



COMMISSION STATEMENT

August 27, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @13.1000						
08/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @58.2000						
* MUTUAL FUNDS SUBTOTAL *			<u>\$50.00</u>	<u>\$2.30</u>	<u>\$0.00</u>	<u>\$2.30</u>		<u>\$1.84</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	***-**-5506 - LOMBARDO, LEIGH	FRANKLIN HIGH-YIELD TAX-FREE INCOME	0.00	1.58	0.00	1.58	80.0	1.26
	ACCOUNT #: 000013014201858	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$1.58</u>	<u>\$0.00</u>	<u>\$1.58</u>		<u>\$1.26</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$50.00</u>	<u>\$3.88</u>	<u>\$0.00</u>	<u>\$3.88</u>		<u>\$3.10</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$17.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$20.80
Year-to-date Earnings	\$4,538.22