



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/17/2018	***-**-35IN - LIGHT AND POWER,	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	95.0	1.96
	ACCOUNT #: 00081655418	BUY 7 Units @10.6400						
09/17/2018	***-**-35IN - LIGHT AND POWER,	CAPITAL INCOME BUILDER-A	125.00	3.44	0.00	3.44	95.0	3.27
	ACCOUNT #: 00081655418	BUY 2 Units @62.2700						
09/17/2018	***-**-2256 - SPITZER, HERSHEL	CAPITAL INCOME BUILDER-A	87.50	2.41	0.00	2.41	95.0	2.29
	ACCOUNT #: 00083997024	BUY 1 Units @62.2700						
09/24/2018	***-**-3500 - NIELSEN, JEFFREY	DELAWARE GROWTH OPPORTUNITIES FD CL	150.00	6.00	0.00	6.00	95.0	5.70
	ACCOUNT #: 6000305476	BUY 0 Units @0.0000						
09/14/2018	***-**-575I - PALELLA, CHARLES	EQUITY INCOME FUND, INC. A	250.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 08350895037	BUY 0 Units @0.0000						
09/17/2018	***-**-2256 - SPITZER, HERSHEL	EUROPACIFIC GROWTH FUND-A	87.50	2.41	0.00	2.41	95.0	2.29
	ACCOUNT #: 00083997024	BUY 2 Units @54.0000						
09/17/2018	***-**-2256 - SPITZER, HERSHEL	FUNDAMENTAL INVESTORS-A	137.50	3.78	0.00	3.78	95.0	3.59
	ACCOUNT #: 00083997024	BUY 2 Units @66.7700						
09/17/2018	***-**-2256 - SPITZER, HERSHEL	NEW PERSPECTIVE FUND-A	137.50	3.78	0.00	3.78	95.0	3.59
	ACCOUNT #: 00083997024	BUY 3 Units @47.7700						
09/17/2018	***-**-35IN - LIGHT AND POWER,	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	95.0	3.92
	ACCOUNT #: 00081655418	BUY 6 Units @23.9800						
* MUTUAL FUNDS SUBTOTAL *			\$1,200.00	\$38.01	\$0.00	\$38.01		\$36.11
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/17/2018	***-**-8367 - RAGUSO, PAMELA	PRUDENTIAL VA TRAILS 74429P110	100.00	6.00	0.00	6.00	95.0	5.70
	ACCOUNT #: E1470506	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$6.00	\$0.00	\$6.00		\$5.70
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
09/24/2018	***-**-826I - NOEL, DARIN	RELIASTAR RENEWALS	0.00	2.95	0.00	2.95	95.0	2.80
		BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$2.95	\$0.00	\$2.95		\$2.80



