

COMMISSION STATEMENT

September 15, 2018

MARK ARCHAMBO-D003

310 South Osage

BROKER #: D003

BRANCH: DMB

Bartlesville, OK 74003

COMMISSION STATEMENT for MARK ARCHAMBO-D003

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/06/2018	00523766434	THOMAS, CLAYTON	MF	FIDELITY ADVISOR FUNDS	B	164.40	1.64	0.00	1.64	90.0	1.48
09/06/2018	00523766434	THOMAS, CLAYTON	MF	FIDELITY ADVISOR FUNDS	B	164.40	1.64	0.00	1.64	90.0	1.48
* MUTUAL FUNDS SUBTOTAL *						\$328.80	\$3.28	\$0.00	\$3.28		\$2.96
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/04/2018	00056226111	SADDORIS, MATHIS	MFT	AMCAP FUND-A	B	0.00	69.14	0.00	69.14	90.0	62.23
09/04/2018	00056309362	SADDORIS, LINDA	MFT	AMCAP FUND-A	B	0.00	17.76	0.00	17.76	90.0	15.98
09/04/2018	00062212909	BEVERLY M	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	37.43	0.00	37.43	90.0	33.69
09/04/2018	00062212909	BEVERLY M	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	68.71	0.00	68.71	90.0	61.84
09/04/2018	00062212909	BEVERLY M	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	26.26	0.00	26.26	90.0	23.63
09/04/2018	00062212909	BEVERLY M	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	46.85	0.00	46.85	90.0	42.17
09/04/2018	00066837124	MYERS, BEVERLY	MFT	AMERICAN BALANCED FUND-F1	B	0.00	39.11	0.00	39.11	90.0	35.20
09/04/2018	00067268814	BARNHART, KONLYN	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.87	0.00	1.87	90.0	1.68
09/04/2018	00073519425	WALL, BARBARA	MFT	AMERICAN BALANCED FUND-C	B	0.00	78.25	0.00	78.25	90.0	70.43
09/04/2018	00073519425	WALL, BARBARA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	19.27	0.00	19.27	90.0	17.34
09/04/2018	00074255829	GREER, TRAVIS	MFT	AMERICAN BALANCED FUND-529A	B	0.00	7.76	0.00	7.76	90.0	6.98
09/04/2018	00074721058	COURTAD, GRETCHEN	MFT	AMERICAN BALANCED FUND-C	B	0.00	62.19	0.00	62.19	90.0	55.97
09/04/2018	00074721058	COURTAD, GRETCHEN	MFT	AMERICAN BALANCED FUND-F1	B	0.00	5.16	0.00	5.16	90.0	4.64
09/04/2018	00082424968	CASSELBERRY,	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.87	0.00	0.87	90.0	0.78
09/04/2018	00082424968	CASSELBERRY,	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	142.39	0.00	142.39	90.0	128.15
09/04/2018	00082506141	THOMAS, CLAYTON	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	22.78	0.00	22.78	90.0	20.50
09/04/2018	00082506141	THOMAS, CLAYTON	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.70	0.00	0.70	90.0	0.63
09/04/2018	00082506141	THOMAS, CLAYTON	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	6.69	0.00	6.69	90.0	6.02
09/04/2018	00089342791	SWYGARD, BRADLEY	MFT	AMERICAN BALANCED FUND-C	B	0.00	19.10	0.00	19.10	90.0	17.19
09/10/2018	00523766434	THOMAS, CLAYTON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	117.44	0.00	117.44	90.0	105.70
09/10/2018	00524268448	THOMAS, CLAYTON	MFT	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	7.08	0.00	7.08	90.0	6.37
09/10/2018	00689589232	TRISTA R	MFT	FA 529 PORT 2019 CL A	B	0.00	2.84	0.00	2.84	90.0	2.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$799.65	\$0.00	\$799.65		\$719.68
*** DIRECT TRANSACTIONS TOTAL ***						\$328.80	\$802.93	\$0.00	\$802.93		\$722.64

