

# COMMISSION STATEMENT October 31, 2018

ARNETT DPM

BROKER #:

BRANCH: DWA

, TX

## COMMISSION STATEMENT for ARNETT DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/05/2018 368288519	MOLTER, DANE	MF	VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
10/05/2018 368294569	MOLTER, RACHEL	MF	VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
10/02/2018 368353272	TARRH, LLOYD	MF	VANGUARD DIV APPR INDEX FD INV	B	-45,997.55	0.00	5.00	-5.00	0.0	0.00
10/19/2018 368463898	VOGT, CAROL	MF	VANGUARD DIVIDEND GROWTH FD	B	-19,997.55	0.00	5.00	-5.00	0.0	0.00
10/19/2018 368463898	VOGT, CAROL	MF	VANGUARD INTERMEDIATE TERM CORP	B	-4,997.55	0.00	5.00	-5.00	0.0	0.00
10/25/2018 646263838	O'BRYAN, THOMAS	MF	VANGUARD GROWTH INDEX FD	S	252.45	0.00	2.50	-2.50	0.0	0.00
10/19/2018 769614429	VAZQUEZ, JOSE	MF	VANGUARD 500 INDEX FD ADMIRAL	S	602.45	0.00	2.50	-2.50	0.0	0.00
10/19/2018 769618011	VAZQUEZ CUSTODIAN,	MF	VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
10/19/2018 769618011	VAZQUEZ CUSTODIAN,	MF	VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
10/19/2018 769627558	VAZQUEZ CUST, JOSE	MF	VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
10/19/2018 769627558	VAZQUEZ CUST, JOSE	MF	VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$-67,223.05	\$0.00	\$35.00	\$-35.00		\$0.00

#### PRODUCT CATEGORY: STOCKS

10/23/2018 368463898	VOGT, CAROL	S	LOWE'S COMPANIES INC BOOK ENTRY	B	15,245.13	19.95	15.00	4.95	0.0	0.00
* STOCKS SUBTOTAL *					\$15,245.13	\$19.95	\$15.00	\$4.95		\$0.00

#### PRODUCT CATEGORY: BONDS

10/04/2018 368353272	TARRH, LLOYD	B	AMERICAN EXPRESS CO SR GLBL NT	B	16,249.96	20.00	15.00	5.00	0.0	0.00
10/04/2018 368353272	TARRH, LLOYD	B	CIGNA CORP CPN: 4% MATY:	B	30,459.90	19.80	15.00	4.80	0.0	0.00
10/23/2018 368463898	VOGT, CAROL	B	MOLSON COORS BREWING CO GLOBAL	B	14,172.15	19.88	15.00	4.88	0.0	0.00
* BONDS SUBTOTAL *					\$60,882.01	\$59.68	\$45.00	\$14.68		\$0.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	AIM ENERGY FUND - CLASS A	B	0.00	0.61	0.00	0.61	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	AIM ENERGY FUND - CLASS A	B	0.00	0.66	0.00	0.66	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.95	0.00	0.95	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.68	0.00	2.68	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	CAPITAL INCOME BUILDER-A	B	0.00	6.58	0.00	6.58	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	CAPITAL INCOME BUILDER-A	B	0.00	8.94	0.00	8.94	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	CAPITAL INCOME BUILDER-A	B	0.00	12.55	0.00	12.55	0.0	0.00
10/19/2018 mfc037813	VARIOUS, ARNETT	MFT	CAPITAL INCOME BUILDER-A	B	0.00	13.14	0.00	13.14	0.0	0.00

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10/19/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	16.58	0.00	16.58	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	17.05	0.00	17.05	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.69	0.00	2.69	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.20	0.00	5.20	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND	B	0.00	5.55	0.00	5.55	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.66	0.00	1.66	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	0.98	0.00	0.98	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	2.62	0.00	2.62	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	3.37	0.00	3.37	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	3.52	0.00	3.52	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FIRST EAGLE OVERSEAS FUND CLASS	B	0.00	0.04	0.00	0.04	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FIRST EAGLE OVERSEAS FUND CLASS	B	0.00	0.52	0.00	0.52	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FIRST EAGLE OVERSEAS FUND CLASS	B	0.00	6.60	0.00	6.60	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FIRST EAGLE OVERSEAS FUND CLASS	B	0.00	6.96	0.00	6.96	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	20.61	0.00	20.61	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.80	0.00	0.80	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.69	0.00	4.69	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	4.98	0.00	4.98	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	5.56	0.00	5.56	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT GABELLI SMALL CAP GROWTH FD CL	B	0.00	1.12	0.00	1.12	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT GOLD & SPECIAL MINERALS A	B	0.00	0.52	0.00	0.52	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.23	0.00	2.23	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.37	0.00	4.37	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT HARBOR INTERNATIONAL FD INV CL	B	0.00	3.41	0.00	3.41	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	13.78	0.00	13.78	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	14.48	0.00	14.48	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT JP MORGAN INVESTOR GROWTH FD CL	B	0.00	4.30	0.00	4.30	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT MFS TOTAL RETURN FUND-A	B	0.00	6.65	0.00	6.65	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	5.76	0.00	5.76	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	5.76	0.00	5.76	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT NEW WORLD FUND-A	B	0.00	17.96	0.00	17.96	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	1.37	0.00	1.37	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	2.19	0.00	2.19	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	6.31	0.00	6.31	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	18.12	0.00	18.12	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.44	0.00	0.44	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.55	0.00	1.55	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	65.76	0.00	65.76	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.07	0.00	1.07	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.58	0.00	5.58	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.70	0.00	0.70	0.0	0.00
10/19/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	1.04	0.00	1.04	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$340.56	\$0.00	\$340.56		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$8,904.09	\$420.19	\$95.00	\$325.19		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00