



# COMMISSION STATEMENT

## November 19, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/13/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	867.60	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011553	SELL 44 Units @19.6200						
11/12/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @26.7900						
11/12/2018	***-**-5144 - WINTER, LAURA	AMERICAN FUNDS TAX ADVANTGED INC PO	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 15 Units @13.2000						
11/08/2018	***-**-566I - DURKIN, FBO MRS	BLACKROCK LARGE CAP FOCUS GROWTH CL	20,000.00	200.00	18.00	182.00	85.0	154.70
	ACCOUNT #: LSR010544	BUY 2401 Units @8.3300						
11/15/2018	***-**-72IR - SHAW, SUSAN	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @50.4600						
11/08/2018	***-**-566I - DURKIN, FBO MRS	FEDERATED MDT LARGE CAP GROWTH	20,000.01	200.00	18.00	182.00	85.0	154.70
	ACCOUNT #: LSR010544	BUY 1089 Units @18.3600						
11/08/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	16,000.00	160.00	18.00	142.00	85.0	120.70
	ACCOUNT #: LSR010544	BUY 1154 Units @13.8700						
11/08/2018	***-**-566I - DURKIN, FBO MRS	PIMCO STOCKPLUS ABSOLUTE RTN C	20,000.00	200.00	18.00	182.00	85.0	154.70
	ACCOUNT #: LSR010544	BUY 1976 Units @10.1200						
11/15/2018	***-**-32IR - SHAW, WILLIAM	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @40.9000						
* MUTUAL FUNDS SUBTOTAL *			<b>\$77,367.61</b>	<b>\$766.76</b>	<b>\$72.00</b>	<b>\$694.76</b>		<b>\$590.54</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/12/2018	***-**-44IR - REED, GENEVIEVE	PERSPECTIVE II DTCC	7,895.32	157.91	0.00	157.91	85.0	134.22
	ACCOUNT #: 1020415050	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$7,895.32</b>	<b>\$157.91</b>	<b>\$0.00</b>	<b>\$157.91</b>		<b>\$134.22</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2018	***-**-831I - REED, JACK	AMCAP FUND-A	0.00	12.73	0.00	12.73	85.0	10.82
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
10/19/2018	***-**-036I - KAKAREKA, PAUL	AMCAP FUND-C	0.00	20.72	0.00	20.72	85.0	17.61
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
10/19/2018	***-**-949I - KLOKIS, DENISE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	2.06	0.00	2.06	85.0	1.75
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-831I - KAKAREKA, ACCOUNT #: LSR011084	AMERICAN 2040 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	17.82	0.00	17.82	85.0	15.15
10/19/2018	***-**-036I - KAKAREKA, PAUL ACCOUNT #: LSR011085	AMERICAN 2040 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	4.79	0.00	4.79	85.0	4.07
10/19/2018	***-**-73IR - MANGANELLO, TIRA ACCOUNT #: LSR011771	AMERICAN 2045 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	10.93	0.00	10.93	85.0	9.29
10/19/2018	***-**-60IR - ILGENFRITZ, CORY ACCOUNT #: LSR011825	AMERICAN 2045 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	10.19	0.00	10.19	85.0	8.66
10/19/2018	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.87	0.00	4.87	85.0	4.14
10/19/2018	***-**-376X - WAGNER, LINDA ACCOUNT #: LSR010451	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	85.0	0.26
10/19/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	75.46	0.00	75.46	85.0	64.14
10/19/2018	***-**-59IR - FREY, RYAN ACCOUNT #: LSR011056	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	85.0	7.91
10/19/2018	***-**-036I - KAKAREKA, PAUL ACCOUNT #: LSR011085	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	35.68	0.00	35.68	85.0	30.33
10/19/2018	***-**-13IR - LOYCH, ALICE ACCOUNT #: LSR012051	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	13.93	0.00	13.93	85.0	11.84
10/19/2018	***-**-376X - WAGNER, LINDA ACCOUNT #: LSR010737	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	29.76	0.00	29.76	85.0	25.30
10/19/2018	***-**-14IR - MCKENNA, DONNA ACCOUNT #: LSR011375	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	13.64	0.00	13.64	85.0	11.59
10/19/2018	***-**-285I - ACKERMAN, SEAN ACCOUNT #: LSR010998	AMERICAN FUNDS GROWTH PORT CL C BUY 1 Units @0.0000	0.00	11.46	0.00	11.46	85.0	9.74
10/19/2018	***-**-265I - NORNHOLD, ACCOUNT #: LSR010794	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	24.46	0.00	24.46	85.0	20.79
10/19/2018	***-**-057I - PLAPPERT, ACCOUNT #: LSR010833	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	41.70	0.00	41.70	85.0	35.45
10/19/2018	***-**-057I - PLAPPERT, ACCOUNT #: LSR010833	AMERICAN FUNDS INCOME PORT CL C BUY 1 Units @0.0000	0.00	8.74	0.00	8.74	85.0	7.43



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-8540 - FREY, RYAN	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	13.77	0.00	13.77	85.0	11.70
