



All Companies

12/28/2018  
Page No. 1

# COMMISSION STATEMENT January 02, 2019

FNB GILMER

BROKER #: 9619  
BRANCH: HOME OFFICE

, TX

## COMMISSION STATEMENT for FNB GILMER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
12/03/2018	00002100036 BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	30.0	1.37
12/20/2018	00086839205 GRAY, PATTI	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	30.0	0.30
12/10/2018	00087551447 GRIFFITH, ROBERT	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	30.0	0.30
12/17/2018	04001087492 BARR, DANIELLE E.	AMERICAN FUNDS 2050 TARGET DATE-A	B	250.00	12.50	0.00	12.50	30.0	3.75
* MUTUAL FUNDS SUBTOTAL *				\$908.33	\$19.08	\$0.00	\$19.08		\$5.72
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>									
12/07/2018	GRAY, PATTI	PRUDENTIAL INSURANCE CO.	B	100.00	2.00	0.00	2.00	30.0	0.60
* VARIABLE ANNUITIES SUBTOTAL *				\$100.00	\$2.00	\$0.00	\$2.00		\$0.60
<b>PRODUCT CATEGORY: RIA</b>									
10/11/2018	22876508 SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	30.0	0.10
10/11/2018	27262989 BERRY, LINDA J & IVAN	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	30.0	6.80
10/11/2018	58127539 SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	30.0	3.20
10/11/2018	58588928 DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	32.66	0.00	32.66	30.0	9.80
10/11/2018	60067561 SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	15.34	0.00	15.34	30.0	4.60
10/11/2018	65483992 DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	30.0	1.60
10/11/2018	66509625 BERRY, IVAN D	SIGNAL SECURITIES RIA	B	0.00	29.66	0.00	29.66	30.0	8.90
10/11/2018	67136730 BERRY, LINDA J & IVAN	SIGNAL SECURITIES RIA	B	0.00	45.66	0.00	45.66	30.0	13.70
10/11/2018	72066865 TDR CONTRACTORS	SIGNAL SECURITIES RIA	B	0.00	50.00	0.00	50.00	30.0	15.00
10/11/2018	73078546 SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	30.0	1.10
10/11/2018	77686229 MOORE, GARY/PATRICIA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	30.0	2.40
10/11/2018	88865815 DEAN, CHRISTIE ANN	SIGNAL SECURITIES RIA	B	0.00	11.66	0.00	11.66	30.0	3.50
10/11/2018	94358578 SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	30.0	5.80
* RIA SUBTOTAL *				\$0.00	\$254.98	\$0.00	\$254.98		\$76.50

