



COMMISSION STATEMENT

November 12, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @33.1100						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @33.1100						
11/02/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @33.1100						
11/02/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @33.1100						
10/29/2018	***-**-0521 - CRUMRINE, MONICA	AMERICAN BALANCED FUND-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @27.7900						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.5500						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.5500						
11/02/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.7200						
11/02/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.7200						
10/29/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN FUNDS 2025 TARGET DATE-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 8 Units @13.6500						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2030 TARGET DATE-A	15.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 04000501282	BUY 1 Units @14.5900						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2035 TARGET DATE-A	15.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 04000501282	BUY 1 Units @14.9400						
10/29/2018	***-**-4719 - MARTIN, KRISTY	AMERICAN FUNDS 2035 TARGET DATE-A	126.77	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: 00088870706	BUY 8 Units @15.1400						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2040 TARGET DATE-A	20.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 04000501282	BUY 1 Units @15.2700						
10/29/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2040 TARGET DATE-A	80.00	4.00	0.00	4.00	75.0	3.00
	ACCOUNT #: 04000747642	BUY 5 Units @15.4700						
10/29/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2045 TARGET DATE-A	20.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 04000747642	BUY 1 Units @15.7000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/31/2018	***-**-7692 - SCHERMERHORN, ACCOUNT #: 00087922386	AMERICAN FUNDS BALANCED PORT CL C BUY 7 Units @14.2000	96.18	0.96	0.00	0.96	75.0	0.72
10/31/2018	***-**-7692 - SCHERMERHORN, ACCOUNT #: 00087922386	AMERICAN FUNDS BALANCED PORT CL C BUY 4 Units @14.2000	57.71	0.58	0.00	0.58	75.0	0.44
10/31/2018	***-**-0481 - WILDER, MARTIN ACCOUNT #: 00087922392	AMERICAN FUNDS BALANCED PORT CL C BUY 16 Units @14.2000	231.52	2.32	0.00	2.32	75.0	1.74
10/31/2018	***-**-0481 - WILDER, MARTIN ACCOUNT #: 00087922392	AMERICAN FUNDS BALANCED PORT CL C BUY 7 Units @14.2000	99.24	0.99	0.00	0.99	75.0	0.74
10/29/2018	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	AMERICAN HIGH-INCOME TRUST-A BUY 11 Units @10.4400	114.20	3.43	0.00	3.43	75.0	2.57
10/29/2018	***-**-01IN - MARNELL, CARMEL ACCOUNT #: 03212148367	CAPITAL APPRECIATION A BUY 0 Units @0.0000	20.00	0.95	0.00	0.95	75.0	0.71
11/02/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.3400	2.09	0.02	0.00	0.02	75.0	0.02
11/02/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.3400	6.25	0.06	0.00	0.06	75.0	0.05
11/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.3400	3.24	0.03	0.00	0.03	75.0	0.02
11/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @58.3400	35.77	0.36	0.00	0.36	75.0	0.27
10/29/2018	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	CAPITAL INCOME BUILDER-A BUY 2 Units @60.2700	114.20	4.28	0.00	4.28	75.0	3.21
11/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD BOND FUND-C BUY 0 Units @18.6200	1.62	0.02	0.00	0.02	75.0	0.02
11/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD BOND FUND-C BUY 1 Units @18.6200	17.88	0.18	0.00	0.18	75.0	0.14
10/29/2018	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @48.3400	114.20	4.28	0.00	4.28	75.0	3.21
10/29/2018	***-**-0521 - CRUMRINE, MONICA ACCOUNT #: 00084226912	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @48.5900	20.00	0.85	0.00	0.85	75.0	0.64
11/02/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @48.9700	7.40	0.15	0.00	0.15	75.0	0.11



