



# COMMISSION STATEMENT

December 10, 2018

STEPHEN POLIZZI  
1320 Buffalo Road  
Suite 211  
Rochester, NY 14624

BROKER #: 684 Terminated 12/31/2009  
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

## ADJUSTMENTS

|            |  |                                 |      |      |      |      |  |      |
|------------|--|---------------------------------|------|------|------|------|--|------|
| 12/10/2018 |  | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | 0.00 |  | 0.00 |
|------------|--|---------------------------------|------|------|------|------|--|------|

|                           |  |  |               |               |               |               |  |               |
|---------------------------|--|--|---------------|---------------|---------------|---------------|--|---------------|
| *** ADJUSTMENTS TOTAL *** |  |  | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> |  | <u>\$0.00</u> |
|---------------------------|--|--|---------------|---------------|---------------|---------------|--|---------------|

## BROKER COMMISSION TOTALS

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

|                              |               |
|------------------------------|---------------|
| <b>Balance Forward</b>       | <b>\$0.00</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b> |