



All Companies

12/28/2018  
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# COMMISSION STATEMENT

## January 02, 2019

JERRY SINGLETON  
700 THROCKMORTON STREET

BROKER #: 8373  
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

### COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/27/2018	TRAILS	-VOYA	B	6.34	6.34	0.00	6.34	90.0	5.71
12/27/2018	TRAILS	-VOYA	B	12.07	12.07	0.00	12.07	90.0	10.86
12/27/2018	TRAILS	BLACK ROCK	B	1.77	1.77	0.00	1.77	90.0	1.59
12/27/2018	TRAILS	BLACK ROCK	B	3.83	3.83	0.00	3.83	90.0	3.45
12/11/2018	SINGLETON COLLEGE FD, MARION	COLLEGEBOUND 529	B	48,000.00	1,680.00	0.00	1,680.00	90.0	1,512.00
B									
12/27/2018	TRAILS	DELAWARE - GENERAL	B	70.97	70.97	0.00	70.97	90.0	63.87
12/27/2018	TRAILS	DELAWARE - GENERAL	B	8.00	8.00	0.00	8.00	90.0	7.20
12/19/2018	TRAILS	DREYFUS - GENERAL	B	2.56	2.56	0.00	2.56	90.0	2.30
12/18/2018	TRAILS	DUNHAM FUNDS	B	1.55	1.55	0.00	1.55	90.0	1.40
12/27/2018	TRAILS	EATON VANCE - GENERAL	B	1.69	1.69	0.00	1.69	90.0	1.52
12/27/2018	TRAILS	EATON VANCE - GENERAL	B	5.26	5.26	0.00	5.26	90.0	4.73
12/18/2018	TRAILS	WELLS FARGO	B	21.55	21.55	0.00	21.55	90.0	19.40
12/17/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
12/10/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A B		950.00	26.13	0.00	26.13	90.0	23.52
12/17/2018	00059335645 MORGENSTERN, JUDY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	5.50	0.00	5.50	90.0	4.95
12/10/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT B		50.00	2.13	0.00	2.13	90.0	1.92
12/20/2018	00063718228 THOMPSON, JOHN	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A	B	125.00	3.44	0.00	3.44	90.0	3.10
12/10/2018	00073452138 STEWART, FRED/MADISON	THE GROWTH FUND OF AMERICA-529A	B	125.00	6.25	0.00	6.25	90.0	5.63
12/17/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
12/17/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.38	0.00	1.38	90.0	1.24
12/17/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.38	0.00	1.38	90.0	1.24
12/17/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	0.50	0.00	0.50	90.0	0.45
12/13/2018	00081489987 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90
12/13/2018	00081490017 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/17/2018	00081490017 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		600.00	6.00	0.00	6.00	90.0	5.40
12/17/2018	00083335257 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
12/10/2018	00084670550 STEWART, FRED/MADISON	THE GROWTH FUND OF AMERICA-529A	B	125.00	6.25	0.00	6.25	90.0	5.63
12/10/2018	00085279516 ALTIERI, NICOLE	THE GROWTH FUND OF AMERICA-529A	B	75.00	2.81	0.00	2.81	90.0	2.53
01/22/2018	00086483945 SINGLETON, ELIZABETH	THE NEW ECONOMY FUND-C	B	2,600.00	26.00	0.00	26.00	90.0	23.40
01/22/2018	00086483945 SINGLETON, ELIZABETH	THE NEW ECONOMY FUND-C	B	400.00	4.00	0.00	4.00	90.0	3.60
01/22/2018	00086483945 SINGLETON, ELIZABETH	THE NEW ECONOMY FUND-C	B	-3,000.00	-30.00	0.00	-30.00	90.0	-27.00
12/17/2018	00087479877 PLUMBLEY, MATTHEW / SAGE	SMALLCAP WORLD FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00087833281 GARRISON, JAMES/KATE	GLOBAL GROWTH PORTFOLIO-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/10/2018	00089307555 JOBE, LISA GLENN	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	00089355323 PLUMBLEY, MATTHEW / SAWYE	THE NEW ECONOMY FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	00089704151 DELK, KYLIE/BAYLIE	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
12/03/2018	00431134561 MINGUS, TIM N	PUTNAM EQUITY INCOME FD CL A	B	100.00	2.75	0.00	2.75	90.0	2.48
12/03/2018	00511514163 ORENDER, KARI	PUTNAM NEW OPPORTUNITIES FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
12/03/2018	00551021580 UNIF TRANSFER TO M/A, U/TX	PUTNAM GROWTH OPPORTUNITIES FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
12/10/2018	00671173702 ORENDER, KARI	INVESCO VAN KAMPEN G & I FD	B	25.00	1.25	0.00	1.25	90.0	1.13
12/10/2018	00671173702 ORENDER, KARI	IVK AMERICAN FRANCHISE FUND - CLASS	B	25.00	1.25	0.00	1.25	90.0	1.13
12/17/2018	00671187305 FISCHER JT TEN, WILLIAM	IVK AMERICAN FRANCHISE FUND - CLASS	B	100.00	4.00	0.00	4.00	90.0	3.60
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	115.50	1.16	0.00	1.16	90.0	1.04
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	115.50	1.16	0.00	1.16	90.0	1.04
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	115.50	1.16	0.00	1.16	90.0	1.04
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/13/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C	B	115.50	1.16	0.00	1.16	90.0	1.04
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	21.36	0.21	0.00	0.21	90.0	0.19
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	32.40	0.32	0.00	0.32	90.0	0.29
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	50.00	0.50	0.00	0.50	90.0	0.45