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/24/2018	***-**-3500 - NIELSEN, JEFFREY	DELAWARE INV MF TRAILS	0.00	12.48	0.00	12.48	95.0	11.86
	ACCOUNT #: 6000305476	BUY 0 Units @0.0000						
09/24/2018	***-**-1852 - CAROSCIO,	DELAWARE INV MF TRAILS	0.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 5163028425	BUY 0 Units @0.0000						
09/24/2018	***-**-175I - CURNS, DANIEL	DELAWARE INV MF TRAILS	0.00	0.56	0.00	0.56	95.0	0.53
	ACCOUNT #: 5164473846	BUY 0 Units @0.0000						
09/24/2018	***-**-1852 - CAROSCIO,	DELAWARE INV MF TRAILS	0.00	13.06	0.00	13.06	95.0	12.41
		BUY 0 Units @0.0000						
09/24/2018	***-**-552I - TODD, MARY	DELAWARE INV MF TRAILS	0.00	0.82	0.00	0.82	95.0	0.78
		BUY 0 Units @0.0000						
09/24/2018	***-**-275J - LAMANNA/PATRICK,	DELAWARE INV MF TRAILS	0.00	1.89	0.00	1.89	95.0	1.80
		BUY 0 Units @0.0000						
09/24/2018	***-**-5289 - UGMA/NY	DELAWARE INV MF TRAILS	0.00	1.39	0.00	1.39	95.0	1.32
		BUY 0 Units @0.0000						
09/06/2018	***-**-837J - HARRIS, THOMAS	DYNAMIC SMALL CAP FUND - A	0.00	2.21	0.00	2.21	95.0	2.10
	ACCOUNT #: 00000442576	BUY 0 Units @0.0000						
09/06/2018	***-**-14UG - MANNO, PAUL A	DYNAMIC SMALL CAP FUND - A	0.00	8.22	0.00	8.22	95.0	7.81
	ACCOUNT #: 00000456570	BUY 0 Units @0.0000						
09/06/2018	***-**-73IN - PHILIPPY, MARK	DYNAMIC SMALL CAP FUND - A	0.00	3.20	0.00	3.20	95.0	3.04
	ACCOUNT #: 00000457290	BUY 0 Units @0.0000						
09/06/2018	***-**-380I - COOK, JANINE	DYNAMIC SMALL CAP FUND - A	0.00	9.04	0.00	9.04	95.0	8.59
	ACCOUNT #: 00000458187	BUY 0 Units @0.0000						
09/06/2018	***-**-14UG - MANNO, PAUL A	DYNAMIC SMALL CAP FUND - A	0.00	8.22	0.00	8.22	95.0	7.81
	ACCOUNT #: 00000476122	BUY 0 Units @0.0000						
09/06/2018	***-**-16IN - CURRAN, THOMAS	DYNAMIC SMALL CAP FUND - A	0.00	6.58	0.00	6.58	95.0	6.25
	ACCOUNT #: 00000478324	BUY 0 Units @0.0000						
09/06/2018	***-**-305P - MILGATE, PATRICK	DYNAMIC SMALL CAP FUND - A	0.00	4.79	0.00	4.79	95.0	4.55
	ACCOUNT #: 00000485407	BUY 0 Units @0.0000						
09/10/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 COLLEGE PORT-CL C	0.00	3.07	0.00	3.07	95.0	2.92
	ACCOUNT #: 00625374335	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.98	0.00	2.98	95.0	2.83
	ACCOUNT #: 00625264015	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-2326 - SILLS, MICHAEL	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.73	0.00	0.73	95.0	0.69
	ACCOUNT #: 00625388988	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	5.33	0.00	5.33	95.0	5.06
	ACCOUNT #: 01429492661	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	3.82	0.00	3.82	95.0	3.63
	ACCOUNT #: 01429492687	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.28	0.00	2.28	95.0	2.17
	ACCOUNT #: 01438811646	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.20	0.00	1.20	95.0	1.14
	ACCOUNT #: 01439435668	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.96	0.00	1.96	95.0	1.86
	ACCOUNT #: 00625264015	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.94	0.00	1.94	95.0	1.84
	ACCOUNT #: 01429492661	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	2.07	0.00	2.07	95.0	1.97
	ACCOUNT #: 01429492687	BUY 0 Units @0.0000						
09/10/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.92	0.00	1.92	95.0	1.82
	ACCOUNT #: 01438811646	BUY 0 Units @0.0000						
09/10/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL A	0.00	0.19	0.00	0.19	95.0	0.18
	ACCOUNT #: 00625374327	BUY 0 Units @0.0000						
09/10/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL C	0.00	0.24	0.00	0.24	95.0	0.23
	ACCOUNT #: 00625374327	BUY 0 Units @0.0000						
09/10/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	2.15	0.00	2.15	95.0	2.04
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
09/10/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV EMERGING ASIA FUND CL	0.00	3.65	0.00	3.65	95.0	3.47
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
09/10/2018	***-**-015I - COLONEY, SHIRLEY	FIDELITY ADV EMERGING MKTS CL A	0.00	1.35	0.00	1.35	95.0	1.28
	ACCOUNT #: 01438826586	BUY 0 Units @0.0000						
09/10/2018	***-**-26IR - SILLS, MICHAEL	FIDELITY ADV EMERGING MKTS CL A	0.00	0.24	0.00	0.24	95.0	0.23
	ACCOUNT #: 01440241196	BUY 0 Units @0.0000						
09/10/2018	***-**-305P - DI MARCO,	FIDELITY ADV EMERGING MKTS-CL C	0.00	2.74	0.00	2.74	95.0	2.60
	ACCOUNT #: 00701854093	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-4567 - HOWIE, GORDON ACCOUNT #: 00704021278	FIDELITY ADV EMERGING MKTS-CL C BUY 0 Units @0.0000	0.00	2.20	0.00	2.20	95.0	2.09
09/10/2018	***-**-9194 - MARTIN, BRIAN ACCOUNT #: 00704021286	FIDELITY ADV EMERGING MKTS-CL C BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	95.0	0.56
09/10/2018	***-**-806I - MARYJANOWSKI, ACCOUNT #: 00704164920	FIDELITY ADV EMERGING MKTS-CL C BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	95.0	0.10
09/10/2018	***-**-248I - STAAB, DONNA ACCOUNT #: 00704192111	FIDELITY ADV EMERGING MKTS-CL C BUY 0 Units @0.0000	0.00	2.33	0.00	2.33	95.0	2.21
09/10/2018	***-**-9194 - MARTIN, BRIAN ACCOUNT #: 00704224948	FIDELITY ADV EMERGING MKTS-CL C BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	95.0	0.33
09/10/2018	***-**-082I - MEYER, DENNIS ACCOUNT #: 00689728715	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	95.0	2.05
09/10/2018	***-**-7153 - MENARD, MICHAEL ACCOUNT #: 00009008138	FIDELITY ADV EQUITY GROWTH CL T BUY 0 Units @0.0000	0.00	100.88	0.00	100.88	95.0	95.84
09/10/2018	***-**-986I - MERLAU, SANDRA ACCOUNT #: 01448393544	FIDELITY ADV GLOBAL CAP APP-CL C BUY 0 Units @0.0000	0.00	4.51	0.00	4.51	95.0	4.28
09/10/2018	***-**-0730 - TANCK, LISA ACCOUNT #: 01468853039	FIDELITY ADV GROWTH & INCOME CL C BUY 0 Units @0.0000	0.00	10.46	0.00	10.46	95.0	9.94
09/10/2018	***-**-215I - HILL, ROBERT ACCOUNT #: 00655371573	FIDELITY ADV HEALTH CARE CL C BUY 0 Units @0.0000	0.00	11.55	0.00	11.55	95.0	10.97
09/10/2018	***-**-540I - DEGNAN, SUSAN ACCOUNT #: 01483840300	FIDELITY ADV HEALTH CARE CL C BUY 0 Units @0.0000	0.00	3.60	0.00	3.60	95.0	3.42
09/10/2018	***-**-8490 - ALLYN, ANN ACCOUNT #: 00009045530	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	0.03	0.00	0.03	95.0	0.03
09/10/2018	***-**-6747 - LAKE, DAVID ACCOUNT #: 00655990968	FIDELITY ADV INTL CAPITAL APP CL C BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	95.0	1.47
09/10/2018	***-**-015I - COLONEY, SHIRLEY ACCOUNT #: 01438826586	FIDELITY ADV INTL SMALL CAP OPPS-CL BUY 0 Units @0.0000	0.00	0.94	0.00	0.94	95.0	0.89
09/10/2018	***-**-806I - MARYJANOWSKI, ACCOUNT #: 00704164920	FIDELITY ADV INTL SMALL CAP OPPS-CL BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	95.0	0.52
09/10/2018	***-**-248I - STAAB, DONNA ACCOUNT #: 00704192111	FIDELITY ADV INTL SMALL CAP OPPS-CL BUY 0 Units @0.0000	0.00	3.63	0.00	3.63	95.0	3.45



