



COMMISSION STATEMENT

December 17, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/12/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	24.75	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 04001030377	BUY 1 Units @33.1900						
12/12/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	14.85	0.56	0.00	0.56	80.0	0.45
	ACCOUNT #: 04001030377	BUY 0 Units @33.1900						
12/14/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	218.93	2.19	0.00	2.19	80.0	1.75
	ACCOUNT #: 04000939769	BUY 15 Units @14.2900						
12/12/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	122.26	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 04001030237	BUY 6 Units @19.2300						
12/12/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	34.93	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04001030237	BUY 2 Units @19.2300						
12/10/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.6900						
12/10/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @27.6900						
12/10/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.6900						
12/10/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.6900						
12/12/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	26.64	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000812765	BUY 1 Units @28.0800						
12/12/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	48.57	1.82	0.00	1.82	80.0	1.46
	ACCOUNT #: 04000812765	BUY 2 Units @28.0800						
12/12/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.00	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @28.2300						
12/14/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @26.9300						
12/14/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @26.9300						
12/14/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @26.9300						
12/14/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @26.9300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/14/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 11 Units @26.9300	298.08	3.58	0.00	3.58	80.0	2.86
12/14/2018	***-**-689I - FAULKNER, SANDRA ACCOUNT #: 00081806027	AMERICAN BALANCED FUND-A BUY 0 Units @26.9300	10.00	0.12	0.00	0.12	80.0	0.10
12/14/2018	***-**-689I - FAULKNER, SANDRA ACCOUNT #: 00081806027	AMERICAN BALANCED FUND-A BUY 11 Units @26.9300	298.08	3.58	0.00	3.58	80.0	2.86
12/12/2018	***-**-3358 - WILCOX, JOANNE ACCOUNT #: 04001030342	AMERICAN BALANCED FUND-C BUY 1 Units @26.6100	30.00	0.30	0.00	0.30	80.0	0.24
12/12/2018	***-**-3358 - WILCOX, JOANNE ACCOUNT #: 04001030342	AMERICAN BALANCED FUND-C BUY 15 Units @26.6100	400.00	4.00	0.00	4.00	80.0	3.20
12/14/2018	***-**-0474 - PEPICE, VITO ACCOUNT #: 04000939776	AMERICAN BALANCED FUND-C BUY 11 Units @26.3200	292.57	2.93	0.00	2.93	80.0	2.34
12/10/2018	***-**-309I - PARENT, NANCY ACCOUNT #: 00087513340	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.7800	30.00	0.83	0.00	0.83	80.0	0.66
12/10/2018	***-**-309I - PARENT, NANCY ACCOUNT #: 00087513340	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.7800	21.01	0.58	0.00	0.58	80.0	0.46
12/12/2018	***-**-6730 - GAUDIOSI, JAMES ACCOUNT #: 04000812746	AMERICAN FUNDS 2030 TARGET DATE-A BUY 11 Units @14.7900	162.00	6.08	0.00	6.08	80.0	4.86
12/12/2018	***-**-8714 - LEACH, MICHELE ACCOUNT #: 04001030247	AMERICAN FUNDS 2030 TARGET DATE-A BUY 5 Units @14.7900	70.70	2.65	0.00	2.65	80.0	2.12
12/12/2018	***-**-8714 - LEACH, MICHELE ACCOUNT #: 04001030247	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @14.7900	30.30	1.14	0.00	1.14	80.0	0.91
12/12/2018	***-**-857I - MUNDO, DIANE ACCOUNT #: 04001030256	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @14.7900	33.66	1.26	0.00	1.26	80.0	1.01
12/12/2018	***-**-857I - MUNDO, DIANE ACCOUNT #: 04001030256	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @14.7900	33.66	1.26	0.00	1.26	80.0	1.01
12/12/2018	***-**-0931 - LANGLOIS, JAMIE ACCOUNT #: 04001030268	AMERICAN FUNDS 2030 TARGET DATE-A BUY 3 Units @14.7900	48.22	1.81	0.00	1.81	80.0	1.45
12/12/2018	***-**-0931 - LANGLOIS, JAMIE ACCOUNT #: 04001030268	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @14.7900	28.93	1.08	0.00	1.08	80.0	0.86
12/12/2018	***-**-0777 - TUCKER, JAMES ACCOUNT #: 04001030467	AMERICAN FUNDS 2030 TARGET DATE-A BUY 11 Units @14.7900	160.00	6.00	0.00	6.00	80.0	4.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/12/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	41.64	1.56	0.00	1.56	80.0	1.25
	ACCOUNT #: 04001030467	BUY 3 Units @14.7900						
12/14/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	1,000.00	50.00	0.00	50.00	80.0	40.00
