

**September 24, 2018** 

JEFFRY PROUL

950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
09/17/201	8 ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @20.3200	200.00	5.50	0.00	5.50	75.0	4.13
09/17/201	L8 ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @20.3200	55.20	1.52	0.00	1.52	75.0	1.14
09/17/201	L8 ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	3.44	0.00	3.44	75.0	2.58
09/17/201	L8 ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	3.44	0.00	3.44	75.0	2.58
09/17/201	L8 ***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 9 Units @52.1100	479.17	4.79	0.00	4.79	75.0	3.59
09/17/201	L8 ***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 11 Units @52.1100	563.35	5.63	0.00	5.63	75.0	4.22
09/17/201	L8 ***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @52.1100	150.00	1.50	0.00	1.50	75.0	1.13
09/17/201	18 ***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 10 Units @52.1100	521.26	5.21	0.00	5.21	75.0	3.91
09/17/201	L8 ***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 10 Units @52.1100	523.73	5.24	0.00	5.24	75.0	3.93
09/17/201	L8 ***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	EUROPACIFIC GROWTH FUND-A BUY 2 Units @52.1100	87.50	0.88	0.00	0.88	75.0	0.66
09/07/201	L8 ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	3.71	0.19	0.00	0.19	75.0	0.14
09/07/201	18 ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	3.71	0.19	0.00	0.19	75.0	0.14
09/07/201	18 ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	-3.71	-0.19	0.00	-0.19	75.0	-0.14
09/07/201	18 ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.3600	-3.71	-0.19	0.00	-0.19	75.0	-0.14
09/11/201	8 ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.3800	50.00	2.50	0.00	2.50	75.0	1.88
09/11/201	18 ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.3800	50.00	2.50	0.00	2.50	75.0	1.88



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950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

