

# COMMISSION STATEMENT

September 15, 2018

CHIP KLEINBROOK-D037  
 2817 South Milford Road  
 Suite 101  
 Highland, MI 48357

BROKER #: D037  
 BRANCH: DMN

## COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/07/2018	00068622447	GLORIA D PARRISH	MF	THE GROWTH FUND OF AMERICA-A	B	20.00	0.85	0.00	0.85	80.0	0.68
09/07/2018	00083533648	GLORIA D PARRISH,	MF	CAPITAL WORLD GROWTH AND INCOME	B	40.00	1.70	0.00	1.70	80.0	1.36
09/04/2018	01454269950	ALEXIS M WALKER,	MF	FA 529 PORT 2028 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
09/04/2018	01469591323	ALEXIS M WALKER,	MF	FA 529 PORT 2031 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
				* MUTUAL FUNDS SUBTOTAL *		\$160.00	\$3.55	\$0.00	\$3.55		\$2.84

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	00061914992	GLORIA D PARRISH,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	7.05	0.00	7.05	80.0	5.64
09/04/2018	00062064620	IRWIN, MARSHA	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	5.01	0.00	5.01	80.0	4.01
09/04/2018	00062131881	HELEN BUFFINGTON	MFT	CAPITAL WORLD BOND FUND-A	B	0.00	17.49	0.00	17.49	80.0	13.99
09/04/2018	00062660142	GLORIA D PARRISH,	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	7.68	0.00	7.68	80.0	6.14
09/04/2018	00068622447	GLORIA D PARRISH	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	8.63	0.00	8.63	80.0	6.90
09/04/2018	00073363516	DOSS, NICOLE	MFT	THE INVESTMENT COMPANY OF	B	0.00	87.39	0.00	87.39	80.0	69.91
09/04/2018	00073363570	DOSS, NICOLE	MFT	THE INVESTMENT COMPANY OF	B	0.00	62.31	0.00	62.31	80.0	49.85
09/04/2018	00074375190	DALE M DOSS TTEE	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	27.48	0.00	27.48	80.0	21.98
09/04/2018	00074375190	DALE M DOSS TTEE	MFT	SMALLCAP WORLD FUND-A	B	0.00	250.69	0.00	250.69	80.0	200.55
09/04/2018	00074375190	DALE M DOSS TTEE	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	265.42	0.00	265.42	80.0	212.34
09/04/2018	00074375190	DALE M DOSS TTEE	MFT	THE INVESTMENT COMPANY OF	B	0.00	472.45	0.00	472.45	80.0	377.96
09/04/2018	00075414547	GULIN, STEVE	MFT	CAPITAL WORLD BOND FUND-A	B	0.00	1.90	0.00	1.90	80.0	1.52
09/04/2018	00082964377	BREANNE L SEGUIN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.25	0.00	0.25	80.0	0.20
09/04/2018	00082964407	BREANNE L SEGUIN	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.25	0.00	0.25	80.0	0.20
09/04/2018	00083533648	GLORIA D PARRISH,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.41	0.00	4.41	80.0	3.53
09/04/2018	00089905459	CHHEN, KIMBERLY	MFT	AMERICAN BALANCED FUND-C	B	0.00	49.94	0.00	49.94	80.0	39.95
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADV LARGE CAP CL C	B	0.00	0.54	0.00	0.54	80.0	0.43
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	1.53	0.00	1.53	80.0	1.22
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	1.20	0.00	1.20	80.0	0.96
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR GLOBAL CAP	B	0.00	0.27	0.00	0.27	80.0	0.22
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADV ASSET MANAGER 70%	B	0.00	0.59	0.00	0.59	80.0	0.47
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR STOCK SELECTOR	B	0.00	1.57	0.00	1.57	80.0	1.26
09/10/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADV EUROPE FUND	B	0.00	0.25	0.00	0.25	80.0	0.20
09/10/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADV BALANCED CL C	B	0.00	53.83	0.00	53.83	80.0	43.06

