

# COMMISSION STATEMENT

August 31, 2018

CHIP KLEINBROOK-D037  
 2817 South Milford Road  
 Suite 101  
 Highland, MI 48357

BROKER #: D037  
 BRANCH: DMN

## COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

08/14/2018	368281226	MOSQUERA, CARMEN	MF	FIDELITY ADV EQUITY GROWTH CL T B	-12,997.55	0.00	5.00	-5.00	80.0	-4.00
08/29/2018	368460213	KONCHEL, RAYMOND	MF	FIDELITY SMALL CAP GROWTH FD	-812.04	0.00	5.00	-5.00	80.0	-4.00
08/29/2018	368460213	KONCHEL, RAYMOND	MF	FRK TEMP FOUNDING FUNDS ALLOC	-1,977.55	0.00	5.00	-5.00	80.0	-4.00
08/06/2018	491440237	MARTIN, MARGARET	MF	TEMPLETON GROWTH FUND CLASS A	-4,997.55	0.00	5.00	-5.00	80.0	-4.00
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$-20,784.69</b>	<b>\$0.00</b>	<b>\$20.00</b>	<b>\$-20.00</b>		<b>\$-16.00</b>

#### PRODUCT CATEGORY: STOCKS

08/24/2018	183714765	F, CHARLES	S	VISA INC-CLASS A SHRS	-14,209.36	20.00	15.00	5.00	80.0	4.00
08/29/2018	183714765	F, CHARLES	S	VISA INC-CLASS A SHRS	-5,855.51	20.00	15.00	5.00	80.0	4.00
				<b>* STOCKS SUBTOTAL *</b>	<b>\$-20,064.87</b>	<b>\$40.00</b>	<b>\$30.00</b>	<b>\$10.00</b>		<b>\$8.00</b>

#### PRODUCT CATEGORY: MISCELLANEOUS

08/07/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 491440237	0.00	20.00	0.00	20.00	80.0	16.00
				<b>* MISCELLANEOUS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$20.00</b>		<b>\$16.00</b>

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/07/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	0.00	6.39	0.00	6.39	80.0	5.11
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	0.00	9.01	0.00	9.01	80.0	7.21
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	0.00	19.92	0.00	19.92	80.0	15.94
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	0.00	55.85	0.00	55.85	80.0	44.68
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	0.00	31.48	0.00	31.48	80.0	25.18
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	0.00	62.96	0.00	62.96	80.0	50.37
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	0.00	0.81	0.00	0.81	80.0	0.65
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	0.00	0.92	0.00	0.92	80.0	0.74
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	0.00	1.57	0.00	1.57	80.0	1.26
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	0.00	11.79	0.00	11.79	80.0	9.43
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	0.00	2.02	0.00	2.02	80.0	1.62
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	0.00	15.49	0.00	15.49	80.0	12.39
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	0.00	1.34	0.00	1.34	80.0	1.07
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	0.00	2.70	0.00	2.70	80.0	2.16
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	0.00	2.12	0.00	2.12	80.0	1.70
08/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	0.00	3.75	0.00	3.75	80.0	3.00

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08/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	2.14	0.00	2.14	80.0	1.71
08/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	14.62	0.00	14.62	80.0	11.70
08/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	71.58	0.00	71.58	80.0	57.26
08/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.76	0.00	1.76	80.0	1.41
08/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.49	0.00	2.49	80.0	1.99
08/21/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.31	0.00	1.31	80.0	1.05
08/21/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.24	0.00	8.24	80.0	6.59
08/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.59	0.00	15.59	80.0	12.47
08/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	31.18	0.00	31.18	80.0	24.94
08/21/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	6.08	0.00	6.08	80.0	4.86
08/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.17	0.00	1.17	80.0	0.94
08/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.42	0.00	2.42	80.0	1.94
08/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	7.07	0.00	7.07	80.0	5.66
08/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.36	0.00	4.36	80.0	3.49
08/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.74	0.00	5.74	80.0	4.59
08/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.75	0.00	5.75	80.0	4.60
08/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.85	0.00	6.85	80.0	5.48
08/21/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	36.83	0.00	36.83	80.0	29.46
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	26.12	0.00	26.12	80.0	20.90
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	34.04	0.00	34.04	80.0	27.23
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	71.58	0.00	71.58	80.0	57.26
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.04	0.00	0.04	80.0	0.03
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.87	0.00	14.87	80.0	11.90
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	37.88	0.00	37.88	80.0	30.30
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	5.99	0.00	5.99	80.0	4.79
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.12	0.00	1.12	80.0	0.90
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.75	0.00	6.75	80.0	5.40
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.61	0.00	19.61	80.0	15.69
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.52	0.00	3.52	80.0	2.82
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	9.04	0.00	9.04	80.0	7.23
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.28	0.00	1.28	80.0	1.02
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	13.00	0.00	13.00	80.0	10.40
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	21.66	0.00	21.66	80.0	17.33
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.39	0.00	4.39	80.0	3.51
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	22.29	0.00	22.29	80.0	17.83
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	24.02	0.00	24.02	80.0	19.22
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	37.93	0.00	37.93	80.0	30.34
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	24.73	0.00	24.73	80.0	19.78
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	76.08	0.00	76.08	80.0	60.86
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.90	0.00	3.90	80.0	3.12
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	32.18	0.00	32.18	80.0	25.74
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	72.00	0.00	72.00	80.0	57.60
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	2.37	0.00	2.37	80.0	1.90
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.24	0.00	2.24	80.0	1.79

