



# COMMISSION STATEMENT

## December 17, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/10/2018	***-**-6233 - SHEPARD, ROBERT	AMCAP FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000977909	BUY 2 Units @33.2800						
12/10/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000247689	BUY 2 Units @28.3500						
12/10/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	75.0	2.58
	ACCOUNT #: 00085154620	BUY 5 Units @27.6900						
12/10/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	75.0	8.25
	ACCOUNT #: 00087316793	BUY 14 Units @27.6900						
12/10/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @28.1300						
12/10/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @28.3500						
12/10/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000977909	BUY 2 Units @28.3500						
12/12/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00087644930	BUY 4 Units @28.4600						
12/10/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 04000247689	BUY 5 Units @10.2100						
12/10/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	38.25	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 00085839015	BUY 4 Units @10.1900						
12/10/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 2 Units @10.1900						
12/10/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085154620	BUY 10 Units @10.1900						
12/10/2018	***-**-4848 - DOGGETT, AMY	AMERICAN HIGH-INCOME TRUST-A	165.00	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 00081620466	BUY 16 Units @10.1900						
12/10/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 04000977909	BUY 5 Units @10.2100						
12/10/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 00085993216	BUY 5 Units @10.2100						
12/10/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	400.00	11.00	0.00	11.00	75.0	8.25
	ACCOUNT #: 00087316793	BUY 7 Units @59.9100						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/10/2018	***-**-6233 - SHEPARD, ROBERT	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000977909	BUY 1 Units @61.3400						
12/10/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	47.25	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00085839015	BUY 1 Units @47.7600						
12/10/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 1 Units @47.7600						
12/10/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085154620	BUY 2 Units @47.7600						
12/10/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 00081620466	BUY 3 Units @47.7600						
12/10/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @48.5200						
12/10/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00085839015	BUY 1 Units @48.5000						
12/10/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 00085993216	BUY 0 Units @54.7700						
12/10/2018	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	4.68	0.00	4.68	75.0	3.51
	ACCOUNT #: 00081620466	BUY 3 Units @51.9200						
12/10/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	75.0	3.61
	ACCOUNT #: 00085154620	BUY 3 Units @51.9200						
12/10/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @51.9200						
12/10/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	54.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: 00085839015	BUY 1 Units @51.9200						
12/10/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 1 Units @22.9500						
12/10/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	38.25	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 00085839015	BUY 2 Units @22.9500						
12/10/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 3 Units @23.5000						
12/12/2018	***-**-2146 - JONES, KELLIE	THE INCOME FUND OF AMERICA-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00087644930	BUY 4 Units @23.6100						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
* MUTUAL FUNDS SUBTOTAL *			\$2,895.00	\$93.18	\$0.00	\$93.18		\$69.95
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
11/20/2018	***-**-1556 - CARTER, DIANE	AXA RETIREMENT CORNERSTONE SERIES B BUY 1 Units @110393.390	109,033.08	5,451.65	0.00	5,451.65	75.0	4,088.74
* VARIABLE ANNUITIES SUBTOTAL *			\$109,033.08	\$5,451.65	\$0.00	\$5,451.65		\$4,088.74
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/03/2018	***-**-0249 - ROBERTS, LESLIE	AF MODERATE GROWTH AND INCOME-A ACCOUNT #: 01919000777 BUY 0 Units @0.0000	0.00	1.03	0.00	1.03	75.0	0.77
12/03/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A ACCOUNT #: 00089976714 BUY 0 Units @0.0000	0.00	2.87	0.00	2.87	75.0	2.15
12/03/2018	***-**-4652 - GARNSEY, JANELLE	AMCAP FUND-A ACCOUNT #: 04000491239 BUY 0 Units @0.0000	0.00	32.63	0.00	32.63	75.0	24.47
12/03/2018	***-**-2464 - COLLETT, DAN	AMCAP FUND-A ACCOUNT #: 04000535815 BUY 0 Units @0.0000	0.00	3.47	0.00	3.47	75.0	2.60
12/03/2018	***-**-9825 - OHARA, DAVID	AMCAP FUND-A ACCOUNT #: 00081132041 BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	75.0	2.61
12/03/2018	***-**-453R - OHARA, DARLENE	AMCAP FUND-A ACCOUNT #: 00081132191 BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	75.0	1.79
12/03/2018	***-**-1607 - WALRATH, BRUCE	AMCAP FUND-A ACCOUNT #: 00083596297 BUY 0 Units @0.0000	0.00	6.27	0.00	6.27	75.0	4.70
12/03/2018	***-**-3756 - GAGNE, JOHN	AMCAP FUND-A ACCOUNT #: 00085918827 BUY 0 Units @0.0000	0.00	23.26	0.00	23.26	75.0	17.45
12/03/2018	***-**-9825 - OHARA, DAVID	AMCAP FUND-A ACCOUNT #: 00087748588 BUY 0 Units @0.0000	0.00	54.01	0.00	54.01	75.0	40.51
12/03/2018	***-**-4790 - SWEZEY, WILLIAM	AMCAP FUND-A ACCOUNT #: 00088279407 BUY 0 Units @0.0000	0.00	2.65	0.00	2.65	75.0	1.99
12/03/2018	***-**-61RI - GETCHELL,	AMCAP FUND-A ACCOUNT #: 00088303532 BUY 0 Units @0.0000	0.00	5.63	0.00	5.63	75.0	4.22
12/03/2018	***-**-3756 - GAGNE, JOHN	AMCAP FUND-A ACCOUNT #: 00089542885 BUY 0 Units @0.0000	0.00	10.20	0.00	10.20	75.0	7.65



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-5453 - O'HARA, DARLENE	AMCAP FUND-A	0.00	38.30	0.00	38.30	75.0	28.73
	ACCOUNT #: 04000891195	BUY 0 Units @0.0000						
12/03/2018	***-**-6233 - SHEPARD, ROBERT	AMCAP FUND-A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 04000977909	BUY 0 Units @0.0000						
12/03/2018	***-**-8906 - ISBELL,	AMERICAN BALANCED FUND-A	0.00	10.03	0.00	10.03	75.0	7.52
	ACCOUNT #: 00073594886	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	AMERICAN BALANCED FUND-A	0.00	8.04	0.00	8.04	75.0	6.03
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-6786 - NOWAKOWSKI,	AMERICAN BALANCED FUND-A	0.00	4.84	0.00	4.84	75.0	3.63
	ACCOUNT #: 00089939277	BUY 0 Units @0.0000						
12/03/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 00089941898	BUY 0 Units @0.0000						
12/03/2018	***-**-7912 - WOODCOCK,	AMERICAN BALANCED FUND-A	0.00	8.99	0.00	8.99	75.0	6.74
	ACCOUNT #: 04000179575	BUY 0 Units @0.0000						
12/03/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	0.00	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 04000247689	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	AMERICAN BALANCED FUND-A	0.00	21.21	0.00	21.21	75.0	15.91
	ACCOUNT #: 04000299130	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	AMERICAN BALANCED FUND-A	0.00	11.87	0.00	11.87	75.0	8.90
	ACCOUNT #: 04000381517	BUY 0 Units @0.0000						
12/03/2018	***-**-4652 - GARNSEY, JANELLE	AMERICAN BALANCED FUND-A	0.00	30.35	0.00	30.35	75.0	22.76
	ACCOUNT #: 04000491239	BUY 0 Units @0.0000						
12/03/2018	***-**-2464 - COLLETT, DAN	AMERICAN BALANCED FUND-A	0.00	4.67	0.00	4.67	75.0	3.50
	ACCOUNT #: 04000535815	BUY 0 Units @0.0000						
12/03/2018	***-**-5423 - MIGNACCA, PHILIP	AMERICAN BALANCED FUND-A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 04000943937	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - MIGNACCA, DAWN	AMERICAN BALANCED FUND-A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 04000943969	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	AMERICAN BALANCED FUND-A	0.00	69.10	0.00	69.10	75.0	51.83
	ACCOUNT #: 00061316194	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	AMERICAN BALANCED FUND-A	0.00	22.35	0.00	22.35	75.0	16.76
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-6921 - LARocca, KAREN	AMERICAN BALANCED FUND-A	0.00	2.22	0.00	2.22	75.0	1.67
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LARocca, KAREN	AMERICAN BALANCED FUND-A	0.00	11.73	0.00	11.73	75.0	8.80
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-5978 - COMFORT,	AMERICAN BALANCED FUND-A	0.00	1.15	0.00	1.15	75.0	0.86
	ACCOUNT #: 00075849975	BUY 0 Units @0.0000						
12/03/2018	***-**-453R - OHARA, DARLENE	AMERICAN BALANCED FUND-A	0.00	1.27	0.00	1.27	75.0	0.95
	ACCOUNT #: 00081132191	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	0.00	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 00081788449	BUY 0 Units @0.0000						
12/03/2018	***-**-3143 - HUMPF, JOHN	AMERICAN BALANCED FUND-A	0.00	9.57	0.00	9.57	75.0	7.18
	ACCOUNT #: 00082277557	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	AMERICAN BALANCED FUND-A	0.00	16.20	0.00	16.20	75.0	12.15
	ACCOUNT #: 00082278912	BUY 0 Units @0.0000						
