

COMMISSION STATEMENT

November 30, 2018

LAWRENCE HORN
301 E 47TH STREET
APT 16J
NEW YORK, NY 10017

BROKER #: I 20 I75 K17 I21 I 2
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/07/2018	QGV006240 GS ARBITRAGE	DATAWATCH CORP COM NEW	S	-65,833.50	200.00	10.00	190.00	50.0	95.00
11/16/2018	QGV006240 GS ARBITRAGE	USG CORP (NEW) COMMON STOCK	S	-170,636.80	160.00	10.00	150.00	50.0	75.00
* STOCKS SUBTOTAL *				\$-236,470.30	\$360.00	\$20.00	\$340.00		\$170.00
*** BROKER TRANSACTIONS TOTAL ***				\$-236,470.30	\$360.00	\$20.00	\$340.00		\$170.00

ADJUSTMENTS

11/30/2018	OTHER: 360 OFFICE			0.00	0.00	0.00	0.00		-5.00
11/30/2018	OTHER: BLOOMBERG			0.00	0.00	0.00	0.00		-1,050.00
11/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
11/30/2018	OTHER: HAMMERSTONE			0.00	0.00	0.00	0.00		-127.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,202.50

BROKER COMMISSION TOTALS

Broker Transactions	\$170.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.45
SIPC Assessment	\$-0.90

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,033.85
Year-to-date Earnings	\$40,040.84