

**COMMISSION STATEMENT****November 30, 2018**ABRAHAM HELLER  
30 WOODSHIRE TERRACEBROKER #: R11, P8H  
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/30/2018	75500548	MARK WACHTEL TTEE		LIONS GATE ENTERTAINMENT CORP REGIS S	10,950.00	32.00	7.00	25.00	80.0	18.60
11/08/2018	75500548	MARK WACHTEL TTEE		KRYSTAL BIOTECH INC COM B	10,600.00	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500548	MARK WACHTEL TTEE		TOTAL SYSTEM SERVICES INC S	6,163.79	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500548	MARK WACHTEL TTEE		BROADRIDGE FINANCIAL SOLUTIONS INC S	10,145.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500548	MARK WACHTEL TTEE		DANAHER CORPORATION S	10,074.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500548	MARK WACHTEL TTEE		IDEXX LABORATORIES CORP S	5,739.54	32.00	7.00	25.00	80.0	18.60
10/30/2018	75500551	JOSEPH, ALAN		LIONS GATE ENTERTAINMENT CORP REGIS S	7,300.00	32.00	7.00	25.00	80.0	18.60
11/08/2018	75500551	JOSEPH, ALAN		KRYSTAL BIOTECH INC COM B	10,600.00	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500551	JOSEPH, ALAN		TOTAL SYSTEM SERVICES INC S	6,163.79	0.00	7.00	-7.00	80.0	-7.00
11/19/2018	75500551	JOSEPH, ALAN		BROADRIDGE FINANCIAL SOLUTIONS INC S	10,145.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500551	JOSEPH, ALAN		DANAHER CORPORATION S	10,074.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500551	JOSEPH, ALAN		IDEXX LABORATORIES CORP S	5,739.54	32.00	7.00	25.00	80.0	18.60
11/20/2018	75500551	JOSEPH, ALAN		ADOBE SYSTEMS INC S	8,736.00	32.00	7.00	25.00	80.0	18.60
10/30/2018	75500552	WACHTEL, MARK		LIONS GATE ENTMT CORP CL B NON VTG S	6,800.00	32.00	7.00	25.00	80.0	18.60
11/08/2018	75500552	WACHTEL, MARK		DOWDUPONT INC COMMON STOCK B	12,027.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500552	WACHTEL, MARK		BROADRIDGE FINANCIAL SOLUTIONS INC S	5,072.50	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500552	WACHTEL, MARK		DANAHER CORPORATION S	5,037.00	32.00	7.00	25.00	80.0	18.60
10/30/2018	75500554	JOSEPH, BRUCE		LIONS GATE ENTMT CORP CL B NON VTG S	34,000.00	35.00	7.00	28.00	80.0	21.00
11/05/2018	75500554	JOSEPH, BRUCE		ZEBRA TECHNOLOGIES CORP CL A B	49,959.00	32.00	7.00	25.00	80.0	18.60
11/06/2018	75500554	JOSEPH, BRUCE		BOEING CO B	109,779.00	32.00	7.00	25.00	80.0	18.60
11/06/2018	75500554	JOSEPH, BRUCE		XYLEM INC COM B	48,566.00	32.00	7.00	25.00	80.0	18.60
11/07/2018	75500554	JOSEPH, BRUCE		ROPER TECHNOLOGIES NEW B	59,178.00	32.00	7.00	25.00	80.0	18.60
11/07/2018	75500554	JOSEPH, BRUCE		ROSS STORES INC B	71,568.00	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500554	JOSEPH, BRUCE		BOEING CO S	103,830.00	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500554	JOSEPH, BRUCE		ROSS STORES INC S	69,230.00	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500554	JOSEPH, BRUCE		THERMO FISHER SCIENTIFIC INC B	47,446.00	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500554	JOSEPH, BRUCE		TOTAL SYSTEM SERVICES INC S	26,416.26	32.00	7.00	25.00	80.0	18.60
11/14/2018	75500554	JOSEPH, BRUCE		XYLEM INC COM S	47,460.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500554	JOSEPH, BRUCE		IAC INTERACTIVECORP COM S	50,580.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500554	JOSEPH, BRUCE		IDEXX LABORATORIES CORP S	76,527.20	32.00	7.00	25.00	80.0	18.60
11/20/2018	75500554	JOSEPH, BRUCE		ADOBE SYSTEMS INC S	65,520.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/20/2018	75500554 JOSEPH, BRUCE	LIBERTY MEDIA CORPORATION SERIES C S		19,425.00	32.00	7.00	25.00	80.0	18.60
11/20/2018	75500554 JOSEPH, BRUCE	LIBERTY MEDIA CORPORATION SERIES C B		-19,425.00	-32.00	-7.00	-25.00	80.0	-18.60 C
11/20/2018	75500554 JOSEPH, BRUCE	LIBERTY MEDIA CORPORATION SERIES C S		18,655.00	32.00	7.00	25.00	80.0	18.60
11/08/2018	75500570 MAZUR, ARNOLD	CATERPILLAR INC	B	106,784.00	60.00	7.00	53.00	80.0	41.00
11/08/2018	75500570 MAZUR, ARNOLD	INTERNATIONAL PAPER CO	B	47,320.00	60.00	7.00	53.00	80.0	41.00
11/13/2018	75500570 MAZUR, ARNOLD	JPMORGAN CHASE & CO DEP SHS REPSTG	B	49,800.00	60.00	7.00	53.00	80.0	41.00
11/19/2018	75500570 MAZUR, ARNOLD	AMAZON.COM INC	B	38,450.00	50.00	7.00	43.00	80.0	33.00
11/19/2018	75500570 MAZUR, ARNOLD	SCHLUMBERGER LTD	B	48,150.00	60.00	7.00	53.00	80.0	41.00
11/23/2018	75500570 MAZUR, ARNOLD	EXXON MOBIL CORPORATION	B	75,000.00	60.00	7.00	53.00	80.0	41.00
11/26/2018	75500570 MAZUR, ARNOLD	AMAZON.COM INC	S	39,450.00	60.00	7.00	53.00	80.0	41.00
11/27/2018	75500570 MAZUR, ARNOLD	GOVERNMENT PROPERTIES INCOME TRUST	B	12,478.80	55.00	7.00	48.00	80.0	37.00
11/27/2018	75500570 MAZUR, ARNOLD	SACHEM CAPITAL CORP COMMON SHARES	B	9,875.00	60.00	7.00	53.00	80.0	41.00
10/30/2018	75500573 BRED, JEFFREY	LIONS GATE ENTMT CORP CL B NON VTG	S	6,800.00	32.00	7.00	25.00	80.0	18.60
11/08/2018	75500573 BRED, JEFFREY	DOWDUPONT INC COMMON STOCK	B	12,027.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500573 BRED, JEFFREY	BROADRIDGE FINANCIAL SOLUTIONS INC	S	10,145.00	32.00	7.00	25.00	80.0	18.60
11/19/2018	75500573 BRED, JEFFREY	DANAHER CORPORATION	S	10,074.00	32.00	7.00	25.00	80.0	18.60
10/31/2018	QGW001463 MERKIN VENTURE	NVIDIA CORP	S	-3,368.80	32.00	7.00	25.00	80.0	18.60
10/31/2018	QGW001463 MERKIN VENTURE	TWITTER INC	B	8,821.36	34.00	7.00	27.00	80.0	20.20
11/05/2018	QGW001463 MERKIN VENTURE	TWITTER INC	S	-8,505.00	32.00	7.00	25.00	80.0	18.60
11/08/2018	QGW001463 MERKIN VENTURE	CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,602.84	32.00	7.00	25.00	80.0	18.60
11/15/2018	QGW001463 MERKIN VENTURE	AMAZON.COM INC	S	-33,834.19	32.00	7.00	25.00	80.0	18.60
11/20/2018	QGW001463 MERKIN VENTURE	CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,997.97	34.00	7.00	27.00	80.0	20.20
