

COMMISSION STATEMENT October 31, 2018

DEBBIE MUEGGENBORG-D146

BROKER #: D146

BRANCH: DMB

, TX

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D146

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/16/2018 154215988	BASTIAN, DAVID	MF AMERICAN FDS BALANCED FD CL F2	B	-397.55	0.00	5.00	-5.00	90.0	-4.50
10/16/2018 368252390	REEVES, MONICA	MF T ROWE PRICE NEW HORIZONS FD	B	-947.55	0.00	5.00	-5.00	90.0	-4.50
10/16/2018 368258035	REEVES, IRA	MF T ROWE PRICE NEW HORIZONS FD	B	-547.55	0.00	5.00	-5.00	90.0	-4.50
10/04/2018 368323135	WILLIAMS, RONDA	MF PUTNAM US GOVT INCOME FD Y	B	-9,997.55	0.00	5.00	-5.00	90.0	-4.50
10/29/2018 368323135	WILLIAMS, RONDA	MF OAKMARK INTL FD CL INV	B	-1,997.55	0.00	5.00	-5.00	90.0	-4.50
09/28/2018 368434119	SCHMIDT-BAKER, RUTH	MF AMERICAN BALANCED FUND-A	S	150.00	0.00	2.50	-2.50	90.0	-2.25
10/16/2018 368443303	KENDRICK, WILLIAM	MF FIDELITY ADVISOR NEW INSIGHTS	B	-11,597.55	0.00	5.00	-5.00	90.0	-4.50
10/12/2018 368455168	STROOPE, HENRY	MF AMERICAN FDS NEW WORLD FD CL F2	S	-21,391.47	0.00	5.00	-5.00	90.0	-4.50
10/12/2018 368455168	STROOPE, HENRY	MF FIDELITY ADV MID CAP VALUE	S	-30,653.79	0.00	5.00	-5.00	90.0	-4.50
10/12/2018 368455168	STROOPE, HENRY	MF USAA INCOME FD	S	-29,811.75	0.00	5.00	-5.00	90.0	-4.50
10/15/2018 368455168	STROOPE, HENRY	MF CARILLON SCOUT MID CAP FUND	S	30,652.45	0.00	5.00	-5.00	90.0	-4.50
10/15/2018 368455168	STROOPE, HENRY	MF HARDING LOEVNER INTL EQUITY FD	S	10,002.45	0.00	5.00	-5.00	90.0	-4.50
10/15/2018 368455168	STROOPE, HENRY	MF OPPENHEIMER SENIOR FLOATING	S	37,002.45	0.00	2.50	-2.50	90.0	-2.25
10/16/2018 368493281	STEVENS, RAYMOND	MF FIDELITY CONTRA FUND	B	-747.55	0.00	5.00	-5.00	90.0	-4.50
10/16/2018 368493281	STEVENS, RAYMOND	MF NATIXIS LOOMIS SAYLES INV GRD	B	-747.55	0.00	5.00	-5.00	90.0	-4.50
10/16/2018 646561968	RICKETTS, RICHARD	MF OAKMARK FUND	B	-647.55	0.00	5.00	-5.00	90.0	-4.50
10/16/2018 677026738	STEVENS, RAY	MF FIDELITY ADVISOR REAL ESTATE FD	B	-297.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-31,975.16	\$0.00	\$80.00	\$-80.00		\$-72.00
<u>PRODUCT CATEGORY: STOCKS</u>									
10/16/2018 368430975	BEFORT, KAREN	S TIER REIT, INC.	S	-23,089.33	15.00	15.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *				\$-23,089.33	\$15.00	\$15.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AIM CHARTER FUND - CLASS A	B	0.00	32.17	0.00	32.17	90.0	28.95
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AIM CHARTER FUND - CLASS A	B	0.00	33.00	0.00	33.00	90.0	29.70
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-A	B	0.00	2.37	0.00	2.37	90.0	2.13
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	8.31	0.00	8.31	90.0	7.48
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	8.63	0.00	8.63	90.0	7.77
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	17.93	0.00	17.93	90.0	16.14
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	28.59	0.00	28.59	90.0	25.73
10/19/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-F1	B	0.00	3.00	0.00	3.00	90.0	2.70

