

COMMISSION STATEMENT

November 30, 2018

JOHN BOYCE
15408 DUNCAN HILL ROAD

BROKER #: C15 P7N
BRANCH: HOME OFFICE

BULTER, MD 21023

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/31/2018	75500360	BOYCE, ANN HAGERTY	AMAZON.COM INC	B	39,287.25	20.00	10.00	10.00	70.0	4.00
11/06/2018	75500360	BOYCE, ANN HAGERTY	SQUARE INC CLASS A COM	B	15,482.00	25.00	10.00	15.00	70.0	7.50
11/12/2018	75500360	BOYCE, ANN HAGERTY	APPLE INC	S	9,885.13	25.00	10.00	15.00	70.0	7.50
11/12/2018	75500360	BOYCE, ANN HAGERTY	SAP SE SPONSORED ADR	B	10,314.00	25.00	10.00	15.00	70.0	7.50
11/13/2018	75500360	BOYCE, ANN HAGERTY	AMAZON.COM INC	S	24,607.50	20.00	10.00	10.00	70.0	4.00
11/13/2018	75500360	BOYCE, ANN HAGERTY	APPLE INC	S	18,723.92	25.00	10.00	15.00	70.0	7.50
11/13/2018	75500360	BOYCE, ANN HAGERTY	APPLE INC	S	19,219.84	30.00	10.00	20.00	70.0	11.00
11/13/2018	75500360	BOYCE, ANN HAGERTY	ENPHASE ENERGY INC	S	5,422.70	25.00	10.00	15.00	70.0	7.50
11/13/2018	75500360	BOYCE, ANN HAGERTY	NORBORD INC	S	14,024.05	40.00	10.00	30.00	70.0	18.00
11/13/2018	75500360	BOYCE, ANN HAGERTY	NVIDIA CORP	S	19,426.12	25.00	10.00	15.00	70.0	7.50
11/15/2018	75500360	BOYCE, ANN HAGERTY	SAP SE SPONSORED ADR	S	10,424.13	25.00	10.00	15.00	70.0	7.50
11/16/2018	75500360	BOYCE, ANN HAGERTY	SQUARE INC CLASS A COM	S	35,943.60	40.00	10.00	30.00	70.0	18.00
11/20/2018	75500360	BOYCE, ANN HAGERTY	BANK OF AMERICA CORP	S	10,960.00	40.00	10.00	30.00	70.0	18.00
11/20/2018	75500360	BOYCE, ANN HAGERTY	INTEL CORP	S	9,488.00	30.00	10.00	20.00	70.0	11.00
11/20/2018	75500360	BOYCE, ANN HAGERTY	SPDR SERIES TRUST S&P AEROSPACE & D S	S	25,575.00	40.00	10.00	30.00	70.0	18.00
11/27/2018	75500360	BOYCE, ANN HAGERTY	MICROSOFT CORP	S	21,156.76	30.00	10.00	20.00	70.0	11.00
11/27/2018	75500360	BOYCE, ANN HAGERTY	PIONEER NATURAL RESOURCES COMPANY	S	14,915.90	30.00	10.00	20.00	70.0	11.00
11/28/2018	75500360	BOYCE, ANN HAGERTY	BP P L C SPONSORED ADR (FRM BP AMOC B	S	9,600.00	30.00	10.00	20.00	70.0	11.00
10/30/2018	75500361	BOYCE, JOHN C	TEXAS ROADHOUSE INC	S	6,249.19	30.00	10.00	20.00	70.0	11.00
11/19/2018	75500361	BOYCE, JOHN C	PACWEST BANCORP	B	55,000.00	681.47	10.00	671.47	70.0	467.03
11/20/2018	75500361	BOYCE, JOHN C	ETF MANAGERS TRUST PUREFUNDS ISE CY S	S	6,778.00	30.00	10.00	20.00	70.0	11.00
11/20/2018	75500361	BOYCE, JOHN C	J P MORGAN CHASE & CO	S	21,984.00	30.00	10.00	20.00	70.0	11.00
11/20/2018	75500361	BOYCE, JOHN C	NETFLIX COM INC	S	25,324.00	30.00	10.00	20.00	70.0	11.00
11/12/2018	75500366	BOYCE, JOHN C	FACEBOOK INC CL A	S	28,701.00	25.00	10.00	15.00	70.0	7.50
11/16/2018	75500366	BOYCE, JOHN C	NVIDIA CORP	S	49,050.00	50.00	10.00	40.00	70.0	25.00
10/30/2018	75500368	PETR, THOMAS	NORDSTROM INC	S	134,628.20	121.56	10.00	111.56	70.0	75.09
10/30/2018	75500368	PETR, THOMAS	NVIDIA CORP	S	385,732.60	120.00	10.00	110.00	70.0	74.00
10/30/2018	75500368	PETR, THOMAS	UNITEDHEALTH GROUP INC	B	128,200.00	30.00	10.00	20.00	70.0	11.00
10/31/2018	75500368	PETR, THOMAS	KIMBERLY CLARK CORP	S	169,130.88	1,500.53	10.00	1,490.53	70.0	1,040.37
11/19/2018	75500368	PETR, THOMAS	ADOBE SYSTEMS INC	S	659,895.60	180.00	10.00	170.00	70.0	116.00
11/19/2018	75500368	PETR, THOMAS	AMAZON.COM INC	S	303,328.02	50.00	10.00	40.00	70.0	25.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/19/2018	75500368	PETR, THOMAS		APPLE INC	S	1,488,841.80	400.00	10.00	390.00 70.0 270.00
11/19/2018	75500368	PETR, THOMAS		EMERSON ELECTRIC CO	S	136,074.60	120.00	10.00	110.00 70.0 74.00
11/19/2018	75500368	PETR, THOMAS		HONEYWELL INTL INC	S	147,427.29	70.00	10.00	60.00 70.0 39.00
11/19/2018	75500368	PETR, THOMAS		MASTERCARD INCORPORATED	S	190,139.70	70.00	10.00	60.00 70.0 39.00
11/19/2018	75500368	PETR, THOMAS		NVIDIA CORP	S	906,997.82	302.20	10.00	292.20 70.0 201.54
11/19/2018	75500368	PETR, THOMAS		VISA INC CL A COMMON STOCK	S	134,877.40	70.00	10.00	60.00 70.0 39.00
11/20/2018	75500368	PETR, THOMAS		APPLE INC	S	357,747.00	100.00	10.00	90.00 70.0 60.00
11/20/2018	75500368	PETR, THOMAS		NVIDIA CORP	B	290,000.00	50.00	10.00	40.00 70.0 25.00
11/21/2018	75500368	PETR, THOMAS		CISCO SYS INC	B	-89,984.20	-972.07	-10.00	-962.07 70.0 -670.45 C
