

COMMISSION STATEMENT

October 31, 2018

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

BROKER #: A01 V01
BRANCH: FULCRUM GROUP

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/11/2018 (None)	VARIOUS, OLMSTEAD	JOHN HANCOCK VARIOUS	B	0.00	95.41	0.00	95.41	70.0	66.79
10/09/2018 75501809	WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	S	8,000.00	0.00	0.00	0.00	70.0	0.00
10/01/2018 75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$10,800.00	\$95.41	\$0.00	\$95.41		\$66.79

PRODUCT CATEGORY: STOCKS

10/18/2018 75501727	PENN, SHELDON	ECHO GLOBAL LOGISTICS INC COM	S	6,053.50	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501727	PENN, SHELDON	BIOGEN INC	S	8,070.27	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501727	PENN, SHELDON	COPART INC	S	6,736.39	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501727	PENN, SHELDON	LENNOX INTL INC	S	5,868.04	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501727	PENN, SHELDON	AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727	PENN, SHELDON	AMEDISYS INC	S	2,481.75	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727	PENN, SHELDON	BIOGEN INC	S	6,078.00	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727	PENN, SHELDON	PROOFPOINT INC	S	7,857.74	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501727	PENN, SHELDON	S&P GLOBAL INC COM	S	3,423.60	0.00	0.00	0.00	70.0	0.00
10/18/2018 75501773	SMITH, GLENN	ECHO GLOBAL LOGISTICS INC COM	S	3,606.34	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501773	SMITH, GLENN	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501773	SMITH, GLENN	COPART INC	S	3,991.94	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501773	SMITH, GLENN	LENNOX INTL INC	S	3,912.03	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773	SMITH, GLENN	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773	SMITH, GLENN	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773	SMITH, GLENN	PROOFPOINT INC	S	4,962.78	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501773	SMITH, GLENN	S&P GLOBAL INC COM	S	1,711.80	0.00	0.00	0.00	70.0	0.00
10/18/2018 75501778	VORMWALD, G ROBERT	ECHO GLOBAL LOGISTICS INC COM	S	9,788.63	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501778	VORMWALD, G ROBERT	BIOGEN INC	S	12,912.44	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501778	VORMWALD, G ROBERT	COPART INC	S	10,728.33	0.00	0.00	0.00	70.0	0.00
10/19/2018 75501778	VORMWALD, G ROBERT	LENNOX INTL INC	S	9,780.07	0.00	0.00	0.00	70.0	0.00
10/22/2018 75501778	VORMWALD, G ROBERT	AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501778	VORMWALD, G ROBERT	AMEDISYS INC	S	4,963.50	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501778	VORMWALD, G ROBERT	BIOGEN INC	S	10,636.51	0.00	0.00	0.00	70.0	0.00
10/26/2018 75501778	VORMWALD, G ROBERT	PROOFPOINT INC	S	12,820.52	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/26/2018	75501778	VORMWALD, G ROBERT	S&P GLOBAL INC COM	S	5,991.30	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501779	OLMSTEAD, VAN	ECHO GLOBAL LOGISTICS INC COM	S	3,606.34	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501779	OLMSTEAD, VAN	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501779	OLMSTEAD, VAN	COPART INC	S	4,241.43	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501779	OLMSTEAD, VAN	LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501779	OLMSTEAD, VAN	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501779	OLMSTEAD, VAN	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501779	OLMSTEAD, VAN	PROOFPOINT INC	S	4,549.22	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501779	OLMSTEAD, VAN	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501783	HALLAM, DONALD	ECHO GLOBAL LOGISTICS INC COM	S	15,455.74	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501783	HALLAM, DONALD	BIOGEN INC	S	19,368.66	