

COMMISSION STATEMENT

November 30, 2018

 JOYCE BRISKIN-D009
 919 Arizona Ash

 BROKER #: D009
 BRANCH: DMO

San Antonio, TX 78232

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/05/2018	184637459	CHEATLE, EDGAR	MF	DREYFUS OPPORTUNISTIC MIDCAP	S	-20,910.56	0.00	5.00	-5.00 90.0 -4.50
11/05/2018	184637459	CHEATLE, EDGAR	MF	THORNBURG INTERNATIONAL VALUE	S	-42,723.35	0.00	5.00	-5.00 90.0 -4.50
11/28/2018	368255014	ROERINK, BEVERLY	MF	SMALLCAP WORLD FUND-A	B	-1,982.55	0.00	5.00	-5.00 90.0 -4.50
11/28/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG INTERNATIONAL GROWTH	B	-1,982.55	0.00	5.00	-5.00 90.0 -4.50
11/28/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG INVESTMENT INCOME	B	-1,982.55	0.00	5.00	-5.00 90.0 -4.50
11/28/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG CORE GROWTH CL A	B	-1,982.55	0.00	5.00	-5.00 90.0 -4.50
11/28/2018	368255014	ROERINK, BEVERLY	MF	VALUE A	B	-1,982.55	0.00	5.00	-5.00 90.0 -4.50
11/27/2018	368433769	PAULSON, NANCY	MF	INVESCO AMERICAN FRANCHISE FUND	B	-522.55	0.00	5.00	-5.00 90.0 -4.50
				* MUTUAL FUNDS SUBTOTAL *		\$-74,069.21	\$0.00	\$40.00	\$-40.00 \$-36.00

PRODUCT CATEGORY: STOCKS

10/31/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	S	-3,094.58	64.58	0.00	64.58 90.0 58.12
10/31/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	S	-1,406.63	29.35	0.00	29.35 90.0 26.42
10/31/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	S	-1,406.63	29.35	0.00	29.35 90.0 26.42
10/31/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	S	-1,406.63	29.35	0.00	29.35 90.0 26.42
10/31/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	S	-1,404.18	29.35	15.00	14.35 90.0 12.92
10/31/2018	122134292	ARMSTRONG, SHANNON	S	INNOVIVA INC	S	-281.33	5.87	0.00	5.87 90.0 5.28
11/01/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	115.49	2.45	0.00	2.45 90.0 2.21
11/01/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	194.93	4.08	15.00	-10.92 90.0 -9.83
11/01/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	769.90	16.30	0.00	16.30 90.0 14.67
11/01/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	962.38	20.38	0.00	20.38 90.0 18.34
11/01/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	1,809.27	38.31	0.00	38.31 90.0 34.48
11/01/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	3,849.52	81.52	0.00	81.52 90.0 73.37
11/14/2018	122134292	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	S	-7,158.73	159.82	15.00	144.82 90.0 130.34
11/14/2018	122134292	ARMSTRONG, SHANNON	S	PROGRESSIVE CORP (OHIO)	S	-6,430.78	126.13	0.00	126.13 90.0 113.52
11/14/2018	122134292	ARMSTRONG, SHANNON	S	PROGRESSIVE CORP (OHIO)	S	-5,142.96	100.92	15.00	85.92 90.0 77.33
11/15/2018	122134292	ARMSTRONG, SHANNON	S	COCA-COLA EUROPEAN PARTNERS PLC	B	12,341.54	231.61	15.00	216.61 90.0 194.95
11/05/2018	184637459	CHEATLE, EDGAR	S	BRIGHTHOUSE FINANCIAL INC	S	-1,076.01	48.00	15.00	33.00 90.0 29.70
11/05/2018	184637459	CHEATLE, EDGAR	S	ENERGY TRANSFER EQUITY L P	S	-12,477.68	240.82	15.00	225.82 90.0 203.24
11/05/2018	184637459	CHEATLE, EDGAR	S	GLOBAL X MLP & ENERGY	S	-6,143.17	144.35	15.00	129.35 90.0 116.42
11/05/2018	184637459	CHEATLE, EDGAR	S	GOLD RESOURCE CORP	S	-2,934.24	95.48	15.00	80.48 90.0 72.43

