

COMMISSION STATEMENT

August 31, 2018

RANDY SMITH-D601

BROKER #: D601

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/22/2018	00086465668	MCINTIRE, JOHN	MF	NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00	20.0	1.00
08/27/2018	08190129110	PEYTON O LEBLANC, MF	MFS	GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
08/27/2018	08190129112	SARA E CANCIENNE, MF	MFS	GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
08/27/2018	08190129113	LILLY M SUTTON	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
08/27/2018	08191029111	PRESLIE G LEBLANC	MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	20.0	0.20
08/27/2018	08191270658	BEAU OUTLAW, JOHN	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
* MUTUAL FUNDS SUBTOTAL *						\$800.00	\$8.00	\$0.00	\$8.00		\$1.60

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018	(None)	VARIOUS, RANDALL SMITH	MFT	LORD ABBETT	B	0.00	819.48	0.00	819.48	20.0	163.90
08/13/2018	01004814720	FINN, JOHN	MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	28.55	0.00	28.55	20.0	5.71
08/13/2018	01004814720	FINN, JOHN	MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	85.65	0.00	85.65	20.0	17.13
08/13/2018	01004814720	FINN, JOHN	MFT	WELLS FARGO GLOBAL	B	0.00	2.73	0.00	2.73	20.0	0.55
08/13/2018	01004814720	FINN, JOHN	MFT	WELLS FARGO GLOBAL	B	0.00	8.18	0.00	8.18	20.0	1.64
08/13/2018	01004814720	FINN, JOHN	MFT	WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	31.01	0.00	31.01	20.0	6.20
08/13/2018	01004814720	FINN, JOHN	MFT	WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	93.02	0.00	93.02	20.0	18.60
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO GLOBAL	B	0.00	0.44	0.00	0.44	20.0	0.09
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO GLOBAL	B	0.00	1.32	0.00	1.32	20.0	0.26
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	0.39	0.00	0.39	20.0	0.08
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	1.17	0.00	1.17	20.0	0.23
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.15	0.00	1.15	20.0	0.23
08/13/2018	01007612996	DELSA, ROBERT	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.44	0.00	3.44	20.0	0.69
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	10.43	0.00	10.43	20.0	2.09
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	31.29	0.00	31.29	20.0	6.26
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	6.53	0.00	6.53	20.0	1.31
08/13/2018	01007799205	WARNIE, BARBARA	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	19.60	0.00	19.60	20.0	3.92
08/13/2018	01008522715	ST JULIEN,	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	2.84	0.00	2.84	20.0	0.57
08/13/2018	01008522715	ST JULIEN,	MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	8.52	0.00	8.52	20.0	1.70
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO GLOBAL	B	0.00	6.46	0.00	6.46	20.0	1.29
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO GLOBAL	B	0.00	19.37	0.00	19.37	20.0	3.87
08/13/2018	01009200054	PROPERTY,	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	2.62	0.00	2.62	20.0	0.52

