

COMMISSION STATEMENT

October 31, 2018

HENRY HACKEL

179 EAST 70TH STREET

APT 6B

NEW YORK, NY 10021

BROKER #: 30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/11/2018 (None)	VARIOUS, HACKEL	ADVISOR GUIDED TRAILS	B	0.00	7.30	0.00	7.30	80.0	5.84
10/11/2018 (None)	VARIOUS, HACKEL	OPPENHEIMER 683793400	B	0.00	824.44	0.00	824.44	80.0	659.55
10/19/2018 (None)	VARIOUS, HACKEL	529 PLAN	B	0.00	210.80	0.00	210.80	80.0	168.64
09/28/2018 00084739455	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
09/28/2018 00085890289	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
09/28/2018 00085890289	DAS, SPANDAN	NEW WORLD FUND-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
09/28/2018 00085890289	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
09/28/2018 00085890289	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
09/28/2018 00085890289	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
10/18/2018 00086531491	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	350.00	9.63	0.00	9.63	80.0	7.70
10/18/2018 00086531491	DAS, SPANDAN	NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
10/18/2018 00086531491	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
10/18/2018 00086531491	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
10/18/2018 00086531491	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
10/03/2018 00087121704	JOGLEKAR, SHAYNE	AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
10/03/2018 00087122294	JOGLEKAR, SHAYNE	AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
10/22/2018 00087149656	CHANDELA, AJAY	AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/22/2018 00087149667	CHANDELA, AJAY	NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/22/2018 00087149689	CHANDELA, AJAY	NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/22/2018 00087149729	CHANDELA, AJAY	AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/08/2018 00087205674	MORRELL, CHARLES	THE GROWTH FUND OF AMERICA-529A	B	300.00	12.75	0.00	12.75	80.0	10.20
09/28/2018 00087630417	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
10/15/2018 00089081756	FOSTER, JAKE	AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
10/15/2018 00089084643	FOSTER, JAKE	AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
10/10/2018 01204161104	PASQUALONE,	THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	7.50	0.00	7.50	80.0	6.00
10/10/2018 01204463227	PASQUALONE,	THE GROWTH FUND OF AMERICA-529A	B	200.00	10.00	0.00	10.00	80.0	8.00
10/10/2018 01205067051	PASQUALONE,	NEW PERSPECTIVE FUND-529A	B	200.00	10.00	0.00	10.00	80.0	8.00
* MUTUAL FUNDS SUBTOTAL *				\$8,650.00	\$1,223.30	\$0.00	\$1,223.30		\$978.63

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2018	75500225	CAPEN, ERNEST		UNION PACIFIC CORP	S	49,010.01	66.00	0.00	66.00 80.0 52.80
10/05/2018	75500225	CAPEN, ERNEST		UNION PACIFIC CORP	S	32,807.10	54.00	0.00	54.00 80.0 43.20
10/12/2018	75500225	CAPEN, ERNEST		SELECT SECTOR SPDR TRUST THE TECHNO B		13,981.00	54.00	0.00	54.00 80.0 43.20
10/12/2018	75500225	CAPEN, ERNEST		STANDARD & POORS MIDCAP 400 SPDR S& B		33,678.99	40.00	0.00	40.00 80.0 32.00
10/02/2018	75500237	POMFRET SCHOOL		KIMBERLY CLARK CORP	S	10,014.41	40.00	0.00	40.00 80.0 32.00
10/05/2018	75500255	HOCHBERGER, ELLEN		BUNGE LTD	S	3,442.05	35.00	0.00	35.00 80.0 28.00
10/05/2018	75500256	HOCHBERGER, ELLEN		BUNGE LTD	S	3,442.10	35.00	0.00	35.00 80.0 28.00
10/10/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	S	66,147.81	40.00	0.00	40.00 80.0 32.00
10/10/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	55,600.00	40.00	0.00	40.00 80.0 32.00
10/11/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	S	108,006.05	40.00	0.00	40.00 80.0 32.00
10/17/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	S	26,580.00	40.00	0.00	40.00 80.0 32.00
10/19/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	S	24,250.00	473.97	0.00	473.97 80.0 379.18
10/19/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	448,000.00	70.00	0.00	70.00 80.0 56.00
10/19/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	112,000.00	10.00	0.00	10.00 80.0 8.00
10/22/2018	75500426	MIGLIORATO, DOMENICK		ADVANCED MICRO DEVICES INC	S	48,682.90	80.00	0.00	80.00 80.0 64.00
10/22/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	S	88,053.44	40.00	0.00	40.00 80.0 32.00
10/23/2018	75500426	MIGLIORATO, DOMENICK		BARCLAYS BANK PLC IPATH S&P 500 VIX S		53,348.85	60.00	0.00	60.00 80.0 48.00
10/23/2018	75500426	MIGLIORATO, DOMENICK		TWITTER INC	S	28,835.40	40.00	0.00	40.00 80.0 32.00
10/26/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	S	106,406.68	40.00	0.00	40.00 80.0 32.00
10/26/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	S	265,530.00	60.00	0.00	60.00 80.0 48.00
10/29/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	S	-262,760.00	-60.00	0.00	-60.00 80.0 -48.00 C
10/29/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	262,760.30	60.00	0.00	60.00 80.0 48.00
10/29/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	262,760.30	60.00	0.00	60.00 80.0 48.00
10/29/2018	75500635	CABO CANYON LLC		M101 CORP COMMON STOCK	S	500.00	25.00	0.00	25.00 80.0 20.00
10/29/2018	75500635	CABO CANYON LLC		MASTER SPIRIT INTERNATIONAL USA INC S		280.00	50.00	0.00	50.00 80.0 40.00
10/02/2018	75501276	GALLO, ANTONIO		ALTRA INDL MOTION CORP COM	S	8,999.22	50.00	0.00	50.00 80.0 40.00
10/03/2018	75502192	COSTA, GALE		BLACKSTONE / GSO STRATEGIC CR FD CO B		39,818.50	680.30	0.00	680.30 80.0 544.24
10/05/2018	75502192	COSTA, GALE		BLACKSTONE / GSO STRATEGIC CR FD CO B		7,854.10	206.16	0.00	206.16 80.0 164.93
10/01/2018	75502194	COSTA, SHERIDAN		BLACKSTONE / GSO STRATEGIC CR FD CO B		71,804.70	0.00	0.00	0.00 80.0 0.00
10/01/2018	75502201	COSTA, SHERIDAN		BLACKSTONE / GSO STRATEGIC CR FD CO B		31,936.65	0.00	0.00	0.00 80.0 0.00
10/02/2018	75502201	COSTA, SHERIDAN		GENERAL ELECTRIC CO	S	12,001.20	0.00	0.00	0.00 80.0 0.00
10/17/2018	QGN005027	GOLDSMITH, ELY &		LANDMARK INFRASTRUCTURE PRTRN LP SE B		23,073.00	120.00	0.00	120.00 80.0 96.00
10/23/2018	QGN005027	GOLDSMITH, ELY &		GENERAL AMERICAN INVESTMENT 5.95% C S		-12,830.00	90.00	0.00	90.00 80.0 72.00
10/19/2018	QGN005092	FIDUCIARY TRUST CO		AMERICAN INTERNATIONAL GROUP INC	S	-38,176.48	126.00	0.00	126.00 80.0 100.80
10/08/2018	QGN005118	BRENNAN, LUCY		NORFOLK SOUTHERN CORP	S	-83,726.70	84.84	0.00	84.84 80.0 67.87
10/12/2018	QGN005118	BRENNAN, LUCY		NORFOLK SOUTHERN CORP	S	-170,300.00	120.00	0.00	120.00 80.0 96.00
10/19/2018	QGN005118	BRENNAN, LUCY		AMERICAN INTERNATIONAL GROUP INC	S	-95,480.20	210.00	0.00	210.00 80.0 168.00
09/27/2018	QGN005464	RF LAFFERTY & CO		DANAHER CORPORATION	S	-297,797.50	277.50	0.00	277.50 80.0 222.00
09/27/2018	QGN005464	RF LAFFERTY & CO		DELPHI TECHNOLOGIES PLC SHS	S	-49,490.07	168.24	0.00	168.24 80.0 134.59
09/27/2018	QGN005464	RF LAFFERTY & CO		ORACLE CORPORATION	S	-205,086.77	385.50	0.00	385.50 80.0 308.40