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	0.83	0.00	0.83	95.0	0.79
	ACCOUNT #: 00704224948	BUY 0 Units @0.0000						
09/10/2018	***-**-423I -	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.91	0.00	1.91	95.0	1.81
	ACCOUNT #: 00625454525	BUY 0 Units @0.0000						
09/10/2018	***-**-015I - COLONEY, SHIRLEY	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	2.46	0.00	2.46	95.0	2.34
	ACCOUNT #: 01438826586	BUY 0 Units @0.0000						
09/10/2018	***-**-586I - BACH, LINDA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	2.66	0.00	2.66	95.0	2.53
	ACCOUNT #: 01447589845	BUY 0 Units @0.0000						
09/10/2018	***-**-3750 - RAY, CHRISTIAN	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.23	0.00	1.23	95.0	1.17
	ACCOUNT #: 01459576656	BUY 0 Units @0.0000						
09/10/2018	***-**-0887 - RAY, JONATHAN	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.23	0.00	1.23	95.0	1.17
	ACCOUNT #: 01459576698	BUY 0 Units @0.0000						
09/10/2018	***-**-92CL - HAWRYLIAK,	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	11.11	0.00	11.11	95.0	10.55
	ACCOUNT #: 01477333049	BUY 0 Units @0.0000						
09/10/2018	***-**-677I - SADLER, MICHAEL	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	8.30	0.00	8.30	95.0	7.89
	ACCOUNT #: 01432592572	BUY 0 Units @0.0000						
09/10/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	17.60	0.00	17.60	95.0	16.72
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
09/10/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	13.45	0.00	13.45	95.0	12.78
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
09/10/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	16.30	0.00	16.30	95.0	15.49
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						
09/10/2018	***-**-215I - HILL, ROBERT	FIDELITY ADV MID CAP CL C	0.00	0.06	0.00	0.06	95.0	0.06
	ACCOUNT #: 00655371573	BUY 0 Units @0.0000						
09/10/2018	***-**-9156 - KOLUPSKI, KIM	FIDELITY ADV MID CAP CL C	0.00	70.80	0.00	70.80	95.0	67.26
	ACCOUNT #: 00701046575	BUY 0 Units @0.0000						
09/10/2018	***-**-4567 - HOWIE, GORDON	FIDELITY ADV MID CAP II - CL C	0.00	10.84	0.00	10.84	95.0	10.30
	ACCOUNT #: 00704021278	BUY 0 Units @0.0000						
09/10/2018	***-**-806I - MARYJANOWSKI,	FIDELITY ADV MID CAP II - CL C	0.00	0.96	0.00	0.96	95.0	0.91
	ACCOUNT #: 00704164920	BUY 0 Units @0.0000						
09/10/2018	***-**-248I - STAAB, DONNA	FIDELITY ADV MID CAP II - CL C	0.00	16.41	0.00	16.41	95.0	15.59
	ACCOUNT #: 00704192111	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-9194 - MARTIN, BRIAN ACCOUNT #: 00704224948	FIDELITY ADV MID CAP II - CL C BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	95.0	0.53
09/10/2018	***-**-403I - MILLER, DANIEL ACCOUNT #: 00704257047	FIDELITY ADV MID CAP II - CL C BUY 0 Units @0.0000	0.00	4.57	0.00	4.57	95.0	4.34
09/10/2018	***-**-9357 - MACKENZIE, ACCOUNT #: 00704417856	FIDELITY ADV MID CAP II - CL C BUY 0 Units @0.0000	0.00	5.13	0.00	5.13	95.0	4.87
09/10/2018	***-**-986I - MERLAU, SANDRA ACCOUNT #: 01448393544	FIDELITY ADV MID CAP II - CL C BUY 0 Units @0.0000	0.00	12.77	0.00	12.77	95.0	12.13
09/10/2018	***-**-72IN - HERTZEL, GERALD ACCOUNT #: 00704765932	FIDELITY ADV MID CAP II - CL C BUY 0 Units @0.0000	0.00	23.38	0.00	23.38	95.0	22.21
09/10/2018	***-**-31IN - O'DONNELL, ACCOUNT #: 00704828987	FIDELITY ADV MID CAP II - CL C BUY 0 Units @0.0000	0.00	9.01	0.00	9.01	95.0	8.56
09/10/2018	***-**-015I - COLONEY, SHIRLEY ACCOUNT #: 01438826586	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	95.0	1.62
09/10/2018	***-**-586I - BACH, LINDA ACCOUNT #: 01447589845	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	8.36	0.00	8.36	95.0	7.94
09/10/2018	***-**-3750 - RAY, CHRISTIAN ACCOUNT #: 01459576656	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	95.0	1.18
09/10/2018	***-**-0887 - RAY, JONATHAN ACCOUNT #: 01459576698	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	95.0	1.18
09/10/2018	***-**-92CL - HAWRYLIAK, ACCOUNT #: 01477333049	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	11.04	0.00	11.04	95.0	10.49
09/10/2018	***-**-9357 - MACKENZIE, ACCOUNT #: 07044928914	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	2.99	0.00	2.99	95.0	2.84
09/10/2018	***-**-423I - ACCOUNT #: 00625454525	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	7.55	0.00	7.55	95.0	7.17
09/10/2018	***-**-661I - LACY, KIMBERLY ACCOUNT #: 00704518646	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	0.30	0.00	0.30	95.0	0.29
09/10/2018	***-**-586I - BACH, LINDA ACCOUNT #: 01447589845	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	21.06	0.00	21.06	95.0	20.01
09/10/2018	***-**-3750 - RAY, CHRISTIAN ACCOUNT #: 01459576656	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	95.0	1.31



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-0887 - RAY, JONATHAN ACCOUNT #: 01459576698	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	95.0	1.75
09/10/2018	***-**-92CL - HAWRYLIAK, ACCOUNT #: 01477333049	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	12.93	0.00	12.93	95.0	12.28
09/10/2018	***-**-9357 - MACKENZIE, ACCOUNT #: 07044928914	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	6.15	0.00	6.15	95.0	5.84
09/10/2018	***-**-0054 - OSCHMANN, PAMELA ACCOUNT #: 00700197569	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	13.56	0.00	13.56	95.0	12.88
09/10/2018	***-**-0178 - MILICH, SHELLEY ACCOUNT #: 00516849395	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	15.91	0.00	15.91	95.0	15.11
09/10/2018	***-**-9156 - KOLUPSKI, KIM ACCOUNT #: 00701046575	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	88.05	0.00	88.05	95.0	83.65
09/10/2018	***-**-996I - MARTIN, MICHAEL ACCOUNT #: 00701808818	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	2.93	0.00	2.93	95.0	2.78
09/10/2018	***-**-305P - DI MARCO, ACCOUNT #: 00701854093	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	32.13	0.00	32.13	95.0	30.52
09/10/2018	***-**-4567 - HOWIE, GORDON ACCOUNT #: 00704021278	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	24.52	0.00	24.52	95.0	23.29
09/10/2018	***-**-9194 - MARTIN, BRIAN ACCOUNT #: 00704021286	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	0.66	0.00	0.66	95.0	0.63
09/10/2018	***-**-0300 - LOGORY, DOUGLAS ACCOUNT #: 00704131911	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	4.72	0.00	4.72	95.0	4.48
09/10/2018	***-**-806I - MARYJANOWSKI, ACCOUNT #: 00704164920	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	95.0	0.48
09/10/2018	***-**-9194 - MARTIN, BRIAN ACCOUNT #: 00704224948	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	95.0	0.21
09/10/2018	***-**-403I - MILLER, DANIEL ACCOUNT #: 00704257047	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	16.62	0.00	16.62	95.0	15.79
09/10/2018	***-**-9357 - MACKENZIE, ACCOUNT #: 00704417856	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	7.05	0.00	7.05	95.0	6.70
09/10/2018	***-**-792I - PUTNAM, CARL ACCOUNT #: 00704929348	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	95.0	0.18



