

COMMISSION STATEMENT

December 15, 2018

JIM HUGHES-D349
 150 West Gibson
 Suite 100
 Jasper, TX 75951

BROKER #: D349
 BRANCH: DMV

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/26/2018 (None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	39.78	0.00	39.78	80.0	31.82
11/26/2018 000000000055083	MEDLEY, DONNA	MF FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	80.0	2.00
12/03/2018 0000000001642697	RODGEKATH	MF FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	80.0	0.80
11/26/2018 0000000003912312	ADAMS, MICH	MF MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	80.0	2.40
11/26/2018 0000000004070696	MEDLEY, DONNA	MF MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	80.0	2.00
12/03/2018 0000000007053662	DUFREMAXA	MF CUSIP 645769696	B	100.00	5.00	0.00	5.00	80.0	4.00
12/03/2018 0000000012760498	POLLOSTEP	MF FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	80.0	3.66
* MUTUAL FUNDS SUBTOTAL *				\$1,458.33	\$58.36	\$0.00	\$58.36		\$46.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/16/2018 (None)	LOVELADY, JERRY	VA TALCOTT RESOLUTION	B	60,472.00	42.33	0.00	42.33	80.0	33.86
11/16/2018 (None)	ESTER, NORMAN	VA TALCOTT RESOLUTION	B	236.22	16.54	0.00	16.54	80.0	13.23
12/04/2018 1069511004	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	100.00	5.00	0.00	5.00	80.0	4.00
12/04/2018 1301070095	POWELL, CANDACE	VA SECURITY BENEFIT LIFE #39	B	1,010.20	50.51	0.00	50.51	80.0	40.41
12/03/2018 1301103647	ELWELL, JOSEPH	VA SECURITY BENEFIT LIFE #39	B	573.42	28.67	0.00	28.67	80.0	22.94
* VARIABLE ANNUITIES SUBTOTAL *				\$62,391.84	\$143.05	\$0.00	\$143.05		\$114.44
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/03/2018 01076259519	MARY E GILLIS	MFT THE INVESTMENT COMPANY OF	B	0.00	1.87	0.00	1.87	80.0	1.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1.87	\$0.00	\$1.87		\$1.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/07/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	24.40	0.00	24.40	80.0	19.52
12/03/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00	80.0	33.60
12/03/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00	80.0	33.60
12/03/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00	80.0	33.60
12/03/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	27.30	0.00	27.30	80.0	21.84
12/03/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.84	0.00	21.84	80.0	17.47
12/03/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.84	0.00	21.84	80.0	17.47
12/03/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	17.78	0.00	17.78	80.0	14.22
12/03/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.22	0.00	14.22	80.0	11.38
12/03/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.22	0.00	14.22	80.0	11.38

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
12/03/2018	VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.52	0.00	21.52	80.0	17.22
12/03/2018	VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	17.22	0.00	17.22	80.0	13.78
12/03/2018	VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	17.22	0.00	17.22	80.0	13.78
12/03/2018	VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.39	0.00	14.39	80.0	11.51
12/03/2018	VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	11.51	0.00	11.51	80.0	9.21
12/03/2018	VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	11.51	0.00	11.51	80.0	9.21
12/03/2018	VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	12.97	0.00	12.97	80.0	10.38
12/03/2018	VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.50	0.00	10.50	80.0	8.40
12/03/2018	VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	8.64	0.00	8.64	80.0	6.91
12/03/2018	VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	18.90	0.00	18.90	80.0	15.12
12/03/2018	VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	15.12	0.00	15.12	80.0	12.10
12/03/2018	VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	15.12	0.00	15.12	80.0	12.10
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$442.22	\$0.00	\$442.22		\$353.80
*** DIRECT TRANSACTIONS TOTAL ***					\$63,850.17	\$645.50	\$0.00	\$645.50		\$516.42

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018	: 2019 STATE BRANCH FEE	0.00	0.00	0.00	0.00	-25.00
12/15/2018	: TX BR AMENDMENT FEE	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-50.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$516.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-496.67
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-30.25
Year-to-date Commissions	\$38,169.57