

COMMISSION STATEMENT

September 15, 2018

RANDY SMITH-D061
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D061
 BRANCH: DMA

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/20/2018 (None)	GARTIN, ROBERT	MF OPPENHEIMER	B	200.00	6.00	0.00	6.00	50.0	3.00
09/04/2018 00068681036	MURPHY, DEBORAH	MF THE NEW ECONOMY FUND-A	B	75.00	3.19	0.00	3.19	50.0	1.60
09/04/2018 00068681036	MURPHY, DEBORAH	MF WASHINGTON MUTUAL INVESTORS	B	75.00	3.19	0.00	3.19	50.0	1.60
08/31/2018 00075390701	MURPHY, DEBORAH	MF INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
08/31/2018 00075396784	CASTANEDA, SANDRA	MF THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
08/27/2018 00083359485	SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
08/29/2018 00083485924	SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
08/31/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
08/31/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
08/31/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
08/31/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
08/30/2018 00086507215	TRAPP, ANDREA	MF AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	50.0	1.88
08/31/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
08/31/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
08/27/2018 04000163744	GARZA, ALEXANDER	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
08/27/2018 04000163744	GARZA, ALEXANDER	MF FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
08/27/2018 04000163744	GARZA, ALEXANDER	MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
08/31/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
08/31/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
09/04/2018 04000879126	FOVAL, DOUGLAS	MF AMERICAN BALANCED FUND-A	B	979.74	41.64	0.00	41.64	50.0	20.82
09/04/2018 04000879126	FOVAL, DOUGLAS	MF THE NEW ECONOMY FUND-A	B	1,000.00	42.50	0.00	42.50	50.0	21.25
* MUTUAL FUNDS SUBTOTAL *				\$4,569.74	\$152.07	\$0.00	\$152.07		\$76.05

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/31/2018 009062915A	BROWN, SARAH	VA PERSPECTIVE	B	125,087.73	26.06	0.00	26.06	50.0	13.03
08/31/2018 1009661472	BAHAN, MARGARET	VA PERSPECTIVE II	B	128,252.02	80.16	0.00	80.16	50.0	40.08
08/31/2018 1009737665	BARICKMAN,	VA PERSPECTIVE II	B	108,097.61	67.56	0.00	67.56	50.0	33.78
08/31/2018 1013950608	BURNETT, PATRICIA	VA PERSP II 09/12	B	1,206,471.20	1,005.39	0.00	1,005.39	50.0	502.70
08/31/2018 1015646752	DORER, VALORIE	VA PERSP II 04/14	B	69,649.54	58.04	0.00	58.04	50.0	29.02
08/31/2018 1015845666	HOGAN, REBECCA	VA PERSP II 04/14	B	63,842.01	53.20	0.00	53.20	50.0	26.60
08/31/2018 1016104708	SCHROEDER, RICHARD	VA PERSP II 04/14	B	261,427.36	217.86	0.00	217.86	50.0	108.93

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* VARIABLE ANNUITIES SUBTOTAL *				\$1,962,827.47	\$1,508.27	\$0.00	\$1,508.27		\$754.14

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	00051535797	GRONAU, LOIS	MFT THE INVESTMENT COMPANY OF	B	0.00	282.23	0.00	282.23	50.0	141.12
09/04/2018	00056562473	SHARRY, JANICE	MFT THE INVESTMENT COMPANY OF	B	0.00	51.52	0.00	51.52	50.0	25.76
09/04/2018	00057286427	MARIE, VONDAINE	MFT THE INVESTMENT COMPANY OF	B	0.00	73.36	0.00	73.36	50.0	36.68
09/04/2018	00057477734	STEVEN COUVILLION	MFT THE INVESTMENT COMPANY OF	B	0.00	403.90	0.00	403.90	50.0	201.95
09/04/2018	00057956480	COOK/, DOROTHY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	296.01	0.00	296.01	50.0	148.01
09/04/2018	00058011489	FOSTER, EMILIE	MFT AMERICAN BALANCED FUND-A	B	0.00	64.86	0.00	64.86	50.0	32.43
09/04/2018	00058011489	FOSTER, EMILIE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	33.15	0.00	33.15	50.0	16.58
09/04/2018	00058011489	FOSTER, EMILIE	MFT THE INVESTMENT COMPANY OF	B	0.00	138.45	0.00	138.45	50.0	69.23
09/04/2018	00058029569	FOSTER, JAMES	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.51	0.00	21.51	50.0	10.76
09/04/2018	00058370608	AYRES, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	45.61	0.00	45.61	50.0	22.81
09/04/2018	00058370608	AYRES, JUDITH	MFT AMERICAN MUTUAL FUND-A	B	0.00	23.11	0.00	23.11	50.0	11.56
09/04/2018	00058370608	AYRES, JUDITH	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.22	0.00	4.22	50.0	2.11
09/04/2018	00058370608	AYRES, JUDITH	MFT THE INVESTMENT COMPANY OF	B	0.00	21.76	0.00	21.76	50.0	10.88
09/04/2018	00058495090	HIRTRITER, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.91	0.00	7.91	50.0	3.96
09/04/2018	00058555261	SCOTT/SEP,	MFT THE INVESTMENT COMPANY OF	B	0.00	72.10	0.00	72.10	50.0	36.05
09/04/2018	00058556957	ECKHARDT,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.53	0.00	3.53	50.0	1.77
09/04/2018	00058819051	LOUGHNEY, LYNN	MFT AMCAP FUND-A	B	0.00	26.16	0.00	26.16	50.0	13.08
09/04/2018	00058819051	LOUGHNEY, LYNN	MFT AMERICAN MUTUAL FUND-A	B	0.00	30.35	0.00	30.35	50.0	15.18
09/04/2018	00058819051	LOUGHNEY, LYNN	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	17.20	0.00	17.20	50.0	8.60
09/04/2018	00058819051	LOUGHNEY, LYNN	MFT INTERMEDIATE BOND FUND OF	B	0.00	12.19	0.00	12.19	50.0	6.10
09/04/2018	00058819051	LOUGHNEY, LYNN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	49.22	0.00	49.22	50.0	24.61
09/04/2018	00058819051	LOUGHNEY, LYNN	MFT THE INVESTMENT COMPANY OF	B	0.00	33.52	0.00	33.52	50.0	16.76
09/04/2018	00058868203	LOUGHNEY, LYNN	MFT INTERMEDIATE BOND FUND OF	B	0.00	2.43	0.00	2.43	50.0	1.22
09/04/2018	00059010070	PAGEL, PATRICK	MFT INTERMEDIATE BOND FUND OF	B	0.00	46.58	0.00	46.58	50.0	23.29
09/04/2018	00059057270	SCOTT, STEPHEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.64	0.00	14.64	50.0	7.32
09/04/2018	00059057270	SCOTT, STEPHEN	MFT THE BOND FUND OF AMERICA-A	B	0.00	7.08	0.00	7.08	50.0	3.54
09/04/2018	00059297125	LOZA, SAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.72	0.00	5.72	50.0	2.86
09/04/2018	00059297125	LOZA, SAN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.31	0.00	3.31	50.0	1.66
09/04/2018	00059297129	RODRIGUEZ, ESTHER	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	70.27	0.00	70.27	50.0	35.14
09/04/2018	00059644509	GOODYKE, MARIANNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.10	0.00	0.10	50.0	0.05
09/04/2018	00059647505	GOODYKE, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	4.81	0.00	4.81	50.0	2.41
09/04/2018	00059767191	LYNN M LOUGHNEY,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	12.29	0.00	12.29	50.0	6.15
09/04/2018	00059776072	LOUGHNEY, LYNN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.72	0.00	30.72	50.0	15.36
09/04/2018	00059888908	HARDY, AMY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.45	0.00	0.45	50.0	0.23
09/04/2018	00059969179	AYERS, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	67.78	0.00	67.78	50.0	33.89
09/04/2018	00059969179	AYERS, JUDITH	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.36	0.00	7.36	50.0	3.68
09/04/2018	00059969179	AYERS, JUDITH	MFT SMALLCAP WORLD FUND-A	B	0.00	3.71	0.00	3.71	50.0	1.86
09/04/2018	00059969179	AYERS, JUDITH	MFT THE INVESTMENT COMPANY OF	B	0.00	30.29	0.00	30.29	50.0	15.15
09/04/2018	00060000409	HOUSER, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.30	0.00	0.30	50.0	0.15
09/04/2018	00060632617	GARY, ROY	MFT AMCAP FUND-A	B	0.00	29.66	0.00	29.66	50.0	14.83
09/04/2018	00060632617	GARY, ROY	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	29.24	0.00	29.24	50.0	14.62

