BROKER

Page No. 1

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

November 30, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: C30

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for MARCO CASALE

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CLEARING	NET COMMISSION	RATE	COMMISSION
TRADE DATE	CHIMI	INVIBILIALI		MOONI	COMMISSION	CIIIICI	COMMISSION	MIL	COMMIDDION
		BROKER TE	PANS	ACTTONS					
		Dionin 1	44,01	10110110					
-	regory: Mutual funds								
11/21/2018 QG	GW039034 DELL, MARK	FIDELITY FREEDOM TWENTY THIRTY FUN	D S	-938.59	12.21	14.00	-1.79	80.0	-4.23
		* MUTUAL FUNDS SUBTOTAL	*	\$-938.59	\$12.21	\$14.00	\$-1.79		\$-4.23
PRODUCT CAT	regory: stocks								
11/26/2018 QC	GW033649 ARLENE M FRANCIS,	NEOGENOMICS INC	В	1,608.00	50.00	6.00	44.00	80.0	34.00
11/02/2018 QC	GW033763 LYONS, SALLY	TANDEM DIABETES CARE INC COM NEW	S	-14,400.00	100.00	6.00	94.00	80.0	74.00
11/06/2018 QC	GW033763 LYONS, SALLY	ROKU INC CLASS A COMMON STOCK	В	16,969.50	200.00	6.00	194.00	80.0	154.00
10/31/2018 QC	GW033805 LYONS, SALLY	NEOGENOMICS INC	В	7,300.00	137.00	6.00	131.00	80.0	103.60
11/02/2018 QC	GW033805 LYONS, SALLY	TANDEM DIABETES CARE INC COM NEW	S	-10,800.00	100.00	6.00	94.00	80.0	74.00
11/02/2018 QC	GW033805 LYONS, SALLY	XILINX INC	S	-16,000.00	100.00	6.00	94.00	80.0	74.00
11/06/2018 QC	GW033805 LYONS, SALLY	SYMANTEC CORPORATION	В	17,152.00	250.00	6.00	244.00	80.0	194.00
11/08/2018 QC	GW033805 LYONS, SALLY	ANGI HOMESERVICES INC CL A	В	9,895.00	150.00	6.00	144.00	80.0	114.00
11/08/2018 QC	GW033805 LYONS, SALLY	NEOGENOMICS INC	S	-25,200.00	250.00	6.00	244.00	80.0	194.00
11/13/2018 QC	GW033805 LYONS, SALLY	CATERPILLAR INC	В	19,154.25	150.00	6.00	144.00	80.0	114.00
11/15/2018 QC	GW033805 LYONS, SALLY	ANGI HOMESERVICES INC CL A	В	9,000.00	150.00	6.00	144.00	80.0	114.00
11/16/2018 QC	GW033805 LYONS, SALLY	SYMANTEC CORPORATION	S	-18,512.00	100.00	6.00	94.00	80.0	74.00
11/26/2018 QC	GW033805 LYONS, SALLY	TANDEM DIABETES CARE INC COM NEW	В	14,724.00	230.00	6.00	224.00	80.0	178.00
11/02/2018 QC	GW035438 RIZZO, GIOVANNI	ROKU INC CLASS A COMMON STOCK	S	-11,000.00	100.00	6.00	94.00	80.0	74.00
11/02/2018 QC	GW035438 RIZZO, GIOVANNI	XILINX INC	S	-16,000.00	100.00	6.00	94.00	80.0	74.00
11/07/2018 QC	GW035438 RIZZO, GIOVANNI	SQUARE INC CLASS A COM	В	16,087.92	150.00	6.00	144.00	80.0	114.00
11/09/2018 QC	GW035438 RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	S	-11,100.00	100.00	6.00	94.00	80.0	74.00
11/15/2018 QC	GW035438 RIZZO, GIOVANNI	ANGI HOMESERVICES INC CL A	В	8,980.00	150.00	6.00	144.00	80.0	114.00
11/26/2018 QC	GW035438 RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	В	14,724.00	200.00	6.00	194.00	80.0	154.00
11/26/2018 QC	GW036493 ARLENE M FRANCIS,	NEOGENOMICS INC	В	1,608.00	50.00	6.00	44.00	80.0	34.00
11/01/2018 QC	GW036584 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	В	11,400.00	160.00	6.00	154.00	80.0	122.00
11/06/2018 QC	GW036584 TREIBER, NANCY	NEOS THERAPEUTICS INC COM	В	2,300.00	0.00	6.00	-6.00	80.0	-6.00
11/07/2018 QC	GW036584 TREIBER, NANCY	NEOS THERAPEUTICS INC COM	S	-2,750.00	50.00	6.00	44.00	80.0	34.00
11/16/2018 QC	GW036584 TREIBER, NANCY	NEOGENOMICS INC	S	-15,000.00	100.00	6.00	94.00	80.0	74.00
11/27/2018 QC	GW036584 TREIBER, NANCY	TANDEM DIABETES CARE INC COM NEW	В	13,364.00	200.00	6.00	194.00	80.0	154.00
11/27/2018 QC	GW036592 TREIBER, NANCY	TANDEM DIABETES CARE INC COM NEW	В	5,011.50	90.00	6.00	84.00	80.0	66.00
11/02/2018 00	GW036600 WOOD, GERALDINE	DYNEX CAP INC COM NEW	В	11,900.00	250.00	6.00	244.00	80.0	194.00

INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for MARCO CASALE

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

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BROKER

COMMISSION

RATE

TRADE DATE	CLIENI	INVESIMENT	7 5	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIE	COMMISSION
		BROKER TRA	NSAC'	TIONS					
PRODUCT CAT	regory: stocks								
11/02/2018 QC	GW036600 WOOD, GERALDINE	SACHEM CAPITAL CORP COMMON SHARES	В	6,135.00	195.00	6.00	189.00	80.0	150.00
11/02/2018 QC	GW036600 WOOD, GERALDINE	SQUARE INC CLASS A COM	В	7,675.00	80.00	6.00	74.00	80.0	58.00
11/02/2018 QC	GW036600 WOOD, GERALDINE	SUNOCO LP COMMON UNITS REPRESENTING	В	3,104.20	60.00	6.00	54.00	80.0	42.00
11/06/2018 QC	GW036600 WOOD, GERALDINE	SYMANTEC CORPORATION	В	10,175.00	175.00	6.00	169.00	80.0	134.00
11/08/2018 QC	GW036600 WOOD, GERALDINE	SYMANTEC CORPORATION S	S	-11,335.00	100.00	6.00	94.00	80.0	74.00
11/15/2018 QC	GW036600 WOOD, GERALDINE	ANGI HOMESERVICES INC CL A	В	8,082.00	150.00	6.00	144.00	80.0	114.00
11/15/2018 QC	GW036600 WOOD, GERALDINE	BAUSCH HEALTH COS INC COM	В	13,180.00	200.00	6.00	194.00	80.0	154.00
11/27/2018 QC	GW037020 HENNESSY, DAINEL	NEOGENOMICS INC	S	-8,240.00	75.00	6.00	69.00	80.0	54.00
11/28/2018 QC	GW037020 HENNESSY, DAINEL	PAYPAL HOLDINGS INC COM	В	10,283.75	125.00	6.00	119.00	80.0	94.00
11/02/2018 QC	GW038705 SAPIO, ROBERTA	XILINX INC	S	-16,000.00	100.00	6.00	94.00	80.0	74.00
11/06/2018 QC	GW038705 SAPIO, ROBERTA	SYMANTEC CORPORATION E	В	10,578.40	176.00	6.00	170.00	80.0	134.80
11/07/2018 QC	GW038705 SAPIO, ROBERTA	SQUARE INC CLASS A COM	В	8,092.02	80.00	6.00	74.00	80.0	58.00
11/09/2018 QC	GW038705 SAPIO, ROBERTA	TANDEM DIABETES CARE INC COM NEW	S	-11,499.00	100.00	6.00	94.00	80.0	74.00
11/15/2018 QC	GW038705 SAPIO, ROBERTA	ANGI HOMESERVICES INC CL A	В	9,209.70	150.00	6.00	144.00	80.0	114.00
11/16/2018 QC	GW038705 SAPIO, ROBERTA	SYMANTEC CORPORATION	S	-11,570.00	75.00	6.00	69.00	80.0	54.00
11/28/2018 QC	GW038705 SAPIO, ROBERTA	SQUARE INC CLASS A COM	В	13,416.00	150.00	6.00	144.00	80.0	114.00
11/28/2018 QC	GW038796 LAPINSKI, CLAIRE	SACHEM CAPITAL CORP COMMON SHARES	В	5,895.00	160.00	6.00	154.00	80.0	122.00
11/02/2018 QC	GW038804 LOSAPIO, VONCENT	AMERICAN AIRLINES GROUP INC	S	-7,400.00	75.00	6.00	69.00	80.0	54.00