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/29/2018	QGN005464 RF LAFFERTY & CO	APPLE INC	S	-216,151.80	50.00	0.00	50.00	80.0	40.00
10/29/2018	QGN005464 RF LAFFERTY & CO	COMCAST CORP CL A	S	-330,740.00	50.00	0.00	50.00	80.0	40.00
10/04/2018	QGN005548 HENRY L THOMPSON	KELLOGG COMPANY	B	123,492.06	90.00	0.00	90.00	80.0	72.00
10/04/2018	QGN005548 HENRY L THOMPSON	MCCORMICK & CO INC NON-VOTING	S	-133,811.00	50.00	0.00	50.00	80.0	40.00
10/10/2018	QGN005555 HENRY L THOMPSON	DOMINION RESOURCES INC VA NEW	S	-737,560.00	300.00	0.00	300.00	80.0	240.00
10/12/2018	QGN005555 HENRY L THOMPSON	BB&T CORP	B	144,060.00	150.00	0.00	150.00	80.0	120.00
10/12/2018	QGN005555 HENRY L THOMPSON	J P MORGAN CHASE & CO	B	165,735.00	75.00	0.00	75.00	80.0	60.00
10/12/2018	QGN005555 HENRY L THOMPSON	US BANCORP DEL	B	104,720.00	100.00	0.00	100.00	80.0	80.00
10/15/2018	QGN005555 HENRY L THOMPSON	BB&T CORP	B	46,703.30	50.00	0.00	50.00	80.0	40.00
10/23/2018	QGN005555 HENRY L THOMPSON	J P MORGAN CHASE & CO	B	42,350.56	35.00	0.00	35.00	80.0	28.00
10/24/2018	QGN005555 HENRY L THOMPSON	BB&T CORP	B	46,679.80	50.00	0.00	50.00	80.0	40.00
10/24/2018	QGN005555 HENRY L THOMPSON	ILLINOIS TOOL WORKS INC	B	60,992.15	35.00	0.00	35.00	80.0	28.00
09/27/2018	QGN005712 DRURY W COOPER TRUST	ALIBABA GROUP HOLDING LTD SPONSORED	B	83,435.50	90.00	0.00	90.00	80.0	72.00
10/02/2018	QGN005712 DRURY W COOPER TRUST	ALIBABA GROUP HOLDING LTD SPONSORED	S	-20,170.52	45.12	0.00	45.12	80.0	36.10
10/19/2018	QGN005738 MOZAIC LLC	TESLA MOTORS INC	B	89,581.83	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGN005829 CHRISTOPHER ZYDA PSP	TESLA MOTORS INC	B	146,337.01	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGN006009 THOMPSON, MARY	ECOLAB INC	S	-310,352.00	100.00	0.00	100.00	80.0	80.00
10/12/2018	QGN006009 THOMPSON, MARY	KELLOGG COMPANY	B	13,600.00	35.00	0.00	35.00	80.0	28.00
10/12/2018	QGN006009 THOMPSON, MARY	NEXTERA ENERGY INC	B	50,700.00	35.00	0.00	35.00	80.0	28.00
10/15/2018	QGN006009 THOMPSON, MARY	BB&T CORP	B	46,703.30	50.00	0.00	50.00	80.0	40.00
10/24/2018	QGN006009 THOMPSON, MARY	BB&T CORP	B	46,679.80	50.00	0.00	50.00	80.0	40.00
10/24/2018	QGN006009 THOMPSON, MARY	ILLINOIS TOOL WORKS INC	B	60,992.15	35.00	0.00	35.00	80.0	28.00
10/11/2018	QGN006033 STACEY BENDET EISNER	FACEBOOK INC CL A	S	-67,450.21	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGN006355 401K, JAMES	ALTRIA GROUP INC	B	4,137.25	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGN006355 401K, JAMES	FORD MOTOR CO PAR \$0.01	S	-3,623.40	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGN006355 401K, JAMES	GENERAL MOTORS COMPANY	B	4,163.61	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGN006355 401K, JAMES	LAM RESEARCH CORP	S	-3,868.02	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGN006355 401K, JAMES	ULTRA CLEAN HLDGS INC COM	S	-2,946.44	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGN006413 HARRIS, BRIANNA	ALTABA INC COM	B	2,716.20	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGN006512 MARCIA DIAMOND	TESLA MOTORS INC	B	84,709.97	20.00	0.00	20.00	80.0	16.00
09/28/2018	QGW001018 GRAYMAN, EZRA	NETLIST INC COM	B	10,750.00	10.00	0.00	10.00	80.0	8.00
10/10/2018	QGW001018 GRAYMAN, EZRA	MOLECULAR TEMPLATES INC COM	S	-13,975.00	10.00	0.00	10.00	80.0	8.00
09/28/2018	QGW001042 GRAYMAN, EZRA	LIONS GATE ENTMT CORP CL B NON VTG	S	-23,250.00	10.00	0.00	10.00	80.0	8.00
10/01/2018	QGW001042 GRAYMAN, EZRA	ENTERCOM COMMUNICATIONS CORP CLASS	B	18,875.00	10.00	0.00	10.00	80.0	8.00
10/04/2018	QGW001042 GRAYMAN, EZRA	ENTERCOM COMMUNICATIONS CORP CLASS	B	18,250.00	10.00	0.00	10.00	80.0	8.00
10/29/2018	QGW001042 GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	B	10,750.00	10.00	0.00	10.00	80.0	8.00
10/08/2018	QGW001166 KOONDEL	LADENBURG THALMANN FINL SVCS	B	2,550.00	18.50	0.00	18.50	80.0	14.80
10/08/2018	QGW001166 KOONDEL	LADENBURG THALMANN FINL SVCS	B	-2,550.00	-18.50	0.00	-18.50	80.0	-14.80 C
10/11/2018	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	6,687.80	13.40	0.00	13.40	80.0	10.72
10/19/2018	QGW001166 KOONDEL	AMAZON.COM INC	B	147,000.00	10.85	0.00	10.85	80.0	8.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2018	QGW001166 KOONDEL	CATERPILLAR INC	B	40,500.00	12.55	0.00	12.55	80.0	10.04
10/19/2018	QGW001166 KOONDEL	GENERAL ELECTRIC CO	S	-41,250.00	38.05	0.00	38.05	80.0	30.44
10/19/2018	QGW001166 KOONDEL	INVESCO LTD ORD SHS ISIN#BMG491BT11	S	-8,620.00	13.40	0.00	13.40	80.0	10.72
10/22/2018	QGW001166 KOONDEL	AMAZON.COM INC	S	-178,800.00	10.85	0.00	10.85	80.0	8.68
10/23/2018	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	1,599.00	10.85	0.00	10.85	80.0	8.68
10/08/2018	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	B	2,550.00	18.50	0.00	18.50	80.0	14.80
10/16/2018	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	S	-2,850.00	18.50	0.00	18.50	80.0	14.80
10/04/2018	QGW001737 HEATH, KIMBERLY	DATA I O CORP COM	S	-4,914.90	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW001737 HEATH, KIMBERLY	SUMMIT WIRELESS TECHNOLOGIES INC CO B	B	410.00	20.00	0.00	20.00	80.0	16.00
10/10/2018	QGW001737 HEATH, KIMBERLY	FTE NETWORKS INC COM PAR \$0.001 NEW	B	5,494.95	10.00	0.00	10.00	80.0	8.00
10/10/2018	QGW001737 HEATH, KIMBERLY	FTE NETWORKS INC COM PAR \$0.001 NEW	B	5,375.00	10.00	0.00	10.00	80.0	8.00
10/10/2018	QGW001737 HEATH, KIMBERLY	HUNTSMAN CORP	B	7,158.00	12.00	0.00	12.00	80.0	9.60
10/10/2018	QGW001737 HEATH, KIMBERLY	HUNTSMAN CORP	B	4,755.98	8.00	0.00	8.00	80.0	6.40
10/24/2018	QGW001737 HEATH, KIMBERLY	ARCTURUS THERAPEUTICS LTD ORDINARY	B	2,700.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW001737 HEATH, KIMBERLY	HUNTSMAN CORP	B	10,354.45	20.00	0.00	20.00	80.0	16.00
10/10/2018	QGW001745 HEATH, KIMBERLY	FTE NETWORKS INC COM PAR \$0.001 NEW	B	5,375.00	10.00	0.00	10.00	80.0	8.00
10/10/2018	QGW001745 HEATH, KIMBERLY	FTE NETWORKS INC COM PAR \$0.001 NEW	B	5,495.00	10.00	0.00	10.00	80.0	8.00
10/10/2018	QGW001745 HEATH, KIMBERLY	HUNTSMAN CORP	B	7,158.00	12.00	0.00	12.00	80.0	9.60
10/10/2018	QGW001745 HEATH, KIMBERLY	HUNTSMAN CORP	B	4,756.00	8.00	0.00	8.00	80.0	6.40
10/24/2018	QGW001745 HEATH, KIMBERLY	HUNTSMAN CORP	B	10,354.95	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW001760 HEATH, KIMBERLY	DATA I O CORP COM	S	-4,914.90	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW001760 HEATH, KIMBERLY	SUMMIT WIRELESS TECHNOLOGIES INC CO B	B	4,100.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW001760 HEATH, KIMBERLY	HUNTSMAN CORP	B	11,886.65	10.00	0.00	10.00	80.0	8.00
10/11/2018	QGW001760 HEATH, KIMBERLY	HUNTSMAN CORP	B	12,022.50	10.00	0.00	10.00	80.0	8.00
10/12/2018	QGW001760 HEATH, KIMBERLY	FLUOR CORP NEW	B	23,383.20	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW001760 HEATH, KIMBERLY	ARCTURUS THERAPEUTICS LTD ORDINARY	B	2,700.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW004699 ABERDEEN INVESTMENT	BLUE BIRD CORPORATION COM	B	2,509.61	50.00	0.00	50.00	80.0	40.00
10/15/2018	QGW005084 FRIED, ALLAN	CBL & ASSOC PPTYS INC	S	-36,538.95	317.73	0.00	317.73	80.0	254.18
10/15/2018	QGW005084 FRIED, ALLAN	MACKINAC FINL CORP COM	S	-640.00	10.00	0.00	10.00	80.0	8.00
10/17/2018	QGW005084 FRIED, ALLAN	MACYS INC	B	32,500.00	60.00	0.00	60.00	80.0	48.00
10/25/2018	QGW005084 FRIED, ALLAN	CABOT OIL & GAS CORP	B	42,000.00	60.00	0.00	60.00	80.0	48.00
10/03/2018	QGW005118 FISCH, AMY	ALTRA INDL MOTION CORP COM	S	-9,199.60	50.00	0.00	50.00	80.0	40.00
10/25/2018	QGW005209 GENTILE, PETER	BRISTOL MYERS SQUIBB CO	B	2,002.36	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW005456 KISVER, SHERRY	WEIGHT WATCHERS INTL INC NEW	B	7,274.00	50.00	0.00	50.00	80.0	40.00
10/04/2018	QGW005456 KISVER, SHERRY	BLUE BIRD CORPORATION COM	B	2,522.44	50.00	0.00	50.00	80.0	40.00
10/04/2018	QGW005456 KISVER, SHERRY	GENERAL ELECTRIC CO	B	1,241.62	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW005472 KRELL, MOSHE	ALTRA INDL MOTION CORP COM	S	-9,178.02	30.00	0.00	30.00	80.0	24.00
10/03/2018	QGW005746 MEYERSON, DANIEL	ALTRA INDL MOTION CORP COM	S	-9,199.60	50.00	0.00	50.00	80.0	40.00
10/09/2018	QGW005860 PERLA, DANIEL	SALESFORCE.COM INC	S	-14,946.28	20.00	0.00	20.00	80.0	16.00
10/10/2018	QGW005985 ROTHBEIN, SYLVIA	MOMO INC ADR	S	-30,236.46	30.00	0.00	30.00	80.0	24.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2018	QGW005985	ROTHBEIN, SYLVIA		PYXUS INTL INC COM	B	33,875.28	30.00	0.00	30.00 80.0 24.00
10/12/2018	QGW005985	ROTHBEIN, SYLVIA		FAT BRANDS INC COMMON STOCK	B	7,329.90	30.00	0.00	30.00 80.0 24.00
10/12/2018	QGW005985	ROTHBEIN, SYLVIA		TILRAY INC COM	S	-23,600.00	30.00	0.00	30.00 80.0 24.00
10/15/2018	QGW005985	ROTHBEIN, SYLVIA		FREEDOM LEAF INC COM	B	5,491.00	30.00	0.00	30.00 80.0 24.00
10/02/2018	QGW006603	KAMIN-HACKEL		ALTRA INDL MOTION CORP COM	S	-9,181.07	50.00	0.00	50.00 80.0 40.00
10/03/2018	QGW006728	WEINSTEIN, CLAIRE		ALTRA INDL MOTION CORP COM	S	-9,199.60	50.00	0.00	50.00 80.0 40.00
10/05/2018	QGW008203	MCGOWAN, DIANE		BLUE BIRD CORPORATION COM	B	2,496.78	50.00	0.00	50.00 80.0 40.00
10/02/2018	QGW008369	FRIEDMAN, RICHARD		ALTRA INDL MOTION CORP COM	S	-9,191.88	50.00	0.00	50.00 80.0 40.00
09/28/2018	QGW009730	SHARTSIS, JAY		AMARIN CORPORATION PLC SPONSORED AD B		4,518.00	20.00	0.00	20.00 80.0 16.00
09/28/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S		-24,000.00	20.00	0.00	20.00 80.0 16.00
09/28/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S		-12,000.00	20.00	0.00	20.00 80.0 16.00
09/28/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S		24,000.00	-20.00	0.00	-20.00 80.0 -16.00 C
09/28/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S		-12,000.00	20.00	0.00	20.00 80.0 16.00
10/05/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		10,000.00	20.00	0.00	20.00 80.0 16.00
10/05/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		-10,000.00	-20.00	0.00	-20.00 80.0 -16.00 C
10/05/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		10,000.00	20.00	0.00	20.00 80.0 16.00
10/10/2018	QGW009730	SHARTSIS, JAY		FOSSIL GROUP INC COM	B	3,945.00	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	7,714.50	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW009730	SHARTSIS, JAY		AMARIN CORPORATION PLC SPONSORED AD B		3,672.58	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW009730	SHARTSIS, JAY		AMARIN CORPORATION PLC SPONSORED AD B		-3,672.58	-20.00	0.00	-20.00 80.0 -16.00 C
10/11/2018	QGW009730	SHARTSIS, JAY		AMARIN CORPORATION PLC SPONSORED AD B		3,672.58	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		2,286.50	10.00	0.00	10.00 80.0 8.00
10/11/2018	QGW009730	SHARTSIS, JAY		FRANCO-NEVADA CORPORATION	S	-26,554.88	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW009730	SHARTSIS, JAY		FREEPORT MCMORAN INC	B	32,499.00	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO B		3,686.88	7.50	0.00	7.50 80.0 6.00
10/11/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO B		6,076.75	12.50	0.00	12.50 80.0 10.00
10/16/2018	QGW009730	SHARTSIS, JAY		SEARS HOLDINGS CORP	S	-5,000.00	20.00	0.00	20.00 80.0 16.00
10/16/2018	QGW009730	SHARTSIS, JAY		SEARS HOLDINGS CORP	B	4,593.00	20.00	0.00	20.00 80.0 16.00
10/17/2018	QGW009730	SHARTSIS, JAY		ARCONIC INC COM	B	22,479.60	20.00	0.00	20.00 80.0 16.00
10/17/2018	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	S	-13,366.50	20.00	0.00	20.00 80.0 16.00
10/17/2018	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	B	13,880.40	20.00	0.00	20.00 80.0 16.00
10/18/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S		-19,560.04	20.00	0.00	20.00 80.0 16.00
10/18/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		19,640.00	20.00	0.00	20.00 80.0 16.00
10/18/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		-19,640.00	-20.00	0.00	-20.00 80.0 -16.00 C
10/18/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B		19,640.00	20.00	0.00	20.00 80.0 16.00
10/18/2018	QGW009730	SHARTSIS, JAY		TWITTER INC	B	14,603.40	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	16,200.00	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	-16,200.00	-20.00	0.00	-20.00 80.0 -16.00 C
10/19/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	8,100.00	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	8,100.00	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
10/19/2018	QGW009730	SHARTSIS, JAY		AMARIN CORPORATION PLC SPONSORED AD S	-7,000.00	20.00	0.00	20.00	80.0	16.00	
10/19/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S	-33,600.00	20.00	0.00	20.00	80.0	16.00	
10/19/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B	48,500.00	20.00	0.00	20.00	80.0	16.00	
10/19/2018	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DAILY JR GOLD M B	48,000.00	20.00	0.00	20.00	80.0	16.00	
10/19/2018	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DAILY JR GOLD M B	-48,000.00	-20.00	0.00	-20.00	80.0	-16.00 C	
10/19/2018	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DAILY JR GOLD M B	48,000.00	20.00	0.00	20.00	80.0	16.00	
10/19/2018	QGW009730	SHARTSIS, JAY		ETSY INC COM	S	-16,628.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO S	-9,600.00	20.00	0.00	20.00	80.0	16.00	
10/22/2018	QGW009730	SHARTSIS, JAY		HORMEL FOODS CORP	B	20,875.00	20.00	0.00	20.00	80.0	16.00
10/23/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B	5,964.50	20.00	0.00	20.00	80.0	16.00	
10/23/2018	QGW009730	SHARTSIS, JAY		HORMEL FOODS CORP	S	-20,742.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S	-6,184.50	20.00	0.00	20.00	80.0	16.00	
10/24/2018	QGW009730	SHARTSIS, JAY		NEWELL BRANDS INC SHS	B	16,506.80	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO B	5,959.95	20.00	0.00	20.00	80.0	16.00	
10/24/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO S	-5,595.00	20.00	0.00	20.00	80.0	16.00	
10/26/2018	QGW009730	SHARTSIS, JAY		SKECHERS USA INC CL A	B	18,711.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	B	8,265.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW009730	SHARTSIS, JAY		TWITTER INC	S	-14,500.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S	-11,469.00	20.00	0.00	20.00	80.0	16.00	
10/29/2018	QGW009730	SHARTSIS, JAY		SKECHERS USA INC CL A	S	-19,061.00	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW012395	FRIEDMAN, RICHARD		ALTRA INDL MOTION CORP COM	S	-9,198.47	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW012403	FRIEDMAN, RICHARD		ALTRA INDL MOTION CORP COM	S	-9,198.51	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW012759	FORST, BARRY		ALTRA INDL MOTION CORP COM	S	-8,959.80	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW012759	FORST, BARRY		BLUE BIRD CORPORATION COM	B	1,738.83	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW012767	FORST, DANIEL		TANZANIAN ROYALTY EXPLORATION CORPO B		1,151.40	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW012833	HACKEL, ROXANNE		ALTRA INDL MOTION CORP COM	S	-9,101.50	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW012841	HACKEL, ANDREW		ALTRA INDL MOTION CORP COM	S	-9,180.85	50.00	0.00	50.00	80.0	40.00
10/03/2018	QGW012866	HACKEL, DEBBIE		ALTRA INDL MOTION CORP COM	S	-9,101.50	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW012908	HACKEL, ROXANNE		ALTRA INDL MOTION CORP COM	S	-9,101.50	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013005	QUINONES, ALBERT		ALTRA INDL MOTION CORP COM	S	-8,822.27	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013005	QUINONES, ALBERT		BLUE BIRD CORPORATION COM	B	2,469.06	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013013	QUINONES, CAROL		ALTRA INDL MOTION CORP COM	S	-5,877.59	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013013	QUINONES, CAROL		BLUE BIRD CORPORATION COM	B	2,473.50	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013021	QUINONES, CAROL		ALTRA INDL MOTION CORP COM	S	-4,578.20	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013021	QUINONES, CAROL		BLUE BIRD CORPORATION COM	B	2,469.06	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW013096	HACKEL, JESSICA		ALTRA INDL MOTION CORP COM	S	-9,193.84	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW013104	GRASS, BRIAN		BLUE BIRD CORPORATION COM	B	2,482.85	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW013104	GRASS, BRIAN		ALTRA INDL MOTION CORP COM	S	-9,249.35	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW013104	GRASS, BRIAN		INTERNATIONAL BUSINESS MACHINES COR B		1,316.74	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW013104	GRASS, BRIAN		SALESFORCE.COM INC	B	570.60	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/02/2018	QGW013187	HACKEL, TANYA		ALTRA INDL MOTION CORP COM	S	-9,198.29	50.00	0.00	50.00 80.0 40.00
10/02/2018	QGW013377	GRASS, PAUL		BLUE BIRD CORPORATION COM	B	2,480.94	20.00	0.00	20.00 80.0 16.00
10/08/2018	QGW013484	HACKEL, DEBBIE		MOVADO GROUP INC COM	B	20,124.00	10.00	0.00	10.00 80.0 8.00
10/08/2018	QGW013484	HACKEL, DEBBIE		MOVADO GROUP INC COM	S	-20,485.00	10.00	0.00	10.00 80.0 8.00
10/08/2018	QGW013484	HACKEL, DEBBIE		TABLEAU SOFTWARE INC CL A	S	-40,230.00	10.00	0.00	10.00 80.0 8.00
10/09/2018	QGW013484	HACKEL, DEBBIE		IDT CORP CL B NEW	S	-16,785.50	10.00	0.00	10.00 80.0 8.00
10/09/2018	QGW013484	HACKEL, DEBBIE		IDT CORP CL B NEW	S	16,785.50	-10.00	0.00	-10.00 80.0 -8.00 C
10/09/2018	QGW013484	HACKEL, DEBBIE		IDT CORP CL B NEW	S	-16,785.50	10.00	0.00	10.00 80.0 8.00
10/09/2018	QGW013484	HACKEL, DEBBIE		TABLEAU SOFTWARE INC CL A	B	41,092.00	10.00	0.00	10.00 80.0 8.00
10/10/2018	QGW013484	HACKEL, DEBBIE		IDT CORP CL B NEW	S	-6,901.50	10.00	0.00	10.00 80.0 8.00
10/10/2018	QGW013484	HACKEL, DEBBIE		IDT CORP CL B NEW	B	22,773.45	10.00	0.00	10.00 80.0 8.00
10/10/2018	QGW013484	HACKEL, DEBBIE		JACOBS ENGINEERING GROUP INC	S	-36,875.00	10.00	0.00	10.00 80.0 8.00
10/10/2018	QGW013484	HACKEL, DEBBIE		QUALYS INC COM	B	15,200.00	10.00	0.00	10.00 80.0 8.00
10/10/2018	QGW013484	HACKEL, DEBBIE		QUALYS INC COM	S	-14,966.34	10.00	0.00	10.00 80.0 8.00
10/11/2018	QGW013484	HACKEL, DEBBIE		JACOBS ENGINEERING GROUP INC	B	35,875.00	10.00	0.00	10.00 80.0 8.00
10/11/2018	QGW013484	HACKEL, DEBBIE		TURTLE BEACH CORP COM NEW	B	19,673.00	10.00	0.00	10.00 80.0 8.00
10/12/2018	QGW013484	HACKEL, DEBBIE		BANK NEW YORK MELLON CORP	B	48,629.90	10.00	0.00	10.00 80.0 8.00
10/12/2018	QGW013484	HACKEL, DEBBIE		BANK NEW YORK MELLON CORP	S	-48,830.00	10.00	0.00	10.00 80.0 8.00
10/12/2018	QGW013484	HACKEL, DEBBIE		TURTLE BEACH CORP COM NEW	S	-19,024.70	10.00	0.00	10.00 80.0 8.00
10/16/2018	QGW013484	HACKEL, DEBBIE		NETFLIX COM INC	B	39,592.49	10.00	0.00	10.00 80.0 8.00
10/17/2018	QGW013484	HACKEL, DEBBIE		NETFLIX COM INC	S	-38,612.56	10.00	0.00	10.00 80.0 8.00
10/17/2018	QGW013484	HACKEL, DEBBIE		STATE STREET CORP	B	56,958.02	10.00	0.00	10.00 80.0 8.00
10/17/2018	QGW013484	HACKEL, DEBBIE		STATE STREET CORP	S	-57,008.00	10.00	0.00	10.00 80.0 8.00
10/18/2018	QGW013484	HACKEL, DEBBIE		PACKAGING CORP AMER	B	36,028.00	10.00	0.00	10.00 80.0 8.00
10/18/2018	QGW013484	HACKEL, DEBBIE		PACKAGING CORP AMER	S	-36,024.00	10.00	0.00	10.00 80.0 8.00
10/18/2018	QGW013484	HACKEL, DEBBIE		STANLEY BLACK & DECKER INC	B	1,322.42	10.00	0.00	10.00 80.0 8.00
10/18/2018	QGW013484	HACKEL, DEBBIE		STANLEY BLACK & DECKER INC	S	-1,355.50	10.00	0.00	10.00 80.0 8.00
10/19/2018	QGW013484	HACKEL, DEBBIE		CROCS INC	S	-38,980.00	10.00	0.00	10.00 80.0 8.00
10/19/2018	QGW013484	HACKEL, DEBBIE		CROCS INC	B	39,620.00	10.00	0.00	10.00 80.0 8.00
10/19/2018	QGW013484	HACKEL, DEBBIE		ROBERT HALF INTL INC	B	43,621.97	10.00	0.00	10.00 80.0 8.00
10/19/2018	QGW013484	HACKEL, DEBBIE		ROBERT HALF INTL INC	S	-43,099.00	10.00	0.00	10.00 80.0 8.00
10/22/2018	QGW013484	HACKEL, DEBBIE		MATTEL INCORPORATED	S	-6,442.34	10.00	0.00	10.00 80.0 8.00
10/22/2018	QGW013484	HACKEL, DEBBIE		MATTEL INCORPORATED	B	6,367.25	10.00	0.00	10.00 80.0 8.00
10/22/2018	QGW013484	HACKEL, DEBBIE		NLIGHT INC COM	S	-12,301.80	10.00	0.00	10.00 80.0 8.00
10/22/2018	QGW013484	HACKEL, DEBBIE		NLIGHT INC COM	B	12,669.44	10.00	0.00	10.00 80.0 8.00
10/23/2018	QGW013484	HACKEL, DEBBIE		CARPENTER TECHNOLOGY	B	25,825.00	10.00	0.00	10.00 80.0 8.00
10/23/2018	QGW013484	HACKEL, DEBBIE		CARPENTER TECHNOLOGY	S	-25,840.00	10.00	0.00	10.00 80.0 8.00
10/23/2018	QGW013484	HACKEL, DEBBIE		LABORATORY CORP AMER HLDGS	S	-33,000.00	10.00	0.00	10.00 80.0 8.00
10/23/2018	QGW013484	HACKEL, DEBBIE		LABORATORY CORP AMER HLDGS	B	32,729.00	10.00	0.00	10.00 80.0 8.00
10/23/2018	QGW013484	HACKEL, DEBBIE		MKS INSTRUMENTS INC	S	-32,757.55	10.00	0.00	10.00 80.0 8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/23/2018	QGW013484 HACKEL, DEBBIE	THERMO FISHER SCIENTIFIC INC	S	-44,225.00	10.00	0.00	10.00	80.0	8.00
10/23/2018	QGW013484 HACKEL, DEBBIE	THERMO FISHER SCIENTIFIC INC	B	43,910.50	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW013484 HACKEL, DEBBIE	MICROCHIP TECHNOLOGY INC	B	30,699.35	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW013484 HACKEL, DEBBIE	MKS INSTRUMENTS INC	B	33,915.00	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW013484 HACKEL, DEBBIE	SEAWORLD ENTMT INC COM	B	53,717.60	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW013484 HACKEL, DEBBIE	SEAWORLD ENTMT INC COM	S	-54,599.40	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW013484 HACKEL, DEBBIE	MICROCHIP TECHNOLOGY INC	S	-31,125.00	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW013484 HACKEL, DEBBIE	MOLSON COORS BREWING CO CL B	B	28,500.15	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW013484 HACKEL, DEBBIE	MOLSON COORS BREWING CO CL B	S	-29,105.00	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	S	-23,546.00	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	B	23,274.00	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW013484 HACKEL, DEBBIE	WESTERN DIGITAL CORP	S	-14,877.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	BENCHMARK ELECTRONICS INC	B	22,820.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	BENCHMARK ELECTRONICS INC	S	-23,090.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	FLOOR & DECOR HLDGS INC COM CL A	S	-25,910.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	FLOOR & DECOR HLDGS INC COM CL A	B	25,753.90	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	LUMBER LIQUIDATORS HOLDINGS INC	S	-12,600.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	LUMBER LIQUIDATORS HOLDINGS INC	B	12,570.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	SANMINA CORPORATION COM	B	23,132.90	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	SANMINA CORPORATION COM	S	-23,630.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW013484 HACKEL, DEBBIE	WESTERN DIGITAL CORP	B	13,854.00	10.00	0.00	10.00	80.0	8.00
10/29/2018	QGW013484 HACKEL, DEBBIE	CITRIX SYS INC	S	-20,491.26	10.00	0.00	10.00	80.0	8.00
10/29/2018	QGW013484 HACKEL, DEBBIE	CITRIX SYS INC	B	20,694.60	10.00	0.00	10.00	80.0	8.00
10/29/2018	QGW013484 HACKEL, DEBBIE	COGNEX CORP	B	4,831.90	10.00	0.00	10.00	80.0	8.00
10/29/2018	QGW013484 HACKEL, DEBBIE	COGNEX CORP	S	-4,871.99	10.00	0.00	10.00	80.0	8.00
10/16/2018	QGW014128 MAHL, LOUANNE	CITIGROUP INC COM	S	-1,043.70	50.00	0.00	50.00	80.0	40.00
