

COMMISSION STATEMENT
October 31, 2018
GEORGE OFFUTT-D067
 146 Pinehurst

BROKER #: D067
 BRANCH: DMT

Meadowlakes, TX 78654

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
09/28/2018	306858156	CORPORATION, GO	S	MATADOR RESOURCES CO	B	2,946.12	30.00	15.00	15.00 90.0 13.50
10/01/2018	306858156	CORPORATION, GO	S	NORTHERN OIL & GAS INC	B	3,029.72	30.00	15.00	15.00 90.0 13.50
10/03/2018	306858156	CORPORATION, GO	S	GT BIOPHARMA INC COM	B	3,030.53	30.00	15.00	15.00 90.0 13.50
10/15/2018	306858156	CORPORATION, GO	S	VESTAS WIND SYSTEMS-UNSP ADR	B	3,018.43	30.00	15.00	15.00 90.0 13.50
10/24/2018	306858156	CORPORATION, GO	S	NORTHERN OIL & GAS INC	S	-1,548.90	21.13	0.00	21.13 90.0 19.02
10/24/2018	306858156	CORPORATION, GO	S	NORTHERN OIL & GAS INC	S	-340.76	4.65	0.00	4.65 90.0 4.19
10/24/2018	306858156	CORPORATION, GO	S	NORTHERN OIL & GAS INC	S	-307.32	4.23	15.00	-10.77 90.0 -9.69
				* STOCKS SUBTOTAL *		\$9,827.82	\$150.01	\$75.00	\$75.01 \$67.52

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc668438	OFFUTT 668438	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.73	0.00	0.73 90.0 0.66
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.75	0.00	0.75 90.0 0.68
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	1.19	0.00	1.19 90.0 1.07
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	1.21	0.00	1.21 90.0 1.09
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	1.25	0.00	1.25 90.0 1.13
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	1.27	0.00	1.27 90.0 1.14
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	2.52	0.00	2.52 90.0 2.27
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	2.65	0.00	2.65 90.0 2.39
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	5.45	0.00	5.45 90.0 4.91
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B		0.00	5.74	0.00	5.74 90.0 5.17
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	2.71	0.00	2.71 90.0 2.44
10/19/2018	mfc668438	OFFUTT 668438	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	2.76	0.00	2.76 90.0 2.48
10/19/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	1.79	0.00	1.79 90.0 1.61
10/19/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	2.98	0.00	2.98 90.0 2.68
10/19/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.94	0.00	2.94 90.0 2.65
10/19/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.45	0.00	3.45 90.0 3.11
10/19/2018	mfc668438	OFFUTT 668438	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.66	0.00	0.66 90.0 0.59
10/19/2018	mfc668438	OFFUTT 668438	MFT AMERICAN BALANCED FUND-A	B	0.00	0.09	0.00	0.09 90.0 0.08
10/19/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B		0.00	0.71	0.00	0.71 90.0 0.64
10/19/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B		0.00	0.82	0.00	0.82 90.0 0.74
10/19/2018	mfc668438	OFFUTT 668438	MFT BLK 091928788	B	0.00	2.16	0.00	2.16 90.0 1.94

