

## COMMISSION STATEMENT

September 30, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
09/21/2018	75597007	BRENT, NANCY		CAPITAL INCOME A	S	3,708.00	0.00	0.00	0.00 100.0 0.00
09/26/2018	75597009	ARNOLD, GENE		TEMP WORLD FND - CL A	S	10,500.00	0.00	0.00	0.00 100.0 0.00
09/17/2018	75597024	PRESKILL, RICHARD		FRK FL TF INC FND - CL A	S	4,000.00	0.00	0.00	0.00 100.0 0.00
09/11/2018	75597035	PERKINSON, DOLORES		BLACKROCK GLOBAL ALLOCATION FD INC	S	1,500.00	0.00	0.00	0.00 100.0 0.00
09/21/2018	75597045	MATEGRANO, ALBERT		MAINSTAY HIGH-YIELD CORPORATE BOND	S	1,844.00	0.00	0.00	0.00 100.0 0.00
09/07/2018	75597059	LUKASIK, CAROLINE		TEMP GLOBAL BOND FND - CL A	S	7,565.00	0.00	0.00	0.00 100.0 0.00
09/21/2018	75597095	BRENT, GEORGE		CAPITAL INCOME A	S	3,160.00	0.00	0.00	0.00 100.0 0.00
09/21/2018	75597100	BRUNO, CAROL		FRANKLIN INCOME FUND CLASS A	S	1,070.00	0.00	0.00	0.00 100.0 0.00
08/31/2018	75597131	MICHAEL RIZZO TTEE		CAPITAL WORLD GROWTH AND INCOME FUN	S	13,642.04	0.00	0.00	0.00 100.0 0.00
08/31/2018	75597131	MICHAEL RIZZO TTEE		FUNDAMENTAL INVESTORS-A	S	18,485.06	0.00	0.00	0.00 100.0 0.00
08/31/2018	75597131	MICHAEL RIZZO TTEE		NEW PERSPECTIVE FUND-A	S	32,163.82	0.00	0.00	0.00 100.0 0.00
09/18/2018	75597136	JAMES WEBSTER PLAN		LORD ABBETT ALPHA STRATEGY FUND CL	S	16,000.00	0.00	0.00	0.00 100.0 0.00
09/18/2018	75597136	JAMES WEBSTER PLAN		RYDEX SER FUNDS BANKING FD CL A	S	16,000.00	0.00	0.00	0.00 100.0 0.00
09/18/2018	75597136	JAMES WEBSTER PLAN		RYDEX SER FUNDS FINL SVCS FD CL A	S	16,000.00	0.00	0.00	0.00 100.0 0.00
09/24/2018	75597149	PARRY, CHARLENE		FRANKLIN GROWTH FUND CLASS A	S	5,629.00	0.00	0.00	0.00 100.0 0.00
09/21/2018	75597173	NELSON, CAROLE		MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00 100.0 0.00
08/31/2018	75597186	ORRIN PROPERTY		FIDELITY ADVISOR SER VII BIOTECHNOL B		100,000.00	2,750.00	0.00	2,750.00 100.0 2,750.00
09/14/2018	75597196	MARK S ZAR TTEE		AIM DEVELOPING MARKETS FUND CLASS A	S	40,889.71	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		AIM TECHNOLOGY FUND - CLASS A	S	42,357.28	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		FINANCIAL INDUSTRIES A	S	53,513.44	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		GOLD & SPECIAL MINERALS A	S	4,355.97	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		JOHN HANCOCK FDS III GLOBAL SHAREHO	S	16,252.66	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		OPPENHEIMER AMT FREE MUNICIPALS FUN	S	312,904.59	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		OPPENHEIMER GLOBAL VALUE FD SHS CL	S	24,072.58	0.00	0.00	0.00 100.0 0.00
09/14/2018	75597196	MARK S ZAR TTEE		REGIONAL BANK A	S	87,488.98	0.00	0.00	0.00 100.0 0.00
* MUTUAL FUNDS SUBTOTAL *					\$833,902.13	\$2,750.00	\$0.00	\$2,750.00	\$2,750.00

**PRODUCT CATEGORY: STOCKS**

09/19/2018	75597008	VELLON, MICHAEL		GLADSTONE LD CORP CUM RED PFD SER B B		31,250.00	0.00	12.00	-12.00 100.0	-12.00
09/19/2018	75597136	JAMES WEBSTER PLAN		GLADSTONE LD CORP CUM RED PFD SER B S		-54,000.00	0.00	-12.00	12.00 100.0	12.00 C
09/19/2018	75597136	JAMES WEBSTER PLAN		GLADSTONE LD CORP CUM RED PFD SER B B		54,000.00	0.00	12.00	-12.00 100.0	-12.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/19/2018	75597136	JAMES WEBSTER PLAN		GLADSTONE LD CORP CUM RED PFD SER B B	54,000.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75597144	MIKLAVCIC, EDWARD		EATON VANCE TAX MANAGD GLBL DIVERSI S	11,810.96	20.00	12.00	8.00	100.0 8.00
09/19/2018	75597144	MIKLAVCIC, EDWARD		GLADSTONE LD CORP CUM RED PFD SER B B	15,000.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75597145	WEBSTER, JAMES		GLADSTONE LD CORP CUM RED PFD SER B B	10,000.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75599832	RF LAFFERTY & CO		GLADSTONE LD CORP CUM RED PFD SER B B	101,430.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75599832	RF LAFFERTY & CO		GLADSTONE LD CORP CUM RED PFD SER B S	10,000.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75599832	RF LAFFERTY & CO		GLADSTONE LD CORP CUM RED PFD SER B S	54,000.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75599832	RF LAFFERTY & CO		GLADSTONE LD CORP CUM RED PFD SER B S	31,250.00	0.00	12.00	-12.00	100.0 -12.00
09/19/2018	75599832	RF LAFFERTY & CO		GLADSTONE LD CORP CUM RED PFD SER B S	15,000.00	0.00	12.00	-12.00	100.0 -12.00
				<b>* STOCKS SUBTOTAL *</b>	<b>\$333,740.96</b>	<b>\$20.00</b>	<b>\$120.00</b>	<b>\$-100.00</b>	<b>\$-100.00</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/30/2018		FEE COUNT - 235		0.00	2,280.41	0.00	2,280.41	100.0	2,280.41
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$2,280.41</b>	<b>\$0.00</b>	<b>\$2,280.41</b>	<b>\$2,280.41</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$1,167,643.09</b>	<b>\$5,050.41</b>	<b>\$120.00</b>	<b>\$4,930.41</b>	<b>\$4,930.41</b>

**ADJUSTMENTS**

09/30/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
09/30/2018		OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00		-365.00
				<b>*** ADJUSTMENTS TOTAL ***</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-2,165.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,930.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,165.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.31
SIPC Assessment	\$-12.63

Check Amount	\$2,746.47
Year-to-date Earnings	\$36,960.49