11/21/2018	QGW001463 MERKIN VENTURE	CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-8,631.88	34.00	7.00	27.00	80.0	20.20
11/21/2018	QGW001463 MERKIN VENTURE	CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,931.25	34.00	7.00	27.00	80.0	20.20
11/26/2018	QGW001463 MERKIN VENTURE	CANOPY GROWTH CORP COM ISIN# CA1380 B		37,903.71	36.00	7.00	29.00	80.0	21.80
11/28/2018	QGW001463 MERKIN VENTURE	CANOPY GROWTH CORP COM ISIN# CA1380 S		-36,813.91	38.00	7.00	31.00	80.0	23.40
11/28/2018	QGW001463 MERKIN VENTURE	CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-3,813.59	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW001463 MERKIN VENTURE	HI-CRUSH PARTNERS LP COM UNIT PARTN S		-3,599.18	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW001471 MERKIN, JONATHAN	ALLERGAN PLC	B	6,158.97	32.00	7.00	25.00	80.0	18.60
10/31/2018	QGW001471 MERKIN, JONATHAN	GOLDMAN SACHS GROUP INC	S	-30,317.63	34.00	7.00	27.00	80.0	20.20
11/01/2018	QGW001471 MERKIN, JONATHAN	CANOPY GROWTH CORP COM ISIN# CA1380 B		6,660.05	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW001471 MERKIN, JONATHAN	SCHLUMBERGER LTD	B	3,607.80	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW001471 MERKIN, JONATHAN	THE FLOWR CORPORATION REGISTERED SH B		1,707.66	45.00	7.00	38.00	80.0	29.00
11/05/2018	QGW001471 MERKIN, JONATHAN	CANOPY GROWTH CORP COM ISIN# CA1380 S		-12,806.94	32.00	7.00	25.00	80.0	18.60
11/06/2018	QGW001471 MERKIN, JONATHAN	CANOPY GROWTH CORP COM ISIN# CA1380 S		-7,773.41	32.00	7.00	25.00	80.0	18.60
11/07/2018	QGW001471 MERKIN, JONATHAN	CANOPY GROWTH CORP COM ISIN# CA1380 B		46,910.77	34.00	7.00	27.00	80.0	20.20
11/07/2018	QGW001471 MERKIN, JONATHAN	CANOPY GROWTH CORP COM ISIN# CA1380 S		-48,715.99	36.00	7.00	29.00	80.0	21.80
11/07/2018	QGW001471 MERKIN, JONATHAN	SQUARE INC CLASS A COM	B	4,715.99	32.00	7.00	25.00	80.0	18.60
11/07/2018	QGW001471 MERKIN, JONATHAN	SQUARE INC CLASS A COM	S	-4,602.00	32.00	7.00	25.00	80.0	18.60
11/12/2018	QGW001471 MERKIN, JONATHAN	CITIGROUP GLOBAL MKTS HLDGS INC LEV B		3,475.25	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/13/2018	QGW001471	MERKIN, JONATHAN							
		GOLDMAN SACHS GROUP INC	B	11,982.48	32.00	7.00	25.00	80.0	18.60
11/14/2018	QGW001471	MERKIN, JONATHAN							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		922.77	32.00	7.00	25.00	80.0	18.60
11/15/2018	QGW001471	MERKIN, JONATHAN							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-1,105.19	34.00	7.00	27.00	80.0	20.20
11/21/2018	QGW001471	MERKIN, JONATHAN							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-2,559.60	32.00	7.00	25.00	80.0	18.60
10/31/2018	QGW019598	MERKIN, SOLOMON							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-14,638.48	36.00	7.00	29.00	80.0	21.80
11/02/2018	QGW019598	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,648.48	33.00	7.00	26.00	80.0	19.40
11/05/2018	QGW019598	MERKIN, SOLOMON							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-11,966.49	32.00	7.00	25.00	80.0	18.60
11/05/2018	QGW019598	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		6,192.54	32.00	7.00	25.00	80.0	18.60
11/08/2018	QGW019598	MERKIN, SOLOMON							
		SQUARE INC CLASS A COM	B	10,493.90	32.00	7.00	25.00	80.0	18.60
11/12/2018	QGW019598	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-5,477.89	33.00	7.00	26.00	80.0	19.40
11/13/2018	QGW019598	MERKIN, SOLOMON							
		AURORA CANNABIS INC COM ISIN#CA0515 B		1,268.15	32.00	7.00	25.00	80.0	18.60
11/14/2018	QGW019598	MERKIN, SOLOMON							
		AURORA CANNABIS INC COM ISIN#CA0515 B		780.00	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW019598	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-2,835.94	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019598	MERKIN, SOLOMON							
		AURORA CANNABIS INC COM ISIN#CA0515 S		-1,893.65	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019598	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,797.77	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019598	MERKIN, SOLOMON							
		SQUARE INC CLASS A COM	S	-9,026.94	32.00	7.00	25.00	80.0	18.60
11/27/2018	QGW019598	MERKIN, SOLOMON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-5,034.51	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW019598	MERKIN, SOLOMON							
		TWITTER INC	B	25,824.08	34.00	7.00	27.00	80.0	20.20
10/30/2018	QGW019606	MERKIN FAMILY TRUST,							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-9,153.00	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		6,042.71	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW019606	MERKIN FAMILY TRUST,							
		CRONOS GROUP INC COM ISIN#CA22717L1 B		8,559.74	36.00	7.00	29.00	80.0	21.80
10/31/2018	QGW019606	MERKIN FAMILY TRUST,							
		CRONOS GROUP INC COM ISIN#CA22717L1 S		-9,027.10	38.00	7.00	31.00	80.0	23.40
11/01/2018	QGW019606	MERKIN FAMILY TRUST,							
		APPLE INC	B	17,620.62	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,424.65	32.00	7.00	25.00	80.0	18.60
11/02/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		3,340.22	32.00	7.00	25.00	80.0	18.60
11/05/2018	QGW019606	MERKIN FAMILY TRUST,							
		APPLE INC	B	3,985.60	32.00	7.00	25.00	80.0	18.60
11/05/2018	QGW019606	MERKIN FAMILY TRUST,							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-11,246.10	32.00	7.00	25.00	80.0	18.60
11/07/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-5,930.21	32.00	7.00	25.00	80.0	18.60
11/08/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,922.82	34.00	7.00	27.00	80.0	20.20
11/12/2018	QGW019606	MERKIN FAMILY TRUST,							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		14,276.81	36.00	7.00	29.00	80.0	21.80
11/13/2018	QGW019606	MERKIN FAMILY TRUST,							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-22,850.27	34.00	7.00	27.00	80.0	20.20