	ACCOUNT #: 04000939783	BUY 68 Units @14.8100						
12/12/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @13.8200						
12/12/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 18 Units @13.8200						
12/14/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	148.52	1.49	0.00	1.49	80.0	1.19
	ACCOUNT #: 04000939792	BUY 11 Units @13.6600						
12/14/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000939762	BUY 9 Units @13.6600						
12/14/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	204.96	2.05	0.00	2.05	80.0	1.64
	ACCOUNT #: 04000939806	BUY 15 Units @13.6600						
12/10/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @14.8800						
12/10/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @14.8800						
12/12/2018	***-**-6321 - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	162.00	6.08	0.00	6.08	80.0	4.86
	ACCOUNT #: 04000813270	BUY 11 Units @15.1800						
12/12/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	200.00	7.50	0.00	7.50	80.0	6.00
	ACCOUNT #: 04000812733	BUY 13 Units @15.5300						
12/12/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	162.00	6.08	0.00	6.08	80.0	4.86
	ACCOUNT #: 04000812733	BUY 10 Units @15.5300						
12/12/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	20.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 04000812773	BUY 1 Units @15.5300						
12/12/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	20.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 04000812773	BUY 1 Units @15.5300						
12/12/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 04001030407	BUY 16 Units @15.5300						
12/12/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	33.06	1.24	0.00	1.24	80.0	0.99
	ACCOUNT #: 04001030407	BUY 2 Units @15.5300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/12/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	66.57	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030412	BUY 4 Units @15.5300						
12/12/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	19.97	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 04001030412	BUY 1 Units @15.5300						
12/12/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	9.00	0.00	9.00	80.0	7.20
	ACCOUNT #: 04001030442	BUY 15 Units @15.5300						
12/12/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04001030442	BUY 5 Units @15.5300						
12/10/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.1200						
12/10/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.1200						
12/10/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 7 Units @15.1200						
12/10/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.1200						
12/12/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	34.19	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04001030287	BUY 2 Units @15.4500						
12/12/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	34.19	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04001030287	BUY 2 Units @15.4500						
12/12/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	24.39	0.91	0.00	0.91	80.0	0.73
	ACCOUNT #: 04001030422	BUY 2 Units @12.6400						
12/12/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	14.64	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 04001030422	BUY 1 Units @12.6400						
12/14/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.0600						
12/14/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.0600						
12/12/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	24.75	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 04001030377	BUY 1 Units @43.0100						
12/12/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	14.85	0.56	0.00	0.56	80.0	0.45
	ACCOUNT #: 04001030377	BUY 0 Units @43.0100						



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BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/17/2018	***-**-078I - PELLETIER, ACCOUNT #: 7200000132	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	458.33	4.58	0.00	4.58	80.0	3.66
12/12/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.6700	3.19	0.03	0.00	0.03	80.0	0.02
12/12/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.6700	1.19	0.01	0.00	0.01	80.0	0.01
12/14/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5600	30.00	0.36	0.00	0.36	80.0	0.29
12/12/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @43.6600	2.12	0.02	0.00	0.02	80.0	0.02
12/12/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @43.6600	0.80	0.01	0.00	0.01	80.0	0.01
