



COMMISSION STATEMENT

November 05, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/29/2018	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$100.00	\$1.50	\$0.00	\$1.50		\$1.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/05/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	101.45	0.00	101.45	90.0	91.31
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
11/05/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	10.41	0.00	10.41	90.0	9.37
	ACCOUNT #: 7200008740	BUY 0 Units @0.0000						
11/05/2018	***-**-93IN - WARD, WILLIAM	SAMMONS MF TRAILS	0.00	20.56	0.00	20.56	90.0	18.50
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
11/05/2018	***-**-47IO - ZINGARO, EILEEN	SAMMONS MF TRAILS	0.00	67.23	0.00	67.23	90.0	60.51
	ACCOUNT #: 7200015372	BUY 0 Units @0.0000						
11/05/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	2.63	0.00	2.63	90.0	2.37
	ACCOUNT #: 7200037006	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$202.28	\$0.00	\$202.28		\$182.06
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/31/2018	***-**-935O - LOWE, JAMES	GENWORTH 37250G277	0.00	194.28	0.00	194.28	90.0	174.85
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
10/31/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	1.37	0.00	1.37	90.0	1.23
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
10/31/2018	***-**-935O - LOWE, JAMES	GENWORTH 37250G277	0.00	75.53	0.00	75.53	90.0	67.98
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
10/31/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS OPTION A	0.00	30.23	0.00	30.23	90.0	27.21
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
10/31/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS OPTION A	0.00	6.35	0.00	6.35	90.0	5.72
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
10/31/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS OPTION A	0.00	5.27	0.00	5.27	90.0	4.74
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
10/31/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	31.41	0.00	31.41	90.0	28.27
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
10/31/2018	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	6.79	0.00	6.79	90.0	6.11
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
10/31/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	39.61	0.00	39.61	90.0	35.65
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$390.84	\$0.00	\$390.84		\$351.76
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$594.62	\$0.00	\$594.62		\$535.17

BROKER COMMISSION TOTALS

Broker Transactions	\$535.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$535.17
Year-to-date Earnings	\$45,395.53