

COMMISSION STATEMENT

November 30, 2018

RANDY SMITH-D061
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D061
 BRANCH: DMA

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/01/2018	153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00 50.0 -2.50
11/01/2018	153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00 50.0 -2.50
11/19/2018	368282032	MARTINEZ, RAYMOND	MF	WASHINGTON MUTUAL INVESTORS	B	-5,087.55	0.00	5.00	-5.00 50.0 -2.50
11/05/2018	677002494	SHEWITZ, ZACHARY	MF	MASS INVESTORS GROWTH STOCK	B	-1,002.55	0.00	5.00	-5.00 50.0 -2.50
				* MUTUAL FUNDS SUBTOTAL *		\$-7,290.10	\$0.00	\$20.00	\$-20.00 \$-10.00
PRODUCT CATEGORY: STOCKS									
11/06/2018	184960763	COOK JR, LESLIE	S	APPLE INC	B	1,254.79	35.00	15.00	20.00 50.0 10.00
11/01/2018	676367088	SMITH, RANDALL	S	ENERGY TRANSFER EQUITY L P	B	4,753.03	20.00	15.00	5.00 50.0 2.50
11/01/2018	676367088	SMITH, RANDALL	S	MAGELLAN MIDSTREAM PARTNERS LP	B	24,538.25	20.00	15.00	5.00 50.0 2.50
11/01/2018	676367088	SMITH, RANDALL	S	WP CAREY INC	B	9,615.70	20.00	15.00	5.00 50.0 2.50
				* STOCKS SUBTOTAL *		\$40,161.77	\$95.00	\$60.00	\$35.00 \$17.50
PRODUCT CATEGORY: BONDS									
11/08/2018	676362622	SMITH TTEE, RANDALL B	EL	PASO TX ISD ULTD TAX SCH	B	24,104.11	20.00	15.00	5.00 50.0 2.50
				* BONDS SUBTOTAL *		\$24,104.11	\$20.00	\$15.00	\$5.00 \$2.50
PRODUCT CATEGORY: MISCELLANEOUS									
11/06/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677002494	S	0.00	20.00	0.00	20.00 50.0 10.00
11/20/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 368282032	S	0.00	10.00	0.00	10.00 50.0 5.00
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$30.00	\$0.00	\$30.00 \$15.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018	mfc219642	SMITH RANDALL	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	11.14	0.00	11.14 50.0 5.57
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.22	0.00	2.22 50.0 1.11
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	7.55	0.00	7.55 50.0 3.78
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	11.78	0.00	11.78 50.0 5.89
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	14.15	0.00	14.15 50.0 7.08
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	16.62	0.00	16.62 50.0 8.31
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	17.27	0.00	17.27 50.0 8.64
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	20.33	0.00	20.33 50.0 10.17
11/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	20.47	0.00	20.47 50.0 10.24

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	31.48	0.00	31.48	50.0	15.74
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	42.09	0.00	42.09	50.0	21.05
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	43.14	0.00	43.14	50.0	21.57
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	62.94	0.00	62.94	50.0	31.47
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-F1	B	0.00	11.54	0.00	11.54	50.0	5.77
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	16.67	0.00	16.67	50.0	8.34
11/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.44	0.00	5.44	50.0	2.72
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL APPRECIATION A	B	0.00	1.91	0.00	1.91	50.0	0.96
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.75	0.00	1.75	50.0	0.88
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.85	0.00	4.85	50.0	2.43
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	14.70	0.00	14.70	50.0	7.35
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	17.92	0.00	17.92	50.0	8.96
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	22.96	0.00	22.96	50.0	11.48
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	79.87	0.00	79.87	50.0	39.94
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.17	0.00	1.17	50.0	0.59
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.80	0.00	2.80	50.0	1.40
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	15.70	0.00	15.70	50.0	7.85
11/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL WORLD BOND FUND-A	B	0.00	5.82	0.00	5.82	50.0	2.91
11/21/2018	mfc219642 SMITH RANDALL	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.02	0.00	0.02	50.0	0.01
11/21/2018	mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	7.01	0.00	7.01	50.0	3.51
11/21/2018	mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	16.00	0.00	16.00	50.0	8.00
11/21/2018	mfc219642 SMITH RANDALL	MFT DREYFUS HIGH YIELD FD A	B	0.00	2.99	0.00	2.99	50.0	1.50
11/21/2018	mfc219642 SMITH RANDALL	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	3.92	0.00	3.92	50.0	1.96
11/21/2018	mfc219642 SMITH RANDALL	MFT EATON VANCE UTILITIES FUND	B	0.00	2.66	0.00	2.66	50.0	1.33
11/21/2018	mfc219642 SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.34	0.00	0.34	50.0	0.17
11/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	17.78	0.00	17.78	50.0	8.89
11/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	8.25	0.00	8.25	50.0	4.13
11/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.50	0.00	5.50	50.0	2.75
11/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.40	0.00	3.40	50.0	1.70
11/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	5.91	0.00	5.91	50.0	2.96
11/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.22	0.00	6.22	50.0	3.11
11/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	35.93	0.00	35.93	50.0	17.97
11/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.48	0.00	1.48	50.0	0.74
11/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.79	0.00	5.79	50.0	2.90
11/21/2018	mfc219642 SMITH RANDALL	MFT FRK INC FD CL A	B	0.00	9.81	0.00	9.81	50.0	4.91
11/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.15	0.00	1.15	50.0	0.58
11/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.55	0.00	1.55	50.0	0.78
11/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.29	0.00	6.29	50.0	3.15
11/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.68	0.00	13.68	50.0	6.84
11/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	29.59	0.00	29.59	50.0	14.80
11/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.01	0.00	0.01	50.0	0.01
11/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.02	0.00	0.02	50.0	0.01
11/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.46	0.00	0.46	50.0	0.23
11/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.65	0.00	0.65	50.0	0.33

