

COMMISSION STATEMENT

December 15, 2018

 THOMAS KINDER-D036
 300 East 4th Street

 BROKER #: D036
 BRANCH: DMN

Tyler, TX 75701

COMMISSION STATEMENT for THOMAS KINDER-D036

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/03/2018	00085392707	SUTTON, TERESA	MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
* MUTUAL FUNDS SUBTOTAL *						\$25.00	\$1.25	\$0.00	\$1.25		\$0.88
PRODUCT CATEGORY: VARIABLE ANNUITIES											
11/30/2018	1006815401	VANDERSLICE,	VA	L SERIES	B	60,719.99	50.60	0.00	50.60	70.0	35.42
11/30/2018	1006815401	VANDERSLICE,	VA	L SERIES	B	21,679.83	23.49	0.00	23.49	70.0	16.44
11/30/2018	1008184409	KINDER, THOMAS	VA	PERSPECTIVE L	B	8,998.75	9.75	0.00	9.75	70.0	6.83
11/30/2018	1008905815	RAWLINSON, JERRY	VA	PERSPECTIVE L	B	110,225.72	91.85	0.00	91.85	70.0	64.30
11/30/2018	1008905815	RAWLINSON, JERRY	VA	PERSPECTIVE L	B	147,561.03	159.86	0.00	159.86	70.0	111.90
11/30/2018	1016051680	MORRISON, JOHN	VA	PERSP II 04/14	B	89,184.38	37.16	0.00	37.16	70.0	26.01
12/03/2018	1016051680	MORRISON, JOHN	VA	PERSP II 04/14	B	8,949.42	447.47	0.00	447.47	70.0	313.23
11/30/2018	1016081155	MORRISON, PAULA	VA	PERSP L 08/11	B	61,941.26	51.62	0.00	51.62	70.0	36.13
11/30/2018	1017036544	VANDERSLICE, JAMES	VA	PERSP II 04/14	B	87,553.03	36.48	0.00	36.48	70.0	25.54
11/30/2018	1017688134	PYLE, JACKIE	VA	PERSP II 04/14	B	74,488.07	31.04	0.00	31.04	70.0	21.73
11/30/2018	1017752371	RAWLINSON, JERRY	VA	PERSP II 04/14	B	134,476.35	56.03	0.00	56.03	70.0	39.22
11/30/2018	1019792591	LARRISON, CANDACE	VA	PERSP II 01/16	B	72,154.37	30.06	0.00	30.06	70.0	21.04
11/30/2018	1020699651	KINDER, THOMAS	VA	PERSP II 01/16	B	72,911.99	45.57	0.00	45.57	70.0	31.90
* VARIABLE ANNUITIES SUBTOTAL *						\$950,844.19	\$1,070.98	\$0.00	\$1,070.98		\$749.69
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
11/30/2018	(None)	VARIOUS, KINDER	MFT	HARTFORD MUTUAL FUIND	B	0.00	6.30	0.00	6.30	70.0	4.41
12/03/2018	00073594082	RODGERS, TYLER	MFT	AMERICAN BALANCED FUND-529A	B	0.00	1.57	0.00	1.57	70.0	1.10
12/03/2018	00073594082	RODGERS, TYLER	MFT	EUROPACIFIC GROWTH FUND-529A	B	0.00	1.48	0.00	1.48	70.0	1.04
12/03/2018	00073594082	RODGERS, TYLER	MFT	NEW PERSPECTIVE FUND-529A	B	0.00	1.78	0.00	1.78	70.0	1.25
12/03/2018	00073594082	RODGERS, TYLER	MFT	NEW WORLD FUND-529A	B	0.00	1.73	0.00	1.73	70.0	1.21
12/03/2018	00073594082	RODGERS, TYLER	MFT	SMALLCAP WORLD FUND-529A	B	0.00	1.95	0.00	1.95	70.0	1.37
12/03/2018	00085392707	SUTTON, TERESA	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.96	0.00	3.96	70.0	2.77
12/03/2018	04000442942	LARRISON, KAYLYNN	MFT	AMERICAN BALANCED FUND-529A	B	0.00	12.85	0.00	12.85	70.0	9.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$31.62	\$0.00	\$31.62		\$22.15

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
12/07/2018 (None)	TEXAS AIR	VAT MASS MUTUAL	B	0.00	52.38	0.00	52.38	70.0	36.67
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$52.38	\$0.00	\$52.38		\$36.67
*** DIRECT TRANSACTIONS TOTAL ***				\$950,869.19	\$1,156.23	\$0.00	\$1,156.23		\$809.39

ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018		: 2019 STATE BRANCH FEE		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$809.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-101.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$682.69
Year-to-date Commissions	\$57,699.78