

COMMISSION STATEMENT October 31, 2018

BRISTER DPM

BROKER #: D311

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISTER DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

10/24/2018	152979333	BURDEN TTEE, RAY	S	HANESBRANDS INC	B	1,701.40	15.00	15.00	0.00	0.0	0.00
10/11/2018	215156997	DALEY, SHEILA	S	GLOBAL X URANIUM ETF PAR \$0.001	S	-2,550.56	15.00	15.00	0.00	0.0	0.00
10/24/2018	215156997	DALEY, SHEILA	S	HANESBRANDS INC	B	8,410.95	15.00	15.00	0.00	0.0	0.00
10/26/2018	215156997	DALEY, SHEILA	S	VANGUARD DIVIDEND APPRECIATION	B	10,158.43	15.00	15.00	0.00	0.0	0.00
10/09/2018	338236246	HALKETT, BONNIE	S	OCCIDENTAL PETROLEUM CORP	S	-10,235.27	15.00	15.00	0.00	0.0	0.00
10/09/2018	338236246	HALKETT, BONNIE	S	SELECT SECTOR SPDR FD MATERIALS	S	-11,136.68	15.00	15.00	0.00	0.0	0.00
10/10/2018	338236246	HALKETT, BONNIE	S	AQUA AMERICA INCORPORATED	S	-3,784.53	15.00	15.00	0.00	0.0	0.00
10/10/2018	338236246	HALKETT, BONNIE	S	BHP BILLITON PLC-ADR	S	-4,158.51	15.00	15.00	0.00	0.0	0.00
10/10/2018	338236246	HALKETT, BONNIE	S	ENERPLUS CORP ISIN	S	-2,935.59	15.00	15.00	0.00	0.0	0.00
10/11/2018	338236246	HALKETT, BONNIE	S	SPDR S&P INSURANCE ETF	S	-6,072.47	15.00	15.00	0.00	0.0	0.00
10/24/2018	338236246	HALKETT, BONNIE	S	HANESBRANDS INC	B	5,053.73	15.00	15.00	0.00	0.0	0.00
10/26/2018	338236246	HALKETT, BONNIE	S	VANGUARD DIVIDEND APPRECIATION	B	10,177.41	15.00	15.00	0.00	0.0	0.00
10/05/2018	368270387	RADICH, TAMARA	S	ISHARES RUSSELL 2000 GROWTH FD	S	-5,108.73	15.00	15.00	0.00	0.0	0.00
10/11/2018	368270387	RADICH, TAMARA	S	SPDR S&P INSURANCE ETF	S	-3,027.51	15.00	15.00	0.00	0.0	0.00
10/24/2018	368270387	RADICH, TAMARA	S	HANESBRANDS INC	B	3,379.19	15.00	15.00	0.00	0.0	0.00
10/26/2018	368270387	RADICH, TAMARA	S	VANGUARD DIVIDEND APPRECIATION	B	10,144.43	15.00	15.00	0.00	0.0	0.00
10/11/2018	368294530	WINE, KENNETH	S	ENERPLUS CORP ISIN	S	-1,738.13	15.00	15.00	0.00	0.0	0.00
10/24/2018	368294530	WINE, KENNETH	S	HANESBRANDS INC	B	2,543.08	15.00	15.00	0.00	0.0	0.00
10/26/2018	368294530	WINE, KENNETH	S	VANGUARD DIVIDEND APPRECIATION	B	5,088.95	15.00	15.00	0.00	0.0	0.00
10/05/2018	368325691	HALKETT, BONNIE	S	ISHARES RUSSELL 2000 GROWTH FD	S	-10,234.92	15.00	15.00	0.00	0.0	0.00
10/09/2018	368325691	HALKETT, BONNIE	S	DIAMOND OFFSHORE DRILLING INC	S	-2,063.62	15.00	15.00	0.00	0.0	0.00
10/09/2018	368325691	HALKETT, BONNIE	S	SELECT SECTOR SPDR FD MATERIALS	S	-13,930.22	15.00	15.00	0.00	0.0	0.00
10/09/2018	368325691	HALKETT, BONNIE	S	VANECK VECTORS RARE EARTH/	S	-19,322.80	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	ARCHER-DANIELS-MIDLAND CO	S	-5,121.58	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	ARGAN INC	S	-4,232.89	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	BP PLC-SPONS ADR	S	-9,032.45	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	DIAMOND OFFSHORE DRILLING INC	S	-3,949.50	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	ENCANA CORPORATION ISIN	S	-5,982.97	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	ENERPLUS CORP ISIN	S	-2,945.69	15.00	15.00	0.00	0.0	0.00
10/10/2018	368325691	HALKETT, BONNIE	S	OCCIDENTAL PETROLEUM CORP	S	-7,975.55	15.00	15.00	0.00	0.0	0.00
