

COMMISSION STATEMENT

November 15, 2018

 DEAN DIXON-D340
 1473 South Evanston Street

 BROKER #: D340
 BRANCH: DMN

Aurora, CO 80012

COMMISSION STATEMENT for DEAN DIXON-D340

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/25/2018 (None)	0045797602	MF SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	65.0	3.90	
11/09/2018	00088570140	MCCOY, JOSEPHINE	MF GROWTH AND INCOME PORTFOLIO-A	B	44.10	2.21	0.00	2.21	65.0	1.44
11/09/2018	00088570140	MCCOY, JOSEPHINE	MF GROWTH AND INCOME PORTFOLIO-A	B	200.00	10.00	0.00	10.00	65.0	6.50
11/09/2018	00088570147	CHRISTOPHER,	MF BALANCED PORTFOLIO-A	B	40.66	2.03	0.00	2.03	65.0	1.32
11/09/2018	00088570147	CHRISTOPHER,	MF BALANCED PORTFOLIO-A	B	100.00	5.00	0.00	5.00	65.0	3.25
10/30/2018	00088974995	ELZEY, ANGELA	MF THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	65.0	3.25
11/01/2018	04001251367	MOSLEY, LOIS	MF THE INVESTMENT COMPANY OF	B	1,500.00	75.00	0.00	75.00	65.0	48.75
* MUTUAL FUNDS SUBTOTAL *				\$2,184.76	\$105.24	\$0.00	\$105.24		\$68.41	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
10/15/2018 (None)	RICE, DONALD	VA LINCOLN NATL LIFE	B	900.00	60.75	0.00	60.75	65.0	39.49	
* VARIABLE ANNUITIES SUBTOTAL *				\$900.00	\$60.75	\$0.00	\$60.75		\$39.49	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/31/2018	000000003025830	BURTON,	MFT TEMP FRGN FND - CL C	B	0.00	8.19	0.00	8.19	65.0	5.32
10/31/2018	000000007191692	HATCH-, TY	MFT FRANKLIN INCOME 529 PORTFOLIO -	B	0.00	5.74	0.00	5.74	65.0	3.73
10/31/2018	000000007191692	HATCH-, TY	MFT FRANKLIN TEMPLETON CUSIP	B	0.00	3.37	0.00	3.37	65.0	2.19
10/31/2018	000000007254757	WATERBETTO	MFT FRANKLIN INCOME 529 PORTFOLIO -	B	0.00	5.17	0.00	5.17	65.0	3.36
10/31/2018	000000007254757	WATERBETTO	MFT FRANKLIN TEMPLETON CUSIP	B	0.00	3.37	0.00	3.37	65.0	2.19
10/31/2018	000000008232112	ASHFORD,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	42.77	0.00	42.77	65.0	27.80
10/31/2018	000000009491248	BURTON,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	5.65	0.00	5.65	65.0	3.67
10/31/2018	000000009491251	BURTOELAIE	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	2.52	0.00	2.52	65.0	1.64
10/31/2018	000000009593878	WATERS, BETTY	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.51	0.00	11.51	65.0	7.48
10/31/2018	000000009698489	RICE, DONALD	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.02	0.00	0.02	65.0	0.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$88.31	\$0.00	\$88.31		\$57.39	
*** DIRECT TRANSACTIONS TOTAL ***				\$3,084.76	\$254.30	\$0.00	\$254.30		\$165.29	

BROKER COMMISSION TOTALS

Broker Transactions	\$165.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-60.48
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$104.81
Year-to-date Commissions	\$3,395.00