

# COMMISSION STATEMENT November 15, 2018

SCOTT SICKLES-D060  
 4200 Ridgecrest Circle  
 Suite A4  
 Amarillo, TX 79101

BROKER #: D060  
 BRANCH: DMC

## COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/02/2018	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
11/02/2018	0000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
11/02/2018	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
11/02/2018	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
11/01/2018	00085552496 MAXIE A SICKLES	MF CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
11/05/2018	04000584689 OLGA HOUSAND	MF THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$800.00</b>	<b>\$14.01</b>	<b>\$0.00</b>	<b>\$14.01</b>		<b>\$10.51</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/31/2018	000000000701021 BRANNON,	MFT FRANKLIN MODERATE ALLOCATION	B	0.00	2.90	0.00	2.90	75.0	2.18
10/31/2018	000000001042631 WEIR, DEBBIE	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	45.84	0.00	45.84	75.0	34.38
10/31/2018	0000000012372684 GRIEGO,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	34.58	0.00	34.58	75.0	25.94
10/31/2018	000019817879772 BRANNON,	MFT FRANKLIN SMALL-MID CAP GROWTH	B	0.00	3.33	0.00	3.33	75.0	2.50
10/31/2018	000060177110343 BRANNON,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	3.64	0.00	3.64	75.0	2.73
10/31/2018	000060177908880 PENRY, JOHN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	2.28	0.00	2.28	75.0	1.71
10/31/2018	000090239634303 PENRY, JOHN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.49	0.00	0.49	75.0	0.37
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$93.06</b>	<b>\$0.00</b>	<b>\$93.06</b>		<b>\$69.81</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$800.00</b>	<b>\$107.07</b>	<b>\$0.00</b>	<b>\$107.07</b>		<b>\$80.32</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$80.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-44.87
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$35.45</b>
<b>Year-to-date Commissions</b>	<b>\$6,142.15</b>