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09/04/2018	00060632617	GARY, ROY	MFT NEW PERSPECTIVE FUND-A	B	0.00	39.71	0.00	39.71	50.0	19.86
09/04/2018	00060632617	GARY, ROY	MFT NEW WORLD FUND-A	B	0.00	15.93	0.00	15.93	50.0	7.97
09/04/2018	00060632617	GARY, ROY	MFT SMALLCAP WORLD FUND-A	B	0.00	19.20	0.00	19.20	50.0	9.60
09/04/2018	00060632617	GARY, ROY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	32.83	0.00	32.83	50.0	16.42
09/04/2018	00060632617	GARY, ROY	MFT THE NEW ECONOMY FUND-A	B	0.00	35.01	0.00	35.01	50.0	17.51
09/04/2018	00060768585	ESCOBEDO, XAVIER	MFT NEW PERSPECTIVE FUND-A	B	0.00	27.52	0.00	27.52	50.0	13.76
09/04/2018	00061219219	HOUSER, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.35	0.00	6.35	50.0	3.18
09/04/2018	00061417142	FRITZSCHING,	MFT THE INVESTMENT COMPANY OF	B	0.00	73.83	0.00	73.83	50.0	36.92
09/04/2018	00061417143	FRITZSCHING,	MFT THE INVESTMENT COMPANY OF	B	0.00	8.73	0.00	8.73	50.0	4.37
09/04/2018	00061621017	WHITLEY, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.81	0.00	3.81	50.0	1.91
09/04/2018	00061621017	WHITLEY, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.82	0.00	3.82	50.0	1.91
09/04/2018	00061621017	WHITLEY, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	3.41	0.00	3.41	50.0	1.71
09/04/2018	00061621017	WHITLEY, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.76	0.00	3.76	50.0	1.88
09/04/2018	00062159512	TEPERA, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	60.20	0.00	60.20	50.0	30.10
09/04/2018	00062640284	MORGAN, WILBERT	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	38.91	0.00	38.91	50.0	19.46
09/04/2018	00062640284	MORGAN, WILBERT	MFT FUNDAMENTAL INVESTORS-A	B	0.00	50.87	0.00	50.87	50.0	25.44
09/04/2018	00062896578	WILLIAM GARY TEST	MFT AMCAP FUND-A	B	0.00	33.51	0.00	33.51	50.0	16.76
09/04/2018	00062896578	WILLIAM GARY TEST	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	34.49	0.00	34.49	50.0	17.25
09/04/2018	00062896578	WILLIAM GARY TEST	MFT NEW PERSPECTIVE FUND-A	B	0.00	46.11	0.00	46.11	50.0	23.06
09/04/2018	00062896578	WILLIAM GARY TEST	MFT SMALLCAP WORLD FUND-A	B	0.00	22.99	0.00	22.99	50.0	11.50
09/04/2018	00062896578	WILLIAM GARY TEST	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	38.79	0.00	38.79	50.0	19.40
09/04/2018	00062896578	WILLIAM GARY TEST	MFT THE NEW ECONOMY FUND-A	B	0.00	41.01	0.00	41.01	50.0	20.51
09/04/2018	00063624031	MCCOY, MELVIN	MFT THE INVESTMENT COMPANY OF	B	0.00	44.17	0.00	44.17	50.0	22.09
09/04/2018	00063624031	MCCOY, MELVIN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	38.78	0.00	38.78	50.0	19.39
09/04/2018	00063624032	MCCOY, SHERYL	MFT THE INVESTMENT COMPANY OF	B	0.00	32.39	0.00	32.39	50.0	16.20
09/04/2018	00063963036	JAUER, DAVID	MFT NEW PERSPECTIVE FUND-A	B	0.00	74.51	0.00	74.51	50.0	37.26
09/04/2018	00064064938	COUVILLION, TARI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	139.95	0.00	139.95	50.0	69.98
09/04/2018	00064158647	MOSELEY, BRENDA	MFT THE INVESTMENT COMPANY OF	B	0.00	3.92	0.00	3.92	50.0	1.96
09/04/2018	00064158652	GREENLEE, DAVID	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.11	0.00	2.11	50.0	1.06
09/04/2018	00064158652	GREENLEE, DAVID	MFT THE INVESTMENT COMPANY OF	B	0.00	1.63	0.00	1.63	50.0	0.82
09/04/2018	00064158653	ESPINAL, ANGEL	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.42	0.00	5.42	50.0	2.71
09/04/2018	00064158653	ESPINAL, ANGEL	MFT THE INVESTMENT COMPANY OF	B	0.00	9.92	0.00	9.92	50.0	4.96
09/04/2018	00064310970	WHITLEY, LAURA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	10.53	0.00	10.53	50.0	5.27
09/04/2018	00064310970	WHITLEY, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	10.20	0.00	10.20	50.0	5.10
09/04/2018	00064310970	WHITLEY, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.14	0.00	11.14	50.0	5.57
09/04/2018	00064310970	WHITLEY, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	9.06	0.00	9.06	50.0	4.53
09/04/2018	00064569143	WARREN/AUTH PER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.15	0.00	2.15	50.0	1.08
09/04/2018	00064569144	WARREN/AUTH PER,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.86	0.00	0.86	50.0	0.43
09/04/2018	00064569145	WARREN/AUTH PER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.15	0.00	2.15	50.0	1.08
09/04/2018	00064577790	PERRY, HUDSON	MFT THE INVESTMENT COMPANY OF	B	0.00	5.56	0.00	5.56	50.0	2.78
09/04/2018	00064580604	COOK, LESLIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	102.91	0.00	102.91	50.0	51.46
09/04/2018	00064611549	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	6.11	0.00	6.11	50.0	3.06
09/04/2018	00064718463	FRITZSCHING,	MFT SMALLCAP WORLD FUND-A	B	0.00	6.47	0.00	6.47	50.0	3.24
09/04/2018	00066701434	FLORES, SYLVIA	MFT AMERICAN BALANCED FUND-A	B	0.00	12.02	0.00	12.02	50.0	6.01

