

COMMISSION STATEMENT

October 31, 2018

ERIC BELZ
1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: C40 C41
BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/10/2018 (None)	VARIOUS, BELZ ERIC	PUTNAM	B	0.00	27.36	0.00	27.36	90.0	24.62
10/11/2018 (None)	VARIOUS, BELZ ERIC	ADVISOR GUIDED TRAILS	B	0.00	13.89	0.00	13.89	90.0	12.50
10/11/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER 683793400	B	0.00	15.99	0.00	15.99	90.0	14.39
10/22/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER VARIOUS	B	0.00	8.00	0.00	8.00	90.0	7.20
09/17/2018 00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	90.0	4.50
09/17/2018 00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	90.0	4.50
10/15/2018 00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	90.0	4.50
10/15/2018 00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				<u>\$400.00</u>	<u>\$85.24</u>	<u>\$0.00</u>	<u>\$85.24</u>		<u>\$76.71</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$400.00</u>	<u>\$85.24</u>	<u>\$0.00</u>	<u>\$85.24</u>		<u>\$76.71</u>

SPLIT TRANSACTIONS**BROKER: RICHARD BELZ #77**

10/10/2018 (None)	VARIOUS, BELZ RICHARD	PUTNAM	B	0.00	76.00	0.00	76.00		68.40
10/22/2018 (None)	VARIOUS, BELZ RICHARD	JOHN HANCOCK FUNDS VARIOUS	B	0.00	0.06	0.00	0.06		0.05
10/05/2018 0000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	19.38	0.78	0.00	0.78		0.70
10/22/2018 0000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	19.38	0.78	0.00	0.78		0.70
10/05/2018 00000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	34.00	0.77	0.00	0.77		0.69
10/22/2018 00000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	34.00	0.77	0.00	0.77		0.69
10/04/2018 0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A		B	5.44	0.16	0.00	0.16		0.14
10/16/2018 0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A		B	5.44	0.16	0.00	0.16		0.14
10/05/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	25.00	0.25	0.00	0.25		0.23
10/22/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	25.00	0.25	0.00	0.25		0.23
10/04/2018 0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B		B	18.13	0.54	0.00	0.54		0.49
10/16/2018 0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B		B	18.13	0.54	0.00	0.54		0.49
10/04/2018 0000000004468094	COLEMAN, MUTUAL DISC FND - CL A		B	7.25	0.22	0.00	0.22		0.20
10/16/2018 0000000004468094	COLEMAN, MUTUAL DISC FND - CL A		B	7.25	0.22	0.00	0.22		0.20
09/25/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	6.25	0.31	0.00	0.31		0.28
10/09/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	6.25	0.31	0.00	0.31		0.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/23/2018	000000005055304 SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
10/05/2018	000010186458322 VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.19	0.00	0.19		0.17
10/22/2018	000010186458322 VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.19	0.00	0.19		0.17
10/05/2018	000015813591680 SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	19.38	0.78	0.00	0.78		0.70
10/22/2018	000015813591680 SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	19.38	0.78	0.00	0.78		0.70
10/04/2018	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.16	0.00	0.16		0.14
10/16/2018	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.16	0.00	0.16		0.14
09/25/2018	000090230176100 SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
10/09/2018	000090230176100 SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
10/23/2018	000090230176100 SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
10/02/2018	00056956375 FERRADO, MICHAEL	AMCAP FUND-A	B	20.00	0.85	0.00	0.85		0.77
10/01/2018	00063004460 SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23		0.21
10/02/2018	00075280585 MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
10/02/2018	00075280585 MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
10/15/2018	00075280585 MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
10/15/2018	00075280585 MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
10/15/2018	00084040075 WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.50	0.02	0.00	0.02		0.02
10/15/2018	00084040075 WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.50	0.02	0.00	0.02		0.02
10/15/2018	00088094822 WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	0.75	0.01	0.00	0.01		0.01
09/18/2018	00453533309 BURNS, KATHLEEN	PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
09/18/2018	00453533309 BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	7.50	0.21	0.00	0.21		0.19
10/02/2018	00453533309 BURNS, KATHLEEN	PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
10/02/2018	00453533309 BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	7.50	0.21	0.00	0.21		0.19
10/15/2018	00453533309 BURNS, KATHLEEN	PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
10/15/2018	00453533309 BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	7.50	0.21	0.00	0.21		0.19
10/11/2018	00487862253 PERUGINI, MILDRED	PUTNAM 746745108	B	5.00	0.14	0.00	0.14		0.13
10/11/2018	00487862253 PERUGINI, MILDRED	PUTNAM 746802800	B	7.50	0.21	0.00	0.21		0.19
10/11/2018	00487862253 PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	7.50	0.21	0.00	0.21		0.19
09/17/2018	00493247534 PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
10/16/2018	00493247534 PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
09/24/2018	00501256730 KUNSCH, STEPHEN	PUTNAM 746802800	B	5.00	0.25	0.00	0.25		0.23
10/09/2018	00501256730 KUNSCH, STEPHEN	PUTNAM 746802800	B	5.00	0.25	0.00	0.25		0.23
09/24/2018	00503977532 SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
09/24/2018	00503977532 SCHAEFER, DOREEN	PUTNAM MULTI-CAP CORE FUND-A	B	1.25	0.06	0.00	0.06		0.05
10/09/2018	00503977532 SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
10/09/2018	00503977532 SCHAEFER, DOREEN	PUTNAM MULTI-CAP CORE FUND-A	B	1.25	0.06	0.00	0.06		0.05
09/18/2018	00514953902 STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
09/18/2018	00514953902 STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	5.00	0.19	0.00	0.19		0.17
10/02/2018	00514953902 STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
10/02/2018	00514953902 STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	5.00	0.19	0.00	0.19		0.17

