



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/17/2018 | ***-**-3335 - SPENCER, DAVID | CAPITAL APPRECIATION C | 25.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| | ACCOUNT #: 03220677006 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-3203 - COMPION, ELLEN | GLOBAL FUND A | 25.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| | ACCOUNT #: 03307715985 | BUY 0 Units @0.0000 | | | | | | |
| 09/17/2018 | ***-**-3335 - SPENCER, DAVID | MAIN STREET FUND C | 25.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| | ACCOUNT #: 07022642860 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-3203 - COMPION, ELLEN | OPPENHEIMER CORE BOND FUND A | 25.00 | 1.00 | 0.00 | 1.00 | 75.0 | 0.75 |
| | ACCOUNT #: 02852429284 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-3203 - COMPION, ELLEN | OPPENHEIMER SMALL & MID CAP VALUE F | 25.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| | ACCOUNT #: 02514937295 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-3203 - COMPION, ELLEN | SELECT VALUE FUND A | 25.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| | ACCOUNT #: 06000770220 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$150.00 | \$5.07 | \$0.00 | \$5.07 | | \$3.80 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 10/11/2018 | ***-**-80IO - MAMMONE, JOANN | ISHARES TRUST HIGH DIVIDEND ETF UNS | 5,253.90 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000223 | BUY 60 Units @87.5650 | | | | | | |
| 10/11/2018 | ***-**-56IO - SZYMANSKI, | VANGUARD INDEX FDS VANGUARD GROWTH | 5,221.16 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000215 | BUY 35 Units @149.1760 | | | | | | |
| 10/11/2018 | ***-**-80IO - MAMMONE, JOANN | VANGUARD INDEX FDS VANGUARD GROWTH | 5,166.56 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000223 | BUY 35 Units @147.6160 | | | | | | |
| 10/11/2018 | ***-**-56IO - SZYMANSKI, | VANGUARD INDEX FDS VANGUARD VALUE E | 5,324.75 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000215 | BUY 50 Units @106.4950 | | | | | | |
| 10/11/2018 | ***-**-98IO - BRENNAN, ROBIN | VANGUARD INDEX FDS VANGUARD VALUE E | 15,962.85 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000219 | BUY 150 Units @106.4190 | | | | | | |
| 10/11/2018 | ***-**-56IO - SZYMANSKI, | VANGUARD SECTOR INDEX FDS VANGUARD | 4,083.78 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000215 | BUY 30 Units @136.1260 | | | | | | |
| 10/11/2018 | ***-**-98IO - BRENNAN, ROBIN | VANGUARD SECTOR INDEX FDS VANGUARD | 5,573.76 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000219 | BUY 30 Units @185.7920 | | | | | | |
| 10/11/2018 | ***-**-80IO - MAMMONE, JOANN | VANGUARD SECTOR INDEX FDS VANGUARD | 5,429.56 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000223 | BUY 40 Units @135.7390 | | | | | | |
| 10/11/2018 | ***-**-80IO - MAMMONE, JOANN | VANGUARD SECTOR INDEX FDS VANGUARD | 3,336.70 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000223 | BUY 50 Units @66.7340 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: STOCKS (continued) | | | | | | | | |
| 10/11/2018 | ***-**-80IO - MAMMONE, JOANN | VANGUARD SECTOR INDEX FDS VANGUARD | 5,522.64 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000223 | BUY 30 Units @184.0880 | | | | | | |
| 10/11/2018 | ***-**-56IO - SZYMANSKI, | VANGUARD WHITEHALL FDS HIGH DIVIDEN | 4,219.50 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| | ACCOUNT #: LSM000215 | BUY 50 Units @84.3900 | | | | | | |
