

COMMISSION STATEMENT October 31, 2018

DPM OFFUTT

BROKER #: D267

BRANCH: DWA

, TX

COMMISSION STATEMENT for DPM OFFUTT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/25/2018 368435052	OFFUTT, DENT	MF	BLACKROCK GLOBAL RESOURCES FUND	S	-1,258.31	0.00	5.00	-5.00	0.0	0.00
10/25/2018 368436085	GEORGE, ANN	MF	COLUMBIA GLOBAL TECH GROWTH FD	B	-3,977.55	0.00	5.00	-5.00	0.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$-5,235.86	\$0.00	\$10.00	\$-10.00		\$0.00

PRODUCT CATEGORY: STOCKS

10/22/2018 183664938	CARR, MICHAEL	S	BAXTER INTERNATIONAL INC	S	-3,291.96	20.00	15.00	5.00	0.0	0.00
10/22/2018 183664938	CARR, MICHAEL	S	KRAFT HEINZ CO/THE	S	-4,483.90	20.00	15.00	5.00	0.0	0.00
10/22/2018 183664938	CARR, MICHAEL	S	SOUTHERN COMPANY	S	-4,916.78	20.00	15.00	5.00	0.0	0.00
10/22/2018 183664938	CARR, MICHAEL	S	WEYERHAEUSER COMPANY	S	-3,701.55	20.00	15.00	5.00	0.0	0.00
09/28/2018 276075800	FRAZIOR, DOUGLAS	S	MATADOR RESOURCES CO	B	4,993.42	20.00	15.00	5.00	0.0	0.00
10/05/2018 276075800	FRAZIOR, DOUGLAS	S	MACYS INC	S	-2,585.57	8.00	0.00	8.00	0.0	0.00
10/05/2018 276075800	FRAZIOR, DOUGLAS	S	MACYS INC	S	-2,583.92	8.00	15.00	-7.00	0.0	0.00
10/05/2018 276075800	FRAZIOR, DOUGLAS	S	MACYS INC	S	-646.59	2.00	0.00	2.00	0.0	0.00
10/05/2018 276075800	FRAZIOR, DOUGLAS	S	MACYS INC	S	-646.59	2.00	0.00	2.00	0.0	0.00
10/01/2018 368433907	WAUGH, FRANCES	S	SCANA CORP NEW	S	-10,827.41	20.00	15.00	5.00	0.0	0.00
10/01/2018 368433907	WAUGH, FRANCES	S	WATERS CORP	S	-16,616.93	20.00	15.00	5.00	0.0	0.00
09/28/2018 368434742	JONES, MICHAEL	S	MATADOR RESOURCES CO	B	4,982.94	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	AIRCASTLE LTD ISIN	B	9,441.72	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	ALLIANZGI DIVERSIFIED INCOME &	B	9,524.29	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	ARES CAPITAL CORP	B	9,615.59	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	BP PLC-SPONS ADR	B	9,350.38	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	CENTURYLINK INC FORMERLY	B	9,703.09	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	CHENIERE ENERGY PARTNERS LP	B	-9,586.19	-190.89	-15.00	-175.89	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	CHENIERE ENERGY PARTNERS LP	B	9,586.19	190.89	15.00	175.89	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	CHENIERE ENERGY PARTNERS LP	B	9,415.30	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	GUGGENHEIM ENHANCED EQUITY	B	9,811.45	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	ICAHN ENTERPRISES LP	B	-9,494.85	-189.54	-15.00	-174.54	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	ICAHN ENTERPRISES LP	B	9,494.85	189.54	15.00	174.54	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	ICAHN ENTERPRISES LP	B	9,325.31	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	LAZARD GLOBAL TOTAL RETURN &	B	9,752.65	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWART, JACK	S	LIBERTY ALL STAR EQUITY FUND SH	B	9,613.26	20.00	15.00	5.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/12/2018 368443488	STEWARD, JACK	S MPLX L.P.	B	9,387.40	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S NEW RESIDENTIAL INVESTMENT	B	9,635.74	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S NEWTEK BUSINESS SERVICES CORP	B	9,788.05	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S PENNANTPARK FLOATING RATE	B	9,551.43	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S ROYAL DUTCH SHELL PLC-SPON ADR	B	9,465.27	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S TEKLA HEALTHCARE OPPORTUNITIES	B	9,589.79	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S THE BLACKSTONE GROUP L.P.	B	-9,734.59	-193.09	-15.00	-178.09	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S THE BLACKSTONE GROUP L.P.	B	9,734.59	193.09	15.00	178.09	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S THE BLACKSTONE GROUP L.P.	B	9,561.50	20.00	15.00	5.00	0.0	0.00
10/12/2018 368443488	STEWARD, JACK	S TRIPLEPOINT VENTURE GROWTH BDC	B	9,670.45	20.00	15.00	5.00	0.0	0.00
09/28/2018 400397953	FRAZIOR, DOUGLAS	S MATADOR RESOURCES CO	B	4,987.44	20.00	15.00	5.00	0.0	0.00
10/05/2018 400397953	FRAZIOR, DOUGLAS	S MACYS INC	S	-3,232.96	10.00	0.00	10.00	0.0	0.00
10/05/2018 400397953	FRAZIOR, DOUGLAS	S MACYS INC	S	-3,230.51	10.00	15.00	-5.00	0.0	0.00
* STOCKS SUBTOTAL *				\$130,401.80	\$580.00	\$435.00	\$145.00		\$0.00

