

COMMISSION STATEMENT

November 15, 2018

JOY LAWRENCE-D602

BROKER #: D602

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D602

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
10/31/2018 03903066763	GROS, ANDREW	MF WELLS FARGO UTILITY AND	B	200.00	2.00	0.00	2.00	0.0	0.00
10/31/2018 08191230917	GROS, LINDSAY	MF MFS MID CAP VALUE FUND-C	B	200.00	2.00	0.00	2.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$4.00	\$0.00	\$4.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/05/2018 01900000305	READ, THOMAS	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	76.56	0.00	76.56	0.0	0.00
11/05/2018 01900000384	SHELTON, BARBARA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	34.49	0.00	34.49	0.0	0.00
11/05/2018 06000036814	COGNEVICH, SHANIE	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	5.86	0.00	5.86	0.0	0.00
11/05/2018 06541000000	READ, THOMAS	MFT COLUMBIA OVERSEAS VALUE FUND-C	B	0.00	41.29	0.00	41.29	0.0	0.00
11/05/2018 06970000146	READ, THOMAS	MFT COLUMBIA ACORN INTL SELECT	B	0.00	21.44	0.00	21.44	0.0	0.00
11/05/2018 08536700674	SMITH, L	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	4.20	0.00	4.20	0.0	0.00
11/05/2018 08536700676	CAPDEBOSCQ, LARRY	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	1.80	0.00	1.80	0.0	0.00
11/05/2018 08537100299	SHELTON, BARBARA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	12.10	0.00	12.10	0.0	0.00
11/05/2018 08537100300	COGNEVICH, SHANIE	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.34	0.00	2.34	0.0	0.00
11/05/2018 08537100301	CAPDEBOSCQ, LARRY	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.67	0.00	1.67	0.0	0.00
11/05/2018 08537100302	BILLARD, JOHN	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.35	0.00	2.35	0.0	0.00
11/05/2018 08537100303	GULDE, JAMES	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.43	0.00	1.43	0.0	0.00
11/05/2018 08537100311	GRAY, SHARON	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.44	0.00	1.44	0.0	0.00
11/05/2018 08537500122	COGNEVICH, SHANIE	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.42	0.00	0.42	0.0	0.00
11/05/2018 08537500315	SHELTON, BARBARA	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	8.37	0.00	8.37	0.0	0.00
11/05/2018 08537500316	READ, THOMAS	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	4.03	0.00	4.03	0.0	0.00
11/05/2018 08537500317	SMITH, L	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.75	0.00	2.75	0.0	0.00
11/05/2018 08537500320	MOZISEK, SHANA	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.66	0.00	1.66	0.0	0.00
11/05/2018 08537500321	DAVIS, JAMES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.64	0.00	1.64	0.0	0.00
11/05/2018 08537500322	PROPERTY,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.38	0.00	2.38	0.0	0.00
11/05/2018 08537500324	DAVID, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	3.46	0.00	3.46	0.0	0.00
11/05/2018 08896000301	GULDE, JAMES	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	1.30	0.00	1.30	0.0	0.00
11/05/2018 08896000304	GRAY, SHARON	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	1.21	0.00	1.21	0.0	0.00
11/05/2018 08981001060	GULDE, JAMES	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.14	0.00	1.14	0.0	0.00
11/05/2018 08981001065	SMITH, L	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	2.87	0.00	2.87	0.0	0.00
11/05/2018 08981001066	SHELTON, BARBARA	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	8.59	0.00	8.59	0.0	0.00

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11/05/2018 08981001067	MOZISEK, SHANA	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.43	0.00	1.43	0.0	0.00
11/05/2018 08981001069	DAVIS, JAMES	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	5.03	0.00	5.03	0.0	0.00
11/05/2018 08981001074	LINDA JENKINS	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	8.64	0.00	8.64	0.0	0.00
11/05/2018 51160000035	BAKER, G	MFT COLUMBIA EUROPEAN EQUITY FUND-C	B	0.00	8.00	0.00	8.00	0.0	0.00
11/05/2018 51160000040	ENRIGHT, BRITTANY	MFT COLUMBIA EUROPEAN EQUITY FUND-C	B	0.00	3.50	0.00	3.50	0.0	0.00
11/05/2018 53100000001	SHELTON, BARBARA	MFT COLUMBIA DISCIPLINED VALUE	B	0.00	43.42	0.00	43.42	0.0	0.00
11/05/2018 536500000419	BAKER, G	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	14.79	0.00	14.79	0.0	0.00
11/05/2018 536500000426	ENRIGHT, BRITTANY	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	6.48	0.00	6.48	0.0	0.00
11/05/2018 536900000089	BAKER, G	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	15.65	0.00	15.65	0.0	0.00
11/05/2018 537300000082	SHELTON, BARBARA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	45.78	0.00	45.78	0.0	0.00
11/05/2018 537300000102	BAKER, G	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	11.60	0.00	11.60	0.0	0.00
11/05/2018 537700000057	READ, THOMAS	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	47.24	0.00	47.24	0.0	0.00
11/05/2018 537700000067	MOZISEK, SHANA	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.03	0.00	0.03	0.0	0.00
11/05/2018 537700000092	SHELTON, BARBARA	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	35.98	0.00	35.98	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$494.36	\$0.00	\$494.36		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$498.36	\$0.00	\$498.36		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00