



COMMISSION STATEMENT

October 29, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	109.07	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: 00063922933	BUY 3 Units @34.3100						
10/10/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	109.07	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: 00063922933	BUY 3 Units @43.4100						
10/10/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	100.68	2.77	0.00	2.77	70.0	1.94
	ACCOUNT #: 00063922933	BUY 2 Units @64.5300						
10/10/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	100.68	2.77	0.00	2.77	70.0	1.94
	ACCOUNT #: 00063922933	BUY 2 Units @54.8700						
10/10/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	100.68	2.77	0.00	2.77	70.0	1.94
	ACCOUNT #: 00063922933	BUY 4 Units @23.5100						
10/10/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	109.07	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: 00063922933	BUY 3 Units @42.0500						
10/10/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	100.68	2.77	0.00	2.77	70.0	1.94
	ACCOUNT #: 00063922933	BUY 2 Units @47.3200						
10/10/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	109.07	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: 00063922933	BUY 2 Units @47.0300						
* MUTUAL FUNDS SUBTOTAL *			\$839.00	\$23.08	\$0.00	\$23.08		\$16.16
*** BROKER TRANSACTIONS TOTAL ***			\$839.00	\$23.08	\$0.00	\$23.08		\$16.16



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$16.16
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$50.77
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$66.93
					Year-to-date Earnings			\$55,516.00