

# COMMISSION STATEMENT

September 15, 2018

KATHERINE SMITH-DM31  
 1701 River Run Road  
 Suite 1116  
 Forth Worth, TX 76107

BROKER #: DM31  
 BRANCH: DMW

## COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/04/2018	00084791628	MASSENGALE, MF NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
09/04/2018	00084791669	MASSENGALE, MF NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
09/04/2018	00085359820	LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
09/04/2018	00085359860	LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/28/2018	00088311353	MASSENGALE, LARA MF NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/28/2018	00088311353	MASSENGALE, LARA MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/28/2018	00088338383	MASSENGALE, JASON MF NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/28/2018	00088338383	MASSENGALE, JASON MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
09/04/2018	00088996224	LOGSDON, AVARI MF THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
09/07/2018	00089064328	LOGSDON, TRACI MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
09/04/2018	00089500058	PERTLL, JAMES MF AMCAP FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
09/04/2018	00089500058	PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	90.0	2.25
08/27/2018	04000143383	ZAVALA, GILBERT MF AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
08/27/2018	04000143383	ZAVALA, GILBERT MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
09/04/2018	04000452396	PERTLL, JAMES MF AMCAP FUND-A	B	50.00	1.00	0.00	1.00	90.0	0.90
09/04/2018	04000452396	PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	1.00	0.00	1.00	90.0	0.90
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$750.00</b>	<b>\$28.30</b>	<b>\$0.00</b>	<b>\$28.30</b>		<b>\$25.46</b>

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

09/04/2018	00062359412	HOWARD, GLENDA MFT AMERICAN BALANCED FUND-A	B	0.00	20.70	0.00	20.70	90.0	18.63
09/04/2018	00062359412	HOWARD, GLENDA MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	24.24	0.00	24.24	90.0	21.82
09/04/2018	00062359412	HOWARD, GLENDA MFT SMALLCAP WORLD FUND-A	B	0.00	21.10	0.00	21.10	90.0	18.99
09/04/2018	00062359412	HOWARD, GLENDA MFT THE GROWTH FUND OF AMERICA-A	B	0.00	25.41	0.00	25.41	90.0	22.87
09/04/2018	00062359412	HOWARD, GLENDA MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.93	0.00	19.93	90.0	17.94
09/04/2018	00062514466	HOWARD, GLENDA MFT AMERICAN BALANCED FUND-A	B	0.00	49.27	0.00	49.27	90.0	44.34
09/04/2018	00062514466	HOWARD, GLENDA MFT THE GROWTH FUND OF AMERICA-A	B	0.00	90.61	0.00	90.61	90.0	81.55
09/04/2018	00063060110	COOK, JOHN MFT AMERICAN BALANCED FUND-A	B	0.00	14.45	0.00	14.45	90.0	13.01
09/04/2018	00063060110	COOK, JOHN MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	12.97	0.00	12.97	90.0	11.67
09/04/2018	00063060110	COOK, JOHN MFT GLOBAL BALANCED FUND-A	B	0.00	4.73	0.00	4.73	90.0	4.26
09/04/2018	00063060110	COOK, JOHN MFT NEW WORLD FUND-A	B	0.00	6.28	0.00	6.28	90.0	5.65
09/04/2018	00063060110	COOK, JOHN MFT THE GROWTH FUND OF AMERICA-A	B	0.00	21.67	0.00	21.67	90.0	19.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00063060110	COOK, JOHN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.38	0.00	8.38	90.0	7.54
09/04/2018 00063060110	COOK, JOHN	MFT THE NEW ECONOMY FUND-A	B	0.00	10.33	0.00	10.33	90.0	9.30
09/04/2018 00063060112	COOK, JAN	MFT AMERICAN BALANCED FUND-A	B	0.00	14.45	0.00	14.45	90.0	13.01
09/04/2018 00063060112	COOK, JAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.01	0.00	23.01	90.0	20.71