11/21/2018	75500368	PETR, THOMAS		CISCO SYS INC	S	89,984.20	972.07	10.00	962.07 70.0 670.45
11/21/2018	75500368	PETR, THOMAS		CISCO SYS INC	S	89,984.20	140.00	10.00	130.00 70.0 88.00
11/21/2018	75500368	PETR, THOMAS		EMERSON ELECTRIC CO	S	138,719.51	143.64	10.00	133.64 70.0 90.55
11/21/2018	75500368	PETR, THOMAS		HILTON WORLDWIDE HLDGS INC COM NEW	S	24,315.69	70.00	10.00	60.00 70.0 39.00
11/21/2018	75500368	PETR, THOMAS		HONEYWELL INTL INC	S	296,524.92	142.66	10.00	132.66 70.0 89.86
11/21/2018	75500368	PETR, THOMAS		MICROSOFT CORP	S	104,051.50	70.00	10.00	60.00 70.0 39.00
11/21/2018	75500368	PETR, THOMAS		NVIDIA CORP	S	145,049.60	70.00	10.00	60.00 70.0 39.00
11/21/2018	75500368	PETR, THOMAS		RESIDEO TECHNOLOGIES INC COM	S	10,120.70	70.00	10.00	60.00 70.0 39.00
11/23/2018	75500368	PETR, THOMAS		APPLE INC	S	431,010.00	150.00	10.00	140.00 70.0 95.00
11/27/2018	75500368	PETR, THOMAS		BLONDER TONGUE LABORATORIES INC	S	5,410.36	300.00	10.00	290.00 70.0 200.00
11/27/2018	75500368	PETR, THOMAS		BLONDER TONGUE LABORATORIES INC	B	-5,410.36	-300.00	-10.00	-290.00 70.0 -200.00 C
11/27/2018	75500368	PETR, THOMAS		BLONDER TONGUE LABORATORIES INC	S	5,410.36	200.00	10.00	190.00 70.0 130.00
11/27/2018	75500368	PETR, THOMAS		GARRETT MOTION INC COMMON STOCK	S	3,632.49	30.00	10.00	20.00 70.0 11.00
11/27/2018	75500368	PETR, THOMAS		IQIYI INC SPONS ADR ISIN#US46267X10	S	20,242.40	60.00	10.00	50.00 70.0 32.00
11/27/2018	75500368	PETR, THOMAS		TE CONNECTIVITY LTD	S	150,309.60	120.00	10.00	110.00 70.0 74.00
11/27/2018	75500368	PETR, THOMAS		TEXTRON INC COM	S	54,953.49	60.12	10.00	50.12 70.0 32.08
11/27/2018	75500368	PETR, THOMAS		UNILEVER N V YORK SHS ADR	S	193,802.00	210.00	10.00	200.00 70.0 137.00
11/27/2018	75500368	PETR, THOMAS		UNITED TECHNOLOGIES CORP	S	257,739.71	127.26	10.00	117.26 70.0 79.08
10/30/2018	75500370	HUBARD, MARGARET		PFIZER INCORPORATED	S	21,290.65	50.00	10.00	40.00 70.0 25.00
10/30/2018	75500370	HUBARD, MARGARET		PROCTER & GAMBLE CO	S	35,421.00	50.00	10.00	40.00 70.0 25.00
11/12/2018	75500370	HUBARD, MARGARET		MACQUARIE INFRASTRUCTURE CORPORATIO	S	19,211.45	50.00	10.00	40.00 70.0 25.00
11/12/2018	75500370	HUBARD, MARGARET		MARATHON PETE CORP COM	S	6,627.90	30.00	10.00	20.00 70.0 11.00
11/16/2018	75500378	CLOUGH, CHARLES EVANS		FACEBOOK INC CL A	S	6,956.01	30.00	10.00	20.00 70.0 11.00
10/31/2018	75500385	HUBARD, JOHN		BLACKSTONE GROUP L P (THE) COMMON U B		6,482.00	60.00	10.00	50.00 70.0 32.00
11/12/2018	75500424	JOHN B HUBARD TTEE		ALIBABA GROUP HOLDING LTD SPONSORED	S	71,179.75	100.00	10.00	90.00 70.0 60.00
11/28/2018	75500424	JOHN B HUBARD TTEE		SMUCKER J M COMPANY	B	144,000.00	50.00	10.00	40.00 70.0 25.00
11/15/2018	75500443	GOOD, TIMOTHY		AEGON NV NY REGISTRY SHS	S	14,240.85	250.00	10.00	240.00 70.0 165.00
11/15/2018	75500443	GOOD, TIMOTHY		ALIBABA GROUP HOLDING LTD SPONSORED	S	15,189.64	40.00	10.00	30.00 70.0 18.00
11/15/2018	75500443	GOOD, TIMOTHY		HERSHEY COMPANY (THE)	S	32,301.42	50.00	10.00	40.00 70.0 25.00
11/15/2018	75500443	GOOD, TIMOTHY		ILLUMINA INC	S	15,637.75	30.00	10.00	20.00 70.0 11.00
11/15/2018	75500443	GOOD, TIMOTHY		SHIP FINANCE INTERNATIONAL LIMITED	S	19,901.58	100.00	10.00	90.00 70.0 60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2018	75500443	GOOD, TIMOTHY		ALTRIA GROUP INC	S	28,570.00	70.00	10.00	60.00 70.0 39.00
11/16/2018	75500443	GOOD, TIMOTHY		NVIDIA CORP	S	53,137.50	50.00	10.00	40.00 70.0 25.00
11/19/2018	75500443	GOOD, TIMOTHY		FERRELLGAS PARTNERS LP UNITS LTD PA S		6,082.00	100.00	10.00	90.00 70.0 60.00
11/20/2018	75500443	GOOD, TIMOTHY		ALIBABA GROUP HOLDING LTD SPONSORED S		28,854.00	40.00	10.00	30.00 70.0 18.00
11/20/2018	75500443	GOOD, TIMOTHY		PJT PARTNERS INC CLASS A COM	S	23,462.25	70.00	10.00	60.00 70.0 39.00
11/20/2018	75500443	GOOD, TIMOTHY		SPDR SERIES TRUST S&P AEROSPACE & D S		42,625.00	60.00	10.00	50.00 70.0 32.00
11/28/2018	75500443	GOOD, TIMOTHY		ALTRIA GROUP INC	S	10,710.38	35.00	10.00	25.00 70.0 14.50
11/28/2018	75500443	GOOD, TIMOTHY		CISCO SYS INC	S	9,304.80	35.00	10.00	25.00 70.0 14.50
