

COMMISSION STATEMENT

September 30, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/21/2018 mfc303097	HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	5.55	0.00	5.55	90.0	5.00
09/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.90	0.00	0.90	90.0	0.81
09/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.16	0.00	1.16	90.0	1.04
09/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.03	0.00	1.03	90.0	0.93
09/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.33	0.00	1.33	90.0	1.20
09/21/2018 mfc303097	HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	0.70	0.00	0.70	90.0	0.63
09/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	0.82	0.00	0.82	90.0	0.74
09/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	0.90	0.00	0.90	90.0	0.81
09/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.17	0.00	1.17	90.0	1.05
09/21/2018 mfc303097	HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.94	0.00	0.94	90.0	0.85
09/21/2018 mfc303097	HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	0.82	0.00	0.82	90.0	0.74
09/21/2018 mfc303097	HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	1.23	0.00	1.23	90.0	1.11
09/21/2018 mfc303097	HESTER DALE,	MFT PUTNAM ASSET ALLOCATION	B	0.00	5.79	0.00	5.79	90.0	5.21
09/21/2018 mfc303097	HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.14	0.00	1.14	90.0	1.03
09/24/2018 mfc303097	HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	5.22	0.00	5.22	90.0	4.70
09/24/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.85	0.00	0.85	90.0	0.77
09/24/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.09	0.00	1.09	90.0	0.98
09/24/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.96	0.00	0.96	90.0	0.86
09/24/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.24	0.00	1.24	90.0	1.12
09/24/2018 mfc303097	HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	0.66	0.00	0.66	90.0	0.59
09/24/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	0.78	0.00	0.78	90.0	0.70
09/24/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	0.86	0.00	0.86	90.0	0.77
09/24/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.11	0.00	1.11	90.0	1.00
09/24/2018 mfc303097	HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.89	0.00	0.89	90.0	0.80
09/24/2018 mfc303097	HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	0.76	0.00	0.76	90.0	0.68
09/24/2018 mfc303097	HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	1.16	0.00	1.16	90.0	1.04
09/24/2018 mfc303097	HESTER DALE,	MFT PUTNAM ASSET ALLOCATION	B	0.00	4.01	0.00	4.01	90.0	3.61
09/24/2018 mfc303097	HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.04	0.00	1.04	90.0	0.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$44.11	\$0.00	\$44.11		\$39.71
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$44.11	\$0.00	\$44.11		\$39.71

