

# COMMISSION STATEMENT

August 31, 2018

 KRIS LLOYD-D039  
 4265 San Felipe Road  
 Suite 1100  
 Houston, TX 77027

 BROKER #: D039  
 BRANCH: DMJ

## COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

08/29/2018	184105032	COMBS, GORDON	MF	GROWTH AND INCOME PORTFOLIO-A	S	3,002.45	150.00	5.00	145.00	90.0	130.50
08/17/2018	368311919	LLOYD, KEITH	MF	GROWTH AND INCOME PORTFOLIO-A	S	5,502.45	151.25	5.00	146.25	90.0	131.63
08/28/2018	645219153	ROYER TTEE, MARY	MF	GROWTH AND INCOME PORTFOLIO-A	S	10,002.45	500.00	5.00	495.00	90.0	445.50
08/28/2018	645219578	ROYER TTEE, MARY	MF	GROWTH AND INCOME PORTFOLIO-A	S	10,002.45	500.00	5.00	495.00	90.0	445.50
08/28/2018	645219949	ROYER, MARY	MF	GROWTH AND INCOME PORTFOLIO-A	S	10,002.45	500.00	5.00	495.00	90.0	445.50
08/28/2018	645225418	ROYER TTEE, MARY	MF	GROWTH AND INCOME PORTFOLIO-A	S	10,002.45	500.00	5.00	495.00	90.0	445.50
* MUTUAL FUNDS SUBTOTAL *						\$48,514.70	\$2,301.25	\$30.00	\$2,271.25		\$2,044.13

#### PRODUCT CATEGORY: STOCKS

08/28/2018	122767584	ALLEN, KEITH	S	ADVANCED MICRO DEVICES INC	S	-24,367.15	421.88	15.00	406.88	90.0	366.19
08/28/2018	122767584	ALLEN, KEITH	S	ASTRAZENECA P L C-SPONS ADR	B	23,605.53	398.08	15.00	383.08	90.0	344.77
08/28/2018	368259575	ROY, JOHN	S	ASTRAZENECA P L C-SPONS ADR	B	11,856.70	224.45	15.00	209.45	90.0	188.51
08/29/2018	368259575	ROY, JOHN	S	KINDER MORGAN INC	B	9,105.69	183.79	15.00	168.79	90.0	151.91
08/29/2018	368333602	LASTER, RICHARD	S	KINDER MORGAN INC	B	9,126.45	184.10	15.00	169.10	90.0	152.19
08/28/2018	368350989	TOWNES, BETTY	S	ASTRAZENECA P L C-SPONS ADR	B	11,820.71	223.92	15.00	208.92	90.0	188.03
08/28/2018	368431919	COLEMAN, DONALD	S	ASTRAZENECA P L C-SPONS ADR	B	19,726.19	340.74	15.00	325.74	90.0	293.17
08/28/2018	583778526	CULVER, ELOISE	S	ASTRAZENECA P L C-SPONS ADR	B	15,734.61	281.76	15.00	266.76	90.0	240.08
08/28/2018	645219153	ROYER TTEE, MARY	S	ASTRAZENECA P L C-SPONS ADR	B	11,811.02	223.77	15.00	208.77	90.0	187.89
08/28/2018	645219578	ROYER TTEE, MARY	S	ASTRAZENECA P L C-SPONS ADR	B	11,808.89	223.74	15.00	208.74	90.0	187.87
08/28/2018	645219949	ROYER, MARY	S	ASTRAZENECA P L C-SPONS ADR	B	11,808.65	223.74	15.00	208.74	90.0	187.87
08/28/2018	645225418	ROYER TTEE, MARY	S	ASTRAZENECA P L C-SPONS ADR	B	11,838.43	224.18	15.00	209.18	90.0	188.26
08/27/2018	677360654	SCHEPS-DERR, KIM	S	HORMEL FOODS CORPORATION	S	-4,620.09	121.16	15.00	106.16	90.0	95.54
08/29/2018	677360654	SCHEPS-DERR, KIM	S	KINDER MORGAN INC	B	5,490.52	130.37	15.00	115.37	90.0	103.83
08/28/2018	800452988	WOO, SHIAO	S	ADVANCED MICRO DEVICES INC	S	-7,187.23	160.25	15.00	145.25	90.0	130.73
08/29/2018	800452988	WOO, SHIAO	S	KINDER MORGAN INC	B	7,286.96	156.91	15.00	141.91	90.0	127.72
* STOCKS SUBTOTAL *						\$124,845.88	\$3,722.84	\$240.00	\$3,482.84		\$3,134.56

