BROKER

All Companies

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

October 31, 2018

ROBERT STRAVINSKI

11384 HIGHBROOK COURT

POTOMAC FALLS, VA 20165

BROKER #: W13

GROSS

BRANCH: FULCRUM GROUP

CLEARING

NET

COMMISSION STATEMENT for ROBERT STRAVINSKI

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKE	R TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS	3							
10/10/2018 (None) VARIOUS, STRAVIN	SKI BLACKROCK BALANCED CAP FD CL A	A B	0.00	11.36	0.00	11.36	80.0	9.09
10/10/2018 (None) VARIOUS, STRAVIN	SKI BLACKROCK BALANCED CAP FD CL :	I В	0.00	502.40	0.00	502.40	80.0	401.92
10/15/2018 (None) VARIOUS, STRAVIN	SKI NATIONWIDE	В	0.00	20.76	0.00	20.76	80.0	16.61
10/15/2018 (None) VARIOUS, STRAVIN	SKI T ROWEPRICE	В	0.00	26.52	0.00	26.52	80.0	21.22
10/16/2018 (None) VARIOUS, STRAVIN	SKI AMERICAN CENTURY GROWTH FUND	INVEST B	0.00	11.92	0.00	11.92	80.0	9.54
10/22/2018 (None) VARIOUS, STRAVIN	SKI BALANCED A	В	0.00	8.73	0.00	8.73	80.0	6.98
10/22/2018 (None) VARIOUS, STRAVIN	SKI PIMCO FUNDS VARIOUS	В	0.00	48.97	0.00	48.97	80.0	39.18
10/10/2018 0	0061056926 LORENZEN, C	AROLYN EUROPACIFIC GROWTH FUND-A	В	50.00	1.00	0.00	1.00	80.0	0.80
10/10/2018 0	0061056926 LORENZEN, C	AROLYN NEW PERSPECTIVE FUND-A	В	50.00	1.00	0.00	1.00	80.0	0.80
10/10/2018 0	0061056926 LORENZEN, C	AROLYN THE INVESTMENT COMPANY OF AMER	RICA-A B	50.00	1.00	0.00	1.00	80.0	0.80
10/01/2018 0	0075318858 MARSHALL, K	ADEN AMCAP FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/01/2018 0	0075318858 MARSHALL, K	ADEN AMERICAN MUTUAL FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/01/2018 0	0075318858 MARSHALL, K	ADEN EUROPACIFIC GROWTH FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/01/2018 0	0082140659 MARSHALL, K	ADEN AMCAP FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/01/2018 0	0082140659 MARSHALL, K	ADEN AMERICAN MUTUAL FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/01/2018 0	0082140659 MARSHALL, K	ADEN EUROPACIFIC GROWTH FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	15.00	0.41	0.00	0.41	80.0	0.33
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	12.00	0.33	0.00	0.33	80.0	0.26
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND INCOM	ME FUN B	5.00	0.14	0.00	0.14	80.0	0.11
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND INCOM	ME FUN B	4.00	0.11	0.00	0.11	80.0	0.09
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER INTERMEDIATE BOND FUND OF AMER	RICA-A B	2.50	0.05	0.00	0.05	80.0	0.04
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER INTERMEDIATE BOND FUND OF AMER	RICA-A B	2.00	0.04	0.00	0.04	80.0	0.03
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER THE GROWTH FUND OF AMERICA-A	В	15.00	0.41	0.00	0.41	80.0	0.33
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER THE GROWTH FUND OF AMERICA-A	В	12.00	0.33	0.00	0.33	80.0	0.26
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER THE INVESTMENT COMPANY OF AME	RICA-A B	5.00	0.14	0.00	0.14	80.0	0.11
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER THE INVESTMENT COMPANY OF AME	RICA-A B	4.00	0.11	0.00	0.11	80.0	0.09
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER THE NEW ECONOMY FUND-A	В	5.00	0.14	0.00	0.14	80.0	0.11
09/25/2018 0	0082988425 RIGGLEMAN,	LUTHER THE NEW ECONOMY FUND-A	В	4.00	0.11	0.00	0.11	80.0	0.09
10/01/2018 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	15.00	0.41	0.00	0.41	80.0	0.33
	0082988425 RIGGLEMAN,		В	12.00	0.33	0.00	0.33	80.0	0.26
10/01/2018 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND INCOM	ME FUN B	5.00	0.14	0.00	0.14	80.0	0.11

TRADE DATE

CLIENT

11/13/2018 Page No.

