

COMMISSION STATEMENT

February 28, 2018

ALAN SMITH-D206

BROKER #: D206

BRANCH: DMR

, TX

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: BONDS

02/22/2018	430507106	KOLITZ, ROBERT	B	BOERNE CO TX	B	520,341.71	4,850.00	15.00	4,835.00	80.0	3,868.00
* BONDS SUBTOTAL *						\$520,341.71	\$4,850.00	\$15.00	\$4,835.00		\$3,868.00

PRODUCT CATEGORY: MISCELLANEOUS

01/30/2018	a03892289	SMITH-D206, ALAN	MI	MUTUAL FUND MISC FEE 430507106	S	0.00	5.00	0.00	5.00	80.0	4.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$5.00	\$0.00	\$5.00		\$4.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/22/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN BALANCED FUND-A	B	0.00	13.44	0.00	13.44	80.0	10.75
02/22/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN BALANCED FUND-A	B	0.00	25.49	0.00	25.49	80.0	20.39
02/22/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN BALANCED FUND-A	B	0.00	34.41	0.00	34.41	80.0	27.53
02/22/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	19.05	0.00	19.05	80.0	15.24
02/22/2018	mfc892289	SMITH ALAN 892289	MFT	CAPITAL INCOME BUILDER-A	B	0.00	23.64	0.00	23.64	80.0	18.91
02/22/2018	mfc892289	SMITH ALAN 892289	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	43.00	0.00	43.00	80.0	34.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$159.03	\$0.00	\$159.03		\$127.22
*** CLEARING TRANSACTIONS TOTAL ***						\$520,341.71	\$5,014.03	\$15.00	\$4,999.03		\$3,999.22

ADJUSTMENTS

02/28/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
02/28/2018	:	BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00		-30.00
02/28/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
02/28/2018	:	E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00		-25.00
02/28/2018	:	E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-135.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,999.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,864.22
Year-to-date Commissions	\$17,602.16