



COMMISSION STATEMENT

December 17, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/12/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	20.88	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @11.5500						
12/10/2018	***-**-80UT - WILCOX UTMA CT,	PACIFIC FUNDS PORT OP AGGR-GROWTH C	100.00	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: LSR012264	BUY 8 Units @12.8200						
12/10/2018	***-**-674I - RAMSEY, MARION	PL PORTFOLIO OPTIMIZATION MODERATE	690.10	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 60 Units @11.4300						
12/07/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	112.18	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012322	SELL 17 Units @6.7700						
12/12/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	60.05	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 3 Units @23.4100						
12/12/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	141.46	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 25 Units @5.5800						
* MUTUAL FUNDS SUBTOTAL *			\$1,124.67	\$19.00	\$18.00	\$1.00		\$0.70
<u>PRODUCT CATEGORY: 529 PLAN</u>								
/ /	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
/ /	***-**-5134 - KOONTZ, JOSEPH	CHET ADVISOR 529 TRAILS	0.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
/ /	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	3.75	0.00	3.75	70.0	2.63
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
/ /	***-**-925P - FENGLER, DANIEL	CHET ADVISOR 529 TRAILS	0.00	2.18	0.00	2.18	70.0	1.53
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$200.00	\$11.05	\$0.00	\$11.05		\$7.74
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.44	0.00	0.44	70.0	0.31
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
12/03/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: 00085732525	BUY 0 Units @0.0000						
12/03/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529C	0.00	2.18	0.00	2.18	70.0	1.53
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.17	\$0.00	\$3.17		\$2.23



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ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$1,324.67	\$33.22	\$18.00	\$15.22		\$10.67

BROKER COMMISSION TOTALS

Broker Transactions	\$10.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,056.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-1,045.82
Year-to-date Earnings	\$42,258.98