



# COMMISSION STATEMENT

## September 17, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/13/2018	***--2831 - RINALDI, JAMES	AMCAP FUND-A	17.24	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 04001030377	BUY 0 Units @36.8800						
09/13/2018	***--2831 - RINALDI, JAMES	AMCAP FUND-A	28.73	1.44	0.00	1.44	80.0	1.15
	ACCOUNT #: 04001030377	BUY 1 Units @36.8800						
09/13/2018	***--7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	99.62	4.98	0.00	4.98	80.0	3.98
	ACCOUNT #: 04001030237	BUY 5 Units @20.8600						
09/13/2018	***--7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	28.46	1.42	0.00	1.42	80.0	1.14
	ACCOUNT #: 04001030237	BUY 1 Units @20.8600						
09/10/2018	***--6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.8000						
09/10/2018	***--6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.8000						
09/10/2018	***--7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.8000						
09/10/2018	***--7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.8000						
09/12/2018	***--1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	117.49	4.99	0.00	4.99	80.0	3.99
	ACCOUNT #: 04000812765	BUY 4 Units @29.2000						
09/12/2018	***--1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	181.33	7.71	0.00	7.71	80.0	6.17
	ACCOUNT #: 04000812765	BUY 6 Units @29.2000						
09/12/2018	***--1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	24.70	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000812765	BUY 1 Units @29.2000						
09/13/2018	***--7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	20.97	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 04000627501	BUY 1 Units @29.1200						
09/13/2018	***--7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	20.97	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 04000627501	BUY 1 Units @29.1200						
09/13/2018	***--7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.02	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @29.1200						
09/13/2018	***--7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.02	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @29.1200						
09/13/2018	***--7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	24.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 04000627501	BUY 1 Units @29.1200						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/13/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	24.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 04000627501	BUY 1 Units @29.1200						
09/14/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.2500						
09/14/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.2500						
09/14/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.2500						
09/14/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.2500						
09/14/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.2500						
09/14/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.2500						
09/14/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.2500						
09/13/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.54	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 04001030342	BUY 1 Units @27.6500						
09/13/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 14 Units @27.6500						
09/10/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1800						
09/10/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.1800						
09/12/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000812746	BUY 23 Units @15.5800						
09/12/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	1,855.67	78.87	0.00	78.87	80.0	63.10
	ACCOUNT #: 04000812746	BUY 119 Units @15.5800						
09/12/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	1,458.00	61.97	0.00	61.97	80.0	49.58
	ACCOUNT #: 04000812746	BUY 94 Units @15.5800						
09/13/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.71	1.49	0.00	1.49	80.0	1.19
	ACCOUNT #: 04001030247	BUY 2 Units @15.7600						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/13/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	69.33	3.47	0.00	3.47	80.0	2.78
	ACCOUNT #: 04001030247	BUY 4 Units @15.7600						
