

COMMISSION STATEMENT

November 30, 2018

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: A02 T02
BRANCH: FULCRUM GROUP

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/21/2018 75502114	BEHAR, RAYMOND	HARDING LOEVNER FDS INC FRNTIER EME S		9,155.57	0.00	0.00	0.00	60.0	0.00
11/21/2018 75502114	BEHAR, RAYMOND	INVESCO ASIA PACIFIC GROWTH FD CL Y S		9,672.14	0.00	0.00	0.00	60.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$18,827.71	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

11/07/2018 75501981	LAWRENCE, VICTORIA	NINTENDO CO LTD-ADR NEW	S	30,165.60	0.00	0.00	0.00	60.0	0.00
11/08/2018 75501981	LAWRENCE, VICTORIA	APPLE INC	B	25,982.43	0.00	0.00	0.00	60.0	0.00
11/09/2018 75501981	LAWRENCE, VICTORIA	FACEBOOK INC CL A	B	14,525.43	0.00	0.00	0.00	60.0	0.00
11/07/2018 75501985	LAWRENCE, CHRISTOPHER	HERTZ GLOBAL HLDGS INC NEW COM	S	3,823.48	0.00	0.00	0.00	60.0	0.00
11/07/2018 75501986	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	10,036.20	0.00	0.00	0.00	60.0	0.00
11/09/2018 75501986	LAWRENCE, CHRISTOPHER	FACEBOOK INC CL A	B	10,889.24	0.00	0.00	0.00	60.0	0.00
10/30/2018 75501987	SCHWARTZ, MARILYN	NINTENDO CO LTD-ADR NEW	S	23,375.40	55.00	0.00	55.00	60.0	33.00
10/30/2018 75501987	SCHWARTZ, MARILYN	REGENERON PHARMACEUTICALS INC	S	8,506.59	55.00	0.00	55.00	60.0	33.00
11/07/2018 75501988	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	5,018.25	0.00	0.00	0.00	60.0	0.00
11/08/2018 75501988	LAWRENCE, CHRISTOPHER	ROKU INC CLASS A COMMON STOCK	B	3,683.24	0.00	0.00	0.00	60.0	0.00
10/30/2018 75501989	BINES, BURTON	NINTENDO CO LTD-ADR NEW	S	37,200.00	0.00	0.00	0.00	60.0	0.00
10/31/2018 75501989	BINES, BURTON	GARTNER INC	S	36,823.11	0.00	0.00	0.00	60.0	0.00
10/31/2018 75501989	BINES, BURTON	NINTENDO CO LTD-ADR NEW	S	58,378.00	0.00	0.00	0.00	60.0	0.00
11/08/2018 75501989	BINES, BURTON	FACEBOOK INC CL A	B	14,721.20	0.00	0.00	0.00	60.0	0.00
11/20/2018 75501989	BINES, BURTON	APPLE INC	B	8,840.00	0.00	0.00	0.00	60.0	0.00
11/07/2018 75501990	LAWRENCE, JEFFREY	NINTENDO CO LTD-ADR NEW	S	4,014.60	0.00	0.00	0.00	60.0	0.00
11/08/2018 75501990	LAWRENCE, JEFFREY	ROKU INC CLASS A COMMON STOCK	B	2,956.79	0.00	0.00	0.00	60.0	0.00
11/19/2018 75501991	NSH 81 LLC	ALPHABET INC CLASS A COMMON STOCK	S	234,173.03	0.00	0.00	0.00	60.0	0.00
11/19/2018 75501991	NSH 81 LLC	AMAZON.COM INC	S	187,698.65	0.00	0.00	0.00	60.0	0.00
11/19/2018 75501992	THE BARBARA & NEAL	ALPHABET INC CLASS A COMMON STOCK	S	10,408.30	0.00	0.00	0.00	60.0	0.00
11/19/2018 75501992	THE BARBARA & NEAL	ALPHABET INC CLASS C CAPITAL STOCK	S	13,469.43	0.00	0.00	0.00	60.0	0.00
10/30/2018 75501999	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	S	8,400.00	55.00	0.00	55.00	60.0	33.00
