

COMMISSION STATEMENT

September 30, 2018

TOM BRISTER-D011
 32180 Inverness Drive

BROKER #: D011
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

09/25/2018	368432922	STUBBS, JACQUELINE	MF	WASHINGTON MUTUAL INVESTORS	B	-2,997.55	0.00	5.00	-5.00	87.5	-4.38
				* MUTUAL FUNDS SUBTOTAL *		\$-2,997.55	\$0.00	\$5.00	\$-5.00		\$-4.38

PRODUCT CATEGORY: STOCKS

08/30/2018	368332548	HILL, AUBREY	S	VANTAGE DRILLING CO ISIN #	B	111.11	1.11	0.00	1.11	87.5	0.97
08/30/2018	368332548	HILL, AUBREY	S	VANTAGE DRILLING CO ISIN #	B	111.11	1.11	0.00	1.11	87.5	0.97
09/04/2018	368332548	HILL, AUBREY	S	VANTAGE DRILLING CO ISIN #	B	0.84	0.01	0.00	0.01	87.5	0.01
09/11/2018	368332548	HILL, AUBREY	S	VANTAGE DRILLING CO ISIN #	B	1,410.97	14.11	0.00	14.11	87.5	12.35
09/24/2018	677639743	GRAHAM, DAVID	S	GENERAL ELECTRIC COMPANY	S	-2,240.46	23.00	15.00	8.00	87.5	7.00
09/24/2018	677663636	BAKER, CLAUDE	S	GENERAL ELECTRIC COMPANY	S	-2,249.41	15.00	15.00	0.00	87.5	0.00
09/25/2018	677663636	BAKER, CLAUDE	S	PAN AMERICAN SILVER CORP. ISIN	S	-4,639.14	15.00	15.00	0.00	87.5	0.00
09/25/2018	677663636	BAKER, CLAUDE	S	TANGER FACTORY OUTLET CENTER	B	4,017.40	15.00	15.00	0.00	87.5	0.00
				* STOCKS SUBTOTAL *		\$-3,477.58	\$84.34	\$60.00	\$24.34		\$21.30

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.32	0.00	0.32	87.5	0.28
09/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	6.81	0.00	6.81	87.5	5.96
09/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION C	B	0.00	27.29	0.00	27.29	87.5	23.88
09/21/2018	mfc564136	BRISTER T 564136	MFT	FEDERATED EQUITY INCOME FUND	B	0.00	0.20	0.00	0.20	87.5	0.18
09/21/2018	mfc564136	BRISTER T 564136	MFT	PIMCO SHORT TERM FD CL C	B	0.00	4.11	0.00	4.11	87.5	3.60
09/21/2018	mfc564136	BRISTER T 564136	MFT	PRINCIPAL INVESTORS SAM CONSERV	B	0.00	2.10	0.00	2.10	87.5	1.84
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	0.81	0.00	0.81	87.5	0.71
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	1.13	0.00	1.13	87.5	0.99
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	1.13	0.00	1.13	87.5	0.99
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	11.82	0.00	11.82	87.5	10.34
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	13.64	0.00	13.64	87.5	11.94
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	14.35	0.00	14.35	87.5	12.56
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	B	0.00	26.78	0.00	26.78	87.5	23.43
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.09	0.00	1.09	87.5	0.95
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.31	0.00	1.31	87.5	1.15
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.87	0.00	1.87	87.5	1.64
09/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	20.52	0.00	20.52	87.5	17.96

