

COMMISSION STATEMENT

October 31, 2018

 RALPH BURZYNSKI-D368
 634 South Cook Street

 BROKER #: D368
 BRANCH: D12

Barrington, IL 60010

COMMISSION STATEMENT for RALPH BURZYNSKI-D368

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2018	183954549	CAMERON, ERNEST	S	BAUSCH HEALTH COS INC ISIN	B	10,473.82	50.00	15.00	35.00 90.0 31.50
10/25/2018	183954549	CAMERON, ERNEST	S	BRISTOL MYERS SQUIBB COMPANY	S	-4,036.92	50.00	15.00	35.00 90.0 31.50
10/25/2018	183954549	CAMERON, ERNEST	S	GENERAL ELECTRIC COMPANY	B	4,178.46	50.00	15.00	35.00 90.0 31.50
10/25/2018	183954549	CAMERON, ERNEST	S	WALGREENS BOOTS ALLIANCE INC	S	-10,030.66	50.00	15.00	35.00 90.0 31.50
				* STOCKS SUBTOTAL *		\$584.70	\$200.00	\$60.00	\$140.00 \$126.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2018	mfc076959	VARIOUS,		MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	5.14	0.00	5.14 90.0 4.63
10/19/2018	mfc076959	VARIOUS,		MFT FIDELITY ADVISOR SMALL CAP FUND B		0.00	4.06	0.00	4.06 90.0 3.65
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$9.20	\$0.00	\$9.20 \$8.28
				*** CLEARING TRANSACTIONS TOTAL ***		\$584.70	\$209.20	\$60.00	\$149.20 \$134.28

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

10/15/2018	00082908244	RICHTER, PAUL	MF	THE GROWTH FUND OF AMERICA-A	B	200.00	10.00	0.00	10.00 90.0 9.00
10/22/2018	00083381688	LEHMANN, MARGARET	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13 90.0 1.92
10/22/2018	00083453207	KOLMAN, KIMBERLY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	3.75	0.00	3.75 90.0 3.38
10/25/2018	00084075363	STRAMA, THOMAS	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50 90.0 2.25
10/15/2018	00085180395	ACCETTURA,	MF	THE GROWTH FUND OF AMERICA-A	B	800.00	30.00	0.00	30.00 90.0 27.00
10/15/2018	00085180395	ACCETTURA,	MF	WASHINGTON MUTUAL INVESTORS	B	575.00	21.56	0.00	21.56 90.0 19.40
10/23/2018	00085376218	NEUMANN, ARLENE	MF	THE INVESTMENT COMPANY OF	B	250.00	10.63	0.00	10.63 90.0 9.57
10/16/2018	00089222882	CAMERON, NICOLE	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00 90.0 4.50
10/15/2018	00089241426	CERVONE, DONALD	MF	AMERICAN BALANCED FUND-C	B	125.00	1.25	0.00	1.25 90.0 1.13
10/15/2018	00089241426	CERVONE, DONALD	MF	INVESTMENT COMPANY OF AMERICA	B	125.00	1.25	0.00	1.25 90.0 1.13
10/19/2018	01454909522	BENNING, ERIC	MF	FIDELITY ADV NEW INSIGHTS-CL A	B	250.00	12.50	0.00	12.50 90.0 11.25
10/11/2018	01490478359	ARTHUR J BLOOM,	MF	FIDELITY ADV STRATEGIC INCOME	B	10,000.00	100.00	0.00	100.00 90.0 90.00
10/22/2018	01490478359	ARTHUR J BLOOM,	MF	FIDELITY ADV STRATEGIC INCOME	B	20,000.00	200.00	0.00	200.00 90.0 180.00
10/22/2018	04000634216	LEE B LAGUA,	MF	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00 90.0 0.90
10/25/2018	04000771561	LAGUA, GERRY	MF	AMERICAN BALANCED FUND-C	B	550.00	5.50	0.00	5.50 90.0 4.95

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10/25/2018 04000771593	LAGUA, GERRY	MF THE GROWTH FUND OF AMERICA-A	B	450.00	22.50	0.00	22.50	90.0	20.25
10/15/2018 04001038742	HOWARD, ELIZABETH	MF THE GROWTH FUND OF AMERICA-A	B	300.00	11.25	0.00	11.25	90.0	10.13
10/15/2018 04001038742	HOWARD, ELIZABETH	MF THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	90.0	10.13
10/15/2018 04001114260	JOHNSON, AIDAN	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	90.0	2.25
10/22/2018 04001147999	IL/TOD	MF INVESTMENT COMPANY OF AMERICA	B	200.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				\$34,575.00	\$456.57	\$0.00	\$456.57		\$410.94

