DOMINION INVESTOR SERVICES, INC.

## **COMMISSION STATEMENT**

October 31, 2018

DON BOYD-D076 615 SW 8th Avenue

Amarillo, TX 79101-2113

#### BROKER #: D076 BRANCH: DMC

#### COMMISSION STATEMENT for DON BOYD-D076

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		CLEARING T	RAN	SACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS									
10/08/2018 337533254 , JAMES HORNSTRA	MF	VANGUARD BALANCED INDEX FD ADM	В	-2,972.55	0.00	5.00	-5.00	90.0	-4.50
10/02/2018 368264072 HERNANDEZ, SHARON	MF	FUNDAMENTAL INVESTORS-A	В	-3,395.10	0.00	5.00	-5.00	90.0	-4.50
10/11/2018 368430655 ISLEY (BENE),	MF	CAPITAL WORLD BOND FUND-A	В	-49,995.10	0.00	5.00	-5.00	90.0	-4.50
10/26/2018 368430767 CAMP, LINDA	MF	WASHINGTON MUTUAL INVESTORS	S	-7,299.83	0.00	5.00	-5.00	90.0	-4.50
10/11/2018 368490761 SALVATO, PATRICIA	MF	CAPITAL WORLD GROWTH AND	В	-1,195.10	0.00	5.00	-5.00	90.0	-4.50
		* MUTUAL FUNDS SUBTOTAL	*	\$-64,857.68	\$0.00	\$25.00	\$-25.00		\$-22.50
PRODUCT CATEGORY: STOCKS									
09/27/2018 123451594 AUSTIN III, JAMES	S	AT&T INC	S	-50,659.52	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	GENERAL MILLS INCORPORATED	S	-43,417.08	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	KELLOGG COMPANY	S	-52,785.34	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	MONDELEZ INTERNATIONAL INC CL A	S	-74,008.70	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	PEPSICO INCORPORATED	S	-55,876.87	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	SOUTHERN COMPANY	S	-43,561.98	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	STARBUCKS CORP	S	-57,588.30	50.00	15.00	35.00	90.0	31.50
09/27/2018 123451594 AUSTIN III, JAMES	S	THE WALT DISNEY CO	S	-116,336.14	50.00	15.00	35.00	90.0	31.50
10/15/2018 123451594 AUSTIN III, JAMES	S	CULLEN FROST BANKERS INC	В	200,941.25	50.00	15.00	35.00	90.0	31.50
10/16/2018 123451594 AUSTIN III, JAMES	S	3M COMPANY	В	60,723.85	50.00	15.00	35.00	90.0	31.50
10/16/2018 123451594 AUSTIN III, JAMES	S	AUTOMATIC DATA PROCESSING INC	В	42,449.65	50.00	15.00	35.00	90.0	31.50
10/16/2018 123451594 AUSTIN III, JAMES	S	GARMIN LTD (SWITZERLAND) ISIN	В	62,690.65	50.00	15.00	35.00	90.0	31.50
10/16/2018 123451594 AUSTIN III, JAMES	S	WASTE MANAGEMENT INC. COMMON	В	44,912.40	50.00	15.00	35.00	90.0	31.50
10/24/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	560,092.95	50.00	15.00	35.00	90.0	31.50
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	543.85	0.05	0.00	0.05	90.0	0.05
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	2,501.71	0.23	0.00	0.23	90.0	0.21
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	5,220.96	0.48	0.00	0.48	90.0	0.43
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	5,439.00	0.50	0.00	0.50	90.0	0.45
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	5,439.00	0.50	0.00	0.50	90.0	0.45
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	5,439.00	0.50	0.00	0.50	90.0	0.45
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	5,547.27	0.51	0.00	0.51	90.0	0.46
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,876.90	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90

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10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	10,877.00	1.00	0.00	1.00	90.0	0.90
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	16,533.04	1.52	0.00	1.52	90.0	1.37
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	21,750.00	2.00	0.00	2.00	90.0	1.80
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	21,752.45	2.00	15.00	-13.00	90.0	-11.70
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	62,119.03	5.71	0.00	5.71	90.0	5.14
10/29/2018 152911893 BROWN (TOD), BOBBY	S	CHEVRON CORPORATION	В	304,612.00	28.00	0.00	28.00	90.0	25.20
10/16/2018 338214344 HOLT, RONNIE	S	FEDEX CORP	В	22,331.05	50.00	15.00	35.00	90.0	31.50
10/29/2018 368244731 RUSSELL, JOHN	S	TESLA INC FORMERLY TESLA MOTORS	s s	-10,317.22	50.00	15.00	35.00	90.0	31.50
10/02/2018 368257674 HERNANDEZ, MANUEL	S	SOUTHWEST AIRLINES COMPANY	S	-528.34	20.00	15.00	5.00	90.0	4.50
10/25/2018 368278412 MARTIN, BEVERLY	S	KINDER MORGAN INC	S	-3,202.19	50.00	15.00	35.00	90.0	31.50
10/25/2018 368278412 MARTIN, BEVERLY	S	VANGUARD INDEX FDS S&P 500 ETF	В	-3,275.80	-50.00	-15.00	-35.00	90.0	-31.50
10/25/2018 368278412 MARTIN, BEVERLY	S	VANGUARD INDEX FDS S&P 500 ETF	В	3,275.80	50.00	15.00	35.00	90.0	31.50
10/25/2018 368278412 MARTIN, BEVERLY	S	VANGUARD INDEX FDS S&P 500 ETF	В	3,265.80	40.00	15.00	25.00	90.0	22.50
10/02/2018 491454078 MORRIS, TRENT	S	VANGUARD MID-CAP VALUE INDEX	В	15,304.39	50.00	15.00	35.00	90.0	31.50
10/02/2018 491454078 MORRIS, TRENT	S	VANGUARD SMALL-CAP ETF	В	15,267.64	50.00	15.00	35.00	90.0	31.50
10/02/2018 491454078 MORRIS, TRENT	S	VANGUARD TOTAL WORLD STOCK	В	22,419.32	50.00	15.00	35.00	90.0	31.50
		* STOCKS SUBTOTAL	*	\$1,086,030.48	\$1,110.00	\$345.00	\$765.00		\$688.51
PRODUCT CATEGORY: MISCELLANEOUS									
10/03/2018 a03562087 BOYD-D076, DON	MI	MUTUAL FUND MISC FEE 368264072	S	0.00	2.45	0.00	2.45	90.0	2.21
10/09/2018 a03562087 BOYD-D076, DON	MI	MUTUAL FUND MISC FEE 337533254	S	0.00	25.00	0.00	25.00	90.0	22.50
10/12/2018 a03562087 BOYD-D076, DON	MI	MUTUAL FUND MISC FEE 368490761	S	0.00	2.45	0.00	2.45	90.0	2.21
10/12/2018 a03562087 BOYD-D076, DON	MI	MUTUAL FUND MISC FEE 368430655	S	0.00	2.45	0.00	2.45	90.0	2.21
		* MISCELLANEOUS SUBTOTAL	*	\$0.00	\$32.35	\$0.00	\$32.35		\$29.13
PRODUCT CATEGORY: CERTIFICATES OF I	EPO	SIT							
10/10/2018 585316591 TOD, MARY PIPKIN		MARLIN BUSINESS BK SALT LAKE U	ГВ	150,002.45	405.00	20.00	385.00	90.0	346.50
10/10/2018 708080168 KING TEN COM,	CD	DISCOVER BK GREENWOOD DE	В	100,002.45	270.00	20.00	250.00	90.0	225.00
10/10/2018 708080168 KING TEN COM,	CD	MARLIN BUSINESS BK SALT LAKE U	гв	150,002.45	405.00	20.00	385.00	90.0	346.50
10/10/2018 708093128 INC, TASCOSA	CD	DISCOVER BK GREENWOOD DE	В	100,002.45	270.00	20.00	250.00	90.0	225.00
10/10/2018 708093128 INC, TASCOSA	CD		гв	150,002.45	405.00	20.00	385.00		346.50
10/10/2018 708095665 MACHINES INC,	CD	DISCOVER BK GREENWOOD DE	В	-100,002.45	0.00	-20.00	20.00		18.00
10/10/2018 708095665 MACHINES INC,	CD	DISCOVER BK GREENWOOD DE	В	100,002.45	0.00	20.00	-20.00	90.0	-18.00
10/10/2018 708095665 MACHINES INC,	CD	DISCOVER BK GREENWOOD DE	В	100,002.45	270.00	20.00	250.00	90.0	225.00
10/10/2018 708095665 MACHINES INC,	CD	MARLIN BUSINESS BK SALT LAKE U		150,002.45	405.00	20.00	385.00	90.0	346.50
09/20/2018 800415049 WAKEFIELD TOD,	CD	ALMA BK ASTORIA NEW YORK NY	В	-60,002.45	-25.50	-20.00	-5.50		-4.95
09/27/2018 800415049 WAKEFIELD TOD,	CD	FRANKLIN SYNERGY BK TENN	В	60,002.45	48.90	20.00	28.90		26.01
• ,		CERTIFICATES OF DEPOSIT SUBTOTAL		\$900,017.15	\$2,453.40	\$140.00	\$2,313.40		\$2,082.06

TRADE DATE	CLIENT	•		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CAT	regory:	MUTUAI	FUND 12B1	TRAILS							
10/02/2018 mf	fc562087	BOYD D	562087	MFT COLUMBIA THREADNEEDLE FUNDS	В	0.00	15.90	0.00	15.90	90.0	14.31
10/19/2018 mf	fc562087	BOYD D	562087	MFT AB INTERMEDIATE BOND A	В	0.00	0.59	0.00	0.59	90.0	0.53
10/19/2018 mf	fc562087	BOYD D	562087	MFT AIM CHARTER FUND - CLASS A	В	0.00	0.78	0.00	0.78	90.0	0.70
10/19/2018 mf	£c562087	BOYD D	562087	MFT AIM CHARTER FUND - CLASS A	В	0.00	0.80	0.00	0.80	90.0	0.72
10/19/2018 mf	£c562087	BOYD D	562087	MFT AIM DIVERSIFIED DIVIDEND FUND	- B	0.00	0.69	0.00	0.69	90.0	0.62
10/19/2018 mf	£c562087	BOYD D	562087	MFT AIM DIVERSIFIED DIVIDEND FUND	- B	0.00	0.70	0.00	0.70	90.0	0.63
10/19/2018 mf	£c562087	BOYD D	562087	MFT AIM ENERGY FUND - CLASS A	В	0.00	1.17	0.00	1.17	90.0	1.05
10/19/2018 mf	fc562087	BOYD D	562087	MFT AIM ENERGY FUND - CLASS A	В	0.00	1.26	0.00	1.26	90.0	1.13
10/19/2018 mf	fc562087	BOYD D	562087	MFT AIM ENERGY FUND - CLASS A	В	0.00	3.33	0.00	3.33	90.0	3.00
10/19/2018 mf	fc562087	BOYD D	562087	MFT AIM ENERGY FUND - CLASS A	В	0.00	3.59	0.00	3.59	90.0	3.23
10/19/2018 mf	fc562087	BOYD D	562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.03	0.00	0.03	90.0	0.03
10/19/2018 mf	fc562087	BOYD D	562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.03	0.00	0.03	90.0	0.03
10/19/2018 mf	fc562087	BOYD D	562087	MFT ALLIANCE BERNSTEIN GLOBAL	В	0.00	0.06	0.00	0.06	90.0	0.05
10/19/2018 mf	fc562087	BOYD D	562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	0.74	0.00	0.74	90.0	0.67
10/19/2018 mf	fc562087	BOYD D	562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	1.03	0.00	1.03	90.0	0.93
10/19/2018 mf	fc562087	BOYD D	562087	MFT ALLIANCEBERNSTEIN GROWTH AND	В	0.00	2.06	0.00	2.06	90.0	1.85
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMANA INCOME FD INV	В	0.00	10.89	0.00	10.89	90.0	9.80
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMANA INCOME FD INV	В	0.00	11.83	0.00	11.83	90.0	10.65
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMANA INCOME FD INV	В	0.00	15.48	0.00	15.48	90.0	13.93
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMANA INCOME FD INV	В	0.00	18.28	0.00	18.28	90.0	16.45
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMANA INCOME FD INV	В	0.00	18.28	0.00	18.28	90.0	16.45
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMANA INCOME FD INV	В	0.00	19.22	0.00	19.22	90.0	17.30
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMCAP FUND-A	В	0.00	10.66	0.00	10.66	90.0	9.59
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	2.13	0.00	2.13	90.0	1.92
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	3.63	0.00	3.63	90.0	3.27
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	4.15	0.00	4.15	90.0	3.74
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	6.39	0.00	6.39	90.0	5.75
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	14.05	0.00	14.05	90.0	12.65
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	18.17	0.00	18.17	90.0	16.35
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	27.91	0.00	27.91	90.0	25.12
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	29.01	0.00	29.01	90.0	26.11
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-A	В	0.00	32.97	0.00	32.97	90.0	29.67
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-C	В	0.00	7.48	0.00	7.48	90.0	6.73
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-C	В	0.00	25.92	0.00	25.92	90.0	23.33
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-C	В	0.00	137.77	0.00	137.77	90.0	123.99
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-F1	В	0.00	1.49	0.00	1.49	90.0	1.34
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN BALANCED FUND-F1	В	0.00	7.70	0.00	7.70	90.0	6.93
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN FDS TAX EXEMPT BOND FI	ЭВ	0.00	1.13	0.00	1.13	90.0	1.02
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN HIGH-INCOME TRUST-C	В	0.00	3.87	0.00	3.87	90.0	3.48
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMERICAN HIGH-INCOME TRUST-C	В	0.00	6.24	0.00	6.24	90.0	5.62
10/19/2018 mf	fc562087	BOYD D	562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	0.91	0.00	0.91	90.0	0.82
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	0.97	0.00	0.97	90.0	0.87
10/19/2018 mf	£c562087	BOYD D	562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	0.97	0.00	0.97	90.0	0.87

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10/19/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	3.77	0.00	3.77	90.0	3.39
10/19/2018 mfc562087 BOYD D 562087	MFT AMERICAN MUTUAL FUND-A	В	0.00	13.23	0.00	13.23	90.0	11.91
10/19/2018 mfc562087 BOYD D 562087	MFT BLACKROCK EQUITY DIVIDEND FD C	В	0.00	33.83	0.00	33.83	90.0	30.45
10/19/2018 mfc562087 BOYD D 562087	MFT BLACKROCK GLOBAL ALLOCATION	В	0.00	1.56	0.00	1.56	90.0	1.40
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL APPRECIATION A	В	0.00	1.83	0.00	1.83	90.0	1.65
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	1.33	0.00	1.33	90.0	1.20
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	1.97	0.00	1.97	90.0	1.77
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	4.00	0.00	4.00	90.0	3.60
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	8.78	0.00	8.78	90.0	7.90
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	9.60	0.00	9.60	90.0	8.64
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	18.95	0.00	18.95	90.0	17.06
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	22.42	0.00	22.42	90.0	20.18
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-A	В	0.00	35.37	0.00	35.37	90.0	31.83
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER CLASS C	В	0.00	2.60	0.00	2.60	90.0	2.34
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.07	0.00	0.07	90.0	0.06
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.07	0.00	0.07	90.0	0.06
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	0.14	0.00	0.14	90.0	0.13
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	2.00	0.00	2.00	90.0	1.80
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL INCOME BUILDER-F1	В	0.00	4.73	0.00	4.73	90.0	4.26
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD BOND FUND-A	В	0.00	14.20	0.00	14.20	90.0	12.78
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD BOND FUND-A	В	0.00	17.23	0.00	17.23	90.0	15.51
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND	В	0.00	2.08	0.00	2.08	90.0	1.87
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND	В	0.00	5.24	0.00	5.24	90.0	4.72
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND	В	0.00	8.01	0.00	8.01	90.0	7.21
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND INCOME	В	0.00	3.61	0.00	3.61	90.0	3.25
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND INCOME	В	0.00	11.42	0.00	11.42	90.0	10.28
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND INCOME	В	0.00	22.10	0.00	22.10	90.0	19.89
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND INCOME	В	0.00	28.01	0.00	28.01	90.0	25.21
10/19/2018 mfc562087 BOYD D 562087	MFT CAPITAL WORLD GROWTH AND INCOME	В	0.00	39.09	0.00	39.09	90.0	35.18
10/19/2018 mfc562087 BOYD D 562087	MFT COLUMBIA BALANCED FD CL A	В	0.00	5.59	0.00	5.59	90.0	5.03
10/19/2018 mfc562087 BOYD D 562087	MFT COLUMBIA SMALL CAP VALUE FUND I	В	0.00	1.20	0.00	1.20	90.0	1.08
10/19/2018 mfc562087 BOYD D 562087	MFT COLUMBIA TAX-EXEMPT FUND-A	В	0.00	2.22	0.00	2.22	90.0	2.00
10/19/2018 mfc562087 BOYD D 562087	MFT DRYDEN INTERNATIONAL EQUITY FD	В	0.00	3.61	0.00	3.61	90.0	3.25
10/19/2018 mfc562087 BOYD D 562087	MFT EATON VANCE FLOATING RATE FD CI	В	0.00	10.91	0.00	10.91	90.0	9.82
10/19/2018 mfc562087 BOYD D 562087	MFT EATON VANCE FLOATING RATE FD CI	В	0.00	10.91	0.00	10.91	90.0	9.82
10/19/2018 mfc562087 BOYD D 562087	MFT EUROPACIFIC GROWTH FUND CLASS F	ъ В	0.00	3.33	0.00	3.33	90.0	3.00
10/19/2018 mfc562087 BOYD D 562087	MFT FRANKLIN INCOME FUND CLASS C	В	0.00	4.23	0.00	4.23	90.0	3.81
10/19/2018 mfc562087 BOYD D 562087	MFT FRANKLIN INCOME FUND CLASS C	В	0.00	4.94	0.00	4.94	90.0	4.45
10/19/2018 mfc562087 BOYD D 562087	MFT FRANKLIN INCOME FUND CLASS A	В	0.00	1.00	0.00	1.00	90.0	0.90
10/19/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	3.67	0.00	3.67	90.0	3.30
10/19/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	3.81	0.00	3.81	90.0	3.43
10/19/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	5.61	0.00	5.61	90.0	5.05
10/19/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	10.48	0.00	10.48	90.0	9.43
10/19/2018 mfc562087 BOYD D 562087	MFT FUNDAMENTAL INVESTORS-A	В	0.00	14.44	0.00	14.44	90.0	13.00

