All Companies

COMMISSION STATEMENT

November 30, 2018

CHIP KLEINBROOK-D037 2817 South Milford Road Suite 101

Highland, MI 48357

BROKER #: D037 BRANCH: DMN

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		CLEARIN	IG TRAN	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS								
11/28/2018 2	77269323 FAGELMAN, JERRY	MF FRANKLIN MI TAX FR INCOME I	FUND S	2,502.45	25.00	2.50	22.50	80.0	18.00
11/14/2018 3	68296447 MITCHELL, NANCY	MF FIDELITY ADV INVESTMENT GRA	ADE S	-6,270.13	0.00	5.00	-5.00	80.0	-4.00
11/14/2018 3	68296447 MITCHELL, NANCY	MF FIDELITY ADVISOR FUNDS	В	-17,987.55	0.00	5.00	-5.00	80.0	-4.00
11/01/2018 3	68435008 KLEINBROOK, ROBERT	MF FIDELITY ADVISOR FUNDS	В	-497.55	0.00	5.00	-5.00	80.0	-4.00
10/31/2018 4	61851078 LEWIS, JEAN	MF PUTNAM TAX EXEMPT INCM C	В	-3,577.55	0.00	5.00	-5.00	80.0	-4.00
11/28/2018 6	46417736 KROLL, RICHARD	MF NUVEEN MI MUNI BD C	S	9,902.45	99.00	2.50	96.50	80.0	77.20
		* MUTUAL FUNDS SUBT	OTAL *	\$-15,927.88	\$124.00	\$25.00	\$99.00		\$79.20
PRODUCT CA	TEGORY: MISCELLANEOUS								
11/01/2018 a	03587889 KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 46185	1078 S	0.00	20.00	0.00	20.00	80.0	16.00
11/15/2018 a	03587889 KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 36829	6447 S	0.00	10.00	0.00	10.00	80.0	8.00
11/15/2018 a	03587889 KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 36829	6447 S	0.00	10.00	0.00	10.00	80.0	8.00
		* MISCELLANEOUS SUBT	OTAL *	\$0.00	\$40.00	\$0.00	\$40.00		\$32.00
PRODUCT CA	TEGORY: MUTUAL FUND 12B1	TRAILS							
11/08/2018 m	fc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR MULTI-ASSI	ET B	0.00	6.40	0.00	6.40	80.0	5.12
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT AB BALANCED WEALTH STRATEGY	У А В	0.00	8.72	0.00	8.72	80.0	6.98
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT AB HIGH INCOME FD C	В	0.00	19.81	0.00	19.81	80.0	15.85
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT AB WEALTH PRES STRAT FD C	В	0.00	51.14	0.00	51.14	80.0	40.91
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FI	D C B	0.00	31.38	0.00	31.38	80.0	25.10
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FI	D C B	0.00	62.75	0.00	62.75	80.0	50.20
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.77	0.00	0.77	80.0	0.62
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.87	0.00	0.87	80.0	0.70
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	1.49	0.00	1.49	80.0	1.19
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	11.16	0.00	11.16	80.0	8.93
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH A	В	0.00	1.97	0.00	1.97	80.0	1.58
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT	INC B	0.00	15.36	0.00	15.36	80.0	12.29
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT	INC B	0.00	1.33	0.00	1.33	80.0	1.06
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT	INC B	0.00	2.68	0.00	2.68	80.0	2.14
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CA	Р В	0.00	2.07	0.00	2.07	80.0	1.66
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CA	р в	0.00	3.66	0.00	3.66	80.0	2.93
11/21/2018 m	fc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	В	0.00	2.16	0.00	2.16	80.0	1.73

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	В	0.00	14.71	0.00	14.71	80.0	11.77
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	72.64	0.00	72.64	80.0	58.11
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO	АВ	0.00	1.65	0.00	1.65	80.0	1.32
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE	В	0.00	0.55	0.00	0.55	80.0	0.44
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	В	0.00	0.32	0.00	0.32	80.0	0.26
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	В	0.00	1.28	0.00	1.28	80.0	1.02
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	В	0.00	8.28	0.00	8.28	80.0	6.62
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	В	0.00	15.17	0.00	15.17	80.0	12.14
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	В	0.00	30.33	0.00	30.33	80.0	24.26
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	В	0.00	5.95	0.00	5.95	80.0	4.76
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	В	0.00	1.10	0.00	1.10	80.0	0.88
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	В	0.00	2.28	0.00	2.28	80.0	1.82
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	В	0.00	7.00	0.00	7.00	80.0	5.60
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	В	0.00	4.24	0.00	4.24	80.0	3.39
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	В	0.00	5.58	0.00	5.58	80.0	4.46
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	В	0.00	5.59	0.00	5.59	80.0	4.47
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	В	0.00	6.49	0.00	6.49	80.0	5.19
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD C	LВ	0.00	33.30	0.00	33.30	80.0	26.64
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	25.29	0.00	25.29	80.0	20.23
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	32.97	0.00	32.97	80.0	26.38
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	69.33	0.00	69.33	80.0	55.46
