

COMMISSION STATEMENT

September 15, 2018

ROBERT CALDWELL-D013
 2802 North Highland Avenue
 Suite A
 Jackson, TN 38305

BROKER #: D013
 BRANCH: DME

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/14/2018 (None)	SUMIDA	MF COLLEGE BOUND	B	100.00	3.50	0.00	3.50	90.0	3.15
08/27/2018 000000000227710	THOMAS,	MF FRANKLIN MODERATE ALLOCATION	B	100.00	1.00	0.00	1.00	90.0	0.90
08/27/2018 000000003822855	PATRICK, CHAD	MF MUTUAL SHRS FND - CL C	B	166.66	1.67	0.00	1.67	90.0	1.50
08/27/2018 00067541249	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
08/27/2018 00067549730	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
09/04/2018 00068087475	PULLIAM, RYAN	MF THE NEW ECONOMY FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
09/04/2018 00068087476	PULLIAM, RYAN	MF AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
08/28/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
08/28/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
09/07/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
09/07/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
08/27/2018 00073739173	BEARD, PATRICK	MF INVESTMENT COMPANY OF AMERICA	B	50.00	0.50	0.00	0.50	90.0	0.45
08/28/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	100.87	1.01	0.00	1.01	90.0	0.91
08/28/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	100.87	1.01	0.00	1.01	90.0	0.91
09/07/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	100.46	1.00	0.00	1.00	90.0	0.90
09/07/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	100.46	1.00	0.00	1.00	90.0	0.90
08/30/2018 00075317599	COPELAND, SUSAN	MF AMERICAN BALANCED FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
08/30/2018 00075317599	COPELAND, SUSAN	MF FUNDAMENTAL INVESTORS-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
08/30/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	30.78	0.62	0.00	0.62	90.0	0.56
08/30/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	82.08	1.64	0.00	1.64	90.0	1.48
08/30/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	5.43	0.11	0.00	0.11	90.0	0.10
08/30/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	14.49	0.29	0.00	0.29	90.0	0.26
08/30/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.12	0.56	0.00	0.56	90.0	0.50
08/30/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.13	0.56	0.00	0.56	90.0	0.50
08/30/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.13	0.56	0.00	0.56	90.0	0.50
08/30/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.12	0.56	0.00	0.56	90.0	0.50
08/30/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.57	0.23	0.00	0.23	90.0	0.21
08/30/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.56	0.23	0.00	0.23	90.0	0.21
08/30/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
08/30/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
08/30/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/30/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
08/30/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.56	0.23	0.00	0.23	90.0	0.21
08/30/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.57	0.23	0.00	0.23	90.0	0.21
08/30/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	29.71	0.30	0.00	0.30	90.0	0.27
08/30/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	99.04	0.99	0.00	0.99	90.0	0.89
08/30/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	34.99	0.35	0.00	0.35	90.0	0.32
08/30/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	34.99	0.35	0.00	0.35	90.0	0.32
08/30/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	39.27	0.39	0.00	0.39	90.0	0.35
08/30/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	39.27	0.39	0.00	0.39	90.0	0.35
08/30/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	10.09	0.10	0.00	0.10	90.0	0.09
08/30/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	16.82	0.17	0.00	0.17	90.0	0.15
08/30/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	11.77	0.12	0.00	0.12	90.0	0.11
08/30/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	19.61	0.20	0.00	0.20	90.0	0.18
08/30/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	11.77	0.12	0.00	0.12	90.0	0.11
08/30/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	19.62	0.20	0.00	0.20	90.0	0.18
08/30/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
08/30/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
08/30/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	49.50	0.50	0.00	0.50	90.0	0.45
08/30/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	49.50	0.50	0.00	0.50	90.0	0.45
08/30/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	37.46	0.37	0.00	0.37	90.0	0.33
08/30/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	37.46	0.37	0.00	0.37	90.0	0.33
08/30/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	25.25	0.25	0.00	0.25	90.0	0.23
08/30/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	25.25	0.25	0.00	0.25	90.0	0.23
08/30/2018 04000412607	HUFFSTETLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
08/30/2018 04000412607	HUFFSTETLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
* MUTUAL FUNDS SUBTOTAL *				\$2,773.47	\$39.49	\$0.00	\$39.49		\$35.57

