

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
SMITH,KATHERINE M.-DM31						
Credit	05/15/2018	COM...			-138.14	-138.14
Total SMITH,KATHERINE M.-DM31					-138.14	-138.14
TOTAL					<u>-138.14</u>	<u>-138.14</u>

COMMISSION STATEMENT

May 15, 2018

KATHERINE SMITH-DM31

BROKER #: DM31

BRANCH: DMW

, TX

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/01/2018	00084791628	MASSENGALE, MF	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0 1.69
05/01/2018	00084791669	MASSENGALE, MF	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0 1.69
05/01/2018	00085359820	LOGSDON, TRACI MF	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0 1.92
05/01/2018	00085359860	LOGSDON, TRACI MF	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0 1.92
04/30/2018	00088311353	MASSENGALE, LARA MF	NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0 1.69
04/30/2018	00088311353	MASSENGALE, LARA MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0 1.69
04/30/2018	00088338383	MASSENGALE, JASON MF	NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0 1.69
04/30/2018	00088338383	MASSENGALE, JASON MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0 1.69
05/01/2018	00088996224	LOGSDON, AVARI MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0 1.92
04/23/2018	00089064328	LOGSDON, TRACI MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0 1.92
05/04/2018	00089064328	LOGSDON, TRACI MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0 1.92
05/01/2018	00089500058	PERTLL, JAMES MF	AMCAP FUND-A	B	50.00	2.50	0.00	2.50	90.0 2.25
05/01/2018	00089500058	PERTLL, JAMES MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	90.0 2.25
04/23/2018	04000143383	ZAVALA, GILBERT MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0 1.13
04/23/2018	04000143383	ZAVALA, GILBERT MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0 1.13
05/10/2018	04000143383	ZAVALA, GILBERT MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0 1.13
05/10/2018	04000143383	ZAVALA, GILBERT MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0 1.13
05/01/2018	04000452396	PERTLL, JAMES MF	AMCAP FUND-A	B	50.00	1.00	0.00	1.00	90.0 0.90
05/01/2018	04000452396	PERTLL, JAMES MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	1.00	0.00	1.00	90.0 0.90
04/19/2018	04000869435	FBO SHELBY R MF	AMERICAN BALANCED FUND-A	B	2,495.55	93.58	0.00	93.58	90.0 84.22
04/19/2018	04000869435	FBO SHELBY R MF	AMERICAN BALANCED FUND-A	B	-2,495.55	-124.78	0.00	-124.78	90.0 -112.30
04/19/2018	04000869435	FBO SHELBY R MF	THE GROWTH FUND OF AMERICA-A	B	2,500.00	93.75	0.00	93.75	90.0 84.38
04/19/2018	04000869435	FBO SHELBY R MF	THE GROWTH FUND OF AMERICA-A	B	-2,500.00	-125.00	0.00	-125.00	90.0 -112.50
04/19/2018	04000887248	KRAUSE, JEFFREY MF	AMERICAN BALANCED FUND-A	B	5,000.00	187.50	0.00	187.50	90.0 168.75
04/19/2018	04000887248	KRAUSE, JEFFREY MF	AMERICAN BALANCED FUND-A	B	-5,000.00	-250.00	0.00	-250.00	90.0 -225.00
04/19/2018	04000887248	KRAUSE, JEFFREY MF	THE GROWTH FUND OF AMERICA-A	B	5,000.00	187.50	0.00	187.50	90.0 168.75
04/19/2018	04000887248	KRAUSE, JEFFREY MF	THE GROWTH FUND OF AMERICA-A	B	-5,000.00	-250.00	0.00	-250.00	90.0 -225.00
* MUTUAL FUNDS SUBTOTAL *				\$850.00	\$-153.52	\$0.00	\$-153.52	\$-138.14	
*** DIRECT TRANSACTIONS TOTAL ***				\$850.00	\$-153.52	\$0.00	\$-153.52	\$-138.14	

BROKER COMMISSION TOTALS

Broker Transactions	\$-138.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-138.14
Year-to-date Commissions	\$34,577.47