

COMMISSION STATEMENT

September 30, 2018

SCOTT SICKLES-D060
 4200 Ridgcrest Circle
 Suite A4
 Amarillo, TX 79101

BROKER #: D060
 BRANCH: DMC

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u>CLEARING TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
09/21/2018	mfc500587	SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.50	0.00	0.50	75.0	0.38
09/21/2018	mfc500587	SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	4.31	0.00	4.31	75.0	3.23
09/21/2018	mfc500587	SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	3.96	0.00	3.96	75.0	2.97
09/21/2018	mfc500587	SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	2.44	0.00	2.44	75.0	1.83
09/21/2018	mfc500587	SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.11	0.00	0.11	75.0	0.08
09/21/2018	mfc500587	SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	6.68	0.00	6.68	75.0	5.01
09/21/2018	mfc500587	SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	12.34	0.00	12.34	75.0	9.26
09/21/2018	mfc500587	SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	12.01	0.00	12.01	75.0	9.01
09/21/2018	mfc500587	SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	2.77	0.00	2.77	75.0	2.08
09/21/2018	mfc500587	SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.55	0.00	0.55	75.0	0.41
09/21/2018	mfc500587	SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.31	0.00	0.31	75.0	0.23
09/21/2018	mfc500587	SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	13.45	0.00	13.45	75.0	10.09
09/21/2018	mfc500587	SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.76	0.00	4.76	75.0	3.57
09/24/2018	mfc500587	SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.47	0.00	0.47	75.0	0.35
09/24/2018	mfc500587	SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	4.06	0.00	4.06	75.0	3.05
09/24/2018	mfc500587	SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	3.71	0.00	3.71	75.0	2.78
09/24/2018	mfc500587	SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	2.29	0.00	2.29	75.0	1.72
09/24/2018	mfc500587	SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.11	0.00	0.11	75.0	0.08
09/24/2018	mfc500587	SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	6.36	0.00	6.36	75.0	4.77
09/24/2018	mfc500587	SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	11.61	0.00	11.61	75.0	8.71
09/24/2018	mfc500587	SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	11.30	0.00	11.30	75.0	8.48
09/24/2018	mfc500587	SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	2.60	0.00	2.60	75.0	1.95
09/24/2018	mfc500587	SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.50	0.00	0.50	75.0	0.38
09/24/2018	mfc500587	SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29	75.0	0.22
09/24/2018	mfc500587	SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	12.67	0.00	12.67	75.0	9.50
09/24/2018	mfc500587	SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.51	0.00	4.51	75.0	3.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$124.67	\$0.00	\$124.67	\$93.52	
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$124.67	\$0.00	\$124.67	\$93.52	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/20/2018	000000000701021	BRANNON, MF	FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
09/20/2018	000000012372684	GRIEGO, MF	FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
09/20/2018	000019817879772	BRANNON, MF	FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
09/20/2018	000060177110343	BRANNON, MF	FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
09/20/2018	00089635528	BAUTISTA, MOISES	FUNDAMENTAL INVESTORS-C	B	500.00	5.00	0.00	5.00	75.0	3.75
09/20/2018	04000032754	SPIVA, HOLLY	CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
09/20/2018	04000032754	SPIVA, HOLLY	EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
09/20/2018	04000032754	SPIVA, HOLLY	FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
09/20/2018	04000032754	SPIVA, HOLLY	NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
09/20/2018	04000032754	SPIVA, HOLLY	THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
09/20/2018	04000032754	SPIVA, HOLLY	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
09/17/2018	04000638082	PEREZ, GEORGIA	THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *					\$1,120.00	\$17.21	\$0.00	\$17.21		\$12.92
*** DIRECT TRANSACTIONS TOTAL ***					\$1,120.00	\$17.21	\$0.00	\$17.21		\$12.92

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-155.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-155.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$106.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-48.56
Year-to-date Commissions	\$5,073.24