

COMMISSION STATEMENT**September 30, 2018**

HENRY HACKEL
 179 EAST 70TH STREET
 APT 6B
 NEW YORK, NY 10021

BROKER #: 30
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

09/11/2018 (None)	VARIOUS, HACKEL	AMERICAN FUND	B	0.00	42.16	0.00	42.16	80.0	33.73
09/13/2018 (None)	VARIOUS, HACKEL	AMERICAN FUND	B	0.00	3,224.16	0.00	3,224.16	80.0	2,579.33
09/14/2018 (None)	VARIOUS, HACKEL	ADVISOR GUIDED TRAILS	B	0.00	7.40	0.00	7.40	80.0	5.92
09/25/2018 (None)	VARIOUS, HACKEL	529 AMERICAN FUNDS COLLEGE 2018 FUN B		0.00	81.51	0.00	81.51	80.0	65.21
08/31/2018 00084739455	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
08/31/2018 00085890289	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
08/31/2018 00085890289	DAS, SPANDAN	NEW WORLD FUND-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
08/31/2018 00085890289	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
08/31/2018 00085890289	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
08/31/2018 00085890289	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5 B		200.00	5.50	0.00	5.50	80.0	4.40
08/28/2018 00087630417	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
09/10/2018 01204161104	PASQUALONE,	THE INVESTMENT COMPANY OF AMERICA-5 B		150.00	7.50	0.00	7.50	80.0	6.00
09/10/2018 01204463227	PASQUALONE,	THE GROWTH FUND OF AMERICA-529A	B	200.00	10.00	0.00	10.00	80.0	8.00
09/10/2018 01205067051	PASQUALONE,	NEW PERSPECTIVE FUND-529A	B	200.00	10.00	0.00	10.00	80.0	8.00
* MUTUAL FUNDS SUBTOTAL *				\$1,950.00	\$3,414.23	\$0.00	\$3,414.23		\$2,731.39

PRODUCT CATEGORY: STOCKS

09/19/2018 75500234	MULLEN, GEORGE	FRANKLIN RESOURCES INC (DEL)	S	3,243.40	35.00	0.00	35.00	80.0	28.00
09/17/2018 75500237	POMFRET SCHOOL	NIKE INC CL B	S	582.27	40.00	0.00	40.00	80.0	32.00
09/24/2018 75500256	HOCHBERGER, ELLEN	BLUE BIRD CORPORATION COM	B	2,499.75	35.00	0.00	35.00	80.0	28.00
09/24/2018 75500257	HOCHBERGER, ELLEN	BLUE BIRD CORPORATION COM	B	2,499.75	35.00	0.00	35.00	80.0	28.00
09/14/2018 75500429	FIRESTONE, BERTRAM	BLUE BIRD CORPORATION COM	B	2,578.95	25.00	0.00	25.00	80.0	20.00
09/10/2018 75500635	CABO CANYON LLC	MASTER SPIRIT INTERNATIONAL USA INC S		225.00	50.00	0.00	50.00	80.0	40.00
09/07/2018 75501241	BENSON ROSE	EXXON MOBIL CORPORATION	S	8,130.36	42.00	0.00	42.00	80.0	33.60
09/11/2018 75501276	GALLO, ANTONIO	APOLLO COMMERCIAL REAL ESTATE FINAN S		1,499.20	20.00	0.00	20.00	80.0	16.00
09/11/2018 75501276	GALLO, ANTONIO	DINEEQUITY INC	S	1,299.45	20.00	0.00	20.00	80.0	16.00
09/11/2018 75501276	GALLO, ANTONIO	KKR & CO INC CLASS A COMMON STOCK	S	1,855.00	20.00	0.00	20.00	80.0	16.00
09/11/2018 75501276	GALLO, ANTONIO	TARGET CORP	S	1,964.38	20.00	0.00	20.00	80.0	16.00
09/20/2018 75501276	GALLO, ANTONIO	FORTIVE CORPORATION COM	B	8,657.54	50.00	0.00	50.00	80.0	40.00
09/14/2018 QGN005019	LILLY FDN, LILA	GRAMERCY PPTY TR COM NEW	S	-29,099.22	125.58	0.00	125.58	80.0	100.46
09/14/2018 QGN005019	LILLY FDN, LILA	RAMACO RES INC COM	B	23,663.70	300.00	0.00	300.00	80.0	240.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/17/2018	QGN005019	LILLY FDN, LILA	ISHARES MSCI TURKEY ETF	S	-21,480.00	120.00	0.00	120.00	96.00
09/21/2018	QGN005019	LILLY FDN, LILA	NISOURCE INC	B	25,329.90	120.00	0.00	120.00	96.00
09/07/2018	QGN005027	GOLDSMITH, ELY &	OAKTREE CAP GRP LLC SER B 6.55% NON B		74,704.80	300.00	0.00	300.00	240.00
09/17/2018	QGN005027	GOLDSMITH, ELY &	COMPASS DIVERSIFIED HLDGS FIXED/FLT B		51,214.46	228.00	0.00	228.00	182.40
09/17/2018	QGN005027	GOLDSMITH, ELY &	F N B CORP FLA DEP SHS REPSTG 1/40T B		48,121.56	183.00	0.00	183.00	146.40
09/17/2018	QGN005027	GOLDSMITH, ELY &	MORGAN STANLEY DEP SHS REPSTG 1/100 B		49,114.62	201.00	0.00	201.00	160.80
09/17/2018	QGN005027	GOLDSMITH, ELY &	REGIONS FINL CORP NEW DEP SHS REPST B		45,486.00	192.00	0.00	192.00	153.60
09/17/2018	QGN005043	HEALY TR DTD	ISHARES MSCI TURKEY ETF	S	-21,480.00	120.00	0.00	120.00	96.00
09/21/2018	QGN005043	HEALY TR DTD	NISOURCE INC	B	25,329.90	120.00	0.00	120.00	96.00
09/14/2018	QGN005076	BRENNAN, LUCY	GRAMERCY PPTY TR COM NEW	S	-58,253.25	221.34	0.00	221.34	177.07
09/14/2018	QGN005076	BRENNAN, LUCY	RAMACO RES INC COM	B	23,663.70	300.00	0.00	300.00	240.00
09/17/2018	QGN005076	BRENNAN, LUCY	ISHARES MSCI TURKEY ETF	S	-42,960.00	210.00	0.00	210.00	168.00
09/21/2018	QGN005076	BRENNAN, LUCY	NISOURCE INC	B	50,659.80	210.00	0.00	210.00	168.00
09/14/2018	QGN005092	FIDUCIARY TRUST CO	GRAMERCY PPTY TR COM NEW	S	-29,126.63	125.67	0.00	125.67	100.54
09/14/2018	QGN005092	FIDUCIARY TRUST CO	RAMACO RES INC COM	B	15,775.80	210.00	0.00	210.00	168.00
09/21/2018	QGN005092	FIDUCIARY TRUST CO	NISOURCE INC	B	25,329.90	120.00	0.00	120.00	96.00
09/17/2018	QGN005118	BRENNAN, LUCY	ISHARES MSCI TURKEY ETF	S	-42,960.00	210.00	0.00	210.00	168.00
09/21/2018	QGN005118	BRENNAN, LUCY	NISOURCE INC	B	50,659.80	210.00	0.00	210.00	168.00
09/07/2018	QGN005555	HENRY L THOMPSON	KELLOGG COMPANY	S	-446,429.94	240.00	0.00	240.00	192.00
09/24/2018	QGN005555	HENRY L THOMPSON	MCCORMICK & CO INC NON-VOTING	S	-260,753.00	100.00	0.00	100.00	80.00
09/25/2018	QGN005654	BENSON ROSE	EXXON MOBIL CORPORATION	S	-8,651.08	42.00	0.00	42.00	33.60
09/21/2018	QGN005712	DRURY W COOPER TRUST	FIREEYE INC COM	S	-16,913.70	120.00	0.00	120.00	96.00
09/21/2018	QGN005712	DRURY W COOPER TRUST	SOUTH32 LTD SPONSORED ADR	S	-5,644.32	78.00	0.00	78.00	62.40
09/21/2018	QGN005712	DRURY W COOPER TRUST	TRANSOCEAN LTD US LISTED	S	-13,473.70	120.00	0.00	120.00	96.00
09/04/2018	QGN005753	PROCTOR, JOHN	EATON VANCE SENIOR FLOATING RATE TR	S	-3,519.40	60.00	0.00	60.00	48.00
09/04/2018	QGN005753	PROCTOR, JOHN	HUBBELL INC COM	S	-12,543.99	42.00	0.00	42.00	33.60
09/04/2018	QGN005753	PROCTOR, JOHN	NUVEEN MTG OPPTNTY TERM FD COM	S	-9,257.68	76.56	0.00	76.56	61.25
08/30/2018	QGN005811	MARIAN RUSSEL LARKIN	MERCK & CO INC	S	-51,482.78	120.00	0.00	120.00	96.00
08/30/2018	QGN005845	HARRIS, BRIANNA	ANHEUSER-BUSCH INBEV SA SPONSORED A	B	4,304.92	20.00	0.00	20.00	16.00
08/30/2018	QGN006033	STACEY BENDET EISNER	ADIDAS AG SPONSORED ADR	B	24,811.54	20.00	0.00	20.00	16.00
08/30/2018	QGN006033	STACEY BENDET EISNER	NIKE INC CL B	B	24,604.50	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	AIR PRODUCTS & CHEMICALS INC	S	-14,931.18	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	ALPS ETF TR ALERIAN MLP	S	-29,158.40	50.00	0.00	50.00	40.00
08/30/2018	QGN006678	MOZAIC LLC	ANADARKO PETROLEUM CORP	S	-14,786.77	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	AT&T INC	S	-28,393.94	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	BRISTOL MYERS SQUIBB CO	S	-12,720.44	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	COCA COLA COMPANY (THE)	S	-23,363.60	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	COMCAST CORP CL A	S	-15,682.10	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	EQT CORPORATION	S	-29,184.00	20.00	0.00	20.00	16.00
08/30/2018	QGN006678	MOZAIC LLC	JOHNSON & JOHNSON	S	-31,002.28	20.00	0.00	20.00	16.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/30/2018	QGN006678	MOZAIC LLC		LIBERTY GLOBAL PLC CLASS A	S	-26,924.70	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		LIONS GATE ENTERTAINMENT CORP REGIS	S	-11,583.25	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		LIONS GATE ENTMT CORP CL B NON VTG	S	-11,084.05	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MARATHON PETE CORP COM	S	-22,370.47	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MASCO CORP COM	S	-17,332.63	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MC DONALDS CORP	S	-34,167.86	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MERCK & CO INC	S	-31,803.02	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MICROSOFT CORP	S	-68,584.80	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MONDELEZ INTERNATIONAL INC COM	S	-27,388.83	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		MURPHY USA INC COM	S	-25,949.23	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		NOVARTIS AG AMERICAN DEPOSITARY SHA	S	-23,755.78	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		OUTFRONT MEDIA INC COM	S	-19,027.30	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		PROCTER & GAMBLE CO	S	-22,053.96	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		QUALCOMM INC	S	-52,785.25	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		TEXAS INSTRUMENTS INCORPORATED	S	-20,875.03	20.00	0.00	20.00 80.0 16.00
08/30/2018	QGN006678	MOZAIC LLC		VERIZON COMMUNICATIONS	S	-30,322.43	20.00	0.00	20.00 80.0 16.00
09/04/2018	QGW001018	GRAYMAN, EZRA		BEASLEY BROADCAST GROUP INC CL A	S	-19,505.00	10.00	0.00	10.00 80.0 8.00
09/20/2018	QGW001018	GRAYMAN, EZRA		ENTERCOM COMMUNICATIONS CORP CLASS	S	-20,375.00	10.00	0.00	10.00 80.0 8.00
09/20/2018	QGW001018	GRAYMAN, EZRA		NEWELL BRANDS INC SHS	S	-22,182.00	10.00	0.00	10.00 80.0 8.00
09/21/2018	QGW001018	GRAYMAN, EZRA		MOLECULAR TEMPLATES INC COM	B	13,750.00	10.00	0.00	10.00 80.0 8.00
09/24/2018	QGW001018	GRAYMAN, EZRA		NEWELL BRANDS INC SHS	B	20,825.00	10.00	0.00	10.00 80.0 8.00
09/07/2018	QGW001042	GRAYMAN, EZRA		LIONS GATE ENTMT CORP CL B NON VTG	B	21,635.00	10.00	0.00	10.00 80.0 8.00
09/07/2018	QGW001042	GRAYMAN, EZRA		OPKO HEALTH INC	S	-13,825.00	10.00	0.00	10.00 80.0 8.00
09/14/2018	QGW001042	GRAYMAN, EZRA		SENSUS HEALTHCARE INC COM	B	17,075.00	10.00	0.00	10.00 80.0 8.00
09/20/2018	QGW001042	GRAYMAN, EZRA		SENSUS HEALTHCARE INC COM	S	-17,811.25	10.00	0.00	10.00 80.0 8.00
08/31/2018	QGW001166	KOONDEL		AMERIGAS PARTNERS LP UNITS LTD PART B		11,775.00	12.55	0.00	12.55 80.0 10.04
08/31/2018	QGW001166	KOONDEL		BUCKEYE PARTNERS LP UNITS LTD PARTN S		-7,046.02	11.70	0.00	11.70 80.0 9.36
08/31/2018	QGW001166	KOONDEL		ENBRIDGE ENERGY PARTNERS L P	S	-3,382.50	12.55	0.00	12.55 80.0 10.04
08/31/2018	QGW001166	KOONDEL		GENERAL ELECTRIC CO	B	5,200.00	13.40	0.00	13.40 80.0 10.72
09/10/2018	QGW001166	KOONDEL		LADENBURG THALMANN FINL SVCS	B	1,759.26	15.10	0.00	15.10 80.0 12.08
09/10/2018	QGW001166	KOONDEL		LADENBURG THALMANN FINL SVCS	B	-1,759.26	-15.10	0.00	-15.10 80.0 -12.08 C
09/13/2018	QGW001166	KOONDEL		ALLETE INC	S	-15,160.00	11.70	0.00	11.70 80.0 9.36
09/13/2018	QGW001166	KOONDEL		ALLIANT ENERGY CORP	S	-17,520.00	13.40	0.00	13.40 80.0 10.72
09/13/2018	QGW001166	KOONDEL		CHIMERA INVESTMENT CORPORATION	B	9,450.00	14.25	0.00	14.25 80.0 11.40
