

## COMMISSION STATEMENT

September 30, 2018

ARTHUR KITTELSTAD  
3316 N FRONTAGE ROAD

BROKER #: C10  
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

## COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
09/25/2018	QGW001307	SMALL, WAYNE	JUNIPER NETWORKS	S	-8,937.93	232.00	10.00	222.00	85.0 187.20
09/10/2018	QGW001323	KNUDSON, BENJAMIN	ALPS ETF TR EMERGING SECTOR DIVID D B		6,203.55	179.00	10.00	169.00	85.0 142.15
09/10/2018	QGW001323	KNUDSON, BENJAMIN	ULTRA CLEAN HLDGS INC COM	B	5,512.96	158.00	10.00	148.00	85.0 124.30
09/25/2018	QGW001323	KNUDSON, BENJAMIN	ELBIT SYSTEMS LTD	S	-6,685.51	174.00	10.00	164.00	85.0 137.90
09/19/2018	QGW001604	HERZOG, CHRISTOPHER	MOSAIC COMPANY	S	-4,438.01	111.00	10.00	101.00	85.0 84.35
09/19/2018	QGW001604	HERZOG, CHRISTOPHER	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-2,221.21	75.00	10.00	65.00	85.0 53.75
09/25/2018	QGW018483	KNUDSON, BENJAMIN	JUNIPER NETWORKS	S	-8,898.03	231.00	10.00	221.00	85.0 186.35
09/25/2018	QGW018525	WAYMEL, RAYMOND	ELBIT SYSTEMS LTD	S	-13,476.80	349.00	10.00	339.00	85.0 286.65
09/25/2018	QGW018525	WAYMEL, RAYMOND	JUNIPER NETWORKS	S	-8,935.50	232.00	10.00	222.00	85.0 187.20
09/25/2018	QGW018525	WAYMEL, RAYMOND	SEADRILL LIMITED (NEW) REGISTERED S S		-99.20	25.00	10.00	15.00	85.0 11.25
09/10/2018	QGW018541	LUNCEFORD, WENDELL	TESLA MOTORS INC	B	2,804.40	75.00	10.00	65.00	85.0 53.75
09/17/2018	QGW018541	LUNCEFORD, WENDELL	VODAFONE GROUP PLC SPONSORED ADR NO B		8,962.68	259.00	10.00	249.00	85.0 210.15
09/25/2018	QGW018541	LUNCEFORD, WENDELL	JUNIPER NETWORKS	S	-8,965.26	231.00	10.00	221.00	85.0 186.35
08/30/2018	QGW018624	BRYANT, RONALD	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-5,803.93	151.00	10.00	141.00	85.0 118.35
08/30/2018	QGW018632	ROHDE, JEFFREY	AMAZON.COM INC	B	2,010.79	60.00	10.00	50.00	85.0 41.00
09/17/2018	QGW018913	NUTT, RENEE	MOSAIC COMPANY	S	-3,196.24	79.00	10.00	69.00	85.0 57.15
09/18/2018	QGW018947	BAIN, STEVEN	MOSAIC COMPANY	S	-4,754.51	118.00	10.00	108.00	85.0 90.30
09/12/2018	QGW019002	STECKEL, ERIC	BANK OF AMERICA CORP	S	-2,130.81	75.00	10.00	65.00	85.0 53.75
09/12/2018	QGW019002	STECKEL, ERIC	CHEMOURS COMPANY (THE) COM	S	-608.10	50.00	10.00	40.00	85.0 32.50
09/12/2018	QGW019002	STECKEL, ERIC	CITIGROUP INC COM	S	-1,203.80	60.00	10.00	50.00	85.0 41.00
09/12/2018	QGW019002	STECKEL, ERIC	DOWDUPONT INC COMMON STOCK	S	-3,210.80	75.00	10.00	65.00	85.0 53.75
09/12/2018	QGW019002	STECKEL, ERIC	EXELON CORPORATION	S	-4,409.36	75.00	10.00	65.00	85.0 53.75
09/12/2018	QGW019002	STECKEL, ERIC	ORACLE CORPORATION	S	-4,922.50	75.00	10.00	65.00	85.0 53.75
09/12/2018	QGW019002	STECKEL, ERIC	PHILLIPS 66 COM	S	-2,798.89	75.00	10.00	65.00	85.0 53.75
09/12/2018	QGW019028	STECKEL, ERIC	KIMBERLY CLARK CORP	S	-5,802.89	75.00	10.00	65.00	85.0 53.75
09/11/2018	QGW019119	HAECKER, GREG	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-6,538.44	163.00	10.00	153.00	85.0 128.55
09/11/2018	QGW019119	HAECKER, GREG	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		6,538.44	-163.00	-10.00	-153.00	85.0 -128.55 C
09/11/2018	QGW019119	HAECKER, GREG	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-6,538.44	150.00	10.00	140.00	85.0 117.50
09/11/2018	QGW019119	HAECKER, GREG	ULTRA CLEAN HLDGS INC COM	B	6,246.75	156.00	10.00	146.00	85.0 122.60
09/25/2018	QGW019143	HAECKER, DAWN	JUNIPER NETWORKS	S	-8,890.29	230.00	10.00	220.00	85.0 185.50
09/20/2018	QGW019234	RF LAFFERTY & CO	MOSAIC COMPANY	S	-3,547.90	88.00	10.00	78.00	85.0 64.80

