

# COMMISSION STATEMENT November 30, 2018

DOUG GRAUMANN-D365  
 101 S. Wenatchee Avenue  
 Suite C  
 Wenatchee, WA 98801

BROKER #: D365  
 BRANCH: D12

## COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 646215802	S	0.00	15.00	0.00	15.00 85.0 12.75
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368461976	S	0.00	15.00	0.00	15.00 85.0 12.75
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368461966	S	0.00	15.00	0.00	15.00 85.0 12.75
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368462657	S	0.00	15.00	0.00	15.00 85.0 12.75
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368461976	S	0.00	15.00	0.00	15.00 85.0 12.75
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368462657	S	0.00	15.00	0.00	15.00 85.0 12.75
10/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368461976	S	0.00	15.00	0.00	15.00 85.0 12.75
				<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$105.00</b>	<b>\$0.00</b>	<b>\$105.00 \$89.25</b>

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

11/08/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	32.82	0.00	32.82	85.0 27.90
11/08/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	44.76	0.00	44.76	85.0 38.05
11/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	4.22	0.00	4.22	85.0 3.59
11/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	7.62	0.00	7.62	85.0 6.48
11/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON GLOBAL BOND FD	B	0.00	4.05	0.00	4.05	85.0 3.44
11/09/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS VENTURE FD C LIQUIDATIONS	B	0.00	6.93	0.00	6.93	85.0 5.89
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	3.16	0.00	3.16	85.0 2.69
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK FLOAT RATE INCOME FD	B	0.00	5.70	0.00	5.70	85.0 4.85
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	8.72	0.00	8.72	85.0 7.41
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	21.38	0.00	21.38	85.0 18.17
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	21.80	0.00	21.80	85.0 18.53
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	25.59	0.00	25.59	85.0 21.75
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	58.76	0.00	58.76	85.0 49.95
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	6.40	0.00	6.40	85.0 5.44
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	8.07	0.00	8.07	85.0 6.86
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	0.92	0.00	0.92	85.0 0.78
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.36	0.00	3.36	85.0 2.86
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.91	0.00	3.91	85.0 3.32
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.10	0.00	4.10	85.0 3.49
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.18	0.00	4.18	85.0 3.55
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.22	0.00	4.22	85.0 3.59

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.37	0.00	4.37	85.0	3.71
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.24	0.00	5.24	85.0	4.45
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.77	0.00	5.77	85.0	4.90
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.92	0.00	5.92	85.0	5.03
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	6.72	0.00	6.72	85.0	5.71
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	13.52	0.00	13.52	85.0	11.49
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EQUITY DIVIDEND FD C	B	0.00	4.63	0.00	4.63	85.0	3.94
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	3.39	0.00	3.39	85.0	2.88
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	3.93	0.00	3.93	85.0	3.34
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	4.18	0.00	4.18	85.0	3.55
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	5.58	0.00	5.58	85.0	4.74
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	5.60	0.00	5.60	85.0	4.76
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	8.05	0.00	8.05	85.0	6.84
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	9.40	0.00	9.40	85.0	7.99
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	13.61	0.00	13.61	85.0	11.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	0.90	0.00	0.90	85.0	0.77
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	2.02	0.00	2.02	85.0	1.72
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	3.32	0.00	3.32	85.0	2.82
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	10.35	0.00	10.35	85.0	8.80
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	11.62	0.00	11.62	85.0	9.88
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	14.79	0.00	14.79	85.0	12.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	19.47	0.00	19.47	85.0	16.55
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP CORE FD C	B	0.00	33.54	0.00	33.54	85.0	28.51
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP VALUE FD C	B	0.00	15.05	0.00	15.05	85.0	12.79
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADV US TOTAL MARKET	B	0.00	5.96	0.00	5.96	85.0	5.07
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ENERGY & RESOURCES FD	B	0.00	2.40	0.00	2.40	85.0	2.04
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.79	0.00	18.79	85.0	15.97
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME A	B	0.00	2.89	0.00	2.89	85.0	2.46
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	9.10	0.00	9.10	85.0	7.74
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	12.43	0.00	12.43	85.0	10.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	16.54	0.00	16.54	85.0	14.06
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	16.67	0.00	16.67	85.0	14.17
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	20.46	0.00	20.46	85.0	17.39
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	21.14	0.00	21.14	85.0	17.97
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	24.29	0.00	24.29	85.0	20.65
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	25.00	0.00	25.00	85.0	21.25
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	41.60	0.00	41.60	85.0	35.36
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	42.38	0.00	42.38	85.0	36.02
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA GLOBAL TECHNOLOGY	B	0.00	9.20	0.00	9.20	85.0	7.82
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.15	0.00	1.15	85.0	0.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	5.30	0.00	5.30	85.0	4.51
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT DEUTSCHE CROCI US FD A	B	0.00	1.49	0.00	1.49	85.0	1.27
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV	B	0.00	6.74	0.00	6.74	85.0	5.73
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	4.76	0.00	4.76	85.0	4.05

