



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/14/2018 | ***-**-336I - REEKIE, JANICE | AMERICAN FUNDS 2030 TARGET DATE-C | 50.00 | 0.50 | 0.00 | 0.50 | 95.0 | 0.48 |
| | ACCOUNT #: 04000004425 | BUY 3 Units @14.5700 | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$50.00 | \$0.50 | \$0.00 | \$0.50 | | \$0.48 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 09/04/2018 | ***-**-36IR - LEONE-WHITTLE, | AMERICAN BALANCED FUND-A | 0.00 | 11.06 | 0.00 | 11.06 | 95.0 | 10.51 |
| | ACCOUNT #: 00068471550 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0970 - HAMMOND, MATTHEW | AMERICAN BALANCED FUND-A | 0.00 | 5.09 | 0.00 | 5.09 | 95.0 | 4.84 |
| | ACCOUNT #: 00081789666 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IR - LEONE-WHITTLE, | AMERICAN BALANCED FUND-C | 0.00 | 6.54 | 0.00 | 6.54 | 95.0 | 6.21 |
| | ACCOUNT #: 00068471550 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-336I - REEKIE, JANICE | AMERICAN FUNDS 2030 TARGET DATE-C | 0.00 | 2.51 | 0.00 | 2.51 | 95.0 | 2.38 |
| | ACCOUNT #: 04000004425 | BUY 0 Units @0.0000 | | | | | | |
| 09/17/2018 | ***-**-43IN - AGLE, PATRICIA | COLUMBIA FUNDS MF TRAILS | 0.00 | 41.07 | 0.00 | 41.07 | 95.0 | 39.02 |
| | ACCOUNT #: 08694665062 | BUY 0 Units @0.0000 | | | | | | |
| 09/17/2018 | ***-**-919I - KITSON, THOMAS | COLUMBIA FUNDS MF TRAILS | 0.00 | 1.70 | 0.00 | 1.70 | 95.0 | 1.62 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-19IN - MELECA, FRANK | EUROPACIFIC GROWTH FUND-A | 0.00 | 17.27 | 0.00 | 17.27 | 95.0 | 16.41 |
| | ACCOUNT #: 00059376221 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-01IN - AGLE, JONATHAN | EUROPACIFIC GROWTH FUND-A | 0.00 | 7.91 | 0.00 | 7.91 | 95.0 | 7.51 |
| | ACCOUNT #: 00064937539 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-35RO - MARCOCCIA, ROBIN | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 2.38 | 0.00 | 2.38 | 95.0 | 2.26 |
| | ACCOUNT #: 00086583849 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IR - LEONE-WHITTLE, | THE BOND FUND OF AMERICA-A | 0.00 | 5.49 | 0.00 | 5.49 | 95.0 | 5.22 |
| | ACCOUNT #: 00068471550 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IR - LEONE-WHITTLE, | THE BOND FUND OF AMERICA-C | 0.00 | 4.46 | 0.00 | 4.46 | 95.0 | 4.24 |
| | ACCOUNT #: 00068471550 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-36IR - LEONE-WHITTLE, | THE GROWTH FUND OF AMERICA-A | 0.00 | 21.00 | 0.00 | 21.00 | 95.0 | 19.95 |
| | ACCOUNT #: 00068471550 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-835P - SWEENEY, MOLLY | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.25 | 0.00 | 0.25 | 95.0 | 0.24 |
| | ACCOUNT #: 00088367286 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-395P - SWEENEY, PAUL | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.16 | 0.00 | 0.16 | 95.0 | 0.15 |
| | ACCOUNT #: 00089570558 | BUY 0 Units @0.0000 | | | | | | |



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|--|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-36IR - LEONE-WHITTLE, ACCOUNT #: 00068471550 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 8.77 | 0.00 | 8.77 | 95.0 | 8.33 |
| 09/04/2018 | ***-**-19IN - MELECA, FRANK ACCOUNT #: 00059376221 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 69.99 | 0.00 | 69.99 | 95.0 | 66.49 |
| 09/04/2018 | ***-**-32IN - KREMER, WILLIAM ACCOUNT #: 00062189520 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 104.59 | 0.00 | 104.59 | 95.0 | 99.36 |
| 09/04/2018 | ***-**-936P - KREMER, MARY ACCOUNT #: 00062189521 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 111.75 | 0.00 | 111.75 | 95.0 | 106.16 |
| 09/04/2018 | ***-**-77IN - VERDI, JENNIFER ACCOUNT #: 00062810085 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 2.85 | 0.00 | 2.85 | 95.0 | 2.71 |
| 09/04/2018 | ***-**-46IN - KREMER, WILLIAM ACCOUNT #: 00063022669 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 13.34 | 0.00 | 13.34 | 95.0 | 12.67 |
| 09/04/2018 | ***-**-415P - ALLETTA, JOSEPH ACCOUNT #: 00063773935 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 4.73 | 0.00 | 4.73 | 95.0 | 4.49 |
| 09/04/2018 | ***-**-945P - BENEDETTO, ACCOUNT #: 00073071074 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 31.58 | 0.00 | 31.58 | 95.0 | 30.00 |
| 09/04/2018 | ***-**-725P - MARCONI, CHERYL ACCOUNT #: 00062112096 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 9.93 | 0.00 | 9.93 | 95.0 | 9.43 |
| 09/04/2018 | ***-**-305P - MARCONI, JOSEPH ACCOUNT #: 00062594485 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 4.89 | 0.00 | 4.89 | 95.0 | 4.65 |
| 09/04/2018 | ***-**-77IN - VERDI, JENNIFER ACCOUNT #: 00062810085 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 2.67 | 0.00 | 2.67 | 95.0 | 2.54 |
| 09/04/2018 | ***-**-32IN - KREMER, WILLIAM ACCOUNT #: 00062884169 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 2.62 | 0.00 | 2.62 | 95.0 | 2.49 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$494.60 | \$0.00 | \$494.60 | | \$469.88 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$50.00 | \$495.10 | \$0.00 | \$495.10 | | \$470.36 |



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| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE</u> | | | | | | | | |
| 09/04/2018 | ***-**-0249 - ROBERTS, LESLIE | AF MODERATE GROWTH AND INCOME-A | 0.00 | 1.16 | 0.00 | 1.16 | 20.0 | 0.23 |
| | ACCOUNT #: 01919000777 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3246 - SGROI, TERESA | AMCAP FUND-A | 0.00 | 2.86 | 0.00 | 2.86 | 20.0 | 0.57 |
| | ACCOUNT #: 00089976714 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4652 - GARNSEY, JANELLE | AMCAP FUND-A | 0.00 | 33.54 | 0.00 | 33.54 | 20.0 | 6.71 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2464 - COLLETT, DAN | AMCAP FUND-A | 0.00 | 5.70 | 0.00 | 5.70 | 20.0 | 1.14 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | AMCAP FUND-A | 0.00 | 3.52 | 0.00 | 3.52 | 20.0 | 0.70 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-453R - OHARA, DARLENE | AMCAP FUND-A | 0.00 | 2.45 | 0.00 | 2.45 | 20.0 | 0.49 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE | AMCAP FUND-A | 0.00 | 6.45 | 0.00 | 6.45 | 20.0 | 1.29 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | AMCAP FUND-A | 0.00 | 23.91 | 0.00 | 23.91 | 20.0 | 4.78 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | AMCAP FUND-A | 0.00 | 55.52 | 0.00 | 55.52 | 20.0 | 11.10 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4790 - SWEZEY, WILLIAM | AMCAP FUND-A | 0.00 | 2.72 | 0.00 | 2.72 | 20.0 | 0.54 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-61RI - GETCHELL, | AMCAP FUND-A | 0.00 | 6.85 | 0.00 | 6.85 | 20.0 | 1.37 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | AMCAP FUND-A | 0.00 | 10.49 | 0.00 | 10.49 | 20.0 | 2.10 |
| | ACCOUNT #: 00089542885 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5453 - O'HARA, DARLENE | AMCAP FUND-A | 0.00 | 39.37 | 0.00 | 39.37 | 20.0 | 7.87 |
| | ACCOUNT #: 04000891195 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6233 - SHEPARD, ROBERT | AMCAP FUND-A | 0.00 | 0.05 | 0.00 | 0.05 | 20.0 | 0.01 |
| | ACCOUNT #: 04000977909 | BUY 0 Units @0.0000 | | | | | | |
| 09/10/2018 | ***-**-6233 - SHEPARD, ROBERT | AMCAP FUND-A | 50.00 | 2.50 | 0.00 | 2.50 | 20.0 | 0.50 |
| | ACCOUNT #: 04000977909 | BUY 1 Units @36.3900 | | | | | | |
| 09/04/2018 | ***-**-8906 - ISBELL, | AMERICAN BALANCED FUND-A | 0.00 | 10.09 | 0.00 | 10.09 | 20.0 | 2.02 |
| | ACCOUNT #: 00073594886 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | AMERICAN BALANCED FUND-A | 0.00 | 8.15 | 0.00 | 8.15 | 20.0 | 1.63 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6786 - NOWAKOWSKI, | AMERICAN BALANCED FUND-A | 0.00 | 4.91 | 0.00 | 4.91 | 20.0 | 0.98 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-241R - BERTRAND, JOHN | AMERICAN BALANCED FUND-A | 0.00 | 0.59 | 0.00 | 0.59 | 20.0 | 0.12 |
| | ACCOUNT #: 00089941898 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | AMERICAN BALANCED FUND-A | 0.00 | 9.12 | 0.00 | 9.12 | 20.0 | 1.82 |
| | ACCOUNT #: 04000179575 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9037 - NOURSE, STACIA | AMERICAN BALANCED FUND-A | 0.00 | 0.77 | 0.00 | 0.77 | 20.0 | 0.15 |
| | ACCOUNT #: 04000247689 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | AMERICAN BALANCED FUND-A | 0.00 | 21.51 | 0.00 | 21.51 | 20.0 | 4.30 |
| | ACCOUNT #: 04000299130 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | AMERICAN BALANCED FUND-A | 0.00 | 10.10 | 0.00 | 10.10 | 20.0 | 2.02 |
| | ACCOUNT #: 04000381517 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4652 - GARNSEY, JANELLE | AMERICAN BALANCED FUND-A | 0.00 | 30.78 | 0.00 | 30.78 | 20.0 | 6.16 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2464 - COLLETT, DAN | AMERICAN BALANCED FUND-A | 0.00 | 7.85 | 0.00 | 7.85 | 20.0 | 1.57 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5423 - MIGNACCA, PHILIP | AMERICAN BALANCED FUND-A | 0.00 | 1.21 | 0.00 | 1.21 | 20.0 | 0.24 |
| | ACCOUNT #: 04000943937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5132 - MIGNACCA, DAWN | AMERICAN BALANCED FUND-A | 0.00 | 1.21 | 0.00 | 1.21 | 20.0 | 0.24 |
| | ACCOUNT #: 04000943969 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL | AMERICAN BALANCED FUND-A | 0.00 | 70.08 | 0.00 | 70.08 | 20.0 | 14.02 |
| | ACCOUNT #: 00061316194 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY | AMERICAN BALANCED FUND-A | 0.00 | 22.67 | 0.00 | 22.67 | 20.0 | 4.53 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN BALANCED FUND-A | 0.00 | 2.26 | 0.00 | 2.26 | 20.0 | 0.45 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN BALANCED FUND-A | 0.00 | 11.90 | 0.00 | 11.90 | 20.0 | 2.38 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5978 - COMFORT, | AMERICAN BALANCED FUND-A | 0.00 | 1.16 | 0.00 | 1.16 | 20.0 | 0.23 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-453R - OHARA, DARLENE ACCOUNT #: 00081132191 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.29 | 0.00 | 1.29 | 20.0 | 0.26 |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT ACCOUNT #: 00081788449 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.35 | 0.00 | 1.35 | 20.0 | 0.27 |
| 09/04/2018 | ***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 9.59 | 0.00 | 9.59 | 20.0 | 1.92 |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 16.21 | 0.00 | 16.21 | 20.0 | 3.24 |
| 09/04/2018 | ***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 8.03 | 0.00 | 8.03 | 20.0 | 1.61 |
| 09/04/2018 | ***-**-3654 - REEDY, WILLIAM ACCOUNT #: 00083453177 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 17.47 | 0.00 | 17.47 | 20.0 | 3.49 |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 13.57 | 0.00 | 13.57 | 20.0 | 2.71 |
| 09/04/2018 | ***-**-4639 - SCOONES, ACCOUNT #: 00084481157 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 19.04 | 0.00 | 19.04 | 20.0 | 3.81 |
| 09/04/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 22.33 | 0.00 | 22.33 | 20.0 | 4.47 |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518064 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 7.17 | 0.00 | 7.17 | 20.0 | 1.43 |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518079 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 49.17 | 0.00 | 49.17 | 20.0 | 9.83 |
| 09/04/2018 | ***-**-8526 - SPINELI, ELISE ACCOUNT #: 00084820024 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.65 | 0.00 | 1.65 | 20.0 | 0.33 |
| 09/04/2018 | ***-**-9408 - SNIZEK, ACCOUNT #: 00085099445 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 8.31 | 0.00 | 8.31 | 20.0 | 1.66 |
| 09/04/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 28.39 | 0.00 | 28.39 | 20.0 | 5.68 |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD ACCOUNT #: 00085219202 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.55 | 0.00 | 1.55 | 20.0 | 0.31 |
| 09/04/2018 | ***-**-150B - MURRAY, MARYANNE ACCOUNT #: 00085937489 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 12.46 | 0.00 | 12.46 | 20.0 | 2.49 |