				CHARGE	COMMISSION	RATE	COMMISSION
	BROKER TRANSACTION	ONS (contin	ıed)				
ATEGORY: MUTUAL FUNDS (cont	inued)						
***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @14.3800	93.75	4.69	0.00	4.69	75.0	3.52
***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 45 Units @14.3800	645.91	32.30	0.00	32.30	75.0	24.23
***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 1 Units @14.4400	10.67	0.53	0.00	0.53	75.0	0.40
***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 1 Units @14.4400	10.67	0.53	0.00	0.53	75.0	0.40
***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.6300	27.60	1.38	0.00	1.38	75.0	1.04
***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.6300	27.60	1.38	0.00	1.38	75.0	1.04
***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500	27.60	1.38	0.00	1.38	75.0	1.04
***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500	27.60	1.38	0.00	1.38	75.0	1.04
***-**-673I - GEIGER, MAJOR ACCOUNT #: 00086454950	INTERMEDIATE BOND FUND OF AMERICA-A BUY 2 Units @13.4200	27.00	0.54	0.00	0.54	75.0	0.41
***-**-673I - GEIGER, MAJOR ACCOUNT #: 00086454950	INTERMEDIATE BOND FUND OF AMERICA-A BUY 4 Units @13.4200	50.00	1.00	0.00	1.00	75.0	0.75
***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	THE GROWTH FUND OF AMERICA-A BUY 9 Units @55.5000	479.17	4.79	0.00	4.79	75.0	3.59
***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	THE GROWTH FUND OF AMERICA-A BUY 10 Units @55.5000	563.35	5.63	0.00	5.63	75.0	4.22
***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.5000	150.00	1.50	0.00	1.50	75.0	1.13
***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	THE GROWTH FUND OF AMERICA-A BUY 9 Units @55.5000	521.26	5.21	0.00	5.21	75.0	3.91
***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	THE GROWTH FUND OF AMERICA-A BUY 9 Units @55.5000	523.74	5.24	0.00	5.24	75.0	3.93
***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.5000	37.50	0.38	0.00	0.38	75.0	0.29
	***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125  ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125  ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916  ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916  ***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123  ***-**-5939 - WHITE, TAMARA ACCOUNT #: 00086454950  ***-**-6731 - GEIGER, MAJOR ACCOUNT #: 00086454950  ***-**-6731 - GEIGER, MAJOR ACCOUNT #: 00086454950  ***-**-6731 - GEIGER, MAJOR ACCOUNT #: 00058823513  ***-**-3875 - HARRY, KIRK ACCOUNT #: 00058823514  ***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823515  ***-**-2069 - CORPORATION, ACCOUNT #: 00058823519  ***-**-2069 - CORPORATION, ACCOUNT #: 00058836715  ***-**-2069 - CORPORATION, ACCOUNT #: 00058836715  ***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125 BUY 7 Units @14.3800  ***-**-1522 - PROUL, JEFFRY FIDELITY ADV FREEDOM 2025-CL A BUY 45 Units @14.3800  ***-**-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A BUY 45 Units @14.4400  ***-*-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A BUY 1 Units @14.4400  ***-**-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A BUY 1 Units @14.4400  ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.6300  ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.6300  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-*-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.7500  ***-*-*-6731 - GEIGER, MAJOR ACCOUNT #: 00086454950 BUY 2 Units @13.4200  ***-*-*-375 - HARRY, KIRK THE GROWTH FUND OF AMERICA-A BUY 9 Units @55.5000  ***-*-*-3875 - HARRY, JOHN THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.5000  ***-*-*-3875 - HARRY, JOHN THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.5000  ***-*-*-2069 - CORPORATION, THE GROWTH FUND OF AMERICA-A BUY 9 Units @55.5000  ***-*-*-2069 - CORPORATION, THE GROWTH FUND OF AMERICA-A BUY 9 Units @55.5000	***-**-1522 - PROUL, JEFFRY   FIDELITY ADV FREEDOM 2025-CL A   93.75    ***-**-1522 - PROUL, JEFFRY   FIDELITY ADV FREEDOM 2025-CL A   93.75    ***-**-1522 - PROUL, JEFFRY   FIDELITY ADV FREEDOM 2025-CL A   645.91    ***-**-1522 - PROUL, JEFFRY   FIDELITY ADV FREEDOM 2025-CL A   645.91    ***-**-2566 - MINOTT, JUANITA   FIDELITY ADV FREEDOM 2025-CL A   10.67    ***-**-2566 - MINOTT, JUANITA   FIDELITY ADV FREEDOM 2025-CL A   10.67    ***-**-5966 - MINOTT, JUANITA   FIDELITY ADV FREEDOM 2025-CL A   10.67    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-5939 - WHITE, TAMARA   FIDELITY ADV FREEDOM 2030-CL A   27.60    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   27.