

COMMISSION STATEMENT
October 31, 2018
ALEX BRISTER-D010
 32180 Inverness Drive

BROKER #: D010
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/08/2018	676429808	BRISTER, THOMAS	S	UNION PACIFIC CORPORATION	B	1,323.77	15.00	15.00	0.00 50.0 0.00
10/10/2018	676429808	BRISTER, THOMAS	S	MYLAN N V SHS EURO ISIN	B	1,063.55	15.00	15.00	0.00 50.0 0.00
10/11/2018	676429808	BRISTER, THOMAS	S	MCKESSON CORPORATION	B	925.62	15.00	15.00	0.00 50.0 0.00
10/11/2018	676429808	BRISTER, THOMAS	S	SQUARE INC - CL A	B	1,063.55	15.00	15.00	0.00 50.0 0.00
10/11/2018	676429808	BRISTER, THOMAS	S	UNION PACIFIC CORPORATION	B	1,095.80	15.00	15.00	0.00 50.0 0.00
10/11/2018	676429808	BRISTER, THOMAS	S	VANECK VECTORS JR GOLD MINERS	B	1,779.04	15.00	15.00	0.00 50.0 0.00
10/12/2018	676429808	BRISTER, THOMAS	S	VANECK VECTORS JR GOLD MINERS	S	-1,742.34	15.00	15.00	0.00 50.0 0.00
10/17/2018	676429808	BRISTER, THOMAS	S	HASBRO INC	S	-1,199.81	15.00	15.00	0.00 50.0 0.00
10/17/2018	676429808	BRISTER, THOMAS	S	PHYSICIANS REALTY TRUST	B	3,355.43	15.00	15.00	0.00 50.0 0.00
10/17/2018	676429808	BRISTER, THOMAS	S	VENTAS INCORPORATED	B	2,233.77	15.00	15.00	0.00 50.0 0.00
10/17/2018	676429808	BRISTER, THOMAS	S	VENTAS INCORPORATED	B	-2,233.77	-15.00	-15.00	0.00 50.0 0.00
10/17/2018	676429808	BRISTER, THOMAS	S	VENTAS INCORPORATED	B	2,234.95	15.00	15.00	0.00 50.0 0.00
10/23/2018	676429808	BRISTER, THOMAS	S	UNION PACIFIC CORPORATION	B	1,441.25	15.00	15.00	0.00 50.0 0.00
10/24/2018	676429808	BRISTER, THOMAS	S	CORE LABORATORIES NV ISIN	S	-3,008.43	15.00	15.00	0.00 50.0 0.00
				* STOCKS SUBTOTAL *		\$8,332.38	\$180.00	\$180.00	\$0.00 50.0 \$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc652653	BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	9.72	0.00	9.72	50.0 4.86
10/19/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	4.43	0.00	4.43	50.0 2.22
10/19/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.59	0.00	2.59	50.0 1.30
10/19/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	13.15	0.00	13.15	50.0 6.58
10/19/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	35.18	0.00	35.18	50.0 17.59
10/19/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.43	0.00	0.43	50.0 0.22
10/19/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	3.26	0.00	3.26	50.0 1.63
10/19/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	10.55	0.00	10.55	50.0 5.28
10/19/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-C	B	0.00	9.17	0.00	9.17	50.0 4.59
10/19/2018	mfc652653	BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.27	0.00	0.27	50.0 0.14
10/19/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.94	0.00	0.94	50.0 0.47
10/19/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.18	0.00	0.18	50.0 0.09
10/19/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.14	0.00	2.14	50.0 1.07
10/19/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.60	0.00	3.60	50.0 1.80

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10/19/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.16	0.00	0.16	50.0	0.08
10/19/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.33	0.00	0.33	50.0	0.17
10/19/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.18	0.00	3.18	50.0	1.59
10/19/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.53	0.00	3.53	50.0	1.77
10/19/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.24	0.00	2.24	50.0	1.12
10/19/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	5.89	0.00	5.89	50.0	2.95
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.13	0.00	0.13	50.0	0.07
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.28	0.00	1.28	50.0	0.64
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.35	0.00	1.35	50.0	0.68
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.88	0.00	1.88	50.0	0.94
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.86	0.00	2.86	50.0	1.43
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	9.21	0.00	9.21	50.0	4.61
10/19/2018	mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	1.43	0.00	1.43	50.0	0.72
10/19/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.34	0.00	4.34	50.0	2.17
10/19/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.86	0.00	4.86	50.0	2.43
10/19/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPUS A	B	0.00	2.70	0.00	2.70	50.0	1.35
10/19/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPUS A	B	0.00	8.46	0.00	8.46	50.0	4.23
10/19/2018	mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.23	0.00	1.23	50.0	0.62
10/19/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	4.93	0.00	4.93	50.0	2.47
10/19/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	4.93	0.00	4.93	50.0	2.47
10/19/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	50.0	0.08
10/19/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	1.76	0.00	1.76	50.0	0.88
10/19/2018	mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.94	0.00	5.94	50.0	2.97
10/19/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.25	0.00	0.25	50.0	0.13
10/19/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.70	0.00	1.70	50.0	0.85
10/19/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.73	0.00	1.73	50.0	0.87
10/19/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.21	0.00	2.21	50.0	1.11
10/19/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.89	0.00	3.89	50.0	1.95
10/19/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	12.04	0.00	12.04	50.0	6.02
10/19/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.32	0.00	0.32	50.0	0.16
10/19/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.12	0.00	9.12	50.0	4.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$199.65	\$0.00	\$199.65	\$99.93	
*** CLEARING TRANSACTIONS TOTAL ***					\$8,332.38	\$379.65	\$180.00	\$199.65	\$99.93	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/12/2018	00068781179	GERRIE, SARA	MF	AMCAP FUND-A	B	1,500.00	41.25	0.00	41.25	50.0	20.63
10/15/2018	00083404474	BARNES, WENDY	MF	AMCAP FUND-A	B	500.00	18.75	0.00	18.75	50.0	9.38
* MUTUAL FUNDS SUBTOTAL *						2,000.00	60.00	0.00	60.00		30.01
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/30/2018	(None)	VARIOUS, BRISTER A	MFT	PIMCO 12B-1	B	0.00	9.06	0.00	9.06	50.0	4.53
09/30/2018	(None)	VARIOUS, BRISTER A	MFT	SCHOLARS CHOICE	B	0.00	431.95	0.00	431.95	50.0	215.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						0.00	441.01	0.00	441.01		220.51
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
10/18/2018	(None)	BRISTER, GARETT	VAT	AMERITAS	B	0.00	1.30	0.00	1.30	50.0	0.65
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						0.00	1.30	0.00	1.30		0.65
*** DIRECT TRANSACTIONS TOTAL ***						2,000.00	502.31	0.00	502.31		251.17

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

10/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-55.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-55.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$351.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$296.10
Year-to-date Commissions	\$2,174.24