

# COMMISSION STATEMENT

September 30, 2018

 CHARLES BELL-D004  
 7058 Lakeview Haven Drive #123

 BROKER #: D004  
 BRANCH: DMN

Houston, TX 77095

## COMMISSION STATEMENT for CHARLES BELL-D004

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
09/17/2018 368356118	DAVIS, RAY	MF THE GROWTH FUND OF AMERICA-A	S	15,402.45	423.50	2.50	421.00	87.5	368.38
08/31/2018 368432242	PUTNAM, ANNA	MF PIONEER FUND A	B	-4,787.55	0.00	5.00	-5.00	87.5	-4.38
09/20/2018 368432242	PUTNAM, ANNA	MF PIONEER FUND A	B	-4,987.55	0.00	5.00	-5.00	87.5	-4.38
09/20/2018 368432242	PUTNAM, ANNA	MF PIONEER FUND A	B	4,987.55	0.00	-5.00	5.00	87.5	4.38
09/20/2018 368432242	PUTNAM, ANNA	MF PIONEER FUND A	B	-4,997.55	0.00	5.00	-5.00	87.5	-4.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$5,617.35</b>	<b>\$423.50</b>	<b>\$12.50</b>	<b>\$411.00</b>		<b>\$359.62</b>
<b>PRODUCT CATEGORY: STOCKS</b>									
09/20/2018 214748816	DUNN, WANDA	S NETFLIX.COM INC	B	103,651.08	1,581.01	15.00	1,566.01	87.5	1,370.26
09/20/2018 214748816	DUNN, WANDA	S NVIDIA CORPORATION	B	102,357.06	1,561.89	15.00	1,546.89	87.5	1,353.53
09/20/2018 214748816	DUNN, WANDA	S THE COCA-COLA COMPANY	B	103,029.30	1,571.83	15.00	1,556.83	87.5	1,362.23
09/20/2018 214748816	DUNN, WANDA	S VALERO ENERGY CORP	B	151,958.23	2,294.91	15.00	2,279.91	87.5	1,994.92
09/20/2018 214807310	DUNN TTEE, WANDA	S CHEVRON CORPORATION	B	104,189.25	1,588.97	15.00	1,573.97	87.5	1,377.22
09/20/2018 214807310	DUNN TTEE, WANDA	S INTEL CORPORATION	S	-85,402.31	1,351.36	15.00	1,336.36	87.5	1,169.32
09/20/2018 214807310	DUNN TTEE, WANDA	S NETFLIX.COM INC	B	103,850.25	1,583.96	15.00	1,568.96	87.5	1,372.84
09/20/2018 214807310	DUNN TTEE, WANDA	S NVIDIA CORPORATION	B	60,667.49	945.79	15.00	930.79	87.5	814.44
09/20/2018 214807310	DUNN TTEE, WANDA	S THE COCA-COLA COMPANY	B	102,556.92	1,564.84	15.00	1,549.84	87.5	1,356.11
<b>* STOCKS SUBTOTAL *</b>				<b>\$746,857.27</b>	<b>\$14,044.56</b>	<b>\$135.00</b>	<b>\$13,909.56</b>		<b>\$12,170.87</b>
<b>PRODUCT CATEGORY: MISCELLANEOUS</b>									
09/04/2018 a03815987	BELL-D004, CHARLES	MI MUTUAL FUND MISC FEE 368432242	S	0.00	10.00	0.00	10.00	87.5	8.75
09/21/2018 a03815987	BELL-D004, CHARLES	MI MUTUAL FUND MISC FEE 368432242	S	0.00	10.00	0.00	10.00	87.5	8.75
09/26/2018 a03815987	BELL-D004, CHARLES	MI MUTUAL FUND MISC FEE 368432242	S	0.00	-10.00	0.00	-10.00	87.5	-8.75
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$10.00</b>	<b>\$0.00</b>	<b>\$10.00</b>		<b>\$8.75</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/21/2018 mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.63	0.00	0.63	87.5	0.55
09/21/2018 mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	9.47	0.00	9.47	87.5	8.29
09/21/2018 mfc815987	BELL 815987	MFT AMERICAN BALANCED FUND-A	B	0.00	4.27	0.00	4.27	87.5	3.74
09/21/2018 mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	3.72	0.00	3.72	87.5	3.26
09/21/2018 mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	6.88	0.00	6.88	87.5	6.02
09/21/2018 mfc815987	BELL 815987	MFT EHV 277911772	B	0.00	20.04	0.00	20.04	87.5	17.54