11/14/2018	QGW019606	MERKIN FAMILY TRUST,							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		12,222.48	38.00	7.00	31.00	80.0	23.40
11/14/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-5,102.24	34.00	7.00	27.00	80.0	20.20
11/14/2018	QGW019606	MERKIN FAMILY TRUST,							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		9,577.44	50.00	7.00	43.00	80.0	33.00
11/15/2018	QGW019606	MERKIN FAMILY TRUST,							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-5,385.00	32.00	7.00	25.00	80.0	18.60
11/15/2018	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-3,040.50	32.00	7.00	25.00	80.0	18.60
11/15/2018	QGW019606	MERKIN FAMILY TRUST,							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-9,078.33	42.00	7.00	35.00	80.0	26.60
11/15/2018	QGW019606	MERKIN FAMILY TRUST,							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-1,678.52	34.00	7.00	27.00	80.0	20.20
11/16/2018	QGW019606	MERKIN FAMILY TRUST,							
		APPLE INC	S	-19,407.84	34.00	7.00	27.00	80.0	20.20
11/16/2018	QGW019606	MERKIN FAMILY TRUST,							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		3,939.43	40.00	7.00	33.00	80.0	25.00

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
11/16/2018	QGW019606	MERKIN FAMILY TRUST, SQUARE INC CLASS A COM	B	15,762.00	32.00	7.00	25.00	80.0	18.60
11/20/2018	QGW019606	MERKIN FAMILY TRUST, CANOPY GROWTH CORP COM ISIN# CA1380 B	B	8,083.07	34.00	7.00	27.00	80.0	20.20
11/21/2018	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV S	S	-3,924.72	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019606	MERKIN FAMILY TRUST, CANOPY GROWTH CORP COM ISIN# CA1380 S	S	-15,954.41	36.00	7.00	29.00	80.0	21.80
11/23/2018	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	3,303.60	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S S	S	-3,140.50	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019606	MERKIN FAMILY TRUST, SQUARE INC CLASS A COM	S	-14,025.96	36.00	7.00	29.00	80.0	21.80
11/26/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	14,507.22	36.00	7.00	29.00	80.0	21.80
11/27/2018	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV S	S	-1,798.04	32.00	7.00	25.00	80.0	18.60
11/27/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-7,890.62	36.00	7.00	29.00	80.0	21.80
11/28/2018	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	3,095.94	34.00	7.00	27.00	80.0	20.20
11/19/2018	QGW019614	MENDES, DINAH AMAZON.COM INC	B	15,401.05	32.00	7.00	25.00	80.0	18.60
11/19/2018	QGW019614	MENDES, DINAH WAYFAIR INC CL A	B	17,071.82	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW019614	MENDES, DINAH WAYFAIR INC CL A	S	-21,175.00	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED S	S	-7,467.35	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW019622	MERKIN, SHARON AMAZON.COM INC	S	-64,265.61	36.00	7.00	29.00	80.0	21.80
10/30/2018	QGW019622	MERKIN, SHARON CANOPY GROWTH CORP COM ISIN# CA1380 B	B	11,681.22	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW019622	MERKIN, SHARON TWITTER INC	S	-14,213.30	36.00	7.00	29.00	80.0	21.80
10/31/2018	QGW019622	MERKIN, SHARON AURORA CANNABIS INC COM ISIN#CA0515 S	S	-9,365.37	40.00	7.00	33.00	80.0	25.00
10/31/2018	QGW019622	MERKIN, SHARON CANOPY GROWTH CORP COM ISIN# CA1380 S	S	-17,822.35	36.00	7.00	29.00	80.0	21.80
10/31/2018	QGW019622	MERKIN, SHARON CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	19,563.85	40.00	7.00	33.00	80.0	25.00
10/31/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	2,117.59	59.10	7.00	52.10	80.0	40.28
10/31/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	640.64	125.05	7.00	118.05	80.0	93.04
11/01/2018	QGW019622	MERKIN, SHARON APPLE INC	B	22,025.78	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019622	MERKIN, SHARON AURORA CANNABIS INC COM ISIN#CA0515 B	B	19,155.59	45.00	7.00	38.00	80.0	29.00
11/01/2018	QGW019622	MERKIN, SHARON CANOPY GROWTH CORP COM ISIN# CA1380 B	B	18,870.15	36.00	7.00	29.00	80.0	21.80
11/01/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	1,707.66	45.00	7.00	38.00	80.0	29.00
11/01/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	-1,707.66	-45.00	-7.00	-38.00	80.0	-29.00 C
11/01/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	1,707.66	45.00	7.00	38.00	80.0	29.00
11/01/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	-1,707.66	-45.00	-7.00	-38.00	80.0	-29.00 C
11/01/2018	QGW019622	MERKIN, SHARON TWITTER INC	B	42,190.77	40.00	7.00	33.00	80.0	25.00
11/02/2018	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED B	B	13,300.00	45.00	7.00	38.00	80.0	29.00
11/02/2018	QGW019622	MERKIN, SHARON AMAZON.COM INC	S	-83,301.15	34.00	7.00	27.00	80.0	20.20
11/02/2018	QGW019622	MERKIN, SHARON AMAZON.COM INC	B	166,000.00	45.00	7.00	38.00	80.0	29.00
11/02/2018	QGW019622	MERKIN, SHARON APPLE INC	B	14,509.05	36.00	7.00	29.00	80.0	21.80
11/02/2018	QGW019622	MERKIN, SHARON AURORA CANNABIS INC COM ISIN#CA0515 S	S	-27,505.20	45.00	7.00	38.00	80.0	29.00
11/02/2018	QGW019622	MERKIN, SHARON THE FLOWR CORPORATION REGISTERED SH B	B	1,674.40	59.01	7.00	52.01	80.0	40.21
11/02/2018	QGW019622	MERKIN, SHARON TWITTER INC	S	-2,720.41	32.00	7.00	25.00	80.0	18.60
11/05/2018	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED S	S	-8,818.65	32.00	7.00	25.00	80.0	18.60
11/05/2018	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED S	S	-5,790.80	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/05/2018	QGW019622	MERKIN, SHARON		AMAZON.COM INC	S	-56,426.14	34.00	7.00	27.00 80.0 20.20
11/05/2018	QGW019622	MERKIN, SHARON		AMAZON.COM INC	S	-24,362.30	32.00	7.00	25.00 80.0 18.60
11/05/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	S	-43,547.02	36.00	7.00	29.00 80.0 21.80
