

**COMMISSION STATEMENT**  
**November 15, 2018**

JOY LAWRENCE-D098  
9000 Highway 2147 West  
Suite 201  
Horseshoe Bay, TX 78657

BROKER #: D098  
BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
10/15/2018	00551135830 CHHEN, KUONG	MF PUTNAM ABSOLUTE RETURN 700-A	B	540.00	14.85	0.00	14.85	50.0	7.43
10/15/2018	00551137086 CHHEN, CHAN	MF PUTNAM ABSOLUTE RETURN 700-A	B	540.00	14.85	0.00	14.85	50.0	7.43
* MUTUAL FUNDS SUBTOTAL *				<u>\$1,080.00</u>	<u>\$29.70</u>	<u>\$0.00</u>	<u>\$29.70</u>		<u>\$14.86</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$1,080.00</u>	<u>\$29.70</u>	<u>\$0.00</u>	<u>\$29.70</u>		<u>\$14.86</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$14.86
Year-to-date Commissions	\$14,084.77