09/13/2018	QGW001166	KOONDEL		VECTREN CORP	S	-35,680.00	14.25	0.00	14.25 80.0 11.40
09/13/2018	QGW001166	KOONDEL		VODAFONE GROUP PLC SPONSORED ADR NO B		11,130.00	14.25	0.00	14.25 80.0 11.40
09/14/2018	QGW001166	KOONDEL		GENERAL ELECTRIC CO	B	4,500.00	12.55	0.00	12.55 80.0 10.04
09/21/2018	QGW001166	KOONDEL		EXTENDED STAY AMER INC PAIRED SHS C B		8,000.00	13.40	0.00	13.40 80.0 10.72
09/24/2018	QGW001166	KOONDEL		HELMERICH & PAYNE INC COM	S	-27,375.00	13.40	0.00	13.40 80.0 10.72
09/24/2018	QGW001166	KOONDEL		JOEY NEW YORK INC NEW COM	S	-435.00	256.50	0.00	256.50 80.0 205.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/26/2018	QGW001166 KOONDEL	EXTENDED STAY AMER INC PAIRED SHS C S		-8,132.00	13.40	0.00	13.40	80.0	10.72
09/10/2018	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	B	2,321.04	16.80	0.00	16.80	80.0	13.44
09/10/2018	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	B	1,759.26	15.10	0.00	15.10	80.0	12.08
09/26/2018	QGW001174 KOONDEL	JOEY NEW YORK INC NEW COM	S	-240.02	137.51	0.00	137.51	80.0	110.01
09/06/2018	QGW001737 HEATH, KIMBERLY	MANNKIND CORPORATION COMMON STOCK	S	-14,700.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW001737 HEATH, KIMBERLY	AMARIN CORPORATION PLC SPONSORED AD S		-24,789.80	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW001737 HEATH, KIMBERLY	DATA I O CORP COM	B	529.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW001737 HEATH, KIMBERLY	AMARIN CORPORATION PLC SPONSORED AD S		-36,000.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW001737 HEATH, KIMBERLY	DATA I O CORP COM	B	4,761.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW001745 HEATH, KIMBERLY	ICAD INC COM NEW	B	1,490.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW001760 HEATH, KIMBERLY	DATA I O CORP COM	B	5,290.00	20.00	0.00	20.00	80.0	16.00
08/31/2018	QGW001794 WAYNE, BRITTANY	NUTRAFUELS INC COM	S	-352.80	50.00	0.00	50.00	80.0	40.00
09/05/2018	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	S	-7,573.16	50.00	0.00	50.00	80.0	40.00
08/31/2018	QGW004905 CAPRARO, DAVID	AT&T INC	B	227,500.00	100.00	0.00	100.00	80.0	80.00
09/04/2018	QGW004905 CAPRARO, DAVID	AVADEL PHARMACEUTICALS PLC SPONSORE S		-37,417.05	105.00	0.00	105.00	80.0	84.00
09/10/2018	QGW004905 CAPRARO, DAVID	VEDANTA LIMITED AMERICAN DEPOSTARY S		-12,215.60	40.00	0.00	40.00	80.0	32.00
09/14/2018	QGW004905 CAPRARO, DAVID	AT&T INC	S	-466,473.00	170.00	0.00	170.00	80.0	136.00
09/17/2018	QGW005084 FRIED, ALLAN	CONSOLIDATED COMMUNICATIONS HLDGS I S		-195,000.00	450.00	0.00	450.00	80.0	360.00
09/20/2018	QGW005118 FISCH, AMY	BLUE BIRD CORPORATION COM	B	2,524.50	50.00	0.00	50.00	80.0	40.00
09/20/2018	QGW005118 FISCH, AMY	FORTIVE CORPORATION COM	B	8,637.26	50.00	0.00	50.00	80.0	40.00
09/18/2018	QGW005472 KRELL, MOSHE	BLUE BIRD CORPORATION COM	B	2,554.20	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW005472 KRELL, MOSHE	FORTIVE CORPORATION COM	B	8,609.04	40.00	0.00	40.00	80.0	32.00
09/20/2018	QGW005746 MEYERSON, DANIEL	BLUE BIRD CORPORATION COM	B	2,524.50	40.00	0.00	40.00	80.0	32.00
09/20/2018	QGW005746 MEYERSON, DANIEL	FORTIVE CORPORATION COM	B	8,647.50	50.00	0.00	50.00	80.0	40.00
09/12/2018	QGW005985 ROTHBEIN, SYLVIA	APPLIANCE RECYCLING CTRS AMER COM N B		6,650.00	30.00	0.00	30.00	80.0	24.00
09/14/2018	QGW005985 ROTHBEIN, SYLVIA	TILRAY INC COM	B	21,062.96	30.00	0.00	30.00	80.0	24.00
09/25/2018	QGW005985 ROTHBEIN, SYLVIA	APPLIANCE RECYCLING CTRS AMER COM N S		-1,073.65	6.54	0.00	6.54	80.0	5.23
09/25/2018	QGW005985 ROTHBEIN, SYLVIA	APPLIANCE RECYCLING CTRS AMER COM N S		-3,832.19	23.46	0.00	23.46	80.0	18.77
09/18/2018	QGW006603 KAMIN-HACKEL	BLUE BIRD CORPORATION COM	B	2,554.20	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW006603 KAMIN-HACKEL	FORTIVE CORPORATION COM	B	8,586.27	50.00	0.00	50.00	80.0	40.00
09/18/2018	QGW006629 FIERRO, JOSEPH	BLUE BIRD CORPORATION COM	B	2,564.10	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW006629 FIERRO, JOSEPH	FORTIVE CORPORATION COM	B	8,566.88	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW006728 WEINSTEIN, CLAIRE	BLUE BIRD CORPORATION COM	B	2,526.98	50.00	0.00	50.00	80.0	40.00
09/20/2018	QGW006728 WEINSTEIN, CLAIRE	FORTIVE CORPORATION COM	B	8,662.35	50.00	0.00	50.00	80.0	40.00
09/24/2018	QGW007445 SMITH, GENE	MAZOR ROBOTICS LTD SPONSORED ADS	S	-9,588.98	75.00	0.00	75.00	80.0	60.00
09/25/2018	QGW007445 SMITH, GENE	BLACKBERRY LTD COM	B	10,201.80	74.00	0.00	74.00	80.0	59.20
09/21/2018	QGW008369 FRIEDMAN, RICHARD	BLUE BIRD CORPORATION COM	B	2,519.55	50.00	0.00	50.00	80.0	40.00
09/21/2018	QGW008369 FRIEDMAN, RICHARD	FORTIVE CORPORATION COM	B	8,606.57	50.00	0.00	50.00	80.0	40.00
08/30/2018	QGW009730 SHARTSIS, JAY	FRANCO-NEVADA CORPORATION	B	26,285.16	20.00	0.00	20.00	80.0	16.00
08/30/2018	QGW009730 SHARTSIS, JAY	TRONOX LTD SHS CL A ISIN#AU000XINEO B		23,979.60	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/31/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO S	-24,186.00	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC S	-15,600.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC B	17,600.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC B	-17,600.00	-20.00	0.00	-20.00	80.0	-16.00 C
09/10/2018	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC B	17,600.00	20.00	0.00	20.00	80.0	16.00
09/12/2018	QGW009730	SHARTSIS, JAY		FABRINET SHS ISIN#KYG3323L1005 B	27,805.50	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW009730	SHARTSIS, JAY		ULTA SALON COSMETICS & FRAGRANCE IN B	55,000.00	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW009730	SHARTSIS, JAY		ULTA SALON COSMETICS & FRAGRANCE IN S	-52,000.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW009730	SHARTSIS, JAY		CALIFORNIA RESOURCES CORPORATION CO S	-3,500.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B	15,056.82	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW009730	SHARTSIS, JAY		MICRON TECHNOLOGY INC B	17,770.56	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW009730	SHARTSIS, JAY		TURTLE BEACH CORP COM NEW B	9,000.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 B	13,070.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW009730	SHARTSIS, JAY		CANOPY GROWTH CORP COM ISIN# CA1380 S	-14,955.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW009730	SHARTSIS, JAY		MICRON TECHNOLOGY INC S	-17,792.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW012395	FRIEDMAN, RICHARD		BLUE BIRD CORPORATION COM B	2,517.08	50.00	0.00	50.00	80.0	40.00
09/21/2018	QGW012395	FRIEDMAN, RICHARD		FORTIVE CORPORATION COM B	8,600.03	50.00	0.00	50.00	80.0	40.00
09/21/2018	QGW012403	FRIEDMAN, RICHARD		BLUE BIRD CORPORATION COM B	2,517.08	50.00	0.00	50.00	80.0	40.00
09/21/2018	QGW012403	FRIEDMAN, RICHARD		FORTIVE CORPORATION COM B	8,601.92	50.00	0.00	50.00	80.0	40.00
09/06/2018	QGW012718	DEPALMA, RALPH		AT&T INC B	9,647.19	50.00	0.00	50.00	80.0	40.00
09/10/2018	QGW012759	FORST, BARRY		FORTIVE CORPORATION COM B	8,407.92	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW012759	FORST, BARRY		BLUE BIRD CORPORATION COM B	2,499.75	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW012783	GALLO, TERESA		BLUE BIRD CORPORATION COM B	2,524.50	20.00	0.00	20.00	80.0	16.00
08/30/2018	QGW012809	GILPIN, DOUGLAS		ELECTRONIC ARTS INC S	-15,455.70	30.00	0.00	30.00	80.0	24.00
09/04/2018	QGW012809	GILPIN, DOUGLAS		TESLA MOTORS INC S	-14,762.79	30.00	0.00	30.00	80.0	24.00
09/20/2018	QGW012833	HACKEL, ROXANNE		FORTIVE CORPORATION COM B	8,665.46	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW012841	HACKEL, ANDREW		BLUE BIRD CORPORATION COM B	2,554.20	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW012841	HACKEL, ANDREW		FORTIVE CORPORATION COM B	8,615.94	50.00	0.00	50.00	80.0	40.00
09/20/2018	QGW012908	HACKEL, ROXANNE		BLUE BIRD CORPORATION COM B	2,524.50	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW012908	HACKEL, ROXANNE		FORTIVE CORPORATION COM B	8,679.03	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW012940	KEHOE -, JOANNE		SEYCHELLE ENVIRONMENTAL COM S	-700.00	20.00	0.00	20.00	80.0	16.00
08/30/2018	QGW012965	NEILL, MARTIN		SEYCHELLE ENVIRONMENTAL COM S	-800.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013005	QUINONES, ALBERT		AT&T INC S	-1,003.20	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013005	QUINONES, ALBERT		FORTIVE CORPORATION COM B	8,538.75	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013005	QUINONES, ALBERT		GAMESTOP CORP NEW CLASS A S	-664.46	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013005	QUINONES, ALBERT		NUVEEN NEW YORK AMT FREE MUNCIPAL I S	-1,222.90	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013013	QUINONES, CAROL		FORTIVE CORPORATION COM B	5,517.34	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013013	QUINONES, CAROL		NUVEEN NEW YORK AMT FREE MUNCIPAL I S	-2,374.88	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013021	QUINONES, CAROL		FORTIVE CORPORATION COM B	4,317.50	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013021	QUINONES, CAROL		GENERAL ELECTRIC CO S	-1,928.18	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
09/19/2018	QGW013021	QUINONES, CAROL		NUVEEN NEW YORK AMT FREE MUNCIPAL I S	-2,373.10	20.00	0.00	20.00	80.0	16.00	
09/18/2018	QGW013096	HACKEL, JESSICA		BLUE BIRD CORPORATION COM	B	2,554.20	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW013096	HACKEL, JESSICA		FORTIVE CORPORATION COM	B	8,616.96	50.00	0.00	50.00	80.0	40.00
09/05/2018	QGW013104	GRASS, BRIAN		SALESFORCE.COM INC	B	914.34	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013104	GRASS, BRIAN		CAMPBELL SOUP CO	S	-3,996.01	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW013104	GRASS, BRIAN		FORTIVE CORPORATION COM	B	8,544.68	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW013187	HACKEL, TANYA		BLUE BIRD CORPORATION COM	B	2,549.25	35.00	0.00	35.00	80.0	28.00
09/18/2018	QGW013187	HACKEL, TANYA		FORTIVE CORPORATION COM	B	8,632.80	35.00	0.00	35.00	80.0	28.00
08/30/2018	QGW013484	HACKEL, DEBBIE		FINISAR CORP COM NEW	S	-40,837.40	10.00	0.00	10.00	80.0	8.00
08/30/2018	QGW013484	HACKEL, DEBBIE		FINISAR CORP COM NEW	B	41,129.60	10.00	0.00	10.00	80.0	8.00
08/30/2018	QGW013484	HACKEL, DEBBIE		STURM RUGER & CO INC	B	30,750.00	10.00	0.00	10.00	80.0	8.00
08/31/2018	QGW013484	HACKEL, DEBBIE		STURM RUGER & CO INC	S	-31,755.00	10.00	0.00	10.00	80.0	8.00
