

COMMISSION STATEMENT September 30, 2018

DOUG GRAUMANN-D365
 101 S. Wenatchee Avenue
 Suite C
 Wenatchee, WA 98801

BROKER #: D365
 BRANCH: D12

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u>CLEARING TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
09/05/2018	246449843	EISENRICH, DOUGLAS	MF	BLACKROCK HIGH YIELD BOND FD CL S	35,017.45	350.00	5.00	345.00	85.0	293.25
09/05/2018	246449843	EISENRICH, DOUGLAS	MF	COHEN & STEERS PREFERED SEC & S	45,017.45	450.00	5.00	445.00	85.0	378.25
09/05/2018	246449843	EISENRICH, DOUGLAS	MF	CREDIT SUISSE HIGH INCOME FD CL S	50,017.45	500.00	5.00	495.00	85.0	420.75
09/05/2018	246449843	EISENRICH, DOUGLAS	MF	FIDELITY ADVISOR EMERGING MKT S	20,017.45	200.00	5.00	195.00	85.0	165.75
09/14/2018	246449843	EISENRICH, DOUGLAS	MF	FIDELITY ADVISOR FLOAT RATE HI S	55,017.45	550.00	5.00	545.00	85.0	463.25
09/25/2018	246449843	EISENRICH, DOUGLAS	MF	FRANKLIN INCOME FUND CLASS C S	40,017.45	400.00	5.00	395.00	85.0	335.75
09/11/2018	246515486	ESTATE, CLOYD	MF	EATON VANCE FLOATING RATE ADV S	-52,476.76	0.00	5.00	-5.00	85.0	-4.25
09/11/2018	246515486	ESTATE, CLOYD	MF	FEDERATED FLTG RATE STR INCOME S	-161,290.87	0.00	5.00	-5.00	85.0	-4.25
09/11/2018	246515486	ESTATE, CLOYD	MF	FEDERATED HIGH YIELD TRUST A S	-74,980.55	0.00	5.00	-5.00	85.0	-4.25
09/11/2018	246515486	ESTATE, CLOYD	MF	FRANKLIN INCOME FUND CLASS C S	-46,368.22	0.00	5.00	-5.00	85.0	-4.25
09/11/2018	246515486	ESTATE, CLOYD	MF	FRNKLN FLOATING RATE DLY ACCESS S	-51,833.87	0.00	5.00	-5.00	85.0	-4.25
09/11/2018	246515486	ESTATE, CLOYD	MF	PIMCO HIGH YIELD FD CL C S	-51,322.81	0.00	5.00	-5.00	85.0	-4.25
09/17/2018	368285504	MORGENSEN, STEVE	MF	THORNBURG DEVELOPING WORLD FD S	7,517.45	75.00	5.00	70.00	85.0	59.50
09/18/2018	368297707	MALM, LAVONNE	MF	THORNBURG DEVELOPING WORLD FD S	10,017.45	100.00	2.50	97.50	85.0	82.88
09/19/2018	368299678	MENDEZ, MARIA	MF	THORNBURG DEVELOPING WORLD FD S	10,017.45	100.00	5.00	95.00	85.0	80.75
09/20/2018	368325412	ALBIN, JAYDEE	MF	THORNBURG DEVELOPING WORLD FD S	10,017.45	100.00	2.50	97.50	85.0	82.88
09/17/2018	368461966	GARCIA, DEBRA	MF	THORNBURG DEVELOPING WORLD FD S	10,017.45	100.00	2.50	97.50	85.0	82.88
09/18/2018	368468095	VINCENT, NICOLE	MF	THORNBURG DEVELOPING WORLD FD S	10,017.45	100.00	5.00	95.00	85.0	80.75
08/30/2018	646226748	EISENRICH, DOUGLAS	MF	INVESCO HIGH YIELD FD CL C S	12,178.12	0.00	5.00	-5.00	85.0	-4.25
09/17/2018	646552606	REV LIVING TRUST,	MF	THORNBURG DEVELOPING WORLD FD S	10,017.45	100.00	5.00	95.00	85.0	80.75
* MUTUAL FUNDS SUBTOTAL *					\$-113,368.11	\$3,125.00	\$92.50	\$3,032.50		\$2,577.64

