



# COMMISSION STATEMENT

## November 19, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM</u></b>								
11/15/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 2 Units @49.0500						
11/15/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 2 Units @49.8300						
11/15/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @63.2800						
11/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	3.49	0.00	3.49	15.0	0.52
	ACCOUNT #: R096007-ZS	BUY 0 Units @0.0000						
11/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	5.75	0.00	5.75	15.0	0.86
	ACCOUNT #: R096023-ZS	BUY 0 Units @0.0000						
11/13/2018	***-**-4209 - DEBS, KEVIN	ING ADVANTAGE SELECT	0.00	13.46	0.00	13.46	15.0	2.02
	ACCOUNT #: R081292-ZS	BUY 0 Units @0.0000						
11/13/2018	***-**-6833 - FANO, DENNIS	ING ADVANTAGE SELECT	0.00	7.54	0.00	7.54	15.0	1.13
	ACCOUNT #: R110847-ZS	BUY 0 Units @0.0000						
11/16/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	14.35	0.00	14.35	15.0	2.15
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
11/16/2018	***-**-3178 - IONESCU, MIHAELA	ING ADVANTAGE SELECT	0.00	4.00	0.00	4.00	15.0	0.60
	ACCOUNT #: R081736-ZS	BUY 0 Units @0.0000						
11/02/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	18.96	0.14	0.00	0.14	15.0	0.02
	ACCOUNT #: 07123000351	BUY 2 Units @12.6200						
11/05/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	146.41	1.10	0.00	1.10	15.0	0.17
	ACCOUNT #: 07123000494	BUY 12 Units @12.6900						
11/09/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	15.0	1.13
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
11/19/2018	***-**-8780 - PALM BEACH	NATIONWIDE FUNDS TRAIL	0.00	14.70	0.00	14.70	15.0	2.21
	ACCOUNT #: 7034410474	BUY 0 Units @0.0000						
11/19/2018	***-**-9109 - PASCH, ROBERT	NATIONWIDE FUNDS TRAIL	0.00	4.76	0.00	4.76	15.0	0.71
	ACCOUNT #: 7034410500	BUY 0 Units @0.0000						
11/19/2018	***-**-2111 - SPECTRUM TOURS	NATIONWIDE FUNDS TRAIL	0.00	0.75	0.00	0.75	15.0	0.11
	ACCOUNT #: 7034410846	BUY 0 Units @0.0000						
11/15/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @52.8000						



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## ADJUSTMENTS (continued)

* CHRISTOPHER MILGROOM SUBTOTAL *	\$565.37	\$85.78	\$0.00	\$85.78	\$12.87
*** OVERRIDE TRANSACTIONS TOTAL ***	\$565.37	\$85.78	\$0.00	\$85.78	\$12.87

## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$12.87
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$90.74
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$103.61
Year-to-date Earnings	\$3,922.45