| * STOCKS SUBTOTAL * | | | \$65,095.16 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 10/10/2018 | ***-**-58IO - SPINELLA, CAROL | NFS MANAGED FEES | 0.00 | 743.40 | 0.00 | 743.40 | 75.0 | 557.55 |
| | | BUY 1 Units @421338.530 | | | | | | |
| 10/10/2018 | ***-**-10IO - PARKOLA, KATHRYN | NFS MANAGED FEES | 0.00 | 340.12 | 0.00 | 340.12 | 75.0 | 255.09 |
| | | BUY 1 Units @134938.400 | | | | | | |
| 10/10/2018 | ***-**-75IO - BRIGGS, LYNDIA | NFS MANAGED FEES | 0.00 | 272.20 | 0.00 | 272.20 | 75.0 | 204.15 |
| | | BUY 1 Units @143987.760 | | | | | | |
| 10/10/2018 | ***-**-190X - WALTER S | NFS MANAGED FEES | 0.00 | 378.73 | 0.00 | 378.73 | 75.0 | 284.05 |
| | | BUY 1 Units @200341.880 | | | | | | |
| 10/10/2018 | ***-**-90IO - BABROWICZ, | NFS MANAGED FEES | 0.00 | 160.40 | 0.00 | 160.40 | 75.0 | 120.30 |
| | | BUY 1 Units @84850.4100 | | | | | | |
| 10/10/2018 | ***-**-90IO - BABROWICZ, | NFS MANAGED FEES | 0.00 | 48.63 | 0.00 | 48.63 | 75.0 | 36.47 |
| | | BUY 1 Units @25722.9100 | | | | | | |
| 10/10/2018 | ***-**-90IO - BABROWICZ, | NFS MANAGED FEES | 0.00 | 41.01 | 0.00 | 41.01 | 75.0 | 30.76 |
| | | BUY 1 Units @21692.4600 | | | | | | |
| 10/10/2018 | ***-**-36TR - HANRETTY, | NFS MANAGED FEES | 0.00 | 379.35 | 0.00 | 379.35 | 75.0 | 284.51 |
| | | BUY 1 Units @188130.470 | | | | | | |
| 10/10/2018 | ***-**-56IO - SZYMANSKI, | NFS MANAGED FEES | 0.00 | 378.68 | 0.00 | 378.68 | 75.0 | 284.01 |
| | | BUY 1 Units @187796.750 | | | | | | |
| 10/10/2018 | ***-**-85FB - SCHUMACI, FRANK | NFS MANAGED FEES | 0.00 | 273.84 | 0.00 | 273.84 | 75.0 | 205.38 |
| | | BUY 1 Units @208927.540 | | | | | | |
| 10/10/2018 | ***-**-98IO - BRENNAN, ROBIN | NFS MANAGED FEES | 0.00 | 862.57 | 0.00 | 862.57 | 75.0 | 646.93 |
| | | BUY 1 Units @570357.180 | | | | | | |
| 10/10/2018 | ***-**-80IO - MAMMONE, JOANN | NFS MANAGED FEES | 0.00 | 843.22 | 0.00 | 843.22 | 75.0 | 632.42 |
| | | BUY 1 Units @557567.390 | | | | | | |
| 10/10/2018 | ***-**-80IO - MAMMONE, JOANN | NFS MANAGED FEES | 0.00 | 72.12 | 0.00 | 72.12 | 75.0 | 54.09 |
| | | BUY 1 Units @47685.5900 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: RIA (continued) | | | | | | | | |
| 10/10/2018 | ***-**-58IO - SPINELLA, CAROL | NFS MANAGED FEES-DID NOT DEBIT BUY 1 Units @0.0000 | 0.00 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| 10/10/2018 | ***-**-190X - WALTER S | NFS MANAGED FEES-DID NOT DEBIT BUY 1 Units @0.0000 | 0.00 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| * RIA SUBTOTAL * | | | <u>\$0.00</u> | <u>\$4,794.27</u> | <u>\$0.00</u> | <u>\$4,794.27</u> | | <u>\$3,595.71</u> |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADV INTERNATIONAL VALUE C BUY 0 Units @0.0000 | 0.00 | 1.83 | 0.00 | 1.83 | 75.0 | 1.37 |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADVISOR SEMICONDUCTORS CL BUY 0 Units @0.0000 | 0.00 | 1.85 | 0.00 | 1.85 | 75.0 | 1.39 |
| 10/08/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 04700260399 | ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000 | 0.00 | 4.75 | 0.00 | 4.75 | 75.0 | 3.56 |
| 10/08/2018 | ***-**-7515 - EARL, CAROL ACCOUNT #: 04703677649 | ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000 | 0.00 | 2.74 | 0.00 | 2.74 | 75.0 | 2.06 |
| 10/08/2018 | ***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836 | ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000 | 0.00 | 2.10 | 0.00 | 2.10 | 75.0 | 1.58 |
