



COMMISSION STATEMENT

August 27, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @39.7200						
08/20/2018	***-**-50IN - MOHR, HERBERT	AMERICAN HIGH-INCOME MUNI BOND FUND	19,979.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012756	SELL 1263 Units @15.8200						
08/20/2018	***-**-2582 - AGUIAR, DANA	AMERICAN HIGH-INCOME TRUST-A	1,300.00	39.00	0.00	39.00	70.0	27.30
	ACCOUNT #: 00087887914	BUY 122 Units @10.6200						
08/20/2018	***-**-50IN - MOHR, HERBERT	AMERICAN MUTUAL FUND-A	32,265.76	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012756	SELL 761 Units @42.4000						
08/20/2018	***-**-2582 - AGUIAR, DANA	AMERICAN MUTUAL FUND-A	1,300.00	55.25	0.00	55.25	70.0	38.68
	ACCOUNT #: 00087887914	BUY 29 Units @44.6300						
08/15/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.0000						
08/20/2018	***-**-2582 - AGUIAR, DANA	CAPITAL INCOME BUILDER-A	1,300.00	55.25	0.00	55.25	70.0	38.68
	ACCOUNT #: 00087887914	BUY 20 Units @63.9500						
08/20/2018	***-**-50IN - MOHR, HERBERT	TAX-EXEMPT BOND FUND OF AMERICA	82,195.77	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012756	SELL 6417 Units @12.8100						
08/20/2018	***-**-2582 - AGUIAR, DANA	THE BOND FUND OF AMERICA-A	1,300.00	39.00	0.00	39.00	70.0	27.30
	ACCOUNT #: 00087887914	BUY 99 Units @13.1000						
08/20/2018	***-**-50IN - MOHR, HERBERT	THE INCOME FUND OF AMERICA-A	50,882.66	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012756	SELL 2184 Units @23.3000						
08/20/2018	***-**-2582 - AGUIAR, DANA	THE INCOME FUND OF AMERICA-A	1,300.00	55.25	0.00	55.25	70.0	38.68
	ACCOUNT #: 00087887914	BUY 53 Units @24.5300						
* MUTUAL FUNDS SUBTOTAL *			\$191,963.19	\$247.35	\$72.00	\$175.35		\$101.16
<u>PRODUCT CATEGORY: FINANCIAL PLANNING</u>								
08/27/2018	***-**-32FP - WEBER, FREDERICK	FINANCIAL PLAN	0.00	900.00	0.00	900.00	70.0	630.00
		BUY 0 Units @0.0000						
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$900.00	\$0.00	\$900.00		\$630.00



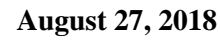
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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	***-**-2633 - BUTLER, JAIME	FRANKLIN GROWTH FUND CLASS A	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: 000060177044189	BUY 0 Units @0.0000						
07/31/2018	***-**-5922 - HOTALING,	FRANKLIN INCOME FUND CLASS A	0.00	11.10	0.00	11.10	70.0	7.77
	ACCOUNT #: 000090221306726	BUY 0 Units @0.0000						
07/31/2018	***-**-2633 - BUTLER, JAIME	FRANKLIN INCOME FUND CLASS A	0.00	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 000090235302798	BUY 0 Units @0.0000						
07/31/2018	***-**-5922 - HOTALING,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	22.73	0.00	22.73	70.0	15.91
	ACCOUNT #: 000015812472167	BUY 0 Units @0.0000						
07/31/2018	***-**-5922 - HOTALING,	FRK HIGH INC FND - CL A	0.00	10.36	0.00	10.36	70.0	7.25
	ACCOUNT #: 000050118127094	BUY 0 Units @0.0000						
07/31/2018	***-**-2633 - BUTLER, JAIME	MUTUAL SERIES SHARES CLASS A	0.00	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 000000007278373	BUY 0 Units @0.0000						
07/31/2018	***-**-5922 - HOTALING,	TEMP GLOBAL BOND FND - CL A	0.00	16.02	0.00	16.02	70.0	11.21
	ACCOUNT #: 000006984984022	BUY 0 Units @0.0000						
07/31/2018	***-**-2633 - BUTLER, JAIME	TEMPLETON GROWTH FUND CLASS A	0.00	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 000001980815969	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$61.97	\$0.00	\$61.97		\$43.38
*** BROKER TRANSACTIONS TOTAL ***			\$191,963.19	\$1,209.32	\$72.00	\$1,137.32		\$774.54



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions							\$774.54	
Split Transactions							\$0.00	
Override Transactions							\$0.00	
Adjustments							\$0.00	
Payroll Draw							\$0.00	
Base Salary							\$0.00	
Prior Period Balance							\$0.00	
FINRA Assessment							\$0.00	
SIPC Assessment							\$0.00	
Net Commission Earned							\$774.54	
Year-to-date Earnings							\$42,281.86	