

COMMISSION STATEMENT November 30, 2018

JOE CUMLEY
 9270 Glenwood
 Suite B
 Overland Park, KS 66212

BROKER #: 113971
 BRANCH: D12

COMMISSION STATEMENT for JOE CUMLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/21/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.57	0.00	0.57	85.0	0.48
11/21/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	3.68	0.00	3.68	85.0	3.13
11/21/2018	mfc113971	VARIOUS, CUMLEY	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.08	0.00	2.08	85.0	1.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$6.33	\$0.00	\$6.33		\$5.38
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$6.33	\$0.00	\$6.33		\$5.38

OVERRIDE TRANSACTIONS

BROKER: CHELSEA SCHLOSSER-687888 #154

11/27/2018	152909360	BERRY, MARLA	MF CAPITAL APPRECIATION - CLASS A	S	25,002.45	1,062.50	5.00	1,057.50		211.50
10/30/2018	768294225	VERNON, WILLIAM	MF ALLIANZ OCC RENAISSANCE FUND	S	-17,275.43	0.00	5.00	-5.00		-1.00
11/02/2018	768294225	VERNON, WILLIAM	MF BLK 091928846	S	12,302.45	461.25	2.50	458.75		91.75
11/02/2018	368459010	VERNON, WILLIAM	MFT SENTINEL SMALL COMPANY FUND -	S	21,802.45	817.50	2.50	815.00		163.00
11/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.58	0.00	3.58		0.72
11/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.81	0.00	3.81		0.76
11/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	7.60	0.00	7.60		1.52
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	1.64	0.00	1.64		0.33
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.77	0.00	5.77		1.15
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.80	0.00	5.80		1.16
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.42	0.00	6.42		1.28
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.74	0.00	6.74		1.35
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.97	0.00	6.97		1.39
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.15	0.00	7.15		1.43
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.34	0.00	9.34		1.87
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.62	0.00	9.62		1.92
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.86	0.00	9.86		1.97
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	10.62	0.00	10.62		2.12
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	11.18	0.00	11.18		2.24
11/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	11.85	0.00	11.85		2.37
11/21/2018	mfc687888	VARIOUS,	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	10.75	0.00	10.75		2.15

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11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	3.84	0.00	3.84		0.77
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	6.70	0.00	6.70		1.34
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.09	0.00	7.09		1.42
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.47	0.00	7.47		1.49
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.26	0.00	8.26		1.65
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.89	0.00	8.89		1.78
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	10.92	0.00	10.92		2.18
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	10.96	0.00	10.96		2.19
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.49	0.00	11.49		2.30
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.52	0.00	11.52		2.30
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.88	0.00	11.88		2.38
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.28	0.00	12.28		2.46
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	26.50	0.00	26.50		5.30
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.49	0.00	1.49		0.30
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.58	0.00	2.58		0.52
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.84	0.00	2.84		0.57
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.94	0.00	2.94		0.59
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.38	0.00	4.38		0.88
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.44	0.00	4.44		0.89
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.65	0.00	4.65		0.93
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.71	0.00	4.71		0.94
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.77	0.00	4.77		0.95
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.28	0.00	5.28		1.06
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.26	0.00	6.26		1.25
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.38	0.00	6.38		1.28
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.79	0.00	6.79		1.36
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.19	0.00	7.19		1.44
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.23	0.00	7.23		1.45
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.04	0.00	8.04		1.61
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.86	0.00	8.86		1.77
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.31	0.00	10.31		2.06
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.52	0.00	11.52		2.30
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.13	0.00	14.13		2.83
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	16.09	0.00	16.09		3.22
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	18.74	0.00	18.74		3.75
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.49	0.00	19.49		3.90
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.08	0.00	4.08		0.82
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.43	0.00	4.43		0.89
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.67	0.00	4.67		0.93
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.80	0.00	4.80		0.96
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.90	0.00	4.90		0.98
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.22	0.00	5.22		1.04
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.61	0.00	5.61		1.12
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.90	0.00	5.90		1.18

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11/21/2018	mfc687888 VARIOUS,	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.47	0.00	7.47		1.49
11/21/2018	mfc687888 VARIOUS,	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	9.93	0.00	9.93		1.99
11/21/2018	mfc687888 VARIOUS,	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	16.64	0.00	16.64		3.33
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.19	0.00	1.19		0.24
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.07	0.00	5.07		1.01
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.69	0.00	5.69		1.14
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.16	0.00	6.16		1.23
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	7.58	0.00	7.58		1.52
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.82	0.00	10.82		2.16
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	11.85	0.00	11.85		2.37
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.47	0.00	12.47		2.49
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	14.94	0.00	14.94		2.99
* CHELSEA SCHLOSSER-687888 SUBTOTAL *				<u>\$41,831.92</u>	<u>\$2,936.28</u>	<u>\$15.00</u>	<u>\$2,921.28</u>		<u>\$584.27</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$41,831.92</u>	<u>\$2,936.28</u>	<u>\$15.00</u>	<u>\$2,921.28</u>		<u>\$584.27</u>

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	:	CUSTOMER WRITE OFF 646871818	0.00	0.00	0.00	0.00	-25.00
11/30/2018	:	CUSTOMER WRITE OFF 430539232	0.00	0.00	0.00	0.00	-25.00
11/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-235.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-235.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5.38
Split Transactions	\$0.00
Override Transactions	\$584.27
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$354.65
Year-to-date Commissions	\$11,573.25