



# COMMISSION STATEMENT

November 12, 2018

**RICHARD MACKIE**  
101 Sully's Trail  
Building 10 #17  
Pittsford, NY 14534

**BROKER #: A84** Terminated 05/31/2016  
BRANCH #01016 - 16 - CASPER

| DATE                      | CLIENT | INVESTMENT                       | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b> |        |                                  |                      |                     |                    |                   |      |                      |
| / /                       |        | PRIOR PERIOD BALANCE(SEE BELOW)  | 0.00                 | 0.00                | 0.00               | 0.00              |      | 0.00                 |
|                           |        | <b>*** ADJUSTMENTS TOTAL ***</b> | <b>\$0.00</b>        | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |

## **BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$0.00    |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$0.00    |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$-550.00 |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

|                              |                  |
|------------------------------|------------------|
| <b>Balance Forward</b>       | <b>\$-550.00</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>    |