12/03/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	0.00	8.25	0.00	8.25	75.0	6.19
	ACCOUNT #: 00082570536	BUY 0 Units @0.0000						
12/03/2018	***-**-3654 - REEDY, WILLIAM	AMERICAN BALANCED FUND-A	0.00	17.22	0.00	17.22	75.0	12.92
	ACCOUNT #: 00083453177	BUY 0 Units @0.0000						
12/03/2018	***-**-1607 - WALRATH, BRUCE	AMERICAN BALANCED FUND-A	0.00	12.76	0.00	12.76	75.0	9.57
	ACCOUNT #: 00083596297	BUY 0 Units @0.0000						
12/03/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	0.00	18.94	0.00	18.94	75.0	14.21
	ACCOUNT #: 00084481157	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	AMERICAN BALANCED FUND-A	0.00	7.07	0.00	7.07	75.0	5.30
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	AMERICAN BALANCED FUND-A	0.00	48.48	0.00	48.48	75.0	36.36
	ACCOUNT #: 00084518079	BUY 0 Units @0.0000						
12/03/2018	***-**-8526 - SPINELI, ELISE	AMERICAN BALANCED FUND-A	0.00	1.62	0.00	1.62	75.0	1.22
	ACCOUNT #: 00084820024	BUY 0 Units @0.0000						
12/03/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	0.00	8.32	0.00	8.32	75.0	6.24
	ACCOUNT #: 00085099445	BUY 0 Units @0.0000						
12/03/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	0.00	28.64	0.00	28.64	75.0	21.48
	ACCOUNT #: 00085154620	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-8143 - DRAKE, RONALD	AMERICAN BALANCED FUND-A	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: 00085219202	BUY 0 Units @0.0000						
12/03/2018	***-**-150B - MURRAY, MARYANNE	AMERICAN BALANCED FUND-A	0.00	12.28	0.00	12.28	75.0	9.21
	ACCOUNT #: 00085937489	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	0.00	3.68	0.00	3.68	75.0	2.76
	ACCOUNT #: 00085993216	BUY 0 Units @0.0000						
12/03/2018	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	0.00	4.73	0.00	4.73	75.0	3.55
	ACCOUNT #: 00086106101	BUY 0 Units @0.0000						
12/03/2018	***-**-6790 - DRAKE, ROBERT	AMERICAN BALANCED FUND-A	0.00	5.79	0.00	5.79	75.0	4.34
	ACCOUNT #: 00086415959	BUY 0 Units @0.0000						
12/03/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	0.00	31.70	0.00	31.70	75.0	23.78
	ACCOUNT #: 00087316793	BUY 0 Units @0.0000						
12/03/2018	***-**-6900 - MILLER, KENNETH	AMERICAN BALANCED FUND-A	0.00	10.47	0.00	10.47	75.0	7.85
	ACCOUNT #: 00087633416	BUY 0 Units @0.0000						
12/03/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	0.00	3.02	0.00	3.02	75.0	2.27
	ACCOUNT #: 00087644930	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	AMERICAN BALANCED FUND-A	0.00	2.73	0.00	2.73	75.0	2.05
	ACCOUNT #: 00087671391	BUY 0 Units @0.0000						
12/03/2018	***-**-241R - DRAKE, ROBERT	AMERICAN BALANCED FUND-A	0.00	2.93	0.00	2.93	75.0	2.20
	ACCOUNT #: 00087692434	BUY 0 Units @0.0000						
12/03/2018	***-**-9321 - DRAKE, MARY	AMERICAN BALANCED FUND-A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 00087693440	BUY 0 Units @0.0000						
12/03/2018	***-**-3034 - DRAKE, CATHERINE	AMERICAN BALANCED FUND-A	0.00	3.06	0.00	3.06	75.0	2.30
	ACCOUNT #: 00087698728	BUY 0 Units @0.0000						
12/03/2018	***-**-816R - NELSON, ANTHONY	AMERICAN BALANCED FUND-A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 00087727897	BUY 0 Units @0.0000						
12/03/2018	***-**-9825 - OHARA, DAVID	AMERICAN BALANCED FUND-A	0.00	46.58	0.00	46.58	75.0	34.94
	ACCOUNT #: 00087748588	BUY 0 Units @0.0000						
12/03/2018	***-**-3655 - MENNIG, LISA	AMERICAN BALANCED FUND-A	0.00	3.74	0.00	3.74	75.0	2.81
	ACCOUNT #: 00087778757	BUY 0 Units @0.0000						
12/03/2018	***-**-9348 - KARHNAK, NINA	AMERICAN BALANCED FUND-A	0.00	2.32	0.00	2.32	75.0	1.74
	ACCOUNT #: 00087837753	BUY 0 Units @0.0000						



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RONALD DRAKE  
6968 Bolivar Road  
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BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-9002 - ANDERSON, BRYAN	AMERICAN BALANCED FUND-A	0.00	13.74	0.00	13.74	75.0	10.31
	ACCOUNT #: 00087907563	BUY 0 Units @0.0000						
12/03/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	0.00	4.68	0.00	4.68	75.0	3.51
	ACCOUNT #: 00087909097	BUY 0 Units @0.0000						
12/03/2018	***-**-2179 - FOX, RAY	AMERICAN BALANCED FUND-A	0.00	5.96	0.00	5.96	75.0	4.47
	ACCOUNT #: 00087972536	BUY 0 Units @0.0000						
12/03/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	0.00	10.26	0.00	10.26	75.0	7.70
	ACCOUNT #: 00087990221	BUY 0 Units @0.0000						
12/03/2018	***-**-16JT - NELSON, ANTHONY	AMERICAN BALANCED FUND-A	0.00	2.57	0.00	2.57	75.0	1.93
	ACCOUNT #: 00088027660	BUY 0 Units @0.0000						
12/03/2018	***-**-0746 - FRANCOEUR, HENRY	AMERICAN BALANCED FUND-A	0.00	9.44	0.00	9.44	75.0	7.08
	ACCOUNT #: 00088088000	BUY 0 Units @0.0000						
12/03/2018	***-**-3096 - WESTON, LOIS	AMERICAN BALANCED FUND-A	0.00	4.66	0.00	4.66	75.0	3.50
	ACCOUNT #: 00088143854	BUY 0 Units @0.0000						
12/03/2018	***-**-8526 - SPINELI, ELISE	AMERICAN BALANCED FUND-A	0.00	3.04	0.00	3.04	75.0	2.28
	ACCOUNT #: 00088154499	BUY 0 Units @0.0000						
12/03/2018	***-**-6283 - DOUGLASS, ANITA	AMERICAN BALANCED FUND-A	0.00	0.94	0.00	0.94	75.0	0.71
	ACCOUNT #: 00088178709	BUY 0 Units @0.0000						
12/03/2018	***-**-7653 - SICHRA, JEANNE	AMERICAN BALANCED FUND-A	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: 00088194536	BUY 0 Units @0.0000						
12/03/2018	***-**-4790 - SWEEZEY, WILLIAM	AMERICAN BALANCED FUND-A	0.00	6.08	0.00	6.08	75.0	4.56
	ACCOUNT #: 00088279407	BUY 0 Units @0.0000						
12/03/2018	***-**-1351 - DIMAURO, ALLAN	AMERICAN BALANCED FUND-A	0.00	17.94	0.00	17.94	75.0	13.46
	ACCOUNT #: 00088294408	BUY 0 Units @0.0000						
12/03/2018	***-**-8800 - DIMAURO, JANE	AMERICAN BALANCED FUND-A	0.00	1.33	0.00	1.33	75.0	1.00
	ACCOUNT #: 00088294458	BUY 0 Units @0.0000						
12/03/2018	***-**-61RI - GETCHELL,	AMERICAN BALANCED FUND-A	0.00	19.71	0.00	19.71	75.0	14.78
	ACCOUNT #: 00088303532	BUY 0 Units @0.0000						
12/03/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN BALANCED FUND-A	0.00	0.89	0.00	0.89	75.0	0.67
	ACCOUNT #: 00088366890	BUY 0 Units @0.0000						
12/03/2018	***-**-8260 - LANZ, BRIAN	AMERICAN BALANCED FUND-A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 00088702210	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-9844 - COTTET, DAVID	AMERICAN BALANCED FUND-A	0.00	4.62	0.00	4.62	75.0	3.47
	ACCOUNT #: 00089106002	BUY 0 Units @0.0000						
12/03/2018	***-**-404Q - SVECZ, LORRAINE	AMERICAN BALANCED FUND-A	0.00	2.49	0.00	2.49	75.0	1.87
	ACCOUNT #: 00089217192	BUY 0 Units @0.0000						
12/03/2018	***-**-404Q - SVECZ, LORRAINE	AMERICAN BALANCED FUND-A	0.00	0.52	0.00	0.52	75.0	0.39
	ACCOUNT #: 00089217215	BUY 0 Units @0.0000						
12/03/2018	***-**-7618 - WILE, SANDRA	AMERICAN BALANCED FUND-A	0.00	4.77	0.00	4.77	75.0	3.58
	ACCOUNT #: 00089237747	BUY 0 Units @0.0000						
12/03/2018	***-**-9232 - DAVIS, CHRISTINE	AMERICAN BALANCED FUND-A	0.00	0.68	0.00	0.68	75.0	0.51
	ACCOUNT #: 00089570027	BUY 0 Units @0.0000						
12/03/2018	***-**-7334 - SIMCHIK, KARI	AMERICAN BALANCED FUND-A	0.00	6.42	0.00	6.42	75.0	4.82
	ACCOUNT #: 00089741498	BUY 0 Units @0.0000						
12/03/2018	***-**-0249 - ROBERTS, LESLIE	AMERICAN BALANCED FUND-A	0.00	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 01919000777	BUY 0 Units @0.0000						
12/03/2018	***-**-5453 - O'HARA, DARLENE	AMERICAN BALANCED FUND-A	0.00	11.41	0.00	11.41	75.0	8.56
	ACCOUNT #: 04000891195	BUY 0 Units @0.0000						
12/03/2018	***-**-1556 - CARTER, DIANE	AMERICAN BALANCED FUND-A	0.00	15.03	0.00	15.03	75.0	11.27
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN BALANCED FUND-A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 04000977909	BUY 0 Units @0.0000						
12/03/2018	***-**-0249 - ROBERTS, LESLIE	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.71	0.00	0.71	75.0	0.53
	ACCOUNT #: 01923000430	BUY 0 Units @0.0000						
12/17/2018	***-**-8573 - LILLY, KATHLEEN	AMERICAN FUNDS MF TRAILS	0.00	12.78	0.00	12.78	75.0	9.59
	ACCOUNT #: 04001193489	BUY 0 Units @0.0000						
12/03/2018	***-**-4790 - SWEEZEY, WILLIAM	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	2.16	0.00	2.16	75.0	1.62
	ACCOUNT #: 00088279407	BUY 0 Units @0.0000						
12/03/2018	***-**-3427 - SAMPLE, TERESA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.64	0.00	0.64	75.0	0.48
	ACCOUNT #: 00089920712	BUY 0 Units @0.0000						
12/03/2018	***-**-6786 - NOWAKOWSKI,	AMERICAN HIGH-INCOME TRUST-A	0.00	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: 00089939277	BUY 0 Units @0.0000						
12/03/2018	***-**-24IR - BERTRAND, JOHN	AMERICAN HIGH-INCOME TRUST-A	0.00	0.52	0.00	0.52	75.0	0.39
	ACCOUNT #: 00089941898	BUY 0 Units @0.0000						





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-7912 - WOODCOCK, ACCOUNT #: 04000179575	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	8.44	0.00	8.44	75.0	6.33
12/03/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	75.0	0.66
12/03/2018	***-**-2674 - MURRAY, SUZANNE ACCOUNT #: 04000299130	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	14.83	0.00	14.83	75.0	11.12
12/03/2018	***-**-2674 - MURRAY, SUZANNE ACCOUNT #: 04000381517	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	12.77	0.00	12.77	75.0	9.58