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08/13/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.87	0.00	7.87	20.0	1.57
08/13/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.20	0.00	11.20	20.0	2.24
08/13/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	33.60	0.00	33.60	20.0	6.72
08/13/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	3.07	0.00	3.07	20.0	0.61
08/13/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	9.22	0.00	9.22	20.0	1.84
08/13/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.26	0.00	2.26	20.0	0.45
08/13/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.77	0.00	6.77	20.0	1.35
08/13/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.16	0.00	1.16	20.0	0.23
08/13/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.48	0.00	3.48	20.0	0.70
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	3.09	0.00	3.09	20.0	0.62
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	9.28	0.00	9.28	20.0	1.86
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.13	0.00	3.13	20.0	0.63
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	9.38	0.00	9.38	20.0	1.88
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.20	0.00	3.20	20.0	0.64
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.59	0.00	9.59	20.0	1.92
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.92	0.00	2.92	20.0	0.58
08/13/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.77	0.00	8.77	20.0	1.75
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.34	0.00	7.34	20.0	1.47
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	22.01	0.00	22.01	20.0	4.40
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.04	0.00	3.04	20.0	0.61
08/13/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.13	0.00	9.13	20.0	1.83
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.50	0.00	2.50	20.0	0.50
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.49	0.00	7.49	20.0	1.50
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.49	0.00	2.49	20.0	0.50
08/13/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.48	0.00	7.48	20.0	1.50
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.65	0.00	18.65	20.0	3.73
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	55.95	0.00	55.95	20.0	11.19
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	23.63	0.00	23.63	20.0	4.73
08/13/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	70.88	0.00	70.88	20.0	14.18
08/13/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	6.49	0.00	6.49	20.0	1.30
08/13/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	19.47	0.00	19.47	20.0	3.89
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.36	0.00	2.36	20.0	0.47
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	7.07	0.00	7.07	20.0	1.41
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.42	0.00	4.42	20.0	0.88
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	13.27	0.00	13.27	20.0	2.65
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.89	0.00	2.89	20.0	0.58
08/13/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.66	0.00	8.66	20.0	1.73
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.52	0.00	21.52	20.0	4.30
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	64.56	0.00	64.56	20.0	12.91
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.56	0.00	9.56	20.0	1.91
08/13/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	28.67	0.00	28.67	20.0	5.73
08/13/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.00	0.00	1.00	20.0	0.20
08/13/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	3.01	0.00	3.01	20.0	0.60
08/13/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.13	0.00	1.13	20.0	0.23

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08/13/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.38	0.00	3.38	20.0	0.68
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.16	0.00	2.16	20.0	0.43
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.47	0.00	6.47	20.0	1.29
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.47	0.00	3.47	20.0	0.69
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.42	0.00	10.42	20.0	2.08
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.99	0.00	1.99	20.0	0.40
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.96	0.00	5.96	20.0	1.19
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.60	0.00	4.60	20.0	0.92
08/13/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.81	0.00	13.81	20.0	2.76
08/03/2018 019000000269	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	8.28	0.00	8.28	20.0	1.66
08/03/2018 019000000279	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	4.09	0.00	4.09	20.0	0.82
08/03/2018 019000000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	25.21	0.00	25.21	20.0	5.04
08/03/2018 019000000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.73	0.00	13.73	20.0	2.75
08/03/2018 019000000312	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.94	0.00	1.94	20.0	0.39
08/03/2018 019000000313	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	3.30	0.00	3.30	20.0	0.66
08/03/2018 019000000324	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	6.36	0.00	6.36	20.0	1.27
08/03/2018 019000000349	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	6.47	0.00	6.47	20.0	1.29
08/03/2018 019000000352	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.33	0.00	1.33	20.0	0.27
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.94	0.00	1.94	20.0	0.39
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.82	0.00	5.82	20.0	1.16
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.69	0.00	4.69	20.0	0.94
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	14.08	0.00	14.08	20.0	2.82
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.31	0.00	2.31	20.0	0.46
08/13/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.94	0.00	6.94	20.0	1.39
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.77	0.00	1.77	20.0	0.35
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.32	0.00	5.32	20.0	1.06
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.53	0.00	2.53	20.0	0.51
08/13/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.59	0.00	7.59	20.0	1.52
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.08	0.00	1.08	20.0	0.22
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.24	0.00	3.24	20.0	0.65
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.28	0.00	1.28	20.0	0.26
08/13/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.83	0.00	3.83	20.0	0.77
08/13/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.83	0.00	3.83	20.0	0.77
08/13/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.50	0.00	11.50	20.0	2.30
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.29	0.00	4.29	20.0	0.86
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.86	0.00	12.86	20.0	2.57
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.57	0.00	5.57	20.0	1.11
08/13/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.72	0.00	16.72	20.0	3.34
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.71	0.00	1.71	20.0	0.34
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.13	0.00	5.13	20.0	1.03
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.80	0.00	1.80	20.0	0.36
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.41	0.00	5.41	20.0	1.08
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.12	0.00	2.12	20.0	0.42
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.36	0.00	6.36	20.0	1.27

