



COMMISSION STATEMENT

December 03, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/26/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @32.4900						
11/26/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	236.60	4.73	0.00	4.73	90.0	4.26
	ACCOUNT #: 00087877714	BUY 7 Units @32.4900						
11/26/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 4 Units @49.4800						
11/26/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	335.18	6.70	0.00	6.70	90.0	6.03
	ACCOUNT #: 00087877714	BUY 7 Units @49.4800						
11/26/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @61.3000						
11/26/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	78.87	1.58	0.00	1.58	90.0	1.42
	ACCOUNT #: 00087877714	BUY 1 Units @61.3000						
11/26/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @54.8200						
11/26/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	98.59	1.97	0.00	1.97	90.0	1.77
	ACCOUNT #: 00087877714	BUY 2 Units @54.8200						
11/26/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.7000						
11/26/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	335.19	6.70	0.00	6.70	90.0	6.03
	ACCOUNT #: 00087877714	BUY 26 Units @12.7000						
11/26/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @51.8400						
11/26/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	394.34	7.89	0.00	7.89	90.0	7.10
	ACCOUNT #: 00087877714	BUY 8 Units @51.8400						
11/26/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @39.7100						
11/26/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	394.34	7.89	0.00	7.89	90.0	7.10
	ACCOUNT #: 00087877714	BUY 10 Units @39.7100						
* MUTUAL FUNDS SUBTOTAL *			\$2,862.70	\$57.25	\$0.00	\$57.25		\$51.53



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-409H - CERRETA, JEFFREY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	90.0	0.67
12/03/2018	***-**-761H - CERRETA,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	90.0	0.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.48	\$0.00	\$1.48		\$1.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/03/2018	***-**-2436 - RT MANAGEMENT,	QUALIFIED PLAN SUMMARY BUY 1 Units @0.0000	0.00	3.64	0.00	3.64	90.0	3.28
12/03/2018	***-**-58CL - KELLY, CLIENTS	VOYA VA TRIALS BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	90.0	0.50
12/03/2018	***-**-58CL - KELLY, CLIENTS	VOYA VA TRIALS BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	90.0	0.08
12/03/2018	***-**-0893 - TRS OF THG, 401K	VOYA VA TRIALS BUY 1 Units @0.0000	0.00	3,270.83	0.00	3,270.83	90.0	2,943.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,275.11	\$0.00	\$3,275.11		\$2,947.61
*** BROKER TRANSACTIONS TOTAL ***			\$2,862.70	\$3,333.84	\$0.00	\$3,333.84		\$3,000.48



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						BROKER COMMISSION TOTALS			
						Broker Transactions		\$3,000.48	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
							Net Commission Earned		\$3,000.48
							Year-to-date Earnings		\$335,141.30