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-677I - SADLER, MICHAEL	FIDELITY ADV NEW INSIGHTS-CL C	0.00	22.03	0.00	22.03	95.0	20.93
	ACCOUNT #: 01432592572	BUY 0 Units @0.0000						
09/10/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV NEW INSIGHTS-CL C	0.00	25.12	0.00	25.12	95.0	23.86
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
09/10/2018	***-**-1159 - WHITE, MICHELE	FIDELITY ADV NEW INSIGHTS-CL C	0.00	15.00	0.00	15.00	95.0	14.25
	ACCOUNT #: 01454801075	BUY 0 Units @0.0000						
09/10/2018	***-**-2338 - DEAN, WILLIAM	FIDELITY ADV NEW INSIGHTS-CL C	0.00	0.04	0.00	0.04	95.0	0.04
	ACCOUNT #: 01466906946	BUY 0 Units @0.0000						
09/10/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV NEW INSIGHTS-CL C	0.00	16.90	0.00	16.90	95.0	16.06
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
09/10/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV NEW INSIGHTS-CL C	0.00	21.98	0.00	21.98	95.0	20.88
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						
09/10/2018	***-**-31IN - O'DONNELL,	FIDELITY ADV NEW INSIGHTS-CL C	0.00	9.72	0.00	9.72	95.0	9.23
	ACCOUNT #: 00704828987	BUY 0 Units @0.0000						
09/10/2018	***-**-7153 - MENARD, MICHAEL	FIDELITY ADV NEW INSIGHTS-CL T	0.00	62.77	0.00	62.77	95.0	59.63
	ACCOUNT #: 00009008138	BUY 0 Units @0.0000						
09/10/2018	***-**-6747 - LAKE, DAVID	FIDELITY ADV SMALL CAP CL C	0.00	0.07	0.00	0.07	95.0	0.07
	ACCOUNT #: 00655990968	BUY 0 Units @0.0000						
09/10/2018	***-**-305P - DI MARCO,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	7.46	0.00	7.46	95.0	7.09
	ACCOUNT #: 00701854093	BUY 0 Units @0.0000						
09/10/2018	***-**-0817 - PFENNINGER,	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.02	0.00	0.02	95.0	0.02
	ACCOUNT #: 00702891466	BUY 0 Units @0.0000						
09/10/2018	***-**-31IN - O'DONNELL,	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	1.02	0.00	1.02	95.0	0.97
	ACCOUNT #: 00704828987	BUY 0 Units @0.0000						
09/10/2018	***-**-3750 - RAY, CHRISTIAN	FIDELITY ADV STRATEGIC INCOME CL A	0.00	0.87	0.00	0.87	95.0	0.83
	ACCOUNT #: 01459576656	BUY 0 Units @0.0000						
09/10/2018	***-**-0887 - RAY, JONATHAN	FIDELITY ADV STRATEGIC INCOME CL A	0.00	0.76	0.00	0.76	95.0	0.72
	ACCOUNT #: 01459576698	BUY 0 Units @0.0000						
09/10/2018	***-**-0054 - OSCHMANN, PAMELA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	7.70	0.00	7.70	95.0	7.32
	ACCOUNT #: 00700197569	BUY 0 Units @0.0000						
09/10/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.12	0.00	0.12	95.0	0.11
	ACCOUNT #: 00704021286	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/10/2018	***-**-1128 - COOK PC, E	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.02	0.00	0.02	95.0	0.02
	ACCOUNT #: 00704075456	BUY 0 Units @0.0000						
09/10/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV STRATEGIC INCOME CL C	0.00	7.63	0.00	7.63	95.0	7.25
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
09/10/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV STRATEGIC INCOME CL C	0.00	17.49	0.00	17.49	95.0	16.62
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						
09/10/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV TECHNOLOGY CL A	0.00	2.09	0.00	2.09	95.0	1.99
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
09/10/2018	***-**-215I - HILL, ROBERT	FIDELITY ADV TECHNOLOGY CLASS C	0.00	0.06	0.00	0.06	95.0	0.06
	ACCOUNT #: 00655371573	BUY 0 Units @0.0000						
09/10/2018	***-**-46IN - PICKETT, ANITA	FIDELITY ADV TECHNOLOGY CLASS C	0.00	0.06	0.00	0.06	95.0	0.06
	ACCOUNT #: 00655906360	BUY 0 Units @0.0000						
09/10/2018	***-**-8879 - FEIL, WILLIAM	FIDELITY ADV TECHNOLOGY CLASS C	0.00	0.94	0.00	0.94	95.0	0.89
	ACCOUNT #: 00656087202	BUY 0 Units @0.0000						
09/10/2018	***-**-9137 - RAUTENSTAUCH,	FIDELITY ADV TECHNOLOGY CLASS C	0.00	3.18	0.00	3.18	95.0	3.02
	ACCOUNT #: 00669891301	BUY 0 Units @0.0000						
09/10/2018	***-**-9156 - KOLUPSKI, KIM	FIDELITY ADV VALUE STRATEGIES CL C	0.00	38.20	0.00	38.20	95.0	36.29
	ACCOUNT #: 00701046575	BUY 0 Units @0.0000						
09/10/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV VALUE STRATEGIES-CL A	0.00	1.49	0.00	1.49	95.0	1.42
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
09/10/2018	***-**-0054 - OSCHMANN, PAMELA	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	7.03	0.00	7.03	95.0	6.68
	ACCOUNT #: 00700197569	BUY 0 Units @0.0000						
09/10/2018	***-**-8879 - FEIL, WILLIAM	FIDELITY ADVISOR EQUITY GROWTH CL C	0.00	0.22	0.00	0.22	95.0	0.21
	ACCOUNT #: 00656087202	BUY 0 Units @0.0000						
09/10/2018	***-**-540I - DEGNAN, SUSAN	FIDELITY ADVISOR JAPAN CLASS C	0.00	3.70	0.00	3.70	95.0	3.52
	ACCOUNT #: 01483840300	BUY 0 Units @0.0000						
09/10/2018	***-**-7832 - GEISELHART,	FIDELITY ADVISOR MID CAP STOCK CL T	0.00	21.28	0.00	21.28	95.0	20.22
	ACCOUNT #: 00062724182	BUY 0 Units @0.0000						
09/10/2018	***-**-0730 - TANCK, LISA	FIDELITY ADVISORS	0.00	10.90	0.00	10.90	95.0	10.36
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
09/10/2018	***-**-92CL - HAWRYLIAK,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	6.34	0.00	6.34	95.0	6.02
	ACCOUNT #: 01477333049	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/17/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777231908	FINANCIAL INDUSTRIES A BUY 0 Units @0.0000	0.00	0.20	0.00	0.20	95.0	0.19
09/17/2018	***-**-428J - MYKINS, RONALD ACCOUNT #: 00008994438	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	0.93	0.00	0.93	95.0	0.88
09/17/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777230696	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	95.0	0.17
09/17/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777230400	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	95.0	0.10
09/24/2018	***-**-1377 - MARCHESE, PAUL ACCOUNT #: 77056237301	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	7.34	0.00	7.34	95.0	6.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,094.16	\$0.00	\$1,094.16		\$1,039.46
*** BROKER TRANSACTIONS TOTAL ***			\$1,300.00	\$1,141.12	\$0.00	\$1,141.12		\$1,084.07