09/13/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	31.87	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 04001030256	BUY 2 Units @15.7600						
09/13/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	31.87	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 04001030256	BUY 2 Units @15.7600						
09/13/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	29.45	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 04001030268	BUY 2 Units @15.7600						
09/13/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	49.08	2.45	0.00	2.45	80.0	1.96
	ACCOUNT #: 04001030268	BUY 3 Units @15.7600						
09/13/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	42.80	2.14	0.00	2.14	80.0	1.71
	ACCOUNT #: 04001030467	BUY 3 Units @15.7600						
09/13/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	8.00	0.00	8.00	80.0	6.40
	ACCOUNT #: 04001030467	BUY 10 Units @15.7600						
09/13/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @14.5600						
09/13/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	249.99	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 17 Units @14.5600						
09/10/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.8800						
09/10/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.8800						
09/12/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000813270	BUY 23 Units @16.1700						
09/12/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	1,848.00	78.54	0.00	78.54	80.0	62.83
	ACCOUNT #: 04000813270	BUY 114 Units @16.1700						
09/12/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	1,458.00	61.97	0.00	61.97	80.0	49.58
	ACCOUNT #: 04000813270	BUY 90 Units @16.1700						
09/12/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 04000812733	BUY 18 Units @16.6000						
09/12/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	1,848.00	78.54	0.00	78.54	80.0	62.83
	ACCOUNT #: 04000812733	BUY 111 Units @16.6000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/12/2018	***-**-6609 - GAUDIOSI, ACCOUNT #: 04000812733	AMERICAN FUNDS 2040 TARGET DATE-A BUY 88 Units @16.6000	1,458.00	61.97	0.00	61.97	80.0	49.58
09/12/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.6000	80.00	3.40	0.00	3.40	80.0	2.72
09/12/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 7 Units @16.6000	110.00	4.68	0.00	4.68	80.0	3.74
09/12/2018	***-**-4516 - ST. AMAND, MARK ACCOUNT #: 04000812773	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @16.6000	10.00	0.43	0.00	0.43	80.0	0.34
09/13/2018	***-**-9875 - PETRO JR, JOHN ACCOUNT #: 04000627489	AMERICAN FUNDS 2040 TARGET DATE-A BUY 2 Units @16.5900	27.18	1.02	0.00	1.02	80.0	0.82
09/13/2018	***-**-9875 - PETRO JR, JOHN ACCOUNT #: 04000627489	AMERICAN FUNDS 2040 TARGET DATE-A BUY 3 Units @16.5900	50.00	1.88	0.00	1.88	80.0	1.50
09/13/2018	***-**-9875 - PETRO JR, JOHN ACCOUNT #: 04000627489	AMERICAN FUNDS 2040 TARGET DATE-A BUY 2 Units @16.5900	27.50	1.03	0.00	1.03	80.0	0.82
09/13/2018	***-**-9875 - PETRO JR, JOHN ACCOUNT #: 04000627489	AMERICAN FUNDS 2040 TARGET DATE-A BUY 3 Units @16.5900	50.00	1.88	0.00	1.88	80.0	1.50
09/13/2018	***-**-9875 - PETRO JR, JOHN ACCOUNT #: 04000627489	AMERICAN FUNDS 2040 TARGET DATE-A BUY 2 Units @16.5900	27.82	1.04	0.00	1.04	80.0	0.83
09/13/2018	***-**-9875 - PETRO JR, JOHN ACCOUNT #: 04000627489	AMERICAN FUNDS 2040 TARGET DATE-A BUY 3 Units @16.5900	50.00	1.88	0.00	1.88	80.0	1.50
09/13/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 2 Units @16.8100	35.50	1.78	0.00	1.78	80.0	1.42
09/13/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 15 Units @16.8100	250.00	12.50	0.00	12.50	80.0	10.00
09/13/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @16.8100	23.53	1.18	0.00	1.18	80.0	0.94
09/13/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.8100	78.42	3.92	0.00	3.92	80.0	3.14
09/13/2018	***-**-0355 - PERREAULT, ACCOUNT #: 04001030442	AMERICAN FUNDS 2040 TARGET DATE-A BUY 4 Units @16.8100	73.20	3.66	0.00	3.66	80.0	2.93
09/13/2018	***-**-0355 - PERREAULT, ACCOUNT #: 04001030442	AMERICAN FUNDS 2040 TARGET DATE-A BUY 14 Units @16.8100	240.00	12.00	0.00	12.00	80.0	9.60



