

COMMISSION STATEMENT

September 30, 2018

RANDY SMITH-D601

BROKER #: D601

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

09/21/2018	00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
* MUTUAL FUNDS SUBTOTAL *					\$50.00	\$0.50	\$0.00	\$0.50		\$0.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/06/2018	01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	28.95	0.00	28.95	20.0	5.79
09/06/2018	01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	86.85	0.00	86.85	20.0	17.37
09/06/2018	01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.75	0.00	2.75	20.0	0.55
09/06/2018	01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	8.26	0.00	8.26	20.0	1.65
09/06/2018	01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	31.51	0.00	31.51	20.0	6.30
09/06/2018	01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	94.53	0.00	94.53	20.0	18.91
09/06/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.45	0.00	0.45	20.0	0.09
09/06/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.34	0.00	1.34	20.0	0.27
09/06/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.39	0.00	0.39	20.0	0.08
09/06/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.18	0.00	1.18	20.0	0.24
09/06/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.16	0.00	1.16	20.0	0.23
09/06/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.49	0.00	3.49	20.0	0.70
09/06/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.58	0.00	10.58	20.0	2.12
09/06/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	31.73	0.00	31.73	20.0	6.35
09/06/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.64	0.00	6.64	20.0	1.33
09/06/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	19.91	0.00	19.91	20.0	3.98
09/06/2018	01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.88	0.00	2.88	20.0	0.58
09/06/2018	01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.63	0.00	8.63	20.0	1.73
09/06/2018	01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	6.52	0.00	6.52	20.0	1.30
09/06/2018	01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	19.55	0.00	19.55	20.0	3.91
09/06/2018	01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.65	0.00	2.65	20.0	0.53
09/06/2018	01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.94	0.00	7.94	20.0	1.59
09/06/2018	01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.36	0.00	11.36	20.0	2.27
09/06/2018	01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	34.07	0.00	34.07	20.0	6.81
09/06/2018	01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	3.09	0.00	3.09	20.0	0.62
09/06/2018	01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	9.27	0.00	9.27	20.0	1.85
09/06/2018	01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.29	0.00	2.29	20.0	0.46

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.87	0.00	6.87	20.0	1.37
09/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.18	0.00	1.18	20.0	0.24
09/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.54	0.00	3.54	20.0	0.71
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	3.15	0.00	3.15	20.0	0.63
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	9.44	0.00	9.44	20.0	1.89
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.21	0.00	3.21	20.0	0.64
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	9.62	0.00	9.62	20.0	1.92
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.24	0.00	3.24	20.0	0.65
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.72	0.00	9.72	20.0	1.94
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.97	0.00	2.97	20.0	0.59
09/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.91	0.00	8.91	20.0	1.78
09/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.41	0.00	7.41	20.0	1.48
09/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	22.22	0.00	22.22	20.0	4.44
09/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.08	0.00	3.08	20.0	0.62
09/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.25	0.00	9.25	20.0	1.85
09/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.52	0.00	2.52	20.0	0.50
09/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.56	0.00	7.56	20.0	1.51
09/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.53	0.00	2.53	20.0	0.51
09/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.59	0.00	7.59	20.0	1.52
09/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.82	0.00	18.82	20.0	3.76
09/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	56.47	0.00	56.47	20.0	11.29
09/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	23.96	0.00	23.96	20.0	4.79
09/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	71.87	0.00	71.87	20.0	14.37
09/06/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	6.16	0.00	6.16	20.0	1.23
09/06/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	18.49	0.00	18.49	20.0	3.70
09/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.38	0.00	2.38	20.0	0.48
09/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	7.14	0.00	7.14	20.0	1.43
09/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.54	0.00	4.54	20.0	0.91
09/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	13.61	0.00	13.61	20.0	2.72
09/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.93	0.00	2.93	20.0	0.59
09/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.78	0.00	8.78	20.0	1.76
09/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.67	0.00	21.67	20.0	4.33
09/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	65.02	0.00	65.02	20.0	13.00
09/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.56	0.00	9.56	20.0	1.91
09/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	28.67	0.00	28.67	20.0	5.73
09/06/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	1.02	0.00	1.02	20.0	0.20
09/06/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	3.06	0.00	3.06	20.0	0.61
09/06/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.14	0.00	1.14	20.0	0.23
09/06/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.43	0.00	3.43	20.0	0.69
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.19	0.00	2.19	20.0	0.44
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.58	0.00	6.58	20.0	1.32
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.51	0.00	3.51	20.0	0.70
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.52	0.00	10.52	20.0	2.10
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.92	0.00	1.92	20.0	0.38

