



All Companies
COMMISSION STATEMENT
January 02, 2019

12/28/2018
Page No. 1

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/18/2018	TRAILS	WELLS FARGO	B	3.27	3.27	0.00	3.27	81.0	2.65
12/17/2018	00088728542	CABLE, MARTHA I. AMERICAN BALANCED FUND-529C	B	50.00	0.50	0.00	0.50	81.0	0.41
12/17/2018	00088728542	CABLE, MARTHA I. FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	81.0	0.61
12/17/2018	00088728542	CABLE, MARTHA I. NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	81.0	0.61
12/17/2018	00088728542	CABLE, MARTHA I. WASHINGTON MUTUAL INVESTORS FUND-52 B	B	50.00	0.50	0.00	0.50	81.0	0.41
* MUTUAL FUNDS SUBTOTAL *				\$253.27	\$5.77	\$0.00	\$5.77		\$4.69
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/18/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	148.48	148.48	0.00	148.48	81.0	120.27
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	B	99.84	99.84	0.00	99.84	81.0	80.87
11/26/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	B	100.00	6.00	0.00	6.00	81.0	4.86
* VARIABLE ANNUITIES SUBTOTAL *				\$348.32	\$254.32	\$0.00	\$254.32		\$206.00
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	25632715 DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	83.00	0.00	83.00	81.0	67.23
10/11/2018	49283223 HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	81.0	16.20
10/11/2018	62315710 HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	14.34	0.00	14.34	81.0	11.62
* RIA SUBTOTAL *				\$0.00	\$117.34	\$0.00	\$117.34		\$95.05
*** BROKER TRANSACTIONS TOTAL ***				\$601.59	\$377.43	\$0.00	\$377.43		\$305.74

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/02/2019		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$305.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$198.24
Year-to-date Earnings	\$198.24