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April 30, 2018

JOY LAWRENCE-D098

BROKER #: D098 BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		CLEARIN	G TRAN	SACTIONS					
		<u> </u>							
PRODUCT CAT	TEGORY: MUTUAL FUND	<u>s</u>							
04/06/2018 18	84047863 CHHEN, KUONG	MF FIDELITY ADVISOR MID CAP ST	OCK S	102.45	2.75	2.50	0.25	50.0	0.13
04/06/2018 18	84047863 CHHEN, KUONG	MF FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25	50.0	0.13
		* MUTUAL FUNDS SUBTO	TAL *	\$204.90	\$5.50	\$5.00	\$0.50		\$0.26
PRODUCT CAT	regory: Mutual fund	12B1 TRAILS							
04/20/2018 mf	fc946954 LAWRENCE JOY	946954 MFT AB HIGH INCOME A	В	0.00	5.15	0.00	5.15	50.0	2.58
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT ALLIANCE BERNSTEIN SMALL/MI	о в	0.00	2.56	0.00	2.56	50.0	1.28
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.99	0.00	0.99	50.0	0.50
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN GLO GOVT	INC B	0.00	13.08	0.00	13.08	50.0	6.54
04/20/2018 mf	fc946954 LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN LARGE-CAP	В	0.00	4.08	0.00	4.08	50.0	2.04
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN GLOBAL	В	0.00	25.32	0.00	25.32	50.0	12.66
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN UTILITY	В	0.00	22.46	0.00	22.46	50.0	11.23
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN MID-CAP G	RO A B	0.00	2.04	0.00	2.04	50.0	1.02
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT EATON VANCE GOVT OBLIGATION	S B	0.00	6.44	0.00	6.44	50.0	3.22
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT EMPIRIC 2500 FD A	В	0.00	7.12	0.00	7.12	50.0	3.56
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT FIDELITY ADVISOR LARGE CAP	В	0.00	8.43	0.00	8.43	50.0	4.22
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT FIDELITY ADVISOR MID CAP ST	OCK B	0.00	8.21	0.00	8.21	50.0	4.11
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT FIDELITY ADVISOR EMERGING M	KT B	0.00	22.55	0.00	22.55	50.0	11.28
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT FIDELITY ADVISOR FUNDS	В	0.00	18.72	0.00	18.72	50.0	9.36
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT MFS MID CAP GROWTH FUND-C	В	0.00	17.30	0.00	17.30	50.0	8.65
04/20/2018 mf	Ec946954 LAWRENCE JOY	946954 MFT PUTNAM 746802800	В	0.00	13.84	0.00	13.84	50.0	6.92
		* MUTUAL FUND 12B1 TRAILS SUBTO	TAL *	\$0.00	\$178.29	\$0.00	\$178.29		\$89.17
		*** CLEARING TRANSACTIONS TOTA	L ***	\$204.90	\$183.79	\$5.00	\$178.79		\$89.43

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DE	P LINK TRANS	Z A C'TT ON C					
BROKER: JOY	LAWRENCE-D198 #64	<u>KE</u>	P LINK IRAN.	SACTIONS					
-	14776560 DUNLAP, TERRY	MF AMERICAN BALANCED FU	ND-A S	100.00	4.88	2.50	2.38		0.48
	58434250 BLAKE, DONALD	MF AMCAP FUND-A	S S	-20,974.65	0.00	5.00	-5.00		-1.00
	58434250 BLAKE, DONALD	MF THE INCOME FUND OF A		-16,679.43	0.00	5.00	-5.00		-1.00
	03974464 LAWRENCE-D198, JOY	MI MUTUAL FUND MISC FEE		0.00	15.00	0.00	15.00		3.00
	03974464 LAWRENCE-D198, JOY	MI MUTUAL FUND MISC FEE		0.00	15.00	0.00	15.00		3.00
	None) VARIOUS, JOY RIX	MFT HARTFORD MUTUAL FUIN		0.00	22.53	0.00	22.53		4.51
	0089409077 KNIGHT, HEATHER	MFT DEUTSCHE GNMA FUND-A		0.00	3.63	0.00	3.63		0.73
	0089409077 KNIGHT, HEATHER	MFT DEUTSCHE HIGH INCOME		0.00	6.70	0.00	6.70		1.34
	0133424003 BECKER, DENISE	MFT DEUTSCHE MULTI-ASSET		0.00	0.64	0.00	0.64		0.13
	4700260305 AMESSE, MICHELLE	MFT ALLIANCEBERNSTEIN LA		0.00	4.30	0.00	4.30		0.86
	4785240742 BEARD, KATHRYN	MFT ALLIANCE BERNSTEIN G		0.00	0.70	0.00	0.70		0.14
	Ec974464 LAWRENCE JOY 974464			0.00	1.35	0.00	1.35		0.27
	Ec974464 LAWRENCE JOY 974464			0.00	8.92	0.00	8.92		1.78
	Ec974464 LAWRENCE JOY 974464			0.00	3.57	0.00	3.57		0.71
	Ec974464 LAWRENCE JOY 974464			0.00	2.64	0.00	2.64		0.53
	Ec974464 LAWRENCE JOY 974464		В	0.00	3.38	0.00	3.38		0.68
	Ec974464 LAWRENCE JOY 974464		В	0.00	4.04	0.00	4.04		0.81
	Ec974464 LAWRENCE JOY 974464		В	0.00	4.63	0.00	4.63		0.93
	Ec974464 LAWRENCE JOY 974464		IND-A B	0.00	0.89	0.00	0.89		0.18
	Ec974464 LAWRENCE JOY 974464			0.00	1.65	0.00	1.65		0.33
	Ec974464 LAWRENCE JOY 974464			0.00	3.24	0.00	3.24		0.65
	Ec974464 LAWRENCE JOY 974464			0.00	3.67	0.00	3.67		0.73
	Ec974464 LAWRENCE JOY 974464			0.00	1.50	0.00	1.50		0.30
	Ec974464 LAWRENCE JOY 974464			0.00	1.96	0.00	1.96		0.39
	Ec974464 LAWRENCE JOY 974464			0.00	7.20	0.00	7.20		1.44
	Ec974464 LAWRENCE JOY 974464			0.00	13.11	0.00	13.11		2.62
	Ec974464 LAWRENCE JOY 974464			0.00	34.62	0.00	34.62		6.92