10/15/2018	QGW014391 DARWISH, MENACHEM	BOX INC CL A	B	25,300.00	35.00	0.00	35.00	80.0	28.00
10/17/2018	QGW014391 DARWISH, MENACHEM	BOX INC CL A	B	57,500.00	35.00	0.00	35.00	80.0	28.00
10/19/2018	QGW014391 DARWISH, MENACHEM	BOX INC CL A	B	32,200.00	35.00	0.00	35.00	80.0	28.00
10/03/2018	QGW014656 FERRANTE, ANTHONY	BANK OF AMERICA CORP	S	-2,988.01	30.00	0.00	30.00	80.0	24.00
10/03/2018	QGW014656 FERRANTE, ANTHONY	INDIA GLOBALIZATION CAP INC COM NEW B	B	4,823.75	30.00	0.00	30.00	80.0	24.00
10/04/2018	QGW014656 FERRANTE, ANTHONY	BANK OF AMERICA CORP	S	-3,024.50	50.00	0.00	50.00	80.0	40.00
10/04/2018	QGW014656 FERRANTE, ANTHONY	INDIA GLOBALIZATION CAP INC COM NEW B	B	2,800.00	78.32	0.00	78.32	80.0	62.66
10/29/2018	QGW014656 FERRANTE, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380	S	-16,261.83	50.00	0.00	50.00	80.0	40.00
10/29/2018	QGW016073 WOLLOCH, HELENE	BLUE BIRD CORPORATION COM	S	-1,624.10	10.00	0.00	10.00	80.0	8.00
10/08/2018	QGW019333 ARTHUR C KITTELSTAD,	ALPS SECTOR DIVIDEND DOGS ETF	B	2,305.49	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW020232 BERKOWITZ, SAM	ALTRA INDL MOTION CORP COM	S	-9,101.50	50.00	0.00	50.00	80.0	40.00
10/24/2018	QGW020232 BERKOWITZ, SAM	INTREXON CORP COM	B	58,903.00	742.23	0.00	742.23	80.0	593.78
10/24/2018	QGW020232 BERKOWITZ, SAM	ZIOPHARM ONCOLOGY INC	B	20,100.00	773.27	0.00	773.27	80.0	618.62
10/03/2018	QGW020497 FATTORUSO, PHYLLIS	GOVERNMENT PROPERTIES INCOME TRUST	S	-4,890.05	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/24/2018	QGW020497	FATTORUSO, PHYLLIS	GERON CORP	S	-796.30	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW020513	FORST, BARRY	SEYCHELLE ENVIRONMENTAL COM	S	-1,555.20	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW020513	FORST, BARRY	SEYCHELLE ENVIRONMENTAL COM	S	-775.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW020513	FORST, BARRY	TANZANIAN ROYALTY EXPLORATION CORPO B		2,302.80	20.00	0.00	20.00	80.0	16.00
10/12/2018	QGW020513	FORST, BARRY	PROGRESSIVE PLANET SOLUTIONS INC RE B		4,571.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW020513	FORST, BARRY	SEYCHELLE ENVIRONMENTAL COM	S	-1,900.50	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW020513	FORST, BARRY	BLUE BIRD CORPORATION COM	B	3,864.06	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW020513	FORST, BARRY	ENERGY FUELS INC COM NEW	B	4,528.20	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW020513	FORST, BARRY	VENTRIPOINT DIAGNOSTICS LTD COM NEW S		-1,881.00	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW020539	FORST, SHERRIE	BLUE BIRD CORPORATION COM	B	3,864.06	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW020547	FOSTER, ELEANORE	ALTRA INDL MOTION CORP COM	S	-9,101.50	28.25	0.00	28.25	80.0	22.60
10/03/2018	QGW020604	FOSTER, WILLIAM	ALTRA INDL MOTION CORP COM	S	-9,101.50	28.25	0.00	28.25	80.0	22.60
10/02/2018	QGW020679	GRASS, ELAINE	BLUE BIRD CORPORATION COM	B	2,483.44	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW020679	GRASS, ELAINE	CAMPBELL SOUP CO	S	-2,935.33	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW020679	GRASS, ELAINE	MACYS INC	B	1,391.13	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW020679	GRASS, ELAINE	ALTRA INDL MOTION CORP COM	S	-9,246.21	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW020679	GRASS, ELAINE	INTERNATIONAL BUSINESS MACHINES COR B		2,637.69	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW020687	GRAUBERD, ALLAN	BLUE BIRD CORPORATION COM	B	2,464.47	50.00	0.00	50.00	80.0	40.00
10/03/2018	QGW020752	HACKEL, ROXANNE	ALTRA INDL MOTION CORP COM	S	-9,101.50	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW020992	NEILL, MARTIN	SEYCHELLE ENVIRONMENTAL COM	S	-2,240.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW020992	NEILL, MARTIN	SEYCHELLE ENVIRONMENTAL COM	S	-1,700.00	20.00	0.00	20.00	80.0	16.00
10/09/2018	QGW020992	NEILL, MARTIN	SEYCHELLE ENVIRONMENTAL COM	S	-1,550.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW020992	NEILL, MARTIN	SEYCHELLE ENVIRONMENTAL COM	S	-775.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW020992	NEILL, MARTIN	SEYCHELLE ENVIRONMENTAL COM	S	-2,293.27	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW021115	ROTHBEIN, SYLVIA	ALTRA INDL MOTION CORP COM	S	-9,101.50	28.25	0.00	28.25	80.0	22.60
10/15/2018	QGW021156	SIEGEL, STUART	ANHEUSER-BUSCH INBEV SA SPONSORED A B		8,485.42	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW021263	KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	1,350.00	40.00	0.00	40.00	80.0	32.00
10/23/2018	QGW021263	KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	580.00	40.00	0.00	40.00	80.0	32.00
10/24/2018	QGW021263	KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	150.00	40.00	0.00	40.00	80.0	32.00
10/26/2018	QGW021263	KOLENIK, PATRICK	GENERATION NEXT FRANCHISE BRANDS IN S		-6,250.00	40.00	0.00	40.00	80.0	32.00
10/26/2018	QGW021263	KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	290.00	26.66	0.00	26.66	80.0	21.33
10/26/2018	QGW021263	KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	145.00	13.34	0.00	13.34	80.0	10.67
10/03/2018	QGW021347	ROTHBEIN, HOWARD	ALTRA INDL MOTION CORP COM	S	-9,101.50	28.25	0.00	28.25	80.0	22.60
10/04/2018	QGW022154	MANOS, WAYNE	BLUE BIRD CORPORATION COM	B	2,491.10	30.00	0.00	30.00	80.0	24.00
10/04/2018	QGW022154	MANOS, WAYNE	JOHN HANCOCK FINANCIAL OPPORTUNITY	S	-1,354.23	30.00	0.00	30.00	80.0	24.00
10/04/2018	QGW022154	MANOS, WAYNE	OBSIDIAN ENERGY LTD COM	S	-218.53	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW022154	MANOS, WAYNE	PEMBINA PIPELINE CORP	S	-3,486.00	30.00	0.00	30.00	80.0	24.00
10/24/2018	QGW022154	MANOS, WAYNE	BLUE BIRD CORPORATION COM	S	-1,729.14	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW022295	RODRIGUEZ, JOSE	ALTRA INDL MOTION CORP COM	S	-4,286.04	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW022295	RODRIGUEZ, JOSE	BLUE BIRD CORPORATION COM	B	2,503.33	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/02/2018	QGW022303	GALLO, TERESA		ALTRA INDL MOTION CORP COM	S	-9,148.37	20.00	0.00	20.00 80.0 16.00
10/04/2018	QGW022311	SWEENEY, PATRICIA		BLUE BIRD CORPORATION COM	B	1,343.42	30.00	0.00	30.00 80.0 24.00
10/02/2018	QGW023350	DOYLE, PETER		ALTRA INDL MOTION CORP COM	S	-9,208.95	50.00	0.00	50.00 80.0 40.00
10/02/2018	QGW023988	HACKEL, PATRICIA		ALTRA INDL MOTION CORP COM	S	-9,187.20	50.00	0.00	50.00 80.0 40.00
10/04/2018	QGW024010	MCLAUGHLIN, JEFFREY		BLUE BIRD CORPORATION COM	B	2,493.25	50.00	0.00	50.00 80.0 40.00
10/29/2018	QGW024143	WOLLOCH, BETH		BLUE BIRD CORPORATION COM	S	-1,623.79	10.00	0.00	10.00 80.0 8.00
10/03/2018	QGW024705	HACKEL, ROBERT		ALTRA INDL MOTION CORP COM	S	-9,101.50	20.00	0.00	20.00 80.0 16.00
10/29/2018	QGW025298	PALMER, MATTHEW		INTELGENX TECHNOLOGIES CORP COM	B	1,300.00	20.00	0.00	20.00 80.0 16.00
10/04/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	B	3,687.50	6.67	0.00	6.67 80.0 5.34
10/04/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	B	7,512.50	13.33	0.00	13.33 80.0 10.66
10/05/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	S	-3,667.81	10.00	0.00	10.00 80.0 8.00
10/05/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	S	-14,500.00	20.00	0.00	20.00 80.0 16.00
10/08/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	B	6,898.00	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW029019	SHARTSIS, JAY		MICRON TECHNOLOGY INC	B	16,842.60	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW029019	SHARTSIS, JAY		TECK RESOURCES LIMITED SUB VOTING C S		-23,218.00	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW029019	SHARTSIS, JAY		TECK RESOURCES LIMITED SUB VOTING C B		22,915.00	20.00	0.00	20.00 80.0 16.00
10/16/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	B	8,522.50	20.00	0.00	20.00 80.0 16.00
10/16/2018	QGW029019	SHARTSIS, JAY		TILRAY INC COM	S	-7,924.93	20.00	0.00	20.00 80.0 16.00
10/17/2018	QGW029019	SHARTSIS, JAY		SKECHERS USA INC CL A	B	13,275.00	20.00	0.00	20.00 80.0 16.00
10/17/2018	QGW029019	SHARTSIS, JAY		SKECHERS USA INC CL A	S	-13,295.00	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW029019	SHARTSIS, JAY		PETROLEO BRASILEIRO SA PETROBRAS SP S		-30,000.00	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW029019	SHARTSIS, JAY		PETROLEO BRASILEIRO SA PETROBRAS SP S		30,000.00	-20.00	0.00	-20.00 80.0 -16.00 C
10/19/2018	QGW029019	SHARTSIS, JAY		PETROLEO BRASILEIRO SA PETROBRAS SP S		-30,000.00	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW029019	SHARTSIS, JAY		VANECK VECTORS ETF TR JR GOLD MINER S		-112,000.00	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW029019	SHARTSIS, JAY		VANECK VECTORS ETF TR JR GOLD MINER B		108,000.00	20.00	0.00	20.00 80.0 16.00
10/23/2018	QGW029019	SHARTSIS, JAY		MICRON TECHNOLOGY INC	S	-15,092.00	20.00	0.00	20.00 80.0 16.00
10/23/2018	QGW029019	SHARTSIS, JAY		PETROLEO BRASILEIRO SA PETROBRAS SP B		15,710.00	20.00	0.00	20.00 80.0 16.00
10/26/2018	QGW029019	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY B		9,818.50	20.00	0.00	20.00 80.0 16.00
10/26/2018	QGW029019	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY S		-10,020.10	20.00	0.00	20.00 80.0 16.00
10/02/2018	QGW029555	PASTERNAK, RONALD		ALTRA INDL MOTION CORP COM	S	-9,189.57	75.00	0.00	75.00 80.0 60.00
10/05/2018	QGW030272	CHERNOFF, GLENN		CACTUS INC CL A	B	27,895.00	20.00	0.00	20.00 80.0 16.00
10/12/2018	QGW030272	CHERNOFF, GLENN		UNITED RENTALS INC	S	-28,041.34	20.00	0.00	20.00 80.0 16.00
09/27/2018	QGW030298	CHERNOFF, GLENN		DOLLAR TREE INC	S	-41,403.55	20.00	0.00	20.00 80.0 16.00
10/03/2018	QGW030298	CHERNOFF, GLENN		CRYOLIFE INC COM	S	-33,100.00	20.00	0.00	20.00 80.0 16.00
10/04/2018	QGW030298	CHERNOFF, GLENN		CYBERARK SOFTWARE LTD SHS ISIN#IL00 B		37,520.00	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW030298	CHERNOFF, GLENN		TELADOC INC COM	S	-31,750.00	20.00	0.00	20.00 80.0 16.00
10/17/2018	QGW030298	CHERNOFF, GLENN		AMARIN CORPORATION PLC SPONSORED AD B		20,478.40	20.00	0.00	20.00 80.0 16.00
10/22/2018	QGW030298	CHERNOFF, GLENN		CANOPY GROWTH CORP COM ISIN# CA1380 S		-23,575.00	20.00	0.00	20.00 80.0 16.00
10/23/2018	QGW030298	CHERNOFF, GLENN		TELADOC INC COM	B	33,988.00	20.00	0.00	20.00 80.0 16.00
10/25/2018	QGW030298	CHERNOFF, GLENN		APPLE INC	S	-21,751.00	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2018	QGW030298	CHERNOFF, GLENN		FACEBOOK INC CL A	S	-14,741.00	20.00	0.00	20.00 80.0 16.00
10/26/2018	QGW030298	CHERNOFF, GLENN		AMARIN CORPORATION PLC SPONSORED AD B		10,755.00	20.00	0.00	20.00 80.0 16.00
09/27/2018	QGW030629	BEGLEY, MICHAEL		CANOPY GROWTH CORP COM ISIN# CA1380 B		24,868.90	20.00	0.00	20.00 80.0 16.00
09/28/2018	QGW030629	BEGLEY, MICHAEL		CANOPY GROWTH CORP COM ISIN# CA1380 S		-15,300.00	20.00	0.00	20.00 80.0 16.00
10/25/2018	QGW030629	BEGLEY, MICHAEL		SUNOCO LP COMMON UNITS REPRESENTING B		3,476.25	20.00	0.00	20.00 80.0 16.00
10/19/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-21,032.70	20.00	0.00	20.00 80.0 16.00
10/22/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-22,448.96	20.00	0.00	20.00 80.0 16.00
10/23/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-1,078.00	20.00	0.00	20.00 80.0 16.00
10/24/2018	QGW030652	DEVITO, JOHN		FREEMPORT MCMORAN INC	B	16,000.05	20.00	0.00	20.00 80.0 16.00
10/24/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-14,845.09	20.00	0.00	20.00 80.0 16.00
10/25/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-13,722.80	11.40	0.00	11.40 80.0 9.12
10/25/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-10,325.76	8.60	0.00	8.60 80.0 6.88
10/26/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-4,050.00	6.00	0.00	6.00 80.0 4.80
10/26/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-5,400.00	8.00	0.00	8.00 80.0 6.40
10/26/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-4,050.00	6.00	0.00	6.00 80.0 4.80
10/29/2018	QGW030652	DEVITO, JOHN		SIEBERT FINL CORP COM	S	-13,160.50	20.00	0.00	20.00 80.0 16.00
10/02/2018	QGW030702	RUDOLPH, MARTIN		ALTRA INDL MOTION CORP COM	S	-7,784.81	20.00	0.00	20.00 80.0 16.00
10/02/2018	QGW030728	RUDOLPH, MARTIN		ALTRA INDL MOTION CORP COM	S	-9,075.34	20.00	0.00	20.00 80.0 16.00
10/05/2018	QGW030728	RUDOLPH, MARTIN		SALESFORCE.COM INC	S	-15,509.00	20.00	0.00	20.00 80.0 16.00
10/10/2018	QGW030728	RUDOLPH, MARTIN		ISHARES RUSSELL 2000 ETF	B	31,815.98	20.00	0.00	20.00 80.0 16.00
10/02/2018	QGW030744	RUDOLPH, CAROL		ALTRA INDL MOTION CORP COM	S	-8,367.63	20.00	0.00	20.00 80.0 16.00
10/11/2018	QGW030744	RUDOLPH, CAROL		NETFLIX COM INC	B	6,476.06	20.00	0.00	20.00 80.0 16.00
10/02/2018	QGW030751	RUDOLPH, CAROL		ALTRA INDL MOTION CORP COM	S	-9,053.54	20.00	0.00	20.00 80.0 16.00
09/27/2018	QGW031049	WINNICK, CHARLES		RADIAN GROUP INC COM	B	120,589.80	20.00	0.00	20.00 80.0 16.00
09/28/2018	QGW031049	WINNICK, CHARLES		MGIC INVT CORP WIS COM	B	60,371.39	20.00	0.00	20.00 80.0 16.00
10/02/2018	QGW031049	WINNICK, CHARLES		INDEPENDENCE HOLDING CO NEW	S	-70,124.00	20.00	0.00	20.00 80.0 16.00
10/03/2018	QGW031049	WINNICK, CHARLES		PULTEGROUP INC COM	B	61,587.50	20.00	0.00	20.00 80.0 16.00
10/04/2018	QGW031049	WINNICK, CHARLES		OWENS CORNING	B	198,290.05	20.00	0.00	20.00 80.0 16.00
10/04/2018	QGW031049	WINNICK, CHARLES		OWENS CORNING	B	-198,290.05	-20.00	0.00	-20.00 80.0 -16.00 C
10/04/2018	QGW031049	WINNICK, CHARLES		OWENS CORNING	B	191,290.05	20.00	0.00	20.00 80.0 16.00
10/05/2018	QGW031049	WINNICK, CHARLES		MGIC INVT CORP WIS COM	B	162,562.50	20.00	0.00	20.00 80.0 16.00
10/05/2018	QGW031049	WINNICK, CHARLES		PULTEGROUP INC COM	B	178,575.00	20.00	0.00	20.00 80.0 16.00
10/09/2018	QGW031049	WINNICK, CHARLES		DELTA AIR LINES INC DEL COM	B	208,277.20	20.00	0.00	20.00 80.0 16.00
10/10/2018	QGW031049	WINNICK, CHARLES		EMPIRE BANCORP INC COM	B	36,250.00	20.00	0.00	20.00 80.0 16.00
10/10/2018	QGW031049	WINNICK, CHARLES		INTREXON CORP COM	B	64,296.00	20.00	0.00	20.00 80.0 16.00
10/15/2018	QGW031049	WINNICK, CHARLES		AVAYA HLDGS CORP COM	B	37,370.00	20.00	0.00	20.00 80.0 16.00
10/15/2018	QGW031049	WINNICK, CHARLES		BORG WARNER AUTOMOTIVE INC	B	95,244.75	20.00	0.00	20.00 80.0 16.00
10/16/2018	QGW031049	WINNICK, CHARLES		BORG WARNER AUTOMOTIVE INC	S	-95,529.50	20.00	0.00	20.00 80.0 16.00
10/18/2018	QGW031049	WINNICK, CHARLES		LIBERTY MEDIA CORPORATION SERIES A	B	67,571.92	20.00	0.00	20.00 80.0 16.00
10/22/2018	QGW031049	WINNICK, CHARLES		AVAYA HLDGS CORP COM	B	71,180.00	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/24/2018	QGW031049	WINNICK, CHARLES							
		LGI HOMES INC COM	B	60,510.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW031049	WINNICK, CHARLES							
		INVESTORS BANCORP INC NEW COM	B	50,745.15	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW031270	HACKEL, HENRY							
		VANECK VECTORS ETF TR GOLD MINERS E B	B	382,600.00	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW031411	MCGOWAN, WILLIAM							
		BLUE BIRD CORPORATION COM	B	2,496.78	50.00	0.00	50.00	80.0	40.00
10/05/2018	QGW031429	MCGOWAN, DIANE							
		BLUE BIRD CORPORATION COM	B	2,496.78	50.00	0.00	50.00	80.0	40.00
10/04/2018	QGW033284	HAMPTON BAY TRADING							
		BLUE BIRD CORPORATION COM	B	2,509.55	50.00	0.00	50.00	80.0	40.00
09/28/2018	QGW036436	BELZ, KATHLEEN							
		GWG HLDGS INC COM	B	10,656.80	20.00	0.00	20.00	80.0	16.00
09/28/2018	QGW036436	BELZ, KATHLEEN							
		WALT DISNEY CO	S	-168,000.00	20.00	0.00	20.00	80.0	16.00
10/01/2018	QGW036436	BELZ, KATHLEEN							
		GWG HLDGS INC COM	B	23,100.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW036436	BELZ, KATHLEEN							
		INTERNATIONAL BUSINESS MACHINES COR B	B	73,000.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW036436	BELZ, KATHLEEN							
		NUCOR CORP	B	90,000.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW036436	BELZ, KATHLEEN							
		VUZIX CORP COM NEW	B	7,500.00	20.00	0.00	20.00	80.0	16.00
10/10/2018	QGW036451	BELZ, RICHARD							
		ENERGOUS CORP COM	S	-17,960.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW036451	BELZ, RICHARD							
		VUZIX CORP COM NEW	B	90,000.00	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW036550	FATTORUSO, PHYLLIS							
		GOVERNMENT PROPERTIES INCOME TRUST	S	-5,021.75	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW036550	FATTORUSO, PHYLLIS							
		GOVERNMENT PROPERTIES INCOME TRUST	S	-4,896.05	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW036634	KEHOE -, JOANNE							
		ALTRA INDL MOTION CORP COM	S	-8,959.80	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW036642	GRASS, PAUL							
		BLUE BIRD CORPORATION COM	B	2,478.96	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW036642	GRASS, PAUL							
		ALTRA INDL MOTION CORP COM	S	-9,244.07	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036659	SHARTSIS, JAY							
		SEARS HOLDINGS CORP	B	3,220.70	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036659	SHARTSIS, JAY							
		SEARS HOLDINGS CORP	B	-3,220.70	-20.00	0.00	-20.00	80.0	-16.00 C
10/02/2018	QGW036667	QUINONES, CAROL							
		ALTRA INDL MOTION CORP COM	S	-9,063.35	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW036667	QUINONES, CAROL							
		BLUE BIRD CORPORATION COM	B	2,502.72	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW036691	ABRAMSON, WILLA							
		ALTRA INDL MOTION CORP COM	S	-9,199.60	50.00	0.00	50.00	80.0	40.00
10/29/2018	QGW036865	MURPHY, DENNIS							
		INTERNATIONAL BUSINESS MACHINES COR B	B	24,287.20	161.46	0.00	161.46	80.0	129.17
09/27/2018	QGW037368	HEIBER, JENNIFER							
		CANOPY GROWTH CORP COM ISIN# CA1380	S	-49,253.20	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		GERON CORP	S	-5,650.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		KERYX BIOPHARMCEUTICALS INC COM	S	-11,699.10	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	S	-4,621.50	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		MY SIZE INC COM	S	-6,050.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		ON TRACK INNOVATION LTD SHS ISIN#IL	S	-4,750.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		OWC PHARMACEUTICAL RESH CORP COM	S	-2,618.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	S	-14,297.12	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		SAREPTA THERAPEUTICS INC COM	S	-31,864.30	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		SYNERGY PHARMACEUTICALS INC	S	-16,900.00	25.00	0.00	25.00	80.0	20.00
10/01/2018	QGW037368	HEIBER, JENNIFER							
		UQM TECHNOLOGIES INC COM	S	-13,005.00	25.00	0.00	25.00	80.0	20.00
10/02/2018	QGW037368	HEIBER, JENNIFER							
		ORAGENICS INC COM PAR \$0.001	B	29,476.00	25.00	0.00	25.00	80.0	20.00
10/02/2018	QGW037368	HEIBER, JENNIFER							
		ORAGENICS INC COM PAR \$0.001	S	-33,222.00	25.00	0.00	25.00	80.0	20.00
10/02/2018	QGW037368	HEIBER, JENNIFER							
		ORAGENICS INC COM PAR \$0.001	S	-16,250.00	25.00	0.00	25.00	80.0	20.00
10/02/2018	QGW037368	HEIBER, JENNIFER							
		SHIFTPIXY INC COM	S	-16,440.00	25.00	0.00	25.00	80.0	20.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/10/2018	QGW037368	HEIBER, JENNIFER		CANOPY GROWTH CORP COM ISIN# CA1380 S	-71,106.75	25.00	0.00	25.00	80.0	20.00
10/10/2018	QGW037368	HEIBER, JENNIFER		CRONOS GROUP INC COM ISIN#CA22717L1 S	-8,805.60	25.00	0.00	25.00	80.0	20.00
10/10/2018	QGW037368	HEIBER, JENNIFER		EATON VANCE TAX MANAGD GLBL DIVERSI S	-8,930.00	25.00	0.00	25.00	80.0	20.00
10/10/2018	QGW037368	HEIBER, JENNIFER		GERON CORP S	-34,428.00	25.00	0.00	25.00	80.0	20.00
10/10/2018	QGW037368	HEIBER, JENNIFER		NET ELEMENT INC COM PAR \$0.0001 S	-4,229.00	25.00	0.00	25.00	80.0	20.00
10/10/2018	QGW037368	HEIBER, JENNIFER		ON TRACK INNOVATION LTD SHS ISIN#IL S	-475.00	2.50	0.00	2.50	80.0	2.00
10/15/2018	QGW037368	HEIBER, JENNIFER		CANOPY GROWTH CORP COM ISIN# CA1380 S	-27,675.00	25.00	0.00	25.00	80.0	20.00
10/16/2018	QGW037368	HEIBER, JENNIFER		CRONOS GROUP INC COM ISIN#CA22717L1 B	29,473.00	25.00	0.00	25.00	80.0	20.00
10/24/2018	QGW037368	HEIBER, JENNIFER		GERON CORP S	-16,000.00	25.00	0.00	25.00	80.0	20.00
10/24/2018	QGW037368	HEIBER, JENNIFER		UNDER ARMOUR INC CLASS C COMMON STO S	-3,426.20	25.00	0.00	25.00	80.0	20.00
10/26/2018	QGW037368	HEIBER, JENNIFER		CORNING INC S	-30,380.00	25.00	0.00	25.00	80.0	20.00
10/26/2018	QGW037368	HEIBER, JENNIFER		INTEL CORP S	-22,775.00	25.00	0.00	25.00	80.0	20.00
09/25/2018	QGW038440	LEOPOLD,CLAUDIA		ALTRA INDL MOTION CORP COM S	-9,243.20	35.00	0.00	35.00	80.0	28.00
09/25/2018	QGW038853	KAZLOWSKI, PHILIP		ALTRA INDL MOTION CORP COM S	-7,806.41	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW038853	KAZLOWSKI, PHILIP		BLUE BIRD CORPORATION COM B	2,476.82	50.00	0.00	50.00	80.0	40.00
10/05/2018	QGW039489	GLENNON, COLIN		BLUE BIRD CORPORATION COM S	-2,459.16	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW040164	WINNICK, CHARLES		ALTRA INDL MOTION CORP COM S	-9,199.60	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW040164	WINNICK, CHARLES		BLUE BIRD CORPORATION COM B	2,465.10	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW040321	2018 TRUST, RYAN		CRONOS GROUP INC COM ISIN#CA22717L1 B	14,736.50	25.00	0.00	25.00	80.0	20.00
10/16/2018	QGW040339	2018 TRUST, WILLIAM		CRONOS GROUP INC COM ISIN#CA22717L1 B	14,736.50	25.00	0.00	25.00	80.0	20.00
10/19/2018	QGW040354	HACKEL, HENRY		FLEX LTD ORDINARY SHARES B	95,747.20	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW040354	HACKEL, HENRY		HUNTSMAN CORP B	179,760.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW040354	HACKEL, HENRY		OLIN CORPORATION B	179,680.00	20.00	0.00	20.00	80.0	16.00
10/23/2018	QGW040354	HACKEL, HENRY		DIREXION SHS ETF TR ENERGY BULL 3X B	317,816.40	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW040354	HACKEL, HENRY		FLEX LTD ORDINARY SHARES B	93,829.20	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW040354	HACKEL, HENRY		DIREXION SHS ETF TR ENERGY BULL 3X S	-297,633.60	20.00	0.00	20.00	80.0	16.00
* STOCKS SUBTOTAL *					\$2,580,590.58	\$15,667.09	\$0.00	\$15,667.09		\$12,533.68
PRODUCT CATEGORY: BONDS										
10/05/2018	75500279	ADELE HALL SWEET 1932		UNITED STATES TREASURY BILL B	448,602.75	225.00	0.00	225.00	80.0	180.00
10/05/2018	75500279	ADELE HALL SWEET 1932		UNITED STATES TREASURY BILL B	445,973.63	337.50	0.00	337.50	80.0	270.00
10/05/2018	75500279	ADELE HALL SWEET 1932		UNITED STATES TREAS BILLS 0.000% 01 B	447,656.82	270.00	0.00	270.00	80.0	216.00
10/05/2018	75500279	ADELE HALL SWEET 1932		UNITED STATES TREAS BILLS 0.000% 05 B	443,749.93	405.00	0.00	405.00	80.0	324.00
* BONDS SUBTOTAL *					\$1,785,983.13	\$1,237.50	\$0.00	\$1,237.50		\$990.00
PRODUCT CATEGORY: OPTIONS										
10/30/2018	75500425	MIGLIORATO, DOMENICK	CALL IBM 01/18/19 125	B	5,375.00	100.00	0.00	100.00	80.0	80.00
10/09/2018	75500426	MIGLIORATO, DOMENICK	CALL ZNGA 10/19/18 4	S	2,376.00	100.00	0.00	100.00	80.0	80.00
10/10/2018	75500426	MIGLIORATO, DOMENICK	PUT AAPL 11/16/18 210	S	4,600.00	190.62	0.00	190.62	80.0	152.50
10/16/2018	75500426	MIGLIORATO, DOMENICK	CALL ZNGA 10/19/18 4	S	1,456.00	72.80	0.00	72.80	80.0	58.24

