

**COMMISSION STATEMENT**

April 30, 2018

RIX SMITH-D063

BROKER #: D063

BRANCH: DMA

, TX

**COMMISSION STATEMENT for RIX SMITH-D063**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/03/2018 646122448	ROSS, PATRICIA	S CONOCOPHILLIPS	S	-11,972.27	25.00	15.00	10.00	48.0	4.80
04/11/2018 676349914	SMITH TTEE, RIX	S VANECK VECTORS RUSSIA ETF	B	4,156.45	15.00	15.00	0.00	48.0	0.00
04/26/2018 676349914	SMITH TTEE, RIX	S KINDER MORGAN INC	B	3,261.19	15.00	15.00	0.00	48.0	0.00
04/26/2018 676349914	SMITH TTEE, RIX	S POWERSHARES BULLETSHARES 2021	S	-6,196.91	15.00	15.00	0.00	48.0	0.00
04/18/2018 677511653	SMITH, DEBORAH	S KINDER MORGAN INC	B	3,248.45	15.00	15.00	0.00	48.0	0.00
04/18/2018 677511653	SMITH, DEBORAH	S WILLIAMS COMPANIES INC (DEL)	B	2,598.35	15.00	15.00	0.00	48.0	0.00
04/19/2018 677667475	VARGA, STEVEN	S INTERNATIONAL PAPER COMPANY	B	2,699.90	18.00	15.00	3.00	48.0	1.44
04/19/2018 677667475	VARGA, STEVEN	S PROCTER & GAMBLE COMPANY	B	3,792.20	18.00	15.00	3.00	48.0	1.44
<b>* STOCKS SUBTOTAL *</b>				<b>\$1,587.36</b>	<b>\$136.00</b>	<b>\$120.00</b>	<b>\$16.00</b>		<b>\$7.68</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
03/28/2018 676349914	SMITH TTEE, RIX	B MESQUITE TEXAS GO & CTFS	B	24,873.53	15.00	15.00	0.00	48.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$24,873.53</b>	<b>\$15.00</b>	<b>\$15.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/20/2018 mfc595454	SMITH RIX 595454	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.16	0.00	1.16	48.0	0.56
04/20/2018 mfc595454	SMITH RIX 595454	MFT LORD ABBETT SHORT DURATION	B	0.00	3.11	0.00	3.11	48.0	1.49
04/20/2018 mfc595454	SMITH RIX 595454	MFT TEMP FRGN FND - CL A	B	0.00	3.54	0.00	3.54	48.0	1.70
04/20/2018 mfc595454	SMITH RIX 595454	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.34	0.00	6.34	48.0	3.04
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$14.15</b>	<b>\$0.00</b>	<b>\$14.15</b>		<b>\$6.79</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$26,460.89</b>	<b>\$165.15</b>	<b>\$135.00</b>	<b>\$30.15</b>		<b>\$14.47</b>

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RIX/RANDY SMITH/SMITH-D081 #80										
04/13/2018	676365887	SMITH, RANDALL	S	SOUTHERN COMPANY	B	9,905.46	20.00	7.50	12.50	6.00
04/24/2018	676365887	SMITH, RANDALL	S	SPECTRA ENERGY PARTNERS LP	B	12,212.43	20.00	7.50	12.50	6.00
03/28/2018	676356642	SMITH, RANDALL	B	MESQUITE TEXAS GO & CTFS	B	12,554.27	125.00	7.50	117.50	56.40
04/26/2018	676365887	SMITH, RANDALL	B	COLLIN CO TX CMNTY COLLEGE	B	12,389.85	125.00	7.50	117.50	56.40
04/26/2018	676365887	SMITH, RANDALL	B	NEW BRAUNFELS UTIL SYS	B	12,077.92	10.00	7.50	2.50	1.20
04/26/2018	676365887	SMITH, RANDALL	B	NEW BRAUNFELS UTIL SYS	B	-12,077.92	-10.00	-7.50	-2.50	-1.20
04/26/2018	676365887	SMITH, RANDALL	B	NEW BRAUNFELS UTIL SYS	B	12,077.92	125.00	7.50	117.50	56.40
04/20/2018	mfc913901	SMITH 913901		MFT CAPITAL INCOME BUILDER-A	B	0.00	3.38	0.00	3.38	1.62
04/20/2018	mfc913901	SMITH 913901		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.14	0.00	4.14	1.99
04/20/2018	mfc913901	SMITH 913901		MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.01	0.00	6.01	2.88
04/20/2018	mfc913901	SMITH 913901		MFT GLOBAL BALANCED FUND-A	B	0.00	8.85	0.00	8.85	4.25
04/20/2018	mfc913901	SMITH 913901		MFT INTERNATIONAL GROWTH AND	B	0.00	9.26	0.00	9.26	4.44
04/20/2018	mfc913901	SMITH 913901		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.54	0.00	1.54	0.74
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *						\$59,139.93	\$448.18	\$37.50	\$410.68	\$197.12
*** SPLIT TRANSACTIONS TOTAL ***						\$59,139.93	\$448.18	\$37.50	\$410.68	\$197.12

**ADJUSTMENTS**

04/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	652.90
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$652.90</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14.47
Split Transactions	\$197.12
Override Transactions	\$0.00
Adjustments	\$652.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$864.49</b>
<b>Year-to-date Commissions</b>	<b>\$9,082.61</b>