All Companies

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## Signal SECURITIES Our significance is you.

## **COMMISSION STATEMENT**

January 02, 2019

BROKER #: 9114

BRANCH: HOME OFFICE

PAUL M. THOMPSON

15455 Dallas Parkway, Ste.600

Addison, TX 75001

## COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
12/14/2018 00063808760 DAVENPORT, CURTI	S AMERICAN FUNDS AMCAP FD	В	220.00	3.52	0.00	3.52	90.0	3.17
12/14/2018 00063808760 DAVENPORT, CURTI		В	66.00	1.06	0.00	1.06	90.0	0.95
12/14/2018 00068487409 CARLSON, BRENT I	. AMERICAN FUNDS AMCAP FD	В	115.00	1.84	0.00	1.84	90.0	1.66
12/14/2018 00068487409 CARLSON, BRENT I		В	115.00	1.84	0.00	1.84	90.0	1.66
12/20/2018 00068650190 LEIGH A PEACOCK,	P THE INVESTMENT COMPANY OF AMERI	ICA-5 B	50.00	2.13	0.00	2.13	90.0	1.92
12/18/2018 00084075735 VILLINES,	AMERICAN BALANCED FUND-529A	В	50.00	2.50	0.00	2.50	90.0	2.25
KARA/ANNA								
12/17/2018 00085020327 PROHASKA, DYLAN	AMERICAN BALANCED FUND-529C	В	250.00	2.50	0.00	2.50	90.0	2.25
12/14/2018 00087104938 RODRIGUEZ, OSCAF	BALANCED PORTFOLIO-A	В	136.50	2.18	0.00	2.18	90.0	1.96
12/14/2018 00087104938 RODRIGUEZ, OSCAF	BALANCED PORTFOLIO-A	В	81.90	1.31	0.00	1.31	90.0	1.18
12/14/2018 00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	В	90.41	1.45	0.00	1.45	90.0	1.31
12/14/2018 00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	В	90.41	1.45	0.00	1.45	90.0	1.31
12/14/2018 00088535675 CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP	OF A B	106.25	1.70	0.00	1.70	90.0	1.53
D.								
12/14/2018 00088535675 CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP	OF A B	106.25	1.70	0.00	1.70	90.0	1.53
D.								
12/14/2018 00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE	E-A B	68.75	1.10	0.00	1.10	90.0	0.99
12/14/2018 00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE	E-A B	68.75	1.10	0.00	1.10	90.0	0.99
12/18/2018 04000814642 HEGWOOD, JAMIE F	. AMERICAN FUNDS 2055 TARGET DATE	E-A B	131.43	6.57	0.00	6.57	90.0	5.91
12/18/2018 04000814642 HEGWOOD, JAMIE F	. AMERICAN FUNDS 2055 TARGET DATE	E-A B	131.43	6.57	0.00	6.57	90.0	5.91
12/03/2018 3009211158 RICHARDSON, BRUCE	OPPENHEIMER EQUITY INCOME FD	CL A B	250.00	11.88	0.00	11.88	90.0	10.69
	* MUTUAL FUNDS SUBTO	TAL *	\$2,128.08	\$52.40	\$0.00	\$52.40		\$47.17
PRODUCT CATEGORY: STOCKS								
12/28/2018 SECURITIES, HILLTOP	-HILLTOP SECURITIES	В	251.86	251.86	0.00	251.86	90.0	226.67
	* STOCKS SUBTO	TAL *	\$251.86	\$251.86	\$0.00	\$251.86		\$226.67
PRODUCT CATEGORY: VARIABLE ANNUITI	ES							
12/07/2018 BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	В	1,344.83	26.89	0.00	26.89	90.0	24.20
12/10/2018 BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	В	2,000.00	60.00	0.00	60.00	90.0	54.00
	* VARIABLE ANNUITIES SUBTO	TAL *	\$3,344.83	\$86.89	\$0.00	\$86.89		\$78.20

