

COMMISSION STATEMENT**October 31, 2018****CLOSED Z-ACCOUNT****BROKER #: 75****BRANCH: HOME OFFICE**

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: STOCKS**

09/28/2018	75599821	RF LAFFERTY & CO	ARLINGTON ASSET INVESTMENT CORP CL- B	39,353.03	0.00	0.00	0.00	80.0	0.00
09/28/2018	75599821	RF LAFFERTY & CO	ARLINGTON ASSET INVESTMENT CORP CL- S	39,353.02	0.00	0.00	0.00	80.0	0.00
09/28/2018	75599821	RF LAFFERTY & CO	ARLO TECHNOLOGIES INC COMMON STOCK S	14,510.00	0.00	0.00	0.00	80.0	0.00
09/28/2018	75599821	RF LAFFERTY & CO	ARLO TECHNOLOGIES INC COMMON STOCK B	29,020.00	0.00	0.00	0.00	80.0	0.00
09/28/2018	75599821	RF LAFFERTY & CO	ARLO TECHNOLOGIES INC COMMON STOCK S	14,510.00	0.00	0.00	0.00	80.0	0.00
10/01/2018	75599821	RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC COM S	11,816.92	0.00	0.00	0.00	80.0	0.00
10/01/2018	75599821	RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC COM S	11,090.00	0.00	0.00	0.00	80.0	0.00
10/01/2018	75599821	RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC COM S	11,300.00	0.00	0.00	0.00	80.0	0.00
10/01/2018	75599821	RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC COM B	34,206.91	0.00	0.00	0.00	80.0	0.00
10/03/2018	75599821	RF LAFFERTY & CO	NIO INC AMERICAN DEPOSITARY SHARES B	12,879.60	0.00	0.00	0.00	80.0	0.00
10/03/2018	75599821	RF LAFFERTY & CO	NIO INC AMERICAN DEPOSITARY SHARES S	12,879.60	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	1,502.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	1,502.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	15,157.60	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	3,755.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821	RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK B	1,502.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	S	75,377.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	15,159.80	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	4,506.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	7,510.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	1,502.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	751.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	6,008.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599821 RF LAFFERTY & CO	LEAP THERAPEUTICS INC COMMON STOCK	B	3,755.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	ARISTA NETWORKS INC COM	S	25,023.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	ARISTA NETWORKS INC COM	S	12,511.50	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	ARISTA NETWORKS INC COM	B	37,534.50	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNET	S	41,865.50	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNET	S	17,151.35	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNET	S	8,508.15	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNET	B	67,525.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	MARRIOTT VACATIONS WORLDWIDE CORPOR	B	33,469.39	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	MARRIOTT VACATIONS WORLDWIDE CORPOR	S	8,114.40	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	MARRIOTT VACATIONS WORLDWIDE CORPOR	S	10,243.42	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	MARRIOTT VACATIONS WORLDWIDE CORPOR	S	4,969.58	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	MARRIOTT VACATIONS WORLDWIDE CORPOR	S	10,142.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	NEWELL BRANDS INC SHS	S	15,592.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	NEWELL BRANDS INC SHS	B	19,492.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	NEWELL BRANDS INC SHS	S	1,950.00	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599821 RF LAFFERTY & CO	NEWELL BRANDS INC SHS	S	1,950.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BLACKROCK TAXABLE MUNICIPAL BOND TR	S	40,519.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BLACKROCK TAXABLE MUNICIPAL BOND TR	B	14,238.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BLACKROCK TAXABLE MUNICIPAL BOND TR	B	16,176.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BLACKROCK TAXABLE MUNICIPAL BOND TR	B	10,105.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	8,199.92	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	8,199.92	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	S	8,127.48	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	S	24,672.12	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	8,199.92	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	8,199.92	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	8,575.05	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	S	8,575.05	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	39,733.55	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	S	7,948.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/08/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	S	7,948.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	S	7,947.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	15,893.42	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	S	31,784.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TRANSUNION COM	S	21,315.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TRANSUNION COM	S	14,200.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TRANSUNION COM	B	35,515.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TYLER TECHNOLOGIES INC	B	32,752.50	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TYLER TECHNOLOGIES INC	B	5,458.75	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TYLER TECHNOLOGIES INC	S	43,670.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75599821 RF LAFFERTY & CO	TYLER TECHNOLOGIES INC	B	5,458.75	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	527,636.54	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	962,840.40	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	435,203.86	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ALIGN TECHNOLOGY INC	B	65,461.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ALIGN TECHNOLOGY INC	S	32,571.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ALIGN TECHNOLOGY INC	S	32,890.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ANSYS INC	B	32,360.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ANSYS INC	S	32,360.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,232.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,306.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	20,764.56	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	215,700.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,252.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,312.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,624.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	38,808.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	34,448.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,232.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	86,120.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,616.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,314.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,252.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	86.16	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,616.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,837.84	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,232.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,626.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	38,763.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,626.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,627.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,624.