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09/04/2018 00066701434	FLORES, SYLVIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	11.33	0.00	11.33	50.0	5.67
09/04/2018 00066701434	FLORES, SYLVIA	MFT GLOBAL BALANCED FUND-A	B	0.00	9.96	0.00	9.96	50.0	4.98
09/04/2018 00066906434	MCDERMOTT, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.66	0.00	0.66	50.0	0.33
09/04/2018 00066906434	MCDERMOTT, JULIE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.44	0.00	0.44	50.0	0.22
09/04/2018 00066906434	MCDERMOTT, JULIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.74	0.00	0.74	50.0	0.37
09/04/2018 00066945230	DOOLEY, DEBBIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.24	0.00	0.24	50.0	0.12
09/04/2018 00066990824	KATELYN Q	MFT AF U.S. GOVERNMENT MONEY	B	0.00	0.11	0.00	0.11	50.0	0.06
09/04/2018 00066990827	WYATT WETZ	MFT AF U.S. GOVERNMENT MONEY	B	0.00	4.21	0.00	4.21	50.0	2.11
09/04/2018 00067005200	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.20	0.00	4.20	50.0	2.10
09/04/2018 00067005201	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	5.52	0.00	5.52	50.0	2.76
09/04/2018 00067066632	SMITH, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	45.83	0.00	45.83	50.0	22.92
09/04/2018 00067066632	SMITH, KELLY	MFT AMERICAN MUTUAL FUND-A	B	0.00	47.09	0.00	47.09	50.0	23.55
09/04/2018 00067135270	LEROY, JOHN	MFT AMCAP FUND-A	B	0.00	1.74	0.00	1.74	50.0	0.87
09/04/2018 00067135270	LEROY, JOHN	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.20	0.00	1.20	50.0	0.60
09/04/2018 00067135270	LEROY, JOHN	MFT NEW WORLD FUND-A	B	0.00	1.17	0.00	1.17	50.0	0.59
09/04/2018 00067135270	LEROY, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.78	0.00	2.78	50.0	1.39
09/04/2018 00067189548	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	32.58	0.00	32.58	50.0	16.29
09/04/2018 00067529494	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	79.47	0.00	79.47	50.0	39.74
09/04/2018 00067529494	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	45.17	0.00	45.17	50.0	22.59
09/04/2018 00068260462	DENNEY, MAX	MFT AMERICAN BALANCED FUND-A	B	0.00	1.62	0.00	1.62	50.0	0.81
09/04/2018 00068260464	DENNEY, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	1.62	0.00	1.62	50.0	0.81
09/04/2018 00068490442	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.88	0.00	2.88	50.0	1.44
09/04/2018 00068535297	EVELYN V	MFT AMERICAN BALANCED FUND-A	B	0.00	2.80	0.00	2.80	50.0	1.40
09/04/2018 00068621361	WILLIAM S GOODWIN	MFT AF U.S. GOVERNMENT MONEY	B	0.00	2.10	0.00	2.10	50.0	1.05
09/04/2018 00068681036	MURPHY, DEBORAH	MFT THE NEW ECONOMY FUND-A	B	0.00	9.76	0.00	9.76	50.0	4.88
09/04/2018 00068681036	MURPHY, DEBORAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.46	0.00	14.46	50.0	7.23
09/04/2018 00068901527	COUVILLION, TARI	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.07	0.00	14.07	50.0	7.04
09/04/2018 00069215720	PAUL J COLLAS	MFT AMERICAN BALANCED FUND-A	B	0.00	57.66	0.00	57.66	50.0	28.83
09/04/2018 00069215720	PAUL J COLLAS	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	51.22	0.00	51.22	50.0	25.61
09/04/2018 00069215720	PAUL J COLLAS	MFT FUNDAMENTAL INVESTORS-A	B	0.00	34.74	0.00	34.74	50.0	17.37
09/04/2018 00069215720	PAUL J COLLAS	MFT NEW PERSPECTIVE FUND-A	B	0.00	23.78	0.00	23.78	50.0	11.89
09/04/2018 00069215720	PAUL J COLLAS	MFT NEW PERSPECTIVE FUND-C	B	0.00	0.12	0.00	0.12	50.0	0.06
09/04/2018 00069234227	CRAWFORD, MATTHEW	MFT SMALLCAP WORLD FUND-529A	B	0.00	5.02	0.00	5.02	50.0	2.51
09/04/2018 00069246256	MORGAN R WETZ	MFT AF U.S. GOVERNMENT MONEY	B	0.00	1.92	0.00	1.92	50.0	0.96
09/04/2018 00073034040	TOBEL, SUSAN	MFT AMERICAN BALANCED FUND-A	B	0.00	21.49	0.00	21.49	50.0	10.75
09/04/2018 00073034040	TOBEL, SUSAN	MFT AMERICAN MUTUAL FUND-A	B	0.00	18.91	0.00	18.91	50.0	9.46
09/04/2018 00073034040	TOBEL, SUSAN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	20.90	0.00	20.90	50.0	10.45
09/04/2018 00073034040	TOBEL, SUSAN	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.75	0.00	2.75	50.0	1.38
09/04/2018 00073034061	TOBEL, LEE	MFT AMERICAN BALANCED FUND-A	B	0.00	20.16	0.00	20.16	50.0	10.08
09/04/2018 00073034061	TOBEL, LEE	MFT AMERICAN MUTUAL FUND-A	B	0.00	17.49	0.00	17.49	50.0	8.75
09/04/2018 00073034061	TOBEL, LEE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	19.14	0.00	19.14	50.0	9.57
09/04/2018 00073034061	TOBEL, LEE	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.75	0.00	2.75	50.0	1.38
09/04/2018 00073326535	CANDAPPA, AGATHA	MFT THE INVESTMENT COMPANY OF	B	0.00	5.60	0.00	5.60	50.0	2.80
09/04/2018 00073706753	MCKINNEY, SUSAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.25	0.00	17.25	50.0	8.63

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00073711472	MARTINEZ, TIMOTHY	MFT THE INVESTMENT COMPANY OF	B	0.00	4.99	0.00	4.99	50.0	2.50
09/04/2018 00073785876	COLLAS, PAUL	MFT AMERICAN BALANCED FUND-A	B	0.00	101.52	0.00	101.52	50.0	50.76
09/04/2018 00073785876	COLLAS, PAUL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.09	0.00	5.09	50.0	2.55
09/04/2018 00073785876	COLLAS, PAUL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	62.23	0.00	62.23	50.0	31.12
09/04/2018 00074045050	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.31	0.00	14.31	50.0	7.16
09/04/2018 00074045050	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.13	0.00	8.13	50.0	4.07
09/04/2018 00074082720	DO, PHU	MFT AMCAP FUND-A	B	0.00	3.52	0.00	3.52	50.0	1.76
09/04/2018 00074082720	DO, PHU	MFT AMERICAN BALANCED FUND-A	B	0.00	2.87	0.00	2.87	50.0	1.44
09/04/2018 00074082720	DO, PHU	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.52	0.00	2.52	50.0	1.26
09/04/2018 00074082720	DO, PHU	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.94	0.00	1.94	50.0	0.97
09/04/2018 00074082736	DO, ANGELIQUE	MFT AMCAP FUND-A	B	0.00	0.55	0.00	0.55	50.0	0.28
09/04/2018 00074082736	DO, ANGELIQUE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.60	0.00	0.60	50.0	0.30
09/04/2018 00074082736	DO, ANGELIQUE	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.52	0.00	0.52	50.0	0.26
09/04/2018 00074082736	DO, ANGELIQUE	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.40	0.00	0.40	50.0	0.20
09/04/2018 00074333460	BRANNIES, KEN	MFT AMERICAN BALANCED FUND-A	B	0.00	167.56	0.00	167.56	50.0	83.78
09/04/2018 00074333460	BRANNIES, KEN	MFT CAPITAL INCOME BUILDER-A	B	0.00	157.73	0.00	157.73	50.0	78.87
09/04/2018 00074333460	BRANNIES, KEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	110.30	0.00	110.30	50.0	55.15
09/04/2018 00074333460	BRANNIES, KEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	51.38	0.00	51.38	50.0	25.69
09/04/2018 00074333460	BRANNIES, KEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	66.92	0.00	66.92	50.0	33.46
09/04/2018 00074333545	HASTINGS, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	83.68	0.00	83.68	50.0	41.84
09/04/2018 00074343789	NICHOLS, LARHEA	MFT AMERICAN BALANCED FUND-F1	B	0.00	7.47	0.00	7.47	50.0	3.74
09/04/2018 00074343789	NICHOLS, LARHEA	MFT CAPITAL WORLD GROWTH AND	B	0.00	7.52	0.00	7.52	50.0	3.76
09/04/2018 00074343789	NICHOLS, LARHEA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	9.61	0.00	9.61	50.0	4.81
09/04/2018 00074343789	NICHOLS, LARHEA	MFT THE INVESTMENT COMPANY OF	B	0.00	8.31	0.00	8.31	50.0	4.16
09/04/2018 00074344968	PIERPONT, JERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	116.03	0.00	116.03	50.0	58.02
09/04/2018 00074344968	PIERPONT, JERRY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	93.59	0.00	93.59	50.0	46.80
09/04/2018 00074344968	PIERPONT, JERRY	MFT NEW PERSPECTIVE FUND-A	B	0.00	94.13	0.00	94.13	50.0	47.07
09/04/2018 00074344968	PIERPONT, JERRY	MFT SMALLCAP WORLD FUND-A	B	0.00	69.73	0.00	69.73	50.0	34.87
09/04/2018 00074344968	PIERPONT, JERRY	MFT THE NEW ECONOMY FUND-A	B	0.00	71.45	0.00	71.45	50.0	35.73
09/04/2018 00074344968	PIERPONT, JERRY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	68.15	0.00	68.15	50.0	34.08
09/04/2018 00074456694	RODRIGUEZ, JASON	MFT AMCAP FUND-A	B	0.00	0.59	0.00	0.59	50.0	0.30
09/04/2018 00074456694	RODRIGUEZ, JASON	MFT AMERICAN BALANCED FUND-A	B	0.00	1.55	0.00	1.55	50.0	0.78
09/04/2018 00074456694	RODRIGUEZ, JASON	MFT NEW WORLD FUND-A	B	0.00	0.37	0.00	0.37	50.0	0.19
09/04/2018 00074516217	MONROY, INGRID	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	19.79	0.00	19.79	50.0	9.90
09/04/2018 00074516217	MONROY, INGRID	MFT NEW PERSPECTIVE FUND-A	B	0.00	22.47	0.00	22.47	50.0	11.24
09/04/2018 00074516217	MONROY, INGRID	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	38.55	0.00	38.55	50.0	19.28
09/04/2018 00074516217	MONROY, INGRID	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	22.00	0.00	22.00	50.0	11.00
09/04/2018 00074530161	UNIV OF TX HEALTH	MFT AMERICAN BALANCED FUND-A	B	0.00	0.76	0.00	0.76	50.0	0.38
09/04/2018 00074530161	UNIV OF TX HEALTH	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.77	0.00	0.77	50.0	0.39
09/04/2018 00074530161	UNIV OF TX HEALTH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.97	0.00	0.97	50.0	0.49
09/04/2018 00074530161	UNIV OF TX HEALTH	MFT THE INVESTMENT COMPANY OF	B	0.00	0.68	0.00	0.68	50.0	0.34
09/04/2018 00074530209	UNIV OF TX HEALTH	MFT AMERICAN BALANCED FUND-A	B	0.00	147.56	0.00	147.56	50.0	73.78
09/04/2018 00074530209	UNIV OF TX HEALTH	MFT AMERICAN MUTUAL FUND-A	B	0.00	148.62	0.00	148.62	50.0	74.31
09/04/2018 00074530209	UNIV OF TX HEALTH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	177.29	0.00	177.29	50.0	88.65