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/15/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19	0.17
10/15/2018	00514953902	STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	5.00	0.19	0.00	0.19	0.17
09/24/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	15.05	0.30	0.00	0.30	0.27
09/24/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	10.15	0.20	0.00	0.20	0.18
09/24/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.20	0.00	0.20	0.18
10/09/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	15.05	0.41	0.00	0.41	0.37
10/09/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	10.15	0.28	0.00	0.28	0.25
10/09/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.27	0.00	0.27	0.24
09/17/2018	00550827572	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
10/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
09/18/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
09/18/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
09/18/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
10/02/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
10/02/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
10/02/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
10/15/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
10/15/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
10/15/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
09/24/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
09/24/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
09/24/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
09/24/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
10/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
10/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
10/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
10/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
09/18/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
09/18/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
09/18/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
10/02/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
10/02/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
10/02/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
10/15/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
10/15/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
10/15/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
10/11/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	552.16	0.00	552.16	496.94
10/22/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER VARIOUS	B	0.00	80.55	0.00	80.55	72.50
10/10/2018	2158104580	VARIOUS, BELZ	OPP 683953202	B	0.00	53.91	0.00	53.91	48.52
10/04/2018	QGW037129	GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F	S	-348.62	2.00	0.00	2.00	1.80

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/19/2018	QGW031783 JACKSON, FRANK	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08		8.11
10/04/2018	QGW033375 AMENITA, PATRICIA	BLUE BIRD CORPORATION COM	B	125.14	2.50	0.60	1.90		1.65
10/04/2018	QGW034027 HALL, JONATHAN	BLUE BIRD CORPORATION COM	B	124.99	2.50	0.60	1.90		1.65
10/04/2018	QGW034415 CHAIMOVITCH, BERNARD	BLUE BIRD CORPORATION COM	B	125.33	2.50	0.60	1.90		1.65
09/28/2018	QGW035123 BOWLEY, KEVIN	TRANSOCEAN LTD US LISTED	S	-1,012.50	11.14	0.60	10.54		9.43
