

## COMMISSION STATEMENT

October 31, 2018

EZRA GRAYMAN  
7 EDGEWOOD AVEBROKER #: M10 M15  
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/10/2018	QGV006190	Client Not Found		ADVANCED MICRO DEVICES INC	B	269,788.00	100.00	10.00	90.00	50.0	45.00
09/27/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	1,015,001.60	150.00	10.00	140.00	50.0	70.00
09/27/2018	QGV006216	Client Not Found		BJS WHSL CLUB INC COM	S	-13,500.00	20.00	10.00	10.00	50.0	5.00
09/27/2018	QGV006216	Client Not Found		MARRIOTT VACATIONS WORLDWIDE CORPOR S		-112,010.00	20.00	10.00	10.00	50.0	5.00
09/27/2018	QGV006216	Client Not Found		MARRIOTT VACATIONS WORLDWIDE CORPOR B		113,000.00	20.00	10.00	10.00	50.0	5.00
09/27/2018	QGV006216	Client Not Found		PETIQ INC COM CL A	S	-65,600.00	20.00	10.00	10.00	50.0	5.00
09/27/2018	QGV006216	Client Not Found		PETIQ INC COM CL A	S	-12,300.00	20.00	10.00	10.00	50.0	5.00
09/27/2018	QGV006216	Client Not Found		RA MED SYS INC COM	S	-30,800.00	20.00	10.00	10.00	50.0	5.00
09/27/2018	QGV006216	Client Not Found		SELECT INTERIOR CONCEPTS INC CL A	S	-105,384.09	195.06	10.00	185.06	50.0	92.53
09/27/2018	QGV006216	Client Not Found		SUTRO BIOPHARMA INC COM	S	-184,124.84	122.00	10.00	112.00	50.0	56.00
09/27/2018	QGV006216	Client Not Found		SUTRO BIOPHARMA INC COM	B	151,200.00	100.00	10.00	90.00	50.0	45.00
09/28/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	636,000.00	100.00	10.00	90.00	50.0	45.00
09/28/2018	QGV006216	Client Not Found		ALDEYRA THERAPEUTICS INC COM	S	-11,112.88	20.00	10.00	10.00	50.0	5.00
09/28/2018	QGV006216	Client Not Found		ALDEYRA THERAPEUTICS INC COM	B	15,125.00	0.00	10.00	-10.00	50.0	-5.00
09/28/2018	QGV006216	Client Not Found		ALDEYRA THERAPEUTICS INC COM	S	-216,701.16	156.00	10.00	146.00	50.0	73.00
09/28/2018	QGV006216	Client Not Found		ENTASIS THERAPEUTICS HOLDINGS INC C S		-21,934.00	20.00	10.00	10.00	50.0	5.00
09/28/2018	QGV006216	Client Not Found		NIGHTSTAR THERAPEUTICS LIMITED SHS	S	-84,075.00	44.25	10.00	34.25	50.0	17.13
09/28/2018	QGV006216	Client Not Found		ROSEHILL RES INC CL A	S	-3,050.35	20.00	10.00	10.00	50.0	5.00
09/28/2018	QGV006216	Client Not Found		SELECT INTERIOR CONCEPTS INC CL A	S	-53,750.00	100.00	10.00	90.00	50.0	45.00
09/28/2018	QGV006216	Client Not Found		SIRIUS XM HOLDINGS INC COM	S	-76,200.00	100.00	10.00	90.00	50.0	45.00
10/01/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	474,000.00	100.00	10.00	90.00	50.0	45.00
10/01/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	S	-476,209.50	100.00	10.00	90.00	50.0	45.00
10/02/2018	QGV006216	Client Not Found		SELECT INTERIOR CONCEPTS INC CL A	S	-78,530.25	100.00	10.00	90.00	50.0	45.00
10/03/2018	QGV006216	Client Not Found		J C PENNEY CO INC	B	26,100.00	100.00	10.00	90.00	50.0	45.00
10/03/2018	QGV006216	Client Not Found		SVMK INC COM	S	-1,257.15	20.00	10.00	10.00	50.0	5.00
10/03/2018	QGV006216	Client Not Found		UPWORK INC COM	S	-142,600.00	100.00	10.00	90.00	50.0	45.00
10/04/2018	QGV006216	Client Not Found		GUARDANT HEALTH INC COM	S	-13,875.00	20.00	10.00	10.00	50.0	5.00
10/04/2018	QGV006216	Client Not Found		MALVERN BANCORP INC COM	S	-210,007.00	100.00	10.00	90.00	50.0	45.00
