

2:51 PM

05/23/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
SICKLES, SCOTT A.-D060						
Credit	04/21/2018	FINR...			-55.00	-55.00
Bill	04/30/2018	COM...		04/30/2018	75.61	75.61
Credit	05/01/2018	E&O			-120.00	-120.00
Bill	05/15/2018	COM...		05/15/2018	120.40	120.40
Total SICKLES, SCOTT A.-D060					21.01	21.01
TOTAL					21.01	21.01

COMMISSION STATEMENT

May 15, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/20/2018	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
04/25/2018	0000000001042631 WEIR, DEBBIE	MF FRK AGGRESSIVE GRWTH - CL A	B	250.00	9.38	0.00	9.38	75.0	7.04
04/20/2018	0000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
04/20/2018	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
04/20/2018	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
04/23/2018	00082895917 JOHNSON, KRISTY	MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
04/23/2018	00082895917 JOHNSON, KRISTY	MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
04/23/2018	00082895917 JOHNSON, KRISTY	MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
05/01/2018	00085552496 MAXIE A SICKLES	MF CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
04/23/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	75.0	7.50
05/09/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	500.00	5.00	0.00	5.00	75.0	3.75
05/04/2018	04000584689 OLGA HOUSAND	MF THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
04/23/2018	04000650197 MONFORT, RONALD	MF AMCAP FUND-A	B	150.00	7.50	0.00	7.50	75.0	5.63
04/23/2018	04000650197 MONFORT, RONALD	MF THE GROWTH FUND OF AMERICA-A	B	150.00	7.50	0.00	7.50	75.0	5.63
04/23/2018	04000650593 MONFORT, TINA	MF RTMT INCOME PORTFOLIO	B	300.00	15.00	0.00	15.00	75.0	11.25
04/23/2018	04000650593 MONFORT, TINA	MF RTMT INCOME PORTFOLIO	B	10.00	0.50	0.00	0.50	75.0	0.38
* MUTUAL FUNDS SUBTOTAL *				\$3,260.00	\$73.23	\$0.00	\$73.23		\$54.95
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	000000000701021 BRANNON,	MFT FRANKLIN MODERATE ALLOCATION	B	0.00	2.69	0.00	2.69	75.0	2.02
04/30/2018	0000000001042631 WEIR, DEBBIE	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	41.39	0.00	41.39	75.0	31.04
04/30/2018	0000000012372684 GRIEGO,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	32.22	0.00	32.22	75.0	24.17
04/30/2018	000019817879772 BRANNON,	MFT FRANKLIN SMALL-MID CAP GROWTH	B	0.00	2.98	0.00	2.98	75.0	2.24
04/30/2018	000060177110343 BRANNON,	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	3.25	0.00	3.25	75.0	2.44
04/30/2018	000060177908880 PENRY, JOHN	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	2.97	0.00	2.97	75.0	2.23
04/30/2018	000090239634303 PENRY, JOHN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.74	0.00	1.74	75.0	1.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$87.24	\$0.00	\$87.24		\$65.45
*** DIRECT TRANSACTIONS TOTAL ***				\$3,260.00	\$160.47	\$0.00	\$160.47		\$120.40

BROKER COMMISSION TOTALS

Broker Transactions	\$120.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$120.40
Year-to-date Commissions	\$2,967.31