



All Companies

12/28/2018  
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# COMMISSION STATEMENT January 02, 2019

MOYNIHAN/SSI  
4813 BELLFLOWER WAY

BROKER #: 9527  
BRANCH: HOME OFFICE

FORT WORTH, TX 76123

## COMMISSION STATEMENT for MOYNIHAN/SSI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
12/18/2018 00063673248	SAENZ, OLGA LYDIA	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
12/18/2018 00063673248	SAENZ, OLGA LYDIA	AMERICAN FUNDS AMCAP FD	B	27.49	0.76	0.00	0.76	90.0	0.68
12/18/2018 00064570660	MONTALVO, VICTOR M	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
12/18/2018 00064570660	MONTALVO, VICTOR M	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
12/20/2018 00082020519	POINTS, J	AMERICAN FUNDS CAPITAL WORLD GROWT	B	130.00	3.58	0.00	3.58	90.0	3.22
12/20/2018 00082020519	POINTS, J	AMERICAN FUNDS CAPITAL WORLD GROWT	B	163.84	4.51	0.00	4.51	90.0	4.06
12/20/2018 00082020527	ORINGDERFF, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	10.00	0.28	0.00	0.28	90.0	0.25
12/20/2018 00082020527	ORINGDERFF, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	12.00	0.33	0.00	0.33	90.0	0.30
12/20/2018 00082020539	ORINGDERFF, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD	B	10.00	0.28	0.00	0.28	90.0	0.25
12/20/2018 00082020539	ORINGDERFF, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD	B	12.00	0.33	0.00	0.33	90.0	0.30
12/20/2018 00082020556	TALASEK, RAYMOND C	AMERICAN FUNDS INVESTMENT COMP OF A	B	102.60	2.82	0.00	2.82	90.0	2.54
12/20/2018 00082020556	TALASEK, RAYMOND C	AMERICAN FUNDS INVESTMENT COMP OF A	B	102.60	2.82	0.00	2.82	90.0	2.54
12/20/2018 00082020593	POINTS, CAREY R	AMERICAN FUNDS CAPITAL INCOME BUILD	B	75.00	2.06	0.00	2.06	90.0	1.85
12/20/2018 00082020593	POINTS, CAREY R	AMERICAN FUNDS CAPITAL INCOME BUILD	B	96.92	2.67	0.00	2.67	90.0	2.40
12/18/2018 00085015791	CRUZ, DIANA B.	AMERICAN FUNDS 2025 TARGET DATE-A	B	48.46	1.33	0.00	1.33	90.0	1.20
12/18/2018 00085015791	CRUZ, DIANA B.	AMERICAN FUNDS 2025 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
12/18/2018 00085038819	ESTRADA, GRACIELA	AMERICAN FUNDS 2015 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
12/18/2018 00085038819	ESTRADA, GRACIELA	AMERICAN FUNDS 2015 TARGET DATE-A	B	27.12	0.75	0.00	0.75	90.0	0.68
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD BD FD	B	24.94	0.69	0.00	0.69	90.0	0.62
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD BD FD	B	41.57	1.14	0.00	1.14	90.0	1.03
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	37.42	1.03	0.00	1.03	90.0	0.93
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	62.36	1.71	0.00	1.71	90.0	1.54
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS INVESTMENT COMP OF A	B	37.42	1.03	0.00	1.03	90.0	0.93
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS INVESTMENT COMP OF A	B	62.36	1.71	0.00	1.71	90.0	1.54
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	24.94	0.69	0.00	0.69	90.0	0.62
12/18/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	41.57	1.14	0.00	1.14	90.0	1.03
12/18/2018 00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	25.26	0.69	0.00	0.69	90.0	0.62
12/18/2018 00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	30.00	0.83	0.00	0.83	90.0	0.75
* MUTUAL FUNDS SUBTOTAL *				\$1,405.87	\$38.70	\$0.00	\$38.70		\$34.84

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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	88.56	88.56	0.00	88.56	90.0	79.70
* STOCKS SUBTOTAL *				\$88.56	\$88.56	\$0.00	\$88.56		\$79.70

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	15.22	0.00	15.22	90.0	13.70
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	8.27	0.00	8.27	90.0	7.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$23.49	\$0.00	\$23.49		\$21.14
*** BROKER TRANSACTIONS TOTAL ***				\$1,494.43	\$150.75	\$0.00	\$150.75		\$135.68

BROKER COMMISSION TOTALS

Broker Transactions	\$135.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$135.68
Year-to-date Earnings	\$135.68