



COMMISSION STATEMENT

October 08, 2018

RICHARD GUNTNER
2645 Spring Rd.
Carney, MD 21234

BROKER #: B31
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/05/2018	***-**-4851 - ALI, NAYEEM	AMERICAN BALANCED FUND-A	1,250.00	53.13	0.00	53.13	70.0	37.19
	ACCOUNT #: 04001207871	BUY 43 Units @29.1700						
10/05/2018	***-**-4851 - ALI, NAYEEM	NEW PERSPECTIVE FUND-A	1,250.00	53.13	0.00	53.13	70.0	37.19
	ACCOUNT #: 04001207871	BUY 26 Units @47.8800						
10/05/2018	***-**-4851 - ALI, NAYEEM	SMALLCAP WORLD FUND-A	1,250.00	53.13	0.00	53.13	70.0	37.19
	ACCOUNT #: 04001207871	BUY 21 Units @60.9100						
10/05/2018	***-**-4851 - ALI, NAYEEM	SMALLCAP WORLD FUND-A	1,000.00	42.50	0.00	42.50	70.0	29.75
	ACCOUNT #: 04001207908	BUY 16 Units @60.9100						
10/05/2018	***-**-4851 - ALI, NAYEEM	THE INVESTMENT COMPANY OF AMERICA-A	1,250.00	53.13	0.00	53.13	70.0	37.19
	ACCOUNT #: 04001207871	BUY 28 Units @43.9600						
10/05/2018	***-**-4851 - ALI, NAYEEM	WASHINGTON MUTUAL INVESTORS FUND-A	1,000.00	42.50	0.00	42.50	70.0	29.75
	ACCOUNT #: 04001207908	BUY 20 Units @49.1300						
* MUTUAL FUNDS SUBTOTAL *			\$7,000.00	\$297.52	\$0.00	\$297.52		\$208.26
*** BROKER TRANSACTIONS TOTAL ***			\$7,000.00	\$297.52	\$0.00	\$297.52		\$208.26

BROKER COMMISSION TOTALS

Broker Transactions	\$208.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$17.08
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$225.34
Year-to-date Earnings	\$558.57