

# COMMISSION STATEMENT

September 30, 2018

ARNETT DPM

BROKER #:

BRANCH: DWA

, TX

## COMMISSION STATEMENT for ARNETT DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/05/2018 368288519	MOLTER, DANE	MF VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
09/05/2018 368294569	MOLTER, RACHEL	MF VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
09/25/2018 646263838	O'BRYAN, THOMAS	MF VANGUARD GROWTH INDEX FD	S	252.45	0.00	2.50	-2.50	0.0	0.00
09/20/2018 769618011	VAZQUEZ CUSTODIAN,	MF VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
09/20/2018 769627558	VAZQUEZ CUST, JOSE	MF VANGUARD LARGE CAP INDEX FD ADM	S	502.45	0.00	2.50	-2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$2,162.25	\$0.00	\$12.50	\$-12.50		\$0.00

#### PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

09/21/2018 154253009	BICKLEY, ALLEN	UIT FIRST TRUST MUNICIPAL INC	B	11,996.03	0.00	10.00	-10.00	0.0	0.00
09/21/2018 769614429	VAZQUEZ, JOSE	UIT FIRST TRUST MUNICIPAL INC	B	2,702.43	0.00	10.00	-10.00	0.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$14,698.46	\$0.00	\$20.00	\$-20.00		\$0.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018 mfc037813	VARIOUS, ARNETT	MFT AMCAP FUND-A	B	0.00	0.16	0.00	0.16	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT AMERICAN BALANCED FUND-C	B	0.00	0.72	0.00	0.72	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.15	0.00	0.15	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.51	0.00	3.51	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.80	0.00	4.80	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	17.02	0.00	17.02	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.45	0.00	1.45	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.79	0.00	2.79	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.51	0.00	0.51	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.44	0.00	1.44	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.73	0.00	6.73	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.05	0.00	7.05	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.90	0.00	8.90	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.98	0.00	2.98	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.14	0.00	0.14	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.89	0.00	0.89	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	0.51	0.00	0.51	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	1.37	0.00	1.37	0.0	0.00
09/21/2018 mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.03	0.00	11.03	0.0	0.00

COMMISSION STATEMENT for ARNETT DPM

				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.43	0.00	0.43	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.51	0.00	2.51	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	2.58	0.00	2.58	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	2.93	0.00	2.93	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT GABELLI SMALL CAP GROWTH FD CL	B	0.00	0.59	0.00	0.59	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT GOLD & SPECIAL MINERALS A	B	0.00	0.30	0.00	0.30	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.17	0.00	1.17	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.30	0.00	2.30	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT HARBOR INTERNATIONAL FD INV CL	B	0.00	1.88	0.00	1.88	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT JP MORGAN INVESTOR GROWTH FD CL	B	0.00	2.27	0.00	2.27	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT MFS TOTAL RETURN FUND-A	B	0.00	3.52	0.00	3.52	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	3.05	0.00	3.05	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	3.05	0.00	3.05	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.15	0.00	0.15	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT NEW WORLD FUND-A	B	0.00	9.82	0.00	9.82	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	0.74	0.00	0.74	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	1.17	0.00	1.17	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	3.38	0.00	3.38	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	9.72	0.00	9.72	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.24	0.00	0.24	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.17	0.00	0.17	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.81	0.00	0.81	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	34.57	0.00	34.57	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.56	0.00	0.56	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.97	0.00	2.97	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.37	0.00	0.37	0.0	0.00
09/21/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.55	0.00	0.55	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.47	0.00	0.47	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.35	0.00	1.35	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.30	0.00	3.30	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.50	0.00	4.50	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.32	0.00	6.32	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.62	0.00	6.62	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.35	0.00	8.35	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	10.55	0.00	10.55	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.36	0.00	1.36	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.62	0.00	2.62	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.83	0.00	0.83	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.79	0.00	2.79	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	0.49	0.00	0.49	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	1.31	0.00	1.31	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	10.37	0.00	10.37	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.40	0.00	0.40	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.36	0.00	2.36	0.0	0.00

					INVESTMENT	GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	2.46	0.00	2.46	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	2.76	0.00	2.76	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT GABELLI SMALL CAP GROWTH FD CL	B	0.00	0.56	0.00	0.56	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT GOLD & SPECIAL MINERALS A	B	0.00	0.26	0.00	0.26	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.11	0.00	1.11	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.16	0.00	2.16	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT HARBOR INTERNATIONAL FD INV CL	B	0.00	1.74	0.00	1.74	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT JP MORGAN INVESTOR GROWTH FD CL	B	0.00	2.14	0.00	2.14	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT MFS TOTAL RETURN FUND-A	B	0.00	3.32	0.00	3.32	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	2.88	0.00	2.88	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	2.88	0.00	2.88	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT NEW WORLD FUND-A	B	0.00	9.08	0.00	9.08	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	0.69	0.00	0.69	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	1.10	0.00	1.10	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	3.18	0.00	3.18	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	9.12	0.00	9.12	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.22	0.00	0.22	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	32.58	0.00	32.58	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.77	0.00	0.77	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.80	0.00	2.80	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.35	0.00	0.35	0.0	0.00
09/24/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.52	0.00	0.52	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$311.15	\$0.00	\$311.15		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***					\$16,860.71	\$311.15	\$32.50	\$278.65		\$0.00

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00