PRODUCT CATEGORY: MISCELLANEOUS

08/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 646226748 S	0.00	10.00	0.00	10.00	85.0	8.50
08/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 646226748 S	0.00	10.00	0.00	10.00	85.0	8.50
08/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 246449843 S	0.00	10.00	0.00	10.00	85.0	8.50
08/30/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 368461916 S	0.00	15.00	0.00	15.00	85.0	12.75
09/04/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 646226748 S	0.00	10.00	0.00	10.00	85.0	8.50
09/06/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 246449843 S	0.00	15.00	0.00	15.00	85.0	12.75
09/06/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 246449843 S	0.00	15.00	0.00	15.00	85.0	12.75
09/06/2018	a03268647	VARIOUS,	MI	MUTUAL FUND MISC FEE 246449843 S	0.00	15.00	0.00	15.00	85.0	12.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/06/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246449843	S	0.00	15.00	0.00	15.00	85.0	12.75
09/12/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246515486	S	0.00	17.00	0.00	17.00	85.0	14.45
09/12/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246515486	S	0.00	17.00	0.00	17.00	85.0	14.45
09/12/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246515486	S	0.00	17.00	0.00	17.00	85.0	14.45
09/12/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246515486	S	0.00	17.00	0.00	17.00	85.0	14.45
09/12/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246515486	S	0.00	17.00	0.00	17.00	85.0	14.45
09/12/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246515486	S	0.00	17.00	0.00	17.00	85.0	14.45
09/17/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246449843	S	0.00	15.00	0.00	15.00	85.0	12.75
09/18/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368285504	S	0.00	15.00	0.00	15.00	85.0	12.75
09/18/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 646552606	S	0.00	15.00	0.00	15.00	85.0	12.75
09/18/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368461966	S	0.00	15.00	0.00	15.00	85.0	12.75
09/19/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368468095	S	0.00	15.00	0.00	15.00	85.0	12.75
09/19/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368297707	S	0.00	15.00	0.00	15.00	85.0	12.75
09/20/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368299678	S	0.00	15.00	0.00	15.00	85.0	12.75
09/21/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 368325412	S	0.00	15.00	0.00	15.00	85.0	12.75
09/26/2018	a03268647	VARIOUS, MI MUTUAL FUND MISC FEE 246449843	S	0.00	15.00	0.00	15.00	85.0	12.75
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$352.00	\$0.00	\$352.00		\$299.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/11/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	33.25	0.00	33.25	85.0	28.26
09/11/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	45.25	0.00	45.25	85.0	38.46
09/12/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	4.55	0.00	4.55	85.0	3.87
09/12/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	8.21	0.00	8.21	85.0	6.98
09/12/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON GLOBAL BOND FD	B	0.00	4.12	0.00	4.12	85.0	3.50
09/12/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS VENTURE FD C LIQUIDATIONS	B	0.00	7.46	0.00	7.46	85.0	6.34
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.74	0.00	1.74	85.0	1.48
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK FLOAT RATE INCOME FD	B	0.00	2.94	0.00	2.94	85.0	2.50
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	4.56	0.00	4.56	85.0	3.88
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	11.18	0.00	11.18	85.0	9.50
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	11.40	0.00	11.40	85.0	9.69
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	13.38	0.00	13.38	85.0	11.37
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	30.71	0.00	30.71	85.0	26.10
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	3.33	0.00	3.33	85.0	2.83
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	4.19	0.00	4.19	85.0	3.56
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	0.51	0.00	0.51	85.0	0.43
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	1.85	0.00	1.85	85.0	1.57
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.15	0.00	2.15	85.0	1.83
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.25	0.00	2.25	85.0	1.91
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.30	0.00	2.30	85.0	1.96
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.32	0.00	2.32	85.0	1.97
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.40	0.00	2.40	85.0	2.04
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.88	0.00	2.88	85.0	2.45
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.17	0.00	3.17	85.0	2.69
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.25	0.00	3.25	85.0	2.76

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09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	3.69	0.00	3.69	85.0	3.14
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD B	0.00	7.43	0.00	7.43	85.0	6.32
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EQUITY DIVIDEND FD C B	0.00	2.44	0.00	2.44	85.0	2.07
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	1.83	0.00	1.83	85.0	1.56
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	2.12	0.00	2.12	85.0	1.80
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	2.25	0.00	2.25	85.0	1.91
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	3.00	0.00	3.00	85.0	2.55
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	3.02	0.00	3.02	85.0	2.57
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	4.33	0.00	4.33	85.0	3.68
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	5.06	0.00	5.06	85.0	4.30
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	7.33	0.00	7.33	85.0	6.23
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	0.48	0.00	0.48	85.0	0.41
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	1.08	0.00	1.08	85.0	0.92
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	1.78	0.00	1.78	85.0	1.51
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	5.54	0.00	5.54	85.0	4.71
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	6.22	0.00	6.22	85.0	5.29
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	7.92	0.00	7.92	85.0	6.73
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	10.42	0.00	10.42	85.0	8.86
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP CORE FD C B	0.00	17.76	0.00	17.76	85.0	15.10
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP VALUE FD C B	0.00	7.92	0.00	7.92	85.0	6.73
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADV US TOTAL MARKET B	0.00	3.17	0.00	3.17	85.0	2.69
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ENERGY & RESOURCES FD B	0.00	1.32	0.00	1.32	85.0	1.12
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME BUILDER-A B	0.00	10.00	0.00	10.00	85.0	8.50
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	4.73	0.00	4.73	85.0	4.02
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	6.52	0.00	6.52	85.0	5.54
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	8.68	0.00	8.68	85.0	7.38
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	8.75	0.00	8.75	85.0	7.44
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	10.74	0.00	10.74	85.0	9.13
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	10.98	0.00	10.98	85.0	9.33
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	12.75	0.00	12.75	85.0	10.84
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	13.12	0.00	13.12	85.0	11.15
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	21.84	0.00	21.84	85.0	18.56
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	22.24	0.00	22.24	85.0	18.90
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA GLOBAL TECHNOLOGY B	0.00	4.99	0.00	4.99	85.0	4.24
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C B	0.00	0.62	0.00	0.62	85.0	0.53
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C B	0.00	2.85	0.00	2.85	85.0	2.42
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT DEUTSCHE CROCI US FD A B	0.00	0.80	0.00	0.80	85.0	0.68
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	3.47	0.00	3.47	85.0	2.95
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	17.25	0.00	17.25	85.0	14.66
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED HIGH YIELD TRUST A B	0.00	8.22	0.00	8.22	85.0	6.99
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED FLTG RATE STR INCOME B	0.00	24.76	0.00	24.76	85.0	21.05
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	2.62	0.00	2.62	85.0	2.23
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	2.63	0.00	2.63	85.0	2.24
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	2.63	0.00	2.63	85.0	2.24

