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BROKER

COMMISSION STATEMENT

October 31, 2018

RANDY SMITH-D061

9000 Highway 2147 West Suite 201 Horseshoe Bay, TX 78657

BROKER #: D061 BRANCH: DMA

CLEARING

NET

GROSS

COMMISSION STATEMENT for RANDY SMITH-D061

INVESTMENT

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			CLEARING T	'RAN	SACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS									
10/01/2018 15	3180186 BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	В	-600.00	0.00	5.00	-5.00	50.0	-2.50
10/01/2018 15	3180186 BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	-600.00	0.00	5.00	-5.00	50.0	-2.50
10/15/2018 36	8282032 MARTINEZ, RAYMOND	MF	WASHINGTON MUTUAL INVESTORS	В	-4,387.55	0.00	5.00	-5.00	50.0	-2.50
10/15/2018 36	8282032 MARTINEZ, RAYMOND	MF	WASHINGTON MUTUAL INVESTORS	В	-1,647.55	0.00	5.00	-5.00	50.0	-2.50
10/18/2018 36	8282032 MARTINEZ, RAYMOND	MF	WASHINGTON MUTUAL INVESTORS	В	-447.55	0.00	5.00	-5.00	50.0	-2.50
10/01/2018 36	8430697 BURTON, RUSSELL	MF	CAPITAL INCOME BUILDER-F1	В	-2,477.55	0.00	5.00	-5.00	50.0	-2.50
10/12/2018 67	7002494 SHEWITZ, ZACHARY	MF	DAVIS NEW YORK VENTURE CLASS A	S	-248.00	0.00	5.00	-5.00	50.0	-2.50
10/12/2018 67	7002494 SHEWITZ, ZACHARY	MF	MASS INVESTORS GROWTH STOCK	S	-292.06	0.00	5.00	-5.00	50.0	-2.50
10/12/2018 67	7204159 SCHROEDER, RICHARD	MF	AMERICAN HIGH-INCOME TRUST-A	В	-1,977.55	0.00	5.00	-5.00	50.0	-2.50
			* MUTUAL FUNDS SUBTOTAL	*	\$-12,677.81	\$0.00	\$45.00	\$-45.00		\$-22.50
PRODUCT CAT	EGORY: STOCKS									
09/28/2018 24	6833405 EVETTS, JAMES	S	NATIONAL GRID	S	-7,541.10	25.00	15.00	10.00	50.0	5.00
10/02/2018 24	6833405 EVETTS, JAMES	S	GENERAL ELECTRIC COMPANY	В	2,175.60	35.00	15.00	20.00	50.0	10.00
10/02/2018 24	6833405 EVETTS, JAMES	S	GEO GROUP INC NEW/ THE	В	2,488.95	35.00	15.00	20.00	50.0	10.00
0/02/2018 24	6833405 EVETTS, JAMES	S	KIMCO REALTY CORP COM STK_(MD)	В	1,669.31	35.00	15.00	20.00	50.0	10.00
0/02/2018 24	6833405 EVETTS, JAMES	S	MEDICAL PROPERTIES TRUST INC	В	1,499.31	35.00	15.00	20.00	50.0	10.00
LO/15/2018 49	2642569 MCLEOD, MARTHA	S	ETFMG ALTERNATIVE HARVEST ETF	В	70,313.92	35.00	15.00	20.00	50.0	10.00
10/17/2018 49	2642569 MCLEOD, MARTHA	S	ETFMG ALTERNATIVE HARVEST ETF	В	196,384.45	35.00	15.00	20.00	50.0	10.00
10/17/2018 49	2642569 MCLEOD, MARTHA	S	ETFMG ALTERNATIVE HARVEST ETF	S	-66,431.69	35.00	15.00	20.00	50.0	10.00
10/01/2018 67	6362695 SMITH, RANDALL	S	CARNIVAL CORP NEW PARIED COM	В	6,470.95	25.00	15.00	10.00	50.0	5.00
10/02/2018 67	6367088 SMITH, RANDALL	S	KIMCO REALTY CORP COM STK_(MD)	В	9,777.39	25.00	15.00	10.00	50.0	5.00
10/02/2018 67	6367088 SMITH, RANDALL	S	MEDICAL PROPERTIES TRUST INC	В	14,667.35	25.00	15.00	10.00	50.0	5.00
10/02/2018 67	6367088 SMITH, RANDALL	S	ROYAL DUTCH SHELL PLC-SPON ADR	В	14,265.17	25.00	15.00	10.00	50.0	5.00
10/02/2018 67	6367088 SMITH, RANDALL	S	WP CAREY INC	В	19,098.45	25.00	15.00	10.00	50.0	5.00
			* STOCKS SUBTOTAL	*	\$264,838.06	\$395.00	\$195.00	\$200.00		\$100.00
PRODUCT CAT	EGORY: MISCELLANEOUS									
10/02/2018 a0	3219642 SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 368430697	S	0.00	20.00	0.00	20.00	50.0	10.00
10/15/2018 a0	3219642 SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677002494	S	0.00	10.00	0.00	10.00	50.0	5.00
10/15/2018 a0	3219642 SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677002494	S	0.00	10.00	0.00	10.00	50.0	5.00
10/15/2018 a0	3219642 SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677204159	S	0.00	20.00	0.00	20.00	50.0	10.00

