



# COMMISSION STATEMENT

## December 24, 2018

**FREDERIC SCARPULLA**  
400 West Metro Park  
Rochester, NY 14623

**BROKER #: 417**  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/17/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @26.6100						
12/17/2018	***-**-685P - SAVAGE,	AMERICAN BALANCED FUND-A	32.50	0.65	0.00	0.65	80.0	0.52
	ACCOUNT #: 00074880299	BUY 1 Units @26.9100						
12/17/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @26.2400						
12/17/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @26.2400						
12/17/2018	***-**-535P - DELGAIZO,	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00068454444	BUY 2 Units @27.6200						
12/17/2018	***-**-425P - DELGAIZO, LOUISA	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00068454445	BUY 2 Units @27.6200						
12/17/2018	***-**-36IN - SILLUZIO, THOMAS	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00068088908	BUY 2 Units @26.0200						
12/17/2018	***-**-315P - SILLUZIO, JUSTIN	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00068803650	BUY 2 Units @26.0200						
12/17/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 7 Units @26.0200						
12/17/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087429936	BUY 2 Units @26.0200						
12/17/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089045192	BUY 2 Units @26.0200						
12/17/2018	***-**-1134 - BARTON, WILLIAM	AMERICAN BALANCED FUND-C	92.36	0.92	0.00	0.92	80.0	0.74
	ACCOUNT #: 00089244081	BUY 4 Units @26.0200						
12/17/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	26.64	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00089583477	BUY 1 Units @26.0200						
12/17/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	35.39	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 00089679504	BUY 1 Units @26.0200						
12/20/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @24.4000						
12/20/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @24.4000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/20/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @24.4000						
12/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	3.75	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @24.4000						
12/20/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @24.4000						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	12.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00089051066	BUY 0 Units @24.4000						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089051066	BUY 2 Units @24.4000						
12/20/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 5 Units @24.4000						
12/17/2018	***-**-1102 - FINOCCHARIO,	AMERICAN FUNDS 2050 TARGET DATE-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001203455	BUY 2 Units @13.9600						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 11 Units @9.6200						
12/20/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @9.6200						
12/17/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @39.8300						
12/17/2018	***-**-01UG - DIGIOVANNI,	AMERICAN MUTUAL FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087087389	BUY 4 Units @39.2000						
12/17/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @39.2000						
12/21/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00087299599	BUY 5 Units @55.4500						
12/17/2018	***-**-685P - SAVAGE,	CAPITAL INCOME BUILDER-A	48.75	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 00074880299	BUY 1 Units @58.7200						
12/20/2018	***-**-0447 - LEVINE, JACQUE	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00084996765	BUY 2 Units @59.1300						
12/17/2018	***-**-685P - SAVAGE,	CAPITAL WORLD GROWTH AND INCOME FUN	81.25	1.63	0.00	1.63	80.0	1.30
	ACCOUNT #: 00074880299	BUY 2 Units @46.5500						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
12/17/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758344	BUY 0 Units @45.3900						
12/17/2018	***-**-01UG - DIGIOVANNI,	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087087389	BUY 3 Units @44.8300						
12/17/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @44.8300						
12/20/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @41.7000						
12/20/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @41.7000						
12/20/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @41.7000						
12/17/2018	***-**-43IN - BISHOP, EVELYN	EUROPACIFIC GROWTH FUND CLASS C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000849605	BUY 1 Units @45.0400						
12/17/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @57.8000						
12/17/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @57.4000						
12/20/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085902226	BUY 0 Units @55.6500						
12/20/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @55.6500						
12/20/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.26	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @55.6500						
12/20/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904203	BUY 0 Units @55.6500						
12/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @55.6500						
12/20/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @55.6500						
12/20/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @20.1600						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 0 Units @20.1600	1.95	0.02	0.00	0.02	80.0	0.02
12/17/2018	***-**-564I - CARNEY, BARBARA ACCOUNT #: 00089563161	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @36.9300	10.00	0.10	0.00	0.10	80.0	0.08
12/17/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @36.9300	25.85	0.26	0.00	0.26	80.0	0.21
12/17/2018	***-**-0578 - DIODATO, ZACHARY ACCOUNT #: 04000618224	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @36.9300	50.00	0.50	0.00	0.50	80.0	0.40
12/17/2018	***-**-4232 - DIODATO, MELISSA ACCOUNT #: 04000618245	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @36.9300	50.00	0.50	0.00	0.50	80.0	0.40
12/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @33.0100	28.90	0.29	0.00	0.29	80.0	0.23
12/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @33.0100	3.74	0.04	0.00	0.04	80.0	0.03
12/20/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @33.0100	0.94	0.01	0.00	0.01	80.0	0.01
12/20/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @33.0100	4.00	0.04	0.00	0.04	80.0	0.03
12/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 1 Units @38.0500	21.00	0.21	0.00	0.21	80.0	0.17
12/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	2.80	0.03	0.00	0.03	80.0	0.02
12/20/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	2.50	0.03	0.00	0.03	80.0	0.02
12/20/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	2.50	0.03	0.00	0.03	80.0	0.02
12/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	2.63	0.03	0.00	0.03	80.0	0.02
12/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	7.00	0.07	0.00	0.07	80.0	0.06
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 1 Units @38.0500	20.00	0.20	0.00	0.20	80.0	0.16