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/19/2018	75500426 MIGLIORATO, DOMENICK	PUT OSTK 11/16/18 20	B	825.00	50.00	0.00	50.00	80.0	40.00
10/23/2018	75500426 MIGLIORATO, DOMENICK	PUT SPY 11/16/18 270	B	8,600.00	100.00	0.00	100.00	80.0	80.00
10/29/2018	75500426 MIGLIORATO, DOMENICK	PUT SPY 11/16/18 270	S	9,500.00	40.00	0.00	40.00	80.0	32.00
10/30/2018	75500426 MIGLIORATO, DOMENICK	CALL AABA 11/09/18 64.50	B	1,200.00	40.00	0.00	40.00	80.0	32.00
10/30/2018	75500426 MIGLIORATO, DOMENICK	PUT FB 11/02/18 138	B	5,950.00	40.00	0.00	40.00	80.0	32.00
10/30/2018	75500426 MIGLIORATO, DOMENICK	PUT FB 11/02/18 138	B	1,960.00	40.00	0.00	40.00	80.0	32.00
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		213,193.98	15.10	0.00	15.10	80.0	12.08
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-208,156.02	15.10	0.00	15.10	80.0	12.08
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-67,829.01	12.55	0.00	12.55	80.0	10.04
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		55,821.99	12.55	0.00	12.55	80.0	10.04
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 09-28-1 B		2,460.00	12.55	0.00	12.55	80.0	10.04
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		208,156.02	-15.10	0.00	-15.10	80.0	-12.08 C
09/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-208,156.02	15.10	0.00	15.10	80.0	12.08
09/28/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		1,980.00	22.75	0.00	22.75	80.0	18.20
09/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 S		-14,993.01	12.55	0.00	12.55	80.0	10.04
09/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 B		12,863.01	12.55	0.00	12.55	80.0	10.04
09/28/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-1,956.00	11.70	0.00	11.70	80.0	9.36
09/28/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		1,676.00	11.70	0.00	11.70	80.0	9.36
10/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		597,364.00	18.50	0.00	18.50	80.0	14.80
10/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-587,786.00	18.50	0.00	18.50	80.0	14.80
10/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 S		-83,900.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 B		76,280.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-41,444.00	11.70	0.00	11.70	80.0	9.36
10/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		35,434.00	11.70	0.00	11.70	80.0	9.36
10/01/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-05-18 S		-4,799.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-05-18 B		1,271.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		3,644.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-4,388.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR B		444.00	13.40	0.00	13.40	80.0	10.72
10/01/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-704.00	13.40	0.00	13.40	80.0	10.72
10/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-05-18 S		-19,986.08	23.60	0.00	23.60	80.0	18.88
10/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-05-18 B		5,438.08	23.60	0.00	23.60	80.0	18.88
10/02/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 10-05 S		-250.00	11.70	0.00	11.70	80.0	9.36
10/02/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 10-05 S		-210.00	11.70	0.00	11.70	80.0	9.36
10/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-05-18 S		-282.00	11.70	0.00	11.70	80.0	9.36
10/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-12-18 S		-830.00	11.70	0.00	11.70	80.0	9.36
10/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-80,779.00	13.40	0.00	13.40	80.0	10.72
10/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		63,910.00	13.40	0.00	13.40	80.0	10.72
10/04/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 B		85,855.00	15.95	0.00	15.95	80.0	12.76
10/04/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-71,407.00	15.95	0.00	15.95	80.0	12.76

