

COMMISSION STATEMENT

October 15, 2018

 DARYL ARNETT-D363
 11736 Marigold Circle

 BROKER #: D363
 BRANCH: D00

Fishers, IN 46038

COMMISSION STATEMENT for DARYL ARNETT-D363

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/24/2018	00067872236	MACRY, RACHAEL	MF	NEW PERSPECTIVE FUND-A	B	50.00	0.50	0.00	0.50	87.5	0.44
09/24/2018	00067872236	MACRY, RACHAEL	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	0.50	0.00	0.50	87.5	0.44
09/24/2018	00067872239	GALLOWAY, BRANDY	MF	WASHINGTON MUTUAL INVESTORS	B	40.00	0.40	0.00	0.40	87.5	0.35
09/24/2018	00067872246	LAND, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	0.25	0.00	0.25	87.5	0.22
09/24/2018	00067872261	ROYAL, PAMELA	MF	WASHINGTON MUTUAL INVESTORS	B	35.00	0.35	0.00	0.35	87.5	0.31
09/24/2018	00067872709	SMITH, THAD	MF	FUNDAMENTAL INVESTORS-A	B	100.00	1.00	0.00	1.00	87.5	0.88
09/24/2018	00067872710	TOMEY, BRENDA	MF	NEW PERSPECTIVE FUND-A	B	5.00	0.05	0.00	0.05	87.5	0.04
09/24/2018	00067872710	TOMEY, BRENDA	MF	THE GROWTH FUND OF AMERICA-A	B	10.00	0.10	0.00	0.10	87.5	0.09
09/24/2018	00067872710	TOMEY, BRENDA	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	87.5	0.09
09/24/2018	00067872713	SMITH, CARLIE	MF	WASHINGTON MUTUAL INVESTORS	B	100.00	1.00	0.00	1.00	87.5	0.88
* MUTUAL FUNDS SUBTOTAL *					\$425.00	\$4.25	\$0.00	\$4.25	\$3.74		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
10/04/2018	BRK112958	ADV INST F	MFT	8504AFRKD	B	0.00	292.06	0.00	292.06	87.5	255.55
10/04/2018	BRK117283	LOGAN LIMITED	MFT	8504AFRKD	B	0.00	119.91	0.00	119.91	87.5	104.92
10/04/2018	BRK123032	WORK INC	MFT	8504AFRKD	B	0.00	399.26	0.00	399.26	87.5	349.35
10/04/2018	BRK127359	SADLER BROS	MFT	8504AFRKD	B	0.00	683.52	0.00	683.52	87.5	598.08
10/04/2018	IRK119882	KAHN'S FINE WINES	MFT	8504AFRKD	B	0.00	588.34	0.00	588.34	87.5	514.80
10/04/2018	IRK85321	FISHERS PEDIATRIC	MFT	8504AFRKD	B	0.00	2,821.27	0.00	2,821.27	87.5	2,468.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$4,904.36	\$0.00	\$4,904.36	\$4,291.31		
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
09/28/2018	(None)	PATHFINDER INC	VAT	LINCOLN NATL LIFE	B	0.00	220.38	0.00	220.38	87.5	192.83
09/28/2018	(None)	MILLENNIUM HEALTH &	VAT	LINCOLN NATL LIFE	B	0.00	371.72	0.00	371.72	87.5	325.26
09/28/2018	(None)	POSTAL FLEET SERVICE	VAT	LINCOLN NATL LIFE	B	0.00	7,685.85	0.00	7,685.85	87.5	6,725.12
09/30/2018	(None)	CHIASSON LIV TRUST,	VAT	TALCOTT RESOLUTION	B	0.00	127.14	0.00	127.14	87.5	111.25
09/30/2018	(None)	ARNETT, MITZI	VAT	TALCOTT RESOLUTION	B	0.00	105.33	0.00	105.33	87.5	92.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$8,510.42	\$0.00	\$8,510.42	\$7,446.62		
*** DIRECT TRANSACTIONS TOTAL ***					\$425.00	\$13,419.03	\$0.00	\$13,419.03	\$11,741.67		

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/04/2018	OTHER: SERIES 26 STUDY MATERIAL	0.00	0.00	0.00	0.00	165.00
	* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$165.00
	*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$165.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,741.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$165.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11,906.67
Year-to-date Commissions	\$33,614.76