



COMMISSION STATEMENT

August 27, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/18/2018	***-**-0651 - NOSTRAMO, LORI	PACIFIC LIFE ABRB DTCC	102.00	1.02	0.00	1.02	90.0	0.92
	ACCOUNT #: VP71057790	BUY 0 Units @0.0000						
08/19/2018	***-**-6385 - MALONEY, DONNA	PACIFIC LIFE ABRB DTCC	51.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: VP71053550	BUY 0 Units @0.0000						
08/19/2018	***-**-6385 - MALONEY, DONNA	PACIFIC LIFE ABRB DTCC	51.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: VP71053550	BUY 0 Units @0.0000						
08/24/2018	***-**-1628 - KESSLER, LEWIS	PACIFIC LIFE ABRB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71057460	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$704.00	\$9.30	\$0.00	\$9.30		\$8.37
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/27/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VUL	0.00	58.57	0.00	58.57	90.0	52.71
		BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$58.57	\$0.00	\$58.57		\$52.71
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/27/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS	0.00	0.44	0.00	0.44	90.0	0.40
		BUY 0 Units @0.0000						
08/27/2018	***-**-723I - PONTURO,	VICTORY TRAILS	0.00	0.51	0.00	0.51	90.0	0.46
		BUY 0 Units @0.0000						
08/27/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS	0.00	0.35	0.00	0.35	90.0	0.32
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.30	\$0.00	\$1.30		\$1.18
*** BROKER TRANSACTIONS TOTAL ***			\$704.00	\$69.17	\$0.00	\$69.17		\$62.26



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
08/15/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: 01489789329	BUY 15 Units @13.7900						
08/15/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061339	BUY 14 Units @13.9400						
08/15/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061420	BUY 22 Units @13.9400						
08/15/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061420	BUY 14 Units @13.9400						
08/15/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061339	BUY 22 Units @13.9400						
08/22/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV GROWTH & INCOME CL C	249.99	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898	BUY 9 Units @28.0700						
08/22/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV HEALTH CARE CL C	250.00	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898	BUY 6 Units @41.5900						
08/27/2018	***-**-75IN - WATSON, SANDRA	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	1.21	0.00	1.21	90.0	1.09
		BUY 0 Units @0.0000						
08/27/2018	***-**-24IN - BONGORT,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: 91119450	BUY 0 Units @0.0000						
08/21/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
08/24/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	0.00	2.49	0.00	2.49	90.0	2.24
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$2,199.99	\$32.26	\$3.00	\$29.26		\$26.30
*** SPLIT TRANSACTIONS TOTAL ***			\$2,199.99	\$32.26	\$3.00	\$29.26		\$26.30



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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.67	0.00	15.67	7.5	1.18
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	70.25	0.00	70.25	7.5	5.27
	ACCOUNT #: 000015013299403	BUY 0 Units @0.0000						
07/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	125.66	0.00	125.66	7.5	9.42
	ACCOUNT #: 000015811742741	BUY 0 Units @0.0000						
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 529 645769787	0.00	17.49	0.00	17.49	7.5	1.31
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 645769480	0.00	92.31	0.00	92.31	7.5	6.92
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRK SMALL MID CAP GRWTH 529 PORTFL-	0.00	11.75	0.00	11.75	7.5	0.88
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
07/31/2018	***-**-619I - BLUMEN, IAN	FRK VAL FND - CL A	0.00	84.96	0.00	84.96	7.5	6.37
	ACCOUNT #: 000028210803424	BUY 0 Units @0.0000						
08/27/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	0.49	0.00	0.49	7.5	0.04
		BUY 0 Units @0.0000						
08/27/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.16	0.00	0.16	7.5	0.01
		BUY 0 Units @0.0000						
08/27/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.39	0.00	1.39	7.5	0.10
		BUY 0 Units @0.0000						
08/27/2018	***-**-955P - VICARI,	VICTORY TRAILS	0.00	0.43	0.00	0.43	7.5	0.03
		BUY 0 Units @0.0000						
08/27/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS	0.00	0.82	0.00	0.82	7.5	0.06
		BUY 0 Units @0.0000						
08/27/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	6.42	0.00	6.42	7.5	0.48
		BUY 0 Units @0.0000						
08/27/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.46	0.00	1.46	7.5	0.11
		BUY 0 Units @0.0000						
08/27/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	72.11	0.00	72.11	7.5	5.41
		BUY 0 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$501.37	\$0.00	\$501.37		\$37.59
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$501.37	\$0.00	\$501.37		\$37.59



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$62.26
						Split Transactions		\$26.30
						Override Transactions		\$37.59
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$126.15
						Year-to-date Earnings		\$41,603.60