



# COMMISSION STATEMENT

## September 10, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/04/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @36.6500						
08/27/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	75.0	2.39
	ACCOUNT #: 00084492487	BUY 4 Units @29.0100						
08/27/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	75.0	3.23
	ACCOUNT #: 00087990221	BUY 4 Units @29.3100						
08/28/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 2 Units @29.6900						
09/04/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00085099445	BUY 2 Units @28.5900						
09/04/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00085154620	BUY 4 Units @28.5900						
09/05/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 5 Units @29.1600						
09/04/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00085099445	BUY 5 Units @10.4900						
09/04/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 00085154620	BUY 10 Units @10.4900						
09/04/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	38.25	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 00085839015	BUY 4 Units @10.6000						
09/05/2018	***-**-0911 - SPAZIANI,	AMERICAN HIGH-INCOME TRUST-A	80.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: 00082570536	BUY 8 Units @10.6200						
09/04/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00085099445	BUY 1 Units @43.7000						
08/27/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @63.8300						
09/04/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @64.0200						
09/04/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 00085154620	BUY 2 Units @52.2100						
09/04/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	47.25	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00085839015	BUY 1 Units @52.7500						



# COMMISSION STATEMENT

## September 10, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/27/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: 00084492487	BUY 2 Units @55.9400						
09/04/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00085839015	BUY 1 Units @54.7400						
09/05/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 2 Units @67.5100						
08/22/2018	***-**-241R - BERTRAND, JOHN	RISING DIVIDENDS FUND A	1,500.00	71.25	0.00	71.25	75.0	53.44
	ACCOUNT #: 02254870800	BUY 0 Units @0.0000						
08/27/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00084492487	BUY 3 Units @58.2300						
08/27/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @58.8400						
08/28/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089897908	BUY 1 Units @59.6300						
08/28/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 1 Units @59.6300						
09/04/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00085099445	BUY 1 Units @57.4800						
09/04/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 00085154620	BUY 3 Units @57.4800						
09/04/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	54.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: 00085839015	BUY 1 Units @58.0700						
09/05/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	55.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 00082570536	BUY 1 Units @58.0500						
08/27/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 00087990221	BUY 5 Units @24.5000						
09/04/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	38.25	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 00085839015	BUY 2 Units @24.0900						
09/04/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00086643595	BUY 2 Units @24.3500						
09/05/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 6 Units @24.3500						



# COMMISSION STATEMENT

September 10, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/27/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	75.0	3.33
	ACCOUNT #: 00087990221	BUY 2 Units @48.9300						
		* MUTUAL FUNDS SUBTOTAL *	\$4,074.33	\$152.32	\$0.00	\$152.32		\$114.32
		*** BROKER TRANSACTIONS TOTAL ***	\$4,074.33	\$152.32	\$0.00	\$152.32		\$114.32

## BROKER COMMISSION TOTALS

Broker Transactions	\$114.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$114.32
Year-to-date Earnings	\$38,918.35