09/04/2018	QGW013484	HACKEL, DEBBIE		COUPA SOFTWARE INCORPORATED COM	B	16,018.00	10.00	0.00	10.00	80.0	8.00
09/04/2018	QGW013484	HACKEL, DEBBIE		OMNICOM GROUP INC	S	-34,028.50	10.00	0.00	10.00	80.0	8.00
09/04/2018	QGW013484	HACKEL, DEBBIE		OMNICOM GROUP INC	B	33,490.00	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW013484	HACKEL, DEBBIE		COUPA SOFTWARE INCORPORATED COM	S	-24,403.62	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW013484	HACKEL, DEBBIE		COUPA SOFTWARE INCORPORATED COM	B	8,140.00	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW013484	HACKEL, DEBBIE		DOCUSIGN INC COM	B	13,172.00	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW013484	HACKEL, DEBBIE		DOCUSIGN INC COM	S	-12,110.00	10.00	0.00	10.00	80.0	8.00
09/06/2018	QGW013484	HACKEL, DEBBIE		FORTINET INC	B	12,840.00	10.00	0.00	10.00	80.0	8.00
09/07/2018	QGW013484	HACKEL, DEBBIE		FORTINET INC	B	29,912.02	10.00	0.00	10.00	80.0	8.00
09/07/2018	QGW013484	HACKEL, DEBBIE		FORTINET INC	S	-41,890.00	10.00	0.00	10.00	80.0	8.00
09/07/2018	QGW013484	HACKEL, DEBBIE		FORTINET INC	B	-29,912.02	-10.00	0.00	-10.00	80.0	-8.00 C
09/07/2018	QGW013484	HACKEL, DEBBIE		FORTINET INC	B	29,912.02	10.00	0.00	10.00	80.0	8.00
09/11/2018	QGW013484	HACKEL, DEBBIE		FRANCESSAS HOLDINGS CORPORATION COM S		-8,225.40	10.00	0.00	10.00	80.0	8.00
09/11/2018	QGW013484	HACKEL, DEBBIE		FRANCESSAS HOLDINGS CORPORATION COM B		8,440.60	10.00	0.00	10.00	80.0	8.00
09/13/2018	QGW013484	HACKEL, DEBBIE		SPROUTS FARMERS MARKET INC COM	S	-27,900.00	10.00	0.00	10.00	80.0	8.00
09/13/2018	QGW013484	HACKEL, DEBBIE		SPROUTS FARMERS MARKET INC COM	B	27,909.80	10.00	0.00	10.00	80.0	8.00
09/18/2018	QGW013484	HACKEL, DEBBIE		POST HOLDINGS INC	B	29,541.63	10.00	0.00	10.00	80.0	8.00
09/18/2018	QGW013484	HACKEL, DEBBIE		POST HOLDINGS INC	S	-29,857.62	10.00	0.00	10.00	80.0	8.00
09/19/2018	QGW013484	HACKEL, DEBBIE		STEELCASE INC-MICH CL A	B	30,850.00	10.00	0.00	10.00	80.0	8.00
09/20/2018	QGW013484	HACKEL, DEBBIE		KNOLL INC COM NEW	B	691.50	10.00	0.00	10.00	80.0	8.00
09/20/2018	QGW013484	HACKEL, DEBBIE		STEELCASE INC-MICH CL A	S	-31,100.00	10.00	0.00	10.00	80.0	8.00
09/20/2018	QGW013484	HACKEL, DEBBIE		WINNEBAGO INDUSTRIES INC	S	-22,024.98	10.00	0.00	10.00	80.0	8.00
09/20/2018	QGW013484	HACKEL, DEBBIE		WINNEBAGO INDUSTRIES INC	B	21,884.58	10.00	0.00	10.00	80.0	8.00
09/21/2018	QGW013484	HACKEL, DEBBIE		KNOLL INC COM NEW	S	-35,530.05	10.00	0.00	10.00	80.0	8.00
09/21/2018	QGW013484	HACKEL, DEBBIE		KNOLL INC COM NEW	B	33,883.50	10.00	0.00	10.00	80.0	8.00
09/10/2018	QGW014391	DARWISH, MENACHEM		UNITED CONTINENTAL HLDGS INC	S	-220,750.00	35.00	0.00	35.00	80.0	28.00
09/13/2018	QGW014391	DARWISH, MENACHEM		AMERICAN AIRLINES GROUP INC	B	79,900.00	35.00	0.00	35.00	80.0	28.00
09/24/2018	QGW014391	DARWISH, MENACHEM		CYPRESS SEMICONDUCTOR CORP	S	-135,810.90	35.00	0.00	35.00	80.0	28.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/18/2018	QGW016073	WOLLOCH, HELENE							
		BLUE BIRD CORPORATION COM	B	2,557.15	10.00	0.00	10.00	80.0	8.00
09/21/2018	QGW020232	BERKOWITZ, SAM							
		BLUE BIRD CORPORATION COM	B	2,512.13	50.00	0.00	50.00	80.0	40.00
09/21/2018	QGW020232	BERKOWITZ, SAM							
		FORTIVE CORPORATION COM	B	8,603.09	50.00	0.00	50.00	80.0	40.00
09/19/2018	QGW020497	FATTORUSO, PHYLLIS							
		GOVERNMENT PROPERTIES INCOME TRUST	S	-5,768.50	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW020513	FORST, BARRY							
		BLUE BIRD CORPORATION COM	B	2,554.20	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW020513	FORST, BARRY							
		SEYCHELLE ENVIRONMENTAL COM	S	-1,120.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW020539	FORST, SHERRIE							
		BLUE BIRD CORPORATION COM	B	2,499.75	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW020547	FOSTER, ELEANORE							
		BLUE BIRD CORPORATION COM	B	2,526.98	28.25	0.00	28.25	80.0	22.60
09/20/2018	QGW020547	FOSTER, ELEANORE							
		FORTIVE CORPORATION COM	B	8,636.27	28.25	0.00	28.25	80.0	22.60
09/20/2018	QGW020604	FOSTER, WILLIAM							
		BLUE BIRD CORPORATION COM	B	2,529.05	28.25	0.00	28.25	80.0	22.60
09/20/2018	QGW020604	FOSTER, WILLIAM							
		FORTIVE CORPORATION COM	B	8,633.69	28.25	0.00	28.25	80.0	22.60
09/12/2018	QGW020679	GRASS, ELAINE							
		ANNALY CAPITAL MANAGEMENT INC	B	2,037.28	20.00	0.00	20.00	80.0	16.00
09/12/2018	QGW020679	GRASS, ELAINE							
		COCA COLA COMPANY (THE)	S	-1,432.57	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW020679	GRASS, ELAINE							
		ALTRIA GROUP INC	S	-3,105.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW020679	GRASS, ELAINE							
		CSX CORPORATION COM	S	-5,941.60	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW020679	GRASS, ELAINE							
		FORTIVE CORPORATION COM	B	8,669.43	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW020752	HACKEL, ROXANNE							
		BLUE BIRD CORPORATION COM	B	2,524.03	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW020752	HACKEL, ROXANNE							
		FORTIVE CORPORATION COM	B	8,661.02	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW021115	ROTHBEIN, SYLVIA							
		BLUE BIRD CORPORATION COM	B	2,526.98	28.25	0.00	28.25	80.0	22.60
09/20/2018	QGW021115	ROTHBEIN, SYLVIA							
		FORTIVE CORPORATION COM	B	8,634.77	28.25	0.00	28.25	80.0	22.60
09/14/2018	QGW021156	SIEGEL, STUART							
		OPKO HEALTH INC	S	-828.02	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW021156	SIEGEL, STUART							
		FORTIVE CORPORATION COM	B	8,611.80	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	147.50	40.00	0.00	40.00	80.0	32.00
09/25/2018	QGW021263	KOLENIK, PATRICK							
		GENERATION NEXT FRANCHISE BRANDS IN	B	8,300.00	40.00	0.00	40.00	80.0	32.00
09/20/2018	QGW021347	ROTHBEIN, HOWARD							
		BLUE BIRD CORPORATION COM	B	2,528.88	99.00	0.00	99.00	80.0	79.20
09/20/2018	QGW021347	ROTHBEIN, HOWARD							
		BLUE BIRD CORPORATION COM	B	-2,528.88	-99.00	0.00	-99.00	80.0	-79.20 C
09/20/2018	QGW021347	ROTHBEIN, HOWARD							
		BLUE BIRD CORPORATION COM	B	2,528.88	28.25	0.00	28.25	80.0	22.60
09/20/2018	QGW021347	ROTHBEIN, HOWARD							
		FORTIVE CORPORATION COM	B	8,638.61	28.25	0.00	28.25	80.0	22.60
09/19/2018	QGW022295	RODRIGUEZ, JOSE							
		FORTIVE CORPORATION COM	B	4,311.43	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW022303	GALLO, TERESA							
		FORTIVE CORPORATION COM	B	8,648.15	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW023350	DOYLE, PETER							
		BLUE BIRD CORPORATION COM	B	2,549.25	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW023350	DOYLE, PETER							
		FORTIVE CORPORATION COM	B	8,645.66	50.00	0.00	50.00	80.0	40.00
09/18/2018	QGW023988	HACKEL, PATRICIA							
		BLUE BIRD CORPORATION COM	B	2,554.20	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW023988	HACKEL, PATRICIA							
		FORTIVE CORPORATION COM	B	8,577.35	50.00	0.00	50.00	80.0	40.00
09/18/2018	QGW024143	WOLLOCH, BETH							
		ARC GROUP WORLDWIDE INC COM	S	-47.50	10.00	0.00	10.00	80.0	8.00
09/18/2018	QGW024143	WOLLOCH, BETH							
		BLUE BIRD CORPORATION COM	B	2,556.68	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW025298	PALMER, MATTHEW							
		NEW AGE BEVERAGES CORP COM	B	4,949.75	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW025298	PALMER, MATTHEW							
		HORIZONS MEDICAL MARIJUANA LIFE SCI	S	-11,199.00	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW025298	PALMER, MATTHEW							
		INTELGENX TECHNOLOGIES CORP COM	B	4,500.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW025298	PALMER, MATTHEW							
		NEW AGE BEVERAGES CORP COM	B	6,652.60	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/21/2018	QGW025298	PALMER, MATTHEW							
		NEW AGE BEVERAGES CORP COM	S	-9,506.88	10.00	0.00	10.00	80.0	8.00
09/21/2018	QGW025298	PALMER, MATTHEW							
		NEW AGE BEVERAGES CORP COM	S	-7,525.00	20.00	0.00	20.00	80.0	16.00
09/12/2018	QGW029019	SHARTSIS, JAY							
		MICRON TECHNOLOGY INC	B	12,298.92	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW029019	SHARTSIS, JAY							
		TILRAY INC COM	S	-10,000.00	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW029019	SHARTSIS, JAY							
		TILRAY INC COM	B	20,067.99	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW029555	PASTERNAK, RONALD							
		FORTIVE CORPORATION COM	B	8,600.93	75.00	0.00	75.00	80.0	60.00
09/10/2018	QGW030272	CHERNOFF, GLENN							
		UNITED RENTALS INC	B	32,229.66	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW030298	CHERNOFF, GLENN							
		COUPA SOFTWARE INCORPORATED COM	B	15,437.52	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW030298	CHERNOFF, GLENN							
		DOLLAR TREE INC	B	40,995.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW030298	CHERNOFF, GLENN							
		PGT INC COM	B	37,650.00	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW030298	CHERNOFF, GLENN							
		PETIQ INC COM CL A	B	35,597.97	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW030298	CHERNOFF, GLENN							
		TELADOC INC COM	B	38,950.00	20.00	0.00	20.00	80.0	16.00
09/11/2018	QGW030298	CHERNOFF, GLENN							
		ZSCALER	S	-17,921.00	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030298	CHERNOFF, GLENN							
		PGT INC COM	S	-33,187.50	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW030298	CHERNOFF, GLENN							
		CANOPY GROWTH CORP COM ISIN# CA1380	B	25,480.50	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW030298	CHERNOFF, GLENN							
		CRYOLIFE INC COM	B	36,000.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW030629	BEGLEY, MICHAEL							
		CANOPY GROWTH CORP COM ISIN# CA1380	B	24,750.00	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW030629	BEGLEY, MICHAEL							
		CANOPY GROWTH CORP COM ISIN# CA1380	S	-26,050.00	20.00	0.00	20.00	80.0	16.00
09/11/2018	QGW030629	BEGLEY, MICHAEL							
		CANOPY GROWTH CORP COM ISIN# CA1380	B	25,300.00	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030629	BEGLEY, MICHAEL							
		CANOPY GROWTH CORP COM ISIN# CA1380	S	-25,950.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW030629	BEGLEY, MICHAEL							
		BLUE BIRD CORPORATION COM	B	2,509.65	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW030629	BEGLEY, MICHAEL							
		FORTIVE CORPORATION COM	B	8,543.70	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW030629	BEGLEY, MICHAEL							
