

COMMISSION STATEMENT

December 15, 2018

SCOTT SICKLES-D060
 4200 Ridgecrest Circle
 Suite A4
 Amarillo, TX 79101

BROKER #: D060
 BRANCH: DMC

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
11/28/2018	000000001042631	WEIR, DEBBIE	MF	FRK AGGRESSIVE GRWTH - CL A	B	250.00	10.00	0.00	10.00	75.0	7.50
11/26/2018	00082895917	JOHNSON, KRISTY	MF	CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
11/26/2018	00082895917	JOHNSON, KRISTY	MF	THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
11/26/2018	00082895917	JOHNSON, KRISTY	MF	THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
12/03/2018	00085552496	MAXIE A SICKLES	MF	CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
12/04/2018	04000584689	OLGA HOUSAND	MF	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
11/26/2018	04001241506	CARTWRIGHT, SAM	MF	AMERICAN BALANCED FUND-C	B	62,131.70	621.32	0.00	621.32	75.0	465.99
				* MUTUAL FUNDS SUBTOTAL *		\$62,881.70	\$639.66	\$0.00	\$639.66		\$479.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/03/2018	00082100637	SUZEWITS, MARIA	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	0.20	0.00	0.20	75.0	0.15
12/03/2018	00082100637	SUZEWITS, MARIA	MFT	INCOME FUND OF AMERICA CLASS C	B	0.00	0.02	0.00	0.02	75.0	0.02
12/03/2018	00082100637	SUZEWITS, MARIA	MFT	THE BOND FUND OF AMERICA-C	B	0.00	0.08	0.00	0.08	75.0	0.06
12/03/2018	00082889269	GIBBS, LORI	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.20	0.00	1.20	75.0	0.90
12/03/2018	00082889269	GIBBS, LORI	MFT	THE BOND FUND OF AMERICA-A	B	0.00	0.89	0.00	0.89	75.0	0.67
12/03/2018	00082889269	GIBBS, LORI	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	1.25	0.00	1.25	75.0	0.94
12/03/2018	00082895917	JOHNSON, KRISTY	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.74	0.00	3.74	75.0	2.81
12/03/2018	00082895917	JOHNSON, KRISTY	MFT	THE BOND FUND OF AMERICA-A	B	0.00	3.01	0.00	3.01	75.0	2.26
12/03/2018	00082895917	JOHNSON, KRISTY	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	3.99	0.00	3.99	75.0	2.99
12/03/2018	00083035369	MENG, DEBRA	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.76	0.00	1.76	75.0	1.32
12/03/2018	00083035369	MENG, DEBRA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.30	0.00	1.30	75.0	0.98
12/03/2018	00083035369	MENG, DEBRA	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.49	0.00	1.49	75.0	1.12
12/03/2018	00083035369	MENG, DEBRA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	2.07	0.00	2.07	75.0	1.55
12/03/2018	00084116303	SPIVA, HOLLY	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	6.78	0.00	6.78	75.0	5.09
12/03/2018	00084116303	SPIVA, HOLLY	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	0.03	0.00	0.03	75.0	0.02
12/03/2018	00084116303	SPIVA, HOLLY	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	6.75	0.00	6.75	75.0	5.06
12/03/2018	00084116303	SPIVA, HOLLY	MFT	EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.04	0.00	0.04	75.0	0.03
12/03/2018	00084116303	SPIVA, HOLLY	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	4.99	0.00	4.99	75.0	3.74
12/03/2018	00084116303	SPIVA, HOLLY	MFT	FUNDAMENTAL INVESTORS FUND	B	0.00	0.03	0.00	0.03	75.0	0.02
12/03/2018	00084116303	SPIVA, HOLLY	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.06	0.00	0.06	75.0	0.05
12/03/2018	00084116303	SPIVA, HOLLY	MFT	NEW WORLD FUND-C	B	0.00	6.45	0.00	6.45	75.0	4.84