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TRADE DATE CLIENT	COMMISSION STATEMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/16/2018 a03219642 SMITH-D061, RANDY	MI MUTUAL FUND MISC FEE 368282032	S	0.00	10.00	0.00	10.00	50.0	5.00
	* MISCELLANEOUS SUBTOTAL	*	\$0.00	\$70.00	\$0.00	\$70.00		\$35.00
PRODUCT CATEGORY: CERTIFICATES OF	DEPOSIT							
10/23/2018 152981027 BURTON, RUSSELL	CD BOSTON PRIVATE BK & TR CO MA	В	39,000.00	16.58	20.00	-3.42	50.0	-1.71
	* CERTIFICATES OF DEPOSIT SUBTOTAL	*	\$39,000.00	\$16.58	\$20.00	\$-3.42		\$-1.71
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
10/19/2018 mfc219642 SMITH RANDALL	MFT AIM GLOBAL AGGRESSIVE GROWTH	В	0.00	3.71	0.00	3.71	50.0	1.86
10/19/2018 mfc219642 SMITH RANDALL	MFT AIM GLOBAL AGGRESSIVE GROWTH	В	0.00	3.90	0.00	3.90	50.0	1.95
10/19/2018 mfc219642 SMITH RANDALL	MFT ALLIANCEBERNSTEIN GLO GOVT INC	В	0.00	10.85	0.00	10.85	50.0	5.43
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	2.20	0.00	2.20	50.0	1.10
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	7.49	0.00	7.49	50.0	3.75
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	11.69	0.00	11.69	50.0	5.85
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	14.04	0.00	14.04	50.0	7.02
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	16.51	0.00	16.51	50.0	8.26
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	17.14	0.00	17.14	50.0	8.57
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	20.18	0.00	20.18	50.0	10.09
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	20.31	0.00	20.31	50.0	10.16
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	31.24	0.00	31.24	50.0	15.62
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	41.82	0.00	41.82	50.0	20.91
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	42.87	0.00	42.87	50.0	21.44
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	В	0.00	62.46	0.00	62.46	50.0	31.23
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-F1	В	0.00	11.46	0.00	11.46	50.0	5.73
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN HIGH-INCOME TRUST-A	В	0.00	16.55	0.00	16.55	50.0	8.28
10/19/2018 mfc219642 SMITH RANDALL	MFT AMERICAN MUTUAL FUND-A	В	0.00	5.39	0.00	5.39	50.0	2.70
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL APPRECIATION A	В	0.00	1.95	0.00	1.95	50.0	0.98
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	В	0.00	1.74	0.00	1.74	50.0	0.87
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	В	0.00	4.82	0.00	4.82	50.0	2.41
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	В	0.00	14.54	0.00	14.54	50.0	7.27
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	В	0.00	17.72	0.00	17.72	50.0	8.86
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	В	0.00	22.71	0.00	22.71	50.0	11.36
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	В	0.00	79.00	0.00	79.00	50.0	39.50
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	В	0.00	1.16	0.00	1.16	50.0	0.58
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	В	0.00	3.29	0.00	3.29	50.0	1.65
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	В	0.00	15.58	0.00	15.58	50.0	7.79
10/19/2018 mfc219642 SMITH RANDALL	MFT CAPITAL WORLD BOND FUND-A	В	0.00	5.70	0.00	5.70	50.0	2.85
10/19/2018 mfc219642 SMITH RANDALL	MFT DAVIS NEW YORK VENTURE CLASS A	В	0.00	0.06	0.00	0.06	50.0	0.03
10/19/2018 mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	В	0.00	6.87	0.00	6.87	50.0	3.44
10/19/2018 mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	В	0.00	15.70	0.00	15.70	50.0	7.85
10/19/2018 mfc219642 SMITH RANDALL	MFT DREYFUS HIGH YIELD FD A	В	0.00	2.92	0.00	2.92	50.0	1.46
10/19/2018 mfc219642 SMITH RANDALL	MFT DREYFUS PREMIER TECHNOLOGY	В	0.00	4.09	0.00	4.09	50.0	2.05
10/19/2018 mfc219642 SMITH RANDALL	MFT EATON VANCE UTILITIES FUND	В	0.00	2.68	0.00	2.68	50.0	1.34
10/19/2018 mfc219642 SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	В	0.00	0.35	0.00	0.35	50.0	0.18
10/19/2018 mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	В	0.00	18.28	0.00	18.28	50.0	9.14

