



# COMMISSION STATEMENT

September 24, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/17/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00074487927	BUY 3 Units @29.1100						
09/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @12.9500						
09/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @59.9500						
09/20/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00083088053	BUY 3 Units @59.9500						
09/17/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	80.0	4.20
	ACCOUNT #: 00074487927	BUY 3 Units @43.4600						
* MUTUAL FUNDS SUBTOTAL *			\$465.00	\$20.36	\$0.00	\$20.36		\$16.29
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/24/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.28	\$0.00	\$0.28		\$0.22
*** BROKER TRANSACTIONS TOTAL ***			\$465.00	\$20.64	\$0.00	\$20.64		\$16.51



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$16.51
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					<div>Balance Forward</div>			\$16.51
					<div>Year-to-date Earnings</div>			\$5,587.39