



COMMISSION STATEMENT

September 17, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/11/2018	***-**-558I - CRANFORD, ACCOUNT #: LSR012466	CARILLON CLARIVEST CAPITAL APPREC C SELL 400 Units @46.5100	18,600.56	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$18,600.56	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
09/12/2018	***-**-558I - CRANFORD, ACCOUNT #: LSR012466	ARCHER DANIELS MIDLAND BUY 200 Units @49.8550	9,971.00	200.00	19.00	181.00	85.0	153.85
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	ARCHER DANIELS MIDLAND BUY 200 Units @49.8350	9,967.00	200.00	19.00	181.00	85.0	153.85
09/12/2018	***-**-558I - CRANFORD, ACCOUNT #: LSR012466	CATERPILLAR INC BUY 100 Units @144.3200	14,432.00	200.00	17.00	183.00	85.0	155.55
09/12/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	CATERPILLAR INC BUY 100 Units @144.1900	14,419.00	250.00	17.00	233.00	85.0	198.05
09/11/2018	***-**-558I - CRANFORD, ACCOUNT #: LSR012466	CM FIN INC COM SELL 2200 Units @9.1650	20,163.00	400.00	59.00	341.00	85.0	289.85
09/12/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	CM FIN INC COM SELL 38 Units @9.1500	347.70	6.65	15.76	-9.11	100.0	-9.11
09/12/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	CM FIN INC COM SELL 6 Units @9.1500	54.90	1.05	0.12	0.93	85.0	0.79
09/12/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	CM FIN INC COM SELL 1956 Units @9.1630	17,922.83	342.30	39.12	303.18	85.0	257.70
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CM FIN INC COM SELL 100 Units @9.1800	918.00	17.50	17.00	0.50	85.0	0.43
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CM FIN INC COM SELL 100 Units @9.1800	918.00	17.50	2.00	15.50	85.0	13.18
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CM FIN INC COM SELL 100 Units @9.1800	918.00	17.50	2.00	15.50	85.0	13.18
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CM FIN INC COM SELL 100 Units @9.1800	918.00	17.50	2.00	15.50	85.0	13.18
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CM FIN INC COM SELL 9 Units @9.1800	82.62	1.58	0.18	1.40	85.0	1.19
09/12/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	CM FIN INC COM SELL 100 Units @9.1800	918.00	17.50	2.00	15.50	85.0	13.18



