

# COMMISSION STATEMENT

December 15, 2018

RANDY SMITH-D061  
 9000 Highway 2147 West  
 Suite 201  
 Horseshoe Bay, TX 78657

BROKER #: D061  
 BRANCH: DMA

## COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/20/2018 (None)	GARTIN, ROBERT	MF OPPENHEIMER	B	200.00	6.00	0.00	6.00	50.0	3.00
11/26/2018 000005870019840	CAROL	MF TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
11/26/2018 00083359485	SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
11/30/2018 00086507215	TRAPP, ANDREA	MF AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	50.0	1.88
11/27/2018 04000163744	GARZA, ALEXANDER	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
11/27/2018 04000163744	GARZA, ALEXANDER	MF FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
11/27/2018 04000163744	GARZA, ALEXANDER	MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
12/03/2018 04000879126	FOVAL, DOUGLAS	MF AMERICAN BALANCED FUND-A	B	982.96	41.78	0.00	41.78	50.0	20.89
12/03/2018 04000879126	FOVAL, DOUGLAS	MF THE NEW ECONOMY FUND-A	B	1,000.00	42.50	0.00	42.50	50.0	21.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$2,562.96</b>	<b>\$108.03</b>	<b>\$0.00</b>	<b>\$108.03</b>		<b>\$54.02</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
11/30/2018 009062915A	BROWN, SARAH	VA PERSPECTIVE	B	126,012.95	26.25	0.00	26.25	50.0	13.13
11/30/2018 1009661472	BAHAN, MARGARET	VA PERSPECTIVE II	B	116,259.70	72.66	0.00	72.66	50.0	36.33
11/30/2018 1009737665	BARICKMAN,	VA PERSPECTIVE II	B	103,152.73	64.47	0.00	64.47	50.0	32.24
11/30/2018 1013950608	BURNETT, PATRICIA	VA PERSP II 09/12	B	1,126,015.10	938.35	0.00	938.35	50.0	469.18
11/30/2018 1015646752	DORER, VALORIE	VA PERSP II 04/14	B	66,038.41	55.03	0.00	55.03	50.0	27.52
11/30/2018 1015845666	HOGAN, REBECCA	VA PERSP II 04/14	B	61,764.25	51.47	0.00	51.47	50.0	25.74
11/30/2018 1016104708	SCHROEDER, RICHARD	VA PERSP II 04/14	B	249,011.43	207.51	0.00	207.51	50.0	103.76
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$1,848,254.57</b>	<b>\$1,415.74</b>	<b>\$0.00</b>	<b>\$1,415.74</b>		<b>\$707.90</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/30/2018 (None)	COUVILLION, TARI	MFT VIRTUS	B	0.00	15.46	0.00	15.46	50.0	7.73
12/03/2018 00051535797	GRONAU, LOIS	MFT THE INVESTMENT COMPANY OF	B	0.00	272.77	0.00	272.77	50.0	136.39
12/03/2018 00056562473	SHARRY, JANICE	MFT THE INVESTMENT COMPANY OF	B	0.00	50.38	0.00	50.38	50.0	25.19
12/03/2018 00057286427	MARIE, VONDAINE	MFT THE INVESTMENT COMPANY OF	B	0.00	71.34	0.00	71.34	50.0	35.67
12/03/2018 00057477734	STEVEN COUVILLION	MFT THE INVESTMENT COMPANY OF	B	0.00	394.91	0.00	394.91	50.0	197.46
12/03/2018 00057956480	COOK/, DOROTHY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	294.83	0.00	294.83	50.0	147.42
12/03/2018 00058011489	FOSTER, EMILIE	MFT AMERICAN BALANCED FUND-A	B	0.00	68.47	0.00	68.47	50.0	34.24
12/03/2018 00058011489	FOSTER, EMILIE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.62	0.00	32.62	50.0	16.31
12/03/2018 00058011489	FOSTER, EMILIE	MFT THE INVESTMENT COMPANY OF	B	0.00	135.37	0.00	135.37	50.0	67.69
12/03/2018 00058029569	FOSTER, JAMES	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.43	0.00	21.43	50.0	10.72

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00058370608	AYRES, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	44.38	0.00	44.38	50.0	22.19
12/03/2018 00058370608	AYRES, JUDITH	MFT AMERICAN MUTUAL FUND-A	B	0.00	22.92	0.00	22.92	50.0	11.46
12/03/2018 00058370608	AYRES, JUDITH	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.09	0.00	4.09	50.0	2.05
12/03/2018 00058370608	AYRES, JUDITH	MFT THE INVESTMENT COMPANY OF	B	0.00	21.00	0.00	21.00	50.0	10.50
12/03/2018 00058495090	HIRTRITER, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.54	0.00	7.54	50.0	3.77
12/03/2018 00058555261	SCOTT/SEP,	MFT THE INVESTMENT COMPANY OF	B	0.00	70.50	0.00	70.50	50.0	35.25
12/03/2018 00058556957	ECKHARDT,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.52	0.00	3.52	50.0	1.76
12/03/2018 00058819051	LOUGHNEY, LYNN	MFT AMCAP FUND-A	B	0.00	25.45	0.00	25.45	50.0	12.73
12/03/2018 00058819051	LOUGHNEY, LYNN	MFT AMERICAN MUTUAL FUND-A	B	0.00	30.50	0.00	30.50	50.0	15.25
12/03/2018 00058819051	LOUGHNEY, LYNN	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	15.81	0.00	15.81	50.0	7.91
12/03/2018 00058819051	LOUGHNEY, LYNN	MFT INTERMEDIATE BOND FUND OF	B	0.00	12.07	0.00	12.07	50.0	6.04
12/03/2018 00058819051	LOUGHNEY, LYNN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	47.37	0.00	47.37	50.0	23.69
12/03/2018 00058819051	LOUGHNEY, LYNN	MFT THE INVESTMENT COMPANY OF	B	0.00	32.78	0.00	32.78	50.0	16.39
12/03/2018 00058868203	LOUGHNEY, LYNN	MFT INTERMEDIATE BOND FUND OF	B	0.00	2.40	0.00	2.40	50.0	1.20
12/03/2018 00059010070	PAGEL, PATRICK	MFT INTERMEDIATE BOND FUND OF	B	0.00	46.11	0.00	46.11	50.0	23.06
12/03/2018 00059057270	SCOTT, STEPHEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.95	0.00	13.95	50.0	6.98
12/03/2018 00059057270	SCOTT, STEPHEN	MFT THE BOND FUND OF AMERICA-A	B	0.00	6.97	0.00	6.97	50.0	3.49
12/03/2018 00059297125	LOZA, SAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.51	0.00	5.51	50.0	2.76
12/03/2018 00059297125	LOZA, SAN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.30	0.00	3.30	50.0	1.65
12/03/2018 00059297129	RODRIGUEZ, ESTHER	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	69.33	0.00	69.33	50.0	34.67
12/03/2018 00059644509	GOODYKE, MARIANNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.09	0.00	0.09	50.0	0.05
12/03/2018 00059647505	GOODYKE, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	4.70	0.00	4.70	50.0	2.35
12/03/2018 00059767191	LYNN M LOUGHNEY,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	11.61	0.00	11.61	50.0	5.81
12/03/2018 00059776072	LOUGHNEY, LYNN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	29.03	0.00	29.03	50.0	14.52
12/03/2018 00059888908	HARDY, AMY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.44	0.00	0.44	50.0	0.22
12/03/2018 00059969179	AYERS, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	67.94	0.00	67.94	50.0	33.97
12/03/2018 00059969179	AYERS, JUDITH	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.01	0.00	7.01	50.0	3.51
12/03/2018 00059969179	AYERS, JUDITH	MFT SMALLCAP WORLD FUND-A	B	0.00	3.50	0.00	3.50	50.0	1.75
12/03/2018 00059969179	AYERS, JUDITH	MFT THE INVESTMENT COMPANY OF	B	0.00	29.62	0.00	29.62	50.0	14.81
12/03/2018 00060000409	HOUSER, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.28	0.00	0.28	50.0	0.14
12/03/2018 00060632617	GARY, ROY	MFT AMCAP FUND-A	B	0.00	28.85	0.00	28.85	50.0	14.43
12/03/2018 00060632617	GARY, ROY	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	26.89	0.00	26.89	50.0	13.45
12/03/2018 00060632617	GARY, ROY	MFT NEW PERSPECTIVE FUND-A	B	0.00	37.86	0.00	37.86	50.0	18.93
12/03/2018 00060632617	GARY, ROY	MFT NEW WORLD FUND-A	B	0.00	14.72	0.00	14.72	50.0	7.36
12/03/2018 00060632617	GARY, ROY	MFT SMALLCAP WORLD FUND-A	B	0.00	18.09	0.00	18.09	50.0	9.05
12/03/2018 00060632617	GARY, ROY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.60	0.00	31.60	50.0	15.80
12/03/2018 00060632617	GARY, ROY	MFT THE NEW ECONOMY FUND-A	B	0.00	32.83	0.00	32.83	50.0	16.42
12/03/2018 00060768585	ESCOBEDO, XAVIER	MFT NEW PERSPECTIVE FUND-A	B	0.00	26.24	0.00	26.24	50.0	13.12
12/03/2018 00061219219	HOUSER, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.00	0.00	6.00	50.0	3.00
12/03/2018 00061417142	FRITZSCHING,	MFT THE INVESTMENT COMPANY OF	B	0.00	72.19	0.00	72.19	50.0	36.10
12/03/2018 00061417143	FRITZSCHING,	MFT THE INVESTMENT COMPANY OF	B	0.00	8.54	0.00	8.54	50.0	4.27
12/03/2018 00061621017	WHITLEY, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.63	0.00	3.63	50.0	1.82
12/03/2018 00061621017	WHITLEY, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67	50.0	1.84
12/03/2018 00061621017	WHITLEY, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	3.20	0.00	3.20	50.0	1.60