| 10/08/2018 | ***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836 | ALLIANCE BERNSTEIN INTERNATIONAL GR BUY 0 Units @0.0000 | 0.00 | 0.80 | 0.00 | 0.80 | 75.0 | 0.60 |
| 10/08/2018 | ***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836 | ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000 | 0.00 | 2.12 | 0.00 | 2.12 | 75.0 | 1.59 |
| 10/08/2018 | ***-**-7515 - EARL, CAROL ACCOUNT #: 04703677649 | ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000 | 0.00 | 10.60 | 0.00 | 10.60 | 75.0 | 7.95 |
| 10/08/2018 | ***-**-491I - JONES, CECILE ACCOUNT #: 04711057964 | ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000 | 0.00 | 1.55 | 0.00 | 1.55 | 75.0 | 1.16 |
| 10/08/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 04100027301 | ALLIANCEBERNSTEIN INTL VALUE A BUY 0 Units @0.0000 | 0.00 | 0.89 | 0.00 | 0.89 | 75.0 | 0.67 |
| 10/08/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 04700260399 | ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000 | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| 10/08/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 04700356695 | ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000 | 0.00 | 4.36 | 0.00 | 4.36 | 75.0 | 3.27 |
| 10/08/2018 | ***-**-7515 - EARL, CAROL ACCOUNT #: 04703677649 | ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000 | 0.00 | 8.50 | 0.00 | 8.50 | 75.0 | 6.38 |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/08/2018 | ***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836 | ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000 | 0.00 | 2.38 | 0.00 | 2.38 | 75.0 | 1.79 |
| 10/08/2018 | ***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836 | ALLIANCEBERNSTEIN SMALL CAP GROWTH BUY 0 Units @0.0000 | 0.00 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115 | FA SHORT TERM BOND A BUY 0 Units @0.0000 | 0.00 | 0.84 | 0.00 | 0.84 | 75.0 | 0.63 |
| 10/05/2018 | ***-**-3781 - GOULD, ROBERT ACCOUNT #: 01490923172 | FA SHORT TERM BOND T BUY 0 Units @0.0000 | 0.00 | 1.03 | 0.00 | 1.03 | 75.0 | 0.77 |
| 10/05/2018 | ***-**-1970 - CZEBIENIAK, ACCOUNT #: 01486582578 | FIDELITY ADV 01480463155 BUY 0 Units @0.0000 | 0.00 | 19.42 | 0.00 | 19.42 | 75.0 | 14.57 |
| 10/05/2018 | ***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235 | FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000 | 0.00 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000 | 0.00 | 2.05 | 0.00 | 2.05 | 75.0 | 1.54 |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADV CHINA REGION CL A BUY 0 Units @0.0000 | 0.00 | 1.64 | 0.00 | 1.64 | 75.0 | 1.23 |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115 | FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000 | 0.00 | 6.85 | 0.00 | 6.85 | 75.0 | 5.14 |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000 | 0.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115 | FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000 | 0.00 | 5.42 | 0.00 | 5.42 | 75.0 | 4.07 |
| 10/05/2018 | ***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235 | FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000 | 0.00 | 0.08 | 0.00 | 0.08 | 75.0 | 0.06 |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000 | 0.00 | 1.67 | 0.00 | 1.67 | 75.0 | 1.25 |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115 | FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000 | 0.00 | 10.29 | 0.00 | 10.29 | 75.0 | 7.72 |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115 | FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000 | 0.00 | 2.67 | 0.00 | 2.67 | 75.0 | 2.00 |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073 | FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000 | 0.00 | 2.05 | 0.00 | 2.05 | 75.0 | 1.54 |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/05/2018 | ***-**-3781 - GOULD, ROBERT | FIDELITY ADV EQUITY GROWTH CL T | 0.00 | 17.78 | 0.00 | 17.78 | 75.0 | 13.34 |