COMMISSION STATEMENT for PAUL M. THOMPSON

### DROKER TRANSACTIONS    PRODUCT CATEGORY: RIA				INVESTMENT	GROSS	CLEARING	NET		BROKER
PRODUCT CATEGORY: RIA	TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: RIA									
12/18/2018 MCCRACKEN, MATHLEEN D SIGNAL SECURITIES RIA B 0.00 161.92 0.00 161.92 90.0 12/18/2018 RETITEMBULIER, RIDOLF W SIGNAL SECURITIES RIA B 0.00 1343.00 0.60 1,333.00 90.0 12/18/2018 RETITEMBULIER, RIDOLF W SIGNAL SECURITIES RIA B 0.00 344.00 0.60 349.00 90.0 10/11/2018 3193008 MCCAMANS, JOHN W SIGNAL SECURITIES RIA B 0.00 344.00 0.00 39.66 90.0 10/11/2018 3193008 MCCAMANS, JOHN W SIGNAL SECURITIES RIA B 0.00 39.66 0.00 39.66 90.0 10/11/2018 4607090 MARLEY, MORY SIGNAL SECURITIES RIA B 0.00 39.66 0.00 39.66 90.0 10/11/2018 3190075 TATE FAIR FUR. INC. SIGNAL SECURITIES RIA B 0.00 102.66 0.00 102.66 90.0 12/18/2018 2010175 STATE FAIR FUR. INC. SIGNAL SECURITIES RIA B 0.00 169.68 0.00 169.68 90.0 10/11/2018 2010175 STATE FAIR FUR. INC. SIGNAL SECURITIES RIA B 0.00 169.68 0.00 169.68 90.0 10/11/2018 2010175 STATE FAIR FUR. SIGNAL SECURITIES RIA B 0.00 169.68 0.00 169.68 90.0 10/11/2018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 12.66 0.00 0.00 66.00 90.0 10/11/2018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 12.66 0.00 10.00 69.00 90.0 10/11/2018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 12.66 0.00 10.00 69.00 90.0 10/11/2018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 315.00 0.00 92.14 90.0 10/11/2018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 355.00 0.00 992.00 90.0 10/11/2018 214018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 355.00 0.00 995.00 90.0 90.0 10/11/2018 214018 2141902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 355.00 90.0 90.0 90.0 90.0 90.0 90.0 90.0		BR	OKER TRANS	ACTIONS					
12/13/2018 BUTTEMOUTLER, RIDOLF W SIGNAL SECURITIES RIA B 0.00 1,333.00 0.00 1,333.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	PRODUCT CATEGORY: RIA								
10/11/2018 1933508 MCADAMES, JOHN M SIGNAL SECURITES RIA B 0.00 399.00 0.00 439.00 0.0 10/11/2018 1	12/18/2018 MCCRACKEN, KATHLEEN D	SIGNAL SECURITIES RIA	В	0.00	161.92	0.00	161.92	90.0	145.73
10/11/2018 14058526 CANTER, ELAITE M	12/18/2018 BEUTTENMULLER, RUDOLF W	SIGNAL SECURITIES RIA	В	0.00	1,333.00	0.00	1,333.00	90.0	1,199.70
10/11/2018 14459187 BROWN, PEGGY   SIGNAL SECURITIES RIA   B   0.00   39.66   0.00   39.66   90.0   10/11/2018 146590700 MANLEY, RORY   SIGNAL SECURITIES RIA   B   0.00   102.66   0.00   102.66   90.0   10/11/2018 17365377 LEPFONGE, JENNIFER L SIGNAL SECURITIES RIA   B   0.00   169.68   0.00   169.68   90.0   10/11/2018 19010175 STATE PAIR FUB. INC. SIGNAL SECURITIES RIA   B   0.00   66.00   0.00   66.00   90.0   10/11/2018 2001253 CLARGE, FRANCES B   SIGNAL SECURITIES RIA   B   0.00   66.00   0.00   66.00   90.0   10/11/2018 21575254 DOING RIA REPORT RIA RIA   B   0.00   1.264.00   0.00   1.264.00   90.0   10/11/2018 21575254 DOING RIA	10/11/2018 11933608 MCADAMS, JOHN W	SIGNAL SECURITIES RIA	В	0.00	349.00	0.00	349.00	90.0	314.10
10/11/2018 14607399 MANLEY, RORY   STORIAL SECURITIES RIA   B   0.00   130.66   0.00   190.66   90.0   10/11/2018 17365377 LEPFORGE, JENNIFER I. SIGNAL SECURITIES RIA   B   0.00   102.66   0.00   102.66   90.0   10/11/2018 20011253 GLARCY, FRANCES   SIGNAL SECURITIES RIA   B   0.00   166.00   0.00   66.00   90.0   10/11/2018 2011253 GLARCY, FRANCES   SIGNAL SECURITIES RIA   B   0.00   922.34   0.00   922.34   90.0   10/11/2018 21575254 DONNS LIV TRUST, SIGNAL SECURITIES RIA   B   0.00   922.34   0.00   922.34   90.0   10/11/2018 21575254 DONNS LIV TRUST, SIGNAL SECURITIES RIA   B   0.00   315.00   0.00   315.00   90.0   1.264.00   90.0   90.0   1.264.00   90.0   90.0   1.264.00   90.0   90.0   1.264.00   90.0   90.0   1.264.00   90.	10/11/2018 12055626 GANTER, ELAINE M	SIGNAL SECURITIES RIA	В	0.00	916.66	0.00	916.66	90.0	824.99
10/11/2018 19369377 LEFFORGE, JENNIFER L STORAL SECURITIES RIA B 0.00 102.66 0.00 102.66 90.0 12/18/2018 1901075 STATE FAIR FUB. INC. SIGNAL SECURITIES RIA B 0.00 169.68 0.00 169.68 90.0 10/11/2018 20011253 GLANCY, FRANCES M SIGNAL SECURITIES RIA B 0.00 66.00 0.00 66.00 90.0 10/11/2018 2011253 GLANCY, FRANCES M SIGNAL SECURITIES RIA B 0.00 922.34 0.00 922.34 90.0 10/11/2018 21441902 SANTOG, RAYMOND SIGNAL SECURITIES RIA B 0.00 12,640.00 0.00 1,264.00 90.0 11/11/2018 21575545 DONNE, RAYMOND SIGNAL SECURITIES RIA B 0.00 315.00 0.00 1,264.00 90.0 10/11/2018 23575885 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 23671533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 2660052 GRAY, ROCKPOED/SANTY SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 28060052 GRAY, ROCKPOED/SANTY SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 2806052 GRAY, ROCKPOED/SANTY SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 28073972 BONERS, CINIBAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 280739972 BONERS, CINIBAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 3089831 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 3089831 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 951.06 0.00 951.00 90.0 10/11/2018 31260927 FARMENS RR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 951.06 0.00 951.00 90.0 10/11/2018 31260927 FARMENS RR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 951.00 0.00 951.00 90.0 10/11/2018 34325954 BARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 951.00 0.00 951.00 90.0 10/11/2018 34325954 BARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 951.00 0.00 251.66 90.0 10/11/2018 3463352 GLARSY, WILLIAM F. SIGNAL SECURITIES RIA B 0.00 951.00 0.00 251.00 90.0 10/11/2018 34339981 BRANY, GEORGE SIGNAL SECURITIES RIA B 0.00 951.00 0.00 251.00 90.0 10/11/2018 3463352 GLARSY, WILLIAM F. SIGNAL SECURITIE	10/11/2018 14459187 BROWN, PEGGY	SIGNAL SECURITIES RIA	В	0.00	80.34	0.00	80.34	90.0	72.31