		INVESTMENT	GROSS	CLEARING	NET		BROKER
	STMENT B/S		COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
	ELLI SMALL CAP GROWTH FD CL B	0.00	7.27	0.00	7.27	90.0	6.54
10/19/2018 mfc562087 BOYD D 562087 MFT GLC	BAL BALANCED FUND-A B	0.00	20.44	0.00	20.44	90.0	18.40
	BAL BALANCED FUND-C B	0.00	43.41	0.00	43.41	90.0	39.07
10/19/2018 mfc562087 BOYD D 562087 MFT GOI	DMAN SACHS SHORT DURATION B	0.00	3.41	0.00	3.41	90.0	3.07
10/19/2018 mfc562087 BOYD D 562087 MFT GRO	WTH AND INCOME PORTFOLIO-F1 B	0.00	4.88	0.00	4.88	90.0	4.39
10/19/2018 mfc562087 BOYD D 562087 MFT GRO	WTH AND INCOME PORTFOLIO-C B	0.00	93.18	0.00	93.18	90.0	83.86
10/19/2018 mfc562087 BOYD D 562087 MFT GRO	WTH FUND OF AMERICA CLASS F B	0.00	4.95	0.00	4.95	90.0	4.46
10/19/2018 mfc562087 BOYD D 562087 MFT GRO	WTH FUND OF AMERICA CLASS F B	0.00	8.60	0.00	8.60	90.0	7.74
10/19/2018 mfc562087 BOYD D 562087 MFT GRO	WTH FUND OF AMERICA CLASS F B	0.00	21.58	0.00	21.58	90.0	19.42
10/19/2018 mfc562087 BOYD D 562087 MFT GRO	WTH FUND OF AMERICA CLASS F B	0.00	21.62	0.00	21.62	90.0	19.46
10/19/2018 mfc562087 BOYD D 562087 MFT INT	ERNATIONAL GROWTH AND B	0.00	22.09	0.00	22.09	90.0	19.88
10/19/2018 mfc562087 BOYD D 562087 MFT INT	ERNATIONAL GROWTH AND B	0.00	31.59	0.00	31.59	90.0	28.43
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO AMERICAN FRANCHISE FUND B	0.00	1.41	0.00	1.41	90.0	1.27
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO AMERICAN FRANCHISE FUND B	0.00	1.44	0.00	1.44	90.0	1.30
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO EQUITY AND INCOME FUND B	0.00	4.28	0.00	4.28	90.0	3.85
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO EQUITY AND INCOME FUND B	0.00	4.42	0.00	4.42	90.0	3.98
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO EQUITY AND INCOME FUND B	0.00	5.79	0.00	5.79	90.0	5.21
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO EQUITY AND INCOME FUND B	0.00	5.98	0.00	5.98	90.0	5.38
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO EQUITY AND INCOME FUND B	0.00	71.14	0.00	71.14	90.0	64.03
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO EQUITY AND INCOME FUND B	0.00	73.49	0.00	73.49	90.0	66.14
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO SENIOR LOAN FUND - B	0.00	1.81	0.00	1.81	90.0	1.63
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO SENIOR LOAN FUND - B	0.00	1.88	0.00	1.88	90.0	1.69
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO TECHNOLOGY SECTOR FD CL B	0.00	1.18	0.00	1.18	90.0	1.06
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESCO TECHNOLOGY SECTOR FD CL B	0.00	1.21	0.00	1.21	90.0	1.09
10/19/2018 mfc562087 BOYD D 562087 MFT INV	ESTMENT COMPANY OF AMERICA B	0.00	11.11	0.00	11.11	90.0	10.00
10/19/2018 mfc562087 BOYD D 562087 MFT LEG	G MASON CB FUND VALUE FD A B	0.00	3.71	0.00	3.71	90.0	3.34
10/19/2018 mfc562087 BOYD D 562087 MFT LEG	G MASON CB FUND VALUE FD A B	0.00	3.99	0.00	3.99	90.0	3.59
10/19/2018 mfc562087 BOYD D 562087 MFT LEG	G MASON CB AGGRESSIVE GROW B	0.00	5.05	0.00	5.05	90.0	4.55
10/19/2018 mfc562087 BOYD D 562087 MFT LEG	G MASON CB AGGRESSIVE GROW B	0.00	5.12	0.00	5.12	90.0	4.61
10/19/2018 mfc562087 BOYD D 562087 MFT LOC	MIS SAYLES INVEST GRADE BD B	0.00	4.77	0.00	4.77	90.0	4.29
10/19/2018 mfc562087 BOYD D 562087 MFT LOF	D ABBETT MULTI-ASSET GROWTH B	0.00	13.36	0.00	13.36	90.0	12.02
	PERSPECTIVE FUND-A B	0.00	1.27	0.00	1.27	90.0	1.14
10/19/2018 mfc562087 BOYD D 562087 MFT NEW	PERSPECTIVE FUND-A B	0.00	1.69	0.00	1.69	90.0	1.52
	PERSPECTIVE FUND-A B	0.00	17.98	0.00	17.98	90.0	16.18
10/19/2018 mfc562087 BOYD D 562087 MFT NEW	PERSPECTIVE FUND-F1 B	0.00	4.60	0.00		90.0	4.14
	WORLD FUND-A B	0.00	0.39	0.00		90.0	0.35
	WORLD FUND-A B	0.00	1.64	0.00		90.0	1.48
	ENHEIMER GLOBAL CLASS C B	0.00	15.20	0.00	15.20		13.68
	IFIC PORT OPTIMA MODERATE FD B	0.00	1.26	0.00		90.0	1.13
	IFIC PORT OPTIMA MODERATE FD B	0.00	1.39	0.00		90.0	1.25
	CO TOTAL RETURN CLASS A B	0.00	2.69	0.00		90.0	2.42
	DENTIAL JENNISON MID CAP B	0.00	2.90	0.00	2.90		2.61
	DENTIAL JENNISON MID CAP  B DENTIAL JENNISON MID CAP  B	0.00	2.92	0.00		90.0	2.63
	DENTIAL JENNISON MID CAP  B DENTIAL JENNISON MID CAP  B	0.00	6.81	0.00		90.0	6.13
10/15/2010 MICO0200/ BOID D 30200/ MFT PRO	DENTIAL UENNISON PILD CAP B	0.00	0.01	0.00	0.01	30.0	0.13

#### COMMISSION STATEMENT for DON BOYD-D076

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 mfc562087 BOYD D 562087	MFT PRUDENTIAL JENNISON VALUE FD C	В	0.00	4.87	0.00	4.87	90.0	4.38
10/19/2018 mfc562087 BOYD D 562087	MFT STRATEGIC PRTNS / JENNISON FND	В	0.00	5.88	0.00	5.88	90.0	5.29
10/19/2018 mfc562087 BOYD D 562087	MFT TEMP GLOBAL BOND FND - CL A	В	0.00	0.97	0.00	0.97	90.0	0.87
10/19/2018 mfc562087 BOYD D 562087	MFT TEMPLETON GLOBAL BOND FUND -	В	0.00	0.73	0.00	0.73	90.0	0.66
10/19/2018 mfc562087 BOYD D 562087	MFT THE BOND FUND OF AMERICA-A	В	0.00	0.96	0.00	0.96	90.0	0.86
10/19/2018 mfc562087 BOYD D 562087	MFT THE BOND FUND OF AMERICA-A	В	0.00	0.96	0.00	0.96	90.0	0.86
10/19/2018 mfc562087 BOYD D 562087	MFT THE BOND FUND OF AMERICA-F1	В	0.00	2.08	0.00	2.08	90.0	1.87
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	0.85	0.00	0.85	90.0	0.77
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	0.85	0.00	0.85	90.0	0.77
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	3.51	0.00	3.51	90.0	3.16
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	7.00	0.00	7.00	90.0	6.30
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	20.15	0.00	20.15	90.0	18.14
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	26.74	0.00	26.74	90.0	24.07
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-C	В	0.00	8.36	0.00	8.36	90.0	7.52
10/19/2018 mfc562087 BOYD D 562087	MFT THE GROWTH FUND OF AMERICA-C	В	0.00	12.50	0.00	12.50	90.0	11.25
10/19/2018 mfc562087 BOYD D 562087	MFT THE HARTFORD CHECKS AND	В	0.00	49.60	0.00	49.60	90.0	44.64
10/19/2018 mfc562087 BOYD D 562087	MFT THE INCOME FUND OF AMERICA-A	В	0.00	13.43	0.00	13.43	90.0	12.09
10/19/2018 mfc562087 BOYD D 562087	MFT THE INCOME FUND OF AMERICA-A	В	0.00	17.22	0.00	17.22	90.0	15.50
10/19/2018 mfc562087 BOYD D 562087	MFT THE INCOME FUND OF AMERICA-F1	В	0.00	5.38	0.00	5.38	90.0	4.84
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	1.70	0.00	1.70	90.0	1.53
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	5.94	0.00	5.94	90.0	5.35
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	0.95	0.00	0.95	90.0	0.86
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	1.25	0.00	1.25	90.0	1.13
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	1.25	0.00	1.25	90.0	1.13
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	3.40	0.00	3.40	90.0	3.06
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	4.10	0.00	4.10	90.0	3.69
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	16.10	0.00	16.10	90.0	14.49
10/19/2018 mfc562087 BOYD D 562087	MFT THE INVESTMENT COMPANY OF	В	0.00	24.49	0.00	24.49	90.0	22.04
10/19/2018 mfc562087 BOYD D 562087	MFT THE NEW ECONOMY FUND-C	В	0.00	8.37	0.00	8.37	90.0	7.53
10/19/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	1.61	0.00	1.61	90.0	1.45
10/19/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	2.35	0.00	2.35	90.0	2.12
10/19/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	3.44	0.00	3.44	90.0	3.10
10/19/2018 mfc562087 BOYD D 562087	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	6.65	0.00	6.65	90.0	5.99
10/19/2018 mfc562087 BOYD D 562087	MFT WELLS FARGO SHORT TERM MUNI BD	В	0.00	0.59	0.00	0.59	90.0	0.53
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$1,702.58	\$0.00	\$1,702.58		\$1,532.38
	*** CLEARING TRANSACTIONS TOTAL *	**	\$1,921,189.95	\$5,298.33	\$510.00	\$4,788.33	•	\$4,309.58

INVESTMENT

TRADE DATE

CLIENT

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B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

BROKER

COMMISSION

RATE

PRODUCT CATEGORY: MUTUAL FUNDS  0/03/2018 (None) TERRY, ROBIN MF OPPENHEIMER	DIRECT TRANSA	CTIONS													
0/03/2018 (None) TERRY, ROBIN MF OPPENHEIMER	DIRECT TRANSA	CTIONS													
0/03/2018 (None) TERRY, ROBIN MF OPPENHEIMER															
0/10/2010 000F01FC2C0 NEET X ADDIT NE AMEDICAN DATA	В	100.00	1.00	0.00	1.00	90.0	0.90								
.0/10/2018 00059156368 NEELY, APRIL MF AMERICAN BALA	NCED FUND-A B	200.00	7.50	0.00	7.50	90.0	6.75								
0/22/2018 00062956178 KING TEN COM, MF THE NEW ECONO	MY FUND-A B	200.00	7.50	0.00	7.50	90.0	6.75								
0/22/2018 00062971314 WHITE FL/TOD, MF CAPITAL WORLD	GROWTH AND INCOME B	50.00	2.50	0.00	2.50	90.0	2.25								
0/22/2018 00062971314 WHITE FL/TOD, MF NEW PERSPECTI	VE FUND-A B	50.00	2.50	0.00	2.50	90.0	2.25								
0/15/2018 00063859463 MORRISON, GRETA MF CAPITAL WORLD	GROWTH AND INCOME B	33.37	0.33	0.00	0.33	90.0	0.30								
0/15/2018 00063859463 MORRISON, GRETA MF CAPITAL WORLD	GROWTH AND INCOME B	225.00	2.25	0.00	2.25	90.0	2.03								
0/15/2018 00063859464 HARPER, LORAN MF AMCAP FUND-A	В	8.55	0.09	0.00	0.09	90.0	0.08								
0/15/2018 00063859464 HARPER, LORAN MF AMCAP FUND-A	В	96.88	0.97	0.00	0.97	90.0	0.87								
0/15/2018 00063859464 HARPER, LORAN MF CAPITAL WORLD	GROWTH AND INCOME B	5.70	0.06	0.00	0.06	90.0	0.05								
0/15/2018 00063859464 HARPER, LORAN MF CAPITAL WORLD	GROWTH AND INCOME B	64.58	0.65	0.00	0.65	90.0	0.59								
0/15/2018 00063859464 HARPER, LORAN MF FUNDAMENTAL I	NVESTORS-A B	8.55	0.09	0.00	0.09	90.0	0.08								
0/15/2018 00063859464 HARPER, LORAN MF FUNDAMENTAL I	NVESTORS-A B	96.88	0.97	0.00	0.97	90.0	0.87								
0/15/2018 00063859464 HARPER, LORAN MF NEW WORLD FUN	D-A B	3.99	0.04	0.00	0.04	90.0	0.04								
0/15/2018 00063859464 HARPER, LORAN MF NEW WORLD FUN	D-A B	45.21	0.45	0.00	0.45	90.0	0.41								
0/15/2018 00063859464 HARPER, LORAN MF SMALLCAP WORL	D FUND-A B	4.56	0.05	0.00	0.05	90.0	0.05								
0/15/2018 00063859464 HARPER, LORAN MF SMALLCAP WORL	D FUND-A B	51.66	0.52	0.00	0.52	90.0	0.47								
0/15/2018 00063859464 HARPER, LORAN MF THE GROWTH FU	ND OF AMERICA-A B	11.40	0.11	0.00	0.11	90.0	0.10								
0/15/2018 00063859464 HARPER, LORAN MF THE GROWTH FU	ND OF AMERICA-A B	129.17	1.29	0.00	1.29	90.0	1.16								
0/15/2018 00063859464 HARPER, LORAN MF WASHINGTON MU	TUAL INVESTORS B	14.25	0.14	0.00	0.14	90.0	0.13								
0/15/2018 00063859464 HARPER, LORAN MF WASHINGTON MU	TUAL INVESTORS B	161.46	1.61	0.00	1.61	90.0	1.45								
0/15/2018 00064146409 HOLT, MARY MF NEW PERSPECTI	VE FUND-A B	166.65	4.58	0.00	4.58	90.0	4.12								
0/15/2018 00064146410 HOLT, FOREST MF NEW PERSPECTI	VE FUND-A B	166.65	4.58	0.00	4.58	90.0	4.12								
0/18/2018 00064699187 KING/SEP, KELLY MF NEW WORLD FUN	D-A В	77.50	0.78	0.00	0.78	90.0	0.70								
0/18/2018 00064699187 KING/SEP, KELLY MF NEW WORLD FUN	D-A В	215.92	2.16	0.00	2.16	90.0	1.94								
0/18/2018 00064699188 KING/SEP, RICHARD MF THE INVESTMENT	T COMPANY OF B	77.50	0.78	0.00	0.78	90.0	0.70								
0/18/2018 00064699188 KING/SEP, RICHARD MF THE INVESTMENT	T COMPANY OF B	174.08	1.74	0.00	1.74	90.0	1.57								
0/18/2018 00064699188 KING/SEP, RICHARD MF THE INVESTMENT	T COMPANY OF B	1,032.56	10.33	0.00	10.33	90.0	9.30								
0/18/2018 00064699188 KING/SEP, RICHARD MF THE INVESTMENT	T COMPANY OF B	75.40	0.75	0.00	0.75	90.0	0.68								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF CAPITAL INCOM	E BUILDER-A B	12.36	0.12	0.00	0.12	90.0	0.11								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF CAPITAL INCOM	E BUILDER-A B	50.00	0.50	0.00	0.50	90.0	0.45								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF NEW WORLD FUN	р-А В	12.38	0.12	0.00	0.12	90.0	0.11								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF NEW WORLD FUN	D-A B	50.00	0.50	0.00	0.50	90.0	0.45								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF THE BOND FUND	OF AMERICA-A B	12.38	0.12	0.00	0.12	90.0	0.11								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF THE BOND FUND	OF AMERICA-A B	50.00	0.50	0.00	0.50	90.0	0.45								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF THE INCOME FU	ND OF AMERICA-A B	12.38	0.12	0.00	0.12	90.0	0.11								
0/18/2018 00064699189 PIPKIN /SEP, MARY MF THE INCOME FU	ND OF AMERICA-A B	50.00	0.50	0.00	0.50	90.0	0.45								
0/18/2018 00064699198 BRISENDINE/SEP, MF THE NEW ECONO	MY FUND-A B	250.00	2.50	0.00	2.50	90.0	2.25								
0/18/2018 00064699198 BRISENDINE/SEP, MF THE NEW ECONO	MY FUND-A B	98.00	0.98	0.00	0.98	90.0	0.88								
0/18/2018 00064699203 DOCHE /SEP, JAMES MF NEW WORLD FUND	D-A B	75.00	0.75	0.00	0.75	90.0	0.68								

