



COMMISSION STATEMENT

December 17, 2018

BRIAN MARSH
5575 SW 83rd St.
Ocala, FL 34476

BROKER #: B55
BRANCH #01053 - 53 - SHOOK

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 12/07/2018 | ***-**-147J - VELAZQUEZ, ACCOUNT #: LSR012931 | LORD ABBETT ULTRA SHORT BOND FD CL BUY 1105 Units @9.9900 | 11,042.00 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 12/07/2018 | ***-**-1190 - MARCELLA, DONNA ACCOUNT #: LSR012994 | LORD ABBETT ULTRA SHORT BOND FD CL BUY 200 Units @9.9900 | 1,997.00 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$13,039.00</u> | <u>\$0.00</u> | <u>\$36.00</u> | <u>\$-36.00</u> | | <u>\$-36.00</u> |
| <u>PRODUCT CATEGORY: 529 PLAN</u> | | | | | | | | |
| 12/17/2018 | ***-**-98CL - MARSH, CLIENTS | JOHN HANCOCK FREEDOM 529 TRAILS BUY 0 Units @0.0000 | 0.00 | 7.43 | 0.00 | 7.43 | 80.0 | 5.94 |
| * 529 PLAN SUBTOTAL * | | | <u>\$0.00</u> | <u>\$7.43</u> | <u>\$0.00</u> | <u>\$7.43</u> | | <u>\$5.94</u> |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 12/17/2018 | ***-**-98CL - MARSH, CLIENTS | SAMMONS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 65.53 | 0.00 | 65.53 | 80.0 | 52.42 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$65.53</u> | <u>\$0.00</u> | <u>\$65.53</u> | | <u>\$52.42</u> |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 12/13/2018 | ***-**-98CL - MARSH, CLIENTS | NSCC VA TRAIL BUY 1 Units @0.0000 | 0.00 | 18.08 | 0.00 | 18.08 | 80.0 | 14.46 |
| 12/11/2018 | ***-**-98CL - MARSH, CLIENTS | NSCC VARIABLE ANNUITY ADDITIONAL DE BUY 1 Units @0.0000 | 0.00 | 33.80 | 0.00 | 33.80 | 80.0 | 27.04 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$51.88</u> | <u>\$0.00</u> | <u>\$51.88</u> | | <u>\$41.50</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$13,039.00</u> | <u>\$124.84</u> | <u>\$36.00</u> | <u>\$88.84</u> | | <u>\$63.86</u> |



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|--|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <u>BROKER COMMISSION TOTALS</u> | | | | | | | | |
| | | | | | | Broker Transactions | | \$63.86 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | | | |
| Balance Forward | | | | | | | | \$63.86 |
| Year-to-date Earnings | | | | | | | | \$1,569.22 |