



# COMMISSION STATEMENT

## October 29, 2018

**RICHARD SOWERS**  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

**BROKER #: 71**  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/08/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 15 Units @6.5500						
10/22/2018	***-**-87IN - HALL, J	AMCAP FUND-A	140.00	2.80	0.00	2.80	90.0	2.52
	ACCOUNT #: 00084955124	BUY 4 Units @33.6000						
10/09/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @61.4300						
10/09/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @62.0600						
10/09/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @62.7100						
10/09/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	40.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00084334451	BUY 1 Units @63.0400						
10/09/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @51.2700						
10/09/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 3 Units @51.8000						
10/09/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @52.3500						
10/09/2018	***-**-224I - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	196.58	7.37	0.00	7.37	90.0	6.63
	ACCOUNT #: 00085899638	BUY 4 Units @52.3500						
10/09/2018	***-**-224I - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	110.65	4.15	0.00	4.15	90.0	3.74
	ACCOUNT #: 00085899638	BUY 2 Units @52.3500						
10/09/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00084334451	BUY 1 Units @52.6200						
10/09/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @52.6200						
10/22/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	140.00	2.80	0.00	2.80	90.0	2.52
	ACCOUNT #: 00084955124	BUY 3 Units @49.3400						
10/09/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	196.58	7.37	0.00	7.37	90.0	6.63
	ACCOUNT #: 00085899638	BUY 3 Units @67.4200						
10/09/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	110.65	4.15	0.00	4.15	90.0	3.74
	ACCOUNT #: 00085899638	BUY 2 Units @67.4200						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
10/22/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	140.00	2.80	0.00	2.80	90.0	2.52
	ACCOUNT #: 00084955124	BUY 2 Units @63.2600						
10/09/2018	***-**-224I - WILSON, FRANCIS	NEW PERSPECTIVE FUND-A	196.58	7.37	0.00	7.37	90.0	6.63
	ACCOUNT #: 00085899638	BUY 4 Units @47.2600						
10/09/2018	***-**-224I - WILSON, FRANCIS	NEW PERSPECTIVE FUND-A	110.65	4.15	0.00	4.15	90.0	3.74
	ACCOUNT #: 00085899638	BUY 2 Units @47.2600						
09/21/2018	***-**-2945 - DAVIS, RENEE	SAMMONS LIVEWELL MUTUAL FUND	26,735.82	267.36	0.00	267.36	90.0	240.62
		BUY 0 Units @0.0000						
10/29/2018	***-**-339I - COBIAN, NANCY	SAMMONS LIVEWELL MUTUAL FUND	1,568.84	15.69	0.00	15.69	90.0	14.12
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
10/09/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @56.5000						
10/09/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @57.0900						
10/09/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @57.6900						
10/09/2018	***-**-224I - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	196.58	7.37	0.00	7.37	90.0	6.63
	ACCOUNT #: 00085899638	BUY 3 Units @57.6900						
10/09/2018	***-**-224I - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	110.65	4.15	0.00	4.15	90.0	3.74
	ACCOUNT #: 00085899638	BUY 2 Units @57.6900						
10/09/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	40.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00084334451	BUY 1 Units @57.9900						
10/09/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @57.9900						
10/25/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00075150658	BUY 4 Units @54.5500						
10/09/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 6 Units @23.9000						
10/09/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 4 Units @24.1500						
