

COMMISSION STATEMENT

November 30, 2018

 CYNTHIA MICHAEL-D181
 1900 NW Loop 410

 BROKER #: D181
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

11/16/2018	492698880	OF SAN ANTONIO FBO, S	WELLS FARGO & CO	S	-105,576.18	100.00	15.00	85.00	80.0	68.00
* STOCKS SUBTOTAL *					\$-105,576.18	\$100.00	\$15.00	\$85.00		\$68.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMCAP FUND-A	B	0.00	4.00	0.00	4.00	80.0	3.20
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN BALANCED FUND-A	B	0.00	24.91	0.00	24.91	80.0	19.93
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN BALANCED FUND-C	B	0.00	1.96	0.00	1.96	80.0	1.57
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN BALANCED FUND-F1	B	0.00	26.21	0.00	26.21	80.0	20.97
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	18.54	0.00	18.54	80.0	14.83
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.71	0.00	7.71	80.0	6.17
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	16.00	0.00	16.00	80.0	12.80
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	35.28	0.00	35.28	80.0	28.22
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.38	0.00	5.38	80.0	4.30
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION FD	B	0.00	13.92	0.00	13.92	80.0	11.14
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	2.90	0.00	2.90	80.0	2.32
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	14.93	0.00	14.93	80.0	11.94
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.00	0.00	4.00	80.0	3.20
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.86	0.00	4.86	80.0	3.89
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.93	0.00	4.93	80.0	3.94
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	21.72	0.00	21.72	80.0	17.38
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.54	0.00	0.54	80.0	0.43
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	20.20	0.00	20.20	80.0	16.16
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	22.22	0.00	22.22	80.0	17.78
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.06	0.00	0.06	80.0	0.05
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	5.52	0.00	5.52	80.0	4.42
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.70	0.00	11.70	80.0	9.36
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	17.87	0.00	17.87	80.0	14.30
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	23.43	0.00	23.43	80.0	18.74
11/21/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	53.50	0.00	53.50	80.0	42.80

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11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	67.13	0.00	67.13	80.0	53.70
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	71.02	0.00	71.02	80.0	56.82
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.96	0.00	7.96	80.0	6.37
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.20	0.00	17.20	80.0	13.76
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRK INC FD CL A	B	0.00	1.16	0.00	1.16	80.0	0.93
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.08	0.00	4.08	80.0	3.26
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.50	0.00	4.50	80.0	3.60
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	16.49	0.00	16.49	80.0	13.19
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	24.56	0.00	24.56	80.0	19.65
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	51.93	0.00	51.93	80.0	41.54
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	4.05	0.00	4.05	80.0	3.24
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	16.13	0.00	16.13	80.0	12.90
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	46.05	0.00	46.05	80.0	36.84
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.29	0.00	2.29	80.0	1.83
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	0.03	0.00	0.03	80.0	0.02
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	4.90	0.00	4.90	80.0	3.92
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.60	0.00	3.60	80.0	2.88
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	15.24	0.00	15.24	80.0	12.19
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.08	0.00	0.08	80.0	0.06
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.29	0.00	9.29	80.0	7.43
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.20	0.00	13.20	80.0	10.56
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.17	0.00	16.17	80.0	12.94
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	9.03	0.00	9.03	80.0	7.22
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.91	0.00	3.91	80.0	3.13
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	42.58	0.00	42.58	80.0	34.06
11/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO GROWTH FD CL C	B	0.00	6.92	0.00	6.92	80.0	5.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$821.90	\$0.00	\$821.90		\$657.51
*** CLEARING TRANSACTIONS TOTAL ***				\$-105,576.18	\$921.90	\$15.00	\$906.90		\$725.51

DIRECT TRANSACTIONSPRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/23/2018	FX16001643 PETTEY	VAT CUSIP 694475286	B	0.00	88.11	0.00	88.11	80.0	70.49
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$88.11	\$0.00	\$88.11		\$70.49
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$88.11	\$0.00	\$88.11		\$70.49

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<u>ADJUSTMENTS TRANSACTIONS</u>									
<u>BROKER:</u>	<u>#0</u>								
11/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018		: CUSTOMER WRITE OFF 337587642		0.00	0.00	0.00	0.00		-22.63
11/30/2018		: CUSTOMER WRITE OFF 337593208		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: CUSTOMER WRITE OFF 676943442		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: CUSTOMER WRITE OFF 337593208		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: CUSTOMER WRITE OFF 676943442		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018		: QUODD CHARGES		0.00	0.00	0.00	0.00		-130.37
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-308.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-308.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$796.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-308.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$488.00
Year-to-date Commissions	\$16,167.86