



COMMISSION STATEMENT

October 15, 2018

GARRETT MORETZ
181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/04/2018	***-**-7260 - FARZALO, STEVE	EATON VANCE NATIONAL MUNICIPAL FUND	6,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011965	SELL 624 Units @9.6200						
		* MUTUAL FUNDS SUBTOTAL *	\$6,000.00	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
10/10/2018	***-**-065I - MCINTYRE, SHARON	AMERICAN FIN TR INC COM	1,469.40	10.79	18.00	-7.21	100.0	-7.21
	ACCOUNT #: LSR012261	SELL 100 Units @14.6940						
10/10/2018	***-**-065I - MCINTYRE, SHARON	AMERICAN FIN TR INC COM	470.21	13.62	0.00	13.62	82.0	11.17
	ACCOUNT #: LSR012261	SELL 32 Units @14.6940						
10/10/2018	***-**-065I - MCINTYRE, SHARON	AMERICAN FIN TR INC COM	426.13	7.25	0.00	7.25	82.0	5.95
	ACCOUNT #: LSR012261	SELL 29 Units @14.6940						
10/10/2018	***-**-065I - MCINTYRE, SHARON	AMERICAN FIN TR INC COM	1,469.40	24.97	0.00	24.97	82.0	20.48
	ACCOUNT #: LSR012261	SELL 100 Units @14.6940						
10/10/2018	***-**-065I - MCINTYRE, SHARON	AMERICAN FIN TR INC COM	29.39	24.38	0.00	24.38	82.0	19.99
	ACCOUNT #: LSR012261	SELL 2 Units @14.6940						
10/10/2018	***-**-50IR - JONES, MICHAEL	AMERICAN FIN TR INC COM	631.84	40.24	18.00	22.24	82.0	18.24
	ACCOUNT #: LSR012331	SELL 43 Units @14.6940						
		* STOCKS SUBTOTAL *	\$4,496.37	\$121.25	\$36.00	\$85.25		\$68.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/15/2018	***-**-671I - BEDDOW, DONALD	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	96.97	0.00	96.97	82.0	79.52
	ACCOUNT #: 00091150349	BUY 0 Units @0.0000						
10/01/2018	***-**-5203 - ALLRED, PATSY	MFS HIGH INCOME FUND-A	0.00	5.44	0.00	5.44	82.0	4.46
	ACCOUNT #: 08183000762	BUY 0 Units @0.0000						
10/01/2018	***-**-8941 - COOPER, JOHN	MFS VIRGINIA MUNI BOND FUND-A	0.00	5.78	0.00	5.78	82.0	4.74
	ACCOUNT #: 08189772708	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$108.19	\$0.00	\$108.19		\$88.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/28/2018	***-**-1642 - ANDREJACK, DAVID	AXA EQUI VA 00248C360	0.00	69.09	0.00	69.09	82.0	56.65
	ACCOUNT #: 315039770	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$69.09	\$0.00	\$69.09		\$56.65



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ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$10,496.37	\$298.53	\$54.00	\$244.53		\$195.99

BROKER COMMISSION TOTALS

Broker Transactions	\$195.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$195.99
Year-to-date Earnings	\$65,811.08