



COMMISSION STATEMENT

October 01, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/10/2018	***-**-3812 - NORIEGA, CARLOS	FRK GTH ALC 9-12 YR 529 PORT CL A	600.00	24.00	0.00	24.00	75.0	18.00
	ACCOUNT #: 000000007007857	BUY 18 Units @32.8500						
		* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$24.00	\$0.00	\$24.00		\$18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
09/25/2018	***-**-20IO - BARBER, SAMUEL	ALIBABA GROUP HLDG LTD SPONSORED AD	986.34	46.27	9.05	37.22	75.0	27.92
	ACCOUNT #: LSF000307	BUY 6 Units @164.3900						
09/25/2018	***-**-20IO - BARBER, SAMUEL	CHEVRON CORP NEW SOLICITED ORDER	1,984.00	54.00	9.15	44.85	75.0	33.64
	ACCOUNT #: LSF000307	SELL 16 Units @124.0000						
09/27/2018	***-**-63IO - BARBER,	FIRST BANCORP P R COM NEW	7,232.00	75.07	31.00	44.07	75.0	33.05
	ACCOUNT #: LSR012835	SELL 800 Units @9.0400						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	3,502.67	38.00	21.28	16.72	75.0	12.54
	ACCOUNT #: LSR012835	SELL 314 Units @11.1550						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	480.22	0.00	0.86	-0.86	100.0	-0.86
	ACCOUNT #: LSR012835	SELL 43 Units @11.1680						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	1,120.00	0.85	2.00	-1.15	100.0	-1.15
	ACCOUNT #: LSR012835	SELL 100 Units @11.2000						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	1,116.90	8.50	2.00	6.50	75.0	4.88
	ACCOUNT #: LSR012835	SELL 100 Units @11.1690						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	1,116.90	8.50	2.00	6.50	75.0	4.88
	ACCOUNT #: LSR012835	SELL 100 Units @11.1690						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	55.85	0.42	0.10	0.32	75.0	0.24
	ACCOUNT #: LSR012835	SELL 5 Units @11.1690						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	2,479.52	18.87	4.44	14.43	75.0	10.82
	ACCOUNT #: LSR012835	SELL 222 Units @11.1690						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	1,116.90	8.50	2.00	6.50	75.0	4.88
	ACCOUNT #: LSR012835	SELL 100 Units @11.1690						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	1,120.00	4.72	2.00	2.72	75.0	2.04
	ACCOUNT #: LSR012835	SELL 100 Units @11.2000						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	1,116.90	4.00	2.00	2.00	75.0	1.50
	ACCOUNT #: LSR012835	SELL 100 Units @11.1690						
09/27/2018	***-**-63IO - BARBER,	MBT FINL CORP COM	703.65	2.52	1.26	1.26	75.0	0.95
	ACCOUNT #: LSR012835	SELL 63 Units @11.1690						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
09/27/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	MBT FINL CORP COM SELL 100 Units @11.1500	1,115.00	4.00	2.00	2.00	75.0	1.50
09/27/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	MBT FINL CORP COM SELL 553 Units @11.1500	6,165.95	22.12	11.06	11.06	75.0	8.30
09/27/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	MBT FINL CORP COM SELL 100 Units @11.1500	1,115.00	4.00	2.00	2.00	75.0	1.50
09/27/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	UNITED BANCORPORATION ALA INC CL A BUY 38 Units @21.8600	830.68	43.62	8.99	34.63	75.0	25.97
09/27/2018	***-**-63IO - BARBER, ACCOUNT #: LSR012835	UNITED BANCORPORATION ALA INC CL A BUY 400 Units @21.8500	8,740.00	78.92	18.00	60.92	75.0	45.69
* STOCKS SUBTOTAL *			\$42,098.48	\$422.88	\$131.19	\$291.69		\$218.29
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/28/2018	***-**-0853 - BOYER, THOMAS ACCOUNT #: 77467864401	NY 529 ADDITIONAL DEPOSIT BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	75.0	1.79
* 529 PLAN SUBTOTAL *			\$50.00	\$2.38	\$0.00	\$2.38		\$1.79
*** BROKER TRANSACTIONS TOTAL ***			\$42,748.48	\$449.26	\$131.19	\$318.07		\$238.08



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$238.08
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$238.08
						Year-to-date Earnings		\$38,893.47