10/31/2018 75501999	LAWRENCE, CHARLES	BLUE APRON HOLDINGS INC CLASS A COM S	S	2,519.44	51.00	0.00	51.00	60.0	30.60
11/02/2018 75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	8,632.00	55.00	0.00	55.00	60.0	33.00
11/02/2018 75501999	LAWRENCE, CHARLES	NVIDIA CORP	B	12,696.00	125.00	0.00	125.00	60.0	75.00
10/30/2018 75502001	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	B	17,626.74	75.00	0.00	75.00	60.0	45.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/30/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	S	18,124.00	55.00	0.00	55.00 60.0 33.00
10/31/2018	75502001	LAWRENCE, CHARLES		XPO LOGISTICS INC COM	S	8,932.63	55.00	0.00	55.00 60.0 33.00
11/01/2018	75502001	LAWRENCE, CHARLES		APHRIA INC COM ISIN#CA03765K1049	S	6,622.94	65.00	0.00	65.00 60.0 39.00
11/01/2018	75502001	LAWRENCE, CHARLES		NVIDIA CORP	B	12,456.00	125.00	0.00	125.00 60.0 75.00
11/01/2018	75502001	LAWRENCE, CHARLES		UNIVERSAL DISPLAY CORPORATION	S	10,778.00	55.00	0.00	55.00 60.0 33.00
11/05/2018	75502001	LAWRENCE, CHARLES		CANOPY GROWTH CORP COM ISIN# CA1380	S	18,067.50	75.00	0.00	75.00 60.0 45.00
11/06/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	S	19,274.00	75.00	0.00	75.00 60.0 45.00
11/07/2018	75502001	LAWRENCE, CHARLES		NVIDIA CORP	S	12,790.81	55.00	0.00	55.00 60.0 33.00
11/07/2018	75502001	LAWRENCE, CHARLES		SQUARE INC CLASS A COM	B	13,248.00	125.00	0.00	125.00 60.0 75.00
11/08/2018	75502001	LAWRENCE, CHARLES		NVIDIA CORP	B	12,389.99	125.00	0.00	125.00 60.0 75.00
11/08/2018	75502001	LAWRENCE, CHARLES		TEVA PHARMACEUTICAL INDUSTRIES LIM	S	13,335.56	55.00	0.00	55.00 60.0 33.00
11/08/2018	75502001	LAWRENCE, CHARLES		XPO LOGISTICS INC COM	B	9,873.50	100.00	0.00	100.00 60.0 60.00
11/09/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	B	9,228.80	100.00	0.00	100.00 60.0 60.00
11/09/2018	75502001	LAWRENCE, CHARLES		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	13,062.00	125.00	0.00	125.00 60.0 75.00
11/12/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	B	8,573.60	100.00	0.00	100.00 60.0 60.00
11/14/2018	75502001	LAWRENCE, CHARLES		CANADA GOOSE HLDGS INC COM SHS SUB	B	6,996.00	75.00	0.00	75.00 60.0 45.00
11/14/2018	75502001	LAWRENCE, CHARLES		CANADA GOOSE HLDGS INC COM SHS SUB	B	7,480.00	75.00	0.00	75.00 60.0 45.00
11/15/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	S	8,859.20	55.00	0.00	55.00 60.0 33.00
11/19/2018	75502001	LAWRENCE, CHARLES		CANADA GOOSE HLDGS INC COM SHS SUB	S	11,298.40	55.00	0.00	55.00 60.0 33.00
11/19/2018	75502001	LAWRENCE, CHARLES		FACEBOOK INC CL A	B	8,224.79	75.00	0.00	75.00 60.0 45.00
11/19/2018	75502001	LAWRENCE, CHARLES		FACEBOOK INC CL A	B	8,137.19	75.00	0.00	75.00 60.0 45.00