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501783	HALLAM, DONALD	COPART INC	S	16,965.73	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501783	HALLAM, DONALD	LENNOX INTL INC	S	15,648.11	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501783	HALLAM, DONALD	AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501783	HALLAM, DONALD	AMEDISYS INC	S	7,445.25	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501783	HALLAM, DONALD	BIOGEN INC	S	16,714.51	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501783	HALLAM, DONALD	PROOFPOINT INC	S	20,264.69	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501783	HALLAM, DONALD	S&P GLOBAL INC COM	S	10,270.80	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501805	BARBARAS, GLEN	ECHO GLOBAL LOGISTICS INC COM	S	3,992.73	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501805	BARBARAS, GLEN	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501805	BARBARAS, GLEN	COPART INC	S	4,241.43	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501805	BARBARAS, GLEN	LENNOX INTL INC	S	3,912.03	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501805	BARBARAS, GLEN	AMAZON.COM INC	B	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501805	BARBARAS, GLEN	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501805	BARBARAS, GLEN	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501805	BARBARAS, GLEN	PROOFPOINT INC	S	4,962.78	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501805	BARBARAS, GLEN	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501808	WRIGHT, PAMELA	ECHO GLOBAL LOGISTICS INC COM	S	2,447.16	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501808	WRIGHT, PAMELA	BIOGEN INC	S	3,228.11	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501808	WRIGHT, PAMELA	COPART INC	S	2,694.56	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501808	WRIGHT, PAMELA	LENNOX INTL INC	S	1,956.01	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501808	WRIGHT, PAMELA	AMAZON.COM INC	B	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501808	WRIGHT, PAMELA	AMEDISYS INC	S	992.70	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501808	WRIGHT, PAMELA	BIOGEN INC	S	2,431.20	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501808	WRIGHT, PAMELA	PROOFPOINT INC	S	2,894.96	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501808	WRIGHT, PAMELA	S&P GLOBAL INC COM	S	1,711.80	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501817	GELLIS, HOWARD	ECHO GLOBAL LOGISTICS INC COM	S	20,736.45	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501817	GELLIS, HOWARD	BIOGEN INC	S	25,824.88	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501817	GELLIS, HOWARD	COPART INC	S	22,704.14	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501817	GELLIS, HOWARD	LENNOX INTL INC	S	21,516.15	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/22/2018	75501817	GELLIS, HOWARD							
		AMAZON.COM INC	B	17,999.80	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501817	GELLIS, HOWARD							
		AMEDISYS INC	S	9,927.00	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501817	GELLIS, HOWARD							
		BIOGEN INC	S	22,792.51	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501817	GELLIS, HOWARD							
		PROOFPOINT INC	S	24,813.90	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501817	GELLIS, HOWARD							
		S&P GLOBAL INC COM	S	13,694.40	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501822	KLAHN, ROBERT							
		ECHO GLOBAL LOGISTICS INC COM	S	4,250.33	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501822	KLAHN, ROBERT							
		BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501822	KLAHN, ROBERT							
		COPART INC	S	4,740.42	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501822	KLAHN, ROBERT							
		LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501822	KLAHN, ROBERT							
