

COMMISSION STATEMENT

November 15, 2018

 ERIC FOREMAN-D023
 628 Tupper Drive

 BROKER #: D023
 BRANCH: DME

Gallatin, TN 37066

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
11/08/2018	00068396974	TURNER/AUTH PER,	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
11/08/2018	00068903331	TURNER, TONEY	MF	FUNDAMENTAL INVESTORS-A	B	100.00	2.75	0.00	2.75	70.0	1.93
11/08/2018	00068903331	TURNER, TONEY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	70.0	1.93
11/08/2018	00068903331	TURNER, TONEY	MF	THE INVESTMENT COMPANY OF	B	100.00	2.75	0.00	2.75	70.0	1.93
11/01/2018	00074185860	JOHNSON, JESSICA	MF	AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	70.0	1.49
11/01/2018	00074185860	JOHNSON, JESSICA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/29/2018	00074518547	BUCHANAN, ERNEST	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	2.50	0.00	2.50	70.0	1.75
11/07/2018	00082091034	CADEN I GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/31/2018	00082091192	WEAVER, MARSHALL	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
11/07/2018	00085738162	SYDNEE L GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
11/02/2018	03900189311	ANTOINE D LANE	MF	FA 529 GROWTH OPPORTUNITIES	B	50.00	1.50	0.00	1.50	70.0	1.05
11/02/2018	03900189311	ANTOINE D LANE	MF	FA 529 NEW INSIGHTS PORT CL A	B	50.00	1.50	0.00	1.50	70.0	1.05
11/01/2018	04000984601	LEWIS, JADE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
11/01/2018	04000984601	LEWIS, JADE	MF	THE NEW ECONOMY FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.26	\$0.00	\$33.26	\$23.30	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	0.73	0.00	0.73	70.0	0.51
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADV UTILITIES CL A	B	0.00	1.75	0.00	1.75	70.0	1.23
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.96	0.00	1.96	70.0	1.37
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADVISOR FUNDS	B	0.00	1.63	0.00	1.63	70.0	1.14
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADV MID CAP VALUE CL A	B	0.00	0.62	0.00	0.62	70.0	0.43
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADV JAPAN CL A	B	0.00	1.12	0.00	1.12	70.0	0.78
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY ADV STOCK SEL ALL CAP	B	0.00	1.70	0.00	1.70	70.0	1.19
11/07/2018	00498153972	CRATE, ERNESTINE	MFT	FIDELITY GOVERNMENT MKT DAILY	B	0.00	11.15	0.00	11.15	70.0	7.81
11/07/2018	00655762854	HIBBLER, AARIE	MFT	FIDELITY GOVERNMENT MKT DAILY	B	0.00	6.22	0.00	6.22	70.0	4.35
11/07/2018	00655914240	HIBBLER, ADRIANA	MFT	FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.07	0.00	0.07	70.0	0.05
11/07/2018	00656193224	MATTHEWS UTMA-MS,	MFT	FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.18	0.00	1.18	70.0	0.83
11/07/2018	00656193224	MATTHEWS UTMA-MS,	MFT	FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.19	0.00	1.19	70.0	0.83
11/07/2018	00656210267	HIBBLER, EDDIE	MFT	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.92	0.00	0.92	70.0	0.64
11/07/2018	00656210267	HIBBLER, EDDIE	MFT	FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.80	0.00	1.80	70.0	1.26

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11/07/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADV JAPAN CL A	B	0.00	0.48	0.00	0.48	70.0	0.34
11/07/2018 00700430697	HIBBLER UTMA-TN,	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.04	0.00	0.04	70.0	0.03
11/07/2018 00700430697	HIBBLER UTMA-TN,	MFT FIDELITY ADV JAPAN CL A	B	0.00	0.01	0.00	0.01	70.0	0.01
11/07/2018 00700455306	MATTHEWS,	MFT FIDELITY ADV UTILITIES CL A	B	0.00	0.68	0.00	0.68	70.0	0.48
11/07/2018 00700455306	MATTHEWS,	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.20	0.00	1.20	70.0	0.84
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV UTILITIES CL A	B	0.00	1.86	0.00	1.86	70.0	1.30
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	3.17	0.00	3.17	70.0	2.22
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADVISOR INTERNAT'L	B	0.00	0.73	0.00	0.73	70.0	0.51
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.33	0.00	1.33	70.0	0.93
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV TOTAL BOND CL A	B	0.00	0.86	0.00	0.86	70.0	0.60
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV SMALL CAP GROWTH	B	0.00	0.84	0.00	0.84	70.0	0.59
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.71	0.00	0.71	70.0	0.50
11/07/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	2.17	0.00	2.17	70.0	1.52
11/07/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADVISOR INTERNAT'L	B	0.00	0.73	0.00	0.73	70.0	0.51
11/07/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.32	0.00	1.32	70.0	0.92
11/07/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV TOTAL BOND CL A	B	0.00	0.86	0.00	0.86	70.0	0.60
11/07/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV SMALL CAP GROWTH	B	0.00	0.84	0.00	0.84	70.0	0.59
11/07/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.71	0.00	0.71	70.0	0.50
11/07/2018 03900189311	ANTOINE D LANE	MFT FA 529 GROWTH OPPORTUNITIES	B	0.00	0.13	0.00	0.13	70.0	0.09
11/07/2018 03900189311	ANTOINE D LANE	MFT FA 529 NEW INSIGHTS PORT CL A	B	0.00	0.12	0.00	0.12	70.0	0.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$50.83	\$0.00	\$50.83		\$35.58
*** DIRECT TRANSACTIONS TOTAL ***				\$900.00	\$84.09	\$0.00	\$84.09		\$58.88

BROKER COMMISSION TOTALS

Broker Transactions	\$58.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$58.88
Year-to-date Commissions	\$4,943.58