

COMMISSION STATEMENT

December 15, 2018

RANDY SMITH-D601

BROKER #: D601

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/03/2018	08190128650	JOHN J FINN JR	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
				* MUTUAL FUNDS SUBTOTAL *		\$50.00	\$0.50	\$0.00	\$0.50		\$0.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/03/2018	00053695631	COCKERHAM, GEORGE	MFT	NEW PERSPECTIVE FUND-A	B	0.00	72.59	0.00	72.59	20.0	14.52
12/03/2018	00053695631	COCKERHAM, GEORGE	MFT	THE NEW ECONOMY FUND-A	B	0.00	87.22	0.00	87.22	20.0	17.44
12/03/2018	00060939604	KNIGHT, WILLIAM	MFT	NEW PERSPECTIVE FUND-C	B	0.00	12.29	0.00	12.29	20.0	2.46
12/03/2018	00082643376	COCKERHAM, ASHLEY	MFT	THE NEW ECONOMY FUND-A	B	0.00	8.50	0.00	8.50	20.0	1.70
12/03/2018	00083032457	JOANNE RAINES	MFT	AF U.S. GOVERNMENT MONEY	B	0.00	6.42	0.00	6.42	20.0	1.28
12/03/2018	00084947333	JOHN J FINN CUST,	MFT	AMERICAN BALANCED FUND-C	B	0.00	15.73	0.00	15.73	20.0	3.15
12/03/2018	00084947333	JOHN J FINN CUST,	MFT	NEW PERSPECTIVE FUND-C	B	0.00	15.27	0.00	15.27	20.0	3.05
12/03/2018	00084947333	JOHN J FINN CUST,	MFT	THE NEW ECONOMY FUND-C	B	0.00	14.95	0.00	14.95	20.0	2.99
12/03/2018	00084975204	FINN, JOHN	MFT	AMERICAN BALANCED FUND-C	B	0.00	372.02	0.00	372.02	20.0	74.40
12/03/2018	00084975204	FINN, JOHN	MFT	NEW PERSPECTIVE FUND-C	B	0.00	261.46	0.00	261.46	20.0	52.29
12/03/2018	00084975204	FINN, JOHN	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	317.64	0.00	317.64	20.0	63.53
12/03/2018	00085004722	KNIGHT, WILLIAM	MFT	NEW PERSPECTIVE FUND-C	B	0.00	8.98	0.00	8.98	20.0	1.80
12/03/2018	00085004722	KNIGHT, WILLIAM	MFT	SMALLCAP WORLD FUND-C	B	0.00	23.20	0.00	23.20	20.0	4.64
12/03/2018	00085305194	COCKERHAM, GEORGE	MFT	AMERICAN BALANCED FUND-A	B	0.00	21.56	0.00	21.56	20.0	4.31
12/03/2018	00085305194	COCKERHAM, GEORGE	MFT	NEW PERSPECTIVE FUND-C	B	0.00	7.34	0.00	7.34	20.0	1.47
12/03/2018	00085305979	COCKERHAM, CAROL	MFT	AMERICAN BALANCED FUND-A	B	0.00	95.58	0.00	95.58	20.0	19.12
12/03/2018	00085305979	COCKERHAM, CAROL	MFT	SMALLCAP WORLD FUND-C	B	0.00	222.05	0.00	222.05	20.0	44.41
12/03/2018	00085305979	COCKERHAM, CAROL	MFT	THE NEW ECONOMY FUND-C	B	0.00	550.56	0.00	550.56	20.0	110.11
12/03/2018	00085349608	ANN A LAFOURCADE	MFT	NEW PERSPECTIVE FUND-C	B	0.00	17.32	0.00	17.32	20.0	3.46
12/03/2018	00085349608	ANN A LAFOURCADE	MFT	THE NEW ECONOMY FUND-C	B	0.00	21.03	0.00	21.03	20.0	4.21
12/03/2018	00085352147	LINAM, DONNA	MFT	THE NEW ECONOMY FUND-C	B	0.00	1.03	0.00	1.03	20.0	0.21
12/03/2018	00085398387	JOHN R CORBETT	MFT	NEW PERSPECTIVE FUND-C	B	0.00	72.07	0.00	72.07	20.0	14.41
12/03/2018	00085398387	JOHN R CORBETT	MFT	THE NEW ECONOMY FUND-C	B	0.00	90.76	0.00	90.76	20.0	18.15
12/03/2018	00085399186	RAINES, JOANNE	MFT	AMERICAN BALANCED FUND-C	B	0.00	334.68	0.00	334.68	20.0	66.94
12/03/2018	00085466274	AGUILAR, MARIA	MFT	NEW PERSPECTIVE FUND-C	B	0.00	22.36	0.00	22.36	20.0	4.47
12/03/2018	00085466274	AGUILAR, MARIA	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	24.14	0.00	24.14	20.0	4.83
12/03/2018	00085466274	AGUILAR, MARIA	MFT	THE NEW ECONOMY FUND-C	B	0.00	53.85	0.00	53.85	20.0	10.77