	Ec974464 LAWRENCE JOY 974464			0.00	39.33	0.00	39.33		7.87
	Ec974464 LAWRENCE JOY 974464			0.00	43.94	0.00	43.94		8.79
	Ec974464 LAWRENCE JOY 974464			0.00	66.64	0.00	66.64		13.33
	Ec974464 LAWRENCE JOY 974464		В	0.00	5.85	0.00	5.85		1.17
	Ec974464 LAWRENCE JOY 974464			0.00	1.07	0.00	1.07		0.21
	Ec974464 LAWRENCE JOY 974464			0.00	1.33	0.00	1.33		0.27
	Ec974464 LAWRENCE JOY 974464			0.00	3.53	0.00	3.53		0.71
	Ec974464 LAWRENCE JOY 974464			0.00	3.61	0.00	3.61		0.72
	Ec974464 LAWRENCE JOY 974464			0.00	2.05	0.00	2.05		0.41
	Ec974464 LAWRENCE JOY 974464			0.00	2.26	0.00	2.26		0.45
	Ec974464 LAWRENCE JOY 974464			0.00	2.04	0.00	2.04		0.43
	Ec974464 LAWRENCE JOY 974464			0.00	2.80	0.00	2.80		0.56
	Ec974464 LAWRENCE JOY 974464		B	0.00	4.30	0.00	4.30		0.86
01/20/2010 IIII	LOD, IIOI DAWNEINCE UUI 9/4404	I DIIV 2///UZUIJ	ם	0.00	7.30	0.00	4.30		0.00

0.78 0.00 0.88 0.10 0.49 0.00 0.89 0.10 0.47 0.	TRADE DATE	CLIENT				TI	NVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
0.472/2018 mE794464 LEMBERCH JON 974464 MET PERMEATEN MEANEMEN FIRE STATE AND 1.00 0.00 0.2.97 0.00 0.2.97 0.46 0.4702/2018 mE794464 LEMBERCH JON 974464 MET PERMEATEN SQUITY HEROME FIRE MET STATE OF 1.00 0.00 0.2.97 0.00 0.2.97 0.45 0.45 0.40 0.40 0.40 0.40 0.40 0.40				JOY 97	74464 N									
0.00 0.19 0.00 0.19 0.00 0.19 0.00 0.19 0.00 0.21 0.00 0.27 0.45 0.47														
64/20/2018 ENC-974464 LANGENCE JOV 974464 MT FERENTAL SOLITY INCRES FIND 6 0.00 0.16 0.39 0.39 0.400 0.16 0.39 0.400 0.200 0.16 0.39 0.400 0.400 0.16 0.39 0.400 0.400 0.200														
0.72 0.72 0.73 0.73 0.74 0.75								В						
0.00 0.00							~							
0.00 0.22 0.00 0.23 0.45														
14/20/2018 mfc97466 LANGENCE JOY 974466 MT FUIRMANNTAL INVESTORS-A B 0.00 2.7, 20 0.00 2.7, 20 0.44 04/20/2018 mfc97466 LANGENCE JOY 974466 MT FUIRMANNTAL DIVESTORS-A B 0.00 2.7, 20 0.00 2.7, 20 0.44 04/20/2018 mfc97466 LANGENCE JOY 974466 MT GROWTH AND INCOME PORTFOLID-C B 0.00 17.14 0.00 17.14 3.43 04/20/2018 mfc97466 LANGENCE JOY 974466 MT GROWTH AND INCOME PORTFOLID-C B 0.00 2.45 0.00 2.45 0.00 04/20/2018 mfc97466 LANGENCE JOY 974466 MT GROWTH SURD OF AMERICA CLASE B 0.00 2.45 0.00 2.45 0.00 04/20/2018 mfc97466 LANGENCE JOY 974466 MT GROWTH SURD OF AMERICA CLASE B 0.00 2.45 0.00 2.45 0.00 04/20/2018 mfc97466 LANGENCE JOY 974466 MT GROWTH SURD OF AMERICA CLASE B 0.00 2.44 0.00 2.44 0.00 0.48 0.90 04/20/2018 mfc97466 LANGENCE JOY 974466 MT GROWTH SURD OF AMERICA CLASE B 0.00 2.44 0.00 2.44 0.00 0.48 0.90 0.40														
64/20/2018 mfc974464 LANRENCE JOY 974464 MPT FUNDAMENTAL INVESTORS—A 8 0.00 17.14 0.00 17.14 3.43 04/20/2018 mfc974464 LANRENCE JOY 974464 MPT GROWTH AND INCOME PORTFOCID—C 8 0.00 17.16 0.00 17.16 3.52 04/20/2018 mfc974464 LANRENCE JOY 974464 MPT GROWTH AND INCOME PORTFOCID—C 8 0.00 17.16 0.00 17.16 0.00 04/20/2018 mfc974464 LANRENCE JOY 974464 MPT GROWTH AND INCOME PORTFOCID—C 8 0.00 2.45 0.00 2.46 0.00 04/20/2018 mfc97464 LANRENCE JOY 974464 MPT GROWTH PORTFOCID—C 8 0.00 2.44 0.00 2.44 0.00 04/20/2018 mfc97464 LANRENCE JOY 974464 MPT INTERFOR GLOBAL CAPS 7 8 0.00 2.44 0.00 2.44 0.00 04/20/2018 mfc97464 LANRENCE JOY 974464 MPT INTERFOR DURLD BLOW PORT BLO														
04/20/2018 mf6974464 LANRENCE JOY 974464 MPT GROWTH AND INCOME PORTPOLID-C B 0.00 17.14 0.00 17.14 3.43 04/20/2018 mf6974464 LANRENCE JOY 974464 MPT GROWTH AND INCOME PORTPOLID-C B 0.00 24.52 0.00 24.52 0.00 04/20/2018 mf6974464 LANRENCE JOY 974464 MPT GROWTH AND INCOME PORTPOLID-C B 0.00 24.52 0.00 24.52 0.00 04/20/2018 mf6974464 LANRENCE JOY 974464 MPT GROWTH FUND OF AMERICA CLASS F B 0.00 2.64 0.00 2.64 0.00 04/20/2018 mf6974464 LANRENCE JOY 974464 MPT GROWTH FUND OF AMERICA CLASS F B 0.00 2.64 0.00 0.45 0.00 0.45 0.00 04/20/2018 mf6974464 LANRENCE JOY 974464 MPT INVESCO BLOBAL CAF B 0.00 0.52 0.00 0.5	04/20/2018 mf	Ec974464	LAWRENCE	JOY 97	74464 I	ИFТ	FUNDAMENTAL INVESTORS-A	В	0.00		0.00	27.20		5.44
44/20/2018 mfc974464 LAMERNEE JOY 974464 MFT GROWTH AND INCOME PORTFOLID-C B 0.00 24.52 0.00 24.52 0.00 0.24.52 0.24								В						
44/20/2018 mic974464 LANRENCE JOY 974464 MPT GROWTH FUND OF AMERICA CLASS R 0.00 24.52 0.00 24.52 0.00 0.264 0.53 04/20/2018 mic974464 LANRENCE JOY 974464 MPT GROWTH FUND OF AMERICA CLASS R 0.00 2.64 0.00 0.264 0.0								В						
