

COMMISSION STATEMENT**November 30, 2018**JAY TOMLINSON
474 GREGORY AVENUEBROKER #: I50
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/31/2018	QGV004260 KAUFMANN SMALL CAP,	AXONICS MODULATION TECHNOLOGIES INC S		-41,080.00	104.00	10.00	94.00	70.0	62.80
11/01/2018	QGV004260 KAUFMANN SMALL CAP,	AXONICS MODULATION TECHNOLOGIES INC S		-28,261.80	80.00	10.00	70.00	70.0	46.00
11/02/2018	QGV004260 KAUFMANN SMALL CAP,	AXONICS MODULATION TECHNOLOGIES INC S		-835,543.50	2,200.00	10.00	2,190.00	70.0	1,530.00
11/01/2018	QGW001778 INTERACTIVE BROKERS	SAVARA INC COMMON STOCK	S	-98,004.16	215.98	10.00	205.98	70.0	141.19
* STOCKS SUBTOTAL *				<u>\$-1,002,889.46</u>	<u>\$2,599.98</u>	<u>\$40.00</u>	<u>\$2,559.98</u>		<u>\$1,779.99</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-1,002,889.46</u>	<u>\$2,599.98</u>	<u>\$40.00</u>	<u>\$2,559.98</u>		<u>\$1,779.99</u>

ADJUSTMENTS

11/30/2018	OTHER: 360 OFFICE			0.00	0.00	0.00	0.00		-8.00
11/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
11/30/2018	OTHER: OMGEO OASYS ALERT			0.00	0.00	0.00	0.00		-154.44
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-182.44</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,779.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-182.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,033.83
FINRA Assessment	\$-3.25
SIPC Assessment	\$-6.50

Check Amount	\$553.97
Year-to-date Earnings	\$2,710.34