

COMMISSION STATEMENT

October 31, 2018

STEPHEN MOSS
1336 MARYLAND AVENUE

BROKER #: V05 A05
BRANCH: FULCRUM GROUP

HAVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/05/2018 (None)	VARIOUS, MOSS	MASSMUTUAL	B	0.00	158.59	0.00	158.59	50.0	79.30
10/19/2018 (None)	VARIOUS, MOSS	529 PLAN	B	0.00	17.39	0.00	17.39	50.0	8.70
* MUTUAL FUNDS SUBTOTAL *				<u>\$0.00</u>	<u>\$175.98</u>	<u>\$0.00</u>	<u>\$175.98</u>		<u>\$88.00</u>

PRODUCT CATEGORY: STOCKS

09/28/2018 75501532	LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P INTERNATIONA	B	34.30	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P EMERGING MAR	B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P WORLD EX-US	B	30.72	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR RUSSELL 1000 ETF	S	340.48	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR RUSSELL 2000 ETF	S	33.19	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR PORTFOLIO IN	B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	67.66	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	27.60	0.00	0.00	0.00	50.0	0.00
09/28/2018 75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	166.24	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	INVESCO QQQ TR UNIT SER 1	S	3,927.25	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES IBOX \$ HIGH YIELD CORPORAT	B	21,138.86	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES MSCI EMERGING MARKETS ETF	S	13,720.94	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES RUSSELL 2000 ETF	B	14,766.80	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES S&P SMALL CAP 600 GROWTH ET	B	14,380.85	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES S&P500 GROWTH INDEX FD	S	4,116.25	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES TR S&P MIDCAP 400 GROWTH	S	16,536.52	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES U S INDUSTRIALS ETF	B	7,302.50	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES U S TECHNOLOGY ETF	B	7,326.29	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES U S HEALTHCARE ETF	B	17,917.38	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES U S FINANCIALS ETF	S	10,133.77	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	ISHARES U S CONSUMER SERVICES ETF	B	7,036.02	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	PIMCO ENHANCED SHORT MATURITY ACTIV	B	20,499.97	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	SPDR DOW JONES INDL AVERAGE ETF TR	S	18,224.64	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	SPDR S&P 500 ETF TRUST	S	3,848.11	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BA	S	20,677.87	0.00	0.00	0.00	50.0	0.00
10/11/2018 75501875	RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BA	S	20,923.60	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/28/2018	75501876	ROHRBACH, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US B	61.44	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501876	ROHRBACH, EDWARD	SPDR RUSSELL 1000 ETF S	136.19	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	33.83	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	83.12	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	INVESCO EXCHANGE TRADED FD TR II IN S	20,827.85	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	INVESCO QQQ TR UNIT SER 1 S	2,389.53	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES FLOATING RATE BOND ETF B	22,059.19	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES IBOX \$ HIGH YIELD CORPORAT B	1,442.37	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES RUSSELL 2000 ETF S	2,030.47	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD S	2,807.72	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF S	29,042.08	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET S	1,479.20	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF B	10,259.74	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF B	32,837.77	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF B	11,236.04	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF B	11,378.43	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF S	22,004.32	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV B	22,022.25	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST B	26,500.79	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S	21,684.89	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	INVESCO EXCHANGE TRADED FD TR EMERG S	4,476.25	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	INVESCO QQQ TR UNIT SER 1 B	5,847.32	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES FLOATING RATE BOND ETF B	4,839.78	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES IBOX \$ HIGH YIELD CORPORAT B	5,012.05	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES RUSSELL 2000 ETF B	5,783.84	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET B	5,742.75	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD B	5,799.15	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF S	5,178.69	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH S	4,965.01	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF B	7,371.24	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF S	4,355.49	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF S	6,793.83	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV B	4,871.52	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR S	5,089.80	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL S	4,665.10	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	STANDARD & POORS MIDCAP 400 SPDR S& S	4,489.42	0.00	0.00	0.00	50.0	0.00
10/11/2018	75501878	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S	4,804.26	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501932	CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US B	30.72	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501932	CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501932	CUNICELLI, EDWARD	SPDR RUSSELL 1000 ETF S	68.10	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/28/2018	75501932	CUNICELLI, EDWARD		SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.71	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR INDEX SHS FDS S&P WORLD EX-US B	61.44	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR INDEX SHS FDS S&P INTERNATIONALA B	34.30	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR RUSSELL 1000 ETF S	272.38	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR SERIES TRUST SPDR PORTFOLIO IN B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR SERIES TRUST SPDR BLOOMBERG BA B	83.12	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501945	EDWARD W CUNICELLI		SPDR SERIES TRUST SPDR BLOOMBERG BA B	67.66	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501994	MCCOACH, MARY		SPDR RUSSELL 1000 ETF S	34.05	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501994	MCCOACH, MARY		SPDR SERIES TRUST SPDR PORTFOLIO IN B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018	75501994	MCCOACH, MARY		SPDR SERIES TRUST SPDR BLOOMBERG BA S	27.69	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		INVESCO EXCHANGE TRADED FD TR EMERG S	2,960.00	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		INVESCO QQQ TR UNIT SER 1 B	3,825.15	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES FLOATING RATE BOND ETF B	3,260.80	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES IBOX \$ HIGH YIELD CORPORAT B	3,340.35	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES RUSSELL 2000 ETF B	3,920.40	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES S&P SMALL CAP 600 GROWTH ET B	3,894.20	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES S&P500 GROWTH INDEX FD B	3,843.40	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES S&P SMALL CAP 600 VALUE ETF S	3,416.70	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES TR S&P MIDCAP 400 GROWTH S	3,404.70	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES U S FINANCIALS ETF S	4,507.34	