

COMMISSION STATEMENT

October 31, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u>CLEARING TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
10/19/2018	mfc303097	HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	10.48	0.00	10.48	90.0	9.43
10/19/2018	mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.69	0.00	1.69	90.0	1.52
10/19/2018	mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.18	0.00	2.18	90.0	1.96
10/19/2018	mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.91	0.00	1.91	90.0	1.72
10/19/2018	mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.48	0.00	2.48	90.0	2.23
10/19/2018	mfc303097	HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	1.31	0.00	1.31	90.0	1.18
10/19/2018	mfc303097	HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	1.57	0.00	1.57	90.0	1.41
10/19/2018	mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.73	0.00	1.73	90.0	1.56
10/19/2018	mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	2.23	0.00	2.23	90.0	2.01
10/19/2018	mfc303097	HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.78	0.00	1.78	90.0	1.60
10/19/2018	mfc303097	HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	1.50	0.00	1.50	90.0	1.35
10/19/2018	mfc303097	HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	2.31	0.00	2.31	90.0	2.08
10/19/2018	mfc303097	HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	2.06	0.00	2.06	90.0	1.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$33.23	\$0.00	\$33.23		\$29.90
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$33.23	\$0.00	\$33.23		\$29.90

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/08/2018	00777116731	HILLIARD, KEITH	MF INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
10/08/2018	00777116731	HILLIARD, KEITH	MF INVESCO MODERATE ALLOCATION FD	B	120.00	1.20	0.00	1.20	90.0	1.08
10/08/2018	00777116733	HILLIARD, SHARON	MF INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
10/08/2018	00777116733	HILLIARD, SHARON	MF INVESCO MODERATE ALLOCATION FD	B	62.40	0.62	0.00	0.62	90.0	0.56
10/08/2018	00777116734	RUST, MELISSA	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
10/08/2018	00777116734	RUST, MELISSA	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
10/08/2018	00777116736	HILLIARD, KEITH	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
10/08/2018	00777116736	HILLIARD, KEITH	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
10/22/2018	00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	400.00	4.00	0.00	4.00	90.0	3.60
10/22/2018	00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	240.00	2.40	0.00	2.40	90.0	2.16
10/22/2018	00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	84.24	0.84	0.00	0.84	90.0	0.76

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10/22/2018	00777153254 FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	84.24	0.84	0.00	0.84	90.0	0.76
10/22/2018	00777153255 TERRY, MELINDA	MF INVESCO BALANCED-RISK	B	25.00	0.25	0.00	0.25	90.0	0.23
10/22/2018	00777153255 TERRY, MELINDA	MF INVESCO BALANCED-RISK	B	15.00	0.15	0.00	0.15	90.0	0.14
* MUTUAL FUNDS SUBTOTAL *				\$2,430.88	\$24.30	\$0.00	\$24.30		\$21.89

PRODUCT CATEGORY: VARIABLE ANNUITIES

10/08/2018	(None) EBERHART, TIM	VA AMERICAN GENERAL	B	200,000.00	10,000.00	0.00	10,000.00	90.0	9,000.00
10/15/2018	(None) CLEMENTS, KEITH	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
10/15/2018	(None) CLEMENTS, JUDY	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
10/17/2018	(None) WILLIAMS, CHARLES	VA AMERICAN GENERAL	B	200,000.00	10,000.00	0.00	10,000.00	90.0	9,000.00
* VARIABLE ANNUITIES SUBTOTAL *				\$400,300.00	\$20,018.00	\$0.00	\$20,018.00		\$18,016.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018	(None) VARIOUS, HESTER DALE	MFT AIG	B	0.00	109.98	0.00	109.98	90.0	98.98
09/30/2018	(None) HARRECONNM	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	11.11	0.00	11.11	90.0	10.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$121.09	\$0.00	\$121.09		\$108.98