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   27.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDIATE BOND FUND OF AMERICA-A   50.00    ***-**-6731 - GEIGER, MAJOR   INTERMEDI	***-**-1522 - PROUL, JEFFRY FIDELITY ADV FREEDOM 2025-CL A 93.75 4.69 ACCOUNT #: 03900220125 BUY 7 Units @14.3800 ***-**-1522 - PROUL, JEFFRY FIDELITY ADV FREEDOM 2025-CL A 645.91 32.30 ACCOUNT #: 03900220125 BUY 45 Units @14.3800 ***-**-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A 10.67 0.53 ACCOUNT #: 03900221916 BUY 1 Units @14.4400 ***-**-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A 10.67 0.53 ACCOUNT #: 03900221916 BUY 1 Units @14.4400 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 ACCOUNT #: 03900219123 BUY 2 Units @15.6300 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 ACCOUNT #: 03900219123 BUY 2 Units @15.6300 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 ACCOUNT #: 03900219123 BUY 2 Units @15.7500 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 ACCOUNT #: 03900219123 BUY 2 Units @15.7500 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 ACCOUNT #: 03900219123 BUY 2 Units @15.7500 ***-**-6731 - GEIGER, MAJOR BUY 2 Units @15.7500 ***-**-6731 - GEIGER, MAJOR BUY 2 Units @13.4200 ***-**-6731 - GEIGER, MAJOR BUY 2 Units @13.4200 ***-**-6731 - GEIGER, MAJOR BUY 2 Units @13.4200 ***-**-400086454950 BUY 2 Units @13.4200 ***-**-400086454950 BUY 4 Units @15.55000 ***-**-400086454950 BUY 9 Units @55.5000 ***-*-**-2069 - CORPORATION, THE GROWTH FUND OF AMERICA-A 563.35 5.63 ACCOUNT #: 00058823514 BUY 9 Units @55.5000 ***-**-90058823515 BUY 9 Units @55.5000 ***-**-00058823515 BUY 9 Units @55.5000 ****-00058823515 BUY 9 Units @55.5000 ***-**-00058823519 BUY 9 Units @55.5000 ***-**-00058823519 BUY 9 Units @55.5000 ***-**-000	***-**-1522 - PROUL, JEFFRY FIDELITY ADV FREEDOM 2025-CL A 93.75 4.69 0.00   ***-**-1522 - PROUL, JEFFRY FIDELITY ADV PREEDOM 2025-CL A 93.75 4.69 0.00   ***-**-1522 - PROUL, JEFFRY FIDELITY ADV PREEDOM 2025-CL A 645.91 32.30 0.00   ***-**-2566 - MINOTT, JUANITA FIDELITY ADV PREEDOM 2025-CL A 10.67 0.53 0.00   ***-**-2566 - MINOTT, JUANITA FIDELITY ADV PREEDOM 2025-CL A 10.67 0.53 0.00   ***-**-2566 - MINOTT, JUANITA FIDELITY ADV PREEDOM 2025-CL A 10.67 0.53 0.00   ***-**-2566 - MINOTT, JUANITA FIDELITY ADV PREEDOM 2025-CL A 10.67 0.53 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELITY ADV PREEDOM 2030-CL A 27.60 1.38 0.00   ***-**-5939 - WHITE, TAMARA FIDELI	***-**-1522 - PROUL, JEFFRY FIDELITY ADV FREEDOM 2025-CL A 93.75 4.69 0.00 4.69 ACCOUNT #: 03900220125 BUY 7 Units 014.3800 ***-**-1522 - PROUL, JEFFRY FIDELITY ADV FREEDOM 2025-CL A 645.91 32.30 0.00 32.30 ACCOUNT #: 03900220125 BUY 45 Units 014.3800 ***-**-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A 10.67 0.53 0.00 0.53 ACCOUNT #: 03900221916 BUY 1 Units 014.4400 ****-**-2566 - MINOTT, JUANITA FIDELITY ADV FREEDOM 2025-CL A 10.67 0.53 0.00 0.53 ACCOUNT #: 03900221916 BUY 1 Units 014.4400 ****-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 03900219123 BUY 2 Units 015.6300 ***-***-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 03900219123 BUY 2 Units 015.6300 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 03900219123 BUY 2 Units 015.6300 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 03900219123 BUY 2 Units 015.6300 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 03900219123 BUY 2 Units 015.7500 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 03900219123 BUY 2 Units 015.7500 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 1.38 ACCOUNT #: 00086454950 BUY 2 Units 013.4200 ***-**-5939 - WHITE, TAMARA FIDELITY ADV FREEDOM 2030-CL A 27.60 1.38 0.00 0.54 0.00 0.54 ACCOUNT #: 00086454950 BUY 2 Units 013.4200 ***-**-4060 - HARRY, KIRK THE GROWTH FUND OF AMERICA-A 50.00 1.00 0.54 0.00 0.54 0.00 0.54 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.55 0.00 0.00 0.55 0.00 0.55 0.00 0.00 0	***-**-1522 - PROUL, JEFFRY FIDELITY ADV PREEDOM 2025-CL A 93.75 4.69 0.00 4.69 75.0 ACCOUNT #: 03900220125 BUY 7 Units @14.3800 325-CL A 645.91 32.30 0.00 32.30 75.0 ACCOUNT #: 03900220125 BUY 4 Units @14.3800 BUY 5 Units @14.3800 BUY 1 Units @14.4400 BUY 1 Units @15.6300 BUY 2 Un



