

COMMISSION STATEMENT October 31, 2018

 PAM BOOTON-D006
 5955 Lomita Verde Circle

 BROKER #: D006
 BRANCH: DMN

Austin, TX 78749

COMMISSION STATEMENT for PAM BOOTON-D006

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/15/2018	246528552 RHOADES, TERRY	S FACEBOOK INC-CLASS A	B	2,470.57	15.00	15.00	0.00	90.0	0.00
		* STOCKS SUBTOTAL *		\$2,470.57	\$15.00	\$15.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2018	mfc099149 BOOTON 099149	MFT AMCAP FUND-A	B	0.00	2.61	0.00	2.61	90.0	2.35
10/19/2018	mfc099149 BOOTON 099149	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.79	0.00	2.79	90.0	2.51
10/19/2018	mfc099149 BOOTON 099149	MFT THE INVESTMENT COMPANY OF	B	0.00	2.44	0.00	2.44	90.0	2.20
10/19/2018	mfc099149 BOOTON 099149	MFT THE NEW ECONOMY FUND-A	B	0.00	2.66	0.00	2.66	90.0	2.39
10/19/2018	mfc099149 BOOTON 099149	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.46	0.00	2.46	90.0	2.21
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$12.96	\$0.00	\$12.96		\$11.66
		*** CLEARING TRANSACTIONS TOTAL ***		\$2,470.57	\$27.96	\$15.00	\$12.96		\$11.66
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/16/2018	00085592789 BRATHWAITE,	MF THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
		* MUTUAL FUNDS SUBTOTAL *		\$50.00	\$2.13	\$0.00	\$2.13		\$1.92
<u>PRODUCT CATEGORY: FIXED ANNUITIES</u>									
10/09/2018	(None) MAHEU, MARLENE	FA SECURITY BENEFIT FIA	B	200,000.00	13,000.00	0.00	13,000.00	90.0	11,700.00
10/19/2018	2020018306 HEATWOLE, WARREN	FA SECURITY BENEFIT FIA	B	434,532.11	21,726.61	0.00	21,726.61	90.0	19,553.95
		* FIXED ANNUITIES SUBTOTAL *		\$634,532.11	\$34,726.61	\$0.00	\$34,726.61		\$31,253.95
		*** DIRECT TRANSACTIONS TOTAL ***		\$634,582.11	\$34,728.74	\$0.00	\$34,728.74		\$31,255.87

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS TRANSACTIONS</u>									
<u>BROKER: #0</u>									
10/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018		: FEDEX- NATL. LIFE GROUP 10-08		0.00	0.00	0.00	0.00		-30.17
10/31/2018		: FEDEX- SEC. BENEFIT 10-08		0.00	0.00	0.00	0.00		-27.87
10/31/2018		: SHAREFILE		0.00	0.00	0.00	0.00		-13.00
				* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-256.04
				*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-256.04

BROKER COMMISSION TOTALS

Broker Transactions	\$31,267.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-256.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$31,011.49
Year-to-date Commissions	\$266,811.79