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| <u>VERRIDE TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 3.25 | 0.00 | 3.25 | 20.0 | 0.65 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4906 - VAN ALSTINE, | AMERICAN BALANCED FUND-A | 0.00 | 4.69 | 0.00 | 4.69 | 20.0 | 0.94 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 5.87 | 0.00 | 5.87 | 20.0 | 1.17 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5152 - DUNN, MICHELE | AMERICAN BALANCED FUND-A | 0.00 | 30.77 | 0.00 | 30.77 | 20.0 | 6.15 |
| | ACCOUNT #: 00087316793 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6900 - MILLER, KENNETH | AMERICAN BALANCED FUND-A | 0.00 | 11.17 | 0.00 | 11.17 | 20.0 | 2.23 |
| | ACCOUNT #: 00087633416 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2146 - JONES, KELLIE | AMERICAN BALANCED FUND-A | 0.00 | 2.68 | 0.00 | 2.68 | 20.0 | 0.54 |
| | ACCOUNT #: 00087644930 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | AMERICAN BALANCED FUND-A | 0.00 | 2.60 | 0.00 | 2.60 | 20.0 | 0.52 |
| | ACCOUNT #: 00087671391 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-241R - DRAKE, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 2.97 | 0.00 | 2.97 | 20.0 | 0.59 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9321 - DRAKE, MARY | AMERICAN BALANCED FUND-A | 0.00 | 1.60 | 0.00 | 1.60 | 20.0 | 0.32 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3034 - DRAKE, CATHERINE | AMERICAN BALANCED FUND-A | 0.00 | 3.10 | 0.00 | 3.10 | 20.0 | 0.62 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-816R - NELSON, ANTHONY | AMERICAN BALANCED FUND-A | 0.00 | 1.21 | 0.00 | 1.21 | 20.0 | 0.24 |
| | ACCOUNT #: 00087727897 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | AMERICAN BALANCED FUND-A | 0.00 | 47.25 | 0.00 | 47.25 | 20.0 | 9.45 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA | AMERICAN BALANCED FUND-A | 0.00 | 3.79 | 0.00 | 3.79 | 20.0 | 0.76 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9348 - KARHNAK, NINA | AMERICAN BALANCED FUND-A | 0.00 | 2.37 | 0.00 | 2.37 | 20.0 | 0.47 |
| | ACCOUNT #: 00087837753 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9002 - ANDERSON, BRYAN | AMERICAN BALANCED FUND-A | 0.00 | 13.94 | 0.00 | 13.94 | 20.0 | 2.79 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0939 - LAWRENCE, JASON | AMERICAN BALANCED FUND-A | 0.00 | 4.47 | 0.00 | 4.47 | 20.0 | 0.89 |
| | ACCOUNT #: 00087909097 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-2179 - FOX, RAY | AMERICAN BALANCED FUND-A | 0.00 | 6.04 | 0.00 | 6.04 | 20.0 | 1.21 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7449 - JONES, D | AMERICAN BALANCED FUND-A | 0.00 | 10.21 | 0.00 | 10.21 | 20.0 | 2.04 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-16JT - NELSON, ANTHONY | AMERICAN BALANCED FUND-A | 0.00 | 2.61 | 0.00 | 2.61 | 20.0 | 0.52 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0746 - FRANCOEUR, HENRY | AMERICAN BALANCED FUND-A | 0.00 | 9.57 | 0.00 | 9.57 | 20.0 | 1.91 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3096 - WESTON, LOIS | AMERICAN BALANCED FUND-A | 0.00 | 5.39 | 0.00 | 5.39 | 20.0 | 1.08 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8526 - SPINELI, ELISE | AMERICAN BALANCED FUND-A | 0.00 | 3.08 | 0.00 | 3.08 | 20.0 | 0.62 |
| | ACCOUNT #: 00088154499 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6283 - DOUGLASS, ANITA | AMERICAN BALANCED FUND-A | 0.00 | 0.96 | 0.00 | 0.96 | 20.0 | 0.19 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7653 - SICHRA, JEANNE | AMERICAN BALANCED FUND-A | 0.00 | 2.76 | 0.00 | 2.76 | 20.0 | 0.55 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4790 - SWEZEY, WILLIAM | AMERICAN BALANCED FUND-A | 0.00 | 6.17 | 0.00 | 6.17 | 20.0 | 1.23 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1351 - DIMAURO, ALLAN | AMERICAN BALANCED FUND-A | 0.00 | 18.19 | 0.00 | 18.19 | 20.0 | 3.64 |
| | ACCOUNT #: 00088294408 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8800 - DIMAURO, JANE | AMERICAN BALANCED FUND-A | 0.00 | 1.35 | 0.00 | 1.35 | 20.0 | 0.27 |
| | ACCOUNT #: 00088294458 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-61RI - GETCHELL, | AMERICAN BALANCED FUND-A | 0.00 | 26.26 | 0.00 | 26.26 | 20.0 | 5.25 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2119 - BENNETT, COLLEEN | AMERICAN BALANCED FUND-A | 0.00 | 0.90 | 0.00 | 0.90 | 20.0 | 0.18 |
| | ACCOUNT #: 00088366890 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8260 - LANZ, BRIAN | AMERICAN BALANCED FUND-A | 0.00 | 0.17 | 0.00 | 0.17 | 20.0 | 0.03 |
| | ACCOUNT #: 00088702210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9844 - COTTET, DAVID | AMERICAN BALANCED FUND-A | 0.00 | 4.68 | 0.00 | 4.68 | 20.0 | 0.94 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | AMERICAN BALANCED FUND-A | 0.00 | 2.52 | 0.00 | 2.52 | 20.0 | 0.50 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | AMERICAN BALANCED FUND-A | 0.00 | 0.53 | 0.00 | 0.53 | 20.0 | 0.11 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7618 - WILE, SANDRA | AMERICAN BALANCED FUND-A | 0.00 | 4.84 | 0.00 | 4.84 | 20.0 | 0.97 |
| | ACCOUNT #: 00089237747 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9232 - DAVIS, CHRISTINE | AMERICAN BALANCED FUND-A | 0.00 | 0.69 | 0.00 | 0.69 | 20.0 | 0.14 |
| | ACCOUNT #: 00089570027 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7334 - SIMCHIK, KARI | AMERICAN BALANCED FUND-A | 0.00 | 6.51 | 0.00 | 6.51 | 20.0 | 1.30 |
| | ACCOUNT #: 00089741498 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0249 - ROBERTS, LESLIE | AMERICAN BALANCED FUND-A | 0.00 | 0.18 | 0.00 | 0.18 | 20.0 | 0.04 |
| | ACCOUNT #: 01919000777 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5453 - O'HARA, DARLENE | AMERICAN BALANCED FUND-A | 0.00 | 11.58 | 0.00 | 11.58 | 20.0 | 2.32 |
| | ACCOUNT #: 04000891195 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | AMERICAN BALANCED FUND-A | 0.00 | 15.24 | 0.00 | 15.24 | 20.0 | 3.05 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6233 - SHEPARD, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 0.05 | 0.00 | 0.05 | 20.0 | 0.01 |
| | ACCOUNT #: 04000977909 | BUY 0 Units @0.0000 | | | | | | |
| 09/10/2018 | ***-**-6233 - SHEPARD, ROBERT | AMERICAN BALANCED FUND-A | 50.00 | 2.50 | 0.00 | 2.50 | 20.0 | 0.50 |
| | ACCOUNT #: 04000977909 | BUY 2 Units @29.4900 | | | | | | |
| 09/12/2018 | ***-**-2146 - JONES, KELLIE | AMERICAN BALANCED FUND-A | 100.00 | 5.00 | 0.00 | 5.00 | 20.0 | 1.00 |
| | ACCOUNT #: 00087644930 | BUY 3 Units @29.4300 | | | | | | |
| 09/04/2018 | ***-**-0249 - ROBERTS, LESLIE | AMERICAN FUNDS GROWTH PORTFOLIO A | 0.00 | 0.74 | 0.00 | 0.74 | 20.0 | 0.15 |
| | ACCOUNT #: 01923000430 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4790 - SWEEZEY, WILLIAM | AMERICAN HIGH-INCOME MUNI BOND FUND | 0.00 | 2.19 | 0.00 | 2.19 | 20.0 | 0.44 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3427 - SAMPLE, TERESA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.64 | 0.00 | 0.64 | 20.0 | 0.13 |
| | ACCOUNT #: 00089920712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6786 - NOWAKOWSKI, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.19 | 0.00 | 2.19 | 20.0 | 0.44 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-241R - BERTRAND, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.49 | 0.00 | 0.49 | 20.0 | 0.10 |
| | ACCOUNT #: 00089941898 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 8.47 | 0.00 | 8.47 | 20.0 | 1.69 |
| | ACCOUNT #: 04000179575 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| Override Transactions (continued) | | | | | | | | |
| BROKER: RONALD DRAKE (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9037 - NOURSE, STACIA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.78 | 0.00 | 0.78 | 20.0 | 0.16 |
| | ACCOUNT #: 04000247689 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 14.88 | 0.00 | 14.88 | 20.0 | 2.98 |
| | ACCOUNT #: 04000299130 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 10.74 | 0.00 | 10.74 | 20.0 | 2.15 |
| | ACCOUNT #: 04000381517 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5423 - MIGNACCA, PHILIP | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.60 | 0.00 | 0.60 | 20.0 | 0.12 |
| | ACCOUNT #: 04000943937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5132 - MIGNACCA, DAWN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.60 | 0.00 | 0.60 | 20.0 | 0.12 |
| | ACCOUNT #: 04000943969 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 18.28 | 0.00 | 18.28 | 20.0 | 3.66 |
| | ACCOUNT #: 00073000918 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.76 | 0.00 | 1.76 | 20.0 | 0.35 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 11.90 | 0.00 | 11.90 | 20.0 | 2.38 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5978 - COMFORT, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.86 | 0.00 | 1.86 | 20.0 | 0.37 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.79 | 0.00 | 2.79 | 20.0 | 0.56 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-453R - OHARA, DARLENE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.92 | 0.00 | 1.92 | 20.0 | 0.38 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8260 - LANZ, BRIAN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 5.34 | 0.00 | 5.34 | 20.0 | 1.07 |
| | ACCOUNT #: 00081540589 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4848 - DOGGETT, AMY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 18.92 | 0.00 | 18.92 | 20.0 | 3.78 |
| | ACCOUNT #: 00081620466 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.49 | 0.00 | 4.49 | 20.0 | 0.90 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.95 | 0.00 | 0.95 | 20.0 | 0.19 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3143 - HUMPF, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 6.77 | 0.00 | 6.77 | 20.0 | 1.35 |
| | ACCOUNT #: 00082277557 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

