

COMMISSION STATEMENT

December 15, 2018

KATHERINE SMITH-DM31
 1701 River Run Road
 Suite 1116
 Forth Worth, TX 76107

BROKER #: DM31
 BRANCH: DMW

COMMISSION STATEMENT for KATHERINE SMITH-DM31

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|-------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 12/03/2018 | 00084791628 | MASSENGALE, MF NEW WORLD FUND-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 12/03/2018 | 00084791669 | MASSENGALE, MF NEW WORLD FUND-529A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 12/03/2018 | 00085359820 | LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A | B | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 12/03/2018 | 00085359860 | LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A | B | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 11/28/2018 | 00088311353 | MASSENGALE, LARA MF NEW PERSPECTIVE FUND-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 11/28/2018 | 00088311353 | MASSENGALE, LARA MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 11/28/2018 | 00088338383 | MASSENGALE, JASON MF NEW PERSPECTIVE FUND-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 11/28/2018 | 00088338383 | MASSENGALE, JASON MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 12/03/2018 | 00088996224 | LOGSDON, AVARI MF THE GROWTH FUND OF AMERICA-529A | B | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 12/03/2018 | 00089500058 | PERTLL, JAMES MF AMCAP FUND-A | B | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 12/03/2018 | 00089500058 | PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME | B | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 12/03/2018 | 04000452396 | PERTLL, JAMES MF AMCAP FUND-A | B | 50.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 12/03/2018 | 04000452396 | PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME | B | 50.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$650.00 | \$25.42 | \$0.00 | \$25.42 | | \$22.89 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|------------|-------------|--|---|------|-------|------|-------|------|-------|
| 12/03/2018 | 00062359412 | HOWARD, GLENDA MFT AMERICAN BALANCED FUND-A | B | 0.00 | 20.41 | 0.00 | 20.41 | 90.0 | 18.37 |
| 12/03/2018 | 00062359412 | HOWARD, GLENDA MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 22.90 | 0.00 | 22.90 | 90.0 | 20.61 |
| 12/03/2018 | 00062359412 | HOWARD, GLENDA MFT SMALLCAP WORLD FUND-A | B | 0.00 | 19.88 | 0.00 | 19.88 | 90.0 | 17.89 |
| 12/03/2018 | 00062359412 | HOWARD, GLENDA MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 24.46 | 0.00 | 24.46 | 90.0 | 22.01 |
| 12/03/2018 | 00062359412 | HOWARD, GLENDA MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 19.85 | 0.00 | 19.85 | 90.0 | 17.87 |
| 12/03/2018 | 00062514466 | HOWARD, GLENDA MFT AMERICAN BALANCED FUND-A | B | 0.00 | 48.58 | 0.00 | 48.58 | 90.0 | 43.72 |
| 12/03/2018 | 00062514466 | HOWARD, GLENDA MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 87.20 | 0.00 | 87.20 | 90.0 | 78.48 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT AMERICAN BALANCED FUND-A | B | 0.00 | 14.24 | 0.00 | 14.24 | 90.0 | 12.82 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 12.25 | 0.00 | 12.25 | 90.0 | 11.03 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT GLOBAL BALANCED FUND-A | B | 0.00 | 4.55 | 0.00 | 4.55 | 90.0 | 4.10 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT NEW WORLD FUND-A | B | 0.00 | 5.80 | 0.00 | 5.80 | 90.0 | 5.22 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 20.86 | 0.00 | 20.86 | 90.0 | 18.77 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 8.24 | 0.00 | 8.24 | 90.0 | 7.42 |
| 12/03/2018 | 00063060110 | COOK, JOHN MFT THE NEW ECONOMY FUND-A | B | 0.00 | 9.69 | 0.00 | 9.69 | 90.0 | 8.72 |
| 12/03/2018 | 00063060112 | COOK, JAN MFT AMERICAN BALANCED FUND-A | B | 0.00 | 14.24 | 0.00 | 14.24 | 90.0 | 12.82 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 12/03/2018 00063060112 | COOK, JAN | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 21.74 | 0.00 | 21.74 | 90.0 | 19.57 |
| 12/03/2018 00063060112 | COOK, JAN | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 18.38 | 0.00 | 18.38 | 90.0 | 16.54 |
| 12/03/2018 00063060112 | COOK, JAN | MFT NEW WORLD FUND-A | B | 0.00 | 3.86 | 0.00 | 3.86 | 90.0 | 3.47 |
| 12/03/2018 00063060112 | COOK, JAN | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 53.43 | 0.00 | 53.43 | 90.0 | 48.09 |
| 12/03/2018 00063060112 | COOK, JAN | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 24.04 | 0.00 | 24.04 | 90.0 | 21.64 |
| 12/03/2018 00063861203 | SWEENEY, KYLE | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 4.02 | 0.00 | 4.02 | 90.0 | 3.62 |
| 12/03/2018 00064159648 | GRACEY, CATHERINE | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 25.96 | 0.00 | 25.96 | 90.0 | 23.36 |
