

COMMISSION STATEMENT

September 30, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	743.65	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	10,165.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	51,000.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	440.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	S	159,501.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	11.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	6,468.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	4,944.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	535.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	5.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	8,640.25	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	11,000.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	8,305.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	6,985.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	1,622.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	60.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	64.20	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	454.75	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	535.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	1,605.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	1,100.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	2,909.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM	ISIN#NL0010872420	B	148.50	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	2,755.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	2,750.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	17,997.40	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	9,892.15	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	743.65	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	454.75	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	64.20	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	1,605.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	550.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	B	544.50	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	B	29,950.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	S	29,950.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	JD.COM INC SPONSORED ADR REPSTG COM	B	31,025.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	JD.COM INC SPONSORED ADR REPSTG COM	S	31,025.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	S	17,405.70	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,740.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,741.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	3,447.18	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,740.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,740.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	487.20	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	34.82	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,740.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,253.52	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,741.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	MONMOUTH REAL ESTATE INVT CORP COM	B	1,741.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	TENCENT HOLDINGS LIMITED UNSPONSORE	S	43,580.00	0.00	0.00	0.00	80.0	0.00
08/30/2018	75599821 RF LAFFERTY & CO	TENCENT HOLDINGS LIMITED UNSPONSORE	B	43,580.00	0.00	0.00	0.00	80.0	0.00
09/04/2018	75599821 RF LAFFERTY & CO	ATTUNITY LTD	S	94,148.31	0.00	0.00	0.00	80.0	0.00
09/04/2018	75599821 RF LAFFERTY & CO	ATTUNITY LTD	B	188,759.00	0.00	0.00	0.00	80.0	0.00
09/04/2018	75599821 RF LAFFERTY & CO	ATTUNITY LTD	S	94,611.82	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	4,688.00	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	S	18,752.00	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	4,688.00	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	4,688.00	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	BOX INC CL A	B	4,688.00	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	HEICO CORPORATION	B	23,144.98	0.00	0.00	0.00	80.0	0.00
09/05/2018	75599821 RF LAFFERTY & CO	HEICO CORPORATION	S	23,145.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO	S	1,600.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO	S	1,600.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		384.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		4,800.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		1,184.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		4,784.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		3,200.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		1,600.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO S		4,800.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599821 RF LAFFERTY & CO	TRONOX LTD SHS CL A ISIN#AU000XINEO B		23,952.00	0.00	0.00	0.00	80.0	0.00
09/10/2018	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR B		18,406.48	0.00	0.00	0.00	80.0	0.00
09/10/2018	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR S		73,625.92	0.00	0.00	0.00	80.0	0.00
09/10/2018	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR B		18,406.48	0.00	0.00	0.00	80.0	0.00
09/10/2018	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR B		18,406.48	0.00	0.00	0.00	80.0	0.00
09/10/2018	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR B		18,406.48	0.00	0.00	0.00	80.0	0.00
09/11/2018	75599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPAN ETF S		136,608.00	0.00	0.00	0.00	80.0	0.00
09/11/2018	75599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPAN ETF B		136,608.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	16,745.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	33,490.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	33,490.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	33,510.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	AT&T INC	S	133,990.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	AT&T INC	B	16,755.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	BLUE BIRD CORPORATION COM	S	2,581.43	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599821 RF LAFFERTY & CO	BLUE BIRD CORPORATION COM	B	2,581.43	0.00	0.00	0.00	80.0	0.00
09/17/2018	75599821 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	2,304.00	0.00	0.00	0.00	80.0	0.00
09/17/2018	75599821 RF LAFFERTY & CO	KINERJAPAY CORP COM	S	2,304.00	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	B	6,635.80	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	6,635.78	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	1,256.78	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	2,423.79	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	2,423.79	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	6,553.21	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	1,256.66	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	5,296.43	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	B	43,624.14	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	S	17,952.80	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599821 RF LAFFERTY & CO	PAYPAL HOLDINGS INC COM	S	44,882.00	0.00	0.00	0.00	80.0	0.00