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,248.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,307.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,626.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	34,508.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,624.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	68,912.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,924.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,314.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	60,382.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,628.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,312.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,616.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	215,550.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	34,456.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,307.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,248.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,614.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,614.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	B	2,413,874.40	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,266.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,307.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	87,885.46	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	25,842.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,949.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	107,900.25	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,633.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,307.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	25,842.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,312.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,312.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	2,541.13	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,307.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,307.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,312.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,312.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,924.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,614.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,306.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,306.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,924.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,616.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,232.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,306.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,314.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,626.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	34,448.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,616.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	22,305.08	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,918.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	30,142.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,616.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	215,651.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,624.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	69,056.16	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	8,624.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,313.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,314.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	73,287.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	4,308.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	17,232.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	215,400.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CA INC	S	12,940.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CYBERARK SOFTWARE LTD SHS ISIN#IL00 B		27,344.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S		13,668.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S		6,838.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S		6,838.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	B	31,565.51	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	S	10,520.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	S	21,045.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GLOBAL PAYMENTS INC	B	28,425.35	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GLOBAL PAYMENTS INC	S	46,617.57	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GLOBAL PAYMENTS INC	B	9,096.11	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GLOBAL PAYMENTS INC	B	9,096.11	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	S	7,553.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	B	27,300.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	S	182.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	S	15,106.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	S	4,459.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	MOODYS CORP COM	B	86,630.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	MOODYS CORP COM	S	86,630.50	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	MSCI INC	B	31,726.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	MSCI INC	S	31,726.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	NVIDIA CORP	B	749,539.80	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	NVIDIA CORP	S	749,539.80	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	49,320.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	S	70,692.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	8,220.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	13,152.00	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599821 RF LAFFERTY & CO	PACIFIC ETHANOL INC COM PAR \$.001	B	51,517.71	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599821 RF LAFFERTY & CO	PACIFIC ETHANOL INC COM PAR \$.001	S	51,518.00	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599821 RF LAFFERTY & CO	RESMED INC	B	30,705.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/11/2018	75599821 RF LAFFERTY & CO	RESMED INC	S	30,705.00	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599821 RF LAFFERTY & CO	SHERWIN WILLIAMS COMPANY	B	32,948.80	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599821 RF LAFFERTY & CO	SHERWIN WILLIAMS COMPANY	S	32,948.80	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	24,835.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	9,934.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	34,769.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	32,270.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	48,443.90	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	16,093.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	32,290.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	32,336.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	32,190.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	64,537.60	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	S	322,560.50	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	32,230.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	32,170.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	B	7,681.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	S	30,733.36	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	B	921.72	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	B	22,363.35	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	B	7,680.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	S	6,915.01	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	B	921.48	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	B	7,685.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	FISERV INC	S	9,604.18	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	B	11,557.70	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	S	39,401.25	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	B	46,230.80	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	S	7,880.25	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	S	10,507.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	2,777.50	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	27,775.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	S	13,887.50	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	S	6,943.75	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	S	9,721.25	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	S	10,381.95	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	S	6,921.30	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	17,303.24	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ZOETIS INC CL A	S	26,415.00	0.00	0.00	0.00	80.0	0.00
