



# COMMISSION STATEMENT

## September 24, 2018

TODD JESSOP  
400 West Metro Park  
SUITE 700  
Rochester, NY 14623

BROKER #: 693  
BRANCH #01001 - LifeMark Home Office

| DATE  | CLIENT                         | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                                |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                                |                                     |                      |                     |                    |                   |      |                      |
| 09/14/2018  | ***-**-593I - NOKER, DAVID     | AIM DIVERSIFIED DIVIDEND FUND - CL  | 389.00               | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
|   | ACCOUNT #: LSR011260           | SELL 19 Units @20.5200              |                      |                     |                    |                   |      |                      |
| 09/18/2018  | ***-**-775I - PRENDERGAST,     | BLACKROCK STRATEGIC INC OPP PORT CL | 57,114.82            | 25.00               | 18.00              | 7.00              | 90.0 | 6.30                 |
|   | ACCOUNT #: LSR010082           | SELL 5888 Units @9.7000             |                      |                     |                    |                   |      |                      |
| 09/14/2018  | ***-**-593I - NOKER, DAVID     | INVESCO INCOME ALLOCATION FUND - CL | 389.00               | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
|   | ACCOUNT #: LSR011260           | SELL 34 Units @11.3400              |                      |                     |                    |                   |      |                      |
| 09/20/2018  | ***-**-33J2 - PRICE, DIANNE    | MFS GLOBAL GROWTH FUND-B            | 5,500.00             | 25.00               | 18.00              | 7.00              | 90.0 | 6.30                 |
|   | ACCOUNT #: LSR005550           | SELL 143 Units @38.4400             |                      |                     |                    |                   |      |                      |
| 09/20/2018  | ***-**-33J2 - PRICE, DIANNE    | MFS GLOBAL TOTAL RETURN FUND-B      | 5,500.01             | 25.00               | 18.00              | 7.00              | 90.0 | 6.30                 |
|   | ACCOUNT #: LSR005550           | SELL 300 Units @18.3300             |                      |                     |                    |                   |      |                      |
| 09/18/2018  | ***-**-599I - SMITH, FBO JAMES | THE GROWTH FUND OF AMERICA-A        | 500.02               | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
|   | ACCOUNT #: LSR002879           | SELL 9 Units @56.0000               |                      |                     |                    |                   |      |                      |
| * MUTUAL FUNDS SUBTOTAL *                               |                                |                                     | <b>\$69,392.85</b>   | <b>\$75.00</b>      | <b>\$54.00</b>     | <b>\$21.00</b>    |      | <b>\$18.90</b>       |
| <b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b> |                                |                                     |                      |                     |                    |                   |      |                      |
| 09/24/2018  | ***-**-3491 - STEVENS, BRIAN   | NATIONWIDE VUL RENEWALS             | 0.00                 | 1.34                | 0.00               | 1.34              | 90.0 | 1.21                 |
|   | ACCOUNT #: N101690600          | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL *                    |                                |                                     | <b>\$0.00</b>        | <b>\$1.34</b>       | <b>\$0.00</b>      | <b>\$1.34</b>     |      | <b>\$1.21</b>        |
| <b><u>PRODUCT CATEGORY: 529 PLAN</u></b>                |                                |                                     |                      |                     |                    |                   |      |                      |
| 09/17/2018  | ***-**-5910 - MCCANN,          | AMERICAN BALANCED FUND-529A         | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
|   | ACCOUNT #: 00085464503         | BUY 1 Units @29.2100                |                      |                     |                    |                   |      |                      |
| 09/20/2018  | ***-**-5910 - MCCANN,          | AMERICAN BALANCED FUND-529A         | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
|   | ACCOUNT #: 00085464503         | BUY 1 Units @29.4700                |                      |                     |                    |                   |      |                      |
| 09/17/2018  | ***-**-5910 - MCCANN,          | AMERICAN MUTUAL FUND-529A           | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
|   | ACCOUNT #: 00085464503         | BUY 1 Units @44.8000                |                      |                     |                    |                   |      |                      |
| 09/20/2018  | ***-**-5910 - MCCANN,          | AMERICAN MUTUAL FUND-529A           | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
|   | ACCOUNT #: 00085464503         | BUY 1 Units @45.2100                |                      |                     |                    |                   |      |                      |
| 09/17/2018  | ***-**-5910 - MCCANN,          | CAPITAL INCOME BUILDER-529A         | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
|   | ACCOUNT #: 00085464503         | BUY 0 Units @63.2300                |                      |                     |                    |                   |      |                      |
| 09/20/2018  | ***-**-5910 - MCCANN,          | CAPITAL INCOME BUILDER-529A         | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
|   | ACCOUNT #: 00085464503         | BUY 0 Units @63.6600                |                      |                     |                    |                   |      |                      |