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BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 6.44 | 0.00 | 6.44 | 20.0 | 1.29 |
| | ACCOUNT #: 00082278912 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.46 | 0.00 | 4.46 | 20.0 | 0.89 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0911 - SPAZIANI, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.60 | 0.00 | 4.60 | 20.0 | 0.92 |
| | ACCOUNT #: 00082570536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3654 - REEDY, WILLIAM | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 14.10 | 0.00 | 14.10 | 20.0 | 2.82 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 8.50 | 0.00 | 8.50 | 20.0 | 1.70 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 17.34 | 0.00 | 17.34 | 20.0 | 3.47 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.46 | 0.00 | 4.46 | 20.0 | 0.89 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.55 | 0.00 | 0.55 | 20.0 | 0.11 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8526 - SPINELI, ELISE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.16 | 0.00 | 1.16 | 20.0 | 0.23 |
| | ACCOUNT #: 00084820024 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7431 - PATANE, JOANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 20.67 | 0.00 | 20.67 | 20.0 | 4.13 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1467 - DRAKE, KATE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.54 | 0.00 | 0.54 | 20.0 | 0.11 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9408 - SNIZEK, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.09 | 0.00 | 4.09 | 20.0 | 0.82 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-408B - SNIZEK, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 17.69 | 0.00 | 17.69 | 20.0 | 3.54 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.49 | 0.00 | 1.49 | 20.0 | 0.30 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.23 | 0.00 | 0.23 | 20.0 | 0.05 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 5.99 | 0.00 | 5.99 | 20.0 | 1.20 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.84 | 0.00 | 2.84 | 20.0 | 0.57 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.35 | 0.00 | 3.35 | 20.0 | 0.67 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 26.56 | 0.00 | 26.56 | 20.0 | 5.31 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-150B - MURRAY, MARYANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 5.87 | 0.00 | 5.87 | 20.0 | 1.17 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.06 | 0.00 | 4.06 | 20.0 | 0.81 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 6.35 | 0.00 | 6.35 | 20.0 | 1.27 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1812 - CHANDLER, JODY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.29 | 0.00 | 3.29 | 20.0 | 0.66 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2775 - CASTILLO, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 8.64 | 0.00 | 8.64 | 20.0 | 1.73 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4932 - BAKER, STEVEN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.22 | 0.00 | 3.22 | 20.0 | 0.64 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9498 - MAXAM, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.85 | 0.00 | 0.85 | 20.0 | 0.17 |
| | ACCOUNT #: 00087112397 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.38 | 0.00 | 1.38 | 20.0 | 0.28 |
| | ACCOUNT #: 00087671391 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-24IR - DRAKE, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.99 | 0.00 | 1.99 | 20.0 | 0.40 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9321 - DRAKE, MARY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.71 | 0.00 | 1.71 | 20.0 | 0.34 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3034 - DRAKE, CATHERINE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.52 | 0.00 | 0.52 | 20.0 | 0.10 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-816R - NELSON, ANTHONY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.98 | 0.00 | 0.98 | 20.0 | 0.20 |
| | ACCOUNT #: 00087727897 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5152 - DUNN, MICHELE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 11.21 | 0.00 | 11.21 | 20.0 | 2.24 |
| | ACCOUNT #: 00087742896 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 12.79 | 0.00 | 12.79 | 20.0 | 2.56 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.91 | 0.00 | 0.91 | 20.0 | 0.18 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9348 - KARHNAK, NINA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.08 | 0.00 | 2.08 | 20.0 | 0.42 |
| | ACCOUNT #: 00087837753 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9002 - ANDERSON, BRYAN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 6.84 | 0.00 | 6.84 | 20.0 | 1.37 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2179 - FOX, RAY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.24 | 0.00 | 2.24 | 20.0 | 0.45 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7449 - JONES, D | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.92 | 0.00 | 2.92 | 20.0 | 0.58 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3096 - WESTON, LOIS | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.33 | 0.00 | 4.33 | 20.0 | 0.87 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6283 - DOUGLASS, ANITA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.68 | 0.00 | 0.68 | 20.0 | 0.14 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7653 - SICHRA, JEANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.35 | 0.00 | 2.35 | 20.0 | 0.47 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1351 - DIMAURO, ALLAN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 16.05 | 0.00 | 16.05 | 20.0 | 3.21 |
| | ACCOUNT #: 00088294408 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8800 - DIMAURO, JANE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.13 | 0.00 | 1.13 | 20.0 | 0.23 |
| | ACCOUNT #: 00088294458 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-61RI - GETCHELL, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 9.41 | 0.00 | 9.41 | 20.0 | 1.88 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9844 - COTTET, DAVID | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.43 | 0.00 | 1.43 | 20.0 | 0.29 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9232 - DAVIS, CHRISTINE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.23 | 0.00 | 0.23 | 20.0 | 0.05 |
| | ACCOUNT #: 00089570027 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7334 - SIMCHIK, KARI | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.02 | 0.00 | 2.02 | 20.0 | 0.40 |
| | ACCOUNT #: 00089741498 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5453 - O'HARA, DARLENE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 32.82 | 0.00 | 32.82 | 20.0 | 6.56 |
| | ACCOUNT #: 04000891195 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 11.51 | 0.00 | 11.51 | 20.0 | 2.30 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6233 - SHEPARD, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.05 | 0.00 | 0.05 | 20.0 | 0.01 |
| | ACCOUNT #: 04000977909 | BUY 0 Units @0.0000 | | | | | | |
| 09/10/2018 | ***-**-6233 - SHEPARD, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 50.00 | 1.50 | 0.00 | 1.50 | 20.0 | 0.30 |
| | ACCOUNT #: 04000977909 | BUY 5 Units @10.6200 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | AMERICAN MUTUAL FUND-A | 0.00 | 1.06 | 0.00 | 1.06 | 20.0 | 0.21 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | AMERICAN MUTUAL FUND-A | 0.00 | 10.52 | 0.00 | 10.52 | 20.0 | 2.10 |
| | ACCOUNT #: 00073910616 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6469 - BENNETT, DAVID | AMERICAN MUTUAL FUND-A | 0.00 | 4.83 | 0.00 | 4.83 | 20.0 | 0.97 |
| | ACCOUNT #: 00088370668 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL | AMERICAN MUTUAL FUND-A | 0.00 | 63.88 | 0.00 | 63.88 | 20.0 | 12.78 |
| | ACCOUNT #: 00064162121 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY | AMERICAN MUTUAL FUND-A | 0.00 | 3.64 | 0.00 | 3.64 | 20.0 | 0.73 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3654 - REEDY, WILLIAM | AMERICAN MUTUAL FUND-A | 0.00 | 9.41 | 0.00 | 9.41 | 20.0 | 1.88 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN MUTUAL FUND-A | 0.00 | 0.94 | 0.00 | 0.94 | 20.0 | 0.19 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9408 - SNIZEK, | AMERICAN MUTUAL FUND-A | 0.00 | 8.84 | 0.00 | 8.84 | 20.0 | 1.77 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2179 - FOX, RAY | AMERICAN MUTUAL FUND-A | 0.00 | 3.78 | 0.00 | 3.78 | 20.0 | 0.76 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2119 - BENNETT, COLLEEN | AMERICAN MUTUAL FUND-A | 0.00 | 2.14 | 0.00 | 2.14 | 20.0 | 0.43 |
| | ACCOUNT #: 00088366890 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL | AMERICAN MUTUAL FUND-A | 0.00 | 2.14 | 0.00 | 2.14 | 20.0 | 0.43 |
| | ACCOUNT #: 04000458059 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7458 - SAMPLE, MARK | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 0.62 | 0.00 | 0.62 | 20.0 | 0.12 |
| | ACCOUNT #: 00089920702 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 6.45 | 0.00 | 6.45 | 20.0 | 1.29 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

