



COMMISSION STATEMENT

December 10, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/06/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	16.50	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 04001030377	BUY 0 Units @33.6600						
12/06/2018	***-**-2831 - RINALDI, JAMES	AMCAP FUND-A	9.90	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 04001030377	BUY 0 Units @33.6600						
11/30/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.5100						
11/30/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.00	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 91 Units @14.5100						
11/30/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.01	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.5100						
12/06/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	127.05	5.40	0.00	5.40	80.0	4.32
	ACCOUNT #: 04001030237	BUY 7 Units @19.4300						
12/06/2018	***-**-7626 - OLSEN, BRANDON	AMERICAN 2055 TARGET DATE RETIREMEN	36.30	1.54	0.00	1.54	80.0	1.23
	ACCOUNT #: 04001030237	BUY 2 Units @19.4300						
12/03/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.5200						
12/03/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.5200						
12/03/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.5200						
12/03/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.5200						
12/06/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.3900						
12/06/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.3900						
12/06/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.3900						
12/06/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.3900						
12/06/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	23.94	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000812765	BUY 1 Units @28.2500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/06/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	15.65	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 04000812765	BUY 1 Units @28.2500						
12/07/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.1100						
12/07/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.1100						
12/07/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.1100						
12/07/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.1100						
12/07/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.1100						
12/07/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @27.1100						
12/07/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.1100						
12/03/2018	***-**-524X - CONLEY, WILLIAM	AMERICAN BALANCED FUND-C	175.00	1.75	3.00	-1.25	100.0	-1.25
	ACCOUNT #: LSR012483	BUY 6 Units @27.3100						
12/06/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @26.7700						
12/06/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.18	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @26.7700						
12/03/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.0600						
12/03/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0600						
12/06/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000812746	BUY 5 Units @14.8600						
12/06/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	69.10	2.94	0.00	2.94	80.0	2.35
	ACCOUNT #: 04001030247	BUY 5 Units @14.9400						
12/06/2018	***-**-8714 - LEACH, MICHELE	AMERICAN FUNDS 2030 TARGET DATE-A	29.61	1.26	0.00	1.26	80.0	1.01
	ACCOUNT #: 04001030247	BUY 2 Units @14.9400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/06/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.75	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 04001030256	BUY 2 Units @14.9400						
12/06/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.75	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 04001030256	BUY 2 Units @14.9400						
12/06/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	47.14	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 04001030268	BUY 3 Units @14.9400						
12/06/2018	***-**-0931 - LANGLOIS, JAMIE	AMERICAN FUNDS 2030 TARGET DATE-A	28.29	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04001030268	BUY 2 Units @14.9400						
12/06/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	160.00	6.80	0.00	6.80	80.0	5.44
	ACCOUNT #: 04001030467	BUY 11 Units @14.9400						
12/06/2018	***-**-0777 - TUCKER, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	42.80	1.82	0.00	1.82	80.0	1.46
	ACCOUNT #: 04001030467	BUY 3 Units @14.9400						
11/30/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 87 Units @14.0800						
11/30/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 57 Units @14.0800						
11/30/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.0800						
12/06/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	8.17	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04001030397	BUY 1 Units @13.8900						
12/06/2018	***-**-7678 - LALIBERTE,	AMERICAN FUNDS 2030 TARGET DATE-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04001030397	BUY 18 Units @13.8900						
12/03/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.5200						
12/03/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.5200						
12/06/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	81.00	3.04	0.00	3.04	80.0	2.43
	ACCOUNT #: 04000813270	BUY 5 Units @15.2600						