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09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	5.26	0.00	5.26	85.0	4.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	5.26	0.00	5.26	85.0	4.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	5.26	0.00	5.26	85.0	4.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	5.26	0.00	5.26	85.0	4.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	5.26	0.00	5.26	85.0	4.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	7.89	0.00	7.89	85.0	6.71
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	8.10	0.00	8.10	85.0	6.89
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	13.14	0.00	13.14	85.0	11.17
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	7.67	0.00	7.67	85.0	6.52
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	17.56	0.00	17.56	85.0	14.93
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR EQUITY VALUE B	0.00	8.88	0.00	8.88	85.0	7.55
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	0.68	0.00	0.68	85.0	0.58
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	2.57	0.00	2.57	85.0	2.18
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	3.46	0.00	3.46	85.0	2.94
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	3.47	0.00	3.47	85.0	2.95
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.16	0.00	4.16	85.0	3.54
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.79	0.00	4.79	85.0	4.07
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.86	0.00	4.86	85.0	4.13
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.90	0.00	4.90	85.0	4.17
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.12	0.00	5.12	85.0	4.35
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.15	0.00	5.15	85.0	4.38
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.33	0.00	5.33	85.0	4.53
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.33	0.00	5.33	85.0	4.53
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.49	0.00	5.49	85.0	4.67
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.51	0.00	5.51	85.0	4.68
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.55	0.00	10.55	85.0	8.97
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	13.18	0.00	13.18	85.0	11.20
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	4.20	0.00	4.20	85.0	3.57
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	4.26	0.00	4.26	85.0	3.62
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	4.53	0.00	4.53	85.0	3.85
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	1.97	0.00	1.97	85.0	1.67
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	2.45	0.00	2.45	85.0	2.08
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	2.68	0.00	2.68	85.0	2.28
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	3.33	0.00	3.33	85.0	2.83
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.23	0.00	4.23	85.0	3.60
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.36	0.00	4.36	85.0	3.71
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.46	0.00	4.46	85.0	3.79
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.42	0.00	6.42	85.0	5.46
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.52	0.00	6.52	85.0	5.54
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.82	0.00	6.82	85.0	5.80
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	7.22	0.00	7.22	85.0	6.14
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.49	0.00	8.49	85.0	7.22
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.64	0.00	8.64	85.0	7.34
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	11.37	0.00	11.37	85.0	9.66

COMMISSION STATEMENT for DOUG GRAUMANN-D365

								INVESTMENT	GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT		B/S			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	12.74	0.00	12.74	85.0	10.83	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	13.59	0.00	13.59	85.0	11.55	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	15.98	0.00	15.98	85.0	13.58	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	16.37	0.00	16.37	85.0	13.91	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	18.04	0.00	18.04	85.0	15.33	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	22.31	0.00	22.31	85.0	18.96	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	27.15	0.00	27.15	85.0	23.08	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	27.21	0.00	27.21	85.0	23.13	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	38.23	0.00	38.23	85.0	32.50	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	38.84	0.00	38.84	85.0	33.01	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FLOAT RATE HI	B		0.00	39.91	0.00	39.91	85.0	33.92	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	MEGA CAP STK FD C	B		0.00	2.83	0.00	2.83	85.0	2.41	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	MEGA CAP STK FD C	B		0.00	3.74	0.00	3.74	85.0	3.18	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	MEGA CAP STK FD C	B		0.00	7.66	0.00	7.66	85.0	6.51	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FUNDS	B		0.00	6.38	0.00	6.38	85.0	5.42	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	HIGH INCOME FD	B		0.00	10.47	0.00	10.47	85.0	8.90	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	STOCK SELECTOR	B		0.00	5.36	0.00	5.36	85.0	4.56	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	STOCK SELECTOR	B		0.00	7.33	0.00	7.33	85.0	6.23	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	LEVERAGED CO STOCK	B		0.00	4.66	0.00	4.66	85.0	3.96	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	LEVERAGED CO STOCK	B		0.00	7.81	0.00	7.81	85.0	6.64	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	EMERGING MKTS-CL C	B		0.00	5.39	0.00	5.39	85.0	4.58	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	REAL ESTATE	B		0.00	2.25	0.00	2.25	85.0	1.91	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FUNDS	B		0.00	0.38	0.00	0.38	85.0	0.32	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FUNDS	B		0.00	1.87	0.00	1.87	85.0	1.59	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FUNDS	B		0.00	6.88	0.00	6.88	85.0	5.85	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV	STRATEGIC INCOME	B		0.00	3.36	0.00	3.36	85.0	2.86	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FUNDS	B		0.00	4.08	0.00	4.08	85.0	3.47	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR	FUNDS	B		0.00	4.19	0.00	4.19	85.0	3.56	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS C	B		0.00	5.72	0.00	5.72	85.0	4.86	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS C	B		0.00	6.24	0.00	6.24	85.0	5.30	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS C	B		0.00	6.90	0.00	6.90	85.0	5.87	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS C	B		0.00	7.44	0.00	7.44	85.0	6.32	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS C	B		0.00	7.97	0.00	7.97	85.0	6.77	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS C	B		0.00	13.34	0.00	13.34	85.0	11.34	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS A	B		0.00	1.16	0.00	1.16	85.0	0.99	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS A	B		0.00	1.85	0.00	1.85	85.0	1.57	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	INCOME FUND CLASS A	B		0.00	2.16	0.00	2.16	85.0	1.84	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	REAL ESTATE FD CL C	B		0.00	2.26	0.00	2.26	85.0	1.92	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	REAL ESTATE FD CL C	B		0.00	11.17	0.00	11.17	85.0	9.49	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN	SMALL CAP VALUE C	B		0.00	6.42	0.00	6.42	85.0	5.46	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON	FUNDS	B		0.00	3.96	0.00	3.96	85.0	3.37	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK	RISING DIV FND - CL C	B		0.00	1.30	0.00	1.30	85.0	1.11	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK	RISING DIV FND - CL C	B		0.00	1.42	0.00	1.42	85.0	1.21	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN	FLOATING RATE DLY ACCESS	B		0.00	1.08	0.00	1.08	85.0	0.92	