11/28/2018	75500443	GOOD, TIMOTHY		EOG RES INC	S	20,467.50	35.00	10.00	25.00 70.0 14.50
11/28/2018	75500443	GOOD, TIMOTHY		HOME DEPOT INC	B	105,000.00	70.00	10.00	60.00 70.0 39.00
11/02/2018	75500525	BADEN, JOHN		NUCOR CORP	S	3,015.50	25.00	10.00	15.00 70.0 7.50
11/06/2018	75500639	BADEN, MATTHEW		BB&T CORP	S	2,500.00	25.00	10.00	15.00 70.0 7.50
11/16/2018	75500639	BADEN, MATTHEW		BB&T CORP	S	2,550.00	50.00	10.00	40.00 70.0 25.00
11/16/2018	75501338	BOYCE, ROGER F		NVIDIA CORP	S	24,491.31	25.00	10.00	15.00 70.0 7.50
11/19/2018	75501338	BOYCE, ROGER F		NVIDIA CORP	S	-50,000.00	-210.00	-10.00	-200.00 70.0 -137.00 C
11/19/2018	75501338	BOYCE, ROGER F		NVIDIA CORP	B	50,000.00	210.00	10.00	200.00 70.0 137.00
11/19/2018	75501338	BOYCE, ROGER F		NVIDIA CORP	B	50,000.00	51.00	10.00	41.00 70.0 25.70
11/21/2018	75501338	BOYCE, ROGER F		NVIDIA CORP	S	30,543.36	50.00	10.00	40.00 70.0 25.00
11/21/2018	75502090	BURGHART, ROBIN		AMAZON.COM INC	B	7,652.31	20.00	10.00	10.00 70.0 4.00
11/21/2018	75502091	BURGHART, ROBIN		AMAZON.COM INC	B	7,652.31	20.00	10.00	10.00 70.0 4.00
11/21/2018	75502118	BURGHART, ROBIN		AMAZON.COM INC	B	7,652.31	20.00	10.00	10.00 70.0 4.00
11/16/2018	75502122	BURGHART, ROBIN		FACEBOOK INC CL A	S	19,493.25	50.00	10.00	40.00 70.0 25.00
11/21/2018	75502122	BURGHART, ROBIN		AMAZON.COM INC	B	7,652.31	20.00	10.00	10.00 70.0 4.00
11/21/2018	75502125	BURGHART, ROBIN		AMAZON.COM INC	B	7,652.31	20.00	10.00	10.00 70.0 4.00
11/28/2018	75502125	BURGHART, ROBIN		AMAZON.COM INC	S	3,214.44	10.00	10.00	0.00 70.0 -3.00
11/28/2018	75502125	BURGHART, ROBIN		BLACKSTONE GROUP L P (THE) COMMON U B		611.28	15.00	10.00	5.00 70.0 0.50
10/30/2018	QGV002942	ASSOCIATES, F/A/O		GENERAL MILLS INC	B	83,120.16	73.60	10.00	63.60 70.0 41.52
10/30/2018	QGV002942	ASSOCIATES, F/A/O		GENERAL MILLS INC	B	293,877.03	259.08	10.00	249.08 70.0 171.36
10/30/2018	QGV002942	ASSOCIATES, F/A/O		GENERAL MILLS INC	B	9,760.07	8.64	10.00	-1.36 70.0 -3.95
10/30/2018	QGV002942	ASSOCIATES, F/A/O		OSHKOSH CORPORATION	S	-64,785.15	49.04	10.00	39.04 70.0 24.33
10/30/2018	QGV002942	ASSOCIATES, F/A/O		ROSS STORES INC	S	-67,472.05	27.08	10.00	17.08 70.0 8.96
10/30/2018	QGV002942	ASSOCIATES, F/A/O		TIFFANY & CO NEW	S	-174,625.91	65.08	10.00	55.08 70.0 35.56
10/31/2018	QGV002942	ASSOCIATES, F/A/O		FORUM ENERGY TECHNOLOGIES INC COM I S		-152,281.37	340.80	10.00	330.80 70.0 228.56
10/31/2018	QGV002942	ASSOCIATES, F/A/O		FORUM ENERGY TECHNOLOGIES INC COM I S		-217,747.15	489.60	10.00	479.60 70.0 332.72
10/31/2018	QGV002942	ASSOCIATES, F/A/O		PFIZER INCORPORATED	S	-385,533.66	354.84	10.00	344.84 70.0 238.39
10/31/2018	QGV002942	ASSOCIATES, F/A/O		PFIZER INCORPORATED	S	-89,031.50	82.00	10.00	72.00 70.0 47.40
10/31/2018	QGV002942	ASSOCIATES, F/A/O		TIFFANY & CO NEW	S	-118,972.69	42.44	10.00	32.44 70.0 19.71
11/01/2018	QGV002942	ASSOCIATES, F/A/O		ALTRA INDL MOTION CORP COM	B	539,366.16	636.00	10.00	626.00 70.0 435.20
11/01/2018	QGV002942	ASSOCIATES, F/A/O		CIRCOR INTL INC COM	S	-254,718.23	306.00	10.00	296.00 70.0 204.20
11/01/2018	QGV002942	ASSOCIATES, F/A/O		DANA INC COM	B	67,235.15	170.40	10.00	160.40 70.0 109.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/01/2018	QGV002942	ASSOCIATES, F/A/O							
		DOWDUPONT INC COMMON STOCK	B	158,250.41	108.92	10.00	98.92	70.0	66.24
11/01/2018	QGV002942	ASSOCIATES, F/A/O							
		DOWDUPONT INC COMMON STOCK	B	44,826.86	30.80	10.00	20.80	70.0	11.56
11/01/2018	QGV002942	ASSOCIATES, F/A/O							
		FULLER H B CO COM	B	148,926.78	129.40	10.00	119.40	70.0	80.58
11/01/2018	QGV002942	ASSOCIATES, F/A/O							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S		-443,778.39	596.30	10.00	586.30	70.0	407.41
11/01/2018	QGV002942	ASSOCIATES, F/A/O							
		VISTEON CORP COM NEW	B	96,205.49	46.20	10.00	36.20	70.0	22.34
11/01/2018	QGV002942	ASSOCIATES, F/A/O							
		WILLIAMS COMPANIES (DEL)	B	58,093.63	92.00	10.00	82.00	70.0	54.40
11/02/2018	QGV002942	ASSOCIATES, F/A/O							
		HUDSON PAC PPTYS INC COM	B	273,929.07	363.20	10.00	353.20	70.0	244.24
11/05/2018	QGV002942	ASSOCIATES, F/A/O							
		AMETEK INC NEW	B	69,967.01	38.36	10.00	28.36	70.0	16.85