		AMAZON.COM INC	B	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501822	KLAHN, ROBERT							
		AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501822	KLAHN, ROBERT							
		BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501822	KLAHN, ROBERT							
		PROOFPOINT INC	S	5,376.35	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501822	KLAHN, ROBERT							
		S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501858	REMINGTON, THOMAS							
		ECHO GLOBAL LOGISTICS INC COM	S	9,531.04	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501858	REMINGTON, THOMAS							
		BIOGEN INC	S	11,298.38	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501858	REMINGTON, THOMAS							
		COPART INC	S	10,478.83	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501858	REMINGTON, THOMAS							
		LENNOX INTL INC	S	10,758.08	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501858	REMINGTON, THOMAS							
		AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501858	REMINGTON, THOMAS							
		AMEDISYS INC	S	4,963.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501858	REMINGTON, THOMAS							
		BIOGEN INC	S	10,636.51	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501858	REMINGTON, THOMAS							
		PROOFPOINT INC	S	12,406.95	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501858	REMINGTON, THOMAS							
		S&P GLOBAL INC COM	S	6,847.20	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501870	ESKENAZI, PHOEBE							
		ECHO GLOBAL LOGISTICS INC COM	S	2,575.96	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501870	ESKENAZI, PHOEBE							
		BIOGEN INC	S	3,228.11	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501870	ESKENAZI, PHOEBE							
		COPART INC	S	2,744.46	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501870	ESKENAZI, PHOEBE							
		LENNOX INTL INC	S	2,934.02	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501870	ESKENAZI, PHOEBE							
		AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501870	ESKENAZI, PHOEBE							
		AMEDISYS INC	S	992.70	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501870	ESKENAZI, PHOEBE							
		BIOGEN INC	S	3,039.00	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501870	ESKENAZI, PHOEBE							
		PROOFPOINT INC	S	3,308.52	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501870	ESKENAZI, PHOEBE							
		S&P GLOBAL INC COM	S	1,711.80	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR INDEX SHARES FUNDS SPDR S&P EM B		89.55	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS DOW JONES GLOBAL B		189.78	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS S&P INTERNATIONAL B		137.20	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS S&P WORLD EX-US B		245.76	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS S&P EMERGING MAR B		312.65	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR RUSSELL 1000 ETF	S	1,668.33	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR RUSSELL 2000 ETF	S	99.56	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883	MARTIN, KATHY							
		SPDR SERIES TRUST SPDR PORTFOLIO IN B		66.57	0.00	0.00	0.00	70.0	0.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
09/28/2018	75501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		163.43	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		443.31	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		27.60	0.00	0.00	0.00	70.0	0.00
