



# COMMISSION STATEMENT

November 26, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/20/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @32.2400						
11/20/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @25.1000						
* MUTUAL FUNDS SUBTOTAL *			<u>\$100.00</u>	<u>\$5.00</u>	<u>\$0.00</u>	<u>\$5.00</u>		<u>\$3.50</u>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
11/26/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<u>\$200.00</u>	<u>\$5.00</u>	<u>\$0.00</u>	<u>\$5.00</u>		<u>\$3.50</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$300.00</u>	<u>\$10.00</u>	<u>\$0.00</u>	<u>\$10.00</u>		<u>\$7.00</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$7.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,756.30
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-1,749.30
Year-to-date Earnings	\$42,258.98