



All Companies  
**COMMISSION STATEMENT**  
**January 02, 2019**

12/28/2018  
Page No. 1

JUDE RAMON L. BARCENAS  
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013  
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/10/2018	000000006329360	EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50	60.0	0.30
12/10/2018	000000006329360	EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50	60.0	0.30
12/10/2018	000000006330997	EVANGELISTA, AURELIO/BEATRICE	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75	60.0	0.45
12/10/2018	00059867817	AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	10.00	0.00	10.00	60.0	6.00
12/10/2018	00060555032	JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88	60.0	1.13
12/10/2018	00060555033	JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	60.0	1.13
12/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
12/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20	60.0	0.72
12/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
12/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50	60.0	0.90
12/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
12/10/2018	00061689866	JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	200.00	8.50	0.00	8.50	60.0	5.10
12/17/2018	00062049074	CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	1.88	0.00	1.88	60.0	1.13
12/17/2018	00062049074	CALUNGSUD, KELLY M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	60.0	1.13
12/17/2018	00062049074	CALUNGSUD, KELLY M.	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	60.0	0.90
12/17/2018	00062049074	CALUNGSUD, KELLY M.	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88	60.0	1.13
12/17/2018	00066945809	BARCENAS, RODOLFO L.	AMERICAN HIGH-INCOME TRUST-C	B	110.00	1.10	0.00	1.10	60.0	0.66
12/17/2018	00066945809	BARCENAS, RODOLFO L.	AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
12/17/2018	00066945809	BARCENAS, RODOLFO L.	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80	60.0	0.48
12/17/2018	00066945809	BARCENAS, RODOLFO L.	THE INCOME FUND OF AMERICA-C	B	110.00	1.10	0.00	1.10	60.0	0.66

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
	L.								
12/17/2018	00066945809 BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
12/17/2018	00073580088 FILOTEO-GONZALES, AMERICAN FUNDS - GENERAL		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
12/17/2018	00073580088 FILOTEO-GONZALES, AMERICAN MUTUAL FUND-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
12/17/2018	00073580088 FILOTEO-GONZALES, CAPITAL WORLD BOND FUND-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
12/17/2018	00073580088 FILOTEO-GONZALES, THE INCOME FUND OF AMERICA-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
12/17/2018	00073580088 FILOTEO-GONZALES, THE NEW ECONOMY FUND-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
12/17/2018	00075871895 SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529 B		B	50.00	2.50	0.00	2.50	60.0	1.50
	LORENCE/DANIEL								
12/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD		B	40.00	1.50	0.00	1.50	60.0	0.90
12/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD BD FD B		B	40.00	1.20	0.00	1.20	60.0	0.72
12/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR FD		B	40.00	1.20	0.00	1.20	60.0	0.72
12/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF AMERI B		B	40.00	1.50	0.00	1.50	60.0	0.90
12/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD		B	40.00	1.50	0.00	1.50	60.0	0.90
12/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD		B	40.00	1.50	0.00	1.50	60.0	0.90
12/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD BD FD B		B	40.00	1.20	0.00	1.20	60.0	0.72
12/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR FD		B	40.00	1.20	0.00	1.20	60.0	0.72
12/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI B		B	40.00	1.50	0.00	1.50	60.0	0.90
12/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD		B	40.00	1.50	0.00	1.50	60.0	0.90
12/17/2018	00081127981 GARCIA, AMERICAN FUNDS - GENERAL		B	30.00	0.90	0.00	0.90	60.0	0.54
	RODOLFO/RODIVIC								
12/17/2018	00081127981 GARCIA, AMERICAN MUTUAL FUND-529A		B	30.00	1.13	0.00	1.13	60.0	0.68
	RODOLFO/RODIVIC								
12/17/2018	00081127981 GARCIA, CAPITAL WORLD BOND FUND-529A		B	30.00	0.90	0.00	0.90	60.0	0.54
	RODOLFO/RODIVIC								
12/17/2018	00081127981 GARCIA, THE INCOME FUND OF AMERICA-529A		B	30.00	1.13	0.00	1.13	60.0	0.68
	RODOLFO/RODIVIC								
12/17/2018	00081127981 GARCIA, THE NEW ECONOMY FUND-529A		B	30.00	1.13	0.00	1.13	60.0	0.68
	RODOLFO/RODIVIC								
12/17/2018	00083580212 MASINAG, AMERICAN FUNDS - GENERAL		B	100.00	3.00	0.00	3.00	60.0	1.80
	NELSON/KEVIN								
12/17/2018	00083580212 MASINAG, AMERICAN MUTUAL FUND-529A		B	100.00	3.75	0.00	3.75	60.0	2.25
	NELSON/KEVIN								
12/17/2018	00083580212 MASINAG, CAPITAL WORLD BOND FUND-529A		B	100.00	3.00	0.00	3.00	60.0	1.80

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
NELSON/KEVIN									
12/17/2018	00083580212 MASINAG,	THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
NELSON/KEVIN									
12/17/2018	00083580212 MASINAG,	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
NELSON/KEVIN									
12/10/2018	00087696729 LINUS L CUNANAN,	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
MIGUEL									
12/10/2018	00087696759 LINUS L CUNANAN,	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
MIGUEL									
12/10/2018	04038841757 JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50	60.0	1.50
12/03/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00	60.0	0.60
12/03/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88	60.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$3,195.00	\$101.52	\$0.00	\$101.52		\$60.94
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	5.02	0.00	5.02	60.0	3.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.02	\$0.00	\$5.02		\$3.01
*** BROKER TRANSACTIONS TOTAL ***				\$3,195.00	\$106.54	\$0.00	\$106.54		\$63.95

BROKER COMMISSION TOTALS

Broker Transactions	\$63.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$63.95
Year-to-date Earnings	\$63.95