DOMINION INVESTOR SERVICES, INC.

COMMISSION STATEMENT

October 31, 2018

HOUSE

BROKER #: D000 BRANCH: DMA

, TX

COMMISSION STATEMENT for HOUSE

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS								
PRODUCT CATEGORY: STOCKS								
10/23/2018 214755472 SERVICES INC,	S SPDR S&P 500 ETF TRUST	В	1,503.15	0.00	16.00	-16.00	0.0	0.00
10/24/2018 214755472 SERVICES INC,	S SPDR S&P 500 ETF TRUST	S	-1,557.91	0.00	16.00	-16.00	0.0	0.00
10/02/2018 307127824 GUNTER, CAROLIN	NE S ACURA PHARMACEUTICALS INC 1	PAR S	-9.07	0.00	15.00	-15.00	0.0	0.00
10/02/2018 338855707 HICKMAN, MARK	S GLOBALSCAPE INC	S	-15.19	15.00	15.00	0.00	0.0	0.00
10/19/2018 491460657 MOORES, MICHAEI	S BLACKROCK MUNIHOLDINGS QUA	LITY S	-39,898.13	15.00	15.00	0.00	0.0	0.00
10/19/2018 491460657 MOORES, MICHAEI	S BLACKROCK MUNIHOLDINGS INV	T S	-41,936.50	15.00	15.00	0.00	0.0	0.00
10/19/2018 491460657 MOORES, MICHAEI	S NGL ENERGY PARTNERS LP	В	115,360.45	15.00	15.00	0.00	0.0	0.00
10/02/2018 707344427 TYLER, MICHAEL	S REGENCY AFFILIATES INC COM	PAR S	-12.99	15.00	15.00	0.00	0.0	0.00
10/02/2018 800058707 WHITE, D & P	S ACURA PHARMACEUTICALS INC	PAR S	-23.35	15.00	15.00	0.00	0.0	0.00
	* STOCKS SUBT	OTAL *	\$33,410.46	\$90.00	\$137.00	\$-47.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 1	2B1 TRAILS							
10/19/2018 mfc033980 VARIOUS,	MFT CAPITAL INCOME BUILDER-A	В	0.00	0.39	0.00	0.39	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT CAPITAL INCOME BUILDER-A	В	0.00	2.13	0.00	2.13	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT CAPITAL INCOME BUILDER-A	В	0.00	3.45	0.00	3.45	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT COLUMBIA LARGE CAP GROWTH I	FUND B	0.00	0.67	0.00	0.67	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT FRANKLIN INCOME FUND CLASS	СВ	0.00	0.06	0.00	0.06	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT FRANKLIN INCOME FUND CLASS	А В	0.00	8.39	0.00	8.39	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT PUTNAM 746444108	В	0.00	0.08	0.00	0.08	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT PUTNAM 746444108	В	0.00	0.09	0.00	0.09	0.0	0.00
10/19/2018 mfc033980 VARIOUS,	MFT THE INCOME FUND OF AMERICA-	-А В	0.00	2.48	0.00	2.48	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AIM ASIA PACIFIC GROWTH FU	ND - B	0.00	2.86	0.00	2.86	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AIM ASIA PACIFIC GROWTH FU	ND - B	0.00	3.00	0.00	3.00	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AIM CHARTER FUND - CLASS A	В	0.00	0.52	0.00	0.52	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AIM CHARTER FUND - CLASS A	В	0.00	0.53	0.00	0.53	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AIM INTERNATIONAL GROWTH FU	UND - B	0.00	0.06	0.00	0.06	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AIM INTERNATIONAL GROWTH FO	UND - B	0.00	0.07	0.00	0.07	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.39	0.00	0.39	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT ALLIANCEBERNSTEIN LARGE-CA	Р В	0.00	1.13	0.00	1.13	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AMCAP FUND-A	В	0.00	4.11	0.00	4.11	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT AMERICAN BALANCED FUND-A	В	0.00	4.77	0.00	4.77	0.0	0.00

