

COMMISSION STATEMENT

October 15, 2018

ERIC FOREMAN-D023

628 Tupper Drive

BROKER #: D023

BRANCH: DME

Gallatin, TN 37066

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/25/2018	00068637021	MCQUIDDY, LINDA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/25/2018	00068637022	MCQUIDDY, LESLIE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	00074185860	JOHNSON, JESSICA	MF	AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/01/2018	00074185860	JOHNSON, JESSICA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
09/25/2018	00074205491	WALKER, SIDNEY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
09/25/2018	00074205491	WALKER, SIDNEY	MF	THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	70.0	1.32
09/28/2018	00074518547	BUCHANAN, ERNEST	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	2.50	0.00	2.50	70.0	1.75
09/25/2018	00075947998	ROUSE, MIRIAM	MF	FUNDAMENTAL INVESTORS-A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/25/2018	00075947998	ROUSE, MIRIAM	MF	NEW WORLD FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
09/28/2018	00082091192	WEAVER, MARSHALL	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/25/2018	00082530761	SHAW, JOSHUA	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/25/2018	00085343084	JOHNSON, SKYE	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/25/2018	00085343084	JOHNSON, SKYE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
09/24/2018	00085757983	CALEB J GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
09/24/2018	00085757998	GRAHAM, CALVIN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
09/25/2018	00087031501	HIBBLER, ALANA	MF	CAPITAL WORLD GROWTH AND INCOME	B	83.33	3.54	0.00	3.54	70.0	2.48
09/25/2018	00087031501	HIBBLER, ALANA	MF	NEW WORLD FUND-A	B	83.33	3.54	0.00	3.54	70.0	2.48
09/25/2018	00087031501	HIBBLER, ALANA	MF	THE GROWTH FUND OF AMERICA-A	B	83.33	3.54	0.00	3.54	70.0	2.48
09/25/2018	00087031501	HIBBLER, ALANA	MF	THE INCOME FUND OF AMERICA-A	B	83.35	3.54	0.00	3.54	70.0	2.48
09/25/2018	00087031501	HIBBLER, ALANA	MF	THE INVESTMENT COMPANY OF	B	83.33	3.54	0.00	3.54	70.0	2.48
09/24/2018	00088711954	GRAHAM, CALVIN	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	70.0	1.49
10/02/2018	03900189311	ANTOINE D LANE	MF	FA 529 GROWTH OPPORTUNITIES	B	50.00	1.50	0.00	1.50	70.0	1.05
10/02/2018	03900189311	ANTOINE D LANE	MF	FA 529 NEW INSIGHTS PORT CL A	B	50.00	1.50	0.00	1.50	70.0	1.05
10/01/2018	04000984601	LEWIS, JADE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
10/01/2018	04000984601	LEWIS, JADE	MF	THE NEW ECONOMY FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
* MUTUAL FUNDS SUBTOTAL *						\$1,366.67	\$60.11	\$0.00	\$60.11		\$42.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/01/2018	00060505043	CRATE, ERNESTINE	MFT MFS	GLOBAL GROWTH FUND-A	B	0.00	1.76	0.00	1.76	70.0	1.23
10/01/2018	00060506848	CRATE, ERNESTINE	MFT MFS	MID CAP GROWTH FUND-A	B	0.00	1.20	0.00	1.20	70.0	0.84
10/01/2018	00060506956	CRATE, ERNESTINE	MFT MFS	MID CAP GROWTH FUND-A	B	0.00	3.76	0.00	3.76	70.0	2.63