10/04/2018	QGV006216	Client Not Found		MONMOUTH REAL ESTATE INVT CORP COM	S	-111,900.00	75.00	10.00	65.00	50.0	32.50
10/04/2018	QGV006216	Client Not Found		PAIN THERAPEUTICS INC COM NEW	S	-32,035.00	150.00	10.00	140.00	50.0	70.00
10/04/2018	QGV006216	Client Not Found		WILLDAN GROUP INC COM	B	7,320.00	20.00	10.00	10.00	50.0	5.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2018	QGV006216	Client Not Found		WILLDAN GROUP INC COM	S	-39,681.98	20.00	10.00	10.00 50.0 5.00
10/05/2018	QGV006216	Client Not Found		ATRICURE INC COM	S	-73,025.00	23.00	10.00	13.00 50.0 6.50
10/05/2018	QGV006216	Client Not Found		ELASTIC B V BEARER AND REGISTERED S	S	-52,500.00	20.00	10.00	10.00 50.0 5.00
10/05/2018	QGV006216	Client Not Found		KEMPHARM INC COM	S	-42,016.50	100.00	10.00	90.00 50.0 45.00
10/09/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	546,700.00	100.00	10.00	90.00 50.0 45.00
10/10/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	809,364.00	100.00	10.00	90.00 50.0 45.00
10/10/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	-809,364.00	-100.00	-10.00	-90.00 50.0 -45.00 C
10/10/2018	QGV006216	Client Not Found		CONAGRA FOODS INC	S	-21,417.30	20.00	10.00	10.00 50.0 5.00
10/11/2018	QGV006216	Client Not Found		ALLOGENE THERAPEUTICS INC COM	S	-110,150.00	100.00	10.00	90.00 50.0 45.00
10/11/2018	QGV006216	Client Not Found		AUDENTES THERAPEUTICS INC COM	S	-271,703.00	100.00	10.00	90.00 50.0 45.00
10/11/2018	QGV006216	Client Not Found		LIVENT CORPORATION COMMON STOCK	S	-475,388.55	200.00	10.00	190.00 50.0 95.00
10/11/2018	QGV006216	Client Not Found		LIVENT CORPORATION COMMON STOCK	S	-717,252.90	200.00	10.00	190.00 50.0 95.00
10/12/2018	QGV006216	Client Not Found		ANAPLAN INC COM	S	-29,100.00	20.00	10.00	10.00 50.0 5.00
10/16/2018	QGV006216	Client Not Found		GRAF INDL CORP UNIT 1 COM & 1 RED W S	S	-2,250,000.00	200.00	10.00	190.00 50.0 95.00
10/17/2018	QGV006216	Client Not Found		CPI AEROSTRUCTURES INC COM NEW	S	-6,030.00	20.00	10.00	10.00 50.0 5.00
10/18/2018	QGV006216	Client Not Found		ACLARIS THERAPEUTICS INC COM	S	-120,905.40	105.00	10.00	95.00 50.0 47.50
10/18/2018	QGV006216	Client Not Found		OPGEN INC COM NEW	S	-41,442.00	200.00	10.00	190.00 50.0 95.00
10/18/2018	QGV006216	Client Not Found		RITE AID CORPORATION	S	-52,000.00	100.00	10.00	90.00 50.0 45.00
10/18/2018	QGV006216	Client Not Found		VOXELJET AG ADS	S	-58,590.00	150.00	10.00	140.00 50.0 70.00
10/19/2018	QGV006216	Client Not Found		AKOUSTIS TECHNOLOGIES INC COM	S	-227,647.80	200.00	10.00	190.00 50.0 95.00
10/19/2018	QGV006216	Client Not Found		AKOUSTIS TECHNOLOGIES INC COM	S	-132,794.55	200.00	10.00	190.00 50.0 95.00
10/19/2018	QGV006216	Client Not Found		INTERNAP CORPORATION COMMON STOCK	S	-4,632.55	20.00	10.00	10.00 50.0 5.00
10/19/2018	QGV006216	Client Not Found		INTERNAP CORPORATION COMMON STOCK	S	-212,170.79	229.00	10.00	219.00 50.0 109.50
10/19/2018	QGV006216	Client Not Found		KRYSTAL BIOTECH INC COM	S	-49,671.26	23.00	10.00	13.00 50.0 6.50
10/19/2018	QGV006216	Client Not Found		LOGICBIO THERAPEUTICS INC COM	S	-42,840.00	40.00	10.00	30.00 50.0 15.00
