

# COMMISSION STATEMENT November 30, 2018

CECIL MORGAN-DM32  
 1701 River Run Road  
 Suite 1116  
 Fort Worth, TX 76107

BROKER #: DM32  
 BRANCH: DMW

## COMMISSION STATEMENT for CECIL MORGAN-DM32

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: STOCKS

11/28/2018	152913018	BROWN, MARSHALL	S	ECOARK HOLDINGS INC	B	1,454.95	50.00	15.00	35.00	90.0	31.50
11/28/2018	152913018	BROWN, MARSHALL	S	GENERAL ELECTRIC COMPANY	S	-984.09	30.00	15.00	15.00	90.0	13.50
				* STOCKS SUBTOTAL *		\$470.86	\$80.00	\$30.00	\$50.00		\$45.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc796465	MORGAN 796465		MFT AMERICAN BALANCED FUND-A	B	0.00	5.60	0.00	5.60	90.0	5.04
11/21/2018	mfc796465	MORGAN 796465		MFT AMERICAN BALANCED FUND-A	B	0.00	10.33	0.00	10.33	90.0	9.30
11/21/2018	mfc796465	MORGAN 796465		MFT AMERICAN BALANCED FUND-A	B	0.00	15.76	0.00	15.76	90.0	14.18
11/21/2018	mfc796465	MORGAN 796465		MFT AMERICAN BALANCED FUND-A	B	0.00	30.47	0.00	30.47	90.0	27.42
11/21/2018	mfc796465	MORGAN 796465		MFT AMERICAN BALANCED FUND-A	B	0.00	33.16	0.00	33.16	90.0	29.84
11/21/2018	mfc796465	MORGAN 796465		MFT AMERICAN BALANCED FUND-A	B	0.00	38.96	0.00	38.96	90.0	35.06
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	2.02	0.00	2.02	90.0	1.82
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	3.11	0.00	3.11	90.0	2.80
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.84	0.00	5.84	90.0	5.26
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	7.72	0.00	7.72	90.0	6.95
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	8.18	0.00	8.18	90.0	7.36
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	14.90	0.00	14.90	90.0	13.41
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	30.12	0.00	30.12	90.0	27.11
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL INCOME BUILDER-A	B	0.00	36.46	0.00	36.46	90.0	32.81
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.84	0.00	1.84	90.0	1.66
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.02	0.00	5.02	90.0	4.52
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.08	0.00	6.08	90.0	5.47
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.70	0.00	7.70	90.0	6.93
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.95	0.00	10.95	90.0	9.86
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.91	0.00	14.91	90.0	13.42
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	18.06	0.00	18.06	90.0	16.25
11/21/2018	mfc796465	MORGAN 796465		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	37.59	0.00	37.59	90.0	33.83
11/21/2018	mfc796465	MORGAN 796465		MFT DEUTSCHE CROCI	B	0.00	0.77	0.00	0.77	90.0	0.69
11/21/2018	mfc796465	MORGAN 796465		MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.08	0.00	1.08	90.0	0.97
11/21/2018	mfc796465	MORGAN 796465		MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.47	0.00	0.47	90.0	0.42
11/21/2018	mfc796465	MORGAN 796465		MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.44	0.00	5.44	90.0	4.90

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11/21/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.87	0.00	5.87	90.0	5.28
11/21/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	17.63	0.00	17.63	90.0	15.87
11/21/2018	mfc796465 MORGAN 796465	MFT GLOBAL BALANCED FUND-A	B	0.00	15.19	0.00	15.19	90.0	13.67
11/21/2018	mfc796465 MORGAN 796465	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.00	0.00	3.00	90.0	2.70
11/21/2018	mfc796465 MORGAN 796465	MFT NEW WORLD FUND-A	B	0.00	3.56	0.00	3.56	90.0	3.20
11/21/2018	mfc796465 MORGAN 796465	MFT SMALLCAP WORLD FUND-A	B	0.00	1.84	0.00	1.84	90.0	1.66
11/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.36	0.00	2.36	90.0	2.12
11/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.36	0.00	8.36	90.0	7.52
11/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.20	0.00	10.20	90.0	9.18
11/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	25.10	0.00	25.10	90.0	22.59
11/21/2018	mfc796465 MORGAN 796465	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.37	0.00	5.37	90.0	4.83
11/21/2018	mfc796465 MORGAN 796465	MFT THE INVESTMENT COMPANY OF	B	0.00	2.13	0.00	2.13	90.0	1.92
11/21/2018	mfc796465 MORGAN 796465	MFT THE INVESTMENT COMPANY OF	B	0.00	3.80	0.00	3.80	90.0	3.42
11/21/2018	mfc796465 MORGAN 796465	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.71	0.00	7.71	90.0	6.94
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$464.66</b>	<b>\$0.00</b>	<b>\$464.66</b>		<b>\$418.18</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$470.86</b>	<b>\$544.66</b>	<b>\$30.00</b>	<b>\$514.66</b>		<b>\$463.18</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

11/30/2018	: 2019 FINRA RENEWALS	0.00	0.00	0.00	0.00	-165.00
11/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: CUSTOMER WRITE OFF 491698217	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: CUSTOMER WRITE OFF 769586732	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-530.37</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-530.37</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$463.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-530.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-67.19</b>
<b>Year-to-date Commissions</b>	<b>\$44,407.61</b>