

COMMISSION STATEMENT

November 30, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: P71 P72
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/30/2018	QGV001100	AND EDWARD FRENCH		AURORA CANNABIS INC COM ISIN#CA0515 B	6,119.90	73.00	0.00	73.00	40.0	29.20
10/30/2018	QGV001100	AND EDWARD FRENCH		NAMASTE TECHNOLOGIES INC SHS ISIN#C B	2,756.80	73.00	0.00	73.00	40.0	29.20
10/30/2018	QGV001100	AND EDWARD FRENCH		TELADOC INC COM B	13,162.26	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		AUTOHOME INC SPONSORED ADR REPST CL B	21,676.80	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		AXOGEN INC COM B	7,535.28	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		BIOTELEMETRY INC COM B	11,801.14	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		CARGURUS INC CL A B	8,825.72	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		COUPA SOFTWARE INCORPORATED COM B	12,939.68	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		EXACT SCIENCES CORP B	14,586.68	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		INTRICON CORP COM B	8,579.56	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		IRHYTHM TECHNOLOGIES INC COM B	16,679.68	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		TELADOC INC COM B	14,025.00	73.00	0.00	73.00	40.0	29.20
10/31/2018	QGV001100	AND EDWARD FRENCH		WEIBO CORP SPONS ADR ISIN#US9485961 B	11,668.66	73.00	0.00	73.00	40.0	29.20
11/01/2018	QGV001100	AND EDWARD FRENCH		BILIBILI INC ADS REPSTG SHS CL Z IS B	7,390.20	73.00	0.00	73.00	40.0	29.20
11/05/2018	QGV001100	AND EDWARD FRENCH		AURORA CANNABIS INC COM ISIN#CA0515 S	-6,932.10	73.00	0.00	73.00	40.0	29.20
11/05/2018	QGV001100	AND EDWARD FRENCH		AUTOHOME INC SPONSORED ADR REPST CL S	-22,098.06	73.00	0.00	73.00	40.0	29.20
11/05/2018	QGV001100	AND EDWARD FRENCH		CANNAROYALTY CORP COM ISIN# CA13764 B	7,832.50	73.00	0.00	73.00	40.0	29.20
11/07/2018	QGV001100	AND EDWARD FRENCH		CARGURUS INC CL A S	-8,078.84	73.00	0.00	73.00	40.0	29.20
11/08/2018	QGV001100	AND EDWARD FRENCH		ORGANIGRAM HOLDINGS INC SHS ISIN#CA B	4,979.60	73.00	0.00	73.00	40.0	29.20
11/08/2018	QGV001100	AND EDWARD FRENCH		TILRAY INC COM B	6,385.64	73.00	0.00	73.00	40.0	29.20
11/09/2018	QGV001100	AND EDWARD FRENCH		INTRICON CORP COM S	-8,090.46	73.00	0.00	73.00	40.0	29.20
11/12/2018	QGV001100	AND EDWARD FRENCH		BIOTELEMETRY INC COM S	-12,503.56	73.00	0.00	73.00	40.0	29.20
11/12/2018	QGV001100	AND EDWARD FRENCH		IRHYTHM TECHNOLOGIES INC COM S	-14,404.26	73.00	0.00	73.00	40.0	29.20
11/13/2018	QGV001100	AND EDWARD FRENCH		TELADOC INC COM S	-23,430.16	73.00	0.00	73.00	40.0	29.20
11/19/2018	QGV001100	AND EDWARD FRENCH		AXOGEN INC COM S	-6,091.58	73.00	0.00	73.00	40.0	29.20
11/21/2018	QGV001100	AND EDWARD FRENCH		BILIBILI INC ADS REPSTG SHS CL Z IS B	7,767.50	73.00	0.00	73.00	40.0	29.20
11/26/2018	QGV001100	AND EDWARD FRENCH		BILIBILI INC ADS REPSTG SHS CL Z IS B	7,457.75	73.00	0.00	73.00	40.0	29.20
11/26/2018	QGV001100	AND EDWARD FRENCH		MEDMEN ENTERPRISES INC CL B ISIN#CA B	3,512.60	73.00	0.00	73.00	40.0	29.20
