



COMMISSION STATEMENT

October 08, 2018

ALAN FISHMAN
3 Buckley Place
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2018	***-**-427I - FISHMAN, ALAN	INTERNATIONAL SMALL COMPANY A	0.00	66.38	0.00	66.38	75.0	49.79
	ACCOUNT #: 08150696707	BUY 0 Units @0.0000						
09/30/2018	***-**-427I - FISHMAN, ALAN	MAIN STREET FUND A	0.00	27.28	0.00	27.28	75.0	20.46
	ACCOUNT #: 07004434301	BUY 0 Units @0.0000						
09/30/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	47.62	0.00	47.62	75.0	35.72
	ACCOUNT #: 07852994145	BUY 0 Units @0.0000						
09/30/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	25.39	0.00	25.39	75.0	19.04
	ACCOUNT #: 02512697684	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$166.67	\$0.00	\$166.67		\$125.01
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$166.67	\$0.00	\$166.67		\$125.01

BROKER COMMISSION TOTALS

Broker Transactions	\$125.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$125.01
Year-to-date Earnings	\$18,618.83