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	1.94	0.00	1.94	85.0	1.65
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	1.95	0.00	1.95	85.0	1.66
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	2.03	0.00	2.03	85.0	1.73
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	2.29	0.00	2.29	85.0	1.95
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	2.75	0.00	2.75	85.0	2.34
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	3.01	0.00	3.01	85.0	2.56
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	5.19	0.00	5.19	85.0	4.41
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	7.46	0.00	7.46	85.0	6.34
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	8.87	0.00	8.87	85.0	7.54
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	10.37	0.00	10.37	85.0	8.81
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	12.23	0.00	12.23	85.0	10.40
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	14.79	0.00	14.79	85.0	12.57
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C B	0.00	4.98	0.00	4.98	85.0	4.23
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C B	0.00	7.48	0.00	7.48	85.0	6.36
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON WA AGGRESSIVE GROW B	0.00	14.28	0.00	14.28	85.0	12.14
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB FUND VALUE FD C B	0.00	4.90	0.00	4.90	85.0	4.17
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB LARGE CAP GROWTH B	0.00	7.88	0.00	7.88	85.0	6.70
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND C B	0.00	1.46	0.00	1.46	85.0	1.24
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND A B	0.00	0.52	0.00	0.52	85.0	0.44
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MASSACHUSETTS INVESTORS TRUST-A B	0.00	1.70	0.00	1.70	85.0	1.45
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS EMERGING GROWTH FUND CLASS B	0.00	2.26	0.00	2.26	85.0	1.92
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS NEW DISCOVERY VALUE FUND - B	0.00	1.21	0.00	1.21	85.0	1.03
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS RESEARCH FUND-A B	0.00	1.37	0.00	1.37	85.0	1.16
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A B	0.00	1.17	0.00	1.17	85.0	0.99
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A B	0.00	2.40	0.00	2.40	85.0	2.04
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS VALUE FUND-A B	0.00	1.23	0.00	1.23	85.0	1.05
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MID CAP STOCK C B	0.00	3.91	0.00	3.91	85.0	3.32
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL C B	0.00	1.83	0.00	1.83	85.0	1.56
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL QUAL FND - CL C B	0.00	7.59	0.00	7.59	85.0	6.45
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A B	0.00	0.54	0.00	0.54	85.0	0.46
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C B	0.00	1.53	0.00	1.53	85.0	1.30
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C B	0.00	5.75	0.00	5.75	85.0	4.89
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C B	0.00	6.80	0.00	6.80	85.0	5.78
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C B	0.00	9.47	0.00	9.47	85.0	8.05
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND B	0.00	2.55	0.00	2.55	85.0	2.17
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND B	0.00	7.79	0.00	7.79	85.0	6.62
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND B	0.00	19.13	0.00	19.13	85.0	16.26
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	1.26	0.00	1.26	85.0	1.07
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	2.36	0.00	2.36	85.0	2.01
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	4.46	0.00	4.46	85.0	3.79
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	4.71	0.00	4.71	85.0	4.00
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	8.66	0.00	8.66	85.0	7.36
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL B	0.00	1.10	0.00	1.10	85.0	0.94
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL B	0.00	3.12	0.00	3.12	85.0	2.65

