

## COMMISSION STATEMENT

November 30, 2018

JOHN HEIDENREICH  
7 EAST 86TH ST  
APT 15B  
NEW YORK, NY 10028

BROKER #: P7H R90 R30 A09  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/05/2018	75501312	HEIDENREICH, CHARLYN	DELAWARE INVESTMENTS DIVERSIFIED IN S	2,375.00	0.00	0.00	0.00	65.0	0.00
11/05/2018	75501312	HEIDENREICH, CHARLYN	SHORT-TERM BOND FUND OF AMERICA - A B	15,410.45	0.00	0.00	0.00	65.0	0.00
11/05/2018	75501312	HEIDENREICH, CHARLYN	THE INVESTMENT COMPANY OF AMERICA-A S	15,410.45	0.00	0.00	0.00	65.0	0.00
11/29/2018	75501313	HEIDENREICH, ROBERT	AIM UTILITIES FUND - CLASS A S	3,500.00	0.00	0.00	0.00	65.0	0.00
11/08/2018	75501316	PIPER, CAROL	GOLDMAN SACHS TR SHORT DURATION INC B	30,886.30	0.00	0.00	0.00	65.0	0.00
11/08/2018	75501316	PIPER, CAROL	GOLDMAN SACHS TOLLKEEPER FUND CLASS S	30,886.30	0.00	0.00	0.00	65.0	0.00
11/02/2018	75501867	PAGAN, VIONA LYNN	**JPMORGAN TR II S	121,633.76	0.00	0.00	0.00	65.0	0.00
11/02/2018	75501867	PAGAN, VIONA LYNN	**JPMORGAN TR I S	24,897.69	0.00	0.00	0.00	65.0	0.00
11/02/2018	75501867	PAGAN, VIONA LYNN	GROWTH AND INCOME FUND - A S	91,403.93	0.00	0.00	0.00	65.0	0.00
11/02/2018	75501867	PAGAN, VIONA LYNN	JP MORGAN LIMITED DURATION BOND FUN B	24,897.69	0.00	0.00	0.00	65.0	0.00
11/02/2018	75501867	PAGAN, VIONA LYNN	JP MORGAN LIMITED DURATION BOND FUN B	121,633.76	0.00	0.00	0.00	65.0	0.00
11/02/2018	75501867	PAGAN, VIONA LYNN	JPMORGAN TR II SHORT DURATION BD FD B	91,403.93	0.00	0.00	0.00	65.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$574,339.26	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

11/01/2018	75501290	PRESTON, MARGARITA	ABERDEEN GLOBAL PREMIER PROPERTIES S	5,440.00	219.53	10.00	209.53	65.0	132.69
10/30/2018	75501291	PRESTON, MARGARITA	GENERAL ELECTRIC CO B	20,080.00	400.00	10.00	390.00	65.0	250.00
11/02/2018	75501291	PRESTON, MARGARITA	PROSHARES ULTRA DOW30 ETF S	26,610.00	150.00	10.00	140.00	65.0	87.50
11/05/2018	75501291	PRESTON, MARGARITA	RESTORATION ROBOTICS INC COMMON STO S	6,060.00	210.00	10.00	200.00	65.0	126.50
11/06/2018	75501291	PRESTON, MARGARITA	PROSHARES TRUST PROSHARES SHORT DOW S	-33,936.00	-300.00	-10.00	-290.00	65.0	-185.00 C
11/06/2018	75501291	PRESTON, MARGARITA	PROSHARES TRUST PROSHARES SHORT DOW B	33,936.00	300.00	10.00	290.00	65.0	185.00
11/06/2018	75501291	PRESTON, MARGARITA	PROSHARES TRUST PROSHARES SHORT DOW B	33,936.00	300.00	10.00	290.00	65.0	185.00
11/08/2018	75501291	PRESTON, MARGARITA	LIVENT CORPORATION COMMON STOCK S	17,980.00	250.00	10.00	240.00	65.0	152.50
11/09/2018	75501291	PRESTON, MARGARITA	ENABLE MIDSTREAM PARTNERS LP COM UN B	22,275.00	487.13	10.00	477.13	65.0	306.63
11/15/2018	75501291	PRESTON, MARGARITA	CHICKEN SOUP FOR THE SOUL ENTERTAIN B	25,000.00	0.00	10.00	-10.00	65.0	-10.00
11/20/2018	75501291	PRESTON, MARGARITA	PROSHARES TRUST PROSHARES SHORT DOW S	35,448.00	300.00	10.00	290.00	65.0	185.00
11/28/2018	75501291	PRESTON, MARGARITA	GLOBAL NET LEASE INC COM B	40,400.00	0.00	10.00	-10.00	65.0	-10.00
11/06/2018	75501292	JOE, HENRY	LIVENT CORPORATION COMMON STOCK S	8,810.00	100.00	10.00	90.00	65.0	55.00
11/06/2018	75501292	JOE, HENRY	NEOS THERAPEUTICS INC COM B	2,300.00	0.00	10.00	-10.00	65.0	-10.00
11/08/2018	75501292	JOE, HENRY	APPLE INC S	31,171.89	210.00	10.00	200.00	65.0	126.50
11/09/2018	75501292	JOE, HENRY	MICROSOFT CORP S	11,085.00	105.00	10.00	95.00	65.0	58.25