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT AMERICAN BALANCED FUND-F1	B	0.00	3.14	0.00	3.14	90.0	2.83
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT AMERICAN BALANCED FUND-F1	B	0.00	13.59	0.00	13.59	90.0	12.23
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT AMERICAN MUTUAL FUND-A	B	0.00	3.66	0.00	3.66	90.0	3.29
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.39	0.00	3.39	90.0	3.05
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.64	0.00	9.64	90.0	8.68
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL WORLD GROWTH AND	B	0.00	1.53	0.00	1.53	90.0	1.38
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL WORLD GROWTH AND	B	0.00	1.69	0.00	1.69	90.0	1.52
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL WORLD GROWTH AND	B	0.00	3.73	0.00	3.73	90.0	3.36
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL WORLD GROWTH AND	B	0.00	9.43	0.00	9.43	90.0	8.49
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT CAPITAL WORLD BOND FUND-F1	B	0.00	1.31	0.00	1.31	90.0	1.18
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT COLUMBIA US GOVT MORTGAGE FD C	B	0.00	8.35	0.00	8.35	90.0	7.52
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.85	0.00	0.85	90.0	0.77
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.45	0.00	1.45	90.0	1.31
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.49	0.00	2.49	90.0	2.24
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.83	0.00	3.83	90.0	3.45
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.95	0.00	3.95	90.0	3.56
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	4.19	0.00	4.19	90.0	3.77
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	8.49	0.00	8.49	90.0	7.64
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	13.56	0.00	13.56	90.0	12.20
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	25.68	0.00	25.68	90.0	23.11
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	46.15	0.00	46.15	90.0	41.54
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	83.96	0.00	83.96	90.0	75.56
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	6.40	0.00	6.40	90.0	5.76
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.54	0.00	2.54	90.0	2.29
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	1.31	0.00	1.31	90.0	1.18
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	7.53	0.00	7.53	90.0	6.78
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	18.38	0.00	18.38	90.0	16.54
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT HENNESSY FOCUS FD INV	B	0.00	25.37	0.00	25.37	90.0	22.83
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT HODGES SMALL CAP FD RETAIL	B	0.00	2.39	0.00	2.39	90.0	2.15
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT HODGES SMALL CAP FD RETAIL	B	0.00	2.44	0.00	2.44	90.0	2.20
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	9.75	0.00	9.75	90.0	8.78
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	13.82	0.00	13.82	90.0	12.44
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	0.77	0.00	0.77	90.0	0.69
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	3.60	0.00	3.60	90.0	3.24
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES BOND FD CL R	B	0.00	6.35	0.00	6.35	90.0	5.72
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES STRATEGIC INCOME	B	0.00	3.37	0.00	3.37	90.0	3.03
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES STRATEGIC INCOME	B	0.00	9.97	0.00	9.97	90.0	8.97
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS SAYLES HIGH INCOME FD CL	B	0.00	11.71	0.00	11.71	90.0	10.54
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	0.84	0.00	0.84	90.0	0.76
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	1.97	0.00	1.97	90.0	1.77
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	19.17	0.00	19.17	90.0	17.25
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.93	0.00	2.93	90.0	2.64
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.27	0.00	3.27	90.0	2.94
10/19/2018	mfc284158	MUEGGENBORG 284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.73	0.00	4.73	90.0	4.26

