

COMMISSION STATEMENT

November 15, 2018

KEN GREEN-D222

307 SE Frank Phillips Blvd

BROKER #: D222

BRANCH: DMH

Bartlesville, OK 74003

COMMISSION STATEMENT for KEN GREEN-D222

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | |
|------------|-------------|----------------------|---------------------------------|---|--------|------|------|------|------|------|
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 6.06 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 29.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 36.34 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 177.00 | 1.77 | 0.00 | 1.77 | 90.0 | 1.59 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 6.06 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 29.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADV STRATEGIC INCOME | B | 12.11 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADV STRATEGIC INCOME | B | 59.00 | 0.59 | 0.00 | 0.59 | 90.0 | 0.53 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 6.06 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 29.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 24.23 | 0.24 | 0.00 | 0.24 | 90.0 | 0.22 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADVISOR FUNDS | B | 118.00 | 1.18 | 0.00 | 1.18 | 90.0 | 1.06 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADV EMERGING MKTS-CL C | B | 6.06 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADV EMERGING MKTS-CL C | B | 29.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADV ASSET MANAGER 60% | B | 12.09 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY ADV ASSET MANAGER 60% | B | 59.00 | 0.59 | 0.00 | 0.59 | 90.0 | 0.53 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY EMERGING MARKETS | B | 6.06 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | FIDELITY EMERGING MARKETS | B | 29.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | TREASURY FUND - ADVISOR C CLASS | B | 6.06 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MF | TREASURY FUND - ADVISOR C CLASS | B | 29.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 11.89 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 11.91 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 1.97 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADV STRATEGIC INCOME | B | 3.97 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADV STRATEGIC INCOME | B | 3.97 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MF | FIDELITY ADVISOR FUNDS | B | 7.94 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |

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|------------|-------------------------------|--------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY ADVISOR FUNDS | B | 7.94 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY ADV EMERGING MKTS-CL C B | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY ADV EMERGING MKTS-CL C B | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY ADV ASSET MANAGER 60% B | B | 3.97 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY ADV ASSET MANAGER 60% B | B | 3.97 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY EMERGING MARKETS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486525189 JONES, SUNDEE | MF FIDELITY EMERGING MARKETS | B | 1.99 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 2.84 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 17.14 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 17.14 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADV STRATEGIC INCOME | B | 5.71 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADV STRATEGIC INCOME | B | 5.69 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 11.42 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADVISOR FUNDS | B | 11.42 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADV EMERGING MKTS-CL C B | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADV EMERGING MKTS-CL C B | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADV ASSET MANAGER 60% B | B | 5.71 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY ADV ASSET MANAGER 60% B | B | 5.71 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY EMERGING MARKETS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF FIDELITY EMERGING MARKETS | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF TREASURY FUND - ADVISOR C CLASS B | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 | 01486528837 TAYLOR, RANDY | MF TREASURY FUND - ADVISOR C CLASS B | B | 2.86 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV LEVERAGED CO STOCK B | B | 14.76 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV LEVERAGED CO STOCK B | B | 14.76 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV DIVERSIFIED INTL B | B | 29.52 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV DIVERSIFIED INTL B | B | 29.52 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADVISOR FLOAT RATE HI B | B | 9.84 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADVISOR FLOAT RATE HI B | B | 9.84 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADVISOR FUNDS | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADVISOR FUNDS | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADVISOR FUNDS | B | 14.76 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADVISOR FUNDS | B | 14.76 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV EMERGING MKTS-CL C B | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV EMERGING MKTS-CL C B | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV ASSET MANAGER 60% B | B | 9.84 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY ADV ASSET MANAGER 60% B | B | 9.84 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY EMERGING MARKETS | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF FIDELITY EMERGING MARKETS | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF TREASURY FUND - ADVISOR C CLASS B | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|-------------------------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 10/31/2018 | 01486566050 NICHOLSON, HILARY | MF TREASURY FUND - ADVISOR C CLASS | B | 4.92 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV LEVERAGED CO STOCK | B | 7.73 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV LEVERAGED CO STOCK | B | 7.73 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV DIVERSIFIED INTL | B | 15.46 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV DIVERSIFIED INTL | B | 15.46 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADVISOR FLOAT RATE HI | B | 5.15 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADVISOR FLOAT RATE HI | B | 5.15 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADVISOR FUNDS | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADVISOR FUNDS | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADVISOR FUNDS | B | 7.73 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADVISOR FUNDS | B | 7.73 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV EMERGING MKTS-CL C | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV EMERGING MKTS-CL C | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV ASSET MANAGER 60% | B | 5.14 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY ADV ASSET MANAGER 60% | B | 5.14 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY EMERGING MARKETS | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF FIDELITY EMERGING MARKETS | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF TREASURY FUND - ADVISOR C CLASS | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486566852 EUBANK, KERRY | MF TREASURY FUND - ADVISOR C CLASS | B | 2.58 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADV LEVERAGED CO STOCK | B | 3.31 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADV DIVERSIFIED INTL | B | 6.61 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADVISOR FLOAT RATE HI | B | 2.20 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADVISOR FUNDS | B | 1.10 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADVISOR FUNDS | B | 3.31 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADV EMERGING MKTS-CL C | B | 1.10 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY ADV ASSET MANAGER 60% | B | 2.21 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF FIDELITY EMERGING MARKETS | B | 1.10 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 10/31/2018 | 01486567249 SOWELL, JENNIFER | MF TREASURY FUND - ADVISOR C CLASS | B | 1.10 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV LEVERAGED CO STOCK | B | 7.56 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV LEVERAGED CO STOCK | B | 11.25 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV DIVERSIFIED INTL | B | 15.13 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV DIVERSIFIED INTL | B | 22.50 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADVISOR FLOAT RATE HI | B | 7.50 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADVISOR FLOAT RATE HI | B | 5.04 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADVISOR FUNDS | B | 3.75 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADVISOR FUNDS | B | 2.52 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADVISOR FUNDS | B | 11.25 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADVISOR FUNDS | B | 7.56 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV EMERGING MKTS-CL C | B | 3.75 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV EMERGING MKTS-CL C | B | 2.52 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV ASSET MANAGER 60% | B | 7.50 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY ADV ASSET MANAGER 60% | B | 5.05 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY EMERGING MARKETS | B | 3.75 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 10/31/2018 | 01486567264 MYERS, BETHANY | MF FIDELITY EMERGING MARKETS | B | 2.52 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |

