

COMMISSION STATEMENT

October 15, 2018

BARRY DAVIS-D018
 270 South Glynn Street

BROKER #: D018
 BRANCH: DME

Fayetteville, GA 30214

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
09/24/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
10/01/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
09/28/2018	00067998454	KEARNS, LEAH	MF	NEW PERSPECTIVE FUND-A	B	33.00	1.40	0.00	1.40 70.0 0.98
09/28/2018	00067998454	KEARNS, LEAH	MF	THE GROWTH FUND OF AMERICA-A	B	33.00	1.40	0.00	1.40 70.0 0.98
09/28/2018	00067998454	KEARNS, LEAH	MF	THE INVESTMENT COMPANY OF	B	34.00	1.45	0.00	1.45 70.0 1.02
09/24/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
09/24/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
09/24/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
09/24/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
10/01/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
10/01/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
10/01/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
10/01/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
09/24/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
09/24/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
09/24/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
09/24/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
09/24/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
09/24/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
10/01/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
10/01/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
10/01/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
10/01/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
10/01/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
10/01/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
09/24/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
09/24/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
09/24/2018	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
09/24/2018	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
09/24/2018	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08
09/24/2018	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
09/24/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/01/2018 00086607305	MINSHAW, RICHIE	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/01/2018 00086607305	MINSHAW, RICHIE	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
10/01/2018 00086607305	MINSHAW, RICHIE	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/01/2018 00086607305	MINSHAW, RICHIE	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/01/2018 00086607305	MINSHAW, RICHIE	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/01/2018 00086607305	MINSHAW, RICHIE	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
10/01/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
10/01/2018 00086607305	MINSHAW, RICHIE	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
09/24/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	11.04	0.30	0.00	0.30	70.0	0.21
09/24/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	11.04	0.30	0.00	0.30	70.0	0.21
09/24/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	11.04	0.30	0.00	0.30	70.0	0.21
09/24/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	11.04	0.30	0.00	0.30	70.0	0.21
10/01/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	10.35	0.28	0.00	0.28	70.0	0.20
10/01/2018 00086622199	RICHIE, MICHAEL	MF AMCAP FUND-A	B	10.35	0.28	0.00	0.28	70.0	0.20
10/01/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	10.35	0.28	0.00	0.28	70.0	0.20
10/01/2018 00086622199	RICHIE, MICHAEL	MF THE INVESTMENT COMPANY OF	B	10.35	0.28	0.00	0.28	70.0	0.20
10/02/2018 00088460018	CLOUD, CHASITY	MF NEW PERSPECTIVE FUND-529A	B	350.00	14.88	0.00	14.88	70.0	10.42
10/02/2018 00088460059	CLOUD, CHASITY	MF NEW PERSPECTIVE FUND-529A	B	350.00	14.88	0.00	14.88	70.0	10.42
10/05/2018 00088968626	DAVIS, KATHY	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	70.0	3.15
10/05/2018 00088968626	DAVIS, KATHY	MF NEW WORLD FUND-A	B	150.00	7.50	0.00	7.50	70.0	5.25
09/24/2018 04001097654	WINNER, HOLLY	MF THE GROWTH FUND OF AMERICA-A	B	4,084.73	204.24	0.00	204.24	70.0	142.97
09/24/2018 04001097654	WINNER, HOLLY	MF THE INVESTMENT COMPANY OF	B	4,084.73	204.24	0.00	204.24	70.0	142.97
* MUTUAL FUNDS SUBTOTAL *				\$9,955.00	\$474.47	\$0.00	\$474.47		\$332.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018 (None)	VARIOUS, DAVIS	MFT OPPENHEIMER	B	0.00	0.62	0.00	0.62	70.0	0.43
10/01/2018 00358329685	JOHN R EGGLI	MFT PUTNAM UTILITIES GROWTH AND	B	0.00	0.52	0.00	0.52	70.0	0.36
10/01/2018 00358329685	JOHN R EGGLI	MFT PUTNAM 746802800	B	0.00	0.38	0.00	0.38	70.0	0.27
10/01/2018 00358329685	JOHN R EGGLI	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	0.84	0.00	0.84	70.0	0.59
10/01/2018 00358329685	JOHN R EGGLI	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.63	0.00	0.63	70.0	0.44
10/05/2018 00538436361	WORSHAM, ADAM	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.36	0.00	0.36	70.0	0.25
10/01/2018 03808435170	WHITAKER, TIMOTHY	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.75	0.00	0.75	70.0	0.53
10/01/2018 03808435170	WHITAKER, TIMOTHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.50	0.00	2.50	70.0	1.75
10/01/2018 03808949931	GARDNER UTMA/GA,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.87	0.00	0.87	70.0	0.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$7.47	\$0.00	\$7.47		\$5.23

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/22/2018 (None)	TRUSLEY, GILBER	VAT AMERICAN GENERAL	B	0.00	233.36	0.00	233.36	70.0	163.35
09/28/2018 (None)	MUNCHER, DAVID	VAT AMERICAN GENERAL	B	0.00	76.28	0.00	76.28	70.0	53.40
10/05/2018 (None)	THOMPSON, ROBERT	VAT AMERICAN GENERAL	B	0.00	471.13	0.00	471.13	70.0	329.79
10/04/2018 VR07021822	WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	16.18	0.00	16.18	70.0	11.33
10/02/2018 VR07026642	WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	102.09	0.00	102.09	70.0	71.46

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		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$899.04	\$0.00	\$899.04		\$629.33
		*** DIRECT TRANSACTIONS TOTAL ***		\$9,955.00	\$1,380.98	\$0.00	\$1,380.98		\$966.76

BROKER COMMISSION TOTALS

Broker Transactions	\$966.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$966.76
Year-to-date Commissions	\$49,773.05