



COMMISSION STATEMENT

November 19, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: GARRETT MORETZ</u>								
11/19/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	741.09	0.00	741.09	0.5	3.71
		BUY 1 Units @0.0000						
11/20/2018	***-**-29FB - ANTHONY, APRIL	EQIS ADVISOR FEES	79.95	79.95	0.00	79.95	0.5	0.40
	ACCOUNT #: RB5070200L	BUY 0 Units @0.0000						
11/20/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	77.03	77.03	0.00	77.03	0.5	0.39
	ACCOUNT #: RH0813100D	BUY 0 Units @0.0000						
11/20/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	57.56	57.56	0.00	57.56	0.5	0.29
	ACCOUNT #: RH24513009	BUY 0 Units @0.0000						
11/20/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	95.98	95.98	0.00	95.98	0.5	0.48
	ACCOUNT #: RE1889900Z	BUY 0 Units @0.0000						
11/20/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	34.97	34.97	0.00	34.97	0.5	0.17
	ACCOUNT #: RE1910700J	BUY 0 Units @0.0000						
11/20/2018	***-**-40FB - BOHNAKER, ANGELA	EQIS ADVISOR FEES	39.52	39.52	0.00	39.52	0.5	0.20
	ACCOUNT #: RC6490700F	BUY 0 Units @0.0000						
11/20/2018	***-**-08FB - BROOKS, BRENDA	EQIS ADVISOR FEES	26.80	26.80	0.00	26.80	0.5	0.13
	ACCOUNT #: RE0183100R	BUY 0 Units @0.0000						
11/20/2018	***-**-16FB - BROWN, RICHARD	EQIS ADVISOR FEES	13.59	13.59	0.00	13.59	0.5	0.07
	ACCOUNT #: RE08169003	BUY 0 Units @0.0000						
11/20/2018	***-**-16FB - BROWN, RICHARD	EQIS ADVISOR FEES	83.93	83.93	0.00	83.93	0.5	0.42
	ACCOUNT #: RE1604700Z	BUY 0 Units @0.0000						
11/20/2018	***-**-95FB - BROWN, SUSAN	EQIS ADVISOR FEES	78.64	78.64	0.00	78.64	0.5	0.39
	ACCOUNT #: RD9347700L	BUY 0 Units @0.0000						
11/20/2018	***-**-64FB - BURKS, RONALD	EQIS ADVISOR FEES	25.57	25.57	0.00	25.57	0.5	0.13
	ACCOUNT #: RH5786100H	BUY 0 Units @0.0000						
11/20/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	70.98	70.98	0.00	70.98	0.5	0.35
	ACCOUNT #: RD90457005	BUY 0 Units @0.0000						
11/20/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	170.21	170.21	0.00	170.21	0.5	0.85
	ACCOUNT #: RD9898400H	BUY 0 Units @0.0000						
11/20/2018	***-**-71FB - CARPENTIER,	EQIS ADVISOR FEES	26.09	26.09	0.00	26.09	0.5	0.13
	ACCOUNT #: RC9591500B	BUY 0 Units @0.0000						
11/20/2018	***-**-77FB - CLAYTON, HORACE	EQIS ADVISOR FEES	174.54	174.54	0.00	174.54	0.5	0.87
	ACCOUNT #: RD9998300X	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
11/20/2018	***-**-68FB - CLAYTON, LINDA	EQIS ADVISOR FEES	39.85	39.85	0.00	39.85	0.5	0.20
	ACCOUNT #: RE0183300B	BUY 0 Units @0.0000						
11/20/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	24.85	24.85	0.00	24.85	0.5	0.12
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
11/20/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	36.64	36.64	0.00	36.64	0.5	0.18
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
11/20/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	40.99	40.99	0.00	40.99	0.5	0.20
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
11/20/2018	***-**-36FB - CLINGON, KAREN	EQIS ADVISOR FEES	35.19	35.19	0.00	35.19	0.5	0.18
	ACCOUNT #: RE3070500V	BUY 0 Units @0.0000						
11/20/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	9.00	9.00	0.00	9.00	0.5	0.05
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
11/20/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	32.09	32.09	0.00	32.09	0.5	0.16
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
11/20/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	51.71	51.71	0.00	51.71	0.5	0.26
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
11/20/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	43.96	43.96	0.00	43.96	0.5	0.22
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
11/20/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	233.32	233.32	0.00	233.32	0.5	1.17
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
11/20/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.32	14.32	0.00	14.32	0.5	0.07
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
