



# COMMISSION STATEMENT

## December 10, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/03/2018	***-**-568J - MARSHALL, BRUCE	INVESTMENT EDGE OPT 1 BUY 1 Units @200000.000	200,000.00	12,000.00	0.00	12,000.00	70.0	8,400.00
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$200,000.00</b>	<b>\$12,000.00</b>	<b>\$0.00</b>	<b>\$12,000.00</b>		<b>\$8,400.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
12/11/2018	***-**-80FB - CURD, NANCY ACCOUNT #: 925344088	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.79	24.79	0.00	24.79	70.0	17.35
12/11/2018	***-**-33FB - AUDY, JAMES ACCOUNT #: 925433071	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	163.91	163.91	0.00	163.91	70.0	114.74
		<b>* RIA SUBTOTAL *</b>	<b>\$188.70</b>	<b>\$188.70</b>	<b>\$0.00</b>	<b>\$188.70</b>		<b>\$132.09</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/10/2018	***-**-0235 - RKS, PLUMBING & ACCOUNT #: 26983	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	58.49	0.00	58.49	70.0	40.94
12/10/2018	***-**-0235 - RKS, PLUMBING & ACCOUNT #: 26983	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	824.24	0.00	824.24	70.0	576.97
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$882.73</b>	<b>\$0.00</b>	<b>\$882.73</b>		<b>\$617.91</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$200,188.70</b>	<b>\$13,071.43</b>	<b>\$0.00</b>	<b>\$13,071.43</b>		<b>\$9,150.00</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$9,150.00
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$1.75
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$9,151.75
						Year-to-date Earnings		\$65,963.85