

COMMISSION STATEMENT

October 15, 2018

 NATHAN STRAESSLE-D343
 25 West High Street

 BROKER #: D343
 BRANCH: DMN

Lawrenceburg, IN 47025

COMMISSION STATEMENT for NATHAN STRAESSLE-D343

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|---------------------------------------|----|--------------------------------|---|------------|----------|--------|----------|------|----------|
| 09/27/2018 (None) LUTZ, STEPHEN | MF | COLLEGE CHOICE ADVISOR | B | 5,000.00 | 237.50 | 0.00 | 237.50 | 75.0 | 178.13 |
| 10/04/2018 01484204910 CROCKER, CLINT | MF | FIDELITY ADV FREEDOM 2040 CL M | B | 458.33 | 13.75 | 0.00 | 13.75 | 75.0 | 10.31 |
| 10/05/2018 01487577064 COWAN, LILLIAN | MF | FIDELITY ADVISOR STRATEGIC | B | 541.66 | 20.31 | 0.00 | 20.31 | 75.0 | 15.23 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$5,999.99 | \$271.56 | \$0.00 | \$271.56 | | \$203.67 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|--|-----|---------------------------------|---|------|-------|------|-------|------|-------|
| 09/30/2018 (None) VARIOUS, STRAESSLE | MFT | COLLEGE CHOICE ADVISOR | B | 0.00 | 55.05 | 0.00 | 55.05 | 75.0 | 41.29 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADVISOR HEALTH CARE FD | B | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 42.55 | 0.00 | 42.55 | 75.0 | 31.91 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADV EQUITY GROWTH CL T | B | 0.00 | 26.07 | 0.00 | 26.07 | 75.0 | 19.55 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADV INTL CAPITAL APP | B | 0.00 | 14.85 | 0.00 | 14.85 | 75.0 | 11.14 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADV SMALL CAP CL T | B | 0.00 | 8.13 | 0.00 | 8.13 | 75.0 | 6.10 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADV HIGH INCOME CL M | B | 0.00 | 8.23 | 0.00 | 8.23 | 75.0 | 6.17 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADVISOR MID CAP STOCK | B | 0.00 | 15.75 | 0.00 | 15.75 | 75.0 | 11.81 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADVISOR LARGE CAP FD | B | 0.00 | 21.27 | 0.00 | 21.27 | 75.0 | 15.95 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADVISOR STRATEGIC | B | 0.00 | 33.01 | 0.00 | 33.01 | 75.0 | 24.76 |
| 10/05/2018 00504515495 HONSCHOPP, MARK | MFT | FIDELITY ADV REAL ESTATE INCOME | B | 0.00 | 9.21 | 0.00 | 9.21 | 75.0 | 6.91 |
| 10/05/2018 00506728245 COWAN, LILLIAN | MFT | FIDELITY ADV EQUITY GROWTH CL A | B | 0.00 | 0.43 | 0.00 | 0.43 | 75.0 | 0.32 |
| 10/05/2018 00506728245 COWAN, LILLIAN | MFT | FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 0.35 | 0.00 | 0.35 | 75.0 | 0.26 |
| 10/05/2018 00506728245 COWAN, LILLIAN | MFT | FIDELITY ADV LIMITED TERM BOND | B | 0.00 | 0.17 | 0.00 | 0.17 | 75.0 | 0.13 |
| 10/05/2018 00506728245 COWAN, LILLIAN | MFT | FIDELITY ADV SHORT TERM BOND CL | B | 0.00 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| 10/05/2018 00700229248 KYLIE Y RENIHAN, | MFT | FA 529 PORT 2022 CL A | B | 0.00 | 6.57 | 0.00 | 6.57 | 75.0 | 4.93 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV ENERGY CL M | B | 0.00 | 1.26 | 0.00 | 1.26 | 75.0 | 0.95 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV EQUITY GROWTH CL T | B | 0.00 | 5.98 | 0.00 | 5.98 | 75.0 | 4.49 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV SMALL CAP CL T | B | 0.00 | 1.29 | 0.00 | 1.29 | 75.0 | 0.97 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV HIGH INCOME CL M | B | 0.00 | 1.61 | 0.00 | 1.61 | 75.0 | 1.21 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADVISOR STRATEGIC | B | 0.00 | 2.22 | 0.00 | 2.22 | 75.0 | 1.67 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV REAL ESTATE CL M | B | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 3.86 | 0.00 | 3.86 | 75.0 | 2.90 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV STRAT DIV & INCOME | B | 0.00 | 6.36 | 0.00 | 6.36 | 75.0 | 4.77 |
| 10/05/2018 01456991015 MCALISTER, PAMELA | MFT | FIDELITY ADV MID CAP II - CL T | B | 0.00 | 2.83 | 0.00 | 2.83 | 75.0 | 2.12 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 10/05/2018 01456991015 | MCALISTER, PAMELA | MFT FIDELITY ADV STRATEGIC REAL | B | 0.00 | 1.89 | 0.00 | 1.89 | 75.0 | 1.42 |
