

COMMISSION STATEMENT

August 31, 2018

 ALEX BRISTER-D010
 32180 Inverness Drive

 BROKER #: D010
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for ALEX BRISTER-D010

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|---|-----------|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|-------|
| CLEARING TRANSACTIONS | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZ NFJ INTL VALUE FD CL C | B | 0.00 | 10.07 | 0.00 | 10.07 | 50.0 | 5.04 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI SHRT DURATION HIGH | B | 0.00 | 8.20 | 0.00 | 8.20 | 50.0 | 4.10 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI GLOBAL ALLOCATION A | B | 0.00 | 2.66 | 0.00 | 2.66 | 50.0 | 1.33 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI NFJ DIVIDEND VALUE C | B | 0.00 | 13.11 | 0.00 | 13.11 | 50.0 | 6.56 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI NFJ DIVIDEND VALUE C | B | 0.00 | 35.05 | 0.00 | 35.05 | 50.0 | 17.53 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-A | B | 0.00 | 0.43 | 0.00 | 0.43 | 50.0 | 0.22 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-A | B | 0.00 | 3.29 | 0.00 | 3.29 | 50.0 | 1.65 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-A | B | 0.00 | 10.64 | 0.00 | 10.64 | 50.0 | 5.32 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-C | B | 0.00 | 9.26 | 0.00 | 9.26 | 50.0 | 4.63 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 0.28 | 0.00 | 0.28 | 50.0 | 0.14 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 0.98 | 0.00 | 0.98 | 50.0 | 0.49 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.19 | 0.00 | 0.19 | 50.0 | 0.10 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 2.23 | 0.00 | 2.23 | 50.0 | 1.12 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT EUROPACIFIC GROWTH FUND CLASS C | B | 0.00 | 3.82 | 0.00 | 3.82 | 50.0 | 1.91 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 0.17 | 0.00 | 0.17 | 50.0 | 0.09 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 0.34 | 0.00 | 0.34 | 50.0 | 0.17 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 3.23 | 0.00 | 3.23 | 50.0 | 1.62 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 3.59 | 0.00 | 3.59 | 50.0 | 1.80 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT INVESTMENT COMPANY OF AMERICA | B | 0.00 | 2.29 | 0.00 | 2.29 | 50.0 | 1.15 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT INVESTMENT COMPANY OF AMERICA | B | 0.00 | 6.01 | 0.00 | 6.01 | 50.0 | 3.01 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 0.13 | 0.00 | 0.13 | 50.0 | 0.07 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.31 | 0.00 | 1.31 | 50.0 | 0.66 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.38 | 0.00 | 1.38 | 50.0 | 0.69 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.92 | 0.00 | 1.92 | 50.0 | 0.96 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-C | B | 0.00 | 2.93 | 0.00 | 2.93 | 50.0 | 1.47 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-C | B | 0.00 | 9.43 | 0.00 | 9.43 | 50.0 | 4.72 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT NEW WORLD FUND-A | B | 0.00 | 1.53 | 0.00 | 1.53 | 50.0 | 0.77 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT PIM 018918664 | B | 0.00 | 4.36 | 0.00 | 4.36 | 50.0 | 2.18 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT PIM 018918664 | B | 0.00 | 4.89 | 0.00 | 4.89 | 50.0 | 2.45 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT PIMCO STOCKSPPLUS A | B | 0.00 | 2.67 | 0.00 | 2.67 | 50.0 | 1.34 |
| 08/21/2018 | mfc652653 | BRISTER A 652653 | MFT PIMCO STOCKSPPLUS A | B | 0.00 | 8.37 | 0.00 | 8.37 | 50.0 | 4.19 |

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|--------------------------------------|----------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT SHORT-TERM BOND FUND OF AMERICA | B | 0.00 | 1.27 | 0.00 | 1.27 | 50.0 | 0.64 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-C | B | 0.00 | 5.02 | 0.00 | 5.02 | 50.0 | 2.51 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-C | B | 0.00 | 5.02 | 0.00 | 5.02 | 50.0 | 2.51 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 0.16 | 0.00 | 0.16 | 50.0 | 0.08 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 1.79 | 0.00 | 1.79 | 50.0 | 0.90 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.04 | 0.00 | 6.04 | 50.0 | 3.02 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 0.25 | 0.00 | 0.25 | 50.0 | 0.13 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 1.73 | 0.00 | 1.73 | 50.0 | 0.87 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 1.76 | 0.00 | 1.76 | 50.0 | 0.88 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.25 | 0.00 | 2.25 | 50.0 | 1.13 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 3.95 | 0.00 | 3.95 | 50.0 | 1.98 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 12.25 | 0.00 | 12.25 | 50.0 | 6.13 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 0.32 | 0.00 | 0.32 | 50.0 | 0.16 |
| 08/21/2018 | mfc652653 BRISTER A 652653 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 9.16 | 0.00 | 9.16 | 50.0 | 4.58 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$205.73 | \$0.00 | \$205.73 | | \$103.00 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$0.00 | \$205.73 | \$0.00 | \$205.73 | | \$103.00 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | |
|--------------------------------------|--------------------|---|--------|----------|---------|--------|---------|---------|
| 08/06/2018 (None) 0045711389 | MF SCHOLARS CHOICE | B | 50.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 08/06/2018 (None) 0045795127 | MF SCHOLARS CHOICE | B | 50.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 08/06/2018 (None) 0045709342 | MF SCHOLARS CHOICE | B | 50.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 08/13/2018 00068781179 GERRIE, SARA | MF AMCAP FUND-A | B | 300.00 | 8.25 | 0.00 | 8.25 | 50.0 | 4.13 |
| 08/15/2018 00083404474 BARNES, WENDY | MF AMCAP FUND-A | B | 500.00 | 18.75 | 0.00 | 18.75 | 50.0 | 9.38 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$950.00 | \$31.50 | \$0.00 | \$31.50 | \$15.76 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$950.00 | \$31.50 | \$0.00 | \$31.50 | \$15.76 |

ADJUSTMENTS TRANSACTIONSBROKER: #0

| | | | | | | |
|--|----------------------|--------|--------|--------|--------|----------|
| 08/31/2018 | : BROKER WORKSTATION | 0.00 | 0.00 | 0.00 | 0.00 | -30.00 |
| 08/31/2018 | : E-MAIL ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | -25.00 |
| * SUBTOTAL * | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-55.00 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-55.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$118.76 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-55.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|-------------------|
| Net Commissions | \$63.76 |
| Year-to-date Commissions | \$1,658.06 |