

COMMISSION STATEMENT October 31, 2018

KRIS LLOYD-D039
 4265 San Felipe Road
 Suite 1100
 Houston, TX 77027

BROKER #: D039
 BRANCH: DMJ

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/01/2018 368435674	SOWA, CAROL	MF	THE GROWTH FUND OF AMERICA-A	S	-4,313.06	0.00	5.00	-5.00	90.0	-4.50
10/29/2018 461271145	LLOYD, KEITH	MF	GROWTH AND INCOME PORTFOLIO-A	S	10,002.45	500.00	5.00	495.00	90.0	445.50
* MUTUAL FUNDS SUBTOTAL *					\$5,689.39	\$500.00	\$10.00	\$490.00		\$441.00

PRODUCT CATEGORY: STOCKS

09/27/2018 184006336	CONNOLLY, ANNE	S	OMEGA HEALTHCARE INVS INC	B	6,600.95	146.78	15.00	131.78	90.0	118.60
10/23/2018 184105032	COMBS, GORDON	S	KINDER MORGAN INC	B	2,477.92	85.84	15.00	70.84	90.0	63.76
10/23/2018 184116694	COMBS, ELIZABETH	S	KINDER MORGAN INC	B	2,044.94	79.45	15.00	64.45	90.0	58.01
10/10/2018 216051151	DAVID HOLLRAH IND	S	ENSCO PLC-CL A	B	8,919.34	118.29	15.00	103.29	90.0	92.96
10/10/2018 338107996	HOLLRAH, DAVID	S	ENSCO PLC-CL A	B	17,786.15	203.90	15.00	188.90	90.0	170.01
10/25/2018 368259575	ROY, JOHN	S	FORD MOTOR CO NEW	B	9,166.13	184.68	15.00	169.68	90.0	152.71
10/25/2018 368259575	ROY, JOHN	S	VICTORY PORTFOLIOS II CEMP US	S	-13,209.47	251.96	15.00	236.96	90.0	213.26
10/29/2018 368259575	ROY, JOHN	S	ENERGY TRANSFER EQUITY L P	S	-19,327.55	345.13	15.00	330.13	90.0	297.12
10/29/2018 368333602	LASTER, RICHARD	S	ENERGY TRANSFER EQUITY L P	S	-5,746.42	138.31	15.00	123.31	90.0	110.98
10/25/2018 368350989	TOWNES, BETTY	S	FORD MOTOR CO NEW	B	7,343.07	157.74	15.00	142.74	90.0	128.47
10/25/2018 368350989	TOWNES, BETTY	S	VICTORY PORTFOLIOS II CEMP US	S	-6,575.52	150.94	15.00	135.94	90.0	122.35
10/26/2018 368431919	COLEMAN, DONALD	S	ALTRIA GROUP INCORPORATED	S	-6,198.69	145.20	15.00	130.20	90.0	117.18
10/26/2018 368431919	COLEMAN, DONALD	S	FORD MOTOR CO NEW	B	6,431.82	144.28	15.00	129.28	90.0	116.35
10/26/2018 645219153	ROYER TTEE, MARY	S	EXXON-MOBIL CORPORATION	S	-7,544.26	165.69	15.00	150.69	90.0	135.62
10/26/2018 645219153	ROYER TTEE, MARY	S	FORD MOTOR CO NEW	B	9,177.20	184.85	15.00	169.85	90.0	152.87
10/29/2018 645219153	ROYER TTEE, MARY	S	ENERGY TRANSFER EQUITY L P	S	-3,790.77	108.53	15.00	93.53	90.0	84.18
10/26/2018 645219578	ROYER TTEE, MARY	S	EXXON-MOBIL CORPORATION	S	-7,547.85	165.74	15.00	150.74	90.0	135.67
10/26/2018 645219578	ROYER TTEE, MARY	S	FORD MOTOR CO NEW	B	9,141.77	184.32	15.00	169.32	90.0	152.39
10/29/2018 645219578	ROYER TTEE, MARY	S	ENERGY TRANSFER EQUITY L P	S	-9,549.23	196.22	15.00	181.22	90.0	163.10
10/26/2018 645219949	ROYER, MARY	S	EXXON-MOBIL CORPORATION	S	-7,544.26	165.69	15.00	150.69	90.0	135.62
10/26/2018 645219949	ROYER, MARY	S	FORD MOTOR CO NEW	B	9,145.43	184.38	15.00	169.38	90.0	152.44
10/29/2018 645219949	ROYER, MARY	S	ENERGY TRANSFER EQUITY L P	S	-7,618.87	166.82	15.00	151.82	90.0	136.64
10/26/2018 645225418	ROYER TTEE, MARY	S	EXXON-MOBIL CORPORATION	S	-15,242.71	282.92	15.00	267.92	90.0	241.13
10/26/2018 645225418	ROYER TTEE, MARY	S	VICTORY PORTFOLIOS II CEMP US	S	-4,298.31	116.26	15.00	101.26	90.0	91.13
10/29/2018 645225418	ROYER TTEE, MARY	S	ENERGY TRANSFER EQUITY L P	S	-3,783.21	108.41	15.00	93.41	90.0	84.07
10/26/2018 677260790	SCHULTZE, HARRY	S	FORD MOTOR CO NEW	B	1,875.27	76.94	15.00	61.94	90.0	55.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/26/2018 677260790	SCHULTZE, HARRY	S NORDIC AMERICAN OFFSHORE LTD	S	50.95	-60.00	-15.00	-45.00	90.0	-40.50
10/26/2018 677260790	SCHULTZE, HARRY	S NORDIC AMERICAN OFFSHORE LTD	S	-50.95	60.00	15.00	45.00	90.0	40.50
10/26/2018 677260790	SCHULTZE, HARRY	S NORDIC AMERICAN OFFSHORE LTD	S	-95.95	15.00	15.00	0.00	90.0	0.00
10/29/2018 677360654	SCHEPS-DERR, KIM	S ENERGY TRANSFER EQUITY L P	S	-11,492.35	225.81	15.00	210.81	90.0	189.73
10/29/2018 800452988	WOO, SHIAO	S CVR PARTNERS LP	S	-710.78	60.00	15.00	45.00	90.0	40.50
10/29/2018 800452988	WOO, SHIAO	S FORD MOTOR CO NEW	B	1,748.75	75.07	15.00	60.07	90.0	54.06
* STOCKS SUBTOTAL *				\$-38,417.46	\$4,635.15	\$450.00	\$4,185.15		\$3,766.66

