



All Companies

12/28/2018  
Page No. 1

# COMMISSION STATEMENT January 02, 2019

DAVID SCOTT RAPOPORT  
5546 Rainbow Circle

BROKER #: 9076  
BRANCH: HOME OFFICE

Santa Rosa, CA 95409

## COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/10/2018 000000000041792	RODE, PETER	MUTUAL INTERNATIONAL CL A	B	72.00	2.88	0.00	2.88	65.0	1.87
12/10/2018 0000000000812545	RODE, PETER	FRANKLIN MUTUAL FINCL SERVICES FD C B		72.00	2.88	0.00	2.88	65.0	1.87
12/10/2018 00000000008801843	RODE, PETER	MUTUAL DISC FND - CL A	B	72.00	2.88	0.00	2.88	65.0	1.87
12/17/2018 000080193000975	PISENTI, SARAH	FRANKLIN DYNATECH FD CL I	B	300.00	15.00	0.00	15.00	65.0	9.75
11/27/2018 00033258034	MAKRAY, WILLIAM C.	NUVEEN CALIFORNIA MUNI BOND A	B	300,000.00	3,000.00	0.00	3,000.00	65.0	1,950.00
12/17/2018 00086994400	SHELDON, EMMETT/CHRISTIN	AMERICAN FUNDS SMALL CAP WORLD FD	B	500.00	13.75	0.00	13.75	65.0	8.94
12/17/2018 00088458875	UNTIL AGE 18, CA/UTMA	AMERICAN FUNDS SMALL CAP WORLD FD	B	250.00	6.88	0.00	6.88	65.0	4.47
12/10/2018 7006770522	WEIN, TAMRAH G	OPPENHEIMER MAIN STREET INCOME & GR B		550.00	11.00	0.00	11.00	65.0	7.15
12/10/2018 8473403367	GOLDSTEIN, FRANNE C	MAIN STREET SMALL CAP FUND A	B	100.00	4.75	0.00	4.75	65.0	3.09
12/10/2018 8475633672	WEIN, TAMRAH	MAIN STREET SMALL CAP FUND A	B	450.00	9.00	0.00	9.00	65.0	5.85
* MUTUAL FUNDS SUBTOTAL *				\$302,366.00	\$3,069.02	\$0.00	\$3,069.02		\$1,994.86

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/02/2019 TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES			0.00	16.74	0.00	16.74	65.0	10.89
01/02/2019 TRAIL COMMISSIONS	THORNBURG FUNDS FEES			0.00	66.73	0.00	66.73	65.0	43.37
01/02/2019 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES			0.00	42.90	0.00	42.90	65.0	27.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$126.37	\$0.00	\$126.37		\$82.15
*** BROKER TRANSACTIONS TOTAL ***				\$302,366.00	\$3,195.39	\$0.00	\$3,195.39		\$2,077.01

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ADJUSTMENTS

01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,077.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,049.51
Year-to-date Earnings	\$2,049.51