

**COMMISSION STATEMENT**  
**November 30, 2018**

RON ROCCA FORTE-D055

BROKER #: D055 Terminated 12/31/2017  
BRANCH: DMK

, TX

COMMISSION STATEMENT for RON ROCCA FORTE-D055

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/12/2018	00132774038	HERNANDEZ, THOMAS	MF	PUTNAM NEW OPPORTUNITIES FUND	B	50.00	2.50	0.00	2.50	0.0	0.00
11/12/2018	08188197849	FORREST, DUANE	MF	MFS CORE EQUITY FUND-A	B	50.00	2.50	0.00	2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						<u>\$100.00</u>	<u>\$5.00</u>	<u>\$0.00</u>	<u>\$5.00</u>		<u>\$0.00</u>
*** DIRECT TRANSACTIONS TOTAL ***						<u>\$100.00</u>	<u>\$5.00</u>	<u>\$0.00</u>	<u>\$5.00</u>		<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$1,419.92