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/31/2018 1011849420	JACKMAN, JENNIFER	VA PERSP L 08/11	B	69,198.12	57.67	0.00	57.67	90.0	51.90
08/31/2018 1011852102	JACKMAN, JENNIFER	VA ELITE ACCESS L	B	22,880.03	19.07	0.00	19.07	90.0	17.16
08/31/2018 1012316590	AVILA PENSION	VA PERSP L 08/11	B	554,651.00	462.21	0.00	462.21	90.0	415.99
08/31/2018 1014122890	LAMBERT, RONALD	VA PERSP L 08/11	B	89,896.56	74.91	0.00	74.91	90.0	67.42
08/31/2018 1014231907	UTLEY, MARTHA	VA PERSP L 08/11	B	298,459.39	248.72	0.00	248.72	90.0	223.85
08/31/2018 1015402721	LEFORGE, JUDY	VA PERSP L 08/11	B	44,450.42	37.04	0.00	37.04	90.0	33.34
08/31/2018 1015649484	WINTER, DONALD	VA PERSP L 08/11	B	80,240.50	66.87	0.00	66.87	90.0	60.18
08/31/2018 1015919945	NAVEL, FRIEDA	VA PERSP L 08/11	B	38,150.66	31.79	0.00	31.79	90.0	28.61
08/31/2018 1016060638	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	66,189.36	55.16	0.00	55.16	90.0	49.64
08/31/2018 1016060646	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	103,253.31	86.04	0.00	86.04	90.0	77.44
08/31/2018 1016060760	WILLIAMS, GLYNDA	VA ELITE ACCESS	B	6,813.13	5.68	0.00	5.68	90.0	5.11
08/31/2018 1016122048	WOODS, WILLIAM	VA PERSP L 08/11	B	125,843.05	104.87	0.00	104.87	90.0	94.38
08/31/2018 1016747269	BREEDEN TATE, MARY	VA ELITE ACCESS L	B	88,532.36	73.78	0.00	73.78	90.0	66.40
08/31/2018 1016835974	HOLLIDAY, REBECCA	VA ELITE ACCESS L	B	14,217.56	11.85	0.00	11.85	90.0	10.67
08/31/2018 1016922273	WILLIAMS, BRYAN	VA ELITE ACCESS L	B	13,031.76	10.86	0.00	10.86	90.0	9.77
08/31/2018 1017566284	WOODS, WILLIAM	VA ELITE ACCESS L	B	46,110.98	38.43	0.00	38.43	90.0	34.59

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08/31/2018	1018403044 WILLIAMS, CLAYTON	VA ELITE ACCESS L	B	10,010.43	8.34	0.00	8.34	90.0	7.51
08/31/2018	1018603060 MILTON, MARY	VA PERSP L 08/11	B	137,425.05	114.52	0.00	114.52	90.0	103.07
08/31/2018	1018757199 STANBERY, DALLAS	VA PERSP L 08/11	B	166,652.07	138.88	0.00	138.88	90.0	124.99
* VARIABLE ANNUITIES SUBTOTAL *				\$1,976,005.74	\$1,646.69	\$0.00	\$1,646.69		\$1,482.02