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/10/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.2400	45.41	1.25	0.00	1.25	80.0	1.00
09/10/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @16.2400	187.00	5.14	0.00	5.14	80.0	4.11
09/10/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.2400	50.30	1.38	0.00	1.38	80.0	1.10
09/10/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.2400	100.00	2.75	0.00	2.75	80.0	2.20
09/13/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.7600	34.16	1.71	0.00	1.71	80.0	1.37
09/13/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.7600	34.16	1.71	0.00	1.71	80.0	1.37
09/13/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 2 Units @13.7200	27.68	1.38	0.00	1.38	80.0	1.10
09/13/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 1 Units @13.7200	16.61	0.83	0.00	0.83	80.0	0.66
09/14/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1600	75.00	0.90	0.00	0.90	80.0	0.72
09/14/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1600	75.00	0.90	0.00	0.90	80.0	0.72
09/13/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
09/13/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
09/13/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3800	240.50	2.41	0.00	2.41	80.0	1.93
09/13/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3800	66.96	0.67	0.00	0.67	80.0	0.54
09/13/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3800	240.50	2.41	0.00	2.41	80.0	1.93
09/13/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3800	66.96	0.67	0.00	0.67	80.0	0.54



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/13/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.3800						
09/13/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.3800						
09/13/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
09/13/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	39.14	0.39	0.00	0.39	80.0	0.31
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
09/13/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
09/13/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	32.72	0.33	0.00	0.33	80.0	0.26
	ACCOUNT #: 00085621852	BUY 2 Units @15.3800						
09/13/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	37.03	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 00085621852	BUY 2 Units @15.3800						
09/13/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
09/13/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	17.23	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 04001030377	BUY 0 Units @45.5600						
09/13/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	28.72	1.44	0.00	1.44	80.0	1.15
	ACCOUNT #: 04001030377	BUY 1 Units @45.5600						
09/10/2018	***-**-527I - LAWLOR, RICHARD	T ROWE PRICE RET 2020 FUND	2,000.00	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011410	BUY 87 Units @22.9200						
09/13/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	18.97	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 04001030438	BUY 0 Units @51.6400						
09/13/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	50.57	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 04001030438	BUY 1 Units @51.6400						
09/14/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.4700						
09/13/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.64	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @46.1500						
09/13/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	33.71	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 04001030438	BUY 1 Units @46.1500						