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			COMMISSION S		INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
10/19/2018 mfc	c434562 HOUSE	434562	MFT AMERICAN BALANCED FUND-F1	В	0.00	9.09	0.00	9.09	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT AMERICAN FDS INTL GROWTH &	В	0.00	1.20	0.00	1.20	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT AMERICAN HIGH-INCOME TRUST-A	В	0.00	1.05	0.00	1.05	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT AMERICAN HIGH-INCOME TRUST-A	В	0.00	2.51	0.00	2.51	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT BLACKROCK S&P 500 INDEX FD	В	0.00	0.52	0.00	0.52	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT DELAWARE SELECT GROWTH FUND A	А В	0.00	0.18	0.00	0.18	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT DREYFUS PREMIER TECHNOLOGY	В	0.00	0.18	0.00	0.18	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT EUROPACIFIC GROWTH FUND-A	В	0.00	3.42	0.00	3.42	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FEDERATED KAUFMANN FUND	В	0.00	2.23	0.00	2.23	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FEDERATED EQUITY INCOME FUND	В	0.00	1.15	0.00	1.15	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FIDELITY ADV TECHNOLOGY CL T	В	0.00	4.36	0.00	4.36	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FIDELITY ADVISOR EMERGING AS:	IA B	0.00	1.77	0.00	1.77	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FIDELITY ADVISOR EQUITY INCOM	Æ B	0.00	2.41	0.00	2.41	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FIDELITY ADVISOR MID CAP STO	CK B	0.00	3.55	0.00	3.55	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRANKLIN INCOME FUND CLASS A	В	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRANKLIN INCOME FUND CLASS A	В	0.00	0.97	0.00	0.97	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK AGGRESSIVE GRWTH - CL A	В	0.00	0.80	0.00	0.80	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK AGGRESSIVE GRWTH - CL A	В	0.00	1.91	0.00	1.91	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK GOLD FUND - CLASS A	В	0.00	0.38	0.00	0.38	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK TEMP FOUNDING FUNDS ALLO	с в	0.00	1.06	0.00	1.06	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK TEMP FOUNDING FUNDS ALLO	с в	0.00	1.18	0.00	1.18	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK TEMP FOUNDING FUNDS ALLO	с в	0.00	1.81	0.00	1.81	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FRK TEMP FOUNDING FUNDS ALLO	С В	0.00	4.82	0.00	4.82	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT FUNDAMENTAL INVESTORS-A	В	0.00	6.77	0.00	6.77	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT GROWTH FUND OF AMERICA CLASS	F B	0.00	1.33	0.00	1.33	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT INTERMEDIATE BOND FUND OF	В	0.00	4.08	0.00	4.08	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MASS INVESTORS GROWTH STOCK	В	0.00	0.60	0.00	0.60	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MASS INVESTORS GROWTH STOCK	В	0.00	0.85	0.00	0.85	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MASSACHUSETTS INVESTORS TRUST	Г-А В	0.00	0.55	0.00	0.55	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MASSACHUSETTS INVESTORS TRUST	Г-А В	0.00	1.72	0.00	1.72	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MFS TECHNOLOGY FUND-A	В	0.00	1.21	0.00	1.21	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MFS TOTAL RETURN FUND-A	В	0.00	0.91	0.00	0.91	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MFS TOTAL RETURN FUND-A	В	0.00	1.02	0.00	1.02	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT MFS TOTAL RETURN FUND-A	В	0.00	1.02	0.00	1.02	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT NEW PERSPECTIVE FUND-A	В	0.00	2.86	0.00	2.86	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT NEW WORLD FUND-A	В	0.00	3.16	0.00	3.16	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT NEW WORLD FUND-A	В	0.00	18.20	0.00	18.20	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT OBERWEIS CHINA OPPORTUNITIES	FD B	0.00	0.67	0.00	0.67	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT PIMCO ALL ASSET A	В	0.00	1.14	0.00	1.14	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT PRINCIPAL INVESTORS SAM CONSI	ERV B	0.00	10.18	0.00	10.18	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT PRINCIPAL INVESTORS SAM CONSI	ERV B	0.00	14.68	0.00	14.68	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT PRUDENTIAL QMA STOCK INDEX FI	ОСВ	0.00	3.44	0.00	3.44	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT PUTNAM 746444876	В	0.00	16.16	0.00	16.16	0.0	0.00
10/19/2018 mfc	c434562 HOUSE	434562	MFT PUTNAM 746745108	В	0.00	0.07	0.00	0.07	0.0	0.00

COMMISSION STATEMENT for HOUSE

10/10/2018 000000001566633 SHAWN M

10/15/2018 00064309614 DELISE, KOPAVI

10/10/2018 00060428524 FULLEM, R

TRADE DATE CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM 746802800	В	0.00	1.66	0.00	1.66	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM 746802800	В	0.00	1.74	0.00	1.74	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM 746802800	В	0.00	4.29	0.00	4.29	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	В	0.00	2.10	0.00	2.10	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	В	0.00	2.70	0.00	2.70	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700 FD	В	0.00	13.25	0.00	13.25	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM MULTI-CAP CORE FUND-A	В	0.00	2.34	0.00	2.34	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	В	0.00	0.45	0.00	0.45	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	В	0.00	1.31	0.00	1.31	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	В	0.00	4.80	0.00	4.80	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT RUSSELL LIFEPOINTS BAL STRATEG	Y B	0.00	34.63	0.00	34.63	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT SMALLCAP GROWTH - CLASS A	В	0.00	1.09	0.00	1.09	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	В	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	В	0.00	1.62	0.00	1.62	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	0.15	0.00	0.15	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	3.10	0.00	3.10	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	4.46	0.00	4.46	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	В	0.00	1.96	0.00	1.96	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	В	0.00	2.51	0.00	2.51	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	В	0.00	6.40	0.00	6.40	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	В	0.00	20.09	0.00	20.09	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	В	0.00	0.19	0.00	0.19	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	В	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	В	0.00	2.92	0.00	2.92	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT TIMOTHY PLAN SMALL CAP VALUE F	D B	0.00	0.62	0.00	0.62	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT TIMOTHY PLAN SMALL CAP VALUE F	D B	0.00	0.64	0.00	0.64	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	В	0.00	0.02	0.00	0.02	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	В	0.00	0.10	0.00	0.10	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	6.99	0.00	6.99	0.0	0.00
10/19/2018 mfc434562 HOUSE 434562	MFT WELLS FARGO ENTERPRISE-A	В	0.00	0.20	0.00	0.20	0.0	0.00
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL	· *	\$0.00	\$296.81	\$0.00	\$296.81		\$0.00
	*** CLEARING TRANSACTIONS TOTAL	***	\$33,410.46	\$386.81	\$137.00	\$249.81		\$0.00
	DIRECT T	RANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
10/10/2018 (None) MCCLOSKEY, BILL	MF OPPENHEIMER	В	50.00	2.38	0.00	2.38	0.0	0.00
	MF OPPENHEIMER MF BLACKROCK	В	50.00	2.38	0.00	2.38	0.0	0.00
10/11/2018 (None) PHAN, NONNIE 10/12/2018 (None) BEAMON, LIN	MF OPPENHEIMER	В	160.00	7.60	0.00		0.0	0.00
		В				7.60		
10/15/2018 (None) VARIOUS, HOUSE	MF VIRTUS	B	100.00	5.00	0.00	5.00	0.0	0.00