11/06/2018 QC	GW038804 LOSAPIO, VONCENT	ROKU INC CLASS A COMMON STOCK	В	7,057.01	100.00	6.00	94.00	80.0	74.00
11/16/2018 QC	GW038812 KOHN, ROBERT	NEOGENOMICS INC	S	-15,000.00	100.00	6.00	94.00	80.0	74.00
11/27/2018 QC	GW038812 KOHN, ROBERT	TANDEM DIABETES CARE INC COM NEW	В	16,705.00	225.00	6.00	219.00	80.0	174.00
11/21/2018 QC	GW039034 DELL, MARK	CRONOS GROUP INC COM ISIN#CA22717L1 F	В	5,859.00	80.00	6.00	74.00	80.0	58.00
11/26/2018 QC	GW039133 MASTERSON, PATRICIA	SUNOCO LP COMMON UNITS REPRESENTING F	В	2,106.75	50.00	6.00	44.00	80.0	34.00
11/01/2018 QC	GW039158 MASTERSON, PATRICIA	ROKU INC CLASS A COMMON STOCK	В	11,400.00	160.00	6.00	154.00	80.0	122.00
11/02/2018 QC	GW039158 MASTERSON, PATRICIA	XILINX INC	S	-16,000.00	100.00	6.00	94.00	80.0	74.00
11/06/2018 QC	GW039158 MASTERSON, PATRICIA	SYMANTEC CORPORATION	В	10,474.50	176.00	6.00	170.00	80.0	134.80
11/12/2018 QC	GW039158 MASTERSON, PATRICIA	SYMANTEC CORPORATION	S	-11,165.00	100.00	6.00	94.00	80.0	74.00
11/13/2018 QC	GW039158 MASTERSON, PATRICIA	SQUARE INC CLASS A COM	В	14,393.60	150.00	6.00	144.00	80.0	114.00
11/26/2018 QC	GW039158 MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	В	11,452.00	190.00	6.00	184.00	80.0	146.00
11/26/2018 QC	GW039158 MASTERSON, PATRICIA	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	S	-11,035.00	75.00	6.00	69.00	80.0	54.00
11/08/2018 QC	GW039166 WOZNEY, DEBRA	DATA I O CORP COM	S	-2,575.00	40.00	6.00	34.00	80.0	26.00
11/08/2018 QC	GW039166 WOZNEY, DEBRA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US S	S	-2,795.00	40.00	6.00	34.00	80.0	26.00
11/01/2018 QC	GW039398 RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK	В	11,400.00	160.00	6.00	154.00	80.0	122.00
11/08/2018 00	GW039398 RAGAZZO, RALPH	CRONOS GROUP INC COM ISIN#CA22717L1 S	S	-4,750.00	50.00	6.00	44.00	80.0	34.00
	GW039398 RAGAZZO, RALPH		S	-11,499.00	100.00	6.00	94.00		74.00
	GW039398 RAGAZZO, RALPH		В	9,530.95	150.00	6.00	144.00		114.00
	GW039398 RAGAZZO, RALPH		В	8,180.00	140.00	6.00	134.00		106.00
	GW039414 RAGAZZO, RALPH		S	-7,666.00	50.00	6.00	44.00		34.00
	GW039422 RAGAZZO, KATHLEEN		S	-16,000.00	100.00	6.00	94.00		74.00
	GW039422 RAGAZZO, KATHLEEN		В	20,350.00	300.00	6.00	294.00		234.00
-,, 2010 Q				,	200.00	3.30	2,1.00		231.30