09/28/2018	75501883 MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		202.98	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501884 MARTIN, KATHY	ECHO GLOBAL LOGISTICS INC COM	S	6,826.28	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501884 MARTIN, KATHY	BIOGEN INC	S	8,070.27	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501884 MARTIN, KATHY	COPART INC	S	7,484.88	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501884 MARTIN, KATHY	LENNOX INTL INC	S	6,846.05	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501884 MARTIN, KATHY	AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501884 MARTIN, KATHY	AMEDISYS INC	S	3,474.45	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501884 MARTIN, KATHY	BIOGEN INC	S	7,597.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501884 MARTIN, KATHY	PROOFPOINT INC	S	7,857.74	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501884 MARTIN, KATHY	S&P GLOBAL INC COM	S	4,279.50	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501888 KAYLOR, KEVIN	ECHO GLOBAL LOGISTICS INC COM	S	1,287.98	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501888 KAYLOR, KEVIN	BIOGEN INC	S	1,614.05	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501888 KAYLOR, KEVIN	COPART INC	S	1,496.98	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501888 KAYLOR, KEVIN	LENNOX INTL INC	S	978.01	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501888 KAYLOR, KEVIN	AMAZON.COM INC	B	3,599.96	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501888 KAYLOR, KEVIN	AMEDISYS INC	S	496.35	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501888 KAYLOR, KEVIN	BIOGEN INC	S	1,519.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501888 KAYLOR, KEVIN	PROOFPOINT INC	S	1,654.26	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501888 KAYLOR, KEVIN	S&P GLOBAL INC COM	S	855.90	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501890 KAYLOR, KEVIN	ECHO GLOBAL LOGISTICS INC COM	S	8,629.45	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501890 KAYLOR, KEVIN	BIOGEN INC	S	11,298.38	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501890 KAYLOR, KEVIN	COPART INC	S	9,480.85	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501890 KAYLOR, KEVIN	LENNOX INTL INC	S	8,802.06	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501890 KAYLOR, KEVIN	AMAZON.COM INC	B	3,599.96	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501890 KAYLOR, KEVIN	AMEDISYS INC	S	4,467.15	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501890 KAYLOR, KEVIN	BIOGEN INC	S	9,117.01	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501890 KAYLOR, KEVIN	PROOFPOINT INC	S	11,166.26	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501890 KAYLOR, KEVIN	S&P GLOBAL INC COM	S	5,991.30	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501914 NATASHA RAJ AHUJA	ECHO GLOBAL LOGISTICS INC COM	S	4,250.33	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501914 NATASHA RAJ AHUJA	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501914 NATASHA RAJ AHUJA	COPART INC	S	4,740.42	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501914 NATASHA RAJ AHUJA	LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501914 NATASHA RAJ AHUJA	AMAZON.COM INC	B	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501914 NATASHA RAJ AHUJA	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501914 NATASHA RAJ AHUJA	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501914 NATASHA RAJ AHUJA	PROOFPOINT INC	S	5,376.35	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501914 NATASHA RAJ AHUJA	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/18/2018	75501946 ANYA P AHUJA 1999	ECHO GLOBAL LOGISTICS INC COM	S	4,250.33	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501946 ANYA P AHUJA 1999	BIOGEN INC	S	4,842.16	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501946 ANYA P AHUJA 1999	COPART INC	S	4,740.42	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501946 ANYA P AHUJA 1999	LENNOX INTL INC	S	4,890.04	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501946 ANYA P AHUJA 1999	AMAZON.COM INC	B	1,799.98	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501946 ANYA P AHUJA 1999	AMEDISYS INC	S	1,985.40	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501946 ANYA P AHUJA 1999	BIOGEN INC	S	4,558.