



COMMISSION STATEMENT

October 08, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/08/2018	***-**-0235 - RKS, PLUMBING & ACCOUNT #: 26983	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	909.98	0.00	909.98	70.0	636.99
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$909.98	\$0.00	\$909.98		\$636.99
<u>PRODUCT CATEGORY: RIA</u>								
10/05/2018	***-**-80FB - CURD, NANCY ACCOUNT #: 925344088	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	25.85	25.85	0.00	25.85	70.0	18.10
10/05/2018	***-**-33FB - AUDY, JAMES ACCOUNT #: 925433071	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	169.21	169.21	0.00	169.21	70.0	118.45
		* RIA SUBTOTAL *	\$195.06	\$195.06	\$0.00	\$195.06		\$136.55
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/02/2018	***-**-94IR - HALL-SPLENDORIO, PIONEER FUND A ACCOUNT #: 06202691947	PIONEER FUND A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	70.0	1.06
10/02/2018	***-**-77IR - NAVARRO, CELINA ACCOUNT #: 06206005823	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	47.14	0.00	47.14	70.0	33.00
09/30/2018	***-**-819I - COVEY, C ACCOUNT #: 00500445410	VALUE FUND A BUY 0 Units @0.0000	0.00	39.28	0.00	39.28	70.0	27.50
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$87.94	\$0.00	\$87.94		\$61.56
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/28/2018	***-**-10EX - EVERETT, WIDNEY ACCOUNT #: 712777976	HARTFORD LIFE CUSIP 416589562-NFS BUY 0 Units @0.0000	0.00	5.41	0.00	5.41	70.0	3.79
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$5.41	\$0.00	\$5.41		\$3.79
		*** BROKER TRANSACTIONS TOTAL ***	\$195.06	\$1,198.39	\$0.00	\$1,198.39		\$838.89



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$838.89
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$23.44
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$862.33
						Year-to-date Earnings		\$54,122.40