



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/10/2018	***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820	LINCOLN FIN VA 533786166 BUY 0 Units @0.0000	1,611.99	80.60	0.00	80.60	90.0	72.54
10/10/2018	***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820	LINCOLN FIN VA 533786166 BUY 0 Units @0.0000	1,611.99	80.60	0.00	80.60	90.0	72.54
10/12/2018	***-**-809I - YANKILEVICH, ACCOUNT #: VP65924490	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	350.00	3.50	0.00	3.50	90.0	3.15
10/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
10/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
10/04/2018	***-**-5634 - BLOCH, RAMNIT ACCOUNT #: VP71073350	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	50.00	0.75	0.00	0.75	90.0	0.68
10/06/2018	***-**-7728 - BALBONA, ADRIANA ACCOUNT #: VP71061840	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	135.00	2.03	0.00	2.03	90.0	1.83
10/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.50	0.00	0.50	90.0	0.45
10/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
10/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.13	0.00	0.13	90.0	0.12
10/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59
10/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59
10/01/2018	***-**-485I - YANKILEVICH, ACCOUNT #: VP65871480	PACIFIC LIFE VA RENEWAL DTCC BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	90.0	2.25
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,708.98</b>	<b>\$175.81</b>	<b>\$0.00</b>	<b>\$175.81</b>		<b>\$158.24</b>



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
09/24/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00702446014	BUY 24 Units @21.1000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$500.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$4.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.74	0.00	1.74	90.0	1.57
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.89	0.00	1.89	90.0	1.70
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.54	0.00	0.54	90.0	0.49
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.05	0.00	2.05	90.0	1.85
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.21	0.00	2.21	90.0	1.99
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
10/05/2018	***-**-915P - WOO, BENE	FA 529 PORT 2019-CL A	0.00	0.27	0.00	0.27	90.0	0.24
	ACCOUNT #: 01446854794	BUY 0 Units @0.0000						
10/05/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	0.00	10.46	0.00	10.46	90.0	9.41
	ACCOUNT #: 00702446014	BUY 0 Units @0.0000						
10/05/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	0.00	2.96	0.00	2.96	90.0	2.66
	ACCOUNT #: 00700773088	BUY 0 Units @0.0000						
10/05/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	0.00	5.69	0.00	5.69	90.0	5.12
	ACCOUNT #: 00704633189	BUY 0 Units @0.0000						
10/05/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL A	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
10/05/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL C	0.00	2.11	0.00	2.11	90.0	1.90
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
10/05/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	0.00	0.37	0.00	0.37	90.0	0.33
	ACCOUNT #: 01489789329	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.83	0.00	1.83	90.0	1.65
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
10/05/2018	***-**-809I - YANKILEVICH,	FIDELITY 31618H580	0.00	1.78	0.00	1.78	90.0	1.60
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
10/05/2018	***-**-485I - YANKILEVICH,	FIDELITY 31618H580	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
10/05/2018	***-**-095P - DHANDAPANI,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.48	0.00	2.48	90.0	2.23
	ACCOUNT #: 00536397284	BUY 0 Units @0.0000						
10/05/2018	***-**-809I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	3.31	0.00	3.31	90.0	2.98
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
10/05/2018	***-**-485I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.45	0.00	1.45	90.0	1.31
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
10/05/2018	***-**-311I -	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.87	0.00	0.87	90.0	0.78
	ACCOUNT #: 01425465406	BUY 0 Units @0.0000						
10/05/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	2.14	0.00	2.14	90.0	1.93
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
10/05/2018	***-**-727I - PELL, ANDREA	FIDELITY ADV EMERGING MKTS CL A	0.00	0.51	0.00	0.51	90.0	0.46
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
10/05/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV EMERGING MKTS CL A	0.00	1.68	0.00	1.68	90.0	1.51
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
10/05/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
10/05/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	0.00	8.98	0.00	8.98	90.0	8.08
	ACCOUNT #: 00525061339	BUY 0 Units @0.0000						
10/05/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	0.00	9.02	0.00	9.02	90.0	8.12
	ACCOUNT #: 00525061420	BUY 0 Units @0.0000						
10/05/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	0.00	2.81	0.00	2.81	90.0	2.53
	ACCOUNT #: 01443905136	BUY 0 Units @0.0000						
10/05/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	0.00	0.37	0.00	0.37	90.0	0.33
	ACCOUNT #: 01488339027	BUY 0 Units @0.0000						
10/05/2018	***-**-809I - YANKILEVICH,	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	2.07	0.00	2.07	90.0	1.86
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/05/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	90.0	0.83
10/05/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32
10/05/2018	***-**-5870 - BRUNO, KIM ACCOUNT #: 00510642531	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.60	0.00	1.60	90.0	1.44
10/05/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV MID CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	90.0	0.68
10/05/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	90.0	1.95
10/05/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	90.0	1.34
10/05/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	2.87	0.00	2.87	90.0	2.58
10/05/2018	***-**-535I - RIOLO, DOROTHEA ACCOUNT #: 01443938483	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	1.23	0.00	1.23	90.0	1.11
10/05/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	90.0	0.63
10/05/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	90.0	1.89
10/05/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	0.93	0.00	0.93	90.0	0.84
10/05/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	90.0	1.66
10/05/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	90.0	0.97
10/15/2018	***-**-06IN - MARK, SCOTT	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	63.33	0.00	63.33	90.0	57.00
10/15/2018	***-**-446I - RUBIN, BARRY	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	20.61	0.00	20.61	90.0	18.55
10/15/2018	***-**-446I - RUBIN, BARRY	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	13.65	0.00	13.65	90.0	12.29