11/05/2018	QGV002942	ASSOCIATES, F/A/O							
		DOWDUPONT INC COMMON STOCK	B	64,821.64	44.72	10.00	34.72	70.0	21.30
11/05/2018	QGV002942	ASSOCIATES, F/A/O							
		OSHKOSH CORPORATION	S	-160,975.58	99.56	10.00	89.56	70.0	59.69
11/05/2018	QGV002942	ASSOCIATES, F/A/O							
		WILLIAMS COMPANIES (DEL)	B	87,908.91	138.68	10.00	128.68	70.0	87.08
11/13/2018	QGV002942	ASSOCIATES, F/A/O							
		FORUM ENERGY TECHNOLOGIES INC COM I S		-124,425.09	340.90	10.00	330.90	70.0	228.63
11/13/2018	QGV002942	ASSOCIATES, F/A/O							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S		-178,501.29	474.00	10.00	464.00	70.0	321.80
11/19/2018	QGV002942	ASSOCIATES, F/A/O							
		INGREDION INC COM	B	77,004.40	29.60	10.00	19.60	70.0	10.72
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		ALTRA INDL MOTION CORP COM	B	99,519.69	127.20	10.00	117.20	70.0	79.04
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		BORG WARNER AUTOMOTIVE INC	B	96,152.11	99.40	10.00	89.40	70.0	59.58
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		EATON CORPORATION PLC	B	109,489.95	60.00	10.00	50.00	70.0	32.00
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		MSA SAFETY INC COM	S	-122,079.57	45.88	10.00	35.88	70.0	22.12
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		NATIONAL INSTRUMENTS CORP	S	-130,643.21	110.60	10.00	100.60	70.0	67.42
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		ROYAL DUTCH SHELL PLC SPONSORED ADR B		170,754.84	113.20	10.00	103.20	70.0	69.24
11/20/2018	QGV002942	ASSOCIATES, F/A/O							
		STERIS PLC COM	S	-186,221.84	65.60	10.00	55.60	70.0	35.92
11/21/2018	QGV002942	ASSOCIATES, F/A/O							
		GENERAL MILLS INC	B	16,331.21	15.00	10.00	5.00	70.0	0.50
11/21/2018	QGV002942	ASSOCIATES, F/A/O							
		INGREDION INC COM	B	77,762.28	29.80	10.00	19.80	70.0	10.86
11/23/2018	QGV002942	ASSOCIATES, F/A/O							
		EATON CORPORATION PLC	B	61,560.93	33.20	10.00	23.20	70.0	13.24
11/23/2018	QGV002942	ASSOCIATES, F/A/O							
		NATIONAL INSTRUMENTS CORP	S	-317,032.75	269.60	10.00	259.60	70.0	178.72
11/26/2018	QGV002942	ASSOCIATES, F/A/O							
		BRUNSWICK CORP	B	192,039.41	141.20	10.00	131.20	70.0	88.84
11/26/2018	QGV002942	ASSOCIATES, F/A/O							
		HALLIBURTON COMPANY	S	-939,439.04	1,192.00	10.00	1,182.00	70.0	824.40
11/26/2018	QGV002942	ASSOCIATES, F/A/O							
		SCHLUMBERGER LTD	B	922,290.09	793.88	10.00	783.88	70.0	545.72
11/27/2018	QGV002942	ASSOCIATES, F/A/O							
		PACWEST BANCORP	B	61,569.41	62.00	10.00	52.00	70.0	33.40
11/28/2018	QGV002942	ASSOCIATES, F/A/O							
		MAGNOLIA OIL & GAS CORPORATION CLAS B		698,039.84	1,681.20	10.00	1,671.20	70.0	1,166.84
10/30/2018	QGV002959	PERSHING LLC							
		GENERAL MILLS INC	B	32,525.28	28.80	10.00	18.80	70.0	10.16
10/30/2018	QGV002959	PERSHING LLC							
		GENERAL MILLS INC	B	327,414.13	289.84	10.00	279.84	70.0	192.89
10/31/2018	QGV002959	PERSHING LLC							
		FORUM ENERGY TECHNOLOGIES INC COM I S		-126,454.31	283.00	10.00	273.00	70.0	188.10
10/31/2018	QGV002959	PERSHING LLC							
		FORUM ENERGY TECHNOLOGIES INC COM I S		-58,350.54	131.20	10.00	121.20	70.0	81.84
10/31/2018	QGV002959	PERSHING LLC							
		PFIZER INCORPORATED	S	-34,309.70	31.60	10.00	21.60	70.0	12.12
10/31/2018	QGV002959	PERSHING LLC							
		PFIZER INCORPORATED	S	-618,305.42	569.08	10.00	559.08	70.0	388.36
10/31/2018	QGV002959	PERSHING LLC							
		TIFFANY & CO NEW	S	-32,069.92	11.44	10.00	1.44	70.0	-1.99
11/01/2018	QGV002959	PERSHING LLC							
		ALTRA INDL MOTION CORP COM	B	144,170.20	170.00	10.00	160.00	70.0	109.00
11/01/2018	QGV002959	PERSHING LLC							
		CIRCOR INTL INC COM	S	-67,924.86	81.60	10.00	71.60	70.0	47.12
11/01/2018	QGV002959	PERSHING LLC							
		DANA INC COM	B	55,240.15	140.00	10.00	130.00	70.0	88.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/01/2018	QGV002959	PERSHING LLC							
		DOWDUPONT INC COMMON STOCK	B	42,134.25	29.00	10.00	19.00	70.0	10.30
11/01/2018	QGV002959	PERSHING LLC							
		DOWDUPONT INC COMMON STOCK	B	17,523.23	12.04	10.00	2.04	70.0	-1.57
11/01/2018	QGV002959	PERSHING LLC							
		FULLER H B CO COM	B	41,432.49	36.00	10.00	26.00	70.0	15.20
