



COMMISSION STATEMENT

September 10, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	BCB BANCORP INC (NJ) SELL 101 Units @14.6360	1,478.24	27.28	8.51	18.77	90.0	16.89
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	CRONOS GROUP INC COM NPV ISIN #CA22 SELL 100 Units @11.3970	1,139.70	24.44	8.50	15.94	90.0	14.35
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	WALMART STORES INC UNSOLICITED ORDE SELL 15 Units @96.4840	1,447.26	27.00	7.65	19.35	90.0	17.42
* STOCKS SUBTOTAL *			\$4,065.20	\$78.72	\$24.66	\$54.06		\$48.66
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
09/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
09/04/2018	***-**-5634 - BLOCH, RAMNIT ACCOUNT #: VP71073350	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	35.00	0.53	0.00	0.53	90.0	0.48
09/04/2018	***-**-5634 - BLOCH, RAMNIT ACCOUNT #: VP71073350	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	15.00	0.23	0.00	0.23	90.0	0.21
09/06/2018	***-**-7728 - BALBONA, ADRIANA ACCOUNT #: VP71061840	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	135.00	2.03	0.00	2.03	90.0	1.83
08/25/2018	***-**-8584 - WINCHURCH, ACCOUNT #: VP71048920	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
08/25/2018	***-**-8584 - WINCHURCH, ACCOUNT #: VP71048920	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
09/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
09/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.50	0.00	0.50	90.0	0.45
09/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.13	0.00	0.13	90.0	0.12
09/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59
09/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
09/01/2018	***-**-485I - YANKILEVICH, ACCOUNT #: VP65871480	PACIFIC LIFE VA RENEWAL DTCC BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	90.0	2.25
		* VARIABLE ANNUITIES SUBTOTAL *	\$2,335.00	\$11.88	\$0.00	\$11.88		\$10.70
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	AGNC INVT CORP COM UNSOLICITED ORDE SELL 155 Units @19.2550	2,984.53	37.60	12.00	25.60	90.0	23.04
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$2,984.53	\$37.60	\$12.00	\$25.60		\$23.04
		*** BROKER TRANSACTIONS TOTAL ***	\$9,384.73	\$128.20	\$36.66	\$91.54		\$82.40

SPLIT TRANSACTIONS

BROKER: RICHARD PASCALE

08/21/2018	***-**-84IO - MERNONE, JOY	GWG L BOND - 2YR BUY 200 Units @1000.0000	200,000.00	4,000.00	0.00	4,000.00	90.0	3,600.00
08/31/2018	***-**-01IN - PASCALE, ROBERT ACCOUNT #: 1200005320	JACKSON 468511480 BUY 0 Units @0.0000	0.00	121.10	0.00	121.10	90.0	108.99
08/31/2018	***-**-67IN - SOLA, MARIA ACCOUNT #: 1200291765	JACKSON 468511480 BUY 0 Units @0.0000	0.00	111.67	0.00	111.67	90.0	100.50
08/25/2018	***-**-433I - BECCE, WILLIAM ACCOUNT #: VP71053600	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	200.00	3.00	0.00	3.00	90.0	2.70
08/28/2018	***-**-433I - BECCE, WILLIAM ACCOUNT #: VP71053600	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	0.00	7.54	0.00	7.54	90.0	6.79
08/25/2018	***-**-7994 - NEWMAN, JOSHUA ACCOUNT #: VP71067450	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
09/06/2018	***-**-0286 - STRAUDEL, ACCOUNT #: VP71067620	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
09/01/2018	***-**-3981 - HALL, WAYNE ACCOUNT #: VP71050690	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34
09/01/2018	***-**-3981 - HALL, WAYNE ACCOUNT #: VP71050690	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
09/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
09/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
09/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
* RICHARD PASCALE SUBTOTAL *			\$201,450.00	\$4,254.58	\$0.00	\$4,254.58		\$3,829.13
*** SPLIT TRANSACTIONS TOTAL ***			\$201,450.00	\$4,254.58	\$0.00	\$4,254.58		\$3,829.13
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: ALAN FISHMAN</u>								
09/10/2018	***-**-5103 - VICARI, KEVIN	RELIASTAR RENEWALS	0.00	1.46	0.00	1.46	7.5	0.11
		BUY 1 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$1.46	\$0.00	\$1.46		\$0.11
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$1.46	\$0.00	\$1.46		\$0.11



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions								\$82.40
Split Transactions								\$3,829.13
Override Transactions								\$0.11
Adjustments								\$0.00
Payroll Draw								\$0.00
Base Salary								\$0.00
Prior Period Balance								\$0.00
FINRA Assessment								\$0.00
SIPC Assessment								\$0.00
Net Commission Earned								\$3,911.64
Year-to-date Earnings								\$48,239.82