| 12/03/2018 00064159648 | GRACEY, CATHERINE | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 21.04 | 0.00 | 21.04 | 90.0 | 18.94 |
| 12/03/2018 00064545457 | SWEENEY, CHARLES | MFT NEW WORLD FUND-A | B | 0.00 | 2.32 | 0.00 | 2.32 | 90.0 | 2.09 |
| 12/03/2018 00064545457 | SWEENEY, CHARLES | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 27.53 | 0.00 | 27.53 | 90.0 | 24.78 |
| 12/03/2018 00064559185 | GOSS, HERBERT | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 12/03/2018 00064649478 | PEOPLES, BILLY | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 3.15 | 0.00 | 3.15 | 90.0 | 2.84 |
| 12/03/2018 00064649478 | PEOPLES, BILLY | MFT NEW WORLD FUND-A | B | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 12/03/2018 00064649478 | PEOPLES, BILLY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 7.60 | 0.00 | 7.60 | 90.0 | 6.84 |
| 12/03/2018 00064649478 | PEOPLES, BILLY | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 1.89 | 0.00 | 1.89 | 90.0 | 1.70 |
| 12/03/2018 00064695507 | HOWARD, GLENDA | MFT NEW WORLD FUND-A | B | 0.00 | 6.55 | 0.00 | 6.55 | 90.0 | 5.90 |
| 12/03/2018 00067243589 | PEOPLES, MAUREEN | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 24.04 | 0.00 | 24.04 | 90.0 | 21.64 |
| 12/03/2018 00067243589 | PEOPLES, MAUREEN | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 24.89 | 0.00 | 24.89 | 90.0 | 22.40 |
| 12/03/2018 00067243589 | PEOPLES, MAUREEN | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 46.91 | 0.00 | 46.91 | 90.0 | 42.22 |
| 12/03/2018 00067255590 | REYNOLDS, MOLLIE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.03 | 0.00 | 6.03 | 90.0 | 5.43 |
| 12/03/2018 00067283584 | KRAUSE, NANCY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 17.24 | 0.00 | 17.24 | 90.0 | 15.52 |
| 12/03/2018 00067283584 | KRAUSE, NANCY | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 2.87 | 0.00 | 2.87 | 90.0 | 2.58 |
| 12/03/2018 00067283584 | KRAUSE, NANCY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 11.79 | 0.00 | 11.79 | 90.0 | 10.61 |
| 12/03/2018 00067606409 | ARENA, ELLEN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 40.15 | 0.00 | 40.15 | 90.0 | 36.14 |
| 12/03/2018 00067606409 | ARENA, ELLEN | MFT INTERMEDIATE BOND FUND OF | B | 0.00 | 18.69 | 0.00 | 18.69 | 90.0 | 16.82 |
| 12/03/2018 00067606409 | ARENA, ELLEN | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 56.69 | 0.00 | 56.69 | 90.0 | 51.02 |
| 12/03/2018 00067606409 | ARENA, ELLEN | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 44.17 | 0.00 | 44.17 | 90.0 | 39.75 |
| 12/03/2018 00068172313 | THOMASON, DWIGHT | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 1.84 | 0.00 | 1.84 | 90.0 | 1.66 |
| 12/03/2018 00068172313 | THOMASON, DWIGHT | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.70 | 0.00 | 0.70 | 90.0 | 0.63 |
| 12/03/2018 00068606241 | PHILLIPS, KAREN | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 36.30 | 0.00 | 36.30 | 90.0 | 32.67 |
| 12/03/2018 00068606242 | PHILLIPS, KAREN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 113.99 | 0.00 | 113.99 | 90.0 | 102.59 |
| 12/03/2018 00068606242 | PHILLIPS, KAREN | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 179.10 | 0.00 | 179.10 | 90.0 | 161.19 |
| 12/03/2018 00068606242 | PHILLIPS, KAREN | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 61.70 | 0.00 | 61.70 | 90.0 | 55.53 |
| 12/03/2018 00073790810 | PAYNE, KELLY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 2.89 | 0.00 | 2.89 | 90.0 | 2.60 |
| 12/03/2018 00073790810 | PAYNE, KELLY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 12/03/2018 00074804471 | ARENA, ELLEN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 7.51 | 0.00 | 7.51 | 90.0 | 6.76 |
| 12/03/2018 00075571543 | GOSS, LOIS | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 20.47 | 0.00 | 20.47 | 90.0 | 18.42 |
| 12/03/2018 00082014038 | SHARI BAURLE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 32.74 | 0.00 | 32.74 | 90.0 | 29.47 |
| 12/03/2018 00082014038 | SHARI BAURLE | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 13.85 | 0.00 | 13.85 | 90.0 | 12.47 |
| 12/03/2018 00082014038 | SHARI BAURLE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 42.97 | 0.00 | 42.97 | 90.0 | 38.67 |
| 12/03/2018 00082129908 | STOREY, BONNIE | MFT INTERMEDIATE BOND FUND OF | B | 0.00 | 6.48 | 0.00 | 6.48 | 90.0 | 5.83 |
| 12/03/2018 00082138807 | MASSENGALE, NANCY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 4.34 | 0.00 | 4.34 | 90.0 | 3.91 |
| 12/03/2018 00082138807 | MASSENGALE, NANCY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 5.29 | 0.00 | 5.29 | 90.0 | 4.76 |
| 12/03/2018 00082166515 | KRAUSE, NANCY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 49.74 | 0.00 | 49.74 | 90.0 | 44.77 |