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08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.12	0.00	16.12	80.0	12.90
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	17.64	0.00	17.64	80.0	14.11
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.76	0.00	17.76	80.0	14.21
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	20.91	0.00	20.91	80.0	16.73
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.70	0.00	24.70	80.0	19.76
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	39.20	0.00	39.20	80.0	31.36
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.40	0.00	5.40	80.0	4.32
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.85	0.00	16.85	80.0	13.48
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	4.05	0.00	4.05	80.0	3.24
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	16.31	0.00	16.31	80.0	13.05
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	35.06	0.00	35.06	80.0	28.05
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	16.23	0.00	16.23	80.0	12.98
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	27.05	0.00	27.05	80.0	21.64
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	32.62	0.00	32.62	80.0	26.10
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	66.68	0.00	66.68	80.0	53.34
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	26.69	0.00	26.69	80.0	21.35
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.09	0.00	1.09	80.0	0.87
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.37	0.00	3.37	80.0	2.70
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.77	0.00	11.77	80.0	9.42
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	19.32	0.00	19.32	80.0	15.46
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	60.45	0.00	60.45	80.0	48.36
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	19.15	0.00	19.15	80.0	15.32
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	58.07	0.00	58.07	80.0	46.46
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.91	0.00	5.91	80.0	4.73
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	24.13	0.00	24.13	80.0	19.30
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	42.34	0.00	42.34	80.0	33.87
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.51	0.00	5.51	80.0	4.41
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.45	0.00	10.45	80.0	8.36
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	23.52	0.00	23.52	80.0	18.82
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	64.70	0.00	64.70	80.0	51.76
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	12.04	0.00	12.04	80.0	9.63
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	14.09	0.00	14.09	80.0	11.27
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.64	0.00	1.64	80.0	1.31
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	57.68	0.00	57.68	80.0	46.14
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	23.75	0.00	23.75	80.0	19.00
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	43.32	0.00	43.32	80.0	34.66
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.87	0.00	10.87	80.0	8.70
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.37	0.00	7.37	80.0	5.90
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.48	0.00	6.48	80.0	5.18
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.73	0.00	6.73	80.0	5.38
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.53	0.00	9.53	80.0	7.62
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	14.02	0.00	14.02	80.0	11.22
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	21.98	0.00	21.98	80.0	17.58
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	28.18	0.00	28.18	80.0	22.54

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08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	37.78	0.00	37.78	80.0	30.22
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	81.55	0.00	81.55	80.0	65.24
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	83.64	0.00	83.64	80.0	66.91
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	85.17	0.00	85.17	80.0	68.14
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	1.00	0.00	1.00	80.0	0.80
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.53	0.00	7.53	80.0	6.02
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	43.27	0.00	43.27	80.0	34.62
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	86.03	0.00	86.03	80.0	68.82
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.41	0.00	6.41	80.0	5.13
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	16.01	0.00	16.01	80.0	12.81
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	57.16	0.00	57.16	80.0	45.73
08/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.93	0.00	3.93	80.0	3.14
08/21/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.66	0.00	0.66	80.0	0.53
08/21/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	55.70	0.00	55.70	80.0	44.56
08/21/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	6.29	0.00	6.29	80.0	5.03
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.46	0.00	1.46	80.0	1.17
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.82	0.00	0.82	80.0	0.66
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	13.17	0.00	13.17	80.0	10.54
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	31.69	0.00	31.69	80.0	25.35
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	5.00	0.00	5.00	80.0	4.00
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	10.78	0.00	10.78	80.0	8.62
08/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	2.41	0.00	2.41	80.0	1.93
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.12	0.00	0.12	80.0	0.10
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	19.35	0.00	19.35	80.0	15.48
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	22.73	0.00	22.73	80.0	18.18
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	58.31	0.00	58.31	80.0	46.65
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	76.60	0.00	76.60	80.0	61.28
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	108.46	0.00	108.46	80.0	86.77
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	186.78	0.00	186.78	80.0	149.42
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.08	0.00	0.08	80.0	0.06
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.11	0.00	0.11	80.0	0.09
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.11	0.00	0.11	80.0	0.09
08/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.11	0.00	0.11	80.0	0.09
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	20.82	0.00	20.82	80.0	16.66
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	20.82	0.00	20.82	80.0	16.66
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	21.71	0.00	21.71	80.0	17.37
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	45.98	0.00	45.98	80.0	36.78
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO INCOME FD CL C	B	0.00	74.26	0.00	74.26	80.0	59.41
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.16	0.00	0.16	80.0	0.13
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.86	0.00	4.86	80.0	3.89
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	17.12	0.00	17.12	80.0	13.70
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.93	0.00	15.93	80.0	12.74
08/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	49.18	0.00	49.18	80.0	39.34

