

COMMISSION STATEMENT
August 31, 2018

ELLIS DPM

BROKER #:
BRANCH: DPM

, TX

COMMISSION STATEMENT for ELLIS DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT MAINSTAY LARGE CAP GROWTH FUND	B	0.00	0.29	0.00	0.29	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT NATIXIS GATEWAY FD	B	0.00	1.48	0.00	1.48	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PIMCO COMMODITY REAL RETURN	B	0.00	0.11	0.00	0.11	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PIONEER CULLEN VALUE FUND CLASS	B	0.00	0.36	0.00	0.36	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PUTNAM 746802859	B	0.00	0.20	0.00	0.20	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PUTNAM CAPITAL SPECTRUM FD A	B	0.00	1.77	0.00	1.77	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT ROYCE SMALLER CO GROWTH FD INV	B	0.00	0.41	0.00	0.41	0.0	0.00
08/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT VAN ECK GLOBAL HARD ASSETS FD	B	0.00	0.16	0.00	0.16	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4.78	\$0.00	\$4.78		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$4.78	\$0.00	\$4.78		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00