

# COMMISSION STATEMENT

September 30, 2018

RICHARD DURAND-D020  
 9100 IH 10 West  
 Suite 210  
 San Antonio, TX 78230

BROKER #: D020  
 BRANCH: DMN

## COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/10/2018	492392409	MATHESON, JO HELEN	MF	THE INVESTMENT COMPANY OF	B	-987.55	0.00	5.00	-5.00	85.0	-4.25
09/17/2018	646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
				* MUTUAL FUNDS SUBTOTAL *		\$-570.89	\$11.39	\$7.50	\$3.89		\$3.31

#### PRODUCT CATEGORY: STOCKS

09/20/2018	123746900	AFT MINERALS LTD	S	OCCIDENTAL PETROLEUM CORP	B	198,191.95	500.00	15.00	485.00	85.0	412.25
09/20/2018	123746900	AFT MINERALS LTD	S	PACCAR INC	S	-143,390.88	500.00	15.00	485.00	85.0	412.25
09/24/2018	276073491	FERRO, JOSEPH	S	AMARIN CORPORATION PLC	S	-10,107.42	90.00	15.00	75.00	85.0	63.75
09/19/2018	368262501	W HERNANDEZ, DR JOE	S	WALGREENS BOOTS ALLIANCE INC	S	-2,249.63	50.00	15.00	35.00	85.0	29.75
09/20/2018	368262501	W HERNANDEZ, DR JOE	S	VANGUARD INDEX FDS S&P 500 ETF	S	-2,104.89	50.00	15.00	35.00	85.0	29.75
09/17/2018	400617109	CHASE, JP MORGAN	S	VODAFONE GROUP PLC NEW - SPON	S	-66,083.80	1,057.13	15.00	1,042.13	85.0	885.81
09/17/2018	400617109	CHASE, JP MORGAN	S	VODAFONE GROUP PLC NEW - SPON	S	66,083.80	-1,057.13	-15.00	-1,042.13	85.0	-885.81
09/17/2018	400617109	CHASE, JP MORGAN	S	VODAFONE GROUP PLC NEW - SPON	S	-66,840.93	300.00	15.00	285.00	85.0	242.25
09/19/2018	583753688	PUE, ROGER	S	FORD MOTOR CO NEW	B	5,001.80	90.00	15.00	75.00	85.0	63.75
09/19/2018	583753688	PUE, ROGER	S	MAIN STREET CAPITAL HOLDINGS	B	6,815.87	100.00	15.00	85.00	85.0	72.25
09/17/2018	708088733	INVESTMENT LLC,	S	MICROSOFT CORPORATION	S	-9,241.65	100.00	15.00	85.00	85.0	72.25
				* STOCKS SUBTOTAL *		\$-23,925.78	\$1,780.00	\$135.00	\$1,645.00		\$1,398.25

#### PRODUCT CATEGORY: MISCELLANEOUS

09/11/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 492392409	S	0.00	10.00	0.00	10.00	85.0	8.50
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$10.00	\$0.00	\$10.00		\$8.50