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @38.0500	6.00	0.06	0.00	0.06	80.0	0.05
12/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @55.5600	21.00	0.21	0.00	0.21	80.0	0.17
12/20/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @55.5600	2.80	0.03	0.00	0.03	80.0	0.02
12/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @55.5600	2.63	0.03	0.00	0.03	80.0	0.02
12/20/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @55.5600	7.00	0.07	0.00	0.07	80.0	0.06
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @55.5600	48.20	0.48	0.00	0.48	80.0	0.38
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @55.5600	1.80	0.02	0.00	0.02	80.0	0.02
12/17/2018	***-**-43IN - BISHOP, EVELYN ACCOUNT #: 04000849605	SMALLCAP WORLD FUND-C BUY 1 Units @44.0500	50.00	0.50	0.00	0.50	80.0	0.40
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @42.9400	48.20	0.48	0.00	0.48	80.0	0.38
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @42.9400	1.80	0.02	0.00	0.02	80.0	0.02
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @42.9400	6.00	0.06	0.00	0.06	80.0	0.05
12/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @42.9400	20.00	0.20	0.00	0.20	80.0	0.16
12/17/2018	***-**-685P - SAVAGE, ACCOUNT #: 00074880299	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8100	32.50	0.65	0.00	0.65	80.0	0.52
12/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5000	28.05	0.28	0.00	0.28	80.0	0.22
12/20/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5000	3.63	0.04	0.00	0.04	80.0	0.03
12/17/2018	***-**-685P - SAVAGE, ACCOUNT #: 00074880299	THE GROWTH FUND OF AMERICA-A BUY 1 Units @49.8500	48.75	0.98	0.00	0.98	80.0	0.78



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/17/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 5 Units @48.6000	250.00	2.50	0.00	2.50	80.0	2.00
12/17/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 1 Units @48.6000	30.00	0.30	0.00	0.30	80.0	0.24
12/17/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @48.6000	30.00	0.30	0.00	0.30	80.0	0.24
12/17/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @48.6000	50.00	0.50	0.00	0.50	80.0	0.40
12/17/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 1 Units @48.6000	60.00	0.60	0.00	0.60	80.0	0.48
12/17/2018	***-**-4750 - OSCHMANN, MARK ACCOUNT #: 00083414110	THE GROWTH FUND OF AMERICA-A BUY 1 Units @48.6000	72.26	0.72	0.00	0.72	80.0	0.58
12/17/2018	***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332	THE GROWTH FUND OF AMERICA-A BUY 0 Units @48.6000	20.00	0.20	0.00	0.20	80.0	0.16
12/17/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	THE GROWTH FUND OF AMERICA-A BUY 0 Units @48.6000	10.00	0.10	0.00	0.10	80.0	0.08
12/17/2018	***-**-3431 - SPENCER, ACCOUNT #: 04000347049	THE GROWTH FUND OF AMERICA-C BUY 2 Units @44.7300	100.00	1.00	0.00	1.00	80.0	0.80
12/17/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 4 Units @44.7300	176.00	1.76	0.00	1.76	80.0	1.41
12/17/2018	***-**-845P - MARTHALLER, ACCOUNT #: 00087023930	THE GROWTH FUND OF AMERICA-C BUY 1 Units @44.7300	60.00	0.60	0.00	0.60	80.0	0.48
12/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @44.7300	30.00	0.30	0.00	0.30	80.0	0.24
12/17/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958	THE GROWTH FUND OF AMERICA-C BUY 2 Units @44.7300	80.09	0.80	0.00	0.80	80.0	0.64
12/17/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @44.7300	10.00	0.10	0.00	0.10	80.0	0.08
12/17/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 1 Units @44.7300	25.86	0.26	0.00	0.26	80.0	0.21
12/17/2018	***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661	THE GROWTH FUND OF AMERICA-C BUY 2 Units @44.7300	86.54	0.87	0.00	0.87	80.0	0.70



