



# COMMISSION STATEMENT

## September 10, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/31/2018	***-**-9806 - KERINS, MARILYN	GENWORTH 37250G327	0.00	11.57	0.00	11.57	90.0	10.41
	ACCOUNT #: T06433169	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$11.57</b>	<b>\$0.00</b>	<b>\$11.57</b>		<b>\$10.41</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$11.57</b>	<b>\$0.00</b>	<b>\$11.57</b>		<b>\$10.41</b>

### VERRIDE TRANSACTIONS

#### **BROKER: CHRISTOPHER MILGROOM**

08/27/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	125.00	6.25	0.00	6.25	15.0	0.94
	ACCOUNT #: 00086976260	BUY 3 Units @45.4000						
08/27/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	125.00	3.75	0.00	3.75	15.0	0.56
	ACCOUNT #: 00086976260	BUY 6 Units @20.2200						
08/27/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	6.25	0.00	6.25	15.0	0.94
	ACCOUNT #: 00086976260	BUY 2 Units @54.7200						
09/04/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @52.5500						
09/04/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @54.0700						
09/04/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 1 Units @66.9300						
09/01/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	450.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
09/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.55	0.00	4.55	15.0	0.68
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
09/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	105.00	0.00	105.00	15.0	15.75
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
09/10/2018	***-**-0834 - WIEBE, PHILIP	PORTFOLIO PLAN C	150.00	1.50	0.00	1.50	15.0	0.23
		BUY 0 Units @0.0000						
09/04/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @57.4400						
08/27/2018	***-**-1982 - HOHNECKER, LAURA	THE GROWTH FUND OF AMERICA-A	125.00	6.25	0.00	6.25	15.0	0.94
	ACCOUNT #: 00086976260	BUY 2 Units @59.6200						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> </b>								
08/29/2018	***-**-675P - MONTEFUSCO, ACCOUNT #: 00081937980	THE GROWTH FUND OF AMERICA-A BUY 0 Units @60.0600	10.00	0.50	0.00	0.50	15.0	0.08
		* CHRISTOPHER MILGROOM SUBTOTAL *	\$1,510.00	\$149.55	\$0.00	\$149.55		\$22.44
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$1,510.00	\$149.55	\$0.00	\$149.55		\$22.44

 **BROKER COMMISSION TOTALS** 

Broker Transactions	\$10.41
Split Transactions	\$0.00
Override Transactions	\$22.44
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$50.81
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$83.66</b>
<b>Year-to-date Earnings</b>	<b>\$2,621.54</b>