

# COMMISSION STATEMENT

August 31, 2018

 DEAN DIXON-D340  
 1473 South Evanston Street

 BROKER #: D340  
 BRANCH: DMN

Aurora, CO 80012

## COMMISSION STATEMENT for DEAN DIXON-D340

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

08/20/2018	000000009698489	RICE, DONALD	MF	FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	65.0	1.63
08/21/2018	00088570140	MCCOY, JOSEPHINE	MF	GROWTH AND INCOME PORTFOLIO-A	B	100.00	5.00	0.00	5.00	65.0	3.25
08/21/2018	00088570140	MCCOY, JOSEPHINE	MF	GROWTH AND INCOME PORTFOLIO-A	B	43.13	2.16	0.00	2.16	65.0	1.40
08/21/2018	00088570147	CHRISTOPHER,	MF	BALANCED PORTFOLIO-A	B	40.66	2.03	0.00	2.03	65.0	1.32
08/21/2018	00088570147	CHRISTOPHER,	MF	BALANCED PORTFOLIO-A	B	50.00	2.50	0.00	2.50	65.0	1.63
08/21/2018	04001062521	ELZEY, ANGELA	MF	GROWTH PORTFOLIO-A	B	1.00	0.05	0.00	0.05	65.0	0.03
08/21/2018	04001062521	ELZEY, ANGELA	MF	GROWTH PORTFOLIO-A	B	100.00	5.00	0.00	5.00	65.0	3.25
08/16/2018	04001124181	CO/TOD	MF	THE INVESTMENT COMPANY OF	B	2,000.00	100.00	0.00	100.00	65.0	65.00
				* MUTUAL FUNDS SUBTOTAL *		\$2,584.79	\$119.24	\$0.00	\$119.24		\$77.51

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

08/15/2018	(None)	RICE, DONALD	VA	LINCOLN NATL LIFE	B	900.00	60.75	0.00	60.75	65.0	39.49
				* VARIABLE ANNUITIES SUBTOTAL *		\$900.00	\$60.75	\$0.00	\$60.75		\$39.49
				*** DIRECT TRANSACTIONS TOTAL ***		\$3,484.79	\$179.99	\$0.00	\$179.99		\$117.00

### ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018		: E-MAIL ACCOUNT				0.00	0.00	0.00	0.00		-25.00
				* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00
				*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$117.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$92.00</b>
<b>Year-to-date Commissions</b>	<b>\$2,968.16</b>