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00085482308	BOGRAN, CARLOS	MFT THE NEW ECONOMY FUND-C	B	0.00	12.27	0.00	12.27	20.0	2.45
12/03/2018 00085781654	SANCHEZ, BEATRIZ	MFT NEW PERSPECTIVE FUND-C	B	0.00	5.21	0.00	5.21	20.0	1.04
12/03/2018 00085781654	SANCHEZ, BEATRIZ	MFT THE NEW ECONOMY FUND-C	B	0.00	6.54	0.00	6.54	20.0	1.31
12/03/2018 00086109508	BRUCHI, JENNIFER	MFT NEW PERSPECTIVE FUND-C	B	0.00	40.60	0.00	40.60	20.0	8.12
12/03/2018 00086109508	BRUCHI, JENNIFER	MFT THE NEW ECONOMY FUND-C	B	0.00	49.57	0.00	49.57	20.0	9.91
12/03/2018 00086413277	LE BLANC, ERIN	MFT NEW PERSPECTIVE FUND-C	B	0.00	16.65	0.00	16.65	20.0	3.33
12/03/2018 00086413277	LE BLANC, ERIN	MFT SMALLCAP WORLD FUND-C	B	0.00	16.42	0.00	16.42	20.0	3.28
12/03/2018 00086413277	LE BLANC, ERIN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	29.88	0.00	29.88	20.0	5.98
12/03/2018 00086413277	LE BLANC, ERIN	MFT THE NEW ECONOMY FUND-C	B	0.00	29.87	0.00	29.87	20.0	5.97
12/03/2018 00086465668	MCINTIRE, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	6.31	0.00	6.31	20.0	1.26
12/03/2018 00089714741	FINNN, CONNOR	MFT THE NEW ECONOMY FUND-C	B	0.00	20.48	0.00	20.48	20.0	4.10
12/03/2018 00089892340	FBO JULIETTE G	MFT NEW PERSPECTIVE FUND-C	B	0.00	6.41	0.00	6.41	20.0	1.28
12/03/2018 00089893358	SHERYL A BARDEN	MFT NEW PERSPECTIVE FUND-C	B	0.00	28.65	0.00	28.65	20.0	5.73
12/03/2018 00089893358	SHERYL A BARDEN	MFT THE NEW ECONOMY FUND-C	B	0.00	119.10	0.00	119.10	20.0	23.82
12/07/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.45	0.00	2.45	20.0	0.49
12/07/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.34	0.00	7.34	20.0	1.47
12/07/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	49.07	0.00	49.07	20.0	9.81
12/07/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	147.20	0.00	147.20	20.0	29.44
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.40	0.00	0.40	20.0	0.08
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.19	0.00	1.19	20.0	0.24
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.36	0.00	0.36	20.0	0.07
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.09	0.00	1.09	20.0	0.22
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.06	0.00	1.06	20.0	0.21
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.17	0.00	3.17	20.0	0.63
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.54	0.00	9.54	20.0	1.91
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	28.61	0.00	28.61	20.0	5.72
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.74	0.00	5.74	20.0	1.15
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.22	0.00	17.22	20.0	3.44
12/07/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.62	0.00	2.62	20.0	0.52
12/07/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.85	0.00	7.85	20.0	1.57
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	5.79	0.00	5.79	20.0	1.16
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	17.37	0.00	17.37	20.0	3.47
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.45	0.00	2.45	20.0	0.49
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.34	0.00	7.34	20.0	1.47
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.33	0.00	10.33	20.0	2.07
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	30.98	0.00	30.98	20.0	6.20
12/07/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.83	0.00	2.83	20.0	0.57
12/07/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	8.50	0.00	8.50	20.0	1.70
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.08	0.00	2.08	20.0	0.42
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.25	0.00	6.25	20.0	1.25
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.03	0.00	1.03	20.0	0.21
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.09	0.00	3.09	20.0	0.62
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.80	0.00	2.80	20.0	0.56
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.41	0.00	8.41	20.0	1.68