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08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.14	0.00	2.14	20.0	0.43
08/13/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.42	0.00	6.42	20.0	1.28
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.63	0.00	8.63	20.0	1.73
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	25.89	0.00	25.89	20.0	5.18
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.29	0.00	6.29	20.0	1.26
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.88	0.00	18.88	20.0	3.78
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.99	0.00	5.99	20.0	1.20
08/13/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.96	0.00	17.96	20.0	3.59
08/03/2018 04830000507	CORBETT, JOHN	MFT COLUMBIA BALANCED FUND-C	B	0.00	6.80	0.00	6.80	20.0	1.36
08/03/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	46.36	0.00	46.36	20.0	9.27
08/03/2018 06000010315	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	4.71	0.00	4.71	20.0	0.94
08/03/2018 06000010315	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.57	0.00	6.57	20.0	1.31
08/03/2018 08183000145	CORBETT, JOHN	MFT COLUMBIA BALANCED FD CL A	B	0.00	1.83	0.00	1.83	20.0	0.37
08/03/2018 08537100283	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.95	0.00	0.95	20.0	0.19
08/03/2018 08537100284	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.21	0.00	2.21	20.0	0.44
08/03/2018 08537100298	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.74	0.00	0.74	20.0	0.15
08/03/2018 08537100304	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.64	0.00	0.64	20.0	0.13
08/03/2018 08537500120	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.77	0.00	1.77	20.0	0.35
08/03/2018 08537500142	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.36	0.00	0.36	20.0	0.07
08/03/2018 08537500143	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.61	0.00	0.61	20.0	0.12
08/03/2018 08537500296	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.14	0.00	1.14	20.0	0.23
08/03/2018 08537500318	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.76	0.00	0.76	20.0	0.15
08/03/2018 08537500319	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.89	0.00	0.89	20.0	0.18
08/03/2018 08695900108	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.35	0.00	1.35	20.0	0.27
08/03/2018 08695900297	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.26	0.00	2.26	20.0	0.45
08/03/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	3.49	0.00	3.49	20.0	0.70
08/03/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	4.22	0.00	4.22	20.0	0.84
08/03/2018 08699266849	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	8.14	0.00	8.14	20.0	1.63
08/03/2018 08896000069	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	1.26	0.00	1.26	20.0	0.25
08/03/2018 08981001058	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	2.23	0.00	2.23	20.0	0.45
08/03/2018 08981001059	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.10	0.00	1.10	20.0	0.22
08/03/2018 08981001063	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.52	0.00	0.52	20.0	0.10
08/03/2018 08981001064	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.89	0.00	0.89	20.0	0.18
08/03/2018 08981001068	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.71	0.00	1.71	20.0	0.34
08/03/2018 08981001070	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.74	0.00	1.74	20.0	0.35
08/03/2018 08981001071	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.36	0.00	0.36	20.0	0.07
08/03/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.74	0.00	1.74	20.0	0.35
08/03/2018 53730000018	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.73	0.00	2.73	20.0	0.55
08/03/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	18.44	0.00	18.44	20.0	3.69
08/03/2018 53730000075	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.36	0.00	2.36	20.0	0.47
08/03/2018 53770000062	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.83	0.00	2.83	20.0	0.57
08/03/2018 53770000066	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	3.33	0.00	3.33	20.0	0.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,228.78	\$0.00	\$2,228.78		\$445.76

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** DIRECT TRANSACTIONS TOTAL ***				\$800.00	\$2,236.78	\$0.00	\$2,236.78		\$447.36

BROKER COMMISSION TOTALS

Broker Transactions	\$447.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00