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANS	SACTIONS					
PRODUCT CATEGORY: STOCKS	-						
11/09/2018 QGW039422 RAGAZZO, KATHLEEN	DROPBOX INC CL A COM S	-24,000.00	100.00	6.00	94.00	80.0	74.00
11/09/2018 QGW039422 RAGAZZO, KATHLEEN	TANDEM DIABETES CARE INC COM NEW S	-19,165.00	150.00	6.00	144.00	80.0	114.00
11/12/2018 QGW039422 RAGAZZO, KATHLEEN	CRONOS GROUP INC COM ISIN#CA22717L1 B	4,044.45	75.00	6.00	69.00	80.0	54.00
11/12/2018 QGW039422 RAGAZZO, KATHLEEN	SYMANTEC CORPORATION S	-21,840.00	125.00	6.00	119.00	80.0	94.00
11/13/2018 QGW039422 RAGAZZO, KATHLEEN	ANGI HOMESERVICES INC CL A B	9,198.40	150.00	6.00	144.00	80.0	114.00
11/13/2018 QGW039422 RAGAZZO, KATHLEEN	CATERPILLAR INC B	25,932.00	150.00	6.00	144.00	80.0	114.00
11/16/2018 QGW039422 RAGAZZO, KATHLEEN	ANGI HOMESERVICES INC CL A B	9,545.55	150.00	6.00	144.00	80.0	114.00
11/16/2018 QGW039422 RAGAZZO, KATHLEEN	NEOGENOMICS INC S	-15,000.00	100.00	6.00	94.00	80.0	74.00
11/21/2018 QGW039422 RAGAZZO, KATHLEEN	PAYPAL HOLDINGS INC COM B	23,735.34	225.00	6.00	219.00	80.0	174.00
11/26/2018 QGW039422 RAGAZZO, KATHLEEN	TANDEM DIABETES CARE INC COM NEW B	13,088.00	200.00	6.00	194.00	80.0	154.00
10/31/2018 QGW039455 BIELINKI, LOUISE	NEOGENOMICS INC B	7,300.00	137.00	6.00	131.00	80.0	103.60
11/28/2018 QGW039455 BIELINKI, LOUISE	CRONOS GROUP INC COM ISIN#CA22717L1 B	4,238.40	100.00	6.00	94.00	80.0	74.00
11/28/2018 QGW039463 BIELINKI, LOUISE	PAYPAL HOLDINGS INC COM B	10,264.31	120.00	6.00	114.00	80.0	90.00
10/31/2018 QGW039828 BIELINKI, LOUIS	NEOGENOMICS INC B	5,475.00	110.00	6.00	104.00	80.0	82.00
11/28/2018 QGW039828 BIELINKI, LOUIS	CRONOS GROUP INC COM ISIN#CA22717L1 B	4,248.35	100.00	6.00	94.00	80.0	74.00
10/31/2018 QGW040404 DALTON, LISA	ROKU INC CLASS A COMMON STOCK B	10,800.00	100.00	6.00	94.00	80.0	74.00
11/28/2018 QGW040404 DALTON, LISA	CRONOS GROUP INC COM ISIN#CA22717L1 B	4,180.00	75.00	6.00	69.00	80.0	54.00
	* STOCKS SUBTOTAL *	\$162,666.85	\$10,696.00	\$504.00	\$10,192.00		\$8,052.80
PRODUCT CATEGORY: OPTIONS	* STOCKS SUBTOTAL *	\$162,666.85	\$10,696.00	\$504.00	\$10,192.00		\$8,052.80
PRODUCT CATEGORY: OPTIONS 11/26/2018 QGW033649 ARLENE M FRANCIS,	* STOCKS SUBTOTAL * CALL 100 VIPSHOP HLDGS LTD SPONS AD S	\$162,666.85 -400.00	\$10,696.00 50.00	\$504.00 6.00	\$10,192.00 44.00	80.0	\$8,052.80 34.00
						80.0	
11/26/2018 QGW033649 ARLENE M FRANCIS,	CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-400.00	50.00	6.00	44.00		34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S	-400.00 -320.00	50.00 50.00	6.00	44.00 44.00	80.0	34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S	-400.00 -320.00 -275.00	50.00 50.00 50.00	6.00 6.00 6.00	44.00 44.00 44.00	80.0	34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S	-400.00 -320.00 -275.00 -280.00	50.00 50.00 50.00 50.00	6.00 6.00 6.00	44.00 44.00 44.00 44.00	80.0 80.0 80.0	34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S	-400.00 -320.00 -275.00 -280.00 280.00	50.00 50.00 50.00 50.00	6.00 6.00 6.00 6.00	44.00 44.00 44.00 44.00	80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 C
