

COMMISSION STATEMENT

November 30, 2018

 LANCE WALSTON-D358
 1109 South Abe

 BROKER #: D358
 BRANCH: DMN

San Angelo, TX 76903

COMMISSION STATEMENT for LANCE WALSTON-D358

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

11/20/2018 677225931	SEGUIN, GUILLERMO	S	BAIDU, INC FORMERLY BAIDU.COM - B	18,078.85	316.40	15.00	301.40	90.0	271.26
* STOCKS SUBTOTAL *				\$18,078.85	\$316.40	\$15.00	\$301.40		\$271.26

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc881708	VARIOUS, WALSTON	MFT BLACKROCK LARGE CAP VALUE FD C	B	0.00	5.63	0.00	5.63	90.0	5.07
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.02	0.00	0.02	90.0	0.02
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.07	0.00	3.07	90.0	2.76
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.14	0.00	1.14	90.0	1.03
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT FEDERATED HIGH INCOME BOND FUND B	B	0.00	1.38	0.00	1.38	90.0	1.24
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT FEDERATED HIGH INCOME BOND FUND B	B	0.00	1.38	0.00	1.38	90.0	1.24
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	1.64	0.00	1.64	90.0	1.48
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT FRK INC FD CL A	B	0.00	0.45	0.00	0.45	90.0	0.41
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.44	0.00	3.44	90.0	3.10
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT MUTUAL DISC FND - CL A	B	0.00	0.39	0.00	0.39	90.0	0.35
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT MUTUAL DISC FND - CL C	B	0.00	2.27	0.00	2.27	90.0	2.04
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT OPPENHEIMER SMALL & MID-CAP	B	0.00	6.52	0.00	6.52	90.0	5.87
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.65	0.00	3.65	90.0	3.29
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.20	0.00	3.20	90.0	2.88
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.20	0.00	3.20	90.0	2.88
11/21/2018 mfc881708	VARIOUS, WALSTON	MFT VALUE OPPORTUNITIES A	B	0.00	1.31	0.00	1.31	90.0	1.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$38.69	\$0.00	\$38.69		\$34.84
*** CLEARING TRANSACTIONS TOTAL ***				\$18,078.85	\$355.09	\$15.00	\$340.09		\$306.10

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/12/2018	00977664224	ANDRENACCI, PETER MF	CAPITAL WORLD GROWTH AND INCOME B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$5.00	\$0.00	\$5.00		\$4.50

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/15/2018 (None) VIETOR, CONSTANCE	VAT AMERICAN GENERAL	B	0.00	82.45	0.00	82.45	90.0	74.21
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$82.45	\$0.00	\$82.45		\$74.21
*** DIRECT TRANSACTIONS TOTAL ***			\$100.00	\$87.45	\$0.00	\$87.45		\$78.71

ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	:	2019 FINRA RENEWALS	0.00	0.00	0.00	0.00	-525.00
11/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
11/30/2018	:	ESIGNAL - SEPTEMBER	0.00	0.00	0.00	0.00	-83.04
11/30/2018	:	ESIGNAL - OCTOBER	0.00	0.00	0.00	0.00	-83.04
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-876.08
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-876.08

BROKER COMMISSION TOTALS

Broker Transactions	\$384.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-876.08
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-491.27
Year-to-date Commissions	\$6,715.67