

8:20 AM

06/07/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
SICKLES, SCOTT A.-D060						
Credit	04/21/2018	FINR...			-55.00	-55.00
Bill	04/30/2018	COM...		04/30/2018	75.61	75.61
Credit	05/01/2018	E&O			-120.00	-120.00
Bill	05/15/2018	COM...		05/15/2018	120.40	120.40
Bill	05/31/2018	COM...		05/31/2018	86.37	86.37
Credit	06/01/2018	E&O			-120.00	-120.00
Total SICKLES, SCOTT A.-D060					-12.62	-12.62
TOTAL					-12.62	-12.62

COMMISSION STATEMENT May 31, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.24	0.00	0.24	75.0	0.18
05/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.66	0.00	0.66	75.0	0.50
05/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	2.07	0.00	2.07	75.0	1.55
05/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	5.73	0.00	5.73	75.0	4.30
05/21/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.96	0.00	1.96	75.0	1.47
05/21/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	5.44	0.00	5.44	75.0	4.08
05/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	1.02	0.00	1.02	75.0	0.77
05/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	2.96	0.00	2.96	75.0	2.22
05/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.05	0.00	0.05	75.0	0.04
05/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.15	0.00	0.15	75.0	0.11
05/21/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	3.09	0.00	3.09	75.0	2.32
05/21/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	8.65	0.00	8.65	75.0	6.49
05/21/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	5.84	0.00	5.84	75.0	4.38
05/21/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	16.34	0.00	16.34	75.0	12.26
05/21/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	5.63	0.00	5.63	75.0	4.22
05/21/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	15.79	0.00	15.79	75.0	11.84
05/21/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	1.33	0.00	1.33	75.0	1.00
05/21/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	3.78	0.00	3.78	75.0	2.84
05/21/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.28	0.00	0.28	75.0	0.21
05/21/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.76	0.00	0.76	75.0	0.57
05/21/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	75.0	0.11
05/21/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.42	0.00	0.42	75.0	0.32
05/21/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	6.16	0.00	6.16	75.0	4.62
05/21/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	17.38	0.00	17.38	75.0	13.04
05/21/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.24	0.00	2.24	75.0	1.68
05/21/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.27	0.00	6.27	75.0	4.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$114.39	\$0.00	\$114.39		\$85.82
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$114.39	\$0.00	\$114.39		\$85.82

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/21/2018	000000000701021	BRANNON, MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
05/21/2018	000000012372684	GRIEGO, MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
05/21/2018	000019817879772	BRANNON, MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
05/21/2018	000060177110343	BRANNON, MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
05/25/2018	00082895917	JOHNSON, KRISTY MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
05/25/2018	00082895917	JOHNSON, KRISTY MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
05/25/2018	00082895917	JOHNSON, KRISTY MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
05/25/2018	00084222411	VAUGHN, JOE MF CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	75.0	2.81
05/25/2018	00084222411	VAUGHN, JOE MF CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	75.0	2.81
05/23/2018	00089635528	BAUTISTA, MOISES MF FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	75.0	7.50
05/18/2018	04000032754	SPIVA, HOLLY MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
05/18/2018	04000032754	SPIVA, HOLLY MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
05/18/2018	04000032754	SPIVA, HOLLY MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
05/18/2018	04000032754	SPIVA, HOLLY MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
05/18/2018	04000032754	SPIVA, HOLLY MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
05/18/2018	04000032754	SPIVA, HOLLY MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
05/15/2018	04000638082	PEREZ, GEORGIA MF THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$1,920.00	\$34.05	\$0.00	\$34.05		\$25.55
*** DIRECT TRANSACTIONS TOTAL ***				\$1,920.00	\$34.05	\$0.00	\$34.05		\$25.55

ADJUSTMENTS

05/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$111.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$86.37
Year-to-date Commissions	\$3,053.68