



COMMISSION STATEMENT

October 15, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2018	***-**-527I - LAWLOR, RICHARD	T ROWE PRICE RET 2020 FUND	2,000.01	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011410	BUY 89 Units @22.4000						
		* MUTUAL FUNDS SUBTOTAL *	\$2,000.01	\$3.00	\$3.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/15/2018	***-**-7174 - COCCHIOLA, INC	PRINCIPAL VA	0.00	1,059.21	0.00	1,059.21	80.0	847.37
	ACCOUNT #: 461859	BUY 1 Units @0.0000						
10/15/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA	0.00	613.11	0.00	613.11	80.0	490.49
		BUY 1 Units @0.0000						
10/15/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA	0.00	69.78	0.00	69.78	80.0	55.82
	ACCOUNT #: 715169	BUY 1 Units @0.0000						
10/15/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA	0.00	1,275.86	0.00	1,275.86	80.0	1,020.69
	ACCOUNT #: 715169	BUY 1 Units @0.0000						
10/15/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA	0.00	2,109.66	0.00	2,109.66	80.0	1,687.73
	ACCOUNT #: 89314	BUY 1 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$5,127.62	\$0.00	\$5,127.62		\$4,102.10
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/01/2018	***-**-601J - RAAD, PAMELA	37252M108	0.00	0.71	0.00	0.71	80.0	0.57
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
10/15/2018	***-**-235I - DASILVA, BRANDON	CHET ADVISOR 529 TRAILS	0.00	94.54	0.00	94.54	80.0	75.63
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						
10/01/2018	***-**-24IN - INS, CHITTENDEN	PUTNAM EQUITY INCOME FUND-A	0.00	18.39	0.00	18.39	80.0	14.71
	ACCOUNT #: 00312906459	BUY 0 Units @0.0000						
10/01/2018	***-**-601J - RAAD, PAMELA	PUTNAM GLOBAL EQUITY FUND CLASS A	0.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
10/01/2018	***-**-601J - RAAD, PAMELA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
10/01/2018	***-**-601J - RAAD, PAMELA	PUTNAM RESEARCH FUND-A	0.00	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
10/01/2018	***-**-601J - RAAD, PAMELA	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/15/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	383.41	0.00	383.41	80.0	306.74
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
10/15/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	12.97	0.00	12.97	80.0	10.38
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
10/15/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	36.31	0.00	36.31	80.0	29.05
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
10/15/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	72.58	0.00	72.58	80.0	58.06
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$620.72	\$0.00	\$620.72		\$496.59
*** BROKER TRANSACTIONS TOTAL ***			\$2,000.01	\$5,751.34	\$3.00	\$5,748.34		\$4,598.69

BROKER COMMISSION TOTALS

Broker Transactions	\$4,298.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$4,598.69
Year-to-date Earnings	\$85,707.73