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/04/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 03-15 S		-22,276.00	13.40	0.00	13.40	80.0	10.72
10/04/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 10-05 B		2,040.00	11.70	0.00	11.70	80.0	9.36
10/04/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 10-05 B		1,528.00	11.70	0.00	11.70	80.0	9.36
10/04/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-15-19 S		-14,530.00	11.70	0.00	11.70	80.0	9.36
10/04/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-05-18 B		2,080.00	11.70	0.00	11.70	80.0	9.36
10/04/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-15-19 S		14,530.00	-11.70	0.00	-11.70	80.0	-9.36 C
10/04/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-15-19 S		-14,530.00	11.70	0.00	11.70	80.0	9.36
10/05/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-21-1 B		176,866.02	17.65	0.00	17.65	80.0	14.12
10/05/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-21-1 S		-161,864.01	17.65	0.00	17.65	80.0	14.12
10/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-21-19 B		18,843.02	21.90	0.00	21.90	80.0	17.52
10/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-21-19 S		-14,190.96	21.90	0.00	21.90	80.0	17.52
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 S		-66,504.97	15.95	0.00	15.95	80.0	12.76
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 B		55,845.02	15.95	0.00	15.95	80.0	12.76
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		192,800.04	20.20	0.00	20.20	80.0	16.16
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-61,772.00	13.40	0.00	13.40	80.0	10.72
10/08/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 B		15,969.00	20.20	0.00	20.20	80.0	16.16
10/08/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-10,764.00	20.20	0.00	20.20	80.0	16.16
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 S		-150,057.96	20.20	0.00	20.20	80.0	16.16
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		45,445.00	13.40	0.00	13.40	80.0	10.72
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 S		66,504.97	-15.95	0.00	-15.95	80.0	-12.76 C
10/08/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 S		-66,504.97	15.95	0.00	15.95	80.0	12.76
10/08/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-15-19 S		-14,687.00	11.70	0.00	11.70	80.0	9.36
10/08/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-12-18 B		4,033.00	11.70	0.00	11.70	80.0	9.36
10/08/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 10-19-18@ S		-114.00	12.55	0.00	12.55	80.0	10.04
10/08/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		2,685.00	12.55	0.00	12.55	80.0	10.04
10/08/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-3,375.00	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		126,404.04	20.20	0.00	20.20	80.0	16.16
10/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-106,154.04	20.20	0.00	20.20	80.0	16.16
10/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		-126,404.04	-20.20	0.00	-20.20	80.0	-16.16 C
10/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		126,404.04	20.20	0.00	20.20	80.0	16.16
10/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		106,154.04	-20.20	0.00	-20.20	80.0	-16.16 C
10/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-106,154.04	20.20	0.00	20.20	80.0	16.16
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-19-18 B		900.00	14.25	0.00	14.25	80.0	11.40
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-19-18 S		-600.00	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 B		74,070.00	15.10	0.00	15.10	80.0	12.08
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 S		-84,580.02	15.10	0.00	15.10	80.0	12.08
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		7,779.99	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-16,116.00	13.40	0.00	13.40	80.0	10.72
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		13,472.01	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-5,004.00	13.40	0.00	13.40	80.0	10.72