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	00057958417 SUSAN BREWER	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.80	0.00	1.80	90.0	1.62
09/04/2018	00058662923 COPELAND, SUSAN	MFT AMERICAN MUTUAL FUND-A	B	0.00	30.54	0.00	30.54	90.0	27.49
09/04/2018	00059764272 SUSAN BREWER	MFT THE NEW ECONOMY FUND-A	B	0.00	2.51	0.00	2.51	90.0	2.26
09/04/2018	00061719081 BRYANT, BILLY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.76	0.00	5.76	90.0	5.18
09/04/2018	00061719081 BRYANT, BILLY	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.51	0.00	3.51	90.0	3.16
09/04/2018	00061719081 BRYANT, BILLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.25	0.00	4.25	90.0	3.83
09/04/2018	00061719081 BRYANT, BILLY	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	4.72	0.00	4.72	90.0	4.25
09/04/2018	00061719081 BRYANT, BILLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.55	0.00	5.55	90.0	5.00
09/04/2018	00061719081 BRYANT, BILLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.10	0.00	6.10	90.0	5.49
09/04/2018	00062217377 RUDY W YOUNG	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.70	0.00	0.70	90.0	0.63
09/04/2018	00064454213 RACHEL B YOUNG	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.40	0.00	0.40	90.0	0.36
09/04/2018	00066945947 BRELAND, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.84	0.00	0.84	90.0	0.76
09/04/2018	00067100686 POTTS, KATHI	MFT AMERICAN BALANCED FUND-A	B	0.00	6.04	0.00	6.04	90.0	5.44
09/04/2018	00067226846 DATUIN, MARIA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.76	0.00	1.76	90.0	1.58
09/04/2018	00067226848 DATUIN, BENJAMIN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.77	0.00	0.77	90.0	0.69
09/04/2018	00067229195 STAFFORD, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	67.74	0.00	67.74	90.0	60.97
09/04/2018	00067274679 GREEN, PAULETTE	MFT AMERICAN BALANCED FUND-A	B	0.00	25.69	0.00	25.69	90.0	23.12
09/04/2018	00067398539 CAMPBELL, JENNIE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.03	0.00	0.03	90.0	0.03
09/04/2018	00067457687 TYLER, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	5.82	0.00	5.82	90.0	5.24
09/04/2018	00067457687 TYLER, DEBORAH	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.38	0.00	0.38	90.0	0.34
09/04/2018	00067457687 TYLER, DEBORAH	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	9.65	0.00	9.65	90.0	8.69
09/04/2018	00067494018 PARKER, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	3.54	0.00	3.54	90.0	3.19
09/04/2018	00067541249 PATRICK, CHAD	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.93	0.00	1.93	90.0	1.74
09/04/2018	00067541249 PATRICK, CHAD	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	11.66	0.00	11.66	90.0	10.49
09/04/2018	00067549730 PATRICK, CHAD	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.93	0.00	1.93	90.0	1.74
09/04/2018	00067549730 PATRICK, CHAD	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	11.66	0.00	11.66	90.0	10.49
09/04/2018	00067550076 REA, ANITA	MFT AMERICAN BALANCED FUND-A	B	0.00	136.15	0.00	136.15	90.0	122.54
09/04/2018	00067962932 TURNER, CINDY	MFT AMERICAN BALANCED FUND-A	B	0.00	14.74	0.00	14.74	90.0	13.27
09/04/2018	00068087474 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.02	0.00	2.02	90.0	1.82
09/04/2018	00068087474 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529C	B	0.00	19.38	0.00	19.38	90.0	17.44
09/04/2018	00068087474 PULLIAM, RYAN	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	5.42	0.00	5.42	90.0	4.88
09/04/2018	00068087474 PULLIAM, RYAN	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	1.57	0.00	1.57	90.0	1.41
09/04/2018	00068087474 PULLIAM, RYAN	MFT THE GROWTH FUND OF AMERICA-529C	B	0.00	17.98	0.00	17.98	90.0	16.18
09/04/2018	00068087475 PULLIAM, RYAN	MFT THE NEW ECONOMY FUND-529C	B	0.00	8.22	0.00	8.22	90.0	7.40
09/04/2018	00068087476 PULLIAM, RYAN	MFT AMCAP FUND-529A	B	0.00	2.82	0.00	2.82	90.0	2.54
09/04/2018	00068087476 PULLIAM, RYAN	MFT AMCAP FUND-529C	B	0.00	10.27	0.00	10.27	90.0	9.24
09/04/2018	00068087476 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.07	0.00	2.07	90.0	1.86
09/04/2018	00068087476 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529C	B	0.00	11.94	0.00	11.94	90.0	10.75

