

COMMISSION STATEMENT

October 31, 2018

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: A02 T02
BRANCH: FULCRUM GROUP

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
10/10/2018	75501992 THE BARBARA & NEAL	MFS RESEARCH INTERNATIONAL FD CL I S		25,914.41	0.00	0.00	0.00	60.0	0.00
		* MUTUAL FUNDS SUBTOTAL *		\$25,914.41	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: STOCKS									
09/28/2018	75501981 LAWRENCE, VICTORIA	CHIPOTLE MEXICAN GRILL INC COMMON S B		11,356.25	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501981 LAWRENCE, VICTORIA	NVIDIA CORP B		24,741.27	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501981 LAWRENCE, VICTORIA	XPO LOGISTICS INC COM B		10,554.93	0.00	0.00	0.00	60.0	0.00
09/28/2018	75501985 LAWRENCE, CHRISTOPHER	CHIPOTLE MEXICAN GRILL INC COMMON S B		5,942.95	0.00	0.00	0.00	60.0	0.00
09/27/2018	75501989 BINES, BURTON	NEKTAR THERAPEUTICS COM S		30,525.00	0.00	0.00	0.00	60.0	0.00
10/09/2018	75501989 BINES, BURTON	ALIGN TECHNOLOGY INC S		17,204.50	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501989 BINES, BURTON	CHIPOTLE MEXICAN GRILL INC COMMON S B		43,576.34	0.00	0.00	0.00	60.0	0.00
10/22/2018	75501989 BINES, BURTON	NEKTAR THERAPEUTICS COM B		21,572.50	0.00	0.00	0.00	60.0	0.00
10/09/2018	75501991 NSH 81 LLC	ALIBABA GROUP HOLDING LTD SPONSORED S		126,337.91	0.00	0.00	0.00	60.0	0.00
10/09/2018	75501991 NSH 81 LLC	NINTENDO CO LTD-ADR NEW S		17,709.60	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501991 NSH 81 LLC	APPLE INC B		33,436.65	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501991 NSH 81 LLC	FACEBOOK INC CL A B		15,494.43	0.00	0.00	0.00	60.0	0.00
10/19/2018	75501991 NSH 81 LLC	ACTIVISION BLIZZARD INC B		28,019.68	0.00	0.00	0.00	60.0	0.00
10/09/2018	75501992 THE BARBARA & NEAL	3M COMPANY S		6,325.80	0.00	0.00	0.00	60.0	0.00
10/09/2018	75501992 THE BARBARA & NEAL	ALIBABA GROUP HOLDING LTD SPONSORED S		7,336.51	0.00	0.00	0.00	60.0	0.00
10/09/2018	75501992 THE BARBARA & NEAL	NINTENDO CO LTD-ADR NEW S		11,077.00	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501992 THE BARBARA & NEAL	APPLE INC B		8,915.99	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501992 THE BARBARA & NEAL	FACEBOOK INC CL A B		15,053.42	0.00	0.00	0.00	60.0	0.00
10/10/2018	75501992 THE BARBARA & NEAL	LENNAR CORP CL A S		8,882.00	0.00	0.00	0.00	60.0	0.00
10/02/2018	75501999 LAWRENCE, CHARLES	BLUE APRON HOLDINGS INC CLASS A COM B		2,692.80	105.00	0.00	105.00	60.0	63.00
10/10/2018	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM B		8,425.65	51.00	0.00	51.00	60.0	30.60
10/10/2018	75501999 LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW S		9,864.00	51.00	0.00	51.00	60.0	30.60
