



COMMISSION STATEMENT

December 03, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/28/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	12.64	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 04001030377	BUY 0 Units @34.2100						
11/28/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	21.06	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04001030377	BUY 1 Units @34.2100						
11/28/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	121.80	5.18	0.00	5.18	80.0	4.14
	ACCOUNT #: 04001030237	BUY 6 Units @19.7300						
11/28/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	34.80	1.48	0.00	1.48	80.0	1.18
	ACCOUNT #: 04001030237	BUY 2 Units @19.7300						
11/26/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.7900						
11/26/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.7900						
11/26/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.25	0.56	0.00	0.56	80.0	0.45
	ACCOUNT #: 00083064667	BUY 1 Units @27.7900						
11/26/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @27.7900						
11/28/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	23.75	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 04000812765	BUY 1 Units @28.4800						
11/28/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	15.52	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000812765	BUY 1 Units @28.4800						
11/29/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	23.18	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000627501	BUY 1 Units @28.5000						
11/29/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	23.15	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000627501	BUY 1 Units @28.5000						
11/30/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.7200						
11/30/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @27.7200						
11/30/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.7200						
11/30/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.7200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/30/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.7200						
11/30/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.7200						
11/30/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.7200						
11/28/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	50.10	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04001030342	BUY 2 Units @26.9900						
11/28/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @26.9900						
11/26/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.8200						
11/26/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @12.8200						
11/28/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000812746	BUY 5 Units @15.0200						
11/28/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	6.80	0.00	6.80	80.0	5.44
	ACCOUNT #: 04001030467	BUY 11 Units @15.0900						
11/28/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	26.91	1.14	0.00	1.14	80.0	0.91
	ACCOUNT #: 04001030268	BUY 2 Units @15.0900						
11/28/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	44.85	1.91	0.00	1.91	80.0	1.53
	ACCOUNT #: 04001030268	BUY 3 Units @15.0900						
11/28/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.95	1.32	0.00	1.32	80.0	1.06
	ACCOUNT #: 04001030256	BUY 2 Units @15.0900						
11/28/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.95	1.32	0.00	1.32	80.0	1.06
	ACCOUNT #: 04001030256	BUY 2 Units @15.0900						
11/28/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.32	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 04001030247	BUY 2 Units @15.0900						
11/28/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	68.41	2.91	0.00	2.91	80.0	2.33
	ACCOUNT #: 04001030247	BUY 5 Units @15.0900						
11/28/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	40.70	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 04001030467	BUY 3 Units @15.0900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/28/2018	***-**-7678 - LALIBERTE, ACCOUNT #: 04001030397	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @14.0300	8.17	0.08	0.00	0.08	80.0	0.06
11/28/2018	***-**-7678 - LALIBERTE, ACCOUNT #: 04001030397	AMERICAN FUNDS 2030 TARGET DATE-C BUY 18 Units @14.0300	250.00	2.50	0.00	2.50	80.0	2.00
11/26/2018	***-**-5975 - PAQUETTE, NICOLE ACCOUNT #: 04000563724	AMERICAN FUNDS 2035 TARGET DATE-A BUY 8 Units @15.0500	118.85	3.27	0.00	3.27	80.0	2.62
11/26/2018	***-**-5975 - PAQUETTE, NICOLE ACCOUNT #: 04000563724	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.0500	44.57	1.23	0.00	1.23	80.0	0.98
11/28/2018	***-**-632I - GAUDIOSI, JANE ACCOUNT #: 04000813270	AMERICAN FUNDS 2035 TARGET DATE-A BUY 5 Units @15.4700	81.00	3.04	0.00	3.04	80.0	2.43
11/28/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @15.8300	10.00	0.38	0.00	0.38	80.0	0.30
11/28/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @15.8300	10.00	0.38	0.00	0.38	80.0	0.30
11/28/2018	***-**-6609 - GAUDIOSI, ACCOUNT #: 04000812733	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.8300	81.00	3.04	0.00	3.04	80.0	2.43
11/28/2018	***-**-6609 - GAUDIOSI, ACCOUNT #: 04000812733	AMERICAN FUNDS 2040 TARGET DATE-A BUY 19 Units @15.8300	300.00	11.25	0.00	11.25	80.0	9.00
11/28/2018	***-**-0355 - PERREAULT, ACCOUNT #: 04001030442	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.9200	73.20	3.11	0.00	3.11	80.0	2.49
11/28/2018	***-**-0355 - PERREAULT, ACCOUNT #: 04001030442	AMERICAN FUNDS 2040 TARGET DATE-A BUY 15 Units @15.9200	240.00	10.20	0.00	10.20	80.0	8.16
11/28/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @15.9200	20.16	0.86	0.00	0.86	80.0	0.69
11/28/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 4 Units @15.9200	67.18	2.86	0.00	2.86	80.0	2.29
11/28/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 2 Units @15.9200	29.75	1.26	0.00	1.26	80.0	1.01
11/28/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 16 Units @15.9200	250.00	10.63	0.00	10.63	80.0	8.50
11/26/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 7 Units @15.3100	100.00	2.75	0.00	2.75	80.0	2.20