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
11/05/2018	184637459	CHEATLE, EDGAR	S	METLIFE INC	S	-13,119.29	250.59	15.00	235.59	90.0	212.03
11/05/2018	184637459	CHEATLE, EDGAR	S	PRUDENTIAL FINANCIAL INC	S	-14,381.08	269.80	15.00	254.80	90.0	229.32
11/05/2018	184637459	CHEATLE, EDGAR	S	TARGA RESOURCES CORP	S	-9,224.31	191.27	15.00	176.27	90.0	158.64
10/31/2018	215652386	DOUGHERTY, JOHN	S	INNOVIVA INC	S	-4,214.48	88.01	15.00	73.01	90.0	65.71
10/31/2018	215652386	DOUGHERTY, JOHN	S	INNOVIVA INC	S	-1,405.64	29.34	0.00	29.34	90.0	26.41
10/31/2018	215652386	DOUGHERTY, JOHN	S	INNOVIVA INC	S	-1,405.64	29.34	0.00	29.34	90.0	26.41
10/31/2018	215652386	DOUGHERTY, JOHN	S	INNOVIVA INC	S	-1,405.64	29.34	0.00	29.34	90.0	26.41
10/31/2018	215652386	DOUGHERTY, JOHN	S	INNOVIVA INC	S	-562.25	11.74	0.00	11.74	90.0	10.57
11/01/2018	215652386	DOUGHERTY, JOHN	S	DELEK US HOLDINGS INC NEW COM	B	10,157.43	199.33	15.00	184.33	90.0	165.90
11/14/2018	215652386	DOUGHERTY, JOHN	S	DELEK US HOLDINGS INC NEW COM	S	-5,376.83	110.65	15.00	95.65	90.0	86.09
11/14/2018	215652386	DOUGHERTY, JOHN	S	DELEK US HOLDINGS INC NEW COM	S	-3,586.18	73.77	0.00	73.77	90.0	66.39
11/14/2018	215652386	DOUGHERTY, JOHN	S	DELEK US HOLDINGS INC NEW COM	S	-537.92	11.07	0.00	11.07	90.0	9.96
11/14/2018	215652386	DOUGHERTY, JOHN	S	PROGRESSIVE CORP (OHIO)	S	-6,428.33	126.13	15.00	111.13	90.0	100.02
11/14/2018	215652386	DOUGHERTY, JOHN	S	PROGRESSIVE CORP (OHIO)	S	-5,144.62	100.91	0.00	100.91	90.0	90.82
11/15/2018	215652386	DOUGHERTY, JOHN	S	COCA-COLA EUROPEAN PARTNERS PLC	B	12,341.54	231.61	15.00	216.61	90.0	194.95
11/28/2018	215652386	DOUGHERTY, JOHN	S	BRINKER INTL INC	B	12,252.91	230.30	15.00	215.30	90.0	193.77
11/01/2018	368270423	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	B	5,785.42	134.72	15.00	119.72	90.0	107.75
11/14/2018	368270423	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	S	-3,396.87	83.88	0.00	83.88	90.0	75.49
11/14/2018	368270423	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	S	-1,607.28	39.70	0.00	39.70	90.0	35.73
11/14/2018	368270423	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	S	-178.69	4.41	0.00	4.41	90.0	3.97
11/14/2018	368270423	ARMSTRONG, SHANNON	S	DELEK US HOLDINGS INC NEW COM	S	-176.34	4.41	15.00	-10.59	90.0	-9.53
11/15/2018	368270423	ARMSTRONG, SHANNON	S	COCA-COLA EUROPEAN PARTNERS PLC	B	9,887.76	195.35	15.00	180.35	90.0	162.32
11/14/2018	368298595	ANDERSON, PATRICIA	S	PROGRESSIVE CORP (OHIO)	S	-7,729.06	134.39	15.00	119.39	90.0	107.45
11/15/2018	368298595	ANDERSON, PATRICIA	S	COCA-COLA EUROPEAN PARTNERS PLC	B	8,130.94	135.91	15.00	120.91	90.0	108.82
11/26/2018	368298595	ANDERSON, PATRICIA	S	COCA-COLA EUROPEAN PARTNERS PLC	S	-7,831.56	170.06	15.00	155.06	90.0	139.55
11/14/2018	368349505	DOUGHERTY, JOHN	S	PROGRESSIVE CORP (OHIO)	S	-9,632.43	197.49	15.00	182.49	90.0	164.24
11/15/2018	368349505	DOUGHERTY, JOHN	S	COCA-COLA EUROPEAN PARTNERS PLC	B	8,161.43	169.84	15.00	154.84	90.0	139.36
11/14/2018	368438115	PAULSON, ANTHONY	S	PROGRESSIVE CORP (OHIO)	S	-9,633.91	197.51	15.00	182.51	90.0	164.26
11/15/2018	368438115	PAULSON, ANTHONY	S	COCA-COLA EUROPEAN PARTNERS PLC	B	9,883.74	195.29	15.00	180.29	90.0	162.26
10/31/2018	400566872	JBRISKIN HOLDINGS	S	INNOVIVA INC	S	-4,414.36	92.17	15.00	77.17	90.0	69.45
10/31/2018	400566872	JBRISKIN HOLDINGS	S	INNOVIVA INC	S	-1,406.63	29.35	0.00	29.35	90.0	26.42
10/31/2018	400566872	JBRISKIN HOLDINGS	S	INNOVIVA INC	S	-1,406.63	29.35	0.00	29.35	90.0	26.42
10/31/2018	400566872	JBRISKIN HOLDINGS	S	INNOVIVA INC	S	-1,069.04	22.31	0.00	22.31	90.0	20.08
10/31/2018	400566872	JBRISKIN HOLDINGS	S	INNOVIVA INC	S	-351.66	7.34	0.00	7.34	90.0	6.61
10/31/2018	400566872	JBRISKIN HOLDINGS	S	INNOVIVA INC	S	-351.66	7.34	0.00	7.34	90.0	6.61
11/01/2018	400566872	JBRISKIN HOLDINGS	S	DELEK US HOLDINGS INC NEW COM	B	9,975.06	20.00	15.00	5.00	90.0	4.50
11/14/2018	400566872	JBRISKIN HOLDINGS	S	DELEK US HOLDINGS INC NEW COM	S	-9,676.42	20.00	15.00	5.00	90.0	4.50
11/14/2018	400566872	JBRISKIN HOLDINGS	S	PROGRESSIVE CORP (OHIO)	S	-6,427.34	126.12	15.00	111.12	90.0	100.01
11/14/2018	400566872	JBRISKIN HOLDINGS	S	PROGRESSIVE CORP (OHIO)	S	-5,143.05	100.88	0.00	100.88	90.0	90.79
