

**COMMISSION STATEMENT**  
**April 15, 2018**

RIX SMITH-D063

BROKER #: D063  
BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None)	VARIOUS, SMITH RIX	MFT OPPENHEIMER	B	0.00	8.82	0.00	8.82	48.0	4.23
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$8.82</b>	<b>\$0.00</b>	<b>\$8.82</b>	<b>\$4.23</b>
				<b>*** DIRECT TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$8.82</b>	<b>\$0.00</b>	<b>\$8.82</b>	<b>\$4.23</b>

SPLIT TRANSACTIONS

BROKER: RIX/RANDY SMITH/SMITH-D081 #80

04/06/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,843.22	48.43	0.00	48.43	23.25
				<b>* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *</b>	<b>\$4,843.22</b>	<b>\$48.43</b>	<b>\$0.00</b>	<b>\$48.43</b>	<b>\$23.25</b>
				<b>*** SPLIT TRANSACTIONS TOTAL ***</b>	<b>\$4,843.22</b>	<b>\$48.43</b>	<b>\$0.00</b>	<b>\$48.43</b>	<b>\$23.25</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$4.23
Split Transactions	\$23.25
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$27.48</b>
<b>Year-to-date Commissions</b>	<b>\$8,218.12</b>