

Bill Payment Stub

| | |
|---------------|-----------|
| Check Date: | 4/25/2018 |
| Check No.: | ACH |
| Check Amount: | 18.50 |

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KATHERINE M. SMITH
1701 RIVER RUN ROAD STE 1116
FORT WORTH, TX
76107

| Date | Type | Reference | Original Amt. | Balance | Discount | Payment |
|-----------|--------|-----------------|---------------|---------|----------|---------|
| 4/15/2018 | Bill | COMMISSIONS | 153.50 | 153.50 | | 153.50 |
| 4/5/2018 | Credit | FINRA ASSESMENT | -135.00 | -135.00 | | -135.00 |

COMMISSION STATEMENT April 15, 2018

KATHERINE SMITH-DM31

 BROKER #: DM31
 BRANCH: DMW

, TX

COMMISSION STATEMENT for KATHERINE SMITH-DM31

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 04/02/2018 | 00084791628 | MASSENGALE, MF NEW WORLD FUND-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 04/02/2018 | 00084791669 | MASSENGALE, MF NEW WORLD FUND-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 04/02/2018 | 00085359820 | LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 04/02/2018 | 00085359860 | LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 03/28/2018 | 00088311353 | MASSENGALE, LARA MF NEW PERSPECTIVE FUND-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 03/28/2018 | 00088311353 | MASSENGALE, LARA MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 03/28/2018 | 00088338383 | MASSENGALE, JASON MF NEW PERSPECTIVE FUND-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 03/28/2018 | 00088338383 | MASSENGALE, JASON MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 04/02/2018 | 00088996224 | LOGSDON, AVARI MF THE GROWTH FUND OF AMERICA-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 04/05/2018 | 00089064328 | LOGSDON, TRACI MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 04/02/2018 | 00089500058 | PERTLL, JAMES MF AMCAP FUND-A | B | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 04/02/2018 | 00089500058 | PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME | B | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 03/26/2018 | 04000143383 | ZAVALA, GILBERT MF AMERICAN BALANCED FUND-A | B | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 03/26/2018 | 04000143383 | ZAVALA, GILBERT MF THE GROWTH FUND OF AMERICA-A | B | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 04/02/2018 | 04000452396 | PERTLL, JAMES MF AMCAP FUND-A | B | 50.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 04/02/2018 | 04000452396 | PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME | B | 50.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 03/22/2018 | 04000850515 | WHITSON, MELONYE MF THE GROWTH FUND OF AMERICA-A | B | 4,974.68 | 136.80 | 0.00 | 136.80 | 90.0 | 123.12 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$5,724.68 | \$167.60 | \$0.00 | \$167.60 | | \$150.88 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 02/28/2018 | (None) | SMITH, CLAY MFT VOYA | B | 0.00 | 2.91 | 0.00 | 2.91 | 90.0 | 2.62 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$2.91 | \$0.00 | \$2.91 | | \$2.62 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$5,724.68 | \$170.51 | \$0.00 | \$170.51 | | \$153.50 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$153.50 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$153.50 |
| Year-to-date Commissions | \$26,852.62 |