12/06/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	600.00	22.50	0.00	22.50	80.0	18.00
	ACCOUNT #: 04000812733	BUY 38 Units @15.6100						
12/06/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	243.00	9.11	0.00	9.11	80.0	7.29
	ACCOUNT #: 04000812733	BUY 16 Units @15.6100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/06/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 04000812773	BUY 1 Units @15.6100						
12/06/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 04000812773	BUY 1 Units @15.6100						
12/06/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	250.00	10.63	0.00	10.63	80.0	8.50
	ACCOUNT #: 04001030407	BUY 16 Units @15.6900						
12/06/2018	***-**-0258 - LALIBERTE,	AMERICAN FUNDS 2040 TARGET DATE-A	29.38	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 04001030407	BUY 2 Units @15.6900						
12/06/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	66.50	2.83	0.00	2.83	80.0	2.26
	ACCOUNT #: 04001030412	BUY 4 Units @15.6900						
12/06/2018	***-**-5529 - BINETTE, JASON	AMERICAN FUNDS 2040 TARGET DATE-A	19.95	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 04001030412	BUY 1 Units @15.6900						
12/06/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	240.00	10.20	0.00	10.20	80.0	8.16
	ACCOUNT #: 04001030442	BUY 15 Units @15.6900						
12/06/2018	***-**-0355 - PERREAULT,	AMERICAN FUNDS 2040 TARGET DATE-A	73.20	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 04001030442	BUY 5 Units @15.6900						
12/03/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.8400						
12/03/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.8400						
12/03/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.8400						
12/03/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.8400						
12/06/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	33.26	1.41	0.00	1.41	80.0	1.13
	ACCOUNT #: 04001030287	BUY 2 Units @15.6100						
12/06/2018	***-**-7830 - COLELLA, CASEY	AMERICAN FUNDS 2050 TARGET DATE-A	33.26	1.41	0.00	1.41	80.0	1.13
	ACCOUNT #: 04001030287	BUY 2 Units @15.6100						
12/06/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.3200						
12/06/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	22.12	0.94	0.00	0.94	80.0	0.75
	ACCOUNT #: 04001030422	BUY 2 Units @12.7800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/06/2018	***-**-5292 - BINETTE, JEREMY	AMERICAN FUNDS 2060 TARGET DATE-A	13.27	0.56	0.00	0.56	80.0	0.45
	ACCOUNT #: 04001030422	BUY 1 Units @12.7800						
12/07/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.1400						
12/07/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @12.1400						
12/06/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	16.49	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 04001030377	BUY 0 Units @43.6800						
12/06/2018	***-**-2831 - RINALDI, JAMES	AMERICAN MUTUAL FUND-A	9.89	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 04001030377	BUY 0 Units @43.6800						
12/06/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	47.32	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 04001030438	BUY 1 Units @47.0600						
12/06/2018	***-**-6749 - OLIVER, FAY	THE GROWTH FUND OF AMERICA-C	17.75	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 04001030438	BUY 0 Units @47.0600						
12/06/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5500						
12/07/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5800						
12/06/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	31.55	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 04001030438	BUY 1 Units @44.0600						
12/06/2018	***-**-6749 - OLIVER, FAY	WASHINGTON MUTUAL INVESTORS FUND-C	11.83	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04001030438	BUY 0 Units @44.0600						
* MUTUAL FUNDS SUBTOTAL *			\$9,894.25	\$194.07	\$21.00	\$173.07		\$136.95
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/04/2018	***-**-0765 - BARBER,	PERSPECTIVE II DTCC	6.60	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 1021821035	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$6.60	\$0.36	\$0.00	\$0.36		\$0.29



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/10/2018	***-**-447I - BELVAL, PAUL	SAMMONS LIVEWELL MUTUAL FUND	0.00	69.56	0.00	69.56	80.0	55.65
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
12/10/2018	***-**-057I - DASILVA, JOSE	SAMMONS LIVEWELL MUTUAL FUND	0.00	12.40	0.00	12.40	80.0	9.92
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
12/10/2018	***-**-700I - NIHILL, TODD	SAMMONS LIVEWELL MUTUAL FUND	0.00	34.28	0.00	34.28	80.0	27.42
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$116.24	\$0.00	\$116.24		\$92.99
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/30/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	47.06	0.00	47.06	80.0	37.65
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
11/30/2018	***-**-3912 - LAFUENTE, CARLOS	MASS MUTUAL VA DTCC TRAILS	0.00	193.78	0.00	193.78	80.0	155.02
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$240.84	\$0.00	\$240.84		\$192.67
		*** BROKER TRANSACTIONS TOTAL ***	\$9,900.85	\$551.51	\$21.00	\$530.51		\$422.90

BROKER COMMISSION TOTALS

Broker Transactions	\$422.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$422.90
Year-to-date Earnings	\$100,712.32