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12/03/2018 00084116303	SPIVA, HOLLY	MFT NEW WORLD FUND-F1	B	0.00	0.04	0.00	0.04	75.0	0.03
12/03/2018 00084116303	SPIVA, HOLLY	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	10.67	0.00	10.67	75.0	8.00
12/03/2018 00084116303	SPIVA, HOLLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.53	0.00	4.53	75.0	3.40
12/03/2018 00084118996	DAVIS, JANET	MFT CAPITAL WORLD BOND FUND-C	B	0.00	21.88	0.00	21.88	75.0	16.41
12/03/2018 00084118996	DAVIS, JANET	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	39.34	0.00	39.34	75.0	29.51
12/03/2018 00084118996	DAVIS, JANET	MFT CAPITAL WORLD BOND FUND-F1	B	0.00	0.02	0.00	0.02	75.0	0.02
12/03/2018 00084118996	DAVIS, JANET	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.04	0.00	0.04	75.0	0.03
12/03/2018 00084118996	DAVIS, JANET	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	20.99	0.00	20.99	75.0	15.74
12/03/2018 00084118996	DAVIS, JANET	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.02	0.00	0.02	75.0	0.02
12/03/2018 00084118996	DAVIS, JANET	MFT FUNDAMENTAL INVESTORS-C	B	0.00	29.29	0.00	29.29	75.0	21.97
12/03/2018 00084118996	DAVIS, JANET	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.04	0.00	0.04	75.0	0.03
12/03/2018 00084118996	DAVIS, JANET	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.03	0.00	0.03	75.0	0.02
12/03/2018 00084118996	DAVIS, JANET	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	51.56	0.00	51.56	75.0	38.67
12/03/2018 00084222411	VAUGHN, JOE	MFT CAPITAL INCOME BUILDER-A	B	0.00	13.52	0.00	13.52	75.0	10.14
12/03/2018 00084222411	VAUGHN, JOE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	16.10	0.00	16.10	75.0	12.08
12/03/2018 00084770563	SPIVA, SAMANTHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.52	0.00	3.52	75.0	2.64
12/03/2018 00084785305	MCCOWN, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	75.0	0.09
12/03/2018 00085367391	BRANNON,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.39	0.00	2.39	75.0	1.79
12/03/2018 00085367391	BRANNON,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.77	0.00	2.77	75.0	2.08
12/03/2018 00085367391	BRANNON,	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.24	0.00	3.24	75.0	2.43
12/03/2018 00085552496	MAXIE A SICKLES	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	22.92	0.00	22.92	75.0	17.19
12/03/2018 00085552496	MAXIE A SICKLES	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.43	0.00	2.43	75.0	1.82
12/03/2018 00085552496	MAXIE A SICKLES	MFT INCOME FUND OF AMERICA CLASS C	B	0.00	18.86	0.00	18.86	75.0	14.15
12/03/2018 00085552496	MAXIE A SICKLES	MFT THE BOND FUND OF AMERICA-C	B	0.00	13.95	0.00	13.95	75.0	10.46
12/03/2018 00085552496	MAXIE A SICKLES	MFT THE BOND FUND OF AMERICA-F1	B	0.00	2.27	0.00	2.27	75.0	1.70
12/03/2018 00085552496	MAXIE A SICKLES	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.88	0.00	2.88	75.0	2.16
12/03/2018 00086907129	SICKLES, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.78	0.00	15.78	75.0	11.84
12/03/2018 00087696393	CHARLES MICHAEL	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	68.92	0.00	68.92	75.0	51.69
12/03/2018 00087696393	CHARLES MICHAEL	MFT NEW WORLD FUND-C	B	0.00	39.42	0.00	39.42	75.0	29.57
12/03/2018 00087696393	CHARLES MICHAEL	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	74.79	0.00	74.79	75.0	56.09
12/03/2018 00087696393	CHARLES MICHAEL	MFT THE NEW ECONOMY FUND-C	B	0.00	70.16	0.00	70.16	75.0	52.62
12/03/2018 00088702320	SICKLES, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.62	0.00	9.62	75.0	7.22
12/03/2018 00089635528	BAUTISTA, MOISES	MFT FUNDAMENTAL INVESTORS-C	B	0.00	13.12	0.00	13.12	75.0	9.84
12/03/2018 04000032754	SPIVA, HOLLY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.44	0.00	0.44	75.0	0.33
12/03/2018 04000032754	SPIVA, HOLLY	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	0.44	0.00	0.44	75.0	0.33
12/03/2018 04000032754	SPIVA, HOLLY	MFT FUNDAMENTAL INVESTORS-C	B	0.00	0.25	0.00	0.25	75.0	0.19
12/03/2018 04000032754	SPIVA, HOLLY	MFT NEW WORLD FUND-C	B	0.00	0.44	0.00	0.44	75.0	0.33
12/03/2018 04000032754	SPIVA, HOLLY	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	0.52	0.00	0.52	75.0	0.39
12/03/2018 04000032754	SPIVA, HOLLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.75	0.00	1.75	75.0	1.31
12/03/2018 04000584689	OLGA HOUSAND	MFT THE NEW ECONOMY FUND-C	B	0.00	0.07	0.00	0.07	75.0	0.05
12/03/2018 04000612038	CARLSON, EDEN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.16	0.00	0.16	75.0	0.12
12/03/2018 04000650197	MONFORT, RONALD	MFT AMCAP FUND-A	B	0.00	0.36	0.00	0.36	75.0	0.27
12/03/2018 04000650197	MONFORT, RONALD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.36	0.00	0.36	75.0	0.27
12/03/2018 04000650593	MONFORT, TINA	MFT RTMT INCOME PORTFOLIO	B	0.00	0.72	0.00	0.72	75.0	0.54

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$626.87	\$0.00	\$626.87		\$470.20
		*** DIRECT TRANSACTIONS TOTAL ***		\$62,881.70	\$1,266.53	\$0.00	\$1,266.53		\$949.95

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018		: 2019 STATE BRANCH FEE		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$949.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-48.58
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$876.37
Year-to-date Commissions	\$7,067.10