10/09/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	40.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00084334451	BUY 2 Units @24.2700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
10/09/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @47.8600						
10/22/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	140.00	2.80	0.00	2.80	90.0	2.52
	ACCOUNT #: 00084955124	BUY 3 Units @45.8800						
* MUTUAL FUNDS SUBTOTAL *			<b>\$31,890.10</b>	<b>\$403.39</b>	<b>\$0.00</b>	<b>\$403.39</b>		<b>\$363.08</b>
<b>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</b>								
10/29/2018	***-**-11IN - ROSEN, HOMER	LINCOLN BENEFIT LIFE VL TRAILS	0.00	17.33	0.00	17.33	90.0	15.60
	ACCOUNT #: 002L1106680	BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$0.00</b>	<b>\$17.33</b>	<b>\$0.00</b>	<b>\$17.33</b>		<b>\$15.60</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/29/2018	***-**-54IN - STOUT, LOUISE	RESOURCE REAL ESTATE TRAILS	0.00	18.85	0.00	18.85	90.0	16.97
	ACCOUNT #: 20033571	BUY 0 Units @0.0000						
10/29/2018	***-**-2700 - WELDON, CHARLTON	RESOURCE REAL ESTATE TRAILS	0.00	12.37	0.00	12.37	90.0	11.13
	ACCOUNT #: 20033847	BUY 0 Units @0.0000						
10/29/2018	***-**-657A - LIBERTY,	RESOURCE REAL ESTATE TRAILS	0.00	49.73	0.00	49.73	90.0	44.76
		BUY 0 Units @0.0000						
10/29/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	15.84	0.00	15.84	90.0	14.26
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
10/29/2018	***-**-8541 - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.79	0.00	3.79	90.0	3.41
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
10/29/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	7.64	0.00	7.64	90.0	6.88
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
10/29/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS MF TRAILS	0.00	61.25	0.00	61.25	90.0	55.13
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
10/29/2018	***-**-199I - MITCHEN, RICHARD	SAMMONS MF TRAILS	0.00	15.02	0.00	15.02	90.0	13.52
	ACCOUNT #: 7200011695	BUY 0 Units @0.0000						
10/29/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.60	0.00	7.60	90.0	6.84
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
10/29/2018	***-**-594I - REGULSKI, SUSAN	SAMMONS MF TRAILS	0.00	83.29	0.00	83.29	90.0	74.96
	ACCOUNT #: 7200012141	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/29/2018	***-**-721I - CRAFT, LOIS	SAMMONS MF TRAILS	0.00	18.10	0.00	18.10	90.0	16.29
	ACCOUNT #: 7200013710	BUY 0 Units @0.0000						
10/29/2018	***-**-7933 - PIERSON, KAREN	SAMMONS MF TRAILS	0.00	48.39	0.00	48.39	90.0	43.55
	ACCOUNT #: 7200024944	BUY 0 Units @0.0000						
10/29/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	25.17	0.00	25.17	90.0	22.65
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
10/29/2018	***-**-2700 - WELDON, CHARLTON	SAMMONS MF TRAILS	0.00	3.36	0.00	3.36	90.0	3.02
	ACCOUNT #: 7200043189	BUY 0 Units @0.0000						
10/29/2018	***-**-2700 - WELDON, CHARLTON	SAMMONS MF TRAILS	0.00	3.38	0.00	3.38	90.0	3.04
	ACCOUNT #: 7200043190	BUY 0 Units @0.0000						
10/29/2018	***-**-002I - RIPLEY, TOM	SAMMONS MF TRAILS	0.00	46.07	0.00	46.07	90.0	41.46
	ACCOUNT #: 7200009079	BUY 0 Units @0.0000						
10/29/2018	***-**-32RI - MITCHEN, DORIS	SAMMONS MF TRAILS	0.00	15.06	0.00	15.06	90.0	13.55
	ACCOUNT #: 7200011766	BUY 0 Units @0.0000						
10/29/2018	***-**-224I - WILSON, FRANCIS	SAMMONS MF TRAILS	0.00	5.36	0.00	5.36	90.0	4.82
	ACCOUNT #: 7200036196	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$440.27</b>	<b>\$0.00</b>	<b>\$440.27</b>		<b>\$396.24</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$31,890.10</b>	<b>\$860.99</b>	<b>\$0.00</b>	<b>\$860.99</b>		<b>\$774.92</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$774.92
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$774.92
						Year-to-date Earnings		\$44,860.36