

COMMISSION STATEMENT

September 30, 2018

JOHN KILLEEN
306 SCHUNNEMUNK STREETBROKER #: W06
BRANCH: FULCRUM GROUP

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/28/2018	00061663272	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018	00061663272	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018	00061663272	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018	00061663272	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
08/28/2018	00061663272	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
09/14/2018	00061663273	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018	00061663273	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018	00061663273	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018	00061663273	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	00061663273	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
08/28/2018	00061736606	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
08/28/2018	00061736606	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
09/14/2018	00061736607	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	1,795.70	8.98	0.00	8.98	86.0	7.72
09/14/2018	00061736607	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	966.92	4.83	0.00	4.83	86.0	4.15
08/28/2018	00061736608	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018	00061736608	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018	00061736608	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
09/14/2018	00061736609	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	1,437.46	7.19	0.00	7.19	86.0	6.18
09/14/2018	00061736609	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	1,437.45	7.19	0.00	7.19	86.0	6.18
09/14/2018	00061736609	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,916.60	9.58	0.00	9.58	86.0	8.24
08/28/2018	00061736614	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
08/28/2018	00061736614	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
09/14/2018	00061736615	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	4,725.00	23.63	0.00	23.63	86.0	20.32
09/14/2018	00061736615	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	4,725.00	23.63	0.00	23.63	86.0	20.32
08/28/2018	00061736616	DAVIS, CAROL		AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
08/28/2018	00061736616	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018	00061736616	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
09/14/2018	00061736617	DAVIS, CAROL		AMCAP FUND-A	B	4,725.00	23.63	0.00	23.63	86.0	20.32
09/14/2018	00061736617	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018	00061736617	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,890.00	9.45	0.00	9.45	86.0	8.13
08/28/2018	00062829276	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45	86.0	0.39

