

COMMISSION STATEMENT

November 30, 2018

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/15/2018 (None)	VARIOUS, 1-ACCOUNTS	529 PLAN	B	0.00	7.01	0.00	7.01	80.0	5.61
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$7.01	\$0.00	\$7.01		\$5.61

PRODUCT CATEGORY: STOCKS

11/06/2018	75599824	RF LAFFERTY & CO	NEOS THERAPEUTICS INC COM	S	2,300.00	0.00	0.00	0.00	80.0	0.00
11/06/2018	75599824	RF LAFFERTY & CO	NEOS THERAPEUTICS INC COM	B	2,258.60	0.00	0.00	0.00	80.0	0.00
11/15/2018	75599824	RF LAFFERTY & CO	CHICKEN SOUP FOR THE SOUL ENTERTAIN	S	10,000.00	0.00	0.00	0.00	80.0	0.00
11/15/2018	75599824	RF LAFFERTY & CO	CHICKEN SOUP FOR THE SOUL ENTERTAIN	S	30,000.00	0.00	0.00	0.00	80.0	0.00
11/15/2018	75599824	RF LAFFERTY & CO	CHICKEN SOUP FOR THE SOUL ENTERTAIN	S	25,000.00	0.00	0.00	0.00	80.0	0.00
11/15/2018	75599824	RF LAFFERTY & CO	CHICKEN SOUP FOR THE SOUL ENTERTAIN	B	110,400.00	0.00	0.00	0.00	80.0	0.00
11/15/2018	75599824	RF LAFFERTY & CO	CHICKEN SOUP FOR THE SOUL ENTERTAIN	S	25,000.00	0.00	0.00	0.00	80.0	0.00
11/15/2018	75599824	RF LAFFERTY & CO	CHICKEN SOUP FOR THE SOUL ENTERTAIN	S	25,000.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599824	RF LAFFERTY & CO	EASTSIDE DISTILLING INC COMMON STOC	B	15,681.25	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599824	RF LAFFERTY & CO	EASTSIDE DISTILLING INC COMMON STOC	S	6,500.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599824	RF LAFFERTY & CO	EASTSIDE DISTILLING INC COMMON STOC	S	3,250.00	0.00	0.00	0.00	80.0	0.00
11/20/2018	75599824	RF LAFFERTY & CO	EASTSIDE DISTILLING INC COMMON STOC	S	6,500.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	S	10,100.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	B	159,660.80	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	S	40,400.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	S	30,300.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	S	40,400.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	S	30,300.00	0.00	0.00	0.00	80.0	0.00
11/28/2018	75599824	RF LAFFERTY & CO	GLOBAL NET LEASE INC COM	S	10,100.00	0.00	0.00	0.00	80.0	0.00
11/01/2018	QGV896707	RF LAFFERTY & CO	CHARLOTTES WEB HOLDINGS INC COM ISI	B	51,769.50	250.00	0.00	250.00	80.0	200.00
* STOCKS SUBTOTAL *					\$634,920.15	\$250.00	\$0.00	\$250.00		\$200.00
*** BROKER TRANSACTIONS TOTAL ***					\$634,920.15	\$257.01	\$0.00	\$257.01		\$205.61

BROKER COMMISSION TOTALS

Broker Transactions	\$205.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-22,299.51
FINRA Assessment	\$-0.32
SIPC Assessment	\$-0.64

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-22,094.86
Year-to-date Earnings	\$-22,094.86