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00074530209	UNIV OF TX HEALTH	MFT THE INVESTMENT COMPANY OF	B	0.00	173.60	0.00	173.60	50.0	86.80
09/04/2018 00074836998	GAMEZ, ARNOLDO	MFT AMERICAN BALANCED FUND-A	B	0.00	0.61	0.00	0.61	50.0	0.31
09/04/2018 00074890994	MICHAEL L	MFT AMERICAN BALANCED FUND-A	B	0.00	16.13	0.00	16.13	50.0	8.07
09/04/2018 00074956449	CLOPTON, GENE	MFT AMERICAN BALANCED FUND-A	B	0.00	21.14	0.00	21.14	50.0	10.57
09/04/2018 00074956449	CLOPTON, GENE	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.45	0.00	9.45	50.0	4.73
09/04/2018 00074995555	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.84	0.00	4.84	50.0	2.42
09/04/2018 00074995590	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.84	0.00	4.84	50.0	2.42
09/04/2018 00075189236	WALKER, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	77.35	0.00	77.35	50.0	38.68
09/04/2018 00075213695	DOMBROSKI,	MFT AF U.S. GOVERNMENT MONEY	B	0.00	3.93	0.00	3.93	50.0	1.97
09/04/2018 00075213695	DOMBROSKI,	MFT AMERICAN BALANCED FUND-F1	B	0.00	1.61	0.00	1.61	50.0	0.81
09/04/2018 00075213700	DOMBROSKI,	MFT AMERICAN BALANCED FUND-F1	B	0.00	47.56	0.00	47.56	50.0	23.78
09/04/2018 00075390701	MURPHY, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	125.94	0.00	125.94	50.0	62.97
09/04/2018 00075390701	MURPHY, DEBORAH	MFT GLOBAL BALANCED FUND-A	B	0.00	3.32	0.00	3.32	50.0	1.66
09/04/2018 00075390701	MURPHY, DEBORAH	MFT INTERMEDIATE BOND FUND OF	B	0.00	19.25	0.00	19.25	50.0	9.63
09/04/2018 00075390701	MURPHY, DEBORAH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.63	0.00	0.63	50.0	0.32
09/04/2018 00075396784	CASTANEDA, SANDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	50.0	0.08
09/04/2018 00075512736	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	20.54	0.00	20.54	50.0	10.27
09/04/2018 00075512736	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.47	0.00	32.47	50.0	16.24
09/04/2018 00075512736	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	41.51	0.00	41.51	50.0	20.76
09/04/2018 00075512736	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	49.83	0.00	49.83	50.0	24.92
09/04/2018 00075512736	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	44.36	0.00	44.36	50.0	22.18
09/04/2018 00075812001	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	12.38	0.00	12.38	50.0	6.19
09/04/2018 00075812001	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.52	0.00	14.52	50.0	7.26
09/04/2018 00075812001	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	18.06	0.00	18.06	50.0	9.03
09/04/2018 00075812001	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	21.11	0.00	21.11	50.0	10.56
09/04/2018 00075812001	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	19.31	0.00	19.31	50.0	9.66
09/04/2018 00075847663	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	14.55	0.00	14.55	50.0	7.28
09/04/2018 00075847663	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	28.17	0.00	28.17	50.0	14.09
09/04/2018 00075847663	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	58.75	0.00	58.75	50.0	29.38
09/04/2018 00075847663	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	44.37	0.00	44.37	50.0	22.19
09/04/2018 00075847663	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	39.07	0.00	39.07	50.0	19.54
09/04/2018 00075938417	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.21	0.00	2.21	50.0	1.11
09/04/2018 00075938437	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.21	0.00	2.21	50.0	1.11
09/04/2018 00081351329	COLLINS, GLADYS	MFT AMERICAN BALANCED FUND-A	B	0.00	10.94	0.00	10.94	50.0	5.47
09/04/2018 00081351329	COLLINS, GLADYS	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.44	0.00	5.44	50.0	2.72
09/04/2018 00081351329	COLLINS, GLADYS	MFT GLOBAL BALANCED FUND-A	B	0.00	5.37	0.00	5.37	50.0	2.69
09/04/2018 00081387250	WOSCHNAGG,	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.38	0.00	2.38	50.0	1.19
09/04/2018 00081459404	NIXON-MOORE,	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.60	0.00	0.60	50.0	0.30
09/04/2018 00081459404	NIXON-MOORE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.77	0.00	2.77	50.0	1.39
09/04/2018 00081459404	NIXON-MOORE,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.27	0.00	1.27	50.0	0.64
09/04/2018 00081914265	PASZTOR, SUZANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	56.89	0.00	56.89	50.0	28.45
09/04/2018 00081914265	PASZTOR, SUZANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	29.14	0.00	29.14	50.0	14.57
09/04/2018 00081914265	PASZTOR, SUZANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	26.38	0.00	26.38	50.0	13.19
09/04/2018 00082059804	JONES, DAVID	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.10	0.00	16.10	50.0	8.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00082064194	COLLINS, GLADYS	MFT AMERICAN BALANCED FUND-A	B	0.00	45.78	0.00	45.78	50.0	22.89
09/04/2018 00082449966	DAST, TAMMY	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.40	0.00	2.40	50.0	1.20
09/04/2018 00082449966	DAST, TAMMY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.11	0.00	3.11	50.0	1.56
09/04/2018 00082449966	DAST, TAMMY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.83	0.00	1.83	50.0	0.92
09/04/2018 00082449966	DAST, TAMMY	MFT THE INVESTMENT COMPANY OF	B	0.00	3.37	0.00	3.37	50.0	1.69
09/04/2018 00082588693	DYE, CHARLOTTE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.45	0.00	0.45	50.0	0.23
09/04/2018 00082841771	VICTORIA VANHUSS	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.86	0.00	1.86	50.0	0.93
09/04/2018 00082879105	JOSE G RAMOS	MFT AMERICAN BALANCED FUND-A	B	0.00	28.44	0.00	28.44	50.0	14.22
09/04/2018 00083038785	BRANNIES, KEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	41.53	0.00	41.53	50.0	20.77
09/04/2018 00083038785	BRANNIES, KEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	126.32	0.00	126.32	50.0	63.16
09/04/2018 00083038785	BRANNIES, KEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	68.00	0.00	68.00	50.0	34.00
09/04/2018 00083138902	FLORES, ISMAEL	MFT AMERICAN BALANCED FUND-A	B	0.00	21.07	0.00	21.07	50.0	10.54
09/04/2018 00083138902	FLORES, ISMAEL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	18.82	0.00	18.82	50.0	9.41
09/04/2018 00083138902	FLORES, ISMAEL	MFT GLOBAL BALANCED FUND-A	B	0.00	10.62	0.00	10.62	50.0	5.31
09/04/2018 00083186214	HOLZMAN, ROSE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.10	0.00	1.10	50.0	0.55
09/04/2018 00083186214	HOLZMAN, ROSE	MFT NEW WORLD FUND-A	B	0.00	0.98	0.00	0.98	50.0	0.49
09/04/2018 00083186241	HOLZMAN, BRADLY	MFT NEW WORLD FUND-A	B	0.00	0.61	0.00	0.61	50.0	0.31
09/04/2018 00083186241	HOLZMAN, BRADLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.95	0.00	0.95	50.0	0.48
09/04/2018 00083355721	CRAWFORD, DEREK	MFT AMERICAN BALANCED FUND-A	B	0.00	82.46	0.00	82.46	50.0	41.23
09/04/2018 00083355721	CRAWFORD, DEREK	MFT AMERICAN MUTUAL FUND-A	B	0.00	41.89	0.00	41.89	50.0	20.95
09/04/2018 00083355721	CRAWFORD, DEREK	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.84	0.00	13.84	50.0	6.92
09/04/2018 00083359485	SCHLESSIGER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.61	0.00	2.61	50.0	1.31
09/04/2018 00083485924	SCHLESSIGER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.50	0.00	2.50	50.0	1.25
09/04/2018 00083503916	HASTINGS, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	57.00	0.00	57.00	50.0	28.50
09/04/2018 00083839769	CATTELL, MIA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.30	0.00	0.30	50.0	0.15
09/04/2018 00083949478	LIMON, ELIZABETH	MFT AMERICAN BALANCED FUND-A	B	0.00	15.91	0.00	15.91	50.0	7.96
09/04/2018 00084110239	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	68.64	0.00	68.64	50.0	34.32
09/04/2018 00084110239	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	39.02	0.00	39.02	50.0	19.51
09/04/2018 00084113362	WICKMAN, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	46.93	0.00	46.93	50.0	23.47
09/04/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL INCOME BUILDER-A	B	0.00	43.07	0.00	43.07	50.0	21.54
09/04/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL WORLD BOND FUND-A	B	0.00	83.11	0.00	83.11	50.0	41.56
09/04/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	136.92	0.00	136.92	50.0	68.46
09/04/2018 00084113362	WICKMAN, NANCY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	58.94	0.00	58.94	50.0	29.47
09/04/2018 00084366836	MENDOZA, SANDRA	MFT AMERICAN BALANCED FUND-A	B	0.00	55.81	0.00	55.81	50.0	27.91
09/04/2018 00084512960	GIBBS, KENDRA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.80	0.00	14.80	50.0	7.40
09/04/2018 00084512960	GIBBS, KENDRA	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.07	0.00	16.07	50.0	8.04
09/04/2018 00084512960	GIBBS, KENDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.02	0.00	18.02	50.0	9.01
09/04/2018 00084731681	DOMINGUEZ,	MFT AMERICAN BALANCED FUND-A	B	0.00	1.22	0.00	1.22	50.0	0.61
09/04/2018 00084731681	DOMINGUEZ,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.17	0.00	0.17	50.0	0.09
09/04/2018 00084974512	BISHOP, ROSEMARY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	54.97	0.00	54.97	50.0	27.49
09/04/2018 00085027003	PASZTOR, SUZANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	54.56	0.00	54.56	50.0	27.28
09/04/2018 00085027003	PASZTOR, SUZANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.71	0.00	23.71	50.0	11.86
09/04/2018 00085027003	PASZTOR, SUZANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	25.32	0.00	25.32	50.0	12.66
09/04/2018 00085302677	JORGE ALEXANDER	MFT AMERICAN BALANCED FUND-A	B	0.00	4.00	0.00	4.00	50.0	2.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00086443498	HILLER, CHARLES	MFT AMERICAN BALANCED FUND-A	B	0.00	125.48	0.00	125.48	50.0	62.74
09/04/2018 00086460970	CURTIS, KALA	MFT AMERICAN BALANCED FUND-A	B	0.00	183.96	0.00	183.96	50.0	91.98
09/04/2018 00086460973	WILKINSON, MARION	MFT AMERICAN BALANCED FUND-A	B	0.00	11.04	0.00	11.04	50.0	5.52
09/04/2018 00086496169	BAILY LORI	MFT CAPITAL WORLD GROWTH AND	B	0.00	4.66	0.00	4.66	50.0	2.33
09/04/2018 00086496169	BAILY LORI	MFT CAPITAL WORLD GROWTH AND	B	0.00	17.60	0.00	17.60	50.0	8.80
09/04/2018 00086507215	TRAPP, ANDREA	MFT AMERICAN BALANCED FUND-A	B	0.00	4.24	0.00	4.24	50.0	2.12
09/04/2018 00086730761	HASTINGS, RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	22.31	0.00	22.31	50.0	11.16
09/04/2018 00086730761	HASTINGS, RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.48	0.00	2.48	50.0	1.24
09/04/2018 00086774066	TRAPP, ANDREA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.47	0.00	1.47	50.0	0.74
09/04/2018 00086774066	TRAPP, ANDREA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.70	0.00	2.70	50.0	1.35
09/04/2018 00086774066	TRAPP, ANDREA	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.04	0.00	1.04	50.0	0.52
09/04/2018 00086981209	DRISCOLL, LYNNE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.05	0.00	13.05	50.0	6.53
09/04/2018 00086981209	DRISCOLL, LYNNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	10.59	0.00	10.59	50.0	5.30
09/04/2018 00086981209	DRISCOLL, LYNNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	22.74	0.00	22.74	50.0	11.37
09/04/2018 00086981478	DRISCOLL, LYNNE	MFT AMERICAN BALANCED FUND-A	B	0.00	56.50	0.00	56.50	50.0	28.25
09/04/2018 00086981478	DRISCOLL, LYNNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	28.14	0.00	28.14	50.0	14.07
09/04/2018 00086981478	DRISCOLL, LYNNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	45.28	0.00	45.28	50.0	22.64
09/04/2018 00086981478	DRISCOLL, LYNNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	81.01	0.00	81.01	50.0	40.51
09/04/2018 00087297861	DOROTHY B LAWLER	MFT AMERICAN BALANCED FUND-F1	B	0.00	35.37	0.00	35.37	50.0	17.69
09/04/2018 00087297861	DOROTHY B LAWLER	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	32.67	0.00	32.67	50.0	16.34
09/04/2018 00087364129	DORER, SONIA	MFT AMERICAN BALANCED FUND-A	B	0.00	6.72	0.00	6.72	50.0	3.36
09/04/2018 00087364129	DORER, SONIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.86	0.00	15.86	50.0	7.93
09/04/2018 00087369989	DORER, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	2.28	0.00	2.28	50.0	1.14
09/04/2018 00087369989	DORER, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.08	0.00	14.08	50.0	7.04
09/04/2018 00087369989	DORER, RICHARD	MFT SMALLCAP WORLD FUND-A	B	0.00	5.16	0.00	5.16	50.0	2.58
09/04/2018 00087382596	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.41	0.00	5.41	50.0	2.71
09/04/2018 00087382596	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	17.24	0.00	17.24	50.0	8.62
09/04/2018 00087382596	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.27	0.00	4.27	50.0	2.14
09/04/2018 00087382596	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.13	0.00	16.13	50.0	8.07
09/04/2018 00087382596	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.73	0.00	14.73	50.0	7.37
09/04/2018 00087382596	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	17.31	0.00	17.31	50.0	8.66
09/04/2018 00087382603	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.41	0.00	5.41	50.0	2.71
09/04/2018 00087382603	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	17.24	0.00	17.24	50.0	8.62
09/04/2018 00087382603	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.27	0.00	4.27	50.0	2.14
09/04/2018 00087382603	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.13	0.00	16.13	50.0	8.07
09/04/2018 00087382603	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.73	0.00	14.73	50.0	7.37
09/04/2018 00087382603	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	17.35	0.00	17.35	50.0	8.68
09/04/2018 00087382610	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.41	0.00	5.41	50.0	2.71
09/04/2018 00087382610	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	17.24	0.00	17.24	50.0	8.62
09/04/2018 00087382610	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.27	0.00	4.27	50.0	2.14
09/04/2018 00087382610	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.13	0.00	16.13	50.0	8.07
09/04/2018 00087382610	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.73	0.00	14.73	50.0	7.37
09/04/2018 00087382610	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	17.35	0.00	17.35	50.0	8.68
09/04/2018 00087382617	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.41	0.00	5.41	50.0	2.71

