

COMMISSION STATEMENT September 30, 2018

 GREG PHILLIPS-D366
 809 Jefferson Avenue

 BROKER #: D366
 BRANCH: DMD

LaPorte, IN 46350

COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/17/2018	00067921794	PHILLIPS, GREGORY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	72.5	1.54
09/17/2018	00075559657	SENNE, CONNIE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
09/20/2018	00075615228	HARAZINOVA,	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	72.5	0.91
09/20/2018	00075615228	HARAZINOVA,	MF	THE INVESTMENT COMPANY OF	B	25.00	1.25	0.00	1.25	72.5	0.91
09/17/2018	00083955954	BALLINGER, TRACY	MF	AMERICAN BALANCED FUND-A	B	12.50	0.63	0.00	0.63	72.5	0.46
09/17/2018	00083955954	BALLINGER, TRACY	MF	CAPITAL INCOME BUILDER-A	B	12.50	0.63	0.00	0.63	72.5	0.46
09/17/2018	00083955954	BALLINGER, TRACY	MF	NEW WORLD FUND-A	B	12.50	0.63	0.00	0.63	72.5	0.46
09/17/2018	00083955954	BALLINGER, TRACY	MF	THE INVESTMENT COMPANY OF	B	12.50	0.63	0.00	0.63	72.5	0.46
09/20/2018	00087205975	SHAFFER, GRACE	MF	THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	72.5	3.63
09/20/2018	00087205995	SHAFFER, GRACE	MF	THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	72.5	3.63
09/20/2018	00927192686	DELUCCA, CINDY	MF	NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	72.5	1.81
09/20/2018	00937042928	DELUCCA, CINDY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
* MUTUAL FUNDS SUBTOTAL *						\$500.00	\$24.65	\$0.00	\$24.65		\$17.89
*** DIRECT TRANSACTIONS TOTAL ***						\$500.00	\$24.65	\$0.00	\$24.65		\$17.89

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>\$-155.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>\$-155.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$17.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-137.11
Year-to-date Commissions	\$4,282.14