



COMMISSION STATEMENT

November 19, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/12/2018	***-**-902I - WURMNEST, DIANE	CALVERT GLOBAL WATER FUND CLASS A	9,449.90	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012517	SELL 507 Units @18.6400						
11/14/2018	***-**-2267 - WIEBLER, STEVE	GOLDMAN SACHS RISING DIVIDEND GROWT	1,999.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012606	SELL 101 Units @19.8300						
11/12/2018	***-**-168I - JONES, TERI	VISTORY RS SCIENCE AND TECHNOLOGY C	20,000.00	1,000.00	18.00	982.00	85.0	834.70
	ACCOUNT #: LSR012588	BUY 831 Units @24.0700						
11/13/2018	***-**-434I - DVIZAC, ZACK	VISTORY RS SCIENCE AND TECHNOLOGY C	9,000.00	450.00	18.00	432.00	85.0	367.20
	ACCOUNT #: LSR012474	BUY 373 Units @24.1000						
11/13/2018	***-**-042I - HEINZ, CHERI	VISTORY RS SCIENCE AND TECHNOLOGY C	6,999.99	350.00	18.00	332.00	85.0	282.20
	ACCOUNT #: LSR012514	BUY 290 Units @24.1000						
11/13/2018	***-**-720X - COOK, TAMI	VISTORY RS SCIENCE AND TECHNOLOGY C	9,000.00	450.00	18.00	432.00	85.0	367.20
	ACCOUNT #: LSR012548	BUY 373 Units @24.1000						
11/14/2018	***-**-496I - MCCOY, SHERIANNE	VISTORY RS SCIENCE AND TECHNOLOGY C	9,999.99	500.00	18.00	482.00	85.0	409.70
	ACCOUNT #: LSR012469	BUY 421 Units @23.7800						
11/14/2018	***-**-141I - ROHMAN, ARTHUR	VISTORY RS SCIENCE AND TECHNOLOGY C	9,999.99	500.00	18.00	482.00	85.0	409.70
	ACCOUNT #: LSR012574	BUY 421 Units @23.7800						
11/14/2018	***-**-324I - MCGHEE, TERRY	VISTORY RS SCIENCE AND TECHNOLOGY C	5,000.01	250.00	18.00	232.00	85.0	197.20
	ACCOUNT #: LSR012649	BUY 210 Units @23.7800						
* MUTUAL FUNDS SUBTOTAL *			\$81,449.87	\$3,500.00	\$162.00	\$3,338.00		\$2,831.90
<u>PRODUCT CATEGORY: STOCKS</u>								
11/12/2018	***-**-902I - WURMNEST, DIANE	RLI CORP	7,637.45	100.00	17.08	82.92	85.0	70.48
	ACCOUNT #: LSR012517	SELL 104 Units @73.4370						
11/13/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT INDUSTRI	7,197.70	80.00	17.00	63.00	85.0	53.55
	ACCOUNT #: LSR012517	BUY 100 Units @71.9770						
11/13/2018	***-**-03TR - NEAL TRUST,	SECTOR SPDR TR SHS BEN INT TECHNOLO	13,657.40	300.00	19.00	281.00	85.0	238.85
	ACCOUNT #: LSR012505	BUY 200 Units @68.2870						
11/13/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT TECHNOLO	6,841.00	80.00	17.00	63.00	85.0	53.55
	ACCOUNT #: LSR012517	BUY 100 Units @68.4100						
11/13/2018	***-**-909I - BROWN, CHARLES	SECTOR SPDR TR SHS BEN INT TECHNOLO	10,225.05	200.00	18.00	182.00	85.0	154.70
	ACCOUNT #: LSR012778	BUY 150 Units @68.1670						
* STOCKS SUBTOTAL *			\$45,558.60	\$760.00	\$88.08	\$671.92		\$571.13



