



COMMISSION STATEMENT

December 17, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 11/28/2018 | ***-**-6647 - CABLE, ROBERT | AIM SELECT REAL ESTATE INCOME FD-CL | 4.92 | 0.05 | 0.00 | 0.05 | 75.0 | 0.04 |
| | ACCOUNT #: 07122874988 | BUY 1 Units @8.8400 | | | | | | |
| 11/29/2018 | ***-**-6647 - CABLE, ROBERT | AIM SELECT REAL ESTATE INCOME FD-CL | 7.19 | 0.07 | 0.00 | 0.07 | 75.0 | 0.05 |
| | ACCOUNT #: 07122874988 | BUY 1 Units @8.8300 | | | | | | |
| 11/29/2018 | ***-**-6647 - CABLE, ROBERT | AIM SELECT REAL ESTATE INCOME FD-CL | 1.06 | 0.01 | 0.00 | 0.01 | 75.0 | 0.01 |
| | ACCOUNT #: 07122877590 | BUY 0 Units @8.8300 | | | | | | |
| 11/19/2018 | ***-**-67CL - MILGROOM, | INVESCO GLOBAL REAL ESTATE FUND -CL | 138.21 | 1.04 | 0.00 | 1.04 | 75.0 | 0.78 |
| | ACCOUNT #: 07123000494 | BUY 11 Units @12.9900 | | | | | | |
| 11/20/2018 | ***-**-67CL - MILGROOM, | INVESCO GLOBAL REAL ESTATE FUND -CL | 17.88 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 07123000351 | BUY 1 Units @12.8400 | | | | | | |
| 12/03/2018 | ***-**-67CL - MILGROOM, | INVESCO GLOBAL REAL ESTATE FUND -CL | 15.00 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 07123000351 | BUY 1 Units @13.2200 | | | | | | |
| 12/04/2018 | ***-**-67CL - MILGROOM, | INVESCO GLOBAL REAL ESTATE FUND -CL | 139.94 | 1.05 | 0.00 | 1.05 | 75.0 | 0.79 |
| | ACCOUNT #: 07123000494 | BUY 11 Units @13.0400 | | | | | | |
| 11/29/2018 | ***-**-67CL - MILGROOM, | MFS NEW DISCOVERY VALUE FUND CL C | 1.10 | 0.01 | 0.00 | 0.01 | 75.0 | 0.01 |
| | ACCOUNT #: 00090000070 | BUY 0 Units @14.5600 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$325.30 | \$2.47 | \$0.00 | \$2.47 | | \$1.86 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 12/10/2018 | ***-**-6927 - DEMAREST, DAVID | JACKSON NATIONAL PERSPECTIVE II | 100.00 | 7.50 | 0.00 | 7.50 | 75.0 | 5.63 |
| | ACCOUNT #: 1008530439 | BUY 0 Units @0.0000 | | | | | | |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$100.00 | \$7.50 | \$0.00 | \$7.50 | | \$5.63 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 12/06/2018 | ***-**-67CL - MILGROOM, | MID CAP GROWTH - R2 | 0.00 | 0.98 | 0.00 | 0.98 | 75.0 | 0.74 |
| | ACCOUNT #: 07468200598 | BUY 0 Units @0.0000 | | | | | | |
| 12/06/2018 | ***-**-67CL - MILGROOM, | MID CAP GROWTH - R2 | 0.00 | 4.17 | 0.00 | 4.17 | 75.0 | 3.13 |
| | ACCOUNT #: 07468200633 | BUY 0 Units @0.0000 | | | | | | |
| 12/06/2018 | ***-**-2107 - FIGUERAS, DAURIS | MID CAP GROWTH - R2 | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: 07468200945 | BUY 0 Units @0.0000 | | | | | | |
| 12/06/2018 | ***-**-7574 - G-MEN CORP | MID CAP GROWTH - R2 | 0.00 | 2.88 | 0.00 | 2.88 | 75.0 | 2.16 |
| | ACCOUNT #: 07468201148 | BUY 0 Units @0.0000 | | | | | | |



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|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-775P - KING, GREGORY | AMCAP FUND-A | 0.00 | 20.82 | 0.00 | 20.82 | 75.0 | 15.62 |
| | ACCOUNT #: 00082438825 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-985P - KEATING, EILEEN | AMERICAN BALANCED FUND-A | 0.00 | 0.35 | 0.00 | 0.35 | 75.0 | 0.26 |
| | ACCOUNT #: 00069168694 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8357 - KEATING, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 0.35 | 0.00 | 0.35 | 75.0 | 0.26 |
| | ACCOUNT #: 00069168696 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-165P - COOPER-KEATING, | AMERICAN BALANCED FUND-A | 0.00 | 4.37 | 0.00 | 4.37 | 75.0 | 3.28 |
| | ACCOUNT #: 00081502206 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-964I - HARRELL, ZELDA | AMERICAN BALANCED FUND-A | 0.00 | 16.00 | 0.00 | 16.00 | 75.0 | 12.00 |
