

Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	6,455.25

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KATHERINE M. SMITH
1701 RIVER RUN ROAD STE 1116
FORT WORTH, TX
76107

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/31/2018	Bill	COMMISSIONS	6,575.25	6,575.25		6,575.25
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

January 31, 2018

KATHERINE SMITH-DM31

BROKER #: DM31

BRANCH: DMW

, TX

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/26/2018 368443438	SPICHER, LINDA	MF DAVIS NEW YORK VENTURE CLASS A	S	-37,099.08	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-37,099.08	\$0.00	\$5.00	\$-5.00		\$-4.50

PRODUCT CATEGORY: STOCKS

01/10/2018 153846345	BOOTH, MARTHA	S GENERAL ELECTRIC COMPANY	B	11,594.45	225.00	15.00	210.00	90.0	189.00
01/08/2018 183756909	CHRISTIAN TTEE,	S FREEPORT-MCMORAN INC CL B	S	-13,820.73	75.00	15.00	60.00	90.0	54.00
01/08/2018 183756909	CHRISTIAN TTEE,	S GENERAL ELECTRIC COMPANY	B	5,597.95	140.00	15.00	125.00	90.0	112.50
01/09/2018 183756909	CHRISTIAN TTEE,	S TARGET CORP	S	-20,630.07	220.00	15.00	205.00	90.0	184.50
01/22/2018 183756909	CHRISTIAN TTEE,	S SOUTHERN COMPANY	B	18,117.89	320.00	15.00	305.00	90.0	274.50
01/10/2018 185022990	COOK, JAN	S GENERAL ELECTRIC COMPANY	B	11,591.45	225.00	15.00	210.00	90.0	189.00
01/26/2018 185022990	COOK, JAN	S KINDER MORGAN INC	B	9,596.95	225.00	15.00	210.00	90.0	189.00
01/04/2018 276581135	FULLER, KAREN	S FIRSTCASH INC	S	-13,135.11	162.13	15.00	147.13	90.0	132.42
01/04/2018 276581135	FULLER, KAREN	S FIRSTCASH INC	S	-6,914.51	85.33	0.00	85.33	90.0	76.80
01/04/2018 276581135	FULLER, KAREN	S FIRSTCASH INC	S	-3,664.68	45.23	0.00	45.23	90.0	40.71
01/04/2018 276581135	FULLER, KAREN	S FIRSTCASH INC	S	-2,212.64	27.31	0.00	27.31	90.0	24.58
01/17/2018 276581135	FULLER, KAREN	S FIRSTCASH INC	S	-26,482.12	320.00	15.00	305.00	90.0	274.50
01/26/2018 368310556	WILLIAMS, POLLY	S KINDER MORGAN INC	B	9,566.95	195.00	15.00	180.00	90.0	162.00
01/26/2018 368347337	WHITSON, JONATHAN	S KINDER MORGAN INC	B	19,112.35	370.00	15.00	355.00	90.0	319.50
01/12/2018 368434510	BROWN, ROBERT	S CABOT OIL & GAS CORP	B	8,739.42	175.00	15.00	160.00	90.0	144.00
01/19/2018 368443438	SPICHER, LINDA	S ADVANCED MICRO DEVICES INC	S	-1,236.02	20.00	15.00	5.00	90.0	4.50
01/19/2018 368443438	SPICHER, LINDA	S SOUTHERN COMPANY	B	9,311.15	170.00	15.00	155.00	90.0	139.50
01/04/2018 368463638	FULLER, KAREN	S FIRSTCASH INC	S	-15,877.18	220.00	15.00	205.00	90.0	184.50
01/17/2018 368463638	FULLER, KAREN	S FIRSTCASH INC	S	-16,202.43	220.00	15.00	205.00	90.0	184.50
01/12/2018 368493081	SHAW JR, WILLIAM	S TARGET CORP	S	-37,831.67	420.00	15.00	405.00	90.0	364.50
01/22/2018 399469831	JOE PAUL JONE IRR	S TARGET CORP	S	-78,045.84	390.00	15.00	375.00	90.0	337.50
01/08/2018 492360776	MOODY, DEBORAH	S EXXON-MOBIL CORPORATION	S	-5,128.69	90.00	15.00	75.00	90.0	67.50
01/26/2018 585248118	PEOPLES, MAUREEN	S KINDER MORGAN INC	B	11,468.87	225.00	15.00	210.00	90.0	189.00
01/26/2018 585248118	PEOPLES, MAUREEN	S NUVEEN MUNICIPAL VALUE FUND	B	24,888.70	495.00	15.00	480.00	90.0	432.00
01/09/2018 676301272	SONNENBURG, NOLAN	S KKR & CO L P DEL	S	-8,986.34	65.00	15.00	50.00	90.0	45.00
01/10/2018 677020075	BANK, SOUTHWEST	S HALLIBURTON COMPANY	S	-52,077.34	370.00	15.00	355.00	90.0	319.50
01/26/2018 677020075	BANK, SOUTHWEST	S KINDER MORGAN INC	B	19,112.35	370.00	15.00	355.00	90.0	319.50

