

COMMISSION STATEMENT

October 31, 2018

IRENE SULLIVAN
303 BEVERLEY ROAD
APT 9C
BROOKLYN, NY 11218

BROKER #: IS1
BRANCH: HOME OFFICE

COMMISSION STATEMENT for IRENE SULLIVAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/23/2018	75501025	HARRISON, JUDITH	EATON VANCE TAX MANAGED GROWTH FUND S	13,894.94	50.00	25.00	25.00	80.0	20.00
			* MUTUAL FUNDS SUBTOTAL *	\$13,894.94	\$50.00	\$25.00	\$25.00		\$20.00
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2018	75500768	BLASIUS, CHARLES	LEGGETT & PLATT INC	57,900.00	1,334.00	25.00	1,309.00	80.0	1,047.20
10/25/2018	75500768	BLASIUS, CHARLES	NEWELL BRANDS INC SHS	57,995.00	1,331.00	25.00	1,306.00	80.0	1,044.80
10/29/2018	75500768	BLASIUS, CHARLES	CARDINAL HEALTH INC	50,870.00	1,176.00	25.00	1,151.00	80.0	920.80
10/29/2018	75500768	BLASIUS, CHARLES	CONSOLIDATED EDISON INC	53,718.00	1,243.00	25.00	1,218.00	80.0	974.40
10/25/2018	75500846	YELLAND, MARGARET	AT&T INC	44,842.50	1,037.00	25.00	1,012.00	80.0	809.60
10/29/2018	75500846	YELLAND, MARGARET	CARDINAL HEALTH INC	50,860.00	1,174.00	25.00	1,149.00	80.0	919.20
10/29/2018	75500847	YELLAND, MARGARET	LEGGETT & PLATT INC	11,900.00	294.00	25.00	269.00	80.0	215.20
10/29/2018	75500847	YELLAND, MARGARET	NEWELL BRANDS INC SHS	17,391.00	422.00	25.00	397.00	80.0	317.60
10/25/2018	75501025	HARRISON, JUDITH	COCA COLA COMPANY (THE)	23,200.00	549.00	25.00	524.00	80.0	419.20
10/25/2018	75501026	HARRISON, JUDITH	DOMINION RESOURCES INC VA NEW	21,885.00	521.00	25.00	496.00	80.0	396.80
10/25/2018	75501026	HARRISON, JUDITH	DUKE ENERGY CORPORATION HOLDING COM B	12,582.00	311.00	25.00	286.00	80.0	228.80
10/29/2018	75501042	DUFFY, TRENT	CARDINAL HEALTH INC	15,294.00	373.00	25.00	348.00	80.0	278.40
10/29/2018	75501042	DUFFY, TRENT	LEGGETT & PLATT INC	15,628.50	381.00	25.00	356.00	80.0	284.80
10/25/2018	75501043	DUFFY, TRENT	AT&T INC	20,928.04	498.00	25.00	473.00	80.0	378.40
10/25/2018	75501131	CAMPOS, CHRISTOPHER	AT&T INC	35,780.76	834.00	25.00	809.00	80.0	647.20
10/25/2018	75501131	CAMPOS, CHRISTOPHER	PPL CORPORATION	24,400.00	576.00	25.00	551.00	80.0	440.80
10/25/2018	75501131	CAMPOS, CHRISTOPHER	WALGREEN BOOTS ALLIANCE INC COM	30,163.52	708.00	25.00	683.00	80.0	546.40
10/29/2018	75501131	CAMPOS, CHRISTOPHER	NEWELL BRANDS INC SHS	15,400.00	376.00	25.00	351.00	80.0	280.80
10/25/2018	75501329	DUFFY, TRENT	AT&T INC	20,926.50	498.00	25.00	473.00	80.0	378.40
			* STOCKS SUBTOTAL *	\$581,664.82	\$13,636.00	\$475.00	\$13,161.00		\$10,528.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018			FEE COUNT - 44	0.00	693.41	0.00	693.41	80.0	554.72
			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$693.41	\$0.00	\$693.41		\$554.72
			*** BROKER TRANSACTIONS TOTAL ***	\$595,559.76	\$14,379.41	\$500.00	\$13,879.41		\$11,103.52

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: OFFICE SUPPLIES		0.00	0.00	0.00	0.00		-40.00
10/31/2018		OTHER: RBC		0.00	0.00	0.00	0.00		-95.00
				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-163.00</u>
				*** ADJUSTMENTS TOTAL ***					

BROKER COMMISSION TOTALS

Broker Transactions	\$11,103.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-163.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-17.97
SIPC Assessment	\$-35.95

Check Amount	\$10,886.60
Year-to-date Earnings	\$105,489.00