09/19/2018	75599821 RF LAFFERTY & CO	TILRAY INC COM	S	9,250.00	0.00	0.00	0.00	80.0	0.00
09/19/2018	75599821 RF LAFFERTY & CO	TILRAY INC COM	B	9,250.00	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599821 RF LAFFERTY & CO	SPRAGUE RESOURCES LP UNITS	S	41,250.00	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599821 RF LAFFERTY & CO	SPRAGUE RESOURCES LP UNITS	S	41,250.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/20/2018	75599821 RF LAFFERTY & CO	SPRAGUE RESOURCES LP UNITS	S	41,265.00	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599821 RF LAFFERTY & CO	SPRAGUE RESOURCES LP UNITS	B	82,544.46	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599821 RF LAFFERTY & CO	SPRAGUE RESOURCES LP UNITS	B	82,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599821 RF LAFFERTY & CO	SPRAGUE RESOURCES LP UNITS	S	41,279.46	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	KESSELRUN RESOURCES LTD COM	S	553.99	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	KESSELRUN RESOURCES LTD COM	B	553.99	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,278.90	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		49,144.00	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,290.00	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,275.79	0.00	0.00	0.00	80.0	0.00
09/21/2018	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,300.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		851.70	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD B		20,043.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		150.30	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		100.20	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		3,056.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		851.70	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		2,004.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		751.88	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,253.13	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSORED AD S		1,002.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN MINING CORP COM	S	1,219.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN MINING CORP COM	B	1,219.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599821 RF LAFFERTY & CO	NOVAVAX INC COM	S	9,650.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599821 RF LAFFERTY & CO	NOVAVAX INC COM	B	9,648.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599821 RF LAFFERTY & CO	OPKO HEALTH INC	S	15,880.40	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599821 RF LAFFERTY & CO	OPKO HEALTH INC	B	15,880.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599821 RF LAFFERTY & CO	VEON LTD ADS	B	8,759.70	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599821 RF LAFFERTY & CO	VEON LTD ADS	S	8,760.00	0.00	0.00	0.00	80.0	0.00
09/14/2018	75599822 RF LAFFERTY & CO	BLUE BIRD CORPORATION COM	S	2,581.43	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2018	75599822 RF LAFFERTY & CO	BLUE BIRD CORPORATION COM	B	2,578.95	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599822 RF LAFFERTY & CO	GENERAL ELECTRIC CO	S	25.38	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599822 RF LAFFERTY & CO	GENERAL ELECTRIC CO	B	12.67	0.00	0.00	0.00	80.0	0.00
09/18/2018	75599822 RF LAFFERTY & CO	GENERAL ELECTRIC CO	B	12.67	0.00	0.00	0.00	80.0	0.00
09/11/2018	75599823 RF LAFFERTY & CO	EAGLE MATERIALS INC	B	-134,744.00	0.00	0.00	0.00	80.0	0.00 C
09/11/2018	75599823 RF LAFFERTY & CO	EAGLE MATERIALS INC	S	134,743.73	0.00	0.00	0.00	80.0	0.00
09/11/2018	75599823 RF LAFFERTY & CO	LAM RESEARCH CORP	B	-232,112.00	0.00	0.00	0.00	80.0	0.00 C
09/11/2018	75599823 RF LAFFERTY & CO	LAM RESEARCH CORP	S	232,111.58	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	5,796.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	5,796.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	5,796.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	11,593.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	11,593.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	5,796.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	11,593.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	S	92,744.01	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	17,389.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	5,796.50	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	11,593.00	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	76,120.80	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	9,515.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	12,477.45	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	5,347.48	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	19,607.43	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	B	162,206.91	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	3,564.99	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	5,347.48	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	10,694.96	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	5,347.48	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	7,129.97	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	12,477.45	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	3,564.99	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	8,912.47	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	5,347.48	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	5,347.48	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	5,347.48	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	21,389.92	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,782.49	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	26,737.40	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	1,782.49	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	2,438.05	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	8,776.98	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	5,363.71	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	4,876.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	27,306.16	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	5,363.71	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	3,413.27	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	7,801.76	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	12,677.86	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	5,363.71	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	B	162,861.74	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	12,190.25	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	3,413.27	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	5,363.71	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	4,876.10	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	20,967.23	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	1,462.83	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	11,215.03	0.00	0.00	0.00	80.0	0.00