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BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-9232 - DAVIS, CHRISTINE | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 1.87 | 0.00 | 1.87 | 20.0 | 0.37 |
| | ACCOUNT #: 00089546188 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | CAPITAL INCOME BUILDER-A | 0.00 | 6.14 | 0.00 | 6.14 | 20.0 | 1.23 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | CAPITAL INCOME BUILDER-A | 0.00 | 0.97 | 0.00 | 0.97 | 20.0 | 0.19 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3246 - SGROI, TERESA | CAPITAL INCOME BUILDER-A | 0.00 | 2.76 | 0.00 | 2.76 | 20.0 | 0.55 |
| | ACCOUNT #: 00089976714 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5423 - MIGNACCA, PHILIP | CAPITAL INCOME BUILDER-A | 0.00 | 0.59 | 0.00 | 0.59 | 20.0 | 0.12 |
| | ACCOUNT #: 04000943937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5132 - MIGNACCA, DAWN | CAPITAL INCOME BUILDER-A | 0.00 | 0.59 | 0.00 | 0.59 | 20.0 | 0.12 |
| | ACCOUNT #: 04000943969 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY | CAPITAL INCOME BUILDER-A | 0.00 | 19.71 | 0.00 | 19.71 | 20.0 | 3.94 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL INCOME BUILDER-A | 0.00 | 2.60 | 0.00 | 2.60 | 20.0 | 0.52 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL INCOME BUILDER-A | 0.00 | 14.06 | 0.00 | 14.06 | 20.0 | 2.81 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9891 - WOODCOCK, | CAPITAL INCOME BUILDER-A | 0.00 | 43.73 | 0.00 | 43.73 | 20.0 | 8.75 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | CAPITAL INCOME BUILDER-A | 0.00 | 11.50 | 0.00 | 11.50 | 20.0 | 2.30 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL INCOME BUILDER-A | 0.00 | 0.84 | 0.00 | 0.84 | 20.0 | 0.17 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | CAPITAL INCOME BUILDER-A | 0.00 | 14.86 | 0.00 | 14.86 | 20.0 | 2.97 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-150B - MURRAY, MARYANNE | CAPITAL INCOME BUILDER-A | 0.00 | 8.66 | 0.00 | 8.66 | 20.0 | 1.73 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT | CAPITAL INCOME BUILDER-A | 0.00 | 70.21 | 0.00 | 70.21 | 20.0 | 14.04 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4906 - VAN ALSTINE, | CAPITAL INCOME BUILDER-A | 0.00 | 2.68 | 0.00 | 2.68 | 20.0 | 0.54 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>VERRIDE TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | CAPITAL INCOME BUILDER-A | 0.00 | 7.02 | 0.00 | 7.02 | 20.0 | 1.40 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1812 - CHANDLER, JODY | CAPITAL INCOME BUILDER-A | 0.00 | 3.71 | 0.00 | 3.71 | 20.0 | 0.74 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2775 - CASTILLO, | CAPITAL INCOME BUILDER-A | 0.00 | 25.49 | 0.00 | 25.49 | 20.0 | 5.10 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4932 - BAKER, STEVEN | CAPITAL INCOME BUILDER-A | 0.00 | 12.10 | 0.00 | 12.10 | 20.0 | 2.42 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8321 - HIGGINS, CYNTHIA | CAPITAL INCOME BUILDER-A | 0.00 | 8.67 | 0.00 | 8.67 | 20.0 | 1.73 |
| | ACCOUNT #: 00086788166 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5152 - DUNN, MICHELE | CAPITAL INCOME BUILDER-A | 0.00 | 28.31 | 0.00 | 28.31 | 20.0 | 5.66 |
| | ACCOUNT #: 00087316793 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-241R - DRAKE, ROBERT | CAPITAL INCOME BUILDER-A | 0.00 | 2.25 | 0.00 | 2.25 | 20.0 | 0.45 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9321 - DRAKE, MARY | CAPITAL INCOME BUILDER-A | 0.00 | 1.92 | 0.00 | 1.92 | 20.0 | 0.38 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | CAPITAL INCOME BUILDER-A | 0.00 | 13.74 | 0.00 | 13.74 | 20.0 | 2.75 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9002 - ANDERSON, BRYAN | CAPITAL INCOME BUILDER-A | 0.00 | 12.17 | 0.00 | 12.17 | 20.0 | 2.43 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2179 - FOX, RAY | CAPITAL INCOME BUILDER-A | 0.00 | 5.52 | 0.00 | 5.52 | 20.0 | 1.10 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7449 - JONES, D | CAPITAL INCOME BUILDER-A | 0.00 | 9.29 | 0.00 | 9.29 | 20.0 | 1.86 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-16JT - NELSON, ANTHONY | CAPITAL INCOME BUILDER-A | 0.00 | 2.34 | 0.00 | 2.34 | 20.0 | 0.47 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0746 - FRANCOEUR, HENRY | CAPITAL INCOME BUILDER-A | 0.00 | 11.65 | 0.00 | 11.65 | 20.0 | 2.33 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3096 - WESTON, LOIS | CAPITAL INCOME BUILDER-A | 0.00 | 2.52 | 0.00 | 2.52 | 20.0 | 0.50 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8526 - SPINELI, ELISE | CAPITAL INCOME BUILDER-A | 0.00 | 2.07 | 0.00 | 2.07 | 20.0 | 0.41 |
| | ACCOUNT #: 00088154499 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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|---|--------------------------------|---------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-6283 - DOUGLASS, ANITA | CAPITAL INCOME BUILDER-A | 0.00 | 1.03 | 0.00 | 1.03 | 20.0 | 0.21 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7653 - SICHRA, JEANNE | CAPITAL INCOME BUILDER-A | 0.00 | 2.37 | 0.00 | 2.37 | 20.0 | 0.47 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4790 - SWEEZEY, WILLIAM | CAPITAL INCOME BUILDER-A | 0.00 | 3.84 | 0.00 | 3.84 | 20.0 | 0.77 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-61RI - GETCHELL, | CAPITAL INCOME BUILDER-A | 0.00 | 16.62 | 0.00 | 16.62 | 20.0 | 3.32 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6233 - SHEPARD, ROBERT | CAPITAL INCOME BUILDER-A | 0.00 | 0.05 | 0.00 | 0.05 | 20.0 | 0.01 |
| | ACCOUNT #: 04000977909 | BUY 0 Units @0.0000 | | | | | | |
| 09/10/2018 | ***-**-6233 - SHEPARD, ROBERT | CAPITAL INCOME BUILDER-A | 50.00 | 2.50 | 0.00 | 2.50 | 20.0 | 0.50 |
| | ACCOUNT #: 04000977909 | BUY 1 Units @63.8900 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | CAPITAL WORLD BOND FUND-A | 0.00 | 14.64 | 0.00 | 14.64 | 20.0 | 2.93 |
| | ACCOUNT #: 04000299130 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL WORLD BOND FUND-A | 0.00 | 0.94 | 0.00 | 0.94 | 20.0 | 0.19 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | CAPITAL WORLD BOND FUND-A | 0.00 | 11.74 | 0.00 | 11.74 | 20.0 | 2.35 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL WORLD BOND FUND-A | 0.00 | 10.07 | 0.00 | 10.07 | 20.0 | 2.01 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT | CAPITAL WORLD BOND FUND-A | 0.00 | 30.22 | 0.00 | 30.22 | 20.0 | 6.04 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4906 - VAN ALSTINE, | CAPITAL WORLD BOND FUND-A | 0.00 | 1.23 | 0.00 | 1.23 | 20.0 | 0.25 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2775 - CASTILLO, | CAPITAL WORLD BOND FUND-A | 0.00 | 5.98 | 0.00 | 5.98 | 20.0 | 1.20 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4932 - BAKER, STEVEN | CAPITAL WORLD BOND FUND-A | 0.00 | 8.40 | 0.00 | 8.40 | 20.0 | 1.68 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8321 - HIGGINS, CYNTHIA | CAPITAL WORLD BOND FUND-A | 0.00 | 6.85 | 0.00 | 6.85 | 20.0 | 1.37 |
| | ACCOUNT #: 00086788166 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-61RI - GETCHELL, | CAPITAL WORLD BOND FUND-A | 0.00 | 11.65 | 0.00 | 11.65 | 20.0 | 2.33 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |



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BROKER #: AK417

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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | CAPITAL WORLD BOND FUND-A | 0.00 | 8.49 | 0.00 | 8.49 | 20.0 | 1.70 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.91 | 0.00 | 1.91 | 20.0 | 0.38 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4652 - GARNSEY, JANELLE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.40 | 0.00 | 15.40 | 20.0 | 3.08 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2464 - COLLETT, DAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.26 | 0.00 | 2.26 | 20.0 | 0.45 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.41 | 0.00 | 2.41 | 20.0 | 0.48 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 9.56 | 0.00 | 9.56 | 20.0 | 1.91 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5978 - COMFORT, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.53 | 0.00 | 0.53 | 20.0 | 0.11 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.36 | 0.00 | 1.36 | 20.0 | 0.27 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-989I - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 16.49 | 0.00 | 16.49 | 20.0 | 3.30 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4848 - DOGETT, AMY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 21.06 | 0.00 | 21.06 | 20.0 | 4.21 |
| | ACCOUNT #: 00081620466 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.50 | 0.00 | 4.50 | 20.0 | 0.90 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3143 - HUMPF, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 8.04 | 0.00 | 8.04 | 20.0 | 1.61 |
| | ACCOUNT #: 00082277557 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 9.46 | 0.00 | 9.46 | 20.0 | 1.89 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-989I - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.13 | 0.00 | 3.13 | 20.0 | 0.63 |
| | ACCOUNT #: 00083279090 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3654 - REEDY, WILLIAM | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.41 | 0.00 | 15.41 | 20.0 | 3.08 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.59 | 0.00 | 2.59 | 20.0 | 0.52 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |



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| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 19.49 | 0.00 | 19.49 | 20.0 | 3.90 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.02 | 0.00 | 5.02 | 20.0 | 1.00 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.91 | 0.00 | 0.91 | 20.0 | 0.18 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1467 - DRAKE, KATE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.68 | 0.00 | 0.68 | 20.0 | 0.14 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9408 - SNIZEK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.26 | 0.00 | 3.26 | 20.0 | 0.65 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-408B - SNIZEK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 21.72 | 0.00 | 21.72 | 20.0 | 4.34 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.11 | 0.00 | 2.11 | 20.0 | 0.42 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.39 | 0.00 | 0.39 | 20.0 | 0.08 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.43 | 0.00 | 10.43 | 20.0 | 2.09 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.44 | 0.00 | 3.44 | 20.0 | 0.69 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.96 | 0.00 | 4.96 | 20.0 | 0.99 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 18.56 | 0.00 | 18.56 | 20.0 | 3.71 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-150B - MURRAY, MARYANNE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.89 | 0.00 | 10.89 | 20.0 | 2.18 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.32 | 0.00 | 3.32 | 20.0 | 0.66 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4906 - VAN ALSTINE, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.02 | 0.00 | 3.02 | 20.0 | 0.60 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.48 | 0.00 | 5.48 | 20.0 | 1.10 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-1812 - CHANDLER, JODY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.19 | 0.00 | 2.19 | 20.0 | 0.44 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2775 - CASTILLO, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 30.95 | 0.00 | 30.95 | 20.0 | 6.19 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4932 - BAKER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 11.28 | 0.00 | 11.28 | 20.0 | 2.26 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9498 - MAXAM, ROBERT | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.30 | 0.00 | 1.30 | 20.0 | 0.26 |
| | ACCOUNT #: 00087112397 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6900 - MILLER, KENNETH | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.80 | 0.00 | 5.80 | 20.0 | 1.16 |
| | ACCOUNT #: 00087633416 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.67 | 0.00 | 5.67 | 20.0 | 1.13 |
| | ACCOUNT #: 00087671391 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3034 - DRAKE, CATHERINE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.19 | 0.00 | 2.19 | 20.0 | 0.44 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-816R - NELSON, ANTHONY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.17 | 0.00 | 1.17 | 20.0 | 0.23 |
| | ACCOUNT #: 00087727897 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 30.64 | 0.00 | 30.64 | 20.0 | 6.13 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9002 - ANDERSON, BRYAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.39 | 0.00 | 5.39 | 20.0 | 1.08 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-16JT - NELSON, ANTHONY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.65 | 0.00 | 2.65 | 20.0 | 0.53 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3096 - WESTON, LOIS | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.11 | 0.00 | 1.11 | 20.0 | 0.22 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1351 - DIMAURO, ALLAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 17.78 | 0.00 | 17.78 | 20.0 | 3.56 |
| | ACCOUNT #: 00088294408 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8800 - DIMAURO, JANE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.34 | 0.00 | 1.34 | 20.0 | 0.27 |
| | ACCOUNT #: 00088294458 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.07 | 0.00 | 1.07 | 20.0 | 0.21 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.95 | 0.00 | 10.95 | 20.0 | 2.19 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.19 | 0.00 | 1.19 | 20.0 | 0.24 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | EUROPACIFIC GROWTH FUND-A | 0.00 | 6.75 | 0.00 | 6.75 | 20.0 | 1.35 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-453R - OHARA, DARLENE | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.31 | 0.00 | 1.31 | 20.0 | 0.26 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8260 - LANZ, BRIAN | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.23 | 0.00 | 1.23 | 20.0 | 0.25 |
| | ACCOUNT #: 00081540589 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.78 | 0.00 | 1.78 | 20.0 | 0.36 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | EUROPACIFIC GROWTH FUND-A | 0.00 | 6.94 | 0.00 | 6.94 | 20.0 | 1.39 |
| | ACCOUNT #: 00082278912 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-034R - DRAKE, CATHERINE | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.52 | 0.00 | 1.52 | 20.0 | 0.30 |
| | ACCOUNT #: 00082893236 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-989I - WOODCOCK, | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.59 | 0.00 | 2.59 | 20.0 | 0.52 |
| | ACCOUNT #: 00083279090 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4427 - ZOGBY, MICHAEL | EUROPACIFIC GROWTH FUND-A | 0.00 | 11.97 | 0.00 | 11.97 | 20.0 | 2.39 |
| | ACCOUNT #: 00084492487 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7431 - PATANE, JOANNE | EUROPACIFIC GROWTH FUND-A | 0.00 | 8.12 | 0.00 | 8.12 | 20.0 | 1.62 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.30 | 0.00 | 0.30 | 20.0 | 0.06 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | EUROPACIFIC GROWTH FUND-A | 0.00 | 7.90 | 0.00 | 7.90 | 20.0 | 1.58 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | EUROPACIFIC GROWTH FUND-A | 0.00 | 4.63 | 0.00 | 4.63 | 20.0 | 0.93 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | EUROPACIFIC GROWTH FUND-A | 0.00 | 9.60 | 0.00 | 9.60 | 20.0 | 1.92 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.44 | 0.00 | 1.44 | 20.0 | 0.29 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | FUNDAMENTAL INVESTORS-A | 0.00 | 1.58 | 0.00 | 1.58 | 20.0 | 0.32 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, ACCOUNT #: 04000179575 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 9.73 | 0.00 | 9.73 | 20.0 | 1.95 |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE ACCOUNT #: 04000299130 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 16.82 | 0.00 | 16.82 | 20.0 | 3.36 |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL ACCOUNT #: 00064162121 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 38.50 | 0.00 | 38.50 | 20.0 | 7.70 |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY ACCOUNT #: 00073384225 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 5.09 | 0.00 | 5.09 | 20.0 | 1.02 |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074399618 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 0.76 | 0.00 | 0.76 | 20.0 | 0.15 |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074417033 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 13.38 | 0.00 | 13.38 | 20.0 | 2.68 |
| 09/04/2018 | ***-**-8260 - LANZ, BRIAN ACCOUNT #: 00081540589 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 7.43 | 0.00 | 7.43 | 20.0 | 1.49 |
| 09/04/2018 | ***-**-989I - WOODCOCK, ACCOUNT #: 00081599245 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 37.37 | 0.00 | 37.37 | 20.0 | 7.47 |
| 09/04/2018 | ***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 9.47 | 0.00 | 9.47 | 20.0 | 1.89 |
| 09/04/2018 | ***-**-989I - WOODCOCK, ACCOUNT #: 00083279090 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 8.25 | 0.00 | 8.25 | 20.0 | 1.65 |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518064 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 1.13 | 0.00 | 1.13 | 20.0 | 0.23 |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518079 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 10.81 | 0.00 | 10.81 | 20.0 | 2.16 |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD ACCOUNT #: 00085219202 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 1.73 | 0.00 | 1.73 | 20.0 | 0.35 |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00085918827 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 56.68 | 0.00 | 56.68 | 20.0 | 11.34 |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT ACCOUNT #: 00086060967 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 81.95 | 0.00 | 81.95 | 20.0 | 16.39 |
| 09/04/2018 | ***-**-2775 - CASTILLO, ACCOUNT #: 00086668159 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 32.52 | 0.00 | 32.52 | 20.0 | 6.50 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-5152 - DUNN, MICHELE | FUNDAMENTAL INVESTORS-A | 0.00 | 20.13 | 0.00 | 20.13 | 20.0 | 4.03 |
| | ACCOUNT #: 00087742896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA | FUNDAMENTAL INVESTORS-A | 0.00 | 1.09 | 0.00 | 1.09 | 20.0 | 0.22 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0746 - FRANCOEUR, HENRY | FUNDAMENTAL INVESTORS-A | 0.00 | 6.30 | 0.00 | 6.30 | 20.0 | 1.26 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7653 - SICHRA, JEANNE | FUNDAMENTAL INVESTORS-A | 0.00 | 3.10 | 0.00 | 3.10 | 20.0 | 0.62 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4790 - SWEEZEY, WILLIAM | FUNDAMENTAL INVESTORS-A | 0.00 | 7.51 | 0.00 | 7.51 | 20.0 | 1.50 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-61RI - GETCHELL, | FUNDAMENTAL INVESTORS-A | 0.00 | 24.39 | 0.00 | 24.39 | 20.0 | 4.88 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | FUNDAMENTAL INVESTORS-A | 0.00 | 3.45 | 0.00 | 3.45 | 20.0 | 0.69 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | FUNDAMENTAL INVESTORS-A | 0.00 | 0.59 | 0.00 | 0.59 | 20.0 | 0.12 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7618 - WILE, SANDRA | FUNDAMENTAL INVESTORS-A | 0.00 | 6.18 | 0.00 | 6.18 | 20.0 | 1.24 |
| | ACCOUNT #: 00089237747 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL | FUNDAMENTAL INVESTORS-A | 0.00 | 1.22 | 0.00 | 1.22 | 20.0 | 0.24 |
| | ACCOUNT #: 04000458059 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | FUNDAMENTAL INVESTORS-A | 0.00 | 14.19 | 0.00 | 14.19 | 20.0 | 2.84 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | NEW PERSPECTIVE FUND-A | 0.00 | 1.51 | 0.00 | 1.51 | 20.0 | 0.30 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | NEW PERSPECTIVE FUND-A | 0.00 | 1.87 | 0.00 | 1.87 | 20.0 | 0.37 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL | NEW PERSPECTIVE FUND-A | 0.00 | 45.33 | 0.00 | 45.33 | 20.0 | 9.07 |
| | ACCOUNT #: 00064162121 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY | NEW PERSPECTIVE FUND-A | 0.00 | 4.46 | 0.00 | 4.46 | 20.0 | 0.89 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | NEW PERSPECTIVE FUND-A | 0.00 | 1.69 | 0.00 | 1.69 | 20.0 | 0.34 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | NEW PERSPECTIVE FUND-A | 0.00 | 5.13 | 0.00 | 5.13 | 20.0 | 1.03 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | NEW PERSPECTIVE FUND-A | 0.00 | 2.33 | 0.00 | 2.33 | 20.0 | 0.47 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-453R - OHARA, DARLENE | NEW PERSPECTIVE FUND-A | 0.00 | 2.58 | 0.00 | 2.58 | 20.0 | 0.52 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-989I - WOODCOCK, | NEW PERSPECTIVE FUND-A | 0.00 | 20.47 | 0.00 | 20.47 | 20.0 | 4.09 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | NEW PERSPECTIVE FUND-A | 0.00 | 28.03 | 0.00 | 28.03 | 20.0 | 5.61 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT | NEW PERSPECTIVE FUND-A | 0.00 | 39.13 | 0.00 | 39.13 | 20.0 | 7.83 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7691 - CAREY, PAUL | NEW PERSPECTIVE FUND-A | 0.00 | 1.33 | 0.00 | 1.33 | 20.0 | 0.27 |
| | ACCOUNT #: 04000458059 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5453 - O'HARA, DARLENE | NEW PERSPECTIVE FUND-A | 0.00 | 33.77 | 0.00 | 33.77 | 20.0 | 6.75 |
| | ACCOUNT #: 04000891195 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | NEW PERSPECTIVE FUND-A | 0.00 | 1.55 | 0.00 | 1.55 | 20.0 | 0.31 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | NEW WORLD FUND-A | 0.00 | 1.32 | 0.00 | 1.32 | 20.0 | 0.26 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | NEW WORLD FUND-A | 0.00 | 4.20 | 0.00 | 4.20 | 20.0 | 0.84 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | NEW WORLD FUND-A | 0.00 | 0.46 | 0.00 | 0.46 | 20.0 | 0.09 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2179 - FOX, RAY | NEW WORLD FUND-A | 0.00 | 2.35 | 0.00 | 2.35 | 20.0 | 0.47 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | SMALLCAP WORLD FUND-A | 0.00 | 1.63 | 0.00 | 1.63 | 20.0 | 0.33 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | SMALLCAP WORLD FUND-A | 0.00 | 5.03 | 0.00 | 5.03 | 20.0 | 1.01 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | SMALLCAP WORLD FUND-A | 0.00 | 2.14 | 0.00 | 2.14 | 20.0 | 0.43 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE BOND FUND OF AMERICA-A | 0.00 | 1.28 | 0.00 | 1.28 | 20.0 | 0.26 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE BOND FUND OF AMERICA-A | 0.00 | 5.37 | 0.00 | 5.37 | 20.0 | 1.07 |
| | ACCOUNT #: 00073910616 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4652 - GARNSEY, JANELLE | THE BOND FUND OF AMERICA-A | 0.00 | 14.20 | 0.00 | 14.20 | 20.0 | 2.84 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2464 - COLLETT, DAN | THE BOND FUND OF AMERICA-A | 0.00 | 2.62 | 0.00 | 2.62 | 20.0 | 0.52 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | THE BOND FUND OF AMERICA-A | 0.00 | 3.90 | 0.00 | 3.90 | 20.0 | 0.78 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5978 - COMFORT, | THE BOND FUND OF AMERICA-A | 0.00 | 0.55 | 0.00 | 0.55 | 20.0 | 0.11 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 0.93 | 0.00 | 0.93 | 20.0 | 0.19 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | THE BOND FUND OF AMERICA-A | 0.00 | 2.20 | 0.00 | 2.20 | 20.0 | 0.44 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE | THE BOND FUND OF AMERICA-A | 0.00 | 7.90 | 0.00 | 7.90 | 20.0 | 1.58 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4639 - SCOONES, | THE BOND FUND OF AMERICA-A | 0.00 | 11.42 | 0.00 | 11.42 | 20.0 | 2.28 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | THE BOND FUND OF AMERICA-A | 0.00 | 2.82 | 0.00 | 2.82 | 20.0 | 0.56 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | THE BOND FUND OF AMERICA-A | 0.00 | 26.37 | 0.00 | 26.37 | 20.0 | 5.27 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7431 - PATANE, JOANNE | THE BOND FUND OF AMERICA-A | 0.00 | 5.73 | 0.00 | 5.73 | 20.0 | 1.15 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT | THE BOND FUND OF AMERICA-A | 0.00 | 27.55 | 0.00 | 27.55 | 20.0 | 5.51 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 2.52 | 0.00 | 2.52 | 20.0 | 0.50 |
| | ACCOUNT #: 00086643595 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9498 - MAXAM, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 1.51 | 0.00 | 1.51 | 20.0 | 0.30 |
| | ACCOUNT #: 00087112397 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | THE BOND FUND OF AMERICA-A | 0.00 | 1.63 | 0.00 | 1.63 | 20.0 | 0.33 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | THE BOND FUND OF AMERICA-A | 0.00 | 0.38 | 0.00 | 0.38 | 20.0 | 0.08 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | THE BOND FUND OF AMERICA-A | 0.00 | 7.18 | 0.00 | 7.18 | 20.0 | 1.44 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.41 | 0.00 | 3.41 | 20.0 | 0.68 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.61 | 0.00 | 2.61 | 20.0 | 0.52 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7823 - BERTRAND, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.66 | 0.00 | 0.66 | 20.0 | 0.13 |
| | ACCOUNT #: 00089897908 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6786 - NOWAKOWSKI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.01 | 0.00 | 3.01 | 20.0 | 0.60 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-24IR - BERTRAND, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.22 | 0.00 | 1.22 | 20.0 | 0.24 |
| | ACCOUNT #: 00089941898 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 17.97 | 0.00 | 17.97 | 20.0 | 3.59 |
| | ACCOUNT #: 04000299130 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.98 | 0.00 | 5.98 | 20.0 | 1.20 |
| | ACCOUNT #: 04000381517 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4652 - GARNSEY, JANELLE | THE GROWTH FUND OF AMERICA-A | 0.00 | 34.11 | 0.00 | 34.11 | 20.0 | 6.82 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2464 - COLLETT, DAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.07 | 0.00 | 3.07 | 20.0 | 0.61 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5423 - MIGNACCA, PHILIP | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.84 | 0.00 | 0.84 | 20.0 | 0.17 |
| | ACCOUNT #: 04000943937 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5132 - MIGNACCA, DAWN | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.84 | 0.00 | 0.84 | 20.0 | 0.17 |
| | ACCOUNT #: 04000943969 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-816I - NELSON, ANTHONY | THE GROWTH FUND OF AMERICA-A | 0.00 | 9.13 | 0.00 | 9.13 | 20.0 | 1.83 |
| | ACCOUNT #: 00066978950 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.03 | 0.00 | 10.03 | 20.0 | 2.01 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.12 | 0.00 | 4.12 | 20.0 | 0.82 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 13.18 | 0.00 | 13.18 | 20.0 | 2.64 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-453R - OHARA, DARLENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.48 | 0.00 | 2.48 | 20.0 | 0.50 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8260 - LANZ, BRIAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.78 | 0.00 | 1.78 | 20.0 | 0.36 |
| | ACCOUNT #: 00081540589 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-989I - WOODCOCK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 29.71 | 0.00 | 29.71 | 20.0 | 5.94 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4848 - DOGGETT, AMY | THE GROWTH FUND OF AMERICA-A | 0.00 | 27.55 | 0.00 | 27.55 | 20.0 | 5.51 |
| | ACCOUNT #: 00081620466 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.69 | 0.00 | 6.69 | 20.0 | 1.34 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.73 | 0.00 | 1.73 | 20.0 | 0.35 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3143 - HUMPF, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.21 | 0.00 | 16.21 | 20.0 | 3.24 |
| | ACCOUNT #: 00082277557 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | THE GROWTH FUND OF AMERICA-A | 0.00 | 27.54 | 0.00 | 27.54 | 20.0 | 5.51 |
| | ACCOUNT #: 00082278912 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.83 | 0.00 | 7.83 | 20.0 | 1.57 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0911 - SPAZIANI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.84 | 0.00 | 3.84 | 20.0 | 0.77 |
| | ACCOUNT #: 00082570536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-034R - DRAKE, CATHERINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.41 | 0.00 | 2.41 | 20.0 | 0.48 |
| | ACCOUNT #: 00082893236 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9089 - REEDY, LISA | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.68 | 0.00 | 1.68 | 20.0 | 0.34 |
| | ACCOUNT #: 00083100942 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-989I - WOODCOCK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.84 | 0.00 | 8.84 | 20.0 | 1.77 |
| | ACCOUNT #: 00083279090 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-3654 - REEDY, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.87 | 0.00 | 7.87 | 20.0 | 1.57 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE | THE GROWTH FUND OF AMERICA-A | 0.00 | 15.52 | 0.00 | 15.52 | 20.0 | 3.10 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4639 - SCOONES, | THE GROWTH FUND OF AMERICA-A | 0.00 | 22.81 | 0.00 | 22.81 | 20.0 | 4.56 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4427 - ZOGBY, MICHAEL | THE GROWTH FUND OF AMERICA-A | 0.00 | 33.13 | 0.00 | 33.13 | 20.0 | 6.63 |
| | ACCOUNT #: 00084492487 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.07 | 0.00 | 6.07 | 20.0 | 1.21 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.71 | 0.00 | 16.71 | 20.0 | 3.34 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | THE GROWTH FUND OF AMERICA-A | 0.00 | 15.75 | 0.00 | 15.75 | 20.0 | 3.15 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.89 | 0.00 | 5.89 | 20.0 | 1.18 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8526 - SPINELI, ELISE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.40 | 0.00 | 2.40 | 20.0 | 0.48 |
| | ACCOUNT #: 00084820024 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7431 - PATANE, JOANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 21.56 | 0.00 | 21.56 | 20.0 | 4.31 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1467 - DRAKE, KATE | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.64 | 0.00 | 1.64 | 20.0 | 0.33 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9408 - SNIZEK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.51 | 0.00 | 10.51 | 20.0 | 2.10 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-408B - SNIZEK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 50.78 | 0.00 | 50.78 | 20.0 | 10.16 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.48 | 0.00 | 2.48 | 20.0 | 0.50 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.48 | 0.00 | 0.48 | 20.0 | 0.10 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 15.99 | 0.00 | 15.99 | 20.0 | 3.20 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |



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ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.35 | 0.00 | 4.35 | 20.0 | 0.87 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.08 | 0.00 | 7.08 | 20.0 | 1.42 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 38.33 | 0.00 | 38.33 | 20.0 | 7.67 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-150B - MURRAY, MARYANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 13.75 | 0.00 | 13.75 | 20.0 | 2.75 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT | THE GROWTH FUND OF AMERICA-A | 0.00 | 86.67 | 0.00 | 86.67 | 20.0 | 17.33 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1812 - CHANDLER, JODY | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.54 | 0.00 | 2.54 | 20.0 | 0.51 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9498 - MAXAM, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.30 | 0.00 | 4.30 | 20.0 | 0.86 |
| | ACCOUNT #: 00087112397 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8143 - DRAKE, RONALD | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.19 | 0.00 | 3.19 | 20.0 | 0.64 |
| | ACCOUNT #: 00087671391 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-24IR - DRAKE, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.15 | 0.00 | 1.15 | 20.0 | 0.23 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3034 - DRAKE, CATHERINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.35 | 0.00 | 3.35 | 20.0 | 0.67 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5152 - DUNN, MICHELE | THE GROWTH FUND OF AMERICA-A | 0.00 | 11.65 | 0.00 | 11.65 | 20.0 | 2.33 |
| | ACCOUNT #: 00087742896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.34 | 0.00 | 3.34 | 20.0 | 0.67 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7449 - JONES, D | THE GROWTH FUND OF AMERICA-A | 0.00 | 11.58 | 0.00 | 11.58 | 20.0 | 2.32 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8526 - SPINELI, ELISE | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.52 | 0.00 | 5.52 | 20.0 | 1.10 |
| | ACCOUNT #: 00088154499 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8260 - LANZ, BRIAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.21 | 0.00 | 0.21 | 20.0 | 0.04 |
| | ACCOUNT #: 00088702210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9844 - COTTET, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.28 | 0.00 | 4.28 | 20.0 | 0.86 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-404Q - SVECZ, LORRAINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.82 | 0.00 | 1.82 | 20.0 | 0.36 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7618 - WILE, SANDRA | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.62 | 0.00 | 1.62 | 20.0 | 0.32 |
| | ACCOUNT #: 00089237747 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 11.15 | 0.00 | 11.15 | 20.0 | 2.23 |
| | ACCOUNT #: 00089542885 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7334 - SIMCHIK, KARI | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.88 | 0.00 | 6.88 | 20.0 | 1.38 |
| | ACCOUNT #: 00089741498 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.82 | 0.00 | 7.82 | 20.0 | 1.56 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE INCOME FUND OF AMERICA-A | 0.00 | 2.18 | 0.00 | 2.18 | 20.0 | 0.44 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6469 - BENNETT, DAVID | THE INCOME FUND OF AMERICA-A | 0.00 | 0.90 | 0.00 | 0.90 | 20.0 | 0.18 |
| | ACCOUNT #: 00088370668 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6786 - NOWAKOWSKI, | THE INCOME FUND OF AMERICA-A | 0.00 | 4.40 | 0.00 | 4.40 | 20.0 | 0.88 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | THE INCOME FUND OF AMERICA-A | 0.00 | 20.64 | 0.00 | 20.64 | 20.0 | 4.13 |
| | ACCOUNT #: 04000299130 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2674 - MURRAY, SUZANNE | THE INCOME FUND OF AMERICA-A | 0.00 | 7.42 | 0.00 | 7.42 | 20.0 | 1.48 |
| | ACCOUNT #: 04000381517 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8032 - ISBELL, RANDY | THE INCOME FUND OF AMERICA-A | 0.00 | 7.16 | 0.00 | 7.16 | 20.0 | 1.43 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | THE INCOME FUND OF AMERICA-A | 0.00 | 4.86 | 0.00 | 4.86 | 20.0 | 0.97 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6921 - LAROCCA, KAREN | THE INCOME FUND OF AMERICA-A | 0.00 | 15.40 | 0.00 | 15.40 | 20.0 | 3.08 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9825 - OHARA, DAVID | THE INCOME FUND OF AMERICA-A | 0.00 | 1.69 | 0.00 | 1.69 | 20.0 | 0.34 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | THE INCOME FUND OF AMERICA-A | 0.00 | 3.73 | 0.00 | 3.73 | 20.0 | 0.75 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5556 - BURNS, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 1.51 | 0.00 | 1.51 | 20.0 | 0.30 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |



