

COMMISSION STATEMENT

October 31, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: C30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/22/2018	QGW033466	CUSTODIAN, EMILY	BLUE BIRD CORPORATION COM	S	-1,773.53	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW033466	CUSTODIAN, EMILY	TANDEM DIABETES CARE INC COM NEW	B	4,458.06	85.00	6.00	79.00	80.0	62.00
09/28/2018	QGW033649	ARLENE M FRANCIS,	CATERPILLAR INC	S	-14,300.00	80.73	6.00	74.73	80.0	58.58
10/01/2018	QGW033649	ARLENE M FRANCIS,	NEOGENOMICS INC	B	7,755.00	150.00	6.00	144.00	80.0	114.00
10/02/2018	QGW033649	ARLENE M FRANCIS,	ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00	80.0	34.00
10/05/2018	QGW033649	ARLENE M FRANCIS,	NEOGENOMICS INC	B	4,350.00	85.00	6.00	79.00	80.0	62.00
10/05/2018	QGW033649	ARLENE M FRANCIS,	TANDEM DIABETES CARE INC COM NEW	B	6,988.00	120.00	6.00	114.00	80.0	90.00
10/10/2018	QGW033649	ARLENE M FRANCIS,	TANDEM DIABETES CARE INC COM NEW	B	8,248.40	150.00	6.00	144.00	80.0	114.00
10/19/2018	QGW033649	ARLENE M FRANCIS,	TRANSOCEAN LTD US LISTED	S	-5,500.00	126.06	6.00	120.06	80.0	94.85
10/23/2018	QGW033649	ARLENE M FRANCIS,	BLUE BIRD CORPORATION COM	S	-1,757.21	50.00	6.00	44.00	80.0	34.00
10/02/2018	QGW033763	LYONS, SALLY	ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00	80.0	34.00
10/04/2018	QGW033763	LYONS, SALLY	FIREEYE INC COM	S	-5,209.08	50.00	6.00	44.00	80.0	34.00
10/12/2018	QGW033763	LYONS, SALLY	AMERICAN AIRLINES GROUP INC	B	12,788.00	200.00	6.00	194.00	80.0	154.00
10/17/2018	QGW033763	LYONS, SALLY	AMERICAN AIRLINES GROUP INC	S	-13,780.00	50.00	6.00	44.00	80.0	34.00
10/22/2018	QGW033763	LYONS, SALLY	BLUE BIRD CORPORATION COM	S	-1,776.67	50.00	6.00	44.00	80.0	34.00
10/22/2018	QGW033763	LYONS, SALLY	TANDEM DIABETES CARE INC COM NEW	B	14,284.72	150.00	6.00	144.00	80.0	114.00
10/04/2018	QGW033805	LYONS, SALLY	FIREEYE INC COM	S	-17,312.70	75.00	6.00	69.00	80.0	54.00
10/12/2018	QGW033805	LYONS, SALLY	DELTA AIR LINES INC DEL COM	B	15,768.00	200.00	6.00	194.00	80.0	154.00
10/16/2018	QGW033805	LYONS, SALLY	SQUARE INC CLASS A COM	B	7,416.86	100.00	6.00	94.00	80.0	74.00
10/17/2018	QGW033805	LYONS, SALLY	DELTA AIR LINES INC DEL COM	S	-16,503.00	50.00	6.00	44.00	80.0	34.00
10/17/2018	QGW033805	LYONS, SALLY	SQUARE INC CLASS A COM	S	-8,000.00	50.00	6.00	44.00	80.0	34.00
10/22/2018	QGW033805	LYONS, SALLY	TANDEM DIABETES CARE INC COM NEW	B	12,456.22	150.00	6.00	144.00	80.0	114.00
10/23/2018	QGW033805	LYONS, SALLY	GRAFTECH INTL LTD COM	S	-3,298.44	50.00	6.00	44.00	80.0	34.00
10/24/2018	QGW034761	SYM CZAK, STEPHEN	BLUE BIRD CORPORATION COM	S	-1,715.80	50.00	6.00	44.00	80.0	34.00
10/02/2018	QGW035438	RIZZO, GIOVANNI	ALTRA INDL MOTION CORP COM	S	-9,030.61	50.00	6.00	44.00	80.0	34.00
10/03/2018	QGW035438	RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	B	10,770.03	170.00	6.00	164.00	80.0	130.00
10/04/2018	QGW035438	RIZZO, GIOVANNI	FIREEYE INC COM	S	-17,585.00	75.00	6.00	69.00	80.0	54.00
10/05/2018	QGW035438	RIZZO, GIOVANNI	ROKU INC CLASS A COMMON STOCK	B	13,783.30	160.00	6.00	154.00	80.0	122.00
10/10/2018	QGW035438	RIZZO, GIOVANNI	CENTRAL GARDEN & PET CO CL A NON-VT S		-3,186.15	50.00	6.00	44.00	80.0	34.00
