

COMMISSION STATEMENT

October 31, 2018

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: C40 C42
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/10/2018 (None)	VARIOUS, BELZ RICHARD	PUTNAM	B	0.00	1,444.00	0.00	1,444.00	90.0	1,299.60
10/22/2018 (None)	VARIOUS, BELZ RICHARD	JOHN HANCOCK FUNDS VARIOUS	B	0.00	1.23	0.00	1.23	90.0	1.11
10/05/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	387.50	14.72	0.00	14.72	90.0	13.25
10/22/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	387.50	14.72	0.00	14.72	90.0	13.25
10/05/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	680.00	14.53	0.00	14.53	90.0	13.08
10/22/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	680.00	14.53	0.00	14.53	90.0	13.08
10/04/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.10	0.00	3.10	90.0	2.79
10/16/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.10	0.00	3.10	90.0	2.79
10/05/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
10/22/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
10/04/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.34	0.00	10.34	90.0	9.31
10/16/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.34	0.00	10.34	90.0	9.31
10/04/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	4.13	0.00	4.13	90.0	3.72
10/16/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	4.13	0.00	4.13	90.0	3.72
09/25/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
10/09/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
10/23/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
10/05/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	170.00	3.54	0.00	3.54	90.0	3.19
10/22/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	170.00	3.64	0.00	3.64	90.0	3.28
10/05/2018 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	387.50	14.72	0.00	14.72	90.0	13.25
10/22/2018 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	387.50	14.72	0.00	14.72	90.0	13.25
10/04/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	3.10	0.00	3.10	90.0	2.79
10/16/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	3.10	0.00	3.10	90.0	2.79
09/25/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
10/09/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
10/23/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
10/02/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	400.00	16.15	0.00	16.15	90.0	14.54
10/01/2018 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.46	0.00	4.46	90.0	4.01
10/02/2018 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29
10/02/2018 00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
10/15/2018 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/15/2018	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
10/15/2018	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN	B	10.00	0.41	0.00	0.41	90.0	0.37
10/15/2018	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	10.00	0.41	0.00	0.41	90.0	0.37
10/15/2018	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	15.00	0.14	0.00	0.14	90.0	0.13
09/18/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
09/18/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
10/02/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
10/02/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
10/15/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
10/15/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
10/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
10/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
