

COMMISSION STATEMENT**October 31, 2018****ROBERT HACKEL**

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: P76

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/27/2018	75501322	GILBERTSON, JAY		AIR LEASE CORP CL A	B	878.51	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		BEIGENE LTD SPONSORED ADR ISIN#US07 B		2,233.14	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		BRIGHT HORIZONS FAMILY SOLUTIONS IN B		1,399.57	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		CHURCHILL DOWNS INC	B	1,398.00	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		HILTON GRAND VACATIONS INC COMMON S S		1,687.92	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		HUAZHU GROUP LIMITED AMERICAN DEPOS B		911.15	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		IONIS PHARMACEUTICALS INC COM	S	1,870.13	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		LIONS GATE ENTERTAINMENT CORP REGIS S		860.59	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		LITHIA MOTORS INC-CL A	S	1,070.87	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		VEONEER INC COMMON STOCK	B	941.00	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		WELLCARE HEALTH PLANS INC	B	2,881.09	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		WYNDHAM HOTELS & RESORTS INC COMMON S		1,292.75	0.00	0.00	0.00	80.0	0.00
09/27/2018	75501322	GILBERTSON, JAY		WYNDHAM WORLDWIDE CORPORATION	S	991.01	0.00	0.00	0.00	80.0	0.00
09/28/2018	75501322	GILBERTSON, JAY		EQUINIX INC COM PAR \$0 001	B	2,601.53	0.00	0.00	0.00	80.0	0.00
09/28/2018	75501322	GILBERTSON, JAY		HOST MARRIOTT CORP (NEW)	S	1,407.88	0.00	0.00	0.00	80.0	0.00
10/02/2018	75501322	GILBERTSON, JAY		EDWARDS LIFESCIENCES CRP	S	654.40	0.00	0.00	0.00	80.0	0.00
10/03/2018	75501322	GILBERTSON, JAY		COVANTA HOLDING CORPORATION	S	1,376.92	0.00	0.00	0.00	80.0	0.00
10/03/2018	75501322	GILBERTSON, JAY		REALTY INCOME CORP	B	5,884.70	0.00	0.00	0.00	80.0	0.00
10/08/2018	75501322	GILBERTSON, JAY		ALIGN TECHNOLOGY INC	S	2,362.36	0.00	0.00	0.00	80.0	0.00
10/10/2018	75501322	GILBERTSON, JAY		BLUEBIRD BIO INC COM	B	1,701.86	0.00	0.00	0.00	80.0	0.00
10/10/2018	75501322	GILBERTSON, JAY		CHENIERE ENERGY INC COM	S	2,121.87	0.00	0.00	0.00	80.0	0.00
10/10/2018	75501322	GILBERTSON, JAY		DIAMONDBACK ENERGY INC COM	S	2,220.19	0.00	0.00	0.00	80.0	0.00
10/10/2018	75501322	GILBERTSON, JAY		TELADOC INC COM	B	839.04	0.00	0.00	0.00	80.0	0.00
10/10/2018	75501322	GILBERTSON, JAY		VEEVA SYSTEMS INC CL A COM	S	1,722.39	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		ARROWHEAD PHARMACEUTICALS INC COM	B	1,245.07	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		CBRE GROUP INC CL A	S	3,090.82	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		EMCOR GROUP INC	B	616.01	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		OLD DOMINION FREIGHT LINES INC	S	3,116.74	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		PGT INC COM	B	1,245.63	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		VIKING THERAPEUTICS INC COM	B	1,223.91	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501322	GILBERTSON, JAY		WILDHORSE RESOURCE DEV CORP COM	B	1,232.12	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/12/2018	75501322	GILBERTSON, JAY		WPX ENERGY INC	B	1,207.54	0.00	0.00	0.00 80.0 0.00
10/16/2018	75501322	GILBERTSON, JAY		BECTON DICKINSON & CO	S	2,192.37	0.00	0.00	0.00 80.0 0.00
10/17/2018	75501322	GILBERTSON, JAY		HELIX ENERGY SOLUTIONS GROUP INC	B	1,270.35	0.00	0.00	0.00 80.0 0.00
10/17/2018	75501322	GILBERTSON, JAY		MOMENTA PHARMACEUTICALS INC	S	1,069.88	0.00	0.00	0.00 80.0 0.00
10/18/2018	75501322	GILBERTSON, JAY		GARRETT MOTION INC COMMON STOCK	S	29.03	0.00	0.00	0.00 80.0 0.00
10/18/2018	75501322	GILBERTSON, JAY		ULTA SALON COSMETICS & FRAGRANCE IN	S	1,087.39	0.00	0.00	0.00 80.0 0.00
10/19/2018	75501322	GILBERTSON, JAY		EBAY INC	S	574.41	0.00	0.00	0.00 80.0 0.00
