

COMMISSION STATEMENT

September 30, 2018

JIM HUGHES-D349
 150 West Gibson
 Suite 100
 Jasper, TX 75951

BROKER #: D349
 BRANCH: DMV

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/28/2018 (None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	34.26	0.00	34.26	80.0	27.41
09/10/2018 000000002367136	JONES, JESS	MF FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50	80.0	1.20
09/10/2018 000000002367163	JONES, JOHN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	450.00	4.50	0.00	4.50	80.0	3.60
09/10/2018 000000002367163	JONES, JOHN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	-450.00	-4.50	0.00	-4.50	80.0	-3.60
* MUTUAL FUNDS SUBTOTAL *				\$150.00	\$35.76	\$0.00	\$35.76		\$28.61
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/10/2018 (None)	LOVELADY, JERRY	VA TALCOTT RESOLUTION	B	604.72	42.33	0.00	42.33	80.0	33.86
09/10/2018 (None)	ESTER, NORMAN	VA TALCOTT RESOLUTION	B	236.22	16.54	0.00	16.54	80.0	13.23
* VARIABLE ANNUITIES SUBTOTAL *				\$840.94	\$58.87	\$0.00	\$58.87		\$47.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/21/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	27.98	0.00	27.98	80.0	22.38
09/17/2018 VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00	80.0	33.60
09/17/2018 VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	26.89	0.00	26.89	80.0	21.51
09/17/2018 VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	16.98	0.00	16.98	80.0	13.58
09/17/2018 VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	21.52	0.00	21.52	80.0	17.22
09/17/2018 VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.39	0.00	14.39	80.0	11.51
09/17/2018 VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	12.97	0.00	12.97	80.0	10.38
09/17/2018 VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	18.90	0.00	18.90	80.0	15.12
09/10/2018 VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.91	0.00	10.91	80.0	8.73
09/20/2018 VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.48	0.00	3.48	80.0	2.78
09/20/2018 VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.22	0.00	3.22	80.0	2.58
09/20/2018 VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	9.03	0.00	9.03	80.0	7.22
09/20/2018 VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.95	0.00	2.95	80.0	2.36
09/20/2018 VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.80	0.00	1.80	80.0	1.44
09/20/2018 VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.42	0.00	2.42	80.0	1.94
09/20/2018 VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.60	0.00	9.60	80.0	7.68
09/10/2018 VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92	80.0	11.94
09/10/2018 VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.72	0.00	9.72	80.0	7.78
09/10/2018 VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	21.95	0.00	21.95	80.0	17.56
09/10/2018 VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	80.0	10.98

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		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$285.36	\$0.00	\$285.36		\$228.29
		*** DIRECT TRANSACTIONS TOTAL ***		\$990.94	\$379.99	\$0.00	\$379.99		\$303.99

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$303.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$118.99
Year-to-date Commissions	\$29,921.34