

**COMMISSION STATEMENT**  
**September 15, 2018**

KRIS LLOYD-D039  
4265 San Felipe Road  
Suite 1100  
Houston, TX 77027

BROKER #: D039  
BRANCH: DMJ

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/04/2018	00073300874	ALLEN/AUTH PER, MFT AMCAP FUND-A	B	0.00	1.05	0.00	1.05	90.0	0.95
09/04/2018	00073300874	ALLEN/AUTH PER, MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.86	0.00	0.86	90.0	0.77
09/04/2018	00073300874	ALLEN/AUTH PER, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.65	0.00	1.65	90.0	1.49
09/04/2018	00073503635	ALLEN, REESE MFT CAPITAL WORLD GROWTH AND	B	0.00	4.69	0.00	4.69	90.0	4.22
09/04/2018	00073503635	ALLEN, REESE MFT SMALLCAP WORLD FUND-529A	B	0.00	9.28	0.00	9.28	90.0	8.35
09/04/2018	00073503635	ALLEN, REESE MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	8.50	0.00	8.50	90.0	7.65
09/04/2018	00073503681	ALLEN, REESE MFT CAPITAL WORLD GROWTH AND	B	0.00	8.49	0.00	8.49	90.0	7.64
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$34.52</b>	<b>\$0.00</b>	<b>\$34.52</b>		<b>\$31.07</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$34.52</b>	<b>\$0.00</b>	<b>\$34.52</b>		<b>\$31.07</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$31.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$31.07</b>
<b>Year-to-date Commissions</b>	<b>\$42,829.52</b>