



# COMMISSION STATEMENT

October 01, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/25/2018	***-**-653X - WERNER, FBO EVAN	AMERICAN BALANCED FUND-A	475.01	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010638	BUY 16 Units @28.9500						
09/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	75.0	2.39
	ACCOUNT #: 00084492487	BUY 4 Units @28.9500						
09/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	75.0	3.23
	ACCOUNT #: 00087990221	BUY 4 Units @29.2600						
09/28/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 2 Units @29.6300						
09/25/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @63.3500						
09/25/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: 00084492487	BUY 2 Units @55.1800						
09/17/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 000000000426484	BUY 14 Units @10.7200						
09/17/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 000000000033504	BUY 13 Units @11.2600						
09/25/2018	***-**-595X - WERNER, FBO	FUNDAMENTAL INVESTORS-A	475.02	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010640	BUY 7 Units @67.8500						
09/25/2018	***-**-653X - WERNER, FBO EVAN	THE GROWTH FUND OF AMERICA-A	474.98	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010638	BUY 8 Units @58.6400						
09/25/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00084492487	BUY 3 Units @58.6400						
09/25/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @59.2600						
09/28/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 1 Units @60.1500						
09/28/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089897908	BUY 1 Units @60.1500						
09/25/2018	***-**-595X - WERNER, FBO	THE INCOME FUND OF AMERICA-A	475.01	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010640	BUY 20 Units @24.1000						
09/25/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 00087990221	BUY 5 Units @24.3600						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/25/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	75.0	3.33
	ACCOUNT #: 00087990221	BUY 2 Units @49.0800						
		* MUTUAL FUNDS SUBTOTAL *	\$3,159.35	\$89.56	\$0.00	\$89.56		\$67.21
		*** BROKER TRANSACTIONS TOTAL ***	\$3,159.35	\$89.56	\$0.00	\$89.56		\$67.21

## BROKER COMMISSION TOTALS

Broker Transactions	\$67.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$67.21
Year-to-date Earnings	\$45,005.55