11/19/2018	QGV002439	NA, CITIBANK		PROSHARES TRUST PROSHARES ULTRASHOR S	-2,249,625.00	1,575.00	0.00	1,575.00	40.0	630.00
11/01/2018	QGW002180	FRENCH, JOHN		51JOB INC SPONS ADR RESTG COM B	13,360.40	73.00	0.00	73.00	40.0	29.20
11/01/2018	QGW002180	FRENCH, JOHN		MONGODB INC CL A B	15,989.00	73.00	0.00	73.00	40.0	29.20

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/01/2018	QGW002180	FRENCH, JOHN	WEIBO CORP SPONS ADR ISIN#US9485961 B	13,040.00	73.00	0.00	73.00	40.0	29.20
11/08/2018	QGW002180	FRENCH, JOHN	51JOB INC SPONS ADR RESTG COM S	-12,774.86	73.00	0.00	73.00	40.0	29.20
11/08/2018	QGW002180	FRENCH, JOHN	BTL GROUP LTD SHS ISIN#CA0557661091 B	1,282.80	43.80	0.00	43.80	40.0	17.52
11/08/2018	QGW002180	FRENCH, JOHN	BTL GROUP LTD SHS ISIN#CA0557661091 B	427.60	14.60	0.00	14.60	40.0	5.84
11/08/2018	QGW002180	FRENCH, JOHN	BTL GROUP LTD SHS ISIN#CA0557661091 B	432.20	14.60	0.00	14.60	40.0	5.84
11/13/2018	QGW002180	FRENCH, JOHN	MONGODB INC CL A S	-13,852.22	73.00	0.00	73.00	40.0	29.20
11/28/2018	QGW002180	FRENCH, JOHN	SMART CANNABIS CORP COM B	1,925.00	73.00	0.00	73.00	40.0	29.20
11/16/2018	QGW002404	MAHARISHI UNIVERSITY	FIRSTENERGY CORP S	-4,354.96	75.00	0.00	75.00	40.0	30.00
10/30/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS S	-3,643.08	75.00	0.00	75.00	40.0	30.00
10/31/2018	QGW002925	BATHRICK, CHARLES	FIREEYE INC COM S	-5,580.00	75.00	0.00	75.00	40.0	30.00
11/07/2018	QGW002925	BATHRICK, CHARLES	ARROWHEAD PHARMACEUTICALS INC COM B	4,761.30	75.00	0.00	75.00	40.0	30.00
11/08/2018	QGW002925	BATHRICK, CHARLES	FRONTLINE LTD SHS NEW B	2,739.96	75.00	0.00	75.00	40.0	30.00
11/08/2018	QGW002925	BATHRICK, CHARLES	MICROSOFT CORP S	-22,312.20	75.00	0.00	75.00	40.0	30.00
11/08/2018	QGW002925	BATHRICK, CHARLES	PFIZER INCORPORATED B	8,763.16	75.00	0.00	75.00	40.0	30.00
11/08/2018	QGW002925	BATHRICK, CHARLES	TEEKAY TANKERS LTD B	478.68	75.00	0.00	75.00	40.0	30.00
11/12/2018	QGW002925	BATHRICK, CHARLES	FRONTLINE LTD SHS NEW S	-2,448.40	75.00	0.00	75.00	40.0	30.00
11/14/2018	QGW002925	BATHRICK, CHARLES	ARROWHEAD PHARMACEUTICALS INC COM S	-3,561.81	75.00	0.00	75.00	40.0	30.00
11/14/2018	QGW002925	BATHRICK, CHARLES	FIREEYE INC COM B	7,504.00	75.00	0.00	75.00	40.0	30.00
11/27/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS B	4,032.00	75.00	0.00	75.00	40.0	30.00
10/30/2018	QGW002974	CAIN, ANTHONY	ALTRIA GROUP INC B	32,818.40	150.00	0.00	150.00	40.0	60.00
10/30/2018	QGW002974	CAIN, ANTHONY	PHILIP MORRIS INTERNATIONAL INC B	45,400.20	150.00	0.00	150.00	40.0	60.00
10/31/2018	QGW002974	CAIN, ANTHONY	HOLLYFRONTIER CORP COM S	-68,322.60	125.00	0.00	125.00	40.0	50.00
10/31/2018	QGW002974	CAIN, ANTHONY	PROCTER & GAMBLE CO B	39,680.19	150.00	0.00	150.00	40.0	60.00
11/02/2018	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	3,520.00	150.00	0.00	150.00	40.0	60.00
11/05/2018	QGW002974	CAIN, ANTHONY	PROCTER & GAMBLE CO S	-41,016.02	150.00	0.00	150.00	40.0	60.00
11/06/2018	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B	7,500.00	125.00	0.00	125.00	40.0	50.00
11/07/2018	QGW002974	CAIN, ANTHONY	APPLE INC B	102,762.70	250.00	0.00	250.00	40.0	100.00