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09/04/2018	00068087476	PULLIAM, RYAN	MFT THE NEW ECONOMY FUND-529A	B	0.00	1.86	0.00	1.86	90.0	1.67
09/04/2018	00068087476	PULLIAM, RYAN	MFT THE NEW ECONOMY FUND-529C	B	0.00	19.62	0.00	19.62	90.0	17.66
09/04/2018	00068406534	HESTER, LANA	MFT AMERICAN BALANCED FUND-A	B	0.00	13.74	0.00	13.74	90.0	12.37
09/04/2018	00068424623	SMITH, THOMAS	MFT AMERICAN BALANCED FUND-A	B	0.00	3.15	0.00	3.15	90.0	2.84
09/04/2018	00068424625	GOWAN, MARY	MFT AMERICAN BALANCED FUND-A	B	0.00	4.58	0.00	4.58	90.0	4.12
09/04/2018	00068424625	GOWAN, MARY	MFT AMERICAN BALANCED FUND-C	B	0.00	12.68	0.00	12.68	90.0	11.41
09/04/2018	00068428272	DAVIS, PAULA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.93	0.00	0.93	90.0	0.84
09/04/2018	00068732412	LIPSHIE, SAMUEL	MFT AMERICAN BALANCED FUND-C	B	0.00	98.81	0.00	98.81	90.0	88.93
09/04/2018	00068732412	LIPSHIE, SAMUEL	MFT AMERICAN BALANCED FUND-F1	B	0.00	9.24	0.00	9.24	90.0	8.32
09/04/2018	00068735325	PLUNK, TAMMY	MFT AMERICAN BALANCED FUND-A	B	0.00	19.85	0.00	19.85	90.0	17.87
09/04/2018	00068735325	PLUNK, TAMMY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.43	0.00	7.43	90.0	6.69
09/04/2018	00068990281	VINCENT, JEFFREY	MFT AMERICAN BALANCED FUND-A	B	0.00	3.70	0.00	3.70	90.0	3.33
09/04/2018	00068990281	VINCENT, JEFFREY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	15.28	0.00	15.28	90.0	13.75
09/04/2018	00068990281	VINCENT, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.34	0.00	16.34	90.0	14.71
09/04/2018	00069032890	THORNTON, WILLIAM	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.52	0.00	0.52	90.0	0.47
09/04/2018	00069032892	BROWNING, BRANDON	MFT AMERICAN MUTUAL FUND-A	B	0.00	13.00	0.00	13.00	90.0	11.70
09/04/2018	00069106903	COPELAND, SUSAN	MFT AMERICAN BALANCED FUND-A	B	0.00	51.51	0.00	51.51	90.0	46.36
09/04/2018	00069106903	COPELAND, SUSAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.22	0.00	30.22	90.0	27.20
09/04/2018	00069106903	COPELAND, SUSAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	35.06	0.00	35.06	90.0	31.55
09/04/2018	00073207913	BLACK, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	9.36	0.00	9.36	90.0	8.42
09/04/2018	00073207913	BLACK, DEBORAH	MFT AMERICAN BALANCED FUND-C	B	0.00	52.42	0.00	52.42	90.0	47.18
09/04/2018	00073262594	ANGELL, DWAYNE	MFT AMERICAN BALANCED FUND-A	B	0.00	3.92	0.00	3.92	90.0	3.53