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/15/2018	***-**-24IO - REICH COHEN, ACCOUNT #: 00091133517	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	15.20	0.00	15.20	90.0	13.68
10/15/2018	***-**-017J - MORRISON, ACCOUNT #: 091144667	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	63.33	0.00	63.33	90.0	57.00
10/15/2018	***-**-450I - ROSENBLATT, MARK ACCOUNT #: 091151606	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	19.00	0.00	19.00	90.0	17.10
10/15/2018	***-**-619J - GINSBERG, SETH ACCOUNT #: 091153660	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	31.67	0.00	31.67	90.0	28.50
10/15/2018	***-**-05IN - SREEKUMAR, ACCOUNT #: 091153660	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.67	0.00	12.67	90.0	11.40
10/15/2018	***-**-24IO - PIZZA, MARIANNE ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	17.39	0.00	17.39	90.0	15.65
10/15/2018	***-**-99IO - COHEN ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	18.45	0.00	18.45	90.0	16.61
10/15/2018	***-**-485X - MAYER, RUSSELL ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	17.07	0.00	17.07	90.0	15.36
10/15/2018	***-**-58UT - PLATEIS UTMA NY, ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	3.17	0.00	3.17	90.0	2.85
10/15/2018	***-**-628O - KESSLER, LEWIS ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	76.00	0.00	76.00	90.0	68.40
10/15/2018	***-**-18IR - VENA, JOANNE ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	3.15	0.00	3.15	90.0	2.84
10/15/2018	***-**-24IO - PIZZA, MARIANNE ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	84.23	0.00	84.23	90.0	75.81
10/15/2018	***-**-959J - OLLECH ACCOUNT #: 091138762	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	19.00	0.00	19.00	90.0	17.10
10/15/2018	***-**-9385 - JOSEPH, BRIAN ACCOUNT #: 77094508001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.96	0.00	2.96	90.0	2.66
10/15/2018	***-**-7673 - MORRISON, ACCOUNT #: 77152277401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	8.25	0.00	8.25	90.0	7.43
10/15/2018	***-**-446J - RUBIN ACCOUNT #: 77334416801	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.78	0.00	3.78	90.0	3.40



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
10/15/2018	***-**-7724 - NANDAA, NEIL	NEW YORK 529 TRAILS	0.00	2.80	0.00	2.80	90.0	2.52
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						
10/15/2018	***-**-18IN - WATSON	NEW YORK 529 TRAILS	0.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 77398834701	BUY 0 Units @0.0000						
10/15/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS	0.00	13.82	0.00	13.82	90.0	12.44
		BUY 0 Units @0.0000						
10/15/2018	***-**-405P - KITOGRAJ, JAY	NEW YORK 529 TRAILS	0.00	1.63	0.00	1.63	90.0	1.47
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
10/02/2018	***-**-73IR - MORRISON,	PIMCO 01880B603	0.00	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: 02049021252	BUY 0 Units @0.0000						
10/02/2018	***-**-73IR - MORRISON,	PIMCO NACM EMERGING MARKETS OPPORTU	0.00	0.97	0.00	0.97	90.0	0.87
	ACCOUNT #: 02049021252	BUY 0 Units @0.0000						
10/15/2018	***-**-36IR - KOUNOUKLOS,	SAMMONS MF TRAILS	0.00	2.51	0.00	2.51	90.0	2.26
	ACCOUNT #: 7200011202	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$608.61</b>	<b>\$0.00</b>	<b>\$608.61</b>		<b>\$547.77</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/29/2018	***-**-2790 - RONAN, WILLIAM	NATIONWIDE CUSIP 638662247-NSCC	0.00	8.80	0.00	8.80	90.0	7.92
	ACCOUNT #: 015852602	BUY 0 Units @0.0000						
10/09/2018	***-**-5634 - BLOCH, RAMNIT	PACIFIC LIFE SE5-NYB DTCC	0.00	1.19	0.00	1.19	90.0	1.07
	ACCOUNT #: VP71073350	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$9.99</b>	<b>\$0.00</b>	<b>\$9.99</b>		<b>\$8.99</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$6,208.98</b>	<b>\$799.41</b>	<b>\$0.00</b>	<b>\$799.41</b>		<b>\$719.50</b>

