

COMMISSION STATEMENT

September 30, 2018

 JOYCE BRISKIN-D009
 919 Arizona Ash

 BROKER #: D009
 BRANCH: DMO

San Antonio, TX 78232

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/12/2018	338244090	HLUCHANEK, STEVE	MF	EATON VANCE NATIONAL MUNICIPAL	S	-10,042.24	0.00	5.00	-5.00	90.0	-4.50
09/25/2018	368255014	ROERINK, BEVERLY	MF	SMALLCAP WORLD FUND-A	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
09/25/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG INVESTMENT INCOME	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
09/25/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG INTERNATIONAL GROWTH	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
09/25/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG CORE GROWTH CL A	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
09/25/2018	368255014	ROERINK, BEVERLY	MF	VALUE A	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *						\$-14,954.99	\$0.00	\$30.00	\$-30.00		\$-27.00

PRODUCT CATEGORY: STOCKS

09/04/2018	122134292	ARMSTRONG, SHANNON	S	SEAGATE TECHNOLOGY	S	-4,867.47	104.02	15.00	89.02	90.0	80.12
09/04/2018	122134292	ARMSTRONG, SHANNON	S	SEAGATE TECHNOLOGY	S	-3,408.25	72.80	0.00	72.80	90.0	65.52
09/06/2018	122134292	ARMSTRONG, SHANNON	S	COHU INCORPORATED	S	-8,559.07	169.82	0.00	169.82	90.0	152.84
09/06/2018	122134292	ARMSTRONG, SHANNON	S	COHU INCORPORATED	S	-2,443.98	48.54	15.00	33.54	90.0	30.19
09/11/2018	122134292	ARMSTRONG, SHANNON	S	ORION ENGINEERED CARBONS S A R	S	-9,565.94	196.48	15.00	181.48	90.0	163.33
09/20/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	B	641.36	12.56	0.00	12.56	90.0	11.30
09/20/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	B	9,622.81	188.36	15.00	173.36	90.0	156.02
09/20/2018	122134292	ARMSTRONG, SHANNON	S	OSHKOSH TRUCK CORP	B	12,472.64	233.55	15.00	218.55	90.0	196.70
09/20/2018	122134292	ARMSTRONG, SHANNON	S	PFIZER INCORPORATED	B	12,076.39	227.69	15.00	212.69	90.0	191.42
09/20/2018	122134292	ARMSTRONG, SHANNON	S	WALGREENS BOOTS ALLIANCE INC	B	12,249.49	230.25	15.00	215.25	90.0	193.73
09/06/2018	123383825	AKIN, DREW	S	DELEK US HOLDINGS INC NEW COM	S	-7,426.70	163.90	15.00	148.90	90.0	134.01
09/11/2018	123383825	AKIN, DREW	S	ORION ENGINEERED CARBONS S A R	S	-6,369.09	130.83	0.00	130.83	90.0	117.75
09/11/2018	123383825	AKIN, DREW	S	ORION ENGINEERED CARBONS S A R	S	-1,592.27	32.71	0.00	32.71	90.0	29.44
09/11/2018	123383825	AKIN, DREW	S	ORION ENGINEERED CARBONS S A R	S	-1,589.82	32.71	15.00	17.71	90.0	15.94
09/04/2018	215652386	DOUGHERTY, JOHN	S	SEAGATE TECHNOLOGY	S	-8,274.73	176.81	15.00	161.81	90.0	145.63
09/06/2018	215652386	DOUGHERTY, JOHN	S	COHU INCORPORATED	S	-4,893.12	94.82	0.00	94.82	90.0	85.34
09/06/2018	215652386	DOUGHERTY, JOHN	S	COHU INCORPORATED	S	-2,449.51	47.46	0.00	47.46	90.0	42.71
09/06/2018	215652386	DOUGHERTY, JOHN	S	COHU INCORPORATED	S	-2,449.51	47.46	0.00	47.46	90.0	42.71
09/06/2018	215652386	DOUGHERTY, JOHN	S	COHU INCORPORATED	S	-2,447.06	47.46	15.00	32.46	90.0	29.21
09/06/2018	215652386	DOUGHERTY, JOHN	S	DELEK US HOLDINGS INC NEW COM	S	-9,919.76	201.86	15.00	186.86	90.0	168.17
09/11/2018	215652386	DOUGHERTY, JOHN	S	ORION ENGINEERED CARBONS S A R	S	-3,189.47	65.49	0.00	65.49	90.0	58.94
09/11/2018	215652386	DOUGHERTY, JOHN	S	ORION ENGINEERED CARBONS S A R	S	-3,189.47	65.49	0.00	65.49	90.0	58.94