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11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.78	0.00	4.78	85.0	4.06
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.78	0.00	4.78	85.0	4.06
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.55	0.00	9.55	85.0	8.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.55	0.00	9.55	85.0	8.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.55	0.00	9.55	85.0	8.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.55	0.00	9.55	85.0	8.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.55	0.00	9.55	85.0	8.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	14.33	0.00	14.33	85.0	12.18
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	14.71	0.00	14.71	85.0	12.50
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	23.88	0.00	23.88	85.0	20.30
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	14.56	0.00	14.56	85.0	12.38
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	33.34	0.00	33.34	85.0	28.34
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR EQUITY VALUE B	0.00	16.79	0.00	16.79	85.0	14.27
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	2.57	0.00	2.57	85.0	2.18
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.86	0.00	4.86	85.0	4.13
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	6.54	0.00	6.54	85.0	5.56
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	6.55	0.00	6.55	85.0	5.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	7.86	0.00	7.86	85.0	6.68
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	8.40	0.00	8.40	85.0	7.14
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.06	0.00	9.06	85.0	7.70
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.19	0.00	9.19	85.0	7.81
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.27	0.00	9.27	85.0	7.88
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.73	0.00	9.73	85.0	8.27
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.08	0.00	10.08	85.0	8.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.08	0.00	10.08	85.0	8.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.37	0.00	10.37	85.0	8.81
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.42	0.00	10.42	85.0	8.86
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	19.94	0.00	19.94	85.0	16.95
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	24.91	0.00	24.91	85.0	21.17
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	8.03	0.00	8.03	85.0	6.83
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	8.14	0.00	8.14	85.0	6.92
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	8.65	0.00	8.65	85.0	7.35
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	3.81	0.00	3.81	85.0	3.24
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.74	0.00	4.74	85.0	4.03
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	5.20	0.00	5.20	85.0	4.42
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.45	0.00	6.45	85.0	5.48
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.21	0.00	8.21	85.0	6.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.44	0.00	8.44	85.0	7.17
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.65	0.00	8.65	85.0	7.35
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.44	0.00	12.44	85.0	10.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.64	0.00	12.64	85.0	10.74
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	13.23	0.00	13.23	85.0	11.25
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	14.00	0.00	14.00	85.0	11.90
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	16.47	0.00	16.47	85.0	14.00

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11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	16.75	0.00	16.75	85.0	14.24
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	19.59	0.00	19.59	85.0	16.65
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.70	0.00	24.70	85.0	21.00
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	26.35	0.00	26.35	85.0	22.40
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	30.99	0.00	30.99	85.0	26.34
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	31.74	0.00	31.74	85.0	26.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	34.97	0.00	34.97	85.0	29.72
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	43.26	0.00	43.26	85.0	36.77
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	52.64	0.00	52.64	85.0	44.74
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	52.75	0.00	52.75	85.0	44.84
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	74.13	0.00	74.13	85.0	63.01
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	75.29	0.00	75.29	85.0	64.00
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	77.37	0.00	77.37	85.0	65.76
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	5.12	0.00	5.12	85.0	4.35
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	6.76	0.00	6.76	85.0	5.75
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	13.84	0.00	13.84	85.0	11.76
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.80	0.00	11.80	85.0	10.03
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	20.09	0.00	20.09	85.0	17.08
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	9.95	0.00	9.95	85.0	8.46
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	13.61	0.00	13.61	85.0	11.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	7.39	0.00	7.39	85.0	6.28
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	12.40	0.00	12.40	85.0	10.54
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	9.41	0.00	9.41	85.0	8.00
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR REAL ESTATE	B	0.00	4.21	0.00	4.21	85.0	3.58
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.30	0.00	1.30	85.0	1.11
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.71	0.00	2.71	85.0	2.30
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.22	0.00	12.22	85.0	10.39
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	6.43	0.00	6.43	85.0	5.47
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	7.47	0.00	7.47	85.0	6.35
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	7.67	0.00	7.67	85.0	6.52
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	10.83	0.00	10.83	85.0	9.21
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.82	0.00	11.82	85.0	10.05
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	13.05	0.00	13.05	85.0	11.09
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	14.09	0.00	14.09	85.0	11.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	15.09	0.00	15.09	85.0	12.83
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.19	0.00	2.19	85.0	1.86
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.51	0.00	3.51	85.0	2.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.09	0.00	4.09	85.0	3.48
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	4.19	0.00	4.19	85.0	3.56
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	12.01	0.00	12.01	85.0	10.21
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN SMALL CAP VALUE C	B	0.00	11.62	0.00	11.62	85.0	9.88
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	0.76	0.00	0.76	85.0	0.65
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	0.86	0.00	0.86	85.0	0.73
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	1.01	0.00	1.01	85.0	0.86

