

# COMMISSION STATEMENT September 30, 2018

**CECIL MORGAN-DM32**  
 1701 River Run Road  
 Suite 1116  
 Fort Worth, TX 76107

**BROKER #: DM32**  
 BRANCH: DMW

## COMMISSION STATEMENT for CECIL MORGAN-DM32

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/10/2018 368357331	HEADRICK, MARY	MF WASHINGTON MUTUAL INVESTORS	B	-4,397.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-4,397.55	\$0.00	\$5.00	\$-5.00		\$-4.50

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc796465	MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	2.94	0.00	2.94	90.0	2.65
09/21/2018 mfc796465	MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	5.42	0.00	5.42	90.0	4.88
09/21/2018 mfc796465	MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	8.27	0.00	8.27	90.0	7.44
09/21/2018 mfc796465	MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	15.98	0.00	15.98	90.0	14.38
09/21/2018 mfc796465	MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	17.39	0.00	17.39	90.0	15.65
09/21/2018 mfc796465	MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	20.44	0.00	20.44	90.0	18.40
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.64	0.00	1.64	90.0	1.48
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.32	0.00	4.32	90.0	3.89
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	15.90	0.00	15.90	90.0	14.31
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.07	0.00	1.07	90.0	0.96
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.08	0.00	3.08	90.0	2.77
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.08	0.00	4.08	90.0	3.67
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.87	0.00	7.87	90.0	7.08
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	19.25	0.00	19.25	90.0	17.33
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.00	0.00	1.00	90.0	0.90
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.72	0.00	2.72	90.0	2.45
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.30	0.00	3.30	90.0	2.97
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.17	0.00	4.17	90.0	3.75
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.94	0.00	5.94	90.0	5.35
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.09	0.00	8.09	90.0	7.28
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.79	0.00	9.79	90.0	8.81
09/21/2018 mfc796465	MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	20.38	0.00	20.38	90.0	18.34
09/21/2018 mfc796465	MORGAN 796465	MFT DEUTSCHE CROCI	B	0.00	0.40	0.00	0.40	90.0	0.36
09/21/2018 mfc796465	MORGAN 796465	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.60	0.00	0.60	90.0	0.54
09/21/2018 mfc796465	MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.89	0.00	2.89	90.0	2.60
09/21/2018 mfc796465	MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.11	0.00	3.11	90.0	2.80
09/21/2018 mfc796465	MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.36	0.00	9.36	90.0	8.42