10/22/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	B	1,116,751.50	200.00	10.00	190.00 50.0 95.00
10/22/2018	QGV006216	Client Not Found		ADVANCED MICRO DEVICES INC	S	-1,126,948.50	200.00	10.00	190.00 50.0 95.00
10/23/2018	QGV006216	Client Not Found		EIGER BIOPHARMACEUTICALS INC COM	S	-27,684.75	25.00	10.00	15.00 50.0 7.50
10/23/2018	QGV006216	Client Not Found		TILRAY INC COM	B	105,000.00	100.00	10.00	90.00 50.0 45.00
10/24/2018	QGV006216	Client Not Found		PROTEOSTASIS THERAPEUTICS INC COM	S	-76,837.28	104.00	10.00	94.00 50.0 47.00
10/24/2018	QGV006216	Client Not Found		SIRIUS XM HOLDINGS INC COM	S	-57,500.00	100.00	10.00	90.00 50.0 45.00
10/24/2018	QGV006216	Client Not Found		TILRAY INC COM	S	-115,070.00	100.00	10.00	90.00 50.0 45.00
10/25/2018	QGV006216	Client Not Found		STONECO LTD REGISTERED SHS -A- ISIN S	S	-6,100.00	20.00	10.00	10.00 50.0 5.00
10/25/2018	QGV006216	Client Not Found		STONECO LTD REGISTERED SHS -A- ISIN S	S	-4,575.00	20.00	10.00	10.00 50.0 5.00
10/25/2018	QGV006216	Client Not Found		YETI HLDGS INC COM	S	-22,405.50	20.00	10.00	10.00 50.0 5.00
09/27/2018	QGV006224	DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM			S	-27,000.00	20.00	10.00	10.00 50.0 5.00
09/27/2018	QGV006224	DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM			S	-40,500.00	20.00	10.00	10.00 50.0 5.00
09/27/2018	QGV006224	DAVIS FAMILY OFFICE, PETIQ INC COM CL A			S	-12,300.00	20.00	10.00	10.00 50.0 5.00
09/27/2018	QGV006224	DAVIS FAMILY OFFICE, PETIQ INC COM CL A			S	-16,400.00	20.00	10.00	10.00 50.0 5.00
09/27/2018	QGV006224	DAVIS FAMILY OFFICE, RA MED SYS INC COM			S	-12,100.00	20.00	10.00	10.00 50.0 5.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/28/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	715,499.40	100.00	10.00	90.00	50.0	45.00
09/28/2018	QGV006224	DAVIS FAMILY OFFICE, ALDEYRA THERAPEUTICS INC COM	S	-90,986.71	65.50	10.00	55.50	50.0	27.75
09/28/2018	QGV006224	DAVIS FAMILY OFFICE, ALDEYRA THERAPEUTICS INC COM	B	66,750.00	50.00	10.00	40.00	50.0	20.00
09/28/2018	QGV006224	DAVIS FAMILY OFFICE, NIGHTSTAR THERAPEUTICS LIMITED SHS	S	-7,600.00	20.00	10.00	10.00	50.0	5.00
09/28/2018	QGV006224	DAVIS FAMILY OFFICE, ROSEHILL RES INC CL A	S	-6,100.70	20.00	10.00	10.00	50.0	5.00
10/01/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	613,000.00	100.00	10.00	90.00	50.0	45.00
10/02/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	615,000.00	100.00	10.00	90.00	50.0	45.00
10/03/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	563,924.00	100.00	10.00	90.00	50.0	45.00
10/03/2018	QGV006224	DAVIS FAMILY OFFICE, GW PHARMACEUTICALS PLC ADS	S	-495,000.00	100.00	10.00	90.00	50.0	45.00
10/03/2018	QGV006224	DAVIS FAMILY OFFICE, GW PHARMACEUTICALS PLC ADS	B	483,988.20	100.00	10.00	90.00	50.0	45.00
10/03/2018	QGV006224	DAVIS FAMILY OFFICE, UPWORK INC COM	S	-9,200.00	20.00	10.00	10.00	50.0	5.00
10/04/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	1,137,000.00	200.00	10.00	190.00	50.0	95.00
10/04/2018	QGV006224	DAVIS FAMILY OFFICE, GUARDANT HEALTH INC COM	S	-11,793.75	20.00	10.00	10.00	50.0	5.00