12/03/2018	***-**-5423 - MIGNACCA, PHILIP ACCOUNT #: 04000943937	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	75.0	0.45
12/03/2018	***-**-5132 - MIGNACCA, DAWN ACCOUNT #: 04000943969	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	75.0	0.45
12/03/2018	***-**-2857 - NELSON, IRENE ACCOUNT #: 00073000918	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	18.23	0.00	18.23	75.0	13.67
12/03/2018	***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074399618	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.75	0.00	1.75	75.0	1.31
12/03/2018	***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074417033	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	11.87	0.00	11.87	75.0	8.90
12/03/2018	***-**-5978 - COMFORT, ACCOUNT #: 00075849975	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	75.0	1.40
12/03/2018	***-**-9825 - OHARA, DAVID ACCOUNT #: 00081132041	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	2.78	0.00	2.78	75.0	2.09
12/03/2018	***-**-453R - OHARA, DARLENE ACCOUNT #: 00081132191	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.92	0.00	1.92	75.0	1.44
12/03/2018	***-**-8260 - LANZ, BRIAN ACCOUNT #: 00081540589	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	5.32	0.00	5.32	75.0	3.99
12/03/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	19.37	0.00	19.37	75.0	14.53
12/03/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00081783351	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	4.48	0.00	4.48	75.0	3.36
12/03/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00081788449	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	75.0	0.62



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-3143 - HUMPF, JOHN	AMERICAN HIGH-INCOME TRUST-A	0.00	6.86	0.00	6.86	75.0	5.15
	ACCOUNT #: 00082277557	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	0.00	6.53	0.00	6.53	75.0	4.90
	ACCOUNT #: 00082278912	BUY 0 Units @0.0000						
12/03/2018	***-**-932B - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	0.00	4.45	0.00	4.45	75.0	3.34
	ACCOUNT #: 00082336423	BUY 0 Units @0.0000						
12/03/2018	***-**-0911 - SPAZIANI,	AMERICAN HIGH-INCOME TRUST-A	0.00	4.78	0.00	4.78	75.0	3.59
	ACCOUNT #: 00082570536	BUY 0 Units @0.0000						
12/03/2018	***-**-3654 - REEDY, WILLIAM	AMERICAN HIGH-INCOME TRUST-A	0.00	14.05	0.00	14.05	75.0	10.54
	ACCOUNT #: 00083453177	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	AMERICAN HIGH-INCOME TRUST-A	0.00	8.48	0.00	8.48	75.0	6.36
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	AMERICAN HIGH-INCOME TRUST-A	0.00	17.29	0.00	17.29	75.0	12.97
	ACCOUNT #: 00084518079	BUY 0 Units @0.0000						
12/03/2018	***-**-1298 - WARNER, JENNIFER	AMERICAN HIGH-INCOME TRUST-A	0.00	4.44	0.00	4.44	75.0	3.33
	ACCOUNT #: 00084677249	BUY 0 Units @0.0000						
12/03/2018	***-**-1298 - WARNER, JENNIFER	AMERICAN HIGH-INCOME TRUST-A	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: 00084677273	BUY 0 Units @0.0000						
12/03/2018	***-**-8526 - SPINELI, ELISE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.16	0.00	1.16	75.0	0.87
	ACCOUNT #: 00084820024	BUY 0 Units @0.0000						
12/03/2018	***-**-7431 - PATANE, JOANNE	AMERICAN HIGH-INCOME TRUST-A	0.00	20.61	0.00	20.61	75.0	15.46
	ACCOUNT #: 00084894033	BUY 0 Units @0.0000						
12/03/2018	***-**-1467 - DRAKE, KATE	AMERICAN HIGH-INCOME TRUST-A	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: 00085070010	BUY 0 Units @0.0000						
12/03/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	0.00	4.19	0.00	4.19	75.0	3.14
	ACCOUNT #: 00085099445	BUY 0 Units @0.0000						
12/03/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	0.00	18.15	0.00	18.15	75.0	13.61
	ACCOUNT #: 00085154620	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	AMERICAN HIGH-INCOME TRUST-A	0.00	1.48	0.00	1.48	75.0	1.11
	ACCOUNT #: 00085219202	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00085403837	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	0.00	5.97	0.00	5.97	75.0	4.48
	ACCOUNT #: 00085514715	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	0.00	2.87	0.00	2.87	75.0	2.15
	ACCOUNT #: 00085514851	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	0.00	3.51	0.00	3.51	75.0	2.63
	ACCOUNT #: 00085839015	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	AMERICAN HIGH-INCOME TRUST-A	0.00	26.48	0.00	26.48	75.0	19.86
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-150B - MURRAY, MARYANNE	AMERICAN HIGH-INCOME TRUST-A	0.00	5.85	0.00	5.85	75.0	4.39
	ACCOUNT #: 00085937489	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	4.70	0.00	4.70	75.0	3.53
	ACCOUNT #: 00085993216	BUY 0 Units @0.0000						
12/03/2018	***-**-6790 - DRAKE, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	6.33	0.00	6.33	75.0	4.75
	ACCOUNT #: 00086415959	BUY 0 Units @0.0000						
12/03/2018	***-**-1812 - CHANDLER, JODY	AMERICAN HIGH-INCOME TRUST-A	0.00	3.28	0.00	3.28	75.0	2.46
	ACCOUNT #: 00086450734	BUY 0 Units @0.0000						
12/03/2018	***-**-2775 - CASTILLO,	AMERICAN HIGH-INCOME TRUST-A	0.00	8.62	0.00	8.62	75.0	6.47
	ACCOUNT #: 00086668159	BUY 0 Units @0.0000						
12/03/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	0.00	3.38	0.00	3.38	75.0	2.54
	ACCOUNT #: 00086683340	BUY 0 Units @0.0000						
12/03/2018	***-**-9498 - MAXAM, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00087112397	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	AMERICAN HIGH-INCOME TRUST-A	0.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00087671391	BUY 0 Units @0.0000						
12/03/2018	***-**-241R - DRAKE, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	1.98	0.00	1.98	75.0	1.49
	ACCOUNT #: 00087692434	BUY 0 Units @0.0000						
12/03/2018	***-**-9321 - DRAKE, MARY	AMERICAN HIGH-INCOME TRUST-A	0.00	1.70	0.00	1.70	75.0	1.28
	ACCOUNT #: 00087693440	BUY 0 Units @0.0000						
12/03/2018	***-**-3034 - DRAKE, CATHERINE	AMERICAN HIGH-INCOME TRUST-A	0.00	0.52	0.00	0.52	75.0	0.39
	ACCOUNT #: 00087698728	BUY 0 Units @0.0000						
12/03/2018	***-**-816R - NELSON, ANTHONY	AMERICAN HIGH-INCOME TRUST-A	0.00	0.98	0.00	0.98	75.0	0.74
	ACCOUNT #: 00087727897	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-5152 - DUNN, MICHELE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.30	0.00	1.30	75.0	0.98
	ACCOUNT #: 00087742896	BUY 0 Units @0.0000						
12/03/2018	***-**-9825 - OHARA, DAVID	AMERICAN HIGH-INCOME TRUST-A	0.00	12.75	0.00	12.75	75.0	9.56
	ACCOUNT #: 00087748588	BUY 0 Units @0.0000						
12/03/2018	***-**-3655 - MENNIG, LISA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: 00087778757	BUY 0 Units @0.0000						
12/03/2018	***-**-9348 - KARHNAK, NINA	AMERICAN HIGH-INCOME TRUST-A	0.00	2.07	0.00	2.07	75.0	1.55
	ACCOUNT #: 00087837753	BUY 0 Units @0.0000						
12/03/2018	***-**-9002 - ANDERSON, BRYAN	AMERICAN HIGH-INCOME TRUST-A	0.00	6.82	0.00	6.82	75.0	5.12
	ACCOUNT #: 00087907563	BUY 0 Units @0.0000						
12/03/2018	***-**-2179 - FOX, RAY	AMERICAN HIGH-INCOME TRUST-A	0.00	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 00087972536	BUY 0 Units @0.0000						
12/03/2018	***-**-7449 - JONES, D	AMERICAN HIGH-INCOME TRUST-A	0.00	2.91	0.00	2.91	75.0	2.18
	ACCOUNT #: 00087990221	BUY 0 Units @0.0000						
12/03/2018	***-**-3096 - WESTON, LOIS	AMERICAN HIGH-INCOME TRUST-A	0.00	3.73	0.00	3.73	75.0	2.80
	ACCOUNT #: 00088143854	BUY 0 Units @0.0000						
12/03/2018	***-**-6283 - DOUGLASS, ANITA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 00088178709	BUY 0 Units @0.0000						
12/03/2018	***-**-7653 - SICHRA, JEANNE	AMERICAN HIGH-INCOME TRUST-A	0.00	2.27	0.00	2.27	75.0	1.70
	ACCOUNT #: 00088194536	BUY 0 Units @0.0000						
12/03/2018	***-**-1351 - DIMAURO, ALLAN	AMERICAN HIGH-INCOME TRUST-A	0.00	16.00	0.00	16.00	75.0	12.00
	ACCOUNT #: 00088294408	BUY 0 Units @0.0000						
12/03/2018	***-**-8800 - DIMAURO, JANE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.13	0.00	1.13	75.0	0.85
	ACCOUNT #: 00088294458	BUY 0 Units @0.0000						
12/03/2018	***-**-61RI - GETCHELL,	AMERICAN HIGH-INCOME TRUST-A	0.00	7.99	0.00	7.99	75.0	5.99
	ACCOUNT #: 00088303532	BUY 0 Units @0.0000						
12/03/2018	***-**-9844 - COTTET, DAVID	AMERICAN HIGH-INCOME TRUST-A	0.00	1.42	0.00	1.42	75.0	1.07
	ACCOUNT #: 00089106002	BUY 0 Units @0.0000						
12/03/2018	***-**-9232 - DAVIS, CHRISTINE	AMERICAN HIGH-INCOME TRUST-A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00089570027	BUY 0 Units @0.0000						
12/03/2018	***-**-7334 - SIMCHIK, KARI	AMERICAN HIGH-INCOME TRUST-A	0.00	2.02	0.00	2.02	75.0	1.52
	ACCOUNT #: 00089741498	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-5453 - O'HARA, DARLENE	AMERICAN HIGH-INCOME TRUST-A	0.00	32.72	0.00	32.72	75.0	24.54
	ACCOUNT #: 04000891195	BUY 0 Units @0.0000						
12/03/2018	***-**-1556 - CARTER, DIANE	AMERICAN HIGH-INCOME TRUST-A	0.00	11.48	0.00	11.48	75.0	8.61
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN HIGH-INCOME TRUST-A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 04000977909	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	AMERICAN MUTUAL FUND-A	0.00	1.07	0.00	1.07	75.0	0.80
