

COMMISSION STATEMENT
September 30, 2018
SARAH BRAUNSHAUSEN-D062
 11727 58th Street North

BROKER #: D062
 BRANCH: DMG

Lake Elmo, MN 55042

COMMISSION STATEMENT for SARAH BRAUNSHAUSEN-D062

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

09/24/2018 152901006	BRAUNSHAUSEN,	MF	AMERICAN BALANCED FUND-A	B	-2,987.55	0.00	5.00	-5.00	60.0	-3.00
09/14/2018 646107186	ROUSE, CHELSEY	MF	AMCAP FUND-F1	S	122.45	0.00	2.50	-2.50	60.0	-1.50
09/14/2018 646107186	ROUSE, CHELSEY	MF	FUNDAMENTAL INVESTORS FUND	S	82.45	0.00	2.50	-2.50	60.0	-1.50
08/31/2018 646230641	CHRISTENSEN,	MF	AMCAP FUND-F1	S	102.45	0.00	2.50	-2.50	60.0	-1.50
09/14/2018 646230641	CHRISTENSEN,	MF	AMERICAN FDS WA MUTUAL FD CL F2	S	87.45	0.00	2.50	-2.50	60.0	-1.50
09/14/2018 646230641	CHRISTENSEN,	MF	THE GROWTH FUND OF AMERICA-F2	S	167.45	0.00	2.50	-2.50	60.0	-1.50
* MUTUAL FUNDS SUBTOTAL *					\$-2,425.30	\$0.00	\$17.50	\$-17.50		\$-10.50

PRODUCT CATEGORY: STOCKS

09/18/2018 368253889	ORTH, DAVID	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	3,183.17	22.00	15.00	7.00	60.0	4.20
09/18/2018 368253889	ORTH, DAVID	S	CARDINAL HEALTH INC	B	3,504.55	22.00	15.00	7.00	60.0	4.20
09/18/2018 368253889	ORTH, DAVID	S	WELLS FARGO & CO	S	-3,237.72	22.00	15.00	7.00	60.0	4.20
09/18/2018 368362869	TRUMM, TONY	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	2,287.43	22.00	15.00	7.00	60.0	4.20
09/18/2018 368362869	TRUMM, TONY	S	ROYAL DUTCH SHELL PLC-SPON ADR	B	4,108.65	22.00	15.00	7.00	60.0	4.20
09/18/2018 368362869	TRUMM, TONY	S	WELLS FARGO & CO	S	-2,699.52	22.00	15.00	7.00	60.0	4.20
09/18/2018 368437852	BRAUNSHAUSEN,	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	2,727.05	18.00	15.00	3.00	60.0	1.80
09/18/2018 368447928	BRAUNSHAUSEN, SARAH	S	CARDINAL HEALTH INC	B	2,964.60	18.00	15.00	3.00	60.0	1.80
09/26/2018 368464132	FOLIE, EMILY	S	CARDINAL HEALTH INC	B	2,182.05	22.00	15.00	7.00	60.0	4.20
09/26/2018 368464132	FOLIE, EMILY	S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	3,142.95	22.00	15.00	7.00	60.0	4.20
09/26/2018 368464132	FOLIE, EMILY	S	WELLS FARGO & CO	S	-3,210.72	22.00	15.00	7.00	60.0	4.20
09/14/2018 646117444	ROBSON, JANE	S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,476.35	22.00	15.00	7.00	60.0	4.20
* STOCKS SUBTOTAL *					\$21,428.84	\$256.00	\$180.00	\$76.00		\$45.60

PRODUCT CATEGORY: MISCELLANEOUS

09/25/2018 a03076832	BRAUNSHAUSEN-D062,	MI	MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	60.0	6.00
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$10.00	\$0.00	\$10.00		\$6.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc076832	BRAUNSHAUSEN 076832	MFT	AMCAP FUND-F1	B	0.00	0.45	0.00	0.45	60.0	0.27
09/21/2018 mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.82	0.00	0.82	60.0	0.49
09/21/2018 mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN BALANCED FUND-F1	B	0.00	0.58	0.00	0.58	60.0	0.35
09/21/2018 mfc076832	BRAUNSHAUSEN 076832	MFT	AMERICAN MUTUAL FUND-F1	B	0.00	0.49	0.00	0.49	60.0	0.29

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09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT CAPITAL INCOME BUILDER-A	B	0.00	4.37	0.00	4.37	60.0	2.62
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	5.76	0.00	5.76	60.0	3.46
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	5.74	0.00	5.74	60.0	3.44
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	5.37	0.00	5.37	60.0	3.22
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.29	0.00	0.29	60.0	0.17
09/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.63	0.00	0.63	60.0	0.38
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMCAP FUND-F1	B	0.00	0.44	0.00	0.44	60.0	0.26
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMERICAN BALANCED FUND-A	B	0.00	0.44	0.00	0.44	60.0	0.26
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMERICAN BALANCED FUND-F1	B	0.00	0.55	0.00	0.55	60.0	0.33
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMERICAN MUTUAL FUND-F1	B	0.00	0.47	0.00	0.47	60.0	0.28
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT CAPITAL INCOME BUILDER-A	B	0.00	4.11	0.00	4.11	60.0	2.47
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	5.46	0.00	5.46	60.0	3.28
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	5.42	0.00	5.42	60.0	3.25
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	5.09	0.00	5.09	60.0	3.05
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.28	0.00	0.28	60.0	0.17
09/24/2018	mfc076832	BRAUNSHAUSEN 076832 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.59	0.00	0.59	60.0	0.35
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$47.35	\$0.00	\$47.35		\$28.39
*** CLEARING TRANSACTIONS TOTAL ***				\$19,003.54	\$313.35	\$197.50	\$115.85		\$69.49

DIRECT TRANSACTIONSPRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/14/2018	VM15006698	BRAUNSHAUSEN, VAT PACIFIC LIFE VA 69448B191	B	0.00	208.96	0.00	208.96	60.0	125.38
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$208.96	\$0.00	\$208.96		\$125.38
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$208.96	\$0.00	\$208.96		\$125.38

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$194.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9.87
Year-to-date Commissions	\$2,465.34