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12/03/2018 00061621017	WHITLEY, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.75	0.00	3.75	50.0	1.88
12/03/2018 00062159512	TEPERA, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	52.04	0.00	52.04	50.0	26.02
12/03/2018 00062640284	MORGAN, WILBERT	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	35.77	0.00	35.77	50.0	17.89
12/03/2018 00062640284	MORGAN, WILBERT	MFT FUNDAMENTAL INVESTORS-A	B	0.00	49.56	0.00	49.56	50.0	24.78
12/03/2018 00062896578	WILLIAM GARY TEST	MFT AMCAP FUND-A	B	0.00	32.60	0.00	32.60	50.0	16.30
12/03/2018 00062896578	WILLIAM GARY TEST	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	31.70	0.00	31.70	50.0	15.85
12/03/2018 00062896578	WILLIAM GARY TEST	MFT NEW PERSPECTIVE FUND-A	B	0.00	43.96	0.00	43.96	50.0	21.98
12/03/2018 00062896578	WILLIAM GARY TEST	MFT SMALLCAP WORLD FUND-A	B	0.00	21.66	0.00	21.66	50.0	10.83
12/03/2018 00062896578	WILLIAM GARY TEST	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	37.33	0.00	37.33	50.0	18.67
12/03/2018 00062896578	WILLIAM GARY TEST	MFT THE NEW ECONOMY FUND-A	B	0.00	38.45	0.00	38.45	50.0	19.23
12/03/2018 00063624031	MCCOY, MELVIN	MFT THE INVESTMENT COMPANY OF	B	0.00	43.19	0.00	43.19	50.0	21.60
12/03/2018 00063624031	MCCOY, MELVIN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	38.63	0.00	38.63	50.0	19.32
12/03/2018 00063624032	MCCOY, SHERYL	MFT THE INVESTMENT COMPANY OF	B	0.00	31.67	0.00	31.67	50.0	15.84
12/03/2018 00063963036	JAUER, DAVID	MFT NEW PERSPECTIVE FUND-A	B	0.00	71.04	0.00	71.04	50.0	35.52
12/03/2018 00064064938	COUVILLION, TARI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	139.39	0.00	139.39	50.0	69.70
12/03/2018 00064158647	MOSELEY, BRENDA	MFT THE INVESTMENT COMPANY OF	B	0.00	3.83	0.00	3.83	50.0	1.92
12/03/2018 00064158652	GREENLEE, DAVID	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.94	0.00	1.94	50.0	0.97
12/03/2018 00064158652	GREENLEE, DAVID	MFT THE INVESTMENT COMPANY OF	B	0.00	1.59	0.00	1.59	50.0	0.80
12/03/2018 00064158653	ESPINAL, ANGEL	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.33	0.00	5.33	50.0	2.67
12/03/2018 00064158653	ESPINAL, ANGEL	MFT THE INVESTMENT COMPANY OF	B	0.00	9.70	0.00	9.70	50.0	4.85
12/03/2018 00064310970	WHITLEY, LAURA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	10.26	0.00	10.26	50.0	5.13
12/03/2018 00064310970	WHITLEY, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.73	0.00	9.73	50.0	4.87
12/03/2018 00064310970	WHITLEY, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.72	0.00	10.72	50.0	5.36
12/03/2018 00064310970	WHITLEY, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	8.49	0.00	8.49	50.0	4.25
12/03/2018 00064569143	WARREN, BENTON	MFT AMERICAN BALANCED FUND-A	B	0.00	2.12	0.00	2.12	50.0	1.06
12/03/2018 00064569144	WARREN, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.84	0.00	0.84	50.0	0.42
12/03/2018 00064569145	WARREN, AARON	MFT AMERICAN BALANCED FUND-A	B	0.00	2.12	0.00	2.12	50.0	1.06
12/03/2018 00064577790	PERRY, HUDSON	MFT THE INVESTMENT COMPANY OF	B	0.00	5.44	0.00	5.44	50.0	2.72
12/03/2018 00064580604	COOK, LESLIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	102.50	0.00	102.50	50.0	51.25
12/03/2018 00064611549	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	6.02	0.00	6.02	50.0	3.01
12/03/2018 00064718463	FRITZSCHING,	MFT SMALLCAP WORLD FUND-A	B	0.00	6.10	0.00	6.10	50.0	3.05
12/03/2018 00066701434	FLORES, SYLVIA	MFT AMERICAN BALANCED FUND-A	B	0.00	11.86	0.00	11.86	50.0	5.93
12/03/2018 00066701434	FLORES, SYLVIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.71	0.00	10.71	50.0	5.36
12/03/2018 00066701434	FLORES, SYLVIA	MFT GLOBAL BALANCED FUND-A	B	0.00	9.58	0.00	9.58	50.0	4.79
12/03/2018 00066906434	MCDERMOTT, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.65	0.00	0.65	50.0	0.33
12/03/2018 00066906434	MCDERMOTT, JULIE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.43	0.00	0.43	50.0	0.22
12/03/2018 00066906434	MCDERMOTT, JULIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.74	0.00	0.74	50.0	0.37
12/03/2018 00066945230	DOOLEY, DEBBIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.24	0.00	0.24	50.0	0.12
12/03/2018 00066990824	KATELYN Q	MFT AF U.S. GOVERNMENT MONEY	B	0.00	0.11	0.00	0.11	50.0	0.06
12/03/2018 00066990827	WYATT WETZ	MFT AF U.S. GOVERNMENT MONEY	B	0.00	4.34	0.00	4.34	50.0	2.17
12/03/2018 00067005200	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.14	0.00	4.14	50.0	2.07
12/03/2018 00067005201	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	5.44	0.00	5.44	50.0	2.72
12/03/2018 00067066632	SMITH, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	45.19	0.00	45.19	50.0	22.60
12/03/2018 00067066632	SMITH, KELLY	MFT AMERICAN MUTUAL FUND-A	B	0.00	47.32	0.00	47.32	50.0	23.66

