



# COMMISSION STATEMENT

November 26, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/15/2018	***-**-6195 - BACKUS, THOMAS	INVESTCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 03908954377	BUY 2 Units @21.7100						
11/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @12.8700						
11/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @52.5900						
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$4.80	\$0.00	\$4.80		\$3.84
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$4.80	\$0.00	\$4.80		\$3.84

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$3.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$3.84
Year-to-date Earnings	\$6,213.61