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11/21/2018	mfc219642 SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-A	B	0.00	0.01	0.00	0.01	50.0	0.01
11/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.74	0.00	4.74	50.0	2.37
11/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.95	0.00	4.95	50.0	2.48
11/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.65	0.00	5.65	50.0	2.83
11/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	6.09	0.00	6.09	50.0	3.05
11/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	27.84	0.00	27.84	50.0	13.92
11/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	64.72	0.00	64.72	50.0	32.36
11/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	12.23	0.00	12.23	50.0	6.12
11/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	13.86	0.00	13.86	50.0	6.93
11/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.60	0.00	15.60	50.0	7.80
11/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	38.46	0.00	38.46	50.0	19.23
11/21/2018	mfc219642 SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	19.73	0.00	19.73	50.0	9.87
11/21/2018	mfc219642 SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS B	B	0.00	0.10	0.00	0.10	50.0	0.05
11/21/2018	mfc219642 SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.65	0.00	8.65	50.0	4.33
11/21/2018	mfc219642 SMITH RANDALL	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	28.55	0.00	28.55	50.0	14.28
11/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.36	0.00	1.36	50.0	0.68
11/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	10.83	0.00	10.83	50.0	5.42
11/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.04	0.00	0.04	50.0	0.02
11/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.54	0.00	0.54	50.0	0.27
11/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59	50.0	0.30
11/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.96	0.00	3.96	50.0	1.98
11/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.83	0.00	16.83	50.0	8.42
11/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.10	0.00	0.10	50.0	0.05
11/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.17	0.00	3.17	50.0	1.59
11/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.36	0.00	6.36	50.0	3.18
11/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.35	0.00	15.35	50.0	7.68
11/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	93.61	0.00	93.61	50.0	46.81
11/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	3.05	0.00	3.05	50.0	1.53
11/21/2018	mfc219642 SMITH RANDALL	MFT VALUE A	B	0.00	3.83	0.00	3.83	50.0	1.92
11/21/2018	mfc219642 SMITH RANDALL	MFT VALUE A	B	0.00	9.52	0.00	9.52	50.0	4.76
11/21/2018	mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	1.93	0.00	1.93	50.0	0.97
11/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.07	0.00	1.07	50.0	0.54
11/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.40	0.00	1.40	50.0	0.70
11/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.51	0.00	4.51	50.0	2.26
11/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.50	0.00	5.50	50.0	2.75
11/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	59.01	0.00	59.01	50.0	29.51
11/21/2018	mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.63	0.00	1.63	50.0	0.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,186.06	\$0.00	\$1,186.06		\$593.26
*** CLEARING TRANSACTIONS TOTAL ***				\$56,975.78	\$1,331.06	\$95.00	\$1,236.06		\$618.26

