

COMMISSION STATEMENT
October 31, 2018

RANDY SMITH-D601

BROKER #: D601
BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/15/2018 00084947333	JOHN J FINN CUST, MF	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
10/15/2018 04000149886	JOHN J FINN CUST, MF	NEW PERSPECTIVE FUND-A	B	50.00	1.00	0.00	1.00	20.0	0.20
* MUTUAL FUNDS SUBTOTAL *				<u>\$100.00</u>	<u>\$1.50</u>	<u>\$0.00</u>	<u>\$1.50</u>		<u>\$0.30</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$100.00</u>	<u>\$1.50</u>	<u>\$0.00</u>	<u>\$1.50</u>		<u>\$0.30</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00