

COMMISSION STATEMENT

December 10, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

CLEARING BROKER INVESTMENT GROSS NET DATE CLIENT INVESTMENT AMOUNT COMMISSION CHARGE COMMISSION RATE COMMISSION BROKER TRANSACTIONS PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS 11/30/2018 ***-**-9806 - KERINS, MARILYN GENWORTH 37250G327 10.87 90.0 10.87 0.00 0.00 9.78 ACCOUNT #: T06433169 BUY 0 Units @0.0000 * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * \$0.00 \$9.78 \$10.87 \$0.00 \$10.87 *** BROKER TRANSACTIONS TOTAL *** \$0.00 \$10.87 \$0.00 \$10.87 \$9.78 OVERRIDE TRANSACTIONS BROKER: CHRISTOPHER MILGROOM 12/03/2018 ***-**-2461 - CAMPBELL, HOPE CAPITAL WORLD GROWTH AND INCOME-529 100.00 2.75 0.00 2.75 15.0 0.41 ACCOUNT #: 00085796907 BUY 2 Units @50.0000 12/03/2018 ***-**-2461 - CAMPBELL, HOPE EUROPACIFIC GROWTH FUND-529A 100.00 2.75 0.00 2.75 15.0 0.41 ACCOUNT #: 00085796907 BUY 2 Units @50.8500 12/03/2018 ***-**-2461 - CAMPBELL, HOPE FUNDAMENTAL INVESTORS-529A 100.00 2.75 0.00 2.75 15.0 0.41 ACCOUNT #: 00085796907 BUY 2 Units @64.8400 12/01/2018 ***-**-67CL - MILGROOM, ING ADVANTAGE SELECT 450.00 4.50 0.00 4.50 15.0 0.68 ACCOUNT #: R074824-ZS BUY 0 Units @0.0000 12/04/2018 ***-**-1022 - ZYLKA, VERONICA ING ADVANTAGE SELECT 0.00 0.00 0.64 4.26 4.26 15.0 ACCOUNT #: R078851-ZS BUY 0 Units @0.0000 12/06/2018 ***-**-8694 - FLUSBERG, ING ADVANTAGE SELECT 0.00 99.97 0.00 99.97 15.0 15.00 ACCOUNT #: R106699-ZS BUY 0 Units @0.0000 12/10/2018 ***-**-345P - WIEBE, PHILIP PORTFOLIO PLAN C 150.00 1.50 0.00 1.50 15.0 0.23 ACCOUNT #: 02000070602 BUY 0 Units @0.0000 12/03/2018 ***-**-2461 - CAMPBELL, HOPE THE GROWTH FUND OF AMERICA-529A 100.00 2.75 0.00 2.75 15.0 0.41 ACCOUNT #: 00085796907 BUY 2 Units @54.2200 \$121.23 * CHRISTOPHER MILGROOM SUBTOTAL * \$1,000.00 \$121.23 \$18.19 \$0.00 \$18.19 *** OVERRIDE TRANSACTIONS TOTAL *** \$1,000.00 \$121.23 \$0.00 \$121.23



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SIPC Assessment

Balance Forward

Year-to-date Earnings

\$0.00

\$83.83

\$3,922.45

INVESTMENT GROSS CLEARING NET BROKER DATE CLIENT INVESTMENT AMOUNT COMMISSION CHARGE COMMISSION RATE COMMISSION BROKER COMMISSION TOTALS \$9.78 Broker Transactions Split Transactions \$0.00 \$18.19 Override Transactions \$0.00 Adjustments Payroll Draw \$0.00 Base Salary \$0.00 \$55.86 Prior Period Balance \$0.00 FINRA Assessment

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD