

COMMISSION STATEMENT

December 15, 2018

JOYCE BRISKIN-D009

919 Arizona Ash

BROKER #: D009

BRANCH: DMO

San Antonio, TX 78232

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/30/2018 (None)	VARIOUS, BRISKIN	MFT HARTFORD MUTUAL FUIND	B	0.00	15.05	0.00	15.05	90.0	13.55
12/05/2018 00000112453	STRUNK, VANESSA	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	1.78	0.00	1.78	90.0	1.60
12/05/2018 00000112453	STRUNK, VANESSA	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.89	0.00	2.89	90.0	2.60
12/05/2018 00000113382	CUPIT-BROUGHT,	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	1.74	0.00	1.74	90.0	1.57
12/05/2018 00000113382	CUPIT-BROUGHT,	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.67	0.00	2.67	90.0	2.40
12/05/2018 00000113382	CUPIT-BROUGHT,	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.74	0.00	2.74	90.0	2.47
12/05/2018 00000113382	CUPIT-BROUGHT,	MFT VALUE A	B	0.00	2.68	0.00	2.68	90.0	2.41
12/05/2018 00000113664	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.26	0.00	8.26	90.0	7.43
12/05/2018 00000113664	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.88	0.00	16.88	90.0	15.19
12/05/2018 00000113664	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.05	0.00	15.05	90.0	13.55
12/05/2018 00000113664	JACQUELINE J	MFT VALUE A	B	0.00	14.57	0.00	14.57	90.0	13.11
12/05/2018 00000113666	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.26	0.00	8.26	90.0	7.43
12/05/2018 00000113666	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.88	0.00	16.88	90.0	15.19
12/05/2018 00000113666	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.05	0.00	15.05	90.0	13.55
12/05/2018 00000113666	JACQUELINE J	MFT VALUE A	B	0.00	14.57	0.00	14.57	90.0	13.11
12/05/2018 00000113668	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.26	0.00	8.26	90.0	7.43
12/05/2018 00000113668	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.88	0.00	16.88	90.0	15.19
12/05/2018 00000113668	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.05	0.00	15.05	90.0	13.55
12/05/2018 00000113668	JACQUELINE J	MFT VALUE A	B	0.00	14.57	0.00	14.57	90.0	13.11
12/05/2018 00000113670	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.26	0.00	8.26	90.0	7.43
12/05/2018 00000113670	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.88	0.00	16.88	90.0	15.19
12/05/2018 00000113670	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.05	0.00	15.05	90.0	13.55
12/05/2018 00000113670	JACQUELINE J	MFT VALUE A	B	0.00	14.57	0.00	14.57	90.0	13.11
12/05/2018 00000114566	JACQUELINE J	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	2.39	0.00	2.39	90.0	2.15
12/05/2018 00000114566	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	1.54	0.00	1.54	90.0	1.39
12/05/2018 00000114566	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.35	0.00	2.35	90.0	2.12
12/05/2018 00000114566	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.76	0.00	2.76	90.0	2.48
12/05/2018 00000114566	JACQUELINE J	MFT VALUE A	B	0.00	2.80	0.00	2.80	90.0	2.52
12/05/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	0.79	0.00	0.79	90.0	0.71
12/05/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG INVESTMENT INCOME	B	0.00	0.35	0.00	0.35	90.0	0.32
12/05/2018 00000188402	DIAZ, LETICIA	MFT VALUE A	B	0.00	0.89	0.00	0.89	90.0	0.80

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/05/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	97.74	0.00	97.74	90.0	87.97
12/05/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	57.31	0.00	57.31	90.0	51.58
12/05/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INVESTMENT INCOME	B	0.00	92.18	0.00	92.18	90.0	82.96
12/05/2018 00000188591	SMITH, DANIEL	MFT THORNBURG CORE GROWTH CL A	B	0.00	118.60	0.00	118.60	90.0	106.74
12/05/2018 00000188591	SMITH, DANIEL	MFT VALUE A	B	0.00	88.65	0.00	88.65	90.0	79.79
12/05/2018 00203705761	MORGAN, FRANK	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	12.25	0.00	12.25	90.0	11.03
12/05/2018 00203705761	MORGAN, FRANK	MFT THORNBURG INVESTMENT INCOME	B	0.00	18.37	0.00	18.37	90.0	16.53
12/05/2018 00203705761	MORGAN, FRANK	MFT THORNBURG CORE GROWTH CL A	B	0.00	20.41	0.00	20.41	90.0	18.37
12/05/2018 00203705761	MORGAN, FRANK	MFT VALUE A	B	0.00	19.61	0.00	19.61	90.0	17.65
12/05/2018 00203706218	LAMBERT,	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	29.97	0.00	29.97	90.0	26.97
12/05/2018 00203706218	LAMBERT,	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	13.61	0.00	13.61	90.0	12.25
12/05/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	16.21	0.00	16.21	90.0	14.59
12/05/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG INVESTMENT INCOME	B	0.00	48.96	0.00	48.96	90.0	44.06
12/05/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.37	0.00	15.37	90.0	13.83
12/05/2018 00203715420	LEWIS, ELIZABETH	MFT VALUE A	B	0.00	14.47	0.00	14.47	90.0	13.02
12/05/2018 05400002892	LAMBERT JT TEN,	MFT THORNBURG INVESTMENT INCOME	B	0.00	98.44	0.00	98.44	90.0	88.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,024.61	\$0.00	\$1,024.61		\$922.15
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$1,024.61	\$0.00	\$1,024.61		\$922.15

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018	: 2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$922.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$897.15
Year-to-date Commissions	\$84,139.25