



COMMISSION STATEMENT

September 24, 2018

STANLEY (DARRELL) KEATHLEY
200 Prosperity Drive
Suite 133
Knoxville, TN 37923

BROKER #: B07
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
09/24/2018	***-**-8250 - BOSSE, PAUL	UNDERWRITER COMM	0.00	33.27	0.00	33.27	80.0	26.62
	ACCOUNT #: 020002435	BUY 0 Units @0.0000						
		* ALTERNATIVE INVESTMENTS SUBTOTAL *	\$0.00	\$33.27	\$0.00	\$33.27		\$26.62
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/20/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY ADV GROWTH OPPORT CL A	12,000.02	450.00	18.00	432.00	80.0	345.60
	ACCOUNT #: LSR012836	BUY 139 Units @86.3000						
09/20/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY DESTINY I - CLASS N	14,799.99	555.00	18.00	537.00	80.0	429.60
	ACCOUNT #: LSR012836	BUY 503 Units @29.4300						
09/20/2018	***-**-97IO - BISHOP, RICHARD	OPPENHEIMER SENIOR FLOATING 68381K4	7,733.62	35.00	18.00	17.00	80.0	13.60
	ACCOUNT #: LSR012836	SELL 952 Units @8.1200						
		* MUTUAL FUNDS SUBTOTAL *	\$34,533.63	\$1,040.00	\$54.00	\$986.00		\$788.80
<u>PRODUCT CATEGORY: STOCKS</u>								
09/20/2018	***-**-97IO - BISHOP, RICHARD	SECTOR SPDR TR SHS BEN INT CONSUMER	2,708.43	50.00	15.98	34.02	80.0	27.22
	ACCOUNT #: LSR012836	SELL 49 Units @55.2740						
		* STOCKS SUBTOTAL *	\$2,708.43	\$50.00	\$15.98	\$34.02		\$27.22
		*** BROKER TRANSACTIONS TOTAL ***	\$37,242.06	\$1,123.27	\$69.98	\$1,053.29		\$842.64



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$842.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$842.64
						Year-to-date Earnings		\$40,041.19