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## **COMMISSION STATEMENT**

August 31, 2018

SPLIT RUGGIERO

BROKER #: BRANCH: D12

, TX

## COMMISSION STATEMENT for SPLIT RUGGIERO

| TRADE DATE CLIENT                     | INVESTMENT                      | B/S  | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION   | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE   | BROKER<br>COMMISSION |
|---------------------------------------|---------------------------------|------|----------------------|-----------------------|--------------------|-------------------|--------|----------------------|
|                                       | CLEARING                        | TRAN | SACTIONS             |                       |                    |                   |        |                      |
| PRODUCT CATEGORY: STOCKS              |                                 |      |                      |                       |                    |                   |        |                      |
| 08/20/2018 646428324 RUGGIERO, THOMAS | S ADVANCED MICRO DEVICES INC    | В    | 617.72               | 0.00                  | 0.00               | 0.00              | 73.0   | 0.00                 |
| 08/20/2018 646437301 RUGGIERO, THOMAS | S ADVANCED MICRO DEVICES INC    | В    | 617.45               | 0.00                  | 0.00               | 0.00              | 73.0   | 0.00                 |
|                                       | * STOCKS SUBTOTA                | AL * | \$1,235.17           | \$0.00                | \$0.00             | \$0.00            |        | \$0.00               |
|                                       | *** CLEARING TRANSACTIONS TOTAL | ***  | \$1,235.17           | \$0.00                | \$0.00             | \$0.00            |        | \$0.00               |
|                                       |                                 |      |                      |                       | <u> </u>           | BROKER COMMI      | ssion  | TOTALS               |
|                                       |                                 |      |                      |                       | Broke              | r Transactions    |        | \$0.00               |
|                                       |                                 |      |                      |                       | Spli               | t Transactions    |        | \$0.00               |
|                                       |                                 |      |                      | Override Transactions |                    |                   | \$0.00 |                      |
|                                       |                                 |      |                      | Adjustments           |                    |                   | \$0.00 |                      |
|                                       |                                 |      |                      | Payroll Draw          |                    |                   | \$0.00 |                      |
|                                       |                                 |      |                      | Base Salary           |                    |                   |        | \$0.00               |
|                                       |                                 |      |                      |                       | Prior              | Period Balance    |        | \$0.00               |
|                                       |                                 |      |                      |                       | FI                 | NRA Assessment    |        | \$0.00               |
|                                       |                                 |      |                      |                       | S                  | IPC Assessment    |        | \$0.00               |
|                                       |                                 |      |                      |                       | N                  | et Commissions    |        | \$0.00               |
|                                       |                                 |      |                      |                       | Year-to-da         | te Commissions    |        | \$0.00               |