

COMMISSION STATEMENT

October 31, 2018

 BRIAN MAPES-D040
 6936 Angling Road

 BROKER #: D040
 BRANCH: DMN

Portage, MI 49024

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	0.51	0.00	0.51	85.0	0.43
10/19/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	13.98	0.00	13.98	85.0	11.88
10/19/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	38.29	0.00	38.29	85.0	32.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$52.78	\$0.00	\$52.78		\$44.86
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$52.78	\$0.00	\$52.78		\$44.86

DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

10/16/2020	7200000477	HUBBS	VA	SAMMONS FINANCIAL	B	135,426.52	56.44	0.00	56.44	85.0	47.97
10/22/2020	7200000957	HOARD	VA	SAMMONS FINANCIAL	B	171,198.23	71.33	0.00	71.33	85.0	60.63
10/11/2020	7200003385	RUTLIDGE	VA	SAMMONS FINANCIAL	B	52,014.43	21.66	0.00	21.66	85.0	18.41
10/08/0201	7200003388	GENTILIA	VA	SAMMONS FINANCIAL	B	14,189.40	5.92	0.00	5.92	85.0	5.03
10/08/0201	7200003391	GENTILIA	VA	SAMMONS FINANCIAL	B	12,970.72	5.41	0.00	5.41	85.0	4.60
10/15/2020	7200004930	HUBBS	VA	SAMMONS FINANCIAL	B	14,877.16	6.19	0.00	6.19	85.0	5.26
10/08/0201	7200016561	CLAPP	VA	SAMMONS FINANCIAL	B	32,025.28	13.34	0.00	13.34	85.0	11.34
10/15/2020	7200020791	PERRY	VA	SAMMONS FINANCIAL	B	14,410.80	6.00	0.00	6.00	85.0	5.10
10/18/2020	7200024360	DUMLAO	VA	SAMMONS FINANCIAL	B	68,011.60	28.32	0.00	28.32	85.0	24.07
10/18/2020	7200026184	HOARD STAFFORD,	VA	SAMMONS FINANCIAL	B	189,103.13	78.80	0.00	78.80	85.0	66.98
* VARIABLE ANNUITIES SUBTOTAL *						\$704,227.27	\$293.41	\$0.00	\$293.41		\$249.39

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/08/2018	00033881510	THOMAN, JEFFREY	MFT DEUTSCHE CORE PLUS INCOME	B	0.00	4.39	0.00	4.39	85.0	3.73
10/08/2018	00033881510	THOMAN, JEFFREY	MFT DEUTSCHE CORE PLUS INCOME	B	0.00	33.51	0.00	33.51	85.0	28.48
10/08/2018	00133423570	SENSENBRENNER,	MFT DEUTSCHE S&P 500 INDEX FUND-A	B	0.00	4.05	0.00	4.05	85.0	3.44
10/08/2018	00133423570	SENSENBRENNER,	MFT DEUTSCHE S&P 500 INDEX FUND-C	B	0.00	12.10	0.00	12.10	85.0	10.29
10/08/2018	00133428015	THOMAN, JEFFREY	MFT DEUTSCHE CORE PLUS INCOME	B	0.00	1.13	0.00	1.13	85.0	0.96
10/08/2018	00133428015	THOMAN, JEFFREY	MFT DEUTSCHE CORE PLUS INCOME	B	0.00	3.45	0.00	3.45	85.0	2.93
10/08/2018	00133428633	FULLER, RONALD	MFT DEUTSCHE CORE PLUS INCOME	B	0.00	2.47	0.00	2.47	85.0	2.10
10/08/2018	00133428633	FULLER, RONALD	MFT DEUTSCHE CORE PLUS INCOME	B	0.00	56.51	0.00	56.51	85.0	48.03

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10/08/2018	00133429007 KURTZ, STEPHEN	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	0.13	0.00	0.13	85.0	0.11
10/08/2018	00133429007 KURTZ, STEPHEN	MFT DEUTSCHE STRAT HIGH YIELD	B	0.00	0.40	0.00	0.40	85.0	0.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$118.14	\$0.00	\$118.14		\$100.41
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
10/12/2018	VR03080351 MATEUS	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	141.51	0.00	141.51	85.0	120.28
10/08/2018	VR04028805 MALEK	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	42.54	0.00	42.54	85.0	36.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$184.05	\$0.00	\$184.05		\$156.44
*** DIRECT TRANSACTIONS TOTAL ***				\$704,227.27	\$595.60	\$0.00	\$595.60		\$506.24

OVERRIDE TRANSACTIONS**BROKER: HAROLD JOHNSON-D217 #36**

09/30/2018 (None)	VARIOUS, JOHNSON	MFT DELWARE	B	0.00	83.82	0.00	83.82		37.72
* HAROLD JOHNSON-D217 SUBTOTAL *				\$0.00	\$83.82	\$0.00	\$83.82		\$37.72
*** OVERRIDE TRANSACTIONS TOTAL ***				\$0.00	\$83.82	\$0.00	\$83.82		\$37.72

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$551.10
Split Transactions	\$0.00
Override Transactions	\$37.72
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$403.82
Year-to-date Commissions	\$32,610.97