

COMMISSION STATEMENT

August 31, 2018

BRISKIN DPM

BROKER #: D109

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISKIN DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: STOCKS

07/30/2018	368260050	RICE, GERALD	S	SYSTEMAX INC	S	-10,847.61	12.30	15.00	-2.70	0.0	0.00
07/30/2018	368260050	RICE, GERALD	S	SYSTEMAX INC	S	-2,385.92	2.70	0.00	2.70	0.0	0.00
08/09/2018	368260050	RICE, GERALD	S	SEAGATE TECHNOLOGY	S	-8,707.01	15.00	15.00	0.00	0.0	0.00
08/10/2018	368260050	RICE, GERALD	S	VISHAY INTERTECHNOLOGY INC	S	-10,700.41	15.00	15.00	0.00	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	BHP BILLITON PLC-ADR	S	-4,067.18	5.77	0.00	5.77	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	BHP BILLITON PLC-ADR	S	-4,065.23	5.77	15.00	-9.23	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	BHP BILLITON PLC-ADR	S	-2,440.37	3.46	0.00	3.46	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	COGNIZANT TECH SOLUTIONS CORP	S	-10,360.76	15.00	15.00	0.00	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	HUNTSMAN CORP	S	-11,580.38	15.00	15.00	0.00	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	PROGRESSIVE CORP (OHIO)	B	636.13	0.83	0.00	0.83	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	PROGRESSIVE CORP (OHIO)	B	4,452.93	5.83	0.00	5.83	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	PROGRESSIVE CORP (OHIO)	B	6,363.78	8.33	15.00	-6.67	0.0	0.00
08/15/2018	368260050	RICE, GERALD	S	STEEL DYNAMICS INC	S	-9,012.43	15.00	15.00	0.00	0.0	0.00
08/16/2018	368260050	RICE, GERALD	S	RMR GROUP INC/THE- CL A	S	-5,749.93	7.50	0.00	7.50	0.0	0.00
08/16/2018	368260050	RICE, GERALD	S	RMR GROUP INC/THE- CL A	S	-5,747.48	7.50	15.00	-7.50	0.0	0.00
08/23/2018	368260050	RICE, GERALD	S	ORION ENGINEERED CARBONS S A R	B	3,502.45	5.00	15.00	-10.00	0.0	0.00
08/23/2018	368260050	RICE, GERALD	S	ORION ENGINEERED CARBONS S A R	B	7,000.00	10.00	0.00	10.00	0.0	0.00
08/29/2018	368260050	RICE, GERALD	S	DELEK US HOLDINGS INC NEW COM	B	11,007.05	15.00	15.00	0.00	0.0	0.00
07/30/2018	368357506	DIAZ, LETICIA	S	SYSTEMAX INC	S	-13,133.98	15.00	15.00	0.00	0.0	0.00
07/31/2018	368357506	DIAZ, LETICIA	S	CENTERSTATE BANKS OF FLORIDA	S	-9,747.42	15.00	15.00	0.00	0.0	0.00
08/10/2018	368357506	DIAZ, LETICIA	S	VISHAY INTERTECHNOLOGY INC	S	-6,049.93	8.48	0.00	8.48	0.0	0.00
08/10/2018	368357506	DIAZ, LETICIA	S	VISHAY INTERTECHNOLOGY INC	S	-2,329.26	3.26	15.00	-11.74	0.0	0.00
08/10/2018	368357506	DIAZ, LETICIA	S	VISHAY INTERTECHNOLOGY INC	S	-2,341.71	3.26	0.00	3.26	0.0	0.00
08/15/2018	368357506	DIAZ, LETICIA	S	COGNIZANT TECH SOLUTIONS CORP	S	-10,441.19	15.00	15.00	0.00	0.0	0.00
08/15/2018	368357506	DIAZ, LETICIA	S	PROGRESSIVE CORP (OHIO)	B	11,450.69	15.00	15.00	0.00	0.0	0.00
08/20/2018	368357506	DIAZ, LETICIA	S	METHANEX CORPORATION ISIN	B	4,860.93	6.07	0.00	6.07	0.0	0.00
08/20/2018	368357506	DIAZ, LETICIA	S	METHANEX CORPORATION ISIN	B	7,141.38	8.93	15.00	-6.07	0.0	0.00
08/23/2018	368357506	DIAZ, LETICIA	S	ORION ENGINEERED CARBONS S A R	B	10,502.45	15.00	15.00	0.00	0.0	0.00
08/29/2018	368357506	DIAZ, LETICIA	S	DELEK US HOLDINGS INC NEW COM	B	11,029.45	15.00	15.00	0.00	0.0	0.00
08/29/2018	368357506	DIAZ, LETICIA	S	EAST WEST BANCORP INC	S	-8,889.93	15.00	15.00	0.00	0.0	0.00
07/31/2018	368364854	DIAZ, LETICIA	S	CENTERSTATE BANKS OF FLORIDA	S	-5,606.48	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/29/2018 368433230	SEBERA, KATHLEEN	S COHEN & STEERS LIMITED DURATION	S	-7,473.66	15.00	15.00	0.00	0.0	0.00
07/30/2018 430275860	KIMBRELL TRUST,	S CENTERSTATE BANKS OF FLORIDA	S	-9,862.92	15.00	15.00	0.00	0.0	0.00
