

# COMMISSION STATEMENT

October 31, 2018

 JOHN BOYD-D008  
 615 SW 8th Avenue

 BROKER #: D008  
 BRANCH: DMC

Amarillo, TX 79101-2113

## COMMISSION STATEMENT for JOHN BOYD-D008

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: STOCKS

10/15/2018	153934630	BATSON, HOWARD	S	ANWORTH MORTGAGE ASSET CORP	B	496.35	60.00	15.00	45.00	90.0	40.50
10/15/2018	153934630	BATSON, HOWARD	S	AT&T INC	B	3,309.31	50.00	15.00	35.00	90.0	31.50
10/03/2018	276670325	(TOD), BETTY	S	AT&T INC	B	68,132.25	50.00	15.00	35.00	90.0	31.50
10/03/2018	276670325	(TOD), BETTY	S	BANK OF AMERICA CORPORATION	B	59,672.25	50.00	15.00	35.00	90.0	31.50
10/03/2018	276670325	(TOD), BETTY	S	DOWDUPONT INC	B	51,772.37	50.00	15.00	35.00	90.0	31.50
10/03/2018	276670325	(TOD), BETTY	S	INTEL CORPORATION	B	97,532.45	50.00	15.00	35.00	90.0	31.50
10/03/2018	276670325	(TOD), BETTY	S	XCEL ENERGY INC	B	238,801.95	50.00	15.00	35.00	90.0	31.50
10/17/2018	368299192	MOREHART, JOHN	S	CABOT CORPORATION	S	-14,741.34	50.00	15.00	35.00	90.0	31.50
10/01/2018	430134458	SUNIL KAMNANI,	S	AMARIN CORPORATION PLC	B	17,277.45	75.00	15.00	60.00	90.0	54.00
10/22/2018	646200695	THORNTON, ROBERT	S	FORD MOTOR CO NEW	B	99,893.45	50.00	15.00	35.00	90.0	31.50
09/28/2018	676735144	SLOPESIDE	S	AMARIN CORPORATION PLC	S	-30,742.35	75.00	15.00	60.00	90.0	54.00
09/28/2018	676735144	SLOPESIDE	S	NVIDIA CORPORATION	S	-419,393.30	75.00	15.00	60.00	90.0	54.00
10/01/2018	677621262	KAMNANI, LAXMICHAND	S	AMARIN CORPORATION PLC	B	34,477.45	75.00	15.00	60.00	90.0	54.00
10/01/2018	677621262	KAMNANI, LAXMICHAND	S	DIREXION DAILY TECH BULL & BEAR	B	339,677.45	75.00	15.00	60.00	90.0	54.00
10/08/2018	677621262	KAMNANI, LAXMICHAND	S	NVIDIA CORPORATION	B	403,346.75	75.00	15.00	60.00	90.0	54.00
10/12/2018	677621262	KAMNANI, LAXMICHAND	S	NVIDIA CORPORATION	B	123,107.45	75.00	15.00	60.00	90.0	54.00
10/12/2018	677621262	KAMNANI, LAXMICHAND	S	PROSHARES ULTRAPRO QQQ	B	115,577.45	75.00	15.00	60.00	90.0	54.00
10/29/2018	677621262	KAMNANI, LAXMICHAND	S	PROSHARES ULTRAPRO QQQ	B	104,077.45	75.00	15.00	60.00	90.0	54.00
10/12/2018	677640667	KAMNANI, NIRMALA	S	AMARIN CORPORATION PLC	B	20,577.45	75.00	15.00	60.00	90.0	54.00
				* STOCKS SUBTOTAL *		\$1,312,852.29	\$1,210.00	\$285.00	\$925.00		\$832.50

#### PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

10/25/2018	552994413	ORCUTT TOD, JIM TOM CD	MERCHANTS BK IND CARMEL IN	B	75,002.45	15.94	20.00	-4.06	90.0	-3.65
				* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$75,002.45	\$15.94	\$20.00	\$-4.06	\$-3.65

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc396814	BOYD J 396814	MFT AMCAP FUND-A	B	0.00	5.41	0.00	5.41	90.0	4.87
10/19/2018	mfc396814	BOYD J 396814	MFT AMCAP FUND-A	B	0.00	28.55	0.00	28.55	90.0	25.70
10/19/2018	mfc396814	BOYD J 396814	MFT AMERICAN BALANCED FUND-A	B	0.00	14.43	0.00	14.43	90.0	12.99
10/19/2018	mfc396814	BOYD J 396814	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.75	0.00	1.75	90.0	1.58
10/19/2018	mfc396814	BOYD J 396814	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.69	0.00	9.69	90.0	8.72
10/19/2018	mfc396814	BOYD J 396814	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.31	0.00	1.31	90.0	1.18

