



All Companies

12/28/2018
Page No. 1

COMMISSION STATEMENT January 02, 2019

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/14/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
12/14/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
12/14/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
12/14/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
12/20/2018	00068650190	LEIGH A PEACOCK, P	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	2.13	0.00	2.13	90.0	1.92
12/18/2018	00084075735	VILLINES,	AMERICAN BALANCED FUND-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
KARA/ANNA										
12/17/2018	00085020327	PROHASKA, DYLAN	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/14/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
12/14/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
12/14/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
12/14/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
12/14/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B	B	106.25	1.70	0.00	1.70	90.0	1.53
D.										
12/14/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B	B	106.25	1.70	0.00	1.70	90.0	1.53
D.										
12/14/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
12/14/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
12/18/2018	04000814642	HEGWOOD, JAMIE R.	AMERICAN FUNDS 2055 TARGET DATE-A	B	131.43	6.57	0.00	6.57	90.0	5.91
12/18/2018	04000814642	HEGWOOD, JAMIE R.	AMERICAN FUNDS 2055 TARGET DATE-A	B	131.43	6.57	0.00	6.57	90.0	5.91
12/03/2018	3009211158	RICHARDSON, BRUCE	OPPENHEIMER EQUITY INCOME FD CL A	B	250.00	11.88	0.00	11.88	90.0	10.69
* MUTUAL FUNDS SUBTOTAL *					\$2,128.08	\$52.40	\$0.00	\$52.40		\$47.17

PRODUCT CATEGORY: STOCKS

12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	251.86	251.86	0.00	251.86	90.0	226.67
		* STOCKS SUBTOTAL *		<u>251.86</u>	<u>251.86</u>	<u>0.00</u>	<u>251.86</u>		<u>226.67</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/07/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	1,344.83	26.89	0.00	26.89	90.0	24.20
12/10/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	2,000.00	60.00	0.00	60.00	90.0	54.00
* VARIABLE ANNUITIES SUBTOTAL *				\$3,344.83	\$86.89	\$0.00	\$86.89		\$78.20

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
12/18/2018	MCCRACKEN, KATHLEEN D	SIGNAL SECURITIES RIA	B	0.00	161.92	0.00	161.92	90.0	145.73
12/18/2018	BEUTTENMULLER, RUDOLF W	SIGNAL SECURITIES RIA	B	0.00	1,333.00	0.00	1,333.00	90.0	1,199.70
10/11/2018	11933608 MCADAMS, JOHN W	SIGNAL SECURITIES RIA	B	0.00	349.00	0.00	349.00	90.0	314.10
10/11/2018	12055626 GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	916.66	0.00	916.66	90.0	824.99
10/11/2018	14459187 BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	80.34	0.00	80.34	90.0	72.31
10/11/2018	14607090 MANLEY, RORY	SIGNAL SECURITIES RIA	B	0.00	39.66	0.00	39.66	90.0	35.69
10/11/2018	17365377 LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	102.66	0.00	102.66	90.0	92.39
12/18/2018	19010175 STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	B	0.00	169.68	0.00	169.68	90.0	152.71
10/11/2018	20011253 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018	21441902 SANTOS, RAYMOND	SIGNAL SECURITIES RIA	B	0.00	922.34	0.00	922.34	90.0	830.11
10/11/2018	21575254 DOWNS LIV TRUST, WILLIAM/CAROLYN	SIGNAL SECURITIES RIA	B	0.00	1,264.00	0.00	1,264.00	90.0	1,137.60
10/11/2018	23275885 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	315.00	0.00	315.00	90.0	283.50
10/11/2018	23601533 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	577.00	0.00	577.00	90.0	519.30
10/11/2018	26419203 AHRING, DOROTHY J	SIGNAL SECURITIES RIA	B	0.00	951.66	0.00	951.66	90.0	856.49
10/11/2018	28060052 GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	B	0.00	996.00	0.00	996.00	90.0	896.40
10/11/2018	28106533 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	476.34	0.00	476.34	90.0	428.71
12/14/2018	28416413 BOWDON FAMILY FOUNDATION	SIGNAL SECURITIES RIA	B	0.00	-32.75	0.00	-32.75	90.0	-29.48
10/11/2018	29733972 BOWERS, CINDA I	SIGNAL SECURITIES RIA	B	0.00	948.66	0.00	948.66	90.0	853.79
10/11/2018	30856518 JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	B	0.00	89.34	0.00	89.34	90.0	80.41
10/11/2018	30889813 DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	B	0.00	251.66	0.00	251.66	90.0	226.49
10/11/2018	30958570 DONNELL, MIKE	SIGNAL SECURITIES RIA	B	0.00	92.00	0.00	92.00	90.0	82.80
10/11/2018	31260927 FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	98.00	0.00	98.00	90.0	88.20
10/11/2018	32047427 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	90.0	17.41
10/11/2018	34235945 HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	127.66	0.00	127.66	90.0	114.89
10/11/2018	34663352 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	38.34	0.00	38.34	90.0	34.51
10/11/2018	34739881 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	227.00	0.00	227.00	90.0	204.30
10/11/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
10/11/2018	35962941 DOWNS, ALEXANDRA M	SIGNAL SECURITIES RIA	B	0.00	180.34	0.00	180.34	90.0	162.31
10/11/2018	37772604 DRONE, CRAIG A	SIGNAL SECURITIES RIA	B	0.00	291.00	0.00	291.00	90.0	261.90
10/11/2018	38803585 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	80.34	0.00	80.34	90.0	72.31
10/11/2018	39418381 BLAIR, JANELDA D.	SIGNAL SECURITIES RIA	B	0.00	92.34	0.00	92.34	90.0	83.11
10/11/2018	41000586 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	134.34	0.00	134.34	90.0	120.91
10/11/2018	42907147 JERNIGAN, KYLE	SIGNAL SECURITIES RIA	B	0.00	291.00	0.00	291.00	90.0	261.90
10/11/2018	43177248 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	671.66	0.00	671.66	90.0	604.49
10/11/2018	44412032 GETZ, RENEE E	SIGNAL SECURITIES RIA	B	0.00	207.34	0.00	207.34	90.0	186.61

