

COMMISSION STATEMENT

October 31, 2018

CHIP KLEINBROOK-D037
 2817 South Milford Road
 Suite 101
 Highland, MI 48357

BROKER #: D037
 BRANCH: DMN

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/23/2018	122517107	ANDERSON, KIMBERLY	MF	FIDELITY ADV CONSUMER STAPLES	S	97.45	0.90	2.50	-1.60	80.0	-1.28
10/23/2018	122517107	ANDERSON, KIMBERLY	MF	FIDELITY ADVISOR FUNDS	S	97.45	0.90	2.50	-1.60	80.0	-1.28
10/23/2018	122517107	ANDERSON, KIMBERLY	MF	FIDELITY ADVISOR HEALTH CARE FD	S	97.45	0.90	2.50	-1.60	80.0	-1.28
10/15/2018	338848525	CHOV, FEA	MF	NUVEEN REAL ASSET INCOME C	S	3,522.77	35.15	2.50	32.65	80.0	26.12
10/15/2018	338853924	CHOV, LY	MF	NUVEEN REAL ASSET INCOME C	S	3,522.77	35.15	2.50	32.65	80.0	26.12
10/15/2018	338869557	CHOV, PAUL	MF	NUVEEN REAL ASSET INCOME C	S	3,547.85	35.40	2.50	32.90	80.0	26.32
10/15/2018	368369859	HESSLING, MARGARET	MF	FIDELITY ADV ASSET MANAGER	B	-7,277.55	0.00	5.00	-5.00	80.0	-4.00
10/02/2018	368371275	KONCHEL, ROSE MARIE	MF	JOHN HANCOCK STRATEGIC GROWTH A	B	-1,277.55	0.00	5.00	-5.00	80.0	-4.00
10/04/2018	368435084	SHERMAN, CAROL	MF	PIMCO INCOME FD CL C	S	43,002.45	430.00	2.50	427.50	80.0	342.00
10/04/2018	368437753	SOCHA, ELEANOR	MF	AB WEALTH PRES STRAT FD C	B	-4,277.55	0.00	5.00	-5.00	80.0	-4.00
10/01/2018	646509508	ROUSSEYTTTEE, DAVE	MF	PUTNAM ABSOLUTE RETURN 300 FD	S	227.45	2.25	2.50	-0.25	80.0	-0.20
* MUTUAL FUNDS SUBTOTAL *						\$41,282.99	\$540.65	\$35.00	\$505.65		\$404.52

PRODUCT CATEGORY: MISCELLANEOUS

10/03/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368371275	S	0.00	20.00	0.00	20.00	80.0	16.00
10/05/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368437753	S	0.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 338848525	S	0.00	5.00	0.00	5.00	80.0	4.00
10/16/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 338869557	S	0.00	5.00	0.00	5.00	80.0	4.00
10/16/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 338853924	S	0.00	5.00	0.00	5.00	80.0	4.00
10/16/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368369859	S	0.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 122517107	S	0.00	5.00	0.00	5.00	80.0	4.00
10/24/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 122517107	S	0.00	5.00	0.00	5.00	80.0	4.00
10/24/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 122517107	S	0.00	5.00	0.00	5.00	80.0	4.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$90.00	\$0.00	\$90.00		\$72.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/08/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.29	0.00	6.29	80.0	5.03
10/19/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	8.68	0.00	8.68	80.0	6.94
10/19/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	19.26	0.00	19.26	80.0	15.41
10/19/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	53.72	0.00	53.72	80.0	42.98
10/19/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	31.34	0.00	31.34	80.0	25.07
10/19/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	62.68	0.00	62.68	80.0	50.14

