

Bill Payment Stub

Check Date:	12/7/2018
Check No.:	ACH
Check Amount:	9,697.50

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: REYNALDO BATISTA
3207 FITZGERALD DRIVE
MONTGOMERY, TX 77356

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
11/30/2018	Bill	COMMISSIONS	11,697.50	11,697.50		11,697.50
11/19/2018	Credit	ADVANCE	-2,000.00	-2,000.00		-2,000.00

COMMISSION STATEMENT November 30, 2018

 REYNALDO BATISTA-D367
 3207 Fitzgerald Drive

 BROKER #: D367
 BRANCH: D12

Montgomery, TX 77356

COMMISSION STATEMENT for REYNALDO BATISTA-D367

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/01/2018 308005066	GOZA, NANCY	MF AMERICAN BALANCED FUND-A	S	22,180.40	443.46	5.00	438.46	90.0	394.61
11/15/2018 338811526	HOUSTON, HOLLY	MF THE GROWTH FUND OF AMERICA-A	S	317.63	15.51	2.50	13.01	90.0	11.71
11/15/2018 338819910	HOUSTON, MASON REID	MF THE INVESTMENT COMPANY OF	S	105.20	5.14	2.50	2.64	90.0	2.38
11/15/2018 338826306	HOUSTON, DAVID	MF THE INVESTMENT COMPANY OF	S	317.63	15.51	2.50	13.01	90.0	11.71
11/14/2018 553240479	O'MALLEY, JOHN	MF AMERICAN BALANCED FUND-A	S	7,551.45	320.62	5.00	315.62	90.0	284.06
11/14/2018 553240479	O'MALLEY, JOHN	MF AMERICAN BALANCED FUND-A	S	-7,551.45	-320.62	-5.00	-315.62	90.0	-284.06
11/14/2018 553240479	O'MALLEY, JOHN	MF AMERICAN BALANCED FUND-A	S	7,551.45	282.90	5.00	277.90	90.0	250.11
11/14/2018 553240479	O'MALLEY, JOHN	MF THE GROWTH FUND OF AMERICA-A	S	15,098.45	641.58	5.00	636.58	90.0	572.92
11/14/2018 553240479	O'MALLEY, JOHN	MF THE GROWTH FUND OF AMERICA-A	S	-15,098.45	-641.58	-5.00	-636.58	90.0	-572.92
11/14/2018 553240479	O'MALLEY, JOHN	MF THE GROWTH FUND OF AMERICA-A	S	15,098.45	566.10	5.00	561.10	90.0	504.99
11/14/2018 553240479	O'MALLEY, JOHN	MF THE INVESTMENT COMPANY OF	S	11,322.45	481.10	5.00	476.10	90.0	428.49
11/14/2018 553240479	O'MALLEY, JOHN	MF THE INVESTMENT COMPANY OF	S	-11,322.45	-481.10	-5.00	-476.10	90.0	-428.49
11/14/2018 553240479	O'MALLEY, JOHN	MF THE INVESTMENT COMPANY OF	S	11,322.45	424.50	5.00	419.50	90.0	377.55
11/15/2018 553240479	O'MALLEY, JOHN	MF AMCAP FUND-A	S	15,102.45	566.06	5.00	561.06	90.0	504.95
11/15/2018 553240479	O'MALLEY, JOHN	MF EUROPACIFIC GROWTH FUND-A	S	11,327.45	424.50	5.00	419.50	90.0	377.55
11/15/2018 553240479	O'MALLEY, JOHN	MF FUNDAMENTAL INVESTORS-A	S	15,102.45	566.06	5.00	561.06	90.0	504.95
11/15/2018 676692502	SALAZAR, RUBEN	MF THE INVESTMENT COMPANY OF	S	165.80	7.92	2.50	5.42	90.0	4.88
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	MF AMERICAN BALANCED FUND-A	S	-50,836.35	0.00	5.00	-5.00	90.0	-4.50
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	MF THE INCOME FUND OF AMERICA-A	S	-13,704.88	0.00	5.00	-5.00	90.0	-4.50
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	MF VANGUARD VALUE INDEX FD	S	-28,169.83	0.00	5.00	-5.00	90.0	-4.50
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	MF VANGUARD WINDSOR FD II	S	-22,141.68	0.00	5.00	-5.00	90.0	-4.50
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	MF WASHINGTON MUTUAL INVESTORS	S	-17,513.00	0.00	5.00	-5.00	90.0	-4.50
11/05/2018 800401215	WISCHNEWSKY JR TOD, MF	THE GROWTH FUND OF AMERICA-A	S	-16,905.84	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-50,680.22	\$3,317.66	\$75.00	\$3,242.66		\$2,918.39
<u>PRODUCT CATEGORY: STOCKS</u>									
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	S ALIBABA GROUP HOLDING LTD	S	-7,710.77	125.69	15.00	110.69	90.0	99.62
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	S AT&T INC	B	18,510.58	274.97	15.00	259.97	90.0	233.97
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	S BAYER AG - SPONSORED ADR	B	10,982.65	180.20	15.00	165.20	90.0	148.68
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	S COLGATE PALMOLIVE CO	S	-27,412.69	349.86	15.00	334.86	90.0	301.37
11/27/2018 800399799	WISCHNEWSKY, ADOLPH	S DOMINION RESOURCES INC/VA NEW	B	22,496.49	325.15	15.00	310.15	90.0	279.14