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	AMERICAN MUTUAL FUND-A	0.00	10.57	0.00	10.57	75.0	7.93
	ACCOUNT #: 00073910616	BUY 0 Units @0.0000						
12/03/2018	***-**-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A	0.00	4.91	0.00	4.91	75.0	3.68
	ACCOUNT #: 00088370668	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	AMERICAN MUTUAL FUND-A	0.00	61.92	0.00	61.92	75.0	46.44
	ACCOUNT #: 00064162121	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	AMERICAN MUTUAL FUND-A	0.00	3.66	0.00	3.66	75.0	2.75
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						
12/03/2018	***-**-3654 - REEDY, WILLIAM	AMERICAN MUTUAL FUND-A	0.00	9.45	0.00	9.45	75.0	7.09
	ACCOUNT #: 00083453177	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	AMERICAN MUTUAL FUND-A	0.00	0.94	0.00	0.94	75.0	0.71
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	0.00	9.00	0.00	9.00	75.0	6.75
	ACCOUNT #: 00085099445	BUY 0 Units @0.0000						
12/03/2018	***-**-2179 - FOX, RAY	AMERICAN MUTUAL FUND-A	0.00	3.80	0.00	3.80	75.0	2.85
	ACCOUNT #: 00087972536	BUY 0 Units @0.0000						
12/03/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN MUTUAL FUND-A	0.00	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: 00088366890	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	AMERICAN MUTUAL FUND-A	0.00	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: 04000458059	BUY 0 Units @0.0000						
12/03/2018	***-**-7458 - SAMPLE, MARK	AMERICAN TAX EXEMPT OF NEW YORK CL	0.00	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00089920702	BUY 0 Units @0.0000						
12/03/2018	***-**-404Q - SVECZ, LORRAINE	AMERICAN TAX EXEMPT OF NEW YORK CL	0.00	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: 00089217215	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-9232 - DAVIS, CHRISTINE	AMERICAN TAX EXEMPT OF NEW YORK CL	0.00	1.84	0.00	1.84	75.0	1.38
	ACCOUNT #: 00089546188	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	CAPITAL INCOME BUILDER-A	0.00	5.98	0.00	5.98	75.0	4.49
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-7912 - WOODCOCK,	CAPITAL INCOME BUILDER-A	0.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00081632184	BUY 0 Units @0.0000						
12/03/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	0.00	2.77	0.00	2.77	75.0	2.08
	ACCOUNT #: 00089976714	BUY 0 Units @0.0000						
12/03/2018	***-**-5423 - MIGNACCA, PHILIP	CAPITAL INCOME BUILDER-A	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: 04000943937	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - MIGNACCA, DAWN	CAPITAL INCOME BUILDER-A	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: 04000943969	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	CAPITAL INCOME BUILDER-A	0.00	19.21	0.00	19.21	75.0	14.41
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	CAPITAL INCOME BUILDER-A	0.00	2.53	0.00	2.53	75.0	1.90
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	CAPITAL INCOME BUILDER-A	0.00	13.71	0.00	13.71	75.0	10.28
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-9891 - WOODCOCK,	CAPITAL INCOME BUILDER-A	0.00	42.64	0.00	42.64	75.0	31.98
	ACCOUNT #: 00081599245	BUY 0 Units @0.0000						
12/03/2018	***-**-932B - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	0.00	11.21	0.00	11.21	75.0	8.41
	ACCOUNT #: 00082336423	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	CAPITAL INCOME BUILDER-A	0.00	0.82	0.00	0.82	75.0	0.62
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	CAPITAL INCOME BUILDER-A	0.00	14.49	0.00	14.49	75.0	10.87
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-150B - MURRAY, MARYANNE	CAPITAL INCOME BUILDER-A	0.00	8.45	0.00	8.45	75.0	6.34
	ACCOUNT #: 00085937489	BUY 0 Units @0.0000						
12/03/2018	***-**-7538 - MURRAY, SCOTT	CAPITAL INCOME BUILDER-A	0.00	68.45	0.00	68.45	75.0	51.34
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***-**-4906 - VAN ALSTINE,	CAPITAL INCOME BUILDER-A	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: 00086106101	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086415959	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	6.84	0.00	6.84	75.0	5.13
12/03/2018	***-**-1812 - CHANDLER, JODY ACCOUNT #: 00086450734	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	3.62	0.00	3.62	75.0	2.72
12/03/2018	***-**-2775 - CASTILLO, ACCOUNT #: 00086668159	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	24.85	0.00	24.85	75.0	18.64
12/03/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	12.02	0.00	12.02	75.0	9.02
12/03/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	28.94	0.00	28.94	75.0	21.71
12/03/2018	***-**-241R - DRAKE, ROBERT ACCOUNT #: 00087692434	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.19	0.00	2.19	75.0	1.64
12/03/2018	***-**-9321 - DRAKE, MARY ACCOUNT #: 00087693440	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
12/03/2018	***-**-9825 - OHARA, DAVID ACCOUNT #: 00087748588	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	13.40	0.00	13.40	75.0	10.05
12/03/2018	***-**-9002 - ANDERSON, BRYAN ACCOUNT #: 00087907563	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	11.87	0.00	11.87	75.0	8.90
12/03/2018	***-**-2179 - FOX, RAY ACCOUNT #: 00087972536	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	5.38	0.00	5.38	75.0	4.04
12/03/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	9.14	0.00	9.14	75.0	6.86
12/03/2018	***-**-16JT - NELSON, ANTHONY ACCOUNT #: 00088027660	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.28	0.00	2.28	75.0	1.71
12/03/2018	***-**-0746 - FRANCOEUR, HENRY ACCOUNT #: 00088088000	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	11.36	0.00	11.36	75.0	8.52
12/03/2018	***-**-3096 - WESTON, LOIS ACCOUNT #: 00088143854	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.44	0.00	2.44	75.0	1.83
12/03/2018	***-**-8526 - SPINELI, ELISE ACCOUNT #: 00088154499	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.02	0.00	2.02	75.0	1.52
12/03/2018	***-**-6283 - DOUGLASS, ANITA ACCOUNT #: 00088178709	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	75.0	0.75



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-7653 - SICHRA, JEANNE	CAPITAL INCOME BUILDER-A	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 00088194536	BUY 0 Units @0.0000						
12/03/2018	***-**-4790 - SWEEZEY, WILLIAM	CAPITAL INCOME BUILDER-A	0.00	3.75	0.00	3.75	75.0	2.81
	ACCOUNT #: 00088279407	BUY 0 Units @0.0000						
12/03/2018	***-**-61RI - GETCHELL,	CAPITAL INCOME BUILDER-A	0.00	13.77	0.00	13.77	75.0	10.33
	ACCOUNT #: 00088303532	BUY 0 Units @0.0000						
12/03/2018	***-**-6233 - SHEPARD, ROBERT	CAPITAL INCOME BUILDER-A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 04000977909	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	CAPITAL WORLD BOND FUND-A	0.00	14.28	0.00	14.28	75.0	10.71
	ACCOUNT #: 04000299130	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	CAPITAL WORLD BOND FUND-A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-932B - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	0.00	11.44	0.00	11.44	75.0	8.58
	ACCOUNT #: 00082336423	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	CAPITAL WORLD BOND FUND-A	0.00	9.82	0.00	9.82	75.0	7.37
	ACCOUNT #: 00084518079	BUY 0 Units @0.0000						
12/03/2018	***-**-7538 - MURRAY, SCOTT	CAPITAL WORLD BOND FUND-A	0.00	14.76	0.00	14.76	75.0	11.07
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***-**-4906 - VAN ALSTINE,	CAPITAL WORLD BOND FUND-A	0.00	1.20	0.00	1.20	75.0	0.90
	ACCOUNT #: 00086106101	BUY 0 Units @0.0000						
12/03/2018	***-**-2775 - CASTILLO,	CAPITAL WORLD BOND FUND-A	0.00	5.83	0.00	5.83	75.0	4.37
	ACCOUNT #: 00086668159	BUY 0 Units @0.0000						
12/03/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	0.00	8.36	0.00	8.36	75.0	6.27
	ACCOUNT #: 00086683340	BUY 0 Units @0.0000						
12/03/2018	***-**-61RI - GETCHELL,	CAPITAL WORLD BOND FUND-A	0.00	9.75	0.00	9.75	75.0	7.31
	ACCOUNT #: 00088303532	BUY 0 Units @0.0000						
12/03/2018	***-**-1556 - CARTER, DIANE	CAPITAL WORLD BOND FUND-A	0.00	8.28	0.00	8.28	75.0	6.21
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-7912 - WOODCOCK,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 00081632184	BUY 0 Units @0.0000						
12/03/2018	***-**-4652 - GARNSEY, JANELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	14.55	0.00	14.55	75.0	10.91
	ACCOUNT #: 04000491239	BUY 0 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-2464 - COLLETT, DAN ACCOUNT #: 04000535815	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.40	0.00	1.40	75.0	1.05
12/03/2018	***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074399618	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.28	0.00	2.28	75.0	1.71
12/03/2018	***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074417033	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	9.03	0.00	9.03	75.0	6.77
12/03/2018	***-**-5978 - COMFORT, ACCOUNT #: 00075849975	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	75.0	0.38
12/03/2018	***-**-9825 - OHARA, DAVID ACCOUNT #: 00081132041	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	75.0	0.97