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501946 ANYA P AHUJA 1999	PROOFPOINT INC	S	5,376.35	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501946 ANYA P AHUJA 1999	S&P GLOBAL INC COM	S	2,567.70	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501953 MCCRAY, ROBIN	ECHO GLOBAL LOGISTICS INC COM	S	2,060.77	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501953 MCCRAY, ROBIN	BIOGEN INC	S	3,228.11	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501953 MCCRAY, ROBIN	COPART INC	S	2,245.46	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501953 MCCRAY, ROBIN	LENNOX INTL INC	S	2,934.02	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501953 MCCRAY, ROBIN	AMAZON.COM INC	B	8,999.90	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501953 MCCRAY, ROBIN	AMEDISYS INC	S	992.70	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501953 MCCRAY, ROBIN	BIOGEN INC	S	1,519.50	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501953 MCCRAY, ROBIN	PROOFPOINT INC	S	2,481.39	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501953 MCCRAY, ROBIN	S&P GLOBAL INC COM	S	855.90	0.00	0.00	0.00	70.0	0.00
10/18/2018	75501960 BLUE PELICAN LLC	ECHO GLOBAL LOGISTICS INC COM	S	15,970.93	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501960 BLUE PELICAN LLC	BIOGEN INC	S	20,982.71	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501960 BLUE PELICAN LLC	COPART INC	S	17,464.72	0.00	0.00	0.00	70.0	0.00
10/19/2018	75501960 BLUE PELICAN LLC	LENNOX INTL INC	S	16,626.12	0.00	0.00	0.00	70.0	0.00
10/22/2018	75501960 BLUE PELICAN LLC	AMAZON.COM INC	B	17,999.80	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501960 BLUE PELICAN LLC	AMEDISYS INC	S	7,445.25	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501960 BLUE PELICAN LLC	BIOGEN INC	S	16,714.51	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501960 BLUE PELICAN LLC	PROOFPOINT INC	S	21,091.82	0.00	0.00	0.00	70.0	0.00
10/26/2018	75501960 BLUE PELICAN LLC	S&P GLOBAL INC COM	S	10,270.80	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				\$1,108,674.55	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/28/2018	75501395 OLMSTEAD, VAN	CALL PCAR 11/16/18 67.50	B	897.00	20.00	0.00	20.00	70.0	14.00
10/03/2018	75501395 OLMSTEAD, VAN	CALL EFA 12/21/18 66	B	840.00	20.00	0.00	20.00	70.0	14.00
10/03/2018	75501395 OLMSTEAD, VAN	CALL HBAN 01/18/19 14	S	536.00	20.00	0.00	20.00	70.0	14.00
10/03/2018	75501395 OLMSTEAD, VAN	CALL SYMC 01/18/19 19	B	714.00	20.00	0.00	20.00	70.0	14.00
10/05/2018	75501395 OLMSTEAD, VAN	CALL BBY 11/16/18 75	S	482.00	20.00	0.00	20.00	70.0	14.00
10/05/2018	75501395 OLMSTEAD, VAN	CALL XLK 12/21/18 72	S	790.00	20.00	0.00	20.00	70.0	14.00
10/10/2018	75501395 OLMSTEAD, VAN	PUT HBI 01/18/19 18	S	400.00	20.00	0.00	20.00	70.0	14.00
10/15/2018	75501395 OLMSTEAD, VAN	CALL EFA 12/21/18 66	S	210.00	20.00	0.00	20.00	70.0	14.00
10/15/2018	75501395 OLMSTEAD, VAN	CALL PCAR 11/16/18 67.50	S	201.00	20.00	0.00	20.00	70.0	14.00
10/24/2018	75501395 OLMSTEAD, VAN	PUT HBI 01/18/19 18	S	376.00	20.00	0.00	20.00	70.0	14.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/08/2018	75501726 PENN, SHELDON	CALL BBY 12/21/18 75	S	975.00	37.50	0.00	37.50	70.0	26.25
10/08/2018	75501726 PENN, SHELDON	CALL LOW 01/18/19 105	B	2,610.00	73.55	0.00	73.55	70.0	51.49
10/08/2018	75501726 PENN, SHELDON	CALL NTAP 12/21/18 75	S	2,865.00	76.21	0.00	76.21	70.0	53.35
10/08/2018	75501726 PENN, SHELDON	CALL SPY 01/20/17 222	S	1,890.00	63.22	0.00	63.22	70.0	44.25
10/11/2018	75501726 PENN, SHELDON	PUT IVZ 01/18/19 26	S	2,750.00	88.92	0.00	88.92	70.0	62.24
10/15/2018	75501726 PENN, SHELDON	CALL JWN 01/18/19 57.50	B	2,160.00	67.28	0.00	67.28	70.0	47.10
10/15/2018	75501726 PENN, SHELDON	CALL V 01/18/19 140	S	2,055.00	65.70	0.00	65.70	70.0	45.99
10/22/2018	75501726 PENN, SHELDON	CALL LOW 01/18/19 105	S	915.00	37.50	0.00	37.50	70.0	26.25
10/22/2018	75501726 PENN, SHELDON	CALL SPY 01/20/17 222	B	1,650.00	61.88	0.00	61.88	70.0	43.32