		JABIL CIRCUIT INC	B	6,842.50	20.00	0.00	20.00	80.0	16.00
09/26/2018	QGW030629	BEGLEY, MICHAEL							
		JABIL CIRCUIT INC	S	-7,025.00	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030637	HACKEL, ROXANNE							
		BLUE BIRD CORPORATION COM	B	1,677.00	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030702	RUDOLPH, MARTIN							
		BLUE BIRD CORPORATION COM	B	2,581.43	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030702	RUDOLPH, MARTIN							
		FORTIVE CORPORATION COM	B	7,383.95	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030702	RUDOLPH, MARTIN							
		GABELLI EQUITY TRUST INC	S	-880.19	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030702	RUDOLPH, MARTIN							
		HOME DEPOT INC	S	-209.52	20.00	0.00	20.00	80.0	16.00
08/30/2018	QGW030728	RUDOLPH, MARTIN							
		MICROSOFT CORP	S	-42,935.20	20.00	0.00	20.00	80.0	16.00
08/30/2018	QGW030728	RUDOLPH, MARTIN							
		MICROSOFT CORP	B	55,863.20	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030728	RUDOLPH, MARTIN							
		BLUE BIRD CORPORATION COM	B	2,581.43	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030728	RUDOLPH, MARTIN							
		EXXON MOBIL CORPORATION	S	-8,270.01	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW030728	RUDOLPH, MARTIN							
		FORTIVE CORPORATION COM	B	8,630.81	80.73	0.00	80.73	80.0	64.58
09/17/2018	QGW030728	RUDOLPH, MARTIN							
		FORTIVE CORPORATION COM	B	-8,630.81	-80.73	0.00	-80.73	80.0	-64.58 C
09/17/2018	QGW030728	RUDOLPH, MARTIN							
		FORTIVE CORPORATION COM	B	8,630.81	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030744	RUDOLPH, CAROL							
		BLUE BIRD CORPORATION COM	B	2,583.90	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030744	RUDOLPH, CAROL							
		FORD MOTOR CO PAR \$0.01	S	-1,917.34	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030744	RUDOLPH, CAROL							
		FORTIVE CORPORATION COM	B	7,886.96	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030744	RUDOLPH, CAROL							
		MOMO INC ADR	S	-4,735.50	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/18/2018	QGW030744	RUDOLPH, CAROL		NETFLIX COM INC	S	-3,591.05	20.00	0.00	20.00 80.0 16.00
09/14/2018	QGW030751	RUDOLPH, CAROL		BLUE BIRD CORPORATION COM	B	2,578.95	20.00	0.00	20.00 80.0 16.00
09/18/2018	QGW030751	RUDOLPH, CAROL		FORTIVE CORPORATION COM	B	8,589.00	20.00	0.00	20.00 80.0 16.00
09/18/2018	QGW030751	RUDOLPH, CAROL		GOVERNMENT PROPERTIES INCOME TRUST	S	-12,262.44	20.00	0.00	20.00 80.0 16.00
08/31/2018	QGW030827	TO B CAPITAL SPECIAL		AVINO SILVER & GOLD MINES LTD COM I	S	-2,268.70	20.00	0.00	20.00 80.0 16.00
08/31/2018	QGW030827	TO B CAPITAL SPECIAL		PARAMOUNT GOLD NEVADA CORP COM	B	2,179.80	20.00	0.00	20.00 80.0 16.00
09/04/2018	QGW030827	TO B CAPITAL SPECIAL		PARAMOUNT GOLD NEVADA CORP COM	B	411.96	20.00	0.00	20.00 80.0 16.00
09/04/2018	QGW030835	SEXER LEVY, RHONDA		GALECTIN THERAPEUTICS INC COM NEW	B	1,944.00	60.80	0.00	60.80 80.0 48.64
09/04/2018	QGW030835	SEXER LEVY, RHONDA		GALMED PHARMACEUTICALS LTD SHS ISIN	B	1,357.00	50.00	0.00	50.00 80.0 40.00
09/04/2018	QGW030835	SEXER LEVY, RHONDA		ZAFGEN INC COM	S	-938.41	50.00	0.00	50.00 80.0 40.00
09/18/2018	QGW030835	SEXER LEVY, RHONDA		VIKING THERAPEUTICS INC COM	B	3,808.00	75.10	0.00	75.10 80.0 60.08
09/26/2018	QGW030835	SEXER LEVY, RHONDA		JD.COM INC SPONSORED ADR REPSTG COM	B	5,040.00	98.57	0.00	98.57 80.0 78.86
09/04/2018	QGW031049	WINNICK, CHARLES		BERRY PLASTICS GROUP INC COM	S	-235,765.50	20.00	0.00	20.00 80.0 16.00
09/04/2018	QGW031049	WINNICK, CHARLES		MGIC INVT CORP WIS COM	B	63,748.00	20.00	0.00	20.00 80.0 16.00
09/05/2018	QGW031049	WINNICK, CHARLES		DELMAR BANCORP COM NEW	B	1,540.00	20.00	0.00	20.00 80.0 16.00
09/05/2018	QGW031049	WINNICK, CHARLES		FORTIVE CORPORATION COM	B	8,342.69	20.00	0.00	20.00 80.0 16.00
09/06/2018	QGW031049	WINNICK, CHARLES		ALICO INC COM	B	3,271.95	20.00	0.00	20.00 80.0 16.00
09/07/2018	QGW031049	WINNICK, CHARLES		LIBERTY MEDIA CORPORATION SERIES A	B	116,676.00	20.00	0.00	20.00 80.0 16.00
09/14/2018	QGW031049	WINNICK, CHARLES		BLUE BIRD CORPORATION COM	B	2,586.88	20.00	0.00	20.00 80.0 16.00
09/18/2018	QGW031049	WINNICK, CHARLES		COLONY CAPITAL INC CLASS A COMMON	S	-30,900.00	20.00	0.00	20.00 80.0 16.00
09/18/2018	QGW031049	WINNICK, CHARLES		LINN ENERGY INC NEW CL A	B	24,923.25	20.00	0.00	20.00 80.0 16.00
09/20/2018	QGW031049	WINNICK, CHARLES		BERRY PLASTICS GROUP INC COM	S	-158,520.70	20.00	0.00	20.00 80.0 16.00
09/20/2018	QGW031049	WINNICK, CHARLES		BRIGHTHOUSE FINANCIAL INC COMMON	S	-112,515.00	20.00	0.00	20.00 80.0 16.00
09/20/2018	QGW031049	WINNICK, CHARLES		CANNAE HOLDINGS INC COMMON STOCK	S	-74,662.70	20.00	0.00	20.00 80.0 16.00
09/20/2018	QGW031049	WINNICK, CHARLES		CANNAE HOLDINGS INC COMMON STOCK	B	74,518.15	20.00	0.00	20.00 80.0 16.00
09/24/2018	QGW031049	WINNICK, CHARLES		CANNAE HOLDINGS INC COMMON STOCK	S	-128,378.40	20.00	0.00	20.00 80.0 16.00
09/24/2018	QGW031049	WINNICK, CHARLES		CANNAE HOLDINGS INC COMMON STOCK	S	128,378.40	-20.00	0.00	-20.00 80.0 -16.00 C
09/24/2018	QGW031049	WINNICK, CHARLES		CANNAE HOLDINGS INC COMMON STOCK	B	128,378.40	20.00	0.00	20.00 80.0 16.00
09/24/2018	QGW031049	WINNICK, CHARLES		GREEN BRICK PARTNERS INC COM	S	-96,884.67	20.00	0.00	20.00 80.0 16.00
09/24/2018	QGW031049	WINNICK, CHARLES		GREEN BRICK PARTNERS INC COM	S	96,884.67	-20.00	0.00	-20.00 80.0 -16.00 C
09/24/2018	QGW031049	WINNICK, CHARLES		GREEN BRICK PARTNERS INC COM	B	98,736.30	20.00	0.00	20.00 80.0 16.00
09/26/2018	QGW031049	WINNICK, CHARLES		MGIC INVT CORP WIS COM	B	65,250.00	20.00	0.00	20.00 80.0 16.00
09/26/2018	QGW031049	WINNICK, CHARLES		RADIAN GROUP INC COM	B	201,574.00	20.00	0.00	20.00 80.0 16.00
09/04/2018	QGW031544	HEALY, TIMOTHY		SPDR S&P 500 ETF TRUST	S	-144,835.05	90.00	0.00	90.00 80.0 72.00
09/14/2018	QGW031544	HEALY, TIMOTHY		NISOURCE INC	B	25,106.80	120.00	0.00	120.00 80.0 96.00
09/04/2018	QGW031569	HEALY, TIMOTHY		STAR GAS PARTNERS L.P.	B	19,206.80	210.00	0.00	210.00 80.0 168.00
09/17/2018	QGW031569	HEALY, TIMOTHY		ISHARES MSCI TURKEY ETF	S	-21,480.00	120.00	0.00	120.00 80.0 96.00
09/17/2018	QGW034019	BEGLEY, HOLLY		BLUE BIRD CORPORATION COM	B	2,539.35	20.00	0.00	20.00 80.0 16.00
09/20/2018	QGW034845	HEALY, TIMOTHY		DIXONS CARPHONE PLC SHS ISIN#GB00B4	B	241.00	3.65	0.00	3.65 80.0 2.92
09/20/2018	QGW034845	HEALY, TIMOTHY		DIXONS CARPHONE PLC SHS ISIN#GB00B4	B	4,370.00	69.35	0.00	69.35 80.0 55.48

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/21/2018	QGW034845	HEALY, TIMOTHY		DIXONS CARPHONE PLC SHS ISIN#GB00B4 B	4,600.00	100.00	0.00	100.00	80.00
09/24/2018	QGW034845	HEALY, TIMOTHY		DIXONS CARPHONE PLC SHS ISIN#GB00B4 B	2,322.00	120.00	0.00	120.00	96.00
09/21/2018	QGW035099	BELZ, RICHARD		VUZIX CORP COM NEW	6,750.00	20.00	0.00	20.00	16.00
09/19/2018	QGW036410	BELZ, KATHLEEN		FORTIVE CORPORATION COM	8,669.43	20.00	0.00	20.00	16.00
09/19/2018	QGW036410	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-8,629.90	20.00	0.00	20.00	16.00
09/21/2018	QGW036410	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-12,660.00	20.00	0.00	20.00	16.00
09/26/2018	QGW036410	BELZ, KATHLEEN		BLUE BIRD CORPORATION COM	2,475.00	20.00	0.00	20.00	16.00
09/05/2018	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-10,132.22	11.67	0.00	11.67	9.34
09/05/2018	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-16,892.80	20.00	0.00	20.00	16.00
09/07/2018	QGW036436	BELZ, KATHLEEN		NATIONAL-OILWELL VARCO INC	66,750.00	20.00	0.00	20.00	16.00
09/12/2018	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-17,147.43	20.00	0.00	20.00	16.00
09/17/2018	QGW036436	BELZ, KATHLEEN		BLUE BIRD CORPORATION COM	2,551.73	20.00	0.00	20.00	16.00
09/19/2018	QGW036436	BELZ, KATHLEEN		FORTIVE CORPORATION COM	8,669.43	20.00	0.00	20.00	16.00
09/21/2018	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-13,453.52	20.00	0.00	20.00	16.00
09/24/2018	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	-3,564.37	20.00	0.00	20.00	16.00
09/17/2018	QGW036444	BELZ, RICHARD		BLUE BIRD CORPORATION COM	2,551.73	20.00	0.00	20.00	16.00
09/20/2018	QGW036444	BELZ, RICHARD		FORTIVE CORPORATION COM	8,581.30	20.00	0.00	20.00	16.00
09/26/2018	QGW036444	BELZ, RICHARD		NEW RESIDENTIAL INVESTMENT CORP	81,083.25	20.00	0.00	20.00	16.00
09/07/2018	QGW036451	BELZ, RICHARD		TESLA MOTORS INC	110,000.00	20.00	0.00	20.00	16.00
09/12/2018	QGW036451	BELZ, RICHARD		ARCONIC INC COM	-292.70	20.00	0.00	20.00	16.00
09/12/2018	QGW036451	BELZ, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	-14,630.35	20.00	0.00	20.00	16.00
09/14/2018	QGW036451	BELZ, RICHARD		TESLA MOTORS INC	-116,000.00	20.00	0.00	20.00	16.00
09/17/2018	QGW036451	BELZ, RICHARD		BLUE BIRD CORPORATION COM	2,551.73	20.00	0.00	20.00	16.00
09/19/2018	QGW036451	BELZ, RICHARD		COHERUS BIOSCIENCES INC COM	60,000.00	20.00	0.00	20.00	16.00
09/19/2018	QGW036451	BELZ, RICHARD		FORTIVE CORPORATION COM	8,669.43	20.00	0.00	20.00	16.00
09/21/2018	QGW036451	BELZ, RICHARD		COHERUS BIOSCIENCES INC COM	35,000.00	20.00	0.00	20.00	16.00
09/21/2018	QGW036451	BELZ, RICHARD		INTERNATIONAL BUSINESS MACHINES COR S	-75,000.00	20.00	0.00	20.00	16.00
09/21/2018	QGW036451	BELZ, RICHARD		OPKO HEALTH INC	27,500.00	20.00	0.00	20.00	16.00
09/17/2018	QGW036469	BELZ, RICHARD		BLUE BIRD CORPORATION COM	2,549.25	20.00	0.00	20.00	16.00
09/18/2018	QGW036469	BELZ, RICHARD		ALTRA INDL MOTION CORP COM	82,492.60	20.00	0.00	20.00	16.00
09/19/2018	QGW036469	BELZ, RICHARD		ALTRA INDL MOTION CORP COM	-85,800.20	20.00	0.00	20.00	16.00
09/19/2018	QGW036469	BELZ, RICHARD		FORTIVE CORPORATION COM	8,669.43	20.00	0.00	20.00	16.00
09/24/2018	QGW036469	BELZ, RICHARD		WESTERN ASSET MORTGAGE CAPITAL CORP B	79,200.00	20.00	0.00	20.00	16.00
09/17/2018	QGW036634	KEHOE -, JOANNE		SEYCHELLE ENVIRONMENTAL COM	-420.00	20.00	0.00	20.00	16.00
09/19/2018	QGW036634	KEHOE -, JOANNE		BLUE BIRD CORPORATION COM	2,494.80	20.00	0.00	20.00	16.00
09/20/2018	QGW036634	KEHOE -, JOANNE		FORTIVE CORPORATION COM	8,566.47	20.00	0.00	20.00	16.00
09/05/2018	QGW036642	GRASS, PAUL		SALESFORCE.COM INC	914.34	20.00	0.00	20.00	16.00
09/19/2018	QGW036642	GRASS, PAUL		AT&T INC	-6,792.00	20.00	0.00	20.00	16.00
09/19/2018	QGW036642	GRASS, PAUL		FORTIVE CORPORATION COM	8,669.43	20.00	0.00	20.00	16.00
09/19/2018	QGW036642	GRASS, PAUL		VICON INDUSTRIES INC	-1,260.00	20.00	0.00	20.00	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/19/2018	QGW036667	QUINONES, CAROL							
		BANK OF AMERICA CORP	S	-1,240.46	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW036667	QUINONES, CAROL							
		FORTIVE CORPORATION COM	B	3,017.29	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW036667	QUINONES, CAROL							
		FORTIVE CORPORATION COM	B	8,558.41	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW036667	QUINONES, CAROL							
		APOLLO COMMERCIAL REAL ESTATE FINAN S		-1,434.76	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW036691	ABRAMSON, WILLA							
		BLUE BIRD CORPORATION COM	B	2,529.45	50.00	0.00	50.00	80.0	40.00
09/20/2018	QGW036691	ABRAMSON, WILLA							
		FORTIVE CORPORATION COM	B	8,632.31	50.00	0.00	50.00	80.0	40.00
09/11/2018	QGW037327	HEIBER, JENNIFER							
		ASTERIAS BIOTHERAPEUTICS INC COMMON S		-677.05	25.00	0.00	25.00	80.0	20.00
09/11/2018	QGW037327	HEIBER, JENNIFER							
		GERON CORP	B	61,000.00	25.00	0.00	25.00	80.0	20.00
09/11/2018	QGW037327	HEIBER, JENNIFER							
		GERON CORP	B	-61,000.00	-25.00	0.00	-25.00	80.0	-20.00 C
09/11/2018	QGW037327	HEIBER, JENNIFER							
		GERON CORP	B	61,000.00	25.00	0.00	25.00	80.0	20.00
09/11/2018	QGW037327	HEIBER, JENNIFER							
		SQUARE INC CLASS A COM	S	-55,290.00	25.00	0.00	25.00	80.0	20.00
09/18/2018	QGW037327	HEIBER, JENNIFER							
		GERON CORP	B	54,389.00	25.00	0.00	25.00	80.0	20.00
09/18/2018	QGW037327	HEIBER, JENNIFER							
		GERON CORP	S	-54,804.00	25.00	0.00	25.00	80.0	20.00
09/19/2018	QGW037327	HEIBER, JENNIFER							
		CANNTRUST HOLDINGS INC REGISTERED S B		25,759.75	25.00	0.00	25.00	80.0	20.00
09/19/2018	QGW037327	HEIBER, JENNIFER							
		TRANSENTERIX INC COM NEW	S	-26,760.00	25.00	0.00	25.00	80.0	20.00
08/30/2018	QGW037368	HEIBER, JENNIFER							
		CRONOS GROUP INC COM ISIN#CA22717L1 B		112,109.00	25.00	0.00	25.00	80.0	20.00
09/05/2018	QGW037368	HEIBER, JENNIFER							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		263,500.00	25.00	0.00	25.00	80.0	20.00
09/07/2018	QGW037368	HEIBER, JENNIFER							
		CRONOS GROUP INC COM ISIN#CA22717L1 S		-60,100.00	25.00	0.00	25.00	80.0	20.00
09/07/2018	QGW037368	HEIBER, JENNIFER							
		GERON CORP	S	-60,500.00	25.00	0.00	25.00	80.0	20.00
09/07/2018	QGW037368	HEIBER, JENNIFER							
		GERON CORP	S	-30,004.50	25.00	0.00	25.00	80.0	20.00
09/10/2018	QGW037368	HEIBER, JENNIFER							
		CRONOS GROUP INC COM ISIN#CA22717L1 S		-120,900.00	25.00	0.00	25.00	80.0	20.00
09/10/2018	QGW037368	HEIBER, JENNIFER							
		GERON CORP	B	63,076.00	25.00	0.00	25.00	80.0	20.00
09/10/2018	QGW037368	HEIBER, JENNIFER							
		SHIFTPIXY INC COM	S	-18,250.00	25.00	0.00	25.00	80.0	20.00
09/11/2018	QGW037368	HEIBER, JENNIFER							
		22ND CENTY GROUP INC COM	S	-27,400.00	25.00	0.00	25.00	80.0	20.00
09/13/2018	QGW037368	HEIBER, JENNIFER							
		TRANSENTERIX INC COM NEW	S	-28,278.00	25.00	0.00	25.00	80.0	20.00
09/14/2018	QGW037368	HEIBER, JENNIFER							
		GERON CORP	B	55,000.00	25.00	0.00	25.00	80.0	20.00
09/18/2018	QGW037368	HEIBER, JENNIFER							
		22ND CENTY GROUP INC COM	S	-26,500.00	25.00	0.00	25.00	80.0	20.00
09/19/2018	QGW037368	HEIBER, JENNIFER							
		CANNTRUST HOLDINGS INC REGISTERED S B		25,759.75	25.00	0.00	25.00	80.0	20.00
09/24/2018	QGW037368	HEIBER, JENNIFER							
		GERON CORP	S	-56,300.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		MARATHON PATENT GROUP INC COM PAR \$ S		-5,883.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		PARETEUM CORPORATION COMMON STOCK	S	-2,900.00	25.00	0.00	25.00	80.0	20.00
09/27/2018	QGW037368	HEIBER, JENNIFER							
		UQM TECHNOLOGIES INC COM	S	-5,334.00	25.00	0.00	25.00	80.0	20.00
09/04/2018	QGW037558	HIEBER TRUST,							
		WAL-MART STORES INC	S	-28,806.00	100.00	0.00	100.00	80.0	80.00
09/11/2018	QGW038267	ROSS, THOMAS							
		GERON CORP	B	5,866.90	25.00	0.00	25.00	80.0	20.00
09/18/2018	QGW038440	LEOPOLD, CLAUDIA							
		BLUE BIRD CORPORATION COM	B	2,556.68	25.00	0.00	25.00	80.0	20.00
09/18/2018	QGW038440	LEOPOLD, CLAUDIA							
		FORTIVE CORPORATION COM	B	8,614.98	40.00	0.00	40.00	80.0	32.00
09/20/2018	QGW038853	KAZLOWSKI, PHILIP							
		FORTIVE CORPORATION COM	B	7,260.83	80.73	0.00	80.73	80.0	64.58
09/14/2018	QGW038994	CROWE, PATRICIA							
		RAMACO RES INC COM	B	7,906.30	120.00	0.00	120.00	80.0	96.00
08/31/2018	QGW039075	CAPRARO IRREVOCABLE							
		AT&T INC	B	552,500.00	200.00	0.00	200.00	80.0	160.00
08/31/2018	QGW039075	CAPRARO IRREVOCABLE							
		VEDANTA LIMITED AMERICAN DEPOSTARY	S	-38,280.00	60.00	0.00	60.00	80.0	48.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/14/2018	QGW039075	CAPRARO IRREVOCABLE	AT&T INC	S	-1,132,863.00	370.00	0.00	370.00	80.0	296.00
09/18/2018	QGW039182	FIERRO, CAROLYN	BLUE BIRD CORPORATION COM	B	2,279.20	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW039182	FIERRO, CAROLYN	FORTIVE CORPORATION COM	B	8,543.69	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW039489	GLENNON, COLIN	AMAZON.COM INC	B	6,020.77	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW039489	GLENNON, COLIN	BLUE BIRD CORPORATION COM	B	2,583.90	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW039588	FIERRO, JOSEPH	BLUE BIRD CORPORATION COM	B	2,564.10	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW039588	FIERRO, JOSEPH	FORTIVE CORPORATION COM	B	8,581.06	20.00	0.00	20.00	80.0	16.00
09/11/2018	QGW040164	WINNICK, CHARLES	FORTIVE CORPORATION COM	B	8,385.96	20.00	0.00	20.00	80.0	16.00
* STOCKS SUBTOTAL *					\$-1,540,581.98	\$16,173.73	\$0.00	\$16,173.73		\$12,938.99
PRODUCT CATEGORY: BONDS										
09/26/2018	75500349	POMP, BARRY	SEARS HLDGS CORP SR SECD 6.625%18	B	9,050.00	0.00	0.00	0.00	80.0	0.00
09/13/2018	QGW038200	CARROLL, DAVID	UNITED STATES TREAS BILLS 0.000% 06 B		108,043.51	50.60	0.00	50.60	80.0	40.48
09/13/2018	QGW038200	CARROLL, DAVID	UNITED STATES TREAS BILLS 0.000% 06 B		-108,043.51	-50.60	0.00	-50.60	80.0	-40.48 C
09/13/2018	QGW038200	CARROLL, DAVID	UNITED STATES TREAS BILLS 0.000% 06 B		108,043.51	50.60	0.00	50.60	80.0	40.48
* BONDS SUBTOTAL *					\$117,093.51	\$50.60	\$0.00	\$50.60		\$40.48
PRODUCT CATEGORY: OPTIONS										
08/31/2018	QGW001166	KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		263,273.88	42.30	0.00	42.30	80.0	33.84
08/31/2018	QGW001166	KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-312,375.84	62.70	0.00	62.70	80.0	50.16
08/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-184,969.98	15.10	0.00	15.10	80.0	12.08
08/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		154,600.02	15.10	0.00	15.10	80.0	12.08
08/31/2018	QGW001166	KOONDEL	CALL 100 APPLE INC COM EXP 12-21-18 S		-12,060.00	14.25	0.00	14.25	80.0	11.40
08/31/2018	QGW001166	KOONDEL	CALL 100 APPLE INC COM EXP 12-21-18 B		8,560.00	14.25	0.00	14.25	80.0	11.40
08/31/2018	QGW001166	KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		154,380.00	30.40	0.00	30.40	80.0	24.32
08/31/2018	QGW001166	KOONDEL	PUT 100 CATERPILLAR INC COM EXP 09- B		963.00	12.55	0.00	12.55	80.0	10.04
08/31/2018	QGW001166	KOONDEL	PUT 100 CATERPILLAR INC COM EXP 09- S		-1,275.00	12.55	0.00	12.55	80.0	10.04
08/31/2018	QGW001166	KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-492.00	11.70	0.00	11.70	80.0	9.36
08/31/2018	QGW001166	KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-388.00	13.40	0.00	13.40	80.0	10.72
08/31/2018	QGW001166	KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-561.00	12.55	0.00	12.55	80.0	10.04
09/04/2018	QGW001166	KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		137,365.92	25.30	0.00	25.30	80.0	20.24
09/04/2018	QGW001166	KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-120,830.04	25.30	0.00	25.30	80.0	20.24
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 S		-1,330.00	27.00	0.00	27.00	80.0	21.60
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 S		-4,210.00	52.50	0.00	52.50	80.0	42.00
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 B		4,740.00	52.50	0.00	52.50	80.0	42.00
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 S		-1,630.00	18.50	0.00	18.50	80.0	14.80
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 B		1,430.00	27.00	0.00	27.00	80.0	21.60
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 B		1,740.00	18.50	0.00	18.50	80.0	14.80
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 S		-17,700.00	52.50	0.00	52.50	80.0	42.00
09/04/2018	QGW001166	KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		18,675.00	52.50	0.00	52.50	80.0	42.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
09/04/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		-18,675.00	-52.50	0.00	-52.50	80.0	-42.00 C
09/04/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		18,675.00	52.50	0.00	52.50	80.0	42.00
09/05/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-21-1 B		153,914.00	16.80	0.00	16.80	80.0	13.44
09/05/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-21-1 S		-148,885.04	16.80	0.00	16.80	80.0	13.44
09/05/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 10-1 B		10,100.00	23.60	0.00	23.60	80.0	18.88
09/05/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 10-1 S		-6,548.00	23.60	0.00	23.60	80.0	18.88
09/05/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 10-1 S		-4,044.00	23.60	0.00	23.60	80.0	18.88
09/05/2018	QGW001166 KOONDEL	CALL 100 FACEBOOK INC CL A EXP 10-1 B		2,428.00	23.60	0.00	23.60	80.0	18.88
09/05/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-488.00	13.40	0.00	13.40	80.0	10.72
09/05/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-264.00	11.70	0.00	11.70	80.0	9.36
09/05/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-266.00	11.70	0.00	11.70	80.0	9.36
09/05/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-276.00	11.70	0.00	11.70	80.0	9.36
09/05/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-262.00	11.70	0.00	11.70	80.0	9.36
09/05/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-610.00	11.70	0.00	11.70	80.0	9.36
09/06/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		150,130.98	17.65	0.00	17.65	80.0	14.12
09/06/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-137,602.98	17.65	0.00	17.65	80.0	14.12
09/07/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 B		56,033.98	49.10	0.00	49.10	80.0	39.28
09/07/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-40,117.06	49.10	0.00	49.10	80.0	39.28
