



# COMMISSION STATEMENT

August 27, 2018

**TIMOTHY STATES**  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/24/2018	***-**-542I - BROWN, MARY	FIDELITY CONSERVATIV INCOME BOND FD	1,059.64	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012531	SELL 106 Units @10.0400						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$1,059.64</b>	<b>\$0.00</b>	<b>\$18.00</b>	<b>\$-18.00</b>		<b>\$-18.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	3,833.00	18.18	17.00	1.18	75.0	0.89
	ACCOUNT #: LSR010636	SELL 100 Units @38.3300						
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	3,834.00	18.18	2.00	16.18	75.0	12.14
	ACCOUNT #: LSR010636	SELL 100 Units @38.3400						
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	4,445.35	21.09	2.32	18.77	75.0	14.08
	ACCOUNT #: LSR010636	SELL 116 Units @38.3220						
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	1,111.34	5.27	0.58	4.69	75.0	3.52
	ACCOUNT #: LSR010636	SELL 29 Units @38.3220						
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	3,832.20	18.18	2.00	16.18	75.0	12.14
	ACCOUNT #: LSR010636	SELL 100 Units @38.3220						
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	191.61	0.91	0.10	0.81	75.0	0.61
	ACCOUNT #: LSR010636	SELL 5 Units @38.3220						
08/20/2018	***-**-233J - SCALES, DARYL	AMBARELLA INC COM USD0.00045	3,832.20	18.18	2.00	16.18	75.0	12.14
	ACCOUNT #: LSR010636	SELL 100 Units @38.3220						
08/23/2018	***-**-10IN - RANDALL,	BANK OF AMERICA CORP UNSOLICITED OR	3,080.50	46.36	17.00	29.36	75.0	22.02
	ACCOUNT #: LSR012385	SELL 100 Units @30.8050						
08/23/2018	***-**-10IN - RANDALL,	BANK OF AMERICA CORP UNSOLICITED OR	6,222.61	93.64	4.04	89.60	75.0	67.20
	ACCOUNT #: LSR012385	SELL 202 Units @30.8050						
08/20/2018	***-**-233O - SCALES, DARYL	BRISTOL MYERS SQUIBB UNSOLICITED OR	9,065.10	50.00	18.00	32.00	75.0	24.00
	ACCOUNT #: LSR010666	SELL 150 Units @60.4340						
08/20/2018	***-**-066I - STATES, FBO MR	CISCO SYSTEMS INC	4,617.50	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000282	BUY 100 Units @46.1750						
08/21/2018	***-**-66IN - STATES, MR	CISCO SYSTEMS INC	10,413.00	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000281	BUY 225 Units @46.2800						
08/21/2018	***-**-407I - LEWIS, FBO	CISCO SYSTEMS INC	4,623.50	75.00	18.00	57.00	75.0	42.75
	ACCOUNT #: LSR010564	BUY 100 Units @46.2350						
08/21/2018	***-**-407I - LEWIS, FBO	CISCO SYSTEMS INC	4,623.50	75.00	0.00	75.00	75.0	56.25
	ACCOUNT #: LSR010564	BUY 100 Units @46.2350						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
08/21/2018	***-**-837I - LEWIS, FBO V	CISCO SYSTEMS INC	4,629.50	75.00	18.00	57.00	75.0	42.75
	ACCOUNT #: LSR010565	BUY 100 Units @46.2950						
08/21/2018	***-**-837I - LEWIS, FBO V	CISCO SYSTEMS INC	4,629.50	75.00	0.00	75.00	75.0	56.25
	ACCOUNT #: LSR010565	BUY 100 Units @46.2950						
08/21/2018	***-**-826I - CLARK, FBO MS	CISCO SYSTEMS INC	9,279.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010588	BUY 200 Units @46.3950						
