

COMMISSION STATEMENT

October 31, 2018

BRISKIN DPM

BROKER #: D109

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISKIN DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/10/2018 368260050	RICE, GERALD	S OSHKOSH TRUCK CORP	S	-5,652.11	7.97	0.00	7.97	0.0	0.00
10/10/2018 368260050	RICE, GERALD	S OSHKOSH TRUCK CORP	S	-4,984.71	7.03	15.00	-7.97	0.0	0.00
10/11/2018 368260050	RICE, GERALD	S FIRST TRUST MULTI CAP VALUE	S	-23,276.50	15.00	15.00	0.00	0.0	0.00
10/11/2018 368260050	RICE, GERALD	S MARATHON PETROLEUM CORP	S	-7,946.07	9.38	15.00	-5.62	0.0	0.00
10/11/2018 368260050	RICE, GERALD	S MARATHON PETROLEUM CORP	S	-4,769.12	5.62	0.00	5.62	0.0	0.00
10/18/2018 368260050	RICE, GERALD	S METHANEX CORPORATION ISIN	S	-7,305.45	10.00	15.00	-5.00	0.0	0.00
10/18/2018 368260050	RICE, GERALD	S METHANEX CORPORATION ISIN	S	-3,653.45	5.00	0.00	5.00	0.0	0.00
10/25/2018 368260050	RICE, GERALD	S INVESCO EXCHANGE-TRADED FD TR	S	-10,272.42	15.00	15.00	0.00	0.0	0.00
09/28/2018 368357506	DIAZ, LETICIA	S MARATHON PETROLEUM CORP	S	-12,847.98	15.00	15.00	0.00	0.0	0.00
10/10/2018 368357506	DIAZ, LETICIA	S METHANEX CORPORATION ISIN	S	-6,352.13	7.59	15.00	-7.41	0.0	0.00
10/10/2018 368357506	DIAZ, LETICIA	S METHANEX CORPORATION ISIN	S	-6,204.23	7.41	0.00	7.41	0.0	0.00
10/10/2018 368357506	DIAZ, LETICIA	S OSHKOSH TRUCK CORP	S	-10,636.81	15.00	15.00	0.00	0.0	0.00
10/25/2018 368357506	DIAZ, LETICIA	S INVESCO EXCHANGE-TRADED FD TR	S	-5,134.72	7.76	15.00	-7.24	0.0	0.00
10/25/2018 368357506	DIAZ, LETICIA	S INVESCO EXCHANGE-TRADED FD TR	S	-4,794.70	7.24	0.00	7.24	0.0	0.00
09/28/2018 430275860	KIMBRELL TRUST,	S MARATHON PETROLEUM CORP	S	-12,847.98	15.00	15.00	0.00	0.0	0.00
10/03/2018 430275860	KIMBRELL TRUST,	S RMR GROUP INC/THE- CL A	S	-8,578.18	10.71	0.00	10.71	0.0	0.00
10/03/2018 430275860	KIMBRELL TRUST,	S RMR GROUP INC/THE- CL A	S	-2,744.69	3.43	0.00	3.43	0.0	0.00
10/03/2018 430275860	KIMBRELL TRUST,	S RMR GROUP INC/THE- CL A	S	-684.28	0.86	15.00	-14.14	0.0	0.00
10/10/2018 430275860	KIMBRELL TRUST,	S OSHKOSH TRUCK CORP	S	-10,630.41	15.00	15.00	0.00	0.0	0.00
10/18/2018 430275860	KIMBRELL TRUST,	S METHANEX CORPORATION ISIN	S	-7,304.45	10.00	15.00	-5.00	0.0	0.00
10/18/2018 430275860	KIMBRELL TRUST,	S METHANEX CORPORATION ISIN	S	-3,648.95	5.00	0.00	5.00	0.0	0.00
10/25/2018 430275860	KIMBRELL TRUST,	S INVESCO EXCHANGE-TRADED FD TR	S	-5,134.72	7.76	15.00	-7.24	0.0	0.00
10/25/2018 430275860	KIMBRELL TRUST,	S INVESCO EXCHANGE-TRADED FD TR	S	-4,794.70	7.24	0.00	7.24	0.0	0.00
10/11/2018 583773480	PARKS, HUBBARD	S MARATHON PETROLEUM CORP	S	-7,945.07	9.38	15.00	-5.62	0.0	0.00
10/11/2018 583773480	PARKS, HUBBARD	S MARATHON PETROLEUM CORP	S	-4,768.52	5.62	0.00	5.62	0.0	0.00
10/11/2018 583773480	PARKS, HUBBARD	S METHANEX CORPORATION ISIN	S	-12,340.47	15.00	15.00	0.00	0.0	0.00
10/25/2018 583773480	PARKS, HUBBARD	S INVESCO EXCHANGE-TRADED FD TR	S	-9,929.42	15.00	15.00	0.00	0.0	0.00
10/16/2018 677511018	SEBERA, KATHLEEN	S ITT INC	B	5,337.05	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$-199,845.19	\$270.00	\$270.00	\$0.00		\$0.00

COMMISSION STATEMENT for BRISKIN DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.31	0.00	1.31	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	1.37	0.00	1.37	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	4.66	0.00	4.66	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	4.89	0.00	4.89	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	15.53	0.00	15.53	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND - B	0.00	16.29	0.00	16.29	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT DREYFUS OPPORTUNISTIC MIDCAP B	0.00	15.61	0.00	15.61	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	4.18	0.00	4.18	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	4.25	0.00	4.25	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	26.56	0.00	26.56	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT INVESCO EQUALLY-WGHTD S&P 500 B	0.00	27.01	0.00	27.01	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG LTD TERM INCOME FD CL B	0.00	13.05	0.00	13.05	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME B	0.00	1.88	0.00	1.88	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME B	0.00	6.86	0.00	6.86	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME B	0.00	10.09	0.00	10.09	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC INCOME FD A B	0.00	9.84	0.00	9.84	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME B	0.00	4.90	0.00	4.90	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME B	0.00	11.02	0.00	11.02	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME B	0.00	14.69	0.00	14.69	0.0	0.00
10/19/2018	mfc513730	DPM BRISKIN 513730	MFT TRANSAMERICA SMALL/MID CAP B	0.00	1.71	0.00	1.71	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$195.70	\$0.00	\$195.70		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-199,845.19	\$465.70	\$270.00	\$195.70		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00