



COMMISSION STATEMENT

October 15, 2018

VINCENT MICCICHE
400 West Metro Park
Rochester, NY 14623

BROKER #: 001
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 10/01/2018 | ***-**-785I - LESTER, HOWARD E | PUTNAM GROWTH OPPORTUNITIES FD CL A | 0.00 | 43.18 | 0.00 | 43.18 | 90.0 | 38.86 |
| | ACCOUNT #: 00021139794 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-604I - KUBIAK, ANN | PUTNAM GROWTH OPPORTUNITIES FD CL A | 0.00 | 38.29 | 0.00 | 38.29 | 90.0 | 34.46 |
| | ACCOUNT #: 00021150698 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-166I - KUBIAK, DANIEL | PUTNAM GROWTH OPPORTUNITIES FD CL A | 0.00 | 38.29 | 0.00 | 38.29 | 90.0 | 34.46 |
| | ACCOUNT #: 00021154830 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$119.76 | \$0.00 | \$119.76 | | \$107.78 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$119.76 | \$0.00 | \$119.76 | | \$107.78 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$107.78 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|-------------------|
| Net Commission Earned | \$107.78 |
| Year-to-date Earnings | \$4,158.63 |