



COMMISSION STATEMENT

November 19, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | AMCAP FUND-C | 2.40 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @28.9800 | | | | | | |
| 11/08/2018 | ***-**-0900 - SMITH, PATRICK | AMERICAN 2035 TARGET DATE RETIREMNT | 39.00 | 0.39 | 0.00 | 0.39 | 90.0 | 0.35 |
| | ACCOUNT #: 00089949168 | BUY 3 Units @14.6500 | | | | | | |
| 11/08/2018 | ***-**-6890 - MARKS, MARK | AMERICAN 2035 TARGET DATE RETIREMNT | 20.00 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| | ACCOUNT #: 04000001565 | BUY 1 Units @14.6500 | | | | | | |
| 11/08/2018 | ***-**-6584 - BACON, ANDREA | AMERICAN 2040 TARGET DATE RETIREMNT | 66.00 | 0.66 | 0.00 | 0.66 | 90.0 | 0.59 |
| | ACCOUNT #: 00089949172 | BUY 4 Units @15.0200 | | | | | | |
| 11/08/2018 | ***-**-1727 - PETERSON, JON | AMERICAN FUNDS 2030 TARGET DATE-C | 10.00 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| | ACCOUNT #: 00089973868 | BUY 1 Units @14.1800 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | AMERICAN MUTUAL FUND-C | 3.00 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @41.6700 | | | | | | |
| 11/08/2018 | ***-**-835P - BARNETT, ROBERT | COLLEGE 2027 FUND-529A | 100.00 | 2.00 | 0.00 | 2.00 | 90.0 | 1.80 |
| | ACCOUNT #: 00089237420 | BUY 8 Units @12.8900 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | EUROPACIFIC GROWTH FUND CLASS C | 6.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @48.0300 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | INVESTMENT COMPANY OF AMERICA CLASS | 3.00 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @40.1200 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | NEW WORLD FUND-C | 2.10 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @58.5100 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | SMALLCAP WORLD FUND-C | 4.50 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @48.8000 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | THE BOND FUND OF AMERICA-C | 1.50 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @12.3100 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | THE GROWTH FUND OF AMERICA-C | 5.40 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @48.9900 | | | | | | |
| 11/08/2018 | ***-**-6863 - MARTIN, MITCHELL | THE NEW ECONOMY FUND-C | 2.10 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| | ACCOUNT #: 04000003339 | BUY 0 Units @41.4100 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$265.00 | \$3.65 | \$0.00 | \$3.65 | | \$3.30 |