11/05/2018	QGW019622	MERKIN, SHARON		CITIGROUP GLOBAL MKTS HLDGS INC LEV	S	-16,944.60	34.00	7.00	27.00 80.0 20.20
11/05/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	13,583.21	34.00	7.00	27.00 80.0 20.20
11/06/2018	QGW019622	MERKIN, SHARON		AURORA CANNABIS INC COM ISIN#CA0515	B	25,972.87	45.00	7.00	38.00 80.0 29.00
11/06/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	B	74,852.03	45.00	7.00	38.00 80.0 29.00
11/06/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	S	-62,187.26	42.00	7.00	35.00 80.0 26.60
11/06/2018	QGW019622	MERKIN, SHARON		CITIGROUP GLOBAL MKTS HLDGS INC LEV	B	13,613.16	36.00	7.00	29.00 80.0 21.80
11/06/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	7,954.51	32.00	7.00	25.00 80.0 18.60
11/07/2018	QGW019622	MERKIN, SHARON		APPLE INC	S	-35,139.41	36.00	7.00	29.00 80.0 21.80
11/07/2018	QGW019622	MERKIN, SHARON		AURORA CANNABIS INC COM ISIN#CA0515	S	-7,969.80	34.00	7.00	27.00 80.0 20.20
11/07/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	S	-38,792.36	34.00	7.00	27.00 80.0 20.20
11/07/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	B	79,053.34	36.00	7.00	29.00 80.0 21.80
11/07/2018	QGW019622	MERKIN, SHARON		CITIGROUP GLOBAL MKTS HLDGS INC LEV	B	12,957.13	36.00	7.00	29.00 80.0 21.80
11/07/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM	B	14,000.00	45.00	7.00	38.00 80.0 29.00
11/07/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM	S	-11,879.06	34.00	7.00	27.00 80.0 20.20
11/07/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH	B	2,630.70	62.89	7.00	55.89 80.0 43.31
11/07/2018	QGW019622	MERKIN, SHARON		THE FLOWR CORPORATION REGISTERED SH	B	2,070.15	59.01	7.00	52.01 80.0 40.21
11/07/2018	QGW019622	MERKIN, SHARON		TWITTER INC	S	-23,406.45	34.00	7.00	27.00 80.0 20.20
11/08/2018	QGW019622	MERKIN, SHARON		AURORA CANNABIS INC COM ISIN#CA0515	S	-21,410.76	38.00	7.00	31.00 80.0 23.40
11/08/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM	S	-3,987.50	32.00	7.00	25.00 80.0 18.60
11/09/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	B	9,378.64	36.00	7.00	29.00 80.0 21.80
11/09/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM	B	1,605.11	32.00	7.00	25.00 80.0 18.60
11/09/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM	B	7,000.00	45.00	7.00	38.00 80.0 29.00
11/12/2018	QGW019622	MERKIN, SHARON		AURORA CANNABIS INC COM ISIN#CA0515	B	2,212.14	32.00	7.00	25.00 80.0 18.60
11/12/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	S	-11,739.00	34.00	7.00	27.00 80.0 20.20
11/12/2018	QGW019622	MERKIN, SHARON		CITIGROUP GLOBAL MKTS HLDGS INC LEV	B	5,792.08	34.00	7.00	27.00 80.0 20.20
11/12/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B	14,064.99	34.00	7.00	27.00 80.0 20.20
11/13/2018	QGW019622	MERKIN, SHARON		AURORA CANNABIS INC COM ISIN#CA0515	S	-14,740.22	38.00	7.00	31.00 80.0 23.40
11/13/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	S	-23,088.24	34.00	7.00	27.00 80.0 20.20
11/13/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380	S	-38,861.00	36.00	7.00	29.00 80.0 21.80
11/13/2018	QGW019622	MERKIN, SHARON		CITIGROUP GLOBAL MKTS HLDGS INC LEV	B	15,476.79	38.00	7.00	31.00 80.0 23.40
11/13/2018	QGW019622	MERKIN, SHARON		SQUARE INC CLASS A COM	S	-8,796.98	34.00	7.00	27.00 80.0 20.20
11/13/2018	QGW019622	MERKIN, SHARON		TWITTER INC	S	-35,666.62	38.00	7.00	31.00 80.0 23.40
11/13/2018	QGW019622	MERKIN, SHARON		TWITTER INC	S	-14,422.45	34.00	7.00	27.00 80.0 20.20
11/14/2018	QGW019622	MERKIN, SHARON		CITIGROUP GLOBAL MKTS HLDGS INC LEV	S	-9,223.28	38.00	7.00	31.00 80.0 23.40
11/14/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE NASSAU BRH VELOCITY	S B	7,094.40	48.00	7.00	41.00 80.0 31.40
11/15/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE NASSAU BRH VELOCITY	S S	-6,839.98	50.00	7.00	43.00 80.0 33.00
11/15/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S	-47,362.01	38.00	7.00	31.00 80.0 23.40

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/15/2018	QGW019622	MERKIN, SHARON							
		NVIDIA CORP	B	46,170.81	38.00	7.00	31.00	80.0	23.40
11/15/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	29,751.12	36.00	7.00	29.00	80.0	21.80
11/16/2018	QGW019622	MERKIN, SHARON							
		AURORA CANNABIS INC COM ISIN#CA0515 B		3,681.26	32.00	7.00	25.00	80.0	18.60
11/16/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-2,865.37	32.00	7.00	25.00	80.0	18.60
11/16/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	11,622.71	34.00	7.00	27.00	80.0	20.20
11/19/2018	QGW019622	MERKIN, SHARON							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		10,048.47	36.00	7.00	29.00	80.0	21.80
11/19/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-14,709.94	38.00	7.00	31.00	80.0	23.40
11/19/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		9,373.41	45.00	7.00	38.00	80.0	29.00
11/19/2018	QGW019622	MERKIN, SHARON							
		SQUARE INC CLASS A COM	B	19,718.20	36.00	7.00	29.00	80.0	21.80
11/19/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	19,707.58	36.00	7.00	29.00	80.0	21.80
11/20/2018	QGW019622	MERKIN, SHARON							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-5,119.65	32.00	7.00	25.00	80.0	18.60
11/20/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		7,413.66	36.00	7.00	29.00	80.0	21.80
11/20/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-6,084.35	42.00	7.00	35.00	80.0	26.60
11/20/2018	QGW019622	MERKIN, SHARON							
		SQUARE INC CLASS A COM	S	-13,069.12	32.00	7.00	25.00	80.0	18.60
11/20/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	4,292.16	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-11,944.80	36.00	7.00	29.00	80.0	21.80
11/21/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,931.25	34.00	7.00	27.00	80.0	20.20