11/23/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	B	8,792.00	75.00	0.00	75.00 60.0 45.00
11/23/2018	75502001	LAWRENCE, CHARLES		CANADA GOOSE HLDGS INC COM SHS SUB	S	4,083.00	55.00	0.00	55.00 60.0 33.00
11/23/2018	75502001	LAWRENCE, CHARLES		EXTREME NETWORKS INC COM	S	12,573.60	55.00	0.00	55.00 60.0 33.00
11/26/2018	75502001	LAWRENCE, CHARLES		COHERUS BIOSCIENCES INC COM	B	6,348.83	75.00	0.00	75.00 60.0 45.00
11/26/2018	75502001	LAWRENCE, CHARLES		NEKTAR THERAPEUTICS COM	S	8,116.50	55.00	0.00	55.00 60.0 33.00
11/27/2018	75502001	LAWRENCE, CHARLES		CANADA GOOSE HLDGS INC COM SHS SUB	B	5,048.80	55.00	0.00	55.00 60.0 33.00
11/27/2018	75502001	LAWRENCE, CHARLES		NEKTAR THERAPEUTICS COM	B	7,842.95	75.00	0.00	75.00 60.0 45.00
11/28/2018	75502001	LAWRENCE, CHARLES		ARRAY BIOPHARMA INC	S	9,049.60	55.00	0.00	55.00 60.0 33.00
11/06/2018	75502005	SHEMIN, VICKI		AMERICAN HOMES 4 RENT CL A	S	30,075.00	55.00	0.00	55.00 60.0 33.00
11/07/2018	75502005	SHEMIN, VICKI		AMERICAN HOMES 4 RENT CL A	S	30,210.00	55.00	0.00	55.00 60.0 33.00
11/08/2018	75502005	SHEMIN, VICKI		BOEING CO	B	37,209.91	55.00	0.00	55.00 60.0 33.00
11/27/2018	75502005	SHEMIN, VICKI		ALCOA CORPORATION COMMON STOCK	S	15,805.05	55.00	0.00	55.00 60.0 33.00
11/28/2018	75502005	SHEMIN, VICKI		XPO LOGISTICS INC COM	B	14,676.22	55.00	0.00	55.00 60.0 33.00
10/31/2018	75502006	SHEMIN, VICKI		UNIVERSAL DISPLAY CORPORATION	S	12,360.00	55.00	0.00	55.00 60.0 33.00
10/31/2018	75502008	DEC, JOHN		GARTNER INC	S	22,262.31	0.00	0.00	0.00 60.0 0.00
11/02/2018	75502008	DEC, JOHN		NINTENDO CO LTD-ADR NEW	S	29,645.20	0.00	0.00	0.00 60.0 0.00
11/02/2018	75502008	DEC, JOHN		STARBUCKS CORP	S	32,300.00	0.00	0.00	0.00 60.0 0.00
11/07/2018	75502008	DEC, JOHN		BECTON DICKINSON & CO	S	23,850.00	0.00	0.00	0.00 60.0 0.00
11/09/2018	75502008	DEC, JOHN		APPLE INC	B	20,479.00	0.00	0.00	0.00 60.0 0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/12/2018	75502008 DEC, JOHN	MICRON TECHNOLOGY INC	B	22,715.04	0.00	0.00	0.00	60.0	0.00
11/14/2018	75502008 DEC, JOHN	GARTNER INC	B	21,523.49	0.00	0.00	0.00	60.0	0.00
11/19/2018	75502008 DEC, JOHN	ALIGN TECHNOLOGY INC	B	10,430.00	0.00	0.00	0.00	60.0	0.00
11/26/2018	75502008 DEC, JOHN	ALIGN TECHNOLOGY INC	S	11,465.00	0.00	0.00	0.00	60.0	0.00
11/28/2018	75502008 DEC, JOHN	MICROSOFT CORP	S	33,186.57	0.00	0.00	0.00	60.0	0.00
11/08/2018	75502010 KEETER, LANCE	FACEBOOK INC CL A	B	2,941.90	0.00	0.00	0.00	60.0	0.00
11/28/2018	75502010 KEETER, LANCE	MICROSOFT CORP	S	11,047.19	0.00	0.00	0.00	60.0	0.00
11/08/2018	75502011 DEC, JANICE	FACEBOOK INC CL A	B	2,952.36	0.00	0.00	0.00	60.0	0.00
11/28/2018	75502011 DEC, JANICE	MICROSOFT CORP	S	11,055.19	0.00	0.00	0.00	60.0	0.00
10/30/2018	75502012 DEC, JOHN	GARTNER INC	S	35,693.50	0.00	0.00	0.00	60.0	0.00