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/28/2018	00062829276	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
08/28/2018	00062829276	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
08/28/2018	00062829276	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
09/14/2018	00062829277	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	1,610.55	8.05	0.00	8.05 86.0 6.92
09/14/2018	00062829277	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	2,415.82	12.08	0.00	12.08 86.0 10.39
09/14/2018	00062829277	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	1,610.55	8.05	0.00	8.05 86.0 6.92
09/14/2018	00062829277	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	2,415.82	12.08	0.00	12.08 86.0 10.39
08/28/2018	00063329982	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	71.01	0.36	0.00	0.36 86.0 0.31
08/28/2018	00063329982	DAVIS, CAROL		NEW WORLD FUND-A	B	71.01	0.36	0.00	0.36 86.0 0.31
08/28/2018	00063329982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	94.68	0.47	0.00	0.47 86.0 0.40
08/28/2018	00063362305	DAVIS, CAROL		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
08/28/2018	00063362305	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
08/28/2018	00063362305	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
08/28/2018	00063362305	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
08/28/2018	00063362305	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
08/28/2018	00063362311	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
08/28/2018	00063362311	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
08/28/2018	00063362311	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
08/28/2018	00063362311	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
08/28/2018	00063362311	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
08/28/2018	00063795979	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
08/28/2018	00063795979	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
08/28/2018	00063795979	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
09/14/2018	00063917150	DAVIS, CAROL		AMCAP FUND-A	B	1,890.00	9.45	0.00	9.45 86.0 8.13
09/14/2018	00063917150	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	945.00	4.73	0.00	4.73 86.0 4.07
09/14/2018	00063917150	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	2,835.00	14.18	0.00	14.18 86.0 12.19
09/14/2018	00063917150	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	1,890.00	9.45	0.00	9.45 86.0 8.13
09/14/2018	00063917150	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,890.00	9.45	0.00	9.45 86.0 8.13
09/14/2018	00063917156	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	2,362.50	11.81	0.00	11.81 86.0 10.16
09/14/2018	00063917156	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	1,890.00	9.45	0.00	9.45 86.0 8.13
09/14/2018	00063917156	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	945.00	4.73	0.00	4.73 86.0 4.07
09/14/2018	00063917156	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	1,890.00	9.45	0.00	9.45 86.0 8.13
09/14/2018	00063917156	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	2,362.50	11.81	0.00	11.81 86.0 10.16
08/28/2018	00064217122	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55
08/28/2018	00064217122	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
08/28/2018	00064217122	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
08/28/2018	00064217123	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
08/28/2018	00064217123	DAVIS, CAROL		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
08/28/2018	00064217123	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
08/28/2018	00064217123	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/28/2018	00064217123	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38	86.0 0.33
08/28/2018	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27	86.0 0.23
08/28/2018	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30	86.0 0.26
08/28/2018	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35	86.0 0.30
08/28/2018	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40	86.0 0.34
08/28/2018	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27	86.0 0.23
08/28/2018	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30	86.0 0.26
09/10/2018	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27	86.0 0.23
09/10/2018	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30	86.0 0.26
09/10/2018	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35	86.0 0.30
09/10/2018	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40	86.0 0.34
09/10/2018	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27	86.0 0.23
09/10/2018	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30	86.0 0.26
09/14/2018	00064910535	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	1,080.00	5.40	0.00	5.40	86.0 4.64
09/14/2018	00064910535	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	432.00	2.16	0.00	2.16	86.0 1.86
09/14/2018	00064910535	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	648.00	3.24	0.00	3.24	86.0 2.79
09/14/2018	00064910537	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	3,780.00	18.90	0.00	18.90	86.0 16.25
09/14/2018	00064910537	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	1,890.00	9.45	0.00	9.45	86.0 8.13
09/14/2018	00064910537	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	3,780.00	18.90	0.00	18.90	86.0 16.25
09/14/2018	00064910539	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	567.76	2.84	0.00	2.84	86.0 2.44
09/14/2018	00064910539	DAVIS, CAROL	NEW WORLD FUND-A	B	567.74	2.84	0.00	2.84	86.0 2.44
09/14/2018	00064910539	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	567.74	2.84	0.00	2.84	86.0 2.44
09/14/2018	00064910539	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	567.74	2.84	0.00	2.84	86.0 2.44
09/14/2018	00064910539	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	567.74	2.84	0.00	2.84	86.0 2.44
09/14/2018	00067823945	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	720.00	3.60	0.00	3.60	86.0 3.10
09/14/2018	00067823945	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	720.00	3.60	0.00	3.60	86.0 3.10
08/28/2018	00068080343	DAVIS, CAROL	AMCAP FUND-A	B	102.09	0.51	0.00	0.51	86.0 0.44
08/28/2018	00068080343	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0 0.44
08/28/2018	00068080343	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0 2.19
08/28/2018	00068080343	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0 0.44
08/28/2018	00068080343	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0 0.88
08/28/2018	00068086982	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62	86.0 0.53
08/28/2018	00068086982	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62	86.0 0.53
09/14/2018	00068950146	DAVIS, CAROL	AMCAP FUND-A	B	533.94	2.67	0.00	2.67	86.0 2.30
09/14/2018	00068950146	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	533.95	2.67	0.00	2.67	86.0 2.30
09/14/2018	00068950146	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	2,669.74	13.35	0.00	13.35	86.0 11.48
09/14/2018	00068950146	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	533.95	2.67	0.00	2.67	86.0 2.30
09/14/2018	00068950146	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,067.90	5.34	0.00	5.34	86.0 4.59
09/14/2018	00070001336	DAVIS, CAROL	AMCAP FUND-A	B	180.00	0.90	0.00	0.90	86.0 0.77
09/14/2018	00070001336	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	360.00	1.80	0.00	1.80	86.0 1.55