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	1.62	0.00	1.62	85.0	1.38
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	2.33	0.00	2.33	85.0	1.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	2.39	0.00	2.39	85.0	2.03
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	7.68	0.00	7.68	85.0	6.53
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK REAL EST SEC FND - CL A	B	0.00	2.17	0.00	2.17	85.0	1.84
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	2.48	0.00	2.48	85.0	2.11
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	2.72	0.00	2.72	85.0	2.31
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	2.09	0.00	2.09	85.0	1.78
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.39	0.00	3.39	85.0	2.88
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.76	0.00	3.76	85.0	3.20
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.77	0.00	3.77	85.0	3.20
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.93	0.00	3.93	85.0	3.34
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	4.44	0.00	4.44	85.0	3.77
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	5.32	0.00	5.32	85.0	4.52
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	5.84	0.00	5.84	85.0	4.96
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	8.40	0.00	8.40	85.0	7.14
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	13.87	0.00	13.87	85.0	11.79
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	14.54	0.00	14.54	85.0	12.36
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	21.46	0.00	21.46	85.0	18.24
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	9.19	0.00	9.19	85.0	7.81
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	13.79	0.00	13.79	85.0	11.72
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON WA AGGRESSIVE GROW	B	0.00	26.71	0.00	26.71	85.0	22.70
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB FUND VALUE FD C	B	0.00	9.11	0.00	9.11	85.0	7.74
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB LARGE CAP GROWTH	B	0.00	14.69	0.00	14.69	85.0	12.49
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND C	B	0.00	2.78	0.00	2.78	85.0	2.36
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND A	B	0.00	1.13	0.00	1.13	85.0	0.96
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	3.20	0.00	3.20	85.0	2.72
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.26	0.00	4.26	85.0	3.62
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS NEW DISCOVERY VALUE FUND -	B	0.00	2.22	0.00	2.22	85.0	1.89
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS RESEARCH FUND-A	B	0.00	2.59	0.00	2.59	85.0	2.20
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	2.22	0.00	2.22	85.0	1.89
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	4.56	0.00	4.56	85.0	3.88
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS VALUE FUND-A	B	0.00	2.34	0.00	2.34	85.0	1.99
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL A	B	0.00	0.34	0.00	0.34	85.0	0.29
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL C	B	0.00	1.98	0.00	1.98	85.0	1.68
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL QUAL FND - CL C	B	0.00	8.36	0.00	8.36	85.0	7.11
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES QUALIFIED CLASS A	B	0.00	1.46	0.00	1.46	85.0	1.24
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	0.29	0.00	0.29	85.0	0.25
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.01	0.00	1.01	85.0	0.86
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.10	0.00	1.10	85.0	0.94
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.30	0.00	1.30	85.0	1.11
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	1.70	0.00	1.70	85.0	1.45
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	6.37	0.00	6.37	85.0	5.41
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	7.54	0.00	7.54	85.0	6.41

