



COMMISSION STATEMENT

November 05, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|---|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: BERT GOLDBERG</u> | | | | | | | | |
| 10/31/2018 | ***-**-29FB - NORFLEET, ACCOUNT #: 463641 | SYMMETRY RIA BUY 0 Units @1.4303 | 14,303.66 | 35.76 | 0.00 | 35.76 | 0.5 | 0.18 |
| | | * BERT GOLDBERG SUBTOTAL * | \$14,303.66 | \$35.76 | \$0.00 | \$35.76 | | \$0.18 |
| <u>BROKER: LINDA BLACK</u> | | | | | | | | |
| 10/31/2018 | ***-**-99FB - TARKINGTON, ACCOUNT #: 393324 | SYMMETRY RIA BUY 0 Units @11.8040 | 118,040.46 | 295.10 | 0.00 | 295.10 | 0.5 | 1.48 |
| 10/31/2018 | ***-**-63FB - DEHAVEN, NICOLE ACCOUNT #: 545696 | SYMMETRY RIA BUY 0 Units @3.1648 | 31,648.42 | 79.12 | 0.00 | 79.12 | 0.5 | 0.40 |
| 10/31/2018 | ***-**-86FB - TRACY, ERIN ACCOUNT #: 965006565 | SYMMETRY RIA BUY 0 Units @14.1739 | 141,739.58 | 354.35 | 0.00 | 354.35 | 0.5 | 1.77 |
| 10/31/2018 | ***-**-36FB - HALLORAN, ACCOUNT #: 965007480 | SYMMETRY RIA BUY 0 Units @16.2492 | 162,492.77 | 406.23 | 0.00 | 406.23 | 0.5 | 2.03 |
| 10/31/2018 | ***-**-86FB - TOBIN, JAYNE ACCOUNT #: 965908406 | SYMMETRY RIA BUY 0 Units @4.2796 | 42,796.54 | 106.99 | 0.00 | 106.99 | 0.5 | 0.53 |
| 10/31/2018 | ***-**-85FB - PARKINS, TAMMY ACCOUNT #: 965912428 | SYMMETRY RIA BUY 0 Units @26.2341 | 262,341.87 | 655.86 | 0.00 | 655.86 | 0.5 | 3.28 |
| | | * LINDA BLACK SUBTOTAL * | \$759,059.64 | \$1,897.65 | \$0.00 | \$1,897.65 | | \$9.49 |
| <u>BROKER: MICHAEL MINTER</u> | | | | | | | | |
| 10/31/2018 | ***-**-30FB - GEORGE, PAMELA ACCOUNT #: 511192 | SYMMETRY RIA BUY 0 Units @4.0209 | 40,209.16 | 100.52 | 0.00 | 100.52 | 0.5 | 0.50 |
| 10/31/2018 | ***-**-51FB - NOLTE, RICHARD ACCOUNT #: 965007102 | SYMMETRY RIA BUY 0 Units @10.1034 | 101,034.62 | 252.59 | 0.00 | 252.59 | 0.5 | 1.26 |
| 10/31/2018 | ***-**-66FB - RUCK, DONALD ACCOUNT #: 965008196 | SYMMETRY RIA BUY 0 Units @32.2634 | 322,634.89 | 806.59 | 0.00 | 806.59 | 0.5 | 4.03 |
| 10/31/2018 | ***-**-86FB - MEYER, CATHLEEN ACCOUNT #: 965009989 | SYMMETRY RIA BUY 0 Units @1.0770 | 10,770.17 | 26.93 | 0.00 | 26.93 | 0.5 | 0.13 |
| 10/31/2018 | ***-**-16FB - MEYER, JAMES ACCOUNT #: 965906803 | SYMMETRY RIA BUY 0 Units @3.4138 | 34,138.28 | 85.35 | 0.00 | 85.35 | 0.5 | 0.43 |
| 10/31/2018 | ***-**-80FB - SZELIGA, DIANE ACCOUNT #: 965908293 | SYMMETRY RIA BUY 0 Units @0.3928 | 3,928.62 | 9.82 | 0.00 | 9.82 | 0.5 | 0.05 |



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| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: MICHAEL MINTER (continued)</u> | | | | | | | | |
| 10/31/2018 | ***-**-34FB - GREINER, TODD | SYMMETRY RIA | 57,579.30 | 143.95 | 0.00 | 143.95 | 0.5 | 0.72 |