COMMISSION STATEMENT for MARK ARCHAMBO-D003

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>LINKED DIRECT TRANSACTIONS</u>									
BROKER: MARK ARCHAMBO-D167 #5									
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADVISOR FUNDS	B	25.50	0.26	0.00	0.26	0.23
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADVISOR FUNDS	B	85.00	0.85	0.00	0.85	0.77
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV LARGE CAP CL C	B	25.50	0.26	0.00	0.26	0.23
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV LARGE CAP CL C	B	85.00	0.85	0.00	0.85	0.77
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC INCOME	B	51.00	0.51	0.00	0.51	0.46
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC INCOME	B	170.00	1.70	0.00	1.70	1.53
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC DIV &	B	25.50	0.26	0.00	0.26	0.23
09/06/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC DIV &	B	85.00	0.85	0.00	0.85	0.77
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	16.25	0.16	0.00	0.16	0.14
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	83.30	0.83	0.00	0.83	0.75
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADV STRATEGIC INCOME	B	40.63	0.41	0.00	0.41	0.37
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADV STRATEGIC INCOME	B	208.25	2.08	0.00	2.08	1.87
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	24.38	0.24	0.00	0.24	0.22
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	124.95	1.25	0.00	1.25	1.13
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	56.88	0.57	0.00	0.57	0.51
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	291.55	2.92	0.00	2.92	2.63
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR GOVT INCOME FD	B	24.36	0.24	0.00	0.24	0.22
09/06/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR GOVT INCOME FD	B	124.95	1.25	0.00	1.25	1.13
09/04/2018	00059234370	LOMAX, VICKI	MFT AMERICAN BALANCED FUND-A	B	0.00	14.44	0.00	14.44	13.00
09/04/2018	00059234370	LOMAX, VICKI	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	10.07	0.00	10.07	9.06
09/04/2018	00059234370	LOMAX, VICKI	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.43	0.00	14.43	12.99
09/04/2018	00059234370	LOMAX, VICKI	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.84	0.00	5.84	5.26
09/04/2018	00059234370	LOMAX, VICKI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.40	0.00	18.40	16.56
09/04/2018	00067644058	TATE/AUTH PER,	MFT AMERICAN BALANCED FUND-C	B	0.00	29.53	0.00	29.53	26.58
09/04/2018	00067644058	TATE/AUTH PER,	MFT AMERICAN BALANCED FUND-F1	B	0.00	17.98	0.00	17.98	16.18
09/04/2018	00082603862	THOMAS, JEFFREY	MFT AMERICAN BALANCED FUND-F1	B	0.00	1.89	0.00	1.89	1.70
09/04/2018	00082603862	THOMAS, JEFFREY	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.58	0.00	1.58	1.42
09/04/2018	00082603862	THOMAS, JEFFREY	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.24	0.00	2.24	2.02
09/04/2018	00082603862	THOMAS, JEFFREY	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.34	0.00	4.34	3.91
09/04/2018	00082603862	THOMAS, JEFFREY	MFT THE BOND FUND OF AMERICA-F1	B	0.00	4.91	0.00	4.91	4.42
09/04/2018	00084561000	TATE, BARRY	MFT AMERICAN BALANCED FUND-C	B	0.00	32.01	0.00	32.01	28.81
09/10/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	56.13	0.00	56.13	50.52
09/10/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	67.36	0.00	67.36	60.62
09/10/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	83.23	0.00	83.23	74.91
09/10/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	53.60	0.00	53.60	48.24
09/10/2018	00523766483	THOMAS, SUSAN	MFT TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24	0.22
09/10/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	30.35	0.00	30.35	27.32
09/10/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	33.28	0.00	33.28	29.95
09/10/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	47.75	0.00	47.75	42.98
09/10/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV INFLATION PROTECT	B	0.00	19.96	0.00	19.96	17.96

COMMISSION STATEMENT for MARK ARCHAMBO-D003

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	126.94	0.00	126.94		114.25
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	18.00	0.00	18.00		16.20
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.33	0.00	1.33		1.20
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	2.06	0.00	2.06		1.85
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.74	0.00	2.74		2.47
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.83	0.00	3.83		3.45
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INFLATION PROTECT	B	0.00	0.40	0.00	0.40		0.36
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	7.29	0.00	7.29		6.56
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.35	0.00	0.35		0.32
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.56	0.00	0.56		0.50
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.70	0.00	18.70		16.83
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	21.16	0.00	21.16		19.04
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	30.98	0.00	30.98		27.88
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	15.27	0.00	15.27		13.74
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.12	0.00	0.12		0.11
* MARK ARCHAMBO-D167 SUBTOTAL *				\$1,548.00	\$814.78	\$0.00	\$814.78		\$733.35
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$1,548.00	\$814.78	\$0.00	\$814.78		\$733.35

BROKER COMMISSION TOTALS

Broker Transactions	\$1,455.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,455.99
Year-to-date Commissions	\$83,982.45