



COMMISSION STATEMENT

October 08, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/05/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @35.3900						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @35.3900						
10/05/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @35.3900						
10/05/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @35.3900						
10/02/2018	***-**-0521 - CRUMRINE, MONICA	AMERICAN BALANCED FUND-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @29.4700						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @28.4200						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @28.4200						
10/05/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @13.0300						
10/05/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @13.0300						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN FUNDS 2025 TARGET DATE-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 8 Units @14.4700						
10/05/2018	***-**-4547 - NORWOOD, CLINTON	AMERICAN FUNDS 2025 TARGET DATE-A	300.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00083594601	BUY 21 Units @14.4900						
10/02/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2030 TARGET DATE-A	15.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 04000501282	BUY 1 Units @15.6500						
10/02/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2035 TARGET DATE-A	15.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 04000501282	BUY 1 Units @16.2700						
10/02/2018	***-**-4719 - MARTIN, KRISTY	AMERICAN FUNDS 2035 TARGET DATE-A	126.77	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: 00088870706	BUY 8 Units @16.4900						
10/02/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2040 TARGET DATE-A	20.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 04000501282	BUY 1 Units @16.7200						
10/02/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2040 TARGET DATE-A	80.00	4.00	0.00	4.00	75.0	3.00
	ACCOUNT #: 04000747642	BUY 5 Units @16.9400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/02/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2045 TARGET DATE-A	20.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 04000747642	BUY 1 Units @17.2400						
10/05/2018	***-**-627J - KALWARA, TREVOR	AMERICAN FUNDS 2045 TARGET DATE-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 04000868759	BUY 6 Units @16.9500						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN HIGH-INCOME TRUST-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 11 Units @10.6700						
10/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	2.18	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @59.9600						
10/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @59.9600						
10/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @59.9600						
10/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @59.9600						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	CAPITAL INCOME BUILDER-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @63.2300						
10/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.62	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @18.6700						
10/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @18.6700						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	CAPITAL WORLD GROWTH AND INCOME FUN	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @53.4000						
10/02/2018	***-**-0521 - CRUMRINE, MONICA	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00084226912	BUY 0 Units @53.6800						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @51.4300						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @51.4300						
10/05/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @51.4300						
10/05/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @51.4300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	2.18	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @49.6000						
10/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @49.6000						
10/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @49.6000						
10/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @49.6000						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	FUNDAMENTAL INVESTORS-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @68.5700						
10/02/2018	***-**-1001 - FLAHERTY, KELLY	FUNDAMENTAL INVESTORS-A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00081241053	BUY 0 Units @69.4700						
10/05/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @66.2300						
10/05/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @66.2300						
10/05/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @64.2200						
10/05/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @64.2200						
10/05/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.7900						
10/05/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.7900						
