

COMMISSION STATEMENT

September 30, 2018

 KRIS LLOYD-D039
 4265 San Felipe Road
 Suite 1100
 Houston, TX 77027

 BROKER #: D039
 BRANCH: DMJ

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
09/26/2018	184006336	CONNOLLY, ANNE	MF	INTERNATIONAL GROWTH AND	S	-7,939.87	0.00	5.00	-5.00 90.0 -4.50
09/07/2018	216051151	DAVID HOLLRAH IND	MF	GROWTH AND INCOME PORTFOLIO-A	S	12,002.45	330.00	5.00	325.00 90.0 292.50
09/25/2018	216051151	DAVID HOLLRAH IND	MF	INTERNATIONAL GROWTH AND	S	-18,605.59	0.00	5.00	-5.00 90.0 -4.50
09/07/2018	368431919	COLEMAN, DONALD	MF	GROWTH AND INCOME PORTFOLIO-A	S	5,002.45	212.50	5.00	207.50 90.0 186.75
09/24/2018	583778526	CULVER, ELOISE	MF	GROWTH AND INCOME PORTFOLIO-A	B	-15,997.55	0.00	5.00	-5.00 90.0 -4.50
				* MUTUAL FUNDS SUBTOTAL *		\$-25,538.11	\$542.50	\$25.00	\$517.50 \$465.75

PRODUCT CATEGORY: STOCKS

09/04/2018	216051151	DAVID HOLLRAH IND	S	SUNOCO LOGISTICS ETP	B	10,358.59	132.18	15.00	117.18 90.0 105.46
09/24/2018	216051151	DAVID HOLLRAH IND	S	ASTRAZENECA P L C-SPONS ADR	S	-18,661.26	216.59	15.00	201.59 90.0 181.43
09/24/2018	216051151	DAVID HOLLRAH IND	S	OMEGA HEALTHCARE INVS INC	B	16,387.25	190.40	15.00	175.40 90.0 157.86
09/25/2018	216051151	DAVID HOLLRAH IND	S	VICTORY PORTFOLIOS II CEMP US	B	14,501.13	172.18	15.00	157.18 90.0 141.46
09/04/2018	338107996	HOLLRAH, DAVID	S	SUNOCO LOGISTICS ETP	B	22,925.88	253.53	15.00	238.53 90.0 214.68
09/24/2018	338107996	HOLLRAH, DAVID	S	ASTRAZENECA P L C-SPONS ADR	S	-11,191.63	143.04	15.00	128.04 90.0 115.24
09/24/2018	338107996	HOLLRAH, DAVID	S	OMEGA HEALTHCARE INVS INC	B	9,840.60	127.18	15.00	112.18 90.0 100.96
09/06/2018	368259575	ROY, JOHN	S	SUNOCO LOGISTICS ETP	B	5,771.95	134.52	15.00	119.52 90.0 107.57
09/24/2018	368259575	ROY, JOHN	S	NOBLE CORP PLC SHS USD ISIN	S	-6,942.83	156.53	15.00	141.53 90.0 127.38
09/24/2018	368259575	ROY, JOHN	S	OMEGA HEALTHCARE INVS INC	B	6,534.22	145.79	15.00	130.79 90.0 117.71
09/05/2018	368333602	LASTER, RICHARD	S	SUNOCO LOGISTICS ETP	B	6,949.34	151.92	15.00	136.92 90.0 123.23
09/24/2018	368431919	COLEMAN, DONALD	S	OMEGA HEALTHCARE INVS INC	B	16,307.62	290.22	15.00	275.22 90.0 247.70
09/24/2018	368431919	COLEMAN, DONALD	S	VICTORY PORTFOLIOS II CEMP US	S	-18,796.46	337.04	15.00	322.04 90.0 289.84
09/24/2018	583778526	CULVER, ELOISE	S	OMEGA HEALTHCARE INVS INC	B	16,514.38	293.28	15.00	278.28 90.0 250.45
09/05/2018	645219153	ROYER TTEE, MARY	S	SUNOCO LOGISTICS ETP	B	4,678.82	118.37	15.00	103.37 90.0 93.03
09/25/2018	645219153	ROYER TTEE, MARY	S	NOBLE CORP PLC SHS USD ISIN	S	-10,541.82	211.34	15.00	196.34 90.0 176.71
09/25/2018	645219153	ROYER TTEE, MARY	S	OMEGA HEALTHCARE INVS INC	B	9,908.72	195.66	15.00	180.66 90.0 162.59
09/05/2018	645219578	ROYER TTEE, MARY	S	SUNOCO LOGISTICS ETP	B	11,623.45	221.00	15.00	206.00 90.0 185.40
09/25/2018	645219578	ROYER TTEE, MARY	S	NOBLE CORP PLC SHS USD ISIN	S	-10,614.37	212.44	15.00	197.44 90.0 177.70
09/25/2018	645219578	ROYER TTEE, MARY	S	OMEGA HEALTHCARE INVS INC	B	16,489.66	292.91	15.00	277.91 90.0 250.12
09/05/2018	645219949	ROYER, MARY	S	SUNOCO LOGISTICS ETP	B	9,305.15	186.74	15.00	171.74 90.0 154.57
09/25/2018	645219949	ROYER, MARY	S	NOBLE CORP PLC SHS USD ISIN	S	-10,592.35	212.11	15.00	197.11 90.0 177.40
09/25/2018	645219949	ROYER, MARY	S	OMEGA HEALTHCARE INVS INC	B	9,908.69	195.66	15.00	180.66 90.0 162.59

