

# COMMISSION STATEMENT

August 15, 2018

JIM HUGHES-D355

BROKER #: D355

BRANCH: DMV

, TX

## COMMISSION STATEMENT for JIM HUGHES-D355

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/31/2018	000000002863381	KARR, LARRY	MFT MUTUAL SHRS FND - CL C	B	0.00	58.77	0.00	58.77	80.0	47.02
07/31/2018	000000003183249	KARR, LARRY	MFT TEMP GRWTH FND - CL C	B	0.00	47.80	0.00	47.80	80.0	38.24
07/31/2018	000000005468766	KARR, LARRY	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	40.12	0.00	40.12	80.0	32.10
07/31/2018	000000006172934	BURNE, CLAUL	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	0.39	0.00	0.39	80.0	0.31
07/31/2018	000000008974228	HUGHES, JAMES	MFT MUTUAL DISC FND - CL A	B	0.00	0.02	0.00	0.02	80.0	0.02
07/31/2018	000011627691699	PAUL HUGHES	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	50.09	0.00	50.09	80.0	40.07
07/31/2018	000013015792697	PAUL HUGHES	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	50.19	0.00	50.19	80.0	40.15
07/31/2018	000050118341539	FRANCES	MFT FRK AGE HIGH INC FND - CL A	B	0.00	19.87	0.00	19.87	80.0	15.90
07/31/2018	000050118341770	BISHOP,	MFT FRK AGE HIGH INC FND - CL A	B	0.00	11.03	0.00	11.03	80.0	8.82
07/31/2018	000050118341818	PEGGY CANNON	MFT FRK AGE HIGH INC FND - CL A	B	0.00	33.31	0.00	33.31	80.0	26.65
07/31/2018	000050118341820	PAUL HUGHES	MFT FRK AGE HIGH INC FND - CL A	B	0.00	27.63	0.00	27.63	80.0	22.10
07/31/2018	000050118342012	POPHAM,	MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.94	0.00	3.94	80.0	3.15
07/31/2018	000050118342024	RAYMOND,	MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.62	0.00	4.62	80.0	3.70
07/31/2018	000050118342048	STIVENDER,	MFT FRK AGE HIGH INC FND - CL A	B	0.00	5.75	0.00	5.75	80.0	4.60
07/31/2018	000050118342149	BRADEN,	MFT FRK AGE HIGH INC FND - CL A	B	0.00	29.40	0.00	29.40	80.0	23.52
07/31/2018	000050118343800		MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.73	0.00	3.73	80.0	2.98
07/31/2018	000050118343990	LANDA KARR	MFT FRK AGE HIGH INC FND - CL A	B	0.00	30.45	0.00	30.45	80.0	24.36
07/31/2018	000050118346009	FLOY L OWENS,	MFT FRK AGE HIGH INC FND - CL A	B	0.00	6.10	0.00	6.10	80.0	4.88
07/31/2018	000050118353116	CYNTHIA	MFT FRK AGE HIGH INC FND - CL A	B	0.00	23.09	0.00	23.09	80.0	18.47
07/31/2018	000060177871702	BAILEY, JAN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	86.31	0.00	86.31	80.0	69.05
07/31/2018	000060177872161	JAN BAILEY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	99.78	0.00	99.78	80.0	79.82
07/31/2018	000060177875384	FRANCES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	41.38	0.00	41.38	80.0	33.10
07/31/2018	000060177876069	BISHOP,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	42.33	0.00	42.33	80.0	33.86
07/31/2018	000060177876083	PEGGY CANNON	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	72.10	0.00	72.10	80.0	57.68
07/31/2018	000060177876095	PAUL HUGHES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	77.48	0.00	77.48	80.0	61.98
07/31/2018	000060177876107	PAUL HUGHES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	106.60	0.00	106.60	80.0	85.28
07/31/2018	000060177876475	BRAWLEY, JOY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	19.66	0.00	19.66	80.0	15.73
07/31/2018	000060177876501	CANNON, PEGGY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.05	0.00	5.05	80.0	4.04
07/31/2018	000060177876513	DOVER,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	9.00	0.00	9.00	80.0	7.20
07/31/2018	000060177876537	FANNING,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	17.24	0.00	17.24	80.0	13.79
07/31/2018	000060177876564	HOPKINS,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	13.77	0.00	13.77	80.0	11.02

