



COMMISSION STATEMENT

September 17, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/13/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 33 Units @30.1000	1,000.01	25.00	9.00	16.00	90.0	14.40
09/13/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV HEALTH CARE-CL A BUY 18 Units @56.4400	1,000.00	25.00	9.00	16.00	90.0	14.40
09/13/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV TECHNOLOGY CL A BUY 15 Units @66.6700	999.98	25.00	9.00	16.00	90.0	14.40
09/13/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADVISOR EMERGING ASIA FUND BUY 26 Units @39.1400	999.99	25.00	9.00	16.00	90.0	14.40
09/13/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADVISOR FREEDOM 2040 BUY 60 Units @16.6200	999.99	25.00	9.00	16.00	90.0	14.40
09/10/2018	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 86 Units @31.5600	2,699.99	13.50	9.00	4.50	90.0	4.05
* MUTUAL FUNDS SUBTOTAL *			\$7,699.96	\$138.50	\$54.00	\$84.50		\$76.05
<u>PRODUCT CATEGORY: STOCKS</u>								
09/13/2018	***-**-018I - WATSON, BURTON ACCOUNT #: LSR105902	APPLE INC UNSOLICITED ORDER SELL 15 Units @225.3330	3,380.00	27.00	9.00	18.00	90.0	16.20
09/13/2018	***-**-018I - WATSON, BURTON ACCOUNT #: LSR105902	HOME DEPOT INC COM SELL 30 Units @209.6500	6,289.50	27.00	7.80	19.20	90.0	17.28
09/13/2018	***-**-018I - WATSON, BURTON ACCOUNT #: LSR105902	PROSHARES ULTRA S&P 500 PROSHARES U SELL 85 Units @126.2120	10,728.02	27.00	8.35	18.65	90.0	16.79
* STOCKS SUBTOTAL *			\$20,397.52	\$81.00	\$25.15	\$55.85		\$50.27
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/10/2018	***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820	LINCOLN FIN VA 533786166 BUY 0 Units @0.0000	1,611.99	80.60	0.00	80.60	90.0	72.54
09/10/2018	***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820	LINCOLN FIN VA 533786166 BUY 0 Units @0.0000	1,611.99	80.60	0.00	80.60	90.0	72.54
09/10/2018	***-**-645I - KUMAR, SURESH ACCOUNT #: VP66002730	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	600.00	6.00	0.00	6.00	90.0	5.40
09/12/2018	***-**-809I - YANKILEVICH, ACCOUNT #: VP65924490	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	350.00	3.50	0.00	3.50	90.0	3.15
* VARIABLE ANNUITIES SUBTOTAL *			\$4,173.98	\$170.70	\$0.00	\$170.70		\$153.63



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
09/13/2018	***-**-018I - WATSON, BURTON	AGNC INVT CORP COM UNSOLICITED ORDE	4,167.02	41.50	12.00	29.50	90.0	26.55
	ACCOUNT #: LSR105902	SELL 220 Units @18.9410						
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$4,167.02	\$41.50	\$12.00	\$29.50		\$26.55
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 00700773088	BUY 18 Units @27.9400						
		* 529 PLAN SUBTOTAL *	\$500.00	\$7.50	\$0.00	\$7.50		\$6.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/04/2018	***-**-2211 - RUBIN, EMILY	AMERICAN BALANCED FUND-A	0.00	1.99	0.00	1.99	90.0	1.79
	ACCOUNT #: 00085946088	BUY 0 Units @0.0000						
09/04/2018	***-**-0848 - RUBIN, ADELYN	AMERICAN BALANCED FUND-A	0.00	1.99	0.00	1.99	90.0	1.79
	ACCOUNT #: 00085946132	BUY 0 Units @0.0000						
09/04/2018	***-**-535P - RUBIN, LEA	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.80	0.00	0.80	90.0	0.72
	ACCOUNT #: 00087969731	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4.78	\$0.00	\$4.78		\$4.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/09/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	0.00	7.18	0.00	7.18	90.0	6.46
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$7.18	\$0.00	\$7.18		\$6.46
		*** BROKER TRANSACTIONS TOTAL ***	\$36,938.48	\$451.16	\$91.15	\$360.01		\$324.01