09/04/2018	00073262594	ANGELL, DWAYNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.20	0.00	5.20	90.0	4.68
09/04/2018	00073262594	ANGELL, DWAYNE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.09	0.00	4.09	90.0	3.68
09/04/2018	00073263858	SHARROW, ADRIENNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.11	0.00	0.11	90.0	0.10
09/04/2018	00073624246	KELLY, LARRY	MFT AMERICAN BALANCED FUND-A	B	0.00	11.16	0.00	11.16	90.0	10.04
09/04/2018	00073626752	SMITH, JEANINE	MFT AMERICAN BALANCED FUND-A	B	0.00	19.53	0.00	19.53	90.0	17.58
09/04/2018	00073626752	SMITH, JEANINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	13.80	0.00	13.80	90.0	12.42
09/04/2018	00073626752	SMITH, JEANINE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.46	0.00	2.46	90.0	2.21
09/04/2018	00073626752	SMITH, JEANINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	22.30	0.00	22.30	90.0	20.07
09/04/2018	00073626752	SMITH, JEANINE	MFT THE INVESTMENT COMPANY OF	B	0.00	9.42	0.00	9.42	90.0	8.48
09/04/2018	00073626752	SMITH, JEANINE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.17	0.00	9.17	90.0	8.25
09/04/2018	00073693893	HICKS,	MFT AMERICAN BALANCED FUND-A	B	0.00	5.70	0.00	5.70	90.0	5.13
09/04/2018	00073693893	HICKS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.13	0.00	1.13	90.0	1.02
09/04/2018	00073693893	HICKS,	MFT THE NEW ECONOMY FUND-A	B	0.00	1.15	0.00	1.15	90.0	1.04
09/04/2018	00073739173	BEARD, PATRICK	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	23.14	0.00	23.14	90.0	20.83
09/04/2018	00073739173	BEARD, PATRICK	MFT THE INVESTMENT COMPANY OF	B	0.00	5.63	0.00	5.63	90.0	5.07
09/04/2018	00073762177	JARRETT, MILTON	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.01	0.00	1.01	90.0	0.91
09/04/2018	00074314683	SHEFFIELD, ANGELA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.03	0.00	1.03	90.0	0.93
09/04/2018	00074399433	STAFFORD, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.50	0.00	5.50	90.0	4.95
09/04/2018	00074399433	STAFFORD, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.95	0.00	1.95	90.0	1.76
09/04/2018	00074399433	STAFFORD, KELLY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.54	0.00	5.54	90.0	4.99
09/04/2018	00074399433	STAFFORD, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.24	0.00	7.24	90.0	6.52
09/04/2018	00074694907	REYNOLDS, BETHANY	MFT AMERICAN BALANCED FUND-A	B	0.00	7.68	0.00	7.68	90.0	6.91

