

**COMMISSION STATEMENT**

October 15, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

**COMMISSION STATEMENT for HOUSE**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**DIRECT TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

08/20/2018 (None)	VARIOUS, HOUSE	MF TOUCHSTONE FOCUSED FD Y	B	0.00	8.75	0.00	8.75	0.0	0.00
09/17/2018 (None)	BEAMON, LIN	MF OPPENHEIMER	B	155.00	7.36	0.00	7.36	0.0	0.00
09/17/2018 (None)	VARIOUS, HOUSE	MF VIRTUS	B	0.00	5.00	0.00	5.00	0.0	0.00
09/20/2018 (None)	VARIOUS, HOUSE	MF TOUCHSTONE FOCUSED FD Y	B	500.00	8.75	0.00	8.75	0.0	0.00
10/01/2018 000001950778185	NJOROGE,	MF TEMPLETON GROWTH FUND CLASS A	B	25.00	1.25	0.00	1.25	0.0	0.00
09/28/2018 00060428524	FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	125.00	5.00	0.00	5.00	0.0	0.00
09/28/2018 00061066301	MACK, BENJAMIN	MF NEW PERSPECTIVE FUND-A	B	100.00	3.75	0.00	3.75	0.0	0.00
09/28/2018 00061066301	MACK, BENJAMIN	MF NEW WORLD FUND-A	B	60.00	2.25	0.00	2.25	0.0	0.00
09/28/2018 00061066301	MACK, BENJAMIN	MF SMALLCAP WORLD FUND-A	B	200.00	7.50	0.00	7.50	0.0	0.00
09/28/2018 00061066301	MACK, BENJAMIN	MF THE NEW ECONOMY FUND-A	B	50.00	1.88	0.00	1.88	0.0	0.00
09/28/2018 00064738666	ROWLEY, GREGORY	MF FUNDAMENTAL INVESTORS-A	B	80.00	2.20	0.00	2.20	0.0	0.00
09/24/2018 00067001641	TAN-COLON, JOANA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	0.0	0.00
10/02/2018 00067292405	NEAL, GARIUS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
10/05/2018 00067884967	WEAVER, KEVIN	MF AMERICAN BALANCED FUND-A	B	150.00	6.38	0.00	6.38	0.0	0.00
10/05/2018 00068766456	COPE, MARTIN	MF AMERICAN BALANCED FUND-A	B	75.00	2.81	0.00	2.81	0.0	0.00
10/01/2018 00073079936	SHARP, CAMILLE	MF THE INCOME FUND OF AMERICA-529A	B	250.00	10.63	0.00	10.63	0.0	0.00
10/01/2018 00073674881	MAKENNA A	MF AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	0.0	0.00
10/01/2018 00073674881	MAKENNA A	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF CAPITAL INCOME BUILDER-A	B	13.33	0.67	0.00	0.67	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF CAPITAL INCOME BUILDER-A	B	26.67	1.33	0.00	1.33	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF CAPITAL WORLD GROWTH AND INCOME	B	26.67	1.33	0.00	1.33	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF CAPITAL WORLD GROWTH AND INCOME	B	53.33	2.67	0.00	2.67	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF EUROPACIFIC GROWTH FUND-A	B	33.33	1.67	0.00	1.67	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF EUROPACIFIC GROWTH FUND-A	B	66.67	3.33	0.00	3.33	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF FUNDAMENTAL INVESTORS-A	B	26.67	1.33	0.00	1.33	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF FUNDAMENTAL INVESTORS-A	B	53.33	2.67	0.00	2.67	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF NEW PERSPECTIVE FUND-A	B	33.33	1.67	0.00	1.67	0.0	0.00
10/01/2018 00082022340	MOORE, TIM	MF NEW PERSPECTIVE FUND-A	B	66.67	3.33	0.00	3.33	0.0	0.00
09/27/2018 00083275074	GAYNOR, MICHELE	MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	0.0	0.00
10/01/2018 00084671701	NIBLOCK, LEANN	MF CAPITAL INCOME BUILDER-A	B	50.00	2.50	0.00	2.50	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR EQUITY INCOME	B	12.46	0.12	0.00	0.12	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR EQUITY INCOME	B	24.92	0.25	0.00	0.25	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH &	B	37.39	0.37	0.00	0.37	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH &	B	74.77	0.75	0.00	0.75	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH OPPORT	B	49.85	0.50	0.00	0.50	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH OPPORT	B	99.70	1.00	0.00	1.00	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADV EUROPE FUND	B	24.92	0.25	0.00	0.25	0.0	0.00