11/15/2018	400566872	JBRISKIN HOLDINGS	S	COCA-COLA EUROPEAN PARTNERS PLC	B	12,129.95	20.00	15.00	5.00	90.0	4.50
11/14/2018	677650476	BRISKIN, JOSHUA	S	PROGRESSIVE CORP (OHIO)	S	-9,811.42	20.00	15.00	5.00	90.0	4.50
11/15/2018	677650476	BRISKIN, JOSHUA	S	COCA-COLA EUROPEAN PARTNERS PLC	B	9,712.45	20.00	15.00	5.00	90.0	4.50
* STOCKS SUBTOTAL *					\$-68,938.45	\$5,659.04	\$525.00	\$5,134.04		\$4,620.71	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MISCELLANEOUS									
11/06/2018	a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 184637459	S	0.00	10.00	0.00	10.00	90.0 9.00
11/06/2018	a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 184637459	S	0.00	10.00	0.00	10.00	90.0 9.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$20.00	\$0.00	\$20.00		\$18.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018	mfc156871	BRISKIN 156871	MFT BLACKROCK ADV SMALL CAP GROW EQ	B	0.00	4.81	0.00	4.81	90.0 4.33
11/21/2018	mfc156871	BRISKIN 156871	MFT COLUMBIA HIGH YIELD MUNI FD A	B	0.00	3.97	0.00	3.97	90.0 3.57
11/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	4.93	0.00	4.93	90.0 4.44
11/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE HIGH YIELD	B	0.00	1.33	0.00	1.33	90.0 1.20
11/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	2.63	0.00	2.63	90.0 2.37
11/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	1.08	0.00	1.08	90.0 0.97
11/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	8.72	0.00	8.72	90.0 7.85
11/21/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.55	0.00	4.55	90.0 4.10
11/21/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.85	0.00	4.85	90.0 4.37
11/21/2018	mfc156871	BRISKIN 156871	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.57	0.00	6.57	90.0 5.91
11/21/2018	mfc156871	BRISKIN 156871	MFT HARTFORD INTL EQUITY FD A	B	0.00	0.27	0.00	0.27	90.0 0.24
11/21/2018	mfc156871	BRISKIN 156871	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	18.39	0.00	18.39	90.0 16.55
11/21/2018	mfc156871	BRISKIN 156871	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.95	0.00	3.95	90.0 3.56
11/21/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET	B	0.00	2.21	0.00	2.21	90.0 1.99
11/21/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	2.41	0.00	2.41	90.0 2.17
11/21/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.10	0.00	1.10	90.0 0.99
11/21/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	2.05	0.00	2.05	90.0 1.85
11/21/2018	mfc156871	BRISKIN 156871	MFT SMALLCAP WORLD FUND-A	B	0.00	10.37	0.00	10.37	90.0 9.33
11/21/2018	mfc156871	BRISKIN 156871	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.95	0.00	4.95	90.0 4.46
11/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.51	0.00	2.51	90.0 2.26
11/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD GROWTH	B	0.00	1.81	0.00	1.81	90.0 1.63
11/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.22	0.00	0.22	90.0 0.20
11/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	1.15	0.00	1.15	90.0 1.04
11/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD VALUE	B	0.00	1.11	0.00	1.11	90.0 1.00
11/21/2018	mfc156871	BRISKIN 156871	MFT THE INVESTMENT COMPANY OF	B	0.00	61.79	0.00	61.79	90.0 55.61
11/21/2018	mfc156871	BRISKIN 156871	MFT THE NEW ECONOMY FUND-A	B	0.00	6.45	0.00	6.45	90.0 5.81
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.19	0.00	9.19	90.0 8.27
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG CORE GROWTH CL A	B	0.00	10.00	0.00	10.00	90.0 9.00
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	10.71	0.00	10.71	90.0 9.64
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	3.05	0.00	3.05	90.0 2.75
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.47	0.00	1.47	90.0 1.32
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	10.37	0.00	10.37	90.0 9.33
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	24.01	0.00	24.01	90.0 21.61
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	43.90	0.00	43.90	90.0 39.51
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	4.66	0.00	4.66	90.0 4.19
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	9.00	0.00	9.00	90.0 8.10
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	12.78	0.00	12.78	90.0 11.50
11/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	20.37	0.00	20.37	90.0 18.33

