



# COMMISSION STATEMENT

September 24, 2018

ROGER LUNDY  
741 West Allens Lane  
Philadelphia, PA 19119

BROKER #: A01  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT  | INVESTMENT   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                            |   |  |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>                 |   |  |                      |                     |                    |                   |      |                      |
| 08/17/2018   | ***-**-7657 - EVANS, DEREK                          | NUVEEN PENNSYLVANIA MUNI BD A<br>BUY 0 Units @0.0000 | 70,000.00            | 2,450.00            | 0.00               | 2,450.00          | 80.0 | 1,960.00             |
| 08/27/2018   | ***-**-9231 - REIDER-EVANS,                         | NUVEEN PENNSYLVANIA MUNI BD A<br>BUY 0 Units @0.0000 | 20,000.00            | 250.00              | 0.00               | 250.00            | 80.0 | 200.00               |
| * MUTUAL FUNDS SUBTOTAL *                                    |   |  | <u>\$90,000.00</u>   | <u>\$2,700.00</u>   | <u>\$0.00</u>      | <u>\$2,700.00</u> |      | <u>\$2,160.00</u>    |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |   |  |                      |                     |                    |                   |      |                      |
| 09/24/2018   | ***-**-7751 - CENTER SCHOOL,<br>ACCOUNT #: 58880531 | QUALIFIED PLAN SUMMARY<br>BUY 2 Units @0.0000        | 0.00                 | 484.90              | 0.00               | 484.90            | 80.0 | 387.92               |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *                    |   |  | <u>\$0.00</u>        | <u>\$484.90</u>     | <u>\$0.00</u>      | <u>\$484.90</u>   |      | <u>\$387.92</u>      |
| *** BROKER TRANSACTIONS TOTAL ***                            |   |  | <u>\$90,000.00</u>   | <u>\$3,184.90</u>   | <u>\$0.00</u>      | <u>\$3,184.90</u> |      | <u>\$2,547.92</u>    |

## **BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$2,166.86 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$0.00     |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$2.08     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Net Commission Earned | \$2,550.00  |
| Year-to-date Earnings | \$26,366.96 |