| | ACCOUNT #: 01490923172 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV EQUITY INCOME CL A | 0.00 | 8.38 | 0.00 | 8.38 | 75.0 | 6.29 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV EQUITY VALUE CL A | 0.00 | 14.67 | 0.00 | 14.67 | 75.0 | 11.00 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV EQUITY VALUE CL A | 0.00 | 1.95 | 0.00 | 1.95 | 75.0 | 1.46 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV EUROPE FUND CLASS A | 0.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV EUROPE FUND CLASS A | 0.00 | 1.84 | 0.00 | 1.84 | 75.0 | 1.38 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV FLOATING RATE HIGH INC | 0.00 | 3.45 | 0.00 | 3.45 | 75.0 | 2.59 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV FLOATING RATE HIGH INC | 0.00 | 1.24 | 0.00 | 1.24 | 75.0 | 0.93 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV FREEDOM 2015-CL A | 0.00 | 9.49 | 0.00 | 9.49 | 75.0 | 7.12 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-1970 - CZEBIENIAK, | FIDELITY ADV FREEDOM 2015-CL C | 0.00 | 10.31 | 0.00 | 10.31 | 75.0 | 7.73 |
| | ACCOUNT #: 01486582578 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-1970 - CZEBIENIAK, | FIDELITY ADV FREEDOM 2020-CL C | 0.00 | 12.63 | 0.00 | 12.63 | 75.0 | 9.47 |
| | ACCOUNT #: 01486582578 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-1543 - FALCHI, GARY | FIDELITY ADV FREEDOM 2025-CL A | 0.00 | 1.52 | 0.00 | 1.52 | 75.0 | 1.14 |
| | ACCOUNT #: 01475399455 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-1970 - CZEBIENIAK, | FIDELITY ADV FREEDOM 2025-CL C | 0.00 | 19.11 | 0.00 | 19.11 | 75.0 | 14.33 |
| | ACCOUNT #: 01486582578 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-7266 - ZUMPARO, ANDREA | FIDELITY ADV FREEDOM 2030-CL A | 0.00 | 0.14 | 0.00 | 0.14 | 75.0 | 0.11 |
| | ACCOUNT #: 03900215235 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-7266 - ZUMPARO, ANDREA | FIDELITY ADV FREEDOM 2035-CL A | 0.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 03900215235 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV GLOBAL EQUITY INCOME C | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV GROWTH & INCOME CL A | 0.00 | 12.91 | 0.00 | 12.91 | 75.0 | 9.68 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV GROWTH & INCOME CL A | 0.00 | 5.05 | 0.00 | 5.05 | 75.0 | 3.79 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV GROWTH OPPORT CL A | 0.00 | 2.21 | 0.00 | 2.21 | 75.0 | 1.66 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-3781 - GOULD, ROBERT | FIDELITY ADV GROWTH OPPORT CL T | 0.00 | 13.39 | 0.00 | 13.39 | 75.0 | 10.04 |
| | ACCOUNT #: 01490923172 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 2.14 | 0.00 | 2.14 | 75.0 | 1.61 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV HIGH INCOME ADVANTAGE | 0.00 | 6.69 | 0.00 | 6.69 | 75.0 | 5.02 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV INDUSTRIALS CL A | 0.00 | 8.47 | 0.00 | 8.47 | 75.0 | 6.35 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV INDUSTRIALS CL A | 0.00 | 1.97 | 0.00 | 1.97 | 75.0 | 1.48 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV INT'L CAPITAL APP CL A | 0.00 | 1.83 | 0.00 | 1.83 | 75.0 | 1.37 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV INTERMEDIATE BOND-CL A | 0.00 | 4.46 | 0.00 | 4.46 | 75.0 | 3.35 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-7266 - ZUMPARO, ANDREA | FIDELITY ADV INTL DISCOVERY-CL A | 0.00 | 0.09 | 0.00 | 0.09 | 75.0 | 0.07 |
