

COMMISSION STATEMENT

October 31, 2018

ERIC FOREMAN-D023

628 Tupper Drive

BROKER #: D023

BRANCH: DME

Gallatin, TN 37066

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/08/2018	00068396974	TURNER/AUTH PER,	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
10/25/2018	00068637021	MCQUIDDY, LINDA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/25/2018	00068637022	MCQUIDDY, LESLIE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/08/2018	00068903331	TURNER, TONEY	MF	FUNDAMENTAL INVESTORS-A	B	100.00	2.75	0.00	2.75	70.0	1.93
10/08/2018	00068903331	TURNER, TONEY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
10/08/2018	00068903331	TURNER, TONEY	MF	THE INVESTMENT COMPANY OF	B	100.00	2.75	0.00	2.75	70.0	1.93
10/15/2018	00073284361	AMMETT	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
10/15/2018	00073401998	CRISWELL, SUSAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.13	0.00	2.13	70.0	1.49
10/25/2018	00074205491	WALKER, SIDNEY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/25/2018	00074205491	WALKER, SIDNEY	MF	THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	70.0	1.32
10/25/2018	00075947998	ROUSE, MIRIAM	MF	FUNDAMENTAL INVESTORS-A	B	25.00	1.25	0.00	1.25	70.0	0.88
10/25/2018	00075947998	ROUSE, MIRIAM	MF	NEW WORLD FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
10/15/2018	00081140284	LEACH, EVA	MF	FUNDAMENTAL INVESTORS-A	B	100.00	5.00	0.00	5.00	70.0	3.50
10/08/2018	00082091034	CADEN I GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/15/2018	00082879845	MORRIS, HENRY	MF	AMERICAN HIGH-INCOME MUNI BOND	B	50.00	1.50	0.00	1.50	70.0	1.05
10/15/2018	00082879845	MORRIS, HENRY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/15/2018	00082879845	MORRIS, HENRY	MF	THE INCOME FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/15/2018	00083592653	DRENNON, MELVIN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/25/2018	00085343084	JOHNSON, SKYE	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/25/2018	00085343084	JOHNSON, SKYE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/08/2018	00085738162	SYDNEE L GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/22/2018	00085757983	CALEB J GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/22/2018	00085757998	GRAHAM, CALVIN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/15/2018	00086766603	WARNER, ANITA	MF	AMERICAN BALANCED FUND-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/15/2018	00086766603	WARNER, ANITA	MF	FUNDAMENTAL INVESTORS-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/15/2018	00086766603	WARNER, ANITA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/15/2018	00086766603	WARNER, ANITA	MF	THE INCOME FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
10/15/2018	00086795721	HERDMAN, JONATHAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	70.0	1.75
10/15/2018	00086795721	HERDMAN, JONATHAN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/25/2018	00087031501	HIBBLER, ALANA	MF	CAPITAL WORLD GROWTH AND INCOME	B	83.33	3.54	0.00	3.54	70.0	2.48
10/25/2018	00087031501	HIBBLER, ALANA	MF	NEW WORLD FUND-A	B	83.33	3.54	0.00	3.54	70.0	2.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/25/2018 00087031501	HIBBLER, ALANA	MF THE GROWTH FUND OF AMERICA-A	B	83.33	3.54	0.00	3.54	70.0	2.48
10/25/2018 00087031501	HIBBLER, ALANA	MF THE INCOME FUND OF AMERICA-A	B	83.35	3.54	0.00	3.54	70.0	2.48
10/25/2018 00087031501	HIBBLER, ALANA	MF THE INVESTMENT COMPANY OF	B	83.33	3.54	0.00	3.54	70.0	2.48
10/22/2018 000887111954	GRAHAM, CALVIN	MF THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/16/2018 00088936710	FLOWERS, ERRON	MF AMERICAN BALANCED FUND-A	B	62.50	3.13	0.00	3.13	70.0	2.19
10/16/2018 00088936710	FLOWERS, ERRON	MF NEW PERSPECTIVE FUND-A	B	62.50	3.13	0.00	3.13	70.0	2.19
10/16/2018 00088936710	FLOWERS, ERRON	MF THE GROWTH FUND OF AMERICA-A	B	62.50	3.13	0.00	3.13	70.0	2.19
10/16/2018 00088936710	FLOWERS, ERRON	MF THE INVESTMENT COMPANY OF	B	62.50	3.13	0.00	3.13	70.0	2.19
10/22/2018 00498153972	CRATE, ERNESTINE	MF FIDELITY ADVISOR (FOCUS) HEALTH	B	500.00	13.75	0.00	13.75	70.0	9.63
10/22/2018 00498153972	CRATE, ERNESTINE	MF FIDELITY ADVISOR FUNDS	B	500.00	13.75	0.00	13.75	70.0	9.63
10/22/2018 00498153972	CRATE, ERNESTINE	MF FIDELITY ADV MID CAP VALUE CL A	B	500.00	13.75	0.00	13.75	70.0	9.63
* MUTUAL FUNDS SUBTOTAL *				\$3,916.67	\$139.54	\$0.00	\$139.54		\$97.76
*** DIRECT TRANSACTIONS TOTAL ***				\$3,916.67	\$139.54	\$0.00	\$139.54		\$97.76

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$97.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$72.76
Year-to-date Commissions	\$4,884.70