

COMMISSION STATEMENT November 15, 2018

 ALAN SMITH-D206
 1900 NW Loop 410

 BROKER #: D206
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/22/2018 (None)	GLENNROBES	MF BLACKROCK	B	100.00	1.00	0.00	1.00	80.0	0.80
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$1.00	\$0.00	\$1.00		\$0.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	2.94	0.00	2.94	80.0	2.35
09/30/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.50	0.00	5.50	80.0	4.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$8.44	\$0.00	\$8.44		\$6.75
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$9.44	\$0.00	\$9.44		\$7.55

BROKER COMMISSION TOTALS

Broker Transactions	\$7.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$7.55
Year-to-date Commissions	\$41,703.37