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12/03/2018 00067135270	LEROY, JOHN	MFT AMCAP FUND-A	B	0.00	2.00	0.00	2.00	50.0	1.00
12/03/2018 00067135270	LEROY, JOHN	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.31	0.00	1.31	50.0	0.66
12/03/2018 00067135270	LEROY, JOHN	MFT NEW WORLD FUND-A	B	0.00	1.31	0.00	1.31	50.0	0.66
12/03/2018 00067135270	LEROY, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.98	0.00	1.98	50.0	0.99
12/03/2018 00067189548	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	32.73	0.00	32.73	50.0	16.37
12/03/2018 00067529494	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	77.42	0.00	77.42	50.0	38.71
12/03/2018 00067529494	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	43.07	0.00	43.07	50.0	21.54
12/03/2018 00068260462	DENNEY, MAX	MFT AMERICAN BALANCED FUND-A	B	0.00	1.60	0.00	1.60	50.0	0.80
12/03/2018 00068260464	DENNEY, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	1.60	0.00	1.60	50.0	0.80
12/03/2018 00068490442	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.84	0.00	2.84	50.0	1.42
12/03/2018 00068535297	EVELYN V	MFT AMERICAN BALANCED FUND-A	B	0.00	1.76	0.00	1.76	50.0	0.88
12/03/2018 00068621361	WILLIAM S GOODWIN	MFT AF U.S. GOVERNMENT MONEY	B	0.00	2.20	0.00	2.20	50.0	1.10
12/03/2018 00068681036	MURPHY, DEBORAH	MFT THE NEW ECONOMY FUND-A	B	0.00	9.23	0.00	9.23	50.0	4.62
12/03/2018 00068681036	MURPHY, DEBORAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	12.18	0.00	12.18	50.0	6.09
12/03/2018 00068901527	COUVILLION, TARI	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.41	0.00	13.41	50.0	6.71
12/03/2018 00069215720	PAUL J COLLAS	MFT AMERICAN BALANCED FUND-A	B	0.00	56.85	0.00	56.85	50.0	28.43
12/03/2018 00069215720	PAUL J COLLAS	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	48.39	0.00	48.39	50.0	24.20
12/03/2018 00069215720	PAUL J COLLAS	MFT FUNDAMENTAL INVESTORS-A	B	0.00	33.84	0.00	33.84	50.0	16.92
12/03/2018 00069215720	PAUL J COLLAS	MFT NEW PERSPECTIVE FUND-A	B	0.00	62.89	0.00	62.89	50.0	31.45
12/03/2018 00069215720	PAUL J COLLAS	MFT NEW PERSPECTIVE FUND-C	B	0.00	0.33	0.00	0.33	50.0	0.17
12/03/2018 00069234227	CRAWFORD, MATTHEW	MFT SMALLCAP WORLD FUND-529A	B	0.00	4.73	0.00	4.73	50.0	2.37
12/03/2018 00069246256	MORGAN R WETZ	MFT AF U.S. GOVERNMENT MONEY	B	0.00	2.03	0.00	2.03	50.0	1.02
12/03/2018 00073034040	TOBEL, SUSAN	MFT AMERICAN BALANCED FUND-A	B	0.00	21.19	0.00	21.19	50.0	10.60
12/03/2018 00073034040	TOBEL, SUSAN	MFT AMERICAN MUTUAL FUND-A	B	0.00	19.00	0.00	19.00	50.0	9.50
12/03/2018 00073034040	TOBEL, SUSAN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	20.36	0.00	20.36	50.0	10.18
12/03/2018 00073034040	TOBEL, SUSAN	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.62	0.00	2.62	50.0	1.31
12/03/2018 00073034061	TOBEL, LEE	MFT AMERICAN BALANCED FUND-A	B	0.00	19.87	0.00	19.87	50.0	9.94
12/03/2018 00073034061	TOBEL, LEE	MFT AMERICAN MUTUAL FUND-A	B	0.00	17.58	0.00	17.58	50.0	8.79
12/03/2018 00073034061	TOBEL, LEE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	18.65	0.00	18.65	50.0	9.33
12/03/2018 00073034061	TOBEL, LEE	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.62	0.00	2.62	50.0	1.31
12/03/2018 00073326535	CANDAPPA, AGATHA	MFT THE INVESTMENT COMPANY OF	B	0.00	5.47	0.00	5.47	50.0	2.74
12/03/2018 00073706753	MCKINNEY, SUSAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.60	0.00	16.60	50.0	8.30
12/03/2018 00073711472	MARTINEZ, TIMOTHY	MFT THE INVESTMENT COMPANY OF	B	0.00	4.88	0.00	4.88	50.0	2.44
12/03/2018 00073785876	COLLAS, PAUL	MFT AMERICAN BALANCED FUND-A	B	0.00	100.10	0.00	100.10	50.0	50.05
12/03/2018 00073785876	COLLAS, PAUL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.11	0.00	5.11	50.0	2.56
12/03/2018 00073785876	COLLAS, PAUL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	60.62	0.00	60.62	50.0	30.31
12/03/2018 00074045050	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.94	0.00	13.94	50.0	6.97
12/03/2018 00074045050	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.75	0.00	7.75	50.0	3.88
12/03/2018 00074082720	DO, PHU	MFT AMCAP FUND-A	B	0.00	3.42	0.00	3.42	50.0	1.71
12/03/2018 00074082720	DO, PHU	MFT AMERICAN BALANCED FUND-A	B	0.00	2.83	0.00	2.83	50.0	1.42
12/03/2018 00074082720	DO, PHU	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.32	0.00	2.32	50.0	1.16
12/03/2018 00074082720	DO, PHU	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.85	0.00	1.85	50.0	0.93
12/03/2018 00074082736	DO, ANGELIQUE	MFT AMCAP FUND-A	B	0.00	0.53	0.00	0.53	50.0	0.27
12/03/2018 00074082736	DO, ANGELIQUE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.59	0.00	0.59	50.0	0.30