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**FREDERIC SCARPULLA**  
 400 West Metro Park  
 Rochester, NY 14623

**BROKER #: 417**  
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/20/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.3700						
12/20/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.3700						
12/20/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @43.3700						
12/20/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @43.3700						
12/20/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 5 Units @21.3800						
12/17/2018	***-**-685P - SAVAGE,	THE INVESTMENT COMPANY OF AMERICA-A	81.25	1.63	0.00	1.63	80.0	1.30
	ACCOUNT #: 00074880299	BUY 2 Units @38.3400						
12/17/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 7 Units @37.3800						
12/17/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @37.3800						
12/17/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @37.3800						
12/17/2018	***-**-9024 - HACKETT, ETHAN	THE NEW ECONOMY FUND-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00088123299	BUY 1 Units @38.3300						
12/17/2018	***-**-8932 - RUSH, MICHELLE	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000574835	BUY 4 Units @12.7100						
12/18/2018	***-**-279J - HERRMANN, KEVIN	THE TAX-EXEMPT BOND FUND OF AMERICA	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00086046167	BUY 10 Units @12.7300						
12/17/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 12 Units @42.8300						
12/17/2018	***-**-435P - BOSCO, SOFIA	WASHINGTON MUTUAL INVESTORS FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 4 Units @42.1900						
12/17/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @42.1900						
12/17/2018	***-**-436I - RAGO, LISA	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000456708	BUY 1 Units @42.1900						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/20/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @41.0300						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$5,585.39</b>	<b>\$67.93</b>	<b>\$0.00</b>	<b>\$67.93</b>		<b>\$54.33</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
11/16/2018	***-**-905X - TAYLOR, JENNIFER	LINCOLN CHOICEPLUS ASSURANCE B	88,083.74	5,505.23	0.00	5,505.23	80.0	4,404.18
		BUY 1 Units @90000.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$88,083.74</b>	<b>\$5,505.23</b>	<b>\$0.00</b>	<b>\$5,505.23</b>		<b>\$4,404.18</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
12/17/2018	***-**-195P - HECHT, ANDREW	AMERICAN BALANCED FUND-529A	200.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00068453142	BUY 7 Units @27.7900						
12/17/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198137	BUY 2 Units @26.1500						
12/17/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198121	BUY 2 Units @26.1500						
12/17/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00081282910	BUY 1 Units @47.6000						
12/17/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00081283006	BUY 1 Units @47.6000						
12/24/2018	***-**-503J - OBI, JEAN	NYA JPM 529 COLLEGE AGE-BASED P A	50.00	2.38	0.00	2.38	80.0	1.90
		BUY 0 Units @0.0000						
12/24/2018	***-**-015P - FANTAUZZO,	NYA JPM 529 COLLEGE AGE-BASED P A	165.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
12/24/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE AGE-BASED P A	226.25	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
12/24/2018	***-**-6352 - GINERVA, ENZA	NYA JPM 529 COLLEGE AGE-BASED P A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
12/24/2018	***-**-2942 - WARNEY, KENNETH	NYA JPM 529 COLLEGE AGE-BASED P A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
12/24/2018	***-**-6793 - ESTEP, ARDEN	NYA JPM 529 MOD GROWTH POR C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 77375699601	BUY 0 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN (continued)</b>								
12/24/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 MOD GROWTH POR C	226.25	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
12/24/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD GROWTH POR C	50.00	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
12/17/2018	***-**-415P - SCHILLACI, AMY	THE GROWTH FUND OF AMERICA-529C	75.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 00081268733	BUY 2 Units @44.8600						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$1,292.50</b>	<b>\$27.10</b>	<b>\$0.00</b>	<b>\$27.10</b>		<b>\$21.68</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/24/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	6.68	0.00	6.68	80.0	5.34
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
12/20/2018	***-**-27IN - TINKLEPAUGH,	WELLS FARGO TRAILS	0.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.73</b>	<b>\$0.00</b>	<b>\$7.73</b>		<b>\$6.18</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$94,961.63</b>	<b>\$5,607.99</b>	<b>\$0.00</b>	<b>\$5,607.99</b>		<b>\$4,486.37</b>

### BROKER COMMISSION TOTALS

Broker Transactions	\$4,486.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$4,486.37</b>
<b>Year-to-date Earnings</b>	<b>\$232,650.97</b>