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|------------------------|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 12/03/2018 00082166515 | KRAUSE, NANCY | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 5.21 | 0.00 | 5.21 | 90.0 | 4.69 |
| 12/03/2018 00082166515 | KRAUSE, NANCY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 63.95 | 0.00 | 63.95 | 90.0 | 57.56 |
| 12/03/2018 00082326189 | WASSON, SHERYL | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.94 | 0.00 | 2.94 | 90.0 | 2.65 |
| 12/03/2018 00082326230 | MASSENGALE, NANCY | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 5.28 | 0.00 | 5.28 | 90.0 | 4.75 |
| 12/03/2018 00082326230 | MASSENGALE, NANCY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 8.04 | 0.00 | 8.04 | 90.0 | 7.24 |
| 12/03/2018 00082326447 | REYNOLDS, MATTHEW | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00082519528 | PACK, JEFFREY | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 5.01 | 0.00 | 5.01 | 90.0 | 4.51 |
| 12/03/2018 00083151601 | LOGSDON, TRACI | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 5.04 | 0.00 | 5.04 | 90.0 | 4.54 |
| 12/03/2018 00083151601 | LOGSDON, TRACI | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.73 | 0.00 | 6.73 | 90.0 | 6.06 |
| 12/03/2018 00083443944 | MYERS, RICHARD | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 24.35 | 0.00 | 24.35 | 90.0 | 21.92 |
| 12/03/2018 00083443944 | MYERS, RICHARD | MFT AMERICAN BALANCED FUND-C | B | 0.00 | 13.10 | 0.00 | 13.10 | 90.0 | 11.79 |
| 12/03/2018 00083443944 | MYERS, RICHARD | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 15.09 | 0.00 | 15.09 | 90.0 | 13.58 |
| 12/03/2018 00083443944 | MYERS, RICHARD | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 8.55 | 0.00 | 8.55 | 90.0 | 7.70 |
| 12/03/2018 00083443944 | MYERS, RICHARD | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 11.75 | 0.00 | 11.75 | 90.0 | 10.58 |
| 12/03/2018 00083443944 | MYERS, RICHARD | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 30.36 | 0.00 | 30.36 | 90.0 | 27.32 |
| 12/03/2018 00083689171 | DE BULLET, EUGENE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 15.71 | 0.00 | 15.71 | 90.0 | 14.14 |
| 12/03/2018 00083689171 | DE BULLET, EUGENE | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 44.67 | 0.00 | 44.67 | 90.0 | 40.20 |
| 12/03/2018 00083689171 | DE BULLET, EUGENE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 27.38 | 0.00 | 27.38 | 90.0 | 24.64 |
| 12/03/2018 00083696105 | BLAKE, JULIE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 13.32 | 0.00 | 13.32 | 90.0 | 11.99 |
| 12/03/2018 00083696105 | BLAKE, JULIE | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 13.72 | 0.00 | 13.72 | 90.0 | 12.35 |
| 12/03/2018 00083696105 | BLAKE, JULIE | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 7.55 | 0.00 | 7.55 | 90.0 | 6.80 |
| 12/03/2018 00083696105 | BLAKE, JULIE | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 7.62 | 0.00 | 7.62 | 90.0 | 6.86 |
| 12/03/2018 00083696210 | EVANS, MACKYE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 4.41 | 0.00 | 4.41 | 90.0 | 3.97 |
| 12/03/2018 00083696210 | EVANS, MACKYE | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 4.10 | 0.00 | 4.10 | 90.0 | 3.69 |
| 12/03/2018 00083961559 | PAYNE, KELLY | MFT CAPITAL INCOME BUILDER-529A | B | 0.00 | 1.52 | 0.00 | 1.52 | 90.0 | 1.37 |
| 12/03/2018 00083961559 | PAYNE, KELLY | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 2.65 | 0.00 | 2.65 | 90.0 | 2.39 |
| 12/03/2018 00083961559 | PAYNE, KELLY | MFT GLOBAL BALANCED FUND-529A | B | 0.00 | 0.81 | 0.00 | 0.81 | 90.0 | 0.73 |
| 12/03/2018 00083961588 | PAYNE, KELLY | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 3.51 | 0.00 | 3.51 | 90.0 | 3.16 |
| 12/03/2018 00083961588 | PAYNE, KELLY | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 4.18 | 0.00 | 4.18 | 90.0 | 3.76 |
| 12/03/2018 00084073290 | SMITH, GRACE | MFT AMERICAN BALANCED FUND-529A | B | 0.00 | 2.75 | 0.00 | 2.75 | 90.0 | 2.48 |
| 12/03/2018 00084073290 | SMITH, GRACE | MFT GLOBAL BALANCED FUND-529A | B | 0.00 | 0.71 | 0.00 | 0.71 | 90.0 | 0.64 |
| 12/03/2018 00084073290 | SMITH, GRACE | MFT NEW WORLD FUND-529A | B | 0.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 12/03/2018 00084073290 | SMITH, GRACE | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 3.40 | 0.00 | 3.40 | 90.0 | 3.06 |
| 12/03/2018 00084379332 | SONNENBURG, NOLAN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 21.77 | 0.00 | 21.77 | 90.0 | 19.59 |
| 12/03/2018 00084379332 | SONNENBURG, NOLAN | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 3.37 | 0.00 | 3.37 | 90.0 | 3.03 |
| 12/03/2018 00084379332 | SONNENBURG, NOLAN | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 16.70 | 0.00 | 16.70 | 90.0 | 15.03 |
| 12/03/2018 00084379332 | SONNENBURG, NOLAN | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 19.44 | 0.00 | 19.44 | 90.0 | 17.50 |
| 12/03/2018 00084379332 | SONNENBURG, NOLAN | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 3.96 | 0.00 | 3.96 | 90.0 | 3.56 |
| 12/03/2018 00084379332 | SONNENBURG, NOLAN | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 24.12 | 0.00 | 24.12 | 90.0 | 21.71 |
| 12/03/2018 00084493768 | CHRISTIAN, LINDA | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 11.87 | 0.00 | 11.87 | 90.0 | 10.68 |
| 12/03/2018 00084493768 | CHRISTIAN, LINDA | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 16.07 | 0.00 | 16.07 | 90.0 | 14.46 |
| 12/03/2018 00084533064 | COOPER, JUNANNE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 8.33 | 0.00 | 8.33 | 90.0 | 7.50 |
| 12/03/2018 00084533064 | COOPER, JUNANNE | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 4.21 | 0.00 | 4.21 | 90.0 | 3.79 |
| 12/03/2018 00084533064 | COOPER, JUNANNE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 8.25 | 0.00 | 8.25 | 90.0 | 7.43 |

