



COMMISSION STATEMENT

December 10, 2018

CHARLES BLYSTONE
1125 Bluegrass Dr.
Groveland, FL 34736

BROKER #: C04
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
/ /		PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00		0.00
		*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$21.24
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$21.24
Year-to-date Earnings	\$0.00



COMMISSION STATEMENT

December 10, 2018

YVONNE FORBES
3041 Lion Pointe
Lithonia, GA 30038

BROKER #: C49
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/10/2018	***-**-64CL - FORBES, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	10,000.00	460.00	0.00	460.00	80.0	368.00
		* MUTUAL FUNDS SUBTOTAL *	<u>\$10,000.00</u>	<u>\$460.00</u>	<u>\$0.00</u>	<u>\$460.00</u>		<u>\$368.00</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$10,000.00</u>	<u>\$460.00</u>	<u>\$0.00</u>	<u>\$460.00</u>		<u>\$368.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$368.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$368.00
Year-to-date Earnings	\$368.00



COMMISSION STATEMENT

December 10, 2018

ORLANDO GARZON
4582 Whimbrel Place
Winter Park, FL 32792

BROKER #: B86
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/10/2018	***-**-41CL - GARZON, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	750.00	14.26	0.00	14.26	77.5	11.05
* MUTUAL FUNDS SUBTOTAL *			<u>\$750.00</u>	<u>\$14.26</u>	<u>\$0.00</u>	<u>\$14.26</u>		<u>\$11.05</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$750.00</u>	<u>\$14.26</u>	<u>\$0.00</u>	<u>\$14.26</u>		<u>\$11.05</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$11.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$8.53
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$19.58
Year-to-date Earnings	\$0.00



COMMISSION STATEMENT

December 10, 2018

LARRY LUPAS
1935 Timberidge Point
Cumming, GA 30041

BROKER #: B84
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/10/2018	***-**-5388 - DRIVER, WINSTON	PACIFIC LIFE VA CUSIP 69448B514 BUY 1 Units @55526.8300	55,526.83	2,082.26	0.00	2,082.26	75.0	1,561.70
		* VARIABLE ANNUITIES SUBTOTAL *	<u>\$55,526.83</u>	<u>\$2,082.26</u>	<u>\$0.00</u>	<u>\$2,082.26</u>		<u>\$1,561.70</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$55,526.83</u>	<u>\$2,082.26</u>	<u>\$0.00</u>	<u>\$2,082.26</u>		<u>\$1,561.70</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,561.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,561.70
Year-to-date Earnings	\$1,561.70



COMMISSION STATEMENT

December 10, 2018

BRIAN MARSH
5575 SW 83rd St.
Ocala, FL 34476

BROKER #: B55
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/03/2018	***-**-147J - VELAZQUEZ, ACCOUNT #: LSR012931	LORD ABBETT ULTRA SHORT BOND FD CL BUY 1101 Units @9.9900	11,000.00	0.00	18.00	-18.00	100.0	-18.00
12/10/2018	***-**-98CL - MARSH, CLIENTS	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
* MUTUAL FUNDS SUBTOTAL *			<u>\$12,000.00</u>	<u>\$10.00</u>	<u>\$18.00</u>	<u>\$-8.00</u>		<u>\$-10.00</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/04/2018	***-**-98CL - MARSH, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	74.85	0.00	74.85	80.0	59.88
12/10/2018	***-**-98CL - MARSH, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	1,886.65	0.00	1,886.65	80.0	1,509.32
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$1,961.50</u>	<u>\$0.00</u>	<u>\$1,961.50</u>		<u>\$1,569.20</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$12,000.00</u>	<u>\$1,971.50</u>	<u>\$18.00</u>	<u>\$1,953.50</u>		<u>\$1,559.20</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,559.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$10.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,569.22
Year-to-date Earnings	\$1,569.22



