

**COMMISSION STATEMENT**  
**November 30, 2018**

RAYMOND ELMORE-D371

BROKER #: D371  
BRANCH: DMN

, TX

COMMISSION STATEMENT for RAYMOND ELMORE-D371

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/28/2018	645516373 ROMANO, NICHOLAS	MF VANGUARD ULTRA SHORT TERM BOND	S	27,207.45	0.00	5.00	-5.00	75.0	-3.75
* MUTUAL FUNDS SUBTOTAL *				\$27,207.45	\$0.00	\$5.00	\$-5.00		\$-3.75
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/28/2018	645516373 ROMANO, NICHOLAS	S ISHARES US HEALTH CARE	B	-14,001.32	-256.14	-15.00	-241.14	75.0	-180.86
11/28/2018	645516373 ROMANO, NICHOLAS	S ISHARES US HEALTH CARE	B	14,001.32	256.14	15.00	241.14	75.0	180.86
* STOCKS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$27,207.45	\$0.00	\$5.00	\$-5.00		\$-3.75

**BROKER COMMISSION TOTALS**

Broker Transactions	\$-3.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-3.75</b>
<b>Year-to-date Commissions</b>	<b>\$-3.75</b>