В

MF FRK TEMP FOUNDING FUNDS ALLOC

MF CAPITAL INCOME BUILDER-A

MF MFS EMERGING GROWTH FUND CLASS B

50.00

100.00

83.00

2.50

4.00

3.11

0.00

0.00

0.00

2.50 0.0

3.11 0.0

0.0

4.00

0.00

0.00

0.00

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TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/15/2018 00064309614 DELISE, KOPAVI	MF	THE INCOME FUND OF AMERICA-A	В	83.00	3.11	0.00	3.11	0.0	0.00
10/26/2018 00064738666 ROWLEY, GREGORY	MF	FUNDAMENTAL INVESTORS-A	В	80.00	2.20	0.00	2.20	0.0	0.00
10/24/2018 00067001641 TAN-COLON, JOANA	MF	THE GROWTH FUND OF AMERICA-A	В	50.00	1.88	0.00	1.88	0.0	0.00
10/10/2018 00067093803 P AHMU,	MF	AMERICAN BALANCED FUND-A	В	100.00	3.75	0.00	3.75	0.0	0.00
10/16/2018 00067697270 LAWRENCE,	MF	THE GROWTH FUND OF AMERICA-A	В	50.00	2.50	0.00	2.50	0.0	0.00
10/15/2018 00067833895 CURL, THOMAS	MF	THE GROWTH FUND OF AMERICA-A	В	50.00	2.50	0.00	2.50	0.0	0.00
10/15/2018 00068779798 ULLOA, AUDREY	MF	COLLEGE ENROLLMENT FUND-529A	В	150.00	3.00	0.00	3.00	0.0	0.00
10/08/2018 00081410354 VAN STONE, CATHY	MF		В	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00081410354 VAN STONE, CATHY		SMALLCAP WORLD FUND-A	В	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00081410354 VAN STONE, CATHY		THE GROWTH FUND OF AMERICA-A	В	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00081410354 VAN STONE, CATHY		THE INCOME FUND OF AMERICA-A	В	62.50	2.34	0.00	2.34	0.0	0.00
10/08/2018 00085852490 BARRETERO, JOHN	MF		В	0.99	0.05	0.00	0.05	0.0	0.00
10/08/2018 00085852490 BARRETERO, JOHN	MF		В	33.00	1.65	0.00	1.65	0.0	0.00
10/08/2018 00085852490 BARRETERO, JOHN	MF			0.99	0.05	0.00	0.05	0.0	0.00
						0.00	1.65	0.0	
10/08/2018 00085852490 BARRETERO, JOHN	MF			33.00	1.65				0.00
10/08/2018 00085852490 BARRETERO, JOHN	MF		В	1.02	0.05	0.00	0.05	0.0	0.00
10/08/2018 00085852490 BARRETERO, JOHN	MF		В	34.00	1.70	0.00	1.70	0.0	0.00
10/08/2018 00085857400 MUNOZ, EUSEVIO	MF		В	0.27	0.01	0.00	0.01	0.0	0.00
10/08/2018 00085857400 MUNOZ, EUSEVIO	MF		В	9.24	0.46	0.00	0.46	0.0	0.00
10/08/2018 00085857400 MUNOZ, EUSEVIO	MF			0.28	0.01	0.00	0.01	0.0	0.00
10/08/2018 00085857400 MUNOZ, EUSEVIO	MF			9.24	0.46	0.00	0.46	0.0	0.00
10/08/2018 00085857400 MUNOZ, EUSEVIO	MF		В	0.29	0.01	0.00	0.01	0.0	0.00
10/08/2018 00085857400 MUNOZ, EUSEVIO	MF	NEW WORLD FUND-A	В	9.52	0.48	0.00	0.48	0.0	0.00
10/08/2018 00957551520 CATHERINE HATCHER	MF	PIONEER VALUE FUND A	В	25.00	1.25	0.00	1.25	0.0	0.00
10/15/2018 03910377153 MCCARTNEY	MF	INVESCO AMERICAN FRANCHISE FUND	В	100.00	5.00	0.00	5.00	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL	*	\$1,662.84	\$68.22	\$0.00	\$68.22		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIE	_								
10/15/2018 (None) PITTMAN, ERIC	VA	NATIONWIDE	В	45.00	0.45	0.00	0.45	0.0	0.00
10/10/2020 7200000913 EVANS	VA	SAMMONS FINANCIAL	В	32,457.75	13.52	0.00	13.52	0.0	0.00
		* VARIABLE ANNUITIES SUBTOTAL	*	\$32,502.75	\$13.97	\$0.00	\$13.97		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRA	ILS							
09/30/2018 (None) VARIOUS, HOUSE	MF'	Γ AIG	В	0.00	17.48	0.00	17.48	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MF'	r Aig	В	0.00	8.42	0.00	8.42	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MF'	T DAVIS	В	0.00	37.22	0.00	37.22	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MF'	I DELWARE	В	0.00	17.70	0.00	17.70	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MF'	I JOHN HANCOCK MUTUAL FUND	В	0.00	6.34	0.00	6.34	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MF'	Γ NUVEEN 12B-1	В	0.00	156.47	0.00	156.47	0.0	0.00
09/30/2018 (None) VARIOUS, HOUSE	MF'	I PRUDENTIAL MUTUAL FUNDS	В	0.00	23.61	0.00	23.61	0.0	0.00
10/08/2018 00003858610 JAUER, BRYAN	MF'	r deutsche gnma fund-a	В	0.00	134.58	0.00	134.58	0.0	0.00
10/08/2018 00003858610 JAUER, BRYAN		r deutsche managed municipal bond		0.00	152.53	0.00	152.53	0.0	0.00
10/08/2018 00009575488 VERNON, SAMUEL		I DEUTSCHE CORE EQUITY FUND-A	В	0.00	53.21	0.00	53.21	0.0	0.00
10/08/2018 00009575488 VERNON, SAMUEL		I DEUTSCHE CAPITAL GROWTH FUND-A		0.00	19.27	0.00	19.27	0.0	0.00
10/08/2018 00089135068 JAUER, BRYAN		I DEUTSCHE GLOBAL INCOME BUILDER		0.00	13.62	0.00	13.62	0.0	0.00