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.80	0.00	0.80	80.0	0.64
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.90	0.00	0.90	80.0	0.72
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.54	0.00	1.54	80.0	1.23
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.57	0.00	11.57	80.0	9.26
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.02	0.00	2.02	80.0	1.62
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	14.94	0.00	14.94	80.0	11.95
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.29	0.00	1.29	80.0	1.03
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.60	0.00	2.60	80.0	2.08
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.10	0.00	2.10	80.0	1.68
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.72	0.00	3.72	80.0	2.98
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	2.15	0.00	2.15	80.0	1.72
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	14.65	0.00	14.65	80.0	11.72
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	72.46	0.00	72.46	80.0	57.97
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.80	0.00	1.80	80.0	1.44
10/19/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.44	0.00	2.44	80.0	1.95
10/19/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.30	0.00	1.30	80.0	1.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.23	0.00	8.23	80.0	6.58
10/19/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.12	0.00	15.12	80.0	12.10
10/19/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	30.24	0.00	30.24	80.0	24.19
10/19/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.88	0.00	5.88	80.0	4.70
10/19/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.14	0.00	1.14	80.0	0.91
10/19/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.36	0.00	2.36	80.0	1.89
10/19/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.87	0.00	6.87	80.0	5.50
10/19/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.33	0.00	4.33	80.0	3.46
10/19/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.70	0.00	5.70	80.0	4.56
10/19/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.71	0.00	5.71	80.0	4.57
10/19/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.69	0.00	6.69	80.0	5.35
10/19/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	35.64	0.00	35.64	80.0	28.51
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	26.14	0.00	26.14	80.0	20.91
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	34.06	0.00	34.06	80.0	27.25
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	71.64	0.00	71.64	80.0	57.31
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.05	0.00	0.05	80.0	0.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.62	0.00	14.62	80.0	11.70
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	37.26	0.00	37.26	80.0	29.81
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	5.76	0.00	5.76	80.0	4.61
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.10	0.00	1.10	80.0	0.88
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	1.30	0.00	1.30	80.0	1.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.16	0.00	19.16	80.0	15.33
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.40	0.00	3.40	80.0	2.72
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	8.76	0.00	8.76	80.0	7.01
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.24	0.00	1.24	80.0	0.99
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.64	0.00	12.64	80.0	10.11
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	21.06	0.00	21.06	80.0	16.85
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.29	0.00	4.29	80.0	3.43

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10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	21.79	0.00	21.79	80.0	17.43
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	23.22	0.00	23.22	80.0	18.58
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	36.66	0.00	36.66	80.0	29.33
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	24.13	0.00	24.13	80.0	19.30
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	73.60	0.00	73.60	80.0	58.88
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.79	0.00	3.79	80.0	3.03
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	31.30	0.00	31.30	80.0	25.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	70.04	0.00	70.04	80.0	56.03
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	2.33	0.00	2.33	80.0	1.86
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.26	0.00	2.26	80.0	1.81
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.27	0.00	16.27	80.0	13.02
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	17.57	0.00	17.57	80.0	14.06
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.22	0.00	17.22	80.0	13.78
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	20.40	0.00	20.40	80.0	16.32
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.09	0.00	24.09	80.0	19.27
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	38.09	0.00	38.09	80.0	30.47
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.21	0.00	5.21	80.0	4.17
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.23	0.00	16.23	80.0	12.98
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	4.08	0.00	4.08	80.0	3.26
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	16.42	0.00	16.42	80.0	13.14
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	35.29	0.00	35.29	80.0	28.23
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	16.61	0.00	16.61	80.0	13.29
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	27.69	0.00	27.69	80.0	22.15
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	31.97	0.00	31.97	80.0	25.58
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	64.08	0.00	64.08	80.0	51.26
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	25.63	0.00	25.63	80.0	20.50
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.09	0.00	1.09	80.0	0.87
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.36	0.00	3.36	80.0	2.69
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.73	0.00	11.73	80.0	9.38
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	18.22	0.00	18.22	80.0	14.58
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	57.00	0.00	57.00	80.0	45.60
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	18.58	0.00	18.58	80.0	14.86
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	56.73	0.00	56.73	80.0	45.38
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.81	0.00	5.81	80.0	4.65
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	23.38	0.00	23.38	80.0	18.70
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	42.13	0.00	42.13	80.0	33.70
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.33	0.00	5.33	80.0	4.26
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.07	0.00	10.07	80.0	8.06
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	22.76	0.00	22.76	80.0	18.21
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	62.61	0.00	62.61	80.0	50.09
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	11.69	0.00	11.69	80.0	9.35
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	13.66	0.00	13.66	80.0	10.93
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.61	0.00	1.61	80.0	1.29
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	56.22	0.00	56.22	80.0	44.98