10/05/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @46.6600						
10/05/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @46.6600						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	NEW WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @66.5900						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @63.4800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/05/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @63.4800						
10/05/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @59.4700						
10/05/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @59.4700						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	SMALLCAP WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @62.0700						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @59.3400						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @59.3400						
10/05/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @59.3400						
10/05/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @59.3400						
10/05/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.5900						
10/05/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @50.5900						
10/02/2018	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @12.9600						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	THE BOND FUND OF AMERICA-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 9 Units @12.9600						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.6700						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.6700						
10/05/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	2.17	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.3500						
10/05/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.3500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/05/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.3500						
10/05/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.3500						
10/02/2018	***-**-2771 - CESARE, CYNTHIA	THE GROWTH FUND OF AMERICA-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @59.2500						
10/02/2018	***-**-0521 - CRUMRINE, MONICA	THE GROWTH FUND OF AMERICA-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @59.5600						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @56.8000						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @56.8000						
10/05/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @56.8000						
10/05/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @56.8000						
10/05/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	2.18	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @51.0600						
10/05/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @51.0600						
10/05/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @51.0600						
10/05/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @51.0600						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @23.6700						
10/05/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.6700						
10/05/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @23.6700						
10/05/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @23.6700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/02/2018	***-**-2771 - CESARE, CYNTHIA	THE NEW ECONOMY FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @51.1200						
10/05/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.16	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.1100						
10/05/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.1100						
10/05/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @47.8700						
10/05/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @47.8700						
* MUTUAL FUNDS SUBTOTAL *			\$3,094.92	\$98.09	\$0.00	\$98.09		\$73.67
PRODUCT CATEGORY: STOCKS								
10/02/2018	***-**-76IN - KRAWIEC, PETER	CISCO SYSTEMS INC	6,846.00	40.00	0.00	40.00	75.0	30.00
	ACCOUNT #: LSM000204	SELL 140 Units @48.9000						
* STOCKS SUBTOTAL *			\$6,846.00	\$40.00	\$0.00	\$40.00		\$30.00
PRODUCT CATEGORY: RIA								
10/08/2018	***-**-75FB - BRIGGS, LYNDIA	JEFFERSON NATIONAL RIA FEE	0.00	205.30	0.00	205.30	75.0	153.98
		BUY 1 Units @0.0000						
10/05/2018	***-**-15FB - KHAN, AFSAR	LIFEMARK INVESTMENT ADVISORY FEE	1,213.39	1,213.39	0.00	1,213.39	75.0	910.04
	ACCOUNT #: 925318399	BUY 0 Units @0.0000						
10/05/2018	***-**-15FB - KHAN, AFSAR	LIFEMARK INVESTMENT ADVISORY FEE	286.20	286.20	0.00	286.20	75.0	214.65
	ACCOUNT #: 925330573	BUY 0 Units @0.0000						
10/05/2018	***-**-38FB - WENGERT, CRAIG	LIFEMARK INVESTMENT ADVISORY FEE	526.94	526.94	0.00	526.94	75.0	395.21
	ACCOUNT #: 925475478	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$2,026.53	\$2,231.83	\$0.00	\$2,231.83		\$1,673.88
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/30/2018	***-**-0357 - ALLEN, PAMELA	CAPITAL APPRECIATION A	0.00	13.41	0.00	13.41	75.0	10.06
