

# COMMISSION STATEMENT

October 31, 2018

SCOTT SICKLES-D060  
 4200 Ridgcrest Circle  
 Suite A4  
 Amarillo, TX 79101

BROKER #: D060  
 BRANCH: DMC

## COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
10/19/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.94	0.00	0.94	75.0	0.71
10/19/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	8.14	0.00	8.14	75.0	6.11
10/19/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.41	0.00	7.41	75.0	5.56
10/19/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.57	0.00	4.57	75.0	3.43
10/19/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.21	0.00	0.21	75.0	0.16
10/19/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	12.89	0.00	12.89	75.0	9.67
10/19/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	23.40	0.00	23.40	75.0	17.55
10/19/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	22.73	0.00	22.73	75.0	17.05
10/19/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.20	0.00	5.20	75.0	3.90
10/19/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.00	0.00	1.00	75.0	0.75
10/19/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	75.0	0.44
10/19/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	25.56	0.00	25.56	75.0	19.17
10/19/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.10	0.00	9.10	75.0	6.83
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$121.73</b>	<b>\$0.00</b>	<b>\$121.73</b>		<b>\$91.33</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$121.73</b>	<b>\$0.00</b>	<b>\$121.73</b>		<b>\$91.33</b>

## DIRECT TRANSACTIONS

### **PRODUCT CATEGORY: MUTUAL FUNDS**

10/24/2018	000000001042631 WEIR, DEBBIE	MF FRK AGGRESSIVE GRWTH - CL A	B	250.00	10.00	0.00	10.00	75.0	7.50
10/25/2018	00082895917 JOHNSON, KRISTY	MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
10/25/2018	00082895917 JOHNSON, KRISTY	MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
10/25/2018	00082895917 JOHNSON, KRISTY	MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
10/22/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	850.00	8.50	0.00	8.50	75.0	6.38
10/19/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
10/19/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
10/19/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
10/19/2018	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
10/19/2018	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
10/19/2018	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/15/2018	04000638082 PEREZ, GEORGIA	MF THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$1,420.00	\$25.04	\$0.00	\$25.04		\$18.80
*** DIRECT TRANSACTIONS TOTAL ***				\$1,420.00	\$25.04	\$0.00	\$25.04		\$18.80

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$110.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-44.87
Year-to-date Commissions	\$6,106.70