| | ACCOUNT #: 965910067 | BUY 0 Units @5.7579 | | | | | | |
| 10/31/2018 | ***-**-77FB - MARKS, WILLIAM | SYMMETRY RIA | 97,168.18 | 242.92 | 0.00 | 242.92 | 0.5 | 1.21 |
| | ACCOUNT #: 965910540 | BUY 0 Units @9.7168 | | | | | | |
| 10/31/2018 | ***-**-39FB - ZEITLER JR, JOHN | SYMMETRY RIA | 307,262.11 | 768.16 | 0.00 | 768.16 | 0.5 | 3.84 |
| | ACCOUNT #: 965911881 | BUY 0 Units @30.7262 | | | | | | |
| 10/31/2018 | ***-**-62FB - ZEITLER, | SYMMETRY RIA | 27,823.09 | 69.56 | 0.00 | 69.56 | 0.5 | 0.35 |
| | ACCOUNT #: 965911883 | BUY 0 Units @2.7823 | | | | | | |
| 10/31/2018 | ***-**-39FB - ZEITLER JR, JOHN | SYMMETRY RIA | 30,131.10 | 75.33 | 0.00 | 75.33 | 0.5 | 0.38 |
| | ACCOUNT #: 965911886 | BUY 0 Units @3.0131 | | | | | | |
| 10/31/2018 | ***-**-34FB - GREINER, TODD | SYMMETRY RIA | 77,834.55 | 194.59 | 0.00 | 194.59 | 0.5 | 0.97 |
| | ACCOUNT #: 965912207 | BUY 0 Units @7.7834 | | | | | | |
| 10/31/2018 | ***-**-30FB - RUSCH, SUSAN | SYMMETRY RIA | 120,152.71 | 300.38 | 0.00 | 300.38 | 0.5 | 1.50 |
| | ACCOUNT #: 965912283 | BUY 0 Units @12.0152 | | | | | | |
| 10/31/2018 | ***-**-29FB - LASKOWSKI, MARK | SYMMETRY RIA | 187,916.28 | 469.79 | 0.00 | 469.79 | 0.5 | 2.35 |
| | ACCOUNT #: 965913778 | BUY 0 Units @18.7916 | | | | | | |
| 10/31/2018 | ***-**-92FB - LASKOWSKI, | SYMMETRY RIA | 87,382.32 | 218.46 | 0.00 | 218.46 | 0.5 | 1.09 |
| | ACCOUNT #: 965913962 | BUY 0 Units @8.7382 | | | | | | |
| 10/31/2018 | ***-**-24FB - SCHOLL, DOROTHY | SYMMETRY RIA | 17,709.67 | 44.28 | 0.00 | 44.28 | 0.5 | 0.22 |
| | ACCOUNT #: 965915010 | BUY 0 Units @1.7709 | | | | | | |
| 10/31/2018 | ***-**-80FB - SZELIGA, DIANE | SYMMETRY RIA | 46,201.75 | 115.51 | 0.00 | 115.51 | 0.5 | 0.58 |
| | ACCOUNT #: 965915641 | BUY 0 Units @4.6201 | | | | | | |
| * MICHAEL MINTER SUBTOTAL * | | | \$1,569,876.80 | \$3,924.73 | \$0.00 | \$3,924.73 | | \$19.61 |
| <u>BROKER: JOSEPH FERRY</u> | | | | | | | | |
| 10/31/2018 | ***-**-96FB - FOSTER, MELISSA | SYMMETRY RIA | 36,557.50 | 91.40 | 0.00 | 91.40 | 0.5 | 0.46 |
| | ACCOUNT #: 534689 | BUY 0 Units @3.6557 | | | | | | |
| * JOSEPH FERRY SUBTOTAL * | | | \$36,557.50 | \$91.40 | \$0.00 | \$91.40 | | \$0.46 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: LAMONT PARKER</u> | | | | | | | | |
| 10/31/2018 | ***-**-90FB - FORREST, RONALD | SYMMETRY RIA | 39,778.42 | 34.59 | 0.00 | 34.59 | 0.5 | 0.17 |
| | ACCOUNT #: 550594 | BUY 0 Units @3.9778 | | | | | | |
| 10/31/2018 | ***-**-90FB - FORREST, RONALD | SYMMETRY RIA | 49,727.98 | 124.32 | 0.00 | 124.32 | 0.5 | 0.62 |
| | ACCOUNT #: 39248679 | BUY 0 Units @4.9727 | | | | | | |