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/05/2018 (None)	SMITH, MAR G	MF OPPENHEIMER	B	50.00	2.38	0.00	2.38	50.0	1.19
11/15/2018 (None)	JONES, MARY	MF OPPENHEIMER	B	1,000.00	47.50	0.00	47.50	50.0	23.75
11/21/2018 00075390701	MURPHY, DEBORAH	MF INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
11/21/2018 00075396784	CASTANEDA, SANDRA	MF THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
11/21/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
11/21/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
11/21/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
11/21/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
11/13/2018 00084512960	GIBBS, KENDRA	MF CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
11/13/2018 00084512960	GIBBS, KENDRA	MF NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
11/13/2018 00084512960	GIBBS, KENDRA	MF THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
11/20/2018 00085302677	JORGE ALEXANDER	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
11/23/2018 00087364129	DORER, SONIA	MF AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
11/23/2018 00087364129	DORER, SONIA	MF NEW PERSPECTIVE FUND-A	B	249.73	6.87	0.00	6.87	50.0	3.44
11/23/2018 00087369989	DORER, RICHARD	MF SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
11/21/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
11/21/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
11/15/2018 00088038123	PONCE, YOLANDA	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
11/21/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
11/21/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
11/16/2018 04000890952	PIERPONT, BRADLEY	MF AMERICAN BALANCED FUND-A	B	1,275.00	35.06	0.00	35.06	50.0	17.53
11/16/2018 04000890952	PIERPONT, BRADLEY	MF CAPITAL WORLD GROWTH AND INCOME	B	1,275.00	35.06	0.00	35.06	50.0	17.53
11/16/2018 04000890952	PIERPONT, BRADLEY	MF THE GROWTH FUND OF AMERICA-A	B	1,154.55	31.75	0.00	31.75	50.0	15.88
* MUTUAL FUNDS SUBTOTAL *				\$8,244.28	\$242.31	\$0.00	\$242.31		\$121.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018 (None)	ALLEN, JOHN	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	3.94	0.00	3.94	50.0	1.97
10/31/2018 (None)	COUVILLION, TARI	MFT VIRTUS	B	0.00	16.67	0.00	16.67	50.0	8.34
11/14/2018 02400053358	SANTIAGO, RITA	MFT GROWTH OPPORTUNITIES C	B	0.00	29.78	0.00	29.78	50.0	14.89
11/14/2018 02400053358	SANTIAGO, RITA	MFT MID CAP STOCK C	B	0.00	30.98	0.00	30.98	50.0	15.49
11/14/2018 02400053358	SANTIAGO, RITA	MFT VALUE OPPORTUNITIES C	B	0.00	29.80	0.00	29.80	50.0	14.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$111.17	\$0.00	\$111.17		\$55.59
*** DIRECT TRANSACTIONS TOTAL ***				\$8,244.28	\$353.48	\$0.00	\$353.48		\$176.77

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RIX/RANDY SMITH/SMITH-D081 #80										
11/01/2018	676365887	SMITH, RANDALL	S	MAGELLAN MIDSTREAM PARTNERS LP	B	12,273.11	20.00	7.50	12.50	6.25
11/21/2018	676365887	SMITH, RANDALL	S	ROYAL DUTCH SHELL PLC-SPON ADR	B	9,486.52	17.50	7.50	10.00	5.00
10/31/2018	676346300	SMITH TTEE, RONALD	B	WACO TEX	B	16,507.11	131.25	7.50	123.75	61.88
11/01/2018	676346300	SMITH TTEE, RONALD	B	BELLAIRE TX GO BDS 2017 A	B	8,657.45	100.00	7.50	92.50	46.25
10/31/2018	676356642	SMITH, RANDALL	B	FORT WORTH TEX	B	11,910.59	93.75	7.50	86.25	43.13
11/06/2018	676365887	SMITH, RANDALL	B	COLLIN CO TX CMNTY COLL	B	12,095.34	93.75	7.50	86.25	43.13
11/07/2018	676365887	SMITH, RANDALL	B	HARLINGEN TEX WTRWKS & SWR SYS	B	12,438.85	93.75	7.50	86.25	43.13
11/08/2018	676365887	SMITH, RANDALL	B	TEXAS WOMANS UNIV REV FING SYS	B	17,684.83	131.25	7.50	123.75	61.88
11/27/2018	676365887	SMITH, RANDALL	B	LAMAR TEX CONS INDPT SCH DIST	B	12,158.24	93.75	7.50	86.25	43.13
11/21/2018	mfc913901	SMITH 913901	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.24	0.00	3.24	1.62
11/21/2018	mfc913901	SMITH 913901	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.86	0.00	3.86	1.93
11/21/2018	mfc913901	SMITH 913901	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	5.95	0.00	5.95	2.98
11/21/2018	mfc913901	SMITH 913901	MFT	GLOBAL BALANCED FUND-A	B	0.00	8.52	0.00	8.52	4.26
11/21/2018	mfc913901	SMITH 913901	MFT	INTERNATIONAL GROWTH AND	B	0.00	8.43	0.00	8.43	4.22
11/21/2018	mfc913901	SMITH 913901	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	1.57	0.00	1.57	0.79
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *						\$113,212.04	\$806.57	\$67.50	\$739.07	\$369.58
*** SPLIT TRANSACTIONS TOTAL ***						\$113,212.04	\$806.57	\$67.50	\$739.07	\$369.58

