

# COMMISSION STATEMENT October 31, 2018

TOMMY THOMAS-D007  
 2836 Bill Owens Parkway  
 Suite A  
 Longview, TX 75605

BROKER #: D007  
 BRANCH: DMN

## COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO AMERICAN FRANCHISE FUND B	0.00	1.57	0.00	1.57	85.0	1.33
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO AMERICAN FRANCHISE FUND B	0.00	1.61	0.00	1.61	85.0	1.37
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO COMSTOCK FUND - CLASS A B	0.00	0.82	0.00	0.82	85.0	0.70
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO COMSTOCK FUND - CLASS A B	0.00	0.84	0.00	0.84	85.0	0.71
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO GOVT MONEY MARKET FUND B	0.00	6.82	0.00	6.82	85.0	5.80
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO GOVT MONEY MARKET FUND B	0.00	7.12	0.00	7.12	85.0	6.05
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO MID CAP GROWTH FUND - B	0.00	65.67	0.00	65.67	85.0	55.82
10/19/2018	mfc137459	THOMAS TOMMY 137459	MFT INVESCO MID CAP GROWTH FUND - B	0.00	66.89	0.00	66.89	85.0	56.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$151.34	\$0.00	\$151.34		\$128.64
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$151.34	\$0.00	\$151.34		\$128.64

### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/05/2018	(None) MASONDANI	MF JOHN HANCOCK FREEDOM	B	50.00	2.13	0.00	2.13	85.0	1.81
10/05/2018	(None) MASONCALE	MF JOHN HANCOCK FREEDOM	B	50.00	2.13	0.00	2.13	85.0	1.81
10/05/2018	(None) KAYKIM	MF JOHN HANCOCK FREEDOM	B	50.00	2.13	0.00	2.13	85.0	1.81
* MUTUAL FUNDS SUBTOTAL *				\$150.00	\$6.39	\$0.00	\$6.39		\$5.43

#### PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/03/2018	(None) VARIOUS, THOMAS	VAT TRANSAMERICA LIFE	B	0.00	397.53	0.00	397.53	85.0	337.90
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$397.53	\$0.00	\$397.53		\$337.90
*** DIRECT TRANSACTIONS TOTAL ***				\$150.00	\$403.92	\$0.00	\$403.92		\$343.33

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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-155.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-155.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$471.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$316.97
Year-to-date Commissions	\$82,333.45