

COMMISSION STATEMENT

August 31, 2018

DPM HAVARD

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for DPM HAVARD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/29/2018 368373451	COMPTON, RICKY	MF	BLACKROCK FLOATING RATE INCM	S	-57,821.07	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$-57,821.07	\$0.00	\$5.00	\$-5.00		\$0.00

PRODUCT CATEGORY: STOCKS

08/17/2018 368461620	STRONG, CHRISTINE	S	ALASKA AIR GROUP INC	B	2,238.33	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	CALLAWAY GOLF COMPANY (DEL)	B	2,317.54	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	CARNIVAL CORP NEW PARIED COM	B	2,321.39	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	CROCS INC	B	2,329.93	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	DEERE & COMPANY	B	2,277.27	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	HASBRO INC	B	2,295.14	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	HOME DEPOT INCORPORATED	B	2,170.91	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	LOGITECH INTERNATIONAL SA-REG	B	2,316.48	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	NATIONAL BEVERAGE CORP	B	2,346.05	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	SOUTHWEST AIRLINES COMPANY	B	2,286.81	15.00	15.00	0.00	0.0	0.00
08/17/2018 368461620	STRONG, CHRISTINE	S	STANLEY BLACK & DECKER INC	B	2,279.85	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	ALASKA AIR GROUP INC	B	5,323.04	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	APPLE INC	B	5,187.05	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	CALLAWAY GOLF COMPANY (DEL)	B	5,316.68	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	CARNIVAL CORP NEW PARIED COM	B	5,235.84	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	CROCS INC	B	5,324.38	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	DEERE & COMPANY	B	5,366.67	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	DOLLAR TREE INC	B	5,248.45	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	HASBRO INC	B	5,295.71	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	HOME DEPOT INCORPORATED	B	5,163.60	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	ISHARES CORE MSCI EMERGING	S	-27,682.04	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	ISHARES CORE S&P 500 ETF	S	-45,659.93	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	LOGITECH INTERNATIONAL SA-REG	B	5,302.83	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	NATIONAL BEVERAGE CORP	B	5,299.06	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	SOUTHWEST AIRLINES COMPANY	B	5,297.84	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	STANLEY BLACK & DECKER INC	B	5,283.62	15.00	15.00	0.00	0.0	0.00
08/20/2018 707151793	THOMAS, BRUCE	S	WEIGHT WATCHERS INTERNTIONAL	B	5,377.11	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* STOCKS SUBTOTAL *				\$25,859.61	\$405.00	\$405.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/21/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK MULTI-ASSET INCOME FD B	0.00	41.84	0.00	41.84	0.0	0.00
08/21/2018	mfc307289	VARIOUS, HAVARD	MFT BLACKROCK STRATEGIC INC OPP FD B	0.00	49.08	0.00	49.08	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$90.92	\$0.00	\$90.92		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-31,961.46	\$495.92	\$410.00	\$85.92		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00