



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/10/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	CAPITAL WORLD GROWTH AND INCOME FUN SELL 108 Units @46.0900	4,999.98	18.00	18.00	0.00	0.0	0.00
11/23/2018	***-**-06IN - SATTERLY, ACCOUNT #: 08191336006	MFS 08191336006 BUY 3 Units @29.2200	100.00	1.00	0.00	1.00	0.0	0.00
12/10/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS CONSERVATIVE ALLOCATION FUND-B SELL 67 Units @14.9600	1,000.00	18.00	18.00	0.00	0.0	0.00
11/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MARKETS EQUITY FUND-B BUY 2 Units @28.5600	50.00	2.00	0.00	2.00	0.0	0.00
11/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MKT DEBT CL B BUY 4 Units @13.5800	50.00	2.00	0.00	2.00	0.0	0.00
11/26/2018	***-**-094I - KATRENA L ACCOUNT #: 08191140345	MFS GLOBAL TOTAL RETURN FUND-B BUY 3 Units @17.4100	50.00	2.00	0.00	2.00	0.0	0.00
12/10/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS INFLATION ADJUSTED BOND CL B SELL 305 Units @9.8400	3,000.00	18.00	18.00	0.00	0.0	0.00
11/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS INTERNATIONAL VALUE FUND-B BUY 3 Units @39.0400	100.00	4.00	0.00	4.00	0.0	0.00
12/10/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS STRATEGIC INCOME FUND-B SELL 641 Units @6.2400	4,000.00	18.00	18.00	0.00	0.0	0.00
11/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS TOTAL RETURN FUND-B BUY 3 Units @18.6300	50.00	2.00	0.00	2.00	0.0	0.00
12/10/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS UTILITIES FUND-B SELL 98 Units @20.3800	1,999.99	18.00	18.00	0.00	0.0	0.00
12/12/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	PUTNAM EQUITY SPECTRUM FD CL C SELL 234 Units @32.4400	7,603.84	18.00	18.00	0.00	0.0	0.00
12/10/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	REAL ESTATE FUND C SELL 405 Units @24.1500	9,773.58	18.00	18.00	0.00	0.0	0.00
12/10/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	REAL ESTATE FUND C SELL 109 Units @24.1500	2,632.83	18.00	18.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$35,410.22	\$157.00	\$144.00	\$13.00		\$0.00



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS								
12/10/2018	***-**-326J - GRANDE, MR	NOBLE CORP PLC COM USD0.01	544.98	23.93	18.10	5.83	0.0	0.00
	ACCOUNT #: LSR011089	SELL 155 Units @3.5160						
12/07/2018	***-**-30TR - AND SANDRA	STEIN MART INC COM	2,460.00	49.99	18.00	31.99	0.0	0.00
	ACCOUNT #: LSR011939	BUY 2000 Units @1.2300						
* STOCKS SUBTOTAL *			\$3,004.98	\$73.92	\$36.10	\$37.82		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
12/07/2018	***-**-326J - GRANDE, MR	ENERGY TRANSFER EQUITY L P COM UNIT	3,874.70	56.72	24.00	32.72	0.0	0.00
	ACCOUNT #: LSR011089	SELL 267 Units @14.5120						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$3,874.70	\$56.72	\$24.00	\$32.72		\$0.00
PRODUCT CATEGORY: 529 PLAN								
12/17/2018	***-**-80IN - COBB, JAMES	NEW YORK 529 TRAILS	0.00	13.83	0.00	13.83	0.0	0.00
	ACCOUNT #: 77169961902	BUY 0 Units @0.0000						
12/17/2018	***-**-665P - MCCLUSKY, YVONNE	NEW YORK 529 TRAILS	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: 77237884701	BUY 0 Units @0.0000						
12/17/2018	***-**-0069 - KRECIDLLO,	NEW YORK 529 TRAILS	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: 77308070501	BUY 0 Units @0.0000						
12/17/2018	***-**-0767 - DOVI,	NEW YORK 529 TRAILS	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: 77579193101	BUY 0 Units @0.0000						
12/17/2018	***-**-473J - HUSTON,	NEW YORK 529 TRAILS	0.00	15.53	0.00	15.53	0.0	0.00
	ACCOUNT #: 77852732401	BUY 0 Units @0.0000						
12/17/2018	***-**-205P - RHYMESTINE, ERIC	NEW YORK 529 TRAILS	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 77885699801	BUY 0 Units @0.0000						
12/17/2018	***-**-9440 - MAYO, DEBORAH	NEW YORK 529 TRAILS	0.00	5.96	0.00	5.96	0.0	0.00
	ACCOUNT #: 77002217601	BUY 0 Units @0.0000						
12/17/2018	***-**-614J - BUSHEY, MATTHEW	NEW YORK 529 TRAILS	0.00	3.09	0.00	3.09	0.0	0.00
		BUY 0 Units @0.0000						
12/17/2018	***-**-5384 - GURDO, JESSICA	NEW YORK 529 TRAILS	0.00	0.62	0.00	0.62	0.0	0.00
		BUY 0 Units @0.0000						
12/17/2018	***-**-251I - SCALISE, MARY	NEW YORK 529 TRAILS	0.00	27.99	0.00	27.99	0.0	0.00
	ACCOUNT #: 77691153101	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
12/17/2018	***-**-635P - LASCALA, DEBORAH NEW YORK 529 TRAILS		0.00	1.95	0.00	1.95	0.0	0.00
	ACCOUNT #: 77699193301	BUY 0 Units @0.0000						
12/17/2018	***-**-065P - CAMPBELL, PAMELA NEW YORK 529 TRAILS		0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: 77830931201	BUY 0 Units @0.0000						
12/17/2018	***-**-20IR - DEMETRI, AMELIA NEW YORK 529 TRAILS		0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: 77854436501	BUY 0 Units @0.0000						
12/17/2018	***-**-80IN - COBB, JAMES NEW YORK 529 TRAILS		0.00	13.88	0.00	13.88	0.0	0.00
	ACCOUNT #: 77169961901	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$88.69	\$0.00	\$88.69		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-78IR - FALES, JOAN	AF MODERATE GROWTH AND INCOME-A	0.00	4.69	0.00	4.69	0.0	0.00