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	39.38	0.39	0.00	0.39	90.0	0.35
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	34.20	0.34	0.00	0.34	90.0	0.31
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/13/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C	B	32.18	0.32	0.00	0.32	90.0	0.29
12/03/2018	01560374108 DESBIEN, ROBERT K.	MFS MUNICIPAL INCOME - A1	B	100.00	4.00	0.00	4.00	90.0	3.60
12/03/2018	03310426468 JACKSON, ANNIE	ALLIANCE GROWTH FD CL A	B	100.00	4.00	0.00	4.00	90.0	3.60
12/10/2018	04001070309 STEWART, FRED/BODACIOUS	COLLEGE 2036 FUND-529A	B	125.00	4.38	0.00	4.38	90.0	3.94
12/10/2018	04001073198 RUEDEMAN, SUZANN/BRECKYN	COLLEGE 2033 FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/03/2018	0406498570 PREVOT, GAIL/SHANIECE	-PUTNAM	B	25.00	1.25	0.00	1.25	90.0	1.13
12/20/2018	05051919255 THOMPSON, CATHY	INVESCO STRUCTURED CORE FUND -CLASS B	B	50.00	2.50	0.00	2.50	90.0	2.25
12/20/2018	06910027819 CORNSTUBBLE, BARBARA	INVESCO VAN KAMPEN G & I FD	B	50.00	2.50	0.00	2.50	90.0	2.25
12/03/2018	08184436668 LEPERT, THOMAS B.	MFS MUNICIPAL INCOME - A1	B	50.00	2.00	0.00	2.00	90.0	1.80
12/03/2018	08184749125 HUGHES, HENRY A	MFS MUNICIPAL INCOME - A1	B	100.00	4.00	0.00	4.00	90.0	3.60
12/17/2018	08184753175 CARROLL, MELINDA	MFS EMERGING GROWTH FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	08184753824 BROWN, DAVID P.	MFS TOTAL RETURN FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	08697809525 TEDDER, THOMAS V	COLUMBIA SELIGMAN COMM & INFO FD A	B	50.00	2.50	0.00	2.50	90.0	2.25
12/06/2018	3301699247 LESSOR, RANDY	OPPENHEIMER GLOBAL FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
12/06/2018	7004732002 ORENDER, KARI A	OPPENHEIMER MAIN STREET INCOME & GR B	B	25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *				\$54,507.11	\$1,971.54	\$0.00	\$1,971.54		\$1,774.42
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	6,925.27	6,925.27	0.00	6,925.27	90.0	6,232.74
* STOCKS SUBTOTAL *				\$6,925.27	\$6,925.27	\$0.00	\$6,925.27		\$6,232.74
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/19/2018	TRAILS	-JOHN HANCOCK	B	31.49	31.49	0.00	31.49	90.0	28.34
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	51.41	51.41	0.00	51.41	90.0	46.27
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	46.36	46.36	0.00	46.36	90.0	41.72
* VARIABLE ANNUITIES SUBTOTAL *				\$129.26	\$129.26	\$0.00	\$129.26		\$116.33
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>									
12/10/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13
* LIFE INSURANCE SUBTOTAL *				\$125.00	\$1.25	\$0.00	\$1.25		\$1.13

