



COMMISSION STATEMENT

November 12, 2018

RONALD CIOTOLI
3401 E Main St.
Endwell, NY 13760

BROKER #: A89
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/29/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	60.0	2.25
	ACCOUNT #: 00068752100	BUY 4 Units @27.6400						
10/29/2018	***-**-338O - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	60.0	1.43
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$150.00	\$6.13	\$0.00	\$6.13		\$3.68
<u>PRODUCT CATEGORY: RIA</u>								
11/12/2018	***-**-14FB - CONTI, THOMAS	LIFEMARK INVESTMENT ADVISORY FEE	92.48	92.48	0.00	92.48	60.0	55.49
	ACCOUNT #: 925530255	BUY 0 Units @0.0000						
11/12/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	197.35	197.35	0.00	197.35	60.0	118.41
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
11/12/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	234.15	234.15	0.00	234.15	60.0	140.49
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
11/12/2018	***-**-173O - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	239.03	239.03	0.00	239.03	60.0	143.42
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						
11/12/2018	***-**-08FB - KROH, TODD	LIFEMARK INVESTMENT ADVISORY FEE	819.99	819.99	0.00	819.99	60.0	491.99
	ACCOUNT #: 925550769	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$1,583.00	\$1,583.00	\$0.00	\$1,583.00		\$949.80
*** BROKER TRANSACTIONS TOTAL ***			\$1,733.00	\$1,589.13	\$0.00	\$1,589.13		\$953.48



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$953.48
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$61.78
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,015.26
						Year-to-date Earnings		\$10,641.79