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/11/2018 215652386	DOUGHERTY, JOHN	S ORION ENGINEERED CARBONS S A R	S	-3,029.99	62.22	0.00	62.22	90.0	56.00
09/11/2018 215652386	DOUGHERTY, JOHN	S ORION ENGINEERED CARBONS S A R	S	-157.03	3.27	15.00	-11.73	90.0	-10.56
09/20/2018 215652386	DOUGHERTY, JOHN	S INNOVIVA INC	B	10,263.51	200.90	15.00	185.90	90.0	167.31
09/20/2018 215652386	DOUGHERTY, JOHN	S OSHKOSH TRUCK CORP	B	12,468.74	233.49	15.00	218.49	90.0	196.64
09/20/2018 215652386	DOUGHERTY, JOHN	S PFIZER INCORPORATED	B	12,057.21	227.41	15.00	212.41	90.0	191.17
09/20/2018 215652386	DOUGHERTY, JOHN	S WALGREENS BOOTS ALLIANCE INC	B	12,236.09	230.05	15.00	215.05	90.0	193.55
09/06/2018 338244090	HLUCHANEK, STEVE	S ANDEAVOR	S	-15,262.53	189.27	0.00	189.27	90.0	170.34
09/06/2018 338244090	HLUCHANEK, STEVE	S ANDEAVOR	S	-15,260.09	189.27	15.00	174.27	90.0	156.84
09/06/2018 338244090	HLUCHANEK, STEVE	S ANDEAVOR	S	-4,731.39	58.67	0.00	58.67	90.0	52.80
09/06/2018 338244090	HLUCHANEK, STEVE	S ANDEAVOR	S	-1,831.82	22.72	0.00	22.72	90.0	20.45
09/06/2018 338244090	HLUCHANEK, STEVE	S CLEAR CHANNEL OUTDOOR HLDGS	S	-852.64	45.00	15.00	30.00	90.0	27.00
09/06/2018 338244090	HLUCHANEK, STEVE	S FORD MOTOR CO NEW	S	-893.64	45.00	15.00	30.00	90.0	27.00
09/06/2018 338244090	HLUCHANEK, STEVE	S NOKIA CORP-SPON ADR	S	-1,104.07	45.00	15.00	30.00	90.0	27.00
09/06/2018 338244090	HLUCHANEK, STEVE	S PJT PARTNERS INC - CL A	S	-261.40	15.00	15.00	0.00	90.0	0.00
09/12/2018 338244090	HLUCHANEK, STEVE	S THE BLACKSTONE GROUP L.P.	S	-7,041.45	118.07	15.00	103.07	90.0	92.76
09/11/2018 368270423	ARMSTRONG, SHANNON	S ORION ENGINEERED CARBONS S A R	S	-3,189.47	65.49	0.00	65.49	90.0	58.94
09/11/2018 368270423	ARMSTRONG, SHANNON	S ORION ENGINEERED CARBONS S A R	S	-3,189.47	65.49	0.00	65.49	90.0	58.94
09/11/2018 368270423	ARMSTRONG, SHANNON	S ORION ENGINEERED CARBONS S A R	S	-2,995.65	61.56	15.00	46.56	90.0	41.90
09/11/2018 368270423	ARMSTRONG, SHANNON	S ORION ENGINEERED CARBONS S A R	S	-191.37	3.93	0.00	3.93	90.0	3.54
09/20/2018 368270423	ARMSTRONG, SHANNON	S PFIZER INCORPORATED	B	10,281.06	201.16	15.00	186.16	90.0	167.54
09/20/2018 368270423	ARMSTRONG, SHANNON	S TORONTO-DOMINION BANK TORONTO	B	10,091.86	198.37	15.00	183.37	90.0	165.03
09/05/2018 368298595	ANDERSON, PATRICIA	S ORION ENGINEERED CARBONS S A R	S	-7,382.15	130.18	15.00	115.18	90.0	103.66
09/06/2018 368298595	ANDERSON, PATRICIA	S DELEK US HOLDINGS INC NEW COM	S	-4,973.60	89.33	0.00	89.33	90.0	80.40
09/06/2018 368298595	ANDERSON, PATRICIA	S DELEK US HOLDINGS INC NEW COM	S	-1,939.70	34.84	0.00	34.84	90.0	31.36
09/06/2018 368298595	ANDERSON, PATRICIA	S DELEK US HOLDINGS INC NEW COM	S	-47.29	0.89	15.00	-14.11	90.0	-12.70
09/06/2018 368349505	DOUGHERTY, JOHN	S DELEK US HOLDINGS INC NEW COM	S	-7,426.70	163.90	15.00	148.90	90.0	134.01
09/11/2018 368349505	DOUGHERTY, JOHN	S ORION ENGINEERED CARBONS S A R	S	-3,184.40	70.56	0.00	70.56	90.0	63.50
09/11/2018 368349505	DOUGHERTY, JOHN	S ORION ENGINEERED CARBONS S A R	S	-3,184.40	70.56	0.00	70.56	90.0	63.50
09/11/2018 368349505	DOUGHERTY, JOHN	S ORION ENGINEERED CARBONS S A R	S	-952.87	21.17	15.00	6.17	90.0	5.55
09/06/2018 368438115	PAULSON, ANTHONY	S COHU INCORPORATED	S	-4,885.61	86.33	0.00	86.33	90.0	77.70
09/06/2018 368438115	PAULSON, ANTHONY	S COHU INCORPORATED	S	-2,442.33	43.19	15.00	28.19	90.0	25.37
09/06/2018 368438115	PAULSON, ANTHONY	S DELEK US HOLDINGS INC NEW COM	S	-7,426.70	163.90	15.00	148.90	90.0	134.01
09/11/2018 368438115	PAULSON, ANTHONY	S ORION ENGINEERED CARBONS S A R	S	-4,389.96	101.88	0.00	101.88	90.0	91.69
09/11/2018 368438115	PAULSON, ANTHONY	S ORION ENGINEERED CARBONS S A R	S	-1,876.87	43.56	0.00	43.56	90.0	39.20
09/11/2018 368438115	PAULSON, ANTHONY	S ORION ENGINEERED CARBONS S A R	S	-92.99	2.21	15.00	-12.79	90.0	-11.51
09/20/2018 368438115	PAULSON, ANTHONY	S PFIZER INCORPORATED	B	6,699.48	126.28	15.00	111.28	90.0	100.15
09/20/2018 368438115	PAULSON, ANTHONY	S TORONTO-DOMINION BANK TORONTO	B	8,180.86	144.93	15.00	129.93	90.0	116.94
09/04/2018 400566872	JBRISKIN HOLDINGS	S SEAGATE TECHNOLOGY	S	-4,956.18	11.76	0.00	11.76	90.0	10.58
09/04/2018 400566872	JBRISKIN HOLDINGS	S SEAGATE TECHNOLOGY	S	-3,466.86	8.24	15.00	-6.76	90.0	-6.08
09/06/2018 400566872	JBRISKIN HOLDINGS	S COHU INCORPORATED	S	-9,927.87	16.00	0.00	16.00	90.0	14.40
09/06/2018 400566872	JBRISKIN HOLDINGS	S COHU INCORPORATED	S	-2,485.52	4.00	15.00	-11.00	90.0	-9.90
09/06/2018 400566872	JBRISKIN HOLDINGS	S DELEK US HOLDINGS INC NEW COM	S	-9,919.76	201.86	15.00	186.86	90.0	168.17
09/11/2018 400566872	JBRISKIN HOLDINGS	S ORION ENGINEERED CARBONS S A R	S	-4,362.82	89.62	0.00	89.62	90.0	80.66
09/11/2018 400566872	JBRISKIN HOLDINGS	S ORION ENGINEERED CARBONS S A R	S	-3,189.47	65.49	0.00	65.49	90.0	58.94