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/19/2018	***-**-84IO - COILE, ROBERT	COLUMBIA DISCIPLINED CORE A	0.00	5.08	0.00	5.08	85.0	4.32
	ACCOUNT #: LSR012460	BUY 1 Units @0.0000						
10/19/2018	***-**-03TR - NEAL TRUST,	COLUMBIA DISCIPLINED CORE A	0.00	8.25	0.00	8.25	85.0	7.01
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
10/19/2018	***-**-825I - BARR, JANET	COLUMBIA DISCIPLINED CORE A	0.00	2.06	0.00	2.06	85.0	1.75
	ACCOUNT #: LSR012624	BUY 1 Units @0.0000						
10/19/2018	***-**-2185 - BARR, RON	COLUMBIA DISCIPLINED CORE A	0.00	3.94	0.00	3.94	85.0	3.35
	ACCOUNT #: LSR012625	BUY 1 Units @0.0000						
10/19/2018	***-**-825J - BARR, JANET	COLUMBIA DISCIPLINED CORE A	0.00	6.28	0.00	6.28	85.0	5.34
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
10/19/2018	***-**-720X - COOK, TAMI	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	2.49	0.00	2.49	85.0	2.12
	ACCOUNT #: LSR012548	BUY 1 Units @0.0000						
10/19/2018	***-**-55IR - WISE, MARY	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: LSR012559	BUY 1 Units @0.0000						
10/19/2018	***-**-3709 - TILLER, EDWIN	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	5.02	0.00	5.02	85.0	4.27
	ACCOUNT #: LSR012570	BUY 1 Units @0.0000						
10/19/2018	***-**-745I - EKHOF, NOHORA	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	3.74	0.00	3.74	85.0	3.18
	ACCOUNT #: LSR012612	BUY 1 Units @0.0000						
10/19/2018	***-**-909I - BROWN, CHARLES	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	2.13	0.00	2.13	85.0	1.81
	ACCOUNT #: LSR012778	BUY 1 Units @0.0000						
10/19/2018	***-**-8358 - ROBERTS, CHRISTY	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	3.26	0.00	3.26	85.0	2.77
	ACCOUNT #: LSR012795	BUY 1 Units @0.0000						
10/19/2018	***-**-54IO - FRIES, SHARON	FRANKLIN INCOME FUND CLASS A	0.00	3.11	0.00	3.11	85.0	2.64
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
10/19/2018	***-**-84IO - COILE, ROBERT	FRK HIGH INC FND - CL A	0.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: LSR012460	BUY 1 Units @0.0000						
10/19/2018	***-**-82IN - WINTERS, KEVIN	FRK HIGH INC FND - CL A	0.00	0.79	0.00	0.79	85.0	0.67
	ACCOUNT #: LSR012586	BUY 1 Units @0.0000						
10/19/2018	***-**-745I - EKHOF, NOHORA	FRK HIGH INC FND - CL A	0.00	1.22	0.00	1.22	85.0	1.04
	ACCOUNT #: LSR012612	BUY 1 Units @0.0000						
10/19/2018	***-**-03TR - NEAL TRUST,	GLOBAL OPPORTUNITIES A	0.00	8.06	0.00	8.06	85.0	6.85
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-902I - WURMNEST, DIANE	MFS UTILITIES FUND-A	0.00	5.53	0.00	5.53	85.0	4.70
	ACCOUNT #: LSR012517	BUY 1 Units @0.0000						
10/19/2018	***-**-909I - BROWN, CHARLES	THE HARTFORD GLOBAL HEALTH FUND-A	0.00	2.24	0.00	2.24	85.0	1.90
	ACCOUNT #: LSR012778	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$65.70	\$0.00	\$65.70		\$55.85
*** BROKER TRANSACTIONS TOTAL ***			\$127,008.47	\$4,325.70	\$250.08	\$4,075.62		\$3,458.88

BROKER COMMISSION TOTALS

Broker Transactions	\$3,458.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,458.88
Year-to-date Earnings	\$175,754.10