

COMMISSION STATEMENT

November 30, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
11/20/2018	75501342 WINNICK, HILLARY	CALLOWAYS NURSERY INC	B	22,174.50	20.00	0.00	20.00	55.0	0.00
11/20/2018	75501342 WINNICK, HILLARY	LIVERAMP HLDGS INC COM	B	4,491.61	20.00	0.00	20.00	55.0	0.00
11/26/2018	75501342 WINNICK, HILLARY	BERRY PLASTICS GROUP INC COM	S	50,317.24	20.00	0.00	20.00	55.0	0.00
11/28/2018	75501342 WINNICK, HILLARY	ESSENT GROUP LTD COM ISIN#BMG3198U1	B	37,924.80	20.00	0.00	20.00	55.0	0.00
11/20/2018	75501345 WINNICK, JOELY	CALLOWAYS NURSERY INC	B	16,988.00	20.00	0.00	20.00	55.0	0.00
11/20/2018	75501345 WINNICK, JOELY	LIVERAMP HLDGS INC COM	B	4,482.72	20.00	0.00	20.00	55.0	0.00
11/26/2018	75501345 WINNICK, JOELY	BERRY PLASTICS GROUP INC COM	S	50,214.36	20.00	0.00	20.00	55.0	0.00
11/28/2018	75501345 WINNICK, JOELY	ESSENT GROUP LTD COM ISIN#BMG3198U1	B	37,890.16	20.00	0.00	20.00	55.0	0.00
11/02/2018	QGV006075 PARTNERS, F/A/O:	RETAIL OPPORTUNITY INVTS CORP COM	S	-43,350.00	100.00	0.00	100.00	55.0	55.00
11/15/2018	QGV006075 PARTNERS, F/A/O:	TEEKAY CORP SHS ISIN#MHY8564W1030	S	-14,377.00	100.00	0.00	100.00	55.0	55.00
11/08/2018	QGW031098 KOPIN, BENJAMIN	BLACKSTONE MORTGAGE TRUST INC	S	-13,978.20	51.00	0.00	51.00	55.0	28.05
11/20/2018	QGW031098 KOPIN, BENJAMIN	LIVERAMP HLDGS INC COM	B	4,430.25	51.00	0.00	51.00	55.0	28.05
11/20/2018	QGW031130 KOPIN, BENJAMIN	LIVERAMP HLDGS INC COM	B	4,430.25	20.00	0.00	20.00	55.0	0.00
11/20/2018	QGW031130 KOPIN, BENJAMIN	LIVERAMP HLDGS INC COM	B	-4,430.25	-20.00	0.00	-20.00	55.0	0.00 C
11/20/2018	QGW031130 KOPIN, BENJAMIN	LIVERAMP HLDGS INC COM	B	4,430.25	51.00	0.00	51.00	55.0	28.05
11/20/2018	QGW031148 KOPIN, ZACHARY	LIVERAMP HLDGS INC COM	B	4,430.25	20.00	0.00	20.00	55.0	0.00
11/20/2018	QGW031148 KOPIN, ZACHARY	LIVERAMP HLDGS INC COM	B	-4,430.25	-20.00	0.00	-20.00	55.0	0.00 C
11/20/2018	QGW031148 KOPIN, ZACHARY	LIVERAMP HLDGS INC COM	B	4,430.25	51.00	0.00	51.00	55.0	28.05
11/20/2018	QGW031155 KOPIN, JOSHUA	LIVERAMP HLDGS INC COM	B	4,430.25	51.00	0.00	51.00	55.0	28.05
11/20/2018	QGW031163 KOPIN, JOSHUA	LIVERAMP HLDGS INC COM	B	4,430.25	20.00	0.00	20.00	55.0	0.00
11/20/2018	QGW031163 KOPIN, JOSHUA	LIVERAMP HLDGS INC COM	B	-4,430.25	-20.00	0.00	-20.00	55.0	0.00 C
11/20/2018	QGW031163 KOPIN, JOSHUA	LIVERAMP HLDGS INC COM	B	4,430.25	51.00	0.00	51.00	55.0	28.05
11/20/2018	QGW031171 KOPIN, ZACHARY	LIVERAMP HLDGS INC COM	B	4,430.25	51.00	0.00	51.00	55.0	28.05
11/08/2018	QGW034852 HOLMES, MEGAN	ULTRA PETROLEUM CORP REGISTERED SHS	S	-16,600.00	300.00	0.00	300.00	55.0	165.00
11/09/2018	QGW034852 HOLMES, MEGAN	KANDI TECHNOLOGIES GROUP INC COM	B	23,150.00	150.00	0.00	150.00	55.0	82.50
11/16/2018	QGW034852 HOLMES, MEGAN	MIMEDX GROUP INC COM	B	50,000.00	51.00	0.00	51.00	55.0	28.05
11/27/2018	QGW034852 HOLMES, MEGAN	KANDI TECHNOLOGIES GROUP INC COM	S	-11,777.43	79.95	0.00	79.95	55.0	43.97
11/27/2018	QGW034852 HOLMES, MEGAN	MIMEDX GROUP INC COM	S	-30,048.00	300.00	0.00	300.00	55.0	165.00
11/28/2018	QGW034852 HOLMES, MEGAN	KANDI TECHNOLOGIES GROUP INC COM	S	-32,277.67	220.05	0.00	220.05	55.0	121.03
* STOCKS SUBTOTAL *				\$161,806.59	\$1,818.00	\$0.00	\$1,818.00		\$911.90

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MISCELLANEOUS

11/09/2018	VARIOUS, WINNICK	BARON CAPITAL - RESEARCH	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$2,500.00	\$0.00	\$2,500.00		\$1,375.00
*** BROKER TRANSACTIONS TOTAL ***				\$161,806.59	\$4,318.00	\$0.00	\$4,318.00		\$2,286.90

BROKER COMMISSION TOTALS

Broker Transactions	\$2,286.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.27
SIPC Assessment	\$-4.55

Check Amount	\$2,280.08
Year-to-date Earnings	\$43,659.92