

COMMISSION STATEMENT

November 30, 2018

 CHARLES BELL-D004
 7058 Lakeview Haven Drive #123

 BROKER #: D004
 BRANCH: DMN

Houston, TX 77095

COMMISSION STATEMENT for CHARLES BELL-D004

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/20/2018 368432242	PUTNAM, ANNA	MF PIONEER EQUITY INCOME FUND A	B	-5,007.55	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *				\$-5,007.55	\$0.00	\$5.00	\$-5.00		\$-4.38

PRODUCT CATEGORY: STOCKS

11/26/2018 214748816	DUNN, WANDA	S AMAZON.COM INC	B	204,561.96	3,072.31	15.00	3,057.31	87.5	2,675.15
11/26/2018 214748816	DUNN, WANDA	S INTEL CORPORATION	B	152,350.85	2,300.72	15.00	2,285.72	87.5	2,000.01
11/26/2018 214748816	DUNN, WANDA	S LOCKHEED MARTIN CORPORATION	B	152,978.79	2,310.00	15.00	2,295.00	87.5	2,008.13
11/26/2018 214748816	DUNN, WANDA	S VISA INC-CLASS A SHRS	B	152,535.39	2,303.44	15.00	2,288.44	87.5	2,002.39
11/02/2018 368343369	DUNN, WANDA	S AMAZON.COM INC	B	25,559.04	426.94	15.00	411.94	87.5	360.45
11/02/2018 368343369	DUNN, WANDA	S ASPEN TECHNOLOGY INC	B	24,797.54	415.69	15.00	400.69	87.5	350.60
11/02/2018 368343369	DUNN, WANDA	S CHEVRON CORPORATION	B	25,091.53	420.04	15.00	405.04	87.5	354.41
11/02/2018 368343369	DUNN, WANDA	S EXXON-MOBIL CORPORATION	B	18,134.65	317.22	15.00	302.22	87.5	264.44
11/02/2018 368343369	DUNN, WANDA	S HOME DEPOT INCORPORATED	B	24,654.93	413.58	15.00	398.58	87.5	348.76
11/02/2018 368343369	DUNN, WANDA	S MICROSOFT CORPORATION	B	24,764.30	415.20	15.00	400.20	87.5	350.18
11/02/2018 368343369	DUNN, WANDA	S NVIDIA CORPORATION	B	25,233.53	422.13	15.00	407.13	87.5	356.24
11/02/2018 368343369	DUNN, WANDA	S VISA INC-CLASS A SHRS	B	24,752.98	415.03	15.00	400.03	87.5	350.03
* STOCKS SUBTOTAL *				\$855,415.49	\$13,232.30	\$180.00	\$13,052.30		\$11,420.79

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.15	0.00	1.15	87.5	1.01
11/21/2018 mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	17.21	0.00	17.21	87.5	15.06
11/21/2018 mfc815987	BELL 815987	MFT AMERICAN BALANCED FUND-A	B	0.00	8.13	0.00	8.13	87.5	7.11
11/21/2018 mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	6.82	0.00	6.82	87.5	5.97
11/21/2018 mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	12.61	0.00	12.61	87.5	11.03
11/21/2018 mfc815987	BELL 815987	MFT EHV 277911772	B	0.00	26.01	0.00	26.01	87.5	22.76
11/21/2018 mfc815987	BELL 815987	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	2.95	0.00	2.95	87.5	2.58
11/21/2018 mfc815987	BELL 815987	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	4.60	0.00	4.60	87.5	4.03
11/21/2018 mfc815987	BELL 815987	MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.95	0.00	3.95	87.5	3.46
11/21/2018 mfc815987	BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.91	0.00	0.91	87.5	0.80
11/21/2018 mfc815987	BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.30	0.00	5.30	87.5	4.64
11/21/2018 mfc815987	BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.08	0.00	13.08	87.5	11.45
11/21/2018 mfc815987	BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	17.66	0.00	17.66	87.5	15.45

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11/21/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	46.01	0.00	46.01	87.5	40.26
11/21/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	18.65	0.00	18.65	87.5	16.32
11/21/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	38.78	0.00	38.78	87.5	33.93
11/21/2018	mfc815987 BELL 815987	MFT PIONEER INDEPENDENCE FD CL A	B	0.00	43.21	0.00	43.21	87.5	37.81
11/21/2018	mfc815987 BELL 815987	MFT PIONEER SELECT MID CAP GROWTH	B	0.00	63.19	0.00	63.19	87.5	55.29
11/21/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.89	0.00	0.89	87.5	0.78
11/21/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.47	0.00	5.47	87.5	4.79
11/21/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.99	0.00	13.99	87.5	12.24
11/21/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	5.30	0.00	5.30	87.5	4.64
11/21/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	6.91	0.00	6.91	87.5	6.05
11/21/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.40	0.00	5.40	87.5	4.73
11/21/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.03	0.00	6.03	87.5	5.28
11/21/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.82	0.00	9.82	87.5	8.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$384.03	\$0.00	\$384.03		\$336.06
*** CLEARING TRANSACTIONS TOTAL ***				\$850,407.94	\$13,616.33	\$185.00	\$13,431.33		\$11,752.47

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None)	SEGAL, CAROL BYER	MFT EATON VANCE	B	0.00	98.04	0.00	98.04	87.5	85.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$98.04	\$0.00	\$98.04		\$85.79
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$98.04	\$0.00	\$98.04		\$85.79

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
11/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		161.63
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-23.37
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-23.37

BROKER COMMISSION TOTALS

Broker Transactions	\$11,838.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-23.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11,814.89
Year-to-date Commissions	\$181,574.84