



# COMMISSION STATEMENT

## November 19, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/13/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	AMERICAN GLOBAL BALANCED CL C SELL 465 Units @30.4000	14,144.39	0.00	18.00	-18.00	100.0	-18.00
11/12/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	BLACKROCK STRATEGIC INC OPP PORT CL SELL 1031 Units @9.6500	9,953.63	0.00	18.00	-18.00	100.0	-18.00
11/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFA GLOBAL REAL ESTATE SEC PORTFOLI SELL 46 Units @11.0000	505.00	0.00	0.00	0.00	75.0	0.00
11/13/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	FIRST EAGLE GLOBAL FUND CLASS C SELL 362 Units @53.9500	19,533.46	0.00	18.00	-18.00	100.0	-18.00
11/13/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	JPMORGAN EQUITY INCOME FUND CLASS C SELL 1242 Units @16.8900	20,976.06	0.00	18.00	-18.00	100.0	-18.00
11/13/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	LORD ABBETT SHORT DURATION INCOME C SELL 1442 Units @4.1700	6,011.08	0.00	18.00	-18.00	100.0	-18.00
11/13/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PIMCO INCOME FUND CL C SELL 844 Units @11.8000	9,953.47	0.00	18.00	-18.00	100.0	-18.00
11/12/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PIMCO TOTAL RETURN C SELL 1178 Units @9.8700	11,625.02	0.00	18.00	-18.00	100.0	-18.00
11/13/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PRINCIPAL GLOBAL DIVERSIFIED INCOME SELL 2584 Units @13.1600	34,005.18	0.00	18.00	-18.00	100.0	-18.00
11/12/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	TEMPLETON GLOBAL BOND FUND - CLASS SELL 510 Units @11.7900	6,010.32	0.00	18.00	-18.00	100.0	-18.00
11/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	VANGUARD TOTAL INTERNTL BD INDX ADM SELL 23 Units @21.7900	499.99	0.00	0.00	0.00	75.0	0.00
11/12/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	WESTERN ASSET CORE BOND FUND CL C SELL 723 Units @12.0600	8,723.12	0.00	18.00	-18.00	100.0	-18.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$141,940.72</b>	<b>\$0.00</b>	<b>\$180.00</b>	<b>\$-180.00</b>		<b>\$-180.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/08/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	CANOPY GROWTH CORPORATION COM NPV I BUY 25 Units @43.7060	1,092.65	48.07	8.99	39.08	75.0	29.31
11/08/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	CONSTELLATION BRANDS INC CL A BUY 5 Units @208.0000	1,040.00	47.18	9.04	38.14	75.0	28.61
<b>* STOCKS SUBTOTAL *</b>			<b>\$2,132.65</b>	<b>\$95.25</b>	<b>\$18.03</b>	<b>\$77.22</b>		<b>\$57.92</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/26/2018	***-**-2031 - BARNARD,	LINCOLN CHOICE PLUS ASSURANCE SERIE BUY 1 Units @80000.0000	80,000.00	5,600.00	0.00	5,600.00	75.0	4,200.00
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$80,000.00</b>	<b>\$5,600.00</b>	<b>\$0.00</b>	<b>\$5,600.00</b>		<b>\$4,200.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	49.24	0.00	49.24	75.0	36.93
11/19/2018	***-**-5290 - ADOVASIO, LUKE	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	2.81	0.00	2.81	75.0	2.11
11/19/2018	***-**-20CL - BARBER, CLIENTS ACCOUNT #: 68971123701	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	31.60	0.00	31.60	75.0	23.70
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	CLEARBRIDGE AGGRESSIVE GROWTH C BUY 1 Units @0.0000	0.00	9.14	0.00	9.14	75.0	6.86
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	6.71	0.00	6.71	75.0	5.03
10/19/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	INTERNATIONAL EMERGING MARKETS (A) BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	75.0	0.26
11/19/2018	***-**-0853 - BOYER, THOMAS ACCOUNT #: 77467864401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	75.0	0.32
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	OPPENHEIMER GLOBAL CLASS C BUY 1 Units @0.0000	0.00	25.03	0.00	25.03	75.0	18.77
10/19/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL EQUITY INC CL C BUY 1 Units @0.0000	0.00	26.18	0.00	26.18	75.0	19.64
10/19/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	12.90	0.00	12.90	75.0	9.68
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	7.96	0.00	7.96	75.0	5.97
10/19/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	40.52	0.00	40.52	75.0	30.39
10/19/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	PRINCIPAL INVESTORS SAM CONSERV GRO BUY 1 Units @0.0000	0.00	19.22	0.00	19.22	75.0	14.42
10/19/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	75.0	1.05



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	4.32	0.00	4.32	75.0	3.24
10/19/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	8.20	0.00	8.20	75.0	6.15
10/19/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	20.27	0.00	20.27	75.0	15.20
10/19/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	WESTERN ASSET CORE BOND FUND CL C BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	75.0	1.87
10/19/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	WESTERN ASSET MANAGED MUNI CL C BUY 1 Units @0.0000	0.00	14.24	0.00	14.24	75.0	10.68
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$283.01</b>	<b>\$0.00</b>	<b>\$283.01</b>		<b>\$212.27</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$224,073.37</b>	<b>\$5,978.26</b>	<b>\$198.03</b>	<b>\$5,780.23</b>		<b>\$4,290.19</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$4,290.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$4,290.19</b>
<b>Year-to-date Earnings</b>	<b>\$58,531.60</b>