

Bill Payment Stub

Check Date:	6/7/2018
Check No.:	ACH
Check Amount:	3,424.93

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KATHERINE M. SMITH
1701 RIVER RUN ROAD STE 1116
FORT WORTH, TX
76107

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/31/2018	Bill	COMMISSIONS	3,683.07	3,683.07		3,683.07
5/15/2018	Credit	COMMISSIONS	-138.14	-138.14		-138.14
6/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT May 31, 2018

KATHERINE SMITH-DM31

BROKER #: DM31

BRANCH: DMW

, TX

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

05/21/2018	277285841	FOSTER, MARSHA	MF	FRANKLIN INCOME FUND CLASS A	S	-4,317.88	0.00	5.00	-5.00	90.0	-4.50
05/23/2018	491472374	MYERS III, RICHARD	MF	AMERICAN BALANCED FUND-A	S	15,002.45	412.50	2.50	410.00	90.0	369.00
				* MUTUAL FUNDS SUBTOTAL *		\$10,684.57	\$412.50	\$7.50	\$405.00		\$364.50

PRODUCT CATEGORY: STOCKS

05/14/2018	277285841	FOSTER, MARSHA	S	FACEBOOK INC-CLASS A	S	-1,847.21	20.00	15.00	5.00	90.0	4.50
05/14/2018	277285841	FOSTER, MARSHA	S	WESTAR ENERGY INC	S	-1,226.21	20.00	15.00	5.00	90.0	4.50
05/21/2018	277285841	FOSTER, MARSHA	S	CAMPBELL SOUP COMPANY	B	17,092.35	290.00	15.00	275.00	90.0	247.50
05/09/2018	306844691	GRACEY, WILLIAM	S	NUVEEN MUNICIPAL VALUE FUND	B	3,925.05	111.00	15.00	96.00	90.0	86.40
05/08/2018	368293321	NORRIS, CURTIS	S	PFIZER INCORPORATED	B	17,754.95	320.00	15.00	305.00	90.0	274.50
05/01/2018	368347337	WHITSON, JONATHAN	S	EXXON-MOBIL CORPORATION	B	38,601.85	370.00	15.00	355.00	90.0	319.50
05/02/2018	368347337	WHITSON, JONATHAN	S	PALO ALTO NETWORKS INC	S	-9,771.83	65.00	15.00	50.00	90.0	45.00
05/08/2018	368459537	SMITH, GENE	S	PFIZER INCORPORATED	B	16,489.88	245.00	15.00	230.00	90.0	207.00
04/27/2018	399469831	JOE PAUL JONE IRR	S	TRACTOR SUPPLY COMPANY	S	-68,105.97	390.00	15.00	375.00	90.0	337.50
05/01/2018	399469831	JOE PAUL JONE IRR	S	EXXON-MOBIL CORPORATION	B	76,811.05	390.00	15.00	375.00	90.0	337.50
05/21/2018	399469831	JOE PAUL JONE IRR	S	CAMPBELL SOUP COMPANY	B	33,972.25	370.00	15.00	355.00	90.0	319.50
				* STOCKS SUBTOTAL *		\$123,696.16	\$2,591.00	\$165.00	\$2,426.00		\$2,183.40

PRODUCT CATEGORY: MISCELLANEOUS

05/22/2018	a03932013	SMITH-DM31,	MI	MUTUAL FUND MISC FEE 277285841	S	0.00	25.00	0.00	25.00	90.0	22.50
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$25.00	\$0.00	\$25.00		\$22.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.20	0.00	1.20	90.0	1.08
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.42	0.00	2.42	90.0	2.18
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.45	0.00	2.45	90.0	2.21
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.55	0.00	4.55	90.0	4.10
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.93	0.00	4.93	90.0	4.44
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	10.20	0.00	10.20	90.0	9.18
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	10.23	0.00	10.23	90.0	9.21
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	14.58	0.00	14.58	90.0	13.12
05/21/2018	mfc932013	SMITH KATHERINE	MFT	AMERICAN BALANCED FUND-A	B	0.00	32.97	0.00	32.97	90.0	29.67

