



COMMISSION STATEMENT

October 15, 2018

TODD JESSOP
400 West Metro Park
SUITE 700
Rochester, NY 14623

BROKER #: 693
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/11/2018	***-**-706I - GRISMACHER, ACCOUNT #: LSR007919	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1354 Units @15.5100	21,000.00	787.50	18.00	769.50	90.0	692.55
		* MUTUAL FUNDS SUBTOTAL *	\$21,000.00	\$787.50	\$18.00	\$769.50		\$692.55
<u>PRODUCT CATEGORY: RIA</u>								
10/16/2018	***-**-59FB - MEYER, DOUGLAS ACCOUNT #: 100924695	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	316.49	253.19	0.00	253.19	90.0	227.87
10/16/2018	***-**-35IN - WICK, MARTHA ACCOUNT #: 100993500	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	581.58	581.58	0.00	581.58	90.0	523.42
		* RIA SUBTOTAL *	\$898.07	\$834.77	\$0.00	\$834.77		\$751.29
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/08/2018	***-**-9739 - MERLAU, DOUGLAS ACCOUNT #: 04700340863	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	90.0	1.66
10/08/2018	***-**-091I - MCBRIDE, ACCOUNT #: 04700352608	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	5.74	0.00	5.74	90.0	5.17
10/08/2018	***-**-461I - MCBRIDE, PHIL ACCOUNT #: 04700352610	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	5.74	0.00	5.74	90.0	5.17
10/08/2018	***-**-6825 - PRICE, TERRELL ACCOUNT #: 04705720284	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	3.22	0.00	3.22	90.0	2.90
10/08/2018	***-**-24IN - MCBURNEY, PHILIP ACCOUNT #: 04787748945	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	4.14	0.00	4.14	90.0	3.73
10/08/2018	***-**-9739 - MERLAU, DOUGLAS ACCOUNT #: 04700340863	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	90.0	0.97
10/08/2018	***-**-091I - MCBRIDE, ACCOUNT #: 04700352608	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	4.05	0.00	4.05	90.0	3.65
10/08/2018	***-**-461I - MCBRIDE, PHIL ACCOUNT #: 04700352610	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	4.05	0.00	4.05	90.0	3.65
10/08/2018	***-**-1633 - PRICE, DIANNE ACCOUNT #: 04705720288	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	1.89	0.00	1.89	90.0	1.70
10/08/2018	***-**-461J - MCBRIDE, ACCOUNT #: 04700352609	ALLIANCEBERNSTEIN MUNI INCOME-NY A BUY 0 Units @0.0000	0.00	10.57	0.00	10.57	90.0	9.51