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/14/2018	00070001336	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018	00070001336	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018	00070001336	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	540.00	2.70	0.00	2.70	86.0	2.32
08/28/2018	00070001812	DAVIS, CAROL							
		AMCAP FUND-A	B	19.48	0.10	0.00	0.10	86.0	0.09
08/28/2018	00070001812	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19	86.0	0.16
08/28/2018	00070001812	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
08/28/2018	00070001812	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
08/28/2018	00070001812	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29	86.0	0.25
08/28/2018	00070004355	DAVIS, CAROL							
		AMCAP FUND-A	B	36.97	0.18	0.00	0.18	86.0	0.15
08/28/2018	00070004355	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17	86.0	0.15
08/28/2018	00070004355	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43	86.0	0.37
08/28/2018	00070004355	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31	86.0	0.27
08/28/2018	00070004355	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33	86.0	0.28
08/28/2018	00070004355	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39	86.0	0.34
09/14/2018	00070004356	DAVIS, CAROL							
		AMCAP FUND-A	B	513.37	2.57	0.00	2.57	86.0	2.21
09/14/2018	00070004356	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	462.03	2.31	0.00	2.31	86.0	1.99
09/14/2018	00070004356	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	1,180.74	5.90	0.00	5.90	86.0	5.07
09/14/2018	00070004356	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	872.72	4.36	0.00	4.36	86.0	3.75
09/14/2018	00070004356	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	924.06	4.62	0.00	4.62	86.0	3.97
09/14/2018	00070004356	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,078.07	5.39	0.00	5.39	86.0	4.64
08/28/2018	00070004361	DAVIS, CAROL							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.32
08/28/2018	00070004361	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19	86.0	0.16
08/28/2018	00070004361	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18	86.0	0.15
08/28/2018	00070004361	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
09/14/2018	00070004362	DAVIS, CAROL							
		AMCAP FUND-A	B	1,782.00	8.91	0.00	8.91	86.0	7.66
09/14/2018	00070004362	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	918.00	4.59	0.00	4.59	86.0	3.95
09/14/2018	00070004362	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	864.00	4.32	0.00	4.32	86.0	3.72
09/14/2018	00070004362	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,836.00	9.18	0.00	9.18	86.0	7.89
08/28/2018	00070005362	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	4.36	0.02	0.00	0.02	86.0	0.02
08/28/2018	00070005362	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	8.71	0.04	0.00	0.04	86.0	0.03
08/28/2018	00070005362	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	13.07	0.07	0.00	0.07	86.0	0.06
08/28/2018	00070005362	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	17.43	0.09	0.00	0.09	86.0	0.08
08/28/2018	00070005362	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	8.71	0.04	0.00	0.04	86.0	0.03
09/14/2018	00070005363	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	87.39	0.44	0.00	0.44	86.0	0.38
09/14/2018	00070005363	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	174.78	0.87	0.00	0.87	86.0	0.75
09/14/2018	00070005363	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	262.17	1.31	0.00	1.31	86.0	1.13
09/14/2018	00070005363	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	349.57	1.75	0.00	1.75	86.0	1.51
09/14/2018	00070005363	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	174.78	0.87	0.00	0.87	86.0	0.75
08/28/2018	00070005678	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	115.63	0.58	0.00	0.58	86.0	0.50
08/28/2018	00070005678	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	192.71	0.96	0.00	0.96	86.0	0.83

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/28/2018	00070005678	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	385.42	1.93	0.00	1.93	86.0	1.66
08/28/2018	00070005678	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
09/14/2018	00070005679	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	1,417.50	7.09	0.00	7.09	86.0	6.10
09/14/2018	00070005679	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	2,362.50	11.81	0.00	11.81	86.0	10.16
09/14/2018	00070005679	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	4,725.00	23.63	0.00	23.63	86.0	20.32
09/14/2018	00070005679	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
08/31/2018	00075952291	ZOTTOLA, MONICA		NEW PERSPECTIVE FUND-A	B	80.00	2.20	0.00	2.20	86.0	1.89
08/31/2018	00075952291	ZOTTOLA, MONICA		THE GROWTH FUND OF AMERICA-A	B	80.00	2.20	0.00	2.20	86.0	1.89
09/14/2018	00086545518	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	480.00	2.40	0.00	2.40	86.0	2.06
09/14/2018	00086545518	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018	00086545518	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018	00086545518	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018	00086545518	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	480.00	2.40	0.00	2.40	86.0	2.06
09/14/2018	00086545518	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	360.00	1.80	0.00	1.80	86.0	1.55
08/28/2018	00086545644	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
08/28/2018	00086545644	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018	00086545644	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018	00086545644	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018	00086545644	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
08/28/2018	00086545644	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018	00087384406	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	770.84	3.85	0.00	3.85	86.0	3.31
08/28/2018	00087384433	DAVIS, CAROL		AMCAP FUND-A	B	408.34	2.04	0.00	2.04	86.0	1.75
08/28/2018	00087384433	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	00087384433	DAVIS, CAROL		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	00087384433	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018	00087384433	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018	00087384446	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	88.15	0.44	0.00	0.44	86.0	0.38
08/28/2018	00087384446	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	66.12	0.33	0.00	0.33	86.0	0.28
08/28/2018	00087384446	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	66.11	0.33	0.00	0.33	86.0	0.28
08/28/2018	00087384464	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
08/28/2018	00087384478	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	375.00	1.88	0.00	1.88	86.0	1.62
08/28/2018	00087384478	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	375.00	1.88	0.00	1.88	86.0	1.62
08/28/2018	00087384507	DAVIS, CAROL		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
08/28/2018	00087384507	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
08/28/2018	00087384507	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
08/28/2018	00087384541	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018	00087384541	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018	00087384558	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	49.70	0.25	0.00	0.25	86.0	0.22
08/28/2018	00087384558	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.70	0.25	0.00	0.25	86.0	0.22
09/14/2018	00087384565	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	1,165.65	5.83	0.00	5.83	86.0	5.01

