



COMMISSION STATEMENT

October 15, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/20/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-5.20	-0.26	0.00	-0.26	75.0	-0.20
	ACCOUNT #: 03900221916	BUY 0 Units @14.5500						
09/20/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-5.20	-0.26	0.00	-0.26	75.0	-0.20
	ACCOUNT #: 03900221916	BUY 0 Units @14.5500						
09/26/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	2.91	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900221916	BUY 0 Units @14.5300						
09/26/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	2.91	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900221916	BUY 0 Units @14.5300						
09/26/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-2.91	-0.15	0.00	-0.15	75.0	-0.11
	ACCOUNT #: 03900221916	BUY 0 Units @14.5300						
09/26/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-2.91	-0.15	0.00	-0.15	75.0	-0.11
	ACCOUNT #: 03900221916	BUY 0 Units @14.5300						
09/26/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 03900221014	BUY 3 Units @14.5300						
09/26/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 03900221014	BUY 3 Units @14.5300						
09/26/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	93.75	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 03900220125	BUY 6 Units @14.5300						
09/26/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	645.91	32.30	0.00	32.30	75.0	24.23
	ACCOUNT #: 03900220125	BUY 44 Units @14.5300						
09/28/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	36.03	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 03900233790	BUY 2 Units @14.5100						
09/28/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	36.03	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 03900233790	BUY 2 Units @14.5100						
09/26/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.8500						
09/26/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.8500						
10/04/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.6400						
10/04/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.6400						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/28/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	44.83	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 03900185962	BUY 3 Units @13.0300						
09/28/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	44.83	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 03900185962	BUY 3 Units @13.0300						
09/28/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 3 Units @13.0300						
09/28/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 3 Units @13.0300						
09/28/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	40.82	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: 03900186010	BUY 3 Units @13.0300						
09/28/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	40.82	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: 03900186010	BUY 3 Units @13.0300						
09/28/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	46.24	2.31	0.00	2.31	75.0	1.73
	ACCOUNT #: 03900186012	BUY 4 Units @13.0300						
09/28/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 03900186012	BUY 12 Units @13.0300						
09/28/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 15 Units @13.0300						
09/28/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 15 Units @13.0300						
09/28/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @13.0300						
09/28/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @13.0300						
09/28/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 4 Units @13.0300						
09/28/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 4 Units @13.0300						
09/28/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	44.62	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 03900186047	BUY 3 Units @13.0300						
09/28/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	44.62	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 03900186047	BUY 3 Units @13.0300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/17/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00519094815	BUY 2 Units @40.5300						
* MUTUAL FUNDS SUBTOTAL *			\$2,246.72	\$112.34	\$0.00	\$112.34		\$84.30
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
10/05/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
10/05/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 03900221014	BUY 0 Units @0.0000						
10/05/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 03900221916	BUY 0 Units @0.0000						
10/05/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2025-CL A	0.00	27.98	0.00	27.98	75.0	20.99
	ACCOUNT #: 03900227458	BUY 0 Units @0.0000						
10/05/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 03900228796	BUY 0 Units @0.0000						
10/05/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900233790	BUY 0 Units @0.0000						
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
10/05/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.30	0.00	0.30	75.0	0.23
	ACCOUNT #: 03900219123	BUY 0 Units @0.0000						
10/05/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: 03900185962	BUY 0 Units @0.0000						
10/05/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.37	0.00	0.37	75.0	0.28
	ACCOUNT #: 03900185999	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/05/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.86	0.00	1.86	75.0	1.40
	ACCOUNT #: 03900186010	BUY 0 Units @0.0000						
10/05/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 03900186012	BUY 0 Units @0.0000						
10/05/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 03900186019	BUY 0 Units @0.0000						
10/05/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						
10/05/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
10/05/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						
10/05/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
10/05/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	2.59	0.00	2.59	75.0	1.94
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
10/05/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
10/05/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
10/05/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.22	0.00	0.22	75.0	0.17
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
10/05/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	61.43	0.00	61.43	75.0	46.07
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
10/15/2018	***-**-8157 - SPIRE	QUALIFIED PLAN SUMMARY	0.00	1,865.36	0.00	1,865.36	75.0	1,399.02
	ACCOUNT #: BRK110137	BUY 0 Units @0.0000						
10/15/2018	***-**-118I - PARACORP INC,	QUALIFIED PLAN SUMMARY	0.00	4,093.60	0.00	4,093.60	75.0	3,070.20
	ACCOUNT #: BRK87957	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6,062.71	\$0.00	\$6,062.71		\$4,547.08
*** BROKER TRANSACTIONS TOTAL ***			\$2,246.72	\$6,175.05	\$0.00	\$6,175.05		\$4,631.38



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$4,631.38
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$4,631.38
					Year-to-date Earnings			\$58,009.66