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	В	0.00	0.87	0.00	0.87	80.0	0.70
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	В	0.00	14.56	0.00	14.56	80.0	11.65
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	В	0.00	37.11	0.00	37.11	80.0	29.69
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	В	0.00	5.59	0.00	5.59	80.0	4.47
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	В	0.00	1.06	0.00	1.06	80.0	0.85
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL	ТВ	0.00	1.26	0.00	1.26	80.0	1.01
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	В	0.00	19.43	0.00	19.43	80.0	15.54
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND F	DВ	0.00	3.51	0.00	3.51	80.0	2.81
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND F	DВ	0.00	9.05	0.00	9.05	80.0	7.24
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	1.21	0.00	1.21	80.0	0.97
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	12.13	0.00	12.13	80.0	9.70
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	20.22	0.00	20.22	80.0	16.18
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70	% B	0.00	4.25	0.00	4.25	80.0	3.40
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70	% B	0.00	21.57	0.00	21.57	80.0	17.26
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	В	0.00	23.81	0.00	23.81	80.0	19.05
		KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	В	0.00	37.59	0.00	37.59		30.07
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	В	0.00	23.95	0.00	23.95	80.0	19.16
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	В	0.00	75.05	0.00	75.05	80.0	60.04
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	В	0.00	3.80	0.00	3.80	80.0	3.04
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	В	0.00	31.36	0.00	31.36		25.09
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	В	0.00	70.17	0.00	70.17	80.0	56.14
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	В	0.00	2.34	0.00	2.34	80.0	1.87
11/21/2018 mf	fc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	В	0.00	2.26	0.00	2.26	80.0	1.81

	GT T-771			T. T. T. C. M. C. T.		B/S	INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE 11/21/2018 mf	CLIENT		. E07000	INVESTMENT	ADVISOR FUNDS	В	AMOUNT 0.00	COMMISSION 16.30	CHARGE 0.00	COMMISSION 16.30	RATE 80.0	COMMISSION 13.04
11/21/2018 mf					ADVISOR EQUITY INCOME	В	0.00	17.39	0.00	17.39	80.0	13.91
11/21/2018 mf					ADVISOR FLOAT RATE HI		0.00	17.79	0.00	17.79	80.0	14.23
11/21/2018 mf					ADVISOR FLOAT RATE HI		0.00	21.14	0.00	21.14	80.0	16.91
11/21/2018 mf					ADVISOR FLOAT RATE HI		0.00	24.97	0.00	24.97	80.0	19.98
11/21/2018 mf					ADVISOR FREEDOM 2015	В	0.00	38.29	0.00	38.29	80.0	30.63
11/21/2018 mf					ADV INVESTMENT GRADE	В	0.00	5.34	0.00	5.34	80.0	4.27
11/21/2018 mf					ADV INVESTMENT GRADE	В	0.00	16.62	0.00	16.62	80.0	13.30
11/21/2018 mf					ADV GROWTH & INCOME CL		0.00	4.07	0.00	4.07	80.0	3.26
11/21/2018 mf					ADVISOR GROWTH &	В	0.00	16.38	0.00	16.38	80.0	13.10
11/21/2018 mf					ADVISOR GROWTH &	В	0.00	35.21	0.00	35.21	80.0	28.17
11/21/2018 mf					ADVISOR HEALTH CARE FD		0.00	16.27	0.00	16.27	80.0	13.02
11/21/2018 mf					ADVISOR HEALTH CARE FD		0.00	27.11	0.00	27.11	80.0	21.69
11/21/2018 mf					ADVISOR HIGH INCOME FD		0.00	32.78	0.00	32.78	80.0	26.22
11/21/2018 mi					ADVISOR MUNI INCOME FD		0.00	65.62	0.00	65.62	80.0	52.50
11/21/2018 mi					ADV LEVERAGED CO STOCK		0.00	24.71	0.00	24.71	80.0	19.77
11/21/2018 mi					ADVISOR MID CAP STOCK		0.00	1.05	0.00	1.05	80.0	0.84
11/21/2018 mi					ADVISOR FUNDS	В	0.00	3.24	0.00	3.24	80.0	2.59
11/21/2018 mi					ADVISOR FUNDS	В	0.00	11.32	0.00	11.32	80.0	9.06
11/21/2018 mi									0.00		80.0	15.06
11/21/2018 mi					ADVISOR EMERGING MKT	B B	0.00	18.82	0.00	18.82 58.86	80.0	47.09
11/21/2018 mi					ADVISOR EMERGING MKT			58.86				
, , ,					ADVISOR LTD TERM BOND	В	0.00	19.16	0.00	19.16	80.0	15.33
11/21/2018 mf					ADVISOR FUNDS	В	0.00	56.46	0.00	56.46	80.0	45.17
11/21/2018 mf					ADVISOR FUNDS	В	0.00	5.47	0.00	5.47	80.0	4.38
11/21/2018 mf					ADVISOR STRATEGIC	В	0.00	23.94	0.00	23.94	80.0	19.15
11/21/2018 mf					ADVISOR STK SEL ALL	В	0.00	40.99	0.00	40.99	80.0	32.79
11/21/2018 mf					ADV STRATEGIC INCOME	В	0.00	5.45	0.00	5.45	80.0	4.36
11/21/2018 mf					ADV STRATEGIC INCOME	В	0.00	10.29	0.00	10.29	80.0	8.23
11/21/2018 mf					ADV STRATEGIC INCOME	В	0.00	23.30	0.00	23.30	80.0	18.64
11/21/2018 mf					ADV STRATEGIC INCOME	В	0.00	64.09	0.00	64.09	80.0	51.27
11/21/2018 mf					ADV ASSET MANAGER	В	0.00	8.50	0.00	8.50	80.0	6.80
11/21/2018 mf					ADV ASSET MANAGER	В	0.00	13.88	0.00	13.88	80.0	11.10
11/21/2018 mf					ADVISOR FUNDS	В	0.00	1.59	0.00	1.59	80.0	1.27
11/21/2018 mf					ADVISOR FREEDOM 2025	В	0.00	56.16	0.00	56.16	80.0	44.93
11/21/2018 mf					ADVISOR VALUE	В	0.00	22.44	0.00	22.44		17.95
11/21/2018 mf					ADVISOR VALUE	В	0.00	40.92	0.00	40.92	80.0	32.74
11/21/2018 mf					ADVISOR GOVT INCOME FD		0.00	10.75	0.00	10.75	80.0	8.60
11/21/2018 mf					COREFOLIO ALLOCATION	В	0.00	0.64	0.00		80.0	0.51
11/21/2018 mf					COREFOLIO ALLOCATION	В	0.00	0.65	0.00	0.65		0.52