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12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.79	0.00	2.79	20.0	0.56
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	8.36	0.00	8.36	20.0	1.67
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.95	0.00	2.95	20.0	0.59
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.84	0.00	8.84	20.0	1.77
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.59	0.00	2.59	20.0	0.52
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	7.77	0.00	7.77	20.0	1.55
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	6.58	0.00	6.58	20.0	1.32
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	19.74	0.00	19.74	20.0	3.95
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.80	0.00	2.80	20.0	0.56
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.41	0.00	8.41	20.0	1.68
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.24	0.00	2.24	20.0	0.45
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	6.72	0.00	6.72	20.0	1.34
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.30	0.00	2.30	20.0	0.46
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.90	0.00	6.90	20.0	1.38
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	16.72	0.00	16.72	20.0	3.34
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	50.17	0.00	50.17	20.0	10.03
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	21.78	0.00	21.78	20.0	4.36
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	65.35	0.00	65.35	20.0	13.07
12/07/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	5.04	0.00	5.04	20.0	1.01
12/07/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	15.13	0.00	15.13	20.0	3.03
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.09	0.00	2.09	20.0	0.42
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	6.27	0.00	6.27	20.0	1.25
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	3.88	0.00	3.88	20.0	0.78
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	11.63	0.00	11.63	20.0	2.33
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.57	0.00	2.57	20.0	0.51
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	7.72	0.00	7.72	20.0	1.54
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	0.91	0.00	0.91	20.0	0.18
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.73	0.00	2.73	20.0	0.55
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.04	0.00	1.04	20.0	0.21
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.12	0.00	3.12	20.0	0.62
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.96	0.00	1.96	20.0	0.39
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.87	0.00	5.87	20.0	1.17
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.12	0.00	3.12	20.0	0.62
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	9.35	0.00	9.35	20.0	1.87
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.72	0.00	1.72	20.0	0.34
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.16	0.00	5.16	20.0	1.03
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.24	0.00	4.24	20.0	0.85
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.73	0.00	12.73	20.0	2.55
12/05/2018 019000000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	23.03	0.00	23.03	20.0	4.61
12/05/2018 019000000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	12.54	0.00	12.54	20.0	2.51
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.74	0.00	1.74	20.0	0.35
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.22	0.00	5.22	20.0	1.04
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.33	0.00	4.33	20.0	0.87
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.98	0.00	12.98	20.0	2.60

