

COMMISSION STATEMENT

November 30, 2018

 DARYL ARNETT-D363
 11736 Marigold Circle

 BROKER #: D363
 BRANCH: D00

Fishers, IN 46038

COMMISSION STATEMENT for DARYL ARNETT-D363

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

11/02/2018 122142978	ARNETT (MINOR),	S	VANGUARD INDEX FDS S&P 500 ETF	B	266.90	15.00	15.00	0.00	87.5	0.00
11/16/2018 429948278	KIRBY, LINDA	S	LENNAR CORPORATION	S	-1,513.75	30.00	15.00	15.00	87.5	13.13
11/16/2018 429948278	KIRBY, LINDA	S	VANGUARD HIGH DVD YIELD ETF SHS	S	-2,016.16	30.00	15.00	15.00	87.5	13.13
				* STOCKS SUBTOTAL *	\$-3,263.01	\$75.00	\$45.00	\$30.00		\$26.26

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc038408	VARIOUS,		MFT AMCAP FUND-A	B	0.00	1.89	0.00	1.89	87.5	1.65
11/21/2018 mfc038408	VARIOUS,		MFT AMERICAN MUTUAL FUND-A	B	0.00	16.65	0.00	16.65	87.5	14.57
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.88	0.00	0.88	87.5	0.77
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.29	0.00	1.29	87.5	1.13
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.82	0.00	1.82	87.5	1.59
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL INCOME BUILDER-A	B	0.00	11.91	0.00	11.91	87.5	10.42
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.23	0.00	1.23	87.5	1.08
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	81.39	0.00	81.39	87.5	71.22
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.18	0.00	0.18	87.5	0.16
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	87.5	0.17
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	87.5	0.17
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.27	0.00	0.27	87.5	0.24
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.32	0.00	0.32	87.5	0.28
11/21/2018 mfc038408	VARIOUS,		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.59	0.00	1.59	87.5	1.39
11/21/2018 mfc038408	VARIOUS,		MFT JP MORGAN 339128308	B	0.00	2.14	0.00	2.14	87.5	1.87
11/21/2018 mfc038408	VARIOUS,		MFT MFS GLOBAL TOTAL RETURN FUND-A	B	0.00	2.04	0.00	2.04	87.5	1.79
11/21/2018 mfc038408	VARIOUS,		MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	2.19	0.00	2.19	87.5	1.92
11/21/2018 mfc038408	VARIOUS,		MFT NEW WORLD FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14
11/21/2018 mfc038408	VARIOUS,		MFT NEW WORLD FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14
11/21/2018 mfc038408	VARIOUS,		MFT NEW WORLD FUND-A	B	0.00	0.29	0.00	0.29	87.5	0.25
11/21/2018 mfc038408	VARIOUS,		MFT NEW WORLD FUND-A	B	0.00	0.79	0.00	0.79	87.5	0.69
11/21/2018 mfc038408	VARIOUS,		MFT NEW WORLD FUND-A	B	0.00	0.85	0.00	0.85	87.5	0.74
11/21/2018 mfc038408	VARIOUS,		MFT OPPENHEIMER SMALL & MID CAP	B	0.00	2.48	0.00	2.48	87.5	2.17
11/21/2018 mfc038408	VARIOUS,		MFT OPPENHEIMER SMALL & MID CAP	B	0.00	3.42	0.00	3.42	87.5	2.99
11/21/2018 mfc038408	VARIOUS,		MFT SMALLCAP WORLD FUND-A	B	0.00	0.10	0.00	0.10	87.5	0.09

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11/21/2018	mfc038408 VARIOUS,	MFT SMALLCAP WORLD FUND-A	B	0.00	0.20	0.00	0.20	87.5	0.18
11/21/2018	mfc038408 VARIOUS,	MFT SMALLCAP WORLD FUND-A	B	0.00	0.36	0.00	0.36	87.5	0.32
11/21/2018	mfc038408 VARIOUS,	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.41	0.00	0.41	87.5	0.36
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	87.5	0.10
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.54	0.00	1.54	87.5	1.35
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.64	0.00	1.64	87.5	1.44
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.85	0.00	1.85	87.5	1.62
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.85	0.00	1.85	87.5	1.62
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.06	0.00	2.06	87.5	1.80
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.09	0.00	2.09	87.5	1.83
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.40	0.00	5.40	87.5	4.73
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	19.88	0.00	19.88	87.5	17.40
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	4.15	0.00	4.15	87.5	3.63
11/21/2018	mfc038408 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	4.15	0.00	4.15	87.5	3.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$180.11	\$0.00	\$180.11		\$157.64
*** CLEARING TRANSACTIONS TOTAL ***				\$-3,263.01	\$255.11	\$45.00	\$210.11		\$183.90

