



# COMMISSION STATEMENT

## December 10, 2018

JOHN TRUMAN  
1932 W Chesterfield Blvd #110  
Springfield, MO 65807

BROKER #: B02  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	AMCAP FUND-A SELL 1024 Units @31.9800	32,731.79	0.00	6.00	-6.00	100.0	-6.00
12/03/2018	***-**-3815 - MILLER, ASHLEY ACCOUNT #: 00087325265	AMERICAN FUNDS 2040 TARGET DATE-A BUY 12 Units @16.2900	200.00	10.00	0.00	10.00	75.0	7.50
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	AMERICAN MONEY MARKET CLASS A BUY 32732 Units @1.0000	32,731.79	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	AMERICAN MONEY MARKET CLASS A BUY 47631 Units @1.0000	47,631.22	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	FRANKLIN RISING DIVIDENDS FD CLASS SELL 827 Units @59.3900	49,144.81	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	FRK TEMP MONEY FND - CL A BUY 49145 Units @1.0000	49,144.81	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	FRK TEMP MONEY FND - CL A BUY 27393 Units @1.0000	27,393.32	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	FUNDAMENTAL INVESTORS-A SELL 789 Units @60.3900	47,631.22	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	GROWTH AND INCOME FUND - A SELL 628 Units @49.0100	30,780.44	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	JPMORGAN LIQUID ASSETS MMKT MORGAN BUY 30780 Units @1.0000	30,780.44	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	JPMORGAN LIQUID ASSETS MMKT MORGAN BUY 26700 Units @1.0000	26,699.64	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	JPMORGAN SMALL CAP GROWTH CLASS A SELL 1601 Units @16.6800	26,699.64	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	PIMCO INV GRADE CORP SELL 3747 Units @9.8700	36,982.66	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	PIMCO SHORT TERM CLASS A-NFS BUY 3762 Units @9.8300	36,982.66	0.00	6.00	-6.00	100.0	-6.00
12/06/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	TEMP FRGN FND - CL A SELL 3886 Units @7.0500	27,393.32	0.00	6.00	-6.00	100.0	-6.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$502,927.76</b>	<b>\$10.00</b>	<b>\$84.00</b>	<b>\$-74.00</b>		<b>\$-76.50</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/06/2018	***-**-9175 - GARDNER, JAMES	FIDELITY 500 INDEX FUND	15,469.22	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012304	SELL 164 Units @94.5800						
		* STOCKS SUBTOTAL *	<u>\$15,469.22</u>	<u>\$0.00</u>	<u>\$18.00</u>	<u>\$-18.00</u>		<u>\$-18.00</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$518,396.98</u>	<u>\$10.00</u>	<u>\$102.00</u>	<u>\$-92.00</u>		<u>\$-94.50</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$-94.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$12.39
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$-82.11</b>
<b>Year-to-date Earnings</b>	<b>\$20,542.99</b>