

COMMISSION STATEMENT

September 15, 2018

 THOMAS KINDER-D036
 300 East 4th Street

 BROKER #: D036
 BRANCH: DMN

Tyler, TX 75701

COMMISSION STATEMENT for THOMAS KINDER-D036

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/04/2018	00085392707	SUTTON, TERESA	MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
* MUTUAL FUNDS SUBTOTAL *						\$25.00	\$1.25	\$0.00	\$1.25		\$0.88
PRODUCT CATEGORY: VARIABLE ANNUITIES											
08/31/2018	1006815401	VANDERSLICE,	VA	L SERIES	B	65,136.46	54.28	0.00	54.28	70.0	38.00
08/31/2018	1006815401	VANDERSLICE,	VA	L SERIES	B	23,256.71	25.19	0.00	25.19	70.0	17.63
08/31/2018	1008184409	KINDER, THOMAS	VA	PERSPECTIVE L	B	9,582.29	10.38	0.00	10.38	70.0	7.27
08/31/2018	1008905815	RAWLINSON, JERRY	VA	PERSPECTIVE L	B	117,893.62	98.24	0.00	98.24	70.0	68.77
08/31/2018	1008905815	RAWLINSON, JERRY	VA	PERSPECTIVE L	B	157,826.16	170.98	0.00	170.98	70.0	119.69
08/31/2018	1016051680	MORRISON, JOHN	VA	PERSP II 04/14	B	83,269.21	34.70	0.00	34.70	70.0	24.29
08/31/2018	1017036544	VANDERSLICE, JAMES	VA	PERSP II 04/14	B	94,784.30	39.49	0.00	39.49	70.0	27.64
08/31/2018	1017688134	PYLE, JACKIE	VA	PERSP II 04/14	B	80,728.97	33.64	0.00	33.64	70.0	23.55
08/31/2018	1017752371	RAWLINSON, JERRY	VA	PERSP II 04/14	B	146,943.44	61.23	0.00	61.23	70.0	42.86
08/31/2018	1019792591	LARRISON, CANDACE	VA	PERSP II 01/16	B	75,822.88	31.59	0.00	31.59	70.0	22.11
* VARIABLE ANNUITIES SUBTOTAL *						\$855,244.04	\$559.72	\$0.00	\$559.72		\$391.81
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/04/2018	00073594082	RODGERS, TYLER	MFT	AMERICAN BALANCED FUND-529A	B	0.00	1.59	0.00	1.59	70.0	1.11
09/04/2018	00073594082	RODGERS, TYLER	MFT	EUROPACIFIC GROWTH FUND-529A	B	0.00	1.60	0.00	1.60	70.0	1.12
09/04/2018	00073594082	RODGERS, TYLER	MFT	NEW PERSPECTIVE FUND-529A	B	0.00	1.87	0.00	1.87	70.0	1.31
09/04/2018	00073594082	RODGERS, TYLER	MFT	NEW WORLD FUND-529A	B	0.00	1.87	0.00	1.87	70.0	1.31
09/04/2018	00073594082	RODGERS, TYLER	MFT	SMALLCAP WORLD FUND-529A	B	0.00	2.07	0.00	2.07	70.0	1.45
09/04/2018	00085392707	SUTTON, TERESA	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.53	0.00	4.53	70.0	3.17
09/04/2018	04000442942	LARRISON, KAYLYNN	MFT	AMERICAN BALANCED FUND-529A	B	0.00	13.04	0.00	13.04	70.0	9.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$26.57	\$0.00	\$26.57		\$18.60
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
09/07/2018	(None)	TEXAS AIR	VAT	MASS MUTUAL	B	0.00	55.94	0.00	55.94	70.0	39.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$55.94	\$0.00	\$55.94		\$39.16
*** DIRECT TRANSACTIONS TOTAL ***						\$855,269.04	\$643.48	\$0.00	\$643.48		\$450.45

BROKER COMMISSION TOTALS

Broker Transactions	\$450.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-123.63
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$326.82
Year-to-date Commissions	\$37,238.87