| | ACCOUNT #: 00085724317 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | AMERICAN FUNDS 023375702 | 0.00 | 11.57 | 0.00 | 11.57 | 75.0 | 8.68 |
| | ACCOUNT #: 00088835521 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | AMERICAN FUNDS 023375702 | 0.00 | 11.77 | 0.00 | 11.77 | 75.0 | 8.83 |
| | ACCOUNT #: 00088835540 | BUY 0 Units @0.0000 | | | | | | |
| 12/17/2018 | ***-**-0067 - UNCLE AL'S CAFE, | AMERICAN FUNDS MF TRAILS | 0.00 | 3.86 | 0.00 | 3.86 | 75.0 | 2.90 |
| | ACCOUNT #: 01241474508 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-725P - MOLLE, PAUL | AMERICAN FUNDS U.S. GOV MONEY MRKT | 0.00 | 0.01 | 0.00 | 0.01 | 75.0 | 0.01 |
| | ACCOUNT #: 00068105507 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE | AMERICAN MUTUAL FUND-529A | 0.00 | 7.96 | 0.00 | 7.96 | 75.0 | 5.97 |
| | ACCOUNT #: 00081895798 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE | AMERICAN MUTUAL FUND-529A | 0.00 | 7.96 | 0.00 | 7.96 | 75.0 | 5.97 |
| | ACCOUNT #: 00081895839 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE | AMERICAN MUTUAL FUND-529A | 0.00 | 7.62 | 0.00 | 7.62 | 75.0 | 5.72 |
| | ACCOUNT #: 00085243860 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-129I - HOLLANDER, | AMERICAN MUTUAL FUND-A | 0.00 | 27.77 | 0.00 | 27.77 | 75.0 | 20.83 |
| | ACCOUNT #: 00075689213 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-264I - HOLLANDER, TED | AMERICAN MUTUAL FUND-A | 0.00 | 27.90 | 0.00 | 27.90 | 75.0 | 20.93 |
| | ACCOUNT #: 00075689223 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-964I - HARRELL, ZELDA | AMERICAN MUTUAL FUND-A | 0.00 | 4.80 | 0.00 | 4.80 | 75.0 | 3.60 |
| | ACCOUNT #: 00085724317 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-1982 - HOHNECKER, LAURA | AMERICAN MUTUAL FUND-A | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| | ACCOUNT #: 00086976260 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/17/2018 | ***-**-67CL - MILGROOM, | BLACKROCK TRAILS BUY 0 Units @0.0000 | 0.00 | 8.21 | 0.00 | 8.21 | 75.0 | 6.16 |
| 12/03/2018 | ***-**-985P - KEATING, EILEEN ACCOUNT #: 00069168694 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.31 | 0.00 | 0.31 | 75.0 | 0.23 |
| 12/03/2018 | ***-**-8357 - KEATING, ROBERT ACCOUNT #: 00069168696 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.31 | 0.00 | 0.31 | 75.0 | 0.23 |
| 12/03/2018 | ***-**-6273 - ALICEA, JULIANNE ACCOUNT #: 00081274080 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 2.77 | 0.00 | 2.77 | 75.0 | 2.08 |
| 12/03/2018 | ***-**-775P - KING, GREGORY ACCOUNT #: 00082438825 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 16.51 | 0.00 | 16.51 | 75.0 | 12.38 |
| 12/03/2018 | ***-**-215P - KHAN, HUMAIRA ACCOUNT #: 00075327976 | CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000 | 0.00 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| 12/03/2018 | ***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206 | CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000 | 0.00 | 2.83 | 0.00 | 2.83 | 75.0 | 2.12 |
| 12/03/2018 | ***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260 | CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000 | 0.00 | 1.46 | 0.00 | 1.46 | 75.0 | 1.10 |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 58.81 | 0.00 | 58.81 | 75.0 | 44.11 |
| 12/03/2018 | ***-**-985P - KEATING, EILEEN ACCOUNT #: 00069168694 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.40 | 0.00 | 0.40 | 75.0 | 0.30 |
| 12/03/2018 | ***-**-8357 - KEATING, ROBERT ACCOUNT #: 00069168696 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.40 | 0.00 | 0.40 | 75.0 | 0.30 |
