



COMMISSION STATEMENT

December 03, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/27/2018	***-**-340I - PERRONE, JOSEPH	FIDELITY ADV BIOTECHNOLOGY-CL A	5,500.00	224.25	18.00	206.25	70.0	144.38
	ACCOUNT #: LSR011803	BUY 207 Units @26.6000						
11/28/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV BIOTECHNOLOGY-CL A	3,899.99	195.00	18.00	177.00	70.0	123.90
	ACCOUNT #: LSR012312	BUY 141 Units @27.7000						
11/28/2018	***-**-889I - BAILLARGEON,	JANUS HENDERSON GBL EQUITY INC CL	400.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR012333	SELL 58 Units @6.8500						
11/28/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @9.9500						
11/28/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.4000						
11/27/2018	***-**-11IR - SOLIS, LETICIA	PL PORTFOLIO OPTIMIZATION AGGRESSIV	12,000.00	588.00	18.00	570.00	70.0	399.00
	ACCOUNT #: LSR012958	BUY 688 Units @17.4500						
11/28/2018	***-**-889I - BAILLARGEON,	PUTNAM DIVERSIFIED INCOME FUND CLAS	400.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR012333	SELL 59 Units @6.7900						
* MUTUAL FUNDS SUBTOTAL *			\$22,399.99	\$1,043.25	\$90.00	\$953.25		\$667.28
<u>PRODUCT CATEGORY: 529 PLAN</u>								
/ /	***-**-925P - FENGLER, DANIEL	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
12/03/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$250.00	\$5.50	\$0.00	\$5.50		\$3.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-52IN - BRODER, RUTH	RESOURCE TRAILS	0.00	3.16	0.00	3.16	70.0	2.21
	ACCOUNT #: 020033010	BUY 0 Units @0.0000						
12/03/2018	***-**-543I - RISKALLA,	RESOURCE TRAILS	0.00	2.90	0.00	2.90	70.0	2.03
		BUY 0 Units @0.0000						
12/03/2018	***-**-76TR - STEELE	RESOURCE TRAILS	0.00	2.14	0.00	2.14	70.0	1.50
		BUY 0 Units @0.0000						
12/03/2018	***-**-846I - BREEN, KENNETH	RESOURCE TRAILS	0.00	3.42	0.00	3.42	70.0	2.39
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.62	\$0.00	\$11.62		\$8.13



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ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$22,649.99	\$1,060.37	\$90.00	\$970.37		\$679.26

BROKER COMMISSION TOTALS

Broker Transactions	\$679.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,749.30
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-1,070.04
Year-to-date Earnings	\$42,258.98