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09/11/2018 400566872	JBRISKIN HOLDINGS	S ORION ENGINEERED CARBONS S A R	S	-2,006.91	41.26	15.00	26.26	90.0	23.63
09/20/2018 400566872	JBRISKIN HOLDINGS	S INNOVIVA INC	B	10,082.61	20.00	15.00	5.00	90.0	4.50
09/20/2018 400566872	JBRISKIN HOLDINGS	S OSHKOSH TRUCK CORP	B	2,070.23	3.38	0.00	3.38	90.0	3.04
09/20/2018 400566872	JBRISKIN HOLDINGS	S OSHKOSH TRUCK CORP	B	2,913.65	4.75	0.00	4.75	90.0	4.28
09/20/2018 400566872	JBRISKIN HOLDINGS	S OSHKOSH TRUCK CORP	B	7,286.58	11.88	15.00	-3.12	90.0	-2.81
09/20/2018 400566872	JBRISKIN HOLDINGS	S PFIZER INCORPORATED	B	11,863.57	20.00	15.00	5.00	90.0	4.50
09/20/2018 400566872	JBRISKIN HOLDINGS	S WALGREENS BOOTS ALLIANCE INC	B	12,050.79	20.00	15.00	5.00	90.0	4.50
09/06/2018 677650476	BRISKIN, JOSHUA	S DELEK US HOLDINGS INC NEW COM	S	-7,570.60	20.00	15.00	5.00	90.0	4.50
09/11/2018 677650476	BRISKIN, JOSHUA	S ORION ENGINEERED CARBONS S A R	S	-3,243.29	6.67	0.00	6.67	90.0	6.00
09/11/2018 677650476	BRISKIN, JOSHUA	S ORION ENGINEERED CARBONS S A R	S	-3,243.29	6.67	0.00	6.67	90.0	6.00
09/11/2018 677650476	BRISKIN, JOSHUA	S ORION ENGINEERED CARBONS S A R	S	-3,240.84	6.67	15.00	-8.33	90.0	-7.50
09/20/2018 677650476	BRISKIN, JOSHUA	S PFIZER INCORPORATED	B	10,086.10	20.00	15.00	5.00	90.0	4.50
09/20/2018 677650476	BRISKIN, JOSHUA	S TORONTO-DOMINION BANK TORONTO	B	9,910.29	20.00	15.00	5.00	90.0	4.50
* STOCKS SUBTOTAL *				\$-54,840.89	\$6,764.37	\$705.00	\$6,059.37		\$5,453.39

