

# COMMISSION STATEMENT

September 15, 2018

 DOUG ELLIS-D335  
 5481 Ivy Drive

 BROKER #: D335  
 BRANCH: DMN

Stevensville, MI 49127

## COMMISSION STATEMENT for DOUG ELLIS-D335

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: VARIABLE ANNUITIES											
08/31/2018	1011931681	EVERSOLE, THOMAS	VA	ELITE ACCESS	B	81,917.15	27.31	0.00	27.31	75.0	20.48
08/31/2018	1012772110	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	35,437.57	29.53	0.00	29.53	75.0	22.15
08/31/2018	1012928722	SWANSON, RICHARD	VA	ELITE ACCESS L	B	130,543.89	108.79	0.00	108.79	75.0	81.59
08/31/2018	1012929133	SWANSON, RICHARD	VA	ELITE ACCESS	B	174,969.25	58.32	0.00	58.32	75.0	43.74
08/31/2018	1013200706	WHITE, GLORIA	VA	PERSP II 09/12	B	183,622.25	76.51	0.00	76.51	75.0	57.38
08/31/2018	1013200811	WHITE, GLORIA	VA	ELITE ACCESS	B	50,611.29	42.18	0.00	42.18	75.0	31.64
08/31/2018	1013636601	SCHRADER, LARRY	VA	ELITE ACCESS L	B	140,268.52	116.89	0.00	116.89	75.0	87.67
08/31/2018	1016129964	MONETA, CAROL	VA	ELITE ACCESS L	B	31,041.12	25.87	0.00	25.87	75.0	19.40
08/31/2018	1016711213	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	45,578.47	37.98	0.00	37.98	75.0	28.49
				* VARIABLE ANNUITIES SUBTOTAL *		\$873,989.51	\$523.38	\$0.00	\$523.38		\$392.54
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/04/2018	00087194091	CROSSE, LUCETTA	MFT	AMERICAN BALANCED FUND-C	B	0.00	31.63	0.00	31.63	75.0	23.72
09/04/2018	00087249418	MILLER, CHERYL	MFT	GLOBAL GROWTH PORTFOLIO-C	B	0.00	96.19	0.00	96.19	75.0	72.14
09/04/2018	00087249418	MILLER, CHERYL	MFT	INCOME PORTFOLIO-C	B	0.00	55.35	0.00	55.35	75.0	41.51
09/04/2018	00087249418	MILLER, CHERYL	MFT	PRESERVATION PORTFOLIO-C	B	0.00	36.60	0.00	36.60	75.0	27.45
09/04/2018	04000252256	SWANSON, ADAM	MFT	AMERICAN BALANCED FUND-C	B	0.00	0.16	0.00	0.16	75.0	0.12
09/04/2018	04000252256	SWANSON, ADAM	MFT	EMERGING MARKETS BOND FUND-C	B	0.00	1.57	0.00	1.57	75.0	1.18
09/04/2018	04000252256	SWANSON, ADAM	MFT	GROWTH PORTFOLIO-C	B	0.00	1.32	0.00	1.32	75.0	0.99
09/04/2018	04000252256	SWANSON, ADAM	MFT	THE NEW ECONOMY FUND-C	B	0.00	3.23	0.00	3.23	75.0	2.42
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$226.05	\$0.00	\$226.05		\$169.53
				*** DIRECT TRANSACTIONS TOTAL ***		\$873,989.51	\$749.43	\$0.00	\$749.43		\$562.07

**BROKER COMMISSION TOTALS**

Broker Transactions	\$562.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-366.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$196.07</b>
<b>Year-to-date Commissions</b>	<b>\$4,084.88</b>