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/14/2018	00087384565	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,165.65	5.83	0.00	5.83	86.0	5.01
08/28/2018	00087842379	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018	00087842379	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	675.00	3.38	0.00	3.38	86.0	2.91
09/14/2018	00087842394	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	775.41	3.88	0.00	3.88	86.0	3.34
09/14/2018	00087842394	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	6,978.68	34.89	0.00	34.89	86.0	30.01
09/14/2018	00088828020	DAVIS, CAROL	AMCAP FUND-A	B	220.54	1.10	0.00	1.10	86.0	0.95
09/14/2018	00088828020	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	551.35	2.76	0.00	2.76	86.0	2.37
09/14/2018	00088828020	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	661.62	3.31	0.00	3.31	86.0	2.85
09/14/2018	00088828020	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	551.35	2.76	0.00	2.76	86.0	2.37
09/14/2018	00088828020	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	220.54	1.10	0.00	1.10	86.0	0.95
08/28/2018	00088877759	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20
08/28/2018	00088877759	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
09/14/2018	00088877771	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	2,085.05	10.43	0.00	10.43	86.0	8.97
09/14/2018	00088877771	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	6,255.16	31.28	0.00	31.28	86.0	26.90
09/14/2018	00089364755	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	6,951.27	34.76	0.00	34.76	86.0	29.89
09/14/2018	00089364772	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	6,326.49	31.63	0.00	31.63	86.0	27.20
09/14/2018	00089364818	DAVIS, CAROL	AMCAP FUND-A	B	48.00	0.24	0.00	0.24	86.0	0.21
09/14/2018	00089364818	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	240.00	1.20	0.00	1.20	86.0	1.03
09/14/2018	00089364818	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	192.00	0.96	0.00	0.96	86.0	0.83
09/14/2018	00089364843	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	817.32	4.09	0.00	4.09	86.0	3.52
09/14/2018	00089364843	DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	B	612.99	3.06	0.00	3.06	86.0	2.63
09/14/2018	00089364843	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	612.99	3.06	0.00	3.06	86.0	2.63
09/14/2018	00089364861	DAVIS, CAROL	AMCAP FUND-A	B	3,780.00	18.90	0.00	18.90	86.0	16.25
09/14/2018	00089364861	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	00089364861	DAVIS, CAROL	NEW WORLD FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	00089364861	DAVIS, CAROL	THE BOND FUND OF AMERICA-A	B	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018	00089364861	DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	B	1,890.00	9.45	0.00	9.45	86.0	8.13
08/27/2018	00089364874	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	4,725.00	23.63	0.00	23.63	86.0	20.32
08/27/2018	00089364874	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	4,725.00	23.63	0.00	23.63	86.0	20.32
09/14/2018	00089364889	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	1,177.09	5.89	0.00	5.89	86.0	5.07
09/14/2018	00089364889	DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,177.08	5.89	0.00	5.89	86.0	5.07
09/14/2018	00089378181	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	442.94	2.21	0.00	2.21	86.0	1.90
09/14/2018	00089378181	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	147.65	0.74	0.00	0.74	86.0	0.64
09/14/2018	00089378181	DAVIS, CAROL	NEW WORLD FUND-A	B	295.29	1.48	0.00	1.48	86.0	1.27
09/14/2018	00089378181	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	295.29	1.48	0.00	1.48	86.0	1.27
09/14/2018	00089378181	DAVIS, CAROL	THE NEW ECONOMY FUND-A	B	295.29	1.48	0.00	1.48	86.0	1.27
08/28/2018	00089378227	DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	B	30.17	0.15	0.00	0.15	86.0	0.13
08/28/2018	00089378227	DAVIS, CAROL	NEW PERSPECTIVE FUND-A	B	10.05	0.05	0.00	0.05	86.0	0.04
08/28/2018	00089378227	DAVIS, CAROL	NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
08/28/2018	00089378227	DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10	86.0	0.09

