



COMMISSION STATEMENT

October 08, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2018	***-**-459I - MONSON, DAVID	CAPITAL APPRECIATION A	0.00	2.83	0.00	2.83	80.0	2.26
	ACCOUNT #: 03209641225	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	DISCOVERY FUND N	0.00	9.98	0.00	9.98	80.0	7.98
	ACCOUNT #: 05030064900	BUY 0 Units @0.0000						
09/30/2018	***-**-595P - MONSON, DAVID	EQUITY INCOME FUND, INC. A	0.00	8.24	0.00	8.24	80.0	6.59
	ACCOUNT #: 08353042325	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	GLOBAL OPPORTUNITIES A	0.00	9.78	0.00	9.78	80.0	7.82
	ACCOUNT #: 02157358560	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL BOND A	0.00	1.95	0.00	1.95	80.0	1.56
	ACCOUNT #: 08810284076	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL BOND FUND N	0.00	1.40	0.00	1.40	80.0	1.12
	ACCOUNT #: 08790188760	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL GROWTH FUND A	0.00	1.57	0.00	1.57	80.0	1.26
	ACCOUNT #: 08259643414	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL SMALL COMPANY FUND N	0.00	24.25	0.00	24.25	80.0	19.40
	ACCOUNT #: 08180086526	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	MAIN STREET FUND N	0.00	4.91	0.00	4.91	80.0	3.93
	ACCOUNT #: 07030194524	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	MAIN STREET SMALL CAP FUND N	0.00	10.42	0.00	10.42	80.0	8.34
	ACCOUNT #: 08510229768	BUY 0 Units @0.0000						
09/30/2018	***-**-915P - BECKER, HILDA	OPP ROCHSTR SH TRM MUNI FND A	0.00	63.16	0.00	63.16	80.0	50.53
	ACCOUNT #: 06210059488	BUY 0 Units @0.0000						
09/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: 07860596762	BUY 0 Units @0.0000						
09/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER DISCOVERY CLASS A	0.00	4.96	0.00	4.96	80.0	3.97
	ACCOUNT #: 05005888982	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	OPPENHEIMER GOLD & SPC MINERALS FUN	0.00	3.46	0.00	3.46	80.0	2.77
	ACCOUNT #: 04130157542	BUY 0 Units @0.0000						
09/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	2.04	0.00	2.04	80.0	1.63
	ACCOUNT #: 02306892999	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	2.03	0.00	2.03	80.0	1.62
	ACCOUNT #: 02203614732	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
09/30/2018	***-**-196I - BRESIN, JANET	PORT SERIES: EQUITY INV FD A	0.00	34.34	0.00	34.34	80.0	27.47
	ACCOUNT #: 05550336127	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	QUEST BALANCED A	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 02576958699	BUY 0 Units @0.0000						
09/30/2018	***-**-595P - MONSON, DAVID	REAL ESTATE FUND A	0.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 05901279091	BUY 0 Units @0.0000						
09/30/2018	***-**-459I - MONSON, DAVID	REAL ESTATE FUND N	0.00	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 05930110968	BUY 0 Units @0.0000						
09/30/2018	***-**-13IN - CLEMENT, SANDRA	ROCHESTER FUND MUNICIPALS A	0.00	4.22	0.00	4.22	80.0	3.38
	ACCOUNT #: 03652981220	BUY 0 Units @0.0000						
09/30/2018	***-**-196J - TEN WROS NOT TC,	ROCHESTER FUND MUNICIPALS A	0.00	1.15	0.00	1.15	80.0	0.92
	ACCOUNT #: 03653211373	BUY 0 Units @0.0000						
09/30/2018	***-**-7195 - SHARON M	ROCHESTER FUND MUNICIPALS A	0.00	9.57	0.00	9.57	80.0	7.66
	ACCOUNT #: 03653214959	BUY 0 Units @0.0000						