COMMISSION STATEMENT

December 10, 2018

STEVE SHOOK
3500 SE 30th Terrace
Ocala, FL 34471

BROKER #: B82
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: YVONNE FORBES</u>								
12/10/2018	***-**-64CL - FORBES, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	10,000.00	460.00	0.00	460.00	7.0	32.20
		* YVONNE FORBES SUBTOTAL *	\$10,000.00	\$460.00	\$0.00	\$460.00		\$32.20
<u>BROKER: ORLANDO GARZON</u>								
12/10/2018	***-**-41CL - GARZON, CLIENTS	AF CHECK DEPOSIT BUY 0 Units @0.0000	750.00	14.26	0.00	14.26	9.5	1.35
		* ORLANDO GARZON SUBTOTAL *	\$750.00	\$14.26	\$0.00	\$14.26		\$1.35
<u>BROKER: BRIAN MARSH</u>								
12/04/2018	***-**-98CL - MARSH, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	74.85	0.00	74.85	7.0	5.24
12/10/2018	***-**-98CL - MARSH, CLIENTS	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	1,886.65	0.00	1,886.65	7.0	132.07
12/10/2018	***-**-98CL - MARSH, CLIENTS	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	7.0	0.70
		* BRIAN MARSH SUBTOTAL *	\$1,000.00	\$1,971.50	\$0.00	\$1,971.50		\$138.01
<u>BROKER: SHAWN VUOCOLO</u>								
12/10/2018	***-**-50CL - VUOCOLO, CLIENTS	BEACON CAPITAL MANAGEMENT RIA BUY 1 Units @0.0000	0.00	588.72	0.00	588.72	14.0	82.42
		* SHAWN VUOCOLO SUBTOTAL *	\$0.00	\$588.72	\$0.00	\$588.72		\$82.42
<u>BROKER: LARRY LUPAS</u>								
12/10/2018	***-**-5388 - DRIVER, WINSTON	PACIFIC LIFE VA CUSIP 69448B514 BUY 1 Units @55526.8300	55,526.83	2,082.26	0.00	2,082.26	12.0	249.87
		* LARRY LUPAS SUBTOTAL *	\$55,526.83	\$2,082.26	\$0.00	\$2,082.26		\$249.87
<u>BROKER: RANDY SNELGROVE</u>								
12/10/2018	***-**-54CL - SNELGROVE,	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	157.58	0.00	157.58	7.0	11.03
		* RANDY SNELGROVE SUBTOTAL *	\$0.00	\$157.58	\$0.00	\$157.58		\$11.03



COMMISSION STATEMENT

December 10, 2018

STEVE SHOOK
3500 SE 30th Terrace
Ocala, FL 34471

BROKER #: B82
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: MATTHEW TRENCHARD</u>								
12/10/2018	***-**-30CL - TRENCHARD,	BEACON CAPITAL MANAGEMENT RIA BUY 1 Units @0.0000	0.00	356.06	0.00	356.06	17.0	60.53
12/04/2018	***-**-30CL - TRENCHARD,	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	116.79	0.00	116.79	17.0	19.85
* MATTHEW TRENCHARD SUBTOTAL *			<u>\$0.00</u>	<u>\$472.85</u>	<u>\$0.00</u>	<u>\$472.85</u>		<u>\$80.38</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$67,276.83</u>	<u>\$5,747.17</u>	<u>\$0.00</u>	<u>\$5,747.17</u>		<u>\$595.26</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$595.26
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$29.40
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$624.66
Year-to-date Earnings	\$624.66



COMMISSION STATEMENT

December 10, 2018

RANDY SNELGROVE
273 E. Bay Ave.
Longwood, FL 32750

BROKER #: C67
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/10/2018	***-**-54CL - SNELGROVE,	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	157.58	0.00	157.58	80.0	126.06
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$157.58</u>	<u>\$0.00</u>	<u>\$157.58</u>		<u>\$126.06</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$157.58</u>	<u>\$0.00</u>	<u>\$157.58</u>		<u>\$126.06</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$126.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$126.06
Year-to-date Earnings	\$126.06



COMMISSION STATEMENT

December 10, 2018

MATTHEW TRENCHARD
7028 Wishon Rd.
Clemmons, NC 27012

BROKER #: C32
BRANCH #01053 - 53 - SHOOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
12/10/2018	***-**-30CL - TRENCHARD,	BEACON CAPITAL MANAGEMENT RIA BUY 1 Units @0.0000	0.00	356.06	0.00	356.06	70.0	249.24
		* RIA SUBTOTAL *	\$0.00	\$356.06	\$0.00	\$356.06		\$249.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/04/2018	***-**-30CL - TRENCHARD,	NSCC VA TRAIL BUY 1 Units @0.0000	0.00	116.79	0.00	116.79	70.0	81.75
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$116.79	\$0.00	\$116.79		\$81.75
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$472.85	\$0.00	\$472.85		\$330.99

BROKER COMMISSION TOTALS

Broker Transactions	\$330.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$330.99
Year-to-date Earnings	\$330.99