

# COMMISSION STATEMENT

December 15, 2018

 NATHAN STRAESSLE-D343  
 25 West High Street

 BROKER #: D343  
 BRANCH: DMN

Lawrenceburg, IN 47025

## COMMISSION STATEMENT for NATHAN STRAESSLE-D343

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

11/14/2018 (None) RENIHAN	MF	COLLEGE CHOICE ADVISOR	B	417.00	15.43	0.00	15.43	75.0	11.57
12/04/2018 01484204902 CROCKER, SHAE	MF	FIDELITY ADV FREEDOM 2040 CL M	B	50.00	1.50	0.00	1.50	75.0	1.13
12/04/2018 01484204910 CROCKER, CLINT	MF	FIDELITY ADV FREEDOM 2040 CL M	B	458.33	13.75	0.00	13.75	75.0	10.31
12/06/2018 01487577064 COWAN, LILLIAN	MF	FIDELITY ADVISOR STRATEGIC	B	541.66	20.31	0.00	20.31	75.0	15.23
* MUTUAL FUNDS SUBTOTAL *				\$1,466.99	\$50.99	\$0.00	\$50.99		\$38.24

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR HEALTH CARE FD	B	0.00	1.35	0.00	1.35	75.0	1.01
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR EQUITY INCOME	B	0.00	40.45	0.00	40.45	75.0	30.34
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	23.67	0.00	23.67	75.0	17.75
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV INTL CAPITAL APP	B	0.00	13.53	0.00	13.53	75.0	10.15
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV SMALL CAP CL T	B	0.00	7.23	0.00	7.23	75.0	5.42
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV HIGH INCOME CL M	B	0.00	8.06	0.00	8.06	75.0	6.05
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR MID CAP STOCK	B	0.00	14.51	0.00	14.51	75.0	10.88
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR LARGE CAP FD	B	0.00	19.94	0.00	19.94	75.0	14.96
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADVISOR STRATEGIC	B	0.00	32.46	0.00	32.46	75.0	24.35
12/07/2018 00504515495 HONSCHOPP, MARK	MFT	FIDELITY ADV REAL ESTATE INCOME	B	0.00	9.14	0.00	9.14	75.0	6.86
12/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	0.39	0.00	0.39	75.0	0.29
12/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADVISOR EQUITY INCOME	B	0.00	0.33	0.00	0.33	75.0	0.25
12/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADV LIMITED TERM BOND	B	0.00	0.17	0.00	0.17	75.0	0.13
12/07/2018 00506728245 COWAN, LILLIAN	MFT	FIDELITY ADV SHORT TERM BOND CL	B	0.00	0.12	0.00	0.12	75.0	0.09
12/07/2018 00700229248 KYLIE Y RENIHAN,	MFT	FA 529 PORT 2022 CL A	B	0.00	6.37	0.00	6.37	75.0	4.78
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV ENERGY CL M	B	0.00	1.07	0.00	1.07	75.0	0.80
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.43	0.00	5.43	75.0	4.07
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV SMALL CAP CL T	B	0.00	1.15	0.00	1.15	75.0	0.86
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV HIGH INCOME CL M	B	0.00	1.58	0.00	1.58	75.0	1.19
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADVISOR STRATEGIC	B	0.00	2.19	0.00	2.19	75.0	1.64
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV REAL ESTATE CL M	B	0.00	1.53	0.00	1.53	75.0	1.15
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	3.50	0.00	3.50	75.0	2.63
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV STRAT DIV & INCOME	B	0.00	6.18	0.00	6.18	75.0	4.64
12/07/2018 01456991015 MCALISTER, PAMELA	MFT	FIDELITY ADV MID CAP II - CL T	B	0.00	2.56	0.00	2.56	75.0	1.92