### SPLIT TRANSACTIONS

#### **BROKER: RICHARD PASCALE**

10/02/2018	***-**-723I - PONTURO,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	5.40	0.00	5.40	90.0	4.86
	ACCOUNT #: 01228023865	BUY 0 Units @0.0000						
10/02/2018	***-**-205I - CHOPRA, ANUBHAN	ALLIANZ NFJ INTERNATIONAL FUND CLAS	0.00	0.77	0.00	0.77	90.0	0.69
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RICHARD PASCALE (continued)</u></b>								
10/02/2018	***-**-556I - MAHMOOD, FAIZA ACCOUNT #: 01243609219	ALLIANZ NFJ INTERNATIONAL FUND CLAS BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	90.0	1.24
10/02/2018	***-**-355I - DURAS, EDWARD ACCOUNT #: 01220369696	ALLIANZ NFJ LARGE CAP VALUE FUND CL BUY 0 Units @0.0000	0.00	3.02	0.00	3.02	90.0	2.72
10/02/2018	***-**-355I - DURAS, EDWARD ACCOUNT #: 01220369696	ALLIANZ OCC GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	7.40	0.00	7.40	90.0	6.66
10/02/2018	***-**-941I - NICOLOSI, GIOVAN ACCOUNT #: 01238084329	ALLIANZ OCC GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.06	0.00	1.06	90.0	0.95
10/02/2018	***-**-205I - CHOPRA, ANUBHAN ACCOUNT #: 01239692757	ALLIANZ OCC GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	2.53	0.00	2.53	90.0	2.28
10/02/2018	***-**-355I - DURAS, EDWARD ACCOUNT #: 01220369696	ALLIANZ RCM GLOBAL SMALL-CAP FUND C BUY 0 Units @0.0000	0.00	6.71	0.00	6.71	90.0	6.04
10/02/2018	***-**-723I - PONTURO, ACCOUNT #: 01228023865	ALLIANZ RCM GLOBAL SMALL-CAP FUND C BUY 0 Units @0.0000	0.00	6.25	0.00	6.25	90.0	5.63
10/05/2018	***-**-5389 - POWER, KATHLEEN ACCOUNT #: 01467261812	FA 529 COLLEGE PORT-CL A BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
10/05/2018	***-**-7975 - VAGLIO, JOSEPH ACCOUNT #: 00701694614	FA 529 COLLEGE PORT-CL C BUY 16 Units @15.2100	250.00	1.25	0.00	1.25	90.0	1.13
10/05/2018	***-**-5389 - POWER, KATHLEEN ACCOUNT #: 01467261812	FA 529 PORT 2019-CL A BUY 0 Units @0.0000	0.00	2.43	0.00	2.43	90.0	2.19
10/05/2018	***-**-5723 - PONTURO, ACCOUNT #: 00701164063	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	90.0	0.70
10/05/2018	***-**-5723 - PONTURO, ACCOUNT #: 00701164071	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	90.0	0.71
10/05/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY 31618H580 BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	90.0	1.17
10/05/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	2.42	0.00	2.42	90.0	2.18
10/05/2018	***-**-9173 - GORDON, DANIEL ACCOUNT #: 00535143713	FIDELITY ADV EQUITY INCOME CL A BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	90.0	0.17
10/05/2018	***-**-885I - TOMASIEWICZ, ACCOUNT #: 00700995913	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	1.60	0.00	1.60	90.0	1.44



