



# COMMISSION STATEMENT

## October 01, 2018

ALAN FISHMAN  
3 Buckley Place  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	75.0	0.37
09/28/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	75.0	0.12
09/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.42	0.00	1.42	75.0	1.07
09/28/2018	***-**-955P - VICARI,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.44	0.00	0.44	75.0	0.33
09/28/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	75.0	0.62
09/28/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS BUY 0 Units @0.0000	0.00	69.43	0.00	69.43	75.0	52.07
09/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.46	0.00	1.46	75.0	1.10
09/28/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	75.0	0.02
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$74.25</b>	<b>\$0.00</b>	<b>\$74.25</b>		<b>\$55.70</b>

**PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS**

09/28/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155 ACCOUNT #: DAX25472 BUY 0 Units @0.0000	0.00	361.74	0.00	361.74	75.0	271.31
09/28/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155 ACCOUNT #: DAX25507 BUY 0 Units @0.0000	0.00	484.37	0.00	484.37	75.0	363.28
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817502 ACCOUNT #: DA737088 BUY 0 Units @0.0000	0.00	18.04	0.00	18.04	75.0	13.53
09/28/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866 ACCOUNT #: DA309184 BUY 0 Units @0.0000	0.00	145.66	0.00	145.66	75.0	109.25
09/28/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866 ACCOUNT #: DA310294 BUY 0 Units @0.0000	0.00	358.04	0.00	358.04	75.0	268.53
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866 ACCOUNT #: DA929914 BUY 0 Units @0.0000	0.00	91.71	0.00	91.71	75.0	68.78
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866 ACCOUNT #: DA930883 BUY 0 Units @0.0000	0.00	172.30	0.00	172.30	75.0	129.23



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	190.23	0.00	190.23	75.0	142.67
	ACCOUNT #: DA930884	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	730.84	0.00	730.84	75.0	548.13
	ACCOUNT #: DA937680	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	39.27	0.00	39.27	75.0	29.45
	ACCOUNT #: DA941620	BUY 0 Units @0.0000						
09/28/2018	***-**-535P - KOZLOWSKI,	ALLIANZ TRAILS 018817882	0.00	111.03	0.00	111.03	75.0	83.27
	ACCOUNT #: DA370020	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	91.04	0.00	91.04	75.0	68.28
	ACCOUNT #: DA370747	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	42.46	0.00	42.46	75.0	31.85
	ACCOUNT #: DA371348	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	50.26	0.00	50.26	75.0	37.70
	ACCOUNT #: DA371349	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	55.40	0.00	55.40	75.0	41.55
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						
09/28/2018	***-**-195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	128.30	0.00	128.30	75.0	96.23
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	46.81	0.00	46.81	75.0	35.11
	ACCOUNT #: DA371478	BUY 0 Units @0.0000						
09/28/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	140.72	0.00	140.72	75.0	105.54
	ACCOUNT #: DA372127	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	36.64	0.00	36.64	75.0	27.48
	ACCOUNT #: DA372611	BUY 0 Units @0.0000						
09/28/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	104.68	0.00	104.68	75.0	78.51
	ACCOUNT #: DA372612	BUY 0 Units @0.0000						
10/01/2018	***-**-6538 - KOZLOWSKI, MARIA	QUALIFIED PLAN SUMMARY	0.00	195.24	0.00	195.24	75.0	146.43
	ACCOUNT #: DA370021	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,594.78</b>	<b>\$0.00</b>	<b>\$3,594.78</b>		<b>\$2,696.11</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$3,669.03</b>	<b>\$0.00</b>	<b>\$3,669.03</b>		<b>\$2,751.81</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,751.81
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$2.04
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,753.85
						Year-to-date Earnings		\$18,493.82