



COMMISSION STATEMENT

November 26, 2018

ALAN FISHMAN
3 Buckley Place
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/12/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 05066338392	BUY 1 Units @39.8200						
		* MUTUAL FUNDS SUBTOTAL *	<u>\$25.00</u>	<u>\$1.25</u>	<u>\$0.00</u>	<u>\$1.25</u>		<u>\$0.94</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$25.00</u>	<u>\$1.25</u>	<u>\$0.00</u>	<u>\$1.25</u>		<u>\$0.94</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.94
Year-to-date Earnings	\$19,231.43