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

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COMMISSION

2

BROKER

COMMISSION

RATE

INADE DATE CETENT		INVESTMENT	PHICONI	COMMIDDION	СПАКОН	COMMIDDION	IGHIL	COMMIDDION
		BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUN	DS							
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	4.00	0.11	0.00	0.11	80.0	0.09
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.50	0.05	0.00	0.05	80.0	0.04
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.00	0.04	0.00	0.04	80.0	0.03
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	THE GROWTH FUND OF AMERICA-A B	15.00	0.41	0.00	0.41	80.0	0.33
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	THE GROWTH FUND OF AMERICA-A B	12.00	0.33	0.00	0.33	80.0	0.26
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	5.00	0.14	0.00	0.14	80.0	0.11
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	4.00	0.11	0.00	0.11	80.0	0.09
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	THE NEW ECONOMY FUND-A B	5.00	0.14	0.00	0.14	80.0	0.11
10/01/2018 00082988425 RIGGLEMAN	, LUTHER	THE NEW ECONOMY FUND-A B	4.00	0.11	0.00	0.11	80.0	0.09
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	AMCAP FUND-A B	15.00	0.41	0.00	0.41	80.0	0.33
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	AMCAP FUND-A B	12.00	0.33	0.00	0.33	80.0	0.26
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	5.00	0.14	0.00	0.14	80.0	0.11
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	4.00	0.11	0.00	0.11	80.0	0.09
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.50	0.05	0.00	0.05	80.0	0.04
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.00	0.04	0.00	0.04	80.0	0.03
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	THE GROWTH FUND OF AMERICA-A B	15.00	0.41	0.00	0.41	80.0	0.33
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	THE GROWTH FUND OF AMERICA-A B	12.00	0.33	0.00	0.33	80.0	0.26
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	5.00	0.14	0.00	0.14	80.0	0.11
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	4.00	0.11	0.00	0.11	80.0	0.09
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	THE NEW ECONOMY FUND-A B	5.00	0.14	0.00	0.14	80.0	0.11
10/08/2018 00082988425 RIGGLEMAN	, LUTHER	THE NEW ECONOMY FUND-A B	4.00	0.11	0.00	0.11	80.0	0.09
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	AMCAP FUND-A B	15.00	0.41	0.00	0.41	80.0	0.33
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	AMCAP FUND-A B	12.00	0.33	0.00	0.33	80.0	0.26
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	5.00	0.14	0.00	0.14	80.0	0.11
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	4.00	0.11	0.00	0.11	80.0	0.09
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.50	0.05	0.00	0.05	80.0	0.04
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.00	0.04	0.00	0.04	80.0	0.03
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	THE GROWTH FUND OF AMERICA-A B	15.00	0.41	0.00	0.41	80.0	0.33
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	THE GROWTH FUND OF AMERICA-A B	12.00	0.33	0.00	0.33	80.0	0.26
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	5.00	0.14	0.00	0.14	80.0	0.11
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	4.00	0.11	0.00	0.11	80.0	0.09
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	THE NEW ECONOMY FUND-A B	5.00	0.14	0.00	0.14	80.0	0.11
10/15/2018 00082988425 RIGGLEMAN	, LUTHER	THE NEW ECONOMY FUND-A B	4.00	0.11	0.00	0.11	80.0	0.09
10/18/2018 00082988425 RIGGLEMAN	, LUTHER	AMCAP FUND-A B	15.00	0.41	0.00	0.41	80.0	0.33
10/18/2018 00082988425 RIGGLEMAN	, LUTHER	AMCAP FUND-A B	12.00	0.33	0.00	0.33	80.0	0.26
10/18/2018 00082988425 RIGGLEMAN	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	5.00	0.14	0.00	0.14	80.0	0.11
	, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	4.00	0.11	0.00	0.11	80.0	0.09
10/18/2018 00082988425 RIGGLEMAN	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	2.50	0.05	0.00	0.05	80.0	0.04
		INTERMEDIATE BOND FUND OF AMERICA-A B	2.00	0.04	0.00		80.0	0.03
10/18/2018 00082988425 RIGGLEMAN			15.00	0.41	0.00		80.0	0.33

Page No. 3 11/13/2018

INVESTMENT

GROSS

CLEARING

NET

BROKER

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TE	RANSA	ACTIONS					
PRODUCT CAI	regory: Mutual F	UNDS								
10/18/2018 00	0082988425 RIGGLEM	IAN, LUTHER	THE GROWTH FUND OF AMERICA-A	В	12.00	0.33	0.00	0.33	80.0	0.26
10/18/2018 00	0082988425 RIGGLEM	IAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	5.00	0.14	0.00	0.14	80.0	0.11
10/18/2018 00	0082988425 RIGGLEM	IAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	4.00	0.11	0.00	0.11	80.0	0.09
10/18/2018 00	0082988425 RIGGLEM	IAN, LUTHER	THE NEW ECONOMY FUND-A	В	5.00	0.14	0.00	0.14	80.0	0.11
10/18/2018 00	0082988425 RIGGLEM	IAN, LUTHER	THE NEW ECONOMY FUND-A	В	4.00	0.11	0.00	0.11	80.0	0.09
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	AMCAP FUND-A	В	10.00	0.28	0.00	0.28	80.0	0.22
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	AMCAP FUND-A	В	8.00	0.22	0.00	0.22	80.0	0.18
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	CAPITAL INCOME BUILDER-A	В	10.00	0.28	0.00	0.28	80.0	0.22
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	CAPITAL INCOME BUILDER-A	В	8.00	0.22	0.00	0.22	80.0	0.18
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	5.00	0.14	0.00	0.14	80.0	0.11
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	4.00	0.11	0.00	0.11	80.0	0.09
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	SMALLCAP WORLD FUND-A	В	5.00	0.14	0.00	0.14	80.0	0.11
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	SMALLCAP WORLD FUND-A	В	4.00	0.11	0.00	0.11	80.0	0.09
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	12.50	0.34	0.00	0.34	80.0	0.27
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	10.00	0.28	0.00	0.28	80.0	0.22
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	7.50	0.21	0.00	0.21	80.0	0.17
09/25/2018 00	0082994053 SMITH,	CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	6.00	0.17	0.00	0.17	80.0	0.14
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	AMCAP FUND-A	В	10.00	0.28	0.00	0.28	80.0	0.22
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	AMCAP FUND-A	В	8.00	0.22	0.00	0.22	80.0	0.18
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	CAPITAL INCOME BUILDER-A	В	10.00	0.28	0.00	0.28	80.0	0.22
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	CAPITAL INCOME BUILDER-A	В	8.00	0.22	0.00	0.22	80.0	0.18
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	5.00	0.14	0.00	0.14	80.0	0.11
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	4.00	0.11	0.00	0.11	80.0	0.09
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	SMALLCAP WORLD FUND-A	В	5.00	0.14	0.00	0.14	80.0	0.11
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	SMALLCAP WORLD FUND-A	В	4.00	0.11	0.00	0.11	80.0	0.09
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	12.50	0.34	0.00	0.34	80.0	0.27
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	10.00	0.28	0.00	0.28	80.0	0.22
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	7.50	0.21	0.00	0.21	80.0	0.17
10/01/2018 00	0082994053 SMITH,	CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	6.00	0.17	0.00	0.17	80.0	0.14
10/08/2018 00	0082994053 SMITH,	CHARLOTTE	AMCAP FUND-A	В	10.00	0.28	0.00	0.28	80.0	0.22
10/08/2018 00	0082994053 SMITH,	CHARLOTTE	AMCAP FUND-A	В	8.00	0.22	0.00	0.22	80.0	0.18
10/08/2018 00	0082994053 SMITH,	CHARLOTTE	CAPITAL INCOME BUILDER-A	В	10.00	0.28	0.00	0.28	80.0	0.22
	0082994053 SMITH,	CHARLOTTE	CAPITAL INCOME BUILDER-A	В	8.00	0.22	0.00		80.0	0.18
10/08/2018 00	0082994053 SMITH,	CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	5.00	0.14	0.00		80.0	0.11
10/08/2018 00	0082994053 SMITH,	CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	4.00	0.11	0.00		80.0	0.09
	0082994053 SMITH,		SMALLCAP WORLD FUND-A	В	5.00	0.14	0.00		80.0	0.11
10/08/2018 00	,			В	4.00	0.11	0.00		80.0	0.09
	0082994053 SMITH.	CHARLOIIE	SMALLCAP WORLD FUND-A							
10/08/2018 00	0082994053 SMITH, 0082994053 SMITH,		SMALLCAP WORLD FUND-A THE GROWTH FUND OF AMERICA-A	В		0.34	0.00	0.34	80.0	0.27
	,	CHARLOTTE	THE GROWTH FUND OF AMERICA-A THE GROWTH FUND OF AMERICA-A		12.50 10.00	0.34	0.00		80.0	0.27 0.22