VERRIDE TRANSACTIONS

BROKER: RICHARD BIRNS

09/10/2018	***-**-53IN - BABCOCK,	JACKSON NAT PERS II OPT A 0-80 BUY 1 Units @49000.0000	49,884.44	3,491.91	0.00	3,491.91	20.0	698.38
* RICHARD BIRNS SUBTOTAL *			\$49,884.44	\$3,491.91	\$0.00	\$3,491.91		\$698.38

BROKER: BARRY BRESIN

09/24/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	9.89	0.00	9.89	15.0	1.48
09/24/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	10.48	0.00	10.48	15.0	1.57
09/24/2018	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	11.27	0.00	11.27	15.0	1.69
09/24/2018	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	11.27	0.00	11.27	15.0	1.69
09/24/2018	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	-11.27	0.00	-11.27	15.0	-1.69



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: BARRY BRESIN (continued)</u> 								
09/24/2018	***-**-717I - MARSJANIK,	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	23.41	0.00	23.41	15.0	3.51
09/24/2018	***-**-4892 - STERN, ROBERT ACCOUNT #: 20035472	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	25.93	0.00	25.93	15.0	3.89
09/24/2018	***-**-4892 - STERN, ROBERT ACCOUNT #: 20035472	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	25.93	0.00	25.93	15.0	3.89
09/24/2018	***-**-1223 - MELLINA, ALBERTA	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	15.16	0.00	15.16	15.0	2.27
09/24/2018	***-**-4892 - STERN, ROBERT ACCOUNT #: 20035472	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	-25.93	0.00	-25.93	15.0	-3.89
09/24/2018	***-**-16IN - STERN, PATRICIA ACCOUNT #: 7200027673	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	21.11	0.00	21.11	15.0	3.17
 * BARRY BRESIN SUBTOTAL * 			\$0.00	\$117.25	\$0.00	\$117.25		\$17.58
 <u>BROKER: FREDERIC SCARPULLA</u> 								
09/17/2018	***-**-195P - HECHT, ANDREW ACCOUNT #: 00068453142	AMERICAN BALANCED FUND-529A BUY 7 Units @29.4400	200.00	10.00	0.00	10.00	10.0	1.00
09/17/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198137	AMERICAN BALANCED FUND-529C BUY 2 Units @27.7600	50.00	0.50	0.00	0.50	10.0	0.05
09/17/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198121	AMERICAN BALANCED FUND-529C BUY 2 Units @27.7600	50.00	0.50	0.00	0.50	10.0	0.05
09/17/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00068454444	AMERICAN BALANCED FUND-A BUY 2 Units @29.2600	50.00	2.13	0.00	2.13	10.0	0.21
09/17/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00068454445	AMERICAN BALANCED FUND-A BUY 2 Units @29.2600	50.00	2.13	0.00	2.13	10.0	0.21
09/21/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	AMERICAN BALANCED FUND-A BUY 1 Units @28.0700	20.00	0.20	0.00	0.20	10.0	0.02
09/21/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	AMERICAN BALANCED FUND-A BUY 1 Units @28.0700	28.50	0.29	0.00	0.29	10.0	0.03
09/21/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	AMERICAN BALANCED FUND-A BUY 0 Units @28.0700	10.00	0.10	0.00	0.10	10.0	0.01
09/21/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	AMERICAN BALANCED FUND-A BUY 1 Units @28.0700	18.91	0.19	0.00	0.19	10.0	0.02



