



All Companies

12/28/2018
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COMMISSION STATEMENT

January 02, 2019

JONATHAN F JETTER
2005 Rickety Lane Ste B

BROKER #: 9580
BRANCH: HOME OFFICE

Tyler, TX 75703

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/18/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	24.78	24.78	0.00	24.78	90.0	22.30
12/03/2018	SNOWDEN, COLIN	-TEXAS 529 PLAN	B	150.00	7.12	0.00	7.12	90.0	6.41
12/10/2018	WATSON, ELAN	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
12/19/2018	TRAILS	-TEXAS 529 PLAN	B	362.85	362.85	0.00	362.85	90.0	326.57
11/27/2018	GONZALES, DAVID	FORESTERS FINANCIAL	B	100.00	5.00	0.00	5.00	90.0	4.50
11/30/2018	WALKER, DEBRA SAPENTER	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
12/03/2018	BERGERON, TIMOTHY R	FORESTERS FINANCIAL	B	75.00	3.75	0.00	3.75	90.0	3.38
12/06/2018	SOTELLO, FREDDIE	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
12/18/2018	000000003195157 BALLEW, HOLLY	FRK TEMP FOUNDING FDS ALLOC FUND-CL B	B	25.00	0.25	0.00	0.25	90.0	0.23
12/04/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	40.00	0.40	0.00	0.40	90.0	0.36
12/04/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	30.00	0.30	0.00	0.30	90.0	0.27
12/04/2018	00002015934 PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	30.00	0.30	0.00	0.30	90.0	0.27
12/04/2018	00002015934 PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	30.00	0.30	0.00	0.30	90.0	0.27
12/03/2018	00002031605 MALACARA, ADRIANA	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/03/2018	00002031607 MALACARA JR, JOSE	LIFESTYLE BALANCED PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
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12/03/2018	00002124762 BECTON, MATTHEW	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	90.0	4.12
12/04/2018	00002128946 VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	31.20	0.31	0.00	0.31	90.0	0.28
12/04/2018	00002128946 VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	31.20	0.31	0.00	0.31	90.0	0.28
12/04/2018	00002128949 PEREZ, KRISTINA	LIFESTYLE BALANCED PORTFOLIO C	B	40.00	0.40	0.00	0.40	90.0	0.36
12/04/2018	00002128949 PEREZ, KRISTINA	LIFESTYLE BALANCED PORTFOLIO C	B	30.00	0.30	0.00	0.30	90.0	0.27
12/04/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	33.60	0.34	0.00	0.34	90.0	0.31
12/04/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	33.60	0.34	0.00	0.34	90.0	0.31
12/04/2018	00003386371 GIVENS, WAYNE	JOHN HANCOCK SOVEREIGN BALANCED FD	B	583.00	16.62	0.00	16.62	90.0	14.96
12/04/2018	00003386371 GIVENS, WAYNE	JOHN HANCOCK SOVEREIGN BALANCED FD	B	52.50	1.50	0.00	1.50	90.0	1.35
12/04/2018	00003386373 GIVENS, WAYNE	US GROWTH A	B	291.50	8.31	0.00	8.31	90.0	7.48
12/04/2018	00003386373 GIVENS, WAYNE	US GROWTH A	B	26.25	0.75	0.00	0.75	90.0	0.68
12/04/2018	00003386376 GIVENS, WAYNE	STRATEGIC INCOME OPPORTUNITIES A	B	291.50	8.75	0.00	8.75	90.0	7.88
12/04/2018	00003386376 GIVENS, WAYNE	STRATEGIC INCOME OPPORTUNITIES A	B	26.25	0.79	0.00	0.79	90.0	0.71
12/18/2018	00073415044 DEWEY, WILLIAM/ERIN	THE INVESTMENT COMPANY OF AMERICA-5 B	B	200.00	2.00	0.00	2.00	90.0	1.80