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S	-400.00 -320.00 -275.00 -280.00 280.00	50.00 50.00 50.00 50.00 -50.00	6.00 6.00 6.00 6.00 -6.00	44.00 44.00 44.00 -44.00 44.00	80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S	-400.00 -320.00 -275.00 -280.00 280.00 -280.00	50.00 50.00 50.00 50.00 -50.00 50.00	6.00 6.00 6.00 6.00 -6.00 6.00	44.00 44.00 44.00 -44.00 -44.00 44.00	80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S	-400.00 -320.00 -275.00 -280.00 -280.00 -175.00	50.00 50.00 50.00 50.00 -50.00 50.00	6.00 6.00 6.00 -6.00 6.00 6.00	44.00 44.00 44.00 -44.00 -44.00 -44.00	80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S	-400.00 -320.00 -275.00 -280.00 -280.00 -175.00 175.00 -240.00	50.00 50.00 50.00 50.00 -50.00 50.00 -50.00	6.00 6.00 6.00 6.00 -6.00 6.00 -6.00	44.00 44.00 44.00 -44.00 44.00 44.00 -44.00	80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S	-400.00 -320.00 -275.00 -280.00 -280.00 -175.00 175.00 -240.00	50.00 50.00 50.00 50.00 -50.00 50.00 50.00 50.00	6.00 6.00 6.00 6.00 -6.00 6.00 -6.00 6.00	44.00 44.00 44.00 -44.00 -44.00 44.00 -44.00 44.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S	-400.00 -320.00 -275.00 -280.00 -280.00 -175.00 175.00 -240.00 -170.00 -225.00	50.00 50.00 50.00 50.00 -50.00 50.00 -50.00 50.00 50.00	6.00 6.00 6.00 -6.00 6.00 -6.00 6.00 6.0	44.00 44.00 44.00 -44.00 -44.00 44.00 -44.00 44.00 44.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S CALL 100 SYMANTEC CORP EXP 11-23-18 S	-400.00 -320.00 -275.00 -280.00 -280.00 -175.00 175.00 -240.00 -170.00 -225.00 -85.00	50.00 50.00 50.00 50.00 -50.00 50.00 -50.00 50.00 50.00 50.00	6.00 6.00 6.00 -6.00 6.00 -6.00 6.00 6.0	44.00 44.00 44.00 -44.00 -44.00 44.00 -44.00 44.00 44.00 44.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00 34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S CALL 100 SYMANTEC CORP EXP 11-23-18 S CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-400.00 -320.00 -275.00 -280.00 280.00 -175.00 -175.00 -240.00 -170.00 -225.00 -85.00 -200.00	50.00 50.00 50.00 -50.00 50.00 50.00 -50.00 50.00 50.00 50.00	6.00 6.00 6.00 -6.00 6.00 -6.00 6.00 6.0	44.00 44.00 44.00 -44.00 -44.00 -44.00 44.00 44.00 44.00 44.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00 34.00 34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI 11/26/2018 QGW035438 RIZZO, GIOVANNI 11/26/2018 QGW036493 ARLENE M FRANCIS, 10/31/2018 QGW036584 TREIBER, NANCY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S CALL 100 SYMANTEC CORP EXP 11-23-18 S CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 NVIDIA CORP COM EXP 01-18- B	-400.00 -320.00 -275.00 -280.00 280.00 -280.00 -175.00 -175.00 -240.00 -170.00 -225.00 -85.00 -200.00 6,736.00	50.00 50.00 50.00 50.00 -50.00 50.00 -50.00 50.00 50.00 50.00 50.00	6.00 6.00 6.00 6.00 6.00 6.00 6.00 6.00	44.00 44.00 44.00 -44.00 -44.00 44.00 44.00 44.00 44.00 44.00 119.