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		4,245.00	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-9,224.00	13.40	0.00	13.40	80.0	10.72
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		-7,779.99	-12.55	0.00	-12.55	80.0	-10.04 C
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		7,779.99	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		16,116.00	-13.40	0.00	-13.40	80.0	-10.72 C
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-16,116.00	13.40	0.00	13.40	80.0	10.72
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		-13,472.01	-12.55	0.00	-12.55	80.0	-10.04 C
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		13,472.01	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		5,004.00	-13.40	0.00	-13.40	80.0	-10.72 C
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-5,004.00	13.40	0.00	13.40	80.0	10.72
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		-4,245.00	-12.55	0.00	-12.55	80.0	-10.04 C
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		4,245.00	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		9,224.00	-13.40	0.00	-13.40	80.0	-10.72 C
10/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-9,224.00	13.40	0.00	13.40	80.0	10.72
10/10/2018	QGW001166 KOONDEL	PUT 100 CATERPILLAR INC COM EXP 10- S		-183.00	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 CATERPILLAR INC COM EXP 10- S		-141.00	12.55	0.00	12.55	80.0	10.04
10/10/2018	QGW001166 KOONDEL	PUT 100 CATERPILLAR INC COM EXP 10- S		-302.01	12.55	0.00	12.55	80.0	10.04
10/11/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-2 S		-11,754.00	11.70	0.00	11.70	80.0	9.36
10/11/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 12-2 B		4,590.00	11.70	0.00	11.70	80.0	9.36
10/11/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		162,333.00	22.75	0.00	22.75	80.0	18.20
10/11/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-135,745.95	22.75	0.00	22.75	80.0	18.20
10/11/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 12-2 B		5,364.00	12.55	0.00	12.55	80.0	10.04
10/11/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-13,790.00	11.70	0.00	11.70	80.0	9.36
10/11/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-43,324.00	14.25	0.00	14.25	80.0	11.40
10/11/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		9,814.00	11.70	0.00	11.70	80.0	9.36
10/11/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		27,265.00	14.25	0.00	14.25	80.0	11.40
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-19,442.01	12.55	0.00	12.55	80.0	10.04
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-41,074.02	15.10	0.00	15.10	80.0	12.08
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-8,247.00	10.85	0.00	10.85	80.0	8.68
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		8,017.00	11.70	0.00	11.70	80.0	9.36
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		8,786.00	11.70	0.00	11.70	80.0	9.36
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		14,265.00	12.55	0.00	12.55	80.0	10.04
10/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		18,970.00	13.40	0.00	13.40	80.0	10.72
10/15/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 S		-6,005.00	14.25	0.00	14.25	80.0	11.40
10/15/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 10-19-1 B		3,763.00	14.25	0.00	14.25	80.0	11.40
10/15/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-21-19 B		20,522.04	20.20	0.00	20.20	80.0	16.16
10/15/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-21-19 S		-17,889.96	20.20	0.00	20.20	80.0	16.16
10/15/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-11,078.00	11.70	0.00	11.70	80.0	9.36
10/15/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-16,239.00	12.55	0.00	12.55	80.0	10.04
10/15/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		21,549.00	14.25	0.00	14.25	80.0	11.40