09/04/2018 00063060112	COOK, JAN	MFT GLOBAL BALANCED FUND-A	B	0.00	19.12	0.00	19.12	90.0	17.21
09/04/2018 00063060112	COOK, JAN	MFT NEW WORLD FUND-A	B	0.00	4.17	0.00	4.17	90.0	3.75
09/04/2018 00063060112	COOK, JAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	55.52	0.00	55.52	90.0	49.97
09/04/2018 00063060112	COOK, JAN	MFT THE NEW ECONOMY FUND-A	B	0.00	25.64	0.00	25.64	90.0	23.08
09/04/2018 00063861203	SWEENEY, KYLE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.09	0.00	4.09	90.0	3.68
09/04/2018 00064089176	RUTH I EDMONDS,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.78	0.00	0.78	90.0	0.70
09/04/2018 00064159451	HORST ERICH	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.04	0.00	0.04	90.0	0.04
09/04/2018 00064159451	HORST ERICH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.35	0.00	0.35	90.0	0.32
09/04/2018 00064159451	HORST ERICH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.03	0.00	0.03	90.0	0.03
09/04/2018 00064159648	GRACEY, CATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	27.48	0.00	27.48	90.0	24.73
09/04/2018 00064159648	GRACEY, CATHERINE	MFT THE INVESTMENT COMPANY OF	B	0.00	21.52	0.00	21.52	90.0	19.37
09/04/2018 00064545457	SWEENEY, CHARLES	MFT NEW WORLD FUND-A	B	0.00	3.29	0.00	3.29	90.0	2.96
09/04/2018 00064545457	SWEENEY, CHARLES	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	28.61	0.00	28.61	90.0	25.75
09/04/2018 00064559185	GOSS, HERBERT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.41	0.00	2.41	90.0	2.17
09/04/2018 00064649478	PEOPLES, BILLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.33	0.00	3.33	90.0	3.00
09/04/2018 00064649478	PEOPLES, BILLY	MFT NEW WORLD FUND-A	B	0.00	0.98	0.00	0.98	90.0	0.88
09/04/2018 00064649478	PEOPLES, BILLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.90	0.00	7.90	90.0	7.11
09/04/2018 00064649478	PEOPLES, BILLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.90	0.00	1.90	90.0	1.71
09/04/2018 00064695507	HOWARD, GLENDA	MFT NEW WORLD FUND-A	B	0.00	7.08	0.00	7.08	90.0	6.37
09/04/2018 00067243589	PEOPLES, MAUREEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	25.45	0.00	25.45	90.0	22.91
09/04/2018 00067243589	PEOPLES, MAUREEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	25.55	0.00	25.55	90.0	23.00
09/04/2018 00067243589	PEOPLES, MAUREEN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	48.74	0.00	48.74	90.0	43.87
09/04/2018 00067255590	REYNOLDS, MOLLIE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.27	0.00	6.27	90.0	5.64
09/04/2018 00067283584	KRAUSE, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	17.48	0.00	17.48	90.0	15.73
09/04/2018 00067283584	KRAUSE, NANCY	MFT GLOBAL BALANCED FUND-A	B	0.00	2.99	0.00	2.99	90.0	2.69
09/04/2018 00067283584	KRAUSE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.25	0.00	12.25	90.0	11.03
09/04/2018 00067606409	ARENA, ELLEN	MFT AMERICAN BALANCED FUND-A	B	0.00	41.02	0.00	41.02	90.0	36.92
09/04/2018 00067606409	ARENA, ELLEN	MFT INTERMEDIATE BOND FUND OF	B	0.00	19.02	0.00	19.02	90.0	17.12
09/04/2018 00067606409	ARENA, ELLEN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	59.29	0.00	59.29	90.0	53.36
09/04/2018 00067606409	ARENA, ELLEN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	44.67	0.00	44.67	90.0	40.20
09/04/2018 00068172313	THOMASON, DWIGHT	MFT AMERICAN BALANCED FUND-A	B	0.00	1.87	0.00	1.87	90.0	1.68
09/04/2018 00068172313	THOMASON, DWIGHT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.74	0.00	0.74	90.0	0.67
09/04/2018 00068606241	PHILLIPS, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	37.12	0.00	37.12	90.0	33.41