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018	mfc991470	LLOYD 991470	MFT	AMCAP FUND-A	B	0.00	5.79	0.00	5.79	90.0	5.21
08/21/2018	mfc991470	LLOYD 991470	MFT	AMCAP FUND-A	B	0.00	8.65	0.00	8.65	90.0	7.79
08/21/2018	mfc991470	LLOYD 991470	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.53	0.00	0.53	90.0	0.48
08/21/2018	mfc991470	LLOYD 991470	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.80	0.00	0.80	90.0	0.72

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.33	0.00	1.33	90.0	1.20
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.43	0.00	1.43	90.0	1.29
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	2.06	0.00	2.06	90.0	1.85
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	2.86	0.00	2.86	90.0	2.57
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	3.06	0.00	3.06	90.0	2.75
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	3.32	0.00	3.32	90.0	2.99
08/21/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	14.52	0.00	14.52	90.0	13.07
08/21/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.59	0.00	0.59	90.0	0.53
08/21/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.19	0.00	1.19	90.0	1.07
08/21/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	3.10	0.00	3.10	90.0	2.79
08/21/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	6.11	0.00	6.11	90.0	5.50
08/21/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	15.51	0.00	15.51	90.0	13.96
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.35	0.00	0.35	90.0	0.32
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.42	0.00	0.42	90.0	0.38
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.55	0.00	0.55	90.0	0.50
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.62	0.00	0.62	90.0	0.56
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.80	0.00	0.80	90.0	0.72
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.94	0.00	0.94	90.0	0.85
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.03	0.00	1.03	90.0	0.93
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.03	0.00	1.03	90.0	0.93
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.03	0.00	1.03	90.0	0.93
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.03	0.00	1.03	90.0	0.93
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.03	0.00	1.03	90.0	0.93
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.36	0.00	1.36	90.0	1.22
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.38	0.00	1.38	90.0	1.24
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.74	0.00	1.74	90.0	1.57
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.89	0.00	1.89	90.0	1.70
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.42	0.00	4.42	90.0	3.98
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.69	0.00	4.69	90.0	4.22
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.22	0.00	5.22	90.0	4.70
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.26	0.00	5.26	90.0	4.73
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.48	0.00	5.48	90.0	4.93
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.51	0.00	5.51	90.0	4.96
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.04	0.00	8.04	90.0	7.24
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.16	0.00	8.16	90.0	7.34
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.87	0.00	11.87	90.0	10.68
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	12.89	0.00	12.89	90.0	11.60
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.51	0.00	4.51	90.0	4.06
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.65	0.00	5.65	90.0	5.09
08/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.14	0.00	8.14	90.0	7.33
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.52	0.00	3.52	90.0	3.17
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.22	0.00	4.22	90.0	3.80
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.51	0.00	4.51	90.0	4.06
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.72	0.00	5.72	90.0	5.15

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.71	0.00	6.71	90.0	6.04
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	25.04	0.00	25.04	90.0	22.54
08/21/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.80	0.00	4.80	90.0	4.32
08/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	1.69	0.00	1.69	90.0	1.52
08/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	3.96	0.00	3.96	90.0	3.56
08/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	4.75	0.00	4.75	90.0	4.28
08/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.89	0.00	0.89	90.0	0.80
08/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	90.0	1.21
08/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.04	0.00	5.04	90.0	4.54
08/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.63	0.00	6.63	90.0	5.97
08/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
08/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	8.18	0.00	8.18	90.0	7.36
08/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
08/21/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.26	0.00	8.26	90.0	7.43
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.60	0.00	0.60	90.0	0.54
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	90.0	0.58
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.83	0.00	0.83	90.0	0.75
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.95	0.00	0.95	90.0	0.86
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.16	0.00	1.16	90.0	1.04
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.58	0.00	1.58	90.0	1.42
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.98	0.00	1.98	90.0	1.78
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.62	0.00	3.62	90.0	3.26
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.40	0.00	4.40	90.0	3.96
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.83	0.00	4.83	90.0	4.35
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.22	0.00	5.22	90.0	4.70
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.93	0.00	5.93	90.0	5.34
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.04	0.00	6.04	90.0	5.44
08/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.43	0.00	8.43	90.0	7.59
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$317.41</b>	<b>\$0.00</b>	<b>\$317.41</b>		<b>\$285.75</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$173,360.58</b>	<b>\$6,341.50</b>	<b>\$270.00</b>	<b>\$6,071.50</b>		<b>\$5,464.44</b>

ADJUSTMENTS TRANSACTIONSBROKER: #0

08/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,464.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$5,279.44</b>
<b>Year-to-date Commissions</b>	<b>\$42,798.45</b>