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|---|-------------------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITIES | | | | | | | | |
| 11/12/2018 | ***-**-8258 - IFG, KELLY,J | PACIFIC LIFE CHOICE DTCC | 495.00 | 9.90 | 0.00 | 9.90 | 90.0 | 8.91 |
| | ACCOUNT #: VP60358060 | BUY 0 Units @0.0000 | | | | | | |
| 11/07/2018 | ***-**-8270 - KELLY, PAMELA | PACIFIC LIFE DTCC RENEWAL | 416.67 | 8.33 | 0.00 | 8.33 | 90.0 | 7.50 |
| | ACCOUNT #: VP60088270 | BUY 0 Units @0.0000 | | | | | | |
| 11/08/2018 | ***-**-8160 - CARROLL, THOMAS | PACIFIC LIFE DTCC RENEWAL | 1,000.00 | 20.00 | 0.00 | 20.00 | 90.0 | 18.00 |
| | ACCOUNT #: VP60758160 | BUY 0 Units @0.0000 | | | | | | |
| 11/15/2018 | ***-**-7979 - THOMPSON, | PACIFIC LIFE DTCC RENEWAL | 500.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| | ACCOUNT #: VP60440630 | BUY 0 Units @0.0000 | | | | | | |
| 11/05/2018 | ***-**-125P - CANNADY, JAKE | PACIFIC LIFE EXEC II DTCC | 800.00 | 15.84 | 0.00 | 15.84 | 90.0 | 14.26 |
| | ACCOUNT #: VP61059840 | BUY 0 Units @0.0000 | | | | | | |
| 11/12/2018 | ***-**-4862 - BARTOLI, CARL | PACIFIC LIFE EXEC II DTCC | 230.35 | 2.30 | 0.00 | 2.30 | 90.0 | 2.07 |
| | ACCOUNT #: VP61059140 | BUY 0 Units @0.0000 | | | | | | |
| 11/12/2018 | ***-**-4862 - BARTOLI, CARL | PACIFIC LIFE EXEC II DTCC | 230.35 | 2.28 | 0.00 | 2.28 | 90.0 | 2.05 |
| | ACCOUNT #: VP61059140 | BUY 0 Units @0.0000 | | | | | | |
| 11/12/2018 | ***-**-4862 - BARTOLI, CARL | PACIFIC LIFE EXEC II DTCC | 186.33 | 1.84 | 0.00 | 1.84 | 90.0 | 1.66 |
| | ACCOUNT #: VP61059140 | BUY 0 Units @0.0000 | | | | | | |
| 11/12/2018 | ***-**-4862 - BARTOLI, CARL | PACIFIC LIFE EXEC II DTCC | 186.33 | 1.86 | 0.00 | 1.86 | 90.0 | 1.67 |
| | ACCOUNT #: VP61059140 | BUY 0 Units @0.0000 | | | | | | |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$4,045.03 | \$72.35 | \$0.00 | \$72.35 | | \$65.12 |
| PRODUCT CATEGORY: 529 PLAN | | | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, | EUROPACIFIC GROWTH FUND-529A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| | ACCOUNT #: 00087584201 | BUY 1 Units @50.3600 | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, | EUROPACIFIC GROWTH FUND-529A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| | ACCOUNT #: 00087584124 | BUY 1 Units @50.3600 | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, | THE BOND FUND OF AMERICA-529A | 50.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| | ACCOUNT #: 00087584201 | BUY 4 Units @12.8400 | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, | THE BOND FUND OF AMERICA-529A | 50.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| | ACCOUNT #: 00087584124 | BUY 4 Units @12.8400 | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, | THE GROWTH FUND OF AMERICA-529A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| | ACCOUNT #: 00087584124 | BUY 1 Units @53.3500 | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, | THE GROWTH FUND OF AMERICA-529A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| | ACCOUNT #: 00087584201 | BUY 1 Units @53.3500 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: 529 PLAN (continued) | | | | | | | | |
| 11/15/2018 | ***-**-015P - GARCHA, ACCOUNT #: 00087584124 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @41.2100 | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 11/15/2018 | ***-**-015P - GARCHA, ACCOUNT #: 00087584201 | THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @41.2100 | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| * 529 PLAN SUBTOTAL * | | | \$400.00 | \$14.28 | \$0.00 | \$14.28 | | \$12.84 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 10/19/2018 | ***-**-65IO - MAHAMA, NURUDEEN AMCAP FUND-A ACCOUNT #: LSR010681 | BUY 1 Units @0.0000 | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN AMCAP FUND-A ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | 0.00 | 1.43 | 0.00 | 1.43 | 90.0 | 1.29 |
| 10/19/2018 | ***-**-85TR - KELLY TRUST, COLUMBIA SELIGMAN COM AND INFO CL A ACCOUNT #: LSR005290 | BUY 1 Units @0.0000 | 0.00 | 9.94 | 0.00 | 9.94 | 90.0 | 8.95 |
| 11/19/2018 | ***-**-970I - KLEVER, JAMES DELAWARE MF TRAILS BUY 0 Units @0.0000 | | 0.00 | 8.73 | 0.00 | 8.73 | 90.0 | 7.86 |