	ACCOUNT #: LSR011528	BUY 1 Units @0.0000						
10/19/2018	***-**-2600 - ILGENFRITZ, TOD	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	43.67	0.00	43.67	85.0	37.12
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
10/19/2018	***-**-3730 - MANGANELLO, TIRA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	28.14	0.00	28.14	85.0	23.92
	ACCOUNT #: LSR011550	BUY 1 Units @0.0000						
10/19/2018	***-**-07IN - SHOCKEY, CHELSEA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	8.71	0.00	8.71	85.0	7.40
	ACCOUNT #: LSR011926	BUY 1 Units @0.0000						
10/19/2018	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	0.00	6.37	0.00	6.37	85.0	5.41
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
10/19/2018	***-**-992I - SMITH, FBO MR	CAPITAL INCOME BUILDER-A	0.00	11.47	0.00	11.47	85.0	9.75
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
10/19/2018	***-**-184I - BEAVERSON, ROYCE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.51	0.00	9.51	85.0	8.08
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
10/19/2018	***-**-831I - REED, JACK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.52	0.00	0.52	85.0	0.44
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
10/19/2018	***-**-992I - SMITH, FBO MR	EATON VANCE SHT DUR STRATEGIC INCOM	0.00	3.98	0.00	3.98	85.0	3.38
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
10/19/2018	***-**-4000 - ROMIG, MARK	EV ATLANTA SELECT EQUITY FUND CL C	0.00	15.58	0.00	15.58	85.0	13.24
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
10/19/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	30.23	0.00	30.23	85.0	25.70
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
10/19/2018	***-**-13IR - LOYCH, ALICE	FUNDAMENTAL INVESTORS-C	0.00	14.16	0.00	14.16	85.0	12.04
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
10/19/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.78	0.00	9.78	85.0	8.31
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
10/19/2018	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	5.49	0.00	5.49	85.0	4.67
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
10/19/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	10.60	0.00	10.60	85.0	9.01
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
10/19/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	13.83	0.00	13.83	85.0	11.76
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	55.30	0.00	55.30	85.0	47.01
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
10/19/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	68.06	0.00	68.06	85.0	57.85
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
10/19/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	63.64	0.00	63.64	85.0	54.09
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
10/19/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	22.41	0.00	22.41	85.0	19.05
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
10/19/2018	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	22.23	0.00	22.23	85.0	18.90
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
10/19/2018	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	17.61	0.00	17.61	85.0	14.97
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
10/19/2018	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	13.54	0.00	13.54	85.0	11.51
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
10/19/2018	***-**-8100 - BLAUCH, CATHY	HARTFORD BALANCED INCOME FD CL C	0.00	8.64	0.00	8.64	85.0	7.34
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
10/19/2018	***-**-14IR - SCHMIDT, DAVID	HARTFORD BALANCED INCOME FD CL C	0.00	9.39	0.00	9.39	85.0	7.98
	ACCOUNT #: LSR011865	BUY 1 Units @0.0000						
10/19/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	0.00	1.27	0.00	1.27	85.0	1.08
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						
10/19/2018	***-**-881I - HOUSER, LORI	HARTFORD BALANCED INCOME FD CL C	0.00	13.98	0.00	13.98	85.0	11.88
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
10/19/2018	***-**-381J - BLEILER, CONNIE	HARTFORD BALANCED INCOME FD CL C	0.00	10.12	0.00	10.12	85.0	8.60
	ACCOUNT #: LSR012081	BUY 1 Units @0.0000						
10/19/2018	***-**-162I - ANDERSON, THOMAS	HARTFORD BALANCED INCOME FD CL C	0.00	0.32	0.00	0.32	85.0	0.27
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
10/19/2018	***-**-837I - KUNKEL, JANET	HARTFORD BALANCED INCOME FD CL C	0.00	0.12	0.00	0.12	85.0	0.10
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
10/19/2018	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	9.87	0.00	9.87	85.0	8.39
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