10/02/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADV EUROPE FUND	B	49.85	0.50	0.00	0.50	0.0	0.00
10/05/2018 06900197937	GOODWATER,	MF INVESCO AMERICAN FRANCHISE FUND	B	30.00	1.20	0.00	1.20	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$2,873.86</b>	<b>\$111.72</b>	<b>\$0.00</b>	<b>\$111.72</b>		<b>\$0.00</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/30/2018 1020465200	ABDULNOUR, NABIL	VA PERSP II 01/16	B	88,642.77	18.47	0.00	18.47	0.0	0.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$88,642.77</b>	<b>\$18.47</b>	<b>\$0.00</b>	<b>\$18.47</b>		<b>\$0.00</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018 (None)	VARIOUS, HOUSE	MFT AIG	B	0.00	17.95	0.00	17.95	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT AIG	B	0.00	8.65	0.00	8.65	0.0	0.00
08/31/2018 (None)	VARIOUS, HOUSE	MFT BLACKROCK	B	0.00	4.80	0.00	4.80	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT API	B	0.00	25.14	0.00	25.14	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT HARTFORD MUTUAL FUIND	B	0.00	0.40	0.00	0.40	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT JOHN HANCOCK FREEDOM	B	0.00	4.35	0.00	4.35	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT NATIXIS	B	0.00	8.60	0.00	8.60	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT OPPENHEIMER	B	0.00	245.64	0.00	245.64	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT OPPENHEIMER	B	0.00	4.21	0.00	4.21	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	3.27	0.00	3.27	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	93.80	0.00	93.80	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT VIRTUS	B	0.00	2.13	0.00	2.13	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	MFT VIRTUS	B	0.00	8.36	0.00	8.36	0.0	0.00
10/01/2018 00000515197	PEDERSEN UTMA/MO,	MFT AIM EUROPEAN SMALL COMPANY FUND	B	0.00	1.84	0.00	1.84	0.0	0.00
10/01/2018 00000621995	WALDROP, SUSAN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.24	0.00	0.24	0.0	0.00
10/05/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	5.66	0.00	5.66	0.0	0.00
10/05/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY ADVISOR FUNDS	B	0.00	9.73	0.00	9.73	0.0	0.00
10/05/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	26.21	0.00	26.21	0.0	0.00
10/05/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.08	0.00	0.08	0.0	0.00
10/05/2018 00006848293	GOODRICH, GARY	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	3.31	0.00	3.31	0.0	0.00
10/05/2018 00006848297	GRIFFIN, BRADLEY	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	2.01	0.00	2.01	0.0	0.00
10/05/2018 00007016914	HARRISON, NEKOLA	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	1.74	0.00	1.74	0.0	0.00
10/05/2018 00009044594	F ELAINE MORGAN,	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	2.03	0.00	2.03	0.0	0.00
10/01/2018 00014356942	JOHNSON, MARTHA	MFT PUTNAM CALIFORNIA TAX-EXEMPT	B	0.00	19.04	0.00	19.04	0.0	0.00
10/01/2018 00014356942	JOHNSON, MARTHA	MFT PUTNAM CALIFORNIA TAX-EXEMPT	B	0.00	4.97	0.00	4.97	0.0	0.00
10/01/2018 00022148888	MITCHELL K POLON	MFT PUTNAM 746476100	B	0.00	12.85	0.00	12.85	0.0	0.00