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00074082736	DO, ANGELIQUE	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.48	0.00	0.48	50.0	0.24
12/03/2018 00074082736	DO, ANGELIQUE	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.39	0.00	0.39	50.0	0.20
12/03/2018 00074333460	BRANNIES, KEN	MFT AMERICAN BALANCED FUND-A	B	0.00	165.21	0.00	165.21	50.0	82.61
12/03/2018 00074333460	BRANNIES, KEN	MFT CAPITAL INCOME BUILDER-A	B	0.00	153.80	0.00	153.80	50.0	76.90
12/03/2018 00074333460	BRANNIES, KEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	104.22	0.00	104.22	50.0	52.11
12/03/2018 00074333460	BRANNIES, KEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	50.05	0.00	50.05	50.0	25.03
12/03/2018 00074333460	BRANNIES, KEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	63.80	0.00	63.80	50.0	31.90
12/03/2018 00074333545	HASTINGS, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	82.51	0.00	82.51	50.0	41.26
12/03/2018 00074343789	NICHOLS, LARHEA	MFT AMERICAN BALANCED FUND-F1	B	0.00	7.36	0.00	7.36	50.0	3.68
12/03/2018 00074343789	NICHOLS, LARHEA	MFT CAPITAL WORLD GROWTH AND	B	0.00	7.11	0.00	7.11	50.0	3.56
12/03/2018 00074343789	NICHOLS, LARHEA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	9.24	0.00	9.24	50.0	4.62
12/03/2018 00074343789	NICHOLS, LARHEA	MFT THE INVESTMENT COMPANY OF	B	0.00	8.12	0.00	8.12	50.0	4.06
12/03/2018 00074344968	PIERPONT, JERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	114.41	0.00	114.41	50.0	57.21
12/03/2018 00074344968	PIERPONT, JERRY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	91.18	0.00	91.18	50.0	45.59
12/03/2018 00074344968	PIERPONT, JERRY	MFT NEW PERSPECTIVE FUND-A	B	0.00	89.74	0.00	89.74	50.0	44.87
12/03/2018 00074344968	PIERPONT, JERRY	MFT SMALLCAP WORLD FUND-A	B	0.00	65.70	0.00	65.70	50.0	32.85
12/03/2018 00074344968	PIERPONT, JERRY	MFT THE NEW ECONOMY FUND-A	B	0.00	67.00	0.00	67.00	50.0	33.50
12/03/2018 00074344968	PIERPONT, JERRY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	67.88	0.00	67.88	50.0	33.94
12/03/2018 00074456694	RODRIGUEZ, JASON	MFT AMCAP FUND-A	B	0.00	0.57	0.00	0.57	50.0	0.29
12/03/2018 00074456694	RODRIGUEZ, JASON	MFT AMERICAN BALANCED FUND-A	B	0.00	1.53	0.00	1.53	50.0	0.77
12/03/2018 00074456694	RODRIGUEZ, JASON	MFT NEW WORLD FUND-A	B	0.00	0.34	0.00	0.34	50.0	0.17
12/03/2018 00074516217	MONROY, INGRID	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	18.70	0.00	18.70	50.0	9.35
12/03/2018 00074516217	MONROY, INGRID	MFT NEW PERSPECTIVE FUND-A	B	0.00	21.42	0.00	21.42	50.0	10.71
12/03/2018 00074516217	MONROY, INGRID	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	37.10	0.00	37.10	50.0	18.55
12/03/2018 00074516217	MONROY, INGRID	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.91	0.00	21.91	50.0	10.96
12/03/2018 00074530161	UNIV OF TX HEALTH	MFT AMERICAN BALANCED FUND-A	B	0.00	0.75	0.00	0.75	50.0	0.38
12/03/2018 00074530161	UNIV OF TX HEALTH	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.78	0.00	0.78	50.0	0.39
12/03/2018 00074530161	UNIV OF TX HEALTH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.93	0.00	0.93	50.0	0.47
12/03/2018 00074530161	UNIV OF TX HEALTH	MFT THE INVESTMENT COMPANY OF	B	0.00	0.67	0.00	0.67	50.0	0.34
12/03/2018 00074530209	UNIV OF TX HEALTH	MFT AMERICAN BALANCED FUND-A	B	0.00	145.49	0.00	145.49	50.0	72.75
12/03/2018 00074530209	UNIV OF TX HEALTH	MFT AMERICAN MUTUAL FUND-A	B	0.00	149.32	0.00	149.32	50.0	74.66
12/03/2018 00074530209	UNIV OF TX HEALTH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	170.63	0.00	170.63	50.0	85.32
12/03/2018 00074530209	UNIV OF TX HEALTH	MFT THE INVESTMENT COMPANY OF	B	0.00	169.74	0.00	169.74	50.0	84.87
12/03/2018 00074836998	GAMEZ, ARNOLDO	MFT AMERICAN BALANCED FUND-A	B	0.00	0.60	0.00	0.60	50.0	0.30
12/03/2018 00074890994	MICHAEL L	MFT AMERICAN BALANCED FUND-A	B	0.00	15.90	0.00	15.90	50.0	7.95
12/03/2018 00074956449	CLOPTON, GENE	MFT AMERICAN BALANCED FUND-A	B	0.00	10.37	0.00	10.37	50.0	5.19
12/03/2018 00074956449	CLOPTON, GENE	MFT AMERICAN MUTUAL FUND-A	B	0.00	4.72	0.00	4.72	50.0	2.36
12/03/2018 00074995555	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.77	0.00	4.77	50.0	2.39
12/03/2018 00074995590	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.77	0.00	4.77	50.0	2.39
12/03/2018 00075189236	WALKER, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	70.35	0.00	70.35	50.0	35.18
12/03/2018 00075213695	DOMBROSKI,	MFT AF U.S. GOVERNMENT MONEY	B	0.00	4.88	0.00	4.88	50.0	2.44
12/03/2018 00075213700	DOMBROSKI,	MFT AMERICAN BALANCED FUND-F1	B	0.00	46.02	0.00	46.02	50.0	23.01
12/03/2018 00075390701	MURPHY, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	125.00	0.00	125.00	50.0	62.50
12/03/2018 00075390701	MURPHY, DEBORAH	MFT GLOBAL BALANCED FUND-A	B	0.00	3.20	0.00	3.20	50.0	1.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00075390701	MURPHY, DEBORAH	MFT INTERMEDIATE BOND FUND OF	B	0.00	20.46	0.00	20.46	50.0	10.23
12/03/2018 00075390701	MURPHY, DEBORAH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.61	0.00	0.61	50.0	0.31
12/03/2018 00075396784	CASTANEDA, SANDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.09	0.00	0.09	50.0	0.05
12/03/2018 00075512736	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	20.03	0.00	20.03	50.0	10.02
12/03/2018 00075512736	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.68	0.00	30.68	50.0	15.34
12/03/2018 00075512736	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	40.44	0.00	40.44	50.0	20.22
12/03/2018 00075512736	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	47.51	0.00	47.51	50.0	23.76
12/03/2018 00075512736	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	42.69	0.00	42.69	50.0	21.35
12/03/2018 00075812001	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	12.07	0.00	12.07	50.0	6.04
12/03/2018 00075812001	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	13.72	0.00	13.72	50.0	6.86
12/03/2018 00075812001	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	17.59	0.00	17.59	50.0	8.80
12/03/2018 00075812001	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	20.13	0.00	20.13	50.0	10.07
12/03/2018 00075812001	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.59	0.00	18.59	50.0	9.30
12/03/2018 00075847663	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	14.19	0.00	14.19	50.0	7.10
12/03/2018 00075847663	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	26.62	0.00	26.62	50.0	13.31
12/03/2018 00075847663	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	57.23	0.00	57.23	50.0	28.62
12/03/2018 00075847663	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	42.30	0.00	42.30	50.0	21.15
12/03/2018 00075847663	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	37.60	0.00	37.60	50.0	18.80
12/03/2018 00075938417	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.18	0.00	2.18	50.0	1.09
12/03/2018 00075938437	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.18	0.00	2.18	50.0	1.09
12/03/2018 00081351329	COLLINS, GLADYS	MFT AMERICAN BALANCED FUND-A	B	0.00	10.79	0.00	10.79	50.0	5.40
12/03/2018 00081351329	COLLINS, GLADYS	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.31	0.00	5.31	50.0	2.66
12/03/2018 00081351329	COLLINS, GLADYS	MFT GLOBAL BALANCED FUND-A	B	0.00	5.16	0.00	5.16	50.0	2.58
12/03/2018 00081387250	WOSCHNAGG,	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.25	0.00	2.25	50.0	1.13
12/03/2018 00081459404	NIXON-MOORE,	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59	50.0	0.30
12/03/2018 00081459404	NIXON-MOORE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.66	0.00	2.66	50.0	1.33
12/03/2018 00081459404	NIXON-MOORE,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.24	0.00	1.24	50.0	0.62
12/03/2018 00081914265	PASZTOR, SUZANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	56.09	0.00	56.09	50.0	28.05
12/03/2018 00081914265	PASZTOR, SUZANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	27.53	0.00	27.53	50.0	13.77
12/03/2018 00081914265	PASZTOR, SUZANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	25.96	0.00	25.96	50.0	12.98
12/03/2018 00082059804	JONES, DAVID	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.35	0.00	15.35	50.0	7.68
12/03/2018 00082064194	COLLINS, GLADYS	MFT AMERICAN BALANCED FUND-A	B	0.00	26.66	0.00	26.66	50.0	13.33
12/03/2018 00082449966	DAST, TAMMY	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.28	0.00	2.28	50.0	1.14
12/03/2018 00082449966	DAST, TAMMY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.00	0.00	3.00	50.0	1.50
12/03/2018 00082449966	DAST, TAMMY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.80	0.00	1.80	50.0	0.90
12/03/2018 00082449966	DAST, TAMMY	MFT THE INVESTMENT COMPANY OF	B	0.00	3.30	0.00	3.30	50.0	1.65
12/03/2018 00082588693	DYE, CHARLOTTE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.43	0.00	0.43	50.0	0.22
12/03/2018 00082841771	VICTORIA VANHUSS	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.84	0.00	1.84	50.0	0.92
12/03/2018 00082879105	JOSE G RAMOS	MFT AMERICAN BALANCED FUND-A	B	0.00	28.04	0.00	28.04	50.0	14.02
12/03/2018 00083038785	BRANNIES, KEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	39.24	0.00	39.24	50.0	19.62
12/03/2018 00083038785	BRANNIES, KEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	123.06	0.00	123.06	50.0	61.53
12/03/2018 00083038785	BRANNIES, KEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	64.82	0.00	64.82	50.0	32.41
12/03/2018 00083138902	FLORES, ISMAEL	MFT AMERICAN BALANCED FUND-A	B	0.00	20.78	0.00	20.78	50.0	10.39
12/03/2018 00083138902	FLORES, ISMAEL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	17.78	0.00	17.78	50.0	8.89

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00083138902	FLORES, ISMAEL	MFT GLOBAL BALANCED FUND-A	B	0.00	10.21	0.00	10.21	50.0	5.11
12/03/2018 00083186214	HOLZMAN, ROSE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.04	0.00	1.04	50.0	0.52
12/03/2018 00083186214	HOLZMAN, ROSE	MFT NEW WORLD FUND-A	B	0.00	0.90	0.00	0.90	50.0	0.45
12/03/2018 00083186241	HOLZMAN, BRADLY	MFT NEW WORLD FUND-A	B	0.00	0.56	0.00	0.56	50.0	0.28
12/03/2018 00083186241	HOLZMAN, BRADLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.92	0.00	0.92	50.0	0.46
12/03/2018 00083355721	CRAWFORD, DEREK	MFT AMERICAN BALANCED FUND-A	B	0.00	81.31	0.00	81.31	50.0	40.66
12/03/2018 00083355721	CRAWFORD, DEREK	MFT AMERICAN MUTUAL FUND-A	B	0.00	42.08	0.00	42.08	50.0	21.04
12/03/2018 00083355721	CRAWFORD, DEREK	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.48	0.00	13.48	50.0	6.74
12/03/2018 00083359485	SCHLESSIGER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.68	0.00	2.68	50.0	1.34
12/03/2018 00083485924	SCHLESSIGER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.54	0.00	2.54	50.0	1.27
12/03/2018 00083503916	HASTINGS, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	56.20	0.00	56.20	50.0	28.10
12/03/2018 00083839769	CATTELL, MIA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.29	0.00	0.29	50.0	0.15
12/03/2018 00083949478	LIMON, ELIZABETH	MFT AMERICAN BALANCED FUND-A	B	0.00	16.40	0.00	16.40	50.0	8.20
12/03/2018 00084110239	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	66.87	0.00	66.87	50.0	33.44
12/03/2018 00084110239	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	37.20	0.00	37.20	50.0	18.60
12/03/2018 00084113362	WICKMAN, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	74.35	0.00	74.35	50.0	37.18
12/03/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL INCOME BUILDER-A	B	0.00	31.95	0.00	31.95	50.0	15.98
12/03/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL WORLD BOND FUND-A	B	0.00	61.56	0.00	61.56	50.0	30.78
12/03/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	128.49	0.00	128.49	50.0	64.25
12/03/2018 00084113362	WICKMAN, NANCY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	57.05	0.00	57.05	50.0	28.53
12/03/2018 00084366836	MENDOZA, SANDRA	MFT AMERICAN BALANCED FUND-A	B	0.00	55.47	0.00	55.47	50.0	27.74
12/03/2018 00084512960	GIBBS, KENDRA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.33	0.00	14.33	50.0	7.17
12/03/2018 00084512960	GIBBS, KENDRA	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.65	0.00	15.65	50.0	7.83
12/03/2018 00084512960	GIBBS, KENDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.67	0.00	17.67	50.0	8.84
12/03/2018 00084731681	DOMINGUEZ,	MFT AMERICAN BALANCED FUND-A	B	0.00	1.21	0.00	1.21	50.0	0.61
12/03/2018 00084731681	DOMINGUEZ,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.16	0.00	0.16	50.0	0.08
12/03/2018 00084974512	BISHOP, ROSEMARY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	54.75	0.00	54.75	50.0	27.38
12/03/2018 00085027003	PASZTOR, SUZANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	53.80	0.00	53.80	50.0	26.90
12/03/2018 00085027003	PASZTOR, SUZANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	22.40	0.00	22.40	50.0	11.20
12/03/2018 00085027003	PASZTOR, SUZANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	24.92	0.00	24.92	50.0	12.46
12/03/2018 00085302677	JORGE ALEXANDER	MFT AMERICAN BALANCED FUND-A	B	0.00	4.05	0.00	4.05	50.0	2.03
12/03/2018 00086443498	HILLER, CHARLES	MFT AMERICAN BALANCED FUND-A	B	0.00	123.73	0.00	123.73	50.0	61.87
12/03/2018 00086460970	CURTIS, KALA	MFT AMERICAN BALANCED FUND-A	B	0.00	95.86	0.00	95.86	50.0	47.93
12/03/2018 00086460973	WILKINSON, MARION	MFT AMERICAN BALANCED FUND-A	B	0.00	92.45	0.00	92.45	50.0	46.23
12/03/2018 00086496169	BAILY LORI	MFT CAPITAL WORLD GROWTH AND	B	0.00	4.73	0.00	4.73	50.0	2.37
12/03/2018 00086496169	BAILY LORI	MFT CAPITAL WORLD GROWTH AND	B	0.00	15.29	0.00	15.29	50.0	7.65
12/03/2018 00086507215	TRAPP, ANDREA	MFT AMERICAN BALANCED FUND-A	B	0.00	4.42	0.00	4.42	50.0	2.21
12/03/2018 00086730761	HASTINGS, RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	21.99	0.00	21.99	50.0	11.00
12/03/2018 00086730761	HASTINGS, RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.36	0.00	2.36	50.0	1.18
12/03/2018 00086774066	TRAPP, ANDREA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.45	0.00	1.45	50.0	0.73
12/03/2018 00086774066	TRAPP, ANDREA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.63	0.00	2.63	50.0	1.32
12/03/2018 00086774066	TRAPP, ANDREA	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.99	0.00	0.99	50.0	0.50
12/03/2018 00086981209	DRISCOLL, LYNNE	MFT AMERICAN BALANCED FUND-A	B	0.00	12.87	0.00	12.87	50.0	6.44
12/03/2018 00086981209	DRISCOLL, LYNNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	10.32	0.00	10.32	50.0	5.16