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
10/11/2018 35117029	PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	82.00	0.00	82.00	90.0	73.80
10/11/2018 50875792	MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	228.66	0.00	228.66	90.0	205.79
10/11/2018 58959215	PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66	90.0	50.09
10/11/2018 61321609	WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	352.34	0.00	352.34	90.0	317.11
10/11/2018 69982324	PELIZZONI FAM REV TRST, EUGENE & BECKY	SIGNAL SECURITIES RIA	B	0.00	511.66	0.00	511.66	90.0	460.49
10/11/2018 71160962	MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	196.34	0.00	196.34	90.0	176.71
10/11/2018 90838267	KENNEDY TR, MARY RUTH	SIGNAL SECURITIES RIA	B	0.00	509.00	0.00	509.00	90.0	458.10
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,935.66</b>	<b>\$0.00</b>	<b>\$1,935.66</b>		<b>\$1,742.09</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/02/2019 TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	79.85	0.00	79.85	90.0	71.86
01/02/2019 TRAIL COMMISSIONS	AIG FUNDS FEES			0.00	4.09	0.00	4.09	90.0	3.68
01/02/2019 TRAIL COMMISSIONS	THORNBURG FUNDS FEES			0.00	171.56	0.00	171.56	90.0	154.41
01/02/2019 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES			0.00	12.15	0.00	12.15	90.0	10.94
01/02/2019 TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES			0.00	46.54	0.00	46.54	90.0	41.88
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$314.19</b>	<b>\$0.00</b>	<b>\$314.19</b>		<b>\$282.77</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$61,686.64</b>	<b>\$11,277.17</b>	<b>\$0.00</b>	<b>\$11,277.17</b>		<b>\$10,149.48</b>

**SPLIT TRANSACTIONS****BROKER: SINGLETON/SNOW #9575**

10/11/2018 57689062	SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	154.83	0.00	154.83	90.0	139.35
10/11/2018 97231190	CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	173.50	0.00	173.50	90.0	156.15
<b>* SINGLETON/SNOW SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$328.33</b>	<b>\$0.00</b>	<b>\$328.33</b>		<b>\$295.50</b>

**BROKER: SINGLETON/MOYNIHAN #9595**

10/11/2018 17599493	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018 31646344	DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.83	0.00	106.83	90.0	96.15
10/11/2018 41564753	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
10/11/2018 41989330	YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	57.83	0.00	57.83	90.0	52.05
10/11/2018 42529214	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	84.33	0.00	84.33	90.0	75.90
10/11/2018 45771580	WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	128.83	0.00	128.83	90.0	115.95
10/11/2018 51406766	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	98.50	0.00	98.50	90.0	88.65
10/11/2018 62437500	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	251.17	0.00	251.17	90.0	226.05
10/11/2018 63181693	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	93.00	0.00	93.00	90.0	83.70

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SPLIT TRANSACTIONSBROKER: SINGLETON/MOYNIHAN #9595

10/11/2018 67846422	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
10/11/2018 68333401	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018 69683604	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	66.83	0.00	66.83	90.0	60.15
10/11/2018 82910782	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	60.50	0.00	60.50	90.0	54.45

* SINGLETON/MOYNIHAN SUBTOTAL *	\$0.00	\$1,095.15	\$0.00	\$1,095.15	\$985.65
*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$1,423.48	\$0.00	\$1,423.48	\$1,281.15

ADJUSTMENTS

01/02/2019	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-820.50
01/02/2019	HILLTOP: MISC TICKET FEES	0.00	0.00	0.00	0.00	69.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-751.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$10,149.48
Split Transactions	\$1,281.15
Override Transactions	\$0.00
Adjustments	\$-751.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$10,679.13
Year-to-date Earnings	\$10,679.13