

COMMISSION STATEMENT August 31, 2018

RIX/RANDY SMITH/SMITH-D081

 BROKER #: D081
 BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/08/2018 676356642	SMITH, RANDALL	S QEP RESOURCES INC	S	-5,978.53	0.00	0.00	0.00	100.0	0.00
08/06/2018 676365887	SMITH, RANDALL	S AT&T INC	B	9,730.15	0.00	0.00	0.00	100.0	0.00
08/23/2018 799051949	WARREN (TOD), JANET	S TELLURIAN INC	B	859.35	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *				\$4,610.97	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
08/20/2018 492642424	MCELROY TTEE, LETHA	CD CITIBANK NATL ASSN SIOUX FALLS	B	50,000.00	0.00	0.00	0.00	100.0	0.00
08/09/2018 676356642	SMITH, RANDALL	CD HINGHAM INSTN SVGS MA	B	200,000.00	0.00	0.00	0.00	100.0	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *				\$250,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/21/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.00	0.00	0.00	100.0	0.00
08/21/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00	100.0	0.00
08/21/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00	100.0	0.00
08/21/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
08/21/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	0.00	0.00	0.00	100.0	0.00
08/21/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$254,610.97	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00