

COMMISSION STATEMENT

October 31, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: C20

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/27/2018	QGV006554	Client Not Found		VERU INC COMMON STOCK	B	2,800.00	0.00	17.00	-17.00 75.0 -17.00
10/03/2018	QGV006554	Client Not Found		KALA PHARMACEUTICALS INC COM	B	4,125.00	0.00	17.00	-17.00 75.0 -17.00
10/11/2018	QGV006554	Client Not Found		LIVENT CORPORATION COMMON STOCK	B	8,500.00	0.00	17.00	-17.00 75.0 -17.00
10/19/2018	QGV006554	Client Not Found		SOLARWINDS CORPORATION COMMON STOCK	B	4,500.00	0.00	17.00	-17.00 75.0 -17.00
09/25/2018	QGW031882	MICKES, THOMAS		ALTRA INDL MOTION CORP COM	S	-9,345.66	30.00	17.00	13.00 75.0 5.50
09/25/2018	QGW039778	COLE, PHILLIP		ALTRA INDL MOTION CORP COM	S	-9,358.74	30.00	17.00	13.00 75.0 5.50
10/11/2018	QGW039778	COLE, PHILLIP		ENERGIOUS CORP COM	B	17,798.00	275.00	17.00	258.00 75.0 189.25
10/11/2018	QGW039778	COLE, PHILLIP		FIRST TRUST ETF DOW JONES INTERNET	B	124,648.00	700.00	17.00	683.00 75.0 508.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CANOPY GROWTH CORP COM ISIN# CA1380	B	56,914.20	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.85	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	10,611.00	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	5,894.50	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.50	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.50	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	3,536.70	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.50	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	7,073.40	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	2,357.80	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.90	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	2,357.80	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.90	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	17,685.00	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.90	0.00	17.00	-17.00 75.0 -17.00
10/16/2018	QGW896664	RF LAFFERTY & CO		CRONOS GROUP INC COM ISIN#CA22717L1	B	1,178.90	0.00	17.00	-17.00 75.0 -17.00
				* STOCKS SUBTOTAL *		\$259,526.95	\$1,035.00	\$408.00	\$627.00 \$368.25
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/23/2018	QGW039778	COLE, PHILLIP		PUT 100 INTERNATIONAL BUSINESS MACH S		-1,810.00	54.30	22.50	31.80 75.0 18.23
10/23/2018	QGW039778	COLE, PHILLIP		PUT 100 PROGRESSIVE CORP OH COM EXP S		-1,035.00	31.05	22.50	8.55 75.0 0.79
10/23/2018	QGW039778	COLE, PHILLIP		PUT 100 QUALCOMM INC EXP 11-16-18@6 S		-1,340.00	40.20	22.50	17.70 75.0 7.65
				* OPTIONS SUBTOTAL *		\$-4,185.00	\$125.55	\$67.50	\$58.05 \$26.67

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
*** BROKER TRANSACTIONS TOTAL ***				\$255,341.95	\$1,160.55	\$475.50	\$685.05		\$394.92

<u>ADJUSTMENTS</u>									
10/31/2018		COMMISSION INCOME: VERU IPO		0.00	0.00	0.00	0.00		22.68
10/31/2018		COMMISSION INCOME: KALA PHARAMECUTI		0.00	0.00	0.00	0.00		33.41
10/31/2018		COMMISSION INCOME: LIVENT CORP		0.00	0.00	0.00	0.00		57.38
10/31/2018		MISCELLANEOUS: CORRECTION CLEARING		0.00	0.00	0.00	0.00		323.00
10/31/2018		COMMISSION INCOME: SOLARWIND		0.00	0.00	0.00	0.00		28.86
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$437.33

BROKER COMMISSION TOTALS

Broker Transactions	\$394.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$437.33
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.45
SIPC Assessment	\$-2.90

Check Amount	\$827.90
Year-to-date Earnings	\$46,886.38