10.118.7218 000004799995 STOCKE (75EF, JANES DE NEW MOGLE FINDE-A D. 175.00 0.75 0.70 0.75 0.0 0.75 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10.118_2018_00064701531_PICKIN_/SEP, NAMEY MY NAME NORD FUNDS A NAME NORD FUNDS AND A NAME NORD FUNDS A NAME NORD FUNDS AND A NAME NAME NORD FUNDS AND A NAME NORD FUNDS A	10/18/2018 00064699203 DOCHE /SEP, JAMES	MF NEW WORLD FUND-A	В	75.00	0.75	0.00	0.75	90.0	0.68
10.18.7.2018   0.0064704153   FIREUM   SEP. NARY MF   NEW NORLE FEND-A   B   0.2.73   0.03   0.00   0.03   9.00   0.03   10.18.7.2018   0.0064704153   FIREUM   SEP. NARY MF   THE NORLE FEND-A   B   0.2.73   1.03   0.00   0.03   9.00   0.03   10.18.7.2018   0.0064704153   FIREUM   SEP. NARY MF   THE NORLE FEND-A   B   0.2.73   0.03   0.00   0.03   9.00   0.03   10.18.7.2018   0.0064704153   FIREUM   SEP. NARY MF   THE SOND FEND OF AMERICA-A   B   0.2.71   0.03   0.00   0.03   9.00   0.03   0.00   0.0	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF CAPITAL INCOME BUILDER-A	В	102.73	1.03	0.00	1.03	90.0	0.93
10/18/7018 00064702185 PIPENIN /SER, MARY MF   ME NORLD FINDI-O MORRICO-A   B   102.71   1.03   0.00   1.03   90.0   0.93   10/18/7018 00064702185 PIPENIN /SER, MARY MF   ME NORLD FINDI OF MORRICO-A   B   102.71   1.03   0.00   1.03   90.0   0.03   10/18/7018 00064702185 PIPENIN /SER, MARY MF   ME NORLD FINDI OF MORRICO-A   B   102.71   1.03   0.00   1.03   90.0   0.03   10/18/7018 00064702185 PIPENIN /SER, MARY MF   ME NORLD FINDI OF MORRICO-A   B   102.71   1.03   0.00   1.03   90.0   0.03   10/18/7018 00064702185 PIPENIN /SER, MARY MF   ME NORLD FINDI OF MORRICO-A   B   102.71   1.03   0.00   1.00   90.0   0.00   10/18/7018 00064702185 PIPENIN /SER, MARY MF   ME NORLD FINDI-A   B   100.00   1.00   0.00   1.00   90.0   0.90	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF CAPITAL INCOME BUILDER-A	В	2.50	0.03	0.00	0.03	90.0	0.03
	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF NEW WORLD FUND-A	В	102.73	1.03	0.00	1.03	90.0	0.93
Inclination	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF NEW WORLD FUND-A	В	2.50	0.03	0.00	0.03	90.0	0.03
10/14/2018 00064703905   VAUGHEN/SEP, MARY MP   THE INCOME FIND OF AMERICA-A   B   1.02.71   1.03   0.00   1.00   0.03   9.0   0.03   10/18/2018 00064703906   VAUGHEN/SEP, DAVID NF   NEW WORLD FUND A   B   100.00   1.00   0.	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF THE BOND FUND OF AMERICA-A	В	102.73	1.03	0.00	1.03	90.0	0.93
10/18/2018 00064709906 VAUGHIN/SEP, DAVID MF	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF THE BOND FUND OF AMERICA-A	В	2.50	0.03	0.00	0.03	90.0	0.03
10/18/2018 000647099906 VAUGHIN/SEP, DAVIT MF   WERN DELD FUND-A   B   100.00   1.00   0.00	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF THE INCOME FUND OF AMERICA-A	В	102.71	1.03	0.00	1.03	90.0	0.93
10/18/2018 00064709906 VAUGHN/SEP, DAVID NF	10/18/2018 00064704153 PIPKIN /SEP, MARY	MF THE INCOME FUND OF AMERICA-A	В	2.50	0.03	0.00	0.03	90.0	0.03
10/18/2018 00064709906 VAUGHN/SEP, DAVID NF THE NEW ECONOMY FUND-A R	10/18/2018 00064709906 VAUGHN/SEP, DAVID	MF NEW WORLD FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00064799907 ALIRED, SUZANNE MP	10/18/2018 00064709906 VAUGHN/SEP, DAVID	MF NEW WORLD FUND-A	В	48.00	0.48	0.00	0.48	90.0	0.43
10/18/2018 00064709907 ALLRED, SUZANNE MF NEW KORLD FUND-A B 56.10	10/18/2018 00064709906 VAUGHN/SEP, DAVID	MF THE NEW ECONOMY FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00064873293 CARDENAS, DAVID   M NEW PERSPECTIVE FUND-A   B   30.00   0.30   0.00   0.56   0.00   0.27	10/18/2018 00064709906 VAUGHN/SEP, DAVID	MF THE NEW ECONOMY FUND-A	В	48.00	0.48	0.00	0.48	90.0	0.43
10/18/2018 00064873293 CARDEMAS, DAVID   MP   NEW PERSPECTIVE FUND-A   B   30.00   0.30   0.00   0.30   90.0   0.27   10/18/2018 00064873293 CARDEMAS, DAVID   MP   NEW PERSPECTIVE FUND-A   B   5.00   0.55   0.00   0.30   90.0   0.27   10/18/2018 00064873293 CARDEMAS, DAVID   MF   MASSINGTOSM MUTUAL INVESTORS   B   30.00   0.05   0.00   0.05   90.0   0.27   10/18/2018 00064873293 CARDEMAS, DAVID   MF   MASSINGTOSM MUTUAL INVESTORS   B   5.00   0.05   0.00   0.05   90.0   0.05   10/18/2018 00064873295 CARDAN, TAMMY   MF   MEN ECONOMY FUND-A   B   30.50   0.31   0.00   0.31   90.0   0.28   10/18/2018 00064873296 CARDAN, TAMMY   MF   MASSINGTOSM MUTUAL INVESTORS   B   41.25   0.41   0.00   0.41   90.0   0.37   10/18/2018 00064873295 CARDAN, TAMMY   MF   MASSINGTOSM MUTUAL INVESTORS   B   41.25   0.41   0.00   0.41   90.0   0.37   10/18/2018 00064873299 STONE, ROMALD   MF   MEN ECONOMY FUND-A   B   500.00   5.00   0.00   0.50   90.0   0.28   10/18/2018 00064873299 STONE, ROMALD   MF   MEN ECONOMY FUND-A   B   500.00   5.00   0.00   5.00   90.0   0.47   10/18/2018 00064873299 STONE, ROMALD   MF   MASSINGTOSM MUTUAL INVESTORS   B   52.20   0.52   0.00   0.52   90.0   0.47   10/18/2018 00064873299 STONE, ROMALD   MF   MASSINGTOSM MUTUAL INVESTORS   B   500.00   5.00   0.00   5.00   90.0   0.47   10/18/2018 00064873391 CLANCY, KELLY   MF   MASSINGTOSM MUTUAL INVESTORS   B   52.20   0.52   0.00   0.52   90.0   0.47   10/18/2018 00064873301 CLANCY, KELLY   MF   MASSINGTOSM MUTUAL INVESTORS   B   12.00   0.12   0.00   0.55   90.0   0.68   10/18/2018 00064873301 CLANCY, KELLY   MF   MASSINGTOSM MUTUAL INVESTORS   B   12.00   0.12   0.00   0.10   90.0   0.68   10/18/2018 00064873301 CLANCY, KELLY   MF   MASSINGTOSM MUTUAL INVESTORS   B   12.00   0.12   0.00   0.10   90.0   0.69   0.00   0.	10/18/2018 00064709907 ALLRED, SUZANNE	MF NEW WORLD FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00064873293 CARDEMAS, DAVID MF NEW FERSPECTIVE FUND—A B 5.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 10/18/2018 00064873293 CARDEMAS, DAVID MF WASHINGTON MUTUAL INVESTORS B 30.00 0.05 0.00 0.05 0.00 0.05 90.0 0.27 10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND—A B 41.25 0.41 0.00 0.41 90.0 0.37 10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND—A B 30.50 0.31 0.00 0.41 90.0 0.28 10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND—A B 30.50 0.31 0.00 0.41 90.0 0.42 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 41.25 0.41 0.00 0.01 90.0 0.37 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 41.25 0.41 0.00 0.00 0.31 90.0 0.28 10/18/2018 00064873299 STOME, ROWALD MF THE NEW ECONOMY FUND—A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STOME, ROWALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STOME, ROWALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873399 STOME, ROWALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873399 STOME, ROWALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 0.52 90.0 0.47 10/18/2018 00064873399 STOME, ROWALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 0.52 90.0 0.52 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B 30.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/18/2018 00064709907 ALLRED, SUZANNE	MF NEW WORLD FUND-A	В	56.10	0.56	0.00	0.56	90.0	0.50
10/18/2018 00064873293 CARDENAS, DAVID MF WASHINGTON MUTUAL INVESTORS B 30.00 0.30 0.00 0.05 90.0 0.57 10/18/2018 00064873295 CARDENAS, DAVID MF WASHINGTON MUTUAL INVESTORS B 5.00 0.05 0.00 0.05 90.0 0.05 10/18/2018 00064873295 RAGAN, TAMMY MF THE NEW ECONOMY FUND-A B 41.25 0.41 0.00 0.41 90.0 0.37 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 30.50 0.31 0.00 0.31 90.0 0.28 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 30.50 0.31 0.00 0.31 90.0 0.28 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 50.00 0.00 0.00 5.00 90.0 0.28 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 50.00 5.00 0.00 5.00 90.0 0.50 90.0 0.47 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 50.00 5.00 0.00 5.00 90.0 0.52 90.0 0.45 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 50.00 5.00 0.00 5.00 90.0 0.52 90.0 0.45 10/18/2018 00064873309 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 50.00 5.00 0.00 5.00 90.0 0.52 90.0 0.45 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 50.00 0.50 0.00 0.52 90.0 0.52 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 0006487341 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 0006487341 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 0006487341 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 0006487341 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0.75 90.0 0	10/18/2018 00064873293 CARDENAS, DAVID	MF NEW PERSPECTIVE FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
10/18/2018 00064873293 CARDENAS, DAVID   MF   WASHINGTON MUTUAL INVESTORS   B   5.00   0.05   0.00   0.05   90.0   0.05	10/18/2018 00064873293 CARDENAS, DAVID	MF NEW PERSPECTIVE FUND-A	В	5.00	0.05	0.00	0.05	90.0	0.05
10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND-A B 30.50 0.31 0.00 0.31 90.0 0.28 10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND-A B 30.50 0.31 0.00 0.31 90.0 0.28 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 30.50 0.31 0.00 0.31 90.0 0.37 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS-B B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS-B B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 000648973301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS-B B 100.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064897343 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS-B B 100.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891745 KING/SEP, KELLY MF WASHINGTON MUTUAL INVESTORS-A B 100.00 0.00 0.00 0.00 0.00 0.00 0.00	10/18/2018 00064873293 CARDENAS, DAVID	MF WASHINGTON MUTUAL INVESTORS	В	30.00	0.30	0.00	0.30	90.0	0.27
10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND—A B 30.50 0.31 0.00 0.31 90.0 0.28 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTOM MUTUAL INVESTORS B 41.25 0.41 0.00 0.41 90.0 0.28 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND—A B 52.20 0.52 0.00 0.52 90.0 0.45 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND—A B 52.20 0.52 0.00 0.52 90.0 0.45 10/18/2018 00064873299 STONE, RONALD MF WASHINGTOM MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF WASHINGTOM MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873399 STONE, RONALD MF WASHINGTOM MUTUAL INVESTORS B 52.20 0.52 0.00 0.52 90.0 0.52 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND—A B 10.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND—A B 100.00 1.00 0.00 0.00 0.03 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.33 90.0 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.32 90.0 0.32 90.0 0.32 90.0 0.32 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.34 90.0 0.34 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35	10/18/2018 00064873293 CARDENAS, DAVID	MF WASHINGTON MUTUAL INVESTORS	В	5.00	0.05	0.00	0.05	90.0	0.05
10/18/2018 00064873296 RAGAN, TAMMY MF THE NEW ECONOMY FUND—A B 30.50 0.31 0.00 0.31 90.0 0.28 10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTOM MUTUAL INVESTORS B 41.25 0.41 0.00 0.41 90.0 0.28 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND—A B 52.20 0.52 0.00 0.52 90.0 0.45 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND—A B 52.20 0.52 0.00 0.52 90.0 0.45 10/18/2018 00064873299 STONE, RONALD MF WASHINGTOM MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF WASHINGTOM MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873399 STONE, RONALD MF WASHINGTOM MUTUAL INVESTORS B 52.20 0.52 0.00 0.52 90.0 0.52 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTOM MUTUAL INVESTORS—B 75.00 0.75 0.00 0.75 90.0 0.66 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND—A B 10.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND—A B 100.00 1.00 0.00 0.00 0.03 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.33 90.0 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.32 90.0 0.32 90.0 0.32 90.0 0.32 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.33 90.0 0.34 90.0 0.34 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35	10/18/2018 00064873296 RAGAN, TAMMY	MF THE NEW ECONOMY FUND-A	В	41.25	0.41	0.00	0.41	90.0	0.37
10/18/2018 00064873296 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 30.50 0.31 0.00 0.41 90.0 0.37 10/18/2018 00064873295 RAGAN, TAMMY MF WASHINGTON MUTUAL INVESTORS B 30.50 0.31 0.00 0.00 0.31 90.0 0.28 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND—A B 50.00 0.50 0.00 0.50 90.0 0.50 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND—A B 52.20 0.52 0.00 0.52 90.0 0.47 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 50.00 5.00 0.00 5.00 90.0 0.45 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 50.00 5.00 0.00 5.00 90.0 0.52 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B TO 0.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B TO 0.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B TO 0.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS—B TO 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0		MF THE NEW ECONOMY FUND-A	В	30.50	0.31	0.00	0.31	90.0	0.28
10/18/2018 00064873296 RAGAN, TAMMY		MF WASHINGTON MUTUAL INVESTORS	В	41.25	0.41	0.00	0.41	90.0	
10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 502.20 0.52 0.00 0.52 90.0 0.47 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 52.20 0.52 0.00 0.52 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 000648973301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 000648973301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.90 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 341.25 3.41 0.00 3.41 90.0 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.31 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.31 90.0 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.31 90.0 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.00 0.00 0.30 90.0 0.32 90		MF WASHINGTON MUTUAL INVESTORS	В	30.50	0.31	0.00	0.31	90.0	
10/18/2018 00064873299 STONE, RONALD MF THE NEW ECONOMY FUND-A B 52.20 0.52 0.00 0.52 90.0 0.47 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 75.00 0.75 0.00 0.75 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 100.00 1.00 0.00 0.12 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF WASHINGTON MUTUAL INVESTORS B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF WASHINGTON MUTUAL BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF WASHINGTON BALANCED FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 0.32 90.0 0.39 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF HEN ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10		MF THE NEW ECONOMY FUND-A	В	500.00	5.00	0.00	5.00	90.0	
10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 500.00 5.00 0.00 5.00 90.0 4.50 10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 52.20 0.52 0.00 0.52 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS—B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND—A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND—A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND—A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS—A B 351.58 3.52 0.00 3.52 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS—A B 351.58 3.55 0.00 3.52 90.0 3.00 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SUNDAMENTAL INVESTORS—A B 351.58 3.50 0.00 0.32 90.0 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.33 90.0 0.30 0.00 0.30 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SUNDAMENTAL INVESTORS—A B 31.87 0.32 0.00 0.30 0.00 0.30 90.0 0.30 0.00 0.30 90.0 0.29 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND—A B 31.87 0.32 0.00 0.30 0.00 0.30 90.0 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NE		MF THE NEW ECONOMY FUND-A	В	52.20	0.52	0.00	0.52	90.0	0.47
10/18/2018 00064873299 STONE, RONALD MF WASHINGTON MUTUAL INVESTORS B 52.20 0.52 0.00 0.52 90.0 0.47 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF WASHINGTON MUTUAL INVESTORS B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF WASHINGTON MUTUAL INVESTORS B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF WASHINGTON MUTUAL INVESTORS B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.52 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.52 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF WERE ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF WERE ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF WERE ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0		MF WASHINGTON MUTUAL INVESTORS	В	500.00	5.00	0.00	5.00	90.0	4.50
10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 351.58 3.52 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.3 90.0 0.30 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.57 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 31.87 0.32 0.00 0.33 90.0 0.30 0.00 0.30 0.00 0.30 0.00 0.30 0.00 0.27 10/18/2018 00064891745 KING/SEP, KELLY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE		MF WASHINGTON MUTUAL INVESTORS	В	52.20	0.52	0.00	0.52	90.0	
10/18/2018 00064873301 CLANCY, KELLY MF FUNDAMENTAL INVESTORS-A B 12.00 0.12 0.00 0.12 90.0 0.18 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.27 10/18/2018 00064891745 KING/SEP, KELLY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0		MF FUNDAMENTAL INVESTORS-A	В	75.00	0.75	0.00	0.75	90.0	0.68
10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 75.00 0.75 0.00 0.75 90.0 0.68 10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALCAP WORLD FUND-A B 31.87 0.32 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALCAP WORLD FUND-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.32 90.0 0.29 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.30 0.00 0.30			В						
10/18/2018 00064873301 CLANCY, KELLY MF WASHINGTON MUTUAL INVESTORS B 12.00 0.12 0.00 0.12 90.0 0.11 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27									
10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891743 ROBERSON/SEP, SUE MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27									
10/18/2018 00064891743 ROBERSON/SEP, SUE MF       AMERICAN BALANCED FUND-A       B       100.00       1.00       0.00       1.00       90.0       0.90         10/18/2018 00064891745 KING/SEP, KELLY       MF       AMERICAN BALANCED FUND-A       B       341.25       3.41       0.00       3.41       90.0       3.07         10/18/2018 00064891745 KING/SEP, KELLY       MF       AMERICAN BALANCED FUND-A       B       31.87       0.32       0.00       0.32       90.0       0.29         10/18/2018 00064891745 KING/SEP, KELLY       MF       FUNDAMENTAL INVESTORS-A       B       351.58       3.52       0.00       3.52       90.0       3.17         10/18/2018 00064891745 KING/SEP, KELLY       MF       FUNDAMENTAL INVESTORS-A       B       32.83       0.33       0.00       0.33       90.0       0.30         10/18/2018 00064891745 KING/SEP, KELLY       MF       SMALLCAP WORLD FUND-A       B       341.25       3.41       0.00       3.41       90.0       3.07         10/18/2018 00064891745 KING/SEP, KELLY       MF       SMALLCAP WORLD FUND-A       B       31.87       0.32       0.00       0.32       90.0       0.29         10/18/2018 00064891870 SNIPES, COREY       MF       THE NEW ECONOMY FUND-A       B       30.00       0.3									
10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF AMERICAN BALANCED FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27									
10/18/2018 00064891745 KING/SEP, KELLY       MF       AMERICAN BALANCED FUND-A       B       31.87       0.32       0.00       0.32       90.0       0.29         10/18/2018 00064891745 KING/SEP, KELLY       MF       FUNDAMENTAL INVESTORS-A       B       351.58       3.52       0.00       3.52       90.0       3.17         10/18/2018 00064891745 KING/SEP, KELLY       MF       FUNDAMENTAL INVESTORS-A       B       32.83       0.33       0.00       0.33       90.0       0.30         10/18/2018 00064891745 KING/SEP, KELLY       MF       SMALLCAP WORLD FUND-A       B       341.25       3.41       0.00       3.41       90.0       3.07         10/18/2018 00064891870 SNIPES, COREY       MF       THE NEW ECONOMY FUND-A       B       30.00       0.30       0.00       0.30       90.0       0.27         10/18/2018 00064891870 SNIPES, COREY       MF       THE NEW ECONOMY FUND-A       B       30.00       0.30       0.00       0.30       90.0       0.27         10/18/2018 00064891871 STANFORD, STEVEN       MF       NEW PERSPECTIVE FUND-A       B       150.00       0.30       0.00       0.30       90.0       0.27         10/18/2018 00064891871 STANFORD, STEVEN       MF       NEW PERSPECTIVE FUND-A       B       30.00									
10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 10/18/2018 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.33 90.0 0.30 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 10/18/2018 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 0.32 90.0 0.29 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 10/18/2018 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27	•		В						
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TOTALO AND DOUGHOUSE STATE OF THE MENTION OF THE ME	10/18/2018 00064891871 STANFORD, STEVEN		В	150.00	1.50	0.00			1.35

