



COMMISSION STATEMENT

October 22, 2018

JOHN BUSEY
12705 Century Dr Suite A
Suite A
Alpharetta, GA 30009

BROKER #: B13
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/08/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 4 Units @21.0400	82.97	1.87	0.00	1.87	83.0	1.55
10/08/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 4 Units @21.0400	82.97	1.87	0.00	1.87	83.0	1.55
10/08/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @21.0400	29.10	0.65	0.00	0.65	83.0	0.54
10/08/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @21.0400	29.10	0.65	0.00	0.65	83.0	0.54
10/08/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 4 Units @40.9300	149.99	3.37	0.00	3.37	83.0	2.80
10/08/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 1 Units @40.9300	45.00	1.01	0.00	1.01	83.0	0.84
10/08/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 11 Units @4.1700	43.98	0.99	0.00	0.99	83.0	0.82
10/08/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 6 Units @4.1700	26.39	0.59	0.00	0.59	83.0	0.49
10/08/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 7 Units @4.1700	29.10	0.65	0.00	0.65	83.0	0.54
10/08/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 7 Units @4.1700	29.10	0.65	0.00	0.65	83.0	0.54
10/08/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 4 Units @22.1100	87.96	1.98	0.00	1.98	83.0	1.64
10/08/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.1100	52.78	1.19	0.00	1.19	83.0	0.99
10/08/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 7 Units @22.1100	150.03	3.38	0.00	3.38	83.0	2.81
10/08/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.1100	45.01	1.01	0.00	1.01	83.0	0.84
10/08/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 3 Units @22.1100	73.50	1.65	0.00	1.65	83.0	1.37
10/08/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 3 Units @22.1100	73.50	1.65	0.00	1.65	83.0	1.37



