

COMMISSION STATEMENT

November 30, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVE

BROKER #: V03 A03
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/09/2018 (None)	VARIOUS, GINSBURG	FRANKLIN TEMPLETON	B	0.00	20.15	0.00	20.15	50.0	10.08
11/06/2018	75501483 SPILLER, HELEN	THE INCOME FUND OF AMERICA-A	S	1,107.89	0.00	0.00	0.00	50.0	0.00
11/09/2018	75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
11/29/2018	75502084 TREICHLER, CHARLES	SHORT-TERM BOND FUND OF AMERICA - A	S	1,400.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$3,007.89	\$20.15	\$0.00	\$20.15		\$10.08

PRODUCT CATEGORY: STOCKS

11/01/2018	75501739 STAUFFER, JUDITH	TOLL BROTHERS INC	S	8,478.13	0.00	0.00	0.00	50.0	0.00
11/16/2018	75501739 STAUFFER, JUDITH	3M COMPANY	S	10,383.50	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$18,861.63	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/07/2018 (None)	VARIOUS, GINSBURG	JACKSON	B	0.00	329.92	0.00	329.92	50.0	164.96
11/13/2018 (None)	VARIOUS, GINSBURG	AM LEGACY III PLUS - AMERICAN FUNDS	B	0.00	26.13	0.00	26.13	50.0	13.07
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$356.05	\$0.00	\$356.05		\$178.03

PRODUCT CATEGORY: RIA

10/01/2018	75501739 STAUFFER, JUDITH	FAS FEES PT. II/III	B	0.00	210.84	0.00	210.84	50.0	105.42
10/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. II/III	B	-632.54	0.00	0.00	0.00	50.0	0.00
10/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. II/III	B	-1,021.71	340.57	0.00	340.57	50.0	170.29
10/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. II/III	B	-192.22	0.00	0.00	0.00	50.0	0.00
10/01/2018	75501751 STAUFFER, ROBERT	FAS FEES PT. II/III	B	0.00	64.07	0.00	64.07	50.0	32.04
10/01/2018	75501824 ALANCRAIG, DIANE	FAS FEES PT. II/III	B	-380.44	126.81	0.00	126.81	50.0	63.41
10/01/2018	75501829 SEPTIEN, ALFREDO	FAS FEES PT. II/III	B	-234.63	78.21	0.00	78.21	50.0	39.11
10/01/2018	75501830 SEPTIEN, ALFREDO	FAS FEES PT. II/III	B	-316.24	105.41	0.00	105.41	50.0	52.71
10/01/2018	75501832 WEXLER, SHEILA	FAS FEES PT. II/III	B	-451.74	150.58	0.00	150.58	50.0	75.29
10/01/2018	75501833 GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-1,363.97	454.65	0.00	454.65	50.0	227.33
10/01/2018	75501834 BUGANSKI, TERRI	FAS FEES PT. II/III	B	-890.41	296.80	0.00	296.80	50.0	148.40
10/01/2018	75501835 BUGANSKI, TERRI	FAS FEES PT. II/III	B	-98.75	32.91	0.00	32.91	50.0	16.46
10/01/2018	75501836 FARKAS, RONALD	FAS FEES PT. II/III	B	-699.88	233.29	0.00	233.29	50.0	116.65
10/01/2018	75501837 FARKAS, MARILYN	FAS FEES PT. II/III	B	-1,399.45	466.48	0.00	466.48	50.0	233.24
10/01/2018	75501868 ZEGEN, LOUIS	FAS FEES PT. II/III	B	-1,334.01	444.67	0.00	444.67	50.0	222.34

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

10/01/2018	75501869	ZEGEN, LOUIS	FAS FEES PT. II/III	B	-221.22	73.74	0.00	73.74	50.0	36.87
10/01/2018	75501879	LIBOVE, MICHAEL	FAS FEES PT. II/III	B	-1,428.32	476.10	0.00	476.10	50.0	238.05
10/01/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. II/III	B	-308.73	102.91	0.00	102.91	50.0	51.46
10/01/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-704.53	234.84	0.00	234.84	50.0	117.42
				* RIA SUBTOTAL *	\$-11,678.79	\$3,892.88	\$0.00	\$3,892.88		\$1,946.49

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018		FEE COUNT - 182			0.00	932.07	0.00	932.07	50.0	460.86
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$932.07	\$0.00	\$932.07		\$460.86
				*** BROKER TRANSACTIONS TOTAL ***	\$10,190.73	\$5,201.15	\$0.00	\$5,201.15		\$2,595.46

ADJUSTMENTS

11/30/2018		OTHER: TOWERBRIDGE - 4Q FEE 2/3			0.00	0.00	0.00	0.00		-123.58
11/30/2018		MISCELLANEOUS: UNBUNDLED FEES 2/3			0.00	0.00	0.00	0.00		-330.97
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-454.55

BROKER COMMISSION TOTALS

Broker Transactions	\$2,595.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-454.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.50
SIPC Assessment	\$-13.00

Check Amount	\$2,121.41
Year-to-date Earnings	\$27,432.71