

# COMMISSION STATEMENT

September 30, 2018

 PAUL WIMBERLY-D073  
 13918 Keel Avenue

 BROKER #: D073  
 BRANCH: DMN

Corpus Christi, TX 78418

## COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
09/26/2018	368491201	STONER, ROBERT	MF	JANUS FORTY FD CL T	B	-1,792.55	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *						\$-1,792.55	\$0.00	\$5.00	\$-5.00		\$-4.38
PRODUCT CATEGORY: STOCKS											
09/19/2018	646272022	FAGG, LINDA	S	KNIGHT-SWIFT TRANSN HLDGS INC	S	-3,630.50	15.00	15.00	0.00	87.5	0.00
09/13/2018	677623004	CURTIS, DAVID	S	SPDR FD FINANCIALS	S	-32,683.68	272.19	15.00	257.19	87.5	225.04
09/13/2018	677623004	CURTIS, DAVID	S	VANECK VECTORS SEMICONDUCTOR	S	-107,706.85	839.12	15.00	824.12	87.5	721.11
09/14/2018	677623004	CURTIS, DAVID	S	ISHARES RUSSELL 1000 GROWTH	B	28,296.11	235.44	15.00	220.44	87.5	192.89
09/14/2018	677623004	CURTIS, DAVID	S	SPDR PORTFOLIO S&P GROWTH ETF	B	28,462.66	236.68	15.00	221.68	87.5	193.97
09/14/2018	677623004	CURTIS, DAVID	S	VANGUARD GROWTH ETF (FORMERLY)	B	28,330.85	235.70	15.00	220.70	87.5	193.11
09/14/2018	677623004	CURTIS, DAVID	S	VANGUARD MEGA CAP GROWTH ETF	B	21,793.00	187.03	15.00	172.03	87.5	150.53
09/14/2018	677623004	CURTIS, DAVID	S	VANGUARD SMALL -CAP GROWTH ETF	B	27,839.42	232.04	15.00	217.04	87.5	189.91
08/30/2018	799497978	WIMBERLY, PAUL	S	SPDR S&P 500 ETF TRUST	S	-289,918.98	15.00	15.00	0.00	87.5	0.00
09/11/2018	799497978	WIMBERLY, PAUL	S	SPDR S&P 500 ETF TRUST	B	289,306.25	15.00	15.00	0.00	87.5	0.00
09/17/2018	799497978	WIMBERLY, PAUL	S	SPDR S&P 500 ETF TRUST	B	290,436.25	15.00	15.00	0.00	87.5	0.00
09/24/2018	799497978	WIMBERLY, PAUL	S	SPDR S&P 500 ETF TRUST	S	-582,696.17	15.00	15.00	0.00	87.5	0.00
09/24/2018	799497978	WIMBERLY, PAUL	S	VANGUARD INDEX FDS S&P 500 ETF	B	537,877.45	15.00	15.00	0.00	87.5	0.00
* STOCKS SUBTOTAL *						\$235,705.81	\$2,328.20	\$195.00	\$2,133.20		\$1,866.56
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/21/2018	mfc750162	WIMBERLY 750162	MFT	LARGE CAP EQUITY A	B	0.00	0.96	0.00	0.96	87.5	0.84
09/21/2018	mfc750162	WIMBERLY 750162	MFT	NEW PERSPECTIVE FUND-A	B	0.00	0.84	0.00	0.84	87.5	0.74
09/21/2018	mfc750162	WIMBERLY 750162	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.39	0.00	0.39	87.5	0.34
09/24/2018	mfc750162	WIMBERLY 750162	MFT	LARGE CAP EQUITY A	B	0.00	0.91	0.00	0.91	87.5	0.80
09/24/2018	mfc750162	WIMBERLY 750162	MFT	NEW PERSPECTIVE FUND-A	B	0.00	0.79	0.00	0.79	87.5	0.69
09/24/2018	mfc750162	WIMBERLY 750162	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.37	0.00	0.37	87.5	0.32
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$4.26	\$0.00	\$4.26		\$3.73
*** CLEARING TRANSACTIONS TOTAL ***						\$233,913.26	\$2,332.46	\$200.00	\$2,132.46		\$1,865.91

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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018 (None)	VARIOUS, WIMBERLY	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	16.75	0.00	16.75	87.5	14.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$16.75	\$0.00	\$16.75		\$14.66
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$16.75	\$0.00	\$16.75		\$14.66

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
09/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		148.41
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$93.41
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$93.41

BROKER COMMISSION TOTALS

Broker Transactions	\$1,880.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$93.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,973.98
Year-to-date Commissions	\$16,427.13