

COMMISSION STATEMENT

November 30, 2018

 RALPH BURZYNSKI-D368
 634 South Cook Street

 BROKER #: D368
 BRANCH: D12

Barrington, IL 60010

COMMISSION STATEMENT for RALPH BURZYNSKI-D368

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

10/31/2018	276378693	FINEGOLD, RICHARD	S	ADVANCED MICRO DEVICES INC	B	1,800.95	38.00	15.00	23.00	90.0	20.70
10/31/2018	276378693	FINEGOLD, RICHARD	S	CALUMET SPECIALTY PRODUCTS	S	-875.94	38.00	15.00	23.00	90.0	20.70
10/31/2018	276378693	FINEGOLD, RICHARD	S	PJT PARTNERS INC - CL A	S	-420.54	38.00	15.00	23.00	90.0	20.70
				* STOCKS SUBTOTAL *		\$504.47	\$114.00	\$45.00	\$69.00		\$62.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc076959	VARIOUS,		MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	5.73	0.00	5.73	90.0	5.16
11/21/2018	mfc076959	VARIOUS,		MFT FIDELITY ADVISOR HIGH INC	B	0.00	0.60	0.00	0.60	90.0	0.54
11/21/2018	mfc076959	VARIOUS,		MFT FIDELITY ADVISOR SMALL CAP FUND B	B	0.00	4.26	0.00	4.26	90.0	3.83
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$10.59	\$0.00	\$10.59		\$9.53
				*** CLEARING TRANSACTIONS TOTAL ***		\$504.47	\$124.59	\$45.00	\$79.59		\$71.63

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/13/2018	00082908244	RICHTER, PAUL	MF	THE GROWTH FUND OF AMERICA-A	B	200.00	10.00	0.00	10.00	90.0	9.00
11/20/2018	00083381688	LEHMANN, MARGARET	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	90.0	2.25
11/20/2018	00083453207	KOLMAN, KIMBERLY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	3.75	0.00	3.75	90.0	3.38
11/16/2018	00089222882	CAMERON, NICOLE	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	90.0	4.50
11/15/2018	00089241426	CERVONE, DONALD	MF	AMERICAN BALANCED FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
11/15/2018	00089241426	CERVONE, DONALD	MF	INVESTMENT COMPANY OF AMERICA	B	125.00	1.25	0.00	1.25	90.0	1.13
11/12/2018	01454909522	BENNING, ERIC	MF	FIDELITY ADV NEW INSIGHTS-CL A	B	300.00	15.00	0.00	15.00	90.0	13.50
11/20/2018	04000634216	LEE B LAGUA,	MF	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
11/15/2018	04001114260	JOHNSON, AIDAN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	90.0	2.25
11/20/2018	04001147999	IL/TOD	MF	INVESTMENT COMPANY OF AMERICA	B	200.00	2.00	0.00	2.00	90.0	1.80
11/20/2018	04001251341	CHOI, PAUL	MF	INVESTMENT COMPANY OF AMERICA	B	50.00	0.50	0.00	0.50	90.0	0.45
				* MUTUAL FUNDS SUBTOTAL *		\$1,400.00	\$44.75	\$0.00	\$44.75		\$40.29

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/12/2018 (None)	TISCHKAU, JOE	VA NATIONWIDE	B	250.00	12.50	0.00	12.50	90.0	11.25
11/12/2018 (None)	BRUNS, CHRISTOPHER	VA NATIONWIDE	B	1,076.54	24.76	0.00	24.76	90.0	22.28
11/12/2018 (None)	NELSON, AMBER	VA NATIONWIDE	B	693.97	15.96	0.00	15.96	90.0	14.36
11/12/2018 (None)	MILBURN, BRENT	VA NATIONWIDE	B	337.50	6.75	0.00	6.75	90.0	6.08
11/12/2018 (None)	BRENNAN, TERRANCE	VA NATIONWIDE	B	435.27	5.44	0.00	5.44	90.0	4.90
11/12/2018 (None)	COULTAS, CHRISTOPHER	VA NATIONWIDE	B	268.07	3.35	0.00	3.35	90.0	3.02
11/15/2018 (None)	CARLSON, DEBORAH	VA NATIONWIDE	B	50.00	1.88	0.00	1.88	90.0	1.69
11/15/2018 (None)	WARD, FRANCES	VA NATIONWIDE	B	75.00	3.75	0.00	3.75	90.0	3.38
11/15/2018 (None)	WARD, PAUL	VA NATIONWIDE	B	50.00	2.50	0.00	2.50	90.0	2.25
11/15/2018 (None)	FINEGOLD, JILL	VA NATIONWIDE	B	50.00	1.75	0.00	1.75	90.0	1.58
11/19/2018 (None)	WHITLEY, LINDSEY	VA NATIONWIDE	B	393.75	4.92	0.00	4.92	90.0	4.43
11/19/2018 (None)	WILKEN, LINDSEY	VA NATIONWIDE	B	242.68	3.03	0.00	3.03	90.0	2.73
11/20/2018 (None)	IRWIN, SUZANNE	VA NATIONWIDE	B	107.50	1.08	0.00	1.08	90.0	0.97
11/20/2018 (None)	MACK, JEANETTE	VA NATIONWIDE	B	300.00	15.00	0.00	15.00	90.0	13.50
11/20/2018 (None)	BEDOW BRYANT, PATR	VA NATIONWIDE	B	150.00	10.50	0.00	10.50	90.0	9.45
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$4,480.28</u>	<u>\$113.17</u>	<u>\$0.00</u>	<u>\$113.17</u>		<u>\$101.87</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None)	VARIOUS,	MFT PRINCIPAL	B	0.00	0.70	0.00	0.70	90.0	0.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$0.70</u>	<u>\$0.00</u>	<u>\$0.70</u>		<u>\$0.63</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$5,880.28</u>	<u>\$158.62</u>	<u>\$0.00</u>	<u>\$158.62</u>		<u>\$142.79</u>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	CUSTOMER WRITE OFF 522436870		0.00	0.00	0.00	0.00		-4.37
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	:	FINRA MEMBER DISC PROC FEE		0.00	0.00	0.00	0.00		-110.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-299.37</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-299.37</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$214.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-299.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-84.95
Year-to-date Commissions	\$63,836.40