



COMMISSION STATEMENT

October 08, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
10/08/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$100.00	\$2.50	\$0.00	\$2.50		\$1.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2018	***-**-246I - DEPOALO, PETER	AS ADVISORS PLAN III	0.00	124.64	0.00	124.64	70.0	87.25
	ACCOUNT #: E0997928	BUY 0 Units @0.0000						
09/30/2018	***-**-69IR - RICH, PATRICIA	AS ADVISORS PLAN III	0.00	6.88	0.00	6.88	70.0	4.82
	ACCOUNT #: E0962772	BUY 0 Units @0.0000						
09/30/2018	***-**-69IR - RICH, PATRICIA	AS ADVISORS PLAN III	0.00	6.88	0.00	6.88	70.0	4.82
	ACCOUNT #: E0962772	BUY 0 Units @0.0000						
09/30/2018	***-**-752I - LIBBY, KENNETH	LINCOLN FIN TRAILS 53404P659	0.00	526.90	0.00	526.90	70.0	368.83
	ACCOUNT #: 922213993	BUY 0 Units @0.0000						
09/30/2018	***-**-846I - BREEN, KENNETH	LINCOLN FIN TRAILS 53404P659	0.00	205.44	0.00	205.44	70.0	143.81
	ACCOUNT #: 922228517	BUY 0 Units @0.0000						
09/30/2018	***-**-216I - COOK, CHARLES	LINCOLN FIN TRAILS 53404P659	0.00	673.63	0.00	673.63	70.0	471.54
	ACCOUNT #: 922305048	BUY 0 Units @0.0000						
09/30/2018	***-**-673I - CZUBIK,	LINCOLN FIN TRAILS 53404P659	0.00	553.96	0.00	553.96	70.0	387.77
	ACCOUNT #: 924686423	BUY 0 Units @0.0000						
09/30/2018	***-**-543I - RISKALLA,	PREMIER RETIREMENT L SERIES	0.00	421.48	0.00	421.48	70.0	295.04
	ACCOUNT #: E1256206	BUY 0 Units @0.0000						
09/30/2018	***-**-647I - MCCANN, EDWARD	PREMIER RETIREMENT L SERIES	0.00	338.49	0.00	338.49	70.0	236.94
	ACCOUNT #: E1250308	BUY 0 Units @0.0000						
09/30/2018	***-**-951I - MURPHY, DEBRA	PREMIER RETIREMENT L SERIES	0.00	74.56	0.00	74.56	70.0	52.19
	ACCOUNT #: E1331899	BUY 0 Units @0.0000						
09/30/2018	***-**-111I - ROMANOVSKY,	PREMIER RETIREMENT L SERIES	0.00	306.54	0.00	306.54	70.0	214.58
	ACCOUNT #: E1352162	BUY 0 Units @0.0000						
09/30/2018	***-**-962I - MATERA, PATRICK	PREMIER RETIREMENT L SERIES	0.00	288.71	0.00	288.71	70.0	202.10
	ACCOUNT #: E1341347	BUY 0 Units @0.0000						
09/30/2018	***-**-287I - AMANTE, JAMES	PREMIER RETIREMENT L SERIES	0.00	54.02	0.00	54.02	70.0	37.81
	ACCOUNT #: E1574098	BUY 0 Units @0.0000						
09/30/2018	***-**-09IR - RICH, JOSEPH	PREMIER RETIREMENT L SERIES	0.00	224.01	0.00	224.01	70.0	156.81
	ACCOUNT #: E1762599	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
09/30/2018	***-**-223I - GAY, LISA	PRUDENTIAL PREMIER RETIREMENT X	0.00	81.23	0.00	81.23	70.0	56.86
	ACCOUNT #: E1057593	BUY 0 Units @0.0000						
09/30/2018	***-**-52IN - BRODER, RUTH	PRUDENTIAL VA TRAILS 74430A235	0.00	72.08	0.00	72.08	70.0	50.46
	ACCOUNT #: E0763037	BUY 0 Units @0.0000						
09/30/2018	***-**-52IN - BRODER, RUTH	PRUDENTIAL VA TRAILS 74430A235	0.00	288.33	0.00	288.33	70.0	201.83
	ACCOUNT #: E0763037	BUY 0 Units @0.0000						
10/08/2018	***-**-9632 - H3 PET SUPPLY	QUALIFIED PLAN SUMMARY	0.00	320.42	0.00	320.42	70.0	224.29
	ACCOUNT #: E1567217	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,568.20	\$0.00	\$4,568.20		\$3,197.75
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$4,570.70	\$0.00	\$4,570.70		\$3,199.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,082.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$97.14
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,296.64
Year-to-date Earnings	\$30,410.48