

COMMISSION STATEMENT

August 15, 2018

 RICK HAVARD-D361
 1600 Stillhouse Hollow

 BROKER #: D361
 BRANCH: DMN

Prosper, TX 75078

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
07/31/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	105,409.16	43.92	0.00	43.92	90.0	39.53
07/31/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	698,645.80	582.20	0.00	582.20	90.0	523.98
07/31/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	49,990.75	20.83	0.00	20.83	90.0	18.75
07/31/2018 1003034506	LARSON, RONALD	VA L SERIES	B	250,982.00	271.90	0.00	271.90	90.0	244.71
07/31/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	115,792.28	125.44	0.00	125.44	90.0	112.90
07/31/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	83,626.09	69.69	0.00	69.69	90.0	62.72
07/31/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	359,780.25	299.82	0.00	299.82	90.0	269.84
07/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	32,271.17	26.89	0.00	26.89	90.0	24.20
07/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	25,324.29	27.43	0.00	27.43	90.0	24.69
07/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	33,215.25	27.68	0.00	27.68	90.0	24.91
07/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	26,065.05	28.24	0.00	28.24	90.0	25.42
07/31/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	37,460.33	31.22	0.00	31.22	90.0	28.10
07/31/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	43,975.97	36.65	0.00	36.65	90.0	32.99
07/31/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	195,005.19	162.50	0.00	162.50	90.0	146.25
07/31/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,591.74	10.39	0.00	10.39	90.0	9.35
07/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	196,478.71	163.73	0.00	163.73	90.0	147.36
07/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	109,223.20	118.33	0.00	118.33	90.0	106.50
07/31/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	169,169.60	183.27	0.00	183.27	90.0	164.94
07/31/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	73,215.44	79.32	0.00	79.32	90.0	71.39
07/31/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	92,061.55	99.73	0.00	99.73	90.0	89.76
07/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	79,576.00	66.31	0.00	66.31	90.0	59.68
07/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	256,411.54	277.78	0.00	277.78	90.0	250.00
07/31/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	89,675.77	97.15	0.00	97.15	90.0	87.44
07/31/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	104,727.55	113.45	0.00	113.45	90.0	102.11
07/31/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	145,461.53	60.61	0.00	60.61	90.0	54.55
07/31/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	222,225.00	92.59	0.00	92.59	90.0	83.33
07/31/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	210,223.32	87.59	0.00	87.59	90.0	78.83
07/31/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	24,227.28	15.14	0.00	15.14	90.0	13.63
07/31/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	6,232.62	2.08	0.00	2.08	90.0	1.87
07/31/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	24,346.62	8.12	0.00	8.12	90.0	7.31
07/31/2018 1017547069	COMPTON, RICKY	VA PERSP II 04/14	B	345,822.63	144.09	0.00	144.09	90.0	129.68

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07/31/2018	1019204223 WARD, VIRGINIA	VA PERSP II 01/16	B	81,040.87	33.77	0.00	33.77	90.0	30.39
* VARIABLE ANNUITIES SUBTOTAL *				\$4,297,254.55	\$3,407.86	\$0.00	\$3,407.86		\$3,067.11
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
08/07/2018	(None) MARINER, KATHLEEN	VAT TRANSAMERICA LIFE	B	0.00	4.96	0.00	4.96	90.0	4.46
08/07/2018	(None) KAHANEK, SHARON	VAT TRANSAMERICA LIFE	B	0.00	188.78	0.00	188.78	90.0	169.90
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$193.74	\$0.00	\$193.74		\$174.36
*** DIRECT TRANSACTIONS TOTAL ***				\$4,297,254.55	\$3,601.60	\$0.00	\$3,601.60		\$3,241.47

BROKER COMMISSION TOTALS

Broker Transactions	\$3,241.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,241.47
Year-to-date Commissions	\$44,316.94