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|---------------------------|----------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 10/31/2018 01486567264 | MYERS, BETHANY | MF TREASURY FUND - ADVISOR C CLASS | B | 2.52 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 01486567264 | MYERS, BETHANY | MF TREASURY FUND - ADVISOR C CLASS | B | 3.75 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 15.66 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 15.66 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADV STRATEGIC INCOME | B | 5.22 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADV STRATEGIC INCOME | B | 5.22 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 2.62 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 2.62 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 10.44 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADVISOR FUNDS | B | 10.44 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADV EMERGING MKTS-CL C | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADV EMERGING MKTS-CL C | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADV ASSET MANAGER 60% | B | 5.22 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY ADV ASSET MANAGER 60% | B | 5.22 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY EMERGING MARKETS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF FIDELITY EMERGING MARKETS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF TREASURY FUND - ADVISOR C CLASS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 11/07/2018 03900188031 | KERNOSKE, | MF TREASURY FUND - ADVISOR C CLASS | B | 2.61 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 32.69 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 9.82 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FINANCIAL SERV | B | 16.35 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FINANCIAL SERV | B | 4.90 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 32.69 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 9.81 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR EMERGING MKT | B | 32.69 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR EMERGING MKT | B | 9.81 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADV DIVERSIFIED INTL | B | 98.08 | 0.98 | 0.00 | 0.98 | 90.0 | 0.88 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADV DIVERSIFIED INTL | B | 29.42 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 16.35 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 4.90 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 65.38 | 0.65 | 0.00 | 0.65 | 90.0 | 0.59 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADVISOR FUNDS | B | 19.62 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADV EMERGING MKTS-CL C | B | 16.35 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY ADV EMERGING MKTS-CL C | B | 4.90 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY EMERGING MARKETS | B | 16.34 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 10/31/2018 03900223124 | SOMMER, AMY | MF FIDELITY EMERGING MARKETS | B | 4.90 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$1,877.55 | \$18.95 | \$0.00 | \$18.95 | | \$17.30 |