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/12/2018	00067872236	MACRY, RACHAEL	MF	NEW PERSPECTIVE FUND-A	B	50.00	0.50	0.00	0.50	87.5	0.44
11/12/2018	00067872236	MACRY, RACHAEL	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	0.50	0.00	0.50	87.5	0.44
11/23/2018	00067872236	MACRY, RACHAEL	MF	NEW PERSPECTIVE FUND-A	B	50.00	0.50	0.00	0.50	87.5	0.44
11/23/2018	00067872236	MACRY, RACHAEL	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	0.50	0.00	0.50	87.5	0.44
11/12/2018	00067872239	GALLOWAY, BRANDY	MF	WASHINGTON MUTUAL INVESTORS	B	40.00	0.40	0.00	0.40	87.5	0.35
11/23/2018	00067872239	GALLOWAY, BRANDY	MF	WASHINGTON MUTUAL INVESTORS	B	40.00	0.40	0.00	0.40	87.5	0.35
11/12/2018	00067872246	LAND, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	0.25	0.00	0.25	87.5	0.22
11/23/2018	00067872246	LAND, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	0.25	0.00	0.25	87.5	0.22
11/12/2018	00067872261	ROYAL, PAMELA	MF	WASHINGTON MUTUAL INVESTORS	B	35.00	0.35	0.00	0.35	87.5	0.31
11/23/2018	00067872261	ROYAL, PAMELA	MF	WASHINGTON MUTUAL INVESTORS	B	35.00	0.35	0.00	0.35	87.5	0.31
11/12/2018	00067872709	SMITH, THAD	MF	FUNDAMENTAL INVESTORS-A	B	100.00	1.00	0.00	1.00	87.5	0.88
11/23/2018	00067872709	SMITH, THAD	MF	FUNDAMENTAL INVESTORS-A	B	100.00	1.00	0.00	1.00	87.5	0.88
11/12/2018	00067872710	TOMEY, BRENDA	MF	NEW PERSPECTIVE FUND-A	B	5.00	0.05	0.00	0.05	87.5	0.04
11/12/2018	00067872710	TOMEY, BRENDA	MF	THE GROWTH FUND OF AMERICA-A	B	10.00	0.10	0.00	0.10	87.5	0.09
11/12/2018	00067872710	TOMEY, BRENDA	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	87.5	0.09
11/23/2018	00067872710	TOMEY, BRENDA	MF	NEW PERSPECTIVE FUND-A	B	5.00	0.05	0.00	0.05	87.5	0.04
11/23/2018	00067872710	TOMEY, BRENDA	MF	THE GROWTH FUND OF AMERICA-A	B	10.00	0.10	0.00	0.10	87.5	0.09
11/23/2018	00067872710	TOMEY, BRENDA	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	87.5	0.09
11/12/2018	00067872713	SMITH, CARLIE	MF	WASHINGTON MUTUAL INVESTORS	B	100.00	1.00	0.00	1.00	87.5	0.88
11/23/2018	00067872713	SMITH, CARLIE	MF	WASHINGTON MUTUAL INVESTORS	B	100.00	1.00	0.00	1.00	87.5	0.88
* MUTUAL FUNDS SUBTOTAL *						\$850.00	\$8.50	\$0.00	\$8.50	\$7.48	
*** DIRECT TRANSACTIONS TOTAL ***						\$850.00	\$8.50	\$0.00	\$8.50	\$7.48	

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ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	AZ REG FEE		0.00	0.00	0.00	0.00		-45.00
11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-230.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-230.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$191.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-230.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-38.62</u>
Year-to-date Commissions	\$33,826.29