

# COMMISSION STATEMENT December 15, 2018

MARK ARCHAMBO-D167

 BROKER #: D167  
 BRANCH: D01

, TX

**COMMISSION STATEMENT for MARK ARCHAMBO-D167**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADVISOR FUNDS	B	25.50	0.26	0.00	0.26	90.0	0.23
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADVISOR FUNDS	B	85.00	0.85	0.00	0.85	90.0	0.77
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV LARGE CAP CL C	B	25.50	0.26	0.00	0.26	90.0	0.23
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV LARGE CAP CL C	B	85.00	0.85	0.00	0.85	90.0	0.77
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC INCOME	B	51.00	0.51	0.00	0.51	90.0	0.46
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC INCOME	B	170.00	1.70	0.00	1.70	90.0	1.53
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC DIV &	B	25.50	0.26	0.00	0.26	90.0	0.23
12/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC DIV &	B	85.00	0.85	0.00	0.85	90.0	0.77
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	16.25	0.16	0.00	0.16	90.0	0.14
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	83.30	0.83	0.00	0.83	90.0	0.75
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADV STRATEGIC INCOME	B	40.63	0.41	0.00	0.41	90.0	0.37
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADV STRATEGIC INCOME	B	208.25	2.08	0.00	2.08	90.0	1.87
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	24.38	0.24	0.00	0.24	90.0	0.22
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	124.95	1.25	0.00	1.25	90.0	1.13
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	56.88	0.57	0.00	0.57	90.0	0.51
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	291.55	2.92	0.00	2.92	90.0	2.63
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR GOVT INCOME FD	B	24.36	0.24	0.00	0.24	90.0	0.22
12/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR GOVT INCOME FD	B	124.95	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *						\$1,548.00	\$15.49	\$0.00	\$15.49		\$13.96

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

12/03/2018	00059234370	LOMAX, VICKI	MFT	AMERICAN BALANCED FUND-A	B	0.00	14.24	0.00	14.24	90.0	12.82
12/03/2018	00059234370	LOMAX, VICKI	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	9.25	0.00	9.25	90.0	8.33
12/03/2018	00059234370	LOMAX, VICKI	MFT	NEW PERSPECTIVE FUND-A	B	0.00	13.69	0.00	13.69	90.0	12.32
12/03/2018	00059234370	LOMAX, VICKI	MFT	THE BOND FUND OF AMERICA-A	B	0.00	5.75	0.00	5.75	90.0	5.18
12/03/2018	00059234370	LOMAX, VICKI	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	17.71	0.00	17.71	90.0	15.94
12/03/2018	00067644058	TATE, MORGAN	MFT	AMERICAN BALANCED FUND-C	B	0.00	29.06	0.00	29.06	90.0	26.15
12/03/2018	00067644058	TATE, MORGAN	MFT	AMERICAN BALANCED FUND-F1	B	0.00	17.72	0.00	17.72	90.0	15.95
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	AMERICAN BALANCED FUND-F1	B	0.00	1.87	0.00	1.87	90.0	1.68
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	CAPITAL WORLD GROWTH AND	B	0.00	1.49	0.00	1.49	90.0	1.34
12/03/2018	00082603862	THOMAS, JEFFREY	MFT	EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.05	0.00	2.05	90.0	1.85

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12/03/2018 00082603862	THOMAS, JEFFREY	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.17	0.00	4.17	90.0	3.75
12/03/2018 00082603862	THOMAS, JEFFREY	MFT THE BOND FUND OF AMERICA-F1	B	0.00	4.83	0.00	4.83	90.0	4.35
12/03/2018 00084561000	TATE, BARRY	MFT AMERICAN BALANCED FUND-C	B	0.00	31.46	0.00	31.46	90.0	28.31
12/07/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	48.35	0.00	48.35	90.0	43.52
12/07/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	62.24	0.00	62.24	90.0	56.02
12/07/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	79.54	0.00	79.54	90.0	71.59
12/07/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	50.87	0.00	50.87	90.0	45.78
12/07/2018 00523766483	THOMAS, SUSAN	MFT TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24	90.0	0.22
12/07/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	26.24	0.00	26.24	90.0	23.62
12/07/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	31.96	0.00	31.96	90.0	28.76
12/07/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	45.65	0.00	45.65	90.0	41.09
12/07/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	113.77	0.00	113.77	90.0	102.39
12/07/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	17.45	0.00	17.45	90.0	15.71
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.14	0.00	1.14	90.0	1.03
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.77	0.00	1.77	90.0	1.59
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.61	0.00	2.61	90.0	2.35
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.64	0.00	3.64	90.0	3.28
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	6.50	0.00	6.50	90.0	5.85
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.28	0.00	0.28	90.0	0.25
12/07/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.54	0.00	0.54	90.0	0.49
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.05	0.00	16.05	90.0	14.45
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	19.49	0.00	19.49	90.0	17.54
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	29.44	0.00	29.44	90.0	26.50
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	14.43	0.00	14.43	90.0	12.99
12/07/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.11	0.00	0.11	90.0	0.10
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$725.60</b>	<b>\$0.00</b>	<b>\$725.60</b>		<b>\$653.09</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$1,548.00</b>	<b>\$741.09</b>	<b>\$0.00</b>	<b>\$741.09</b>		<b>\$667.05</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$667.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>