12/18/2018 19010175 STATE FAIR FUB. INC. SIGNAL SECURITIES RIA B 0.00 169.68 0.00 199.68 90.0 10/11/2018 2011253 GLARCY, FRANCES M SIGNAL SECURITIES RIA B 0.00 66.00 0.00 66.00 90.0 10/11/2018 21575254 DORNS LIV TRUST, SIGNAL SECURITIES RIA B 0.00 12.64.00 0.00 1.264.00 90.0 10/11/2018 22575254 DORNS LIV TRUST, SIGNAL SECURITIES RIA B 0.00 1.264.00 0.00 1.264.00 90.0 10/11/2018 22575254 DORNS LIV TRUST, SIGNAL SECURITIES RIA B 0.00 315.00 0.00 315.00 90.0 10/11/2018 22601533 HUFERNAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 22601533 HUFERNAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 22605053 GRAY, ROCKFORM/SALIN SIGNAL SECURITIES RIA B 0.00 996.00 0.00 996.00 90.0 10/11/2018 22160533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 996.00 996.00 10/11/2018 22160533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 998.00 90.0 10/11/2018 22160533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 998.00 90.0 10/11/2018 23160533 LIKENS, SIGNAL SECURITIES RIA B 0.00 476.34 0.00 998.00 90.0 10/11/2018 23160533 LIKENS, SIGNAL SECURITIES RIA B 0.00 998.00 90.0 988.00 90.0 10/11/2018 23160533 LIKENS, CINBAL SECURITIES RIA B 0.00 998.00 90.0 988.00 90.0 10/11/2018 23160533 LIKENS, CINBAL SECURITIES RIA B 0.00 998.00 90.0 988.00 90.0 10/11/2018 23160533 LIKENS, SIGNAL SECURITIES RIA B 0.00 989.34 0.00 989.34 90.0 10/11/2018 23160533 LIKENS, SIGNAL SECURITIES RIA B 0.00 980.0 980.0 980.0 980.0 90.0 980.0 90.0 10/11/2018 23160527 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 980.0 980.0 980.0 980.0 90.0 980.0 90.0 9	10/11/2018 14607090 MANLEY, RORY	SIGNAL SECURITIES RIA	В	0.00	39.66	0.00	39.66	90.0	35.69
10/11/2018 20011253 GLANCY, FRANCES M SIGNAL SECURITIES RIA B 0.00 66.00 0.00 66.00 90.0 10/11/2018 21575284 DONNE ELV TRUST, SIGNAL SECURITIES RIA B 0.00 922.34 0.00 922.34 90.0 10/11/2018 21575284 DONNE ELV TRUST, SIGNAL SECURITIES RIA B 0.00 1.264.00 1.264.00 90.0 10/11/2018 21575284 DONNE ELV TRUST, SIGNAL SECURITIES RIA B 0.00 315.00 0.00 315.00 90.0 10/11/2018 23575885 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 2361033 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 2619203 AHRING, DOROTHY J SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 2619203 AHRING, DOROTHY J SIGNAL SECURITIES RIA B 0.00 956.00 0.00 996.00 0.00 996.00 90.0 10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 10/11/2018 28106531 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 10/11/2018 29733972 BOWERS, CINIDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 29733972 BOWERS, CINIDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30886518 JAVNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 98.00 90.0 10/11/2018 31207427 COOSNELL, RIKE SIGNAL SECURITIES RIA B 0.00 98.00 90.0 10/11/2018 31207427 COOSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 98.00 90.0 10/11/2018 31235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34663392 CALANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 32047427 COOSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 27.06 0.00 27.06 90.0 10/11/2018 32047427 COOSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 27.06 0.00 27.06 90.0 10/11/2018 32047427 COOSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 27.06 0.00 27.06 90.0 10/11/2018 32047427 COOSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34663392 CALANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 10/11/2018 3	10/11/2018 17365377 LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	В	0.00	102.66	0.00	102.66	90.0	92.39
10/11/2018 21441902 SANTOS, RAYMOND SIGNAL SECURITIES RIA B 0.00 922.34 0.00 922.34 90.0 10/11/2018 21575254 DOWNS LIV TRUST, SIGNAL SECURITIES RIA B 0.00 1,264.00 0.00 1.264.00 90.0 10/11/2018 23275885 SUDFRAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 315.00 0.00 315.00 90.0 10/11/2018 23205835 SUDFRAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 23605052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 476.34 90.0 12/14/2018 23616633 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 90.0 12/14/2018 2361633 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 90.0 12/14/2018 23733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 476.34 90.0 12/14/2018 23733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30856518 JANNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 951.66 0.00 251.66 90.0 10/11/2018 30856518 JANNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 380.0 0.00 92.00 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 320547427 COSSMELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34255945 HARSHAM, JOHN SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 0.00 27.00 90.0 10/11/2018 34255945 HARSHAM, JOHN SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 3425945 HARSHAM, JOHN SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 0.00 27.00 90.0 10/11/2018 37978504 DONNE, CRAID AS CECURITIES RIA B 0.00 27.00 0.00 27.00 0.00 27.00 90.0 10/11/2018 37978504 DONNE, CRAID AS CECURITIES RIA B 0.00 27.00 0.00 27.00 0.00 27.00 90.0 10/11/2018 34255945 HARSHAM, JOHN SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 0.00 27.00 90.0 10/11/2018	12/18/2018 19010175 STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	В	0.00	169.68	0.00	169.68	90.0	152.71
10/11/2018 21575254 DOWNS LIV TRUST, SIGNAL SECURITIES RIA B 0.00 1,264.00 0.00 1,264.00 90.0 1  NILLIAN/CARGALYN  10/11/2018 23575855 HIPFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 315.00 0.00 315.00 90.0 1  10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 1  10/11/2018 2601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 571.00 0.00 577.00 90.0 1  10/11/2018 2806052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 1  10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 1  10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 7-32.75 0.00 7-32.75 90.0 1  10/11/2018 2806052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 484.66 0.00 484.66 90.0 1  10/11/2018 2806053 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 1  10/11/2018 2806053 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 1  10/11/2018 30889913 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 1  10/11/2018 30889913 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 