

# Bill Payment Stub

Check Date:	10/5/2018
Check No.:	ACH
Check Amount:	542.67

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: REYNALDO BATISTA  
3207 Fitzgerald Drive  
Montgomery, TX 77356

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
9/30/2018	Bill	COMMISSIONS	1,542.67	1,542.67		1,542.67
9/25/2018	Credit	ADVANCE	-1,000.00	-1,000.00		-1,000.00

# COMMISSION STATEMENT

September 30, 2018

 REYNALDO BATISTA-073300  
 3207 Fitzgerald Drive

 BROKER #: D367  
 BRANCH: D12

Montgomery, TX 77356

## COMMISSION STATEMENT for REYNALDO BATISTA-073300

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/17/2018 492001265	MALLON, DENISE	MF AMERICAN FDS INTL GROWTH & INC	S	-963.12	0.00	5.00	-5.00	90.0	-4.50
09/17/2018 492001265	MALLON, DENISE	MF AMERICAN FDS GROWTH FD OF AMER	S	-664.10	0.00	5.00	-5.00	90.0	-4.50
09/17/2018 492001265	MALLON, DENISE	MF INVESCO DIVRS DIV FD CL R6	S	-857.29	0.00	5.00	-5.00	90.0	-4.50
09/17/2018 492001265	MALLON, DENISE	MF JHANCOCK BOND FUND CL R6	S	-607.89	0.00	5.00	-5.00	90.0	-4.50
09/17/2018 492001265	MALLON, DENISE	MF VICTORY SYCAMORE ESTABLISHED	S	-881.21	0.00	5.00	-5.00	90.0	-4.50
09/14/2018 553258050	BROOKS CUST,	MF THE INVESTMENT COMPANY OF	S	1,007.45	50.00	2.50	47.50	90.0	42.75
09/14/2018 677591079	SEBETKA, MIKE	MF FRANKLIN TOTAL RETURN FD R6	S	-10,501.64	0.00	5.00	-5.00	90.0	-4.50
09/14/2018 677591079	SEBETKA, MIKE	MF INVESCO CORE PLUS BOND FD Y	S	-9,876.98	0.00	5.00	-5.00	90.0	-4.50
09/14/2018 677592062	SEBETKA, MIKE	MF JHANCOCK BOND FUND CL R6	S	-12,937.22	0.00	5.00	-5.00	90.0	-4.50
09/14/2018 677592062	SEBETKA, MIKE	MF PRUDENTIAL SHORT TERM CORP BD	S	-10,469.28	0.00	5.00	-5.00	90.0	-4.50
09/12/2018 799656471	WILLARD, JAMES	MF AMERICAN CENTURY MIDCAP VALUE	S	-3,239.08	0.00	5.00	-5.00	90.0	-4.50
09/12/2018 799656471	WILLARD, JAMES	MF BLACKROCK STRATEGIC INCOME FD I	S	-2,228.73	0.00	5.00	-5.00	90.0	-4.50
09/12/2018 799656471	WILLARD, JAMES	MF DELAWARE US GROWTH FD CL I	S	-2,487.45	0.00	5.00	-5.00	90.0	-4.50
09/12/2018 799656471	WILLARD, JAMES	MF FUNDAMENTAL INVESTORS-A	S	12,307.45	461.25	5.00	456.25	90.0	410.63
09/12/2018 799656471	WILLARD, JAMES	MF NUVEEN SYMPHONY FLOATING RATE	S	-2,373.06	0.00	5.00	-5.00	90.0	-4.50
09/12/2018 799656471	WILLARD, JAMES	MF WELLS FARGO EMERGING MKTS	S	-2,072.33	0.00	5.00	-5.00	90.0	-4.50
09/20/2018 800399799	WISCHNEWSKY, ADOLPH	MF THE INCOME FUND OF AMERICA-A	B	-17,492.55	0.00	5.00	-5.00	90.0	-4.50
09/20/2018 800399799	WISCHNEWSKY, ADOLPH	MF VANGUARD WINDSOR FD II	B	-17,492.55	0.00	5.00	-5.00	90.0	-4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-81,829.58</b>	<b>\$511.25</b>	<b>\$87.50</b>	<b>\$423.75</b>		<b>\$381.38</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/12/2018 152912884	BRUNASSO, ALISON	S APPLE INC	B	3,408.29	84.84	15.00	69.84	90.0	62.86
09/12/2018 152912884	BRUNASSO, ALISON	S FACEBOOK INC-CLASS A	B	3,011.44	79.85	15.00	64.85	90.0	58.37
09/17/2018 492001265	MALLON, DENISE	S APPLE INC	B	1,827.22	64.94	15.00	49.94	90.0	44.95
09/17/2018 492001265	MALLON, DENISE	S MICROSOFT CORPORATION	B	1,984.74	66.92	15.00	51.92	90.0	46.73
09/14/2018 677591079	SEBETKA, MIKE	S LIVANOVA PLC SHS ISIN	B	9,669.91	163.67	15.00	148.67	90.0	133.80
09/14/2018 677591079	SEBETKA, MIKE	S NIO INC ADS ADR	B	10,546.36	174.71	15.00	159.71	90.0	143.74
09/14/2018 677592062	SEBETKA, MIKE	S AMAZON.COM INC	B	21,963.84	318.45	15.00	303.45	90.0	273.11
09/20/2018 800399799	WISCHNEWSKY, ADOLPH	S CONSOLIDATED COMMUNICATIONS	B	25,308.62	360.56	15.00	345.56	90.0	311.00
09/20/2018 800399799	WISCHNEWSKY, ADOLPH	S COSTCO WHOLESALE CORP	B	10,220.83	170.61	15.00	155.61	90.0	140.05
<b>* STOCKS SUBTOTAL *</b>				<b>\$87,941.25</b>	<b>\$1,484.55</b>	<b>\$135.00</b>	<b>\$1,349.55</b>		<b>\$1,214.61</b>