10/31/2018	75502012 DEC, JOHN	EXTREME NETWORKS INC COM	S	11,181.05	0.00	0.00	0.00	60.0	0.00
10/31/2018	75502012 DEC, JOHN	NINTENDO CO LTD-ADR NEW	S	38,909.00	0.00	0.00	0.00	60.0	0.00
11/08/2018	75502012 DEC, JOHN	CHIPOTLE MEXICAN GRILL INC COMMON S B	B	23,934.93	0.00	0.00	0.00	60.0	0.00
11/14/2018	75502012 DEC, JOHN	GARTNER INC	B	14,345.00	0.00	0.00	0.00	60.0	0.00
11/19/2018	75502012 DEC, JOHN	NVIDIA CORP	B	7,351.00	0.00	0.00	0.00	60.0	0.00
11/28/2018	75502012 DEC, JOHN	NVIDIA CORP	S	7,995.00	0.00	0.00	0.00	60.0	0.00
10/31/2018	75502013 DEC, JOHN	NINTENDO CO LTD-ADR NEW	S	5,885.55	0.00	0.00	0.00	60.0	0.00
11/06/2018	75502013 DEC, JOHN	STARBUCKS CORP	S	3,292.01	0.00	0.00	0.00	60.0	0.00
11/09/2018	75502013 DEC, JOHN	KANSAS CITY SOUTHERN IND	S	5,126.01	0.00	0.00	0.00	60.0	0.00
11/16/2018	75502013 DEC, JOHN	STARBUCKS CORP	S	3,390.00	0.00	0.00	0.00	60.0	0.00
11/20/2018	75502013 DEC, JOHN	FACEBOOK INC CL A	B	3,808.80	0.00	0.00	0.00	60.0	0.00
10/31/2018	75502014 DEC, JOHN	NINTENDO CO LTD-ADR NEW	S	2,942.78	0.00	0.00	0.00	60.0	0.00
11/06/2018	75502014 DEC, JOHN	STARBUCKS CORP	S	3,291.01	0.00	0.00	0.00	60.0	0.00
11/20/2018	75502014 DEC, JOHN	FACEBOOK INC CL A	B	6,348.00	0.00	0.00	0.00	60.0	0.00
11/08/2018	75502021 ARCARO, DANIEL	FACEBOOK INC CL A	B	2,209.73	0.00	0.00	0.00	60.0	0.00
11/09/2018	75502023 APPEL, CHARLES	NXP SEMICONDUCTORS N V	B	8,200.00	55.00	0.00	55.00	60.0	33.00
10/31/2018	75502035 ROMANO, LISA	NINTENDO CO LTD-ADR NEW	S	1,961.90	0.00	0.00	0.00	60.0	0.00
11/07/2018	75502035 ROMANO, LISA	GARTNER INC	S	1,454.30	0.00	0.00	0.00	60.0	0.00
11/07/2018	75502035 ROMANO, LISA	STARBUCKS CORP	S	1,669.25	0.00	0.00	0.00	60.0	0.00
11/08/2018	75502035 ROMANO, LISA	FACEBOOK INC CL A	B	2,957.90	0.00	0.00	0.00	60.0	0.00
10/31/2018	75502036 ROMANO, MARK	NINTENDO CO LTD-ADR NEW	S	2,942.78	0.00	0.00	0.00	60.0	0.00
11/07/2018	75502036 ROMANO, MARK	GARTNER INC	S	1,454.40	0.00	0.00	0.00	60.0	0.00
11/07/2018	75502036 ROMANO, MARK	STARBUCKS CORP	S	2,673.20	0.00	0.00	0.00	60.0	0.00
11/08/2018	75502036 ROMANO, MARK	FACEBOOK INC CL A	B	5,172.99	0.00	0.00	0.00	60.0	0.00
11/07/2018	75502043 MCCORD, ELIZABETH	GARTNER INC	B	583.32	0.00	0.00	0.00	60.0	0.00
11/15/2018	75502043 MCCORD, ELIZABETH	NIKE INC CL B	S	9,049.23	0.00	0.00	0.00	60.0	0.00
11/15/2018	75502043 MCCORD, ELIZABETH	STARBUCKS CORP	S	24,212.83	0.00	0.00	0.00	60.0	0.00
11/16/2018	75502043 MCCORD, ELIZABETH	FACEBOOK INC CL A	B	33,925.04	0.00	0.00	0.00	60.0	0.00
11/20/2018	75502070 HAND, STEPHEN	ADVANTIS CORPORATION COM	B	2,001.70	100.09	0.00	100.09	60.0	60.05
11/27/2018	75502073 HAND FAMILY LP	ABATTIS BIOCEUTICALS CORP COM ISIN# S	S	-2,639.00	-131.95	0.00	-131.95	60.0	-79.17 C