09/07/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-308.00	11.70	0.00	11.70	80.0	9.36
09/07/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-808.00	13.40	0.00	13.40	80.0	10.72
09/07/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-434.00	11.70	0.00	11.70	80.0	9.36
09/07/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-444.00	11.70	0.00	11.70	80.0	9.36
09/07/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-14-18 S		-280.00	11.70	0.00	11.70	80.0	9.36
09/07/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		684.00	13.40	0.00	13.40	80.0	10.72
09/07/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-2,188.00	13.40	0.00	13.40	80.0	10.72
09/07/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR B		424.00	13.40	0.00	13.40	80.0	10.72
09/07/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-632.00	13.40	0.00	13.40	80.0	10.72
09/07/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		632.00	-13.40	0.00	-13.40	80.0	-10.72 C
09/07/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-632.00	13.40	0.00	13.40	80.0	10.72
09/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-167,456.96	19.35	0.00	19.35	80.0	15.48
09/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-203,317.94	21.90	0.00	21.90	80.0	17.52
09/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		349,750.00	31.25	0.00	31.25	80.0	25.00
09/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 10-1 S		-6,614.00	14.25	0.00	14.25	80.0	11.40
09/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 10-1 B		6,525.00	14.25	0.00	14.25	80.0	11.40
09/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 10-1 S		-5,920.00	14.25	0.00	14.25	80.0	11.40
09/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 10-1 B		5,456.00	14.25	0.00	14.25	80.0	11.40
09/10/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 B		25,581.92	32.10	0.00	32.10	80.0	25.68
09/10/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-17,171.96	32.10	0.00	32.10	80.0	25.68
09/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 B		166,581.00	18.50	0.00	18.50	80.0	14.80
09/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-149,921.00	18.50	0.00	18.50	80.0	14.80

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/10/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		10.00	18.50	0.00	18.50	80.0	14.80
09/10/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-210.00	14.25	0.00	14.25	80.0	11.40
09/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 S		-9,350.00	52.50	0.00	52.50	80.0	42.00
09/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 B		9,950.00	52.50	0.00	52.50	80.0	42.00
09/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 B		-9,950.00	-52.50	0.00	-52.50	80.0	-42.00 C
09/10/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-18-19 B		9,950.00	52.50	0.00	52.50	80.0	42.00
09/10/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-310.00	18.50	0.00	18.50	80.0	14.80
09/10/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		565.00	18.50	0.00	18.50	80.0	14.80
09/10/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		1,104.00	12.55	0.00	12.55	80.0	10.04
09/10/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-1,935.00	12.55	0.00	12.55	80.0	10.04
09/12/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-60,815.01	12.55	0.00	12.55	80.0	10.04
09/12/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		50,607.00	12.55	0.00	12.55	80.0	10.04
09/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		254,994.90	35.50	0.00	35.50	80.0	28.40
09/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-225,594.00	35.50	0.00	35.50	80.0	28.40
09/12/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-2,968.00	100.10	0.00	100.10	80.0	80.08
09/12/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		2,607.07	55.05	0.00	55.05	80.0	44.04
09/13/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-20,359.00	10.85	0.00	10.85	80.0	8.68
09/13/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		16,892.00	10.85	0.00	10.85	80.0	8.68
09/14/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-21-18 S		-382.00	11.70	0.00	11.70	80.0	9.36
09/14/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-21-18 S		-596.00	13.40	0.00	13.40	80.0	10.72
09/14/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-21-18 S		-346.00	11.70	0.00	11.70	80.0	9.36
09/14/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-21-18 S		-426.00	11.70	0.00	11.70	80.0	9.36
09/14/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-21-18 S		-366.00	11.70	0.00	11.70	80.0	9.36
09/14/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 09-21-18 S		-406.00	11.70	0.00	11.70	80.0	9.36
09/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 B		155,864.00	18.50	0.00	18.50	80.0	14.80
09/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-142,626.00	18.50	0.00	18.50	80.0	14.80
09/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 B		196,656.00	18.50	0.00	18.50	80.0	14.80
09/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-182,674.00	18.50	0.00	18.50	80.0	14.80
09/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 09-28-18@ S		-168.00	15.10	0.00	15.10	80.0	12.08
09/17/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 09-28-18@ S		-170.00	14.25	0.00	14.25	80.0	11.40
09/18/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 S		-39,369.00	15.10	0.00	15.10	80.0	12.08
09/18/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 B		45,939.00	15.10	0.00	15.10	80.0	12.08
09/18/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 B		25,368.00	12.55	0.00	12.55	80.0	10.04
09/18/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 11-16-18 S		-22,374.00	12.55	0.00	12.55	80.0	10.04
09/18/2018	QGW001166 KOONDEL	PUT 100 CATERPILLAR INC COM EXP 09- B		35.01	12.55	0.00	12.55	80.0	10.04
09/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-33,354.60	244.60	0.00	244.60	80.0	195.68
09/19/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		32,757.40	156.20	0.00	156.20	80.0	124.96
09/19/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		120.00	13.40	0.00	13.40	80.0	10.72
09/19/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-252.00	13.40	0.00	13.40	80.0	10.72
09/19/2018	QGW001166 KOONDEL	PUT 100 HAIN CELESTIAL GROUP INC CO S		-160.00	13.40	0.00	13.40	80.0	10.72

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-33,160.00	11.70	0.00	11.70	80.0	9.36
09/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		26,840.00	11.70	0.00	11.70	80.0	9.36
09/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 09-28-1 S		-2,760.00	12.55	0.00	12.55	80.0	10.04
09/20/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 S		-72,480.00	95.00	0.00	95.00	80.0	76.00
09/20/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 01-17-20 B		76,280.00	95.00	0.00	95.00	80.0	76.00
09/21/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-16,878.00	10.85	0.00	10.85	80.0	8.68
09/21/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		13,646.00	10.85	0.00	10.85	80.0	8.68
09/21/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-4,160.00	78.00	0.00	78.00	80.0	62.40
09/21/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		10,327.80	61.00	0.00	61.00	80.0	48.80
09/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-05-18 S		-630.00	11.70	0.00	11.70	80.0	9.36
09/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-05-18 S		-750.00	11.70	0.00	11.70	80.0	9.36
09/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 10-05-18 S		-880.00	11.70	0.00	11.70	80.0	9.36
09/24/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 10-26-18@3 S		-286.00	19.35	0.00	19.35	80.0	15.48
09/25/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-169,019.00	18.50	0.00	18.50	80.0	14.80
09/25/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		135,894.00	18.50	0.00	18.50	80.0	14.80
09/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-248,908.94	21.90	0.00	21.90	80.0	17.52
09/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		201,129.04	21.90	0.00	21.90	80.0	17.52
09/26/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-21-18 S		-11,098.02	15.10	0.00	15.10	80.0	12.08
09/26/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-21-18 B		7,171.98	15.10	0.00	15.10	80.0	12.08
09/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-306.00	17.65	0.00	17.65	80.0	14.12
09/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		1,566.00	17.65	0.00	17.65	80.0	14.12
09/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-297.00	17.65	0.00	17.65	80.0	14.12
09/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		1,566.00	17.65	0.00	17.65	80.0	14.12
09/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 S		-117,739.98	15.10	0.00	15.10	80.0	12.08
09/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 11-16-1 B		100,248.00	15.10	0.00	15.10	80.0	12.08
09/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-15-1 S		-22,677.00	10.85	0.00	10.85	80.0	8.68
09/27/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-21-18 S		-8,055.00	13.40	0.00	13.40	80.0	10.72
09/27/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-21-18 B		5,327.00	13.40	0.00	13.40	80.0	10.72
09/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-21-1 B		18,727.00	10.85	0.00	10.85	80.0	8.68
09/27/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		3,237.96	28.70	0.00	28.70	80.0	22.96
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 B		6,102.00	15.10	0.00	15.10	80.0	12.08
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-4,104.00	15.10	0.00	15.10	80.0	12.08
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 B		14,477.04	20.20	0.00	20.20	80.0	16.16
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-14,382.00	20.20	0.00	20.20	80.0	16.16
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 B		10,212.00	20.20	0.00	20.20	80.0	16.16
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-5,094.00	15.10	0.00	15.10	80.0	12.08
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-4,315.02	15.10	0.00	15.10	80.0	12.08
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		4,315.02	-15.10	0.00	-15.10	80.0	-12.08 C
09/10/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-4,315.02	15.10	0.00	15.10	80.0	12.08
09/11/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 S		-7,248.00	15.10	0.00	15.10	80.0	12.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/11/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 02-15-19 B		4,956.00	15.10	0.00	15.10	80.0	12.08