10/01/2018	QGW035172 O'NEILL, RORY	OPKO HEALTH INC	S	-688.00	3.50	0.60	2.90		2.55
10/04/2018	QGW035180 PUE, MARYANN	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90		1.65
10/15/2018	QGW035198 WULFF, HANS	SNAP INC CLASS A COMMON STOCK	S	-364.66	2.10	0.60	1.50		1.29
10/08/2018	QGW035206 SKAHILL, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	S	-866.33	8.17	0.60	7.57		6.75
10/09/2018	QGW035206 SKAHILL, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	S	-114.67	1.08	0.60	0.48		0.37
10/01/2018	QGW035214 BIANCO, BARBARA	BLUE BIRD CORPORATION COM	B	119.54	2.50	0.60	1.90		1.65
10/01/2018	QGW035222 BIANCO, BARBARA	BLUE BIRD CORPORATION COM	B	119.54	2.50	0.60	1.90		1.65
10/04/2018	QGW035255 BROCHARD, MARIA	BLUE BIRD CORPORATION COM	B	124.74	2.50	0.60	1.90		1.65
10/04/2018	QGW035255 BROCHARD, MARIA	ENERGOUS CORP COM	S	-494.37	3.00	0.60	2.40		2.10
10/18/2018	QGW035313 SIERRA, KENNETH	VUZIX CORP COM NEW	B	675.00	8.71	0.60	8.11		7.24
10/19/2018	QGW035313 SIERRA, KENNETH	VUZIX CORP COM NEW	B	75.00	1.09	0.60	0.49		0.38
10/04/2018	QGW035354 MODUGNO, JUDITH	BLUE BIRD CORPORATION COM	B	114.43	2.30	0.60	1.70		1.47
10/01/2018	QGW035396 MOYNIHAN, MICHAEL	SNAP INC CLASS A COMMON STOCK	S	-418.75	2.25	0.60	1.65		1.43
10/17/2018	QGW035396 MOYNIHAN, MICHAEL	INTERNATIONAL BUSINESS MACHINES CORP	B	5,337.50	33.09	0.60	32.49		29.18
10/18/2018	QGW035396 MOYNIHAN, MICHAEL	NATIONAL-OILWELL VARCO INC	B	1,320.00	14.52	0.60	13.92		12.47
10/19/2018	QGW035396 MOYNIHAN, MICHAEL	NATIONAL-OILWELL VARCO INC	B	3,080.00	19.10	0.60	18.50		16.59
10/04/2018	QGW035404 RICCA, MARIA	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90		1.65
10/19/2018	QGW035404 RICCA, MARIA	BANK OF AMERICA CORP	B	1,282.50	14.11	0.60	13.51		12.10
10/04/2018	QGW035412 AMENITA, PATRICIA	BLUE BIRD CORPORATION COM	B	125.24	2.50	0.60	1.90		1.65
10/04/2018	QGW035545 KMIECIAK, INES	BLUE BIRD CORPORATION COM	B	125.24	2.50	0.60	1.90		1.65
10/01/2018	QGW035552 KMIECIAK, ZBIGNIEW	BLUE BIRD CORPORATION COM	B	9.66	0.20	0.60	-0.40		-0.42
10/04/2018	QGW035552 KMIECIAK, ZBIGNIEW	BLUE BIRD CORPORATION COM	B	115.12	2.50	0.60	1.90		1.65
10/18/2018	QGW035552 KMIECIAK, ZBIGNIEW	VUZIX CORP COM NEW	B	262.50	3.81	0.60	3.21		2.83
10/19/2018	QGW035552 KMIECIAK, ZBIGNIEW	VUZIX CORP COM NEW	B	487.50	7.07	0.60	6.47		5.76
10/04/2018	QGW035560 AMENITA, FREDERICK	BLUE BIRD CORPORATION COM	B	124.74	2.50	0.60	1.90		1.65
10/01/2018	QGW035578 MUSSLER, LINDA	BLUE BIRD CORPORATION COM	B	119.79	2.50	0.60	1.90		1.65
10/05/2018	QGW035594 DUSATKO, ANNE	ENERGOUS CORP COM	S	-1,296.63	4.75	0.60	4.15		3.68
10/05/2018	QGW035594 DUSATKO, ANNE	SNAP INC CLASS A COMMON STOCK	S	-461.50	3.50	0.60	2.90		2.55
10/19/2018	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	B	562.50	7.26	0.60	6.66		5.93
10/19/2018	QGW035610 DAVIS, VICTORIA	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08		8.11
10/19/2018	QGW035644 MONGELUZZI, LINDA	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78		10.54
10/04/2018	QGW035669 KOLLAR, ROBERT	BLUE BIRD CORPORATION COM	B	124.99	2.50	0.60	1.90		1.65
10/04/2018	QGW035669 KOLLAR, ROBERT	ENERGOUS CORP COM	S	-492.81	3.50	0.60	2.90		2.55
10/04/2018	QGW035669 KOLLAR, ROBERT	SNAP INC CLASS A COMMON STOCK	S	-383.20	2.50	0.60	1.90		1.65
10/19/2018	QGW035669 KOLLAR, ROBERT	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08		8.11