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/31/2018 000060177876588	HUGHES, JAMES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.15	0.00	10.15	80.0	8.12
07/31/2018 000060177876602	POPHAM,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	16.61	0.00	16.61	80.0	13.29
07/31/2018 000060177876614	RAYMOND,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	19.60	0.00	19.60	80.0	15.68
07/31/2018 000060177876626	HUGHES, JAMES	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	29.59	0.00	29.59	80.0	23.67
07/31/2018 000060177876640	STIVENDER,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	25.67	0.00	25.67	80.0	20.54
07/31/2018 000060177876665	STIVENDER,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	29.64	0.00	29.64	80.0	23.71
07/31/2018 000060177876956	BAILEY, JAN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	32.14	0.00	32.14	80.0	25.71
07/31/2018 000060177876968	BISHOP,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	12.66	0.00	12.66	80.0	10.13
07/31/2018 000060177877109	BISHOP,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	25.89	0.00	25.89	80.0	20.71
07/31/2018 000060177877135	BOULET,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	76.31	0.00	76.31	80.0	61.05
07/31/2018 000060177877174	BRADEN,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	74.98	0.00	74.98	80.0	59.98
07/31/2018 000060177877198	HUGHES, RITA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	23.55	0.00	23.55	80.0	18.84
07/31/2018 000060177877200	KELLY, MARK	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	27.77	0.00	27.77	80.0	22.22
07/31/2018 000060177877301	MEDLEY, DONNA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	29.76	0.00	29.76	80.0	23.81
07/31/2018 000060177877592	POLLOCK, JOHN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	7.14	0.00	7.14	80.0	5.71
07/31/2018 000060177879685	SEXTON, BRIAN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	17.50	0.00	17.50	80.0	14.00
07/31/2018 000060177882337		MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.27	0.00	4.27	80.0	3.42
07/31/2018 000060177883075	KARR, LANDA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	11.08	0.00	11.08	80.0	8.86
07/31/2018 000060177883099	LANDA KARR	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	33.01	0.00	33.01	80.0	26.41
07/31/2018 000060177883669	PAULA K	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.49	0.00	5.49	80.0	4.39
07/31/2018 000060177883683	HUGHES, PAULA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	40.48	0.00	40.48	80.0	32.38
07/31/2018 000060177884026	HOUGH, DAVID	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	31.79	0.00	31.79	80.0	25.43
07/31/2018 000060177885637	FOMBY, GARY	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.56	0.00	18.56	80.0	14.85
07/31/2018 000060177885652	FOMBY, GARY &	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.64	0.00	18.64	80.0	14.91
07/31/2018 000060177886490	SHARON COX,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	18.86	0.00	18.86	80.0	15.09
07/31/2018 000060177889407	FLOY L OWENS,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	8.19	0.00	8.19	80.0	6.55
07/31/2018 000060177892136	SHEPHERD,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.50	0.00	10.50	80.0	8.40
07/31/2018 000060177919147	CYNTHIA	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	40.44	0.00	40.44	80.0	32.35
07/31/2018 000060177944253	T/O/D	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.88	0.00	6.88	80.0	5.50
07/31/2018 000060177944277	T/O/D	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.97	0.00	4.97	80.0	3.98
07/31/2018 000060177984651	KOTZ, JARRED	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	8.48	0.00	8.48	80.0	6.78
07/31/2018 000080193319957	BAILEY, JAN	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	68.60	0.00	68.60	80.0	54.88
07/31/2018 000080193320151	JAN BAILEY	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	101.26	0.00	101.26	80.0	81.01
07/31/2018 000080193323284	BISHOP,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	26.22	0.00	26.22	80.0	20.98
07/31/2018 000080193323334	BRADEN,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	42.66	0.00	42.66	80.0	34.13
07/31/2018 000080193328107	HOUGH, DAVID	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	30.97	0.00	30.97	80.0	24.78
07/31/2018 000080193329174	FOMBY, GARY	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	19.92	0.00	19.92	80.0	15.94
07/31/2018 000080193376526	BISHOP,	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	41.73	0.00	41.73	80.0	33.38
07/31/2018 000080193376603	HUGHES, JAMES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.97	0.00	9.97	80.0	7.98
07/31/2018 000080193376639	LANDA KARR	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	32.50	0.00	32.50	80.0	26.00
07/31/2018 000080193376641	MEDLEY, DONNA	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	34.91	0.00	34.91	80.0	27.93
07/31/2018 000080193380536	PEGGY CANNON	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	65.09	0.00	65.09	80.0	52.07
07/31/2018 000080193380548	FOMBY, GARY &	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	17.49	0.00	17.49	80.0	13.99
07/31/2018 000080193380551	PAUL HUGHES	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	103.67	0.00	103.67	80.0	82.94