12/03/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00081599245	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	15.58	0.00	15.58	75.0	11.69
12/03/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	20.40	0.00	20.40	75.0	15.30
12/03/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00081783351	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	75.0	3.20
12/03/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	7.70	0.00	7.70	75.0	5.78
12/03/2018	***-**-932B - BAKER, STEVEN ACCOUNT #: 00082336423	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	8.94	0.00	8.94	75.0	6.71
12/03/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	75.0	1.78
12/03/2018	***-**-3654 - REEDY, WILLIAM ACCOUNT #: 00083453177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	14.56	0.00	14.56	75.0	10.92
12/03/2018	***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518064	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.45	0.00	2.45	75.0	1.84
12/03/2018	***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518079	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	18.42	0.00	18.42	75.0	13.82
12/03/2018	***-**-1298 - WARNER, JENNIFER ACCOUNT #: 00084677249	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	4.74	0.00	4.74	75.0	3.56
12/03/2018	***-**-1298 - WARNER, JENNIFER ACCOUNT #: 00084677273	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.86	0.00	0.86	75.0	0.65



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-1467 - DRAKE, KATE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.64	0.00	0.64	75.0	0.48
	ACCOUNT #: 00085070010	BUY 0 Units @0.0000						
12/03/2018	***-**-9408 - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.08	0.00	3.08	75.0	2.31
	ACCOUNT #: 00085099445	BUY 0 Units @0.0000						
12/03/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	21.03	0.00	21.03	75.0	15.77
	ACCOUNT #: 00085154620	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 00085219202	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00085403837	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.86	0.00	9.86	75.0	7.40
	ACCOUNT #: 00085514715	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.29	0.00	3.29	75.0	2.47
	ACCOUNT #: 00085514851	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.90	0.00	4.90	75.0	3.68
	ACCOUNT #: 00085839015	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	17.54	0.00	17.54	75.0	13.16
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-150B - MURRAY, MARYANNE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.29	0.00	10.29	75.0	7.72
	ACCOUNT #: 00085937489	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.59	0.00	3.59	75.0	2.69
	ACCOUNT #: 00085993216	BUY 0 Units @0.0000						
12/03/2018	***-**-4906 - VAN ALSTINE,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.86	0.00	2.86	75.0	2.15
	ACCOUNT #: 00086106101	BUY 0 Units @0.0000						
12/03/2018	***-**-6790 - DRAKE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.18	0.00	5.18	75.0	3.89
	ACCOUNT #: 00086415959	BUY 0 Units @0.0000						
12/03/2018	***-**-1812 - CHANDLER, JODY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.07	0.00	2.07	75.0	1.55
	ACCOUNT #: 00086450734	BUY 0 Units @0.0000						
12/03/2018	***-**-2775 - CASTILLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	29.24	0.00	29.24	75.0	21.93
	ACCOUNT #: 00086668159	BUY 0 Units @0.0000						
12/03/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.93	0.00	10.93	75.0	8.20
	ACCOUNT #: 00086683340	BUY 0 Units @0.0000						



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RONALD DRAKE  
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BROKER #: A36  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-9498 - MAXAM, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.23	0.00	1.23	75.0	0.92
	ACCOUNT #: 00087112397	BUY 0 Units @0.0000						
12/03/2018	***-**-6900 - MILLER, KENNETH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.34	0.00	5.34	75.0	4.01
	ACCOUNT #: 00087633416	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.37	0.00	5.37	75.0	4.03
	ACCOUNT #: 00087671391	BUY 0 Units @0.0000						
12/03/2018	***-**-3034 - DRAKE, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.07	0.00	2.07	75.0	1.55
	ACCOUNT #: 00087698728	BUY 0 Units @0.0000						
12/03/2018	***-**-816R - NELSON, ANTHONY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: 00087727897	BUY 0 Units @0.0000						
12/03/2018	***-**-9825 - OHARA, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	28.95	0.00	28.95	75.0	21.71
	ACCOUNT #: 00087748588	BUY 0 Units @0.0000						
12/03/2018	***-**-9002 - ANDERSON, BRYAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.09	0.00	5.09	75.0	3.82
	ACCOUNT #: 00087907563	BUY 0 Units @0.0000						
12/03/2018	***-**-16JT - NELSON, ANTHONY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088027660	BUY 0 Units @0.0000						
12/03/2018	***-**-3096 - WESTON, LOIS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 00088143854	BUY 0 Units @0.0000						
12/03/2018	***-**-1351 - DIMAURO, ALLAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	16.80	0.00	16.80	75.0	12.60
	ACCOUNT #: 00088294408	BUY 0 Units @0.0000						
12/03/2018	***-**-8800 - DIMAURO, JANE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.26	0.00	1.26	75.0	0.95
	ACCOUNT #: 00088294458	BUY 0 Units @0.0000						
12/03/2018	***-**-404Q - SVECZ, LORRAINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: 00089217192	BUY 0 Units @0.0000						
12/03/2018	***-**-1556 - CARTER, DIANE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.35	0.00	10.35	75.0	7.76
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	EUROPACIFIC GROWTH FUND-A	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	EUROPACIFIC GROWTH FUND-A	0.00	6.21	0.00	6.21	75.0	4.66
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-453R - OHARA, DARLENE	EUROPACIFIC GROWTH FUND-A	0.00	1.20	0.00	1.20	75.0	0.90
	ACCOUNT #: 00081132191	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-8260 - LANZ, BRIAN	EUROPACIFIC GROWTH FUND-A	0.00	1.13	0.00	1.13	75.0	0.85
	ACCOUNT #: 00081540589	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	EUROPACIFIC GROWTH FUND-A	0.00	1.02	0.00	1.02	75.0	0.77
	ACCOUNT #: 00081788449	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	EUROPACIFIC GROWTH FUND-A	0.00	6.48	0.00	6.48	75.0	4.86
	ACCOUNT #: 00082278912	BUY 0 Units @0.0000						
12/03/2018	***-**-034R - DRAKE, CATHERINE	EUROPACIFIC GROWTH FUND-A	0.00	1.40	0.00	1.40	75.0	1.05
	ACCOUNT #: 00082893236	BUY 0 Units @0.0000						
12/03/2018	***-**-989I - WOODCOCK,	EUROPACIFIC GROWTH FUND-A	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: 00083279090	BUY 0 Units @0.0000						
12/03/2018	***-**-7431 - PATANE, JOANNE	EUROPACIFIC GROWTH FUND-A	0.00	4.50	0.00	4.50	75.0	3.38
	ACCOUNT #: 00084894033	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	0.00	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 00085403837	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	0.00	7.27	0.00	7.27	75.0	5.45
	ACCOUNT #: 00085514715	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	0.00	4.46	0.00	4.46	75.0	3.35
	ACCOUNT #: 00085839015	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	EUROPACIFIC GROWTH FUND-A	0.00	8.83	0.00	8.83	75.0	6.62
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-3655 - MENNIG, LISA	EUROPACIFIC GROWTH FUND-A	0.00	1.32	0.00	1.32	75.0	0.99
	ACCOUNT #: 00087778757	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	FUNDAMENTAL INVESTORS-A	0.00	1.54	0.00	1.54	75.0	1.16
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-7912 - WOODCOCK,	FUNDAMENTAL INVESTORS-A	0.00	9.48	0.00	9.48	75.0	7.11
	ACCOUNT #: 04000179575	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	FUNDAMENTAL INVESTORS-A	0.00	16.39	0.00	16.39	75.0	12.29
	ACCOUNT #: 04000299130	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	FUNDAMENTAL INVESTORS-A	0.00	36.19	0.00	36.19	75.0	27.14
	ACCOUNT #: 00064162121	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	FUNDAMENTAL INVESTORS-A	0.00	4.96	0.00	4.96	75.0	3.72
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-6921 - LARocca, KAREN	FUNDAMENTAL INVESTORS-A	0.00	0.74	0.00	0.74	75.0	0.56
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LARocca, KAREN	FUNDAMENTAL INVESTORS-A	0.00	13.03	0.00	13.03	75.0	9.77
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-8260 - LANZ, BRIAN	FUNDAMENTAL INVESTORS-A	0.00	7.24	0.00	7.24	75.0	5.43
	ACCOUNT #: 00081540589	BUY 0 Units @0.0000						
12/03/2018	***-**-989I - WOODCOCK,	FUNDAMENTAL INVESTORS-A	0.00	36.41	0.00	36.41	75.0	27.31
	ACCOUNT #: 00081599245	BUY 0 Units @0.0000						
12/03/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	0.00	9.56	0.00	9.56	75.0	7.17
	ACCOUNT #: 00082570536	BUY 0 Units @0.0000						
12/03/2018	***-**-989I - WOODCOCK,	FUNDAMENTAL INVESTORS-A	0.00	6.52	0.00	6.52	75.0	4.89
	ACCOUNT #: 00083279090	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	FUNDAMENTAL INVESTORS-A	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	FUNDAMENTAL INVESTORS-A	0.00	10.54	0.00	10.54	75.0	7.91
