



COMMISSION STATEMENT

November 05, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 10/22/2018 | ***-**-5506 - LOMBARDO, LEIGH | INVESTCO AMERICAN FRANCHISE FUND -CL | 250.00 | 10.00 | 0.00 | 10.00 | 80.0 | 8.00 |
| | ACCOUNT #: 03809219276 | BUY 11 Units @22.4400 | | | | | | |
| 10/22/2018 | ***-**-5506 - LOMBARDO, LEIGH | VAN KAMPEN3 | 100.00 | 4.00 | 0.00 | 4.00 | 80.0 | 3.20 |
| | ACCOUNT #: 03809219276 | BUY 14 Units @7.2400 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$350.00</u> | <u>\$14.00</u> | <u>\$0.00</u> | <u>\$14.00</u> | | <u>\$11.20</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$350.00</u> | <u>\$14.00</u> | <u>\$0.00</u> | <u>\$14.00</u> | | <u>\$11.20</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$11.20 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$11.20 |
| Year-to-date Earnings | \$6,108.14 |