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1.01 1.02 1.02 1.02 1.02 1.02 1.02 1.02 1.03 1.02 1.03 1.02 1.03 1.02 1.03 1.02 1.03	TRADE DATE	CLIENT		INVESTMEN	T	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10.19/2018 MICHIGANINAL MIT FIDELITY AND REMEDIES MIXTE NEEDS 0.00 1.28 0.00 6.26 5.00 5.27 5.00 6.26 5.	10/19/2018 mi		RANDALL M			В						
10/19/2018 REC219642 SWITTH RANDALL MET FINENCE MOUTH FINEN CLASS A B 0.00 6.28 0.00 6.28 5.00 3.17 10/19/2018 REC219642 SWITTH RANDALL MET FRANCILLY INCOME FINEN CLASS A B 0.00 80.61 0.00 60.61 50.0 3.37 10/19/2018 REC219642 SWITTH RANDALL MET FRANCILLY INCOME FINEN CLASS A B 0.00 5.72 0.00 5.72 50.0 2.86 10/19/2018 REC219642 SWITTH RANDALL MET FRANCILLY INCOME FINEN CLASS A B 0.00 1.16 0.00 1.16 50.0 2.86 10/19/2018 REC219642 SWITTH RANDALL MET FRANCILLY INVESTORS—A B 0.00 1.56 0.00 1.16 50.0 5.78 0.00 0.17 0.00	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FIDELITY	ADVISOR MID CAP STOCK	В	0.00	5.69	0.00	5.69	50.0	2.85
10/19/2018 mfc219642 MHTH RANNALL MFT FRANKLIN GROWTH FIND CLASS A 0.00 6.13 0.00 6.13 0.00 0.14 0.03 0.01 10/19/2018 mfc219642 SHITH RANNALL MFT FRANKLIN HOUSE FUND CLASS A 0.00 1.46 0.00 1.46 0.00 0.73 0.00 0.75 0.00 0.00 0.75 0.00	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FIDELITY	ADV EMERGING MRKTS IN	В	0.00	3.29	0.00	3.29	50.0	1.65
10.1197/2018 mfc219642 SMITH RANDALL MET FRANKLIN INCOME FIND CLASS C	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FIDELITY	ADVISOR SMALL CAP FUN	ΟВ	0.00	6.26	0.00	6.26	50.0	3.13
10/19/2018 #67219642 SMITH HANNALL MPT PHANKLIN LICKORE FUND CLASS A 0.00 1.46 0.00 1.66 50.0 0.58 10/19/2018 #67219642 SMITH HANNALL MPT PHANKLIN LICKORE FUND CLASS A 0.00 1.16 0.00 1.16 50.0 0.58 10/19/2018 #67219642 SMITH RANNALL MPT PHANKANIL LICKORESCA 0.00 1.16 0.00 1.16 50.0 0.58 10/19/2018 #67219642 SMITH RANNALL MPT PHANKANIL LICKORESCA 0.00 6.35 0.00 1.56 50.0 0.78 10/19/2018 #67219642 SMITH RANNALL MPT PHANKANIL LICKORESCA 0.00 6.35 0.00 1.16 50.0 0.00 1.16 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 50.0 0.00 1.00 1.00 1.00 50.0 0.00 1.00 1.00 1.00 50.0 0.00 1.00 1.00 1.00 50.0 0.00 1.00 1.00 1.00 50.0 0.00 1.	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FRANKLIN	GROWTH FUND CLASS A	В	0.00	6.33	0.00	6.33	50.0	3.17
10/19/2018 mfc219642 SMITH RANDALL MTT FURNAMENTAL INVESTORS-A B 0.00 5.72 0.00 5.72 5.00 0.58	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FRANKLIN	I INCOME FUND CLASS C	В	0.00	60.61	0.00	60.61	50.0	30.31
10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 1.16 0.00 1.56 0.00 0.58 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 6.35 0.00 6.35 50.0 3.18 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 13.80 0.00 13.80 50.0 6.35 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 12.80 0.00 12.83 50.0 6.99 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 12.95 0.00 12.95 50.0 6.99 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 12.63 0.00 12.63 50.0 6.12 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL INVESTORS-A B 0.00 12.63 0.00 12.63 50.0 6.12 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL SMERTER SMERT B 0.00 4.77 0.00 4.77 50.0 2.39 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL SMERTER SMERTER SMERT B 0.00 4.77 0.00 4.77 50.0 2.39 10/19/2018 mfc219642 SMITH RANDALL MPT FURDAMENTAL SMERTER SMERTH STOCK B 0.00 4.92 0.00 4.92 50.0 0.64 10/19/2018 mfc219642 SMITH RANDALL MPT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MPT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MPT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MPT MSS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MPT MSS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MPT MSS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MPT MSS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL M	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FRANKLIN	I INCOME FUND CLASS A	В	0.00	1.46	0.00	1.46	50.0	0.73
10/19/2018 mfc219642 SMITH RANDALL MPT PUNDAMENTAL INVESTORS-A B 0.00 1.56 0.00 0.35 50.0 0.78	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FRANKLIN	I INCOME FUND CLASS A	В	0.00	5.72	0.00	5.72	50.0	2.86
10/19/2018 mfc219642 SMITH RANDALL MFT FUNDAMENTAL INVESTORS-A B 0.00 6.35 0.00 13.80 5.00 5.90 10/19/2018 mfc219642 SMITH RANDALL MFT FUNDAMENTAL INVESTORS-A B 0.00 29.95 0.00 29.95 5.00 14.96 10/19/2018 mfc219642 SMITH RANDALL MFT INVESTOR ARRICAN FRANCHISE FUND B 0.00 12.35 0.00 12.35 5.00 6.18 10/19/2018 mfc219642 SMITH RANDALL MFT INVESTOR ARRICAN FRANCHISE FUND B 0.00 12.35 0.00 12.35 5.00 6.18 10/19/2018 mfc219642 SMITH RANDALL MFT INVESTOR ARRICAN FRANCHISE FUND B 0.00 4.77 0.00 4.77 5.00 5.32 10/19/2018 mfc219642 SMITH RANDALL MFT INVESTOR OARTICAN FRANCHISE FUND B 0.00 4.77 0.00 4.77 5.00 2.39 10/19/2018 mfc219642 SMITH RANDALL MFT INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.04 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.01 0.00 0.01 50.0 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FUNDAMEN	TAL INVESTORS-A	В	0.00	1.16	0.00	1.16	50.0	0.58
10/19/2018 mfc213642 SMITH RANDALL MFF FUNDAMENTAL INVESTORS-A B 0.00 13.80 0.00 13.80 50.0 10.19	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FUNDAMEN	TAL INVESTORS-A	В	0.00	1.56	0.00	1.56	50.0	0.78
10/19/2018 mfc219642 SMITH RANDALL MPT FUNDAMENTAL INVESTORS - B 0.00 29.95 0.00 29.95 50.0 14.98	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FUNDAMEN	TAL INVESTORS-A	В	0.00	6.35	0.00	6.35	50.0	3.18
10/19/2018 mfc219642 SMITH RANDALL MPT INVESCO AMBRICAN FRANCHISE FUND B 0.00 12.35 0.00 12.35 50.0 6.32	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FUNDAMEN	TAL INVESTORS-A	В	0.00	13.80	0.00	13.80	50.0	6.90
10/19/2018 mfc219642 SMITH RANDALL	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT FUNDAMEN	TAL INVESTORS-A	В	0.00	29.95	0.00	29.95	50.0	14.98
10/19/2018 mfc219642 SMITH RANDALL MFT INVESCO VALUE OPPORTUNITIES B 0.00 4.92 0.00 4.92 50.0 2.48 10/19/2018 mfc219642 SMITH RANDALL MFT INVESCO VALUE OPPORTUNITIES B 0.00 4.92 0.00 4.92 50.0 2.46 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.61 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.61 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.61 10/19/2018 mfc219642 SMITH RANDALL MFT MFM SLIMITED MATURITY FUND—A B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 0.62 0.00 0.62 0.00 1.00 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.08 0.00 5.08 50.0 2.94 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.00 0.00 5.00 5.00 5.00 0.00 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.00 0.00 5.00 5.00 5.00 0.00 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.00 0.00 5.00 5.00 5.00 0.00 5.00 5.00 0.00 5.00 5.00 0.00 5.00 5.00 5.00 0.00 5.0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT INVESCO	AMERICAN FRANCHISE FUN	ЭВ	0.00	12.35	0.00	12.35	50.0	6.18
10/19/2018 mfc219642 SMITH RANDALL MFT INVESCO VALUE OPPORTUNITIES B 0.00 4.92 0.00 4.92 50.0 2.46 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.22 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT MAS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT MAS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT MAS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT MAW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 50.0 2.44 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 6.66 0.00 6.626 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 3.3 23 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 3.3 23 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 12.20 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT NAW PERSPECTIVE FUND-A B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENNEIMERFUNDS 68381A103 B 0.00 13.89 0.00 1	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT INVESCO	AMERICAN FRANCHISE FUND	ЭВ	0.00	12.63	0.00	12.63	50.0	6.32
10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.04 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.43 0.00 0.43 50.0 0.22 10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT MSS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT MFS LIMITED MATURITY FUND—A B 0.00 0.00 0.01 0.00 0.01 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 4.87 0.00 4.87 50.0 2.44 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.08 0.00 5.08 50.0 2.54 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.80 0.00 5.00 5.00 5.00 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 12.21 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.56 0.00 15.56 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.56 0.00 15.56 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.56 0.00 15.56 50.0 19.23 10/19/2018 mfc219642 SMITH RANDALL MFT TWAN SECRET BOND FUND OF AMERICA—A B 0.00 0.01 0.00 0.01 10.00 0.01 50.0 0.00 0.0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT INVESCO	VALUE OPPORTUNITIES	В	0.00	4.77	0.00	4.77	50.0	2.39
10/19/2018 mfc219642 SMITH RANDALL MET MASS INVESTORS GROWTH STOCK B 0.00 0.07 0.00 0.07 50.0 0.03 10/19/2018 mfc219642 SMITH RANDALL MET MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.22 10/19/2018 mfc219642 SMITH RANDALL MET MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.23 10/19/2018 mfc219642 SMITH RANDALL MET MASS INVESTORS GROWTH STOCK B 0.00 0.01 0.00 0.01 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MET MES INVESTORS GROWTH STOCK B 0.00 0.01 0.00 0.01 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MET NEW PERSPECTIVE FUND-A B 0.00 4.87 0.00 4.87 50.0 2.44 10/19/2018 mfc219642 SMITH RANDALL MET NEW PERSPECTIVE FUND-A B 0.00 5.88 0.00 5.88 50.0 2.54 10/19/2018 mfc219642 SMITH RANDALL MET NEW PERSPECTIVE FUND-A B 0.00 5.80 0.00 5.80 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MET NEW PERSPECTIVE FUND-A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MET NEW PERSPECTIVE FUND-A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MET NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.15 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.15 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.84 50.0 50.9 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MET OPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MET THE GROWTH FUND OF AMERICA-A B 0.00 0.00 0.00 0.00 0.00	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT INVESCO	VALUE OPPORTUNITIES	В	0.00	4.92	0.00	4.92	50.0	2.46
10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.31 0.01 0.01 0.01 0.01 0.01 0.01 0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT MASS INV	ESTORS GROWTH STOCK	В	0.00	0.07	0.00	0.07	50.0	0.04
10/19/2018 mfc219642 SMITH RANDALL MFT MASS INVESTORS GROWTH STOCK B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT MFS LIMITED MATURITY FUND-A B 0.00 0.01 0.00 0.01 50.0 0.01 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 50.0 2.54 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.00 5.00 5.00 5.00 5.00 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.46 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 12.21 0.00 12.21 50.0 6.15 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 12.21 0.00 12.21 50.0 6.15 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 15.58 0.00 15.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 15.58 0.00 15.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 0.11 0.00 0.11 50.0 0.01 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0 0.55 50.0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT MASS INV	ESTORS GROWTH STOCK	В	0.00	0.07	0.00	0.07	50.0	0.04