11/20/2018	***-**-85FB - FARZALO, TAMAR	EQIS ADVISOR FEES	14.83	14.83	0.00	14.83	0.5	0.07
	ACCOUNT #: RG9962600R	BUY 0 Units @0.0000						
11/20/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	128.11	128.11	0.00	128.11	0.5	0.64
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
11/20/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	112.05	112.05	0.00	112.05	0.5	0.56
	ACCOUNT #: RH70414009	BUY 0 Units @0.0000						
11/20/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.18	6.18	0.00	6.18	0.5	0.03
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
11/20/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	29.60	29.60	0.00	29.60	0.5	0.15
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
11/20/2018	***-**-68FB - GLAUDIN, EDDY	EQIS ADVISOR FEES	30.62	30.62	0.00	30.62	0.5	0.15
	ACCOUNT #: RB8331200P	BUY 0 Units @0.0000						
11/20/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	115.69	115.69	0.00	115.69	0.5	0.58
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
11/20/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	43.01	43.01	0.00	43.01	0.5	0.22
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
11/20/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	127.19	127.19	0.00	127.19	0.5	0.64
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						
11/20/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES	23.31	23.31	0.00	23.31	0.5	0.12
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
11/20/2018	***-**-84FB - HERNDON, JEAN	EQIS ADVISOR FEES	165.87	165.87	0.00	165.87	0.5	0.83
	ACCOUNT #: RD58625005	BUY 0 Units @0.0000						
11/20/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES	21.00	21.00	0.00	21.00	0.5	0.11
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
11/20/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	112.23	112.23	0.00	112.23	0.5	0.56
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						
11/20/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	54.55	54.55	0.00	54.55	0.5	0.27
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						
11/20/2018	***-**-45FB - HULL, DEBORAH	EQIS ADVISOR FEES	14.48	14.48	0.00	14.48	0.5	0.07
	ACCOUNT #: RG98804003	BUY 0 Units @0.0000						
11/20/2018	***-**-13FB - HULL, GARY	EQIS ADVISOR FEES	65.90	65.90	0.00	65.90	0.5	0.33
	ACCOUNT #: RE2367800J	BUY 0 Units @0.0000						
11/20/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES	17.49	17.49	0.00	17.49	0.5	0.09
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
11/20/2018	***-**-26FB - KOMINEK, DAVID	EQIS ADVISOR FEES	111.83	111.83	0.00	111.83	0.5	0.56
	ACCOUNT #: RD9045800X	BUY 0 Units @0.0000						
11/20/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES	11.09	11.09	0.00	11.09	0.5	0.06
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
11/20/2018	***-**-88FB - LITTLETON,	EQIS ADVISOR FEES	40.21	40.21	0.00	40.21	0.5	0.20
	ACCOUNT #: RB0358400H	BUY 0 Units @0.0000						
11/20/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES	39.90	39.90	0.00	39.90	0.5	0.20
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: GARRETT MORETZ (continued)</u>								
11/20/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	27.79	27.79	0.00	27.79	0.5	0.14
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						
11/20/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	86.76	86.76	0.00	86.76	0.5	0.43
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						
11/20/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	19.97	19.97	0.00	19.97	0.5	0.10
	ACCOUNT #: RD5247000H	BUY 0 Units @0.0000						
11/20/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	18.97	18.97	0.00	18.97	0.5	0.09
	ACCOUNT #: RD52495005	BUY 0 Units @0.0000						
11/20/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	60.49	60.49	0.00	60.49	0.5	0.30
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
11/20/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	13.97	13.97	0.00	13.97	0.5	0.07
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
11/20/2018	***-**-96FB - MERLO, JANE	EQIS ADVISOR FEES	49.79	49.79	0.00	49.79	0.5	0.25