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/04/2018	QGW035677 KOLLAR, MAURA	BLUE BIRD CORPORATION COM	B	124.99	2.50	0.60	1.90		1.65
10/01/2018	QGW035685 EICHENHOLZ, SONDR	SNAP INC CLASS A COMMON STOCK	S	-331.33	2.00	0.60	1.40		1.20
10/01/2018	QGW035727 HERDTER, CYNTHIA	BLUE BIRD CORPORATION COM	B	119.54	2.50	0.60	1.90		1.65
10/03/2018	QGW035735 STELLATO, MICHAEL	SACHEM CAPITAL CORP COMMON SHARES	S	-42.75	0.38	0.60	-0.22		-0.26
10/04/2018	QGW035735 STELLATO, MICHAEL	SACHEM CAPITAL CORP COMMON SHARES	S	-1,042.25	9.37	0.60	8.77		7.83
10/04/2018	QGW035743 KUDLIK, CHRIS	BLUE BIRD CORPORATION COM	B	125.24	2.50	0.60	1.90		1.65
10/04/2018	QGW035768 MASSA, PATRICIA	BLUE BIRD CORPORATION COM	B	125.14	2.50	0.60	1.90		1.65
10/19/2018	QGW035768 MASSA, PATRICIA	VUZIX CORP COM NEW	B	562.50	7.26	0.60	6.66		5.93
10/03/2018	QGW035776 ZIMMERMAN, FRANCINE	SNAP INC CLASS A COMMON STOCK	S	-612.93	2.75	0.60	2.15		1.88
10/09/2018	QGW035776 ZIMMERMAN, FRANCINE	ENERGIOUS CORP COM	S	-454.21	4.25	0.60	3.65		3.23
10/19/2018	QGW035776 ZIMMERMAN, FRANCINE	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08		8.11
10/23/2018	QGW035776 ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	B	295.00	4.28	0.60	3.68		3.25
10/24/2018	QGW035776 ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	B	1,180.00	12.98	0.60	12.38		11.08
10/26/2018	QGW035776 ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	B	1,475.00	16.23	0.60	15.63		14.01
10/04/2018	QGW035818 COLON, IRMA	BLUE BIRD CORPORATION COM	B	125.33	2.50	0.60	1.90		1.65
10/03/2018	QGW035834 CHAUDHRY, JAHANZEB	SACHEM CAPITAL CORP COMMON SHARES	S	-1,080.00	5.75	0.60	5.15		4.58
10/04/2018	QGW035834 CHAUDHRY, JAHANZEB	BLUE BIRD CORPORATION COM	B	124.74	2.50	0.60	1.90		1.65
10/04/2018	QGW035834 CHAUDHRY, JAHANZEB	SNAP INC CLASS A COMMON STOCK	S	-390.14	3.00	0.60	2.40		2.10
10/19/2018	QGW035834 CHAUDHRY, JAHANZEB	SACHEM CAPITAL CORP COMMON SHARES	S	-1,115.00	19.50	0.60	18.90		16.95
10/04/2018	QGW035883 BUDKEVICS, YANA	ALTRA INDL MOTION CORP COM	S	-455.62	2.50	0.60	1.90		1.65
10/04/2018	QGW035883 BUDKEVICS, YANA	BLUE BIRD CORPORATION COM	B	124.34	2.50	0.60	1.90		1.65
10/19/2018	QGW035883 BUDKEVICS, YANA	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08		8.11
10/01/2018	QGW035925 MOTT, LINDA	BLUE BIRD CORPORATION COM	B	119.79	2.50	0.60	1.90		1.65
10/04/2018	QGW035925 MOTT, LINDA	SNAP INC CLASS A COMMON STOCK	S	-310.53	2.75	0.60	2.15		1.88
10/01/2018	QGW035982 PANASUK, JOHN	SACHEM CAPITAL CORP COMMON SHARES	S	-1,055.20	5.75	0.60	5.15		4.58
10/09/2018	QGW035982 PANASUK, JOHN	ENERGIOUS CORP COM	S	-1,351.95	4.25	0.60	3.65		3.23
10/19/2018	QGW035982 PANASUK, JOHN	SACHEM CAPITAL CORP COMMON SHARES	S	-777.00	10.75	0.60	10.15		9.08
10/04/2018	QGW036030 BALLO, CATHERINE	BLUE BIRD CORPORATION COM	B	125.48	2.50	0.60	1.90		1.65
10/09/2018	QGW036030 BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	S	-999.00	19.00	0.60	18.40		16.50
10/19/2018	QGW036030 BALLO, CATHERINE	BANK OF AMERICA CORP	B	2,950.00	18.29	0.60	17.69		15.86
10/19/2018	QGW036030 BALLO, CATHERINE	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78		10.54
10/09/2018	QGW036048 FITZPATRICK, BARRY	ENERGIOUS CORP COM	S	-454.15	4.25	0.60	3.65		3.23
10/10/2018	QGW036055 MATAOTTA, CORINNA	COHERUS BIOSCIENCES INC COM	B	100.00	1.45	0.60	0.85		0.71
10/19/2018	QGW036055 MATAOTTA, CORINNA	COHERUS BIOSCIENCES INC COM	B	900.00	11.61	0.60	11.01		9.85
10/19/2018	QGW036055 MATAOTTA, CORINNA	VUZIX CORP COM NEW	B	375.00	5.44	0.60	4.84		4.30
10/05/2018	QGW036063 PICCININNI,	SACHEM CAPITAL CORP COMMON SHARES	S	-89.62	0.58	0.60	-0.02		-0.08
10/08/2018	QGW036063 PICCININNI,	SACHEM CAPITAL CORP COMMON SHARES	S	-800.08	5.17	0.60	4.57		4.05
10/04/2018	QGW036097 RUANE, JOHN	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90		1.65
10/04/2018	QGW036113 ARDOLINO, SUSAN	BLUE BIRD CORPORATION COM	B	98.79	2.00	0.60	1.40		1.20
10/04/2018	QGW036121 CZACHOR, MICHAEL	BLUE BIRD CORPORATION COM	B	125.33	2.50	0.60	1.90		1.65