10/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	150.00	3.92	0.00	3.92	90.0	3.53
09/17/2018	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
10/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
09/24/2018	00501256730	KUNSCH, STEPHEN	PUTNAM 746802800	B	100.00	4.75	0.00	4.75	90.0	4.28
10/09/2018	00501256730	KUNSCH, STEPHEN	PUTNAM 746802800	B	100.00	4.75	0.00	4.75	90.0	4.28
09/24/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0	1.07
09/24/2018	00503977532	SCHAEFER, DOREEN	PUTNAM MULTI-CAP CORE FUND-A	B	25.00	1.19	0.00	1.19	90.0	1.07
10/09/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0	1.07
10/09/2018	00503977532	SCHAEFER, DOREEN	PUTNAM MULTI-CAP CORE FUND-A	B	25.00	1.19	0.00	1.19	90.0	1.07
09/18/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
09/18/2018	00514953902	STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	100.00	3.56	0.00	3.56	90.0	3.20
10/02/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
10/02/2018	00514953902	STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	100.00	3.56	0.00	3.56	90.0	3.20
10/15/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
10/15/2018	00514953902	STELLATO, BRIAN	PUTNAM MULTI-CAP CORE FUND-A	B	100.00	3.56	0.00	3.56	90.0	3.20
09/24/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	301.00	5.72	0.00	5.72	90.0	5.15
09/24/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	203.00	3.86	0.00	3.86	90.0	3.47
09/24/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	196.00	3.72	0.00	3.72	90.0	3.35
10/09/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	301.00	7.87	0.00	7.87	90.0	7.08
10/09/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	203.00	5.30	0.00	5.30	90.0	4.77
10/09/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	196.00	5.12	0.00	5.12	90.0	4.61
09/17/2018	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
10/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
09/18/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
09/18/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
09/18/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
10/02/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
10/02/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/02/2018	00551218283 C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
10/15/2018	00551218283 C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
10/15/2018	00551218283 C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
10/15/2018	00551218283 C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
09/24/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	125.00	5.94	0.00	5.94	90.0	5.35
09/24/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	100.00	4.75	0.00	4.75	90.0	4.28
09/24/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	125.00	5.94	0.00	5.94	90.0	5.35
09/24/2018	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	150.00	7.12	0.00	7.12	90.0	6.41
10/09/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	125.00	5.94	0.00	5.94	90.0	5.35
10/09/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	100.00	4.75	0.00	4.75	90.0	4.28
10/09/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	125.00	5.94	0.00	5.94	90.0	5.35
10/09/2018	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	150.00	7.12	0.00	7.12	90.0	6.41
09/18/2018	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
09/18/2018	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
09/18/2018	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
10/02/2018	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