10/15/2018	QGW035438	RIZZO, GIOVANNI	IQIYI INC SPONS ADR ISIN#US46267X10 B		7,781.22	100.00	6.00	94.00	80.0	74.00
10/23/2018	QGW035438	RIZZO, GIOVANNI	BLUE BIRD CORPORATION COM	S	-1,756.31	50.00	6.00	44.00	80.0	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/28/2018	QGW036493	ARLENE M FRANCIS,		CATERPILLAR INC	S	-14,300.00	100.00	6.00	94.00 80.0 74.00
10/01/2018	QGW036493	ARLENE M FRANCIS,		BLUE BIRD CORPORATION COM	B	2,440.35	50.00	6.00	44.00 80.0 34.00
10/01/2018	QGW036493	ARLENE M FRANCIS,		NEOGENOMICS INC	B	7,755.00	150.00	6.00	144.00 80.0 114.00
10/05/2018	QGW036493	ARLENE M FRANCIS,		TANDEM DIABETES CARE INC COM NEW	B	5,241.00	100.00	6.00	94.00 80.0 74.00
10/17/2018	QGW036493	ARLENE M FRANCIS,		NEOGENOMICS INC	B	1,390.29	50.00	6.00	44.00 80.0 34.00
10/10/2018	QGW036519	SINGH, BHUPINDER		CANADIAN SOLAR INC	S	-7,255.00	110.00	6.00	104.00 80.0 82.00
10/10/2018	QGW036519	SINGH, BHUPINDER		IQIYI INC SPONS ADR ISIN#US46267X10	S	-10,384.00	110.00	6.00	104.00 80.0 82.00
10/10/2018	QGW036519	SINGH, BHUPINDER		VIPSHOP HLDGS LTD SPONS ADR ISIN#US	S	-2,560.00	80.00	6.00	74.00 80.0 58.00
10/02/2018	QGW036584	TREIBER, NANCY		ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00 80.0 34.00
10/03/2018	QGW036584	TREIBER, NANCY		KALA PHARMACEUTICALS INC COM	B	4,125.00	0.00	6.00	-6.00 80.0 -6.00
10/03/2018	QGW036584	TREIBER, NANCY		KALA PHARMACEUTICALS INC COM	B	-4,125.00	0.00	-6.00	6.00 80.0 6.00 C
10/05/2018	QGW036584	TREIBER, NANCY		ROKU INC CLASS A COMMON STOCK	B	6,892.40	81.00	6.00	75.00 80.0 58.80
10/05/2018	QGW036584	TREIBER, NANCY		XILINX INC	S	-16,295.30	100.00	6.00	94.00 80.0 74.00
10/10/2018	QGW036584	TREIBER, NANCY		COHERUS BIOSCIENCES INC COM	S	-6,750.25	50.00	6.00	44.00 80.0 34.00
10/12/2018	QGW036584	TREIBER, NANCY		ROKU INC CLASS A COMMON STOCK	B	12,355.84	200.00	6.00	194.00 80.0 154.00
10/23/2018	QGW036584	TREIBER, NANCY		BLUE BIRD CORPORATION COM	S	-1,722.32	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW036584	TREIBER, NANCY		GRAFTECH INTL LTD COM	S	-3,262.64	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW036584	TREIBER, NANCY		INVIVO THERAPEUTICS HOLDINGS CORP C	S	-1,688.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW036584	TREIBER, NANCY		MYND ANALYTICS INC COMMON STOCK	S	-2,562.80	50.00	6.00	44.00 80.0 34.00
10/02/2018	QGW036592	TREIBER, NANCY		ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00 80.0 34.00
10/03/2018	QGW036592	TREIBER, NANCY		KALA PHARMACEUTICALS INC COM	B	4,125.00	0.00	6.00	-6.00 80.0 -6.00
10/05/2018	QGW036592	TREIBER, NANCY		XILINX INC	S	-16,228.28	100.00	6.00	94.00 80.0 74.00
10/10/2018	QGW036592	TREIBER, NANCY		COHERUS BIOSCIENCES INC COM	S	-6,785.00	50.00	6.00	44.00 80.0 34.00
10/10/2018	QGW036592	TREIBER, NANCY		KALA PHARMACEUTICALS INC COM	S	-4,275.05	50.00	6.00	44.00 80.0 34.00
10/12/2018	QGW036592	TREIBER, NANCY		ROKU INC CLASS A COMMON STOCK	B	12,394.56	200.00	6.00	194.00 80.0 154.00
10/15/2018	QGW036592	TREIBER, NANCY		SQUARE INC CLASS A COM	B	14,866.44	150.00	6.00	144.00 80.0 114.00