| 12/03/2018 | ***-**-109I - PASCH, ROBERT ACCOUNT #: 00073775313 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.01 | 0.00 | 5.01 | 75.0 | 3.76 |
| 12/03/2018 | ***-**-215P - KHAN, HUMAIRA ACCOUNT #: 00075327976 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.28 | 0.00 | 0.28 | 75.0 | 0.21 |
| 12/03/2018 | ***-**-129I - HOLLANDER, ACCOUNT #: 00075689213 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 23.06 | 0.00 | 23.06 | 75.0 | 17.30 |
| 12/03/2018 | ***-**-264I - HOLLANDER, TED ACCOUNT #: 00075689223 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 22.74 | 0.00 | 22.74 | 75.0 | 17.06 |
| 12/03/2018 | ***-**-6273 - ALICEA, JULIANNE ACCOUNT #: 00081274080 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.16 | 0.00 | 3.16 | 75.0 | 2.37 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.72 | 0.00 | 3.72 | 75.0 | 2.79 |
| 12/03/2018 | ***-**-135P - PASCH, SHAHAR ACCOUNT #: 00081639466 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.99 | 0.00 | 0.99 | 75.0 | 0.74 |
| 12/03/2018 | ***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 29.15 | 0.00 | 29.15 | 75.0 | 21.86 |
| 12/03/2018 | ***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.23 | 0.00 | 1.23 | 75.0 | 0.92 |
| 12/03/2018 | ***-**-635I - CAMPBELL, ACCOUNT #: 00084984847 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.36 | 0.00 | 0.36 | 75.0 | 0.27 |
| 12/03/2018 | ***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 10.12 | 0.00 | 10.12 | 75.0 | 7.59 |
| 12/03/2018 | ***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 12.72 | 0.00 | 12.72 | 75.0 | 9.54 |
| 12/03/2018 | ***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.51 | 0.00 | 1.51 | 75.0 | 1.13 |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895798 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 7.16 | 0.00 | 7.16 | 75.0 | 5.37 |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895839 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 7.16 | 0.00 | 7.16 | 75.0 | 5.37 |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00085243860 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 6.74 | 0.00 | 6.74 | 75.0 | 5.06 |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 5.98 | 0.00 | 5.98 | 75.0 | 4.49 |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 6.85 | 0.00 | 6.85 | 75.0 | 5.14 |
| 12/03/2018 | ***-**-275P - BUBLA, MADISON ACCOUNT #: 00085932755 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 0.41 | 0.00 | 0.41 | 75.0 | 0.31 |
| 12/03/2018 | ***-**-6927 - DEMAREST, DAVID ACCOUNT #: 00085340702 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 5.30 | 0.00 | 5.30 | 75.0 | 3.98 |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 1.13 | 0.00 | 1.13 | 75.0 | 0.85 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL | EUROPACIFIC GROWTH FUND CLASS C | 0.00 | 9.63 | 0.00 | 9.63 | 75.0 | 7.22 |
| | ACCOUNT #: 00068829975 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL | EUROPACIFIC GROWTH FUND CLASS F | 0.00 | 3.36 | 0.00 | 3.36 | 75.0 | 2.52 |
| | ACCOUNT #: 00068829975 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE | EUROPACIFIC GROWTH FUND-529A | 0.00 | 5.40 | 0.00 | 5.40 | 75.0 | 4.05 |
| | ACCOUNT #: 00085796879 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE | EUROPACIFIC GROWTH FUND-529A | 0.00 | 6.20 | 0.00 | 6.20 | 75.0 | 4.65 |
| | ACCOUNT #: 00085796907 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-6927 - DEMAREST, DAVID | EUROPACIFIC GROWTH FUND-529E | 0.00 | 4.53 | 0.00 | 4.53 | 75.0 | 3.40 |
| | ACCOUNT #: 00085340702 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.77 | 0.00 | 1.77 | 75.0 | 1.33 |
