



COMMISSION STATEMENT

August 27, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/20/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @35.0700						
08/23/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	15.20	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 04001030377	BUY 0 Units @36.2100						
08/23/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	25.33	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 04001030377	BUY 1 Units @36.2100						
08/22/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	12.92	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 04000812765	BUY 0 Units @29.2700						
08/24/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.2800						
08/24/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.2800						
08/24/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.2800						
08/24/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.2800						
08/24/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.2800						
08/24/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.2800						
08/24/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.2800						
08/24/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.2800						
08/23/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.69	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 04001030342	BUY 1 Units @27.5500						
08/23/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @27.5500						
08/22/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000812746	BUY 23 Units @15.6300						
08/23/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	30.88	1.54	0.00	1.54	80.0	1.23
	ACCOUNT #: 04001030268	BUY 2 Units @15.7100						



COMMISSION STATEMENT

August 27, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/23/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	33.57	1.68	0.00	1.68	80.0	1.34
	ACCOUNT #: 04001030256	BUY 2 Units @15.7100						
08/23/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	33.57	1.68	0.00	1.68	80.0	1.34
	ACCOUNT #: 04001030256	BUY 2 Units @15.7100						
08/23/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	71.31	3.57	0.00	3.57	80.0	2.86
	ACCOUNT #: 04001030247	BUY 5 Units @15.7100						
08/23/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	30.56	1.53	0.00	1.53	80.0	1.22
	ACCOUNT #: 04001030247	BUY 2 Units @15.7100						
08/23/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	56.45	2.82	0.00	2.82	80.0	2.26
	ACCOUNT #: 04001030467	BUY 4 Units @15.7100						
08/23/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	8.00	0.00	8.00	80.0	6.40
	ACCOUNT #: 04001030467	BUY 10 Units @15.7100						
08/23/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	51.46	2.57	0.00	2.57	80.0	2.06
	ACCOUNT #: 04001030268	BUY 3 Units @15.7100						
08/23/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @14.5300						
08/23/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 17 Units @14.5300						
08/22/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000813270	BUY 22 Units @16.2300						
08/22/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.6600						
08/22/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 04000812733	BUY 18 Units @16.6600						
08/23/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.66	0.00	3.66	80.0	2.93
	ACCOUNT #: 04001030442	BUY 4 Units @16.7400						
08/23/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 04001030442	BUY 14 Units @16.7400						
08/23/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	21.17	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04001030412	BUY 1 Units @16.7400						
08/23/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	70.55	3.53	0.00	3.53	80.0	2.82
	ACCOUNT #: 04001030412	BUY 4 Units @16.7400						



COMMISSION STATEMENT

August 27, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/23/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	34.33	1.72	0.00	1.72	80.0	1.38
	ACCOUNT #: 04001030287	BUY 2 Units @16.6900						
08/23/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	34.33	1.72	0.00	1.72	80.0	1.38
	ACCOUNT #: 04001030287	BUY 2 Units @16.6900						
08/24/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @13.1500						
08/24/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @13.1500						
08/23/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	25.32	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 04001030377	BUY 1 Units @44.9500						
08/23/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	15.19	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 04001030377	BUY 0 Units @44.9500						
08/20/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @52.5200						
08/23/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	18.46	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 04001030438	BUY 0 Units @50.8800						
08/23/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	49.24	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 04001030438	BUY 1 Units @50.8800						
08/20/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @13.9000						
08/24/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.6000						
08/24/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.6000						
08/20/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @47.8700						
08/23/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.31	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @45.4200						
08/23/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	32.82	0.33	0.00	0.33	80.0	0.26
	ACCOUNT #: 04001030438	BUY 1 Units @45.4200						
* MUTUAL FUNDS SUBTOTAL *			\$4,496.27	\$129.55	\$0.00	\$129.55		\$103.65



COMMISSION STATEMENT

August 27, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES								
07/09/2018	***-**-930I - SILL, RONALD	AMERICAN LANDMARK 5 BUY 1 Units @50471.0000	50,382.54	1,889.35	0.00	1,889.35	100.0	1,889.35
		* EQUITY INDEXED ANNUITIES SUBTOTAL *	\$50,382.54	\$1,889.35	\$0.00	\$1,889.35		\$1,889.35
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/31/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A ACCOUNT #: 000060177222593 BUY 0 Units @0.0000	0.00	39.23	0.00	39.23	80.0	31.38
07/31/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A ACCOUNT #: 000090236508494 BUY 0 Units @0.0000	0.00	15.43	0.00	15.43	80.0	12.34
07/31/2018	***-**-078I - PELLETIER,	FT 2015 RETIREMENT TARGET FUND - CL ACCOUNT #: 000000000017588 BUY 0 Units @0.0000	0.00	32.15	0.00	32.15	80.0	25.72
07/31/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS ACCOUNT #: 0000000007745197 BUY 0 Units @0.0000	0.00	28.57	0.00	28.57	80.0	22.86
07/31/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A ACCOUNT #: 000006984020841 BUY 0 Units @0.0000	0.00	21.14	0.00	21.14	80.0	16.91
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$136.52	\$0.00	\$136.52		\$109.21
		*** BROKER TRANSACTIONS TOTAL ***	\$54,878.81	\$2,155.42	\$0.00	\$2,155.42		\$2,102.21



BROKER #: 180
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,102.21
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,102.21
						Year-to-date Earnings		\$65,291.64