

Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	846.91

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: SCOTT A. SICKLES
4200 RIDGECREST CIRCLE SUITE A4
AMARILLO, TX 79109

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
12/31/2017	Bill	COMMISSIONS	14.03	14.03		14.03
1/15/2018	Bill	COMMISSIONS	35.80	35.80		35.80
1/31/2018	Bill	COMMISSIONS	1,037.08	1,037.08		1,037.08
1/1/2018	Credit	E&O	-120.00	-120.00		-120.00
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT December 31, 2017

SCOTT SICKLES-D060

 BROKER #: D060
 BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
12/21/2017	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.88	0.00	0.88	75.0	0.66
12/21/2017	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	7.69	0.00	7.69	75.0	5.77
12/21/2017	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.45	0.00	7.45	75.0	5.59
12/21/2017	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	3.87	0.00	3.87	75.0	2.90
12/21/2017	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.21	0.00	0.21	75.0	0.16
12/21/2017	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	11.67	0.00	11.67	75.0	8.75
12/21/2017	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.06	0.00	5.06	75.0	3.80
12/21/2017	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.03	0.00	1.03	75.0	0.77
12/21/2017	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59	75.0	0.44
12/21/2017	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.22	0.00	8.22	75.0	6.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$46.67	\$0.00	\$46.67		\$35.01
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$46.67	\$0.00	\$46.67		\$35.01

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS									
12/22/2017	00082895917 JOHNSON, KRISTY	MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
12/22/2017	00082895917 JOHNSON, KRISTY	MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
12/22/2017	00082895917 JOHNSON, KRISTY	MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
12/21/2017	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
12/21/2017	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
12/21/2017	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
12/21/2017	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
12/21/2017	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
12/21/2017	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$5.34	\$0.00	\$5.34		\$4.02
*** DIRECT TRANSACTIONS TOTAL ***				\$200.00	\$5.34	\$0.00	\$5.34		\$4.02

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

12/31/2017		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$39.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$14.03
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COMMISSION STATEMENT January 15, 2018

SCOTT SICKLES-D060

 BROKER #: D060
 BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/26/2017	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
12/26/2017	000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
12/26/2017	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
12/26/2017	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
12/26/2017	00084222411 VAUGHN, JOE	MF CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	75.0	2.81
12/26/2017	00084222411 VAUGHN, JOE	MF CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	75.0	2.81
01/02/2018	00085552496 MAXIE A SICKLES	MF CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
01/04/2018	04000584689 OLGA HOUSAND	MF THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
* MUTUAL FUNDS SUBTOTAL *				\$1,000.00	\$21.51	\$0.00	\$21.51		\$16.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	(None) VARIOUS, SICKLES	MFT OPPENHEIMER	B	0.00	26.23	0.00	26.23	75.0	19.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$26.23	\$0.00	\$26.23		\$19.67
*** DIRECT TRANSACTIONS TOTAL ***				\$1,000.00	\$47.74	\$0.00	\$47.74		\$35.80

BROKER COMMISSION TOTALS

Broker Transactions	\$35.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$35.80
Year-to-date Commissions	\$49.83