SPLIT TRANSACTIONS

BROKER: RICHARD PASCALE

09/10/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN MID-CAP GRO A	2,029.99	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR010074	SELL 150 Units @13.5500						
09/04/2018	***-**-1696 - GUZMAN, JOSE	AMERICAN BALANCED FUND-A	0.00	1.74	0.00	1.74	90.0	1.57
	ACCOUNT #: 00075812789	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
09/04/2018	***-**-381J - DELBENE, ACCOUNT #: 00084940218	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	90.0	0.95
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.16	0.00	1.16	90.0	1.04
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	0.80	0.00	0.80	90.0	0.72
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	90.0	0.31
09/04/2018	***-**-381J - DELBENE, ACCOUNT #: 00084940218	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.04	0.00	2.04	90.0	1.84
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.65	0.00	1.65	90.0	1.49
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	90.0	0.63
09/05/2018	***-**-7975 - VAGLIO, JOSEPH ACCOUNT #: 00701694614	FA 529 COLLEGE PORT-CL C BUY 16 Units @15.3400	250.00	1.25	0.00	1.25	90.0	1.13
09/04/2018	***-**-381J - DELBENE, ACCOUNT #: 00084940218	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	0.97	0.00	0.97	90.0	0.87
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	90.0	0.96
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	90.0	0.41
09/08/2018	***-**-0205 - RESK, 10 ACCOUNT #: VP71054550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	9.00	0.09	0.00	0.09	90.0	0.08
09/08/2018	***-**-0205 - RESK, 10 ACCOUNT #: VP71054550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	91.00	0.91	0.00	0.91	90.0	0.82
09/11/2018	***-**-285P - MORMINO, STEVE ACCOUNT #: VP71053490	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	181.67	1.82	0.00	1.82	90.0	1.64
09/11/2018	***-**-5089 - LEVINSON, ROBERT ACCOUNT #: VP71054510	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	0.00	10.55	0.00	10.55	90.0	9.50



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
09/11/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	0.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
09/11/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	0.00	9.04	0.00	9.04	90.0	8.14
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
09/12/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
09/14/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	1,000.00	15.00	0.00	15.00	90.0	13.50
	ACCOUNT #: VP71054510	BUY 0 Units @0.0000						
09/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
09/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
09/04/2018	***-**-381J - DELBENE,	TAX-EXEMPT BOND FUND OF AMERICA	0.00	1.53	0.00	1.53	90.0	1.38
	ACCOUNT #: 00084940218	BUY 0 Units @0.0000						
09/04/2018	***-**-381I - DELBENE,	THE BOND FUND OF AMERICA-A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
09/04/2018	***-**-95IR - DELBENE, DONALD	THE BOND FUND OF AMERICA-A	0.00	0.25	0.00	0.25	90.0	0.23
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						
09/04/2018	***-**-1696 - GUZMAN, JOSE	THE GROWTH FUND OF AMERICA-A	0.00	2.12	0.00	2.12	90.0	1.91
	ACCOUNT #: 00075812789	BUY 0 Units @0.0000						
09/04/2018	***-**-971I - MEEHAN, JULIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.42	0.00	2.42	90.0	2.18
	ACCOUNT #: 00073631852	BUY 0 Units @0.0000						
* RICHARD PASCALE SUBTOTAL *			\$4,321.66	\$77.06	\$9.00	\$68.06		\$61.30
*** SPLIT TRANSACTIONS TOTAL ***			\$4,321.66	\$77.06	\$9.00	\$68.06		\$61.30



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$324.01
						Split Transactions		\$61.30
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$385.31
						Year-to-date Earnings		\$48,625.13