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/18/2018 00064891871 STANFORD, STEVE		THE GROWTH FUND OF AMERICA-A	В	30.00	0.30	0.00	0.30	90.0	0.27
10/15/2018 00067466990 CHANCE, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	24.78	0.25	0.00	0.25	90.0	0.23
10/15/2018 00067466990 CHANCE, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	72.00	0.72	0.00	0.72	90.0	0.65
10/15/2018 00067466990 CHANCE, CHARLES	MF	THE NEW ECONOMY FUND-A	В	24.78	0.25	0.00	0.25	90.0	0.23
10/15/2018 00067466990 CHANCE, CHARLES	MF	THE NEW ECONOMY FUND-A	В	72.00	0.72	0.00	0.72	90.0	0.65
10/18/2018 00067676507 KINDY, KYLE	MF	THE GROWTH FUND OF AMERICA-C	В	229.16	2.29	0.00	2.29	90.0	2.06
10/12/2018 00067915947 TUCEK, ROBERT	MF	AMERICAN BALANCED FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00067915947 TUCEK, ROBERT	MF	AMERICAN BALANCED FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00067915947 TUCEK, ROBERT	MF	CAPITAL WORLD GROWTH AND INCOME	- Ξ В	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00067915947 TUCEK, ROBERT	MF	CAPITAL WORLD GROWTH AND INCOME	ΣВ	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00067915949 LACKEY, HUGH	MF	AMERICAN BALANCED FUND-A	В	43.22	0.43	0.00	0.43	90.0	0.39
10/12/2018 00067915949 LACKEY, HUGH	MF	AMERICAN BALANCED FUND-A	В	43.22	0.43	0.00	0.43	90.0	0.39
10/12/2018 00067915949 LACKEY, HUGH	MF	CAPITAL WORLD GROWTH AND INCOME	в В	43.22	0.43	0.00	0.43	90.0	0.39
10/12/2018 00067915949 LACKEY, HUGH	MF	CAPITAL WORLD GROWTH AND INCOME		43.22	0.43	0.00	0.43	90.0	0.39
10/12/2018 00067915951 GABERT, GORDON	MF	GROWTH PORTFOLIO-A	В	45.00	0.45	0.00	0.45	90.0	0.41
10/12/2018 00067915951 GABERT, GORDON	MF	GROWTH PORTFOLIO-A	В	604.17	6.04	0.00	6.04	90.0	5.44
10/18/2018 00068379192 FOWLER, MICHAEL	MF	NEW WORLD FUND-A	В	82.50	0.83	0.00	0.83	90.0	0.75
10/18/2018 00068379192 FOWLER, MICHAEL	MF	NEW WORLD FUND-A	В	31.06	0.31	0.00	0.31	90.0	0.28
10/18/2018 00068379192 FOWLER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	В	82.50	0.83	0.00	0.83	90.0	0.75
10/18/2018 00068379192 FOWLER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	В	31.07	0.31	0.00	0.31	90.0	0.28
10/18/2018 00068379192 FOWLER, MICHAEL	MF	WASHINGTON MUTUAL INVESTORS	В	85.00	0.85	0.00	0.85	90.0	0.77
10/18/2018 00068379192 FOWLER, MICHAEL	MF	WASHINGTON MUTUAL INVESTORS	В	32.01	0.32	0.00	0.32	90.0	0.29
10/18/2018 00068379198 BRISENDINE, JOD		NEW WORLD FUND-A	В	65.00	0.65	0.00	0.65	90.0	0.59
10/18/2018 00068379198 BRISENDINE, JOD		NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00068379198 BRISENDINE, JOD		WASHINGTON MUTUAL INVESTORS	В	65.00	0.65	0.00	0.65	90.0	0.59
10/18/2018 00068379198 BRISENDINE, JOD		WASHINGTON MUTUAL INVESTORS	В	25.00	0.25	0.00	0.25	90.0	0.23
10/22/2018 00069075232 FAULK, GAGE	MF	AMERICAN BALANCED FUND-529C	В	75.00	0.75	0.00	0.75	90.0	0.68
10/18/2018 00073179517 WILLIAMS, JILL	MF	THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
10/18/2018 00073179517 WILLIAMS, JILL	MF	THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
10/18/2018 00073179526 SEYMOUR, TONI	MF	THE INVESTMENT COMPANY OF	В	76.50	0.77	0.00	0.77	90.0	0.69
10/18/2018 00073179526 SEYMOUR, TONI	MF	THE INVESTMENT COMPANY OF	В	46.00	0.46	0.00	0.46	90.0	0.41
10/12/2018 00073247107 WITHROW,	MF	AMERICAN BALANCED FUND-A	В	40.00	1.50	0.00	1.50	90.0	1.35
10/12/2018 00073247107 WITHROW,	MF	CAPITAL WORLD GROWTH AND INCOME	_	55.00	2.06	0.00	2.06	90.0	1.85
10/12/2018 00073247107 WITHROW,	MF	THE GROWTH FUND OF AMERICA-A	В	55.00	2.06	0.00	2.06	90.0	1.85
10/19/2018 00073247107 WITHROW,	MF	AMERICAN BALANCED FUND-A	В	40.00	1.50	0.00		90.0	1.35
10/19/2018 00073247107 WITHROW,	MF	CAPITAL WORLD GROWTH AND INCOME	_	55.00	2.06	0.00		90.0	1.85
10/19/2018 00073247107 WITHROW,	MF	THE GROWTH FUND OF AMERICA-A	В	55.00	2.06	0.00		90.0	1.85
10/26/2018 00073247107 WITHROW,	MF	AMERICAN BALANCED FUND-A	В	40.00	1.50	0.00		90.0	1.35
10/26/2018 00073247107 WITHROW,	MF	CAPITAL WORLD GROWTH AND INCOME		55.00	2.06	0.00		90.0	1.85
10/26/2018 00073247107 WITHROW,	MF	THE GROWTH FUND OF AMERICA-A	В	55.00	2.06	0.00		90.0	1.85
10/15/2018 00074210656 COOK, CODY	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00		90.0	0.45
10/18/2018 00074498415 MITCHELL, BYRON	MF	NEW WORLD FUND-A	В	72.90	0.73	0.00		90.0	0.66
10/18/2018 00074498415 MITCHELL, BYRON	MF	NEW WORLD FUND-A	В	72.90	0.73	0.00		90.0	0.66
10/12/2018 00075029508 GABERT, JULIE		GROWTH PORTFOLIO-A	В	22.50	0.73	0.00		90.0	0.00
10/12/2010 000/3029300 GADERI, UULIE	IvII.	OVOMIII LOKILOTIO—W	ים	22.50	0.43	0.00	0.23	20.0	0.21

TRADE DATE CLIENT	:	INVESTMENT B	3/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/12/2018 00075029508 GABERT, JULIE	MF	GROWTH PORTFOLIO-A	В	604.17	6.04	0.00	6.04	90.0	5.44
10/19/2018 00075214436 KIRTON, WES	MF	CAPITAL WORLD GROWTH AND INCOME	В	50.00	2.50	0.00	2.50	90.0	2.25
10/18/2018 00075224867 POLING, WENDY	MF	CAPITAL WORLD GROWTH AND INCOME	В	50.00	0.50	0.00	0.50	90.0	0.45
10/15/2018 00075691800 WINEGARNER, AARON	J MF	NEW WORLD FUND-C	В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00075766744 MALLECK, DONALD	MF	CAPITAL WORLD GROWTH AND INCOME	В	36.00	0.36	0.00	0.36	90.0	0.32
10/18/2018 00075766744 MALLECK, DONALD	MF	CAPITAL WORLD GROWTH AND INCOME	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00075766788 RAY, KEITH	MF	CAPITAL WORLD GROWTH AND INCOME	В	78.60	0.79	0.00	0.79	90.0	0.71
10/18/2018 00075766788 RAY, KEITH	MF	CAPITAL WORLD GROWTH AND INCOME	В	78.60	0.79	0.00	0.79	90.0	0.71
10/15/2018 00081581474 COOK, CODY	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
10/19/2018 00082349839 COOK, CODY	MF	CAPITAL WORLD GROWTH AND INCOME	В	150.00	1.50	0.00	1.50	90.0	1.35
10/19/2018 00082349839 COOK, CODY	MF	NEW WORLD FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
10/22/2018 00082421610 HOPKINS, DARRIAN	MF	CAPITAL INCOME BUILDER-529C	В	50.00	0.50	0.00	0.50	90.0	0.45
10/22/2018 00082421665 HOPKINS, DARRIAN	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
10/15/2018 00083080594 HARPER, LEONARD	MF	CAPITAL WORLD GROWTH AND INCOME	В	87.00	0.87	0.00	0.87	90.0	0.78
10/15/2018 00083080594 HARPER, LEONARD	MF	CAPITAL WORLD GROWTH AND INCOME	В	500.00	5.00	0.00	5.00	90.0	4.50
10/15/2018 00083081327 HURST, REGINA	MF	CAPITAL WORLD GROWTH AND	В	50.00	0.50	0.00	0.50	90.0	0.45
10/15/2018 00083081354 HURST, REGINA	MF		В	50.00	0.50	0.00	0.50	90.0	0.45
10/18/2018 00083282682 KING, COLTON	MF	CAPITAL WORLD GROWTH AND INCOME		100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00083282682 KING, COLTON	MF	CAPITAL WORLD GROWTH AND INCOME		25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00083282682 KING, COLTON	MF		В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00083282682 KING, COLTON	MF		В	25.00	0.25	0.00	0.25	90.0	0.23
10/19/2018 00084056837 LEWIS, KRISTY	MF		В	1,000.00	10.00	0.00	10.00	90.0	9.00
10/19/2018 00084056837 LEWIS, KRISTY	MF	CAPITAL WORLD GROWTH AND INCOME		1,000.00	10.00	0.00	10.00	90.0	9.00
10/15/2018 00084050037 EEWIS, KRISII 10/15/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL INCOME BUILDER CLASS C		71.25	0.71	0.00	0.71	90.0	0.64
10/15/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL INCOME BUILDER CLASS C		14.25	0.14	0.00	0.14	90.0	0.04
10/15/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL WORLD GROWTH AND INCOME		71.25	0.71	0.00	0.71	90.0	0.13
10/15/2018 00084181087 HAYES, LUCRETIA	MF	CAPITAL WORLD GROWTH AND INCOME		14.25	0.14	0.00	0.14	90.0	0.04
10/15/2018 00084181087 HATES, EUCRETTA		CAPITAL WORLD GROWTH AND INCOME		645.83		0.00		90.0	5.81
					6.46		6.46		
10/15/2018 00084193466 BROWNING, RANDALI		CAPITAL WORLD GROWTH AND INCOME		112.82	1.13	0.00	1.13	90.0	1.02 0.40
10/18/2018 00084306283 CARR, TYRA	MF		В	44.12	0.44	0.00	0.44	90.0	
10/18/2018 00084306283 CARR, TYRA	MF		В	58.83	0.59	0.00	0.59	90.0	0.53
10/19/2018 00084306283 CARR, TYRA	MF		В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00084306283 CARR, TYRA	MF		В	18.23	0.18	0.00	0.18	90.0	0.16
10/18/2018 00084306312 CASTRO, ALICE	MF	CAPITAL WORLD GROWTH AND INCOME		58.29	0.58	0.00	0.58	90.0	0.52
10/18/2018 00084306312 CASTRO, ALICE	MF	CAPITAL WORLD GROWTH AND INCOME		97.15	0.97	0.00		90.0	0.87
10/19/2018 00084306312 CASTRO, ALICE	MF	CAPITAL WORLD GROWTH AND INCOME		13.67	0.14	0.00		90.0	0.13
10/19/2018 00084306312 CASTRO, ALICE	MF	CAPITAL WORLD GROWTH AND INCOME		22.79	0.23	0.00		90.0	0.21
10/18/2018 00084306341 CHAVEZ, RICK	MF		В	51.12	0.51	0.00		90.0	0.46
10/18/2018 00084306341 CHAVEZ, RICK	MF		В	51.12	0.51	0.00		90.0	0.46
10/19/2018 00084306341 CHAVEZ, RICK	MF		В	13.67	0.14	0.00		90.0	0.13
10/19/2018 00084306341 CHAVEZ, RICK	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00		90.0	0.13
10/18/2018 00084306458 FLORES, RAY	MF	CAPITAL WORLD GROWTH AND INCOME	В	75.00	0.75	0.00		90.0	0.68
10/18/2018 00084306458 FLORES, RAY	MF	CAPITAL WORLD GROWTH AND INCOME	В	75.00	0.75	0.00	0.75	90.0	0.68
10/19/2018 00084306458 FLORES, RAY	MF	CAPITAL WORLD GROWTH AND INCOME	В	13.67	0.14	0.00	0.14	90.0	0.13

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 00084306458 FLORES, RAY	MF CAPITAL WO	RLD GROWTH AND INCOME B	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00084306506 GARCIA, FRANCES	MF CAPITAL IN	COME BUILDER CLASS C B	80.60	0.81	0.00	0.81	90.0	0.73
10/18/2018 00084306506 GARCIA, FRANCES	MF CAPITAL IN	COME BUILDER CLASS C B	134.33	1.34	0.00	1.34	90.0	1.21
10/19/2018 00084306506 GARCIA, FRANCES	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00084306506 GARCIA, FRANCES	MF CAPITAL IN	COME BUILDER CLASS C B	22.79	0.23	0.00	0.23	90.0	0.21
10/18/2018 00084306531 GUEBARA, LUTILDA	MF CAPITAL IN	COME BUILDER CLASS C B	48.81	0.49	0.00	0.49	90.0	0.44
10/18/2018 00084306531 GUEBARA, LUTILDA	MF CAPITAL IN	COME BUILDER CLASS C B	48.81	0.49	0.00	0.49	90.0	0.44
10/19/2018 00084306531 GUEBARA, LUTILDA	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00084306531 GUEBARA, LUTILDA	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00084307114 KOONTZ, KARLA	MF CAPITAL IN	COME BUILDER CLASS C B	80.60	0.81	0.00	0.81	90.0	0.73
10/18/2018 00084307114 KOONTZ, KARLA	MF CAPITAL IN	COME BUILDER CLASS C B	80.60	0.81	0.00	0.81	90.0	0.73
10/19/2018 00084307114 KOONTZ, KARLA	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00084307114 KOONTZ, KARLA	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00084307160 LAM, BIC	MF CAPITAL IN	COME BUILDER CLASS C B	48.91	0.49	0.00	0.49	90.0	0.44
10/18/2018 00084307160 LAM, BIC	MF CAPITAL IN	COME BUILDER CLASS C B	48.91	0.49	0.00	0.49	90.0	0.44
10/19/2018 00084307160 LAM, BIC	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00084307160 LAM, BIC	MF CAPITAL IN	COME BUILDER CLASS C B	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00084307186 LONG, GABRIELLE	MF AMCAP FUND	I-C B	7.46	0.07	0.00	0.07	90.0	0.06
10/18/2018 00084307186 LONG, GABRIELLE	MF AMCAP FUND	I-C B	24.85	0.25	0.00	0.25	90.0	0.23
10/18/2018 00084307186 LONG, GABRIELLE	MF AMERICAN M	UTUAL FUND-C B	7.46	0.07	0.00	0.07	90.0	0.06
10/18/2018 00084307186 LONG, GABRIELLE	MF AMERICAN M	UTUAL FUND-C B	24.85	0.25	0.00	0.25	90.0	0.23
10/18/2018 00084307186 LONG, GABRIELLE	MF CAPITAL IN	COME BUILDER CLASS C B	9.94	0.10	0.00	0.10	90.0	0.09
10/18/2018 00084307186 LONG, GABRIELLE	MF CAPITAL IN	COME BUILDER CLASS C B	33.14	0.33	0.00	0.33	90.0	0.30
10/18/2018 00084307186 LONG, GABRIELLE	MF INCOME FUN	D OF AMERICA CLASS C B	9.94	0.10	0.00	0.10	90.0	0.09
10/18/2018 00084307186 LONG, GABRIELLE	MF INCOME FUN	D OF AMERICA CLASS C B	33.14	0.33	0.00	0.33	90.0	0.30
10/18/2018 00084307186 LONG, GABRIELLE	MF INVESTMENT	COMPANY OF AMERICA B	7.46	0.07	0.00	0.07	90.0	0.06
10/18/2018 00084307186 LONG, GABRIELLE	MF INVESTMENT	COMPANY OF AMERICA B	24.86	0.25	0.00	0.25	90.0	0.23
10/18/2018 00084307186 LONG, GABRIELLE	MF THE GROWTH	FUND OF AMERICA-C B	7.45	0.07	0.00	0.07	90.0	0.06
10/18/2018 00084307186 LONG, GABRIELLE	MF THE GROWTH	FUND OF AMERICA-C B	24.85	0.25	0.00	0.25	90.0	0.23
10/19/2018 00084307186 LONG, GABRIELLE	MF AMCAP FUND	I-C B	2.05	0.02	0.00	0.02	90.0	0.02
10/19/2018 00084307186 LONG, GABRIELLE	MF AMCAP FUND	I-C B	6.84	0.07	0.00	0.07	90.0	0.06
10/19/2018 00084307186 LONG, GABRIELLE	MF AMERICAN M	UTUAL FUND-C B	2.05	0.02	0.00	0.02	90.0	0.02
10/19/2018 00084307186 LONG, GABRIELLE	MF AMERICAN M	UTUAL FUND-C B	6.84	0.07	0.00	0.07	90.0	0.06
10/19/2018 00084307186 LONG, GABRIELLE	MF CAPITAL IN	COME BUILDER CLASS C B	2.73	0.03	0.00	0.03	90.0	0.03
10/19/2018 00084307186 LONG, GABRIELLE	MF CAPITAL IN	COME BUILDER CLASS C B	9.11	0.09	0.00	0.09	90.0	0.08
10/19/2018 00084307186 LONG, GABRIELLE	MF INCOME FUN	D OF AMERICA CLASS C B	2.73	0.03	0.00	0.03	90.0	0.03
10/19/2018 00084307186 LONG, GABRIELLE		D OF AMERICA CLASS C B	9.11	0.09	0.00	0.09	90.0	0.08
10/19/2018 00084307186 LONG, GABRIELLE	MF INVESTMENT	COMPANY OF AMERICA B	2.05	0.02	0.00		90.0	0.02
10/19/2018 00084307186 LONG, GABRIELLE		COMPANY OF AMERICA B	6.83	0.07	0.00		90.0	0.06
10/19/2018 00084307186 LONG, GABRIELLE		FUND OF AMERICA-C B	2.06	0.02	0.00	0.02		0.02
10/19/2018 00084307186 LONG, GABRIELLE		FUND OF AMERICA-C B	6.84	0.07	0.00		90.0	0.06
10/18/2018 00084307239 MARTIN, SHERRY		COME BUILDER CLASS C B	61.03	0.61	0.00		90.0	0.55
10/18/2018 00084307239 MARTIN, SHERRY			61.03	0.61	0.00		90.0	0.55
10/19/2018 00084307239 MARTIN, SHERRY		COME BUILDER CLASS C B	13.67	0.14	0.00		90.0	0.13
10/19/2018 00084307186 LONG, GABRIELLE 10/18/2018 00084307239 MARTIN, SHERRY 10/18/2018 00084307239 MARTIN, SHERRY	MF THE GROWTH MF CAPITAL IN	FUND OF AMERICA-C B COME BUILDER CLASS C B COME BUILDER CLASS C B	6.84 61.03 61.03	0.07 0.61 0.61	0.00 0.00 0.00	0.07 0.61 0.61	90.0 90.0 90.0	0.06 0.55 0.55

