

# COMMISSION STATEMENT

October 31, 2018

 SPENCER JOHNSON-383056  
 422 Main Street

 BROKER #: 383056  
 BRANCH: SAMPLE HOME OFFICE

Cedar Falls, IA 50613

## COMMISSION STATEMENT for SPENCER JOHNSON-383056

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
10/04/2018 368464222	KAVANAGH, KATHLEEN	S ADR BRITISH AMERICAN TOBACCO	B	1,366.35	22.00	15.00	7.00	21.0	1.47
10/04/2018 368464222	KAVANAGH, KATHLEEN	S ANHEUSER-BUSCH INBEV SPONS ADR	B	1,768.65	22.00	15.00	7.00	21.0	1.47
10/04/2018 368464222	KAVANAGH, KATHLEEN	S INVESCO LTD ISIN #BMG491BT1088	B	3,511.20	22.00	15.00	7.00	21.0	1.47
10/04/2018 368464222	KAVANAGH, KATHLEEN	S WELLS FARGO & CO	S	-4,264.30	22.00	15.00	7.00	21.0	1.47
10/04/2018 431391859	KAVANAGH, KATHLEEN	S AEGON N V ORD AMER REG	S	-5,256.28	22.00	15.00	7.00	21.0	1.47
10/04/2018 431391859	KAVANAGH, KATHLEEN	S CARDINAL HEALTH INC	B	4,343.64	22.00	15.00	7.00	21.0	1.47
10/04/2018 431391859	KAVANAGH, KATHLEEN	S GENERAL MILLS INCORPORATED	B	4,329.31	22.00	15.00	7.00	21.0	1.47
10/04/2018 431391859	KAVANAGH, KATHLEEN	S PRAXAIR INCORPORATED	S	-4,097.00	22.00	15.00	7.00	21.0	1.47
10/04/2018 431391859	KAVANAGH, KATHLEEN	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	5,562.85	22.00	15.00	7.00	21.0	1.47
10/04/2018 431391859	KAVANAGH, KATHLEEN	S SYSCO CORPORATION	S	-3,576.55	22.00	15.00	7.00	21.0	1.47
<b>* STOCKS SUBTOTAL *</b>				<b>\$3,687.87</b>	<b>\$220.00</b>	<b>\$150.00</b>	<b>\$70.00</b>		<b>\$14.70</b>

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/27/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.26	0.00	0.26	21.0	0.05
09/27/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.60	0.00	0.60	21.0	0.13
09/27/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.46	0.00	0.46	21.0	0.10
09/27/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.48	0.00	0.48	21.0	0.10
09/27/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.55	0.00	1.55	21.0	0.33
09/27/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.61	0.00	1.61	21.0	0.34
10/11/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.36	0.00	0.36	21.0	0.08
10/11/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.84	0.00	0.84	21.0	0.18
10/11/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.10	0.00	0.10	21.0	0.02
10/11/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.10	0.00	0.10	21.0	0.02
10/11/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.56	0.00	0.56	21.0	0.12
10/11/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.61	0.00	0.61	21.0	0.13
10/11/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.86	0.00	1.86	21.0	0.39
10/11/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	2.02	0.00	2.02	21.0	0.42
10/25/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.36	0.00	0.36	21.0	0.08
10/25/2018 mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.85	0.00	0.85	21.0	0.18
10/25/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.22	0.00	0.22	21.0	0.05
10/25/2018 mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.22	0.00	0.22	21.0	0.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/25/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.46	0.00	0.46	21.0	0.10
10/25/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.62	0.00	0.62	21.0	0.13
10/25/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.53	0.00	1.53	21.0	0.32
10/25/2018	mfc383056 JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	2.07	0.00	2.07	21.0	0.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$17.74	\$0.00	\$17.74		\$3.75
*** CLEARING TRANSACTIONS TOTAL ***				\$3,687.87	\$237.74	\$150.00	\$87.74		\$18.45

BROKER COMMISSION TOTALS

Broker Transactions	\$18.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$18.45
Year-to-date Commissions	\$173.77