

COMMISSION STATEMENT
August 31, 2018

CALDWELL DPM

BROKER #: D113
BRANCH: DWA

, TX

COMMISSION STATEMENT for CALDWELL DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

08/08/2018 462238312	LIPSHIE, SAMUEL	S	WELLTOWER INC	B	3,191.40	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *					\$3,191.40	\$15.00	\$15.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc704574 DPM CALDWELL 704574 MFT FRANKLIN TN MUNI BOND FD CL A B	0.00	0.80	0.00	0.80	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.80	\$0.00	\$0.80		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***	\$3,191.40	\$15.80	\$15.00	\$0.80		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00