



COMMISSION STATEMENT

December 24, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/17/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	5,000.00	187.50	0.00	187.50	80.0	150.00
	ACCOUNT #: 00068915116	BUY 182 Units @27.4800						
12/17/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00074487927	BUY 3 Units @27.4800						
12/17/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	5,000.00	187.50	0.00	187.50	80.0	150.00
	ACCOUNT #: 00068915116	BUY 117 Units @42.7100						
12/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @12.9900						
12/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @49.9900						
12/17/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	80.0	4.20
	ACCOUNT #: 00074487927	BUY 4 Units @39.1400						
* MUTUAL FUNDS SUBTOTAL *			\$10,265.00	\$385.36	\$0.00	\$385.36		\$308.29
*** BROKER TRANSACTIONS TOTAL ***			\$10,265.00	\$385.36	\$0.00	\$385.36		\$308.29

BROKER COMMISSION TOTALS

Broker Transactions	\$308.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$308.29
Year-to-date Earnings	\$7,494.14