COMMISSION STATEMENT for ROBERT STRAVINSKI

INVESTMENT

TRADE DATE

CLIENT

	DDOWED MD11/41/6	TTONG				
	BROKER TRANSAC	TIONS				
PRODUCT CATEGORY: MUTUAL FUNDS						
10/08/2018 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	6.00	0.17	0.00	0.17 80.0	0.1
L0/15/2018 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A B	10.00	0.28	0.00	0.28 80.0	0.2
10/15/2018 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A B	8.00	0.22	0.00	0.22 80.0	0.18
L0/15/2018 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A B	10.00	0.28	0.00	0.28 80.0	0.2
.0/15/2018 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A B	8.00	0.22	0.00	0.22 80.0	0.18
L0/15/2018 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A B	5.00	0.14	0.00	0.14 80.0	0.1
.0/15/2018 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A B	4.00	0.11	0.00	0.11 80.0	0.0
.0/15/2018 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A B	5.00	0.14	0.00	0.14 80.0	0.1
.0/15/2018 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A B	4.00	0.11	0.00	0.11 80.0	0.0
.0/15/2018 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A B	12.50	0.34	0.00	0.34 80.0	0.2
0/15/2018 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A B	10.00	0.28	0.00	0.28 80.0	0.2
.0/15/2018 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	7.50	0.21	0.00	0.21 80.0	0.1
.0/15/2018 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	6.00	0.17	0.00	0.17 80.0	0.1
.0/18/2018 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A B	10.00	0.28	0.00	0.28 80.0	0.2
0/18/2018 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A B	8.00	0.22	0.00	0.22 80.0	0.1
0/18/2018 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A B	10.00	0.28	0.00	0.28 80.0	0.2
0/18/2018 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A B	8.00	0.22	0.00	0.22 80.0	0.1
0/18/2018 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A B	5.00	0.14	0.00	0.14 80.0	0.1
0/18/2018 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A B	4.00	0.11	0.00	0.11 80.0	0.0
0/18/2018 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A B	5.00	0.14	0.00	0.14 80.0	0.1
0/18/2018 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A B	4.00	0.11	0.00	0.11 80.0	0.0
0/18/2018 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A B	12.50	0.34	0.00	0.34 80.0	0.2
0/18/2018 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A B	10.00	0.28	0.00	0.28 80.0	0.2
0/18/2018 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	7.50	0.21	0.00	0.21 80.0	0.1
0/18/2018 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	6.00	0.17	0.00	0.17 80.0	0.1
0/22/2018 00085961816 MARSHALL, MYRA	NEW PERSPECTIVE FUND-529A B	50.00	1.38	0.00	1.38 80.0	1.1
0/22/2018 00085961816 MARSHALL, MYRA	NEW WORLD FUND-529A B	50.00	1.38	0.00	1.38 80.0	1.1
0/22/2018 00085961816 MARSHALL, MYRA	THE NEW ECONOMY FUND-529A B	50.00	1.38	0.00	1.38 80.0	1.1
9/25/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A B	5.00	0.14	0.00	0.14 80.0	0.1
9/25/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A B	2.80	0.08	0.00	0.08 80.0	0.0
9/25/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106 B	5.00	0.14	0.00	0.14 80.0	0.1
9/25/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106 B	2.80	0.08	0.00	0.08 80.0	0.0
9/25/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A B	5.00	0.14	0.00	0.14 80.0	0.1
9/25/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A B	2.80	0.08	0.00	0.08 80.0	0.0
9/25/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A B	7.50	0.21	0.00	0.21 80.0	0.1
9/25/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A B	4.20	0.12	0.00	0.12 80.0	0.1
9/25/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A B	15.00	0.41	0.00	0.41 80.0	0.3
9/25/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A B	8.40	0.23	0.00	0.23 80.0	0.1
9/25/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A B	5.00	0.14	0.00	0.14 80.0	0.1
09/25/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A B	2.80	0.08	0.00	0.08 80.0	0.0

INVESTMENT

AMOUNT

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COMMISSION

CLEARING

CHARGE

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COMMISSION

Page No.