						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
10/19/2018 00	0084307239	MARTIN, SHERRY	MF	CAPITAL INCOME BUILDER CLASS C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084307286	MORRIS, TRENT	MF	INTERNATIONAL GROWTH AND	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084307286	MORRIS, TRENT	MF	INTERNATIONAL GROWTH AND	В	229.23	2.29	0.00	2.29	90.0	2.06
10/18/2018 00	0084307345	RAMOS, ROSA	MF	CAPITAL INCOME BUILDER CLASS C	В	43.77	0.44	0.00	0.44	90.0	0.40
10/18/2018 00	0084307345	RAMOS, ROSA	MF	CAPITAL INCOME BUILDER CLASS C	В	43.77	0.44	0.00	0.44	90.0	0.40
10/19/2018 00	0084307345	RAMOS, ROSA	MF	CAPITAL INCOME BUILDER CLASS C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084307345	RAMOS, ROSA	MF	CAPITAL INCOME BUILDER CLASS C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL INCOME BUILDER CLASS C	В	48.78	0.49	0.00	0.49	90.0	0.44
10/18/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL INCOME BUILDER CLASS C	В	195.10	1.95	0.00	1.95	90.0	1.76
10/18/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL WORLD GROWTH AND INCOME	В	24.02	0.24	0.00	0.24	90.0	0.22
10/18/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL WORLD GROWTH AND INCOME	В	96.10	0.96	0.00	0.96	90.0	0.86
10/19/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL INCOME BUILDER CLASS C	В	9.16	0.09	0.00	0.09	90.0	0.08
10/19/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL INCOME BUILDER CLASS C	В	36.64	0.37	0.00	0.37	90.0	0.33
10/19/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL WORLD GROWTH AND INCOME	В	4.51	0.05	0.00	0.05	90.0	0.05
10/19/2018 00	0084307428	SILLIVENT, SHELBY	MF	CAPITAL WORLD GROWTH AND INCOME	В	18.05	0.18	0.00	0.18	90.0	0.16
10/18/2018 00	0084307464	TOBAR, VICTORIA	MF	GLOBAL BALANCED FUND-C	В	43.75	0.44	0.00	0.44	90.0	0.40
10/18/2018 00	0084307464	TOBAR, VICTORIA	MF	GLOBAL BALANCED FUND-C	В	43.75	0.44	0.00	0.44	90.0	0.40
10/19/2018 00	0084307464	TOBAR, VICTORIA	MF	GLOBAL BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084307464	TOBAR, VICTORIA	MF	GLOBAL BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0084307502	WALTERS,	MF	CAPITAL WORLD BOND FUND-C	В	51.83	0.52	0.00	0.52	90.0	0.47
10/18/2018 00	0084307502	WALTERS,	MF	CAPITAL WORLD BOND FUND-C	В	51.83	0.52	0.00	0.52	90.0	0.47
10/19/2018 00	0084307502	WALTERS,	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084307502	WALTERS,	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0084307674	MARTINEZ, MONICA	MF	CAPITAL WORLD BOND FUND-C	В	71.50	0.72	0.00	0.72	90.0	0.65
10/18/2018 00	0084307674	MARTINEZ, MONICA	MF	CAPITAL WORLD BOND FUND-C	В	71.50	0.72	0.00	0.72	90.0	0.65
10/19/2018 00	0084307674	MARTINEZ, MONICA	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084307674	MARTINEZ, MONICA	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0084359266	WILLIAMS, JOY	MF	AMERICAN BALANCED FUND-A	В	50.00	0.50	0.00	0.50	90.0	0.45
10/18/2018 00	0084359266	WILLIAMS, JOY	MF	AMERICAN BALANCED FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00	0084573009	THORNTON, LAINIE	MF	CAPITAL INCOME BUILDER CLASS C	В	55.37	0.55	0.00	0.55	90.0	0.50
10/18/2018 00	0084573009	THORNTON, LAINIE	MF	CAPITAL INCOME BUILDER CLASS C	В	129.19	1.29	0.00	1.29	90.0	1.16
10/19/2018 00	0084573009	THORNTON, LAINIE	MF	CAPITAL INCOME BUILDER CLASS C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0084573009	THORNTON, LAINIE	MF	CAPITAL INCOME BUILDER CLASS C	В	31.90	0.32	0.00	0.32	90.0	0.29
10/18/2018 00	0084712006	HORNE, LORRAINE	MF	CAPITAL WORLD BOND FUND-C	В	46.88	0.47	0.00	0.47	90.0	0.42
10/18/2018 00	0084712006	HORNE, LORRAINE	MF	CAPITAL WORLD BOND FUND-C	В	46.88	0.47	0.00	0.47	90.0	0.42
10/19/2018 00	0084712006	HORNE, LORRAINE	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
		HORNE, LORRAINE	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0084970997	EVANS, AARON	MF	INTERNATIONAL GROWTH AND	В	64.57	0.65	0.00	0.65	90.0	0.59
10/18/2018 00	0084970997	EVANS, AARON	MF	INTERNATIONAL GROWTH AND	В	64.57	0.65	0.00		90.0	0.59
10/19/2018 00	0084970997	EVANS, AARON	MF	INTERNATIONAL GROWTH AND	В	13.67	0.14	0.00	0.14	90.0	0.13
		EVANS, AARON	MF	INTERNATIONAL GROWTH AND	В	13.67	0.14	0.00		90.0	0.13
		MALLECK, DONALD	MF	CAPITAL WORLD GROWTH AND INCOME		40.00	0.40	0.00		90.0	0.36
		MALLECK, DONALD	MF	CAPITAL WORLD GROWTH AND INCOME		40.00	0.40	0.00		90.0	0.36
		BAKER, MEGHAN		CAPITAL WORLD GROWTH AND INCOME		51.00	0.51	0.00		90.0	0.46
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THOMSEMBRY   D.   STATES   THOMSEMBRY   D.   S.   S.   S.   S.   S.   S.   S.						INVESTMENT	GROSS	CLEARING	NET		BROKER
10.118/2018 0.008499940				INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
1.0.1.1.2.0.1.1.0.0.0.0.0.0.0.0.0.0.0.0.	10/18/2018 000849	979364 BAKER, MEGHAN	MF	CAPITAL WORLD GROWTH AND INCOME	В	51.00	0.51	0.00	0.51	90.0	0.46
10.118/2018 00085003042 SANCHEZ, RAIT   M	10/18/2018 000849	79405 HERNANDEZ, IAEL	MF	CAPITAL WORLD GROWTH AND INCOME	В	50.00	0.50	0.00	0.50	90.0	0.45
10/18/2018 00085093077   MORE, JESSICA   M	10/18/2018 000849	79405 HERNANDEZ, IAEL	MF	CAPITAL WORLD GROWTH AND INCOME	В	50.00	0.50	0.00	0.50	90.0	0.45
10/13/2018 00085093277   JONES, JESSICA   MF   CLORAL BALANCED FUND-C   B   142.48   0.53   0.00   0.53   90.0   0.28	10/18/2018 000850	000842 SANCHEZ, RAUL	MF	CAPITAL WORLD BOND FUND-A	В	54.00	0.54	0.00	0.54	90.0	0.49
10/11/2/2018 00085931277 JONES, JESSICA   MF   GLOBAL BALANCED FUND-C   8   142.48   1.42   0.00   1.42   90.0   0.13	10/18/2018 000850	000842 SANCHEZ, RAUL	MF	CAPITAL WORLD BOND FUND-A	В	54.00	0.54	0.00	0.54	90.0	0.49
13.79/2018 00085093377   MONRE, JESSICA   MF   GLOBAL RALANCED FIND-C   R   3.6 4 0.36   0.00   0.36   93.0   0.32	10/18/2018 000850	93277 JONES, JESSICA	MF	GLOBAL BALANCED FUND-C	В	53.43	0.53	0.00	0.53	90.0	0.48
10/18/2018 00085093307 WHITE, STACIE   MP   CAPITAL WORLD BOND FUND-C   B   49.85   0.50   0.00   0.50   90.0   0.45	10/18/2018 000850	93277 JONES, JESSICA	MF	GLOBAL BALANCED FUND-C	В	142.48	1.42	0.00	1.42	90.0	1.28
10/18/2018 00085093307 NHITE, STACIE   MP   CAPITAL NORLD BOND FUND-C   B   49.85   0.50   0.00   0.50   90.0   0.45	10/19/2018 000850	93277 JONES, JESSICA	MF	GLOBAL BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/12/2018 00085093307 MILTE, STACLE MF CAPITAL WORLD BOND FUND-C B	10/19/2018 000850	93277 JONES, JESSICA	MF	GLOBAL BALANCED FUND-C	В	36.46	0.36	0.00	0.36	90.0	0.32
10/19/2018 00085093307 WHITE, STACIE WF CARTAL WORLD GROW FURN-C B   13.67	10/18/2018 000850	93307 WHITE, STACIE	MF	CAPITAL WORLD BOND FUND-C	В	49.85	0.50	0.00	0.50	90.0	0.45
10/19/2018 00085932037 WHITE, STACLE	10/18/2018 000850	93307 WHITE, STACIE	MF	CAPITAL WORLD BOND FUND-C	В	49.85	0.50	0.00	0.50	90.0	0.45
10/12/2018 00085350239 GABERT, BEAU MF   DEVELOPING WORLD GROWTH & B   125.00   1.25   0.00   1.25   90.0   0.21   10/12/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   125.00   1.25   0.00   1.25   90.0   0.21   10/12/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   125.00   1.25   0.00   1.25   90.0   0.21   10/12/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   125.00   1.25   0.00   0.23   90.0   0.21   10/12/2018 00085350239 GABERT, BEAU MF   THE GROWTH FUND OF AMERICA—A B   125.00   1.25   0.00   0.23   90.0   0.21   10/12/2018 00085350239 GABERT, BEAU MF   THE GROWTH FUND OF AMERICA—B   125.00   1.25   0.00   1.25   90.0   1.13   10/12/2018 00085350239 GABERT, BEAU MF   THE GROWTH FUND OF AMERICA—B   125.00   1.25   0.00   1.25   90.0   1.13   10/12/2018 00085350239 GABERT, BEAU MF   THE UNESTMENT COMPANY OF B   125.00   1.25   0.00   1.25   90.0   1.13   10/16/2018 00085350239 GABERT, BEAU MF   DEVELOPING WORLD GROWTH & B   150.00   1.25   0.00   1.25   90.0   1.13   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/2018 00085350239 GABERT, BEAU MF   NEW PERSPECTIVE FUND—A B   150.00   1.50   0.00   1.50   90.0   1.35   10/16/	10/19/2018 000850	93307 WHITE, STACIE	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/12/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.25 0.00 1.25 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMMERICA—A B 125.00 1.25 0.00 1.25 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMMERICA—B B 125.00 1.25 0.00 1.25 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.50 0.00 1.55 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.50 90.0 0.36 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25 90.0 0.25	10/19/2018 000850	93307 WHITE, STACIE	MF	CAPITAL WORLD BOND FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/12/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 22.50 0.23 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH PUND OF AMERICA—A B 22.50 0.23 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH PUND OF AMERICA—A B 22.50 0.23 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH PUND OF AMERICA—A B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 22.50 0.23 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH SUBJECT AND S	10/12/2018 000853	350239 GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 125.00 1.25 0.00 1.25 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.25 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.25 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.25 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085357239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 0.36 10/16/2018 00085357239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 0.36 10/16/2018 00085357239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 0.00 0.00 0.00 0.00 0.00	10/12/2018 000853	350239 GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	125.00	1.25	0.00	1.25	90.0	1.13
10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 22.50 0.23 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.25 0.00 1.25 90.0 1.21 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 0.53 90.0 0.53 10/16/2018 0008557039 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 0.53 90.0 0.53 10/16/2018 0008654007 DAVIS, WINTEN MF CAPITAL WORLD GROWTH AND INCOME B 750.00 0.50 0.00 0.53 90.0 0.53 90.0 0.53 10/16/2018 0008654007 DAVIS, WINTEN MF MF CAPITAL WORLD GROWTH AND INCOME B 125.00 0.50 0.00 0.53 90.0 0.53 90.0 0.53 10/16/2018 00086664807 DAVIS, WINTEN MF MF CAPITAL WORLD GROWTH AND INCOME B 125.00 0.55 0.00 0.55 9	10/12/2018 000853	350239 GABERT, BEAU	MF	NEW PERSPECTIVE FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 22.50 0.23 0.00 0.23 90.0 0.21 10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 125.00 1.25 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.55 10/19/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.35 10/19/2018 00085455251 HIGDY, KINBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/19/2018 000855937196 VILLARREAL, SAUL MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 0.53 90.0 0.48 10/12/2018 000856937196 VILLARREAL, SAUL MF CAPITAL WORLD GROWTH AND INCOME B 7.50 0.00 0.53 90.0 0.48 10/12/2018 00085604807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 52.90 0.53 0.00 0.53 90.0 0.25 90.0 0.25 10/12/2018 00086604807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 52.90 0.53 0.00 0.53 90.0 0.25 90.0 0.25 10/12/2018 00086604807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 125.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/12/2018 00086604807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 125.00 0.25 90.0 0.25 90.0 0.2	10/12/2018 000853	350239 GABERT, BEAU	MF	NEW PERSPECTIVE FUND-A	В	125.00	1.25	0.00	1.25	90.0	1.13
10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.25 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.55 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.55 0.00 1.55 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.55 0.00 1.55 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.55 0.00 1.55 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.55 0.00 1.55 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.55 0.00 1.55 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085057210 CUNNINCHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 1.01 10/12/2018 000850573196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND—A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.13 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.13 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.13 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.13 10/12/2018 00086	10/12/2018 000853	350239 GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-A	В	22.50	0.23	0.00	0.23	90.0	0.21
10/12/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085450239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085450239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085450239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085450239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085057210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 0.57 10/12/2018 00085064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 52.90 0.53 0.00 0.53 90.0 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND—A B 250.00 1.25 0.00 0.50 90.0 0.25 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 90.0 0.2	10/12/2018 000853	350239 GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-A	В	125.00	1.25	0.00	1.25	90.0	1.13
10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085445325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 1.50 90.0 6.75 10/12/2018 000855977210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085597196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND—A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.53 90.0 0.22 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND—A B 25.00 1.25 0.00 0.53 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND—A B 13.10 0.00 0.00 0.00 0.00 0.00 0.00 0.0	10/12/2018 000853	350239 GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	22.50	0.23	0.00	0.23	90.0	0.21
10/16/2018 00085350239 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 125.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.55 90.0 1.35 10/16/2018 0008545350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.55 90.0 1.35 10/16/2018 0008545350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.50 0.00 1.50 90.0 1.50 90.0 1.35 10/19/2018 0008545350239 GABERT, BEAU MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/19/2018 00085937196 VILLARREAL, SAUL MF CAPITAL WORLD GROWTH AND INCOME B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086054807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086053806 POPEJOY, RUSTON MF NEW WORLD FUND—A B 25.00 0.25	10/12/2018 000853	350239 GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	125.00	1.25	0.00	1.25	90.0	1.13
10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085450239 GABERT, BEAU MF CAPITAL WORLD GROWTH AND B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 000854573210 CUNININGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 000865937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 0008664807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.53 90.0 0.48 10/12/2018 0008664807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 2.25 10/12/2018 00086664807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 1.25 0.00 0.13 90.0 0.12 10/12/2018 00086664807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086664807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086664807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 0.25 0.00 0.25 90.0 0.25 10/12/2018 00086664807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 0.25 0.00 0.25 90.0 0.25 10/12/2018 00086664807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 0.25 0.00 0.25 90.0 0.25 10/12/2018 00086664807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086653806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.2	10/16/2018 000853	350239 GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	125.00	1.25	0.00	1.25	90.0	1.13
10/16/2018 00085350239 GABERT, BEAU MF NEW PERSPECTIVE FUND—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA—A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085445325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085577210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND—A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND—A B 250.00 2.50 0.00 0.53 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND—A B 250.00 2.50 0.00 0.25 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.11 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND—A B 13.12 0.13 0.00 0.13 90.0 0.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND—A B 13.12 0.13 0.00 0.25 90.0 0.25 90.0 0.25 10/18/2018 00086053806 POPEJOY, RUSTON MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086053806 POPEJOY, RUSTON MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 000860553806 POPEJOY, RUSTON MF NEW WORLD FUND—A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/20	10/16/2018 000853	350239 GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	150.00	1.50	0.00	1.50	90.0	1.35
10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.13 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 0008545325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085577210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 0.50 0.00 0.50 90.0 0.25 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 0.50 90.0 0.25 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 0.25 90.0 0.25 10/18/2018 00086053806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 000860553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RU	10/16/2018 000853	350239 GABERT, BEAU	MF	NEW PERSPECTIVE FUND-A	В	125.00	1.25	0.00	1.25	90.0	1.13
10/16/2018 00085350239 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 0008545325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085977120 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085973196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00085973196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.26 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 25.000 2.50 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 0.23 10/18/2018 00086054807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 000860553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.	10/16/2018 000853	350239 GABERT, BEAU	MF	NEW PERSPECTIVE FUND-A	В	150.00	1.50	0.00	1.50	90.0	1.35
10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 125.00 1.25 0.00 1.25 90.0 1.35 10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085445325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085577210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 2.50 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25	10/16/2018 000853	350239 GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-A	В	125.00	1.25	0.00	1.25	90.0	1.13
10/16/2018 00085350239 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 150.00 1.50 0.00 1.50 90.0 1.35 10/19/2018 00085445325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085577210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.25 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 0.53 90.0 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 0.51 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.12 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 0.25 90.0 0.25 10/18/2018 00086653806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 000866553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 000866553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 000866553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 90	10/16/2018 000853	350239 GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-A	В	150.00	1.50	0.00	1.50	90.0	1.35
10/19/2018 00085445325 HIGBY, KIMBERLY MF CAPITAL WORLD GROWTH AND INCOME B 750.00 7.50 0.00 7.50 90.0 6.75 10/15/2018 00085577210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.26 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 2.55 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 9	10/16/2018 000853	350239 GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	125.00	1.25	0.00	1.25	90.0	1.13
10/15/2018 00085577210 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 250.00 4.00 0.00 4.00 90.0 3.60 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.26 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 2.55 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.12 0.13 0.00 0.12 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 1.13 10/18/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.3 10/18/2018 00086053806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 9	10/16/2018 000853	350239 GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	150.00	1.50	0.00	1.50	90.0	1.35
10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 26.25 0.26 0.00 0.26 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 2.55 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.25 10/18/2018 00086053806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.25 90.0 0.25	10/19/2018 000854	45325 HIGBY, KIMBERLY	MF	CAPITAL WORLD GROWTH AND INCOME	В	750.00	7.50	0.00	7.50	90.0	6.75
10/12/2018 00085937196 VILLARREAL, SAUL MF AMERICAN BALANCED FUND-A B 52.90 0.53 0.00 0.53 90.0 0.48 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 0.26 90.0 0.23 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00086054807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0	10/15/2018 000855	77210 CUNNINGHAM, AL	MF	CAPITAL WORLD GROWTH AND	В	250.00	4.00	0.00	4.00	90.0	3.60
10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 0.26 90.0 0.25 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.25 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.25 10/18/2018 000860553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25	10/12/2018 000859	37196 VILLARREAL, SAUL	MF	AMERICAN BALANCED FUND-A	В	52.90	0.53	0.00	0.53	90.0	0.48
10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD BOND FUND-A B 250.00 2.50 0.00 2.50 90.0 2.25 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/18/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.33 10/18/2018 000860553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23	10/12/2018 000859	37196 VILLARREAL, SAUL	MF	AMERICAN BALANCED FUND-A	В	52.90	0.53	0.00	0.53	90.0	0.48
10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 13.13 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23	10/12/2018 000860	64807 DAVIS, WHITNEY	MF	CAPITAL WORLD BOND FUND-A	В	26.25	0.26	0.00	0.26	90.0	0.23
10/12/2018 00086064807 DAVIS, WHITNEY MF CAPITAL WORLD GROWTH AND INCOME B 125.00 1.25 0.00 1.25 90.0 1.13 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23	10/12/2018 000860	64807 DAVIS, WHITNEY	MF	CAPITAL WORLD BOND FUND-A	В	250.00	2.50	0.00	2.50	90.0	2.25
10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 13.12 0.13 0.00 0.13 90.0 0.12 10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.25 90.0 0.23	10/12/2018 000860	64807 DAVIS, WHITNEY	MF	CAPITAL WORLD GROWTH AND INCOME	В	13.13	0.13	0.00	0.13	90.0	0.12
10/12/2018 00086064807 DAVIS, WHITNEY MF NEW WORLD FUND-A B 125.00 1.25 0.00 1.25 90.0 1.13 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23	10/12/2018 000860	64807 DAVIS, WHITNEY	MF	CAPITAL WORLD GROWTH AND INCOME	В	125.00	1.25	0.00	1.25	90.0	1.13
10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23	10/12/2018 000860	64807 DAVIS, WHITNEY	MF	NEW WORLD FUND-A	В	13.12	0.13	0.00	0.13	90.0	0.12
10/18/2018 00086553806 POPEJOY, RUSTON MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23	10/12/2018 000860	64807 DAVIS, WHITNEY	MF	NEW WORLD FUND-A	В	125.00	1.25	0.00	1.25	90.0	1.13
10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23	10/18/2018 000865	53806 POPEJOY, RUSTON	MF	NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23	10/18/2018 000865	53806 POPEJOY, RUSTON	MF	NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00086553806 POPEJOY, RUSTON MF THE GROWTH FUND OF AMERICA-A B 25.00 0.25 0.00 0.25 90.0 0.23	10/18/2018 000865	53806 POPEJOY, RUSTON	MF	THE GROWTH FUND OF AMERICA-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 0008665083 MARSIC IRSULA ME AMERICAN RALANCED FUND-C B 40.89 0.41 0.00 0.41.90.0 0.37	10/18/2018 000865	53806 POPEJOY, RUSTON	MF	THE GROWTH FUND OF AMERICA-A	В	25.00	0.25	0.00	0.25	90.0	
10/10/2010 00000000000 Findster of the findster of the control of	10/18/2018 000866	65083 MARSIC, URSULA	MF	AMERICAN BALANCED FUND-C	В	40.89	0.41	0.00	0.41	90.0	0.37