11/21/2018 mf					COREFOLIO ALLOCATION	В	0.00	5.67	0.00	5.67		4.54
11/21/2018 mf					INCOME FUND CLASS A	В	0.00	7.33	0.00	7.33	80.0	5.86
11/21/2018 mf					MI TAX FREE INCOME FD	В	0.00	0.09	0.00	0.09	80.0	0.07
11/21/2018 mf						В	0.00	0.23	0.00	0.23	80.0	0.18
11/21/2018 mf	fc587889	KLEINBROOK	587889	MFT FRANKLIN	MI TAX FREE INCOME FD	В	0.00	0.29	0.00	0.29	80.0	0.23

17/11/2208 ENERGY REAL MARKING STREES MAY PARAMELLA MA LAX SHAR ARCHER DI M 0.00 0.90 0.00 0.45 0.45 0.						INVESTMENT	GROSS	CLEARING	NET		BROKER
1/12/2018 MICSPARSS MITTERNOON STATES MITTERNOON FIRE 0.00 3.45 0.00 6.75 0.00 6.75 1.00 1.00 1.00 5.45 1.00 1.00 5.45 1.00 1.00 5.45 1.00 1.00 5.45 1.00 1.00 5.45 1.00 1.00 5.45 1.00 5.45 1.00 1.00 5.45 1.00 5.45 1.00 1.00 5.45 1.00 5.45 1.00 1.00 5.45 1.00 5	TRADE DATE	CLIENT			B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION		COMMISSION
International Control Inte				MFT FRANKLIN MI TAX FREE INCOME FD	В						
1/12/2018 MICESTRON STEEPERS MIT PERMICHIN HI TRA FET INCOME FIND 0.00 5.79 0.00 0.40 0.0 0.0 1.35 1.21 1/12/2018 MICESTRON STEEPERS MIT PERMICHIN HI TRA FET INCOME FIND 0.00 13.90 0.00 0.90 0.00											
1/21/2018 mics57889 KLEINBROOK 587869 NFT FRANKLIN HI TAX FR INCOME FUND 8					В						
11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 21.93 0.00 22.92 80.0 15.74 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 27.57 0.00 27.57 80.0 22.06 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 37.36 0.00 37.29 80.0 22.06 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 37.36 0.00 37.29 80.0 22.06 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 37.36 0.00 37.29 80.0 22.08 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 37.36 0.00 37.29 80.0 22.08 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 37.36 0.00 37.29 80.0 62.30 11/12/2018 mic697889 KLEINBROOK 587889 MCT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.99 0.00 0.09 90.0 0.0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	6.79	0.00	6.79	80.0	
11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 27.57 0.00 27.57 80.0 22.56 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 37.28 0.00 37.28 80.0 23.88 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 0.73.56 0.00 37.28 80.0 23.88 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 0.78.56 0.00 37.58 80.0 62.88 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 83.43 0.00 83.43 80.0 65.74 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 83.43 0.00 83.43 80.0 65.74 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 83.40 0.00 83.43 80.0 65.74 11/21/2018 mfc887888 KIETINBROOK \$87889 MPT FEARMLIN MI TAX FE INCOME FUND R 0.00 83.40 0.00 83.40 80.0 0.79 80.0 0.	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	9.40	0.00	9.40	80.0	
1/21/2018 mfc587888 KLEINBROOK 587889 MFT FRANKLIN MI TAX PE INCOME PUND B 0.00 37.25 0.00 37.25 80.0 29.86 11/21/2018 mfc587888 KLEINBROOK 587889 MFT FRANKLIN MI TAX PE INCOME PUND B 0.00 73.56 0.00 73.56 80.0 58.86 11/21/2018 mfc587888 KLEINBROOK 587889 MFT FRANKLIN MI TAX PE INCOME PUND B 0.00 73.56 0.00 73.56 80.0 58.86 11/21/2018 mfc587888 KLEINBROOK 587889 MFT FRANKLIN MI TAX PE INCOME PUND B 0.00 0.99 0.00 78.13 80.0 66.74 11/21/2018 mfc587888 KLEINBROOK 587889 MFT FRANKLIN MI TAX PE INCOME PUND B 0.00 0.99 0.00 0.99 80.0 6.99 11/21/2018 mfc587888 KLEINBROOK 587889 MFT FRANKLIN MI INSI TAX PE INCOME PUND B 0.00 0.99 0.00 0.99 80.0 6.98 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN MI INSI TAX PE INCOME PUND B 0.00 7.41 0.00 0.91 80.0 6.88 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN MI INSI TAX PE INCOME PUND B 0.00 7.41 0.00 7.41 80.0 6.88 11/21/2018 mfc587898 KLEINBROOK 587889 MFT FRANKLIN MI INSI TAX PE INCOME PUND B 0.00 7.41 0.00 7.41 80.0 2.99 11/21/2018 mfc587898 KLEINBROOK 587889 MFT FRANKLIN MI INSI TAX PE INCOME PUND B 0.00 7.41 0.00 7.41 80.0 2.99 11/21/2018 mfc587898 KLEINBROOK 587889 MFT FRANKLIN MI INSI TAX PE INCOME PUND B 0.00 3.72 0.00 3.72 80.0 2.99 11/21/2018 mfc587898 KLEINBROOK 587889 MFT FRK CBY ALC PD CL A B 0.00 3.71 80.0 3.71 80.0 3.72 80.				MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	13.90	0.00	13.90	80.0	
11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 73.29 0.00 73.29 80.0 29.83 11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 78.13 0.00 78.13 80.0 66.74 11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.94 0.00 0.09 80.0 0.65.74 11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.99 0.00 0.99 80.0 0.65.74 11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.99 0.00 0.99 80.0 0.65.74 11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.99 0.00 0.99 80.0 0.64.84 11/21/2018 mfc587889 KLEINEROOK 587899 MRT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	20.92	0.00	20.92	80.0	16.74
11/21/2018 mfc587889 KLETHRROOK 587889 MFT FRANKLIN MI TAX FR INCOME FUND B 0.00 73.56 0.00 73.56 0.00 73.56 0.00 53.05	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	27.57	0.00	27.57	80.0	22.06
