



COMMISSION STATEMENT

December 17, 2018

RICHARD GUNTNER
2645 Spring Rd.
Carney, MD 21234

BROKER #: B31
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/10/2018	***-**-4285 - WILLIAMS, ACCOUNT #: 00089976016	AMERICAN FUNDS 02629M587 BUY 4 Units @11.6900	50.00	1.75	0.00	1.75	70.0	1.23
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$1.75	\$0.00	\$1.75		\$1.23
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/09/2018	***-**-7922 - MCFADDEN,	ING GOLDEN SELECT PREMIUM PLUS BUY 1 Units @66877.3400	65,063.60	3,903.82	0.00	3,903.82	70.0	2,732.67
12/17/2018	***-**-7248 - NIZER, PAUL	MIDLAND LIVEWELL VA BUY 1 Units @0.0000	0.00	13.89	0.00	13.89	70.0	9.72
12/17/2018	***-**-7248 - NIZER, PAUL	MIDLAND NATIONAL VA TRAILS BUY 1 Units @0.0000	0.00	14.39	0.00	14.39	70.0	10.07
		* VARIABLE ANNUITIES SUBTOTAL *	\$65,063.60	\$3,932.10	\$0.00	\$3,932.10		\$2,752.46
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	AMCAP FUND-A BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	70.0	2.44
12/03/2018	***-**-3228 - SLAYSMAN, ACCOUNT #: 00082431118	AMCAP FUND-A BUY 0 Units @0.0000	0.00	3.16	0.00	3.16	70.0	2.21
12/03/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	2.41	0.00	2.41	70.0	1.69
12/03/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 04001207871	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	70.0	0.32
12/03/2018	***-**-3318 - CHAVIS ALSTON, ACCOUNT #: 00089573423	AMERICAN FUNDS 02629M587 BUY 0 Units @0.0000	0.00	3.94	0.00	3.94	70.0	2.76
12/03/2018	***-**-4285 - WILLIAMS, ACCOUNT #: 00089976016	AMERICAN FUNDS 02629M587 BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	70.0	0.49
12/03/2018	***-**-3113 - LONG, JUDE ACCOUNT #: 04000109801	AMERICAN FUNDS 02629M587 BUY 0 Units @0.0000	0.00	0.61	0.00	0.61	70.0	0.43
12/03/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 00087128668	AMERICAN FUNDS 02630R732 BUY 0 Units @0.0000	0.00	3.85	0.00	3.85	70.0	2.70
12/03/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 00087128742	AMERICAN FUNDS 02630R732 BUY 0 Units @0.0000	0.00	3.29	0.00	3.29	70.0	2.30