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|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>           |  |   |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: 529 PLAN (continued)</b>    |  |   |                      |                     |                    |                   |      |                      |
| 09/17/2018                                       | ***-**-5910 - MCCANN,<br>ACCOUNT #: 00085464503          | CAPITAL WORLD GROWTH AND INCOME-529<br>BUY 0 Units @52.7500 | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
| 09/20/2018                                       | ***-**-5910 - MCCANN,<br>ACCOUNT #: 00085464503          | CAPITAL WORLD GROWTH AND INCOME-529<br>BUY 0 Units @53.7400 | 25.00                | 1.06                | 0.00               | 1.06              | 90.0 | 0.95                 |
| * 529 PLAN SUBTOTAL *                            |  |   | \$200.00             | \$8.48              | \$0.00             | \$8.48            |      | \$7.60               |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |  |   |                      |                     |                    |                   |      |                      |
| 09/17/2018                                       | ***-**-17IN - DAVIS, LORETTA<br>ACCOUNT #: 00004341411   | BOND A<br>BUY 0 Units @0.0000                               | 0.00                 | 4.36                | 0.00               | 4.36              | 90.0 | 3.92                 |
| 09/17/2018                                       | ***-**-99TR - SWEENEY, MARY<br>ACCOUNT #: 00004486512    | BOND A<br>BUY 0 Units @0.0000                               | 0.00                 | 7.70                | 0.00               | 7.70              | 90.0 | 6.93                 |
| 09/17/2018                                       | ***-**-460I - RADWICH, FRANCES<br>ACCOUNT #: 00001824282 | BOND A<br>BUY 0 Units @0.0000                               | 0.00                 | 2.49                | 0.00               | 2.49              | 90.0 | 2.24                 |
| 09/17/2018                                       | ***-**-526P - ROBERTS,<br>ACCOUNT #: 00777240753         | BOND A<br>BUY 0 Units @0.0000                               | 0.00                 | 0.81                | 0.00               | 0.81              | 90.0 | 0.73                 |
| 09/05/2018                                       | ***-**-922J - RICHARDSON, LISA<br>ACCOUNT #: 00006878237 | CALVERT ULTRA SHORT INCOME - A<br>BUY 0 Units @0.0000       | 0.00                 | 4.92                | 0.00               | 4.92              | 90.0 | 4.43                 |
| 09/24/2018                                       | ***-**-274P - COLELLA,<br>BUY 0 Units @0.0000            | EATON VANCE MF TRAILS<br>BUY 0 Units @0.0000                | 0.00                 | 2.18                | 0.00               | 2.18              | 90.0 | 1.96                 |
| 09/24/2018                                       | ***-**-274P - COLELLA,<br>BUY 0 Units @0.0000            | EATON VANCE MF TRAILS<br>BUY 0 Units @0.0000                | 0.00                 | 2.01                | 0.00               | 2.01              | 90.0 | 1.81                 |
| 09/24/2018                                       | ***-**-274P - COLELLA,<br>BUY 0 Units @0.0000            | EATON VANCE MF TRAILS<br>BUY 0 Units @0.0000                | 0.00                 | 2.11                | 0.00               | 2.11              | 90.0 | 1.90                 |
| 09/10/2018                                       | ***-**-8846 - GERTNER, STEPHEN<br>ACCOUNT #: 00006820056 | FIDELITY ADV EQUITY GROWTH CL T<br>BUY 0 Units @0.0000      | 0.00                 | 6.14                | 0.00               | 6.14              | 90.0 | 5.53                 |
| 09/10/2018                                       | ***-**-8846 - GERTNER, STEPHEN<br>ACCOUNT #: 00006820056 | FIDELITY ADVISOR EQUITY INCOME CL T<br>BUY 0 Units @0.0000  | 0.00                 | 1.55                | 0.00               | 1.55              | 90.0 | 1.40                 |
| 09/17/2018                                       | ***-**-946I - FREEDMAN, AUDREY<br>ACCOUNT #: 00003263931 | GOVERNMENT INCOME A<br>BUY 0 Units @0.0000                  | 0.00                 | 3.36                | 0.00               | 3.36              | 90.0 | 3.02                 |
| 09/17/2018                                       | ***-**-461J - MCBRIDE,<br>ACCOUNT #: 00004326487         | JOHN HANCOCK NEW OPPORTUNITIES CL A<br>BUY 0 Units @0.0000  | 0.00                 | 1.74                | 0.00               | 1.74              | 90.0 | 1.57                 |
| 09/24/2018                                       | ***-**-275P - MEYER, JEFFREY<br>ACCOUNT #: 77757844801   | NEW YORK 529 TRAILS<br>BUY 0 Units @0.0000                  | 0.00                 | 8.06                | 0.00               | 8.06              | 90.0 | 7.25                 |