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10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.56	0.00	1.56	90.0	1.40
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.89	0.00	2.89	90.0	2.60
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.01	0.00	1.01	90.0	0.91
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.96	0.00	1.96	90.0	1.76
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.94	0.00	2.94	90.0	2.65
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.66	0.00	7.66	90.0	6.89
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.12	0.00	9.12	90.0	8.21
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	15.32	0.00	15.32	90.0	13.79
10/19/2018	mfc396814 BOYD J 396814	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.15	0.00	23.15	90.0	20.84
10/19/2018	mfc396814 BOYD J 396814	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	2.80	0.00	2.80	90.0	2.52
10/19/2018	mfc396814 BOYD J 396814	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	12.32	0.00	12.32	90.0	11.09
10/19/2018	mfc396814 BOYD J 396814	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	2.97	0.00	2.97	90.0	2.67
10/19/2018	mfc396814 BOYD J 396814	MFT DRYDEN INTERNATIONAL EQUITY FD	B	0.00	0.78	0.00	0.78	90.0	0.70
10/19/2018	mfc396814 BOYD J 396814	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.09	0.00	1.09	90.0	0.98
10/19/2018	mfc396814 BOYD J 396814	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	2.34	0.00	2.34	90.0	2.11
10/19/2018	mfc396814 BOYD J 396814	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	2.09	0.00	2.09	90.0	1.88
10/19/2018	mfc396814 BOYD J 396814	MFT FRANKLIN NATURAL RESOURCES FND	B	0.00	1.36	0.00	1.36	90.0	1.22
10/19/2018	mfc396814 BOYD J 396814	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	7.71	0.00	7.71	90.0	6.94
10/19/2018	mfc396814 BOYD J 396814	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	7.71	0.00	7.71	90.0	6.94
10/19/2018	mfc396814 BOYD J 396814	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.30	0.00	2.30	90.0	2.07
10/19/2018	mfc396814 BOYD J 396814	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	9.91	0.00	9.91	90.0	8.92
10/19/2018	mfc396814 BOYD J 396814	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	42.21	0.00	42.21	90.0	37.99
10/19/2018	mfc396814 BOYD J 396814	MFT HODGES FD RETAIL	B	0.00	3.01	0.00	3.01	90.0	2.71
10/19/2018	mfc396814 BOYD J 396814	MFT HODGES FD RETAIL	B	0.00	3.18	0.00	3.18	90.0	2.86
10/19/2018	mfc396814 BOYD J 396814	MFT IVY LARGE CAP GROWTH FD CL A	B	0.00	2.67	0.00	2.67	90.0	2.40
10/19/2018	mfc396814 BOYD J 396814	MFT JENNISON GROWTH FUND CLASS A	B	0.00	9.89	0.00	9.89	90.0	8.90
10/19/2018	mfc396814 BOYD J 396814	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	2.00	0.00	2.00	90.0	1.80
10/19/2018	mfc396814 BOYD J 396814	MFT LORD ABBETT FLOATING RATE FD CL	B	0.00	13.91	0.00	13.91	90.0	12.52
10/19/2018	mfc396814 BOYD J 396814	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.15	0.00	0.15	90.0	0.14
10/19/2018	mfc396814 BOYD J 396814	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.32	0.00	5.32	90.0	4.79
10/19/2018	mfc396814 BOYD J 396814	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.99	0.00	8.99	90.0	8.09
10/19/2018	mfc396814 BOYD J 396814	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.15	0.00	16.15	90.0	14.54
10/19/2018	mfc396814 BOYD J 396814	MFT NEW WORLD FUND-A	B	0.00	1.09	0.00	1.09	90.0	0.98
10/19/2018	mfc396814 BOYD J 396814	MFT NEW WORLD FUND-A	B	0.00	17.46	0.00	17.46	90.0	15.71
10/19/2018	mfc396814 BOYD J 396814	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	3.98	0.00	3.98	90.0	3.58
10/19/2018	mfc396814 BOYD J 396814	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	4.41	0.00	4.41	90.0	3.97
10/19/2018	mfc396814 BOYD J 396814	MFT PRUDENTIAL JENNISON BLEND FD A	B	0.00	4.02	0.00	4.02	90.0	3.62
10/19/2018	mfc396814 BOYD J 396814	MFT PRUDENTIAL JENNISON MID CAP	B	0.00	4.83	0.00	4.83	90.0	4.35
10/19/2018	mfc396814 BOYD J 396814	MFT ROCHESTER NATIONAL MUNICIPALS A	B	0.00	97.76	0.00	97.76	90.0	87.98
10/19/2018	mfc396814 BOYD J 396814	MFT SHORT DURATION INCOME A	B	0.00	18.86	0.00	18.86	90.0	16.97
10/19/2018	mfc396814 BOYD J 396814	MFT SMALLCAP WORLD FUND-A	B	0.00	4.71	0.00	4.71	90.0	4.24
10/19/2018	mfc396814 BOYD J 396814	MFT SMALLCAP WORLD FUND-A	B	0.00	7.34	0.00	7.34	90.0	6.61
10/19/2018	mfc396814 BOYD J 396814	MFT SMALLCAP WORLD FUND-A	B	0.00	12.26	0.00	12.26	90.0	11.03
10/19/2018	mfc396814 BOYD J 396814	MFT SMALLCAP WORLD FUND-A	B	0.00	17.91	0.00	17.91	90.0	16.12