	ACCOUNT #: 02706293518	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	CAPITAL APPRECIATION A	0.00	3.69	0.00	3.69	75.0	2.77
	ACCOUNT #: 03208816148	BUY 0 Units @0.0000						



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JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-01IN - MARNELL, CARMEL	CAPITAL APPRECIATION A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03212148367	BUY 0 Units @0.0000						
09/30/2018	***-**-7437 - FERRONE, KAREN	CAPITAL APPRECIATION A	0.00	3.02	0.00	3.02	75.0	2.27
	ACCOUNT #: 08850976327	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	CAPITAL APPRECIATION C	0.00	64.66	0.00	64.66	75.0	48.50
	ACCOUNT #: 03220595958	BUY 0 Units @0.0000						
09/30/2018	***-**-3335 - SPENCER, DAVID	CAPITAL APPRECIATION C	0.00	24.80	0.00	24.80	75.0	18.60
	ACCOUNT #: 03220677006	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL APPRECIATION C	0.00	24.82	0.00	24.82	75.0	18.62
	ACCOUNT #: 03221003563	BUY 0 Units @0.0000						
09/30/2018	***-**-9311 - YOZZO, JOSEPH	CAPITAL APPRECIATION C	0.00	5.59	0.00	5.59	75.0	4.19
	ACCOUNT #: 08870120626	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	CAPITAL APPRECIATION C	0.00	46.93	0.00	46.93	75.0	35.20
	ACCOUNT #: 03430002783	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	CAPITAL INCOME A	0.00	10.82	0.00	10.82	75.0	8.12
	ACCOUNT #: 03450598378	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	CORE BOND FND C	0.00	7.31	0.00	7.31	75.0	5.48
	ACCOUNT #: 02870149193	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	CORE BOND FND C	0.00	3.57	0.00	3.57	75.0	2.68
	ACCOUNT #: 02870496166	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	CORE BOND FND C	0.00	23.46	0.00	23.46	75.0	17.60
	ACCOUNT #: 05370001133	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	CORE BOND FND C	0.00	17.52	0.00	17.52	75.0	13.14
	ACCOUNT #: 05370001144	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	DISCOVERY FUND C	0.00	13.93	0.00	13.93	75.0	10.45
	ACCOUNT #: 07470379137	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	DISCOVERY FUND C	0.00	61.83	0.00	61.83	75.0	46.37
	ACCOUNT #: 07470380193	BUY 0 Units @0.0000						
09/30/2018	***-**-01IN - MARNELL, CARMEL	EMERGING MARKETS INNOVATORS FUND A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 00100109770	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	EQUITY INCOME FUND, INC. A	0.00	9.11	0.00	9.11	75.0	6.83
	ACCOUNT #: 02401590974	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-8166 - SUYDAM, JERRY	EQUITY INCOME FUND, INC. C	0.00	5.88	0.00	5.88	75.0	4.41
	ACCOUNT #: 08370430485	BUY 0 Units @0.0000						
09/30/2018	***-**-7437 - FERRONE, KAREN	GLOBAL FUND A	0.00	2.91	0.00	2.91	75.0	2.18
	ACCOUNT #: 03300536461	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	GLOBAL FUND A	0.00	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: 03304237664	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	GLOBAL FUND A	0.00	3.09	0.00	3.09	75.0	2.32
	ACCOUNT #: 03306186435	BUY 0 Units @0.0000						
09/30/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	0.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
09/30/2018	***-**-01IN - MARNELL, CARMEL	GLOBAL FUND A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03308022016	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	GLOBAL FUND A	0.00	3.69	0.00	3.69	75.0	2.77
	ACCOUNT #: 03309630555	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	GLOBAL OPPORTUNITIES A	0.00	11.22	0.00	11.22	75.0	8.42
	ACCOUNT #: 02154347618	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	LIMITED TERM GOVERNMENT A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 08552948099	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	MAIN STREET FUND A	0.00	11.33	0.00	11.33	75.0	8.50
	ACCOUNT #: 07001196605	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND A	0.00	2.35	0.00	2.35	75.0	1.76
	ACCOUNT #: 07002590602	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	MAIN STREET FUND A	0.00	3.96	0.00	3.96	75.0	2.97
	ACCOUNT #: 07002853271	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	MAIN STREET FUND A	0.00	8.35	0.00	8.35	75.0	6.26
	ACCOUNT #: 07006458160	BUY 0 Units @0.0000						
09/30/2018	***-**-9311 - YOZZO, JOSEPH	MAIN STREET FUND C	0.00	3.48	0.00	3.48	75.0	2.61
	ACCOUNT #: 07022553287	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	MAIN STREET FUND C	0.00	14.72	0.00	14.72	75.0	11.04
	ACCOUNT #: 07022553320	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	MAIN STREET FUND C	0.00	87.71	0.00	87.71	75.0	65.78
	ACCOUNT #: 07022555509	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-3335 - SPENCER, DAVID	MAIN STREET FUND C	0.00	27.05	0.00	27.05	75.0	20.29
	ACCOUNT #: 07022642860	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND C	0.00	17.62	0.00	17.62	75.0	13.22
	ACCOUNT #: 07024172894	BUY 0 Units @0.0000						