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/05/2018 645225418	ROYER TTEE, MARY	S SUNOCO LOGISTICS ETP	B	4,680.85	118.40	15.00	103.40	90.0	93.06
09/25/2018 645225418	ROYER TTEE, MARY	S NOBLE CORP PLC SHS USD ISIN	S	-10,557.78	211.58	15.00	196.58	90.0	176.92
09/25/2018 645225418	ROYER TTEE, MARY	S OMEGA HEALTHCARE INVS INC	B	9,914.78	195.75	15.00	180.75	90.0	162.68
09/24/2018 677260790	SCHULTZE, HARRY	S ASTRAZENECA P L C-SPONS ADR	S	-3,667.25	106.65	15.00	91.65	90.0	82.49
09/24/2018 677260790	SCHULTZE, HARRY	S OMEGA HEALTHCARE INVS INC	B	6,627.44	147.17	15.00	132.17	90.0	118.95
* STOCKS SUBTOTAL *				\$107,662.77	\$5,370.18	\$420.00	\$4,950.18		\$4,455.18

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	3.00	0.00	3.00	90.0	2.70
09/21/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	4.48	0.00	4.48	90.0	4.03
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.27	0.00	0.27	90.0	0.24
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.41	0.00	0.41	90.0	0.37
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.69	0.00	0.69	90.0	0.62
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.74	0.00	0.74	90.0	0.67
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.07	0.00	1.07	90.0	0.96
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.48	0.00	1.48	90.0	1.33
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.59	0.00	1.59	90.0	1.43
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.73	0.00	1.73	90.0	1.56
09/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	7.54	0.00	7.54	90.0	6.79
09/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.31	0.00	0.31	90.0	0.28
09/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.61	0.00	0.61	90.0	0.55
09/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.60	0.00	1.60	90.0	1.44
09/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	3.15	0.00	3.15	90.0	2.84
09/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	8.01	0.00	8.01	90.0	7.21
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.18	0.00	0.18	90.0	0.16
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.28	0.00	0.28	90.0	0.25
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.32	0.00	0.32	90.0	0.29
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.72	0.00	0.72	90.0	0.65
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.42	0.00	2.42	90.0	2.18
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.70	0.00	2.70	90.0	2.43
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.83	0.00	2.83	90.0	2.55
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.16	0.00	4.16	90.0	3.74
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.21	0.00	0.21	90.0	0.19
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.41	0.00	0.41	90.0	0.37
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.49	0.00	0.49	90.0	0.44
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.70	0.00	0.70	90.0	0.63
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.90	0.00	0.90	90.0	0.81
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.98	0.00	0.98	90.0	0.88
09/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.28	0.00	2.28	90.0	2.05

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09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.72	0.00	2.72	90.0	2.45
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.85	0.00	2.85	90.0	2.57
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.21	0.00	4.21	90.0	3.79
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.13	0.00	6.13	90.0	5.52
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.66	0.00	6.66	90.0	5.99
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.32	0.00	2.32	90.0	2.09
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.90	0.00	2.90	90.0	2.61
09/21/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.18	0.00	4.18	90.0	3.76
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.82	0.00	1.82	90.0	1.64
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.18	0.00	2.18	90.0	1.96
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.33	0.00	2.33	90.0	2.10
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.96	0.00	2.96	90.0	2.66
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.47	0.00	3.47	90.0	3.12
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	12.94	0.00	12.94	90.0	11.65
09/21/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	2.48	0.00	2.48	90.0	2.23
09/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	0.86	0.00	0.86	90.0	0.77
09/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	2.03	0.00	2.03	90.0	1.83
09/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	2.43	0.00	2.43	90.0	2.19
09/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.46	0.00	0.46	90.0	0.41
09/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.69	0.00	0.69	90.0	0.62
09/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.61	0.00	2.61	90.0	2.35
09/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.42	0.00	3.42	90.0	3.08
09/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.02	0.00	0.02	90.0	0.02
09/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	4.26	0.00	4.26	90.0	3.83
09/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
09/21/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.29	0.00	4.29	90.0	3.86
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.31	0.00	0.31	90.0	0.28
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.33	0.00	0.33	90.0	0.30
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.44	0.00	0.44	90.0	0.40
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.50	0.00	0.50	90.0	0.45
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.60	0.00	0.60	90.0	0.54
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.82	0.00	0.82	90.0	0.74
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.03	0.00	1.03	90.0	0.93
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.89	0.00	1.89	90.0	1.70
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.30	0.00	2.30	90.0	2.07
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.52	0.00	2.52	90.0	2.27
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.72	0.00	2.72	90.0	2.45
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.09	0.00	3.09	90.0	2.78
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.15	0.00	3.15	90.0	2.84
09/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.39	0.00	4.39	90.0	3.95
09/24/2018	mfc991470 LLOYD 991470	MFT AMCAP FUND-A	B	0.00	2.83	0.00	2.83	90.0	2.55
09/24/2018	mfc991470 LLOYD 991470	MFT AMCAP FUND-A	B	0.00	4.23	0.00	4.23	90.0	3.81
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.26	0.00	0.26	90.0	0.23
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.39	0.00	0.39	90.0	0.35

