

COMMISSION STATEMENT October 31, 2018

ELLIS DPM

 BROKER #:
 BRANCH: DPM

, TX

COMMISSION STATEMENT for ELLIS DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT MAINSTAY LARGE CAP GROWTH FUND	B	0.00	0.29	0.00	0.29	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT NATIXIS GATEWAY FD	B	0.00	1.46	0.00	1.46	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT PIMCO COMMODITY REAL RETURN	B	0.00	0.11	0.00	0.11	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT PIONEER CULLEN VALUE FUND CLASS	B	0.00	0.36	0.00	0.36	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT PUTNAM 746802859	B	0.00	0.19	0.00	0.19	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT PUTNAM CAPITAL SPECTRUM FD A	B	0.00	1.74	0.00	1.74	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT ROYCE SMALLER CO GROWTH FD INV	B	0.00	0.42	0.00	0.42	0.0	0.00
10/19/2018	mfc189886	ELLIS-D335, VARIOUS MFT VAN ECK GLOBAL HARD ASSETS FD	B	0.00	0.14	0.00	0.14	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4.71	\$0.00	\$4.71		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$4.71	\$0.00	\$4.71		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00