

# COMMISSION STATEMENT October 31, 2018

RIX/RANDY SMITH/SMITH-D081

BROKER #: D081

BRANCH: DMA

, TX

**COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/02/2018 676365887	SMITH, RANDALL	S KIMCO REALTY CORP COM STK_(MD)	B	9,775.39	0.00	0.00	0.00	100.0	0.00
10/02/2018 676365887	SMITH, RANDALL	S MEDICAL PROPERTIES TRUST INC	B	14,652.45	0.00	0.00	0.00	100.0	0.00
10/02/2018 676365887	SMITH, RANDALL	S ROYAL DUTCH SHELL PLC-SPON ADR	B	19,967.46	0.00	0.00	0.00	100.0	0.00
10/02/2018 676365887	SMITH, RANDALL	S WP CAREY INC	B	15,919.95	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *				<b>\$60,315.25</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
10/01/2018 676346300	SMITH TTEE, RONALD	B CORPUS CHRISTI TX UTILITY SYSR	B	24,750.56	0.00	0.00	0.00	100.0	0.00
10/04/2018 676346300	SMITH TTEE, RONALD	B LA PORTE TX INDEP SCH DIST REF	B	25,114.95	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *				<b>\$49,865.51</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
10/09/2018 492642424	MCELROY TTEE, LETHA CD	DISCOVER BK GREENWOOD DE	B	50,000.00	0.00	0.00	0.00	100.0	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *				<b>\$50,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/19/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.00	0.00	0.00	100.0	0.00
10/19/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00	100.0	0.00
10/19/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00	100.0	0.00
10/19/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
10/19/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	0.00	0.00	0.00	100.0	0.00
10/19/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
*** CLEARING TRANSACTIONS TOTAL ***				<b>\$160,180.76</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>