



# COMMISSION STATEMENT

## October 08, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u></b>								
09/14/2018	***-**-4848 - DOGETT, AMY	GWG L BOND - 7 YR BUY 40 Units @1000.0000	40,000.00	2,400.00	0.00	2,400.00	75.0	1,800.00
		<b>* ALTERNATIVE INVESTMENTS SUBTOTAL *</b>	<b>\$40,000.00</b>	<b>\$2,400.00</b>	<b>\$0.00</b>	<b>\$2,400.00</b>		<b>\$1,800.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/01/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	AMCAP FUND-A BUY 1 Units @37.4500	50.00	2.50	0.00	2.50	75.0	1.88
10/01/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN BALANCED FUND-A BUY 2 Units @28.7200	50.00	1.00	0.00	1.00	75.0	0.75
10/02/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN BALANCED FUND-A BUY 2 Units @29.7100	50.00	2.50	0.00	2.50	75.0	1.88
10/02/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @28.7200	125.00	2.50	0.00	2.50	75.0	1.88
10/02/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @29.0200	90.75	2.50	0.00	2.50	75.0	1.88
10/02/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	AMERICAN BALANCED FUND-A BUY 14 Units @29.0200	400.00	11.00	0.00	11.00	75.0	8.25
10/02/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @29.7100	60.00	3.00	0.00	3.00	75.0	2.25
10/04/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 1 Units @29.2700	37.50	1.59	0.00	1.59	75.0	1.19
10/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN BALANCED FUND-A BUY 5 Units @29.0200	135.00	5.06	0.00	5.06	75.0	3.80
10/01/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5200	50.00	1.00	0.00	1.00	75.0	0.75
10/02/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6700	50.00	1.50	0.00	1.50	75.0	1.13
10/02/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5300	100.00	2.00	0.00	2.00	75.0	1.50
10/02/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.6400	165.00	4.54	0.00	4.54	75.0	3.41
10/02/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.6400	38.25	1.05	0.00	1.05	75.0	0.79



