

COMMISSION STATEMENT October 31, 2018

DPM HAVARD

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for DPM HAVARD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

10/16/2018	337829724	HAMNER, PHILLIP	S	ALASKA AIR GROUP INC	B	1,612.95	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	CALLAWAY GOLF COMPANY (DEL)	B	1,601.44	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	CARNIVAL CORP NEW PARIED COM	B	1,610.18	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	CROCS INC	B	1,582.05	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	DEERE & COMPANY	B	1,534.94	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	DOLLAR TREE INC	B	1,578.23	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	HASBRO INC	B	1,642.87	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	HOME DEPOT INCORPORATED	B	1,562.57	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	LOGITECH INTERNATIONAL SA-REG	B	1,590.28	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	NATIONAL BEVERAGE CORP	B	1,612.97	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	SOUTHWEST AIRLINES COMPANY	B	1,607.21	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	STANLEY BLACK & DECKER INC	B	1,553.21	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	TESLA INC FORMERLY TESLA MOTORS	B	1,668.40	15.00	15.00	0.00	0.0	0.00
10/16/2018	337829724	HAMNER, PHILLIP	S	WEIGHT WATCHERS INTERNTIONAL	B	1,574.32	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	ALASKA AIR GROUP INC	B	3,421.18	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	CALLAWAY GOLF COMPANY (DEL)	B	3,429.42	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	CARNIVAL CORP NEW PARIED COM	B	3,401.04	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	CROCS INC	B	3,327.19	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	DEERE & COMPANY	B	3,337.32	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	HASBRO INC	B	3,435.26	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	HOME DEPOT INCORPORATED	B	3,490.38	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	LOGITECH INTERNATIONAL SA-REG	B	3,413.15	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	NATIONAL BEVERAGE CORP	B	2,414.60	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	SPDR PORTFOLIO TOTAL STK MARKET	S	-13,431.50	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	SPDR PORTFOLIO WORLD EX-US ETF	S	-10,773.76	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	SPDR PORTFOLIO S&P GROWTH ETF	S	-8,120.80	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	SPDR PORTFOLIO EMERGING MARKETS	S	-7,698.16	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	SPDR PORTFOLIO AGGREGATE BOND	S	-2,128.38	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	STANLEY BLACK & DECKER INC	B	3,393.16	15.00	15.00	0.00	0.0	0.00
10/03/2018	492705249	MCCLUNG, BRIAN	S	WEIGHT WATCHERS INTERNTIONAL	B	3,387.77	15.00	15.00	0.00	0.0	0.00
10/04/2018	492705249	MCCLUNG, BRIAN	S	SOUTHWEST AIRLINES COMPANY	B	3,409.30	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* STOCKS SUBTOTAL *				\$20,038.79	\$465.00	\$465.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/19/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK MULTI-ASSET INCOME FD B	0.00	40.63	0.00	40.63	0.0	0.00
10/19/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK STRAT INC OPP FD INC B	0.00	47.31	0.00	47.31	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$87.94	\$0.00	\$87.94		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$20,038.79	\$552.94	\$465.00	\$87.94		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00