10/12/2018	75599821 RF LAFFERTY & CO	ZOETIS INC CL A	B	8,805.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/12/2018	75599821 RF LAFFERTY & CO	ZOETIS INC CL A	B	17,610.00	0.00	0.00	0.00	80.0	0.00
10/15/2018	75599821 RF LAFFERTY & CO	PROSPECT CAPITAL CORPORATION	B	28,188.80	0.00	0.00	0.00	80.0	0.00
10/15/2018	75599821 RF LAFFERTY & CO	PROSPECT CAPITAL CORPORATION	S	3,523.60	0.00	0.00	0.00	80.0	0.00
10/15/2018	75599821 RF LAFFERTY & CO	PROSPECT CAPITAL CORPORATION	S	10,570.80	0.00	0.00	0.00	80.0	0.00
10/15/2018	75599821 RF LAFFERTY & CO	PROSPECT CAPITAL CORPORATION	S	5,637.76	0.00	0.00	0.00	80.0	0.00
10/15/2018	75599821 RF LAFFERTY & CO	PROSPECT CAPITAL CORPORATION	S	7,047.20	0.00	0.00	0.00	80.0	0.00
10/15/2018	75599821 RF LAFFERTY & CO	PROSPECT CAPITAL CORPORATION	S	1,409.44	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	B	11,790.10	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	B	11,790.10	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	B	17,685.15	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	S	69,561.59	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	B	29,475.25	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	S	1,179.01	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	SACHEM CAPITAL CORP COMMON SHARES	B	4,320.00	0.00	0.00	0.00	80.0	0.00
10/16/2018	75599821 RF LAFFERTY & CO	SACHEM CAPITAL CORP COMMON SHARES	S	4,320.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	236.39	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,150.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	10,867.55	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,290.66	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,150.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	1,354.50	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	2,150.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	B	430.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	KRYSTAL BIOTECH INC COM	S	21,629.10	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	MARKETAXESS HOLDINGS INC	B	9,635.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	MARKETAXESS HOLDINGS INC	B	19,270.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	MARKETAXESS HOLDINGS INC	S	28,905.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,659.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,657.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	B	26,580.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/17/2018	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	2,658.00	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599821 RF LAFFERTY & CO	VEEVA SYSTEMS INC CL A COM	B	28,833.00	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599821 RF LAFFERTY & CO	VEEVA SYSTEMS INC CL A COM	S	28,833.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	B	11,000.00	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599821 RF LAFFERTY & CO	CLEVELAND CLIFFS INC COM	S	11,000.00	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	S	50,297.40	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	B	7,544.24	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	B	10,058.98	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	S	42,748.20	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	INTUITIVE SURGICAL INC COM	B	75,442.38	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	VEEVA SYSTEMS INC CL A COM	S	9,012.00	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	VEEVA SYSTEMS INC CL A COM	S	9,010.00	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	VEEVA SYSTEMS INC CL A COM	S	9,014.00	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599821 RF LAFFERTY & CO	VEEVA SYSTEMS INC CL A COM	B	27,036.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	720.72	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	5,144.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	2,571.98	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,287.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	8,931.78	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,316.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	3,140.28	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	S	12,863.50	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	29,571.10	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	23,688.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,287.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,316.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	S	38,590.50	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,287.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,286.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	S	12,863.50	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,287.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	S	12,863.50	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	11,581.11	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	6,435.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,364.22	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	S	26,320.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	CYPRESS SEMICONDUCTOR CORP	B	1,287.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	33,696.10	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	3,369.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	50,550.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	6,739.22	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	3,369.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	3,368.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER S		6,739.22	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B		3,369.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER S		13,478.44	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B		3,369.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER S		16,848.05	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B		6,738.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B		3,369.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	S	69,342.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	6,667.50	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	9,334.50	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	53,340.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	S	54,606.03	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	54,606.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	SCRIPPS E W CO OHIO CL A NEW	S	17,000.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	SCRIPPS E W CO OHIO CL A NEW	S	17,000.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	SCRIPPS E W CO OHIO CL A NEW	B	34,000.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	TEXAS PACIFIC LAND TRUST SUB-SHARE	B	35,850.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	TEXAS PACIFIC LAND TRUST SUB-SHARE	S	7,887.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	TEXAS PACIFIC LAND TRUST SUB-SHARE	S	20,076.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	TEXAS PACIFIC LAND TRUST SUB-SHARE	S	7,887.00	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	65,552.79	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	6,555.28	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	9,832.92	0.00	0.00	0.00	80.0	0.00
