



COMMISSION STATEMENT

October 29, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/22/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 1 Units @34.3900						
10/25/2018	***-**-134I - KOONTZ, JOSEPH	PL PORTFOLIO OPTIMIZATION AGGRESSIV	24,357.94	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR012089	SELL 1476 Units @16.5000						
10/22/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	50.01	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @26.1300						
		* MUTUAL FUNDS SUBTOTAL *	\$24,457.95	\$23.00	\$18.00	\$5.00		\$3.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/26/2018	***-**-889I - BAILLARGEON,	PERSPECTIVE II DTCC	30,000.00	2,100.00	0.00	2,100.00	70.0	1,470.00
	ACCOUNT #: 1021626135	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$30,000.00	\$2,100.00	\$0.00	\$2,100.00		\$1,470.00
<u>PRODUCT CATEGORY: FIXED ANNUITIES</u>								
10/19/2018	***-**-736I - UNGER, HELEN	NATIONWIDE INCOME PROMISE SELECT	75,000.00	1,500.00	0.00	1,500.00	70.0	1,050.00
		BUY 1 Units @75000.0000						
		* FIXED ANNUITIES SUBTOTAL *	\$75,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,050.00
<u>PRODUCT CATEGORY: RIA</u>								
10/29/2018	***-**-87FB - AMANTE, JAMES	GENWORTH ADVISOR FEES	0.00	14.01	0.00	14.01	70.0	9.81
		BUY 1 Units @0.0000						
		* RIA SUBTOTAL *	\$0.00	\$14.01	\$0.00	\$14.01		\$9.81
<u>PRODUCT CATEGORY: 529 PLAN</u>								
10/29/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901202	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$100.00	\$2.50	\$0.00	\$2.50		\$1.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/29/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	3.11	0.00	3.11	70.0	2.18
		BUY 0 Units @0.0000						
10/29/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.86	0.00	2.86	70.0	2.00
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/29/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	2.08	0.00	2.08	70.0	1.46
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
10/29/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.37	0.00	3.37	70.0	2.36
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.42	\$0.00	\$11.42		\$8.00
*** BROKER TRANSACTIONS TOTAL ***			\$129,557.95	\$3,650.93	\$18.00	\$3,632.93		\$2,543.06

BROKER COMMISSION TOTALS

Broker Transactions	\$2,543.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,543.06
Year-to-date Earnings	\$39,710.10