



COMMISSION STATEMENT

September 17, 2018

WILLIAM ROBERTS
400 West Metro Park
Rochester, NY 14623

BROKER #: 16
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/04/2018	***-**-1606 - REUS, MARTHA	AMERICAN HIGH INCOME MUNI BOND CLAS	0.00	190.34	0.00	190.34	85.0	161.79
	ACCOUNT #: 00087392550	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$190.34	\$0.00	\$190.34		\$161.79
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$190.34	\$0.00	\$190.34		\$161.79
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: FREDERIC SCARPULLA</u>								
09/04/2018	***-**-7989 - SMITH, MICHAEL	AMERICAN BALANCED FUND-529C	0.00	190.20	0.00	190.20	85.0	161.67
	ACCOUNT #: 00084869153	BUY 0 Units @0.0000						
09/04/2018	***-**-92IN - MULLER FAMILY	AMERICAN HIGH-INCOME TRUST-A	0.00	161.03	0.00	161.03	85.0	136.88
	ACCOUNT #: 00083914711	BUY 0 Units @0.0000						
09/04/2018	***-**-41EF - LEAHY, JEFFRIE	AMERICAN MUTUAL FUND-A	0.00	6,453.80	0.00	6,453.80	85.0	5,485.73
	ACCOUNT #: 00074805551	BUY 0 Units @0.0000						
		* FREDERIC SCARPULLA SUBTOTAL *	\$0.00	\$6,805.03	\$0.00	\$6,805.03		\$5,784.28
		*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$6,805.03	\$0.00	\$6,805.03		\$5,784.28



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$161.79
						Split Transactions		\$5,784.28
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$63.44
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$6,009.51
						Year-to-date Earnings		\$27,128.48