

COMMISSION STATEMENT

November 19, 2018

STEVEN MOSS

65 Carpenter Avenue Sea Cliff, NY 11579 BROKER #: 996 Terminated 01/14/2008

BRANCH #01033 - 33 - PASCALE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISS | | RATE | BROKER COMMISSION |
|--------------------|--------|---|----------------------|---------------------|--|----------------|--------|--------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | | |
| / / | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | | 0.00 | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | | \$0.00 | - | \$0.00 |
| | | | | | В | BROKER COMMISS | | | TOTALS |
| | | | | | Broker Transactions Split Transactions Override Transactions Adjustments | | | | \$0.00 |
| | | | | | | | | | \$0.00 |
| | | | | | | | | | \$0.00 |
| | | | | | | | | | \$0.00 |
| | | | | | Payroll Draw | | | | \$0.00 |
| | | | | | Base Salary | | | | \$0.00 |
| | | | Prior Period Balance | | | | | \$0.00 | |
| | | | | | FIN | IRA Asse | ssment | | \$0.00 |
| | | | | | SI | PC Asse | ssment | | \$0.00 |
| | | Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Balance | | | | | orward | | \$0.00 |
| | | | | | Year-to- | date Ea | rnings | | \$0.00 |