

COMMISSION STATEMENT

December 15, 2018

RIX/RANDY SMITH/SMITH-D081

BROKER #: D081

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/07/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	9,613.65	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$9,613.65	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/03/2018	00058301600	SMITH, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00059032323	SMITH, LAURA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00059032323	SMITH, LAURA	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00059032323	SMITH, LAURA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064630494	WARREN, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064630494	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064630494	WARREN, JANET	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL WORLD BOND FUND-C	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669117	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00064669117	CARTER, CRAIG	MFT CAPITAL WORLD BOND FUND-C	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00073969963	SMITH, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00073969963	SMITH, RONALD	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00073969963	SMITH, RONALD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00073969963	SMITH, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00075475697	WEBER, ERICA	MFT AMERICAN BALANCED FUND-529A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00075475697	WEBER, ERICA	MFT AMERICAN MUTUAL FUND-529A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	00075691742	KIRKPATRICK,	MFT AMERICAN BALANCED FUND-529A	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018	04000703953	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00

COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 04000703953	CARTER, CRAIG	MFT AMERICAN FUNDS 2010 TARGET	B	0.00	0.00	0.00	0.00	100.0	0.00
12/03/2018 04000703953	CARTER, CRAIG	MFT RTMT INCOME PORTFOLIO	B	0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$9,613.65	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00