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	5.74	0.00	5.74	90.0	5.17
10/19/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	8.58	0.00	8.58	90.0	7.72
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.52	0.00	0.52	90.0	0.47
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.78	0.00	0.78	90.0	0.70
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.30	0.00	1.30	90.0	1.17
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.40	0.00	1.40	90.0	1.26
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	2.02	0.00	2.02	90.0	1.82
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	2.81	0.00	2.81	90.0	2.53
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	3.01	0.00	3.01	90.0	2.71
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	3.26	0.00	3.26	90.0	2.93
10/19/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	14.26	0.00	14.26	90.0	12.83
10/19/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.58	0.00	0.58	90.0	0.52
10/19/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.15	0.00	1.15	90.0	1.04
10/19/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	3.01	0.00	3.01	90.0	2.71
10/19/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	5.93	0.00	5.93	90.0	5.34
10/19/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	15.06	0.00	15.06	90.0	13.55
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.34	0.00	0.34	90.0	0.31
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.40	0.00	0.40	90.0	0.36
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.60	0.00	0.60	90.0	0.54
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.77	0.00	0.77	90.0	0.69
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.91	0.00	0.91	90.0	0.82
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.32	0.00	1.32	90.0	1.19
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.34	0.00	1.34	90.0	1.21
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.68	0.00	1.68	90.0	1.51
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.83	0.00	1.83	90.0	1.65
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.28	0.00	4.28	90.0	3.85
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.54	0.00	4.54	90.0	4.09
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.06	0.00	5.06	90.0	4.55
10/19/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.09	0.00	5.09	90.0	4.58

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10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.30	0.00	5.30	90.0	4.77
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.34	0.00	5.34	90.0	4.81
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.78	0.00	7.78	90.0	7.00
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.89	0.00	7.89	90.0	7.10
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.49	0.00	11.49	90.0	10.34
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	12.48	0.00	12.48	90.0	11.23
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.32	0.00	4.32	90.0	3.89
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.42	0.00	5.42	90.0	4.88
10/19/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.80	0.00	7.80	90.0	7.02
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.58	0.00	0.58	90.0	0.52
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.79	0.00	0.79	90.0	0.71
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.10	0.00	1.10	90.0	0.99
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.93	0.00	1.93	90.0	1.74
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.93	0.00	1.93	90.0	1.74
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.93	0.00	1.93	90.0	1.74
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.93	0.00	1.93	90.0	1.74
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.93	0.00	1.93	90.0	1.74
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.42	0.00	3.42	90.0	3.08
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.10	0.00	4.10	90.0	3.69
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.39	0.00	4.39	90.0	3.95
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.57	0.00	5.57	90.0	5.01
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.76	0.00	5.76	90.0	5.18
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	24.36	0.00	24.36	90.0	21.92
10/19/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.70	0.00	4.70	90.0	4.23
10/19/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	1.33	0.00	1.33	90.0	1.20
10/19/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	3.00	0.00	3.00	90.0	2.70
10/19/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	4.51	0.00	4.51	90.0	4.06
10/19/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.87	0.00	0.87	90.0	0.78
10/19/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.31	0.00	1.31	90.0	1.18
10/19/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.96	0.00	4.96	90.0	4.46
10/19/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.51	0.00	6.51	90.0	5.86
10/19/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
10/19/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	8.08	0.00	8.08	90.0	7.27
10/19/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
10/19/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.10	0.00	8.10	90.0	7.29
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.60	0.00	0.60	90.0	0.54
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	90.0	0.58
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.83	0.00	0.83	90.0	0.75
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.95	0.00	0.95	90.0	0.86
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.16	0.00	1.16	90.0	1.04
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.57	0.00	1.57	90.0	1.41
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.98	0.00	1.98	90.0	1.78
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.62	0.00	3.62	90.0	3.26
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.40	0.00	4.40	90.0	3.96

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10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.83	0.00	4.83	90.0	4.35
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.21	0.00	5.21	90.0	4.69
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.92	0.00	5.92	90.0	5.33
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.03	0.00	6.03	90.0	5.43
10/19/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.42	0.00	8.42	90.0	7.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$320.22	\$0.00	\$320.22		\$288.23
*** CLEARING TRANSACTIONS TOTAL ***				\$-32,728.07	\$5,455.37	\$460.00	\$4,995.37		\$4,495.89

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None)	CITTI	MFT COLLEGE BOUND	B	0.00	6.26	0.00	6.26	90.0	5.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$6.26	\$0.00	\$6.26		\$5.63
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$6.26	\$0.00	\$6.26		\$5.63

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
10/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,501.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,316.52
Year-to-date Commissions	\$52,171.03