10/02/2018	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
10/02/2018	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
10/15/2018	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
10/15/2018	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
10/15/2018	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
10/11/2018	01000144893 VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	10,490.96	0.00	10,490.96	90.0	9,441.86
10/22/2018	01000144893 VARIOUS, BELZ	OPPENHEIMER VARIOUS	B	0.00	1,530.54	0.00	1,530.54	90.0	1,377.49
10/10/2018	2158104580 VARIOUS, BELZ	OPP 683953202	B	0.00	1,024.27	0.00	1,024.27	90.0	921.84
10/04/2018	QGW037129 GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F S		-6,972.45	38.00	0.00	38.00	90.0	34.20
* MUTUAL FUNDS SUBTOTAL *				\$6,737.55	\$14,950.70	\$0.00	\$14,950.70		\$13,455.72
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2018	QGW031783 JACKSON, FRANK	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0	154.04
10/04/2018	QGW033375 AMENITA, PATRICIA	BLUE BIRD CORPORATION COM	B	2,502.72	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW034027 HALL, JONATHAN	BLUE BIRD CORPORATION COM	B	2,499.75	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW034415 CHAIMOVITCH, BERNARD	BLUE BIRD CORPORATION COM	B	2,506.68	47.50	11.40	36.10	90.0	31.35
09/28/2018	QGW035123 BOWLEY, KEVIN	TRANSOCEAN LTD US LISTED	S	-20,250.00	211.61	11.40	200.21	90.0	179.05
10/01/2018	QGW035172 O'NEILL, RORY	OPKO HEALTH INC	S	-13,760.00	66.50	11.40	55.10	90.0	48.45
10/04/2018	QGW035180 PUE, MARYANN	BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35
10/15/2018	QGW035198 WULFF, HANS	SNAP INC CLASS A COMMON STOCK	S	-7,293.20	39.90	11.40	28.50	90.0	24.51
10/08/2018	QGW035206 SKAHILL, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	S	-17,326.64	155.20	11.40	143.80	90.0	128.28
10/09/2018	QGW035206 SKAHILL, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	S	-2,293.36	20.55	11.40	9.15	90.0	7.10
10/01/2018	QGW035214 BIANCO, BARBARA	BLUE BIRD CORPORATION COM	B	2,390.85	47.50	11.40	36.10	90.0	31.35
10/01/2018	QGW035222 BIANCO, BARBARA	BLUE BIRD CORPORATION COM	B	2,390.85	47.50	11.40	36.10	90.0	31.35

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2018	QGW035255	BROCHARD, MARIA		BLUE BIRD CORPORATION COM	B	2,494.80	47.50	11.40	36.10 90.0 31.35
10/04/2018	QGW035255	BROCHARD, MARIA		ENERGOUS CORP COM	S	-9,887.40	57.00	11.40	45.60 90.0 39.90
10/18/2018	QGW035313	SIERRA, KENNETH		VUZIX CORP COM NEW	B	13,500.00	165.44	11.40	154.04 90.0 137.50
10/19/2018	QGW035313	SIERRA, KENNETH		VUZIX CORP COM NEW	B	1,500.00	20.66	11.40	9.26 90.0 7.19
10/04/2018	QGW035354	MODUGNO, JUDITH		BLUE BIRD CORPORATION COM	B	2,288.65	43.70	11.40	32.30 90.0 27.93
10/01/2018	QGW035396	MOYNIHAN, MICHAEL		SNAP INC CLASS A COMMON STOCK	S	-8,375.00	42.75	11.40	31.35 90.0 27.08
10/17/2018	QGW035396	MOYNIHAN, MICHAEL		INTERNATIONAL BUSINESS MACHINES COR B	B	106,750.00	628.76	11.40	617.36 90.0 554.48
10/18/2018	QGW035396	MOYNIHAN, MICHAEL		NATIONAL-OILWELL VARCO INC	B	26,400.00	275.88	11.40	264.48 90.0 236.89
10/19/2018	QGW035396	MOYNIHAN, MICHAEL		NATIONAL-OILWELL VARCO INC	B	61,600.00	362.82	11.40	351.42 90.0 315.14
10/04/2018	QGW035404	RICCA, MARIA		BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10 90.0 31.35
10/19/2018	QGW035404	RICCA, MARIA		BANK OF AMERICA CORP	B	25,650.00	268.04	11.40	256.64 90.0 229.84
10/04/2018	QGW035412	AMENITA, PATRICIA		BLUE BIRD CORPORATION COM	B	2,504.70	47.50	11.40	36.10 90.0 31.35