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00086981209	DRISCOLL, LYNNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	21.68	0.00	21.68	50.0	10.84
12/03/2018 00086981478	DRISCOLL, LYNNE	MFT AMERICAN BALANCED FUND-A	B	0.00	55.71	0.00	55.71	50.0	27.86
12/03/2018 00086981478	DRISCOLL, LYNNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	26.59	0.00	26.59	50.0	13.30
12/03/2018 00086981478	DRISCOLL, LYNNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	44.11	0.00	44.11	50.0	22.06
12/03/2018 00086981478	DRISCOLL, LYNNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	77.24	0.00	77.24	50.0	38.62
12/03/2018 00087297861	DOROTHY B LAWLER	MFT AMERICAN BALANCED FUND-F1	B	0.00	34.86	0.00	34.86	50.0	17.43
12/03/2018 00087297861	DOROTHY B LAWLER	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	32.13	0.00	32.13	50.0	16.07
12/03/2018 00087364129	DORER, SONIA	MFT AMERICAN BALANCED FUND-A	B	0.00	7.56	0.00	7.56	50.0	3.78
12/03/2018 00087364129	DORER, SONIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.55	0.00	15.55	50.0	7.78
12/03/2018 00087369989	DORER, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	2.25	0.00	2.25	50.0	1.13
12/03/2018 00087369989	DORER, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.42	0.00	13.42	50.0	6.71
12/03/2018 00087369989	DORER, RICHARD	MFT SMALLCAP WORLD FUND-A	B	0.00	5.71	0.00	5.71	50.0	2.86
12/03/2018 00087382596	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.36	0.00	5.36	50.0	2.68
12/03/2018 00087382596	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.44	0.00	16.44	50.0	8.22
12/03/2018 00087382596	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.20	0.00	4.20	50.0	2.10
12/03/2018 00087382596	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52	50.0	7.76
12/03/2018 00087382596	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.49	0.00	14.49	50.0	7.25
12/03/2018 00087382596	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.92	0.00	16.92	50.0	8.46
12/03/2018 00087382603	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.36	0.00	5.36	50.0	2.68
12/03/2018 00087382603	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.44	0.00	16.44	50.0	8.22
12/03/2018 00087382603	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.20	0.00	4.20	50.0	2.10
12/03/2018 00087382603	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52	50.0	7.76
12/03/2018 00087382603	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.49	0.00	14.49	50.0	7.25
12/03/2018 00087382603	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.96	0.00	16.96	50.0	8.48
12/03/2018 00087382610	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.36	0.00	5.36	50.0	2.68
12/03/2018 00087382610	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.44	0.00	16.44	50.0	8.22
12/03/2018 00087382610	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.20	0.00	4.20	50.0	2.10
12/03/2018 00087382610	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52	50.0	7.76
12/03/2018 00087382610	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.49	0.00	14.49	50.0	7.25
12/03/2018 00087382610	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.96	0.00	16.96	50.0	8.48
12/03/2018 00087382617	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.36	0.00	5.36	50.0	2.68
12/03/2018 00087382617	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.44	0.00	16.44	50.0	8.22
12/03/2018 00087382617	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.20	0.00	4.20	50.0	2.10
12/03/2018 00087382617	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52	50.0	7.76
12/03/2018 00087382617	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.49	0.00	14.49	50.0	7.25
12/03/2018 00087382617	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.96	0.00	16.96	50.0	8.48
12/03/2018 00087518735	BURNETT, PATRICIA	MFT AMERICAN BALANCED FUND-A	B	0.00	44.42	0.00	44.42	50.0	22.21
12/03/2018 00087579254	RAMOS, JOSE	MFT AMERICAN BALANCED FUND-A	B	0.00	1.15	0.00	1.15	50.0	0.58
12/03/2018 00087579254	RAMOS, JOSE	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.61	0.00	0.61	50.0	0.31
12/03/2018 00087673351	MORA, SOCORRO	MFT AMERICAN BALANCED FUND-A	B	0.00	1.84	0.00	1.84	50.0	0.92
12/03/2018 00087709734	WARREN, JANET	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.02	0.00	2.02	50.0	1.01
12/03/2018 00087709790	WARREN, JANET	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.19	0.00	1.19	50.0	0.60
12/03/2018 00087738166	JIMERFIELD,	MFT AMERICAN BALANCED FUND-A	B	0.00	7.65	0.00	7.65	50.0	3.83
12/03/2018 00087822307	GARZA, MARTHA	MFT AMERICAN BALANCED FUND-A	B	0.00	45.03	0.00	45.03	50.0	22.52



COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00087822307	GARZA, MARTHA	MFT AMERICAN MUTUAL FUND-A	B	0.00	40.72	0.00	40.72	50.0	20.36
12/03/2018 00087822307	GARZA, MARTHA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	28.60	0.00	28.60	50.0	14.30
12/03/2018 00087822307	GARZA, MARTHA	MFT NEW PERSPECTIVE FUND-A	B	0.00	20.21	0.00	20.21	50.0	10.11
12/03/2018 00088038123	PONCE, YOLANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	4.13	0.00	4.13	50.0	2.07
12/03/2018 00088127939	RICHARD L DORER	MFT AMERICAN BALANCED FUND-A	B	0.00	3.52	0.00	3.52	50.0	1.76
12/03/2018 00088127939	RICHARD L DORER	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.10	0.00	9.10	50.0	4.55
12/03/2018 00088127939	RICHARD L DORER	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.03	0.00	9.03	50.0	4.52
12/03/2018 00088127939	RICHARD L DORER	MFT SMALLCAP WORLD FUND-A	B	0.00	5.15	0.00	5.15	50.0	2.58
12/03/2018 00088702360	RAMOS, JOSE	MFT AMCAP FUND-A	B	0.00	21.98	0.00	21.98	50.0	10.99
12/03/2018 00088702360	RAMOS, JOSE	MFT AMERICAN BALANCED FUND-A	B	0.00	31.37	0.00	31.37	50.0	15.69
12/03/2018 00088702360	RAMOS, JOSE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	44.50	0.00	44.50	50.0	22.25
12/03/2018 00088702360	RAMOS, JOSE	MFT NEW PERSPECTIVE FUND-A	B	0.00	52.09	0.00	52.09	50.0	26.05
12/03/2018 00088702360	RAMOS, JOSE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.50	0.00	11.50	50.0	5.75
12/03/2018 00088702360	RAMOS, JOSE	MFT THE INVESTMENT COMPANY OF	B	0.00	21.24	0.00	21.24	50.0	10.62
12/03/2018 00088807324	UPTON, JOSHUA	MFT AMERICAN MUTUAL FUND-A	B	0.00	3.13	0.00	3.13	50.0	1.57
12/03/2018 00088807324	UPTON, JOSHUA	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.05	0.00	8.05	50.0	4.03
12/03/2018 00088807324	UPTON, JOSHUA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.47	0.00	3.47	50.0	1.74
12/03/2018 00088971865	MCDERMOTT, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	76.77	0.00	76.77	50.0	38.39
12/03/2018 00089066189	STEHLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	22.36	0.00	22.36	50.0	11.18
12/03/2018 00089066189	STEHLING, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	52.33	0.00	52.33	50.0	26.17
12/03/2018 00089079661	KAREN STEHLING	MFT AMERICAN BALANCED FUND-A	B	0.00	19.97	0.00	19.97	50.0	9.99
12/03/2018 00089079661	KAREN STEHLING	MFT AMERICAN MUTUAL FUND-A	B	0.00	18.40	0.00	18.40	50.0	9.20
12/03/2018 00089079661	KAREN STEHLING	MFT NEW PERSPECTIVE FUND-A	B	0.00	27.25	0.00	27.25	50.0	13.63
12/03/2018 00089079661	KAREN STEHLING	MFT NEW PERSPECTIVE FUND-F1	B	0.00	0.12	0.00	0.12	50.0	0.06
12/03/2018 00089107338	GEORGINE BENNO	MFT AMERICAN BALANCED FUND-A	B	0.00	9.38	0.00	9.38	50.0	4.69
12/03/2018 00089130497	HILL, JANET	MFT CAPITAL INCOME BUILDER-A	B	0.00	49.00	0.00	49.00	50.0	24.50
12/03/2018 00089132005	BENNO, GEORGINE	MFT AMERICAN BALANCED FUND-A	B	0.00	18.63	0.00	18.63	50.0	9.32
12/03/2018 00089132005	BENNO, GEORGINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	17.42	0.00	17.42	50.0	8.71
12/03/2018 00089132005	BENNO, GEORGINE	MFT NEW PERSPECTIVE FUND-A	B	0.00	17.50	0.00	17.50	50.0	8.75
12/03/2018 00089231998	JANAVARIS, JUSTIN	MFT AMERICAN BALANCED FUND-A	B	0.00	8.70	0.00	8.70	50.0	4.35
12/03/2018 00089232023	GEORGINE BENNO	MFT AMERICAN BALANCED FUND-A	B	0.00	16.71	0.00	16.71	50.0	8.36
12/03/2018 00089287350	HILL, JANE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.28	0.00	13.28	50.0	6.64
12/03/2018 00089287350	HILL, JANE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.20	0.00	18.20	50.0	9.10
12/03/2018 00089287350	HILL, JANE	MFT THE INVESTMENT COMPANY OF	B	0.00	17.77	0.00	17.77	50.0	8.89
12/03/2018 00089287351	COCHRANE, LARRY	MFT AMERICAN BALANCED FUND-A	B	0.00	31.58	0.00	31.58	50.0	15.79
12/03/2018 00089621080	MECHELINA E VAN	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.45	0.00	1.45	50.0	0.73
12/03/2018 00089621080	MECHELINA E VAN	MFT CAPITAL INCOME BUILDER-F1	B	0.00	22.99	0.00	22.99	50.0	11.50
12/03/2018 00089621080	MECHELINA E VAN	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	14.30	0.00	14.30	50.0	7.15
12/03/2018 00089822824	JOHN R CORBETT	MFT AMERICAN BALANCED FUND-C	B	0.00	2.93	0.00	2.93	50.0	1.47
12/03/2018 00980973576	RONALD JOSEPH	MFT SMALLCAP WORLD FUND-A	B	0.00	17.26	0.00	17.26	50.0	8.63
12/03/2018 04000155543	HARDIN, ANDREW	MFT AMERICAN BALANCED FUND-A	B	0.00	10.17	0.00	10.17	50.0	5.09
12/03/2018 04000155543	HARDIN, ANDREW	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.50	0.00	9.50	50.0	4.75
12/03/2018 04000155543	HARDIN, ANDREW	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.54	0.00	9.54	50.0	4.77
12/03/2018 04000163744	GARZA, ALEXANDER	MFT AMERICAN BALANCED FUND-A	B	0.00	1.71	0.00	1.71	50.0	0.86

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 04000163744	GARZA, ALEXANDER	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.88	0.00	0.88	50.0	0.44
12/03/2018 04000163744	GARZA, ALEXANDER	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.87	0.00	0.87	50.0	0.44
12/03/2018 04000314591	SEAGO, ANN	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.69	0.00	10.69	50.0	5.35
12/03/2018 04000314591	SEAGO, ANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.85	0.00	5.85	50.0	2.93
12/03/2018 04000314591	SEAGO, ANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.06	0.00	8.06	50.0	4.03
12/03/2018 04000555975	HARDIN/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	19.47	0.00	19.47	50.0	9.74
12/03/2018 04000631650	MARTINEZ, ELAINE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.20	0.00	0.20	50.0	0.10
12/03/2018 04000686653	MCKINNEY, ALEE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	21.37	0.00	21.37	50.0	10.69
12/03/2018 04000695695	DORER, CHARLES	MFT AMERICAN BALANCED FUND-A	B	0.00	4.16	0.00	4.16	50.0	2.08
12/03/2018 04000879126	FOVAL, DOUGLAS	MFT AMERICAN BALANCED FUND-A	B	0.00	1.73	0.00	1.73	50.0	0.87
12/03/2018 04000879126	FOVAL, DOUGLAS	MFT THE NEW ECONOMY FUND-A	B	0.00	1.67	0.00	1.67	50.0	0.84
12/03/2018 04000890952	PIERPONT, BRADLEY	MFT AMERICAN BALANCED FUND-A	B	0.00	3.39	0.00	3.39	50.0	1.70
12/03/2018 04000890952	PIERPONT, BRADLEY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.73	0.00	9.73	50.0	4.87
12/03/2018 04000890952	PIERPONT, BRADLEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	30.78	0.00	30.78	50.0	15.39
12/03/2018 04001222313	CLOPTON, NORMA	MFT AMERICAN BALANCED FUND-A	B	0.00	10.03	0.00	10.03	50.0	5.02
12/03/2018 04001222313	CLOPTON, NORMA	MFT AMERICAN MUTUAL FUND-A	B	0.00	4.57	0.00	4.57	50.0	2.29
12/03/2018 04001229775	WILKINSON, MARION	MFT AMERICAN BALANCED FUND-A	B	0.00	1.59	0.00	1.59	50.0	0.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10,253.15	\$0.00	\$10,253.15		\$5,127.46

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/05/2018 (None)	VARIOUS, RANDALL SMITH	VAT TRANSAMERICA PREMIER	B	0.00	101.88	0.00	101.88	50.0	50.94
10/20/2018 (None)	HARDIN	VAT TRANSAMERICA PREMIER	B	0.00	106.07	0.00	106.07	50.0	53.04
11/30/2018 (None)	THOMISON	VAT TRANSAMERICA PREMIER	B	0.00	173.98	0.00	173.98	50.0	86.99
12/05/2018 (None)	OEI, THOMAS	VAT AMERICAN GENERAL	B	0.00	72.87	0.00	72.87	50.0	36.44
12/07/2018 (None)	HERAS, JUANITA	VAT MANULIFE	B	0.00	2.76	0.00	2.76	50.0	1.38
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$457.56	\$0.00	\$457.56		\$228.79
*** DIRECT TRANSACTIONS TOTAL ***				\$1,850,817.53	\$12,234.48	\$0.00	\$12,234.48		\$6,118.17

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

12/07/2018 00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,806.83	48.07	0.00	48.07		24.04
12/03/2018 00058301600	SMITH, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	10.34	0.00	10.34		5.17
12/03/2018 00059032323	SMITH, LAURA	MFT AMERICAN BALANCED FUND-A	B	0.00	85.92	0.00	85.92		42.96
12/03/2018 00059032323	SMITH, LAURA	MFT AMERICAN MUTUAL FUND-A	B	0.00	46.66	0.00	46.66		23.33
12/03/2018 00059032323	SMITH, LAURA	MFT THE INVESTMENT COMPANY OF	B	0.00	44.41	0.00	44.41		22.21
12/03/2018 00064630494	WARREN, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	8.54	0.00	8.54		4.27
12/03/2018 00064630494	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.19	0.00	9.19		4.60
12/03/2018 00064630494	WARREN, JANET	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.69	0.00	9.69		4.85
12/03/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN BALANCED FUND-A	B	0.00	1.92	0.00	1.92		0.96
12/03/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.33	0.00	3.33		1.67
12/03/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.92	0.00	6.92		3.46
12/03/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.71	0.00	2.71		1.36