10/17/2018	QGW036592	TREIBER, NANCY		SQUARE INC CLASS A COM	S	-15,449.68	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW036592	TREIBER, NANCY		BLUE BIRD CORPORATION COM	S	-1,200.01	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW036592	TREIBER, NANCY		TANDEM DIABETES CARE INC COM NEW	B	13,616.56	200.00	6.00	194.00 80.0 154.00
10/01/2018	QGW036600	WOOD, GERALDINE		BLUE BIRD CORPORATION COM	B	2,396.79	50.00	6.00	44.00 80.0 34.00
09/28/2018	QGW036915	CASSERINO, PAULA		BLUE BIRD CORPORATION COM	B	2,371.05	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW036915	CASSERINO, PAULA		BLUE BIRD CORPORATION COM	S	-1,745.10	50.00	6.00	44.00 80.0 34.00
10/05/2018	QGW037020	HENNESSY, DAINEL		BLUE BIRD CORPORATION COM	B	2,496.78	50.00	6.00	44.00 80.0 34.00
10/05/2018	QGW037020	HENNESSY, DAINEL		NEOGENOMICS INC	B	7,250.00	145.00	6.00	139.00 80.0 110.00
10/05/2018	QGW037020	HENNESSY, DAINEL		SUNOCO LP COMMON UNITS REPRESENTING	S	-12,622.50	100.00	6.00	94.00 80.0 74.00
10/02/2018	QGW038705	SAPIO, ROBERTA		ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00 80.0 34.00
10/03/2018	QGW038705	SAPIO, ROBERTA		TANDEM DIABETES CARE INC COM NEW	B	10,790.43	170.00	6.00	164.00 80.0 130.00
10/23/2018	QGW038705	SAPIO, ROBERTA		BLUE BIRD CORPORATION COM	S	-1,741.37	50.00	6.00	44.00 80.0 34.00
10/02/2018	QGW038796	LAPINSKI, CLAIRE		BLUE BIRD CORPORATION COM	B	2,467.57	50.00	6.00	44.00 80.0 34.00
10/10/2018	QGW038796	LAPINSKI, CLAIRE		SACHEM CAPITAL CORP COMMON SHARES	S	-4,375.20	75.00	6.00	69.00 80.0 54.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/10/2018	QGW038804	LOSAPIO, VONCENT	SACHEM CAPITAL CORP COMMON SHARES	S	-5,450.50	75.00	6.00	69.00	80.0	54.00
10/23/2018	QGW038804	LOSAPIO, VONCENT	AMERICAN AIRLINES GROUP INC	B	6,319.80	75.00	6.00	69.00	80.0	54.00
09/28/2018	QGW038812	KOHN, ROBERT	TANDEM DIABETES CARE INC COM NEW	B	13,133.37	190.00	6.00	184.00	80.0	146.00
10/02/2018	QGW038812	KOHN, ROBERT	ALTRA INDL MOTION CORP COM	S	-8,992.50	50.00	6.00	44.00	80.0	34.00
10/16/2018	QGW038812	KOHN, ROBERT	SQUARE INC CLASS A COM	B	11,268.00	100.00	6.00	94.00	80.0	74.00
10/02/2018	QGW039133	MASTERSON, PATRICIA	BLUE BIRD CORPORATION COM	B	2,434.02	50.00	6.00	44.00	80.0	34.00
10/10/2018	QGW039133	MASTERSON, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	S	-9,626.32	75.00	6.00	69.00	80.0	54.00
10/23/2018	QGW039133	MASTERSON, PATRICIA	BLUE BIRD CORPORATION COM	S	-1,738.10	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039133	MASTERSON, PATRICIA	PAYPAL HOLDINGS INC COM	B	8,444.86	100.00	6.00	94.00	80.0	74.00
09/28/2018	QGW039158	MASTERSON, PATRICIA	IQIYI INC SPONS ADR ISIN#US46267X10	S	-13,000.00	209.90	6.00	203.90	80.0	161.92
10/01/2018	QGW039158	MASTERSON, PATRICIA	NEOGENOMICS INC	B	7,776.90	150.00	6.00	144.00	80.0	114.00
10/02/2018	QGW039158	MASTERSON, PATRICIA	ALTRA INDL MOTION CORP COM	S	-8,994.68	50.00	6.00	44.00	80.0	34.00
10/04/2018	QGW039158	MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	B	16,188.30	225.00	6.00	219.00	80.0	174.00
10/10/2018	QGW039158	MASTERSON, PATRICIA	COHERUS BIOSCIENCES INC COM	S	-6,579.10	50.00	6.00	44.00	80.0	34.00
10/19/2018	QGW039158	MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	S	-14,400.00	218.10	6.00	212.10	80.0	168.48