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
09/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087429936	AMERICAN BALANCED FUND-C BUY 2 Units @27.6300	50.00	0.50	0.00	0.50	10.0	0.05
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	40.00	0.40	0.00	0.40	10.0	0.04
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	15.18	0.15	0.00	0.15	10.0	0.02
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	40.80	0.41	0.00	0.41	10.0	0.04
09/18/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.7100	14.98	0.15	0.00	0.15	10.0	0.02
09/20/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @27.8700	50.00	0.50	0.00	0.50	10.0	0.05
09/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @27.8700	28.05	0.28	0.00	0.28	10.0	0.03
09/20/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.8700	6.00	0.06	0.00	0.06	10.0	0.01
09/20/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @27.8700	16.00	0.16	0.00	0.16	10.0	0.02
09/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.8700	61.20	0.61	0.00	0.61	10.0	0.06
09/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.8700	15.18	0.15	0.00	0.15	10.0	0.02
09/21/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.8900	120.00	1.20	0.00	1.20	10.0	0.12
09/20/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @19.5200	7.48	0.07	0.00	0.07	10.0	0.01
09/20/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @19.5200	44.87	0.45	0.00	0.45	10.0	0.05
09/24/2018	***-**-801I - MASTROSIMONE, BUY 0 Units @0.0000	AMERICAN FUNDS MF BUY 0 Units @0.0000	50,000.00	500.00	0.00	500.00	10.0	50.00
09/13/2018	***-**-801I - MASTROSIMONE, BUY 0 Units @0.0000	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @0.0000	50,000.00	500.00	0.00	500.00	10.0	50.00



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
09/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2700	4.55	0.05	0.00	0.05	10.0	0.01
09/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.2700	105.00	1.05	0.00	1.05	10.0	0.11
09/21/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	AMERICAN MUTUAL FUND-A BUY 1 Units @43.1600	30.00	0.30	0.00	0.30	10.0	0.03
09/21/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	AMERICAN MUTUAL FUND-A BUY 1 Units @43.1600	41.74	0.42	0.00	0.42	10.0	0.04
09/21/2018	***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711	AMERICAN MUTUAL FUND-A BUY 14 Units @43.1600	600.00	6.00	0.00	6.00	10.0	0.60
09/21/2018	***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711	AMERICAN MUTUAL FUND-A BUY 1 Units @43.1600	36.47	0.36	0.00	0.36	10.0	0.04
09/17/2018	***-**-01UG - DIGIOVANNI, ACCOUNT #: 00087087389	AMERICAN MUTUAL FUND-C BUY 4 Units @42.0600	150.00	1.50	0.00	1.50	10.0	0.15
09/17/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @42.0600	5.00	0.05	0.00	0.05	10.0	0.01
09/20/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @42.4500	5.00	0.05	0.00	0.05	10.0	0.01
09/04/2018	***-**-772I - KERHAERT, ACCOUNT #: 03222178044	CAPITAL APPRECIATION C BUY 0 Units @0.0000	62.50	0.63	0.00	0.63	10.0	0.06
09/04/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03222178055	CAPITAL APPRECIATION C BUY 0 Units @0.0000	60.00	0.60	0.00	0.60	10.0	0.06
09/14/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 03220387068	CAPITAL APPRECIATION C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
09/14/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03222192157	CAPITAL APPRECIATION C BUY 0 Units @0.0000	68.00	0.68	0.00	0.68	10.0	0.07
09/14/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 03222200176	CAPITAL APPRECIATION C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
09/21/2018	***-**-9611 - MACDUFFIE, LINDA ACCOUNT #: 00087299599	CAPITAL INCOME BUILDER CLASS C BUY 5 Units @60.8000	300.00	3.00	0.00	3.00	10.0	0.30
09/20/2018	***-**-0447 - LEVINE, JACQUE ACCOUNT #: 00084996765	CAPITAL INCOME BUILDER-A BUY 2 Units @63.3500	100.00	3.75	0.00	3.75	10.0	0.38



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
09/17/2018	***-**-01UG - DIGIOVANNI, ACCOUNT #: 00087087389	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @49.7600	150.00	1.50	0.00	1.50	10.0	0.15
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.1400	30.00	0.30	0.00	0.30	10.0	0.03
09/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.6900	96.40	0.96	0.00	0.96	10.0	0.10
09/21/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.4100	20.00	0.20	0.00	0.20	10.0	0.02
09/21/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.4100	23.97	0.24	0.00	0.24	10.0	0.02
09/21/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.8700	40.00	0.40	0.00	0.40	10.0	0.04
09/17/2018	***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081282910	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @52.7500	50.00	2.13	0.00	2.13	10.0	0.21
09/17/2018	***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081283006	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @52.7500	50.00	2.13	0.00	2.13	10.0	0.21
09/06/2018	***-**-732J - ARBORE, MARIA ACCOUNT #: 00000108231	CORE BOND FUND - A BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	10.0	0.43
09/24/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	3.69	0.00	3.69	10.0	0.37
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	6.45	0.00	6.45	10.0	0.65
09/24/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	10.0	0.35
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	6.22	0.00	6.22	10.0	0.62
09/24/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	10.0	0.36
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	2.03	0.00	2.03	10.0	0.20
09/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	4.42	0.00	4.42	10.0	0.44