| | ACCOUNT #: 00068829975 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-109I - PASCH, ROBERT | EUROPACIFIC GROWTH FUND-A | 0.00 | 4.15 | 0.00 | 4.15 | 75.0 | 3.11 |
| | ACCOUNT #: 00073775313 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-135P - PASCH, SHAHAR | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| | ACCOUNT #: 00081639466 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-635I - CAMPBELL, | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.32 | 0.00 | 0.32 | 75.0 | 0.24 |
| | ACCOUNT #: 00084984847 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-461I - CAMPBELL, COLIN | EUROPACIFIC GROWTH FUND-A | 0.00 | 9.36 | 0.00 | 9.36 | 75.0 | 7.02 |
| | ACCOUNT #: 00084984880 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-0067 - UNCLE AL'S CAFE, | EUROPACIFIC GROWTH FUND-R2 | 0.00 | 83.42 | 0.00 | 83.42 | 75.0 | 62.57 |
| | ACCOUNT #: 00086251301 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE | FUNDAMENTAL INVESTORS-529A | 0.00 | 7.18 | 0.00 | 7.18 | 75.0 | 5.39 |
| | ACCOUNT #: 00085796879 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE | FUNDAMENTAL INVESTORS-529A | 0.00 | 8.14 | 0.00 | 8.14 | 75.0 | 6.11 |
| | ACCOUNT #: 00085796907 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | FUNDAMENTAL INVESTORS-529C | 0.00 | 11.47 | 0.00 | 11.47 | 75.0 | 8.60 |
| | ACCOUNT #: 00088835521 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | FUNDAMENTAL INVESTORS-529C | 0.00 | 11.66 | 0.00 | 11.66 | 75.0 | 8.75 |
| | ACCOUNT #: 00088835540 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-894I - GARCIA, MARIANO | FUNDAMENTAL INVESTORS-529E | 0.00 | 71.25 | 0.00 | 71.25 | 75.0 | 53.44 |
| | ACCOUNT #: 00073051316 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-4894 - GARCIA, MARIANO | FUNDAMENTAL INVESTORS-529E | 0.00 | 43.09 | 0.00 | 43.09 | 75.0 | 32.32 |
| | ACCOUNT #: 00083302737 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-215P - KHAN, HUMAIRA | FUNDAMENTAL INVESTORS-A | 0.00 | 0.30 | 0.00 | 0.30 | 75.0 | 0.23 |
| | ACCOUNT #: 00075327976 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-725P - MOLLE, PAUL | FUNDAMENTAL INVESTORS-C | 0.00 | 52.61 | 0.00 | 52.61 | 75.0 | 39.46 |
| | ACCOUNT #: 00068105507 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL | GROWTH FUND OF AMERICA CLASS F | 0.00 | 2.02 | 0.00 | 2.02 | 75.0 | 1.52 |
| | ACCOUNT #: 00068829975 | BUY 0 Units @0.0000 | | | | | | |
| 12/17/2018 | ***-**-67CL - MILGROOM, | JANUS MF TRAILS | 0.00 | 46.78 | 0.00 | 46.78 | 75.0 | 35.09 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE | NEW PERSPECTIVE FUND-529A | 0.00 | 7.28 | 0.00 | 7.28 | 75.0 | 5.46 |
| | ACCOUNT #: 00081895798 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE | NEW PERSPECTIVE FUND-529A | 0.00 | 7.28 | 0.00 | 7.28 | 75.0 | 5.46 |
| | ACCOUNT #: 00081895839 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7264 - HOLLANDER, SHANE | NEW PERSPECTIVE FUND-529A | 0.00 | 6.87 | 0.00 | 6.87 | 75.0 | 5.15 |
| | ACCOUNT #: 00085243860 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | NEW PERSPECTIVE FUND-529C | 0.00 | 10.97 | 0.00 | 10.97 | 75.0 | 8.23 |
| | ACCOUNT #: 00088835521 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | NEW PERSPECTIVE FUND-529C | 0.00 | 11.15 | 0.00 | 11.15 | 75.0 | 8.36 |
| | ACCOUNT #: 00088835540 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-894I - GARCIA, MARIANO | NEW PERSPECTIVE FUND-529E | 0.00 | 66.06 | 0.00 | 66.06 | 75.0 | 49.55 |
| | ACCOUNT #: 00073051316 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-4894 - GARCIA, MARIANO | NEW PERSPECTIVE FUND-529E | 0.00 | 41.39 | 0.00 | 41.39 | 75.0 | 31.04 |
