

# COMMISSION STATEMENT

November 15, 2018

 RICHARD DURAND-D020  
 9100 IH 10 West  
 Suite 210  
 San Antonio, TX 78230

 BROKER #: D020  
 BRANCH: DMN

## COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
10/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	300.00	13.42	0.00	13.42	85.0	11.41
11/05/2018 00064992220	VELA, PATRICIA	MF THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
11/01/2018 00067562789	PEREZ, GRACIELA	MF AMERICAN BALANCED FUND-A	B	138.29	5.19	0.00	5.19	85.0	4.41
11/01/2018 00068501235	GUTIERREZ, OTTO	MF THE BOND FUND OF AMERICA-A	B	190.23	5.23	0.00	5.23	85.0	4.45
11/01/2018 00085713380	BENAVIDES, JOHN	MF CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
11/01/2018 00085713380	BENAVIDES, JOHN	MF THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$963.52</b>	<b>\$37.03</b>	<b>\$0.00</b>	<b>\$37.03</b>		<b>\$31.48</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
10/31/2018 009066455A	STEPHENS, JUNE	VA PERSPECTIVE-RPL	B	42,240.86	8.80	0.00	8.80	85.0	7.48
10/31/2018 009066456A	STEPHENS, SHERMAN	VA PERSPECTIVE-RPL	B	31,491.76	6.56	0.00	6.56	85.0	5.58
10/31/2018 1016582030	BRANNIES,	VA PERSP II 04/14	B	313,845.67	130.77	0.00	130.77	85.0	111.15
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$387,578.29</b>	<b>\$146.13</b>	<b>\$0.00</b>	<b>\$146.13</b>		<b>\$124.21</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/31/2018 000013015528446	LAMANA K	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	14.28	0.00	14.28	85.0	12.14
10/31/2018 000070182172644	MARGARET B	MFT FRK UTIL FND - CL A	B	0.00	41.18	0.00	41.18	85.0	35.00
10/31/2018 000090210605781	JTTEN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.00	0.00	3.00	85.0	2.55
11/05/2018 01556570000	WAGNER JT TEN,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	51.96	0.00	51.96	85.0	44.17
11/05/2018 08021230000	ANDERSON, DEBRA	MFT COLUMBIA DISCIPLINED CORE	B	0.00	4.04	0.00	4.04	85.0	3.43
11/05/2018 09990084509	OF MOULTON TEXAS,	MFT COLUMBIA INCOME FUND CLASS A	B	0.00	4.55	0.00	4.55	85.0	3.87
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$119.01</b>	<b>\$0.00</b>	<b>\$119.01</b>		<b>\$101.16</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>									
10/29/2018 (None)	KONRAD, WILFRED	VAT LINCOLN BENEFIT LIFE	B	0.00	68.24	0.00	68.24	85.0	58.00
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$68.24</b>	<b>\$0.00</b>	<b>\$68.24</b>		<b>\$58.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$388,541.81</b>	<b>\$370.41</b>	<b>\$0.00</b>	<b>\$370.41</b>		<b>\$314.85</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$314.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$314.85</b>
<b>Year-to-date Commissions</b>	<b>\$122,353.04</b>