

# COMMISSION STATEMENT

October 31, 2018

**BARRY DAVIS-D018**  
 270 South Glynn Street

**BROKER #: D018**  
 BRANCH: DME

Fayetteville, GA 30214

## COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
10/08/2018 00067723138	WILLIAMS, EDWARD	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
10/15/2018 00067723138	WILLIAMS, EDWARD	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
10/22/2018 00067723138	WILLIAMS, EDWARD	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
10/16/2018 00074587618	HAY, JOY	MF THE INVESTMENT COMPANY OF	B	100.00	5.00	0.00	5.00	70.0	3.50
10/16/2018 00074587647	HAY, JOY	MF THE INVESTMENT COMPANY OF	B	100.00	5.00	0.00	5.00	70.0	3.50
10/15/2018 00085425741	CLOUD, ANTRE	MF THE GROWTH FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25	70.0	2.98
10/15/2018 00085425741	CLOUD, ANTRE	MF THE INCOME FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25	70.0	2.98
10/15/2018 00085425741	CLOUD, ANTRE	MF THE INVESTMENT COMPANY OF	B	100.00	4.25	0.00	4.25	70.0	2.98
10/22/2018 00085746820	DAVIS, MICHAEL	MF AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.50	0.00	1.50	70.0	1.05
10/22/2018 00085746820	DAVIS, MICHAEL	MF NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/08/2018 00086607284	RICHEY, CHERYL	MF THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46	70.0	0.32
10/08/2018 00086607284	RICHEY, CHERYL	MF THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44	70.0	1.01
10/08/2018 00086607284	RICHEY, CHERYL	MF WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20	70.0	0.14
10/08/2018 00086607284	RICHEY, CHERYL	MF WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
10/15/2018 00086607284	RICHEY, CHERYL	MF THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44	70.0	1.01
10/15/2018 00086607284	RICHEY, CHERYL	MF THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46	70.0	0.32
10/15/2018 00086607284	RICHEY, CHERYL	MF WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
10/15/2018 00086607284	RICHEY, CHERYL	MF WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20	70.0	0.14
10/22/2018 00086607284	RICHEY, CHERYL	MF THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46	70.0	0.32
10/22/2018 00086607284	RICHEY, CHERYL	MF THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44	70.0	1.01
10/22/2018 00086607284	RICHEY, CHERYL	MF WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20	70.0	0.14
10/22/2018 00086607284	RICHEY, CHERYL	MF WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
10/08/2018 00086607293	MCDONALD, LARRY	MF AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34	70.0	0.24
10/08/2018 00086607293	MCDONALD, LARRY	MF AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41	70.0	0.29
10/08/2018 00086607293	MCDONALD, LARRY	MF THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84	70.0	0.59
10/08/2018 00086607293	MCDONALD, LARRY	MF THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03	70.0	0.72
10/08/2018 00086607293	MCDONALD, LARRY	MF WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50	70.0	0.35
10/08/2018 00086607293	MCDONALD, LARRY	MF WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
10/15/2018 00086607293	MCDONALD, LARRY	MF AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41	70.0	0.29
10/15/2018 00086607293	MCDONALD, LARRY	MF AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34	70.0	0.24
10/15/2018 00086607293	MCDONALD, LARRY	MF THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84	70.0	0.59

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/15/2018 00086607293	MCDONALD, LARRY	MF THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03	70.0	0.72
10/15/2018 00086607293	MCDONALD, LARRY	MF WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
10/15/2018 00086607293	MCDONALD, LARRY	MF WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50	70.0	0.35
10/22/2018 00086607293	MCDONALD, LARRY	MF AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34	70.0	0.24
10/22/2018 00086607293	MCDONALD, LARRY	MF AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41	70.0	0.29
10/22/2018 00086607293	MCDONALD, LARRY	MF THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84	70.0	0.59
10/22/2018 00086607293	MCDONALD, LARRY	MF THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03	70.0	0.72
10/22/2018 00086607293	MCDONALD, LARRY	MF WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50	70.0	0.35
10/22/2018 00086607293	MCDONALD, LARRY	MF WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62	70.0	0.43
10/08/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/08/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/08/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/08/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/08/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/08/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/08/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/08/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/15/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/15/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/15/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/15/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/15/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/15/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/15/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/15/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/22/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/22/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/22/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/22/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/22/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/22/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/22/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/22/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/08/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	14.84	0.41	0.00	0.41	70.0	0.29
10/08/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	14.84	0.41	0.00	0.41	70.0	0.29
10/08/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	14.83	0.41	0.00	0.41	70.0	0.29
10/08/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	14.83	0.41	0.00	0.41	70.0	0.29
10/15/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	13.80	0.38	0.00	0.38	70.0	0.27
10/15/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	13.80	0.38	0.00	0.38	70.0	0.27
10/15/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	13.80	0.38	0.00	0.38	70.0	0.27
10/15/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	13.80	0.38	0.00	0.38	70.0	0.27
10/22/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	11.73	0.32	0.00	0.32	70.0	0.22
10/22/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	11.73	0.32	0.00	0.32	70.0	0.22
10/22/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	11.73	0.32	0.00	0.32	70.0	0.22

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/22/2018	00086622199 RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	11.73	0.32	0.00	0.32	70.0	0.22
* MUTUAL FUNDS SUBTOTAL *				\$1,661.43	\$57.68	\$0.00	\$57.68		\$40.46
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>									
10/20/2018	(None) ADCOCK, MORRIS	VAT AMERICAN GENERAL	B	0.00	106.75	0.00	106.75	70.0	74.73
10/22/2018	(None) LLOYD, LADON	VAT AMERICAN GENERAL	B	0.00	190.46	0.00	190.46	70.0	133.32
10/16/2018	VR07021819 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	18.42	0.00	18.42	70.0	12.89
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$315.63	\$0.00	\$315.63		\$220.94
*** DIRECT TRANSACTIONS TOTAL ***				\$1,661.43	\$373.31	\$0.00	\$373.31		\$261.40

LINKED CLEARING TRANSACTIONS

10/19/2018	mfc000309 DAVIS 000309	MFT AMERICAN BALANCED FUND-A	B	0.00	9.71	0.00	9.71		6.80
* BRYANT DAVIS-D084 SUBTOTAL *				\$0.00	\$9.71	\$0.00	\$9.71		\$6.80
*** LINKED CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$9.71	\$0.00	\$9.71		\$6.80

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$268.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$113.20
Year-to-date Commissions	\$49,886.25