

COMMISSION STATEMENT

November 30, 2018

 PAUL WIMBERLY-D073
 13918 Keel Avenue

 BROKER #: D073
 BRANCH: DMN

Corpus Christi, TX 78418

COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<div>CLEARING TRANSACTIONS</div>										
PRODUCT CATEGORY: STOCKS										
11/12/2018 368284958	MOLLER, JUDY	S	UNITED PARCEL SERVICE INC CL B	B	5,521.85	65.90	15.00	50.90	87.5	44.54
11/26/2018 646142208	MOLLER, GODFREY	S	TWENTY-FIRST CENTURY FOX INC CL	S	-70,595.32	551.76	0.00	551.76	87.5	482.79
11/26/2018 646142208	MOLLER, GODFREY	S	TWENTY-FIRST CENTURY FOX INC CL	S	-24,309.68	190.00	0.00	190.00	87.5	166.25
11/26/2018 646142208	MOLLER, GODFREY	S	TWENTY-FIRST CENTURY FOX INC CL	S	-2,331.28	18.24	15.00	3.24	87.5	2.84
11/09/2018 646272022	FAGG, LINDA	S	KNIGHT-SWIFT TRANSN HLDGS INC	S	-7,037.41	15.00	15.00	0.00	87.5	0.00
11/09/2018 646272022	FAGG, LINDA	S	NASDAQ, INC FORMERLY NASDAQ	B	4,974.59	15.00	15.00	0.00	87.5	0.00
11/12/2018 677623004	CURTIS, DAVID	S	ISHARES RUSSELL 1000 GROWTH	S	-52,595.28	15.00	15.00	0.00	87.5	0.00
11/12/2018 677623004	CURTIS, DAVID	S	SPDR FD TECHNOLOGY INDX TRADING	S	-23,684.73	15.00	15.00	0.00	87.5	0.00
11/12/2018 677623004	CURTIS, DAVID	S	VANGUARD GROWTH ETF (FORMERLY)	S	-51,971.83	15.00	15.00	0.00	87.5	0.00
11/12/2018 677623004	CURTIS, DAVID	S	VANGUARD MEGA CAP GROWTH ETF	S	-19,928.56	15.00	15.00	0.00	87.5	0.00
11/12/2018 677623004	CURTIS, DAVID	S	VANGUARD SMALL -CAP GROWTH ETF	S	-24,207.68	15.00	15.00	0.00	87.5	0.00
11/20/2018 677623004	CURTIS, DAVID	S	SPDR S&P 500 ETF TRUST	B	17,181.35	15.00	15.00	0.00	87.5	0.00
11/20/2018 677623004	CURTIS, DAVID	S	SPDR S&P 500 ETF TRUST	B	152,207.70	15.00	15.00	0.00	87.5	0.00
11/26/2018 677623004	CURTIS, DAVID	S	ISHARES S&P 500 GROWTH INDEX	B	4,758.95	15.00	15.00	0.00	87.5	0.00
11/09/2018 799497978	WIMBERLY, PAUL	S	VANGUARD INDEX FDS S&P 500 ETF	S	-509,138.93	15.00	15.00	0.00	87.5	0.00
11/16/2018 799497978	WIMBERLY, PAUL	S	VANGUARD INDEX FDS S&P 500 ETF	B	503,016.85	15.00	15.00	0.00	87.5	0.00
* STOCKS SUBTOTAL *					\$-98,139.41	\$1,005.90	\$210.00	\$795.90		\$696.42
PRODUCT CATEGORY: OPTIONS										
10/31/2018 677623004	CURTIS, DAVID	O	PUT: INVESCO QQQ TRUST SEPT	B	16,899.44	15.00	15.00	0.00	87.5	0.00
* OPTIONS SUBTOTAL *					\$16,899.44	\$15.00	\$15.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/21/2018 mfc750162	WIMBERLY 750162		MFT LARGE CAP EQUITY A	B	0.00	1.76	0.00	1.76	87.5	1.54
11/21/2018 mfc750162	WIMBERLY 750162		MFT NEW PERSPECTIVE FUND-A	B	0.00	1.54	0.00	1.54	87.5	1.35
11/21/2018 mfc750162	WIMBERLY 750162		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.73	0.00	0.73	87.5	0.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$4.03	\$0.00	\$4.03		\$3.53
*** CLEARING TRANSACTIONS TOTAL ***					\$-81,239.97	\$1,024.93	\$225.00	\$799.93		\$699.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None)	VARIOUS, WIMBERLY	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	15.76	0.00	15.76	87.5	13.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$15.76	\$0.00	\$15.76		\$13.79
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$15.76	\$0.00	\$15.76		\$13.79

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		103.10
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$48.10
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$48.10

BROKER COMMISSION TOTALS

Broker Transactions	\$713.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$48.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$761.84
Year-to-date Commissions	\$18,826.09