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018 44902184	BLAIR, JANELDA D.	SIGNAL SECURITIES RIA	B	0.00	30.66	0.00	30.66	90.0	27.59
10/11/2018 47335624	HANSEN, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	255.00	0.00	255.00	90.0	229.50
10/11/2018 50550606	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	310.66	0.00	310.66	90.0	279.59
10/11/2018 51058096	PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	B	0.00	319.34	0.00	319.34	90.0	287.41
10/11/2018 51666847	WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	B	0.00	877.66	0.00	877.66	90.0	789.89
10/11/2018 52129314	WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	470.34	0.00	470.34	90.0	423.31
10/11/2018 52178933	MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
10/11/2018 53917162	MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	80.66	0.00	80.66	90.0	72.59
10/11/2018 54113355	DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	B	0.00	661.66	0.00	661.66	90.0	595.49
10/11/2018 54825568	NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	266.00	0.00	266.00	90.0	239.40
10/11/2018 55524343	MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	B	0.00	522.34	0.00	522.34	90.0	470.11
10/11/2018 56699985	LIKENS, KEVIN A	SIGNAL SECURITIES RIA	B	0.00	403.34	0.00	403.34	90.0	363.01
10/11/2018 57714569	DAVENPORT, CURTIS/SUSAN	SIGNAL SECURITIES RIA	B	0.00	1,010.66	0.00	1,010.66	90.0	909.59
10/11/2018 57788308	YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	B	0.00	621.66	0.00	621.66	90.0	559.49
10/11/2018 58441030	HARSHAW, JOHN	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
10/11/2018 59251730	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	90.0	8.41
10/11/2018 60681399	HERING, KAREN	SIGNAL SECURITIES RIA	B	0.00	594.66	0.00	594.66	90.0	535.19
10/11/2018 61024092	HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	B	0.00	706.00	0.00	706.00	90.0	635.40
10/11/2018 63201352	MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
10/11/2018 68409444	TURNER, LYNN D	SIGNAL SECURITIES RIA	B	0.00	229.34	0.00	229.34	90.0	206.41
10/11/2018 68570849	BLAIR, BRENDA	SIGNAL SECURITIES RIA	B	0.00	110.00	0.00	110.00	90.0	99.00
10/11/2018 70913435	BOWDON REV TRUST	SIGNAL SECURITIES RIA	B	0.00	520.00	0.00	520.00	90.0	468.00
10/11/2018 71239113	TURNER, J DWIGHT	SIGNAL SECURITIES RIA	B	0.00	157.66	0.00	157.66	90.0	141.89
10/11/2018 71695806	CHRISTIE, Nanci M	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
10/11/2018 72010620	MCADAMS, ROSEANNE L	SIGNAL SECURITIES RIA	B	0.00	261.34	0.00	261.34	90.0	235.21
10/11/2018 72285366	JOHNSON, ERIC W	SIGNAL SECURITIES RIA	B	0.00	177.66	0.00	177.66	90.0	159.89
10/11/2018 73298229	KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	233.66	0.00	233.66	90.0	210.29
10/11/2018 73451449	RALPH, MARK ALAN	SIGNAL SECURITIES RIA	B	0.00	539.66	0.00	539.66	90.0	485.69
10/11/2018 74186821	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
10/11/2018 75602403	MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	298.34	0.00	298.34	90.0	268.51
10/11/2018 76446151	MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	638.34	0.00	638.34	90.0	574.51
10/11/2018 80644541	TURNER, RITA	SIGNAL SECURITIES RIA	B	0.00	257.00	0.00	257.00	90.0	231.30
10/11/2018 80920481	PEIRSON, WILLIAM/CHRIS	SIGNAL SECURITIES RIA	B	0.00	1,519.34	0.00	1,519.34	90.0	1,367.41
10/11/2018 81013153	BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	B	0.00	241.34	0.00	241.34	90.0	217.21

