



COMMISSION STATEMENT

December 10, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 12/03/2018 | ***-**-539I - JALLOH, ABDULAI | AMCAP FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00084394270 | BUY 2 Units @29.0300 | | | | | | |
| 12/03/2018 | ***-**-0006 - FORSYTH, KATHRYN | AMCAP FUND-C | 57.69 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 04000650661 | BUY 2 Units @29.0300 | | | | | | |
| 12/06/2018 | ***-**-20IN - GIANGRECO, TERRY | AMCAP FUND-C | 80,000.00 | 800.00 | 18.00 | 782.00 | 80.0 | 625.60 |
| | ACCOUNT #: LSR010603 | BUY 2857 Units @28.0000 | | | | | | |
| 12/03/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @27.5200 | | | | | | |
| 12/03/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-A | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089461113 | BUY 0 Units @27.5200 | | | | | | |
| 12/03/2018 | ***-**-975P - COTTONE, MARIA | AMERICAN BALANCED FUND-A | 100.00 | 3.75 | 0.00 | 3.75 | 80.0 | 3.00 |
| | ACCOUNT #: 00086785798 | BUY 3 Units @28.8200 | | | | | | |
| 12/03/2018 | ***-**-3834 - SWARTWOUT, | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089957234 | BUY 2 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-984I - DELUCA, SHARI | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00064868315 | BUY 2 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 1.64 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 3.97 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 16.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00085904210 | BUY 1 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 12.00 | 0.12 | 0.00 | 0.12 | 80.0 | 0.10 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @27.3100 | | | | | | |



