

# COMMISSION STATEMENT

November 15, 2018

 JOHN BOYD-D008  
 615 SW 8th Avenue

 BROKER #: D008  
 BRANCH: DMC

Amarillo, TX 79101-2113

## COMMISSION STATEMENT for JOHN BOYD-D008

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/31/2018 (None)	HOLLAND, TONY	MF	OPPENHEIMER	B	1,681.81	16.82	0.00	16.82	90.0	15.14
11/02/2018	000000000700361	MF	FRANKLIN MODERATE ALLOCATION	B	250.00	12.50	0.00	12.50	90.0	11.25
11/01/2018	00064709157	MF	AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
11/02/2018	00082221648	MF	CAPITAL INCOME BUILDER-A	B	125.00	6.25	0.00	6.25	90.0	5.63
11/02/2018	00082221648	MF	CAPITAL WORLD GROWTH AND INCOME	B	125.00	6.25	0.00	6.25	90.0	5.63
11/05/2018	00082719204	MF	CAPITAL INCOME BUILDER-A	B	25.00	1.25	0.00	1.25	90.0	1.13
11/05/2018	00082719204	MF	CAPITAL WORLD GROWTH AND INCOME	B	25.00	1.25	0.00	1.25	90.0	1.13
11/05/2018	00082719204	MF	NEW PERSPECTIVE FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
11/05/2018	00082719204	MF	SMALLCAP WORLD FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
11/09/2018	00088454848	MF	NEW PERSPECTIVE FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
11/09/2018	00088454848	MF	SMALLCAP WORLD FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
11/09/2018	00088454848	MF	THE GROWTH FUND OF AMERICA-A	B	391.83	7.84	0.00	7.84	90.0	7.06
11/09/2018	00088454972	MF	NEW PERSPECTIVE FUND-A	B	175.00	3.50	0.00	3.50	90.0	3.15
11/09/2018	00088454972	MF	THE GROWTH FUND OF AMERICA-A	B	172.33	3.45	0.00	3.45	90.0	3.11
11/09/2018	00089632776	MF	AMCAP FUND-C	B	591.67	5.92	0.00	5.92	90.0	5.33
11/09/2018	00089632776	MF	INCOME FUND OF AMERICA CLASS C	B	625.00	6.25	0.00	6.25	90.0	5.63
11/09/2018	00089632776	MF	INVESTMENT COMPANY OF AMERICA	B	625.00	6.25	0.00	6.25	90.0	5.63
11/09/2018	00089632776	MF	SMALLCAP WORLD FUND-C	B	625.00	6.25	0.00	6.25	90.0	5.63
11/05/2018	04001147850	MF	THE NEW ECONOMY FUND-A	B	28,910.29	795.03	0.00	795.03	90.0	715.53
11/01/2018	04001149798	MF	THE NEW ECONOMY FUND-A	B	500.00	13.75	0.00	13.75	90.0	12.38
* MUTUAL FUNDS SUBTOTAL *					\$35,747.93	\$911.56	\$0.00	\$911.56		\$820.47

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018	000000000700361	MFT	FRANKLIN MODERATE ALLOCATION	B	0.00	14.22	0.00	14.22	90.0	12.80
10/31/2018	000000000700409	MFT	FRANKLIN MODERATE ALLOCATION	B	0.00	45.87	0.00	45.87	90.0	41.28
10/31/2018	000000000700423	MFT	FRANKLIN MODERATE ALLOCATION	B	0.00	15.56	0.00	15.56	90.0	14.00
10/31/2018	000000012127611	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	192.54	0.00	192.54	90.0	173.29
10/31/2018	000001905141509	MFT	TEMPLETON GROWTH FUND CLASS A	B	0.00	15.92	0.00	15.92	90.0	14.33
10/31/2018	000002940518553	MFT	TEMP WORLD FND - CL A	B	0.00	4.63	0.00	4.63	90.0	4.17
11/07/2018	07002196683	MFT	FEDERATED GOVT INCOME SECS, INC	B	0.00	46.34	0.00	46.34	90.0	41.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$335.08	\$0.00	\$335.08		\$301.58

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** DIRECT TRANSACTIONS TOTAL ***				\$35,747.93	\$1,246.64	\$0.00	\$1,246.64		\$1,122.05

 OVERRIDE TRANSACTIONSBROKER: SCOTT SICKLES-D060 #54

11/02/2018 000000000701021	BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55		0.38
11/02/2018 000000012372684	GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50		0.38
11/02/2018 000019817879772	BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48		0.37
11/02/2018 000060177110343	BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48		0.37
11/01/2018 00085552496	MAXIE A SICKLES	MF CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00		0.45
11/05/2018 04000584689	OLGA HOUSAND	MF THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00		0.15
10/31/2018 000000000701021	BRANNON,	MFT FRANKLIN MODERATE ALLOCATION	B	0.00	2.90	0.00	2.90		0.44
10/31/2018 000000001042631	WEIR, DEBBIE	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	45.84	0.00	45.84		6.88
10/31/2018 000000012372684	GRIEGO,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	34.58	0.00	34.58		5.19
10/31/2018 000019817879772	BRANNON,	MFT FRANKLIN SMALL-MID CAP GROWTH	B	0.00	3.33	0.00	3.33		0.50
10/31/2018 000060177110343	BRANNON,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	3.64	0.00	3.64		0.55
10/31/2018 000060177908880	PENRY, JOHN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	2.28	0.00	2.28		0.34
10/31/2018 000090239634303	PENRY, JOHN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.49	0.00	0.49		0.07
* SCOTT SICKLES-D060 SUBTOTAL *				\$800.00	\$107.07	\$0.00	\$107.07		\$16.07
*** OVERRIDE TRANSACTIONS TOTAL ***				\$800.00	\$107.07	\$0.00	\$107.07		\$16.07

BROKER COMMISSION TOTALS

Broker Transactions	\$1,122.05
Split Transactions	\$0.00
Override Transactions	\$16.07
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,138.12
Year-to-date Commissions	\$51,832.32