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00087382617	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	17.24	0.00	17.24	50.0	8.62
09/04/2018 00087382617	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.27	0.00	4.27	50.0	2.14
09/04/2018 00087382617	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.13	0.00	16.13	50.0	8.07
09/04/2018 00087382617	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.73	0.00	14.73	50.0	7.37
09/04/2018 00087382617	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	17.35	0.00	17.35	50.0	8.68
09/04/2018 00087518735	BURNETT, PATRICIA	MFT AMERICAN BALANCED FUND-A	B	0.00	45.60	0.00	45.60	50.0	22.80
09/04/2018 00087579254	RAMOS, JOSE	MFT AMERICAN BALANCED FUND-A	B	0.00	1.17	0.00	1.17	50.0	0.59
09/04/2018 00087579254	RAMOS, JOSE	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.64	0.00	0.64	50.0	0.32
09/04/2018 00087673351	MORA, SOCORRO	MFT AMERICAN BALANCED FUND-A	B	0.00	1.80	0.00	1.80	50.0	0.90
09/04/2018 00087709734	WARREN, JANET	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.05	0.00	2.05	50.0	1.03
09/04/2018 00087709790	WARREN, JANET	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.21	0.00	1.21	50.0	0.61
09/04/2018 00087738166	JIMERFIELD,	MFT AMERICAN BALANCED FUND-A	B	0.00	7.30	0.00	7.30	50.0	3.65
09/04/2018 00087822307	GARZA, MARTHA	MFT AMERICAN BALANCED FUND-A	B	0.00	45.67	0.00	45.67	50.0	22.84
09/04/2018 00087822307	GARZA, MARTHA	MFT AMERICAN MUTUAL FUND-A	B	0.00	40.53	0.00	40.53	50.0	20.27
09/04/2018 00087822307	GARZA, MARTHA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	29.36	0.00	29.36	50.0	14.68
09/04/2018 00087822307	GARZA, MARTHA	MFT NEW PERSPECTIVE FUND-A	B	0.00	21.20	0.00	21.20	50.0	10.60
09/04/2018 00088038123	PONCE, YOLANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	3.97	0.00	3.97	50.0	1.99
09/04/2018 00088127939	RICHARD L DORER	MFT AMERICAN BALANCED FUND-A	B	0.00	3.57	0.00	3.57	50.0	1.79
09/04/2018 00088127939	RICHARD L DORER	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.05	0.00	9.05	50.0	4.53
09/04/2018 00088127939	RICHARD L DORER	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.33	0.00	9.33	50.0	4.67
09/04/2018 00088127939	RICHARD L DORER	MFT SMALLCAP WORLD FUND-A	B	0.00	5.47	0.00	5.47	50.0	2.74
09/04/2018 00088702360	RAMOS, JOSE	MFT AMCAP FUND-A	B	0.00	22.60	0.00	22.60	50.0	11.30
09/04/2018 00088702360	RAMOS, JOSE	MFT AMERICAN BALANCED FUND-A	B	0.00	31.81	0.00	31.81	50.0	15.91
09/04/2018 00088702360	RAMOS, JOSE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	45.68	0.00	45.68	50.0	22.84
09/04/2018 00088702360	RAMOS, JOSE	MFT NEW PERSPECTIVE FUND-A	B	0.00	54.64	0.00	54.64	50.0	27.32
09/04/2018 00088702360	RAMOS, JOSE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.95	0.00	11.95	50.0	5.98
09/04/2018 00088702360	RAMOS, JOSE	MFT THE INVESTMENT COMPANY OF	B	0.00	21.72	0.00	21.72	50.0	10.86
09/04/2018 00088807324	UPTON, JOSHUA	MFT AMERICAN MUTUAL FUND-A	B	0.00	3.11	0.00	3.11	50.0	1.56
09/04/2018 00088807324	UPTON, JOSHUA	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.44	0.00	8.44	50.0	4.22
09/04/2018 00088807324	UPTON, JOSHUA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.61	0.00	3.61	50.0	1.81
09/04/2018 00088971865	MCDERMOTT, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	77.86	0.00	77.86	50.0	38.93
09/04/2018 00089066189	STEHLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	23.86	0.00	23.86	50.0	11.93
09/04/2018 00089066189	STEHLING, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	54.02	0.00	54.02	50.0	27.01
09/04/2018 00089079661	KAREN STEHLING	MFT AMERICAN BALANCED FUND-A	B	0.00	20.26	0.00	20.26	50.0	10.13
09/04/2018 00089079661	KAREN STEHLING	MFT AMERICAN MUTUAL FUND-A	B	0.00	18.31	0.00	18.31	50.0	9.16
09/04/2018 00089079661	KAREN STEHLING	MFT NEW PERSPECTIVE FUND-A	B	0.00	28.58	0.00	28.58	50.0	14.29
09/04/2018 00089079661	KAREN STEHLING	MFT NEW PERSPECTIVE FUND-F1	B	0.00	0.13	0.00	0.13	50.0	0.07
09/04/2018 00089107338	GEORGINE BENNO	MFT AMERICAN BALANCED FUND-A	B	0.00	9.51	0.00	9.51	50.0	4.76
09/04/2018 00089130497	HILL, JANET	MFT CAPITAL INCOME BUILDER-A	B	0.00	50.26	0.00	50.26	50.0	25.13
09/04/2018 00089132005	BENNO, GEORGINE	MFT AMERICAN BALANCED FUND-A	B	0.00	23.27	0.00	23.27	50.0	11.64
09/04/2018 00089132005	BENNO, GEORGINE	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.10	0.00	5.10	50.0	2.55
09/04/2018 00089132005	BENNO, GEORGINE	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.98	0.00	7.98	50.0	3.99
09/04/2018 00089132005	BENNO, GEORGINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.78	0.00	5.78	50.0	2.89
09/04/2018 00089132005	BENNO, GEORGINE	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.81	0.00	5.81	50.0	2.91