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/13/2018	75501292	JOE, HENRY		RESTORATION ROBOTICS INC COMMON STO S	2,020.00	80.00	10.00	70.00	65.0	42.00
11/14/2018	75501292	JOE, HENRY		PROSHARES TRUST PROSHARES ULTRASHOR B	30,123.28	200.00	10.00	190.00	65.0	120.00
11/20/2018	75501292	JOE, HENRY		EASTSIDE DISTILLING INC COMMON STOC B	6,500.00	0.00	10.00	-10.00	65.0	-10.00
11/21/2018	75501292	JOE, HENRY		PROSHARES TRUST PROSHARES ULTRASHOR S	31,264.00	200.00	10.00	190.00	65.0	120.00
11/23/2018	75501292	JOE, HENRY		NEOS THERAPEUTICS INC COM B	3,600.00	100.00	10.00	90.00	65.0	55.00
11/26/2018	75501292	JOE, HENRY		SOLARWINDS CORPORATION COMMON STOCK S	2,884.00	80.00	10.00	70.00	65.0	42.00
11/23/2018	75501293	JOE, HENRY		CENTRAL GARDEN & PET CO CL A NON-VT S	8,508.00	196.28	10.00	186.28	65.0	117.58
11/26/2018	75501293	JOE, HENRY		BRP INC COMMON SUBORDINATE VOTING S S	6,672.00	100.00	10.00	90.00	65.0	55.00
10/30/2018	75501307	TOTTEN, ANN		ORCHID ISLAND CAPITAL INC S	13,280.00	240.00	10.00	230.00	65.0	146.00
11/01/2018	75501307	TOTTEN, ANN		AIRCASTLE LTD SHS ISIN#BMG0219K1045 S	24,450.00	375.00	10.00	365.00	65.0	233.75
11/05/2018	75501307	TOTTEN, ANN		PROSHARES TRUST PROSHARES SHORT DOW B	14,272.50	286.74	10.00	276.74	65.0	176.38
11/05/2018	75501307	TOTTEN, ANN		RESTORATION ROBOTICS INC COMMON STO S	5,150.00	175.00	10.00	165.00	65.0	103.75
11/06/2018	75501307	TOTTEN, ANN		PROSHARES TRUST PROSHARES ULTRASHOR B	27,637.50	505.27	10.00	495.27	65.0	318.43
11/09/2018	75501307	TOTTEN, ANN		ENABLE MIDSTREAM PARTNERS LP COM UN B	14,960.00	357.86	10.00	347.86	65.0	222.61
11/09/2018	75501307	TOTTEN, ANN		LIVENT CORPORATION COMMON STOCK S	8,940.00	75.00	10.00	65.00	65.0	38.75
11/09/2018	75501307	TOTTEN, ANN		SACHEM CAPITAL CORP COMMON SHARES S	6,270.00	150.00	10.00	140.00	65.0	87.50
11/15/2018	75501307	TOTTEN, ANN		CHICKEN SOUP FOR THE SOUL ENTERTAIN B	25,000.00	0.00	10.00	-10.00	65.0	-10.00
11/20/2018	75501307	TOTTEN, ANN		PROSHARES TRUST PROSHARES ULTRASHOR S	29,805.00	375.00	10.00	365.00	65.0	233.75
11/23/2018	75501307	TOTTEN, ANN		PROSHARES TRUST PROSHARES SHORT DOW S	14,855.00	100.00	10.00	90.00	65.0	55.00
