



COMMISSION STATEMENT

October 08, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/01/2018	***-**-87IN - HALL, J	AMCAP FUND-A	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 3 Units @36.2100						
10/01/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 2 Units @52.5600						
10/01/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 2 Units @67.2000						
10/01/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 3 Units @48.1800						
* MUTUAL FUNDS SUBTOTAL *			\$504.00	\$10.08	\$0.00	\$10.08		\$9.08
<u>PRODUCT CATEGORY: RIA</u>								
10/05/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	33.01	33.01	0.00	33.01	90.0	29.71
	ACCOUNT #: 925330644	BUY 0 Units @0.0000						
10/05/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	82.83	82.83	0.00	82.83	90.0	74.55
	ACCOUNT #: 925330602	BUY 0 Units @0.0000						
10/05/2018	***-**-99FB - MAY, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	127.04	127.04	0.00	127.04	90.0	114.34
	ACCOUNT #: 925396322	BUY 0 Units @0.0000						
10/05/2018	***-**-62FB - KEYS, RAMONA	LIFEMARK INVESTMENT ADVISORY FEE	97.98	97.98	0.00	97.98	90.0	88.18
	ACCOUNT #: 925408288	BUY 0 Units @0.0000						
10/05/2018	***-**-33FB - PIERSON, KAREN	LIFEMARK INVESTMENT ADVISORY FEE	612.78	612.78	0.00	612.78	90.0	551.50
	ACCOUNT #: 925425941	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$953.64	\$953.64	\$0.00	\$953.64		\$858.28
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2018	***-**-0132 - FUERST, PATRICIA	CAPITAL APPRECIATION A	0.00	2.97	0.00	2.97	90.0	2.67
	ACCOUNT #: 03201481271	BUY 0 Units @0.0000						
09/30/2018	***-**-612I - VINCE, RAYMOND	CAPITAL APPRECIATION A	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 03205797638	BUY 0 Units @0.0000						
09/30/2018	***-**-612I - VINCE, RAYMOND	GLOBAL FUND A	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 03300714573	BUY 0 Units @0.0000						
09/30/2018	***-**-3152 - LORD, GEORGE D	MAIN STREET FUND A	0.00	7.53	0.00	7.53	90.0	6.78
	ACCOUNT #: 04206461158	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/30/2018	***-**-612I - VINCE, RAYMOND	MAIN STREET FUND A	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 07008251303	BUY 0 Units @0.0000						
09/30/2018	***-**-897I - SPENCER, JEANNIE	OPP PORT SERIES: ACTIVE ALLOC A	0.00	11.26	0.00	11.26	90.0	10.13
	ACCOUNT #: 05500608806	BUY 0 Units @0.0000						
09/30/2018	***-**-17IN - VITALE, ESTHER	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	5.41	0.00	5.41	90.0	4.87
	ACCOUNT #: 07315691136	BUY 0 Units @0.0000						
10/02/2018	***-**-48IN - BEST, JOHN &	PIONEER EQUITY INCOME FUND A	0.00	105.90	0.00	105.90	90.0	95.31
	ACCOUNT #: 06205487975	BUY 0 Units @0.0000						
09/30/2018	***-**-897I - SPENCER, JEANNIE	RISING DIVIDENDS FUND A	0.00	5.88	0.00	5.88	90.0	5.29
	ACCOUNT #: 02252886983	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$139.20	\$0.00	\$139.20		\$125.28
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
09/28/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	206.79	0.00	206.79	90.0	186.11
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
09/28/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	1.89	0.00	1.89	90.0	1.70
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
09/28/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	80.42	0.00	80.42	90.0	72.38
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
09/28/2018	***-**-7249 - LERNER, INGER	HARTFORD VA TRAILS 416594851	0.00	25.79	0.00	25.79	90.0	23.21
	ACCOUNT #: 710409829	BUY 0 Units @0.0000						
09/28/2018	***-**-67IN - ROSEN, DAVID	HARTFORD VA TRAILS 416594877	0.00	112.51	0.00	112.51	90.0	101.26
	ACCOUNT #: 710369542	BUY 0 Units @0.0000						
09/28/2018	***-**-67IN - ROSEN, DAVID	HARTFORD VA TRAILS 416594877	0.00	46.94	0.00	46.94	90.0	42.25
	ACCOUNT #: 710437311	BUY 0 Units @0.0000						
09/28/2018	***-**-2508 - LOCASIO, STEVEN	HARTFORD VA TRAILS 416594877	0.00	8.57	0.00	8.57	90.0	7.71
	ACCOUNT #: 712696512	BUY 0 Units @0.0000						
09/28/2018	***-**-2602 - LOCASIO, PETER	HARTFORD VA TRAILS 416594877	0.00	8.56	0.00	8.56	90.0	7.70
	ACCOUNT #: 712696524	BUY 0 Units @0.0000						
10/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	10.08	0.00	10.08	90.0	9.07
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
09/30/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS OPTION A	0.00	32.96	0.00	32.96	90.0	29.66
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
09/30/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS OPTION A	0.00	6.95	0.00	6.95	90.0	6.26
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
09/30/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS OPTION A	0.00	5.78	0.00	5.78	90.0	5.20
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
09/30/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	34.27	0.00	34.27	90.0	30.84
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
09/30/2018	***-**-404I - MCCONNELL, JOHN	LINCOLN FIN VA TRAILS	0.00	21.24	0.00	21.24	90.0	19.12
	ACCOUNT #: 959165562	BUY 0 Units @0.0000						
09/30/2018	***-**-356I - WENNER, WARREN	LINCOLN FIN VA TRAILS	0.00	32.42	0.00	32.42	90.0	29.18
	ACCOUNT #: 959165593	BUY 0 Units @0.0000						
09/30/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	41.49	0.00	41.49	90.0	37.34
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
09/30/2018	***-**-6444 - DAVILA, MARITZA	PRUDENTIAL AS XTRA CREDIT	0.00	128.63	0.00	128.63	90.0	115.77
	ACCOUNT #: 000151168	BUY 0 Units @0.0000						
09/30/2018	***-**-6873 - WALKER, JOHN	PRUDENTIAL VA TRAILS	0.00	33.10	0.00	33.10	90.0	29.79
	ACCOUNT #: 000110302	BUY 0 Units @0.0000						
09/30/2018	***-**-2307 - BARTEL, BRADLEY	PRUDENTIAL VA TRAILS	0.00	90.84	0.00	90.84	90.0	81.76
	ACCOUNT #: 000087520	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$929.23	\$0.00	\$929.23		\$836.31
*** BROKER TRANSACTIONS TOTAL ***			\$1,457.64	\$2,032.15	\$0.00	\$2,032.15		\$1,828.95



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					CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
						BROKER COMMISSION TOTALS	
						Broker Transactions	\$1,828.95
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
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						Net Commission Earned	\$1,828.95
						Year-to-date Earnings	\$40,637.95