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	29.25	0.00	29.25	87.5	25.59
09/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	31.53	0.00	31.53	87.5	27.59
09/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	52.80	0.00	52.80	87.5	46.20
09/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	0.98	0.00	0.98	87.5	0.86
09/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	24.19	0.00	24.19	87.5	21.17
09/21/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.36	0.00	4.36	87.5	3.82
09/21/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	11.29	0.00	11.29	87.5	9.88
09/24/2018	mfc564136 BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.30	0.00	0.30	87.5	0.26
09/24/2018	mfc564136 BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	6.42	0.00	6.42	87.5	5.62
09/24/2018	mfc564136 BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	25.72	0.00	25.72	87.5	22.51
09/24/2018	mfc564136 BRISTER T 564136	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.19	0.00	0.19	87.5	0.17
09/24/2018	mfc564136 BRISTER T 564136	MFT PIMCO SHORT TERM FD CL C	B	0.00	3.85	0.00	3.85	87.5	3.37
09/24/2018	mfc564136 BRISTER T 564136	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	1.97	0.00	1.97	87.5	1.72
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	0.76	0.00	0.76	87.5	0.67
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.06	0.00	1.06	87.5	0.93
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.06	0.00	1.06	87.5	0.93
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	11.09	0.00	11.09	87.5	9.70
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	12.80	0.00	12.80	87.5	11.20
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	13.47	0.00	13.47	87.5	11.79
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	25.13	0.00	25.13	87.5	21.99
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.02	0.00	1.02	87.5	0.89
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.23	0.00	1.23	87.5	1.08
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.75	0.00	1.75	87.5	1.53
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	19.21	0.00	19.21	87.5	16.81
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	27.38	0.00	27.38	87.5	23.96
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	29.51	0.00	29.51	87.5	25.82
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	49.42	0.00	49.42	87.5	43.24
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	0.92	0.00	0.92	87.5	0.81
09/24/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	22.65	0.00	22.65	87.5	19.82
09/24/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.09	0.00	4.09	87.5	3.58
09/24/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	10.70	0.00	10.70	87.5	9.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$561.38	\$0.00	\$561.38		\$491.27
*** CLEARING TRANSACTIONS TOTAL ***				\$-6,475.13	\$645.72	\$65.00	\$580.72		\$508.19

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/17/2018	00064805200	SCHMIDT, MF	NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06	
09/17/2018	00064805200	SCHMIDT, MF	THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06	
09/17/2018	00086110559	DRAUGHN, DUSTIN	MF	THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
				* MUTUAL FUNDS SUBTOTAL *	\$440.00	\$18.25	\$0.00	\$18.25		\$15.96	
PRODUCT CATEGORY: VARIABLE ANNUITIES											
09/18/2018	(None)	BLACKWELL, JACQU	VA	AMERITAS	B	75.00	3.00	0.00	3.00	87.5	2.63
09/18/2018	(None)	BLACKWELL, JACQU	VA	AMERITAS	B	162.08	6.48	0.00	6.48	87.5	5.67
09/21/2018	(None)	BRISTER, LYDIA	VA	PRUDENTIAL LIFE	B	200.00	10.00	0.00	10.00	87.5	8.75
				* VARIABLE ANNUITIES SUBTOTAL *	\$437.08	\$19.48	\$0.00	\$19.48		\$17.05	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/06/2018	08699897631	MOINAT, IRIS	MFT	COLUMBIA TAX-EXEMPT FUND-A	B	0.00	24.16	0.00	24.16	87.5	21.14
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$24.16	\$0.00	\$24.16		\$21.14	
				*** DIRECT TRANSACTIONS TOTAL ***	\$877.08	\$61.89	\$0.00	\$61.89		\$54.15	

OVERRIDE TRANSACTIONS**BROKER: ALEX BRISTER-D010 #14**

09/04/2018	(None)	0045711389	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
09/04/2018	(None)	0045795127	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
09/04/2018	(None)	0045709342	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
09/12/2018	00068781179	GERRIE, SARA	MF	AMCAP FUND-A	B	300.00	8.25	0.00	8.25		3.09
09/17/2018	00083404474	BARNES, WENDY	MF	AMCAP FUND-A	B	500.00	18.75	0.00	18.75		7.03
09/06/2018	676429808	BRISTER, THOMAS	MF	EUROPACIFIC GROWTH FUND-F2	S	-2,129.92	0.00	5.00	-5.00		-1.88
09/07/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00		1.88
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	5.18	0.00	5.18		1.94
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.38	0.00	1.38		0.52
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	6.90	0.00	6.90		2.59
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	18.46	0.00	18.46		6.92
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI SHRT DURATION HIGH	B	0.00	4.25	0.00	4.25		1.59
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	0.22	0.00	0.22		0.08
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	1.70	0.00	1.70		0.64
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	5.51	0.00	5.51		2.07
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-C	B	0.00	4.79	0.00	4.79		1.80
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.15	0.00	0.15		0.06
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10		0.04
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.15	0.00	1.15		0.43
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.50	0.00	0.50		0.19

