



COMMISSION STATEMENT

December 10, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/03/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	AMCAP FUND-A BUY 1 Units @35.1700	50.00	2.50	0.00	2.50	75.0	1.88
12/03/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN BALANCED FUND-A BUY 2 Units @28.5200	50.00	1.38	0.00	1.38	75.0	1.04
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN BALANCED FUND-A BUY 5 Units @28.2500	135.00	5.06	0.00	5.06	75.0	3.80
12/06/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	AMERICAN BALANCED FUND-A SELL 74 Units @26.9800	2,000.00	12.00	18.00	-6.00	100.0	-6.00
12/03/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.3100	50.00	1.38	0.00	1.38	75.0	1.04
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @10.2300	80.00	2.40	0.00	2.40	75.0	1.80
12/06/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	AMERICAN HIGH-INCOME TRUST-A SELL 183 Units @9.8500	1,800.00	12.00	18.00	-6.00	100.0	-6.00
12/03/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN MUTUAL FUND-A BUY 1 Units @44.0700	50.00	1.38	0.00	1.38	75.0	1.04
12/03/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	CAPITAL INCOME BUILDER-A BUY 1 Units @63.0100	50.00	2.50	0.00	2.50	75.0	1.88
12/06/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	CAPITAL WORLD GROWTH AND INCOME FUN SELL 46 Units @46.8300	2,145.61	12.00	18.00	-6.00	100.0	-6.00
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.2400	135.00	5.06	0.00	5.06	75.0	3.80
12/06/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	FUNDAMENTAL INVESTORS-A SELL 68 Units @60.3900	4,130.98	12.00	18.00	-6.00	100.0	-6.00
12/03/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.8300	50.00	1.38	0.00	1.38	75.0	1.04
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.5300	55.00	2.06	0.00	2.06	75.0	1.55
12/06/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	THE GROWTH FUND OF AMERICA-A SELL 41 Units @51.1200	2,100.01	12.00	18.00	-6.00	100.0	-6.00
12/03/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.9100	50.00	1.88	0.00	1.88	75.0	1.41



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE INCOME FUND OF AMERICA-A BUY 6 Units @23.4200	135.00	5.06	0.00	5.06	75.0	3.80
* MUTUAL FUNDS SUBTOTAL *			<u>\$13,066.60</u>	<u>\$92.04</u>	<u>\$90.00</u>	<u>\$2.04</u>		<u>\$-5.92</u>
<u>PRODUCT CATEGORY: RIA</u>								
12/11/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	119.12	119.12	0.00	119.12	75.0	89.34
12/11/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	56.87	56.87	0.00	56.87	75.0	42.65
12/11/2018	***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	123.98	123.98	0.00	123.98	75.0	92.99
* RIA SUBTOTAL *			<u>\$299.97</u>	<u>\$299.97</u>	<u>\$0.00</u>	<u>\$299.97</u>		<u>\$224.98</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$13,366.57</u>	<u>\$392.01</u>	<u>\$90.00</u>	<u>\$302.01</u>		<u>\$219.06</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$219.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$33.30
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$252.36
Year-to-date Earnings	\$51,998.80