



COMMISSION STATEMENT

October 15, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/25/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	2.86	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 07122874988	BUY 0 Units @8.9000						
09/17/2018	***-**-3804 - LETOURNEAU,	EQUITY INCOME FUND, INC. A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 08352639846	BUY 0 Units @0.0000						
09/17/2018	***-**-3804 - LETOURNEAU,	GLOBAL OPPORTUNITIES A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 02156825863	BUY 0 Units @0.0000						
09/25/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	139.91	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 07123000494	BUY 11 Units @13.1900						
10/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	105.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: 07123000641	BUY 8 Units @13.0900						
10/02/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	12.24	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 07123000351	BUY 1 Units @12.9700						
09/17/2018	***-**-3804 - LETOURNEAU,	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 01952878018	BUY 0 Units @0.0000						
		* MUTUAL FUNDS SUBTOTAL *	\$410.01	\$9.10	\$0.00	\$9.10		\$6.84
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/10/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$100.00	\$7.50	\$0.00	\$7.50		\$5.63
<u>PRODUCT CATEGORY: 529 PLAN</u>								
10/15/2018	***-**-0834 - WIEBE, PHILIP	PORTFOLIO PLAN C	150.00	1.50	0.00	1.50	75.0	1.13
		BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$150.00	\$1.50	\$0.00	\$1.50		\$1.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/03/2018	***-**-67CL - MILGROOM,	MID CAP GROWTH - R2	0.00	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 07468200598	BUY 0 Units @0.0000						
10/03/2018	***-**-67CL - MILGROOM,	MID CAP GROWTH - R2	0.00	8.34	0.00	8.34	75.0	6.26
	ACCOUNT #: 07468200633	BUY 0 Units @0.0000						
10/03/2018	***-**-2107 - FIGUERAS, DAURIS	MID CAP GROWTH - R2	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 07468200945	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/03/2018	***-**-7574 - G-MEN CORP	MID CAP GROWTH - R2	0.00	2.49	0.00	2.49	75.0	1.87
	ACCOUNT #: 07468201148	BUY 0 Units @0.0000						
10/08/2018	***-**-67CL - MILGROOM,	AB GLOBAL BOND R	0.00	2.63	0.00	2.63	75.0	1.97
	ACCOUNT #: 04892189304	BUY 0 Units @0.0000						
10/08/2018	***-**-67CL - MILGROOM,	AB GLOBAL BOND R	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: 04892189311	BUY 0 Units @0.0000						
10/02/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
10/15/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS	0.00	51.66	0.00	51.66	75.0	38.75
		BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	MFS MID CAP VALUE FUND-R3	0.00	5.20	0.00	5.20	75.0	3.90
	ACCOUNT #: 00090008712	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	MFS MID CAP VALUE FUND-R3	0.00	35.03	0.00	35.03	75.0	26.27
	ACCOUNT #: 00090009135	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	MFS NEW DISCOVERY VALUE FUND CL C	0.00	7.89	0.00	7.89	75.0	5.92
	ACCOUNT #: 00090000070	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	MFS VALUE FUND-R2	0.00	11.53	0.00	11.53	75.0	8.65
	ACCOUNT #: 00090003553	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	MFS VALUE FUND-R2	0.00	55.42	0.00	55.42	75.0	41.57
	ACCOUNT #: 00090003620	BUY 0 Units @0.0000						
10/01/2018	***-**-7574 - G-MEN CORP	MFS VALUE FUND-R2	0.00	4.71	0.00	4.71	75.0	3.53
	ACCOUNT #: 00090004301	BUY 0 Units @0.0000						
10/15/2018	***-**-0067 - UNCLE AL'S CAFE,	PRUDENTIAL MF TRAILS	0.00	64.75	0.00	64.75	75.0	48.56
	ACCOUNT #: 31523373	BUY 0 Units @0.0000						
10/01/2018	***-**-57IE - NIELSEN, GERT	PUTNAM ASSET ALLOC GROWTH-A	0.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00287535582	BUY 0 Units @0.0000						
10/01/2018	***-**-32IE - NIELSEN, GERT	PUTNAM ASSET ALLOC GROWTH-A	0.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00287599362	BUY 0 Units @0.0000						
10/01/2018	***-**-57IE - NIELSEN, GERT	PUTNAM EQUITY INCOME FUND-A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: 00287535582	BUY 0 Units @0.0000						
10/01/2018	***-**-32IE - NIELSEN, GERT	PUTNAM EQUITY INCOME FUND-A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: 00287599362	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/01/2018	***-**-948I - JOHNSON, ACCOUNT #: 00370423037	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	3.16	0.00	3.16	75.0	2.37
10/01/2018	***-**-948I - JOHNSON, ACCOUNT #: 00551324878	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	0.96	0.00	0.96	75.0	0.72
10/01/2018	***-**-525P - LOYOLA, GILBERTO ACCOUNT #: 00282475603	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	0.28	0.00	0.28	75.0	0.21
10/01/2018	***-**-203I - VERBURG, JOHN ACCOUNT #: 00313014737	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	15.24	0.00	15.24	75.0	11.43
10/01/2018	***-**-12IR - JONGAKIEM, ACCOUNT #: 00314170955	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	75.0	1.34
10/01/2018	***-**-203I - VERBURG, JOHN ACCOUNT #: 00550314209	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	3.05	0.00	3.05	75.0	2.29
10/15/2018	***-**-443K - FIGUERAS MD 401K ACCOUNT #: 00090004003	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	3.29	0.00	3.29	75.0	2.47
10/15/2018	***-**-0720 - COCKTAILS DREAMS ACCOUNT #: 00090004144	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	2.51	0.00	2.51	75.0	1.88
10/15/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
10/15/2018	***-**-9109 - PASCH, ROBERT ACCOUNT #: 51554475	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	7.14	0.00	7.14	75.0	5.36
10/03/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403711	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	2.45	0.00	2.45	75.0	1.84
10/03/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403731	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
10/03/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07418404146	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	75.0	0.08
10/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008823	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	15.00	0.00	15.00	75.0	11.25
10/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008142	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	18.99	0.00	18.99	75.0	14.24
10/03/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 08551051344	WELLS FARGO SPECIAL MID CAP CL C BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	75.0	0.41



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/03/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.64	0.00	1.64	75.0	1.23
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$333.68	\$0.00	\$333.68		\$250.28
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
10/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	104.11	0.00	104.11	75.0	78.08
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
10/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	3.49	0.00	3.49	75.0	2.62
	ACCOUNT #: R096007-ZS	BUY 0 Units @0.0000						
10/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	5.74	0.00	5.74	75.0	4.31
	ACCOUNT #: R096023-ZS	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$113.34	\$0.00	\$113.34		\$85.01
		*** BROKER TRANSACTIONS TOTAL ***	\$660.01	\$465.12	\$0.00	\$465.12		\$348.89

BROKER COMMISSION TOTALS

Broker Transactions	\$348.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$348.89
Year-to-date Earnings	\$38,523.60