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	11.13	0.00	11.13	80.0	8.90
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	8.01	0.00	8.01	80.0	6.41
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	94.38	0.00	94.38	80.0	75.50
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	0.15	0.00	0.15	80.0	0.12
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADV CANADA CL C	B	0.00	8.78	0.00	8.78	80.0	7.02
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	69.30	0.00	69.30	80.0	55.44
09/10/2018	00507290526	BEAUMONT, MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	5.41	0.00	5.41	80.0	4.33
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADVISOR FUNDS	B	0.00	30.83	0.00	30.83	80.0	24.66
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADV BALANCED CL C	B	0.00	40.44	0.00	40.44	80.0	32.35
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADVISOR FUNDS	B	0.00	27.31	0.00	27.31	80.0	21.85
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	32.55	0.00	32.55	80.0	26.04
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	11.41	0.00	11.41	80.0	9.13
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	32.38	0.00	32.38	80.0	25.90
09/10/2018	00512924424	EGGLETON, MFT FIDELITY ADVISOR VALUE	B	0.00	38.79	0.00	38.79	80.0	31.03
09/10/2018	00513264127	HOJNOWSKI, PAMELA MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	12.88	0.00	12.88	80.0	10.30
09/10/2018	00513264127	HOJNOWSKI, PAMELA MFT FIDELITY ADVISOR HIGH INC	B	0.00	25.47	0.00	25.47	80.0	20.38
09/10/2018	00513264127	HOJNOWSKI, PAMELA MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	27.92	0.00	27.92	80.0	22.34
09/10/2018	00513264127	HOJNOWSKI, PAMELA MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	19.54	0.00	19.54	80.0	15.63
09/10/2018	00513264127	HOJNOWSKI, PAMELA MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	34.37	0.00	34.37	80.0	27.50
09/10/2018	00520128786	COTEY, JOHN MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	27.54	0.00	27.54	80.0	22.03
09/10/2018	00520128810	COTEY, MARIANN MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.38	0.00	1.38	80.0	1.10
09/10/2018	00520235862	COTEY, BENE MFT FA 529 COLLEGE PORT CL A	B	0.00	1.74	0.00	1.74	80.0	1.39
09/10/2018	00520235862	COTEY, BENE MFT FA 529 COLLEGE PORT CL C	B	0.00	2.23	0.00	2.23	80.0	1.78
09/10/2018	00520236563	COTEY, BENE MFT FA 529 COLLEGE PORT CL A	B	0.00	0.51	0.00	0.51	80.0	0.41
09/10/2018	00520236563	COTEY, BENE MFT FA 529 COLLEGE PORT CL C	B	0.00	2.22	0.00	2.22	80.0	1.78
09/10/2018	00528954597	LAI, LICHUN MFT FIDELITY ADVISOR FUNDS	B	0.00	2.87	0.00	2.87	80.0	2.30
09/10/2018	00536438369	MCCAULEY, JULIE MFT FIDELITY ADV FREEDOM 2040 CL C	B	0.00	6.90	0.00	6.90	80.0	5.52
09/10/2018	00625439310	HOEY, LAURIE MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	11.31	0.00	11.31	80.0	9.05
09/10/2018	00625443098	MLINARICH, SUSAN MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	12.18	0.00	12.18	80.0	9.74
09/10/2018	00670088970	MARTIN, BENE MFT FA 529 COLLEGE PORT CL A	B	0.00	1.55	0.00	1.55	80.0	1.24
09/10/2018	00670088970	MARTIN, BENE MFT FA 529 COLLEGE PORT CL C	B	0.00	0.86	0.00	0.86	80.0	0.69
09/10/2018	00700867492	SAMMUT, ROBERT MFT FIDELITY ADVISOR LARGE CAP	B	0.00	0.74	0.00	0.74	80.0	0.59
09/10/2018	00700867492	SAMMUT, ROBERT MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.75	0.00	0.75	80.0	0.60
09/10/2018	00700867492	SAMMUT, ROBERT MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.81	0.00	0.81	80.0	0.65
09/10/2018	00700867492	SAMMUT, ROBERT MFT FIDELITY ADV FREEDOM 2025-CL A	B	0.00	1.70	0.00	1.70	80.0	1.36
09/10/2018	00700867492	SAMMUT, ROBERT MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.05	0.00	1.05	80.0	0.84
09/10/2018	00700998602	HOEHNER, YVONNE MFT FIDELITY ADV BALANCED CL C	B	0.00	16.35	0.00	16.35	80.0	13.08
09/10/2018	00700998602	HOEHNER, YVONNE MFT FIDELITY ADV OVERSEAS CL C	B	0.00	5.61	0.00	5.61	80.0	4.49
09/10/2018	00700998602	HOEHNER, YVONNE MFT FIDELITY ADVISOR INTL DISCOVERY	B	0.00	5.61	0.00	5.61	80.0	4.49
09/10/2018	00700998602	HOEHNER, YVONNE MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	7.18	0.00	7.18	80.0	5.74
09/10/2018	00701133928	MILLINER, BENE MFT FA 529 COLLEGE PORT CL A	B	0.00	0.04	0.00	0.04	80.0	0.03
09/10/2018	00701277857	PILONI, ALMA MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	6.98	0.00	6.98	80.0	5.58
09/10/2018	00701688350	DEWITT, BENE MFT FA 529 PORT 2022 CL A	B	0.00	2.47	0.00	2.47	80.0	1.98
09/10/2018	00702371188	ROBERT P KOHLOFF, MFT FA 529 COLLEGE PORT CL D	B	0.00	4.20	0.00	4.20	80.0	3.36