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|--|---|---------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>                       |   |                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b> |   |                     |                      |                     |                    |                   |      |                      |
| 09/17/2018   | ***-**-491I - MAHAR, DAVID                  | STRATEGIC INCOME A  | 0.00                 | 4.01                | 0.00               | 4.01              | 90.0 | 3.61                 |
|  | ACCOUNT #: 00006482604                      | BUY 0 Units @0.0000 |                      |                     |                    |                   |      |                      |
| 09/17/2018   | ***-**-245P - MCBURNEY, PHILIP              | STRATEGIC INCOME A  | 0.00                 | 1.19                | 0.00               | 1.19              | 90.0 | 1.07                 |
|  | ACCOUNT #: 00777302860                      | BUY 0 Units @0.0000 |                      |                     |                    |                   |      |                      |
| 09/17/2018   | ***-**-64IN - BRUNDAGE, BETTY               | TAX-FREE BOND A     | 0.00                 | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
|  | ACCOUNT #: 00000474340                      | BUY 0 Units @0.0000 |                      |                     |                    |                   |      |                      |
| 09/17/2018   | ***-**-98IN - SCHEUERMAN, JOHN              | TAX-FREE BOND A     | 0.00                 | 1.43                | 0.00               | 1.43              | 90.0 | 1.29                 |
|  | ACCOUNT #: 00004407212                      | BUY 0 Units @0.0000 |                      |                     |                    |                   |      |                      |
| 09/17/2018   | ***-**-647E - REDDING, PHYLLIS              | TAX-FREE BOND A     | 0.00                 | 0.58                | 0.00               | 0.58              | 90.0 | 0.52                 |
|  | ACCOUNT #: 00777311163                      | BUY 0 Units @0.0000 |                      |                     |                    |                   |      |                      |
|  | <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b> |                     | <b>\$0.00</b>        | <b>\$56.64</b>      | <b>\$0.00</b>      | <b>\$56.64</b>    |      | <b>\$50.98</b>       |
|  | <b>*** BROKER TRANSACTIONS TOTAL ***</b>    |                     | <b>\$69,592.85</b>   | <b>\$141.46</b>     | <b>\$54.00</b>     | <b>\$87.46</b>    |      | <b>\$78.69</b>       |

## BROKER COMMISSION TOTALS

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$78.69 |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$0.00  |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$78.69</b>     |
| <b>Year-to-date Earnings</b> | <b>\$91,432.95</b> |