RATE

BROKER

COMMISSION

4

TRADE DATE

CLIENT

11/13/2018 Page No. 5

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

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BROKER

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09.252/018 00087287645 GILLESPIE, JASON MENICAN BLANCED FUND A B 5.00 0.14 0.00 0.14 80.0 0.10 10/01/2018 00087287645 GILLESPIE, JASON MENICAN BLANCED FUND A B 5.00 0.14 0.00 0.14 80.0 0.10 10/01/2018 00087287645 GILLESPIE, JASON MENICAN BLANCED FUND A B 5.00 0.14 0.00 0.14 80.0 0.0 0.00 0.00 0.00 0.00 0.00 0.00								
09/25/2018 00087267645 GILLESTE, JASON THE GROWTH FUND OF AMERICA A B 4.20 0.12 0.00 0.12 80.0 0.10 0.75 80.0 0.75 80.0 0.75 80.0 0.75 80.0 0.12 0.00 0.12 80.0 0.12 0.00 0.12 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.13 80.0 0.14 80.0 0.13 80.0 0.14 80.0 0.13 80.0 0.14 80.0 0.14 80.0 0.14 80.0 0.15 8		BROKER	TRANSAC	<u>rions</u>				
18/23/2018 00087287645 GILLESPIE, JASON MERICAN-MERICAN-MERICAN-MERICAN-MERICAN BLOOP JUNE-TO-MERICAN-MERICAN BLOOP JUNE-TO-MERICAN	PRODUCT CATEGORY: MUTUAL FUNDS							
10.701/2018 000873267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.0 0.00 0.00	09/25/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21 80.0	0.17
10/01/2018 00087267645 GILLESPIE, JASON MURRICAN FUNDS 026294106 B 5.00 0.04 0.00 0.04 80.0 0.0 0.1 10/01/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 026294106 B 5.00 0.08 0.00 0.08 80.0 0.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 026294106 B 5.00 0.08 0.00 0.04 80.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 026294106 B 5.00 0.08 0.00 0.04 80.0 0.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON NEW FERSECTIVE FUNDS A B 5.00 0.08 0.00 0.02 80.0 0.0 0.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON NEW FERSECTIVE FUNDS A B 4.20 0.12 0.00 0.21 80.0 0.0 0.1 10/01/2018 00087267645 GILLESPIE, JASON NEW FERSECTIVE FUNDS A B 4.20 0.12 0.00 0.21 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON SMALICAP WORLD FUNDS A B 15.00 0.41 0.00 0.41 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE ROWN FUNDS AMERICAN B B 15.00 0.41 0.00 0.41 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE ROWN FUNDS AMERICAN B 5.00 0.14 0.00 0.01 0.01 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE ROWN FUND OF AMERICAN B 5.00 0.14 0.00 0.00 0.01 80.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON THE ROWN FUND OF AMERICAN B 5.00 0.14 0.00 0.00 0.01 80.0 0.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON THE ROWN FUND OF AMERICAN B 5.00 0.01 0.00 0.01 80.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	09/25/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.20	0.12	0.00	0.12 80.0	0.10
11/01/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629%106 B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 0.00 0.08 0.00 0.08 0.00 0.08 0.00 0.08 0.00 0.00 0.08 0.00	10/01/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/01/2018 00087267645 GILLESPIE, JASON AMERICAN FINDS 02629W105 B 2.80 0.08 0.00 0.08 80.0 0.0 0.00 0.08 80.0 0.0	10/01/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/01/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 0.10/12/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 7.50 0.21 0.00 0.01 80.0 0.0 0.1 0.0 0.1 0.0 0.0 0.1 0.0 0.0	10/01/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	5.00	0.14	0.00	0.14 80.0	0.11
10/01/2018 00087267645 GILLESPIE, JASON MEMPERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.0 0.00 0.00 0.00 0.00 0.00 0.00	10/01/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.80	0.08	0.00	0.08 80.0	0.06
10/01/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1	10/01/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/01/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND—A B 15.00 0.41 0.00 0.41 80.0 0.10 0/10/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND—A B 15.00 0.41 0.00 0.41 80.0 0.3 0/10/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND—A B 8.40 0.3 0.0 0.41 80.0 0.4 0.00 0.44 80.0 0.3 0/10/2018 00087267645 GILLESPIE, JASON THE GOOTH FUND OF AMERICA—A B 5.00 0.4 0.00 0.4 80.0 0.0 0.00 0.00 0.00	10/01/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/01/2018 00087267645 GILLESPIE, JASON SMALICAP NORLD FUND—A B 8.40 0.23 0.00 0.23 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA—A B 5.00 0.14 0.00 0.23 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA—A B 5.00 0.14 0.00 0.28 80.0 0.00 10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA—A B 7.50 0.21 0.00 0.21 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA—A B 7.50 0.21 0.00 0.21 80.0 0.3 10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA—A B 4.20 0.12 0.00 0.12 80.0 0.3 10/09/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND—A B 5.00 0.14 0.00 0.14 80.0 0.3 10/09/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 026299106 B 5.00 0.14 0.00 0.08 80.0 0.0 0.0 0.08 80.0 0.0 0.	10/01/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	7.50	0.21	0.00	0.21 80.0	0.17
10/01/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 8.40 0.23 0.00 0.23 80.0 0.1 01/01/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.00 0.08 80.0 0.0 10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.21 80.0 0.1 10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON NEW FUNDS 02629W106 B 5.00 0.00 0.08 80.0 0.00 0.08 80.0 0.00 10/08/2018 00087267645 GILLESPIE, JASON NEW FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON NEW FUNDS 02629W106 B 5.00 0.00 0.00 0.08 80.0 0.00 10/08/2018 00087267645 GILLESPIE, JASON NEW FUNDS 02629W106 B 5.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/01/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.20	0.12	0.00	0.12 80.0	0.10
10/01/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.10 0/10/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 7.50 0.21 0.00 0.08 80.0 0.0 0.00 0.10 0/10/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.12 80.0 0.10 0/10/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.14 0.00 0.12 80.0 0.10 0/10/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.01 80.0 0.10 0/10/2018 00087267645 GILLESPIE, JASON AMERICAN FUND OF AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.09 80.0 0.00 0.14 80.0 0.10 0/10/2018 00087267645 GILLESPIE, JASON AMERICAN FUND OF AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.00 0.08 80.0 0.00 0.00	10/01/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	15.00	0.41	0.00	0.41 80.0	0.33
10/01/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.0 0.00 0.00	10/01/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.40	0.23	0.00	0.23 80.0	0.18
10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.10 10/01/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.18 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BLANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.00 0.08 80.0 0.00 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.10 0.00 0.00 0.00 0.00 0.00 0.0	10/01/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.12 80.0 0.10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.00 0	10/01/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.10 0/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 0.00 0.00 0.08 0.00 0.00 0.08 0.00 0.0	10/01/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21 80.0	0.17
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.00 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.00	10/01/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.20	0.12	0.00	0.12 80.0	0.10