COMMISSION STATEMENT for KATHERINE SMITH-DM31

				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
01/11/2018	739157524	UPCHURCH, GWENDOLYN S	APPLE INC	S	-69,679.93	350.00	15.00	335.00	90.0	301.50
* STOCKS SUBTOTAL *					\$-213,226.82	\$6,215.00	\$375.00	\$5,840.00		\$5,256.01

PRODUCT CATEGORY: MISCELLANEOUS

01/29/2018	a03932013	SMITH-DM31,	MI	MUTUAL FUND MISC FEE 368443438	S	0.00	25.00	0.00	25.00	90.0	22.50
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$25.00	\$0.00	\$25.00		\$22.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/23/2018	mfc932013	SMITH KATHERINE		MFT AMERICAN BALANCED FUND-A	B	0.00	2.13	0.00	2.13	90.0	1.92
01/23/2018	mfc932013	SMITH KATHERINE		MFT AMERICAN BALANCED FUND-A	B	0.00	10.56	0.00	10.56	90.0	9.50
01/23/2018	mfc932013	SMITH KATHERINE		MFT AMERICAN BALANCED FUND-A	B	0.00	10.59	0.00	10.59	90.0	9.53
01/23/2018	mfc932013	SMITH KATHERINE		MFT AMERICAN BALANCED FUND-A	B	0.00	15.10	0.00	15.10	90.0	13.59
01/23/2018	mfc932013	SMITH KATHERINE		MFT AMERICAN BALANCED FUND-A	B	0.00	34.15	0.00	34.15	90.0	30.74
01/23/2018	mfc932013	SMITH KATHERINE		MFT CAPITAL INCOME BUILDER-A	B	0.00	37.38	0.00	37.38	90.0	33.64
01/23/2018	mfc932013	SMITH KATHERINE		MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.14	0.00	0.14	90.0	0.13
01/23/2018	mfc932013	SMITH KATHERINE		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.09	0.00	2.09	90.0	1.88
01/23/2018	mfc932013	SMITH KATHERINE		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.16	0.00	5.16	90.0	4.64
01/23/2018	mfc932013	SMITH KATHERINE		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.94	0.00	14.94	90.0	13.45
01/23/2018	mfc932013	SMITH KATHERINE		MFT COLUMBIA DISCIPLINED CORE	B	0.00	1.48	0.00	1.48	90.0	1.33
01/23/2018	mfc932013	SMITH KATHERINE		MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	1.07	0.00	1.07	90.0	0.96
01/23/2018	mfc932013	SMITH KATHERINE		MFT COLUMBIA SELECT INTL EQUITY	B	0.00	0.82	0.00	0.82	90.0	0.74
01/23/2018	mfc932013	SMITH KATHERINE		MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.58	0.00	0.58	90.0	0.52
01/23/2018	mfc932013	SMITH KATHERINE		MFT COLUMBIA TOTAL RETURN BOND FD A	B	0.00	0.57	0.00	0.57	90.0	0.51
01/23/2018	mfc932013	SMITH KATHERINE		MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	7.18	0.00	7.18	90.0	6.46
01/23/2018	mfc932013	SMITH KATHERINE		MFT DELAWARE AMERICAN GOVT BOND	B	0.00	0.60	0.00	0.60	90.0	0.54
01/23/2018	mfc932013	SMITH KATHERINE		MFT DELAWARE AMERICAN GOVT BOND	B	0.00	1.72	0.00	1.72	90.0	1.55
01/23/2018	mfc932013	SMITH KATHERINE		MFT DELAWARE HIGH YIELD	B	0.00	0.66	0.00	0.66	90.0	0.59
01/23/2018	mfc932013	SMITH KATHERINE		MFT DELAWARE HIGH YIELD	B	0.00	2.85	0.00	2.85	90.0	2.57
01/23/2018	mfc932013	SMITH KATHERINE		MFT DELAWARE HIGH YIELD	B	0.00	16.04	0.00	16.04	90.0	14.44
01/23/2018	mfc932013	SMITH KATHERINE		MFT FUNDAMENTAL INVESTORS-A	B	0.00	16.76	0.00	16.76	90.0	15.08
01/23/2018	mfc932013	SMITH KATHERINE		MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	5.38	0.00	5.38	90.0	4.84
01/23/2018	mfc932013	SMITH KATHERINE		MFT INTERMEDIATE BOND FUND OF	B	0.00	10.38	0.00	10.38	90.0	9.34
01/23/2018	mfc932013	SMITH KATHERINE		MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	5.32	0.00	5.32	90.0	4.79
01/23/2018	mfc932013	SMITH KATHERINE		MFT MORGAN STANLEY INS MIDCAP	B	0.00	9.86	0.00	9.86	90.0	8.87
01/23/2018	mfc932013	SMITH KATHERINE		MFT NEW PERSPECTIVE FUND-A	B	0.00	4.82	0.00	4.82	90.0	4.34
01/23/2018	mfc932013	SMITH KATHERINE		MFT NEW PERSPECTIVE FUND-A	B	0.00	5.69	0.00	5.69	90.0	5.12
01/23/2018	mfc932013	SMITH KATHERINE		MFT OPPENHEIMER STEELPATH MLP	B	0.00	179.52	0.00	179.52	90.0	161.57
01/23/2018	mfc932013	SMITH KATHERINE		MFT OPPENHEIMER SENIOR FLOATING	B	0.00	230.88	0.00	230.88	90.0	207.79
01/23/2018	mfc932013	SMITH KATHERINE		MFT PUTNAM TAX-FREE HIGH YIELD FUND	B	0.00	5.35	0.00	5.35	90.0	4.82
01/23/2018	mfc932013	SMITH KATHERINE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.31	0.00	0.31	90.0	0.28
01/23/2018	mfc932013	SMITH KATHERINE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.12	0.00	1.12	90.0	1.01
01/23/2018	mfc932013	SMITH KATHERINE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.15	0.00	3.15	90.0	2.84
01/23/2018	mfc932013	SMITH KATHERINE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.90	0.00	12.90	90.0	11.61
01/23/2018	mfc932013	SMITH KATHERINE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.32	0.00	13.32	90.0	11.99
01/23/2018	mfc932013	SMITH KATHERINE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	22.34	0.00	22.34	90.0	20.11

