

COMMISSION STATEMENT

November 30, 2018

SCOTT SICKLES-D060
 4200 Ridgecrest Circle
 Suite A4
 Amarillo, TX 79101

BROKER #: D060
 BRANCH: DMC

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.95	0.00	0.95	75.0	0.71
11/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	8.20	0.00	8.20	75.0	6.15
11/21/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.48	0.00	7.48	75.0	5.61
11/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.65	0.00	4.65	75.0	3.49
11/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.22	0.00	0.22	75.0	0.17
11/21/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	12.78	0.00	12.78	75.0	9.59
11/21/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	23.20	0.00	23.20	75.0	17.40
11/21/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	22.73	0.00	22.73	75.0	17.05
11/21/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL A	B	0.00	0.03	0.00	0.03	75.0	0.02
11/21/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.10	0.00	5.10	75.0	3.83
11/21/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.06	0.00	1.06	75.0	0.80
11/21/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59	75.0	0.44
11/21/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	25.04	0.00	25.04	75.0	18.78
11/21/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.10	0.00	9.10	75.0	6.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$121.13	\$0.00	\$121.13		\$90.87
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$121.13	\$0.00	\$121.13		\$90.87

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/19/2018	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
11/19/2018	0000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
11/19/2018	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
11/19/2018	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
11/20/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	850.00	8.50	0.00	8.50	75.0	6.38
11/20/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
11/20/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
11/20/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
11/20/2018	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
11/20/2018	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15

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11/20/2018	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
11/15/2018	04000638082 PEREZ, GEORGIA	MF THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$1,470.00	\$20.71	\$0.00	\$20.71		\$15.55
*** DIRECT TRANSACTIONS TOTAL ***				\$1,470.00	\$20.71	\$0.00	\$20.71		\$15.55

ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$106.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-48.58
Year-to-date Commissions	\$6,190.73