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C	B	0.00	17.95	0.00	17.95	85.0	15.26
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	4.84	0.00	4.84	85.0	4.11
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	14.75	0.00	14.75	85.0	12.54
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	36.21	0.00	36.21	85.0	30.78
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	2.37	0.00	2.37	85.0	2.01
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	4.46	0.00	4.46	85.0	3.79
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	8.42	0.00	8.42	85.0	7.16
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	8.90	0.00	8.90	85.0	7.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	16.34	0.00	16.34	85.0	13.89
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL	B	0.00	5.59	0.00	5.59	85.0	4.75
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	10.56	0.00	10.56	85.0	8.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	16.40	0.00	16.40	85.0	13.94
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	10.97	0.00	10.97	85.0	9.32
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	11.61	0.00	11.61	85.0	9.87
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	3.20	0.00	3.20	85.0	2.72
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	3.33	0.00	3.33	85.0	2.83
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	7.50	0.00	7.50	85.0	6.38
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	7.64	0.00	7.64	85.0	6.49
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.51	0.00	8.51	85.0	7.23
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.83	0.00	8.83	85.0	7.51
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	10.70	0.00	10.70	85.0	9.10
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	11.65	0.00	11.65	85.0	9.90
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	13.00	0.00	13.00	85.0	11.05
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	21.32	0.00	21.32	85.0	18.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	29.73	0.00	29.73	85.0	25.27
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	0.73	0.00	0.73	85.0	0.62
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	2.33	0.00	2.33	85.0	1.98
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	7.71	0.00	7.71	85.0	6.55
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	20.76	0.00	20.76	85.0	17.65
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	16.07	0.00	16.07	85.0	13.66
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	28.12	0.00	28.12	85.0	23.90
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	2.88	0.00	2.88	85.0	2.45
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	15.48	0.00	15.48	85.0	13.16
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	18.71	0.00	18.71	85.0	15.90
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	19.01	0.00	19.01	85.0	16.16
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	30.49	0.00	30.49	85.0	25.92
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD SPECTRUM FD CL	B	0.00	3.32	0.00	3.32	85.0	2.82
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO MORTGAGE-BACKED	B	0.00	6.22	0.00	6.22	85.0	5.29
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.95	0.00	0.95	85.0	0.81
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.34	0.00	1.34	85.0	1.14
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.43	0.00	1.43	85.0	1.22
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.42	0.00	2.42	85.0	2.06
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.26	0.00	3.26	85.0	2.77
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.42	0.00	3.42	85.0	2.91

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.71	0.00	3.71	85.0	3.15
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.38	0.00	5.38	85.0	4.57
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.46	0.00	5.46	85.0	4.64
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.71	0.00	5.71	85.0	4.85
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.46	0.00	6.46	85.0	5.49
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.65	0.00	6.65	85.0	5.65
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	7.12	0.00	7.12	85.0	6.05
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	8.11	0.00	8.11	85.0	6.89
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	9.20	0.00	9.20	85.0	7.82
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	10.43	0.00	10.43	85.0	8.87
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.02	0.00	11.02	85.0	9.37
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.16	0.00	11.16	85.0	9.49
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.61	0.00	11.61	85.0	9.87
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.59	0.00	0.59	85.0	0.50
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.11	0.00	1.11	85.0	0.94
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.00	0.00	2.00	85.0	1.70
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.46	0.00	2.46	85.0	2.09
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.80	0.00	2.80	85.0	2.38
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO SENIOR FLOATING RATE FD A	B	0.00	0.97	0.00	0.97	85.0	0.82
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.99	0.00	1.99	85.0	1.69
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT QUEST VALUE A	B	0.00	2.26	0.00	2.26	85.0	1.92
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMP DEV MRKTS TR FND - CL A	B	0.00	0.54	0.00	0.54	85.0	0.46
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMP DEV MRKTS TR FND - CL A	B	0.00	0.56	0.00	0.56	85.0	0.48
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON EMERGING MKTS SM CAP	B	0.00	4.93	0.00	4.93	85.0	4.19
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.65	0.00	1.65	85.0	1.40
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.82	0.00	1.82	85.0	1.55
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	3.15	0.00	3.15	85.0	2.68
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	3.26	0.00	3.26	85.0	2.77
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	14.06	0.00	14.06	85.0	11.95
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE HARTFORD CAPITAL	B	0.00	0.14	0.00	0.14	85.0	0.12
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	20.75	0.00	20.75	85.0	17.64
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE NEW ECONOMY FUND-A	B	0.00	0.28	0.00	0.28	85.0	0.24
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.37	0.00	7.37	85.0	6.26
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.59	0.00	7.59	85.0	6.45
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	11.11	0.00	11.11	85.0	9.44
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG INCOME BUILDER CL C	B	0.00	9.79	0.00	9.79	85.0	8.32
11/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BOND-A	B	0.00	4.88	0.00	4.88	85.0	4.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,001.76	\$0.00	\$3,001.76		\$2,551.58
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$3,106.76	\$0.00	\$3,106.76		\$2,640.83

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,640.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	<u>\$2,455.83</u>
Year-to-date Commissions	<u>\$50,684.33</u>