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00074694907	REYNOLDS, BETHANY	MFT AMERICAN BALANCED FUND-C	B	0.00	31.48	0.00	31.48	90.0	28.33
09/04/2018 00074830429	WHALEY, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	14.96	0.00	14.96	90.0	13.46
09/04/2018 00074830429	WHALEY, SHERRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.28	0.00	10.28	90.0	9.25
09/04/2018 00074830429	WHALEY, SHERRY	MFT THE INVESTMENT COMPANY OF	B	0.00	8.16	0.00	8.16	90.0	7.34
09/04/2018 00074841648	DARNELL, GAIL	MFT AMERICAN BALANCED FUND-A	B	0.00	15.21	0.00	15.21	90.0	13.69
09/04/2018 00074841648	DARNELL, GAIL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.96	0.00	6.96	90.0	6.26
09/04/2018 00074841648	DARNELL, GAIL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.88	0.00	8.88	90.0	7.99
09/04/2018 00074853025	RAY, SAMANTHA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.00	0.00	1.00	90.0	0.90
09/04/2018 00074853025	RAY, SAMANTHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.22	0.00	5.22	90.0	4.70
09/04/2018 00074856467	CARRIGAN, WENDI	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.42	0.00	0.42	90.0	0.38
09/04/2018 00074856467	CARRIGAN, WENDI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53	90.0	0.48
09/04/2018 00074856467	CARRIGAN, WENDI	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.39	0.00	0.39	90.0	0.35
09/04/2018 00074856467	CARRIGAN, WENDI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.28	0.00	0.28	90.0	0.25
09/04/2018 00074927499	PHILLIP M FILTZ	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.95	0.00	0.95	90.0	0.86
09/04/2018 00075317599	COPELAND, SUSAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	10.41	0.00	10.41	90.0	9.37
09/04/2018 00075317599	COPELAND, SUSAN	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	12.00	0.00	12.00	90.0	10.80
09/04/2018 00075976707	HIGGINS, CYNTHIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.90	0.00	4.90	90.0	4.41
09/04/2018 00075976707	HIGGINS, CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.27	0.00	6.27	90.0	5.64
09/04/2018 00075976707	HIGGINS, CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.43	0.00	6.43	90.0	5.79
09/04/2018 00081216385	ADAMSON, GAIL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.63	0.00	0.63	90.0	0.57
09/04/2018 00081216385	ADAMSON, GAIL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.79	0.00	0.79	90.0	0.71
09/04/2018 00081216385	ADAMSON, GAIL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.47	0.00	1.47	90.0	1.32
09/04/2018 00081559943	BONDURANT,	MFT CAPITAL WORLD GROWTH AND	B	0.00	9.62	0.00	9.62	90.0	8.66
09/04/2018 00081559943	BONDURANT,	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	13.25	0.00	13.25	90.0	11.93
09/04/2018 00081559943	BONDURANT,	MFT NEW PERSPECTIVE FUND-529A	B	0.00	12.43	0.00	12.43	90.0	11.19
09/04/2018 00081559943	BONDURANT,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	14.18	0.00	14.18	90.0	12.76
09/04/2018 00081840039	STAFFORD, JOHN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.19	0.00	7.19	90.0	6.47
09/04/2018 00081840039	STAFFORD, JOHN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	27.25	0.00	27.25	90.0	24.53
09/04/2018 00081840039	STAFFORD, JOHN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	24.12	0.00	24.12	90.0	21.71
09/04/2018 00081948467	EVANS, LULA	MFT AMERICAN BALANCED FUND-A	B	0.00	26.46	0.00	26.46	90.0	23.81
09/04/2018 00081948467	EVANS, LULA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.53	0.00	6.53	90.0	5.88
09/04/2018 00081948520	WRIGHT, SHELIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.09	0.00	0.09	90.0	0.08
09/04/2018 00081948520	WRIGHT, SHELIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.06	0.00	0.06	90.0	0.05
09/04/2018 00081948549	HINDS, MICHAEL	MFT AMERICAN BALANCED FUND-A	B	0.00	64.74	0.00	64.74	90.0	58.27
09/04/2018 00081948549	HINDS, MICHAEL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	49.84	0.00	49.84	90.0	44.86
09/04/2018 00081948549	HINDS, MICHAEL	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	45.16	0.00	45.16	90.0	40.64
09/04/2018 00081948660	FUZZELL, SHELIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.03	0.00	0.03	90.0	0.03
09/04/2018 00081948660	FUZZELL, SHELIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.02	0.00	0.02	90.0	0.02
09/04/2018 00081948685	SHELTON, LAURA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.31	0.00	3.31	90.0	2.98
09/04/2018 00081948685	SHELTON, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.94	0.00	2.94	90.0	2.65
09/04/2018 00081948685	SHELTON, LAURA	MFT NEW WORLD FUND-A	B	0.00	0.35	0.00	0.35	90.0	0.32
09/04/2018 00081948685	SHELTON, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.23	0.00	3.23	90.0	2.91
09/04/2018 00081948685	SHELTON, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	2.42	0.00	2.42	90.0	2.18
09/04/2018 00082608144	JOHNSON, VOINDA	MFT AMERICAN BALANCED FUND-A	B	0.00	25.40	0.00	25.40	90.0	22.86