10/19/2018	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	61.34	0.00	61.34	85.0	52.14
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	68.63	0.00	68.63	85.0	58.34
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
10/19/2018	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	22.70	0.00	22.70	85.0	19.30
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
10/19/2018	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	21.72	0.00	21.72	85.0	18.46
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
10/19/2018	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	16.27	0.00	16.27	85.0	13.83
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
10/19/2018	***-**-214I - SCHMIDT, DAVID	HARTFORD CORE EQUITY CLASS C	0.00	15.36	0.00	15.36	85.0	13.06
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
10/19/2018	***-**-67IR - NORNHOLD, DENNIS	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	5.86	0.00	5.86	85.0	4.98
	ACCOUNT #: LSR012006	BUY 1 Units @0.0000						
10/19/2018	***-**-036I - CROWL, LINDA	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	23.73	0.00	23.73	85.0	20.17
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
10/19/2018	***-**-8100 - BLAUCH, CATHY	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	21.26	0.00	21.26	85.0	18.07
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
10/19/2018	***-**-881I - HOUSER, LORI	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	14.93	0.00	14.93	85.0	12.69
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
10/19/2018	***-**-51IR - BLEILER, CONNIE	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	4.98	0.00	4.98	85.0	4.23
	ACCOUNT #: LSR012077	BUY 1 Units @0.0000						
10/19/2018	***-**-949I - KLOKIS, DENISE	INCOME FUND OF AMERICA CLASS C	0.00	2.88	0.00	2.88	85.0	2.45
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
10/19/2018	***-**-334I - MCKENNA, EDWARD	LEGG MASON VALUE TRUST CL C	0.00	6.69	0.00	6.69	85.0	5.69
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
10/19/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	12.09	0.00	12.09	85.0	10.28
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
10/19/2018	***-**-83IN - SCHWARTZ, EMILY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	6.96	0.00	6.96	85.0	5.92
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
10/19/2018	***-**-83IN - SCHWARTZ, EMILY	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.79	0.00	6.79	85.0	5.77
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
10/19/2018	***-**-859X - FREY, RYAN	MFS GROWTH PORT CLASS C	0.00	45.65	0.00	45.65	85.0	38.80
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	0.00	63.40	0.00	63.40	85.0	53.89
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
10/19/2018	***-**-181X - ILGENFRITZ,	MFS GROWTH PORT CLASS C	0.00	23.65	0.00	23.65	85.0	20.10
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
10/19/2018	***-**-162I - ANDERSON, THOMAS	MFS GROWTH PORT CLASS C	0.00	0.09	0.00	0.09	85.0	0.08
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
10/19/2018	***-**-859X - FREY, RYAN	MFS DIVERSIFIED INCOME FD CL C	0.00	64.95	0.00	64.95	85.0	55.21
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
10/19/2018	***-**-630X - WEAVER, NICOLE	MFS DIVERSIFIED INCOME FD CL C	0.00	47.63	0.00	47.63	85.0	40.49
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
10/19/2018	***-**-881I - HOUSER, LORI	MFS DIVERSIFIED INCOME FD CL C	0.00	13.62	0.00	13.62	85.0	11.58
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
10/19/2018	***-**-0550 - ILGENFRITZ, TIM	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.10	0.00	0.10	85.0	0.09
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
10/19/2018	***-**-162I - ANDERSON, THOMAS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.15	0.00	0.15	85.0	0.13
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
10/19/2018	***-**-881I - HOUSER, LORI	MFS MID CAP VALUE CLASS C	0.00	14.85	0.00	14.85	85.0	12.62
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
10/19/2018	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	20.50	0.00	20.50	85.0	17.43
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
10/19/2018	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	41.01	0.00	41.01	85.0	34.86
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
10/19/2018	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	11.48	0.00	11.48	85.0	9.76
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
10/19/2018	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	15.69	0.00	15.69	85.0	13.34
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
