



COMMISSION STATEMENT

October 01, 2018

GARRETT MORETZ
 181 North Main Street, Ste 208
 Mooresville, NC 28115

BROKER #: B09
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/26/2018	***-**-9840 - HERNDON, JEAN	DIAMOND HILL CORP CREDIT CL A	2,500.00	75.00	18.00	57.00	82.0	46.74
	ACCOUNT #: LSR012777	BUY 215 Units @11.6200						
09/27/2018	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C	739.83	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 132 Units @5.6000						
09/27/2018	***-**-972I - GRIFFIN, OTIS	JANUS HENDERSON HIGH YIELD A-NFS	667.60	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 81 Units @8.2500						
09/26/2018	***-**-9840 - HERNDON, JEAN	MORGAN STANLEY GROWTH PORT A-NFS	5,000.02	250.00	18.00	232.00	82.0	190.24
	ACCOUNT #: LSR012777	BUY 95 Units @52.4100						
09/26/2018	***-**-9840 - HERNDON, JEAN	NEW PERSPECTIVE FUND-A	2,500.00	125.00	18.00	107.00	82.0	87.74
	ACCOUNT #: LSR012777	BUY 50 Units @49.9300						
* MUTUAL FUNDS SUBTOTAL *			\$11,407.45	\$450.00	\$54.00	\$396.00		\$324.72
*** BROKER TRANSACTIONS TOTAL ***			\$11,407.45	\$450.00	\$54.00	\$396.00		\$324.72

BROKER COMMISSION TOTALS

Broker Transactions	\$324.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-18.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$306.72
Year-to-date Earnings	\$65,166.86