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09/10/2018 00702371196	ROBERT P KOHLOFF,	MFT FA 529 PORT 2019 CL D	B	0.00	7.03	0.00	7.03	80.0	5.62
09/10/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.16	0.00	3.16	80.0	2.53
09/10/2018 00702462417	KOHLOFF,	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	3.68	0.00	3.68	80.0	2.94
09/10/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	3.28	0.00	3.28	80.0	2.62
09/10/2018 00702462417	KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.32	0.00	0.32	80.0	0.26
09/10/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	3.73	0.00	3.73	80.0	2.98
09/10/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	3.74	0.00	3.74	80.0	2.99
09/10/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.44	0.00	0.44	80.0	0.35
09/10/2018 00702669698	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	3.78	0.00	3.78	80.0	3.02
09/10/2018 00702669706	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	3.79	0.00	3.79	80.0	3.03
09/10/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.01	0.00	0.01	80.0	0.01
09/10/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.02	0.00	0.02	80.0	0.02
09/10/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	0.02	0.00	0.02	80.0	0.02
09/10/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	0.02	0.00	0.02	80.0	0.02
09/10/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.84	0.00	0.84	80.0	0.67
09/10/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.86	0.00	1.86	80.0	1.49
09/10/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	1.31	0.00	1.31	80.0	1.05
09/10/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	1.10	0.00	1.10	80.0	0.88
09/10/2018 00704265347	STANKO, PATRICIA	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.84	0.00	1.84	80.0	1.47
09/10/2018 00704660992	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	15.49	0.00	15.49	80.0	12.39
09/10/2018 01423897501	PRESTON, THOMAS	MFT FIDELITY ADV INTL SMALL CAP	B	0.00	1.05	0.00	1.05	80.0	0.84
09/10/2018 01425820881	PRESTON, YOLANDA	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	5.26	0.00	5.26	80.0	4.21
09/10/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	11.41	0.00	11.41	80.0	9.13
09/10/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	11.15	0.00	11.15	80.0	8.92
09/10/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	14.06	0.00	14.06	80.0	11.25
09/10/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	19.80	0.00	19.80	80.0	15.84
09/10/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.64	0.00	29.64	80.0	23.71
09/10/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	27.23	0.00	27.23	80.0	21.78
09/10/2018 01437758582	NANCY E KRZISNIK	MFT FA 529 PORT 2019 CL A	B	0.00	3.30	0.00	3.30	80.0	2.64
09/10/2018 01437772005	NANCY E KRZISNIK	MFT FA 529 PORT 2022 CL A	B	0.00	3.31	0.00	3.31	80.0	2.65
09/10/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL A	B	0.00	4.73	0.00	4.73	80.0	3.78
09/10/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL C	B	0.00	3.95	0.00	3.95	80.0	3.16
09/10/2018 01447670405	SZEWCZYK,	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.21	0.00	2.21	80.0	1.77
09/10/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV BALANCED CL C	B	0.00	1.49	0.00	1.49	80.0	1.19
09/10/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	1.52	0.00	1.52	80.0	1.22
09/10/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL A	B	0.00	0.44	0.00	0.44	80.0	0.35
09/10/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL C	B	0.00	2.53	0.00	2.53	80.0	2.02
09/10/2018 01465735031	NORRIS, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.81	0.00	0.81	80.0	0.65
09/10/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	30.75	0.00	30.75	80.0	24.60
09/10/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV FREEDOM INCOME CL	B	0.00	22.26	0.00	22.26	80.0	17.81
09/10/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
09/10/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL C	B	0.00	2.61	0.00	2.61	80.0	2.09
09/10/2018 01482972286	GARVOCK, BENE	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	4.23	0.00	4.23	80.0	3.38
09/10/2018 01483364202	DRAHUSHUK, ADAM	MFT FIDELITY ADV FREEDOM 2035 CL C	B	0.00	8.27	0.00	8.27	80.0	6.62

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09/10/2018	03900215447 NISSETYLEJ	MFT FIDELITY ADVISOR BALANCED CLASS B	B	0.00	3.98	0.00	3.98	80.0	3.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,255.47	\$0.00	\$2,255.47		\$1,804.34
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
08/30/2018	(None) BROWN, JULIE COTE	VAT SECURITY BENEFIT LIFE	B	0.00	18.11	0.00	18.11	80.0	14.49
08/30/2018	(None) F, CHARLES	VAT SECURITY BENEFIT LIFE	B	0.00	18.61	0.00	18.61	80.0	14.89
08/30/2018	(None) BROWN, KEVIN	VAT SECURITY BENEFIT LIFE	B	0.00	18.19	0.00	18.19	80.0	14.55
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$54.91	\$0.00	\$54.91		\$43.93
*** DIRECT TRANSACTIONS TOTAL ***				\$160.00	\$2,313.93	\$0.00	\$2,313.93		\$1,851.11

BROKER COMMISSION TOTALS

Broker Transactions	\$1,851.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,851.11
Year-to-date Commissions	\$62,779.79