

# COMMISSION STATEMENT October 31, 2018

DOUG GRAUMANN-D365  
 101 S. Wenatchee Avenue  
 Suite C  
 Wenatchee, WA 98801

BROKER #: D365  
 BRANCH: D12

## COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
10/23/2018 215162250	DEALE, JAMES	MF FRANKLIN/TEMPLETON FUNDS	B	-2,985.55	0.00	5.00	-5.00	85.0	-4.25
10/12/2018 246449843	EISENRICH, DOUGLAS	MF NUVEEN SANTA BARBARA DIV GROWTH S	S	25,017.45	250.00	5.00	245.00	85.0	208.25
10/11/2018 337580057	HARTMAN, LINDA	MF BLACKROCK EMERGING MARKETS FD S	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/11/2018 337580057	HARTMAN, LINDA	MF BLACKROCK ADV SMALL CAP GROW EQ S	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/11/2018 337580057	HARTMAN, LINDA	MF FIDELITY ADV MEGA CAP STK FD C S	S	5,002.45	50.00	2.50	47.50	85.0	40.38
10/26/2018 337580057	HARTMAN, LINDA	MF COHEN & STEERS PREFERRED SEC & S	S	10,017.45	100.00	2.50	97.50	85.0	82.88
10/26/2018 337580057	HARTMAN, LINDA	MF FIDELITY ADV MEGA CAP STK FD C S	S	10,017.45	100.00	2.50	97.50	85.0	82.88
10/22/2018 338229242	HOLCOMB, CLAUDIA	MF COHEN & STEERS PREFERRED SEC & S	S	30,017.45	300.00	5.00	295.00	85.0	250.75
10/22/2018 338229242	HOLCOMB, CLAUDIA	MF CREDIT SUISSE HIGH INCOME FD CL S	S	30,017.45	300.00	5.00	295.00	85.0	250.75
10/22/2018 338229242	HOLCOMB, CLAUDIA	MF FIDELITY ADVISOR FLOAT RATE HI S	S	30,017.45	300.00	5.00	295.00	85.0	250.75
10/26/2018 338229242	HOLCOMB, CLAUDIA	MF BLACKROCK HYB FD CL C S	S	30,017.45	300.00	5.00	295.00	85.0	250.75
10/26/2018 338229242	HOLCOMB, CLAUDIA	MF FRANKLIN INCOME FUND CLASS C S	S	25,017.45	250.00	5.00	245.00	85.0	208.25
10/24/2018 338735814	HAGGARD, MARY-MARK	MF PIMCO DIVERSIFIED INCOME FD CL S	S	-18,175.56	0.00	5.00	-5.00	85.0	-4.25
10/24/2018 338735814	HAGGARD, MARY-MARK	MF PIMCO SENIOR FLOATING RATE FD A S	S	17,727.36	0.00	5.00	-5.00	85.0	-4.25
10/24/2018 368268926	HARTMAN, LINDA	MF BLACKROCK LARGE CAP CORE FD C S	S	10,017.45	100.00	5.00	95.00	85.0	80.75
10/26/2018 368285504	MORGENSEN, STEVE	MF FIDELITY ADV STRATEGIC DIV & S	S	10,017.45	100.00	2.50	97.50	85.0	82.88
10/26/2018 368297707	MALM, LAVONNE	MF NUVEEN SANTA BARBARA DIV GROWTH S	S	7,517.45	75.00	2.50	72.50	85.0	61.63
10/26/2018 368297707	MALM, LAVONNE	MF THORNBURG CORE GROWTH CL C S	S	7,517.45	75.00	2.50	72.50	85.0	61.63
10/26/2018 368299678	MENDEZ, MARIA	MF BLACKROCK ADV SMALL CAP GROW EQ S	S	7,517.45	75.00	2.50	72.50	85.0	61.63
10/26/2018 368299678	MENDEZ, MARIA	MF THORNBURG CORE GROWTH CL C S	S	7,502.45	75.00	2.50	72.50	85.0	61.63
10/26/2018 368362193	ADAMS, GLENN	MF BLACKROCK ADV SMALL CAP GROW EQ S	S	7,517.45	75.00	2.50	72.50	85.0	61.63
10/26/2018 368362193	ADAMS, GLENN	MF FIDELITY ADV EMERGING MKTS-CL C S	S	5,002.45	50.00	2.50	47.50	85.0	40.38
10/26/2018 368362193	ADAMS, GLENN	MF FIDELITY ADVISOR FLOAT RATE HI B	B	-14,982.55	0.00	5.00	-5.00	85.0	-4.25
10/26/2018 368362193	ADAMS, GLENN	MF THORNBURG CORE GROWTH CL C S	S	10,017.45	100.00	2.50	97.50	85.0	82.88
10/29/2018 368461966	GARCIA, DEBRA	MF PIMCO REAL RETURN C S	S	-6,333.52	0.00	5.00	-5.00	85.0	-4.25
10/29/2018 368461976	GARCIA, FRANK	MF COLUMBIA GLOBAL TECHNOLOGY S	S	10,017.45	100.00	5.00	95.00	85.0	80.75
10/29/2018 368461976	GARCIA, FRANK	MF FIDELITY ADVISOR FINANCIAL SERV S	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/29/2018 368461976	GARCIA, FRANK	MF PIMCO REAL RETURN C S	S	-20,724.63	0.00	5.00	-5.00	85.0	-4.25
10/11/2018 368461986	SIMMONS, MARGGIE	MF BLACKROCK EMERGING MARKETS FD S	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/11/2018 368461986	SIMMONS, MARGGIE	MF BLACKROCK ADV SMALL CAP GROW EQ S	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/11/2018 368461986	SIMMONS, MARGGIE	MF FIDELITY ADV STRATEGIC DIV & S	S	5,017.45	50.00	2.50	47.50	85.0	40.38

