



COMMISSION STATEMENT

November 26, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/26/2018	***-**-1994 - ATA FREIGHT LINE	ARA06 BUY 1 Units @0.0000	0.00	13.28	0.00	13.28	90.0	11.95
11/18/2018	***-**-0651 - NOSTRAMO, LORI ACCOUNT #: VP71057790	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	102.00	1.02	0.00	1.02	90.0	0.92
11/19/2018	***-**-6385 - MALONEY, DONNA ACCOUNT #: VP71053550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	51.00	0.39	0.00	0.39	90.0	0.35
11/19/2018	***-**-6385 - MALONEY, DONNA ACCOUNT #: VP71053550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	51.00	0.39	0.00	0.39	90.0	0.35
11/17/2018	***-**-8166 - GANZ, CARY ACCOUNT #: VP71031550	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	1,600.00	8.00	0.00	8.00	90.0	7.20
11/17/2018	***-**-8166 - GANZ, CARY ACCOUNT #: VP71031550	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	1,600.00	8.00	0.00	8.00	90.0	7.20
* VARIABLE ANNUITIES SUBTOTAL *			\$3,404.00	\$31.08	\$0.00	\$31.08		\$27.97
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
11/26/2018	***-**-693I - PONTURO, ANTHONY ACCOUNT #: 008571704	PENN LIFE VUL BUY 1 Units @0.0000	0.00	5.40	0.00	5.40	90.0	4.86
11/26/2018	***-**-6780 - SERRINS, RICHARD ACCOUNT #: 008567518	PENN LIFE VUL BUY 1 Units @0.0000	0.00	5.49	0.00	5.49	90.0	4.94
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$10.89	\$0.00	\$10.89		\$9.80
*** BROKER TRANSACTIONS TOTAL ***			\$3,404.00	\$41.97	\$0.00	\$41.97		\$37.77

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

11/21/2018	***-**-24IO - BONGORT, ACCOUNT #: LSR009792	AGNC INVT CORP COM UNSOLICITED ORDE SELL 65 Units @17.4940	1,137.11	24.42	12.00	12.42	90.0	11.18
11/16/2018	***-**-48IN - ARNOLD, CAROL ACCOUNT #: LSR012898	AMARIN CORP ADR EACH REP 1 ORD GBP0 BUY 25 Units @17.7960	444.90	19.00	7.75	11.25	90.0	10.13
11/19/2018	***-**-450I - ROSENBLATT, MARK ACCOUNT #: LSR012160	AMARIN CORP ADR EACH REP 1 ORD GBP0 BUY 500 Units @17.3800	8,690.00	52.53	12.50	40.03	90.0	36.03



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/21/2018	***-**-75IN - WATSON, SANDRA	AMARIN CORP ADR EACH REP 1 ORD GBP0	9,282.00	53.53	12.50	41.03	90.0	36.93
	ACCOUNT #: LSR011049	BUY 500 Units @18.5640						
11/15/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: 01489789329	BUY 15 Units @13.1900						
11/16/2018	***-**-48IN - ARNOLD, CAROL	KUSHCO HLDGS INC COM	474.12	19.00	9.00	10.00	90.0	9.00
	ACCOUNT #: LSR012898	BUY 90 Units @5.2680						
11/21/2018	***-**-75IN - WATSON, SANDRA	KUSHCO HLDGS INC COM	1,018.00	23.41	9.00	14.41	90.0	12.97
	ACCOUNT #: LSR011049	BUY 200 Units @5.0900						
11/21/2018	***-**-75IN - WATSON, SANDRA	KUSHCO HLDGS INC COM	508.00	4.32	0.00	4.32	90.0	3.89
	ACCOUNT #: LSR011049	BUY 100 Units @5.0800						
11/21/2018	***-**-75IN - WATSON, SANDRA	KUSHCO HLDGS INC COM	1,020.00	8.43	0.00	8.43	90.0	7.59
	ACCOUNT #: LSR011049	BUY 200 Units @5.1000						
11/18/2018	***-**-9012 - GIUFFRE, RICHARD	PACIFIC LIFE EXEC II DTCC	0.00	6.19	0.00	6.19	90.0	5.57
	ACCOUNT #: VP65345830	BUY 0 Units @0.0000						
11/18/2018	***-**-9012 - GIUFFRE, RICHARD	PACIFIC LIFE EXEC II DTCC	0.00	6.19	0.00	6.19	90.0	5.57
	ACCOUNT #: VP65345830	BUY 0 Units @0.0000						
11/21/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
11/26/2018	***-**-1915 - VAGLIO, JOSEPH	PENN LIFE VUL	0.00	7.97	0.00	7.97	90.0	7.17
	ACCOUNT #: 008567826	BUY 1 Units @0.0000						
11/26/2018	***-**-5572 - MORRISON,	PENN LIFE VUL	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 008568575	BUY 1 Units @0.0000						
11/26/2018	***-**-8826 - LAFRANCE,	PENN LIFE VUL	0.00	7.80	0.00	7.80	90.0	7.02
	ACCOUNT #: 008570988	BUY 1 Units @0.0000						
11/26/2018	***-**-8268 - LEHMANN	PENN LIFE VUL	0.00	129.59	0.00	129.59	90.0	116.63
	ACCOUNT #: 008568268	BUY 1 Units @0.0000						
11/26/2018	***-**-8374 - GURIAN	PENN LIFE VUL	0.00	3.99	0.00	3.99	90.0	3.59
	ACCOUNT #: 008568374	BUY 1 Units @0.0000						
11/26/2018	***-**-6154 - TURPAN, PETER	PENN LIFE VUL	0.00	11.90	0.00	11.90	90.0	10.71
		BUY 1 Units @0.0000						
11/26/2018	***-**-8826 - LAFRANCE,	PENN LIFE VUL	0.00	0.36	0.00	0.36	90.0	0.32
	ACCOUNT #: 008570988	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
11/19/2018	***-**-450I - ROSENBLATT, MARK	SECTOR SPDR TR SHS BEN INT INDUSTRI	3,549.50	27.00	8.00	19.00	90.0	17.10
	ACCOUNT #: LSR012160	SELL 50 Units @70.9900						
11/19/2018	***-**-450I - ROSENBLATT, MARK	SELECT SECTOR SPDR FD HEALTH CARE	5,456.16	27.00	8.10	18.90	90.0	17.01
	ACCOUNT #: LSR012160	SELL 60 Units @90.9360						
* JEFFREY MORRISON SUBTOTAL *			<u>\$32,279.79</u>	<u>\$443.18</u>	<u>\$78.85</u>	<u>\$364.33</u>		<u>\$327.91</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$32,279.79</u>	<u>\$443.18</u>	<u>\$78.85</u>	<u>\$364.33</u>		<u>\$327.91</u>

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
11/12/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	7.5	0.09
	ACCOUNT #: 05066338392	BUY 1 Units @39.8200						
* ALAN FISHMAN SUBTOTAL *			<u>\$25.00</u>	<u>\$1.25</u>	<u>\$0.00</u>	<u>\$1.25</u>		<u>\$0.09</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$25.00</u>	<u>\$1.25</u>	<u>\$0.00</u>	<u>\$1.25</u>		<u>\$0.09</u>

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$37.77
Split Transactions	\$327.91
Override Transactions	\$0.09
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$365.77
Year-to-date Earnings	\$60,320.32