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| 12/03/2018 00084587142 | JONES, JOANNE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 91.86 | 0.00 | 91.86 | 90.0 | 82.67 |
| 12/03/2018 00084587142 | JONES, JOANNE | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 2.42 | 0.00 | 2.42 | 90.0 | 2.18 |
| 12/03/2018 00084587142 | JONES, JOANNE | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 20.26 | 0.00 | 20.26 | 90.0 | 18.23 |
| 12/03/2018 00084587142 | JONES, JOANNE | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 55.27 | 0.00 | 55.27 | 90.0 | 49.74 |
| 12/03/2018 00084587142 | JONES, JOANNE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 77.41 | 0.00 | 77.41 | 90.0 | 69.67 |
| 12/03/2018 00084710178 | MCCOY JR, JOHN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 3.66 | 0.00 | 3.66 | 90.0 | 3.29 |
| 12/03/2018 00084710178 | MCCOY JR, JOHN | MFT NEW WORLD FUND-A | B | 0.00 | 2.48 | 0.00 | 2.48 | 90.0 | 2.23 |
| 12/03/2018 00084710178 | MCCOY JR, JOHN | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 29.96 | 0.00 | 29.96 | 90.0 | 26.96 |
| 12/03/2018 00084768987 | DEADY, MYRNA | MFT NEW WORLD FUND-A | B | 0.00 | 2.78 | 0.00 | 2.78 | 90.0 | 2.50 |
| 12/03/2018 00084791628 | MASSENGALE, | MFT NEW WORLD FUND-529A | B | 0.00 | 4.96 | 0.00 | 4.96 | 90.0 | 4.46 |
| 12/03/2018 00084791669 | MASSENGALE, | MFT NEW WORLD FUND-529A | B | 0.00 | 6.41 | 0.00 | 6.41 | 90.0 | 5.77 |
| 12/03/2018 00084944692 | MASSENGALE, | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 2.21 | 0.00 | 2.21 | 90.0 | 1.99 |
| 12/03/2018 00084944692 | MASSENGALE, | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.48 | 0.00 | 2.48 | 90.0 | 2.23 |
| 12/03/2018 00084975545 | GRACEY/BENE, | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 21.43 | 0.00 | 21.43 | 90.0 | 19.29 |
| 12/03/2018 00084975545 | GRACEY/BENE, | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 18.07 | 0.00 | 18.07 | 90.0 | 16.26 |
| 12/03/2018 00084975545 | GRACEY/BENE, | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 27.08 | 0.00 | 27.08 | 90.0 | 24.37 |
| 12/03/2018 00085106527 | GRACEY, WILLIAM | MFT AMCAP FUND-A | B | 0.00 | 50.41 | 0.00 | 50.41 | 90.0 | 45.37 |
| 12/03/2018 00085106527 | GRACEY, WILLIAM | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 139.31 | 0.00 | 139.31 | 90.0 | 125.38 |
| 12/03/2018 00085106527 | GRACEY, WILLIAM | MFT INTERMEDIATE BOND FUND OF | B | 0.00 | 42.73 | 0.00 | 42.73 | 90.0 | 38.46 |
| 12/03/2018 00085106527 | GRACEY, WILLIAM | MFT TAX-EXEMPT BOND FUND OF AMERICA | B | 0.00 | 145.56 | 0.00 | 145.56 | 90.0 | 131.00 |
| 12/03/2018 00085127010 | SMITH, GRACE | MFT GLOBAL BALANCED FUND-529A | B | 0.00 | 0.71 | 0.00 | 0.71 | 90.0 | 0.64 |
