

# COMMISSION STATEMENT May 31, 2018

JOY LAWRENCE-D098

 BROKER #: D098  
 BRANCH: DMA

, TX

## COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
05/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25 50.0 0.13
05/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25 50.0 0.13
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$204.90</b>	<b>\$5.50</b>	<b>\$5.00</b>	<b>\$0.50 \$0.26</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT AB HIGH INCOME A	B	0.00	4.97	0.00	4.97 50.0 2.49
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	2.49	0.00	2.49 50.0 1.25
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.94	0.00	0.94 50.0 0.47
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	12.65	0.00	12.65 50.0 6.33
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.89	0.00	3.89 50.0 1.95
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN GLOBAL	B	0.00	24.09	0.00	24.09 50.0 12.05
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	21.48	0.00	21.48 50.0 10.74
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.95	0.00	1.95 50.0 0.98
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT EATON VANCE GOVT OBLIGATIONS	B	0.00	6.23	0.00	6.23 50.0 3.12
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT EMPIRIC 2500 FD A	B	0.00	6.89	0.00	6.89 50.0 3.45
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR LARGE CAP	B	0.00	8.10	0.00	8.10 50.0 4.05
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	7.93	0.00	7.93 50.0 3.97
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	21.77	0.00	21.77 50.0 10.89
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.57	0.00	18.57 50.0 9.29
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT MFS MID CAP GROWTH FUND-C	B	0.00	16.58	0.00	16.58 50.0 8.29
05/21/2018	mfc946954	LAWRENCE JOY	946954	MFT PUTNAM 746802800	B	0.00	13.09	0.00	13.09 50.0 6.55
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$171.62</b>	<b>\$0.00</b>	<b>\$171.62 \$85.87</b>
				<b>*** CLEARING TRANSACTIONS TOTAL ***</b>		<b>\$204.90</b>	<b>\$177.12</b>	<b>\$5.00</b>	<b>\$172.12 \$86.13</b>

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

05/31/2018		MONEY MARKET TRAILS: MONEY		0.00	0.00	0.00	0.00		9.83
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$9.83

BROKER COMMISSION TOTALS

Broker Transactions	\$86.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$9.83
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$95.96
Year-to-date Commissions	\$9,874.55