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc884599	DURAND 884599		MFT AMCAP FUND-A	B	0.00	1.28	0.00	1.28	85.0	1.09
09/21/2018	mfc884599	DURAND 884599		MFT AMCAP FUND-A	B	0.00	1.65	0.00	1.65	85.0	1.40
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	1.63	0.00	1.63	85.0	1.39
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	1.66	0.00	1.66	85.0	1.41
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	1.87	0.00	1.87	85.0	1.59
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	2.19	0.00	2.19	85.0	1.86
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	2.21	0.00	2.21	85.0	1.88
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	2.23	0.00	2.23	85.0	1.90
09/21/2018	mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	2.41	0.00	2.41	85.0	2.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.75	0.00	2.75	85.0	2.34
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.75	0.00	2.75	85.0	2.34
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.75	0.00	2.75	85.0	2.34
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.58	0.00	3.58	85.0	3.04
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.81	0.00	5.81	85.0	4.94
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	10.85	0.00	10.85	85.0	9.22
09/21/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	29.24	0.00	29.24	85.0	24.85
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.02	0.00	2.02	85.0	1.72
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.06	0.00	3.06	85.0	2.60
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.35	0.00	3.35	85.0	2.85
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.40	0.00	0.40	85.0	0.34
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.58	0.00	0.58	85.0	0.49
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.84	0.00	0.84	85.0	0.71
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.87	0.00	2.87	85.0	2.44
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.60	0.00	0.60	85.0	0.51
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.85	0.00	0.85	85.0	0.72
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.45	0.00	0.45	85.0	0.38
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.48	0.00	0.48	85.0	0.41
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.56	0.00	0.56	85.0	0.48
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.82	0.00	0.82	85.0	0.70
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.85	0.00	0.85	85.0	0.72
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.86	0.00	0.86	85.0	0.73
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.99	0.00	0.99	85.0	0.84
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.01	0.00	1.01	85.0	0.86
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.21	0.00	1.21	85.0	1.03
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.35	0.00	1.35	85.0	1.15
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.40	0.00	1.40	85.0	1.19
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.56	0.00	1.56	85.0	1.33
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.31	0.00	2.31	85.0	1.96
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.73	0.00	2.73	85.0	2.32
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.73	0.00	2.73	85.0	2.32
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.73	0.00	2.73	85.0	2.32
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.39	0.00	3.39	85.0	2.88
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.49	0.00	3.49	85.0	2.97
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.68	0.00	3.68	85.0	3.13
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.02	0.00	4.02	85.0	3.42
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.81	0.00	6.81	85.0	5.79
09/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	48.80	0.00	48.80	85.0	41.48
09/21/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.21	0.00	2.21	85.0	1.88
09/21/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.08	0.00	0.08	85.0	0.07
09/21/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A	B	0.00	0.67	0.00	0.67	85.0	0.57
09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.38	0.00	0.38	85.0	0.32
09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.55	0.00	0.55	85.0	0.47
09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.32	0.00	0.32	85.0	0.27

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09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.88	0.00	0.88	85.0	0.75
09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	3.13	0.00	3.13	85.0	2.66
09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	3.19	0.00	3.19	85.0	2.71
09/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.49	0.00	6.49	85.0	5.52
09/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	2.42	0.00	2.42	85.0	2.06
09/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.23	0.00	6.23	85.0	5.30
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.93	0.00	1.93	85.0	1.64
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.39	0.00	2.39	85.0	2.03
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.80	0.00	0.80	85.0	0.68
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	1.16	0.00	1.16	85.0	0.99
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.22	0.00	0.22	85.0	0.19
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.01	0.00	1.01	85.0	0.86
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.13	0.00	1.13	85.0	0.96
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.14	0.00	1.14	85.0	0.97
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.89	0.00	1.89	85.0	1.61
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.81	0.00	3.81	85.0	3.24
09/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.88	0.00	8.88	85.0	7.55
09/21/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A	B	0.00	2.18	0.00	2.18	85.0	1.85
09/21/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A	B	0.00	2.30	0.00	2.30	85.0	1.96
09/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.87	0.00	0.87	85.0	0.74
09/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.26	0.00	1.26	85.0	1.07
09/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	0.65	0.00	0.65	85.0	0.55
09/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	0.75	0.00	0.75	85.0	0.64
09/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	5.61	0.00	5.61	85.0	4.77
09/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	0.69	0.00	0.69	85.0	0.59
09/21/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	2.96	0.00	2.96	85.0	2.52
09/21/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.72	0.00	2.72	85.0	2.31
09/21/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.73	0.00	2.73	85.0	2.32
09/21/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	0.77	0.00	0.77	85.0	0.65
09/21/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	0.78	0.00	0.78	85.0	0.66
09/21/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	9.37	0.00	9.37	85.0	7.96
09/21/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	0.69	0.00	0.69	85.0	0.59
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.55	0.00	0.55	85.0	0.47
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.58	0.00	0.58	85.0	0.49
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.29	0.00	0.29	85.0	0.25
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.84	0.00	0.84	85.0	0.71
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.73	0.00	1.73	85.0	1.47
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	2.29	0.00	2.29	85.0	1.95
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	1.38	0.00	1.38	85.0	1.17
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	1.34	0.00	1.34	85.0	1.14
09/21/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	1.15	0.00	1.15	85.0	0.98
09/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	1.24	0.00	1.24	85.0	1.05
09/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.38	0.00	0.38	85.0	0.32
09/21/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	1.81	0.00	1.81	85.0	1.54