| 12/03/2018 00085127010 | SMITH, GRACE | MFT NEW WORLD FUND-529A | B | 0.00 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 12/03/2018 00085127010 | SMITH, GRACE | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 2.35 | 0.00 | 2.35 | 90.0 | 2.12 |
| 12/03/2018 00085359820 | LOGSDON, TRACI | MFT CAPITAL INCOME BUILDER-529A | B | 0.00 | 4.59 | 0.00 | 4.59 | 90.0 | 4.13 |
| 12/03/2018 00085359820 | LOGSDON, TRACI | MFT NEW WORLD FUND-529A | B | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 12/03/2018 00085359860 | LOGSDON, TRACI | MFT CAPITAL INCOME BUILDER-529A | B | 0.00 | 3.78 | 0.00 | 3.78 | 90.0 | 3.40 |
| 12/03/2018 00085359860 | LOGSDON, TRACI | MFT NEW WORLD FUND-529A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00085507227 | COOPER, JUNANNE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 5.98 | 0.00 | 5.98 | 90.0 | 5.38 |
| 12/03/2018 00085507227 | COOPER, JUNANNE | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 3.71 | 0.00 | 3.71 | 90.0 | 3.34 |
| 12/03/2018 00085507227 | COOPER, JUNANNE | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 7.34 | 0.00 | 7.34 | 90.0 | 6.61 |
| 12/03/2018 00085579612 | SONNENBURG, | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| 12/03/2018 00085579612 | SONNENBURG, | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 4.77 | 0.00 | 4.77 | 90.0 | 4.29 |
| 12/03/2018 00085834407 | SONNENBURG, | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 8.06 | 0.00 | 8.06 | 90.0 | 7.25 |
| 12/03/2018 00085834407 | SONNENBURG, | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 2.94 | 0.00 | 2.94 | 90.0 | 2.65 |
| 12/03/2018 00085834407 | SONNENBURG, | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 7.03 | 0.00 | 7.03 | 90.0 | 6.33 |
| 12/03/2018 00085928770 | PERTLL, JAMES | MFT AMCAP FUND-A | B | 0.00 | 16.00 | 0.00 | 16.00 | 90.0 | 14.40 |
| 12/03/2018 00085928770 | PERTLL, JAMES | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 68.83 | 0.00 | 68.83 | 90.0 | 61.95 |
| 12/03/2018 00085928770 | PERTLL, JAMES | MFT AMERICAN HIGH-INCOME MUNI BOND | B | 0.00 | 49.19 | 0.00 | 49.19 | 90.0 | 44.27 |
| 12/03/2018 00085928770 | PERTLL, JAMES | MFT AMERICAN MUTUAL FUND-A | B | 0.00 | 16.14 | 0.00 | 16.14 | 90.0 | 14.53 |
| 12/03/2018 00085928770 | PERTLL, JAMES | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 32.64 | 0.00 | 32.64 | 90.0 | 29.38 |
| 12/03/2018 00085928770 | PERTLL, JAMES | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 15.96 | 0.00 | 15.96 | 90.0 | 14.36 |
| 12/03/2018 00086591238 | MOODY, DEBORAH | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 2.36 | 0.00 | 2.36 | 90.0 | 2.12 |
| 12/03/2018 00086591238 | MOODY, DEBORAH | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 6.78 | 0.00 | 6.78 | 90.0 | 6.10 |
| 12/03/2018 00086591238 | MOODY, DEBORAH | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 10.78 | 0.00 | 10.78 | 90.0 | 9.70 |