| 10/05/2018 01456991015 | MCALISTER, PAMELA | MFT FIDELITY ADV TOTAL INTL EQUITY | B | 0.00 | 3.46 | 0.00 | 3.46 | 75.0 | 2.60 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADV SMALL CAP CL T | B | 0.00 | 1.39 | 0.00 | 1.39 | 75.0 | 1.04 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADV HIGH INCOME CL M | B | 0.00 | 1.15 | 0.00 | 1.15 | 75.0 | 0.86 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADVISOR MID CAP STOCK | B | 0.00 | 3.00 | 0.00 | 3.00 | 75.0 | 2.25 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADVISOR LARGE CAP FD | B | 0.00 | 4.58 | 0.00 | 4.58 | 75.0 | 3.44 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 13.29 | 0.00 | 13.29 | 75.0 | 9.97 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 5.01 | 0.00 | 5.01 | 75.0 | 3.76 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADV STRAT DIV & INCOME | B | 0.00 | 4.18 | 0.00 | 4.18 | 75.0 | 3.14 |
| 10/05/2018 01468826001 | BUTLER, RICHARD | MFT FIDELITY ADV REAL ESTATE INCOME | B | 0.00 | 1.29 | 0.00 | 1.29 | 75.0 | 0.97 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADVISOR HEALTH CARE FD | B | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV EQUITY GROWTH CL T | B | 0.00 | 1.78 | 0.00 | 1.78 | 75.0 | 1.34 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV SMALL CAP CL T | B | 0.00 | 1.35 | 0.00 | 1.35 | 75.0 | 1.01 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV HIGH INCOME CL M | B | 0.00 | 15.60 | 0.00 | 15.60 | 75.0 | 11.70 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 23.20 | 0.00 | 23.20 | 75.0 | 17.40 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 39.06 | 0.00 | 39.06 | 75.0 | 29.30 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV STRAT DIV & INCOME | B | 0.00 | 61.60 | 0.00 | 61.60 | 75.0 | 46.20 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV MID CAP II - CL T | B | 0.00 | 1.49 | 0.00 | 1.49 | 75.0 | 1.12 |
| 10/05/2018 01469423816 | DAUSCH, WILLIAM | MFT FIDELITY ADV REAL ESTATE INCOME | B | 0.00 | 22.45 | 0.00 | 22.45 | 75.0 | 16.84 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADVISOR HIGH INCOME | B | 0.00 | 7.56 | 0.00 | 7.56 | 75.0 | 5.67 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADV SMALL CAP CL T | B | 0.00 | 17.20 | 0.00 | 17.20 | 75.0 | 12.90 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 15.39 | 0.00 | 15.39 | 75.0 | 11.54 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 45.56 | 0.00 | 45.56 | 75.0 | 34.17 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADV STRAT DIV & INCOME | B | 0.00 | 33.87 | 0.00 | 33.87 | 75.0 | 25.40 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADV MID CAP II - CL T | B | 0.00 | 17.93 | 0.00 | 17.93 | 75.0 | 13.45 |
| 10/05/2018 01469892465 | FAIRFIELD, SANDRA | MFT FIDELITY ADV REAL ESTATE INCOME | B | 0.00 | 7.69 | 0.00 | 7.69 | 75.0 | 5.77 |
| 10/05/2018 01484204910 | CROCKER, CLINT | MFT FIDELITY ADV FREEDOM 2040 CL M | B | 0.00 | 1.78 | 0.00 | 1.78 | 75.0 | 1.34 |
| 10/05/2018 01487577064 | COWAN, LILLIAN | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 3.63 | 0.00 | 3.63 | 75.0 | 2.72 |
| 10/05/2018 01488454347 | HONSCHOPP, KIM | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 76.42 | 0.00 | 76.42 | 75.0 | 57.32 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADVISOR HIGH INCOME | B | 0.00 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADV SMALL CAP CL T | B | 0.00 | 0.49 | 0.00 | 0.49 | 75.0 | 0.37 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 0.44 | 0.00 | 0.44 | 75.0 | 0.33 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 1.07 | 0.00 | 1.07 | 75.0 | 0.80 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADV STRAT DIV & INCOME | B | 0.00 | 0.96 | 0.00 | 0.96 | 75.0 | 0.72 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADV MID CAP II - CL T | B | 0.00 | 0.50 | 0.00 | 0.50 | 75.0 | 0.38 |
| 10/05/2018 01490024146 | LUTZ, STEPHEN | MFT FIDELITY ADV REAL ESTATE INCOME | B | 0.00 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADV EQUITY GROWTH CL T | B | 0.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADV SMALL CAP CL T | B | 0.00 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 0.49 | 0.00 | 0.49 | 75.0 | 0.37 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADV STRAT DIV & INCOME | B | 0.00 | 0.48 | 0.00 | 0.48 | 75.0 | 0.36 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADV MID CAP II - CL T | B | 0.00 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| 10/05/2018 03900224223 | LUTZ, BRADLEY | MFT FIDELITY ADV REAL ESTATE INCOME | B | 0.00 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADV EQUITY GROWTH CL T | B | 0.00 | 4.21 | 0.00 | 4.21 | 75.0 | 3.16 |