11/01/2018	QGV002959	PERSHING LLC							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S		-215,898.22	290.10	10.00	280.10	70.0	193.07
11/01/2018	QGV002959	PERSHING LLC							
		WILLIAMS COMPANIES (DEL)	B	22,479.71	35.60	10.00	25.60	70.0	14.92
11/02/2018	QGV002959	PERSHING LLC							
		HUDSON PAC PPTYS INC COM	B	1,177,472.65	1,561.20	10.00	1,551.20	70.0	1,082.84
11/05/2018	QGV002959	PERSHING LLC							
		DOWDUPONT INC COMMON STOCK	B	175,041.62	120.76	10.00	110.76	70.0	74.53
11/05/2018	QGV002959	PERSHING LLC							
		WILLIAMS COMPANIES (DEL)	B	588,637.22	928.60	10.00	918.60	70.0	640.02
11/13/2018	QGV002959	PERSHING LLC							
		FORUM ENERGY TECHNOLOGIES INC COM I S		-103,255.67	282.90	10.00	272.90	70.0	188.03
11/13/2018	QGV002959	PERSHING LLC							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S		-145,813.71	387.20	10.00	377.20	70.0	261.04
11/19/2018	QGV002959	PERSHING LLC							
		INGREDION INC COM	B	30,697.70	11.80	10.00	1.80	70.0	-1.74
11/20/2018	QGV002959	PERSHING LLC							
		ALTRA INDL MOTION CORP COM	B	27,864.83	35.60	10.00	25.60	70.0	14.92
11/20/2018	QGV002959	PERSHING LLC							
		BORG WARNER AUTOMOTIVE INC	B	26,327.36	27.20	10.00	17.20	70.0	9.04
11/20/2018	QGV002959	PERSHING LLC							
		EATON CORPORATION PLC	B	29,909.62	16.40	10.00	6.40	70.0	1.48
11/20/2018	QGV002959	PERSHING LLC							
		MSA SAFETY INC COM	S	-32,966.83	12.40	10.00	2.40	70.0	-1.32
11/20/2018	QGV002959	PERSHING LLC							
		NATIONAL INSTRUMENTS CORP	S	-50,792.57	43.00	10.00	33.00	70.0	20.10
11/20/2018	QGV002959	PERSHING LLC							
		ROYAL DUTCH SHELL PLC SPONSORED ADR B		46,453.33	30.80	10.00	20.80	70.0	11.56
11/20/2018	QGV002959	PERSHING LLC							
		STERIS PLC COM	S	-48,798.29	17.20	10.00	7.20	70.0	2.04
11/20/2018	QGV002959	PERSHING LLC							
		VISTEON CORP COM NEW	B	23,584.80	12.40	10.00	2.40	70.0	-1.32
11/23/2018	QGV002959	PERSHING LLC							
		EATON CORPORATION PLC	B	25,217.73	13.60	10.00	3.60	70.0	-0.48
11/23/2018	QGV002959	PERSHING LLC							
		NATIONAL INSTRUMENTS CORP	S	-85,137.88	72.40	10.00	62.40	70.0	40.68
11/26/2018	QGV002959	PERSHING LLC							
		ALLSCRIPTS HEALTHCARE SOLUTIONS INC B		29,178.60	60.60	10.00	50.60	70.0	32.42
11/26/2018	QGV002959	PERSHING LLC							
		BMC STK HLDGS INC COM	S	-22,890.42	56.00	10.00	46.00	70.0	29.20
11/26/2018	QGV002959	PERSHING LLC							
		BRUNSWICK CORP	B	157,766.09	116.00	10.00	106.00	70.0	71.20
11/26/2018	QGV002959	PERSHING LLC							
		CALLON PETROLEUM CO-DEL	B	25,179.30	55.40	10.00	45.40	70.0	28.78
11/26/2018	QGV002959	PERSHING LLC							
		CARTER'S INC	B	23,125.00	10.00	10.00	0.00	70.0	-3.00
11/26/2018	QGV002959	PERSHING LLC							
		DANA INC COM	B	20,976.85	28.20	10.00	18.20	70.0	9.74
11/26/2018	QGV002959	PERSHING LLC							
		FCB FINL HLDGS COM SHS A	S	-52,986.20	55.20	10.00	45.20	70.0	28.64
11/26/2018	QGV002959	PERSHING LLC							
		FORWARD AIR CORP COM	B	26,434.80	16.80	10.00	6.80	70.0	1.76
11/26/2018	QGV002959	PERSHING LLC							
		FULLER H B CO COM	B	35,313.40	30.40	10.00	20.40	70.0	11.28
11/26/2018	QGV002959	PERSHING LLC							
		GIBRALTAR INDS INC COM	S	-28,892.39	33.60	10.00	23.60	70.0	13.52
11/26/2018	QGV002959	PERSHING LLC							
		GLATFELTER P H CO COM	S	-20,813.10	31.80	10.00	21.80	70.0	12.26
11/26/2018	QGV002959	PERSHING LLC							
		HALLIBURTON COMPANY	S	-198,543.19	251.92	10.00	241.92	70.0	166.34
11/26/2018	QGV002959	PERSHING LLC							
		METHODE ELECTRS INC COM	B	19,932.50	26.80	10.00	16.80	70.0	8.76
11/26/2018	QGV002959	PERSHING LLC							
		NATUS MEDICAL INC DEL	S	-32,214.98	38.00	10.00	28.00	70.0	16.60
11/26/2018	QGV002959	PERSHING LLC							
		OXFORD INDS INC COM	S	-20,137.50	10.00	10.00	0.00	70.0	-3.00
11/26/2018	QGV002959	PERSHING LLC							
		QTS RLTY TR INC COM CL A	S	-38,743.90	40.40	10.00	30.40	70.0	18.28
11/26/2018	QGV002959	PERSHING LLC							
		SCHLUMBERGER LTD	B	194,895.18	167.76	10.00	157.76	70.0	107.43
11/26/2018	QGV002959	PERSHING LLC							
		SELECT ENERGY SVCS INC CL A COM	S	-15,484.52	30.60	10.00	20.60	70.0	11.42
11/26/2018	QGV002959	PERSHING LLC							
		STONERIDGE INC COM	S	-22,984.09	34.00	10.00	24.00	70.0	13.80

