

COMMISSION STATEMENT October 31, 2018

BATISTA-073757 DPM

 BROKER #:
 BRANCH: DWA

, TX

COMMISSION STATEMENT for BATISTA-073757 DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/05/2018	339045956	HEBERT TOD,	MF	AMCAP FUND-A	B	-1,397.55	0.00	5.00	-5.00	0.0	0.00
10/25/2018	339045956	HEBERT TOD,	MF	AMCAP FUND-A	B	-1,000.00	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						\$-2,397.55	\$0.00	\$10.00	\$-10.00		\$0.00
PRODUCT CATEGORY: STOCKS											
10/05/2018	339045956	HEBERT TOD,	S	ADR BRITTISH AMERICAN TOBACCO	S	-969.26	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *						\$-969.26	\$15.00	\$15.00	\$0.00		\$0.00
PRODUCT CATEGORY: MISCELLANEOUS											
10/26/2018	a03073757	VARIOUS,	MI	MUTUAL FUND MISC FEE 339045956	S	0.00	5.00	0.00	5.00	0.0	0.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$5.00	\$0.00	\$5.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
10/19/2018	mfc073757	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	0.62	0.00	0.62	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$0.62	\$0.00	\$0.62		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***						\$-3,366.81	\$20.62	\$25.00	\$-4.38		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00