* MUTUAL FUNDS SUBTOTAL *			\$7,595.88	\$200.96	\$0.00	\$200.96		\$160.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/13/2018	***-**-0765 - BARBER, ACCOUNT #: 1021821035	PERSPECTIVE II DTCC BUY 0 Units @0.0000	41.45	2.28	0.00	2.28	80.0	1.82
* VARIABLE ANNUITIES SUBTOTAL *			\$41.45	\$2.28	\$0.00	\$2.28		\$1.82
<u>PRODUCT CATEGORY: 529 PLAN</u>								
/ /	***-**-235I - DASILVA, BRANDON ACCOUNT #: 68701113601	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	90.70	0.00	90.70	80.0	72.56
* 529 PLAN SUBTOTAL *			\$0.00	\$90.70	\$0.00	\$90.70		\$72.56
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-01IN - RAAD, PAMELA ACCOUNT #: 00067297409	AMCAP FUND-A BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	80.0	0.26
12/03/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	AMCAP FUND-A BUY 0 Units @0.0000	0.00	14.31	0.00	14.31	80.0	11.45
12/03/2018	***-**-985P - PAPST, MEREDITH ACCOUNT #: 00067807144	AMCAP FUND-A BUY 0 Units @0.0000	0.00	4.28	0.00	4.28	80.0	3.42
12/03/2018	***-**-245P - DUMAINE, ROBERT ACCOUNT #: 00074252014	AMCAP FUND-A BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	80.0	3.06



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-079I - HOEBEL, ROBERT	AMCAP FUND-A	0.00	20.44	0.00	20.44	80.0	16.35
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
12/03/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	0.00	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 04001030377	BUY 0 Units @0.0000						
12/03/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	0.00	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04001030237	BUY 0 Units @0.0000						
12/03/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	0.00	21.33	0.00	21.33	80.0	17.06
	ACCOUNT #: 00064518010	BUY 0 Units @0.0000						
12/03/2018	***-**-01IN - RAAD, PAMELA	AMERICAN BALANCED FUND-A	0.00	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
12/03/2018	***-**-615P - ROBINSON, JANET	AMERICAN BALANCED FUND-A	0.00	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00068572268	BUY 0 Units @0.0000						
12/03/2018	***-**-685P - PAPST, MADISON	AMERICAN BALANCED FUND-A	0.00	10.16	0.00	10.16	80.0	8.13
	ACCOUNT #: 00068832660	BUY 0 Units @0.0000						
12/03/2018	***-**-975P - MATHISEN, PAUL	AMERICAN BALANCED FUND-A	0.00	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						
12/03/2018	***-**-577I - JARVIS, JUNE	AMERICAN BALANCED FUND-A	0.00	12.22	0.00	12.22	80.0	9.78
	ACCOUNT #: 00073913697	BUY 0 Units @0.0000						
12/03/2018	***-**-520I - DUMAINE, TONI	AMERICAN BALANCED FUND-A	0.00	5.34	0.00	5.34	80.0	4.27
	ACCOUNT #: 00074250987	BUY 0 Units @0.0000						
12/03/2018	***-**-245P - DUMAINE, ROBERT	AMERICAN BALANCED FUND-A	0.00	18.18	0.00	18.18	80.0	14.54
	ACCOUNT #: 00074252014	BUY 0 Units @0.0000						
12/03/2018	***-**-857I - MUNDO, DIANE	AMERICAN BALANCED FUND-A	0.00	2.49	0.00	2.49	80.0	1.99
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
12/03/2018	***-**-505P - MARUCA, PHELAN	AMERICAN BALANCED FUND-A	0.00	6.89	0.00	6.89	80.0	5.51
	ACCOUNT #: 00075760201	BUY 0 Units @0.0000						
12/03/2018	***-**-945P - MORIARTY,	AMERICAN BALANCED FUND-A	0.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00081526588	BUY 0 Units @0.0000						
12/03/2018	***-**-735P - RODRIGUEZ, JOSE	AMERICAN BALANCED FUND-A	0.00	12.63	0.00	12.63	80.0	10.10
	ACCOUNT #: 00081805731	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	0.00	36.45	0.00	36.45	80.0	29.16
	ACCOUNT #: 00081805810	BUY 0 Units @0.0000						



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327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	0.00	32.57	0.00	32.57	80.0	26.06
	ACCOUNT #: 00081805964	BUY 0 Units @0.0000						
12/03/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	0.00	259.60	0.00	259.60	80.0	207.68
	ACCOUNT #: 00081805988	BUY 0 Units @0.0000						
12/03/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	0.00	116.36	0.00	116.36	80.0	93.09
	ACCOUNT #: 00081806027	BUY 0 Units @0.0000						
12/03/2018	***-**-558I - FAMIGLIETTI,	AMERICAN BALANCED FUND-A	0.00	10.11	0.00	10.11	80.0	8.09
	ACCOUNT #: 00082394254	BUY 0 Units @0.0000						
12/03/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	0.00	27.98	0.00	27.98	80.0	22.38
	ACCOUNT #: 00083064667	BUY 0 Units @0.0000						
12/03/2018	***-**-39IN - GRAZIOSA, ROBERT	AMERICAN BALANCED FUND-A	0.00	1.78	0.00	1.78	80.0	1.42
	ACCOUNT #: 00084877268	BUY 0 Units @0.0000						
12/03/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	0.00	6.06	0.00	6.06	80.0	4.85
	ACCOUNT #: 04000563712	BUY 0 Units @0.0000						
12/03/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000627501	BUY 0 Units @0.0000						