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07/31/2018	000080193380563	PAUL HUGHES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	33.73	0.00	33.73	80.0	26.98
07/31/2018	000080193380587	HUGHES, JAMES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.48	0.00	8.48	80.0	6.78
07/31/2018	000080193380599	HUGHES, PAULA MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	27.46	0.00	27.46	80.0	21.97
07/31/2018	000080193409135	BURNE, CLAUL MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.17	0.00	2.17	80.0	1.74
07/31/2018	000080193414921	KOTZ, JARRED MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.53	0.00	8.53	80.0	6.82
07/31/2018	000080193414933	POPHAM, JAMES MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.20	0.00	2.20	80.0	1.76
07/31/2018	000080193414945	POPHAM, MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	2.20	0.00	2.20	80.0	1.76
07/31/2018	000090239555837	JAN BAILEY MFT FRANKLIN INCOME FUND CLASS A	B	0.00	59.57	0.00	59.57	80.0	47.66
07/31/2018	000090239563872	BISHOP, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	32.65	0.00	32.65	80.0	26.12
07/31/2018	000090239563985	PEGGY CANNON MFT FRANKLIN INCOME FUND CLASS A	B	0.00	42.95	0.00	42.95	80.0	34.36
07/31/2018	000090239563997	PAUL HUGHES MFT FRANKLIN INCOME FUND CLASS A	B	0.00	80.04	0.00	80.04	80.0	64.03
07/31/2018	000090239564710	CANNON, PEGGY MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.17	0.00	5.17	80.0	4.14
07/31/2018	000090239564734	FANNING, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	11.15	0.00	11.15	80.0	8.92
07/31/2018	000090239564773	HOPKINS, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.16	0.00	5.16	80.0	4.13
07/31/2018	000090239564809	HUGHES, JAMES MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.59	0.00	8.59	80.0	6.87
07/31/2018	000090239564823	POPHAM, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.88	0.00	5.88	80.0	4.70
07/31/2018	000090239564847	RAYMOND, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.05	0.00	4.05	80.0	3.24
07/31/2018	000090239564850	HUGHES, JAMES MFT FRANKLIN INCOME FUND CLASS A	B	0.00	10.91	0.00	10.91	80.0	8.73
07/31/2018	000090239564900	STIVENDER, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	14.59	0.00	14.59	80.0	11.67
07/31/2018	000090239564948	STIVENDER, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.92	0.00	5.92	80.0	4.74
07/31/2018	000090239565624	BISHOP, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.25	0.00	6.25	80.0	5.00
07/31/2018	000090239565776	BISHOP, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.23	0.00	17.23	80.0	13.78
07/31/2018	000090239565814	BOULET, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	37.97	0.00	37.97	80.0	30.38
07/31/2018	000090239565903	BRADEN, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	44.69	0.00	44.69	80.0	35.75
07/31/2018	000090239565992	HUGHES, RITA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	9.10	0.00	9.10	80.0	7.28
07/31/2018	000090239566018	KELLY, MARK MFT FRANKLIN INCOME FUND CLASS A	B	0.00	10.06	0.00	10.06	80.0	8.05
07/31/2018	000090239566119	MEDLEY, DONNA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	21.76	0.00	21.76	80.0	17.41
07/31/2018	000090239566715	POLLOCK, JOHN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.80	0.00	3.80	80.0	3.04
07/31/2018	000090239567349	BAILEY, JAN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	46.29	0.00	46.29	80.0	37.03
07/31/2018	000090239570648	SEXTON, BRIAN MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.45	0.00	7.45	80.0	5.96
07/31/2018	000090239577011	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.83	0.00	2.83	80.0	2.26
07/31/2018	000090239578722	KARR, LANDA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.35	0.00	4.35	80.0	3.48
07/31/2018	000090239578761	LANDA KARR MFT FRANKLIN INCOME FUND CLASS A	B	0.00	31.43	0.00	31.43	80.0	25.14
07/31/2018	000090239580221	PAULA K MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.95	0.00	1.95	80.0	1.56
07/31/2018	000090239580245	HUGHES, PAULA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	23.73	0.00	23.73	80.0	18.98
07/31/2018	000090239584508	FOMBY, GARY & MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.52	0.00	7.52	80.0	6.02
07/31/2018	000090239586390	SHARON COX, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.38	0.00	8.38	80.0	6.70
07/31/2018	000090239592101	FLOY L OWENS, MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.49	0.00	6.49	80.0	5.19
07/31/2018	000090239653380	CYNTHIA MFT FRANKLIN INCOME FUND CLASS A	B	0.00	46.48	0.00	46.48	80.0	37.18
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$3,061.75</b>	<b>\$0.00</b>	<b>\$3,061.75</b>		<b>\$2,449.38</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$3,061.75</b>	<b>\$0.00</b>	<b>\$3,061.75</b>		<b>\$2,449.38</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,449.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>