

COMMISSION STATEMENT

September 30, 2018

 ALEX BRISTER-D010
 32180 Inverness Drive

 BROKER #: D010
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/06/2018	676429808	BRISTER, THOMAS	MF	EUROPACIFIC GROWTH FUND-F2	S	-2,129.92	0.00	5.00	-5.00	50.0	-2.50
* MUTUAL FUNDS SUBTOTAL *						\$-2,129.92	\$0.00	\$5.00	\$-5.00		\$-2.50
PRODUCT CATEGORY: STOCKS											
09/06/2018	676429808	BRISTER, THOMAS	S	AMPLIFY TRANSFORMATIONAL DATA	S	-1,964.53	15.00	15.00	0.00	50.0	0.00
09/06/2018	676429808	BRISTER, THOMAS	S	ROYAL DUTCH SHELL PLC-SPONS ADR	S	-1,001.90	15.00	15.00	0.00	50.0	0.00
09/24/2018	676429808	BRISTER, THOMAS	S	JD.COM INC SPONSORED ADR REPSTG	S	-741.57	15.00	15.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *						\$-3,708.00	\$45.00	\$45.00	\$0.00		\$0.00
PRODUCT CATEGORY: MISCELLANEOUS											
09/07/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	50.0	2.50
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$5.00	\$0.00	\$5.00		\$2.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	5.18	0.00	5.18	50.0	2.59
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI SHRT DURATION HIGH	B	0.00	4.25	0.00	4.25	50.0	2.13
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.38	0.00	1.38	50.0	0.69
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	6.90	0.00	6.90	50.0	3.45
09/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	18.46	0.00	18.46	50.0	9.23
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	0.22	0.00	0.22	50.0	0.11
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	1.70	0.00	1.70	50.0	0.85
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	5.51	0.00	5.51	50.0	2.76
09/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-C	B	0.00	4.79	0.00	4.79	50.0	2.40
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.15	0.00	0.15	50.0	0.08
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.50	0.00	0.50	50.0	0.25
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10	50.0	0.05
09/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.15	0.00	1.15	50.0	0.58
09/21/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.95	0.00	1.95	50.0	0.98
09/21/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.09	0.00	0.09	50.0	0.05
09/21/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	0.18	0.00	0.18	50.0	0.09
09/21/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	1.67	0.00	1.67	50.0	0.84
09/21/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	1.86	0.00	1.86	50.0	0.93

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc652653 BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.19	0.00	1.19	50.0	0.60
09/21/2018	mfc652653 BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	3.12	0.00	3.12	50.0	1.56
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.07	0.00	0.07	50.0	0.04
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.68	0.00	0.68	50.0	0.34
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.72	0.00	0.72	50.0	0.36
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.99	0.00	0.99	50.0	0.50
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	1.51	0.00	1.51	50.0	0.76
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	4.88	0.00	4.88	50.0	2.44
09/21/2018	mfc652653 BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	0.78	0.00	0.78	50.0	0.39
09/21/2018	mfc652653 BRISTER A 652653	MFT PIM 018918664	B	0.00	2.29	0.00	2.29	50.0	1.15
09/21/2018	mfc652653 BRISTER A 652653	MFT PIM 018918664	B	0.00	2.56	0.00	2.56	50.0	1.28
09/21/2018	mfc652653 BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	1.41	0.00	1.41	50.0	0.71
09/21/2018	mfc652653 BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	4.40	0.00	4.40	50.0	2.20
09/21/2018	mfc652653 BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	0.66	0.00	0.66	50.0	0.33
09/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.58	0.00	2.58	50.0	1.29
09/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.58	0.00	2.58	50.0	1.29
09/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.08	0.00	0.08	50.0	0.04
09/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.92	0.00	0.92	50.0	0.46
09/21/2018	mfc652653 BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.12	0.00	3.12	50.0	1.56
09/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.13	0.00	0.13	50.0	0.07
09/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.90	0.00	0.90	50.0	0.45
09/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.92	0.00	0.92	50.0	0.46
09/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.17	0.00	1.17	50.0	0.59
09/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.05	0.00	2.05	50.0	1.03
09/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	6.36	0.00	6.36	50.0	3.18
09/21/2018	mfc652653 BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.17	0.00	0.17	50.0	0.09
09/21/2018	mfc652653 BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.77	0.00	4.77	50.0	2.39
09/24/2018	mfc652653 BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	4.85	0.00	4.85	50.0	2.43
09/24/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	2.21	0.00	2.21	50.0	1.11
09/24/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.30	0.00	1.30	50.0	0.65
09/24/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	6.55	0.00	6.55	50.0	3.28
09/24/2018	mfc652653 BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	17.51	0.00	17.51	50.0	8.76
09/24/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.21	0.00	0.21	50.0	0.11
09/24/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	1.61	0.00	1.61	50.0	0.81
09/24/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	5.21	0.00	5.21	50.0	2.61
09/24/2018	mfc652653 BRISTER A 652653	MFT AMCAP FUND-C	B	0.00	4.53	0.00	4.53	50.0	2.27
09/24/2018	mfc652653 BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.14	0.00	0.14	50.0	0.07
09/24/2018	mfc652653 BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.07	0.00	1.07	50.0	0.54
09/24/2018	mfc652653 BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.47	0.00	0.47	50.0	0.24
09/24/2018	mfc652653 BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.09	0.00	0.09	50.0	0.05
09/24/2018	mfc652653 BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.82	0.00	1.82	50.0	0.91
09/24/2018	mfc652653 BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.08	0.00	0.08	50.0	0.04
09/24/2018	mfc652653 BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.17	0.00	0.17	50.0	0.09
09/24/2018	mfc652653 BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.58	0.00	1.58	50.0	0.79

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018 mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.75	0.00	1.75	50.0	0.88
09/24/2018 mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.12	0.00	1.12	50.0	0.56
09/24/2018 mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.93	0.00	2.93	50.0	1.47
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.06	0.00	0.06	50.0	0.03
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.64	0.00	0.64	50.0	0.32
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.67	0.00	0.67	50.0	0.34
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.94	0.00	0.94	50.0	0.47
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	1.43	0.00	1.43	50.0	0.72
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	4.59	0.00	4.59	50.0	2.30
09/24/2018 mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	0.72	0.00	0.72	50.0	0.36
09/24/2018 mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	2.17	0.00	2.17	50.0	1.09
09/24/2018 mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	2.42	0.00	2.42	50.0	1.21
09/24/2018 mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	1.34	0.00	1.34	50.0	0.67
09/24/2018 mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	4.19	0.00	4.19	50.0	2.10
09/24/2018 mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	0.62	0.00	0.62	50.0	0.31
09/24/2018 mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.46	0.00	2.46	50.0	1.23
09/24/2018 mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	2.46	0.00	2.46	50.0	1.23
09/24/2018 mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.08	0.00	0.08	50.0	0.04
09/24/2018 mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.88	0.00	0.88	50.0	0.44
09/24/2018 mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.94	0.00	2.94	50.0	1.47
09/24/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.12	0.00	0.12	50.0	0.06
09/24/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.85	0.00	0.85	50.0	0.43
09/24/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.86	0.00	0.86	50.0	0.43
09/24/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.10	0.00	1.10	50.0	0.55
09/24/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.93	0.00	1.93	50.0	0.97
09/24/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	5.98	0.00	5.98	50.0	2.99
09/24/2018 mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.16	0.00	0.16	50.0	0.08
09/24/2018 mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.52	0.00	4.52	50.0	2.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$206.38	\$0.00	\$206.38		\$103.39
*** CLEARING TRANSACTIONS TOTAL ***				\$-5,837.92	\$256.38	\$50.00	\$206.38		\$103.39

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/04/2018 (None) 0045711389	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
09/04/2018 (None) 0045795127	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
09/04/2018 (None) 0045709342	MF	SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
09/12/2018 00068781179 GERRIE, SARA	MF	AMCAP FUND-A	B	300.00	8.25	0.00	8.25	50.0	4.13
09/17/2018 00083404474 BARNES, WENDY	MF	AMCAP FUND-A	B	500.00	18.75	0.00	18.75	50.0	9.38
* MUTUAL FUNDS SUBTOTAL *				\$950.00	\$31.50	\$0.00	\$31.50		\$15.76
*** DIRECT TRANSACTIONS TOTAL ***				\$950.00	\$31.50	\$0.00	\$31.50		\$15.76

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-55.00</u>
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-55.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$119.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$64.15
Year-to-date Commissions	\$1,837.01