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09/04/2018 00089132005	BENNO, GEORGINE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.52	0.00	7.52	50.0	3.76
09/04/2018 00089231998	JANAVARIS, JUSTIN	MFT AMERICAN BALANCED FUND-A	B	0.00	8.83	0.00	8.83	50.0	4.42
09/04/2018 00089232023	GEORGINE BENNO	MFT AMERICAN BALANCED FUND-A	B	0.00	16.94	0.00	16.94	50.0	8.47
09/04/2018 00089287350	HILL, JANE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.47	0.00	13.47	50.0	6.74
09/04/2018 00089287350	HILL, JANE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.92	0.00	18.92	50.0	9.46
09/04/2018 00089287350	HILL, JANE	MFT THE INVESTMENT COMPANY OF	B	0.00	18.18	0.00	18.18	50.0	9.09
09/04/2018 00089287351	COCHRANE, LARRY	MFT AMERICAN BALANCED FUND-A	B	0.00	32.03	0.00	32.03	50.0	16.02
09/04/2018 00089621080	MECHELINA E VAN	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.49	0.00	1.49	50.0	0.75
09/04/2018 00089621080	MECHELINA E VAN	MFT CAPITAL INCOME BUILDER-F1	B	0.00	23.58	0.00	23.58	50.0	11.79
09/04/2018 00089621080	MECHELINA E VAN	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	14.54	0.00	14.54	50.0	7.27
09/04/2018 00089822824	JOHN R CORBETT	MFT AMERICAN BALANCED FUND-C	B	0.00	2.98	0.00	2.98	50.0	1.49
09/04/2018 00980973576	RONALD JOSEPH	MFT SMALLCAP WORLD FUND-A	B	0.00	18.32	0.00	18.32	50.0	9.16
09/04/2018 04000155543	HARDIN, ANDREW	MFT AMERICAN BALANCED FUND-A	B	0.00	14.56	0.00	14.56	50.0	7.28
09/04/2018 04000155543	HARDIN, ANDREW	MFT AMERICAN MUTUAL FUND-A	B	0.00	2.49	0.00	2.49	50.0	1.25
09/04/2018 04000155543	HARDIN, ANDREW	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.84	0.00	2.84	50.0	1.42
09/04/2018 04000155543	HARDIN, ANDREW	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.26	0.00	3.26	50.0	1.63
09/04/2018 04000155543	HARDIN, ANDREW	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.28	0.00	3.28	50.0	1.64
09/04/2018 04000155543	HARDIN, ANDREW	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.86	0.00	3.86	50.0	1.93
09/04/2018 04000163744	GARZA, ALEXANDER	MFT AMERICAN BALANCED FUND-A	B	0.00	1.50	0.00	1.50	50.0	0.75
09/04/2018 04000163744	GARZA, ALEXANDER	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.78	0.00	0.78	50.0	0.39
09/04/2018 04000163744	GARZA, ALEXANDER	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.80	0.00	0.80	50.0	0.40
09/04/2018 04000314591	SEAGO, ANN	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.96	0.00	10.96	50.0	5.48
09/04/2018 04000314591	SEAGO, ANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.95	0.00	5.95	50.0	2.98
09/04/2018 04000314591	SEAGO, ANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.19	0.00	8.19	50.0	4.10
09/04/2018 04000555975	HARDIN/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	6.55	0.00	6.55	50.0	3.28
09/04/2018 04000631650	MARTINEZ, ELAINE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.13	0.00	0.13	50.0	0.07
09/04/2018 04000686653	MCKINNEY, ALEE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	22.53	0.00	22.53	50.0	11.27
09/04/2018 04000695695	DORER, CHARLES	MFT AMERICAN BALANCED FUND-A	B	0.00	3.21	0.00	3.21	50.0	1.61
09/04/2018 04000879126	FOVAL, DOUGLAS	MFT AMERICAN BALANCED FUND-A	B	0.00	0.20	0.00	0.20	50.0	0.10
09/04/2018 04000879126	FOVAL, DOUGLAS	MFT THE NEW ECONOMY FUND-A	B	0.00	0.20	0.00	0.20	50.0	0.10
09/04/2018 04000890952	PIERPONT, BRADLEY	MFT AMERICAN BALANCED FUND-A	B	0.00	1.15	0.00	1.15	50.0	0.58
09/04/2018 04000890952	PIERPONT, BRADLEY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.95	0.00	7.95	50.0	3.98
09/04/2018 04000890952	PIERPONT, BRADLEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.82	0.00	29.82	50.0	14.91
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10,476.94	\$0.00	\$10,476.94		\$5,239.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
08/31/2018 (None)	HERAS, JUANITA	VAT MANULIFE	B	0.00	2.75	0.00	2.75	50.0	1.38
09/05/2018 (None)	OEI, THOMAS	VAT AMERICAN GENERAL	B	0.00	76.62	0.00	76.62	50.0	38.31
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$79.37	\$0.00	\$79.37		\$39.69
*** DIRECT TRANSACTIONS TOTAL ***				\$1,967,397.21	\$12,216.65	\$0.00	\$12,216.65		\$6,109.31