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09/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.37	0.00	0.37	85.0	0.31
09/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.53	0.00	0.53	85.0	0.45
09/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.52	0.00	0.52	85.0	0.44
09/21/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	1.65	0.00	1.65	85.0	1.40
09/21/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	8.10	0.00	8.10	85.0	6.89
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.13	0.00	0.13	85.0	0.11
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.80	0.00	0.80	85.0	0.68
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.21	0.00	1.21	85.0	1.03
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	85.0	1.14
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.99	0.00	1.99	85.0	1.69
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.05	0.00	3.05	85.0	2.59
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.35	0.00	3.35	85.0	2.85
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.35	0.00	3.35	85.0	2.85
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.97	0.00	3.97	85.0	3.37
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.06	0.00	6.06	85.0	5.15
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.57	0.00	15.57	85.0	13.23
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.02	0.00	16.02	85.0	13.62
09/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.66	0.00	1.66	85.0	1.41
09/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.55	0.00	0.55	85.0	0.47
09/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	1.76	0.00	1.76	85.0	1.50
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	1.99	0.00	1.99	85.0	1.69
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.35	0.00	2.35	85.0	2.00
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.51	0.00	2.51	85.0	2.13
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.69	0.00	2.69	85.0	2.29
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.97	0.00	2.97	85.0	2.52
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.97	0.00	2.97	85.0	2.52
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.61	0.00	4.61	85.0	3.92
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.79	0.00	4.79	85.0	4.07
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	14.19	0.00	14.19	85.0	12.06
09/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	17.22	0.00	17.22	85.0	14.64
09/21/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	26.76	0.00	26.76	85.0	22.75
09/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	80.67	0.00	80.67	85.0	68.57
09/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	22.23	0.00	22.23	85.0	18.90
09/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	330.41	0.00	330.41	85.0	280.85
09/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	21.91	0.00	21.91	85.0	18.62
09/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	23.50	0.00	23.50	85.0	19.98
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.35	0.00	0.35	85.0	0.30
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.36	0.00	0.36	85.0	0.31
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.93	0.00	0.93	85.0	0.79
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.07	0.00	1.07	85.0	0.91
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.36	0.00	1.36	85.0	1.16
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.38	0.00	1.38	85.0	1.17
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.07	0.00	2.07	85.0	1.76
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.10	0.00	2.10	85.0	1.79

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.00	0.00	3.00	85.0	2.55
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.00	0.00	3.00	85.0	2.55
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.00	0.00	3.00	85.0	2.55
09/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.89	0.00	3.89	85.0	3.31
09/21/2018	mfc884599	DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	2.90	0.00	2.90	85.0	2.47
09/24/2018	mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	1.21	0.00	1.21	85.0	1.03
09/24/2018	mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	1.56	0.00	1.56	85.0	1.33
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	1.54	0.00	1.54	85.0	1.31
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	1.57	0.00	1.57	85.0	1.33
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	1.77	0.00	1.77	85.0	1.50
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.07	0.00	2.07	85.0	1.76
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.08	0.00	2.08	85.0	1.77
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.10	0.00	2.10	85.0	1.79
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.28	0.00	2.28	85.0	1.94
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.60	0.00	2.60	85.0	2.21
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.60	0.00	2.60	85.0	2.21
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.60	0.00	2.60	85.0	2.21
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	3.37	0.00	3.37	85.0	2.86
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.47	0.00	5.47	85.0	4.65
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	10.23	0.00	10.23	85.0	8.70
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	27.56	0.00	27.56	85.0	23.43
09/24/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	76.90	0.00	76.90	85.0	65.37
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.79	0.00	0.79	85.0	0.67
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.89	0.00	1.89	85.0	1.61
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.70	0.00	2.70	85.0	2.30
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.87	0.00	2.87	85.0	2.44
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.15	0.00	3.15	85.0	2.68
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.38	0.00	0.38	85.0	0.32
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.54	0.00	0.54	85.0	0.46
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.77	0.00	0.77	85.0	0.65
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.80	0.00	0.80	85.0	0.68
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.80	0.00	0.80	85.0	0.68
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.95	0.00	0.95	85.0	0.81
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.26	0.00	1.26	85.0	1.07
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.56	0.00	2.56	85.0	2.18
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.44	0.00	3.44	85.0	2.92
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.76	0.00	3.76	85.0	3.20
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	45.65	0.00	45.65	85.0	38.80
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.56	0.00	0.56	85.0	0.48
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.80	0.00	0.80	85.0	0.68
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.42	0.00	0.42	85.0	0.36
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.45	0.00	0.45	85.0	0.38
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.52	0.00	0.52	85.0	0.44
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.93	0.00	0.93	85.0	0.79

