

COMMISSION STATEMENT**October 31, 2018**GUY CRAWFORD
12 PLYMOUTH ROADBROKER #: R40
BRANCH: HOME OFFICE

PORT WASHINGTON, NY 11050

COMMISSION STATEMENT for GUY CRAWFORD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/18/2018	QGW022238	DAVID M MARKS, SEP	STAG INDUSTRIAL INC	S	-26,371.00	50.00	0.00	50.00	50.0	25.00
10/22/2018	QGW022238	DAVID M MARKS, SEP	BLACKSTONE GROUP L P (THE) COMMON U B		24,185.00	50.00	0.00	50.00	50.0	25.00
* STOCKS SUBTOTAL *					<u>\$-2,186.00</u>	<u>\$100.00</u>	<u>\$0.00</u>	<u>\$100.00</u>		<u>\$50.00</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-2,186.00</u>	<u>\$100.00</u>	<u>\$0.00</u>	<u>\$100.00</u>		<u>\$50.00</u>

ADJUSTMENTS

10/31/2018			COMMISSION INCOME: VERU IPO		0.00	0.00	0.00	0.00		6.30
10/31/2018			COMMISSION INCOME: ALDX SECONDARY		0.00	0.00	0.00	0.00		38.36
10/31/2018			COMMISSION INCOME: KALA PHARMACEUTI		0.00	0.00	0.00	0.00		16.34
10/31/2018			COMMISSION INCOME: MNR SECONDARY		0.00	0.00	0.00	0.00		67.50
10/31/2018			COMMISSION INCOME: LIVENT CORP		0.00	0.00	0.00	0.00		70.13
10/31/2018			COMMISSION INCOME: SOLARWIND		0.00	0.00	0.00	0.00		10.69
10/31/2018			OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$189.32</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$50.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$189.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.13
SIPC Assessment	\$-0.25

Check Amount	\$238.94
Year-to-date Earnings	\$1,531.93