



COMMISSION STATEMENT

August 27, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	COHEN AND STEERS INTL REALTY INC CL SELL 2534 Units @11.8400	30,000.00	0.00	18.00	-18.00	100.0	-18.00
08/20/2018	***-**-82IN - WINTERS, KEVIN ACCOUNT #: LSR012586	FRK HIGH INC FND - CL A SELL 3243 Units @1.8500	6,000.00	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$36,000.00	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>PRODUCT CATEGORY: STOCKS</u>								
08/21/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	ARCHER DANIELS MIDLAND BUY 200 Units @50.6400	10,128.00	200.00	19.00	181.00	85.0	153.85
08/21/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	ARCHER DANIELS MIDLAND BUY 200 Units @50.6500	10,130.00	200.00	19.00	181.00	85.0	153.85
08/21/2018	***-**-617I - DVIZAC, LORI ACCOUNT #: LSR012473	ARCHER DANIELS MIDLAND BUY 200 Units @50.6600	10,132.00	200.00	19.00	181.00	85.0	153.85
08/21/2018	***-**-858I - HAMM, RONALD ACCOUNT #: LSR012515	ARCHER DANIELS MIDLAND BUY 100 Units @50.6000	5,060.00	100.00	17.00	83.00	85.0	70.55
08/21/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	ARCHER DANIELS MIDLAND BUY 100 Units @50.6500	5,065.00	100.00	17.00	83.00	85.0	70.55
08/21/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	ARCHER DANIELS MIDLAND BUY 100 Units @50.6600	5,066.00	100.00	2.00	98.00	85.0	83.30
08/21/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	ARCHER DANIELS MIDLAND BUY 50 Units @50.6060	2,530.30	50.00	16.00	34.00	85.0	28.90
08/21/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	ARCHER DANIELS MIDLAND BUY 100 Units @50.6250	5,062.50	100.00	17.00	83.00	85.0	70.55
08/21/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ARCHER DANIELS MIDLAND BUY 1 Units @50.6650	50.67	1.00	15.02	-14.02	100.0	-14.02
08/21/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ARCHER DANIELS MIDLAND BUY 100 Units @50.6650	5,066.50	100.00	2.00	98.00	85.0	83.30
08/21/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ARCHER DANIELS MIDLAND BUY 99 Units @50.6700	5,016.33	99.00	1.98	97.02	85.0	82.47
08/20/2018	***-**-617I - DVIZAC, LORI ACCOUNT #: LSR012473	ARCOS DORADOS HOLDINGS INC COM NPV SELL 500 Units @6.8740	3,437.00	78.18	25.00	53.18	85.0	45.20
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	ARCOS DORADOS HOLDINGS INC COM NPV SELL 100 Units @7.0250	702.50	11.67	17.00	-5.33	100.0	-5.33



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	ARCOS DORADOS HOLDINGS INC COM NPV SELL 2900 Units @7.0000	20,300.00	338.33	58.00	280.33	85.0	238.28
08/22/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	AT&T INC COM BUY 100 Units @32.7650	3,276.50	66.67	17.00	49.67	85.0	42.22
08/22/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	AT&T INC COM BUY 500 Units @32.7650	16,382.50	333.33	10.00	323.33	85.0	274.83
08/22/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CARMAX INC SOLICITED ORDER BUY 130 Units @75.6120	9,829.56	200.00	17.60	182.40	85.0	155.04
08/22/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CLEVELAND CLIFFS INC COM BUY 1000 Units @10.0100	10,010.00	200.00	35.00	165.00	85.0	140.25
08/22/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	CLEVELAND CLIFFS INC COM BUY 1000 Units @10.0200	10,020.00	200.00	35.00	165.00	85.0	140.25
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.0100	301.00	5.41	17.00	-11.59	100.0	-11.59
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 300 Units @3.0100	903.00	16.24	6.00	10.24	85.0	8.70
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.0100	301.00	5.41	2.00	3.41	85.0	2.90
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.0100	301.00	5.41	2.00	3.41	85.0	2.90
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 144 Units @3.0050	432.72	7.80	2.88	4.92	85.0	4.18
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 56 Units @3.0050	168.28	3.03	1.12	1.91	85.0	1.62
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.0100	301.00	5.41	2.00	3.41	85.0	2.90
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 318 Units @3.0000	954.00	17.22	6.36	10.86	85.0	9.23
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 100 Units @3.0050	300.50	5.41	2.00	3.41	85.0	2.90
08/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN SELL 3300 Units @3.0000	9,900.00	178.65	66.00	112.65	85.0	95.75