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.13	0.00	1.13	85.0	0.96
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.31	0.00	1.31	85.0	1.11
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.46	0.00	1.46	85.0	1.24
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.16	0.00	2.16	85.0	1.84
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.56	0.00	2.56	85.0	2.18
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.56	0.00	2.56	85.0	2.18
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	3.17	0.00	3.17	85.0	2.69
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	3.27	0.00	3.27	85.0	2.78
09/24/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	25.61	0.00	25.61	85.0	21.77
09/24/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS A B	0.00	2.08	0.00	2.08	85.0	1.77
09/24/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A B	0.00	0.08	0.00	0.08	85.0	0.07
09/24/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A B	0.00	0.65	0.00	0.65	85.0	0.55
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	0.36	0.00	0.36	85.0	0.31
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	0.51	0.00	0.51	85.0	0.43
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	0.30	0.00	0.30	85.0	0.26
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	2.97	0.00	2.97	85.0	2.52
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	0.82	0.00	0.82	85.0	0.70
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	2.92	0.00	2.92	85.0	2.48
09/24/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.05	0.00	6.05	85.0	5.14
09/24/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	2.30	0.00	2.30	85.0	1.96
09/24/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	5.91	0.00	5.91	85.0	5.02
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	0.76	0.00	0.76	85.0	0.65
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	1.09	0.00	1.09	85.0	0.93
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.21	0.00	0.21	85.0	0.18
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.95	0.00	0.95	85.0	0.81
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.06	0.00	1.06	85.0	0.90
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.08	0.00	1.08	85.0	0.92
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.78	0.00	1.78	85.0	1.51
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.81	0.00	1.81	85.0	1.54
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.25	0.00	2.25	85.0	1.91
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.59	0.00	3.59	85.0	3.05
09/24/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	28.52	0.00	28.52	85.0	24.24
09/24/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A B	0.00	2.05	0.00	2.05	85.0	1.74
09/24/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A B	0.00	2.22	0.00	2.22	85.0	1.89
09/24/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	0.82	0.00	0.82	85.0	0.70
09/24/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	1.18	0.00	1.18	85.0	1.00
09/24/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	0.60	0.00	0.60	85.0	0.51
09/24/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	0.69	0.00	0.69	85.0	0.59
09/24/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	5.21	0.00	5.21	85.0	4.43
09/24/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A B	0.00	0.65	0.00	0.65	85.0	0.55
09/24/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A B	0.00	2.79	0.00	2.79	85.0	2.37
09/24/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A B	0.00	2.57	0.00	2.57	85.0	2.18
09/24/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A B	0.00	2.59	0.00	2.59	85.0	2.20
09/24/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A B	0.00	0.72	0.00	0.72	85.0	0.61

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09/24/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	0.74	0.00	0.74	85.0	0.63
09/24/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	8.98	0.00	8.98	85.0	7.63
09/24/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	0.64	0.00	0.64	85.0	0.54
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.50	0.00	0.50	85.0	0.43
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	0.53	0.00	0.53	85.0	0.45
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.27	0.00	0.27	85.0	0.23
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.79	0.00	0.79	85.0	0.67
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.62	0.00	1.62	85.0	1.38
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	2.15	0.00	2.15	85.0	1.83
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	1.31	0.00	1.31	85.0	1.11
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	1.25	0.00	1.25	85.0	1.06
09/24/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	1.07	0.00	1.07	85.0	0.91
09/24/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	1.17	0.00	1.17	85.0	0.99
09/24/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.36	0.00	0.36	85.0	0.31
09/24/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	1.71	0.00	1.71	85.0	1.45
09/24/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.35	0.00	0.35	85.0	0.30
09/24/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.50	0.00	0.50	85.0	0.43
09/24/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.50	0.00	0.50	85.0	0.43
09/24/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	1.57	0.00	1.57	85.0	1.33
09/24/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	7.58	0.00	7.58	85.0	6.44
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.14	0.00	18.14	85.0	15.42
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	85.0	0.10
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.75	0.00	0.75	85.0	0.64
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.14	0.00	1.14	85.0	0.97
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.26	0.00	1.26	85.0	1.07
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.56	0.00	1.56	85.0	1.33
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.87	0.00	1.87	85.0	1.59
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.88	0.00	2.88	85.0	2.45
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.16	0.00	3.16	85.0	2.69
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.16	0.00	3.16	85.0	2.69
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.74	0.00	3.74	85.0	3.18
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.71	0.00	5.71	85.0	4.85
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.76	0.00	14.76	85.0	12.55
09/24/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.10	0.00	15.10	85.0	12.84
09/24/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.52	0.00	0.52	85.0	0.44
09/24/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	1.65	0.00	1.65	85.0	1.40
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	1.87	0.00	1.87	85.0	1.59
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.21	0.00	2.21	85.0	1.88
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.36	0.00	2.36	85.0	2.01
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.53	0.00	2.53	85.0	2.15
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.80	0.00	2.80	85.0	2.38
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	2.80	0.00	2.80	85.0	2.38
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.34	0.00	4.34	85.0	3.69
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.51	0.00	4.51	85.0	3.83

