



# COMMISSION STATEMENT

## December 10, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/07/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 4 Units @13.7300						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.48</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/07/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	1.29	0.00	1.29	95.0	1.23
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
12/07/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.64	0.00	1.64	95.0	1.56
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
12/07/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.08	0.00	1.08	95.0	1.03
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
12/10/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF TRAILS	0.00	5.62	0.00	5.62	95.0	5.34
		BUY 0 Units @0.0000						
12/10/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF TRAILS	0.00	0.17	0.00	0.17	95.0	0.16
		BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$9.80</b>	<b>\$0.00</b>	<b>\$9.80</b>		<b>\$9.32</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$50.00</b>	<b>\$10.30</b>	<b>\$0.00</b>	<b>\$10.30</b>		<b>\$9.80</b>

### VERRIDE TRANSACTIONS

#### **BROKER: RONALD DRAKE**

12/03/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714	BUY 1 Units @35.1700						
12/03/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 2 Units @28.5200						
12/06/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 5 Units @28.2500						
12/03/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 5 Units @10.3100						
12/06/2018	***-**-0911 - SPAZIANI,	AMERICAN HIGH-INCOME TRUST-A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 00082570536	BUY 8 Units @10.2300						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
12/03/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN MUTUAL FUND-A BUY 1 Units @44.0700	50.00	1.38	0.00	1.38	20.0	0.28
12/03/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	CAPITAL INCOME BUILDER-A BUY 1 Units @63.0100	50.00	2.50	0.00	2.50	20.0	0.50
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.2400	135.00	5.06	0.00	5.06	20.0	1.01
12/11/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	119.12	119.12	0.00	119.12	15.0	17.87
12/11/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	56.87	56.87	0.00	56.87	15.0	8.53
12/11/2018	***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	123.98	123.98	0.00	123.98	15.0	18.60
12/03/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.8300	50.00	1.38	0.00	1.38	20.0	0.28
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.5300	55.00	2.06	0.00	2.06	20.0	0.41
12/03/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.9100	50.00	1.88	0.00	1.88	20.0	0.38
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE INCOME FUND OF AMERICA-A BUY 6 Units @23.4200	135.00	5.06	0.00	5.06	20.0	1.01
<b>* RONALD DRAKE SUBTOTAL *</b>			<b>\$1,189.97</b>	<b>\$332.01</b>	<b>\$0.00</b>	<b>\$332.01</b>		<b>\$51.42</b>
<b><u> BROKER: RONALD CIOTOLI </u></b>								
11/30/2018	***-**-630I - ROMA, JAMES ACCOUNT #: 1200802832	JACKSON 468511530 BUY 0 Units @0.0000	0.00	42.87	0.00	42.87	35.0	15.00
12/11/2018	***-**-39FB - CONTI, ELLEN ACCOUNT #: 925300576	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	203.85	203.85	0.00	203.85	35.0	71.35
12/11/2018	***-**-18FB - GORDON, DIANE ACCOUNT #: 925325360	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	230.47	230.47	0.00	230.47	35.0	80.66
12/11/2018	***-**-1730 - BENZI, ROBERT ACCOUNT #: 925340599	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	235.25	235.25	0.00	235.25	35.0	82.34
12/11/2018	***-**-14FB - CONTI, THOMAS ACCOUNT #: 925530255	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	91.77	91.77	0.00	91.77	35.0	32.12



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
12/11/2018	***-**-08FB - KROH, TODD	LIFEMARK INVESTMENT ADVISORY FEE	805.33	805.33	0.00	805.33	35.0	281.87
	ACCOUNT #: 925550769	BUY 0 Units @0.0000						
12/07/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @22.7300						
12/07/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	35.0	0.40
	ACCOUNT #: 00067346436	BUY 3 Units @22.7300						
* RONALD CIOTOLI SUBTOTAL *			<u>\$1,813.67</u>	<u>\$1,614.48</u>	<u>\$0.00</u>	<u>\$1,614.48</u>		<u>\$565.07</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$3,003.64</u>	<u>\$1,946.49</u>	<u>\$0.00</u>	<u>\$1,946.49</u>		<u>\$616.49</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9.80
Split Transactions	\$0.00
Override Transactions	\$616.49
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$626.29
Year-to-date Earnings	\$25,321.82