B	120.00	25.00	10.00	15.00	80.0	12.00
10/24/2018	75500527	KALOS, PAUL	CALL PNC	01/18/19	110	S	11,450.00	50.00	10.00	40.00	80.0	32.00
10/05/2018	75500529	KALOS, PAUL	CALL SPY	10/26/18	283	S	2,592.00	50.00	10.00	40.00	80.0	32.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT			B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER TRANSACTIONS</div>											
PRODUCT CATEGORY: OPTIONS											
10/25/2018	75500529 KALOS, PAUL	CALL MMM	11/23/18	190	S	2,412.00	50.00	10.00	40.00	80.0	32.00
10/25/2018	75500529 KALOS, PAUL	CALL SPY	10/26/18	283	B	4.00	0.00	10.00	-10.00	80.0	-8.00
* OPTIONS SUBTOTAL *						\$17,579.00	\$175.00	\$80.00	\$95.00		\$76.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
10/31/2018		FEE COUNT - 43				0.00	777.84	0.00	777.84	80.0	622.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$777.84	\$0.00	\$777.84		\$622.26
*** BROKER TRANSACTIONS TOTAL ***						\$9,901,866.09	\$4,822.84	\$1,430.00	\$3,392.84		\$2,714.26

ADJUSTMENTS

10/31/2018	COMMISSION INCOME: SULLIVAN OVERRID	0.00	0.00	0.00	0.00	1,542.95
10/31/2018	OTHER: FEDERAL INS	0.00	0.00	0.00	0.00	-396.98
10/31/2018	DEMNL:MANUAL PROCESS FEE (LPFS): MA	0.00	0.00	0.00	0.00	-10.00
10/31/2018	OTHER: 360 OFFICE	0.00	0.00	0.00	0.00	-12.00
10/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
10/31/2018	OTHER: THOMSON	0.00	0.00	0.00	0.00	-112.86
10/31/2018	OTHER: DISABILITY INSURANCE	0.00	0.00	0.00	0.00	-2.04
10/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-55.66
10/31/2018	OTHER: WORKMAN COMP	0.00	0.00	0.00	0.00	-133.34
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$800.07

BROKER COMMISSION TOTALS

Broker Transactions	\$2,714.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$800.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.03
SIPC Assessment	\$-12.06

Check Amount	\$3,496.24
Year-to-date Earnings	\$37,877.47