

COMMISSION STATEMENT**September 30, 2018**

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: A08 W15
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: STOCKS**

09/12/2018	75502049	MORRIS, MICHAEL		SEAGATE TECHNOLOGY PLC	B	196.08	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		ALLERGAN PLC	S	754.53	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		AMC NETWORKS INC CL A	S	128.02	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		ANADARKO PETROLEUM CORP	S	649.40	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		AUTODESK INC	S	884.23	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		BIOGEN INC	S	1,359.00	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		BROADCOM INC COMMON STOCK	S	723.00	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		CITRIX SYS INC	S	440.84	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		COMCAST CORP CL A	S	638.69	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		CREE INC	S	480.03	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		DISCOVERY COMMUNICATIONS INC COM SE S	S	128.30	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		FLUOR CORP NEW	S	235.62	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		FREEPORT MCMORAN INC	S	231.20	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		IMMUNOGEN INC	S	79.06	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		IONIS PHARMACEUTICALS INC COM	S	348.27	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		JOHNSON CONTROLS INTERNATIONAL PLC	S	260.07	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		L3 TECHNOLOGIES INC COM	S	865.81	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		LIBERTY MEDIA CORPORATION SERIES C	S	234.12	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		LIBERTY MEDIA CORPORATION SERIES C	S	75.38	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		LIBERTY MEDIA CORPORATION SERIES A	S	140.28	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		LIONS GATE ENTMT CORP CL B NON VTG	S	63.81	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		MEDTRONIC PLC COM	S	192.95	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		NATIONAL-OILWELL VARCO INC	S	133.44	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		NOW INC	S	83.45	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		NUANCE COMMUNICATIONS INC	S	117.19	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		NUCOR CORP	S	195.51	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		QURATE RETAIL GROUP INC QVC GROUP C	S	177.84	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		SEAGATE TECHNOLOGY PLC	S	626.08	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		TE CONNECTIVITY LTD	S	552.75	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		TWITTER INC	S	761.03	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL		UNITEDHEALTH GROUP INC	S	1,591.47	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/19/2018	75502049	MORRIS, MICHAEL	VERTEX PHARMACEUTICALS INC	S	529.51	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL	WEATHERFORD INTERNATIONAL PLC USD0.	S	93.43	0.00	0.00	0.00	80.0	0.00
09/19/2018	75502049	MORRIS, MICHAEL	WESTERN DIGITAL CORP	S	466.58	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	ACTIVISION BLIZZARD INC	S	296.48	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	AMERICAN TOWER CORPORATION REIT	S	297.86	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	BERKSHIRE HATHAWAY INC DEL CL B	S	420.73	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	BOSTON SCIENTIFIC CORP	S	284.32	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A	S	307.62	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	DOWDUPONT INC COMMON STOCK	S	280.32	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	EQUIFAX INC	S	266.38	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	INTERCONTINENTAL EXCHANGE INC	S	379.30	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	MICROSOFT CORP	S	335.85	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	QIAGEN NV SHS NEW	S	234.78	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	SEMPRA ENERGY	S	232.93	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	VERISK ANALYTICS INC COM	S	237.71	0.00	0.00	0.00	80.0	0.00
08/30/2018	75502050	DIPENICE, WILLIAM	WEX INC	S	376.72	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	AIR PRODUCTS & CHEMICALS INC	S	662.16	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	APTIV PLC SHS COM	S	344.92	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	BLACKROCK INC	S	478.86	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A	S	309.93	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	DOWDUPONT INC COMMON STOCK	S	634.59	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	GOLDMAN SACHS GROUP INC	S	714.12	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	IHS MARKIT LTD SHS	S	276.20	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	MARTIN MARIETTA MATLS INC	S	397.82	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	RED HAT INC	S	289.70	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	ROYAL DUTCH SHELL PLC SPONSORED ADR	S	671.03	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	SCHLUMBERGER LTD	S	486.32	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	WALT DISNEY CO	S	771.75	0.00	0.00	0.00	80.0	0.00
09/05/2018	75502050	DIPENICE, WILLIAM	ZAYO GROUP HOLDINGS INC COM	S	616.68	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	ABBVIE INC	S	96.04	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	ACADIA HEALTHCARE CO INC COM	S	82.62	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	ALEXION PHARMACEUTICALS INC	S	122.25	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	AMETEK INC NEW	S	76.81	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	APPLE INC	S	683.52	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051</									