10/04/2018	QGW035545	KMIECIAK, INES		BLUE BIRD CORPORATION COM	B	2,504.70	47.50	11.40	36.10 90.0 31.35
10/01/2018	QGW035552	KMIECIAK, ZBIGNIEW		BLUE BIRD CORPORATION COM	B	193.20	3.84	11.40	-7.56 90.0 -7.94
10/04/2018	QGW035552	KMIECIAK, ZBIGNIEW		BLUE BIRD CORPORATION COM	B	2,302.30	47.50	11.40	36.10 90.0 31.35
10/18/2018	QGW035552	KMIECIAK, ZBIGNIEW		VUZIX CORP COM NEW	B	5,250.00	72.32	11.40	60.92 90.0 53.69
10/19/2018	QGW035552	KMIECIAK, ZBIGNIEW		VUZIX CORP COM NEW	B	9,750.00	134.31	11.40	122.91 90.0 109.48
10/04/2018	QGW035560	AMENITA, FREDERICK		BLUE BIRD CORPORATION COM	B	2,494.80	47.50	11.40	36.10 90.0 31.35
10/01/2018	QGW035578	MUSSLER, LINDA		BLUE BIRD CORPORATION COM	B	2,395.80	47.50	11.40	36.10 90.0 31.35
10/05/2018	QGW035594	DUSATKO, ANNE		ENERGOUS CORP COM	S	-25,932.69	90.25	11.40	78.85 90.0 69.83
10/05/2018	QGW035594	DUSATKO, ANNE		SNAP INC CLASS A COMMON STOCK	S	-9,230.04	66.50	11.40	55.10 90.0 48.45
10/19/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	B	11,250.00	137.87	11.40	126.47 90.0 112.68
10/19/2018	QGW035610	DAVIS, VICTORIA		VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42 90.0 154.04
10/19/2018	QGW035644	MONGELUZZI, LINDA		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
10/04/2018	QGW035669	KOLLAR, ROBERT		BLUE BIRD CORPORATION COM	B	2,499.75	47.50	11.40	36.10 90.0 31.35
10/04/2018	QGW035669	KOLLAR, ROBERT		ENERGOUS CORP COM	S	-9,856.20	66.50	11.40	55.10 90.0 48.45
10/04/2018	QGW035669	KOLLAR, ROBERT		SNAP INC CLASS A COMMON STOCK	S	-7,664.00	47.50	11.40	36.10 90.0 31.35
10/19/2018	QGW035669	KOLLAR, ROBERT		VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42 90.0 154.04
10/04/2018	QGW035677	KOLLAR, MAURA		BLUE BIRD CORPORATION COM	B	2,499.75	47.50	11.40	36.10 90.0 31.35
10/01/2018	QGW035685	EICHENHOLZ, SONDR		SNAP INC CLASS A COMMON STOCK	S	-6,626.64	38.00	11.40	26.60 90.0 22.80
10/01/2018	QGW035727	HERDTER, CYNTHIA		BLUE BIRD CORPORATION COM	B	2,390.85	47.50	11.40	36.10 90.0 31.35
10/03/2018	QGW035735	STELLATO, MICHAEL		SACHEM CAPITAL CORP COMMON SHARES	S	-854.98	7.30	11.40	-4.10 90.0 -4.83
10/04/2018	QGW035735	STELLATO, MICHAEL		SACHEM CAPITAL CORP COMMON SHARES	S	-20,845.02	177.95	11.40	166.55 90.0 148.76
10/04/2018	QGW035743	KUDLIK, CHRIS		BLUE BIRD CORPORATION COM	B	2,504.70	47.50	11.40	36.10 90.0 31.35
10/04/2018	QGW035768	MASSA, PATRICIA		BLUE BIRD CORPORATION COM	B	2,502.72	47.50	11.40	36.10 90.0 31.35
10/19/2018	QGW035768	MASSA, PATRICIA		VUZIX CORP COM NEW	B	11,250.00	137.87	11.40	126.47 90.0 112.68
10/03/2018	QGW035776	ZIMMERMAN, FRANCINE		SNAP INC CLASS A COMMON STOCK	S	-12,258.60	52.25	11.40	40.85 90.0 35.63
10/09/2018	QGW035776	ZIMMERMAN, FRANCINE		ENERGOUS CORP COM	S	-9,084.10	80.75	11.40	69.35 90.0 61.28
10/19/2018	QGW035776	ZIMMERMAN, FRANCINE		VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42 90.0 154.04
10/23/2018	QGW035776	ZIMMERMAN, FRANCINE		BANK OF AMERICA CORP	B	5,900.00	81.27	11.40	69.87 90.0 61.74

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/24/2018	QGW035776	ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	B	23,600.00	246.62	11.40	235.22	90.0	210.56
10/26/2018	QGW035776	ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	B	29,500.00	308.27	11.40	296.87	90.0	266.04
10/04/2018	QGW035818	COLON, IRMA	BLUE BIRD CORPORATION COM	B	2,506.68	47.50	11.40	36.10	90.0	31.35
10/03/2018	QGW035834	CHAUDHRY, JAHANZEB	SACHEM CAPITAL CORP COMMON SHARES	S	-21,600.00	109.25	11.40	97.85	90.0	86.93