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT GROWTH FIND OF AWRRICA CLASS F B 0.00 4.58 0.00 4.58 0.00 4.58 0.02 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT INWESCO US GOVT FD INV CL B 0.00 3.92 0.00 3.92 0.00 4.58 0.00 4.24 0.00 4.24 0.49 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT INWESCO US GOVT FD INV CL B 0.00 3.92 0.00 3.92 0.00 3.92 0.78 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT INWESCO WIGH DEADLE PA B 0.00 1.91 0.00 1.91 0.00 1.91 0.00 0.00								В						
04/20/2018 mfc974464 LANRENCE JOY 974464 MFT EIRNTORD GLOSAL CAP B 0.00 2.44 0.00 2.44 0.00 2.44 0.49 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT EIRNTORD GLOSAL CAP B 0.00 3.92 0.00 3.92 0.78 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT INVESCO WGOLT FD INV CL B 0.00 2.47 0.00 2.47 0.00 2.47 0.49 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT INVESCO WGOLT FD INV CL B 0.00 1.91 0.00 1.91 0.00 1.91 0.00 0.40 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT INVESCO WGOLD BOND FD A B 0.00 1.91 0.00 1.91 0.00 1.91 0.00 0.24 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT PLY ENDERSECTIVE FUND—A B 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.00 0.20 0.20 0.20 0.20 0.20 0.20 0.20 0.20 0.20 0.2								В						
04/20/2018 mfc974464 LANRENCE JOY 974464 MFT HARTFORD GLOBAL CAF B 0.00 3.92 0.00 3.92 0.00 3.92 0.78 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT LIVYSCO USGOVT FD INV CL B 0.00 2.47 0.00 2.47 0.04 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT ENVISCO WORD BOND FD A B 0.00 1.91 0.00 1.91 0.00 1.91 0.38 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT NEW PERSPECTIVE FUND—A B 0.00 1.91 0.00 1.91 0.00 1.91 0.00 0.38 04/20/2018 mfc974464 LANRENCE JOY 974464 MFT PURCO ALL ASSET A B 0.00 0.7.71 0.7.71 0.7.72 0.								В						
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT INVESCO WORLD BOND FD A B 0.00 2.47 0.00 2.47 0.49 0.49 0.49 0.49 0.49 0.49 0.49 0.49								В						
04/20/2018 mfc974464 LANKENCE JOY 974464 MFT INVESCO WORLD BOND FD A B 0.00 1.91 0.00 1.91 0.38 0.70 0.70 0.70 0.70 0.70 0.70 0.38 0.70 0.70 0.70 0.70 0.70 0.38 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.7								В						
04/20/2018 mfc974464 LANRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A B 0.00 1.91 0.00 1.91 0.03 04/20/2018 mfc974464 LANRENCE JOY 974466 MFT NEW DERSPECTIVE FUND-A B 0.00 7.71 0.00 7.71 1.54 04/20/2018 mfc974464 LANRENCE JOY 974466 MFT DEROCALL ASSET A B 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.04 04/20/2018 mfc974464 LANRENCE JOY 974466 MFT PUNDAM 746644504 B 0.00 0.54 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.00								В						
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT NEN PERSPECTIVE FUND—A B 0.00 7.71 0.00 7.71 0.00 0.21 0.04 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PIMCO ALL ASSET A B 0.00 0.52 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.21 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.22 0.01 0.00 0.23 0.00 0.23 0.00 0.23 0.00 0.23 0.00 0.23 0.00 0.23 0.00 0.23 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.22 0.00 0.02 0.00 0.02 0.00 0.02 0.00 0.02 0.00 0.02 0.00 0.02 0.00 0.02 0.00 0.02 0.00 0								В						
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PIMCO ALL ASSET A B 0.00 0.52 0.00 0.52 0.10 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PIMCO ALL ASSET FD CL D B 0.00 0.52 0.00 0.52 0.10 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746444108 B 0.00 5.48 0.00 2.38 0.00 2.38 0.48 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746444108 B 0.00 2.51 0.00 2.51 0.00 2.51 0.50 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746444108 B 0.00 2.51 0.00 2.51 0.50 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746445504 B 0.00 8.56 0.00 8.56 0.00 8.56 0.70 0.36 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746745504 B 0.00 8.56 0.00 8.56 0.00 8.56 0.70 0.25 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746770109 B 0.00 0.39 0								В						
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746444504 B 0.00 5.48 0.00 5.48 0.00 5.48 0.00 6.75 0.00 04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT PUTNAM 746444108 B 0.00 2.38 0.00 2.38 0.48 0.40 0.40 0.40 0.40 0.40 0.40 0.4								В						
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04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT THE INVESTMENT COMPANY OF B 0.00 19.28 0.00 19.28 3.86								В	0.00	19.28	0.00	19.28		3.86

TRADE DATE CLIENT INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT TRANSAMERICA ASSET ALLOC	В	0.00	2.06	0.00	2.06		0.41