09/04/2018 00068606242	PHILLIPS, KAREN	MFT AMERICAN BALANCED FUND-A	B	0.00	118.82	0.00	118.82	90.0	106.94
09/04/2018 00068606242	PHILLIPS, KAREN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	189.32	0.00	189.32	90.0	170.39
09/04/2018 00068606242	PHILLIPS, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	63.11	0.00	63.11	90.0	56.80
09/04/2018 00073790810	PAYNE, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.93	0.00	2.93	90.0	2.64
09/04/2018 00073790810	PAYNE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.57	0.00	3.57	90.0	3.21
09/04/2018 00074804471	ARENA, ELLEN	MFT AMERICAN BALANCED FUND-A	B	0.00	7.62	0.00	7.62	90.0	6.86
09/04/2018 00075571543	GOSS, LOIS	MFT AMERICAN BALANCED FUND-A	B	0.00	20.76	0.00	20.76	90.0	18.68

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09/04/2018 00082014038	SHARI BAURLE	MFT AMERICAN BALANCED FUND-A	B	0.00	33.21	0.00	33.21	90.0	29.89
09/04/2018 00082014038	SHARI BAURLE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.66	0.00	14.66	90.0	13.19
09/04/2018 00082014038	SHARI BAURLE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	44.65	0.00	44.65	90.0	40.19
09/04/2018 00082129908	MASSENGALE/BENE,	MFT INTERMEDIATE BOND FUND OF	B	0.00	6.54	0.00	6.54	90.0	5.89
09/04/2018 00082138807	MASSENGALE	MFT AMERICAN BALANCED FUND-A	B	0.00	4.40	0.00	4.40	90.0	3.96
09/04/2018 00082138807	MASSENGALE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.49	0.00	5.49	90.0	4.94
09/04/2018 00082166515	KRAUSE, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	50.45	0.00	50.45	90.0	45.41
09/04/2018 00082166515	KRAUSE, NANCY	MFT GLOBAL BALANCED FUND-A	B	0.00	5.42	0.00	5.42	90.0	4.88
09/04/2018 00082166515	KRAUSE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	66.68	0.00	66.68	90.0	60.01
09/04/2018 00082326189	WASSON, SHERYL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.05	0.00	3.05	90.0	2.75
09/04/2018 00082326230	MASSENGALE, NANCY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.58	0.00	5.58	90.0	5.02
09/04/2018 00082326230	MASSENGALE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.35	0.00	8.35	90.0	7.52
09/04/2018 00082326447	REYNOLDS, MATTHEW	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.24	0.00	0.24	90.0	0.22
09/04/2018 00082519528	PACK, JEFFREY	MFT CAPITAL WORLD GROWTH AND	B	0.00	5.31	0.00	5.31	90.0	4.78
09/04/2018 00083151601	LOGSDON, TRACI	MFT AMERICAN BALANCED FUND-A	B	0.00	5.11	0.00	5.11	90.0	4.60
09/04/2018 00083151601	LOGSDON, TRACI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.99	0.00	6.99	90.0	6.29
09/04/2018 00083443944	MYERS, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	21.24	0.00	21.24	90.0	19.12
09/04/2018 00083443944	MYERS, RICHARD	MFT AMERICAN BALANCED FUND-C	B	0.00	13.31	0.00	13.31	90.0	11.98
09/04/2018 00083443944	MYERS, RICHARD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.76	0.00	14.76	90.0	13.28
09/04/2018 00083443944	MYERS, RICHARD	MFT GLOBAL BALANCED FUND-A	B	0.00	8.90	0.00	8.90	90.0	8.01
09/04/2018 00083443944	MYERS, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	12.33	0.00	12.33	90.0	11.10
09/04/2018 00083443944	MYERS, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	28.06	0.00	28.06	90.0	25.25
09/04/2018 00083689171	DE BULLET, EUGENE	MFT AMERICAN BALANCED FUND-A	B	0.00	15.93	0.00	15.93	90.0	14.34
09/04/2018 00083689171	DE BULLET, EUGENE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	49.56	0.00	49.56	90.0	44.60
09/04/2018 00083689171	DE BULLET, EUGENE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	28.45	0.00	28.45	90.0	25.61