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/26/2018 368461986	SIMMONS, MARGGIE	MF FIDELITY ADV STRATEGIC DIV &	S	7,517.45	75.00	2.50	72.50	85.0	61.63
10/23/2018 368462657	CERVANTES, VIANEY	MF BLACKROCK ADV SMALL CAP GROW EQ	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/23/2018 368462657	CERVANTES, VIANEY	MF JANUS FORTY CLASS C	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/29/2018 368462657	CERVANTES, VIANEY	MF COLUMBIA GLOBAL TECHNOLOGY	S	7,517.45	75.00	5.00	70.00	85.0	59.50
10/29/2018 368462657	CERVANTES, VIANEY	MF FIDELITY ADVISOR FINANCIAL SERV	S	7,517.45	75.00	5.00	70.00	85.0	59.50
10/26/2018 368468095	VINCENT, NICOLE	MF THORNBURG CORE GROWTH CL C	S	5,017.45	50.00	2.50	47.50	85.0	40.38
10/24/2018 368468125	HAGGARD, MARY-MARK	MF CREDIT SUISSE HIGH INCOME FD CL	S	38,017.45	380.00	2.50	377.50	85.0	320.88
10/24/2018 368468125	HAGGARD, MARY-MARK	MF PIMCO REAL RETURN C	S	-23,596.07	0.00	5.00	-5.00	85.0	-4.25
10/29/2018 646215802	ADAMS, CHERYL	MF THORNBURG DEVELOPING WORLD FD	S	5,017.45	50.00	5.00	45.00	85.0	38.25
10/26/2018 646261393	VINCENT, NICOLE	MF THORNBURG CORE GROWTH CL C	S	5,017.45	50.00	5.00	45.00	85.0	38.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$324,477.78</b>	<b>\$3,930.00</b>	<b>\$150.00</b>	<b>\$3,780.00</b>		<b>\$3,213.11</b>