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
09/12/2018	***-**-496I - MCCOY, SHERIANNE	CM FIN INC COM	918.00	17.50	2.00	15.50	85.0	13.18
	ACCOUNT #: LSR012469	SELL 100 Units @9.1800						
09/12/2018	***-**-496I - MCCOY, SHERIANNE	CM FIN INC COM	1,753.38	33.43	3.82	29.61	85.0	25.17
	ACCOUNT #: LSR012469	SELL 191 Units @9.1800						
09/12/2018	***-**-496I - MCCOY, SHERIANNE	CM FIN INC COM	1,836.00	35.00	4.00	31.00	85.0	26.35
	ACCOUNT #: LSR012469	SELL 200 Units @9.1800						
09/12/2018	***-**-496I - MCCOY, SHERIANNE	CM FIN INC COM	918.00	17.50	2.00	15.50	85.0	13.18
	ACCOUNT #: LSR012469	SELL 100 Units @9.1800						
09/12/2018	***-**-496I - MCCOY, SHERIANNE	CM FIN INC COM	7,426.62	141.58	16.18	125.40	85.0	106.59
	ACCOUNT #: LSR012469	SELL 809 Units @9.1800						
09/12/2018	***-**-496I - MCCOY, SHERIANNE	CM FIN INC COM	835.38	15.93	1.82	14.11	85.0	11.99
	ACCOUNT #: LSR012469	SELL 91 Units @9.1800						
09/12/2018	***-**-54IO - FRIES, SHARON	CM FIN INC COM	1,821.00	30.00	19.00	11.00	85.0	9.35
	ACCOUNT #: LSR012478	SELL 200 Units @9.1050						
09/12/2018	***-**-54IO - FRIES, SHARON	CM FIN INC COM	6,394.50	105.00	14.00	91.00	85.0	77.35
	ACCOUNT #: LSR012478	SELL 700 Units @9.1350						
09/12/2018	***-**-54IO - FRIES, SHARON	CM FIN INC COM	913.50	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012478	SELL 100 Units @9.1350						
09/12/2018	***-**-03TR - NEAL TRUST,	CM FIN INC COM	918.00	17.50	17.00	0.50	85.0	0.43
	ACCOUNT #: LSR012505	SELL 100 Units @9.1800						
09/12/2018	***-**-03TR - NEAL TRUST,	CM FIN INC COM	918.00	17.50	2.00	15.50	85.0	13.18
	ACCOUNT #: LSR012505	SELL 100 Units @9.1800						
09/12/2018	***-**-03TR - NEAL TRUST,	CM FIN INC COM	569.16	10.85	1.24	9.61	85.0	8.17
	ACCOUNT #: LSR012505	SELL 62 Units @9.1800						
09/12/2018	***-**-03TR - NEAL TRUST,	CM FIN INC COM	15,954.84	304.15	34.76	269.39	85.0	228.98
	ACCOUNT #: LSR012505	SELL 1738 Units @9.1800						
09/12/2018	***-**-691I - HEINZ, MARK	CM FIN INC COM	18,360.00	350.00	55.00	295.00	85.0	250.75
	ACCOUNT #: LSR012513	SELL 2000 Units @9.1800						
09/12/2018	***-**-82IN - WINTERS, KEVIN	CM FIN INC COM	913.50	15.00	17.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR012586	SELL 100 Units @9.1350						
09/12/2018	***-**-82IN - WINTERS, KEVIN	CM FIN INC COM	1,827.00	30.00	4.00	26.00	85.0	22.10
	ACCOUNT #: LSR012586	SELL 200 Units @9.1350						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
09/12/2018	***-**-82IN - WINTERS, KEVIN ACCOUNT #: LSR012586	CM FIN INC COM SELL 223 Units @9.1050	2,030.42	33.45	4.46	28.99	85.0	24.64
09/12/2018	***-**-82IN - WINTERS, KEVIN ACCOUNT #: LSR012586	CM FIN INC COM SELL 177 Units @9.1170	1,613.71	26.55	3.54	23.01	85.0	19.56
09/12/2018	***-**-82IN - WINTERS, KEVIN ACCOUNT #: LSR012586	CM FIN INC COM SELL 100 Units @9.1250	912.50	15.00	2.00	13.00	85.0	11.05
09/12/2018	***-**-82IN - WINTERS, KEVIN ACCOUNT #: LSR012586	CM FIN INC COM SELL 200 Units @9.1260	1,825.20	30.00	4.00	26.00	85.0	22.10
09/12/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	CM FIN INC COM SELL 765 Units @9.1100	6,969.15	133.88	30.30	103.58	85.0	88.04
09/12/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	CM FIN INC COM SELL 100 Units @9.1500	915.00	17.50	2.00	15.50	85.0	13.18
09/12/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	CM FIN INC COM SELL 10 Units @9.1500	91.50	1.75	0.20	1.55	85.0	1.32
09/12/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	CM FIN INC COM SELL 1125 Units @9.1500	10,293.75	196.88	22.50	174.38	85.0	148.22
09/11/2018	***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460	DIODES INC BUY 300 Units @33.7550	10,126.50	200.00	21.00	179.00	85.0	152.15
09/11/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	DIODES INC BUY 300 Units @33.7260	10,117.80	200.00	21.00	179.00	85.0	152.15
09/11/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	DIODES INC BUY 900 Units @33.7730	30,395.70	600.00	33.00	567.00	85.0	481.95
09/11/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	DIODES INC BUY 200 Units @33.6630	6,732.60	150.00	19.00	131.00	85.0	111.35
09/11/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	DIODES INC BUY 100 Units @33.6400	3,364.00	66.67	17.00	49.67	85.0	42.22
09/11/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	DIODES INC BUY 200 Units @33.6400	6,728.00	133.33	4.00	129.33	85.0	109.93
09/11/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	DIODES INC BUY 300 Units @33.7110	10,113.30	200.00	21.00	179.00	85.0	152.15
09/11/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	DIODES INC BUY 200 Units @33.6550	6,731.00	150.00	19.00	131.00	85.0	111.35



