



COMMISSION STATEMENT

December 03, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 11/27/2018 | ***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574 | COLUMBIA DISCIPLINED CORE A BUY 542 Units @12.9100 | 7,000.00 | 350.00 | 18.00 | 332.00 | 85.0 | 282.20 |
| 11/26/2018 | ***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460 | FRANKLIN DYNATECH FUND CLASS A BUY 544 Units @73.5400 | 40,000.02 | 2,000.00 | 18.00 | 1,982.00 | 85.0 | 1,684.70 |
| 11/27/2018 | ***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460 | VISTORY RS SCIENCE AND TECHNOLOGY C BUY 632 Units @23.7200 | 15,000.01 | 750.00 | 18.00 | 732.00 | 85.0 | 622.20 |
| 11/27/2018 | ***-**-55IR - WISE, MARY ACCOUNT #: LSR012558 | VISTORY RS SCIENCE AND TECHNOLOGY C BUY 632 Units @23.7200 | 15,000.01 | 750.00 | 18.00 | 732.00 | 85.0 | 622.20 |
| 11/27/2018 | ***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575 | VISTORY RS SCIENCE AND TECHNOLOGY C BUY 211 Units @23.7200 | 5,000.01 | 250.00 | 18.00 | 232.00 | 85.0 | 197.20 |
| 11/27/2018 | ***-**-168I - JONES, TERI ACCOUNT #: LSR012588 | VISTORY RS SCIENCE AND TECHNOLOGY C BUY 211 Units @23.7200 | 5,000.01 | 250.00 | 18.00 | 232.00 | 85.0 | 197.20 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$87,000.06 | \$4,350.00 | \$108.00 | \$4,242.00 | | \$3,605.70 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 11/27/2018 | ***-**-55IR - WISE, MARY ACCOUNT #: LSR012558 | DIODES INC SELL 20 Units @33.5450 | 670.90 | 6.67 | 15.40 | -8.73 | 100.0 | -8.73 |
| 11/27/2018 | ***-**-55IR - WISE, MARY ACCOUNT #: LSR012558 | DIODES INC SELL 280 Units @33.5440 | 9,392.32 | 93.33 | 5.60 | 87.73 | 85.0 | 74.57 |
| * STOCKS SUBTOTAL * | | | \$10,063.22 | \$100.00 | \$21.00 | \$79.00 | | \$65.84 |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | |
| 11/27/2018 | ***-**-55IR - WISE, MARY ACCOUNT #: LSR012558 | CONSOL COAL RES LP COM UNIT SOLICIT SELL 500 Units @17.1410 | 8,570.50 | 100.00 | 24.00 | 76.00 | 85.0 | 64.60 |
| * UNIT INVESTMENT TRUSTS SUBTOTAL * | | | \$8,570.50 | \$100.00 | \$24.00 | \$76.00 | | \$64.60 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$105,633.78 | \$4,550.00 | \$153.00 | \$4,397.00 | | \$3,736.14 |



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