10/19/2018	***-**-352I - KUNKEL, RAY	MFS TOTAL RETURN FUND-C	0.00	0.07	0.00	0.07	85.0	0.06
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
10/19/2018	***-**-837I - KUNKEL, JANET	MFS TOTAL RETURN FUND-C	0.00	0.09	0.00	0.09	85.0	0.08
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
10/19/2018	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	14.59	0.00	14.59	85.0	12.40
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## November 19, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/19/2018	***-**-260X - ILGENFRITZ, CORY	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	10.15	0.00	10.15	85.0	8.63
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
10/19/2018	***-**-055X - ILGENFRITZ,	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	10.05	0.00	10.05	85.0	8.54
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
10/19/2018	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.87	0.00	6.87	85.0	5.84
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
10/19/2018	***-**-831I - REED, JACK	THE GROWTH FUND OF AMERICA-A	0.00	6.76	0.00	6.76	85.0	5.75
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
10/19/2018	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	29.58	0.00	29.58	85.0	25.14
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
10/19/2018	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	14.69	0.00	14.69	85.0	12.49
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
10/19/2018	***-**-83IN - SCHWARTZ, EMILY	THE INCOME FUND OF AMERICA-A	0.00	11.66	0.00	11.66	85.0	9.91
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
10/19/2018	***-**-934I - BEAVERSON, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.46	0.00	4.46	85.0	3.79
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
10/19/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	0.00	8.29	0.00	8.29	85.0	7.05
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
10/19/2018	***-**-831I - REED, JACK	THE INCOME FUND OF AMERICA-A	0.00	13.26	0.00	13.26	85.0	11.27
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
10/19/2018	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	12.40	0.00	12.40	85.0	10.54
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
10/19/2018	***-**-653J - MYERS, AND	THE INCOME FUND OF AMERICA-A	0.00	1.82	0.00	1.82	85.0	1.55
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
10/19/2018	***-**-054I - GAROFALO,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.32	0.00	8.32	85.0	7.07
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
10/19/2018	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.37	0.00	10.37	85.0	8.81
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
10/19/2018	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.14	0.00	5.14	85.0	4.37
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
10/19/2018	***-**-653J - MYERS, AND	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.17	0.00	2.17	85.0	1.84
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

November 19, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
10/19/2018	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	4.03	0.00	4.03	85.0	3.43
10/19/2018	***-**-054J - GAROFALO, ACCOUNT #: LSF000300	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.54	0.00	6.54	85.0	5.56
10/19/2018	***-**-29IR - MANGANELLO, ACCOUNT #: LSR011770	WELLS FARGO DIVERSIF INC BUILDER CL BUY 1 Units @0.0000	0.00	9.24	0.00	9.24	85.0	7.85
10/19/2018	***-**-0550 - ILGENFRITZ, TIM ACCOUNT #: LSR011557	WELLS FARGO INDEX ASSET ALLOC C BUY 1 Units @0.0000	0.00	21.56	0.00	21.56	85.0	18.33
10/19/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	WELLS FARGO SPECIAL MID CAP CL C BUY 1 Units @0.0000	0.00	15.70	0.00	15.70	85.0	13.35
10/19/2018	***-**-831I - KAKAREKA, ACCOUNT #: LSR011084	WELLS FARGO SPECIAL SMALL CAP VALUE BUY 1 Units @0.0000	0.00	1.17	0.00	1.17	85.0	0.99
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,794.80</b>	<b>\$0.00</b>	<b>\$1,794.80</b>		<b>\$1,525.62</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
11/13/2018	***-**-597I - LEAR, DIANE ACCOUNT #: R080334-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.23	0.00	14.23	85.0	12.10
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$14.23</b>	<b>\$0.00</b>	<b>\$14.23</b>		<b>\$12.10</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$85,262.93</b>	<b>\$2,733.70</b>	<b>\$72.00</b>	<b>\$2,661.70</b>		<b>\$2,262.48</b>





BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,262.48
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$61.22
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,323.70
						Year-to-date Earnings		\$115,448.85