11/21/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-2,871.39	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		-4,931.25	-34.00	-7.00	-27.00	80.0	-20.20 C
11/21/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-2,666.39	38.00	7.00	31.00	80.0	23.40
11/21/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	19,040.14	34.00	7.00	27.00	80.0	20.20
11/23/2018	QGW019622	MERKIN, SHARON							
		AURORA CANNABIS INC COM ISIN#CA0515 S		-32,211.32	55.00	7.00	48.00	80.0	37.00
11/23/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-2,697.98	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019622	MERKIN, SHARON							
		SQUARE INC CLASS A COM	S	-6,091.20	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	5,952.08	32.00	7.00	25.00	80.0	18.60
11/26/2018	QGW019622	MERKIN, SHARON							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		40,358.88	36.00	7.00	29.00	80.0	21.80
11/26/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-4,174.25	32.00	7.00	25.00	80.0	18.60
11/26/2018	QGW019622	MERKIN, SHARON							
		OVERSTOCK COM INC DEL COM	B	23,475.56	40.00	7.00	33.00	80.0	25.00
11/26/2018	QGW019622	MERKIN, SHARON							
		OVERSTOCK COM INC DEL COM	S	-9,134.40	34.00	7.00	27.00	80.0	20.20
11/27/2018	QGW019622	MERKIN, SHARON							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		7,516.20	38.00	7.00	31.00	80.0	23.40
11/27/2018	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	B	11,320.99	34.00	7.00	27.00	80.0	20.20
11/27/2018	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	S	-11,972.60	36.00	7.00	29.00	80.0	21.80
11/27/2018	QGW019622	MERKIN, SHARON							
		OVERSTOCK COM INC DEL COM	S	-14,252.96	38.00	7.00	31.00	80.0	23.40
11/28/2018	QGW019622	MERKIN, SHARON							
		THE FLOWR CORPORATION REGISTERED SH B		1,197.86	45.00	7.00	38.00	80.0	29.00
11/26/2018	QGW019630	MERKIN, JAMES							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-5,610.00	32.00	7.00	25.00	80.0	18.60
11/26/2018	QGW019648	MERKIN, SOLOMON							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-6,800.00	32.00	7.00	25.00	80.0	18.60
10/30/2018	QGW019655	MERKIN, DAPHNE							
		ALLERGAN PLC	B	5,024.42	32.00	7.00	25.00	80.0	18.60
10/31/2018	QGW019655	MERKIN, DAPHNE							
		ALLERGAN PLC	S	-9,536.32	34.00	7.00	27.00	80.0	20.20
10/31/2018	QGW019655	MERKIN, DAPHNE							
		DEVON ENERGY CORPORATION NEW	S	-14,326.99	34.00	7.00	27.00	80.0	20.20
11/02/2018	QGW019655	MERKIN, DAPHNE							
		APPLE INC	B	7,254.52	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/07/2018	QGW019655	MERKIN, DAPHNE		AMAZON.COM INC	S	-14,046.28	34.00	7.00	27.00 80.0 20.20
11/08/2018	QGW019655	MERKIN, DAPHNE		TWITTER INC	B	6,063.14	32.00	7.00	25.00 80.0 18.60
11/19/2018	QGW019655	MERKIN, DAPHNE		AMAZON.COM INC	B	4,596.00	32.00	7.00	25.00 80.0 18.60
11/26/2018	QGW019655	MERKIN, DAPHNE		APPLE INC	B	3,828.22	32.00	7.00	25.00 80.0 18.60
10/30/2018	QGW019663	MERKIN, DAVID		ALLERGAN PLC	B	5,024.42	32.00	7.00	25.00 80.0 18.60
10/31/2018	QGW019663	MERKIN, DAVID		ALLERGAN PLC	S	-20,820.96	36.00	7.00	29.00 80.0 21.80
10/31/2018	QGW019663	MERKIN, DAVID		DEVON ENERGY CORPORATION NEW	S	-6,587.12	32.00	7.00	25.00 80.0 18.60
11/02/2018	QGW019663	MERKIN, DAVID		APPLE INC	B	7,254.52	32.00	7.00	25.00 80.0 18.60
11/05/2018	QGW019663	MERKIN, DAVID		AMAZON.COM INC	B	8,068.00	32.00	7.00	25.00 80.0 18.60
11/05/2018	QGW019663	MERKIN, DAVID		APPLE INC	B	2,989.20	32.00	7.00	25.00 80.0 18.60
11/07/2018	QGW019663	MERKIN, DAVID		AMAZON.COM INC	S	-18,958.00	34.00	7.00	27.00 80.0 20.20
11/08/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	B	1,707.66	32.00	7.00	25.00 80.0 18.60
11/09/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	B	1,065.68	32.00	7.00	25.00 80.0 18.60
11/13/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	B	1,825.09	32.00	7.00	25.00 80.0 18.60
11/15/2018	QGW019663	MERKIN, DAVID		APPLE INC	B	3,748.80	32.00	7.00	25.00 80.0 18.60
11/19/2018	QGW019663	MERKIN, DAVID		AMAZON.COM INC	B	7,660.00	32.00	7.00	25.00 80.0 18.60
11/23/2018	QGW019663	MERKIN, DAVID		APPLE INC	B	5,754.39	32.00	7.00	25.00 80.0 18.60
11/26/2018	QGW019663	MERKIN, DAVID		APPLE INC	B	9,570.55	32.00	7.00	25.00 80.0 18.60
11/26/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	B	7,092.73	32.00	7.00	25.00 80.0 18.60
11/27/2018	QGW019663	MERKIN, DAVID		AMAZON.COM INC	S	-7,920.00	32.00	7.00	25.00 80.0 18.60
11/27/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	S	-3,673.48	32.00	7.00	25.00 80.0 18.60
11/13/2018	QGW019671	GERBER, DEBRA		U S SILICA HOLDINGS INC	B	1,351.92	32.00	7.00	25.00 80.0 18.60
11/20/2018	QGW019671	GERBER, DEBRA		U S SILICA HOLDINGS INC	B	5,376.01	32.00	7.00	25.00 80.0 18.60
10/30/2018	QGW019697	KIFFEL, ELI		ALLERGAN PLC	B	9,886.77	32.00	7.00	25.00 80.0 18.60
11/14/2018	QGW019697	KIFFEL, ELI		CREDIT SUISSE NASSAU BRH VELOCITY S B		922.77	32.00	7.00	25.00 80.0 18.60
11/15/2018	QGW019697	KIFFEL, ELI		CREDIT SUISSE NASSAU BRH VELOCITY S S		-1,105.19	34.00	7.00	27.00 80.0 20.20
10/31/2018	QGW019713	MERKIN TRUST, SAMUEL		TWITTER INC	B	8,751.35	32.00	7.00	25.00 80.0 18.60
10/31/2018	QGW019713	MERKIN TRUST, SAMUEL		UNIVERSAL DISPLAY CORPORATION	S	-2,884.94	32.00	7.00	25.00 80.0 18.60
11/01/2018	QGW019713	MERKIN TRUST, SAMUEL		INTERCEPT PHARMACEUTICALS INC COM	S	-3,131.38	32.00	7.00	25.00 80.0 18.60
11/01/2018	QGW019713	MERKIN TRUST, SAMUEL		TWITTER INC	B	7,919.42	32.00	7.00	25.00 80.0 18.60
11/01/2018	QGW019713	MERKIN TRUST, SAMUEL		UNIVERSAL DISPLAY CORPORATION	S	-3,084.00	32.00	7.00	25.00 80.0 18.60
11/02/2018	QGW019713	MERKIN TRUST, SAMUEL		UNIVERSAL DISPLAY CORPORATION	B	1,023.55	32.00	7.00	25.00 80.0 18.60
11/13/2018	QGW019713	MERKIN TRUST, SAMUEL		TWITTER INC	S	-5,067.35	32.00	7.00	25.00 80.0 18.60
11/14/2018	QGW019713	MERKIN TRUST, SAMUEL		ALLERGAN PLC	S	-3,807.90	32.00	7.00	25.00 80.0 18.60
