

COMMISSION STATEMENT

March 15, 2018

RIX SMITH-D063

BROKER #: D063

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/01/2018	00059313078	PATTERSON, LESLIE MFT THE INVESTMENT COMPANY OF	B	0.00	25.66	0.00	25.66	48.0	12.32
03/01/2018	00060848331	TX/UGMA MFT AMERICAN MUTUAL FUND-A	B	0.00	1.26	0.00	1.26	48.0	0.60
03/01/2018	00061789455	DURST, CAREY MFT AMERICAN MUTUAL FUND-A	B	0.00	0.70	0.00	0.70	48.0	0.34
03/01/2018	00063091880	PATTERSON, LESLIE MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.87	0.00	0.87	48.0	0.42
03/01/2018	00063091881	PATTERSON, THOMAS MFT SMALLCAP WORLD FUND-A	B	0.00	0.91	0.00	0.91	48.0	0.44
03/01/2018	00063091882	PATTERSON, LESLIE MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.87	0.00	2.87	48.0	1.38
03/01/2018	00063817975	MOODY, JENNIFER MFT AMERICAN MUTUAL FUND-A	B	0.00	0.14	0.00	0.14	48.0	0.07
03/01/2018	00085601626	SMITH, CORINNE MFT INTERMEDIATE BOND FUND OF	B	0.00	18.90	0.00	18.90	48.0	9.07
03/01/2018	00085601626	SMITH, CORINNE MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	92.24	0.00	92.24	48.0	44.28
03/01/2018	00086504672	SUSAN K LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	48.0	0.40
03/01/2018	00086504691	SUSAN K LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	48.0	0.40
03/01/2018	00086504702	SUSAN K LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	48.0	0.40
03/01/2018	00086504711	SUSAN K LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	48.0	0.40
03/01/2018	00086504717	PERRY J LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.76	0.00	0.76	48.0	0.36
03/01/2018	00086504726	PERRY J LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.14	0.00	1.14	48.0	0.55
03/01/2018	00086504733	PERRY J LEOPOLD MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.76	0.00	0.76	48.0	0.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$149.53	\$0.00	\$149.53		\$71.79
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$149.53	\$0.00	\$149.53		\$71.79

SPLIT TRANSACTIONS

BROKER: RIX/RANDY SMITH/SMITH-D081 #80

03/07/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,867.60	48.67	0.00	48.67		23.36
03/01/2018	00058301600	SMITH, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.93	0.00	9.93		4.77
03/01/2018	00059032323	SMITH, LAURA	MFT AMERICAN BALANCED FUND-A	B	0.00	83.88	0.00	83.88		40.26
03/01/2018	00059032323	SMITH, LAURA	MFT AMERICAN MUTUAL FUND-A	B	0.00	44.72	0.00	44.72		21.47
03/01/2018	00059032323	SMITH, LAURA	MFT THE INVESTMENT COMPANY OF	B	0.00	43.56	0.00	43.56		20.91
03/01/2018	00064630494	WARREN, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	8.34	0.00	8.34		4.00
03/01/2018	00064630494	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.80	0.00	8.80		4.22
03/01/2018	00064630494	WARREN, JANET	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.53	0.00	9.53		4.57

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03/01/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN BALANCED FUND-A	B	0.00	1.38	0.00	1.38		0.66
03/01/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.23	0.00	3.23		1.55
03/01/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.75	0.00	6.75		3.24
03/01/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	5.87	0.00	5.87		2.82
03/01/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.42	0.00	3.42		1.64
03/01/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.24	0.00	6.24		3.00
03/01/2018 00064669117	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	1.38	0.00	1.38		0.66
03/01/2018 00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.23	0.00	3.23		1.55
03/01/2018 00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.75	0.00	6.75		3.24
03/01/2018 00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	5.87	0.00	5.87		2.82
03/01/2018 00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.42	0.00	3.42		1.64
03/01/2018 00064669117	CARTER, CRAIG	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.24	0.00	6.24		3.00
03/01/2018 00073969963	SMITH, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	164.15	0.00	164.15		78.79
03/01/2018 00073969963	SMITH, RONALD	MFT AMERICAN MUTUAL FUND-A	B	0.00	51.46	0.00	51.46		24.70
03/01/2018 00073969963	SMITH, RONALD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.47	0.00	7.47		3.59
03/01/2018 00073969963	SMITH, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.93	0.00	5.93		2.85
03/01/2018 00075475697	WEBER, ERICA	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.32	0.00	4.32		2.07
03/01/2018 00075475697	WEBER, ERICA	MFT AMERICAN MUTUAL FUND-529A	B	0.00	3.65	0.00	3.65		1.75
03/01/2018 00075691742	KIRKPATRICK,	MFT AMERICAN BALANCED FUND-529A	B	0.00	3.07	0.00	3.07		1.47
03/01/2018 04000703953	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	6.23	0.00	6.23		2.99
03/01/2018 04000703953	CARTER, CRAIG	MFT AMERICAN FUNDS 2010 TARGET	B	0.00	3.45	0.00	3.45		1.66
03/01/2018 04000703953	CARTER, CRAIG	MFT RTMT INCOME PORTFOLIO	B	0.00	3.24	0.00	3.24		1.56
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$4,867.60	\$564.18	\$0.00	\$564.18		\$270.81
*** SPLIT TRANSACTIONS TOTAL ***				\$4,867.60	\$564.18	\$0.00	\$564.18		\$270.81

BROKER COMMISSION TOTALS

Broker Transactions	\$71.79
Split Transactions	\$270.81
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$342.60
Year-to-date Commissions	\$6,611.81