

COMMISSION STATEMENT September 30, 2018

TOMMY THOMAS-D007
 2836 Bill Owens Parkway
 Suite A
 Longview, TX 75605

BROKER #: D007
 BRANCH: DMN

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/05/2018 (None)	VARIOUS, THOMAS	MF JOHN HANCOCK FREEDOM	B	0.00	6.39	0.00	6.39	85.0	5.43
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$6.39	\$0.00	\$6.39		\$5.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/14/2018 (None)	THE TRIM SHOP	VA PRUDENTIAL LIFE	B	1,000.00	15.00	0.00	15.00	85.0	12.75
09/14/2018 1014010692	SMART HOSPITALIST	VA PERSP L 08/11	B	54,000.00	2,160.00	0.00	2,160.00	85.0	1,836.00
09/14/2018 1014085366	NGANKEU, EVARISTE	VA PERSP L 08/11	B	23,576.11	943.04	0.00	943.04	85.0	801.58
* VARIABLE ANNUITIES SUBTOTAL *				\$78,576.11	\$3,118.04	\$0.00	\$3,118.04		\$2,650.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/31/2018 (None)	VARIOUS, THOMAS	MFT JOHN HANCOCK FREEDOM	B	0.00	13.44	0.00	13.44	85.0	11.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$13.44	\$0.00	\$13.44		\$11.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
08/31/2018 (None)	VARIOUS, THOMAS	VAT TRANSAMERICA LIFE	B	0.00	396.23	0.00	396.23	85.0	336.80
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$396.23	\$0.00	\$396.23		\$336.80
*** DIRECT TRANSACTIONS TOTAL ***				\$78,576.11	\$3,534.10	\$0.00	\$3,534.10		\$3,003.98
<u>ADJUSTMENTS TRANSACTIONS</u>									
<u>BROKER: #0</u>									
09/30/2018		: E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,003.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,848.98
Year-to-date Commissions	\$70,837.31