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/26/2018	QGV002959	PERSHING LLC							
		SYNNEX CORP COM	B	40,073.30	21.20	10.00	11.20	70.0	4.84
11/26/2018	QGV002959	PERSHING LLC							
		VISTEON CORP COM NEW	S	-22,223.80	11.20	10.00	1.20	70.0	-2.16
11/28/2018	QGV002959	PERSHING LLC							
		MAGNOLIA OIL & GAS CORPORATION CLAS B	B	578,336.72	1,392.90	10.00	1,382.90	70.0	965.03
10/30/2018	QGV006034	BANK OF AMERICA							
		GENERAL MILLS INC	B	5,872.62	5.20	10.00	-4.80	70.0	-6.36
10/31/2018	QGV006034	BANK OF AMERICA							
		FORUM ENERGY TECHNOLOGIES INC COM I S	S	-14,119.99	31.60	10.00	21.60	70.0	12.12
10/31/2018	QGV006034	BANK OF AMERICA							
		FORUM ENERGY TECHNOLOGIES INC COM I S	S	-107,761.71	242.30	10.00	232.30	70.0	159.61
10/31/2018	QGV006034	BANK OF AMERICA							
		PFIZER INCORPORATED	S	-35,164.69	32.40	10.00	22.40	70.0	12.68
10/31/2018	QGV006034	BANK OF AMERICA							
		PFIZER INCORPORATED	S	-5,298.46	4.88	10.00	-5.12	70.0	-6.58
10/31/2018	QGV006034	BANK OF AMERICA							
		PFIZER INCORPORATED	S	-122,731.04	112.96	10.00	102.96	70.0	69.07
10/31/2018	QGV006034	BANK OF AMERICA							
		TIFFANY & CO NEW	S	-58,981.75	21.04	10.00	11.04	70.0	4.73
11/01/2018	QGV006034	BANK OF AMERICA							
		ALTRA INDL MOTION CORP COM	B	267,138.90	315.00	10.00	305.00	70.0	210.50
11/01/2018	QGV006034	BANK OF AMERICA							
		CIRCOR INTL INC COM	S	-125,527.81	150.80	10.00	140.80	70.0	95.56
11/01/2018	QGV006034	BANK OF AMERICA							
		DANA INC COM	B	6,313.16	16.00	10.00	6.00	70.0	1.20
11/01/2018	QGV006034	BANK OF AMERICA							
		DOWDUPONT INC COMMON STOCK	B	17,224.02	12.00	10.00	2.00	70.0	-1.60
11/01/2018	QGV006034	BANK OF AMERICA							
		DOWDUPONT INC COMMON STOCK	B	78,456.87	54.00	10.00	44.00	70.0	27.80
11/01/2018	QGV006034	BANK OF AMERICA							
		DOWDUPONT INC COMMON STOCK	B	3,085.49	2.12	10.00	-7.88	70.0	-8.52
11/01/2018	QGV006034	BANK OF AMERICA							
		FULLER H B CO COM	B	75,959.57	66.00	10.00	56.00	70.0	36.20
11/01/2018	QGV006034	BANK OF AMERICA							
		GENERAL MILLS INC	B	31,516.83	28.40	10.00	18.40	70.0	9.88
11/01/2018	QGV006034	BANK OF AMERICA							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S	S	-148,844.00	200.00	10.00	190.00	70.0	130.00
11/01/2018	QGV006034	BANK OF AMERICA							
		VISTEON CORP COM NEW	B	47,894.51	23.00	10.00	13.00	70.0	6.10
11/01/2018	QGV006034	BANK OF AMERICA							
		WILLIAMS COMPANIES (DEL)	B	4,546.46	7.20	10.00	-2.80	70.0	-4.96
11/02/2018	QGV006034	BANK OF AMERICA							
		WILLIAMS COMPANIES (DEL)	B	22,585.20	35.20	10.00	25.20	70.0	14.64
11/13/2018	QGV006034	BANK OF AMERICA							
		FORUM ENERGY TECHNOLOGIES INC COM I S	S	-11,460.69	31.40	10.00	21.40	70.0	11.98
11/13/2018	QGV006034	BANK OF AMERICA							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S	S	-16,268.47	43.20	10.00	33.20	70.0	20.24
11/19/2018	QGV006034	BANK OF AMERICA							
		INGREDION INC COM	B	5,723.30	2.20	10.00	-7.80	70.0	-8.46
11/20/2018	QGV006034	BANK OF AMERICA							
		ABBOTT LABORATORIES	B	20,390.34	11.60	10.00	1.60	70.0	-1.88
11/20/2018	QGV006034	BANK OF AMERICA							
		ALTRA INDL MOTION CORP COM	B	50,094.08	64.00	10.00	54.00	70.0	34.80
11/20/2018	QGV006034	BANK OF AMERICA							
		BORG WARNER AUTOMOTIVE INC	B	48,395.88	50.00	10.00	40.00	70.0	25.00
11/20/2018	QGV006034	BANK OF AMERICA							
		EATON CORPORATION PLC	B	54,712.73	30.00	10.00	20.00	70.0	11.00
11/20/2018	QGV006034	BANK OF AMERICA							
		MSA SAFETY INC COM	S	-60,084.70	22.60	10.00	12.60	70.0	5.82
11/20/2018	QGV006034	BANK OF AMERICA							
		NATIONAL INSTRUMENTS CORP	S	-8,977.29	7.60	10.00	-2.40	70.0	-4.68
11/20/2018	QGV006034	BANK OF AMERICA							
		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	87,477.05	58.00	10.00	48.00	70.0	30.60
11/20/2018	QGV006034	BANK OF AMERICA							
		STERIS PLC COM	S	-89,652.68	31.60	10.00	21.60	70.0	12.12
11/23/2018	QGV006034	BANK OF AMERICA							
		EATON CORPORATION PLC	B	5,191.89	2.80	10.00	-7.20	70.0	-8.04
11/23/2018	QGV006034	BANK OF AMERICA							
		NATIONAL INSTRUMENTS CORP	S	-208,846.50	177.60	10.00	167.60	70.0	114.32
11/26/2018	QGV006034	BANK OF AMERICA							
		ALLSCRIPTS HEALTHCARE SOLUTIONS INC B	B	30,045.29	62.40	10.00	52.40	70.0	33.68
11/26/2018	QGV006034	BANK OF AMERICA							
		BMC STK HLDGS INC COM	S	-26,160.48	64.00	10.00	54.00	70.0	34.80
11/26/2018	QGV006034	BANK OF AMERICA							
		BRUNSWICK CORP	B	18,496.71	13.60	10.00	3.60	70.0	-0.48
11/26/2018	QGV006034	BANK OF AMERICA							
		CALLON PETROLEUM CO-DEL	B	31,633.20	69.60	10.00	59.60	70.0	38.72
11/26/2018	QGV006034	BANK OF AMERICA							
		CARTER'S INC	B	23,125.00	10.00	10.00	0.00	70.0	-3.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/26/2018	QGV006034	BANK OF AMERICA	DANA INC COM	B	24,249.84	32.60	10.00	22.60	70.0	12.82
11/26/2018	QGV006034	BANK OF AMERICA	FCB FINL HLDGS COM SHS A	S	-52,986.20	55.20	10.00	45.20	70.0	28.64
11/26/2018	QGV006034	BANK OF AMERICA	FORWARD AIR CORP COM	B	22,658.40	14.40	10.00	4.40	70.0	0.08
11/26/2018	QGV006034	BANK OF AMERICA	FULLER H B CO COM	B	46,000.35	39.60	10.00	29.60	70.0	17.72
11/26/2018	QGV006034	BANK OF AMERICA	GENERAL MILLS INC	B	181,499.43	170.40	10.00	160.40	70.0	109.28
11/26/2018	QGV006034	BANK OF AMERICA	GIBRALTAR INDS INC COM	S	-17,541.81	20.40	10.00	10.40	70.0	4.28
11/26/2018	QGV006034	BANK OF AMERICA	GLATFELTER P H CO COM	S	-20,420.40	31.20	10.00	21.20	70.0	11.84
11/26/2018	QGV006034	BANK OF AMERICA	HALLIBURTON COMPANY	S	-16,696.75	21.20	10.00	11.20	70.0	4.84
11/26/2018	QGV006034	BANK OF AMERICA	HALLIBURTON COMPANY	S	-254,594.28	323.04	10.00	313.04	70.0	216.13
11/26/2018	QGV006034	BANK OF AMERICA	LEGGETT & PLATT INC	S	-121,028.60	127.60	10.00	117.60	70.0	79.32
11/26/2018	QGV006034	BANK OF AMERICA	METHODE ELECTRS INC COM	B	24,990.00	33.60	10.00	23.60	70.0	13.52
11/26/2018	QGV006034	BANK OF AMERICA	NATUS MEDICAL INC DEL	S	-30,180.35	35.60	10.00	25.60	70.0	14.92
11/26/2018	QGV006034	BANK OF AMERICA	OXFORD INDS INC COM	S	-28,998.00	14.40	10.00	4.40	70.0	0.08
11/26/2018	QGV006034	BANK OF AMERICA	PACWEST BANCORP	B	32,965.11	33.20	10.00	23.20	70.0	13.24
11/26/2018	QGV006034	BANK OF AMERICA	QTS RLTY TR INC COM CL A	S	-39,396.03	41.08	10.00	31.08	70.0	18.76
11/26/2018	QGV006034	BANK OF AMERICA	SCHLUMBERGER LTD	B	249,962.13	215.16	10.00	205.16	70.0	140.61
11/26/2018	QGV006034	BANK OF AMERICA	SELECT ENERGY SVCS INC CL A COM	S	-18,824.32	37.20	10.00	27.20	70.0	16.04
11/26/2018	QGV006034	BANK OF AMERICA	STONERIDGE INC COM	S	-22,713.68	33.60	10.00	23.60	70.0	13.52
11/26/2018	QGV006034	BANK OF AMERICA	SYNNEX CORP COM	B	37,805.00	20.00	10.00	10.00	70.0	4.00
11/26/2018	QGV006034	BANK OF AMERICA	UNITED BANKSHARES INC W VA COM	S	-109,430.00	124.00	10.00	114.00	70.0	76.80
11/26/2018	QGV006034	BANK OF AMERICA	VISTEON CORP COM NEW	S	-19,842.68	10.00	10.00	0.00	70.0	-3.00
11/26/2018	QGV006034	BANK OF AMERICA	WILLIAMS COMPANIES (DEL)	B	130,061.92	210.40	10.00	200.40	70.0	137.28
11/28/2018	QGV006034	BANK OF AMERICA	MAGNOLIA OIL & GAS CORPORATION CLAS B		64,398.04	155.10	10.00	145.10	70.0	98.57
* STOCKS SUBTOTAL *					\$11,075,583.34	\$30,525.26	\$2,480.00	\$28,045.26		\$18,887.70