# COMMISSION STATEMENT

## September 17, 2018

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327 Church Street  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$19,384.56</b>	<b>\$637.08</b>	<b>\$3.00</b>	<b>\$634.08</b>		<b>\$507.22</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
09/10/2018	***-**-0474 - PEPICE, VITO	PERSPECTIVE II DTCC	77.75	5.44	0.00	5.44	80.0	4.35
	ACCOUNT #: 1021602414	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$77.75</b>	<b>\$5.44</b>	<b>\$0.00</b>	<b>\$5.44</b>		<b>\$4.35</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
09/04/2018	***-**-01IN - RAAD, PAMELA	AMCAP FUND-A	0.00	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
09/04/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	0.00	14.48	0.00	14.48	80.0	11.58
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
09/04/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	0.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						
09/04/2018	***-**-245P - DUMAINE, ROBERT	AMCAP FUND-A	0.00	3.93	0.00	3.93	80.0	3.14
	ACCOUNT #: 00074252014	BUY 0 Units @0.0000						
09/04/2018	***-**-079I - HOEBEL, ROBERT	AMCAP FUND-A	0.00	21.01	0.00	21.01	80.0	16.81
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
09/04/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 04001030377	BUY 0 Units @0.0000						
09/04/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04001030237	BUY 0 Units @0.0000						
09/04/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	0.00	21.26	0.00	21.26	80.0	17.01
	ACCOUNT #: 00064518010	BUY 0 Units @0.0000						
09/04/2018	***-**-01IN - RAAD, PAMELA	AMERICAN BALANCED FUND-A	0.00	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
09/04/2018	***-**-615P - ROBINSON, JANET	AMERICAN BALANCED FUND-A	0.00	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00068572268	BUY 0 Units @0.0000						
09/04/2018	***-**-685P - PAPST, MADISON	AMERICAN BALANCED FUND-A	0.00	10.30	0.00	10.30	80.0	8.24
	ACCOUNT #: 00068832660	BUY 0 Units @0.0000						
09/04/2018	***-**-975P - MATHISEN, PAUL	AMERICAN BALANCED FUND-A	0.00	1.49	0.00	1.49	80.0	1.19
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## September 17, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-577I - JARVIS, JUNE	AMERICAN BALANCED FUND-A	0.00	12.39	0.00	12.39	80.0	9.91
	ACCOUNT #: 00073913697	BUY 0 Units @0.0000						
09/04/2018	***-**-520I - DUMAINE, TONI	AMERICAN BALANCED FUND-A	0.00	5.42	0.00	5.42	80.0	4.34
	ACCOUNT #: 00074250987	BUY 0 Units @0.0000						
09/04/2018	***-**-245P - DUMAINE, ROBERT	AMERICAN BALANCED FUND-A	0.00	18.44	0.00	18.44	80.0	14.75
	ACCOUNT #: 00074252014	BUY 0 Units @0.0000						
09/04/2018	***-**-857I - MUNDO, DIANE	AMERICAN BALANCED FUND-A	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
09/04/2018	***-**-505P - MARUCA, PHELAN	AMERICAN BALANCED FUND-A	0.00	6.99	0.00	6.99	80.0	5.59
	ACCOUNT #: 00075760201	BUY 0 Units @0.0000						
09/04/2018	***-**-945P - MORIARTY,	AMERICAN BALANCED FUND-A	0.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00081526588	BUY 0 Units @0.0000						
09/04/2018	***-**-735P - RODRIGUEZ, JOSE	AMERICAN BALANCED FUND-A	0.00	12.81	0.00	12.81	80.0	10.25
	ACCOUNT #: 00081805731	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	0.00	36.41	0.00	36.41	80.0	29.13
	ACCOUNT #: 00081805810	BUY 0 Units @0.0000						
09/04/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	0.00	32.48	0.00	32.48	80.0	25.98
	ACCOUNT #: 00081805964	BUY 0 Units @0.0000						
09/04/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	0.00	256.68	0.00	256.68	80.0	205.34
	ACCOUNT #: 00081805988	BUY 0 Units @0.0000						
09/04/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	0.00	114.56	0.00	114.56	80.0	91.65
	ACCOUNT #: 00081806027	BUY 0 Units @0.0000						
09/04/2018	***-**-558I - FAMIGLIETTI,	AMERICAN BALANCED FUND-A	0.00	10.25	0.00	10.25	80.0	8.20
	ACCOUNT #: 00082394254	BUY 0 Units @0.0000						
09/04/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	0.00	27.75	0.00	27.75	80.0	22.20
	ACCOUNT #: 00083064667	BUY 0 Units @0.0000						
09/04/2018	***-**-39IN - GRAZIOSA, ROBERT	AMERICAN BALANCED FUND-A	0.00	1.91	0.00	1.91	80.0	1.53
	ACCOUNT #: 00084877268	BUY 0 Units @0.0000						
09/04/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	0.00	4.51	0.00	4.51	80.0	3.61
	ACCOUNT #: 04000563712	BUY 0 Units @0.0000						
09/04/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 04000627501	BUY 0 Units @0.0000						