11/14/2018	QGW019713	MERKIN TRUST, SAMUEL		CREDIT SUISSE NASSAU BRH VELOCITY S B		1,131.20	36.00	7.00	29.00 80.0 21.80
11/14/2018	QGW019713	MERKIN TRUST, SAMUEL		TWITTER INC	S	-4,145.10	32.00	7.00	25.00 80.0 18.60
11/15/2018	QGW019713	MERKIN TRUST, SAMUEL		CREDIT SUISSE NASSAU BRH VELOCITY S S		-1,381.49	34.00	7.00	27.00 80.0 20.20
11/23/2018	QGW019713	MERKIN TRUST, SAMUEL		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		4,112.37	32.00	7.00	25.00 80.0 18.60
11/23/2018	QGW019713	MERKIN TRUST, SAMUEL		UNIVERSAL DISPLAY CORPORATION	S	-4,777.95	32.00	7.00	25.00 80.0 18.60
11/01/2018	QGW019739	MERKIN TRUST, ESTHER		INTERCEPT PHARMACEUTICALS INC COM	S	-3,653.28	32.00	7.00	25.00 80.0 18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/01/2018	QGW019739	MERKIN TRUST, ESTHER SCHLUMBERGER LTD	B	3,607.80	32.00	7.00	25.00	80.0	18.60
11/07/2018	QGW019739	MERKIN TRUST, ESTHER GOLDMAN SACHS GROUP INC	S	-16,121.00	34.00	7.00	27.00	80.0	20.20
11/13/2018	QGW019739	MERKIN TRUST, ESTHER GOLDMAN SACHS GROUP INC	B	16,450.52	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019754	MERKIN TRUST, PHILIP CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	6,280.14	32.00	7.00	25.00	80.0	18.60
11/14/2018	QGW019754	MERKIN TRUST, PHILIP CREDIT SUISSE NASSAU BRH VELOCITY S B	B	711.04	36.00	7.00	29.00	80.0	21.80
11/15/2018	QGW019754	MERKIN TRUST, PHILIP CREDIT SUISSE NASSAU BRH VELOCITY S S	S	-868.36	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW019754	MERKIN TRUST, PHILIP CITIGROUP GLOBAL MKTS HLDGS INC LEV S	S	-3,899.41	32.00	7.00	25.00	80.0	18.60
11/23/2018	QGW019754	MERKIN TRUST, PHILIP CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	4,112.37	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019762	BROD TRUST, ZOE DEVON ENERGY CORPORATION NEW	S	-6,794.75	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019762	BROD TRUST, ZOE SCHLUMBERGER LTD	B	3,607.80	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019788	MERKIN TRUST, SOPHIA NATIONAL-OILWELL VARCO INC	S	-7,997.00	32.00	7.00	25.00	80.0	18.60
11/02/2018	QGW019788	MERKIN TRUST, SOPHIA SCHLUMBERGER LTD	B	5,156.32	34.00	7.00	27.00	80.0	20.20
11/15/2018	QGW019788	MERKIN TRUST, SOPHIA SCHLUMBERGER LTD	B	4,162.31	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019796	MERKIN TRUST, JENNY BLACKROCK INC	B	10,333.50	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019796	MERKIN TRUST, JENNY NATIONAL-OILWELL VARCO INC	S	-10,178.00	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019796	MERKIN TRUST, JENNY NVIDIA CORP	S	-7,164.96	32.00	7.00	25.00	80.0	18.60
11/02/2018	QGW019796	MERKIN TRUST, JENNY SCHLUMBERGER LTD	B	5,156.32	34.00	7.00	27.00	80.0	20.20
11/05/2018	QGW019796	MERKIN TRUST, JENNY GOLDMAN SACHS GROUP INC	S	-15,995.00	36.00	7.00	29.00	80.0	21.80
11/07/2018	QGW019796	MERKIN TRUST, JENNY BLACKROCK INC	B	10,315.96	34.00	7.00	27.00	80.0	20.20
11/07/2018	QGW019796	MERKIN TRUST, JENNY GOLDMAN SACHS GROUP INC	S	-18,508.00	34.00	7.00	27.00	80.0	20.20
11/15/2018	QGW019796	MERKIN TRUST, JENNY BLACKROCK INC	B	4,368.32	32.00	7.00	25.00	80.0	18.60
11/15/2018	QGW019796	MERKIN TRUST, JENNY SCHLUMBERGER LTD	B	4,927.79	32.00	7.00	25.00	80.0	18.60
11/16/2018	QGW019796	MERKIN TRUST, JENNY SCHLUMBERGER LTD	B	6,249.10	32.00	7.00	25.00	80.0	18.60
11/26/2018	QGW019796	MERKIN TRUST, JENNY U S SILICA HOLDINGS INC	B	9,792.72	34.00	7.00	27.00	80.0	20.20
11/01/2018	QGW019804	MERKIN TRUST, Yael DEVON ENERGY CORPORATION NEW	S	-6,564.98	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019804	MERKIN TRUST, Yael SCHLUMBERGER LTD	B	3,607.80	32.00	7.00	25.00	80.0	18.60
11/02/2018	QGW019804	MERKIN TRUST, Yael SCHLUMBERGER LTD	B	5,156.32	34.00	7.00	27.00	80.0	20.20
11/01/2018	QGW028763	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	7,850.18	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW028763	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC LEV S	S	-12,495.84	36.00	7.00	29.00	80.0	21.80
11/28/2018	QGW028763	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	6,272.64	38.00	7.00	31.00	80.0	23.40
10/31/2018	QGW028771	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC LEV B	B	672.54	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW028771	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC LEV S	S	-2,410.55	32.00	7.00	25.00	80.0	18.60
<b>* STOCKS SUBTOTAL *</b>				<b>\$1,398,078.50</b>	<b>\$10,461.06</b>	<b>\$2,065.00</b>	<b>\$8,396.06</b>		<b>\$6,303.85</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
11/27/2018	75500570	MAZUR, ARNOLD GWG HLDGS INC -L BD 5.5%20	B	100,000.00	3,250.00	7.00	3,243.00	80.0	2,593.00
<b>* BONDS SUBTOTAL *</b>				<b>\$100,000.00</b>	<b>\$3,250.00</b>	<b>\$7.00</b>	<b>\$3,243.00</b>		<b>\$2,593.00</b>



COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/26/2018	75500570 MAZUR, ARNOLD	CALL XOM 12/21/18 78.50	S	600.00	50.00	7.00	43.00	80.0	33.00
11/14/2018	QGW001463 MERKIN VENTURE	CALL 100 AMAZON COM INC EXP 11-16-1 B		4,946.67	32.00	7.00	25.00	80.0	18.60
11/15/2018	QGW001463 MERKIN VENTURE	CALL 100 AMAZON COM INC EXP 11-16-1 S		-2,850.00	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW001463 MERKIN VENTURE	PUT 100 AMAZON COM INC EXP 11-30-18 B		2,850.00	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW001471 MERKIN, JONATHAN	PUT 100 AMAZON COM INC EXP 11-30-18 B		6,738.00	32.00	7.00	25.00	80.0	18.60
11/29/2018	QGW001471 MERKIN, JONATHAN	CALL 100 TWITTER INC COM EXP 12-07- B		1,568.00	35.00	7.00	28.00	80.0	21.00
11/29/2018	QGW001471 MERKIN, JONATHAN	CALL 100 TWITTER INC COM EXP 12-07- B		-1,568.00	-35.00	-7.00	-28.00	80.0	-21.00 C
11/29/2018	QGW001471 MERKIN, JONATHAN	PUT 100 AMAZON COM INC EXP 11-30-18 S		-2,612.50	32.00	7.00	25.00	80.0	18.60
