

COMMISSION STATEMENT

September 30, 2018

MICHAEL BARTOLOTTA
57 EISENHOWER DRIVEBROKER #: A10 W10
BRANCH: FULCRUM GROUP

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BARTOLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/24/2018	75502093 FIDER, LOURDES	VANGUARD FTSE EMERGING MARKETS ETF	B	495.35	0.00	0.00	0.00	80.0	0.00
09/24/2018	75502093 FIDER, LOURDES	VANGUARD FTSE DEVELOPED MARKETS ETF	B	698.40	0.00	0.00	0.00	80.0	0.00
09/24/2018	75502093 FIDER, LOURDES	VANGUARD INDEX FUNDS VANGUARD EXTEN	S	370.08	0.00	0.00	0.00	80.0	0.00
09/24/2018	75502093 FIDER, LOURDES	VANGUARD S&P 500 ETF	S	537.24	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				<u>\$2,101.07</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
09/17/2018	VARIOUS, BAROLOTTA	ADP 401K COMMISSION	B	0.00	19,520.76	0.00	19,520.76	80.0	15,616.61
* MISCELLANEOUS SUBTOTAL *				<u>\$0.00</u>	<u>\$19,520.76</u>	<u>\$0.00</u>	<u>\$19,520.76</u>		<u>\$15,616.61</u>
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75502093 FIDER, LOURDES	FAS FEES PT. III/III	B	-50.51	8.38	0.00	8.38	80.0	6.70
07/01/2018	75502127 HAUGHEY, LISA	FAS FEES PT. III/III	B	-1,817.69	602.61	0.00	602.61	80.0	482.09
07/01/2018	75502134 HAUGHEY, LISA	FAS FEES PT. III/III	B	-485.15	160.84	0.00	160.84	80.0	128.67
07/01/2018	75502152 PELLEGRINO, CATHERINE	FAS FEES PT. III/III	B	-15.78	5.24	0.00	5.24	80.0	4.19
07/01/2018	75502164 BENNETT, EVERRETT	FAS FEES PT. III/III	B	-601.84	199.53	0.00	199.53	80.0	159.62
* RIA SUBTOTAL *				<u>\$-2,970.97</u>	<u>\$976.60</u>	<u>\$0.00</u>	<u>\$976.60</u>		<u>\$781.27</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018		FEE COUNT - 31		0.00	114.00	0.00	114.00	80.0	87.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$114.00</u>	<u>\$0.00</u>	<u>\$114.00</u>		<u>\$87.27</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-869.90</u>	<u>\$20,611.36</u>	<u>\$0.00</u>	<u>\$20,611.36</u>		<u>\$16,485.15</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
09/30/2018		OTHER: UNBUNDLED FEES 3/3		0.00	0.00	0.00	0.00		-244.45
09/30/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
09/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.50
09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/30/2018		OTHER: RBC WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-501.95

BROKER COMMISSION TOTALS

Broker Transactions	\$16,485.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-501.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.36
SIPC Assessment	\$-2.73

Check Amount	\$15,979.11
Year-to-date Earnings	\$145,667.77