



# COMMISSION STATEMENT

## October 15, 2018

**FREDERIC SCARPULLA**  
400 West Metro Park  
Rochester, NY 14623

**BROKER #: 417**  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/18/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	64.02	0.64	0.00	0.64	80.0	0.51
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
09/18/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	48.01	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						
09/18/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 08370627385	BUY 0 Units @0.0000						
09/18/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
09/18/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	80.02	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
09/19/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
09/18/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
09/18/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	80.02	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
09/18/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
09/19/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
09/18/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	48.02	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
09/18/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
09/18/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
09/18/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
09/24/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
09/18/2018	***-**-1314 - BENEDETTO,	QUEST BALANCED FUND C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 02592292741	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/19/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						
09/19/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
09/18/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						
09/18/2018	***-**-1314 - BENEDETTO,	STRATEGIC INCOME FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02322751089	BUY 0 Units @0.0000						
10/10/2018	***-**-667I - BRONGO, ROBERT	T ROWE PRICE MID CAP GROWTH	900.00	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR012765	BUY 10 Units @92.1000						
* MUTUAL FUNDS SUBTOTAL *			\$2,645.09	\$20.45	\$3.00	\$17.45		\$13.95
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
10/15/2018	***-**-5046 - GUERRIER, JOHN	PRINCIPAL VA	0.00	821.56	0.00	821.56	80.0	657.25
	ACCOUNT #: 456938	BUY 1 Units @0.0000						
10/15/2018	***-**-4432 - WHITE HAVEN	PRINCIPAL VA	0.00	1,452.42	0.00	1,452.42	80.0	1,161.94
	ACCOUNT #: 612565	BUY 1 Units @0.0000						
10/15/2018	***-**-96CL - SCARPULLA,	PRINCIPAL VA	0.00	209.75	0.00	209.75	80.0	167.80
	ACCOUNT #: 618072	BUY 1 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$2,483.73	\$0.00	\$2,483.73		\$1,986.99
<b>PRODUCT CATEGORY: 529 PLAN</b>								
10/15/2018	***-**-6793 - ESTEP, ARDEN	NY 529 ADDITIONAL DEPOSIT	49.50	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 77375699601	BUY 0 Units @0.0000						
10/15/2018	***-**-335P - JULIAN, GIOVANA	NY 529 ADDITIONAL DEPOSIT	447.96	4.54	0.00	4.54	80.0	3.63
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
10/15/2018	***-**-503J - OBI, JEAN	NY 529 ADDITIONAL DEPOSIT	47.39	2.38	0.00	2.38	80.0	1.90
		BUY 0 Units @0.0000						
10/15/2018	***-**-015P - FANTAUZZO,	NY 529 ADDITIONAL DEPOSIT	163.35	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
10/15/2018	***-**-335P - JULIAN, GIOVANA	NY 529 ADDITIONAL DEPOSIT	223.98	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN (continued)</b>								
10/15/2018	***-**-80IN - MOYER, COLLEEN	NY 529 ADDITIONAL DEPOSIT	48.25	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
10/15/2018	***-**-6352 - GINERVA, ENZA	NY 529 ADDITIONAL DEPOSIT	24.75	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
