



# COMMISSION STATEMENT

## October 01, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/28/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	109.05	0.00	109.05	90.0	98.15
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
09/28/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	10.99	0.00	10.99	90.0	9.89
	ACCOUNT #: 7200008740	BUY 0 Units @0.0000						
09/28/2018	***-**-002I - RIPLEY, TOM	SAMMONS MF TRAILS	0.00	49.23	0.00	49.23	90.0	44.31
	ACCOUNT #: 7200009079	BUY 0 Units @0.0000						
09/28/2018	***-**-32RI - MITCHEN, DORIS	SAMMONS MF TRAILS	0.00	16.28	0.00	16.28	90.0	14.65
	ACCOUNT #: 7200011766	BUY 0 Units @0.0000						
09/25/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00075150658	BUY 3 Units @60.0400						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$200.00</b>	<b>\$195.55</b>	<b>\$0.00</b>	<b>\$195.55</b>		<b>\$176.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/28/2018	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$1.50</b>	<b>\$0.00</b>	<b>\$1.50</b>		<b>\$1.35</b>
<b><u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u></b>								
10/01/2018	***-**-43NQ - CRAFT, ARTHUR	AMERICAN LEGEND III TRAILS	0.00	27.98	0.00	27.98	100.0	27.98
	ACCOUNT #: 1196035886	BUY 1 Units @0.0000						
10/01/2018	***-**-0658 - MCKILLOP, NORMA	AMERICAN LEGEND III TRAILS	0.00	125.06	0.00	125.06	100.0	125.06
	ACCOUNT #: 1196036987	BUY 1 Units @0.0000						
		<b>* EQUITY INDEXED ANNUITIES SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$153.04</b>	<b>\$0.00</b>	<b>\$153.04</b>		<b>\$153.04</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/28/2018	***-**-240P - CAVIN, EMMA	ALLIANZ VA TRAILS 018817403	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: DA460485	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$0.57</b>	<b>\$0.00</b>	<b>\$0.57</b>		<b>\$0.51</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$300.00</b>	<b>\$350.66</b>	<b>\$0.00</b>	<b>\$350.66</b>		<b>\$330.90</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$330.90
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$330.90
						Year-to-date Earnings		\$38,809.00