10/22/2018	QGW039158	MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	B	9,123.18	150.00	6.00	144.00	80.0	114.00
10/23/2018	QGW039158	MASTERSON, PATRICIA	BLUE BIRD CORPORATION COM	S	-1,734.81	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039158	MASTERSON, PATRICIA	INVIVO THERAPEUTICS HOLDINGS CORP C S	S	-1,685.20	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039158	MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	B	3,501.44	75.00	6.00	69.00	80.0	54.00
09/28/2018	QGW039398	RAGAZZO, RALPH	VIPSHOP HLDGS LTD SPONS ADR ISIN#US S	S	-3,000.00	86.90	6.00	80.90	80.0	63.52
10/02/2018	QGW039398	RAGAZZO, RALPH	ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00	80.0	34.00
10/04/2018	QGW039398	RAGAZZO, RALPH	FIREEYE INC COM	S	-17,455.50	75.00	6.00	69.00	80.0	54.00
10/12/2018	QGW039398	RAGAZZO, RALPH	DELTA AIR LINES INC DEL COM	B	15,768.00	200.00	6.00	194.00	80.0	154.00
10/15/2018	QGW039398	RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10	B	10,407.92	150.00	6.00	144.00	80.0	114.00
10/17/2018	QGW039398	RAGAZZO, RALPH	DELTA AIR LINES INC DEL COM	S	-16,503.00	50.00	6.00	44.00	80.0	34.00
10/19/2018	QGW039398	RAGAZZO, RALPH	TANDEM DIABETES CARE INC COM NEW	S	-7,200.00	122.71	6.00	116.71	80.0	92.17
10/23/2018	QGW039398	RAGAZZO, RALPH	BLUE BIRD CORPORATION COM	S	-1,756.60	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039398	RAGAZZO, RALPH	TANDEM DIABETES CARE INC COM NEW	B	10,210.68	150.00	6.00	144.00	80.0	114.00
10/24/2018	QGW039398	RAGAZZO, RALPH	CRONOS GROUP INC COM ISIN#CA22717L1	B	4,212.10	100.00	6.00	94.00	80.0	74.00
10/02/2018	QGW039414	RAGAZZO, RALPH	ALTRA INDL MOTION CORP COM	S	-8,055.60	50.00	6.00	44.00	80.0	34.00
10/15/2018	QGW039414	RAGAZZO, RALPH	TANDEM DIABETES CARE INC COM NEW	B	7,326.58	100.00	6.00	94.00	80.0	74.00
09/28/2018	QGW039422	RAGAZZO, KATHLEEN	WHITING PETROLEUM CORPORATION COMMO	S	-25,000.00	100.00	6.00	94.00	80.0	74.00
10/02/2018	QGW039422	RAGAZZO, KATHLEEN	ALTRA INDL MOTION CORP COM	S	-8,959.80	50.00	6.00	44.00	80.0	34.00
10/03/2018	QGW039422	RAGAZZO, KATHLEEN	TANDEM DIABETES CARE INC COM NEW	B	17,978.85	250.00	6.00	244.00	80.0	194.00
10/04/2018	QGW039422	RAGAZZO, KATHLEEN	FIREEYE INC COM	S	-17,560.10	75.00	6.00	69.00	80.0	54.00
10/12/2018	QGW039422	RAGAZZO, KATHLEEN	DELTA AIR LINES INC DEL COM	B	15,768.00	200.00	6.00	194.00	80.0	154.00
10/15/2018	QGW039422	RAGAZZO, KATHLEEN	SQUARE INC CLASS A COM	B	14,860.00	150.00	6.00	144.00	80.0	114.00
10/17/2018	QGW039422	RAGAZZO, KATHLEEN	DELTA AIR LINES INC DEL COM	S	-16,503.00	50.00	6.00	44.00	80.0	34.00
10/17/2018	QGW039422	RAGAZZO, KATHLEEN	SQUARE INC CLASS A COM	S	-16,000.00	50.00	6.00	44.00	80.0	34.00
10/19/2018	QGW039422	RAGAZZO, KATHLEEN	TANDEM DIABETES CARE INC COM NEW	S	-18,000.00	265.79	6.00	259.79	80.0	206.63

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/23/2018	QGW039422	RAGAZZO, KATHLEEN	BLUE BIRD CORPORATION COM	S	-1,755.03	50.00	6.00	44.00	80.0 34.00
10/23/2018	QGW039422	RAGAZZO, KATHLEEN	INVIVO THERAPEUTICS HOLDINGS CORP C	S	-1,728.88	50.00	6.00	44.00	80.0 34.00
