

COMMISSION STATEMENT

November 30, 2018

 FRANK WAGNER-879660
 605 Chamberlain Street

 BROKER #:
 BRANCH: D12

Corpus Christi, TX 78404

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/16/2018 368271956	RATHKE, CALVIN	S ISHARES MSCI INDIA INDEX FUND	S	-3,194.52	15.00	15.00	0.00	80.0	0.00
11/16/2018 368271956	RATHKE, CALVIN	S VANGUARD MID-CAP VALUE INDEX	S	-4,261.69	15.00	15.00	0.00	80.0	0.00
07/20/2018 645836913	ROWLING, DAVID	S INVESCO TRUST FOR INVESTMENT	B	-62,401.95	-300.00	-15.00	-285.00	80.0	-228.00
07/20/2018 645846940	ROWLING, DAVID	S INVESCO TRUST FOR INVESTMENT	B	62,401.95	300.00	15.00	285.00	80.0	228.00
11/16/2018 645846940	ROWLING, DAVID	S ISHARES MSCI INDIA INDEX FUND	S	-25,653.30	48.00	15.00	33.00	80.0	26.40
11/16/2018 645846940	ROWLING, DAVID	S ISHARES MSCI INDIA INDEX FUND	S	-25,661.30	48.00	15.00	33.00	80.0	26.40
11/16/2018 645846940	ROWLING, DAVID	S VANGUARD MID-CAP VALUE INDEX	S	-48,127.57	27.00	15.00	12.00	80.0	9.60
11/16/2018 677227782	SCHMIDT, RONALD	S ISHARES MSCI INDIA INDEX FUND	S	-785.29	15.00	15.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$-107,683.67	\$168.00	\$90.00	\$78.00		\$62.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc879660	VARIOUS,	MFT AMCAP FUND-A	B	0.00	14.24	0.00	14.24	80.0	11.39
11/21/2018 mfc879660	VARIOUS,	MFT AMCAP FUND-A	B	0.00	18.07	0.00	18.07	80.0	14.46
11/21/2018 mfc879660	VARIOUS,	MFT AMCAP FUND-A	B	0.00	63.91	0.00	63.91	80.0	51.13
11/21/2018 mfc879660	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	11.15	0.00	11.15	80.0	8.92
11/21/2018 mfc879660	VARIOUS,	MFT AMERICAN BALANCED FUND-C	B	0.00	27.08	0.00	27.08	80.0	21.66
11/21/2018 mfc879660	VARIOUS,	MFT AMERICAN MUTUAL FUND-A	B	0.00	15.38	0.00	15.38	80.0	12.30
11/21/2018 mfc879660	VARIOUS,	MFT CALAMOS GROWTH FUND CLASS C	B	0.00	12.08	0.00	12.08	80.0	9.66
11/21/2018 mfc879660	VARIOUS,	MFT CALAMOS GROWTH FUND CLASS C	B	0.00	21.02	0.00	21.02	80.0	16.82
11/21/2018 mfc879660	VARIOUS,	MFT CALAMOS GROWTH FUND CLASS A	B	0.00	9.30	0.00	9.30	80.0	7.44
11/21/2018 mfc879660	VARIOUS,	MFT CALVERT INCOME FD CL A	B	0.00	16.96	0.00	16.96	80.0	13.57
11/21/2018 mfc879660	VARIOUS,	MFT CALVERT LONG TERM INCOME FD CL	B	0.00	18.76	0.00	18.76	80.0	15.01
11/21/2018 mfc879660	VARIOUS,	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	15.46	0.00	15.46	80.0	12.37
11/21/2018 mfc879660	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.13	0.00	1.13	80.0	0.90
11/21/2018 mfc879660	VARIOUS,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.20	0.00	1.20	80.0	0.96
11/21/2018 mfc879660	VARIOUS,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	53.44	0.00	53.44	80.0	42.75
11/21/2018 mfc879660	VARIOUS,	MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.31	0.00	2.31	80.0	1.85
11/21/2018 mfc879660	VARIOUS,	MFT FEDERATED EQUITY INCOME FUND	B	0.00	3.34	0.00	3.34	80.0	2.67
11/21/2018 mfc879660	VARIOUS,	MFT FEDERATED EQUITY INCOME FUND	B	0.00	11.21	0.00	11.21	80.0	8.97
11/21/2018 mfc879660	VARIOUS,	MFT FEDERATED EQUITY INCOME FUND	B	0.00	13.44	0.00	13.44	80.0	10.75
11/21/2018 mfc879660	VARIOUS,	MFT FEDERATED EQUITY INCOME FUND	B	0.00	14.31	0.00	14.31	80.0	11.45