11/26/2018	75501307	TOTTEN, ANN		SACHEM CAPITAL CORP COMMON SHARES S	6,098.62	145.90	10.00	135.90	65.0	84.84
11/28/2018	75501307	TOTTEN, ANN		GLOBAL NET LEASE INC COM B	40,400.00	0.00	10.00	-10.00	65.0	-10.00
10/30/2018	75501308	ANN S TOTTEN		APOLLO COMMERCIAL REAL ESTATE FINAN S	4,247.95	139.86	10.00	129.86	65.0	80.91
10/30/2018	75501308	ANN S TOTTEN		BLACKROCK ENHANCED EQUITY DIVIDEND S	8,360.00	261.96	10.00	251.96	65.0	160.27
10/31/2018	75501308	ANN S TOTTEN		VOYA GLOBAL EQUITY DIVIDEND AND PRE S	6,540.00	235.52	10.00	225.52	65.0	143.09
11/15/2018	75501308	ANN S TOTTEN		CHICKEN SOUP FOR THE SOUL ENTERTAIN B	10,000.00	0.00	10.00	-10.00	65.0	-10.00
11/28/2018	75501308	ANN S TOTTEN		GLOBAL NET LEASE INC COM B	10,100.00	0.00	10.00	-10.00	65.0	-10.00
11/07/2018	75501310	MARGARITA F PRESTON		GENERAL ELECTRIC CO B	18,399.00	472.43	10.00	462.43	65.0	297.08
11/07/2018	75501310	MARGARITA F PRESTON		LIVENT CORPORATION COMMON STOCK S	17,640.00	120.00	10.00	110.00	65.0	68.00
11/07/2018	75501310	MARGARITA F PRESTON		SACHEM CAPITAL CORP COMMON SHARES S	4,150.00	100.00	10.00	90.00	65.0	55.00
11/07/2018	75501310	MARGARITA F PRESTON		SACHEM CAPITAL CORP COMMON SHARES S	8,300.00	200.00	10.00	190.00	65.0	120.00
11/08/2018	75501310	MARGARITA F PRESTON		SACHEM CAPITAL CORP COMMON SHARES S	8,360.00	240.00	10.00	230.00	65.0	146.00
11/09/2018	75501310	MARGARITA F PRESTON		ENABLE MIDSTREAM PARTNERS LP COM UN B	22,380.00	488.15	10.00	478.15	65.0	307.30
11/15/2018	75501310	MARGARITA F PRESTON		CHICKEN SOUP FOR THE SOUL ENTERTAIN B	25,000.00	0.00	10.00	-10.00	65.0	-10.00
11/28/2018	75501310	MARGARITA F PRESTON		GLOBAL NET LEASE INC COM B	30,300.00	0.00	10.00	-10.00	65.0	-10.00
10/31/2018	75501313	HEIDENREICH, ROBERT		OXFORD LANE CAPITAL CORP S	15,480.00	300.00	10.00	290.00	65.0	185.00
10/31/2018	75501313	HEIDENREICH, ROBERT		PROSPECT CAPITAL CORPORATION S	16,850.00	300.00	10.00	290.00	65.0	185.00
11/05/2018	75501313	HEIDENREICH, ROBERT		PROSHARES ULTRA DOW30 ETF S	36,040.00	100.00	10.00	90.00	65.0	55.00
11/09/2018	75501313	HEIDENREICH, ROBERT		ANNALY CAPITAL MANAGEMENT INC S	30,180.00	420.00	10.00	410.00	65.0	263.00