09/28/2018	75502001 LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK B		16,781.35	200.00	0.00	200.00	60.0	120.00
10/10/2018	75502001 LAWRENCE, CHARLES	AVIANCA HOLDINGS SA SPONSORED ADR S		6,505.20	51.00	0.00	51.00	60.0	30.60
10/10/2018	75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM B		7,989.14	51.00	0.00	51.00	60.0	30.60
10/12/2018	75502001 LAWRENCE, CHARLES	AVIANCA HOLDINGS SA SPONSORED ADR S		8,366.44	51.00	0.00	51.00	60.0	30.60
10/15/2018	75502001 LAWRENCE, CHARLES	AKAMAI TECHNOLOGIES INC B		10,206.99	150.00	0.00	150.00	60.0	90.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/15/2018	75502001	LAWRENCE, CHARLES							
		SAREPTA THERAPEUTICS INC COM	S	8,499.41	55.00	0.00	55.00	60.0	33.00
10/19/2018	75502001	LAWRENCE, CHARLES							
		AKAMAI TECHNOLOGIES INC	S	10,424.00	55.00	0.00	55.00	60.0	33.00
10/19/2018	75502001	LAWRENCE, CHARLES							
		UNIVERSAL DISPLAY CORPORATION	B	9,484.30	105.00	0.00	105.00	60.0	63.00
10/23/2018	75502001	LAWRENCE, CHARLES							
		ARRAY BIOPHARMA INC	S	17,020.00	55.00	0.00	55.00	60.0	33.00
10/23/2018	75502001	LAWRENCE, CHARLES							
		CANOPY GROWTH CORP COM ISIN# CA1380	B	6,740.62	100.00	0.00	100.00	60.0	60.00
10/24/2018	75502001	LAWRENCE, CHARLES							
		ARRAY BIOPHARMA INC	B	16,813.00	55.00	0.00	55.00	60.0	33.00
10/24/2018	75502001	LAWRENCE, CHARLES							
		BITCOIN INVT TR SHS	S	8,394.00	62.18	0.00	62.18	60.0	37.31
10/24/2018	75502001	LAWRENCE, CHARLES							
		XPO LOGISTICS INC COM	B	8,673.40	55.00	0.00	55.00	60.0	33.00
10/25/2018	75502001	LAWRENCE, CHARLES							
		CANOPY GROWTH CORP COM ISIN# CA1380	S	7,056.33	55.00	0.00	55.00	60.0	33.00
10/25/2018	75502001	LAWRENCE, CHARLES							
		CANOPY GROWTH CORP COM ISIN# CA1380	B	10,787.62	75.00	0.00	75.00	60.0	45.00
10/25/2018	75502001	LAWRENCE, CHARLES							
		NINTENDO CO LTD-ADR NEW	S	21,897.30	55.00	0.00	55.00	60.0	33.00
10/26/2018	75502001	LAWRENCE, CHARLES							
		CANOPY GROWTH CORP COM ISIN# CA1380	B	6,639.68	75.00	0.00	75.00	60.0	45.00
10/29/2018	75502001	LAWRENCE, CHARLES							
		APHRIA INC COM ISIN#CA03765K1049	B	5,403.64	100.00	0.00	100.00	60.0	60.00
10/11/2018	75502005	SHEMIN, VICKI							
		SQUARE INC CLASS A COM	B	6,855.19	55.00	0.00	55.00	60.0	33.00
10/16/2018	75502005	SHEMIN, VICKI							
		ALIBABA GROUP HOLDING LTD SPONSORED	S	14,603.62	55.00	0.00	55.00	60.0	33.00
10/16/2018	75502005	SHEMIN, VICKI							
		SQUARE INC CLASS A COM	S	7,660.00	55.00	0.00	55.00	60.0	33.00
10/18/2018	75502005	SHEMIN, VICKI							
		ALCOA CORPORATION COMMON STOCK	B	19,779.50	55.00	0.00	55.00	60.0	33.00
10/17/2018	75502006	SHEMIN, VICKI							
		UNIVERSAL DISPLAY CORPORATION	B	23,700.00	55.00	0.00	55.00	60.0	33.00
09/27/2018	75502008	DEC, JOHN							
