



COMMISSION STATEMENT

December 17, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 12/03/2018 | ***-**-600I - HARTER, BRADLEY | AMCAP FUND-A | 0.00 | 3.14 | 0.00 | 3.14 | 75.0 | 2.36 |
| | ACCOUNT #: 00085860527 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-24IO - HOPPER, GINA | AMCAP FUND-A | 0.00 | 2.27 | 0.00 | 2.27 | 75.0 | 1.70 |
| | ACCOUNT #: 00087626950 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-0613 - KAHNS, DWAYNE | AMERICAN BALANCED FUND-529A | 0.00 | 1.36 | 0.00 | 1.36 | 75.0 | 1.02 |
| | ACCOUNT #: 04000996691 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7874 - FITZPATRICK, | AMERICAN BALANCED FUND-A | 0.00 | 1.46 | 0.00 | 1.46 | 75.0 | 1.10 |
| | ACCOUNT #: 00081543442 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-1985 - BELZER, STEVEN | AMERICAN BALANCED FUND-A | 0.00 | 12.48 | 0.00 | 12.48 | 75.0 | 9.36 |
| | ACCOUNT #: 00086616031 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2326 - ELLIOTT, SHANNON | AMERICAN BALANCED FUND-A | 0.00 | 9.72 | 0.00 | 9.72 | 75.0 | 7.29 |
| | ACCOUNT #: 00086902076 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8364 - COLLIER, CLINTON | AMERICAN FUNDS 2040 TARGET DATE-A | 0.00 | 12.34 | 0.00 | 12.34 | 75.0 | 9.26 |
| | ACCOUNT #: 00085392602 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3815 - MILLER, ASHLEY | AMERICAN FUNDS 2040 TARGET DATE-A | 0.00 | 9.84 | 0.00 | 9.84 | 75.0 | 7.38 |
| | ACCOUNT #: 00087325265 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7671 - SMITH, LINZI | AMERICAN GLOBAL BALANCED CL C | 0.00 | 5.15 | 0.00 | 5.15 | 75.0 | 3.86 |
| | ACCOUNT #: 00088555899 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-600I - HARTER, BRADLEY | AMERICAN GLOBAL BALANCED CLASS A | 0.00 | 0.78 | 0.00 | 0.78 | 75.0 | 0.59 |
| | ACCOUNT #: 00085860527 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3172 - PABON-RESTREPO, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.09 | 0.00 | 15.09 | 75.0 | 11.32 |
| | ACCOUNT #: 00081631019 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7874 - FITZPATRICK, | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| | ACCOUNT #: 00081543442 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3172 - PABON-RESTREPO, | EUROPACIFIC GROWTH FUND-A | 0.00 | 12.77 | 0.00 | 12.77 | 75.0 | 9.58 |
| | ACCOUNT #: 00081631019 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-600I - HARTER, BRADLEY | FUNDAMENTAL INVESTORS-A | 0.00 | 1.81 | 0.00 | 1.81 | 75.0 | 1.36 |
| | ACCOUNT #: 00085860527 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-24IO - HOPPER, GINA | FUNDAMENTAL INVESTORS-A | 0.00 | 2.34 | 0.00 | 2.34 | 75.0 | 1.76 |
| | ACCOUNT #: 00087626950 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-67IR - WELLS, DENA | FUNDAMENTAL INVESTORS-A | 0.00 | 4.32 | 0.00 | 4.32 | 75.0 | 3.24 |
| | ACCOUNT #: 00087865072 | BUY 0 Units @0.0000 | | | | | | |



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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-600I - HARTER, BRADLEY | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.31 | 0.00 | 1.31 | 75.0 | 0.98 |
| | ACCOUNT #: 00085860527 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7874 - FITZPATRICK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.09 | 0.00 | 3.09 | 75.0 | 2.32 |
| | ACCOUNT #: 00081543442 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8406 - STILL, STEPHANIE | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.57 | 0.00 | 8.57 | 75.0 | 6.43 |
| | ACCOUNT #: 00081788132 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8642 - LORD, BENJAMIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.37 | 0.00 | 7.37 | 75.0 | 5.53 |
| | ACCOUNT #: 00084039536 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-9985 - KENYON, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.69 | 0.00 | 6.69 | 75.0 | 5.02 |
| | ACCOUNT #: 00084176414 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-600I - HARTER, BRADLEY | THE INCOME FUND OF AMERICA-A | 0.00 | 1.84 | 0.00 | 1.84 | 75.0 | 1.38 |
| | ACCOUNT #: 00085860527 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8406 - STILL, STEPHANIE | THE INCOME FUND OF AMERICA-A | 0.00 | 6.76 | 0.00 | 6.76 | 75.0 | 5.07 |
| | ACCOUNT #: 00081788132 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-24IO - HOPPER, GINA | THE INCOME FUND OF AMERICA-A | 0.00 | 1.80 | 0.00 | 1.80 | 75.0 | 1.35 |
| | ACCOUNT #: 00087626950 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-67IR - WELLS, DENA | THE INCOME FUND OF AMERICA-A | 0.00 | 3.78 | 0.00 | 3.78 | 75.0 | 2.84 |
| | ACCOUNT #: 00087865072 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-600I - HARTER, BRADLEY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 2.36 | 0.00 | 2.36 | 75.0 | 1.77 |
| | ACCOUNT #: 00085860527 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7874 - FITZPATRICK, | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.21 | 0.00 | 0.21 | 75.0 | 0.16 |
| | ACCOUNT #: 00081543442 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-24IO - HOPPER, GINA | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 2.17 | 0.00 | 2.17 | 75.0 | 1.63 |
| | ACCOUNT #: 00087626950 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$141.73 | \$0.00 | \$141.73 | | \$106.34 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$141.73 | \$0.00 | \$141.73 | | \$106.34 |



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BRANCH #01001 - LifeMark Home Office

| | | | | | BROKER |
|--|--------|------------|--------|------------------|--------------------------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | GROSS COMMISSION | NET COMMISSION RATE COMMISSION |
| | | | | | |
| BROKER COMMISSION TOTALS | | | | | |
| Broker Transactions | | | | | \$106.34 |
| Split Transactions | | | | | \$0.00 |
| Override Transactions | | | | | \$0.00 |
| Adjustments | | | | | \$0.00 |
| Payroll Draw | | | | | \$0.00 |
| Base Salary | | | | | \$0.00 |
| Prior Period Balance | | | | | \$-82.11 |
| FINRA Assessment | | | | | \$0.00 |
| SIPC Assessment | | | | | \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | |
| Balance Forward | | | | | \$24.23 |
| Year-to-date Earnings | | | | | \$20,542.99 |