COMMISSION STATEMENT for REYNALDO BATISTA-D367

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	ENTERPRISE PRODS PARTNERS L	B	15,920.11	242.36	15.00	227.36	90.0	204.62
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	GENERAL MILLS INCORPORATED	B	17,192.27	258.38	15.00	243.38	90.0	219.04
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	GLAXOSMITHKLINE PLC ADS	B	10,813.24	178.07	15.00	163.07	90.0	146.76
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	GOLDMAN SACHS BDC INC	B	14,909.69	229.64	15.00	214.64	90.0	193.18
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	HCP INC	B	11,832.95	190.90	15.00	175.90	90.0	158.31
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	HOLLY ENERGY PARTNERS L P ORDER	B	19,951.00	293.11	15.00	278.11	90.0	250.30
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	INVESCO LTD ISIN #BMG491BT1088	B	12,476.86	199.01	15.00	184.01	90.0	165.61
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	ISHARES CORE S&P MID-CAP ETF	S	-31,861.20	400.48	15.00	385.48	90.0	346.93
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	KIMCO REALTY CORP COM STK_(MD)	B	12,716.48	202.03	15.00	187.03	90.0	168.33
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	MFA FINANCIAL INC (FORMLY) MFA	B	14,446.05	223.80	15.00	208.80	90.0	187.92
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	NORBORD INC NEW ISIN	B	17,191.56	258.37	15.00	243.37	90.0	219.03
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	PHILLIPS 66 PARTNERS LP	B	19,360.12	285.67	15.00	270.67	90.0	243.60
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	PITNEY BOWES INCORPORATED	B	9,972.25	167.48	15.00	152.48	90.0	137.23
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	SABRA HEALTH CARE REIT INC	B	13,620.15	213.40	15.00	198.40	90.0	178.56
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	SPDR S&P DIVIDEND ETF	S	-29,640.98	375.21	15.00	360.21	90.0	324.19
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	STATE STREET CORP	S	-7,519.28	123.51	15.00	108.51	90.0	97.66
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	T-MOBILE US INC	S	-10,996.57	163.08	15.00	148.08	90.0	133.27
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	VANGUARD HIGH DVD YIELD ETF SHS	S	-31,852.83	400.38	15.00	385.38	90.0	346.84
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	WAL-MART STORES INC	B	7,746.70	139.46	15.00	124.46	90.0	112.01
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	WELLTOWER INC	B	7,080.65	131.08	15.00	116.08	90.0	104.47
11/27/2018 800399799	WISCHNEWSKY, ADOLPH S	WILLIAM SONOMA INC	B	16,950.68	255.33	15.00	240.33	90.0	216.30
11/28/2018 800399799	WISCHNEWSKY, ADOLPH S	MICROSOFT CORPORATION	B	8,407.73	147.78	15.00	132.78	90.0	119.50
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	ABBVIE INC	S	-8,145.33	130.63	15.00	115.63	90.0	104.07
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	AMAZON.COM INC	S	-31,842.27	400.26	15.00	385.26	90.0	346.73
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	APPLE INC	S	-25,597.41	329.21	15.00	314.21	90.0	282.79
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	CONSOLIDATED EDISON HOLDING CO.	S	-5,033.54	95.23	15.00	80.23	90.0	72.21
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	ENBRIDGE INC ISIN CA29250N1050	S	-9,905.15	150.66	15.00	135.66	90.0	122.09
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	FACEBOOK INC-CLASS A	S	-23,420.96	304.44	15.00	289.44	90.0	260.50
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	HCP INC	S	-4,825.97	92.87	15.00	77.87	90.0	70.08
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	HUNTINGTON BANCSHARES INC	S	-37,027.82	459.26	15.00	444.26	90.0	399.83
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	KELLOGG COMPANY	S	-4,662.25	91.00	15.00	76.00	90.0	68.40
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	KIRKLAND LAKE GOLD LTD ISIN	S	-5,901.40	105.10	15.00	90.10	90.0	81.09
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	MAGELLAN MIDSTREAM PARTNERS LP	S	-12,153.27	176.24	15.00	161.24	90.0	145.12
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	OAKTREE CAPITAL GROUP, LLC CL A	S	-4,959.10	94.38	15.00	79.38	90.0	71.44
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	ROYAL DUTCH SHELL PLC-SPONS ADR	S	-22,081.53	289.20	15.00	274.20	90.0	246.78
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	SANOFI-AVENTIS S A ADR FORMERLY	S	-2,795.60	69.76	15.00	54.76	90.0	49.28
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	SEAGATE TECHNOLOGY	S	-17,401.95	235.96	15.00	220.96	90.0	198.86
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	T-MOBILE US INC	S	-11,211.94	165.53	15.00	150.53	90.0	135.48
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	THE COCA-COLA COMPANY	S	-5,939.59	105.54	15.00	90.54	90.0	81.49
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	VERIZON COMMUNICATIONS INC	S	-14,288.74	200.53	15.00	185.53	90.0	166.98
11/05/2018 800401215	WISCHNEWSKY JR TOD, S	VISA INC-CLASS A SHRS	S	-55,781.99	672.65	15.00	657.65	90.0	591.89
* STOCKS SUBTOTAL *				\$-167,391.92	\$10,502.85	\$690.00	\$9,812.85		\$8,831.55