11/21/2018 mfc587889 KLEINERCOK 587889 MFT FRANKLIM MI TAX FR INCOME FUND B 0.00 78.13 0.00 78.13 80.0 66.74	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	37.29	0.00	37.29	80.0	29.83
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN MI TAX FR INCOME FUND B 0.00 0.99 0.00 0.99 80.0 0.99 1.00	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	73.56	0.00	73.56	80.0	58.85
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN MINDETON GROWTH FDC B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN U.S. GOVERNMENT B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL G B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFC FROM FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFC FROM FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFC FROM FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFC FROM FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFC FROM FRA CSV ALC PD CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MF	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	78.13	0.00	78.13	80.0	62.50
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRANKLIN TEMPLETON GROWTH FD C B 0.00 7.41 0.00 7.41 0.00 7.41 0.00 7.41 0.00 7.41 0.00 7.41 0.00 7.41 0.00 0.01 0.00	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	В	0.00	83.43	0.00	83.43	80.0	66.74
11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRANKLIN U.S. GOVERNMENT B 0.00 7.41 0.00 7.41 80.0 5.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK CSV ALC FD CL C B 0.00 25.12 0.00 25.12 80.0 22.10 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK CSV ALC FD CL C B 0.00 4.44 0.00 4.44 80.0 3.45 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK CSV ALC FD CL A B 0.00 7.89 0.00 7.89 0.00 7.89 0.00 6.21 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK CSV ALC FD CL A B 0.00 7.89 0.00 7.89 0.00 7.89 0.00 7.89 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD CL B 0.00 13.29 0.00 13.29 0.00 13.29 0.00 2.97 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD CL B 0.00 13.29 0.00 33.08 0.0 26.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD CL B 0.00 33.08 0.00 33.08 0.0 26.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD CL B 0.00 33.08 0.00 33.08 0.0 2.76 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD CL B 0.00 3.45 0.00 3.45 0.00 3.65 0.0 2.76 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD CL B 0.00 3.65 0.00 0.65 80.0 2.76 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD MIN SALOC B 0.00 3.65 0.00 0.65 80.0 4.69 11/21/2018 mfc587889 KLEINBROOK 587889 MFF FRK TEMP COREFOLIO ALLOC FD MIN SALOC B 0.00 0.65 0.00 0.65 80.0 0.65 80.0 0.55 80.0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	В	0.00	0.99	0.00	0.99	80.0	0.79
11/21/2018 mfc587889 KLEINBROOK 587889 MTF FEK CSV ALC FD CL C B 0.00 53.72 0.00 53.72 0.0 42.98 0.0 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FEK CSV ALC FD CL A B 0.00 53.72 0.00 53.72 0.0 42.98 0.1 42.98 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FEK CSV ALC FD CL A B 0.00 7.89 0.00 7.89 0.0 4.44 0.0 4.6	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN TEMPLETON GROWTH FD C	В	0.00	8.10	0.00	8.10	80.0	6.48
11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK CSV ALC FD CL A B 0.00 4.44 0.00 4.44 80.0 3.55 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK CSV ALC FD CL A B 0.00 4.44 0.00 4.44 80.0 3.55 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK CSV ALC FD CL A B 0.00 7.69 0.00 7.69 0.00 7.99 80.0 6.31 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK CSV ALC FD CL A B 0.00 3.71 0.00 3.71 80.0 2.97 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK TEMP COREFOLIO ALLOC FND CL B 0.00 3.71 0.00 3.71 80.0 2.97 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK TEMP COREFOLIO ALLOC FND CL B 0.00 33.08 0.00 33.08 80.0 36.0 46.46 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK TEMP COREFOLIO ALLOC FND CL B 0.00 33.08 0.00 33.08 80.0 36.6 46 11/21/2018 mfc587889 KLEINBROOK 587889 MTF FKK TEMP COREFOLIO ALLOC FND CL B 0.00 33.08 0.00 33.08 80.0 25.6 6 11/21/2018 mfc587889 KLEINBROOK 587889 MTF GEORGE FUTNAM BALANCEN FUNDA B 0.00 55.6 0.00 0.65 80.0 0.55 80.0 0.	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	В	0.00	7.41	0.00	7.41	80.0	5.93
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK CSV ALC FD CL A B 0.00 7.89 0.00 7.89 0.00 7.89 0.00 7.89 1.00 6.31 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREPOILO ALLOC FND CL B 0.00 3.71 0.00 3.71 0.00 3.71 0.00 2.97 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREPOILO ALLOC FND CL B 0.00 3.3.08 0.00 3.3.08 0.00 3.3.08 0.00 3.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREPOILO ALLOC FND CL B 0.00 3.3.08 0.00 3	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	В	0.00	25.12	0.00	25.12	80.0	20.10
