

**COMMISSION STATEMENT**  
**September 30, 2018**

LAWRENCE CALDWELL-381717

BROKER #:  
BRANCH: DME

, TX

COMMISSION STATEMENT for LAWRENCE CALDWELL-381717

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/21/2018	mfc381717	VARIOUS,		MFT AMERICAN BALANCED FUND-A	B	0.00	0.22	0.00	0.22 90.0 0.20
09/21/2018	mfc381717	VARIOUS,		MFT CALAMOS GROWTH & INCOME FD CL C	B	0.00	10.87	0.00	10.87 90.0 9.78
09/21/2018	mfc381717	VARIOUS,		MFT NUVEEN TENNESSEE MUNI BD A	B	0.00	1.43	0.00	1.43 90.0 1.29
09/21/2018	mfc381717	VARIOUS,		MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29 90.0 0.26
09/21/2018	mfc381717	VARIOUS,		MFT VOYA SENIOR INCOME FUND C	B	0.00	3.69	0.00	3.69 90.0 3.32
09/24/2018	mfc381717	VARIOUS,		MFT CALAMOS GROWTH & INCOME FD CL C	B	0.00	10.30	0.00	10.30 90.0 9.27
09/24/2018	mfc381717	VARIOUS,		MFT NUVEEN TENNESSEE MUNI BD A	B	0.00	1.34	0.00	1.34 90.0 1.21
09/24/2018	mfc381717	VARIOUS,		MFT VOYA SENIOR INCOME FUND C	B	0.00	3.46	0.00	3.46 90.0 3.11
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$31.60</b>	<b>\$0.00</b>	<b>\$31.60 \$28.44</b>
				<b>*** CLEARING TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$31.60</b>	<b>\$0.00</b>	<b>\$31.60 \$28.44</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$28.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>