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018	mfc932013 SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.72	0.00	29.72	90.0	26.75
01/23/2018	mfc932013 SMITH KATHERINE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	18.71	0.00	18.71	90.0	16.84
01/23/2018	mfc932013 SMITH KATHERINE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	18.96	0.00	18.96	90.0	17.06
01/23/2018	mfc932013 SMITH KATHERINE	MFT THE INVESTMENT COMPANY OF	B	0.00	5.37	0.00	5.37	90.0	4.83
01/23/2018	mfc932013 SMITH KATHERINE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	36.89	0.00	36.89	90.0	33.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$802.56</u>	<u>\$0.00</u>	<u>\$802.56</u>		<u>\$722.31</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$-250,325.90</u>	<u>\$7,042.56</u>	<u>\$380.00</u>	<u>\$6,662.56</u>		<u>\$5,996.32</u>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/09/2018	00089064328 LOGSDON, TRACI	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/22/2018	00089064328 LOGSDON, TRACI	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	04000143383 ZAVALA, GILBERT	MF AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/10/2018	04000143383 ZAVALA, GILBERT	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/24/2018	04000143383 ZAVALA, GILBERT	MF AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/24/2018	04000143383 ZAVALA, GILBERT	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *				<u>\$200.00</u>	<u>\$9.26</u>	<u>\$0.00</u>	<u>\$9.26</u>		<u>\$8.36</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$200.00</u>	<u>\$9.26</u>	<u>\$0.00</u>	<u>\$9.26</u>		<u>\$8.36</u>

ADJUSTMENTS

01/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
01/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
01/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	625.57
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$570.57</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,004.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$570.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6,575.25
Year-to-date Commissions	\$10,945.49