10/23/2018	QGW039422	RAGAZZO, KATHLEEN	TANDEM DIABETES CARE INC COM NEW	B	16,941.50	250.00	6.00	244.00	80.0 194.00
10/24/2018	QGW039422	RAGAZZO, KATHLEEN	ROKU INC CLASS A COMMON STOCK	B	27,100.00	300.00	6.00	294.00	80.0 234.00
10/02/2018	QGW039448	PELAK, LAVERNE	ALTRA INDL MOTION CORP COM	S	-9,056.81	50.00	6.00	44.00	80.0 34.00
10/23/2018	QGW039448	PELAK, LAVERNE	BLUE BIRD CORPORATION COM	S	-1,743.07	50.00	6.00	44.00	80.0 34.00
10/23/2018	QGW039448	PELAK, LAVERNE	TANDEM DIABETES CARE INC COM NEW	B	7,087.70	120.00	6.00	114.00	80.0 90.00
10/04/2018	QGW039455	BIELINKI, LOUISE	FIREEYE INC COM	S	-10,546.62	50.00	6.00	44.00	80.0 34.00
10/02/2018	QGW039463	BIELINKI, LOUISE	ALTRA INDL MOTION CORP COM	S	-9,055.26	50.00	6.00	44.00	80.0 34.00
10/02/2018	QGW039828	BIELINKI, LOUIS	ALTRA INDL MOTION CORP COM	S	-9,086.87	50.00	6.00	44.00	80.0 34.00
10/17/2018	QGW039836	DELL, COLLEEN	SQUARE INC CLASS A COM	B	7,651.99	85.00	6.00	79.00	80.0 62.00
10/17/2018	QGW039836	DELL, COLLEEN	SUNOCO LP COMMON UNITS REPRESENTING	B	6,487.67	100.00	6.00	94.00	80.0 74.00
10/05/2018	QGW040263	CASALE, MARC	BLUE BIRD CORPORATION COM	B	2,496.78	10.00	6.00	4.00	80.0 2.00
* STOCKS SUBTOTAL *					\$-100,427.64	\$11,631.19	\$732.00	\$10,899.19	\$8,572.95

PRODUCT CATEGORY: OPTIONS

10/23/2018	QGW033649	ARLENE M FRANCIS,		CALL 100 NIC INC COM EXP 04-18-19@1 S		-450.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW033763	LYONS, SALLY		CALL 100 TANDEM DIABETES CARE INC C S		-780.00	50.00	6.00	44.00 80.0 34.00
09/28/2018	QGW033805	LYONS, SALLY		CALL 100 XILINX INC COM EXP 10-12-1 S		-192.00	50.00	6.00	44.00 80.0 34.00
10/16/2018	QGW033805	LYONS, SALLY		CALL 100 XILINX INC COM EXP 11-02-1 S		-360.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW033805	LYONS, SALLY		CALL 100 NIC INC COM EXP 04-18-19@1 S		-850.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW033805	LYONS, SALLY		CALL 100 NIC INC COM EXP 04-18-19@1 S		850.00	-50.00	-6.00	-44.00 80.0 -34.00 C
10/23/2018	QGW033805	LYONS, SALLY		CALL 100 NIC INC COM EXP 04-18-19@1 S		-850.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW033805	LYONS, SALLY		CALL 100 TANDEM DIABETES CARE INC C S		-600.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW033805	LYONS, SALLY		CALL 100 TANDEM DIABETES CARE INC C S		600.00	-50.00	-6.00	-44.00 80.0 -34.00 C
10/23/2018	QGW033805	LYONS, SALLY		CALL 100 TANDEM DIABETES CARE INC C S		-600.00	50.00	6.00	44.00 80.0 34.00
10/24/2018	QGW034761	SYM CZAK, STEPHEN		CALL 100 CANADIAN SOLAR INC COM ISI S		-440.00	50.00	6.00	44.00 80.0 34.00
10/24/2018	QGW034761	SYM CZAK, STEPHEN		CALL 100 NIC INC COM EXP 04-18-19@1 S		-850.00	50.00	6.00	44.00 80.0 34.00
10/24/2018	QGW034761	SYM CZAK, STEPHEN		CALL 100 PAYPAL HLDGS INC COM EXP 1 S		-242.00	50.00	6.00	44.00 80.0 34.00
09/28/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 XILINX INC COM EXP 10-12-1 S		-236.00	50.00	6.00	44.00 80.0 34.00
10/10/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 ROKU INC COM CL A EXP 10-1 S		-332.00	50.00	6.00	44.00 80.0 34.00
10/10/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 TANDEM DIABETES CARE INC C S		-192.00	50.00	6.00	44.00 80.0 34.00