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RICHARD PASCALE (continued)</u></b>								
10/05/2018	***-**-04IR - DEVECCHIS, ROSE	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.52	0.00	0.52	90.0	0.47
	ACCOUNT #: 01438976696	BUY 0 Units @0.0000						
10/05/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	1.52	0.00	1.52	90.0	1.37
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
10/05/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.22	0.00	0.22	90.0	0.20
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
10/05/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.54	0.00	1.54	90.0	1.39
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
10/05/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
10/15/2018	***-**-1994 - ATA FREIGHT LINE	JOHN HANCOCK VA TRAILS	0.00	13.08	0.00	13.08	90.0	11.77
		BUY 1 Units @0.0000						
09/29/2018	***-**-94SI - BECKERMAN,	NATIONWIDE CUSIP 638660100-NSCC	0.00	40.36	0.00	40.36	90.0	36.32
	ACCOUNT #: 016222557	BUY 0 Units @0.0000						
09/29/2018	***-**-59IN - COSMA, EILEEN	NATIONWIDE CUSIP 638660100-NSCC	0.00	4.21	0.00	4.21	90.0	3.79
	ACCOUNT #: 016331062	BUY 0 Units @0.0000						
09/29/2018	***-**-49IN - PARMAR, GURPREET	NATIONWIDE CUSIP 638660100-NSCC	0.00	4.19	0.00	4.19	90.0	3.77
	ACCOUNT #: 016331063	BUY 0 Units @0.0000						
09/29/2018	***-**-51IN - SINGH,	NATIONWIDE CUSIP 638660100-NSCC	0.00	5.28	0.00	5.28	90.0	4.75
	ACCOUNT #: 016331211	BUY 0 Units @0.0000						
09/29/2018	***-**-3485 - GOKER, MERT	NATIONWIDE CUSIP 638660100-NSCC	0.00	4.37	0.00	4.37	90.0	3.93
	ACCOUNT #: 016352765	BUY 0 Units @0.0000						
10/15/2018	***-**-5723 - PONTURO,	NEW YORK 529 TRAILS	0.00	3.88	0.00	3.88	90.0	3.49
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
10/15/2018	***-**-545P - FELLIN, DIANE	NEW YORK 529 TRAILS	0.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: 77169971001	BUY 0 Units @0.0000						
10/15/2018	***-**-465P - KALANTZOPOULOS,	NEW YORK 529 TRAILS	0.00	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: 77280070001	BUY 0 Units @0.0000						
10/15/2018	***-**-623I - LEIBOWITZ, IRWIN	NEW YORK 529 TRAILS	0.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 77287712601	BUY 0 Units @0.0000						
10/15/2018	***-**-895P - POWER, KATHLEEN	NEW YORK 529 TRAILS	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 77321173601	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RICHARD PASCALE (continued)</u></b>								
10/15/2018	***-**-775P - RHODES, EDWARD	NEW YORK 529 TRAILS	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: 77426669701	BUY 0 Units @0.0000						
10/15/2018	***-**-515P - PASSARO, MARY	NEW YORK 529 TRAILS	0.00	0.52	0.00	0.52	90.0	0.47
	ACCOUNT #: 77507742202	BUY 0 Units @0.0000						
10/15/2018	***-**-815P - WILSON, JOHN	NEW YORK 529 TRAILS	0.00	0.99	0.00	0.99	90.0	0.89
		BUY 0 Units @0.0000						
10/15/2018	***-**-5723 - PONTURO,	NY 529 ADDITIONAL DEPOSIT	189.50	4.76	0.00	4.76	90.0	4.28
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
10/15/2018	***-**-815P - WILSON, JOHN	NY 529 ADDITIONAL DEPOSIT	95.47	2.03	0.00	2.03	90.0	1.83
		BUY 0 Units @0.0000						
10/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
10/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
10/11/2018	***-**-285P - MORMINO, STEVE	PACIFIC LIFE ABRB DTCC	181.67	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: VP71053490	BUY 0 Units @0.0000						
10/12/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
10/06/2018	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067620	BUY 0 Units @0.0000						
10/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
10/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
10/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
10/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
10/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
10/02/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	0.00	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## October 15, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RICHARD PASCALE (continued)</u></b>								
10/02/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	0.00	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
10/02/2018	***-**-205I - CHOPRA, ANUBHAN	PIM 018919704	0.00	1.77	0.00	1.77	90.0	1.59
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
10/02/2018	***-**-941I - NICOLOSI, GIOVAN	PIMCO 01880B454	0.00	0.25	0.00	0.25	90.0	0.23
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
10/02/2018	***-**-941I - NICOLOSI, GIOVAN	PIMCO 01880B603	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
10/02/2018	***-**-205I - CHOPRA, ANUBHAN	PIMCO 01880B603	0.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
10/02/2018	***-**-941I - NICOLOSI, GIOVAN	PIMCO 01900C615	0.00	0.25	0.00	0.25	90.0	0.23
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
10/02/2018	***-**-205I - CHOPRA, ANUBHAN	PIMCO NACM EMERGING MARKETS OPPORTU	0.00	0.82	0.00	0.82	90.0	0.74
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
10/02/2018	***-**-971I - MEEHAN, JULIE	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: 00009175998	BUY 0 Units @0.0000						
* RICHARD PASCALE SUBTOTAL *			\$2,216.64	\$161.28	\$0.00	\$161.28		\$145.17
*** SPLIT TRANSACTIONS TOTAL ***			\$2,216.64	\$161.28	\$0.00	\$161.28		\$145.17



BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<b><u>BROKER COMMISSION TOTALS</u></b>							
						Broker Transactions	\$719.50
						Split Transactions	\$145.17
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$864.67
						Year-to-date Earnings	\$56,167.79