11/15/2018	75501313	HEIDENREICH, ROBERT		CHICKEN SOUP FOR THE SOUL ENTERTAIN B	30,000.00	0.00	10.00	-10.00	65.0	-10.00
11/20/2018	75501313	HEIDENREICH, ROBERT		EASTSIDE DISTILLING INC COMMON STOC B	6,500.00	0.00	10.00	-10.00	65.0	-10.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
11/23/2018	75501313 HEIDENREICH, ROBERT	CHIPOTLE MEXICAN GRILL INC COMMON S	B	23,697.50	105.00	10.00	95.00	65.0	58.25
11/23/2018	75501313 HEIDENREICH, ROBERT	EXXON MOBIL CORPORATION	B	15,067.00	210.00	10.00	200.00	65.0	126.50
11/23/2018	75501313 HEIDENREICH, ROBERT	UNITED TECHNOLOGIES CORP	B	25,903.78	210.00	10.00	200.00	65.0	126.50
11/23/2018	75501313 HEIDENREICH, ROBERT	VERIZON COMMUNICATIONS	B	23,587.60	300.00	10.00	290.00	65.0	185.00
11/28/2018	75501313 HEIDENREICH, ROBERT	GLOBAL NET LEASE INC COM	B	30,300.00	0.00	10.00	-10.00	65.0	-10.00
10/31/2018	75501316 PIPER, CAROL	SACHEM CAPITAL CORP COMMON SHARES	S	1,647.72	47.76	10.00	37.76	65.0	21.04
11/01/2018	75501316 PIPER, CAROL	SACHEM CAPITAL CORP COMMON SHARES	S	1,097.10	31.80	10.00	21.80	65.0	10.67
11/02/2018	75501316 PIPER, CAROL	SACHEM CAPITAL CORP COMMON SHARES	S	1,374.96	40.44	10.00	30.44	65.0	16.29
11/28/2018	75501316 PIPER, CAROL	GLOBAL NET LEASE INC COM	B	10,100.00	0.00	10.00	-10.00	65.0	-10.00
11/06/2018	75501317 PIPER, CAROL	SACHEM CAPITAL CORP COMMON SHARES	S	8,280.00	200.00	10.00	190.00	65.0	120.00
11/08/2018	75502116 BONE, ELIZABETH	RESTORATION ROBOTICS INC COMMON STO	S	3,060.00	120.00	10.00	110.00	65.0	68.00
11/20/2018	75502116 BONE, ELIZABETH	EASTSIDE DISTILLING INC COMMON STOC	B	3,250.00	0.00	10.00	-10.00	65.0	-10.00
10/30/2018	75502213 COLON, LUIS	SPDR S&P 500 ETF TRUST	B	1,059.48	40.00	10.00	30.00	65.0	16.00
10/30/2018	QGW023848 BYRNE, GERARD	GENERAL ELECTRIC CO	B	10,620.00	220.55	10.00	210.55	65.0	133.36
10/30/2018	QGW023848 BYRNE, GERARD	RMR GROUP INC (THE) CLASS A	S	-7,997.95	90.69	10.00	80.69	65.0	48.95
11/02/2018	QGW023848 BYRNE, GERARD	BANK OF AMERICA CORP	S	-21,600.00	322.42	10.00	312.42	65.0	199.57
11/02/2018	QGW023848 BYRNE, GERARD	FLUOR CORP NEW	S	-8,800.00	140.59	10.00	130.59	65.0	81.38
11/09/2018	QGW023848 BYRNE, GERARD	CISCO SYS INC	S	-4,734.35	71.07	10.00	61.07	65.0	36.20