1  10/11/2018 3160927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 1  10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 0.00 98.00 90.0 1  10/11/2018 32047427 COGSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 1  10/11/2018 32467427 COGSNELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 1  10/11/2018 31435945 HARRHAN, JOHN SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 1  10/11/2018 3596442 DAUM (SEFARATE FROP), SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 1  10/11/2018 3596442 DAUM (SEFARATE FROP), SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 1  10/11/2018 3596442 DAUM (SEFARATE FROP), SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 1  10/11/2018 3596442 DAUM (SEFARATE FROP), SIGNAL SECURITIES RIA B 0.00 38.4 0.00 38.4 0.00 92.34 90.0 1  10/11/2018 35964941 DAUNS, ALEXANDRA M SIGNAL	10/11/2018 20011253 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	В	0.00	66.00	0.00	66.00	90.0	59.40
### WILLIAM/CAROLYN  10/11/2018 23275885 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 315.00 0.00 315.00 90.0 10/11/2018 23275885 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 26419203 AHRING, DOROTHY J SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 28060052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 996.00 0.00 996.00 90.0 10/11/2018 28016533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 0.00 476.34 90.0 12/14/2018 28016531 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 28416413 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 486.66 0.00 488.66 90.0 12/14/2018 28416413 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 488.66 0.00 488.66 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 251.66 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 95.60 0.00 251.66 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 96.00 0.00 98.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 96.00 0.00 98.00 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 19.34 0.00 127.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 35962442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 35962941 DONNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 35962941 DONNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 3777260 DENORE, CRAIG A SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURI	10/11/2018 21441902 SANTOS, RAYMOND	SIGNAL SECURITIES RIA	В	0.00	922.34	0.00	922.34	90.0	830.11
10/11/2018 23275885 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 315.00 0.00 315.00 90.0 10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 0.00 577.00 90.0 10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 28060052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 996.00 0.00 996.00 90.0 10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 0.00 476.34 90.0 12/14/2018 29106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 29106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 29106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 12/14/2018 29106531 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 12/14/2018 30889813 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 12/14/2018 30889813 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 251.66 0.00 92.00 90.0 12/14/2018 30289675 PARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 92.00 90.0 92.00 90.0 12/14/2018 31260977 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 92.00 90.0 92.00 90.0 12/14/2018 31260977 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 12/14/2018 32647427 COGSMELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 12/14/2018 32647427 COGSMELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 12/14/2018 32647427 COGSMELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 38.34 0.00 12/14/2018 326945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 12/14/2018 35796442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 38.34 0.00 22/10 90.0 12/14/2018 37773604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 22/10 0.00 22/10 90.0 12/14/2018 37773604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 22/10 90.0 90.0 12/14/2018 37773604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 39.34 0.00 92.34 90.0 12/14/2018 37773604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 39.34 0.00 92.34 90.0 12/14/2018 39418381 BLAIR, JANELDA D.	10/11/2018 21575254 DOWNS LIV TRUST,	SIGNAL SECURITIES RIA	В	0.00	1,264.00	0.00	1,264.00	90.0	1,137.60
10/11/2018 23601533 HUFFMAN, NANCY R. SIGNAL SECURITIES RIA B 0.00 577.00 0.00 577.00 90.0 10/11/2018 26419203 AHRING, DOROTHY J SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 0.00 951.66 90.0 10/11/2018 281060525 GRAY, ROCKEDPOS/SALLY SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 28416413 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 28416413 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30856518 JANNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30856518 JANNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 92.06 0.00 251.66 90.0 10/11/2018 30856570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 92.00 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 34235944 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 34939841 KENNY, STEPHEN 0 SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 3596424 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 3596424 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 80.34 0.00 227.00 90.0 10/11/2018 3596421 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 80.34 0.00 92.34 90.0 10/11/2018 3596421 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 80.34 0.00 92.34 90.0 10/	WILLIAM/CAROLYN								
10/11/2018 26419203 AHRING, DOROTHY J SIGNAL SECURITIES RIA B 0.00 951.66 0.00 951.66 90.0 10/11/2018 28060052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 281616333 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 2816413 BOMDON FAMILY SIGNAL SECURITIES RIA B 0.00 -32.75 0.00 -32.75 90.0 -32.75 90.0 12/14/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30856518 JANNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 251.66 0.00 89.34 90.0 10/11/2018 30856518 JANNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 92.00 90.0 127.66 90.0 10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 12.766 90.0 12.7	10/11/2018 23275885 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	В	0.00	315.00	0.00	315.00	90.0	283.50