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.25	0.00	0.25	90.0	0.23
09/21/2018	mfc796465 MORGAN 796465	MFT GLOBAL BALANCED FUND-A	B	0.00	8.08	0.00	8.08	90.0	7.27
09/21/2018	mfc796465 MORGAN 796465	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.63	0.00	1.63	90.0	1.47
09/21/2018	mfc796465 MORGAN 796465	MFT NEW WORLD FUND-A	B	0.00	1.98	0.00	1.98	90.0	1.78
09/21/2018	mfc796465 MORGAN 796465	MFT SMALLCAP WORLD FUND-A	B	0.00	1.01	0.00	1.01	90.0	0.91
09/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	90.0	1.14
09/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.47	0.00	5.47	90.0	4.92
09/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.47	0.00	13.47	90.0	12.12
09/21/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.49	0.00	4.49	90.0	4.04
09/21/2018	mfc796465 MORGAN 796465	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.82	0.00	2.82	90.0	2.54
09/21/2018	mfc796465 MORGAN 796465	MFT THE INVESTMENT COMPANY OF	B	0.00	1.13	0.00	1.13	90.0	1.02
09/21/2018	mfc796465 MORGAN 796465	MFT THE INVESTMENT COMPANY OF	B	0.00	2.01	0.00	2.01	90.0	1.81
09/21/2018	mfc796465 MORGAN 796465	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.50	0.00	4.50	90.0	4.05
09/24/2018	mfc796465 MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	2.77	0.00	2.77	90.0	2.49
09/24/2018	mfc796465 MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	5.11	0.00	5.11	90.0	4.60
09/24/2018	mfc796465 MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	7.79	0.00	7.79	90.0	7.01
09/24/2018	mfc796465 MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	15.06	0.00	15.06	90.0	13.55
09/24/2018	mfc796465 MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	16.39	0.00	16.39	90.0	14.75
09/24/2018	mfc796465 MORGAN 796465	MFT AMERICAN BALANCED FUND-A	B	0.00	19.26	0.00	19.26	90.0	17.33
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	90.0	0.90
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.54	0.00	1.54	90.0	1.39
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.89	0.00	2.89	90.0	2.60
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.83	0.00	3.83	90.0	3.45
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.06	0.00	4.06	90.0	3.65
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.39	0.00	7.39	90.0	6.65
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	14.93	0.00	14.93	90.0	13.44
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.07	0.00	18.07	90.0	16.26
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.93	0.00	0.93	90.0	0.84
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.90	0.00	3.90	90.0	3.51
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.56	0.00	7.56	90.0	6.80
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	19.06	0.00	19.06	90.0	17.15
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.55	0.00	2.55	90.0	2.30
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.08	0.00	3.08	90.0	2.77
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.55	0.00	5.55	90.0	5.00
09/24/2018	mfc796465 MORGAN 796465	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.16	0.00	9.16	90.0	8.24
09/24/2018	mfc796465 MORGAN 796465	MFT DEUTSCHE CROCI	B	0.00	0.38	0.00	0.38	90.0	0.34
09/24/2018	mfc796465 MORGAN 796465	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.56	0.00	0.56	90.0	0.50
09/24/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.23	0.00	0.23	90.0	0.21
09/24/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.72	0.00	2.72	90.0	2.45
09/24/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.93	0.00	2.93	90.0	2.64
09/24/2018	mfc796465 MORGAN 796465	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.81	0.00	8.81	90.0	7.93
09/24/2018	mfc796465 MORGAN 796465	MFT GLOBAL BALANCED FUND-A	B	0.00	7.55	0.00	7.55	90.0	6.80
09/24/2018	mfc796465 MORGAN 796465	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.53	0.00	1.53	90.0	1.38
09/24/2018	mfc796465 MORGAN 796465	MFT NEW WORLD FUND-A	B	0.00	1.83	0.00	1.83	90.0	1.65

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09/24/2018	mfc796465 MORGAN 796465	MFT SMALLCAP WORLD FUND-A	B	0.00	0.96	0.00	0.96	90.0	0.86
09/24/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.19	0.00	1.19	90.0	1.07
09/24/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.23	0.00	4.23	90.0	3.81
09/24/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.16	0.00	5.16	90.0	4.64
09/24/2018	mfc796465 MORGAN 796465	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.69	0.00	12.69	90.0	11.42
09/24/2018	mfc796465 MORGAN 796465	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.66	0.00	2.66	90.0	2.39
09/24/2018	mfc796465 MORGAN 796465	MFT THE INVESTMENT COMPANY OF	B	0.00	1.06	0.00	1.06	90.0	0.95
09/24/2018	mfc796465 MORGAN 796465	MFT THE INVESTMENT COMPANY OF	B	0.00	1.89	0.00	1.89	90.0	1.70
09/24/2018	mfc796465 MORGAN 796465	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.27	0.00	4.27	90.0	3.84
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$480.04</b>	<b>\$0.00</b>	<b>\$480.04</b>		<b>\$432.02</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-4,397.55</b>	<b>\$480.04</b>	<b>\$5.00</b>	<b>\$475.04</b>		<b>\$427.52</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
<b>* SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-315.37</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-315.37</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$427.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-315.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$112.15</b>
<b>Year-to-date Commissions</b>	<b>\$44,229.05</b>