



# COMMISSION STATEMENT

## December 10, 2018

EDWARD FATLA  
10301 West Lincoln Highway  
Frankfort, IL 60423

BROKER #: 131  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/03/2018	***-**-275P - SLUSI, VICTORIA	AMCAP FUND-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654215	BUY 6 Units @34.0000						
12/03/2018	***-**-275P - SLUSI, VICTORIA	AMCAP FUND-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654215	BUY 1 Units @34.0000						
12/03/2018	***-**-590I - SLUSI, PAUL	AMCAP FUND-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654256	BUY 6 Units @34.0000						
12/03/2018	***-**-590I - SLUSI, PAUL	AMCAP FUND-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654256	BUY 1 Units @34.0000						
12/03/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN BALANCED FUND-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654215	BUY 7 Units @28.2300						
12/03/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN BALANCED FUND-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654215	BUY 1 Units @28.2300						
12/03/2018	***-**-92SI - SPARKS, DAVID	AMERICAN BALANCED FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @28.2300						
12/03/2018	***-**-92SI - SPARKS, DAVID	AMERICAN BALANCED FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @28.2300						
12/03/2018	***-**-590I - SLUSI, PAUL	AMERICAN BALANCED FUND-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654256	BUY 7 Units @28.2300						
12/03/2018	***-**-590I - SLUSI, PAUL	AMERICAN BALANCED FUND-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654256	BUY 1 Units @28.2300						
12/03/2018	***-**-590I - SLUSI, PAUL	AMERICAN FUNDS 2020 TARGET DATE-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654256	BUY 16 Units @12.9200						
12/03/2018	***-**-590I - SLUSI, PAUL	AMERICAN FUNDS 2020 TARGET DATE-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654256	BUY 2 Units @12.9200						
12/03/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654215	BUY 15 Units @13.8500						
12/03/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654215	BUY 2 Units @13.8500						
12/03/2018	***-**-8658 - WADE, CHAD	AMERICAN FUNDS 2035 TARGET DATE-A	60.85	1.22	0.00	1.22	50.0	0.61
	ACCOUNT #: 00089799621	BUY 4 Units @15.3600						
12/03/2018	***-**-8658 - WADE, CHAD	AMERICAN FUNDS 2035 TARGET DATE-A	60.85	1.22	0.00	1.22	50.0	0.61
	ACCOUNT #: 00089799621	BUY 4 Units @15.3600						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/03/2018	***-**-5873 - VANDERMULL, ACCOUNT #: 04000722155	AMERICAN FUNDS 2050 TARGET DATE-A BUY 1 Units @15.6800	19.68	0.39	0.00	0.39	50.0	0.20
12/03/2018	***-**-5873 - VANDERMULL, ACCOUNT #: 04000722155	AMERICAN FUNDS 2050 TARGET DATE-A BUY 1 Units @15.6800	19.68	0.39	0.00	0.39	50.0	0.20
12/03/2018	***-**-92SI - SPARKS, DAVID ACCOUNT #: 00087654239	EUROPACIFIC GROWTH FUND-A BUY 0 Units @50.9500	6.31	0.13	0.00	0.13	50.0	0.07
12/03/2018	***-**-92SI - SPARKS, DAVID ACCOUNT #: 00087654239	EUROPACIFIC GROWTH FUND-A BUY 0 Units @50.9500	6.31	0.13	0.00	0.13	50.0	0.07
12/03/2018	***-**-92SI - SPARKS, DAVID ACCOUNT #: 00087654239	SMALLCAP WORLD FUND-A BUY 0 Units @56.7700	6.31	0.13	0.00	0.13	50.0	0.07
12/03/2018	***-**-92SI - SPARKS, DAVID ACCOUNT #: 00087654239	SMALLCAP WORLD FUND-A BUY 0 Units @56.7700	6.31	0.13	0.00	0.13	50.0	0.07
12/03/2018	***-**-5873 - VANDERMULL, ACCOUNT #: 04000722155	THE GROWTH FUND OF AMERICA-A BUY 0 Units @54.2700	19.67	0.39	0.00	0.39	50.0	0.20
12/03/2018	***-**-5873 - VANDERMULL, ACCOUNT #: 04000722155	THE GROWTH FUND OF AMERICA-A BUY 0 Units @54.2700	19.67	0.39	0.00	0.39	50.0	0.20
12/03/2018	***-**-92SI - SPARKS, DAVID ACCOUNT #: 00087654239	THE GROWTH FUND OF AMERICA-A BUY 0 Units @54.2700	12.63	0.25	0.00	0.25	50.0	0.13
12/03/2018	***-**-92SI - SPARKS, DAVID ACCOUNT #: 00087654239	THE GROWTH FUND OF AMERICA-A BUY 0 Units @54.2700	12.63	0.25	0.00	0.25	50.0	0.13
12/03/2018	***-**-275P - SLUSI, VICTORIA ACCOUNT #: 00087654215	THE INCOME FUND OF AMERICA-A BUY 9 Units @23.4200	205.00	4.10	0.00	4.10	50.0	2.05
12/03/2018	***-**-275P - SLUSI, VICTORIA ACCOUNT #: 00087654215	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.4200	27.00	0.54	0.00	0.54	50.0	0.27
12/03/2018	***-**-590I - SLUSI, PAUL ACCOUNT #: 00087654256	THE INCOME FUND OF AMERICA-A BUY 9 Units @23.4200	205.00	4.10	0.00	4.10	50.0	2.05
12/03/2018	***-**-590I - SLUSI, PAUL ACCOUNT #: 00087654256	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.4200	27.00	0.54	0.00	0.54	50.0	0.27
12/03/2018	***-**-275P - SLUSI, VICTORIA ACCOUNT #: 00087654215	THE INVESTMENT COMPANY OF AMERICA-A BUY 5 Units @41.2600	205.00	4.10	0.00	4.10	50.0	2.05
12/03/2018	***-**-275P - SLUSI, VICTORIA ACCOUNT #: 00087654215	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.2600	27.00	0.54	0.00	0.54	50.0	0.27



