

COMMISSION STATEMENT

August 31, 2018

 GEORGE OFFUTT-D067
 146 Pinehurst

 BROKER #: D067
 BRANCH: DMT

Meadowlakes, TX 78654

COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------|-----------------|-----|--------------------------------|---------------------|---------------------|-------------------|----------------|--------------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 08/01/2018 | 306858156 | CORPORATION, GO | S | COMSTOCK RESOURCES INC COM PAR | S | -3,411.51 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 08/09/2018 | 306858156 | CORPORATION, GO | S | HIGHPOINT RES CORP | S | -2,348.02 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 08/15/2018 | 306858156 | CORPORATION, GO | S | CARRIZO OIL & GAS INC | S | -3,278.01 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 08/23/2018 | 306858156 | CORPORATION, GO | S | PROSHARES TR II ULTRAPRO 3X | B | 3,111.64 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 08/20/2018 | 308305225 | FOUNDATION, GO | S | FIRST FINANCIAL BANKSHARES INC | S | -5,997.47 | 40.00 | 15.00 | 25.00 90.0 22.50 |
| 08/09/2018 | 462247453 | LOVE, JOE | S | PIMCO CORPORATE & INCOME | S | -3,389.63 | 45.00 | 15.00 | 30.00 90.0 27.00 |
| | | | | * STOCKS SUBTOTAL * | | \$-15,313.00 | \$205.00 | \$90.00 | \$115.00 \$103.50 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | |
|------------|-----------|---------------|---------------------------------------|---|------|------|------|----------------|
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCE BERNSTEIN | B | 0.00 | 1.90 | 0.00 | 1.90 90.0 1.71 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCE BERNSTEIN | B | 0.00 | 3.17 | 0.00 | 3.17 90.0 2.85 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCEBERNSTEIN GROWTH A | B | 0.00 | 2.94 | 0.00 | 2.94 90.0 2.65 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCEBERNSTEIN GROWTH A | B | 0.00 | 3.45 | 0.00 | 3.45 90.0 3.11 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANZ NFJ SMALL CAP VALUE | B | 0.00 | 0.68 | 0.00 | 0.68 90.0 0.61 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 0.10 | 0.00 | 0.10 90.0 0.09 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT BLACKROCK GLOBAL RESOURCES FUND B | B | 0.00 | 0.75 | 0.00 | 0.75 90.0 0.68 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT BLACKROCK GLOBAL RESOURCES FUND B | B | 0.00 | 0.86 | 0.00 | 0.86 90.0 0.77 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT BLK 091928788 | B | 0.00 | 2.12 | 0.00 | 2.12 90.0 1.91 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT COLUMBIA SMALL CAP VALUE FUND I B | B | 0.00 | 2.75 | 0.00 | 2.75 90.0 2.48 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT COLUMBIA SELECT INTL EQUITY | B | 0.00 | 2.36 | 0.00 | 2.36 90.0 2.12 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 0.33 | 0.00 | 0.33 90.0 0.30 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 1.29 | 0.00 | 1.29 90.0 1.16 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 2.33 | 0.00 | 2.33 90.0 2.10 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 3.15 | 0.00 | 3.15 90.0 2.84 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 6.70 | 0.00 | 6.70 90.0 6.03 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DELAWARE DIVIDEND INCOME FUND A B | B | 0.00 | 2.56 | 0.00 | 2.56 90.0 2.30 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI | B | 0.00 | 3.25 | 0.00 | 3.25 90.0 2.93 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI | B | 0.00 | 4.42 | 0.00 | 4.42 90.0 3.98 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI | B | 0.00 | 4.85 | 0.00 | 4.85 90.0 4.37 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CORE EQUITY FUND-A | B | 0.00 | 3.93 | 0.00 | 3.93 90.0 3.54 |
| 08/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE REAL ESTATE SECURITIES B | B | 0.00 | 0.91 | 0.00 | 0.91 90.0 0.82 |

COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B | 0.00 | 1.77 | 0.00 | 1.77 | 90.0 | 1.59 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT EATON VANCE NATIONAL MUNICIPAL | B | 0.00 | 11.20 | 0.00 | 11.20 | 90.0 | 10.08 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT EHV 277902813 | B | 0.00 | 1.23 | 0.00 | 1.23 | 90.0 | 1.11 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT IVY GLOBAL NATURAL RESOURCES | B | 0.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT IVY SCIENCE AND TECHNOLOGY FUND | B | 0.00 | 1.32 | 0.00 | 1.32 | 90.0 | 1.19 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT OPPENHEIMERFUNDS 68380E304 | B | 0.00 | 1.19 | 0.00 | 1.19 | 90.0 | 1.07 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT OPPENHEIMER SMALL & MID CAP | B | 0.00 | 4.29 | 0.00 | 4.29 | 90.0 | 3.86 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT TEMP FRGN FND - CL A | B | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT TEMP WORLD FND - CL A | B | 0.00 | 1.62 | 0.00 | 1.62 | 90.0 | 1.46 |
| 08/21/2018 | mfc668438 OFFUTT 668438 | MFT VICTORY MUNDER MULTI CAP FUND | B | 0.00 | 1.18 | 0.00 | 1.18 | 90.0 | 1.06 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$80.33 | \$0.00 | \$80.33 | | \$72.33 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$-15,313.00 | \$285.33 | \$90.00 | \$195.33 | | \$175.83 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|----------------------------------|----------------------------|------------------------------------|---|-----------------|---------------|---------------|---------------|------|---------------|
| 08/06/2018 | 00000275988 JACKSON, TANYA | MF COLUMBIA HIGH YIELD BOND FUND-A | B | 15.00 | 0.53 | 0.00 | 0.53 | 90.0 | 0.48 |
| 08/06/2018 | 07320103858 JACKSON, TANYA | MF COLUMBIA SELECT INTL EQUITY | B | 20.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 08/06/2018 | 07790166208 JACKSON, TANYA | MF COLUMBIA GLOBAL EQUITY VALUE-A | B | 40.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| 08/06/2018 | 09690115201 JACKSON, TANYA | MF COLUMBIA SMALL CAP VALUE FUND I | B | 25.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$100.00 | \$3.72 | \$0.00 | \$3.72 | | \$3.36 |

PRODUCT CATEGORY: VARIABLE ANNUITIES

| | | | | | | | | | |
|--|-----------------------|--------------------|---|-----------------|----------------|---------------|----------------|------|----------------|
| 08/10/2018 | (None) OFFUTT, GEORGE | VA PRUDENTIAL LIFE | B | 300.00 | 21.00 | 0.00 | 21.00 | 90.0 | 18.90 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$300.00 | \$21.00 | \$0.00 | \$21.00 | | \$18.90 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|---|----------------------------|-------------------------------------|---|-----------------|----------------|---------------|----------------|------|----------------|
| 07/31/2018 | (None) BLACK, JESSICA | MFT TEXAS 529 PLAN | B | 0.00 | 1.48 | 0.00 | 1.48 | 90.0 | 1.33 |
| 08/03/2018 | 00000275988 JACKSON, TANYA | MFT COLUMBIA HIGH YIELD BOND FUND-A | B | 0.00 | 1.96 | 0.00 | 1.96 | 90.0 | 1.76 |
| 08/03/2018 | 07320103858 JACKSON, TANYA | MFT COLUMBIA SELECT INTL EQUITY | B | 0.00 | 1.98 | 0.00 | 1.98 | 90.0 | 1.78 |
| 08/03/2018 | 07790166208 JACKSON, TANYA | MFT COLUMBIA GLOBAL EQUITY VALUE-A | B | 0.00 | 6.03 | 0.00 | 6.03 | 90.0 | 5.43 |
| 08/03/2018 | 09690115201 JACKSON, TANYA | MFT COLUMBIA SMALL CAP VALUE FUND I | B | 0.00 | 6.06 | 0.00 | 6.06 | 90.0 | 5.45 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$17.51 | \$0.00 | \$17.51 | | \$15.75 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$400.00 | \$42.23 | \$0.00 | \$42.23 | | \$38.01 |

COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS TRANSACTIONSBROKER: #0

| | | | | | | | | | |
|--|---|---------------------|--|---------------|---------------|---------------|---------------|--|-----------------|
| 08/31/2018 | : | BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 08/31/2018 | : | E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 08/31/2018 | : | MONEY MARKET TRAILS | | 0.00 | 0.00 | 0.00 | 0.00 | | 302.14 |
| * SUBTOTAL * | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$247.14</u> |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$247.14</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$213.84 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$247.14 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|-------------------|
| Net Commissions | \$460.98 |
| Year-to-date Commissions | \$9,703.38 |