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/14/2018	00001713020	HOOD, DORIS	JOHN HANCOCK TAX FREE BD FD CL A	B	0.00	7.32	0.00	7.32	30.0	2.20
12/14/2018	00002046284	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	13.09	0.00	13.09	30.0	3.93
12/14/2018	00002046289	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	31.07	0.00	31.07	30.0	9.32
12/14/2018	00002046291	WILSON, WESLEY M.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	12.17	0.00	12.17	30.0	3.65
12/14/2018	00002046296	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	14.07	0.00	14.07	30.0	4.22
12/14/2018	00002048865	RODENBAUGH, DANIEL	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	16.30	0.00	16.30	30.0	4.89
12/14/2018	00002048869	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	9.49	0.00	9.49	30.0	2.85
12/14/2018	00002100036	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	27.90	0.00	27.90	30.0	8.37
12/14/2018	00002108329	ORMSBY, KENT W.	FLOATING RATE INCOME C	B	0.00	18.40	0.00	18.40	30.0	5.52
12/14/2018	00002108331	ORMSBY, KENT W.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	4.79	0.00	4.79	30.0	1.44
12/14/2018	00002111652	COOK, WILLIAM B.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	22.70	0.00	22.70	30.0	6.81
12/14/2018	00002111653	COOK, WILLIAM B.	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	133.43	0.00	133.43	30.0	40.03
12/14/2018	00002124014	EMORY, ADAM	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	11.77	0.00	11.77	30.0	3.53
12/14/2018	00002160101	GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	198.18	0.00	198.18	30.0	59.45
12/14/2018	00002171725	HOOD, DORIS	ALTERNATIVE ASSET ALLOCATION FUND A	B	0.00	6.36	0.00	6.36	30.0	1.91
12/14/2018	00002175516	MURRAY, KC L.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	70.08	0.00	70.08	30.0	21.02
12/14/2018	00002175517	MURRAY, KC L.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	10.50	0.00	10.50	30.0	3.15
12/14/2018	00002177089	MURRAY, KC L.	FLOATING RATE INCOME C	B	0.00	14.84	0.00	14.84	30.0	4.45
12/14/2018	00002177090	MURRAY, KC L.	US GLOBAL LEADERS GROWTH C	B	0.00	21.65	0.00	21.65	30.0	6.50
12/14/2018	00002177091	MURRAY, KC L.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	21.25	0.00	21.25	30.0	6.38
12/14/2018	00002179884	BOWEN, JOHN A.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	21.69	0.00	21.69	30.0	6.51
12/14/2018	00002182326	TO (STA) TOD	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	43.40	0.00	43.40	30.0	13.02
		RULES, SUBJECT								
12/14/2018	00002182327	TO (STA) TOD	TAX-FREE BOND C	B	0.00	34.21	0.00	34.21	30.0	10.26
		RULES, SUBJECT								
12/14/2018	00002182328	TO (STA) TOD	ALTERNATIVE ASSET ALLOCATION C	B	0.00	20.87	0.00	20.87	30.0	6.26
		RULES, SUBJECT								
12/14/2018	00002188800	BEASON, LINDA	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	29.33	0.00	29.33	30.0	8.80
		PRESTON								
12/14/2018	00002189540	BEASON, LINDA	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	121.41	0.00	121.41	30.0	36.42
		PRESTON								
12/14/2018	00002190489	SHULER, SUNNI A.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	0.00	3.48	0.00	3.48	30.0	1.04
12/14/2018	00002329019	TORRES, ROBERTO	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	60.99	0.00	60.99	30.0	18.30
12/14/2018	00002397528	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	8.66	0.00	8.66	30.0	2.60
12/14/2018	00002397536	BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	3.00	0.00	3.00	30.0	0.90
12/14/2018	00003382754	GIPSON, NELDA	JOHN HANCOCK SOVEREIGN BALANCED FD	B	0.00	7.33	0.00	7.33	30.0	2.20
12/14/2018	00003382756	GIPSON, NELDA	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	4.83	0.00	4.83	30.0	1.45
12/14/2018	00003382757	GIPSON, NELDA	JOHN HANCOCK STRATEGIC INCOME FD CL	B	0.00	4.84	0.00	4.84	30.0	1.45
12/14/2018	00003382758	GIPSON, NELDA	STRATEGIC INCOME OPPORTUNITIES A	B	0.00	10.52	0.00	10.52	30.0	3.16
12/14/2018	00003383380	GIPSON, NELDA	FLOATING RATE INCOME A	B	0.00	5.04	0.00	5.04	30.0	1.51

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/14/2018	00003383381	GIPSON, NELDA	STRATEGIC INCOME OPPORTUNITIES A	B	0.00	4.88	0.00	4.88	30.0	1.46
12/14/2018	00003383382	GIPSON, NELDA	JOHN HANCOCK SOVEREIGN BALANCED FD	B	0.00	4.86	0.00	4.86	30.0	1.46
12/14/2018	00777102664	HOOD, DORIS	JOHN HANCOCK GROWTH & INCOME FD CL	B	0.00	21.22	0.00	21.22	30.0	6.37
12/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	7.75	0.00	7.75	30.0	2.33
12/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK SOVEREIGN BD FD CL A	B	0.00	1.44	0.00	1.44	30.0	0.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,085.11	\$0.00	\$1,085.11		\$325.55
*** BROKER TRANSACTIONS TOTAL ***					\$1,008.33	\$1,361.17	\$0.00	\$1,361.17		\$408.37

BROKER COMMISSION TOTALS

Broker Transactions	\$408.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$408.37
Year-to-date Earnings	\$408.37