

COMMISSION STATEMENT

October 15, 2018

 GEORGE OFFUTT-D067
 146 Pinehurst

 BROKER #: D067
 BRANCH: DMT

Meadowlakes, TX 78654

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/30/2018 (None)	VARIOUS, OFFUTT	MF OPPENHEIMER	B	0.00	49.60	0.00	49.60	90.0	44.64
09/05/2018 00000275988	JACKSON, TANYA	MF COLUMBIA HIGH YIELD BOND FUND-A	B	15.00	0.53	0.00	0.53	90.0	0.48
10/05/2018 00000275988	JACKSON, TANYA	MF COLUMBIA HIGH YIELD BOND FUND-A	B	15.00	0.53	0.00	0.53	90.0	0.48
09/05/2018 07320103858	JACKSON, TANYA	MF COLUMBIA SELECT INTL EQUITY	B	20.00	0.75	0.00	0.75	90.0	0.68
10/05/2018 07320103858	JACKSON, TANYA	MF COLUMBIA SELECT INTL EQUITY	B	20.00	0.75	0.00	0.75	90.0	0.68
09/05/2018 07790166208	JACKSON, TANYA	MF COLUMBIA GLOBAL EQUITY VALUE-A	B	40.00	1.50	0.00	1.50	90.0	1.35
10/05/2018 07790166208	JACKSON, TANYA	MF COLUMBIA GLOBAL EQUITY VALUE-A	B	40.00	1.50	0.00	1.50	90.0	1.35
09/05/2018 09690115201	JACKSON, TANYA	MF COLUMBIA SMALL CAP VALUE FUND I	B	25.00	0.94	0.00	0.94	90.0	0.85
10/05/2018 09690115201	JACKSON, TANYA	MF COLUMBIA SMALL CAP VALUE FUND I	B	25.00	0.94	0.00	0.94	90.0	0.85
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$57.04	\$0.00	\$57.04		\$51.36
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018 (None)	VARIOUS, OFFUTT	MFT SCHOLAR'S EDGE	B	0.00	85.69	0.00	85.69	90.0	77.12
09/30/2018 (None)	VARIOUS, OFFUTT	MFT OPPENHEIMER	B	0.00	578.52	0.00	578.52	90.0	520.67
09/30/2018 (None)	BLACK, JESSICA	MFT TEXAS 529 PLAN	B	0.00	2.94	0.00	2.94	90.0	2.65
10/03/2018 00000275988	JACKSON, TANYA	MFT COLUMBIA HIGH YIELD BOND FUND-A	B	0.00	1.94	0.00	1.94	90.0	1.75
10/01/2018 00115758853	BRADSTREET, DUSTI	MFT AIM CHARTER FUND - CLASS A	B	0.00	14.51	0.00	14.51	90.0	13.06
10/01/2018 00352904440	OFFUTT, MEGAN	MFT MFS AGGRESS GROWTH ALLOCATION	B	0.00	7.29	0.00	7.29	90.0	6.56
10/01/2018 03809068863	JACKSON, TANYA	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	1.20	0.00	1.20	90.0	1.08
10/01/2018 03809068863	JACKSON, TANYA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.06	0.00	2.06	90.0	1.85
10/08/2018 04715331851	CRADDICK,	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	13.65	0.00	13.65	90.0	12.29
10/08/2018 04725938328	BLACK, JESSICA	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	6.68	0.00	6.68	90.0	6.01
10/08/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	4.66	0.00	4.66	90.0	4.19
10/08/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.54	0.00	2.54	90.0	2.29
10/08/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	3.94	0.00	3.94	90.0	3.55
10/08/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN REAL ESTATE	B	0.00	2.80	0.00	2.80	90.0	2.52
10/08/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	1.76	0.00	1.76	90.0	1.58
10/03/2018 07320103858	JACKSON, TANYA	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	1.89	0.00	1.89	90.0	1.70
10/03/2018 07790166208	JACKSON, TANYA	MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	6.02	0.00	6.02	90.0	5.42
10/03/2018 09690115201	JACKSON, TANYA	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	5.85	0.00	5.85	90.0	5.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$743.94	\$0.00	\$743.94		\$669.56

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
09/18/2018 (None)	HILL, GERALD	VAT AMERITAS	B	0.00	20.58	0.00	20.58	90.0	18.52
09/18/2018 (None)	MARKHAM, EDWARD	VAT AMERITAS	B	0.00	16.73	0.00	16.73	90.0	15.06
09/18/2018 (None)	OFFUTT, MELANIE	VAT AMERITAS	B	0.00	0.18	0.00	0.18	90.0	0.16
09/28/2018 (None)	VARIOUS, OFFUTT	VAT PRUDENTIAL LIFE	B	0.00	8.52	0.00	8.52	90.0	7.67
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$46.01	\$0.00	\$46.01		\$41.41
*** DIRECT TRANSACTIONS TOTAL ***				\$200.00	\$846.99	\$0.00	\$846.99		\$762.33

BROKER COMMISSION TOTALS

Broker Transactions	\$762.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$762.33
Year-to-date Commissions	\$10,945.71