09/30/2018	***-**-8166 - SUYDAM, JERRY	MAIN STREET FUND C	0.00	5.51	0.00	5.51	75.0	4.13
	ACCOUNT #: 07024172916	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	MAIN STREET FUND C	0.00	78.02	0.00	78.02	75.0	58.52
	ACCOUNT #: 07022555531	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPP ROCHSTR SH TRM MUNI FND A	0.00	33.64	0.00	33.64	75.0	25.23
	ACCOUNT #: 06210038368	BUY 0 Units @0.0000						
09/30/2018	***-**-7437 - FERRONE, KAREN	OPPENHEIMER CORE BOND FUND A	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: 02850911702	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND A	0.00	1.95	0.00	1.95	75.0	1.46
	ACCOUNT #: 02851530067	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	OPPENHEIMER CORE BOND FUND A	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: 02851937375	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER CORE BOND FUND A	0.00	2.68	0.00	2.68	75.0	2.01
	ACCOUNT #: 02852069034	BUY 0 Units @0.0000						
09/30/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
09/30/2018	***-**-011N - MARNELL, CARMEL	OPPENHEIMER CORE BOND FUND A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 02852540989	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	16.30	0.00	16.30	75.0	12.23
	ACCOUNT #: 07860681693	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DISCOVERY CLASS A	0.00	18.18	0.00	18.18	75.0	13.64
	ACCOUNT #: 05004466528	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	OPPENHEIMER GLOBAL CLASS C	0.00	15.90	0.00	15.90	75.0	11.93
	ACCOUNT #: 03320553916	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER GLOBAL CLASS C	0.00	65.87	0.00	65.87	75.0	49.40
	ACCOUNT #: 03320555797	BUY 0 Units @0.0000						
09/30/2018	***-**-9311 - YOZZO, JOSEPH	OPPENHEIMER GLOBAL CLASS C	0.00	9.73	0.00	9.73	75.0	7.30
	ACCOUNT #: 03321360260	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	0.00	17.89	0.00	17.89	75.0	13.42
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER GLOBAL CLASS C	0.00	107.82	0.00	107.82	75.0	80.87
	ACCOUNT #: 03320555830	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER INT'L BOND CLASS C	0.00	18.43	0.00	18.43	75.0	13.82
	ACCOUNT #: 08820644567	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.67	0.00	3.67	75.0	2.75
	ACCOUNT #: 01952306227	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	4.53	0.00	4.53	75.0	3.40
	ACCOUNT #: 01952779766	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	2.98	0.00	2.98	75.0	2.24
	ACCOUNT #: 01970270413	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	16.98	0.00	16.98	75.0	12.74
	ACCOUNT #: 01970376552	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	16.04	0.00	16.04	75.0	12.03
	ACCOUNT #: 01970011044	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.89	0.00	3.89	75.0	2.92
	ACCOUNT #: 01970376563	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	16.07	0.00	16.07	75.0	12.05
	ACCOUNT #: 01971060983	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	5.58	0.00	5.58	75.0	4.19
	ACCOUNT #: 07314176381	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	60.84	0.00	60.84	75.0	45.63
	ACCOUNT #: 07330263890	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	22.36	0.00	22.36	75.0	16.77
	ACCOUNT #: 07331164691	BUY 0 Units @0.0000						
09/30/2018	***-**-8166 - SUYDAM, JERRY	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	5.43	0.00	5.43	75.0	4.07
	ACCOUNT #: 07331164724	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	52.89	0.00	52.89	75.0	39.67
	ACCOUNT #: 07330263912	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	6.32	0.00	6.32	75.0	4.74
	ACCOUNT #: 08490783794	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	9.25	0.00	9.25	75.0	6.94
	ACCOUNT #: 08490783816	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	14.30	0.00	14.30	75.0	10.73
	ACCOUNT #: 02270728079	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	26.95	0.00	26.95	75.0	20.21
	ACCOUNT #: 02271217425	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	29.72	0.00	29.72	75.0	22.29
	ACCOUNT #: 05620002497	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	31.77	0.00	31.77	75.0	23.83
	ACCOUNT #: 02913858756	BUY 0 Units @0.0000						
09/30/2018	***-**-7437 - FERRONE, KAREN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	2.73	0.00	2.73	75.0	2.05
	ACCOUNT #: 02512098437	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	2.36	0.00	2.36	75.0	1.77
	ACCOUNT #: 02514169231	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	5.88	0.00	5.88	75.0	4.41
	ACCOUNT #: 02514813402	BUY 0 Units @0.0000						
09/30/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
09/30/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: 02515071616	BUY 0 Units @0.0000						
09/30/2018	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	8.48	0.00	8.48	75.0	6.36
	ACCOUNT #: 02510909909	BUY 0 Units @0.0000						
09/30/2018	***-**-2562 - SPENCER, IRENE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	42.81	0.00	42.81	75.0	32.11