10/08/2018 00099718927 AUTH MACT		TOT HOUSE		aa						
10/08/2018 00089731978 GIFT MIN ACT - MFT DEUTSCHE CAPITAL GROWTH FUND-A B	TRADE DATE CLIENT	TNVESTMENT	i	B/S					RATE	
10/08/2018 00090418977 JALUEK, DAVID				В						0.00
10/08/2018 00097884214 JAUER, ELIZABETH MFT DEUTSCHE SMALL CAP GROWTH B	10/08/2018 00089732978 GIFT MIN ACT -	MFT DEUTSCHE	CAPITAL GROWTH FUND-A	В	0.00	1.16	0.00	1.16	0.0	0.00
10/08/2018 00098155878 BAHRKE JT TEN, MFT DEUTSCHE SMALL CAF GROWTH B 0.00 14.47 0.00 14.47 0.0 0.0 0.00 10/08/2018 00133195927 THOMAS, AUDREY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 0.30 0.00 0.30 0.0 0.0 0.00 10/08/2018 00133195927 THOMAS, AUDREY MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 00133195940 THOMAS, ANDREY MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 00133195940 THOMAS, ANDREW MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 00133195940 THOMAS, ANDREW MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 001333195940 THOMAS, ANDREW MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 3.60 0.00 3.60 0.00 3.60 0.0 0.00 10/08/2018 00133319540 HILDERBACK, RUTH MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 3.60 0.00 3.60 0.00 3.60 0.0 0.00 10/08/2018 00133319540 HILDERBACK, RUTH MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 3.60 0.00 3.60 0.00 3.60 0.0 0.00 10/08/2018 00133281554 HILDERBACK, RUTH MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 4.42 0.00 4.42 0.0 0.00 10/08/2018 00133281554 HILDERBACK, RUTH MFT DEUTSCHE SAMAL CAP CORE FUND—A B 0.00 4.42 0.00 4.42 0.0 0.00 10/08/2018 0013136291 GO, MICHARL MFT DEUTSCHE GROWN FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 0013156215 GO, MICHARL MFT DEUTSCHE GROWN FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 0033345021458 VANDENHEUVEL, ITA MFT DEUTSCHE GROWN FUND—A B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 10/08/2018 0033345021458 WANDENHEUVEL, ITA MFT DEUTSCHE GROWN FUND—A B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 0.00 10/08/2018 003345021458 MARTIN JT TEN, MFT DEUTSCHE GROWN FUND—A B 0.00 5.61 0.00 5.61 0.00 5.40 0.00 10/08/2018 003345021458 MARTIN JT TEN, MFT DEUTSCHE GROWN FUND—A B 0.00 5.66 0.00 5.40 0.00 10/08/2018 003345021458 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 5.46 0.00 5.46 0.00 5.46 0.0 0.00 10/08/2018 003345021458 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 5.46 0.00 5.46 0.00 5.46 0.00 5.46 0.00 5.46 0.00 5.46 0.00	10/08/2018 00090418977 JAUER, DAVID	MFT DEUTSCHE	SMALL CAP GROWTH	В	0.00	1.70	0.00	1.70	0.0	0.00
10/08/2018 00133013477 CALDCLEVGH, OWEN MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 3.24 0.00 3.24 0.0 0.0 0.00 10/08/2018 001330135927 THOMAS, AUDREY MFT DEUTSCHE CROCT B 0.00 0.30 0.00 0.30 0.00 0.30 0.0 0.00 10/08/2018 00133195940 THOMAS, ANDREW MFT DEUTSCHE CROCT EQUITY DIVIDEND B 0.00 0.30 0.00 0.30 0.00 0.30 0.0 0.0 0	10/08/2018 00097884214 JAUER, ELIZABETH	MFT DEUTSCHE	SMALL CAP GROWTH	В	0.00	38.78	0.00	38.78	0.0	0.00
10/08/2018 001333195927 THOMAS, AUDREY MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.00 0.89 0.0 0.00 0.0	10/08/2018 00098155878 BAHRKE JT TEN,	MFT DEUTSCHE	SMALL CAP GROWTH	В	0.00	14.47	0.00	14.47	0.0	0.00
10/08/2018 00133195927 THOMAS, AUDREY MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 00133195940 THOMAS, ANDREN MFT DEUTSCHE CROCI B 0.00 0.89 0.00 0.30 0.0 0.00 10/08/2018 00133195940 THOMAS, ANDREN MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 00133128154 BILDERBACK, RUTH MFT DEUTSCHE CROCI B 0.00 3.60 0.00 3.60 0.00 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 3.82 0.00 3.82 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 4.42 0.00 4.42 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 4.42 0.00 4.42 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE GNALL CAP GROWTH B 0.00 1.94 0.00 1.94 0.0 0.00 10/08/2018 001313281554 BILDERBACK, RUTH MFT DEUTSCHE GNALL CAP GROWTH B 0.00 5.41 0.00 5.41 0.00 5.00 10/08/2018 001313281555 BILDERBACK, RUTH MFT DEUTSCHE CROCI INTERNATIONAL B 0.00 5.41 0.00 5.41 0.00 6.87 0.0 0.00 10/08/2018 00911136291 GO, MICHAEL MFT DEUTSCHE CROCI INTERNATIONAL B 0.00 6.87 0.00 6.87 0.00 6.87 0.0 0.00 10/08/2018 009200341599 VANDENHRUPLE, ITA MFT DEUTSCHE CROCI INTERNATIONAL B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 5.61 0.00 0.00 10/08/2018 00933455038 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.00 7.16 0.00 10/08/2018 00933455038 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 29.27 0.00 29.27 0.0 0.00 10/08/2018 00933454048 VARDENHRUPLE, ITA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.00 0.00 10/08/2018 00933454048 VARDENHRUPLE, ITA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.00 54.45 0.00 0.00	10/08/2018 00133013477 CALDCLEVGH, OWEN	MFT DEUTSCHE	GLOBAL INCOME BUILDER	В	0.00	3.24	0.00	3.24	0.0	0.00