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT WASHINGTON MUTUAL INVESTO	RS B	0.00	7.25	0.00	7.25		1.45
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT WASHINGTON MUTUAL INVESTO	RS B	0.00	19.36	0.00	19.36		3.87
04/20/2018 mfc974464 LAWRENCE JOY 974464 MFT WASHINGTON MUTUAL INVESTOR	RS B	0.00	2.35	0.00	2.35		0.47
* JOY LAWRENCE-D198 SUB	TOTAL *	\$-37,554.08	\$613.27	\$12.50	\$600.77		\$120.14
BROKER: JOY LAWRENCE-D602 #79							
03/31/2018 (None) VARIOUS, LAWRENCE- MFT AIG	В	0.00	1.04	0.00	1.04		0.21
03/31/2018 (None) VARIOUS, LAWRENCE- MFT COLUMBIA THREADNEEDLE	В	0.00	883.00	0.00	883.00		176.60
04/06/2018 00060858365 HENRY, DENNIS MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	1.16	0.00	1.16		0.23
04/06/2018 00060858365 HENRY, DENNIS MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	3.47	0.00	3.47		0.69
04/06/2018 00100268920 SHELTON, BARBARA MFT WELLS FARGO DISCOVERY-A	В	0.00	20.98	0.00	20.98		4.20
04/06/2018 00100268920 SHELTON, BARBARA MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	21.70	0.00	21.70		4.34
04/06/2018 01002608920 SHELTON, BARBARA MFT WELLS FARGO OMEGA GROWTH-	С В	0.00	12.46	0.00	12.46		2.49
04/06/2018 01002608920 SHELTON, BARBARA MFT WELLS FARGO OMEGA GROWTH-	С В	0.00	37.38	0.00	37.38		7.48
04/06/2018 01002608920 SHELTON, BARBARA MFT WELLS FARGO INTRINSIC WOR.	LD B	0.00	11.63	0.00	11.63		2.33
04/06/2018 01002608920 SHELTON, BARBARA MFT WELLS FARGO INTRINSIC WOR.	LD B	0.00	34.90	0.00	34.90		6.98
04/06/2018 01002608920 SHELTON, BARBARA MFT WELLS FARGO SPECIAL SM CA	р в	0.00	13.59	0.00	13.59		2.72
04/06/2018 01002608920 SHELTON, BARBARA MFT WELLS FARGO SPECIAL SM CA	р в	0.00	40.76	0.00	40.76		8.15
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO GLOBAL	В	0.00	12.56	0.00	12.56		2.51
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO GLOBAL	В	0.00	37.68	0.00	37.68		7.54
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO INTRINSIC WOR.	LD B	0.00	12.68	0.00	12.68		2.54
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO INTRINSIC WOR.	LD B	0.00	38.05	0.00	38.05		7.61
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	15.60	0.00	15.60		3.12
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	46.81	0.00	46.81		9.36
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO SPECIAL SM CA	р в	0.00	14.06	0.00	14.06		2.81
04/06/2018 01007228411 COOPER, BENOLA MFT WELLS FARGO SPECIAL SM CA	р в	0.00	42.17	0.00	42.17		8.43
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO GLOBAL	В	0.00	4.69	0.00	4.69		0.94
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO GLOBAL	В	0.00	14.08	0.00	14.08		2.82
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO SPECIALIZED	В	0.00	1.88	0.00	1.88		0.38
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO SPECIALIZED	В	0.00	5.65	0.00	5.65		1.13
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	13.09	0.00	13.09		2.62
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	39.28	0.00	39.28		7.86
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO DIVERSFD CAPI	TAL B	0.00	1.52	0.00	1.52		0.30
04/06/2018 01007296463 DAVIS, EDITH MFT WELLS FARGO DIVERSFD CAPI	TAL B	0.00	4.56	0.00	4.56		0.91
04/06/2018 01007297943 CANTRELLE, JOY MFT WELLS FARGO GLOBAL	В	0.00	2.24	0.00	2.24		0.45
04/06/2018 01007297943 CANTRELLE, JOY MFT WELLS FARGO GLOBAL	В	0.00	6.72	0.00	6.72		1.34
04/06/2018 01007297943 CANTRELLE, JOY MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	2.55	0.00	2.55		0.51
04/06/2018 01007297943 CANTRELLE, JOY MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	7.65	0.00	7.65		1.53
04/06/2018 01007354845 DAVIS, JAMES MFT WELLS FARGO GLOBAL	В	0.00	1.25	0.00	1.25		0.25
04/06/2018 01007354845 DAVIS, JAMES MFT WELLS FARGO GLOBAL	В	0.00	3.76	0.00	3.76		0.75
04/06/2018 01007354845 DAVIS, JAMES MFT WELLS FARGO SPECIALIZED	В	0.00	4.01	0.00	4.01		0.80
04/06/2018 01007354845 DAVIS, JAMES MFT WELLS FARGO SPECIALIZED	В	0.00	12.03	0.00	12.03		2.41
04/06/2018 01007354845 DAVIS, JAMES MFT WELLS FARGO SPECIAL MID C.	AP B	0.00	7.87	0.00	7.87		1.57

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
04/06/2018 01007354845 DAVIS, JAMES	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	23.60	0.00	23.60		4.72
04/06/2018 01007354845 DAVIS, JAMES	MFT WELLS FARGO DIV	ERSFD CAPITAL B	0.00	4.24	0.00	4.24		0.85
04/06/2018 01007354845 DAVIS, JAMES	MFT WELLS FARGO DIV	TERSFD CAPITAL B	0.00	12.72	0.00	12.72		2.54
04/06/2018 01007719453 CANTRELLE, JOY	MFT WELLS FARGO GLO	BAL B	0.00	5.09	0.00	5.09		1.02