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10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	23.15	0.00	23.15	80.0	18.52
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	42.22	0.00	42.22	80.0	33.78
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.48	0.00	10.48	80.0	8.38
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.20	0.00	7.20	80.0	5.76
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.24	0.00	6.24	80.0	4.99
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.58	0.00	6.58	80.0	5.26
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.17	0.00	9.17	80.0	7.34
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.53	0.00	13.53	80.0	10.82
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	21.15	0.00	21.15	80.0	16.92
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	27.11	0.00	27.11	80.0	21.69
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	36.37	0.00	36.37	80.0	29.10
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	78.48	0.00	78.48	80.0	62.78
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	80.49	0.00	80.49	80.0	64.39
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	81.96	0.00	81.96	80.0	65.57
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.96	0.00	0.96	80.0	0.77
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.24	0.00	7.24	80.0	5.79
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	42.24	0.00	42.24	80.0	33.79
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	83.97	0.00	83.97	80.0	67.18
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.35	0.00	6.35	80.0	5.08
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	16.14	0.00	16.14	80.0	12.91
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	56.63	0.00	56.63	80.0	45.30
10/19/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.44	0.00	3.44	80.0	2.75
10/19/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.65	0.00	0.65	80.0	0.52
10/19/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	56.26	0.00	56.26	80.0	45.01
10/19/2018	mfc587889	KLEINBROOK 587889	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	7.77	0.00	7.77	80.0	6.22
10/19/2018	mfc587889	KLEINBROOK 587889	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	8.04	0.00	8.04	80.0	6.43
10/19/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	6.30	0.00	6.30	80.0	5.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.40	0.00	1.40	80.0	1.12
10/19/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.79	0.00	0.79	80.0	0.63
10/19/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-A	B	0.00	3.29	0.00	3.29	80.0	2.63
10/19/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	31.67	0.00	31.67	80.0	25.34
10/19/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	5.00	0.00	5.00	80.0	4.00
10/19/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	4.97	0.00	4.97	80.0	3.98
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	1.20	0.00	1.20	80.0	0.96
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	18.60	0.00	18.60	80.0	14.88
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	53.46	0.00	53.46	80.0	42.77
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	56.20	0.00	56.20	80.0	44.96
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	73.63	0.00	73.63	80.0	58.90
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	179.55	0.00	179.55	80.0	143.64
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	384.58	0.00	384.58	80.0	307.66
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	15.32	0.00	15.32	80.0	12.26
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.10	0.00	0.10	80.0	0.08
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	1.15	0.00	1.15	80.0	0.92

