



# COMMISSION STATEMENT

## September 10, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u></b>								
08/21/2018	***-**-84IO - MERNONE, JOY	GWG L BOND - 2YR BUY 200 Units @1000.0000	200,000.00	4,000.00	0.00	4,000.00	90.0	3,600.00
		<b>* ALTERNATIVE INVESTMENTS SUBTOTAL *</b>	<b>\$200,000.00</b>	<b>\$4,000.00</b>	<b>\$0.00</b>	<b>\$4,000.00</b>		<b>\$3,600.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/25/2018	***-**-433I - BECCE, WILLIAM	PACIFIC LIFE ABRB DTCC ACCOUNT #: VP71053600 BUY 0 Units @0.0000	200.00	3.00	0.00	3.00	90.0	2.70
08/25/2018	***-**-7994 - NEWMAN, JOSHUA	PACIFIC LIFE SE5-NYB DTCC ACCOUNT #: VP71067450 BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
09/06/2018	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC ACCOUNT #: VP71067620 BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
09/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS ACCOUNT #: VP71050690 BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34
09/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS ACCOUNT #: VP71050690 BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34
09/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS ACCOUNT #: VP71049160 BUY 0 Units @0.0000	200.00	0.38	0.00	0.38	90.0	0.34
09/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS ACCOUNT #: VP71049160 BUY 0 Units @0.0000	200.00	1.13	0.00	1.13	90.0	1.02
09/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS ACCOUNT #: VP71049160 BUY 0 Units @0.0000	200.00	1.50	0.00	1.50	90.0	1.35
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$1,450.00</b>	<b>\$14.27</b>	<b>\$0.00</b>	<b>\$14.27</b>		<b>\$12.85</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/31/2018	***-**-01IN - PASCALE, ROBERT	JACKSON 468511480 ACCOUNT #: 1200005320 BUY 0 Units @0.0000	0.00	121.10	0.00	121.10	90.0	108.99
08/31/2018	***-**-67IN - SOLA, MARIA	JACKSON 468511480 ACCOUNT #: 1200291765 BUY 0 Units @0.0000	0.00	111.67	0.00	111.67	90.0	100.50
08/28/2018	***-**-433I - BECCE, WILLIAM	PACIFIC LIFE ABRB DTCC ACCOUNT #: VP71053600 BUY 0 Units @0.0000	0.00	7.54	0.00	7.54	90.0	6.79
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$240.31</b>	<b>\$0.00</b>	<b>\$240.31</b>		<b>\$216.28</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$201,450.00</b>	<b>\$4,254.58</b>	<b>\$0.00</b>	<b>\$4,254.58</b>		<b>\$3,829.13</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFFREY MORRISON</u></b>								
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	AGNC INVT CORP COM UNSOLICITED ORDE SELL 155 Units @19.2550	2,984.53	37.60	12.00	25.60	90.0	23.04
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	BCB BANCORP INC (NJ) SELL 101 Units @14.6360	1,478.24	27.28	8.51	18.77	90.0	16.89
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	CRONOS GROUP INC COM NPV ISIN #CA22 SELL 100 Units @11.3970	1,139.70	24.44	8.50	15.94	90.0	14.35
09/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
09/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: VP65523640	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	350.00	1.75	0.00	1.75	90.0	1.58
09/04/2018	***-**-5634 - BLOCH, RAMNIT ACCOUNT #: VP71073350	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	35.00	0.53	0.00	0.53	90.0	0.48
09/04/2018	***-**-5634 - BLOCH, RAMNIT ACCOUNT #: VP71073350	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	15.00	0.23	0.00	0.23	90.0	0.21
09/06/2018	***-**-7728 - BALBONA, ADRIANA ACCOUNT #: VP71061840	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	135.00	2.03	0.00	2.03	90.0	1.83
08/25/2018	***-**-8584 - WINCHURCH, ACCOUNT #: VP71048920	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
08/25/2018	***-**-8584 - WINCHURCH, ACCOUNT #: VP71048920	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
09/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
09/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.50	0.00	0.50	90.0	0.45
09/01/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.13	0.00	0.13	90.0	0.12
09/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59
09/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59
09/01/2018	***-**-485I - YANKILEVICH, ACCOUNT #: VP65871480	PACIFIC LIFE VA RENEWAL DTCC BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	90.0	2.25



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
09/06/2018	***-**-24IN - BONGORT, ACCOUNT #: LSR010325	WALMART STORES INC UNSOLICITED ORDE SELL 15 Units @96.4840	1,447.26	27.00	7.65	19.35	90.0	17.42
* JEFFREY MORRISON SUBTOTAL *			<u>\$9,384.73</u>	<u>\$128.20</u>	<u>\$36.66</u>	<u>\$91.54</u>		<u>\$82.40</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$9,384.73</u>	<u>\$128.20</u>	<u>\$36.66</u>	<u>\$91.54</u>		<u>\$82.40</u>

<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ALAN FISHMAN</u></b>								
09/10/2018	***-**-5103 - VICARI, KEVIN	RELIASTAR RENEWALS BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	7.5	0.11
* ALAN FISHMAN SUBTOTAL *			<u>\$0.00</u>	<u>\$1.46</u>	<u>\$0.00</u>	<u>\$1.46</u>		<u>\$0.11</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$1.46</u>	<u>\$0.00</u>	<u>\$1.46</u>		<u>\$0.11</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$3,829.13
Split Transactions	\$82.40
Override Transactions	\$0.11
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,911.64
Year-to-date Earnings	\$48,239.82