10/12/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 TANDEM DIABETES CARE INC C B		228.00	30.00	6.00	24.00 80.0 18.00
10/16/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 XILINX INC COM EXP 11-02-1 S		-352.00	50.00	6.00	44.00 80.0 34.00
10/17/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 IQIYI INC SPONS ADR ISIN#U S		-189.00	50.00	6.00	44.00 80.0 34.00
10/17/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 TANDEM DIABETES CARE INC C S		-360.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 ROKU INC COM CL A EXP 11-0 S		-304.00	50.00	6.00	44.00 80.0 34.00
10/23/2018	QGW035438	RIZZO, GIOVANNI		CALL 100 TANDEM DIABETES CARE INC C S		-315.00	50.00	6.00	44.00 80.0 34.00
10/01/2018	QGW036493	ARLENE M FRANCIS,		CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-140.00	50.00	6.00	44.00 80.0 34.00
10/10/2018	QGW036584	TREIBER, NANCY		CALL 100 COHERUS BIOSCIENCES INC CO B		1,275.00	50.00	6.00	44.00 80.0 34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
10/23/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 11-0 S	-405.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW036584	TREIBER, NANCY	CALL 100	NEOGENOMICS INC COM NEW EX S	-450.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW036584	TREIBER, NANCY	CALL 100	TEVA PHARMACEUTICAL INDUST S	-500.00	50.00	6.00	44.00	80.0	34.00
10/10/2018	QGW036592	TREIBER, NANCY	CALL 100	COHERUS BIOSCIENCES INC CO B	1,150.00	50.00	6.00	44.00	80.0	34.00
10/17/2018	QGW036592	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 10-1 S	-210.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW036592	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 11-0 S	-278.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW036915	CASSERINO, PAULA	CALL 100	NIC INC COM EXP 04-18-19@1 S	-528.00	50.00	6.00	44.00	80.0	34.00
09/28/2018	QGW038705	SAPIO, ROBERTA	CALL 100	XILINX INC COM EXP 10-12-1 S	-252.00	50.00	6.00	44.00	80.0	34.00
10/05/2018	QGW038705	SAPIO, ROBERTA	CALL 100	TANDEM DIABETES CARE INC C S	-270.00	50.00	6.00	44.00	80.0	34.00
10/16/2018	QGW038705	SAPIO, ROBERTA	CALL 100	XILINX INC COM EXP 11-02-1 S	-322.00	50.00	6.00	44.00	80.0	34.00
10/17/2018	QGW038705	SAPIO, ROBERTA	CALL 100	TANDEM DIABETES CARE INC C S	-327.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW038705	SAPIO, ROBERTA	CALL 100	CELGENE CORP EXP 04-18-19@ S	-340.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW038705	SAPIO, ROBERTA	CALL 100	SPLUNK INC COM EXP 01-18-1 S	-685.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW038705	SAPIO, ROBERTA	CALL 100	TANDEM DIABETES CARE INC C S	-300.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW038705	SAPIO, ROBERTA	CALL 100	VUZIX CORP COM NEW EXP 04- S	-600.00	50.00	6.00	44.00	80.0	34.00
10/01/2018	QGW038812	KOHN, ROBERT	CALL 100	TANDEM DIABETES CARE INC C S	-189.00	50.00	6.00	44.00	80.0	34.00
10/08/2018	QGW038812	KOHN, ROBERT	CALL 100	TANDEM DIABETES CARE INC C S	-180.00	50.00	6.00	44.00	80.0	34.00
10/08/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-410.00	50.00	6.00	44.00	80.0	34.00
10/16/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-2,040.00	50.00	6.00	44.00	80.0	34.00