COMMISSION STATEMENT

January 31, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/12/2018	646224804	SPECK, LESLIE	S	CAESARS ENTERTAINMENT CORP	S	-1,898.25	50.00	15.00	35.00	75.0	26.25
01/16/2018	646224804	SPECK, LESLIE	S	BITCOIN INVESTMENT TRUST SHS	B	1,832.54	50.00	15.00	35.00	75.0	26.25
* STOCKS SUBTOTAL *						\$-65.71	\$100.00	\$30.00	\$70.00		\$52.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
01/23/2018	mfc500587	SICKLES 500587		MFT AMERICAN BALANCED FUND-A	B	0.00	0.93	0.00	0.93	75.0	0.70
01/23/2018	mfc500587	SICKLES 500587		MFT AMERICAN BALANCED FUND-C	B	0.00	8.10	0.00	8.10	75.0	6.08
01/23/2018	mfc500587	SICKLES 500587		MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.80	0.00	7.80	75.0	5.85
01/23/2018	mfc500587	SICKLES 500587		MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.04	0.00	4.04	75.0	3.03
01/23/2018	mfc500587	SICKLES 500587		MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.21	0.00	0.21	75.0	0.16
01/23/2018	mfc500587	SICKLES 500587		MFT FRK RISING DIV FND - CL C	B	0.00	12.33	0.00	12.33	75.0	9.25
01/23/2018	mfc500587	SICKLES 500587		MFT FUNDAMENTAL INVESTORS-C	B	0.00	7.41	0.00	7.41	75.0	5.56
01/23/2018	mfc500587	SICKLES 500587		MFT INVESTMENT COMPANY OF AMERICA	B	0.00	7.22	0.00	7.22	75.0	5.42
01/23/2018	mfc500587	SICKLES 500587		MFT MUTUAL DISC FND - CL C	B	0.00	5.31	0.00	5.31	75.0	3.98
01/23/2018	mfc500587	SICKLES 500587		MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.06	0.00	1.06	75.0	0.80
01/23/2018	mfc500587	SICKLES 500587		MFT THE BOND FUND OF AMERICA-A	B	0.00	0.61	0.00	0.61	75.0	0.46
01/23/2018	mfc500587	SICKLES 500587		MFT THE GROWTH FUND OF AMERICA-C	B	0.00	7.58	0.00	7.58	75.0	5.69
01/23/2018	mfc500587	SICKLES 500587		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.79	0.00	8.79	75.0	6.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$71.39	\$0.00	\$71.39		\$53.57
*** CLEARING TRANSACTIONS TOTAL ***						\$-65.71	\$171.39	\$30.00	\$141.39		\$106.07

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/22/2018	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
01/24/2018	000000001042631 WEIR, DEBBIE	MF FRK AGGRESSIVE GRWTH - CL A	B	250.00	9.38	0.00	9.38	75.0	7.04
01/22/2018	000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
01/22/2018	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
01/22/2018	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
01/25/2018	00082895917 JOHNSON, KRISTY	MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
01/25/2018	00082895917 JOHNSON, KRISTY	MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
01/25/2018	00082895917 JOHNSON, KRISTY	MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
01/08/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	750.00	7.50	0.00	7.50	75.0	5.63
01/25/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	75.0	7.50
01/16/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
01/16/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
01/16/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
01/16/2018	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
01/16/2018	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
01/16/2018	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
01/16/2018	04000638082 PEREZ, GEORGIA	MF THE NEW ECONOMY FUND-C	B	125.00	1.25	0.00	1.25	75.0	0.94
01/25/2018	04000650197 MONFORT, RONALD	MF AMCAP FUND-A	B	150.00	7.50	0.00	7.50	75.0	5.63
01/25/2018	04000650197 MONFORT, RONALD	MF THE GROWTH FUND OF AMERICA-A	B	150.00	7.50	0.00	7.50	75.0	5.63
01/25/2018	04000650593 MONFORT, TINA	MF RTMT INCOME PORTFOLIO	B	300.00	15.00	0.00	15.00	75.0	11.25
01/25/2018	04000650593 MONFORT, TINA	MF RTMT INCOME PORTFOLIO	B	10.00	0.50	0.00	0.50	75.0	0.38
* MUTUAL FUNDS SUBTOTAL *				\$3,335.00	\$73.98	\$0.00	\$73.98		\$55.53
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/08/2018	BRK123216 PRODUCTIONS,	MFT 8504AFRKD	B	0.00	476.83	0.00	476.83	75.0	357.62
01/08/2018	BRK83663 AFRR FORFEITURE	MFT 8504AFRKD	B	0.00	723.81	0.00	723.81	75.0	542.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,200.64	\$0.00	\$1,200.64		\$900.48
*** DIRECT TRANSACTIONS TOTAL ***				\$3,335.00	\$1,274.62	\$0.00	\$1,274.62		\$956.01
ADJUSTMENTS									
01/31/2018	: E-MAIL ACCOUNT			0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,062.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,037.08
Year-to-date Commissions	\$1,086.91