04/06/2018 01007719453 CANTRELLE, JOY	MFT WELLS FARGO GLO	BAL B	0.00	15.26	0.00	15.26		3.05
04/06/2018 01007719453 CANTRELLE, JOY	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	4.86	0.00	4.86		0.97
04/06/2018 01007719453 CANTRELLE, JOY	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	14.57	0.00	14.57		2.91
04/06/2018 01007719453 CANTRELLE, JOY	MFT WELLS FARGO SPE	CIAL SM CAP B	0.00	4.74	0.00	4.74		0.95
04/06/2018 01007719453 CANTRELLE, JOY	MFT WELLS FARGO SPE	CIAL SM CAP B	0.00	14.23	0.00	14.23		2.85
04/06/2018 01007728997 CEPERO, PAMELA	MFT WELLS FARGO GLO	BAL B	0.00	0.36	0.00	0.36		0.07
04/06/2018 01007728997 CEPERO, PAMELA	MFT WELLS FARGO GLO	BAL B	0.00	1.08	0.00	1.08		0.22
04/06/2018 01007728997 CEPERO, PAMELA	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	0.55	0.00	0.55		0.11
04/06/2018 01007728997 CEPERO, PAMELA	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	1.64	0.00	1.64		0.33
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO UTI	LITY AND B	0.00	2.99	0.00	2.99		0.60
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO UTI	LITY AND B	0.00	8.96	0.00	8.96		1.79
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO DISC	COVERY-C B	0.00	3.91	0.00	3.91		0.78
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO DISC	COVERY-C B	0.00	11.74	0.00	11.74		2.35
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	4.78	0.00	4.78		0.96
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	14.33	0.00	14.33		2.87
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO SPE	CIAL SM CAP B	0.00	4.68	0.00	4.68		0.94
04/06/2018 01008182529 BAKER, G	MFT WELLS FARGO SPE	CIAL SM CAP B	0.00	14.03	0.00	14.03		2.81
04/06/2018 01008361595 BILLARD, JOHN	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	3.36	0.00	3.36		0.67
04/06/2018 01008361595 BILLARD, JOHN	MFT WELLS FARGO SPE		0.00	10.09	0.00	10.09		2.02
04/06/2018 01008376384 CANCIENNE,	MFT WELLS FARGO SPE		0.00	20.39	0.00	20.39		4.08
04/06/2018 01008376384 CANCIENNE,	MFT WELLS FARGO SPE	CIAL MID CAP B	0.00	61.17	0.00	61.17		12.23
04/06/2018 01008490232 READ, THOMAS	MFT WELLS FARGO SPE		0.00	6.63	0.00	6.63		1.33
04/06/2018 01008490232 READ, THOMAS	MFT WELLS FARGO SPE		0.00	19.88	0.00	19.88		3.98
04/06/2018 01008585265 CANCIENNE, CHRIS	MFT WELLS FARGO GLO		0.00	4.27	0.00	4.27		0.85
04/06/2018 01008585265 CANCIENNE, CHRIS			0.00	12.81	0.00	12.81		2.56
04/06/2018 01008624892 BORCHERT,	MFT WELLS FARGO SPE		0.00	0.33	0.00	0.33		0.07
04/06/2018 01008624892 BORCHERT,	MFT WELLS FARGO SPE		0.00	0.98	0.00	0.98		0.20
04/06/2018 01008804046 GUIDRY SEPERATE	MFT WELLS FARGO GLO		0.00	2.29	0.00	2.29		0.46
04/06/2018 01008804046 GUIDRY SEPERATE	MFT WELLS FARGO GLO		0.00	6.87	0.00	6.87		1.37
04/06/2018 01008804046 GUIDRY SEPERATE	MFT WELLS FARGO SPE		0.00	2.91	0.00	2.91		0.58
04/06/2018 01008804046 GUIDRY SEPERATE	MFT WELLS FARGO SPE		0.00	8.73	0.00	8.73		1.75
04/06/2018 01008891807 SNYDER, MICHELLE			0.00	1.72	0.00	1.72		0.34
04/06/2018 01008891807 SNYDER, MICHELLE			0.00	5.17	0.00	5.17		1.03
04/06/2018 01008891807 SNYDER, MICHELLE			0.00	4.42	0.00	4.42		0.88
04/06/2018 01008891807 SNYDER, MICHELLE			0.00	13.26	0.00	13.26		2.65
04/06/2018 01008891807 SNYDER, MICHELLE			0.00	5.24	0.00	5.24		1.05
04/06/2018 01008891807 SNYDER, MICHELLE			0.00	15.71	0.00	15.71		3.14
04/06/2018 01008896697 HENRY, BARBARA	MFT WELLS FARGO GLO		0.00	1.51	0.00	1.51		0.30
04/06/2018 01008896697 HENRY, BARBARA	MFT WELLS FARGO GLO		0.00	4.53	0.00	4.53		0.91
04/06/2018 01008896697 HENRY, BARBARA	MFT WELLS FARGO SPEC		0.00	2.44	0.00	2.44		0.49
11, 11, 2010 010000000 indirect / Drintbrack	III I WALLE TIMOO DI EN	J	0.00	2.11	0.00	2.11		0.10

			=			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT		INVEST	MENT		B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
04/06/2018 01008896697 HENR	Y, BARBARA	MFT WELLS	FARGO	SPECIAL MID CAP	В	0.00	7.33	0.00	7.33		1.47
04/06/2018 01008896697 HENR	Y, BARBARA	MFT WELLS	FARGO	SPECIAL SM CAP	В	0.00	2.30	0.00	2.30		0.46
04/06/2018 01008896697 HENR	Y, BARBARA	MFT WELLS	FARGO	SPECIAL SM CAP	В	0.00	6.91	0.00	6.91		1.38
04/06/2018 01008977270 STEE	MER, SALLY	MFT WELLS	FARGO	DISCOVERY-C	В	0.00	0.89	0.00	0.89		0.18
04/06/2018 01008977270 STEE	MER, SALLY	MFT WELLS	FARGO	DISCOVERY-C	В	0.00	2.66	0.00	2.66		0.53
04/06/2018 01008977270 STEE	MER, SALLY	MFT WELLS	FARGO	SPECIAL MID CAP	В	0.00	1.26	0.00	1.26		0.25
04/06/2018 01008977270 STEE	MER, SALLY	MFT WELLS	FARGO	SPECIAL MID CAP	В	0.00	3.79	0.00	3.79		0.76