	ACCOUNT #: 00084518079	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	FUNDAMENTAL INVESTORS-A	0.00	1.69	0.00	1.69	75.0	1.27
	ACCOUNT #: 00085219202	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	FUNDAMENTAL INVESTORS-A	0.00	55.22	0.00	55.22	75.0	41.42
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-7538 - MURRAY, SCOTT	FUNDAMENTAL INVESTORS-A	0.00	79.84	0.00	79.84	75.0	59.88
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***-**-2775 - CASTILLO,	FUNDAMENTAL INVESTORS-A	0.00	31.68	0.00	31.68	75.0	23.76
	ACCOUNT #: 00086668159	BUY 0 Units @0.0000						
12/03/2018	***-**-5152 - DUNN, MICHELE	FUNDAMENTAL INVESTORS-A	0.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00087742896	BUY 0 Units @0.0000						
12/03/2018	***-**-3655 - MENNIG, LISA	FUNDAMENTAL INVESTORS-A	0.00	1.06	0.00	1.06	75.0	0.80
	ACCOUNT #: 00087778757	BUY 0 Units @0.0000						
12/03/2018	***-**-0746 - FRANCOEUR, HENRY	FUNDAMENTAL INVESTORS-A	0.00	6.14	0.00	6.14	75.0	4.61
	ACCOUNT #: 00088088000	BUY 0 Units @0.0000						
12/03/2018	***-**-7653 - SICHRA, JEANNE	FUNDAMENTAL INVESTORS-A	0.00	2.94	0.00	2.94	75.0	2.21
	ACCOUNT #: 00088194536	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-4790 - SWEZEY, WILLIAM	FUNDAMENTAL INVESTORS-A	0.00	7.32	0.00	7.32	75.0	5.49
	ACCOUNT #: 00088279407	BUY 0 Units @0.0000						
12/03/2018	***-**-61RI - GETCHELL,	FUNDAMENTAL INVESTORS-A	0.00	18.04	0.00	18.04	75.0	13.53
	ACCOUNT #: 00088303532	BUY 0 Units @0.0000						
12/03/2018	***-**-404Q - SVECZ, LORRAINE	FUNDAMENTAL INVESTORS-A	0.00	3.36	0.00	3.36	75.0	2.52
	ACCOUNT #: 00089217192	BUY 0 Units @0.0000						
12/03/2018	***-**-404Q - SVECZ, LORRAINE	FUNDAMENTAL INVESTORS-A	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: 00089217215	BUY 0 Units @0.0000						
12/03/2018	***-**-7618 - WILE, SANDRA	FUNDAMENTAL INVESTORS-A	0.00	6.02	0.00	6.02	75.0	4.52
	ACCOUNT #: 00089237747	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	FUNDAMENTAL INVESTORS-A	0.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: 04000458059	BUY 0 Units @0.0000						
12/03/2018	***-**-1556 - CARTER, DIANE	FUNDAMENTAL INVESTORS-A	0.00	13.83	0.00	13.83	75.0	10.37
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	NEW PERSPECTIVE FUND-A	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-7912 - WOODCOCK,	NEW PERSPECTIVE FUND-A	0.00	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 00081632184	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	NEW PERSPECTIVE FUND-A	0.00	41.73	0.00	41.73	75.0	31.30
	ACCOUNT #: 00064162121	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	NEW PERSPECTIVE FUND-A	0.00	4.26	0.00	4.26	75.0	3.20
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	NEW PERSPECTIVE FUND-A	0.00	1.61	0.00	1.61	75.0	1.21
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	NEW PERSPECTIVE FUND-A	0.00	4.89	0.00	4.89	75.0	3.67
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-9825 - OHARA, DAVID	NEW PERSPECTIVE FUND-A	0.00	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 00081132041	BUY 0 Units @0.0000						
12/03/2018	***-**-453R - OHARA, DARLENE	NEW PERSPECTIVE FUND-A	0.00	2.46	0.00	2.46	75.0	1.85
	ACCOUNT #: 00081132191	BUY 0 Units @0.0000						
12/03/2018	***-**-989I - WOODCOCK,	NEW PERSPECTIVE FUND-A	0.00	19.52	0.00	19.52	75.0	14.64
	ACCOUNT #: 00081599245	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-3756 - GAGNE, JOHN	NEW PERSPECTIVE FUND-A	0.00	26.73	0.00	26.73	75.0	20.05
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-7538 - MURRAY, SCOTT	NEW PERSPECTIVE FUND-A	0.00	37.30	0.00	37.30	75.0	27.98
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***-**-7691 - CAREY, PAUL	NEW PERSPECTIVE FUND-A	0.00	2.51	0.00	2.51	75.0	1.88
	ACCOUNT #: 04000458059	BUY 0 Units @0.0000						
12/03/2018	***-**-5453 - O'HARA, DARLENE	NEW PERSPECTIVE FUND-A	0.00	32.19	0.00	32.19	75.0	24.14
	ACCOUNT #: 04000891195	BUY 0 Units @0.0000						
12/03/2018	***-**-1556 - CARTER, DIANE	NEW PERSPECTIVE FUND-A	0.00	1.48	0.00	1.48	75.0	1.11
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	NEW WORLD FUND-A	0.00	1.22	0.00	1.22	75.0	0.92
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	NEW WORLD FUND-A	0.00	3.88	0.00	3.88	75.0	2.91
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	NEW WORLD FUND-A	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 00085219202	BUY 0 Units @0.0000						
12/03/2018	***-**-2179 - FOX, RAY	NEW WORLD FUND-A	0.00	2.17	0.00	2.17	75.0	1.63
	ACCOUNT #: 00087972536	BUY 0 Units @0.0000						
12/17/2018	***-**-4427 - ZOGBY, MICHAEL	QUALIFIED PLAN SUMMARY	0.00	76.50	0.00	76.50	75.0	57.38
	ACCOUNT #: 00084492487	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	SMALLCAP WORLD FUND-A	0.00	1.54	0.00	1.54	75.0	1.16
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	SMALLCAP WORLD FUND-A	0.00	4.74	0.00	4.74	75.0	3.56
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	0.00	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: 00085993216	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	THE BOND FUND OF AMERICA-A	0.00	1.26	0.00	1.26	75.0	0.95
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	THE BOND FUND OF AMERICA-A	0.00	5.29	0.00	5.29	75.0	3.97
	ACCOUNT #: 00073910616	BUY 0 Units @0.0000						
12/03/2018	***-**-4652 - GARNSEY, JANELLE	THE BOND FUND OF AMERICA-A	0.00	13.98	0.00	13.98	75.0	10.49
	ACCOUNT #: 04000491239	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-2464 - COLLETT, DAN ACCOUNT #: 04000535815	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.54	0.00	1.54	75.0	1.16
12/03/2018	***-**-6921 - LAROCCA, KAREN ACCOUNT #: 00074417033	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.84	0.00	3.84	75.0	2.88
12/03/2018	***-**-5978 - COMFORT, ACCOUNT #: 00075849975	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.54	0.00	0.54	75.0	0.41
12/03/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00081788449	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	75.0	0.62
12/03/2018	***-**-932B - BAKER, STEVEN ACCOUNT #: 00082336423	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	75.0	1.63
12/03/2018	***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.78	0.00	7.78	75.0	5.84
12/03/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.41	0.00	11.41	75.0	8.56
12/03/2018	***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518064	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.77	0.00	2.77	75.0	2.08
12/03/2018	***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518079	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	25.97	0.00	25.97	75.0	19.48
12/03/2018	***-**-7431 - PATANE, JOANNE ACCOUNT #: 00084894033	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	75.0	4.23
12/03/2018	***-**-7538 - MURRAY, SCOTT ACCOUNT #: 00086060967	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.37	0.00	12.37	75.0	9.28
12/03/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.51	0.00	2.51	75.0	1.88
12/03/2018	***-**-9498 - MAXAM, ROBERT ACCOUNT #: 00087112397	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	75.0	1.12
12/03/2018	***-**-404Q - SVECZ, LORRAINE ACCOUNT #: 00089217192	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.61	0.00	1.61	75.0	1.21
12/03/2018	***-**-404Q - SVECZ, LORRAINE ACCOUNT #: 00089217215	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.37	0.00	0.37	75.0	0.28
12/03/2018	***-**-1556 - CARTER, DIANE ACCOUNT #: 04000933153	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.07	0.00	7.07	75.0	5.30





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RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	THE GROWTH FUND OF AMERICA-A	0.00	3.28	0.00	3.28	75.0	2.46
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-7912 - WOODCOCK,	THE GROWTH FUND OF AMERICA-A	0.00	2.52	0.00	2.52	75.0	1.89
	ACCOUNT #: 00081632184	BUY 0 Units @0.0000						
12/03/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	0.00	0.74	0.00	0.74	75.0	0.56
	ACCOUNT #: 00089897908	BUY 0 Units @0.0000						
12/03/2018	***-**-6786 - NOWAKOWSKI,	THE GROWTH FUND OF AMERICA-A	0.00	2.89	0.00	2.89	75.0	2.17
	ACCOUNT #: 00089939277	BUY 0 Units @0.0000						
12/03/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	0.00	1.28	0.00	1.28	75.0	0.96
	ACCOUNT #: 00089941898	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	THE GROWTH FUND OF AMERICA-A	0.00	17.29	0.00	17.29	75.0	12.97
	ACCOUNT #: 04000299130	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	THE GROWTH FUND OF AMERICA-A	0.00	6.86	0.00	6.86	75.0	5.15
	ACCOUNT #: 04000381517	BUY 0 Units @0.0000						
12/03/2018	***-**-4652 - GARNSEY, JANELLE	THE GROWTH FUND OF AMERICA-A	0.00	32.83	0.00	32.83	75.0	24.62
	ACCOUNT #: 04000491239	BUY 0 Units @0.0000						
12/03/2018	***-**-2464 - COLLETT, DAN	THE GROWTH FUND OF AMERICA-A	0.00	1.81	0.00	1.81	75.0	1.36
	ACCOUNT #: 04000535815	BUY 0 Units @0.0000						
12/03/2018	***-**-5423 - MIGNACCA, PHILIP	THE GROWTH FUND OF AMERICA-A	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 04000943937	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - MIGNACCA, DAWN	THE GROWTH FUND OF AMERICA-A	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 04000943969	BUY 0 Units @0.0000						
