



COMMISSION STATEMENT

September 24, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
09/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	15.0	0.16
09/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	8.17	0.00	8.17	15.0	1.23
09/06/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: 07468200945	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	15.0	0.23
09/06/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	15.0	0.02
09/06/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	15.0	0.32
09/05/2018	***-**-1584 - CAMPBELL, COLIN ACCOUNT #: 00929501828	CALVERT LONG-TERM INCOME FUND BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	15.0	0.03
09/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @53.0800	180.00	4.95	0.00	4.95	15.0	0.74
09/17/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @51.9300	75.00	2.06	0.00	2.06	15.0	0.31
09/24/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	15.0	0.04
09/24/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	15.0	0.04
09/24/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	28.35	0.00	28.35	15.0	4.25
09/24/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	-28.35	0.00	-28.35	15.0	-4.25
09/24/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	15.0	0.04
09/17/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @53.3400	75.00	2.06	0.00	2.06	15.0	0.31
09/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	EUROPACIFIC GROWTH FUND-A BUY 3 Units @55.1600	180.00	4.95	0.00	4.95	15.0	0.74
09/17/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	FUNDAMENTAL INVESTORS-529A BUY 1 Units @66.6600	75.00	2.06	0.00	2.06	15.0	0.31



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
09/04/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
09/16/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	14.74	0.00	14.74	15.0	2.21
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
09/16/2018	***-**-3178 - IONESCU, MIHAELA	ING ADVANTAGE SELECT	0.00	4.18	0.00	4.18	15.0	0.63
	ACCOUNT #: R081736-ZS	BUY 0 Units @0.0000						
09/17/2018	***-**-843I - FANO, OKSANA	ING ADVANTAGE SELECT	0.00	8.01	0.00	8.01	15.0	1.20
	ACCOUNT #: R110836-ZS	BUY 0 Units @0.0000						
09/20/2018	***-**-894I - GARCIA, MARIANO	ING ADVANTAGE SELECT	0.00	6.04	0.00	6.04	15.0	0.91
	ACCOUNT #: R109394-ZS	BUY 0 Units @0.0000						
09/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.54	0.00	5.54	15.0	0.83
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
09/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	35.27	0.00	35.27	15.0	5.29
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
09/04/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
09/12/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	221.05	1.66	0.00	1.66	15.0	0.25
	ACCOUNT #: 07123000494	BUY 17 Units @13.3400						
09/06/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	2.45	0.00	2.45	15.0	0.37
	ACCOUNT #: 07418403711	BUY 0 Units @0.0000						
09/06/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	0.70	0.00	0.70	15.0	0.11
	ACCOUNT #: 07418403731	BUY 0 Units @0.0000						
09/06/2018	***-**-7574 - G-MEN CORP	SMALL CAP VALUE FUND - R2	0.00	0.11	0.00	0.11	15.0	0.02
	ACCOUNT #: 07418404146	BUY 0 Units @0.0000						
09/24/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	6.20	0.00	6.20	15.0	0.93
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
09/24/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	28.14	0.00	28.14	15.0	4.22
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
09/24/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.84	0.00	0.84	15.0	0.13
	ACCOUNT #: 9927001222	BUY 0 Units @0.0000						
09/24/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	22.94	0.00	22.94	15.0	3.44
		BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
09/24/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	15.0	0.23
09/24/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 9940003415	T ROWE TRAILS BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	15.0	0.32
09/24/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	4.98	0.00	4.98	15.0	0.75
09/17/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @56.8900	75.00	2.06	0.00	2.06	15.0	0.31
09/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 3 Units @58.5500	180.00	4.95	0.00	4.95	15.0	0.74
* CHRISTOPHER MILGROOM SUBTOTAL *			\$1,161.05	\$186.89	\$0.00	\$186.89		\$28.07
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,161.05	\$186.89	\$0.00	\$186.89		\$28.07

 BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$28.07
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$28.07
Year-to-date Earnings	\$2,982.08