

COMMISSION STATEMENT

October 15, 2018

MARK ARCHAMBO-D167

BROKER #: D167

BRANCH: D01

, TX

COMMISSION STATEMENT for MARK ARCHAMBO-D167

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/01/2018 00000745992	NIXON, RAY	MFT AIM DIVERSIFIED DIVIDEND FUND - B		0.00	15.80	0.00	15.80	90.0	14.22
10/01/2018 00000746057	NIXON, ANNA	MFT AIM DIVERSIFIED DIVIDEND FUND - B		0.00	19.52	0.00	19.52	90.0	17.57
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	54.24	0.00	54.24	90.0	48.82
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	66.22	0.00	66.22	90.0	59.60
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	80.55	0.00	80.55	90.0	72.50
10/05/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	52.17	0.00	52.17	90.0	46.95
10/05/2018 00523766483	THOMAS, SUSAN	MFT TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24	90.0	0.22
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.33	0.00	29.33	90.0	26.40
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	32.21	0.00	32.21	90.0	28.99
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	46.89	0.00	46.89	90.0	42.20
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	124.69	0.00	124.69	90.0	112.22
10/05/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	17.35	0.00	17.35	90.0	15.62
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.28	0.00	1.28	90.0	1.15
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	2.00	0.00	2.00	90.0	1.80
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.66	0.00	2.66	90.0	2.39
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.76	0.00	3.76	90.0	3.38
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	7.16	0.00	7.16	90.0	6.44
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.33	0.00	0.33	90.0	0.30
10/05/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.54	0.00	0.54	90.0	0.49
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.07	0.00	18.07	90.0	16.26
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	20.80	0.00	20.80	90.0	18.72
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	29.98	0.00	29.98	90.0	26.98
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	14.86	0.00	14.86	90.0	13.37
10/05/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.11	0.00	0.11	90.0	0.10
10/08/2018 02820004877	JUDITH A PAGE,	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.56	0.00	11.56	90.0	10.40
10/01/2018 04028072090	JONES, LINDA	MFT AIM SHORT TERM BOND FUND CLASS	B	0.00	1.55	0.00	1.55	90.0	1.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$653.87	\$0.00	\$653.87		\$588.49
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$653.87	\$0.00	\$653.87		\$588.49

BROKER COMMISSION TOTALS

Broker Transactions	\$588.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00