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/02/2018	QGW036204	VODOLA, RICHARD	SACHEM CAPITAL CORP COMMON SHARES	S	-106.00	1.00	0.60	0.40	0.30
10/04/2018	QGW036212	TUIFEL, JOHN	PAYPAL HOLDINGS INC COM	S	-558.98	2.75	0.60	2.15	1.88
10/02/2018	QGW036238	LENTO, JOHN	SACHEM CAPITAL CORP COMMON SHARES	S	-424.00	1.50	0.60	0.90	0.75
10/04/2018	QGW036246	LENTO, REGINA	BLUE BIRD CORPORATION COM	B	125.19	2.25	0.60	1.65	1.43
09/27/2018	QGW036295	VERGONA, ANTOINETTE	BLUE BIRD CORPORATION COM	B	120.78	2.50	0.60	1.90	1.65
09/27/2018	QGW036295	VERGONA, ANTOINETTE	NEW RESIDENTIAL INVESTMENT CORP	B	1,096.80	9.50	0.60	8.90	7.95
10/19/2018	QGW036386	FRANCK, RACHEL	NATIONAL-OILWELL VARCO INC	B	645.00	8.32	0.60	7.72	6.89
10/19/2018	QGW036386	FRANCK, RACHEL	VUZIX CORP COM NEW	B	450.00	6.53	0.60	5.93	5.28
10/04/2018	QGW036725	CIRNIGLIARO,	BLUE BIRD CORPORATION COM	B	125.24	2.50	0.60	1.90	1.65
10/01/2018	QGW036956	BROCHARD, THOMAS	BLUE BIRD CORPORATION COM	B	119.54	2.50	0.60	1.90	1.65
10/04/2018	QGW037111	GILMARTIN, MARGARET	BLUE BIRD CORPORATION COM	B	124.99	2.50	0.60	1.90	1.65
10/04/2018	QGW038531	MUSSLER, LINDA	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90	1.65
10/19/2018	QGW038531	MUSSLER, LINDA	BANK OF AMERICA CORP	B	2,137.50	18.81	0.60	18.21	16.33
10/04/2018	QGW038556	LAPINTA, LEONARD	ENERGIOUS CORP COM	S	-492.78	3.25	0.60	2.65	2.33
09/28/2018	QGW038960	ROMANO, LOUIS	NATIONAL-OILWELL VARCO INC	B	1,780.00	15.66	0.60	15.06	13.49
10/19/2018	QGW038960	ROMANO, LOUIS	BANK OF AMERICA CORP	B	2,137.50	18.81	0.60	18.21	16.33
10/04/2018	QGW038978	KMIECIAK, INES	BLUE BIRD CORPORATION COM	B	125.24	2.50	0.60	1.90	1.65
10/19/2018	QGW039125	WHITE, KERRY	BANK OF AMERICA CORP	B	1,282.50	14.11	0.60	13.51	12.10
10/19/2018	QGW039216	MCCARTHY, MARCIA	OPKO HEALTH INC	B	1,000.00	12.90	0.60	12.30	11.01
10/19/2018	QGW039216	MCCARTHY, MARCIA	VUZIX CORP COM NEW	B	375.00	5.44	0.60	4.84	4.30
10/19/2018	QGW039240	MONGELUZZI, LINDA	VUZIX CORP COM NEW	B	562.50	7.26	0.60	6.66	5.93
10/19/2018	QGW039257	EVANGELISTA, DAVID	VUZIX CORP COM NEW	B	562.50	7.26	0.60	6.66	5.93
10/03/2018	QGW039349	PLAN	ALTRA INDL MOTION CORP COM	S	-461.29	1.00	0.60	0.40	0.30
10/04/2018	QGW039562	BENZA, GREGORY	BLUE BIRD CORPORATION COM	B	111.50	2.00	0.60	1.40	1.20
10/03/2018	QGW039570	KMIECIAK, STEFANIA	SACHEM CAPITAL CORP COMMON SHARES	S	-369.75	1.87	0.60	1.27	1.08
10/04/2018	QGW039570	KMIECIAK, STEFANIA	BLUE BIRD CORPORATION COM	B	125.14	2.50	0.60	1.90	1.65
10/08/2018	QGW039570	KMIECIAK, STEFANIA	SACHEM CAPITAL CORP COMMON SHARES	S	-717.75	3.63	0.60	3.03	2.67
10/19/2018	QGW039570	KMIECIAK, STEFANIA	VUZIX CORP COM NEW	B	375.00	5.44	0.60	4.84	4.30
09/27/2018	QGW039596	FARRELLY, JOSEPH	NEW RESIDENTIAL INVESTMENT CORP	B	2,286.15	14.75	0.60	14.15	12.68
10/19/2018	QGW039596	FARRELLY, JOSEPH	BANK OF AMERICA CORP	B	2,287.50	20.13	0.60	19.53	17.52
09/27/2018	QGW039604	DAVIS, VICTORIA	BLUE BIRD CORPORATION COM	B	121.28	2.50	0.60	1.90	1.65
10/19/2018	QGW039612	DUSATKO, FRANK	INTERNATIONAL BUSINESS MACHINES CORP	B	4,900.00	30.38	0.60	29.78	26.74
10/19/2018	QGW039745	LEWIS, THOMAS	COHERUS BIOSCIENCES INC COM	B	1,000.00	12.90	0.60	12.30	11.01
10/04/2018	QGW039752	RICCA, CARLO	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90	1.65
10/17/2018	QGW039752	RICCA, CARLO	BANK OF AMERICA CORP	B	600.00	7.74	0.60	7.14	6.37
10/19/2018	QGW039752	RICCA, CARLO	BANK OF AMERICA CORP	B	1,650.00	14.52	0.60	13.92	12.47
10/04/2018	QGW039844	KNECHT, MICHAEL	BLUE BIRD CORPORATION COM	B	125.24	2.50	0.60	1.90	1.65
10/04/2018	QGW039869	OHARA, JOHN	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90	1.65
10/19/2018	QGW039869	OHARA, JOHN	BANK OF AMERICA CORP	B	1,425.00	15.68	0.60	15.08	13.51
10/01/2018	QGW039943	NESTOR, MARYLOU	BLUE BIRD CORPORATION COM	B	119.79	2.50	0.60	1.90	1.65