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	3.06	0.00	3.06	80.0	2.45
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.51	0.00	3.51	80.0	2.81
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	20.27	0.00	20.27	80.0	16.22
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	6.02	0.00	6.02	80.0	4.82
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.60	0.00	3.60	80.0	2.88
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	11.04	0.00	11.04	80.0	8.83
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.28	0.00	0.28	80.0	0.22
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.64	0.00	1.64	80.0	1.31
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.55	0.00	2.55	80.0	2.04
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.48	0.00	7.48	80.0	5.98
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.97	0.00	9.97	80.0	7.98
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	10.31	0.00	10.31	80.0	8.25
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.92	0.00	18.92	80.0	15.14
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	38.36	0.00	38.36	80.0	30.69
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	63.48	0.00	63.48	80.0	50.78
08/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	69.46	0.00	69.46	80.0	55.57
08/21/2018	mfc587889 KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.65	0.00	0.65	80.0	0.52
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	18.42	0.00	18.42	80.0	14.74
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.80	0.00	3.80	80.0	3.04
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.80	0.00	9.80	80.0	7.84
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.79	0.00	7.79	80.0	6.23
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.80	0.00	7.80	80.0	6.24
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	31.00	0.00	31.00	80.0	24.80
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.54	0.00	4.54	80.0	3.63
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.97	0.00	4.97	80.0	3.98
08/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	11.29	0.00	11.29	80.0	9.03
08/21/2018	mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.23	0.00	8.23	80.0	6.58
08/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.96	0.00	6.96	80.0	5.57
08/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.31	0.00	1.31	80.0	1.05
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$3,780.29</b>	<b>\$0.00</b>	<b>\$3,780.29</b>		<b>\$3,024.26</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-40,849.56</b>	<b>\$3,840.29</b>	<b>\$50.00</b>	<b>\$3,790.29</b>		<b>\$3,032.26</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/15/2018	(None) JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	B	50.00	0.50	0.00	0.50	80.0	0.40
08/13/2018	00068622447 GLORIA D PARRISH	MF THE GROWTH FUND OF AMERICA-A	B	20.00	0.85	0.00	0.85	80.0	0.68
08/13/2018	00083533648 GLORIA D PARRISH,	MF CAPITAL WORLD GROWTH AND INCOME	B	40.00	1.70	0.00	1.70	80.0	1.36
08/15/2018	00089905459 CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
08/15/2018	00520235862 COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
08/15/2018	00520236563 COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
08/15/2018	03900215447 NISSETYLEJ	MF FIDELITY ADVISOR BALANCED CLASS	B	417.00	12.51	0.00	12.51	80.0	10.01
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,077.00</b>	<b>\$21.06</b>	<b>\$0.00</b>	<b>\$21.06</b>		<b>\$16.85</b>

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
07/31/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	9.24	0.00	9.24	80.0	7.39
07/31/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	6.90	0.00	6.90	80.0	5.52
08/03/2018 08203004421	KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.27	0.00	0.27	80.0	0.22
08/03/2018 08240000434	KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-A	B	0.00	0.55	0.00	0.55	80.0	0.44
08/03/2018 08536302247	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	0.90	0.00	0.90	80.0	0.72
08/03/2018 08536700498	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	0.38	0.00	0.38	80.0	0.30
08/03/2018 08537100164	KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	0.66	0.00	0.66	80.0	0.53
08/03/2018 08537500179	KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	0.85	0.00	0.85	80.0	0.68
08/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND-C	B	0.00	0.99	0.00	0.99	80.0	0.79
08/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	2.03	0.00	2.03	80.0	1.62
08/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	3.33	0.00	3.33	80.0	2.66
08/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	1.40	0.00	1.40	80.0	1.12
08/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	2.43	0.00	2.43	80.0	1.94
08/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	3.16	0.00	3.16	80.0	2.53
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$33.09</b>	<b>\$0.00</b>	<b>\$33.09</b>		<b>\$26.46</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>									
08/17/2018 (None)	KLEINBROOK, CHARLES	VAT LINCOLN BENEFIT LIFE	B	0.00	64.28	0.00	64.28	80.0	51.42
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$64.28</b>	<b>\$0.00</b>	<b>\$64.28</b>		<b>\$51.42</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$1,077.00</b>	<b>\$118.43</b>	<b>\$0.00</b>	<b>\$118.43</b>		<b>\$94.73</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

08/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>* SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,126.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$2,941.99</b>
<b>Year-to-date Commissions</b>	<b>\$60,928.68</b>