PRODUCT CATEGORY: OPTIONS

10/31/2018	75500360	BOYCE, ANN HAGERTY	PUT	SQ	06/21/19	75	S	6,570.00	25.00	10.00	15.00	70.0	7.50
11/01/2018	75500360	BOYCE, ANN HAGERTY	PUT	INTC	06/21/19	50	S	2,575.00	25.00	10.00	15.00	70.0	7.50
11/01/2018	75500360	BOYCE, ANN HAGERTY	PUT	INTC	01/18/19	50	B	1,700.00	25.00	10.00	15.00	70.0	7.50
11/07/2018	75500360	BOYCE, ANN HAGERTY	PUT	UNH	06/21/19	240	S	7,515.00	25.00	10.00	15.00	70.0	7.50
11/13/2018	75500360	BOYCE, ANN HAGERTY	PUT	JNJ	06/21/19	130	B	2,720.00	25.00	10.00	15.00	70.0	7.50
11/13/2018	75500360	BOYCE, ANN HAGERTY	PUT	ULTA	03/15/19	265	B	2,520.00	20.00	10.00	10.00	70.0	4.00
11/20/2018	75500360	BOYCE, ANN HAGERTY	CALL	SPY	01/20/17	222	B	25,275.00	20.00	10.00	10.00	70.0	4.00
11/20/2018	75500360	BOYCE, ANN HAGERTY	PUT	NVDA	06/21/19	230	B	48,525.00	509.55	10.00	499.55	70.0	346.69
11/20/2018	75500360	BOYCE, ANN HAGERTY	PUT	SQ	06/21/19	75	B	11,525.00	20.00	10.00	10.00	70.0	4.00
11/20/2018	75500360	BOYCE, ANN HAGERTY	PUT	ULTA	03/15/19	265	B	2,000.00	20.00	10.00	10.00	70.0	4.00
11/26/2018	75500360	BOYCE, ANN HAGERTY	PUT	AAPL	06/21/19	200	B	28,550.00	15.00	10.00	5.00	70.0	0.50
11/28/2018	75500360	BOYCE, ANN HAGERTY	PUT	MSFT	07/19/19	110	S	4,185.00	25.00	10.00	15.00	70.0	7.50
11/28/2018	75500360	BOYCE, ANN HAGERTY	PUT	SQ	03/15/19	65	B	22,000.00	25.00	10.00	15.00	70.0	7.50
11/28/2018	75500360	BOYCE, ANN HAGERTY	PUT	SQ	06/21/19	75	S	23,520.00	25.00	10.00	15.00	70.0	7.50

