



# COMMISSION STATEMENT

August 27, 2018

**FREDERIC SCARPULLA**  
400 West Metro Park  
Rochester, NY 14623

**BROKER #: 417**  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/21/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.5700						
08/21/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.5700						
08/21/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 2 Units @29.1200						
08/24/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @27.8600						
08/24/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	56.99	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00073798048	BUY 2 Units @27.8600						
08/24/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @27.8600						
08/24/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	37.81	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00089461113	BUY 1 Units @27.8600						
08/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @27.5800						
08/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.22	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @27.5800						
08/20/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @27.5800						
08/20/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @27.5800						
08/20/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @27.5800						
08/20/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @27.5800						
08/21/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	13.90	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 00089583477	BUY 1 Units @27.6000						
08/21/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 1 Units @27.6000						
08/21/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	16.32	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00089051066	BUY 1 Units @27.6000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.6000	40.00	0.40	0.00	0.40	80.0	0.32
08/24/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.6500	120.00	1.20	0.00	1.20	80.0	0.96
08/20/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @19.1500	45.06	0.45	0.00	0.45	80.0	0.36
08/20/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @19.1500	7.51	0.08	0.00	0.08	80.0	0.06
08/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2200	4.55	0.05	0.00	0.05	80.0	0.04
08/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.2200	105.00	1.05	0.00	1.05	80.0	0.84
08/24/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	AMERICAN MUTUAL FUND-A BUY 1 Units @42.5700	30.00	0.30	0.00	0.30	80.0	0.24
08/24/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	AMERICAN MUTUAL FUND-A BUY 2 Units @42.5700	83.49	0.83	0.00	0.83	80.0	0.66
08/24/2018	***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711	AMERICAN MUTUAL FUND-A BUY 14 Units @42.5700	600.00	6.00	0.00	6.00	80.0	4.80
08/24/2018	***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711	AMERICAN MUTUAL FUND-A BUY 2 Units @42.5700	72.94	0.73	0.00	0.73	80.0	0.58
08/20/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @41.7500	5.00	0.05	0.00	0.05	80.0	0.04
08/20/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @41.7500	1.66	0.02	0.00	0.02	80.0	0.02
08/21/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @41.8200	5.00	0.05	0.00	0.05	80.0	0.04
08/21/2018	***-**-9611 - MACDUFFIE, LINDA ACCOUNT #: 00087299599	CAPITAL INCOME BUILDER CLASS C BUY 5 Units @60.8500	300.00	3.00	0.00	3.00	80.0	2.40
08/20/2018	***-**-0447 - LEVINE, JACQUE ACCOUNT #: 00084996765	CAPITAL INCOME BUILDER-A BUY 2 Units @63.6100	100.00	3.75	0.00	3.75	80.0	3.00
08/21/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.7400	22.25	0.27	0.00	0.27	80.0	0.22



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/21/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.7400	22.25	0.27	0.00	0.27	80.0	0.22
08/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.0800	96.40	0.96	0.00	0.96	80.0	0.77
08/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.0800	3.60	0.04	0.00	0.04	80.0	0.03
08/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.3500	30.00	0.30	0.00	0.30	80.0	0.24
08/24/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.0700	20.00	0.20	0.00	0.20	80.0	0.16
08/24/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.0700	47.94	0.48	0.00	0.48	80.0	0.38
08/24/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.4600	40.00	0.40	0.00	0.40	80.0	0.32
08/21/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @53.9300	66.75	0.80	0.00	0.80	80.0	0.64
08/21/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @53.9300	66.75	0.80	0.00	0.80	80.0	0.64
08/21/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	FUNDAMENTAL INVESTORS-A BUY 2 Units @65.2300	133.50	1.60	0.00	1.60	80.0	1.28
08/21/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @65.2300	133.50	1.60	0.00	1.60	80.0	1.28
08/24/2018	***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710	FUNDAMENTAL INVESTORS-A BUY 0 Units @64.4200	25.00	0.25	0.00	0.25	80.0	0.20
08/24/2018	***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710	FUNDAMENTAL INVESTORS-A BUY 2 Units @64.4200	140.88	1.41	0.00	1.41	80.0	1.13
08/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.6600	18.00	0.18	0.00	0.18	80.0	0.14
08/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.6600	2.10	0.02	0.00	0.02	80.0	0.02
08/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.6600	9.00	0.09	0.00	0.09	80.0	0.07



