

COMMISSION STATEMENT October 15, 2018

DOUG GRAUMANN-D365
 101 S. Wenatchee Avenue
 Suite C
 Wenatchee, WA 98801

BROKER #: D365
 BRANCH: D12

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/01/2018 03911802969	POOL, BARBARA	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.77	0.00	0.77	85.0	0.65
10/01/2018 03911802977	POOL, DONALD	MFT AIM CHARTER FUND - CLASS A	B	0.00	2.03	0.00	2.03	85.0	1.73
10/01/2018 03911802977	POOL, DONALD	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.28	0.00	0.28	85.0	0.24
10/01/2018 03911802977	POOL, DONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.02	0.00	1.02	85.0	0.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4.10	\$0.00	\$4.10		\$3.49
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
09/28/2018 (None)	ROSKOS, JOHN	VAT ALLIANZ LIFE	B	0.00	454.46	0.00	454.46	85.0	386.29
09/28/2018 (None)	HOLCOMB, CLAUDIA	VAT ALLIANZ LIFE	B	0.00	278.60	0.00	278.60	85.0	236.81
09/28/2018 (None)	WYNNE, ROBERT	VAT ALLIANZ LIFE	B	0.00	52.71	0.00	52.71	85.0	44.80
09/28/2018 (None)	BABCOCK, VIRGINIA	VAT ALLIANZ LIFE	B	0.00	150.27	0.00	150.27	85.0	127.73
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$936.04	\$0.00	\$936.04		\$795.63
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$940.14	\$0.00	\$940.14		\$799.12

BROKER COMMISSION TOTALS

Broker Transactions	\$799.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$799.12
Year-to-date Commissions	\$41,757.11