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|---|--------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-1134 - BARTON, WILLIAM | AMERICAN BALANCED FUND-C | 92.36 | 0.92 | 0.00 | 0.92 | 80.0 | 0.74 |
| | ACCOUNT #: 00089244081 | BUY 3 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-035S - CAPIZZI, TRACIE | AMERICAN BALANCED FUND-C | 42.53 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| | ACCOUNT #: 00089679504 | BUY 2 Units @27.3100 | | | | | | |
| 12/03/2018 | ***-**-255U - LIPPA, HARITINI | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 04000385806 | BUY 2 Units @27.3100 | | | | | | |
| 12/04/2018 | ***-**-435P - BOSCO, SOFIA | AMERICAN BALANCED FUND-C | 187.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| | ACCOUNT #: 00073003754 | BUY 7 Units @26.8300 | | | | | | |
| 12/04/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @26.8300 | | | | | | |
| 12/04/2018 | ***-**-312S - DISCH, JASMINE | AMERICAN BALANCED FUND-C | 17.03 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00089583477 | BUY 1 Units @26.8300 | | | | | | |
| 12/04/2018 | ***-**-2825 - SPERANZA, JOHN | AMERICAN BALANCED FUND-C | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| | ACCOUNT #: 00089910177 | BUY 4 Units @26.8300 | | | | | | |
| 12/06/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 67.20 | 0.67 | 0.00 | 0.67 | 80.0 | 0.54 |
| | ACCOUNT #: 00089722785 | BUY 3 Units @26.7700 | | | | | | |
| 12/07/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @26.4900 | | | | | | |
| 12/07/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @26.4900 | | | | | | |
| 12/07/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @26.4900 | | | | | | |
| 12/07/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 1.68 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @26.4900 | | | | | | |
| 12/07/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 3.68 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @26.4900 | | | | | | |
| 12/07/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 16.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00085904210 | BUY 1 Units @26.4900 | | | | | | |
| 12/03/2018 | ***-**-1102 - FINOCCHARIO, | AMERICAN FUNDS 2050 TARGET DATE-C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 04001203455 | BUY 2 Units @14.9300 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 4.55 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @9.9500 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 11 Units @9.9500 | 105.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| 12/04/2018 | ***-**-05IO - KIMBLE, LONNY ACCOUNT #: LSR011472 | AMERICAN HIGH-INCOME TRUST-C SELL 47 Units @9.9200 | 463.41 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @9.8700 | 4.55 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 11 Units @9.8700 | 105.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| 12/03/2018 | ***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347 | AMERICAN MUTUAL FUND-A BUY 1 Units @42.5300 | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 12/03/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.8600 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/03/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.8600 | 1.36 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 12/03/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.8600 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/06/2018 | ***-**-44IR - ROBERTSON, ACCOUNT #: LSR010558 | AMERICAN MUTUAL FUND-C BUY 4 Units @40.8500 | 147.02 | 1.47 | 3.00 | -1.53 | 100.0 | -1.53 |
| 12/07/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @40.2500 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/07/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @40.2500 | 1.41 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 12/06/2018 | ***-**-4392 - GARCIA, MICHELLE ACCOUNT #: 00085764363 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @58.3400 | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 12/03/2018 | ***-**-975P - COTTONE, MARIA ACCOUNT #: 00086785798 | CAPITAL INCOME BUILDER-A BUY 2 Units @62.1900 | 100.00 | 3.75 | 0.00 | 3.75 | 80.0 | 3.00 |
| 12/03/2018 | ***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @48.4200 | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @47.8400 | 3.60 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @47.8400 | 96.40 | 0.96 | 0.00 | 0.96 | 80.0 | 0.77 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/04/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @46.6500 | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 12/04/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @46.6500 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| 12/06/2018 | ***-**-1209 - NATALE, KIMBERLY ACCOUNT #: 00085764285 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @46.2700 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 12/06/2018 | ***-**-4392 - GARCIA, MICHELLE ACCOUNT #: 00085764363 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @46.2700 | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @45.6200 | 3.60 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @45.6200 | 96.40 | 0.96 | 0.00 | 0.96 | 80.0 | 0.77 |
| 12/06/2018 | ***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603 | FIDELITY ADV HEALTH CARE CL C BUY 1938 Units @39.7400 | 76,999.99 | 770.00 | 18.00 | 752.00 | 80.0 | 601.60 |
| 12/03/2018 | ***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710 | FUNDAMENTAL INVESTORS-A BUY 0 Units @62.6800 | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 12/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 2.40 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 12/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 12/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 2.23 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 12/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| 12/03/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | FUNDAMENTAL INVESTORS-C BUY 0 Units @62.2600 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/06/2018 | ***-**-7625 - PRINCE, ADAM ACCOUNT #: 00085764342 | FUNDAMENTAL INVESTORS-C BUY 1 Units @59.9800 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/06/2018 | ***-**-4392 - GARCIA, MICHELLE | FUNDAMENTAL INVESTORS-C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @59.9800 | | | | | | |
| 12/07/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 3.80 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @58.8400 | | | | | | |
| 12/07/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @58.8400 | | | | | | |
| 12/07/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 2.33 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @58.8400 | | | | | | |
| 12/07/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @58.8400 | | | | | | |
| 12/06/2018 | ***-**-20IN - GIANGRECO, TERRY | GLOBAL OPPORTUNITIES FUND C | 76,000.02 | 760.00 | 18.00 | 742.00 | 80.0 | 593.60 |
| | ACCOUNT #: LSR010603 | BUY 1420 Units @53.5300 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 1.95 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @22.5100 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00087236607 | BUY 2 Units @22.5100 | | | | | | |
| 12/04/2018 | ***-**-05IO - KIMBLE, LONNY | INCOME FUND OF AMERICA CLASS C | 463.41 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| | ACCOUNT #: LSR011472 | SELL 21 Units @22.1600 | | | | | | |
| 12/07/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 1.95 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @21.8500 | | | | | | |
| 12/07/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00087236607 | BUY 2 Units @21.8500 | | | | | | |
| 12/03/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 3.74 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @39.7500 | | | | | | |
| 12/03/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 28.90 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @39.7500 | | | | | | |
| 12/03/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 0.99 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @39.7500 | | | | | | |
| 12/03/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 4.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @39.7500 | | | | | | |
| 12/03/2018 | ***-**-564I - CARNEY, BARBARA | INVESTMENT COMPANY OF AMERICA CLASS | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089563161 | BUY 0 Units @39.7500 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/04/2018 | ***-**-312S - DISCH, JASMINE | INVESTMENT COMPANY OF AMERICA CLASS | 16.54 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @38.6100 | | | | | | |
| 12/06/2018 | ***-**-1209 - NATALE, KIMBERLY | INVESTMENT COMPANY OF AMERICA CLASS | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00085764285 | BUY 1 Units @38.4700 | | | | | | |
| 12/06/2018 | ***-**-96CL - SCARPULLA, | INVESTMENT COMPANY OF AMERICA CLASS | 50.40 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089722785 | BUY 1 Units @38.4700 | | | | | | |
| 12/07/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 3.74 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @37.7600 | | | | | | |
| 12/07/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 28.90 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @37.7600 | | | | | | |
| 12/07/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 0.92 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @37.7600 | | | | | | |
| 12/07/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 4.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @37.7600 | | | | | | |
| 12/03/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085902226 | BUY 1 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 2.61 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 1 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @41.8600 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | NEW PERSPECTIVE FUND-C | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @41.8600 | | | | | | |
| 12/07/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 4.43 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @39.6700 | | | | | | |