	ACCOUNT #: 00088082227	BUY 0 Units @0.0000						
12/03/2018	***-**-72TD - GAVALO, JULIE	AF SHORT-TERM TAX-EXEMPT BOND FUND-	0.00	6.75	0.00	6.75	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	AMCAP FUND-C	0.00	6.81	0.00	6.81	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	AMERICAN BALANCED FUND-529C	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
12/03/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
12/03/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
12/03/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	5.34	0.00	5.34	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	AMERICAN BALANCED FUND-A	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	AMERICAN BALANCED FUND-A	0.00	5.66	0.00	5.66	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-480J - EVANS, RICHARD	AMERICAN BALANCED FUND-A	0.00	3.13	0.00	3.13	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
12/03/2018	***-**-81IR - CAMPBELL,	AMERICAN BALANCED FUND-A	0.00	6.86	0.00	6.86	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-20IR - DEMETRI, AMELIA	AMERICAN BALANCED FUND-A	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	AMERICAN BALANCED FUND-A	0.00	5.05	0.00	5.05	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	AMERICAN BALANCED FUND-A	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
12/03/2018	***-**-901I - SATTERLY, ANN	AMERICAN BALANCED FUND-A	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
12/03/2018	***-**-382I - SATTERLY, NEIL	AMERICAN BALANCED FUND-A	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-C	0.00	5.90	0.00	5.90	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	AMERICAN FUNDS 45956T808	0.00	4.96	0.00	4.96	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
12/03/2018	***-**-72TD - GAVALO, JULIE	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	13.65	0.00	13.65	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	AMERICAN HIGH-INCOME TRUST-A	0.00	19.92	0.00	19.92	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-81IR - CAMPBELL,	AMERICAN HIGH-INCOME TRUST-A	0.00	4.28	0.00	4.28	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOICHEM, DENISE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-72IN - GAVALO, JULIE	AMERICAN MUTUAL FUND-529E	0.00	5.71	0.00	5.71	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	AMERICAN MUTUAL FUND-A	0.00	10.92	0.00	10.92	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOICHEM, DENISE	AMERICAN MUTUAL FUND-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	CAPITAL INCOME BUILDER-529A	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL INCOME BUILDER-A	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	CAPITAL INCOME BUILDER-A	0.00	21.20	0.00	21.20	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-72RI - GAVALO, JULIE	CAPITAL INCOME BUILDER-A	0.00	12.06	0.00	12.06	0.0	0.00
	ACCOUNT #: 00087231055	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	CAPITAL INCOME BUILDER-A	0.00	3.69	0.00	3.69	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	CAPITAL INCOME BUILDER-A	0.00	4.35	0.00	4.35	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	CAPITAL INCOME BUILDER-A	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
12/03/2018	***-**-265I - MEEKINS, TIMOTHY	CAPITAL INCOME BUILDER-A	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL INCOME BUILDER-A	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
12/03/2018	***-**-443I - LATUS, FREDERICK	CAPITAL INCOME BUILDER-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOCHER, DENISE	CAPITAL INCOME BUILDER-A	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	CAPITAL INCOME BUILDER-A	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
12/03/2018	***-**-901I - SATTERLY, ANN	CAPITAL INCOME BUILDER-A	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
12/03/2018	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	CAPITAL INCOME BUILDER-A	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-094I - KATRENA L	CAPITAL INCOME BUILDER-A	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-5414 - KARAM, SEGEAN	CAPITAL INCOME BUILDER-A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	CAPITAL INCOME BUILDER-A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	CAPITAL WORLD BOND FUND-A	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	CAPITAL WORLD BOND FUND-A	0.00	3.46	0.00	3.46	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	CAPITAL WORLD BOND FUND-A	0.00	1.85	0.00	1.85	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-A	0.00	0.07	0.00	0.07	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL WORLD BOND FUND-A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
12/03/2018	***-**-094I - KATRENA L	CAPITAL WORLD BOND FUND-A	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	CAPITAL WORLD BOND FUND-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	CAPITAL WORLD BOND FUND-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-C	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: 00083510637	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: 00083510745	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.65	0.00	2.65	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