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|--------------------------------------|---------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADV HIGH INCOME CL M | B | 0.00 | 1.03 | 0.00 | 1.03 | 75.0 | 0.77 |
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 2.03 | 0.00 | 2.03 | 75.0 | 1.52 |
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 4.16 | 0.00 | 4.16 | 75.0 | 3.12 |
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADV GOLD CL M | B | 0.00 | 1.74 | 0.00 | 1.74 | 75.0 | 1.31 |
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADV LATIN AMERICA FUND | B | 0.00 | 1.91 | 0.00 | 1.91 | 75.0 | 1.43 |
| 10/05/2018 03900233009 | BUCKMEIER, | MFT FIDELITY ADV GLOBAL HIGH INCOME | B | 0.00 | 1.02 | 0.00 | 1.02 | 75.0 | 0.77 |
| 10/05/2018 03900236194 | JONES, JERRY | MFT FIDELITY ADV EQUITY GROWTH CL A | B | 0.00 | 6.65 | 0.00 | 6.65 | 75.0 | 4.99 |
| 10/05/2018 03900236194 | JONES, JERRY | MFT FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 4.19 | 0.00 | 4.19 | 75.0 | 3.14 |
| 10/05/2018 03900236194 | JONES, JERRY | MFT FIDELITY ADV NEW INSIGHTS-CL A | B | 0.00 | 4.27 | 0.00 | 4.27 | 75.0 | 3.20 |
| 10/05/2018 03900236194 | JONES, JERRY | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 4.21 | 0.00 | 4.21 | 75.0 | 3.16 |
| 10/05/2018 03900236504 | WARNING, MARY | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 1.42 | 0.00 | 1.42 | 75.0 | 1.07 |
| 10/05/2018 03900236504 | WARNING, MARY | MFT FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 1.65 | 0.00 | 1.65 | 75.0 | 1.24 |
| 10/05/2018 03900236504 | WARNING, MARY | MFT FIDELITY ADVISOR STRATEGIC | B | 0.00 | 1.03 | 0.00 | 1.03 | 75.0 | 0.77 |
| 10/05/2018 03900236504 | WARNING, MARY | MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 10/05/2018 03900236504 | WARNING, MARY | MFT FIDELITY ADV REAL ESTATE CL M | B | 0.00 | 2.01 | 0.00 | 2.01 | 75.0 | 1.51 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$753.28 | \$0.00 | \$753.28 | | \$565.05 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$5,999.99 | \$1,024.84 | \$0.00 | \$1,024.84 | | \$768.72 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$768.72 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-131.66 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|------------|
| Net Commissions | \$637.06 |
| Year-to-date Commissions | \$8,810.01 |