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RIX/RANDY SMITH/SMITH-D081 #80									
09/07/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,809.82	48.10	0.00	48.10	24.05
09/04/2018	00058301600	SMITH, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	10.38	0.00	10.38	5.19
09/04/2018	00059032323	SMITH, LAURA	MFT AMERICAN BALANCED FUND-A	B	0.00	87.15	0.00	87.15	43.58
09/04/2018	00059032323	SMITH, LAURA	MFT AMERICAN MUTUAL FUND-A	B	0.00	46.44	0.00	46.44	23.22
09/04/2018	00059032323	SMITH, LAURA	MFT THE INVESTMENT COMPANY OF	B	0.00	45.42	0.00	45.42	22.71
09/04/2018	00064630494	WARREN, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	8.66	0.00	8.66	4.33
09/04/2018	00064630494	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.14	0.00	9.14	4.57
09/04/2018	00064630494	WARREN, JANET	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.94	0.00	9.94	4.97
09/04/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN BALANCED FUND-A	B	0.00	1.95	0.00	1.95	0.98
09/04/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.34	0.00	3.34	1.67
09/04/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.96	0.00	6.96	3.48
09/04/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	3.73	0.00	3.73	1.87
09/04/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.45	0.00	4.45	2.23
09/04/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.21	0.00	6.21	3.11
09/04/2018	00064669117	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	1.95	0.00	1.95	0.98
09/04/2018	00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.34	0.00	3.34	1.67
09/04/2018	00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.96	0.00	6.96	3.48
09/04/2018	00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	3.74	0.00	3.74	1.87
09/04/2018	00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.45	0.00	4.45	2.23
09/04/2018	00064669117	CARTER, CRAIG	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.21	0.00	6.21	3.11
09/04/2018	00073969963	SMITH, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	170.54	0.00	170.54	85.27
09/04/2018	00073969963	SMITH, RONALD	MFT AMERICAN MUTUAL FUND-A	B	0.00	27.69	0.00	27.69	13.85
09/04/2018	00073969963	SMITH, RONALD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.61	0.00	32.61	16.31
09/04/2018	00073969963	SMITH, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.10	0.00	8.10	4.05
09/04/2018	00075475697	WEBER, ERICA	MFT AMERICAN BALANCED FUND-529A	B	0.00	3.30	0.00	3.30	1.65
09/04/2018	00075475697	WEBER, ERICA	MFT AMERICAN MUTUAL FUND-529A	B	0.00	2.89	0.00	2.89	1.45
09/04/2018	00075691742	KIRKPATRICK,	MFT AMERICAN BALANCED FUND-529A	B	0.00	3.19	0.00	3.19	1.60
09/04/2018	04000703953	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	10.79	0.00	10.79	5.40
09/04/2018	04000703953	CARTER, CRAIG	MFT AMERICAN FUNDS 2010 TARGET	B	0.00	10.61	0.00	10.61	5.31
09/04/2018	04000703953	CARTER, CRAIG	MFT RTMT INCOME PORTFOLIO	B	0.00	10.24	0.00	10.24	5.12
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *					\$4,809.82	\$598.48	\$0.00	\$598.48	\$299.31
*** SPLIT TRANSACTIONS TOTAL ***					\$4,809.82	\$598.48	\$0.00	\$598.48	\$299.31