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
11/27/2018	75502073	HAND FAMILY LP	ABATTIS BIOCEUTICALS CORP COM ISIN# B	2,639.00	125.00	0.00	125.00	60.0	75.00
11/27/2018	75502073	HAND FAMILY LP	ABATTIS BIOCEUTICALS CORP COM ISIN# B	2,639.00	131.95	0.00	131.95	60.0	79.17
11/26/2018	75502104	LAWRENCE, CHARLES	NUTANIX INC CL A	S	7,669.84	51.00	51.00	60.0	30.60
11/27/2018	75502104	LAWRENCE, CHARLES	OPKO HEALTH INC	B	4,183.20	55.00	55.00	60.0	33.00
11/28/2018	75502113	BEHAR, RAYMOND	AMGEN INC	S	2,016.10	0.00	0.00	60.0	0.00
11/28/2018	75502113	BEHAR, RAYMOND	MICROSOFT CORP	S	1,109.45	0.00	0.00	60.0	0.00
11/28/2018	75502113	BEHAR, RAYMOND	PAYPAL HOLDINGS INC COM	S	857.00	0.00	0.00	60.0	0.00
10/31/2018	75502114	BEHAR, RAYMOND	GARTNER INC	S	5,936.00	0.00	0.00	60.0	0.00
11/09/2018	75502114	BEHAR, RAYMOND	MICROSOFT CORP	S	10,500.00	0.00	0.00	60.0	0.00
11/12/2018	75502114	BEHAR, RAYMOND	MICROSOFT CORP	B	10,750.00	0.00	0.00	60.0	0.00
11/16/2018	75502114	BEHAR, RAYMOND	TEREX CORP	S	3,144.43	0.00	0.00	60.0	0.00
11/23/2018	75502114	BEHAR, RAYMOND	FACEBOOK INC CL A	B	3,948.90	0.00	0.00	60.0	0.00
11/15/2018	75502115	BEHAR, RAYMOND	NIKE INC CL B	S	1,186.78	0.00	0.00	60.0	0.00
11/15/2018	75502115	BEHAR, RAYMOND	STARBUCKS CORP	S	3,844.38	0.00	0.00	60.0	0.00
11/16/2018	75502115	BEHAR, RAYMOND	FACEBOOK INC CL A	B	5,489.94	0.00	0.00	60.0	0.00
10/31/2018	75502196	LAWRENCE, JEFFREY	SAREPTA THERAPEUTICS INC COM	S	5,332.00	0.00	0.00	60.0	0.00
11/07/2018	75502196	LAWRENCE, JEFFREY	HERTZ GLOBAL HLDGS INC NEW COM	S	3,828.66	0.00	0.00	60.0	0.00
11/08/2018	75502196	LAWRENCE, JEFFREY	APPLE INC	B	8,318.40	0.00	0.00	60.0	0.00
11/07/2018	75502198	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	2,606.96	0.00	0.00	60.0	0.00
11/07/2018	75502199	LAWRENCE, JEFFREY	NINTENDO CO LTD-ADR NEW	S	2,606.96	0.00	0.00	60.0	0.00
11/01/2018	75502200	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	S	8,882.80	55.00	55.00	60.0	33.00
11/02/2018	75502200	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	B	8,734.40	55.00	55.00	60.0	33.00
11/05/2018	75502200	LAWRENCE, CHARLES	MICRON TECHNOLOGY INC	S	10,509.90	51.00	51.00	60.0	30.60
11/07/2018	75502200	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	S	9,079.32	55.00	55.00	60.0	33.00
11/09/2018	75502200	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	B	8,903.26	100.00	100.00	60.0	60.00
11/09/2018	75502200	LAWRENCE, CHARLES	TANDEM DIABETES CARE INC COM NEW	B	9,977.25	100.00	100.00	60.0	60.00
11/12/2018	75502200	LAWRENCE, CHARLES	CHIPOTLE MEXICAN GRILL INC COMMON S S	S	14,952.00	51.00	51.00	60.0	30.60
11/12/2018	75502200	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	B	8,501.50	100.00	100.00	60.0	60.00
11/15/2018	75502200	LAWRENCE, CHARLES	CHIPOTLE MEXICAN GRILL INC COMMON S B	S	14,233.80	100.00	100.00	60.0	60.00
11/15/2018	75502200	LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM	S	6,058.00	51.00	51.00	60.0	30.60
11/15/2018	75502200	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	S	9,231.25	55.00	55.00	60.0	33.00
11/21/2018	75502200	LAWRENCE, CHARLES	TANDEM DIABETES CARE INC COM NEW	S	8,404.37	55.00	55.00	60.0	33.00
11/26/2018	75502200	LAWRENCE, CHARLES	EXTREME NETWORKS INC COM	B	12,372.10	125.00	125.00	60.0	75.00
11/28/2018	75502200	LAWRENCE, CHARLES	CHIPOTLE MEXICAN GRILL INC COMMON S S	S	14,568.00	55.00	55.00	60.0	33.00
11/28/2018	75502200	LAWRENCE, CHARLES	EXTREME NETWORKS INC COM	S	12,879.88	55.00	55.00	60.0	33.00
* STOCKS SUBTOTAL *				\$2,007,578.81	\$4,450.09	\$0.00	\$4,450.09		\$2,670.05