09/30/2018	***-**-7197 - LYNN M ABRAHAM,	ROCHESTER FUND MUNICIPALS A	0.00	9.57	0.00	9.57	80.0	7.66
	ACCOUNT #: 03653225497	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$215.72	\$0.00	\$215.72		\$172.59
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2018	***-**-196I - BRESIN, JANET	AS ADVISORS PLAN III	0.00	104.03	0.00	104.03	80.0	83.22
	ACCOUNT #: E0558828	BUY 0 Units @0.0000						
09/30/2018	***-**-196I - BRESIN, JANET	AS ADVISORS PLAN III	0.00	104.03	0.00	104.03	80.0	83.22
	ACCOUNT #: E0558828	BUY 0 Units @0.0000						
09/30/2018	***-**-910I - CLEMENT, JAMES	AS ADVISORS PLAN III	0.00	140.39	0.00	140.39	80.0	112.31
	ACCOUNT #: E0599491	BUY 0 Units @0.0000						
09/30/2018	***-**-910I - CLEMENT, JAMES	AS ADVISORS PLAN III	0.00	140.39	0.00	140.39	80.0	112.31
	ACCOUNT #: E0599491	BUY 0 Units @0.0000						
09/30/2018	***-**-892I - STERN, ROBERT	AS ADVISORS PLAN III	0.00	492.57	0.00	492.57	80.0	394.06
	ACCOUNT #: E0879974	BUY 0 Units @0.0000						
09/30/2018	***-**-892I - STERN, ROBERT	AS ADVISORS PLAN III	0.00	492.57	0.00	492.57	80.0	394.06
	ACCOUNT #: E0879974	BUY 0 Units @0.0000						
09/30/2018	***-**-54IN - MARSJANIK,	AS ADVISORS PLAN III	0.00	390.24	0.00	390.24	80.0	312.19
	ACCOUNT #: E0892522	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
09/30/2018	***-**-54IN - MARSJANIK, ACCOUNT #: E0892522	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	390.24	0.00	390.24	80.0	312.19
09/30/2018	***-**-910I - CLEMENT, JAMES ACCOUNT #: E0897411	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	108.86	0.00	108.86	80.0	87.09
09/30/2018	***-**-910I - CLEMENT, JAMES ACCOUNT #: E0897411	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	108.86	0.00	108.86	80.0	87.09
09/30/2018	***-**-513I - CLEMENT, SANDRA ACCOUNT #: E0894754	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	28.35	0.00	28.35	80.0	22.68
09/30/2018	***-**-513I - CLEMENT, SANDRA ACCOUNT #: E0894754	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	28.35	0.00	28.35	80.0	22.68
09/30/2018	***-**-180I - HALM, MATILDA ACCOUNT #: 029010125A	JACKSON 468511654 BUY 0 Units @0.0000	0.00	41.86	0.00	41.86	80.0	33.49
09/30/2018	***-**-939I - BRESIN, HOWARD ACCOUNT #: E1737618	PRUDENTIAL VA 74430T119 BUY 0 Units @0.0000	0.00	843.36	0.00	843.36	80.0	674.69
09/30/2018	***-**-13IN - CLEMENT, SANDRA ACCOUNT #: E0612770	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	32.45	0.00	32.45	80.0	25.96
09/30/2018	***-**-13IN - CLEMENT, SANDRA ACCOUNT #: E0612770	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	32.45	0.00	32.45	80.0	25.96
09/30/2018	***-**-513I - CLEMENT, SANDRA ACCOUNT #: E0612940	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	100.19	0.00	100.19	80.0	80.15
09/30/2018	***-**-513I - CLEMENT, SANDRA ACCOUNT #: E0612940	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	100.19	0.00	100.19	80.0	80.15
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,679.38	\$0.00	\$3,679.38		\$2,943.50
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$3,895.10	\$0.00	\$3,895.10		\$3,116.09



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$3,116.09
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$3,116.09
Year-to-date Earnings								\$43,447.00