

COMMISSION STATEMENT

August 31, 2018

 ALAN SMITH-D206
 1900 NW Loop 410

 BROKER #: D206
 BRANCH: DMR

San Antonio, TX 78213

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	8.89	0.00	8.89	80.0	7.11
08/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	34.40	0.00	34.40	80.0	27.52
08/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN BALANCED FUND-A	B	0.00	289.44	0.00	289.44	80.0	231.55
08/21/2018	mfc892289 SMITH ALAN 892289	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	6.57	0.00	6.57	80.0	5.26
08/21/2018	mfc892289 SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.91	0.00	7.91	80.0	6.33
08/21/2018	mfc892289 SMITH ALAN 892289	MFT CAPITAL INCOME BUILDER-A	B	0.00	90.15	0.00	90.15	80.0	72.12
08/21/2018	mfc892289 SMITH ALAN 892289	MFT THE INCOME FUND OF AMERICA-A	B	0.00	75.14	0.00	75.14	80.0	60.11
08/21/2018	mfc892289 SMITH ALAN 892289	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.95	0.00	14.95	80.0	11.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$527.45	\$0.00	\$527.45		\$421.96
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$527.45	\$0.00	\$527.45		\$421.96

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/20/2018 (None)	GLENNROBES	MF BLACKROCK	B	100.00	1.00	0.00	1.00	80.0	0.80
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$1.00	\$0.00	\$1.00		\$0.80

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.30	0.00	5.30	80.0	4.24
07/31/2018 (None)	GLENNROBES	MFT BLACKROCK	B	0.00	5.68	0.00	5.68	80.0	4.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10.98	\$0.00	\$10.98		\$8.78
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$11.98	\$0.00	\$11.98		\$9.58

COMMISSION STATEMENT for ALAN SMITH-D206

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONS

BROKER: #0

08/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
08/31/2018	:	BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00		-30.00
08/31/2018	:	CUSTOMER WRITE OFF 646103625		0.00	0.00	0.00	0.00		-25.00
08/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
08/31/2018	:	E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00		-25.00
08/31/2018	:	E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-160.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-160.00

BROKER COMMISSION TOTALS

Broker Transactions	\$431.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-160.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$271.54
Year-to-date Commissions	\$39,914.20