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
10/04/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 2 Units @10.6000						
10/04/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 00085993216	BUY 5 Units @10.6300						
10/05/2018	***-**-0911 - SPAZIANI,	AMERICAN HIGH-INCOME TRUST-A	80.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: 00082570536	BUY 8 Units @10.6100						
10/01/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00085099445	BUY 1 Units @44.1800						
10/01/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @64.0700						
10/02/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	400.00	11.00	0.00	11.00	75.0	8.25
	ACCOUNT #: 00087316793	BUY 6 Units @62.5700						
10/02/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 00085154620	BUY 2 Units @52.3100						
10/02/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 00081620466	BUY 3 Units @52.8500						
10/02/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	47.25	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00085839015	BUY 1 Units @52.8500						
10/04/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @52.2500						
10/04/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @53.0700						
10/02/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00085839015	BUY 1 Units @54.4900						
10/05/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 2 Units @67.6100						
10/04/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 00085993216	BUY 0 Units @61.4400						
10/02/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 7 Units @12.9200						
10/01/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 00085099445	BUY 1 Units @58.2700						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
10/02/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @58.0300	175.00	3.50	0.00	3.50	75.0	2.63
10/02/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 3 Units @58.6300	170.00	4.68	0.00	4.68	75.0	3.51
10/02/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE GROWTH FUND OF AMERICA-A BUY 2 Units @58.6300	93.50	2.57	0.00	2.57	75.0	1.93
10/02/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.6300	54.00	1.49	0.00	1.49	75.0	1.12
10/04/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @57.8500	25.00	0.69	0.00	0.69	75.0	0.52
10/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.9900	55.00	2.06	0.00	2.06	75.0	1.55
10/01/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.3400	50.00	1.88	0.00	1.88	75.0	1.41
10/02/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.0900	38.25	1.05	0.00	1.05	75.0	0.79
10/02/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.6700	60.00	3.00	0.00	3.00	75.0	2.25
10/04/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.0100	25.00	0.69	0.00	0.69	75.0	0.52
10/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE INCOME FUND OF AMERICA-A BUY 6 Units @24.1700	135.00	5.06	0.00	5.06	75.0	3.80
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,660.00</b>	<b>\$108.52</b>	<b>\$0.00</b>	<b>\$108.52</b>		<b>\$81.49</b>
<b>PRODUCT CATEGORY: RIA</b>								
10/05/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	59.67	59.67	0.00	59.67	75.0	44.75
10/05/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	124.93	124.93	0.00	124.93	75.0	93.70
10/05/2018	***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	129.47	129.47	0.00	129.47	75.0	97.10
<b>* RIA SUBTOTAL *</b>			<b>\$314.07</b>	<b>\$314.07</b>	<b>\$0.00</b>	<b>\$314.07</b>		<b>\$235.55</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
09/30/2018	***-**-5453 - O'HARA, DARLENE	CAPITAL APPRECIATION A	0.00	3.98	0.00	3.98	75.0	2.99
	ACCOUNT #: 03209265036	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	0.00	11.16	0.00	11.16	75.0	8.37
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
09/30/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	0.00	7.25	0.00	7.25	75.0	5.44
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	0.00	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	CASH RESERVES A	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: 07603703689	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	CASH RESERVES A	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: 07603703755	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	EQUITY INCOME FUND, INC. A	0.00	5.85	0.00	5.85	75.0	4.39
	ACCOUNT #: 02401404062	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	EQUITY INCOME FUND, INC. A	0.00	2.94	0.00	2.94	75.0	2.21
	ACCOUNT #: 08351548041	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	0.00	4.73	0.00	4.73	75.0	3.55
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
09/30/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	0.00	7.67	0.00	7.67	75.0	5.75
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	INTERNATIONAL DIVERSIFIED FUND N	0.00	1.24	0.00	1.24	75.0	0.93
	ACCOUNT #: 01980118393	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	INTERNATIONAL DIVERSIFIED FUND N	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 01980118393	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	MAIN STREET FUND A	0.00	3.15	0.00	3.15	75.0	2.36
	ACCOUNT #: 04206247879	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	0.00	42.02	0.00	42.02	75.0	31.52
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	0.00	21.18	0.00	21.18	75.0	15.89
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER CORE BOND FUND A	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 02851702085	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER CORE BOND FUND A	0.00	1.42	0.00	1.42	75.0	1.07
	ACCOUNT #: 02851702096	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER CORE BOND FUND A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: 02852063622	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	6.89	0.00	6.89	75.0	5.17
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 07859845440	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER DISCOVERY CLASS A	0.00	8.27	0.00	8.27	75.0	6.20
	ACCOUNT #: 07453729187	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.78	0.00	3.78	75.0	2.84
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	1.41	0.00	1.41	75.0	1.06
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	2.86	0.00	2.86	75.0	2.15
	ACCOUNT #: 01951897060	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: 07315104495	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	9.16	0.00	9.16	75.0	6.87
	ACCOUNT #: 08475741681	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	8.08	0.00	8.08	75.0	6.06
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	6.04	0.00	6.04	75.0	4.53
	ACCOUNT #: 08476711969	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	7.82	0.00	7.82	75.0	5.87
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	4.97	0.00	4.97	75.0	3.73
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 02305070475	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/30/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	6.94	0.00	6.94	75.0	5.21
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	10.63	0.00	10.63	75.0	7.97
	ACCOUNT #: 05480060203	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	1.21	0.00	1.21	75.0	0.91
	ACCOUNT #: 05480060203	BUY 0 Units @0.0000						
09/30/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	0.00	6.49	0.00	6.49	75.0	4.87
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
09/30/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	0.00	8.11	0.00	8.11	75.0	6.08
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
09/30/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	0.00	3.90	0.00	3.90	75.0	2.93
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
09/30/2018	***-**-24IR - BERTRAND, JOHN	RISING DIVIDENDS FUND A	0.00	0.39	0.00	0.39	75.0	0.29
	ACCOUNT #: 02254870800	BUY 0 Units @0.0000						
09/30/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
09/30/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	0.00	1.65	0.00	1.65	75.0	1.24
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
09/30/2018	***-**-5453 - O'HARA, DARLENE	VALUE FUND A	0.00	6.40	0.00	6.40	75.0	4.80
	ACCOUNT #: 03751903044	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$227.74</b>	<b>\$0.00</b>	<b>\$227.74</b>		<b>\$170.88</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
09/30/2018	***-**-59VA - SEYMOUR, DEBORAH	CHOICEPLUS ASSURANCE BONUS	0.00	16.86	0.00	16.86	75.0	12.65
	ACCOUNT #: 921058830	BUY 0 Units @0.0000						
09/30/2018	***-**-57VA - NELSON, IRENE	CHOICEPLUS ASSURANCE BONUS	0.00	27.69	0.00	27.69	75.0	20.77
	ACCOUNT #: 921059039	BUY 0 Units @0.0000						
09/30/2018	***-**-9692 - KELLER, KENNETH	CHOICEPLUS ASSURANCE BONUS	0.00	91.61	0.00	91.61	75.0	68.71
	ACCOUNT #: 921075402	BUY 0 Units @0.0000						
09/30/2018	***-**-7431 - PATANE, JOANNE	LINCOLN FIN VA 533787727	0.00	70.56	0.00	70.56	75.0	52.92
	ACCOUNT #: 951069509	BUY 0 Units @0.0000						
09/30/2018	***-**-61VA - BUDINE, JESSE	LINCOLN FIN VA 53404B676	0.00	112.81	0.00	112.81	75.0	84.61
	ACCOUNT #: 924689841	BUY 0 Units @0.0000						



BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>ADJUSTMENTS (continued)</div>								
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$319.53	\$0.00	\$319.53		\$239.66
		*** BROKER TRANSACTIONS TOTAL ***	\$43,974.07	\$3,369.86	\$0.00	\$3,369.86		\$2,527.58
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,527.58
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$67.21
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,594.79
						Year-to-date Earnings		\$47,600.34