10/15/2018	***-**-2942 - WARNEY, KENNETH	NY 529 ADDITIONAL DEPOSIT	24.75	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$1,029.93</b>	<b>\$13.36</b>	<b>\$0.00</b>	<b>\$13.36</b>		<b>\$10.69</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/01/2018	***-**-3106 - LAMB, BERNICE	37252M108	0.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00551402351	BUY 0 Units @0.0000						
10/04/2018	***-**-3043 - SCARPULLA,	AMERICAN FUNDS 401K TRAILS	0.00	460.19	0.00	460.19	80.0	368.15
	ACCOUNT #: IRK131856	BUY 0 Units @0.0000						
10/03/2018	***-**-732J - ARBORE, MARIA	CORE BOND FUND - A	0.00	4.11	0.00	4.11	80.0	3.29
	ACCOUNT #: 00000108231	BUY 0 Units @0.0000						
10/15/2018	***-**-526I - CAVALLARO,	DREYFUS MF TRAILS	0.00	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: 3360045601	BUY 0 Units @0.0000						
10/15/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	8.39	0.00	8.39	80.0	6.71
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
10/05/2018	***-**-4550 - JONES, JEFFREY	FA 529 PORT 2019-CL P	0.00	5.98	0.00	5.98	80.0	4.78
	ACCOUNT #: 00529631491	BUY 0 Units @0.0000						
10/05/2018	***-**-7278 - GUERRIERI DDS,	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	0.71	0.00	0.71	80.0	0.57
	ACCOUNT #: 00655383206	BUY 0 Units @0.0000						
10/05/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV FREEDOM 2020-CL C	0.00	1.75	0.00	1.75	80.0	1.40
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
10/05/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
10/05/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	3.70	0.00	3.70	80.0	2.96
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
10/05/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV MID CAP II-CL A	0.00	5.02	0.00	5.02	80.0	4.02
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
10/05/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.05	0.00	5.05	80.0	4.04
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/05/2018	***-**-7352 - GEISELHART, ACCOUNT #: 00618796254	FIDELITY ADV STOCK SELECTOR ALL CAP BUY 0 Units @0.0000	0.00	32.41	0.00	32.41	80.0	25.93
10/05/2018	***-**-5703 - DIODATO, ACCOUNT #: 03900218260	FIDELITY ADV STRATEGIC INCOME CL C BUY 0 Units @0.0000	0.00	0.40	0.00	0.40	80.0	0.32
10/05/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475423313	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	5.04	0.00	5.04	80.0	4.03
10/05/2018	***-**-0471 - BONACCI, LAURIE ACCOUNT #: 01475410302	FIDELITY ADVISOR FREEDOM 2020 CL A BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	80.0	0.74
10/03/2018	***-**-39IN - ARBORE, MARIA ACCOUNT #: 00000176375	GROWTH AND INCOME FUND - A BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	80.0	2.41
10/01/2018	***-**-290I - SAWDEY, MARILYN ACCOUNT #: 09896338263	MFS GOVERNMENT SECURITIES FUND-A BUY 0 Units @0.0000	0.00	4.72	0.00	4.72	80.0	3.78
10/01/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 08191357165	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	11.90	0.00	11.90	80.0	9.52
10/15/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	80.0	1.90
10/15/2018	***-**-3758 - CONNORS, DAVID ACCOUNT #: 77162702001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	80.0	0.33
10/15/2018	***-**-015P - FANTAUZZO, ACCOUNT #: 77529133201	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	80.0	2.13
10/15/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	8.92	0.00	8.92	80.0	7.14
10/15/2018	***-**-80IN - MOYER, COLLEEN ACCOUNT #: 77705588101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	13.52	0.00	13.52	80.0	10.82
10/15/2018	***-**-6352 - GINERVA, ENZA ACCOUNT #: 77766297801	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.82	0.00	1.82	80.0	1.46
10/15/2018	***-**-2942 - WARNEY, KENNETH ACCOUNT #: 77932712101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	80.0	0.39
10/01/2018	***-**-33IN - TESTA, BARBARA ACCOUNT #: 00214281708	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	23.13	0.00	23.13	80.0	18.50
10/01/2018	***-**-642J - DELGALZO, ACCOUNT #: 00364555089	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.26	0.00	2.26	80.0	1.81



