



COMMISSION STATEMENT

September 17, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/10/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	7.56	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: 00063922927	BUY 0 Units @35.5400						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	30.19	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: 00063922927	BUY 1 Units @35.5400						
09/10/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 5 Units @35.5400						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	7.23	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00063922927	BUY 0 Units @35.6600						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	28.88	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: 00063922927	BUY 1 Units @35.6600						
09/11/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 5 Units @35.6600						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	3.77	0.10	0.00	0.10	70.0	0.07
	ACCOUNT #: 00063922927	BUY 0 Units @44.2200						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	15.09	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00063922927	BUY 0 Units @44.2200						
09/10/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @44.2200						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	3.61	0.10	0.00	0.10	70.0	0.07
	ACCOUNT #: 00063922927	BUY 0 Units @44.2600						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	14.45	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00063922927	BUY 0 Units @44.2600						
09/11/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @44.2600						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	3.77	0.10	0.00	0.10	70.0	0.07
	ACCOUNT #: 00063922927	BUY 0 Units @51.9500						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	15.09	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00063922927	BUY 0 Units @51.9500						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	3.61	0.10	0.00	0.10	70.0	0.07
	ACCOUNT #: 00063922927	BUY 0 Units @51.9100						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	14.45	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00063922927	BUY 0 Units @51.9100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/10/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	7.54	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: 00063922927	BUY 0 Units @66.6200						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	30.19	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: 00063922927	BUY 0 Units @66.6200						
09/10/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 2 Units @66.6200						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	7.22	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00063922927	BUY 0 Units @66.7400						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	28.89	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: 00063922927	BUY 0 Units @66.7400						
09/11/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 2 Units @66.7400						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	7.54	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: 00063922927	BUY 0 Units @57.2500						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	30.19	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: 00063922927	BUY 1 Units @57.2500						
09/10/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @57.2500						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	7.22	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00063922927	BUY 0 Units @57.6100						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	28.89	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: 00063922927	BUY 1 Units @57.6100						
09/11/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @57.6100						
09/10/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 6 Units @24.0300						
09/11/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 6 Units @24.0000						
09/10/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @42.8200						
09/11/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @42.8800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/10/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	7.54	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: 00063922927	BUY 0 Units @50.0600						
09/10/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	30.19	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: 00063922927	BUY 1 Units @50.0600						
09/10/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @50.0600						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	7.22	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00063922927	BUY 0 Units @50.1300						
09/11/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	28.89	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: 00063922927	BUY 1 Units @50.1300						
09/11/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @50.1300						
09/10/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 3 Units @48.1800						
09/11/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 3 Units @48.2800						
* MUTUAL FUNDS SUBTOTAL *			\$2,956.22	\$81.26	\$0.00	\$81.26		\$56.86
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/04/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	0.00	12.60	0.00	12.60	70.0	8.82
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	0.00	2.39	0.00	2.39	70.0	1.67
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	0.00	5.26	0.00	5.26	70.0	3.68
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-492I - SELL, MICHAEL	AMCAP FUND-A	0.00	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	AMCAP FUND-A	0.00	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
09/04/2018	***-**-492I - SELL, MICHAEL	AMERICAN HIGH-INCOME TRUST-A	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	0.00	5.77	0.00	5.77	70.0	4.04
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	0.00	2.23	0.00	2.23	70.0	1.56
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	0.00	4.89	0.00	4.89	70.0	3.42
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	0.00	1.84	0.00	1.84	70.0	1.29
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-492I - SELL, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	0.92	0.00	0.92	70.0	0.64
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	CAPITAL INCOME BUILDER-A	0.00	0.92	0.00	0.92	70.0	0.64
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - LANGILL,	CAPITAL INCOME BUILDER-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
09/04/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.73	0.00	5.73	70.0	4.01
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
09/04/2018	***-**-492I - SELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.78	0.00	1.78	70.0	1.25
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.78	0.00	1.78	70.0	1.25
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - LANGILL,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - LANGILL,	EUROPACIFIC GROWTH FUND-A	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
09/04/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	0.00	13.03	0.00	13.03	70.0	9.12
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	2.17	0.00	2.17	70.0	1.52
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	0.00	4.74	0.00	4.74	70.0	3.32
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - LANGILL,	FUNDAMENTAL INVESTORS-A	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-492I - SELL, MICHAEL	SMALLCAP WORLD FUND-A	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	SMALLCAP WORLD FUND-A	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
09/04/2018	***-**-492I - SELL, MICHAEL	THE BOND FUND OF AMERICA-A	0.00	1.48	0.00	1.48	70.0	1.04
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	THE BOND FUND OF AMERICA-A	0.00	1.48	0.00	1.48	70.0	1.04
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
09/04/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	0.00	13.40	0.00	13.40	70.0	9.38
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	2.31	0.00	2.31	70.0	1.62
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	0.00	5.03	0.00	5.03	70.0	3.52
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - LANGILL,	THE GROWTH FUND OF AMERICA-A	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	0.00	4.22	0.00	4.22	70.0	2.95
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-875P - LANGILL,	THE INCOME FUND OF AMERICA-A	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.28	0.00	2.28	70.0	1.60
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.01	0.00	5.01	70.0	3.51
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	0.00	13.79	0.00	13.79	70.0	9.65
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	0.00	2.31	0.00	2.31	70.0	1.62
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
09/04/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	0.00	5.05	0.00	5.05	70.0	3.54
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.28	0.00	2.28	70.0	1.60
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
09/04/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	5.04	0.00	5.04	70.0	3.53
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
09/04/2018	***-**-492I - SELL, MICHAEL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
09/04/2018	***-**-579I - SELL, PATRICIA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$141.86	\$0.00	\$141.86		\$99.31
*** BROKER TRANSACTIONS TOTAL ***			\$2,956.22	\$223.12	\$0.00	\$223.12		\$156.17

BROKER COMMISSION TOTALS

Broker Transactions	\$156.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$156.17
Year-to-date Earnings	\$53,260.07