

# COMMISSION STATEMENT

December 15, 2018

 KRISTINA HANLEY-D214  
 547 E Nottingham

 BROKER #: D214  
 BRANCH: DMN

San Antonio, TX 78209

**COMMISSION STATEMENT for KRISTINA HANLEY-D214**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/30/2018	00082331326	ANDREW GLASSFORD, MF	SMALLCAP WORLD FUND-A	B	50.00	1.88	0.00	1.88	85.0	1.60
11/30/2018	00082331326	ANDREW GLASSFORD, MF	THE NEW ECONOMY FUND-A	B	100.00	3.75	0.00	3.75	85.0	3.19
* MUTUAL FUNDS SUBTOTAL *					\$150.00	\$5.63	\$0.00	\$5.63		\$4.79
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/03/2018	00068350786	ANDREW GLASSFORD, MFT	AMCAP FUND-A	B	0.00	8.39	0.00	8.39	85.0	7.13
12/03/2018	00068350786	ANDREW GLASSFORD, MFT	SMALLCAP WORLD FUND-A	B	0.00	8.99	0.00	8.99	85.0	7.64
12/03/2018	00068350786	ANDREW GLASSFORD, MFT	THE NEW ECONOMY FUND-A	B	0.00	9.63	0.00	9.63	85.0	8.19
12/03/2018	00082331326	ANDREW GLASSFORD, MFT	AMCAP FUND-A	B	0.00	6.68	0.00	6.68	85.0	5.68
12/03/2018	00082331326	ANDREW GLASSFORD, MFT	SMALLCAP WORLD FUND-A	B	0.00	4.66	0.00	4.66	85.0	3.96
12/03/2018	00082331326	ANDREW GLASSFORD, MFT	THE NEW ECONOMY FUND-A	B	0.00	12.11	0.00	12.11	85.0	10.29
12/03/2018	00086872811	CLARK, JANIS	MFT AMERICAN BALANCED FUND-A	B	0.00	43.31	0.00	43.31	85.0	36.81
12/03/2018	00087037960	DIAL, PEGGY	MFT AMERICAN BALANCED FUND-A	B	0.00	11.96	0.00	11.96	85.0	10.17
12/03/2018	00087037970	SHEARER, STEPHEN	MFT AMERICAN BALANCED FUND-A	B	0.00	4.44	0.00	4.44	85.0	3.77
12/03/2018	00087447226	DIAL, PEGGY	MFT AMERICAN BALANCED FUND-A	B	0.00	237.09	0.00	237.09	85.0	201.53
12/03/2018	00088642183	EASLEY, CATHERINE	MFT AMCAP FUND-A	B	0.00	90.07	0.00	90.07	85.0	76.56
12/03/2018	00088642183	EASLEY, CATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	81.82	0.00	81.82	85.0	69.55
12/03/2018	00088642646	CATHERINE D	MFT AMCAP FUND-A	B	0.00	39.17	0.00	39.17	85.0	33.29
12/03/2018	00088642646	CATHERINE D	MFT AMERICAN BALANCED FUND-A	B	0.00	10.80	0.00	10.80	85.0	9.18
12/03/2018	00089233011	SCOTT A LENTS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.46	0.00	2.46	85.0	2.09
12/03/2018	04000594733	CLARK, JANIS	MFT AMERICAN BALANCED FUND-A	B	0.00	27.46	0.00	27.46	85.0	23.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$599.04	\$0.00	\$599.04		\$509.18
*** DIRECT TRANSACTIONS TOTAL ***					\$150.00	\$604.67	\$0.00	\$604.67		\$513.97

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ADJUSTMENTS TRANSACTIONSBROKER: #0

12/15/2018	:	2019 FINRA RENEWAL		0.00	0.00	0.00	0.00		-360.00
12/15/2018	:	2019 STATE BRANCH FEE		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-385.00</u>
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-385.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$513.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-385.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-120.42
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8.55
Year-to-date Commissions	\$1,695.28