

COMMISSION STATEMENT

November 30, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: C20

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/02/2018	QGN005720	MARIO CAMARA	ENERGIOUS CORP COM	B	17,081.60	342.46	17.00	325.46	75.0	239.85
11/02/2018	QGN005720	MARIO CAMARA	FTE NETWORKS INC COM PAR \$0.001 NEW B		9,364.70	50.00	17.00	33.00	75.0	20.50
11/07/2018	QGV006554	ABADY CAP	ENERGIOUS CORP COM	B	9,889.20	25.00	17.00	8.00	75.0	1.75
11/20/2018	QGV006554	ABADY CAP	EASTSIDE DISTILLING INC COMMON STOC B		6,500.00	0.00	17.00	-17.00	75.0	-17.00
10/30/2018	QGW031809	SABER, MARK	FTE NETWORKS INC COM PAR \$0.001 NEW S		-4,160.00	30.00	17.00	13.00	75.0	5.50
10/30/2018	QGW031809	SABER, MARK	NEONODE INC COM PAR \$0 001	S	-2,230.00	30.00	17.00	13.00	75.0	5.50
11/08/2018	QGW031809	SABER, MARK	ENERGIOUS CORP COM	B	27,061.65	471.11	17.00	454.11	75.0	336.33
11/28/2018	QGW031809	SABER, MARK	FTE NETWORKS INC COM PAR \$0.001 NEW S		-2,808.40	25.00	17.00	8.00	75.0	1.75
11/28/2018	QGW031809	SABER, MARK	VUZIX CORP COM NEW	S	-1,432.50	7.50	17.00	-9.50	75.0	-11.38
11/28/2018	QGW031809	SABER, MARK	VUZIX CORP COM NEW	S	-4,290.00	30.00	17.00	13.00	75.0	5.50
11/09/2018	QGW031817	HU, CHRISTOPHER	ENERGIOUS CORP COM	B	9,260.00	205.35	17.00	188.35	75.0	137.01
11/07/2018	QGW031825	CARPENTER, BOBBY	CATASYS INC COM PAR\$.0001 2017	S	-10,230.00	216.19	17.00	199.19	75.0	145.14
11/07/2018	QGW031825	CARPENTER, BOBBY	ENERGIOUS CORP COM	B	9,405.10	206.97	17.00	189.97	75.0	138.23
11/07/2018	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	B	10,082.20	214.54	17.00	197.54	75.0	143.91
11/07/2018	QGW031882	MICKES, THOMAS	ENERGIOUS CORP COM	B	19,585.40	370.45	17.00	353.45	75.0	260.84
11/16/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	S	-12,024.40	40.00	17.00	23.00	75.0	13.00
11/26/2018	QGW031882	MICKES, THOMAS	NEONODE INC COM PAR \$0 001	S	-19,718.37	20.00	17.00	3.00	75.0	-2.00
11/26/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	S	-17,508.00	75.00	17.00	58.00	75.0	39.25
11/14/2018	QGW031908	FINNEGAN, MICHAEL	ENERGIOUS CORP COM	B	2,215.00	25.00	17.00	8.00	75.0	1.75
11/14/2018	QGW031908	FINNEGAN, MICHAEL	VUZIX CORP COM NEW	B	2,364.00	25.00	17.00	8.00	75.0	1.75
10/31/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW	S	-9,101.85	50.00	17.00	33.00	75.0	20.50
11/07/2018	QGW031957	COLE, PHILLIP	ENERGIOUS CORP COM	B	9,632.50	209.52	17.00	192.52	75.0	140.14
11/28/2018	QGW031957	COLE, PHILLIP	FTE NETWORKS INC COM PAR \$0.001 NEW S		-5,601.00	25.00	17.00	8.00	75.0	1.75
11/28/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW	S	-2,855.05	25.00	17.00	8.00	75.0	1.75
10/30/2018	QGW039778	COLE, PHILLIP	FIRST TRUST ETF DOW JONES INTERNET S		-30,345.00	100.00	17.00	83.00	75.0	58.00
10/30/2018	QGW039778	COLE, PHILLIP	STONE HBR EMERGING MKTS TOTAL INCOM B		22,655.20	394.87	17.00	377.87	75.0	279.15
10/31/2018	QGW039778	COLE, PHILLIP	FIRST TRUST ETF DOW JONES INTERNET S		-31,495.00	150.00	17.00	133.00	75.0	95.50
11/01/2018	QGW039778	COLE, PHILLIP	FIRST TRUST ETF DOW JONES INTERNET S		-31,820.80	150.00	17.00	133.00	75.0	95.50
11/02/2018	QGW039778	COLE, PHILLIP	FIRST TRUST ETF DOW JONES INTERNET S		-32,435.00	200.00	17.00	183.00	75.0	133.00
11/07/2018	QGW039778	COLE, PHILLIP	ENERGIOUS CORP COM	B	19,620.20	370.84	17.00	353.84	75.0	261.13
11/07/2018	QGW039778	COLE, PHILLIP	GENERAL ELECTRIC CO	B	18,400.00	357.20	17.00	340.20	75.0	250.90

