

COMMISSION STATEMENT

November 30, 2018

 GEORGE HADDAD-D344
 515 East 4th Street

 BROKER #: D344
 BRANCH: DMN

Duluth, MN 55805

COMMISSION STATEMENT for GEORGE HADDAD-D344

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018	mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	1.33	0.00	1.33	70.0	0.93
11/21/2018	mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	1.80	0.00	1.80	70.0	1.26
11/21/2018	mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	4.54	0.00	4.54	70.0	3.18
11/21/2018	mfc304115	HADDAD 304115	MFT AMERICAN BALANCED FUND-A	B	0.00	21.87	0.00	21.87	70.0	15.31
11/21/2018	mfc304115	HADDAD 304115	MFT CALVERT MODERATE ALLOC FD CL A	B	0.00	1.42	0.00	1.42	70.0	0.99
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.84	0.00	0.84	70.0	0.59
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.17	0.00	1.17	70.0	0.82
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.27	0.00	6.27	70.0	4.39
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.66	0.00	10.66	70.0	7.46
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL INCOME BUILDER-A	B	0.00	17.03	0.00	17.03	70.0	11.92
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.64	0.00	1.64	70.0	1.15
11/21/2018	mfc304115	HADDAD 304115	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.81	0.00	2.81	70.0	1.97
11/21/2018	mfc304115	HADDAD 304115	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.32	0.00	1.32	70.0	0.92
11/21/2018	mfc304115	HADDAD 304115	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.98	0.00	2.98	70.0	2.09
11/21/2018	mfc304115	HADDAD 304115	MFT JP MORGAN INVESTOR BALANCED FD	B	0.00	11.94	0.00	11.94	70.0	8.36
11/21/2018	mfc304115	HADDAD 304115	MFT PUTNAM ASSET ALLOCATION	B	0.00	12.21	0.00	12.21	70.0	8.55
11/21/2018	mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.40	0.00	1.40	70.0	0.98
11/21/2018	mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.84	0.00	1.84	70.0	1.29
11/21/2018	mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.15	0.00	3.15	70.0	2.21
11/21/2018	mfc304115	HADDAD 304115	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.42	0.00	11.42	70.0	7.99
11/21/2018	mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.01	0.00	3.01	70.0	2.11
11/21/2018	mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.51	0.00	6.51	70.0	4.56
11/21/2018	mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.75	0.00	6.75	70.0	4.73
11/21/2018	mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	17.53	0.00	17.53	70.0	12.27
11/21/2018	mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	33.24	0.00	33.24	70.0	23.27
11/21/2018	mfc304115	HADDAD 304115	MFT THE INCOME FUND OF AMERICA-A	B	0.00	34.57	0.00	34.57	70.0	24.20
11/21/2018	mfc304115	HADDAD 304115	MFT THE INVESTMENT COMPANY OF	B	0.00	1.30	0.00	1.30	70.0	0.91
11/21/2018	mfc304115	HADDAD 304115	MFT THE INVESTMENT COMPANY OF	B	0.00	8.47	0.00	8.47	70.0	5.93
11/21/2018	mfc304115	HADDAD 304115	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.34	0.00	1.34	70.0	0.94
11/21/2018	mfc304115	HADDAD 304115	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.04	0.00	3.04	70.0	2.13
11/21/2018	mfc304115	HADDAD 304115	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.73	0.00	8.73	70.0	6.11

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$242.13	\$0.00	\$242.13		\$169.52
		*** CLEARING TRANSACTIONS TOTAL ***		\$0.00	\$242.13	\$0.00	\$242.13		\$169.52

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/23/2018	04001233996	DOHERTY, REBECCA	MF	AMERICAN BALANCED FUND-A	B	7,830.00	215.33	0.00	215.33	70.0	150.73
11/23/2018	04001233996	DOHERTY, REBECCA	MF	THE GROWTH FUND OF AMERICA-A	B	15,660.00	430.65	0.00	430.65	70.0	301.46
11/23/2018	04001233996	DOHERTY, REBECCA	MF	THE INCOME FUND OF AMERICA-A	B	133,110.00	3,660.53	0.00	3,660.53	70.0	2,562.37
* MUTUAL FUNDS SUBTOTAL *						\$156,600.00	\$4,306.51	\$0.00	\$4,306.51		\$3,014.56
*** DIRECT TRANSACTIONS TOTAL ***						\$156,600.00	\$4,306.51	\$0.00	\$4,306.51		\$3,014.56

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	2019 FINRA RENEWALS		0.00	0.00	0.00	0.00		-610.00
11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-665.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-665.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,184.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-665.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,519.08
Year-to-date Commissions	\$16,370.88