



COMMISSION STATEMENT

November 05, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	512.83	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 22 Units @23.7200						
11/01/2018	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	28,807.88	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010544	SELL 474 Units @60.8200						
10/30/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	512.83	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 19 Units @27.2000						
10/30/2018	***-**-036I - CROWL, LINDA	INVESCO MULTI ASSET INCOME FD CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 175 Units @10.0200						
11/01/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	55,614.03	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010544	SELL 1082 Units @51.4000						
10/30/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 148 Units @11.8400						
* MUTUAL FUNDS SUBTOTAL *			\$88,947.57	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
11/05/2018	***-**-949I - GRAHAM, MONA	SAFE RETURN TRAILS	0.00	232.72	0.00	232.72	100.0	232.72
	ACCOUNT #: 1192080691	BUY 1 Units @0.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$0.00	\$232.72	\$0.00	\$232.72		\$232.72
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/05/2018	***-**-30IN - STRAUB, JANE	SAMMONS MF TRAILS	0.00	13.09	0.00	13.09	85.0	11.13
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$13.09	\$0.00	\$13.09		\$11.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/27/2018	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	4.87	0.00	4.87	85.0	4.14
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
10/27/2018	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	4.87	0.00	4.87	85.0	4.14
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
10/31/2018	***-**-36IR - HERDSON, JOHN	JACKSON ELITE ACCESS E	0.00	38.33	0.00	38.33	85.0	32.58
	ACCOUNT #: 1012521924	BUY 0 Units @0.0000						
10/31/2018	***-**-632I - BURG, THERESA	JACKSON ELITE ACCESS E	0.00	67.44	0.00	67.44	85.0	57.32
	ACCOUNT #: 1015747249	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
10/31/2018	***-**-648I - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	19.60	0.00	19.60	85.0	16.66
	ACCOUNT #: 1015810986	BUY 0 Units @0.0000						
10/31/2018	***-**-305I - WAGNER, KEITH	JACKSON ELITE ACCESS E	0.00	47.12	0.00	47.12	85.0	40.05
	ACCOUNT #: 1015946705	BUY 0 Units @0.0000						
10/31/2018	***-**-48IN - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	35.64	0.00	35.64	85.0	30.29
	ACCOUNT #: 1019931044	BUY 0 Units @0.0000						
10/31/2018	***-**-597I - LEAR, DIANE	JACKSON ELITE ACCESS OPTION A	0.00	18.26	0.00	18.26	85.0	15.52
	ACCOUNT #: 1014504202	BUY 0 Units @0.0000						
10/31/2018	***-**-36IN - CROWL, LINDA	JACKSON ELITE ACCESS OPTION A	0.00	201.93	0.00	201.93	85.0	171.64
	ACCOUNT #: 1016264217	BUY 0 Units @0.0000						
10/31/2018	***-**-94IR - VITALE, LAURIE	JACKSON ELITE ACCESS OPTION A	0.00	14.97	0.00	14.97	85.0	12.72
	ACCOUNT #: 1016299231	BUY 0 Units @0.0000						
10/31/2018	***-**-20IN - GARMAN, KARL	JACKSON ELITE ACCESS OPTION A	0.00	7.40	0.00	7.40	85.0	6.29
	ACCOUNT #: 1017012386	BUY 0 Units @0.0000						
10/31/2018	***-**-53IN - SHOCKEY, JEFFREY	JACKSON NATIONAL PERSPECTIVE II	0.00	35.15	0.00	35.15	85.0	29.88
	ACCOUNT #: 1014308870	BUY 0 Units @0.0000						
10/31/2018	***-**-113I - PIERMANI, JOHN	JACKSON NATIONAL PERSPECTIVE II	0.00	47.93	0.00	47.93	85.0	40.74
	ACCOUNT #: 1014761337	BUY 0 Units @0.0000						
10/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	43.72	0.00	43.72	85.0	37.16
	ACCOUNT #: 1006011280	BUY 0 Units @0.0000						
10/31/2018	***-**-85IN - GRAHAM, BARBARA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	52.89	0.00	52.89	85.0	44.96
	ACCOUNT #: 1012657141	BUY 0 Units @0.0000						
10/31/2018	***-**-814I - MCKENNA, DONNA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	142.96	0.00	142.96	85.0	121.52
	ACCOUNT #: 1013003528	BUY 0 Units @0.0000						
10/31/2018	***-**-400I - ROMIG, MARK	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	57.59	0.00	57.59	85.0	48.95
	ACCOUNT #: 1013168853	BUY 0 Units @0.0000						
10/31/2018	***-**-276I - GASSWINT, SUSAN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	75.69	0.00	75.69	85.0	64.34
	ACCOUNT #: 1013258658	BUY 0 Units @0.0000						
10/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	61.53	0.00	61.53	85.0	52.30
	ACCOUNT #: 1013442998	BUY 0 Units @0.0000						
10/31/2018	***-**-227I - ZELLERS,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	57.57	0.00	57.57	85.0	48.93
	ACCOUNT #: 1013578113	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
10/31/2018	***-**-364J - SHIFFLET, ACCOUNT #: 1013759851	JACKSON NATIONAL PERSPECTIVE L SERI BUY 0 Units @0.0000	0.00	53.61	0.00	53.61	85.0	45.57
10/31/2018	***-**-697I - ENEDY, EMILY ACCOUNT #: 1014092982	JACKSON NATIONAL PERSPECTIVE L SERI BUY 0 Units @0.0000	0.00	19.60	0.00	19.60	85.0	16.66
11/05/2018	***-**-52IN - KUNKEL, RAY ACCOUNT #: 550128046	METLIFE VA TRAILS BUY 1 Units @0.0000	0.00	10.01	0.00	10.01	85.0	8.51
10/31/2018	***-**-33IN - KNOBL, LISA ACCOUNT #: 1018661027	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	29.99	0.00	29.99	85.0	25.49
10/31/2018	***-**-136I - CONLON-SCOLTOCK, ACCOUNT #: 1018710060	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	20.74	0.00	20.74	85.0	17.63
10/31/2018	***-**-36IN - CROWL, LINDA ACCOUNT #: 1018787969	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	206.75	0.00	206.75	85.0	175.74
10/31/2018	***-**-52IN - KUNKEL, RAY ACCOUNT #: 1018799355	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	106.10	0.00	106.10	85.0	90.19
10/31/2018	***-**-99IR - BUCHANAN, STEVEN ACCOUNT #: 1018892479	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	38.42	0.00	38.42	85.0	32.66
10/31/2018	***-**-400I - ROMIG, MARK ACCOUNT #: 1019057603	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	51.40	0.00	51.40	85.0	43.69
10/31/2018	***-**-949I - KLOKIS, DENISE ACCOUNT #: 1019390361	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	32.61	0.00	32.61	85.0	27.72
10/31/2018	***-**-962I - KLOKIS, ROBERT ACCOUNT #: 1019390434	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	32.45	0.00	32.45	85.0	27.58
10/31/2018	***-**-74IN - MCLAUGHLIN, JOHN ACCOUNT #: 1019656124	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	82.72	0.00	82.72	85.0	70.31
10/31/2018	***-**-00IN - ROMIG, MARK ACCOUNT #: 1020004262	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	31.55	0.00	31.55	85.0	26.82
10/31/2018	***-**-14IN - MCKENNA, DONNA ACCOUNT #: 1020006044	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	37.45	0.00	37.45	85.0	31.83
10/31/2018	***-**-508I - FREY, DONALD ACCOUNT #: 1020119299	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	11.01	0.00	11.01	85.0	9.36
10/31/2018	***-**-30IR - STRAUB, FBO MRS ACCOUNT #: 1020163875	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	45.02	0.00	45.02	85.0	38.27