10/01/2018 00060408637	DEPAOLO, RINALDO	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	6.15	0.00	6.15	0.0	0.00
10/01/2018 00060417918	HEUP, MICHAEL	MFT MFS NEW DISCOVERY FUND-A	B	0.00	56.68	0.00	56.68	0.0	0.00
10/01/2018 00060428524	FULLEM, R	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	52.25	0.00	52.25	0.0	0.00
10/01/2018 00060436115	PUDNEY, CHERYL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.31	0.00	0.31	0.0	0.00

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10/01/2018 00060475060	PINKHAM, TIMOTHY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	13.34	0.00	13.34	0.0	0.00
10/01/2018 00060475061	PINKHAM,	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	15.55	0.00	15.55	0.0	0.00
10/01/2018 00060499852	MOORE, SHELLY	MFT MFS GLOBAL EQUITY FUND-A	B	0.00	4.26	0.00	4.26	0.0	0.00
10/01/2018 00060509558	DELEON, MICHELE	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	2.50	0.00	2.50	0.0	0.00
10/01/2018 00060532225	RODRIGUEZ,	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.43	0.00	0.43	0.0	0.00
10/01/2018 00060532993	RODRIGUEZ,	MFT MASS INVESTORS GROWTH STOCK	B	0.00	3.35	0.00	3.35	0.0	0.00
10/01/2018 00060534871	RODRIGUEZ,	MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.16	0.00	1.16	0.0	0.00
10/01/2018 00060534983	RODRIGUEZ,	MFT MFS INTERNATIONAL GROWTH FUND-A	B	0.00	1.84	0.00	1.84	0.0	0.00
10/01/2018 00060535007	RODRIGUEZ,	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	0.52	0.00	0.52	0.0	0.00
10/01/2018 00060549692	FOSTER, BLAINE	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	0.67	0.00	0.67	0.0	0.00
10/01/2018 00060550012	FOSTER, BLAINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.24	0.00	0.24	0.0	0.00
10/01/2018 00060577526	ALMQUIST, DIANA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	2.86	0.00	2.86	0.0	0.00
10/01/2018 00060577786	ALMQUIST, DIANA	MFT MFS VALUE FUND-A	B	0.00	2.84	0.00	2.84	0.0	0.00
10/01/2018 00060579121	ALMQUIST, KELLY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	1.25	0.00	1.25	0.0	0.00
10/01/2018 00060579273	ALMQUIST, KELLY	MFT MFS VALUE FUND-A	B	0.00	1.21	0.00	1.21	0.0	0.00
10/01/2018 00060651094	RODRIGUEZ,	MFT MFS TOTAL RETURN FUND-A	B	0.00	3.84	0.00	3.84	0.0	0.00
10/01/2018 00060656537	DEVINE, MARC	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	1.17	0.00	1.17	0.0	0.00
10/01/2018 00060661767	MORELAND,	MFT MFS TECHNOLOGY FUND-A	B	0.00	1.38	0.00	1.38	0.0	0.00
10/05/2018 00062732631	OLIVER, GEORGE	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	8.82	0.00	8.82	0.0	0.00
10/05/2018 00063584528	PERKINS, THOMAS	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	20.67	0.00	20.67	0.0	0.00
10/05/2018 00063619399	RALSTON, ROB	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	5.79	0.00	5.79	0.0	0.00
10/01/2018 00090004347	CALDCLEUGH, JOHN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	142.93	0.00	142.93	0.0	0.00
10/01/2018 00090006326	DENNIS, PAULA	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	10.21	0.00	10.21	0.0	0.00
10/01/2018 00090046071	MESSINGER, GARY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	34.46	0.00	34.46	0.0	0.00
10/01/2018 00093151042	CARLOS YAP CUST,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.88	0.00	1.88	0.0	0.00
10/01/2018 00093151279	CARLOS YAP CUST,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.79	0.00	1.79	0.0	0.00
10/01/2018 00094966506	WEATHERSPOON,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	3.93	0.00	3.93	0.0	0.00