11/01/2018	QGW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-2,816.67	32.00	7.00	25.00	80.0	18.60
11/27/2018	QGW019598 MERKIN, SOLOMON	CALL 100 AMAZON COM INC EXP 11-30-1 B		4,980.00	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW019598 MERKIN, SOLOMON	CALL 100 AMAZON COM INC EXP 11-30-1 S		-7,770.00	32.00	7.00	25.00	80.0	18.60
10/31/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-7,292.13	36.00	7.00	29.00	80.0	21.80
11/01/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-5,633.34	33.00	7.00	26.00	80.0	19.40
11/14/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 AMAZON COM INC EXP 11-16-1 B		4,946.67	32.00	7.00	25.00	80.0	18.60
11/21/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SQUARE INC CL A EXP 01-18- B		5,210.00	34.00	7.00	27.00	80.0	20.20
11/23/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SQUARE INC CL A EXP 01-18- B		3,305.01	36.00	7.00	29.00	80.0	21.80
11/26/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 NVIDIA CORP COM EXP 11-30- S		-6,360.00	36.00	7.00	29.00	80.0	21.80
11/26/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 NVIDIA CORP COM EXP 11-30- B		5,477.01	38.00	7.00	31.00	80.0	23.40
11/26/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 NVIDIA CORP COM EXP 11-30- S		6,360.00	-36.00	-7.00	-29.00	80.0	-21.80 C
11/26/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 NVIDIA CORP COM EXP 11-30- B		-5,477.01	-38.00	-7.00	-31.00	80.0	-23.40 C
11/27/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 AMAZON COM INC EXP 11-30-1 B		4,980.00	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO B		5,330.00	36.00	7.00	29.00	80.0	21.80
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 AMAZON COM INC EXP 11-30-1 S		-6,700.00	32.00	7.00	25.00	80.0	18.60
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SQUARE INC CL A EXP 01-18- S		-9,105.00	38.00	7.00	31.00	80.0	23.40
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SQUARE INC CL A EXP 12-21- S		-4,524.99	34.00	7.00	27.00	80.0	20.20
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SQUARE INC CL A EXP 12-21- B		3,513.00	34.00	7.00	27.00	80.0	20.20
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SALESFORCE.COM INC COM STO S		-3,275.01	34.00	7.00	27.00	80.0	20.20
11/28/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SALESFORCE.COM INC COM STO B		2,319.99	34.00	7.00	27.00	80.0	20.20
11/29/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO B		3,195.00	36.00	7.00	29.00	80.0	21.80
11/29/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 GOLDMAN SACHS GROUP INC CO B		9,022.02	36.00	7.00	29.00	80.0	21.80
11/29/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SQUARE INC CL A EXP 01-18- S		-3,264.00	32.00	7.00	25.00	80.0	18.60
11/29/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 TWITTER INC COM EXP 12-21- B		5,946.08	42.00	7.00	35.00	80.0	26.60
11/29/2018	QGW019606 MERKIN FAMILY TRUST,	CALL 100 TWITTER INC COM EXP 12-07- B		1,568.00	35.00	7.00	28.00	80.0	21.00
10/31/2018	QGW019622 MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-9,722.84	36.00	7.00	29.00	80.0	21.80
10/31/2018	QGW019622 MERKIN, SHARON	CALL 100 AMAZON COM INC EXP 11-02-1 S		-18,500.00	36.00	7.00	29.00	80.0	21.80
10/31/2018	QGW019622 MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 11-02-18 S		-5,326.68	36.00	7.00	29.00	80.0	21.80
10/31/2018	QGW019622 MERKIN, SHARON	CALL 100 SCHLUMBERGER LTD COM ISIN# B		8,967.00	38.00	7.00	31.00	80.0	23.40
11/01/2018	QGW019622 MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 11-02-18 S		-2,235.00	33.00	7.00	26.00	80.0	19.40
11/01/2018	QGW019622 MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO B		5,265.00	34.00	7.00	27.00	80.0	20.20
11/01/2018	QGW019622 MERKIN, SHARON	CALL 100 SCHLUMBERGER LTD COM ISIN# B		2,794.00	34.00	7.00	27.00	80.0	20.20

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/01/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 12-21-18 @350 B	2,990.00	32.00	7.00	25.00	80.0 18.60
11/02/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-02-1 S	-620.00	32.00	7.00	25.00	80.0 18.60
11/02/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-02-1 B	2,485.00	34.00	7.00	27.00	80.0 20.20
11/02/2018	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO S	-3,965.00	34.00	7.00	27.00	80.0 20.20
11/02/2018	QGW019622	MERKIN, SHARON		CALL 100 SCHLUMBERGER LTD COM ISIN# B	7,642.03	40.00	7.00	33.00	80.0 25.00
11/05/2018	QGW019622	MERKIN, SHARON		CALL 100 SCHLUMBERGER LTD COM ISIN# S	-6,540.03	34.00	7.00	27.00	80.0 20.20
11/05/2018	QGW019622	MERKIN, SHARON		CALL 100 SCHLUMBERGER LTD COM ISIN# S	-280.00	32.00	7.00	25.00	80.0 18.60
11/07/2018	QGW019622	MERKIN, SHARON		CALL 100 SQUARE INC CL A EXP 11-09- B	3,845.01	34.00	7.00	27.00	80.0 20.20
11/08/2018	QGW019622	MERKIN, SHARON		CALL 100 SCHLUMBERGER LTD COM ISIN# S	-6,480.99	36.00	7.00	29.00	80.0 21.80
11/12/2018	QGW019622	MERKIN, SHARON		PUT 100 TESLA INC EXP 12-21-18 @350 S	-9,309.99	36.00	7.00	29.00	80.0 21.80
11/13/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO B	1,560.00	32.00	7.00	25.00	80.0 18.60
11/14/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-16-1 B	4,946.67	32.00	7.00	25.00	80.0 18.60
11/14/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO B	3,664.98	38.00	7.00	31.00	80.0 23.40
11/15/2018	QGW019622	MERKIN, SHARON		CALL 100 SQUARE INC CL A EXP 11-16- B	390.00	34.00	7.00	27.00	80.0 20.20
11/16/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-16-1 B	2,760.00	34.00	7.00	27.00	80.0 20.20
11/16/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-16-1 S	-440.00	32.00	7.00	25.00	80.0 18.60
11/20/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-23-1 S	-6,455.00	32.00	7.00	25.00	80.0 18.60
