



# COMMISSION STATEMENT

September 24, 2018

ALAN FISHMAN  
3 Buckley Place  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/10/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 05066338392	BUY 1 Units @45.8400						
		* MUTUAL FUNDS SUBTOTAL *	\$25.00	\$1.25	\$0.00	\$1.25		\$0.94
		*** BROKER TRANSACTIONS TOTAL ***	\$25.00	\$1.25	\$0.00	\$1.25		\$0.94

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$0.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$2.04
Year-to-date Earnings	\$15,739.97