

COMMISSION STATEMENT September 30, 2018

 KENNETH MARX-D376
 2419 GENESEO OAKS

 BROKER #: D376
 BRANCH: DMN

NEW BRAUNFELS, TX 78132

COMMISSION STATEMENT for KENNETH MARX-D376

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/20/2018	00777016396	DECKER, SHARON	MF	INVESCO AMERICAN FRANCHISE FUND B	500.00	3.75	0.00	3.75	70.0	2.63
09/20/2018	00777016396	DECKER, SHARON	MF	INVESCO EUROPEAN GROWTH FUND B	1,500.00	11.25	0.00	11.25	70.0	7.88
09/20/2018	05071263221	DECKER, SHARON	MF	AIM GLOBAL AGGRESSIVE GROWTH B	1,500.00	33.75	0.00	33.75	70.0	23.63
09/20/2018	05071263221	DECKER, SHARON	MF	INVESCO CHARTER FUND - CLASS R B	1,500.00	11.25	0.00	11.25	70.0	7.88
* MUTUAL FUNDS SUBTOTAL *					5,000.00	60.00	0.00	60.00		42.02
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/31/2018	(None)	VARIOUS, MARX-D376	MFT	HARTFORD MUTUAL FUIND B	0.00	18.08	0.00	18.08	70.0	12.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					0.00	18.08	0.00	18.08		12.66
*** DIRECT TRANSACTIONS TOTAL ***					5,000.00	78.08	0.00	78.08		54.68

BROKER COMMISSION TOTALS

Broker Transactions	\$54.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$54.68
Year-to-date Commissions	\$75.58