

All Companies

COMMISSION STATEMENT

January 02, 2019

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
10/11/2018	22163519 ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
10/11/2018	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	30.20	0.00	30.20	90.0	27.18
10/11/2018	29192217 JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	77.40	0.00	77.40	90.0	69.66
	WAYNETTE								
10/11/2018	29329286 QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	371.60	0.00	371.60	90.0	334.44
	(HAL)								
10/11/2018	30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	131.00	0.00	131.00	90.0	117.90
10/11/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	85.20	0.00	85.20	90.0	76.68
10/11/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	336.20	0.00	336.20	90.0	302.58
10/11/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	19.20	0.00	19.20	90.0	17.28
10/11/2018	32811544 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	61.20	0.00	61.20	90.0	55.08
10/11/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.40	0.00	19.40	90.0	17.46
10/11/2018	34447890 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	82.60	0.00	82.60	90.0	74.34
10/11/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	15.60	0.00	15.60	90.0	14.04
10/11/2018	35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.60	0.00	7.60	90.0	6.84
10/11/2018	39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	159.80	0.00	159.80	90.0	143.82
10/11/2018	39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	112.60	0.00	112.60	90.0	101.34
10/11/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	252.60	0.00	252.60	90.0	227.34
10/11/2018	42458316 WARREN, WILLIAM&	SIGNAL SECURITIES RIA	B	0.00	64.20	0.00	64.20	90.0	57.78
	GLORIA								
10/11/2018	49635286 BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	B	0.00	24.40	0.00	24.40	90.0	21.96
	PANCA								
10/11/2018	51260395 SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	429.40	0.00	429.40	90.0	386.46
10/11/2018	51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	206.00	0.00	206.00	90.0	185.40
10/11/2018	52054063 SWAIN, CYNTHIA	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
	CHRISTI								
10/11/2018	56512595 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
	JEAN								
10/11/2018	58196619 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	1.80	0.00	1.80	90.0	1.62
	HALEY								
10/11/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	141.60	0.00	141.60	90.0	127.44
10/11/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	169.00	0.00	169.00	90.0	152.10
10/11/2018	64024640 QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	40.20	0.00	40.20	90.0	36.18
	(HAL)								
10/11/2018	64679619 WARREN, WILLIAM&	SIGNAL SECURITIES RIA	B	0.00	114.40	0.00	114.40	90.0	102.96
	GLORIA								
10/11/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	171.80	0.00	171.80	90.0	154.62
10/11/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	367.60	0.00	367.60	90.0	330.84
10/11/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	283.20	0.00	283.20	90.0	254.88
10/11/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	48.60	0.00	48.60	90.0	43.74

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
10/11/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	24.60	0.00	24.60	90.0	22.14
10/11/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	271.40	0.00	271.40	90.0	244.26
10/11/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
10/11/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
10/11/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	27.20	0.00	27.20	90.0	24.48
10/11/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	90.0	28.80
10/11/2018	79512456 BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	B	0.00	85.40	0.00	85.40	90.0	76.86
10/11/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	300.80	0.00	300.80	90.0	270.72
10/11/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	48.60	0.00	48.60	90.0	43.74
10/11/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
10/11/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	229.80	0.00	229.80	90.0	206.82
10/11/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	31.60	0.00	31.60	90.0	28.44
10/11/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	119.00	0.00	119.00	90.0	107.10
10/11/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	169.60	0.00	169.60	90.0	152.64
10/11/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.60	0.00	11.60	90.0	10.44
10/11/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	46.80	0.00	46.80	90.0	42.12
10/11/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	423.80	0.00	423.80	90.0	381.42
10/11/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	6.20	0.00	6.20	90.0	5.58
10/11/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	12.80	0.00	12.80	90.0	11.52
10/11/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	116.80	0.00	116.80	90.0	105.12
12/18/2018	FIDELITY 401K KERN, NINA M	SIGNAL SECURITIES RIA	B	0.00	193.40	0.00	193.40	90.0	174.06
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$7,182.00	\$0.00	\$7,182.00		\$6,463.80
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
10/11/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	403.80	0.00	403.80	90.0	363.42
10/11/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	22.08	0.00	22.08	90.0	19.87
10/11/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.80	0.00	1.80	90.0	1.62
10/11/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	96.60	0.00	96.60	90.0	86.94
10/11/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	113.52	0.00	113.52	90.0	102.17
10/11/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	25.92	0.00	25.92	90.0	23.33
10/11/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	31.20	0.00	31.20	90.0	28.08
10/11/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	327.84	0.00	327.84	90.0	295.06
10/11/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	298.56	0.00	298.56	90.0	268.70

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<u>SPLIT TRANSACTIONS</u>									
BROKER: ERIC CHARLES GUTH #9617									
10/11/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	38.40	0.00	38.40	90.0	34.56
10/11/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	41.16	0.00	41.16	90.0	37.04
10/11/2018	58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	21.84	0.00	21.84	90.0	19.66
10/11/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	189.48	0.00	189.48	90.0	170.53
10/11/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	87.84	0.00	87.84	90.0	79.06
10/11/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	26.28	0.00	26.28	90.0	23.65
10/11/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	0.60	0.00	0.60	90.0	0.54
10/11/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	148.92	0.00	148.92	90.0	134.03
10/11/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	9.24	0.00	9.24	90.0	8.32
10/11/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	15.48	0.00	15.48	90.0	13.93
10/11/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	343.80	0.00	343.80	90.0	309.42
10/11/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	52.56	0.00	52.56	90.0	47.30
* ERIC CHARLES GUTH SUBTOTAL *				\$0.00	\$2,296.92	\$0.00	\$2,296.92		\$2,067.23
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$9,478.92	\$0.00	\$9,478.92		\$8,531.03

ADJUSTMENTS

01/02/2019	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
01/02/2019	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,600.48
Split Transactions	\$8,531.03
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-106.88

Check Amount	\$9,897.13
Year-to-date Earnings	\$9,897.13