

COMMISSION STATEMENT

November 30, 2018

MONROE PARKER-D334
 112 SW 8th Avenue
 Suite 932
 Amarillo, TX 79101

BROKER #: D334
 BRANCH: DMN

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018	mfc614631 PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.91	0.00	10.91	82.0	8.95
11/21/2018	mfc614631 PARKER 614631	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.99	0.00	3.99	82.0	3.27
11/21/2018	mfc614631 PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	3.20	0.00	3.20	82.0	2.62
11/21/2018	mfc614631 PARKER 614631	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.76	0.00	1.76	82.0	1.44
11/21/2018	mfc614631 PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	158.14	0.00	158.14	82.0	129.67
11/21/2018	mfc614631 PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	6.47	0.00	6.47	82.0	5.31
11/21/2018	mfc614631 PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	1.73	0.00	1.73	82.0	1.42
11/21/2018	mfc614631 PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	5.54	0.00	5.54	82.0	4.54
11/21/2018	mfc614631 PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	5.67	0.00	5.67	82.0	4.65
11/21/2018	mfc614631 PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	2.30	0.00	2.30	82.0	1.89
11/21/2018	mfc614631 PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	4.06	0.00	4.06	82.0	3.33
11/21/2018	mfc614631 PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	6.40	0.00	6.40	82.0	5.25
11/21/2018	mfc614631 PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	4.19	0.00	4.19	82.0	3.44
11/21/2018	mfc614631 PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	2.50	0.00	2.50	82.0	2.05
11/21/2018	mfc614631 PARKER 614631	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.30	0.00	6.30	82.0	5.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$223.16	\$0.00	\$223.16		\$183.00
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$223.16	\$0.00	\$223.16		\$183.00

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/15/2018	00089900405 LOVETT, PEG	MF BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$4.00	\$0.00	\$4.00		\$3.28

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/09/2018	(None) VARIOUS, PARKER	VAT TRANSAMERICA LIFE	B	0.00	658.40	0.00	658.40	82.0	539.89
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$658.40	\$0.00	\$658.40		\$539.89
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$662.40	\$0.00	\$662.40		\$543.17

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$726.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$541.17
Year-to-date Commissions	\$22,102.64