10/19/2018 mfc219642 SMITH RANDALL MFT MFS LIMITED MATURITY FUND—A B 0.00 0.01 0.00 0.01 50.0 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 4.87 0.00 4.87 50.0 2.44 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.08 0.00 5.08 50.0 2.54 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 5.80 0.00 5.80 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND—A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.99 10/19/2018 mfc219642 SMITH RANDALL MFT PUDDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUDDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA—A B 0.00 0.11 0.00 0.11 50.0 0.16 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA—A B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.55 50.0 0.38 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA—A B 0.00 0.55 0.00 0.55 50.0 0.56 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA—A B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.58 10/19/2018 mfc219642 SMITH	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT MASS INV	ESTORS GROWTH STOCK	В	0.00	0.43	0.00	0.43	50.0	0.22
10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 5.0 2.54 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.00 5.00 5.00 2.54 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.00 0.00 5.00 5.00 5.00 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 6.26 0.00 6.26 5.00 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 28.70 0.00 28.70 5.00 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 5.00 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 5.00 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENBEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 5.00 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENBEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 5.00 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENBEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 5.00 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENBEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 5.00 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENBEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 5.00 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENBEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 5.00 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTURAN GLOBAL EQUITY FUND CLASS B 0.00 0.01 10.00 0.01 15.00 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT PUTURAN GLOBAL EQUITY FUND CLASS B 0.00 0.01 10.00 0.01 10.00 0.01 10.00 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 0.01 10.00 0.01 10.00 0.04 5.00 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 5.00 0.04 5.00 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 5.00 0.05 5.00 0.05 5.00 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 0.00 0.01 0.00 0.01 5.00 0.04 5.00 0.01 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 0.00 0.	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT MASS INV	ESTORS GROWTH STOCK	В	0.00	0.61	0.00	0.61	50.0	0.31
10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.08 0.00 5.08 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.80 0.00 5.80 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PRUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT FUNDAN GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.00 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 1.32 0.00 1.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 1.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.56 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.38 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AM	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT MFS LIMI	TED MATURITY FUND-A	В	0.00	0.01	0.00	0.01	50.0	0.01
10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 5.80 0.00 5.80 50.0 2.90 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 6.26 0.00 6.26 50.0 3.13 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERVENDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT FUNDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT FUNDENTIAL JENNISON UTILITY FD B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.01 0.00 0.01 0.00 0.01 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.01 0.00 0.01 0.00 0.01 50.0 0.05 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.01 0.00 0.01 0.00 0.01 50.0 0.00 0.0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT NEW PERS	SPECTIVE FUND-A	В	0.00	4.87	0.00	4.87	50.0	2.44
10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 66381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT PUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 88.44 0.00 88.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 50.0 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 50.0 10.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.04 0.00 0.04 50.0 0.05 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.05 0.00 0.05 50.0 0.55 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.04 0.00 0.04 50.0 0.05 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.04 0.00 0.06 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.00 0.01 0.00 0.01 50.0 0.01 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0 0.05 50.0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT NEW PERS	SPECTIVE FUND-A	В	0.00	5.08	0.00	5.08	50.0	2.54
10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 28.70 0.00 28.70 50.0 14.35 10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUNDAM GLOBAL EQUITY FUND CLASS B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUNDAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.65 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 0.00 0.00 0.01	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT NEW PERS	SPECTIVE FUND-A	В	0.00	5.80	0.00	5.80	50.0	2.90
10/19/2018 mfc219642 SMITH RANDALL MFT NEW PERSPECTIVE FUND-A B 0.00 66.45 0.00 66.45 50.0 33.23 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT PUDDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.05 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.55 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.51 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 0.00 0.01 0.01 0.01 0.01 0.	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT NEW PERS	SPECTIVE FUND-A	В	0.00	6.26	0.00	6.26	50.0	3.13
10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 12.21 0.00 12.21 50.0 6.11 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUDNAM GLOBAL EQUITY FUND CLASS B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 13.2 0.00 13.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.55 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.56 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.56 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.51 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.55 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 0.00 0.01 0.00 0.01 0.01 0.	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT NEW PERS	SPECTIVE FUND-A	В	0.00	28.70	0.00	28.70	50.0	14.35
10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 13.89 0.00 13.89 50.0 6.95 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT PRUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.52 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.52 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.52 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.55 0.00 0.55 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.00 0.61 0.00 0.00 0.00 0.00 0.00	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT NEW PERS	SPECTIVE FUND-A	В	0.00	66.45	0.00	66.45	50.0	33.23
10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 15.58 0.00 15.58 50.0 7.79 10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT PRUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 50.0 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.01 17.17 50.0 50.0 50.0 50.0 50.0 50.0 50.0 50.	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT OPPENHEI	MERFUNDS 68381A103	В	0.00	12.21	0.00	12.21	50.0	6.11
10/19/2018 mfc219642 SMITH RANDALL MFT OPPENHEIMERFUNDS 68381A103 B 0.00 38.41 0.00 38.41 50.0 19.21 10/19/2018 mfc219642 SMITH RANDALL MFT PRUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.04 0.00 0.04 50.0 0.05 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT OPPENHEI	MERFUNDS 68381A103	В	0.00	13.89	0.00	13.89	50.0	6.95
10/19/2018 mfc219642 SMITH RANDALL MFT PRUDENTIAL JENNISON UTILITY FD B 0.00 19.33 0.00 19.33 50.0 9.67 10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 1.32 0.00 1.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 1.717 0.00 1.717 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 1.717 0.00 1.717 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT OPPENHEI	MERFUNDS 68381A103	В	0.00	15.58	0.00	15.58	50.0	7.79
10/19/2018 mfc219642 SMITH RANDALL MFT PUTNAM GLOBAL EQUITY FUND CLASS B 0.00 0.11 0.00 0.11 50.0 0.06 10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 1.32 0.00 1.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.10 50.0	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT OPPENHEI	MERFUNDS 68381A103	В	0.00	38.41	0.00	38.41	50.0	19.21
10/19/2018 mfc219642 SMITH RANDALL MFT TAX-EXEMPT BOND FUND OF AMERICA B 0.00 8.44 0.00 8.44 50.0 4.22 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 1.32 0.00 1.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT PRUDENTI	AL JENNISON UTILITY FD	В	0.00	19.33	0.00	19.33	50.0	9.67
10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 1.32 0.00 1.32 50.0 0.66 10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT PUTNAM G	GLOBAL EQUITY FUND CLAS	S В	0.00	0.11	0.00	0.11	50.0	0.06
10/19/2018 mfc219642 SMITH RANDALL MFT THE BOND FUND OF AMERICA-A B 0.00 10.58 50.0 5.29 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT TAX-EXEM	PT BOND FUND OF AMERICA	A B	0.00	8.44	0.00	8.44	50.0	4.22
10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.04 0.00 0.04 50.0 0.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.10	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE BOND	FUND OF AMERICA-A	В	0.00	1.32	0.00	1.32	50.0	0.66
10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.55 0.00 0.55 50.0 0.28 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE BOND	FUND OF AMERICA-A	В	0.00	10.58	0.00	10.58	50.0	5.29
10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 0.61 0.00 0.61 50.0 0.31 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE GROW	TH FUND OF AMERICA-A	В	0.00	0.04	0.00	0.04	50.0	0.02
10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 4.04 0.00 4.04 50.0 2.02 10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE GROW	TH FUND OF AMERICA-A	В	0.00	0.55	0.00	0.55	50.0	0.28
10/19/2018 mfc219642 SMITH RANDALL MFT THE GROWTH FUND OF AMERICA-A B 0.00 17.17 0.00 17.17 50.0 8.59 10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE GROW	TH FUND OF AMERICA-A	В	0.00	0.61	0.00	0.61	50.0	0.31
10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 0.10 0.00 0.10 50.0 0.05	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE GROW	TH FUND OF AMERICA-A	В	0.00	4.04	0.00	4.04	50.0	2.02
	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE GROW	TH FUND OF AMERICA-A	В	0.00	17.17	0.00	17.17	50.0	8.59
10/19/2018 mfc219642 SMITH RANDALL MFT THE INCOME FUND OF AMERICA-A B 0.00 3.15 0.00 3.15 50.0 1.58	10/19/2018 mi	fc219642 SMITH	RANDALL M	FT THE INCC	ME FUND OF AMERICA-A	В	0.00	0.10	0.00	0.10	50.0	0.05
	10/19/2018 m	fc219642 SMITH	RANDALL M	FT THE INCC	OME FUND OF AMERICA-A	В	0.00	3.15	0.00	3.15	50.0	1.58

