



COMMISSION STATEMENT

September 10, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/06/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	JANUS HENDERSON GBL EQUITY INC CL SELL 2078 Units @7.2200	15,000.00	18.00	18.00	0.00	70.0	0.00
08/31/2018	***-**-6080 - MAYERSON, ERIC ACCOUNT #: LSR012679	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1399 Units @19.0300	26,629.63	1,282.90	18.00	1,264.90	70.0	885.43
09/06/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	PUTNAM DIVERSIFIED INCOME FUND CLAS SELL 2158 Units @6.9500	15,000.00	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			<u>\$56,629.63</u>	<u>\$1,300.90</u>	<u>\$54.00</u>	<u>\$1,246.90</u>		<u>\$867.43</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/04/2018	***-**-26IR - CORDONE, EILEEN ACCOUNT #: 119193LK8	LANDMARK VARIABLE ANNUITY BUY 0 Units @0.0000	150.00	10.80	0.00	10.80	70.0	7.56
* VARIABLE ANNUITIES SUBTOTAL *			<u>\$150.00</u>	<u>\$10.80</u>	<u>\$0.00</u>	<u>\$10.80</u>		<u>\$7.56</u>
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/10/2018	***-**-26IN - SANTOS, FATIMA ACCOUNT #: 68526609201	CHET 529 BUY 0 Units @0.0000	200.00	5.00	0.00	5.00	70.0	3.50
* 529 PLAN SUBTOTAL *			<u>\$200.00</u>	<u>\$5.00</u>	<u>\$0.00</u>	<u>\$5.00</u>		<u>\$3.50</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$56,979.63</u>	<u>\$1,316.70</u>	<u>\$54.00</u>	<u>\$1,262.70</u>		<u>\$878.49</u>



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$878.49
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$15.18
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$893.67
						Year-to-date Earnings		\$25,630.12