



COMMISSION STATEMENT

September 24, 2018

JEFFRY PROUL
 950 Glenn Drive
 Suite 100
 Folsom, CA 95630

BROKER #: 940
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/17/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @20.3200	200.00	5.50	0.00	5.50	75.0	4.13
09/17/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @20.3200	55.20	1.52	0.00	1.52	75.0	1.14
09/17/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	3.44	0.00	3.44	75.0	2.58
09/17/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	3.44	0.00	3.44	75.0	2.58
09/17/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 9 Units @52.1100	479.17	4.79	0.00	4.79	75.0	3.59
09/17/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 11 Units @52.1100	563.35	5.63	0.00	5.63	75.0	4.22
09/17/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @52.1100	150.00	1.50	0.00	1.50	75.0	1.13
09/17/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 10 Units @52.1100	521.26	5.21	0.00	5.21	75.0	3.91
09/17/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 10 Units @52.1100	523.73	5.24	0.00	5.24	75.0	3.93
09/17/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	EUROPACIFIC GROWTH FUND-A BUY 2 Units @52.1100	87.50	0.88	0.00	0.88	75.0	0.66
09/07/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	3.71	0.19	0.00	0.19	75.0	0.14
09/07/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	3.71	0.19	0.00	0.19	75.0	0.14
09/07/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	-3.71	-0.19	0.00	-0.19	75.0	-0.14
09/07/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	-3.71	-0.19	0.00	-0.19	75.0	-0.14
09/11/2018	***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.3800	50.00	2.50	0.00	2.50	75.0	1.88
09/11/2018	***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.3800	50.00	2.50	0.00	2.50	75.0	1.88



COMMISSION STATEMENT

September 24, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/11/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	93.75	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 03900220125	BUY 7 Units @14.3800						
09/11/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	645.91	32.30	0.00	32.30	75.0	24.23
	ACCOUNT #: 03900220125	BUY 45 Units @14.3800						
09/13/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	10.67	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 03900221916	BUY 1 Units @14.4400						
09/13/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	10.67	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 03900221916	BUY 1 Units @14.4400						
09/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.6300						
09/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.6300						
09/13/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.7500						
09/13/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.7500						
09/18/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	27.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: 00086454950	BUY 2 Units @13.4200						
09/18/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00086454950	BUY 4 Units @13.4200						
09/17/2018	***-**-4496 - HARRY, KIRK	THE GROWTH FUND OF AMERICA-A	479.17	4.79	0.00	4.79	75.0	3.59
	ACCOUNT #: 00058823513	BUY 9 Units @55.5000						
09/17/2018	***-**-3875 - HARRY, JOHN	THE GROWTH FUND OF AMERICA-A	563.35	5.63	0.00	5.63	75.0	4.22
	ACCOUNT #: 00058823514	BUY 10 Units @55.5000						
09/17/2018	***-**-9346 - KEY-BELL INC	THE GROWTH FUND OF AMERICA-A	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00058823515	BUY 3 Units @55.5000						
09/17/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	521.26	5.21	0.00	5.21	75.0	3.91
	ACCOUNT #: 00058823519	BUY 9 Units @55.5000						
09/17/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	523.74	5.24	0.00	5.24	75.0	3.93
	ACCOUNT #: 00058836715	BUY 9 Units @55.5000						
09/17/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	37.50	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00086889126	BUY 1 Units @55.5000						



COMMISSION STATEMENT

September 24, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/17/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @57.5100						
09/17/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @57.5100						
* MUTUAL FUNDS SUBTOTAL *			\$6,393.63	\$116.62	\$0.00	\$116.62		\$87.53
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
09/10/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.47	0.00	1.47	75.0	1.10
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
09/10/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 03900221014	BUY 0 Units @0.0000						
09/10/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 03900221916	BUY 0 Units @0.0000						
09/10/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2025-CL A	0.00	28.86	0.00	28.86	75.0	21.65
	ACCOUNT #: 03900227458	BUY 0 Units @0.0000						
09/10/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 03900228796	BUY 0 Units @0.0000						
09/10/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 03900233790	BUY 0 Units @0.0000						
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
09/10/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: 03900219123	BUY 0 Units @0.0000						
09/10/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 03900185962	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 03900185999	BUY 0 Units @0.0000						
09/10/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186010	BUY 0 Units @0.0000						
09/10/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.83	0.00	0.83	75.0	0.62
	ACCOUNT #: 03900186012	BUY 0 Units @0.0000						
09/10/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.69	0.00	1.69	75.0	1.27
	ACCOUNT #: 03900186019	BUY 0 Units @0.0000						
09/10/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						
09/10/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
09/10/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.52	0.00	0.52	75.0	0.39
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						
09/10/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
09/10/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	2.62	0.00	2.62	75.0	1.97
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
09/10/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
09/10/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
09/10/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
09/10/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	63.41	0.00	63.41	75.0	47.56
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$106.14	\$0.00	\$106.14		\$79.64
*** BROKER TRANSACTIONS TOTAL ***			\$6,393.63	\$222.76	\$0.00	\$222.76		\$167.17



BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$167.17
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$167.17
						Year-to-date Earnings		\$50,859.17