12/03/2018	***-**-816I - NELSON, ANTHONY	THE GROWTH FUND OF AMERICA-A	0.00	8.79	0.00	8.79	75.0	6.59
	ACCOUNT #: 00066978950	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	THE GROWTH FUND OF AMERICA-A	0.00	9.66	0.00	9.66	75.0	7.25
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	THE GROWTH FUND OF AMERICA-A	0.00	3.96	0.00	3.96	75.0	2.97
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	THE GROWTH FUND OF AMERICA-A	0.00	12.68	0.00	12.68	75.0	9.51
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-9825 - OHARA, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: 00081132041	BUY 0 Units @0.0000						



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BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-453R - OHARA, DARLENE	THE GROWTH FUND OF AMERICA-A	0.00	2.39	0.00	2.39	75.0	1.79
	ACCOUNT #: 00081132191	BUY 0 Units @0.0000						
12/03/2018	***-**-8260 - LANZ, BRIAN	THE GROWTH FUND OF AMERICA-A	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: 00081540589	BUY 0 Units @0.0000						
12/03/2018	***-**-989I - WOODCOCK,	THE GROWTH FUND OF AMERICA-A	0.00	28.59	0.00	28.59	75.0	21.44
	ACCOUNT #: 00081599245	BUY 0 Units @0.0000						
12/03/2018	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	0.00	27.03	0.00	27.03	75.0	20.27
	ACCOUNT #: 00081620466	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	0.00	6.44	0.00	6.44	75.0	4.83
	ACCOUNT #: 00081783351	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: 00081788449	BUY 0 Units @0.0000						
12/03/2018	***-**-3143 - HUMPF, JOHN	THE GROWTH FUND OF AMERICA-A	0.00	15.75	0.00	15.75	75.0	11.81
	ACCOUNT #: 00082277557	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	0.00	26.80	0.00	26.80	75.0	20.10
	ACCOUNT #: 00082278912	BUY 0 Units @0.0000						
12/03/2018	***-**-932B - BAKER, STEVEN	THE GROWTH FUND OF AMERICA-A	0.00	7.53	0.00	7.53	75.0	5.65
	ACCOUNT #: 00082336423	BUY 0 Units @0.0000						
12/03/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	0.00	3.83	0.00	3.83	75.0	2.87
	ACCOUNT #: 00082570536	BUY 0 Units @0.0000						
12/03/2018	***-**-034R - DRAKE, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	2.32	0.00	2.32	75.0	1.74
	ACCOUNT #: 00082893236	BUY 0 Units @0.0000						
12/03/2018	***-**-9089 - REEDY, LISA	THE GROWTH FUND OF AMERICA-A	0.00	1.73	0.00	1.73	75.0	1.30
	ACCOUNT #: 00083100942	BUY 0 Units @0.0000						
12/03/2018	***-**-989I - WOODCOCK,	THE GROWTH FUND OF AMERICA-A	0.00	6.99	0.00	6.99	75.0	5.24
	ACCOUNT #: 00083279090	BUY 0 Units @0.0000						
12/03/2018	***-**-3654 - REEDY, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	7.57	0.00	7.57	75.0	5.68
	ACCOUNT #: 00083453177	BUY 0 Units @0.0000						
12/03/2018	***-**-1607 - WALRATH, BRUCE	THE GROWTH FUND OF AMERICA-A	0.00	14.34	0.00	14.34	75.0	10.76
	ACCOUNT #: 00083596297	BUY 0 Units @0.0000						
12/03/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	0.00	22.13	0.00	22.13	75.0	16.60
	ACCOUNT #: 00084481157	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-2857 - NELSON, IRENE	THE GROWTH FUND OF AMERICA-A	0.00	5.84	0.00	5.84	75.0	4.38
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	THE GROWTH FUND OF AMERICA-A	0.00	16.08	0.00	16.08	75.0	12.06
	ACCOUNT #: 00084518079	BUY 0 Units @0.0000						
12/03/2018	***-**-1298 - WARNER, JENNIFER	THE GROWTH FUND OF AMERICA-A	0.00	15.15	0.00	15.15	75.0	11.36
	ACCOUNT #: 00084677249	BUY 0 Units @0.0000						
12/03/2018	***-**-1298 - WARNER, JENNIFER	THE GROWTH FUND OF AMERICA-A	0.00	5.67	0.00	5.67	75.0	4.25
	ACCOUNT #: 00084677273	BUY 0 Units @0.0000						
12/03/2018	***-**-8526 - SPINELLI, ELISE	THE GROWTH FUND OF AMERICA-A	0.00	2.31	0.00	2.31	75.0	1.73
	ACCOUNT #: 00084820024	BUY 0 Units @0.0000						
12/03/2018	***-**-7431 - PATANE, JOANNE	THE GROWTH FUND OF AMERICA-A	0.00	20.47	0.00	20.47	75.0	15.35
	ACCOUNT #: 00084894033	BUY 0 Units @0.0000						
12/03/2018	***-**-1467 - DRAKE, KATE	THE GROWTH FUND OF AMERICA-A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 00085070010	BUY 0 Units @0.0000						
12/03/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	0.00	10.23	0.00	10.23	75.0	7.67
	ACCOUNT #: 00085099445	BUY 0 Units @0.0000						
12/03/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	0.00	49.78	0.00	49.78	75.0	37.34
	ACCOUNT #: 00085154620	BUY 0 Units @0.0000						
12/03/2018	***-**-8143 - DRAKE, RONALD	THE GROWTH FUND OF AMERICA-A	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: 00085219202	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00085403837	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	0.00	15.39	0.00	15.39	75.0	11.54
	ACCOUNT #: 00085514715	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	0.00	4.23	0.00	4.23	75.0	3.17
	ACCOUNT #: 00085514851	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	0.00	7.06	0.00	7.06	75.0	5.30
	ACCOUNT #: 00085839015	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	THE GROWTH FUND OF AMERICA-A	0.00	36.89	0.00	36.89	75.0	27.67
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-150B - MURRAY, MARYANNE	THE GROWTH FUND OF AMERICA-A	0.00	13.24	0.00	13.24	75.0	9.93
	ACCOUNT #: 00085937489	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***--7538 - MURRAY, SCOTT	THE GROWTH FUND OF AMERICA-A	0.00	83.41	0.00	83.41	75.0	62.56
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***--1812 - CHANDLER, JODY	THE GROWTH FUND OF AMERICA-A	0.00	2.44	0.00	2.44	75.0	1.83
	ACCOUNT #: 00086450734	BUY 0 Units @0.0000						
12/03/2018	***--9498 - MAXAM, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	4.14	0.00	4.14	75.0	3.11
	ACCOUNT #: 00087112397	BUY 0 Units @0.0000						
12/03/2018	***--8143 - DRAKE, RONALD	THE GROWTH FUND OF AMERICA-A	0.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 00087671391	BUY 0 Units @0.0000						
12/03/2018	***--241R - DRAKE, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: 00087692434	BUY 0 Units @0.0000						
12/03/2018	***--3034 - DRAKE, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	3.22	0.00	3.22	75.0	2.42
	ACCOUNT #: 00087698728	BUY 0 Units @0.0000						
12/03/2018	***--5152 - DUNN, MICHELE	THE GROWTH FUND OF AMERICA-A	0.00	8.38	0.00	8.38	75.0	6.29
	ACCOUNT #: 00087742896	BUY 0 Units @0.0000						
12/03/2018	***--3655 - MENNIG, LISA	THE GROWTH FUND OF AMERICA-A	0.00	3.22	0.00	3.22	75.0	2.42
	ACCOUNT #: 00087778757	BUY 0 Units @0.0000						
12/03/2018	***--7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	0.00	11.43	0.00	11.43	75.0	8.57
	ACCOUNT #: 00087990221	BUY 0 Units @0.0000						
12/03/2018	***--8526 - SPINELI, ELISE	THE GROWTH FUND OF AMERICA-A	0.00	5.31	0.00	5.31	75.0	3.98
	ACCOUNT #: 00088154499	BUY 0 Units @0.0000						
12/03/2018	***--8260 - LANZ, BRIAN	THE GROWTH FUND OF AMERICA-A	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 00088702210	BUY 0 Units @0.0000						
12/03/2018	***--9844 - COTTET, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	4.12	0.00	4.12	75.0	3.09
	ACCOUNT #: 00089106002	BUY 0 Units @0.0000						
12/03/2018	***--404Q - SVECZ, LORRAINE	THE GROWTH FUND OF AMERICA-A	0.00	1.75	0.00	1.75	75.0	1.31
	ACCOUNT #: 00089217192	BUY 0 Units @0.0000						
12/03/2018	***--7618 - WILE, SANDRA	THE GROWTH FUND OF AMERICA-A	0.00	1.56	0.00	1.56	75.0	1.17
	ACCOUNT #: 00089237747	BUY 0 Units @0.0000						
12/03/2018	***--3756 - GAGNE, JOHN	THE GROWTH FUND OF AMERICA-A	0.00	10.73	0.00	10.73	75.0	8.05
	ACCOUNT #: 00089542885	BUY 0 Units @0.0000						
12/03/2018	***--7334 - SIMCHIK, KARI	THE GROWTH FUND OF AMERICA-A	0.00	6.62	0.00	6.62	75.0	4.97
	ACCOUNT #: 00089741498	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-1556 - CARTER, DIANE	THE GROWTH FUND OF AMERICA-A	0.00	7.53	0.00	7.53	75.0	5.65
	ACCOUNT #: 04000933153	BUY 0 Units @0.0000						
12/03/2018	***-**-7504 - WOLCOTT, WILLARD	THE INCOME FUND OF AMERICA-A	0.00	2.15	0.00	2.15	75.0	1.61
	ACCOUNT #: 00073910528	BUY 0 Units @0.0000						
12/03/2018	***-**-6469 - BENNETT, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 00088370668	BUY 0 Units @0.0000						
12/03/2018	***-**-6786 - NOWAKOWSKI,	THE INCOME FUND OF AMERICA-A	0.00	4.33	0.00	4.33	75.0	3.25
	ACCOUNT #: 00089939277	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	THE INCOME FUND OF AMERICA-A	0.00	20.30	0.00	20.30	75.0	15.23
	ACCOUNT #: 04000299130	BUY 0 Units @0.0000						
12/03/2018	***-**-2674 - MURRAY, SUZANNE	THE INCOME FUND OF AMERICA-A	0.00	8.72	0.00	8.72	75.0	6.54
	ACCOUNT #: 04000381517	BUY 0 Units @0.0000						
12/03/2018	***-**-8032 - ISBELL, RANDY	THE INCOME FUND OF AMERICA-A	0.00	7.04	0.00	7.04	75.0	5.28
	ACCOUNT #: 00073384225	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	THE INCOME FUND OF AMERICA-A	0.00	4.78	0.00	4.78	75.0	3.59
	ACCOUNT #: 00074399618	BUY 0 Units @0.0000						
12/03/2018	***-**-6921 - LAROCCA, KAREN	THE INCOME FUND OF AMERICA-A	0.00	15.14	0.00	15.14	75.0	11.36
	ACCOUNT #: 00074417033	BUY 0 Units @0.0000						
