

COMMISSION STATEMENT

September 30, 2018

MONROE PARKER-D334
 112 SW 8th Avenue
 Suite 932
 Amarillo, TX 79101

BROKER #: D334
 BRANCH: DMN

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/21/2018	mfc614631 PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.76	0.00	5.76	82.0	4.72
09/21/2018	mfc614631 PARKER 614631	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.17	0.00	2.17	82.0	1.78
09/21/2018	mfc614631 PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	1.77	0.00	1.77	82.0	1.45
09/21/2018	mfc614631 PARKER 614631	MFT DEVELOPING WORLD GROWTH &	B	0.00	0.97	0.00	0.97	82.0	0.80
09/21/2018	mfc614631 PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	82.72	0.00	82.72	82.0	67.83
09/21/2018	mfc614631 PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	3.42	0.00	3.42	82.0	2.80
09/21/2018	mfc614631 PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	0.96	0.00	0.96	82.0	0.79
09/21/2018	mfc614631 PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	3.07	0.00	3.07	82.0	2.52
09/21/2018	mfc614631 PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	3.00	0.00	3.00	82.0	2.46
09/21/2018	mfc614631 PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	1.17	0.00	1.17	82.0	0.96
09/21/2018	mfc614631 PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	2.29	0.00	2.29	82.0	1.88
09/21/2018	mfc614631 PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	3.37	0.00	3.37	82.0	2.76
09/21/2018	mfc614631 PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	2.29	0.00	2.29	82.0	1.88
09/21/2018	mfc614631 PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	1.38	0.00	1.38	82.0	1.13
09/21/2018	mfc614631 PARKER 614631	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.29	0.00	3.29	82.0	2.70
09/24/2018	mfc614631 PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.41	0.00	5.41	82.0	4.44
09/24/2018	mfc614631 PARKER 614631	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.03	0.00	2.03	82.0	1.66
09/24/2018	mfc614631 PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	1.69	0.00	1.69	82.0	1.39
09/24/2018	mfc614631 PARKER 614631	MFT DEVELOPING WORLD GROWTH &	B	0.00	0.89	0.00	0.89	82.0	0.73
09/24/2018	mfc614631 PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	77.63	0.00	77.63	82.0	63.66
09/24/2018	mfc614631 PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	3.25	0.00	3.25	82.0	2.67
09/24/2018	mfc614631 PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	0.91	0.00	0.91	82.0	0.75
09/24/2018	mfc614631 PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	2.90	0.00	2.90	82.0	2.38
09/24/2018	mfc614631 PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	2.83	0.00	2.83	82.0	2.32
09/24/2018	mfc614631 PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	1.10	0.00	1.10	82.0	0.90
09/24/2018	mfc614631 PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	2.17	0.00	2.17	82.0	1.78
09/24/2018	mfc614631 PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	3.20	0.00	3.20	82.0	2.62
09/24/2018	mfc614631 PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	2.15	0.00	2.15	82.0	1.76
09/24/2018	mfc614631 PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	1.20	0.00	1.20	82.0	0.98
09/24/2018	mfc614631 PARKER 614631	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.12	0.00	3.12	82.0	2.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$228.11	\$0.00	\$228.11		\$187.06

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$228.11	\$0.00	\$228.11		\$187.06

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018	00089900405 LOVETT, PEG	MF BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$4.00	\$0.00	\$4.00		\$3.28

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

08/31/2018	(None) VARIOUS, PARKER	VAT TRANSAMERICA LIFE	B	0.00	344.49	0.00	344.49	82.0	282.48
08/31/2018	(None) VARIOUS, PARKER	VAT TRANSAMERICA LIFE	B	0.00	316.58	0.00	316.58	82.0	259.60
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$661.07	\$0.00	\$661.07		\$542.08
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$665.07	\$0.00	\$665.07		\$545.36

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$732.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$547.42
Year-to-date Commissions	\$20,967.65