



COMMISSION STATEMENT

November 05, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.0800						
10/30/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.5100						
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/01/2018	***-**-26IR - CORDONE, EILEEN	LANDMARK VARIABLE ANNUITY	150.00	10.80	0.00	10.80	70.0	7.56
	ACCOUNT #: 119193LK8	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$150.00	\$10.80	\$0.00	\$10.80		\$7.56
<u>PRODUCT CATEGORY: RIA</u>								
10/31/2018	***-**-216I - COOK, CHARLES	SYMMETRY RIA	27,349.55	68.38	0.00	68.38	70.0	47.87
	ACCOUNT #: 598603	BUY 0 Units @2.7349						
10/31/2018	***-**-673I - CZUBIK,	SYMMETRY RIA	89,653.77	224.14	0.00	224.14	70.0	156.90
	ACCOUNT #: 598877	BUY 0 Units @8.9653						
10/31/2018	***-**-51FB - HANSEN, DEBRA	SYMMETRY RIA	4,487.42	11.22	0.00	11.22	70.0	7.85
	ACCOUNT #: 601213	BUY 0 Units @0.4487						
10/31/2018	***-**-52FB - LIBBY, KENNETH	SYMMETRY RIA	72,409.08	181.02	0.00	181.02	70.0	126.71
	ACCOUNT #: 601226	BUY 0 Units @7.2409						
10/31/2018	***-**-81FB - BRANT, CHRIS	SYMMETRY RIA	51,746.70	129.37	0.00	129.37	70.0	90.56
	ACCOUNT #: 602506	BUY 0 Units @5.1746						
10/31/2018	***-**-41FB - SHELDON, DOUGLAS	SYMMETRY RIA	1,055.43	2.64	0.00	2.64	70.0	1.85
	ACCOUNT #: 609208	BUY 0 Units @0.1055						
10/31/2018	***-**-674I - RAMSEY, MARION	SYMMETRY RIA	33,929.21	84.82	0.00	84.82	70.0	59.37
	ACCOUNT #: 645187	BUY 0 Units @3.3929						
10/31/2018	***-**-43FB - NEW ENGLAND	SYMMETRY RIA	80,501.65	201.25	0.00	201.25	70.0	140.88
	ACCOUNT #: SYM22	BUY 0 Units @8.0501						
* RIA SUBTOTAL *			\$361,132.81	\$902.84	\$0.00	\$902.84		\$631.99



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
11/05/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$100.00	\$2.50	\$0.00	\$2.50		\$1.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/05/2018	***-**-962I - MATERA, PATRICK	METLIFE VA TRAILS	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: 073137278	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.73	\$0.00	\$0.73		\$0.51
		*** BROKER TRANSACTIONS TOTAL ***	\$361,582.81	\$916.87	\$0.00	\$916.87		\$641.81

BROKER COMMISSION TOTALS

Broker Transactions	\$641.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$641.81
Year-to-date Earnings	\$40,351.91