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09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.65	0.00	0.65	90.0	0.59
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.70	0.00	0.70	90.0	0.63
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.01	0.00	1.01	90.0	0.91
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.40	0.00	1.40	90.0	1.26
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.50	0.00	1.50	90.0	1.35
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.63	0.00	1.63	90.0	1.47
09/24/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	7.11	0.00	7.11	90.0	6.40
09/24/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.29	0.00	0.29	90.0	0.26
09/24/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.58	0.00	0.58	90.0	0.52
09/24/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.51	0.00	1.51	90.0	1.36
09/24/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	2.96	0.00	2.96	90.0	2.66
09/24/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	7.53	0.00	7.53	90.0	6.78
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.17	0.00	0.17	90.0	0.15
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.20	0.00	0.20	90.0	0.18
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.27	0.00	0.27	90.0	0.24
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.30	0.00	0.30	90.0	0.27
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.39	0.00	0.39	90.0	0.35
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.46	0.00	0.46	90.0	0.41
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.66	0.00	0.66	90.0	0.59
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.67	0.00	0.67	90.0	0.60
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.84	0.00	0.84	90.0	0.76
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.92	0.00	0.92	90.0	0.83
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.14	0.00	2.14	90.0	1.93
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.28	0.00	2.28	90.0	2.05
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.53	0.00	2.53	90.0	2.28
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.55	0.00	2.55	90.0	2.30
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.66	0.00	2.66	90.0	2.39
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.67	0.00	2.67	90.0	2.40
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.90	0.00	3.90	90.0	3.51
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.95	0.00	3.95	90.0	3.56
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.76	0.00	5.76	90.0	5.18
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.25	0.00	6.25	90.0	5.63
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.71	0.00	2.71	90.0	2.44
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.17	0.00	2.17	90.0	1.95
09/24/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.91	0.00	3.91	90.0	3.52
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.06	0.00	0.06	90.0	0.05
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.26	0.00	0.26	90.0	0.23
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.26	0.00	0.26	90.0	0.23
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.26	0.00	0.26	90.0	0.23

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.26	0.00	0.26	90.0	0.23
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.55	0.00	0.55	90.0	0.50
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.71	0.00	1.71	90.0	1.54
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.05	0.00	2.05	90.0	1.85
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.19	0.00	2.19	90.0	1.97
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.78	0.00	2.78	90.0	2.50
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.26	0.00	3.26	90.0	2.93
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	12.16	0.00	12.16	90.0	10.94
09/24/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	2.34	0.00	2.34	90.0	2.11
09/24/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	0.80	0.00	0.80	90.0	0.72
09/24/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	1.89	0.00	1.89	90.0	1.70
09/24/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	2.26	0.00	2.26	90.0	2.03
09/24/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.23	0.00	3.23	90.0	2.91
09/24/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.43	0.00	0.43	90.0	0.39
09/24/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.65	0.00	0.65	90.0	0.59
09/24/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.46	0.00	2.46	90.0	2.21
09/24/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.02	0.00	0.02	90.0	0.02
09/24/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	4.03	0.00	4.03	90.0	3.63
09/24/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.05	0.00	4.05	90.0	3.65
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.29	0.00	0.29	90.0	0.26
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.32	0.00	0.32	90.0	0.29
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.41	0.00	0.41	90.0	0.37
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.47	0.00	0.47	90.0	0.42
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.57	0.00	0.57	90.0	0.51
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.78	0.00	0.78	90.0	0.70
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.98	0.00	0.98	90.0	0.88
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.79	0.00	1.79	90.0	1.61
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.18	0.00	2.18	90.0	1.96
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.39	0.00	2.39	90.0	2.15
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.58	0.00	2.58	90.0	2.32
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.93	0.00	2.93	90.0	2.64
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.98	0.00	2.98	90.0	2.68
09/24/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.17	0.00	4.17	90.0	3.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$320.47	\$0.00	\$320.47		\$288.45
*** CLEARING TRANSACTIONS TOTAL ***				\$82,124.66	\$6,233.15	\$445.00	\$5,788.15		\$5,209.38

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,209.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,024.38
Year-to-date Commissions	\$47,853.90