



COMMISSION STATEMENT

December 03, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/30/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @33.5800						
11/30/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @33.5800						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @33.5800						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @33.5800						
11/26/2018	***-**-8464 - OLTMAN, CHAD	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089638005	BUY 2 Units @28.4600						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @28.0000						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @28.0000						
11/30/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.8500						
11/30/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.8500						
11/30/2018	***-**-0481 - WILDER, MARTIN	AMERICAN FUNDS BALANCED PORT CL C	289.40	2.89	0.00	2.89	75.0	2.17
	ACCOUNT #: 00087922392	BUY 20 Units @14.4100						
11/30/2018	***-**-0481 - WILDER, MARTIN	AMERICAN FUNDS BALANCED PORT CL C	124.05	1.24	0.00	1.24	75.0	0.93
	ACCOUNT #: 00087922392	BUY 9 Units @14.4100						
11/30/2018	***-**-7692 - SCHERMERHORN,	AMERICAN FUNDS BALANCED PORT CL C	59.67	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: 00087922386	BUY 4 Units @14.4100						
11/30/2018	***-**-7692 - SCHERMERHORN,	AMERICAN FUNDS BALANCED PORT CL C	99.46	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00087922386	BUY 7 Units @14.4100						
11/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @59.1400						
11/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @59.1400						
11/30/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @59.1400						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/30/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.73	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @59.1400						
11/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @18.6600						
11/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.62	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @18.6600						
11/30/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @49.0300						
11/30/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @49.0300						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @49.0300						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @49.0300						
11/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @47.2300						
11/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.24	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @47.2300						
11/30/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @47.2300						
11/30/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.73	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @47.2300						
11/28/2018	***-**-9137 - YOZZO, JOSEPH	FUNDAMENTAL INVESTORS-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 1 Units @64.3900						
11/30/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @63.3500						
11/30/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @63.3500						
11/30/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @61.3600						
11/30/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @61.3600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/30/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.4000						
11/30/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.4000						
11/28/2018	***-**-9137 - YOZZO, JOSEPH	NEW PERSPECTIVE FUND-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 2 Units @45.1200						
11/30/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @44.2300						
11/30/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @44.2300						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @62.4700						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @62.4700						
11/30/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @58.4600						
11/30/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @58.4600						
12/03/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO FUNDS ADDITIONAL DEPOSIT	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 7050065751	BUY 0 Units @0.0000						
11/28/2018	***-**-9137 - YOZZO, JOSEPH	SMALLCAP WORLD FUND-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 2 Units @56.8800						
11/30/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @56.0000						
11/30/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @56.0000						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @56.0000						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @56.0000						
11/30/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @47.6900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/30/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.97	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @47.6900						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.7200						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.7200						
11/30/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.4000						
11/30/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.71	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.4000						
11/30/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.4000						
11/30/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.4000						
11/28/2018	***-**-9137 - YOZZO, JOSEPH	THE GROWTH FUND OF AMERICA-A	91.50	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00082276628	BUY 2 Units @54.3100						
11/30/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @53.5300						
11/30/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @53.5300						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.5300						
11/30/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.5300						
11/30/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @48.0600						
11/30/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.43	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @48.0600						
11/30/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @48.0600						
11/30/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.73	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @48.0600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/30/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 3 Units @23.3000	62.50	1.25	0.00	1.25	75.0	0.94
11/30/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @23.3000	6.49	0.13	0.00	0.13	75.0	0.10
11/30/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.3000	31.25	0.63	0.00	0.63	75.0	0.47
11/30/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 0 Units @23.3000	7.38	0.15	0.00	0.15	75.0	0.11
11/28/2018	***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 00082276628	THE NEW ECONOMY FUND-A BUY 2 Units @47.8300	91.50	3.43	0.00	3.43	75.0	2.57
11/30/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.2600	23.85	0.24	0.00	0.24	75.0	0.18
11/30/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.2600	2.16	0.02	0.00	0.02	75.0	0.02
11/30/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.0100	62.50	1.25	0.00	1.25	75.0	0.94
11/30/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @47.0100	6.48	0.13	0.00	0.13	75.0	0.10
* MUTUAL FUNDS SUBTOTAL *			\$2,429.42	\$47.34	\$0.00	\$47.34		\$35.60
PRODUCT CATEGORY: STOCKS								
11/26/2018	***-**-76IN - KRAWIEC, PETER ACCOUNT #: LSM000204	CISCO SYSTEMS INC SELL 150 Units @45.5180	6,827.70	40.00	0.00	40.00	75.0	30.00
* STOCKS SUBTOTAL *			\$6,827.70	\$40.00	\$0.00	\$40.00		\$30.00
PRODUCT CATEGORY: 529 PLAN								
12/03/2018	***-**-6072 - LASKOWSKY, ANITA ACCOUNT #: 52730385	PORTFOLIO 2021-2024 A BUY 0 Units @0.0000	125.00	9.13	0.00	9.13	75.0	6.85
* 529 PLAN SUBTOTAL *			\$125.00	\$9.13	\$0.00	\$9.13		\$6.85
*** BROKER TRANSACTIONS TOTAL ***			\$9,382.12	\$96.47	\$0.00	\$96.47		\$72.45



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$72.45
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$72.45
Year-to-date Earnings								\$75,999.37