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05/04/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
SICKLES, SCOTT A.-D060						
Credit	04/21/2018	FINR...			-55.00	-55.00
Bill	04/30/2018	COM...		04/30/2018	75.61	75.61
Credit	05/01/2018	E&O			-120.00	-120.00
Total SICKLES, SCOTT A.-D060					-99.39	-99.39
TOTAL					-99.39	-99.39

COMMISSION STATEMENT

April 30, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/20/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.93	0.00	0.93	75.0	0.70
04/20/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	8.10	0.00	8.10	75.0	6.08
04/20/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.67	0.00	7.67	75.0	5.75
04/20/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	3.98	0.00	3.98	75.0	2.99
04/20/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.21	0.00	0.21	75.0	0.16
04/20/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	12.25	0.00	12.25	75.0	9.19
04/20/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	23.22	0.00	23.22	75.0	17.42
04/20/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	22.56	0.00	22.56	75.0	16.92
04/20/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.26	0.00	5.26	75.0	3.95
04/20/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.05	0.00	1.05	75.0	0.79
04/20/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59	75.0	0.44
04/20/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	24.74	0.00	24.74	75.0	18.56
04/20/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.84	0.00	8.84	75.0	6.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$119.40	\$0.00	\$119.40		\$89.58
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$119.40	\$0.00	\$119.40		\$89.58

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

04/20/2018	00084222411 VAUGHN, JOE	MF CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	75.0	2.81
04/20/2018	00084222411 VAUGHN, JOE	MF CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	75.0	2.81
04/09/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	500.00	5.00	0.00	5.00	75.0	3.75
04/16/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
04/16/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
04/16/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
04/16/2018	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
04/16/2018	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
04/16/2018	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
04/16/2018	04000638082 PEREZ, GEORGIA	MF THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$920.00	\$14.70	\$0.00	\$14.70		\$11.03

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** DIRECT TRANSACTIONS TOTAL ***				\$920.00	\$14.70	\$0.00	\$14.70		\$11.03

ADJUSTMENTS

04/30/2018	: E-MAIL ACCOUNT			0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$100.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$75.61
Year-to-date Commissions	\$2,846.91