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 2.80 0.08 0.00 0.01 0.02 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 8.40 0.23 0.00 0.23 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 2.80 0.08 0.00 0.01 0.12 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 2.80 0.08 0.00 0.01 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 2.80 0.08 0.00 0.01 0.01 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.01 0.01 80.0 0.01 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.01 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.0	10/08/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.00 0.08 80.0 0.00	10/08/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.14 0.00 0.41 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND-A B 8.40 0.23 0.00 0.23 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND-A B 5.00 0.14 0.00 0.14 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.00 0.00 0.00 0.00 880.0 0.00 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.00 0.14 80.0 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	10/08/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	5.00	0.14	0.00	0.14 80.0	0.11
10/08/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 8.40 0.23 0.00 0.23 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/18/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.12 80.0 0.01 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.12 0.00 0.21 80.0 0.01 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.12 0.00 0.12 80.0 0.01 0.10	10/08/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.80	0.08	0.00	0.08 80.0	0.06
10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 8.40 0.23 0.00 0.23 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.0 110/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.12 0.00 0.41 0.00 0.41 80.0 0.1 10/15/2018 0	10/08/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/08/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 8.40 0.23 0.00 0.23 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.08 80.0 0.00 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.11 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.41 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 5.00 0.41 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A	10/08/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/08/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.21 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.41 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.10 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.10 0.10 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.10 0.10 10/15/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.12 80.0 0.10 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 7.50 0.14 0.00 0.14 80.0 0.10 0.15 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 7.50 0.14 0.00 0.14 80.0 0.10 0.15 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 7.50 0.14 0.00 0.14 80.0 0.10 0.15 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 7.50 0.14 0.00 0.14 80.0 0.10 0.15 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 7.50 0.21 0.00 0.14 80.0 0.10 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 7.50 0.21 0.00 0.14 80.0 0.10 0.10 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 7.50 0.21 0.00 0.12 80.0 0.12 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.12 80.0 0.11 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.12 80.0 0.11 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.12 80.0 0.12 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.41 80.0 0.12 80.0 0.11 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.41 80.0 0.12 80.0 0.12 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.41 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12 80.0 0.12	10/08/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	7.50	0.21	0.00	0.21 80.0	0.17
10/08/2018 00087267645 GILLESPIE, JASON	10/08/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.20	0.12	0.00	0.12 80.0	0.10
10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.41 80.0 0.1 10/15/2018 00087267645 GILLESPIE	10/08/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	15.00	0.41	0.00	0.41 80.0	0.33
10/08/2018 00087267645 GILLESPIE, JASON THE BOND FUND OF AMERICA-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.0	10/08/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.40	0.23	0.00	0.23 80.0	0.18
10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.0 0.08 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.0 0.08 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3	10/08/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/08/2018 00087267645 GILLESPIE, JASON THE GROWTH FUND OF AMERICA-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 0.00 0.41 80.0 0.3 10/15/2018	10/08/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 5.00 0.14 0.00 0.14 80.0 0.10 0.15 0.00 0.14 80.0 0.10 0.15 0.00 0.00 0.00 0.00 0.00 0	10/08/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21 80.0	0.17
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN BALANCED FUND-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 0.15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.14 80.0 0.10 0.15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.14 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.0	10/08/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.20	0.12	0.00	0.12 80.0	0.10
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 5.00 0.14 0.00 0.14 80.0 0.10 0/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.00 0.14 80.0 0.10 0/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.14 0/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.11 0/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.11 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.31 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.41 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0/15/2018 00087267645 GILLESPIE, JASON SMALLCA	10/15/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	5.00	0.14	0.00	0.14 80.0	0.11
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN FUNDS 02629W106 B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.10 0.15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.08 80.0 0.00 0.15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.30 0.30 0.30 0.30 0.30 0.30 0.30	10/15/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.80	0.08	0.00	0.08 80.0	0.06
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 5.00 0.14 0.00 0.14 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.0 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3	10/15/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	5.00	0.14	0.00	0.14 80.0	0.11
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.08 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3	10/15/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.80	0.08	0.00	0.08 80.0	0.06
10/15/2018 00087267645 GILLESPIE, JASON AMERICAN HIGH-INCOME TRUST-A B 2.80 0.08 0.00 0.08 80.0 0.00 0.01 0.01 0	10/15/2018 00087267645 GILLESPIE, JASON		В					0.11
10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 7.50 0.21 0.00 0.21 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3	10/15/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В					0.06
10/15/2018 00087267645 GILLESPIE, JASON NEW PERSPECTIVE FUND-A B 4.20 0.12 0.00 0.12 80.0 0.1 10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3	10/15/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A						0.17
10/15/2018 00087267645 GILLESPIE, JASON SMALLCAP WORLD FUND-A B 15.00 0.41 0.00 0.41 80.0 0.3	10/15/2018 00087267645 GILLESPIE, JASON							0.10
								0.33
., .,	10/15/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.40	0.23	0.00	0.23 80.0	0.18

