

# COMMISSION STATEMENT November 15, 2018

NATHAN STRAESSLE-D343  
 25 West High Street

BROKER #: D343  
 BRANCH: DMN

Lawrenceburg, IN 47025

## COMMISSION STATEMENT for NATHAN STRAESSLE-D343

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/12/2018 (None) RENIHAN	MF	COLLEGE CHOICE ADVISOR	B	0.00	15.43	0.00	15.43	75.0	11.57
11/05/2018 01484204902 CROCKER, SHAE	MF	FIDELITY ADV FREEDOM 2040 CL M	B	50.00	1.50	0.00	1.50	75.0	1.13
11/05/2018 01484204910 CROCKER, CLINT	MF	FIDELITY ADV FREEDOM 2040 CL M	B	458.33	13.75	0.00	13.75	75.0	10.31
11/05/2018 01487577064 COWAN, LILLIAN	MF	FIDELITY ADVISOR STRATEGIC	B	541.66	20.31	0.00	20.31	75.0	15.23
* MUTUAL FUNDS SUBTOTAL *				\$1,049.99	\$50.99	\$0.00	\$50.99		\$38.24

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR HEALTH CARE FD	B	0.00	1.41	0.00	1.41	75.0	1.06
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR EQUITY INCOME	B	0.00	42.23	0.00	42.23	75.0	31.67
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	25.25	0.00	25.25	75.0	18.94
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV INTL CAPITAL APP	B	0.00	14.24	0.00	14.24	75.0	10.68
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV SMALL CAP CL T	B	0.00	7.66	0.00	7.66	75.0	5.75
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV HIGH INCOME CL M	B	0.00	8.44	0.00	8.44	75.0	6.33
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR MID CAP STOCK	B	0.00	15.20	0.00	15.20	75.0	11.40
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR LARGE CAP FD	B	0.00	21.18	0.00	21.18	75.0	15.89
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR STRATEGIC	B	0.00	33.81	0.00	33.81	75.0	25.36
11/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV REAL ESTATE INCOME	B	0.00	9.37	0.00	9.37	75.0	7.03
11/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	0.41	0.00	0.41	75.0	0.31
11/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADVISOR EQUITY INCOME	B	0.00	0.35	0.00	0.35	75.0	0.26
11/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADV LIMITED TERM BOND	B	0.00	0.18	0.00	0.18	75.0	0.14
11/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADV SHORT TERM BOND CL	B	0.00	0.12	0.00	0.12	75.0	0.09
11/07/2018 00700229248 KYLIE Y RENIHAN,	MFT	FA 529 PORT 2022 CL A	B	0.00	6.64	0.00	6.64	75.0	4.98
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV ENERGY CL M	B	0.00	1.24	0.00	1.24	75.0	0.93
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.79	0.00	5.79	75.0	4.34
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV SMALL CAP CL T	B	0.00	1.22	0.00	1.22	75.0	0.92
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV HIGH INCOME CL M	B	0.00	1.65	0.00	1.65	75.0	1.24
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADVISOR STRATEGIC	B	0.00	2.28	0.00	2.28	75.0	1.71
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV REAL ESTATE CL M	B	0.00	1.54	0.00	1.54	75.0	1.16
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	3.76	0.00	3.76	75.0	2.82
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV STRAT DIV & INCOME	B	0.00	6.38	0.00	6.38	75.0	4.79
11/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV MID CAP II - CL T	B	0.00	2.74	0.00	2.74	75.0	2.06