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/20/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @63.6600						
08/21/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @63.8400						
08/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.8400						
08/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.8400						
08/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.9900						
08/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.9900						
08/20/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @40.9100						
08/20/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.06	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @40.9100						
08/20/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @40.9100						
08/20/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @40.9100						
08/21/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	13.49	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 00089583477	BUY 0 Units @41.0000						
08/21/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @41.0000						
08/21/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @46.7600						
08/21/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @46.7600						
08/20/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @43.7800						
08/20/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.46	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @43.7800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/20/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.7800						
08/20/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.7800						
08/20/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @43.7800						
08/20/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @43.7800						
08/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @44.0400						
08/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.0400						
08/20/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @61.2200						
08/20/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @61.2200						
08/20/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @61.2200						
08/20/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.45	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.2200						
08/20/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @61.2200						
08/20/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @61.2200						
08/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.7200						
08/20/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @51.7200						
08/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @52.2100						
08/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @52.2100						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/21/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063412117	BUY 3 Units @12.7900						
08/21/2018	***-**-535P - DELGAIZO,	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063451518	BUY 3 Units @12.7900						
08/20/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	3.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @12.6100						
08/20/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085904181	BUY 1 Units @12.6100						
08/20/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.6100						
08/20/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @12.6100						
08/21/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @55.9600						
08/21/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @55.9600						
08/24/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 4 Units @55.6500						
08/24/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	174.14	1.74	0.00	1.74	80.0	1.39
	ACCOUNT #: 00066758342	BUY 3 Units @55.6500						
08/24/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758344	BUY 1 Units @55.6500						
08/24/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	71.90	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 00066758344	BUY 1 Units @55.6500						
08/24/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @55.6500						
08/24/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	83.49	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00066758347	BUY 2 Units @55.6500						
08/24/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @55.6500						
08/24/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	72.19	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 00066758348	BUY 1 Units @55.6500						



# COMMISSION STATEMENT

August 27, 2018

FREDERIC SCARPULLA  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/24/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	130.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: 00074922243	BUY 2 Units @55.6500						
08/24/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	100.06	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00074922243	BUY 2 Units @55.6500						
08/24/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	60.32	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00083414110	BUY 1 Units @55.6500						
08/24/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	157.58	1.58	0.00	1.58	80.0	1.26
	ACCOUNT #: 00083414110	BUY 3 Units @55.6500						
08/24/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @55.6500						
08/24/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	116.72	1.17	0.00	1.17	80.0	0.94
	ACCOUNT #: 00087318332	BUY 2 Units @55.6500						
08/24/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @55.6500						
08/24/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	37.81	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00089461113	BUY 1 Units @55.6500						
08/24/2018	***-**-375P - PADULA, JOHN	THE GROWTH FUND OF AMERICA-A	6,500.00	130.00	0.00	130.00	80.0	104.00
	ACCOUNT #: 00064009806	BUY 114 Units @57.0800						
08/20/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @50.6200						
08/20/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @50.6200						
08/20/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @50.6200						
08/20/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @50.6200						
08/21/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @50.8700						
08/21/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	13.49	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 00089583477	BUY 0 Units @50.8700						
08/21/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @50.8700						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/20/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 4 Units @24.4000						
08/21/2018	***-**-395P - DIVASTA, ANTHONY	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 1 Units @43.4300						
08/24/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @41.5200						
08/24/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	174.14	1.74	0.00	1.74	80.0	1.39
	ACCOUNT #: 00066758342	BUY 4 Units @41.5200						
08/24/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @41.5200						
08/24/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	72.19	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 00066758348	BUY 2 Units @41.5200						
08/24/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 0 Units @41.5200						
08/24/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	56.99	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00073798048	BUY 1 Units @41.5200						
08/20/2018	***-**-279J - HERRMANN, KEVIN	THE TAX-EXEMPT BOND FUND OF AMERICA	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00086046167	BUY 10 Units @12.8100						
08/24/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 11 Units @46.4000						
08/24/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	348.24	3.48	0.00	3.48	80.0	2.78
	ACCOUNT #: 00066758345	BUY 8 Units @46.4000						
08/21/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @45.6100						
08/24/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @45.7300						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$13,366.51</b>	<b>\$208.71</b>	<b>\$0.00</b>	<b>\$208.71</b>		<b>\$166.93</b>





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/27/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	10.82	0.00	10.82	80.0	8.66
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
07/31/2018	***-**-27UG - RYAN, JOHN	FRANKLIN GROWTH FUND CLASS A	0.00	5.25	0.00	5.25	80.0	4.20
	ACCOUNT #: 000060171830130	BUY 0 Units @0.0000						
07/31/2018	***-**-45UG - SASSONE, RENEE	FRK GRWTH FND - CL C	0.00	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 000000001261522	BUY 0 Units @0.0000						
07/31/2018	***-**-4956 - COOPER, KELI	FT MODERATE TARGET FUND - CLASS A	0.00	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 000000000714840	BUY 0 Units @0.0000						
07/31/2018	***-**-45UG - SASSONE, RENEE	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 000000001981075	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$22.52</b>	<b>\$0.00</b>	<b>\$22.52</b>		<b>\$18.02</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$13,366.51</b>	<b>\$231.23</b>	<b>\$0.00</b>	<b>\$231.23</b>		<b>\$184.95</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$184.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$184.95</b>
<b>Year-to-date Earnings</b>	<b>\$144,564.04</b>