PRODUCT CATEGORY: MISCELLANEOUS

10/26/2018 a03120026	HOUSE-D267, GEORGE	MI MUTUAL FUND MISC FEE 368435052	S	0.00	20.00	0.00	20.00	0.0	0.00
10/26/2018 a03120026	HOUSE-D267, GEORGE	MI MUTUAL FUND MISC FEE 368436085	S	0.00	20.00	0.00	20.00	0.0	0.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$40.00	\$0.00	\$40.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	1.38	0.00	1.38	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	1.45	0.00	1.45	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	2.62	0.00	2.62	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	2.75	0.00	2.75	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	1.04	0.00	1.04	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	1.47	0.00	1.47	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	1.55	0.00	1.55	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	3.28	0.00	3.28	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	3.45	0.00	3.45	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	4.16	0.00	4.16	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	4.34	0.00	4.34	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	4.37	0.00	4.37	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	4.56	0.00	4.56	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	5.86	0.00	5.86	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	5.96	0.00	5.96	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	6.16	0.00	6.16	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	6.27	0.00	6.27	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	7.24	0.00	7.24	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	7.61	0.00	7.61	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	9.86	0.00	9.86	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	10.37	0.00	10.37	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	1.91	0.00	1.91	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	1.97	0.00	1.97	0.0	0.00
10/19/2018 mfc120026	DPM OFFUTT 120026	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	2.34	0.00	2.34	0.0	0.00

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10/19/2018	mfc120026	DPM OFFUTT 120026	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	2.41	0.00	2.41	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	4.35	0.00	4.35	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	4.48	0.00	4.48	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	0.71	0.00	0.71	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	0.88	0.00	0.88	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	5.04	0.00	5.04	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	5.04	0.00	5.04	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.97	0.00	0.97	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	2.77	0.00	2.77	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN	B	0.00	0.70	0.00	0.70	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN	B	0.00	3.22	0.00	3.22	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCE BERNSTEIN	B	0.00	3.22	0.00	3.22	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	0.42	0.00	0.42	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	0.55	0.00	0.55	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	0.78	0.00	0.78	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	1.82	0.00	1.82	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	1.98	0.00	1.98	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	2.52	0.00	2.52	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.36	0.00	1.36	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.71	0.00	1.71	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	6.53	0.00	6.53	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANZGI EMERGING MARKETS OPP	B	0.00	0.87	0.00	0.87	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANZGI EMERGING MARKETS OPP	B	0.00	2.85	0.00	2.85	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT ALLIANZGI EMERGING MARKETS OPP	B	0.00	5.49	0.00	5.49	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	0.43	0.00	0.43	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	0.54	0.00	0.54	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	0.69	0.00	0.69	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	1.17	0.00	1.17	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	1.21	0.00	1.21	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	2.40	0.00	2.40	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	4.80	0.00	4.80	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK HEALTH SCIENCES FUND	B	0.00	6.07	0.00	6.07	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.25	0.00	0.25	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.25	0.00	0.25	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.30	0.00	0.30	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.62	0.00	0.62	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.75	0.00	0.75	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	1.01	0.00	1.01	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	1.15	0.00	1.15	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	1.32	0.00	1.32	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLK 091928788	B	0.00	4.91	0.00	4.91	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT BLK 091928788	B	0.00	5.31	0.00	5.31	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CAPITAL APPRECIATION A	B	0.00	1.07	0.00	1.07	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CAPITAL APPRECIATION A	B	0.00	1.13	0.00	1.13	0.00