| | ACCOUNT #: 03900215235 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV INTL SMALL CAP OPPS-CL | 0.00 | 7.58 | 0.00 | 7.58 | 75.0 | 5.69 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV INTL SMALL CAP-CL A | 0.00 | 1.77 | 0.00 | 1.77 | 75.0 | 1.33 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV INVESTMENT GRADE BOND- | 0.00 | 6.30 | 0.00 | 6.30 | 75.0 | 4.73 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV LEVERAGED CO STOCK CL | 0.00 | 13.18 | 0.00 | 13.18 | 75.0 | 9.89 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV LEVERAGED CO STOCK CL | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV MATERIALS-CL A | 0.00 | 5.75 | 0.00 | 5.75 | 75.0 | 4.31 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-5381 - ZARNOCK, MARTIN | FIDELITY ADV MATERIALS-CL A | 0.00 | 1.20 | 0.00 | 1.20 | 75.0 | 0.90 |
| | ACCOUNT #: 01482293584 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-5381 - ZARNOCK, MARTIN | FIDELITY ADV MID CAP II-CL A | 0.00 | 1.41 | 0.00 | 1.41 | 75.0 | 1.06 |
| | ACCOUNT #: 01482293584 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV MID CAP VALUE-CL A | 0.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 12.41 | 0.00 | 12.41 | 75.0 | 9.31 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-5381 - ZARNOCK, MARTIN | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 1.74 | 0.00 | 1.74 | 75.0 | 1.31 |
| | ACCOUNT #: 01482293584 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-7266 - ZUMANO, ANDREA | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 03900215235 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 4.03 | 0.00 | 4.03 | 75.0 | 3.02 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV STCK SEL LRG CP VAL CL | 0.00 | 8.92 | 0.00 | 8.92 | 75.0 | 6.69 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV STCK SEL LRG CP VAL CL | 0.00 | 1.97 | 0.00 | 1.97 | 75.0 | 1.48 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV STRATEGIC INCOME CL A | 0.00 | 7.56 | 0.00 | 7.56 | 75.0 | 5.67 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV STRATEGIC INCOME CL A | 0.00 | 2.81 | 0.00 | 2.81 | 75.0 | 2.11 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV STRATEGIC REAL RETURN- | 0.00 | 5.80 | 0.00 | 5.80 | 75.0 | 4.35 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-7266 - ZUMANO, ANDREA | FIDELITY ADV TECHNOLOGY CL A | 0.00 | 0.16 | 0.00 | 0.16 | 75.0 | 0.12 |
| | ACCOUNT #: 03900215235 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV TECHNOLOGY CL A | 0.00 | 2.99 | 0.00 | 2.99 | 75.0 | 2.24 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADV TOTAL BOND-CL A | 0.00 | 5.18 | 0.00 | 5.18 | 75.0 | 3.89 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/05/2018 | ***-**-7266 - ZUMANO, ANDREA | FIDELITY ADV TOTAL BOND-CL A | 0.00 | 0.10 | 0.00 | 0.10 | 75.0 | 0.08 |
