

COMMISSION STATEMENT

October 31, 2018

FIRST INVESTMENT GREEN C-421318

BROKER #:

BRANCH: DMH

, TX

COMMISSION STATEMENT for FIRST INVESTMENT GREEN C-421318

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/18/2018 368259906	HORTON, ORVILL	MF RUSSELL EQUITY I FD CL S	B	-2,992.55	0.00	2.50	-2.50	0.0	0.00
10/18/2018 368260110	HORTON, CYNTHIA	MF RUSSELL EQUITY I FD CL S	B	-3,992.55	0.00	2.50	-2.50	0.0	0.00
10/02/2018 368261281	ROLLMANN, KENT	MF RUSSELL EQUITY I FD CL S	B	-14,992.55	0.00	2.50	-2.50	0.0	0.00
10/02/2018 368261281	ROLLMANN, KENT	MF RUSSELL US SMALL CAP EQUITY FD	B	-9,992.55	0.00	2.50	-2.50	0.0	0.00
10/18/2018 368266920	RHOADES, JERRY	MF RUSSELL LIFEPOINTS EQTY GROWTH	S	15,007.45	0.00	2.50	-2.50	0.0	0.00
10/03/2018 368283794	HENDRICKSON, RUTH	MF RUSSELL EQUITY I FD CL S	B	-29,992.55	0.00	2.50	-2.50	0.0	0.00
10/12/2018 368429812	BERVEN, CAROL	MF RUSSELL EQUITY I FD CL S	B	-29,997.55	0.00	2.50	-2.50	0.0	0.00
10/12/2018 368429812	BERVEN, CAROL	MF RUSSELL INTL DEVELOPED MKTS FD	B	-29,997.55	0.00	2.50	-2.50	0.0	0.00
10/03/2018 368430829	ABBOTT, KATHERINE	MF RUSSELL EQUITY I FD CL S	B	-14,992.55	0.00	2.50	-2.50	0.0	0.00
10/18/2018 368435122	PATTERSON, SHIRLEY	MF RUSSELL EQUITY I FD CL S	S	-2,531.75	0.00	2.50	-2.50	0.0	0.00
10/18/2018 368435122	PATTERSON, SHIRLEY	MF RUSSELL US SMALL CAP EQUITY FD	S	-2,039.24	0.00	2.50	-2.50	0.0	0.00
10/18/2018 368435302	POCOCK, FRED	MF RUSSELL EQUITY I FD CL S	B	-6,992.55	0.00	2.50	-2.50	0.0	0.00
10/09/2018 368435652	SHIBLOM JR,	MF RUSSELL EQUITY I FD CL S	B	-19,992.55	0.00	2.50	-2.50	0.0	0.00
10/19/2018 368437069	PENDERGRAFT, WAYNE	MF RUSSELL EQUITY I FD CL S	B	-4,992.55	0.00	2.50	-2.50	0.0	0.00
10/19/2018 368438305	PENDERGRAFT, PEGGY	MF RUSSELL EQUITY I FD CL S	B	-2,992.55	0.00	2.50	-2.50	0.0	0.00
10/18/2018 368491011	SLINKARD, DANNY	MF RUSSELL EQUITY I FD CL S	B	-9,992.55	0.00	2.50	-2.50	0.0	0.00
10/03/2018 368491101	SMITH, WILBURN	MF RUSSELL STRATEGIC BOND FD S	B	-9,992.55	0.00	2.50	-2.50	0.0	0.00
10/15/2018 368491101	SMITH, WILBURN	MF RUSSELL EQUITY I FD CL S	B	-39,992.55	0.00	2.50	-2.50	0.0	0.00
10/23/2018 430218071	KENKEL (TOD), LEE	MF RUSSELL EQUITY I FD CL S	B	-19,992.55	0.00	2.50	-2.50	0.0	0.00
10/01/2018 645563610	AND JOAN A	MF FIDELITY ADVISOR HEALTH CARE FD S	S	40,007.45	0.00	2.50	-2.50	0.0	0.00
10/01/2018 645563610	AND JOAN A	MF FIDELITY ADVISOR BIOTECH FD CL S	S	40,007.45	0.00	2.50	-2.50	0.0	0.00
10/01/2018 645563610	AND JOAN A	MF RUSSELL TAX MGD US MID & SMALL S	S	62,792.47	0.00	2.50	-2.50	0.0	0.00
10/01/2018 645563610	AND JOAN A	MF RUSSELL TAX EXEMPT BOND FD CL S S	S	90,515.75	0.00	2.50	-2.50	0.0	0.00
10/01/2018 645563610	AND JOAN A	MF RUSSELL TAX-MGD INTL EQUITY FD S	S	77,805.39	0.00	2.50	-2.50	0.0	0.00
10/01/2018 645563610	AND JOAN A	MF RUSSELL TAX MANAGED US LARGE S	S	100,007.45	0.00	2.50	-2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$169,681.62	\$0.00	\$62.50	\$-62.50		\$0.00

PRODUCT CATEGORY: MISCELLANEOUS

10/02/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 645563610	S	0.00	2.50	0.00	2.50	0.0	0.00
10/02/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 645563610	S	0.00	2.50	0.00	2.50	0.0	0.00
10/02/2018 a03421318	VARIOUS,	MI MUTUAL FUND MISC FEE 645563610	S	0.00	2.50	0.00	2.50	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/02/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 645563610	S	0.00	2.50	0.00	2.50	0.0	0.00
10/02/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 645563610	S	0.00	2.50	0.00	2.50	0.0	0.00
10/02/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 645563610	S	0.00	2.50	0.00	2.50	0.0	0.00
10/03/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368261281	S	0.00	2.50	0.00	2.50	0.0	0.00
10/03/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368261281	S	0.00	2.50	0.00	2.50	0.0	0.00
10/04/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368283794	S	0.00	2.50	0.00	2.50	0.0	0.00
10/04/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368430829	S	0.00	2.50	0.00	2.50	0.0	0.00
10/04/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368491101	S	0.00	2.50	0.00	2.50	0.0	0.00
10/10/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368435652	S	0.00	2.50	0.00	2.50	0.0	0.00
10/16/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368491101	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368259906	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368260110	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368435122	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368435302	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368491011	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368266920	S	0.00	2.50	0.00	2.50	0.0	0.00
10/19/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368435122	S	0.00	2.50	0.00	2.50	0.0	0.00
10/22/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368437069	S	0.00	2.50	0.00	2.50	0.0	0.00
10/22/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 368438305	S	0.00	2.50	0.00	2.50	0.0	0.00
10/24/2018	a03421318	VARIOUS, MI MUTUAL FUND MISC FEE 430218071	S	0.00	2.50	0.00	2.50	0.0	0.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$57.50	\$0.00	\$57.50		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2018	mfc421318	VARIOUS, MFT FIDELITY ADVISOR FUNDS	B	0.00	11.94	0.00	11.94	0.0	0.00
10/19/2018	mfc421318	VARIOUS, MFT FIDELITY ADVISOR FUNDS	B	0.00	30.40	0.00	30.40	0.0	0.00
10/19/2018	mfc421318	VARIOUS, MFT LOOMIS STRATEGIC INCOME FUND-A	B	0.00	2.83	0.00	2.83	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$45.17	\$0.00	\$45.17		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$169,681.62	\$102.67	\$62.50	\$40.17		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00