



COMMISSION STATEMENT

October 01, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/27/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.1300						
09/27/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 9 Units @10.7100						
09/26/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	111.23	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012322	SELL 16 Units @6.9700						
* MUTUAL FUNDS SUBTOTAL *			<u>\$311.23</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
<u>PRODUCT CATEGORY: 529 PLAN</u>								
09/28/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901202	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<u>\$100.00</u>	<u>\$2.50</u>	<u>\$0.00</u>	<u>\$2.50</u>		<u>\$1.75</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$411.23</u>	<u>\$2.50</u>	<u>\$0.00</u>	<u>\$2.50</u>		<u>\$1.75</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$95.39
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$97.14
Year-to-date Earnings	\$27,113.84