

COMMISSION STATEMENT

August 31, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/28/2018	491758115 MANGUM, DARLENE	MF PUTNAM ASSET ALLOCATION	S	-53,486.51	0.00	5.00	-5.00	90.0	-4.50
		* MUTUAL FUNDS SUBTOTAL *		\$-53,486.51	\$0.00	\$5.00	\$-5.00		\$-4.50
PRODUCT CATEGORY: MISCELLANEOUS									
08/29/2018	a03303097 HESTER-03303097,	MI MUTUAL FUND MISC FEE 491758115	S	0.00	5.00	0.00	5.00	90.0	4.50
		* MISCELLANEOUS SUBTOTAL *		\$0.00	\$5.00	\$0.00	\$5.00		\$4.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/21/2018	mfc303097 HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	10.71	0.00	10.71	90.0	9.64
08/21/2018	mfc303097 HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.74	0.00	1.74	90.0	1.57
08/21/2018	mfc303097 HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.25	0.00	2.25	90.0	2.03
08/21/2018	mfc303097 HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.00	0.00	2.00	90.0	1.80
08/21/2018	mfc303097 HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.59	0.00	2.59	90.0	2.33
08/21/2018	mfc303097 HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	1.36	0.00	1.36	90.0	1.22
08/21/2018	mfc303097 HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	1.59	0.00	1.59	90.0	1.43
08/21/2018	mfc303097 HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.71	0.00	1.71	90.0	1.54
08/21/2018	mfc303097 HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	2.21	0.00	2.21	90.0	1.99
08/21/2018	mfc303097 HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.82	0.00	1.82	90.0	1.64
08/21/2018	mfc303097 HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	1.61	0.00	1.61	90.0	1.45
08/21/2018	mfc303097 HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	2.38	0.00	2.38	90.0	2.14
08/21/2018	mfc303097 HESTER DALE,	MFT PUTNAM ASSET ALLOCATION	B	0.00	11.13	0.00	11.13	90.0	10.02
08/21/2018	mfc303097 HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	2.18	0.00	2.18	90.0	1.96
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$45.28	\$0.00	\$45.28		\$40.76
		*** CLEARING TRANSACTIONS TOTAL ***		\$-53,486.51	\$50.28	\$5.00	\$45.28		\$40.76

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<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
08/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
08/15/2018 (None)	HAILEY	MF HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$1,050.00	\$26.25	\$0.00	\$26.25		\$23.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
08/15/2018 (None)	CLEMENTS, KEITH	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
08/15/2018 (None)	CLEMENTS, JUDY	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$18.00	\$0.00	\$18.00		\$16.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018 (None)	VARIOUS, HESTER DALE	MFT AIG	B	0.00	110.70	0.00	110.70	90.0	99.63
07/31/2018 (None)	GORDON-C-&	MFT EATON VANCE	B	0.00	10.18	0.00	10.18	90.0	9.16
07/31/2018 (None)	HARRECONNM	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	11.27	0.00	11.27	90.0	10.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$132.15	\$0.00	\$132.15		\$118.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
08/11/2018 (None)	DEDMAN, JEAN	VAT AMERICAN GENERAL	B	0.00	309.37	0.00	309.37	90.0	278.43
08/12/2018 (None)	PRADO, BROWN	VAT AMERICAN GENERAL	B	0.00	38.60	0.00	38.60	90.0	34.74
08/12/2018 (None)	KOTLARZ, CHUCK	VAT AMERICAN GENERAL	B	0.00	207.94	0.00	207.94	90.0	187.15
08/12/2018 (None)	PARTNEY, CHARLE	VAT AMERICAN GENERAL	B	0.00	166.89	0.00	166.89	90.0	150.20
08/12/2018 (None)	NEWBURN, DENNI	VAT AMERICAN GENERAL	B	0.00	220.63	0.00	220.63	90.0	198.57
08/12/2018 (None)	HARRELL, JESSE	VAT AMERICAN GENERAL	B	0.00	143.25	0.00	143.25	90.0	128.93
08/12/2018 (None)	CLEMMONS, GERA	VAT AMERICAN GENERAL	B	0.00	418.48	0.00	418.48	90.0	376.63
08/13/2018 (None)	DAVIS, ELTON	VAT AMERICAN GENERAL	B	0.00	1,925.59	0.00	1,925.59	90.0	1,733.03
08/14/2018 (None)	DAVIS, CINDY	VAT AMERICAN GENERAL	B	0.00	78.05	0.00	78.05	90.0	70.25
08/14/2018 (None)	DAVIS, ELTON	VAT AMERICAN GENERAL	B	0.00	101.76	0.00	101.76	90.0	91.58
08/16/2018 (None)	MAYNE JOANIE D	VAT AMERICAN GENERAL	B	0.00	6.42	0.00	6.42	90.0	5.78
08/16/2018 (None)	SCOTT ROBIN A	VAT AMERICAN GENERAL	B	0.00	15.44	0.00	15.44	90.0	13.90
08/16/2018 (None)	MAYNE, JODY	VAT AMERICAN GENERAL	B	0.00	7.55	0.00	7.55	90.0	6.80
08/17/2018 (None)	GASSIOTT, GLENN	VAT AMERICAN GENERAL	B	0.00	628.81	0.00	628.81	90.0	565.93
08/18/2018 (None)	HOFFMAN, PATSY	VAT AMERICAN GENERAL	B	0.00	216.44	0.00	216.44	90.0	194.80
08/18/2018 (None)	HOFFMAN, JAMES	VAT AMERICAN GENERAL	B	0.00	283.23	0.00	283.23	90.0	254.91
08/19/2018 (None)	FAGAN, EUGENE	VAT AMERICAN GENERAL	B	0.00	162.93	0.00	162.93	90.0	146.64
08/19/2018 (None)	KYSER, GARY	VAT AMERICAN GENERAL	B	0.00	304.08	0.00	304.08	90.0	273.67
08/19/2018 (None)	WILLIAMS, PATRICIA	VAT AMERICAN GENERAL	B	0.00	41.23	0.00	41.23	90.0	37.11
08/20/2018 (None)	CONNELLY, MARK	VAT AMERICAN GENERAL	B	0.00	308.07	0.00	308.07	90.0	277.26
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$5,584.76	\$0.00	\$5,584.76		\$5,026.31
*** DIRECT TRANSACTIONS TOTAL ***				\$1,350.00	\$5,761.16	\$0.00	\$5,761.16		\$5,185.07

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
08/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
08/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
08/31/2018	:	E-MAIL ACCOUNT-DONNA HESTER		0.00	0.00	0.00	0.00		-25.00
08/31/2018	:	E-MAIL ACCOUNT-LAUREN JASON		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,225.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,990.83
Year-to-date Commissions	\$135,129.67