



COMMISSION STATEMENT

September 17, 2018

RICHARD GUNTNER
2645 Spring Rd.
Carney, MD 21234

BROKER #: B31
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/10/2018	***-**-4285 - WILLIAMS, ACCOUNT #: 00089976016	AMERICAN FUNDS 02629M587 BUY 4 Units @12.3300	50.00	1.75	0.00	1.75	70.0	1.23
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$1.75	\$0.00	\$1.75		\$1.23
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	AMCAP FUND-A BUY 0 Units @0.0000	0.00	3.58	0.00	3.58	70.0	2.51
09/04/2018	***-**-3228 - SLAYSMAN, ACCOUNT #: 00082431118	AMCAP FUND-A BUY 0 Units @0.0000	0.00	3.24	0.00	3.24	70.0	2.27
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	2.45	0.00	2.45	70.0	1.72
09/04/2018	***-**-4285 - WILLIAMS, ACCOUNT #: 00089976016	AMERICAN FUNDS 02629M587 BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	70.0	0.42
09/04/2018	***-**-3113 - LONG, JUDE ACCOUNT #: 04000109801	AMERICAN FUNDS 02629M587 BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	70.0	0.36
09/04/2018	***-**-3318 - CHAVIS ALSTON, ACCOUNT #: 00089573423	AMERICAN FUNDS 02629M587 BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	70.0	2.53
09/04/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 00087128668	AMERICAN FUNDS 02630R732 BUY 0 Units @0.0000	0.00	3.89	0.00	3.89	70.0	2.72
09/04/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 00087128742	AMERICAN FUNDS 02630R732 BUY 0 Units @0.0000	0.00	3.32	0.00	3.32	70.0	2.32
09/04/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 00087128772	AMERICAN FUNDS 02630R732 BUY 0 Units @0.0000	0.00	3.32	0.00	3.32	70.0	2.32
09/04/2018	***-**-4851 - ALI, NAYEEM ACCOUNT #: 00087128801	AMERICAN FUNDS 02630R732 BUY 0 Units @0.0000	0.00	5.05	0.00	5.05	70.0	3.54
09/04/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000	0.00	4.09	0.00	4.09	70.0	2.86
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00068735076	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	10.31	0.00	10.31	70.0	7.22
09/04/2018	***-**-3228 - SLAYSMAN, ACCOUNT #: 00082431118	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.97	0.00	1.97	70.0	1.38
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	4.75	0.00	4.75	70.0	3.33



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	3.12	0.00	3.12	70.0	2.18
09/04/2018	***-**-3228 - SLAYSMAN, ACCOUNT #: 00082431118	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.44	0.00	1.44	70.0	1.01
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000	0.00	6.60	0.00	6.60	70.0	4.62
09/04/2018	***-**-3228 - SLAYSMAN, ACCOUNT #: 00082431118	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	70.0	2.08
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	2.95	0.00	2.95	70.0	2.07
09/04/2018	***-**-8542 - COOPER, DONNA ACCOUNT #: 00067616926	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	8.14	0.00	8.14	70.0	5.70
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	70.0	1.06
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00068735076	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.36	0.00	7.36	70.0	5.15
09/04/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	19.05	0.00	19.05	70.0	13.34
09/04/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.61	0.00	0.61	70.0	0.43
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00081583155	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.57	0.00	3.57	70.0	2.50
09/04/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.62	0.00	11.62	70.0	8.13
09/04/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 04000646908	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	70.0	0.29
09/04/2018	***-**-8542 - COOPER, DONNA ACCOUNT #: 00067616926	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.45	0.00	8.45	70.0	5.92
09/04/2018	***-**-0599 - HOULE-DESMOND, ACCOUNT #: 00068735076	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.79	0.00	11.79	70.0	8.25
09/04/2018	***-**-0112 - OEHL, MOENING ACCOUNT #: 00089700393	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.27	0.00	8.27	70.0	5.79



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-0112 - OEHL, MOENING	THE INCOME FUND OF AMERICA-A	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 04000646908	BUY 0 Units @0.0000						
09/04/2018	***-**-0112 - OEHL, MOENING	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.25	0.00	10.25	70.0	7.18
	ACCOUNT #: 00089700393	BUY 0 Units @0.0000						
09/04/2018	***-**-0112 - OEHL, MOENING	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 04000646908	BUY 0 Units @0.0000						
09/04/2018	***-**-8542 - COOPER, DONNA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	6.52	0.00	6.52	70.0	4.56
	ACCOUNT #: 00067616926	BUY 0 Units @0.0000						
09/04/2018	***-**-0599 - HOULE-DESMOND,	THE NEW ECONOMY FUND-A	0.00	3.98	0.00	3.98	70.0	2.79
	ACCOUNT #: 00081583155	BUY 0 Units @0.0000						
09/04/2018	***-**-3228 - SLAYSMAN,	THE NEW ECONOMY FUND-A	0.00	4.45	0.00	4.45	70.0	3.12
	ACCOUNT #: 00082431118	BUY 0 Units @0.0000						
09/04/2018	***-**-0599 - HOULE-DESMOND,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	15.19	0.00	15.19	70.0	10.63
	ACCOUNT #: 00068735076	BUY 0 Units @0.0000						
09/04/2018	***-**-8542 - COOPER, DONNA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.06	0.00	6.06	70.0	4.24
	ACCOUNT #: 00067616926	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$195.72	\$0.00	\$195.72		\$137.04
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$197.47	\$0.00	\$197.47		\$138.27



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$138.27
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$2.46
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$140.73
						Year-to-date Earnings	\$333.23