



COMMISSION STATEMENT

October 22, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2018	***-**-29IO - ANJAR, MARY	COLUMBIA DISCIPLINED CORE A	50,000.00	1,875.00	18.00	1,857.00	85.0	1,578.45
	ACCOUNT #: LSR012868	BUY 3734 Units @13.3900						
10/15/2018	***-**-29IO - ANJAR, MARY	RESOURCE CREDIT INCOME FUND A	25,000.00	1,375.00	18.00	1,357.00	85.0	1,153.45
	ACCOUNT #: LSR012868	BUY 2140 Units @11.6800						
* MUTUAL FUNDS SUBTOTAL *			\$75,000.00	\$3,250.00	\$36.00	\$3,214.00		\$2,731.90
<u>PRODUCT CATEGORY: STOCKS</u>								
10/15/2018	***-**-2660 - COILE, TIM	HANCOCK JOHN PFD INCOME FD II COM	11,104.67	125.00	25.96	99.04	85.0	84.18
	ACCOUNT #: LSR012461	SELL 548 Units @20.2640						
10/15/2018	***-**-03TR - NEAL TRUST,	NORTH AMERICAN CONSTRUCTION GRP LTD	11,205.00	150.00	35.00	115.00	85.0	97.75
	ACCOUNT #: LSR012505	BUY 1000 Units @11.2050						
10/15/2018	***-**-825J - BARR, JANET	NORTH AMERICAN CONSTRUCTION GRP LTD	11,209.00	150.00	35.00	115.00	85.0	97.75
	ACCOUNT #: LSR012627	BUY 1000 Units @11.2090						
10/15/2018	***-**-324I - MCGHEE, TERRY	NORTH AMERICAN CONSTRUCTION GRP LTD	11,208.00	150.00	35.00	115.00	85.0	97.75
	ACCOUNT #: LSR012649	BUY 1000 Units @11.2080						
10/17/2018	***-**-29IO - ANJAR, MARY	SECTOR SPDR TR SHS BEN INT FINANCIA	13,965.00	200.00	25.50	174.50	85.0	148.33
	ACCOUNT #: LSR012868	BUY 525 Units @26.6000						
10/17/2018	***-**-29IO - ANJAR, MARY	SECTOR SPDR TR SHS BEN INT TECHNOLO	14,210.40	200.00	19.00	181.00	85.0	153.85
	ACCOUNT #: LSR012868	BUY 200 Units @71.0520						
10/17/2018	***-**-29IO - ANJAR, MARY	SELECT SECTOR SPDR FD HEALTH CARE	4,630.50	66.67	16.00	50.67	85.0	43.07
	ACCOUNT #: LSR012868	BUY 50 Units @92.6100						
10/17/2018	***-**-29IO - ANJAR, MARY	SELECT SECTOR SPDR FD HEALTH CARE	9,261.00	133.33	2.00	131.33	85.0	111.63
	ACCOUNT #: LSR012868	BUY 100 Units @92.6100						
10/17/2018	***-**-29IO - ANJAR, MARY	SELECT SECTOR SPDR TR CONSUMER DISC	14,146.86	200.00	17.60	182.40	85.0	155.04
	ACCOUNT #: LSR012868	BUY 130 Units @108.8220						
10/17/2018	***-**-29IO - ANJAR, MARY	TRIPLEPOINT VENTURE GROWTH BDC COM	15,572.40	250.00	39.00	211.00	85.0	179.35
	ACCOUNT #: LSR012868	BUY 1200 Units @12.9770						
* STOCKS SUBTOTAL *			\$116,512.83	\$1,625.00	\$250.06	\$1,374.94		\$1,168.70



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,892.40	27.78	24.00	3.78	85.0	3.21
	ACCOUNT #: LSR012868	BUY 100 Units @18.9240						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	4,563.67	65.83	0.00	65.83	85.0	55.96
	ACCOUNT #: LSR012868	BUY 237 Units @19.2560						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,888.00	27.78	0.00	27.78	85.0	23.61
	ACCOUNT #: LSR012868	BUY 100 Units @18.8800						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,893.90	27.78	0.00	27.78	85.0	23.61
	ACCOUNT #: LSR012868	BUY 100 Units @18.9390						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,893.90	27.78	0.00	27.78	85.0	23.61
	ACCOUNT #: LSR012868	BUY 100 Units @18.9390						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,193.22	17.50	0.00	17.50	85.0	14.88
	ACCOUNT #: LSR012868	BUY 63 Units @18.9400						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,893.90	27.78	0.00	27.78	85.0	23.61
	ACCOUNT #: LSR012868	BUY 100 Units @18.9390						
10/17/2018	***--29IO - ANJAR, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,893.90	27.78	0.00	27.78	85.0	23.61
	ACCOUNT #: LSR012868	BUY 100 Units @18.9390						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$17,112.89	\$250.01	\$24.00	\$226.01		\$192.10
*** BROKER TRANSACTIONS TOTAL ***			\$208,625.72	\$5,125.01	\$310.06	\$4,814.95		\$4,092.70



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$4,092.70
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,092.70
						Year-to-date Earnings		\$159,186.81