



All Companies
COMMISSION STATEMENT
January 02, 2019

12/28/2018
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JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/27/2018	TRAILS	DELAWARE - GENERAL	B	8.34	8.34	0.00	8.34	70.0	5.84
12/03/2018	00002032720	QUALMAN, LESLIE	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	5.95
12/17/2018	00060669572	GAYLORD, CYNTHIA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.13	0.00	2.13	1.49
12/17/2018	00060669573	GAYLORD, THURMOND	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.13	0.00	2.13	1.49
12/17/2018	00088220301	MINTON, SESSILY/KAIN A.	COLLEGE 2030 FUND-529A	B	100.00	3.50	0.00	3.50	2.45
12/17/2018	00088220301	MINTON, SESSILY/KAIN A.	THE INVESTMENT COMPANY OF AMERICA-5 B		50.00	2.50	0.00	2.50	1.75
12/17/2018	00088662742	BLUMKA, RONALD	AMERICAN FUNDS BALANCED FD	B	500.00	18.75	0.00	18.75	13.13
12/17/2018	00089743823	MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	1.75
12/17/2018	00089743823	MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	2.45
12/17/2018	00089743830	MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	1.75
12/17/2018	00089743830	MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	2.45
* MUTUAL FUNDS SUBTOTAL *				\$1,258.34	\$57.85	\$0.00	\$57.85		\$40.50
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	99.57	99.57	0.00	99.57	70.0	69.70
* STOCKS SUBTOTAL *				\$99.57	\$99.57	\$0.00	\$99.57		\$69.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/19/2018	TRAILS	-JOHN HANCOCK	B	93.78	93.78	0.00	93.78	70.0	65.65
12/27/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	358.72	358.72	0.00	358.72	70.0	251.10
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	202.35	202.35	0.00	202.35	70.0	141.65
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	184.28	184.28	0.00	184.28	70.0	129.00
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	77.99	77.99	0.00	77.99	70.0	54.59
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	183.98	183.98	0.00	183.98	70.0	128.79
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	176.86	176.86	0.00	176.86	70.0	123.80
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	73.74	73.74	0.00	73.74	70.0	51.62
* VARIABLE ANNUITIES SUBTOTAL *				\$1,351.70	\$1,351.70	\$0.00	\$1,351.70		\$946.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	23.33	0.00	23.33	70.0	16.34
01/02/2019	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	185.04	0.00	185.04	70.0	129.53
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	6.74	0.00	6.74	70.0	4.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$215.11	\$0.00	\$215.11		\$150.59
*** BROKER TRANSACTIONS TOTAL ***				\$2,709.61	\$1,724.23	\$0.00	\$1,724.23		\$1,206.99

SPLIT TRANSACTIONS**BROKER: ROTHSCHILD/POOL #9629**

12/06/2018	MANKUS, RICHARD/CYNTHIA	PRUDENTIAL INSURANCE CO.	B	176.83	2.12	0.00	2.12	70.0	1.48
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	8.55	0.00	8.55	70.0	5.99
12/18/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	1.07	0.00	1.07	70.0	0.75
12/17/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	1.65	0.00	1.65	70.0	1.16
12/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B		62.50	1.03	0.00	1.03	70.0	0.72
12/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	1.03	0.00	1.03	70.0	0.72
12/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B		62.50	1.03	0.00	1.03	70.0	0.72
12/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	1.03	0.00	1.03	70.0	0.72
12/17/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.50	0.00	1.50	70.0	1.05
12/17/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.50	0.00	1.50	70.0	1.05
12/17/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.50	0.00	1.50	70.0	1.05
12/17/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
12/17/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.54	0.00	0.54	70.0	0.38
12/17/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
12/17/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	110.00	2.81	0.00	2.81	70.0	1.97
12/14/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		253.85	4.57	0.00	4.57	70.0	3.20
12/14/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		261.55	7.85	0.00	7.85	70.0	5.50
12/14/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		253.85	7.61	0.00	7.61	70.0	5.33
12/17/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.28	0.00	1.28	70.0	0.90
12/17/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C	B	100.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C	B	100.00	0.60	0.00	0.60	70.0	0.42
12/17/2018	00085777916 BABAO,	CAPITAL INCOME BUILDER-529C	B	100.00	0.60	0.00	0.60	70.0	0.42

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SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

ROMMEL/RAPHAEL									
12/17/2018	00085777916	BABAO, THE BOND FUND OF AMERICA-529C	B	100.00	0.60	0.00	0.60	70.0	0.42
ROMMEL/RAPHAEL									
12/17/2018	00085777916	BABAO, THE INCOME FUND OF AMERICA-529C	B	50.00	0.30	0.00	0.30	70.0	0.21
ROMMEL/RAPHAEL									
12/17/2018	00085777916	BABAO, U.S. GOVERNMENT SECURITIES FUND-529 B		100.00	0.60	0.00	0.60	70.0	0.42
ROMMEL/RAPHAEL									
12/20/2018	00087441316	DAMRON/HUPP, COLLEGE ENROLLMENT FUND-529A	B	50.00	0.60	0.00	0.60	70.0	0.42
MARTHA/ABIGAIL									
12/03/2018	08191365977	ATCHISON, STEPHEN MFS LIFETIME 2025 FUND-B	B	100.00	2.40	0.00	2.40	70.0	1.68
* ROTHSCHILD/POOL SUBTOTAL *				\$2,561.08	\$55.11	\$0.00	\$55.11		\$38.60
*** SPLIT TRANSACTIONS TOTAL ***				\$2,561.08	\$55.11	\$0.00	\$55.11		\$38.60

ADJUSTMENTS

01/02/2019	POSTAGE: FEDEX CHARGES	0.00	0.00	0.00	0.00	-95.85
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-95.85

BROKER COMMISSION TOTALS

Broker Transactions	\$1,209.56
Split Transactions	\$36.03
Override Transactions	\$0.00
Adjustments	\$-95.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,149.74
Year-to-date Earnings	\$1,149.74