11/07/2018	QGW002974	CAIN, ANTHONY	ARROWHEAD PHARMACEUTICALS INC COM B	7,885.00	150.00	0.00	150.00	40.0	60.00
11/07/2018	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B	4,005.00	75.00	0.00	75.00	40.0	30.00
11/07/2018	QGW002974	CAIN, ANTHONY	BLUE BIRD CORPORATION COM S	-11,299.15	150.00	0.00	150.00	40.0	60.00
11/07/2018	QGW002974	CAIN, ANTHONY	BLUE BIRD CORPORATION COM S	11,299.15	-150.00	0.00	-150.00	40.0	-60.00 C
11/07/2018	QGW002974	CAIN, ANTHONY	BLUE BIRD CORPORATION COM S	-11,299.15	150.00	0.00	150.00	40.0	60.00
11/08/2018	QGW002974	CAIN, ANTHONY	APPLE INC S	-104,473.05	250.00	0.00	250.00	40.0	100.00
11/08/2018	QGW002974	CAIN, ANTHONY	ARROWHEAD PHARMACEUTICALS INC COM S	-7,583.15	125.00	0.00	125.00	40.0	50.00
11/08/2018	QGW002974	CAIN, ANTHONY	FRONTLINE LTD SHS NEW B	13,619.80	150.00	0.00	150.00	40.0	60.00
11/08/2018	QGW002974	CAIN, ANTHONY	TEEKAY TANKERS LTD B	2,400.00	125.00	0.00	125.00	40.0	50.00
11/08/2018	QGW002974	CAIN, ANTHONY	VERIZON COMMUNICATIONS B	58,067.50	150.00	0.00	150.00	40.0	60.00
11/09/2018	QGW002974	CAIN, ANTHONY	APPLE INC B	133,070.08	300.00	0.00	300.00	40.0	120.00
11/09/2018	QGW002974	CAIN, ANTHONY	PHILIP MORRIS INTERNATIONAL INC S	-45,031.75	100.00	0.00	100.00	40.0	40.00
11/09/2018	QGW002974	CAIN, ANTHONY	VERIZON COMMUNICATIONS S	-58,492.00	125.00	0.00	125.00	40.0	50.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/19/2018	QGW002974	CAIN, ANTHONY							
		BANK OF AMERICA CORP	B	20,802.68	200.00	0.00	200.00	40.0	80.00
11/19/2018	QGW002974	CAIN, ANTHONY							
		FRONTLINE LTD SHS NEW	S	-13,130.00	150.00	0.00	150.00	40.0	60.00
11/19/2018	QGW002974	CAIN, ANTHONY							
		TEEKAY TANKERS LTD	S	-2,280.20	125.00	0.00	125.00	40.0	50.00
11/13/2018	QGW003386	RF LAFFERTY & CO							
		APPLE INC	B	8,167.74	150.00	0.00	150.00	40.0	60.00
11/13/2018	QGW003386	RF LAFFERTY & CO							
		BLUE BIRD CORPORATION COM	S	-1,765.45	25.00	0.00	25.00	40.0	10.00
10/30/2018	QGW004392	VALENTINE, OLIVIA							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		305.00	12.50	0.00	12.50	40.0	5.00
10/30/2018	QGW004392	VALENTINE, OLIVIA							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		152.50	6.25	0.00	6.25	40.0	2.50
10/30/2018	QGW004392	VALENTINE, OLIVIA							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		2,601.00	106.25	0.00	106.25	40.0	42.50
11/08/2018	QGW004392	VALENTINE, OLIVIA							
		CHEVRON CORPORATION	S	-60,567.55	150.00	0.00	150.00	40.0	60.00
11/12/2018	QGW004392	VALENTINE, OLIVIA							
		APPLE INC	B	48,623.23	150.00	0.00	150.00	40.0	60.00
11/09/2018	QGW004608	FRY, ROBERT							
		PROSHARES TR SHORT 20+ YR TREAS ETF S		-16,899.54	75.00	0.00	75.00	40.0	30.00
10/30/2018	QGW004665	JOSEPH FRY							
		ENERGY FUELS INC COM NEW	B	8,283.24	90.00	0.00	90.00	40.0	36.00
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-522.30	5.00	0.00	5.00	40.0	2.00
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-174.10	1.66	0.00	1.66	40.0	0.66
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-174.10	1.66	0.00	1.66	40.0	0.66