10/19/2018	75501322	GILBERTSON, JAY		IHS MARKIT LTD SHS	B	1,454.84	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		ADVANSIX INC COMMON STOCK	S	27.09	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		ALBEMARLE CORPORATION	B	1,317.82	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		ALPHABET INC CLASS C CAPITAL STOCK	S	5,283.45	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		ALTRIA GROUP INC	B	3,569.25	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		AT&T INC	B	4,796.25	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		AUTOMATIC DATA PROCESSING INC	S	5,274.43	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		BOEING CO	S	712.17	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		CHEVRON CORPORATION	B	1,441.18	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		CHUBB LTD COM	B	3,132.43	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		COCA COLA COMPANY (THE)	B	3,663.30	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		DOWDUPONT INC COMMON STOCK	B	4,453.52	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		EXXON MOBIL CORPORATION	B	3,903.38	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		FIDELITY NATIONAL FINANCIAL INC	B	1,291.93	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		FORTINET INC	S	718.15	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		GARTNER INC	S	4,990.30	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		GLAXOSMITHKLINE PLC SPONSORED ADR(F	B	3,388.88	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		HENRY JACK & ASSOCIATES INC	S	1,044.85	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		IAC INTERACTIVECORP COM	S	760.20	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		J P MORGAN CHASE & CO	B	934.34	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		JACOBS ENGINEERING GROUP INC	B	1,020.28	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		KEYSIGHT TECHNOLOGIES INC COM	B	1,470.48	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		LEGG MASON INC	B	708.18	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		MICROSOFT CORP	S	5,081.93	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		NIKE INC CL B	S	5,082.41	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		O REILLY AUTOMOTIVE INC	S	330.01	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		PHILIP MORRIS INTERNATIONAL INC	B	3,575.80	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		PHILLIPS 66 COM	B	1,147.93	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		PULTEGROUP INC COM	S	1,001.33	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		SUNTRUST BANKS INC	B	1,420.56	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		TEXAS INSTRUMENTS INCORPORATED	B	1,963.85	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		TOTAL SYSTEM SERVICES INC	S	828.09	0.00	0.00	0.00 80.0 0.00
10/24/2018	75501322	GILBERTSON, JAY		VERIZON COMMUNICATIONS	B	3,457.14	0.00	0.00	0.00 80.0 0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/24/2018	75501322 GILBERTSON, JAY	VISA INC CL A COMMON STOCK	S	5,424.48	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501322 GILBERTSON, JAY	WELLS FARGO & CO	S	6,695.35	0.00	0.00	0.00	80.0	0.00
10/25/2018	75501322 GILBERTSON, JAY	AIR LEASE CORP CL A	B	1,540.32	0.00	0.00	0.00	80.0	0.00
10/25/2018	75501322 GILBERTSON, JAY	KEYSIGHT TECHNOLOGIES INC COM	S	1,897.32	0.00	0.00	0.00	80.0	0.00
10/26/2018	75501322 GILBERTSON, JAY	HOST MARRIOTT CORP (NEW)	S	1,248.89	0.00	0.00	0.00	80.0	0.00
10/29/2018	75501322 GILBERTSON, JAY	EBAY INC	S	1,854.85	0.00	0.00	0.00	80.0	0.00
10/29/2018	75501322 GILBERTSON, JAY	EQUINIX INC COM PAR \$0 001	B	1,523.03	0.00	0.00	0.00	80.0	0.00
10/29/2018	75501322 GILBERTSON, JAY	IHS MARKIT LTD SHS	B	801.00	0.00	0.00	0.00	80.0	0.00
10/08/2018	75501761 WRIGHTON, BRYAN	ALIGN TECHNOLOGY INC	S	1,349.92	0.00	0.00	0.00	80.0	0.00
10/11/2018	75501761 WRIGHTON, BRYAN	LIGAND PHARMACEUTICALS INC	S	1,671.69	0.00	0.00	0.00	80.0	0.00
10/12/2018	75501761 WRIGHTON, BRYAN	PROTO LABS INC COM	S	1,505.88	0.00	0.00	0.00	80.0	0.00
10/16/2018	75501761 WRIGHTON, BRYAN	JOHN BEAN TECHNOLOGIES CORP	B	430.38	0.00	0.00	0.00	80.0	0.00
10/17/2018	75501761 WRIGHTON, BRYAN	WD 40 CO	S	1,113.04	0.00	0.00	0.00	80.0	0.00
10/19/2018	75501761 WRIGHTON, BRYAN	HEALTHSTREAM INC	S	1,142.01	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	AAON INC NEW	S	1,077.29	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	ALPHABET INC CLASS C CAPITAL STOCK	S	3,170.07	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	AT&T INC	B	6,283.69	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	AUTOMATIC DATA PROCESSING INC	S	3,192.42	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	CHEVRON CORPORATION	B	3,658.38	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	COCA COLA COMPANY (THE)	B	2,536.13	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	DESCARTES SYSTEMS GROUP INC	S	1,556.01	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	DOWDUPONT INC COMMON STOCK	B	742.25	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	DUKE ENERGY CORPORATION HOLDING COM B	S	2,208.57	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	EXPONENT INC	S	1,044.23	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	