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-15,004.00	13.40	0.00	13.40	80.0	10.72
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-21 S		-37,099.98	15.10	0.00	15.10	80.0	12.08
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		6,822.00	13.40	0.00	13.40	80.0	10.72
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		9,770.00	11.70	0.00	11.70	80.0	9.36
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		10,098.00	11.70	0.00	11.70	80.0	9.36
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		10,570.00	11.70	0.00	11.70	80.0	9.36
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		-9,770.00	-11.70	0.00	-11.70	80.0	-9.36 C
10/15/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 B		9,770.00	11.70	0.00	11.70	80.0	9.36
10/15/2018	QGW001166 KOONDEL	PUT 100 GENERAL MILLS INC COM EXP 1 B		1,878.00	12.55	0.00	12.55	80.0	10.04
10/15/2018	QGW001166 KOONDEL	PUT 100 GENERAL MILLS INC COM EXP 0 S		-2,142.00	12.55	0.00	12.55	80.0	10.04
10/15/2018	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX B		3,060.00	15.10	0.00	15.10	80.0	12.08
10/15/2018	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-4,176.00	15.10	0.00	15.10	80.0	12.08
10/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-21-19 S		-2,115.00	12.55	0.00	12.55	80.0	10.04
10/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-40,131.00	15.95	0.00	15.95	80.0	12.76
10/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		32,298.98	15.95	0.00	15.95	80.0	12.76
10/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-1,770.00	12.55	0.00	12.55	80.0	10.04
10/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-1,380.00	12.55	0.00	12.55	80.0	10.04
10/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-700.00	11.70	0.00	11.70	80.0	9.36
10/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-530.00	11.70	0.00	11.70	80.0	9.36
10/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 11-16-18 B		9,244.00	13.40	0.00	13.40	80.0	10.72
10/17/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 S		-191,000.00	180.00	0.00	180.00	80.0	144.00
10/17/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		201,148.00	180.00	0.00	180.00	80.0	144.00
10/17/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 S		-85,575.00	137.50	0.00	137.50	80.0	110.00
10/17/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		66,316.00	95.00	0.00	95.00	80.0	76.00
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		-165.00	-12.55	0.00	-12.55	80.0	-10.04 C
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		165.00	12.55	0.00	12.55	80.0	10.04
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		165.00	12.55	0.00	12.55	80.0	10.04
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		225.00	12.55	0.00	12.55	80.0	10.04
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		380.00	13.40	0.00	13.40	80.0	10.72
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		701.00	14.25	0.00	14.25	80.0	11.40
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		1,400.98	15.95	0.00	15.95	80.0	12.76
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ S		-800.00	11.70	0.00	11.70	80.0	9.36
10/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ S		-867.00	12.55	0.00	12.55	80.0	10.04
10/17/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-09-18@3 S		-400.00	18.50	0.00	18.50	80.0	14.80
10/17/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		1,654.00	11.70	0.00	11.70	80.0	9.36
10/17/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-2,044.00	11.70	0.00	11.70	80.0	9.36
10/17/2018	QGW001166 KOONDEL	PUT 100 INTERNATIONAL BUSINESS MACH S		-220.00	13.40	0.00	13.40	80.0	10.72
10/18/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 S		-12,460.00	11.70	0.00	11.70	80.0	9.36
10/18/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 S		12,460.00	-11.70	0.00	-11.70	80.0	-9.36 C
10/18/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 12-21 S		-12,460.00	11.70	0.00	11.70	80.0	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/18/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 10-26-18@ S		-170.00	14.25	0.00	14.25	80.0	11.40
10/18/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-620.00	18.50	0.00	18.50	80.0	14.80
10/18/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR B		748.00	13.40	0.00	13.40	80.0	10.72
10/18/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-380.00	18.50	0.00	18.50	80.0	14.80
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		420.00	129.00	0.00	129.00	80.0	103.20
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-750.00	18.50	0.00	18.50	80.0	14.80
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		780.00	18.50	0.00	18.50	80.0	14.80
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-1,090.00	27.00	0.00	27.00	80.0	21.60
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-750.00	27.00	0.00	27.00	80.0	21.60
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-510.00	27.00	0.00	27.00	80.0	21.60
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		750.00	-18.50	0.00	-18.50	80.0	-14.80 C
10/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-750.00	18.50	0.00	18.50	80.0	14.80
10/19/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 01-1 B		12,279.96	21.90	0.00	21.90	80.0	17.52
10/19/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 01-1 S		-6,881.98	21.90	0.00	21.90	80.0	17.52
10/19/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 03-1 B		33,894.24	50.80	0.00	50.80	80.0	40.64
10/19/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 03-1 S		-21,165.12	50.80	0.00	50.80	80.0	40.64
10/19/2018	QGW001166 KOONDEL	CALL 100 GENERAL ELECTRIC CO COM EX S		-635.91	38.05	0.00	38.05	80.0	30.44
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 B		31,065.00	14.25	0.00	14.25	80.0	11.40
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		22,581.99	17.65	0.00	17.65	80.0	14.12
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-7,845.00	12.55	0.00	12.55	80.0	10.04
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-71,688.00	27.00	0.00	27.00	80.0	21.60
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		81,558.00	25.30	0.00	25.30	80.0	20.24
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-9,624.00	23.60	0.00	23.60	80.0	18.88
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		8,288.04	20.20	0.00	20.20	80.0	16.16
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-9,408.00	16.80	0.00	16.80	80.0	13.44
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		8,065.98	15.10	0.00	15.10	80.0	12.08
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-26,511.96	20.20	0.00	20.20	80.0	16.16
10/19/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 04-18-19 S		-53,907.00	14.25	0.00	14.25	80.0	11.40
10/19/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		170.00	11.70	0.00	11.70	80.0	9.36
10/19/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		-170.00	-11.70	0.00	-11.70	80.0	-9.36 C
10/19/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		170.00	11.70	0.00	11.70	80.0	9.36
10/19/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-1,833.15	38.05	0.00	38.05	80.0	30.44
10/19/2018	QGW001166 KOONDEL	PUT 100 HAIN CELESTIAL GROUP INC CO S		-308.00	13.40	0.00	13.40	80.0	10.72
10/19/2018	QGW001166 KOONDEL	PUT 100 HAIN CELESTIAL GROUP INC CO B		80.00	13.40	0.00	13.40	80.0	10.72
10/19/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-460.00	18.50	0.00	18.50	80.0	14.80
10/19/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-418.00	19.35	0.00	19.35	80.0	15.48
10/19/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-432.00	20.20	0.00	20.20	80.0	16.16
10/22/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 S		-2,535.00	10.85	0.00	10.85	80.0	8.68
10/22/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 S		-2,610.00	10.85	0.00	10.85	80.0	8.68
10/22/2018	QGW001166 KOONDEL	CALL 100 GENERAL ELECTRIC CO COM EX S		-682.00	36.35	0.00	36.35	80.0	29.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-1,922.00	36.35	0.00	36.35	80.0	29.08
10/22/2018	QGW001166 KOONDEL	PUT 100 INTERNATIONAL BUSINESS MACH B		828.00	13.40	0.00	13.40	80.0	10.72
10/22/2018	QGW001166 KOONDEL	PUT 100 INTERNATIONAL BUSINESS MACH S		-1,580.00	13.40	0.00	13.40	80.0	10.72
10/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 B		132,443.00	18.50	0.00	18.50	80.0	14.80
10/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-39,278.01	12.55	0.00	12.55	80.0	10.04
10/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-25,814.00	11.70	0.00	11.70	80.0	9.36
10/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-37,907.01	12.55	0.00	12.55	80.0	10.04
10/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-24,689.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 3M CO COM EXP 11-09-18@180. S		-320.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 S		-263,100.00	180.00	0.00	180.00	80.0	144.00
10/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		276,200.00	180.00	0.00	180.00	80.0	144.00
10/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 S		-109,158.00	137.50	0.00	137.50	80.0	110.00
10/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		81,944.00	95.00	0.00	95.00	80.0	76.00
10/24/2018	QGW001166 KOONDEL	PUT 100 AMERICAN TOWER REIT COM EXP S		-210.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-1,775.88	40.60	0.00	40.60	80.0	32.48
10/24/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		2,243.88	40.60	0.00	40.60	80.0	32.48
10/24/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 10-26-18@3 B		1,265.00	19.35	0.00	19.35	80.0	15.48
10/24/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 12-21-18@3 S		-1,030.00	18.50	0.00	18.50	80.0	14.80
10/24/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-09-18@3 B		960.00	18.50	0.00	18.50	80.0	14.80
10/24/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-30-18@3 S		-1,595.00	19.35	0.00	19.35	80.0	15.48
10/24/2018	QGW001166 KOONDEL	PUT 100 BERKSHIRE HATHAWAY INC DEL S		-340.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 CATERPILLAR INC COM EXP 10- B		3,495.00	12.55	0.00	12.55	80.0	10.04
10/24/2018	QGW001166 KOONDEL	PUT 100 CATERPILLAR INC COM EXP 05- S		-4,176.00	12.55	0.00	12.55	80.0	10.04
10/24/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-4,146.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-4,836.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		7,430.00	13.40	0.00	13.40	80.0	10.72
10/24/2018	QGW001166 KOONDEL	PUT 100 ILLINOIS TOOL WORKS INC COM S		-250.00	11.70	0.00	11.70	80.0	9.36
10/24/2018	QGW001166 KOONDEL	PUT 100 LOCKHEED MARTIN CORP COM EX S		-310.00	11.70	0.00	11.70	80.0	9.36
10/25/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 S		-4,215.00	11.70	0.00	11.70	80.0	9.36
10/25/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 S		-2,225.00	10.85	0.00	10.85	80.0	8.68
10/26/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-2 B		88,635.03	19.35	0.00	19.35	80.0	15.48
10/26/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-2 S		-62,362.96	19.35	0.00	19.35	80.0	15.48
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-21-1 B		169,223.04	21.90	0.00	21.90	80.0	17.52
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-21-1 S		-150,603.04	21.90	0.00	21.90	80.0	17.52
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		480.00	10.85	0.00	10.85	80.0	8.68
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		780.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		525.00	10.85	0.00	10.85	80.0	8.68
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		435.00	10.85	0.00	10.85	80.0	8.68
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-4,230.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		3,570.00	11.70	0.00	11.70	80.0	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-3,580.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		3,200.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		3,000.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-3,370.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		2,580.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-3,040.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		2,510.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-2,920.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-2,660.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		2,110.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-2,400.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		1,980.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		1,780.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-2,560.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-2,200.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		1,600.00	11.70	0.00	11.70	80.0	9.36
10/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-382.95	29.55	0.00	29.55	80.0	23.64
10/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		2,038.95	29.55	0.00	29.55	80.0	23.64
10/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		382.95	-29.55	0.00	-29.55	80.0	-23.64 C
10/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-382.95	29.55	0.00	29.55	80.0	23.64
10/26/2018	QGW001166 KOONDEL	CALL 100 GENERAL ELECTRIC CO COM EX S		-806.00	36.35	0.00	36.35	80.0	29.08
10/29/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-17-20 B		44,885.88	40.60	0.00	40.60	80.0	32.48
10/29/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-17-20 S		-36,245.16	40.60	0.00	40.60	80.0	32.48
10/29/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-17-20 S		-3,280.00	13.40	0.00	13.40	80.0	10.72
10/29/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-1,056.93	51.65	0.00	51.65	80.0	41.32
10/29/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		3,836.21	51.65	0.00	51.65	80.0	41.32
10/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		17,601.99	12.55	0.00	12.55	80.0	10.04
10/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-203,730.93	32.95	0.00	32.95	80.0	26.36
10/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		267,312.96	32.95	0.00	32.95	80.0	26.36
10/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-29,451.99	12.55	0.00	12.55	80.0	10.04
10/29/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		3,225.00	14.25	0.00	14.25	80.0	11.40
10/29/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		1,720.00	11.70	0.00	11.70	80.0	9.36
10/29/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-21-18@ S		-3,505.00	14.25	0.00	14.25	80.0	11.40
10/29/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-21-18@ S		-2,469.00	12.55	0.00	12.55	80.0	10.04
10/29/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-16-18@ B		2,340.00	12.55	0.00	12.55	80.0	10.04
10/29/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 04-18-19@ S		-2,040.00	11.70	0.00	11.70	80.0	9.36
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		23,670.00	6.84	0.00	6.84	80.0	5.47
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		29,495.00	9.11	0.00	9.11	80.0	7.29
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-23,425.00	9.12	0.00	9.12	80.0	7.30
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-18,855.00	6.83	0.00	6.83	80.0	5.46

