



COMMISSION STATEMENT

December 24, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/17/2018	***-**-8906 - ISBELL, ACCOUNT #: 00073594886	AMERICAN BALANCED FUND-A BUY 2 Units @27.1900	50.00	1.38	0.00	1.38	75.0	1.04
12/17/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN BALANCED FUND-A BUY 2 Units @27.1900	50.00	1.38	0.00	1.38	75.0	1.04
12/17/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN BALANCED FUND-A BUY 4 Units @27.1900	100.00	2.75	0.00	2.75	75.0	2.06
12/17/2018	***-**-4906 - VAN ALSTINE, ACCOUNT #: 00086106101	AMERICAN BALANCED FUND-A BUY 2 Units @27.8400	50.00	2.50	0.00	2.50	75.0	1.88
12/17/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.1600	50.00	1.38	0.00	1.38	75.0	1.04
12/17/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.1600	50.00	1.38	0.00	1.38	75.0	1.04
12/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @9.9700	75.00	2.06	0.00	2.06	75.0	1.55
12/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL INCOME BUILDER-A BUY 2 Units @58.5200	100.00	2.75	0.00	2.75	75.0	2.06
12/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD BOND FUND-A BUY 4 Units @19.8200	75.00	2.06	0.00	2.06	75.0	1.55
12/17/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @47.0400	50.00	1.38	0.00	1.38	75.0	1.04
12/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @43.6900	125.00	3.44	0.00	3.44	75.0	2.58
12/17/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	EUROPACIFIC GROWTH FUND-A BUY 1 Units @48.1000	50.00	1.38	0.00	1.38	75.0	1.04
12/17/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	THE GROWTH FUND OF AMERICA-A BUY 3 Units @50.3600	133.00	3.66	0.00	3.66	75.0	2.75
12/17/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	THE GROWTH FUND OF AMERICA-A BUY 1 Units @50.3600	70.00	1.93	0.00	1.93	75.0	1.45
12/17/2018	***-**-9089 - REEDY, LISA ACCOUNT #: 00083100942	THE GROWTH FUND OF AMERICA-A BUY 1 Units @50.8900	50.00	1.88	0.00	1.88	75.0	1.41
12/18/2018	***-**-8143 - DRAKE, RONALD ACCOUNT #: 00087671391	THE GROWTH FUND OF AMERICA-A BUY 5 Units @50.9300	250.00	9.38	0.00	9.38	75.0	7.04



COMMISSION STATEMENT

December 24, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/20/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00086683340	BUY 7 Units @21.1600						
		* MUTUAL FUNDS SUBTOTAL *	<u>\$1,478.00</u>	<u>\$44.82</u>	<u>\$0.00</u>	<u>\$44.82</u>		<u>\$33.67</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$1,478.00</u>	<u>\$44.82</u>	<u>\$0.00</u>	<u>\$44.82</u>		<u>\$33.67</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$33.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$33.67
Year-to-date Earnings	\$59,368.61