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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/11/2018	QGW019382	BENNETT, MARK		ABERDEEN ASIA PACIFIC INCOME FUND I S	-4,120.10	82.00	10.00	72.00	85.0	59.70
09/11/2018	QGW019382	BENNETT, MARK		ISHARES MSCI BRAZIL CAPPED ETF S	-3,097.50	75.00	10.00	65.00	85.0	53.75
09/11/2018	QGW019382	BENNETT, MARK		MOBILE TELESYSTEMS PJSC AMERICAN DE S	-2,965.08	75.00	10.00	65.00	85.0	53.75
09/11/2018	QGW019382	BENNETT, MARK		TELEFONICA BRASIL SA SPONSORED ADR S	-2,405.90	75.00	10.00	65.00	85.0	53.75
09/11/2018	QGW019440	DOMB, CARALINE		TESLA MOTORS INC B	4,157.60	120.00	10.00	110.00	85.0	92.00
09/11/2018	QGW024747	WAYMEL, RAYMOND		ULTRA CLEAN HLDGS INC COM B	13,598.90	394.00	10.00	384.00	85.0	324.90
09/11/2018	QGW024747	WAYMEL, RAYMOND		VODAFONE GROUP PLC SPONSORED ADR NO B	13,070.16	378.00	10.00	368.00	85.0	311.30
09/25/2018	QGW024747	WAYMEL, RAYMOND		CVS HEALTH CORPORATION S	-8,608.09	223.00	10.00	213.00	85.0	179.55
09/25/2018	QGW024754	KNUDSON, SUSAN		CVS HEALTH CORPORATION S	-6,647.26	172.00	10.00	162.00	85.0	136.20
09/25/2018	QGW024796	LUNCEFORD, WENDELL		CVS HEALTH CORPORATION S	-8,589.90	225.00	10.00	215.00	85.0	181.25
09/18/2018	QGW025454	HOFFMAN, PATRICIA		ULTRA CLEAN HLDGS INC COM B	4,052.07	117.00	10.00	107.00	85.0	89.45
09/26/2018	QGW025942	MCEVOY JT TEN,		JUNIPER NETWORKS S	-5,962.00	156.00	10.00	146.00	85.0	122.60
09/11/2018	QGW029597	M. PESSETTI JT TEN,		ULTRA CLEAN HLDGS INC COM B	2,721.40	78.00	10.00	68.00	85.0	56.30
09/17/2018	QGW029886	KONKEN, LUCY		MOSAIC COMPANY S	-3,207.01	80.00	10.00	70.00	85.0	58.00
09/10/2018	QGW030686	LUNCEFORD, JOHN		TEVA PHARMACEUTICAL INDUSTRIES LIMIS	-3,183.44	75.00	10.00	65.00	85.0	53.75
09/18/2018	QGW031296	PESSETTI, KYLIE		OCCIDENTAL PETE CORP S	-1,990.00	60.00	10.00	50.00	85.0	41.00
09/12/2018	QGW031692	BARFIELD, BARBARA		VODAFONE GROUP PLC SPONSORED ADR NO B	5,487.25	159.00	10.00	149.00	85.0	125.15
				<b>* STOCKS SUBTOTAL *</b>	<b>\$-96,423.68</b>	<b>\$6,467.00</b>	<b>\$460.00</b>	<b>\$6,007.00</b>		<b>\$5,036.95</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018		FEE COUNT - 5			0.00	16.36	0.00	16.36	85.0	13.91
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$16.36</b>	<b>\$0.00</b>	<b>\$16.36</b>		<b>\$13.91</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$-96,423.68</b>	<b>\$6,483.36</b>	<b>\$460.00</b>	<b>\$6,023.36</b>		<b>\$5,050.86</b>

ADJUSTMENTS

09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
				<b>*** ADJUSTMENTS TOTAL ***</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-35.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,050.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-35.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.10
SIPC Assessment	\$-16.21

<b>Check Amount</b>	<b>\$4,991.55</b>
<b>Year-to-date Earnings</b>	<b>\$51,399.20</b>