10/08/2018 00133195940 THOMAS, ANDREW MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.30 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 001332195940 THOMAS, ANDREW MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 0.00 10/08/2018 00133219554 BILDEREACK, RUTH MFT DEUTSCHE CROCI B B 0.00 3.60 0.00 3.60 0.00 3.60 0.0 0.00 10/08/2018 00133231554 BILDERBACK, RUTH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 3.82 0.00 3.82 0.0 0.00 10/08/2018 00133231554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 4.42 0.00 4.42 0.0 0.00 10/08/2018 00133231554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 1.94 0.00 1.94 0.0 0.00 10/08/2018 00133231554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 00133231554 BILDERBACK, RUTH MFT DEUTSCHE GROWA FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 001313221554 BILDERBACK, RUTH MFT DEUTSCHE GROWA FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 00911136291 GO, MICHAEL MFT DEUTSCHE GROWA FUND—A B 0.00 6.87 0.00 6.87 0.00 6.87 0.0 0.00 10/08/2018 0092003345058 OG, MICHAEL MFT DEUTSCHE GROWA FUND—A B 0.00 5.61 0.00 5.61 0.0 0.00 10/08/2018 00933450580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 00933450580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.00 7.16 0.00 10/08/2018 009334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.4.28 0.00 1.71 0.00 1.71 0.00 1.71 0.00 0.00	10/08/2018 00133195927 THOMAS, AUDREY	MFT DEUTSCHE	CROCI	В	0.00	0.30	0.00	0.30	0.0	0.00
10/08/2018 00133195940 THOMAS, ANDREW MFT DEUTSCHE CROCI EQUITY DIVIDEND B 0.00 0.89 0.00 0.89 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE CROCI B 0.00 3.60 0.00 3.60 0.00 3.60 0.00 0.00	10/08/2018 00133195927 THOMAS, AUDREY	MFT DEUTSCHE	CROCI EQUITY DIVIDEND	В	0.00	0.89	0.00	0.89	0.0	0.00
10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE GROCI B 0.00 3.60 0.00 3.60 0.00 3.60 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE GROBAL INCOME BUILDER B 0.00 4.42 0.00 3.82 0.00 3.82 0.0 0.00 10/08/2018 001332815554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 4.42 0.00 4.42 0.00 4.42 0.0 0.00 10/08/2018 001332815554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP GROWTH B 0.00 1.94 0.00 1.94 0.00 1.94 0.0 0.00 10/08/2018 09011150215 GO, MICHAEL MFT DEUTSCHE GRMA FUND—A B 0.00 5.41 0.00 5.41 0.00 5.41 0.00 10/08/2018 09011150215 GO, MICHAEL MFT DEUTSCHE GRMA FUND—A B 0.00 6.87 0.00 6.87 0.00 6.87 0.00 10/08/2018 09011150215 GO, MICHAEL MFT DEUTSCHE GRMA FUND—A B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 10/08/2018 09200338505 GO, MICHAEL MFT DEUTSCHE GRMA FUND—A B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 10/08/2018 0933450580 FRIVETT, PATRICIA MFT DEUTSCHE GRMA FUND—A B 0.00 7.16 0.00 7.16 0.00 10/08/2018 0933450580 FRIVETT, PATRICIA MFT DEUTSCHE GRMA FUND—A B 0.00 7.16 0.00 7.16 0.00 7.16 0.00 10/08/2018 0933450580 FRIVETT, PATRICIA MFT DEUTSCHE GRMA FUND—A B 0.00 7.16 0.00 7.16 0.00 7.16 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GROMA FUND—A B 0.00 7.16 0.00 7.16 0.00 7.16 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.00 1.71 0.00 1.00 1	10/08/2018 00133195940 THOMAS, ANDREW	MFT DEUTSCHE	CROCI	В	0.00	0.30	0.00	0.30	0.0	0.00