10/23/2018	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	S	81,940.99	0.00	0.00	0.00	80.0	0.00
10/24/2018	75599821 RF LAFFERTY & CO	DXC TECHNOLOGY COMPANY COMMON STOCK	B	47,844.00	0.00	0.00	0.00	80.0	0.00
10/24/2018	75599821 RF LAFFERTY & CO	DXC TECHNOLOGY COMPANY COMMON STOCK	S	47,844.00	0.00	0.00	0.00	80.0	0.00
10/24/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	53,204.00	0.00	0.00	0.00	80.0	0.00
10/24/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	53,204.00	0.00	0.00	0.00	80.0	0.00
10/25/2018	75599821 RF LAFFERTY & CO	SOGOU INC ADR REPSTG CL A SHS ISIN#	B	5,789.90	0.00	0.00	0.00	80.0	0.00
10/25/2018	75599821 RF LAFFERTY & CO	SOGOU INC ADR REPSTG CL A SHS ISIN#	S	5,790.00	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599821 RF LAFFERTY & CO	MASTER SPIRIT INTERNATIONAL USA INC	B	280.00	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599821 RF LAFFERTY & CO	MASTER SPIRIT INTERNATIONAL USA INC	S	80.00	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599821 RF LAFFERTY & CO	MASTER SPIRIT INTERNATIONAL USA INC	S	200.00	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	210,142.40	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	52,617.98	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599821 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	262,760.30	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	3,606.34	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	4,250.33	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	20,736.45	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	9,788.63	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	6,826.28	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	15,455.74	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	6,053.50	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	4,250.33	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	S	125,320.28	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	2,447.16	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	8,629.45	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	15,970.93	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	2,575.96	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	4,250.33	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	3,992.73	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	9,531.04	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	2,060.77	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	1,287.98	0.00	0.00	0.00	80.0	0.00
10/18/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	3,606.34	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,842.16	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,842.16	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,842.16	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	12,912.44	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	20,982.71	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	3,228.11	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	11,298.38	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,842.16	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	8,070.27	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	11,298.38	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,842.16	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	3,228.11	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	25,824.88	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	1,614.05	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	19,368.66	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,842.16	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	3,228.11	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	S	158,177.36	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	8,070.27	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	4,740.42	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	4,241.43	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	4,241.43	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	6,736.39	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	S	137,921.39	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	2,694.56	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	2,744.46	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	10,728.33	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	4,740.42	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	2,245.46	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	3,991.94	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	1,496.98	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	4,740.42	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	10,478.83	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	17,464.72	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	22,704.14	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	7,484.88	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	9,480.85	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	COPART INC	B	16,965.73	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	2,934.02	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	2,934.02	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	5,868.04	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	4,890.04	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	4,890.04	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	S	132,030.95	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	978.01	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	1,956.01	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	3,912.03	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	21,516.15	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	8,802.06	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	15,648.11	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	4,890.04	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	6,846.05	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	10,758.08	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	16,626.12	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	3,912.03	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	9,780.07	0.00	0.00	0.00	80.0	0.00
10/19/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	4,890.04	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	1,799.98	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	3,599.96	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	1,799.98	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	17,999.80	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	1,799.98	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	1,799.98	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	3,599.96	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	115,198.71	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	1,799.98	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	17,999.80	0.00	0.00	0.00	80.0	0.00