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK CSV ALC FD CL A B 0.00 7.89 0.00 7.89 80.0 6.31 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 3.71 0.00 3.71 80.0 2.97 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 33.08 0.00 33.08 80.0 26.46 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 33.08 0.00 33.08 80.0 26.46 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 3.45 0.00 3.45 0.00 3.45 80.0 2.76 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE PUTHAN BALANCED FUND—A B 0.00 5.56 0.00 0.65 80.0 5.56 80.0 2.76 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENE PUTHAN BALANCED FUND—A B 0.00 5.66 0.00 5.66 80.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENE PUTHAN BALANCED FUND—A B 0.00 5.66 0.00 5.66 80.0 5.66 80.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 0.00 5.66 0.00 5.66 80.0 5.66 80.0 5.66 80.0 6.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 0.3.24 0.00 0.3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 0.3.24 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	В	0.00	53.72	0.00	53.72	80.0	42.98
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 13.29 0.00 13.29 80.0 10.63 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 13.29 0.00 13.29 80.0 10.63 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP FOUNDING FUNDS ALLOC B 0.00 33.08 0.00 33.08 80.0 25.46 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE PUTNAM BALANCED FUND—A B 0.00 0.65 0.00 0.65 80.0 0.52 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE PUTNAM BALANCED FUND—A B 0.00 5.66 0.00 5.66 80.0 5.56 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE PUTNAM BALANCED FUND—A B 0.00 5.66 0.00 5.66 80.0 5.26 80.	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK CSV ALC FD CL A	В	0.00	4.44	0.00	4.44	80.0	3.55
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP FOUNDING FUNDS ALLOC B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GORGE PUTNAM BALANCED FUND-A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GORGE PUTNAM BALANCED FUND-A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GORGEHBIM STYLEPUS LARGE CORE B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGUGGEHBIM STYLEPUS LARGE CORE B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGUGGEHBIM STYLEPUS ALRGE CORE B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGUGGEHBIM STYLEPUS ALRGE CORE B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGUGGEHBIM STYLEPUS ALRGE CORE B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGUGGEHBIM STYLEPUS ALRGE CORE B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UNIVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UNIVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVERN IM MUNI BD C B	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK CSV ALC FD CL A	В	0.00	7.89	0.00	7.89	80.0	6.31
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP COREFOLIO ALLOC FND CL B 0.00 33.08 0.00 33.08 80.0 26.46 11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP FOUNDING FUNDS ALLOC B 0.00 3.45 0.00 3.45 80.0 2.76 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE PUTNAM BALANCED FUND-A B 0.00 55.63 0.00 55.63 80.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENHEIN STYLEPLUS LARGE CORE B 0.00 55.63 0.00 55.63 80.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENHEIN STYLEPLUS LARGE CORE B 0.00 55.66 0.00 5.86 80.0 44.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGUSC STRATEGIC GROWTH A B 0.00 1.44 0.00 1.44 0.00 1.44 0.00 1.44 0.00 1.45 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MGENGER FD B 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 0.00 3.24 0.00 3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MS SEMERGING GROWTH FUND CLAS B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 5.04 0.00 5.04 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 5.04 0.00 5.00 5.00 5.00 5.00 5.00	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	В	0.00	3.71	0.00	3.71	80.0	2.97
11/21/2018 mfc587889 KLEINBROOK 587889 MFT FRK TEMP FOUNDING FUNDS ALLOC B 0.00 3.45 0.00 3.45 0.00 0.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE FUTNAM BALANCED FUND—A B 0.00 5.63 0.00 5.63 0.00 5.63 0.00 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENHEIM STYLEPLUS LARGE CORE B 0.00 5.66 0.00 5.68 0.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MINISTAY TAX FREE BOND FD CL A B 0.00 1.44 0.00 1.44 0.00 1.44 0.00 1.45 0.00 1.15 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MREGER FD B 0.00 0.82 0.00 0.82 0.00 0.82 0.00 0.82 0.00 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MREGER FD B 0.00 3.24 0.00 3.24 0.00 0.82 0.00 0.82 0.00 0.82 0.00 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—A B 0.00 3.24 0.00 3.14 0.00 3.16 0.00 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 3.16 0.00 3.16 0.00 3.24 0.00 3	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	В	0.00	13.29	0.00	13.29	80.0	10.63