10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 3.82 0.00 3.82 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 4.42 0.00 4.42 0.0 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP GROWTH B 0.00 1.94 0.00 1.94 0.0 0.00 10/08/2018 00911136291 GO, MICHAEL MFT DEUTSCHE GNMA FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 00910136291 GO, MICHAEL MFT DEUTSCHE GNMA FUND—A B 0.00 6.87 0.00 6.87 0.00 6.87 0.0 0.00 10/08/2018 009200341598 CANDELLE MFT DEUTSCHE GNMA FUND—A B 0.00 45.80 0.00 45.80 0.0 0.00 10/08/2018 09200341598 VANDENHEUUEL, ITA MFT DEUTSCHE GNMA FUND—A B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 10/08/2018 093345204598 VANDENHEUUEL, ITA MFT DEUTSCHE GNMA FUND—A B 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 09334524248 THROCKMORTON, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 09334524248 THROCKMORTON, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 0933454245 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 0933454425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.428 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, FATSY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.428 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, FATSY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 09344771574 CITIGROUP GLOBAL MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54	10/08/2018 00133195940 THOMAS, ANDREW	MFT DEUTSCHE	CROCI EQUITY DIVIDEND	В	0.00	0.89	0.00	0.89	0.0	0.00
10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP CORE FUND—A B 0.00 4.42 0.00 1.94 0.00 1.94 0.0 0.00 10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP GROWTH B 0.00 5.41 0.00 1.94 0.0 0.00 1.94 0.0 0.00 10/08/2018 09011150215 GO, MICHAEL MFT DEUTSCHE GNMA FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 10/08/2018 09011150215 GO, MICHAEL MFT DEUTSCHE GNMA FUND—A B 0.00 6.87 0.00 6.87 0.00 6.87 0.00 10/08/2018 09200338505 GO, MICHAEL MFT DEUTSCHE GNMA FUND—A B 0.00 45.80 0.00 45.80 0.00 45.80 0.0 0.00 10/08/2018 09200341598 VANDENNEUVEL, ITA MFT DEUTSCHE GNMA FUND—A B 0.00 5.61 0.00 5.61 0.0 0.00 10/08/2018 09334500580 FRIVETT, PATRICIA MFT DEUTSCHE GNMA FUND—A B 0.00 7.16 0.00 7.16 0.00 7.16 0.00 10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GNMA FUND—A B 0.00 29.27 0.00 29.27 0.0 0.00 10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GNMA FUND—A B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334546134 HERRON, KENNETH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.4.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 13.97 0.00 13.97 0.0 0.00 10/08/2018 0933471574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND—A B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 0933471574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND—A B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 0933471574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND—A B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 0930471574 CITIGROUP GLOBAL MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 (None) SIMON VAT PHOENIX LIFE B 0.00 54.45 0.00	10/08/2018 00133281554 BILDERBACK, RUTH	MFT DEUTSCHE	CROCI	В	0.00	3.60	0.00	3.60	0.0	0.00
10/08/2018 00133281554 BILDERBACK, RUTH MFT DEUTSCHE SMALL CAP GROWTH B 0.00 1.94 0.00 1.94 0.0 0.00 1.00/08/2018 09011136291 GO, MICHABL MFT DEUTSCHE GINA FUND—A B 0.00 5.41 0.00 5.41 0.0 0.00 1.00/08/2018 09011150215 GO, MICHABL MFT DEUTSCHE GINA FUND—A B 0.00 6.87 0.00 6.87 0.00 45.80 0.00 45.80 0.00 45.80 0.00 45.80 0.00 1.00/08/2018 09200338505 GO, MICHABL MFT DEUTSCHE GINA FUND—A B 0.00 45.80 0.00 45.80 0.00 5.61 0.0 0.00 10/08/2018 09200341598 VANDENHEUVEL, ITA MFT DEUTSCHE GINA FUND—A B 0.00 5.61 0.00 5.61 0.0 0.00 10/08/2018 09334500580 PRIVETT, PATRICIA MFT DEUTSCHE GINA FUND—A B 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 093345234248 THROCKMORTON, MFT DEUTSCHE GINA FUND—A B 0.00 29.27 0.00 29.27 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 1.71 0.0 1.00 1.0	10/08/2018 00133281554 BILDERBACK, RUTH	MFT DEUTSCHE	GLOBAL INCOME BUILDER	В	0.00	3.82	0.00	3.82	0.0	0.00
10/08/2018 09011136291 GO, MICHAEL MFT DEUTSCHE GNMA FUND-A B 0.00 5.41 0.00 5.41 0.0 0.01 10/08/2018 090011150215 GO, MICHAEL MFT DEUTSCHE GROCI INTERNATIONAL B 0.00 6.87 0.00 6.87 0.00 6.87 0.00 0.01 10/08/2018 09200338505 GO, MICHAEL MFT DEUTSCHE GNMA FUND-A B 0.00 45.80 0.00 45.80 0.00 45.80 0.0 0.01 10/08/2018 09200341598 VANDENHEUVEL, ITA MFT DEUTSCHE GNMA FUND-A B 0.00 5.61 0.00 5.61 0.00 5.61 0.00 10/08/2018 09334500550 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16	10/08/2018 00133281554 BILDERBACK, RUTH	MFT DEUTSCHE	SMALL CAP CORE FUND-A	В	0.00	4.42	0.00	4.42	0.0	0.00
