

# COMMISSION STATEMENT October 31, 2018

WARREN BELL-D375  
 1031 Andrews Highway  
 Suite 204  
 Midland, TX 75701

BROKER #: D375  
 BRANCH: D12

## COMMISSION STATEMENT for WARREN BELL-D375

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc074209	VARIOUS, WARREN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.02	0.00	0.02	85.0	0.02
10/19/2018	mfc074209	VARIOUS, WARREN	MFT AMERICAN BALANCED FUND-A	B	0.00	17.44	0.00	17.44	85.0	14.82
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.15	0.00	2.15	85.0	1.83
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.93	0.00	2.93	85.0	2.49
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.73	0.00	4.73	85.0	4.02
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL INCOME BUILDER-A	B	0.00	13.84	0.00	13.84	85.0	11.76
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.74	0.00	0.74	85.0	0.63
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.80	0.00	0.80	85.0	0.68
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.80	0.00	0.80	85.0	0.68
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.81	0.00	0.81	85.0	0.69
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.76	0.00	2.76	85.0	2.35
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.23	0.00	3.23	85.0	2.75
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.23	0.00	3.23	85.0	2.75
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.44	0.00	3.44	85.0	2.92
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.05	0.00	6.05	85.0	5.14
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	26.74	0.00	26.74	85.0	22.73
10/19/2018	mfc074209	VARIOUS, WARREN	MFT CEREDEX MID CAP VALUE EQUITY	B	0.00	2.00	0.00	2.00	85.0	1.70
10/19/2018	mfc074209	VARIOUS, WARREN	MFT COLUMBIA BALANCED FD CL A	B	0.00	3.31	0.00	3.31	85.0	2.81
10/19/2018	mfc074209	VARIOUS, WARREN	MFT COLUMBIA BALANCED FD CL A	B	0.00	4.23	0.00	4.23	85.0	3.60
10/19/2018	mfc074209	VARIOUS, WARREN	MFT COLUMBIA BALANCED FD CL A	B	0.00	13.77	0.00	13.77	85.0	11.70
10/19/2018	mfc074209	VARIOUS, WARREN	MFT COLUMBIA BALANCED FD CL A	B	0.00	33.54	0.00	33.54	85.0	28.51
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.99	0.00	0.99	85.0	0.84
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.87	0.00	1.87	85.0	1.59
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.99	0.00	1.99	85.0	1.69
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.60	0.00	5.60	85.0	4.76
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.60	0.00	5.60	85.0	4.76
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.61	0.00	5.61	85.0	4.77
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	23.18	0.00	23.18	85.0	19.70
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	3.47	0.00	3.47	85.0	2.95
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	16.65	0.00	16.65	85.0	14.15
10/19/2018	mfc074209	VARIOUS, WARREN	MFT DEUTSCHE MID CAP VALUE A	B	0.00	4.86	0.00	4.86	85.0	4.13

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10/19/2018 mfc074209	VARIOUS, WARREN	MFT DEUTSCHE MID CAP VALUE A	B	0.00	4.86	0.00	4.86	85.0	4.13
10/19/2018 mfc074209	VARIOUS, WARREN	MFT DEUTSCHE MID CAP VALUE A	B	0.00	4.86	0.00	4.86	85.0	4.13
10/19/2018 mfc074209	VARIOUS, WARREN	MFT DEUTSCHE MID CAP VALUE A	B	0.00	18.44	0.00	18.44	85.0	15.67
10/19/2018 mfc074209	VARIOUS, WARREN	MFT EATON VANCE LARGE CAP VALUE FD	B	0.00	2.36	0.00	2.36	85.0	2.01
10/19/2018 mfc074209	VARIOUS, WARREN	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.45	0.00	2.45	85.0	2.08
10/19/2018 mfc074209	VARIOUS, WARREN	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.85	0.00	2.85	85.0	2.42
10/19/2018 mfc074209	VARIOUS, WARREN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.98	0.00	2.98	85.0	2.53
10/19/2018 mfc074209	VARIOUS, WARREN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.63	0.00	4.63	85.0	3.94
10/19/2018 mfc074209	VARIOUS, WARREN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.65	0.00	8.65	85.0	7.35
10/19/2018 mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	3.89	0.00	3.89	85.0	3.31
10/19/2018 mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	3.89	0.00	3.89	85.0	3.31
10/19/2018 mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	3.89	0.00	3.89	85.0	3.31
10/19/2018 mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	4.27	0.00	4.27	85.0	3.63
10/19/2018 mfc074209	VARIOUS, WARREN	MFT GUGGENHEIM MID CAP VALUE A	B	0.00	20.63	0.00	20.63	85.0	17.54
10/19/2018 mfc074209	VARIOUS, WARREN	MFT KEELEY SMALL CAP VALUE FD A	B	0.00	1.38	0.00	1.38	85.0	1.17
10/19/2018 mfc074209	VARIOUS, WARREN	MFT KEELEY SMALL CAP VALUE FD A	B	0.00	8.58	0.00	8.58	85.0	7.29
10/19/2018 mfc074209	VARIOUS, WARREN	MFT KEELEY SMALL MIDCAP VALUE FD CL	B	0.00	9.54	0.00	9.54	85.0	8.11
10/19/2018 mfc074209	VARIOUS, WARREN	MFT NEW WORLD FUND-A	B	0.00	1.67	0.00	1.67	85.0	1.42
10/19/2018 mfc074209	VARIOUS, WARREN	MFT NEW WORLD FUND-A	B	0.00	12.93	0.00	12.93	85.0	10.99
10/19/2018 mfc074209	VARIOUS, WARREN	MFT OMEGA GROWTH FUND WELLS FARGO	B	0.00	1.33	0.00	1.33	85.0	1.13
10/19/2018 mfc074209	VARIOUS, WARREN	MFT PRINCIPAL INVESTOR EQUITY	B	0.00	2.82	0.00	2.82	85.0	2.40
10/19/2018 mfc074209	VARIOUS, WARREN	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.33	0.00	1.33	85.0	1.13
10/19/2018 mfc074209	VARIOUS, WARREN	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	3.05	0.00	3.05	85.0	2.59
10/19/2018 mfc074209	VARIOUS, WARREN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.74	0.00	3.74	85.0	3.18
10/19/2018 mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.18	0.00	3.18	85.0	2.70
10/19/2018 mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.02	0.00	6.02	85.0	5.12
10/19/2018 mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.48	0.00	15.48	85.0	13.16
10/19/2018 mfc074209	VARIOUS, WARREN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	163.18	0.00	163.18	85.0	138.70
10/19/2018 mfc074209	VARIOUS, WARREN	MFT TOUCHSTONE FOCUSED FD A	B	0.00	3.82	0.00	3.82	85.0	3.25
10/19/2018 mfc074209	VARIOUS, WARREN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.36	0.00	4.36	85.0	3.71
10/19/2018 mfc074209	VARIOUS, WARREN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.43	0.00	6.43	85.0	5.47
10/19/2018 mfc074209	VARIOUS, WARREN	MFT WELLS FARGO ENTERPRISE-A	B	0.00	2.02	0.00	2.02	85.0	1.72
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$555.89</b>	<b>\$0.00</b>	<b>\$555.89</b>		<b>\$472.52</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$555.89</b>	<b>\$0.00</b>	<b>\$555.89</b>		<b>\$472.52</b>

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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	BROKER WORKSTATION-HAGELSTEIN		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-215.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-215.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$472.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-215.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$257.52
Year-to-date Commissions	\$22,318.94