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/02/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @48.9700						
11/02/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @48.9700						
11/02/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @48.9700						
11/02/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	2.09	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @47.2100						
11/02/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @47.2100						
11/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @47.2100						
11/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @47.2100						
10/29/2018	***-**-01IN - MARNELL, CARMEL	EMERGING MARKETS INNOVATORS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100109770	BUY 0 Units @0.0000						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	FUNDAMENTAL INVESTORS-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 1 Units @61.8200						
10/29/2018	***-**-2771 - CESARE, CYNTHIA	FUNDAMENTAL INVESTORS-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @61.8200						
10/29/2018	***-**-1001 - FLAHERTY, KELLY	FUNDAMENTAL INVESTORS-A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00081241053	BUY 0 Units @62.6400						
11/02/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @62.7700						
11/02/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @62.7700						
11/02/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @60.8300						
11/02/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @60.8300						
10/29/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/29/2018	***-**-01IN - MARNELL, CARMEL	GLOBAL FUND A	40.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: 03308022016	BUY 0 Units @0.0000						
11/02/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.1000						
11/02/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.1000						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	NEW PERSPECTIVE FUND-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 2 Units @43.2600						
11/02/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @44.3600						
11/02/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @44.3600						
10/29/2018	***-**-2771 - CESARE, CYNTHIA	NEW WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @60.5700						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @62.5000						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @62.5000						
11/02/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @58.5200						
11/02/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @58.5200						
10/29/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
10/29/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER CORE BOND FUND A	40.00	1.60	0.00	1.60	75.0	1.20
	ACCOUNT #: 02852540989	BUY 0 Units @0.0000						
10/29/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
10/29/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER SMALL & MID CAP VALUE F	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02515071616	BUY 0 Units @0.0000						
10/29/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER STRATEGIC INCOME FD CL	20.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 02307440689	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/29/2018	***-**-01IN - MARNELL, CARMEL	RISING DIVIDENDS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02254838053	BUY 0 Units @0.0000						
10/29/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
10/29/2018	***-**-01IN - MARNELL, CARMEL	SMALL CAP VALUE FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100051250	BUY 0 Units @0.0000						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	SMALLCAP WORLD FUND-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 2 Units @54.7400						
10/29/2018	***-**-2771 - CESARE, CYNTHIA	SMALLCAP WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @54.7400						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @56.7400						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @56.7400						
11/02/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @56.7400						
11/02/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @56.7400						
11/02/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @48.3400						
11/02/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @48.3400						
10/29/2018	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @12.8900						
10/29/2018	***-**-2771 - CESARE, CYNTHIA	THE BOND FUND OF AMERICA-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 9 Units @12.8900						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.6500						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.6500						
11/02/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	2.08	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.3300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/02/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.3300						
11/02/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.3300						
11/02/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.3300						
10/29/2018	***-**-9137 - YOZZO, JOSEPH	THE GROWTH FUND OF AMERICA-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 2 Units @51.9300						
10/29/2018	***-**-2771 - CESARE, CYNTHIA	THE GROWTH FUND OF AMERICA-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @51.9300						
10/29/2018	***-**-0521 - CRUMRINE, MONICA	THE GROWTH FUND OF AMERICA-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @52.2000						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.1700						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.1700						
11/02/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @53.1700						
11/02/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @53.1700						
11/02/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	2.09	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @47.7700						
11/02/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @47.7700						
11/02/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @47.7700						
11/02/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @47.7700						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @22.9600						
11/02/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @22.9600						



COMMISSION STATEMENT

November 12, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @22.9600	6.49	0.13	0.00	0.13	75.0	0.10
11/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 3 Units @22.9600	62.50	1.25	0.00	1.25	75.0	0.94
10/29/2018	***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 00082276628	THE NEW ECONOMY FUND-A BUY 2 Units @45.1600	91.50	3.43	0.00	3.43	75.0	2.57
10/29/2018	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	THE NEW ECONOMY FUND-A BUY 3 Units @45.1600	114.20	4.28	0.00	4.28	75.0	3.21
11/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.1300	2.16	0.02	0.00	0.02	75.0	0.02
11/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.1300	23.85	0.24	0.00	0.24	75.0	0.18
11/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @45.7400	6.48	0.13	0.00	0.13	75.0	0.10
11/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.7400	62.50	1.25	0.00	1.25	75.0	0.94
* MUTUAL FUNDS SUBTOTAL *			\$3,936.71	\$113.71	\$0.00	\$113.71		\$85.36
PRODUCT CATEGORY: RIA								
11/12/2018	***-**-15FB - KHAN, AFSAR ACCOUNT #: 925330573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	276.33	276.33	0.00	276.33	75.0	207.25
11/12/2018	***-**-38FB - WENGERT, CRAIG ACCOUNT #: 925475478	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	510.83	510.83	0.00	510.83	75.0	383.12
11/12/2018	***-**-15FB - KHAN, AFSAR ACCOUNT #: 925318399	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1,174.08	1,174.08	0.00	1,174.08	75.0	880.56
* RIA SUBTOTAL *			\$1,961.24	\$1,961.24	\$0.00	\$1,961.24		\$1,470.93
*** BROKER TRANSACTIONS TOTAL ***			\$5,897.95	\$2,074.95	\$0.00	\$2,074.95		\$1,556.29



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,556.29
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,556.29
						Year-to-date Earnings		\$75,329.83