

COMMISSION STATEMENT

December 15, 2018

 DEAN DIXON-D340
 1473 South Evanston Street

 BROKER #: D340
 BRANCH: DMN

Aurora, CO 80012

COMMISSION STATEMENT for DEAN DIXON-D340

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/26/2018 (None)	0045797602	MF SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	65.0	3.90	
11/26/2018	000000009491251	BURTOELAIE	MF FRANKLIN INCOME FUND CLASS C	B	50.00	0.50	0.00	0.50	65.0	0.33
12/03/2018	00088570140	MCCOY, JOSEPHINE	MF GROWTH AND INCOME PORTFOLIO-A	B	200.00	10.00	0.00	10.00	65.0	6.50
12/03/2018	00088570140	MCCOY, JOSEPHINE	MF GROWTH AND INCOME PORTFOLIO-A	B	44.10	2.21	0.00	2.21	65.0	1.44
12/03/2018	00088570147	CHRISTOPHER,	MF BALANCED PORTFOLIO-A	B	100.00	5.00	0.00	5.00	65.0	3.25
12/03/2018	00088570147	CHRISTOPHER,	MF BALANCED PORTFOLIO-A	B	40.66	2.03	0.00	2.03	65.0	1.32
11/30/2018	00088974995	ELZEY, ANGELA	MF THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	65.0	3.25
* MUTUAL FUNDS SUBTOTAL *				\$734.76	\$30.74	\$0.00	\$30.74		\$19.99	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
11/15/2018 (None)	RICE, DONALD	VA LINCOLN NATL LIFE	B	900.00	60.75	0.00	60.75	65.0	39.49	
* VARIABLE ANNUITIES SUBTOTAL *				\$900.00	\$60.75	\$0.00	\$60.75		\$39.49	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/03/2018	00086510881	DEAN A DIXON &	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	13.86	0.00	13.86	65.0	9.01
12/03/2018	00086510881	DEAN A DIXON &	MFT AMERICAN MUTUAL FUND-A	B	0.00	22.64	0.00	22.64	65.0	14.72
12/03/2018	00086510881	DEAN A DIXON &	MFT CAPITAL INCOME BUILDER-A	B	0.00	16.76	0.00	16.76	65.0	10.89
12/03/2018	00086510881	DEAN A DIXON &	MFT THE INCOME FUND OF AMERICA-A	B	0.00	16.81	0.00	16.81	65.0	10.93
12/03/2018	00087519867	CHARLOTTE E	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.57	0.00	3.57	65.0	2.32
12/03/2018	00087519867	CHARLOTTE E	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.36	0.00	9.36	65.0	6.08
12/03/2018	00087702564	JERRY ASHFORD &	MFT GROWTH PORTFOLIO-A	B	0.00	36.41	0.00	36.41	65.0	23.67
12/03/2018	00088570034	RICE, DONALD	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.13	0.00	1.13	65.0	0.73
12/03/2018	00088570140	MCCOY, JOSEPHINE	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.19	0.00	4.19	65.0	2.72
12/03/2018	00088570147	CHRISTOPHER,	MFT BALANCED PORTFOLIO-A	B	0.00	1.75	0.00	1.75	65.0	1.14
12/03/2018	00088570180	GALLEGOS, ANDREA	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	1.90	0.00	1.90	65.0	1.24
12/03/2018	00088974995	ELZEY, ANGELA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.92	0.00	2.92	65.0	1.90
12/03/2018	00088974995	ELZEY, ANGELA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.85	0.00	0.85	65.0	0.55
12/03/2018	00089948914	HUDSON, MINNIE	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.29	0.00	4.29	65.0	2.79
12/03/2018	00089948914	HUDSON, MINNIE	MFT THE INVESTMENT COMPANY OF	B	0.00	3.35	0.00	3.35	65.0	2.18
12/03/2018	04000103299	FULLER JTWROS,	MFT THE INVESTMENT COMPANY OF	B	0.00	3.05	0.00	3.05	65.0	1.98
12/03/2018	04000317502	RAFFERTY, COLLEEN	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.35	0.00	2.35	65.0	1.53
12/03/2018	04000707886	ANGEL GARCIA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.03	0.00	0.03	65.0	0.02

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12/03/2018 04000872046	JOHN L GRAY	MFT THE INVESTMENT COMPANY OF	B	0.00	3.06	0.00	3.06	65.0	1.99
12/03/2018 04001062521	ELZEY, ANGELA	MFT GROWTH PORTFOLIO-A	B	0.00	0.27	0.00	0.27	65.0	0.18
12/03/2018 04001098810	BLACK, BARRINGTON	MFT AMCAP FUND-A	B	0.00	1.95	0.00	1.95	65.0	1.27
12/03/2018 04001098810	BLACK, BARRINGTON	MFT AMERICAN BALANCED FUND-A	B	0.00	1.96	0.00	1.96	65.0	1.27
12/03/2018 04001098810	BLACK, BARRINGTON	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.92	0.00	2.92	65.0	1.90
12/03/2018 04001098810	BLACK, BARRINGTON	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.70	0.00	10.70	65.0	6.96
12/03/2018 04001098810	BLACK, BARRINGTON	MFT THE INVESTMENT COMPANY OF	B	0.00	1.94	0.00	1.94	65.0	1.26
12/03/2018 04001124181	CO/TOD	MFT THE INVESTMENT COMPANY OF	B	0.00	0.85	0.00	0.85	65.0	0.55
12/03/2018 04001251367	MOSLEY, LOIS	MFT THE INVESTMENT COMPANY OF	B	0.00	0.29	0.00	0.29	65.0	0.19
12/03/2018 04001269351	FISHER TRUST,	MFT AMCAP FUND-A	B	0.00	5.34	0.00	5.34	65.0	3.47
12/03/2018 04001269351	FISHER TRUST,	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	9.27	0.00	9.27	65.0	6.03
12/03/2018 04001269351	FISHER TRUST,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.34	0.00	5.34	65.0	3.47
12/03/2018 04001269351	FISHER TRUST,	MFT THE INVESTMENT COMPANY OF	B	0.00	6.65	0.00	6.65	65.0	4.32
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$195.76	\$0.00	\$195.76		\$127.26
*** DIRECT TRANSACTIONS TOTAL ***				\$1,634.76	\$287.25	\$0.00	\$287.25		\$186.74

BROKER COMMISSION TOTALS

Broker Transactions	\$186.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$186.74
Year-to-date Commissions	\$7,580.26