

Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	3,111.12

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KATHERINE M. SMITH
1701 RIVER RUN ROAD STE 1116
FORT WORTH, TX
76107

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	3,281.12	3,281.12		3,281.12
3/28/2018	Credit	FL REG	-50.00	-50.00		-50.00
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

March 31, 2018

KATHERINE SMITH-DM31

BROKER #: DM31

BRANCH: DMW

, TX

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
03/27/2018 153846345	BOOTH, MARTHA	S KINDER MORGAN INC	B	7,772.45	180.00	15.00	165.00	90.0	148.50
03/23/2018 368293321	NORRIS, CURTIS	S GLAXOSMITHKLINE PLC ADS	B	19,046.95	290.00	15.00	275.00	90.0	247.50
03/27/2018 368293321	NORRIS, CURTIS	S KINDER MORGAN INC	B	15,466.45	275.00	15.00	260.00	90.0	234.00
02/28/2018 368347337	WHITSON, JONATHAN	S KINDER MORGAN INC	B	16,537.45	320.00	15.00	305.00	90.0	274.50
03/27/2018 368432169	EWBANK, GLORIA	S KINDER MORGAN INC	B	7,777.40	190.00	15.00	175.00	90.0	157.50
03/27/2018 368433014	FOSTER, DARREL	S KINDER MORGAN INC	B	7,781.95	190.00	15.00	175.00	90.0	157.50
03/27/2018 368443438	SPICHER, LINDA	S KINDER MORGAN INC	B	7,769.95	180.00	15.00	165.00	90.0	148.50
03/23/2018 368459537	SMITH, GENE	S GLAXOSMITHKLINE PLC ADS	B	19,041.95	290.00	15.00	275.00	90.0	247.50
03/27/2018 368459537	SMITH, GENE	S KINDER MORGAN INC	B	15,476.45	275.00	15.00	260.00	90.0	234.00
03/01/2018 462138880	LAFON, DONNA	S AT&T INC	B	1,871.20	60.00	15.00	45.00	90.0	40.50
03/06/2018 739157524	UPCHURCH, GWENDOLYN	S CD INTERNATIONAL ENTERPRISES	S	-2.45	0.00	-15.00	15.00	90.0	13.50
03/06/2018 739157524	UPCHURCH, GWENDOLYN	S CD INTERNATIONAL ENTERPRISES	S	2.45	0.00	15.00	-15.00	90.0	-13.50
* STOCKS SUBTOTAL *				\$118,542.20	\$2,250.00	\$150.00	\$2,100.00		\$1,890.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	2.20	0.00	2.20	90.0	1.98
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	2.22	0.00	2.22	90.0	2.00
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	3.69	0.00	3.69	90.0	3.32
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	4.47	0.00	4.47	90.0	4.02
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	9.60	0.00	9.60	90.0	8.64
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	9.63	0.00	9.63	90.0	8.67
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.72	0.00	13.72	90.0	12.35
03/21/2018 mfc932013	SMITH KATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	31.04	0.00	31.04	90.0	27.94
03/21/2018 mfc932013	SMITH KATHERINE	MFT CAPITAL INCOME BUILDER-A	B	0.00	33.67	0.00	33.67	90.0	30.30
03/21/2018 mfc932013	SMITH KATHERINE	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.12	0.00	0.12	90.0	0.11
03/21/2018 mfc932013	SMITH KATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.95	0.00	1.95	90.0	1.76
03/21/2018 mfc932013	SMITH KATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.81	0.00	4.81	90.0	4.33
03/21/2018 mfc932013	SMITH KATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	13.94	0.00	13.94	90.0	12.55
03/21/2018 mfc932013	SMITH KATHERINE	MFT COLUMBIA DISCIPLINED CORE	B	0.00	1.35	0.00	1.35	90.0	1.22
03/21/2018 mfc932013	SMITH KATHERINE	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.99	0.00	0.99	90.0	0.89
03/21/2018 mfc932013	SMITH KATHERINE	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	0.76	0.00	0.76	90.0	0.68