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
10/31/2018	***-**-35IN - TOBIN, MAUREEN	PERSPECTIVE II DTCC	0.00	44.28	0.00	44.28	85.0	37.64
	ACCOUNT #: 1020192220	BUY 0 Units @0.0000						
10/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	94.31	0.00	94.31	85.0	80.16
	ACCOUNT #: 1020250050	BUY 0 Units @0.0000						
10/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	97.17	0.00	97.17	85.0	82.59
	ACCOUNT #: 1020329722	BUY 0 Units @0.0000						
10/31/2018	***-**-881I - HOUSER, LORI	PERSPECTIVE II DTCC	0.00	175.52	0.00	175.52	85.0	149.19
	ACCOUNT #: 1020346309	BUY 0 Units @0.0000						
10/31/2018	***-**-1013 - LOYCH, ALICE	PERSPECTIVE II DTCC	0.00	178.93	0.00	178.93	85.0	152.09
	ACCOUNT #: 1020374345	BUY 0 Units @0.0000						
10/31/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	88.41	0.00	88.41	85.0	75.15
	ACCOUNT #: 1020404619	BUY 0 Units @0.0000						
10/31/2018	***-**-31IN - REED, JACK	PERSPECTIVE II DTCC	0.00	41.10	0.00	41.10	85.0	34.94
	ACCOUNT #: 1020415033	BUY 0 Units @0.0000						
10/31/2018	***-**-44IR - REED, GENEVIEVE	PERSPECTIVE II DTCC	0.00	43.05	0.00	43.05	85.0	36.59
	ACCOUNT #: 1020415050	BUY 0 Units @0.0000						
10/31/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	42.98	0.00	42.98	85.0	36.53
	ACCOUNT #: 1020415157	BUY 0 Units @0.0000						
10/31/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	43.64	0.00	43.64	85.0	37.09
	ACCOUNT #: 1020415165	BUY 0 Units @0.0000						
10/31/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	74.19	0.00	74.19	85.0	63.06
	ACCOUNT #: 1020419535	BUY 0 Units @0.0000						
10/31/2018	***-**-20IN - GARMAN, KARL	PERSPECTIVE II DTCC	0.00	38.13	0.00	38.13	85.0	32.41
	ACCOUNT #: 1020579915	BUY 0 Units @0.0000						
10/31/2018	***-**-305I - WAGNER, KEITH	PERSPECTIVE II DTCC	0.00	45.26	0.00	45.26	85.0	38.47
	ACCOUNT #: 1020614664	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,851.86	\$0.00	\$2,851.86		\$2,424.07
*** BROKER TRANSACTIONS TOTAL ***			\$88,947.57	\$3,097.67	\$36.00	\$3,061.67		\$2,631.92



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions \$2,631.92									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$0.00									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$2,631.92									
Year-to-date Earnings \$113,125.15									