10/01/2018 00291213411	GEORGE,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.11	0.00	0.11	0.0	0.00
10/01/2018 00291213411	GEORGE,	MFT PUTNAM 746802206	B	0.00	0.10	0.00	0.10	0.0	0.00
10/01/2018 00291213411	GEORGE,	MFT PUTNAM 746802859	B	0.00	0.11	0.00	0.11	0.0	0.00
10/01/2018 00336243323	BLAINE T FRENCH	MFT PUTNAM 746802800	B	0.00	0.11	0.00	0.11	0.0	0.00
10/01/2018 00343042632	ESA, COVERDELL	MFT PUTNAM 746802800	B	0.00	0.33	0.00	0.33	0.0	0.00
10/01/2018 00343042632	ESA, COVERDELL	MFT PUTNAM 746802859	B	0.00	0.84	0.00	0.84	0.0	0.00
10/01/2018 00371687209	JOHN C CHRIST	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	5.24	0.00	5.24	0.0	0.00
10/01/2018 00388809111	KATHLEEN E WILCOX	MFT PUTNAM 746444108	B	0.00	16.23	0.00	16.23	0.0	0.00
10/01/2018 00436535614	OLIVER H CONRAD	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	3.34	0.00	3.34	0.0	0.00
10/01/2018 00480896828	WYCKOFF, BARBARA	MFT PUTNAM 746870104	B	0.00	31.23	0.00	31.23	0.0	0.00
10/01/2018 00480896828	WYCKOFF, BARBARA	MFT PUTNAM 746870104	B	0.00	10.86	0.00	10.86	0.0	0.00
10/05/2018 00498739796	PARRETT, PAUL	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.84	0.00	0.84	0.0	0.00
10/05/2018 00498739796	PARRETT, PAUL	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.52	0.00	0.52	0.0	0.00
10/05/2018 00498943216	HOLDEN, DESIREE	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	0.04	0.00	0.04	0.0	0.00
10/05/2018 00499980803	RANDALL, RAELENE	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.85	0.00	1.85	0.0	0.00
10/01/2018 00502014249	CAROLYN A	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	3.80	0.00	3.80	0.0	0.00
10/01/2018 00502014249	CAROLYN A	MFT PUTNAM 74680E101	B	0.00	2.54	0.00	2.54	0.0	0.00

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10/01/2018 00503892870	SHILES, JULIE	MFT PUTNAM 746745108	B	0.00	2.87	0.00	2.87	0.0	0.00
10/01/2018 00503892870	SHILES, JULIE	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	3.89	0.00	3.89	0.0	0.00
10/01/2018 00503892870	SHILES, JULIE	MFT PUTNAM 746802800	B	0.00	2.21	0.00	2.21	0.0	0.00
10/05/2018 00522359421	GREEN, ROBYN	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.76	0.00	0.76	0.0	0.00
10/05/2018 00528356074	LONG, SHAWN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	6.78	0.00	6.78	0.0	0.00
10/05/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	9.56	0.00	9.56	0.0	0.00
10/05/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADVISOR GROWTH &	B	0.00	34.91	0.00	34.91	0.0	0.00
10/05/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	63.95	0.00	63.95	0.0	0.00
10/05/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADV EUROPE FUND	B	0.00	16.10	0.00	16.10	0.0	0.00
10/01/2018 00550992724	SHERRY WATKINS	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.17	0.00	0.17	0.0	0.00
10/01/2018 00550994638	RAINEY, BENJAMIN	MFT PUTNAM 746476100	B	0.00	1.93	0.00	1.93	0.0	0.00
10/01/2018 00550994638	RAINEY, BENJAMIN	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.20	0.00	1.20	0.0	0.00
10/01/2018 00550999115	SHERRY WATKINS	MFT PUTNAM 746745108	B	0.00	0.18	0.00	0.18	0.0	0.00
10/01/2018 00660199086	BAKER, ISABEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.25	0.00	22.25	0.0	0.00
10/01/2018 00660279542	PROFIT SHARING	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.15	0.00	0.15	0.0	0.00
10/01/2018 00660379849	HAMMER, SUSAN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	25.42	0.00	25.42	0.0	0.00
