

# COMMISSION STATEMENT

October 15, 2018

 THOMAS KINDER-D036  
 300 East 4th Street

 BROKER #: D036  
 BRANCH: DMN

Tyler, TX 75701

## COMMISSION STATEMENT for THOMAS KINDER-D036

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/01/2018	00085392707	SUTTON, TERESA	MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
* MUTUAL FUNDS SUBTOTAL *						\$25.00	\$1.25	\$0.00	\$1.25		\$0.88
PRODUCT CATEGORY: VARIABLE ANNUITIES											
09/30/2018	1006815401	VANDERSLICE,	VA	L SERIES	B	65,230.09	54.36	0.00	54.36	70.0	38.05
09/30/2018	1006815401	VANDERSLICE,	VA	L SERIES	B	23,290.14	25.23	0.00	25.23	70.0	17.66
09/30/2018	1008184409	KINDER, THOMAS	VA	PERSPECTIVE L	B	9,568.40	10.37	0.00	10.37	70.0	7.26
09/30/2018	1008905815	RAWLINSON, JERRY	VA	PERSPECTIVE L	B	116,988.34	97.49	0.00	97.49	70.0	68.24
09/30/2018	1008905815	RAWLINSON, JERRY	VA	PERSPECTIVE L	B	156,614.26	169.67	0.00	169.67	70.0	118.77
09/30/2018	1016051680	MORRISON, JOHN	VA	PERSP II 04/14	B	83,005.26	34.59	0.00	34.59	70.0	24.21
09/30/2018	1017036544	VANDERSLICE, JAMES	VA	PERSP II 04/14	B	94,614.53	39.42	0.00	39.42	70.0	27.59
09/30/2018	1017688134	PYLE, JACKIE	VA	PERSP II 04/14	B	80,907.78	33.71	0.00	33.71	70.0	23.60
09/30/2018	1017752371	RAWLINSON, JERRY	VA	PERSP II 04/14	B	143,507.87	59.79	0.00	59.79	70.0	41.85
09/30/2018	1019792591	LARRISON, CANDACE	VA	PERSP II 01/16	B	76,793.43	32.00	0.00	32.00	70.0	22.40
* VARIABLE ANNUITIES SUBTOTAL *						\$850,520.10	\$556.63	\$0.00	\$556.63		\$389.63
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/30/2018	(None)	VARIOUS, KINDER	MFT	HARTFORD MUTUAL FUIND	B	0.00	6.60	0.00	6.60	70.0	4.62
10/01/2018	00551393799	FINK COMM PROP,	MFT	PUTNAM AMT-FREE MUNICIPAL-A	B	0.00	16.44	0.00	16.44	70.0	11.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$23.04	\$0.00	\$23.04		\$16.13
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
09/28/2018	(None)	VARIOUS, KINDER	VAT	PRUDENTIAL LIFE	B	0.00	503.20	0.00	503.20	70.0	352.24
09/30/2018	(None)	VARIOUS, KINDER	VAT	TALCOTT RESOLUTION	B	0.00	154.84	0.00	154.84	70.0	108.39
10/05/2018	(None)	TEXAS AIR	VAT	MASS MUTUAL	B	0.00	543.96	0.00	543.96	70.0	380.77
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,202.00	\$0.00	\$1,202.00		\$841.40
*** DIRECT TRANSACTIONS TOTAL ***						\$850,545.10	\$1,782.92	\$0.00	\$1,782.92		\$1,248.04

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,248.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-125.72
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$1,122.32</b>
<b>Year-to-date Commissions</b>	<b>\$38,486.91</b>