



COMMISSION STATEMENT

November 26, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/19/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @19.0800	200.00	5.50	0.00	5.50	75.0	4.13
11/19/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @19.0800	60.72	1.67	0.00	1.67	75.0	1.25
11/19/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	EUROPACIFIC GROWTH FUND-A BUY 2 Units @48.1700	87.50	0.88	0.00	0.88	75.0	0.66
11/19/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 11 Units @48.1700	523.73	5.24	0.00	5.24	75.0	3.93
11/19/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 11 Units @48.1700	521.26	5.21	0.00	5.21	75.0	3.91
11/19/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @48.1700	150.00	1.50	0.00	1.50	75.0	1.13
11/19/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 12 Units @48.1700	563.35	5.63	0.00	5.63	75.0	4.22
11/19/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 10 Units @48.1700	479.17	4.79	0.00	4.79	75.0	3.59
11/09/2018	***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @13.9100	37.52	1.88	0.00	1.88	75.0	1.41
11/09/2018	***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @13.9100	37.52	1.88	0.00	1.88	75.0	1.41
11/13/2018	***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 4 Units @13.7700	50.00	2.50	0.00	2.50	75.0	1.88
11/13/2018	***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 4 Units @13.7700	50.00	2.50	0.00	2.50	75.0	1.88
11/13/2018	***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 47 Units @13.7700	645.91	32.30	0.00	32.30	75.0	24.23
11/13/2018	***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @13.7700	93.75	4.69	0.00	4.69	75.0	3.52
11/14/2018	***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @14.8600	27.60	1.38	0.00	1.38	75.0	1.04
11/14/2018	***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @14.8600	27.60	1.38	0.00	1.38	75.0	1.04



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/09/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	44.97	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 03900186047	BUY 4 Units @12.2900						
11/09/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	44.97	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 03900186047	BUY 4 Units @12.2900						
11/09/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @12.2900						
11/09/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @12.2900						
11/09/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @12.2900						
11/09/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @12.2900						
11/09/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @12.2900						
11/09/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @12.2900						
11/09/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 03900186012	BUY 12 Units @12.2900						
11/09/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	51.91	2.60	0.00	2.60	75.0	1.95
	ACCOUNT #: 03900186012	BUY 4 Units @12.2900						
11/09/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	40.23	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: 03900186010	BUY 3 Units @12.2900						
11/09/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	40.23	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: 03900186010	BUY 3 Units @12.2900						
11/09/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 3 Units @12.2900						
11/09/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900185999	BUY 3 Units @12.2900						
11/09/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	46.08	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: 03900185962	BUY 4 Units @12.2900						
11/09/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	46.08	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: 03900185962	BUY 4 Units @12.2900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
11/15/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00519094815	BUY 3 Units @39.2800						
11/19/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	37.50	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00086889126	BUY 1 Units @50.3300						
11/19/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	523.74	5.24	0.00	5.24	75.0	3.93
	ACCOUNT #: 00058836715	BUY 10 Units @50.3300						
11/19/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	521.26	5.21	0.00	5.21	75.0	3.91
	ACCOUNT #: 00058823519	BUY 10 Units @50.3300						
11/19/2018	***-**-9346 - KEY-BELL INC	THE GROWTH FUND OF AMERICA-A	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00058823515	BUY 3 Units @50.3300						
11/19/2018	***-**-3875 - HARRY, JOHN	THE GROWTH FUND OF AMERICA-A	563.35	5.63	0.00	5.63	75.0	4.22
	ACCOUNT #: 00058823514	BUY 11 Units @50.3300						
11/19/2018	***-**-4496 - HARRY, KIRK	THE GROWTH FUND OF AMERICA-A	479.17	4.79	0.00	4.79	75.0	3.59
	ACCOUNT #: 00058823513	BUY 10 Units @50.3300						
11/19/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @52.1600						
11/19/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @52.1600						
* MUTUAL FUNDS SUBTOTAL *			\$7,313.34	\$170.43	\$0.00	\$170.43		\$127.91
*** BROKER TRANSACTIONS TOTAL ***			\$7,313.34	\$170.43	\$0.00	\$170.43		\$127.91



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$127.91
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$127.91
						Year-to-date Earnings		\$61,243.87