10/01/2018 00660433734	MARTINSON, HELWIG	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	24.55	0.00	24.55	0.0	0.00
10/01/2018 00660433903	MYLER, JOSEPHINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	84.18	0.00	84.18	0.0	0.00
10/01/2018 00670120596	MARTINEZ, MELANIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	18.72	0.00	18.72	0.0	0.00
10/01/2018 00670131517	JONES, JOHN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	35.62	0.00	35.62	0.0	0.00
10/01/2018 00670561400	LOUIS JT TEN,	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	5.03	0.00	5.03	0.0	0.00
10/01/2018 00670572497	GWENDOLYN HERNDON	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.51	0.00	0.51	0.0	0.00
10/01/2018 00670631766	KESSARIS, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	37.17	0.00	37.17	0.0	0.00
10/01/2018 00671595505	RUDDICK, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	27.63	0.00	27.63	0.0	0.00
10/01/2018 00671980725	SAN JUAN, BENJIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.23	0.00	1.23	0.0	0.00
10/01/2018 00672008246	JIMERSON, RONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.89	0.00	0.89	0.0	0.00
10/01/2018 00672244859	SHARON D RHEA,	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	4.38	0.00	4.38	0.0	0.00
10/01/2018 00672244859	SHARON D RHEA,	MFT INVESCO SMALL CAP VALUE FUND	B	0.00	8.83	0.00	8.83	0.0	0.00
10/05/2018 00689570729	HARRIS, SUN	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	2.34	0.00	2.34	0.0	0.00
10/05/2018 00689570729	HARRIS, SUN	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.57	0.00	1.57	0.0	0.00
10/05/2018 00689576221	TRUMMERT, BRENT	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	13.70	0.00	13.70	0.0	0.00
10/05/2018 00689576221	TRUMMERT, BRENT	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	9.49	0.00	9.49	0.0	0.00
10/05/2018 00689576221	TRUMMERT, BRENT	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	7.06	0.00	7.06	0.0	0.00
10/05/2018 00689932366	DAVENPORT,	MFT FIDELITY ADV UTILITIES CL A	B	0.00	3.08	0.00	3.08	0.0	0.00
10/05/2018 00689932366	DAVENPORT,	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	6.66	0.00	6.66	0.0	0.00
10/05/2018 00689932366	DAVENPORT,	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.09	0.00	5.09	0.0	0.00
10/05/2018 00700369093	DONLEY, PATRICK	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	16.60	0.00	16.60	0.0	0.00
10/05/2018 00700369093	DONLEY, PATRICK	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	4.24	0.00	4.24	0.0	0.00
10/05/2018 00700497290	ESTEP, CHRISTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	3.00	0.00	3.00	0.0	0.00
10/05/2018 00700950298	ROBERT B	MFT FA 529 COLLEGE PORT OLD CL A	B	0.00	6.36	0.00	6.36	0.0	0.00
10/02/2018 00902154485	COLEMAN JT TEN	MFT PIONEER FUND A	B	0.00	6.04	0.00	6.04	0.0	0.00
10/02/2018 00957551520	CATHERINE HATCHER	MFT PIONEER VALUE FUND A	B	0.00	12.83	0.00	12.83	0.0	0.00
10/02/2018 00958527106	ROBERTSON, MARVIN	MFT PIONEER VALUE FUND A	B	0.00	4.43	0.00	4.43	0.0	0.00
10/03/2018 00999005828	907445019	MFT COLUMBIA STRATEGIC INCOME	B	0.00	2.80	0.00	2.80	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/01/2018 01000160263	PEDERSEN, ANGELA	MFT AIM EUROPEAN SMALL COMPANY FUND	B	0.00	13.71	0.00	13.71	0.0	0.00
10/03/2018 01002969111	GREENWELL, HAROLD	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.60	0.00	0.60	0.0	0.00