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10/19/2018 mfc396814	BOYD J 396814	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.82	0.00	3.82	90.0	3.44
10/19/2018 mfc396814	BOYD J 396814	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.09	0.00	5.09	90.0	4.58
10/19/2018 mfc396814	BOYD J 396814	MFT THE HARTFORD CAPITAL	B	0.00	0.20	0.00	0.20	90.0	0.18
10/19/2018 mfc396814	BOYD J 396814	MFT THE INCOME FUND OF AMERICA-A	B	0.00	23.14	0.00	23.14	90.0	20.83
10/19/2018 mfc396814	BOYD J 396814	MFT THE INVESTMENT COMPANY OF	B	0.00	2.81	0.00	2.81	90.0	2.53
10/19/2018 mfc396814	BOYD J 396814	MFT THE NEW ECONOMY FUND-A	B	0.00	4.40	0.00	4.40	90.0	3.96
10/19/2018 mfc396814	BOYD J 396814	MFT THE NEW ECONOMY FUND-A	B	0.00	5.67	0.00	5.67	90.0	5.10
10/19/2018 mfc396814	BOYD J 396814	MFT THE NEW ECONOMY FUND-A	B	0.00	36.37	0.00	36.37	90.0	32.73
10/19/2018 mfc396814	BOYD J 396814	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.14	0.00	5.14	90.0	4.63
10/19/2018 mfc396814	BOYD J 396814	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	38.16	0.00	38.16	90.0	34.34
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$607.04</b>	<b>\$0.00</b>	<b>\$607.04</b>		<b>\$546.35</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$1,387,854.74</b>	<b>\$1,832.98</b>	<b>\$305.00</b>	<b>\$1,527.98</b>		<b>\$1,375.20</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/01/2018 (None) HOLLAND, TONY	MF	OPPENHEIMER	B	1,681.81	16.82	0.00	16.82	90.0	15.14
10/08/2018 (None) WILLIAMS, SHANE	MF	OPPENHEIMER	B	50.00	0.50	0.00	0.50	90.0	0.45
10/16/2018 00066979577 PINSON, MELODY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	90.0	4.50
10/15/2018 00068586453 BARBOUR, BYRON	MF	AMCAP FUND-A	B	1,125.00	22.50	0.00	22.50	90.0	20.25
10/15/2018 00068586453 BARBOUR, BYRON	MF	AMERICAN MUTUAL FUND-A	B	1,125.00	22.50	0.00	22.50	90.0	20.25
10/15/2018 00068586453 BARBOUR, BYRON	MF	NEW PERSPECTIVE FUND-A	B	1,500.00	30.00	0.00	30.00	90.0	27.00
10/15/2018 00068586453 BARBOUR, BYRON	MF	SMALLCAP WORLD FUND-A	B	1,500.00	30.00	0.00	30.00	90.0	27.00
