



# COMMISSION STATEMENT

## September 03, 2018

**SAMUEL GALATIOTO**  
134 Liberty Street  
Tustin, CA 949

**BROKER #: A37**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
09/04/2018	***-**-35FB - ENGLAND, ACCOUNT #: 925458490	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	5.32	5.32	0.00	5.32	70.0	3.72
09/04/2018	***-**-74FB - SERNA, DEBRA ACCOUNT #: 925444124	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	5.66	5.66	0.00	5.66	70.0	3.96
09/04/2018	***-**-97FB - WAY, DREW ACCOUNT #: 925472723	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	7.49	7.49	0.00	7.49	70.0	5.24
09/04/2018	***-**-35FB - ENGLAND, ACCOUNT #: 942054458	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.38	8.38	0.00	8.38	70.0	5.87
09/04/2018	***-**-82FB - APOSTOLOVIC, ACCOUNT #: 925488272	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	11.98	11.98	0.00	11.98	70.0	8.39
09/04/2018	***-**-69FB - WAY, DEBORAH ACCOUNT #: 925472913	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.32	15.32	0.00	15.32	70.0	10.72
09/04/2018	***-**-51FB - DIETLIN, DEBORAH ACCOUNT #: 925439524	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	20.56	20.56	0.00	20.56	70.0	14.39
09/04/2018	***-**-61FB - FRANCO TRUST, ACCOUNT #: 925474830	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	20.98	20.98	0.00	20.98	70.0	14.69
09/04/2018	***-**-90FB - GIBBONS, JEFFREY ACCOUNT #: 925443195	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	37.35	37.35	0.00	37.35	70.0	26.15
09/04/2018	***-**-31FB - PLATT, ROGER ACCOUNT #: 925555229	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	38.29	38.29	0.00	38.29	70.0	26.80
09/04/2018	***-**-81FB - RALSTON, JAMIE ACCOUNT #: 925439528	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	45.91	45.91	0.00	45.91	70.0	32.14
09/04/2018	***-**-31FB - PLATT, ROGER ACCOUNT #: 925496259	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	55.80	55.80	0.00	55.80	70.0	39.06
09/04/2018	***-**-97FB - WAY, DREW ACCOUNT #: 925472921	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	55.97	55.97	0.00	55.97	70.0	39.18
09/04/2018	***-**-30FB - STILL, GORDON ACCOUNT #: 925505620	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	72.82	72.82	0.00	72.82	70.0	50.97
09/04/2018	***-**-05FB - MILBURN, SALLY ACCOUNT #: 925478211	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	78.80	78.80	0.00	78.80	70.0	55.16
09/04/2018	***-**-60FB - MILBURN, DON ACCOUNT #: 925479922	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	91.64	91.64	0.00	91.64	70.0	64.15



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: RIA (continued)</u></b>								
09/04/2018	***-**-07FB - BARELA, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	130.37	130.37	0.00	130.37	70.0	91.26
	ACCOUNT #: 925469366	BUY 0 Units @0.0000						
09/04/2018	***-**-71FB - JACOBSON, DEBRA	LIFEMARK INVESTMENT ADVISORY FEE	413.98	413.98	0.00	413.98	70.0	289.79
	ACCOUNT #: 925466448	BUY 0 Units @0.0000						
09/04/2018	***-**-90FB - MOON, MONTE	LIFEMARK INVESTMENT ADVISORY FEE	523.13	523.13	0.00	523.13	70.0	366.19
	ACCOUNT #: 925508662	BUY 0 Units @0.0000						
<b>* RIA SUBTOTAL *</b>			<b>\$1,639.75</b>	<b>\$1,639.75</b>	<b>\$0.00</b>	<b>\$1,639.75</b>		<b>\$1,147.83</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
08/24/2018	***-**-04IN - MARTIN, TONYA	GUGGENHEIM DEFINED PORTFOLIOS UNIT	6,670.43	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR011169	SELL 755 Units @8.8350						
08/27/2018	***-**-04IN - MARTIN, TONYA	GUGGENHEIM DEFINED PORTFOLIOS UNIT	52,577.58	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR011169	SELL 5945 Units @8.8440						
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$59,248.01</b>	<b>\$0.00</b>	<b>\$48.00</b>	<b>\$-48.00</b>		<b>\$-48.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$60,887.76</b>	<b>\$1,639.75</b>	<b>\$48.00</b>	<b>\$1,591.75</b>		<b>\$1,099.83</b>

### **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,099.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$55.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,155.72</b>
<b>Year-to-date Earnings</b>	<b>\$10,843.38</b>