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	6.04	0.00	6.04	85.0	5.13
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	9.38	0.00	9.38	85.0	7.97
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	5.86	0.00	5.86	85.0	4.98
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	6.20	0.00	6.20	85.0	5.27
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	1.81	0.00	1.81	85.0	1.54
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	4.08	0.00	4.08	85.0	3.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	4.15	0.00	4.15	85.0	3.53
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	4.62	0.00	4.62	85.0	3.93
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	4.80	0.00	4.80	85.0	4.08
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	5.05	0.00	5.05	85.0	4.29
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	5.82	0.00	5.82	85.0	4.95
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	6.33	0.00	6.33	85.0	5.38
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	7.07	0.00	7.07	85.0	6.01
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	11.59	0.00	11.59	85.0	9.85
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	16.16	0.00	16.16	85.0	13.74
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	1.26	0.00	1.26	85.0	1.07
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	4.15	0.00	4.15	85.0	3.53
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	11.16	0.00	11.16	85.0	9.49
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	8.37	0.00	8.37	85.0	7.11
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	14.64	0.00	14.64	85.0	12.44
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	2.02	0.00	2.02	85.0	1.72
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	8.07	0.00	8.07	85.0	6.86
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	9.75	0.00	9.75	85.0	8.29
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	9.91	0.00	9.91	85.0	8.42
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	15.89	0.00	15.89	85.0	13.51
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	20.23	0.00	20.23	85.0	17.20
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO MORTGAGE-BACKED	B	0.00	3.25	0.00	3.25	85.0	2.76
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.50	0.00	0.50	85.0	0.43
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.71	0.00	0.71	85.0	0.60
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.75	0.00	0.75	85.0	0.64
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.27	0.00	1.27	85.0	1.08
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.71	0.00	1.71	85.0	1.45
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.95	0.00	1.95	85.0	1.66
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.99	0.00	1.99	85.0	1.69
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.83	0.00	2.83	85.0	2.41
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.87	0.00	2.87	85.0	2.44
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.00	0.00	3.00	85.0	2.55
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.40	0.00	3.40	85.0	2.89
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.50	0.00	3.50	85.0	2.98
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.74	0.00	3.74	85.0	3.18
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	4.26	0.00	4.26	85.0	3.62
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	4.84	0.00	4.84	85.0	4.11
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.79	0.00	5.79	85.0	4.92
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.10	0.00	6.10	85.0	5.19

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.49	0.00	6.49	85.0	5.52
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	7.38	0.00	7.38	85.0	6.27
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.31	0.00	0.31	85.0	0.26
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.58	0.00	0.58	85.0	0.49
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.05	0.00	1.05	85.0	0.89
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.29	0.00	1.29	85.0	1.10
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.73	0.00	1.73	85.0	1.47
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.98	0.00	1.98	85.0	1.68
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.06	0.00	1.06	85.0	0.90
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON EMERGING MKTS SM CAP	B	0.00	2.79	0.00	2.79	85.0	2.37
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	0.91	0.00	0.91	85.0	0.77
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.01	0.00	1.01	85.0	0.86
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	2.94	0.00	2.94	85.0	2.50
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	3.05	0.00	3.05	85.0	2.59
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	7.35	0.00	7.35	85.0	6.25
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE HARTFORD CAPITAL	B	0.00	0.08	0.00	0.08	85.0	0.07
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.98	0.00	10.98	85.0	9.33
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE NEW ECONOMY FUND-A	B	0.00	0.15	0.00	0.15	85.0	0.13
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	3.99	0.00	3.99	85.0	3.39
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	4.12	0.00	4.12	85.0	3.50
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	6.02	0.00	6.02	85.0	5.12
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG INCOME BUILDER CL C	B	0.00	5.19	0.00	5.19	85.0	4.41
09/21/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BD FD C	B	0.00	10.18	0.00	10.18	85.0	8.65
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.64	0.00	1.64	85.0	1.39
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	0.47	0.00	0.47	85.0	0.40
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	1.69	0.00	1.69	85.0	1.44
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	1.97	0.00	1.97	85.0	1.67
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.07	0.00	2.07	85.0	1.76
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.11	0.00	2.11	85.0	1.79
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.13	0.00	2.13	85.0	1.81
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.20	0.00	2.20	85.0	1.87
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.64	0.00	2.64	85.0	2.24
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.91	0.00	2.91	85.0	2.47
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	2.99	0.00	2.99	85.0	2.54
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.39	0.00	3.39	85.0	2.88
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	6.82	0.00	6.82	85.0	5.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EQUITY DIVIDEND FD C	B	0.00	2.32	0.00	2.32	85.0	1.97
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	1.72	0.00	1.72	85.0	1.46
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	2.00	0.00	2.00	85.0	1.70
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	2.12	0.00	2.12	85.0	1.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	2.83	0.00	2.83	85.0	2.41
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	2.85	0.00	2.85	85.0	2.42
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	4.09	0.00	4.09	85.0	3.48
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	4.77	0.00	4.77	85.0	4.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C B	0.00	6.92	0.00	6.92	85.0	5.88
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	0.45	0.00	0.45	85.0	0.38
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	1.00	0.00	1.00	85.0	0.85
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	1.64	0.00	1.64	85.0	1.39
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	5.13	0.00	5.13	85.0	4.36
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	5.76	0.00	5.76	85.0	4.90
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	7.33	0.00	7.33	85.0	6.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD B	0.00	9.65	0.00	9.65	85.0	8.20
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP CORE FD C B	0.00	16.91	0.00	16.91	85.0	14.37
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP VALUE FD C B	0.00	7.53	0.00	7.53	85.0	6.40
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADV US TOTAL MARKET B	0.00	3.02	0.00	3.02	85.0	2.57
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ENERGY & RESOURCES FD B	0.00	1.23	0.00	1.23	85.0	1.05
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK FLOAT RATE INCOME FD B	0.00	2.76	0.00	2.76	85.0	2.35
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C B	0.00	4.27	0.00	4.27	85.0	3.63
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C B	0.00	10.48	0.00	10.48	85.0	8.91
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C B	0.00	10.68	0.00	10.68	85.0	9.08
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C B	0.00	12.54	0.00	12.54	85.0	10.66
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HYB FD CL C B	0.00	28.79	0.00	28.79	85.0	24.47
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD B	0.00	3.12	0.00	3.12	85.0	2.65
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD B	0.00	3.93	0.00	3.93	85.0	3.34
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME BUILDER-A B	0.00	9.39	0.00	9.39	85.0	7.98
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	4.46	0.00	4.46	85.0	3.79
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	6.13	0.00	6.13	85.0	5.21
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	8.16	0.00	8.16	85.0	6.94
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	8.22	0.00	8.22	85.0	6.99
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	10.09	0.00	10.09	85.0	8.58
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	10.35	0.00	10.35	85.0	8.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	11.98	0.00	11.98	85.0	10.18
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	12.33	0.00	12.33	85.0	10.48
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	20.52	0.00	20.52	85.0	17.44
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERRED SEC & B	0.00	20.90	0.00	20.90	85.0	17.77
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA GLOBAL TECHNOLOGY B	0.00	4.74	0.00	4.74	85.0	4.03
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C B	0.00	0.59	0.00	0.59	85.0	0.50
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C B	0.00	2.70	0.00	2.70	85.0	2.30
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT DEUTSCHE CROCI US FD A B	0.00	0.76	0.00	0.76	85.0	0.65
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	2.16	0.00	2.16	85.0	1.84
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	3.25	0.00	3.25	85.0	2.76
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV B	0.00	14.02	0.00	14.02	85.0	11.92
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED HIGH YIELD TRUST A B	0.00	1.03	0.00	1.03	85.0	0.88
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED HIGH YIELD TRUST A B	0.00	6.69	0.00	6.69	85.0	5.69
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED FLTG RATE STR INCOME B	0.00	3.09	0.00	3.09	85.0	2.63
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED FLTG RATE STR INCOME B	0.00	20.11	0.00	20.11	85.0	17.09
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	2.48	0.00	2.48	85.0	2.11
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	2.49	0.00	2.49	85.0	2.12