	ACCOUNT #: RH35548005	BUY 0 Units @0.0000						
11/20/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	73.95	73.95	0.00	73.95	0.5	0.37
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
11/20/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	2.28	2.28	0.00	2.28	0.5	0.01
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						
11/20/2018	***-**-70FB - FERRELL,	EQIS ADVISOR FEES	50.13	50.13	0.00	50.13	0.5	0.25
	ACCOUNT #: RB50708009	BUY 0 Units @0.0000						
11/20/2018	***-**-759I - MERRILL, SARA	EQIS ADVISOR FEES	24.06	24.06	0.00	24.06	0.5	0.12
	ACCOUNT #: RB5875400D	BUY 0 Units @0.0000						
11/20/2018	***-**-58FB - NELSON-GAINES,	EQIS ADVISOR FEES	12.91	12.91	0.00	12.91	0.5	0.06
	ACCOUNT #: RH0166600L	BUY 0 Units @0.0000						
11/20/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	61.50	61.50	0.00	61.50	0.5	0.31
	ACCOUNT #: RG9534800V	BUY 0 Units @0.0000						
11/20/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	19.29	19.29	0.00	19.29	0.5	0.10
	ACCOUNT #: RG9885700V	BUY 0 Units @0.0000						
11/20/2018	***-**-77FB - PECK, GORDON	EQIS ADVISOR FEES	33.50	33.50	0.00	33.50	0.5	0.17
	ACCOUNT #: RE14731003	BUY 0 Units @0.0000						
11/20/2018	***-**-98FB - PFYFFER, ALBERT	EQIS ADVISOR FEES	38.07	38.07	0.00	38.07	0.5	0.19
	ACCOUNT #: RE1500600F	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: GARRETT MORETZ (continued)</u>								
11/20/2018	***-**-35FB - REYNOLDS, DAVID	EQIS ADVISOR FEES	21.46	21.46	0.00	21.46	0.5	0.11
	ACCOUNT #: RH67367001	BUY 0 Units @0.0000						
11/20/2018	***-**-35FB - REYNOLDS, DAVID	EQIS ADVISOR FEES	15.05	15.05	0.00	15.05	0.5	0.08
	ACCOUNT #: RH67371009	BUY 0 Units @0.0000						
11/20/2018	***-**-43FB - RODES, KENNETH	EQIS ADVISOR FEES	85.82	85.82	0.00	85.82	0.5	0.43
	ACCOUNT #: RE1686200F	BUY 0 Units @0.0000						
11/20/2018	***-**-13FB - RUSSELL, MARK	EQIS ADVISOR FEES	31.74	31.74	0.00	31.74	0.5	0.16
	ACCOUNT #: RG9722700B	BUY 0 Units @0.0000						
11/20/2018	***-**-51FB - SCHMITT, CAROL	EQIS ADVISOR FEES	75.84	75.84	0.00	75.84	0.5	0.38
	ACCOUNT #: RD9797400D	BUY 0 Units @0.0000						
11/20/2018	***-**-67FB - SCHMITT, EDWARD	EQIS ADVISOR FEES	15.30	15.30	0.00	15.30	0.5	0.08
	ACCOUNT #: RE0237700Z	BUY 0 Units @0.0000						
11/20/2018	***-**-52FB - SCHNABEL,	EQIS ADVISOR FEES	69.50	69.50	0.00	69.50	0.5	0.35
	ACCOUNT #: RH00769005	BUY 0 Units @0.0000						
11/20/2018	***-**-18FB - SMITH, JONATHAN	EQIS ADVISOR FEES	63.90	63.90	0.00	63.90	0.5	0.32
	ACCOUNT #: RH6464500D	BUY 0 Units @0.0000						
11/20/2018	***-**-17FB - SPENCER, TERESA	EQIS ADVISOR FEES	22.07	22.07	0.00	22.07	0.5	0.11
	ACCOUNT #: RH62435005	BUY 0 Units @0.0000						
11/20/2018	***-**-94FB - SWAN, ANDREW	EQIS ADVISOR FEES	35.67	35.67	0.00	35.67	0.5	0.18
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
11/20/2018	***-**-97FB - SWAN, MELANIE	EQIS ADVISOR FEES	24.87	24.87	0.00	24.87	0.5	0.12
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
11/20/2018	***-**-60FB - TIPPETT, ASHBY	EQIS ADVISOR FEES	29.34	29.34	0.00	29.34	0.5	0.15
	ACCOUNT #: RH6409800H	BUY 0 Units @0.0000						
11/20/2018	***-**-38FB - TOLLIVER, GLORIA	EQIS ADVISOR FEES	4.62	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: RD5984200P	BUY 0 Units @0.0000						
11/20/2018	***-**-29FB - TOLLIVER, SHANE	EQIS ADVISOR FEES	8.78	8.78	0.00	8.78	0.5	0.04
	ACCOUNT #: RD95555005	BUY 0 Units @0.0000						
11/20/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES	5.59	5.59	0.00	5.59	0.5	0.03
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
11/20/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES	175.48	175.48	0.00	175.48	0.5	0.88
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
		* GARRETT MORETZ SUBTOTAL *	\$4,180.88	\$4,921.97	\$0.00	\$4,921.97		\$24.63
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$4,180.88	\$4,921.97	\$0.00	\$4,921.97		\$24.63

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$24.63
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$24.63
Year-to-date Earnings	\$9,628.35