

COMMISSION STATEMENT November 30, 2018

SMITH KUTAS-D088

BROKER #: D088

BRANCH: DMN

, TX

COMMISSION STATEMENT for SMITH KUTAS-D088

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/31/2018 306863499	GRIFFITHS, DIANE	S EQUITY COMMONWEALTH	S	-14,863.41	45.00	15.00	30.00	85.0	25.50
10/31/2018 306863499	GRIFFITHS, DIANE	S FIVE STAR SENIOR LIVING INC	S	-25.53	0.00	15.00	-15.00	85.0	-12.75
10/31/2018 306863499	GRIFFITHS, DIANE	S RMR GROUP INC/THE- CL A	S	-138.55	10.00	15.00	-5.00	85.0	-4.25
11/01/2018 306863499	GRIFFITHS, DIANE	S FIFTH THIRD BANCORP OHIO	B	14,963.68	35.00	15.00	20.00	85.0	17.00
* STOCKS SUBTOTAL *				\$-63.81	\$90.00	\$60.00	\$30.00		\$25.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018 mfc748330	KUTAS 748330	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	12.02	0.00	12.02	85.0	10.22
11/21/2018 mfc748330	KUTAS 748330	MFT GLOBAL BALANCED FUND-A	B	0.00	12.34	0.00	12.34	85.0	10.49
11/21/2018 mfc748330	KUTAS 748330	MFT LORD ABBETT AFFILIATED FUND	B	0.00	2.31	0.00	2.31	85.0	1.96
11/21/2018 mfc748330	KUTAS 748330	MFT THE INCOME FUND OF AMERICA-A	B	0.00	25.28	0.00	25.28	85.0	21.49
11/21/2018 mfc748330	KUTAS 748330	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	33.45	0.00	33.45	85.0	28.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$85.40	\$0.00	\$85.40		\$72.59
*** CLEARING TRANSACTIONS TOTAL ***				\$-63.81	\$175.40	\$60.00	\$115.40		\$98.09

BROKER COMMISSION TOTALS

Broker Transactions	\$98.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00