



COMMISSION STATEMENT

November 19, 2018

STANLEY (DARRELL) KEATHLEY
200 Prosperity Drive
Suite 133
Knoxville, TN 37923

BROKER #: B07
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
11/20/2018	***-**-02FB - LIEBOLD, CHRISTY HOWARD CAPITAL MANAGMENT RIA FEE		69.77	69.77	0.00	69.77	80.0	55.82
	ACCOUNT #: RG9873200R	BUY 0 Units @0.0000						
		* RIA SUBTOTAL *	\$69.77	\$69.77	\$0.00	\$69.77		\$55.82
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2018	***-**-8250 - BOSSE, PAUL	CAPITAL INCOME BUILDER-A	0.00	2.59	0.00	2.59	80.0	2.07
	ACCOUNT #: LSR012084	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	COLUMBIA MID CAP INDEX CLASS A	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY	0.00	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	EATON VANCE HIGH INCOME FUND CLASS	0.00	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY ADV GROWTH OPPORT CL A	0.00	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY ADV HEALTH CARE-CL A	0.00	2.61	0.00	2.61	80.0	2.09
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY ADV UTILITIES CL A	0.00	1.45	0.00	1.45	80.0	1.16
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	FIDELITY DESTINY I - CLASS N	0.00	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
10/19/2018	***-**-39IO - MOYERS, MICHELLE	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	6.18	0.00	6.18	80.0	4.94
	ACCOUNT #: LSR012055	BUY 1 Units @0.0000						
10/19/2018	***-**-20IR - PFAFF, SHEILA	TRS SMALL/MID CAP VALUE A NFS	0.00	4.68	0.00	4.68	80.0	3.74
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
10/19/2018	***-**-97IO - BISHOP, RICHARD	TRS SMALL/MID CAP VALUE A NFS	0.00	2.47	0.00	2.47	80.0	1.98
	ACCOUNT #: LSR012836	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$23.06	\$0.00	\$23.06		\$18.45
		*** BROKER TRANSACTIONS TOTAL ***	\$69.77	\$92.83	\$0.00	\$92.83		\$74.27



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$74.27
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$74.27
Year-to-date Earnings								\$42,243.67