

**COMMISSION STATEMENT**

November 30, 2018

CLEMMONS-113021 DPM

BROKER #:

BRANCH: DPM

, TX

**COMMISSION STATEMENT for CLEMMONS-113021 DPM**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

11/01/2018 553826119	ODOM, JAMES	MF FRANKLIN HIGH YIELD TAX FREE	S	-22,423.22	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF FRANKLIN HIGH INCOME FD R6	B	-20,997.55	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF FRANKLIN TOTAL RETURN FD R6	S	-36,529.33	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF FRANKLIN US GOVT SECURITIES FD	S	-26,732.77	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF INVESCO CORE PLUS BD FD R6	S	-8,902.77	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF JP MORGAN SHORT DURATION BOND	S	-7,064.67	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF JPMORGAN CORE BOND R6	S	-6,364.35	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF LORD ABBETT CORE FIXED INCOME	S	-8,346.22	0.00	5.00	-5.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	MF MFS TOTAL RETURN BOND FD R6	S	-23,186.74	0.00	5.00	-5.00	0.0	0.00
11/27/2018 584384746	POWELL, JAMES	MF FRANKLIN DYNATECH FD R6	B	-197.55	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646432851	ROGERS, PHIL	MF DODGE & COX INCOME FUND	B	-6,997.55	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646432851	ROGERS, PHIL	MF JOHN HANCOCK DISC VALUE FD R6	B	-1,997.55	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646432851	ROGERS, PHIL	MF T ROWE PRICE HIGH YIELD FD I	B	-1,497.55	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646432851	ROGERS, PHIL	MF T ROWE PRICE EQUITY INCOME I	B	-1,997.55	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646432851	ROGERS, PHIL	MF T. ROWE PRICE INTERNATIONAL	S	-3,086.56	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF AMERICAN FDS CAP WORLD GROW&INC	S	20,002.45	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF AMERICAN FDS WA MUTUAL FD CL F2	S	20,002.45	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF AMERICAN FDS NEW PERSPECTIVE FD	S	20,002.45	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF AMERICAN FDS BALANCED FD CL F2	S	40,002.45	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF AMERICAN FDS BOND FD OF AMERICA	S	20,002.45	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF FIDELITY BLUE CHIP GROWTH FUND	S	20,002.45	0.00	5.00	-5.00	0.0	0.00
11/01/2018 646434276	ROGERS, PHIL	MF FIDELITY GROWTH DISCOVERY FD	S	20,002.45	0.00	5.00	-5.00	0.0	0.00

* MUTUAL FUNDS SUBTOTAL *				\$-16,304.78	\$0.00	\$110.00	\$-110.00		\$0.00
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**PRODUCT CATEGORY: STOCKS**

11/01/2018 553826119	ODOM, JAMES	S 3M COMPANY	S	-16,524.85	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S ACCENTURE PLC IRELAND CL A ISIN	S	-5,689.08	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S ALLIANCE DATA SYS CORP	S	-7,619.82	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S AT&T INC	S	-2,160.19	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S BERKSHIRE HATHAWAY INC DEL CL B	S	-11,296.06	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S BLACKROCK INCORPORATED	S	-7,432.89	0.00	15.00	-15.00	0.0	0.00

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11/01/2018 553826119	ODOM, JAMES	S CAPITAL ONE FINANCIAL CORP	S	-6,444.35	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S CHEVRON CORPORATION	S	-11,599.91	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S COGNIZANT TECH SOLUTIONS CORP	S	-3,780.46	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S DANAHER CORP	S	-5,511.84	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S EOG RESOURCES INC. COM	S	-8,552.67	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S ESTEE LAUDER COMPANIES	S	-5,631.48	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S FACEBOOK INC-CLASS A	S	-7,530.46	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S FORTIVE CORP COM	S	-2,016.04	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S ILLINOIS TOOL WORKS INC	S	-5,966.52	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S INVESCO LTD ISIN #BMG491BT1088	S	-5,271.77	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S JOHNSON & JOHNSON	S	-9,839.43	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S KRAFT HEINZ CO/THE	S	-2,447.44	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S LINDE PLC SH ISIN #IE00BZ12WP82	S	-6,161.07	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S MAGNA INTERNATIONAL INC ISIN	S	-7,344.98	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S MEDTRONIC PLC SHS ISIN	S	-7,578.68	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S MERCK & CO INC NEW	S	-9,903.80	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S NOVARTIS AG-ADR	S	-8,287.30	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S OMNICOM GROUP INCORPORATED	S	-6,424.26	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S ORACLE CORP	S	-9,620.36	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S PNC FINANCIAL SERVICES GROUP	S	-8,041.34	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S PROCTER & GAMBLE COMPANY	S	-4,890.84	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S THE HERSHEY COMPANY FORMERLY	S	-8,988.79	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S TJX COMPANIES INC (NEW)	S	-5,288.09	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S UNITED TECHNOLOGIES CORP	S	-6,118.43	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S US BANCORP (NEW)	S	-6,475.35	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S V F CORPORATION	S	-6,378.47	0.00	15.00	-15.00	0.0	0.00
11/01/2018 553826119	ODOM, JAMES	S VISA INC-CLASS A SHRS	S	-9,121.48	0.00	15.00	-15.00	0.0	0.00
* STOCKS SUBTOTAL *				<u>\$-235,938.50</u>	<u>\$0.00</u>	<u>\$495.00</u>	<u>\$-495.00</u>		<u>\$0.00</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$-252,243.28</u>	<u>\$0.00</u>	<u>\$605.00</u>	<u>\$-605.00</u>		<u>\$0.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>