EXXON MOBIL CORPORATION	B	2,732.37	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	GENERAL MOTORS COMPANY	B	1,543.28	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	GLAXOSMITHKLINE PLC SPONSORED ADR(F B	S	2,049.09	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	GRAND CANYON EDUCATION INC	S	1,184.00	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	HSBC HOLDINGS PLC SPONSORED ADR	B	1,506.51	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	MERCURY SYS INC COM	S	912.80	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	MESA LABS INC	S	1,282.54	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	METLIFE INC	B	283.15	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	NEOGEN CORP	S	1,094.94	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	NIC INC	S	459.03	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	NIKE INC CL B	S	3,049.44	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	NVE CORPORATION	S	617.61	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	O REILLY AUTOMOTIVE INC	S	1,650.05	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	OMNICELL INC	S	1,586.26	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	REPLIGEN CORP	S	957.96	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761 WRIGHTON, BRYAN	ROLLINS INC	S	575.90	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/24/2018	75501761	WRIGHTON, BRYAN	ROYAL DUTCH SHELL PLC SPONSORED ADR B	4,396.96	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761	WRIGHTON, BRYAN	SIMPSON MANUFACTURING CO INC S	1,150.00	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761	WRIGHTON, BRYAN	STAMPS.COM INC S	945.90	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761	WRIGHTON, BRYAN	SUN HYDRAULICS CORP S	951.30	0.00	0.00	0.00	80.0	0.00
10/24/2018	75501761	WRIGHTON, BRYAN	WESTWOOD HOLDINGS GROUP INC S	558.35	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502027	HACKEL, STUART	PROSPECT CAPITAL CORPORATION B	5,637.76	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502027	HACKEL, STUART	PROSPECT CAPITAL CORPORATION B	5,637.76	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502027	HACKEL, STUART	PROSPECT CAPITAL CORPORATION S	-5,637.76	0.00	0.00	0.00	80.0	0.00 C
10/23/2018	75502027	HACKEL, STUART	MPLX LP COM UNIT REPSTG LTD PARTNER B	16,848.05	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502029	HACKEL, STUART	PROSPECT CAPITAL CORPORATION S	-3,523.60	0.00	0.00	0.00	80.0	0.00 C
10/15/2018	75502029	HACKEL, STUART	PROSPECT CAPITAL CORPORATION B	3,523.60	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502029	HACKEL, STUART	PROSPECT CAPITAL CORPORATION B	3,523.60	0.00	0.00	0.00	80.0	0.00
10/23/2018	75502029	HACKEL, STUART	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,739.22	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502030	RYNN, SUSAN	PROSPECT CAPITAL CORPORATION B	10,570.80	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502030	RYNN, SUSAN	PROSPECT CAPITAL CORPORATION S	-10,570.80	0.00	0.00	0.00	80.0	0.00 C
10/15/2018	75502030	RYNN, SUSAN	PROSPECT CAPITAL CORPORATION B	10,570.80	0.00	0.00	0.00	80.0	0.00
10/23/2018	75502030	RYNN, SUSAN	MPLX LP COM UNIT REPSTG LTD PARTNER B	33,696.10	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502031	RYNN, SUSAN	PROSPECT CAPITAL CORPORATION S	-1,409.44	0.00	0.00	0.00	80.0	0.00 C
10/15/2018	75502031	RYNN, SUSAN	PROSPECT CAPITAL CORPORATION B	1,409.44	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502031	RYNN, SUSAN	PROSPECT CAPITAL CORPORATION B	1,409.44	0.00	0.00	0.00	80.0	0.00
10/23/2018	75502031	RYNN, SUSAN	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,739.22	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502032	HACKEL, STUART	PROSPECT CAPITAL CORPORATION B	7,047.20	0.00	0.00	0.00	80.0	0.00
10/15/2018	75502032	HACKEL, STUART	PROSPECT CAPITAL CORPORATION S	-7,047.20	0.00	0.00	0.00	80.0	0.00 C
10/15/2018	75502032	HACKEL, STUART	PROSPECT CAPITAL CORPORATION B	7,047.20	0.00	0.00	0.00	80.0	0.00
10/23/2018	75502032	HACKEL, STUART	MPLX LP COM UNIT REPSTG LTD PARTNER B	13,478.44	0.00	0.00	0.00	80.0	0.00
10/10/2018	QGW006942	FAMILY, SAC	BAIDU INC SPONSORED ADR REPSTG ORD B	775,628.00	25,000.00	0.00	25,000.00	80.0	20,000.00
10/11/2018	QGW006942	FAMILY, SAC	CISCO SYS INC B	440,731.00	10,000.00	0.00	10,000.00	80.0	8,000.00
10/26/2018	QGW006942	FAMILY, SAC	MICRON TECHNOLOGY INC B	702,672.00	20,000.00	0.00	20,000.00	80.0	16,000.00
* STOCKS SUBTOTAL *				\$2,247,631.36	\$55,000.00	\$0.00	\$55,000.00		\$44,000.00