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12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.05	0.00	2.05	20.0	0.41
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.15	0.00	6.15	20.0	1.23
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.57	0.00	1.57	20.0	0.31
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.71	0.00	4.71	20.0	0.94
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.33	0.00	2.33	20.0	0.47
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.00	0.00	7.00	20.0	1.40
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	0.96	0.00	0.96	20.0	0.19
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.89	0.00	2.89	20.0	0.58
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.13	0.00	1.13	20.0	0.23
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.39	0.00	3.39	20.0	0.68
12/07/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.37	0.00	3.37	20.0	0.67
12/07/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	10.12	0.00	10.12	20.0	2.02
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.00	0.00	4.00	20.0	0.80
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	11.99	0.00	11.99	20.0	2.40
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.14	0.00	5.14	20.0	1.03
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	15.42	0.00	15.42	20.0	3.08
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.55	0.00	1.55	20.0	0.31
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.65	0.00	4.65	20.0	0.93
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.61	0.00	1.61	20.0	0.32
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	4.82	0.00	4.82	20.0	0.96
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.95	0.00	1.95	20.0	0.39
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.86	0.00	5.86	20.0	1.17
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.90	0.00	1.90	20.0	0.38
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.69	0.00	5.69	20.0	1.14
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	7.74	0.00	7.74	20.0	1.55
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	23.22	0.00	23.22	20.0	4.64
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.80	0.00	5.80	20.0	1.16
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	17.41	0.00	17.41	20.0	3.48
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.30	0.00	5.30	20.0	1.06
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	15.91	0.00	15.91	20.0	3.18
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	20.33	0.00	20.33	20.0	4.07
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	60.98	0.00	60.98	20.0	12.20
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.25	0.00	9.25	20.0	1.85
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	27.74	0.00	27.74	20.0	5.55
12/03/2018 04000149886	JOHN J FINN CUST,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.92	0.00	0.92	20.0	0.18
12/03/2018 04000149886	JOHN J FINN CUST,	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.02	0.00	0.02	20.0	0.00
12/03/2018 04001243322	COCKERHAM, GEORGE	MFT AMERICAN BALANCED FUND-A	B	0.00	11.13	0.00	11.13	20.0	2.23
12/03/2018 04001243322	COCKERHAM, GEORGE	MFT NEW PERSPECTIVE FUND-C	B	0.00	3.93	0.00	3.93	20.0	0.79
12/05/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	41.24	0.00	41.24	20.0	8.25
12/05/2018 08183000145	CORBETT, JOHN	MFT COLUMBIA BALANCED FD CL A	B	0.00	3.29	0.00	3.29	20.0	0.66
12/05/2018 08537100283	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.71	0.00	1.71	20.0	0.34
12/05/2018 08537100284	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	3.98	0.00	3.98	20.0	0.80
12/05/2018 08537100298	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.33	0.00	1.33	20.0	0.27
12/05/2018 08537100304	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.15	0.00	1.15	20.0	0.23

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/05/2018 08537500142	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.63	0.00	0.63	20.0	0.13
12/05/2018 08537500143	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.05	0.00	1.05	20.0	0.21
12/05/2018 08537500296	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.95	0.00	1.95	20.0	0.39
12/05/2018 08537500318	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.31	0.00	1.31	20.0	0.26
12/05/2018 08537500319	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.54	0.00	1.54	20.0	0.31
12/05/2018 08981001058	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.94	0.00	3.94	20.0	0.79
12/05/2018 08981001059	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.94	0.00	1.94	20.0	0.39
12/05/2018 08981001063	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.92	0.00	0.92	20.0	0.18
12/05/2018 08981001064	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.57	0.00	1.57	20.0	0.31
12/05/2018 08981001068	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.03	0.00	3.03	20.0	0.61
12/05/2018 08981001070	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.08	0.00	3.08	20.0	0.62
12/05/2018 08981001071	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.63	0.00	0.63	20.0	0.13
12/05/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.56	0.00	1.56	20.0	0.31
12/05/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	17.24	0.00	17.24	20.0	3.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4,354.87	\$0.00	\$4,354.87		\$870.99
*** DIRECT TRANSACTIONS TOTAL ***				\$50.00	\$4,355.37	\$0.00	\$4,355.37		\$871.09

BROKER COMMISSION TOTALS

Broker Transactions	\$871.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00