10/12/2018 0008985993 NAMESIC, WESTLAN   NAMESICAN BALANCED FUND-C   B   13.67   0.42   0.00   0.14   90.0   0.37	TRADE DATE CLIENT			INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10.11/2/2108 00086655101 O'NOCHRE, LORNE NE ABBRICON BALANCED FINNE-C B	10/18/2018 00086665083 M	ARSIC, URSULA	MF	AMERICAN BALANCED FUND-C	В	40.89	0.41	0.00	0.41	90.0	0.37
10.12/2018 00086655110   **CHORNER, LORINA   M. MARICAN BALANCED FIND-C   B   6.14   0.06   0.00   0.06   90.0   0.05	10/19/2018 00086665083 M	ARSIC, URSULA	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10.112/2018   0.0086665101   0.00000000000000000000000000000000	10/19/2018 00086665083 M	ARSIC, URSULA	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10.12/2018 00086665101 O'ROURES, LORINS   MF   MIRICANS BALANCED FUND-C   B   12.90   0.13   0.00   0.13   90.0   0.12	10/12/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	6.11	0.06	0.00	0.06	90.0	0.05
10.112/2018 00086665101 O'ROUNER, LORNA ME	10/12/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	6.14	0.06	0.00	0.06	90.0	0.05
10.719/2018 000866655110 O'ROUNKE, LORNA   MP   AMERICAN BALANCED FUND-C   B   6.04   0.06   0.00   0.06   90.0   0.05	10/12/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	12.50	0.13	0.00	0.13	90.0	0.12
10/13/2018 00086665101 O'ROURES, LORMA   MF   AMERICAN BALANCED FUND-C   B   6.11   0.06   0.00   0.00   0.00   0.00   0.05	10/12/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	12.50	0.13	0.00	0.13	90.0	0.12
10/12/2018 00086665101 O'ROUREE, LORNA   MF   MARRICAN BALANCED FUND-C   B   12.50   0.03   0.00   0.13   90.0   0.12   10/15/2018 00086666403 GARCIA, CRYSTAL   MF   MARRICAN BALANCED FUND-C   B   62.50   0.63   0.00   0.03   90.0   0.57   10/15/2018 0008666403 GARCIA, CRYSTAL   MF   MARRICAN BALANCED FUND-C   B   20.67   0.21   0.00   0.21   90.0   0.57   10/15/2018 0008666403 GARCIA, CRYSTAL   MF   MARRICAN BALANCED FUND-C   B   80.00   0.80   0.00	10/19/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	12.50	0.13	0.00	0.13	90.0	0.12
10/12/2018 00088666430   CARCIA, CRITYTAL   NE AMERICAN BALANCED FUND-C   B   62.50   0.63   0.00   0.63   90.0   0.57	10/19/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	6.11	0.06	0.00	0.06	90.0	0.05
10/15/2018 00086666430 GRRCIA, CRYSTAL   MF   AMERICAN BALANCED FUND-C   R   20.67   0.21   0.00   0.03   0.00   0.79	10/26/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	6.04	0.06	0.00	0.06	90.0	0.05
10/13/2018 00087697770 SARGENT, TENDRICK   MP   AMERICAN BALANCED FUND-A   B   80.00   0.00	10/26/2018 00086665101 0	'ROURKE, LORNA	MF	AMERICAN BALANCED FUND-C	В	12.50	0.13	0.00	0.13	90.0	0.12
10/18/2018 00087997770 SARGENT, TENDRICK MF	10/15/2018 00086666430 G	ARCIA, CRYSTAL	MF	AMERICAN BALANCED FUND-C	В	62.50	0.63	0.00	0.63	90.0	0.57
10/18/2018 000879770 SARCENT, TENDRICK MF	10/15/2018 00086666430 G	ARCIA, CRYSTAL	MF	AMERICAN BALANCED FUND-C	В	20.67	0.21	0.00	0.21	90.0	0.19
10/17/2018 00087192229 GABERT, LYNSEY MF DEVELOPING WORLD GROWTH E B 25.00 0.25 0.00 0.25 90.0 0.23 10/17/2018 00087192229 GABERT, LYNSEY MF INVESTMENT COMPANY OF AMERICA B 25.00 0.25 0.00 0.25 90.0 0.23 10/17/2018 00087192229 GABERT, LYNSEY MF THE GROWTH FUND OF AMERICA B 25.00 0.25 0.00 0.25 90.0 0.23 10/17/2018 00087192229 GABERT, LYNSEY MF THE GROWTH FUND OF AMERICA B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-C B 458.33 4.58 0.00 4.58 90.0 0.54 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.52 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087735661 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 10/18/2018 00087819393 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 0.00 0.60 90.0 0.54 10/18/2018 00087819393 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 0.00 0.00 0.00 0.00	10/18/2018 00087097770 Si	ARGENT, TENDRICK	MF	AMERICAN BALANCED FUND-A	В	80.00	0.80	0.00	0.80	90.0	0.72
10/17/2018 00087192229 GABERT, LYNSEY MF INVESTMENT COMPANY OF AMERICA B 25.00 0.25 0.00 0.25 90.0 0.23 10/17/2018 00087192229 GABERT, LYNSEY MF INF REPRETEUR FIND-C B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-A B 400.00 0.00 0.00 0.00 0.00 0.00 0.05 10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.00 0.00 0.00 0.50 0.5	10/18/2018 00087097770 Si	ARGENT, TENDRICK	MF	AMERICAN BALANCED FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/17/2018 00087192229 GABERT, LYNSEY MF NEW PERSPECTIVE FUND-C B 25.00 0.25 0.00 0.25 90.0 0.23 10/17/2018 00087192229 GABERT, LYNSEY MF THE GROWTH FUND OF AMERICA-C B 25.00 0.25 0.00 0.25 90.0 0.23 10/18/2018 0008720412 BULLARD, BYANT MF AMERICAN BALANCED FUND-A B 400.00 4.00 0.00 0.00 0.00 9.0 0.36.0 10/18/2018 0008720412 BULLARD, BYANT MF AMERICAN BALANCED FUND-C B 458.33 4.58 0.00 4.58 90.0 0.54 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 000877950214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087735681 COOPER, TRACY MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 0008735933 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-C B 60.00 0.60 0.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087313930 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-C B 10.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/17/2018 00087192229 G	ABERT, LYNSEY	MF	DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00	0.25	90.0	0.23
10/17/2018 00087192229 GABERT, LYNSEY	10/17/2018 00087192229 G	ABERT, LYNSEY	MF	INVESTMENT COMPANY OF AMERICA	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-A B 400.00	10/17/2018 00087192229 G	ABERT, LYNSEY	MF	NEW PERSPECTIVE FUND-C	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00087204412 BULLARD, BRYANT MF AMERICAN BALANCED FUND-C B 458.33 4.58 0.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087873226 LEAKE, ABBY MF AMERICAN BALANCED FUND-C B 458.33 4.58 0.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087725661 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087725661 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087819930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN MUTUAL FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087819090 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087819090 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.00 0.00 0.20 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.00 0.20 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.00 0.00 0.20 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 15.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	10/17/2018 00087192229 G	ABERT, LYNSEY	MF	THE GROWTH FUND OF AMERICA-C	В	25.00	0.25	0.00	0.25	90.0	0.23
10/08/2018 00087273226 LEAKE, ABBY MF AMERICAN BALANCED FUND-C B \$5.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B \$5.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B \$5.00 0.52 0.00 0.52 90.0 0.47 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B \$13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087752681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 0008781930 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.54 10/18/2018 0008781930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 0008781930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.15 10/15/2018 00087819009 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.15 10/15/2018 0008781900 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.15 10/15/2018 00087818000 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.00 0.14 90.0 0.15 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/18/2018 00087204412 B	JLLARD, BRYANT	MF	AMERICAN BALANCED FUND-A	В	400.00	4.00	0.00	4.00	90.0	3.60
10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 15.60 0.26 0.00 0.20 0.00 0.20 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 15.60 0.26 0.00 0.26 0.00 0.27 90.0 0.28 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 15.60 0.26 0.00 0.26 0.00 0.27 90.0 0.28 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 15.00 0.15 0.00 0.15 90.0 0.15 90.0 0.90 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 86.64 0.89 0.00 0.00 0.00 90.0 0.90 0.90 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 86.64 0.89 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/18/2018 00087204412 B	JLLARD, BRYANT	MF	AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54
10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.60 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.44 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 15.60 0.26 0.00 0.20 0.00 0.20 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 15.60 0.26 0.00 0.26 0.00 0.27 90.0 0.28 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 15.60 0.26 0.00 0.26 0.00 0.27 90.0 0.28 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 15.00 0.15 0.00 0.15 90.0 0.15 90.0 0.90 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 86.64 0.89 0.00 0.00 0.00 90.0 0.90 0.90 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 86.64 0.89 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/08/2018 00087273226 Li	EAKE, ABBY	MF		В	458.33	4.58	0.00	4.58	90.0	
10/18/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 52.00 0.52 0.00 0.52 90.0 0.47 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 000877690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087735681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087836909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.00 0.14 90.0 0.18 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 16.67 0.17 0.00 0.17 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 16.67 0.17 0.00 0.17 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 15.00 0.26 0.00 0.26 90.0 0.26 90.0 0.28 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 15.00 0.55 0.00 0.50 90.0 0.80 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 15.00 0.55 0.00 0.50 90.0 0.80 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 15.00 0.55 0.00 0.50 90.0 0.80 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 50.00 0.55 0.00 0.50 90.0 0.50 90.0 0.80 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 50.00 0.55 0.00 0.55			MF		В	52.00	0.52	0.00	0.52	90.0	
10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.59 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.14 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 10.67 0.17 0.00 0.00 0.20 90.0 0.18 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 16.67 0.17 0.00 0.17 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 16.60 0.26 0.00 0.26 90.0 0.26 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 15.00 0.15 0.00 0.26 90.0 0.26 10/18/2018 00087846961 MCCARTINEY, MF GLOBAL BALANCED FUND-A B 15.00 0.15 0.00 0.00 0.15 90.0 0.90 10/18/2018 00087846961 MCCARTINEY, MF GLOBAL BALANCED FUND-A B 88.64 0.89 0.00 0.00 0.90 0.90 0.90 10/18/2018 00087816967 JENKINS, TAMME MF GLOBAL BALANCED FUND-A B 88.64 0.89 0.00 0.00 0.00 0.50 90.0 0.89 10/18/2018 00087916087 JENKINS, TAMME MF GLOBAL BALANCED FUND-A B 88.64 0.89 0.00 0.00 0.50 90.0 0.89 90.0 0.89 10/18/2018 00087916087 JENKINS, TAMME MF GLOBAL BALANCED F			MF		В	52.00	0.52	0.00	0.52	90.0	0.47
10/19/2018 00087690214 GALLOWAY, MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087831930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.10 0.00 0.10 0.00 0.11 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 16.67 0.17 0.00 0.10 0.00 0.19 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 16.67 0.17 0.00 0.17 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 16.67 0.17 0.00 0.10 0.10 90.0 0.20 90.0 0.20 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 15.00 0.15 0.00 0.15 90.0 0.90 0.90 1.80 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 10.00 0.00 0.10 0.00 0.10 0.00 0.00 0			MF		В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087725681 COOPER, TRACY MF AMERICAN BALANCED FUND-A B 60.00 0.60 0.00 0.60 90.0 0.54 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087813930 BLANCHARD, ROBERT MF AMERICAN MUTUAL FUND-A B 100.00 1.00 0.00 1.00 90.0 0.90 10/18/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 43.77 0.44 0.00 0.44 90.0 0.40 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087819909 FOSHEE, CAROLL MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 00087836077 DOMINGUEZ, ERIC MF AMERICAN BALANCED FUND-C B 20.00 0.20 0.00 0.20 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-C B 16.67 0.17 0.00 0.17 90.0 0.15 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 26.00 0.26 0.00 0.26 90.0 0.23 10/18/2018 00087836092 WAKEFIELD, MF AMERICAN BALANCED FUND-A B 15.00 0.15 0.00 0.15 90.0 0.90 0.90 10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 10.00 0.00 0.00 0.00 0.00 0.90 0.90 0.9	10/19/2018 00087690214 G	ALLOWAY,	MF		В	13.67	0.14	0.00	0.14	90.0	
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10/18/2018 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 88.64 0.89 0.00 0.89 90.0 0.80 10/18/2018 00087916087 JENKINS, TAMMIE MF GLOBAL BALANCED FUND-A B 200.00 2.00 0.00 2.00 90.0 1.80 10/18/2018 00087916087 JENKINS, TAMMIE MF GLOBAL BALANCED FUND-A B 50.00 0.50 0.00 0.50 90.0 0.45 10/17/2018 00088101439 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 25.00 0.25 0.00 0.25 90.0 0.23											
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10/18/2018 00087916087 JENKINS, TAMMIE MF GLOBAL BALANCED FUND-A B 50.00 0.50 0.00 0.50 90.0 0.45 10/17/2018 00088101439 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 25.00 0.25 0.00 0.25 90.0 0.23		•									
10/17/2018 00088101439 GABERT, BEAU MF DEVELOPING WORLD GROWTH & B 25.00 0.25 0.00 0.25 90.0 0.23											
10/17/2018 00088101439 GABERT, BEAU MF NEW PERSPECTIVE FUND-529C B 25.00 0.25 0.00 0.25 90.0 0.23	10/17/2018 00088101439 G		MF	NEW PERSPECTIVE FUND-529C	В	25.00	0.25	0.00			0.23