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc932013	SMITH KATHERINE	MFT AMERICAN FDS TAX-ADV GROW & INC B	0.00	1.39	0.00	1.39	90.0	1.25
05/21/2018	mfc932013	SMITH KATHERINE	MFT CAPITAL INCOME BUILDER-A B	0.00	35.54	0.00	35.54	90.0	31.99
05/21/2018	mfc932013	SMITH KATHERINE	MFT CAPITAL INCOME BUILDER CLASS C B	0.00	0.13	0.00	0.13	90.0	0.12
05/21/2018	mfc932013	SMITH KATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.07	0.00	2.07	90.0	1.86
05/21/2018	mfc932013	SMITH KATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.11	0.00	5.11	90.0	4.60
05/21/2018	mfc932013	SMITH KATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	14.79	0.00	14.79	90.0	13.31
05/21/2018	mfc932013	SMITH KATHERINE	MFT COLUMBIA DISCIPLINED CORE B	0.00	1.42	0.00	1.42	90.0	1.28
05/21/2018	mfc932013	SMITH KATHERINE	MFT COLUMBIA LARGE CAP GROWTH FUND B	0.00	1.06	0.00	1.06	90.0	0.95
05/21/2018	mfc932013	SMITH KATHERINE	MFT COLUMBIA SELECT INTL EQUITY B	0.00	0.81	0.00	0.81	90.0	0.73
05/21/2018	mfc932013	SMITH KATHERINE	MFT COLUMBIA TAX-EXEMPT FUND-A B	0.00	0.55	0.00	0.55	90.0	0.50
05/21/2018	mfc932013	SMITH KATHERINE	MFT COLUMBIA TOTAL RETURN BOND FD A B	0.00	0.54	0.00	0.54	90.0	0.49
05/21/2018	mfc932013	SMITH KATHERINE	MFT DELAWARE AMERICAN GOVT BOND B	0.00	0.56	0.00	0.56	90.0	0.50
05/21/2018	mfc932013	SMITH KATHERINE	MFT DELAWARE AMERICAN GOVT BOND B	0.00	1.62	0.00	1.62	90.0	1.46
05/21/2018	mfc932013	SMITH KATHERINE	MFT DELAWARE HIGH YIELD B	0.00	0.63	0.00	0.63	90.0	0.57
05/21/2018	mfc932013	SMITH KATHERINE	MFT DELAWARE HIGH YIELD B	0.00	2.68	0.00	2.68	90.0	2.41
05/21/2018	mfc932013	SMITH KATHERINE	MFT DELAWARE HIGH YIELD B	0.00	15.10	0.00	15.10	90.0	13.59
05/21/2018	mfc932013	SMITH KATHERINE	MFT FRANKLIN INCOME FUND CLASS A B	0.00	0.20	0.00	0.20	90.0	0.18
05/21/2018	mfc932013	SMITH KATHERINE	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.30	0.00	0.30	90.0	0.27
05/21/2018	mfc932013	SMITH KATHERINE	MFT FUNDAMENTAL INVESTORS-A B	0.00	16.37	0.00	16.37	90.0	14.73
05/21/2018	mfc932013	SMITH KATHERINE	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	5.44	0.00	5.44	90.0	4.90
05/21/2018	mfc932013	SMITH KATHERINE	MFT INTERMEDIATE BOND FUND OF B	0.00	9.95	0.00	9.95	90.0	8.96
05/21/2018	mfc932013	SMITH KATHERINE	MFT MASSACHUSETTS INVESTORS TRUST-A B	0.00	5.18	0.00	5.18	90.0	4.66
05/21/2018	mfc932013	SMITH KATHERINE	MFT MORGAN STANLEY INS MIDCAP B	0.00	10.35	0.00	10.35	90.0	9.32
05/21/2018	mfc932013	SMITH KATHERINE	MFT NEW PERSPECTIVE FUND-A B	0.00	3.67	0.00	3.67	90.0	3.30
05/21/2018	mfc932013	SMITH KATHERINE	MFT NEW PERSPECTIVE FUND-A B	0.00	4.82	0.00	4.82	90.0	4.34
05/21/2018	mfc932013	SMITH KATHERINE	MFT NEW PERSPECTIVE FUND-A B	0.00	5.69	0.00	5.69	90.0	5.12
05/21/2018	mfc932013	SMITH KATHERINE	MFT OPPENHEIMER STEELPATH MLP B	0.00	154.58	0.00	154.58	90.0	139.12
05/21/2018	mfc932013	SMITH KATHERINE	MFT OPPENHEIMER SENIOR FLOATING B	0.00	224.66	0.00	224.66	90.0	202.19
05/21/2018	mfc932013	SMITH KATHERINE	MFT PUTNAM TAX-FREE HIGH YIELD FUND B	0.00	5.09	0.00	5.09	90.0	4.58
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	0.31	0.00	0.31	90.0	0.28
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	0.54	0.00	0.54	90.0	0.49
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	2.43	0.00	2.43	90.0	2.19
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	2.45	0.00	2.45	90.0	2.21
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	2.56	0.00	2.56	90.0	2.30
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	3.19	0.00	3.19	90.0	2.87
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	4.94	0.00	4.94	90.0	4.45
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	13.06	0.00	13.06	90.0	11.75
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	13.48	0.00	13.48	90.0	12.13
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	22.62	0.00	22.62	90.0	20.36
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A B	0.00	30.09	0.00	30.09	90.0	27.08
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE INCOME FUND OF AMERICA-A B	0.00	17.98	0.00	17.98	90.0	16.18
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE INCOME FUND OF AMERICA-A B	0.00	18.22	0.00	18.22	90.0	16.40
05/21/2018	mfc932013	SMITH KATHERINE	MFT THE INVESTMENT COMPANY OF B	0.00	5.20	0.00	5.20	90.0	4.68
05/21/2018	mfc932013	SMITH KATHERINE	MFT WASHINGTON MUTUAL INVESTORS B	0.00	35.79	0.00	35.79	90.0	32.21

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$786.69	\$0.00	\$786.69		\$708.05
		*** CLEARING TRANSACTIONS TOTAL ***		\$134,380.73	\$3,815.19	\$172.50	\$3,642.69		\$3,278.45

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/21/2018	00089064328	LOGSDON, TRACI	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
05/22/2018	04000143383	ZAVALA, GILBERT	MF	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
05/22/2018	04000143383	ZAVALA, GILBERT	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *						<u>\$100.00</u>	<u>\$4.38</u>	<u>\$0.00</u>	<u>\$4.38</u>		<u>\$3.95</u>
*** DIRECT TRANSACTIONS TOTAL ***						<u>\$100.00</u>	<u>\$4.38</u>	<u>\$0.00</u>	<u>\$4.38</u>		<u>\$3.95</u>

ADJUSTMENTS

05/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
05/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
05/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		455.67
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$400.67

BROKER COMMISSION TOTALS

Broker Transactions	\$3,282.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$400.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,683.07
Year-to-date Commissions	\$38,398.68