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-26,250.00	21.90	0.00	21.90	80.0	17.52
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-24,225.00	22.75	0.00	22.75	80.0	18.20
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		52,350.12	40.60	0.00	40.60	80.0	32.48
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		-23,670.00	-6.84	0.00	-6.84	80.0	-5.47 C
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		23,670.00	6.84	0.00	6.84	80.0	5.47
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		18,855.00	-6.83	0.00	-6.83	80.0	-5.46 C
10/30/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-18,855.00	6.83	0.00	6.83	80.0	5.46
10/30/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-15-19 B		22,011.00	12.55	0.00	12.55	80.0	10.04
10/30/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-15-19 S		-33,936.00	12.55	0.00	12.55	80.0	10.04
10/30/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-6,010.00	10.85	0.00	10.85	80.0	8.68
10/30/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-6,145.00	10.85	0.00	10.85	80.0	8.68
10/30/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-6,325.00	10.85	0.00	10.85	80.0	8.68
10/30/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR B		1,203.00	18.50	0.00	18.50	80.0	14.80
10/30/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-1,473.00	18.50	0.00	18.50	80.0	14.80
10/16/2018	QGW001174 KOONDEL	PUT 100 AT&T INC COM EXP 11-09-18@3 S		-330.00	19.35	0.00	19.35	80.0	15.48
10/17/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 11-09-18@3 S		-400.00	18.50	0.00	18.50	80.0	14.80
10/24/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 10-26-18@3 B		1,150.00	18.50	0.00	18.50	80.0	14.80
10/24/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 11-30-18@3 S		-2,870.00	27.00	0.00	27.00	80.0	21.60
10/24/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 11-16-18@3 B		1,010.00	18.50	0.00	18.50	80.0	14.80
10/24/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 11-16-18@3 B		-1,010.00	-18.50	0.00	-18.50	80.0	-14.80 C
10/24/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 11-16-18@3 B		1,010.00	18.50	0.00	18.50	80.0	14.80
09/28/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-05-18@3 B		1,050.00	80.00	0.00	80.00	80.0	64.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-2,450.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-2,591.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-600.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-2,600.00	100.00	0.00	100.00	80.0	80.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-1,215.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-1,813.50	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-6,000.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-05-18@3 B		700.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW004905 CAPRARO, DAVID	PUT 100 GENERAL ELECTRIC CO COM EXP B		10,645.00	250.00	0.00	250.00	80.0	200.00
10/01/2018	QGW004905 CAPRARO, DAVID	PUT 100 GENERAL ELECTRIC CO COM EXP S		-11,482.50	250.00	0.00	250.00	80.0	200.00
10/02/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B		2,500.00	100.00	0.00	100.00	80.0	80.00
10/02/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-3,010.00	200.00	0.00	200.00	80.0	160.00
10/02/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B		600.00	50.00	0.00	50.00	80.0	40.00
10/02/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX S		-2,280.00	200.00	0.00	200.00	80.0	160.00
10/02/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B		2,100.00	150.00	0.00	150.00	80.0	120.00
10/05/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B		10,800.00	150.00	0.00	150.00	80.0	120.00
10/05/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B		18,000.00	250.00	0.00	250.00	80.0	200.00
10/05/2018	QGW004905 CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B		1,200.00	75.00	0.00	75.00	80.0	60.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/05/2018	QGW004905	CAPRARO, DAVID	CALL 100 GENERAL ELECTRIC CO COM EX B	6,150.00	150.00	0.00	150.00	80.0	120.00
10/10/2018	QGW004905	CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-19-18@3 S	-2,000.00	69.00	0.00	69.00	80.0	55.20
10/11/2018	QGW004905	CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-19-18@3 B	3,800.00	120.00	0.00	120.00	80.0	96.00
10/18/2018	QGW004905	CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-26-18@3 S	-1,200.00	60.00	0.00	60.00	80.0	48.00
10/19/2018	QGW004905	CAPRARO, DAVID	CALL 100 AT&T INC COM EXP 10-26-18@ B	850.00	50.00	0.00	50.00	80.0	40.00
10/19/2018	QGW004905	CAPRARO, DAVID	CALL 100 AT&T INC COM EXP 10-26-18@ S	-800.00	50.00	0.00	50.00	80.0	40.00
10/24/2018	QGW004905	CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-26-18@2 S	-1,100.00	60.00	0.00	60.00	80.0	48.00
10/24/2018	QGW004905	CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-26-18@2 B	1,100.00	60.00	0.00	60.00	80.0	48.00
10/24/2018	QGW004905	CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-26-18@3 B	3,268.00	100.00	0.00	100.00	80.0	80.00
09/28/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 MOMO INC ADR ISIN#US60879B B	2,056.00	30.00	0.00	30.00	80.0	24.00
10/01/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 FACEBOOK INC CL A EXP 12-21 B	5,276.00	30.00	0.00	30.00	80.0	24.00
10/01/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 01-18-1 S	-8,000.00	30.00	0.00	30.00	80.0	24.00
10/05/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 B	4,800.00	30.00	0.00	30.00	80.0	24.00
10/05/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 WORKDAY INC CL A EXP 12-21- B	2,694.00	30.00	0.00	30.00	80.0	24.00
10/05/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 WORKDAY INC CL A EXP 03-15- S	-2,955.00	30.00	0.00	30.00	80.0	24.00
10/08/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 IQIYI INC SPONS ADR ISIN#US B	596.00	30.00	0.00	30.00	80.0	24.00
10/10/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 11- S	-4,500.00	30.00	0.00	30.00	80.0	24.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NETFLIX INC COM EXP 06-21- B	2,205.00	30.00	0.00	30.00	80.0	24.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 11- B	7,200.00	30.00	0.00	30.00	80.0	24.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 02- S	-10,260.00	30.00	0.00	30.00	80.0	24.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 HUYA INC ADS REPSTG CL A SH B	5,000.00	30.00	0.00	30.00	80.0	24.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 HUYA INC ADS REPSTG CL A SH S	-5,300.00	30.00	0.00	30.00	80.0	24.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 11-1 S	-2,580.00	15.00	0.00	15.00	80.0	12.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 11-1 S	-2,460.00	15.00	0.00	15.00	80.0	12.00
10/11/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 S	-3,600.00	30.00	0.00	30.00	80.0	24.00
10/12/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NETFLIX INC COM EXP 06-21- S	-2,750.00	30.00	0.00	30.00	80.0	24.00
10/15/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NETFLIX INC COM EXP 06-21- B	2,400.00	30.00	0.00	30.00	80.0	24.00
10/15/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 10-1 S	-610.00	30.00	0.00	30.00	80.0	24.00
10/16/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 02- B	7,290.00	30.00	0.00	30.00	80.0	24.00
10/17/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NETFLIX INC COM EXP 01-18- S	-1,165.00	30.00	0.00	30.00	80.0	24.00
10/17/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 05- S	-8,550.00	30.00	0.00	30.00	80.0	24.00
10/17/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 B	3,090.00	30.00	0.00	30.00	80.0	24.00
10/18/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 10-1 B	660.00	30.00	0.00	30.00	80.0	24.00
10/18/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 01-18-19 S	-1,500.00	30.00	0.00	30.00	80.0	24.00
10/19/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 ADOBE SYS INC COM EXP 06-2 S	-1,600.00	30.00	0.00	30.00	80.0	24.00
10/19/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NETFLIX INC COM EXP 01-18- B	710.00	30.00	0.00	30.00	80.0	24.00
10/19/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NVIDIA CORP COM EXP 12-21- S	-424.00	30.00	0.00	30.00	80.0	24.00
10/19/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 11- S	-1,440.00	30.00	0.00	30.00	80.0	24.00
10/19/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 05- B	5,400.00	30.00	0.00	30.00	80.0	24.00
10/24/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 11- B	720.00	30.00	0.00	30.00	80.0	24.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/24/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 02- S	-2,100.00	30.00	0.00	30.00	80.0	24.00
10/24/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 05-1 S	-9,520.00	30.00	0.00	30.00	80.0	24.00
10/24/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 11-1 B	9,060.00	30.00	0.00	30.00	80.0	24.00
10/25/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NVIDIA CORP COM EXP 12-21- B	97.00	30.00	0.00	30.00	80.0	24.00
10/25/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 05- S	-2,080.00	30.00	0.00	30.00	80.0	24.00
10/25/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 05-1 S	-1,120.00	30.00	0.00	30.00	80.0	24.00
10/29/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 05- S	-4,950.00	30.00	0.00	30.00	80.0	24.00
10/29/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 02- B	1,200.00	30.00	0.00	30.00	80.0	24.00
10/29/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 05- B	1,680.00	30.00	0.00	30.00	80.0	24.00
10/29/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 GW PHARMACEUTICALSW PLC ADS S	-2,700.00	30.00	0.00	30.00	80.0	24.00
10/29/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 01-18-1 B	11,800.00	30.00	0.00	30.00	80.0	24.00
10/30/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B	396.00	30.00	0.00	30.00	80.0	24.00
10/30/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 01-18-1 B	12,242.00	30.00	0.00	30.00	80.0	24.00
10/30/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 06-21-1 B	12,350.00	30.00	0.00	30.00	80.0	24.00
10/30/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 01-18-19 B	2,780.00	30.00	0.00	30.00	80.0	24.00
10/03/2018	QGW009730	SHARTSIS, JAY	PUT 100 ADVANCED MICRO DEVICES INC S	-450.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW009730	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN S	-248.00	10.00	0.00	10.00	80.0	8.00
10/05/2018	QGW009730	SHARTSIS, JAY	CALL 100 WAYFAIR INC CL A EXP 10-19 S	-1,320.00	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW009730	SHARTSIS, JAY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 S	-1,420.00	20.00	0.00	20.00	80.0	16.00
10/08/2018	QGW009730	SHARTSIS, JAY	PUT 100 ADVANCED MICRO DEVICES INC S	-684.00	20.00	0.00	20.00	80.0	16.00
10/08/2018	QGW009730	SHARTSIS, JAY	PUT 100 AMARIN CORP PLC SPONS ADR N S	-360.00	10.00	0.00	10.00	80.0	8.00
10/08/2018	QGW009730	SHARTSIS, JAY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B	970.00	20.00	0.00	20.00	80.0	16.00
10/09/2018	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES INC MSCI BRAZIL ET S	-2,600.00	20.00	0.00	20.00	80.0	16.00
10/09/2018	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES INC MSCI BRAZIL ET B	1,040.00	20.00	0.00	20.00	80.0	16.00
10/09/2018	QGW009730	SHARTSIS, JAY	PUT 100 AMARIN CORP PLC SPONS ADR N B	680.00	10.00	0.00	10.00	80.0	8.00
10/10/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRA VIX S	-494.00	10.00	0.00	10.00	80.0	8.00
10/11/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR ULTRAPRO SHOR S	-750.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW009730	SHARTSIS, JAY	CALL 100 TRONOX LTD SHS CL A ISIN#A S	-480.00	20.00	0.00	20.00	80.0	16.00
10/12/2018	QGW009730	SHARTSIS, JAY	CALL 100 NETFLIX INC COM EXP 10-19- S	-1,551.00	20.00	0.00	20.00	80.0	16.00
10/12/2018	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 10-19-18 @26 S	-897.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW009730	SHARTSIS, JAY	CALL 100 NETFLIX INC COM EXP 10-19- B	1,408.00	10.00	0.00	10.00	80.0	8.00
10/15/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF S	-600.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-990.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW009730	SHARTSIS, JAY	CALL 100 FREEPORT-MCMORAN INC CL B S	-725.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW009730	SHARTSIS, JAY	PUT 100 TESLA INC EXP 10-19-18 @270 S	-351.00	10.00	0.00	10.00	80.0	8.00
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 ARCONIC INC COM EXP 10-19- S	-200.00	10.00	0.00	10.00	80.0	8.00
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 NETFLIX INC COM EXP 10-19- S	-555.00	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 NETFLIX INC COM EXP 10-19- B	18.00	10.00	0.00	10.00	80.0	8.00
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 NETFLIX INC COM EXP 11-16- S	-2,830.00	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 NETFLIX INC COM EXP 11-16- B	900.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRA VIX S	-1,440.00	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRA VIX B	90.00	10.00	0.00	10.00	80.0	8.00
10/17/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF B	40.00	10.00	0.00	10.00	80.0	8.00
10/17/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF S	-501.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW009730	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP B	6,505.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW009730	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP S	-7,320.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW009730	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN S	-120.00	10.00	0.00	10.00	80.0	8.00
10/18/2018	QGW009730	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN B	600.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW009730	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN S	-704.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF B	220.00	10.00	0.00	10.00	80.0	8.00
10/18/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF B	540.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW009730	SHARTSIS, JAY	CALL 100 ARCONIC INC COM EXP 11-16- S	-1,400.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW009730	SHARTSIS, JAY	CALL 100 DECKERS OUTDOOR CORP COM E S	-3,000.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW009730	SHARTSIS, JAY	CALL 100 FACEBOOK INC CL A EXP 10-2 S	-1,512.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW009730	SHARTSIS, JAY	CALL 100 FACEBOOK INC CL A EXP 10-2 B	32.00	10.00	0.00	10.00	80.0	8.00
10/19/2018	QGW009730	SHARTSIS, JAY	CALL 100 FREEPORT-MCMORAN INC CL B S	-1,225.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW009730	SHARTSIS, JAY	PUT 100 TESLA INC EXP 10-19-18 @270 B	1,017.00	10.00	0.00	10.00	80.0	8.00
10/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 ADVANCED MICRO DEVICES INC S	-465.00	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-375.00	10.00	0.00	10.00	80.0	8.00
10/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-390.00	10.00	0.00	10.00	80.0	8.00
10/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 DECKERS OUTDOOR CORP COM E B	1,125.00	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 FACEBOOK INC CL A EXP 10-2 B	1,236.00	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 TWITTER INC COM EXP 10-26- S	-900.00	20.00	0.00	20.00	80.0	16.00
10/23/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-675.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW009730	SHARTSIS, JAY	CALL 100 BOEING CO COM EXP 10-26-18 S	-829.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW009730	SHARTSIS, JAY	CALL 100 FREEPORT-MCMORAN INC CL B S	-975.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW009730	SHARTSIS, JAY	CALL 100 FREEPORT-MCMORAN INC CL B B	50.00	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRA VIX B	2,890.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW009730	SHARTSIS, JAY	PUT 100 ETSY INC COM EXP 11-16-18@4 S	-900.00	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW009730	SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 1 B	3,689.10	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRA VIX S	-3,200.00	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 11-16-18 @32 S	-1,509.00	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 11-02-18 @33 S	-700.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 BOEING CO COM EXP 10-26-18 B	158.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI B	180.00	10.00	0.00	10.00	80.0	8.00
10/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 1 S	-4,590.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 SPDR SER TR S&P BIOTECH ET B	3,660.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 11-16-18 @32 B	1,405.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW009730	SHARTSIS, JAY	PUT 100 TESLA INC EXP 11-02-18 @300 S	-520.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-740.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/29/2018	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES INC MSCI BRAZIL ET S	-1,660.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES INC MSCI BRAZIL ET B	120.00	10.00	0.00	10.00	80.0	8.00
10/29/2018	QGW009730	SHARTSIS, JAY	CALL 100 SPDR SER TR S&P BIOTECH ET S	-4,750.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF S	-4,600.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL ETF B	2,760.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR B	2,175.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW009730	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR S	-3,208.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW021156	SIEGEL, STUART	CALL 100 ANHEUSER BUSCH INBEV SA NV S	-259.14	20.00	0.00	20.00	80.0	16.00
10/01/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-26-1 B	1,700.00	10.00	0.00	10.00	80.0	8.00
10/03/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-26-1 S	-1,000.00	10.00	0.00	10.00	80.0	8.00
10/04/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-05-1 S	-600.00	10.00	0.00	10.00	80.0	8.00
10/08/2018	QGW029019	SHARTSIS, JAY	CALL 100 PETROLEO BRASILEIRO SA PET S	-1,720.00	20.00	0.00	20.00	80.0	16.00
10/08/2018	QGW029019	SHARTSIS, JAY	CALL 100 PETROLEO BRASILEIRO SA PET B	280.00	20.00	0.00	20.00	80.0	16.00
10/08/2018	QGW029019	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G B	4,250.00	20.00	0.00	20.00	80.0	16.00
10/09/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-19-1 B	30.00	10.00	0.00	10.00	80.0	8.00
10/09/2018	QGW029019	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G S	-1,200.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW029019	SHARTSIS, JAY	CALL 100 MICRON TECHNOLOGY INC COM S	-148.00	10.00	0.00	10.00	80.0	8.00
10/12/2018	QGW029019	SHARTSIS, JAY	CALL 100 MICRON TECHNOLOGY INC COM S	-472.00	10.00	0.00	10.00	80.0	8.00
10/12/2018	QGW029019	SHARTSIS, JAY	CALL 100 TESLA INC EXP 10-19-18 @26 S	-897.00	20.00	0.00	20.00	80.0	16.00
10/12/2018	QGW029019	SHARTSIS, JAY	CALL 100 TESLA INC EXP 10-19-18 @26 S	897.00	-20.00	0.00	-20.00	80.0	-16.00 C
10/15/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR B	100.00	10.00	0.00	10.00	80.0	8.00
10/15/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR S	-800.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW029019	SHARTSIS, JAY	PUT 100 TILRAY INC COM EXP 10-19-18 B	25.00	10.00	0.00	10.00	80.0	8.00
10/15/2018	QGW029019	SHARTSIS, JAY	PUT 100 TILRAY INC COM EXP 10-26-18 S	-685.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY B	264.00	10.00	0.00	10.00	80.0	8.00
10/16/2018	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-264.00	10.00	0.00	10.00	80.0	8.00
10/16/2018	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY B	-264.00	-10.00	0.00	-10.00	80.0	-8.00 C
10/18/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR B	270.00	10.00	0.00	10.00	80.0	8.00
10/18/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR B	250.00	10.00	0.00	10.00	80.0	8.00
10/22/2018	QGW029019	SHARTSIS, JAY	CALL 100 MICRON TECHNOLOGY INC COM S	-268.00	10.00	0.00	10.00	80.0	8.00
10/22/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR S	-480.00	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW029019	SHARTSIS, JAY	PUT 100 TILRAY INC COM EXP 10-26-18 B	1,670.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR B	480.00	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW029019	SHARTSIS, JAY	CALL 100 SPDR S&P 500 ETF TR TR UNI B	412.00	20.00	0.00	20.00	80.0	16.00
10/25/2018	QGW029019	SHARTSIS, JAY	CALL 100 SPDR S&P 500 ETF TR TR UNI S	-684.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW029019	SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF S	-1,525.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW029019	SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF B	485.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW029019	SHARTSIS, JAY	PUT 100 PETROLEO BRASILEIRO SA PETR S	-480.00	20.00	0.00	20.00	80.0	16.00
09/28/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 CANOPY GROWTH CORP COM ISI S	-1,000.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 10-12-1 B	1,840.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/18/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 SPDR S&P 500 ETF TR TR UNI B	760.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 SPDR S&P 500 ETF TR TR UNI S	-510.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 DELTA AIRLINES INC COM NEW B	57.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 PHILIP MORRIS INTL INC COM S	-1,260.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 VUZIX CORP COM NEW EXP 01- S	-560.00	20.00	0.00	20.00	80.0	16.00
10/18/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 VUZIX CORP COM NEW EXP 01- B	230.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 PHILIP MORRIS INTL INC COM S	-620.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 AMAZON COM INC EXP 10-19-1 S	-1,815.00	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 ISHARES TR RUSSELL 2000 ET S	-280.00	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 MICROSOFT CORP COM EXP 01- S	-310.00	20.00	0.00	20.00	80.0	16.00
10/17/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 B	211.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 AMAZON COM INC EXP 10-19-1 B	114.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 01-18-19 S	-7,270.00	20.00	0.00	20.00	80.0	16.00
10/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 B	7,069.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW031544	HEALY, TIMOTHY	CALL 100 FIAT CHRYSLER AUTOMOBILES B	950.00	40.00	0.00	40.00	80.0	32.00
10/11/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	4,530.00	40.00	0.00	40.00	80.0	32.00
10/11/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-7,210.00	40.00	0.00	40.00	80.0	32.00
10/15/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-3,075.00	40.00	0.00	40.00	80.0	32.00
10/15/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	4,275.00	40.00	0.00	40.00	80.0	32.00
10/15/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-5,325.00	40.00	0.00	40.00	80.0	32.00
10/23/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	4,200.00	40.00	0.00	40.00	80.0	32.00
10/23/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-5,455.00	40.00	0.00	40.00	80.0	32.00
10/24/2018	QGW031544	HEALY, TIMOTHY	CALL 100 FIAT CHRYSLER AUTOMOBILES S	-1,060.00	40.00	0.00	40.00	80.0	32.00
10/25/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-4,825.00	40.00	0.00	40.00	80.0	32.00
10/11/2018	QGW031551	HEALY, TIMOTHY	CALL 100 CBOE VOLATILITY INDEX - VX S	-1,850.00	40.00	0.00	40.00	80.0	32.00
10/22/2018	QGW031551	HEALY, TIMOTHY	PUT 100 ARCH COAL INC CL A EXP 11-1 B	1,550.00	40.00	0.00	40.00	80.0	32.00
10/25/2018	QGW031551	HEALY, TIMOTHY	PUT 100 ARCH COAL INC CL A EXP 11-1 S	-1,450.00	40.00	0.00	40.00	80.0	32.00
10/25/2018	QGW031551	HEALY, TIMOTHY	PUT 100 WALMART INC COM EXP 12-21-1 S	-1,260.00	40.00	0.00	40.00	80.0	32.00
10/10/2018	QGW034019	BEGLEY, HOLLY	CALL 100 DIREXION SHS ETF TR DIREXI B	430.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW034019	BEGLEY, HOLLY	CALL 100 CANOPY GROWTH CORP COM ISI S	-1,600.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW034019	BEGLEY, HOLLY	CALL 100 DIREXION SHS ETF TR DIREXI S	-550.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW034019	BEGLEY, HOLLY	CALL 100 SPDR S&P 500 ETF TR TR UNI B	879.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW034019	BEGLEY, HOLLY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B	556.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW034019	BEGLEY, HOLLY	CALL 100 ALPHABET INC CL A EXP 11-0 B	262.00	20.00	0.00	20.00	80.0	16.00
10/29/2018	QGW034019	BEGLEY, HOLLY	CALL 100 SPDR S&P 500 ETF TR TR UNI S	-1,130.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036410	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,500.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036410	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 11- S	-600.00	20.00	0.00	20.00	80.0	16.00
10/01/2018	QGW036436	BELZ, KATHLEEN	PUT 100 COHERUS BIOSCIENCES INC COM S	-2,400.00	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,000.00	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW036436	BELZ, KATHLEEN	CALL 100 FACEBOOK INC CL A EXP 10-1 S	-696.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/04/2018	QGW036436	BELZ, KATHLEEN	CALL 100 TESLA INC EXP 10-12-18 @28 S	-3,300.00	20.00	0.00	20.00	80.0	16.00
10/09/2018	QGW036436	BELZ, KATHLEEN	PUT 100 INTERNATIONAL BUSINESS MACH S	-1,315.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW036436	BELZ, KATHLEEN	PUT 100 NUCOR CORP COM EXP 10-19-18 S	-1,185.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,200.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036436	BELZ, KATHLEEN	CALL 100 FACEBOOK INC CL A EXP 11-0 S	-736.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-1,500.00	20.00	0.00	20.00	80.0	16.00
10/24/2018	QGW036436	BELZ, KATHLEEN	PUT 100 BANK OF AMERICA CORPORATION S	-940.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036436	BELZ, KATHLEEN	CALL 100 NUCOR CORP COM EXP 11-02-1 S	-315.00	20.00	0.00	20.00	80.0	16.00
09/28/2018	QGW036451	BELZ, RICHARD	CALL 100 COHERUS BIOSCIENCES INC CO S	-3,300.00	20.00	0.00	20.00	80.0	16.00
10/01/2018	QGW036451	BELZ, RICHARD	CALL 100 BUCKEYE PARTNERS L P UNIT S	-250.00	20.00	0.00	20.00	80.0	16.00
10/01/2018	QGW036451	BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	-300.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW036451	BELZ, RICHARD	CALL 100 MEDALLION FINL CORP COM EX S	-1,050.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW036451	BELZ, RICHARD	CALL 100 COHERUS BIOSCIENCES INC CO S	-2,300.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW036451	BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	-340.00	20.00	0.00	20.00	80.0	16.00
10/15/2018	QGW036451	BELZ, RICHARD	PUT 100 BANK OF AMERICA CORPORATION S	-780.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036451	BELZ, RICHARD	CALL 100 BUCKEYE PARTNERS L P UNIT S	-350.00	20.00	0.00	20.00	80.0	16.00
10/16/2018	QGW036451	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 10 S	-1,000.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036451	BELZ, RICHARD	CALL 100 ENERGIOUS CORP COM EXP 11-1 S	-1,000.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036451	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 11 S	-10.00	2.17	0.00	2.17	80.0	1.74
10/30/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 11- S	-330.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 12- S	-3,000.00	20.00	0.00	20.00	80.0	16.00
10/02/2018	QGW036659	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P B	2,840.00	20.00	0.00	20.00	80.0	16.00
10/03/2018	QGW036659	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP S	-4,200.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW036659	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S	-4,500.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW036659	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-2,930.00	20.00	0.00	20.00	80.0	16.00
10/04/2018	QGW036659	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	3,605.00	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-3,440.00	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	1,750.00	20.00	0.00	20.00	80.0	16.00
10/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	7,030.00	16.00	0.00	16.00	80.0	12.80
10/08/2018	QGW036659	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-5,805.00	20.00	0.00	20.00	80.0	16.00
10/22/2018	QGW036659	SHARTSIS, JAY	CALL 100 HORMEL FOODS CORP COM EXP B	2,160.00	10.00	0.00	10.00	80.0	8.00
10/22/2018	QGW036659	SHARTSIS, JAY	CALL 100 HORMEL FOODS CORP COM EXP B	2,100.00	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW036659	SHARTSIS, JAY	CALL 100 HORMEL FOODS CORP COM EXP S	-2,600.00	10.00	0.00	10.00	80.0	8.00
10/24/2018	QGW036659	SHARTSIS, JAY	CALL 100 HORMEL FOODS CORP COM EXP S	-2,620.00	10.00	0.00	10.00	80.0	8.00
10/25/2018	QGW036659	SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 1 B	2,560.00	20.00	0.00	20.00	80.0	16.00
10/26/2018	QGW036659	SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 1 S	-3,000.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036659	SHARTSIS, JAY	CALL 100 EBAY INC COM EXP 11-16-18@ B	1,660.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW036659	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G B	3,375.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-9,744.00	50.00	0.00	50.00	80.0	40.00
10/11/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	8,463.00	50.00	0.00	50.00	80.0	40.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
10/11/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	-8,463.00	-50.00	0.00	-50.00	80.0	-40.00 C
10/11/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	8,463.00	50.00	0.00	50.00	80.0	40.00
10/12/2018	QGW038267	ROSS, THOMAS	BANK AMER CORP \$30.79 WTS EXP 10/28 S	-578.45	25.00	0.00	25.00	80.0	20.00
10/12/2018	QGW038267	ROSS, THOMAS	JPMORGAN CHASE & CO WARRANT EXP 10/ S	-32,876.65	25.00	0.00	25.00	80.0	20.00
10/16/2018	QGW038853	KAZLOWSKI, PHILIP	CALL 100 CENTURYLINK INC COM EXP 11 B	40.00	10.00	0.00	10.00	80.0	8.00
09/28/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-05-18@3 B	1,050.00	80.00	0.00	80.00	80.0	64.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-4,900.00	100.00	0.00	100.00	80.0	80.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-5,400.00	100.00	0.00	100.00	80.0	80.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-1,800.00	150.00	0.00	150.00	80.0	120.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-5,200.00	200.00	0.00	200.00	80.0	160.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-1,215.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-1,813.50	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-6,000.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-05-18@3 B	661.00	50.00	0.00	50.00	80.0	40.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 GENERAL ELECTRIC CO COM EXP B	14,903.00	350.00	0.00	350.00	80.0	280.00
10/01/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 GENERAL ELECTRIC CO COM EXP S	-16,075.50	350.00	0.00	350.00	80.0	280.00
10/02/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	5,000.00	200.00	0.00	200.00	80.0	160.00
10/02/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	1,400.00	75.00	0.00	75.00	80.0	60.00
10/02/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-3,420.00	300.00	0.00	300.00	80.0	240.00
10/02/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX S	-4,515.00	300.00	0.00	300.00	80.0	240.00
10/02/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	2,100.00	150.00	0.00	150.00	80.0	120.00
10/05/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	18,000.00	250.00	0.00	250.00	80.0	200.00
10/05/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	25,431.00	350.00	0.00	350.00	80.0	280.00
10/05/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	1,350.00	75.00	0.00	75.00	80.0	60.00
10/05/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 GENERAL ELECTRIC CO COM EX B	6,150.00	150.00	0.00	150.00	80.0	120.00
10/10/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-19-18@3 S	-2,700.00	99.00	0.00	99.00	80.0	79.20
10/11/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-19-18@3 B	5,700.00	90.00	0.00	90.00	80.0	72.00
10/18/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-26-18@3 S	-1,800.00	80.00	0.00	80.00	80.0	64.00
10/19/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 AT&T INC COM EXP 10-26-18@ B	850.00	50.00	0.00	50.00	80.0	40.00
10/19/2018	QGW039075	CAPRARO	IRREVOCABLE CALL 100 AT&T INC COM EXP 10-26-18@ S	-800.00	50.00	0.00	50.00	80.0	40.00
10/24/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-26-18@2 S	-1,650.00	75.00	0.00	75.00	80.0	60.00
10/24/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-26-18@2 B	1,650.00	75.00	0.00	75.00	80.0	60.00
10/24/2018	QGW039075	CAPRARO	IRREVOCABLE PUT 100 AT&T INC COM EXP 10-26-18@3 B	4,950.00	150.00	0.00	150.00	80.0	120.00
10/10/2018	QGW039489	GLENNON, COLIN	CALL 100 SPDR S&P 500 ETF TR TR UNI B	2,750.00	20.00	0.00	20.00	80.0	16.00
10/11/2018	QGW039489	GLENNON, COLIN	CALL 100 SPDR S&P 500 ETF TR TR UNI S	-1,000.00	20.00	0.00	20.00	80.0	16.00
10/23/2018	QGW040354	HACKEL, HENRY	CALL 100 DIREXION SHS ETF TR ENERGY S	-16,800.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-70,655.66	\$18,155.94	\$0.00	\$18,155.94		\$14,524.76

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018	FEE COUNT - 33			0.00	433.65	0.00	433.65	80.0	346.90
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$433.65	\$0.00	\$433.65		\$346.90
	*** BROKER TRANSACTIONS TOTAL ***			\$4,304,568.05	\$36,717.48	\$0.00	\$36,717.48		\$29,373.97

BROKER COMMISSION TOTALS

Broker Transactions	\$29,373.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-45.90
SIPC Assessment	\$-91.79

Check Amount	\$29,236.28
Year-to-date Earnings	\$217,630.77