

COMMISSION STATEMENT

October 31, 2018

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: A08 W15
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/27/2018	75502049 MORRIS, MICHAEL	NVENT ELECTRIC PLC ORDINARY SHARES	S	729.11	0.00	0.00	0.00	80.0	0.00
09/27/2018	75502050 DIPENICE, WILLIAM	MARTIN MARIETTA MATLS INC	S	3,396.30	0.00	0.00	0.00	80.0	0.00
10/05/2018	75502050 DIPENICE, WILLIAM	J P MORGAN CHASE & CO	B	2,650.88	0.00	0.00	0.00	80.0	0.00
10/10/2018	75502050 DIPENICE, WILLIAM	EQUIFAX INC	S	1,372.54	0.00	0.00	0.00	80.0	0.00
10/10/2018	75502050 DIPENICE, WILLIAM	EQUIFAX INC	S	374.33	0.00	0.00	0.00	80.0	0.00
10/18/2018	75502050 DIPENICE, WILLIAM	ACTIVISION BLIZZARD INC	B	942.46	0.00	0.00	0.00	80.0	0.00
10/23/2018	75502050 DIPENICE, WILLIAM	DOWDUPONT INC COMMON STOCK	S	2,179.65	0.00	0.00	0.00	80.0	0.00
10/23/2018	75502050 DIPENICE, WILLIAM	SCHLUMBERGER LTD	S	2,203.60	0.00	0.00	0.00	80.0	0.00
10/24/2018	75502050 DIPENICE, WILLIAM	PHILLIPS 66 COM	B	1,070.27	0.00	0.00	0.00	80.0	0.00
10/24/2018	75502050 DIPENICE, WILLIAM	SEMPRA ENERGY	B	1,278.39	0.00	0.00	0.00	80.0	0.00
10/29/2018	75502050 DIPENICE, WILLIAM	APTIV PLC SHS COM	S	2,122.29	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$18,319.82	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75502048 FERRUZZI, KENNETH	FAS FEES PT. I/III	B	-630.92	105.15	0.00	105.15	80.0	84.12
10/01/2018	75502049 MORRIS, MICHAEL	FAS FEES PT. I/III	B	-699.63	146.83	0.00	146.83	80.0	117.46
10/01/2018	75502050 DIPENICE, WILLIAM	FAS FEES PT. I/III	B	-408.76	68.12	0.00	68.12	80.0	54.50
10/01/2018	75502051 DIPENICE, NINA	FAS FEES PT. I/III	B	-1,184.47	215.83	0.00	215.83	80.0	172.66
10/01/2018	75502052 DIPENICE, WILLIAM	FAS FEES PT. I/III	B	-116.19	29.04	0.00	29.04	80.0	23.23
10/01/2018	75502083 MAISELL, ADRIANE	FAS FEES PT. I/III	B	-565.35	94.22	0.00	94.22	80.0	75.38
* RIA SUBTOTAL *				\$-3,605.32	\$659.19	\$0.00	\$659.19		\$527.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018		FEE COUNT - 1		0.00	0.10	0.00	0.10	80.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.10	\$0.00	\$0.10		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$14,714.50	\$659.29	\$0.00	\$659.29		\$527.35

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ADJUSTMENTS

10/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: RBC- WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$527.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.82
SIPC Assessment	\$-1.65

Check Amount	\$221.88
Year-to-date Earnings	\$2,029.19