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/18/2018	00073415141 DEWEY, WILLIAM/KENDYL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/17/2018	00081794959 MCHAZLETT, CINDY	AMERICAN FUNDS INVESTMENT COMP OF A B		75.00	3.75	0.00	3.75	90.0	3.38
12/20/2018	00083917584 CA/TOD	AMERICAN FUNDS TAX EXEMPT BD FD B		100.00	3.00	0.00	3.00	90.0	2.70
12/10/2018	00084133771 MAY, GREG	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	00084190074 JETTER MAAS, ANNE	CAPITAL WORLD GROWTH AND INCOME FUN B		300.00	3.00	0.00	3.00	90.0	2.70
12/10/2018	00084190074 JETTER MAAS, ANNE	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/10/2018	00084190074 JETTER MAAS, ANNE	THE GROWTH FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
12/10/2018	00084190074 JETTER MAAS, ANNE	THE INCOME FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/17/2018	00084221376 DAVIDSON, CASEY	CAPITAL WORLD GROWTH AND INCOME FUN B		300.00	3.00	0.00	3.00	90.0	2.70
12/17/2018	00084221790 DAVIDSON, DAVID	NEW PERSPECTIVE FUND-C	B	300.00	3.00	0.00	3.00	90.0	2.70
12/17/2018	00084601550 WILLIAMS, JAMES/KRISTI	EUROPACIFIC GROWTH FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	00084601550 WILLIAMS, JAMES/KRISTI	THE INVESTMENT COMPANY OF AMERICA-C B		208.33	2.08	0.00	2.08	90.0	1.87
12/17/2018	00084601580 WILLIAMS, KRISTI	AMERICAN MUTUAL FUND-C	B	208.33	2.08	0.00	2.08	90.0	1.87
12/17/2018	00084601580 WILLIAMS, KRISTI	NEW PERSPECTIVE FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/20/2018	00085271615 MORENO, CATHY	THE TAX-EXEMPT BOND FUND OF AMERICA B		600.00	6.00	0.00	6.00	90.0	5.40
12/20/2018	00085271615 MORENO, CATHY	WASHINGTON MUTUAL INVESTORS FUND-C B		500.00	5.00	0.00	5.00	90.0	4.50
12/21/2018	00085410460 CASEY, JAMES	WASHINGTON MUTUAL INVESTORS FUND-C B		50.00	0.50	0.00	0.50	90.0	0.45
12/21/2018	00085432976 CASEY, DEBORAH/REID	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/18/2018	00085451395 DEWEY, WILLIAM/SEAN C	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/17/2018	00088731582 HUCKABEE, JUSTIN L.	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	00088731582 HUCKABEE, JUSTIN L.	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	00088731619 HUCKABEE, JUSTIN L.	AMERICAN BALANCED FUND-C	B	150.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	00088731619 HUCKABEE, JUSTIN L.	CAPITAL INCOME BUILDER-C	B	150.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	00088731619 HUCKABEE, JUSTIN L.	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/18/2018	00089232668 BROWN, JAN A.	NEW WORLD FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/18/2018	00089232759 BROWN, JAN A.	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/14/2018	00089362890 ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN B		48.13	0.48	0.00	0.48	90.0	0.43
12/14/2018	00089362890 ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN B		80.21	0.80	0.00	0.80	90.0	0.72
12/14/2018	00089362940 COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
12/14/2018	00089362940 COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/14/2018	00089362944	COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN B	62.50	0.63	0.00	0.63	90.0	0.57	
12/14/2018	00089362944	COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN B	62.50	0.63	0.00	0.63	90.0	0.57	
12/17/2018	00089399899	TEEL, SHELLEY	CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089399921	TEEL, KEITH	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089416008	TEEL, KEITH/TAYLOR	AMERICAN FUNDS 2020 TARGET DATE-C	B	150.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	00089449523	HUCKABEE, ANDREW	CAPITAL WORLD GROWTH AND INCOME FUN B	150.00	1.50	0.00	1.50	90.0	1.35	
12/17/2018	00089449840	HUCKABEE, ANDREW	AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/17/2018	00089449840	HUCKABEE, ANDREW	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/14/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	43.68	0.44	0.00	0.44	90.0	0.40
12/14/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	43.68	0.44	0.00	0.44	90.0	0.40
12/14/2018	04000180091	MIDDLETON, CHRISTINA G.	THE INVESTMENT COMPANY OF AMERICA-C	B	48.75	0.49	0.00	0.49	90.0	0.44
12/14/2018	04000180091	MIDDLETON, CHRISTINA G.	THE INVESTMENT COMPANY OF AMERICA-C	B	48.75	0.49	0.00	0.49	90.0	0.44
12/20/2018	04000341905	MALOWITZ, KENDALL S.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/20/2018	04000341905	MALOWITZ, KENDALL S.	THE BOND FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/14/2018	04000752769	KITTRELL, PHYLLIS H.	AMERICAN FUNDS 2025 TARGET DATE-C	B	45.00	0.45	0.00	0.45	90.0	0.41
12/14/2018	04000752769	KITTRELL, PHYLLIS H.	AMERICAN FUNDS 2025 TARGET DATE-C	B	45.00	0.45	0.00	0.45	90.0	0.41
12/14/2018	04000752934	CLEAVER, MARYBETH	AMERICAN FUNDS 2030 TARGET DATE-C	B	56.25	0.56	0.00	0.56	90.0	0.50
12/14/2018	04000752934	CLEAVER, MARYBETH	AMERICAN FUNDS 2030 TARGET DATE-C	B	56.25	0.56	0.00	0.56	90.0	0.50
12/10/2018	04000850423	HAVIR, JESSICA	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
12/17/2018	04001030249	GONZALES, ANGELA	COLLEGE 2024 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
12/03/2018	07150180502	MALOWITZ, PAULA	PORTFOLIO 2017-2020C2	B	200.00	2.00	0.00	2.00	90.0	1.80
12/14/2018	2003811846	MAPES, GARY	-OPPENHEIMER FUNDS	B	25,200.00	250.20	0.00	250.20	90.0	225.18
12/03/2018	2270610610	MALOWITZ, LEE	OPPENHEIMER QUEST VALUE FD CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/03/2018	3322503809	PRINCE, JOSEPH	OPPENHEIMER GLOBAL FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/13/2018	5520701481	MALOWITZ, LEE	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	200.00	2.00	0.00	2.00	90.0	1.80
12/03/2018	5521025794	WALKER, DEBRA SAPENTER	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	573.03	5.73	0.00	5.73	90.0	5.16
12/03/2018	6840033000	SCZEPANIK, ROSEMARY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	336.05	3.36	0.00	3.36	90.0	3.02
12/03/2018	8821758801	PRINCE, JOSEPH	OPPENHEIMER INTL BD FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *				\$37,579.00	\$822.07	\$0.00	\$822.07		\$739.92	