12/03/2018	***-**-9825 - OHARA, DAVID	THE INCOME FUND OF AMERICA-A	0.00	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: 00081132041	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	0.00	3.67	0.00	3.67	75.0	2.75
	ACCOUNT #: 00081783351	BUY 0 Units @0.0000						
12/03/2018	***-**-5556 - BURNS, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	0.98	0.00	0.98	75.0	0.74
	ACCOUNT #: 00081788449	BUY 0 Units @0.0000						
12/03/2018	***-**-932B - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	0.00	13.15	0.00	13.15	75.0	9.86
	ACCOUNT #: 00082336423	BUY 0 Units @0.0000						
12/03/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	0.00	8.09	0.00	8.09	75.0	6.07
	ACCOUNT #: 00082570536	BUY 0 Units @0.0000						
12/03/2018	***-**-1607 - WALRATH, BRUCE	THE INCOME FUND OF AMERICA-A	0.00	12.47	0.00	12.47	75.0	9.35
	ACCOUNT #: 00083596297	BUY 0 Units @0.0000						
12/03/2018	***-**-4639 - SCOONES,	THE INCOME FUND OF AMERICA-A	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: 00084481157	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## December 17, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-2857 - NELSON, IRENE	THE INCOME FUND OF AMERICA-A	0.00	0.90	0.00	0.90	75.0	0.68
	ACCOUNT #: 00084518064	BUY 0 Units @0.0000						
12/03/2018	***-**-2857 - NELSON, IRENE	THE INCOME FUND OF AMERICA-A	0.00	10.96	0.00	10.96	75.0	8.22
	ACCOUNT #: 00084518079	BUY 0 Units @0.0000						
12/03/2018	***-**-1298 - WARNER, JENNIFER	THE INCOME FUND OF AMERICA-A	0.00	15.04	0.00	15.04	75.0	11.28
	ACCOUNT #: 00084677249	BUY 0 Units @0.0000						
12/03/2018	***-**-1298 - WARNER, JENNIFER	THE INCOME FUND OF AMERICA-A	0.00	1.57	0.00	1.57	75.0	1.18
	ACCOUNT #: 00084677273	BUY 0 Units @0.0000						
12/03/2018	***-**-7431 - PATANE, JOANNE	THE INCOME FUND OF AMERICA-A	0.00	29.58	0.00	29.58	75.0	22.19
	ACCOUNT #: 00084894033	BUY 0 Units @0.0000						
12/03/2018	***-**-1467 - DRAKE, KATE	THE INCOME FUND OF AMERICA-A	0.00	1.61	0.00	1.61	75.0	1.21
	ACCOUNT #: 00085070010	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00085403837	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	0.00	6.67	0.00	6.67	75.0	5.00
	ACCOUNT #: 00085514715	BUY 0 Units @0.0000						
12/03/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	0.00	3.23	0.00	3.23	75.0	2.42
	ACCOUNT #: 00085514851	BUY 0 Units @0.0000						
12/03/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	0.00	3.87	0.00	3.87	75.0	2.90
	ACCOUNT #: 00085839015	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	THE INCOME FUND OF AMERICA-A	0.00	23.40	0.00	23.40	75.0	17.55
	ACCOUNT #: 00085918827	BUY 0 Units @0.0000						
12/03/2018	***-**-7538 - MURRAY, SCOTT	THE INCOME FUND OF AMERICA-A	0.00	41.54	0.00	41.54	75.0	31.16
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***-**-4906 - VAN ALSTINE,	THE INCOME FUND OF AMERICA-A	0.00	2.95	0.00	2.95	75.0	2.21
	ACCOUNT #: 00086106101	BUY 0 Units @0.0000						
12/03/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	5.22	0.00	5.22	75.0	3.92
	ACCOUNT #: 00086415959	BUY 0 Units @0.0000						
12/03/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	4.60	0.00	4.60	75.0	3.45
	ACCOUNT #: 00086643595	BUY 0 Units @0.0000						
12/03/2018	***-**-2775 - CASTILLO,	THE INCOME FUND OF AMERICA-A	0.00	33.18	0.00	33.18	75.0	24.89
	ACCOUNT #: 00086668159	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	0.00	18.42	0.00	18.42	75.0	13.82
	ACCOUNT #: 00086683340	BUY 0 Units @0.0000						
12/03/2018	***-**-2146 - JONES, KELLIE	THE INCOME FUND OF AMERICA-A	0.00	2.87	0.00	2.87	75.0	2.15
	ACCOUNT #: 00087644930	BUY 0 Units @0.0000						
12/03/2018	***-**-241R - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	4.67	0.00	4.67	75.0	3.50
	ACCOUNT #: 00087692434	BUY 0 Units @0.0000						
12/03/2018	***-**-9321 - DRAKE, MARY	THE INCOME FUND OF AMERICA-A	0.00	1.36	0.00	1.36	75.0	1.02
	ACCOUNT #: 00087693440	BUY 0 Units @0.0000						
12/03/2018	***-**-3034 - DRAKE, CATHERINE	THE INCOME FUND OF AMERICA-A	0.00	3.37	0.00	3.37	75.0	2.53
	ACCOUNT #: 00087698728	BUY 0 Units @0.0000						
12/03/2018	***-**-816R - NELSON, ANTHONY	THE INCOME FUND OF AMERICA-A	0.00	1.07	0.00	1.07	75.0	0.80
	ACCOUNT #: 00087727897	BUY 0 Units @0.0000						
12/03/2018	***-**-5152 - DUNN, MICHELE	THE INCOME FUND OF AMERICA-A	0.00	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: 00087742896	BUY 0 Units @0.0000						
12/03/2018	***-**-3655 - MENNIG, LISA	THE INCOME FUND OF AMERICA-A	0.00	4.35	0.00	4.35	75.0	3.26
	ACCOUNT #: 00087778757	BUY 0 Units @0.0000						
12/03/2018	***-**-9348 - KARHNAK, NINA	THE INCOME FUND OF AMERICA-A	0.00	2.21	0.00	2.21	75.0	1.66
	ACCOUNT #: 00087837753	BUY 0 Units @0.0000						
12/03/2018	***-**-9002 - ANDERSON, BRYAN	THE INCOME FUND OF AMERICA-A	0.00	12.79	0.00	12.79	75.0	9.59
	ACCOUNT #: 00087907563	BUY 0 Units @0.0000						
12/03/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	0.00	4.45	0.00	4.45	75.0	3.34
	ACCOUNT #: 00087909097	BUY 0 Units @0.0000						
12/03/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	0.00	9.89	0.00	9.89	75.0	7.42
	ACCOUNT #: 00087990221	BUY 0 Units @0.0000						
12/03/2018	***-**-16JT - NELSON, ANTHONY	THE INCOME FUND OF AMERICA-A	0.00	2.41	0.00	2.41	75.0	1.81
	ACCOUNT #: 00088027660	BUY 0 Units @0.0000						
12/03/2018	***-**-0746 - FRANCOEUR, HENRY	THE INCOME FUND OF AMERICA-A	0.00	8.62	0.00	8.62	75.0	6.47
	ACCOUNT #: 00088088000	BUY 0 Units @0.0000						
12/03/2018	***-**-3096 - WESTON, LOIS	THE INCOME FUND OF AMERICA-A	0.00	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 00088143854	BUY 0 Units @0.0000						
12/03/2018	***-**-6283 - DOUGLASS, ANITA	THE INCOME FUND OF AMERICA-A	0.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00088178709	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-1351 - DIMAURO, ALLAN ACCOUNT #: 00088294408	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	16.73	0.00	16.73	75.0	12.55
12/03/2018	***-**-8800 - DIMAURO, JANE ACCOUNT #: 00088294458	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	75.0	0.91
12/03/2018	***-**-9844 - COTTET, DAVID ACCOUNT #: 00089106002	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.49	0.00	4.49	75.0	3.37
12/03/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 04000891195	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.37	0.00	10.37	75.0	7.78
12/03/2018	***-**-1556 - CARTER, DIANE ACCOUNT #: 04000933153	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.46	0.00	12.46	75.0	9.35
12/03/2018	***-**-8906 - ISBELL, ACCOUNT #: 00073594886	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	1.19	0.00	1.19	75.0	0.89
12/03/2018	***-**-7912 - WOODCOCK, ACCOUNT #: 00081632184	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	1.54	0.00	1.54	75.0	1.16
12/03/2018	***-**-7912 - WOODCOCK, ACCOUNT #: 04000179575	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	9.30	0.00	9.30	75.0	6.98
12/03/2018	***-**-5423 - MIGNACCA, PHILIP ACCOUNT #: 04000943937	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	75.0	0.59
12/03/2018	***-**-5132 - MIGNACCA, DAWN ACCOUNT #: 04000943969	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	75.0	0.59
12/03/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00081599245	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	24.34	0.00	24.34	75.0	18.26
12/03/2018	***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	15.62	0.00	15.62	75.0	11.72
12/03/2018	***-**-3756 - GAGNE, JOHN ACCOUNT #: 00085918827	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	22.08	0.00	22.08	75.0	16.56
12/03/2018	***-**-3756 - GAGNE, JOHN ACCOUNT #: 00089542885	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	9.69	0.00	9.69	75.0	7.27
12/03/2018	***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	75.0	1.12
12/03/2018	***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297	U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	75.0	1.60





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/03/2018	***-**-4652 - GARNSEY, JANELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	31.57	0.00	31.57	75.0	23.68
	ACCOUNT #: 04000491239	BUY 0 Units @0.0000						
12/03/2018	***-**-7538 - MURRAY, SCOTT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	47.12	0.00	47.12	75.0	35.34
	ACCOUNT #: 00086060967	BUY 0 Units @0.0000						
12/03/2018	***-**-2179 - FOX, RAY	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.83	0.00	3.83	75.0	2.87
	ACCOUNT #: 00087972536	BUY 0 Units @0.0000						
12/03/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	11.42	0.00	11.42	75.0	8.57
	ACCOUNT #: 00087990221	BUY 0 Units @0.0000						
12/03/2018	***-**-3756 - GAGNE, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	9.95	0.00	9.95	75.0	7.46
	ACCOUNT #: 00089542885	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4,280.65</b>	<b>\$0.00</b>	<b>\$4,280.65</b>		<b>\$3,211.12</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$111,928.08</b>	<b>\$9,825.48</b>	<b>\$0.00</b>	<b>\$9,825.48</b>		<b>\$7,369.81</b>

### BROKER COMMISSION TOTALS

Broker Transactions	\$7,336.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$7,369.81</b>
<b>Year-to-date Earnings</b>	<b>\$59,368.61</b>