09/04/2018 00083696105	BLAKE, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.51	0.00	13.51	90.0	12.16
09/04/2018 00083696105	BLAKE, JULIE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.52	0.00	14.52	90.0	13.07
09/04/2018 00083696105	BLAKE, JULIE	MFT GLOBAL BALANCED FUND-A	B	0.00	7.85	0.00	7.85	90.0	7.07
09/04/2018 00083696105	BLAKE, JULIE	MFT THE NEW ECONOMY FUND-A	B	0.00	8.13	0.00	8.13	90.0	7.32
09/04/2018 00083696210	EVANS, MACKYE	MFT AMERICAN BALANCED FUND-A	B	0.00	4.47	0.00	4.47	90.0	4.02
09/04/2018 00083696210	EVANS, MACKYE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.34	0.00	4.34	90.0	3.91
09/04/2018 00083961559	PAYNE, KELLY	MFT CAPITAL INCOME BUILDER-529A	B	0.00	1.56	0.00	1.56	90.0	1.40
09/04/2018 00083961559	PAYNE, KELLY	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.81	0.00	2.81	90.0	2.53
09/04/2018 00083961559	PAYNE, KELLY	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.85	0.00	0.85	90.0	0.77
09/04/2018 00083961588	PAYNE, KELLY	MFT CAPITAL WORLD GROWTH AND	B	0.00	3.50	0.00	3.50	90.0	3.15
09/04/2018 00083961588	PAYNE, KELLY	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	4.34	0.00	4.34	90.0	3.91
09/04/2018 00084073290	SMITH, GRACE	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.79	0.00	2.79	90.0	2.51
09/04/2018 00084073290	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.74	0.00	0.74	90.0	0.67
09/04/2018 00084073290	SMITH, GRACE	MFT NEW WORLD FUND-529A	B	0.00	1.36	0.00	1.36	90.0	1.22
09/04/2018 00084073290	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.53	0.00	3.53	90.0	3.18
09/04/2018 00084379332	SONNENBURG, NOLAN	MFT AMERICAN BALANCED FUND-A	B	0.00	22.08	0.00	22.08	90.0	19.87
09/04/2018 00084379332	SONNENBURG, NOLAN	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.46	0.00	3.46	90.0	3.11
09/04/2018 00084379332	SONNENBURG, NOLAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	17.67	0.00	17.67	90.0	15.90
09/04/2018 00084379332	SONNENBURG, NOLAN	MFT GLOBAL BALANCED FUND-A	B	0.00	20.22	0.00	20.22	90.0	18.20

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09/04/2018 00084379332	SONNENBURG, NOLAN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.02	0.00	4.02	90.0	3.62
09/04/2018 00084379332	SONNENBURG, NOLAN	MFT THE NEW ECONOMY FUND-A	B	0.00	25.72	0.00	25.72	90.0	23.15
09/04/2018 00084493768	CHRISTIAN, LINDA	MFT AMERICAN BALANCED FUND-A	B	0.00	12.04	0.00	12.04	90.0	10.84
09/04/2018 00084493768	CHRISTIAN, LINDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.70	0.00	16.70	90.0	15.03
09/04/2018 00084533064	COOPER, JUNANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	8.45	0.00	8.45	90.0	7.61
09/04/2018 00084533064	COOPER, JUNANNE	MFT GLOBAL BALANCED FUND-A	B	0.00	4.38	0.00	4.38	90.0	3.94
09/04/2018 00084533064	COOPER, JUNANNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.57	0.00	8.57	90.0	7.71
09/04/2018 00084587142	JONES, JOANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	95.48	0.00	95.48	90.0	85.93
09/04/2018 00084587142	JONES, JOANNE	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.49	0.00	2.49	90.0	2.24
09/04/2018 00084587142	JONES, JOANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.81	0.00	23.81	90.0	21.43
09/04/2018 00084587142	JONES, JOANNE	MFT GLOBAL BALANCED FUND-A	B	0.00	59.86	0.00	59.86	90.0	53.87
09/04/2018 00084587142	JONES, JOANNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	82.76	0.00	82.76	90.0	74.48
09/04/2018 00084710178	MCCOY/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	3.71	0.00	3.71	90.0	3.34