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	561.06	561.06	0.00	561.06	90.0	504.95
		* STOCKS SUBTOTAL *		<u>\$561.06</u>	<u>\$561.06</u>	<u>\$0.00</u>	<u>\$561.06</u>		<u>\$504.95</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/10/2018	TAYLOR, PAUL	BRIGHTHOUSE FINANCIAL	B	100.00	7.00	0.00	7.00	90.0	6.30
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	B	161.43	161.43	0.00	161.43	90.0	145.29
		* VARIABLE ANNUITIES SUBTOTAL *		<u>\$261.43</u>	<u>\$168.43</u>	<u>\$0.00</u>	<u>\$168.43</u>		<u>\$151.59</u>
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
12/20/2018	DEWEY, WILLIAM S	NATIONWIDE LIFE	B	60.00	1.20	0.00	1.20	90.0	1.08
		* LIFE INSURANCE SUBTOTAL *		<u>\$60.00</u>	<u>\$1.20</u>	<u>\$0.00</u>	<u>\$1.20</u>		<u>\$1.08</u>
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	10361083 HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	162.66	0.00	162.66	90.0	146.39
10/11/2018	10573562 WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
10/11/2018	11834637 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	32.66	0.00	32.66	90.0	29.39
10/11/2018	14857073 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	95.66	0.00	95.66	90.0	86.09
10/11/2018	14971495 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	90.0	27.90
10/11/2018	17858706 FELDMAN, KELLI	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
10/11/2018	20666348 WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34	90.0	2.11
10/11/2018	23775274 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	316.34	0.00	316.34	90.0	284.71
10/11/2018	27183379 EKIN, DANIELLE A	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	90.0	3.91
10/11/2018	33340353 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	46.34	0.00	46.34	90.0	41.71
10/11/2018	34561240 PEACOCK, PAUL PHILLIP	SIGNAL SECURITIES RIA	B	0.00	144.66	0.00	144.66	90.0	130.19
10/11/2018	36092611 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
10/11/2018	36556970 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
10/11/2018	36984845 COZAD, CECIL CLAIRE	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
10/11/2018	39129908 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	90.0	8.41
10/11/2018	39690698 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34	90.0	2.11
10/11/2018	42685148 CHRISTY, HENRY S S.	SIGNAL SECURITIES RIA	B	0.00	72.34	0.00	72.34	90.0	65.11
10/11/2018	43439096 NIXON, MONTGOMERY L	SIGNAL SECURITIES RIA	B	0.00	94.34	0.00	94.34	90.0	84.91
10/11/2018	47317775 PRAHL, LUCAS M	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
10/11/2018	48661313 PEACOCK, PAUL PHILLIP	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
10/11/2018	52360698 HILL, G. LOUISE	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00	90.0	35.10
10/11/2018	52613757 CHRISTY, SUSAN E F.	SIGNAL SECURITIES RIA	B	0.00	207.66	0.00	207.66	90.0	186.89
10/11/2018	53398661 BECTON, MATTHEW & KIMBE	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
10/11/2018	54891002 MAPES, FRANKLYN &	SIGNAL SECURITIES RIA	B	0.00	433.00	0.00	433.00	90.0	389.70

