



COMMISSION STATEMENT

November 12, 2018

ALAN FISHMAN
3 Buckley Place
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2018	***-**-71IN - GERHARD, LIEPOLD	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.76	0.00	15.76	75.0	11.82
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
10/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	71.29	0.00	71.29	75.0	53.47
	ACCOUNT #: 000015013299403	BUY 0 Units @0.0000						
10/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	130.81	0.00	130.81	75.0	98.11
	ACCOUNT #: 000015811742741	BUY 0 Units @0.0000						
10/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 529 645769787	0.00	18.17	0.00	18.17	75.0	13.63
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
10/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 645769480	0.00	95.42	0.00	95.42	75.0	71.57
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
10/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRK SMALL MID CAP GRWTH 529 PORTFL-	0.00	11.95	0.00	11.95	75.0	8.96
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
10/31/2018	***-**-619I - BLUMEN, IAN	FRK VAL FND - CL A	0.00	84.18	0.00	84.18	75.0	63.14
	ACCOUNT #: 000028210803424	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$427.58	\$0.00	\$427.58		\$320.70
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$427.58	\$0.00	\$427.58		\$320.70



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$320.70
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$53.13
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$373.83
						Year-to-date Earnings		\$19,231.43