11/20/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-23-1 B	9,100.00	36.00	7.00	29.00	80.0 21.80
11/20/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO B	1,000.00	32.00	7.00	25.00	80.0 18.60
11/21/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-23-1 B	11,819.00	38.00	7.00	31.00	80.0 23.40
11/21/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO B	4,110.00	34.00	7.00	27.00	80.0 20.20
11/23/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-23-1 S	-5,555.00	32.00	7.00	25.00	80.0 18.60
11/23/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-23-1 S	-5,325.00	36.00	7.00	29.00	80.0 21.80
11/23/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO B	5,765.00	38.00	7.00	31.00	80.0 23.40
11/26/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-30-1 S	-6,160.00	32.00	7.00	25.00	80.0 18.60
11/26/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-30-1 B	5,055.00	32.00	7.00	25.00	80.0 18.60
11/26/2018	QGW019622	MERKIN, SHARON		CALL 100 CANOPY GROWTH CORP COM ISI B	4,200.00	38.00	7.00	31.00	80.0 23.40
11/26/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-8,890.02	38.00	7.00	31.00	80.0 23.40
11/26/2018	QGW019622	MERKIN, SHARON		CALL 100 NVIDIA CORP COM EXP 11-30- S	-6,360.00	36.00	7.00	29.00	80.0 21.80
11/26/2018	QGW019622	MERKIN, SHARON		CALL 100 NVIDIA CORP COM EXP 11-30- B	5,477.01	38.00	7.00	31.00	80.0 23.40
11/27/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-30-1 S	-6,440.00	32.00	7.00	25.00	80.0 18.60
11/27/2018	QGW019622	MERKIN, SHARON		CALL 100 AMAZON COM INC EXP 11-30-1 B	5,025.00	32.00	7.00	25.00	80.0 18.60
11/27/2018	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO B	4,055.00	36.00	7.00	29.00	80.0 21.80
11/27/2018	QGW019622	MERKIN, SHARON		CALL 100 NVIDIA CORP COM EXP 11-30- S	-1,255.00	32.00	7.00	25.00	80.0 18.60
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 CANOPY GROWTH CORP COM ISI S	-5,330.00	42.00	7.00	35.00	80.0 26.60
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-3,265.00	34.00	7.00	27.00	80.0 20.20
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SQUARE INC CL A EXP 12-21- S	-4,524.99	34.00	7.00	27.00	80.0 20.20
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SQUARE INC CL A EXP 12-21- B	3,513.00	34.00	7.00	27.00	80.0 20.20
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SCHLUMBERGER LTD COM ISIN# B	1,284.00	34.00	7.00	27.00	80.0 20.20
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SALESFORCE.COM INC COM STO S	-3,275.01	34.00	7.00	27.00	80.0 20.20

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: OPTIONS</b>									
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SALESFORCE.COM INC COM STO B	2,319.99	34.00	7.00	27.00	80.0 20.20
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SCHLUMBERGER LTD COM ISIN# B	2,844.00	36.00	7.00	29.00	80.0 21.80
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SQUARE INC CL A EXP 12-21- S	4,524.99	-34.00	-7.00	-27.00	80.0 -20.20 C
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SQUARE INC CL A EXP 12-21- B	-3,513.00	-34.00	-7.00	-27.00	80.0 -20.20 C
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SALESFORCE.COM INC COM STO S	3,275.01	-34.00	-7.00	-27.00	80.0 -20.20 C
11/28/2018	QGW019622	MERKIN, SHARON		CALL 100 SALESFORCE.COM INC COM STO B	-2,319.99	-34.00	-7.00	-27.00	80.0 -20.20 C
11/28/2018	QGW019622	MERKIN, SHARON		PUT 100 AMAZON COM INC EXP 11-30-18 B	6,738.00	32.00	7.00	25.00	80.0 18.60
11/29/2018	QGW019622	MERKIN, SHARON		PUT 100 AMAZON COM INC EXP 11-30-18 S	-2,612.50	32.00	7.00	25.00	80.0 18.60
10/31/2018	QGW028763	MERKIN, SOLOMON		CALL 100 ALIBABA GROUP HLDG LTD SPO S	-5,010.00	32.00	7.00	25.00	80.0 18.60
10/31/2018	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 11-02-18 S	-2,663.34	32.00	7.00	25.00	80.0 18.60
11/26/2018	QGW028763	MERKIN, SOLOMON		CALL 100 AMAZON COM INC EXP 11-30-1 B	5,990.00	32.00	7.00	25.00	80.0 18.60
11/26/2018	QGW028763	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO B	2,550.00	36.00	7.00	29.00	80.0 21.80
11/26/2018	QGW028763	MERKIN, SOLOMON		CALL 100 U S SILICA HLDGS INC COM E B	2,210.00	34.00	7.00	27.00	80.0 20.20
11/27/2018	QGW028763	MERKIN, SOLOMON		CALL 100 AMAZON COM INC EXP 11-30-1 S	-6,820.00	32.00	7.00	25.00	80.0 18.60
11/28/2018	QGW028763	MERKIN, SOLOMON		CALL 100 GOLDMAN SACHS GROUP INC CO S	-3,150.00	36.00	7.00	29.00	80.0 21.80
11/28/2018	QGW028763	MERKIN, SOLOMON		CALL 100 U S SILICA HLDGS INC COM E B	1,230.00	32.00	7.00	25.00	80.0 18.60
11/29/2018	QGW028763	MERKIN, SOLOMON		CALL 100 TWITTER INC COM EXP 11-30- B	3,087.00	42.00	7.00	35.00	80.0 26.60
11/26/2018	QGW028771	MERKIN, SOLOMON		CALL 100 U S SILICA HLDGS INC COM E B	2,210.00	34.00	7.00	27.00	80.0 20.20
<b>* OPTIONS SUBTOTAL *</b>				<b>\$5,954.11</b>	<b>\$2,901.00</b>	<b>\$588.00</b>	<b>\$2,313.00</b>		<b>\$1,732.80</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$1,504,032.61</b>	<b>\$16,612.06</b>	<b>\$2,660.00</b>	<b>\$13,952.06</b>		<b>\$10,629.65</b>

**ADJUSTMENTS**

11/30/2018	COMMISSION INCOME: TRADING	0.00	0.00	0.00	0.00	40.01
11/30/2018	ADVANCE: PAID 12/04/18	0.00	0.00	0.00	0.00	-4,000.00
11/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
11/30/2018	OTHER: OFFICE 360	0.00	0.00	0.00	0.00	-8.00
11/30/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-500.00
11/30/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.44
11/30/2018	OTHER: REPAYMENT OF LOAN	0.00	0.00	0.00	0.00	-1,000.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-5,542.43</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,629.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,542.43
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-20.77
SIPC Assessment	\$-41.53

<b>Check Amount</b>	<b>\$5,024.92</b>
<b>Year-to-date Earnings</b>	<b>\$49,278.25</b>