

COMMISSION STATEMENT

October 31, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/23/2018	214755472	SERVICES INC,	S	SPDR S&P 500 ETF TRUST	B	1,503.15	0.00	16.00	-16.00 0.0 0.00
10/24/2018	214755472	SERVICES INC,	S	SPDR S&P 500 ETF TRUST	S	-1,557.91	0.00	16.00	-16.00 0.0 0.00
10/02/2018	307127824	GUNTER, CAROLINE	S	ACURA PHARMACEUTICALS INC PAR	S	-9.07	0.00	15.00	-15.00 0.0 0.00
10/02/2018	338855707	HICKMAN, MARK	S	GLOBALSCAPE INC	S	-15.19	15.00	15.00	0.00 0.0 0.00
10/19/2018	491460657	MOORES, MICHAEL	S	BLACKROCK MUNIHOLDINGS QUALITY	S	-39,898.13	15.00	15.00	0.00 0.0 0.00
10/19/2018	491460657	MOORES, MICHAEL	S	BLACKROCK MUNIHOLDINGS INVT	S	-41,936.50	15.00	15.00	0.00 0.0 0.00
10/19/2018	491460657	MOORES, MICHAEL	S	NGL ENERGY PARTNERS LP	B	115,360.45	15.00	15.00	0.00 0.0 0.00
10/02/2018	707344427	TYLER, MICHAEL	S	REGENCY AFFILIATES INC COM PAR	S	-12.99	15.00	15.00	0.00 0.0 0.00
10/02/2018	800058707	WHITE, D & P	S	ACURA PHARMACEUTICALS INC PAR	S	-23.35	15.00	15.00	0.00 0.0 0.00
				* STOCKS SUBTOTAL *		\$33,410.46	\$90.00	\$137.00	\$-47.00 \$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.39	0.00	0.39	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.13	0.00	2.13	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.45	0.00	3.45	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.67	0.00	0.67	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.06	0.00	0.06	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.39	0.00	8.39	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.08	0.00	0.08	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.09	0.00	0.09	0.0 0.00
10/19/2018	mfc033980	VARIOUS,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.48	0.00	2.48	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	2.86	0.00	2.86	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	3.00	0.00	3.00	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.52	0.00	0.52	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.53	0.00	0.53	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	0.06	0.00	0.06	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	0.07	0.00	0.07	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.39	0.00	0.39	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.13	0.00	1.13	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AMCAP FUND-A	B	0.00	4.11	0.00	4.11	0.0 0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-A	B	0.00	4.77	0.00	4.77	0.0 0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-F1	B	0.00	9.09	0.00	9.09	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AMERICAN FDS INTL GROWTH &	B	0.00	1.20	0.00	1.20	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.05	0.00	1.05	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.51	0.00	2.51	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT BLACKROCK S&P 500 INDEX FD	B	0.00	0.52	0.00	0.52	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	0.18	0.00	0.18	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	0.18	0.00	0.18	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	3.42	0.00	3.42	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FEDERATED KAUFMANN FUND	B	0.00	2.23	0.00	2.23	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FEDERATED EQUITY INCOME FUND	B	0.00	1.15	0.00	1.15	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADV TECHNOLOGY CL T	B	0.00	4.36	0.00	4.36	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.77	0.00	1.77	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	2.41	0.00	2.41	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	3.55	0.00	3.55	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.97	0.00	0.97	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.80	0.00	0.80	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	1.91	0.00	1.91	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK GOLD FUND - CLASS A	B	0.00	0.38	0.00	0.38	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.06	0.00	1.06	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.18	0.00	1.18	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.81	0.00	1.81	