PRODUCT CATEGORY: STOCKS

09/28/2018 368462697	ALBIN, MONICA	S VANECK VECTORS COAL ETF	S	-3,391.55	102.45	15.00	87.45	85.0	74.33
10/29/2018 368462697	ALBIN, MONICA	S DIREXION DAILY TECH BULL & BEAR B	B	3,609.68	1.00	15.00	-14.00	85.0	-11.90
09/28/2018 368468035	GRAUMANN, DOUG	S VANECK VECTORS COAL ETF	S	-6,072.20	143.27	15.00	128.27	85.0	109.03
10/11/2018 368468035	GRAUMANN, DOUG	S DIREXION DAILY FINL BULL 3X SHS B	B	3,076.64	94.69	15.00	79.69	85.0	67.74
10/11/2018 368468035	GRAUMANN, DOUG	S FIRST TRUST VII GLOBAL TACTICAL S	S	-3,871.15	109.75	15.00	94.75	85.0	80.54
10/29/2018 368468035	GRAUMANN, DOUG	S DIREXION DAILY TECH BULL & BEAR B	B	6,977.84	1.00	15.00	-14.00	85.0	-11.90
10/24/2018 368468125	HAGGARD, MARY-MARK	S LOWES COMPANIES INCORPORATED	S	-4,760.83	124.17	15.00	109.17	85.0	92.79
<b>* STOCKS SUBTOTAL *</b>				<b>\$-4,431.57</b>	<b>\$576.33</b>	<b>\$105.00</b>	<b>\$471.33</b>		<b>\$400.63</b>

PRODUCT CATEGORY: MISCELLANEOUS

10/12/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368461986	S	0.00	15.00	0.00	15.00	85.0	12.75
10/12/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368461986	S	0.00	15.00	0.00	15.00	85.0	12.75
10/12/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 337580057	S	0.00	15.00	0.00	15.00	85.0	12.75
10/12/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368461986	S	0.00	15.00	0.00	15.00	85.0	12.75
10/12/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 337580057	S	0.00	15.00	0.00	15.00	85.0	12.75
10/15/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 246449843	S	0.00	15.00	0.00	15.00	85.0	12.75
10/23/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 338229242	S	0.00	15.00	0.00	15.00	85.0	12.75
10/23/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 338229242	S	0.00	15.00	0.00	15.00	85.0	12.75
10/23/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 338229242	S	0.00	15.00	0.00	15.00	85.0	12.75
10/24/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 215162250	S	0.00	12.00	0.00	12.00	85.0	10.20
10/24/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368462657	S	0.00	15.00	0.00	15.00	85.0	12.75
10/24/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368462657	S	0.00	15.00	0.00	15.00	85.0	12.75
10/25/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368468125	S	0.00	15.00	0.00	15.00	85.0	12.75
10/25/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368268926	S	0.00	15.00	0.00	15.00	85.0	12.75
10/25/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 338735814	S	0.00	15.00	0.00	15.00	85.0	12.75
10/25/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368468125	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 337580057	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 337580057	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368362193	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 338229242	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368297707	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 338229242	S	0.00	15.00	0.00	15.00	85.0	12.75

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10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368362193	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368299678	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368461986	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368285504	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 646261393	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368362193	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368468095	S	0.00	15.00	0.00	15.00	85.0	12.75
10/29/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368297707	S	0.00	15.00	0.00	15.00	85.0	12.75
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$447.00</b>	<b>\$0.00</b>	<b>\$447.00</b>		<b>\$379.95</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/08/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	32.27	0.00	32.27	85.0	27.43
10/08/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	43.98	0.00	43.98	85.0	37.38
10/10/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	4.26	0.00	4.26	85.0	3.62
10/10/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	7.70	0.00	7.70	85.0	6.55
10/10/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON GLOBAL BOND FD	B	0.00	3.97	0.00	3.97	85.0	3.37
10/10/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS VENTURE FD C LIQUIDATIONS	B	0.00	7.36	0.00	7.36	85.0	6.26
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.07	0.00	1.07	85.0	0.91
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.10	0.00	1.10	85.0	0.94
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	4.54	0.00	4.54	85.0	3.86
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	4.77	0.00	4.77	85.0	4.05
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM GLOBAL GROWTH FUND - CLASS	B	0.00	0.81	0.00	0.81	85.0	0.69
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM GLOBAL GROWTH FUND - CLASS	B	0.00	0.84	0.00	0.84	85.0	0.71
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	3.27	0.00	3.27	85.0	2.78
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK FLOAT RATE INCOME FD	B	0.00	5.52	0.00	5.52	85.0	4.69
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	8.54	0.00	8.54	85.0	7.26
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	20.94	0.00	20.94	85.0	17.80
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	21.35	0.00	21.35	85.0	18.15
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	25.06	0.00	25.06	85.0	21.30
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C	B	0.00	57.55	0.00	57.55	85.0	48.92
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	6.22	0.00	6.22	85.0	5.29
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	7.84	0.00	7.84	85.0	6.66
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	0.92	0.00	0.92	85.0	0.78
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.36	0.00	3.36	85.0	2.86
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.90	0.00	3.90	85.0	3.32
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.09	0.00	4.09	85.0	3.48
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.18	0.00	4.18	85.0	3.55
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.21	0.00	4.21	85.0	3.58
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.36	0.00	4.36	85.0	3.71
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.23	0.00	5.23	85.0	4.45
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.76	0.00	5.76	85.0	4.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.91	0.00	5.91	85.0	5.02
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	6.71	0.00	6.71	85.0	5.70
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	13.50	0.00	13.50	85.0	11.48

