



COMMISSION STATEMENT

October 22, 2018

BARRY BRESIN
11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/01/2018	***-**-805P - HALM, MATILDA	AIM CHARTER FUND - CLASS A	0.00	91.28	0.00	91.28	80.0	73.02
	ACCOUNT #: 01001312723	BUY 0 Units @0.0000						
10/01/2018	***-**-805P - HALM, MATILDA	AIM MID-CAP EQUITY FUND CLASS A	0.00	14.30	0.00	14.30	80.0	11.44
	ACCOUNT #: 01001312723	BUY 0 Units @0.0000						
10/22/2018	***-**-21IN - BRESIN, EMMA	SAMMONS LIVEWELL MUTUAL FUND	0.00	196.45	0.00	196.45	80.0	157.16
	ACCOUNT #: 7200036096	BUY 0 Units @0.0000						
10/22/2018	***-**-939I - BRESIN, HOWARD	SAMMONS LIVEWELL MUTUAL FUND	0.00	332.84	0.00	332.84	80.0	266.27
	ACCOUNT #: 7200036177	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$634.87	\$0.00	\$634.87		\$507.89
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$634.87	\$0.00	\$634.87		\$507.89

BROKER COMMISSION TOTALS

Broker Transactions	\$507.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$507.89
Year-to-date Earnings	\$46,032.85