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/01/2018	***-**-130I - CIRA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	4.76	0.00	4.76	80.0	3.81
	ACCOUNT #: 00415148688	BUY 0 Units @0.0000						
10/01/2018	***-**-33UG - DELGAIZO, ALESHA	PUTNAM EQUITY INCOME FUND-A	0.00	6.86	0.00	6.86	80.0	5.49
	ACCOUNT #: 00437911872	BUY 0 Units @0.0000						
10/01/2018	***-**-73UG - DELGAIZO,	PUTNAM EQUITY INCOME FUND-A	0.00	4.26	0.00	4.26	80.0	3.41
	ACCOUNT #: 00481564658	BUY 0 Units @0.0000						
10/01/2018	***-**-642I - DELGAIZO, LISA	PUTNAM EQUITY INCOME FUND-A	0.00	3.93	0.00	3.93	80.0	3.14
	ACCOUNT #: 00488983741	BUY 0 Units @0.0000						
10/01/2018	***-**-939I - ARBORE, MARIA	PUTNAM EQUITY INCOME FUND-A	0.00	30.67	0.00	30.67	80.0	24.54
	ACCOUNT #: 00506910960	BUY 0 Units @0.0000						
10/01/2018	***-**-707I - BARON, ROBIN	PUTNAM EQUITY INCOME FUND-A	0.00	8.36	0.00	8.36	80.0	6.69
	ACCOUNT #: 00551086624	BUY 0 Units @0.0000						
10/01/2018	***-**-3939 - ARBORE, MARIA	PUTNAM EQUITY INCOME FUND-C	0.00	140.49	0.00	140.49	80.0	112.39
	ACCOUNT #: 00551310434	BUY 0 Units @0.0000						
10/01/2018	***-**-642J - DELGALZO,	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						
10/01/2018	***-**-678I - BAKERINK, DIANE	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	7.15	0.00	7.15	80.0	5.72
	ACCOUNT #: 00273141121	BUY 0 Units @0.0000						
10/01/2018	***-**-178I - STAUB, KIRK	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00455134356	BUY 0 Units @0.0000						
10/01/2018	***-**-43IR - BOSCO, GIULIO	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	11.41	0.00	11.41	80.0	9.13
	ACCOUNT #: 00494908627	BUY 0 Units @0.0000						
10/01/2018	***-**-293I - LIPPA, PAUL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	33.86	0.00	33.86	80.0	27.09
	ACCOUNT #: 00511940615	BUY 0 Units @0.0000						
10/01/2018	***-**-643I - BOSCO, GIULIO	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	2.44	0.00	2.44	80.0	1.95
	ACCOUNT #: 00514117077	BUY 0 Units @0.0000						
10/01/2018	***-**-73UG - DELGAIZO,	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	34.93	0.00	34.93	80.0	27.94
	ACCOUNT #: 00481564658	BUY 0 Units @0.0000						
10/01/2018	***-**-059I - JOHNSON, WILLIAM	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	36.27	0.00	36.27	80.0	29.02
	ACCOUNT #: 00020573802	BUY 0 Units @0.0000						
10/01/2018	***-**-59IN - SCARPULLA,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	5.18	0.00	5.18	80.0	4.14
	ACCOUNT #: 00066046582	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/01/2018	***-**-37IR - MAMMANO, DAVID	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00235535776	BUY 0 Units @0.0000						
10/01/2018	***-**-642J - DELGALZO,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.84	0.00	1.84	80.0	1.47
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						
10/01/2018	***-**-33UG - DELGAIZO, ALESHA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	23.87	0.00	23.87	80.0	19.10
	ACCOUNT #: 00437911872	BUY 0 Units @0.0000						
10/01/2018	***-**-178I - STAUB, KIRK	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 00455134356	BUY 0 Units @0.0000						
10/01/2018	***-**-170J - CAMPOMIZZI, JOHN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00475151691	BUY 0 Units @0.0000						
10/01/2018	***-**-73UG - DELGAIZO,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.42	0.00	3.42	80.0	2.74
	ACCOUNT #: 00481564658	BUY 0 Units @0.0000						
10/01/2018	***-**-642I - DELGAIZO, LISA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	5.10	0.00	5.10	80.0	4.08
	ACCOUNT #: 00488983741	BUY 0 Units @0.0000						
10/01/2018	***-**-37IR - MAMMANO, DAVID	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00494866228	BUY 0 Units @0.0000						
10/01/2018	***-**-643I - BOSCO, GIULIO	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	8.53	0.00	8.53	80.0	6.82
	ACCOUNT #: 00514117077	BUY 0 Units @0.0000						
10/01/2018	***-**-33UG - DELGAIZO, ALESHA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.39	0.00	3.39	80.0	2.71
	ACCOUNT #: 00519031484	BUY 0 Units @0.0000						
10/01/2018	***-**-170I - CAMPOMIZZI,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.29	0.00	2.29	80.0	1.83
	ACCOUNT #: 00550825937	BUY 0 Units @0.0000						
10/01/2018	***-**-06IN - BERNARD, CREGG	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00550826447	BUY 0 Units @0.0000						
10/01/2018	***-**-18IN - RIEDEL, JAMES	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	1.51	0.00	1.51	80.0	1.21
	ACCOUNT #: 00048939595	BUY 0 Units @0.0000						
10/01/2018	***-**-642J - DELGALZO,	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						
10/01/2018	***-**-33IN - TESTA, BARBARA	PUTNAM U.S. GOVT INCOME TR-A	0.00	18.47	0.00	18.47	80.0	14.78
	ACCOUNT #: 00214281708	BUY 0 Units @0.0000						
10/01/2018	***-**-642I - DELGAIZO, LISA	PUTNAM U.S. GOVT INCOME TR-A	0.00	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 00488983741	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

October 15, 2018

FREDERIC SCARPULLA  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/15/2018	***-**-278K - GUERRIERI DDS,	QUALIFIED PLAN SUMMARY	0.00	1,312.07	0.00	1,312.07	80.0	1,049.66
	ACCOUNT #: IRK101640	BUY 0 Units @0.0000						
10/03/2018	***-**-33UG - DELGAIZO, ALESHA	SMALL CAP EQUITY FUND - A	0.00	1.79	0.00	1.79	80.0	1.43
	ACCOUNT #: 00000413901	BUY 0 Units @0.0000						
10/03/2018	***-**-27IN - TINKLEPAUGH,	WELLS FGO AVTG DIVERSFD CAPITAL BLD	0.00	1.14	0.00	1.14	80.0	0.91
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,332.17</b>	<b>\$0.00</b>	<b>\$2,332.17</b>		<b>\$1,865.77</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$3,675.02</b>	<b>\$4,849.71</b>	<b>\$3.00</b>	<b>\$4,846.71</b>		<b>\$3,877.40</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$3,877.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$3,877.40</b>
<b>Year-to-date Earnings</b>	<b>\$189,786.19</b>