11/21/2018 mfc587889 KLEINBROOK 587889 MFT GEORGE PUTNAM BALANCED FUND—A B 0.00 0.65 0.00 0.65 80.0 0.52 11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENHEIM STYLEPLUS LARGE CORE B 0.00 55.63 0.00 55.63 80.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT JOHN HANCOCK STRATEGIC GROWTH A B 0.00 5.86 0.00 5.86 80.0 44.69 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 0.00 1.44 0.00 1.44 80.0 1.44 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—A B 0.00 3.24 0.00 3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 5.04 0.00 5.04 80.0 4.90 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UNIVERN MI MUNI BD C B 0.00 5.04 0.00 5.04 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.50 0.00 57.70 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 0.00 88.30 0.00 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 0.00 94.39 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 0.00 94.39 0.00 94.39 0.00 94.39 0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	В	0.00	33.08	0.00	33.08	80.0	26.46
11/21/2018 mfc587889 KLEINBROOK 587889 MFT GUGGENHEIM STYLEPLUS LARGE CORE B 0.00 55.63 0.00 55.63 80.0 44.50 11/21/2018 mfc587889 KLEINBROOK 587889 MFT JOHN HANCOCK STRATEGIC GROWTH A B 0.00 5.86 0.00 5.86 80.0 4.69 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 0.00 1.44 0.00 1.44 80.0 1.15 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 3.24 0.00 3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 0.00 3.116 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 0.00 5.04 0.00 5.04 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UTILITIES FUND-A B 0.00 5.04 0.00 5.04 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UTILITIES FUND-A B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FC LA B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.7	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	В	0.00	3.45	0.00	3.45	80.0	2.76
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 0.00 1.44 0.00 1.44 80.0 1.15 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 3.24 0.00 3.24 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 5.04 0.00 5.04 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 5.04 0.00 5.04 80.0 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.00 0.00 57.00 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 0.01 80.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 180.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.01 0.00 0.01 0.00 0.01 180.00 94.39 80.0 0.01 11/21/20	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	В	0.00	0.65	0.00	0.65	80.0	0.52
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MAINSTAY TAX FREE BOND FD CL A B 0.00 1.44 0.00 1.44 80.0 1.15 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—A B 0.00 3.24 0.00 3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 3.11.6 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND—C B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MIVEEN MI MUNI BD C B 0.00 5.04 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 5.04 0.00 5.04 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.00 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.50 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.50 0.00 57.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 77.55 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.00 0.01 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 80.0 0.01 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 80.0 0.01 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	В	0.00	55.63	0.00	55.63	80.0	44.50
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MERGER FD B 0.00 0.82 0.00 0.82 80.0 0.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 0.00 3.24 0.00 3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 5.04 0.00 5.04 80.0 4.90 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MUVEEN MI MUNI BD C B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 94.39 0.00 94.39 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 80.0 0.00 0.0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	В	0.00	5.86	0.00	5.86	80.0	4.69
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-A B 0.00 3.24 0.00 3.24 80.0 2.59 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UTILITIES FUND-A B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 0.06 0.00 0.00 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.00 0.01 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.00 0.01 0.00	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	В	0.00	1.44	0.00	1.44	80.0	1.15
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS CORE EQUITY FUND-C B 0.00 31.16 0.00 31.16 80.0 24.93 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UTILITIES FUND-A B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.01 0.00 0.11 0.00 0.11 80.0 0.01 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.01 0.00 0.11 0.00 0.11 80.0 0.01 11/21/21/21/21/21/21/21/21/21/21/21/21/2	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT MERGER FD	В	0.00	0.82	0.00	0.82	80.0	0.66