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER-FI	B	0.00	4.57	0.00	4.57		2.29
12/03/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.04	0.00	6.04		3.02
12/03/2018 00064669117	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	1.92	0.00	1.92		0.96
12/03/2018 00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.33	0.00	3.33		1.67
12/03/2018 00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.92	0.00	6.92		3.46
12/03/2018 00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.72	0.00	2.72		1.36
12/03/2018 00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER-FI	B	0.00	4.57	0.00	4.57		2.29
12/03/2018 00064669117	CARTER, CRAIG	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.04	0.00	6.04		3.02
12/03/2018 00073969963	SMITH, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	168.15	0.00	168.15		84.08
12/03/2018 00073969963	SMITH, RONALD	MFT AMERICAN MUTUAL FUND-A	B	0.00	27.82	0.00	27.82		13.91
12/03/2018 00073969963	SMITH, RONALD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.81	0.00	30.81		15.41
12/03/2018 00073969963	SMITH, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.86	0.00	13.86		6.93
12/03/2018 00075475697	WEBER, ERICA	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.86	0.00	1.86		0.93
12/03/2018 00075475697	WEBER, ERICA	MFT AMERICAN MUTUAL FUND-529A	B	0.00	1.82	0.00	1.82		0.91
12/03/2018 00075691742	KIRKPATRICK,	MFT AMERICAN BALANCED FUND-529A	B	0.00	3.15	0.00	3.15		1.58
12/03/2018 04000703953	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	10.64	0.00	10.64		5.32
12/03/2018 04000703953	CARTER, CRAIG	MFT AMERICAN FUNDS 2010 TARGET	B	0.00	10.42	0.00	10.42		5.21
12/03/2018 04000703953	CARTER, CRAIG	MFT RTMT INCOME PORTFOLIO	B	0.00	10.40	0.00	10.40		5.20
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$4,806.83	\$592.74	\$0.00	\$592.74		\$296.43
*** SPLIT TRANSACTIONS TOTAL ***				\$4,806.83	\$592.74	\$0.00	\$592.74		\$296.43

LINKED DIRECT TRANSACTIONSBROKER: RANDY SMITH-D601 #66

12/03/2018 08190128650	JOHN J FINN JR	MF MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
12/03/2018 00053695631	COCKERHAM, GEORGE	MFT NEW PERSPECTIVE FUND-A	B	0.00	72.59	0.00	72.59		14.52
12/03/2018 00053695631	COCKERHAM, GEORGE	MFT THE NEW ECONOMY FUND-A	B	0.00	87.22	0.00	87.22		17.44
12/03/2018 00060939604	KNIGHT, WILLIAM	MFT NEW PERSPECTIVE FUND-C	B	0.00	12.29	0.00	12.29		2.46
12/03/2018 00082643376	COCKERHAM, ASHLEY	MFT THE NEW ECONOMY FUND-A	B	0.00	8.50	0.00	8.50		1.70
12/03/2018 00083032457	JOANNE RAINES	MFT AF U.S. GOVERNMENT MONEY	B	0.00	6.42	0.00	6.42		1.28
12/03/2018 00084947333	JOHN J FINN CUST,	MFT AMERICAN BALANCED FUND-C	B	0.00	15.73	0.00	15.73		3.15
12/03/2018 00084947333	JOHN J FINN CUST,	MFT NEW PERSPECTIVE FUND-C	B	0.00	15.27	0.00	15.27		3.05
12/03/2018 00084947333	JOHN J FINN CUST,	MFT THE NEW ECONOMY FUND-C	B	0.00	14.95	0.00	14.95		2.99
12/03/2018 00084975204	FINN, JOHN	MFT AMERICAN BALANCED FUND-C	B	0.00	372.02	0.00	372.02		74.40
12/03/2018 00084975204	FINN, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	261.46	0.00	261.46		52.29
12/03/2018 00084975204	FINN, JOHN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	317.64	0.00	317.64		63.53
12/03/2018 00085004722	KNIGHT, WILLIAM	MFT NEW PERSPECTIVE FUND-C	B	0.00	8.98	0.00	8.98		1.80
12/03/2018 00085004722	KNIGHT, WILLIAM	MFT SMALLCAP WORLD FUND-C	B	0.00	23.20	0.00	23.20		4.64
12/03/2018 00085305194	COCKERHAM, GEORGE	MFT AMERICAN BALANCED FUND-A	B	0.00	21.56	0.00	21.56		4.31
12/03/2018 00085305194	COCKERHAM, GEORGE	MFT NEW PERSPECTIVE FUND-C	B	0.00	7.34	0.00	7.34		1.47
12/03/2018 00085305979	COCKERHAM, CAROL	MFT AMERICAN BALANCED FUND-A	B	0.00	95.58	0.00	95.58		19.12
12/03/2018 00085305979	COCKERHAM, CAROL	MFT SMALLCAP WORLD FUND-C	B	0.00	222.05	0.00	222.05		44.41
12/03/2018 00085305979	COCKERHAM, CAROL	MFT THE NEW ECONOMY FUND-C	B	0.00	550.56	0.00	550.56		110.11

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 00085349608	ANN A LAFOURCADE	MFT NEW PERSPECTIVE FUND-C	B	0.00	17.32	0.00	17.32		3.46
12/03/2018 00085349608	ANN A LAFOURCADE	MFT THE NEW ECONOMY FUND-C	B	0.00	21.03	0.00	21.03		4.21
12/03/2018 00085352147	LINAM, DONNA	MFT THE NEW ECONOMY FUND-C	B	0.00	1.03	0.00	1.03		0.21
12/03/2018 00085398387	JOHN R CORBETT	MFT NEW PERSPECTIVE FUND-C	B	0.00	72.07	0.00	72.07		14.41
12/03/2018 00085398387	JOHN R CORBETT	MFT THE NEW ECONOMY FUND-C	B	0.00	90.76	0.00	90.76		18.15
12/03/2018 00085399186	RAINES, JOANNE	MFT AMERICAN BALANCED FUND-C	B	0.00	334.68	0.00	334.68		66.94
12/03/2018 00085466274	AGUILAR, MARIA	MFT NEW PERSPECTIVE FUND-C	B	0.00	22.36	0.00	22.36		4.47
12/03/2018 00085466274	AGUILAR, MARIA	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	24.14	0.00	24.14		4.83
12/03/2018 00085466274	AGUILAR, MARIA	MFT THE NEW ECONOMY FUND-C	B	0.00	53.85	0.00	53.85		10.77
12/03/2018 00085482308	BOGRAN, CARLOS	MFT THE NEW ECONOMY FUND-C	B	0.00	12.27	0.00	12.27		2.45
12/03/2018 00085781654	SANCHEZ, BEATRIZ	MFT NEW PERSPECTIVE FUND-C	B	0.00	5.21	0.00	5.21		1.04
12/03/2018 00085781654	SANCHEZ, BEATRIZ	MFT THE NEW ECONOMY FUND-C	B	0.00	6.54	0.00	6.54		1.31
12/03/2018 00086109508	BRUCHI, JENNIFER	MFT NEW PERSPECTIVE FUND-C	B	0.00	40.60	0.00	40.60		8.12
12/03/2018 00086109508	BRUCHI, JENNIFER	MFT THE NEW ECONOMY FUND-C	B	0.00	49.57	0.00	49.57		9.91
12/03/2018 00086413277	LE BLANC, ERIN	MFT NEW PERSPECTIVE FUND-C	B	0.00	16.65	0.00	16.65		3.33
12/03/2018 00086413277	LE BLANC, ERIN	MFT SMALLCAP WORLD FUND-C	B	0.00	16.42	0.00	16.42		3.28
12/03/2018 00086413277	LE BLANC, ERIN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	29.88	0.00	29.88		5.98
12/03/2018 00086413277	LE BLANC, ERIN	MFT THE NEW ECONOMY FUND-C	B	0.00	29.87	0.00	29.87		5.97
12/03/2018 00086465668	MCINTIRE, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	6.31	0.00	6.31		1.26
12/03/2018 00089714741	FINN, CONNOR	MFT THE NEW ECONOMY FUND-C	B	0.00	20.48	0.00	20.48		4.10
12/03/2018 00089892340	FBO JULIETTE G	MFT NEW PERSPECTIVE FUND-C	B	0.00	6.41	0.00	6.41		1.28
12/03/2018 00089893358	SHERYL A BARDEN	MFT NEW PERSPECTIVE FUND-C	B	0.00	28.65	0.00	28.65		5.73
12/03/2018 00089893358	SHERYL A BARDEN	MFT THE NEW ECONOMY FUND-C	B	0.00	119.10	0.00	119.10		23.82
12/07/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.45	0.00	2.45		0.49
12/07/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.34	0.00	7.34		1.47
12/07/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	49.07	0.00	49.07		9.81
12/07/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	147.20	0.00	147.20		29.44
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.40	0.00	0.40		0.08
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.19	0.00	1.19		0.24
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.36	0.00	0.36		0.07
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.09	0.00	1.09		0.22
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.06	0.00	1.06		0.21
12/07/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.17	0.00	3.17		0.63
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.54	0.00	9.54		1.91
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	28.61	0.00	28.61		5.72
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.74	0.00	5.74		1.15
12/07/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.22	0.00	17.22		3.44
12/07/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.62	0.00	2.62		0.52
12/07/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.85	0.00	7.85		1.57
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	5.79	0.00	5.79		1.16
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	17.37	0.00	17.37		3.47
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.45	0.00	2.45		0.49
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.34	0.00	7.34		1.47
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.33	0.00	10.33		2.07

