

COMMISSION STATEMENT

September 30, 2018

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: R25
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/30/2018	QGW001497	KLUGMAN, ROBERT	NESTLE SA-SPONSORED ADR REPSTG REGD S	-10,462.50	149.00	10.00	139.00	80.0	111.20
09/07/2018	QGW001497	KLUGMAN, ROBERT	ISHARES TR NORTH AMERN NAT RES ETF B	7,756.88	129.00	10.00	119.00	80.0	95.20
09/21/2018	QGW001497	KLUGMAN, ROBERT	FORTIVE CORPORATION COM B	8,611.80	98.00	10.00	88.00	80.0	70.40
08/30/2018	QGW009805	KELLY, ROBERT	NESTLE SA-SPONSORED ADR REPSTG REGD S	-10,462.80	149.00	10.00	139.00	80.0	111.20
09/21/2018	QGW009805	KELLY, ROBERT	FORTIVE CORPORATION COM B	8,611.80	98.00	10.00	88.00	80.0	70.40
08/30/2018	QGW009847	LEICHER, MARVIN	PFIZER INCORPORATED S	-12,451.20	153.00	10.00	143.00	80.0	114.40
09/10/2018	QGW009847	LEICHER, MARVIN	ISHARES TR NORTH AMERN NAT RES ETF B	17,409.00	199.00	10.00	189.00	80.0	151.20
09/14/2018	QGW009847	LEICHER, MARVIN	OPKO HEALTH INC S	-4,140.10	175.00	10.00	165.00	80.0	132.00
09/21/2018	QGW009847	LEICHER, MARVIN	FORTIVE CORPORATION COM B	8,611.80	98.00	10.00	88.00	80.0	70.40
09/14/2018	QGW009854	LEICHER, EVELYN	OPKO HEALTH INC S	-4,140.10	175.00	10.00	165.00	80.0	132.00
09/21/2018	QGW009854	LEICHER, EVELYN	FORTIVE CORPORATION COM B	8,611.80	98.00	10.00	88.00	80.0	70.40
09/07/2018	QGW020919	KELLY, ROBERT	ISHARES TR NORTH AMERN NAT RES ETF B	7,757.33	129.00	10.00	119.00	80.0	95.20
09/14/2018	QGW020919	KELLY, ROBERT	OPKO HEALTH INC S	-1,656.04	79.00	10.00	69.00	80.0	55.20
09/17/2018	QGW020919	KELLY, ROBERT	MAMMOTH ENERGY SVCS INC COM B	5,556.00	118.00	10.00	108.00	80.0	86.40
09/14/2018	QGW021271	KLUGMAN, ROBERT	OPKO HEALTH INC S	-1,656.04	79.00	10.00	69.00	80.0	55.20
09/17/2018	QGW021271	KLUGMAN, ROBERT	MAMMOTH ENERGY SVCS INC COM B	5,556.00	118.00	10.00	108.00	80.0	86.40
09/14/2018	QGW022246	SUGARMAN, GAIL	OPKO HEALTH INC S	-1,242.03	69.00	10.00	59.00	80.0	47.20
09/17/2018	QGW022246	SUGARMAN, GAIL	MAMMOTH ENERGY SVCS INC COM B	5,556.00	118.00	10.00	108.00	80.0	86.40
09/21/2018	QGW022246	SUGARMAN, GAIL	FORTIVE CORPORATION COM B	8,611.80	98.00	10.00	88.00	80.0	70.40
09/07/2018	QGW023772	PATRICK E OBRIEN,	ISHARES TR NORTH AMERN NAT RES ETF B	7,758.00	142.64	10.00	132.64	80.0	106.11
09/14/2018	QGW023772	PATRICK E OBRIEN,	OPKO HEALTH INC S	-1,242.03	69.00	10.00	59.00	80.0	47.20
09/17/2018	QGW023772	PATRICK E OBRIEN,	MAMMOTH ENERGY SVCS INC COM B	5,556.00	118.00	10.00	108.00	80.0	86.40
09/21/2018	QGW023772	PATRICK E OBRIEN,	FORTIVE CORPORATION COM B	8,611.80	98.00	10.00	88.00	80.0	70.40
* STOCKS SUBTOTAL *				\$67,123.17	\$2,756.64	\$230.00	\$2,526.64		\$2,021.31
*** BROKER TRANSACTIONS TOTAL ***				\$67,123.17	\$2,756.64	\$230.00	\$2,526.64		\$2,021.31

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ADJUSTMENTS

09/30/2018		MISCELLANEOUS: 3RD QTR PAPER SURCHA		0.00	0.00	0.00	0.00		-57.75
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-57.75

BROKER COMMISSION TOTALS

Broker Transactions	\$2,021.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-57.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.45
SIPC Assessment	\$-6.89

Check Amount	\$1,953.22
Year-to-date Earnings	\$16,642.66