

COMMISSION STATEMENT

December 17, 2018

DANIEL O'BRIEN

14508 192nd Ave. Ct. E Bonney Lake, WA 98391 BROKER #: C20

BRANCH #01051 - 51 - HAIRSINE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|-----------|--------------|---|----------------------|---------------------|-----------------------|-------------------|------|----------------------|--|
| | | BROKER TRA | ANSACTIONS | | | | | | |
| PRODUCT | CATEGORY: | MUTUAL FUND 12B1 TRAILS | | | | | | | |
| 12/17/201 | .8 ***-**-72 | CL - O'BRIAN, CLIENTS AMERICAN FUNDS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 560.22 | 0.00 | 560.22 | 75.0 | 420.17 | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$560.22 | \$0.00 | \$560.22 | | \$420.17 | |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$560.22 | \$0.00 | \$560.22 | | \$420.17 | |
| | | | | | BROKER COMMISS | | | ON TOTALS | |
| | | Broker Transactions Split Transactions | | | \$420.17 | | | | |
| | | | | t Transactions | | \$0.00 | | | |
| | | | | | Override Transactions | | | \$0.00 | |
| | | | | | Adjustments | | | \$0.00 | |
| | | | | | Payroll Draw | | | \$0.00 | |
| | | Base Salary | | | \$0.00 | | | | |
| | | | | | Prior Period Balance | | | \$79.55 | |
| | | | | | FI | NRA Assessment | | \$0.00 | |
| | | | | | S | IPC Assessment | | \$0.00 | |
| | | | | | Net Com | mission Earned | | \$499.72 | |
| | | | | | Year-to | -date Earnings | | \$499.72 | |
| | | | | | | | | | |