

COMMISSION STATEMENT

November 30, 2018

WARD MORGENTHAU

19 ROSS RD

LIVINGSTON, NJ 07039

BROKER #: W01

BRANCH: HOME OFFICE

COMMISSION STATEMENT for WARD MORGENTHAU

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/05/2018	75500895	MORGENTHAU, WARD	BARRICK GOLD CORP	S	13,390.00	35.00	0.00	35.00	50.0	17.50
11/05/2018	75500895	MORGENTHAU, WARD	GENERAL ELECTRIC CO	B	4,678.40	35.00	0.00	35.00	50.0	17.50
11/01/2018	75501028	MORGENTHAU, NICOLE	BLUE BIRD CORPORATION COM	B	3,968.91	35.00	0.00	35.00	50.0	17.50
11/07/2018	75501028	MORGENTHAU, NICOLE	ENDO INTERNATIONAL PLC	S	8,181.15	35.00	0.00	35.00	50.0	17.50
11/07/2018	75501028	MORGENTHAU, NICOLE	PHIBRO ANIMAL HEALTH CORPORATION CL B	B	19,065.13	35.00	0.00	35.00	50.0	17.50
11/28/2018	75501028	MORGENTHAU, NICOLE	ENDO INTERNATIONAL PLC	B	5,879.25	35.00	0.00	35.00	50.0	17.50
10/31/2018	75501179	MORGENTHAU, WARD	COLGATE PALMOLIVE COMPANY	B	5,911.74	35.00	0.00	35.00	50.0	17.50
* STOCKS SUBTOTAL *					\$61,074.58	\$245.00	\$0.00	\$245.00		\$122.50

PRODUCT CATEGORY: OPTIONS

11/01/2018	75500895	MORGENTHAU, WARD	CALL EAF	12/21/18	20	S	1,050.00	35.00	0.00	35.00	50.0	17.50
11/01/2018	75500924	MORGENTHAU, JONATHAN	CALL EAF	12/21/18	20	S	325.00	35.00	0.00	35.00	50.0	17.50
* OPTIONS SUBTOTAL *							\$1,375.00	\$70.00	\$0.00	\$70.00		\$35.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2018			FEE COUNT - 7				0.00	47.35	0.00	47.35	50.0	23.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$47.35	\$0.00	\$47.35		\$23.70
*** BROKER TRANSACTIONS TOTAL ***							\$62,449.58	\$362.35	\$0.00	\$362.35		\$181.20

ADJUSTMENTS

11/30/2018			OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00		-20.00
11/30/2018			OTHER: OTHER-EXCHANGE FEES				0.00	0.00	0.00	0.00		-27.28
11/30/2018			OTHER: OTHER-360 OFFICE				0.00	0.00	0.00	0.00		-8.00
11/30/2018			OTHER: THOMSON				0.00	0.00	0.00	0.00		-79.63
*** ADJUSTMENTS TOTAL ***							\$0.00	\$0.00	\$0.00	\$0.00		\$-134.91

BROKER COMMISSION TOTALS

Broker Transactions	\$181.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-134.91
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.45
SIPC Assessment	\$-0.91

Check Amount	\$44.93
Year-to-date Earnings	\$5,828.06