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	4.82	0.00	4.82	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.77	0.00	6.77	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.33	0.00	1.33	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	4.08	0.00	4.08	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.60	0.00	0.60	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.85	0.00	0.85	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.55	0.00	0.55	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	1.72	0.00	1.72	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MFS TECHNOLOGY FUND-A	B	0.00	1.21	0.00	1.21	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.91	0.00	0.91	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.02	0.00	1.02	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.02	0.00	1.02	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.86	0.00	2.86	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	3.16	0.00	3.16	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	18.20	0.00	18.20	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT OBERWEIS CHINA OPPORTUNITIES FD	B	0.00	0.67	0.00	0.67	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT PIMCO ALL ASSET A	B	0.00	1.14	0.00	1.14	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	10.18	0.00	10.18	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	14.68	0.00	14.68	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT PRUDENTIAL QMA STOCK INDEX FD C	B	0.00	3.44	0.00	3.44	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746444876	B	0.00	16.16	0.00	16.16	0.0	0.00
10/19/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746745108	B	0.00	0.07	0.00	0.07	0.0	0.00

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10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM 746802800	B	0.00	1.66	0.00	1.66	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM 746802800	B	0.00	1.74	0.00	1.74	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM 746802800	B	0.00	4.29	0.00	4.29	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	2.10	0.00	2.10	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	2.70	0.00	2.70	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700 FD	B	0.00	13.25	0.00	13.25	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	2.34	0.00	2.34	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.45	0.00	0.45	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.31	0.00	1.31	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	4.80	0.00	4.80	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	34.63	0.00	34.63	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT SMALLCAP GROWTH - CLASS A	B	0.00	1.09	0.00	1.09	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	1.62	0.00	1.62	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.10	0.00	3.10	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.46	0.00	4.46	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.96	0.00	1.96	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.51	0.00	2.51	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.40	0.00	6.40	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	20.09	0.00	20.09	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.19	0.00	0.19	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	2.92	0.00	2.92	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT TIMOTHY PLAN SMALL CAP VALUE FD	B	0.00	0.62	0.00	0.62	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT TIMOTHY PLAN SMALL CAP VALUE FD	B	0.00	0.64	0.00	0.64	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.02	0.00	0.02	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.10	0.00	0.10	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.99	0.00	6.99	0.0	0.00
10/19/2018	mfc434562 HOUSE 434562	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.20	0.00	0.20	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$296.81	\$0.00	\$296.81		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$33,410.46	\$386.81	\$137.00	\$249.81		\$0.00

