

**COMMISSION STATEMENT**

September 30, 2018

BATISTA-073757 DPM

BROKER #:

BRANCH: DWA

, TX

**COMMISSION STATEMENT for BATISTA-073757 DPM**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/12/2018	153000500	BROOKS, SHERRON	MF	AMERICAN FDS BOND FD OF AMERICA S	-11,913.02	0.00	5.00	-5.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	MF	DODGE & COX INCOME FUND	-16,388.84	0.00	5.00	-5.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	MF	MFS CORPORATE BOND FD R6	-13,680.71	0.00	5.00	-5.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	MF	PRINCIPAL MIDCAP BLEND FD CL I B	-6,497.55	0.00	5.00	-5.00	0.00
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$-48,480.12</b>	<b>\$0.00</b>	<b>\$20.00</b>	<b>\$-20.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/12/2018	153000500	BROOKS, SHERRON	S	APPLE INC	11,076.40	15.00	15.00	0.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	S	ENBRIDGE INC ISIN CA29250N1050	10,260.95	15.00	15.00	0.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	S	GLAXOSMITHKLINE PLC ADS	11,814.95	15.00	15.00	0.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	S	MICROSOFT CORPORATION	7,772.68	15.00	15.00	0.00	0.00
09/12/2018	153001387	BROOKS, SHERRON	S	PPL CORPORATION	6,057.43	15.00	15.00	0.00	0.00
09/07/2018	339045956	HEBERT TOD,	S	ISHARES CORE S&P 500 ETF	-1,723.02	15.00	15.00	0.00	0.00
				<b>* STOCKS SUBTOTAL *</b>	<b>\$45,259.39</b>	<b>\$90.00</b>	<b>\$90.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
09/13/2018	a03073757	VARIOUS,	MI	MUTUAL FUND MISC FEE 153001387 S	0.00	5.00	0.00	5.00	0.00
				<b>* MISCELLANEOUS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
09/21/2018	mfc073757	VARIOUS,	MFT	AMCAP FUND-A	0.00	0.32	0.00	0.32	0.00
09/24/2018	mfc073757	VARIOUS,	MFT	AMCAP FUND-A	0.00	0.30	0.00	0.30	0.00
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$0.62</b>	<b>\$0.00</b>	<b>\$0.62</b>	<b>\$0.00</b>
				<b>*** CLEARING TRANSACTIONS TOTAL ***</b>	<b>\$-3,220.73</b>	<b>\$95.62</b>	<b>\$110.00</b>	<b>\$-14.38</b>	<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>