



# COMMISSION STATEMENT

## September 10, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/05/2018	***-**-7960 - CARVAJAL, JUDITH	AF MODERATE GROWTH AND INCOME-A	599.99	12.00	3.00	9.00	80.0	7.20
	ACCOUNT #: LSR011337	BUY 39 Units @15.3200						
08/30/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	15.29	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 04001030377	BUY 0 Units @36.8400						
08/30/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	25.49	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 04001030377	BUY 1 Units @36.8400						
09/06/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	23.37	1.17	0.00	1.17	80.0	0.94
	ACCOUNT #: 04001030377	BUY 1 Units @36.2900						
09/06/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	14.02	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 04001030377	BUY 0 Units @36.2900						
08/30/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @15.2600						
08/30/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.00	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 87 Units @15.2600						
08/30/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @15.2600						
08/30/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	28.35	1.42	0.00	1.42	80.0	1.14
	ACCOUNT #: 04001030237	BUY 1 Units @21.0600						
08/30/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	99.21	4.96	0.00	4.96	80.0	3.97
	ACCOUNT #: 04001030237	BUY 5 Units @21.0600						
09/06/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	28.24	1.41	0.00	1.41	80.0	1.13
	ACCOUNT #: 04001030237	BUY 1 Units @20.7000						
09/06/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	98.83	4.94	0.00	4.94	80.0	3.95
	ACCOUNT #: 04001030237	BUY 5 Units @20.7000						
08/27/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	25.44	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 04000627501	BUY 1 Units @29.3100						
08/27/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	25.44	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 04000627501	BUY 1 Units @29.3100						
08/27/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	30.26	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 04000627501	BUY 1 Units @29.3100						
08/27/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	30.26	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 04000627501	BUY 1 Units @29.3100						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/27/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.00	1.01	0.00	1.01	80.0	0.81
	ACCOUNT #: 04000627501	BUY 1 Units @29.3100						
08/27/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.00	1.01	0.00	1.01	80.0	0.81
	ACCOUNT #: 04000627501	BUY 1 Units @29.3100						
08/28/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.4100						
08/28/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.4100						
08/28/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.4100						
08/28/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.4100						
08/28/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 10 Units @28.4100						
08/28/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 10 Units @28.4100						
08/28/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.4100						
08/28/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 10 Units @28.4100						
08/29/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @29.0800						
08/29/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @29.0800						
08/29/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 5 Units @29.0800						
08/29/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @29.0800						
08/29/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	25.84	1.10	0.00	1.10	80.0	0.88
	ACCOUNT #: 04000812765	BUY 1 Units @29.5400						
08/31/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.9700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/31/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.9700						
08/31/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 5 Units @28.9700						
08/31/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.9700						
09/04/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.00	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @29.3500						
09/05/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	20.52	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000812765	BUY 1 Units @29.3200						
09/07/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.1800						
09/07/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.1800						
09/07/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.1800						
09/07/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.1800						
09/07/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.1800						
09/07/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.1800						
09/07/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.1800						
09/07/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.1800						
08/30/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.23	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @27.7700						
08/30/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 14 Units @27.7700						
09/06/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.18	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @27.5900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/06/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 14 Units @27.5900						
08/29/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.3300						
08/29/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.3300						
08/31/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.2700						
08/31/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.2700						
08/29/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000812746	BUY 23 Units @15.8000						
08/30/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.79	1.49	0.00	1.49	80.0	1.19
	ACCOUNT #: 04001030247	BUY 2 Units @15.8700						
08/30/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	69.52	3.48	0.00	3.48	80.0	2.78
	ACCOUNT #: 04001030247	BUY 4 Units @15.8700						
08/30/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.15	1.51	0.00	1.51	80.0	1.21
	ACCOUNT #: 04001030256	BUY 2 Units @15.8700						
08/30/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.15	1.51	0.00	1.51	80.0	1.21
	ACCOUNT #: 04001030256	BUY 2 Units @15.8700						
08/30/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	28.54	1.43	0.00	1.43	80.0	1.14
	ACCOUNT #: 04001030268	BUY 2 Units @15.8700						
08/30/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	47.57	2.38	0.00	2.38	80.0	1.90
	ACCOUNT #: 04001030268	BUY 3 Units @15.8700						
08/30/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	43.42	2.17	0.00	2.17	80.0	1.74
	ACCOUNT #: 04001030467	BUY 3 Units @15.8700						
08/30/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	8.00	0.00	8.00	80.0	6.40
	ACCOUNT #: 04001030467	BUY 10 Units @15.8700						
09/05/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000812746	BUY 23 Units @15.5900						
09/05/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000812746	BUY 23 Units @15.5900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/06/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	69.59	3.48	0.00	3.48	80.0	2.78
	ACCOUNT #: 04001030247	BUY 4 Units @15.6700						
09/06/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.82	1.49	0.00	1.49	80.0	1.19
	ACCOUNT #: 04001030247	BUY 2 Units @15.6700						
09/06/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.18	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 04001030256	BUY 2 Units @15.6700						
09/06/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.18	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 04001030256	BUY 2 Units @15.6700						
09/06/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	47.44	2.37	0.00	2.37	80.0	1.90
	ACCOUNT #: 04001030268	BUY 3 Units @15.6700						
09/06/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	28.46	1.42	0.00	1.42	80.0	1.14
	ACCOUNT #: 04001030268	BUY 2 Units @15.6700						
09/06/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	49.14	2.46	0.00	2.46	80.0	1.97
	ACCOUNT #: 04001030467	BUY 3 Units @15.6700						
09/06/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	8.00	0.00	8.00	80.0	6.40
	ACCOUNT #: 04001030467	BUY 10 Units @15.6700						
08/30/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 83 Units @14.6700						
08/30/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 55 Units @14.6700						
08/30/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.01	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.6700						
08/30/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @14.6700						
08/30/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 17 Units @14.6700						
09/06/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @14.4800						
09/06/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 17 Units @14.4800						
08/29/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @16.1900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/29/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @16.1900						
08/29/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000813270	BUY 22 Units @16.4400						
08/31/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @16.0800						
08/31/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @16.0800						
09/05/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000813270	BUY 23 Units @16.1800						
09/05/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	15.51	0.00	15.51	80.0	12.41
	ACCOUNT #: 04000813270	BUY 23 Units @16.1800						
08/27/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	29.81	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 04000627489	BUY 2 Units @16.7400						
08/27/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.7400						
08/27/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	28.18	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000627489	BUY 2 Units @16.7400						
08/27/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.7400						
08/27/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	27.82	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: 04000627489	BUY 2 Units @16.7400						
08/27/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.7400						
08/29/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 04000812733	BUY 18 Units @16.8900						
08/29/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.8900						
08/30/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	4.50	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04001030407	BUY 0 Units @16.9700						
08/30/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	137.67	6.88	0.00	6.88	80.0	5.50
	ACCOUNT #: 04001030407	BUY 8 Units @16.9700						



