

COMMISSION STATEMENT November 15, 2018

 THOMAS KINDER-D036
 300 East 4th Street

 BROKER #: D036
 BRANCH: DMN

Tyler, TX 75701

COMMISSION STATEMENT for THOMAS KINDER-D036

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-----------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 11/01/2018 00085392707 | SUTTON, TERESA | MF AMERICAN BALANCED FUND-A | B | 25.00 | 1.25 | 0.00 | 1.25 | 70.0 | 0.88 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$25.00 | \$1.25 | \$0.00 | \$1.25 | | \$0.88 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 10/31/2018 1006815401 | VANDERSLICE, | VA L SERIES | B | 59,673.49 | 49.73 | 0.00 | 49.73 | 70.0 | 34.81 |
| 10/31/2018 1006815401 | VANDERSLICE, | VA L SERIES | B | 21,306.18 | 23.08 | 0.00 | 23.08 | 70.0 | 16.16 |
| 10/31/2018 1008184409 | KINDER, THOMAS | VA PERSPECTIVE L | B | 8,842.49 | 9.58 | 0.00 | 9.58 | 70.0 | 6.71 |
| 10/31/2018 1008905815 | RAWLINSON, JERRY | VA PERSPECTIVE L | B | 107,920.85 | 89.93 | 0.00 | 89.93 | 70.0 | 62.95 |
| 10/31/2018 1008905815 | RAWLINSON, JERRY | VA PERSPECTIVE L | B | 144,475.45 | 156.52 | 0.00 | 156.52 | 70.0 | 109.56 |
| 10/31/2018 1016051680 | MORRISON, JOHN | VA PERSP II 04/14 | B | 78,652.02 | 32.77 | 0.00 | 32.77 | 70.0 | 22.94 |
| 10/31/2018 1016081155 | MORRISON, PAULA | VA PERSP L 08/11 | B | 11,037.03 | 9.20 | 0.00 | 9.20 | 70.0 | 6.44 |
| 10/31/2018 1017036544 | VANDERSLICE, JAMES | VA PERSP II 04/14 | B | 85,067.83 | 35.44 | 0.00 | 35.44 | 70.0 | 24.81 |
| 10/31/2018 1017688134 | PYLE, JACKIE | VA PERSP II 04/14 | B | 73,417.19 | 30.59 | 0.00 | 30.59 | 70.0 | 21.41 |
| 10/31/2018 1017752371 | RAWLINSON, JERRY | VA PERSP II 04/14 | B | 130,554.86 | 54.40 | 0.00 | 54.40 | 70.0 | 38.08 |
| 10/31/2018 1019792591 | LARRISON, CANDACE | VA PERSP II 01/16 | B | 71,215.58 | 29.67 | 0.00 | 29.67 | 70.0 | 20.77 |
| 10/31/2018 1020699651 | KINDER, THOMAS | VA PERSP II 01/16 | B | 15,180.11 | 9.49 | 0.00 | 9.49 | 70.0 | 6.64 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$807,343.08 | \$530.40 | \$0.00 | \$530.40 | | \$371.28 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | | |
| 11/09/2018 (None) | TEXAS AIR | VAT MASS MUTUAL | B | 0.00 | 86.38 | 0.00 | 86.38 | 70.0 | 60.47 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$86.38 | \$0.00 | \$86.38 | | \$60.47 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$807,368.08 | \$618.03 | \$0.00 | \$618.03 | | \$432.63 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$432.63 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$432.63 |
| Year-to-date Commissions | \$56,915.39 |