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10/01/2018 00060507859	CRATE, ERNESTINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	1.36	0.00	1.36	70.0	0.95
10/01/2018 00060507868	CRATE, ERNESTINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	7.92	0.00	7.92	70.0	5.54
10/01/2018 00060508053	CRATE, ERNESTINE	MFT MFS TECHNOLOGY FUND-A	B	0.00	2.41	0.00	2.41	70.0	1.69
10/01/2018 00060508102	CRATE, ERNESTINE	MFT MFS TECHNOLOGY FUND-A	B	0.00	6.85	0.00	6.85	70.0	4.80
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	0.71	0.00	0.71	70.0	0.50
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV UTILITIES CL A	B	0.00	1.68	0.00	1.68	70.0	1.18
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	2.05	0.00	2.05	70.0	1.44
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.60	0.00	1.60	70.0	1.12
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV MID CAP VALUE CL A	B	0.00	0.61	0.00	0.61	70.0	0.43
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV JAPAN CL A	B	0.00	1.14	0.00	1.14	70.0	0.80
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	1.75	0.00	1.75	70.0	1.23
10/05/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	10.78	0.00	10.78	70.0	7.55
10/05/2018 00655762854	HIBBLER, AARIE	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	6.01	0.00	6.01	70.0	4.21
10/05/2018 00655914240	HIBBLER, ADRIANA	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.07	0.00	0.07	70.0	0.05
10/05/2018 00656193224	MATTHEWS UTMA-MS,	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.23	0.00	1.23	70.0	0.86
10/05/2018 00656193224	MATTHEWS UTMA-MS,	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.24	0.00	1.24	70.0	0.87
10/05/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.97	0.00	0.97	70.0	0.68
10/05/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.88	0.00	1.88	70.0	1.32
10/05/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADV JAPAN CL A	B	0.00	0.48	0.00	0.48	70.0	0.34
10/01/2018 00671919163	MORRIS, MATTIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	28.18	0.00	28.18	70.0	19.73
10/01/2018 00671925648	CRYSTAL R	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.88	0.00	0.88	70.0	0.62
10/01/2018 00671925649	PHILIP D WILLIAMS	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	33.96	0.00	33.96	70.0	23.77
10/01/2018 00671955622	COLEMAN, MICHAEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.43	0.00	0.43	70.0	0.30
10/01/2018 00672272920	TONYA D JOHNSON	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	1.17	0.00	1.17	70.0	0.82
10/05/2018 00700430697	HIBBLER UTMA-TN,	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.04	0.00	0.04	70.0	0.03
10/05/2018 00700430697	HIBBLER UTMA-TN,	MFT FIDELITY ADV JAPAN CL A	B	0.00	0.01	0.00	0.01	70.0	0.01
10/05/2018 00700455306	MATTHEWS,	MFT FIDELITY ADV UTILITIES CL A	B	0.00	0.65	0.00	0.65	70.0	0.46
10/05/2018 00700455306	MATTHEWS,	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.25	0.00	1.25	70.0	0.88
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV UTILITIES CL A	B	0.00	1.79	0.00	1.79	70.0	1.25
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	3.31	0.00	3.31	70.0	2.32
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADVISOR INTERNAT'L	B	0.00	0.77	0.00	0.77	70.0	0.54
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.37	0.00	1.37	70.0	0.96
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV TOTAL BOND CL A	B	0.00	0.84	0.00	0.84	70.0	0.59
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV SMALL CAP GROWTH	B	0.00	0.91	0.00	0.91	70.0	0.64
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.70	0.00	0.70	70.0	0.49
10/05/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	2.23	0.00	2.23	70.0	1.56
10/01/2018 01000058862	WHITAKER, STEPHEN	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	6.50	0.00	6.50	70.0	4.55
10/05/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADVISOR INTERNAT'L	B	0.00	0.76	0.00	0.76	70.0	0.53
10/05/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.36	0.00	1.36	70.0	0.95
10/05/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV TOTAL BOND CL A	B	0.00	0.83	0.00	0.83	70.0	0.58
10/05/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV SMALL CAP GROWTH	B	0.00	0.90	0.00	0.90	70.0	0.63
10/05/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.69	0.00	0.69	70.0	0.48
10/05/2018 03900189311	ANTOINE D LANE	MFT FA 529 GROWTH OPPORTUNITIES	B	0.00	0.13	0.00	0.13	70.0	0.09
10/05/2018 03900189311	ANTOINE D LANE	MFT FA 529 NEW INSIGHTS PORT CL A	B	0.00	0.12	0.00	0.12	70.0	0.08

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10/01/2018 03911296204	WHITAKER, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.50	0.00	6.50	70.0	4.55
10/01/2018 03912716713	WILLIAMS, PHILIP	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	42.68	0.00	42.68	70.0	29.88
10/01/2018 03912716721	WILLIAMS, TRACY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	42.99	0.00	42.99	70.0	30.09
10/01/2018 04040708101	DALY, SHEA	MFT AIM CHARTER FUND - CLASS A	B	0.00	4.13	0.00	4.13	70.0	2.89
10/01/2018 04040823181	GRAHAM, CALVIN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.30	0.00	0.30	70.0	0.21
10/01/2018 04040823181	GRAHAM, CALVIN	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	0.19	0.00	0.19	70.0	0.13
10/01/2018 05002104213	TURNER, TONEY	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	5.41	0.00	5.41	70.0	3.79
10/01/2018 05002104213	TURNER, TONEY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	7.70	0.00	7.70	70.0	5.39
10/01/2018 05025747998	TURNER, TONEY	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.90	0.00	8.90	70.0	6.23
10/01/2018 05025747998	TURNER, TONEY	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	3.14	0.00	3.14	70.0	2.20
10/01/2018 05025747998	TURNER, TONEY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.67	0.00	8.67	70.0	6.07
10/01/2018 05025747998	TURNER, TONEY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	6.82	0.00	6.82	70.0	4.77
10/01/2018 050547111600	CALEB J GRAHAM	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.59	0.00	2.59	70.0	1.81
10/01/2018 050547111600	CALEB J GRAHAM	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	4.87	0.00	4.87	70.0	3.41
10/01/2018 06900173968	HIBBLER, EDDIE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.23	0.00	0.23	70.0	0.16
10/01/2018 06900177268	HIBBLER, EDDIE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.71	0.00	0.71	70.0	0.50
10/01/2018 06900189701	MATTHEWS,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.01	0.00	2.01	70.0	1.41
10/01/2018 06900191584	MATTHEWS,	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.62	0.00	0.62	70.0	0.43
10/01/2018 06900201994	CRATE, CHRISTINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.94	0.00	0.94	70.0	0.66
10/01/2018 06900209024	CRATE, CHRISTINE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	1.20	0.00	1.20	70.0	0.84
10/01/2018 06900212966	CRATE, CHRISTINE	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	1.15	0.00	1.15	70.0	0.81
10/01/2018 06900215249	CRATE, CHRISTINE	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.38	0.00	0.38	70.0	0.27
10/01/2018 06900216648	CRATE, CHRISTINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.55	0.00	0.55	70.0	0.39
10/01/2018 06900217966	CRATE, CHRISTINE	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.16	0.00	0.16	70.0	0.11
10/01/2018 06900218177	CRATE, CHRISTINE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.60	0.00	0.60	70.0	0.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$300.68	\$0.00	\$300.68		\$210.54
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/30/2018 (None)	VARIOUS, FOREMAN	VAT TALCOTT RESOLUTION	B	0.00	517.40	0.00	517.40	70.0	362.18
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$517.40	\$0.00	\$517.40		\$362.18
*** DIRECT TRANSACTIONS TOTAL ***				\$1,366.67	\$878.19	\$0.00	\$878.19		\$614.82

BROKER COMMISSION TOTALS

Broker Transactions	\$614.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$614.82
Year-to-date Commissions	\$4,811.94