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ANDY KALINOWSKI

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BRANCH #01016 - 16 - CASPER

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|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-932B - BAKER, STEVEN | THE INCOME FUND OF AMERICA-A | 0.00 | 13.37 | 0.00 | 13.37 | 20.0 | 2.67 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0911 - SPAZIANI, | THE INCOME FUND OF AMERICA-A | 0.00 | 7.88 | 0.00 | 7.88 | 20.0 | 1.58 |
| | ACCOUNT #: 00082570536 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE | THE INCOME FUND OF AMERICA-A | 0.00 | 13.30 | 0.00 | 13.30 | 20.0 | 2.66 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4639 - SCOONES, | THE INCOME FUND OF AMERICA-A | 0.00 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4427 - ZOGBY, MICHAEL | THE INCOME FUND OF AMERICA-A | 0.00 | 10.93 | 0.00 | 10.93 | 20.0 | 2.19 |
| | ACCOUNT #: 00084492487 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | THE INCOME FUND OF AMERICA-A | 0.00 | 0.91 | 0.00 | 0.91 | 20.0 | 0.18 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2857 - NELSON, IRENE | THE INCOME FUND OF AMERICA-A | 0.00 | 11.14 | 0.00 | 11.14 | 20.0 | 2.23 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | THE INCOME FUND OF AMERICA-A | 0.00 | 15.29 | 0.00 | 15.29 | 20.0 | 3.06 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1298 - WARNER, JENNIFER | THE INCOME FUND OF AMERICA-A | 0.00 | 1.59 | 0.00 | 1.59 | 20.0 | 0.32 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7431 - PATANE, JOANNE | THE INCOME FUND OF AMERICA-A | 0.00 | 30.07 | 0.00 | 30.07 | 20.0 | 6.01 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1467 - DRAKE, KATE | THE INCOME FUND OF AMERICA-A | 0.00 | 1.64 | 0.00 | 1.64 | 20.0 | 0.33 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 0.00 | 0.25 | 0.00 | 0.25 | 20.0 | 0.05 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 0.00 | 6.78 | 0.00 | 6.78 | 20.0 | 1.36 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7598 - HUMPF, MINDI | THE INCOME FUND OF AMERICA-A | 0.00 | 3.23 | 0.00 | 3.23 | 20.0 | 0.65 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 0.00 | 3.75 | 0.00 | 3.75 | 20.0 | 0.75 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN | THE INCOME FUND OF AMERICA-A | 0.00 | 23.79 | 0.00 | 23.79 | 20.0 | 4.76 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |



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ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT | THE INCOME FUND OF AMERICA-A | 0.00 | 42.22 | 0.00 | 42.22 | 20.0 | 8.44 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4906 - VAN ALSTINE, | THE INCOME FUND OF AMERICA-A | 0.00 | 3.00 | 0.00 | 3.00 | 20.0 | 0.60 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 5.30 | 0.00 | 5.30 | 20.0 | 1.06 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6790 - DRAKE, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 4.54 | 0.00 | 4.54 | 20.0 | 0.91 |
| | ACCOUNT #: 00086643595 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2775 - CASTILLO, | THE INCOME FUND OF AMERICA-A | 0.00 | 33.72 | 0.00 | 33.72 | 20.0 | 6.74 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4932 - BAKER, STEVEN | THE INCOME FUND OF AMERICA-A | 0.00 | 18.39 | 0.00 | 18.39 | 20.0 | 3.68 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8321 - HIGGINS, CYNTHIA | THE INCOME FUND OF AMERICA-A | 0.00 | 9.12 | 0.00 | 9.12 | 20.0 | 1.82 |
| | ACCOUNT #: 00086788166 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2146 - JONES, KELLIE | THE INCOME FUND OF AMERICA-A | 0.00 | 2.55 | 0.00 | 2.55 | 20.0 | 0.51 |
| | ACCOUNT #: 00087644930 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-241R - DRAKE, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 4.75 | 0.00 | 4.75 | 20.0 | 0.95 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9321 - DRAKE, MARY | THE INCOME FUND OF AMERICA-A | 0.00 | 1.38 | 0.00 | 1.38 | 20.0 | 0.28 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3034 - DRAKE, CATHERINE | THE INCOME FUND OF AMERICA-A | 0.00 | 3.42 | 0.00 | 3.42 | 20.0 | 0.68 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-816R - NELSON, ANTHONY | THE INCOME FUND OF AMERICA-A | 0.00 | 1.09 | 0.00 | 1.09 | 20.0 | 0.22 |
| | ACCOUNT #: 00087727897 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5152 - DUNN, MICHELE | THE INCOME FUND OF AMERICA-A | 0.00 | 16.14 | 0.00 | 16.14 | 20.0 | 3.23 |
| | ACCOUNT #: 00087742896 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA | THE INCOME FUND OF AMERICA-A | 0.00 | 4.42 | 0.00 | 4.42 | 20.0 | 0.88 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9348 - KARHNAK, NINA | THE INCOME FUND OF AMERICA-A | 0.00 | 2.26 | 0.00 | 2.26 | 20.0 | 0.45 |
| | ACCOUNT #: 00087837753 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9002 - ANDERSON, BRYAN | THE INCOME FUND OF AMERICA-A | 0.00 | 13.00 | 0.00 | 13.00 | 20.0 | 2.60 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 09/04/2018 | ***-**-0939 - LAWRENCE, JASON | THE INCOME FUND OF AMERICA-A | 0.00 | 4.25 | 0.00 | 4.25 | 20.0 | 0.85 |
| | ACCOUNT #: 00087909097 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7449 - JONES, D | THE INCOME FUND OF AMERICA-A | 0.00 | 9.83 | 0.00 | 9.83 | 20.0 | 1.97 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-16JT - NELSON, ANTHONY | THE INCOME FUND OF AMERICA-A | 0.00 | 2.45 | 0.00 | 2.45 | 20.0 | 0.49 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0746 - FRANCOEUR, HENRY | THE INCOME FUND OF AMERICA-A | 0.00 | 8.76 | 0.00 | 8.76 | 20.0 | 1.75 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3096 - WESTON, LOIS | THE INCOME FUND OF AMERICA-A | 0.00 | 5.33 | 0.00 | 5.33 | 20.0 | 1.07 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6283 - DOUGLASS, ANITA | THE INCOME FUND OF AMERICA-A | 0.00 | 0.70 | 0.00 | 0.70 | 20.0 | 0.14 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1351 - DIMAURO, ALLAN | THE INCOME FUND OF AMERICA-A | 0.00 | 17.00 | 0.00 | 17.00 | 20.0 | 3.40 |
| | ACCOUNT #: 00088294408 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8800 - DIMAURO, JANE | THE INCOME FUND OF AMERICA-A | 0.00 | 1.23 | 0.00 | 1.23 | 20.0 | 0.25 |
| | ACCOUNT #: 00088294458 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9844 - COTTET, DAVID | THE INCOME FUND OF AMERICA-A | 0.00 | 4.56 | 0.00 | 4.56 | 20.0 | 0.91 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5453 - O'HARA, DARLENE | THE INCOME FUND OF AMERICA-A | 0.00 | 10.54 | 0.00 | 10.54 | 20.0 | 2.11 |
| | ACCOUNT #: 04000891195 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1556 - CARTER, DIANE | THE INCOME FUND OF AMERICA-A | 0.00 | 12.66 | 0.00 | 12.66 | 20.0 | 2.53 |
| | ACCOUNT #: 04000933153 | BUY 0 Units @0.0000 | | | | | | |
| 09/12/2018 | ***-**-2146 - JONES, KELLIE | THE INCOME FUND OF AMERICA-A | 100.00 | 5.00 | 0.00 | 5.00 | 20.0 | 1.00 |
| | ACCOUNT #: 00087644930 | BUY 4 Units @24.4600 | | | | | | |
| 09/04/2018 | ***-**-8906 - ISBELL, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.20 | 0.00 | 1.20 | 20.0 | 0.24 |
| | ACCOUNT #: 00073594886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.57 | 0.00 | 1.57 | 20.0 | 0.31 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7912 - WOODCOCK, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 9.51 | 0.00 | 9.51 | 20.0 | 1.90 |
| | ACCOUNT #: 04000179575 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5423 - MIGNACCA, PHILIP | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.81 | 0.00 | 0.81 | 20.0 | 0.16 |
| | ACCOUNT #: 04000943937 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|---------------------|------|----------------------|
| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-5132 - MIGNACCA, DAWN ACCOUNT #: 04000943969 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.81 | 0.00 | 0.81 | 20.0 | 0.16 |
| 09/04/2018 | ***-**-989I - WOODCOCK, ACCOUNT #: 00081599245 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 24.89 | 0.00 | 24.89 | 20.0 | 4.98 |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 16.75 | 0.00 | 16.75 | 20.0 | 3.35 |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00085918827 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 22.58 | 0.00 | 22.58 | 20.0 | 4.52 |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00089542885 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 9.91 | 0.00 | 9.91 | 20.0 | 1.98 |
| 09/04/2018 | ***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757 | THE NEW ECONOMY FUND-A BUY 0 Units @0.0000 | 0.00 | 1.59 | 0.00 | 1.59 | 20.0 | 0.32 |
| 09/04/2018 | ***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 2.16 | 0.00 | 2.16 | 20.0 | 0.43 |
| 09/04/2018 | ***-**-4652 - GARNSEY, JANELLE ACCOUNT #: 04000491239 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 31.70 | 0.00 | 31.70 | 20.0 | 6.34 |
| 09/04/2018 | ***-**-7538 - MURRAY, SCOTT ACCOUNT #: 00086060967 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 47.31 | 0.00 | 47.31 | 20.0 | 9.46 |
| 09/04/2018 | ***-**-2179 - FOX, RAY ACCOUNT #: 00087972536 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 3.84 | 0.00 | 3.84 | 20.0 | 0.77 |
| 09/04/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 11.19 | 0.00 | 11.19 | 20.0 | 2.24 |
| 09/04/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00089542885 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 9.99 | 0.00 | 9.99 | 20.0 | 2.00 |
| * RONALD DRAKE SUBTOTAL * | | | \$400.00 | \$4,498.63 | \$0.00 | \$4,498.63 | | \$899.77 |
| <u>BROKER: RONALD CIOTOLI</u> | | | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD ACCOUNT #: 00062495916 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 4.85 | 0.00 | 4.85 | 35.0 | 1.70 |
| 09/04/2018 | ***-**-923I - MILLER, DENISE ACCOUNT #: 00068752100 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 33.27 | 0.00 | 33.27 | 35.0 | 11.64 |
| 09/04/2018 | ***-**-108I - KROH, TODD ACCOUNT #: 00085501054 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 15.92 | 0.00 | 15.92 | 35.0 | 5.57 |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: RONALD CIOTOLI (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | AMERICAN BALANCED FUND-A | 0.00 | 5.71 | 0.00 | 5.71 | 35.0 | 2.00 |
| | ACCOUNT #: 00085501328 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-949I - FIAN, JOHN | AMERICAN BALANCED FUND-A | 0.00 | 23.58 | 0.00 | 23.58 | 35.0 | 8.25 |
| | ACCOUNT #: 00089654997 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8095 - FOX, REBECCA | AMERICAN BALANCED FUND-A | 0.00 | 59.97 | 0.00 | 59.97 | 35.0 | 20.99 |
| | ACCOUNT #: 04000236467 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9298 - FLETCHER, JOSEPH | AMERICAN BALANCED FUND-A | 0.00 | 21.57 | 0.00 | 21.57 | 35.0 | 7.55 |
| | ACCOUNT #: 04000410254 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5000 - CRAWFORD, | AMERICAN BALANCED FUND-C | 0.00 | 19.28 | 0.00 | 19.28 | 35.0 | 6.75 |
| | ACCOUNT #: 00088707510 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7556 - WALKER, RANDY | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 14.29 | 0.00 | 14.29 | 35.0 | 5.00 |
| | ACCOUNT #: 00089500716 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1730 - BENZI, ROBERT | AMERICAN GLOBAL BALANCED CLASS A | 0.00 | 8.58 | 0.00 | 8.58 | 35.0 | 3.00 |
| | ACCOUNT #: 00089110730 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5000 - CRAWFORD, | AMERICAN MUTUAL FUND-A | 0.00 | 54.09 | 0.00 | 54.09 | 35.0 | 18.93 |
| | ACCOUNT #: 00067346436 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | CAPITAL INCOME BUILDER-A | 0.00 | 4.73 | 0.00 | 4.73 | 35.0 | 1.66 |
| | ACCOUNT #: 00062495916 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | CAPITAL INCOME BUILDER-A | 0.00 | 15.52 | 0.00 | 15.52 | 35.0 | 5.43 |
| | ACCOUNT #: 00085501054 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | CAPITAL INCOME BUILDER-A | 0.00 | 5.56 | 0.00 | 5.56 | 35.0 | 1.95 |
| | ACCOUNT #: 00085501328 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-949I - FIAN, JOHN | CAPITAL INCOME BUILDER-A | 0.00 | 22.87 | 0.00 | 22.87 | 35.0 | 8.00 |
| | ACCOUNT #: 00089654997 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8095 - FOX, REBECCA | CAPITAL INCOME BUILDER-A | 0.00 | 56.23 | 0.00 | 56.23 | 35.0 | 19.68 |
| | ACCOUNT #: 04000236467 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9298 - FLETCHER, JOSEPH | CAPITAL INCOME BUILDER-A | 0.00 | 20.13 | 0.00 | 20.13 | 35.0 | 7.05 |
| | ACCOUNT #: 04000410254 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7556 - WALKER, RANDY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.31 | 0.00 | 5.31 | 35.0 | 1.86 |
| | ACCOUNT #: 00089500716 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7556 - WALKER, RANDY | EUROPACIFIC GROWTH FUND-A | 0.00 | 4.90 | 0.00 | 4.90 | 35.0 | 1.72 |
| | ACCOUNT #: 00089500716 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| Override Transactions (continued) | | | | | | | | |
| BROKER: RONALD CIOTOLI (continued) | | | | | | | | |
| 09/04/2018 | ***-**-1730 - BENZI, ROBERT | FUNDAMENTAL INVESTORS-A | 0.00 | 10.60 | 0.00 | 10.60 | 35.0 | 3.71 |
| | ACCOUNT #: 00089110730 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7556 - WALKER, RANDY | SMALLCAP WORLD FUND-A | 0.00 | 5.87 | 0.00 | 5.87 | 35.0 | 2.05 |
| | ACCOUNT #: 00089500716 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7839 - CONTI, ELLEN | THE BOND FUND OF AMERICA-A | 0.00 | 1.87 | 0.00 | 1.87 | 35.0 | 0.65 |
| | ACCOUNT #: 00086719090 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1730 - BENZI, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 7.51 | 0.00 | 7.51 | 35.0 | 2.63 |
| | ACCOUNT #: 00089110730 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5000 - CRAWFORD, | THE GROWTH FUND OF AMERICA-A | 0.00 | 13.20 | 0.00 | 13.20 | 35.0 | 4.62 |
| | ACCOUNT #: 00067346436 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1730 - BENZI, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 11.28 | 0.00 | 11.28 | 35.0 | 3.95 |
| | ACCOUNT #: 00089110730 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | THE INCOME FUND OF AMERICA-A | 0.00 | 4.80 | 0.00 | 4.80 | 35.0 | 1.68 |
| | ACCOUNT #: 00062495916 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5000 - CRAWFORD, | THE INCOME FUND OF AMERICA-A | 0.00 | 55.70 | 0.00 | 55.70 | 35.0 | 19.50 |
| | ACCOUNT #: 00067346436 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | THE INCOME FUND OF AMERICA-A | 0.00 | 15.75 | 0.00 | 15.75 | 35.0 | 5.51 |
| | ACCOUNT #: 00085501054 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-108I - KROH, TODD | THE INCOME FUND OF AMERICA-A | 0.00 | 5.65 | 0.00 | 5.65 | 35.0 | 1.98 |
| | ACCOUNT #: 00085501328 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1730 - BENZI, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 9.05 | 0.00 | 9.05 | 35.0 | 3.17 |
| | ACCOUNT #: 00089110730 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-949I - FIAN, JOHN | THE INCOME FUND OF AMERICA-A | 0.00 | 24.08 | 0.00 | 24.08 | 35.0 | 8.43 |
| | ACCOUNT #: 00089654997 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8095 - FOX, REBECCA | THE INCOME FUND OF AMERICA-A | 0.00 | 57.55 | 0.00 | 57.55 | 35.0 | 20.14 |
| | ACCOUNT #: 04000236467 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9298 - FLETCHER, JOSEPH | THE INCOME FUND OF AMERICA-A | 0.00 | 21.59 | 0.00 | 21.59 | 35.0 | 7.56 |
| | ACCOUNT #: 04000410254 | BUY 0 Units @0.0000 | | | | | | |
| 09/14/2018 | ***-**-5000 - CRAWFORD, | THE INCOME FUND OF AMERICA-A | 190.00 | 3.80 | 0.00 | 3.80 | 35.0 | 1.33 |
| | ACCOUNT #: 00067346436 | BUY 8 Units @23.7200 | | | | | | |
| 09/14/2018 | ***-**-5000 - CRAWFORD, | THE INCOME FUND OF AMERICA-A | 57.00 | 1.14 | 0.00 | 1.14 | 35.0 | 0.40 |
| | ACCOUNT #: 00067346436 | BUY 2 Units @23.7200 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: RONALD CIOTOLI (continued)</u> | | | | | | | | |
| 09/04/2018 | ***-**-7839 - CONTI, ELLEN | U.S GOVERNMENT SECURITIES FND-F1 | 0.00 | 12.05 | 0.00 | 12.05 | 35.0 | 4.22 |
| | ACCOUNT #: 00081378698 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7839 - CONTI, ELLEN | U.S. GOVERNMENT SECURITIES FUND-C | 0.00 | 21.73 | 0.00 | 21.73 | 35.0 | 7.61 |
| | ACCOUNT #: 00087841527 | BUY 0 Units @0.0000 | | | | | | |
| * RONALD CIOTOLI SUBTOTAL * | | | <u>\$247.00</u> | <u>\$679.58</u> | <u>\$0.00</u> | <u>\$679.58</u> | | <u>\$237.87</u> |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | <u>\$647.00</u> | <u>\$5,178.21</u> | <u>\$0.00</u> | <u>\$5,178.21</u> | | <u>\$1,137.64</u> |

 BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$470.36 |
| Split Transactions | \$0.00 |
| Override Transactions | \$1,137.64 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$55.22 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Net Commission Earned | \$1,663.22 |
| Year-to-date Earnings | \$20,145.83 |