

COMMISSION STATEMENT
November 30, 2018
ALAN SMITH-D206
 1900 NW Loop 410

BROKER #: D206
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	34.06	0.00	34.06	80.0	27.25
11/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	296.76	0.00	296.76	80.0	237.41
11/21/2018	mfc892289 SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	94.20	0.00	94.20	80.0	75.36
11/21/2018	mfc892289 SMITH ALAN 892289	MFT THE INCOME FUND OF AMERICA-A	B	0.00	79.39	0.00	79.39	80.0	63.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$504.41	\$0.00	\$504.41		\$403.53
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$504.41	\$0.00	\$504.41		\$403.53

ADJUSTMENTS TRANSACTIONS
BROKER: #0

11/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	:	BROKER WORKSTATION-CASTILLO	0.00	0.00	0.00	0.00	-30.00
11/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
11/30/2018	:	E-MAIL ACCOUNT-CASTILLO	0.00	0.00	0.00	0.00	-25.00
11/30/2018	:	E-MAIL ACCOUNT-GONZALES	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-135.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-135.00

BROKER COMMISSION TOTALS

Broker Transactions	\$403.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$268.53
Year-to-date Commissions	\$41,971.90