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/04/2018	QGW039968	MAGGIOTTO, DONNA	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90	1.65
10/04/2018	QGW040214	LAPINTA, LEONARD	BLUE BIRD CORPORATION COM	B	124.49	2.50	0.60	1.90	1.65
10/22/2018	(None)	VARIOUS, BELZ RICHARD	GWG BONDS	B	0.00	148.75	0.60	148.15	133.28
10/23/2018	QGW031783	JACKSON, FRANK	CALL 100 VUZIX CORP COM NEW EXP 11-	S	-15.00	1.45	0.85	0.60	0.46
10/25/2018	QGW034415	CHAIMOVITCH, BERNARD	PUT 100 VUZIX CORP COM NEW EXP 12-2	S	-41.00	3.05	0.85	2.20	1.90
10/01/2018	QGW035123	BOWLEY, KEVIN	PUT 100 COHERUS BIOSCIENCES INC COM	S	-90.00	4.95	0.75	4.20	3.71
10/24/2018	QGW035198	WULFF, HANS	PUT 100 VUZIX CORP COM NEW EXP 01-1	S	-62.50	4.95	0.91	4.04	3.55
10/24/2018	QGW035206	SKAHILL, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 01-1	S	-50.00	4.55	0.85	3.70	3.25
10/03/2018	QGW035362	MOON, STEVEN	CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-47.50	4.95	0.84	4.11	3.62
10/01/2018	QGW035396	MOYNIHAN, MICHAEL	PUT 100 BANK OF AMERICA CORPORATION	S	-46.50	4.95	0.79	4.16	3.67
10/01/2018	QGW035396	MOYNIHAN, MICHAEL	PUT 100 DISNEY WALT CO DISNEY COM E	S	-57.20	4.95	0.70	4.25	3.76
10/01/2018	QGW035396	MOYNIHAN, MICHAEL	PUT 100 INTERNATIONAL BUSINESS MACH	S	-108.50	4.95	0.69	4.26	3.77
10/01/2018	QGW035396	MOYNIHAN, MICHAEL	PUT 100 NATIONAL OILWELL VARCO INC	S	-89.00	4.95	0.85	4.10	3.61
10/04/2018	QGW035396	MOYNIHAN, MICHAEL	PUT 100 COHERUS BIOSCIENCES INC COM	S	-90.00	4.95	0.73	4.22	3.73
10/04/2018	QGW035404	RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 11-1	S	-50.00	4.90	1.23	3.67	3.18
10/11/2018	QGW035404	RICCA, MARIA	PUT 100 BANK OF AMERICA CORPORATION	S	-18.00	1.60	0.71	0.89	0.73
10/09/2018	QGW035479	ROBERTS, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 11-	S	-20.00	1.60	0.73	0.87	0.71
10/16/2018	QGW035511	LYDEN, MARY	CALL 100 BUCKEYE PARTNERS L P UNIT	S	-21.00	2.10	0.73	1.37	1.16
10/24/2018	QGW035552	KMIECIAK, ZBIGNIEW	PUT 100 VUZIX CORP COM NEW EXP 01-1	S	-75.00	4.95	0.98	3.97	3.48
10/01/2018	QGW035594	DUSATKO, ANNE	PUT 100 BANK OF AMERICA CORPORATION	S	-60.00	4.95	0.85	4.10	3.61
10/09/2018	QGW035594	DUSATKO, ANNE	CALL 100 BUCKEYE PARTNERS L P UNIT	S	-27.50	2.70	0.73	1.97	1.70
10/03/2018	QGW035610	DAVIS, VICTORIA	CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-12.50	1.55	0.66	0.89	0.74
10/30/2018	QGW035636	SEIDEL, ANNE	PUT 100 VUZIX CORP COM NEW EXP 12-2	S	-63.00	4.95	0.98	3.97	3.48
10/01/2018	QGW035644	MONGELUZZI, LINDA	PUT 100 BANK OF AMERICA CORPORATION	S	-62.00	4.95	0.85	4.10	3.61
10/23/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 VUZIX CORP COM NEW EXP 11-	S	-22.50	1.50	0.98	0.52	0.37
10/04/2018	QGW035669	KOLLAR, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 11-1	S	-37.50	3.90	1.23	2.67	2.28
10/23/2018	QGW035669	KOLLAR, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 11-	S	-15.00	1.45	0.85	0.60	0.46
10/01/2018	QGW035685	EICHENHOLZ, SONDR	PUT 100 BANK OF AMERICA CORPORATION	S	-46.50	4.40	0.79	3.61	3.17
10/16/2018	QGW035685	EICHENHOLZ, SONDR	PUT 100 VUZIX CORP COM NEW EXP 11-1	S	-35.00	3.25	0.85	2.40	2.08
10/03/2018	QGW035735	STELLATO, MICHAEL	CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-50.00	4.75	0.85	3.90	3.43
10/03/2018	QGW035735	STELLATO, MICHAEL	PUT 100 BANK OF AMERICA CORPORATION	S	-81.00	1.75	0.85	0.90	0.73
10/04/2018	QGW035768	MASSA, PATRICIA	PUT 100 COHERUS BIOSCIENCES INC COM	S	-138.75	4.95	0.79	4.16	3.67
10/23/2018	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 01-	S	-15.00	0.46	0.68	-0.22	-0.27
10/10/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 BANK OF AMERICA CORPORATION	S	-47.00	3.80	0.85	2.95	2.57
10/03/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 BUCKEYE PARTNERS L P UNIT	S	-37.50	3.45	0.79	2.66	2.32
10/23/2018	QGW035883	BUDKEVICS, YANA	CALL 100 VUZIX CORP COM NEW EXP 11-	S	-17.00	1.65	0.85	0.80	0.64
10/01/2018	QGW035891	DICKIE, JAYNE	PUT 100 BANK OF AMERICA CORPORATION	S	-31.50	2.95	0.73	2.22	1.93
10/01/2018	QGW035982	PANASUK, JOHN	PUT 100 BANK OF AMERICA CORPORATION	S	-63.00	4.95	0.85	4.10	3.61
10/04/2018	QGW035982	PANASUK, JOHN	PUT 100 COHERUS BIOSCIENCES INC COM	S	-90.00	4.95	0.73	4.22	3.73
10/24/2018	QGW036006	WALLNER, ERIC	PUT 100 VUZIX CORP COM NEW EXP 11-1	S	-22.50	2.00	0.98	1.02	0.82