10/04/2018	QGV006224	DAVIS FAMILY OFFICE, MONMOUTH REAL ESTATE INVT CORP COM	S	-74,600.00	50.00	10.00	40.00	50.0	20.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	1,127,000.00	200.00	10.00	190.00	50.0	95.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, ATRICURE INC COM	S	-15,875.00	20.00	10.00	10.00	50.0	5.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, AZURE POWER GLOBAL LIMITED BEARER S S	S	-37,500.00	30.00	10.00	20.00	50.0	10.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, CHASERG TECHNOLOGY ACQUISITIOIN COR S	S	-1,004.00	20.00	10.00	10.00	50.0	5.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, COLLIER CREEK HLDGS UNIT 1 CL A & 1 S	S	-8,144.00	20.00	10.00	10.00	50.0	5.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, ELASTIC B V BEARER AND REGISTERED S S	S	-3,500.00	20.00	10.00	10.00	50.0	5.00
10/05/2018	QGV006224	DAVIS FAMILY OFFICE, ELASTIC B V BEARER AND REGISTERED S S	S	-7,000.00	20.00	10.00	10.00	50.0	5.00
10/09/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	1,093,400.00	200.00	10.00	190.00	50.0	95.00
10/10/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	809,364.00	100.00	10.00	90.00	50.0	45.00
10/10/2018	QGV006224	DAVIS FAMILY OFFICE, CONAGRA FOODS INC	S	-8,031.49	20.00	10.00	10.00	50.0	5.00
10/10/2018	QGV006224	DAVIS FAMILY OFFICE, CONAGRA FOODS INC	B	362,498.00	100.00	10.00	90.00	50.0	45.00
10/10/2018	QGV006224	DAVIS FAMILY OFFICE, CONAGRA FOODS INC	S	-374,802.75	105.00	10.00	95.00	50.0	47.50
10/11/2018	QGV006224	DAVIS FAMILY OFFICE, AUDENTES THERAPEUTICS INC COM	S	-62,491.69	23.00	10.00	13.00	50.0	6.50
10/11/2018	QGV006224	DAVIS FAMILY OFFICE, AUDENTES THERAPEUTICS INC COM	S	-27,170.30	20.00	10.00	10.00	50.0	5.00
10/11/2018	QGV006224	DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK	S	-717,252.90	200.00	10.00	190.00	50.0	95.00
10/11/2018	QGV006224	DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK	S	-273,556.92	200.00	10.00	190.00	50.0	95.00
10/11/2018	QGV006224	DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK	S	-8,340.15	20.00	10.00	10.00	50.0	5.00
10/11/2018	QGV006224	DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK	S	717,252.90	-200.00	-10.00	-190.00	50.0	-95.00 C
10/12/2018	QGV006224	DAVIS FAMILY OFFICE, ANAPLAN INC COM	S	-7,275.00	20.00	10.00	10.00	50.0	5.00
10/12/2018	QGV006224	DAVIS FAMILY OFFICE, DD3 ACQUISITION CORP UNIT 1 COM & 1 S	S	-30,060.00	30.00	10.00	20.00	50.0	10.00
10/12/2018	QGV006224	DAVIS FAMILY OFFICE, DD3 ACQUISITION CORP UNIT 1 COM & 1 S	S	-1,002.00	20.00	10.00	10.00	50.0	5.00
10/17/2018	QGV006224	DAVIS FAMILY OFFICE, TILRAY INC COM	S	-150,000.00	100.00	10.00	90.00	50.0	45.00
10/17/2018	QGV006224	DAVIS FAMILY OFFICE, TILRAY INC COM	B	145,000.00	100.00	10.00	90.00	50.0	45.00
10/18/2018	QGV006224	DAVIS FAMILY OFFICE, ACLARIS THERAPEUTICS INC COM	S	-3,454.44	20.00	10.00	10.00	50.0	5.00
10/18/2018	QGV006224	DAVIS FAMILY OFFICE, VOXELJET AG ADS	S	-4,394.25	20.00	10.00	10.00	50.0	5.00
10/19/2018	QGV006224	DAVIS FAMILY OFFICE, AKOUSTIS TECHNOLOGIES INC COM	S	-8,431.40	20.00	10.00	10.00	50.0	5.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/19/2018	QGV006224	DAVIS FAMILY OFFICE, INTERNAP CORPORATION COMMON STOCK	S	-6,485.57	20.00	10.00	10.00	50.0	5.00