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
09/11/2018	***-**-825J - BARR, JANET	DIODES INC	6,733.00	150.00	19.00	131.00	85.0	111.35
	ACCOUNT #: LSR012627	BUY 200 Units @33.6650						
09/12/2018	***-**-691I - HEINZ, MARK	JUST ENERGY GROUP INC COM NPV ISIN	4,605.00	100.00	45.00	55.00	85.0	46.75
	ACCOUNT #: LSR012513	SELL 1500 Units @3.0700						
09/13/2018	***-**-266O - COILE, TIM	NORBORD INC COM NEW ISIN #CA65548P4	15,923.60	300.00	23.00	277.00	85.0	235.45
	ACCOUNT #: LSR012461	BUY 400 Units @39.8090						
09/13/2018	***-**-558I - CRANFORD,	NORBORD INC COM NEW ISIN #CA65548P4	7,973.80	133.33	19.00	114.33	85.0	97.18
	ACCOUNT #: LSR012466	BUY 200 Units @39.8690						
09/13/2018	***-**-558I - CRANFORD,	NORBORD INC COM NEW ISIN #CA65548P4	3,986.90	66.67	2.00	64.67	85.0	54.97
	ACCOUNT #: LSR012466	BUY 100 Units @39.8690						
09/13/2018	***-**-434I - DVIZAC, ZACK	NORBORD INC COM NEW ISIN #CA65548P4	7,975.00	150.00	19.00	131.00	85.0	111.35
	ACCOUNT #: LSR012474	BUY 200 Units @39.8750						
09/13/2018	***-**-03TR - NEAL TRUST,	NORBORD INC COM NEW ISIN #CA65548P4	3,982.00	75.00	17.00	58.00	85.0	49.30
	ACCOUNT #: LSR012505	BUY 100 Units @39.8200						
09/13/2018	***-**-03TR - NEAL TRUST,	NORBORD INC COM NEW ISIN #CA65548P4	7,973.80	150.00	4.00	146.00	85.0	124.10
	ACCOUNT #: LSR012505	BUY 200 Units @39.8690						
09/13/2018	***-**-03TR - NEAL TRUST,	NORBORD INC COM NEW ISIN #CA65548P4	3,982.00	75.00	2.00	73.00	85.0	62.05
	ACCOUNT #: LSR012505	BUY 100 Units @39.8200						
09/13/2018	***-**-691I - HEINZ, MARK	NORBORD INC COM NEW ISIN #CA65548P4	3,979.00	75.00	17.00	58.00	85.0	49.30
	ACCOUNT #: LSR012513	BUY 100 Units @39.7900						
09/13/2018	***-**-691I - HEINZ, MARK	NORBORD INC COM NEW ISIN #CA65548P4	3,979.00	75.00	2.00	73.00	85.0	62.05
	ACCOUNT #: LSR012513	BUY 100 Units @39.7900						
09/13/2018	***-**-691I - HEINZ, MARK	NORBORD INC COM NEW ISIN #CA65548P4	3,979.00	75.00	2.00	73.00	85.0	62.05
	ACCOUNT #: LSR012513	BUY 100 Units @39.7900						
09/13/2018	***-**-879X - WELCH, KELLY	NORBORD INC COM NEW ISIN #CA65548P4	3,987.00	66.67	17.00	49.67	85.0	42.22
	ACCOUNT #: LSR012710	BUY 100 Units @39.8700						
09/13/2018	***-**-879X - WELCH, KELLY	NORBORD INC COM NEW ISIN #CA65548P4	3,987.00	66.67	2.00	64.67	85.0	54.97
	ACCOUNT #: LSR012710	BUY 100 Units @39.8700						
09/13/2018	***-**-879X - WELCH, KELLY	NORBORD INC COM NEW ISIN #CA65548P4	3,987.00	66.67	2.00	64.67	85.0	54.97
	ACCOUNT #: LSR012710	BUY 100 Units @39.8700						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
09/12/2018	***-**-267I - WIEBLER, STEVE	TURTLE BEACH CORP COM NEW	6,731.70	100.00	21.00	79.00	85.0	67.15
	ACCOUNT #: LSR012639	BUY 300 Units @22.4390						
09/10/2018	***-**-703I - RHODE, SUSAN	VIRTU FINL INC CL A	10,922.50	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012463	SELL 500 Units @21.8450						
09/12/2018	***-**-434I - DVIZAC, ZACK	VIRTU FINL INC CL A	5,225.00	100.00	20.00	80.00	85.0	68.00
	ACCOUNT #: LSR012474	SELL 250 Units @20.9000						
09/12/2018	***-**-042I - HEINZ, CHERI	VIRTU FINL INC CL A	6,255.30	100.00	21.00	79.00	85.0	67.15
	ACCOUNT #: LSR012514	SELL 300 Units @20.8510						
09/12/2018	***-**-2267 - WIEBLER, STEVE	VIRTU FINL INC CL A	6,252.00	100.00	21.00	79.00	85.0	67.15
	ACCOUNT #: LSR012606	SELL 300 Units @20.8400						
09/12/2018	***-**-267I - WIEBLER, STEVE	VIRTU FINL INC CL A	5,225.00	100.00	20.00	80.00	85.0	68.00
	ACCOUNT #: LSR012639	SELL 250 Units @20.9000						
* STOCKS SUBTOTAL *			\$394,810.66	\$7,400.04	\$953.00	\$6,447.04		\$5,478.36
*** BROKER TRANSACTIONS TOTAL ***			\$413,411.22	\$7,400.04	\$971.00	\$6,429.04		\$5,460.36

BROKER COMMISSION TOTALS

Broker Transactions	\$5,460.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$5,460.36
Year-to-date Earnings	\$139,921.32