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
10/11/2018	81290736	SICKLER, LEE/CHRIS	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
10/11/2018	81769883	GATLIN, EDWARD E	SIGNAL SECURITIES RIA	B	0.00	132.00	0.00	132.00	90.0	118.80
10/11/2018	81877335	WAMRE, RICK & SALLY	SIGNAL SECURITIES RIA	B	0.00	936.66	0.00	936.66	90.0	842.99
10/11/2018	83450493	GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	366.34	0.00	366.34	90.0	329.71
10/11/2018	83813956	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	197.66	0.00	197.66	90.0	177.89
10/11/2018	83898089	MJG PARTNERS LP	SIGNAL SECURITIES RIA	B	0.00	4,560.66	0.00	4,560.66	90.0	4,104.59
10/11/2018	85186147	JONES, LAURA JILL	SIGNAL SECURITIES RIA	B	0.00	121.34	0.00	121.34	90.0	109.21
10/11/2018	85475534	DOWNNS, WILLIAM M	SIGNAL SECURITIES RIA	B	0.00	163.66	0.00	163.66	90.0	147.29
10/11/2018	85534947	MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	658.34	0.00	658.34	90.0	592.51
10/11/2018	87804016	LEWIS, JR, JACK F	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
10/11/2018	88575440	LOHMAN, REBECCA LYNN	SIGNAL SECURITIES RIA	B	0.00	248.34	0.00	248.34	90.0	223.51
10/11/2018	90630517	GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	67.00	0.00	67.00	90.0	60.30
10/11/2018	90750567	VEGA, ROY (RAUL)	SIGNAL SECURITIES RIA	B	0.00	3,522.34	0.00	3,522.34	90.0	3,170.11
10/11/2018	90906864	SAVAGE, JANICE	SIGNAL SECURITIES RIA	B	0.00	516.34	0.00	516.34	90.0	464.71
10/11/2018	91566568	BOWDON, KENNETH S	SIGNAL SECURITIES RIA	B	0.00	381.34	0.00	381.34	90.0	343.21
10/11/2018	91835763	KLEIN, RANDY & SHEILA	SIGNAL SECURITIES RIA	B	0.00	363.34	0.00	363.34	90.0	327.01
10/11/2018	93139099	CHAPMAN, JOE & CARMEN	SIGNAL SECURITIES RIA	B	0.00	742.00	0.00	742.00	90.0	667.80
10/11/2018	93542283	BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	398.00	0.00	398.00	90.0	358.20
10/11/2018	96116390	HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	453.34	0.00	453.34	90.0	408.01
10/11/2018	98023364	MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
10/11/2018	98899816	STRICKLIN, DONNA A	SIGNAL SECURITIES RIA	B	0.00	388.00	0.00	388.00	90.0	349.20
10/11/2018	98935139	ROBERTS, ROBERT M	SIGNAL SECURITIES RIA	B	0.00	108.34	0.00	108.34	90.0	97.51
10/11/2018	99550650	KLEIN, RANDY & SHEILA	SIGNAL SECURITIES RIA	B	0.00	53.00	0.00	53.00	90.0	47.70
10/11/2018	99600192	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	52.34	0.00	52.34	90.0	47.11
* RIA SUBTOTAL *					\$0.00	\$39,823.55	\$0.00	\$39,823.55		\$35,841.21
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	24.53	0.00	24.53	90.0	22.08
01/02/2019	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES			0.00	10.90	0.00	10.90	90.0	9.81
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$35.43	\$0.00	\$35.43		\$31.89
*** BROKER TRANSACTIONS TOTAL ***					\$5,724.77	\$40,250.13	\$0.00	\$40,250.13		\$36,225.14

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

01/02/2019		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-22.78
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-50.28

BROKER COMMISSION TOTALS

Broker Transactions	\$36,225.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$36,174.86
Year-to-date Earnings	\$36,174.86