		ETSY INC COM	S	7,806.81	0.00	0.00	0.00	60.0	0.00
09/27/2018	75502008	DEC, JOHN							
		FIRST TRUST NEW OPPORTUNITIES MLP & S	S	4,720.65	0.00	0.00	0.00	60.0	0.00
10/02/2018	75502008	DEC, JOHN							
		CHIPOTLE MEXICAN GRILL INC COMMON	S	10,989.75	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502008	DEC, JOHN							
		APPLE INC	B	55,638.48	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502008	DEC, JOHN							
		CHIPOTLE MEXICAN GRILL INC COMMON	S	15,233.40	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502008	DEC, JOHN							
		GARTNER INC	B	22,185.00	0.00	0.00	0.00	60.0	0.00
10/17/2018	75502008	DEC, JOHN							
		UNIVERSAL DISPLAY CORPORATION	B	35,580.39	0.00	0.00	0.00	60.0	0.00
10/24/2018	75502008	DEC, JOHN							
		BECTON DICKINSON & CO	B	23,020.19	0.00	0.00	0.00	60.0	0.00
10/24/2018	75502008	DEC, JOHN							
		NVIDIA CORP	B	20,901.58	0.00	0.00	0.00	60.0	0.00
10/02/2018	75502010	KEETER, LANCE							
		CHIPOTLE MEXICAN GRILL INC COMMON	S	6,592.20	0.00	0.00	0.00	60.0	0.00
10/02/2018	75502010	KEETER, LANCE							
		REGENERON PHARMACEUTICALS INC	S	4,005.80	0.00	0.00	0.00	60.0	0.00
10/09/2018	75502010	KEETER, LANCE							
		ALIGN TECHNOLOGY INC	S	3,430.40	0.00	0.00	0.00	60.0	0.00
10/16/2018	75502010	KEETER, LANCE							
		MICROSOFT CORP	S	2,771.25	0.00	0.00	0.00	60.0	0.00
10/17/2018	75502010	KEETER, LANCE							
		UNIVERSAL DISPLAY CORPORATION	B	5,934.50	0.00	0.00	0.00	60.0	0.00
10/29/2018	75502010	KEETER, LANCE							
		NINTENDO CO LTD-ADR NEW	S	6,396.00	0.00	0.00	0.00	60.0	0.00
09/27/2018	75502011	DEC, JANICE							
		ETSY INC COM	S	3,900.01	0.00	0.00	0.00	60.0	0.00
09/27/2018	75502011	DEC, JANICE							
		FIRST TRUST NEW OPPORTUNITIES MLP & S	S	4,720.15	0.00	0.00	0.00	60.0	0.00
09/27/2018	75502011	DEC, JANICE							
		NEKTAR THERAPEUTICS COM	S	3,049.17	0.00	0.00	0.00	60.0	0.00
10/02/2018	75502011	DEC, JANICE							
		CHIPOTLE MEXICAN GRILL INC COMMON	S	4,400.00	0.00	0.00	0.00	60.0	0.00
10/09/2018	75502011	DEC, JANICE							
		ALIGN TECHNOLOGY INC	S	3,438.70	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502011	DEC, JANICE							
		APPLE INC	B	11,144.78	0.00	0.00	0.00	60.0	0.00
10/16/2018	75502011	DEC, JANICE							
		MICROSOFT CORP	S	2,772.10	0.00	0.00	0.00	60.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/17/2018	75502011 DEC, JANICE	UNIVERSAL DISPLAY CORPORATION	B	5,930.50	0.00	0.00	0.00	60.0	0.00
10/29/2018	75502011 DEC, JANICE	NINTENDO CO LTD-ADR NEW	S	7,794.80	0.00	0.00	0.00	60.0	0.00
09/27/2018	75502012 DEC, JOHN	NEKTAR THERAPEUTICS COM	S	12,206.28	0.00	0.00	0.00	60.0	0.00
10/01/2018	75502012 DEC, JOHN	AKEBIA THERAPEUTICS INC COM	S	8,870.80	0.00	0.00	0.00	60.0	0.00