08/21/2018	***-**-587I - KEARNEY, FBO MS	CISCO SYSTEMS INC	9,237.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010590	BUY 200 Units @46.1850						
08/21/2018	***-**-537I - EDELIN, FBO MS	CISCO SYSTEMS INC	2,082.83	23.28	18.00	5.28	75.0	3.96
	ACCOUNT #: LSR010592	BUY 45 Units @46.2850						
08/21/2018	***-**-537I - EDELIN, FBO MS	CISCO SYSTEMS INC	2,082.83	23.28	0.00	23.28	75.0	17.46
	ACCOUNT #: LSR010592	BUY 45 Units @46.2850						
08/21/2018	***-**-537I - EDELIN, FBO MS	CISCO SYSTEMS INC	2,545.68	28.45	0.00	28.45	75.0	21.34
	ACCOUNT #: LSR010592	BUY 55 Units @46.2850						
08/21/2018	***-**-74IN - DAVIS, MISCHA	CISCO SYSTEMS INC	4,623.50	75.00	18.00	57.00	75.0	42.75
	ACCOUNT #: LSR010627	BUY 100 Units @46.2350						
08/21/2018	***-**-74IN - DAVIS, MISCHA	CISCO SYSTEMS INC	4,623.50	75.00	0.00	75.00	75.0	56.25
	ACCOUNT #: LSR010627	BUY 100 Units @46.2350						
08/21/2018	***-**-820I - HIGHSMITH,	CISCO SYSTEMS INC	9,257.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010653	BUY 200 Units @46.2850						
08/21/2018	***-**-423I - JONES, BRENDA	CISCO SYSTEMS INC	4,629.50	50.00	18.00	32.00	75.0	24.00
	ACCOUNT #: LSR010837	BUY 100 Units @46.2950						
08/23/2018	***-**-819I - BOYKIN,	CISCO SYSTEMS INC	8,765.65	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010634	BUY 190 Units @46.1350						
08/23/2018	***-**-549I - WHITE, WILLIAM	CISCO SYSTEMS INC	4,609.50	66.67	18.00	48.67	75.0	36.50
	ACCOUNT #: LSR010690	BUY 100 Units @46.0950						
08/23/2018	***-**-549I - WHITE, WILLIAM	CISCO SYSTEMS INC	5,761.88	83.33	0.00	83.33	75.0	62.50
	ACCOUNT #: LSR010690	BUY 125 Units @46.0950						
08/21/2018	***-**-423I - JONES, BRENDA	CONOCOPHILLIPS UNSOLICITED ORDER	10,690.50	135.00	18.00	117.00	75.0	87.75
	ACCOUNT #: LSR010837	BUY 150 Units @71.2700						
08/20/2018	***-**-066I - STATES, FBO MR	FACEBOOK INC COM USD0.000006 CL A	4,478.45	10.00	9.25	0.75	75.0	0.56
	ACCOUNT #: LSF000282	SELL 26 Units @172.2480						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
08/24/2018	***-**-542I - BROWN, MARY	FID GLBL EX-US INDEX INSTITUTIONAL	2,547.69	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012531	SELL 195 Units @13.0500						
08/24/2018	***-**-542I - BROWN, MARY	FIDELITY LARGE CAP VALUE INDX INST	2,088.42	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012531	SELL 167 Units @12.5100						
08/24/2018	***-**-542I - BROWN, MARY	FIDELITY SMALL CAP INDEX INSTITUTIO	755.77	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012531	SELL 33 Units @22.9000						
08/22/2018	***-**-233J - SCALES, DARYL	INTL BUSINESS MACH UNSOLICITED ORDE	29,099.80	66.67	19.00	47.67	75.0	35.75
	ACCOUNT #: LSR011378	SELL 200 Units @145.4990						
08/22/2018	***-**-233J - SCALES, DARYL	INTL BUSINESS MACH UNSOLICITED ORDE	14,550.00	33.33	2.00	31.33	75.0	23.50
	ACCOUNT #: LSR011378	SELL 100 Units @145.5000						
08/21/2018	***-**-66IN - STATES, MR	MICROSOFT CORP UNSOLICITED ORDER EX	10,692.50	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000281	SELL 100 Units @106.9250						
