



COMMISSION STATEMENT

December 10, 2018

ROBERT FRANK
14 Main St., Ste. 213A
Suite 213A
Brunswick, ME 04011

BROKER #: B69
BRANCH #01048 - 48 - MORABITO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/10/2018	***-**-40CL - FRANK, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	165.00	1.65	0.00	1.65	75.0	1.24
12/10/2018	***-**-7768 - BERNSTEIN, ACCOUNT #: 7200055960	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	14,079.52	0.00	0.00	0.00	75.0	0.00
12/10/2018	***-**-7768 - BERNSTEIN, ACCOUNT #: 7200055960	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	14,079.52	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$28,324.04	\$1.65	\$0.00	\$1.65		\$1.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/05/2018	***-**-40CL - FRANK, CLIENTS	NSCC VARIABLE ANNUITY ADDITIONAL DE BUY 1 Units @475.0000	475.00	21.38	0.00	21.38	75.0	16.04
* VARIABLE ANNUITIES SUBTOTAL *			\$475.00	\$21.38	\$0.00	\$21.38		\$16.04
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/10/2018	***-**-40CL - FRANK, CLIENTS	FIDELITY ADV MUTUAL FUND TRAILS BUY 0 Units @0.0000	0.00	52.19	0.00	52.19	75.0	39.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$52.19	\$0.00	\$52.19		\$39.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/04/2018	***-**-40CL - FRANK, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	377.81	0.00	377.81	75.0	283.36
12/05/2018	***-**-40CL - FRANK, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	220.59	0.00	220.59	75.0	165.44
12/07/2018	***-**-40CL - FRANK, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	75.0	1.41
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$600.28	\$0.00	\$600.28		\$450.21
*** BROKER TRANSACTIONS TOTAL ***			\$28,799.04	\$675.50	\$0.00	\$675.50		\$506.63



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: ROBERT FRANK</u>								
12/10/2018	***-**-7768 - BERNSTEIN, ACCOUNT #: 7200055960	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	14,079.52	70.40	0.00	70.40	75.0	52.80
12/10/2018	***-**-7768 - BERNSTEIN, ACCOUNT #: 7200055960	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	14,079.52	70.40	0.00	70.40	75.0	52.80
* ROBERT FRANK SUBTOTAL *			<u>\$28,159.04</u>	<u>\$140.80</u>	<u>\$0.00</u>	<u>\$140.80</u>		<u>\$105.60</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$28,159.04</u>	<u>\$140.80</u>	<u>\$0.00</u>	<u>\$140.80</u>		<u>\$105.60</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$506.63
Split Transactions	\$105.60
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$7.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$619.24
Year-to-date Earnings	\$768.90