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT			B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
11/29/2018	75500361	BOYCE, JOHN C	CALL MS	11/30/18	44.50	S	22,064.00	50.00	10.00	40.00	70.0	25.00
11/29/2018	75500361	BOYCE, JOHN C	PUT FMC	01/18/19	95	B	19,136.00	50.00	10.00	40.00	70.0	25.00
11/27/2018	75500368	PETR, THOMAS	PUT HUYA	04/18/19	30	B	71,500.00	80.00	10.00	70.00	70.0	46.00
11/27/2018	75500368	PETR, THOMAS	PUT IQ	03/15/19	30	B	51,500.00	80.00	10.00	70.00	70.0	46.00
11/12/2018	75500370	HUBARD, MARGARET	PUT HSY	05/17/19	115	S	9,280.00	50.00	10.00	40.00	70.0	25.00
11/12/2018	75500370	HUBARD, MARGARET	PUT HSY	01/18/19	115	B	7,120.00	50.00	10.00	40.00	70.0	25.00
11/13/2018	75500370	HUBARD, MARGARET	PUT RIO	01/18/19	55	B	5,740.00	20.00	10.00	10.00	70.0	4.00
11/29/2018	75500370	HUBARD, MARGARET	PUT MA	07/19/19	180	S	9,300.00	50.00	10.00	40.00	70.0	25.00
11/08/2018	75500385	HUBARD, JOHN	PUT GOOG	01/18/19	1150	S	16,846.00	25.00	10.00	15.00	70.0	7.50
11/08/2018	75500385	HUBARD, JOHN	PUT GOOG	06/21/19	1120	S	19,720.00	25.00	10.00	15.00	70.0	7.50
11/28/2018	75500385	HUBARD, JOHN	PUT GOOG	01/18/19	1150	B	39,080.00	30.00	10.00	20.00	70.0	11.00
11/28/2018	75500385	HUBARD, JOHN	PUT GOOG	06/21/19	1120	S	40,640.00	30.00	10.00	20.00	70.0	11.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT C	01/18/19	77.50	B	12,776.00	50.00	10.00	40.00	70.0	25.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT C	06/21/19	77.50	S	13,450.00	50.00	10.00	40.00	70.0	25.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT CVX	06/21/19	130	S	22,140.00	60.00	10.00	50.00	70.0	32.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT CVX	01/18/19	130	B	17,325.00	60.00	10.00	50.00	70.0	32.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT GOOG	01/18/19	1100	B	42,300.00	50.00	10.00	40.00	70.0	25.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT GOOG	06/21/19	1120	S	54,360.00	50.00	10.00	40.00	70.0	25.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT HD	02/15/19	200	B	28,050.00	60.00	10.00	50.00	70.0	32.00
11/12/2018	75500424	JOHN B HUBARD TTEE	PUT HD	06/21/19	185	S	32,835.00	60.00	10.00	50.00	70.0	32.00
11/29/2018	75500424	JOHN B HUBARD TTEE	PUT MA	07/19/19	180	S	9,380.00	50.00	10.00	40.00	70.0	25.00
11/29/2018	75500424	JOHN B HUBARD TTEE	PUT PEP	01/18/19	120	B	5,955.00	60.00	10.00	50.00	70.0	32.00
11/29/2018	75500424	JOHN B HUBARD TTEE	PUT PEP	07/19/19	120	S	11,820.00	60.00	10.00	50.00	70.0	32.00
11/29/2018	75500424	JOHN B HUBARD TTEE	PUT SJM	01/19/18	135	B	4,953.00	20.00	10.00	10.00	70.0	4.00
11/29/2018	75500424	JOHN B HUBARD TTEE	PUT SJM	07/19/19	120	S	5,610.00	20.00	10.00	10.00	70.0	4.00
11/15/2018	75500443	GOOD, TIMOTHY	CALL SA	02/15/19	14	B	1,320.00	30.00	10.00	20.00	70.0	11.00
11/15/2018	75500443	GOOD, TIMOTHY	PUT BABA	01/18/19	170	B	21,150.00	50.00	10.00	40.00	70.0	25.00
11/15/2018	75500443	GOOD, TIMOTHY	PUT BABA	06/21/19	170	S	26,570.00	50.00	10.00	40.00	70.0	25.00
11/16/2018	75500443	GOOD, TIMOTHY	PUT GWPH	01/18/19	155	B	26,556.00	50.00	10.00	40.00	70.0	25.00
11/16/2018	75500443	GOOD, TIMOTHY	PUT T	11/30/18	30.50	S	32,380.00	50.00	10.00	40.00	70.0	25.00
11/19/2018	75500443	GOOD, TIMOTHY	CALL AAPL	02/15/19	190	S	5,775.00	40.00	10.00	30.00	70.0	18.00
11/20/2018	75500443	GOOD, TIMOTHY	PUT BABA	06/21/19	170	B	15,490.00	25.00	10.00	15.00	70.0	7.50
11/28/2018	75500443	GOOD, TIMOTHY	CALL AAPL	02/15/19	190	B	2,510.00	30.00	10.00	20.00	70.0	11.00
11/28/2018	75500443	GOOD, TIMOTHY	PUT C	12/30/16	60	B	24,060.00	90.00	10.00	80.00	70.0	53.00
11/28/2018	75500443	GOOD, TIMOTHY	PUT C	01/17/20	75	S	28,440.00	90.00	10.00	80.00	70.0	53.00
11/28/2018	75500443	GOOD, TIMOTHY	PUT HD	06/21/19	185	S	1,845.00	30.00	10.00	20.00	70.0	11.00
11/29/2018	75501338	BOYCE, ROGER F	PUT SPOT	07/19/19	160	S	6,110.00	15.00	10.00	5.00	70.0	0.50
11/29/2018	75501338	BOYCE, ROGER F	PUT SPOT	01/18/19	160	B	4,410.00	15.00	10.00	5.00	70.0	0.50
* OPTIONS SUBTOTAL *							\$958,676.00	\$2,559.55	\$520.00	\$2,039.55		\$1,271.69

* OPTIONS SUBTOTAL *

\$958,676.00

\$2,559.55

\$520.00

\$2,039.55

\$1,271.69

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018		FEE COUNT - 4		0.00	71.53	0.00	71.53	70.0	50.07
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$71.53	\$0.00	\$71.53		\$50.07
		*** BROKER TRANSACTIONS TOTAL ***		\$12,034,259.34	\$33,156.34	\$3,000.00	\$30,156.34		\$20,209.46

ADJUSTMENTS

11/30/2018		MISCELLANEOUS: CREDIT SIPC FINRA CH		0.00	0.00	0.00	0.00		124.34
11/30/2018		MISCELLANEOUS: REVERSE FINRA/SIPC F		0.00	0.00	0.00	0.00		2,770.34
11/30/2018		MISCELLANEOUS: REVESE CHRG 02/28/20		0.00	0.00	0.00	0.00		855.00
11/30/2018		MISCELLANEOUS: MONTHLY FIX CONNECTI		0.00	0.00	0.00	0.00		-175.00
11/30/2018		MISCELLANEOUS: LUNCH FOR OPS		0.00	0.00	0.00	0.00		-290.28
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$3,284.40

BROKER COMMISSION TOTALS

Broker Transactions	\$20,209.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$3,284.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-41.45
SIPC Assessment	\$-82.89

Check Amount	\$23,369.52
Year-to-date Earnings	\$207,494.44