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## September 17, 2018

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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 04000812765	BUY 0 Units @0.0000						
09/04/2018	***-**-577I - JARVIS, JUNE	AMERICAN BALANCED FUND-F1	0.00	1.77	0.00	1.77	80.0	1.42
	ACCOUNT #: 00073913697	BUY 0 Units @0.0000						
09/04/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	7.21	0.00	7.21	80.0	5.77
	ACCOUNT #: 00087513340	BUY 0 Units @0.0000						
09/04/2018	***-**-595I - BROUSSARD, NICK	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	9.27	0.00	9.27	80.0	7.42
	ACCOUNT #: 00085289342	BUY 0 Units @0.0000						
09/04/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	4.29	0.00	4.29	80.0	3.43
	ACCOUNT #: 04000812746	BUY 0 Units @0.0000						
09/04/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.32	0.00	1.32	80.0	1.06
	ACCOUNT #: 04000939783	BUY 0 Units @0.0000						
09/04/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 04001030247	BUY 0 Units @0.0000						
09/04/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030256	BUY 0 Units @0.0000						
09/04/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 04001030268	BUY 0 Units @0.0000						
09/04/2018	***-**-1169 - SIRIANNI,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 04001030295	BUY 0 Units @0.0000						
09/04/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 04001030467	BUY 0 Units @0.0000						
09/04/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	3.22	0.00	3.22	80.0	2.58
	ACCOUNT #: 04000563724	BUY 0 Units @0.0000						
09/04/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	4.29	0.00	4.29	80.0	3.43
	ACCOUNT #: 04000813270	BUY 0 Units @0.0000						
09/04/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 04000627489	BUY 0 Units @0.0000						
09/04/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	3.53	0.00	3.53	80.0	2.82
	ACCOUNT #: 04000812733	BUY 0 Units @0.0000						
09/04/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 04000812773	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-3266 - DIDOMINZIO, ACCOUNT #: 04000939801	AMERICAN FUNDS 2040 TARGET DATE-A BUY 0 Units @0.0000	0.00	0.26	0.00	0.26	80.0	0.21
09/04/2018	***-**-0258 - LALIBERTE, ACCOUNT #: 04001030407	AMERICAN FUNDS 2040 TARGET DATE-A BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	80.0	0.28
09/04/2018	***-**-5529 - BINETTE, JASON ACCOUNT #: 04001030412	AMERICAN FUNDS 2040 TARGET DATE-A BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	80.0	0.10
09/04/2018	***-**-0355 - PERREAULT, ACCOUNT #: 04001030442	AMERICAN FUNDS 2040 TARGET DATE-A BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	80.0	0.34
09/04/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 0 Units @0.0000	0.00	74.23	0.00	74.23	80.0	59.38
09/04/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 0 Units @0.0000	0.00	3.30	0.00	3.30	80.0	2.64
09/04/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	80.0	0.06
09/04/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 0 Units @0.0000	0.00	1.89	0.00	1.89	80.0	1.51
09/04/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	80.0	0.03
09/04/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 0 Units @0.0000	0.00	1.73	0.00	1.73	80.0	1.38
09/04/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 0 Units @0.0000	0.00	29.77	0.00	29.77	80.0	23.82
09/04/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 0 Units @0.0000	0.00	3.31	0.00	3.31	80.0	2.65
09/04/2018	***-**-245P - DUMAINE, ROBERT ACCOUNT #: 00061840893	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	4.01	0.00	4.01	80.0	3.21
09/04/2018	***-**-079I - HOEBEL, ROBERT ACCOUNT #: 00088173573	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	61.89	0.00	61.89	80.0	49.51
09/04/2018	***-**-079I - HOEBEL, ROBERT ACCOUNT #: 00088173573	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	39.17	0.00	39.17	80.0	31.34
09/04/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	80.0	0.06



