

COMMISSION STATEMENT

September 15, 2018

JOY LAWRENCE-D098
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D098
 BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/04/2018	00081129301	WATSON, BARRY	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	50.0	0.50
09/04/2018	00081129301	WATSON, BARRY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.94	0.00	1.94	50.0	0.97
09/04/2018	00081129301	WATSON, BARRY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.48	0.00	1.48	50.0	0.74
09/04/2018	00081129301	WATSON, BARRY	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.89	0.00	1.89	50.0	0.95
09/04/2018	00081129301	WATSON, BARRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.37	0.00	2.37	50.0	1.19
09/04/2018	00081129318	WATSON, REBECCA	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.86	0.00	0.86	50.0	0.43
09/04/2018	00081129318	WATSON, REBECCA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.79	0.00	1.79	50.0	0.90
09/04/2018	00081129318	WATSON, REBECCA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.32	0.00	1.32	50.0	0.66
09/04/2018	00081129318	WATSON, REBECCA	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.61	0.00	1.61	50.0	0.81
09/04/2018	00081129318	WATSON, REBECCA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.97	0.00	1.97	50.0	0.99
09/04/2018	00082428346	WATSON, BARRY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.51	0.00	0.51	50.0	0.26
09/04/2018	00082428346	WATSON, BARRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.31	0.00	0.31	50.0	0.16
09/04/2018	00088026407	ELODIA GUERRERO	MFT AMERICAN BALANCED FUND-A	B	0.00	4.42	0.00	4.42	50.0	2.21
09/04/2018	00088072276	DIETRICH,	MFT AMERICAN BALANCED FUND-A	B	0.00	12.23	0.00	12.23	50.0	6.12
09/04/2018	00088072276	DIETRICH,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	12.91	0.00	12.91	50.0	6.46
09/04/2018	00088072276	DIETRICH,	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.14	0.00	13.14	50.0	6.57
09/04/2018	00088072276	DIETRICH,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.98	0.00	13.98	50.0	6.99
09/04/2018	00088092484	IRMA SAUCEDO	MFT AMERICAN BALANCED FUND-A	B	0.00	2.78	0.00	2.78	50.0	1.39
09/04/2018	00089684168	ALEXANDER LEE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.74	0.00	0.74	50.0	0.37
09/04/2018	00089684168	ALEXANDER LEE	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.37	0.00	1.37	50.0	0.69
09/04/2018	00089684168	ALEXANDER LEE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.80	0.00	1.80	50.0	0.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$80.42	\$0.00	\$80.42		\$40.26
*** DIRECT TRANSACTIONS TOTAL ***					\$0.00	\$80.42	\$0.00	\$80.42		\$40.26

BROKER COMMISSION TOTALS

Broker Transactions	\$40.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$40.26
Year-to-date Commissions	\$10,459.98