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
11/13/2018	75502073	HAND FAMILY LP		CAPITAL TR AGY FLA MULTIFAMILY GMF- S	80,934.75	187.50	0.00	187.50	60.0	112.50
11/13/2018	75502073	HAND FAMILY LP		GENERAL ELECTRIC COMPANY 5.00% FIXE B	24,375.00	525.00	0.00	525.00	60.0	315.00
* BONDS SUBTOTAL *					\$105,309.75	\$712.50	\$0.00	\$712.50		\$427.50
PRODUCT CATEGORY: OPTIONS										
11/06/2018	75502114	BEHAR, RAYMOND		CALL MSFT 11/09/18 105 S	401.00	0.00	0.00	0.00	60.0	0.00
11/13/2018	75502114	BEHAR, RAYMOND		CALL PYPL 11/23/18 84 S	200.00	0.00	0.00	0.00	60.0	0.00
11/20/2018	75502114	BEHAR, RAYMOND		CALL PYPL 11/23/18 84 B	5.00	0.00	0.00	0.00	60.0	0.00
11/28/2018	75502114	BEHAR, RAYMOND		CALL PYPL 12/07/18 84 S	150.00	0.00	0.00	0.00	60.0	0.00
11/28/2018	75502114	BEHAR, RAYMOND		CALL UAL 03/15/19 92.50 S	135.00	0.00	0.00	0.00	60.0	0.00
* OPTIONS SUBTOTAL *					\$891.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: RIA										
10/01/2018	75501981	LAWRENCE, VICTORIA		FAS FEES PT. II/III B	-1,900.83	551.24	0.00	551.24	60.0	330.74
10/01/2018	75501985	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III B	-83.51	18.09	0.00	18.09	60.0	10.85
10/01/2018	75501986	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III B	-659.89	183.30	0.00	183.30	60.0	109.98
10/01/2018	75501988	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III B	-250.69	54.31	0.00	54.31	60.0	32.59
10/01/2018	75501989	BINES, BURTON		FAS FEES PT. II/III B	-5,384.77	1,633.38	0.00	1,633.38	60.0	980.03
10/01/2018	75501990	LAWRENCE, JEFFREY		FAS FEES PT. II/III B	-178.37	38.64	0.00	38.64	60.0	23.18
10/01/2018	75501991	NSH 81 LLC		FAS FEES PT. II/III B	-4,914.28	1,457.90	0.00	1,457.90	60.0	874.74
11/08/2018	75501991	NSH 81 LLC		FAS FEES PT. I/II B	73.97	-28.04	0.00	-28.04	60.0	-16.82
10/01/2018	75501992	THE BARBARA & NEAL		FAS FEES PT. II/III B	-1,527.51	442.97	0.00	442.97	60.0	265.78
10/01/2018	75502008	DEC, JOHN		FAS FEES PT. II/III B	-2,865.29	850.03	0.00	850.03	60.0	510.02
10/01/2018	75502010	KEETER, LANCE		FAS FEES PT. II/III B	-943.93	272.12	0.00	272.12	60.0	163.27
10/01/2018	75502011	DEC, JANICE		FAS FEES PT. II/III B	-501.97	125.49	0.00	125.49	60.0	75.29
10/01/2018	75502012	DEC, JOHN		FAS FEES PT. II/III B	-3,801.58	1,127.80	0.00	1,127.80	60.0	676.68
11/14/2018	75502013	DEC, JOHN		FAS FEES PT. I/II B	17.78	-4.72	0.00	-4.72	60.0	-2.83
10/01/2018	75502014	DEC, JOHN		FAS FEES PT. II/III B	-455.94	113.98	0.00	113.98	60.0	68.39
10/01/2018	75502021	ARCARO, DANIEL		FAS FEES PT. II/III B	-480.52	138.52	0.00	138.52	60.0	83.11
10/01/2018	75502035	ROMANO, LISA		FAS FEES PT. II/III B	-321.89	82.26	0.00	82.26	60.0	49.36
10/01/2018	75502036	ROMANO, MARK		FAS FEES PT. II/III B	-530.21	147.28	0.00	147.28	60.0	88.37
10/01/2018	75502041	MCCORD, ELIZABETH		FAS FEES PT. II/III B	-1,238.60	338.55	0.00	338.55	60.0	203.13
10/01/2018	75502043	MCCORD, ELIZABETH		FAS FEES PT. II/III B	-2,901.72	559.98	0.00	559.98	60.0	335.99
10/01/2018	75502044	MCCORD, ELIZABETH		FAS FEES PT. II/III B	-2,054.47	595.79	0.00	595.79	60.0	357.47
10/01/2018	75502060	MASSEY, GEORGE		FAS FEES PT. II/III B	-369.29	98.47	0.00	98.47	60.0	59.08
10/01/2018	75502061	MASSEY, GEORGE		FAS FEES PT. II/III B	-206.52	49.56	0.00	49.56	60.0	29.74
10/01/2018	75502113	BEHAR, RAYMOND		FAS FEES PT. II/III B	-349.41	87.35	0.00	87.35	60.0	52.41
10/01/2018	75502114	BEHAR, RAYMOND		FAS FEES PT. II/III B	-709.94	194.05	0.00	194.05	60.0	116.43
11/20/2018	75502114	BEHAR, RAYMOND		FAS FEES PT. I/II B	26.12	-5.13	0.00	-5.13	60.0	-3.08
10/01/2018	75502115	BEHAR, RAYMOND		FAS FEES PT. II/III B	-487.03	81.17	0.00	81.17	60.0	48.70

