



# COMMISSION STATEMENT

## October 01, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT                         | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                            |                                |                                    |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>                 |                                |                                    |                      |                     |                    |                   |      |                      |
| 09/27/2018   | ***-**-630X - WEAVER, NICOLE   | AIM UTILITIES FUND - CLASS C       | 512.83               | 0.00                | 0.00               | 0.00              | 85.0 | 0.00                 |
|  | ACCOUNT #: LSR011529           | SELL 21 Units @24.2300             |                      |                     |                    |                   |      |                      |
| 09/25/2018   | ***-**-5144 - WINTER, LAURA    | AMERICAN BALANCED FUND-A           | 50.00                | 2.50                | 0.00               | 2.50              | 85.0 | 2.13                 |
|  | ACCOUNT #: 00086895596         | BUY 2 Units @29.6400               |                      |                     |                    |                   |      |                      |
| 09/28/2018   | ***-**-207I - SHOCKEY, CHELSEA | AMERICAN BALANCED FUND-A           | 150.00               | 7.50                | 0.00               | 7.50              | 85.0 | 6.38                 |
|  | ACCOUNT #: 00088283660         | BUY 5 Units @29.6300               |                      |                     |                    |                   |      |                      |
| 09/28/2018   | ***-**-207I - SHOCKEY, CHELSEA | CAPITAL INCOME BUILDER-A           | 100.00               | 5.00                | 0.00               | 5.00              | 85.0 | 4.25                 |
|  | ACCOUNT #: 00088283660         | BUY 2 Units @64.0600               |                      |                     |                    |                   |      |                      |
| 09/28/2018   | ***-**-207I - SHOCKEY, CHELSEA | FUNDAMENTAL INVESTORS-A            | 150.00               | 7.50                | 0.00               | 7.50              | 85.0 | 6.38                 |
|  | ACCOUNT #: 00088283660         | BUY 2 Units @69.3300               |                      |                     |                    |                   |      |                      |
| 09/27/2018   | ***-**-630X - WEAVER, NICOLE   | HARTFORD CORE EQUITY CLASS C       | 512.81               | 0.00                | 0.00               | 0.00              | 85.0 | 0.00                 |
|  | ACCOUNT #: LSR011529           | SELL 17 Units @29.3200             |                      |                     |                    |                   |      |                      |
| 09/27/2018   | ***-**-036I - CROWL, LINDA     | INVESCO MULTI ASSET INCOME FD CL C | 1,750.00             | 0.00                | 0.00               | 0.00              | 85.0 | 0.00                 |
|  | ACCOUNT #: LSR010466           | SELL 166 Units @10.5600            |                      |                     |                    |                   |      |                      |
| 09/28/2018   | ***-**-207I - SHOCKEY, CHELSEA | NEW PERSPECTIVE FUND-A             | 100.00               | 5.00                | 0.00               | 5.00              | 85.0 | 4.25                 |
|  | ACCOUNT #: 00088283660         | BUY 2 Units @49.6900               |                      |                     |                    |                   |      |                      |
| 09/27/2018   | ***-**-036I - CROWL, LINDA     | PIMCO INCOME FUND CL C             | 1,750.00             | 0.00                | 0.00               | 0.00              | 85.0 | 0.00                 |
|  | ACCOUNT #: LSR010466           | SELL 147 Units @11.9000            |                      |                     |                    |                   |      |                      |
| * MUTUAL FUNDS SUBTOTAL *                                    |                                |                                    | <b>\$5,075.64</b>    | <b>\$27.50</b>      | <b>\$0.00</b>      | <b>\$27.50</b>    |      | <b>\$23.39</b>       |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>           |                                |                                    |                      |                     |                    |                   |      |                      |
| 09/10/2018   | ***-**-31IN - REED, JACK       | JACKSON NAT PERS II OPT E 0-80     | 52,000.00            | 1,040.00            | 0.00               | 1,040.00          | 85.0 | 884.00               |
|  |                                | BUY 1 Units @52000.0000            |                      |                     |                    |                   |      |                      |
| * VARIABLE ANNUITIES SUBTOTAL *                              |                                |                                    | <b>\$52,000.00</b>   | <b>\$1,040.00</b>   | <b>\$0.00</b>      | <b>\$1,040.00</b> |      | <b>\$884.00</b>      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |                                |                                    |                      |                     |                    |                   |      |                      |
| 09/27/2018   | ***-**-99IR - VITALE, DAMIAN   | ING ADVANTAGE SELECT               | 0.00                 | 5.26                | 0.00               | 5.26              | 85.0 | 4.47                 |
|  | ACCOUNT #: R073271-ZS          | BUY 0 Units @0.0000                |                      |                     |                    |                   |      |                      |
| 09/27/2018   | ***-**-94IR - VITALE, LAURIE   | ING ADVANTAGE SELECT               | 0.00                 | 5.26                | 0.00               | 5.26              | 85.0 | 4.47                 |
|  | ACCOUNT #: R073283-ZS          | BUY 0 Units @0.0000                |                      |                     |                    |                   |      |                      |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *                    |                                |                                    | <b>\$0.00</b>        | <b>\$10.52</b>      | <b>\$0.00</b>      | <b>\$10.52</b>    |      | <b>\$8.94</b>        |
| *** BROKER TRANSACTIONS TOTAL ***                            |                                |                                    | <b>\$57,075.64</b>   | <b>\$1,078.02</b>   | <b>\$0.00</b>      | <b>\$1,078.02</b> |      | <b>\$916.33</b>      |



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|--------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| BROKER COMMISSION TOTALS |        |            |                      |                     |                    |                       |      |                      |
|                          |        |            |                      |                     |                    | Broker Transactions   |      | \$916.33             |
|                          |        |            |                      |                     |                    | Split Transactions    |      | \$0.00               |
|                          |        |            |                      |                     |                    | Override Transactions |      | \$0.00               |
|                          |        |            |                      |                     |                    | Adjustments           |      | \$0.00               |
|                          |        |            |                      |                     |                    | Payroll Draw          |      | \$0.00               |
|                          |        |            |                      |                     |                    | Base Salary           |      | \$0.00               |
|                          |        |            |                      |                     |                    | Prior Period Balance  |      | \$55.20              |
|                          |        |            |                      |                     |                    | FINRA Assessment      |      | \$0.00               |
|                          |        |            |                      |                     |                    | SIPC Assessment       |      | \$0.00               |
|                          |        |            |                      |                     |                    | Net Commission Earned |      | \$971.53             |
|                          |        |            |                      |                     |                    | Year-to-date Earnings |      | \$93,814.75          |