



COMMISSION STATEMENT

October 01, 2018

RICHARD BIRNS
6800 Jericho Turnpike
Suite # 200AW
Syosset, NY 11791

BROKER #: 31
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/10/2018	***-**-53IN - BABCOCK,	JACKSON ELITE ACCESS OPTION A BUY 1 Units @370000.000	330,698.48	17,361.67	0.00	17,361.67	75.0	13,021.25
* VARIABLE ANNUITIES SUBTOTAL *			<u>\$330,698.48</u>	<u>\$17,361.67</u>	<u>\$0.00</u>	<u>\$17,361.67</u>		<u>\$13,021.25</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/28/2018	***-**-4560 - KOENIG, RAE ACCOUNT #: GAX20578	ALLIANZ TRAILS 018812727 BUY 0 Units @0.0000	0.00	103.20	0.00	103.20	75.0	77.40
09/28/2018	***-**-153P - BABCOCK, ACCOUNT #: DA915753	ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	373.83	0.00	373.83	75.0	280.37
09/28/2018	***-**-48IN - BABCOCK, ROBERT ACCOUNT #: DA915768	ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	340.77	0.00	340.77	75.0	255.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$817.80</u>	<u>\$0.00</u>	<u>\$817.80</u>		<u>\$613.35</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$330,698.48</u>	<u>\$18,179.47</u>	<u>\$0.00</u>	<u>\$18,179.47</u>		<u>\$13,634.60</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$13,634.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$13,634.60
Year-to-date Earnings	\$35,977.50