| | ACCOUNT #: 00083302737 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-129I - HOLLANDER, | NEW PERSPECTIVE FUND-A | 0.00 | 23.17 | 0.00 | 23.17 | 75.0 | 17.38 |
| | ACCOUNT #: 00075689213 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-264I - HOLLANDER, TED | NEW PERSPECTIVE FUND-A | 0.00 | 23.17 | 0.00 | 23.17 | 75.0 | 17.38 |
| | ACCOUNT #: 00075689223 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-0067 - UNCLE AL'S CAFE, | NEW PERSPECTIVE FUND-R2 | 0.00 | 92.37 | 0.00 | 92.37 | 75.0 | 69.28 |
| | ACCOUNT #: 00086251301 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-7574 - G-MEN CORP | NEW WORLD FUND-R3 | 0.00 | 7.55 | 0.00 | 7.55 | 75.0 | 5.66 |
| | ACCOUNT #: 01241475290 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

December 17, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/17/2018 | ***-**-0720 - COCKTAILS DREAMS | QUALIFIED PLAN SUMMARY | 0.00 | 98.14 | 0.00 | 98.14 | 75.0 | 73.61 |
| | ACCOUNT #: 01230591027 | BUY 0 Units @0.0000 | | | | | | |
| 12/17/2018 | ***-**-9109 - PASCH, ROBERT | QUALIFIED PLAN SUMMARY | 0.00 | 12.28 | 0.00 | 12.28 | 75.0 | 9.22 |
| | ACCOUNT #: 01241470723 | BUY 0 Units @0.0000 | | | | | | |
| 12/17/2018 | ***-**-8780 - PALM BEACH | QUALIFIED PLAN SUMMARY | 0.00 | 41.41 | 0.00 | 41.41 | 75.0 | 31.06 |
| | ACCOUNT #: 01241471887 | BUY 0 Units @0.0000 | | | | | | |
| 12/17/2018 | ***-**-2111 - SPECTRUM TOURS | QUALIFIED PLAN SUMMARY | 0.00 | 4.32 | 0.00 | 4.32 | 75.0 | 3.25 |
| | ACCOUNT #: 01241474946 | BUY 0 Units @0.0000 | | | | | | |
| 12/06/2018 | ***-**-67CL - MILGROOM, | SMALL CAP VALUE FUND - R2 | 0.00 | 2.65 | 0.00 | 2.65 | 75.0 | 1.99 |
| | ACCOUNT #: 07418403711 | BUY 0 Units @0.0000 | | | | | | |
| 12/06/2018 | ***-**-67CL - MILGROOM, | SMALL CAP VALUE FUND - R2 | 0.00 | 0.64 | 0.00 | 0.64 | 75.0 | 0.48 |
| | ACCOUNT #: 07418403731 | BUY 0 Units @0.0000 | | | | | | |
| 12/06/2018 | ***-**-7574 - G-MEN CORP | SMALL CAP VALUE FUND - R2 | 0.00 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| | ACCOUNT #: 07418404146 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-985P - KEATING, EILEEN | THE BOND FUND OF AMERICA-A | 0.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00069168694 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-725P - MOLLE, PAUL | THE GROWTH FUND OF AMERICA-529A | 0.00 | 9.24 | 0.00 | 9.24 | 75.0 | 6.93 |
| | ACCOUNT #: 00073116984 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE | THE GROWTH FUND OF AMERICA-529A | 0.00 | 7.63 | 0.00 | 7.63 | 75.0 | 5.72 |
| | ACCOUNT #: 00085796879 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-2461 - CAMPBELL, HOPE | THE GROWTH FUND OF AMERICA-529A | 0.00 | 8.64 | 0.00 | 8.64 | 75.0 | 6.48 |
| | ACCOUNT #: 00085796907 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-725P - MOLLE, PAUL | THE GROWTH FUND OF AMERICA-529C | 0.00 | 12.22 | 0.00 | 12.22 | 75.0 | 9.17 |
| | ACCOUNT #: 00073116984 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | THE GROWTH FUND OF AMERICA-529C | 0.00 | 12.04 | 0.00 | 12.04 | 75.0 | 9.03 |
| | ACCOUNT #: 00088835521 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-3178 - IONESCU, MIHAELA | THE GROWTH FUND OF AMERICA-529C | 0.00 | 12.25 | 0.00 | 12.25 | 75.0 | 9.19 |
| | ACCOUNT #: 00088835540 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-894I - GARCIA, MARIANO | THE GROWTH FUND OF AMERICA-529E | 0.00 | 82.74 | 0.00 | 82.74 | 75.0 | 62.06 |
| | ACCOUNT #: 00073051316 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-4894 - GARCIA, MARIANO | THE GROWTH FUND OF AMERICA-529E | 0.00 | 46.16 | 0.00 | 46.16 | 75.0 | 34.62 |