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00082608144	JOHNSON, VOINDA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.64	0.00	6.64	90.0	5.98
09/04/2018 00083482016	PALM, CAITLIN	MFT AMERICAN BALANCED FUND-C	B	0.00	3.84	0.00	3.84	90.0	3.46
09/04/2018 00083482016	PALM, CAITLIN	MFT AMERICAN BALANCED FUND-F1	B	0.00	0.47	0.00	0.47	90.0	0.42
09/04/2018 00083482016	PALM, CAITLIN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.83	0.00	1.83	90.0	1.65
09/04/2018 00083482016	PALM, CAITLIN	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.19	0.00	0.19	90.0	0.17
09/04/2018 00083482016	PALM, CAITLIN	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.18	0.00	0.18	90.0	0.16
09/04/2018 00083482016	PALM, CAITLIN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	2.42	0.00	2.42	90.0	2.18
09/04/2018 00083601997	DAVIS, AMANDA	MFT AMERICAN BALANCED FUND-C	B	0.00	19.58	0.00	19.58	90.0	17.62
09/04/2018 00083601997	DAVIS, AMANDA	MFT AMERICAN BALANCED FUND-F1	B	0.00	0.34	0.00	0.34	90.0	0.31
09/04/2018 00084156213	GILLIAM,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.98	0.00	0.98	90.0	0.88
09/04/2018 00084156213	GILLIAM,	MFT NEW WORLD FUND-A	B	0.00	0.30	0.00	0.30	90.0	0.27
09/04/2018 00084156213	GILLIAM,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.91	0.00	1.91	90.0	1.72
09/04/2018 00085662984	SYMMES, DEBRA	MFT AMERICAN BALANCED FUND-A	B	0.00	25.12	0.00	25.12	90.0	22.61
09/04/2018 00085662984	SYMMES, DEBRA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	19.26	0.00	19.26	90.0	17.33
09/04/2018 00085662984	SYMMES, DEBRA	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	1.34	0.00	1.34	90.0	1.21
09/04/2018 00085663287	CHEATHAM, JACQUE	MFT BALANCED PORTFOLIO-A	B	0.00	40.26	0.00	40.26	90.0	36.23
09/04/2018 00087252487	MCMINN, LESA	MFT AMERICAN BALANCED FUND-C	B	0.00	3.20	0.00	3.20	90.0	2.88
09/04/2018 00087734807	JARRED, GINA	MFT AMERICAN BALANCED FUND-C	B	0.00	18.95	0.00	18.95	90.0	17.06
09/04/2018 00089213121	ADCOCK, JACKSON	MFT AMERICAN FUNDS 2060 TARGET	B	0.00	1.85	0.00	1.85	90.0	1.67
09/04/2018 00089597043	MCINTOSH, GINA	MFT GROWTH PORTFOLIO-C	B	0.00	5.28	0.00	5.28	90.0	4.75
09/04/2018 00089696651	ALEXANDER,	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.77	0.00	1.77	90.0	1.59
09/04/2018 00089696651	ALEXANDER,	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.23	0.00	2.23	90.0	2.01
09/04/2018 00089696651	ALEXANDER,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	2.54	0.00	2.54	90.0	2.29
09/04/2018 00089696666	STOOKSBERRY,	MFT AMERICAN BALANCED FUND-C	B	0.00	11.24	0.00	11.24	90.0	10.12
09/04/2018 00089696672	LAMB, TERESA	MFT AMERICAN BALANCED FUND-C	B	0.00	6.58	0.00	6.58	90.0	5.92
09/04/2018 00089788987	GARGUS, LORI	MFT AMERICAN BALANCED FUND-C	B	0.00	3.40	0.00	3.40	90.0	3.06
09/04/2018 00089980324	SMITH, TRISHA	MFT AMERICAN BALANCED FUND-C	B	0.00	2.06	0.00	2.06	90.0	1.85
09/10/2018 01486461963	GRIGGS, SHERRY	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	4.16	0.00	4.16	90.0	3.74
09/04/2018 04000020515	PLAN, SEP	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.25	0.00	6.25	90.0	5.63
09/04/2018 04000412607	HUFFSTETLER,	MFT AMERICAN BALANCED FUND-C	B	0.00	0.18	0.00	0.18	90.0	0.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,715.61	\$0.00	\$1,715.61		\$1,544.17
*** DIRECT TRANSACTIONS TOTAL ***				\$1,978,779.21	\$3,401.79	\$0.00	\$3,401.79		\$3,061.76

BROKER COMMISSION TOTALS

Broker Transactions	\$3,061.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,061.76
Year-to-date Commissions	\$43,289.42