



# COMMISSION STATEMENT

## October 15, 2018

SARA BERKOWICZ  
9101 Kilbourn Avenue  
Skokie, IL 60076

BROKER #: 673  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/08/2018	***-**-278I - BOIN, ROBERT ACCOUNT #: LSR012114	AMERICAN BALANCED FND F2-NFS SELL 13 Units @27.7000	346.50	3.00	0.00	3.00	50.0	1.50
10/08/2018	***-**-278I - BOIN, ROBERT ACCOUNT #: LSR012114	AMERICAN CAPITAL INCOME BUILDER CL SELL 6 Units @59.7900	346.48	3.00	0.00	3.00	50.0	1.50
10/08/2018	***-**-278I - BOIN, ROBERT ACCOUNT #: LSR012114	AMERICAN INCOME FND OF AMERICA CL F SELL 15 Units @23.0600	356.99	3.00	0.00	3.00	50.0	1.50
10/11/2018	***-**-00I2 - BEUTLICH, FBO ACCOUNT #: LSR003700	RIVERNORTH CORE OPPPORTUNITY FUND SELL 3045 Units @10.3800	31,609.45	0.00	18.00	-18.00	100.0	-18.00
10/11/2018	***-**-00I2 - BEUTLICH, FBO ACCOUNT #: LSR003700	T ROWE PRICE GROWTH STOCK ADVISOR C SELL 155 Units @64.4000	10,000.03	0.00	18.00	-18.00	100.0	-18.00
10/11/2018	***-**-00I2 - BEUTLICH, FBO ACCOUNT #: LSR003700	VICTORY DIVERSIFIED STOCK CLASS A SELL 697 Units @18.1300	12,638.17	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$55,297.62</b>	<b>\$9.00</b>	<b>\$54.00</b>	<b>\$-45.00</b>		<b>\$-49.50</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/11/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	COMPASS DIVERSIFIED HLDGS SH BEN IN BUY 230 Units @17.4300	4,008.90	38.00	11.29	26.71	50.0	13.36
10/05/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	IEC ELECTRS CORP NEW COM SELL 30 Units @5.2900	158.70	38.00	9.29	28.71	50.0	14.36
10/05/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	IEC ELECTRS CORP NEW COM SELL 200 Units @5.2900	1,058.00	0.00	10.99	-10.99	100.0	-10.99
10/05/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	IEC ELECTRS CORP NEW COM SELL 20 Units @5.2900	105.80	0.00	9.19	-9.19	100.0	-9.19
10/05/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	MAXIM INTEGRATED PRODS INC SELL 25 Units @55.6000	1,390.00	38.00	9.24	28.76	50.0	14.38
10/05/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	PATRIOT SCIENTIFIC CORP COM SELL 625 Units @0.0050	3.13	0.00	8.99	-8.99	100.0	-8.99
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 5 Units @53.7200	268.60	2.75	15.10	-12.35	100.0	-12.35
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 50 Units @53.7200	2,686.00	12.16	1.00	11.16	50.0	5.58
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 37 Units @53.7200	1,987.64	54.00	0.74	53.26	50.0	26.63



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 148 Units @53.7200	7,950.56	46.75	2.96	43.79	50.0	21.90
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 10 Units @53.7200	537.20	5.50	0.20	5.30	50.0	2.65
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 13 Units @53.7200	698.36	2.38	0.26	2.12	50.0	1.06
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 9 Units @53.7200	483.48	1.64	0.18	1.46	50.0	0.73
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 3 Units @53.7200	161.16	0.55	0.06	0.49	50.0	0.25
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 100 Units @53.7200	5,372.00	18.26	2.00	16.26	50.0	8.13
10/05/2018	***-**-49IN - BEEM, TERESA ACCOUNT #: LSR002747	WELLS FARGO & CO NEW SOLICITED ORDE SELL 33 Units @53.7200	1,772.76	6.03	0.66	5.37	50.0	2.69
10/05/2018	***-**-104X - SARA S ACCOUNT #: LSF000311	XEROX CORP COM NEW SELL 50 Units @27.0400	1,352.00	38.00	9.49	28.51	50.0	14.26
<b>* STOCKS SUBTOTAL *</b>			<b>\$29,994.29</b>	<b>\$302.02</b>	<b>\$91.64</b>	<b>\$210.38</b>		<b>\$84.46</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/15/2018	***-**-278I - BOIN, ROBERT ACCOUNT #: 00091150462	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	26.67	0.00	26.67	50.0	13.34
10/15/2018	***-**-78IN - BOIN, ROBERT ACCOUNT #: 00091150485	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	38.00	0.00	38.00	50.0	19.00
10/15/2018	***-**-45IR - LAMPERT, ELLEN ACCOUNT #: 091151636	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	25.33	0.00	25.33	50.0	12.67
10/15/2018	***-**-981O - STARR, SHELDON	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	22.80	0.00	22.80	50.0	11.40
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$112.80</b>	<b>\$0.00</b>	<b>\$112.80</b>		<b>\$56.41</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$85,291.91</b>	<b>\$423.82</b>	<b>\$145.64</b>	<b>\$278.18</b>		<b>\$91.37</b>



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<b><u>BROKER COMMISSION TOTALS</u></b>								
						Broker Transactions		\$91.37
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
<b>Balance Forward</b>								<b>\$91.37</b>
<b>Year-to-date Earnings</b>								<b>\$9,905.81</b>