

COMMISSION STATEMENT
September 15, 2018

PAUL WIMBERLY-D073
13918 Keel Avenue

BROKER #: D073
BRANCH: DMN

Corpus Christi, TX 78418

COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/04/2018	00067136249	HOLDERFIELD, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.45	0.00	0.45	87.5	0.39
09/04/2018	00067136249	HOLDERFIELD, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.06	0.00	0.06	87.5	0.05
09/04/2018	00067136253	MARCUSSEN, MARCUS MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.57	0.00	1.57	87.5	1.37
09/04/2018	00067136253	MARCUSSEN, MARCUS MFT NEW PERSPECTIVE FUND-A	B	0.00	1.56	0.00	1.56	87.5	1.37
09/04/2018	00067136253	MARCUSSEN, MARCUS MFT THE INVESTMENT COMPANY OF	B	0.00	1.25	0.00	1.25	87.5	1.09
09/04/2018	00067136253	MARCUSSEN, MARCUS MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.97	0.00	0.97	87.5	0.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.86	\$0.00	\$5.86		\$5.12
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$5.86	\$0.00	\$5.86		\$5.12

BROKER COMMISSION TOTALS

Broker Transactions	\$5.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5.12
Year-to-date Commissions	\$14,453.15