

# COMMISSION STATEMENT

December 15, 2018

DENICE LAND-D341  
 320 South Boston Avenue  
 #1130  
 Tulsa, OK 74130

BROKER #: D341  
 BRANCH: DMN

## COMMISSION STATEMENT for DENICE LAND-D341

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

11/28/2018	00084310415	EVANS, KRISTY	MF	AMERICAN FUNDS 2025 TARGET	B	16.64	0.83	0.00	0.83	60.0	0.50
11/28/2018	00084310415	EVANS, KRISTY	MF	AMERICAN FUNDS 2025 TARGET	B	16.64	0.83	0.00	0.83	60.0	0.50
11/28/2018	00084310433	WITTEN, DONALD	MF	AMERICAN FUNDS 2025 TARGET	B	69.00	3.45	0.00	3.45	60.0	2.07
11/28/2018	00084310433	WITTEN, DONALD	MF	AMERICAN FUNDS 2025 TARGET	B	69.00	3.45	0.00	3.45	60.0	2.07
11/28/2018	00084310473	BREWSTER, ROBERT	MF	AMERICAN FUNDS 2025 TARGET	B	43.50	1.85	0.00	1.85	60.0	1.11
11/28/2018	00084310473	BREWSTER, ROBERT	MF	AMERICAN FUNDS 2025 TARGET	B	43.50	1.85	0.00	1.85	60.0	1.11
11/28/2018	00084310478	OSTER, CAROL	MF	AMERICAN FUNDS 2015 TARGET	B	21.00	1.05	0.00	1.05	60.0	0.63
11/28/2018	00084310478	OSTER, CAROL	MF	AMERICAN FUNDS 2015 TARGET	B	21.00	1.05	0.00	1.05	60.0	0.63
11/28/2018	00084310522	LATIMER, KARLA	MF	AMERICAN FUNDS 2045 TARGET	B	26.25	1.31	0.00	1.31	60.0	0.79
11/28/2018	00084310522	LATIMER, KARLA	MF	AMERICAN FUNDS 2045 TARGET	B	26.25	1.31	0.00	1.31	60.0	0.79
11/28/2018	00086610084	YOUNG, LANCE	MF	AMERICAN FUNDS 2015 TARGET	B	9.05	0.45	0.00	0.45	60.0	0.27
11/28/2018	00086610084	YOUNG, LANCE	MF	AMERICAN FUNDS 2015 TARGET	B	9.05	0.45	0.00	0.45	60.0	0.27
11/28/2018	00087869964	GOODSON, RUSSELL	MF	AMERICAN FUNDS 2040 TARGET	B	38.14	1.91	0.00	1.91	60.0	1.15
11/28/2018	00087869964	GOODSON, RUSSELL	MF	AMERICAN FUNDS 2040 TARGET	B	38.14	1.91	0.00	1.91	60.0	1.15
11/28/2018	00087920714	LIVELY, ADAM	MF	AMERICAN FUNDS 2055 TARGET	B	32.21	1.61	0.00	1.61	60.0	0.97
11/28/2018	00087920714	LIVELY, ADAM	MF	AMERICAN FUNDS 2055 TARGET	B	32.21	1.61	0.00	1.61	60.0	0.97
11/28/2018	00088618353	WITTEN, SHERYL	MF	AMERICAN FUNDS 2020 TARGET	B	29.25	1.24	0.00	1.24	60.0	0.74
11/28/2018	00088618353	WITTEN, SHERYL	MF	AMERICAN FUNDS 2020 TARGET	B	29.25	1.24	0.00	1.24	60.0	0.74
11/28/2018	04000139083	TOWNSEND, TREVOR	MF	AMERICAN FUNDS 2030 TARGET	B	47.29	2.36	0.00	2.36	60.0	1.42
11/28/2018	04000139083	TOWNSEND, TREVOR	MF	AMERICAN FUNDS 2030 TARGET	B	47.29	2.36	0.00	2.36	60.0	1.42
11/28/2018	04000803201	GIDDENS, JORDAN	MF	AMERICAN FUNDS 2040 TARGET	B	23.50	0.24	0.00	0.24	60.0	0.14
11/28/2018	04000803201	GIDDENS, JORDAN	MF	AMERICAN FUNDS 2040 TARGET	B	23.50	0.24	0.00	0.24	60.0	0.14

* MUTUAL FUNDS SUBTOTAL *				\$711.66	\$32.60	\$0.00	\$32.60		\$19.58
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#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018	(None)	FRANKSUMM	MFT	BLACKROCK	B	0.00	11.07	0.00	11.07	60.0	6.64
12/03/2018	00081384519	EVANS, KRISTY	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.42	0.00	0.42	60.0	0.25
12/03/2018	00081384519	EVANS, KRISTY	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	0.67	0.00	0.67	60.0	0.40
12/03/2018	00081384519	EVANS, KRISTY	MFT	NEW PERSPECTIVE FUND-A	B	0.00	0.73	0.00	0.73	60.0	0.44
12/03/2018	00081384519	EVANS, KRISTY	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.42	0.00	0.42	60.0	0.25
12/03/2018	00081384519	EVANS, KRISTY	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	0.36	0.00	0.36	60.0	0.22

