

Bill Payment Stub

Check Date:	2/23/2018
Check No.:	ACH
Check Amount:	598.36

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KATHERINE M. SMITH
1701 RIVER RUN ROAD STE 1116
FORT WORTH, TX
76107

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/15/2018	Bill	COMMISSIONS	598.36	598.36		598.36

COMMISSION STATEMENT

February 15, 2018

KATHERINE SMITH-DM31

BROKER #: DM31

BRANCH: DMW

, TX

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
01/30/2018	00083443944	MYERS, RICHARD	MF	NEW PERSPECTIVE FUND-A	B	9,950.21	273.63	0.00	273.63	90.0	246.27
01/29/2018	00083961559	PAYNE, KELLY	MF	CAPITAL INCOME BUILDER-529A	B	500.00	21.25	0.00	21.25	90.0	19.13
01/29/2018	00083961588	PAYNE, KELLY	MF	CAPITAL WORLD GROWTH AND	B	500.00	21.25	0.00	21.25	90.0	19.13
02/01/2018	00084791628	MASSENGALE,	MF	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2018	00084791669	MASSENGALE,	MF	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2018	00085359820	LOGSDON, TRACI	MF	CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2018	00085359860	LOGSDON, TRACI	MF	CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/08/2018	00085834407	SONNENBURG,	MF	THE INCOME FUND OF AMERICA-A	B	4,959.84	136.40	0.00	136.40	90.0	122.76
01/29/2018	00088311353	MASSENGALE, LARA	MF	NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
01/29/2018	00088311353	MASSENGALE, LARA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
01/29/2018	00088338383	MASSENGALE, JASON	MF	NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
01/29/2018	00088338383	MASSENGALE, JASON	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/08/2018	00088810660	PHILLIPS, LISA	MF	THE GROWTH FUND OF AMERICA-A	B	2,043.22	102.16	0.00	102.16	90.0	91.94
02/01/2018	00088996224	LOGSDON, AVARI	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/05/2018	00089064328	LOGSDON, TRACI	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2018	00089500058	PERTLL, JAMES	MF	AMCAP FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	00089500058	PERTLL, JAMES	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	90.0	2.25
02/07/2018	04000143383	ZAVALA, GILBERT	MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
02/07/2018	04000143383	ZAVALA, GILBERT	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
02/02/2018	04000206633	SMITH, KAREN	MF	AMERICAN BALANCED FUND-A	B	2,500.00	40.00	0.00	40.00	90.0	36.00
02/02/2018	04000206633	SMITH, KAREN	MF	THE GROWTH FUND OF AMERICA-A	B	2,396.34	38.34	0.00	38.34	90.0	34.51
02/01/2018	04000452396	PERTLL, JAMES	MF	AMCAP FUND-A	B	50.00	1.00	0.00	1.00	90.0	0.90
02/01/2018	04000452396	PERTLL, JAMES	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *						\$23,599.61	\$661.58	\$0.00	\$661.58		\$595.43
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
12/31/2017	(None)	SMITH, CLAY	MFT	VOYA	B	0.00	3.25	0.00	3.25	90.0	2.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$3.25	\$0.00	\$3.25		\$2.93
*** DIRECT TRANSACTIONS TOTAL ***						\$23,599.61	\$664.83	\$0.00	\$664.83		\$598.36

BROKER COMMISSION TOTALS

Broker Transactions	\$598.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$598.36
Year-to-date Commissions	\$11,543.85