

COMMISSION STATEMENT

September 15, 2018

 DEAN DIXON-D340
 1473 South Evanston Street

 BROKER #: D340
 BRANCH: DMN

Aurora, CO 80012

COMMISSION STATEMENT for DEAN DIXON-D340

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/27/2018 (None)	0045797602	MF SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	65.0	3.90
08/27/2018	000000009491251	BURTOELAIE	MF FRANKLIN INCOME FUND CLASS C	B	50.00	0.50	0.00	0.50	0.33
08/30/2018	00088974995	ELZEY, ANGELA	MF THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	3.25
* MUTUAL FUNDS SUBTOTAL *				\$350.00	\$11.50	\$0.00	\$11.50		\$7.48
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/04/2018	00086510881	DEAN A DIXON &	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	15.15	0.00	15.15	9.85
09/04/2018	00086510881	DEAN A DIXON &	MFT AMERICAN MUTUAL FUND-A	B	0.00	25.18	0.00	25.18	16.37
09/04/2018	00086510881	DEAN A DIXON &	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.39	0.00	18.39	11.95
09/04/2018	00086510881	DEAN A DIXON &	MFT THE INCOME FUND OF AMERICA-A	B	0.00	18.20	0.00	18.20	11.83
09/04/2018	00087519867	CHARLOTTE E	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.66	0.00	3.66	2.38
09/04/2018	00087519867	CHARLOTTE E	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.81	0.00	9.81	6.38
09/04/2018	00087702564	JERRY ASHFORD &	MFT GROWTH PORTFOLIO-A	B	0.00	37.91	0.00	37.91	24.64
09/04/2018	00088570034	RICE, DONALD	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.16	0.00	1.16	0.75
09/04/2018	00088570140	MCCOY, JOSEPHINE	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.26	0.00	4.26	2.77
09/04/2018	00088570147	CHRISTOPHER,	MFT BALANCED PORTFOLIO-A	B	0.00	1.59	0.00	1.59	1.03
09/04/2018	00088570180	GALLEGOS, ANDREA	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.90	0.00	1.90	1.24
09/04/2018	00088974995	ELZEY, ANGELA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.80	0.00	2.80	1.82
09/04/2018	00088974995	ELZEY, ANGELA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.87	0.00	0.87	0.57
09/04/2018	00089948914	HUDSON, MINNIE	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.36	0.00	4.36	2.83
09/04/2018	00089948914	HUDSON, MINNIE	MFT THE INVESTMENT COMPANY OF	B	0.00	3.43	0.00	3.43	2.23
09/04/2018	04000103299	FULLER JTWROS,	MFT THE INVESTMENT COMPANY OF	B	0.00	3.55	0.00	3.55	2.31
09/04/2018	04000317502	RAFFERTY, COLLEEN	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.43	0.00	2.43	1.58
09/04/2018	04000707886	ANGEL GARCIA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.03	0.00	0.03	0.02
09/04/2018	04000872046	JOHN L GRAY	MFT THE INVESTMENT COMPANY OF	B	0.00	3.13	0.00	3.13	2.03
09/04/2018	04001062521	ELZEY, ANGELA	MFT GROWTH PORTFOLIO-A	B	0.00	0.01	0.00	0.01	0.01
09/04/2018	04001124181	CO/TOD	MFT THE INVESTMENT COMPANY OF	B	0.00	0.21	0.00	0.21	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$158.03	\$0.00	\$158.03		\$102.73
*** DIRECT TRANSACTIONS TOTAL ***				\$350.00	\$169.53	\$0.00	\$169.53		\$110.21

BROKER COMMISSION TOTALS

Broker Transactions	\$110.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$110.21
Year-to-date Commissions	\$3,078.37