



COMMISSION STATEMENT

October 01, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/17/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @40.4400						
09/27/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	168.37	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @35.2100						
09/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	191.96	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 7 Units @27.9300						
09/27/2018	***-**-3410 - HOWANIEC,	AMERICAN FUNDS GROWTH PORTFOLIO A	4,000.00	200.00	0.00	200.00	70.0	140.00
	ACCOUNT #: 04000916803	BUY 192 Units @20.8200						
09/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	44.42	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 4 Units @10.2500						
09/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN MUTUAL FUND-A	202.19	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @42.8100						
09/17/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.2700						
09/27/2018	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	750.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011447	SELL 23 Units @32.7900						
09/20/2018	***-**-5922 - HOTAILING,	FRANKLIN INCOME FUND CLASS A	112.50	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 000090221306726	BUY 46 Units @2.4200						
09/20/2018	***-**-5922 - HOTAILING,	FRANKLIN RISING DIVIDENDS FD CLASS	112.50	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 000015812472167	BUY 2 Units @68.9300						
09/20/2018	***-**-5922 - HOTAILING,	FRK HIGH INC FND - CL A	112.50	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 000050118127094	BUY 59 Units @1.9100						
09/20/2018	***-**-5922 - HOTAILING,	TEMP GLOBAL BOND FND - CL A	112.50	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 000006984984022	BUY 10 Units @11.7100						
09/27/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	116.49	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 9 Units @12.4700						
09/27/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	116.21	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 2 Units @56.8000						
09/26/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	261.44	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: 01293376129	BUY 5 Units @47.5900						
09/27/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	159.84	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 3 Units @46.7100						



BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS (continued)								
		* MUTUAL FUNDS SUBTOTAL *	\$6,600.93	\$221.30	\$18.00	\$203.30		\$136.93
		*** BROKER TRANSACTIONS TOTAL ***	\$6,600.93	\$221.30	\$18.00	\$203.30		\$136.93
BROKER COMMISSION TOTALS								
						Broker Transactions		\$136.93
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$136.93
						Year-to-date Earnings		\$53,002.31