



All Companies

12/28/2018
Page No. 1

COMMISSION STATEMENT January 02, 2019

KARL JOHN KELLY
1037 ATLEE DRIVE

BROKER #: 9614
BRANCH: HOME OFFICE

Keller, TX 76248-

COMMISSION STATEMENT for KARL JOHN KELLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3.79	3.79	0.00	3.79	60.0	2.27
* STOCKS SUBTOTAL *				<u>\$3.79</u>	<u>\$3.79</u>	<u>\$0.00</u>	<u>\$3.79</u>		<u>\$2.27</u>

PRODUCT CATEGORY: RIA

10/11/2018	10851178 FROST, RYAN	SIGNAL SECURITIES RIA	B	0.00	152.34	0.00	152.34	60.0	91.40
10/11/2018	26509171 SIMPSON/WILLIS, GREGORY/JOELLYN	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	60.0	20.40
10/11/2018	28559852 KELLY, JIMMIE JOAN	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	60.0	2.00
10/11/2018	29371721 KELLY, PAUL GENE	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	60.0	54.40
10/11/2018	31836103 KELLY, JIMMIE JOAN	SIGNAL SECURITIES RIA	B	0.00	66.34	0.00	66.34	60.0	39.80
10/11/2018	42650432 DOBBS, JEFFREY S	SIGNAL SECURITIES RIA	B	0.00	109.00	0.00	109.00	60.0	65.40
10/11/2018	44746747 KELLY, PAUL J/JIMMIE J	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	60.0	21.60
10/11/2018	47703614 GASKINS, FAITH	SIGNAL SECURITIES RIA	B	0.00	44.66	0.00	44.66	60.0	26.80
10/11/2018	55466162 MARROQUIN, MARLO	SIGNAL SECURITIES RIA	B	0.00	78.34	0.00	78.34	60.0	47.00
10/11/2018	68206680 KELLY, PAUL J/JIMMIE J	SIGNAL SECURITIES RIA	B	0.00	147.34	0.00	147.34	60.0	88.40
10/11/2018	71824463 FROST, RYAN	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	60.0	51.60
10/11/2018	73992106 FROST, RYAN	SIGNAL SECURITIES RIA	B	0.00	42.66	0.00	42.66	60.0	25.60
10/11/2018	94188299 WILLIS, JOELLYN	SIGNAL SECURITIES RIA	B	0.00	560.34	0.00	560.34	60.0	336.20
10/11/2018	94922817 KELLY, PAUL J/JIMMIE J	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	60.0	2.00
10/11/2018	95068131 LEWIS, AMANDA L	SIGNAL SECURITIES RIA	B	0.00	41.34	0.00	41.34	60.0	24.80
10/11/2018	96853022 DOBBS, JEFFREY S	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	60.0	0.60
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$1,496.70</u>	<u>\$0.00</u>	<u>\$1,496.70</u>		<u>\$898.00</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$3.79</u>	<u>\$1,500.49</u>	<u>\$0.00</u>	<u>\$1,500.49</u>		<u>\$900.27</u>

COMMISSION STATEMENT for KARL JOHN KELLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$900.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-27.50

Check Amount	\$845.27
Year-to-date Earnings	\$845.27