

## **COMMISSION STATEMENT**

October 29, 2018

BERT GOLDBERG

1048 W. Myrna Lane Tempe, AZ 85284 BROKER #: 698

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
		BROKER TRA	NSACTIONS						
PRODUCT CATEGORY: MUTUAL FUNDS									
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	AMCAP FUND-A BUY 3 Units @34.3100	109.07	3.00	0.00	3.00	70.0	2.10	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	AMERICAN MUTUAL FUND-A BUY 3 Units @43.4100	109.07	3.00	0.00	3.00	70.0	2.10	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	FUNDAMENTAL INVESTORS-A BUY 2 Units @64.5300	100.68	2.77	0.00	2.77	70.0	1.94	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.8700	100.68	2.77	0.00	2.77	70.0	1.94	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	THE INCOME FUND OF AMERICA-A BUY 4 Units @23.5100	100.68	2.77	0.00	2.77	70.0	1.94	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	THE INVESTMENT COMPANY OF AMERICA-A BUY 3 Units @42.0500	109.07	3.00	0.00	3.00	70.0	2.10	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	THE NEW ECONOMY FUND-A BUY 2 Units @47.3200	100.68	2.77	0.00	2.77	70.0	1.94	
10/10/2018	8 ***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @47.0300	109.07	3.00	0.00	3.00	70.0	2.10	
		* MUTUAL FUNDS SUBTOTAL *	\$839.00	\$23.08	\$0.00	\$23.08		\$16.16	
		*** BROKER TRANSACTIONS TOTAL ***	\$839.00	\$23.08	\$0.00	\$23.08		\$16.16	



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CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
					BROKER COMMI	SSION	TOTALS
				Broke	er Transactions		\$16.16
				Spli	t Transactions		\$0.00
				Overrid	le Transactions		\$0.00
					Adjustments		\$0.00
					Payroll Draw		\$0.00
					Base Salary		\$0.00
				Prior	Period Balance		\$50.77
				F	NRA Assessment		\$0.00
				5	SIPC Assessment		\$0.00
							\$66.93 \$55,516.00
	CLIENT	P	CLIENT INVESTMENT AMOUNT  Please Retain for You		CLIENT AMOUNT COMMISSION CHARGE  Broke Spli Overrice  Prior FI  Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD  END  CHARGE  Broke Spli Overrice  Prior FI  SPLI SPLI SPLI SPLI SPLI SPLI SPLI SP	CLIENT INVESTMENT AMOUNT COMMISSION CHARGE COMMISSION  Broker Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment THERE WILL BE NO PAYMENT THIS PERIOD  BROKER COMMISSION  BROKER COMMISSION  CHARGE COMMISSION  BROCKER COMMISSION  TRANSACTIONS  Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment	CLIENT INVESTMENT AMOUNT COMMISSION CHARGE COMMISSION RATE    BROKER COMMISSION   Broker Transactions   Split Transactions   Override Transactions   Adjustments   Payroll Draw   Base Salary   Prior   Period Balance   FINRA Assessment   SIPC Assessment   SIPC Assessment   Please Retain for Your Records   Palance Retains   Palance Payroll   Payroll