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS EMERGING GROWTH FUND CLASS B 0.00 4.90 0.00 4.90 80.0 3.92 11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UTILITIES FUND-A B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.01 0.00 0.01 0.00 0.01 80.0 0.00 0.0	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-A	В	0.00	3.24	0.00	3.24	80.0	2.59
11/21/2018 mfc587889 KLEINBROOK 587889 MFT MFS UTILITIES FUND-A B 0.00 5.04 0.00 5.04 80.0 4.03 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.01 0.01 0.00 0.01 0.01 0.01 0.	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	В	0.00	31.16	0.00	31.16	80.0	24.93
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 8.31 0.00 8.31 80.0 6.65 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	В	0.00	4.90	0.00	4.90	80.0	3.92
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 19.07 0.00 19.07 80.0 15.26 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.71 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.71 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.71 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.71	11/21/2018 mf	Ec587889 K	CLEINBROOK 587889	MFT MFS UTILITIES FUND-A	В	0.00	5.04	0.00	5.04	80.0	4.03
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 57.70 0.00 57.70 80.0 46.16 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.71 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	CLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	В	0.00	8.31	0.00	8.31	80.0	6.65
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 75.50 0.00 75.50 80.0 60.40 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.00 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	В	0.00	19.07	0.00	19.07	80.0	15.26
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 88.32 0.00 88.32 80.0 70.66 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.09 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	В	0.00	57.70	0.00	57.70	80.0	46.16
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD C B 0.00 184.09 0.00 184.09 80.0 147.27 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.09 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	В	0.00	75.50	0.00	75.50	80.0	60.40
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.09 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	В	0.00	88.32	0.00	88.32	80.0	70.66
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 0.06 0.00 0.06 80.0 0.05 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.09 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	В	0.00	184.09	0.00	184.09	80.0	
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN MI MUNI BD FD CL A B 0.00 94.39 0.00 94.39 80.0 75.51 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.09 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	В	0.00	0.06	0.00			
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 0.11 0.00 0.11 80.0 0.09 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17	11/21/2018 mf	Ec587889 K	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	В	0.00	94.39	0.00			
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17 11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17											
11/21/2018 mfc587889 KLEINBROOK 587889 MFT NUVEEN REAL ASSET INCOME C B 0.00 2.71 0.00 2.71 80.0 2.17											
				MFT NUVEEN REAL ASSET INCOME C	В	0.00	2.71	0.00			2.17

					INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	•	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	В	0.00	51.78	0.00	51.78	80.0	41.42
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD (В	0.00	52.69	0.00	52.69	80.0	42.15
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	В	0.00	53.27	0.00	53.27	80.0	42.62
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	В	0.00	46.36	0.00	46.36	80.0	37.09
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	В	0.00	74.88	0.00	74.88	80.0	59.90
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	В	0.00	4.83	0.00	4.83	80.0	3.86
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	В	0.00	16.93	0.00	16.93	80.0	13.54
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	В	0.00	51.14	0.00	51.14	80.0	40.91
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	В	0.00	15.01	0.00	15.01	80.0	12.01
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	В	0.00	48.32	0.00	48.32	80.0	38.66
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746444108	В	0.00	2.98	0.00	2.98	80.0	2.38
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746745108	В	0.00	3.50	0.00	3.50	80.0	2.80
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746745108	В	0.00	20.21	0.00	20.21	80.0	16.17
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746802206	В	0.00	5.35	0.00	5.35	80.0	4.28
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.01	0.00	0.01	80.0	0.01
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.07	0.00	0.07	80.0	0.06
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.10	0.00	0.10	80.0	0.08
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.10	0.00	0.10	80.0	0.08
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.13	0.00	0.13	80.0	0.10