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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/14/2018	QGW039778	COLE, PHILLIP		PROGRESSIVE CORP OH COM	B	7,000.00	80.73	17.00	63.73	75.0	43.55
11/14/2018	QGW039778	COLE, PHILLIP		QUALCOMM INC	B	13,000.00	161.46	17.00	144.46	75.0	104.10
11/16/2018	QGW039778	COLE, PHILLIP		3M COMPANY	S	-39,500.00	161.46	17.00	144.46	75.0	104.10
11/16/2018	QGW039778	COLE, PHILLIP		INTERNATIONAL BUSINESS MACHINES COR	B	64,500.00	403.65	17.00	386.65	75.0	285.74
11/16/2018	QGW039778	COLE, PHILLIP		PROGRESSIVE CORP OH COM	B	28,000.00	322.92	17.00	305.92	75.0	225.19
11/16/2018	QGW039778	COLE, PHILLIP		QUALCOMM INC	B	19,500.00	242.19	17.00	225.19	75.0	164.64
11/20/2018	QGW039778	COLE, PHILLIP		FIRST TRUST ETF DOW JONES INTERNET	B	115,200.50	600.00	17.00	583.00	75.0	433.00
11/26/2018	QGW039778	COLE, PHILLIP		FIRST TRUST ETF DOW JONES INTERNET	S	-120,025.00	350.00	17.00	333.00	75.0	245.50
11/26/2018	QGW039778	COLE, PHILLIP		INTERNATIONAL BUSINESS MACHINES COR	S	-39,934.68	150.00	17.00	133.00	75.0	95.50
11/26/2018	QGW039778	COLE, PHILLIP		QUALCOMM INC	S	-27,726.20	150.00	17.00	133.00	75.0	95.50
				* STOCKS SUBTOTAL *		\$-4,924.00	\$7,064.41	\$697.00	\$6,367.41		\$4,601.32

PRODUCT CATEGORY: OPTIONS

11/02/2018	QGW039778	COLE, PHILLIP		CALL 100 3M CO COM EXP 11-16-18@197	S	-458.00	25.00	21.00	4.00	75.0	-2.25
11/02/2018	QGW039778	COLE, PHILLIP		CALL 100 CORNING INC COM EXP 11-16-	S	-740.00	25.00	25.00	0.00	75.0	-6.25
				* OPTIONS SUBTOTAL *		\$-1,198.00	\$50.00	\$46.00	\$4.00		\$-8.50
				*** BROKER TRANSACTIONS TOTAL ***		\$-6,122.00	\$7,114.41	\$743.00	\$6,371.41		\$4,592.82

ADJUSTMENTS

11/30/2018				COMMISSION INCOME: EAST		0.00	0.00	0.00	0.00		102.38
11/30/2018				OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2018				OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$74.38

BROKER COMMISSION TOTALS

Broker Transactions	\$4,592.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$74.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.89
SIPC Assessment	\$-17.79

Check Amount	\$4,640.52
Year-to-date Earnings	\$51,526.90