

COMMISSION STATEMENT October 15, 2018

DEAN DIXON-D340
 1473 South Evanston Street

BROKER #: D340
 BRANCH: DMN

Aurora, CO 80012

COMMISSION STATEMENT for DEAN DIXON-D340

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/25/2018 (None)	0045797602	MF SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	65.0	3.90
09/25/2018	000000009491251	BURTOELAIE	MF FRANKLIN INCOME FUND CLASS C	B	50.00	0.50	0.00	0.50	0.33
09/28/2018	00088974995	ELZEY, ANGELA	MF THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	3.25
09/24/2018	04001124181	CO/TOD	MF THE INVESTMENT COMPANY OF	B	50.00	2.50	0.00	2.50	1.63
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$14.00	\$0.00	\$14.00		\$9.11
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/17/2018 (None)	RICE, DONALD	VA LINCOLN NATL LIFE	B	900.00	60.75	0.00	60.75	65.0	39.49
* VARIABLE ANNUITIES SUBTOTAL *				\$900.00	\$60.75	\$0.00	\$60.75		\$39.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/30/2018 (None)	ASHFORD, JERRY	VAT LINCOLN NATL LIFE	B	0.00	29.63	0.00	29.63	65.0	19.26
09/30/2018 (None)	WATERS, BETTY	VAT LINCOLN NATL LIFE	B	0.00	87.36	0.00	87.36	65.0	56.78
09/30/2018 (None)	WATERS, BETTY	VAT TALCOTT RESOLUTION	B	0.00	5.60	0.00	5.60	65.0	3.64
09/30/2018 (None)	ASHFORD, GLENDA	VAT TALCOTT RESOLUTION	B	0.00	35.48	0.00	35.48	65.0	23.06
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$158.07	\$0.00	\$158.07		\$102.74
*** DIRECT TRANSACTIONS TOTAL ***				\$1,300.00	\$232.82	\$0.00	\$232.82		\$151.34

BROKER COMMISSION TOTALS

Broker Transactions	\$151.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2.21
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$149.13
Year-to-date Commissions	\$3,229.71