

# COMMISSION STATEMENT

August 31, 2018

 REYNALDO BATISTA-073300  
 3207 Fitzgerald Drive

 BROKER #: D367  
 BRANCH: D12

Montgomery, TX 77356

## COMMISSION STATEMENT for REYNALDO BATISTA-073300

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
08/21/2018	585012522	PICKETT, EMILY	MF	THE INVESTMENT COMPANY OF	S	107.45	4.25	2.50	1.75	90.0	1.58
08/21/2018	585023916	CUST, FREDDIE	MF	THE INVESTMENT COMPANY OF	S	307.45	12.75	2.50	10.25	90.0	9.23
08/21/2018	799656471	WILLARD, JAMES	MF	AMERICAN BALANCED FUND-A	S	30,007.45	1,125.00	5.00	1,120.00	90.0	1,008.00
08/21/2018	799656471	WILLARD, JAMES	MF	THE GROWTH FUND OF AMERICA-A	S	20,007.45	750.00	5.00	745.00	90.0	670.50
08/21/2018	800267737	RE, EDWARDS WYKA	MF	THE GROWTH FUND OF AMERICA-A	S	302.45	15.00	5.00	10.00	90.0	9.00
* MUTUAL FUNDS SUBTOTAL *						\$50,732.25	\$1,907.00	\$20.00	\$1,887.00		\$1,698.31
PRODUCT CATEGORY: STOCKS											
08/09/2018	338828203	HOUSTON, DAVID	S	FACEBOOK INC-CLASS A	B	8,010.80	142.79	15.00	127.79	90.0	115.01
08/21/2018	799656471	WILLARD, JAMES	S	ENBRIDGE INC ISIN CA29250N1050	B	3,057.83	80.43	15.00	65.43	90.0	58.89
08/21/2018	799656471	WILLARD, JAMES	S	GLAXOSMITHKLINE PLC ADS	B	5,110.93	106.28	15.00	91.28	90.0	82.15
08/21/2018	799656471	WILLARD, JAMES	S	MICROSOFT CORPORATION	B	5,042.70	105.42	15.00	90.42	90.0	81.38
08/15/2018	800399799	WISCHNEWSKY, ADOLPH	S	GLAXOSMITHKLINE PLC ADS	B	1,564.05	61.62	15.00	46.62	90.0	41.96
08/15/2018	800401215	WISCHNEWSKY JR TOD, S	S	ALLERGAN PLC SHS ISIN	S	-2,685.10	68.51	15.00	53.51	90.0	48.16
08/15/2018	800401215	WISCHNEWSKY JR TOD, S	S	KIRKLAND LAKE GOLD LTD ISIN	B	5,872.28	115.86	15.00	100.86	90.0	90.77
08/15/2018	800401215	WISCHNEWSKY JR TOD, S	S	KRAFT HEINZ CO/THE	S	-14,349.24	201.22	15.00	186.22	90.0	167.60
08/15/2018	800401215	WISCHNEWSKY JR TOD, S	S	MAGELLAN MIDSTREAM PARTNERS LP	B	14,132.20	219.85	15.00	204.85	90.0	184.37
08/15/2018	800401215	WISCHNEWSKY JR TOD, S	S	SANOFI-AVENTIS S A ADR FORMERLY B	B	2,685.57	75.65	15.00	60.65	90.0	54.59
* STOCKS SUBTOTAL *						\$28,442.02	\$1,177.63	\$150.00	\$1,027.63		\$924.88
PRODUCT CATEGORY: MISCELLANEOUS											
08/22/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 585023916	S	0.00	5.00	0.00	5.00	90.0	4.50
08/22/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 585012522	S	0.00	5.00	0.00	5.00	90.0	4.50
08/22/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00	90.0	4.50
08/22/2018	a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 799656471	S	0.00	5.00	0.00	5.00	90.0	4.50
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$20.00	\$0.00	\$20.00		\$18.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.26	0.00	1.26	90.0	1.13
08/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.62	0.00	1.62	90.0	1.46
08/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.65	0.00	1.65	90.0	1.49
08/21/2018	mfc073300	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	11.03	0.00	11.03	90.0	9.93

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08/21/2018	mfc073300 VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.52	0.00	4.52	90.0	4.07
08/21/2018	mfc073300 VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.99	0.00	4.99	90.0	4.49
08/21/2018	mfc073300 VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.94	0.00	1.94	90.0	1.75
08/21/2018	mfc073300 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.95	0.00	0.95	90.0	0.86
08/21/2018	mfc073300 VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.81	0.00	3.81	90.0	3.43
08/21/2018	mfc073300 VARIOUS,	MFT THE HARTFORD CAPITAL	B	0.00	1.86	0.00	1.86	90.0	1.67
08/21/2018	mfc073300 VARIOUS,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.61	0.00	6.61	90.0	5.95
08/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.46	0.00	1.46	90.0	1.31
08/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.88	0.00	1.88	90.0	1.69
08/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	2.26	0.00	2.26	90.0	2.03
08/21/2018	mfc073300 VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	2.83	0.00	2.83	90.0	2.55
08/21/2018	mfc073300 VARIOUS,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.76	0.00	3.76	90.0	3.38
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$52.43</b>	<b>\$0.00</b>	<b>\$52.43</b>		<b>\$47.19</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$79,174.27</b>	<b>\$3,157.06</b>	<b>\$170.00</b>	<b>\$2,987.06</b>		<b>\$2,688.38</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

08/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	:	CUSTOMER WRITE OFF 585012522	0.00	0.00	0.00	0.00	-2.45
08/31/2018	:	CUSTOMER WRITE OFF 585023916	0.00	0.00	0.00	0.00	-2.45
08/31/2018	:	CUSTOMER WRITE OFF 800267737	0.00	0.00	0.00	0.00	-2.38
08/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>* SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-192.28</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-192.28</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,688.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-192.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$2,496.10</b>
<b>Year-to-date Commissions</b>	<b>\$8,851.91</b>