**September 24, 2018** 

JEFFRY PROUL

950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACTI	ONS (contin	ued)				
PRODUCT	CATEGORY: MUTUAL FUNDS (cont	cinued)						
09/17/201	.8 ***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 3 Units @57.5100	150.00	4.13	0.00	4.13	75.0	3.10
09/17/201	.8 ***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 2 Units @57.5100	90.00	2.48	0.00	2.48	75.0	1.86
		* MUTUAL FUNDS SUBTOTAL *	\$6,393.63	\$116.62	\$0.00	\$116.62		\$87.53
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	TRAILS						
09/10/201	8 ***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.63	0.00	0.63	75.0	0.47
09/10/201	.8 ***-**-388I - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	75.0	0.32
09/10/201	.8 ***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	75.0	0.42
09/10/201	.8 ***-**-388I - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	0.29	0.00	0.29	75.0	0.22
09/10/201	.8 ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	1.47	0.00	1.47	75.0	1.10
09/10/201	.8 ***-**-7621 - PROUL, JUSTINE ACCOUNT #: 03900221014	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	0.20	0.00	0.20	75.0	0.15
09/10/201	.8 ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	0.05	0.00	0.05	75.0	0.04
09/10/201	.8 ***-**-0806 - ALEIXO, JEFFREY ACCOUNT #: 03900227458	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	28.86	0.00	28.86	75.0	21.65
09/10/201	.8 ***-**-1489 - TATUSKO, MARY ACCOUNT #: 03900228796	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	75.0	0.18
09/10/201	.8 ***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
09/10/201	.8 ***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV FREEDOM 2030-CL A BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	75.0	0.26
09/10/201	.8 ***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 0 Units @0.0000	0.00	0.26	0.00	0.26	75.0	0.20
09/10/201	.8 ***-**-5505 - OREA, STEPHANIE ACCOUNT #: 03900185962	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03



**September 24, 2018** 

JEFFRY PROUL

950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACT	IONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	RAILS (continued)						
09/10/201	8 ***-**-8161 - PARALES, NICOLE ACCOUNT #: 03900185999	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	75.0	0.26
09/10/201	8 ***-**-3406 - RODRIGUEZ, MARIA ACCOUNT #: 03900186010	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	1.88	0.00	1.88	75.0	1.41
09/10/201	8 ***-**-4265 - ACCOUNT #: 03900186012	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	75.0	0.62
09/10/201	8 ***-**-0806 - ALEIXO, JEFFREY ACCOUNT #: 03900186019	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	1.69	0.00	1.69	75.0	1.27
09/10/201	8 ***-**-2868 - MADDOCK, ASHLEY ACCOUNT #: 03900186023	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	75.0	0.08
09/10/201	8 ***-**-6251 - NAKABA, RANDALL ACCOUNT #: 03900186024	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	75.0	0.25
09/10/201	8 ***-**-2963 - LAPAGE, STEPHEN ACCOUNT #: 03900186027	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	75.0	0.39
09/10/201	8 ***-**-6187 - HICKS, BREANNA ACCOUNT #: 03900186033	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	75.0	0.05
09/10/201	8 ***-**-9826 - NERSESIAN, POLET ACCOUNT #: 03900186038	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	2.62	0.00	2.62	75.0	1.97
09/10/201	8 ***-**-2535 - ALCAZAR, MARIA ACCOUNT #: 03900186047	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	75.0	0.27
09/10/201	8 ***-**-8871 - GUTIERREZ, ACCOUNT #: 03900186057	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	75.0	0.07
09/10/201	8 ***-**-3881 - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV GLOBAL EQUITY INCOME C BUY 0 Units @0.0000	0.00	0.26	0.00	0.26	75.0	0.20
09/10/201	8 ***-**-3881 - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV GLOBAL EQUITY INCOME C BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	75.0	0.17
09/10/201	8 ***-**-1522 - PROUL, JEFFRY ACCOUNT #: 03900220125	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	63.41	0.00	63.41	75.0	47.56
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$106.14	\$0.00	\$106.14		\$79.64
		*** BROKER TRANSACTIONS TOTAL ***	\$6,393.63	\$222.76	\$0.00	\$222.76		\$167.17



**September 24, 2018** 

JEFFRY PROUL

950 Glenn Drive Suite 100 Folsom, CA 95630 BROKER #: 940

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISS		BROKER COMMISSION
						BROKER	COMMISSION	TOTALS
					Brok	er Transac	ctions	\$167.17
					Spl	it Transac	ctions	\$0.00
					Overri	de Transac	ctions	\$0.00
						Adjust	tments	\$0.00
						Payroll	l Draw	\$0.00
						Base S	Salary	\$0.00
					Prior	Period Ba	alance	\$0.00
					F	INRA Asses	ssment	\$0.00
					i	SIPC Asses	ssment	\$0.00
					Net Co	mmission H	Earned	\$167.17
					Year-to	o-date Ear	rnings	\$50,859.17