						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
10/17/2018 00	0088101439	BABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-5290	! B	25.00	0.25	0.00	0.25	90.0	0.23
10/17/2018 00	0088101439	GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 00	0088209450 N	MASHBURN,	MF	GLOBAL BALANCED FUND-C	В	34.99	0.35	0.00	0.35	90.0	0.32
10/18/2018 00	0088209450 N	MASHBURN,	MF	GLOBAL BALANCED FUND-C	В	81.65	0.82	0.00	0.82	90.0	0.74
10/18/2018 00	0088209465	CANADA, ERMA	MF	GLOBAL BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
10/18/2018 00	0088209465	CANADA, ERMA	MF	GLOBAL BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
10/19/2018 00	0088209465	CANADA, ERMA	MF	GLOBAL BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0088209465	CANADA, ERMA	MF	GLOBAL BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0088216332 N	MORGAN, ROXANNE	MF	GLOBAL BALANCED FUND-C	В	42.08	0.42	0.00	0.42	90.0	0.38
10/18/2018 00	0088216332 N	MORGAN, ROXANNE	MF	GLOBAL BALANCED FUND-C	В	56.10	0.56	0.00	0.56	90.0	0.50
10/19/2018 00	0088216332 N	MORGAN, ROXANNE	MF	GLOBAL BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0088216332 N	MORGAN, ROXANNE	MF	GLOBAL BALANCED FUND-C	В	18.23	0.18	0.00	0.18	90.0	0.16
10/18/2018 00	0088226016 E	BULLARD, ANGELA	MF	CAPITAL WORLD GROWTH AND INCOME	В	45.00	0.45	0.00	0.45	90.0	0.41
10/18/2018 00	0088226016 E	BULLARD, ANGELA	MF	CAPITAL WORLD GROWTH AND INCOME	В	105.00	1.05	0.00	1.05	90.0	0.95
10/19/2018 00	0088226016 E	BULLARD, ANGELA	MF	CAPITAL WORLD GROWTH AND INCOME	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0088226016 E	BULLARD, ANGELA	MF	CAPITAL WORLD GROWTH AND INCOME	В	31.90	0.32	0.00	0.32	90.0	0.29
10/15/2018 00	0088522542 \	ICKERS, GRACE	MF	AMERICAN BALANCED FUND-C	В	134.18	1.34	0.00	1.34	90.0	1.21
10/15/2018 00	0088522542 \	VICKERS, GRACE	MF	AMERICAN BALANCED FUND-C	В	13.42	0.13	0.00	0.13	90.0	0.12
10/08/2018 00	0088636318 I	ONG, GABRIELLE	MF	CAPITAL INCOME BUILDER CLASS C	В	229.16	2.29	0.00	2.29	90.0	2.06
10/22/2018 00	0088636318 I	ONG, GABRIELLE	MF	CAPITAL INCOME BUILDER CLASS C	В	229.16	2.29	0.00	2.29	90.0	2.06
10/18/2018 00	0089011146 E	PEREIDA, JENNIFER	NF	AMERICAN BALANCED FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
10/18/2018 00	0089011146 E	PEREIDA, JENNIFER	NF	AMERICAN BALANCED FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
10/19/2018 00	0089011146 E	PEREIDA, JENNIFER	NF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0089011146 E	PEREIDA, JENNIFER	NF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/15/2018 00	0089015827	JEFFREY C	MF	FUNDAMENTAL INVESTORS-C	В	100.00	1.00	0.00	1.00	90.0	0.90
10/15/2018 00	0089101866 I	DAVIS, WHITNEY	MF	NEW PERSPECTIVE FUND-529C	В	75.00	0.75	0.00	0.75	90.0	0.68
10/15/2018 00	0089101866 I	DAVIS, WHITNEY	MF	THE GROWTH FUND OF AMERICA-529C	. B	75.00	0.75	0.00	0.75	90.0	0.68
10/15/2018 00	0089101866 I	DAVIS, WHITNEY	MF	THE INVESTMENT COMPANY OF	В	75.00	0.75	0.00	0.75	90.0	0.68
10/15/2018 00	0089101866 I	DAVIS, WHITNEY	MF	THE NEW ECONOMY FUND-529C	В	75.00	0.75	0.00	0.75	90.0	0.68
10/18/2018 00	0089102069 E	PHOUCHANE, LEA	MF	AMERICAN BALANCED FUND-C	В	47.75	0.48	0.00	0.48	90.0	0.43
10/18/2018 00	0089102069 E	PHOUCHANE, LEA	MF	AMERICAN BALANCED FUND-C	В	47.75	0.48	0.00	0.48	90.0	0.43
10/19/2018 00	0089102069 E	PHOUCHANE, LEA	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0089102069 E	PHOUCHANE, LEA	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 00	0089226806 I	ACKEY, STEPHANIE	MF	AMERICAN BALANCED FUND-C	В	40.81	0.41	0.00	0.41	90.0	0.37
10/18/2018 00	0089226806 I	ACKEY, STEPHANIE	MF	AMERICAN BALANCED FUND-C	В	81.61	0.82	0.00	0.82	90.0	0.74
10/19/2018 00	0089226806 I	ACKEY, STEPHANIE	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 00	0089226806 I	ACKEY, STEPHANIE	MF	AMERICAN BALANCED FUND-C	В	27.34	0.27	0.00	0.27	90.0	0.24
10/18/2018 00	0089380095 F	ING, JACOB	MF	THE GROWTH FUND OF AMERICA-A	В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 00				THE GROWTH FUND OF AMERICA-A	В	57.00	0.57	0.00		90.0	0.51
				AMERICAN BALANCED FUND-A	В	86.06	0.86	0.00		90.0	0.77
				AMERICAN BALANCED FUND-A	В	64.54	0.65	0.00		90.0	0.59
		ROSS, STEPHEN		THE GROWTH FUND OF AMERICA-A	В	54.00	0.54	0.00		90.0	0.49
		ROSS, STEPHEN		THE GROWTH FUND OF AMERICA-A	В	54.00	0.54	0.00		90.0	0.49
				THE GROWTH FUND OF AMERICA-A	В	57.40	0.57	0.00		90.0	0.51

10/18/2018 00089393179 MILLER, MICAIAH MF THE GROWTH FUND OF AMERICA-A B 57.40 0.57 0.00 0.57 90.0 0.51 10/18/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 52.02 0.52 0.00 0.52 90.0 0.47 10/18/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 173.40 1.73 0.00 1.73 90.0 1.56 10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 45.57 0.46 0.00 0.46 90.0 0.41 10/15/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.91 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 12.79 0.13 0.00 0.13 90.0 0.12 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.83 0.07 0.00 0.07 90.0 0.06 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 66.83 0.07 0.00 0.07 90.0 0.06 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 66.83 0.07 0.00 0.07 90.0 0.06 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 66.83 0.07 0.00 0.08 90.0 0.18 90.0 0.16
10/18/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 1.73 90.0 1.56 10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 45.57 0.46 0.00 0.46 90.0 0.41 10/15/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.91 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 12.79 0.13 0.00 0.13 90.0 0.12 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.56 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.007 0.00 0.07 90.0 0.00
10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 45.57 0.46 0.00 0.46 90.0 0.41 10/15/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.91 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 12.79 0.13 0.00 0.13 90.0 0.12 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.00 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.00 0.00 0.00 0.00
10/19/2018 00089446201 CARLSON, CHESTER MF AMERICAN BALANCED FUND-C B 45.57 0.46 0.00 0.46 90.0 0.41 10/15/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.91 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 12.79 0.13 0.00 0.13 90.0 0.12 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 6.83 0.07 0.00 0.07 90.0 0.07 90.0 0.06
10/15/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.91 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 12.79 0.13 0.00 0.13 90.0 0.12 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 12.79 0.13 0.00 0.13 90.0 0.12 10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.00 0.07 90.0 0.06
10/18/2018 00089508477 JOHNSON, JESSICA MF AMERICAN BALANCED FUND-C B 42.65 0.43 0.00 0.43 90.0 0.39 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/18/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 21.04 0.21 0.00 0.21 90.0 0.19 10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/18/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 56.10 0.56 0.00 0.56 90.0 0.50 10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 6.83 0.07 0.00 0.07 90.0 0.06
10/19/2018 00089508507 LOPEZ, ANTONIO MF AMERICAN BALANCED FUND-C B 18.23 0.18 0.00 0.18 90.0 0.16
10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 6.84 0.07 0.00 0.07 90.0 0.06
10/19/2018 00089508507 LOPEZ, ANTONIO MF NEW PERSPECTIVE FUND-C B 18.23 0.18 0.00 0.18 90.0 0.16
10/17/2018 00089807491 WILLIAMSON, LISA MF AMERICAN BALANCED FUND-C B 200.00 2.00 0.00 2.00 90.0 1.80
10/18/2018 04000149180 DUGGAN, KENNETH MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54
10/18/2018 04000149180 DUGGAN, KENNETH MF THE GROWTH FUND OF AMERICA-A B 53.82 0.54 0.00 0.54 90.0 0.49
10/18/2018 04000149281 EADY, ASHLEY MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54
10/18/2018 04000149281 EADY, ASHLEY MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54
10/18/2018 04000196925 ARANDA, EDGAR MF AMERICAN BALANCED FUND-A B 49.00 0.49 0.00 0.49 90.0 0.44
10/18/2018 04000196925 ARANDA, EDGAR MF AMERICAN BALANCED FUND-A B 49.00 0.49 0.00 0.49 90.0 0.44
10/12/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 4.92 0.05 0.00 0.05 90.0 0.05
10/12/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 5.53 0.06 0.00 0.06 90.0 0.05
10/12/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 16.00 0.16 0.00 0.16 90.0 0.14
10/12/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 16.00 0.16 0.00 0.16 90.0 0.14
10/19/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 16.00 0.16 0.00 0.16 90.0 0.14
10/19/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 5.57 0.06 0.00 0.06 90.0 0.05
10/26/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 4.20 0.04 0.00 0.04 90.0 0.04
10/26/2018 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 16.00 0.16 0.00 0.16 90.0 0.14
10/15/2018 04000344353 BERNARD, CONNER MF CAPITAL WORLD GROWTH AND B 100.00 1.00 0.00 1.00 90.0 0.90
10/15/2018 04000344353 BERNARD, CONNER MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90
10/15/2018 04000344408 BERNARD, CONNER MF CAPITAL WORLD GROWTH AND B 100.00 1.00 0.00 1.00 90.0 0.90
10/15/2018 04000344408 BERNARD, CONNER MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90
10/12/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 80.61 0.81 0.00 0.81 90.0 0.73
10/12/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 80.61 0.81 0.00 0.81 90.0 0.73
10/19/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 86.89 0.87 0.00 0.87 90.0 0.78
10/19/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 86.89 0.87 0.00 0.87 90.0 0.78
10/26/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 86.89 0.87 0.00 0.87 90.0 0.78
10/26/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 86.89 0.87 0.00 0.87 90.0 0.78
10/12/2018 04000373417 POOVEY, RUSSELL MF THE GROWTH FUND OF AMERICA-C B 32.72 0.33 0.00 0.33 90.0 0.30
10/12/2018 04000373417 POOVEY, RUSSELL MF THE GROWTH FUND OF AMERICA-C B 76.35 0.76 0.00 0.76 90.0 0.68
10/19/2018 04000373417 POOVEY, RUSSELL MF THE GROWTH FUND OF AMERICA-C B 35.15 0.35 0.00 0.35 90.0 0.32