10/02/2018	75502012 DEC, JOHN	CHIPOTLE MEXICAN GRILL INC COMMON S B		22,244.50	0.00	0.00	0.00	60.0	0.00
10/09/2018	75502012 DEC, JOHN	ALIGN TECHNOLOGY INC	S	34,404.00	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502012 DEC, JOHN	COHERUS BIOSCIENCES INC COM	B	9,812.93	0.00	0.00	0.00	60.0	0.00
10/12/2018	75502012 DEC, JOHN	ALIBABA GROUP HOLDING LTD SPONSORED S		10,992.01	0.00	0.00	0.00	60.0	0.00
10/16/2018	75502012 DEC, JOHN	MICROSOFT CORP	S	22,170.00	0.00	0.00	0.00	60.0	0.00
10/09/2018	75502021 ARCARO, DANIEL	ALIGN TECHNOLOGY INC	S	2,404.08	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502021 ARCARO, DANIEL	CHIPOTLE MEXICAN GRILL INC COMMON S B		4,376.50	0.00	0.00	0.00	60.0	0.00
10/29/2018	75502021 ARCARO, DANIEL	NINTENDO CO LTD-ADR NEW	S	3,900.40	0.00	0.00	0.00	60.0	0.00
10/11/2018	75502022 APPEL, CHARLES	IQVIA HOLDINGS INC COMMON STOCK	S	9,312.01	55.00	0.00	55.00	60.0	33.00
10/24/2018	75502023 APPEL, CHARLES	NVIDIA CORP	B	5,240.00	55.00	0.00	55.00	60.0	33.00
10/29/2018	75502023 APPEL, CHARLES	ACTIVISION BLIZZARD INC	B	12,900.00	55.00	0.00	55.00	60.0	33.00
10/01/2018	75502035 ROMANO, LISA	STARBUCKS CORP	B	1,397.50	0.00	0.00	0.00	60.0	0.00
10/09/2018	75502035 ROMANO, LISA	ALIGN TECHNOLOGY INC	S	1,716.30	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502035 ROMANO, LISA	CHIPOTLE MEXICAN GRILL INC COMMON S B		3,512.56	0.00	0.00	0.00	60.0	0.00
10/01/2018	75502036 ROMANO, MARK	STARBUCKS CORP	B	2,236.00	0.00	0.00	0.00	60.0	0.00
10/09/2018	75502036 ROMANO, MARK	ALIGN TECHNOLOGY INC	S	2,747.92	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502036 ROMANO, MARK	CHIPOTLE MEXICAN GRILL INC COMMON S B		5,268.12	0.00	0.00	0.00	60.0	0.00
10/23/2018	75502041 MCCORD, ELIZABETH	BECTON DICKINSON & CO	B	11,525.50	0.00	0.00	0.00	60.0	0.00
10/23/2018	75502041 MCCORD, ELIZABETH	NINTENDO CO LTD-ADR NEW	S	4,143.00	0.00	0.00	0.00	60.0	0.00
10/08/2018	75502043 MCCORD, ELIZABETH	ALIGN TECHNOLOGY INC	S	9,449.42	0.00	0.00	0.00	60.0	0.00
10/23/2018	75502044 MCCORD, ELIZABETH	BECTON DICKINSON & CO	B	34,560.11	0.00	0.00	0.00	60.0	0.00
10/23/2018	75502044 MCCORD, ELIZABETH	NINTENDO CO LTD-ADR NEW	S	8,286.00	0.00	0.00	0.00	60.0	0.00
10/02/2018	75502104 LAWRENCE, CHARLES	OPKO HEALTH INC	S	3,625.20	51.00	0.00	51.00	60.0	30.60
10/09/2018	75502104 LAWRENCE, CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK	S	4,968.75	51.00	0.00	51.00	60.0	30.60
10/09/2018	75502104 LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM	B	6,578.40	51.00	0.00	51.00	60.0	30.60
10/11/2018	75502104 LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	S	7,158.25	176.71	0.00	176.71	60.0	106.03
10/11/2018	75502104 LAWRENCE, CHARLES	NVIDIA CORP	B	9,407.04	105.00	0.00	105.00	60.0	63.00