TRADE DATE

CLIENT

11/13/2018 Page No.

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

6

BROKER

COMMISSION

RATE

	BDOKED TD	ANGAC	TTONS						
BROKER TRANSACTIONS PODICT CATEGORY: MITTIAL FINDS									
PRODUCT CATEGORY: MUTUAL FUNDS									
0/15/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	5.00	0.14	0.00	0.14 80.0	0.11		
0/15/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.80	0.08	0.00	0.08 80.0	0.06		
0/15/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21 80.0	0.1		
0/15/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.20	0.12	0.00	0.12 80.0	0.10		
0/18/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	5.00	0.14	0.00	0.14 80.0	0.1		
0/18/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.80	0.08	0.00	0.08 80.0	0.0		
0/18/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	5.00	0.14	0.00	0.14 80.0	0.13		
0/18/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.80	0.08	0.00	0.08 80.0	0.06		
0/18/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	5.00	0.14	0.00	0.14 80.0	0.11		
0/18/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.80	0.08	0.00	0.08 80.0	0.06		
0/18/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	7.50	0.21	0.00	0.21 80.0	0.17		
0/18/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.20	0.12	0.00	0.12 80.0	0.10		
0/18/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	15.00	0.41	0.00	0.41 80.0	0.33		
0/18/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.40	0.23	0.00	0.23 80.0	0.18		
0/18/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	5.00	0.14	0.00	0.14 80.0	0.13		
0/18/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.80	0.08	0.00	0.08 80.0	0.0		
0/18/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21 80.0	0.1		
0/18/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.20	0.12	0.00	0.12 80.0	0.1		
9/25/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69 80.0	0.5		
9/25/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	27.30	0.75	0.00	0.75 80.0	0.6		
0/01/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69 80.0	0.5		
0/01/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	19.50	0.54	0.00	0.54 80.0	0.43		
0/08/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69 80.0	0.5		
0/08/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	19.50	0.54	0.00	0.54 80.0	0.43		
0/15/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69 80.0	0.55		
0/15/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	23.40	0.64	0.00	0.64 80.0	0.51		
0/18/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69 80.0	0.55		
0/18/2018 00087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	23.40	0.64	0.00	0.64 80.0	0.53		
0/01/2018 00088550230 SWANSON,	AMCAP FUND-A	В	100.00	2.75	0.00	2.75 80.0	2.20		
0/01/2018 00088550230 SWANSON,	AMCAP FUND-A	В	37.50	1.03	0.00	1.03 80.0	0.8		
0/01/2018 00088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	50.00	1.38	0.00	1.38 80.0	1.1		
0/01/2018 00088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	18.75	0.52	0.00	0.52 80.0	0.43		
0/01/2018 00088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	18.75	0.52	0.00	0.52 80.0	0.4		
0/01/2018 00088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	50.00	1.38	0.00	1.38 80.0	1.1		
0/01/2018 00088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	100.00	2.75	0.00	2.75 80.0	2.2		
0/01/2018 00088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	37.50	1.03	0.00	1.03 80.0	0.8		
0/01/2018 00088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	125.00	3.44	0.00	3.44 80.0	2.7		
0/01/2018 00088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	46.87	1.29	0.00	1.29 80.0	1.0		
0/01/2018 00088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	75.00	2.06	0.00	2.06 80.0	1.6		
0/01/2018 00088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	28.13	0.77	0.00	0.77 80.0	0.6		

