

COMMISSION STATEMENT

November 30, 2018

 BARRY DAVIS-D018
 270 South Glynn Street

 BROKER #: D018
 BRANCH: DME

Fayetteville, GA 30214

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/12/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
11/19/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
11/16/2018	00074587618	HAY, JOY	MF	SMALLCAP WORLD FUND-529A	B	100.00	5.00	0.00	5.00 70.0 3.50
11/16/2018	00074587647	HAY, JOY	MF	SMALLCAP WORLD FUND-529A	B	100.00	5.00	0.00	5.00 70.0 3.50
11/15/2018	00085425741	CLOUD, ANTRE	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25 70.0 2.98
11/15/2018	00085425741	CLOUD, ANTRE	MF	THE INCOME FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25 70.0 2.98
11/15/2018	00085425741	CLOUD, ANTRE	MF	THE INVESTMENT COMPANY OF	B	100.00	4.25	0.00	4.25 70.0 2.98
11/20/2018	00085746820	DAVIS, MICHAEL	MF	AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.50	0.00	1.50 70.0 1.05
11/20/2018	00085746820	DAVIS, MICHAEL	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50 70.0 1.75
11/12/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
11/12/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
11/12/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
11/12/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
11/20/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
11/20/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
11/20/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
11/20/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
11/12/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
11/12/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	20.00	0.55	0.00	0.55 70.0 0.39
11/12/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.38	0.00	1.38 70.0 0.97
11/12/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
11/12/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
11/12/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	30.00	0.83	0.00	0.83 70.0 0.58
11/20/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
11/20/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	20.00	0.55	0.00	0.55 70.0 0.39
11/20/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
11/20/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.38	0.00	1.38 70.0 0.97
11/20/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
11/20/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	30.00	0.83	0.00	0.83 70.0 0.58
11/12/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
11/12/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/12/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/12/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/12/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/12/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/12/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
11/12/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
11/20/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
11/20/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
11/20/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/20/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/20/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/20/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
11/20/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
11/20/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
* MUTUAL FUNDS SUBTOTAL *				\$1,249.98	\$45.81	\$0.00	\$45.81		\$32.14

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

11/13/2018 (None) MERRITT MILDRE	VAT AMERICAN GENERAL	B	0.00	254.21	0.00	254.21	70.0	177.95
11/16/2018 (None) WHISENAND, DON	VAT AMERICAN GENERAL	B	0.00	130.09	0.00	130.09	70.0	91.06
11/17/2018 (None) MCCRANIE NINA	VAT AMERICAN GENERAL	B	0.00	452.08	0.00	452.08	70.0	316.46
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$836.38	\$0.00	\$836.38	\$585.47
*** DIRECT TRANSACTIONS TOTAL ***				\$1,249.98	\$882.19	\$0.00	\$882.19	\$617.61

LINKED CLEARING TRANSACTIONS

11/21/2018 mfc000309 DAVIS 000309	MFT AMERICAN BALANCED FUND-A	B	0.00	9.79	0.00	9.79	6.85
* BRYANT DAVIS-D084 SUBTOTAL *			\$0.00	\$9.79	\$0.00	\$9.79	\$6.85
*** LINKED CLEARING TRANSACTIONS TOTAL ***			\$0.00	\$9.79	\$0.00	\$9.79	\$6.85

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$624.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$469.46
Year-to-date Commissions	\$50,938.19