11/05/2018	COMMISSION STATEMEN	NT for	RANDY SMITH-D0	61			I	Page No. 4
TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018 mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	В	0.00	6.32	0.00	6.32	50.0	3.16
10/19/2018 mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	В	0.00	15.22	0.00	15.22	50.0	7.61
10/19/2018 mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	В	0.00	92.80	0.00	92.80	50.0	46.40
10/19/2018 mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	В	0.00	2.97	0.00	2.97	50.0	1.49
10/19/2018 mfc219642 SMITH RANDALL	MFT VALUE A	В	0.00	3.89	0.00	3.89	50.0	1.95
10/19/2018 mfc219642 SMITH RANDALL	MFT VALUE A	В	0.00	9.67	0.00	9.67	50.0	4.84
10/19/2018 mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	В	0.00	1.88	0.00	1.88	50.0	0.94
10/19/2018 mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	1.07	0.00	1.07	50.0	0.54
10/19/2018 mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	1.40	0.00	1.40	50.0	0.70
10/19/2018 mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	5.24	0.00	5.24	50.0	2.62
10/19/2018 mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	5.51	0.00	5.51	50.0	2.76
10/19/2018 mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	В	0.00	58.96	0.00	58.96	50.0	29.48
10/19/2018 mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	В	0.00	1.61	0.00	1.61	50.0	0.81
	* MUTUAL FUND 12B1 TRAILS SUBTOTA	L *	\$0.00	\$1,214.86	\$0.00	\$1,214.86		\$607.66
	*** CLEARING TRANSACTIONS TOTAL	***	\$291,160.25	\$1,696.44	\$260.00	\$1,436.44		\$718.45
	DIRECT T	RANS.	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
10/05/2018 (None) SMITH, MAR G	MF OPPENHEIMER	В	50.00	2.38	0.00	2.38	50.0	1.19
10/15/2018 (None) JONES MARY	ME ODDENHETMED	D	1 000 00	47 50	0 00	47 50	50 0	22 7