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/20/2018	***-**-617I - DVIZAC, LORI	VIRTU FINL INC CL A	5,510.00	91.87	20.00	71.87	85.0	61.09
	ACCOUNT #: LSR012473	SELL 250 Units @22.0400						
		* STOCKS SUBTOTAL *	\$156,637.86	\$3,020.04	\$486.96	\$2,533.08		\$2,148.47
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
08/22/2018	***-**-03TR - NEAL TRUST,	CONSOL COAL RES LP COM UNIT SOLICIT	7,810.00	176.00	24.00	152.00	85.0	129.20
	ACCOUNT #: LSR012505	BUY 440 Units @17.7500						
08/22/2018	***-**-03TR - NEAL TRUST,	CONSOL COAL RES LP COM UNIT SOLICIT	9,902.48	224.00	0.00	224.00	85.0	190.40
	ACCOUNT #: LSR012505	BUY 560 Units @17.6830						
08/22/2018	***-**-691I - HEINZ, MARK	CONSOL COAL RES LP COM UNIT SOLICIT	3,536.60	200.00	24.00	176.00	85.0	149.60
	ACCOUNT #: LSR012513	BUY 200 Units @17.6830						
08/22/2018	***-**-361J - COOK, ALAN	CONSOL COAL RES LP COM UNIT SOLICIT	9,272.55	200.00	24.00	176.00	85.0	149.60
	ACCOUNT #: LSR012544	BUY 525 Units @17.6620						
08/22/2018	***-**-55IR - WISE, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,775.00	40.00	24.00	16.00	85.0	13.60
	ACCOUNT #: LSR012558	BUY 100 Units @17.7500						
08/22/2018	***-**-55IR - WISE, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,788.10	40.00	0.00	40.00	85.0	34.00
	ACCOUNT #: LSR012558	BUY 100 Units @17.8810						
08/22/2018	***-**-55IR - WISE, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,775.00	40.00	0.00	40.00	85.0	34.00
	ACCOUNT #: LSR012558	BUY 100 Units @17.7500						
08/22/2018	***-**-55IR - WISE, MARY	CONSOL COAL RES LP COM UNIT SOLICIT	1,775.00	40.00	0.00	40.00	85.0	34.00
	ACCOUNT #: LSR012558	BUY 100 Units @17.7500						
08/22/2018	***-**-141I - ROHMAN, ARTHUR	CONSOL COAL RES LP COM UNIT SOLICIT	8,845.00	200.00	24.00	176.00	85.0	149.60
	ACCOUNT #: LSR012574	BUY 500 Units @17.6900						
08/22/2018	***-**-879X - WELCH, KELLY	CONSOL COAL RES LP COM UNIT SOLICIT	8,841.50	200.00	24.00	176.00	85.0	149.60
	ACCOUNT #: LSR012710	BUY 500 Units @17.6830						
08/22/2018	***-**-09IN - BROWN, CHARLES	CONSOL COAL RES LP COM UNIT SOLICIT	5,304.90	120.00	24.00	96.00	85.0	81.60
	ACCOUNT #: LSR012779	BUY 300 Units @17.6830						
08/22/2018	***-**-09IN - BROWN, CHARLES	CONSOL COAL RES LP COM UNIT SOLICIT	1,775.00	40.00	0.00	40.00	85.0	34.00
	ACCOUNT #: LSR012779	BUY 100 Units @17.7500						
08/22/2018	***-**-09IN - BROWN, CHARLES	CONSOL COAL RES LP COM UNIT SOLICIT	1,768.30	40.00	0.00	40.00	85.0	34.00
	ACCOUNT #: LSR012779	BUY 100 Units @17.6830						



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<div>ADJUSTMENTS (continued)</div>								
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$65,944.43	\$1,600.00	\$168.00	\$1,432.00		\$1,217.20
		*** BROKER TRANSACTIONS TOTAL ***	\$258,582.29	\$4,620.04	\$690.96	\$3,929.08		\$3,329.67
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$3,329.67
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,329.67
						Year-to-date Earnings		\$128,827.09