



COMMISSION STATEMENT

October 29, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/25/2018	***-**-569I - ANDERSON, ACCOUNT #: LSR011969	AMERICAN FUNDS 2035 TARGET DATE-A BUY 140 Units @15.0400	2,100.01	57.75	18.00	39.75	70.0	27.83
10/26/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.4600	75.00	3.19	0.00	3.19	70.0	2.23
10/26/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.4600	75.00	3.19	0.00	3.19	70.0	2.23
10/26/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.4600	75.00	3.19	0.00	3.19	70.0	2.23
10/26/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @15.4600	75.00	3.19	0.00	3.19	70.0	2.23
10/25/2018	***-**-67IR - SHANNON, ACCOUNT #: LSR012619	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 16 Units @18.9400	299.99	15.00	0.00	15.00	70.0	10.50
10/25/2018	***-**-67IR - SHANNON, ACCOUNT #: LSR012619	AMERICAN FUNDS GRWTH & INCOME PORT BUY 19 Units @15.5200	300.00	15.00	0.00	15.00	70.0	10.50
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @19.8200	5.54	0.17	0.00	0.17	70.0	0.12
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.8200	29.53	0.89	0.00	0.89	70.0	0.62
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @19.8200	5.54	0.17	0.00	0.17	70.0	0.12
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.8200	29.53	0.89	0.00	0.89	70.0	0.62
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.0000	24.92	1.06	0.00	1.06	70.0	0.74
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @49.0000	132.92	5.65	0.00	5.65	70.0	3.96
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.0000	24.92	1.06	0.00	1.06	70.0	0.74
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @49.0000	132.92	5.65	0.00	5.65	70.0	3.96
10/26/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @49.9300	8.31	0.35	0.00	0.35	70.0	0.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
10/26/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @49.9300						
10/26/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @49.9300						
10/26/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @49.9300						
10/26/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	4.20	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 04000234328	BUY 0 Units @59.2300						
10/26/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	14.00	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 04000234328	BUY 0 Units @59.2300						
10/26/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	12.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 04000234328	BUY 0 Units @59.2300						
10/26/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	3.60	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 04000234328	BUY 0 Units @59.2300						
10/15/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 20 Units @10.1700						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @53.0400						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @53.0400						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @53.0400						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @53.0400						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @23.2200						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @23.2200						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @23.2200						
10/26/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @23.2200						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
10/11/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	261.44	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: 01293376129	BUY 6 Units @45.3800						
10/24/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	261.44	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: 01293376129	BUY 6 Units @44.2100						
* MUTUAL FUNDS SUBTOTAL *			\$4,458.20	\$140.17	\$18.00	\$122.17		\$85.55
<u>PRODUCT CATEGORY: STOCKS</u>								
10/23/2018	***-**-585X - LAURICELLA, ADAM	FIDELITY FREEDOM 2035	4,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011433	BUY 277 Units @14.4400						
* STOCKS SUBTOTAL *			\$4,000.00	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: RIA</u>								
10/29/2018	***-**-47FB - TORRES, SHARI	INVESTMENT ADVISORY (PARTICIPANTS)	52,491.67	131.23	0.00	131.23	70.0	91.86
	ACCOUNT #: 297864	BUY 0 Units @5.2491						
* RIA SUBTOTAL *			\$52,491.67	\$131.23	\$0.00	\$131.23		\$91.86
*** BROKER TRANSACTIONS TOTAL ***			\$60,949.87	\$271.40	\$36.00	\$235.40		\$159.41

BROKER COMMISSION TOTALS

Broker Transactions	\$159.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$159.41
Year-to-date Earnings	\$59,211.08