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/21/2018 mfc932013	SMITH KATHERINE	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.51	0.00	0.51	90.0	0.46
03/21/2018 mfc932013	SMITH KATHERINE	MFT COLUMBIA TOTAL RETURN BOND FD A	B	0.00	0.50	0.00	0.50	90.0	0.45
03/21/2018 mfc932013	SMITH KATHERINE	MFT DELAWARE AMERICAN GOVT BOND	B	0.00	0.53	0.00	0.53	90.0	0.48
03/21/2018 mfc932013	SMITH KATHERINE	MFT DELAWARE AMERICAN GOVT BOND	B	0.00	1.53	0.00	1.53	90.0	1.38
03/21/2018 mfc932013	SMITH KATHERINE	MFT DELAWARE HIGH YIELD	B	0.00	0.59	0.00	0.59	90.0	0.53
03/21/2018 mfc932013	SMITH KATHERINE	MFT DELAWARE HIGH YIELD	B	0.00	2.53	0.00	2.53	90.0	2.28
03/21/2018 mfc932013	SMITH KATHERINE	MFT DELAWARE HIGH YIELD	B	0.00	14.25	0.00	14.25	90.0	12.83
03/21/2018 mfc932013	SMITH KATHERINE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	15.55	0.00	15.55	90.0	14.00
03/21/2018 mfc932013	SMITH KATHERINE	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	5.11	0.00	5.11	90.0	4.60
03/21/2018 mfc932013	SMITH KATHERINE	MFT INTERMEDIATE BOND FUND OF	B	0.00	9.30	0.00	9.30	90.0	8.37
03/21/2018 mfc932013	SMITH KATHERINE	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	4.91	0.00	4.91	90.0	4.42
03/21/2018 mfc932013	SMITH KATHERINE	MFT MORGAN STANLEY INS MIDCAP	B	0.00	9.51	0.00	9.51	90.0	8.56
03/21/2018 mfc932013	SMITH KATHERINE	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.53	0.00	4.53	90.0	4.08
03/21/2018 mfc932013	SMITH KATHERINE	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.35	0.00	5.35	90.0	4.82
03/21/2018 mfc932013	SMITH KATHERINE	MFT OPPENHEIMER STEELPATH MLP	B	0.00	161.22	0.00	161.22	90.0	145.10
03/21/2018 mfc932013	SMITH KATHERINE	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	209.85	0.00	209.85	90.0	188.87
03/21/2018 mfc932013	SMITH KATHERINE	MFT PUTNAM TAX-FREE HIGH YIELD FUND	B	0.00	4.75	0.00	4.75	90.0	4.28
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29	90.0	0.26
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.50	0.00	0.50	90.0	0.45
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.80	0.00	1.80	90.0	1.62
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.20	0.00	2.20	90.0	1.98
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.22	0.00	2.22	90.0	2.00
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.00	0.00	3.00	90.0	2.70
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.46	0.00	4.46	90.0	4.01
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.27	0.00	12.27	90.0	11.04
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.66	0.00	12.66	90.0	11.39
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	21.24	0.00	21.24	90.0	19.12
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	28.25	0.00	28.25	90.0	25.43
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	16.96	0.00	16.96	90.0	15.26
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	17.18	0.00	17.18	90.0	15.46
03/21/2018 mfc932013	SMITH KATHERINE	MFT THE INVESTMENT COMPANY OF	B	0.00	4.99	0.00	4.99	90.0	4.49
03/21/2018 mfc932013	SMITH KATHERINE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	33.93	0.00	33.93	90.0	30.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$746.63	\$0.00	\$746.63		\$672.02
*** CLEARING TRANSACTIONS TOTAL ***				\$118,542.20	\$2,996.63	\$150.00	\$2,846.63		\$2,562.02

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/22/2018	00086716832	MYERS, RICHARD	MF	AMERICAN BALANCED FUND-A	B	6,500.00	178.75	0.00	178.75	90.0	160.88
03/22/2018	00089064328	LOGSDON, TRACI	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
03/22/2018	04000850515	WHITSON, MELONYE	MF	AMERICAN BALANCED FUND-A	B	5,000.00	137.50	0.00	137.50	90.0	123.75
* MUTUAL FUNDS SUBTOTAL *						\$11,550.00	\$318.38	\$0.00	\$318.38		\$286.55
*** DIRECT TRANSACTIONS TOTAL ***						\$11,550.00	\$318.38	\$0.00	\$318.38		\$286.55

ADJUSTMENTS

03/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
03/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
03/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		487.55
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$432.55

BROKER COMMISSION TOTALS

Broker Transactions	\$2,848.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$432.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,281.12
Year-to-date Commissions	\$26,699.12