



COMMISSION STATEMENT

December 24, 2018

WILLIAM AGNELLO
28 Venice Circle
Rochester, NY 14609

BROKER #: 119
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/20/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	PGIM FLOATING RATE INCOME CL C BUY 1097 Units @9.4700	10,387.01	0.00	6.00	-6.00	100.0	-6.00
12/20/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	PGIM GOVERNMENT MONEY MRKT C BUY 8475 Units @1.0000	8,475.31	0.00	6.00	-6.00	100.0	-6.00
12/20/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	PRUDENTIAL JENNISON EQUITY INCOME C SELL 617 Units @13.7300	8,475.31	0.00	6.00	-6.00	100.0	-6.00
12/20/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	PRUDENTIAL JENNISON MID CAP GROWTH SELL 698 Units @14.8900	10,387.01	0.00	6.00	-6.00	100.0	-6.00
12/20/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	SHORT-TERM BOND FUND OF AMERICA - A BUY 786 Units @9.8000	7,701.79	0.00	6.00	-6.00	100.0	-6.00
12/20/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	WASHINGTON MUTUAL INVESTORS FUND-A SELL 185 Units @41.6500	7,701.79	0.00	6.00	-6.00	100.0	-6.00
* MUTUAL FUNDS SUBTOTAL *			\$53,128.22	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>PRODUCT CATEGORY: STOCKS</u>								
12/18/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	PEOPLES UTD FINL INC UNSOLICITED OR BUY 100 Units @15.3150	1,531.50	54.00	17.00	37.00	70.0	25.90
12/18/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	VERIZON COMMUNICATIONS UNSOLICITED BUY 100 Units @56.4160	5,641.60	54.00	17.00	37.00	70.0	25.90
* STOCKS SUBTOTAL *			\$7,173.10	\$108.00	\$34.00	\$74.00		\$51.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/24/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: 4000021808	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	70.0	0.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.35	\$0.00	\$0.35		\$0.25
*** BROKER TRANSACTIONS TOTAL ***			\$60,301.32	\$108.35	\$70.00	\$38.35		\$16.05



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$16.05
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$16.05
Year-to-date Earnings								\$12,176.98