10/11/2018 28060052 GRAY, ROCKFORD/SALLY SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0 12/14/2018 28416613 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 732.75 90.0 732.75 90.0 700DATION  10/11/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30885651B JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30889813 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30898570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 30988570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 98.00 0.00 98.00 90.0 10/11/2018 3260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 324325945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 177.66 0.00 177.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 177.66 0.00 177.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 177.66 0.00 177.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 270.0 0.00 270.0 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 270.0 0.00 270.0 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 270.0 0.00 270.0 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 270.0 0.00 270.0 90.0 10/11/2018 35962941 DONNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 35962941 DONNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 36903585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 380.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 180.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 123.4 0.00 92.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 134.34 0.0	10/11/2018 23601533 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	В	0.00	577.00	0.00	577.00	90.0	519.30
10/11/2018 28106533 LIKENS, LESLIE E SIGNAL SECURITIES RIA B 0.00 476.34 0.00 476.34 90.0   12/14/2018 28416413 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 -32.75 0.00 -32.75 90.0   FOUNDATION	10/11/2018 26419203 AHRING, DOROTHY J	SIGNAL SECURITIES RIA	В	0.00	951.66	0.00	951.66	90.0	856.49
12/14/2018 28416413 BOWDON FAMILY SIGNAL SECURITIES RIA B 0.00 -32.75 0.00 -32.75 90.0 FOUNDATION  10/11/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 0.00 98.00 90.0 10/11/2018 32407427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 3463352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34563352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38948381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38948381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 0.00 92.34 90.0 10/11/2018 439772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 439772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43977476 JERNIGANN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43977478 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 4397748 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 4397748 ZIELINSKI, THOMAS L SIGNAL	10/11/2018 28060052 GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	В	0.00	996.00	0.00	996.00	90.0	896.40
FOUNDATION  10/11/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 30859518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 30260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 0.00 98.00 90.0 10/11/2018 30247427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 3463352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 10/11/2018 3463352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 10/11/2018 34596442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 28.00 53.66 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURI	10/11/2018 28106533 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	В	0.00	476.34	0.00	476.34	90.0	428.71
10/11/2018 29733972 BOWERS, CINDA I SIGNAL SECURITIES RIA B 0.00 948.66 0.00 948.66 90.0 10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 308586913 DALLAS CHRISTIAN CO SIGNAL SECURITES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITES RIA B 0.00 98.00 0.00 98.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITES RIA B 0.00 53.66 0.00 53.66 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITES RIA B 0.00 80.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITES RIA B 0.00 80.34 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORG & LISA SIGNAL SECURITES RIA B 0.00 80.34 0.00 92.34 90.0 10/11/2018 3941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 43941831 BLAIR, JANELDA D. SIGNAL SECURITES RIA B 0.00 671.66 0.00 671.66 90.0	12/14/2018 28416413 BOWDON FAMILY	SIGNAL SECURITIES RIA	В	0.00	-32.75	0.00	-32.75	90.0	-29.48
10/11/2018 30856518 JAYNE, DAVID JOE SIGNAL SECURITIES RIA B 0.00 89.34 0.00 89.34 90.0 10/11/2018 308589813 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 90.0 98.00 90.0 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 34633525 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 34939841 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38903585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 38913818 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 3941881 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 431971248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0.00 291.00 0	FOUNDATION								
10/11/2018 30889813 DALLAS CHRISTIAN CO SIGNAL SECURITIES RIA B 0.00 251.66 0.00 251.66 90.0 10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 0.00 98.00 90.0 10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 10/11/2018 3463352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 27.00 0.00 27.00 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 80.34 0.00 291.00 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 41000566 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 41000566 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 41000566 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 41000566 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 90.0 671.66 90.0	10/11/2018 29733972 BOWERS, CINDA I	SIGNAL SECURITIES RIA	В	0.00	948.66	0.00	948.66	90.0	853.79