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
10/01/2018	75502196	LAWRENCE, JEFFREY	FAS FEES PT. II/III	B	-384.74	128.24	0.00	128.24	60.0	76.94
10/01/2018	75502198	LAWRENCE, CHRISTOPHER	FAS FEES PT. II/III	B	-199.49	66.49	0.00	66.49	60.0	39.89
10/01/2018	75502199	LAWRENCE, JEFFREY	FAS FEES PT. II/III	B	-199.43	66.47	0.00	66.47	60.0	39.88
* RIA SUBTOTAL *					\$-33,783.95	\$9,465.54	\$0.00	\$9,465.54		\$5,679.31
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/30/2018			FEE COUNT - 15		0.00	443.36	0.00	443.36	60.0	266.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$443.36	\$0.00	\$443.36		\$266.02
*** BROKER TRANSACTIONS TOTAL ***					\$2,098,823.32	\$15,071.49	\$0.00	\$15,071.49		\$9,042.88

ADJUSTMENTS

11/30/2018		COMMISSION INCOME: RIA 75502013			0.00	0.00	0.00	0.00		70.30
11/30/2018		MISCELLANEOUS: UNBUNDLED FEES 2/3			0.00	0.00	0.00	0.00		-59.99
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$10.31

BROKER COMMISSION TOTALS

Broker Transactions	\$9,042.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$10.31
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-18.84
SIPC Assessment	\$-37.68

Check Amount	\$8,996.67
Year-to-date Earnings	\$104,895.94