12/03/2018	***-**-20IN - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-18IR - MEEKINS, KAIDEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
12/03/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	25.26	0.00	25.26	0.0	0.00
	ACCOUNT #: 00083510637	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	25.26	0.00	25.26	0.0	0.00
	ACCOUNT #: 00083510745	BUY 0 Units @0.0000						
12/03/2018	***-**-18IR - MEEKINS, KAIDEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.75	0.00	3.75	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
12/03/2018	***-**-20IR - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.92	0.00	3.92	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.51	0.00	3.51	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
12/03/2018	***-**-265I - MEEKINS, TIMOTHY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
12/03/2018	***-**-443I - LATUS, FREDERICK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOCHER, DENISE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.89	0.00	3.89	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.52	0.00	1.52	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-094I - KATRENA L	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: 00083996385	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	0.0	0.00
12/03/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: 00084301242	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	28.37	0.00	28.37	0.0	0.00
12/03/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	0.0	0.00
12/03/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 00075086418	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	3.22	0.00	3.22	0.0	0.00
12/03/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 00075086546	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	3.22	0.00	3.22	0.0	0.00
12/03/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083849497	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	0.0	0.00
12/03/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083849497	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	0.0	0.00
12/03/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530007	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.70	0.00	7.70	0.0	0.00
12/03/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	EUROPACIFIC GROWTH FUND CLASS C BUY 0 Units @0.0000	0.00	4.88	0.00	4.88	0.0	0.00
12/03/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530008	EUROPACIFIC GROWTH FUND-529E BUY 0 Units @0.0000	0.00	6.38	0.00	6.38	0.0	0.00
12/03/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	0.0	0.00
12/03/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: 00083609136	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	4.94	0.00	4.94	0.0	0.00
12/03/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	0.0	0.00
12/03/2018	***-**-094I - KATRENA L ACCOUNT #: 00084459807	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	0.37	0.00	0.37	0.0	0.00
12/03/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 00075086418	FUNDAMENTAL INVESTORS-529A BUY 0 Units @0.0000	0.00	1.48	0.00	1.48	0.0	0.00
12/03/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 00075086546	FUNDAMENTAL INVESTORS-529A BUY 0 Units @0.0000	0.00	1.48	0.00	1.48	0.0	0.00



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	11.05	0.00	11.05	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
12/03/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	11.05	0.00	11.05	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-529C	0.00	3.93	0.00	3.93	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	FUNDAMENTAL INVESTORS-A	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	FUNDAMENTAL INVESTORS-A	0.00	3.80	0.00	3.80	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-20IN - DEMETRI, AMELIA	FUNDAMENTAL INVESTORS-A	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	FUNDAMENTAL INVESTORS-A	0.00	11.56	0.00	11.56	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-8901 - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	FUNDAMENTAL INVESTORS-A	0.00	7.67	0.00	7.67	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	6.17	0.00	6.17	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	FUNDAMENTAL INVESTORS-A	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
12/03/2018	***-**-265I - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
12/03/2018	***-**-443I - LATUS, FREDERICK	FUNDAMENTAL INVESTORS-A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-20JT - KOCHER, DENISE	FUNDAMENTAL INVESTORS-A	0.00	2.68	0.00	2.68	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
12/03/2018	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-A	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-A	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-094I - KATRENA L	FUNDAMENTAL INVESTORS-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	FUNDAMENTAL INVESTORS-A	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	FUNDAMENTAL INVESTORS-A	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	FUNDAMENTAL INVESTORS-C	0.00	6.38	0.00	6.38	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
