

## COMMISSION STATEMENT

November 30, 2018

MICHAEL BARTOLOTTA  
57 EISENHOWER DRIVEBROKER #: A10 W10  
BRANCH: FULCRUM GROUP

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BARTOLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/06/2018	75501371 BURTCH, BROOKE	VANGUARD INDEX FUNDS VANGUARD TOTAL S		59,070.40	20.00	0.00	20.00	80.0	0.00
11/06/2018	75501371 BURTCH, BROOKE	VANGUARD STAR FD VANGUARD TOTAL INT S		7,590.75	20.00	0.00	20.00	80.0	0.00
10/31/2018	75502127 HAUGHEY, LISA	BP P L C SPONSORED ADR (FRM BP AMOC B		26,657.80	0.00	0.00	0.00	80.0	0.00
10/31/2018	75502127 HAUGHEY, LISA	RESIDEO TECHNOLOGIES INC COM	B	4,862.52	0.00	0.00	0.00	80.0	0.00
10/31/2018	75502127 HAUGHEY, LISA	WEYERHAEUSER CO	S	31,584.12	0.00	0.00	0.00	80.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$129,765.59</b>	<b>\$40.00</b>	<b>\$0.00</b>	<b>\$40.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
11/15/2018	VARIOUS, BAROLOTTA	ADP ADVISOR 401K	B	0.00	19,032.79	0.00	19,032.79	80.0	15,226.23
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$19,032.79</b>	<b>\$0.00</b>	<b>\$19,032.79</b>		<b>\$15,226.23</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
10/01/2018	75502093 FIDER, LOURDES	FAS FEES PT. II/III	B	-52.14	8.69	0.00	8.69	80.0	6.95
10/01/2018	75502127 HAUGHEY, LISA	FAS FEES PT. II/III	B	-1,910.91	636.97	0.00	636.97	80.0	509.58
10/01/2018	75502134 HAUGHEY, LISA	FAS FEES PT. II/III	B	-479.74	159.91	0.00	159.91	80.0	127.93
10/01/2018	75502152 PELLEGRINO, CATHERINE	FAS FEES PT. II/III	B	-15.76	5.25	0.00	5.25	80.0	4.20
10/01/2018	75502164 BENNETT, EVERRETT	FAS FEES PT. II/III	B	-623.04	207.68	0.00	207.68	80.0	166.14
11/30/2018	75502164 BENNETT, EVERRETT	FTCLD-FAS FEES	B	0.00	-216.71	0.00	-216.71	80.0	-173.37
<b>* RIA SUBTOTAL *</b>				<b>\$-3,081.59</b>	<b>\$801.79</b>	<b>\$0.00</b>	<b>\$801.79</b>		<b>\$641.43</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/30/2018		FEE COUNT - 29		0.00	102.96	0.00	102.96	80.0	78.53
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$102.96</b>	<b>\$0.00</b>	<b>\$102.96</b>		<b>\$78.53</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$126,684.00</b>	<b>\$19,977.54</b>	<b>\$0.00</b>	<b>\$19,977.54</b>		<b>\$15,946.19</b>

COMMISSION STATEMENT for MICHAEL BARTOLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
11/30/2018		MISCELLANEOUS: UNBUNDLED FEES 2/3		0.00	0.00	0.00	0.00		231.90
11/30/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)		0.00	0.00	0.00	0.00		62.57
11/30/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.50
11/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2018		OTHER: RBC WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$36.97

BROKER COMMISSION TOTALS

Broker Transactions	\$15,946.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$36.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.18
SIPC Assessment	\$-2.36

Check Amount	\$15,979.62
Year-to-date Earnings	\$176,872.51