

# COMMISSION STATEMENT September 30, 2018

PAM BOOTON-D006  
 5955 Lomita Verde Circle

BROKER #: D006  
 BRANCH: DMN

Austin, TX 78749

## COMMISSION STATEMENT for PAM BOOTON-D006

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: STOCKS

09/14/2018	246528552	RHOADES, TERRY	S	ARISTA NETWORK, INC	B	2,727.45	15.00	15.00	0.00	90.0	0.00
				* STOCKS SUBTOTAL *		\$2,727.45	\$15.00	\$15.00	\$0.00		\$0.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc099149	BOOTON 099149		MFT AMCAP FUND-A	B	0.00	1.36	0.00	1.36	90.0	1.22
09/21/2018	mfc099149	BOOTON 099149		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.47	0.00	1.47	90.0	1.32
09/21/2018	mfc099149	BOOTON 099149		MFT THE INVESTMENT COMPANY OF	B	0.00	1.29	0.00	1.29	90.0	1.16
09/21/2018	mfc099149	BOOTON 099149		MFT THE NEW ECONOMY FUND-A	B	0.00	1.41	0.00	1.41	90.0	1.27
09/21/2018	mfc099149	BOOTON 099149		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.28	0.00	1.28	90.0	1.15
09/24/2018	mfc099149	BOOTON 099149		MFT AMCAP FUND-A	B	0.00	1.29	0.00	1.29	90.0	1.16
09/24/2018	mfc099149	BOOTON 099149		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.38	0.00	1.38	90.0	1.24
09/24/2018	mfc099149	BOOTON 099149		MFT THE INVESTMENT COMPANY OF	B	0.00	1.21	0.00	1.21	90.0	1.09
09/24/2018	mfc099149	BOOTON 099149		MFT THE NEW ECONOMY FUND-A	B	0.00	1.33	0.00	1.33	90.0	1.20
09/24/2018	mfc099149	BOOTON 099149		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.22	0.00	1.22	90.0	1.10
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$13.24	\$0.00	\$13.24		\$11.91
				*** CLEARING TRANSACTIONS TOTAL ***		\$2,727.45	\$28.24	\$15.00	\$13.24		\$11.91

### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018	00084049352	LOVETT IV, GEORGE MF		AMCAP FUND-529A	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018	00084049352	LOVETT IV, GEORGE MF		THE GROWTH FUND OF AMERICA-529A	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018	00084690310	LOVETT IV, GEORGE MF		AMCAP FUND-529A	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018	00084690310	LOVETT IV, GEORGE MF		THE GROWTH FUND OF AMERICA-529A	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018	00084690320	LOVETT IV, GEORGE MF		AMCAP FUND-529A	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018	00084690320	LOVETT IV, GEORGE MF		THE GROWTH FUND OF AMERICA-529A	B	50.00	0.50	0.00	0.50	90.0	0.45
09/17/2018	00085592789	BRATHWAITE, MF		AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
				* MUTUAL FUNDS SUBTOTAL *		\$350.00	\$5.13	\$0.00	\$5.13		\$4.62

COMMISSION STATEMENT for PAM BOOTON-D006

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
08/31/2018 (None)	UNIVERSITY, OF B	VAT LINCOLN NATL LIFE	B	0.00	113.88	0.00	113.88	90.0	102.49
08/31/2018 (None)	UNIVERSITY, OF B	VAT LINCOLN NATL LIFE	B	0.00	11.70	0.00	11.70	90.0	10.53
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$125.58	\$0.00	\$125.58		\$113.02
*** DIRECT TRANSACTIONS TOTAL ***				\$350.00	\$130.71	\$0.00	\$130.71		\$117.64

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	FEDEX- NAT. LIFE GROUP 7-27	0.00	0.00	0.00	0.00	-35.57
09/30/2018	:	FEDEX- NAT. LIFE GROUP 8-24	0.00	0.00	0.00	0.00	-35.49
09/30/2018	:	FEDEX- SECURITY BENEFIT 7-27	0.00	0.00	0.00	0.00	-32.57
09/30/2018	:	SHAREFILE	0.00	0.00	0.00	0.00	-13.00
09/30/2018	:	USPS- SBG JANG & ZHIBAO 9/24	0.00	0.00	0.00	0.00	-24.70
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-326.33
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-326.33

BROKER COMMISSION TOTALS

Broker Transactions	\$129.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-326.33
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-196.78
Year-to-date Commissions	\$221,738.44