



All Companies

12/28/2018
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COMMISSION STATEMENT

January 02, 2019

KAREN KENNEDY
1113 Thistle Hill Trail

Weatherford, TX 76087-

BROKER #: 9601
BRANCH: HOME OFFICE

COMMISSION STATEMENT for KAREN KENNEDY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/17/2018	00088166641 HAMISCH/HYDE, MARY/BENJAMIN	COLLEGE ENROLLMENT FUND-529C	B	250.00	2.50	0.00	2.50	75.0	1.88
12/17/2018	00088166663 HYDE IV, JAMES F.	COLLEGE ENROLLMENT FUND-529C	B	250.00	2.50	0.00	2.50	75.0	1.88
12/17/2018	00088166670 HYDE IV, JAMES F.	COLLEGE ENROLLMENT FUND-529C	B	250.00	2.50	0.00	2.50	75.0	1.88
12/17/2018	00088166767 HYDE IV, JAMES F.	COLLEGE ENROLLMENT FUND-529C	B	250.00	2.50	0.00	2.50	75.0	1.88
* MUTUAL FUNDS SUBTOTAL *				\$1,000.00	\$10.00	\$0.00	\$10.00		\$7.52
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	2.55	2.55	0.00	2.55	75.0	1.91
* STOCKS SUBTOTAL *				\$2.55	\$2.55	\$0.00	\$2.55		\$1.91
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	12708353 CREEL FAM. PARTNERS	SIGNAL SECURITIES RIA	B	0.00	395.34	0.00	395.34	75.0	296.51
10/11/2018	15900743 CAMPBELL, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34	75.0	28.01
10/11/2018	16287335 REUWER, JACQUELINE J	SIGNAL SECURITIES RIA	B	0.00	32.34	0.00	32.34	75.0	24.26
10/11/2018	21295378 O'NEIL, LORENE A	SIGNAL SECURITIES RIA	B	0.00	440.00	0.00	440.00	75.0	330.00
10/11/2018	21332871 PRINDLE, PATRICIA M	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	75.0	3.26
10/11/2018	23050152 REUWER, JACQUELINE J	SIGNAL SECURITIES RIA	B	0.00	114.66	0.00	114.66	75.0	86.00
10/11/2018	30079610 REUWER TRUST, HERBERT JOHN	SIGNAL SECURITIES RIA	B	0.00	16.66	0.00	16.66	75.0	12.50
10/11/2018	33633883 MITCHELL, KAY F	SIGNAL SECURITIES RIA	B	0.00	149.00	0.00	149.00	75.0	111.75
10/11/2018	37474369 DIDIER, SUSAN	SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00	75.0	102.75
10/11/2018	46865564 CURDY, BARBARA L	SIGNAL SECURITIES RIA	B	0.00	204.34	0.00	204.34	75.0	153.26
10/11/2018	48058452 BERRYMAN, RICHARD	SIGNAL SECURITIES RIA	B	0.00	51.66	0.00	51.66	75.0	38.75
10/11/2018	52377754 AVERYT, JERRY W	SIGNAL SECURITIES RIA	B	0.00	170.66	0.00	170.66	75.0	128.00
10/11/2018	56427585 KITTRELL, DAVID & LINDA	SIGNAL SECURITIES RIA	B	0.00	260.66	0.00	260.66	75.0	195.50
10/11/2018	63613927 CONFLITTI, JOSEPH/TAMMY	SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	75.0	43.50
10/11/2018	66333723 WATKINS, CAROL R	SIGNAL SECURITIES RIA	B	0.00	570.34	0.00	570.34	75.0	427.76
10/11/2018	67543375 MORRISON, MONICA	SIGNAL SECURITIES RIA	B	0.00	514.66	0.00	514.66	75.0	386.00
10/11/2018	71525849 HAMISCH, MARY J	SIGNAL SECURITIES RIA	B	0.00	1,349.66	0.00	1,349.66	75.0	1,012.25

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

10/11/2018	71778902 CREEL III, EDWARD & NAN	SIGNAL SECURITIES RIA	B	0.00	531.66	0.00	531.66	75.0	398.75
10/11/2018	72292663 PRINDLE, PATRICIA M	SIGNAL SECURITIES RIA	B	0.00	35.66	0.00	35.66	75.0	26.75
10/11/2018	76864948 WATKINS, CAROL R	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	75.0	18.00
10/11/2018	77434510 SUGRUE, MICHAEL/VICTORI	SIGNAL SECURITIES RIA	B	0.00	599.34	0.00	599.34	75.0	449.51
10/11/2018	79698351 WATKINS, CAROL R	SIGNAL SECURITIES RIA	B	0.00	117.00	0.00	117.00	75.0	87.75
10/11/2018	80349951 BERRYMAN, LESLIE	SIGNAL SECURITIES RIA	B	0.00	200.34	0.00	200.34	75.0	150.26
10/11/2018	88977715 REUWER TRUST, HERBERT JOHN	SIGNAL SECURITIES RIA	B	0.00	58.66	0.00	58.66	75.0	44.00

* RIA SUBTOTAL *	\$0.00	\$6,073.32	\$0.00	\$6,073.32	\$4,555.08
*** BROKER TRANSACTIONS TOTAL ***	\$1,002.55	\$6,085.87	\$0.00	\$6,085.87	\$4,564.51

ADJUSTMENTS

01/02/2019	TECH: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,564.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$4,537.01
Year-to-date Earnings	\$4,537.01