PRODUCT CATEGORY: MISCELLANEOUS

09/26/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	15.00	0.00	15.00	90.0	13.50
09/26/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	15.00	0.00	15.00	90.0	13.50
09/26/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	15.00	0.00	15.00	90.0	13.50
09/26/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	15.00	0.00	15.00	90.0	13.50
09/26/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	15.00	0.00	15.00	90.0	13.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$75.00	\$0.00	\$75.00		\$67.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc156871	BRISKIN 156871	MFT BLACKROCK ADV SMALL CAP GROW EQ	B	0.00	2.65	0.00	2.65	90.0	2.39
09/21/2018 mfc156871	BRISKIN 156871	MFT COLUMBIA HIGH YIELD MUNI FD A	B	0.00	2.07	0.00	2.07	90.0	1.86
09/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	2.61	0.00	2.61	90.0	2.35
09/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE HIGH YIELD	B	0.00	0.69	0.00	0.69	90.0	0.62
09/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	1.45	0.00	1.45	90.0	1.31
09/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.61	0.00	0.61	90.0	0.55
09/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	4.90	0.00	4.90	90.0	4.41
09/21/2018 mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	2.49	0.00	2.49	90.0	2.24
09/21/2018 mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	2.65	0.00	2.65	90.0	2.39
09/21/2018 mfc156871	BRISKIN 156871	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	1.11	0.00	1.11	90.0	1.00
09/21/2018 mfc156871	BRISKIN 156871	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.49	0.00	3.49	90.0	3.14
09/21/2018 mfc156871	BRISKIN 156871	MFT HARTFORD INTL EQUITY FD A	B	0.00	0.15	0.00	0.15	90.0	0.14
09/21/2018 mfc156871	BRISKIN 156871	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	10.04	0.00	10.04	90.0	9.04
09/21/2018 mfc156871	BRISKIN 156871	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.14	0.00	2.14	90.0	1.93
09/21/2018 mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET	B	0.00	1.18	0.00	1.18	90.0	1.06
09/21/2018 mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	1.31	0.00	1.31	90.0	1.18
09/21/2018 mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	0.59	0.00	0.59	90.0	0.53
09/21/2018 mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.11	0.00	1.11	90.0	1.00
09/21/2018 mfc156871	BRISKIN 156871	MFT SMALLCAP WORLD FUND-A	B	0.00	5.93	0.00	5.93	90.0	5.34
09/21/2018 mfc156871	BRISKIN 156871	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.66	0.00	2.66	90.0	2.39
09/21/2018 mfc156871	BRISKIN 156871	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.39	0.00	1.39	90.0	1.25