COMMISSION STATEMENT for KATHERINE SMITH-DM31

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 12/03/2018 00086716832 | MYERS, RICHARD | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 8.20 | 0.00 | 8.20 | 90.0 | 7.38 |
| 12/03/2018 00086716832 | MYERS, RICHARD | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 5.44 | 0.00 | 5.44 | 90.0 | 4.90 |
| 12/03/2018 00086716832 | MYERS, RICHARD | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 9.47 | 0.00 | 9.47 | 90.0 | 8.52 |
| 12/03/2018 00086801547 | PAYNE, KELLY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 0.39 | 0.00 | 0.39 | 90.0 | 0.35 |
| 12/03/2018 00086801547 | PAYNE, KELLY | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.27 | 0.00 | 0.27 | 90.0 | 0.24 |
| 12/03/2018 00086801547 | PAYNE, KELLY | MFT NEW WORLD FUND-A | B | 0.00 | 0.37 | 0.00 | 0.37 | 90.0 | 0.33 |
| 12/03/2018 00086801547 | PAYNE, KELLY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 0.48 | 0.00 | 0.48 | 90.0 | 0.43 |
| 12/03/2018 00086801547 | PAYNE, KELLY | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 12/03/2018 00086812707 | SMITH, GRACE | MFT GLOBAL BALANCED FUND-529A | B | 0.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 12/03/2018 00086812707 | SMITH, GRACE | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.48 | 0.00 | 0.48 | 90.0 | 0.43 |
| 12/03/2018 00086812717 | SMITH, GRACE | MFT GLOBAL BALANCED FUND-529A | B | 0.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 12/03/2018 00086812717 | SMITH, GRACE | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.48 | 0.00 | 0.48 | 90.0 | 0.43 |
| 12/03/2018 00086827472 | HIGGINS, STEVE | MFT AMCAP FUND-A | B | 0.00 | 4.02 | 0.00 | 4.02 | 90.0 | 3.62 |
| 12/03/2018 00086827472 | HIGGINS, STEVE | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 4.18 | 0.00 | 4.18 | 90.0 | 3.76 |
| 12/03/2018 00086827472 | HIGGINS, STEVE | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 4.58 | 0.00 | 4.58 | 90.0 | 4.12 |
| 12/03/2018 00086827472 | HIGGINS, STEVE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.44 | 0.00 | 6.44 | 90.0 | 5.80 |
| 12/03/2018 00086827481 | HIGGINS, SHERRY | MFT AMCAP FUND-A | B | 0.00 | 4.49 | 0.00 | 4.49 | 90.0 | 4.04 |
| 12/03/2018 00086827481 | HIGGINS, SHERRY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 4.92 | 0.00 | 4.92 | 90.0 | 4.43 |
| 12/03/2018 00086827481 | HIGGINS, SHERRY | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 4.18 | 0.00 | 4.18 | 90.0 | 3.76 |
| 12/03/2018 00086827481 | HIGGINS, SHERRY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 7.42 | 0.00 | 7.42 | 90.0 | 6.68 |
| 12/03/2018 00086852866 | HIGGINS, SHERRY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 2.32 | 0.00 | 2.32 | 90.0 | 2.09 |
| 12/03/2018 00086886831 | HIGGINS, STEVE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 2.32 | 0.00 | 2.32 | 90.0 | 2.09 |
| 12/03/2018 00087575029 | SMITH, GRACE | MFT GLOBAL BALANCED FUND-529A | B | 0.00 | 0.53 | 0.00 | 0.53 | 90.0 | 0.48 |
| 12/03/2018 00087575029 | SMITH, GRACE | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 1.18 | 0.00 | 1.18 | 90.0 | 1.06 |
| 12/03/2018 00088279511 | SMITH, JAMES | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 96.55 | 0.00 | 96.55 | 90.0 | 86.90 |
| 12/03/2018 00088279511 | SMITH, JAMES | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 62.95 | 0.00 | 62.95 | 90.0 | 56.66 |
| 12/03/2018 00088279511 | SMITH, JAMES | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 69.57 | 0.00 | 69.57 | 90.0 | 62.61 |
| 12/03/2018 00088279511 | SMITH, JAMES | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 104.29 | 0.00 | 104.29 | 90.0 | 93.86 |
| 12/03/2018 00088281021 | BACON, TREFOR | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 2.37 | 0.00 | 2.37 | 90.0 | 2.13 |
| 12/03/2018 00088311353 | MASSENGALE, LARA | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 4.77 | 0.00 | 4.77 | 90.0 | 4.29 |
| 12/03/2018 00088311353 | MASSENGALE, LARA | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.29 | 0.00 | 6.29 | 90.0 | 5.66 |
| 12/03/2018 00088333826 | BACON, FRANCES | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 40.71 | 0.00 | 40.71 | 90.0 | 36.64 |
| 12/03/2018 00088333826 | BACON, FRANCES | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 43.81 | 0.00 | 43.81 | 90.0 | 39.43 |
| 12/03/2018 00088338383 | MASSENGALE, JASON | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 3.49 | 0.00 | 3.49 | 90.0 | 3.14 |
| 12/03/2018 00088338383 | MASSENGALE, JASON | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| 12/03/2018 00088353859 | BACON/BENE, | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 23.96 | 0.00 | 23.96 | 90.0 | 21.56 |
| 12/03/2018 00088738266 | SCHULTZ/BENE, | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 71.82 | 0.00 | 71.82 | 90.0 | 64.64 |
| 12/03/2018 00088738266 | SCHULTZ/BENE, | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 51.21 | 0.00 | 51.21 | 90.0 | 46.09 |
| 12/03/2018 00088738266 | SCHULTZ/BENE, | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 63.88 | 0.00 | 63.88 | 90.0 | 57.49 |
| 12/03/2018 00088810660 | PHILLIPS, LISA | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 5.79 | 0.00 | 5.79 | 90.0 | 5.21 |
| 12/03/2018 00088810660 | PHILLIPS, LISA | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.18 | 0.00 | 1.18 | 90.0 | 1.06 |
| 12/03/2018 00088810660 | PHILLIPS, LISA | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 1.31 | 0.00 | 1.31 | 90.0 | 1.18 |
| 12/03/2018 00088810748 | FELTON, MARK | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 6.36 | 0.00 | 6.36 | 90.0 | 5.72 |
| 12/03/2018 00088810748 | FELTON, MARK | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 8.04 | 0.00 | 8.04 | 90.0 | 7.24 |

