



COMMISSION STATEMENT

November 05, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/29/2018	***-**-20IO - BARBER, SAMUEL	ALIBABA GROUP HLDG LTD SPONSORED AD	1,121.28	48.56	9.07	39.49	75.0	29.62
	ACCOUNT #: LSF000307	BUY 8 Units @140.1600						
10/26/2018	***-**-20IO - BARBER, SAMUEL	AMAZON.COM INC UNSOLICITED ORDER	1,640.00	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	BUY 1 Units @1640.0000						
10/29/2018	***-**-20IO - BARBER, SAMUEL	AMAZON.COM INC UNSOLICITED ORDER	1,633.77	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	BUY 1 Units @1633.7700						
10/26/2018	***-**-20IO - BARBER, SAMUEL	APPLE INC UNSOLICITED ORDER	1,075.00	47.78	8.99	38.79	75.0	29.09
	ACCOUNT #: LSF000307	BUY 5 Units @215.0000						
10/26/2018	***-**-20IO - BARBER, SAMUEL	ARK ETF TR INNOVATION ETF	559.72	5.09	9.13	-4.04	100.0	-4.04
	ACCOUNT #: LSF000307	BUY 14 Units @39.9800						
10/26/2018	***-**-20IO - BARBER, SAMUEL	ARK ETF TR INNOVATION ETF	239.88	38.00	9.05	28.95	75.0	21.71
	ACCOUNT #: LSF000307	BUY 6 Units @39.9800						
10/30/2018	***-**-63IO - BARBER,	FIRST BANCORP P R COM NEW	5,400.00	51.00	27.00	24.00	75.0	18.00
	ACCOUNT #: LSR012835	SELL 600 Units @9.0000						
10/30/2018	***-**-63IO - BARBER,	FIRST BANCORP P R COM NEW	117.00	1.11	0.26	0.85	75.0	0.64
	ACCOUNT #: LSR012835	SELL 13 Units @9.0000						
10/30/2018	***-**-63IO - BARBER,	FIRST BANCORP P R COM NEW	3,150.00	29.75	7.00	22.75	75.0	17.06
	ACCOUNT #: LSR012835	SELL 350 Units @9.0000						
10/26/2018	***-**-20IO - BARBER, SAMUEL	MICROSOFT CORP UNSOLICITED ORDER EX	1,260.00	50.92	8.99	41.93	75.0	31.45
	ACCOUNT #: LSF000307	BUY 12 Units @105.0000						
10/30/2018	***-**-20IO - BARBER, SAMUEL	NETFLIX COM INC COM UNSOLICITED ORD	1,377.10	52.91	8.99	43.92	75.0	32.94
	ACCOUNT #: LSF000307	BUY 5 Units @275.4200						
* STOCKS SUBTOTAL *			\$17,573.75	\$433.12	\$106.46	\$326.66		\$243.99
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/15/2018	***-**-2696 - IGNACIO, CECILIA	STRUCTURED CAP STRAT PLUS OPT I	60,000.00	3,600.00	0.00	3,600.00	75.0	2,700.00
		BUY 1 Units @60000.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$60,000.00	\$3,600.00	\$0.00	\$3,600.00		\$2,700.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/31/2018	***-**-5445 - IMBRIALE,	JACKSON ELITE ACCESS E	0.00	144.16	0.00	144.16	75.0	108.12
	ACCOUNT #: 1013231636	BUY 0 Units @0.0000						
10/31/2018	***-**-7424 - IMBRIALE, DONALD	JACKSON ELITE ACCESS OPTION A	0.00	27.99	0.00	27.99	75.0	20.99
	ACCOUNT #: 1012248322	BUY 0 Units @0.0000						
10/31/2018	***-**-5445 - IMBRIALE,	JACKSON ELITE ACCESS OPTION A	0.00	12.53	0.00	12.53	75.0	9.40
	ACCOUNT #: 1013231660	BUY 0 Units @0.0000						
10/31/2018	***-**-7410 - BRENNAN, JOHN	JACKSON NAT VA TRAILS 468511134	0.00	8.93	0.00	8.93	75.0	6.70
	ACCOUNT #: 1200627917	BUY 0 Units @0.0000						
10/31/2018	***-**-7424 - IMBRIALE, DONALD	JACKSON NATIONAL PERSPECTIVE II	0.00	103.95	0.00	103.95	75.0	77.96
	ACCOUNT #: 1007504523	BUY 0 Units @0.0000						
10/31/2018	***-**-5445 - IMBRIALE,	JACKSON NATIONAL PERSPECTIVE II	0.00	68.75	0.00	68.75	75.0	51.56
	ACCOUNT #: 1007525890	BUY 0 Units @0.0000						
10/31/2018	***-**-7424 - IMBRIALE, DONALD	JACKSON NATIONAL PERSPECTIVE II	0.00	49.65	0.00	49.65	75.0	37.24
	ACCOUNT #: 1013986092	BUY 0 Units @0.0000						
10/31/2018	***-**-7410 - BRENNAN, JOHN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	711.11	0.00	711.11	75.0	533.33
	ACCOUNT #: 1011340241	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,127.07	\$0.00	\$1,127.07		\$845.30
*** BROKER TRANSACTIONS TOTAL ***			\$77,573.75	\$5,160.19	\$106.46	\$5,053.73		\$3,789.29



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$3,789.29
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,789.29
						Year-to-date Earnings		\$52,571.69