# COMMISSION STATEMENT

## September 10, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/30/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	19.94	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04001030412	BUY 1 Units @16.9700						
08/30/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	66.47	3.32	0.00	3.32	80.0	2.66
	ACCOUNT #: 04001030412	BUY 4 Units @16.9700						
08/30/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.66	0.00	3.66	80.0	2.93
	ACCOUNT #: 04001030442	BUY 4 Units @16.9700						
08/30/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 04001030442	BUY 14 Units @16.9700						
09/05/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 04000812733	BUY 18 Units @16.6100						
09/05/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 04000812733	BUY 18 Units @16.6100						
09/05/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 04000812773	BUY 1 Units @16.6100						
09/06/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	13.75	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 04001030407	BUY 1 Units @16.6800						
09/06/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	250.00	12.50	0.00	12.50	80.0	10.00
	ACCOUNT #: 04001030407	BUY 15 Units @16.6800						
09/06/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	20.21	1.01	0.00	1.01	80.0	0.81
	ACCOUNT #: 04001030412	BUY 1 Units @16.6800						
09/06/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	67.35	3.37	0.00	3.37	80.0	2.70
	ACCOUNT #: 04001030412	BUY 4 Units @16.6800						
09/06/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.66	0.00	3.66	80.0	2.93
	ACCOUNT #: 04001030442	BUY 4 Units @16.6800						
09/06/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 04001030442	BUY 14 Units @16.6800						
08/29/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.5900						
08/29/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.5900						
08/29/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.5900						



# COMMISSION STATEMENT

## September 10, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/29/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.5900	50.30	1.38	0.00	1.38	80.0	1.10
08/30/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.9100	34.70	1.74	0.00	1.74	80.0	1.39
08/30/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.9100	34.70	1.74	0.00	1.74	80.0	1.39
08/31/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.4800	45.41	1.25	0.00	1.25	80.0	1.00
08/31/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.4800	187.00	5.14	0.00	5.14	80.0	4.11
08/31/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.4800	100.00	2.75	0.00	2.75	80.0	2.20
08/31/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.4800	50.30	1.38	0.00	1.38	80.0	1.10
09/06/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.6300	34.57	1.73	0.00	1.73	80.0	1.38
09/06/2018	***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.6300	34.57	1.73	0.00	1.73	80.0	1.38
08/28/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.2500	75.00	0.90	0.00	0.90	80.0	0.72
08/28/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.2500	75.00	0.90	0.00	0.90	80.0	0.72
09/06/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 0 Units @13.6100	6.17	0.31	0.00	0.31	80.0	0.25
09/06/2018	***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422	AMERICAN FUNDS 2060 TARGET DATE-A BUY 1 Units @13.6100	10.29	0.51	0.00	0.51	80.0	0.41
09/07/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0200	75.00	0.90	0.00	0.90	80.0	0.72
09/07/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0200	75.00	0.90	0.00	0.90	80.0	0.72
08/27/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.5100	25.00	0.25	0.00	0.25	80.0	0.20