| 10/31/2018 | ***-**-53FB - PANASIEWICZ, | SYMMETRY RIA | 28,327.76 | 88.53 | 0.00 | 88.53 | 0.5 | 0.44 |
| | ACCOUNT #: 965006536 | BUY 0 Units @2.8327 | | | | | | |
| 10/31/2018 | ***-**-53FB - PANASIEWICZ, | SYMMETRY RIA | 21,959.43 | 68.62 | 0.00 | 68.62 | 0.5 | 0.34 |
| | ACCOUNT #: 965912306 | BUY 0 Units @2.1959 | | | | | | |
| 10/31/2018 | ***-**-80FB - PANASIEWICZ, | SYMMETRY RIA | 16,135.19 | 40.34 | 0.00 | 40.34 | 0.5 | 0.20 |
| | ACCOUNT #: 965914842 | BUY 0 Units @1.6135 | | | | | | |
| | * LAMONT PARKER SUBTOTAL * | | \$155,928.78 | \$356.40 | \$0.00 | \$356.40 | | \$1.77 |
| <u>BROKER: ALEX GEORGIEV</u> | | | | | | | | |
| 10/31/2018 | ***-**-58FB - CALHOUN, KEVEN | SYMMETRY RIA | 52,383.99 | 130.96 | 0.00 | 130.96 | 0.5 | 0.65 |
| | ACCOUNT #: 965010132 | BUY 0 Units @5.2383 | | | | | | |
| 10/31/2018 | ***-**-25FB - LANE, CYNTHIA | SYMMETRY RIA | 45,000.60 | 112.50 | 0.00 | 112.50 | 0.5 | 0.56 |
| | ACCOUNT #: 965010341 | BUY 0 Units @4.5000 | | | | | | |
| | * ALEX GEORGIEV SUBTOTAL * | | \$97,384.59 | \$243.46 | \$0.00 | \$243.46 | | \$1.21 |
| <u>BROKER: ARCHIBALD MCMICHAEL</u> | | | | | | | | |
| 10/31/2018 | ***-**-93FB - ELLIS, RUTH | SYMMETRY RIA | 56,926.11 | 213.47 | 0.00 | 213.47 | 0.5 | 1.07 |
| | ACCOUNT #: 965011128 | BUY 0 Units @5.6926 | | | | | | |
| 10/31/2018 | ***-**-051I - HIBBS, RONALD | SYMMETRY RIA | 111,438.12 | 417.89 | 0.00 | 417.89 | 0.5 | 2.09 |
| | ACCOUNT #: 965011431 | BUY 0 Units @11.1438 | | | | | | |
| 10/31/2018 | ***-**-61IN - HIBBS, MELISSA | SYMMETRY RIA | 12,181.46 | 60.91 | 0.00 | 60.91 | 0.5 | 0.30 |
| | ACCOUNT #: 965011433 | BUY 0 Units @1.2181 | | | | | | |
| 10/31/2018 | ***-**-51FB - HIBBS, RONALD | SYMMETRY RIA | 14,531.43 | 72.66 | 0.00 | 72.66 | 0.5 | 0.36 |
| | ACCOUNT #: 965011450 | BUY 0 Units @1.4531 | | | | | | |
| | * ARCHIBALD MCMICHAEL SUBTOTAL * | | \$195,077.12 | \$764.93 | \$0.00 | \$764.93 | | \$3.82 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: JON HEDDLESON</u> | | | | | | | | |
| 10/31/2018 | ***-**-66FB - FIATAL, LINDA ACCOUNT #: 965006383 | SYMMETRY RIA BUY 0 Units @10.4802 | 104,802.46 | 262.01 | 0.00 | 262.01 | 0.5 | 1.31 |
| 10/31/2018 | ***-**-98FB - WHITE, DENISE ACCOUNT #: 965006706 | SYMMETRY RIA BUY 0 Units @6.2708 | 62,708.94 | 156.77 | 0.00 | 156.77 | 0.5 | 0.78 |
| 10/31/2018 | ***-**-16FB - HENNEMYRE, JON ACCOUNT #: 965008326 | SYMMETRY RIA BUY 0 Units @13.0386 | 130,386.14 | 325.96 | 0.00 | 325.96 | 0.5 | 1.63 |
| 10/31/2018 | ***-**-30FB - HOLLINGSWORTH, ACCOUNT #: 965911764 | SYMMETRY RIA BUY 0 Units @3.1417 | 31,417.17 | 78.54 | 0.00 | 78.54 | 0.5 | 0.39 |
| 10/31/2018 | ***-**-18FB - NICOTERA, ACCOUNT #: 965911768 | SYMMETRY RIA BUY 0 Units @1.4453 | 14,453.69 | 36.14 | 0.00 | 36.14 | 0.5 | 0.18 |