LINKED DIRECT TRANSACTIONS

<u>BROKER: RANDY SMITH-D601 #66</u>									
11/19/2018	00084947333	JOHN J FINN CUST, MF	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	0.10
11/15/2018	04000149886	JOHN J FINN CUST, MF	NEW PERSPECTIVE FUND-A	B	50.00	1.00	0.00	1.00	0.20
11/27/2018	08190129110	PEYTON O LEBLANC, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
11/27/2018	08190129112	SARA E CANCIENNE, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
11/27/2018	08190129113	LILLY M SUTTON	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
11/27/2018	08191029111	PRESLIE G LEBLANC	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
11/27/2018	08191270658	BEAU OUTLAW, JOHN	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
11/05/2018	01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.58	0.00	2.58	0.52
11/05/2018	01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.73	0.00	7.73	1.55
11/05/2018	01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	54.96	0.00	54.96	10.99
11/05/2018	01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	164.88	0.00	164.88	32.98
11/05/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.42	0.00	0.42	0.08
11/05/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.25	0.00	1.25	0.25
11/05/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.38	0.00	0.38	0.08
11/05/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.14	0.00	1.14	0.23
11/05/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.10	0.00	1.10	0.22
11/05/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.30	0.00	3.30	0.66
11/05/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.01	0.00	10.01	2.00

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	30.04	0.00	30.04		6.01
11/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.14	0.00	6.14		1.23
11/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	18.42	0.00	18.42		3.68
11/05/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.73	0.00	2.73		0.55
11/05/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.18	0.00	8.18		1.64
11/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	6.10	0.00	6.10		1.22
11/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	18.30	0.00	18.30		3.66
11/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.56	0.00	2.56		0.51
11/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.68	0.00	7.68		1.54
11/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.75	0.00	10.75		2.15
11/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	32.26	0.00	32.26		6.45
11/05/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.97	0.00	2.97		0.59
11/05/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	8.92	0.00	8.92		1.78
11/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.17	0.00	2.17		0.43
11/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.50	0.00	6.50		1.30
11/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.09	0.00	1.09		0.22
11/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.27	0.00	3.27		0.65
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.98	0.00	2.98		0.60
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.95	0.00	8.95		1.79
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.01	0.00	3.01		0.60
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	9.04	0.00	9.04		1.81
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.07	0.00	3.07		0.61
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.21	0.00	9.21		1.84
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.75	0.00	2.75		0.55
11/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.24	0.00	8.24		1.65
11/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	6.93	0.00	6.93		1.39
11/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	20.80	0.00	20.80		4.16
11/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.92	0.00	2.92		0.58
11/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.76	0.00	8.76		1.75
11/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.36	0.00	2.36		0.47
11/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.08	0.00	7.08		1.42
11/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.40	0.00	2.40		0.48
11/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.19	0.00	7.19		1.44
11/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	17.62	0.00	17.62		3.52
11/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	52.86	0.00	52.86		10.57
11/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	22.68	0.00	22.68		4.54
11/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	68.05	0.00	68.05		13.61
11/05/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	5.53	0.00	5.53		1.11
11/05/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	16.58	0.00	16.58		3.32
11/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.20	0.00	2.20		0.44
11/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	6.61	0.00	6.61		1.32
11/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.25	0.00	4.25		0.85
11/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	12.76	0.00	12.76		2.55
11/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.78	0.00	2.78		0.56

