

# COMMISSION STATEMENT

October 31, 2018

DENNUS COOPER-D339  
 9270 Glenwood  
 Suite B  
 Overland Park, KS 66212

BROKER #: D339  
 BRANCH: DMN

## COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

10/08/2018 368455956	GOODVIN, TONI	MF LARGE CAP EQUITY A	B	-1,497.55	0.00	5.00	-5.00	85.0	-4.25
10/24/2018 368459084	SCHOENHOFER, TRACY	MF THE HARTFORD MID CAP FUND-A	B	-2,997.55	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *				<b>\$-4,495.10</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$-10.00</b>		<b>\$-8.50</b>

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc109920	COOPER 109920	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	10.22	0.00	10.22	85.0	8.69
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928788	B	0.00	1.68	0.00	1.68	85.0	1.43
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	0.62	0.00	0.62	85.0	0.53
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	1.59	0.00	1.59	85.0	1.35
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	2.18	0.00	2.18	85.0	1.85
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	4.54	0.00	4.54	85.0	3.86
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	4.76	0.00	4.76	85.0	4.05
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	5.17	0.00	5.17	85.0	4.39
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	8.82	0.00	8.82	85.0	7.50
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	10.54	0.00	10.54	85.0	8.96
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	11.17	0.00	11.17	85.0	9.49
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	17.52	0.00	17.52	85.0	14.89
10/19/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	20.67	0.00	20.67	85.0	17.57
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	2.15	0.00	2.15	85.0	1.83
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	3.29	0.00	3.29	85.0	2.80
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	4.23	0.00	4.23	85.0	3.60
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.58	0.00	9.58	85.0	8.14
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.99	0.00	9.99	85.0	8.49
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	12.67	0.00	12.67	85.0	10.77
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	12.97	0.00	12.97	85.0	11.02
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	18.72	0.00	18.72	85.0	15.91
10/19/2018 mfc109920	COOPER 109920	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.07	0.00	1.07	85.0	0.91
10/19/2018 mfc109920	COOPER 109920	MFT DEUTSCHE CROCI	B	0.00	1.28	0.00	1.28	85.0	1.09
10/19/2018 mfc109920	COOPER 109920	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.80	0.00	0.80	85.0	0.68
10/19/2018 mfc109920	COOPER 109920	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.82	0.00	1.82	85.0	1.55
10/19/2018 mfc109920	COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	2.97	0.00	2.97	85.0	2.52

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10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	3.07	0.00	3.07	85.0	2.61
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	7.66	0.00	7.66	85.0	6.51
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	11.68	0.00	11.68	85.0	9.93
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	12.08	0.00	12.08	85.0	10.27
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	12.50	0.00	12.50	85.0	10.63
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	18.65	0.00	18.65	85.0	15.85
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	19.76	0.00	19.76	85.0	16.80
10/19/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	20.17	0.00	20.17	85.0	17.14
10/19/2018	mfc109920 COOPER 109920	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	3.11	0.00	3.11	85.0	2.64
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.06	0.00	3.06	85.0	2.60
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.17	0.00	8.17	85.0	6.94
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.64	0.00	8.64	85.0	7.34
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.77	0.00	8.77	85.0	7.45
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	9.98	0.00	9.98	85.0	8.48
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.64	0.00	10.64	85.0	9.04
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	12.59	0.00	12.59	85.0	10.70
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	13.16	0.00	13.16	85.0	11.19
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	21.94	0.00	21.94	85.0	18.65
10/19/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	25.08	0.00	25.08	85.0	21.32
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	2.13	0.00	2.13	85.0	1.81
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.28	0.00	5.28	85.0	4.49
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.52	0.00	7.52	85.0	6.39
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.09	0.00	8.09	85.0	6.88
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.45	0.00	8.45	85.0	7.18
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	14.42	0.00	14.42	85.0	12.26
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	15.05	0.00	15.05	85.0	12.79
10/19/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	15.28	0.00	15.28	85.0	12.99
10/19/2018	mfc109920 COOPER 109920	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	2.60	0.00	2.60	85.0	2.21
10/19/2018	mfc109920 COOPER 109920	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.88	0.00	0.88	85.0	0.75
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD CHECKS AND	B	0.00	0.72	0.00	0.72	85.0	0.61
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	0.82	0.00	0.82	85.0	0.70
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.39	0.00	2.39	85.0	2.03
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.94	0.00	2.94	85.0	2.50
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	3.03	0.00	3.03	85.0	2.58
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.08	0.00	9.08	85.0	7.72
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.29	0.00	9.29	85.0	7.90
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.54	0.00	10.54	85.0	8.96
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.69	0.00	10.69	85.0	9.09
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	14.16	0.00	14.16	85.0	12.04
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	18.63	0.00	18.63	85.0	15.84
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	20.31	0.00	20.31	85.0	17.26
10/19/2018	mfc109920 COOPER 109920	MFT THE HARTFORD CAPITAL	B	0.00	11.92	0.00	11.92	85.0	10.13
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$605.95</b>	<b>\$0.00</b>	<b>\$605.95</b>		<b>\$515.07</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$-4,495.10	\$605.95	\$10.00	\$595.95		\$506.57

ADJUSTMENTS TRANSACTIONSBROKER: #0

10/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
10/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
10/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$506.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$321.57
Year-to-date Commissions	\$35,420.91