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 C 34.00 -34.00 C 34.00 34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW036493 ARLENE M FRANCIS, 10/31/2018 QGW036584 TREIBER, NANCY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S CALL 100 SYMANTEC CORP EXP 11-23-18 S CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 NVIDIA CORP COM EXP 01-18- B CALL 100 NVIDIA CORP COM EXP 01-17- S	-400.00 -320.00 -275.00 -280.00 280.00 -280.00 -175.00 -175.00 -240.00 -170.00 -225.00 -85.00 -200.00 6,736.00	50.00 50.00 50.00 50.00 -50.00 50.00 50.00 50.00 50.00 50.00 125.00	6.00 6.00 6.00 6.00 6.00 6.00 6.00 6.00	44.00 44.00 44.00 -44.00 -44.00 44.00 44.00 44.00 44.00 44.00 119.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 C 34.00 -34.00 C 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW036584 RIZZO, GIOVANNI 11/26/2018 QGW036584 TREIBER, NANCY 10/31/2018 QGW036584 TREIBER, NANCY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S CALL 100 SYMANTEC CORP EXP 11-23-18 S CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 NVIDIA CORP COM EXP 01-18- B CALL 100 NVIDIA CORP COM EXP 01-17- S CALL 100 ROKU INC COM CL A EXP 11-0 B	-400.00 -320.00 -320.00 -275.00 -280.00 -280.00 -175.00 -175.00 -240.00 -170.00 -225.00 -85.00 -200.00 6,736.00 -10,280.00	50.00 50.00 50.00 50.00 -50.00 50.00 50.00 50.00 50.00 50.00 125.00 50.00	6.00 6.00 6.00 6.00 6.00 6.00 6.00 6.00	44.00 44.00 44.00 -44.00 44.00 44.00 44.00 44.00 44.00 44.00 119.00 119.00 44.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 34.00 -34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00
11/26/2018 QGW033649 ARLENE M FRANCIS, 11/07/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/15/2018 QGW033805 LYONS, SALLY 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/29/2018 QGW034761 SYMCZAK, STEPHEN 11/05/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/07/2018 QGW035438 RIZZO, GIOVANNI 11/15/2018 QGW036584 TREIBER, NANCY 11/16/2018 QGW036584 TREIBER, NANCY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 CANADIAN SOLAR INC COM ISI S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 TEVA PHARMACEUTICAL INDUST S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 COHERUS BIOSCIENCES INC CO S CALL 100 IQIYI INC SPONS ADR ISIN#U S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 TANDEM DIABETES CARE INC C S CALL 100 SYMANTEC CORP EXP 11-23-18 S CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 NVIDIA CORP COM EXP 01-18- B CALL 100 ROKU INC COM CL A EXP 11-0 B CALL 100 NVIDIA CORP COM EXP 01-17- S	-400.00 -320.00 -275.00 -280.00 -280.00 -280.00 -175.00 -175.00 -240.00 -170.00 -225.00 -85.00 -200.00 6,736.00 -10,280.00 -6,420.00	50.00 50.00 50.00 50.00 -50.00 50.00 -50.00 50.00 50.00 50.00 50.00 125.00 125.00 100.00	6.00 6.00 6.00 6.00 6.00 6.00 6.00 6.00	44.00 44.00 44.00 44.00 -44.00 44.00 44.00 44.00 44.00 44.00 44.00 44.00 44.00 44.00 94.00	80.0 80.0 80.0 80.0 80.0 80.0 80.0 80.0	34.00 34.00 34.00 34.00 -34.00 C 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00 34.00