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>LINKED DIRECT TRANSACTIONS</u>									
BROKER: RANDY SMITH-D601 #66									
09/04/2018	08190128650	JOHN J FINN JR MF MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
09/04/2018	00053695631	COCKERHAM, GEORGE MFT NEW PERSPECTIVE FUND-A	B	0.00	76.14	0.00	76.14		15.23
09/04/2018	00053695631	COCKERHAM, GEORGE MFT THE NEW ECONOMY FUND-A	B	0.00	93.02	0.00	93.02		18.60
09/04/2018	00060939604	KNIGHT, WILLIAM MFT NEW PERSPECTIVE FUND-C	B	0.00	12.92	0.00	12.92		2.58
09/04/2018	00082643376	COCKERHAM, ASHLEY MFT THE NEW ECONOMY FUND-A	B	0.00	9.06	0.00	9.06		1.81
09/04/2018	00083032457	JOANNE RAINES MFT AF U.S. GOVERNMENT MONEY	B	0.00	6.46	0.00	6.46		1.29
09/04/2018	00084947333	JOHN J FINN CUST, MFT NEW PERSPECTIVE FUND-C	B	0.00	13.05	0.00	13.05		2.61
09/04/2018	00084947333	JOHN J FINN CUST, MFT THE NEW ECONOMY FUND-C	B	0.00	35.32	0.00	35.32		7.06
09/04/2018	00084975204	FINN, JOHN MFT AMERICAN BALANCED FUND-C	B	0.00	378.05	0.00	378.05		75.61
09/04/2018	00084975204	FINN, JOHN MFT NEW PERSPECTIVE FUND-C	B	0.00	274.78	0.00	274.78		54.96
09/04/2018	00084975204	FINN, JOHN MFT THE GROWTH FUND OF AMERICA-C	B	0.00	330.68	0.00	330.68		66.14
09/04/2018	00085004722	KNIGHT, WILLIAM MFT NEW PERSPECTIVE FUND-C	B	0.00	9.44	0.00	9.44		1.89
09/04/2018	00085004722	KNIGHT, WILLIAM MFT SMALLCAP WORLD FUND-C	B	0.00	24.67	0.00	24.67		4.93
09/04/2018	00085305194	COCKERHAM/NAKED MFT AMERICAN BALANCED FUND-A	B	0.00	43.84	0.00	43.84		8.77
09/04/2018	00085305194	COCKERHAM/NAKED MFT NEW PERSPECTIVE FUND-C	B	0.00	16.59	0.00	16.59		3.32
09/04/2018	00085305979	COCKERHAM, CAROL MFT AMERICAN BALANCED FUND-A	B	0.00	96.93	0.00	96.93		19.39
09/04/2018	00085305979	COCKERHAM, CAROL MFT SMALLCAP WORLD FUND-C	B	0.00	236.11	0.00	236.11		47.22
09/04/2018	00085305979	COCKERHAM, CAROL MFT THE NEW ECONOMY FUND-C	B	0.00	588.35	0.00	588.35		117.67
09/04/2018	00085349608	ANN A LAFOURCADE MFT NEW PERSPECTIVE FUND-C	B	0.00	18.20	0.00	18.20		3.64
09/04/2018	00085349608	ANN A LAFOURCADE MFT THE NEW ECONOMY FUND-C	B	0.00	22.47	0.00	22.47		4.49
09/04/2018	00085352147	LINAM, DONNA MFT THE NEW ECONOMY FUND-C	B	0.00	1.10	0.00	1.10		0.22
09/04/2018	00085398387	JOHN R CORBETT MFT NEW PERSPECTIVE FUND-C	B	0.00	75.74	0.00	75.74		15.15
09/04/2018	00085398387	JOHN R CORBETT MFT THE NEW ECONOMY FUND-C	B	0.00	96.99	0.00	96.99		19.40
09/04/2018	00085399186	RAINER, JOANNE MFT AMERICAN BALANCED FUND-C	B	0.00	344.13	0.00	344.13		68.83
09/04/2018	00085466274	AGUILAR, MARIA MFT NEW PERSPECTIVE FUND-C	B	0.00	23.50	0.00	23.50		4.70
09/04/2018	00085466274	AGUILAR, MARIA MFT THE GROWTH FUND OF AMERICA-C	B	0.00	25.13	0.00	25.13		5.03
09/04/2018	00085466274	AGUILAR, MARIA MFT THE NEW ECONOMY FUND-C	B	0.00	57.55	0.00	57.55		11.51
09/04/2018	00085482308	BOGRAN, CARLOS MFT THE NEW ECONOMY FUND-C	B	0.00	13.11	0.00	13.11		2.62
09/04/2018	00085781654	SANCHEZ, BEATRIZ MFT NEW PERSPECTIVE FUND-C	B	0.00	5.48	0.00	5.48		1.10
09/04/2018	00085781654	SANCHEZ, BEATRIZ MFT THE NEW ECONOMY FUND-C	B	0.00	6.98	0.00	6.98		1.40
09/04/2018	00086109508	BRUCHI, JENNIFER MFT NEW PERSPECTIVE FUND-C	B	0.00	42.67	0.00	42.67		8.53
09/04/2018	00086109508	BRUCHI, JENNIFER MFT THE NEW ECONOMY FUND-C	B	0.00	52.97	0.00	52.97		10.59
09/04/2018	00086413277	LE BLANC, ERIN MFT NEW PERSPECTIVE FUND-C	B	0.00	95.39	0.00	95.39		19.08
09/04/2018	00086413277	LE BLANC, ERIN MFT SMALLCAP WORLD FUND-C	B	0.00	93.36	0.00	93.36		18.67
09/04/2018	00086413277	LE BLANC, ERIN MFT THE GROWTH FUND OF AMERICA-C	B	0.00	169.78	0.00	169.78		33.96
09/04/2018	00086413277	LE BLANC, ERIN MFT THE NEW ECONOMY FUND-C	B	0.00	173.48	0.00	173.48		34.70
09/04/2018	00086465668	MCINTIRE, JOHN MFT NEW PERSPECTIVE FUND-C	B	0.00	95.78	0.00	95.78		19.16
09/04/2018	00089714741	FINN, CONNOR MFT THE NEW ECONOMY FUND-C	B	0.00	21.88	0.00	21.88		4.38
09/04/2018	00089892340	FBO JULIETTE G MFT NEW PERSPECTIVE FUND-C	B	0.00	6.74	0.00	6.74		1.35
09/04/2018	00089893358	SHERYL A BARDEN MFT NEW PERSPECTIVE FUND-C	B	0.00	30.11	0.00	30.11		6.02

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018	00089893358	SHERYL A BARDEN	MFT THE NEW ECONOMY FUND-C	B	0.00	127.27	0.00	127.27	25.45
09/04/2018	04000149886	JOHN J FINN CUST,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.80	0.00	0.80	0.16
* RANDY SMITH-D601 SUBTOTAL *				\$50.00	\$3,846.52	\$0.00	\$3,846.52		\$769.32
<u>BROKER: RANDALL SMITH-D444 #81</u>									
09/04/2018	00068217982	STEHLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.00	0.00	4.00	2.00
09/04/2018	00073354171	ARMSTRONG,	MFT AMCAP FUND-A	B	0.00	22.21	0.00	22.21	11.11
09/04/2018	00073354184	ARMSTRONG,	MFT THE INVESTMENT COMPANY OF	B	0.00	16.93	0.00	16.93	8.47
09/04/2018	00074171940	DICKEY, G	MFT THE INVESTMENT COMPANY OF	B	0.00	16.86	0.00	16.86	8.43
09/04/2018	00074509080	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	8.46	0.00	8.46	4.23
09/04/2018	00074509102	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	10.36	0.00	10.36	5.18
09/04/2018	00074623111	DE LA VEGA, JESUS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.00	0.00	13.00	6.50
09/04/2018	00074739683	LANE, MORGAN	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.78	0.00	0.78	0.39
09/04/2018	00082268142	CLEVELAND, KATHIE	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	1.06	0.00	1.06	0.53
09/04/2018	04000090127	DICKEY, G	MFT AMERICAN BALANCED FUND-A	B	0.00	93.48	0.00	93.48	46.74
09/04/2018	04000090127	DICKEY, G	MFT CAPITAL INCOME BUILDER-A	B	0.00	118.37	0.00	118.37	59.19
09/04/2018	04000090127	DICKEY, G	MFT NEW PERSPECTIVE FUND-A	B	0.00	37.43	0.00	37.43	18.72
09/04/2018	04000090127	DICKEY, G	MFT THE INCOME FUND OF AMERICA-A	B	0.00	85.43	0.00	85.43	42.72
* RANDALL SMITH-D444 SUBTOTAL *				\$0.00	\$428.37	\$0.00	\$428.37		\$214.21
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$50.00	\$4,274.89	\$0.00	\$4,274.89		\$983.53

BROKER COMMISSION TOTALS

Broker Transactions	\$7,092.84
Split Transactions	\$299.31
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$7,392.15
Year-to-date Commissions	\$67,649.21