10/11/2018 30958570 DONNELL, MIKE SIGNAL SECURITIES RIA B 0.00 92.00 0.00 92.00 90.0 10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 98.00 0.00 98.00 90.0 EDU. FUND  10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 FREDERICK  10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 92.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 30856518 JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	В	0.00	89.34	0.00	89.34	90.0	80.41
10/11/2018 31260927 FARMERS BR. CHAMBER, SIGNAL SECURITIES RIA B 0.00 98.00 0.00 98.00 90.0 EDU. FUND  10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 ERECTIVE STA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 389418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 30889813 DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	В	0.00	251.66	0.00	251.66	90.0	226.49
EDU. FUND  10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 90.0 127.66 90.0 FREDERICK  10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 JERRY F  10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 30958570 DONNELL, MIKE	SIGNAL SECURITIES RIA	В	0.00	92.00	0.00	92.00	90.0	82.80
10/11/2018 32047427 COGSWELL, ROBERT J SIGNAL SECURITIES RIA B 0.00 19.34 0.00 19.34 90.0 10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 FREDERICK  10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 JERRY F  10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 31260927 FARMERS BR. CHAMBER,	SIGNAL SECURITIES RIA	В	0.00	98.00	0.00	98.00	90.0	88.20
10/11/2018 34235945 HARSHAW, JOHN SIGNAL SECURITIES RIA B 0.00 127.66 0.00 127.66 90.0 FREDERICK  10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 JERRY F  10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	EDU. FUND								
FREDERICK  10/11/2018 34663352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 JERRY F  10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 80.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 92.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 32047427 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	В	0.00	19.34	0.00	19.34	90.0	17.41
10/11/2018 3463352 GLANCY, WILLIAM P. SIGNAL SECURITIES RIA B 0.00 38.34 0.00 38.34 90.0 10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 53.66 90.0 JERRY F 10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 34235945 HARSHAW, JOHN	SIGNAL SECURITIES RIA	В	0.00	127.66	0.00	127.66	90.0	114.89
10/11/2018 34739881 KENNY, STEPHEN O SIGNAL SECURITIES RIA B 0.00 227.00 0.00 227.00 90.0 10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA B 0.00 53.66 0.00 53.66 90.0 JERRY F  10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	FREDERICK								
10/11/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA  B  0.00  53.66  0.00  53.66  90.0  10/11/2018 35962941 DOWNS, ALEXANDRA M  SIGNAL SECURITIES RIA  B  0.00  180.34  0.00  180.34  0.00  180.34  90.0  10/11/2018 37772604 DRONE, CRAIG A  SIGNAL SECURITIES RIA  B  0.00  291.00  0.00  291.00  0.00  80.34  0.00  80.34  90.0  10/11/2018 39418381 BLAIR, JANELDA D.  SIGNAL SECURITIES RIA  B  0.00  92.34  0.00  92.34  0.00  92.34  90.0  10/11/2018 41000586 ZIELINSKI, THOMAS L  SIGNAL SECURITIES RIA  B  0.00  134.34  0.00  134.34  0.00  134.34  0.00  10/11/2018 42907147 JERNIGAN, KYLE  SIGNAL SECURITIES RIA  B  0.00  671.66  0.00  671.66  90.0	10/11/2018 34663352 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	В	0.00	38.34	0.00	38.34	90.0	34.51
JERRY F  10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0  10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0  10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0  10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0  10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0  10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0  10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 34739881 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	В	0.00	227.00	0.00	227.00	90.0	204.30
10/11/2018 35962941 DOWNS, ALEXANDRA M SIGNAL SECURITIES RIA B 0.00 180.34 0.00 180.34 90.0 10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 35296442 DAUM (SEPARATE PROP)	, SIGNAL SECURITIES RIA	В	0.00	53.66	0.00	53.66	90.0	48.29
10/11/2018 37772604 DRONE, CRAIG A SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	JERRY F								
10/11/2018 38803585 NEARY, GEORGE & LISA SIGNAL SECURITIES RIA B 0.00 80.34 0.00 80.34 90.0 10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 35962941 DOWNS, ALEXANDRA M	SIGNAL SECURITIES RIA	В	0.00	180.34	0.00	180.34	90.0	162.31
10/11/2018 39418381 BLAIR, JANELDA D. SIGNAL SECURITIES RIA B 0.00 92.34 0.00 92.34 90.0 10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 37772604 DRONE, CRAIG A	SIGNAL SECURITIES RIA	В	0.00	291.00	0.00	291.00	90.0	261.90
10/11/2018 41000586 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 134.34 0.00 134.34 90.0 10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 38803585 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	В	0.00	80.34	0.00	80.34	90.0	72.31
10/11/2018 42907147 JERNIGAN, KYLE SIGNAL SECURITIES RIA B 0.00 291.00 0.00 291.00 90.0 10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 39418381 BLAIR, JANELDA D.	SIGNAL SECURITIES RIA	В	0.00	92.34	0.00	92.34	90.0	83.11
10/11/2018 43177248 ZIELINSKI, THOMAS L SIGNAL SECURITIES RIA B 0.00 671.66 0.00 671.66 90.0	10/11/2018 41000586 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	В	0.00	134.34	0.00	134.34	90.0	120.91
	10/11/2018 42907147 JERNIGAN, KYLE	SIGNAL SECURITIES RIA	В	0.00	291.00	0.00	291.00	90.0	261.90
10/11/2018 44412032 GETZ PENEE E SIGNAL SECURITETES PIA B 0.00 207.34 0.00 207.24 00.0	10/11/2018 43177248 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	В	0.00	671.66	0.00	671.66	90.0	604.49
10/11/2010 11112032 GE12, KENEE E GIGNAL GECOKITED KIA D 0.00 20/.34 0.00 20/.34 90.0	10/11/2018 44412032 GETZ, RENEE E	SIGNAL SECURITIES RIA	В	0.00	207.34	0.00	207.34	90.0	186.61