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	1.15	0.00	1.15	80.0	0.92
10/19/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	1.15	0.00	1.15	80.0	0.92
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	50.46	0.00	50.46	80.0	40.37
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	51.05	0.00	51.05	80.0	40.84
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	51.98	0.00	51.98	80.0	41.58
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	44.65	0.00	44.65	80.0	35.72
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	72.12	0.00	72.12	80.0	57.70
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.30	0.00	0.30	80.0	0.24
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.68	0.00	4.68	80.0	3.74
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	16.44	0.00	16.44	80.0	13.15
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.56	0.00	15.56	80.0	12.45
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	47.24	0.00	47.24	80.0	37.79
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	3.01	0.00	3.01	80.0	2.41
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.53	0.00	3.53	80.0	2.82
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	20.38	0.00	20.38	80.0	16.30
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.62	0.00	5.62	80.0	4.50
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.01	0.00	0.01	80.0	0.01
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.01	0.00	0.01	80.0	0.01
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.05	0.00	0.05	80.0	0.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.05	0.00	0.05	80.0	0.04
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.08	0.00	0.08	80.0	0.06
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.08	0.00	0.08	80.0	0.06
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.08	0.00	0.08	80.0	0.06
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.08	0.00	0.08	80.0	0.06
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.11	0.00	0.11	80.0	0.09
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.11	0.00	0.11	80.0	0.09
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.19	0.00	0.19	80.0	0.15
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.19	0.00	0.19	80.0	0.15
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.62	0.00	0.62	80.0	0.50
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746870104	B	0.00	0.62	0.00	0.62	80.0	0.50
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.66	0.00	3.66	80.0	2.93
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	10.65	0.00	10.65	80.0	8.52
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.28	0.00	0.28	80.0	0.22
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.65	0.00	1.65	80.0	1.32
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.43	0.00	2.43	80.0	1.94
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.00	0.00	7.00	80.0	5.60
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.30	0.00	9.30	80.0	7.44
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.77	0.00	9.77	80.0	7.82
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.26	0.00	18.26	80.0	14.61
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	34.55	0.00	34.55	80.0	27.64
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	60.84	0.00	60.84	80.0	48.67
10/19/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	66.25	0.00	66.25	80.0	53.00
10/19/2018	mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.62	0.00	0.62	80.0	0.50
10/19/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	17.81	0.00	17.81	80.0	14.25

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.65	0.00	3.65	80.0	2.92
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.42	0.00	9.42	80.0	7.54
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.35	0.00	7.35	80.0	5.88
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.37	0.00	7.37	80.0	5.90
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	29.26	0.00	29.26	80.0	23.41
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	3.37	0.00	3.37	80.0	2.70
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.81	0.00	4.81	80.0	3.85
10/19/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	10.70	0.00	10.70	80.0	8.56
10/19/2018 mfc587889	KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.09	0.00	8.09	80.0	6.47
10/19/2018 mfc587889	KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.83	0.00	6.83	80.0	5.46
10/19/2018 mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.28	0.00	1.28	80.0	1.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4,094.48	\$0.00	\$4,094.48		\$3,275.57
*** CLEARING TRANSACTIONS TOTAL ***				\$41,282.99	\$4,725.13	\$35.00	\$4,690.13		\$3,752.09

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/10/2018 000000000221299	FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
10/15/2018 00089905459	CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
10/15/2018 00520235862	COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
10/15/2018 00520236563	COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
10/15/2018 01001400917	ELWELL, ROBERT	MF AIM DIVERSIFIED DIVIDEND FUND -	B	255.67	2.56	0.00	2.56	80.0	2.05
10/15/2018 03900215447	NISSETYLEJ	MF FIDELITY ADVISOR BALANCED CLASS B	B	417.00	12.51	0.00	12.51	80.0	10.01
10/15/2018 03900236339	MCNALLY, JAY	MF FIDELITY ADVISOR BALANCED CLASS B	B	6,604.97	198.15	0.00	198.15	80.0	158.52
* MUTUAL FUNDS SUBTOTAL *				\$8,027.64	\$220.72	\$0.00	\$220.72		\$176.58

PRODUCT CATEGORY: FIXED ANNUITIES

10/15/2018 (None)	FURMAN, VICKI	FA MIDLAND NATL FIA	B	0.00	482.86	0.00	482.86	80.0	386.29
* FIXED ANNUITIES SUBTOTAL *				\$0.00	\$482.86	\$0.00	\$482.86		\$386.29

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None)	VARIOUS, KLEINBROOK	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	145.78	0.00	145.78	80.0	116.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$145.78	\$0.00	\$145.78		\$116.62
*** DIRECT TRANSACTIONS TOTAL ***				\$8,027.64	\$849.36	\$0.00	\$849.36		\$679.49

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS TRANSACTIONS</u>									
<u>BROKER: #0</u>									
10/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018		: V KLEINBROOK FINGERPRINTS		0.00	0.00	0.00	0.00		-40.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-225.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-225.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,431.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-225.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,206.58
Year-to-date Commissions	\$81,063.93