TABLE   CLIENT   CL							INVESTMENT	GROSS	CLEARING	NET		BROKER
10/16/2018 04000373447 POWEY, ROSSELL ME THE GROWN FUND OF AMBERCA-C ME 12.72   0.33 0.06 0.33 0.06 0.36 0.06 0.37 0.00 0.00 0.00	TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
10.742/2218 04000373445 XEED, PAUL MF THE GROWTH FUND OF AMERICA-C METERS   16.168   16.268   16.269   16.279   16.299   16.299   16.299   16.299   16.299   16.299   16.299   16.299   16.29999   16.2999999999999999999999999999999999999	10/19/2018 0	4000373417	POOVEY, RUSSELL	MF	THE GROWTH FUND OF AMERICA-C	В	82.02	0.82	0.00	0.82	90.0	0.74
10.712/2018 04000373445 KELDO, PAUL   MT   THE GROWTH FUND OF AMERICA C   B   63.88   0.64   0.00   0.64   90.0   0.24	10/26/2018 0	4000373417	POOVEY, RUSSELL	MF	THE GROWTH FUND OF AMERICA-C	В	76.35	0.76	0.00	0.76	90.0	0.68
10/12/2018 04000373445 KHLDO, PAUL   MP   MP   MP   MP   MP   MP   MP   M	10/26/2018 0	4000373417	POOVEY, RUSSELL	MF	THE GROWTH FUND OF AMERICA-C	В	32.72	0.33	0.00	0.33	90.0	0.30
10.1747/2018 04000373445 KILDO, PAUL	10/12/2018 0	4000373445	KELSO, PAUL	MF	THE GROWTH FUND OF AMERICA-C	В	63.68	0.64	0.00	0.64	90.0	0.58
10/13/2011 04000173445 EELSO, PAILL   MF   THE GROWTH FUND OF AMERICA-C   R   63.68   0.64   0.00   0.64   9.00   0.38	10/12/2018 0	4000373445	KELSO, PAUL	MF	THE GROWTH FUND OF AMERICA-C	В	27.29	0.27	0.00	0.27	90.0	0.24
10/26/2018 04000373445 KERSO, PABLI   MF   THE GROWTH FUND OF AMERICA-C   B   63.68   0.64   0.00   0.64   90.0   0.59     10/12/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   65.99   0.66   0.00   0.66   90.0   0.59     10/12/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.28   0.28   0.00   0.28   90.0   0.25     10/12/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.28   0.28   0.00   0.28   90.0   0.25     10/12/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.28   0.28   0.00   0.06   80.0   0.28     10/16/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.28   0.28   0.00   0.00   0.28   80.0   0.25     10/26/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.80   0.29   0.00   0.02   80.0   0.25     10/26/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.28   0.28   0.00   0.00   0.67   90.0   0.60     10/15/2018 04000373465 KERSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.80   0.29   0.00   0.67   90.0   0.60     10/15/2018 04000374817 POUNDS, DAVID   MF   CAPITAL MORLD GROWTH AND INCOME   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF   FUNDAMENTAL INVESTORS-A   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF   KERPECTIVE FUND-A   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF   THE GROWTH FUND OF AMERICA-C   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF   THE GROWTH FUNDAMENTAL INVESTORS-A   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF   THE GROWTH FUNDAMENTAL INVESTORS-A   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF   THE GROWTH FUNDAMENTAL INVESTORS-A   B   17.81   0.18   0.00   0.18   90.0   0.16     10/15/2018 04000374817 POUNDS, DAVID   MF	10/19/2018 0	4000373445	KELSO, PAUL	MF	THE GROWTH FUND OF AMERICA-C	В	63.68	0.64	0.00	0.64	90.0	0.58
10/12/2018 04000373445   ERISON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   27.29   0.27   0.00   0.28   0.00   0.59	10/19/2018 0	4000373445	KELSO, PAUL	MF	THE GROWTH FUND OF AMERICA-C	В	27.29	0.27	0.00	0.27	90.0	0.24
10/12/2018 04000373465 HENSON, CHASE	10/26/2018 0	4000373445	KELSO, PAUL	MF	THE GROWTH FUND OF AMERICA-C	В	63.68	0.64	0.00	0.64	90.0	0.58
10/12/2018 04000373465 HENNOON, CHASE	10/26/2018 0	4000373445	KELSO, PAUL	MF	THE GROWTH FUND OF AMERICA-C	В	27.29	0.27	0.00	0.27	90.0	0.24
10/19/2018 04000373465   NENSON, CHASE   MF   THE GROWTH FUND OF AMERICA-C   B   28.28   0.28   0.00   0.06   90.0   0.59	10/12/2018 0	4000373465	HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	65.99	0.66	0.00	0.66	90.0	0.59
10/13/2018 04000373465   EMNSON, CHASE	10/12/2018 0	4000373465	HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	28.28	0.28	0.00	0.28	90.0	0.25
10/26/2018 04000373465 HENSON, CHASE	10/19/2018 0	4000373465	HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	65.99	0.66	0.00	0.66	90.0	0.59
10/26/2018 040003734917 POUNDS, DAVID MF CAPITAL WORLD GROWTH AND INCOME B	10/19/2018 0	4000373465	HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	28.28	0.28	0.00	0.28	90.0	0.25
10/15/2018 04000374817 POUNDS, DAVID MF CAPITAL WORLD GROWTH AND INCOME B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF CAPITAL WORLD GROWTH AND INCOME B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL INVESTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL INVESTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL INVESTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE SHOW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 18.82 0.12 0.00 0.12 90.0 0.11 10/13/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 18.94 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000445415 CRAMFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26	10/26/2018 0	4000373465	HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	28.80	0.29	0.00	0.29	90.0	0.26
10/15/2018 04000374817 POUNDS, DAVID MF CAPITAL WORLD GROWTH AND INCOME B 17.82 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL LINYSTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL LINYSTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.16 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.00 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.09 0.00 0.09 10/26/201	10/26/2018 0	4000373465	HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	67.20	0.67	0.00	0.67	90.0	0.60
10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL INVESTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF FUNDAMENTAL INVESTORS-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.82 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.10 0.12 90.0 0.11 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.00 10/15/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.00 10/15/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.00 0.09 90.0 0.00 10/16/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.00 0.09 90.0 0.00 10/16/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.09 90.0 0.00 0.00 0.09 90.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/15/2018 0	4000374817	POUNDS, DAVID	MF	CAPITAL WORLD GROWTH AND INCOME	В	17.81	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF	10/15/2018 0	4000374817	POUNDS, DAVID	MF	CAPITAL WORLD GROWTH AND INCOME	В	17.82	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND—A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND—A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA—A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA—B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA—B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA—B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 11.82 0.12 0.00 0.12 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 11.82 0.12 0.00 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000441564 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.09 10/22/2018 04000441564 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.09 10/22/2018 04000441564 BERNARD, TERRICA—C ME GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.09 10/22/2018 04000441564 BERNARD, TERRICA—C ME GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/22/2018 04000441564 BERNARD, TERRICA—C ME GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/22/2018 04000643569 PORRASDANA MF NEW PERSPECTIVE FUND—C B 13.67 0.14 0.00 0.14 90.0 0.15 0.0	10/15/2018 0	4000374817	POUNDS, DAVID	MF	FUNDAMENTAL INVESTORS-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF NEW PERSPECTIVE FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.82 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 0400044415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000444172 BERNARD, SHANE MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/15/2018 04000444172 BERNARD, SHANE MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/15/2018 04000444172 BERNARD, SHANE MF THE GROWTH FUND OF AMERICA-C B 0.25 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/15/2018 0	4000374817	POUNDS, DAVID	MF	FUNDAMENTAL INVESTORS-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.02 90.0 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 10/26/2018 0400044415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000484764 BERNARD, SHANE MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.09 90.0 0.09 10/15/2018 0400048476 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.50 90.0 2.50 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.37 90.0 0.37 90.0 0.38 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.00 0.00 0.	10/15/2018 0	4000374817	POUNDS, DAVID	MF	NEW PERSPECTIVE FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF THE GROWTH FUND OF AMERICA-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000444415 CRAMFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 0.00 1.00 90.0 0.09 10/15/2018 04000444764 BERNARD, TERRICE MF GROWTH FORTPOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000484764 BERNARD, TERRICE MF GROWTH FORTPOLIO-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.45 90.0 0.45 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.45 90.0 0.45 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/15/2018 0	4000374817	POUNDS, DAVID	MF	NEW PERSPECTIVE FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND—A B 17.81 0.18 0.00 0.18 90.0 0.16 10/15/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 11.82 0.12 0.00 0.12 90.0 0.11 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 11.82 0.12 0.00 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 11.82 0.12 0.00 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.48 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.48 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA—C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA—C B 9.25 0.00 0.00 0.00 0.09 90.0 0.09 10/15/2018 040004464415 BERNARD, SHANE MF GROWTH FORTFOLIO—C B 250.00 0.50 0.00 0.50 0.00 0.50 0.00 0.0	10/15/2018 0	4000374817	POUNDS, DAVID	MF	THE GROWTH FUND OF AMERICA-A	В	17.82	0.18	0.00	0.18	90.0	0.16
10/15/2018 04000374817 POUNDS, DAVID MF THE NEW ECONOMY FUND-A B 17.81 0.18 0.00 0.18 90.0 0.16 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.00 0.09 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/15/2018 04000484729 BERNARD, SHANE MF GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 0.00 1.00 0.09 0.09	10/15/2018 0	4000374817	POUNDS, DAVID	MF	THE GROWTH FUND OF AMERICA-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 11.82 0.12 0.00 0.12 90.0 0.11 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.09 90.0 0.09 10/15/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.09 90.0 0.09 10/15/2018 04000444415 CRAWFORD, ZACHARY MF GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.09 90.0 0.09 10/15/2018 04000444764 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 0400044764 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 0.45 90.0 0.41 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.37 90.0 0.33 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.37 90.0 0.37 90.0 0.33 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.69 0.14 0.00 0.14 90.0 0.15 90.0 0.37 90.0 0.37 90.0 0.38 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE	10/15/2018 0	4000374817	POUNDS, DAVID	MF	THE NEW ECONOMY FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/12/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000441561 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 10/26/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.99 90.0 0.08 10/22/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.00 10/25/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.00 10/25/2018 04000484729 BERNARD, SHANE MF GROWTH FORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/15/2018 04000484764 BERNARD, TERENCE MF GROWTH FORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 04000566805 FORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 FORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 FORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 250.00 2.50 0.00 2.50 0.00 2.50 90.0 2.50 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 250.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 250.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 250.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 250.00 0.45 0.00 0.45 90.0 0.45 90.0 0.45 90.0 0	10/15/2018 0	4000374817	POUNDS, DAVID	MF	THE NEW ECONOMY FUND-A	В	17.81	0.18	0.00	0.18	90.0	0.16
10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.09 90.0 0.08 10/22/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.09 10/15/2018 04000484729 BERNARD, SHANE MF GROWTH FUND OF AMERICA-C B 250.00 2.50 0.00 2.50 90.0 2.50 10/15/2018 04000484764 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 0400056805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000543527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.37 90.0 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 250.00 2.50 0.00 2.50 90.0 2.50 90.0 2.50 10/18/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 250.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 450.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 450.00 0.45 0.00 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90	10/12/2018 0	4000415640	MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	11.82	0.12	0.00	0.12	90.0	0.11
10/19/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.48 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.00 0.09 90.0 0.08 10/22/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.90 0.90 10/15/2018 040004447129 BERNARD, SHANE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000565805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.21 0.12 0.00 0.37 90.0 0.31 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.00 0.25 90.0 0.11 10/12/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 0.55 0.00 0.55 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 450.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 450.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 450.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 450.00 0.45 0.00 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 90.0 0.45 9	10/12/2018 0	4000415640	MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	11.82	0.12	0.00	0.12	90.0	0.11
10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/22/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.90 10/15/2018 04000484729 BERNARD, SHANE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/15/2018 04000484764 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.45 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0	10/19/2018 0	4000415640	MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	9.48	0.09	0.00	0.09	90.0	0.08
10/26/2018 04000415640 MORRIS, ASPEN MF THE GROWTH FUND OF AMERICA-C B 9.23 0.09 0.00 0.09 90.0 0.08 10/22/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.90 10/15/2018 04000484729 BERNARD, SHANE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/15/2018 04000484764 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.45 90.0 0.41	10/19/2018 0	4000415640	MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	9.48	0.09	0.00	0.09	90.0	0.08
10/22/2018 04000444415 CRAWFORD, ZACHARY MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 90.0 0.90 10/15/2018 04000484729 BERNARD, SHANE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/15/2018 04000484764 BERNARD, TERENCE MF GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.55 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 444.63 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 444.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/26/2018 0	4000415640	MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	9.23	0.09	0.00	0.09	90.0	0.08
10/15/2018 04000484729 BERNARD, SHANE       MF       GROWTH PORTFOLIO-C       B       250.00       2.50       0.00       2.50       90.0       2.25         10/15/2018 04000484764 BERNARD, TERENCE       MF       GROWTH PORTFOLIO-C       B       250.00       2.50       0.00       2.50       90.0       2.25         10/18/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       44.63       0.45       0.00       0.45       90.0       0.41         10/19/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       13.67       0.14       0.00       0.14       90.0       0.13         10/19/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       13.67       0.14       0.00       0.14       90.0       0.13         10/19/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       13.67       0.14       0.00       0.14       90.0       0.13         10/19/2018 040006643527 SORIA, CHRISTY       MF       AMERICAN BALANCED FUND-C       B       36.94       0.37       0.00       0.37       90.0       0.33         10/15/2018 04000643527 SORIA, CHRISTY       MF       AMERICAN BALANCED FUND-C       B       12.31       0.12       0	10/26/2018 0	4000415640	MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	9.23	0.09	0.00	0.09	90.0	0.08
10/15/2018 04000484764 BERNARD, TERENCE         MF         GROWTH PORTFOLIO-C         B         250.00         2.50         0.00         2.50         90.0         2.25           10/18/2018 04000566805 PORRASDANA         MF         NEW PERSPECTIVE FUND-C         B         44.63         0.45         0.00         0.45         90.0         0.41           10/18/2018 04000566805 PORRASDANA         MF         NEW PERSPECTIVE FUND-C         B         44.63         0.45         0.00         0.45         90.0         0.41           10/19/2018 04000566805 PORRASDANA         MF         NEW PERSPECTIVE FUND-C         B         13.67         0.14         0.00         0.14         90.0         0.13           10/19/2018 04000566805 PORRASDANA         MF         NEW PERSPECTIVE FUND-C         B         13.67         0.14         0.00         0.14         90.0         0.13           10/19/2018 04000566805 PORRASDANA         MF         NEW PERSPECTIVE FUND-C         B         13.67         0.14         0.00         0.14         90.0         0.13           10/15/2018 04000643527 SORIA, CHRISTY         MF         AMERICAN BALANCED FUND-C         B         12.31         0.12         0.00         0.12         90.0         0.11           10/18/2018 04000785441 PALACIOS, FREDDY<	10/22/2018 0	4000444415	CRAWFORD, ZACHAR	RY MF	THE GROWTH FUND OF AMERICA-C	В	100.00	1.00	0.00	1.00	90.0	0.90
10/18/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       44.63       0.45       0.00       0.45       90.0       0.41         10/18/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       44.63       0.45       0.00       0.45       90.0       0.41         10/19/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       13.67       0.14       0.00       0.14       90.0       0.13         10/19/2018 04000566805 PORRASDANA       MF       NEW PERSPECTIVE FUND-C       B       13.67       0.14       0.00       0.14       90.0       0.13         10/15/2018 04000643527 SORIA, CHRISTY       MF       AMERICAN BALANCED FUND-C       B       36.94       0.37       0.00       0.37       90.0       0.33         10/15/2018 04000643527 SORIA, CHRISTY       MF       AMERICAN BALANCED FUND-C       B       12.31       0.12       0.00       0.12       90.0       0.11         10/22/2018 04000652364 CROSS, SETH       MF       GLOBAL GROWTH PORTFOLIO-C       B       250.00       0.45       0.00       0.45       90.0       0.41         10/18/2018 04000785441 PALACIOS, FREDDY       MF       AMERICAN BALANCED FUND-C       B       45.00       0.45	10/15/2018 0	4000484729	BERNARD, SHANE	MF	GROWTH PORTFOLIO-C	В	250.00	2.50	0.00	2.50	90.0	2.25
10/18/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 44.63 0.45 0.00 0.45 90.0 0.41 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.37 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/15/2018 0	4000484764	BERNARD, TERENCE	MF	GROWTH PORTFOLIO-C	В	250.00	2.50	0.00	2.50	90.0	2.25
10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/18/2018 0	4000566805	PORRASDANA	MF	NEW PERSPECTIVE FUND-C	В	44.63	0.45	0.00	0.45	90.0	0.41
10/19/2018 04000566805 PORRASDANA MF NEW PERSPECTIVE FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/18/2018 0	4000566805	PORRASDANA	MF	NEW PERSPECTIVE FUND-C	В	44.63	0.45	0.00	0.45	90.0	0.41
10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 36.94 0.37 0.00 0.37 90.0 0.33 10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/19/2018 0	4000566805	PORRASDANA	MF	NEW PERSPECTIVE FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/15/2018 04000643527 SORIA, CHRISTY MF AMERICAN BALANCED FUND-C B 12.31 0.12 0.00 0.12 90.0 0.11 10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/19/2018 0	4000566805	PORRASDANA	MF	NEW PERSPECTIVE FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/22/2018 04000652364 CROSS, SETH MF GLOBAL GROWTH PORTFOLIO-C B 250.00 2.50 0.00 2.50 90.0 2.25 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/15/2018 0	4000643527	SORIA, CHRISTY	MF	AMERICAN BALANCED FUND-C	В	36.94	0.37	0.00	0.37	90.0	0.33
10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41 10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/15/2018 0	4000643527	SORIA, CHRISTY	MF	AMERICAN BALANCED FUND-C	В	12.31	0.12	0.00	0.12	90.0	0.11
10/18/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 45.00 0.45 0.00 0.45 90.0 0.41	10/22/2018 0	4000652364	CROSS, SETH	MF	GLOBAL GROWTH PORTFOLIO-C	В	250.00	2.50	0.00	2.50	90.0	2.25
	10/18/2018 0	4000785441	PALACIOS, FREDDY	MF	AMERICAN BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
10/19/2018 04000785441 PALACIOS, FREDDY MF AMERICAN BALANCED FUND-C B 13.67 0.14 0.00 0.14 90.0 0.13	10/18/2018 0	4000785441	PALACIOS, FREDDY	MF	AMERICAN BALANCED FUND-C	В	45.00	0.45	0.00	0.45	90.0	0.41
	10/19/2018 0	4000785441	PALACIOS, FREDDY	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13

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TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 04000785441 PALACIOS, FREDDY	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/18/2018 04000785459 MALONEY,	MF	AMERICAN BALANCED FUND-C	В	56.25	0.56	0.00	0.56	90.0	0.50
10/18/2018 04000785459 MALONEY,	MF	AMERICAN BALANCED FUND-C	В	131.25	1.31	0.00	1.31	90.0	1.18
10/19/2018 04000785459 MALONEY,	MF	AMERICAN BALANCED FUND-C	В	13.67	0.14	0.00	0.14	90.0	0.13
10/19/2018 04000785459 MALONEY,	MF	AMERICAN BALANCED FUND-C	В	31.90	0.32	0.00	0.32	90.0	0.29
10/18/2018 04000815153 HARDEGREE, KEVIN	MF	THE GROWTH FUND OF AMERICA-A	В	88.50	0.89	0.00	0.89	90.0	0.80
10/18/2018 04000815153 HARDEGREE, KEVIN	MF	THE GROWTH FUND OF AMERICA-A	В	88.50	0.89	0.00	0.89	90.0	0.80
10/18/2018 04000815226 RUTLEDGE, AMBER	MF	AMERICAN BALANCED FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
10/18/2018 04000815226 RUTLEDGE, AMBER	MF	AMERICAN BALANCED FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 04000816613 FRANKLIN, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	В	300.00	3.00	0.00	3.00	90.0	2.70
10/18/2018 04000816613 FRANKLIN, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	В	37.00	0.37	0.00	0.37	90.0	0.33
10/18/2018 04001007344 GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 04001007344 GABERT, BEAU	MF	INVESTMENT COMPANY OF AMERICA	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 04001007344 GABERT, BEAU	MF	NEW PERSPECTIVE FUND-C	В	25.00	0.25	0.00	0.25	90.0	0.23
10/18/2018 04001007344 GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-C	В	25.00	0.25	0.00	0.25	90.0	0.23
10/15/2018 04001007748 ARTERBURN,	MF	AMERICAN BALANCED FUND-C	В	990.52	9.91	0.00	9.91	90.0	8.92
10/22/2018 04001111013 ROHRBACH, ANNA	MF	THE GROWTH FUND OF AMERICA-C	В	2,000.00	20.00	0.00	20.00	90.0	18.00
10/15/2018 04001187578 WYLIE, LINCOLN	MF	THE GROWTH FUND OF AMERICA-529	C B	100.00	1.00	0.00	1.00	90.0	0.90
		* MUTUAL FUNDS SUBTOTAL	L *	\$37,863.12	\$415.68	\$0.00	\$415.68		\$374.76
PRODUCT CATEGORY: VARIABLE ANNUITIE	s								
10/15/2018 (None) ATER, APRIL	VA	VOYA LIFE	В	166.66	1.25	0.00	1.25	90.0	1.13
		* VARIABLE ANNUITIES SUBTOTAL	ւ *	\$166.66	\$1.25	\$0.00	\$1.25		\$1.13
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRA	ILS							
09/30/2018 (None) VARIOUS, BOYD D	MF	 Γ AIG	В	0.00	4.33	0.00	4.33	90.0	3.90
	*	MUTUAL FUND 12B1 TRAILS SUBTOTAL	ւ *	\$0.00	\$4.33	\$0.00	\$4.33		\$3.90
PRODUCT CATEGORY: VARIABLE ANNUITY	12B	1 TRAILS							
10/14/2018 (None) PINKSTON, JOHN	VA	Г AMERICAN GENERAL	В	0.00	38.07	0.00	38.07	90.0	34.26
10/15/2018 VR07456714 STUBBLEFIELD, JUDY	VA	r PACIFIC LIFE CUSIP 694474701	В	0.00	48.50	0.00	48.50	90.0	43.65
10/16/2018 VR08464388 LYMAN STUBBLEFIELD	VA	r PACIFIC LIFE CUSIP 694474701	В	0.00	127.37	0.00	127.37	90.0	114.63
* V	RIA	BLE ANNUITY 12B1 TRAILS SUBTOTAL	ւ *	\$0.00	\$213.94	\$0.00	\$213.94		\$192.54
		*** DIRECT TRANSACTIONS TOTAL	***	\$38,029.78	\$635.20	\$0.00	\$635.20		\$572.33

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
									_
		ADJUSTMENTS	TRA	NSACTIONS					
BROKER:	#0								
10/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018		: BROKER WORKSTATION-SHEILA		0.00	0.00	0.00	0.00		-15.00
10/31/2018		: CUSTOMER WRITE OFF 585316591		0.00	0.00	0.00	0.00		-2.45
10/31/2018		: CUSTOMER WRITE OFF 708093128		0.00	0.00	0.00	0.00		-4.90
10/31/2018		: CUSTOMER WRITE OFF 708080168		0.00	0.00	0.00	0.00		-4.90
10/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018		: E-MAIL ACCOUNT-SHEILA TUCKER		0.00	0.00	0.00	0.00		-12.50
10/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		400.90
		* SUBTOTAL	*	\$0.00	\$0.00	\$0.00	\$0.00		\$306.15
		*** ADJUSTMENTS TRANSACTIONS TOTAL *	**	\$0.00	\$0.00	\$0.00	\$0.00		\$306.15

### BROKER COMMISSION TOTALS