MASTERSON, PATRICIA MASTERSON, PATRICIA	INVESTMENT BROKER TRANS CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 ROKU INC COM CL A EXP 11-1 S CALL 100 TANDEM DIABETES CARE INC C S	INVESTMENT AMOUNT -250.00 -240.00 -184.00	GROSS COMMISSION 50.00 50.00	CLEARING CHARGE 6.00 6.00	NET COMMISSION 44.00 44.00	RATE 80.0	BROKER COMMISSION
LAPINSKI, CLAIRE MASTERSON, PATRICIA MASTERSON, PATRICIA MASTERSON, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 ROKU INC COM CL A EXP 11-1 S	-250.00 -240.00	50.00			80.0	34.00
LAPINSKI, CLAIRE MASTERSON, PATRICIA MASTERSON, PATRICIA MASTERSON, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 ROKU INC COM CL A EXP 11-1 S	-250.00 -240.00	50.00			80.0	34.0
LAPINSKI, CLAIRE MASTERSON, PATRICIA MASTERSON, PATRICIA MASTERSON, PATRICIA	CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 ROKU INC COM CL A EXP 11-1 S	-240.00	50.00			80.0	34.0
MASTERSON, PATRICIA MASTERSON, PATRICIA MASTERSON, PATRICIA	CALL 100 SYMANTEC CORP EXP 11-09-18 S CALL 100 ROKU INC COM CL A EXP 11-1 S	-240.00	50.00			80.0	34.0
MASTERSON, PATRICIA MASTERSON, PATRICIA	CALL 100 ROKU INC COM CL A EXP 11-1 S			6.00	44 00		
MASTERSON, PATRICIA		-184.00			11.00	80.0	34.0
,	CALL 100 TANDEM DIABETES CARE INC C S		50.00	6.00	44.00	80.0	34.0
MASTERSON, PATRICIA		-460.00	50.00	6.00	44.00	80.0	34.0
	CALL 100 NEOGENOMICS INC COM NEW EXS	-200.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, RALPH	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-200.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 SYMANTEC CORP EXP 11-09-18 S	-330.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-240.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 ANGI HOMESERVICES INC CL AS	-100.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-470.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 BOX INC CL A EXP 12-21-18@ S	-750.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 ROKU INC COM CL A EXP 11-3 S	-140.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 TANDEM DIABETES CARE INC CS	-400.00	50.00	6.00	44.00	80.0	34.0
RAGAZZO, KATHLEEN	CALL 100 TOWER SEMICONDUCTOR LTD SH S	-250.00	50.00	6.00	44.00	80.0	34.0
BIELINKI, LOUISE	CALL 100 NEOGENOMICS INC COM NEW EX S	-120.00	50.00	6.00	44.00	80.0	34.0
	* OPTIONS SUBTOTAL *	\$-10,242.00	\$1,800.00	\$186.00	\$1,614.00		\$1,254.0
	*** BROKER TRANSACTIONS TOTAL ***	\$151,486.26	\$12,508.21	\$704.00	\$11,804.21		\$9,302.5
R.F. R.F. R.F.	AGAZZO, KATHLEEN AGAZZO, KATHLEEN AGAZZO, KATHLEEN AGAZZO, KATHLEEN	AGAZZO, KATHLEEN CALL 100 BOX INC CL A EXP 12-21-18@ S AGAZZO, KATHLEEN CALL 100 ROKU INC COM CL A EXP 11-3 S AGAZZO, KATHLEEN CALL 100 TANDEM DIABETES CARE INC C S AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SH S TELINKI, LOUISE CALL 100 NEOGENOMICS INC COM NEW EX S * OPTIONS SUBTOTAL *	AGAZZO, KATHLEEN CALL 100 BOX INC CL A EXP 12-21-18@ S AGAZZO, KATHLEEN CALL 100 ROKU INC COM CL A EXP 11-3 S AGAZZO, KATHLEEN CALL 100 TANDEM DIABETES CARE INC C S AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SH S AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SH S AGAZZO, KAT	AGAZZO, KATHLEEN CALL 100 BOX INC CL A EXP 12-21-18@ S -750.00 50.00 AGAZZO, KATHLEEN CALL 100 ROKU INC COM CL A EXP 11-3 S -140.00 50.00 AGAZZO, KATHLEEN CALL 100 TANDEM DIABETES CARE INC C S -400.00 50.00 AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SH S -250.00 50.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 * OPTIONS SUBTOTAL * \$-10,242.00 \$1,800.00	AGAZZO, KATHLEEN CALL 100 BOX INC CL A EXP 12-21-18@ S -750.00 50.00 6.00 AGAZZO, KATHLEEN CALL 100 ROKU INC COM CL A EXP 11-3 S -140.00 50.00 6.00 AGAZZO, KATHLEEN CALL 100 TANDEM DIABETES CARE INC C S -400.00 50.00 6.00 AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SH S -250.00 50.00 6.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 * OPTIONS SUBTOTAL * \$-10,242.00 \$1,800.00 \$186.00	AGAZZO, KATHLEEN CALL 100 BOX INC CL A EXP 12-21-18@ S -750.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 ROKU INC COM CL A EXP 11-3 S -140.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 TANDEM DIABETES CARE INC C S -400.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SH S -250.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 AGAZZO, AGAZ	AGAZZO, KATHLEEN CALL 100 BOX INC CL A EXP 12-21-18@ S -750.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 ROKU INC COM CL A EXP 11-3 S -140.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 TANDEM DIABETES CARE INC CS -400.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 TOWER SEMICONDUCTOR LTD SHS -250.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -120.00 50.00 50.00 6.00 44.00 80.0 AGAZZO, KATHLEEN CALL 100 NEOGENOMICS INC COM NEW EX S -10,242.00 \$1,800.00 \$1,614.00

ADJUSTMENT

11/30/2018	COMMISSION INCOME: NEOS	0.00	0.00	0.00	0.00	18.63
11/30/2018	OTHER: 360 OFFICE	0.00	0.00	0.00	0.00	-8.00
11/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-139.37

BROKER COMMISSION TOTALS

Broker Transactions	\$9,302.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-139.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.64
SIPC Assessment	\$-31.27

Check Amount	\$9,116.29
Year-to-date Earnings	\$140,538.30