



# COMMISSION STATEMENT

October 08, 2018

WILLIAM ROBERTS  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 16  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/30/2018	***-**-9904 - PRZYLUCHE, ACCOUNT #: 00011753000	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	214.18	0.00	214.18	85.0	182.05
09/30/2018	***-**-07IN - MULLER, ACCOUNT #: 03650014091	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	150.03	0.00	150.03	85.0	127.53
09/30/2018	***-**-74IN - KUBIAK, HENRY J ACCOUNT #: 03650163174	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	3.09	0.00	3.09	85.0	2.63
09/30/2018	***-**-42IN - RUES, JAMES ACCOUNT #: 03651611720	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	99.19	0.00	99.19	85.0	84.31
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$466.49</b>	<b>\$0.00</b>	<b>\$466.49</b>		<b>\$396.52</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/30/2018	***-**-904I - PRZYLUCHE, ACCOUNT #: E1331165	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	147.23	0.00	147.23	85.0	125.15
09/30/2018	***-**-904R - PRZYLUCHE, ACCOUNT #: E1434564	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	34.64	0.00	34.64	85.0	29.44
09/30/2018	***-**-47IN - SIDARE, ANDREA ACCOUNT #: E1190180	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	64.00	0.00	64.00	85.0	54.40
09/30/2018	***-**-729I - PRZYLUCHE, PHILIP ACCOUNT #: E1094963	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	10.72	0.00	10.72	85.0	9.11
09/30/2018	***-**-904I - PRZYLUCHE, ACCOUNT #: E1091145	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	81.66	0.00	81.66	85.0	69.41
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$338.25</b>	<b>\$0.00</b>	<b>\$338.25</b>		<b>\$287.51</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$804.74</b>	<b>\$0.00</b>	<b>\$804.74</b>		<b>\$684.03</b>

## SPLIT TRANSACTIONS

### **BROKER: FREDERIC SCARPULLA**

09/30/2018	***-**-11IN - ROBERTS NEEDS ACCOUNT #: 02401512863	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	112.89	0.00	112.89	85.0	95.96
09/30/2018	***-**-7981 - D'ANGELO, ACCOUNT #: 921039927	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	108.13	0.00	108.13	85.0	91.91



# COMMISSION STATEMENT

October 08, 2018

**WILLIAM ROBERTS**  
400 West Metro Park  
Rochester, NY 14623

**BROKER #: 16**  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
09/30/2018	***-**-606A - REUS, MARTHA	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	373.49	0.00	373.49	85.0	317.47
	ACCOUNT #: 921040463	BUY 0 Units @0.0000						
09/30/2018	***-**-274I - ROBERTS, ADELLA	PRUDENTIAL VA TRAILS 74430A227	0.00	40.16	0.00	40.16	85.0	34.14
	ACCOUNT #: E0728348	BUY 0 Units @0.0000						
09/30/2018	***-**-7981 - D'ANGELO,	PRUDENTIAL VA TRAILS 74430A235	0.00	409.01	0.00	409.01	85.0	347.66
	ACCOUNT #: E0731699	BUY 0 Units @0.0000						
09/30/2018	***-**-7981 - D'ANGELO,	PRUDENTIAL VA TRAILS 74430A235	0.00	1,636.05	0.00	1,636.05	85.0	1,390.64
	ACCOUNT #: E0731699	BUY 0 Units @0.0000						
09/30/2018	***-**-11IN - ROBERTS NEEDS	ROCH. LIMITED TERM NEW YORK MUNICIP	0.00	235.54	0.00	235.54	85.0	200.21
	ACCOUNT #: 03551129898	BUY 0 Units @0.0000						
		<b>* FREDERIC SCARPULLA SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$2,915.27</b>	<b>\$0.00</b>	<b>\$2,915.27</b>		<b>\$2,477.99</b>
		<b>*** SPLIT TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$2,915.27</b>	<b>\$0.00</b>	<b>\$2,915.27</b>		<b>\$2,477.99</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$684.03
Split Transactions	\$2,477.99
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$3,162.02</b>
<b>Year-to-date Earnings</b>	<b>\$30,290.50</b>