



# COMMISSION STATEMENT

## December 17, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	AQR EMERGING MULTI STYLE CL R6-NFS SELL 251 Units @9.3900	2,357.76	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	AQR INTERNATIONAL MULTI-STYLE CL R6 SELL 324 Units @10.4100	3,369.64	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	AQR LQGE CAP MULTI STYLE FD CL R6- SELL 400 Units @16.2400	6,493.87	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFA FIVE YEAR GLBL FIXED INCOME PR SELL 348 Units @10.9700	3,819.69	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFA GLOBAL REAL ESTATE SEC PORTFOLI SELL 349 Units @11.0100	3,847.43	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFA INTERNATINAL CORE EQUITY-NFS SELL 158 Units @11.9900	1,900.31	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFA INTERNATIONAL VECTOR EQUITY POR SELL 324 Units @11.0300	3,574.93	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFS EMERGING MRKTS CORE EQU PORT F- SELL 195 Units @19.3000	3,771.59	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFS US CORE EQUITY II-NFS SELL 458 Units @20.0200	9,178.51	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	DFS US VECTOR EQUITY PRTF INSTL CL- SELL 536 Units @17.0800	9,151.63	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	SYMETRIC PANORAMIC US CUSIP - 87190 BUY 1862 Units @9.4700	17,633.00	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	SYMMETRIC PANORAMIC GLOBAL CUSIP - BUY 1885 Units @9.6300	18,151.00	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	VANGUARD TOTAL BOND MARKET INDEX AD SELL 829 Units @10.3600	8,588.16	0.00	0.00	0.00	75.0	0.00
12/14/2018	***-**-76FB - MANDEL, MICHAEL ACCOUNT #: LSM000217	VANGUARD TOTAL INTERNTL BD INDX ADM SELL 327 Units @22.0200	7,198.21	0.00	0.00	0.00	75.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$99,035.73</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
/ /	***-**-5290 - ADOVASIO, LUKE	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	75.0	2.01
/ /	***-**-20CL - BARBER, CLIENTS ACCOUNT #: 68971123701	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	31.15	0.00	31.15	75.0	23.36
12/17/2018	***-**-0853 - BOYER, THOMAS ACCOUNT #: 77467864401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	75.0	0.32
* 529 PLAN SUBTOTAL *			\$0.00	\$34.25	\$0.00	\$34.25		\$25.69
*** BROKER TRANSACTIONS TOTAL ***			\$99,035.73	\$34.25	\$0.00	\$34.25		\$25.69

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$25.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$25.69
Year-to-date Earnings	\$66,262.16