

# COMMISSION STATEMENT December 15, 2018

 KRIS LLOYD-D039  
 4265 San Felipe Road  
 Suite 1100  
 Houston, TX 77027

 BROKER #: D039  
 BRANCH: DMJ

**COMMISSION STATEMENT for KRIS LLOYD-D039**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
12/03/2018	00073300874 ALLEN, CYNTHIA	MFT AMCAP FUND-A	B	0.00	1.02	0.00	1.02	90.0	0.92
12/03/2018	00073300874 ALLEN, CYNTHIA	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.21	0.00	0.21	90.0	0.19
12/03/2018	00073300874 ALLEN, CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.65	0.00	1.65	90.0	1.49
12/03/2018	00073503635 ALLEN, REESE	MFT CAPITAL WORLD GROWTH AND	B	0.00	4.43	0.00	4.43	90.0	3.99
12/03/2018	00073503635 ALLEN, REESE	MFT SMALLCAP WORLD FUND-529A	B	0.00	8.75	0.00	8.75	90.0	7.88
12/03/2018	00073503635 ALLEN, REESE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	8.18	0.00	8.18	90.0	7.36
12/03/2018	00073503681 ALLEN, REESE	MFT CAPITAL WORLD GROWTH AND	B	0.00	8.02	0.00	8.02	90.0	7.22
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$32.26</b>	<b>\$0.00</b>	<b>\$32.26</b>		<b>\$29.05</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$32.26</b>	<b>\$0.00</b>	<b>\$32.26</b>		<b>\$29.05</b>

**ADJUSTMENTS TRANSACTIONS**
**BROKER: #0**

12/15/2018	: 2019 STATE BRANCH FEE			0.00	0.00	0.00	0.00		-25.00
<b>* SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-25.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-25.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$29.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4.05</b>
<b>Year-to-date Commissions</b>	<b>\$56,741.08</b>