



COMMISSION STATEMENT

October 22, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/15/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @34.1700						
10/18/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	12.70	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 04001030377	BUY 0 Units @34.8300						
10/18/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	21.16	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04001030377	BUY 1 Units @34.8300						
10/18/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	27.63	1.17	0.00	1.17	80.0	0.94
	ACCOUNT #: 04001030237	BUY 1 Units @19.8200						
10/18/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	96.72	4.11	0.00	4.11	80.0	3.29
	ACCOUNT #: 04001030237	BUY 5 Units @19.8200						
10/15/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.0100						
10/15/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.0100						
10/15/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
10/15/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
10/15/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	16.09	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 04000627501	BUY 1 Units @28.3000						
10/15/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	16.09	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 04000627501	BUY 1 Units @28.3000						
10/17/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	26.22	1.11	0.00	1.11	80.0	0.89
	ACCOUNT #: 04000812765	BUY 1 Units @28.7300						
10/17/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	17.36	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 04000812765	BUY 1 Units @28.7300						
10/18/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.5000						
10/18/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @27.5000						
10/18/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.5000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/18/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 11 Units @27.5000	298.08	3.58	0.00	3.58	80.0	2.86
10/18/2018	***-**-707I - COLON, ANGEL ACCOUNT #: 00081805964	AMERICAN BALANCED FUND-A BUY 1 Units @27.5000	25.00	0.30	0.00	0.30	80.0	0.24
10/18/2018	***-**-707I - COLON, ANGEL ACCOUNT #: 00081805964	AMERICAN BALANCED FUND-A BUY 1 Units @27.5000	25.00	0.30	0.00	0.30	80.0	0.24
10/18/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	AMERICAN BALANCED FUND-A BUY 1 Units @27.5000	30.00	0.36	0.00	0.36	80.0	0.29
10/18/2018	***-**-3358 - WILCOX, JOANNE ACCOUNT #: 04001030342	AMERICAN BALANCED FUND-C BUY 1 Units @26.9000	28.04	0.28	0.00	0.28	80.0	0.22
10/18/2018	***-**-3358 - WILCOX, JOANNE ACCOUNT #: 04001030342	AMERICAN BALANCED FUND-C BUY 15 Units @26.9000	400.00	4.00	0.00	4.00	80.0	3.20
10/15/2018	***-**-309I - PARENT, NANCY ACCOUNT #: 00087513340	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.9200	21.01	0.58	0.00	0.58	80.0	0.46
10/15/2018	***-**-309I - PARENT, NANCY ACCOUNT #: 00087513340	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.9200	30.00	0.83	0.00	0.83	80.0	0.66
10/17/2018	***-**-6730 - GAUDIOSI, JAMES ACCOUNT #: 04000812746	AMERICAN FUNDS 2030 TARGET DATE-A BUY 5 Units @15.2700	81.00	3.44	0.00	3.44	80.0	2.75
10/17/2018	***-**-6730 - GAUDIOSI, JAMES ACCOUNT #: 04000812746	AMERICAN FUNDS 2030 TARGET DATE-A BUY 24 Units @15.2700	365.00	15.51	0.00	15.51	80.0	12.41
10/18/2018	***-**-0777 - TUCKER, JAMES ACCOUNT #: 04001030467	AMERICAN FUNDS 2030 TARGET DATE-A BUY 4 Units @15.1200	54.47	2.31	0.00	2.31	80.0	1.85
10/18/2018	***-**-0777 - TUCKER, JAMES ACCOUNT #: 04001030467	AMERICAN FUNDS 2030 TARGET DATE-A BUY 11 Units @15.1200	160.00	6.80	0.00	6.80	80.0	5.44
10/18/2018	***-**-0931 - LANGLOIS, JAMIE ACCOUNT #: 04001030268	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @15.1200	26.74	1.14	0.00	1.14	80.0	0.91
10/18/2018	***-**-0931 - LANGLOIS, JAMIE ACCOUNT #: 04001030268	AMERICAN FUNDS 2030 TARGET DATE-A BUY 3 Units @15.1200	44.57	1.89	0.00	1.89	80.0	1.51
10/18/2018	***-**-857I - MUNDO, DIANE ACCOUNT #: 04001030256	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @15.1200	31.93	1.36	0.00	1.36	80.0	1.09
10/18/2018	***-**-857I - MUNDO, DIANE ACCOUNT #: 04001030256	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @15.1200	31.93	1.36	0.00	1.36	80.0	1.09