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10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EQUITY DIVIDEND FD C B	0.00	4.66	0.00	4.66	85.0	3.96
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	3.45	0.00	3.45	85.0	2.93
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	4.00	0.00	4.00	85.0	3.40
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	4.25	0.00	4.25	85.0	3.61
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	5.68	0.00	5.68	85.0	4.83
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	5.71	0.00	5.71	85.0	4.85
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	8.20	0.00	8.20	85.0	6.97
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	9.57	0.00	9.57	85.0	8.13
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	13.87	0.00	13.87	85.0	11.79
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	0.90	0.00	0.90	85.0	0.77
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	2.01	0.00	2.01	85.0	1.71
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	3.30	0.00	3.30	85.0	2.81
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	10.31	0.00	10.31	85.0	8.76
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	11.57	0.00	11.57	85.0	9.83
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	14.73	0.00	14.73	85.0	12.52
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	19.38	0.00	19.38	85.0	16.47
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP CORE FD C B	0.00	34.12	0.00	34.12	85.0	29.00
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP VALUE FD C B	0.00	15.15	0.00	15.15	85.0	12.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADV US TOTAL MARKET B	0.00	6.08	0.00	6.08	85.0	5.17
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ENERGY & RESOURCES FD B	0.00	2.44	0.00	2.44	85.0	2.07
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME BUILDER-A B	0.00	18.64	0.00	18.64	85.0	15.84
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME A B	0.00	0.57	0.00	0.57	85.0	0.48
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	8.91	0.00	8.91	85.0	7.57
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	12.21	0.00	12.21	85.0	10.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	16.25	0.00	16.25	85.0	13.81
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	16.37	0.00	16.37	85.0	13.91
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	20.10	0.00	20.10	85.0	17.09
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	20.69	0.00	20.69	85.0	17.59
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	23.87	0.00	23.87	85.0	20.29
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	24.56	0.00	24.56	85.0	20.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	40.87	0.00	40.87	85.0	34.74
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	41.63	0.00	41.63	85.0	35.39
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA GLOBAL TECHNOLOGY B	0.00	9.56	0.00	9.56	85.0	8.13
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C B	0.00	1.17	0.00	1.17	85.0	0.99
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C B	0.00	5.38	0.00	5.38	85.0	4.57
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT DEUTSCHE CROCI US FD A B	0.00	1.52	0.00	1.52	85.0	1.29
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	6.52	0.00	6.52	85.0	5.54
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	10.79	0.00	10.79	85.0	9.17
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED HIGH YIELD TRUST A B	0.00	5.14	0.00	5.14	85.0	4.37
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED FLTG RATE STR INCOME B	0.00	15.47	0.00	15.47	85.0	13.15
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.91	0.00	4.91	85.0	4.17
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.93	0.00	4.93	85.0	4.19
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.93	0.00	4.93	85.0	4.19
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.86	0.00	9.86	85.0	8.38