12/03/2018	***-**-18IR - MEEKINS, KAIDEN	FUNDAMENTAL INVESTORS-C	0.00	2.88	0.00	2.88	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
12/03/2018	***-**-8901 - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-C	0.00	26.49	0.00	26.49	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-C	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	14.54	0.00	14.54	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
12/03/2018	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-C	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-C	0.00	6.57	0.00	6.57	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.30	0.00	3.30	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
12/03/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.30	0.00	3.30	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-0462 - FRIOT, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	INTERNATIONAL GROWTH AND INCOME-A	0.00	2.93	0.00	2.93	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	11.12	0.00	11.12	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-8901 - GURDO, ANTHONY	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	INTERNATIONAL GROWTH AND INCOME-A	0.00	4.64	0.00	4.64	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-901I - SATTERLY, ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
12/03/2018	***-**-382I - SATTERLY, NEIL	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-094I - KATRENA L	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-506J - CURRIERE JR, MR	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00088062946	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	INTERNATIONAL GROWTH AND INCOME-C	0.00	6.65	0.00	6.65	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-C	0.00	3.88	0.00	3.88	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-72TD - GAVALO, JULIE	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	11.68	0.00	11.68	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	NEW PERSPECTIVE FUND-529C	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	NEW PERSPECTIVE FUND-A	0.00	2.33	0.00	2.33	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	15.93	0.00	15.93	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-72RI - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	12.36	0.00	12.36	0.0	0.00
	ACCOUNT #: 00087231055	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	NEW PERSPECTIVE FUND-A	0.00	3.33	0.00	3.33	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	NEW PERSPECTIVE FUND-A	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOCHER, DENISE	NEW PERSPECTIVE FUND-A	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-506J - CURRIERE JR, MR	NEW PERSPECTIVE FUND-A	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: 00088062946	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	NEW PERSPECTIVE FUND-C	0.00	4.35	0.00	4.35	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-529C	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	NEW WORLD FUND-A	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	NEW WORLD FUND-A	0.00	1.76	0.00	1.76	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
12/03/2018	***-**-20IR - DEMETRI, AMELIA	NEW WORLD FUND-A	0.00	4.06	0.00	4.06	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-643I - PELLETIER, MARY	NEW WORLD FUND-A	0.00	2.07	0.00	2.07	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	NEW WORLD FUND-A	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
12/03/2018	***-**-265I - MEEKINS, TIMOTHY	NEW WORLD FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
12/03/2018	***-**-443I - LATUS, FREDERICK	NEW WORLD FUND-A	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOCHER, DENISE	NEW WORLD FUND-A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-A	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	NEW WORLD FUND-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	NEW WORLD FUND-A	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	NEW WORLD FUND-C	0.00	2.80	0.00	2.80	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-C	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-C	0.00	3.50	0.00	3.50	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	SHORT-TERM BOND FUND OF AMERICA - A	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	SHORT-TERM BOND FUND OF AMERICA - A	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-6981 - KARAM, MARY ANN	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	SMALLCAP WORLD FUND-A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	SMALLCAP WORLD FUND-A	0.00	2.86	0.00	2.86	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
12/03/2018	***-**-8158 - BEHA, DEBORAH	SMALLCAP WORLD FUND-A	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
12/03/2018	***-**-265I - MEEKINS, TIMOTHY	SMALLCAP WORLD FUND-A	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