COMMISSION STATEMENT

December 10, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/07/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085902226 | BUY 1 Units @39.6700 | | | | | | |
| 12/07/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @39.6700 | | | | | | |
| 12/07/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @39.6700 | | | | | | |
| 12/07/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 2.71 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @39.6700 | | | | | | |
| 12/07/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 1 Units @39.6700 | | | | | | |
| 12/03/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @59.4400 | | | | | | |
| 12/03/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @59.4400 | | | | | | |
| 12/03/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 2.60 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @59.4400 | | | | | | |
| 12/03/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @59.4400 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | NEW WORLD FUND-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @59.4400 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | NEW WORLD FUND-C | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087038420 | BUY 1 Units @59.4400 | | | | | | |
| 12/07/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 4.43 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @56.9800 | | | | | | |
| 12/07/2018 | ***-**-1721 - TABER, LISA | NEW WORLD FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @56.9800 | | | | | | |
| 12/07/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 2.72 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @56.9800 | | | | | | |
| 12/07/2018 | ***-**-8667 - BRONGO, ROBERT | NEW WORLD FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @56.9800 | | | | | | |
| 12/07/2018 | ***-**-96CL - SCARPULLA, | NEW WORLD FUND-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @56.9800 | | | | | | |



COMMISSION STATEMENT

December 10, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 1 Units @56.9800 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 0 Units @48.3400 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 1 Units @48.3400 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @48.3400 | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 12/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @48.3400 | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 0 Units @45.7800 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 12/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 1 Units @45.7800 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 12/03/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | THE BOND FUND OF AMERICA-C BUY 0 Units @12.4100 | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 12/03/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | THE BOND FUND OF AMERICA-C BUY 2 Units @12.4100 | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| 12/03/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | THE BOND FUND OF AMERICA-C BUY 1 Units @12.4100 | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| 12/03/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | THE BOND FUND OF AMERICA-C BUY 0 Units @12.4100 | 2.46 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 12/07/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | THE BOND FUND OF AMERICA-C BUY 0 Units @12.4800 | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 12/07/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | THE BOND FUND OF AMERICA-C BUY 2 Units @12.4800 | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| 12/07/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | THE BOND FUND OF AMERICA-C BUY 1 Units @12.4800 | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| 12/07/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | THE BOND FUND OF AMERICA-C BUY 0 Units @12.4800 | 2.52 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 12/03/2018 | ***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342 | THE GROWTH FUND OF AMERICA-A BUY 5 Units @52.9100 | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |



COMMISSION STATEMENT

December 10, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-405P - FRISA, ALAN | THE GROWTH FUND OF AMERICA-A | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-275P - LAMANNA, DANIEL | THE GROWTH FUND OF AMERICA-A | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00066758347 | BUY 1 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-945P - VEGA, EDWARD | THE GROWTH FUND OF AMERICA-A | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00066758348 | BUY 1 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-095P - RIVERA, MIGUEL | THE GROWTH FUND OF AMERICA-A | 60.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00074922243 | BUY 1 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-4750 - OSCHMANN, MARK | THE GROWTH FUND OF AMERICA-A | 79.20 | 0.79 | 0.00 | 0.79 | 80.0 | 0.63 |
| | ACCOUNT #: 00083414110 | BUY 1 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-9447 - ZAJONCZKOSKI, | THE GROWTH FUND OF AMERICA-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00087318332 | BUY 0 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-4263 - HARTER, IAN | THE GROWTH FUND OF AMERICA-A | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089461113 | BUY 0 Units @52.9100 | | | | | | |
| 12/03/2018 | ***-**-42IR - ADAMS, GRACE | THE GROWTH FUND OF AMERICA-A | 50.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00073505114 | BUY 1 Units @56.1400 | | | | | | |
| 12/03/2018 | ***-**-984I - DELUCA, SHARI | THE GROWTH FUND OF AMERICA-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00064868315 | BUY 1 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087038420 | BUY 1 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-1134 - BARTON, WILLIAM | THE GROWTH FUND OF AMERICA-C | 59.88 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00089319958 | BUY 1 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-482I - MARCONI, TODD | THE GROWTH FUND OF AMERICA-C | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @48.7100 | | | | | | |
| 12/03/2018 | ***-**-0006 - FORSYTH, KATHRYN | THE GROWTH FUND OF AMERICA-C | 86.54 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| | ACCOUNT #: 04000650661 | BUY 2 Units @48.7100 | | | | | | |



