



# COMMISSION STATEMENT

November 19, 2018

**BARRY BRESIN**

11 Walnut Hill Drive  
Penfield, NY 14526

**BROKER #: 9**

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/02/2018	***-**-13IN - CLEMENT, SANDRA	MUNICIPAL INCOME FUND A	0.00	3.85	0.00	3.85	80.0	3.08
	ACCOUNT #: 00519232005	BUY 0 Units @0.0000						
11/19/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS	0.00	19.72	0.00	19.72	80.0	15.78
		BUY 0 Units @0.0000						
11/19/2018	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS	0.00	10.78	0.00	10.78	80.0	8.62
		BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$34.35</b>	<b>\$0.00</b>	<b>\$34.35</b>		<b>\$27.48</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$34.35</b>	<b>\$0.00</b>	<b>\$34.35</b>		<b>\$27.48</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$27.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$27.48</b>
<b>Year-to-date Earnings</b>	<b>\$47,960.07</b>