

COMMISSION STATEMENT November 30, 2018

 PAM BOOTON-D006
 5955 Lomita Verde Circle

 BROKER #: D006
 BRANCH: DMN

Austin, TX 78749

COMMISSION STATEMENT for PAM BOOTON-D006

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: STOCKS

11/16/2018	246528552	RHOADES, TERRY	S	FACEBOOK INC-CLASS A	B	2,698.35	15.00	15.00	0.00	90.0	0.00
11/23/2018	769227298	VITANZA, CHRISTINE	S	NETFLIX.COM INC	S	-28,036.79	35.00	15.00	20.00	90.0	18.00
				* STOCKS SUBTOTAL *		\$-25,338.44	\$50.00	\$30.00	\$20.00		\$18.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc099149	BOOTON 099149		MFT AMCAP FUND-A	B	0.00	2.57	0.00	2.57	90.0	2.31
11/21/2018	mfc099149	BOOTON 099149		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.73	0.00	2.73	90.0	2.46
11/21/2018	mfc099149	BOOTON 099149		MFT THE INVESTMENT COMPANY OF	B	0.00	2.44	0.00	2.44	90.0	2.20
11/21/2018	mfc099149	BOOTON 099149		MFT THE NEW ECONOMY FUND-A	B	0.00	2.58	0.00	2.58	90.0	2.32
11/21/2018	mfc099149	BOOTON 099149		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.46	0.00	2.46	90.0	2.21
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$12.78	\$0.00	\$12.78		\$11.50
				*** CLEARING TRANSACTIONS TOTAL ***		\$-25,338.44	\$62.78	\$30.00	\$32.78		\$29.50

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

11/15/2018	00084049352	LOVETT IV, GEORGE MF		AMCAP FUND-529A	B	50.00	0.60	0.00	0.60	90.0	0.54
11/15/2018	00084049352	LOVETT IV, GEORGE MF		THE GROWTH FUND OF AMERICA-529A	B	50.00	0.60	0.00	0.60	90.0	0.54
11/15/2018	00084690310	LOVETT IV, GEORGE MF		AMCAP FUND-529A	B	50.00	0.60	0.00	0.60	90.0	0.54
11/15/2018	00084690310	LOVETT IV, GEORGE MF		THE GROWTH FUND OF AMERICA-529A	B	50.00	0.60	0.00	0.60	90.0	0.54
11/15/2018	00084690320	LOVETT IV, GEORGE MF		AMCAP FUND-529A	B	50.00	0.60	0.00	0.60	90.0	0.54
11/15/2018	00084690320	LOVETT IV, GEORGE MF		THE GROWTH FUND OF AMERICA-529A	B	50.00	0.60	0.00	0.60	90.0	0.54
11/16/2018	00085592789	BRATHWAITE, MF		AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
				* MUTUAL FUNDS SUBTOTAL *		\$350.00	\$5.73	\$0.00	\$5.73		\$5.16
				*** DIRECT TRANSACTIONS TOTAL ***		\$350.00	\$5.73	\$0.00	\$5.73		\$5.16

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ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	:	SHAREFILE		0.00	0.00	0.00	0.00		-13.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-198.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-198.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$34.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-198.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-163.34</u>
Year-to-date Commissions	<u>\$284,008.54</u>