

COMMISSION STATEMENT

November 30, 2018

 DREW NIXON-D350
 1509 West Panola

 BROKER #: D350
 BRANCH: DMV

Carthage, TX 75633

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	3.80	0.00	3.80	90.0	3.42
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	18.32	0.00	18.32	90.0	16.49
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.97	0.00	7.97	90.0	7.17
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.97	0.00	7.97	90.0	7.17
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	14.87	0.00	14.87	90.0	13.38
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.64	0.00	18.64	90.0	16.78
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.77	0.00	18.77	90.0	16.89
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.57	0.00	0.57	90.0	0.51
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	12.35	0.00	12.35	90.0	11.12
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	1.23	0.00	1.23	90.0	1.11
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	1.42	0.00	1.42	90.0	1.28
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK HI INC FD CL A	B	0.00	3.40	0.00	3.40	90.0	3.06
11/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	1.61	0.00	1.61	90.0	1.45
11/21/2018 mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.45	0.00	0.45	90.0	0.41
11/21/2018 mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.97	0.00	0.97	90.0	0.87
11/21/2018 mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	2.02	0.00	2.02	90.0	1.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$114.36	\$0.00	\$114.36		\$102.93
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$114.36	\$0.00	\$114.36		\$102.93

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None) NIXON, VARIOUS	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	83.88	0.00	83.88	90.0	75.49
11/14/2018 04000958576 DUBOSE, SAMANTHA	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	8.47	0.00	8.47	90.0	7.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$92.35	\$0.00	\$92.35	\$83.11

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/13/2018 (None) GRIFFIN, WAYNE	VAT AMERICAN GENERAL	B	0.00	42.24	0.00	42.24	90.0	38.02
11/15/2018 (None) KELLEY, STEPHEN	VAT AMERICAN GENERAL	B	0.00	33.22	0.00	33.22	90.0	29.90
11/16/2018 (None) MAURITZEN, JACK	VAT AMERICAN GENERAL	B	0.00	17.20	0.00	17.20	90.0	15.48

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11/16/2018 (None)	BELL, NERINA	VAT AMERICAN GENERAL	B	0.00	1.10	0.00	1.10	90.0	0.99
11/20/2018 (None)	WILLIAMS, TERR	VAT AMERICAN GENERAL	B	0.00	30.74	0.00	30.74	90.0	27.67
11/21/2018 (None)	CARTER, STEVE	VAT AMERICAN GENERAL	B	0.00	320.80	0.00	320.80	90.0	288.72
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$445.30	\$0.00	\$445.30		\$400.78
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$537.65	\$0.00	\$537.65		\$483.89

OVERRIDE TRANSACTIONS**BROKER: JIM HUGHES-D349 #138**

11/12/2018 000000002367136	JONES, JESS	MF FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50		0.15
11/25/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	28.55	0.00	28.55		2.86
11/19/2018 VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	11.25	0.00	11.25		1.13
11/19/2018 VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.56	0.00	3.56		0.36
11/19/2018 VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.90	0.00	2.90		0.29
11/19/2018 VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	9.53	0.00	9.53		0.95
11/19/2018 VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.74	0.00	2.74		0.27
11/19/2018 VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.71	0.00	1.71		0.17
11/19/2018 VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.37	0.00	3.37		0.34
11/19/2018 VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.17	0.00	9.17		0.92
11/19/2018 VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	15.37	0.00	15.37		1.54
11/19/2018 VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.07	0.00	10.07		1.01
11/19/2018 VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	21.95	0.00	21.95		2.20
11/19/2018 VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73		1.37
* JIM HUGHES-D349 SUBTOTAL *				\$150.00	\$135.40	\$0.00	\$135.40		\$13.56
*** OVERRIDE TRANSACTIONS TOTAL ***				\$150.00	\$135.40	\$0.00	\$135.40		\$13.56