10/26/2018	75501726 PENN, SHELDON	PUT TAP 01/18/19 70	S	4,200.00	90.13	0.00	90.13	70.0	63.09
10/29/2018	75501726 PENN, SHELDON	CALL WBA 01/18/19 72.50	B	2,025.00	65.25	0.00	65.25	70.0	45.68
* OPTIONS SUBTOTAL *				\$29,541.00	\$927.14	\$0.00	\$927.14		\$649.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/03/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	39.88	0.00	39.88	70.0	27.92
10/10/2018	(None) VARIOUS, OLMSTEAD	JACKSON	B	0.00	8.18	0.00	8.18	70.0	5.73
10/10/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	124.73	0.00	124.73	70.0	87.31
10/18/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	41.68	0.00	41.68	70.0	29.18
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$214.47	\$0.00	\$214.47		\$150.14
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75501391 BORISH, MURIEL	FAS FEES PT. I/III	B	-550.33	183.44	0.00	183.44	70.0	128.41
10/01/2018	75501727 PENN, SHELDON	FAS FEES PT. I/III	B	-1,985.62	661.87	0.00	661.87	70.0	463.31
10/01/2018	75501733 OLMSTEAD, CHRISTYN	FAS FEES PT. I/III	B	-761.36	253.78	0.00	253.78	70.0	177.65
10/01/2018	75501772 OLMSTEAD, ELIZABETH	FAS FEES PT. I/III	B	-245.62	81.87	0.00	81.87	70.0	57.31
10/01/2018	75501773 SMITH, GLENN	FAS FEES PT. I/III	B	-1,195.80	398.60	0.00	398.60	70.0	279.02
10/01/2018	75501774 SMITH, GLENN	FAS FEES PT. I/III	B	-1,009.64	336.54	0.00	336.54	70.0	235.58
10/01/2018	75501778 VORMWALD, G ROBERT	FAS FEES PT. I/III	B	-3,077.91	1,025.97	0.00	1,025.97	70.0	718.18
10/01/2018	75501779 OLMSTEAD, VAN	FAS FEES PT. I/III	B	-1,187.02	395.67	0.00	395.67	70.0	276.97
10/01/2018	75501780 OLMSTEAD, VAN	FAS FEES PT. I/III	B	-523.26	174.42	0.00	174.42	70.0	122.09
10/01/2018	75501781 HALLAM, DONALD	FAS FEES PT. I/III	B	-377.81	125.93	0.00	125.93	70.0	88.15
10/01/2018	75501782 HALLAM, DONALD	FAS FEES PT. I/III	B	-359.38	119.79	0.00	119.79	70.0	83.85
10/01/2018	75501783 HALLAM, DONALD	FAS FEES PT. I/III	B	-4,530.79	1,510.26	0.00	1,510.26	70.0	1,057.18
10/01/2018	75501784 HALLAM, DONALD	FAS FEES PT. I/III	B	-1,486.59	495.53	0.00	495.53	70.0	346.87
10/01/2018	75501805 BARBARAS, GLEN	FAS FEES PT. I/III	B	-1,291.67	430.55	0.00	430.55	70.0	301.39
10/01/2018	75501807 WRIGHT, PAMELA	FAS FEES PT. I/III	B	-1,788.23	596.07	0.00	596.07	70.0	417.25
10/01/2018	75501808 WRIGHT, PAMELA	FAS FEES PT. I/III	B	-804.36	268.12	0.00	268.12	70.0	187.68
10/01/2018	75501817 GELLIS, HOWARD	FAS FEES PT. I/III	B	-5,402.63	1,800.87	0.00	1,800.87	70.0	1,260.61
10/01/2018	75501820 KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,051.81	350.60	0.00	350.60	70.0	245.42
10/01/2018	75501822 KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,390.04	463.34	0.00	463.34	70.0	324.34

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75501857	REMINGTON, THOMAS	FAS FEES PT. I/III	B	-291.94	97.31	0.00	97.31	70.0 68.12
10/01/2018	75501858	REMINGTON, THOMAS	FAS FEES PT. I/III	B	-2,965.99	988.66	0.00	988.66	70.0 692.06
10/01/2018	75501859	LOVE, MARGARET	FAS FEES PT. I/III	B	-546.44	182.14	0.00	182.14	70.0 127.50
10/01/2018	75501860	LOVE, MARGARET	FAS FEES PT. I/III	B	-1,151.05	383.68	0.00	383.68	70.0 268.58
10/01/2018	75501866	WELAISH, JEFFREY	FAS FEES PT. I/III	B	-1,611.55	537.18	0.00	537.18	70.0 376.03
10/01/2018	75501870	ESKENAZI, PHOEBE	FAS FEES PT. I/III	B	-844.66	281.55	0.00	281.55	70.0 197.09
10/01/2018	75501884	MARTIN, KATHY	FAS FEES PT. I/III	B	-2,189.50	729.83	0.00	729.83	70.0 510.88
10/01/2018	75501885	MARTIN, KATHY	FAS FEES PT. I/III	B	-815.24	271.74	0.00	271.74	70.0 190.22
10/01/2018	75501888	KAYLOR, KEVIN	FAS FEES PT. I/III	B	-415.06	138.35	0.00	138.35	70.0 96.85
10/01/2018	75501889	KAYLOR, KEVIN	FAS FEES PT. I/III	B	-601.69	200.56	0.00	200.56	70.0 140.39
10/01/2018	75501890	KAYLOR, KEVIN	FAS FEES PT. I/III	B	-2,726.13	908.71	0.00	908.71	70.0 636.10
10/01/2018	75501914	NATASHA RAJ AHUJA	FAS FEES PT. I/III	B	-1,392.86	464.28	0.00	464.28	70.0 325.00
