

COMMISSION STATEMENT
October 15, 2018

 DOUG ELLIS-D335
 5481 Ivy Drive

 BROKER #: D335
 BRANCH: DMN

Stevensville, MI 49127

COMMISSION STATEMENT for DOUG ELLIS-D335

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/30/2018	1011931681	EVERSOLE, THOMAS	VA	ELITE ACCESS	B	81,436.92	27.15	0.00	27.15 75.0 20.36
09/30/2018	1012772110	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	35,614.45	29.68	0.00	29.68 75.0 22.26
09/30/2018	1012928722	SWANSON, RICHARD	VA	ELITE ACCESS L	B	130,030.24	108.36	0.00	108.36 75.0 81.27
09/30/2018	1012929133	SWANSON, RICHARD	VA	ELITE ACCESS	B	174,528.04	58.18	0.00	58.18 75.0 43.64
09/30/2018	1013200706	WHITE, GLORIA	VA	PERSP II 09/12	B	184,115.05	76.71	0.00	76.71 75.0 57.53
09/30/2018	1013200811	WHITE, GLORIA	VA	ELITE ACCESS	B	50,833.02	42.36	0.00	42.36 75.0 31.77
09/30/2018	1013636601	SCHRADER, LARRY	VA	ELITE ACCESS L	B	139,272.00	116.06	0.00	116.06 75.0 87.05
09/30/2018	1016129964	MONETA, CAROL	VA	ELITE ACCESS L	B	29,511.59	24.59	0.00	24.59 75.0 18.44
09/30/2018	1016711213	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	45,657.61	38.05	0.00	38.05 75.0 28.54
				* VARIABLE ANNUITIES SUBTOTAL *		\$870,998.92	\$521.14	\$0.00	\$521.14 \$390.86
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/28/2018	(None)	VARIOUS, ELLIS		VAT PRUDENTIAL LIFE	B	0.00	67.52	0.00	67.52 75.0 50.64
				* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$67.52	\$0.00	\$67.52 \$50.64
				*** DIRECT TRANSACTIONS TOTAL ***		\$870,998.92	\$588.66	\$0.00	\$588.66 \$441.50

BROKER COMMISSION TOTALS

Broker Transactions	\$441.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-366.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$75.50
Year-to-date Commissions	\$4,526.38