COMMISSION STATEMENT for KEN GREEN-D222

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 5.32 | 0.00 | 5.32 | 90.0 | 4.79 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.99 | 0.00 | 0.99 | 90.0 | 0.89 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADV STRATEGIC INCOME | B | 0.00 | 1.85 | 0.00 | 1.85 | 90.0 | 1.67 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 4.14 | 0.00 | 4.14 | 90.0 | 3.73 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.81 | 0.00 | 0.81 | 90.0 | 0.73 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 2.06 | 0.00 | 2.06 | 90.0 | 1.85 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.78 | 0.00 | 0.78 | 90.0 | 0.70 |
| 11/07/2018 | 01486524992 | JOHNSON, COURTNEY MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.38 | 0.00 | 0.38 | 90.0 | 0.34 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADV STRATEGIC INCOME | B | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT TREASURY FUND - DAILY MONEY | B | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 11/07/2018 | 01486525189 | JONES, SUNDEE MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADV STRATEGIC INCOME | B | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 | 01486528803 | LONGTAIL, TISHA MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.78 | 0.00 | 0.78 | 90.0 | 0.70 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADV STRATEGIC INCOME | B | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.54 | 0.00 | 0.54 | 90.0 | 0.49 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486528837 | TAYLOR, RANDY MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 2.80 | 0.00 | 2.80 | 90.0 | 2.52 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 5.23 | 0.00 | 5.23 | 90.0 | 4.71 |

COMMISSION STATEMENT for KEN GREEN-D222

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|-------------|---|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.80 | 0.00 | 0.80 | 90.0 | 0.72 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 12.42 | 0.00 | 12.42 | 90.0 | 11.18 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 1.86 | 0.00 | 1.86 | 90.0 | 1.67 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.86 | 0.00 | 0.86 | 90.0 | 0.77 |
| 11/07/2018 | 01486566050 | NICHOLSON, HILARY MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.79 | 0.00 | 0.79 | 90.0 | 0.71 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.57 | 0.00 | 0.57 | 90.0 | 0.51 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 1.16 | 0.00 | 1.16 | 90.0 | 1.04 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.68 | 0.00 | 0.68 | 90.0 | 0.61 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.31 | 0.00 | 0.31 | 90.0 | 0.28 |
| 11/07/2018 | 01486566852 | EUBANK, KERRY MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 0.71 | 0.00 | 0.71 | 90.0 | 0.64 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 2.06 | 0.00 | 2.06 | 90.0 | 1.85 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 11/07/2018 | 01486567249 | SOWELL, JENNIFER MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 1.23 | 0.00 | 1.23 | 90.0 | 1.11 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 2.31 | 0.00 | 2.31 | 90.0 | 2.08 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.71 | 0.00 | 0.71 | 90.0 | 0.64 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 4.46 | 0.00 | 4.46 | 90.0 | 4.01 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.40 | 0.00 | 0.40 | 90.0 | 0.36 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.83 | 0.00 | 0.83 | 90.0 | 0.75 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.38 | 0.00 | 0.38 | 90.0 | 0.34 |
| 11/07/2018 | 01486567264 | MYERS, BETHANY MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.35 | 0.00 | 0.35 | 90.0 | 0.32 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 0.56 | 0.00 | 0.56 | 90.0 | 0.50 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.34 | 0.00 | 0.34 | 90.0 | 0.31 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.19 | 0.00 | 0.19 | 90.0 | 0.17 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 11/07/2018 | 01486567298 | DAY, CARMEN MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 | 01486970690 | OWENS, SABRA MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |

COMMISSION STATEMENT for KEN GREEN-D222

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 0.49 | 0.00 | 0.49 | 90.0 | 0.44 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 11/07/2018 01486970690 | OWENS, SABRA | MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 0.46 | 0.00 | 0.46 | 90.0 | 0.41 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.34 | 0.00 | 0.34 | 90.0 | 0.31 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 11/07/2018 01488986652 | SHEARER, EMILY | MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADV LEVERAGED CO STOCK | B | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADVISOR FUNDS | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADV EMERGING MKTS-CL C | B | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT FIDELITY EMERGING MARKETS | B | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 11/07/2018 01490950449 | GLASSCOCK, BRANDI | MFT TREASURY FUND - ADVISOR C CLASS | B | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 11/07/2018 03900188031 | KERNOSKE, | MFT FIDELITY ADV ASSET MANAGER 60% | B | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$79.79 | \$0.00 | \$79.79 | | \$71.83 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$1,877.55 | \$98.74 | \$0.00 | \$98.74 | | \$89.13 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$89.13 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|---------------------|
| Net Commissions | \$89.13 |
| Year-to-date Commissions | \$132,081.79 |