| | ACCOUNT #: 03900215235 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADV TOTAL BOND-CL A | 0.00 | 4.70 | 0.00 | 4.70 | 75.0 | 3.53 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADVISOR CORP BOND CLASS A | 0.00 | 2.82 | 0.00 | 2.82 | 75.0 | 2.12 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADVISOR DIVERSIFIED INTL F | 0.00 | 6.42 | 0.00 | 6.42 | 75.0 | 4.82 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADVISOR FREEDOM 2020 CL A | 0.00 | 4.76 | 0.00 | 4.76 | 75.0 | 3.57 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADVISOR GOVERNMENT INCOME | 0.00 | 5.30 | 0.00 | 5.30 | 75.0 | 3.98 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADVISOR GOVERNMENT INCOME | 0.00 | 5.61 | 0.00 | 5.61 | 75.0 | 4.21 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-3781 - GOULD, ROBERT | FIDELITY ADVISOR INTERMEDIATE BOND | 0.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: 01490923172 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADVISOR MORTGAGE SECS CL A | 0.00 | 2.81 | 0.00 | 2.81 | 75.0 | 2.11 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADVISOR REAL ESTATE FUND C | 0.00 | 6.11 | 0.00 | 6.11 | 75.0 | 4.58 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0906 - WELLENSTEIN, | FIDELITY ADVISOR REAL ESTATE FUND C | 0.00 | 2.02 | 0.00 | 2.02 | 75.0 | 1.52 |
| | ACCOUNT #: 03900222073 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-0559 - LASCALA, LEONARD | FIDELITY ADVISOR STK SELECTOR ALL C | 0.00 | 11.30 | 0.00 | 11.30 | 75.0 | 8.48 |
| | ACCOUNT #: 01426932115 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-7401 - RUNDLE, AARON | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 1.13 | 0.00 | 1.13 | 75.0 | 0.85 |
| | ACCOUNT #: 01483068944 | BUY 0 Units @0.0000 | | | | | | |
| 10/05/2018 | ***-**-2972 - SCHROEDER, | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| | ACCOUNT #: 03900219577 | BUY 0 Units @0.0000 | | | | | | |
| 10/15/2018 | ***-**-6072 - LASKOWSKY, ANITA | JOHN HANCOCK 529 TRAILS | 0.00 | 9.11 | 0.00 | 9.11 | 75.0 | 6.83 |
| | ACCOUNT #: 52730385 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MASS INVESTORS GROWTH STOCK FUND-A | 0.00 | 18.62 | 0.00 | 18.62 | 75.0 | 13.97 |
| | ACCOUNT #: 00060411762 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/01/2018 | ***-**-0337 - CAMPION, CAROLYN | MFS BOND FUND-A | 0.00 | 13.10 | 0.00 | 13.10 | 75.0 | 9.83 |
| | ACCOUNT #: 08191177696 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS CORE EQUITY FUND-A | 0.00 | 7.27 | 0.00 | 7.27 | 75.0 | 5.45 |
| | ACCOUNT #: 00060418250 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0337 - CAMPION, CAROLYN | MFS DIVERSIFIED INCOME FD CL A | 0.00 | 16.08 | 0.00 | 16.08 | 75.0 | 12.06 |
| | ACCOUNT #: 08191177696 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS HIGH-YIELD OPPORTUNITIES FUND C | 0.00 | 13.11 | 0.00 | 13.11 | 75.0 | 9.83 |
| | ACCOUNT #: 00060415894 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0337 - CAMPION, CAROLYN | MFS LIFETIME INCOME CLASS A | 0.00 | 81.37 | 0.00 | 81.37 | 75.0 | 61.03 |
| | ACCOUNT #: 08191177696 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0337 - CAMPION, CAROLYN | MFS LIMITED MATURITY FUND-A | 0.00 | 6.18 | 0.00 | 6.18 | 75.0 | 4.64 |
| | ACCOUNT #: 08191177696 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS MID CAP VALUE FUND-A | 0.00 | 7.18 | 0.00 | 7.18 | 75.0 | 5.39 |
| | ACCOUNT #: 00060418319 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS RESEARCH BOND FUND CLASS A | 0.00 | 6.76 | 0.00 | 6.76 | 75.0 | 5.07 |
| | ACCOUNT #: 00060416046 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS RESEARCH INTERNATIONAL FUND-A | 0.00 | 11.19 | 0.00 | 11.19 | 75.0 | 8.39 |
| | ACCOUNT #: 00060418160 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS TOTAL RETURN FUND-A | 0.00 | 36.53 | 0.00 | 36.53 | 75.0 | 27.40 |