PRODUCT CATEGORY: MUTUAL FUNDS									
10/05/2018 (None) SMITH, MAR G	MF	OPPENHEIMER	В	50.00	2.38	0.00	2.38	50.0	1.19
10/15/2018 (None) JONES, MARY	MF	OPPENHEIMER	В	1,000.00	47.50	0.00	47.50	50.0	23.75
10/12/2018 00075390701 MURPHY, DEBORAH	MF	INTERMEDIATE BOND FUND OF	В	1,000.00	20.00	0.00	20.00	50.0	10.00
10/12/2018 00075396784 CASTANEDA, SANDRA	MF	THE GROWTH FUND OF AMERICA-A	В	70.00	1.40	0.00	1.40	50.0	0.70
10/25/2018 00083359485 SCHLESSIGER,	MF	AMERICAN BALANCED FUND-A	В	50.00	2.50	0.00	2.50	50.0	1.25
10/12/2018 00083949478 LIMON, ELIZABETH	MF	AMERICAN BALANCED FUND-A	В	160.00	3.20	0.00	3.20	50.0	1.60
10/12/2018 00083949478 LIMON, ELIZABETH	MF	AMERICAN BALANCED FUND-A	В	160.00	3.20	0.00	3.20	50.0	1.60
10/12/2018 00084366836 MENDOZA, SANDRA	MF	AMERICAN BALANCED FUND-A	В	100.00	2.00	0.00	2.00	50.0	1.00
10/12/2018 00084366836 MENDOZA, SANDRA	MF	AMERICAN BALANCED FUND-A	В	100.00	2.00	0.00	2.00	50.0	1.00
10/16/2018 00084512960 GIBBS, KENDRA	MF	CAPITAL WORLD GROWTH AND INCOME	В	170.00	6.38	0.00	6.38	50.0	3.19
10/16/2018 00084512960 GIBBS, KENDRA	MF	NEW PERSPECTIVE FUND-A	В	165.00	6.19	0.00	6.19	50.0	3.10
10/16/2018 00084512960 GIBBS, KENDRA	MF	THE GROWTH FUND OF AMERICA-A	В	165.00	6.19	0.00	6.19	50.0	3.10
10/22/2018 00085302677 JORGE ALEXANDER	MF	AMERICAN BALANCED FUND-A	В	50.00	2.50	0.00	2.50	50.0	1.25
10/25/2018 00087364129 DORER, SONIA	MF	AMERICAN BALANCED FUND-A	В	250.00	6.88	0.00	6.88	50.0	3.44
10/25/2018 00087364129 DORER, SONIA	MF	NEW PERSPECTIVE FUND-A	В	249.70	6.87	0.00	6.87	50.0	3.44
10/25/2018 00087369989 DORER, RICHARD	MF	SMALLCAP WORLD FUND-A	В	500.00	13.75	0.00	13.75	50.0	6.88
10/12/2018 00087738166 JIMERFIELD,	MF	AMERICAN BALANCED FUND-A	В	100.00	2.00	0.00	2.00	50.0	1.00
10/12/2018 00087738166 JIMERFIELD,	MF	AMERICAN BALANCED FUND-A	В	100.00	2.00	0.00	2.00	50.0	1.00
10/15/2018 00088038123 PONCE, YOLANDA	MF	AMERICAN BALANCED FUND-A	В	100.00	5.00	0.00	5.00	50.0	2.50
10/12/2018 04000631650 MARTINEZ, ELAINE	MF	AMERICAN BALANCED FUND-A	В	25.00	0.50	0.00	0.50	50.0	0.25
10/12/2018 04000631650 MARTINEZ, ELAINE	MF	AMERICAN BALANCED FUND-A	В	25.00	0.50	0.00	0.50	50.0	0.25
10/18/2018 04000890952 PIERPONT, BRADLEY	MF	AMERICAN BALANCED FUND-A	В	1,275.00	35.06	0.00	35.06	50.0	17.53
10/18/2018 04000890952 PIERPONT, BRADLEY	MF	CAPITAL WORLD GROWTH AND INCOME	В	1,275.00	35.06	0.00	35.06	50.0	17.53
10/18/2018 04000890952 PIERPONT, BRADLEY	MF	THE GROWTH FUND OF AMERICA-A	В	1,155.27	31.77	0.00	31.77	50.0	15.89

