

COMMISSION STATEMENT

August 31, 2018

JOY LAWRENCE-D198

BROKER #: D198

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/24/2018	214776560	DUNLAP, TERRY	MF	AMERICAN BALANCED FUND-A	S	100.00	4.88	2.50	2.38	0.0	0.00
08/14/2018	706850224	TORRES, MARGARITA	MF	BALANCED PORTFOLIO-C	B	-6,187.55	0.00	5.00	-5.00	0.0	0.00
				* MUTUAL FUNDS SUBTOTAL *		\$-6,087.55	\$4.88	\$7.50	\$-2.62		\$0.00

PRODUCT CATEGORY: MISCELLANEOUS

08/15/2018	a03974464	LAWRENCE-D198, JOY	MI	MUTUAL FUND MISC FEE 706850224	S	0.00	10.00	0.00	10.00	0.0	0.00
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$10.00	\$0.00	\$10.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	9.60	0.00	9.60	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN GROWTH AND	B	0.00	0.86	0.00	0.86	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN GROWTH AND	B	0.00	0.23	0.00	0.23	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN SMALL CAP	B	0.00	2.95	0.00	2.95	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMCAP FUND-A	B	0.00	3.53	0.00	3.53	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMCAP FUND-A	B	0.00	4.22	0.00	4.22	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.08	0.00	1.08	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.70	0.00	1.70	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.33	0.00	3.33	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.78	0.00	3.78	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	1.98	0.00	1.98	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	7.28	0.00	7.28	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	8.70	0.00	8.70	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	34.99	0.00	34.99	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	39.74	0.00	39.74	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	44.40	0.00	44.40	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	67.33	0.00	67.33	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	BLK 091928846	B	0.00	6.36	0.00	6.36	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.07	0.00	1.07	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.34	0.00	1.34	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.53	0.00	3.53	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.62	0.00	3.62	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	2.05	0.00	2.05	0.0	0.00

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL WORLD BOND FUND-A	B	0.00	2.19	0.00	2.19	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.04	0.00	2.04	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.79	0.00	2.79	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT EHV 277902813	B	0.00	4.61	0.00	4.61	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.87	0.00	0.87	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FEDERATED KAUFMANN SMALL CAP FD	B	0.00	2.10	0.00	2.10	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FEDERATED KAUFMANN FUND	B	0.00	2.32	0.00	2.32	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.30	0.00	2.30	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	2.04	0.00	2.04	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	2.76	0.00	2.76	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	2.28	0.00	2.28	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.59	0.00	3.59	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	17.41	0.00	17.41	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	17.88	0.00	17.88	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	24.80	0.00	24.80	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.79	0.00	2.79	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH PORTFOLIO-C	B	0.00	2.41	0.00	2.41	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT HARTFORD GLOBAL CAP	B	0.00	2.45	0.00	2.45	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A	B	0.00	1.95	0.00	1.95	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A	B	0.00	7.90	0.00	7.90	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PIMCO ALL ASSET A	B	0.00	0.71	0.00	0.71	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746444504	B	0.00	5.56	0.00	5.56	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746444108	B	0.00	2.43	0.00	2.43	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746444108	B	0.00	2.57	0.00	2.57	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746802693	B	0.00	0.47	0.00	0.47	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746745108	B	0.00	2.20	0.00	2.20	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 74677Q109	B	0.00	1.29	0.00	1.29	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM ASSET ALLOCATION	B	0.00	4.07	0.00	4.07	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM DIVERSIFIED INCOME TRUST	B	0.00	1.33	0.00	1.33	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	1.99	0.00	1.99	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.01	0.00	1.01	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT SMALLCAP WORLD FUND-A	B	0.00	1.24	0.00	1.24	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT SMALLCAP WORLD FUND-A	B	0.00	1.40	0.00	1.40	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.01	0.00	2.01	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.93	0.00	2.93	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.58	0.00	3.58	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.51	0.00	2.51	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.64	0.00	2.64	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.51	0.00	4.51	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.35	0.00	2.35	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INVESTMENT COMPANY OF	B	0.00	2.49	0.00	2.49	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT TRANSAMERICA ASSET ALLOC	B	0.00	2.06	0.00	2.06	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.51	0.00	7.51	0.0	0.00
08/21/2018	mfc974464	LAWRENCE JOY 974464 MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.43	0.00	2.43	0.0	0.00

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$422.44	\$0.00	\$422.44		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***		\$-6,087.55	\$437.32	\$7.50	\$429.82		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00