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.25	0.00	0.25	80.0	0.20
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM 746870104	В	0.00	0.79	0.00	0.79	80.0	0.63
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	В	0.00	3.78	0.00	3.78	80.0	3.02
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	В	0.00	11.00	0.00	11.00	80.0	8.80
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	В	0.00	0.27	0.00	0.27	80.0	0.22
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	В	0.00	1.61	0.00	1.61	80.0	1.29
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	2.49	0.00	2.49	80.0	1.99
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	7.12	0.00	7.12	80.0	5.70
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	9.46	0.00	9.46	80.0	7.57
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	10.00	0.00	10.00	80.0	8.00
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	18.63	0.00	18.63	80.0	14.90
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	34.80	0.00	34.80	80.0	27.84
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	62.29	0.00	62.29	80.0	49.83
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	В	0.00	67.75	0.00	67.75	80.0	54.20
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FI	ΟВ	0.00	0.64	0.00	0.64	80.0	0.51
		KLEINBROOK 587889	MFT TEM GLOBAL TOTAL RETURN FUND -		0.00	0.21	0.00		80.0	0.17
11/21/2018 mf	Ec587889	KLEINBROOK 587889	MFT TEMP GLOBAL BOND FND - CL A	В	0.00	0.15	0.00		80.0	0.12
		KLEINBROOK 587889	MFT TEMP GLOBAL BOND FND - CL A	В	0.00	0.27	0.00	0.27		0.22
		KLEINBROOK 587889	MFT TEMP GLOBAL BOND FND - CL A	В	0.00	0.95	0.00	0.95	80.0	0.76
		KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	В	0.00	2.38	0.00	2.38	80.0	1.90
		KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	В	0.00	3.50	0.00	3.50		2.80
		KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	В	0.00	8.98	0.00	8.98		7.18
		KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	В	0.00	7.11	0.00	7.11		5.69
		KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	В	0.00	7.45	0.00	7.11	80.0	5.96
		KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	В	0.00	28.67	0.00	28.67		22.94
11/21/2010 IIII		KTRIMDKOOK 30/003	HET TEMEDITION GRODAL BOIND FUND -	ט	0.00	20.07	0.00	20.07	00.0	44.34

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

		D/4	INVESTMENT	GROSS	CLEARING	NET		BROKER	
TRADE DATE CLIENT 11/21/2018 mfc587889 KLEINBROOK 587889	INVESTMENT MFT TEMPLETON GROWTH FUND CLASS A	B/S	AMOUNT 0.00	COMMISSION 1.81	CHARGE 0.00	COMMISSION 1.81	RATE 80.0	COMMISSION 1.45	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A		0.00	3.35	0.00	3.35	80.0	2.68	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A		0.00	4.79	0.00	4.79	80.0	3.83	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A		0.00	0.08	0.00	0.08	80.0	0.06	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FD		0.00	0.22	0.00	0.22	80.0	0.18	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN		0.00	10.90	0.00	10.90	80.0	8.72	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	7.93	0.00	7.93	80.0	6.34	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	В	0.00	6.89	0.00	6.89	80.0	5.51	
11/21/2018 mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	В	0.00	1.28	0.00	1.28	80.0	1.02	
11/21/2010 MIC30/009 KBEINBROOK 30/009	* MUTUAL FUND 12B1 TRAILS SUBTOTA	_	\$0.00	\$3,824.31	\$0.00	\$3,824.31	00.0	\$3,059.46	
		_						40,000,000	
	*** CLEARING TRANSACTIONS TOTAL	***	\$-15,927.88	\$3,988.31	\$25.00	\$3,963.31		\$3,170.66	
	DIRECT :	RANS	ACTIONS						
PRODUCT CATEGORY: MUTUAL FUNDS									
11/15/2018 (None) JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	В	50.00	0.50	0.00	0.50	80.0	0.40	
11/12/2018 000000000221299 FURMAN, VICK	I MF FRANKLIN MI TAX FR INCOME FUN	D B	200.00	2.00	0.00	2.00	80.0	1.60	
11/15/2018 00089905459 CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	В	450.00	4.50	0.00	4.50	80.0	3.60	
11/15/2018 00520235862 COTEY, BENE	MF FA 529 COLLEGE PORT CL C	В	50.00	0.50	0.00	0.50	80.0	0.40	
11/15/2018 00520236563 COTEY, BENE	MF FA 529 COLLEGE PORT CL C	В	50.00	0.50	0.00	0.50	80.0	0.40	
11/15/2018 03900215447 NISSETYLEJ	MF FIDELITY ADVISOR BALANCED CLA	SS B	417.00	12.51	0.00	12.51	80.0	10.01	
	* MUTUAL FUNDS SUBTOTA	\L *	\$1,217.00	\$20.51	\$0.00	\$20.51		\$16.41	
	*** DIRECT TRANSACTIONS TOTAL	***	\$1,217.00	\$20.51	\$0.00	\$20.51		\$16.41	
ADJUSTMENTS TRANSACTIONS									
BROKER: #0									
11/30/2018	: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00	
11/30/2018	: E&O		0.00	0.00	0.00	0.00		-130.00	
11/30/2018	: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00	
	* SUBTOTA	\L *	\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00	
	*** ADJUSTMENTS TRANSACTIONS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.00	,	\$-185.00	

BROKER COMMISSION TOTALS \$3,187.07 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$-185.00 Payroll Draw \$0.00 \$0.00 Base Salary \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$3,002.07 Net Commissions Year-to-date Commissions \$85,486.76