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11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.89	0.00	1.89	90.0	1.70
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	5.78	0.00	5.78	90.0	5.20
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	1.52	0.00	1.52	90.0	1.37
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	4.34	0.00	4.34	90.0	3.91
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.10	0.00	1.10	90.0	0.99
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.44	0.00	3.44	90.0	3.10
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.50	0.00	3.50	90.0	3.15
11/21/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	8.47	0.00	8.47	90.0	7.62
11/21/2018	mfc156871 BRISKIN 156871	MFT VALUE A	B	0.00	10.36	0.00	10.36	90.0	9.32
11/21/2018	mfc156871 BRISKIN 156871	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.54	0.00	0.54	90.0	0.49
11/21/2018	mfc156871 BRISKIN 156871	MFT VOYA SENIOR INCOME FUND C	B	0.00	60.58	0.00	60.58	90.0	54.52
11/21/2018	mfc156871 BRISKIN 156871	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.29	0.00	1.29	90.0	1.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$426.50	\$0.00	\$426.50		\$383.88
*** CLEARING TRANSACTIONS TOTAL ***				\$-143,007.66	\$6,105.54	\$565.00	\$5,540.54		\$4,986.59

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/01/2018 (None) SULTEMEIER, FARRON	MF NEST ADVISOR	B	50.00	0.50	0.00	0.50	90.0	0.45
11/05/2018 (None) NIX	MF NEST ADVISOR	B	600.00	18.00	0.00	18.00	90.0	16.20
11/15/2018 00551287150 SMITH,	MF PUTNAM 529 AGE-BASED 2001-A	B	500.00	10.00	0.00	10.00	90.0	9.00
11/15/2018 00551287155 SMITH,	MF PUTNAM 529 AGE-BASED 2000-A	B	500.00	10.00	0.00	10.00	90.0	9.00
11/15/2018 03810613137 SULTEMEIER,	MF AIM MID-CAP EQUITY FUND CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
11/15/2018 03810613137 SULTEMEIER,	MF INVESCO VALUE OPPORTUNITIES	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$1,850.00	\$48.50	\$0.00	\$48.50		\$43.65

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None) VARIOUS, BRISKIN	MFT DELWARE	B	0.00	67.96	0.00	67.96	90.0	61.16
10/31/2018 (None) VARIOUS, BRISKIN	MFT HARTFORD MUTUAL FUIND	B	0.00	15.90	0.00	15.90	90.0	14.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$83.86	\$0.00	\$83.86		\$75.47
*** DIRECT TRANSACTIONS TOTAL ***			\$1,850.00	\$132.36	\$0.00	\$132.36		\$119.12

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
11/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	289.86
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$104.86
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$104.86

BROKER COMMISSION TOTALS

Broker Transactions	\$5,105.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$104.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,210.57
Year-to-date Commissions	\$83,242.10