PRODUCT CATEGORY: RIA

10/01/2018	75501322	GILBERTSON, JAY		FAS FEES PT. I/III B	-6,820.21	1,687.82	0.00	1,687.82	80.0	1,350.26
10/01/2018	75501761	WRIGHTON, BRYAN		FAS FEES PT. I/III B	-2,964.34	738.28	0.00	738.28	80.0	590.62
10/01/2018	75502027	HACKEL, STUART		FAS FEES PT. I/III B	0.00	64.37	0.00	64.37	80.0	51.50
10/01/2018	75502029	HACKEL, STUART		FAS FEES PT. I/III B	0.00	72.61	0.00	72.61	80.0	58.09
10/01/2018	75502030	RYNN, SUSAN		FAS FEES PT. I/III B	0.00	516.50	0.00	516.50	80.0	413.20
10/01/2018	75502031	RYNN, SUSAN		FAS FEES PT. I/III B	0.00	11.00	0.00	11.00	80.0	8.80
10/01/2018	75502032	HACKEL, STUART		FAS FEES PT. I/III B	-316.85	0.00	0.00	0.00	80.0	0.00
10/01/2018	75502032	HACKEL, STUART		FAS FEES PT. I/III B	-58.69	0.00	0.00	0.00	80.0	0.00
10/01/2018	75502032	HACKEL, STUART		FAS FEES PT. I/III B	-949.76	245.35	0.00	245.35	80.0	196.28

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. I/III	B	-1,850.17	0.00	0.00	0.00	80.0	0.00
10/01/2018 75502032 HACKEL, STUART	FAS FEES PT. I/III	B	-280.90	0.00	0.00	0.00	80.0	0.00
* RIA SUBTOTAL *			\$-13,240.92	\$3,335.93	\$0.00	\$3,335.93		\$2,668.75
*** BROKER TRANSACTIONS TOTAL ***			\$2,234,390.44	\$58,335.93	\$0.00	\$58,335.93		\$46,668.75

ADJUSTMENTS

10/31/2018	MISCELLANEOUS: W/O 75500181		0.00	0.00	0.00	0.00		-2.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2.00

BROKER COMMISSION TOTALS

Broker Transactions	\$46,668.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-72.92
SIPC Assessment	\$-145.84

Check Amount	\$46,447.99
Year-to-date Earnings	\$164,565.85