COMMISSION STATEMENT for REYNALDO BATISTA-D367

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
11/02/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 308005066	S	0.00	5.00	0.00	5.00 90.0 4.50
11/06/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800401215	S	0.00	5.00	0.00	5.00 90.0 4.50
11/15/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553240479	S	0.00	5.00	0.00	5.00 90.0 4.50
11/16/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553240479	S	0.00	5.00	0.00	5.00 90.0 4.50
11/16/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553240479	S	0.00	5.00	0.00	5.00 90.0 4.50
11/16/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 338811526	S	0.00	5.00	0.00	5.00 90.0 4.50
11/16/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553240479	S	0.00	5.00	0.00	5.00 90.0 4.50
11/16/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 338826306	S	0.00	5.00	0.00	5.00 90.0 4.50
11/16/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 676692502	S	0.00	5.00	0.00	5.00 90.0 4.50
11/19/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553240479	S	0.00	-5.00	0.00	-5.00 90.0 -4.50
11/20/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553240479	S	0.00	5.00	0.00	5.00 90.0 4.50
11/28/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
11/28/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
11/28/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
11/28/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
11/28/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00 90.0 4.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$70.00	\$0.00	\$70.00		\$63.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc073300	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	0.11	0.00	0.11 90.0 0.10
11/21/2018	mfc073300	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	2.35	0.00	2.35 90.0 2.12
11/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.25	0.00	1.25 90.0 1.13
11/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.60	0.00	1.60 90.0 1.44
11/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.00	0.00	3.00 90.0 2.70
11/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.96	0.00	5.96 90.0 5.36
11/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	10.92	0.00	10.92 90.0 9.83
11/21/2018	mfc073300	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.42	0.00	4.42 90.0 3.98
11/21/2018	mfc073300	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.88	0.00	4.88 90.0 4.39
11/21/2018	mfc073300	VARIOUS,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	1.82	0.00	1.82 90.0 1.64
11/21/2018	mfc073300	VARIOUS,	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	2.42	0.00	2.42 90.0 2.18
11/21/2018	mfc073300	VARIOUS,	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	2.61	0.00	2.61 90.0 2.35
11/21/2018	mfc073300	VARIOUS,	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.65	0.00	0.65 90.0 0.59
11/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.07	0.00	0.07 90.0 0.06
11/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.09	0.00	0.09 90.0 0.08
11/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.92	0.00	0.92 90.0 0.83
11/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	3.04	0.00	3.04 90.0 2.74
11/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67 90.0 3.30
11/21/2018	mfc073300	VARIOUS,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	3.91	0.00	3.91 90.0 3.52
11/21/2018	mfc073300	VARIOUS,	MFT	THE HARTFORD CAPITAL	B	0.00	6.89	0.00	6.89 90.0 6.20
11/21/2018	mfc073300	VARIOUS,	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	2.93	0.00	2.93 90.0 2.64
11/21/2018	mfc073300	VARIOUS,	MFT	THE INVESTMENT COMPANY OF	B	0.00	0.01	0.00	0.01 90.0 0.01
11/21/2018	mfc073300	VARIOUS,	MFT	THE INVESTMENT COMPANY OF	B	0.00	0.02	0.00	0.02 90.0 0.02
11/21/2018	mfc073300	VARIOUS,	MFT	THE INVESTMENT COMPANY OF	B	0.00	0.10	0.00	0.10 90.0 0.09

COMMISSION STATEMENT for REYNALDO BATISTA-D367

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.63	0.00	1.63	90.0	1.47
11/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	2.26	0.00	2.26	90.0	2.03
11/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	2.88	0.00	2.88	90.0	2.59
11/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	3.10	0.00	3.10	90.0	2.79
11/21/2018	mfc073300 VARIOUS,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.76	0.00	3.76	90.0	3.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$77.27	\$0.00	\$77.27		\$69.56
*** CLEARING TRANSACTIONS TOTAL ***				\$-218,072.14	\$13,967.78	\$765.00	\$13,202.78		\$11,882.50

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

11/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,882.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11,697.50
Year-to-date Commissions	\$27,681.08