04/06/2018 01008977270 STEE	MER, SALLY	MFT WELLS	FARGO	SPECIAL SM CAP	В	0.00	2.68	0.00	2.68		0.54
04/06/2018 01008977270 STEE	MER, SALLY	MFT WELLS	FARGO	SPECIAL SM CAP	В	0.00	8.05	0.00	8.05		1.61
04/06/2018 01009311456 ST A	MENT, DOUGLAS	S MFT WELLS	FARGO	GLOBAL	В	0.00	1.13	0.00	1.13		0.23
04/06/2018 01009311456 ST A	MENT, DOUGLAS	S MFT WELLS	FARGO	GLOBAL	В	0.00	3.40	0.00	3.40		0.68
04/06/2018 01009311456 ST A	MENT, DOUGLAS	S MFT WELLS	FARGO	SPECIAL MID CAP	В	0.00	0.67	0.00	0.67		0.13
04/06/2018 01009311456 ST A	MENT, DOUGLAS	S MFT WELLS	FARGO	SPECIAL MID CAP	В	0.00	2.02	0.00	2.02		0.40
04/06/2018 01009318256 VING	ENT, KENNETH	MFT WELLS	FARGO	DISCOVERY-C	В	0.00	10.10	0.00	10.10		2.02
04/06/2018 01009318256 VING	ENT, KENNETH	MFT WELLS	FARGO	DISCOVERY-C	В	0.00	30.31	0.00	30.31		6.06
04/06/2018 01009320281 VING	ENT, SHEILA	MFT WELLS	FARGO	UTILITY AND	В	0.00	0.96	0.00	0.96		0.19
04/06/2018 01009320281 VING	ENT, SHEILA	MFT WELLS	FARGO	UTILITY AND	В	0.00	2.89	0.00	2.89		0.58
04/06/2018 01009320281 VING	ENT, SHEILA	MFT WELLS	FARGO	LARGE CAP GROWTH-C	В	0.00	1.05	0.00	1.05		0.21
04/06/2018 01009320281 VING	ENT, SHEILA	MFT WELLS	FARGO	LARGE CAP GROWTH-C	В	0.00	3.16	0.00	3.16		0.63
04/06/2018 01009327434 COGN	EVICH, SHANIE	E MFT WELLS	FARGO	LARGE CAP GROWTH-C	В	0.00	1.30	0.00	1.30		0.26
04/06/2018 01009327434 COGN	EVICH, SHANIE	E MFT WELLS	FARGO	LARGE CAP GROWTH-C	В	0.00	3.90	0.00	3.90		0.78
04/06/2018 01009351525 REAI	, THOMAS	MFT WELLS	FARGO	GLOBAL	В	0.00	17.17	0.00	17.17		3.43
04/06/2018 01009351525 REAI		MFT WELLS			В	0.00	51.51	0.00	51.51		10.30
04/06/2018 01009351525 REAI		MFT WELLS	FARGO	INTRINSIC WORLD	В	0.00	14.54	0.00	14.54		2.91
04/06/2018 01009351525 REAI		MFT WELLS	FARGO	INTRINSIC WORLD	В	0.00	43.63	0.00	43.63		8.73
04/06/2018 01009351525 REAI	, THOMAS	MFT WELLS	FARGO	SPECIAL MID CAP	В	0.00	25.43	0.00	25.43		5.09
04/06/2018 01009351525 REAI				SPECIAL MID CAP	В	0.00	76.29	0.00	76.29		15.26
04/06/2018 01009365168 BOUR		MFT WELLS			В	0.00	1.39	0.00	1.39		0.28
04/06/2018 01009365168 BOUR	GEOIS,	MFT WELLS	FARGO	GLOBAL	В	0.00	4.18	0.00	4.18		0.84
04/06/2018 01009365168 BOUR				SPECIAL MID CAP	В	0.00	5.19	0.00	5.19		1.04
04/06/2018 01009365168 BOUR				SPECIAL MID CAP	В	0.00	15.56	0.00	15.56		3.11
04/06/2018 01009367069 ROB		MFT WELLS			В	0.00	1.87	0.00	1.87		0.37
04/06/2018 01009367069 ROB		MFT WELLS			В	0.00	5.62	0.00	5.62		1.12
04/06/2018 01009367069 ROB				INTRINSIC WORLD	В	0.00	1.52	0.00	1.52		0.30
04/06/2018 01009367069 ROB				INTRINSIC WORLD	В	0.00	4.56	0.00	4.56		0.91
04/06/2018 01009367069 ROB				SPECIAL MID CAP	В	0.00	1.34	0.00	1.34		0.27
04/06/2018 01009367069 ROB				SPECIAL MID CAP	В	0.00	4.03	0.00	4.03		0.81
						0.00	0.72				
04/06/2018 01009367542 GRAS				LARGE CAP GROWTH-C				0.00	0.72		0.14
04/06/2018 01009367542 GRAS				LARGE CAP GROWTH-C		0.00	2.15	0.00	2.15		0.43
04/06/2018 01009368209 FERI	·				В	0.00	0.86	0.00	0.86		0.17
04/06/2018 01009368209 FERI					В	0.00	2.58	0.00	2.58		0.52
04/06/2018 03900355390 THO				SPECIAL MID CAP	В	0.00	4.67	0.00	4.67		0.93
04/06/2018 03900355390 THO		MFT WELLS			В _	0.00	4.29	0.00	4.29		0.86
04/06/2018 03900367530 VAN	DIEREN, OTTO	MFT WELLS	FARGO	GLOBAL	В	0.00	1.11	0.00	1.11		0.22

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT INVESTMENT	Г	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
04/06/2018 03900367530 VAN DIEREN, OTTO MFT WELLS FA	RGO GLOBAL	В	0.00	3.32	0.00	3.32		0.66
04/06/2018 03900367530 VAN DIEREN, OTTO MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	1.21	0.00	1.21		0.24
04/06/2018 03900367530 VAN DIEREN, OTTO MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	3.63	0.00	3.63		0.73
04/06/2018 03900370676 CORNELISON, MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	6.75	0.00	6.75		1.35
04/06/2018 03900370676 CORNELISON, MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	20.24	0.00	20.24		4.05
04/06/2018 03900370676 CORNELISON, MFT WELLS FA	RGO ENTERPRISE-C	В	0.00	6.24	0.00	6.24		1.25
04/06/2018 03900370676 CORNELISON, MFT WELLS FA	RGO ENTERPRISE-C	В	0.00	18.71	0.00	18.71		3.74
04/06/2018 03900370676 CORNELISON, MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	7.13	0.00	7.13		1.43
04/06/2018 03900370676 CORNELISON, MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	21.38	0.00	21.38		4.28
04/06/2018 03900373095 SAVAGE, NANCY MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	22.39	0.00	22.39		4.48
04/06/2018 03900373095 SAVAGE, NANCY MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	67.17	0.00	67.17		13.43