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/10/2018 (None)	MCCLOSKEY, BILL	MF OPPENHEIMER	B	50.00	2.38	0.00	2.38	0.0	0.00
10/11/2018 (None)	PHAN, NONNIE	MF BLACKROCK	B	50.00	2.50	0.00	2.50	0.0	0.00
10/12/2018 (None)	BEAMON, LIN	MF OPPENHEIMER	B	160.00	7.60	0.00	7.60	0.0	0.00
10/15/2018 (None)	VARIOUS, HOUSE	MF VIRTUS	B	100.00	5.00	0.00	5.00	0.0	0.00
10/10/2018 000000001566633	SHAWN M	MF FRK TEMP FOUNDING FUNDS ALLOC	B	50.00	2.50	0.00	2.50	0.0	0.00
10/10/2018 00060428524	FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	100.00	4.00	0.00	4.00	0.0	0.00
10/15/2018 00064309614	DELISE, KOPAVI	MF CAPITAL INCOME BUILDER-A	B	83.00	3.11	0.00	3.11	0.0	0.00

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10/15/2018 00064309614	DELISE, KOPAVI	MF THE INCOME FUND OF AMERICA-A	B	83.00	3.11	0.00	3.11	0.0	0.00
10/26/2018 00064738666	ROWLEY, GREGORY	MF FUNDAMENTAL INVESTORS-A	B	80.00	2.20	0.00	2.20	0.0	0.00
10/24/2018 00067001641	TAN-COLON, JOANA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	0.0	0.00
10/10/2018 00067093803	P AHMU,	MF AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	0.0	0.00
10/16/2018 00067697270	LAWRENCE,	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	0.0	0.00
10/15/2018 00067833895	CURL, THOMAS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	0.0	0.00
10/15/2018 00068779798	ULLOA, AUDREY	MF COLLEGE ENROLLMENT FUND-529A	B	150.00	3.00	0.00	3.00	0.0	0.00
10/08/2018 00081410354	VAN STONE, CATHY	MF CAPITAL WORLD GROWTH AND INCOME	B	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00081410354	VAN STONE, CATHY	MF SMALLCAP WORLD FUND-A	B	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00081410354	VAN STONE, CATHY	MF THE GROWTH FUND OF AMERICA-A	B	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00081410354	VAN STONE, CATHY	MF THE INCOME FUND OF AMERICA-A	B	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	0.99	0.05	0.00	0.05	0.0	0.00
10/08/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	33.00	1.65	0.00	1.65	0.0	0.00
10/08/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	0.99	0.05	0.00	0.05	0.0	0.00
10/08/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	33.00	1.65	0.00	1.65	0.0	0.00
10/08/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	1.02	0.05	0.00	0.05	0.0	0.00
10/08/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	34.00	1.70	0.00	1.70	0.0	0.00
10/08/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	0.27	0.01	0.00	0.01	0.0	0.00
10/08/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	9.24	0.46	0.00	0.46	0.0	0.00
10/08/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	0.28	0.01	0.00	0.01	0.0	0.00
10/08/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	9.24	0.46	0.00	0.46	0.0	0.00
10/08/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	0.29	0.01	0.00	0.01	0.0	0.00
10/08/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	9.52	0.48	0.00	0.48	0.0	0.00
10/08/2018 00957551520	CATHERINE HATCHER	MF PIONEER VALUE FUND A	B	25.00	1.25	0.00	1.25	0.0	0.00
10/15/2018 03910377153	MCCARTNEY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	5.00	0.00	5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,662.84	\$68.22	\$0.00	\$68.22		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

10/15/2018 (None) PITTMAN, ERIC	VA	NATIONWIDE	B	45.00	0.45	0.00	0.45	0.0	0.00
10/10/2020 7200000913	EVANS	VA SAMMONS FINANCIAL	B	32,457.75	13.52	0.00	13.52	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *				\$32,502.75	\$13.97	\$0.00	\$13.97		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None) VARIOUS, HOUSE	MFT	AIG	B	0.00	17.48	0.00	17.48	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MFT	AIG	B	0.00	8.42	0.00	8.42	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MFT	DAVIS	B	0.00	37.22	0.00	37.22	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MFT	DELTWARE	B	0.00	17.70	0.00	17.70	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	6.34	0.00	6.34	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MFT	NUVEEN 12B-1	B	0.00	156.47	0.00	156.47	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MFT	PRUDENTIAL MUTUAL FUNDS	B	0.00	23.61	0.00	23.61	0.0	0.00