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DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/10/2018	00777116731	HILLIARD, KEITH	MF	INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00 90.0 4.50	
09/10/2018	00777116731	HILLIARD, KEITH	MF	INVESCO MODERATE ALLOCATION FD	B	120.00	1.20	0.00	1.20 90.0 1.08	
09/10/2018	00777116733	HILLIARD, SHARON	MF	INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00 90.0 4.50	
09/10/2018	00777116733	HILLIARD, SHARON	MF	INVESCO MODERATE ALLOCATION FD	B	62.40	0.62	0.00	0.62 90.0 0.56	
09/10/2018	00777116734	RUST, MELISSA	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00 90.0 0.90	
09/10/2018	00777116734	RUST, MELISSA	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00 90.0 0.90	
09/10/2018	00777116736	HILLIARD, KEITH	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00 90.0 0.90	
09/10/2018	00777116736	HILLIARD, KEITH	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00 90.0 0.90	
09/10/2018	00777153252	TERRY, SHANE	MF	INVESCO BALANCED-RISK	B	600.00	6.00	0.00	6.00 90.0 5.40	
09/10/2018	00777153252	TERRY, SHANE	MF	INVESCO BALANCED-RISK	B	360.00	3.60	0.00	3.60 90.0 3.24	
09/10/2018	00777153254	FISHER, JENNIFER	MF	INVESCO BALANCED-RISK	B	130.22	1.30	0.00	1.30 90.0 1.17	
09/10/2018	00777153254	FISHER, JENNIFER	MF	INVESCO BALANCED-RISK	B	130.22	1.30	0.00	1.30 90.0 1.17	
09/10/2018	00777153255	TERRY, MELINDA	MF	INVESCO BALANCED-RISK	B	25.00	0.25	0.00	0.25 90.0 0.23	
09/10/2018	00777153255	TERRY, MELINDA	MF	INVESCO BALANCED-RISK	B	15.00	0.15	0.00	0.15 90.0 0.14	
* MUTUAL FUNDS SUBTOTAL *						\$2,842.84	\$28.42	\$0.00	\$28.42	\$25.59
PRODUCT CATEGORY: VARIABLE ANNUITIES										
09/13/2018	(None)	WALKER, BILL	VA	AMERICAN GENERAL	B	200,000.00	10,000.00	0.00	10,000.00 90.0 9,000.00	
09/13/2018	(None)	WALKER, BILL	VA	AMERICAN GENERAL	B	200,000.00	10,000.00	0.00	10,000.00 90.0 9,000.00	
09/13/2018	(None)	WALKER, BILL	VA	AMERICAN GENERAL	B	200,000.00	10,000.00	0.00	10,000.00 90.0 9,000.00	
09/13/2018	(None)	WALKER, BILL	VA	AMERICAN GENERAL	B	100,000.00	5,000.00	0.00	5,000.00 90.0 4,500.00	
09/15/2018	(None)	CLEMENTS, KEITH	VA	AMERICAN GENERAL	B	150.00	9.00	0.00	9.00 90.0 8.10	
09/15/2018	(None)	CLEMENTS, JUDY	VA	AMERICAN GENERAL	B	150.00	9.00	0.00	9.00 90.0 8.10	
* VARIABLE ANNUITIES SUBTOTAL *						\$700,300.00	\$35,018.00	\$0.00	\$35,018.00	\$31,516.20
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/31/2018	(None)	GORDON-C-&	MFT	EATON VANCE	B	0.00	10.16	0.00	10.16 90.0 9.14	
08/31/2018	(None)	VARIOUS, HESTER DALE	MFT	HARTFORD MUTUAL FUIND	B	0.00	66.30	0.00	66.30 90.0 59.67	
08/31/2018	(None)	VARIOUS, HESTER DALE	MFT	HARTFORD SMART	B	0.00	53.82	0.00	53.82 90.0 48.44	
08/31/2018	(None)	HARRECONNM	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	11.39	0.00	11.39 90.0 10.25	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$141.67	\$0.00	\$141.67	\$127.50
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
09/08/2018	(None)	DAVIS, ELTON	VAT	AMERICAN GENERAL	B	0.00	8.55	0.00	8.55 90.0 7.70	
09/12/2018	(None)	OXFORD, PAT	VAT	AMERICAN GENERAL	B	0.00	203.75	0.00	203.75 90.0 183.38	
09/13/2018	(None)	KNIGHT, SCOTT	VAT	AMERICAN GENERAL	B	0.00	43.57	0.00	43.57 90.0 39.21	
09/15/2018	(None)	HATFIELD, DANNY	VAT	AMERICAN GENERAL	B	0.00	572.55	0.00	572.55 90.0 515.30	
09/16/2018	(None)	TURNER, JALNA	VAT	AMERICAN GENERAL	B	0.00	0.45	0.00	0.45 90.0 0.41	
09/17/2018	(None)	LEE, JOE	VAT	AMERICAN GENERAL	B	0.00	466.90	0.00	466.90 90.0 420.21	
09/19/2018	(None)	FISHER, JAMES	VAT	AMERICAN GENERAL	B	0.00	65.18	0.00	65.18 90.0 58.66	
09/20/2018	(None)	BLACK, NELTA	VAT	AMERICAN GENERAL	B	0.00	2.18	0.00	2.18 90.0 1.96	

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09/20/2018 (None)	BUCHANAN, NANCY	VAT AMERICAN GENERAL	B	0.00	3.54	0.00	3.54	90.0	3.19
09/21/2018 (None)	TURNER, JALNA	VAT AMERICAN GENERAL	B	0.00	159.05	0.00	159.05	90.0	143.15
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,525.72	\$0.00	\$1,525.72		\$1,373.17
*** DIRECT TRANSACTIONS TOTAL ***				\$703,142.84	\$36,713.81	\$0.00	\$36,713.81		\$33,042.46

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-DONNA HESTER	0.00	0.00	0.00	0.00	-25.00
09/30/2018	:	E-MAIL ACCOUNT-LAUREN JASON	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-235.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$33,082.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$32,847.17
Year-to-date Commissions	\$171,405.83