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUNDS SUBTOTAL	*	\$8,294.97	\$244.83	\$0.00	\$244.83		\$122.44
PRODUCT CAT	regory: Mutual fund 12B1	TRAILS							
09/30/2018 (1	None) ALLEN, JOHN	MFT JOHN HANCOCK MUTUAL FUND	В	0.00	4.17	0.00	4.17	50.0	2.09
09/30/2018 (1	None) CAMMACK, BENJAMIN	MFT SCHOLARS CHOICE	В	0.00	4.97	0.00	4.97	50.0	2.49
10/08/2018 0	0089349722 JOHNSON, DIANNE	MFT DEUTSCHE GLOBAL INCOME BUILDER	В	0.00	25.13	0.00	25.13	50.0	12.57
10/08/2018 0	0097370346 ALLISON, PAUL	MFT DEUTSCHE HIGH INCOME FUND-A	В	0.00	4.52	0.00	4.52	50.0	2.26
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$38.79	\$0.00	\$38.79		\$19.43
PRODUCT CAT	TEGORY: VARIABLE ANNUITY	12B1 TRAILS							
10/10/2018 (1	None) VARIOUS, RANDY SMITH	VAT BRIGHTHOUSE FKA METLIFE	В	0.00	2,879.18	0.00	2,879.18	50.0	1,439.59
	* V	ARIABLE ANNUITY 12B1 TRAILS SUBTOTAL	*	\$0.00	\$2,879.18	\$0.00	\$2,879.18		\$1,439.59
		*** DIRECT TRANSACTIONS TOTAL *	**	\$8,294.97	\$3,162.80	\$0.00	\$3,162.80		\$1,581.44
BROKER: RIX	K/RANDY SMITH/SMITH-D081	SPLIT TR							
	76365887 SMITH, RANDALL	S KIMCO REALTY CORP COM STK (MD)	В	4,887.70	17.50	7.50	10.00		5.00
	76365887 SMITH, RANDALL	S MEDICAL PROPERTIES TRUST INC	В	7,326.23	17.50	7.50	10.00		5.00
	76365887 SMITH, RANDALL	S ROYAL DUTCH SHELL PLC-SPON ADR	В	9,983.73	17.50	7.50	10.00		5.00
	76365887 SMITH, RANDALL	S WP CAREY INC	В	7,959.98	17.50	7.50	10.00		5.00
	76346300 SMITH TTEE, RONALD	B CORPUS CHRISTI TX UTILITY SYSR	В	12,375.28	93.75	7.50	86.25		43.13
10/04/2018 6	76346300 SMITH TTEE, RONALD	B LA PORTE TX INDEP SCH DIST REF	В	12,557.48	62.50	7.50	55.00		27.50
10/09/2018 49	92642424 MCELROY TTEE, LETH	A CD DISCOVER BK GREENWOOD DE	В	25,000.00	67.50	10.00	57.50		28.75
10/19/2018 m	fc913901 SMITH 913901	MFT CAPITAL INCOME BUILDER-A	В	0.00	3.22	0.00	3.22		1.61
10/19/2018 m	fc913901 SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	ЕВ	0.00	3.91	0.00	3.91		1.96
10/19/2018 m	fc913901 SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	В	0.00	6.00	0.00	6.00		3.00
10/19/2018 m	fc913901 SMITH 913901	MFT GLOBAL BALANCED FUND-A	В	0.00	8.45	0.00	8.45		4.23
10/19/2018 m	fc913901 SMITH 913901	MFT INTERNATIONAL GROWTH AND	В	0.00	8.56	0.00	8.56		4.28
10/19/2018 m	fc913901 SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	В	0.00	1.60	0.00	1.60		0.80
	*	RIX/RANDY SMITH/SMITH-D081 SUBTOTAL	*	\$80,090.40	\$325.49	\$55.00	\$270.49		\$135.26

