

**COMMISSION STATEMENT**

October 31, 2018

MELTON DPM

BROKER #:

BRANCH: DPM

, TX

**COMMISSION STATEMENT for MELTON DPM**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

10/23/2018 646738309	RAPER, MICHAEL	MF	ALGER SMALL CAP FOCUS FD CL Z	S	18,002.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 646738309	RAPER, MICHAEL	MF	AMERICAN FDS FUNDAMENTAL INVSTR	S	33,002.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 646738309	RAPER, MICHAEL	MF	BLACKROCK MIDCAP GROWTH EQUITY	S	27,002.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 646738309	RAPER, MICHAEL	MF	FIDELITY ADV INTL GROWTH INST	S	22,502.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 646738309	RAPER, MICHAEL	MF	MFS VALUE FD CL I	S	22,502.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 646738309	RAPER, MICHAEL	MF	T ROWE PRICE BLUE CHIP GROWTH	S	45,002.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 646738309	RAPER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-F2	S	34,002.45	0.00	5.00	-5.00	0.0	0.00
10/22/2018 676363474	SMITH, RANDY LOUIS	MF	ALGER SMALL CAP FOCUS FD CL Z	S	4,002.45	0.00	5.00	-5.00	0.0	0.00
10/22/2018 676363474	SMITH, RANDY LOUIS	MF	FIDELITY ADV INTL GROWTH INST	S	10,002.45	0.00	5.00	-5.00	0.0	0.00
10/22/2018 676363474	SMITH, RANDY LOUIS	MF	THE GROWTH FUND OF AMERICA-F2	S	12,002.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 676363474	SMITH, RANDY LOUIS	MF	BLACKROCK MIDCAP GROWTH EQUITY	S	6,002.45	0.00	5.00	-5.00	0.0	0.00
10/23/2018 676363474	SMITH, RANDY LOUIS	MF	T ROWE PRICE BLUE CHIP GROWTH	S	17,002.45	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$251,029.40	\$0.00	\$60.00	\$-60.00		\$0.00

**PRODUCT CATEGORY: STOCKS**

10/15/2018 646738309	RAPER, MICHAEL	S	INVESCO EXCHANGE-TRADED FD TR	S	-2,157.90	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	ISHARES JP MORGAN USD EMERGING	S	-1,040.75	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	ISHARES MSCI EAFE SMALL CAP	S	-1,082.26	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	ISHARES S&P WORLD EX-US	S	-2,285.93	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	SPDR BLOOMBERG BARCLAYS HIGH	S	-2,215.25	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD FTSE EMERGING MARKETS	S	-6,817.91	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD GROWTH ETF (FORMERLY)	S	-54,944.21	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD MSCI EAFE ETF	S	-27,603.62	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD MID-CAP GROWTH INDEX	S	-21,631.97	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD MID-CAP VALUE INDEX	S	-14,715.92	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD REIT ETF	S	-9,249.83	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD SMALL-CAP VALUE ETF	S	-16,030.21	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD SMALL -CAP GROWTH ETF	S	-9,028.48	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD TOTAL BOND MARKET ETF	S	-21,515.82	15.00	15.00	0.00	0.0	0.00
10/15/2018 646738309	RAPER, MICHAEL	S	VANGUARD VALUE ETF (FORMERLY)	S	-35,709.00	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *					\$-226,029.06	\$225.00	\$225.00	\$0.00		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/19/2018	mfc909637	DPM MELTON 909637	MFT BLACKROCK MULTI-ASSET INCOME FD B	0.00	1.33	0.00	1.33	0.0	0.00
10/19/2018	mfc909637	DPM MELTON 909637	MFT BLACKROCK MULTI-ASSET INCOME FD B	0.00	2.44	0.00	2.44	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.77	\$0.00	\$3.77		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$25,000.34	\$228.77	\$285.00	\$-56.23		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00