

COMMISSION STATEMENT November 30, 2018

RIX/RANDY SMITH/SMITH-D081

BROKER #: D081

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/01/2018 676365887	SMITH, RANDALL	S	MAGELLAN MIDSTREAM PARTNERS LP	B	24,546.21	0.00	0.00	0.00 100.0	0.00
11/21/2018 676365887	SMITH, RANDALL	S	ROYAL DUTCH SHELL PLC-SPON ADR	B	18,973.03	0.00	0.00	0.00 100.0	0.00
				* STOCKS SUBTOTAL *	\$43,519.24	\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
10/31/2018 676346300	SMITH TTEE, RONALD	B	WACO TEX	B	33,014.22	0.00	0.00	0.00 100.0	0.00
11/01/2018 676346300	SMITH TTEE, RONALD	B	BELLAIRE TX GO BDS 2017 A	B	17,314.89	0.00	0.00	0.00 100.0	0.00
10/31/2018 676356642	SMITH, RANDALL	B	FORT WORTH TEX	B	23,821.17	0.00	0.00	0.00 100.0	0.00
11/06/2018 676365887	SMITH, RANDALL	B	COLLIN CO TX CMNTY COLL	B	24,190.68	0.00	0.00	0.00 100.0	0.00
11/07/2018 676365887	SMITH, RANDALL	B	HARLINGEN TEX WTRWKS & SWR SYS	B	24,877.69	0.00	0.00	0.00 100.0	0.00
11/08/2018 676365887	SMITH, RANDALL	B	TEXAS WOMANS UNIV REV FING SYS	B	35,369.66	0.00	0.00	0.00 100.0	0.00
11/27/2018 676365887	SMITH, RANDALL	B	LAMAR TEX CONS INDPT SCH DIST	B	24,316.47	0.00	0.00	0.00 100.0	0.00
				* BONDS SUBTOTAL *	\$182,904.78	\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/21/2018 mfc913901	SMITH 913901		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.00	0.00	0.00 100.0	0.00
11/21/2018 mfc913901	SMITH 913901		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00 100.0	0.00
11/21/2018 mfc913901	SMITH 913901		MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00 100.0	0.00
11/21/2018 mfc913901	SMITH 913901		MFT GLOBAL BALANCED FUND-A	B	0.00	0.00	0.00	0.00 100.0	0.00
11/21/2018 mfc913901	SMITH 913901		MFT INTERNATIONAL GROWTH AND	B	0.00	0.00	0.00	0.00 100.0	0.00
11/21/2018 mfc913901	SMITH 913901		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.00	0.00	0.00 100.0	0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				*** CLEARING TRANSACTIONS TOTAL ***	\$226,424.02	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00