| 10/31/2018 | ***-**-18FB - NICOTERA, ACCOUNT #: 965911770 | SYMMETRY RIA BUY 0 Units @7.8707 | 78,707.59 | 196.77 | 0.00 | 196.77 | 0.5 | 0.98 |
| 10/31/2018 | ***-**-13FB - WHITE, SUZANNE ACCOUNT #: 965911837 | SYMMETRY RIA BUY 0 Units @10.7329 | 107,329.00 | 268.32 | 0.00 | 268.32 | 0.5 | 1.34 |
| 10/31/2018 | ***-**-13FB - WHITE, SUZANNE ACCOUNT #: 965911838 | SYMMETRY RIA BUY 0 Units @3.3734 | 33,734.81 | 84.34 | 0.00 | 84.34 | 0.5 | 0.42 |
| 10/31/2018 | ***-**-47FB - HEDDLESON, RAY ACCOUNT #: 965912014 | SYMMETRY RIA BUY 0 Units @2.5664 | 25,664.38 | 64.16 | 0.00 | 64.16 | 0.5 | 0.32 |
| 10/31/2018 | ***-**-93FB - HEDDLESON, ACCOUNT #: 965912017 | SYMMETRY RIA BUY 0 Units @5.5108 | 55,108.30 | 137.77 | 0.00 | 137.77 | 0.5 | 0.69 |
| 10/31/2018 | ***-**-71FB - HLAS, LEE ACCOUNT #: 965912101 | SYMMETRY RIA BUY 0 Units @6.6799 | 66,799.23 | 167.00 | 0.00 | 167.00 | 0.5 | 0.84 |
| 10/31/2018 | ***-**-94FB - NICOTERA, ACCOUNT #: 965912327 | SYMMETRY RIA BUY 0 Units @2.0225 | 20,225.12 | 50.56 | 0.00 | 50.56 | 0.5 | 0.25 |
| 10/31/2018 | ***-**-49FB - SCOTT, DYANA ACCOUNT #: 965912624 | SYMMETRY RIA BUY 0 Units @2.4749 | 24,749.35 | 61.87 | 0.00 | 61.87 | 0.5 | 0.31 |
| 10/31/2018 | ***-**-51FB - WOLF, STEPHEN ACCOUNT #: 965913353 | SYMMETRY RIA BUY 0 Units @13.9063 | 139,063.60 | 434.58 | 0.00 | 434.58 | 0.5 | 2.17 |
| 10/31/2018 | ***-**-03FB - INFALVI, TRACY ACCOUNT #: 965913356 | SYMMETRY RIA BUY 0 Units @5.0746 | 50,746.93 | 158.59 | 0.00 | 158.59 | 0.5 | 0.79 |
| 10/31/2018 | ***-**-82FB - EIDAM, DANIEL ACCOUNT #: 965913467 | SYMMETRY RIA BUY 0 Units @4.0858 | 40,858.05 | 127.68 | 0.00 | 127.68 | 0.5 | 0.64 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: JON HEDDLESON (continued)</u> | | | | | | | | |
| 10/31/2018 | ***-**-43FB - GILBERT, SHELLY | SYMMETRY RIA | 25,406.98 | 79.40 | 0.00 | 79.40 | 0.5 | 0.40 |
| | ACCOUNT #: 965913483 | BUY 0 Units @2.5406 | | | | | | |
| 10/31/2018 | ***-**-86FB - BRACCIO, DINA | SYMMETRY RIA | 41,279.92 | 129.00 | 0.00 | 129.00 | 0.5 | 0.65 |
| | ACCOUNT #: 965913826 | BUY 0 Units @4.1279 | | | | | | |
| 10/31/2018 | ***-**-68FB - SCHLOSSER, | SYMMETRY RIA | 32,145.19 | 80.36 | 0.00 | 80.36 | 0.5 | 0.40 |
| | ACCOUNT #: 965914014 | BUY 0 Units @3.2145 | | | | | | |
| | | * JON HEDDLESON SUBTOTAL * | \$1,085,586.85 | \$2,899.82 | \$0.00 | \$2,899.82 | | \$14.49 |
| | | *** OVERRIDE TRANSACTIONS TOTAL *** | \$3,913,774.94 | \$10,214.15 | \$0.00 | \$10,214.15 | | \$51.03 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$51.03 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$51.03 |
| Year-to-date Earnings | \$9,456.69 |