



COMMISSION STATEMENT

September 10, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/27/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: 00086895596	BUY 2 Units @29.7000						
08/30/2018	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @29.6900						
09/04/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @29.1900						
08/30/2018	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @64.5500						
09/04/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @63.1800						
08/30/2018	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @69.1000						
09/06/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 114 Units @10.9800						
08/30/2018	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @49.9700						
08/27/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
08/27/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
09/04/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 3 Units @58.0700						
09/04/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 8 Units @24.0900						
09/04/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @48.7100						
* MUTUAL FUNDS SUBTOTAL *			\$2,526.72	\$47.27	\$0.00	\$47.27		\$40.22



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/27/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$65.28	\$0.65	\$0.00	\$0.65		\$0.55
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/03/2018	***-**-859I - CAMPBELL, GERALD	ING ADVANTAGE SELECT	0.00	16.77	0.00	16.77	85.0	14.25
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
08/31/2018	***-**-36IR - HERDSON, JOHN	JACKSON ELITE ACCESS E	0.00	41.59	0.00	41.59	85.0	35.35
	ACCOUNT #: 1012521924	BUY 0 Units @0.0000						
08/31/2018	***-**-632I - BURG, THERESA	JACKSON ELITE ACCESS E	0.00	71.43	0.00	71.43	85.0	60.72
	ACCOUNT #: 1015747249	BUY 0 Units @0.0000						
08/31/2018	***-**-648I - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	20.53	0.00	20.53	85.0	17.45
	ACCOUNT #: 1015810986	BUY 0 Units @0.0000						
08/31/2018	***-**-305I - WAGNER, KEITH	JACKSON ELITE ACCESS E	0.00	49.74	0.00	49.74	85.0	42.28
	ACCOUNT #: 1015946705	BUY 0 Units @0.0000						
08/31/2018	***-**-48IN - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	38.50	0.00	38.50	85.0	32.73
	ACCOUNT #: 1019931044	BUY 0 Units @0.0000						
08/31/2018	***-**-597I - LEAR, DIANE	JACKSON ELITE ACCESS OPTION A	0.00	19.44	0.00	19.44	85.0	16.52
	ACCOUNT #: 1014504202	BUY 0 Units @0.0000						
08/31/2018	***-**-36IN - CROWL, LINDA	JACKSON ELITE ACCESS OPTION A	0.00	211.36	0.00	211.36	85.0	179.66
	ACCOUNT #: 1016264217	BUY 0 Units @0.0000						
08/31/2018	***-**-94IR - VITALE, LAURIE	JACKSON ELITE ACCESS OPTION A	0.00	15.80	0.00	15.80	85.0	13.43
	ACCOUNT #: 1016299231	BUY 0 Units @0.0000						
08/31/2018	***-**-20IN - GARMAN, KARL	JACKSON ELITE ACCESS OPTION A	0.00	7.89	0.00	7.89	85.0	6.71
	ACCOUNT #: 1017012386	BUY 0 Units @0.0000						
08/31/2018	***-**-53IN - SHOCKEY, JEFFREY	JACKSON NATIONAL PERSPECTIVE II	0.00	38.89	0.00	38.89	85.0	33.06
	ACCOUNT #: 1014308870	BUY 0 Units @0.0000						
08/31/2018	***-**-113I - PIEMANI, JOHN	JACKSON NATIONAL PERSPECTIVE II	0.00	51.15	0.00	51.15	85.0	43.48
	ACCOUNT #: 1014761337	BUY 0 Units @0.0000						
08/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	47.69	0.00	47.69	85.0	40.54
	ACCOUNT #: 1006011280	BUY 0 Units @0.0000						
08/31/2018	***-**-85IN - GRAHAM, BARBARA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	57.80	0.00	57.80	85.0	49.13
	ACCOUNT #: 1012657141	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
08/31/2018	***-**-814I - MCKENNA, DONNA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	154.04	0.00	154.04	85.0	130.93
	ACCOUNT #: 1013003528	BUY 0 Units @0.0000						
08/31/2018	***-**-400I - ROMIG, MARK	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	61.30	0.00	61.30	85.0	52.11
	ACCOUNT #: 1013168853	BUY 0 Units @0.0000						
08/31/2018	***-**-276I - GASSWINT, SUSAN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	81.12	0.00	81.12	85.0	68.95
	ACCOUNT #: 1013258658	BUY 0 Units @0.0000						
08/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	66.95	0.00	66.95	85.0	56.91
	ACCOUNT #: 1013442998	BUY 0 Units @0.0000						
08/31/2018	***-**-227I - ZELLERS,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	63.34	0.00	63.34	85.0	53.84