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.86	0.00	9.86	85.0	8.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.86	0.00	9.86	85.0	8.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.86	0.00	9.86	85.0	8.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	9.86	0.00	9.86	85.0	8.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	14.79	0.00	14.79	85.0	12.57
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	15.18	0.00	15.18	85.0	12.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	24.66	0.00	24.66	85.0	20.96
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	14.39	0.00	14.39	85.0	12.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	32.96	0.00	32.96	85.0	28.02
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR EQUITY VALUE B	0.00	16.82	0.00	16.82	85.0	14.30
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	1.29	0.00	1.29	85.0	1.10
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.88	0.00	4.88	85.0	4.15
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	6.56	0.00	6.56	85.0	5.58
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	6.57	0.00	6.57	85.0	5.58
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	7.88	0.00	7.88	85.0	6.70
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.08	0.00	9.08	85.0	7.72
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.21	0.00	9.21	85.0	7.83
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.29	0.00	9.29	85.0	7.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.71	0.00	9.71	85.0	8.25
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	9.76	0.00	9.76	85.0	8.30
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.10	0.00	10.10	85.0	8.59
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.10	0.00	10.10	85.0	8.59
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.40	0.00	10.40	85.0	8.84
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.45	0.00	10.45	85.0	8.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	20.00	0.00	20.00	85.0	17.00
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	24.98	0.00	24.98	85.0	21.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	7.88	0.00	7.88	85.0	6.70
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	8.00	0.00	8.00	85.0	6.80
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	8.50	0.00	8.50	85.0	7.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	3.69	0.00	3.69	85.0	3.14
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.59	0.00	4.59	85.0	3.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	5.03	0.00	5.03	85.0	4.28
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.24	0.00	6.24	85.0	5.30
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	7.94	0.00	7.94	85.0	6.75
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.17	0.00	8.17	85.0	6.94
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.36	0.00	8.36	85.0	7.11
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.04	0.00	12.04	85.0	10.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.23	0.00	12.23	85.0	10.40
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	12.80	0.00	12.80	85.0	10.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	13.55	0.00	13.55	85.0	11.52
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	15.93	0.00	15.93	85.0	13.54
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	16.21	0.00	16.21	85.0	13.78
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	21.33	0.00	21.33	85.0	18.13
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	23.90	0.00	23.90	85.0	20.32

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	25.49	0.00	25.49	85.0	21.67
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	29.98	0.00	29.98	85.0	25.48
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	30.71	0.00	30.71	85.0	26.10
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	33.84	0.00	33.84	85.0	28.76
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	41.85	0.00	41.85	85.0	35.57
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	50.93	0.00	50.93	85.0	43.29
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	51.04	0.00	51.04	85.0	43.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	71.72	0.00	71.72	85.0	60.96
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	72.85	0.00	72.85	85.0	61.92
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	74.86	0.00	74.86	85.0	63.63
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	5.11	0.00	5.11	85.0	4.34
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	6.74	0.00	6.74	85.0	5.73
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	13.81	0.00	13.81	85.0	11.74
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.99	0.00	11.99	85.0	10.19
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	19.66	0.00	19.66	85.0	16.71
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	10.23	0.00	10.23	85.0	8.70
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	13.99	0.00	13.99	85.0	11.89
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	8.12	0.00	8.12	85.0	6.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	13.62	0.00	13.62	85.0	11.58
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	9.79	0.00	9.79	85.0	8.32
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR REAL ESTATE	B	0.00	4.16	0.00	4.16	85.0	3.54
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.72	0.00	0.72	85.0	0.61
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.54	0.00	3.54	85.0	3.01
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.97	0.00	12.97	85.0	11.02
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	6.29	0.00	6.29	85.0	5.35
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	7.79	0.00	7.79	85.0	6.62
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	8.00	0.00	8.00	85.0	6.80
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	8.30	0.00	8.30	85.0	7.06
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	10.70	0.00	10.70	85.0	9.10
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.67	0.00	11.67	85.0	9.92
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	12.89	0.00	12.89	85.0	10.96
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	13.91	0.00	13.91	85.0	11.82
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	14.89	0.00	14.89	85.0	12.66
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.17	0.00	2.17	85.0	1.84
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	3.47	0.00	3.47	85.0	2.95
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.04	0.00	4.04	85.0	3.43
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	4.26	0.00	4.26	85.0	3.62
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	21.04	0.00	21.04	85.0	17.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN SMALL CAP VALUE C	B	0.00	12.07	0.00	12.07	85.0	10.26
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	7.44	0.00	7.44	85.0	6.32
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	2.51	0.00	2.51	85.0	2.13
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	2.75	0.00	2.75	85.0	2.34
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	2.02	0.00	2.02	85.0	1.72
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.64	0.00	3.64	85.0	3.09