10/08/2018 09011150215 GO, MICHAEL MFT DEUTSCHE CROCI INTERNATIONAL B 0.00 6.87 0.00 6.87 0.0 0.01 10/08/2018 09200338505 GO, MICHAEL MFT DEUTSCHE GNMA FUND-A B 0.00 45.80 0.00 45.80 0.0 0.01 10/08/2018 09200341598 VANDENNEUVEL, ITA MFT DEUTSCHE GNMA FUND-A B 0.00 5.61 0.00 5.61 0.0 0.01 10/08/2018 09334500580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.0 0.01 10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GNMA FUND-A B 0.00 29.27 0.00 29.27 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.01 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334540134 HERRON, KENNETH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 6.16 0.00 6.16 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CASH INVESTMENT B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 5.06 0.00 \$935.21 \$0.00 \$935.21 \$0.00 \$935.21 \$0.00 \$	10/08/2018 00133281554 BILDERBACK, RUTH	MFT DEUTSCHE	SMALL CAP GROWTH	В	0.00	1.94	0.00	1.94	0.0	0.00
10/08/2018 09200338505 GO, MICHAEL MFT DEUTSCHE GNMA FUND-A B 0.00 45.80 0.00 45.80 0.0 0.00 10/08/2018 09200341598 VANDENHEUVEL, ITA MFT DEUTSCHE GNMA FUND-A B 0.00 5.61 0.00 5.61 0.0 0.00 10/08/2018 0933450580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 0933450580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 29.27 0.00 29.27 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334646134 HERRON, KENNETH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE CASH INVESTMENT B 0.00 6.16 0.00 6.16 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CASH INVESTMENT B 0.00 13.97 0.00 13.97 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 (None) SIMON VAT PACIFIC LIFE CUSIP 694474107 B 0.00 378.70 0.00 378.70 0.00 378.70 0.0 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.0 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.0 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 15.00 0.00 15.00 0.00 15.00 0.00 0	10/08/2018 09011136291 GO, MICHAEL	MFT DEUTSCHE	GNMA FUND-A	В	0.00	5.41	0.00	5.41	0.0	0.00
10/08/2018 09200341598 VANDENHEUVEL, ITA MFT DEUTSCHE GNMA FUND-A B 0.00 5.61 0.00 5.61 0.0 0.00 10/08/2018 09334500580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GNMA FUND-A B 0.00 29.27 0.00 29.27 0.0 29.27 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GNMA FUND-A B 0.00 1.71 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.00 14.28 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE CASH INVESTMENT B 0.00 6.16 0.00 6.16 0.00 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 54.45 0.00 54.45 0.00 54.45 0.00 0.00	10/08/2018 09011150215 GO, MICHAEL	MFT DEUTSCHE	CROCI INTERNATIONAL	В	0.00	6.87	0.00	6.87	0.0	0.00
10/08/2018 09334500580 PRIVETT, PATRICIA MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 7.16 0.00 7.16 0.0 0.00 10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GNMA FUND-A B 0.00 29.27 0.00 29.27 0.0 0.00 10/08/2018 09334523425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 6.16 0.00 6.16 0.00 6.16 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 13.97 0.00 13.97 0.00 13.97 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.00 54.45 0.00 0.00	10/08/2018 09200338505 GO, MICHAEL	MFT DEUTSCHE	GNMA FUND-A	В	0.00	45.80	0.00	45.80	0.0	0.00
10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GNMA FUND-A B 0.00 29.27 0.00 29.27 0.0 0.0 10/08/2018 09334523248 THROCKMORTON, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE CASH INVESTMENT B 0.00 6.16 0.00 6.16 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 13.97 0.00 13.97 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 \$935.21 \$0.00 \$0.	10/08/2018 09200341598 VANDENHEUVEL, ITA	MFT DEUTSCHE	GNMA FUND-A	В	0.00	5.61	0.00	5.61	0.0	0.00
10/08/2018 09334524425 MARTIN JT TEN, MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 1.71 0.00 1.71 0.0 0.00 10/08/2018 09334646134 HERRON, KENNETH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE CASH INVESTMENT B 0.00 6.16 0.00 6.16 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 13.97 0.00 13.97 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.0 0.00 10/08/2018 (None) SIMON WAT PHOENIX LIFE B 0.00 5.06 0.00 5.06 0.00 5.06 0.00 10/03/2018 (None) DAVIS, FREDERICK VAT TRANSAMERICA LIFE B 0.00 153.15 0.00 153.15 0.00 153.15 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 15.00 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 15.00 0.00 0	10/08/2018 09334500580 PRIVETT, PATRICIA	MFT DEUTSCHE	GLOBAL INCOME BUILDER	В	0.00	7.16	0.00	7.16	0.0	0.00