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
09/14/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
09/17/2018	***-**-43IN - BISHOP, EVELYN	EUROPACIFIC GROWTH FUND CLASS C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000849605	BUY 1 Units @50.6600						
09/10/2018	***-**-4550 - JONES, JEFFREY	FA 529 PORT 2019-CL P	0.00	6.85	0.00	6.85	10.0	0.69
	ACCOUNT #: 00529631491	BUY 0 Units @0.0000						
09/10/2018	***-**-7278 - GUERRIERI DDS,	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	0.73	0.00	0.73	10.0	0.07
	ACCOUNT #: 00655383206	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV FREEDOM 2020-CL C	0.00	1.80	0.00	1.80	10.0	0.18
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.65	0.00	1.65	10.0	0.17
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	3.85	0.00	3.85	10.0	0.39
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV MID CAP II-CL A	0.00	5.15	0.00	5.15	10.0	0.52
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.14	0.00	5.14	10.0	0.51
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
09/10/2018	***-**-7352 - GEISELHART,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	33.05	0.00	33.05	10.0	3.31
	ACCOUNT #: 00618796254	BUY 0 Units @0.0000						
09/10/2018	***-**-5703 - DIODATO,	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.35	0.00	0.35	10.0	0.04
	ACCOUNT #: 03900218260	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV TECHNOLOGY CL A	0.00	5.12	0.00	5.12	10.0	0.51
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
09/10/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADVISOR FREEDOM 2020 CL A	0.00	0.95	0.00	0.95	10.0	0.10
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
09/21/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00066759710	BUY 0 Units @65.7100						
09/21/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	70.44	0.70	0.00	0.70	10.0	0.07
	ACCOUNT #: 00066759710	BUY 1 Units @65.7100						
09/17/2018	***-**-845P - MARTHALLER,	FUNDAMENTAL INVESTORS-C	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 00087023930	BUY 1 Units @64.1100						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: FREDERIC SCARPULLA (continued)								
09/17/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @64.1100						
09/18/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	7.59	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @64.5600						
09/18/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @64.5600						
09/20/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @65.3200						
09/20/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @65.3200						
09/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	7.59	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @65.3200						
09/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	30.60	0.31	0.00	0.31	10.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @65.3200						
09/04/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						
09/14/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
09/06/2018	***-**-39IN - ARBORE, MARIA	GROWTH AND INCOME FUND - A	0.00	3.09	0.00	3.09	10.0	0.31
	ACCOUNT #: 00000176375	BUY 0 Units @0.0000						
09/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 00087236607	BUY 2 Units @23.0300						
09/17/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089563161	BUY 0 Units @41.0800						
09/18/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	14.53	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @41.2700						
09/20/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @41.6600						
09/04/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
09/14/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
09/17/2018	***-**-05IN - RANDALL, ADAM	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00085941585	BUY 1 Units @44.0500						
09/18/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	7.58	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @44.3500						
09/18/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @44.3500						
09/20/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @44.8600						
09/20/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.8600						
09/20/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	7.58	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @44.8600						
09/20/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	30.60	0.31	0.00	0.31	10.0	0.03
	ACCOUNT #: 00089051066	BUY 1 Units @44.8600						
09/20/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @61.5200						
09/20/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.5200						
09/20/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @61.5200						
09/24/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.42	0.00	2.42	10.0	0.24
		BUY 0 Units @0.0000						
09/24/2018	***-**-3758 - CONNORS, DAVID	NEW YORK 529 TRAILS	0.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
09/24/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	2.59	0.00	2.59	10.0	0.26
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
09/24/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	8.61	0.00	8.61	10.0	0.86
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
09/24/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.89	0.00	13.89	10.0	1.39
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
09/24/2018	***-**-6352 - GINERVA, ENZA	NEW YORK 529 TRAILS	0.00	1.85	0.00	1.85	10.0	0.19
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
09/24/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
09/24/2018	***-**-503J - OBI, JEAN	NY 529 ADDITIONAL DEPOSIT	50.00	2.38	0.00	2.38	10.0	0.24
		BUY 0 Units @0.0000						
09/24/2018	***-**-015P - FANTAUZZO,	NY 529 ADDITIONAL DEPOSIT	165.00	1.65	0.00	1.65	10.0	0.17
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
09/24/2018	***-**-335P - JULIAN, GIOVANA	NY 529 ADDITIONAL DEPOSIT	226.25	2.27	0.00	2.27	10.0	0.23
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
09/24/2018	***-**-6352 - GINERVA, ENZA	NY 529 ADDITIONAL DEPOSIT	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
09/24/2018	***-**-2942 - WARNEY, KENNETH	NY 529 ADDITIONAL DEPOSIT	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
09/04/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
09/04/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
09/04/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
09/14/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
09/14/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
09/14/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
09/14/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
09/14/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
09/14/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
09/04/2018	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	62.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
09/14/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
09/06/2018	***-**-33UG - DELGAIZO, ALESHA	SMALL CAP EQUITY FUND - A	0.00	1.82	0.00	1.82	10.0	0.18
	ACCOUNT #: 00000413901	BUY 0 Units @0.0000						
09/14/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
09/17/2018	***-**-43IN - BISHOP, EVELYN	SMALLCAP WORLD FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000849605	BUY 1 Units @52.2100						
09/18/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @52.5600						
09/18/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	7.59	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @52.5600						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	7.59	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @52.8300						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	30.60	0.31	0.00	0.31	10.0	0.03
	ACCOUNT #: 00089051066	BUY 1 Units @52.8300						
09/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @52.8300						
09/04/2018	***-**-9206 - SANDER, DAVID	STRATEGIC INCOME FUND C	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 02322653794	BUY 0 Units @0.0000						
09/14/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
09/20/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	10.0	0.03
	ACCOUNT #: 00085902249	BUY 2 Units @12.4600						
09/20/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00085904181	BUY 1 Units @12.4600						
09/17/2018	***-**-415P - SCHILLACI, AMY	THE GROWTH FUND OF AMERICA-529C	75.00	0.75	0.00	0.75	10.0	0.08
	ACCOUNT #: 00081268733	BUY 1 Units @51.3400						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
09/21/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 4 Units @56.3800	250.00	2.50	0.00	2.50	10.0	0.25
09/21/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 2 Units @56.3800	87.07	0.87	0.00	0.87	10.0	0.09
09/21/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	30.00	0.30	0.00	0.30	10.0	0.03
09/21/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	35.95	0.36	0.00	0.36	10.0	0.04
09/21/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	30.00	0.30	0.00	0.30	10.0	0.03
09/21/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	41.75	0.42	0.00	0.42	10.0	0.04
09/21/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	50.00	0.50	0.00	0.50	10.0	0.05
09/21/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	36.10	0.36	0.00	0.36	10.0	0.04
09/21/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 2 Units @56.3800	130.00	1.30	0.00	1.30	10.0	0.13
09/21/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	50.03	0.50	0.00	0.50	10.0	0.05
09/21/2018	***-**-4750 - OSCHMANN, MARK ACCOUNT #: 00083414110	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	64.45	0.64	0.00	0.64	10.0	0.06
09/21/2018	***-**-4750 - OSCHMANN, MARK ACCOUNT #: 00083414110	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	78.79	0.79	0.00	0.79	10.0	0.08
09/21/2018	***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.3800	20.00	0.20	0.00	0.20	10.0	0.02
09/21/2018	***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3800	58.36	0.58	0.00	0.58	10.0	0.06
09/21/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.3800	10.00	0.10	0.00	0.10	10.0	0.01
09/21/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.3800	18.90	0.19	0.00	0.19	10.0	0.02