	ACCOUNT #: 1013578113	BUY 0 Units @0.0000						
08/31/2018	***-**-364J - SHIFFLET,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	58.43	0.00	58.43	85.0	49.67
	ACCOUNT #: 1013759851	BUY 0 Units @0.0000						
08/31/2018	***-**-697I - ENEDY, EMILY	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	21.34	0.00	21.34	85.0	18.14
	ACCOUNT #: 1014092982	BUY 0 Units @0.0000						
08/31/2018	***-**-33IN - KNOBL, LISA	PERSPECTIVE II DTCC	0.00	31.61	0.00	31.61	85.0	26.87
	ACCOUNT #: 1018661027	BUY 0 Units @0.0000						
08/31/2018	***-**-136I - CONLON-SCOLTOCK,	PERSPECTIVE II DTCC	0.00	22.16	0.00	22.16	85.0	18.84
	ACCOUNT #: 1018710060	BUY 0 Units @0.0000						
08/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	219.99	0.00	219.99	85.0	186.99
	ACCOUNT #: 1018787969	BUY 0 Units @0.0000						
08/31/2018	***-**-52IN - KUNKEL, RAY	PERSPECTIVE II DTCC	0.00	114.04	0.00	114.04	85.0	96.93
	ACCOUNT #: 1018799355	BUY 0 Units @0.0000						
08/31/2018	***-**-99IR - BUCHANAN, STEVEN	PERSPECTIVE II DTCC	0.00	41.83	0.00	41.83	85.0	35.56
	ACCOUNT #: 1018892479	BUY 0 Units @0.0000						
08/31/2018	***-**-400I - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	54.59	0.00	54.59	85.0	46.40
	ACCOUNT #: 1019057603	BUY 0 Units @0.0000						
08/31/2018	***-**-949I - KLOKIS, DENISE	PERSPECTIVE II DTCC	0.00	35.64	0.00	35.64	85.0	30.29
	ACCOUNT #: 1019390361	BUY 0 Units @0.0000						
08/31/2018	***-**-962I - KLOKIS, ROBERT	PERSPECTIVE II DTCC	0.00	35.47	0.00	35.47	85.0	30.15
	ACCOUNT #: 1019390434	BUY 0 Units @0.0000						
08/31/2018	***-**-74IN - MCLAUGHLIN, JOHN	PERSPECTIVE II DTCC	0.00	89.06	0.00	89.06	85.0	75.70
	ACCOUNT #: 1019656124	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
08/31/2018	***-**-00IN - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	34.57	0.00	34.57	85.0	29.38
	ACCOUNT #: 1020004262	BUY 0 Units @0.0000						
08/31/2018	***-**-14IN - MCKENNA, DONNA	PERSPECTIVE II DTCC	0.00	40.84	0.00	40.84	85.0	34.71
	ACCOUNT #: 1020006044	BUY 0 Units @0.0000						
08/31/2018	***-**-508I - FREY, DONALD	PERSPECTIVE II DTCC	0.00	11.80	0.00	11.80	85.0	10.03
	ACCOUNT #: 1020119299	BUY 0 Units @0.0000						
08/31/2018	***-**-30IR - STRAUB, FBO MRS	PERSPECTIVE II DTCC	0.00	47.56	0.00	47.56	85.0	40.43
	ACCOUNT #: 1020163875	BUY 0 Units @0.0000						
08/31/2018	***-**-35IN - TOBIN, MAUREEN	PERSPECTIVE II DTCC	0.00	47.63	0.00	47.63	85.0	40.49
	ACCOUNT #: 1020192220	BUY 0 Units @0.0000						
08/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	100.31	0.00	100.31	85.0	85.26
	ACCOUNT #: 1020250050	BUY 0 Units @0.0000						
08/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	104.97	0.00	104.97	85.0	89.22
	ACCOUNT #: 1020329722	BUY 0 Units @0.0000						
08/31/2018	***-**-881I - HOUSER, LORI	PERSPECTIVE II DTCC	0.00	191.20	0.00	191.20	85.0	162.52
	ACCOUNT #: 1020346309	BUY 0 Units @0.0000						
08/31/2018	***-**-1013 - LOYCH, ALICE	PERSPECTIVE II DTCC	0.00	192.29	0.00	192.29	85.0	163.45
	ACCOUNT #: 1020374345	BUY 0 Units @0.0000						
08/31/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	95.38	0.00	95.38	85.0	81.07
	ACCOUNT #: 1020404619	BUY 0 Units @0.0000						
08/31/2018	***-**-31IN - REED, JACK	PERSPECTIVE II DTCC	0.00	47.47	0.00	47.47	85.0	40.35
	ACCOUNT #: 1020415033	BUY 0 Units @0.0000						
08/31/2018	***-**-44IR - REED, GENEVIEVE	PERSPECTIVE II DTCC	0.00	46.93	0.00	46.93	85.0	39.89
	ACCOUNT #: 1020415050	BUY 0 Units @0.0000						
08/31/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	47.28	0.00	47.28	85.0	40.19
	ACCOUNT #: 1020415157	BUY 0 Units @0.0000						
08/31/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	79.62	0.00	79.62	85.0	67.68
	ACCOUNT #: 1020419535	BUY 0 Units @0.0000						
08/31/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	47.75	0.00	47.75	85.0	40.59
	ACCOUNT #: 1020415165	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,975.09	\$0.00	\$2,975.09		\$2,528.85
*** BROKER TRANSACTIONS TOTAL ***			\$2,592.00	\$3,023.01	\$0.00	\$3,023.01		\$2,569.62



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,569.62
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$50.87
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,620.49
						Year-to-date Earnings		\$88,910.74