10/11/2018	368325691	HALKETT, BONNIE	S	GRANITESHARES GOLD TR SHS BEN	B	12,221.20	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/11/2018 368325691	HALKETT, BONNIE	S ISHARES SILVER TRUST	B	20,522.30	15.00	15.00	0.00	0.0	0.00
10/24/2018 368325691	HALKETT, BONNIE	S GRANITESHARES GOLD TR SHS BEN	B	6,157.45	15.00	15.00	0.00	0.0	0.00
10/24/2018 368325691	HALKETT, BONNIE	S ISHARES SILVER TRUST	B	6,901.75	15.00	15.00	0.00	0.0	0.00
10/05/2018 368328257	OLSON, JENNIFER	S ISHARES RUSSELL 2000 GROWTH FD	S	-5,108.73	15.00	15.00	0.00	0.0	0.00
10/11/2018 368328257	OLSON, JENNIFER	S SPDR S&P INSURANCE ETF	S	-3,027.51	15.00	15.00	0.00	0.0	0.00
10/24/2018 368328257	OLSON, JENNIFER	S HANESBRANDS INC	B	5,063.24	15.00	15.00	0.00	0.0	0.00
10/26/2018 368328257	OLSON, JENNIFER	S VANGUARD DIVIDEND APPRECIATION	B	10,138.42	15.00	15.00	0.00	0.0	0.00
10/05/2018 368433130	SCHOTT, DONNA	S ISHARES RUSSELL 2000 GROWTH FD	S	-4,083.50	15.00	15.00	0.00	0.0	0.00
10/11/2018 368433130	SCHOTT, DONNA	S SPDR S&P INSURANCE ETF	S	-2,266.27	15.00	15.00	0.00	0.0	0.00
10/26/2018 368433130	SCHOTT, DONNA	S VANGUARD DIVIDEND APPRECIATION	B	4,071.45	15.00	15.00	0.00	0.0	0.00
10/11/2018 368436267	O'BRIEN, EDMUND	S ENERPLUS CORP ISIN	S	-2,320.98	15.00	15.00	0.00	0.0	0.00
10/24/2018 368436267	O'BRIEN, EDMUND	S HANESBRANDS INC	B	8,436.45	15.00	15.00	0.00	0.0	0.00
10/26/2018 368436267	O'BRIEN, EDMUND	S VANGUARD DIVIDEND APPRECIATION	B	10,146.42	15.00	15.00	0.00	0.0	0.00
10/24/2018 368436332	SULLIVAN FITCH,	S HANESBRANDS INC	B	2,538.28	15.00	15.00	0.00	0.0	0.00
10/26/2018 368436332	SULLIVAN FITCH,	S VANGUARD DIVIDEND APPRECIATION	B	-5,088.95	-15.00	-15.00	0.00	0.0	0.00
10/26/2018 368436332	SULLIVAN FITCH,	S VANGUARD DIVIDEND APPRECIATION	B	2,553.45	15.00	15.00	0.00	0.0	0.00
10/26/2018 368436332	SULLIVAN FITCH,	S VANGUARD DIVIDEND APPRECIATION	B	5,088.95	15.00	15.00	0.00	0.0	0.00
10/05/2018 368491851	BURDEN, RAY	S ISHARES RUSSELL 2000 GROWTH FD	S	-5,108.73	15.00	15.00	0.00	0.0	0.00
10/11/2018 368491851	BURDEN, RAY	S SPDR S&P INSURANCE ETF	S	-4,549.99	15.00	15.00	0.00	0.0	0.00
10/26/2018 368491851	BURDEN, RAY	S VANGUARD DIVIDEND APPRECIATION	B	7,611.19	15.00	15.00	0.00	0.0	0.00
10/26/2018 461898447	LEWIS (TOD), DENISE	S VANGUARD DIVIDEND APPRECIATION	B	2,553.20	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				<u>\$-6,433.51</u>	<u>\$750.00</u>	<u>\$750.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: BONDS

10/11/2018 215156997	DALEY, SHEILA	B UNITED STATES TREASURY	B	49,772.70	25.00	25.00	0.00	0.0	0.00
10/10/2018 368325691	HALKETT, BONNIE	B UNITED STATES TREASURY NOTES	B	48,794.66	25.00	25.00	0.00	0.0	0.00
10/11/2018 368325691	HALKETT, BONNIE	B UNITED STATES TREASURY	B	49,772.70	25.00	25.00	0.00	0.0	0.00
10/11/2018 368436267	O'BRIEN, EDMUND	B UNITED STATES TREASURY	B	99,517.94	25.00	25.00	0.00	0.0	0.00
* BONDS SUBTOTAL *				<u>\$247,858.00</u>	<u>\$100.00</u>	<u>\$100.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc083822	DPM BRISTER 083822	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	2.09	0.00	2.09	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$2.09</u>	<u>\$0.00</u>	<u>\$2.09</u>		<u>\$0.00</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$241,424.49</u>	<u>\$852.09</u>	<u>\$850.00</u>	<u>\$2.09</u>		<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00