

COMMISSION STATEMENT

December 15, 2018

 DOUG ELLIS-D335
 5481 Ivy Drive

 BROKER #: D335
 BRANCH: DMN

Stevensville, MI 49127

COMMISSION STATEMENT for DOUG ELLIS-D335

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: VARIABLE ANNUITIES											
11/30/2018	1011931681	EVERSOLE, THOMAS	VA	ELITE ACCESS	B	77,769.00	25.92	0.00	25.92	75.0	19.44
11/30/2018	1012772110	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	34,871.22	29.06	0.00	29.06	75.0	21.80
11/30/2018	1012928722	SWANSON, RICHARD	VA	ELITE ACCESS L	B	123,788.84	103.16	0.00	103.16	75.0	77.37
11/30/2018	1012929133	SWANSON, RICHARD	VA	ELITE ACCESS	B	165,694.69	55.23	0.00	55.23	75.0	41.42
11/30/2018	1013200706	WHITE, GLORIA	VA	PERSP II 09/12	B	176,803.58	73.67	0.00	73.67	75.0	55.25
11/30/2018	1013200811	WHITE, GLORIA	VA	ELITE ACCESS	B	48,240.09	40.20	0.00	40.20	75.0	30.15
11/30/2018	1013636601	SCHRADER, LARRY	VA	ELITE ACCESS L	B	130,521.67	108.77	0.00	108.77	75.0	81.58
11/30/2018	1014360847	DEISING, MARC	VA	ELITE ACCESS	B	193,451.83	64.48	0.00	64.48	75.0	48.36
11/30/2018	1016129964	MONETA, CAROL	VA	ELITE ACCESS L	B	25,728.01	21.44	0.00	21.44	75.0	16.08
11/30/2018	1016711213	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	44,329.07	36.94	0.00	36.94	75.0	27.71
				* VARIABLE ANNUITIES SUBTOTAL *		\$1,021,198.00	\$558.87	\$0.00	\$558.87		\$419.16
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
12/03/2018	00087194091	CROSSE, LUCETTA	MFT	AMERICAN BALANCED FUND-C	B	0.00	31.13	0.00	31.13	75.0	23.35
12/03/2018	00087249418	MILLER, CHERYL	MFT	GLOBAL GROWTH PORTFOLIO-C	B	0.00	90.90	0.00	90.90	75.0	68.18
12/03/2018	00087249418	MILLER, CHERYL	MFT	INCOME PORTFOLIO-C	B	0.00	54.59	0.00	54.59	75.0	40.94
12/03/2018	00087249418	MILLER, CHERYL	MFT	PRESERVATION PORTFOLIO-C	B	0.00	36.18	0.00	36.18	75.0	27.14
12/03/2018	04000252256	SWANSON, ADAM	MFT	AMCAP FUND-C	B	0.00	0.87	0.00	0.87	75.0	0.65
12/03/2018	04000252256	SWANSON, ADAM	MFT	AMERICAN BALANCED FUND-C	B	0.00	0.95	0.00	0.95	75.0	0.71
12/03/2018	04000252256	SWANSON, ADAM	MFT	EMERGING MARKETS BOND FUND-C	B	0.00	1.21	0.00	1.21	75.0	0.91
12/03/2018	04000252256	SWANSON, ADAM	MFT	GROWTH PORTFOLIO-C	B	0.00	1.42	0.00	1.42	75.0	1.07
12/03/2018	04000252256	SWANSON, ADAM	MFT	THE NEW ECONOMY FUND-C	B	0.00	2.47	0.00	2.47	75.0	1.85
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$219.72	\$0.00	\$219.72		\$164.80
				*** DIRECT TRANSACTIONS TOTAL ***		\$1,021,198.00	\$778.59	\$0.00	\$778.59		\$583.96

BROKER COMMISSION TOTALS

Broker Transactions	\$583.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-366.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$217.96
Year-to-date Commissions	\$5,525.76