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES U S TECHNOLOGY ETF S	3,436.02	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		ISHARES U S HEALTHCARE ETF B	4,811.52	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		PIMCO ENHANCED SHORT MATURITY ACTIV B	3,246.08	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		SPDR DOW JONES INDL AVERAGE ETF TR S	3,454.23	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		SPDR SER TR BLOOMBERG BARCLAYS INTL S	3,213.29	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		STANDARD & POORS MIDCAP 400 SPDR S& S	3,239.41	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502025	THIEU, MINH		VANECK VECTORS ETF TR HIGH YIELD MU S	3,228.40	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		INVESCO EXCHANGE TRADED FD TR EMERG S	4,908.51	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		INVESCO QQQ TR UNIT SER 1 B	6,734.74	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES FLOATING RATE BOND ETF B	5,604.28	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES IBOX \$ HIGH YIELD CORPORAT B	5,737.21	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES RUSSELL 2000 ETF B	6,704.32	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES S&P SMALL CAP 600 VALUE ETF S	5,863.68	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES S&P500 GROWTH INDEX FD B	6,631.00	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES S&P SMALL CAP 600 GROWTH ET B	6,623.20	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES TR S&P MIDCAP 400 GROWTH S	5,903.30	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES U S FINANCIALS ETF S	7,433.47	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES U S TECHNOLOGY ETF S	5,719.50	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		ISHARES U S HEALTHCARE ETF B	8,217.22	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/04/2018	75502026	DAVID DIEU TTEE		PIMCO ENHANCED SHORT MATURITY ACTIV B	5,579.20	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		SPDR DOW JONES INDL AVERAGE ETF TR S	6,106.50	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		SPDR S&P 500 ETF TRUST B	1,155.40	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		SPDR SER TR BLOOMBERG BARCLAYS INTL S	5,346.51	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		STANDARD & POORS MIDCAP 400 SPDR S& S	5,401.42	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502026	DAVID DIEU TTEE		VANECK VECTORS ETF TR HIGH YIELD MU S	5,196.19	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR INDEX SHS FDS S&P WORLD EX-US B	30.72	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR INDEX SHS FDS S&P EMERGING MAR B	34.74	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR RUSSELL 1000 ETF S	374.52	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR SERIES TRUST SPDR PORTFOLIO IN B	66.57	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR SERIES TRUST SPDR BLOOMBERG BA B	221.66	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR SERIES TRUST SPDR BLOOMBERG BA B	33.83	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502094	VERBITSKI, MAUREEN		SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.60	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		INVESCO QQQ TR UNIT SER 1 S	7,516.12	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES FLOATING RATE BOND ETF B	9,731.07	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES IBOXX \$ HIGH YIELD CORPORAT B	27,004.35	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES MSCI EMERGING MARKETS ETF S	21,102.26	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES RUSSELL 2000 ETF B	21,091.20	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P500 GROWTH INDEX FD S	7,192.22	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P SMALL CAP 600 GROWTH ET B	21,193.93	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES U S FINANCIALS ETF S	19,055.17	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		ISHARES U S HEALTHCARE ETF B	19,482.45	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		PIMCO ENHANCED SHORT MATURITY ACTIV B	26,170.23	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		SPDR DOW JONES INDL AVERAGE ETF TR S	27,140.16	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		SPDR S&P 500 ETF TRUST S	6,087.90	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		SPDR SERIES TRUST SPDR BLOOMBERG BA S	17,593.32	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502095	VERBITSKI, THOMAS		VANECK VECTORS ETF TR HIGH YIELD MU S	16,342.00	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		INVESCO QQQ TR UNIT SER 1 B	2,037.48	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES FLOATING RATE BOND ETF B	3,056.70	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES IBOXX \$ HIGH YIELD CORPORAT B	3,133.16	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES RUSSELL 2000 ETF B	2,156.56	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P500 GROWTH INDEX FD B	2,121.21	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P SMALL CAP 600 GROWTH ET B	2,007.06	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES U S INDUSTRIALS ETF B	2,601.54	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES U S TECHNOLOGY ETF B	2,651.25	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES U S CONSUMER SERVICES ETF B	2,515.44	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		ISHARES U S HEALTHCARE ETF B	2,670.22	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		PIMCO ENHANCED SHORT MATURITY ACTIV B	3,044.70	0.00	0.00	0.00	50.0	0.00
10/11/2018	75502095	VERBITSKI, THOMAS		SPDR S&P 500 ETF TRUST B	1,911.25	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES		SPDR INDEX SHS FDS S&P INTERNATIONAL B	34.30	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/28/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P WORLD EX-US	B	92.16	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P EMERGING MAR	B	104.22	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHARES FUNDS SPDR S&P EM	B	44.77	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR RUSSELL 2000 ETF	S	33.19	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR RUSSELL 1000 ETF	S	544.76	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR PORTFOLIO IN	B	33.29	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	54.48	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	33.83	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	166.24	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	27.60	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES FLOATING RATE BOND ETF	S	2,088.34	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES IBOXX \$ HIGH YIELD CORPORAT	B	7,534.56	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES MSCI EMERGING MARKETS ETF	S	4,218.37	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES RUSSELL 2000 ETF	B	5,889.60	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES S&P SMALL CAP 600 GROWTH ET	B	5,852.70	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES U S FINANCIALS ETF	S	4,496.61	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	ISHARES U S HEALTHCARE ETF	B	5,404.32	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	PIMCO ENHANCED SHORT MATURITY ACTIV	B	7,303.68	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	SPDR DOW JONES INDL AVERAGE ETF TR	S	5,299.40	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA	S	9,986.89	0.00	0.00	0.00	50.0	0.00
10/04/2018	75502176	DENNIN, MARY	VANECK VECTORS ETF TR HIGH YIELD MU	S	9,334.43	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502191	LEIGH, JOHN	SPDR INDEX SHS FDS S&P WORLD EX-US	S	61.43	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502191	LEIGH, JOHN	SPDR RUSSELL 2000 ETF	B	66.42	0.00	0.00	0.00	50.0	0.00
09/28/2018	75502191	LEIGH, JOHN	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	55.41	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					\$1,066,519.02	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