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09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	2.49	0.00	2.49	85.0	2.12
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.98	0.00	4.98	85.0	4.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.98	0.00	4.98	85.0	4.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.98	0.00	4.98	85.0	4.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.98	0.00	4.98	85.0	4.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	4.98	0.00	4.98	85.0	4.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	7.47	0.00	7.47	85.0	6.35
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	7.67	0.00	7.67	85.0	6.52
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV B	0.00	12.45	0.00	12.45	85.0	10.58
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	7.21	0.00	7.21	85.0	6.13
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC B	0.00	16.52	0.00	16.52	85.0	14.04
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR EQUITY VALUE B	0.00	8.41	0.00	8.41	85.0	7.15
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	0.65	0.00	0.65	85.0	0.55
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	2.44	0.00	2.44	85.0	2.07
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	3.28	0.00	3.28	85.0	2.79
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	3.28	0.00	3.28	85.0	2.79
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	3.94	0.00	3.94	85.0	3.35
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.54	0.00	4.54	85.0	3.86
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.61	0.00	4.61	85.0	3.92
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.65	0.00	4.65	85.0	3.95
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.85	0.00	4.85	85.0	4.12
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	4.88	0.00	4.88	85.0	4.15
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.05	0.00	5.05	85.0	4.29
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.05	0.00	5.05	85.0	4.29
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.20	0.00	5.20	85.0	4.42
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	5.22	0.00	5.22	85.0	4.44
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	10.00	0.00	10.00	85.0	8.50
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV & B	0.00	12.49	0.00	12.49	85.0	10.62
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	3.95	0.00	3.95	85.0	3.36
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	4.01	0.00	4.01	85.0	3.41
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL B	0.00	4.26	0.00	4.26	85.0	3.62
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	1.84	0.00	1.84	85.0	1.56
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	2.29	0.00	2.29	85.0	1.95
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	2.51	0.00	2.51	85.0	2.13
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	3.12	0.00	3.12	85.0	2.65
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	3.97	0.00	3.97	85.0	3.37
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.08	0.00	4.08	85.0	3.47
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	4.18	0.00	4.18	85.0	3.55
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.01	0.00	6.01	85.0	5.11
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.11	0.00	6.11	85.0	5.19
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.39	0.00	6.39	85.0	5.43
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	6.76	0.00	6.76	85.0	5.75
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	7.95	0.00	7.95	85.0	6.76
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI B	0.00	8.09	0.00	8.09	85.0	6.88