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COMMISSION STATEMENT for PAUL M. THOMPSON

				INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		<u> </u>	BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: RIA								
10/11/2018 4	4902184 BLAIR, JANELDA D.	SIGNAL SECURITIES RIA	В	0.00	30.66	0.00	30.66	90.0	27.59
10/11/2018 4	7335624 HANSEN, CHRIS A	SIGNAL SECURITIES RIA	В	0.00	255.00	0.00	255.00	90.0	229.50
10/11/2018 5	0550606 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	В	0.00	310.66	0.00	310.66	90.0	279.59
10/11/2018 5	1058096 PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	В	0.00	319.34	0.00	319.34	90.0	287.41
10/11/2018 5	1666847 WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	В	0.00	877.66	0.00	877.66	90.0	789.89
10/11/2018 5	2129314 WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	В	0.00	470.34	0.00	470.34	90.0	423.31
10/11/2018 5	2178933 MARTINIE, GARY &	SIGNAL SECURITIES RIA	В	0.00	37.00	0.00	37.00	90.0	33.30
K.	ATHRYN								
10/11/2018 5	3917162 MCCASH, GARY &	SIGNAL SECURITIES RIA	В	0.00	80.66	0.00	80.66	90.0	72.59
S	UZETTE								
10/11/2018 5	4113355 DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	В	0.00	661.66	0.00	661.66	90.0	595.49
10/11/2018 5	4825568 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	В	0.00	266.00	0.00	266.00	90.0	239.40
10/11/2018 5	5524343 MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	В	0.00	522.34	0.00	522.34	90.0	470.11
10/11/2018 5	6699985 LIKENS, KEVIN A	SIGNAL SECURITIES RIA	В	0.00	403.34	0.00	403.34	90.0	363.01
10/11/2018 5	7714569 DAVENPORT,	SIGNAL SECURITIES RIA	В	0.00	1,010.66	0.00	1,010.66	90.0	909.59
C	URTIS/SUSAN								
10/11/2018 5	7788308 YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	В	0.00	621.66	0.00	621.66	90.0	559.49
10/11/2018 5	8441030 HARSHAW, JOHN	SIGNAL SECURITIES RIA	В	0.00	13.34	0.00	13.34	90.0	12.01
10/11/2018 5	9251730 GANTER, ELAINE M	SIGNAL SECURITIES RIA	В	0.00	9.34	0.00	9.34	90.0	8.41
10/11/2018 6	0681399 HERING, KAREN	SIGNAL SECURITIES RIA	В	0.00	594.66	0.00	594.66	90.0	535.19
10/11/2018 6	1024092 HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	В	0.00	706.00	0.00	706.00	90.0	635.40
10/11/2018 6	3201352 MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	В	0.00	75.66	0.00	75.66	90.0	68.09
10/11/2018 6	8409444 TURNER, LYNN D	SIGNAL SECURITIES RIA	В	0.00	229.34	0.00	229.34	90.0	206.41
10/11/2018 6	8570849 BLAIR, BRENDA	SIGNAL SECURITIES RIA	В	0.00	110.00	0.00	110.00	90.0	99.00
10/11/2018 7	0913435 BOWDON REV TRUST	SIGNAL SECURITIES RIA	В	0.00	520.00	0.00	520.00	90.0	468.00
10/11/2018 7	1239113 TURNER, J DWIGHT	SIGNAL SECURITIES RIA	В	0.00	157.66	0.00	157.66	90.0	141.89
10/11/2018 7	1695806 CHRISTIE, NANCI M	SIGNAL SECURITIES RIA	В	0.00	87.00	0.00	87.00	90.0	78.30
10/11/2018 7	2010620 MCADAMS, ROSEANNE L	SIGNAL SECURITIES RIA	В	0.00	261.34	0.00	261.34	90.0	235.21
10/11/2018 7	2285366 JOHNSON, ERIC W	SIGNAL SECURITIES RIA	В	0.00	177.66	0.00	177.66	90.0	159.89
10/11/2018 7	3298229 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	В	0.00	233.66	0.00	233.66	90.0	210.29
10/11/2018 7	3451449 RALPH, MARK ALAN	SIGNAL SECURITIES RIA	В	0.00	539.66	0.00	539.66	90.0	485.69
10/11/2018 7	4186821 GANTER, ELAINE M	SIGNAL SECURITIES RIA	В	0.00	7.66	0.00	7.66	90.0	6.89
	5602403 MANLEY, ROSS /	SIGNAL SECURITIES RIA	В	0.00	298.34	0.00	298.34		268.51
K	ERRYANN								
10/11/2018 7	6446151 MCCASH, GARY &	SIGNAL SECURITIES RIA	В	0.00	638.34	0.00	638.34	90.0	574.51
	UZETTE								
10/11/2018 8	0644541 TURNER, RITA	SIGNAL SECURITIES RIA	В	0.00	257.00	0.00	257.00	90.0	231.30
10/11/2018 8	0920481 PEIRSON,	SIGNAL SECURITIES RIA	В	0.00	1,519.34	0.00	1,519.34	90.0	1,367.41
W	ILLIAM/CHRIS								
	1013153 BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	В	0.00	241.34	0.00	241.34	90.0	217.21
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COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BF	OKER TRANS	ACTIONS					