COMMISSION STATEMENT for RICHARD DURAND-D020

				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	13.35	0.00	13.35	85.0	11.35
09/24/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	16.21	0.00	16.21	85.0	13.78
09/24/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	25.89	0.00	25.89	85.0	22.01
09/24/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	76.32	0.00	76.32	85.0	64.87
09/24/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	20.92	0.00	20.92	85.0	17.78
09/24/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	309.91	0.00	309.91	85.0	263.42
09/24/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	20.51	0.00	20.51	85.0	17.43
09/24/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	22.27	0.00	22.27	85.0	18.93
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.33	0.00	0.33	85.0	0.28
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.34	0.00	0.34	85.0	0.29
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.86	0.00	0.86	85.0	0.73
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.88	0.00	0.88	85.0	0.75
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.01	0.00	1.01	85.0	0.86
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.29	0.00	1.29	85.0	1.10
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.31	0.00	1.31	85.0	1.11
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.96	0.00	1.96	85.0	1.67
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.99	0.00	1.99	85.0	1.69
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.84	0.00	2.84	85.0	2.41
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.84	0.00	2.84	85.0	2.41
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.84	0.00	2.84	85.0	2.41
09/24/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.69	0.00	3.69	85.0	3.14
09/24/2018	mfc884599	DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	2.72	0.00	2.72	85.0	2.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,959.32	\$0.00	\$1,959.32		\$1,665.53
*** CLEARING TRANSACTIONS TOTAL ***					\$-24,496.67	\$3,760.71	\$142.50	\$3,618.21		\$3,075.59

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/14/2018	00067562789	PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
09/18/2018	00660109470	HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>					<b>\$250.00</b>	<b>\$8.50</b>	<b>\$0.00</b>	<b>\$8.50</b>		<b>\$7.23</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018	(None)	VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	33.41	0.00	33.41	85.0	28.40
09/06/2018	01556570000	WAGNER JT TEN,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	53.91	0.00	53.91	85.0	45.82
09/06/2018	08021230000	ANDERSON, DEBRA	MFT COLUMBIA DISCIPLINED CORE	B	0.00	4.14	0.00	4.14	85.0	3.52
09/06/2018	08308608103	CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.74	0.00	0.74	85.0	0.63
09/06/2018	09990084509	OF MOULTON TEXAS,	MFT COLUMBIA INCOME FUND CLASS A	B	0.00	4.62	0.00	4.62	85.0	3.93
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>					<b>\$0.00</b>	<b>\$96.82</b>	<b>\$0.00</b>	<b>\$96.82</b>		<b>\$82.30</b>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

08/31/2018	(None)	DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	227.43	0.00	227.43	85.0	193.32
09/07/2018	(None)	WARZECHA, PATRICIA	VAT LINCOLN BENEFIT LIFE	B	0.00	53.05	0.00	53.05	85.0	45.09



COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$280.48	\$0.00	\$280.48		\$238.41
		*** DIRECT TRANSACTIONS TOTAL ***		\$250.00	\$385.80	\$0.00	\$385.80		\$327.94

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	BROKER WORKSTATION-GIFFEN		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
09/30/2018	:	FINRA CE		0.00	0.00	0.00	0.00		-55.00
09/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		1,014.02
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$744.02
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$744.02

BROKER COMMISSION TOTALS

Broker Transactions	\$3,403.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$744.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,147.55
Year-to-date Commissions	\$113,997.04