10/15/2018 00068586453 BARBOUR, BYRON	MF	THE NEW ECONOMY FUND-A	B	1,125.00	22.50	0.00	22.50	90.0	20.25
10/15/2018 00068586453 BARBOUR, BYRON	MF	WASHINGTON MUTUAL INVESTORS	B	1,000.71	20.01	0.00	20.01	90.0	18.01
10/15/2018 00068586455 BARBOUR, BYRON	MF	AMCAP FUND-A	B	1,080.00	21.60	0.00	21.60	90.0	19.44
10/15/2018 00068586455 BARBOUR, BYRON	MF	AMERICAN MUTUAL FUND-A	B	1,080.00	21.60	0.00	21.60	90.0	19.44
10/15/2018 00068586455 BARBOUR, BYRON	MF	NEW PERSPECTIVE FUND-A	B	1,440.00	28.80	0.00	28.80	90.0	25.92
10/15/2018 00068586455 BARBOUR, BYRON	MF	SMALLCAP WORLD FUND-A	B	1,440.00	28.80	0.00	28.80	90.0	25.92
10/15/2018 00068586455 BARBOUR, BYRON	MF	THE NEW ECONOMY FUND-A	B	1,080.00	21.60	0.00	21.60	90.0	19.44
10/15/2018 00068586455 BARBOUR, BYRON	MF	WASHINGTON MUTUAL INVESTORS	B	960.37	19.21	0.00	19.21	90.0	17.29
10/10/2018 00074444937 DEBRA L DUNNE	MF	AMERICAN BALANCED FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
10/11/2018 00082221648 SKAGGS, ERIKA	MF	CAPITAL INCOME BUILDER-A	B	100.00	5.00	0.00	5.00	90.0	4.50
10/11/2018 00082221648 SKAGGS, ERIKA	MF	CAPITAL WORLD GROWTH AND INCOME	B	100.00	5.00	0.00	5.00	90.0	4.50
10/19/2018 00082221648 SKAGGS, ERIKA	MF	CAPITAL INCOME BUILDER-A	B	125.00	6.25	0.00	6.25	90.0	5.63
10/19/2018 00082221648 SKAGGS, ERIKA	MF	CAPITAL WORLD GROWTH AND INCOME	B	125.00	6.25	0.00	6.25	90.0	5.63
10/10/2018 00086815975 DUNNE, CONNOR	MF	AMERICAN BALANCED FUND-529A	B	75.00	2.81	0.00	2.81	90.0	2.53
10/10/2018 00088454848 ANDERSON, JOHN	MF	NEW PERSPECTIVE FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
10/10/2018 00088454848 ANDERSON, JOHN	MF	SMALLCAP WORLD FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
10/10/2018 00088454848 ANDERSON, JOHN	MF	THE GROWTH FUND OF AMERICA-A	B	391.25	7.83	0.00	7.83	90.0	7.05
10/10/2018 00088454972 ANDERSON, TERESA	MF	NEW PERSPECTIVE FUND-A	B	175.00	3.50	0.00	3.50	90.0	3.15
10/10/2018 00088454972 ANDERSON, TERESA	MF	THE GROWTH FUND OF AMERICA-A	B	172.20	3.44	0.00	3.44	90.0	3.10
10/10/2018 00088888235 DUNNE, CONNOR	MF	AMERICAN BALANCED FUND-529A	B	75.00	2.81	0.00	2.81	90.0	2.53