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/03/2018	***-**-590I - SLUSI, PAUL	THE INVESTMENT COMPANY OF AMERICA-A	205.00	4.10	0.00	4.10	50.0	2.05
	ACCOUNT #: 00087654256	BUY 5 Units @41.2600						
12/03/2018	***-**-590I - SLUSI, PAUL	THE INVESTMENT COMPANY OF AMERICA-A	27.00	0.54	0.00	0.54	50.0	0.27
	ACCOUNT #: 00087654256	BUY 1 Units @41.2600						
12/03/2018	***-**-92SI - SPARKS, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @47.3900						
12/03/2018	***-**-92SI - SPARKS, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @47.3900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,621.42</b>	<b>\$52.42</b>	<b>\$0.00</b>	<b>\$52.42</b>		<b>\$26.28</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
12/10/2018	***-**-7353 - PASSERO, MICHAEL	529 COMM	100.00	3.00	0.00	3.00	50.0	1.50
		BUY 0 Units @0.0000						
12/10/2018	***-**-7353 - PASSERO, MICHAEL	529 COMM	100.00	3.00	0.00	3.00	50.0	1.50
		BUY 0 Units @0.0000						
12/10/2018	***-**-83CL - FATLA, CLIENTS	529 COMM	5,000.00	150.00	0.00	150.00	50.0	75.00
		BUY 0 Units @0.0000						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$5,200.00</b>	<b>\$156.00</b>	<b>\$0.00</b>	<b>\$156.00</b>		<b>\$78.00</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/10/2018	***-**-556H - CO, PFPC TRUST	VIRTUS MF TRAILS	0.00	2.66	0.00	2.66	50.0	1.33
		BUY 0 Units @0.0000						
12/10/2018	***-**-875I - DISANTO, ROSINA	VIRTUS MF TRAILS	0.00	4.15	0.00	4.15	50.0	2.08
		BUY 0 Units @0.0000						
12/10/2018	***-**-115R - CO, PFPC TRUST	VIRTUS MF TRAILS	0.00	0.70	0.00	0.70	50.0	0.35
		BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.51</b>	<b>\$0.00</b>	<b>\$7.51</b>		<b>\$3.76</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$7,821.42</b>	<b>\$215.93</b>	<b>\$0.00</b>	<b>\$215.93</b>		<b>\$108.04</b>



**BROKER #:** 131  
**BRANCH #**01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$108.04
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$77.36
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$185.40
						Year-to-date Earnings		\$5,154.30