



# COMMISSION STATEMENT

## December 10, 2018

**TIMOTHY STATES**  
 1629 K ST NW STE 300  
 SUITE 300  
 WASHINGTON, DC 20001

**BROKER #: A70**  
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/04/2018	***-**-736X - HIGHSMITH SR, ACCOUNT #: LSR010791	FUNDAMENTAL INVESTORS-A BUY 126 Units @63.5500	7,999.99	300.00	18.00	282.00	75.0	211.50
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$7,999.99</b>	<b>\$300.00</b>	<b>\$18.00</b>	<b>\$282.00</b>		<b>\$211.50</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/04/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	CISCO SYSTEMS INC BUY 150 Units @47.7170	7,157.55	140.00	18.00	122.00	75.0	91.50
12/03/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	FACEBOOK INC COM USD0.000006 CL A BUY 100 Units @143.0030	14,300.30	150.00	17.00	133.00	75.0	99.75
12/03/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	FIFTH THIRD BANCORP SELL 303 Units @27.9630	8,472.79	135.00	21.06	113.94	75.0	85.46
12/03/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 200 Units @23.7860	4,757.20	50.00	19.00	31.00	75.0	23.25
12/03/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 250 Units @23.7930	5,948.25	50.00	20.00	30.00	75.0	22.50
12/03/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 300 Units @23.6570	7,097.10	50.00	21.00	29.00	75.0	21.75
12/03/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 200 Units @23.5280	4,705.60	50.00	19.00	31.00	75.0	23.25
12/03/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 300 Units @23.8640	7,159.20	50.00	21.00	29.00	75.0	21.75
12/03/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 250 Units @23.7880	5,947.00	50.00	20.00	30.00	75.0	22.50
12/03/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	MALLINCKRODT PLC ORDINARY USD UNSOL SELL 300 Units @23.7340	7,120.20	35.00	21.00	14.00	75.0	10.50
12/03/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	MICROSOFT CORP UNSOLICITED ORDER EX SELL 28 Units @111.6540	3,126.31	10.00	8.99	1.01	75.0	0.76
12/04/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	MICROSOFT CORP UNSOLICITED ORDER EX BUY 82 Units @109.3370	8,965.63	135.00	18.00	117.00	75.0	87.75
12/03/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	NVIDIA CORP SOLICITED ORDER BUY 100 Units @172.6600	17,266.00	175.00	17.00	158.00	75.0	118.50
12/03/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	UNDER ARMOUR INC COM STK USD0.00033 BUY 200 Units @24.1270	4,825.40	135.00	19.00	116.00	75.0	87.00



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
12/03/2018	***-**-837I - LEWIS, FBO V	UNDER ARMOUR INC COM STK USD0.00033	7,221.00	135.00	21.00	114.00	75.0	85.50
	ACCOUNT #: LSR010565	BUY 300 Units @24.0700						
12/03/2018	***-**-826I - CLARK, FBO MS	UNDER ARMOUR INC COM STK USD0.00033	7,242.00	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR010588	BUY 300 Units @24.1400						
12/03/2018	***-**-587I - KEARNEY, FBO MS	UNDER ARMOUR INC COM STK USD0.00033	7,242.00	140.00	21.00	119.00	75.0	89.25
	ACCOUNT #: LSR010590	BUY 300 Units @24.1400						
12/03/2018	***-**-542O - BROWN, MARY	UNDER ARMOUR INC COM STK USD0.00033	7,219.20	135.00	21.00	114.00	75.0	85.50
	ACCOUNT #: LSR012530	BUY 300 Units @24.0640						
12/03/2018	***-**-195O - CARTER, IRIS	UNDER ARMOUR INC COM STK USD0.00033	7,235.10	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR012687	BUY 300 Units @24.1170						
		<b>* STOCKS SUBTOTAL *</b>	<b>\$143,007.83</b>	<b>\$1,925.00</b>	<b>\$365.05</b>	<b>\$1,559.95</b>		<b>\$1,169.97</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
12/11/2018	***-**-27FB - LYNCH, JAIR	LIFEMARK INVESTMENT ADVISORY FEE	356.78	356.78	0.00	356.78	75.0	267.59
	ACCOUNT #: 925355417	BUY 0 Units @0.0000						
		<b>* RIA SUBTOTAL *</b>	<b>\$356.78</b>	<b>\$356.78</b>	<b>\$0.00</b>	<b>\$356.78</b>		<b>\$267.59</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$151,364.60</b>	<b>\$2,581.78</b>	<b>\$383.05</b>	<b>\$2,198.73</b>		<b>\$1,649.06</b>



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$1,649.06
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,649.06
						Year-to-date Earnings		\$79,503.20