



COMMISSION STATEMENT

October 15, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/09/2018	***-**-872I - GAROFALO, ACCOUNT #: LSR010758	AIM DIVERSIFIED DIVIDEND FUND - CL SELL 78 Units @20.4100	1,590.41	0.00	18.00	-18.00	100.0	-18.00
10/05/2018	***-**-376X - WAGNER, LINDA ACCOUNT #: LSR010737	AMERICAN FUNDS BALANCED PORT CL C SELL 169 Units @14.7500	2,500.01	9.00	18.00	-9.00	100.0	-9.00
10/05/2018	***-**-376O - WAGNER, LINDA ACCOUNT #: LSR012246	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 344 Units @13.5000	4,649.99	55.50	18.00	37.50	85.0	31.88
10/08/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	IVY MANAGED INTERNAT IONAL OPPS CL SELL 115 Units @10.9100	1,250.00	0.00	0.00	0.00	85.0	0.00
10/09/2018	***-**-872I - GAROFALO, ACCOUNT #: LSR010758	NEW PERSPECTIVE FUND-A SELL 44 Units @45.1300	1,999.98	0.00	18.00	-18.00	100.0	-18.00
09/24/2018	***-**-36IN - HERDSON, JOHN ACCOUNT #: 01971115433	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	63.36	0.63	0.00	0.63	85.0	0.54
09/24/2018	***-**-36IR - HERDSON, JOHN ACCOUNT #: 02592150962	QUEST BALANCED FUND C BUY 0 Units @0.0000	63.36	0.63	0.00	0.63	85.0	0.54
* MUTUAL FUNDS SUBTOTAL *			\$12,117.11	\$65.76	\$72.00	\$-6.24		\$-12.04
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/28/2018	***-**-305I - WAGNER, KEITH	JACKSON PERSPECTIVE II ADDITIONAL D BUY 1 Units @12000.0000	11,793.89	235.88	0.00	235.88	85.0	200.50
* VARIABLE ANNUITIES SUBTOTAL *			\$11,793.89	\$235.88	\$0.00	\$235.88		\$200.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565430	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	2.99	0.00	2.99	85.0	2.54
10/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 09896329355	MFS GOVERNMENT SECURITIES FUND-A BUY 0 Units @0.0000	0.00	15.80	0.00	15.80	85.0	13.43
10/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 00060126092	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	9.11	0.00	9.11	85.0	7.74
10/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565426	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	4.72	0.00	4.72	85.0	4.01
10/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565434	MFS VALUE FUND-A BUY 0 Units @0.0000	0.00	4.13	0.00	4.13	85.0	3.51



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/15/2018	***-**-30IN - STRAUB, JANE	SAMMONS MF TRAILS	0.00	13.54	0.00	13.54	85.0	11.51
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
09/24/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$65.28	\$50.94	\$0.00	\$50.94		\$43.29
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
10/03/2018	***-**-582I - PLOCKI, EDWARD	LINCOLN BENEFIT LIFE 53301E682	0.00	55.67	0.00	55.67	85.0	47.32
	ACCOUNT #: LBLA150363	BUY 0 Units @0.0000						
09/29/2018	***-**-471I - STRAUB, FBO MR	NATIONWIDE 63890A439	0.00	114.58	0.00	114.58	85.0	97.39
	ACCOUNT #: 016350118	BUY 0 Units @0.0000						
09/29/2018	***-**-13IN - STONESIFER,	NATIONWIDE CUSIP 638662262-NSCC	0.00	69.04	0.00	69.04	85.0	58.68
	ACCOUNT #: 016312869	BUY 0 Units @0.0000						
09/29/2018	***-**-92IN - ZEBLEY,	NATIONWIDE CUSIP 638662262-NSCC	0.00	114.00	0.00	114.00	85.0	96.90
	ACCOUNT #: 016314665	BUY 0 Units @0.0000						
09/29/2018	***-**-364I - SHIFFLET, RUSSEL	NATIONWIDE CUSIP 638662262-NSCC	0.00	450.46	0.00	450.46	85.0	382.89
	ACCOUNT #: 016316152	BUY 0 Units @0.0000						
09/29/2018	***-**-97IN - LEAR, DIANE	NATIONWIDE CUSIP 63866W167-NFS	0.00	318.14	0.00	318.14	85.0	270.42
	ACCOUNT #: 016212503	BUY 0 Units @0.0000						
09/29/2018	***-**-20IN - GARMAN, KARL	NATIONWIDE CUSIP 63866W167-NFS	0.00	563.98	0.00	563.98	85.0	479.38
	ACCOUNT #: 016212504	BUY 0 Units @0.0000						
09/29/2018	***-**-30IN - STRAUB, JANE	NATIONWIDE CUSIP 63866W167-NFS	0.00	200.24	0.00	200.24	85.0	170.20
	ACCOUNT #: 016216518	BUY 0 Units @0.0000						
09/29/2018	***-**-37IN - KUNKEL, JANET	NATIONWIDE CUSIP 63866W167-NFS	0.00	313.75	0.00	313.75	85.0	266.69
	ACCOUNT #: 016224903	BUY 0 Units @0.0000						
09/29/2018	***-**-614I - WILLIAMS, RONALD	NATIONWIDE CUSIP 63890A132-NSCC	0.00	173.70	0.00	173.70	85.0	147.65
	ACCOUNT #: 016233902	BUY 0 Units @0.0000						
09/29/2018	***-**-36IN - HERDSON, JOHN	NATIONWIDE CUSIP 63890A173-NSCC	0.00	38.22	0.00	38.22	85.0	32.49
	ACCOUNT #: 016321152	BUY 0 Units @0.0000						
09/29/2018	***-**-1810 - ILGENFRITZ,	NATIONWIDE CUSIP 63890A413-NSCC	0.00	107.83	0.00	107.83	85.0	91.66
	ACCOUNT #: 016337315	BUY 0 Units @0.0000						
09/29/2018	***-**-3730 - MANGANELLO, TIRA	NATIONWIDE CUSIP 63890A413-NSCC	0.00	98.89	0.00	98.89	85.0	84.06
	ACCOUNT #: 016337316	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
09/29/2018	***-**-0550 - ILGENFRITZ, TIM	NATIONWIDE CUSIP 63890A413-NSCC	0.00	106.49	0.00	106.49	85.0	90.52
	ACCOUNT #: 016337317	BUY 0 Units @0.0000						
09/29/2018	***-**-2600 - ILGENFRITZ, TOD	NATIONWIDE CUSIP 63890A413-NSCC	0.00	99.29	0.00	99.29	85.0	84.40
	ACCOUNT #: 016337318	BUY 0 Units @0.0000						
09/29/2018	***-**-8100 - BLAUCH, CATHY	NATIONWIDE CUSIP 63890A413-NSCC	0.00	111.68	0.00	111.68	85.0	94.93
	ACCOUNT #: 016351492	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,935.96	\$0.00	\$2,935.96		\$2,495.58
*** BROKER TRANSACTIONS TOTAL ***			\$23,976.28	\$3,288.54	\$72.00	\$3,216.54		\$2,727.33

BROKER COMMISSION TOTALS

Broker Transactions	\$2,727.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,727.33
Year-to-date Earnings	\$105,707.97