

COMMISSION STATEMENT May 31, 2018

ALAN SMITH-D206

BROKER #: D206

BRANCH: DMR

, TX

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	23.82	0.00	23.82	80.0	19.06
05/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	32.27	0.00	32.27	80.0	25.82
05/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	129.12	0.00	129.12	80.0	103.30
05/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	18.07	0.00	18.07	80.0	14.46
05/21/2018	mfc892289 SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	21.74	0.00	21.74	80.0	17.39
05/21/2018	mfc892289 SMITH ALAN 892289	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	39.79	0.00	39.79	80.0	31.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$264.81	\$0.00	\$264.81		\$211.86
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$264.81	\$0.00	\$264.81		\$211.86

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	(None) GLENNROBES	MFT BLACKROCK	B	0.00	2.68	0.00	2.68	80.0	2.14
04/30/2018	(None) GLENNROBES	MFT BLACKROCK	B	0.00	2.78	0.00	2.78	80.0	2.22
04/30/2018	(None) GLENNROBES	MFT BLACKROCK	B	0.00	6.00	0.00	6.00	80.0	4.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$11.46	\$0.00	\$11.46		\$9.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
05/17/2018	(None) EXPLOREUSA	VAT TRANSAMERICA LIFE	B	0.00	1,601.78	0.00	1,601.78	80.0	1,281.42
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,601.78	\$0.00	\$1,601.78		\$1,281.42
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$1,613.24	\$0.00	\$1,613.24		\$1,290.58

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
05/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
05/31/2018		: BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00		-30.00
05/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
05/31/2018		: E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00		-25.00
05/31/2018		: E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-135.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,502.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,367.44
Year-to-date Commissions	\$29,060.11