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/31/2018	75502051	DIPENICE, NINA	ECOLAB INC	S	150.21	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	EOG RES INC	S	118.41	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	EPAM SYSTEMS INC	S	143.12	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	FIDELITY NATIONAL INFORMATION SERVI	S	107.82	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	FORTINET INC	S	84.02	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	FORTIVE CORPORATION COM	S	83.92	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	GLOBUS MEDICAL INC	S	212.48	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	ILLINOIS TOOL WORKS INC	S	138.73	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	INTERCONTINENTAL EXCHANGE INC	S	152.00	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	KLA-TENCOR CORP COM	S	116.35	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	LIGAND PHARMACEUTICALS INC	S	259.54	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	LILLY ELI & CO	S	104.95	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	LKQ CORPORATION	S	68.98	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	MICROSOFT CORP	S	335.55	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	PACIRA PHARMACEUTICALS INC	S	94.30	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	ROSS STORES INC	S	190.10	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	STRYKER CORP	S	168.62	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	TRANSDIGM GROUP INCORPORATED	S	349.30	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	ULTA SALON COSMETICS & FRAGRANCE IN	S	514.68	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	UNITEDHEALTH GROUP INC	S	267.93	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	VERISK ANALYTICS INC COM	S	119.19	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	VF CORPORATION	S	91.92	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	VISA INC CL A COMMON STOCK	S	293.80	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	WASTE CONNECTIONS INC COM	S	238.32	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	XPO LOGISTICS INC COM	S	213.44	0.00	0.00	0.00	80.0	0.00
08/31/2018	75502051	DIPENICE, NINA	YUM BRANDS INC	S	86.54	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *					\$31,435.16	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: RIA										
07/01/2018	75502048	FERRUZZI, KENNETH	FAS FEES PT. III/III	B	-576.18	95.51	0.00	95.51	80.0	76.41
07/01/2018	75502049	MORRIS, MICHAEL	FAS FEES PT. III/III	B	-730.43	134.54	0.00	134.54	80.0	107.63
09/15/2018	75502049	MORRIS, MICHAEL	FAS FEES	B	5.18	-2.88	0.00	-2.88	80.0	-2.30
07/01/2018	75502050	DIPENICE, WILLIAM	FAS FEES PT. III/III	B	-474.69	78.69	0.00	78.69	80.0	62.95
07/01/2018	75502051	DIPENICE, NINA	FAS FEES PT. III/III	B	-1,162.61	210.71	0.00	210.71	80.0	168.57
07/01/2018	75502052	DIPENICE, WILLIAM	FAS FEES PT. III/III	B	-118.17	29.39	0.00	29.39	80.0	23.51
07/01/2018	75502083	MAISELL, ADRIANE	FAS FEES PT. III/III	B	-516.96	85.70	0.00	85.70	80.0	68.56
* RIA SUBTOTAL *					\$-3,573.86	\$631.66	\$			

COMMISSION STATEMENT for WILLIAM DIPENICE

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018		FEE COUNT - 1		0.00	0.09	0.00	0.09	80.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.09	\$0.00	\$0.09		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***		\$27,861.30	\$631.75	\$0.00	\$631.75		\$505.33

ADJUSTMENTS

09/30/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/30/2018		OTHER: RBC- WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$505.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.79
SIPC Assessment	\$-1.58

Check Amount	\$199.96
Year-to-date Earnings	\$1,807.31