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.33	0.00	8.33		1.67
11/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	19.85	0.00	19.85		3.97
11/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	59.54	0.00	59.54		11.91
11/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	8.94	0.00	8.94		1.79
11/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	26.82	0.00	26.82		5.36
11/05/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	0.97	0.00	0.97		0.19
11/05/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	2.90	0.00	2.90		0.58
11/05/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.08	0.00	1.08		0.22
11/05/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.25	0.00	3.25		0.65
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.08	0.00	2.08		0.42
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.24	0.00	6.24		1.25
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.28	0.00	3.28		0.66
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	9.85	0.00	9.85		1.97
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.78	0.00	1.78		0.36
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.34	0.00	5.34		1.07
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.42	0.00	4.42		0.88
11/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.26	0.00	13.26		2.65
11/14/2018 02400053405	ST JULIEN,	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	58.16	0.00	58.16		11.63
11/14/2018 02400053405	ST JULIEN,	MFT MID CAP STOCK C	B	0.00	22.11	0.00	22.11		4.42
11/14/2018 02400053405	ST JULIEN,	MFT VALUE OPPORTUNITIES C	B	0.00	44.05	0.00	44.05		8.81
11/14/2018 02400053746	JOHN J FINN CUST,	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	1.50	0.00	1.50		0.30
11/14/2018 02400054134	JOHN J FINN JR	MFT MID CAP STOCK C	B	0.00	0.89	0.00	0.89		0.18
11/14/2018 02400054619	GEE, WANDA	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	13.07	0.00	13.07		2.61
11/14/2018 02400056251	ALLEN D &	MFT MID CAP STOCK C	B	0.00	160.64	0.00	160.64		32.13
11/14/2018 02400056251	ALLEN D &	MFT VALUE OPPORTUNITIES C	B	0.00	86.40	0.00	86.40		17.28
11/14/2018 02400057556	CRAFT, ALLEN	MFT MID CAP STOCK C	B	0.00	53.23	0.00	53.23		10.65
11/14/2018 02400057556	CRAFT, ALLEN	MFT VALUE OPPORTUNITIES C	B	0.00	33.93	0.00	33.93		6.79
11/14/2018 02400057928	WARNIE, BARBARA	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	58.10	0.00	58.10		11.62
11/14/2018 02400057928	WARNIE, BARBARA	MFT MID CAP STOCK C	B	0.00	74.00	0.00	74.00		14.80
11/14/2018 02400057928	WARNIE, BARBARA	MFT VALUE OPPORTUNITIES C	B	0.00	74.57	0.00	74.57		14.91
11/14/2018 02400060442	TAZAMA UPENDO ST.	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	23.96	0.00	23.96		4.79
11/14/2018 02400081378	ROBERT LOMBARDI	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	54.53	0.00	54.53		10.91
11/14/2018 02400081378	ROBERT LOMBARDI	MFT MID CAP STOCK C	B	0.00	58.10	0.00	58.10		11.62
11/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.83	0.00	1.83		0.37
11/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.50	0.00	5.50		1.10
11/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.51	0.00	4.51		0.90
11/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.52	0.00	13.52		2.70
11/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.17	0.00	2.17		0.43
11/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.52	0.00	6.52		1.30
11/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.72	0.00	1.72		0.34
11/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.17	0.00	5.17		1.03
11/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.43	0.00	2.43		0.49
11/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.29	0.00	7.29		1.46
11/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.04	0.00	1.04		0.21

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.13	0.00	3.13		0.63
11/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.20	0.00	1.20		0.24
11/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.60	0.00	3.60		0.72
11/05/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.57	0.00	3.57		0.71
11/05/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	10.72	0.00	10.72		2.14
11/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.18	0.00	4.18		0.84
11/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.55	0.00	12.55		2.51
11/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.35	0.00	5.35		1.07
11/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.06	0.00	16.06		3.21
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.65	0.00	1.65		0.33
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.95	0.00	4.95		0.99
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.74	0.00	1.74		0.35
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.21	0.00	5.21		1.04
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.03	0.00	2.03		0.41
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.10	0.00	6.10		1.22
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.01	0.00	2.01		0.40
11/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.03	0.00	6.03		1.21
11/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.15	0.00	8.15		1.63
11/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	24.46	0.00	24.46		4.89
11/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.04	0.00	6.04		1.21
11/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.13	0.00	18.13		3.63
11/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.62	0.00	5.62		1.12
11/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	16.87	0.00	16.87		3.37
11/05/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	1.35	0.00	1.35		0.27
11/05/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	4.05	0.00	4.05		0.81
11/05/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	0.62	0.00	0.62		0.12
11/05/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	1.85	0.00	1.85		0.37
* RANDY SMITH-D601 SUBTOTAL *				\$400.00	\$1,962.03	\$0.00	\$1,962.03		\$392.42
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$1,962.03	\$0.00	\$1,962.03		\$392.42

ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	745.79
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$745.79
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$745.79

BROKER COMMISSION TOTALS

Broker Transactions	\$1,187.45
Split Transactions	\$369.58
Override Transactions	\$0.00
Adjustments	\$745.79
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,302.82
Year-to-date Commissions	\$81,043.32