09/11/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-6,288.00	15.10	0.00	15.10	80.0	12.08
09/11/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 B		5,316.00	15.10	0.00	15.10	80.0	12.08
09/26/2018	QGW001174 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-297.00	17.65	0.00	17.65	80.0	14.12
09/26/2018	QGW001174 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		1,566.00	17.65	0.00	17.65	80.0	14.12
09/26/2018	QGW001174 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		297.00	-17.65	0.00	-17.65	80.0	-14.12 C
09/26/2018	QGW001174 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		-1,566.00	-17.65	0.00	-17.65	80.0	-14.12 C
09/24/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 10-26-18@3 S		-270.00	18.50	0.00	18.50	80.0	14.80
09/04/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-07-18@3 B		5,040.00	100.00	0.00	100.00	80.0	80.00
09/07/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-07-18@3 B		1,800.00	71.42	0.00	71.42	80.0	57.14
09/07/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-07-18@3 B		620.00	28.58	0.00	28.58	80.0	22.86
09/07/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-14-18@3 S		-1,610.00	100.00	0.00	100.00	80.0	80.00
09/07/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-14-18@3 S		1,610.00	-100.00	0.00	-100.00	80.0	-80.00 C
09/07/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-14-18@3 S		-1,610.00	100.00	0.00	100.00	80.0	80.00
09/11/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-14-18@3 B		280.00	50.00	0.00	50.00	80.0	40.00
09/12/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-19-18@3 S		-3,100.00	100.00	0.00	100.00	80.0	80.00
09/12/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-19-18@3 S		-3,200.00	100.00	0.00	100.00	80.0	80.00
09/14/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-19-18@3 B		5,200.00	200.00	0.00	200.00	80.0	160.00
09/18/2018	QGW004905 CAPRARO, DAVID	CALL 100 AT&T INC COM EXP 09-21-18@ S		-110.00	40.00	0.00	40.00	80.0	32.00
09/19/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-28-18@3 S		-600.00	50.00	0.00	50.00	80.0	40.00
09/19/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-28-18@3 S		-900.00	50.00	0.00	50.00	80.0	40.00
09/19/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-28-18@3 S		-700.00	50.00	0.00	50.00	80.0	40.00
09/25/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 09-28-18@3 B		316.00	50.00	0.00	50.00	80.0	40.00
09/25/2018	QGW004905 CAPRARO, DAVID	PUT 100 GENERAL ELECTRIC CO COM EXP S		-350.00	50.00	0.00	50.00	80.0	40.00
09/25/2018	QGW004905 CAPRARO, DAVID	PUT 100 GENERAL ELECTRIC CO COM EXP S		-4,758.00	75.00	0.00	75.00	80.0	60.00
09/26/2018	QGW004905 CAPRARO, DAVID	PUT 100 GENERAL ELECTRIC CO COM EXP B		8,700.00	300.00	0.00	300.00	80.0	240.00
09/27/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-05-18@3 S		-1,600.00	100.00	0.00	100.00	80.0	80.00
09/27/2018	QGW004905 CAPRARO, DAVID	PUT 100 AT&T INC COM EXP 10-05-18@3 S		-2,000.00	80.00	0.00	80.00	80.0	64.00
09/27/2018	QGW004905 CAPRARO, DAVID	PUT 100 GENERAL ELECTRIC CO COM EXP B		50.00	50.00	0.00	50.00	80.0	40.00
08/31/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 S		-2,700.00	30.00	0.00	30.00	80.0	24.00
08/31/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 09-21-18 B		800.00	30.00	0.00	30.00	80.0	24.00
09/04/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 B		8,700.00	30.00	0.00	30.00	80.0	24.00
09/04/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 S		-22,500.00	30.00	0.00	30.00	80.0	24.00
09/05/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 B		21,000.00	30.00	0.00	30.00	80.0	24.00
09/05/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 S		-27,750.00	30.00	0.00	30.00	80.0	24.00
09/11/2018	QGW005985 ROTHBEIN, SYLVIA	CALL 100 MOMO INC ADR ISIN#US60879B S		-2,384.00	30.00	0.00	30.00	80.0	24.00
09/14/2018	QGW005985 ROTHBEIN, SYLVIA	CALL 100 TILRAY INC COM EXP 09-28-1 B		2,540.00	30.00	0.00	30.00	80.0	24.00
09/14/2018	QGW005985 ROTHBEIN, SYLVIA	CALL 100 TILRAY INC COM EXP 10-12-1 S		-1,600.00	30.00	0.00	30.00	80.0	24.00
09/14/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 09-28-18 S		-4,200.00	30.00	0.00	30.00	80.0	24.00
09/17/2018	QGW005985 ROTHBEIN, SYLVIA	CALL 100 TILRAY INC COM EXP 09-28-1 S		-2,800.00	30.00	0.00	30.00	80.0	24.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/17/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 01-18-1 B	1,980.00	30.00	0.00	30.00	80.0	24.00
09/17/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 06-21-1 S	-3,820.00	30.00	0.00	30.00	80.0	24.00
09/18/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 09-28-18 B	1,050.00	30.00	0.00	30.00	80.0	24.00
09/19/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 09-28-18 B	500.00	30.00	0.00	30.00	80.0	24.00
09/27/2018	QGW005985	ROTHBEIN, SYLVIA	CALL 100 NVIDIA CORP COM EXP 06-21- B	1,620.00	30.00	0.00	30.00	80.0	24.00
09/27/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 B	6,750.00	30.00	0.00	30.00	80.0	24.00
09/27/2018	QGW005985	ROTHBEIN, SYLVIA	PUT 100 WORKDAY INC CL A EXP 12-21- S	-1,953.99	30.00	0.00	30.00	80.0	24.00
09/05/2018	QGW006504	COLE, ALAN	CALL 100 NETFLIX INC COM EXP 09-21- S	-5,508.00	35.00	0.00	35.00	80.0	28.00
09/05/2018	QGW006504	COLE, ALAN	PUT 100 NETFLIX INC COM EXP 09-21-1 S	-5,992.00	35.00	0.00	35.00	80.0	28.00
09/12/2018	QGW006504	COLE, ALAN	CALL 100 NETFLIX INC COM EXP 09-21- B	9,433.00	35.00	0.00	35.00	80.0	28.00
09/12/2018	QGW006504	COLE, ALAN	PUT 100 NETFLIX INC COM EXP 09-21-1 B	1,567.00	35.00	0.00	35.00	80.0	28.00
08/31/2018	QGW009730	SHARTSIS, JAY	CALL 100 ULTA BEAUTY INC COM EXP 09 S	-1,200.00	20.00	0.00	20.00	80.0	16.00
08/31/2018	QGW009730	SHARTSIS, JAY	CALL 100 ULTA BEAUTY INC COM EXP 09 B	250.00	10.00	0.00	10.00	80.0	8.00
09/04/2018	QGW009730	SHARTSIS, JAY	PUT 100 TURTLE BEACH CORP COM NEW E S	-700.00	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW009730	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN B	6,130.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW009730	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN S	-8,330.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW009730	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY J S	-4,080.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-1,700.00	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI B	1,550.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-1,155.00	20.00	0.00	20.00	80.0	16.00
09/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 AMARIN CORP PLC SPONS ADR S	-610.00	20.00	0.00	20.00	80.0	16.00
09/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-400.00	10.00	0.00	10.00	80.0	8.00
09/27/2018	QGW009730	SHARTSIS, JAY	CALL 100 CANOPY GROWTH CORP COM ISI S	-480.00	10.00	0.00	10.00	80.0	8.00
09/27/2018	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-1,271.00	20.00	0.00	20.00	80.0	16.00
09/27/2018	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	430.00	10.00	0.00	10.00	80.0	8.00
09/25/2018	QGW012767	FORST, DANIEL	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-1,260.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW014391	DARWISH, MENACHEM	CALL 100 ALIBABA GROUP HLDG LTD SPO B	56,200.00	35.00	0.00	35.00	80.0	28.00
09/26/2018	QGW014391	DARWISH, MENACHEM	CALL 100 BOX INC CL A EXP 10-19-18@ B	8,708.00	35.00	0.00	35.00	80.0	28.00
09/26/2018	QGW014391	DARWISH, MENACHEM	CALL 100 FORD MOTOR CO DEL COM PAR B	5,100.00	35.00	0.00	35.00	80.0	28.00
09/26/2018	QGW014391	DARWISH, MENACHEM	PUT 100 BOX INC CL A EXP 10-19-18@2 S	-1,958.00	35.00	0.00	35.00	80.0	28.00
09/12/2018	QGW020513	FORST, BARRY	CALL 100 GRAFTECH INTL LTD COM EXP S	-550.00	20.00	0.00	20.00	80.0	16.00
09/12/2018	QGW020513	FORST, BARRY	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-2,700.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW020513	FORST, BARRY	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-2,340.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW020513	FORST, BARRY	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-2,600.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW020513	FORST, BARRY	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-1,250.00	20.00	0.00	20.00	80.0	16.00
09/12/2018	QGW029019	SHARTSIS, JAY	PUT 100 MICRON TECHNOLOGY INC COM E B	2,550.00	10.00	0.00	10.00	80.0	8.00
09/13/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-19-1 S	-1,600.00	10.00	0.00	10.00	80.0	8.00
09/18/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-19-1 B	2,100.00	10.00	0.00	10.00	80.0	8.00
09/20/2018	QGW029019	SHARTSIS, JAY	CALL 100 CRONOS GROUP INC COM ISIN# S	-4,800.00	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW029019	SHARTSIS, JAY	CALL 100 CRONOS GROUP INC COM ISIN# B	2,700.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/20/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-19-1 S	-9,700.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW029019	SHARTSIS, JAY	CALL 100 CRONOS GROUP INC COM ISIN# B	1,036.00	10.00	0.00	10.00	80.0	8.00
09/21/2018	QGW029019	SHARTSIS, JAY	CALL 100 NEW AGE BEVERAGES CORP COM S	-520.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-19-1 S	-800.00	10.00	0.00	10.00	80.0	8.00
09/21/2018	QGW029019	SHARTSIS, JAY	PUT 100 TILRAY INC COM EXP 10-19-18 S	-1,900.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW029019	SHARTSIS, JAY	CALL 100 TILRAY INC COM EXP 10-26-1 S	-851.00	20.00	0.00	20.00	80.0	16.00
08/31/2018	QGW030629	BEGLEY, MICHAEL	PUT 100 AMAZON COM INC EXP 08-31-18 B	500.00	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 09-07-1 B	1,800.00	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 09-07-1 S	-2,300.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW030629	BEGLEY, MICHAEL	PUT 100 TILRAY INC COM EXP 09-21-18 B	325.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 CANOPY GROWTH CORP COM ISI B	1,800.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW030629	BEGLEY, MICHAEL	PUT 100 TILRAY INC COM EXP 09-21-18 S	-410.00	20.00	0.00	20.00	80.0	16.00
09/11/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 DELTA AIRLINES INC COM NEW S	-417.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 1 B	30.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 SIRIUS XM HLDGS INC COM EX B	650.00	20.00	0.00	20.00	80.0	16.00