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10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CAPITAL INCOME A	B	0.00	0.82	0.00	0.82	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	1.46	0.00	1.46	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	1.89	0.00	1.89	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	2.12	0.00	2.12	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	3.27	0.00	3.27	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	4.63	0.00	4.63	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	4.85	0.00	4.85	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT CARILLON EAGLE SMALL CAP GROWTH	B	0.00	6.64	0.00	6.64	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA GLOBAL TECH GROWTH FD	B	0.00	0.81	0.00	0.81	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA GLOBAL TECH GROWTH FD	B	0.00	7.52	0.00	7.52	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA LARGE CAP GROWTH III	B	0.00	1.33	0.00	1.33	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA LARGE CAP GROWTH III	B	0.00	1.60	0.00	1.60	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA LARGE CAP GROWTH III	B	0.00	1.65	0.00	1.65	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA LARGE CAP GROWTH III	B	0.00	4.59	0.00	4.59	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA LARGE CAP GROWTH III	B	0.00	6.12	0.00	6.12	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA LARGE CAP GROWTH III	B	0.00	6.61	0.00	6.61	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	5.15	0.00	5.15	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	9.69	0.00	9.69	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	0.56	0.00	0.56	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	4.15	0.00	4.15	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	4.84	0.00	4.84	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.98	0.00	0.98	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.99	0.00	0.99	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.64	0.00	1.64	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.73	0.00	1.73	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.92	0.00	1.92	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.02	0.00	2.02	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.62	0.00	2.62	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	4.17	0.00	4.17	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.28	0.00	6.28	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.55	0.00	6.55	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	10.18	0.00	10.18	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DAVIS REAL ESTATE CLASS A	B	0.00	0.52	0.00	0.52	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE DIVIDEND INCOME FUND A	B	0.00	0.65	0.00	0.65	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE DIVIDEND INCOME FUND A	B	0.00	2.90	0.00	2.90	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	2.03	0.00	2.03	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	2.21	0.00	2.21	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	4.68	0.00	4.68	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	5.06	0.00	5.06	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	5.39	0.00	5.39	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	0.99	0.00	0.99	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	1.40	0.00	1.40	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	2.17	0.00	2.17	0.0	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	2.65	0.00	2.65	0.0	0.00

