



COMMISSION STATEMENT

September 17, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/11/2018	***-**-58TR - HOUSING ACCOUNT #: LSR010838	GLOBAL OPPORTUNITIES A BUY 25 Units @79.8700	2,000.02	95.00	18.00	77.00	75.0	57.75
09/10/2018	***-**-00TR - BRITT, MRS RUTH ACCOUNT #: LSR010582	THE GROWTH FUND OF AMERICA-A BUY 188 Units @58.6200	10,999.98	550.00	18.00	532.00	75.0	399.00
* MUTUAL FUNDS SUBTOTAL *			\$13,000.00	\$645.00	\$36.00	\$609.00		\$456.75
<u>PRODUCT CATEGORY: STOCKS</u>								
09/07/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	CANOPY GROWTH CORPORATION COM NPV I BUY 95 Units @52.0580	4,945.51	10.00	8.99	1.01	75.0	0.76
09/07/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000313	CANOPY GROWTH CORPORATION COM NPV I BUY 110 Units @52.1500	5,736.50	10.00	8.99	1.01	75.0	0.76
09/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @52.6400	5,264.00	70.00	18.00	52.00	75.0	39.00
09/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @52.6600	5,266.00	70.00	0.00	70.00	75.0	52.50
09/10/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	CANOPY GROWTH CORPORATION COM NPV I BUY 200 Units @52.6530	10,530.60	140.00	18.00	122.00	75.0	91.50
09/10/2018	***-**-97IO - STATES, MARY ACCOUNT #: LSR011661	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @52.7350	5,273.50	135.00	18.00	117.00	75.0	87.75
09/10/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	CANOPY GROWTH CORPORATION COM NPV I BUY 200 Units @52.7300	10,546.00	150.00	18.00	132.00	75.0	99.00
09/13/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	CANOPY GROWTH CORPORATION COM NPV I SELL 95 Units @49.2320	4,677.04	10.00	8.99	1.01	75.0	0.76
09/13/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000313	CANOPY GROWTH CORPORATION COM NPV I SELL 110 Units @49.5300	5,448.30	10.00	8.99	1.01	75.0	0.76
09/10/2018	***-**-97IO - STATES, MARY ACCOUNT #: LSR011661	CISCO SYSTEMS INC SELL 120 Units @47.0310	5,643.72	135.00	18.00	117.00	75.0	87.75
09/13/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	CISCO SYSTEMS INC BUY 98 Units @47.1990	4,625.50	10.00	8.99	1.01	75.0	0.76
09/10/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	EXPRESS SCRIPTS HLDG CO COM UNSOLIC SELL 200 Units @90.8150	18,163.00	175.00	18.00	157.00	75.0	117.75
09/07/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	FACEBOOK INC COM USD0.000006 CL A SELL 30 Units @163.4360	4,903.08	10.00	9.29	0.71	75.0	0.53



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
09/10/2018	***-**-00TR - BRITT, MRS RUTH	MICROSOFT CORP UNSOLICITED ORDER EX	10,841.50	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010582	SELL 100 Units @108.4150						
09/13/2018	***-**-66IR - STATES, FBO MR	MICROSOFT CORP UNSOLICITED ORDER EX	9,842.75	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000280	BUY 87 Units @113.1350						
09/13/2018	***-**-66IN - STATES, MR	MICROSOFT CORP UNSOLICITED ORDER EX	5,437.68	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000313	BUY 48 Units @113.2850						
09/07/2018	***-**-66IN - STATES, MR	NEKTAR THERAPEUTICS	5,877.63	10.00	9.89	0.11	75.0	0.08
	ACCOUNT #: LSF000313	SELL 90 Units @65.3070						
09/13/2018	***-**-66IR - STATES, FBO MR	NEKTAR THERAPEUTICS	6,165.00	6.25	9.99	-3.74	100.0	-3.74
	ACCOUNT #: LSF000280	SELL 100 Units @61.6500						
09/13/2018	***-**-66IR - STATES, FBO MR	NEKTAR THERAPEUTICS	3,147.21	3.19	9.50	-6.31	100.0	-6.31
	ACCOUNT #: LSF000280	SELL 51 Units @61.7100						
09/13/2018	***-**-66IR - STATES, FBO MR	NEKTAR THERAPEUTICS	554.85	0.56	9.08	-8.52	100.0	-8.52
	ACCOUNT #: LSF000280	SELL 9 Units @61.6500						
* STOCKS SUBTOTAL *			<u>\$132,889.37</u>	<u>\$1,125.00</u>	<u>\$236.68</u>	<u>\$888.32</u>		<u>\$661.61</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$145,889.37</u>	<u>\$1,770.00</u>	<u>\$272.68</u>	<u>\$1,497.32</u>		<u>\$1,118.36</u>



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$1,118.36
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$1,118.36
Year-to-date Earnings								\$64,549.19