



COMMISSION STATEMENT

November 05, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2018	***-**-33IO - AUDY, JAMES	CION ARES DIVERSIFIED CREDIT C	4,000.01	40.00	18.00	22.00	70.0	15.40
	ACCOUNT #: LSR012379	BUY 155 Units @25.8000						
10/30/2018	***-**-33IO - AUDY, JAMES	RESOURCE CREDIT INCOME FUND A	4,000.00	220.00	18.00	202.00	70.0	141.40
	ACCOUNT #: LSR012379	BUY 345 Units @11.6100						
10/29/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	250.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR105287	SELL 11 Units @22.0400						
* MUTUAL FUNDS SUBTOTAL *			\$8,250.01	\$278.00	\$54.00	\$224.00		\$156.80
<u>PRODUCT CATEGORY: RIA</u>								
10/31/2018	***-**-29FB - NORFLEET,	SYMMETRY RIA	14,303.66	35.76	0.00	35.76	70.0	25.03
	ACCOUNT #: 463641	BUY 0 Units @1.4303						
* RIA SUBTOTAL *			\$14,303.66	\$35.76	\$0.00	\$35.76		\$25.03
*** BROKER TRANSACTIONS TOTAL ***			\$22,553.67	\$313.76	\$54.00	\$259.76		\$181.83

BROKER COMMISSION TOTALS

Broker Transactions	\$181.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$66.93
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$248.76
Year-to-date Earnings	\$55,764.76