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.95	0.00	1.95	0.73
09/21/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.09	0.00	0.09	0.03
09/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.18	0.00	0.18	0.07
09/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.67	0.00	1.67	0.63
09/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.86	0.00	1.86	0.70
09/21/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.19	0.00	1.19	0.45
09/21/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	3.12	0.00	3.12	1.17
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.07	0.00	0.07	0.03
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.68	0.00	0.68	0.26
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.72	0.00	0.72	0.27
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.99	0.00	0.99	0.37
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	1.51	0.00	1.51	0.57
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	4.88	0.00	4.88	1.83
09/21/2018	mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	0.78	0.00	0.78	0.29
09/21/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	2.29	0.00	2.29	0.86
09/21/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	2.56	0.00	2.56	0.96
09/21/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	1.41	0.00	1.41	0.53
09/21/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	4.40	0.00	4.40	1.65
09/21/2018	mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	0.66	0.00	0.66	0.25
09/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.08	0.00	0.08	0.03
09/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.92	0.00	0.92	0.35
09/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.58	0.00	2.58	0.97
09/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.58	0.00	2.58	0.97
09/21/2018	mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.12	0.00	3.12	1.17
09/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.92	0.00	0.92	0.35
09/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.17	0.00	1.17	0.44
09/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.05	0.00	2.05	0.77
09/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	6.36	0.00	6.36	2.39
09/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.13	0.00	0.13	0.05
09/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.90	0.00	0.90	0.34
09/21/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.17	0.00	0.17	0.06
09/21/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.77	0.00	4.77	1.79
09/24/2018	mfc652653	BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	4.85	0.00	4.85	1.82
09/24/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.30	0.00	1.30	0.49
09/24/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	6.55	0.00	6.55	2.46
09/24/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	17.51	0.00	17.51	6.57
09/24/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	2.21	0.00	2.21	0.83
09/24/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.21	0.00	0.21	0.08
09/24/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	1.61	0.00	1.61	0.60
09/24/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	5.21	0.00	5.21	1.95
09/24/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-C	B	0.00	4.53	0.00	4.53	1.70
09/24/2018	mfc652653	BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.14	0.00	0.14	0.05
09/24/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.07	0.00	1.07	0.40
09/24/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.09	0.00	0.09	0.03

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.47	0.00	0.47	0.18
09/24/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.82	0.00	1.82	0.68
09/24/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.08	0.00	0.08	0.03
09/24/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.17	0.00	0.17	0.06
09/24/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.58	0.00	1.58	0.59
09/24/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.75	0.00	1.75	0.66
09/24/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.12	0.00	1.12	0.42
09/24/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.93	0.00	2.93	1.10
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.06	0.00	0.06	0.02
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.64	0.00	0.64	0.24
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.67	0.00	0.67	0.25
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.94	0.00	0.94	0.35
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	1.43	0.00	1.43	0.54
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	4.59	0.00	4.59	1.72
09/24/2018	mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	0.72	0.00	0.72	0.27
09/24/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	2.17	0.00	2.17	0.81
09/24/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	2.42	0.00	2.42	0.91
09/24/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	1.34	0.00	1.34	0.50
09/24/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	4.19	0.00	4.19	1.57
09/24/2018	mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	0.62	0.00	0.62	0.23
09/24/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.08	0.00	0.08	0.03
09/24/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.88	0.00	0.88	0.33
09/24/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.46	0.00	2.46	0.92
09/24/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.46	0.00	2.46	0.92
09/24/2018	mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.94	0.00	2.94	1.10
09/24/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.86	0.00	0.86	0.32
09/24/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.10	0.00	1.10	0.41
09/24/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.93	0.00	1.93	0.72
09/24/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	5.98	0.00	5.98	2.24
09/24/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.12	0.00	0.12	0.05
09/24/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.85	0.00	0.85	0.32
09/24/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.16	0.00	0.16	0.06
09/24/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.52	0.00	4.52	1.70
* ALEX BRISTER-D010 SUBTOTAL *					<u>\$-1,179.92</u>	<u>\$242.88</u>	<u>\$5.00</u>	<u>\$237.88</u>	<u>\$89.23</u>
*** OVERRIDE TRANSACTIONS TOTAL ***					<u>\$-1,179.92</u>	<u>\$242.88</u>	<u>\$5.00</u>	<u>\$237.88</u>	<u>\$89.23</u>

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E&O - ALEX BRISTER		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
09/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		95.20
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-219.80</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-219.80</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$562.34
Split Transactions	\$0.00
Override Transactions	\$89.23
Adjustments	\$-219.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$431.77
Year-to-date Commissions	\$27,629.85