09/04/2018 00084710178	MCCOY/BENE,	MFT NEW WORLD FUND-A	B	0.00	2.69	0.00	2.69	90.0	2.42
09/04/2018 00084710178	MCCOY/BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	30.08	0.00	30.08	90.0	27.07
09/04/2018 00084768987	DEADY, MYRNA	MFT NEW WORLD FUND-A	B	0.00	3.01	0.00	3.01	90.0	2.71
09/04/2018 00084791628	MASSENGALE,	MFT NEW WORLD FUND-529A	B	0.00	5.24	0.00	5.24	90.0	4.72
09/04/2018 00084791669	MASSENGALE,	MFT NEW WORLD FUND-529A	B	0.00	6.82	0.00	6.82	90.0	6.14
09/04/2018 00084944692	MASSENGALE,	MFT GLOBAL BALANCED FUND-A	B	0.00	2.30	0.00	2.30	90.0	2.07
09/04/2018 00084944692	MASSENGALE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.58	0.00	2.58	90.0	2.32
09/04/2018 00084975545	GRACEY/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	21.74	0.00	21.74	90.0	19.57
09/04/2018 00084975545	GRACEY/BENE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	19.12	0.00	19.12	90.0	17.21
09/04/2018 00084975545	GRACEY/BENE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	28.14	0.00	28.14	90.0	25.33
09/04/2018 00085106527	GRACEY, WILLIAM	MFT AMCAP FUND-A	B	0.00	51.83	0.00	51.83	90.0	46.65
09/04/2018 00085106527	GRACEY, WILLIAM	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	147.44	0.00	147.44	90.0	132.70
09/04/2018 00085106527	GRACEY, WILLIAM	MFT INTERMEDIATE BOND FUND OF	B	0.00	43.17	0.00	43.17	90.0	38.85
09/04/2018 00085106527	GRACEY, WILLIAM	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	148.04	0.00	148.04	90.0	133.24
09/04/2018 00085127010	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.74	0.00	0.74	90.0	0.67
09/04/2018 00085127010	SMITH, GRACE	MFT NEW WORLD FUND-529A	B	0.00	1.35	0.00	1.35	90.0	1.22
09/04/2018 00085127010	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	2.44	0.00	2.44	90.0	2.20
09/04/2018 00085359820	LOGSDON, TRACI	MFT CAPITAL INCOME BUILDER-529A	B	0.00	4.59	0.00	4.59	90.0	4.13
09/04/2018 00085359820	LOGSDON, TRACI	MFT NEW WORLD FUND-529A	B	0.00	0.99	0.00	0.99	90.0	0.89
09/04/2018 00085359860	LOGSDON, TRACI	MFT CAPITAL INCOME BUILDER-529A	B	0.00	3.76	0.00	3.76	90.0	3.38
09/04/2018 00085359860	LOGSDON, TRACI	MFT NEW WORLD FUND-529A	B	0.00	0.25	0.00	0.25	90.0	0.23
09/04/2018 00085507227	COOPER, JUNANNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.22	0.00	6.22	90.0	5.60
09/04/2018 00085507227	COOPER, JUNANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.77	0.00	3.77	90.0	3.39
09/04/2018 00085507227	COOPER, JUNANNE	MFT THE NEW ECONOMY FUND-A	B	0.00	7.82	0.00	7.82	90.0	7.04
09/04/2018 00085579612	SONNENBURG,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.13	0.00	1.13	90.0	1.02
09/04/2018 00085579612	SONNENBURG,	MFT THE NEW ECONOMY FUND-A	B	0.00	5.09	0.00	5.09	90.0	4.58
09/04/2018 00085834407	SONNENBURG,	MFT AMERICAN BALANCED FUND-A	B	0.00	8.17	0.00	8.17	90.0	7.35
09/04/2018 00085834407	SONNENBURG,	MFT GLOBAL BALANCED FUND-A	B	0.00	3.06	0.00	3.06	90.0	2.75
09/04/2018 00085834407	SONNENBURG,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.14	0.00	7.14	90.0	6.43
09/04/2018 00085928770	PERTLL, JAMES	MFT AMERICAN BALANCED FUND-A	B	0.00	81.88	0.00	81.88	90.0	73.69
09/04/2018 00085928770	PERTLL, JAMES	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	84.14	0.00	84.14	90.0	75.73

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/04/2018	00085928770	PERTLL, JAMES	MFT THE INCOME FUND OF AMERICA-A	B	0.00	34.88	0.00	34.88	90.0	31.39
09/04/2018	00086591238	MOODY, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	2.39	0.00	2.39	90.0	2.15
09/04/2018	00086591238	MOODY, DEBORAH	MFT GLOBAL BALANCED FUND-A	B	0.00	7.05	0.00	7.05	90.0	6.35