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.65	0.00	3.65	85.0	3.10
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	3.80	0.00	3.80	85.0	3.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	4.30	0.00	4.30	85.0	3.66
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	5.16	0.00	5.16	85.0	4.39
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	5.65	0.00	5.65	85.0	4.80
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	9.24	0.00	9.24	85.0	7.85
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	9.73	0.00	9.73	85.0	8.27
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	14.00	0.00	14.00	85.0	11.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	16.63	0.00	16.63	85.0	14.14
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	19.45	0.00	19.45	85.0	16.53
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	22.95	0.00	22.95	85.0	19.51
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.68	0.00	1.68	85.0	1.43
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.72	0.00	1.72	85.0	1.46
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.21	0.00	3.21	85.0	2.73
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.28	0.00	3.28	85.0	2.79
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	3.43	0.00	3.43	85.0	2.92
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	3.54	0.00	3.54	85.0	3.01
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	5.72	0.00	5.72	85.0	4.86
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	5.83	0.00	5.83	85.0	4.96
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	9.67	0.00	9.67	85.0	8.22
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	14.52	0.00	14.52	85.0	12.34
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON WA AGGRESSIVE GROW	B	0.00	27.14	0.00	27.14	85.0	23.07
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB FUND VALUE FD C	B	0.00	9.28	0.00	9.28	85.0	7.89
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB LARGE CAP GROWTH	B	0.00	15.05	0.00	15.05	85.0	12.79
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND C	B	0.00	2.80	0.00	2.80	85.0	2.38
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND A	B	0.00	0.23	0.00	0.23	85.0	0.20
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	3.24	0.00	3.24	85.0	2.75
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.35	0.00	4.35	85.0	3.70
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS NEW DISCOVERY VALUE FUND -	B	0.00	2.32	0.00	2.32	85.0	1.97
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS RESEARCH FUND-A	B	0.00	2.63	0.00	2.63	85.0	2.24
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	2.21	0.00	2.21	85.0	1.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	4.53	0.00	4.53	85.0	3.85
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS VALUE FUND-A	B	0.00	2.35	0.00	2.35	85.0	2.00
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL C	B	0.00	3.41	0.00	3.41	85.0	2.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL QUAL FND - CL C	B	0.00	14.20	0.00	14.20	85.0	12.07
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	1.02	0.00	1.02	85.0	0.87
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	2.89	0.00	2.89	85.0	2.46
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	10.84	0.00	10.84	85.0	9.21
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	12.83	0.00	12.83	85.0	10.91
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C	B	0.00	18.00	0.00	18.00	85.0	15.30
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	4.90	0.00	4.90	85.0	4.17
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	14.94	0.00	14.94	85.0	12.70
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	36.68	0.00	36.68	85.0	31.18
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	2.31	0.00	2.31	85.0	1.96