10/12/2018	75502104 LAWRENCE, CHARLES	NVIDIA CORP	S	9,944.00	51.00	0.00	51.00	60.0	30.60
10/16/2018	75502104 LAWRENCE, CHARLES	CANOPY GROWTH CORP COM ISIN# CA1380 B		9,205.00	75.00	0.00	75.00	60.0	45.00
10/29/2018	75502113 BEHAR, RAYMOND	NINTENDO CO LTD-ADR NEW	S	3,901.30	0.00	0.00	0.00	60.0	0.00
10/10/2018	75502114 BEHAR, RAYMOND	CHIPOTLE MEXICAN GRILL INC COMMON S B		8,748.80	0.00	0.00	0.00	60.0	0.00
10/18/2018	75502114 BEHAR, RAYMOND	UNIVERSAL DISPLAY CORPORATION	B	5,797.50	0.00	0.00	0.00	60.0	0.00
10/29/2018	75502114 BEHAR, RAYMOND	NINTENDO CO LTD-ADR NEW	S	9,752.50	0.00	0.00	0.00	60.0	0.00
10/08/2018	75502115 BEHAR, RAYMOND	ALIGN TECHNOLOGY INC	S	1,349.92	0.00	0.00	0.00	60.0	0.00
09/28/2018	75502196 LAWRENCE, JEFFREY	CHIPOTLE MEXICAN GRILL INC COMMON S B		8,226.00	0.00	0.00	0.00	60.0	0.00
10/16/2018	75502196 LAWRENCE, JEFFREY	SAREPTA THERAPEUTICS INC COM	S	5,388.92	0.00	0.00	0.00	60.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/24/2018	75502196	LAWRENCE, JEFFREY	SAREPTA THERAPEUTICS INC COM	B	4,904.00	0.00	0.00	60.0	0.00
09/28/2018	75502200	LAWRENCE, CHARLES	MICRON TECHNOLOGY INC	B	11,975.32	125.00	125.00	60.0	75.00
10/29/2018	75502200	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	B	7,732.70	55.00	55.00	60.0	33.00
10/29/2018	75502200	LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	S	7,887.90	55.00	55.00	60.0	33.00
* STOCKS SUBTOTAL *				\$1,367,909.96	\$2,847.89	\$0.00	\$2,847.89		\$1,708.74
<u>PRODUCT CATEGORY: RIA</u>									
10/01/2018	75501981	LAWRENCE, VICTORIA	FAS FEES PT. I/III	B	-1,900.83	551.24	551.24	60.0	330.74
10/01/2018	75501985	LAWRENCE, CHRISTOPHER	FAS FEES PT. I/III	B	-83.51	18.09	18.09	60.0	10.85
10/01/2018	75501986	LAWRENCE, CHRISTOPHER	FAS FEES PT. I/III	B	-659.89	183.30	183.30	60.0	109.98
10/01/2018	75501988	LAWRENCE, CHRISTOPHER	FAS FEES PT. I/III	B	-250.69	54.31	54.31	60.0	32.59
10/01/2018	75501989	BINES, BURTON	FAS FEES PT. I/III	B	-5,384.77	1,633.38	1,633.38	60.0	980.03
10/01/2018	75501990	LAWRENCE, JEFFREY	FAS FEES PT. I/III	B	-178.37	38.64	38.64	60.0	23.18
10/01/2018	75501991	NSH 81 LLC	FAS FEES PT. I/III	B	-4,914.28	1,457.90	1,457.90	60.0	874.74
10/01/2018	75501992	THE BARBARA & NEAL	FAS FEES PT. I/III	B	-1,527.51	442.97	442.97	60.0	265.78
10/01/2018	75502008	DEC, JOHN	FAS FEES PT. I/III	B	-2,865.29	850.03	850.03	60.0	510.02
10/01/2018	75502010	KEETER, LANCE	FAS FEES PT. I/III	B	-943.93	272.12	272.12	60.0	163.27
10/01/2018	75502011	DEC, JANICE	FAS FEES PT. I/III	B	-501.97	125.49	125.49	60.0	75.29
10/01/2018	75502012	DEC, JOHN	FAS FEES PT. I/III	B	-3,801.58	1,127.80	1,127.80	60.0	676.68
10/01/2018	75502013	DEC, JOHN	FAS FEES PT. I/III	B	-468.64	117.16	117.16	60.0	70.30