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
09/17/2018	***-**-3431 - SPENCER, ACCOUNT #: 04000347049	THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.1900	100.00	1.00	0.00	1.00	10.0	0.10
09/17/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.1900	10.00	0.10	0.00	0.10	10.0	0.01
09/17/2018	***-**-0578 - DIODATO, ZACHARY ACCOUNT #: 04000618224	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.1900	50.00	0.50	0.00	0.50	10.0	0.05
09/17/2018	***-**-4232 - DIODATO, MELISSA ACCOUNT #: 04000618245	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.1900	50.00	0.50	0.00	0.50	10.0	0.05
09/18/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.6500	30.00	0.30	0.00	0.30	10.0	0.03
09/18/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.6500	14.54	0.15	0.00	0.15	10.0	0.02
09/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @52.1100	48.20	0.48	0.00	0.48	10.0	0.05
09/20/2018	***-**-8776 - LEVINE, TODD ACCOUNT #: 00067996697	THE INCOME FUND OF AMERICA-A BUY 4 Units @24.4200	100.00	3.75	0.00	3.75	10.0	0.38
09/21/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE INVESTMENT COMPANY OF AMERICA-A BUY 6 Units @42.1400	250.00	2.50	0.00	2.50	10.0	0.25
09/21/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @42.1400	87.07	0.87	0.00	0.87	10.0	0.09
09/21/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.1400	50.00	0.50	0.00	0.50	10.0	0.05
09/21/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.1400	36.09	0.36	0.00	0.36	10.0	0.04
09/21/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @42.1400	20.00	0.20	0.00	0.20	10.0	0.02
09/21/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.1400	28.49	0.28	0.00	0.28	10.0	0.03
09/17/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @43.2000	25.00	0.25	0.00	0.25	10.0	0.03
09/17/2018	***-**-8932 - RUSH, MICHELLE ACCOUNT #: 04000574835	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 4 Units @12.7200	50.00	0.50	0.00	0.50	10.0	0.05



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
09/18/2018	***-**-279J - HERRMANN, KEVIN	THE TAX-EXEMPT BOND FUND OF AMERICA	125.00	1.25	0.00	1.25	10.0	0.13
	ACCOUNT #: 00086046167	BUY 10 Units @12.7000						
09/04/2018	***-**-9206 - SANDER, DAVID	VALUE FUND C	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 03770488829	BUY 0 Units @0.0000						
09/21/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 00066758345	BUY 11 Units @47.3000						
09/21/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	174.12	1.74	0.00	1.74	10.0	0.17
	ACCOUNT #: 00066758345	BUY 4 Units @47.3000						
09/17/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @45.9900						
09/17/2018	***-**-436I - RAGO, LISA	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000456708	BUY 1 Units @45.9900						
09/21/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @46.6800						
	* FREDERIC SCARPULLA SUBTOTAL *		\$109,157.28	\$1,247.45	\$0.00	\$1,247.45		\$124.96
 <u>BROKER: WILLIAM AGNELLO</u> 								
09/24/2018	***-**-28IN - CUP, ANDREW	NEW YORK 529 TRAILS	0.00	10.25	0.00	10.25	25.0	2.56
	ACCOUNT #: 77133335101	BUY 0 Units @0.0000						
09/20/2018	***-**-43IN - CUP, NICHOLAS	PRUDENTIAL JENNISON EQUITY INCOME C	10,000.00	82.00	0.00	82.00	25.0	20.50
	ACCOUNT #: LSR006890	BUY 617 Units @16.2000						
09/12/2018	***-**-613K - HAGER	VERIZON COMMUNICATIONS UNSOLICITED	-5,512.00	-37.00	0.00	-37.00	25.0	-9.25
	ACCOUNT #: LSR006980	CANCEL 100 Units @55.1200						
09/12/2018	***-**-613K - HAGER	VERIZON COMMUNICATIONS UNSOLICITED	5,512.00	37.00	0.00	37.00	25.0	9.25
	ACCOUNT #: LSR006980	BUY 100 Units @55.1200						
09/12/2018	***-**-963I - GAMMARIELLO,	VERIZON COMMUNICATIONS UNSOLICITED	-5,499.00	-37.00	0.00	-37.00	25.0	-9.25
	ACCOUNT #: LSR007102	CANCEL 100 Units @54.9900						
09/12/2018	***-**-963I - GAMMARIELLO,	VERIZON COMMUNICATIONS UNSOLICITED	5,499.00	37.00	0.00	37.00	25.0	9.25
	ACCOUNT #: LSR007102	BUY 100 Units @54.9900						
09/12/2018	***-**-25IN - DIPAOLO, JOSEPH	VERIZON COMMUNICATIONS UNSOLICITED	-5,499.90	-37.00	0.00	-37.00	25.0	-9.25
	ACCOUNT #: LSR010260	CANCEL 100 Units @54.9990						
09/12/2018	***-**-25IN - DIPAOLO, JOSEPH	VERIZON COMMUNICATIONS UNSOLICITED	5,499.90	37.00	0.00	37.00	25.0	9.25
	ACCOUNT #: LSR010260	BUY 100 Units @54.9990						



COMMISSION STATEMENT

September 24, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JAMES LOMBARDO (continued)</u>								
		* WILLIAM AGNELLO SUBTOTAL *	\$10,000.00	\$92.25	\$0.00	\$92.25		\$23.06
<u>BROKER: JAMES LOMBARDO</u>								
09/17/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00074487927	BUY 3 Units @29.1100						
09/24/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.28	0.00	0.28	15.0	0.04
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
09/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	15.0	0.05
	ACCOUNT #: 00089449406	BUY 1 Units @12.9500						
09/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 00089449406	BUY 1 Units @59.9500						
09/20/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	15.0	1.50
	ACCOUNT #: 00083088053	BUY 3 Units @59.9500						
09/17/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	15.0	0.79
	ACCOUNT #: 00074487927	BUY 3 Units @43.4600						
		* JAMES LOMBARDO SUBTOTAL *	\$465.00	\$20.64	\$0.00	\$20.64		\$3.10
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$169,506.72	\$4,969.50	\$0.00	\$4,969.50		\$867.08



BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$1,084.07
						Split Transactions		\$0.00
						Override Transactions		\$867.08
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,951.15
						Year-to-date Earnings		\$84,772.07