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	10.65	0.00	10.65	85.0	9.05
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	11.93	0.00	11.93	85.0	10.14
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.73	0.00	12.73	85.0	10.82
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	14.96	0.00	14.96	85.0	12.72
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	15.33	0.00	15.33	85.0	13.03
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	16.89	0.00	16.89	85.0	14.36
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	20.89	0.00	20.89	85.0	17.76
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	25.42	0.00	25.42	85.0	21.61
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	25.48	0.00	25.48	85.0	21.66
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	35.80	0.00	35.80	85.0	30.43
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	36.36	0.00	36.36	85.0	30.91
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	37.37	0.00	37.37	85.0	31.76
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	2.53	0.00	2.53	85.0	2.15
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	3.35	0.00	3.35	85.0	2.85
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	6.85	0.00	6.85	85.0	5.82
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.07	0.00	6.07	85.0	5.16
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	9.83	0.00	9.83	85.0	8.36
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	5.08	0.00	5.08	85.0	4.32
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR	B	0.00	6.94	0.00	6.94	85.0	5.90
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	4.40	0.00	4.40	85.0	3.74
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	7.39	0.00	7.39	85.0	6.28
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	4.99	0.00	4.99	85.0	4.24
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR REAL ESTATE	B	0.00	2.13	0.00	2.13	85.0	1.81
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.36	0.00	0.36	85.0	0.31
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.79	0.00	1.79	85.0	1.52
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.55	0.00	6.55	85.0	5.57
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	3.15	0.00	3.15	85.0	2.68
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.84	0.00	3.84	85.0	3.26
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.94	0.00	3.94	85.0	3.35
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	1.67	0.00	1.67	85.0	1.42
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	5.38	0.00	5.38	85.0	4.57
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	5.87	0.00	5.87	85.0	4.99
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	6.48	0.00	6.48	85.0	5.51
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	7.00	0.00	7.00	85.0	5.95
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	7.49	0.00	7.49	85.0	6.37
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	10.87	0.00	10.87	85.0	9.24
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.09	0.00	1.09	85.0	0.93
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.74	0.00	1.74	85.0	1.48
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.03	0.00	2.03	85.0	1.73
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	2.16	0.00	2.16	85.0	1.84
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C	B	0.00	10.66	0.00	10.66	85.0	9.06
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN SMALL CAP VALUE C	B	0.00	6.10	0.00	6.10	85.0	5.19
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS	B	0.00	3.72	0.00	3.72	85.0	3.16
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	1.24	0.00	1.24	85.0	1.05

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C	B	0.00	1.36	0.00	1.36	85.0	1.16
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	1.01	0.00	1.01	85.0	0.86
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	1.82	0.00	1.82	85.0	1.55
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	1.83	0.00	1.83	85.0	1.56
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	1.85	0.00	1.85	85.0	1.57
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	1.90	0.00	1.90	85.0	1.62
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	2.15	0.00	2.15	85.0	1.83
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	2.58	0.00	2.58	85.0	2.19
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	2.82	0.00	2.82	85.0	2.40
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	4.86	0.00	4.86	85.0	4.13
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	7.00	0.00	7.00	85.0	5.95
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	8.31	0.00	8.31	85.0	7.06
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	9.72	0.00	9.72	85.0	8.26
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	11.47	0.00	11.47	85.0	9.75
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS	B	0.00	12.02	0.00	12.02	85.0	10.22
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	4.78	0.00	4.78	85.0	4.06
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C	B	0.00	7.17	0.00	7.17	85.0	6.09
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON WA AGGRESSIVE GROW	B	0.00	13.55	0.00	13.55	85.0	11.52
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB FUND VALUE FD C	B	0.00	4.66	0.00	4.66	85.0	3.96
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB LARGE CAP GROWTH	B	0.00	7.47	0.00	7.47	85.0	6.35
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND C	B	0.00	1.39	0.00	1.39	85.0	1.18
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND A	B	0.00	0.39	0.00	0.39	85.0	0.33
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	1.61	0.00	1.61	85.0	1.37
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	2.14	0.00	2.14	85.0	1.82
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS NEW DISCOVERY VALUE FUND -	B	0.00	1.16	0.00	1.16	85.0	0.99
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS RESEARCH FUND-A	B	0.00	1.30	0.00	1.30	85.0	1.11
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.11	0.00	1.11	85.0	0.94
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A	B	0.00	2.27	0.00	2.27	85.0	1.93
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS VALUE FUND-A	B	0.00	1.17	0.00	1.17	85.0	0.99
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MID CAP STOCK C	B	0.00	2.72	0.00	2.72	85.0	2.31
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL C	B	0.00	1.71	0.00	1.71	85.0	1.45
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL QUAL FND - CL C	B	0.00	7.11	0.00	7.11	85.0	6.04
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	0.51	0.00	0.51	85.0	0.43
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	1.45	0.00	1.45	85.0	1.23
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	5.43	0.00	5.43	85.0	4.62
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C	B	0.00	6.43	0.00	6.43	85.0	5.47
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C	B	0.00	8.98	0.00	8.98	85.0	7.63
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	2.43	0.00	2.43	85.0	2.07
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	7.40	0.00	7.40	85.0	6.29
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND	B	0.00	18.17	0.00	18.17	85.0	15.44
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	1.16	0.00	1.16	85.0	0.99
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	2.18	0.00	2.18	85.0	1.85
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	4.12	0.00	4.12	85.0	3.50
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	4.35	0.00	4.35	85.0	3.70