LINKED CLEARING TRANSACTIONS

11/12/2018 123385782	AKINS, SAMMY	MF FRANKLIN DYNATECH FUND CLASS A	B	-45,040.66	0.00	5.00	-5.00		-4.50
11/12/2018 123385782	AKINS, SAMMY	MF FRANKLIN GROWTH FUND CLASS A	B	-46,694.80	0.00	5.00	-5.00		-4.50
11/16/2018 123385782	AKINS, SAMMY	MF FRK AGE HIGH INC FND - CL A	S	-63,120.04	0.00	5.00	-5.00		-4.50
11/21/2018 123385782	AKINS, SAMMY	MF FRANKLIN DYNATECH FUND CLASS A	S	-43,389.39	0.00	5.00	-5.00		-4.50
11/12/2018 368300127	NIXON, DREW	MF FRANKLIN DYNATECH FUND CLASS A	B	-79,157.82	0.00	5.00	-5.00		-4.50
11/12/2018 368300127	NIXON, DREW	MF FRANKLIN GROWTH FUND CLASS A	B	-56,034.25	0.00	5.00	-5.00		-4.50
11/21/2018 368300127	NIXON, DREW	MF FRANKLIN DYNATECH FUND CLASS A	S	-76,297.15	0.00	5.00	-5.00		-4.50
11/12/2018 368460939	BLAKE, TERRY	MF FRANKLIN DYNATECH FUND CLASS A	B	-21,074.06	0.00	5.00	-5.00		-4.50
11/12/2018 368460939	BLAKE, TERRY	MF FRANKLIN GROWTH FUND CLASS A	B	-35,880.70	0.00	5.00	-5.00		-4.50
11/16/2018 368460939	BLAKE, TERRY	MF FRK AGE HIGH INC FND - CL A	S	-32,969.54	0.00	5.00	-5.00		-4.50
11/21/2018 368460939	BLAKE, TERRY	MF FRANKLIN DYNATECH FUND CLASS A	S	-20,311.40	0.00	5.00	-5.00		-4.50
11/12/2018 368463292	WESTER, CONNIE	MF FRANKLIN DYNATECH FUND CLASS A	B	-36,088.43	0.00	5.00	-5.00		-4.50
11/12/2018 368463292	WESTER, CONNIE	MF FRANKLIN GROWTH FUND CLASS A	B	-55,444.39	0.00	5.00	-5.00		-4.50

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11/16/2018 368463292	WESTER, CONNIE	MF FRK AGE HIGH INC FND - CL A	S	-71,295.20	0.00	5.00	-5.00		-4.50
11/21/2018 368463292	WESTER, CONNIE	MF FRANKLIN DYNATECH FUND CLASS A	S	-34,801.00	0.00	5.00	-5.00		-4.50
11/12/2018 492641112	MOSELEY, TONI	MF FRANKLIN GROWTH FUND CLASS A	B	-9,140.38	0.00	5.00	-5.00		-4.50
11/12/2018 677625042	KING, TRAVIS	MF FRANKLIN DYNATECH FUND CLASS A	B	-49,340.55	0.00	5.00	-5.00		-4.50
11/12/2018 677625042	KING, TRAVIS	MF FRANKLIN GROWTH FUND CLASS A	B	-74,024.98	0.00	5.00	-5.00		-4.50
11/16/2018 677625042	KING, TRAVIS	MF FRK AGE HIGH INC FND - CL A	S	-79,632.87	0.00	5.00	-5.00		-4.50
11/21/2018 677625042	KING, TRAVIS	MF FRANKLIN DYNATECH FUND CLASS A	S	-47,518.02	0.00	5.00	-5.00		-4.50
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.39	0.00	9.39		8.45
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	16.09	0.00	16.09		14.48
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	20.07	0.00	20.07		18.06
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	21.98	0.00	21.98		19.78
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	35.28	0.00	35.28		31.75
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.00	0.00	4.00		3.60
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	15.70	0.00	15.70		14.13
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	20.43	0.00	20.43		18.39
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.26	0.00	24.26		21.83
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.50	0.00	24.50		22.05
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	32.35	0.00	32.35		29.12
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.29	0.00	4.29		3.86
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	8.26	0.00	8.26		7.43
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	9.28	0.00	9.28		8.35
11/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	10.37	0.00	10.37		9.33
* DREW NIXON-D352 SUBTOTAL *				<u>\$-977,255.63</u>	<u>\$256.25</u>	<u>\$100.00</u>	<u>\$156.25</u>		<u>\$140.61</u>
*** LINKED CLEARING TRANSACTIONS TOTAL ***				<u>\$-977,255.63</u>	<u>\$256.25</u>	<u>\$100.00</u>	<u>\$156.25</u>		<u>\$140.61</u>

ADJUSTMENTS TRANSACTIONS

BROKER: #0

11/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: FEDEX- FRANKLIN TEMPLETON	0.00	0.00	0.00	0.00	-28.00
11/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	111.89
11/30/2018	: SHAREFILE	0.00	0.00	0.00	0.00	-13.00
* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-114.11</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-114.11</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$727.43
Split Transactions	\$0.00
Override Transactions	\$13.56
Adjustments	\$-114.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$626.88
Year-to-date Commissions	\$95,243.12