10/01/2018	75502014	DEC, JOHN	FAS FEES PT. I/III	B	-455.94	113.98	113.98	60.0	68.39
10/01/2018	75502021	ARCARO, DANIEL	FAS FEES PT. I/III	B	-480.52	138.52	138.52	60.0	83.11
10/01/2018	75502035	ROMANO, LISA	FAS FEES PT. I/III	B	-321.89	82.26	82.26	60.0	49.36
10/01/2018	75502036	ROMANO, MARK	FAS FEES PT. I/III	B	-530.21	147.28	147.28	60.0	88.37
10/01/2018	75502041	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-1,238.60	338.55	338.55	60.0	203.13
10/01/2018	75502043	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-2,901.72	559.98	559.98	60.0	335.99
10/01/2018	75502044	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-2,054.47	595.79	595.79	60.0	357.47
10/01/2018	75502060	MASSEY, GEORGE	FAS FEES PT. I/III	B	-369.29	98.47	98.47	60.0	59.08
10/01/2018	75502061	MASSEY, GEORGE	FAS FEES PT. I/III	B	-206.52	49.56	49.56	60.0	29.74
10/01/2018	75502113	BEHAR, RAYMOND	FAS FEES PT. I/III	B	-349.41	87.35	87.35	60.0	52.41
10/01/2018	75502114	BEHAR, RAYMOND	FAS FEES PT. I/III	B	-709.94	194.05	194.05	60.0	116.43
10/01/2018	75502115	BEHAR, RAYMOND	FAS FEES PT. I/III	B	-487.03	81.17	81.17	60.0	48.70
10/01/2018	75502196	LAWRENCE, JEFFREY	FAS FEES PT. I/III	B	-384.74	128.24	128.24	60.0	76.94
10/01/2018	75502198	LAWRENCE, CHRISTOPHER	FAS FEES PT. I/III	B	-199.49	66.49	66.49	60.0	39.89
10/01/2018	75502199	LAWRENCE, JEFFREY	FAS FEES PT. I/III	B	-199.43	66.47	66.47	60.0	39.88
* RIA SUBTOTAL *				\$-34,370.46	\$9,620.59	\$0.00	\$9,620.59		\$5,772.34

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018		FEE COUNT - 15		0.00	436.81	0.00	436.81	60.0	262.09
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$436.81	\$0.00	\$436.81		\$262.09
		*** BROKER TRANSACTIONS TOTAL ***		\$1,359,453.91	\$12,905.29	\$0.00	\$12,905.29		\$7,743.17

ADJUSTMENTS

10/31/2018	DEUNB:UNBUNDLED QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-100.00
10/31/2018	DEUNB:UNBUNDLED QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-100.00
10/31/2018	DEUNB:UNBUNDLED QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-100.00
10/31/2018	MISCELLANEOUS: DEUNC REV NOV & DEC	0.00	0.00	0.00	0.00	200.01
10/31/2018	MISCELLANEOUS: OCT FEES REV TO ADD	0.00	0.00	0.00	0.00	99.99
10/31/2018	MISCELLANEOUS: OCT FEE	0.00	0.00	0.00	0.00	59.99
10/31/2018	FEE ACTIVITY: FINRA MEMBER DISC PRO	0.00	0.00	0.00	0.00	-110.00
10/31/2018	DETLA:OTHER: TRADE OVER LIMIT FEES	0.00	0.00	0.00	0.00	-72.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-122.01

BROKER COMMISSION TOTALS

Broker Transactions	\$7,743.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-122.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.13
SIPC Assessment	\$-32.26

Check Amount	\$7,572.77
Year-to-date Earnings	\$95,899.27