



COMMISSION STATEMENT

October 01, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/27/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @30.8800						
09/27/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @15.2800						
09/27/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @15.2800						
09/27/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @15.6900						
09/27/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.6800						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @42.2000						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @51.7400						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @41.7000						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @61.6600						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.6400						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.4700						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.3700						
09/27/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @44.2200						
* MUTUAL FUNDS SUBTOTAL *			\$165.00	\$1.65	\$0.00	\$1.65		\$1.50



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/28/2018	***-**-409H - CERRETA, JEFFREY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	90.0	0.70
09/28/2018	***-**-761H - CERRETA,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	90.0	0.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.56	\$0.00	\$1.56		\$1.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/24/2018	***-**-0304 - ACTKINSON, ACCOUNT #: VP60387220	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	0.00	24.15	0.00	24.15	90.0	21.74
09/25/2018	***-**-5757 - DEGROOT, ROBERT ACCOUNT #: VP60706860	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	90.0	0.39
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$24.58	\$0.00	\$24.58		\$22.13
*** BROKER TRANSACTIONS TOTAL ***			\$165.00	\$27.79	\$0.00	\$27.79		\$25.03

BROKER COMMISSION TOTALS

Broker Transactions	\$25.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$25.03
Year-to-date Earnings	\$278,095.68