PRODUCT CATEGORY: VARIABLE ANNUITIES

10/08/2018 (None)	MILBURN, BRENT	VA NATIONWIDE	B	337.50	6.75	0.00	6.75	90.0	6.08
10/09/2018 (None)	WHITLEY, LINDSEY	VA NATIONWIDE	B	393.75	4.92	0.00	4.92	90.0	4.43
10/09/2018 (None)	WILKEN, LINDSEY	VA NATIONWIDE	B	362.70	4.53	0.00	4.53	90.0	4.08
10/12/2018 (None)	BLOOM, ARTHUR	VA NATIONWIDE	B	11,628.59	697.72	0.00	697.72	90.0	627.95
10/15/2018 (None)	KANTER, JAMES	VA NATIONWIDE	B	410.34	8.21	0.00	8.21	90.0	7.39
10/15/2018 (None)	KANTER, MARY	VA NATIONWIDE	B	171.20	1.71	0.00	1.71	90.0	1.54
10/15/2018 (None)	ROVEY, DEREK	VA NATIONWIDE	B	265.00	2.65	0.00	2.65	90.0	2.39
10/15/2018 (None)	ROVEY, ERICA	VA NATIONWIDE	B	180.00	3.60	0.00	3.60	90.0	3.24
10/15/2018 (None)	CARLSON, DEBORAH	VA NATIONWIDE	B	50.00	1.88	0.00	1.88	90.0	1.69
10/15/2018 (None)	WARD, FRANCES	VA NATIONWIDE	B	75.00	3.75	0.00	3.75	90.0	3.38
10/15/2018 (None)	WARD, PAUL	VA NATIONWIDE	B	50.00	2.50	0.00	2.50	90.0	2.25
10/15/2018 (None)	FINEGOLD, JILL	VA NATIONWIDE	B	50.00	1.75	0.00	1.75	90.0	1.58
10/16/2018 (None)	BRUNS, CHRISTOPHER	VA NATIONWIDE	B	1,076.54	24.76	0.00	24.76	90.0	22.28
10/16/2018 (None)	NELSON, AMBER	VA NATIONWIDE	B	652.14	15.00	0.00	15.00	90.0	13.50
10/16/2018 (None)	BRENNAN, TERRANCE	VA NATIONWIDE	B	429.56	5.37	0.00	5.37	90.0	4.83
10/16/2018 (None)	COULTAS, CHRISTOPHER	VA NATIONWIDE	B	292.88	3.66	0.00	3.66	90.0	3.29
10/20/2018 (None)	IRWIN, SUZANNE	VA NATIONWIDE	B	107.50	1.08	0.00	1.08	90.0	0.97
10/22/2018 (None)	MACK, JEANETTE	VA NATIONWIDE	B	300.00	15.00	0.00	15.00	90.0	13.50
10/22/2018 (None)	BEDOW BRYANT, PATR	VA NATIONWIDE	B	150.00	10.50	0.00	10.50	90.0	9.45
10/22/2018 (None)	MONTENEGRO, ELISA	VA NATIONWIDE	B	150.00	5.25	0.00	5.25	90.0	4.73
10/25/2018 (None)	NYDAM, MICHAEL	VA NATIONWIDE	B	105.05	1.05	0.00	1.05	90.0	0.95
10/25/2018 (None)	FIORITO, KAREN	VA NATIONWIDE	B	100.00	5.00	0.00	5.00	90.0	4.50
* VARIABLE ANNUITIES SUBTOTAL *				\$17,337.75	\$826.64	\$0.00	\$826.64		\$744.00

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/14/2018 (None)	KOLMAN, ROGER	VAT AMERICAN GENERAL	B	0.00	149.99	0.00	149.99	90.0	134.99
10/26/2018 (None)	FUHRMANN, JENNIFER	VAT AMERICAN GENERAL	B	0.00	44.09	0.00	44.09	90.0	39.68
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$194.08	\$0.00	\$194.08		\$174.67
*** DIRECT TRANSACTIONS TOTAL ***				\$51,912.75	\$1,477.29	\$0.00	\$1,477.29		\$1,329.61

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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
10/31/2018	:	FINRA MEMBER DISC PROC FEE		0.00	0.00	0.00	0.00		-110.00
10/31/2018	:	FINRA U4 LATE DISC FEE-15		0.00	0.00	0.00	0.00		-450.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-745.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-745.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,463.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-745.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$718.89
Year-to-date Commissions	\$63,486.10