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.76	0.00	5.76	20.0	1.15
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.67	0.00	4.67	20.0	0.93
09/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	14.00	0.00	14.00	20.0	2.80
09/06/2018 019000000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	25.57	0.00	25.57	20.0	5.11
09/06/2018 019000000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.93	0.00	13.93	20.0	2.79
09/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.96	0.00	1.96	20.0	0.39
09/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.88	0.00	5.88	20.0	1.18
09/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.76	0.00	4.76	20.0	0.95
09/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	14.28	0.00	14.28	20.0	2.86
09/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.35	0.00	2.35	20.0	0.47
09/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	7.05	0.00	7.05	20.0	1.41
09/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.82	0.00	1.82	20.0	0.36
09/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.45	0.00	5.45	20.0	1.09
09/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.57	0.00	2.57	20.0	0.51
09/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.70	0.00	7.70	20.0	1.54
09/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.11	0.00	1.11	20.0	0.22
09/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.32	0.00	3.32	20.0	0.66
09/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.30	0.00	1.30	20.0	0.26
09/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.89	0.00	3.89	20.0	0.78
09/06/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.85	0.00	3.85	20.0	0.77
09/06/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.55	0.00	11.55	20.0	2.31
09/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.32	0.00	4.32	20.0	0.86
09/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.97	0.00	12.97	20.0	2.59
09/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.65	0.00	5.65	20.0	1.13
09/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.96	0.00	16.96	20.0	3.39
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.74	0.00	1.74	20.0	0.35
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.22	0.00	5.22	20.0	1.04
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.85	0.00	1.85	20.0	0.37
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.54	0.00	5.54	20.0	1.11
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.15	0.00	2.15	20.0	0.43
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.45	0.00	6.45	20.0	1.29
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.17	0.00	2.17	20.0	0.43
09/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.52	0.00	6.52	20.0	1.30
09/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.71	0.00	8.71	20.0	1.74
09/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	26.13	0.00	26.13	20.0	5.23
09/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.38	0.00	6.38	20.0	1.28
09/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	19.15	0.00	19.15	20.0	3.83
09/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.08	0.00	6.08	20.0	1.22
09/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	18.24	0.00	18.24	20.0	3.65
09/06/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	47.06	0.00	47.06	20.0	9.41
09/06/2018 08183000145	CORBETT, JOHN	MFT COLUMBIA BALANCED FD CL A	B	0.00	3.57	0.00	3.57	20.0	0.71
09/06/2018 08537100283	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.86	0.00	1.86	20.0	0.37
09/06/2018 08537100284	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.35	0.00	4.35	20.0	0.87
09/06/2018 08537100298	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.46	0.00	1.46	20.0	0.29

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018 08537100304	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.26	0.00	1.26	20.0	0.25
09/06/2018 08537500120	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	3.46	0.00	3.46	20.0	0.69
09/06/2018 08537500142	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.71	0.00	0.71	20.0	0.14
09/06/2018 08537500143	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.19	0.00	1.19	20.0	0.24
09/06/2018 08537500296	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.23	0.00	2.23	20.0	0.45
09/06/2018 08537500318	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.49	0.00	1.49	20.0	0.30
09/06/2018 08537500319	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.75	0.00	1.75	20.0	0.35
09/06/2018 08896000069	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	2.51	0.00	2.51	20.0	0.50
09/06/2018 08981001058	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	4.36	0.00	4.36	20.0	0.87
09/06/2018 08981001059	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	2.15	0.00	2.15	20.0	0.43
09/06/2018 08981001063	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.02	0.00	1.02	20.0	0.20
09/06/2018 08981001064	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.74	0.00	1.74	20.0	0.35
09/06/2018 08981001068	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.35	0.00	3.35	20.0	0.67
09/06/2018 08981001070	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.41	0.00	3.41	20.0	0.68
09/06/2018 08981001071	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.70	0.00	0.70	20.0	0.14
09/06/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.75	0.00	1.75	20.0	0.35
09/06/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	18.85	0.00	18.85	20.0	3.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,364.65	\$0.00	\$1,364.65		\$272.88
*** DIRECT TRANSACTIONS TOTAL ***				\$50.00	\$1,365.15	\$0.00	\$1,365.15		\$272.98

BROKER COMMISSION TOTALS

Broker Transactions	\$272.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00