07/30/2018 430275860	KIMBRELL TRUST,	S SYSTEMAX INC	S	-8,615.60	9.84	15.00	-5.16	0.0	0.00
07/30/2018 430275860	KIMBRELL TRUST,	S SYSTEMAX INC	S	-4,077.43	4.67	0.00	4.67	0.0	0.00
07/30/2018 430275860	KIMBRELL TRUST,	S SYSTEMAX INC	S	-214.60	0.25	0.00	0.25	0.0	0.00
07/30/2018 430275860	KIMBRELL TRUST,	S SYSTEMAX INC	S	-215.45	0.25	0.00	0.25	0.0	0.00
08/10/2018 430275860	KIMBRELL TRUST,	S VISHAY INTERTECHNOLOGY INC	S	-10,700.41	15.00	15.00	0.00	0.0	0.00
08/15/2018 430275860	KIMBRELL TRUST,	S COGNIZANT TECH SOLUTIONS CORP	S	-10,441.19	15.00	15.00	0.00	0.0	0.00
08/15/2018 430275860	KIMBRELL TRUST,	S PROGRESSIVE CORP (OHIO)	B	11,466.89	15.00	15.00	0.00	0.0	0.00
08/28/2018 430275860	KIMBRELL TRUST,	S ORION ENGINEERED CARBONS S A R	B	10,577.45	15.00	15.00	0.00	0.0	0.00
08/29/2018 430275860	KIMBRELL TRUST,	S DELEK US HOLDINGS INC NEW COM	B	11,029.45	15.00	15.00	0.00	0.0	0.00
07/30/2018 583773480	PARKS, HUBBARD	S CENTERSTATE BANKS OF FLORIDA	S	-2,818.67	4.29	0.00	4.29	0.0	0.00
07/30/2018 583773480	PARKS, HUBBARD	S CENTERSTATE BANKS OF FLORIDA	S	-2,818.67	4.29	0.00	4.29	0.0	0.00
07/30/2018 583773480	PARKS, HUBBARD	S CENTERSTATE BANKS OF FLORIDA	S	-2,816.22	4.29	15.00	-10.71	0.0	0.00
07/30/2018 583773480	PARKS, HUBBARD	S CENTERSTATE BANKS OF FLORIDA	S	-1,409.34	2.14	0.00	2.14	0.0	0.00
07/30/2018 583773480	PARKS, HUBBARD	S SYSTEMAX INC	S	-8,759.71	10.08	0.00	10.08	0.0	0.00
07/30/2018 583773480	PARKS, HUBBARD	S SYSTEMAX INC	S	-4,306.57	4.92	15.00	-10.08	0.0	0.00
08/10/2018 583773480	PARKS, HUBBARD	S VISHAY INTERTECHNOLOGY INC	S	-10,700.92	15.00	15.00	0.00	0.0	0.00
08/15/2018 583773480	PARKS, HUBBARD	S COGNIZANT TECH SOLUTIONS CORP	S	-10,441.19	15.00	15.00	0.00	0.0	0.00
08/15/2018 583773480	PARKS, HUBBARD	S EAST WEST BANCORP INC	S	-6,263.76	10.71	15.00	-4.29	0.0	0.00
08/15/2018 583773480	PARKS, HUBBARD	S EAST WEST BANCORP INC	S	-2,130.51	3.64	0.00	3.64	0.0	0.00
08/15/2018 583773480	PARKS, HUBBARD	S EAST WEST BANCORP INC	S	-250.65	0.43	0.00	0.43	0.0	0.00
08/15/2018 583773480	PARKS, HUBBARD	S EAST WEST BANCORP INC	S	-125.33	0.21	0.00	0.21	0.0	0.00
08/20/2018 583773480	PARKS, HUBBARD	S METHANEX CORPORATION ISIN	B	12,054.65	15.00	15.00	0.00	0.0	0.00
08/23/2018 583773480	PARKS, HUBBARD	S ORION ENGINEERED CARBONS S A R	B	10,502.45	15.00	15.00	0.00	0.0	0.00
08/29/2018 583773480	PARKS, HUBBARD	S DELEK US HOLDINGS INC NEW COM	B	11,029.45	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$-104,039.83	\$555.00	\$555.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc513730	DPM BRISKIN 513730	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	15.86	0.00	15.86	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG LTD TERM INCOME FD CL	B	0.00	13.45	0.00	13.45	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.92	0.00	1.92	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	7.00	0.00	7.00	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	10.29	0.00	10.29	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC INCOME FD A	B	0.00	10.11	0.00	10.11	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	5.06	0.00	5.06	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	11.38	0.00	11.38	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	15.17	0.00	15.17	0.0	0.00
08/21/2018 mfc513730	DPM BRISKIN 513730	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.74	0.00	1.74	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$91.98	\$0.00	\$91.98		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-104,039.83	\$646.98	\$555.00	\$91.98		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00