



COMMISSION STATEMENT

December 17, 2018

WILLIAM AGNELLO
28 Venice Circle
Rochester, NY 14609

BROKER #: 119
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/11/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	ARLINGTON ASSET INVT CORP CL A NEW SELL 126 Units @8.3510	1,052.23	47.39	17.52	29.87	70.0	20.91
12/11/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	FIDELITY MSCI ENERGY INDEX ETF SELL 107 Units @17.1650	1,836.66	57.85	17.14	40.71	70.0	28.50
12/11/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 105 Units @44.0000	4,620.00	56.75	17.10	39.65	70.0	27.76
12/11/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FORD MTR CO DEL COM UNSOLICITED ORD SELL 212 Units @8.5830	1,819.60	60.43	19.24	41.19	70.0	28.83
12/11/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	NGL ENERGY PARTNERS LP COM UNIT REP SELL 100 Units @9.9120	991.20	46.35	17.00	29.35	70.0	20.55
* STOCKS SUBTOTAL *			\$10,319.69	\$268.77	\$88.00	\$180.77		\$126.55
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
12/11/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	CHERRY HILL MTG INVT CORP COM UNSOL SELL 100 Units @18.7800	1,878.00	54.00	24.00	30.00	70.0	21.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$1,878.00	\$54.00	\$24.00	\$30.00		\$21.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
12/17/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: 00052280820	JOHN HANCOCK FREEDOM 529 TRAILS BUY 0 Units @0.0000	0.00	8.62	0.00	8.62	70.0	6.03
12/17/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: 77133335101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	6.72	0.00	6.72	70.0	4.70
* 529 PLAN SUBTOTAL *			\$0.00	\$15.34	\$0.00	\$15.34		\$10.73
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-77IR - AGNELLO, TINA ACCOUNT #: 00085661999	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000	0.00	14.64	0.00	14.64	70.0	10.25
12/03/2018	***-**-8929 - AGNELLO, JEFFREY ACCOUNT #: 00073261400	GROWTH FUND OF AMERICA CLASS F BUY 0 Units @0.0000	0.00	7.24	0.00	7.24	70.0	5.07
12/03/2018	***-**-8216 - AGNELLO, ACCOUNT #: 00082175073	GROWTH FUND OF AMERICA CLASS F BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	70.0	0.90
12/03/2018	***-**-5428 - AGNELLO, ACCOUNT #: 00082175298	GROWTH FUND OF AMERICA CLASS F BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	70.0	0.90



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-4882 - AGNELLO, ACCOUNT #: 00082175305	GROWTH FUND OF AMERICA CLASS F BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	70.0	0.90
12/03/2018	***-**-8929 - AGNELLO, JEFFREY ACCOUNT #: 00073261400	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	49.21	0.00	49.21	70.0	34.45
12/03/2018	***-**-77IR - AGNELLO, TINA ACCOUNT #: 00085661999	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	19.45	0.00	19.45	70.0	13.62
12/03/2018	***-**-3829 - AGNELLO, ACCOUNT #: 00087597414	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	4.46	0.00	4.46	70.0	3.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$98.84	\$0.00	\$98.84		\$69.21
*** BROKER TRANSACTIONS TOTAL ***			\$12,197.69	\$436.95	\$112.00	\$324.95		\$227.49

BROKER COMMISSION TOTALS

Broker Transactions	\$227.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$68.13
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$295.62
Year-to-date Earnings	\$12,176.98