10/08/2018 09334646134 HERRON, KENNETH MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 14.28 0.00 14.28 0.0 0.00 10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE CASH INVESTMENT B 0.00 6.16 0.00 6.16 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 13.97 0.00 13.97 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.0 54.45 0.0 0.00 10/08/2018 (None) SIMON VAT PHOENIX LIFE B 0.00 5.06 0.00 5.06 0.00 5.06 0.00 10/03/2018 (None) DAVIS, FREDERICK VAT TRANSAMERICA LIFE B 0.00 153.15 0.00 153.15 0.00 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 378.70 0.00 378.70 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 15.00 0.00 10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.00 7.75 0.00 0.00	10/08/2018 09334523248 THROCKMORTON,	MFT DEUTSCHE	GNMA FUND-A	В	0.00	29.27	0.00	29.27	0.0	0.00
10/08/2018 09334744826 MORTON, PATSY MFT DEUTSCHE CASH INVESTMENT B 0.00 6.16 0.00 6.16 0.0 0.00 10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 13.97 0.00 13.97 0.00 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 \$4.45 0.00 \$935.21 \$0.00 \$0.	10/08/2018 09334524425 MARTIN JT TEN,	MFT DEUTSCHE	GLOBAL INCOME BUILDER	В	0.00	1.71	0.00	1.71	0.0	0.00
10/08/2018 09334771574 CITIGROUP GLOBAL MFT DEUTSCHE CAPITAL GROWTH FUND-A B 0.00 13.97 0.00 13.97 0.0 0.00 10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.0 54.45 0.0 0.00 54.45	10/08/2018 09334646134 HERRON, KENNETH	MFT DEUTSCHE	GLOBAL INCOME BUILDER	В	0.00	14.28	0.00	14.28	0.0	0.00
10/08/2018 09940052567 KASSABIAN, DAVID MFT DEUTSCHE GLOBAL INCOME BUILDER B 0.00 54.45 0.00 54.45 0.00 0.00	10/08/2018 09334744826 MORTON, PATSY	MFT DEUTSCHE	CASH INVESTMENT	В	0.00	6.16	0.00	6.16	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$935.21 \$0.00 \$935.21 \$0.00 PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS 09/30/2018 (None) SIMON VAT PHOENIX LIFE B 0.00 5.06 0.00 5.06 0.0 5.06 0.0 0.00 10/03/2018 (None) DAVIS, FREDERICK VAT TRANSAMERICA LIFE B 0.00 153.15 0.00 153.15 0.0 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.0 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.00 0.00	10/08/2018 09334771574 CITIGROUP GLOBAL	MFT DEUTSCHE	CAPITAL GROWTH FUND-A	В	0.00	13.97	0.00	13.97	0.0	0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS 09/30/2018 (None) SIMON	10/08/2018 09940052567 KASSABIAN, DAVID	MFT DEUTSCHE	GLOBAL INCOME BUILDER	В	0.00	54.45	0.00	54.45	0.0	0.00
09/30/2018 (None) SIMON VAT PHOENIX LIFE B 0.00 5.06 0.00 5.06 0.0 0.00 10/03/2018 (None) DAVIS, FREDERICK VAT TRANSAMERICA LIFE B 0.00 153.15 0.00 153.15 0.0 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.0 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.00 0.00 0.00 0.00		* MUTUAL FUN	D 12B1 TRAILS SUBTOTAL	*	\$0.00	\$935.21	\$0.00	\$935.21		\$0.00
10/03/2018 (None) DAVIS, FREDERICK VAT TRANSAMERICA LIFE B 0.00 153.15 0.00 153.15 0.0 0.00 10/03/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.0 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.00 10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.0 0.00 0.00	PRODUCT CATEGORY: VARIABLE ANNUITY	12B1 TRAILS								
10/03/2018 (None) VARIOUS, HOUSE VAT TRANSAMERICA LIFE B 0.00 378.70 0.00 378.70 0.0 0.00 10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.0 10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.0 0.00	09/30/2018 (None) SIMON	VAT PHOENIX	LIFE	В	0.00	5.06	0.00	5.06	0.0	0.00
10/15/2018 (None) VARIOUS, HOUSE VAT LINCOLN NATL LIFE B 0.00 15.00 0.00 15.00 0.0 0.00 10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.0 0.00	10/03/2018 (None) DAVIS, FREDERICK	VAT TRANSAME	RICA LIFE	В	0.00	153.15	0.00	153.15	0.0	0.00
10/19/2018 VA98045900 MANN VAT PACIFIC LIFE CUSIP 694474107 B 0.00 7.75 0.00 7.75 0.0 0.00	10/03/2018 (None) VARIOUS, HOUSE	VAT TRANSAME	RICA LIFE	В	0.00	378.70	0.00	378.70	0.0	0.00
	10/15/2018 (None) VARIOUS, HOUSE	VAT LINCOLN 1	NATL LIFE	В	0.00	15.00	0.00	15.00	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * \$0.00 \$559.66 \$0.00 \$559.66 \$0.00	10/19/2018 VA98045900 MANN	VAT PACIFIC I	LIFE CUSIP 694474107	В	0.00	7.75	0.00	7.75	0.0	0.00
	* V	ARIABLE ANNUIT	Y 12B1 TRAILS SUBTOTAL	*	\$0.00	\$559.66	\$0.00	\$559.66		\$0.00
*** DIRECT TRANSACTIONS TOTAL *** \$34,165.59 \$1,577.06 \$0.00 \$1,577.06 \$0.0		*** DIREC	T TRANSACTIONS TOTAL *	**	\$34,165.59	\$1,577.06	\$0.00	\$1,577.06		\$0.00

BROKER COMMISSION TOTALS

Net Commissions	\$0.00 \$0.00
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$0.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$0.00