10/08/2018 00003858610	JAUER, BRYAN	MFT DEUTSCHE GNMA FUND-A	B	0.00	134.58	0.00	134.58	0.0	0.00
10/08/2018 00003858610	JAUER, BRYAN	MFT DEUTSCHE MANAGED MUNICIPAL BOND	B	0.00	152.53	0.00	152.53	0.0	0.00
10/08/2018 00009575488	VERNON, SAMUEL	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	53.21	0.00	53.21	0.0	0.00
10/08/2018 00009575488	VERNON, SAMUEL	MFT DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	19.27	0.00	19.27	0.0	0.00
10/08/2018 00089135068	JAUER, BRYAN	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	13.62	0.00	13.62	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 00089316615	CALDCLEUGH, JOHN	MFT DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	28.56	0.00	28.56	0.0	0.00
10/08/2018 00089732978	GIFT MIN ACT -	MFT DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	1.16	0.00	1.16	0.0	0.00
10/08/2018 00090418977	JAUER, DAVID	MFT DEUTSCHE SMALL CAP GROWTH	B	0.00	1.70	0.00	1.70	0.0	0.00
10/08/2018 00097884214	JAUER, ELIZABETH	MFT DEUTSCHE SMALL CAP GROWTH	B	0.00	38.78	0.00	38.78	0.0	0.00
10/08/2018 00098155878	BAHRKE JT TEN,	MFT DEUTSCHE SMALL CAP GROWTH	B	0.00	14.47	0.00	14.47	0.0	0.00
10/08/2018 00133013477	CALDCLEVGH, OWEN	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	3.24	0.00	3.24	0.0	0.00
10/08/2018 00133195927	THOMAS, AUDREY	MFT DEUTSCHE CROCI	B	0.00	0.30	0.00	0.30	0.0	0.00
10/08/2018 00133195927	THOMAS, AUDREY	MFT DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	0.89	0.00	0.89	0.0	0.00
10/08/2018 00133195940	THOMAS, ANDREW	MFT DEUTSCHE CROCI	B	0.00	0.30	0.00	0.30	0.0	0.00
10/08/2018 00133195940	THOMAS, ANDREW	MFT DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	0.89	0.00	0.89	0.0	0.00
10/08/2018 00133281554	BILDERBACK, RUTH	MFT DEUTSCHE CROCI	B	0.00	3.60	0.00	3.60	0.0	0.00
10/08/2018 00133281554	BILDERBACK, RUTH	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	3.82	0.00	3.82	0.0	0.00
10/08/2018 00133281554	BILDERBACK, RUTH	MFT DEUTSCHE SMALL CAP CORE FUND-A	B	0.00	4.42	0.00	4.42	0.0	0.00
10/08/2018 00133281554	BILDERBACK, RUTH	MFT DEUTSCHE SMALL CAP GROWTH	B	0.00	1.94	0.00	1.94	0.0	0.00
10/08/2018 09011136291	GO, MICHAEL	MFT DEUTSCHE GNMA FUND-A	B	0.00	5.41	0.00	5.41	0.0	0.00
10/08/2018 09011150215	GO, MICHAEL	MFT DEUTSCHE CROCI INTERNATIONAL	B	0.00	6.87	0.00	6.87	0.0	0.00
10/08/2018 09200338505	GO, MICHAEL	MFT DEUTSCHE GNMA FUND-A	B	0.00	45.80	0.00	45.80	0.0	0.00
10/08/2018 09200341598	VANDENHEUVEL, ITA	MFT DEUTSCHE GNMA FUND-A	B	0.00	5.61	0.00	5.61	0.0	0.00
10/08/2018 09334500580	PRIVETT, PATRICIA	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	7.16	0.00	7.16	0.0	0.00
10/08/2018 09334523248	THROCKMORTON,	MFT DEUTSCHE GNMA FUND-A	B	0.00	29.27	0.00	29.27	0.0	0.00
10/08/2018 09334524425	MARTIN JT TEN,	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	1.71	0.00	1.71	0.0	0.00
10/08/2018 09334646134	HERRON, KENNETH	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	14.28	0.00	14.28	0.0	0.00
10/08/2018 09334744826	MORTON, PATSY	MFT DEUTSCHE CASH INVESTMENT	B	0.00	6.16	0.00	6.16	0.0	0.00
10/08/2018 09334771574	CITIGROUP GLOBAL	MFT DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	13.97	0.00	13.97	0.0	0.00
10/08/2018 09940052567	KASSABIAN, DAVID	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	54.45	0.00	54.45	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$935.21	\$0.00	\$935.21		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/30/2018 (None) SIMON		VAT PHOENIX LIFE	B	0.00	5.06	0.00	5.06	0.0	0.00
10/03/2018 (None) DAVIS, FREDERICK		VAT TRANSAMERICA LIFE	B	0.00	153.15	0.00	153.15	0.0	0.00
10/03/2018 (None) VARIOUS, HOUSE		VAT TRANSAMERICA LIFE	B	0.00	378.70	0.00	378.70	0.0	0.00
10/15/2018 (None) VARIOUS, HOUSE		VAT LINCOLN NATL LIFE	B	0.00	15.00	0.00	15.00	0.0	0.00
10/19/2018 VA98045900 MANN		VAT PACIFIC LIFE CUSIP 694474107	B	0.00	7.75	0.00	7.75	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$559.66	\$0.00	\$559.66		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$34,165.59	\$1,577.06	\$0.00	\$1,577.06		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00