COMMISSION STATEMENT for REYNALDO BATISTA-073300

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
09/13/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00 90.0 4.50
09/13/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00 90.0 4.50
09/13/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00 90.0 4.50
09/13/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00 90.0 4.50
09/13/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00 90.0 4.50
09/13/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00 90.0 4.50
09/17/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 677591079	S	0.00	5.00	0.00	5.00 90.0 4.50
09/17/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 677592062	S	0.00	5.00	0.00	5.00 90.0 4.50
09/17/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 677591079	S	0.00	5.00	0.00	5.00 90.0 4.50
09/17/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 677592062	S	0.00	5.00	0.00	5.00 90.0 4.50
09/17/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553258050	S	0.00	5.00	0.00	5.00 90.0 4.50
09/18/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 492001265	S	0.00	5.00	0.00	5.00 90.0 4.50
09/18/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 492001265	S	0.00	5.00	0.00	5.00 90.0 4.50
09/18/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 492001265	S	0.00	5.00	0.00	5.00 90.0 4.50
09/18/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 492001265	S	0.00	5.00	0.00	5.00 90.0 4.50
09/18/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 492001265	S	0.00	5.00	0.00	5.00 90.0 4.50
09/18/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 492001265	S	0.00	5.00	0.00	5.00 90.0 4.50
09/21/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
09/21/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$90.00</b>	<b>\$0.00</b>	<b>\$90.00</b>		<b>\$81.00</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.65	0.00	0.65 90.0 0.59
09/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.84	0.00	0.84 90.0 0.76
09/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.73	0.00	5.73 90.0 5.16
09/21/2018	mfc073300	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.34	0.00	2.34 90.0 2.11
09/21/2018	mfc073300	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.58	0.00	2.58 90.0 2.32
09/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.49	0.00	0.49 90.0 0.44
09/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	1.97	0.00	1.97 90.0 1.77
09/21/2018	mfc073300	VARIOUS,	MFT	THE HARTFORD CAPITAL	B	0.00	3.70	0.00	3.70 90.0 3.33
09/21/2018	mfc073300	VARIOUS,	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	3.43	0.00	3.43 90.0 3.09
09/21/2018	mfc073300	VARIOUS,	MFT	THE INVESTMENT COMPANY OF	B	0.00	0.76	0.00	0.76 90.0 0.68
09/21/2018	mfc073300	VARIOUS,	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.17	0.00	1.17 90.0 1.05
09/21/2018	mfc073300	VARIOUS,	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.47	0.00	1.47 90.0 1.32
09/21/2018	mfc073300	VARIOUS,	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	1.96	0.00	1.96 90.0 1.76
09/24/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.62	0.00	0.62 90.0 0.56
09/24/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.79	0.00	0.79 90.0 0.71
09/24/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.17	0.00	2.17 90.0 1.95
09/24/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.40	0.00	5.40 90.0 4.86
09/24/2018	mfc073300	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.19	0.00	2.19 90.0 1.97
09/24/2018	mfc073300	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.42	0.00	2.42 90.0 2.18
09/24/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.02	0.00	0.02 90.0 0.02
09/24/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	1.46	0.00	1.46 90.0 1.31
09/24/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.46	0.00	0.46 90.0 0.41

COMMISSION STATEMENT for REYNALDO BATISTA-073300

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc073300 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.86	0.00	1.86	90.0	1.67
09/24/2018	mfc073300 VARIOUS,	MFT THE HARTFORD CAPITAL	B	0.00	3.52	0.00	3.52	90.0	3.17
09/24/2018	mfc073300 VARIOUS,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.24	0.00	3.24	90.0	2.92
09/24/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.71	0.00	0.71	90.0	0.64
09/24/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.11	0.00	1.11	90.0	1.00
09/24/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.40	0.00	1.40	90.0	1.26
09/24/2018	mfc073300 VARIOUS,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.86	0.00	1.86	90.0	1.67
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$56.32</b>	<b>\$0.00</b>	<b>\$56.32</b>		<b>\$50.68</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$6,111.67</b>	<b>\$2,142.12</b>	<b>\$222.50</b>	<b>\$1,919.62</b>		<b>\$1,727.67</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,727.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$1,542.67</b>
<b>Year-to-date Commissions</b>	<b>\$10,394.58</b>