09/24/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	19,992.01	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	B	2,356.06	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ALPHABET INC CLASS C CAPITAL STOCK	S	2,356.06	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	B	5,852.73	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	AMAZON.COM INC	S	5,852.73	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	B	612.65	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	AMEDISYS INC	S	612.65	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ANSYS INC	B	738.96	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ANSYS INC	S	738.96	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	BECTON DICKINSON & CO	S	787.32	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	BECTON DICKINSON & CO	B	787.32	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	S	693.32	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	BIOGEN INC	B	693.32	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	BROADCOM INC COMMON STOCK	S	247.49	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	BROADCOM INC COMMON STOCK	B	247.49	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/25/2018	75599823 RF LAFFERTY & CO	CATERPILLAR INC	S	461.52	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	CATERPILLAR INC	B	461.52	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	CELANESE CORPORATION SERIES A	S	116.12	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	CELANESE CORPORATION SERIES A	B	116.12	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	B	451.25	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	COLUMBIA SPORTSWEAR CO	S	451.25	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	COPART INC	S	307.08	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	COPART INC	B	307.08	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	S	329.50	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ECHO GLOBAL LOGISTICS INC COM	B	329.50	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	S	412.78	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	EPAM SYSTEMS INC	B	412.78	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	GRUBHUB INC COM	B	832.56	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	GRUBHUB INC COM	S	832.56	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	B	271.48	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	HEICO CORPORATION	S	271.48	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	B	360.67	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ILLUMINA INC	S	360.67	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	LEAR CORPORATION	S	454.81	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	LEAR CORPORATION	B	454.81	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	S	648.64	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	LENNOX INTL INC	B	648.64	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	NOVOCURE LIMITED SHS ISIN#JE00BYSS4	S	497.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	NOVOCURE LIMITED SHS ISIN#JE00BYSS4	B	497.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	S	158.57	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	OLD DOMINION FREIGHT LINES INC	B	158.57	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	S	299.99	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	ROPER TECHNOLOGIES NEW	B	299.99	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	SIMPSON MANUFACTURING CO INC	B	510.65	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	SIMPSON MANUFACTURING CO INC	S	510.65	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	STEEL DYNAMICS INC COM	S	277.38	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	STEEL DYNAMICS INC COM	B	277.38	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	S	484.54	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	B	484.54	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	S	198.82	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	UBIQUITI NETWORKS INC COM	B	198.82	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	VAIL RESORTS INC	S	570.68	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599823 RF LAFFERTY & CO	VAIL RESORTS INC	B	570.68	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$3,499,329.55	\$0.00	\$0.00	\$0.00		\$0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
09/06/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREAS NTS 1.500% 03/3 B		298,782.00	0.00	0.00	0.00	80.0	0.00
09/06/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREAS NTS 1.500% 03/3 S		298,881.00	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599820 RF LAFFERTY & CO	SAFEWAY INC SR DEB	S	28,683.60	0.00	0.00	0.00	80.0	0.00
09/20/2018	75599820 RF LAFFERTY & CO	SAFEWAY INC SR DEB	B	28,233.60	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599826 RF LAFFERTY & CO	AK STEEL CORP SENIOR NOTES	B	-48,250.00	0.00	0.00	0.00	80.0	0.00 C
08/29/2018	75599826 RF LAFFERTY & CO	AK STEEL CORP SENIOR NOTES	S	48,250.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599826 RF LAFFERTY & CO	AK STEEL CORP SENIOR NOTES	B	37,572.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599826 RF LAFFERTY & CO	AK STEEL CORP SENIOR NOTES	S	-37,572.00	0.00	0.00	0.00	80.0	0.00 C
08/29/2018	75599826 RF LAFFERTY & CO	AK STEEL CORP SENIOR NOTES	B	37,572.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599826 RF LAFFERTY & CO	AK STEEL CORP SENIOR NOTES	S	48,250.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE FINL CO LKD TO TWTR S	S	30,000.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE FINL CO LKD TO TWTR B	B	29,400.00	0.00	0.00	0.00	80.0	0.00
09/25/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE FINL CO LKD TO TWTR S	S	-29,400.00	0.00	0.00	0.00	80.0	0.00 C
09/25/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE FINL CO LKD TO TWTR B	B	29,400.00	0.00	0.00	0.00	80.0	0.00
09/26/2018	75599826 RF LAFFERTY & CO	SEARS HLDGS CORP SR SECD 6.625%18 S	S	9,050.00	0.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *				\$808,852.20	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>									
07/27/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. III/III	B	991.36	0.00	0.00	0.00	80.0	0.00
07/27/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. III/III	B	245.38	0.00	0.00	0.00	80.0	0.00
* RIA SUBTOTAL *				\$1,236.74	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018		FEE COUNT - 76		0.00	239.37	0.00	239.37	80.0	191.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$239.37	\$0.00	\$239.37		\$191.48
*** BROKER TRANSACTIONS TOTAL ***				\$4,309,418.49	\$239.37	\$0.00	\$239.37		\$191.48
<u>ADJUSTMENTS</u>									
09/30/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-10.00

BROKER COMMISSION TOTALS

Broker Transactions	\$191.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.30
SIPC Assessment	\$-0.60

Check Amount	\$180.58
Year-to-date Earnings	\$495.47