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/07/2018	01456991015	MCALISTER, PAMELA MFT FIDELITY ADV STRATEGIC REAL	B	0.00	1.87	0.00	1.87	75.0	1.40
12/07/2018	01456991015	MCALISTER, PAMELA MFT FIDELITY ADV TOTAL INTL EQUITY	B	0.00	3.20	0.00	3.20	75.0	2.40
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.24	0.00	1.24	75.0	0.93
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	1.13	0.00	1.13	75.0	0.85
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	2.77	0.00	2.77	75.0	2.08
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADVISOR LARGE CAP FD	B	0.00	4.29	0.00	4.29	75.0	3.22
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADVISOR STRATEGIC	B	0.00	13.07	0.00	13.07	75.0	9.80
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	4.54	0.00	4.54	75.0	3.41
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	4.06	0.00	4.06	75.0	3.05
12/07/2018	01468826001	BUTLER, RICHARD MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	1.28	0.00	1.28	75.0	0.96
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	1.35	0.00	1.35	75.0	1.01
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	1.62	0.00	1.62	75.0	1.22
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.20	0.00	1.20	75.0	0.90
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	15.18	0.00	15.18	75.0	11.39
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADVISOR STRATEGIC	B	0.00	22.81	0.00	22.81	75.0	17.11
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	35.43	0.00	35.43	75.0	26.57
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	59.64	0.00	59.64	75.0	44.73
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV MID CAP II - CL T	B	0.00	1.35	0.00	1.35	75.0	1.01
12/07/2018	01469423816	DAUSCH, WILLIAM MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	22.07	0.00	22.07	75.0	16.55
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADVISOR HIGH INCOME	B	0.00	7.27	0.00	7.27	75.0	5.45
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADV SMALL CAP CL T	B	0.00	15.29	0.00	15.29	75.0	11.47
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADVISOR STRATEGIC	B	0.00	15.20	0.00	15.20	75.0	11.40
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	41.48	0.00	41.48	75.0	31.11
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	32.91	0.00	32.91	75.0	24.68
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADV MID CAP II - CL T	B	0.00	16.17	0.00	16.17	75.0	12.13
12/07/2018	01469892465	FAIRFIELD, SANDRA MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	7.63	0.00	7.63	75.0	5.72
12/07/2018	01484204902	CROCKER, SHAE MFT FIDELITY ADV FREEDOM 2040 CL M	B	0.00	1.22	0.00	1.22	75.0	0.92
12/07/2018	01484204910	CROCKER, CLINT MFT FIDELITY ADV FREEDOM 2040 CL M	B	0.00	1.99	0.00	1.99	75.0	1.49
12/07/2018	01487577064	COWAN, LILLIAN MFT FIDELITY ADVISOR STRATEGIC	B	0.00	3.77	0.00	3.77	75.0	2.83
12/07/2018	01488454347	HONSCHOPP, KIM MFT FIDELITY ADVISOR STRATEGIC	B	0.00	75.14	0.00	75.14	75.0	56.36
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADVISOR HIGH INCOME	B	0.00	0.22	0.00	0.22	75.0	0.17
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADV SMALL CAP CL T	B	0.00	0.44	0.00	0.44	75.0	0.33
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADVISOR STRATEGIC	B	0.00	0.44	0.00	0.44	75.0	0.33
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	0.97	0.00	0.97	75.0	0.73
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	0.93	0.00	0.93	75.0	0.70
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADV MID CAP II - CL T	B	0.00	0.45	0.00	0.45	75.0	0.34
12/07/2018	01490024146	LUTZ, STEPHEN MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.23	0.00	0.23	75.0	0.17
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	0.22	0.00	0.22	75.0	0.17
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADV SMALL CAP CL T	B	0.00	0.21	0.00	0.21	75.0	0.16
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADVISOR STRATEGIC	B	0.00	0.21	0.00	0.21	75.0	0.16
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	0.45	0.00	0.45	75.0	0.34
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADV STRAT DIV & INCOME	B	0.00	0.46	0.00	0.46	75.0	0.35
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADV MID CAP II - CL T	B	0.00	0.21	0.00	0.21	75.0	0.16
12/07/2018	03900224223	LUTZ, BRADLEY MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.11	0.00	0.11	75.0	0.08

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12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	3.82	0.00	3.82	75.0	2.87
12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV HIGH INCOME CL M	B	0.00	1.01	0.00	1.01	75.0	0.76
12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	1.99	0.00	1.99	75.0	1.49
12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	3.77	0.00	3.77	75.0	2.83
12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV GOLD CL M	B	0.00	1.80	0.00	1.80	75.0	1.35
12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV LATIN AMERICA FUND	B	0.00	2.05	0.00	2.05	75.0	1.54
12/07/2018 03900233009	BUCKMEIER,	MFT FIDELITY ADV GLOBAL HIGH INCOME	B	0.00	1.00	0.00	1.00	75.0	0.75
12/07/2018 03900236194	JONES, JERRY	MFT FA CHINA REGON FUND - CLASS A	B	0.00	3.70	0.00	3.70	75.0	2.78
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	6.04	0.00	6.04	75.0	4.53
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	3.99	0.00	3.99	75.0	2.99
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.81	0.00	11.81	75.0	8.86
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	8.06	0.00	8.06	75.0	6.05
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	3.87	0.00	3.87	75.0	2.90
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY ADVISOR FUNDS	B	0.00	4.09	0.00	4.09	75.0	3.07
12/07/2018 03900236194	JONES, JERRY	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	12.51	0.00	12.51	75.0	9.38
12/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.28	0.00	1.28	75.0	0.96
12/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	1.56	0.00	1.56	75.0	1.17
12/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	1.01	0.00	1.01	75.0	0.76
12/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	1.26	0.00	1.26	75.0	0.95
12/07/2018 03900236504	WARNING, MARY	MFT FIDELITY ADV REAL ESTATE CL M	B	0.00	1.97	0.00	1.97	75.0	1.48
12/03/2018 04001160284	THORPEJILL	MFT AMERICAN FUNDS 2055 TARGET	B	0.00	0.18	0.00	0.18	75.0	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$701.40	\$0.00	\$701.40		\$526.16
*** DIRECT TRANSACTIONS TOTAL ***				\$1,466.99	\$752.39	\$0.00	\$752.39		\$564.40

BROKER COMMISSION TOTALS

Broker Transactions	\$564.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-143.23
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$421.17
Year-to-date Commissions	\$11,592.93