



COMMISSION STATEMENT

December 10, 2018

JOHN HERGER
1345 West Mason St, Ste 116
Suite 210
Green Bay, WI 54303

BROKER #: B85
BRANCH #01049 - 49 - DESCHENES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/10/2018	***-**-22CL - HERGER, CLIENTS	TA ASSET ALLOC MODERATE PORT CL A BUY 0 Units @0.0000	138.48	5.54	0.00	5.54	84.0	4.65
		* MUTUAL FUNDS SUBTOTAL *	\$138.48	\$5.54	\$0.00	\$5.54		\$4.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/04/2018	***-**-22CL - HERGER, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	414.98	0.00	414.98	84.0	348.58
12/07/2018	***-**-22CL - HERGER, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	45.79	0.00	45.79	84.0	38.46
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$460.77	\$0.00	\$460.77		\$387.04
		*** BROKER TRANSACTIONS TOTAL ***	\$138.48	\$466.31	\$0.00	\$466.31		\$391.69

BROKER COMMISSION TOTALS

Broker Transactions	\$391.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$14.90
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$406.59
Year-to-date Earnings	\$406.59