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/08/2018	***-**-633J - PRICE, TERRELL	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	0.85	0.00	0.85	90.0	0.77
	ACCOUNT #: 04703705736	BUY 0 Units @0.0000						
10/08/2018	***-**-20IN - LANGEN, JOSEPH	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	2.78	0.00	2.78	90.0	2.50
	ACCOUNT #: 04752069693	BUY 0 Units @0.0000						
10/08/2018	***-**-526P - ROBERTS,	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	2.27	0.00	2.27	90.0	2.04
	ACCOUNT #: 04752075843	BUY 0 Units @0.0000						
10/08/2018	***-**-75IN - PRENDERGAST,	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	5.05	0.00	5.05	90.0	4.55
	ACCOUNT #: 04761577226	BUY 0 Units @0.0000						
10/08/2018	***-**-47IN - REDDING, PHYLLIS	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	3.22	0.00	3.22	90.0	2.90
	ACCOUNT #: 04761579289	BUY 0 Units @0.0000						
10/08/2018	***-**-44IN - HALLAHAN,	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	9.54	0.00	9.54	90.0	8.59
	ACCOUNT #: 04765898771	BUY 0 Units @0.0000						
10/08/2018	***-**-96IN - BRENNAN, SANDRA	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	0.69	0.00	0.69	90.0	0.62
	ACCOUNT #: 04765901889	BUY 0 Units @0.0000						
10/08/2018	***-**-633J - PRICE, TERRELL	ALLIANCEBERNSTEIN MUNI INCOME-NY C	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 04703705736	BUY 0 Units @0.0000						
10/02/2018	***-**-922J - RICHARDSON, LISA	CALVERT ULTRA SHORT INCOME - A	0.00	4.77	0.00	4.77	90.0	4.29
	ACCOUNT #: 00006878237	BUY 0 Units @0.0000						
10/15/2018	***-**-9520 - LANGEN, JOSEPH G	DREYFUS MF TRAILS	0.00	2.77	0.00	2.77	90.0	2.49
	ACCOUNT #: 0981620875	BUY 0 Units @0.0000						
10/15/2018	***-**-9520 - LANGEN, JOSEPH G	DREYFUS MF TRAILS	0.00	2.40	0.00	2.40	90.0	2.16
	ACCOUNT #: 0981620875	BUY 0 Units @0.0000						
10/05/2018	***-**-8846 - GERTNER, STEPHEN	FIDELITY ADV EQUITY GROWTH CL T	0.00	6.05	0.00	6.05	90.0	5.45
	ACCOUNT #: 00006820056	BUY 0 Units @0.0000						
10/05/2018	***-**-8846 - GERTNER, STEPHEN	FIDELITY ADVISOR EQUITY INCOME CL T	0.00	1.51	0.00	1.51	90.0	1.36
	ACCOUNT #: 00006820056	BUY 0 Units @0.0000						
10/15/2018	***-**-02IN - JACKSON, OLIVIA	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	25.45	0.00	25.45	90.0	22.91
	ACCOUNT #: 00091130042	BUY 0 Units @0.0000						
10/01/2018	***-**-595P - SMITH, MARY	MFS EMERGING GROWTH FUND CLASS A	0.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 08189593952	BUY 0 Units @0.0000						
10/01/2018	***-**-595P - SMITH, MARY	MFS GLOBAL EQUITY FUND-A	0.00	2.21	0.00	2.21	90.0	1.99
	ACCOUNT #: 08189593956	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/01/2018	***-**-96IN - BRENNAN, SANDRA	MFS NEW YORK MUNICIPAL BOND FUND CL	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00060595857	BUY 0 Units @0.0000						
10/01/2018	***-**-595P - SMITH, MARY	MFS TOTAL RETURN FUND-A	0.00	4.79	0.00	4.79	90.0	4.31
	ACCOUNT #: 08189593948	BUY 0 Units @0.0000						
10/15/2018	***-**-275P - MEYER, JEFFREY	NEW YORK 529 TRAILS	0.00	7.89	0.00	7.89	90.0	7.10
	ACCOUNT #: 77757844801	BUY 0 Units @0.0000						
10/15/2018	***-**-7104 - KOC SIS, KATHLEEN	PACIFIC LIFE TRAILS	0.00	2.02	0.00	2.02	90.0	1.82
		BUY 0 Units @0.0000						
10/15/2018	***-**-7249 - FRANDSEN,	PACIFIC LIFE TRAILS	0.00	28.97	0.00	28.97	90.0	26.07
	ACCOUNT #: 1001064947	BUY 0 Units @0.0000						
10/15/2018	***-**-4200 - DAVIDSON, BETTY	PACIFIC LIFE TRAILS	0.00	1.31	0.00	1.31	90.0	1.18
		BUY 0 Units @0.0000						
10/15/2018	***-**-708I - KARNUTH, KAREN	PACIFIC LIFE TRAILS	0.00	30.77	0.00	30.77	90.0	27.69
	ACCOUNT #: 1000317828	BUY 0 Units @0.0000						
10/01/2018	***-**-996I - PTAK, ROSETTE V	PUTNAM EQUITY INCOME FUND-A	0.00	1.45	0.00	1.45	90.0	1.31
	ACCOUNT #: 00289503544	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$191.94	\$0.00	\$191.94		\$172.79
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/30/2018	***-**-97CL - JESSOP, CLIENTS	JOHN HANCOCK REVOLUTION VALUE SUITE	0.00	2.96	0.00	2.96	90.0	2.66
	ACCOUNT #: RV02729583	BUY 0 Units @0.0000						
09/29/2018	***-**-85IN - GREENBERG, DAVID	NATIONWIDE CUSIP 638661330-NSCC	0.00	76.63	0.00	76.63	90.0	68.97
	ACCOUNT #: 015301065	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$79.59	\$0.00	\$79.59		\$71.63
	*** BROKER TRANSACTIONS TOTAL ***		\$21,898.07	\$1,893.80	\$18.00	\$1,875.80		\$1,688.26



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
							BROKER COMMISSION TOTALS		
						Broker Transactions		\$1,688.26	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
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						Net Commission Earned		\$1,688.26	
						Year-to-date Earnings		\$102,514.08	