

# COMMISSION STATEMENT

September 30, 2018

 DARYL ARNETT-D363  
 11736 Marigold Circle

 BROKER #: D363  
 BRANCH: D00

Fishers, IN 46038

## COMMISSION STATEMENT for DARYL ARNETT-D363

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/05/2018	122144748	ARNOLD, JOSEPHINE	MF	AMCAP FUND-C	S	4,799.99	48.00	5.00	43.00	87.5	37.63
09/07/2018	429948278	KIRBY, LINDA	MF	FRANKLIN INCOME FUND CLASS A	S	-3,797.26	0.00	5.00	-5.00	87.5	-4.38
				* MUTUAL FUNDS SUBTOTAL *		\$1,002.73	\$48.00	\$10.00	\$38.00		\$33.25

#### PRODUCT CATEGORY: STOCKS

09/07/2018	429948278	KIRBY, LINDA	S	SALESFORCE.COM INC	S	-989.64	60.00	15.00	45.00	87.5	39.38
				* STOCKS SUBTOTAL *		\$-989.64	\$60.00	\$15.00	\$45.00		\$39.38

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc038408	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	1.00	0.00	1.00	87.5	0.88
09/21/2018	mfc038408	VARIOUS,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	8.63	0.00	8.63	87.5	7.55
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.46	0.00	0.46	87.5	0.40
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.68	0.00	0.68	87.5	0.60
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	6.29	0.00	6.29	87.5	5.50
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	43.31	0.00	43.31	87.5	37.90
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.96	0.00	0.96	87.5	0.84
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10	87.5	0.09
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10	87.5	0.09
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10	87.5	0.09
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.15	0.00	0.15	87.5	0.13
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.17	0.00	0.17	87.5	0.15
09/21/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.86	0.00	0.86	87.5	0.75
09/21/2018	mfc038408	VARIOUS,	MFT	FRANKLIN INCOME FUND CLASS A	B	0.00	0.25	0.00	0.25	87.5	0.22
09/21/2018	mfc038408	VARIOUS,	MFT	JP MORGAN 339128308	B	0.00	1.16	0.00	1.16	87.5	1.02
09/21/2018	mfc038408	VARIOUS,	MFT	MFS GLOBAL TOTAL RETURN FUND-A	B	0.00	1.08	0.00	1.08	87.5	0.95
09/21/2018	mfc038408	VARIOUS,	MFT	MFS MODERATE ALLOCATION FUND-A	B	0.00	1.16	0.00	1.16	87.5	1.02
09/21/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.09	0.00	0.09	87.5	0.08
09/21/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.09	0.00	0.09	87.5	0.08
09/21/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14
09/21/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.44	0.00	0.44	87.5	0.39
09/21/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.47	0.00	0.47	87.5	0.41
09/21/2018	mfc038408	VARIOUS,	MFT	OPPENHEIMER SMALL & MID CAP	B	0.00	1.35	0.00	1.35	87.5	1.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc038408	VARIOUS, MFT OPPENHEIMER SMALL & MID CAP	B	0.00	1.85	0.00	1.85	87.5	1.62
09/21/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05
09/21/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.11	0.00	0.11	87.5	0.10
09/21/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.20	0.00	0.20	87.5	0.18
09/21/2018	mfc038408	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	0.21	0.00	0.21	87.5	0.18
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.06	0.00	0.06	87.5	0.05
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	87.5	0.73
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.10	0.00	1.10	87.5	0.96
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.12	0.00	1.12	87.5	0.98
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.90	0.00	2.90	87.5	2.54
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.66	0.00	10.66	87.5	9.33
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.88	0.00	0.88	87.5	0.77
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.99	0.00	0.99	87.5	0.87
09/21/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.99	0.00	0.99	87.5	0.87
09/24/2018	mfc038408	VARIOUS, MFT AMCAP FUND-A	B	0.00	0.95	0.00	0.95	87.5	0.83
09/24/2018	mfc038408	VARIOUS, MFT AMERICAN MUTUAL FUND-A	B	0.00	8.19	0.00	8.19	87.5	7.17
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	0.44	0.00	0.44	87.5	0.39
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	0.64	0.00	0.64	87.5	0.56
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	0.90	0.00	0.90	87.5	0.79
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	5.90	0.00	5.90	87.5	5.16
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	40.64	0.00	40.64	87.5	35.56
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.14	0.00	0.14	87.5	0.12
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.81	0.00	0.81	87.5	0.71
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.09	0.00	0.09	87.5	0.08
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10	87.5	0.09
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.10	0.00	0.10	87.5	0.09
09/24/2018	mfc038408	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.16	0.00	0.16	87.5	0.14
09/24/2018	mfc038408	VARIOUS, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.24	0.00	0.24	87.5	0.21
09/24/2018	mfc038408	VARIOUS, MFT JP MORGAN 339128308	B	0.00	1.10	0.00	1.10	87.5	0.96
09/24/2018	mfc038408	VARIOUS, MFT MFS GLOBAL TOTAL RETURN FUND-A	B	0.00	1.02	0.00	1.02	87.5	0.89
09/24/2018	mfc038408	VARIOUS, MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	1.09	0.00	1.09	87.5	0.95
09/24/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.08	0.00	0.08	87.5	0.07
09/24/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.08	0.00	0.08	87.5	0.07
09/24/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.15	0.00	0.15	87.5	0.13
09/24/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.41	0.00	0.41	87.5	0.36
09/24/2018	mfc038408	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	0.44	0.00	0.44	87.5	0.39
09/24/2018	mfc038408	VARIOUS, MFT OPPENHEIMER SMALL & MID CAP	B	0.00	1.28	0.00	1.28	87.5	1.12
09/24/2018	mfc038408	VARIOUS, MFT OPPENHEIMER SMALL & MID CAP	B	0.00	1.76	0.00	1.76	87.5	1.54
09/24/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.05	0.00	0.05	87.5	0.04
09/24/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.10	0.00	0.10	87.5	0.09
09/24/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.19	0.00	0.19	87.5	0.17
09/24/2018	mfc038408	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	0.20	0.00	0.20	87.5	0.18
09/24/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	87.5	0.73
09/24/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.94	0.00	0.94	87.5	0.82