TRADE DATE

CLIENT

11/13/2018 Page No. 7

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

BROKER

RATE COMMISSION

TRADE DATE	CLIENI	INAESIWEMI	ט / ט	AMOUNT	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
		BROKER TR	ANSA	CTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS								
10/15/2018 0	0088550230 SWANSON,	AMCAP FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.82
10/15/2018 0	0088550230 SWANSON,	AMCAP FUND-A	В	100.00	2.75	0.00	2.75	80.0	2.20
10/15/2018 0	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	18.75	0.52	0.00	0.52	80.0	0.42
10/15/2018 0	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/15/2018 0	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	18.75	0.52	0.00	0.52	80.0	0.42
10/15/2018 0	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	50.00	1.38	0.00	1.38	80.0	1.10
10/15/2018 0	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.82
10/15/2018 0	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	100.00	2.75	0.00	2.75	80.0	2.20
10/15/2018 0	0088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	46.87	1.29	0.00	1.29	80.0	1.03
10/15/2018 0	0088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	125.00	3.44	0.00	3.44	80.0	2.75
10/15/2018 0	0088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	28.13	0.77	0.00	0.77	80.0	0.62
10/15/2018 0	0088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	75.00	2.06	0.00	2.06	80.0	1.65
10/23/2018 0	1000038174 VIRGINIA TRAVEL	AIM CHARTER FUND - CLASS A	В	504.20	2.52	0.00	2.52	80.0	2.02
10/23/2018 0	1000038174 VIRGINIA TRAVEL	AIM FUND	В	196.00	0.98	0.00	0.98	80.0	0.78
10/23/2018 0	1000038174 VIRGINIA TRAVEL	AIM GLOBAL HEALTH CARE FUND CLASS A	AВ	348.50	1.74	0.00	1.74	80.0	1.39
10/23/2018 0	1000038174 VIRGINIA TRAVEL	AIM MID-CAP EQUITY FUND CLASS A	В	118.50	0.59	0.00	0.59	80.0	0.47
10/23/2018 0	1000038174 VIRGINIA TRAVEL	INVESCO AMERICAN FRANCHISE FUND CLA	AВ	1,052.80	5.26	0.00	5.26	80.0	4.21
10/23/2018 0	1000038174 VIRGINIA TRAVEL	INVESCO EQUITY & INCOME FD	В	40.00	0.20	0.00	0.20	80.0	0.16
10/23/2018 0	1000038174 VIRGINIA TRAVEL	INVESCO VALUE OPPORTUNITIES CLASS A	AВ	80.00	0.40	0.00	0.40	80.0	0.32
10/01/2018 0	4000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE-A	В	66.25	1.82	0.00	1.82	80.0	1.46
10/01/2018 0	4000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE-A	В	154.58	4.25	0.00	4.25	80.0	3.40
10/15/2018 0	4000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE-A	В	154.58	4.25	0.00	4.25	80.0	3.40
10/15/2018 0	4000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE-A	В	66.25	1.82	0.00	1.82	80.0	1.46
09/25/2018 0	4000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
09/25/2018 0	4000615610 VARIOUS,	02630R203	В	7.52	0.21	0.00	0.21	80.0	0.17
09/25/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (ЗB	10.00	0.28	0.00	0.28	80.0	0.22
09/25/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (ЗB	7.51	0.21	0.00	0.21	80.0	0.17
10/01/2018 0	4000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
10/01/2018 0	4000615610 VARIOUS,	02630R203	В	7.52	0.21	0.00	0.21	80.0	0.17
10/01/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (ЗB	10.00	0.28	0.00	0.28	80.0	0.22
10/01/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (ЗB	7.51	0.21	0.00	0.21	80.0	0.17
10/08/2018 0	4000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
10/08/2018 0	4000615610 VARIOUS,	02630R203	В	7.25	0.20	0.00	0.20	80.0	0.16
10/08/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (ЗB	10.00	0.28	0.00	0.28	80.0	0.22
10/08/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (G B	7.25	0.20	0.00	0.20	80.0	0.16
10/15/2018 0	4000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
10/15/2018 0	4000615610 VARIOUS,	02630R203	В	7.52	0.21	0.00	0.21	80.0	0.17
10/15/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (GВ	10.00	0.28	0.00	0.28	80.0	0.22
10/15/2018 0	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLOBAL (GВ	7.51	0.21	0.00	0.21	80.0	0.17
10/18/2018 0	4000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22

Page No. 11/13/2018

				INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BR	OKER TRANS	ACTIONS					
PRODUCT CAT	regory: Mutual funds	3							
10/18/2018 04	4000615610 VARIOUS,	- 02630R203	В	7.52	0.21	0.00	0.21	80.0	0.17
	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SE		10.00	0.28	0.00	0.28	80.0	0.22
10/18/2018 04	4000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SE	ER GLOBAL G B	7.51	0.21	0.00	0.21	80.0	0.17
09/25/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	30.00	0.83	0.00	0.83	80.0	0.66
09/25/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	29.30	0.81	0.00	0.81	80.0	0.65
10/01/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	30.00	0.83	0.00	0.83	80.0	0.66
10/01/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	29.30	0.81	0.00	0.81	80.0	0.65
10/08/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	30.00	0.83	0.00	0.83	80.0	0.66
10/08/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	29.30	0.81	0.00	0.81	80.0	0.65
10/15/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	30.00	0.83	0.00	0.83	80.0	0.66
10/15/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	29.30	0.81	0.00	0.81	80.0	0.65
10/18/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	30.00	0.83	0.00	0.83	80.0	0.66
10/18/2018 04	4000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGE	ET DATE-A B	29.30	0.81	0.00	0.81	80.0	0.65
09/25/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	25.00	0.69	0.00	0.69	80.0	0.55
09/25/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	17.63	0.48	0.00	0.48	80.0	0.38
10/01/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	25.00	0.69	0.00	0.69	80.0	0.55
10/01/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	16.71	0.46	0.00	0.46	80.0	0.37
10/08/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	25.00	0.69	0.00	0.69	80.0	0.55
10/08/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	17.63	0.48	0.00	0.48	80.0	0.38
10/15/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	25.00	0.69	0.00	0.69	80.0	0.55
10/15/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	16.40	0.45	0.00	0.45	80.0	0.36
10/18/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	25.00	0.69	0.00	0.69	80.0	0.55
10/18/2018 04	4000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGE	ET DATE-A B	16.40	0.45	0.00	0.45	80.0	0.36
09/25/2018 04	4000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
09/25/2018 04	4000615627 VARIOUS,	02631C445	В	9.24	0.25	0.00	0.25	80.0	0.20
10/01/2018 04	4000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
10/01/2018 04	4000615627 VARIOUS,	02631C445	В	11.20	0.31	0.00	0.31	80.0	0.25
10/08/2018 04	4000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
10/08/2018 04	4000615627 VARIOUS,	02631C445	В	11.20	0.31	0.00	0.31	80.0	0.25
10/15/2018 04	4000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
10/15/2018 04	4000615627 VARIOUS,	02631C445	В	11.20	0.31	0.00	0.31	80.0	0.25
10/18/2018 04	4000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
10/18/2018 04	4000615627 VARIOUS,	02631C445	В	10.96	0.30	0.00	0.30	80.0	0.24
09/25/2018 04	4000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGE	ET DATE-A B	22.00	0.61	0.00	0.61	80.0	0.49
09/25/2018 04	4000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGE	ET DATE-A B	21.11	0.58	0.00	0.58	80.0	0.46
10/01/2018 04	4000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGE	ET DATE-A B	22.00	0.61	0.00	0.61	80.0	0.49
10/01/2018 04	4000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGE	ET DATE-A B	10.80	0.30	0.00	0.30	80.0	0.24
10/08/2018 04	4000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGE	ET DATE-A B	22.00	0.61	0.00	0.61	80.0	0.49
10/08/2018 04	4000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGE	ET DATE-A B	3.60	0.10	0.00	0.10	80.0	0.08
10/01/2018 04	4000737753 VARIOUS,	AMERICAN FUND 2030	В	62.50	1.72	0.00	1.72	80.0	1.38