| 10/19/2018 | ***-**-65IO - MAHAMA, NURUDEEN EUROPACIFIC GROWTH FUND-A ACCOUNT #: LSR010681 | BUY 1 Units @0.0000 | 0.00 | 0.78 | 0.00 | 0.78 | 90.0 | 0.70 |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN EUROPACIFIC GROWTH FUND-A ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | 0.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN FRANKLIN INCOME FUND CLASS C ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | 0.00 | 111.15 | 0.00 | 111.15 | 90.0 | 100.04 |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN GLOBAL FUND A ACCOUNT #: LSR107425 | BUY 1 Units @0.0000 | 0.00 | 1.30 | 0.00 | 1.30 | 90.0 | 1.17 |
| 10/19/2018 | ***-**-7034 - DAHL, ROXANNE GLOBAL FUND A ACCOUNT #: LSR108782 | BUY 1 Units @0.0000 | 0.00 | 2.55 | 0.00 | 2.55 | 90.0 | 2.30 |
| 10/19/2018 | ***-**-83IN - BARNETT, ROBERT INTERNATIONAL GROWTH FUND A ACCOUNT #: LSR010053 | BUY 1 Units @0.0000 | 0.00 | 3.19 | 0.00 | 3.19 | 90.0 | 2.87 |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN MFS HIGH-YIELD OPPORTUNITIES FUND C ACCOUNT #: LSR107425 | BUY 1 Units @0.0000 | 0.00 | 0.62 | 0.00 | 0.62 | 90.0 | 0.56 |
| 10/19/2018 | ***-**-7034 - DAHL, ROXANNE MFS HIGH-YIELD OPPORTUNITIES FUND C ACCOUNT #: LSR108782 | BUY 1 Units @0.0000 | 0.00 | 1.43 | 0.00 | 1.43 | 90.0 | 1.29 |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN NEW WORLD FUND-A ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | 0.00 | 0.22 | 0.00 | 0.22 | 90.0 | 0.20 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/19/2018 | ***-**-83IN - BARNETT, ROBERT | NEW WORLD FUND-F1 | 0.00 | 0.72 | 0.00 | 0.72 | 90.0 | 0.65 |
| | ACCOUNT #: LSR010053 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN | OPPENHEIMER SENIOR FLOATING RATE CL | 0.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| | ACCOUNT #: LSR107425 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-7034 - DAHL, ROXANNE | OPPENHEIMER SENIOR FLOATING RATE CL | 0.00 | 1.51 | 0.00 | 1.51 | 90.0 | 1.36 |
| | ACCOUNT #: LSR108782 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-65IO - MAHAMA, NURUDEEN | SMALLCAP WORLD FUND-A | 0.00 | 0.31 | 0.00 | 0.31 | 90.0 | 0.28 |
| | ACCOUNT #: LSR010681 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN | SMALLCAP WORLD FUND-A | 0.00 | 0.44 | 0.00 | 0.44 | 90.0 | 0.40 |
| | ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-65IO - MAHAMA, NURUDEEN | THE BOND FUND OF AMERICA-A | 0.00 | 0.66 | 0.00 | 0.66 | 90.0 | 0.59 |
| | ACCOUNT #: LSR010681 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN | THE BOND FUND OF AMERICA-A | 0.00 | 0.95 | 0.00 | 0.95 | 90.0 | 0.86 |
| | ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-65IO - MAHAMA, NURUDEEN | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.98 | 0.00 | 0.98 | 90.0 | 0.88 |
| | ACCOUNT #: LSR010681 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.12 | 0.00 | 2.12 | 90.0 | 1.91 |
| | ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-65IO - MAHAMA, NURUDEEN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.60 | 0.00 | 0.60 | 90.0 | 0.54 |
| | ACCOUNT #: LSR010681 | BUY 1 Units @0.0000 | | | | | | |
| 10/19/2018 | ***-**-0726 - DAHL, KEVIN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.94 | 0.00 | 1.94 | 90.0 | 1.75 |
| | ACCOUNT #: LSR107409 | BUY 1 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$154.14 | \$0.00 | \$154.14 | | \$138.77 |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 11/16/2018 | ***-**-7979 - MAY, DENNIS | PACIFIC LIFE EXEC II DTCC | 0.00 | 201.76 | 0.00 | 201.76 | 90.0 | 181.58 |
| | ACCOUNT #: VP61141000 | BUY 0 Units @0.0000 | | | | | | |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$201.76 | \$0.00 | \$201.76 | | \$181.58 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$4,710.03 | \$446.18 | \$0.00 | \$446.18 | | \$401.61 |



BROKER #: 279
BRANCH #01001 - LifeMark Home Office

| | | | INVESTMENT | GROSS | CLEARING | NET | BROKER | |
|------|--------|--|------------|------------|----------|--------------------------|--------|--------------|
| DATE | CLIENT | | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| | | | | | | | | |
| | | | | | | BROKER COMMISSION TOTALS | | |
| | | | | | | Broker Transactions | | \$401.61 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | | | |
| | | | | | | Net Commission Earned | | \$401.61 |
| | | | | | | Year-to-date Earnings | | \$331,612.27 |