

COMMISSION STATEMENT

November 30, 2018

DPM HAVARD

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for DPM HAVARD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

11/27/2018 337829724	HAMNER, PHILLIP	S	ALASKA AIR GROUP INC	S	-1,756.78	15.00	15.00	0.00	0.0	0.00
11/27/2018 337829724	HAMNER, PHILLIP	S	SOUTHWEST AIRLINES COMPANY	S	-1,412.99	15.00	15.00	0.00	0.0	0.00
11/27/2018 337829724	HAMNER, PHILLIP	S	STANLEY BLACK & DECKER INC	S	-1,488.29	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	CALLAWAY GOLF COMPANY (DEL)	B	2,003.88	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	CANON INCORPORATED-SPONS ADR	B	3,517.02	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	CARNIVAL CORP NEW PARIED COM	B	1,918.34	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	CROCS INC	B	1,398.40	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	DEERE & COMPANY	B	2,103.59	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	DOLLAR TREE INC	B	2,076.95	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	HASBRO INC	B	2,120.65	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	HOME DEPOT INCORPORATED	B	2,082.05	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	ISHARES TRUST S&P GLBL INF TC	S	-9,184.08	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	LOGITECH INTERNATIONAL SA-REG	B	2,304.11	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	MOLSON COORS BREWING CO-CL B	B	3,545.27	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	NATIONAL BEVERAGE CORP	B	1,952.18	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	TESLA INC FORMERLY TESLA MOTORS	B	1,401.73	15.00	15.00	0.00	0.0	0.00
11/28/2018 337829724	HAMNER, PHILLIP	S	WEIGHT WATCHERS INTERNTIONAL	B	2,431.85	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	ALASKA AIR GROUP INC	S	-4,134.17	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	CANON INCORPORATED-SPONS ADR	B	4,291.70	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	CROCS INC	S	-1,392.77	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	MOLSON COORS BREWING CO-CL B	B	4,334.25	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	SOUTHWEST AIRLINES COMPANY	S	-3,586.51	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	STANLEY BLACK & DECKER INC	S	-3,741.23	15.00	15.00	0.00	0.0	0.00
11/14/2018 368373451	COMPTON, RICKY	S	TESLA INC FORMERLY TESLA MOTORS	B	4,421.45	15.00	15.00	0.00	0.0	0.00
11/14/2018 368461620	STRONG, CHRISTINE	S	ALASKA AIR GROUP INC	S	-2,271.74	15.00	15.00	0.00	0.0	0.00
11/14/2018 368461620	STRONG, CHRISTINE	S	CANON INCORPORATED-SPONS ADR	B	2,303.77	15.00	15.00	0.00	0.0	0.00
11/14/2018 368461620	STRONG, CHRISTINE	S	CROCS INC	S	-1,035.54	15.00	15.00	0.00	0.0	0.00
11/14/2018 368461620	STRONG, CHRISTINE	S	MOLSON COORS BREWING CO-CL B	B	2,283.70	15.00	15.00	0.00	0.0	0.00
11/14/2018 368461620	STRONG, CHRISTINE	S	SOUTHWEST AIRLINES COMPANY	S	-1,980.18	15.00	15.00	0.00	0.0	0.00
11/14/2018 368461620	STRONG, CHRISTINE	S	STANLEY BLACK & DECKER INC	S	-1,990.06	15.00	15.00	0.00	0.0	0.00
11/27/2018 368461620	STRONG, CHRISTINE	S	TESLA INC FORMERLY TESLA MOTORS	B	2,438.53	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/15/2018 492705249	MCCLUNG, BRIAN	S ALASKA AIR GROUP INC	S	-3,406.66	15.00	15.00	0.00	0.0	0.00
11/15/2018 492705249	MCCLUNG, BRIAN	S CANON INCORPORATED-SPONS ADR	B	3,297.35	15.00	15.00	0.00	0.0	0.00
11/15/2018 492705249	MCCLUNG, BRIAN	S CROCS INC	S	-1,090.12	15.00	15.00	0.00	0.0	0.00
11/15/2018 492705249	MCCLUNG, BRIAN	S MOLSON COORS BREWING CO-CL B	B	3,232.45	15.00	15.00	0.00	0.0	0.00
11/15/2018 492705249	MCCLUNG, BRIAN	S SOUTHWEST AIRLINES COMPANY	S	-2,880.47	15.00	15.00	0.00	0.0	0.00
11/15/2018 492705249	MCCLUNG, BRIAN	S STANLEY BLACK & DECKER INC	S	-2,865.35	15.00	15.00	0.00	0.0	0.00
11/15/2018 492705249	MCCLUNG, BRIAN	S TESLA INC FORMERLY TESLA MOTORS	B	3,448.05	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S ALASKA AIR GROUP INC	S	-5,313.69	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S CANON INCORPORATED-SPONS ADR	B	4,993.85	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S CROCS INC	S	-1,965.60	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S MOLSON COORS BREWING CO-CL B	B	5,017.25	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S SOUTHWEST AIRLINES COMPANY	S	-4,492.34	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S STANLEY BLACK & DECKER INC	S	-4,599.76	15.00	15.00	0.00	0.0	0.00
11/15/2018 707151793	THOMAS, BRUCE	S TESLA INC FORMERLY TESLA MOTORS	B	5,172.34	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$13,502.38	\$675.00	\$675.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc307289	VARIOUS, HAVARD	MFT BLACKROCK MULTI-ASSET INCOME FD B		0.00	41.30	0.00	41.30	0.0	0.00
11/21/2018 mfc307289	VARIOUS, HAVARD	MFT BLACKROCK STRAT INC OPP FD INC B		0.00	48.71	0.00	48.71	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$90.01	\$0.00	\$90.01		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$13,502.38	\$765.01	\$675.00	\$90.01		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00