| | ACCOUNT #: 00083302737 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

December 17, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-745P - BETTS, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.31 | 0.00 | 0.31 | 75.0 | 0.23 |
| | ACCOUNT #: 00066945923 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-725P - MOLLE, PAUL | THE GROWTH FUND OF AMERICA-A | 0.00 | 27.19 | 0.00 | 27.19 | 75.0 | 20.39 |
| | ACCOUNT #: 00068105507 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL | THE GROWTH FUND OF AMERICA-A | 0.00 | 118.70 | 0.00 | 118.70 | 75.0 | 89.03 |
| | ACCOUNT #: 00068829975 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8357 - KEATING, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.47 | 0.00 | 0.47 | 75.0 | 0.35 |
| | ACCOUNT #: 00069168696 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-109I - PASCH, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.39 | 0.00 | 6.39 | 75.0 | 4.79 |
| | ACCOUNT #: 00073775313 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-215P - KHAN, HUMAIRA | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.32 | 0.00 | 0.32 | 75.0 | 0.24 |
| | ACCOUNT #: 00075327976 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-6273 - ALICEA, JULIANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.28 | 0.00 | 4.28 | 75.0 | 3.21 |
| | ACCOUNT #: 00081274080 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-165P - COOPER-KEATING, | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.06 | 0.00 | 5.06 | 75.0 | 3.80 |
| | ACCOUNT #: 00081502206 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-135P - PASCH, SHAHAR | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.39 | 0.00 | 1.39 | 75.0 | 1.04 |
| | ACCOUNT #: 00081639466 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-045P - DEANE, ZAIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 40.86 | 0.00 | 40.86 | 75.0 | 30.65 |
| | ACCOUNT #: 00081785432 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-675P - MONTEFUSCO, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| | ACCOUNT #: 00081937980 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-075P - HUTCHINSON, | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.21 | 0.00 | 1.21 | 75.0 | 0.91 |
| | ACCOUNT #: 00082192962 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-775P - KING, GREGORY | THE GROWTH FUND OF AMERICA-A | 0.00 | 29.13 | 0.00 | 29.13 | 75.0 | 21.85 |
| | ACCOUNT #: 00082438825 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-635I - CAMPBELL, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.42 | 0.00 | 0.42 | 75.0 | 0.32 |
| | ACCOUNT #: 00084984847 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-461I - CAMPBELL, COLIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 12.15 | 0.00 | 12.15 | 75.0 | 9.11 |
| | ACCOUNT #: 00084984880 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-1982 - HOHNECKER, LAURA | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.72 | 0.00 | 1.72 | 75.0 | 1.29 |
| | ACCOUNT #: 00086976260 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

December 17, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
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BROKER #: 542
BRANCH #01002 - 02 - SOWERS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 12/03/2018 | ***-**-425P - SMOLLER, MICHAEL | THE GROWTH FUND OF AMERICA-C | 0.00 | 8.98 | 0.00 | 8.98 | 75.0 | 6.74 |
| | ACCOUNT #: 00068829975 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-215P - KHAN, HUMAIRA | THE INCOME FUND OF AMERICA-A | 0.00 | 0.28 | 0.00 | 0.28 | 75.0 | 0.21 |