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10/10/2018 00089632776	TRUSTY, JAMES	MF AMCAP FUND-C	B	410.40	4.10	0.00	4.10	90.0	3.69
10/10/2018 00089632776	TRUSTY, JAMES	MF INCOME FUND OF AMERICA CLASS C	B	625.00	6.25	0.00	6.25	90.0	5.63
10/10/2018 00089632776	TRUSTY, JAMES	MF INVESTMENT COMPANY OF AMERICA	B	625.00	6.25	0.00	6.25	90.0	5.63
10/10/2018 00089632776	TRUSTY, JAMES	MF SMALLCAP WORLD FUND-C	B	625.00	6.25	0.00	6.25	90.0	5.63
10/17/2018 04000330579	SHANKS, STEVEN	MF CAPITAL INCOME BUILDER-529A	B	100.00	1.20	0.00	1.20	90.0	1.08
10/17/2018 04000330579	SHANKS, STEVEN	MF CAPITAL WORLD GROWTH AND	B	100.00	1.20	0.00	1.20	90.0	1.08
10/17/2018 04000330579	SHANKS, STEVEN	MF SMALLCAP WORLD FUND-529A	B	100.00	1.20	0.00	1.20	90.0	1.08
10/19/2018 04001063247	ORCUTT, STEPHEN	MF AMCAP FUND-C	B	1,861.70	18.62	0.00	18.62	90.0	16.76
10/19/2018 04001063247	ORCUTT, STEPHEN	MF THE NEW ECONOMY FUND-C	B	1,950.00	19.50	0.00	19.50	90.0	17.55
10/19/2018 04001063255	ORCUTT, STEPHEN	MF AMCAP FUND-C	B	430.89	4.31	0.00	4.31	90.0	3.88
10/19/2018 04001063255	ORCUTT, STEPHEN	MF THE NEW ECONOMY FUND-C	B	450.00	4.50	0.00	4.50	90.0	4.05
10/26/2018 04001155923	MOREHART, ASHLEY	MF INCOME FUND OF AMERICA CLASS C	B	4,110.57	41.11	0.00	41.11	90.0	37.00
10/26/2018 04001155923	MOREHART, ASHLEY	MF INVESTMENT COMPANY OF AMERICA	B	5,480.76	54.81	0.00	54.81	90.0	49.33
10/26/2018 04001155923	MOREHART, ASHLEY	MF SMALLCAP WORLD FUND-C	B	4,110.57	41.11	0.00	41.11	90.0	37.00
10/26/2018 04001155923	MOREHART, ASHLEY	MF THE NEW ECONOMY FUND-C	B	8,221.15	82.21	0.00	82.21	90.0	73.99
10/26/2018 04001155923	MOREHART, ASHLEY	MF WASHINGTON MUTUAL INVESTORS	B	5,480.76	54.81	0.00	54.81	90.0	49.33
10/10/2018 04001161657	PARKERBASK	MF AMERICAN MUTUAL FUND-529A	B	67.50	1.35	0.00	1.35	90.0	1.22
10/10/2018 04001161657	PARKERBASK	MF NEW PERSPECTIVE FUND-529A	B	67.50	1.35	0.00	1.35	90.0	1.22
10/10/2018 04001161657	PARKERBASK	MF SMALLCAP WORLD FUND-529A	B	135.00	2.70	0.00	2.70	90.0	2.43
10/10/2018 04001161657	PARKERBASK	MF THE INVESTMENT COMPANY OF	B	67.50	1.35	0.00	1.35	90.0	1.22
10/10/2018 04001161657	PARKERBASK	MF THE NEW ECONOMY FUND-529A	B	112.50	2.25	0.00	2.25	90.0	2.03
10/10/2018 04001161729	PARKERBASK	MF AMERICAN MUTUAL FUND-529A	B	67.50	1.35	0.00	1.35	90.0	1.22
10/10/2018 04001161729	PARKERBASK	MF NEW PERSPECTIVE FUND-529A	B	67.50	1.35	0.00	1.35	90.0	1.22
10/10/2018 04001161729	PARKERBASK	MF SMALLCAP WORLD FUND-529A	B	135.00	2.70	0.00	2.70	90.0	2.43
10/10/2018 04001161729	PARKERBASK	MF THE INVESTMENT COMPANY OF	B	67.50	1.35	0.00	1.35	90.0	1.22
10/10/2018 04001161729	PARKERBASK	MF THE NEW ECONOMY FUND-529A	B	112.50	2.25	0.00	2.25	90.0	2.03
10/10/2018 04001161752	BASKETTJER	MF AMERICAN MUTUAL FUND-529A	B	96.45	1.93	0.00	1.93	90.0	1.74
10/10/2018 04001161752	BASKETTJER	MF NEW PERSPECTIVE FUND-529A	B	96.45	1.93	0.00	1.93	90.0	1.74
10/10/2018 04001161752	BASKETTJER	MF SMALLCAP WORLD FUND-529A	B	192.90	3.86	0.00	3.86	90.0	3.47
10/10/2018 04001161752	BASKETTJER	MF THE INVESTMENT COMPANY OF	B	96.45	1.93	0.00	1.93	90.0	1.74
10/10/2018 04001161752	BASKETTJER	MF THE NEW ECONOMY FUND-529A	B	160.75	3.22	0.00	3.22	90.0	2.90
* MUTUAL FUNDS SUBTOTAL *				\$54,701.14	\$750.51	\$0.00	\$750.51		\$675.55
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018 (None)	BLAIR, SHIRLEY	MFT DELWARE	B	0.00	11.15	0.00	11.15	90.0	10.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$11.15	\$0.00	\$11.15		\$10.04
*** DIRECT TRANSACTIONS TOTAL ***				\$54,701.14	\$761.66	\$0.00	\$761.66		\$685.59