11/09/2018	QGW023848 BYRNE, GERARD	LIVENT CORPORATION COMMON STOCK	S	-18,780.00	311.77	10.00	301.77	65.0	192.65
11/20/2018	QGW023848 BYRNE, GERARD	EASTSIDE DISTILLING INC COMMON STOC	B	6,500.00	0.00	10.00	-10.00	65.0	-10.00
11/20/2018	QGW023848 BYRNE, GERARD	EASTSIDE DISTILLING INC COMMON STOC	B	3,250.00	0.00	10.00	-10.00	65.0	-10.00
11/20/2018	QGW023848 BYRNE, GERARD	EASTSIDE DISTILLING INC COMMON STOC	B	-3,250.00	0.00	-10.00	10.00	65.0	10.00 C
11/28/2018	QGW023848 BYRNE, GERARD	VERU INC COMMON STOCK	S	-2,310.00	75.00	10.00	65.00	65.0	38.75
10/30/2018	QGW030355 GOULD, PETER	GENERAL ELECTRIC CO	B	15,075.00	295.19	10.00	285.19	65.0	181.87
11/07/2018	QGW030355 GOULD, PETER	LIVENT CORPORATION COMMON STOCK	S	-5,316.00	60.00	10.00	50.00	65.0	29.00
11/07/2018	QGW030355 GOULD, PETER	RESTORATION ROBOTICS INC COMMON STO	S	-3,060.00	142.49	10.00	132.49	65.0	82.62
11/08/2018	QGW030355 GOULD, PETER	AKERS BIOSCIENCES INC COMMON STOCK	S	-587.50	30.00	10.00	20.00	65.0	9.50
11/08/2018	QGW030355 GOULD, PETER	PROSHARES TRUST PROSHARES ULTRASHOR	B	14,214.56	216.02	10.00	206.02	65.0	130.41
11/08/2018	QGW030355 GOULD, PETER	RESTORATION ROBOTICS INC COMMON STO	S	-6,060.00	180.00	10.00	170.00	65.0	107.00
11/20/2018	QGW030355 GOULD, PETER	EASTSIDE DISTILLING INC COMMON STOC	B	3,250.00	0.00	10.00	-10.00	65.0	-10.00
11/28/2018	QGW030355 GOULD, PETER	VERU INC COMMON STOCK	S	-2,280.00	75.00	10.00	65.00	65.0	38.75
10/30/2018	QGW033367 NAUMOV, GEORGE	SIMULATIONS PLUS INC COM	S	-10,231.02	75.45	10.00	65.45	65.0	39.04
10/31/2018	QGW033367 NAUMOV, GEORGE	SIMULATIONS PLUS INC COM	S	-6,064.74	44.55	10.00	34.55	65.0	18.96
11/05/2018	QGW033367 NAUMOV, GEORGE	TITAN PHARMACEUTICALS INC COM	S	-2,100.00	100.00	10.00	90.00	65.0	55.00
11/07/2018	QGW033367 NAUMOV, GEORGE	WAYFAIR INC CL A	B	19,092.00	100.00	10.00	90.00	65.0	55.00
11/08/2018	QGW033367 NAUMOV, GEORGE	ENABLE MIDSTREAM PARTNERS LP COM UN	B	18,456.00	180.00	10.00	170.00	65.0	107.00
11/15/2018	QGW033367 NAUMOV, GEORGE	CHICKEN SOUP FOR THE SOUL ENTERTAIN	B	10,000.00	0.00	10.00	-10.00	65.0	-10.00
11/14/2018	QGW033607 NAUMOV, GEORGE	LIVENT CORPORATION COMMON STOCK	S	-13,020.00	175.00	10.00	165.00	65.0	103.75