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO GLOBAL	В	0.00	0.33	0.00	0.33		0.07
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO GLOBAL	В	0.00	0.98	0.00	0.98		0.20
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO INTRINSIC WORLD	В	0.00	1.00	0.00	1.00		0.20
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO INTRINSIC WORLD	В	0.00	2.99	0.00	2.99		0.60
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	1.25	0.00	1.25		0.25
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	3.74	0.00	3.74		0.75
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	0.68	0.00	0.68		0.14
04/06/2018 03900378000 MOZISEK, RILEY MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	2.03	0.00	2.03		0.41
04/06/2018 03900378054 HENRY, JAMES MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	1.45	0.00	1.45		0.29
04/06/2018 03900378054 HENRY, JAMES MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	4.34	0.00	4.34		0.87
04/06/2018 03900378316 PIERCE, LINDA MFT WELLS FA	RGO GLOBAL	В	0.00	0.32	0.00	0.32		0.06
04/06/2018 03900378316 PIERCE, LINDA MFT WELLS FA	RGO GLOBAL	В	0.00	0.95	0.00	0.95		0.19
04/06/2018 03900378316 PIERCE, LINDA MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	0.34	0.00	0.34		0.07
04/06/2018 03900378316 PIERCE, LINDA MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	1.03	0.00	1.03		0.21
04/06/2018 03900378316 PIERCE, LINDA MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	0.40	0.00	0.40		0.08
04/06/2018 03900378316 PIERCE, LINDA MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	1.20	0.00	1.20		0.24
04/06/2018 03900381770 ROBINSON, VALERIE MFT WELLS FA	RGO GLOBAL	В	0.00	1.39	0.00	1.39		0.28
04/06/2018 03900381770 ROBINSON, VALERIE MFT WELLS FA	RGO GLOBAL	В	0.00	4.18	0.00	4.18		0.84
04/06/2018 03900381770 ROBINSON, VALERIE MFT WELLS FA		В	0.00	2.11	0.00	2.11		0.42
04/06/2018 03900381770 ROBINSON, VALERIE MFT WELLS FA	RGO SPECIAL MID CAP	В	0.00	6.33	0.00	6.33		1.27
04/06/2018 03900381770 ROBINSON, VALERIE MFT WELLS FA	RGO SPECIAL SM CAP	В	0.00	2.23	0.00	2.23		0.45
04/06/2018 03900381770 ROBINSON, VALERIE MFT WELLS FA		В	0.00	6.68	0.00	6.68		1.34
04/06/2018 03900382176 MOZISEK, MATTHEW MFT WELLS FA		В	0.00	0.33	0.00	0.33		0.07
04/06/2018 03900382176 MOZISEK, MATTHEW MFT WELLS FA		В	0.00	0.98	0.00	0.98		0.20
04/06/2018 03900382176 MOZISEK, MATTHEW MFT WELLS FA		В	0.00	0.65	0.00	0.65		0.13
04/06/2018 03900382176 MOZISEK, MATTHEW MFT WELLS FA		В	0.00	1.96	0.00	1.96		0.39
04/06/2018 03900382176 MOZISEK, MATTHEW MFT WELLS FA		В	0.00	0.65	0.00	0.65		0.13
04/06/2018 03900382176 MOZISEK, MATTHEW MFT WELLS FA		В	0.00	1.94	0.00	1.94		0.39
04/06/2018 03900382814 MOZISEK, SKYLAR MFT WELLS FA		В	0.00	0.33	0.00	0.33		0.07
04/06/2018 03900382814 MOZISEK, SKYLAR MFT WELLS FA		В	0.00	0.98	0.00	0.98		0.20
	RGO INTRINSIC WORLD	В	0.00	0.68	0.00	0.68		0.14
·	RGO INTRINSIC WORLD	В	0.00	2.03	0.00	2.03		0.41
	RGO SPECIAL MID CAP	В	0.00	0.84	0.00	0.84		0.17
The state of the s		_	5.50	0.01	0.00	0.01		0.1,

	<u></u>		INVESTMENT	GROSS	CLEARING	NET	BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION R	ATE COMMISSION
04/06/2018 03900382814 MOZISEK, SKYLAR	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	2.53	0.00	2.53	0.51
04/06/2018 03900382814 MOZISEK, SKYLAR	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	0.68	0.00	0.68	0.14
04/06/2018 03900382814 MOZISEK, SKYLAR	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	2.03	0.00	2.03	0.41
04/06/2018 03903066761 SNYDER, MICHELLE	MFT WELLS FARGO GLOBAL	В	0.00	1.76	0.00	1.76	0.35
04/06/2018 03903066761 SNYDER, MICHELLE	MFT WELLS FARGO GLOBAL	В	0.00	5.27	0.00	5.27	1.05
04/06/2018 03903066763 GROS, ANDREW	MFT WELLS FARGO UTILITY AND	В	0.00	0.70	0.00	0.70	0.14
04/06/2018 03903066763 GROS, ANDREW	MFT WELLS FARGO UTILITY AND	В	0.00	2.09	0.00	2.09	0.42
04/06/2018 03903066763 GROS, ANDREW	MFT WELLS FARGO DISCOVERY-C	В	0.00	0.82	0.00	0.82	0.16
04/06/2018 03903066763 GROS, ANDREW	MFT WELLS FARGO DISCOVERY-C	В	0.00	2.47	0.00	2.47	0.49
04/06/2018 03903066763 GROS, ANDREW	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	0.85	0.00	0.85	0.17
04/06/2018 03903066763 GROS, ANDREW	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	2.54	0.00	2.54	0.51
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO GLOBAL	В	0.00	6.46	0.00	6.46	1.29
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO GLOBAL	В	0.00	19.37	0.00	19.37	3.87
