

COMMISSION STATEMENT

September 30, 2018

 RALPH BURZYNSKI-D368
 634 South Cook Street

 BROKER #: D368
 BRANCH: D12

Barrington, IL 60010

COMMISSION STATEMENT for RALPH BURZYNSKI-D368

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
09/17/2018	00082908244 RICHTER, PAUL	MF THE GROWTH FUND OF AMERICA-A	B	200.00	10.00	0.00	10.00	90.0	9.00
09/20/2018	00083381688 LEHMANN, MARGARET	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
09/20/2018	00083453207 KOLMAN, KIMBERLY	MF THE GROWTH FUND OF AMERICA-A	B	100.00	3.75	0.00	3.75	90.0	3.38
09/17/2018	00089222882 CAMERON, NICOLE	MF THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	90.0	4.50
09/17/2018	00089241426 CERVONE, DONALD	MF AMERICAN BALANCED FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
09/17/2018	00089241426 CERVONE, DONALD	MF INVESTMENT COMPANY OF AMERICA	B	125.00	1.25	0.00	1.25	90.0	1.13
09/17/2018	01454909522 BENNING, ERIC	MF FIDELITY ADV NEW INSIGHTS-CL A	B	250.00	12.50	0.00	12.50	90.0	11.25
09/17/2018	01456626793 BENNING, MATTHEW	MF FIDELITY ADV NEW INSIGHTS-CL A	B	100.00	5.00	0.00	5.00	90.0	4.50
09/20/2018	04000634216 LEE B LAGUA,	MF AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
09/17/2018	04001114260 JOHNSON, AIDAN	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	90.0	2.25
09/20/2018	04001147999 IL/TOD	MF INVESTMENT COMPANY OF AMERICA	B	200.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				\$1,400.00	\$46.38	\$0.00	\$46.38		\$41.76
PRODUCT CATEGORY: VARIABLE ANNUITIES									
09/11/2018	(None) FISHMAN, MARYANNE	VA NATIONWIDE	B	50,000.00	2,625.00	0.00	2,625.00	90.0	2,362.50
09/13/2018	(None) MILBURN, BRENT	VA NATIONWIDE	B	337.50	6.75	0.00	6.75	90.0	6.08
09/13/2018	(None) WHITLEY, LINDSEY	VA NATIONWIDE	B	393.75	4.92	0.00	4.92	90.0	4.43
09/13/2018	(None) WILKEN, LINDSEY	VA NATIONWIDE	B	362.70	4.53	0.00	4.53	90.0	4.08
09/13/2018	(None) BRENNAN, TERRANCE	VA NATIONWIDE	B	44,781.59	559.77	0.00	559.77	90.0	503.79
09/17/2018	(None) CARLSON, DEBORAH	VA NATIONWIDE	B	50.00	1.88	0.00	1.88	90.0	1.69
09/17/2018	(None) WARD, FRANCES	VA NATIONWIDE	B	75.00	3.75	0.00	3.75	90.0	3.38
09/17/2018	(None) WARD, PAUL	VA NATIONWIDE	B	50.00	2.50	0.00	2.50	90.0	2.25
09/17/2018	(None) FINEGOLD, JILL	VA NATIONWIDE	B	50.00	1.75	0.00	1.75	90.0	1.58
09/20/2018	(None) IRWIN, SUZANNE	VA NATIONWIDE	B	107.50	1.08	0.00	1.08	90.0	0.97
09/20/2018	(None) MACK, JEANETTE	VA NATIONWIDE	B	300.00	15.00	0.00	15.00	90.0	13.50
09/20/2018	(None) BEDOW BRYANT, PATR	VA NATIONWIDE	B	150.00	10.50	0.00	10.50	90.0	9.45
09/20/2018	(None) GOHDE, CAROL	VA NATIONWIDE	B	50,000.00	625.00	0.00	625.00	90.0	562.50
09/20/2018	(None) SCHULZ, RICHARD	VA NATIONWIDE	B	10,000.00	125.00	0.00	125.00	90.0	112.50
* VARIABLE ANNUITIES SUBTOTAL *				\$156,658.04	\$3,987.43	\$0.00	\$3,987.43		\$3,588.70

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/31/2018 (None)	VARIOUS,	MFT PRINCIPAL	B	0.00	0.74	0.00	0.74	90.0	0.67
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.74	\$0.00	\$0.74		\$0.67
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
09/17/2018 (None)	NEFF, PETER	VAT AMERICAN GENERAL	B	0.00	184.03	0.00	184.03	90.0	165.63
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$184.03	\$0.00	\$184.03		\$165.63
		*** DIRECT TRANSACTIONS TOTAL ***		\$158,058.04	\$4,218.58	\$0.00	\$4,218.58		\$3,796.76

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,796.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,611.76
Year-to-date Commissions	\$44,429.05