| | ACCOUNT #: 00060414487 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0337 - CAMPION, CAROLYN | MFS UTILITIES FUND-A | 0.00 | 18.88 | 0.00 | 18.88 | 75.0 | 14.16 |
| | ACCOUNT #: 08191177696 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-6347 - CRUMB, MICHAEL | MFS VALUE FUND-A | 0.00 | 14.92 | 0.00 | 14.92 | 75.0 | 11.19 |
| | ACCOUNT #: 00060417743 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM 746792100 | 0.00 | 19.10 | 0.00 | 19.10 | 75.0 | 14.33 |
| | ACCOUNT #: 00495063903 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM CAP. OPPORTUNITIES-A | 0.00 | 2.11 | 0.00 | 2.11 | 75.0 | 1.58 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7778 - SKARADEK, ERIN | PUTNAM CAP. OPPORTUNITIES-A | 0.00 | 2.73 | 0.00 | 2.73 | 75.0 | 2.05 |
| | ACCOUNT #: 00551308411 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM DIVERSIFIED INCOME FUND CLAS | 0.00 | 8.12 | 0.00 | 8.12 | 75.0 | 6.09 |
| | ACCOUNT #: 00496339326 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/01/2018 | ***-**-0386 - HAMLETT, JANET | PUTNAM DIVERSIFIED INCOME FUND CLAS | 0.00 | 8.38 | 0.00 | 8.38 | 75.0 | 6.29 |
| | ACCOUNT #: 00508038901 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM DIVERSIFIED INCOME FUND CLAS | 0.00 | 3.64 | 0.00 | 3.64 | 75.0 | 2.73 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM EQUITY INCOME FUND-A | 0.00 | 13.95 | 0.00 | 13.95 | 75.0 | 10.46 |
| | ACCOUNT #: 00496339326 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0386 - HAMLETT, JANET | PUTNAM EQUITY INCOME FUND-A | 0.00 | 27.45 | 0.00 | 27.45 | 75.0 | 20.59 |
| | ACCOUNT #: 00508038901 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM EQUITY INCOME FUND-A | 0.00 | 5.28 | 0.00 | 5.28 | 75.0 | 3.96 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7778 - SKARADEK, ERIN | PUTNAM EQUITY INCOME FUND-A | 0.00 | 4.56 | 0.00 | 4.56 | 75.0 | 3.42 |
| | ACCOUNT #: 00551308411 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-627J - KALWARA, TREVOR | PUTNAM EQUITY INCOME FUND-A | 0.00 | 4.68 | 0.00 | 4.68 | 75.0 | 3.51 |
| | ACCOUNT #: 00551376520 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM EQUITY INCOME FUND-A | 0.00 | 0.45 | 0.00 | 0.45 | 75.0 | 0.34 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM GLOBAL EQUITY FUND CLASS A | 0.00 | 0.26 | 0.00 | 0.26 | 75.0 | 0.20 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM GLOBAL HEALTH CARE FD-A | 0.00 | 0.26 | 0.00 | 0.26 | 75.0 | 0.20 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM GROWTH OPPORTUNITIES FD CL A | 0.00 | 7.07 | 0.00 | 7.07 | 75.0 | 5.30 |
| | ACCOUNT #: 00496339326 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7778 - SKARADEK, ERIN | PUTNAM GROWTH OPPORTUNITIES FD CL A | 0.00 | 3.89 | 0.00 | 3.89 | 75.0 | 2.92 |
| | ACCOUNT #: 00551308407 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0386 - HAMLETT, JANET | PUTNAM HIGH YIELD ADVANTAGE-A | 0.00 | 11.02 | 0.00 | 11.02 | 75.0 | 8.27 |
| | ACCOUNT #: 00508038901 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM INTL CAPITAL OPPS-A | 0.00 | 1.81 | 0.00 | 1.81 | 75.0 | 1.36 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM INTL GROWTH & INC-A | 0.00 | 2.90 | 0.00 | 2.90 | 75.0 | 2.18 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7778 - SKARADEK, ERIN | PUTNAM INTL GROWTH & INC-A | 0.00 | 3.19 | 0.00 | 3.19 | 75.0 | 2.39 |
| | ACCOUNT #: 00551308411 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