10/03/2018 01008869663	TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.14	0.00	0.14	0.0	0.00
10/03/2018 01008869663	TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.41	0.00	0.41	0.0	0.00
10/03/2018 01009334945	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.61	0.00	0.61	0.0	0.00
10/03/2018 01009334945	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.82	0.00	1.82	0.0	0.00
10/03/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	0.55	0.00	0.55	0.0	0.00
10/03/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	1.65	0.00	1.65	0.0	0.00
10/03/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.62	0.00	0.62	0.0	0.00
10/03/2018 01009368557	DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.85	0.00	1.85	0.0	0.00
10/05/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.27	0.00	0.27	0.0	0.00
10/05/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.84	0.00	0.84	0.0	0.00
10/05/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	0.41	0.00	0.41	0.0	0.00
10/05/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.10	0.00	1.10	0.0	0.00
10/05/2018 01488423474	ROBERT B	MFT FA 529 COLLEGE PORT OLD CL A	B	0.00	0.09	0.00	0.09	0.0	0.00
10/01/2018 01700022483	MARK A PLANEY	MFT PUTNAM 746745108	B	0.00	5.54	0.00	5.54	0.0	0.00
10/01/2018 01700022483	MARK A PLANEY	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	6.33	0.00	6.33	0.0	0.00
10/01/2018 01700022483	MARK A PLANEY	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	12.60	0.00	12.60	0.0	0.00
10/01/2018 01700022483	MARK A PLANEY	MFT PUTNAM ABSOLUTE RETURN 100-A	B	0.00	9.28	0.00	9.28	0.0	0.00
10/01/2018 03910267768	PHILLIPS, MATTHEW	MFT AIM CHARTER FUND - CLASS A	B	0.00	25.92	0.00	25.92	0.0	0.00
10/01/2018 03910267776	PHILLIPS, MATTHEW	MFT AIM SMALL CAP GROWTH FUND -	B	0.00	42.07	0.00	42.07	0.0	0.00
10/01/2018 03910377153	MCCARTNEY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.68	0.00	2.68	0.0	0.00
10/01/2018 03910392665	GUERRA UTMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	16.93	0.00	16.93	0.0	0.00
10/01/2018 04027244005	PARKER, NICOLE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.75	0.00	2.75	0.0	0.00
10/01/2018 04029042472	WETHERELL, JACK	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.07	0.00	0.07	0.0	0.00
10/01/2018 04030150553	FRAZIER UGMA/OH,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.11	0.00	5.11	0.0	0.00
10/01/2018 04030271060	RIOS, ANTONIO	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.61	0.00	4.61	0.0	0.00
10/01/2018 04039223476	TRAPP, ELLEN	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	1.81	0.00	1.81	0.0	0.00
10/01/2018 04040672778	GUERRA, YVETTE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	16.93	0.00	16.93	0.0	0.00
10/01/2018 04400055004	NANCARROW, PEARL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.19	0.00	4.19	0.0	0.00
10/08/2018 04787882923	CALDCLEUGH, JOHN	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	3.54	0.00	3.54	0.0	0.00
10/01/2018 05070731830	TRAPP, ELLEN	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	1.60	0.00	1.60	0.0	0.00
10/01/2018 06605551073	MONFILS JT TEN,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	122.32	0.00	122.32	0.0	0.00
10/01/2018 06605551582	AUNE, JAMES	MFT AIM GOLD & PRECIOUS METALS FD -	B	0.00	2.48	0.00	2.48	0.0	0.00
10/01/2018 06605551582	AUNE, JAMES	MFT INVESCO S&P 500 INDEX FUND -	B	0.00	8.36	0.00	8.36	0.0	0.00