\* STOCKS SUBTOTAL \*

\$1,050,803.88

\$14,062.42

\$900.00

\$13,162.42

\$8,240.57

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
11/02/2018	75501291 PRESTON, MARGARITA	CALL MMM 11/23/18 190	B	300.00	30.00	10.00	20.00	65.0	9.50
11/20/2018	QGW023848 BYRNE, GERARD	CALL 100 CAMPBELL SOUP CO COM EXP 1 S		-270.00	30.00	10.00	20.00	65.0	9.50
11/06/2018	QGW030355 GOULD, PETER	CALL 100 COTY INC COM CL A EXP 11-0 S		-225.00	25.00	10.00	15.00	65.0	6.25
11/06/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-16 B		1,116.00	55.56	10.00	45.56	65.0	26.11
11/12/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-23 B		1,200.00	56.76	10.00	46.76	65.0	26.89
11/13/2018	QGW030355 GOULD, PETER	CALL 100 GENERAL ELECTRIC CO COM EX S		-600.00	50.40	10.00	40.40	65.0	22.76
11/13/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-16 S		-2,900.00	79.31	10.00	69.31	65.0	41.55
11/14/2018	QGW030355 GOULD, PETER	CALL 100 COTY INC COM CL A EXP 11-2 S		-250.00	30.00	10.00	20.00	65.0	9.50
11/15/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-23 B		980.00	53.61	10.00	43.61	65.0	24.85
11/16/2018	QGW030355 GOULD, PETER	CALL 100 GENERAL ELECTRIC CO COM EX B		150.00	25.00	10.00	15.00	65.0	6.25
11/16/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-23 S		-2,000.00	68.20	10.00	58.20	65.0	34.33
11/19/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-23 S		-3,300.00	83.27	10.00	73.27	65.0	44.13
11/19/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-30 B		1,528.00	61.45	10.00	51.45	65.0	29.94
11/20/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-30 B		1,280.00	57.90	10.00	47.90	65.0	27.64
11/20/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-30 S		-2,400.00	73.92	10.00	63.92	65.0	38.05
11/21/2018	QGW030355 GOULD, PETER	PUT 100 FACEBOOK INC CL A EXP 11-30 B		752.00	50.35	10.00	40.35	65.0	22.73
11/23/2018	QGW030355 GOULD, PETER	CALL 100 COTY INC COM CL A EXP 11-2 B		90.00	25.00	10.00	15.00	65.0	6.25
* OPTIONS SUBTOTAL *				\$-4,549.00	\$855.73	\$170.00	\$685.73		\$386.23
<b><u>PRODUCT CATEGORY: RIA</u></b>									
10/01/2018	75502184 PRESTON, ROBERT	FAS FEES PT. II/III	B	-1,791.12	519.42	10.00	509.42	65.0	327.62
10/01/2018	75502186 DOLE, VIVIAN	FAS FEES PT. II/III	B	-923.94	263.63	10.00	253.63	65.0	161.36
* RIA SUBTOTAL *				\$-2,715.06	\$783.05	\$20.00	\$763.05		\$488.98
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/30/2018		FEE COUNT - 71		0.00	1,011.83	0.00	1,011.83	65.0	657.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,011.83	\$0.00	\$1,011.83		\$657.73
*** BROKER TRANSACTIONS TOTAL ***				\$1,617,879.08	\$16,713.03	\$1,090.00	\$15,623.03		\$9,773.51
<b><u>ADJUSTMENTS</u></b>									
11/30/2018		MWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
11/30/2018		OTHER: REVERSE CLEARING CHG		0.00	0.00	0.00	0.00		20.00
11/30/2018		: QUODD		0.00	0.00	0.00	0.00		-180.85
11/30/2018		COMMISSION INCOME: CSSEP		0.00	0.00	0.00	0.00		2,250.00
11/30/2018		COMMISSION INCOME: EAST		0.00	0.00	0.00	0.00		409.50
11/30/2018		COMMISSION INCOME: NEOS		0.00	0.00	0.00	0.00		18.63
11/30/2018		COMMISSION INCOME: GNL		0.00	0.00	0.00	0.00		1,208.27

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.00
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2018		OTHER: RBC -WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
11/30/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.44
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$3,612.11

BROKER COMMISSION TOTALS

Broker Transactions	\$9,773.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$3,612.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-20.89
SIPC Assessment	\$-41.78

Check Amount	\$13,322.95
Year-to-date Earnings	\$84,147.49