October 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM INTL NEW OPPORT-A | 0.00 | 0.21 | 0.00 | 0.21 | 75.0 | 0.16 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM MULTI-CAP CORE FUND CL A | 0.00 | 21.17 | 0.00 | 21.17 | 75.0 | 15.88 |
| | ACCOUNT #: 00495063903 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM MULTI-CAP CORE FUND CL A | 0.00 | 5.69 | 0.00 | 5.69 | 75.0 | 4.27 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM NEW OPPORTUNITIES FUND CLASS | 0.00 | 0.35 | 0.00 | 0.35 | 75.0 | 0.26 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM NEW YORK TAX- EXEMPT INCOME | 0.00 | 12.50 | 0.00 | 12.50 | 75.0 | 9.38 |
| | ACCOUNT #: 00461230208 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM NEW YORK TAX- EXEMPT INCOME | 0.00 | 0.67 | 0.00 | 0.67 | 75.0 | 0.50 |
| | ACCOUNT #: 00461230208 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM NEW YORK TAX- EXEMPT INCOME | 0.00 | 7.54 | 0.00 | 7.54 | 75.0 | 5.66 |
| | ACCOUNT #: 00495063903 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-627J - KALWARA, TREVOR | PUTNAM NEW YORK TAX- EXEMPT INCOME | 0.00 | 4.10 | 0.00 | 4.10 | 75.0 | 3.08 |
| | ACCOUNT #: 00551376520 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM NEW YORK TAX- EXEMPT INCOME | 0.00 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-0386 - HAMLETT, JANET | PUTNAM RESEARCH FUND-A | 0.00 | 6.54 | 0.00 | 6.54 | 75.0 | 4.91 |
| | ACCOUNT #: 00508038901 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM RESEARCH FUND-A | 0.00 | 33.37 | 0.00 | 33.37 | 75.0 | 25.03 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM SMALL CAP GROWTH FUND CLASS | 0.00 | 1.52 | 0.00 | 1.52 | 75.0 | 1.14 |
| | ACCOUNT #: 00550842150 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7778 - SKARADEK, ERIN | PUTNAM SMALL CAP GROWTH FUND CLASS | 0.00 | 1.97 | 0.00 | 1.97 | 75.0 | 1.48 |
| | ACCOUNT #: 00551308411 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM SMALL CAP VALUE FUND-A | 0.00 | 8.43 | 0.00 | 8.43 | 75.0 | 6.32 |
| | ACCOUNT #: 00496339326 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-7122 - KALWARA, JOHN | PUTNAM U.S. GOVT INCOME TR-A | 0.00 | 9.42 | 0.00 | 9.42 | 75.0 | 7.07 |
| | ACCOUNT #: 00551047935 | BUY 0 Units @0.0000 | | | | | | |
| 10/01/2018 | ***-**-1162 - KALWARA, JOHN | PUTNAM U.S. GOVT INCOME TR-A | 0.00 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00551376528 | BUY 0 Units @0.0000 | | | | | | |



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------|--------|------------|----------------------|---------------------|-----------------------|-------------------|------|----------------------|
| <div>ADJUSTMENTS (continued)</div> | | | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$925.25 | \$0.00 | \$925.25 | | \$694.13 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$65,245.16 | \$5,724.59 | \$0.00 | \$5,724.59 | | \$4,293.64 |
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | Broker Transactions | | | \$4,293.64 |
| | | | | | Split Transactions | | | \$0.00 |
| | | | | | Override Transactions | | | \$0.00 |
| | | | | | Adjustments | | | \$0.00 |
| | | | | | Payroll Draw | | | \$0.00 |
| | | | | | Base Salary | | | \$0.00 |
| | | | | | Prior Period Balance | | | \$0.00 |
| | | | | | FINRA Assessment | | | \$0.00 |
| | | | | | SIPC Assessment | | | \$0.00 |
| | | | | | Net Commission Earned | | | \$4,293.64 |
| | | | | | Year-to-date Earnings | | | \$71,004.28 |