

COMMISSION STATEMENT October 31, 2018

 PAUL WIMBERLY-D073
 13918 Keel Avenue

 BROKER #: D073
 BRANCH: DMN

Corpus Christi, TX 78418

COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/03/2018 677623004	CURTIS, DAVID	S	ISHARES US HOME CONSTRUTION ETF	S	-52,325.07	420.62	15.00	405.62	87.5	354.92
10/03/2018 677623004	CURTIS, DAVID	S	SPDR S&P BIOTECH ETF	S	-62,144.30	494.82	15.00	479.82	87.5	419.84
10/04/2018 677623004	CURTIS, DAVID	S	ISHARES RUSSELL 1000 GROWTH	B	29,542.74	244.72	15.00	229.72	87.5	201.01
10/04/2018 677623004	CURTIS, DAVID	S	S&P NORTH AMERICAN	B	30,113.42	248.97	15.00	233.97	87.5	204.72
10/04/2018 677623004	CURTIS, DAVID	S	SPDR PORTFOLIO S&P GROWTH ETF	B	28,627.79	237.91	15.00	222.91	87.5	195.05
10/04/2018 677623004	CURTIS, DAVID	S	VANGUARD GROWTH ETF (FORMERLY)	B	28,894.69	239.89	15.00	224.89	87.5	196.78
10/25/2018 677623004	CURTIS, DAVID	S	SPDR S&P 600 SMALL CAP GROWTH	S	-30,856.63	15.00	15.00	0.00	87.5	0.00
10/26/2018 677623004	CURTIS, DAVID	S	ISHARES S&P 500 GROWTH INDEX	B	17,683.37	15.00	15.00	0.00	87.5	0.00
10/10/2018 799497978	WIMBERLY, PAUL	S	VANGUARD INDEX FDS S&P 500 ETF	B	130,194.85	15.00	15.00	0.00	87.5	0.00
10/12/2018 799497978	WIMBERLY, PAUL	S	VANGUARD INDEX FDS S&P 500 ETF	S	-126,452.11	15.00	15.00	0.00	87.5	0.00
* STOCKS SUBTOTAL *					\$-6,721.25	\$1,946.93	\$150.00	\$1,796.93		\$1,572.32
PRODUCT CATEGORY: MISCELLANEOUS										
09/27/2018 a03750162	WIMBERLY-D073, PAUL MI	MI	MUTUAL FUND MISC FEE 368491201	S	0.00	5.00	0.00	5.00	87.5	4.38
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$5.00	\$0.00	\$5.00		\$4.38
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/19/2018 mfc750162	WIMBERLY 750162		MFT LARGE CAP EQUITY A	B	0.00	1.81	0.00	1.81	87.5	1.58
10/19/2018 mfc750162	WIMBERLY 750162		MFT NEW PERSPECTIVE FUND-A	B	0.00	1.58	0.00	1.58	87.5	1.38
10/19/2018 mfc750162	WIMBERLY 750162		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.74	0.00	0.74	87.5	0.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$4.13	\$0.00	\$4.13		\$3.61
*** CLEARING TRANSACTIONS TOTAL ***					\$-6,721.25	\$1,956.06	\$150.00	\$1,806.06		\$1,580.31

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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None)	VARIOUS, WIMBERLY	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	16.24	0.00	16.24	87.5	14.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$16.24	\$0.00	\$16.24		\$14.21
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$16.24	\$0.00	\$16.24		\$14.21

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	CUSTOMER WRITE OFF 462157792		0.00	0.00	0.00	0.00		-10.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		107.60
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$42.60
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$42.60

BROKER COMMISSION TOTALS

Broker Transactions	\$1,594.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$42.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,637.12
Year-to-date Commissions	\$18,064.25