09/04/2018	00086591238	MOODY, DEBORAH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.21	0.00	11.21	90.0	10.09
09/04/2018	00086716832	MYERS, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	8.32	0.00	8.32	90.0	7.49
09/04/2018	00086716832	MYERS, RICHARD	MFT GLOBAL BALANCED FUND-A	B	0.00	5.65	0.00	5.65	90.0	5.09
09/04/2018	00086716832	MYERS, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.84	0.00	9.84	90.0	8.86
09/04/2018	00086801547	PAYNE, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	0.40	0.00	0.40	90.0	0.36
09/04/2018	00086801547	PAYNE, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.28	0.00	0.28	90.0	0.25
09/04/2018	00086801547	PAYNE, KELLY	MFT NEW WORLD FUND-A	B	0.00	0.40	0.00	0.40	90.0	0.36
09/04/2018	00086801547	PAYNE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/04/2018	00086801547	PAYNE, KELLY	MFT THE INVESTMENT COMPANY OF	B	0.00	0.84	0.00	0.84	90.0	0.76
09/04/2018	00086812707	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.85	0.00	0.85	90.0	0.77
09/04/2018	00086812707	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/04/2018	00086812717	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.85	0.00	0.85	90.0	0.77
09/04/2018	00086812717	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.50	0.00	0.50	90.0	0.45
09/04/2018	00086827472	HIGGINS, STEVE	MFT AMCAP FUND-A	B	0.00	4.13	0.00	4.13	90.0	3.72
09/04/2018	00086827472	HIGGINS, STEVE	MFT GLOBAL BALANCED FUND-A	B	0.00	4.35	0.00	4.35	90.0	3.92
09/04/2018	00086827472	HIGGINS, STEVE	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.80	0.00	4.80	90.0	4.32
09/04/2018	00086827472	HIGGINS, STEVE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.69	0.00	6.69	90.0	6.02
09/04/2018	00086827481	HIGGINS, SHERRY	MFT AMCAP FUND-A	B	0.00	4.61	0.00	4.61	90.0	4.15
09/04/2018	00086827481	HIGGINS, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	4.99	0.00	4.99	90.0	4.49
09/04/2018	00086827481	HIGGINS, SHERRY	MFT GLOBAL BALANCED FUND-A	B	0.00	4.35	0.00	4.35	90.0	3.92
09/04/2018	00086827481	HIGGINS, SHERRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.71	0.00	7.71	90.0	6.94
09/04/2018	00086852866	HIGGINS, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.35	0.00	2.35	90.0	2.12
09/04/2018	00086886831	HIGGINS, STEVE	MFT AMERICAN BALANCED FUND-A	B	0.00	2.35	0.00	2.35	90.0	2.12
09/04/2018	00087575029	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.55	0.00	0.55	90.0	0.50
09/04/2018	00087575029	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	1.22	0.00	1.22	90.0	1.10
09/04/2018	00088279511	SMITH, JAMES	MFT AMERICAN BALANCED FUND-A	B	0.00	99.78	0.00	99.78	90.0	89.80
09/04/2018	00088279511	SMITH, JAMES	MFT CAPITAL INCOME BUILDER-A	B	0.00	83.84	0.00	83.84	90.0	75.46
09/04/2018	00088279511	SMITH, JAMES	MFT NEW PERSPECTIVE FUND-A	B	0.00	74.82	0.00	74.82	90.0	67.34
09/04/2018	00088279511	SMITH, JAMES	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	109.10	0.00	109.10	90.0	98.19
09/04/2018	00088281021	BACON, TREFOR	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.51	0.00	2.51	90.0	2.26
09/04/2018	00088311353	MASSENGALE, LARA	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.88	0.00	4.88	90.0	4.39
09/04/2018	00088311353	MASSENGALE, LARA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.42	0.00	6.42	90.0	5.78
09/04/2018	00088333826	BACON, FRANCES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	43.09	0.00	43.09	90.0	38.78
09/04/2018	00088333826	BACON, FRANCES	MFT FUNDAMENTAL INVESTORS-A	B	0.00	44.97	0.00	44.97	90.0	40.47
09/04/2018	00088338383	MASSENGALE, JASON	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.54	0.00	3.54	90.0	3.19