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09/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD GROWTH	B	0.00	0.97	0.00	0.97	90.0	0.87
09/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.13	0.00	0.13	90.0	0.12
09/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	0.64	0.00	0.64	90.0	0.58
09/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD VALUE	B	0.00	0.58	0.00	0.58	90.0	0.52
09/21/2018	mfc156871	BRISKIN 156871	MFT THE INVESTMENT COMPANY OF	B	0.00	32.60	0.00	32.60	90.0	29.34
09/21/2018	mfc156871	BRISKIN 156871	MFT THE NEW ECONOMY FUND-A	B	0.00	3.52	0.00	3.52	90.0	3.17
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	5.11	0.00	5.11	90.0	4.60
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG CORE GROWTH CL A	B	0.00	5.65	0.00	5.65	90.0	5.09
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	5.57	0.00	5.57	90.0	5.01
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	1.71	0.00	1.71	90.0	1.54
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	0.77	0.00	0.77	90.0	0.69
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	5.67	0.00	5.67	90.0	5.10
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	12.58	0.00	12.58	90.0	11.32
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	23.00	0.00	23.00	90.0	20.70
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	2.63	0.00	2.63	90.0	2.37
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	5.32	0.00	5.32	90.0	4.79
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	6.62	0.00	6.62	90.0	5.96
09/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	10.55	0.00	10.55	90.0	9.50
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.03	0.00	1.03	90.0	0.93
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	3.15	0.00	3.15	90.0	2.84
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	0.81	0.00	0.81	90.0	0.73
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	2.32	0.00	2.32	90.0	2.09
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.58	0.00	0.58	90.0	0.52
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.83	0.00	1.83	90.0	1.65
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.86	0.00	1.86	90.0	1.67
09/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	4.50	0.00	4.50	90.0	4.05
09/21/2018	mfc156871	BRISKIN 156871	MFT VALUE A	B	0.00	5.81	0.00	5.81	90.0	5.23
09/21/2018	mfc156871	BRISKIN 156871	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.29	0.00	0.29	90.0	0.26
09/21/2018	mfc156871	BRISKIN 156871	MFT VOYA SENIOR INCOME FUND C	B	0.00	31.21	0.00	31.21	90.0	28.09
09/21/2018	mfc156871	BRISKIN 156871	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.68	0.00	0.68	90.0	0.61
09/24/2018	mfc156871	BRISKIN 156871	MFT BLACKROCK ADV SMALL CAP GROW EQ	B	0.00	2.56	0.00	2.56	90.0	2.30
09/24/2018	mfc156871	BRISKIN 156871	MFT COLUMBIA HIGH YIELD MUNI FD A	B	0.00	1.94	0.00	1.94	90.0	1.75
09/24/2018	mfc156871	BRISKIN 156871	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	2.57	0.00	2.57	90.0	2.31
09/24/2018	mfc156871	BRISKIN 156871	MFT DELAWARE HIGH YIELD	B	0.00	0.65	0.00	0.65	90.0	0.59
09/24/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	1.39	0.00	1.39	90.0	1.25
09/24/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.58	0.00	0.58	90.0	0.52
09/24/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	4.66	0.00	4.66	90.0	4.19
09/24/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	2.36	0.00	2.36	90.0	2.12
09/24/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	2.52	0.00	2.52	90.0	2.27
09/24/2018	mfc156871	BRISKIN 156871	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	1.04	0.00	1.04	90.0	0.94
09/24/2018	mfc156871	BRISKIN 156871	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.28	0.00	3.28	90.0	2.95
09/24/2018	mfc156871	BRISKIN 156871	MFT HARTFORD INTL EQUITY FD A	B	0.00	0.14	0.00	0.14	90.0	0.13
09/24/2018	mfc156871	BRISKIN 156871	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	9.46	0.00	9.46	90.0	8.51
09/24/2018	mfc156871	BRISKIN 156871	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.02	0.00	2.02	90.0	1.82

