

# COMMISSION STATEMENT

August 31, 2018

DENNUS COOPER-D339  
 9270 Glenwood  
 Suite B  
 Overland Park, KS 66212

BROKER #: D339  
 BRANCH: DMN

## COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
07/30/2018 368459084	SCHOENHOFER, TRACY	MF THE HARTFORD MID CAP FUND-A	B	-1,497.55	0.00	5.00	-5.00	85.0	-4.25
08/29/2018 368459084	SCHOENHOFER, TRACY	MF THE HARTFORD MID CAP FUND-A	B	-997.55	0.00	5.00	-5.00	85.0	-4.25
08/01/2018 429819688	KRAMER, MARY	MF CAPITAL APPRECIATION - CLASS A	S	49,002.45	2,082.50	5.00	2,077.50	85.0	1,765.88
08/01/2018 429835821	KRAMER, MARY	MF BLK 091928846	S	23,002.45	1,150.00	5.00	1,145.00	85.0	973.25
08/09/2018 677621135	SAPP, CORY	MF AMERICAN MUTUAL FUND-A	S	-12,783.92	0.00	5.00	-5.00	85.0	-4.25
08/09/2018 677621135	SAPP, CORY	MF CAPITAL INCOME BUILDER-A	S	-9,896.10	0.00	5.00	-5.00	85.0	-4.25
08/10/2018 677621135	SAPP, CORY	MF BLK 091928846	S	22,602.45	1,130.00	5.00	1,125.00	85.0	956.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$69,432.23</b>	<b>\$4,362.50</b>	<b>\$35.00</b>	<b>\$4,327.50</b>		<b>\$3,678.38</b>

## PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc109920	COOPER 109920	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	10.26	0.00	10.26	85.0	8.72
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928788	B	0.00	1.65	0.00	1.65	85.0	1.40
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	0.90	0.00	0.90	85.0	0.77
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	1.42	0.00	1.42	85.0	1.21
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	1.57	0.00	1.57	85.0	1.33
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	3.63	0.00	3.63	85.0	3.09
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	4.71	0.00	4.71	85.0	4.00
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	9.06	0.00	9.06	85.0	7.70
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	10.99	0.00	10.99	85.0	9.34
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	17.24	0.00	17.24	85.0	14.65
08/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	20.35	0.00	20.35	85.0	17.30
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	2.15	0.00	2.15	85.0	1.83
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	3.29	0.00	3.29	85.0	2.80
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	4.23	0.00	4.23	85.0	3.60
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	6.64	0.00	6.64	85.0	5.64
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.58	0.00	9.58	85.0	8.14
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	12.97	0.00	12.97	85.0	11.02
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	18.72	0.00	18.72	85.0	15.91
08/21/2018 mfc109920	COOPER 109920	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.11	0.00	1.11	85.0	0.94
08/21/2018 mfc109920	COOPER 109920	MFT DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	5.01	0.00	5.01	85.0	4.26
08/21/2018 mfc109920	COOPER 109920	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.85	0.00	0.85	85.0	0.72

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08/21/2018	mfc109920	COOPER 109920	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.86	0.00	1.86	85.0	1.58
08/21/2018	mfc109920	COOPER 109920	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.18	0.00	1.18	85.0	1.00
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	3.00	0.00	3.00	85.0	2.55
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	3.10	0.00	3.10	85.0	2.64
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	7.74	0.00	7.74	85.0	6.58
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	11.80	0.00	11.80	85.0	10.03
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	12.21	0.00	12.21	85.0	10.38
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	18.86	0.00	18.86	85.0	16.03
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	19.98	0.00	19.98	85.0	16.98
08/21/2018	mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	20.39	0.00	20.39	85.0	17.33
08/21/2018	mfc109920	COOPER 109920	MFT MFS CONSERVATIVE ALLOCATION	B	0.00	1.15	0.00	1.15	85.0	0.98
08/21/2018	mfc109920	COOPER 109920	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	3.13	0.00	3.13	85.0	2.66
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.10	0.00	3.10	85.0	2.64
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.75	0.00	8.75	85.0	7.44
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.87	0.00	8.87	85.0	7.54
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	9.17	0.00	9.17	85.0	7.79
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.10	0.00	10.10	85.0	8.59
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.77	0.00	10.77	85.0	9.15
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	13.31	0.00	13.31	85.0	11.31
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	22.19	0.00	22.19	85.0	18.86
08/21/2018	mfc109920	COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	25.37	0.00	25.37	85.0	21.56
08/21/2018	mfc109920	COOPER 109920	MFT ROCHESTER NATIONAL MUNICIPALS A	B	0.00	1.07	0.00	1.07	85.0	0.91
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	2.10	0.00	2.10	85.0	1.79
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.22	0.00	5.22	85.0	4.44
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.43	0.00	7.43	85.0	6.32
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.99	0.00	7.99	85.0	6.79
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.35	0.00	8.35	85.0	7.10
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.84	0.00	8.84	85.0	7.51
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	14.24	0.00	14.24	85.0	12.10
08/21/2018	mfc109920	COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	15.09	0.00	15.09	85.0	12.83
08/21/2018	mfc109920	COOPER 109920	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	2.68	0.00	2.68	85.0	2.28
08/21/2018	mfc109920	COOPER 109920	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.89	0.00	0.89	85.0	0.76
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD CHECKS AND	B	0.00	0.72	0.00	0.72	85.0	0.61
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	0.83	0.00	0.83	85.0	0.71
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.93	0.00	2.93	85.0	2.49
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.98	0.00	2.98	85.0	2.53
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	3.08	0.00	3.08	85.0	2.62
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.22	0.00	9.22	85.0	7.84
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.43	0.00	9.43	85.0	8.02
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.70	0.00	10.70	85.0	9.10
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.85	0.00	10.85	85.0	9.22
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	14.38	0.00	14.38	85.0	12.22
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	18.91	0.00	18.91	85.0	16.07
08/21/2018	mfc109920	COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	20.62	0.00	20.62	85.0	17.53

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08/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD CAPITAL	B	0.00	12.10	0.00	12.10	85.0	10.29
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$553.01	\$0.00	\$553.01	\$470.07
				*** CLEARING TRANSACTIONS TOTAL ***	\$69,432.23	\$4,915.51	\$35.00	\$4,880.51	\$4,148.45

ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
			* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$-185.00
			*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,148.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,963.45
Year-to-date Commissions	\$25,481.60