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/07/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	30.98	0.00	30.98		6.20
12/07/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.83	0.00	2.83		0.57
12/07/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	8.50	0.00	8.50		1.70
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.08	0.00	2.08		0.42
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.25	0.00	6.25		1.25
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.03	0.00	1.03		0.21
12/07/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.09	0.00	3.09		0.62
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.80	0.00	2.80		0.56
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.41	0.00	8.41		1.68
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.79	0.00	2.79		0.56
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	8.36	0.00	8.36		1.67
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.95	0.00	2.95		0.59
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.84	0.00	8.84		1.77
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.59	0.00	2.59		0.52
12/07/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	7.77	0.00	7.77		1.55
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	6.58	0.00	6.58		1.32
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	19.74	0.00	19.74		3.95
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.80	0.00	2.80		0.56
12/07/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.41	0.00	8.41		1.68
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.24	0.00	2.24		0.45
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	6.72	0.00	6.72		1.34
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.30	0.00	2.30		0.46
12/07/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.90	0.00	6.90		1.38
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	16.72	0.00	16.72		3.34
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	50.17	0.00	50.17		10.03
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	21.78	0.00	21.78		4.36
12/07/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	65.35	0.00	65.35		13.07
12/07/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	5.04	0.00	5.04		1.01
12/07/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	15.13	0.00	15.13		3.03
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.09	0.00	2.09		0.42
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	6.27	0.00	6.27		1.25
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	3.88	0.00	3.88		0.78
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	11.63	0.00	11.63		2.33
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.57	0.00	2.57		0.51
12/07/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	7.72	0.00	7.72		1.54
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	0.91	0.00	0.91		0.18
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.73	0.00	2.73		0.55
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.04	0.00	1.04		0.21
12/07/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.12	0.00	3.12		0.62
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.96	0.00	1.96		0.39
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.87	0.00	5.87		1.17
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.12	0.00	3.12		0.62
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	9.35	0.00	9.35		1.87
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	1.72	0.00	1.72		0.34

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	5.16	0.00	5.16		1.03
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.24	0.00	4.24		0.85
12/07/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.73	0.00	12.73		2.55
12/05/2018 019000000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	23.03	0.00	23.03		4.61
12/05/2018 019000000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	12.54	0.00	12.54		2.51
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.74	0.00	1.74		0.35
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.22	0.00	5.22		1.04
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.33	0.00	4.33		0.87
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.98	0.00	12.98		2.60
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.05	0.00	2.05		0.41
12/07/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.15	0.00	6.15		1.23
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.57	0.00	1.57		0.31
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.71	0.00	4.71		0.94
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.33	0.00	2.33		0.47
12/07/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.00	0.00	7.00		1.40
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	0.96	0.00	0.96		0.19
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.89	0.00	2.89		0.58
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.13	0.00	1.13		0.23
12/07/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.39	0.00	3.39		0.68
12/07/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.37	0.00	3.37		0.67
12/07/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	10.12	0.00	10.12		2.02
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.00	0.00	4.00		0.80
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	11.99	0.00	11.99		2.40
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.14	0.00	5.14		1.03
12/07/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	15.42	0.00	15.42		3.08
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.55	0.00	1.55		0.31
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.65	0.00	4.65		0.93
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.61	0.00	1.61		0.32
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	4.82	0.00	4.82		0.96
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.95	0.00	1.95		0.39
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.86	0.00	5.86		1.17
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.90	0.00	1.90		0.38
12/07/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.69	0.00	5.69		1.14
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	7.74	0.00	7.74		1.55
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	23.22	0.00	23.22		4.64
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.80	0.00	5.80		1.16
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	17.41	0.00	17.41		3.48
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.30	0.00	5.30		1.06
12/07/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	15.91	0.00	15.91		3.18
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	20.33	0.00	20.33		4.07
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	60.98	0.00	60.98		12.20
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.25	0.00	9.25		1.85
12/07/2018 03903118171	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	27.74	0.00	27.74		5.55
12/03/2018 04000149886	JOHN J FINN CUST,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.92	0.00	0.92		0.18

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/03/2018 04000149886	JOHN J FINN CUST,	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.02	0.00	0.02		0.00
12/03/2018 04001243322	COCKERHAM, GEORGE	MFT AMERICAN BALANCED FUND-A	B	0.00	11.13	0.00	11.13		2.23
12/03/2018 04001243322	COCKERHAM, GEORGE	MFT NEW PERSPECTIVE FUND-C	B	0.00	3.93	0.00	3.93		0.79
12/05/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	41.24	0.00	41.24		8.25
12/05/2018 08183000145	CORBETT, JOHN	MFT COLUMBIA BALANCED FD CL A	B	0.00	3.29	0.00	3.29		0.66
12/05/2018 08537100283	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.71	0.00	1.71		0.34
12/05/2018 08537100284	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	3.98	0.00	3.98		0.80
12/05/2018 08537100298	CARLOS BOGRAN III	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.33	0.00	1.33		0.27
12/05/2018 08537100304	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	1.15	0.00	1.15		0.23
12/05/2018 08537500142	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.63	0.00	0.63		0.13
12/05/2018 08537500143	CARLOS BOGRAN III	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.05	0.00	1.05		0.21
12/05/2018 08537500296	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.95	0.00	1.95		0.39
12/05/2018 08537500318	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.31	0.00	1.31		0.26
12/05/2018 08537500319	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.54	0.00	1.54		0.31
12/05/2018 08981001058	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.94	0.00	3.94		0.79
12/05/2018 08981001059	CARLOS BOGRAN III	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.94	0.00	1.94		0.39
12/05/2018 08981001063	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.92	0.00	0.92		0.18
12/05/2018 08981001064	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	1.57	0.00	1.57		0.31
12/05/2018 08981001068	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.03	0.00	3.03		0.61
12/05/2018 08981001070	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	3.08	0.00	3.08		0.62
12/05/2018 08981001071	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-A	B	0.00	0.63	0.00	0.63		0.13
12/05/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.56	0.00	1.56		0.31
12/05/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	17.24	0.00	17.24		3.45
* RANDY SMITH-D601 SUBTOTAL *				\$50.00	\$4,355.37	\$0.00	\$4,355.37		\$871.09

BROKER: RANDALL SMITH-D444 #81

12/03/2018 00068217982	STEHLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.81	0.00	3.81		1.91
12/03/2018 00073354171	ARMSTRONG,	MFT AMCAP FUND-A	B	0.00	21.60	0.00	21.60		10.80
12/03/2018 00073354184	ARMSTRONG,	MFT THE INVESTMENT COMPANY OF	B	0.00	16.56	0.00	16.56		8.28
12/03/2018 00074171940	DICKEY, G	MFT THE INVESTMENT COMPANY OF	B	0.00	16.49	0.00	16.49		8.25
12/03/2018 00074509080	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.53	0.00	3.53		1.77
12/03/2018 00074509102	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	9.04	0.00	9.04		4.52
12/03/2018 00074623111	DE LA VEGA, JESUS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.51	0.00	12.51		6.26
12/03/2018 00074739683	LANE, MORGAN	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.76	0.00	0.76		0.38
12/03/2018 00082268142	CLEVELAND, KATHIE	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	1.03	0.00	1.03		0.52
12/03/2018 04000090127	DICKEY, G	MFT AMERICAN BALANCED FUND-A	B	0.00	113.43	0.00	113.43		56.72
12/03/2018 04000090127	DICKEY, G	MFT CAPITAL INCOME BUILDER-A	B	0.00	33.48	0.00	33.48		16.74
12/03/2018 04000090127	DICKEY, G	MFT NEW PERSPECTIVE FUND-A	B	0.00	72.40	0.00	72.40		36.20
12/03/2018 04000090127	DICKEY, G	MFT THE INCOME FUND OF AMERICA-A	B	0.00	24.43	0.00	24.43		12.22
12/03/2018 04000090127	DICKEY, G	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	81.17	0.00	81.17		40.59
* RANDALL SMITH-D444 SUBTOTAL *				\$0.00	\$410.24	\$0.00	\$410.24		\$205.16
*** LINKED DIRECT TRANSACTIONS TOTAL ***				\$50.00	\$4,765.61	\$0.00	\$4,765.61		\$1,076.25

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,194.42
Split Transactions	\$296.43
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$7,490.85</b>
<b>Year-to-date Commissions</b>	<b>\$88,534.17</b>