08/21/2018	***-**-407I - LEWIS, FBO	MICROSOFT CORP UNSOLICITED ORDER EX	16,063.50	175.00	18.00	157.00	75.0	117.75
	ACCOUNT #: LSR010564	SELL 150 Units @107.0900						
08/21/2018	***-**-837I - LEWIS, FBO V	MICROSOFT CORP UNSOLICITED ORDER EX	26,770.00	200.00	18.00	182.00	75.0	136.50
	ACCOUNT #: LSR010565	SELL 250 Units @107.0800						
08/21/2018	***-**-826I - CLARK, FBO MS	MICROSOFT CORP UNSOLICITED ORDER EX	26,763.75	200.00	18.00	182.00	75.0	136.50
	ACCOUNT #: LSR010588	SELL 250 Units @107.0550						
08/21/2018	***-**-587I - KEARNEY, FBO MS	MICROSOFT CORP UNSOLICITED ORDER EX	16,067.25	175.00	18.00	157.00	75.0	117.75
	ACCOUNT #: LSR010590	SELL 150 Units @107.1150						
08/21/2018	***-**-537I - EDELIN, FBO MS	MICROSOFT CORP UNSOLICITED ORDER EX	6,758.89	75.00	18.00	57.00	75.0	42.75
	ACCOUNT #: LSR010592	SELL 63 Units @107.2840						
08/21/2018	***-**-735I - ANTOINE, FBO MS	MICROSOFT CORP UNSOLICITED ORDER EX	10,687.50	116.67	18.00	98.67	75.0	74.00
	ACCOUNT #: LSR010596	SELL 100 Units @106.8750						
08/21/2018	***-**-735I - ANTOINE, FBO MS	MICROSOFT CORP UNSOLICITED ORDER EX	5,343.75	58.33	0.00	58.33	75.0	43.75
	ACCOUNT #: LSR010596	SELL 50 Units @106.8750						
08/21/2018	***-**-91IN - RICHARDS, RMR	MICROSOFT CORP UNSOLICITED ORDER EX	5,366.75	100.00	18.00	82.00	75.0	61.50
	ACCOUNT #: LSR010600	SELL 50 Units @107.3350						
08/21/2018	***-**-74IN - DAVIS, MISCHA	MICROSOFT CORP UNSOLICITED ORDER EX	33,185.19	200.00	18.00	182.00	75.0	136.50
	ACCOUNT #: LSR010627	SELL 310 Units @107.0490						
08/21/2018	***-**-819I - BOYKIN,	MICROSOFT CORP UNSOLICITED ORDER EX	3,326.11	35.00	18.00	17.00	75.0	12.75
	ACCOUNT #: LSR010634	SELL 31 Units @107.2940						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
08/21/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	MICROSOFT CORP UNSOLICITED ORDER EX SELL 152 Units @107.1450	16,286.04	175.00	18.00	157.00	75.0	117.75
08/21/2018	***-**-549I - WHITE, WILLIAM ACCOUNT #: LSR010690	MICROSOFT CORP UNSOLICITED ORDER EX SELL 100 Units @107.3250	10,732.50	150.00	18.00	132.00	75.0	99.00
08/21/2018	***-**-423I - JONES, BRENDA ACCOUNT #: LSR010837	MICROSOFT CORP UNSOLICITED ORDER EX SELL 100 Units @107.2700	10,727.00	100.00	18.00	82.00	75.0	61.50
08/23/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	NEKTAR THERAPEUTICS BUY 145 Units @62.7150	9,093.68	140.00	17.90	122.10	75.0	91.58
08/23/2018	***-**-542O - BROWN, MARY ACCOUNT #: LSR012530	U S CONCRETE INC COM NEW SELL 135 Units @48.9400	6,606.90	50.00	17.70	32.30	75.0	24.23
* STOCKS SUBTOTAL *			<u>\$419,164.22</u>	<u>\$3,840.00</u>	<u>\$625.86</u>	<u>\$3,214.14</u>		<u>\$2,397.15</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$420,223.86</u>	<u>\$3,840.00</u>	<u>\$643.86</u>	<u>\$3,196.14</u>		<u>\$2,379.15</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$2,379.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$2,379.15</b>
<b>Year-to-date Earnings</b>	<b>\$60,781.19</b>