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10/19/2018	mfc284158 MUEGGENBORG	284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.53	0.00	5.53	90.0	4.98
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	47.85	0.00	47.85	90.0	43.07
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT NEW WORLD FUND-F1	B	0.00	2.21	0.00	2.21	90.0	1.99
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT NEW WORLD FUND-F1	B	0.00	2.49	0.00	2.49	90.0	2.24
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	1.19	0.00	1.19	90.0	1.07
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	1.32	0.00	1.32	90.0	1.19
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	20.51	0.00	20.51	90.0	18.46
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	21.68	0.00	21.68	90.0	19.51
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PIMCO INCOME FD CL A	B	0.00	2.99	0.00	2.99	90.0	2.69
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.17	0.00	1.17	90.0	1.05
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.50	0.00	3.50	90.0	3.15
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	18.28	0.00	18.28	90.0	16.45
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT PUTNAM 746885102	B	0.00	4.07	0.00	4.07	90.0	3.66
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT ROYCE HERITAGE FD 1% REDEMPTION	B	0.00	2.09	0.00	2.09	90.0	1.88
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL EMERGING MARKETS FD CL	B	0.00	3.13	0.00	3.13	90.0	2.82
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL EMERGING MARKETS FD CL	B	0.00	23.05	0.00	23.05	90.0	20.75
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL GLOBAL EQUITY FD CL C	B	0.00	7.55	0.00	7.55	90.0	6.80
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL GLOBAL REAL ESTATE SEC	B	0.00	2.51	0.00	2.51	90.0	2.26
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL INVESTMENT GRADE BOND	B	0.00	5.41	0.00	5.41	90.0	4.87
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL INTER DEV MKTS FD CL C	B	0.00	3.33	0.00	3.33	90.0	3.00
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL INTER DEV MKTS FD CL C	B	0.00	10.76	0.00	10.76	90.0	9.68
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	25.45	0.00	25.45	90.0	22.91
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL SHORT DURATION BOND FD	B	0.00	12.41	0.00	12.41	90.0	11.17
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL SHORT DURATION BOND FD	B	0.00	42.12	0.00	42.12	90.0	37.91
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	7.37	0.00	7.37	90.0	6.63
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	32.51	0.00	32.51	90.0	29.26
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	5.37	0.00	5.37	90.0	4.83
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	13.69	0.00	13.69	90.0	12.32
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	23.26	0.00	23.26	90.0	20.93
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	4.75	0.00	4.75	90.0	4.28
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	28.64	0.00	28.64	90.0	25.78
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US SMALL CAP EQUITY FD	B	0.00	4.34	0.00	4.34	90.0	3.91
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT RUSSELL US SMALL CAP EQUITY FD	B	0.00	4.97	0.00	4.97	90.0	4.47
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT SMALLCAP WORLD FUND-C	B	0.00	5.43	0.00	5.43	90.0	4.89
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT SMALLCAP WORLD FUND-F1	B	0.00	29.17	0.00	29.17	90.0	26.25
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT SMALLCAP WORLD FUND-F1	B	0.00	49.93	0.00	49.93	90.0	44.94
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT THE GROWTH FUND OF AMERICA-C	B	0.00	13.80	0.00	13.80	90.0	12.42
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.28	0.00	2.28	90.0	2.05
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT THORNBURG LTD TERM INCOME FD CL	B	0.00	13.63	0.00	13.63	90.0	12.27
10/19/2018	mfc284158 MUEGGENBORG	284158 MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	57.09	0.00	57.09	90.0	51.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,113.50	\$0.00	\$1,113.50		\$1,002.21
*** CLEARING TRANSACTIONS TOTAL ***				\$-55,064.49	\$1,128.50	\$95.00	\$1,033.50		\$930.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/17/2018	00069057320	REYNOLDS, DEVYN	MF	AMERICAN BALANCED FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
10/17/2018	00069057320	REYNOLDS, DEVYN	MF	THE INVESTMENT COMPANY OF	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *						\$200.00	\$2.00	\$0.00	\$2.00		\$1.80
*** DIRECT TRANSACTIONS TOTAL ***						\$200.00	\$2.00	\$0.00	\$2.00		\$1.80

BROKER COMMISSION TOTALS

Broker Transactions	\$932.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00