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER INTL BOND FUND C	B	0.00	4.33	0.00	4.33	85.0	3.68
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER INTL BOND FUND C	B	0.00	8.18	0.00	8.18	85.0	6.95
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER INTL BOND FUND C	B	0.00	8.64	0.00	8.64	85.0	7.34
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER INTL BOND FUND C	B	0.00	15.88	0.00	15.88	85.0	13.50
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER INTERNATIONAL	B	0.00	5.77	0.00	5.77	85.0	4.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMERFUNDS 68380L308	B	0.00	11.09	0.00	11.09	85.0	9.43
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMERFUNDS 68380L308	B	0.00	17.22	0.00	17.22	85.0	14.64
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET	B	0.00	11.20	0.00	11.20	85.0	9.52
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET	B	0.00	11.85	0.00	11.85	85.0	10.07
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER SENIOR FLOATING	B	0.00	3.10	0.00	3.10	85.0	2.64
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	3.44	0.00	3.44	85.0	2.92
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	7.75	0.00	7.75	85.0	6.59
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	7.89	0.00	7.89	85.0	6.71
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.79	0.00	8.79	85.0	7.47
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	9.12	0.00	9.12	85.0	7.75
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	11.06	0.00	11.06	85.0	9.40
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	12.03	0.00	12.03	85.0	10.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	13.43	0.00	13.43	85.0	11.42
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	22.03	0.00	22.03	85.0	18.73
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	30.72	0.00	30.72	85.0	26.11
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	0.16	0.00	0.16	85.0	0.14
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	2.50	0.00	2.50	85.0	2.13
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	7.82	0.00	7.82	85.0	6.65
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	21.04	0.00	21.04	85.0	17.88
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	15.62	0.00	15.62	85.0	13.28
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	27.33	0.00	27.33	85.0	23.23
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	3.76	0.00	3.76	85.0	3.20
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO HIGH YIELD FD CL C	B	0.00	12.66	0.00	12.66	85.0	10.76
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO HIGH YIELD FD CL C	B	0.00	15.16	0.00	15.16	85.0	12.89
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO HIGH YIELD FD CL C	B	0.00	18.33	0.00	18.33	85.0	15.58
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO HIGH YIELD FD CL C	B	0.00	18.62	0.00	18.62	85.0	15.83
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO HIGH YIELD FD CL C	B	0.00	29.87	0.00	29.87	85.0	25.39
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO HIGH YIELD SPECTRUM FD CL	B	0.00	3.25	0.00	3.25	85.0	2.76
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO MORTGAGE-BACKED	B	0.00	6.07	0.00	6.07	85.0	5.16
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	0.93	0.00	0.93	85.0	0.79
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	1.32	0.00	1.32	85.0	1.12
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	1.40	0.00	1.40	85.0	1.19
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	2.37	0.00	2.37	85.0	2.01
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	3.20	0.00	3.20	85.0	2.72
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	3.64	0.00	3.64	85.0	3.09
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	3.71	0.00	3.71	85.0	3.15
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	5.27	0.00	5.27	85.0	4.48
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	5.35	0.00	5.35	85.0	4.55
10/19/2018	mfc268647	VARIOUS, GRAUMANN MFT PIMCO REAL RETURN C	B	0.00	5.60	0.00	5.60	85.0	4.76



COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.34	0.00	6.34	85.0	5.39
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.53	0.00	6.53	85.0	5.55
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.99	0.00	6.99	85.0	5.94
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	7.96	0.00	7.96	85.0	6.77
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	9.02	0.00	9.02	85.0	7.67
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	10.81	0.00	10.81	85.0	9.19
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.39	0.00	11.39	85.0	9.68
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	12.12	0.00	12.12	85.0	10.30
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	13.78	0.00	13.78	85.0	11.71
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.57	0.00	0.57	85.0	0.48
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.08	0.00	1.08	85.0	0.92
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.96	0.00	1.96	85.0	1.67
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.42	0.00	2.42	85.0	2.06
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.69	0.00	3.69	85.0	3.14
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	2.02	0.00	2.02	85.0	1.72
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT QUEST VALUE A	B	0.00	0.46	0.00	0.46	85.0	0.39
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON EMERGING MKTS SM CAP	B	0.00	5.11	0.00	5.11	85.0	4.34
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.70	0.00	1.70	85.0	1.45
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.88	0.00	1.88	85.0	1.60
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	5.46	0.00	5.46	85.0	4.64
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	5.66	0.00	5.66	85.0	4.81
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	13.73	0.00	13.73	85.0	11.67
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE HARTFORD CAPITAL	B	0.00	0.15	0.00	0.15	85.0	0.13
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	20.62	0.00	20.62	85.0	17.53
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE NEW ECONOMY FUND-A	B	0.00	0.29	0.00	0.29	85.0	0.25
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.64	0.00	7.64	85.0	6.49
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.87	0.00	7.87	85.0	6.69
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	11.52	0.00	11.52	85.0	9.79
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG INCOME BUILDER CL C	B	0.00	9.73	0.00	9.73	85.0	8.27
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BOND-A	B	0.00	2.23	0.00	2.23	85.0	1.90
10/19/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BD FD C B	B	0.00	10.18	0.00	10.18	85.0	8.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,132.50	\$0.00	\$3,132.50		\$2,662.70
*** CLEARING TRANSACTIONS TOTAL ***					\$320,046.21	\$8,085.83	\$255.00	\$7,830.83		\$6,656.39

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,656.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6,471.39
Year-to-date Commissions	\$48,228.50