08/31/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 AMAZON COM INC EXP 08-31-1 B	11,671.00	20.00	0.00	20.00	80.0	16.00
09/04/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 S	-2,105.00	50.00	0.00	50.00	80.0	40.00
09/04/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 S	2,105.00	-50.00	0.00	-50.00	80.0	-40.00 C
09/04/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 S	-2,105.00	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 MIMEDX GROUP INC COM EXP 09 S	-6,036.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 1 B	9.00	50.00	0.00	50.00	80.0	40.00
09/17/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 1 B	-9.00	-50.00	0.00	-50.00	80.0	-40.00 C
09/17/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 1 B	9.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 MIMEDX GROUP INC COM EXP 09 B	5,365.00	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 MIMEDX GROUP INC COM EXP 09 B	575.00	50.00	0.00	50.00	80.0	40.00
09/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 S	-7,616.00	80.73	0.00	80.73	80.0	64.58
09/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 B	7,450.00	80.73	0.00	80.73	80.0	64.58
09/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 S	7,616.00	-80.73	0.00	-80.73	80.0	-64.58 C
09/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 S	-7,616.00	20.00	0.00	20.00	80.0	16.00
09/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 B	-7,450.00	-80.73	0.00	-80.73	80.0	-64.58 C
09/19/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 B	7,450.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 09-21-18 B	40.00	20.00	0.00	20.00	80.0	16.00
09/21/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 S	-1,130.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 1 B	48.00	20.00	0.00	20.00	80.0	16.00
09/26/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 S	-1,115.00	20.00	0.00	20.00	80.0	16.00
09/26/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 10-19-18 B	3,050.00	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW030744	RUDOLPH, CAROL	CALL 100 MOMO INC ADR ISIN#US60879B B	701.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-6,150.00	40.00	0.00	40.00	80.0	32.00
09/06/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	4,785.00	40.00	0.00	40.00	80.0	32.00
09/07/2018	QGW031551	HEALY, TIMOTHY	PUT 100 TESLA INC EXP 09-21-18 @200 S	-2,020.00	40.00	0.00	40.00	80.0	32.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/21/2018	QGW031551	HEALY, TIMOTHY	CALL 100 COMCAST CORP CL A EXP 10-1 B	950.00	100.00	0.00	100.00	80.0	80.00
09/05/2018	QGW034019	BEGLEY, HOLLY	PUT 100 TESLA INC EXP 09-07-18 @300 S	-1,850.00	20.00	0.00	20.00	80.0	16.00
09/13/2018	QGW034019	BEGLEY, HOLLY	PUT 100 APPLE INC COM EXP 09-14-18@ B	1,240.00	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW034019	BEGLEY, HOLLY	PUT 100 APPLE INC COM EXP 09-14-18@ S	-1,815.00	20.00	0.00	20.00	80.0	16.00
09/27/2018	QGW034019	BEGLEY, HOLLY	CALL 100 CANOPY GROWTH CORP COM ISI B	1,200.00	20.00	0.00	20.00	80.0	16.00
09/25/2018	QGW035099	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 11- S	-405.00	20.00	0.00	20.00	80.0	16.00
08/31/2018	QGW036436	BELZ, KATHLEEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-1,000.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW036436	BELZ, KATHLEEN	CALL 100 DISNEY WALT CO DISNEY COM S	-195.00	20.00	0.00	20.00	80.0	16.00
09/07/2018	QGW036436	BELZ, KATHLEEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-1,000.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW036436	BELZ, KATHLEEN	CALL 100 DISNEY WALT CO DISNEY COM S	-225.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW036436	BELZ, KATHLEEN	CALL 100 NATIONAL OILWELL VARCO INC S	-375.00	20.00	0.00	20.00	80.0	16.00
09/11/2018	QGW036436	BELZ, KATHLEEN	CALL 100 FACEBOOK INC CL A EXP 09-2 S	-256.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW036436	BELZ, KATHLEEN	CALL 100 DISNEY WALT CO DISNEY COM S	-420.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW036436	BELZ, KATHLEEN	CALL 100 NATIONAL OILWELL VARCO INC S	-1,125.00	20.00	0.00	20.00	80.0	16.00
09/20/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 01- S	-825.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW036436	BELZ, KATHLEEN	CALL 100 FACEBOOK INC CL A EXP 09-2 S	-264.00	20.00	0.00	20.00	80.0	16.00
09/27/2018	QGW036436	BELZ, KATHLEEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-800.00	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW036451	BELZ, RICHARD	PUT 100 COHERUS BIOSCIENCES INC COM S	-2,700.00	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW036451	BELZ, RICHARD	PUT 100 TESLA INC EXP 09-07-18 @275 S	-1,140.00	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW036451	BELZ, RICHARD	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,380.00	20.00	0.00	20.00	80.0	16.00
09/06/2018	QGW036451	BELZ, RICHARD	PUT 100 OPKO HEALTH INC COM EXP 09- S	-750.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW036451	BELZ, RICHARD	CALL 100 TESLA INC EXP 09-14-18 @29 S	-1,240.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW036451	BELZ, RICHARD	CALL 100 TESLA INC EXP 09-14-18 @29 S	1,240.00	-20.00	0.00	-20.00	80.0	-16.00 C
09/10/2018	QGW036451	BELZ, RICHARD	CALL 100 TESLA INC EXP 09-14-18 @29 S	-1,240.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 11- S	-1,500.00	20.00	0.00	20.00	80.0	16.00
09/24/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 11- S	1,500.00	-20.00	0.00	-20.00	80.0	-16.00 C
09/24/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 11- S	-1,500.00	20.00	0.00	20.00	80.0	16.00
09/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN B	3,100.00	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN B	3,030.00	10.00	0.00	10.00	80.0	8.00
09/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN B	-3,100.00	-10.00	0.00	-10.00	80.0	-8.00 C
09/05/2018	QGW036659	SHARTSIS, JAY	PUT 100 CANOPY GROWTH CORP COM ISIN B	-3,030.00	-10.00	0.00	-10.00	80.0	-8.00 C
09/06/2018	QGW036659	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G B	6,050.00	20.00	0.00	20.00	80.0	16.00
09/10/2018	QGW036659	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G S	-4,990.00	20.00	0.00	20.00	80.0	16.00
09/14/2018	QGW036659	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP B	5,397.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW036659	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP S	-4,750.00	20.00	0.00	20.00	80.0	16.00
09/17/2018	QGW036659	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP B	4,375.00	20.00	0.00	20.00	80.0	16.00
09/18/2018	QGW036659	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP S	-3,364.90	20.00	0.00	20.00	80.0	16.00
09/26/2018	QGW036659	SHARTSIS, JAY	CALL 100 SPDR GOLD TR GOLD SHS EXP B	3,800.00	20.00	0.00	20.00	80.0	16.00
09/04/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-07-18@3 B	12,240.00	170.00	0.00	170.00	80.0	136.00
09/05/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-07-18@3 B	1,080.00	50.00	0.00	50.00	80.0	40.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/07/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-07-18@3 B	620.00	20.00	0.00	20.00	80.0 16.00
09/07/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-07-18@3 B	4,680.00	130.00	0.00	130.00	80.0 104.00
09/07/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-14-18@3 S	-2,990.00	130.00	0.00	130.00	80.0 104.00
09/07/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-14-18@3 S	2,990.00	-130.00	0.00	-130.00	80.0 -104.00 C
09/07/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-14-18@3 S	-2,990.00	130.00	0.00	130.00	80.0 104.00
09/11/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-14-18@3 B	520.00	50.00	0.00	50.00	80.0 40.00
09/12/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 10-19-18@3 S	-1,550.00	50.00	0.00	50.00	80.0 40.00
09/12/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 10-19-18@3 S	-1,600.00	50.00	0.00	50.00	80.0 40.00
09/14/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 10-19-18@3 B	2,600.00	100.00	0.00	100.00	80.0 80.00
09/19/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-28-18@3 S	-1,200.00	50.00	0.00	50.00	80.0 40.00
09/19/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-28-18@3 S	-900.00	50.00	0.00	50.00	80.0 40.00
09/19/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-28-18@3 S	-700.00	50.00	0.00	50.00	80.0 40.00
09/25/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 09-28-18@3 B	316.00	50.00	0.00	50.00	80.0 40.00
09/25/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 GENERAL ELECTRIC CO COM EXP S	-1,050.00	50.00	0.00	50.00	80.0 40.00
09/25/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 GENERAL ELECTRIC CO COM EXP S	-6,344.00	100.00	0.00	100.00	80.0 80.00
09/26/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 GENERAL ELECTRIC CO COM EXP B	11,600.00	400.00	0.00	400.00	80.0 320.00
09/27/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 10-05-18@3 S	-1,700.00	100.00	0.00	100.00	80.0 80.00
09/27/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 AT&T INC COM EXP 10-05-18@3 S	-2,000.00	80.00	0.00	80.00	80.0 64.00
09/27/2018	QGW039075	CAPRARO	IRREVOCABLE	PUT 100 GENERAL ELECTRIC CO COM EXP B	150.00	50.00	0.00	50.00	80.0 40.00
09/13/2018	QGW039489	GLENNON, COLIN		PUT 100 ADVANCED MICRO DEVICES INC B	2,010.00	20.00	0.00	20.00	80.0 16.00
09/13/2018	QGW039489	GLENNON, COLIN		PUT 100 ADVANCED MICRO DEVICES INC S	-2,850.00	20.00	0.00	20.00	80.0 16.00
				* OPTIONS SUBTOTAL *	\$85,035.64	\$9,418.25	\$0.00	\$9,418.25	\$7,534.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018				FEE COUNT - 30	0.00	408.66	0.00	408.66	80.0 326.94
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$408.66	\$0.00	\$408.66	\$326.94
				*** BROKER TRANSACTIONS TOTAL ***	\$-1,336,502.83	\$29,465.47	\$0.00	\$29,465.47	\$23,572.40
<u>ADJUSTMENTS</u>									
09/30/2018				MISCELLANEOUS: AUG W/O 75500181	0.00	0.00	0.00	0.00	-2.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-2.00

BROKER COMMISSION TOTALS

Broker Transactions	\$23,572.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-36.83
SIPC Assessment	\$-73.66

Check Amount	\$23,459.91
Year-to-date Earnings	\$188,394.49