

COMMISSION STATEMENT November 30, 2018

HUGHES-303131 DPM

 BROKER #:
 BRANCH: DPM

, TX

COMMISSION STATEMENT for HUGHES-303131 DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/12/2018	154447489	BABIN, BRIAN	MF	FRANKLIN DYNATECH FUND CLASS A B	-29,462.37	0.00	5.00	-5.00	0.00
11/12/2018	154447489	BABIN, BRIAN	MF	FRANKLIN GROWTH FUND CLASS A B	-36,962.11	0.00	5.00	-5.00	0.00
11/21/2018	154447489	BABIN, BRIAN	MF	FRANKLIN DYNATECH FUND CLASS A S	-28,364.52	0.00	5.00	-5.00	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$-94,789.00	\$0.00	\$15.00	\$-15.00	\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/21/2018	mfc303131	VARIOUS, HUGHES DPM	MFT	FRANKLIN DYNATECH FUND CLASS A B	0.00	13.12	0.00	13.12	0.00
11/21/2018	mfc303131	VARIOUS, HUGHES DPM	MFT	FRANKLIN GROWTH FUND CLASS A B	0.00	16.18	0.00	16.18	0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$29.30	\$0.00	\$29.30	\$0.00
				*** CLEARING TRANSACTIONS TOTAL ***	\$-94,789.00	\$29.30	\$15.00	\$14.30	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00