

COMMISSION STATEMENT October 31, 2018

THOMAS-ANTHONY RUGGIERO
 3159 Alzante Circle
 Suite 102B
 Melbourne, FL 32940

BROKER #:
 BRANCH: D12

COMMISSION STATEMENT for THOMAS-ANTHONY RUGGIERO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

10/03/2018 (None)	MULLER, CAROL	VAT TRANSAMERICA LIFE	B	0.00	238.64	0.00	238.64	73.0	174.21
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$238.64	\$0.00	\$238.64		\$174.21
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$238.64	\$0.00	\$238.64		\$174.21

SPLIT TRANSACTIONS

BROKER: SPLIT RUGGIERO #172

09/27/2018	707375026 P	SUDOL REV TRUST, S	SPDR FD FINANCIALS	S	-123,074.17	2,832.98	7.50	2,825.48	2,062.60
* SPLIT RUGGIERO SUBTOTAL *					\$-123,074.17	\$2,832.98	\$7.50	\$2,825.48	\$2,062.60
*** SPLIT TRANSACTIONS TOTAL ***					\$-123,074.17	\$2,832.98	\$7.50	\$2,825.48	\$2,062.60

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018		:	BROKER WORKSTATION		0.00	0.00	0.00	0.00	-30.00
10/31/2018		:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *					\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$174.21
Split Transactions	\$2,062.60
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-70.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,111.32
Year-to-date Commissions	\$2,111.32