*** SPLIT TRANSACTIONS TOTAL ***

\$80,090.40

\$325.49

\$55.00

\$270.49

\$135.26

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11/03/2010		COMMISSION STATE	EMENT for	RANDY SMITH-DO	<u>61</u>				3
FRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		LINKED DI	RECT TR	ANSACTIONS					
BROKER: RAI	NDY SMITH-D601	#66							
.0/15/2018 0	0084947333 JOHN J	FINN CUST, MF NEW PERSPECTIVE FUND-C	В	50.00	0.50	0.00	0.50		0.10
0/15/2018 0	4000149886 JOHN J	FINN CUST, MF NEW PERSPECTIVE FUND-A	В	50.00	1.00	0.00	1.00		0.20
		* RANDY SMITH-D601 SUBTO	OTAL *	\$100.00	\$1.50	\$0.00	\$1.50		\$0.3
		*** LINKED DIRECT TRANSACTIONS TOTA	AL ***	\$100.00	\$1.50	\$0.00	\$1.50		\$0.30
		ADJUSTME	NTS TRA	NSACTIONS					
ROKER:	#0								
0/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		787.8
		* SUBTO	OTAL *	\$0.00	\$0.00	\$0.00	\$0.00		\$787.8
		*** ADJUSTMENTS TRANSACTIONS TOTA	AL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$787.8
						Ī	BROKER COMM	SSION	TOTALS
						Broke	r Transactions		\$2,300.19
						Spli	t Transactions		\$135.26
						Overrid	e Transactions		\$0.00
							Adjustments		\$787.88
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior	Period Balance		\$0.00
						FI	NRA Assessment		\$0.00
						S	IPC Assessment		\$0.00
						N	et Commissions		\$3,223.33
						Year-to-da	te Commissions		\$77,449.91