	ACCOUNT #: 02303109887	BUY 0 Units @0.0000						
09/30/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: 02307440689	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: 02203270157	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED A	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 02575870139	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	QUEST BALANCED A	0.00	1.70	0.00	1.70	75.0	1.28
	ACCOUNT #: 02576135404	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-3569 - YOZZO, ROSA	QUEST BALANCED FUND C	0.00	8.26	0.00	8.26	75.0	6.20
	ACCOUNT #: 02590391138	BUY 0 Units @0.0000						
09/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED FUND C	0.00	12.71	0.00	12.71	75.0	9.53
	ACCOUNT #: 02592264273	BUY 0 Units @0.0000						
09/30/2018	***-**-8166 - SUYDAM, JERRY	QUEST BALANCED FUND C	0.00	4.95	0.00	4.95	75.0	3.71
	ACCOUNT #: 02592264306	BUY 0 Units @0.0000						
09/30/2018	***-**-9311 - YOZZO, JOSEPH	QUEST OPPORTUNITY VALUE FUND C	0.00	6.00	0.00	6.00	75.0	4.50
	ACCOUNT #: 02380607024	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	QUEST OPPORTUNITY VALUE FUND C	0.00	9.23	0.00	9.23	75.0	6.92
	ACCOUNT #: 02380837243	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	REAL ESTATE FUND A	0.00	1.62	0.00	1.62	75.0	1.22
	ACCOUNT #: 05900887128	BUY 0 Units @0.0000						
09/30/2018	***-**-8084 - YANGINSKI,	REAL ESTATE FUND A	0.00	0.97	0.00	0.97	75.0	0.73
	ACCOUNT #: 05901010823	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	REAL ESTATE FUND C	0.00	1.24	0.00	1.24	75.0	0.93
	ACCOUNT #: 05920131582	BUY 0 Units @0.0000						
09/30/2018	***-**-0357 - ALLEN, PAMELA	RISING DIVIDENDS FUND A	0.00	10.95	0.00	10.95	75.0	8.21
	ACCOUNT #: 02254343295	BUY 0 Units @0.0000						
09/30/2018	***-**-01IN - MARNELL, CARMEL	RISING DIVIDENDS FUND A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 02254838053	BUY 0 Units @0.0000						
09/30/2018	***-**-3503 - SPINELLA,	SELECT VALUE C	0.00	24.33	0.00	24.33	75.0	18.25
	ACCOUNT #: 06020038852	BUY 0 Units @0.0000						
09/30/2018	***-**-3569 - YOZZO, ROSA	SELECT VALUE C	0.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: 06020063217	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	SELECT VALUE C	0.00	22.44	0.00	22.44	75.0	16.83
	ACCOUNT #: 06020038863	BUY 0 Units @0.0000						
09/30/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
09/30/2018	***-**-9443 - SPINELLA, JOHN	SMALL & MID-CAP GRWTH FND C	0.00	68.72	0.00	68.72	75.0	51.54
	ACCOUNT #: 07230002222	BUY 0 Units @0.0000						
09/30/2018	***-**-01IN - MARNELL, CARMEL	SMALL CAP VALUE FUND A	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: 00100051250	BUY 0 Units @0.0000						



COMMISSION STATEMENT

October 08, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-3503 - SPINELLA, ACCOUNT #: 02531090265	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	13.22	0.00	13.22	75.0	9.92
09/30/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 02321477630	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	18.75	0.00	18.75	75.0	14.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,539.69	\$0.00	\$1,539.69		\$1,154.89
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/28/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 711194580	HARTFORD LIFE CUSIP 416595403 BUY 0 Units @0.0000	0.00	63.20	0.00	63.20	75.0	47.40
09/28/2018	***-**-7826 - SCHMIDT, PAUL ACCOUNT #: 711480703	HARTFORD LIFE CUSIP 41659P730 BUY 0 Units @0.0000	0.00	95.40	0.00	95.40	75.0	71.55
09/28/2018	***-**-0545 - MCCLOUD, ACCOUNT #: 711661877	HARTFORD VA TRAILS 41659P714 BUY 0 Units @0.0000	0.00	165.47	0.00	165.47	75.0	124.10
09/28/2018	***-**-0461 - MCGUINNES, ACCOUNT #: 712257725	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	169.75	0.00	169.75	75.0	127.31
09/28/2018	***-**-0684 - MCCLOUD, MARRON ACCOUNT #: 712306430	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	103.81	0.00	103.81	75.0	77.86
09/28/2018	***-**-6895 - ROBILOTTO, MARIE ACCOUNT #: 712358071	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	34.03	0.00	34.03	75.0	25.52
09/28/2018	***-**-6895 - ROBILOTTO, MARIE ACCOUNT #: 712361142	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	2.89	0.00	2.89	75.0	2.17
09/28/2018	***-**-7266 - ZUMPANO, ANDREA ACCOUNT #: 712401748	HARTFORD VA TRAILS 41659Q472 BUY 0 Units @0.0000	0.00	134.20	0.00	134.20	75.0	100.65
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$768.75	\$0.00	\$768.75		\$576.56
*** BROKER TRANSACTIONS TOTAL ***			\$11,967.45	\$4,678.36	\$0.00	\$4,678.36		\$3,509.00



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
						<u>BROKER COMMISSION TOTALS</u>			
						Broker Transactions		\$3,509.00	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$69.19	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$3,578.19	
						Year-to-date Earnings		\$66,710.64	