

# COMMISSION STATEMENT

August 31, 2018

RIX SMITH-D063

 9000 Highway 2147 West  
 Suite 201  
 Horseshoe Bay, TX 78657

BROKER #: D063

BRANCH: DMA

## COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
08/17/2018 646122448	ROSS, PATRICIA	S PACCAR INC	S	-13,372.38	25.00	15.00	10.00	48.0	4.80
08/23/2018 646246880	SMITH, RIX	S MCDERMOTT INTL INC	B	661.61	15.00	15.00	0.00	48.0	0.00
07/31/2018 676349914	SMITH TTEE, RIX	S VERIZON COMMUNICATIONS INC	S	-5,191.88	15.00	15.00	0.00	48.0	0.00
08/01/2018 676349914	SMITH TTEE, RIX	S DOMINION RESOURCES INC/VA NEW	B	1,781.18	15.00	15.00	0.00	48.0	0.00
08/03/2018 676349914	SMITH TTEE, RIX	S ENBRIDGE INC ISIN CA29250N1050	S	-3,523.24	15.00	15.00	0.00	48.0	0.00
08/06/2018 676349914	SMITH TTEE, RIX	S NEWELL BRANDS, INC FORMERLY	B	2,339.95	15.00	15.00	0.00	48.0	0.00
08/07/2018 676349914	SMITH TTEE, RIX	S SEMGROUP CORP-CLASS A	B	2,579.95	15.00	15.00	0.00	48.0	0.00
08/21/2018 676349914	SMITH TTEE, RIX	S NOBLE ENERGY INC	B	2,891.35	15.00	15.00	0.00	48.0	0.00
08/22/2018 676349914	SMITH TTEE, RIX	S APACHE CORPORATION	B	4,345.44	15.00	15.00	0.00	48.0	0.00
08/22/2018 676349914	SMITH TTEE, RIX	S BED BATH & BEYOND INC	B	3,729.43	15.00	15.00	0.00	48.0	0.00
08/22/2018 676349914	SMITH TTEE, RIX	S FIRST TRUST ISE GLOBAL EMGIN	S	-5,516.49	15.00	15.00	0.00	48.0	0.00
08/22/2018 676349914	SMITH TTEE, RIX	S GENERAL ELECTRIC COMPANY	S	-3,732.77	15.00	15.00	0.00	48.0	0.00
08/01/2018 677511653	SMITH, DEBORAH	S WELLTOWER INC	B	6,275.45	15.00	15.00	0.00	48.0	0.00
* STOCKS SUBTOTAL *				<b>\$-6,732.40</b>	<b>\$205.00</b>	<b>\$195.00</b>	<b>\$10.00</b>		<b>\$4.80</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
08/23/2018 338752694	HOGAN-SCHNEIDER	B SAN MARCOS TEX 3%	B	50,052.45	250.00	15.00	235.00	48.0	112.80
08/29/2018 676349914	SMITH TTEE, RIX	B KILLEEN TEX GO REF BDS 2016	B	37,233.47	15.05	15.00	0.05	48.0	0.02
* BONDS SUBTOTAL *				<b>\$87,285.92</b>	<b>\$265.05</b>	<b>\$30.00</b>	<b>\$235.05</b>		<b>\$112.82</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/21/2018 mfc595454	SMITH RIX 595454	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.03	0.00	1.03	48.0	0.49
08/21/2018 mfc595454	SMITH RIX 595454	MFT LORD ABBETT SHORT DURATION	B	0.00	3.09	0.00	3.09	48.0	1.48
08/21/2018 mfc595454	SMITH RIX 595454	MFT TEMP FRGN FND - CL A	B	0.00	3.51	0.00	3.51	48.0	1.68
08/21/2018 mfc595454	SMITH RIX 595454	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.36	0.00	6.36	48.0	3.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<b>\$0.00</b>	<b>\$13.99</b>	<b>\$0.00</b>	<b>\$13.99</b>		<b>\$6.70</b>
*** CLEARING TRANSACTIONS TOTAL ***				<b>\$80,553.52</b>	<b>\$484.04</b>	<b>\$225.00</b>	<b>\$259.04</b>		<b>\$124.32</b>

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RIX/RANDY SMITH/SMITH-D081 #80										
08/08/2018	676356642	SMITH, RANDALL	S	QEP RESOURCES INC	S	-2,989.27	12.50	7.50	5.00	2.40
08/06/2018	676365887	SMITH, RANDALL	S	AT&T INC	B	4,865.08	17.50	7.50	10.00	4.80
08/23/2018	799051949	WARREN (TOD), JANET	S	TELLURIAN INC	B	429.68	17.50	7.50	10.00	4.80
08/20/2018	492642424	MCELROY TTEE, LETHA	CD	CITIBANK NATL ASSN SIOUX FALLS	B	25,000.00	67.50	10.00	57.50	27.60
08/09/2018	676356642	SMITH, RANDALL	CD	HINGHAM INSTN SVGS MA	B	100,000.00	21.25	10.00	11.25	5.40
08/21/2018	mfc913901	SMITH 913901		MFT CAPITAL INCOME BUILDER-A	B	0.00	3.35	0.00	3.35	1.61
08/21/2018	mfc913901	SMITH 913901		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.10	0.00	4.10	1.97
08/21/2018	mfc913901	SMITH 913901		MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.11	0.00	6.11	2.93
08/21/2018	mfc913901	SMITH 913901		MFT GLOBAL BALANCED FUND-A	B	0.00	8.85	0.00	8.85	4.25
08/21/2018	mfc913901	SMITH 913901		MFT INTERNATIONAL GROWTH AND	B	0.00	9.03	0.00	9.03	4.33
08/21/2018	mfc913901	SMITH 913901		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.63	0.00	1.63	0.78
				* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *		\$127,305.49	\$169.32	\$42.50	\$126.82	\$60.87
				*** SPLIT TRANSACTIONS TOTAL ***		\$127,305.49	\$169.32	\$42.50	\$126.82	\$60.87

**ADJUSTMENTS TRANSACTIONS****BROKER: #0**

08/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	738.53
	* SUBTOTAL *	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$738.53</u>
	*** ADJUSTMENTS TRANSACTIONS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$738.53</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$124.32
Split Transactions	\$60.87
Override Transactions	\$0.00
Adjustments	\$738.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$923.72</b>
<b>Year-to-date Commissions</b>	<b>\$13,818.81</b>