COMMISSION STATEMENT

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400 West Metro Park
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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/04/2018 | ***-**-435P - BOSCO, SOFIA | THE GROWTH FUND OF AMERICA-C | 176.00 | 1.76 | 0.00 | 1.76 | 80.0 | 1.41 |
| | ACCOUNT #: 00073003754 | BUY 4 Units @47.0800 | | | | | | |
| 12/04/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @47.0800 | | | | | | |
| 12/04/2018 | ***-**-312S - DISCH, JASMINE | THE GROWTH FUND OF AMERICA-C | 16.53 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @47.0800 | | | | | | |
| 12/06/2018 | ***-**-4392 - GARCIA, MICHELLE | THE GROWTH FUND OF AMERICA-C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00085764363 | BUY 1 Units @47.0600 | | | | | | |
| 12/06/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 50.40 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089722785 | BUY 1 Units @47.0600 | | | | | | |
| 12/07/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @45.9700 | | | | | | |
| 12/07/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @45.9700 | | | | | | |
| 12/07/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @45.9700 | | | | | | |
| 12/07/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087038420 | BUY 1 Units @45.9700 | | | | | | |
| 12/03/2018 | ***-**-195P - OZMINKOWSKI, | THE INVESTMENT COMPANY OF AMERICA-A | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00066758342 | BUY 6 Units @40.2300 | | | | | | |
| 12/03/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00066758348 | BUY 1 Units @40.2300 | | | | | | |
| 12/03/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00073798048 | BUY 0 Units @40.2300 | | | | | | |
| 12/03/2018 | ***-**-545P - VISCA, ANGELO | THE INVESTMENT COMPANY OF AMERICA-A | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| | ACCOUNT #: 00063056262 | BUY 2 Units @41.6900 | | | | | | |
| 12/03/2018 | ***-**-9024 - HACKETT, ETHAN | THE NEW ECONOMY FUND-C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00088123299 | BUY 1 Units @41.4700 | | | | | | |
| 12/03/2018 | ***-**-20IN - GIANGRECO, TERRY | THE TAX-EXEMPT BOND FUND OF AMERICA | 236,000.00 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| | ACCOUNT #: LSR010603 | SELL 18627 Units @12.6700 | | | | | | |
| 12/03/2018 | ***-**-935J - RUSH, DONNA | THE TAX-EXEMPT BOND FUND OF AMERICA | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00085296459 | BUY 4 Units @12.6700 | | | | | | |



COMMISSION STATEMENT

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BROKER #: 417
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-44UG - GUERRIERI, ACCOUNT #: 00085399145 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 20 Units @12.6700 | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| 12/07/2018 | ***-**-63IN - DIAMOND, ANN ACCOUNT #: 00085468057 | U.S. GOVERNMENT SECURITIES FUND-C BUY 4 Units @13.3500 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 12/03/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 11 Units @46.2100 | 500.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| 12/03/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.8900 | 50.00 | 1.38 | 0.00 | 1.38 | 80.0 | 1.10 |
| 12/03/2018 | ***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.5300 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 12/03/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.5300 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/04/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @44.2800 | 187.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| 12/04/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @44.2800 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$475,851.64 | \$2,399.46 | \$75.00 | \$2,324.46 | | \$1,855.63 |
| PRODUCT CATEGORY: VARIABLE ANNUITIES | | | | | | | | |
| 11/30/2018 | ***-**-190I - BRONGO, TERESA | LINCOLN CHOICEPLUS ASSURANCE B BUY 1 Units @115000.000 | 115,000.00 | 7,187.50 | 0.00 | 7,187.50 | 80.0 | 5,750.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$115,000.00 | \$7,187.50 | \$0.00 | \$7,187.50 | | \$5,750.00 |
| PRODUCT CATEGORY: 529 PLAN | | | | | | | | |
| 12/03/2018 | ***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198121 | AMERICAN BALANCED FUND-529C BUY 2 Units @27.4400 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 12/03/2018 | ***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198137 | AMERICAN BALANCED FUND-529C BUY 2 Units @27.4400 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 12/04/2018 | ***-**-643I - BOSCO, GIULIO ACCOUNT #: 00089514602 | AMERICAN BALANCED FUND-529C BUY 3 Units @26.9600 | 75.00 | 0.75 | 0.00 | 0.75 | 80.0 | 0.60 |
| 12/10/2018 | ***-**-6793 - ESTEP, ARDEN ACCOUNT #: 77375699601 | NYA JPM 529 CONSV GROWTH C BUY 0 Units @0.0000 | 526.25 | 5.27 | 0.00 | 5.27 | 80.0 | 4.22 |
| * 529 PLAN SUBTOTAL * | | | \$701.25 | \$7.02 | \$0.00 | \$7.02 | | \$5.62 |