10/01/2018 06605551582	AUNE, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.27	0.00	5.27	0.0	0.00
10/01/2018 06605551582	AUNE, JAMES	MFT INVESCO GROWTH AND INCOME FUND	B	0.00	7.25	0.00	7.25	0.0	0.00
10/01/2018 06700354795	GONZALEZ, ANA	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	0.26	0.00	0.26	0.0	0.00
10/01/2018 06900187019	LOUGH, MICHAEL	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	3.78	0.00	3.78	0.0	0.00
10/01/2018 06900190172	SUESS, DAVID	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.05	0.00	6.05	0.0	0.00
10/01/2018 06900193609	JIMERSON, RONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.10	0.00	1.10	0.0	0.00
10/01/2018 06900196025	RILEY, JENNIFER	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.55	0.00	0.55	0.0	0.00
10/01/2018 06900196117	DAVENPORT,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	9.10	0.00	9.10	0.0	0.00
10/01/2018 06900196118	DAVENPORT, RENZY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.28	0.00	5.28	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/01/2018 06900197937	GOODWATER,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	35.48	0.00	35.48	0.0	0.00
10/01/2018 06900198501	STEVEN R CAZALES,	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	2.72	0.00	2.72	0.0	0.00
10/01/2018 06900198598	STEVEN R CAZALES,	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.75	0.00	0.75	0.0	0.00
10/01/2018 06900201788	RUSTEBAKKE,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.21	0.00	1.21	0.0	0.00
10/01/2018 06900203494	CASE, SOPHIA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.84	0.00	0.84	0.0	0.00
10/01/2018 06900208080	HEISSENBUTTEL,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.10	0.00	4.10	0.0	0.00
10/01/2018 06900208392	FISSELL, SHAWN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.70	0.00	1.70	0.0	0.00
10/01/2018 07000115894	BROWN UGMA TX,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	12.17	0.00	12.17	0.0	0.00
10/03/2018 08009120302	LYNN PARKER	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.21	0.00	0.21	0.0	0.00
10/01/2018 08182639204	ANDERSON, MARK	MFT MFS TOTAL RETURN FUND-A	B	0.00	33.66	0.00	33.66	0.0	0.00
10/01/2018 08187485136	HEUP, MICHAEL	MFT MFS NEW DISCOVERY FUND-B	B	0.00	0.50	0.00	0.50	0.0	0.00
10/01/2018 08187488863	DEPAOLO, RINALDO	MFT MASSACHUSETTS INVESTORS TRUST-B	B	0.00	0.45	0.00	0.45	0.0	0.00
10/01/2018 08188661683	LITTLETON, CLOVER	MFT MFS VALUE FUND-A	B	0.00	2.76	0.00	2.76	0.0	0.00
10/01/2018 08188661687	LITTLETON, CLOVER	MFT MFS CORE EQUITY FUND-A	B	0.00	2.06	0.00	2.06	0.0	0.00
10/01/2018 08188661691	LITTLETON, CLOVER	MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.60	0.00	1.60	0.0	0.00
10/01/2018 08189572800	KEMP, BOB	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	4.68	0.00	4.68	0.0	0.00
10/01/2018 08189572804	KEMP, BOB	MFT MFS RESEARCH FUND-A	B	0.00	4.90	0.00	4.90	0.0	0.00
10/01/2018 08191219059	SOMERS, ELLEN	MFT MFS GROWTH FUND-C	B	0.00	51.48	0.00	51.48	0.0	0.00
10/01/2018 08191219059	SOMERS, ELLEN	MFT MFS UTILITIES FUND-C	B	0.00	32.19	0.00	32.19	0.0	0.00
10/01/2018 08191219059	SOMERS, ELLEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	50.52	0.00	50.52	0.0	0.00
10/01/2018 08191239885	GRIFFITH, EARL	MFT MFS GROWTH FUND-C	B	0.00	13.63	0.00	13.63	0.0	0.00
10/01/2018 08191239885	GRIFFITH, EARL	MFT MFS TECHNOLOGY FUND-C	B	0.00	16.39	0.00	16.39	0.0	0.00
10/01/2018 08191239885	GRIFFITH, EARL	MFT MFS MID CAP VALUE FUND-C	B	0.00	10.61	0.00	10.61	0.0	0.00