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/28/2018	00089378227	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
09/14/2018	00089378249	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	1,056.13	5.28	0.00	5.28	86.0	4.54
09/14/2018	00089378249	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	1,056.13	5.28	0.00	5.28	86.0	4.54
09/14/2018	00089378249	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	704.10	3.52	0.00	3.52	86.0	3.03
09/14/2018	00089378249	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	352.04	1.76	0.00	1.76	86.0	1.51
09/14/2018	00089378249	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	352.04	1.76	0.00	1.76	86.0	1.51
08/28/2018	00089378259	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.20
08/28/2018	00089378259	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.20
08/28/2018	00089378259	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.13
08/28/2018	00089378259	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
08/28/2018	00089378259	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
08/28/2018	00089378412	DAVIS, CAROL							
		AMCAP FUND-A	B	17.94	0.09	0.00	0.09	86.0	0.08
08/28/2018	00089378412	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22	86.0	0.19
08/28/2018	00089378412	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27	86.0	0.23
08/28/2018	00089378412	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22	86.0	0.19
08/28/2018	00089378412	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09	86.0	0.08
09/14/2018	00089378427	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	360.00	1.80	0.00	1.80	86.0	1.55
09/11/2018	04000113773	VARIOUS, KILLEEN							
		AMERICAN FUND	B	0.00	89.23	0.00	89.23	86.0	76.74
09/13/2018	04000113773	VARIOUS, KILLEEN							
		AMERICAN FUND	B	0.00	10,210.64	0.00	10,210.64	86.0	8,781.15
09/25/2018	04000113773	VARIOUS, KILLEEN							
		02630R203	B	0.00	525.37	0.00	525.37	86.0	451.82
08/28/2018	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
09/14/2018	04000114011	DAVIS, CAROL							
		AMCAP FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		EUROPACIFIC GROWTH FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		NEW WORLD FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		THE GROWTH FUND OF AMERICA-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		THE INVESTMENT COMPANY OF AMERICA-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018	04000114011	DAVIS, CAROL							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/28/2018	04000493953	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
08/28/2018	04000493953	DAVIS, CAROL		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
08/28/2018	04000493953	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
08/28/2018	04000493953	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
08/28/2018	04000493953	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
08/28/2018	04000772673	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
08/28/2018	04000772673	VARIOUS, KILLEEN		THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
08/28/2018	04000772673	VARIOUS, KILLEEN		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
08/28/2018	04000772673	VARIOUS, KILLEEN		THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
08/28/2018	04000772673	VARIOUS, KILLEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
08/28/2018	04000938747	DAWSON, PETER		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018	04000938747	DAWSON, PETER		NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018	04000938747	DAWSON, PETER		NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018	04000938747	DAWSON, PETER		THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018	04000998747	DAVIS, CAROL		AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
08/28/2018	04000998747	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
08/28/2018	04000998747	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
08/28/2018	04000998747	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
				* MUTUAL FUNDS SUBTOTAL *		\$200,651.70	\$11,835.15	\$0.00	\$11,835.15		\$10,178.37
PRODUCT CATEGORY: VARIABLE ANNUITIES											
09/05/2018	(None)	VARIOUS, KILLEEN		NAT'L INTEGRITY	B	0.00	71.16	0.00	71.16	86.0	61.20
09/25/2018	(None)	VARIOUS, KILLEEN		NAT'L INTEGRITY	B	0.00	3,089.59	0.00	3,089.59	86.0	2,657.05
				* VARIABLE ANNUITIES SUBTOTAL *		\$0.00	\$3,160.75	\$0.00	\$3,160.75		\$2,718.25
				*** BROKER TRANSACTIONS TOTAL ***		\$200,651.70	\$14,995.90	\$0.00	\$14,995.90		\$12,896.62
ADJUSTMENTS											
09/30/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00				-200.00
09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00				-20.00
09/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00				-8.00
09/30/2018		OTHER: VIRTRU		0.00	0.00	0.00	0.00				-7.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$12,896.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5.50
FINRA Assessment	\$-18.74
SIPC Assessment	\$-37.49

Check Amount	\$12,599.89
Year-to-date Earnings	\$101,069.35