



# COMMISSION STATEMENT

December 10, 2018

GENE COSTELLO  
6979 Chairmans Court  
Concord, OH 44060

BROKER #: B99  
BRANCH #01050 - 50 - COSTELLO

| DATE                                      | CLIENT                        | INVESTMENT   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------------------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>     |                               |  |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: ANTHONY CONSTANTINE</u></b> |                               |  |                      |                     |                    |                   |      |                      |
| 12/10/2018                                | ***-**-3975 - CONSTANTINE,    | AF CHECK DEPOSIT<br>BUY 0 Units @0.0000                        | 100.00               | 3.75                | 0.00               | 3.75              | 12.0 | 0.45                 |
| 12/10/2018                                | ***-**-3975 - CONSTANTINE,    | BEACON CAPITAL MANAGEMENT RIA<br>BUY 1 Units @0.0000           | 0.00                 | 180.97              | 0.00               | 180.97            | 12.0 | 21.72                |
| * ANTHONY CONSTANTINE SUBTOTAL *          |                               |  | <u>\$100.00</u>      | <u>\$184.72</u>     | <u>\$0.00</u>      | <u>\$184.72</u>   |      | <u>\$22.17</u>       |
| <b><u>BROKER: JAMES RIGGLE</u></b>        |                               |  |                      |                     |                    |                   |      |                      |
| 12/10/2018                                | ***-**-09CL - RIGGLE, CLIENTS | NSCC VARIABLE ANNUITY ADDITIONAL DE<br>BUY 1 Units @10000.0000 | 10,000.00            | 600.00              | 0.00               | 600.00            | 21.0 | 126.00               |
| * JAMES RIGGLE SUBTOTAL *                 |                               |  | <u>\$10,000.00</u>   | <u>\$600.00</u>     | <u>\$0.00</u>      | <u>\$600.00</u>   |      | <u>\$126.00</u>      |
| *** OVERRIDE TRANSACTIONS TOTAL ***       |                               |  | <u>\$10,100.00</u>   | <u>\$784.72</u>     | <u>\$0.00</u>      | <u>\$784.72</u>   |      | <u>\$148.17</u>      |

## **BROKER COMMISSION TOTALS**

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$0.00   |
| Split Transactions    | \$0.00   |
| Override Transactions | \$148.17 |
| Adjustments           | \$0.00   |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$1.05   |
| FINRA Assessment      | \$0.00   |
| SIPC Assessment       | \$0.00   |

|                       |          |
|-----------------------|----------|
| Net Commission Earned | \$149.22 |
| Year-to-date Earnings | \$149.22 |