COMMISSION STATEMENT for JOHN BOYD-D008

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u>                    OVERRIDE TRANSACTIONS                    </u>										
<u>BROKER: SCOTT SICKLES-D060      #54</u>										
10/24/2018	000000001042631	WEIR, DEBBIE	MF	FRK AGGRESSIVE GRWTH - CL A	B	250.00	10.00	0.00	10.00	1.50
10/25/2018	00082895917	JOHNSON, KRISTY	MF	CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	0.26
10/25/2018	00082895917	JOHNSON, KRISTY	MF	THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	0.15
10/25/2018	00082895917	JOHNSON, KRISTY	MF	THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	0.25
10/22/2018	00089635528	BAUTISTA, MOISES	MF	FUNDAMENTAL INVESTORS-C	B	850.00	8.50	0.00	8.50	1.28
10/19/2018	04000032754	SPIVA, HOLLY	MF	CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	0.03
10/19/2018	04000032754	SPIVA, HOLLY	MF	EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	0.03
10/19/2018	04000032754	SPIVA, HOLLY	MF	FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	0.02
10/19/2018	04000032754	SPIVA, HOLLY	MF	NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	0.03
10/19/2018	04000032754	SPIVA, HOLLY	MF	THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	0.03
10/19/2018	04000032754	SPIVA, HOLLY	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	0.02
10/15/2018	04000638082	PEREZ, GEORGIA	MF	THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	0.18
10/19/2018	mfc500587	SICKLES 500587	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.94	0.00	0.94	0.14
10/19/2018	mfc500587	SICKLES 500587	MFT	AMERICAN BALANCED FUND-C	B	0.00	8.14	0.00	8.14	1.22
10/19/2018	mfc500587	SICKLES 500587	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	7.41	0.00	7.41	1.11
10/19/2018	mfc500587	SICKLES 500587	MFT	FRANKLIN INCOME FUND CLASS A	B	0.00	0.21	0.00	0.21	0.03
10/19/2018	mfc500587	SICKLES 500587	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	4.57	0.00	4.57	0.69
10/19/2018	mfc500587	SICKLES 500587	MFT	FRK RISING DIV FND - CL C	B	0.00	12.89	0.00	12.89	1.93
10/19/2018	mfc500587	SICKLES 500587	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	23.40	0.00	23.40	3.51
10/19/2018	mfc500587	SICKLES 500587	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	22.73	0.00	22.73	3.41
10/19/2018	mfc500587	SICKLES 500587	MFT	MUTUAL DISC FND - CL C	B	0.00	5.20	0.00	5.20	0.78
10/19/2018	mfc500587	SICKLES 500587	MFT	TEMP GLOBAL BOND FND - CL A	B	0.00	1.00	0.00	1.00	0.15
10/19/2018	mfc500587	SICKLES 500587	MFT	THE BOND FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	0.09
10/19/2018	mfc500587	SICKLES 500587	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	25.56	0.00	25.56	3.83
10/19/2018	mfc500587	SICKLES 500587	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	9.10	0.00	9.10	1.37
* SCOTT SICKLES-D060 SUBTOTAL *						<u>\$1,420.00</u>	<u>\$146.77</u>	<u>\$0.00</u>	<u>\$146.77</u>	<u>\$22.04</u>
*** OVERRIDE TRANSACTIONS TOTAL ***						<u>\$1,420.00</u>	<u>\$146.77</u>	<u>\$0.00</u>	<u>\$146.77</u>	<u>\$22.04</u>
<u>                    ADJUSTMENTS TRANSACTIONS                    </u>										
<u>BROKER:           #0</u>										
10/31/2018			:	BROKER WORKSTATION		0.00	0.00	0.00	0.00	-30.00
10/31/2018			:	BROKER WORKSTATION-SHEILA		0.00	0.00	0.00	0.00	-15.00
10/31/2018			:	CUSTOMER WRITE OFF 768887142		0.00	0.00	0.00	0.00	-0.15
10/31/2018			:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00	-25.00
10/31/2018			:	E-MAIL ACCOUNT-SHEILA TUCKER		0.00	0.00	0.00	0.00	-12.50
10/31/2018			:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00	585.93
* SUBTOTAL *						<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$503.28</u>

COMMISSION STATEMENT for JOHN BOYD-D008

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$503.28

BROKER COMMISSION TOTALS

Broker Transactions	\$2,060.79
Split Transactions	\$0.00
Override Transactions	\$22.04
Adjustments	\$503.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,586.11
Year-to-date Commissions	\$50,694.20