

COMMISSION STATEMENT

October 31, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVE

BROKER #: V03 A03
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/05/2018	75501483 SPILLER, HELEN	THE INCOME FUND OF AMERICA-A	S	1,107.84	0.00	0.00	0.00	50.0	0.00
10/10/2018	75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
		* MUTUAL FUNDS SUBTOTAL *		\$1,607.84	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
10/02/2018	75501647 GINSBURG, JANICE	STITCH FIX INC CLASS A COMMON STOCK B		5,811.88	50.00	0.00	50.00	50.0	25.00
10/05/2018	75501654 KINSLEY, GEORGE	AES CORPORATION	B	1,458.60	50.00	0.00	50.00	50.0	25.00
		* STOCKS SUBTOTAL *		\$7,270.48	\$100.00	\$0.00	\$100.00		\$50.00
<u>PRODUCT CATEGORY: BONDS</u>									
10/03/2018	75501621 WEXLER, SHEILA	FAIRVIEW PA SCH DIST G/O CAP PRIMAR B		47,868.50	473.50	0.00	473.50	50.0	236.75
		* BONDS SUBTOTAL *		\$47,868.50	\$473.50	\$0.00	\$473.50		\$236.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/10/2018	(None) VARIOUS, GINSBURG	JACKSON	B	0.00	353.05	0.00	353.05	50.0	176.53
10/10/2018	(None) VARIOUS, GINSBURG	LINCOLN	B	0.00	28.36	0.00	28.36	50.0	14.18
		* VARIABLE ANNUITIES SUBTOTAL *		\$0.00	\$381.41	\$0.00	\$381.41		\$190.71
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75501739 STAUFFER, JUDITH	FAS FEES PT. I/III	B	0.00	210.84	0.00	210.84	50.0	105.42
10/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. I/III	B	-632.54	0.00	0.00	0.00	50.0	0.00
10/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. I/III	B	-1,021.71	340.57	0.00	340.57	50.0	170.29
10/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. I/III	B	-192.22	0.00	0.00	0.00	50.0	0.00
10/01/2018	75501751 STAUFFER, ROBERT	FAS FEES PT. I/III	B	0.00	64.07	0.00	64.07	50.0	32.04
10/01/2018	75501824 ALANCRAIG, DIANE	FAS FEES PT. I/III	B	-380.44	126.81	0.00	126.81	50.0	63.41
10/01/2018	75501829 SEPTIEN, ALFREDO	FAS FEES PT. I/III	B	-234.63	78.21	0.00	78.21	50.0	39.11
10/01/2018	75501830 SEPTIEN, ALFREDO	FAS FEES PT. I/III	B	-316.24	105.41	0.00	105.41	50.0	52.71
10/01/2018	75501832 WEXLER, SHEILA	FAS FEES PT. I/III	B	-451.74	150.58	0.00	150.58	50.0	75.29
10/01/2018	75501833 GIRGENTI, V JAMES	FAS FEES PT. I/III	B	-1,363.97	454.65	0.00	454.65	50.0	227.33
10/01/2018	75501834 BUGANSKI, TERRI	FAS FEES PT. I/III	B	-890.41	296.80	0.00	296.80	50.0	148.40
10/01/2018	75501835 BUGANSKI, TERRI	FAS FEES PT. I/III	B	-98.75	32.91	0.00	32.91	50.0	16.46
10/01/2018	75501836 FARKAS, RONALD	FAS FEES PT. I/III	B	-699.88	233.29	0.00	233.29	50.0	116.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75501837 FARKAS, MARILYN	FAS FEES PT. I/III	B	-1,399.45	466.48	0.00	466.48	50.0	233.24
10/01/2018	75501868 ZEGEN, LOUIS	FAS FEES PT. I/III	B	-1,334.01	444.67	0.00	444.67	50.0	222.34
10/01/2018	75501869 ZEGEN, LOUIS	FAS FEES PT. I/III	B	-221.22	73.74	0.00	73.74	50.0	36.87
10/01/2018	75501879 LIBOVE, MICHAEL	FAS FEES PT. I/III	B	-1,428.32	476.10	0.00	476.10	50.0	238.05
10/01/2018	75501993 ZEGEN, LOUIS	FAS FEES PT. I/III	B	-308.73	102.91	0.00	102.91	50.0	51.46
10/01/2018	75502182 GIRGENTI, V JAMES	FAS FEES PT. I/III	B	-704.53	234.84	0.00	234.84	50.0	117.42
* RIA SUBTOTAL *				\$-11,678.79	\$3,892.88	\$0.00	\$3,892.88		\$1,946.49
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018		FEE COUNT - 203		0.00	1,606.45	0.00	1,606.45	50.0	797.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,606.45	\$0.00	\$1,606.45		\$797.12
*** BROKER TRANSACTIONS TOTAL ***				\$45,068.03	\$6,454.24	\$0.00	\$6,454.24		\$3,221.07
<u>ADJUSTMENTS</u>									
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-124.66
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-199.96
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-186.76
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-195.92
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-130.04
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-98.63
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-161.01
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-97.98
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):		0.00	0.00	0.00	0.00		-190.96
10/31/2018		MISCELLANEOUS: DEUNC REV NOV & DEC		0.00	0.00	0.00	0.00		1,323.98
10/31/2018		MISCELLANEOUS: OCT FEE REV TO ADD G		0.00	0.00	0.00	0.00		661.94
10/31/2018		MISCELLANEOUS: OCT FEE		0.00	0.00	0.00	0.00		330.97
10/31/2018		OTHER: TOWER BRIDGE 1/3		0.00	0.00	0.00	0.00		-123.58
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$207.39

BROKER COMMISSION TOTALS

Broker Transactions	\$3,221.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$207.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.07
SIPC Assessment	\$-16.14

Check Amount	\$3,404.25
Year-to-date Earnings	\$25,311.30