COMMISSION STATEMENT for KATHERINE SMITH-DM31

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 12/03/2018 00088810774 | FELTON, WANDA | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 6.36 | 0.00 | 6.36 | 90.0 | 5.72 |
| 12/03/2018 00088810774 | FELTON, WANDA | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 5.69 | 0.00 | 5.69 | 90.0 | 5.12 |
| 12/03/2018 00088810774 | FELTON, WANDA | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 6.07 | 0.00 | 6.07 | 90.0 | 5.46 |
| 12/03/2018 00088996224 | LOGSDON, AVARI | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 2.07 | 0.00 | 2.07 | 90.0 | 1.86 |
| 12/03/2018 00089064328 | LOGSDON, TRACI | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.68 | 0.00 | 2.68 | 90.0 | 2.41 |
| 12/03/2018 00089226007 | FOSTER, MARSHA | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 86.64 | 0.00 | 86.64 | 90.0 | 77.98 |
| 12/03/2018 00089226007 | FOSTER, MARSHA | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 75.57 | 0.00 | 75.57 | 90.0 | 68.01 |
| 12/03/2018 00089226007 | FOSTER, MARSHA | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 93.23 | 0.00 | 93.23 | 90.0 | 83.91 |
| 12/03/2018 00089500058 | PERTLL, JAMES | MFT AMCAP FUND-A | B | 0.00 | 1.33 | 0.00 | 1.33 | 90.0 | 1.20 |
| 12/03/2018 00089500058 | PERTLL, JAMES | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 1.21 | 0.00 | 1.21 | 90.0 | 1.09 |
| 12/03/2018 00089716158 | WHITSON, ROBERT | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 2.60 | 0.00 | 2.60 | 90.0 | 2.34 |
| 12/03/2018 00089716158 | WHITSON, ROBERT | MFT NEW WORLD FUND-A | B | 0.00 | 0.65 | 0.00 | 0.65 | 90.0 | 0.59 |
| 12/03/2018 00089716879 | PROPERTY, | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 9.79 | 0.00 | 9.79 | 90.0 | 8.81 |
| 12/03/2018 00089716879 | PROPERTY, | MFT NEW WORLD FUND-A | B | 0.00 | 3.18 | 0.00 | 3.18 | 90.0 | 2.86 |
| 12/03/2018 00089716879 | PROPERTY, | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| 12/03/2018 00089716879 | PROPERTY, | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 0.99 | 0.00 | 0.99 | 90.0 | 0.89 |
| 12/03/2018 00089716891 | JONATHAN D | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 6.70 | 0.00 | 6.70 | 90.0 | 6.03 |
| 12/03/2018 00089797053 | MCCOY JR, JOHN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00089797093 | MCCOY JR, JOHN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00089797103 | MCCOY JR, JOHN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00089797115 | MCCOY JR, JOHN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.27 | 0.00 | 0.27 | 90.0 | 0.24 |
| 12/03/2018 00089797124 | MCCOY JR, JOHN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00089797152 | MCCOY JR, JOHN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 12/03/2018 00355125919 | GRACEY, WILLIAM | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 18.65 | 0.00 | 18.65 | 90.0 | 16.79 |
| 12/03/2018 00355125919 | GRACEY, WILLIAM | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 47.74 | 0.00 | 47.74 | 90.0 | 42.97 |
| 12/03/2018 00355125919 | GRACEY, WILLIAM | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 20.15 | 0.00 | 20.15 | 90.0 | 18.14 |
| 12/03/2018 04000143383 | ZAVALA, GILBERT | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 0.60 | 0.00 | 0.60 | 90.0 | 0.54 |
| 12/03/2018 04000143383 | ZAVALA, GILBERT | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| 12/03/2018 04000206633 | SMITH, KAREN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 2.40 | 0.00 | 2.40 | 90.0 | 2.16 |
| 12/03/2018 04000206633 | SMITH, KAREN | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.41 | 0.00 | 2.41 | 90.0 | 2.17 |
| 12/03/2018 04000276196 | PHILLIPS, JOHN | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 2.46 | 0.00 | 2.46 | 90.0 | 2.21 |
| 12/03/2018 04000276196 | PHILLIPS, JOHN | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.90 | 0.00 | 2.90 | 90.0 | 2.61 |
| 12/03/2018 04000452396 | PERTLL, JAMES | MFT AMCAP FUND-A | B | 0.00 | 0.62 | 0.00 | 0.62 | 90.0 | 0.56 |
| 12/03/2018 04000452396 | PERTLL, JAMES | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.57 | 0.00 | 0.57 | 90.0 | 0.51 |
| 12/03/2018 04000618419 | BACON/BENE, | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 1.21 | 0.00 | 1.21 | 90.0 | 1.09 |
| 12/03/2018 04000687794 | KAREN B | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 18.39 | 0.00 | 18.39 | 90.0 | 16.55 |
| 12/03/2018 04000687794 | KAREN B | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 30.90 | 0.00 | 30.90 | 90.0 | 27.81 |
| 12/03/2018 04000850515 | WHITSON, MELONYE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 12.73 | 0.00 | 12.73 | 90.0 | 11.46 |
| 12/03/2018 04000850515 | WHITSON, MELONYE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 12.97 | 0.00 | 12.97 | 90.0 | 11.67 |
| 12/03/2018 04000869435 | FBO SHELBY R | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 1.52 | 0.00 | 1.52 | 90.0 | 1.37 |
| 12/03/2018 04000869435 | FBO SHELBY R | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 3.35 | 0.00 | 3.35 | 90.0 | 3.02 |
| 12/03/2018 04000869435 | FBO SHELBY R | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 3.43 | 0.00 | 3.43 | 90.0 | 3.09 |
| 12/03/2018 04000884234 | KRAUSE, SHEILA | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 3.02 | 0.00 | 3.02 | 90.0 | 2.72 |
| 12/03/2018 04000884234 | KRAUSE, SHEILA | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 4.43 | 0.00 | 4.43 | 90.0 | 3.99 |