10/08/2018	(None)	VARIOUS, MOSS	LIFE & ANNUITY	B	0.00	55.90	0.00	55.90	50.0	27.95
10/10/2018	(None)	VARIOUS, MOSS	JACKSON	B	0.00	16.56	0.00	16.56	50.0	8.28
10/10/2018	(None)	VARIOUS, MOSS	LINCOLN	B	0.00	274.47	0.00	274.47	50.0	137.24
10/16/2018	(None)	VARIOUS, MOSS	SERIES CTRA	B	0.00	829.43	0.00	829.43	50.0	414.72
10/19/2018	(None)	VARIOUS, MOSS	MASS MUTUAL	B	0.00	10.28	0.00	10.28	50.0	5.14
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$1,186.64	\$0.00	\$1,186.64		\$593.33

PRODUCT CATEGORY: MISCELLANEOUS

10/10/2018		VARIOUS, MOSS	COLLEGEBOUND	B	0.00	3.50	0.00	3.50	50.0	1.75
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$3.50	\$0.00	\$3.50		\$1.75

[illegible]

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-80.61
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.00
10/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-121.30
10/31/2018		MISCELLANEOUS: DEUNC REV NOV & DEC		0.00	0.00	0.00	0.00		1,033.13
10/31/2018		MISCELLANEOUS: OCT FEES		0.00	0.00	0.00	0.00		-258.28
10/31/2018		MISCELLANEOUS: REV OCT TO ADD GRID		0.00	0.00	0.00	0.00		516.55
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-258.28</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,002.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-258.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.00
SIPC Assessment	\$-10.01

Check Amount	\$1,728.78
Year-to-date Earnings	\$15,508.80