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	8.00	0.00	8.00	85.0	6.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL	B	0.00	0.82	0.00	0.82	85.0	0.70
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.91	0.00	2.91	85.0	2.47
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	5.64	0.00	5.64	85.0	4.79
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308	B	0.00	8.77	0.00	8.77	85.0	7.45
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	5.57	0.00	5.57	85.0	4.73
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET	B	0.00	5.89	0.00	5.89	85.0	5.01
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	0.31	0.00	0.31	85.0	0.26
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	1.72	0.00	1.72	85.0	1.46
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	3.50	0.00	3.50	85.0	2.98
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	3.86	0.00	3.86	85.0	3.28
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	3.93	0.00	3.93	85.0	3.34
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	4.38	0.00	4.38	85.0	3.72
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	4.54	0.00	4.54	85.0	3.86
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	5.51	0.00	5.51	85.0	4.68
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	5.99	0.00	5.99	85.0	5.09
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	6.69	0.00	6.69	85.0	5.69
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	10.97	0.00	10.97	85.0	9.32
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	15.30	0.00	15.30	85.0	13.01
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	1.23	0.00	1.23	85.0	1.05
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	3.95	0.00	3.95	85.0	3.36
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	10.63	0.00	10.63	85.0	9.04
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	7.83	0.00	7.83	85.0	6.66
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	13.71	0.00	13.71	85.0	11.65
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	1.89	0.00	1.89	85.0	1.61
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	2.53	0.00	2.53	85.0	2.15
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	7.58	0.00	7.58	85.0	6.44
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	9.16	0.00	9.16	85.0	7.79
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	9.31	0.00	9.31	85.0	7.91
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	14.92	0.00	14.92	85.0	12.68
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	16.47	0.00	16.47	85.0	14.00
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD SPECTRUM FD CL	B	0.00	0.33	0.00	0.33	85.0	0.28
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO MORTGAGE-BACKED	B	0.00	3.05	0.00	3.05	85.0	2.59
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.47	0.00	0.47	85.0	0.40
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.66	0.00	0.66	85.0	0.56
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.71	0.00	0.71	85.0	0.60
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.20	0.00	1.20	85.0	1.02
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.61	0.00	1.61	85.0	1.37
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.83	0.00	1.83	85.0	1.56
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.87	0.00	1.87	85.0	1.59
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.66	0.00	2.66	85.0	2.26
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.70	0.00	2.70	85.0	2.30
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.82	0.00	2.82	85.0	2.40
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.19	0.00	3.19	85.0	2.71

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.29	0.00	3.29	85.0	2.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.52	0.00	3.52	85.0	2.99
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	4.01	0.00	4.01	85.0	3.41
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	4.55	0.00	4.55	85.0	3.87
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.45	0.00	5.45	85.0	4.63
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.74	0.00	5.74	85.0	4.88
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.11	0.00	6.11	85.0	5.19
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.95	0.00	6.95	85.0	5.91
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.29	0.00	0.29	85.0	0.25
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.55	0.00	0.55	85.0	0.47
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.99	0.00	0.99	85.0	0.84
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.22	0.00	1.22	85.0	1.04
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.30	0.00	1.30	85.0	1.11
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.86	0.00	1.86	85.0	1.58
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	1.01	0.00	1.01	85.0	0.86
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON EMERGING MKTS SM CAP	B	0.00	2.60	0.00	2.60	85.0	2.21
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	0.85	0.00	0.85	85.0	0.72
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	0.94	0.00	0.94	85.0	0.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	2.75	0.00	2.75	85.0	2.34
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	2.85	0.00	2.85	85.0	2.42
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	6.91	0.00	6.91	85.0	5.87
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE HARTFORD CAPITAL	B	0.00	0.07	0.00	0.07	85.0	0.06
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.35	0.00	10.35	85.0	8.80
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE NEW ECONOMY FUND-A	B	0.00	0.14	0.00	0.14	85.0	0.12
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	3.82	0.00	3.82	85.0	3.25
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	3.93	0.00	3.93	85.0	3.34
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	5.76	0.00	5.76	85.0	4.90
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG INCOME BUILDER CL C	B	0.00	4.85	0.00	4.85	85.0	4.12
09/24/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BD FD C B	B	0.00	9.55	0.00	9.55	85.0	8.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,342.49	\$0.00	\$3,342.49		\$2,841.22
*** CLEARING TRANSACTIONS TOTAL ***					\$-113,368.11	\$6,819.49	\$92.50	\$6,726.99		\$5,718.06

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
09/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
09/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,718.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,533.06
Year-to-date Commissions	\$40,957.99