| | ACCOUNT #: 00075327976 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-165P - COOPER-KEATING, | THE INCOME FUND OF AMERICA-A | 0.00 | 3.80 | 0.00 | 3.80 | 75.0 | 2.85 |
| | ACCOUNT #: 00081502206 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-045P - DEANE, ZAIN | THE INCOME FUND OF AMERICA-A | 0.00 | 29.35 | 0.00 | 29.35 | 75.0 | 22.01 |
| | ACCOUNT #: 00081785432 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-964I - HARRELL, ZELDA | THE INCOME FUND OF AMERICA-A | 0.00 | 4.59 | 0.00 | 4.59 | 75.0 | 3.44 |
| | ACCOUNT #: 00085724317 | BUY 0 Units @0.0000 | | | | | | |
| 12/04/2018 | ***-**-67CL - MILGROOM, | TRANSAMERICA SMALL/MID CAP VALUE-C | 0.00 | 13.91 | 0.00 | 13.91 | 75.0 | 10.43 |
| | ACCOUNT #: 07748008823 | BUY 0 Units @0.0000 | | | | | | |
| 12/04/2018 | ***-**-67CL - MILGROOM, | TRANSAMERICA SMALL/MID CAP VALUE-C | 0.00 | 17.78 | 0.00 | 17.78 | 75.0 | 13.34 |
| | ACCOUNT #: 07748008142 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-815P - BETTS, ALICIA | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| | ACCOUNT #: 00066945925 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-54IN - CECIARELLI, | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.58 | 0.00 | 0.58 | 75.0 | 0.44 |
| | ACCOUNT #: 00067091901 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-40IN - GEORGE, DORIS | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.42 | 0.00 | 0.42 | 75.0 | 0.32 |
| | ACCOUNT #: 00069103756 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-985P - KEATING, EILEEN | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.18 | 0.00 | 0.18 | 75.0 | 0.14 |
| | ACCOUNT #: 00069168694 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-8357 - KEATING, ROBERT | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.18 | 0.00 | 0.18 | 75.0 | 0.14 |
| | ACCOUNT #: 00069168696 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-6273 - ALICEA, JULIANNE | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 3.78 | 0.00 | 3.78 | 75.0 | 2.84 |
| | ACCOUNT #: 00081274080 | BUY 0 Units @0.0000 | | | | | | |
| 12/03/2018 | ***-**-075P - HUTCHINSON, | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 0.79 | 0.00 | 0.79 | 75.0 | 0.59 |
| | ACCOUNT #: 00082192962 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$1,777.23 | \$0.00 | \$1,777.23 | | \$1,333.11 |



COMMISSION STATEMENT

December 17, 2018

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BROKER #: 542
BRANCH #01002 - 02 - SOWERS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 12/11/2018 | ***-**-67CL - MILGROOM, ACCOUNT #: R096007-ZS | ING ADVANTAGE SELECT BUY 0 Units @0.0000 | 0.00 | 3.43 | 0.00 | 3.43 | 75.0 | 2.57 |
| 12/11/2018 | ***-**-67CL - MILGROOM, ACCOUNT #: R096023-ZS | ING ADVANTAGE SELECT BUY 0 Units @0.0000 | 0.00 | 5.65 | 0.00 | 5.65 | 75.0 | 4.24 |
| 12/13/2018 | ***-**-4209 - DEBS, KEVIN ACCOUNT #: R081292-ZS | ING ADVANTAGE SELECT BUY 0 Units @0.0000 | 0.00 | 13.32 | 0.00 | 13.32 | 75.0 | 9.99 |
| 12/13/2018 | ***-**-6833 - FANO, DENNIS ACCOUNT #: R110847-ZS | ING ADVANTAGE SELECT BUY 0 Units @0.0000 | 0.00 | 7.43 | 0.00 | 7.43 | 75.0 | 5.57 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$29.83 | \$0.00 | \$29.83 | | \$22.37 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$425.30 | \$1,817.03 | \$0.00 | \$1,817.03 | | \$1,362.97 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,311.14 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|--------------------|
| Net Commission Earned | \$1,362.97 |
| Year-to-date Earnings | \$43,799.58 |