



COMMISSION STATEMENT

November 19, 2018

ROBERT PEDERSEN
6 N Front St
Rio Vista, CA 94571

BROKER #: 200
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/05/2018	***-**-8197 - TERHERST, ACCOUNT #: 000000000621250	F/T MODERATE TARGET FD - CLASS C BUY 8 Units @15.3500	117.50	1.18	0.00	1.18	50.0	0.59
11/05/2018	***-**-3998 - UTLER, STEFAN ACCOUNT #: 000000000628457	F/T MODERATE TARGET FD - CLASS C BUY 10 Units @15.3500	150.00	1.50	0.00	1.50	50.0	0.75
11/05/2018	***-**-8197 - TERHERST, ACCOUNT #: 000000000445128	FRK CSV ALC FD CL C BUY 8 Units @14.2500	117.50	1.18	0.00	1.18	50.0	0.59
11/05/2018	***-**-3998 - UTLER, STEFAN ACCOUNT #: 000000000449621	FRK CSV ALC FD CL C BUY 11 Units @14.2500	150.00	1.50	0.00	1.50	50.0	0.75
* MUTUAL FUNDS SUBTOTAL *			\$535.00	\$5.36	\$0.00	\$5.36		\$2.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2018	***-**-592X - SALDANA, JAMIE ACCOUNT #: LSR012856	AMERICAN FUNDS INCOME PORT CL A BUY 1 Units @0.0000	0.00	5.11	0.00	5.11	50.0	2.56
10/19/2018	***-**-61IO - JENNINGS, EARL ACCOUNT #: LSR012697	FIDELITY ADV BALANCED CL A BUY 1 Units @0.0000	0.00	3.02	0.00	3.02	50.0	1.51
10/19/2018	***-**-903I - DONNELLY, VERNON ACCOUNT #: LSR011877	FRANKLIN CONSERVATIV ALLOCATION CLA BUY 1 Units @0.0000	0.00	10.98	0.00	10.98	50.0	5.49
10/19/2018	***-**-19IO - ZUKOWSKI, SANDRA ACCOUNT #: LSR011986	FRANKLIN CONSERVATIV ALLOCATION CLA BUY 1 Units @0.0000	0.00	4.41	0.00	4.41	50.0	2.21
10/19/2018	***-**-709X - PEDERSEN, ROBERT ACCOUNT #: LSF000309	FRK CA TF INC FND - CL A BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	50.0	0.02
10/19/2018	***-**-51IO - RIEBS, TERESA ACCOUNT #: LSR012118	FRK TEMP FOUNDING FUNDS ALLOC FND C BUY 1 Units @0.0000	0.00	4.85	0.00	4.85	50.0	2.43
10/19/2018	***-**-903I - DONNELLY, VERNON ACCOUNT #: LSR011877	FRK UTIL FND - CL A BUY 1 Units @0.0000	0.00	6.66	0.00	6.66	50.0	3.33
10/19/2018	***-**-19IO - ZUKOWSKI, SANDRA ACCOUNT #: LSR011986	FRK UTIL FND - CL A BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	50.0	1.31
10/19/2018	***-**-51IO - RIEBS, TERESA ACCOUNT #: LSR012118	FRK UTIL FND - CL A BUY 1 Units @0.0000	0.00	2.90	0.00	2.90	50.0	1.45
10/19/2018	***-**-903I - DONNELLY, VERNON ACCOUNT #: LSR011877	GRIGGIN INSTL ACCESS CREDIT FND CL BUY 1 Units @0.0000	0.00	6.91	0.00	6.91	50.0	3.46
10/19/2018	***-**-17IN - NEUFELD, BETTY ACCOUNT #: LSR011755	PRINCIPAL CALIFORNIA MUNI CL A BUY 1 Units @0.0000	0.00	2.05	0.00	2.05	50.0	1.03



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-83IO - PLAUGHER, TERRY	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	7.50	0.00	7.50	50.0	3.75
	ACCOUNT #: LSR011976	BUY 1 Units @0.0000						
10/19/2018	***-**-592X - SALDANA, JAMIE	THE TAX-EXEMPT FUND OF CALIFORNIA-A	0.00	11.54	0.00	11.54	50.0	5.77
	ACCOUNT #: LSR012856	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$68.58	\$0.00	\$68.58		\$34.32
		*** BROKER TRANSACTIONS TOTAL ***	\$535.00	\$73.94	\$0.00	\$73.94		\$37.00

BROKER COMMISSION TOTALS

Broker Transactions	\$37.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$71.64
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$108.64
Year-to-date Earnings	\$22,412.79