

## COMMISSION STATEMENT

November 30, 2018

IRENE SULLIVAN  
303 BEVERLEY ROAD  
APT 9C  
BROOKLYN, NY 11218

BROKER #: IS1  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for IRENE SULLIVAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/23/2018 75500751	GANGE, RICHARD	WALGREEN BOOTS ALLIANCE INC COM	S	32,540.00	760.00	25.00	735.00	80.0	588.00
11/27/2018 75500751	GANGE, RICHARD	CBS CORP CLASS B	S	14,866.50	364.00	25.00	339.00	80.0	271.20
11/27/2018 75500788	CAFIERO, RENEE	CBS CORP CLASS B	S	91,902.00	2,097.00	25.00	2,072.00	80.0	1,657.60
11/23/2018 75500847	YELLAND, MARGARET	WALGREEN BOOTS ALLIANCE INC COM	S	48,810.00	1,124.00	25.00	1,099.00	80.0	879.20
11/27/2018 75500847	YELLAND, MARGARET	CBS CORP CLASS B	S	40,545.00	941.00	25.00	916.00	80.0	732.80
11/23/2018 75501042	DUFFY, TRENT	WALGREEN BOOTS ALLIANCE INC COM	S	30,913.00	723.00	25.00	698.00	80.0	558.40
11/27/2018 75501042	DUFFY, TRENT	CBS CORP CLASS B	S	27,030.00	636.00	25.00	611.00	80.0	488.80
11/27/2018 75501078	OETTEL, BRYAN	CBS CORP CLASS B	S	20,272.50	484.00	25.00	459.00	80.0	367.20
11/23/2018 75501086	CHARLES & MARK	WALGREEN BOOTS ALLIANCE INC COM	S	48,810.00	1,124.00	25.00	1,099.00	80.0	879.20
11/27/2018 75501100	KINNEY, SAMUEL	CBS CORP CLASS B	S	40,545.00	941.00	25.00	916.00	80.0	732.80
11/23/2018 75501130	PALMER, JEANNE	WALGREEN BOOTS ALLIANCE INC COM	S	30,099.50	705.00	25.00	680.00	80.0	544.00
11/27/2018 75501130	PALMER, JEANNE	CBS CORP CLASS B	S	29,733.00	697.00	25.00	672.00	80.0	537.60
11/23/2018 75501131	CAMPOS, CHRISTOPHER	WALGREEN BOOTS ALLIANCE INC COM	S	52,877.50	1,217.00	25.00	1,192.00	80.0	953.60
11/20/2018 75501283	PEIFFER, DAVID	SPDR S&P 500 ETF TRUST	B	4,484.60	50.00	25.00	25.00	80.0	20.00
* STOCKS SUBTOTAL *				\$513,428.60	\$11,863.00	\$350.00	\$11,513.00		\$9,210.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018		FEE COUNT - 34		0.00	303.77	0.00	303.77	80.0	243.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$303.77	\$0.00	\$303.77		\$243.02
*** BROKER TRANSACTIONS TOTAL ***				\$513,428.60	\$12,166.77	\$350.00	\$11,816.77		\$9,453.42

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ADJUSTMENTS

11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2018		OTHER: OFFICE SUPPLIES		0.00	0.00	0.00	0.00		-40.00
11/30/2018		OTHER: RBC		0.00	0.00	0.00	0.00		-95.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-163.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,453.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-163.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.21
SIPC Assessment	\$-30.42

Check Amount	\$9,244.79
Year-to-date Earnings	\$114,733.79