

COMMISSION STATEMENT

October 31, 2018

MONROE PARKER-D334
 112 SW 8th Avenue
 Suite 932
 Amarillo, TX 79101

BROKER #: D334
 BRANCH: DMN

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/19/2018	mfc614631 PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.79	0.00	10.79	82.0	8.85
10/19/2018	mfc614631 PARKER 614631	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.04	0.00	4.04	82.0	3.31
10/19/2018	mfc614631 PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	3.39	0.00	3.39	82.0	2.78
10/19/2018	mfc614631 PARKER 614631	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.76	0.00	1.76	82.0	1.44
10/19/2018	mfc614631 PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	154.46	0.00	154.46	82.0	126.66
10/19/2018	mfc614631 PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	6.53	0.00	6.53	82.0	5.35
10/19/2018	mfc614631 PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	1.81	0.00	1.81	82.0	1.48
10/19/2018	mfc614631 PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	5.84	0.00	5.84	82.0	4.79
10/19/2018	mfc614631 PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	5.68	0.00	5.68	82.0	4.66
10/19/2018	mfc614631 PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	2.22	0.00	2.22	82.0	1.82
10/19/2018	mfc614631 PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	4.32	0.00	4.32	82.0	3.54
10/19/2018	mfc614631 PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	6.46	0.00	6.46	82.0	5.30
10/19/2018	mfc614631 PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	4.31	0.00	4.31	82.0	3.53
10/19/2018	mfc614631 PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	2.34	0.00	2.34	82.0	1.92
10/19/2018	mfc614631 PARKER 614631	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.30	0.00	6.30	82.0	5.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$220.25	\$0.00	\$220.25		\$180.60
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$220.25	\$0.00	\$220.25		\$180.60

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/15/2018	00089900405 LOVETT, PEG	MF BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$4.00	\$0.00	\$4.00		\$3.28

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018	(None) VARIOUS, PARKER	MFT PIMCO 12B-1	B	0.00	47.05	0.00	47.05	82.0	38.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$47.05	\$0.00	\$47.05		\$38.58

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
10/03/2018 (None)	VARIOUS, PARKER	VAT TRANSAMERICA LIFE	B	0.00	665.20	0.00	665.20	82.0	545.46
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$665.20	\$0.00	\$665.20		\$545.46
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$716.25	\$0.00	\$716.25		\$587.32

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

10/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
10/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
10/31/2018	:	FINRA CE	0.00	0.00	0.00	0.00	-55.00
10/31/2018	:	FINRA TX BR AMENDMENT FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$-265.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$-265.00

BROKER COMMISSION TOTALS

Broker Transactions	\$767.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-265.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$502.92
Year-to-date Commissions	\$21,555.32