



# COMMISSION STATEMENT

## December 17, 2018

NANCY HAIRSINE  
4230 Hillview Drive  
Pittsburg, CA 94565

BROKER #: C01  
BRANCH #01051 - 51 - HAIRSINE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
12/17/2018	***-**-64CL - HAIRSINE,	HOWARD CAPITAL MANAGMENT RIA FEE BUY 1 Units @0.0000	0.00	12,660.66	0.00	12,660.66	87.0	11,014.77
		<b>* RIA SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$12,660.66</b>	<b>\$0.00</b>	<b>\$12,660.66</b>		<b>\$11,014.77</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$12,660.66</b>	<b>\$0.00</b>	<b>\$12,660.66</b>		<b>\$11,014.77</b>

<b><u> OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: DAVID GONZALEZ</u></b>								
12/17/2018	***-**-27CL - GONZALEZ,	AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	103.05	0.00	103.05	10.0	10.31
12/17/2018	***-**-27CL - GONZALEZ,	COLUMBIA THREADNEEDLE TRAILS BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	10.0	0.11
12/17/2018	***-**-27CL - GONZALEZ,	NSCC VARIABLE ANNUITY ADDITIONAL DE BUY 1 Units @400.0000	400.00	21.00	0.00	21.00	10.0	2.10
12/17/2018	***-**-27CL - GONZALEZ,	WADDELL & REED CUSIP 466000643 BUY 0 Units @0.0000	200.00	2.00	0.00	2.00	10.0	0.20
		<b>* DAVID GONZALEZ SUBTOTAL *</b>	<b>\$600.00</b>	<b>\$127.15</b>	<b>\$0.00</b>	<b>\$127.15</b>		<b>\$12.72</b>

<b><u>BROKER: ANTHONY RINALDI</u></b>								
12/17/2018	***-**-27CL - RINALDI (CLIENTS	HARTFORD SMART 529 BUY 0 Units @0.0000	0.00	15.14	0.00	15.14	17.0	2.57
		<b>* ANTHONY RINALDI SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$15.14</b>	<b>\$0.00</b>	<b>\$15.14</b>		<b>\$2.57</b>

<b><u>BROKER: ROBERT KEAVNEY</u></b>								
12/17/2018	***-**-23CL - KEAVNEY, CLIENTS	AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	51.01	0.00	51.01	15.0	7.65
		<b>* ROBERT KEAVNEY SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$51.01</b>	<b>\$0.00</b>	<b>\$51.01</b>		<b>\$7.65</b>



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DANIEL O'BRIEN</u></b>								
12/17/2018	***-**-72CL - O'BRIAN, CLIENTS	AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	560.22	0.00	560.22	12.0	67.23
		<b>* DANIEL O'BRIEN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$560.22</b>	<b>\$0.00</b>	<b>\$560.22</b>		<b>\$67.23</b>
<b><u>BROKER: ALMA IRVING</u></b>								
12/17/2018	***-**-45CL - IRVING, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	12.0	0.12
12/17/2018	***-**-45CL - IRVING, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	1,400.00	42.00	0.00	42.00	12.0	5.04
12/17/2018	***-**-45CL - IRVING, CLIENTS	AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	1,291.27	0.00	1,291.27	12.0	154.95
12/17/2018	***-**-45CL - IRVING, CLIENTS	BLACKROCK FUNDS BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	12.0	0.06
12/17/2018	***-**-45CL - IRVING, CLIENTS	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	12.0	0.06
12/17/2018	***-**-45CL - IRVING, CLIENTS	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	12.0	0.06
12/17/2018	***-**-45CL - IRVING, CLIENTS	FIDELITY ADDITIONAL DEPOSIT BUY 0 Units @0.0000	900.00	19.00	0.00	19.00	12.0	2.28
12/14/2018	***-**-45CL - IRVING, CLIENTS	FIDELITY ADV BALANCED CL A BUY 0 Units @0.0000	730.00	14.55	0.00	14.55	12.0	1.75
12/17/2018	***-**-45CL - IRVING, CLIENTS	MFS TRADES BUY 0 Units @0.0000	575.00	21.50	0.00	21.50	12.0	2.58
		<b>* ALMA IRVING SUBTOTAL *</b>	<b>\$3,755.00</b>	<b>\$1,390.82</b>	<b>\$0.00</b>	<b>\$1,390.82</b>		<b>\$166.90</b>
<b><u>BROKER: CHRISTOPHER LOGUE</u></b>								
12/17/2018	***-**-93CL - LOGUE, CLIENTS	AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	87.24	0.00	87.24	12.0	10.47
12/17/2018	***-**-93CL - LOGUE, CLIENTS	FIDELITY ADDITIONAL DEPOSIT BUY 0 Units @0.0000	100.00	5.00	0.00	5.00	12.0	0.60
12/14/2018	***-**-93CL - LOGUE, CLIENTS	FIDELITY ADV BALANCED CL A BUY 0 Units @0.0000	496.93	12.23	0.00	12.23	12.0	1.47



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER LOGUE (continued)</u></b>								
12/17/2018	***-**-93CL - LOGUE, CLIENTS	MFS TRADES	100.00	4.75	0.00	4.75	12.0	0.57
		BUY 0 Units @0.0000						
		<b>* CHRISTOPHER LOGUE SUBTOTAL *</b>	<b>\$696.93</b>	<b>\$109.22</b>	<b>\$0.00</b>	<b>\$109.22</b>		<b>\$13.11</b>
<b><u>BROKER: JEFFERY KENYON</u></b>								
12/17/2018	***-**-20CL - KENYON, CLIENTS	AMERICAN FUNDS MF TRAILS	0.00	36.73	0.00	36.73	15.0	5.51
		BUY 0 Units @0.0000						
		<b>* JEFFERY KENYON SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$36.73</b>	<b>\$0.00</b>	<b>\$36.73</b>		<b>\$5.51</b>
		<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$5,051.93</b>	<b>\$2,290.29</b>	<b>\$0.00</b>	<b>\$2,290.29</b>		<b>\$275.69</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$11,014.77
Split Transactions	\$0.00
Override Transactions	\$275.69
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$48.32
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$11,338.78</b>
<b>Year-to-date Earnings</b>	<b>\$11,544.37</b>