10/01/2018	75501925	HOWELL, WILLIAM	FAS FEES PT. I/III	B	-278.59	92.86	0.00	92.86	70.0 65.00
10/01/2018	75501926	KILPATRICK, CHARLES	FAS FEES PT. I/III	B	-1,080.61	360.20	0.00	360.20	70.0 252.14
10/01/2018	75501946	ANYA P AHUJA 1999	FAS FEES PT. I/III	B	-1,392.30	464.10	0.00	464.10	70.0 324.87
10/01/2018	75501952	HOWELL, WILLIAM	FAS FEES PT. I/III	B	-380.01	126.67	0.00	126.67	70.0 88.67
10/01/2018	75501953	MCCRAY, ROBIN	FAS FEES PT. I/III	B	-662.36	220.78	0.00	220.78	70.0 154.55
10/01/2018	75501954	MCCRAY, GAIL	FAS FEES PT. I/III	B	-276.78	92.26	0.00	92.26	70.0 64.58
10/01/2018	75501960	BLUE PELICAN LLC	FAS FEES PT. I/III	B	-4,605.10	1,535.03	0.00	1,535.03	70.0 1,074.52
10/01/2018	75502088	KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,179.85	393.28	0.00	393.28	70.0 275.30
* RIA SUBTOTAL *					\$-54,427.58	\$18,142.39	\$0.00	\$18,142.39	\$12,699.71
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018		FEE COUNT - 88			0.00	819.87	0.00	819.87	70.0 570.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$819.87	\$0.00	\$819.87	\$570.65
*** BROKER TRANSACTIONS TOTAL ***					\$1,094,587.97	\$20,199.28	\$0.00	\$20,199.28	\$14,136.30
<u>ADJUSTMENTS</u>									
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-447.68
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-397.12
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-363.20
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-1,196.19
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-210.38
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-100.00
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-565.50
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-917.06
10/31/2018		DEUNB:UNBUNDLED QRTLY FEE (LPCM):			0.00	0.00	0.00	0.00	-383.64

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-645.91
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-279.37
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-129.23
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-260.55
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-106.57
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-239.16
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-168.93
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-100.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-237.40
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-100.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-95.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-279.85
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-258.66
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-620.33
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-141.02
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-134.14
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-160.87
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-415.88
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-891.05
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-155.27
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-196.48
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-297.05
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-279.97
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		OTHER: Q2 CHG - EMPIRICAL		0.00	0.00	0.00	0.00		-2,006.04
10/31/2018		MISCELLANEOUS: DEUNC REV NOV & DEC		0.00	0.00	0.00	0.00		7,532.43
10/31/2018		MISCELLANEOUS: CREDIT AND THEN ADD		0.00	0.00	0.00	0.00		3,766.03
10/31/2018		MISCELLANEOUS: DEUNC OCT FEES		0.00	0.00	0.00	0.00		-2,636.22
10/31/2018		OTHER: AAM - Q3 ADV FEE 1/3		0.00	0.00	0.00	0.00		-2,785.30
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-7,427.56

BROKER COMMISSION TOTALS

Broker Transactions	\$14,136.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7,427.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-25.25
SIPC Assessment	\$-50.50

Check Amount	\$6,632.99
Year-to-date Earnings	\$115,235.51