12/03/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	0.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 04000812765	BUY 0 Units @0.0000						
12/03/2018	***-**-577I - JARVIS, JUNE	AMERICAN BALANCED FUND-F1	0.00	1.74	0.00	1.74	80.0	1.39
	ACCOUNT #: 00073913697	BUY 0 Units @0.0000						
12/03/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	7.56	0.00	7.56	80.0	6.05
	ACCOUNT #: 00087513340	BUY 0 Units @0.0000						
12/03/2018	***-**-595I - BROUSSARD, NICK	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	9.01	0.00	9.01	80.0	7.21
	ACCOUNT #: 00085289342	BUY 0 Units @0.0000						
12/03/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	8.77	0.00	8.77	80.0	7.02
	ACCOUNT #: 04000812746	BUY 0 Units @0.0000						
12/03/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000939783	BUY 0 Units @0.0000						
12/03/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 04001030247	BUY 0 Units @0.0000						
12/03/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 04001030256	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04001030268	BUY 0 Units @0.0000						
12/03/2018	***-**-1169 - SIRIANNI,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04001030295	BUY 0 Units @0.0000						
12/03/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.71	0.00	1.71	80.0	1.37
	ACCOUNT #: 04001030467	BUY 0 Units @0.0000						
12/03/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563724	BUY 0 Units @0.0000						
12/03/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	8.73	0.00	8.73	80.0	6.98
	ACCOUNT #: 04000813270	BUY 0 Units @0.0000						
12/03/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	1.29	0.00	1.29	80.0	1.03
	ACCOUNT #: 04000627489	BUY 0 Units @0.0000						
12/03/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	7.67	0.00	7.67	80.0	6.14
	ACCOUNT #: 04000812733	BUY 0 Units @0.0000						
12/03/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 04000812773	BUY 0 Units @0.0000						
12/03/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 04000939801	BUY 0 Units @0.0000						
12/03/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	2.03	0.00	2.03	80.0	1.62
	ACCOUNT #: 04001030407	BUY 0 Units @0.0000						
12/03/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 04001030412	BUY 0 Units @0.0000						
12/03/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	2.57	0.00	2.57	80.0	2.06
	ACCOUNT #: 04001030442	BUY 0 Units @0.0000						
12/03/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	0.00	73.44	0.00	73.44	80.0	58.75
	ACCOUNT #: 00081526588	BUY 0 Units @0.0000						
12/03/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	0.00	4.34	0.00	4.34	80.0	3.47
	ACCOUNT #: 04000563702	BUY 0 Units @0.0000						
12/03/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04001030287	BUY 0 Units @0.0000						
12/03/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	0.00	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: 04000827629	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04001030422	BUY 0 Units @0.0000						
12/03/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.36	0.00	1.36	80.0	1.09
	ACCOUNT #: 00069029798	BUY 0 Units @0.0000						
12/03/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	40.50	0.00	40.50	80.0	32.40
	ACCOUNT #: 00084434107	BUY 0 Units @0.0000						
12/03/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	3.48	0.00	3.48	80.0	2.78
	ACCOUNT #: 00085621852	BUY 0 Units @0.0000						
12/03/2018	***-**-245P - DUMAINE, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 00061840893	BUY 0 Units @0.0000						
12/03/2018	***-**-079I - HOEBEL, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	61.70	0.00	61.70	80.0	49.36
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
12/03/2018	***-**-079I - HOEBEL, ROBERT	AMERICAN MUTUAL FUND-A	0.00	39.36	0.00	39.36	80.0	31.49
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
12/03/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	0.00	0.39	0.00	0.39	80.0	0.31
	ACCOUNT #: 04001030377	BUY 0 Units @0.0000						
12/03/2018	***-**-01IN - RAAD, PAMELA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
12/03/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	12.92	0.00	12.92	80.0	10.34
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
12/03/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.92	0.00	3.92	80.0	3.14
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						
12/03/2018	***-**-079I - HOEBEL, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	41.48	0.00	41.48	80.0	33.18
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