10/01/2018 08191239887	GRIFFITH,	MFT MFS GROWTH FUND-C	B	0.00	13.01	0.00	13.01	0.0	0.00
10/01/2018 08191239887	GRIFFITH,	MFT MFS MID CAP VALUE FUND-C	B	0.00	10.49	0.00	10.49	0.0	0.00
10/03/2018 08303694207	COAN, JUDITH	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	0.64	0.00	0.64	0.0	0.00
10/03/2018 08322273206	HIGGINS, STEPHEN	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.23	0.00	0.23	0.0	0.00
10/03/2018 08537500312	DEVINE, MARC	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.29	0.00	0.29	0.0	0.00
10/03/2018 08694039007	OWEN, JAMES	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	11.55	0.00	11.55	0.0	0.00
10/01/2018 08800124310	CARLMAN, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	24.07	0.00	24.07	0.0	0.00
10/01/2018 09890042052	DWYER, KATHI	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	16.99	0.00	16.99	0.0	0.00
10/01/2018 09890045666	STEWART, JAIME	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.61	0.00	0.61	0.0	0.00
10/01/2018 09890060920	SHEAD, CAROLYN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.73	0.00	0.73	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,267.39	\$0.00	\$2,267.39		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/01/2018 (None)	VARIOUS, HOUSE	VAT GENWORTH LIFE	B	0.00	64.02	0.00	64.02	0.0	0.00
09/18/2018 (None)	VARIOUS, HOUSE	VAT ALLSTATE	B	0.00	22.63	0.00	22.63	0.0	0.00
09/23/2018 (None)	ANDRUS, ELAINE	VAT AMERICAN GENERAL	B	0.00	18.89	0.00	18.89	0.0	0.00
09/28/2018 (None)	EIGNUS, CATHERINE	VAT ALLIANZ LIFE	B	0.00	17.80	0.00	17.80	0.0	0.00
09/28/2018 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	618.87	0.00	618.87	0.0	0.00
09/28/2018 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	624.47	0.00	624.47	0.0	0.00
09/28/2018 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	1,158.66	0.00	1,158.66	0.0	0.00
09/29/2018 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	498.38	0.00	498.38	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/29/2018 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	6.84	0.00	6.84	0.0	0.00
09/30/2018 (None)	ARROYO, YUN	VAT AMERICAN GENERAL	B	0.00	5.25	0.00	5.25	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	79.96	0.00	79.96	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	61.10	0.00	61.10	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	128.01	0.00	128.01	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	525.78	0.00	525.78	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	91.64	0.00	91.64	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT MANULIFE	B	0.00	561.45	0.00	561.45	0.0	0.00
09/30/2018 (None)	VAN DER RAAY, JAMES	VAT TALCOTT RESOLUTION	B	0.00	44.31	0.00	44.31	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT TALCOTT RESOLUTION	B	0.00	35.80	0.00	35.80	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT TALCOTT RESOLUTION	B	0.00	1,174.82	0.00	1,174.82	0.0	0.00
09/30/2018 (None)	VARIOUS, HOUSE	VAT TALCOTT RESOLUTION	B	0.00	27.12	0.00	27.12	0.0	0.00
10/05/2018 (None)	VARIOUS, HOUSE	VAT AXA LIFE	B	0.00	482.09	0.00	482.09	0.0	0.00
09/24/2018	VR03066152 BUIST	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	25.77	0.00	25.77	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$6,273.66	\$0.00	\$6,273.66		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$91,516.63	\$8,671.24	\$0.00	\$8,671.24		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00