COMMISSION STATEMENT for DPM OFFUTT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	2.70	0.00	2.70	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	4.66	0.00	4.66	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	5.42	0.00	5.42	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	6.94	0.00	6.94	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE CROCI	B	0.00	7.52	0.00	7.52	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE REAL ESTATE SECURITIES	B	0.00	0.34	0.00	0.34	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	0.83	0.00	0.83	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	0.90	0.00	0.90	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	0.93	0.00	0.93	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.47	0.00	1.47	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	3.72	0.00	3.72	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	5.17	0.00	5.17	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	5.97	0.00	5.97	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	5.97	0.00	5.97	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	9.04	0.00	9.04	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT EHV 277902813	B	0.00	1.35	0.00	1.35	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT EHV 277902813	B	0.00	1.36	0.00	1.36	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT EHV 277902813	B	0.00	1.84	0.00	1.84	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	0.75	0.00	0.75	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GABELLI EQUITY INCOME FD (MF)	B	0.00	3.60	0.00	3.60	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GABELLI EQUITY INCOME FD (MF)	B	0.00	3.60	0.00	3.60	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GABELLI EQUITY INCOME FD (MF)	B	0.00	3.87	0.00	3.87	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GLOBAL FUND A	B	0.00	1.05	0.00	1.05	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GLOBAL FUND A	B	0.00	1.22	0.00	1.22	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GLOBAL FUND A	B	0.00	1.27	0.00	1.27	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GLOBAL FUND A	B	0.00	2.01	0.00	2.01	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GLOBAL FUND A	B	0.00	2.37	0.00	2.37	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT GLOBAL FUND A	B	0.00	4.39	0.00	4.39	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT INTEGRITY HIGH INCOME FD CL A	B	0.00	24.78	0.00	24.78	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT INTEGRITY HIGH INCOME FD CL A	B	0.00	25.77	0.00	25.77	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	9.82	0.00	9.82	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	10.05	0.00	10.05	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.53	0.00	0.53	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.78	0.00	0.78	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	1.05	0.00	1.05	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	1.22	0.00	1.22	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	1.43	0.00	1.43	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	1.04	0.00	1.04	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	1.15	0.00	1.15	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	1.19	0.00	1.19	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	1.96	0.00	1.96	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	2.75	0.00	2.75	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	5.19	0.00	5.19	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS RESEARCH INTERNATIONAL	B	0.00	6.73	0.00	6.73	0.00

COMMISSION STATEMENT for DPM OFFUTT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS UTILITIES FUND-A	B	0.00	0.37	0.00	0.37	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT MFS UTILITIES FUND-A	B	0.00	3.94	0.00	3.94	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.14	0.00	1.14	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.28	0.00	1.28	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	7.04	0.00	7.04	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	8.34	0.00	8.34	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	0.99	0.00	0.99	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	5.47	0.00	5.47	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	10.02	0.00	10.02	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT PNC MULTI-FACTOR SMALL CAP	B	0.00	1.12	0.00	1.12	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT PNC MULTI-FACTOR SMALL CAP	B	0.00	1.52	0.00	1.52	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT PNC MULTI-FACTOR SMALL CAP	B	0.00	2.17	0.00	2.17	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT PNC MULTI-FACTOR SMALL CAP	B	0.00	3.01	0.00	3.01	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT QUEST VALUE A	B	0.00	5.44	0.00	5.44	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	0.54	0.00	0.54	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	0.91	0.00	0.91	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	1.12	0.00	1.12	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	1.17	0.00	1.17	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	1.44	0.00	1.44	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	1.75	0.00	1.75	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	4.08	0.00	4.08	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	5.73	0.00	5.73	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT TEMP FRGN FND - CL A	B	0.00	6.91	0.00	6.91	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT THE HARTFORD GROWTH	B	0.00	1.19	0.00	1.19	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT THE HARTFORD GROWTH	B	0.00	1.67	0.00	1.67	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT THE HARTFORD GROWTH	B	0.00	2.55	0.00	2.55	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY INTEGRITY DISCOVERY FD	B	0.00	0.79	0.00	0.79	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY INTEGRITY DISCOVERY FD	B	0.00	3.82	0.00	3.82	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY RS GROWTH FUND CL A	B	0.00	2.61	0.00	2.61	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY RS GROWTH FUND CL A	B	0.00	2.63	0.00	2.63	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY RS VALUE FUND A	B	0.00	0.62	0.00	0.62	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY RS VALUE FUND A	B	0.00	2.45	0.00	2.45	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY RS VALUE FUND A	B	0.00	2.45	0.00	2.45	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT VICTORY RS VALUE FUND A	B	0.00	4.82	0.00	4.82	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.87	0.00	0.87	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.92	0.00	0.92	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.75	0.00	8.75	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.75	0.00	8.75	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	0.78	0.00	0.78	0.00
10/19/2018	mfc120026	DPM OFFUTT 120026	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	4.70	0.00	4.70	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$659.62	\$0.00	\$659.62	\$0.00
*** CLEARING TRANSACTIONS TOTAL ***					\$125,165.94	\$1,279.62	\$445.00	\$834.62	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00