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	PD\VFI	י די די די די די	ACTIONS					
	BROKER	CIRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
10/01/2018 04000737753 VARIOUS,	AMERICAN FUND 2030	В	62.50	1.72	0.00	1.72	80.0	1.38
10/15/2018 04000737753 VARIOUS,	AMERICAN FUND 2030	В	62.50	1.72	0.00	1.72	80.0	1.38
10/15/2018 04000737753 VARIOUS,	AMERICAN FUND 2030	В	62.50	1.72	0.00	1.72	80.0	1.38
09/28/2018 07123000594 VIRGINIA TRAVEL	INVESCO GLOBAL REAL ESTATE	В	61.61	0.46	0.00	0.46	80.0	0.37
10/22/2018 07123000594 VIRGINIA TRAVEL	INVESCO GLOBAL REAL ESTATE	В	61.71	0.46	0.00	0.46	80.0	0.37
10/11/2018 8280199320 VARIOUS, STRAVINS	KI OPPENHEIMER 683793400	В	0.00	87.95	0.00	87.95	80.0	70.36
	* MUTUAL FUNDS SUBT	OTAL *	\$7,621.78	\$872.35	\$0.00	\$872.35		\$697.69
PRODUCT CATEGORY: VARIABLE ANNUITI	ES							
10/02/2018 (None) VARIOUS, STRAVINSKI	VOYA	В	0.00	95.71	0.00	95.71	80.0	76.57
10/05/2018 (None) VARIOUS, STRAVINSKI	GREAT WEST LIFE	В	0.00	2,957.77	0.00	2,957.77	80.0	2,366.22
10/15/2018 (None) VARIOUS, STRAVINSKI	PRINCIPAL FINANCIAL GROUP	В	0.00	1,781.25	0.00	1,781.25	80.0	1,425.00
10/22/2018 (None) VARIOUS, STRAVINSKI	TRANSAMERICA	В	0.00	222.82	0.00	222.82	80.0	178.26
	* VARIABLE ANNUITIES SUBT	OTAL *	\$0.00	\$5,057.55	\$0.00	\$5,057.55		\$4,046.05
PRODUCT CATEGORY: MISCELLANEOUS								
10/22/2018 VARIOUS, STRAVINSKI	FIDELITY ADVISOR 401K	В	0.00	3,629.98	0.00	3,629.98	80.0	2,903.98
10/29/2018 VARIOUS, STRAVINSKI	ADP- 401K ADVISOR	В	0.00	1,112.67	0.00	1,112.67	80.0	890.14
	* MISCELLANEOUS SUBT	OTAL *	\$0.00	\$4,742.65	\$0.00	\$4,742.65		\$3,794.12
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
10/31/2018	FEE COUNT - 1		0.00	717.07	0.00	717.07	80.0	573.66
	* MUTUAL FUND 12B1 TRAILS SUBT	OTAL *	\$0.00	\$717.07	\$0.00	\$717.07		\$573.66
	*** BROKER TRANSACTIONS TOT	AL ***	\$7,621.78	\$11,389.62	\$0.00	\$11,389.62		\$9,111.52
	AI	JUSTME	NTS					
10/31/2018	E C O INCIDANCE. E C O INCIDAN	ICE	0.00	0.00	0.00	0.00		-200.00
	E & O INSURANCE: E & O INSURAN OTHER: 360 OFFICE	ICE	0.00		0.00	0.00		-200.00 -5.00
10/31/2018		NGV.		0.00				
10/31/2018	OTHER: COMPLIANCE AND TECHNOLO	ωĭ	0.00	0.00	0.00	0.00		-20.00
10/31/2018	OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00
	*** ADJUSTMENTS TOT	AL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-232.00

BROKER COMMISSION TOTALS Broker Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment Check Amount Year-to-date Earnings

\$9,111.52

\$0.00

\$0.00

\$0.00 \$0.00

\$0.00

\$-8.31 \$-16.62

\$8,854.59

\$73,332.25

\$-232.00