

COMMISSION STATEMENT

November 30, 2018

KEVIN CORY
3506 Hampshire Glen

BROKER #: A11 P7K
BRANCH: HOME OFFICE

, MD 21131-

COMMISSION STATEMENT for KEVIN CORY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/07/2018 75502142	TAYLOR, CORY	ABBOTT LABORATORIES	S	9,025.13	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	BLACKSTONE / GSO STRATEGIC CR FD CO B		9,053.42	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	CAMPBELL SOUP CO	B	5,723.64	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	FREEMPORT MCMORAN INC	S	8,547.35	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	GENERAL ELECTRIC CO	S	3,676.53	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	METLIFE INC	S	6,735.15	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	NUTRIEN LTD REGISTERED SHS ISIN#CA6 S		13,682.00	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	TAIWAN SEMICONDUCTOR MFG CO LTD-SPO S		3,269.29	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	TOTAL S A 1 ADR REPRESENTING 1 ORD S		17,770.14	0.00	0.00	0.00	70.0	0.00
11/07/2018 75502142	TAYLOR, CORY	VISA INC CL A COMMON STOCK	S	7,480.73	0.00	0.00	0.00	70.0	0.00
11/06/2018 75502145	KUSTES, DONALD	VIACOM INC CLASS B	S	6,487.40	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				<u>\$91,450.78</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: RIA

10/01/2018 75502142	TAYLOR, CORY	FAS FEES PT. II/III	B	-1,338.49	388.16	0.00	388.16	70.0	271.71
10/01/2018 75502145	KUSTES, DONALD	FAS FEES PT. II/III	B	-469.78	117.44	0.00	117.44	70.0	82.21
10/01/2018 75502146	KUSTES, DONALD	FAS FEES PT. II/III	B	-213.20	46.19	0.00	46.19	70.0	32.33
10/01/2018 75502147	TAYLOR, CORY	FAS FEES PT. II/III	B	-244.31	52.93	0.00	52.93	70.0	37.05
* RIA SUBTOTAL *				<u>\$-2,265.78</u>	<u>\$604.72</u>	<u>\$0.00</u>	<u>\$604.72</u>		<u>\$423.30</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$89,185.00</u>	<u>\$604.72</u>	<u>\$0.00</u>	<u>\$604.72</u>		<u>\$423.30</u>

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ADJUSTMENTS

11/30/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2018		OTHER: RBC- WEALTH STATION		0.00	0.00	0.00	0.00		-75.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-83.00

BROKER COMMISSION TOTALS

Broker Transactions	\$423.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-83.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.76
SIPC Assessment	\$-1.51

Check Amount	\$338.03
Year-to-date Earnings	\$20,665.51