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET	B	0.00	1.12	0.00	1.12	90.0	1.01
09/24/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	1.24	0.00	1.24	90.0	1.12
09/24/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	0.56	0.00	0.56	90.0	0.50
09/24/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.04	0.00	1.04	90.0	0.94
09/24/2018	mfc156871	BRISKIN 156871	MFT SMALLCAP WORLD FUND-A	B	0.00	5.62	0.00	5.62	90.0	5.06
09/24/2018	mfc156871	BRISKIN 156871	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.50	0.00	2.50	90.0	2.25
09/24/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.32	0.00	1.32	90.0	1.19
09/24/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD GROWTH	B	0.00	0.94	0.00	0.94	90.0	0.85
09/24/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.12	0.00	0.12	90.0	0.11
09/24/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	0.62	0.00	0.62	90.0	0.56
09/24/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD VALUE	B	0.00	0.55	0.00	0.55	90.0	0.50
09/24/2018	mfc156871	BRISKIN 156871	MFT THE INVESTMENT COMPANY OF	B	0.00	30.68	0.00	30.68	90.0	27.61
09/24/2018	mfc156871	BRISKIN 156871	MFT THE NEW ECONOMY FUND-A	B	0.00	3.32	0.00	3.32	90.0	2.99
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	4.75	0.00	4.75	90.0	4.28
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG CORE GROWTH CL A	B	0.00	5.37	0.00	5.37	90.0	4.83
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	5.23	0.00	5.23	90.0	4.71
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	1.59	0.00	1.59	90.0	1.43
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	0.72	0.00	0.72	90.0	0.65
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	5.28	0.00	5.28	90.0	4.75
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	11.77	0.00	11.77	90.0	10.59
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	21.51	0.00	21.51	90.0	19.36
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	2.46	0.00	2.46	90.0	2.21
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	4.96	0.00	4.96	90.0	4.46
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	6.22	0.00	6.22	90.0	5.60
09/24/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	9.90	0.00	9.90	90.0	8.91
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	0.98	0.00	0.98	90.0	0.88
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	3.00	0.00	3.00	90.0	2.70
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	0.77	0.00	0.77	90.0	0.69
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	2.22	0.00	2.22	90.0	2.00
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.56	0.00	0.56	90.0	0.50
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.75	0.00	1.75	90.0	1.58
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.78	0.00	1.78	90.0	1.60
09/24/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	4.30	0.00	4.30	90.0	3.87
09/24/2018	mfc156871	BRISKIN 156871	MFT VALUE A	B	0.00	5.46	0.00	5.46	90.0	4.91
09/24/2018	mfc156871	BRISKIN 156871	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.28	0.00	0.28	90.0	0.25
09/24/2018	mfc156871	BRISKIN 156871	MFT VOYA SENIOR INCOME FUND C	B	0.00	29.24	0.00	29.24	90.0	26.32
09/24/2018	mfc156871	BRISKIN 156871	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	90.0	0.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$444.45	\$0.00	\$444.45		\$400.06
*** CLEARING TRANSACTIONS TOTAL ***					\$-69,795.88	\$7,283.82	\$735.00	\$6,548.82		\$5,893.95

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/04/2018 (None)	SULTEMEIER, FARRON	MF NEST ADVISOR	B	50.00	0.50	0.00	0.50	90.0	0.45
09/05/2018 (None)	NIX	MF NEST ADVISOR	B	100.00	3.00	0.00	3.00	90.0	2.70
09/05/2018 (None)	NIX	MF NEST ADVISOR	B	400.00	12.00	0.00	12.00	90.0	10.80
08/15/2018 00551287150	SMITH,	MF PUTNAM 529 AGE-BASED 2001-A	B	500.00	10.00	0.00	10.00	90.0	9.00
08/15/2018 00551287155	SMITH,	MF PUTNAM 529 AGE-BASED 2000-A	B	500.00	10.00	0.00	10.00	90.0	9.00
09/17/2018 03810613137	SULTEMEIER,	MF AIM MID-CAP EQUITY FUND CLASS A	B	100.00	4.00	0.00	4.00	90.0	3.60
09/17/2018 03810613137	SULTEMEIER,	MF INVESCO VALUE OPPORTUNITIES	B	100.00	4.00	0.00	4.00	90.0	3.60
* MUTUAL FUNDS SUBTOTAL *				\$1,750.00	\$43.50	\$0.00	\$43.50		\$39.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/31/2018 (None)	VARIOUS, BRISKIN	MFT DELWARE	B	0.00	70.47	0.00	70.47	90.0	63.42
08/31/2018 (None)	VARIOUS, BRISKIN	MFT HARTFORD MUTUAL FUIND	B	0.00	16.64	0.00	16.64	90.0	14.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$87.11	\$0.00	\$87.11		\$78.40
*** DIRECT TRANSACTIONS TOTAL ***				\$1,750.00	\$130.61	\$0.00	\$130.61		\$117.55

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	271.72
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$86.72
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$86.72

BROKER COMMISSION TOTALS

Broker Transactions	\$6,011.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$86.72
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6,098.22
Year-to-date Commissions	\$72,714.76