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09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.94	0.00	0.94	87.5	0.82
09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.05	0.00	0.05	87.5	0.04
09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.78	0.00	0.78	87.5	0.68
09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.04	0.00	1.04	87.5	0.91
09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.06	0.00	1.06	87.5	0.93
09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.73	0.00	2.73	87.5	2.39
09/24/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.05	0.00	10.05	87.5	8.79
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$176.69</b>	<b>\$0.00</b>	<b>\$176.69</b>		<b>\$154.66</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$13.09</b>	<b>\$284.69</b>	<b>\$25.00</b>	<b>\$259.69</b>		<b>\$227.29</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/20/2018	00067872236	MACRY, RACHAEL	MF NEW PERSPECTIVE FUND-A	B	50.00	0.50	0.00	0.50	87.5	0.44
09/20/2018	00067872236	MACRY, RACHAEL	MF WASHINGTON MUTUAL INVESTORS	B	50.00	0.50	0.00	0.50	87.5	0.44
09/20/2018	00067872239	GALLOWAY, BRANDY	MF WASHINGTON MUTUAL INVESTORS	B	40.00	0.40	0.00	0.40	87.5	0.35
09/20/2018	00067872246	LAND, MATTHEW	MF THE GROWTH FUND OF AMERICA-A	B	25.00	0.25	0.00	0.25	87.5	0.22
09/20/2018	00067872261	ROYAL, PAMELA	MF WASHINGTON MUTUAL INVESTORS	B	35.00	0.35	0.00	0.35	87.5	0.31
09/20/2018	00067872709	SMITH, THAD	MF FUNDAMENTAL INVESTORS-A	B	100.00	1.00	0.00	1.00	87.5	0.88
09/20/2018	00067872710	TOMEY, BRENDA	MF NEW PERSPECTIVE FUND-A	B	5.00	0.05	0.00	0.05	87.5	0.04
09/20/2018	00067872710	TOMEY, BRENDA	MF THE GROWTH FUND OF AMERICA-A	B	10.00	0.10	0.00	0.10	87.5	0.09
09/20/2018	00067872710	TOMEY, BRENDA	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	87.5	0.09
09/20/2018	00067872713	SMITH, CARLIE	MF WASHINGTON MUTUAL INVESTORS	B	100.00	1.00	0.00	1.00	87.5	0.88
<b>* MUTUAL FUNDS SUBTOTAL *</b>					<b>\$425.00</b>	<b>\$4.25</b>	<b>\$0.00</b>	<b>\$4.25</b>		<b>\$3.74</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>					<b>\$425.00</b>	<b>\$4.25</b>	<b>\$0.00</b>	<b>\$4.25</b>		<b>\$3.74</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>* SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$231.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$46.03</b>
<b>Year-to-date Commissions</b>	<b>\$21,708.09</b>