12/03/2018	***-**-443I - LATUS, FREDERICK	SMALLCAP WORLD FUND-A	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	SMALLCAP WORLD FUND-A	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	SMALLCAP WORLD FUND-A	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-5414 - KARAM, SEGEAN	SMALLCAP WORLD FUND-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
12/03/2018	***-**-6981 - KARAM, MARY ANN	SMALLCAP WORLD FUND-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	SMALLCAP WORLD FUND-C	0.00	2.61	0.00	2.61	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
12/03/2018	***-**-72TD - GAVALO, JULIE	TAX-EXEMPT BOND FUND OF AMERICA	0.00	14.75	0.00	14.75	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
12/03/2018	***-**-072I - GAVALO, JULIE	THE BOND FUND OF AMERICA-A	0.00	16.46	0.00	16.46	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
12/03/2018	***-**-81IR - CAMPBELL,	THE BOND FUND OF AMERICA-A	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529A	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529C	0.00	23.84	0.00	23.84	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-72IN - GAVALO, JULIE	THE GROWTH FUND OF AMERICA-529E	0.00	10.01	0.00	10.01	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
12/03/2018	***-**-480J - EVANS, RICHARD	THE GROWTH FUND OF AMERICA-A	0.00	3.56	0.00	3.56	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
12/03/2018	***-**-81IR - CAMPBELL,	THE GROWTH FUND OF AMERICA-A	0.00	2.94	0.00	2.94	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
12/03/2018	***-**-506J - CURRIERE JR, MR	THE GROWTH FUND OF AMERICA-A	0.00	8.55	0.00	8.55	0.0	0.00
	ACCOUNT #: 00083609136	BUY 0 Units @0.0000						
12/03/2018	***-**-5375 - CARTER, LISA	THE GROWTH FUND OF AMERICA-A	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	THE GROWTH FUND OF AMERICA-A	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-680I - HARRELL, DARYL	THE GROWTH FUND OF AMERICA-C	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
12/03/2018	***-**-2855 - KRECIDLO, MARTA	THE INCOME FUND OF AMERICA-A	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
12/03/2018	***-**-755P - SHEPPARD,	THE INCOME FUND OF AMERICA-A	0.00	1.90	0.00	1.90	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.91	0.00	3.91	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-81IR - CAMPBELL,	THE INCOME FUND OF AMERICA-A	0.00	5.26	0.00	5.26	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.47	0.00	4.47	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
12/03/2018	***-**-265I - MEEKINS, TIMOTHY	THE INCOME FUND OF AMERICA-A	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
12/03/2018	***-**-265J - MEEKINS, TIMOTHY	THE INCOME FUND OF AMERICA-A	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-443I - LATUS, FREDERICK	THE INCOME FUND OF AMERICA-A	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-901I - SATTERLY, ANN	THE INCOME FUND OF AMERICA-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
12/03/2018	***-**-382I - SATTERLY, NEIL	THE INCOME FUND OF AMERICA-A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	THE INCOME FUND OF AMERICA-A	0.00	1.83	0.00	1.83	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
12/03/2018	***-**-78IR - FALES, JOAN	THE INCOME FUND OF AMERICA-A	0.00	4.56	0.00	4.56	0.0	0.00
	ACCOUNT #: 00088082227	BUY 0 Units @0.0000						
12/03/2018	***-**-443I - LATUS, FREDERICK	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	THE NEW ECONOMY FUND-A	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-86IR - MEEKINS, LISA	THE NEW ECONOMY FUND-A	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
12/03/2018	***-**-20JT - KOHEM, DENISE	THE NEW ECONOMY FUND-A	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	THE NEW ECONOMY FUND-C	0.00	5.71	0.00	5.71	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
12/03/2018	***-**-643I - PELLETIER, MARY	THE NEW ECONOMY FUND-C	0.00	1.71	0.00	1.71	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
12/03/2018	***-**-0462 - FRIOT, MICHAEL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
12/03/2018	***-**-901I - SATTERLY, ANN	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
12/03/2018	***-**-382I - SATTERLY, NEIL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
12/03/2018	***-**-72IN - GAVALO, JULIE	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.02	0.00	9.02	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
12/03/2018	***-**-169I - EVANS, PAUL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						



COMMISSION STATEMENT

December 17, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-443I - LATUS, FREDERICK	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
12/03/2018	***-**-753J - FALCHI, VALERIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$741.23	\$0.00	\$741.23		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$42,289.90	\$1,117.56	\$204.10	\$913.46		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-469.19
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-469.19
Year-to-date Earnings	\$0.00