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/18/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	27.92	1.19	0.00	1.19	80.0	0.95
	ACCOUNT #: 04001030247	BUY 2 Units @15.1200						
10/18/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	65.15	2.77	0.00	2.77	80.0	2.22
	ACCOUNT #: 04001030247	BUY 4 Units @15.1200						
10/18/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @14.0700						
10/18/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 18 Units @14.0700						
10/15/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.3300						
10/15/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.3300						
10/17/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	81.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 04000813270	BUY 5 Units @15.8000						
10/17/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000813270	BUY 23 Units @15.8000						
10/15/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	28.06	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627489	BUY 2 Units @15.8700						
10/15/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @15.8700						
10/17/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.2100						
10/17/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.2100						
10/17/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	81.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 04000812733	BUY 5 Units @16.2100						
10/17/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 04000812733	BUY 19 Units @16.2100						
10/18/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	10.20	0.00	10.20	80.0	8.16
	ACCOUNT #: 04001030442	BUY 15 Units @15.9800						
10/18/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 04001030442	BUY 5 Units @15.9800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/18/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	23.09	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 04001030412	BUY 1 Units @15.9800						
10/18/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	76.98	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04001030412	BUY 5 Units @15.9800						
10/18/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	30.37	1.29	0.00	1.29	80.0	1.03
	ACCOUNT #: 04001030407	BUY 2 Units @15.9800						
10/18/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	250.00	10.63	0.00	10.63	80.0	8.50
	ACCOUNT #: 04001030407	BUY 16 Units @15.9800						
10/15/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.6500						
10/15/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.6500						
10/15/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.6500						
10/15/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.6500						
10/18/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	35.72	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 04001030287	BUY 2 Units @15.9200						
10/18/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	35.72	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 04001030287	BUY 2 Units @15.9200						
10/18/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.5700						
10/18/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.5700						
10/18/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	16.50	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 04001030422	BUY 1 Units @13.0300						
10/18/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	27.49	1.17	0.00	1.17	80.0	0.94
	ACCOUNT #: 04001030422	BUY 2 Units @13.0300						
10/15/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 5 Units @14.8000						
10/15/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @14.8000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/15/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.8000	45.00	0.45	0.00	0.45	80.0	0.36
10/15/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.8000	36.38	0.36	0.00	0.36	80.0	0.29
10/18/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @43.7300	12.69	0.54	0.00	0.54	80.0	0.43
10/18/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @43.7300	21.16	0.90	0.00	0.90	80.0	0.72
10/15/2018	***-**-985P - PAPST, MEREDITH ACCOUNT #: 00067807144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @49.9500	125.00	3.44	0.00	3.44	80.0	2.75
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @111.4900	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @111.4900	-75.00	-2.25	0.00	-2.25	80.0	-1.80
10/12/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @104.5500	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 31 Units @2.4000	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 31 Units @2.4000	-75.00	-2.25	0.00	-2.25	80.0	-1.80
10/12/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 32 Units @2.3500	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @33.5500	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @33.5500	-75.00	-2.25	0.00	-2.25	80.0	-1.80
10/12/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @32.1600	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000006984020841	TEMP GLOBAL BOND FND - CL A BUY 6 Units @11.8700	75.00	2.25	0.00	2.25	80.0	1.80
09/28/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000006984020841	TEMP GLOBAL BOND FND - CL A BUY 6 Units @11.8700	-75.00	-2.25	0.00	-2.25	80.0	-1.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/12/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 000006984020841	BUY 6 Units @12.0000						
10/18/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	19.22	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 04001030438	BUY 0 Units @48.9000						
10/18/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	51.25	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 04001030438	BUY 1 Units @48.9000						
10/18/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.3800						
10/15/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 3 Units @46.3800						
10/18/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.81	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @44.3600						
10/18/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	34.16	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 04001030438	BUY 1 Units @44.3600						
* MUTUAL FUNDS SUBTOTAL *			\$6,840.33	\$192.78	\$0.00	\$192.78		\$154.18
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES								
10/08/2018	***-**-216I - STEINBACHER,	LINCOLN OPTION CHOICE 5	222,036.34	3,885.64	0.00	3,885.64	100.0	3,885.64
		BUY 1 Units @222036.340						
10/08/2018	***-**-848I - STEINBACHER,	LINCOLN OPTION CHOICE 5	136,921.44	2,396.13	0.00	2,396.13	100.0	2,396.13
		BUY 1 Units @136921.440						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$358,957.78	\$6,281.77	\$0.00	\$6,281.77		\$6,281.77
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/22/2018	***-**-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS	0.00	4.69	0.00	4.69	80.0	3.75
		BUY 0 Units @0.0000						
10/22/2018	***-**-943K - MECHANICAL	NFS TRAILS	0.00	6,660.74	0.00	6,660.74	80.0	5,328.59
		BUY 0 Units @0.0000						
10/22/2018	***-**-963I - MORRO, JAMES	SAMMONS MF TRAILS	0.00	5.79	0.00	5.79	80.0	4.63
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						
10/22/2018	***-**-963I - MORRO, JAMES	SAMMONS MF TRAILS	0.00	78.80	0.00	78.80	80.0	63.04
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						



COMMISSION STATEMENT

October 22, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/22/2018	***--176I - RIOS, CARLOS	SAMMONS MF TRAILS	0.00	14.52	0.00	14.52	80.0	11.62
	ACCOUNT #: 7200020442	BUY 0 Units @0.0000						
10/22/2018	***--358I - KALLIPOLITES,	SAMMONS MF TRAILS	0.00	47.83	0.00	47.83	80.0	38.26
	ACCOUNT #: 7200022756	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6,812.37	\$0.00	\$6,812.37		\$5,449.89
*** BROKER TRANSACTIONS TOTAL ***			\$365,798.11	\$13,286.92	\$0.00	\$13,286.92		\$11,885.84

BROKER COMMISSION TOTALS

Broker Transactions	\$11,885.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$11,885.84
Year-to-date Earnings	\$97,593.57