10/19/2018	QGV006224	DAVIS FAMILY OFFICE, KRYSTAL BIOTECH INC COM	S	-6,478.86	20.00	10.00	10.00	50.0	5.00
10/22/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-1,126,948.50	200.00	10.00	190.00	50.0	95.00
10/22/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	1,116,751.50	200.00	10.00	190.00	50.0	95.00
10/23/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-487,636.00	100.00	10.00	90.00	50.0	45.00
10/23/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	486,000.00	100.00	10.00	90.00	50.0	45.00
10/23/2018	QGV006224	DAVIS FAMILY OFFICE, EIGER BIOPHARMACEUTICALS INC COM	S	-48,725.16	100.00	10.00	90.00	50.0	45.00
10/23/2018	QGV006224	DAVIS FAMILY OFFICE, EIGER BIOPHARMACEUTICALS INC COM	B	40,538.94	39.00	10.00	29.00	50.0	14.50
10/24/2018	QGV006224	DAVIS FAMILY OFFICE, PROTEOSTASIS THERAPEUTICS INC COM	B	3,262.50	20.00	10.00	10.00	50.0	5.00
10/24/2018	QGV006224	DAVIS FAMILY OFFICE, PROTEOSTASIS THERAPEUTICS INC COM	S	-5,430.33	20.00	10.00	10.00	50.0	5.00
10/24/2018	QGV006224	DAVIS FAMILY OFFICE, TILRAY INC COM	S	-115,070.00	100.00	10.00	90.00	50.0	45.00
10/24/2018	QGV006224	DAVIS FAMILY OFFICE, TILRAY INC COM	S	115,070.00	-100.00	-10.00	-90.00	50.0	-45.00 C
10/25/2018	QGV006224	DAVIS FAMILY OFFICE, STONECO LTD REGISTERED SHS -A- ISIN S	S	-1,525.00	20.00	10.00	10.00	50.0	5.00
10/25/2018	QGV006224	DAVIS FAMILY OFFICE, STONECO LTD REGISTERED SHS -A- ISIN S	S	-3,050.00	20.00	10.00	10.00	50.0	5.00
10/25/2018	QGV006224	DAVIS FAMILY OFFICE, YETI HLDGS INC COM	S	-141,327.00	82.00	10.00	72.00	50.0	36.00
10/25/2018	QGV006224	DAVIS FAMILY OFFICE, YETI HLDGS INC COM	B	104,136.44	62.00	10.00	52.00	50.0	26.00
10/25/2018	QGV006224	DAVIS FAMILY OFFICE, YETI HLDGS INC COM	S	-8,617.50	20.00	10.00	10.00	50.0	5.00
10/26/2018	QGV006224	DAVIS FAMILY OFFICE, GAMIDA CELL LTD REGISTERED SHS ISIN S	S	-8,100.00	20.00	10.00	10.00	50.0	5.00
10/24/2018	QGW012205	AZRAK, RUBEN AURORA CANNABIS INC COM ISIN#CA0515 B	B	21,759.90	180.00	10.00	170.00	50.0	85.00
10/24/2018	QGW012205	AZRAK, RUBEN CRONOS GROUP INC COM ISIN#CA22717L1 B	B	23,129.10	180.00	10.00	170.00	50.0	85.00
10/23/2018	QGW014847	ALBANESE, JOHN HAIN CELESTIAL GROUP INC	S	-181,103.25	450.00	10.00	440.00	50.0	220.00
10/26/2018	QGW014847	ALBANESE, JOHN HAIN CELESTIAL GROUP INC	S	-119,316.00	300.00	10.00	290.00	50.0	145.00
10/01/2018	QGW015075	RARE COIN GALLERIES, NEWELL BRANDS INC SHS	B	516,487.50	1,500.00	10.00	1,490.00	50.0	745.00
10/05/2018	QGW036352	LAWRENCE ADAMS LTD LARGO RES LTD COM NEW ISIN#CA517103 B	B	5,900.00	100.00	10.00	90.00	50.0	45.00
10/08/2018	QGW036352	LAWRENCE ADAMS LTD BANK OF AMERICA CORP	S	-90,159.60	125.00	10.00	115.00	50.0	57.50
10/08/2018	QGW036352	LAWRENCE ADAMS LTD LARGO RES LTD COM NEW ISIN#CA517103 B	B	40,990.00	375.00	10.00	365.00	50.0	182.50
10/16/2018	QGW036352	LAWRENCE ADAMS LTD BANK OF AMERICA CORP	S	-420,007.50	600.00	10.00	590.00	50.0	295.00
10/24/2018	QGW036352	LAWRENCE ADAMS LTD LARGO RES LTD COM NEW ISIN#CA517103 B	B	31,832.00	300.00	10.00	290.00	50.0	145.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$1,583,635.93</b>	<b>\$13,257.81</b>	<b>\$1,330.00</b>	<b>\$11,927.81</b>		<b>\$5,963.91</b>

