



# COMMISSION STATEMENT

November 12, 2018

LOUIS HORWIN  
7670 E La Junta Rd  
Scottsdale, AZ 85255

BROKER #: A92  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/02/2018	***-**-648K - PARADY, STEPHEN	ALLIANZGI CONV & INCOME FD COM	9,295.43	150.00	44.30	105.70	50.0	52.85
	ACCOUNT #: LSR011461	BUY 1465 Units @6.3450						
11/05/2018	***-**-42TR - HUBBARD,	ALLIANZGI CONV & INCOME FD COM	4,857.75	98.00	30.30	67.70	50.0	33.85
	ACCOUNT #: LSR011509	BUY 765 Units @6.3500						
* STOCKS SUBTOTAL *			<b>\$14,153.18</b>	<b>\$248.00</b>	<b>\$74.60</b>	<b>\$173.40</b>		<b>\$86.70</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
11/02/2018	***-**-42TR - HUBBARD,	CAPSTEAD MTG CORP COM NO PAR SOLICI	5,099.50	89.16	24.00	65.16	50.0	32.58
	ACCOUNT #: LSR011509	SELL 700 Units @7.2850						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			<b>\$5,099.50</b>	<b>\$89.16</b>	<b>\$24.00</b>	<b>\$65.16</b>		<b>\$32.58</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$19,252.68</b>	<b>\$337.16</b>	<b>\$98.60</b>	<b>\$238.56</b>		<b>\$119.28</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$119.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$119.28
Year-to-date Earnings	\$4,653.83