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-4851 - ALI, NAYEEM	AMERICAN FUNDS 02630R732	0.00	3.29	0.00	3.29	70.0	2.30
	ACCOUNT #: 00087128772	BUY 0 Units @0.0000						
12/03/2018	***-**-4851 - ALI, NAYEEM	AMERICAN FUNDS 02630R732	0.00	4.96	0.00	4.96	70.0	3.47
	ACCOUNT #: 00087128801	BUY 0 Units @0.0000						
12/03/2018	***-**-0112 - OEHL, MOENING	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	6.02	0.00	6.02	70.0	4.21
	ACCOUNT #: 04000646908	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	CAPITAL INCOME BUILDER-A	0.00	10.05	0.00	10.05	70.0	7.04
	ACCOUNT #: 00068735076	BUY 0 Units @0.0000						
12/03/2018	***-**-3228 - SLAYSMAN,	CAPITAL INCOME BUILDER-A	0.00	1.92	0.00	1.92	70.0	1.34
	ACCOUNT #: 00082431118	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.49	0.00	4.49	70.0	3.14
	ACCOUNT #: 00081583155	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	EUROPACIFIC GROWTH FUND-A	0.00	2.87	0.00	2.87	70.0	2.01
	ACCOUNT #: 00081583155	BUY 0 Units @0.0000						
12/03/2018	***-**-8828 - MCFADDEN, CHERYL	EUROPACIFIC GROWTH FUND-A	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: 00083747569	BUY 0 Units @0.0000						
12/03/2018	***-**-3228 - SLAYSMAN,	EUROPACIFIC GROWTH FUND-A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: 00082431118	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	FUNDAMENTAL INVESTORS-A	0.00	6.43	0.00	6.43	70.0	4.50
	ACCOUNT #: 00081583155	BUY 0 Units @0.0000						
12/03/2018	***-**-8828 - MCFADDEN, CHERYL	FUNDAMENTAL INVESTORS-A	0.00	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00083747569	BUY 0 Units @0.0000						
12/03/2018	***-**-3228 - SLAYSMAN,	FUNDAMENTAL INVESTORS-A	0.00	2.89	0.00	2.89	70.0	2.02
	ACCOUNT #: 00082431118	BUY 0 Units @0.0000						
12/03/2018	***-**-8542 - COOPER, DONNA	NEW PERSPECTIVE FUND-A	0.00	7.76	0.00	7.76	70.0	5.43
	ACCOUNT #: 00067616926	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	NEW PERSPECTIVE FUND-A	0.00	2.81	0.00	2.81	70.0	1.97
	ACCOUNT #: 00081583155	BUY 0 Units @0.0000						
12/03/2018	***-**-8828 - MCFADDEN, CHERYL	NEW PERSPECTIVE FUND-A	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: 00083747569	BUY 0 Units @0.0000						
12/03/2018	***-**-4851 - ALI, NAYEEM	NEW PERSPECTIVE FUND-A	0.00	0.44	0.00	0.44	70.0	0.31
	ACCOUNT #: 04001207871	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	70.0	1.00
12/03/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 04001207871	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.44	0.00	0.44	70.0	0.31
12/03/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 04001207908	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	70.0	0.25
12/03/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00068735076	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.25	0.00	7.25	70.0	5.08
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	18.75	0.00	18.75	70.0	13.13
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	70.0	0.42
12/03/2018	***-**-8542 - COOPER, DONNA ACCOUNT #: 00067616926	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.13	0.00	8.13	70.0	5.69
12/03/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.44	0.00	3.44	70.0	2.41
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.18	0.00	11.18	70.0	7.83
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.40	0.00	0.40	70.0	0.28
12/03/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00068735076	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.60	0.00	11.60	70.0	8.12
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.14	0.00	8.14	70.0	5.70
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	70.0	0.24
12/03/2018	***-**-8542 - COOPER, DONNA ACCOUNT #: 00067616926	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	6.37	0.00	6.37	70.0	4.46
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	10.02	0.00	10.02	70.0	7.01
12/03/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	70.0	0.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-4851 - ALI, NAYEEM	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.44	0.00	0.44	70.0	0.31
	ACCOUNT #: 04001207871	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	THE NEW ECONOMY FUND-A	0.00	3.73	0.00	3.73	70.0	2.61
	ACCOUNT #: 00081583155	BUY 0 Units @0.0000						
12/03/2018	***-**-3228 - SLAYSMAN,	THE NEW ECONOMY FUND-A	0.00	4.17	0.00	4.17	70.0	2.92
	ACCOUNT #: 00082431118	BUY 0 Units @0.0000						
12/03/2018	***-**-8542 - COOPER, DONNA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.04	0.00	6.04	70.0	4.23
	ACCOUNT #: 00067616926	BUY 0 Units @0.0000						
12/03/2018	***-**-0599 - HOULE-DESMOND,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	15.13	0.00	15.13	70.0	10.59
	ACCOUNT #: 00068735076	BUY 0 Units @0.0000						
12/03/2018	***-**-4851 - ALI, NAYEEM	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 04001207908	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$197.84	\$0.00	\$197.84		\$138.52
*** BROKER TRANSACTIONS TOTAL ***			\$65,113.60	\$4,131.69	\$0.00	\$4,131.69		\$2,892.21

BROKER COMMISSION TOTALS

Broker Transactions	\$2,892.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$89.83
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,982.04
Year-to-date Earnings	\$3,540.61