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09/21/2018	mfc815987 BELL 815987	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	1.55	0.00	1.55	87.5	1.36
09/21/2018	mfc815987 BELL 815987	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	2.42	0.00	2.42	87.5	2.12
09/21/2018	mfc815987 BELL 815987	MFT FRK AGE HIGH INC FND - CL A	B	0.00	2.03	0.00	2.03	87.5	1.78
09/21/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.96	0.00	6.96	87.5	6.09
09/21/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.21	0.00	0.21	87.5	0.18
09/21/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.81	0.00	2.81	87.5	2.46
09/21/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	9.71	0.00	9.71	87.5	8.50
09/21/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	24.68	0.00	24.68	87.5	21.60
09/21/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	10.78	0.00	10.78	87.5	9.43
09/21/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	20.25	0.00	20.25	87.5	17.72
09/21/2018	mfc815987 BELL 815987	MFT PIONEER INDEPENDENCE FD CL A	B	0.00	23.32	0.00	23.32	87.5	20.41
09/21/2018	mfc815987 BELL 815987	MFT PIONEER SELECT MID CAP GROWTH	B	0.00	33.76	0.00	33.76	87.5	29.54
09/21/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.21	0.00	0.21	87.5	0.18
09/21/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.93	0.00	2.93	87.5	2.56
09/21/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.89	0.00	5.89	87.5	5.15
09/21/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	2.80	0.00	2.80	87.5	2.45
09/21/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	3.66	0.00	3.66	87.5	3.20
09/21/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.82	0.00	2.82	87.5	2.47
09/21/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.15	0.00	3.15	87.5	2.76
09/21/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.14	0.00	5.14	87.5	4.50
09/24/2018	mfc815987 BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.60	0.00	0.60	87.5	0.53
09/24/2018	mfc815987 BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	8.94	0.00	8.94	87.5	7.82
09/24/2018	mfc815987 BELL 815987	MFT AMERICAN BALANCED FUND-A	B	0.00	4.02	0.00	4.02	87.5	3.52
09/24/2018	mfc815987 BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	3.53	0.00	3.53	87.5	3.09
09/24/2018	mfc815987 BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	6.52	0.00	6.52	87.5	5.71
09/24/2018	mfc815987 BELL 815987	MFT EHV 277911772	B	0.00	18.73	0.00	18.73	87.5	16.39
09/24/2018	mfc815987 BELL 815987	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	1.45	0.00	1.45	87.5	1.27
09/24/2018	mfc815987 BELL 815987	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	2.27	0.00	2.27	87.5	1.99
09/24/2018	mfc815987 BELL 815987	MFT FRK AGE HIGH INC FND - CL A	B	0.00	1.91	0.00	1.91	87.5	1.67
09/24/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.45	0.00	0.45	87.5	0.39
09/24/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.65	0.00	2.65	87.5	2.32
09/24/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.55	0.00	6.55	87.5	5.73
09/24/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	9.18	0.00	9.18	87.5	8.03
09/24/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	23.34	0.00	23.34	87.5	20.42
09/24/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	10.19	0.00	10.19	87.5	8.92
09/24/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	19.19	0.00	19.19	87.5	16.79
09/24/2018	mfc815987 BELL 815987	MFT PIONEER INDEPENDENCE FD CL A	B	0.00	21.97	0.00	21.97	87.5	19.22
09/24/2018	mfc815987 BELL 815987	MFT PIONEER SELECT MID CAP GROWTH	B	0.00	32.49	0.00	32.49	87.5	28.43
09/24/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.76	0.00	2.76	87.5	2.42
09/24/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.55	0.00	5.55	87.5	4.86
09/24/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.45	0.00	0.45	87.5	0.39
09/24/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	2.63	0.00	2.63	87.5	2.30
09/24/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	3.45	0.00	3.45	87.5	3.02
09/24/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.67	0.00	2.67	87.5	2.34

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09/24/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.98	0.00	2.98	87.5	2.61
09/24/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.88	0.00	4.88	87.5	4.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$409.44	\$0.00	\$409.44		\$358.31
*** CLEARING TRANSACTIONS TOTAL ***				\$752,474.62	\$14,887.50	\$147.50	\$14,740.00		\$12,897.55

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018	(None) SEGAL, CAROL BYER	MFT EATON VANCE	B	0.00	99.51	0.00	99.51	87.5	87.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$99.51	\$0.00	\$99.51		\$87.07
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$99.51	\$0.00	\$99.51		\$87.07

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
09/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		323.88
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$138.88
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$138.88

BROKER COMMISSION TOTALS

Broker Transactions	\$12,984.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$138.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$13,123.50
Year-to-date Commissions	\$158,076.60