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	29.10	0.65	0.00	0.65	83.0	0.54
	ACCOUNT #: 00777182102	BUY 1 Units @21.5000						
10/08/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	29.10	0.65	0.00	0.65	83.0	0.54
	ACCOUNT #: 00777182102	BUY 1 Units @21.5000						
10/08/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	29.10	0.65	0.00	0.65	83.0	0.54
	ACCOUNT #: 00777182102	BUY 1 Units @52.8800						
10/08/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	29.10	0.65	0.00	0.65	83.0	0.54
	ACCOUNT #: 00777182102	BUY 1 Units @52.8800						
10/08/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	82.97	1.87	0.00	1.87	83.0	1.55
	ACCOUNT #: 00777141446	BUY 8 Units @10.8000						
10/08/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	82.97	1.87	0.00	1.87	83.0	1.55
	ACCOUNT #: 00777141446	BUY 8 Units @10.8000						
10/08/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	112.50	2.53	0.00	2.53	83.0	2.10
	ACCOUNT #: 00777180430	BUY 14 Units @7.8600						
10/08/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	100.10	2.25	0.00	2.25	83.0	1.87
	ACCOUNT #: 00777180430	BUY 13 Units @7.8600						
10/08/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	50.40	0.50	0.00	0.50	83.0	0.42
	ACCOUNT #: 00777180437	BUY 7 Units @7.5100						
10/08/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	50.40	0.50	0.00	0.50	83.0	0.42
	ACCOUNT #: 00777180437	BUY 7 Units @7.5100						
10/08/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	150.00	3.38	0.00	3.38	83.0	2.81
	ACCOUNT #: 00777168436	BUY 20 Units @7.6700						
10/08/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	133.95	3.01	0.00	3.01	83.0	2.50
	ACCOUNT #: 00777168436	BUY 17 Units @7.6700						
10/08/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	39.60	0.40	0.00	0.40	83.0	0.33
	ACCOUNT #: 00777180437	BUY 5 Units @7.3200						
10/08/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	39.60	0.40	0.00	0.40	83.0	0.33
	ACCOUNT #: 00777180437	BUY 5 Units @7.3200						
10/08/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	37.50	0.84	0.00	0.84	83.0	0.70
	ACCOUNT #: 00777180430	BUY 1 Units @26.3100						
10/08/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	33.36	0.75	0.00	0.75	83.0	0.62
	ACCOUNT #: 00777180430	BUY 1 Units @26.3100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/08/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	87.96	1.98	0.00	1.98	83.0	1.64
	ACCOUNT #: 00777018377	BUY 6 Units @14.9000						
10/08/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	52.77	1.19	0.00	1.19	83.0	0.99
	ACCOUNT #: 00777018377	BUY 4 Units @14.9000						
10/08/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	149.98	3.37	0.00	3.37	83.0	2.80
	ACCOUNT #: 05064446577	BUY 10 Units @14.9000						
10/08/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	44.99	1.01	0.00	1.01	83.0	0.84
	ACCOUNT #: 05064446577	BUY 3 Units @14.9000						
* MUTUAL FUNDS SUBTOTAL *			\$2,395.93	\$51.61	\$0.00	\$51.61		\$42.86
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/01/2018	***-**-0357 - LE BAR, ADAM	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	7.70	0.00	7.70	83.0	6.39
	ACCOUNT #: 00777141446	BUY 0 Units @0.0000						
10/01/2018	***-**-6448 - HAYES, SHEILA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.49	0.00	0.49	83.0	0.41
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						
10/01/2018	***-**-7046 - LE BAR, CHARLES	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	36.42	0.00	36.42	83.0	30.23
	ACCOUNT #: 05064446577	BUY 0 Units @0.0000						
10/01/2018	***-**-3831 - WOODALL, LISE	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	0.45	0.00	0.45	83.0	0.37
	ACCOUNT #: 05064446619	BUY 0 Units @0.0000						
10/01/2018	***-**-9583 - STARCHER, MARTIN	AIM HIGH YIELD FUND - CLASS A	0.00	8.49	0.00	8.49	83.0	7.05
	ACCOUNT #: 00777018377	BUY 0 Units @0.0000						
10/01/2018	***-**-6448 - HAYES, SHEILA	AIM HIGH YIELD FUND - CLASS A	0.00	0.49	0.00	0.49	83.0	0.41
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						
10/01/2018	***-**-9583 - STARCHER, MARTIN	AIM MID-CAP EQUITY FUND CLASS A	0.00	18.54	0.00	18.54	83.0	15.39
	ACCOUNT #: 00777018377	BUY 0 Units @0.0000						
10/01/2018	***-**-7046 - LE BAR, CHARLES	AIM MID-CAP EQUITY FUND CLASS A	0.00	30.41	0.00	30.41	83.0	25.24
	ACCOUNT #: 05064446577	BUY 0 Units @0.0000						
10/01/2018	***-**-3831 - WOODALL, LISE	AIM MID-CAP EQUITY FUND CLASS A	0.00	1.98	0.00	1.98	83.0	1.64
	ACCOUNT #: 05064446619	BUY 0 Units @0.0000						
10/01/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	0.00	0.50	0.00	0.50	83.0	0.42
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						
10/01/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	0.00	0.54	0.00	0.54	83.0	0.45
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/01/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	0.00	6.31	0.00	6.31	83.0	5.24
	ACCOUNT #: 00777141446	BUY 0 Units @0.0000						
10/01/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	0.00	1.36	0.00	1.36	83.0	1.13
	ACCOUNT #: 00777180430	BUY 0 Units @0.0000						
10/01/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	0.00	3.49	0.00	3.49	83.0	2.90
	ACCOUNT #: 00777168436	BUY 0 Units @0.0000						
10/01/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	0.00	0.47	0.00	0.47	83.0	0.39
	ACCOUNT #: 00777180430	BUY 0 Units @0.0000						
10/01/2018	***-**-11TR - THE VALLEY TRUST	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	16.96	0.00	16.96	83.0	14.08
	ACCOUNT #: 01001305237	BUY 0 Units @0.0000						
10/01/2018	***-**-11TR - THE VALLEY TRUST	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	24.16	0.00	24.16	83.0	20.05
	ACCOUNT #: 01001305237	BUY 0 Units @0.0000						
10/01/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	18.53	0.00	18.53	83.0	15.38
	ACCOUNT #: 00777018377	BUY 0 Units @0.0000						
10/01/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	31.21	0.00	31.21	83.0	25.90
	ACCOUNT #: 05064446577	BUY 0 Units @0.0000						
10/01/2018	***-**-3831 - WOODALL, LISE	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	1.12	0.00	1.12	83.0	0.93
	ACCOUNT #: 05064446619	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$209.62	\$0.00	\$209.62		\$174.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,395.93	\$261.23	\$0.00	\$261.23		\$216.86



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$216.86
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$3.69
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$220.55
						Year-to-date Earnings		\$65,336.57