

COMMISSION STATEMENT

September 30, 2018

 BRIAN MAPES-D040
 6936 Angling Road

 BROKER #: D040
 BRANCH: DMN

Portage, MI 49024

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/21/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	0.28	0.00	0.28	85.0	0.24
09/21/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	7.49	0.00	7.49	85.0	6.37
09/21/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	20.53	0.00	20.53	85.0	17.45
09/24/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	0.26	0.00	0.26	85.0	0.22
09/24/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	7.01	0.00	7.01	85.0	5.96
09/24/2018	mfc545871	MAPES 545871	MFT RUSSELL LIFEPOINTS BAL STRATEGY B	0.00	19.22	0.00	19.22	85.0	16.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$54.79	\$0.00	\$54.79		\$46.58
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$54.79	\$0.00	\$54.79		\$46.58

DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/17/0201	7200000477	HUBBS	VA SAMMONS FINANCIAL	B	139,429.65	58.10	0.00	58.10	85.0	49.39
09/11/0201	7200003385	RUTLIDGE	VA SAMMONS FINANCIAL	B	53,159.73	22.16	0.00	22.16	85.0	18.84
09/10/0201	7200003391	GENTILIA	VA SAMMONS FINANCIAL	B	12,996.81	5.40	0.00	5.40	85.0	4.59
09/13/0201	7200004930	HUBBS	VA SAMMONS FINANCIAL	B	17,168.37	7.15	0.00	7.15	85.0	6.08
09/17/0201	7200020791	PERRY	VA SAMMONS FINANCIAL	B	20,438.72	8.49	0.00	8.49	85.0	7.22
09/18/0201	7200024360	DUMLAO	VA SAMMONS FINANCIAL	B	69,461.59	28.95	0.00	28.95	85.0	24.61
09/18/0201	7200026184	HOARD STAFFORD,	VA SAMMONS FINANCIAL	B	190,267.19	79.28	0.00	79.28	85.0	67.39
* VARIABLE ANNUITIES SUBTOTAL *					\$502,922.06	\$209.53	\$0.00	\$209.53		\$178.12

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018	(None)	VARIOUS, MAPES	MFT JOHN HANCOCK FREEDOM	B	0.00	22.28	0.00	22.28	85.0	18.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$22.28	\$0.00	\$22.28		\$18.94

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/10/2018	VR03081570	TELLIGA	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	25.88	0.00	25.88	85.0	22.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$25.88	\$0.00	\$25.88		\$22.00
*** DIRECT TRANSACTIONS TOTAL ***					\$502,922.06	\$257.69	\$0.00	\$257.69		\$219.06

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: HAROLD JOHNSON-D217 #36 </u>									
08/31/2018 (None)	VARIOUS, JOHNSON	MFT DELWARE	B	0.00	86.06	0.00	86.06		38.73
08/31/2018 (None)	JOHNSBERNA	MFT EATON VANCE	B	0.00	2.27	0.00	2.27		1.02
08/31/2018 (None)	JOHNSBERNA	MFT EATON VANCE	B	0.00	0.57	0.00	0.57		0.26
* HAROLD JOHNSON-D217 SUBTOTAL *				<u>\$0.00</u>	<u>\$88.90</u>	<u>\$0.00</u>	<u>\$88.90</u>		<u>\$40.01</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$88.90</u>	<u>\$0.00</u>	<u>\$88.90</u>		<u>\$40.01</u>

ADJUSTMENTS TRANSACTIONS

<u> BROKER: #0 </u>									
09/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$265.64
Split Transactions	\$0.00
Override Transactions	\$40.01
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$120.65
Year-to-date Commissions	\$30,530.37