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
LOLA									
10/11/2018	55503866 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
10/11/2018	55747835 EKin, DANIELLE A	SIGNAL SECURITIES RIA	B	0.00	47.66	0.00	47.66	90.0	42.89
10/11/2018	57111830 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	54.66	0.00	54.66	90.0	49.19
10/11/2018	58435559 FINKLESTEIN, ARIANA	SIGNAL SECURITIES RIA	B	0.00	61.66	0.00	61.66	90.0	55.49
10/11/2018	59744598 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	57.34	0.00	57.34	90.0	51.61
10/11/2018	60541134 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
10/11/2018	62289618 DAVIS, COLBY B	SIGNAL SECURITIES RIA	B	0.00	411.66	0.00	411.66	90.0	370.49
10/11/2018	62294907 PRAHL, LUCAS M	SIGNAL SECURITIES RIA	B	0.00	47.34	0.00	47.34	90.0	42.61
10/11/2018	62733687 NIXON, MONTGOMERY L	SIGNAL SECURITIES RIA	B	0.00	17.66	0.00	17.66	90.0	15.89
10/11/2018	62880041 JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	49.66	0.00	49.66	90.0	44.69
10/11/2018	62914964 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
10/11/2018	65747661 SHUPE, BRANDON	SIGNAL SECURITIES RIA	B	0.00	61.66	0.00	61.66	90.0	55.49
10/11/2018	65754641 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	67.34	0.00	67.34	90.0	60.61
10/11/2018	67599477 WORTHAM, JOHN L	SIGNAL SECURITIES RIA	B	0.00	152.66	0.00	152.66	90.0	137.39
10/11/2018	67756602 PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018	68845626 COZAD, JEREMY & CECIL	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
10/11/2018	70374311 WEEMS, JACQUELYN DENE	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
10/11/2018	72909748 HANKINS, POLLY S	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
10/11/2018	73189208 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	44.34	0.00	44.34	90.0	39.91
10/11/2018	73762487 HENSON, DONNA L	SIGNAL SECURITIES RIA	B	0.00	57.66	0.00	57.66	90.0	51.89
10/11/2018	79535353 FELDMAN, KELLI	SIGNAL SECURITIES RIA	B	0.00	616.00	0.00	616.00	90.0	554.40
10/11/2018	79577076 HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	81.34	0.00	81.34	90.0	73.21
10/11/2018	80622249 DEWEY, WILLIAM/MELISSA	SIGNAL SECURITIES RIA	B	0.00	144.34	0.00	144.34	90.0	129.91
10/11/2018	82832184 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	73.34	0.00	73.34	90.0	66.01
10/11/2018	83101852 JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	57.66	0.00	57.66	90.0	51.89
10/11/2018	83417118 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	90.0	3.29
10/11/2018	83650621 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66	90.0	8.69
10/11/2018	85536474 PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
10/11/2018	86144705 MAPES, GARY F	SIGNAL SECURITIES RIA	B	0.00	53.00	0.00	53.00	90.0	47.70
10/11/2018	87142193 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	51.34	0.00	51.34	90.0	46.21

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	90514205 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	90.0	0.31
10/11/2018	95387187 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
10/11/2018	95387200 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	1,029.00	0.00	1,029.00	90.0	926.10
10/11/2018	95387868 WOLVEN, MARY JANE	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
10/11/2018	95820819 CLARK, JOSEPH W	SIGNAL SECURITIES RIA	B	0.00	89.00	0.00	89.00	90.0	80.10
10/11/2018	97778550 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
10/11/2018	97866027 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	35.00	0.00	35.00	90.0	31.50
10/11/2018	98316873 EKIN, DANIELLE A	SIGNAL SECURITIES RIA	B	0.00	30.34	0.00	30.34	90.0	27.31
10/11/2018	98935151 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	242.66	0.00	242.66	90.0	218.39
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$5,895.34</u>	<u>\$0.00</u>	<u>\$5,895.34</u>		<u>\$5,305.81</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	1,242.72	0.00	1,242.72	90.0	1,118.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$1,242.72</u>	<u>\$0.00</u>	<u>\$1,242.72</u>		<u>\$1,118.52</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$38,461.49</u>	<u>\$8,690.82</u>	<u>\$0.00</u>	<u>\$8,690.82</u>		<u>\$7,821.87</u>
<u>ADJUSTMENTS</u>									
01/02/2019		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/02/2019		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-77.00
01/02/2019		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-8.15
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-212.65</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$7,821.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-212.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$7,609.22
Year-to-date Earnings	\$7,609.22