**PRODUCT CATEGORY: BONDS**

10/11/2018	QGV001076	CREDIT SUISSE BANK NEW YORK MELLON CORP DEP SHS R S	S	-2,017,500.00	1,500.00	10.00	1,490.00	50.0	745.00
10/11/2018	QGV001076	CREDIT SUISSE BANK NEW YORK MELLON CORP DEP SHS R S	S	2,017,500.00	-1,500.00	-10.00	-1,490.00	50.0	-745.00 C
10/11/2018	QGV001076	CREDIT SUISSE BANK NEW YORK MELLON CORP DEP SHS R S	S	-2,017,500.00	1,500.00	10.00	1,490.00	50.0	745.00
10/17/2018	QGV001076	CREDIT SUISSE CITIGROUP INC DEP SHS 1/25TH PFD SE S	S	-3,045,000.00	3,750.00	10.00	3,740.00	50.0	1,870.00
10/18/2018	QGV001076	CREDIT SUISSE CITIGROUP INC DEP SHS 1/25TH PFD SE S	S	-2,028,750.00	3,750.00	10.00	3,740.00	50.0	1,870.00
<b>* BONDS SUBTOTAL *</b>				<b>\$-7,091,250.00</b>	<b>\$9,000.00</b>	<b>\$30.00</b>	<b>\$8,970.00</b>		<b>\$4,485.00</b>

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018		FEE COUNT - 8		0.00	61.47	0.00	61.47	50.0	30.75
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$61.47	\$0.00	\$61.47		\$30.75
		*** BROKER TRANSACTIONS TOTAL ***		\$-5,507,614.07	\$22,319.28	\$1,360.00	\$20,959.28		\$10,479.66

ADJUSTMENTS

10/31/2018		COMMISSION INCOME: ALDX		0.00	0.00	0.00	0.00		122.51
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: CORE TECH		0.00	0.00	0.00	0.00		-119.80
10/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-134.06

BROKER COMMISSION TOTALS

Broker Transactions	\$10,479.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-134.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-27.90
SIPC Assessment	\$-55.80

Check Amount	\$10,261.90
Year-to-date Earnings	\$109,446.33