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/04/2018	QGW036030	BALLO, CATHERINE	CALL 100	MEDALLION FINL CORP COM EX S	-30.00	3.10	0.98	2.12	1.81
10/04/2018	QGW036030	BALLO, CATHERINE	PUT 100	COHERUS BIOSCIENCES INC COM S	-90.00	4.95	0.73	4.22	3.73
10/10/2018	QGW036030	BALLO, CATHERINE	PUT 100	BANK OF AMERICA CORPORATION S	-37.00	3.10	0.85	2.25	1.94
10/16/2018	QGW036030	BALLO, CATHERINE	CALL 100	BUCKEYE PARTNERS L P UNIT S	-20.00	1.95	0.73	1.22	1.03
10/23/2018	QGW036030	BALLO, CATHERINE	CALL 100	VUZIX CORP COM NEW EXP 11- S	-36.00	3.00	1.16	1.84	1.54
10/24/2018	QGW036048	FITZPATRICK, BARRY	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-45.00	4.40	0.98	3.42	2.98
10/09/2018	QGW036055	MATACOTTA, CORINNA	CALL 100	VUZIX CORP COM NEW EXP 01- S	-37.50	3.25	0.73	2.52	2.20
10/26/2018	QGW036154	CALLAHAN, PATRICK	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-40.00	3.05	0.85	2.20	1.90
10/04/2018	QGW036162	BENZA, GREGORY	CALL 100	MEDALLION FINL CORP COM EX S	-20.00	2.20	0.85	1.35	1.13
10/30/2018	QGW036196	SHANKS, RICHARD	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-40.00	3.55	0.85	2.70	2.35
10/10/2018	QGW036394	LIPANI, CHRISTINE	PUT 100	VUZIX CORP COM NEW EXP 11-1 S	-25.00	2.00	0.85	1.15	0.95
10/26/2018	QGW037087	01/19/2016, U/A	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-40.00	3.05	0.85	2.20	1.90
10/01/2018	QGW037103	BURNS, KATHLEEN	PUT 100	BANK OF AMERICA CORPORATION S	-48.00	4.40	0.79	3.61	3.17
10/04/2018	QGW037103	BURNS, KATHLEEN	PUT 100	COHERUS BIOSCIENCES INC COM S	-127.50	4.95	0.79	4.16	3.67
10/16/2018	QGW037103	BURNS, KATHLEEN	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-60.00	4.95	0.85	4.10	3.61
10/11/2018	QGW038531	MUSSLER, LINDA	PUT 100	BANK OF AMERICA CORPORATION S	-25.50	2.25	0.79	1.46	1.24
10/16/2018	QGW038531	MUSSLER, LINDA	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-6.50	0.57	0.63	-0.06	-0.12
10/01/2018	QGW038556	LAPINTA, LEONARD	PUT 100	BANK OF AMERICA CORPORATION S	-59.00	4.95	0.85	4.10	3.61
10/04/2018	QGW038556	LAPINTA, LEONARD	PUT 100	COHERUS BIOSCIENCES INC COM S	-92.50	4.95	0.73	4.22	3.73
10/30/2018	QGW038770	RICCA, MARIA	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-40.00	3.15	0.85	2.30	1.99
10/30/2018	QGW038770	RICCA, MARIA	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	40.00	-3.15	-0.85	-2.30	-1.99 C
10/30/2018	QGW038770	RICCA, MARIA	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-40.00	3.15	0.85	2.30	1.99
10/01/2018	QGW038960	ROMANO, LOUIS	CALL 100	NATIONAL OILWELL VARCO INC S	-34.40	3.15	0.70	2.45	2.14
10/01/2018	QGW038960	ROMANO, LOUIS	PUT 100	COHERUS BIOSCIENCES INC COM S	-97.50	4.95	0.79	4.16	3.67
10/11/2018	QGW038960	ROMANO, LOUIS	PUT 100	BANK OF AMERICA CORPORATION S	-34.50	3.10	0.79	2.31	2.00
10/03/2018	QGW039125	WHITE, KERRY	CALL 100	BUCKEYE PARTNERS L P UNIT S	-21.50	2.10	0.73	1.37	1.16
10/11/2018	QGW039125	WHITE, KERRY	PUT 100	BANK OF AMERICA CORPORATION S	-19.35	1.90	0.71	1.19	1.00
10/03/2018	QGW039240	MONGELUZZI, LINDA	CALL 100	BUCKEYE PARTNERS L P UNIT S	-18.00	1.60	0.71	0.89	0.73
10/03/2018	QGW039240	MONGELUZZI, LINDA	CALL 100	BUCKEYE PARTNERS L P UNIT S	18.00	-1.60	-0.71	-0.89	-0.73 C
10/03/2018	QGW039240	MONGELUZZI, LINDA	CALL 100	BUCKEYE PARTNERS L P UNIT S	-18.00	1.60	0.71	0.89	0.73
10/04/2018	QGW039570	KMIECIAK, STEFANIA	CALL 100	MEDALLION FINL CORP COM EX S	-20.00	2.00	0.85	1.15	0.95
10/09/2018	QGW039570	KMIECIAK, STEFANIA	CALL 100	VUZIX CORP COM NEW EXP 11- S	-20.00	1.90	0.73	1.17	0.98
10/26/2018	QGW039596	FARRELLY, JOSEPH	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-60.00	4.40	0.98	3.42	2.98
10/26/2018	QGW039604	DAVIS, VICTORIA	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	-40.00	3.05	0.85	2.20	1.90
10/26/2018	QGW039604	DAVIS, VICTORIA	PUT 100	VUZIX CORP COM NEW EXP 12-2 S	40.00	-3.05	-0.85	-2.20	-1.90 C
10/01/2018	QGW039612	DUSATKO, FRANK	PUT 100	BANK OF AMERICA CORPORATION S	-60.00	4.95	0.85	4.10	3.61
10/11/2018	QGW039612	DUSATKO, FRANK	PUT 100	INTERNATIONAL BUSINESS MACH S	-113.75	4.95	0.69	4.26	3.77
10/16/2018	QGW039612	DUSATKO, FRANK	CALL 100	COHERUS BIOSCIENCES INC CO S	-97.50	4.95	0.79	4.16	3.67
10/04/2018	QGW039745	LEWIS, THOMAS	PUT 100	VUZIX CORP COM NEW EXP 11-1 S	-37.50	3.90	1.23	2.67	2.28
10/16/2018	QGW039844	KNECHT, MICHAEL	PUT 100	VUZIX CORP COM NEW EXP 11-1 S	-35.00	2.25	0.85	1.40	1.18

