



COMMISSION STATEMENT

October 29, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/25/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: 00086895596	BUY 2 Units @28.3600						
10/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @27.0200						
10/22/2018	***-**-153J - SHOCKEY, JEFFREY	AMERICAN FUNDS 2025 TARGET DATE-A	1,700.00	46.75	0.00	46.75	85.0	39.74
	ACCOUNT #: 00075824133	BUY 123 Units @13.8000						
10/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN FUNDS TAX ADVANTGED INC PO	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 15 Units @13.3000						
10/24/2018	***-**-992I - SMITH, FBO MR	BLACKROCK BALANCED CAP FD CL C	1,459.08	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010578	SELL 75 Units @19.4700						
10/24/2018	***-**-992I - SMITH, FBO MR	BLACKROCK US MORTGAGE PORT CL C	439.78	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010578	SELL 45 Units @9.7800						
10/24/2018	***-**-992I - SMITH, FBO MR	CAPITAL INCOME BUILDER-A	2,159.83	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010578	SELL 38 Units @57.5800						
10/24/2018	***-**-992I - SMITH, FBO MR	EATON VANCE SHT DUR STRATEGIC INCOM	198.11	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010578	SELL 29 Units @6.8000						
10/24/2018	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	1,211.77	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010578	SELL 52 Units @23.3500						
10/24/2018	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	2,325.20	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010578	SELL 105 Units @22.0800						
* MUTUAL FUNDS SUBTOTAL *			\$9,943.77	\$53.25	\$0.00	\$53.25		\$45.27
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
10/30/2018	***-**-44IR - MIXELL, JASON	AMERICAN LEGEND III TRAILS	100,000.00	3,000.00	0.00	3,000.00	100.0	3,000.00
		BUY 1 Units @100000.000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$100,000.00	\$3,000.00	\$0.00	\$3,000.00		\$3,000.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/29/2018	***-**-22IR - SHOCKEY, DEBORAH SAMMONS	MF TRAILS	0.00	5.41	0.00	5.41	85.0	4.60
	ACCOUNT #: 7200019359	BUY 0 Units @0.0000						
10/29/2018	***-**-53IR - SHOCKEY, JEFFREY SAMMONS	MF TRAILS	0.00	9.07	0.00	9.07	85.0	7.71
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$14.48	\$0.00	\$14.48		\$12.31



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<div>BROKER TRANSACTIONS (continued)</div>								
*** BROKER TRANSACTIONS TOTAL ***			\$109,943.77	\$3,067.73	\$0.00	\$3,067.73		\$3,057.58
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$3,057.58
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$3,057.58
					Year-to-date Earnings			\$110,493.23