09/04/2018	00088338383	MASSENGALE, JASON	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.52	0.00	0.52	90.0	0.47
09/04/2018	00088353859	BACON/BENE,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	24.63	0.00	24.63	90.0	22.17
09/04/2018	00088738266	SCHULTZ/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	73.58	0.00	73.58	90.0	66.22
09/04/2018	00088738266	SCHULTZ/BENE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	52.52	0.00	52.52	90.0	47.27
09/04/2018	00088738266	SCHULTZ/BENE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	66.38	0.00	66.38	90.0	59.74
09/04/2018	00088810660	PHILLIPS, LISA	MFT AMERICAN BALANCED FUND-A	B	0.00	4.01	0.00	4.01	90.0	3.61

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00088810660	PHILLIPS, LISA	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.89	0.00	0.89	90.0	0.80
09/04/2018 00088810660	PHILLIPS, LISA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.36	0.00	1.36	90.0	1.22
09/04/2018 00088810748	FELTON, MARK	MFT AMERICAN BALANCED FUND-A	B	0.00	6.02	0.00	6.02	90.0	5.42
09/04/2018 00088810748	FELTON, MARK	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.42	0.00	6.42	90.0	5.78
09/04/2018 00088810774	FELTON, WANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	6.02	0.00	6.02	90.0	5.42
09/04/2018 00088810774	FELTON, WANDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.91	0.00	5.91	90.0	5.32
09/04/2018 00088810774	FELTON, WANDA	MFT THE NEW ECONOMY FUND-A	B	0.00	2.11	0.00	2.11	90.0	1.90
09/04/2018 00088996224	LOGSDON, AVARI	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	2.03	0.00	2.03	90.0	1.83
09/04/2018 00089064328	LOGSDON, TRACI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.54	0.00	2.54	90.0	2.29
09/04/2018 00089226007	FOSTER, MARSHA	MFT AMERICAN BALANCED FUND-A	B	0.00	87.87	0.00	87.87	90.0	79.08
09/04/2018 00089226007	FOSTER, MARSHA	MFT CAPITAL INCOME BUILDER-A	B	0.00	77.50	0.00	77.50	90.0	69.75
09/04/2018 00089226007	FOSTER, MARSHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	96.87	0.00	96.87	90.0	87.18
09/04/2018 00089500058	PERTLL, JAMES	MFT AMCAP FUND-A	B	0.00	1.25	0.00	1.25	90.0	1.13
09/04/2018 00089500058	PERTLL, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.16	0.00	1.16	90.0	1.04
09/04/2018 00089716158	WHITSON, ROBERT	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.73	0.00	2.73	90.0	2.46
09/04/2018 00089716158	WHITSON, ROBERT	MFT NEW WORLD FUND-A	B	0.00	0.71	0.00	0.71	90.0	0.64
09/04/2018 00089716879	PROPERTY,	MFT NEW PERSPECTIVE FUND-A	B	0.00	10.27	0.00	10.27	90.0	9.24
09/04/2018 00089716879	PROPERTY,	MFT NEW WORLD FUND-A	B	0.00	3.44	0.00	3.44	90.0	3.10
09/04/2018 00089716879	PROPERTY,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.96	0.00	0.96	90.0	0.86
09/04/2018 00089716879	PROPERTY,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.00	0.00	1.00	90.0	0.90
09/04/2018 00089716891	JONATHAN D	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.03	0.00	7.03	90.0	6.33
09/04/2018 00089797053	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.23	0.00	0.23	90.0	0.21
09/04/2018 00089797093	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.23	0.00	0.23	90.0	0.21
09/04/2018 00089797103	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.23	0.00	0.23	90.0	0.21
09/04/2018 00089797115	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.28	0.00	0.28	90.0	0.25
09/04/2018 00089797124	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.23	0.00	0.23	90.0	0.21
09/04/2018 00089797152	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.23	0.00	0.23	90.0	0.21
