

COMMISSION STATEMENT

September 30, 2018

 DEAN DIXON-D340
 1473 South Evanston Street

 BROKER #: D340
 BRANCH: DMN

Aurora, CO 80012

COMMISSION STATEMENT for DEAN DIXON-D340

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|-----------------------------------|-----------------|------------------|-----|-------------------------------|---------------------|--------------------|-------------------|--------|----------------------|------|---------|
| DIRECT TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 09/20/2018 | 000000009698489 | RICE, DONALD | MF | FRANKLIN INCOME FUND CLASS C | B | 250.00 | 2.50 | 0.00 | 2.50 | 65.0 | 1.63 |
| 09/10/2018 | 00088570140 | MCCOY, JOSEPHINE | MF | GROWTH AND INCOME PORTFOLIO-A | B | 61.75 | 3.09 | 0.00 | 3.09 | 65.0 | 2.01 |
| 09/10/2018 | 00088570140 | MCCOY, JOSEPHINE | MF | GROWTH AND INCOME PORTFOLIO-A | B | 150.00 | 7.50 | 0.00 | 7.50 | 65.0 | 4.88 |
| 09/10/2018 | 00088570147 | CHRISTOPHER, | MF | BALANCED PORTFOLIO-A | B | 60.99 | 3.05 | 0.00 | 3.05 | 65.0 | 1.98 |
| 09/10/2018 | 00088570147 | CHRISTOPHER, | MF | BALANCED PORTFOLIO-A | B | 75.00 | 3.75 | 0.00 | 3.75 | 65.0 | 2.44 |
| 09/10/2018 | 04001062521 | ELZEY, ANGELA | MF | GROWTH PORTFOLIO-A | B | 3.00 | 0.15 | 0.00 | 0.15 | 65.0 | 0.10 |
| 09/10/2018 | 04001062521 | ELZEY, ANGELA | MF | GROWTH PORTFOLIO-A | B | 300.00 | 15.00 | 0.00 | 15.00 | 65.0 | 9.75 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$900.74 | \$35.04 | \$0.00 | \$35.04 | | \$22.79 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | | \$900.74 | \$35.04 | \$0.00 | \$35.04 | | \$22.79 |

ADJUSTMENTS TRANSACTIONS

BROKER: #0

| | | | | | | |
|------------|--|--------|--------|--------|--------|----------|
| 09/30/2018 | : E-MAIL ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | -25.00 |
| | * SUBTOTAL * | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-25.00 |
| | *** ADJUSTMENTS TRANSACTIONS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-25.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$22.79 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-25.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|---------------------------------|-------------------|
| Balance Forward | \$-2.21 |
| Year-to-date Commissions | \$3,080.58 |