PRODUCT CATEGORY: RIA	_							
10/11/2018 81290736 SICKLER, LEE/CHRIS	SIGNAL SECURITIES RIA	В	0.00	126.00	0.00	126.00	90.0	113.40
10/11/2018 81769883 GATLIN, EDWARD E	SIGNAL SECURITIES RIA	В	0.00	132.00	0.00	132.00	90.0	118.80
10/11/2018 81877335 WAMRE, RICK & SALLY	SIGNAL SECURITIES RIA	В	0.00	936.66	0.00	936.66	90.0	842.99
10/11/2018 83450493 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	В	0.00	366.34	0.00	366.34	90.0	329.71
10/11/2018 83813956 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	В	0.00	197.66	0.00	197.66	90.0	177.89
10/11/2018 83898089 MJG PARTNERS LP	SIGNAL SECURITIES RIA	В	0.00	4,560.66	0.00	4,560.66	90.0	4,104.59
10/11/2018 85186147 JONES, LAURA JILL	SIGNAL SECURITIES RIA	В	0.00	121.34	0.00	121.34	90.0	109.21
10/11/2018 85475534 DOWNS, WILLIAM M	SIGNAL SECURITIES RIA	В	0.00	163.66	0.00	163.66	90.0	147.29
10/11/2018 85534947 MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	В	0.00	658.34	0.00	658.34	90.0	592.51
	CTCMAI CECHDITTEC DIA	В	0.00	64.66	0.00	64.66	90.0	58.19
10/11/2018 87804016 LEWIS, JR, JACK F 10/11/2018 88575440 LOHMAN, REBECCA LYN	SIGNAL SECURITIES RIA N SIGNAL SECURITIES RIA	В	0.00	248.34	0.00	248.34	90.0	223.51
10/11/2018 90630517 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	В	0.00	67.00	0.00	67.00	90.0	60.30
10/11/2018 90030317 GLANCI, FRANCES M 10/11/2018 90750567 VEGA, ROY (RAUL)	SIGNAL SECURITIES RIA	В	0.00	3,522.34	0.00	3,522.34	90.0	3,170.11
10/11/2018 90906864 SAVAGE, JANICE	SIGNAL SECURITIES RIA	В	0.00	516.34	0.00	516.34	90.0	464.71
10/11/2018 91566568 BOWDON, KENNETH S	SIGNAL SECURITIES RIA	В	0.00	381.34	0.00	381.34	90.0	343.21
10/11/2018 91835763 KLEIN, RANDY & SHEI		В	0.00	363.34	0.00	363.34	90.0	327.01
10/11/2018 93139099 CHAPMAN, JOE & CARM		В	0.00	742.00	0.00	742.00	90.0	667.80
10/11/2018 93542283 BROWN, PEGGY	SIGNAL SECURITIES RIA	В	0.00	398.00	0.00	398.00	90.0	358.20
10/11/2010 93342203 BROWN, FEGGT 10/11/2018 96116390 HARSHAW, JOHN	SIGNAL SECURITIES RIA	В	0.00	453.34	0.00	453.34	90.0	408.01
FREDERICK	SIGNAL SECONTILES NIA	В	0.00	433.34	0.00	133.31	20.0	400.01
10/11/2018 98023364 MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	В	0.00	75.66	0.00	75.66	90.0	68.09
10/11/2018 98899816 STRICKLIN, DONNA A	SIGNAL SECURITIES RIA	В	0.00	388.00	0.00	388.00	90.0	349.20
10/11/2018 98935139 ROBERTS, ROBERT M	SIGNAL SECURITIES RIA	В	0.00	108.34	0.00	108.34	90.0	97.51
10/11/2018 99550650 KLEIN, RANDY & SHEI		В	0.00	53.00	0.00	53.00	90.0	47.70
10/11/2018 99600192 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	В	0.00	52.34	0.00	52.34		47.11
10,11,2010 35000172 GOGSH222, ROZERT C		SUBTOTAL *	\$0.00	\$39,823.55	\$0.00	\$39,823.55	50.0	\$35,841.21
PRODUCT CATEGORY: MUTUAL FUND 12B1					•			
01/02/2019 TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	24.53	0.00	24.53	90.0	22.08
01/02/2019 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	10.90	0.00	10.90	90.0	9.81
	* MUTUAL FUND 12B1 TRAILS	SUBTOTAL *	\$0.00	\$35.43	\$0.00	\$35.43		\$31.89
	*** BROKER TRANSACTION	IS TOTAL ***	\$5,724.77	\$40,250.13	\$0.00	\$40,250.13		\$36,225.14

## 12/28/2018 Page No. 5 COMMISSION STATEMENT for PAUL M. THOMPSON

		COMMISSION STATEM	ENT for	PAUL M. THOMPS	ON				
TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJ	USTME	NTS					
01/02/2019		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-22.78
01/02/2019		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL	. ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.28
						Ē	BROKER COMMI	SSION	TOTALS
						Broke	r Transactions		\$36,225.14
						Spli	t Transactions		\$0.00
						Override	e Transactions		\$0.00
							Adjustments		\$-50.28
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior 1	Period Balance		\$0.00
							Check Amount	<u> </u>	\$36,174.86
						Year-to	-date Earnings		\$36,174.86