

COMMISSION STATEMENT

September 30, 2018

BRISTER DPM

BROKER #: D311

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISTER DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: STOCKS

09/24/2018	215156997	DALEY, SHEILA	S	ARGAN INC	B	8,696.45	15.00	15.00	0.00	0.0	0.00
09/24/2018	215156997	DALEY, SHEILA	S	DOMINION RESOURCES INC/VA NEW	B	3,526.45	15.00	15.00	0.00	0.0	0.00
08/31/2018	338236246	HALKETT, BONNIE	S	SPDR S&P INSURANCE ETF	B	6,397.43	15.00	15.00	0.00	0.0	0.00
09/24/2018	338236246	HALKETT, BONNIE	S	DOMINION RESOURCES INC/VA NEW	B	3,524.95	15.00	15.00	0.00	0.0	0.00
08/31/2018	368270387	RADICH, TAMARA	S	SPDR S&P INSURANCE ETF	B	3,207.44	15.00	15.00	0.00	0.0	0.00
09/24/2018	368270387	RADICH, TAMARA	S	ARGAN INC	B	4,346.45	15.00	15.00	0.00	0.0	0.00
09/24/2018	368294530	WINE, KENNETH	S	ARGAN INC	B	4,356.95	15.00	15.00	0.00	0.0	0.00
09/10/2018	368325691	HALKETT, BONNIE	S	INVESCO SELF INDEXED TR	S	-40,225.23	15.00	15.00	0.00	0.0	0.00
09/10/2018	368325691	HALKETT, BONNIE	S	TRINITY INDUSTRIES INC	S	-10,686.44	15.00	15.00	0.00	0.0	0.00
09/24/2018	368325691	HALKETT, BONNIE	S	ARGAN INC	B	8,675.45	15.00	15.00	0.00	0.0	0.00
08/31/2018	368328257	OLSON, JENNIFER	S	SPDR S&P INSURANCE ETF	B	3,207.43	15.00	15.00	0.00	0.0	0.00
09/10/2018	368328257	OLSON, JENNIFER	S	TRINITY INDUSTRIES INC	S	-3,551.51	15.00	15.00	0.00	0.0	0.00
09/24/2018	368328257	OLSON, JENNIFER	S	ARGAN INC	B	4,346.45	15.00	15.00	0.00	0.0	0.00
08/31/2018	368433130	SCHOTT, DONNA	S	SPDR S&P INSURANCE ETF	B	2,410.69	15.00	15.00	0.00	0.0	0.00
09/24/2018	368436267	O'BRIEN, EDMUND	S	ARGAN INC	B	8,704.65	15.00	15.00	0.00	0.0	0.00
09/24/2018	368436267	O'BRIEN, EDMUND	S	DOMINION RESOURCES INC/VA NEW	B	3,525.45	15.00	15.00	0.00	0.0	0.00
09/24/2018	368436332	SULLIVAN FITCH,	S	ARGAN INC	B	3,272.45	15.00	15.00	0.00	0.0	0.00
09/24/2018	368436332	SULLIVAN FITCH,	S	DOMINION RESOURCES INC/VA NEW	B	3,525.95	15.00	15.00	0.00	0.0	0.00
08/31/2018	368491851	BURDEN, RAY	S	SPDR S&P INSURANCE ETF	B	4,803.92	15.00	15.00	0.00	0.0	0.00
09/24/2018	368491851	BURDEN, RAY	S	ARGAN INC	B	4,361.95	15.00	15.00	0.00	0.0	0.00
09/24/2018	368491851	BURDEN, RAY	S	DOMINION RESOURCES INC/VA NEW	B	5,278.63	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *						\$31,705.96	\$315.00	\$315.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2018	mfc083822	DPM BRISTER 083822	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	1.12	0.00	1.12	0.0	0.00
09/24/2018	mfc083822	DPM BRISTER 083822	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	1.05	0.00	1.05	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$2.17	\$0.00	\$2.17		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***					\$31,705.96	\$317.17	\$315.00	\$2.17		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00