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
10/11/2018	QGW039869	OHARA, JOHN		PUT 100 BANK OF AMERICA CORPORATION S	-16.50	1.50	0.73	0.77	0.62
10/25/2018	QGW040008	CARUSO, MICHAEL		PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-35.00	2.75	0.85	1.90	1.63
10/26/2018	QGW040115	BROCHARD, MARIA		PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-55.00	4.45	0.85	3.60	3.16
10/16/2018	QGW031783	JACKSON, FRANK		FRANKLIN GROWTH FUND CLASS A B	0.00	0.23	0.00	0.23	0.21
10/11/2018	QGW034035	FAMILY 2012 IRREV		FEDERATED KAUFMANN FUND B	0.00	1.09	0.00	1.09	0.98
10/11/2018	QGW034035	FAMILY 2012 IRREV		FEDERATED MDT LARGE CAP VALUE FUND B	0.00	1.33	0.00	1.33	1.20
10/12/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746745108 B	0.00	1.11	0.00	1.11	1.00
10/12/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746745207 B	0.00	0.07	0.00	0.07	0.06
10/12/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746802511 B	0.00	0.56	0.00	0.56	0.50
10/17/2018	QGW035503	SIMPSON, DAVID		GLOBAL OPPORTUNITIES A B	0.00	0.07	0.00	0.07	0.06
10/10/2018	QGW036774	STOCKWELL, GLENN		DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	0.57	0.00	0.57	0.51
10/16/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN GROWTH AND INC A B	0.00	0.22	0.00	0.22	0.20
10/16/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	0.66	0.00	0.66	0.59
10/18/2018	QGW036774	STOCKWELL, GLENN		DEUTSCHE HIGH INCOME FUND CLASS A B	0.00	0.31	0.00	0.31	0.28
10/24/2018	QGW036774	STOCKWELL, GLENN		INVESCO GLOBAL REAL ESTATE INCOME F B	0.00	1.30	0.00	1.30	1.17
10/16/2018	QGW037129	GILMARTIN, MARGARET		THE HARTFORD CAPITAL APPRECIATION F B	0.00	0.29	0.00	0.29	0.26
10/17/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR BALANCED CLASS A B	0.00	0.15	0.00	0.15	0.14
10/17/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR EQUITY INCOME CL A B	0.00	0.15	0.00	0.15	0.14
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK ADVANTAGE SMALL CAP GROWT B	0.00	0.09	0.00	0.09	0.08
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK BASIC VALUE FUND INC CLAS B	0.00	0.18	0.00	0.18	0.16
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK EMERGING MARKETS FUND, IN B	0.00	0.16	0.00	0.16	0.14
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK CAP APPRECIATION FD INC C B	0.00	0.23	0.00	0.23	0.21
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK GLOBAL ALLOCATION FUND IN B	0.00	0.15	0.00	0.15	0.14
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK VALUE OPPORTUNITIES FUND B	0.00	0.08	0.00	0.08	0.07
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.07	0.00	0.07	0.06
10/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK FDS V HGH YLD BND PORTFOL B	0.00	0.09	0.00	0.09	0.08
10/17/2018	QGW038556	LAPINTA, LEONARD		GLOBAL FUND A B	0.00	0.28	0.00	0.28	0.25
10/17/2018	QGW038556	LAPINTA, LEONARD		OPPENHEIMER DEVELOPING MARKETS CLAS B	0.00	0.32	0.00	0.32	0.29
10/17/2018	QGW038556	LAPINTA, LEONARD		QUEST VALUE A B	0.00	0.41	0.00	0.41	0.37
10/17/2018	QGW039935	MARCOLINI, COREY		ROCHESTER FUND MUNICIPALS A B	0.00	0.53	0.00	0.53	0.48
10/17/2018	QGW039968	MAGGIOTTO, DONNA		ROCHESTER FUND MUNICIPALS A B	0.00	0.53	0.00	0.53	0.48
				* RICHARD BELZ SUBTOTAL *	\$34,450.24	\$1,976.86	\$135.23	\$1,841.63	\$1,644.22
				*** SPLIT TRANSACTIONS TOTAL ***	\$34,450.24	\$1,976.86	\$135.23	\$1,841.63	\$1,644.22

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
10/31/2018		OTHER: TELEPHONE		0.00	0.00	0.00	0.00		-3.25
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-173.25

BROKER COMMISSION TOTALS

Broker Transactions	\$76.71
Split Transactions	\$1,644.22
Override Transactions	\$0.00
Adjustments	\$-173.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.58
SIPC Assessment	\$-5.16

Check Amount	\$1,539.94
Year-to-date Earnings	\$15,492.77