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-348.20	3.33	0.00	3.33	40.0	1.33
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-174.10	1.66	0.00	1.66	40.0	0.66
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-174.10	1.66	0.00	1.66	40.0	0.66
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-174.10	1.66	0.00	1.66	40.0	0.66
10/30/2018	QGW004665	JOSEPH FRY							
		IVANHOE MINES LTD COM CL A ISIN#CA4 S		-8,705.00	83.37	0.00	83.37	40.0	33.35
11/07/2018	QGW004665	JOSEPH FRY							
		ENERGY FUELS INC COM NEW	B	5,459.30	90.00	0.00	90.00	40.0	36.00
11/16/2018	QGW021867	MERGOTT, JONATHAN							
		FIRST MAJESTIC SILVER CORP	B	2,025.00	75.00	0.00	75.00	40.0	30.00
10/31/2018	QGW021990	STEINMETZ, GEORGE							
		UNITED RENTALS INC	B	17,922.39	60.00	0.00	60.00	40.0	24.00
11/08/2018	QGW021990	STEINMETZ, GEORGE							
		CELGENE CORP	S	-15,153.00	60.00	0.00	60.00	40.0	24.00
11/08/2018	QGW021990	STEINMETZ, GEORGE							
		INVESCO EXCHANGE- TRADED FD TR BUYB S		-23,922.64	60.00	0.00	60.00	40.0	24.00
11/09/2018	QGW021990	STEINMETZ, GEORGE							
		MERCK & CO INC	S	-18,803.40	60.00	0.00	60.00	40.0	24.00
11/13/2018	QGW021990	STEINMETZ, GEORGE							
		DOMO INC CL B	S	-4,806.24	60.00	0.00	60.00	40.0	24.00
11/13/2018	QGW021990	STEINMETZ, GEORGE							
		ICHOR HOLDINGS LTD REGISTERED SHS I S		-9,659.76	60.00	0.00	60.00	40.0	24.00
11/20/2018	QGW021990	STEINMETZ, GEORGE							
		UNITED RENTALS INC	S	-16,280.04	60.00	0.00	60.00	40.0	24.00
11/21/2018	QGW021990	STEINMETZ, GEORGE							
		VERMILION ENERGY INC	S	-15,450.00	60.00	0.00	60.00	40.0	24.00
11/26/2018	QGW021990	STEINMETZ, GEORGE							
		UNITED STATES OIL FUND LP UNITS ETF B		21,960.00	60.00	0.00	60.00	40.0	24.00
11/28/2018	QGW021990	STEINMETZ, GEORGE							
		KOHL'S CORP COM	B	13,592.12	60.00	0.00	60.00	40.0	24.00
11/02/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 S		-9,681.00	390.00	0.00	390.00	40.0	156.00
* STOCKS SUBTOTAL *				\$-2,103,801.97	\$10,475.00	\$0.00	\$10,475.00		\$4,189.98
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/30/2018		FEE COUNT - 4		0.00	14.38	0.00	14.38	40.0	5.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$14.38	\$0.00	\$14.38		\$5.76
*** BROKER TRANSACTIONS TOTAL ***				\$-2,103,801.97	\$10,489.38	\$0.00	\$10,489.38		\$4,195.74

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<u>ADJUSTMENTS</u>									
11/30/2018		COMMISSION INCOME: QGW03386 11/13 T		0.00	0.00	0.00	0.00		-60.00
11/30/2018		COMMISSION INCOME: QGW003386 11/13		0.00	0.00	0.00	0.00		-10.00
11/30/2018		COMMISSION INCOME: QGW003386		0.00	0.00	0.00	0.00		15.00
11/30/2018		COMMISSION INCOME: QGW003386		0.00	0.00	0.00	0.00		2.50
11/30/2018		MISCELLANEOUS: BONUS- J KEHOE		0.00	0.00	0.00	0.00		-1,000.00
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-1,072.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,195.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,072.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-13.11
SIPC Assessment	\$-26.22

Check Amount	\$3,083.91
Year-to-date Earnings	\$57,372.44