



# COMMISSION STATEMENT

## November 26, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/21/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 4 Units @13.7700						
11/16/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	200.00	6.00	0.00	6.00	95.0	5.70
	ACCOUNT #: 00701328528	BUY 11 Units @17.9200						
* MUTUAL FUNDS SUBTOTAL *			<b>\$250.00</b>	<b>\$6.50</b>	<b>\$0.00</b>	<b>\$6.50</b>		<b>\$6.18</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/02/2018	***-**-926I - MEDLEY, MARY	CALVERT SMALL CAP VALUE FUND	0.00	10.16	0.00	10.16	95.0	9.65
	ACCOUNT #: 00006835005	BUY 0 Units @0.0000						
11/14/2018	***-**-606I - REUS, MARTHA	US GOVT FUND CLASS A	0.00	38.50	0.00	38.50	95.0	36.58
	ACCOUNT #: 00010009569	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$48.66</b>	<b>\$0.00</b>	<b>\$48.66</b>		<b>\$46.23</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$250.00</b>	<b>\$55.16</b>	<b>\$0.00</b>	<b>\$55.16</b>		<b>\$52.41</b>

### VERRIDE TRANSACTIONS

#### **BROKER: RONALD DRAKE**

11/23/2018	***-**-9825 - OHARA, DAVID	AMCAP FUND-A	200.00	4.00	0.00	4.00	20.0	0.80
	ACCOUNT #: 00081132041	BUY 6 Units @31.9700						
11/19/2018	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00086106101	BUY 2 Units @28.5600						
11/23/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 5 Units @27.5400						
11/23/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 15 Units @27.5400						
11/23/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @27.9800						
11/20/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 7 Units @10.2300						
11/23/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	38.25	1.05	0.00	1.05	20.0	0.21
	ACCOUNT #: 00085839015	BUY 4 Units @10.2400						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/23/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.2400	25.00	0.69	0.00	0.69	20.0	0.14
11/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 10 Units @10.2400	100.00	2.75	0.00	2.75	20.0	0.55
11/23/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.2400	165.00	4.54	0.00	4.54	20.0	0.91
11/23/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.2600	52.50	1.58	0.00	1.58	20.0	0.32
11/14/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	20.0	0.36
11/13/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487	CAPITAL INCOME A BUY 0 Units @0.0000	262.50	10.50	0.00	10.50	20.0	2.10
11/13/2018	***-**-030J - MERRILL, ACCOUNT #: 03009145719	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
11/13/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
11/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL INCOME BUILDER-A BUY 2 Units @60.0400	100.00	2.75	0.00	2.75	20.0	0.55
11/23/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 7 Units @59.9400	400.00	11.00	0.00	11.00	20.0	2.20
11/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD BOND FUND-A BUY 4 Units @19.6600	75.00	2.06	0.00	2.06	20.0	0.41
11/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @47.9500	125.00	3.44	0.00	3.44	20.0	0.69
11/23/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @48.0000	165.00	4.54	0.00	4.54	20.0	0.91
11/23/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @48.0000	25.00	0.69	0.00	0.69	20.0	0.14
11/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @48.0000	100.00	2.75	0.00	2.75	20.0	0.55
11/23/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @48.0000	47.25	1.30	0.00	1.30	20.0	0.26



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/23/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @48.7600						
11/13/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
11/14/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	38.00	1.14	0.00	1.14	20.0	0.23
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
11/23/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @49.4500						
11/15/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	150.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 000000000426484	BUY 14 Units @10.6000						
11/15/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	150.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 000000000033504	BUY 13 Units @11.1600						
11/13/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
11/13/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
11/14/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	38.00	1.14	0.00	1.14	20.0	0.23
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
11/13/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
11/13/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
11/14/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	38.00	1.14	0.00	1.14	20.0	0.23
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
11/14/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	38.00	1.14	0.00	1.14	20.0	0.23
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
11/13/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	9.84	0.00	9.84	20.0	1.97
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
11/14/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
11/13/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						



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<b>OVERWRITE TRANSACTIONS (continued)</b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
11/14/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
11/14/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	48.00	1.44	0.00	1.44	20.0	0.29
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
11/13/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
11/13/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
11/23/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @55.6000						
11/23/2018	***-**-4848 - DOGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	4.68	0.00	4.68	20.0	0.94
	ACCOUNT #: 00081620466	BUY 3 Units @51.4400						
11/23/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	20.0	0.96
	ACCOUNT #: 00085154620	BUY 3 Units @51.4400						
11/23/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @51.4400						
11/23/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	54.00	1.49	0.00	1.49	20.0	0.30
	ACCOUNT #: 00085839015	BUY 1 Units @51.4400						
11/20/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	20.0	0.83
	ACCOUNT #: 00086683340	BUY 6 Units @23.0800						
11/23/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @23.0400						
11/23/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	38.25	1.05	0.00	1.05	20.0	0.21
	ACCOUNT #: 00085839015	BUY 2 Units @23.0400						
<b>* RONALD DRAKE SUBTOTAL *</b>			<b>\$5,300.00</b>	<b>\$156.35</b>	<b>\$0.00</b>	<b>\$156.35</b>		<b>\$31.34</b>
<b><u>BROKER: RONALD CIOTOLI</u></b>								
11/23/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 4 Units @27.8300						
11/13/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
11/15/2018	***-**-1011 -	CAPITAL APPRECIATION A	100.00	4.00	0.00	4.00	35.0	1.40
	ACCOUNT #: 03210878670	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
11/15/2018	***-**-1011 -	OPPENHEIMER CORE BOND FUND A	80.00	3.00	0.00	3.00	35.0	1.05
	ACCOUNT #: 02852130018	BUY 0 Units @0.0000						
11/15/2018	***-**-1011 -	OPPENHEIMER MAIN STREET OPPORTUNITY	100.00	4.00	0.00	4.00	35.0	1.40
	ACCOUNT #: 07315297270	BUY 0 Units @0.0000						
11/15/2018	***-**-1011 -	OPPENHEIMER SMALL & MID CAP VALUE F	60.00	2.40	0.00	2.40	35.0	0.84
	ACCOUNT #: 02514477572	BUY 0 Units @0.0000						
11/05/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	300.00	14.25	0.00	14.25	35.0	4.99
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
11/15/2018	***-**-1011 -	QUEST INTERNATIONAL VALUE FUND, INC	60.00	2.40	0.00	2.40	35.0	0.84
	ACCOUNT #: 02541075305	BUY 0 Units @0.0000						
11/23/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	35.0	0.40
	ACCOUNT #: 00067346436	BUY 3 Units @22.8000						
11/23/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @22.8000						
* RONALD CIOTOLI SUBTOTAL *			<u>\$1,097.00</u>	<u>\$41.12</u>	<u>\$0.00</u>	<u>\$41.12</u>		<u>\$14.39</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$6,397.00</u>	<u>\$197.47</u>	<u>\$0.00</u>	<u>\$197.47</u>		<u>\$45.73</u>



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<b>BROKER COMMISSION TOTALS</b>								
						Broker Transactions		\$52.41
						Split Transactions		\$0.00
						Override Transactions		\$45.73
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
<b>Balance Forward</b>								<b>\$98.14</b>
<b>Year-to-date Earnings</b>								<b>\$24,578.17</b>