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO INTRINSIC WORLD	В	0.00	6.18	0.00	6.18	1.24
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO INTRINSIC WORLD	В	0.00	18.53	0.00	18.53	3.71
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	7.48	0.00	7.48	1.50
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	22.43	0.00	22.43	4.49
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	7.41	0.00	7.41	1.48
04/06/2018 03903067159 CEPERO, RALPH	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	22.24	0.00	22.24	4.45
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO GLOBAL	В	0.00	2.56	0.00	2.56	0.51
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO GLOBAL	В	0.00	7.67	0.00	7.67	1.53
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO UTILITY AND	В	0.00	1.68	0.00	1.68	0.34
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO UTILITY AND	В	0.00	5.05	0.00	5.05	1.01
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	3.01	0.00	3.01	0.60
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO SPECIAL MID CAP	В	0.00	9.04	0.00	9.04	1.81
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	2.15	0.00	2.15	0.43
04/06/2018 03903068827 MACOMBER, SHAWN	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	6.45	0.00	6.45	1.29
04/06/2018 03903070649 GREBE, WILLIAM	MFT WELLS FARGO GLOBAL	В	0.00	0.74	0.00	0.74	0.15
04/06/2018 03903070649 GREBE, WILLIAM	MFT WELLS FARGO GLOBAL	В	0.00	2.22	0.00	2.22	0.44
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	25.26	0.00	25.26	5.05
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	75.78	0.00	75.78	15.16
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	23.91	0.00	23.91	4.78
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	71.72	0.00	71.72	14.34
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	24.82	0.00	24.82	4.96
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	74.47	0.00	74.47	14.89
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	27.90	0.00	27.90	5.58
04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	83.71	0.00	83.71	16.74
04/06/2018 03903074922 SHELTON, BARBARA			0.00	26.90	0.00	26.90	5.38
04/06/2018 03903074922 SHELTON, BARBARA 04/06/2018 03903074922 SHELTON, BARBARA			0.00	80.69	0.00	80.69	16.14
04/06/2018 03903074922 SHELTON, BARBARA 04/06/2018 03903074922 SHELTON, BARBARA		-с в В	0.00	29.04	0.00	29.04	5.81
04/06/2018 03903074922 SHELTON, BARBARA 04/06/2018 03903074922 SHELTON, BARBARA		В	0.00	87.13	0.00	87.13	17.43
•				0.38		0.38	
04/06/2018 03903086516 ANNE GROS, HEIDI		B B	0.00	1.13	0.00	1.13	0.08
04/06/2018 03903086516 ANNE GROS, HEIDI			0.00		0.00		0.23
04/06/2018 03903086516 ANNE GROS, HEIDI	MEI WELLS FARGO DISCOVERY-C	В	0.00	0.25	0.00	0.25	0.05

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037 027 2010		COMMISSION STATEMEN	T for	JOY LAWRENCE-DO	98				-
TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/06/2018 0	3903086516 ANNE GROS, HEIDI	MFT WELLS FARGO DISCOVERY-C	В	0.00	0.75	0.00	0.75		0.15
04/06/2018 0)3903087245 MOTT, SUE	MFT WELLS FARGO DISCOVERY-C	В	0.00	0.98	0.00	0.98		0.20
04/06/2018 0)3903087245 MOTT, SUE	MFT WELLS FARGO DISCOVERY-C	В	0.00	2.94	0.00	2.94		0.59
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO GLOBAL	В	0.00	2.47	0.00	2.47		0.49
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO GLOBAL	В	0.00	7.40	0.00	7.40		1.48
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO SPECIALIZED	В	0.00	3.80	0.00	3.80		0.76
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO SPECIALIZED	В	0.00	11.41	0.00	11.41		2.28
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO LARGE CAP GROWTH-C	! В	0.00	2.63	0.00	2.63		0.53
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO LARGE CAP GROWTH-C	! В	0.00	7.88	0.00	7.88		1.58
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	2.73	0.00	2.73		0.55
04/06/2018 0	3903087701 ENRIGHT, WENDY	MFT WELLS FARGO SPECIAL SM CAP	В	0.00	8.19	0.00	8.19		1.64
		* JOY LAWRENCE-D602 SUBTOTAL	· *	\$0.00	\$3,296.72	\$0.00	\$3,296.72		\$659.46
		*** REP LINK TRANSACTIONS TOTAL	***	\$-37,554.08	\$3,909.99	\$12.50	\$3,897.49		\$779.60
		ADJU	STME	NTS					
04/30/2018		PRIOR PERIOD BALANCE(SEE BELOW	1)	0.00	0.00	0.00	0.00		0.00
		*** ADJUSTMENTS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
						<u> </u>	BROKER COMMI	ssion	TOTALS
						Broke	r Transactions		\$869.03
						Spli	t Transactions		\$0.00

Broker Transactions	\$869.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$902.28
Year-to-date Commissions	\$9,767.79