

COMMISSION STATEMENT
November 15, 2018

GREG PHILLIPS-D366
809 Jefferson Avenue

BROKER #: D366
BRANCH: DMD

LaPorte, IN 46350

COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/22/2018 (None)	HARTMAN, ERI	MF OPPENHEIMER	B	200.00	8.00	0.00	8.00	72.5	5.80
10/24/2018 (None)	HARAZINOVA, TIFFANY	MF COLLEGE CHOICE ADVISOR	B	150.00	7.13	0.00	7.13	72.5	5.17
10/29/2018 00082600419	WELLS, KELLY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5	1.36
11/01/2018 00082600607	WELLS, TIMOTHY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5	1.36
* MUTUAL FUNDS SUBTOTAL *				\$450.00	\$18.89	\$0.00	\$18.89		\$13.69
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/31/2018 1017327263	AKE, LILIANA	VA ELITE ACCESS	B	151,921.79	31.65	0.00	31.65	72.5	22.95
* VARIABLE ANNUITIES SUBTOTAL *				\$151,921.79	\$31.65	\$0.00	\$31.65		\$22.95
*** DIRECT TRANSACTIONS TOTAL ***				\$152,371.79	\$50.54	\$0.00	\$50.54		\$36.64

BROKER COMMISSION TOTALS

Broker Transactions	\$36.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-137.20
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-100.56
Year-to-date Commissions	\$4,542.25