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12/03/2018 00084310415	EVANS, KRISTY	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	9.61	0.00	9.61	60.0	5.77
12/03/2018 00084310433	WITTEN, DONALD	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.81	0.00	0.81	60.0	0.49
12/03/2018 00084310473	BREWSTER, ROBERT	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	31.28	0.00	31.28	60.0	18.77
12/03/2018 00084310478	OSTER, CAROL	MFT AMERICAN FUNDS 2015 TARGET	B	0.00	15.03	0.00	15.03	60.0	9.02
12/03/2018 00084310522	LATIMER, KARLA	MFT AMERICAN FUNDS 2045 TARGET	B	0.00	0.39	0.00	0.39	60.0	0.23
12/03/2018 00084502680	HERRIMAN, JOSHUA	MFT AMERICAN FUNDS 2045 TARGET	B	0.00	17.06	0.00	17.06	60.0	10.24
12/03/2018 00086609195	RAINBOLT, IVAN	MFT AMERICAN FUNDS 2020 TARGET	B	0.00	12.95	0.00	12.95	60.0	7.77
12/03/2018 00086610084	YOUNG, LANCE	MFT AMERICAN FUNDS 2015 TARGET	B	0.00	0.70	0.00	0.70	60.0	0.42
12/03/2018 00087869964	GOODSON, RUSSELL	MFT AMERICAN FUNDS 2040 TARGET	B	0.00	9.66	0.00	9.66	60.0	5.80
12/03/2018 00087920714	LIVELY, ADAM	MFT AMERICAN FUNDS 2055 TARGET	B	0.00	6.81	0.00	6.81	60.0	4.09
12/03/2018 00088618353	WITTEN, SHERYL	MFT AMERICAN FUNDS 2020 TARGET	B	0.00	5.75	0.00	5.75	60.0	3.45
12/03/2018 00088773930	DECORT, AMANDA	MFT NEW PERSPECTIVE FUND-C	B	0.00	21.11	0.00	21.11	60.0	12.67
12/03/2018 00088773930	DECORT, AMANDA	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	21.81	0.00	21.81	60.0	13.09
12/07/2018 01451345696	COOK, WILLIAM	MFT FIDELITY ADV ENERGY CL A	B	0.00	0.13	0.00	0.13	60.0	0.08
12/07/2018 01451345696	COOK, WILLIAM	MFT FIDELITY ADV LATIN AMERICA FUND	B	0.00	0.08	0.00	0.08	60.0	0.05
12/07/2018 01451345696	COOK, WILLIAM	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	1.14	0.00	1.14	60.0	0.68
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	0.99	0.00	0.99	60.0	0.59
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR FUNDS	B	0.00	19.09	0.00	19.09	60.0	11.45
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	1.53	0.00	1.53	60.0	0.92
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR DIVERSIFIED	B	0.00	1.46	0.00	1.46	60.0	0.88
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.92	0.00	0.92	60.0	0.55
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	7.15	0.00	7.15	60.0	4.29
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.77	0.00	16.77	60.0	10.06
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADVISOR MID CAP II	B	0.00	2.04	0.00	2.04	60.0	1.22
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY ADV LATIN AMERICA FUND	B	0.00	0.48	0.00	0.48	60.0	0.29
12/07/2018 01451345720	COOK, WILLIAM	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	2.31	0.00	2.31	60.0	1.39
12/03/2018 04000139074	HOWE, JOSHUA	MFT AMERICAN FUNDS 2030 TARGET	B	0.00	2.07	0.00	2.07	60.0	1.24
12/03/2018 04000139083	TOWNSEND, TREVOR	MFT AMERICAN FUNDS 2030 TARGET	B	0.00	1.98	0.00	1.98	60.0	1.19
12/03/2018 04000591755	CALVERT/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	38.99	0.00	38.99	60.0	23.39
12/03/2018 04000591755	CALVERT/BENE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	29.32	0.00	29.32	60.0	17.59
12/03/2018 04000591755	CALVERT/BENE,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	31.34	0.00	31.34	60.0	18.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$324.43	\$0.00	\$324.43		\$194.67
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/01/2018 (None)	SIVADON, ANGELA	VAT VOYA LIFE	B	0.00	39.93	0.00	39.93	60.0	23.96
12/04/2018 (None)	MADDOX, REBECCA	VAT VOYA LIFE	B	0.00	38.47	0.00	38.47	60.0	23.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$78.40	\$0.00	\$78.40		\$47.04
*** DIRECT TRANSACTIONS TOTAL ***				\$711.66	\$435.43	\$0.00	\$435.43		\$261.29

**BROKER COMMISSION TOTALS**

Broker Transactions	\$261.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-134.56
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$126.73</b>
<b>Year-to-date Commissions</b>	<b>\$13,768.30</b>