# COMMISSION STATEMENT

## September 10, 2018

JOSEPH FERRY  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/27/2018	***--2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.5100						
08/27/2018	***--2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.5100						
08/27/2018	***--2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.5100						
08/27/2018	***--2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.5100						
08/27/2018	***--2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.5100						
08/27/2018	***--3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.5100						
08/27/2018	***--3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.5100						
08/27/2018	***--3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.5100						
08/27/2018	***--3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.5100						
08/27/2018	***--3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.5100						
08/27/2018	***--3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.5100						
08/27/2018	***--0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.5100						
08/27/2018	***--0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	32.86	0.33	0.00	0.33	80.0	0.26
	ACCOUNT #: 00085621852	BUY 2 Units @15.5100						
08/27/2018	***--0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.5100						
08/27/2018	***--0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	31.95	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00085621852	BUY 2 Units @15.5100						
08/27/2018	***--0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.5100						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/27/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.5100	37.28	0.37	0.00	0.37	80.0	0.30
08/30/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @45.3600	15.29	0.76	0.00	0.76	80.0	0.61
08/30/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 1 Units @45.3600	25.48	1.27	0.00	1.27	80.0	1.02
09/06/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 1 Units @45.2400	23.36	1.17	0.00	1.17	80.0	0.94
09/06/2018	***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377	AMERICAN MUTUAL FUND-A BUY 0 Units @45.2400	14.02	0.70	0.00	0.70	80.0	0.56
08/31/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @109.8800	75.00	2.10	0.00	2.10	80.0	1.68
08/31/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 31 Units @2.4100	75.00	2.10	0.00	2.10	80.0	1.68
08/31/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @33.3400	75.00	2.10	0.00	2.10	80.0	1.68
08/31/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000006984020841	TEMP GLOBAL BOND FND - CL A BUY 6 Units @11.7500	75.00	2.10	0.00	2.10	80.0	1.68
08/30/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 0 Units @52.0800	19.10	0.19	0.00	0.19	80.0	0.15
08/30/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 1 Units @52.0800	50.95	0.51	0.00	0.51	80.0	0.41
09/06/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.8600	19.40	0.19	0.00	0.19	80.0	0.15
09/06/2018	***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.8600	51.72	0.52	0.00	0.52	80.0	0.42
08/28/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5600	30.00	0.36	0.00	0.36	80.0	0.29
08/28/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5600	30.00	0.36	0.00	0.36	80.0	0.29
09/07/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5200	30.00	0.36	0.00	0.36	80.0	0.29



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
09/07/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5200						
08/30/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.74	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @45.9500						
08/30/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	33.96	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 04001030438	BUY 1 Units @45.9500						
09/06/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	12.93	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @45.7400						
09/06/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	34.48	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 04001030438	BUY 1 Units @45.7400						
* MUTUAL FUNDS SUBTOTAL *			\$17,998.62	\$451.61	\$21.00	\$430.61		\$343.24
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
08/21/2018	***-**-474I - PEPICE, VITO	JACKSON NAT PERS II OPT A 0-80	134,251.00	9,397.57	0.00	9,397.57	80.0	7,518.06
		BUY 1 Units @134251.000						
* VARIABLE ANNUITIES SUBTOTAL *			\$134,251.00	\$9,397.57	\$0.00	\$9,397.57		\$7,518.06
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
08/31/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	43.25	0.00	43.25	80.0	34.60
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
08/31/2018	***-**-3912 - LAFUENTE, CARLOS	MASS MUTUAL VA DTCC TRAILS	0.00	203.78	0.00	203.78	80.0	163.02
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$247.03	\$0.00	\$247.03		\$197.62
*** BROKER TRANSACTIONS TOTAL ***			\$152,249.62	\$10,096.21	\$21.00	\$10,075.21		\$8,058.92



BROKER #: 180  
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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$8,058.92
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$8,058.92
						Year-to-date Earnings		\$73,545.58