COMMISSION STATEMENT for NATHAN STRAESSLE-D343

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/07/2018 01456991015	MCALISTER, PAMELA	MFT FIDELITY ADV STRATEGIC REAL	B	0.00	1.95	0.00	1.95	75.0	1.46
11/07/2018 01456991015	MCALISTER, PAMELA	MFT FIDELITY ADV TOTAL INTL EQUITY	B	0.00	3.38	0.00	3.38	75.0	2.54
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.31	0.00	1.31	75.0	0.98
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	1.18	0.00	1.18	75.0	0.89
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	2.90	0.00	2.90	75.0	2.18
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADVISOR LARGE CAP FD	B	0.00	4.55	0.00	4.55	75.0	3.41
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	13.62	0.00	13.62	75.0	10.22
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	4.88	0.00	4.88	75.0	3.66
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	4.19	0.00	4.19	75.0	3.14
11/07/2018 01468826001	BUTLER, RICHARD	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	1.32	0.00	1.32	75.0	0.99
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	1.41	0.00	1.41	75.0	1.06
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	1.72	0.00	1.72	75.0	1.29
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.27	0.00	1.27	75.0	0.95
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	15.96	0.00	15.96	75.0	11.97
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	23.76	0.00	23.76	75.0	17.82
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	38.05	0.00	38.05	75.0	28.54
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	61.66	0.00	61.66	75.0	46.25
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV MID CAP II - CL T	B	0.00	1.44	0.00	1.44	75.0	1.08
11/07/2018 01469423816	DAUSCH, WILLIAM	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	22.74	0.00	22.74	75.0	17.06
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADVISOR HIGH INCOME	B	0.00	7.67	0.00	7.67	75.0	5.75
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	16.21	0.00	16.21	75.0	12.16
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	15.80	0.00	15.80	75.0	11.85
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	44.46	0.00	44.46	75.0	33.35
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	33.96	0.00	33.96	75.0	25.47
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADV MID CAP II - CL T	B	0.00	17.31	0.00	17.31	75.0	12.98
11/07/2018 01469892465	FAIRFIELD, SANDRA	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	7.82	0.00	7.82	75.0	5.87
11/07/2018 01484204902	CROCKER, SHAE	MFT FIDELITY ADV FREEDOM 2040 CL M	B	0.00	1.27	0.00	1.27	75.0	0.95
11/07/2018 01484204910	CROCKER, CLINT	MFT FIDELITY ADV FREEDOM 2040 CL M	B	0.00	1.92	0.00	1.92	75.0	1.44
11/07/2018 01487577064	COWAN, LILLIAN	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	3.82	0.00	3.82	75.0	2.87
11/07/2018 01488454347	HONSCHOPP, KIM	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	78.27	0.00	78.27	75.0	58.70
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADVISOR HIGH INCOME	B	0.00	0.23	0.00	0.23	75.0	0.17
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	0.47	0.00	0.47	75.0	0.35
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	0.45	0.00	0.45	75.0	0.34
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	1.04	0.00	1.04	75.0	0.78
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	0.96	0.00	0.96	75.0	0.72
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADV MID CAP II - CL T	B	0.00	0.48	0.00	0.48	75.0	0.36
11/07/2018 01490024146	LUTZ, STEPHEN	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.23	0.00	0.23	75.0	0.17
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	0.24	0.00	0.24	75.0	0.18
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	0.22	0.00	0.22	75.0	0.17
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	0.22	0.00	0.22	75.0	0.17
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	0.48	0.00	0.48	75.0	0.36
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	0.48	0.00	0.48	75.0	0.36
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADV MID CAP II - CL T	B	0.00	0.23	0.00	0.23	75.0	0.17
11/07/2018 03900224223	LUTZ, BRADLEY	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.12	0.00	0.12	75.0	0.09

COMMISSION STATEMENT for NATHAN STRAESSLE-D343

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	4.08	0.00	4.08	75.0	3.06
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	1.05	0.00	1.05	75.0	0.79
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.08	0.00	2.08	75.0	1.56
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	4.05	0.00	4.05	75.0	3.04
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV GOLD CL M	B	0.00	1.90	0.00	1.90	75.0	1.43
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV LATIN AMERICA FUND	B	0.00	2.11	0.00	2.11	75.0	1.58
11/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV GLOBAL HIGH INCOME	B	0.00	1.05	0.00	1.05	75.0	0.79
11/07/2018 03900236194	JONES, JERRY	MFT FA CHINA REGON FUND - CLASS A	B	0.00	2.41	0.00	2.41	75.0	1.81
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	6.44	0.00	6.44	75.0	4.83
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	4.16	0.00	4.16	75.0	3.12
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR FUNDS	B	0.00	7.93	0.00	7.93	75.0	5.95
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	5.44	0.00	5.44	75.0	4.08
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	4.16	0.00	4.16	75.0	3.12
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR FUNDS	B	0.00	4.22	0.00	4.22	75.0	3.17
11/07/2018 03900236194	JONES, JERRY	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	8.58	0.00	8.58	75.0	6.44
11/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.37	0.00	1.37	75.0	1.03
11/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	1.63	0.00	1.63	75.0	1.22
11/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	1.05	0.00	1.05	75.0	0.79
11/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	1.34	0.00	1.34	75.0	1.01
11/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADV REAL ESTATE CL M	B	0.00	1.98	0.00	1.98	75.0	1.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$721.77	\$0.00	\$721.77		\$541.44
*** DIRECT TRANSACTIONS TOTAL ***				\$1,049.99	\$772.76	\$0.00	\$772.76		\$579.68

BROKER COMMISSION TOTALS

Broker Transactions	\$579.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$579.68
Year-to-date Commissions	\$11,028.53