10/22/2018	QGW038812	KOHN, ROBERT	CALL 100	TANDEM DIABETES CARE INC C S	-255.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW038812	KOHN, ROBERT	CALL 100	NEOGENOMICS INC COM NEW EX S	-450.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW038812	KOHN, ROBERT	CALL 100	NIC INC COM EXP 04-18-19@1 S	-450.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW038812	KOHN, ROBERT	CALL 100	SPLUNK INC COM EXP 12-21-1 S	-400.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW038812	KOHN, ROBERT	CALL 100	TWITTER INC COM EXP 12-07- S	-296.00	50.00	6.00	44.00	80.0	34.00
09/28/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	XILINX INC COM EXP 10-12-1 S	-246.00	50.00	6.00	44.00	80.0	34.00
10/10/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	COHERUS BIOSCIENCES INC CO B	1,225.00	50.00	6.00	44.00	80.0	34.00
10/10/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	TANDEM DIABETES CARE INC C S	-428.00	50.00	6.00	44.00	80.0	34.00
10/16/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	XILINX INC COM EXP 11-02-1 S	-314.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	SPLUNK INC COM EXP 01-18-1 S	-675.00	50.00	6.00	44.00	80.0	34.00
10/01/2018	QGW039398	RAGAZZO, RALPH	CALL 100	TANDEM DIABETES CARE INC C S	-130.00	50.00	6.00	44.00	80.0	34.00
10/03/2018	QGW039398	RAGAZZO, RALPH	CALL 100	TANDEM DIABETES CARE INC C B	30.00	25.00	6.00	19.00	80.0	14.00
10/10/2018	QGW039398	RAGAZZO, RALPH	CALL 100	TANDEM DIABETES CARE INC C S	-182.00	50.00	6.00	44.00	80.0	34.00
10/17/2018	QGW039398	RAGAZZO, RALPH	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-260.00	50.00	6.00	44.00	80.0	34.00
10/22/2018	QGW039414	RAGAZZO, RALPH	CALL 100	TANDEM DIABETES CARE INC C S	-338.00	50.00	6.00	44.00	80.0	34.00
09/28/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	XILINX INC COM EXP 10-12-1 S	-256.00	50.00	6.00	44.00	80.0	34.00
10/10/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	TANDEM DIABETES CARE INC C S	-475.00	50.00	6.00	44.00	80.0	34.00
10/16/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	XILINX INC COM EXP 11-02-1 S	-316.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	DROPBOX INC CL A EXP 11-09 S	-895.00	50.00	6.00	44.00	80.0	34.00
10/23/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-261.00	50.00	6.00	44.00	80.0	34.00
10/26/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	NEOGENOMICS INC COM NEW EX S	-450.00	50.00	6.00	44.00	80.0	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

* OPTIONS SUBTOTAL *	\$-18,639.00	\$2,955.00	\$360.00	\$2,595.00	\$2,004.00
*** BROKER TRANSACTIONS TOTAL ***	\$-119,066.64	\$14,586.19	\$1,092.00	\$13,494.19	\$10,576.95

ADJUSTMENTS

10/31/2018	COMMISSION INCOME: KALA PHARMACEUIC	0.00	0.00	0.00	0.00	33.41
10/31/2018	OTHER: 360 OFFICE	0.00	0.00	0.00	0.00	-8.00
10/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-124.59

BROKER COMMISSION TOTALS

Broker Transactions	\$10,576.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-124.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-18.23
SIPC Assessment	\$-36.47

Check Amount	\$10,397.66
Year-to-date Earnings	\$131,422.01