

# COMMISSION STATEMENT December 15, 2018

JAMES EARP-D380

 BROKER #: D380  
 BRANCH: DMN

, TX

## COMMISSION STATEMENT for JAMES EARP-D380

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/30/2018 (None)	VARIOUS, EARP-D380	MFT JOHN HANCOCK FREEDOM	B	0.00	21.69	0.00	21.69	90.0	19.52
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$21.69	\$0.00	\$21.69		\$19.52
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>									
12/07/2018 (None)	VARIOUS, EARP-D380	VAT MANULIFE	B	0.00	120.52	0.00	120.52	90.0	108.47
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$120.52	\$0.00	\$120.52		\$108.47
		*** DIRECT TRANSACTIONS TOTAL ***		\$0.00	\$142.21	\$0.00	\$142.21		\$127.99
<b><u>ADJUSTMENTS TRANSACTIONS</u></b>									
<b><u>BROKER: #0</u></b>									
12/15/2018		: 2019 STATE BRANCH FEE		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$127.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$102.99</b>
<b>Year-to-date Commissions</b>	<b>\$128.42</b>