09/04/2018 00355125919	GRACEY, WILLIAM	MFT AMERICAN BALANCED FUND-A	B	0.00	18.91	0.00	18.91	90.0	17.02
09/04/2018 00355125919	GRACEY, WILLIAM	MFT SMALLCAP WORLD FUND-A	B	0.00	50.67	0.00	50.67	90.0	45.60
09/04/2018 00355125919	GRACEY, WILLIAM	MFT THE INVESTMENT COMPANY OF	B	0.00	20.61	0.00	20.61	90.0	18.55
09/04/2018 04000143383	ZAVALA, GILBERT	MFT AMERICAN BALANCED FUND-A	B	0.00	0.49	0.00	0.49	90.0	0.44
09/04/2018 04000143383	ZAVALA, GILBERT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.52	0.00	0.52	90.0	0.47
09/04/2018 04000206633	SMITH, KAREN	MFT AMERICAN BALANCED FUND-A	B	0.00	1.56	0.00	1.56	90.0	1.40
09/04/2018 04000206633	SMITH, KAREN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.62	0.00	1.62	90.0	1.46
09/04/2018 04000276196	PHILLIPS, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	2.33	0.00	2.33	90.0	2.10
09/04/2018 04000276196	PHILLIPS, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.01	0.00	3.01	90.0	2.71
09/04/2018 04000452396	PERTLL, JAMES	MFT AMCAP FUND-A	B	0.00	0.42	0.00	0.42	90.0	0.38
09/04/2018 04000452396	PERTLL, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.39	0.00	0.39	90.0	0.35
09/04/2018 04000618419	BACON/BENE,	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.28	0.00	1.28	90.0	1.15
09/04/2018 04000687794	KAREN B	MFT AMERICAN BALANCED FUND-A	B	0.00	29.03	0.00	29.03	90.0	26.13
09/04/2018 04000687794	KAREN B	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	49.21	0.00	49.21	90.0	44.29
09/04/2018 04000850515	WHITSON, MELONYE	MFT AMERICAN BALANCED FUND-A	B	0.00	7.10	0.00	7.10	90.0	6.39
09/04/2018 04000850515	WHITSON, MELONYE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.71	0.00	4.71	90.0	4.24
09/04/2018 04000869435	FBO SHELBY R	MFT AMERICAN BALANCED FUND-A	B	0.00	1.54	0.00	1.54	90.0	1.39

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
09/04/2018	04000869435	FBO SHELBY R		MFT NEW PERSPECTIVE FUND-A	B	0.00	1.59	0.00	1.59	90.0	1.43
09/04/2018	04000869435	FBO SHELBY R		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.94	0.00	1.94	90.0	1.75
09/04/2018	04000884234	KRAUSE, SHEILA		MFT AMERICAN BALANCED FUND-A	B	0.00	2.77	0.00	2.77	90.0	2.49
09/04/2018	04000884234	KRAUSE, SHEILA		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.13	0.00	2.13	90.0	1.92
09/04/2018	04000887248	KRAUSE, JEFFREY		MFT AMERICAN BALANCED FUND-A	B	0.00	3.42	0.00	3.42	90.0	3.08
09/04/2018	04000887248	KRAUSE, JEFFREY		MFT NEW PERSPECTIVE FUND-A	B	0.00	2.44	0.00	2.44	90.0	2.20
09/04/2018	04000887248	KRAUSE, JEFFREY		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.48	0.00	3.48	90.0	3.13
09/04/2018	04000888377	WHITSON, BLAKE		MFT AMERICAN BALANCED FUND-A	B	0.00	5.29	0.00	5.29	90.0	4.76
09/04/2018	04000888377	WHITSON, BLAKE		MFT NEW PERSPECTIVE FUND-A	B	0.00	2.96	0.00	2.96	90.0	2.66
09/04/2018	04000888377	WHITSON, BLAKE		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.86	0.00	3.86	90.0	3.47
09/04/2018	04000907325	SPICHER, BEVERLY		MFT AMERICAN BALANCED FUND-A	B	0.00	1.53	0.00	1.53	90.0	1.38
09/04/2018	04000907325	SPICHER, BEVERLY		MFT NEW PERSPECTIVE FUND-A	B	0.00	1.20	0.00	1.20	90.0	1.08
09/04/2018	04000907325	SPICHER, BEVERLY		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.58	0.00	1.58	90.0	1.42
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$4,389.75	\$0.00	\$4,389.75		\$3,950.99
				*** DIRECT TRANSACTIONS TOTAL ***		\$750.00	\$4,418.05	\$0.00	\$4,418.05		\$3,976.45

BROKER COMMISSION TOTALS

Broker Transactions	\$3,976.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,976.45
Year-to-date Commissions	\$64,433.87