COMMISSION STATEMENT for KATHERINE SMITH-DM31

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------|------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 12/03/2018 04000887248 | KRAUSE, JEFFREY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 4.82 | 0.00 | 4.82 | 90.0 | 4.34 |
| 12/03/2018 04000887248 | KRAUSE, JEFFREY | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 2.89 | 0.00 | 2.89 | 90.0 | 2.60 |
| 12/03/2018 04000887248 | KRAUSE, JEFFREY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 4.77 | 0.00 | 4.77 | 90.0 | 4.29 |
| 12/03/2018 04000888377 | WHITSON, BLAKE | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 6.68 | 0.00 | 6.68 | 90.0 | 6.01 |
| 12/03/2018 04000888377 | WHITSON, BLAKE | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 5.84 | 0.00 | 5.84 | 90.0 | 5.26 |
| 12/03/2018 04000888377 | WHITSON, BLAKE | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.52 | 0.00 | 6.52 | 90.0 | 5.87 |
| 12/03/2018 04000907325 | SPICHER, BEVERLY | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 1.51 | 0.00 | 1.51 | 90.0 | 1.36 |
| 12/03/2018 04000907325 | SPICHER, BEVERLY | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.43 | 0.00 | 1.43 | 90.0 | 1.29 |
| 12/03/2018 04000907325 | SPICHER, BEVERLY | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 2.35 | 0.00 | 2.35 | 90.0 | 2.12 |
| 12/03/2018 04001068916 | WHITE, | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 12/03/2018 04001213703 | MCCOY, RYAN | MFT AMERICAN BALANCED FUND-529A | B | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 12/03/2018 04001213703 | MCCOY, RYAN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/03/2018 04001213734 | MCCOY, RYAN | MFT AMERICAN BALANCED FUND-529A | B | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 12/03/2018 04001213734 | MCCOY, RYAN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 12/03/2018 04001213743 | MCCOY, RYAN | MFT AMERICAN BALANCED FUND-529A | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 12/03/2018 04001213743 | MCCOY, RYAN | MFT THE GROWTH FUND OF AMERICA-529A | B | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$4,237.62 | \$0.00 | \$4,237.62 | | \$3,814.01 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$650.00 | \$4,263.04 | \$0.00 | \$4,263.04 | | \$3,836.90 |

ADJUSTMENTS TRANSACTIONS

BROKER: #0

| | | | | | | |
|--|-------------------------|--------|--------|--------|--------|----------|
| 12/15/2018 | : 2019 STATE BRANCH FEE | 0.00 | 0.00 | 0.00 | 0.00 | -25.00 |
| * SUBTOTAL * | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-25.00 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-25.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$3,836.90 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-25.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|-------------|
| Net Commissions | \$3,811.90 |
| Year-to-date Commissions | \$84,069.06 |