



COMMISSION STATEMENT

October 29, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 375 Units @20.6300	7,741.60	232.25	0.00	232.25	75.0	174.19
10/16/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	INVESCO EQUALLY WEIGHTED S&P 500 A BUY 61 Units @63.8300	3,870.80	116.12	0.00	116.12	75.0	87.09
10/16/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 534 Units @14.5000	7,741.60	232.25	0.00	232.25	75.0	174.19
* MUTUAL FUNDS SUBTOTAL *			\$19,354.00	\$580.62	\$0.00	\$580.62		\$435.47
<u>PRODUCT CATEGORY: STOCKS</u>								
10/22/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	CANOPY GROWTH CORPORATION COM NPV I SELL 100 Units @42.8420	4,284.20	10.00	8.99	1.01	75.0	0.76
10/23/2018	***-**-935I - KERR, FBO GAIL S ACCOUNT #: LSR010579	CANOPY GROWTH CORPORATION COM NPV I BUY 150 Units @40.6080	6,091.20	135.00	18.00	117.00	75.0	87.75
10/23/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	CISCO SYSTEMS INC BUY 100 Units @45.3200	4,532.00	135.00	18.00	117.00	75.0	87.75
10/23/2018	***-**-819I - BOYKIN, ACCOUNT #: LSR010634	CISCO SYSTEMS INC BUY 90 Units @45.4760	4,092.84	135.00	18.00	117.00	75.0	87.75
10/23/2018	***-**-820X - HIGHSMITH, ACCOUNT #: LSR010767	CISCO SYSTEMS INC BUY 75 Units @45.1860	3,388.95	135.00	18.00	117.00	75.0	87.75
10/23/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	CONOCOPHILLIPS UNSOLICITED ORDER BUY 60 Units @68.8120	4,128.72	135.00	16.20	118.80	75.0	89.10
10/23/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	CONOCOPHILLIPS UNSOLICITED ORDER BUY 78 Units @68.9750	5,380.05	135.00	16.56	118.44	75.0	88.83
10/23/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	CRONOS GROUP INC COM NPV ISIN #CA22 BUY 600 Units @8.5650	5,139.00	135.00	27.00	108.00	75.0	81.00
10/23/2018	***-**-4500 - JUGGINS, VERNON ACCOUNT #: LSR012897	CRONOS GROUP INC COM NPV ISIN #CA22 BUY 290 Units @8.1320	2,358.28	135.00	20.80	114.20	75.0	85.65
10/22/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	MICROSOFT CORP UNSOLICITED ORDER EX BUY 39 Units @110.0660	4,292.57	10.00	8.99	1.01	75.0	0.76
10/22/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	MICROSOFT CORP UNSOLICITED ORDER EX BUY 53 Units @110.3060	5,846.22	135.00	18.00	117.00	75.0	87.75
10/22/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	NEKTAR THERAPEUTICS SELL 150 Units @39.5290	5,929.35	35.00	18.00	17.00	75.0	12.75



COMMISSION STATEMENT

October 29, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
10/22/2018	***-**-935I - KERR, FBO GAIL S	NEKTAR THERAPEUTICS	9,846.50	35.00	20.00	15.00	75.0	11.25
	ACCOUNT #: LSR010579	SELL 250 Units @39.3860						
10/22/2018	***-**-537I - EDELIN, FBO MS	NEKTAR THERAPEUTICS	3,884.22	35.00	16.80	18.20	75.0	13.65
	ACCOUNT #: LSR010592	SELL 90 Units @43.1580						
10/22/2018	***-**-58IN - LUTZ, SAMUEL	NEKTAR THERAPEUTICS	4,253.20	35.00	17.00	18.00	75.0	13.50
	ACCOUNT #: LSR010594	SELL 100 Units @42.5320						
10/22/2018	***-**-35IN - ANTOINE, MS	NEKTAR THERAPEUTICS	4,244.50	35.00	17.00	18.00	75.0	13.50
	ACCOUNT #: LSR010597	SELL 100 Units @42.4450						
10/22/2018	***-**-91IN - RICHARDS, RMR	NEKTAR THERAPEUTICS	5,082.00	35.00	17.40	17.60	75.0	13.20
	ACCOUNT #: LSR010600	SELL 120 Units @42.3500						
10/22/2018	***-**-819I - BOYKIN,	NEKTAR THERAPEUTICS	4,268.00	35.00	17.00	18.00	75.0	13.50
	ACCOUNT #: LSR010634	SELL 100 Units @42.6800						
10/22/2018	***-**-820X - HIGHSMITH,	NEKTAR THERAPEUTICS	4,666.42	35.00	17.20	17.80	75.0	13.35
	ACCOUNT #: LSR010767	SELL 110 Units @42.4220						
10/22/2018	***-**-423I - JONES, BRENDA	NEKTAR THERAPEUTICS	4,291.70	35.00	17.00	18.00	75.0	13.50
	ACCOUNT #: LSR010837	SELL 100 Units @42.9170						
10/22/2018	***-**-27IN - LYNCH, JAIR	NEKTAR THERAPEUTICS	7,929.20	35.00	19.00	16.00	75.0	12.00
	ACCOUNT #: LSR011801	SELL 200 Units @39.6460						
10/22/2018	***-**-27IN - LYNCH, JAIR	NEKTAR THERAPEUTICS	3,952.60	35.00	17.00	18.00	75.0	13.50
	ACCOUNT #: LSR012262	SELL 100 Units @39.5260						
10/22/2018	***-**-10IN - RANDALL,	NEKTAR THERAPEUTICS	6,136.40	35.00	17.90	17.10	75.0	12.83
	ACCOUNT #: LSR012385	SELL 145 Units @42.3200						
* STOCKS SUBTOTAL *			\$114,018.12	\$1,655.00	\$399.84	\$1,255.16		\$941.38
*** BROKER TRANSACTIONS TOTAL ***			\$133,372.12	\$2,235.62	\$399.84	\$1,835.78		\$1,376.85



COMMISSION STATEMENT

October 29, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$1,376.85
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$1,376.85
Year-to-date Earnings								\$73,201.14