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-01IN - RAAD, PAMELA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
09/04/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	13.44	0.00	13.44	80.0	10.75
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
09/04/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.91	0.00	3.91	80.0	3.13
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						
09/04/2018	***-**-079I - HOEBEL, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	42.57	0.00	42.57	80.0	34.06
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
09/04/2018	***-**-065I - BURGIO, ENRICO	INCOME FUND OF AMERICA CLASS C	0.00	10.27	0.00	10.27	80.0	8.22
	ACCOUNT #: 00086632520	BUY 0 Units @0.0000						
09/04/2018	***-**-035I - GRAZIOSA, CAROL	INCOME FUND OF AMERICA CLASS C	0.00	9.45	0.00	9.45	80.0	7.56
	ACCOUNT #: 00087227576	BUY 0 Units @0.0000						
09/04/2018	***-**-857I - MUNDO, DIANE	NEW PERSPECTIVE FUND-A	0.00	2.87	0.00	2.87	80.0	2.30
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
09/04/2018	***-**-079I - HOEBEL, ROBERT	NEW PERSPECTIVE FUND-A	0.00	20.37	0.00	20.37	80.0	16.30
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
09/17/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	390.06	0.00	390.06	80.0	312.05
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
09/17/2018	***-**-447I - BELVAL, PAUL	SAMMONS LIVEWELL MUTUAL FUND	0.00	72.83	0.00	72.83	80.0	58.26
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
09/17/2018	***-**-057I - DASILVA, JOSE	SAMMONS LIVEWELL MUTUAL FUND	0.00	12.99	0.00	12.99	80.0	10.39
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
09/17/2018	***-**-700I - NIHILL, TODD	SAMMONS LIVEWELL MUTUAL FUND	0.00	36.19	0.00	36.19	80.0	28.95
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
09/17/2018	***-**-963I - MORRO, JAMES	SAMMONS MF TRAILS	0.00	83.86	0.00	83.86	80.0	67.09
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						
09/17/2018	***-**-358I - KALLIPOLITES,	SAMMONS MF TRAILS	0.00	47.51	0.00	47.51	80.0	38.01
	ACCOUNT #: 7200022756	BUY 0 Units @0.0000						
09/17/2018	***-**-176I - RIOS, CARLOS	SAMMONS MF TRAILS	0.00	14.37	0.00	14.37	80.0	11.50
	ACCOUNT #: 7200020442	BUY 0 Units @0.0000						
09/04/2018	***-**-975P - MATHISEN, PAUL	THE BOND FUND OF AMERICA-A	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-065I - BURGIO, ENRICO	THE BOND FUND OF AMERICA-C	0.00	9.16	0.00	9.16	80.0	7.33
	ACCOUNT #: 00086632520	BUY 0 Units @0.0000						
09/04/2018	***-**-857I - MUNDO, DIANE	THE GROWTH FUND OF AMERICA-A	0.00	3.03	0.00	3.03	80.0	2.42
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
09/04/2018	***-**-975P - MATHISEN, PAUL	THE INCOME FUND OF AMERICA-A	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						
09/04/2018	***-**-079I - HOEBEL, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	103.38	0.00	103.38	80.0	82.70
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
09/04/2018	***-**-857I - MUNDO, DIANE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.69	0.00	2.69	80.0	2.15
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
09/04/2018	***-**-77IN - JARVIS, DEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	25.80	0.00	25.80	80.0	20.64
	ACCOUNT #: 00933494603	BUY 0 Units @0.0000						
09/04/2018	***-**-01IN - RAAD, PAMELA	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
09/04/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	10.93	0.00	10.93	80.0	8.74
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
09/04/2018	***-**-985P - PAPST, MEREDITH	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	2.99	0.00	2.99	80.0	2.39
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	20.18	0.00	20.18	80.0	16.14
	ACCOUNT #: 00081805810	BUY 0 Units @0.0000						
09/04/2018	***-**-079I - HOEBEL, ROBERT	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	57.05	0.00	57.05	80.0	45.64
	ACCOUNT #: 00088173573	BUY 0 Units @0.0000						
09/04/2018	***-**-035I - GRAZIOSA, CAROL	U.S. GOVERNMENT SECURITIES FUND-C	0.00	6.52	0.00	6.52	80.0	5.22
	ACCOUNT #: 00087227576	BUY 0 Units @0.0000						
09/04/2018	***-**-245P - DUMAINE, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.73	0.00	4.73	80.0	3.78
	ACCOUNT #: 00061840893	BUY 0 Units @0.0000						
09/04/2018	***-**-01IN - RAAD, PAMELA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 00067297409	BUY 0 Units @0.0000						
09/04/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	13.83	0.00	13.83	80.0	11.06
	ACCOUNT #: 00067533376	BUY 0 Units @0.0000						
09/04/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.92	0.00	3.92	80.0	3.14
	ACCOUNT #: 00067807144	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

September 17, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-975P - MATHISEN, PAUL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00073005489	BUY 0 Units @0.0000						
09/04/2018	***-**-857I - MUNDO, DIANE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.60	0.00	2.60	80.0	2.08
	ACCOUNT #: 00075630059	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,907.68	\$0.00	\$1,907.68		\$1,526.19
*** BROKER TRANSACTIONS TOTAL ***			\$19,462.31	\$2,550.20	\$3.00	\$2,547.20		\$2,037.76

## BROKER COMMISSION TOTALS

Broker Transactions	\$1,732.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,037.76
Year-to-date Earnings	\$75,583.34