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10/19/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	2.65	0.00	2.65	90.0	2.39
10/19/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	2.24	0.00	2.24	90.0	2.02
10/19/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.32	0.00	0.32	90.0	0.29
10/19/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.26	0.00	1.26	90.0	1.13
10/19/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.28	0.00	2.28	90.0	2.05
10/19/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.07	0.00	3.07	90.0	2.76
10/19/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.54	0.00	6.54	90.0	5.89
10/19/2018	mfc668438	OFFUTT 668438	MFT DELAWARE DIVIDEND INCOME FUND A	B	0.00	2.52	0.00	2.52	90.0	2.27
10/19/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	3.32	0.00	3.32	90.0	2.99
10/19/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.52	0.00	4.52	90.0	4.07
10/19/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.96	0.00	4.96	90.0	4.46
10/19/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	3.95	0.00	3.95	90.0	3.56
10/19/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE REAL ESTATE SECURITIES	B	0.00	0.90	0.00	0.90	90.0	0.81
10/19/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.77	0.00	1.77	90.0	1.59
10/19/2018	mfc668438	OFFUTT 668438	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	10.83	0.00	10.83	90.0	9.75
10/19/2018	mfc668438	OFFUTT 668438	MFT EHV 277902813	B	0.00	1.26	0.00	1.26	90.0	1.13
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.60	0.00	2.60	90.0	2.34
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.66	0.00	2.66	90.0	2.39
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.23	0.00	4.23	90.0	3.81
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.33	0.00	4.33	90.0	3.90
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.22	0.00	7.22	90.0	6.50
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.38	0.00	7.38	90.0	6.64
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	9.62	0.00	9.62	90.0	8.66
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	9.84	0.00	9.84	90.0	8.86
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO LOW VOLATILITY EQTY	B	0.00	3.51	0.00	3.51	90.0	3.16
10/19/2018	mfc668438	OFFUTT 668438	MFT INVESCO LOW VOLATILITY EQTY	B	0.00	3.61	0.00	3.61	90.0	3.25
10/19/2018	mfc668438	OFFUTT 668438	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.79	0.00	0.79	90.0	0.71
10/19/2018	mfc668438	OFFUTT 668438	MFT IVY SCIENCE AND TECHNOLOGY FUND	B	0.00	1.31	0.00	1.31	90.0	1.18
10/19/2018	mfc668438	OFFUTT 668438	MFT OPPENHEIMERFUNDS 68380E304	B	0.00	1.18	0.00	1.18	90.0	1.06
10/19/2018	mfc668438	OFFUTT 668438	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	4.26	0.00	4.26	90.0	3.83
10/19/2018	mfc668438	OFFUTT 668438	MFT TEMP FRGN FND - CL A	B	0.00	0.87	0.00	0.87	90.0	0.78
10/19/2018	mfc668438	OFFUTT 668438	MFT TEMP WORLD FND - CL A	B	0.00	1.57	0.00	1.57	90.0	1.41
10/19/2018	mfc668438	OFFUTT 668438	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	1.18	0.00	1.18	90.0	1.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$162.38	\$0.00	\$162.38		\$146.17
*** CLEARING TRANSACTIONS TOTAL ***					\$9,827.82	\$312.39	\$75.00	\$237.39		\$213.69

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018 (None)	VARIOUS, OFFUTT	MFT DAVIS	B	0.00	202.63	0.00	202.63	90.0	182.37
10/08/2018	00001323509 OFFUTT, BRADLEY	MFT DEUTSCHE CROCI	B	0.00	1.41	0.00	1.41	90.0	1.27
10/08/2018	00001323509 OFFUTT, BRADLEY	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	2.23	0.00	2.23	90.0	2.01
10/08/2018	00026254076 HILL, GERALD	MFT DEUTSCHE CROCI	B	0.00	61.24	0.00	61.24	90.0	55.12
10/08/2018	00026254076 HILL, GERALD	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	78.71	0.00	78.71	90.0	70.84
10/08/2018	00133414408 OFFUTT, BRIAN	MFT DEUTSCHE CROCI	B	0.00	32.45	0.00	32.45	90.0	29.21
10/08/2018	00133414408 OFFUTT, BRIAN	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	46.83	0.00	46.83	90.0	42.15
10/08/2018	00133414409 OFFUTT, LINDA	MFT DEUTSCHE CROCI	B	0.00	48.02	0.00	48.02	90.0	43.22
10/08/2018	00133414409 OFFUTT, LINDA	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	67.64	0.00	67.64	90.0	60.88
10/08/2018	00133414830 OFFUTT, GEORGE	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	28.87	0.00	28.87	90.0	25.98
10/08/2018	00133414920 OFFUTT, BRADLEY	MFT DEUTSCHE CROCI	B	0.00	12.22	0.00	12.22	90.0	11.00
10/08/2018	00133414920 OFFUTT, BRADLEY	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	13.59	0.00	13.59	90.0	12.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$595.84	\$0.00	\$595.84		\$536.28
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$595.84	\$0.00	\$595.84		\$536.28

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

10/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
10/31/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	301.63
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$246.63
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$246.63

BROKER COMMISSION TOTALS

Broker Transactions	\$749.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$246.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$996.60
Year-to-date Commissions	\$11,942.31