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc879660	VARIOUS,							
		MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.21	0.00	4.21	80.0	3.37
11/21/2018	mfc879660	VARIOUS,							
		MFT FUNDAMENTAL INVESTORS-A	B	0.00	7.69	0.00	7.69	80.0	6.15
11/21/2018	mfc879660	VARIOUS,							
		MFT FUNDAMENTAL INVESTORS-A	B	0.00	49.21	0.00	49.21	80.0	39.37
11/21/2018	mfc879660	VARIOUS,							
		MFT GLOBAL OPPORTUNITIES A	B	0.00	27.92	0.00	27.92	80.0	22.34
11/21/2018	mfc879660	VARIOUS,							
		MFT GLOBAL OPPORTUNITIES A	B	0.00	35.09	0.00	35.09	80.0	28.07
11/21/2018	mfc879660	VARIOUS,							
		MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	7.41	0.00	7.41	80.0	5.93
11/21/2018	mfc879660	VARIOUS,							
		MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	12.26	0.00	12.26	80.0	9.81
11/21/2018	mfc879660	VARIOUS,							
		MFT KAR CAPITAL GROWTH	B	0.00	2.62	0.00	2.62	80.0	2.10
11/21/2018	mfc879660	VARIOUS,							
		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	17.08	0.00	17.08	80.0	13.66
11/21/2018	mfc879660	VARIOUS,							
		MFT MAIN STREET FUND A	B	0.00	10.88	0.00	10.88	80.0	8.70
11/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	12.45	0.00	12.45	80.0	9.96
11/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	12.78	0.00	12.78	80.0	10.22
11/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	14.37	0.00	14.37	80.0	11.50
11/21/2018	mfc879660	VARIOUS,							
		MFT MID-CAP VALUE FUND CLASS A	B	0.00	28.16	0.00	28.16	80.0	22.53
11/21/2018	mfc879660	VARIOUS,							
		MFT NEW PERSPECTIVE FUND-A	B	0.00	46.51	0.00	46.51	80.0	37.21
11/21/2018	mfc879660	VARIOUS,							
		MFT NEW WORLD FUND-A	B	0.00	1.18	0.00	1.18	80.0	0.94
11/21/2018	mfc879660	VARIOUS,							
		MFT NEW WORLD FUND-A	B	0.00	11.16	0.00	11.16	80.0	8.93
11/21/2018	mfc879660	VARIOUS,							
		MFT NEW WORLD FUND-A	B	0.00	41.77	0.00	41.77	80.0	33.42
11/21/2018	mfc879660	VARIOUS,							
		MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.07	0.00	15.07	80.0	12.06
11/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.25	0.00	3.25	80.0	2.60
11/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	4.62	0.00	4.62	80.0	3.70
11/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.14	0.00	9.14	80.0	7.31
11/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	25.46	0.00	25.46	80.0	20.37
11/21/2018	mfc879660	VARIOUS,							
		MFT PIMCO REAL RETURN FUND (A)	B	0.00	35.21	0.00	35.21	80.0	28.17
11/21/2018	mfc879660	VARIOUS,							
		MFT SMALLCAP WORLD FUND-A	B	0.00	0.76	0.00	0.76	80.0	0.61
11/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.67	0.00	11.67	80.0	9.34
11/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.90	0.00	31.90	80.0	25.52
11/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	49.43	0.00	49.43	80.0	39.54
11/21/2018	mfc879660	VARIOUS,							
		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	73.31	0.00	73.31	80.0	58.65
11/21/2018	mfc879660	VARIOUS,							
		MFT THE HARTFORD CAPITAL	B	0.00	0.19	0.00	0.19	80.0	0.15
11/21/2018	mfc879660	VARIOUS,							
		MFT THE INVESTMENT COMPANY OF	B	0.00	38.81	0.00	38.81	80.0	31.05
11/21/2018	mfc879660	VARIOUS,							
		MFT THE NEW ECONOMY FUND-A	B	0.00	10.36	0.00	10.36	80.0	8.29
11/21/2018	mfc879660	VARIOUS,							
		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.96	0.00	6.96	80.0	5.57
11/21/2018	mfc879660	VARIOUS,							
		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	18.90	0.00	18.90	80.0	15.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,021.58	\$0.00	\$1,021.58		\$817.29
*** CLEARING TRANSACTIONS TOTAL ***				\$-107,683.67	\$1,189.58	\$90.00	\$1,099.58		\$879.69

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$879.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$694.69
Year-to-date Commissions	\$16,626.99