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/26/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.3100	50.30	1.38	0.00	1.38	80.0	1.10
11/26/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @15.3100	187.00	5.14	0.00	5.14	80.0	4.11
11/26/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.3100	45.41	1.25	0.00	1.25	80.0	1.00
11/28/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @15.8400	33.36	1.42	0.00	1.42	80.0	1.14
11/28/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @15.8400	33.36	1.42	0.00	1.42	80.0	1.14
11/28/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 1 Units @12.9800	14.18	0.60	0.00	0.60	80.0	0.48
11/28/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 2 Units @12.9800	23.63	1.00	0.00	1.00	80.0	0.80
11/30/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.5700	75.00	0.90	0.00	0.90	80.0	0.72
11/30/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.5700	75.00	0.90	0.00	0.90	80.0	0.72
11/29/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.7000	66.96	0.67	0.00	0.67	80.0	0.54
11/29/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.7000	240.50	2.41	0.00	2.41	80.0	1.93
11/29/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.7000	45.00	0.45	0.00	0.45	80.0	0.36
11/29/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.7000	37.29	0.37	0.00	0.37	80.0	0.30
11/28/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @44.1900	12.63	0.54	0.00	0.54	80.0	0.43
11/28/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @44.1900	21.05	0.89	0.00	0.89	80.0	0.71
11/28/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 0 Units @47.7700	18.95	0.19	0.00	0.19	80.0	0.15



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/28/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	50.53	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 04001030438	BUY 1 Units @47.7700						
11/30/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.4900						
11/28/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.63	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @44.8300						
11/28/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	33.69	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 04001030438	BUY 1 Units @44.8300						
* MUTUAL FUNDS SUBTOTAL *			\$5,322.00	\$135.25	\$0.00	\$135.25		\$108.17
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/03/2018	***-**-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS	0.00	4.84	0.00	4.84	80.0	3.87
		BUY 0 Units @0.0000						
12/03/2018	***-**-078I - PELLETIER,	SAMMONS MF TRAILS	0.00	24.30	0.00	24.30	80.0	19.44
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
12/03/2018	***-**-365I - HENDRICKS,	SAMMONS MF TRAILS	0.00	50.89	0.00	50.89	80.0	40.71
	ACCOUNT #: 7200000751	BUY 0 Units @0.0000						
12/03/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	30.10	0.00	30.10	80.0	24.08
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
12/03/2018	***-**-230I - ADAMAITIS, JOY	SAMMONS MF TRAILS	0.00	37.24	0.00	37.24	80.0	29.79
	ACCOUNT #: 7200005523	BUY 0 Units @0.0000						
12/03/2018	***-**-069I - PIRES, DAVID	SAMMONS MF TRAILS	0.00	16.85	0.00	16.85	80.0	13.48
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
12/03/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	20.03	0.00	20.03	80.0	16.02
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$184.25	\$0.00	\$184.25		\$147.39
*** BROKER TRANSACTIONS TOTAL ***			\$5,322.00	\$319.50	\$0.00	\$319.50		\$255.56



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						<u>BROKER COMMISSION TOTALS</u>			
						Broker Transactions		\$255.56	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						<hr/>			
						Net Commission Earned		\$255.56	
						Year-to-date Earnings		\$100,289.42	