



BROKER #: 970      Terminated 12/18/2007  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT | INVESTMENT                      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION        | RATE | BROKER<br>COMMISSION |
|--|--------|---------------------------------|----------------------|---------------------|--------------------|--------------------------|------|----------------------|
| <div>ADJUSTMENTS</div>   |        |                                 |                      |                     |                    |                          |      |                      |
| 12/10/2018   |        | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00                 | 0.00                | 0.00               | 0.00                     |      | 0.00                 |
|  |        | *** ADJUSTMENTS TOTAL ***       | \$0.00               | \$0.00              | \$0.00             | \$0.00                   |      | \$0.00               |
|  |        |                                 |                      |                     |                    | BROKER COMMISSION TOTALS |      |                      |
|  |        |                                 |                      |                     |                    | Broker Transactions      |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Split Transactions       |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Override Transactions    |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Adjustments              |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Payroll Draw             |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Base Salary              |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Prior Period Balance     |      | \$0.00               |
|  |        |                                 |                      |                     |                    | FINRA Assessment         |      | \$0.00               |
|  |        |                                 |                      |                     |                    | SIPC Assessment          |      | \$0.00               |
| Please Retain for Your Records<br>THERE WILL BE NO PAYMENT THIS PERIOD |        |                                 |                      |                     |                    | Balance Forward          |      | \$0.00               |
|  |        |                                 |                      |                     |                    | Year-to-date Earnings    |      | \$0.00               |