10/22/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	8,999.90	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	1,985.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	9,927.00	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	4,467.15	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	992.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	1,985.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	7,445.25	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	496.35	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	4,963.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	992.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	4,963.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	3,474.45	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	1,985.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	S	60,554.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	1,985.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	2,481.75	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	1,985.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	7,445.25	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	992.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	1,985.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	2,431.20	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	16,714.51	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	1,519.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	1,519.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,558.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	7,597.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	S	136,147.28	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	22,792.51	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,558.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	10,636.51	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,558.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	16,714.51	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,558.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	10,636.51	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	9,117.01	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,558.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	4,558.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	3,039.00	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	6,078.00	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	21,091.82	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	5,376.35	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	2,894.96	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	4,962.78	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	4,549.22	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	20,264.69	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	5,376.35	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	S	159,222.53	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	1,654.26	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	11,166.26	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	4,962.78	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	7,857.74	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	12,406.95	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	24,813.90	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	3,308.52	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	7,857.74	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	2,481.39	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	12,820.52	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	PROOFPOINT INC	B	5,376.35	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	1,711.80	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	3,423.60	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	855.90	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	10,270.80	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	13,694.40	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	1,711.80	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	5,991.30	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	1,711.80	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	6,847.20	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	2,567.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	10,270.80	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	5,991.30	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	2,567.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	855.90	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	2,567.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	2,567.70	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	4,279.50	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	S	80,454.60	0.00	0.00	0.00	80.0	0.00
10/26/2018	75599823 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	2,567.70	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$16,070,506.25	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
10/03/2018	75599820 RF LAFFERTY & CO	FAIRVIEW PA SCH DIST G/O CAP PRIMAR B		47,395.00	0.00	0.00	0.00	80.0	0.00
10/03/2018	75599820 RF LAFFERTY & CO	FAIRVIEW PA SCH DIST G/O CAP PRIMAR S		47,868.50	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	448,602.75	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 01 S		447,656.82	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 05 B		443,344.93	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	445,973.63	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	448,377.75	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 05 S		443,749.93	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 01 B		447,386.82	0.00	0.00	0.00	80.0	0.00
10/05/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	445,636.13	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	54,759.66	0.00	0.00	0.00	80.0	0.00
10/11/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	54,622.76	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599826 RF LAFFERTY & CO	FIRSTENERGY SOLUTIONS CORP GTD SR N S		22,815.00	0.00	0.00	0.00	80.0	0.00
10/04/2018	75599826 RF LAFFERTY & CO	FIRSTENERGY SOLUTIONS CORP GTD SR N B		22,680.00	0.00	0.00	0.00	80.0	0.00
10/10/2018	75599826 RF LAFFERTY & CO	SEARS HLDGS CORP SR SECD 6.625%18	S	25,850.00	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599826 RF LAFFERTY & CO	CITIGROUP GLOBAL MKTS HLDGS 8.5% TO S		48,687.50	0.00	0.00	0.00	80.0	0.00
10/29/2018	75599826 RF LAFFERTY & CO	CITIGROUP GLOBAL MKTS HLDGS 8.5% TO B		48,250.00	0.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *				\$3,943,657.18	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/29/2018	75599822 RF LAFFERTY & CO	PUT SPY 11/16/18 270	B	9,500.00	0.00	0.00	0.00	80.0	0.00
10/30/2018	75599822 RF LAFFERTY & CO	PUT SPY 11/16/18 270	S	7,230.00	0.00	0.00	0.00	80.0	0.00
* OPTIONS SUBTOTAL *				\$16,730.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
10/03/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO S		55,400.00	0.00	0.00	0.00	80.0	0.00
10/03/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO B		54,800.00	0.00	0.00	0.00	80.0	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *				\$110,200.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018		FEE COUNT - 52		0.00	180.33	0.00	180.33	80.0	144.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$180.33	\$0.00	\$180.33		\$144.23

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

*** BROKER TRANSACTIONS TOTAL ***	\$20,141,093.43	\$180.33	\$0.00	\$180.33	\$144.23
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BROKER COMMISSION TOTALS

Broker Transactions	\$144.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.23
SIPC Assessment	\$-0.45

Check Amount	\$143.55
Year-to-date Earnings	\$639.02