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/08/2018	(None) REID, STEVEN	VAT AMERICAN GENERAL	B	0.00	35.37	0.00	35.37	90.0	31.83
10/09/2018	(None) BARTON, FREDDIE	VAT AMERICAN GENERAL	B	0.00	76.34	0.00	76.34	90.0	68.71
10/12/2018	(None) HILLIARD, SHARO	VAT AMERICAN GENERAL	B	0.00	77.73	0.00	77.73	90.0	69.96
10/12/2018	(None) HILLIARD, KEITH	VAT AMERICAN GENERAL	B	0.00	175.90	0.00	175.90	90.0	158.31
10/16/2018	(None) BUCHANAN, NANCY	VAT AMERICAN GENERAL	B	0.00	1,079.25	0.00	1,079.25	90.0	971.33
10/16/2018	(None) MITCHELL, CAROL	VAT AMERICAN GENERAL	B	0.00	120.28	0.00	120.28	90.0	108.25
10/16/2018	(None) WADE, MELVIN	VAT AMERICAN GENERAL	B	0.00	167.37	0.00	167.37	90.0	150.63
10/17/2018	(None) ROBERSON, MART	VAT AMERICAN GENERAL	B	0.00	288.09	0.00	288.09	90.0	259.28
10/17/2018	(None) CLEMENTS, KEITH	VAT AMERICAN GENERAL	B	0.00	12.61	0.00	12.61	90.0	11.35
10/17/2018	(None) CLEMENTS, JUDY	VAT AMERICAN GENERAL	B	0.00	8.32	0.00	8.32	90.0	7.49
10/18/2018	(None) NICKLAS, EDWAR	VAT AMERICAN GENERAL	B	0.00	2.41	0.00	2.41	90.0	2.17
10/18/2018	(None) NICKLAS, SHEILA	VAT AMERICAN GENERAL	B	0.00	2.41	0.00	2.41	90.0	2.17
10/18/2018	(None) JOHNSON, PAULA	VAT AMERICAN GENERAL	B	0.00	2.51	0.00	2.51	90.0	2.26
10/20/2018	(None) PRATUMRAT, PAIROJ	VAT AMERICAN GENERAL	B	0.00	72.29	0.00	72.29	90.0	65.06
10/20/2018	(None) BAKER, STEPHEN	VAT AMERICAN GENERAL	B	0.00	767.54	0.00	767.54	90.0	690.79
10/21/2018	(None) MANGUM, DARLENE	VAT AMERICAN GENERAL	B	0.00	528.41	0.00	528.41	90.0	475.57
10/21/2018	(None) MITCHELL, LARRY	VAT AMERICAN GENERAL	B	0.00	440.91	0.00	440.91	90.0	396.82
10/21/2018	(None) MANGUM, DARLENE	VAT AMERICAN GENERAL	B	0.00	78.12	0.00	78.12	90.0	70.31
10/21/2018	(None) YOUNG, DAVID	VAT AMERICAN GENERAL	B	0.00	195.53	0.00	195.53	90.0	175.98
10/21/2018	(None) YOUNG, DAVID	VAT AMERICAN GENERAL	B	0.00	308.70	0.00	308.70	90.0	277.83
10/22/2018	(None) WOODRUM, JIM	VAT AMERICAN GENERAL	B	0.00	795.42	0.00	795.42	90.0	715.88
10/22/2018	(None) KNIGHT, SCOTT	VAT AMERICAN GENERAL	B	0.00	2.09	0.00	2.09	90.0	1.88
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$5,237.60	\$0.00	\$5,237.60		\$4,713.86
*** DIRECT TRANSACTIONS TOTAL ***				\$402,730.88	\$25,400.99	\$0.00	\$25,400.99		\$22,860.93

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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	E-MAIL ACCOUNT-DONNA HESTER		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	E-MAIL ACCOUNT-LAUREN JASON		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-235.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-235.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$22,890.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$22,655.83
Year-to-date Commissions	\$209,718.93