12/03/2018	***-**-065I - BURGIO, ENRICO	INCOME FUND OF AMERICA CLASS C	0.00	10.08	0.00	10.08	80.0	8.06
	ACCOUNT #: 00086632520	BUY 0 Units @0.0000						
12/03/2018	***-**-035I - GRAZIOSA, CAROL	INCOME FUND OF AMERICA CLASS C	0.00	9.28	0.00	9.28	80.0	7.42
	ACCOUNT #: 00087227576	BUY 0 Units @0.0000						
12/03/2018	***-**-857I - MUNDO, DIANE	NEW PERSPECTIVE FUND-A	0.00	2.73	0.00	2.73	80.0	2.18
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
12/03/2018	***-**-079I - HOEBEL, ROBERT	NEW PERSPECTIVE FUND-A	0.00	19.42	0.00	19.42	80.0	15.54
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/17/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	353.30	0.00	353.30	80.0	282.64
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
12/17/2018	***-**-90SI - MACARON, SHADY	SAMMONS MF TRAILS	0.00	70.17	0.00	70.17	80.0	56.14
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
12/17/2018	***-**-187I - HENEERY, KEVIN	SAMMONS MF TRAILS	0.00	31.74	0.00	31.74	80.0	25.39
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
12/17/2018	***-**-027I - ADAMAITIS,	SAMMONS MF TRAILS	0.00	11.93	0.00	11.93	80.0	9.54
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
12/17/2018	***-**-118I - MORRO, CHARLOTTE	SAMMONS MF TRAILS	0.00	8.05	0.00	8.05	80.0	6.44
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
12/17/2018	***-**-963I - MORRO, JAMES	SAMMONS MF TRAILS	0.00	82.80	0.00	82.80	80.0	66.24
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						
12/17/2018	***-**-176I - RIOS, CARLOS	SAMMONS MF TRAILS	0.00	14.18	0.00	14.18	80.0	11.34
	ACCOUNT #: 7200020442	BUY 0 Units @0.0000						
12/17/2018	***-**-358I - KALLIPOLITES,	SAMMONS MF TRAILS	0.00	46.86	0.00	46.86	80.0	37.49
	ACCOUNT #: 7200022756	BUY 0 Units @0.0000						
12/03/2018	***-**-975P - MATHISEN, PAUL	THE BOND FUND OF AMERICA-A	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						
12/03/2018	***-**-065I - BURGIO, ENRICO	THE BOND FUND OF AMERICA-C	0.00	9.00	0.00	9.00	80.0	7.20
	ACCOUNT #: 00086632520	BUY 0 Units @0.0000						
12/03/2018	***-**-857I - MUNDO, DIANE	THE GROWTH FUND OF AMERICA-A	0.00	2.91	0.00	2.91	80.0	2.33
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
12/03/2018	***-**-975P - MATHISEN, PAUL	THE INCOME FUND OF AMERICA-A	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						
12/03/2018	***-**-079I - HOEBEL, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	101.72	0.00	101.72	80.0	81.38
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
12/03/2018	***-**-857I - MUNDO, DIANE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.63	0.00	2.63	80.0	2.10
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
12/03/2018	***-**-77IN - JARVIS, DEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	25.23	0.00	25.23	80.0	20.18
	ACCOUNT #: 00933494603	BUY 0 Units @0.0000						
12/03/2018	***-**-01IN - RAAD, PAMELA	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	10.99	0.00	10.99	80.0	8.79
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
12/03/2018	***-**-985P - PAPST, MEREDITH	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	20.42	0.00	20.42	80.0	16.34
	ACCOUNT #: 00081805810	BUY 0 Units @0.0000						
12/03/2018	***-**-079I - HOEBEL, ROBERT	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	56.18	0.00	56.18	80.0	44.94
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
12/03/2018	***-**-035I - GRAZIOSA, CAROL	U.S. GOVERNMENT SECURITIES FUND-C	0.00	6.41	0.00	6.41	80.0	5.13
	ACCOUNT #: 00087227576	BUY 0 Units @0.0000						
12/03/2018	***-**-245P - DUMAINE, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.71	0.00	4.71	80.0	3.77
	ACCOUNT #: 00061840893	BUY 0 Units @0.0000						
12/03/2018	***-**-01IN - RAAD, PAMELA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
12/03/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	14.00	0.00	14.00	80.0	11.20
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
12/03/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.17	0.00	4.17	80.0	3.34
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						
12/03/2018	***-**-975P - MATHISEN, PAUL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						
12/03/2018	***-**-857I - MUNDO, DIANE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.59	0.00	2.59	80.0	2.07
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,906.53	\$0.00	\$1,906.53		\$1,525.22
*** BROKER TRANSACTIONS TOTAL ***			\$7,637.33	\$2,200.47	\$0.00	\$2,200.47		\$1,760.30



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,484.20
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,760.30
						Year-to-date Earnings		\$102,472.62