



COMMISSION STATEMENT

November 26, 2018

DAVID BLOCK
1384 Broadway
Suite 910
New York, NY 10018

BROKER #: B19
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/19/2018	***-**-1231 - ENGWERDA, JOHN	PUTNAM 746792100	2,053.35	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012768	SELL 308 Units @6.6700						
		* MUTUAL FUNDS SUBTOTAL *	\$2,053.35	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/19/2018	***-**-1231 - ENGWERDA, JOHN	SUNAMERICA FOCUSED DIVIDEND STRAT C	2,171.64	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012768	SELL 122 Units @17.7500						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$2,171.64	\$0.00	\$0.00	\$0.00		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$4,224.99	\$0.00	\$18.00	\$-18.00		\$-18.00

BROKER COMMISSION TOTALS

Broker Transactions	\$-18.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$39.07
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$21.07
Year-to-date Earnings	\$17,841.97