COMMISSION STATEMENT

December 10, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 12/10/2018 | ***-**-526I - CAVALLARO, | DREYFUS MF TRAILS | 0.00 | 0.95 | 0.00 | 0.95 | 80.0 | 0.76 |
| | ACCOUNT #: 3360045601 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-4550 - JONES, JEFFREY | FA 529 PORT 2019-CL P | 0.00 | 5.85 | 0.00 | 5.85 | 80.0 | 4.68 |
| | ACCOUNT #: 00529631491 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-7278 - GUERRIERI DDS, | FIDELITY ADV ASSET MANAGER 50 PERCE | 0.00 | 0.68 | 0.00 | 0.68 | 80.0 | 0.54 |
| | ACCOUNT #: 00655383206 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV FREEDOM 2020-CL C | 0.00 | 1.67 | 0.00 | 1.67 | 80.0 | 1.34 |
| | ACCOUNT #: 01475410302 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV INTL DISCOVERY-CL A | 0.00 | 1.46 | 0.00 | 1.46 | 80.0 | 1.17 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV LEVERAGED CO STOCK CL | 0.00 | 3.32 | 0.00 | 3.32 | 80.0 | 2.66 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV MID CAP II-CL A | 0.00 | 4.53 | 0.00 | 4.53 | 80.0 | 3.62 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 4.58 | 0.00 | 4.58 | 80.0 | 3.66 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-7352 - GEISELHART, | FIDELITY ADV STOCK SELECTOR ALL CAP | 0.00 | 29.35 | 0.00 | 29.35 | 80.0 | 23.48 |
| | ACCOUNT #: 00618796254 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-5703 - DIODATO, | FIDELITY ADV STRATEGIC INCOME CL C | 0.00 | 0.52 | 0.00 | 0.52 | 80.0 | 0.42 |
| | ACCOUNT #: 03900218260 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV TECHNOLOGY CL A | 0.00 | 4.33 | 0.00 | 4.33 | 80.0 | 3.46 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 12/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADVISOR FREEDOM 2020 CL A | 0.00 | 0.88 | 0.00 | 0.88 | 80.0 | 0.70 |
| | ACCOUNT #: 01475410302 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$58.12 | \$0.00 | \$58.12 | | \$46.49 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$591,552.89 | \$9,652.10 | \$75.00 | \$9,577.10 | | \$7,657.74 |



BROKER #: 417
BRANCH #01016 - 16 - CASPER

| | | | INVESTMENT | GROSS | CLEARING | NET | BROKER |
|--|--------|--|------------|------------|----------|-----------------------|-----------------|
| DATE | CLIENT | | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE COMMISSION |
| <div style="text-align:right;"><u>BROKER COMMISSION TOTALS</u></div> | | | | | | | |
| | | | | | | Broker Transactions | \$7,657.74 |
| | | | | | | Split Transactions | \$0.00 |
| | | | | | | Override Transactions | \$0.00 |
| | | | | | | Adjustments | \$0.00 |
| | | | | | | Payroll Draw | \$0.00 |
| | | | | | | Base Salary | \$0.00 |
| | | | | | | Prior Period Balance | \$0.00 |
| | | | | | | FINRA Assessment | \$0.00 |
| | | | | | | SIPC Assessment | \$0.00 |
| | | | | | | | |
| Net Commission Earned | | | | | | \$7,657.74 | |
| Year-to-date Earnings | | | | | | \$200,988.91 | |