10/04/2018	QGW035834	CHAUDHRY, JAHANZEB	BLUE BIRD CORPORATION COM	B	2,494.73	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW035834	CHAUDHRY, JAHANZEB	SNAP INC CLASS A COMMON STOCK	S	-7,802.70	57.00	11.40	45.60	90.0	39.90
10/19/2018	QGW035834	CHAUDHRY, JAHANZEB	SACHEM CAPITAL CORP COMMON SHARES	S	-22,300.00	370.50	11.40	359.10	90.0	322.05
10/04/2018	QGW035883	BUDKEVICS, YANA	ALTRA INDL MOTION CORP COM	S	-9,112.40	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW035883	BUDKEVICS, YANA	BLUE BIRD CORPORATION COM	B	2,486.88	47.50	11.40	36.10	90.0	31.35
10/19/2018	QGW035883	BUDKEVICS, YANA	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0	154.04
10/01/2018	QGW035925	MOTT, LINDA	BLUE BIRD CORPORATION COM	B	2,395.80	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW035925	MOTT, LINDA	SNAP INC CLASS A COMMON STOCK	S	-6,210.56	52.25	11.40	40.85	90.0	35.63
10/01/2018	QGW035982	PANASUK, JOHN	SACHEM CAPITAL CORP COMMON SHARES	S	-21,104.00	109.25	11.40	97.85	90.0	86.93
10/09/2018	QGW035982	PANASUK, JOHN	ENERGIOUS CORP COM	S	-27,039.00	80.75	11.40	69.35	90.0	61.28
10/19/2018	QGW035982	PANASUK, JOHN	SACHEM CAPITAL CORP COMMON SHARES	S	-15,540.00	204.25	11.40	192.85	90.0	172.43
10/04/2018	QGW036030	BALLO, CATHERINE	BLUE BIRD CORPORATION COM	B	2,509.65	47.50	11.40	36.10	90.0	31.35
10/09/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	S	-19,980.00	361.00	11.40	349.60	90.0	313.50
10/19/2018	QGW036030	BALLO, CATHERINE	BANK OF AMERICA CORP	B	59,000.00	347.51	11.40	336.11	90.0	301.36
10/19/2018	QGW036030	BALLO, CATHERINE	VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72	90.0	200.21
10/09/2018	QGW036048	FITZPATRICK, BARRY	ENERGIOUS CORP COM	S	-9,082.90	80.75	11.40	69.35	90.0	61.28
10/10/2018	QGW036055	MATACOTTA, CORINNA	COHERUS BIOSCIENCES INC COM	B	2,000.00	27.55	11.40	16.15	90.0	13.40
10/19/2018	QGW036055	MATACOTTA, CORINNA	COHERUS BIOSCIENCES INC COM	B	18,000.00	220.59	11.40	209.19	90.0	187.13
10/19/2018	QGW036055	MATACOTTA, CORINNA	VUZIX CORP COM NEW	B	7,500.00	103.31	11.40	91.91	90.0	81.58
10/05/2018	QGW036063	PICCININNI,	SACHEM CAPITAL CORP COMMON SHARES	S	-1,792.42	10.99	11.40	-0.41	90.0	-1.51
10/08/2018	QGW036063	PICCININNI,	SACHEM CAPITAL CORP COMMON SHARES	S	-16,001.58	98.24	11.40	86.84	90.0	77.02
10/04/2018	QGW036097	RUANE, JOHN	BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW036113	ARDOLINO, SUSAN	BLUE BIRD CORPORATION COM	B	1,975.74	38.00	11.40	26.60	90.0	22.80
10/04/2018	QGW036121	CZACHOR, MICHAEL	BLUE BIRD CORPORATION COM	B	2,506.68	47.50	11.40	36.10	90.0	31.35
10/02/2018	QGW036204	VODOLA, RICHARD	SACHEM CAPITAL CORP COMMON SHARES	S	-2,120.00	19.00	11.40	7.60	90.0	5.70
10/04/2018	QGW036212	TUIFEL, JOHN	PAYPAL HOLDINGS INC COM	S	-11,179.57	52.25	11.40	40.85	90.0	35.63
10/02/2018	QGW036238	LENTO, JOHN	SACHEM CAPITAL CORP COMMON SHARES	S	-8,480.00	28.50	11.40	17.10	90.0	14.25
10/04/2018	QGW036246	LENTO, REGINA	BLUE BIRD CORPORATION COM	B	2,503.71	42.75	11.40	31.35	90.0	27.08
09/27/2018	QGW036295	VERGONA, ANTOINETTE	BLUE BIRD CORPORATION COM	B	2,415.60	47.50	11.40	36.10	90.0	31.35
09/27/2018	QGW036295	VERGONA, ANTOINETTE	NEW RESIDENTIAL INVESTMENT CORP	B	21,936.00	180.50	11.40	169.10	90.0	151.05
10/19/2018	QGW036386	FRANCK, RACHEL	NATIONAL-OILWELL VARCO INC	B	12,900.00	158.09	11.40	146.69	90.0	130.88
10/19/2018	QGW036386	FRANCK, RACHEL	VUZIX CORP COM NEW	B	9,000.00	123.97	11.40	112.57	90.0	100.17
10/04/2018	QGW036725	CIRNIGLIARO,	BLUE BIRD CORPORATION COM	B	2,504.70	47.50	11.40	36.10	90.0	31.35
10/01/2018	QGW036956	BROCHARD, THOMAS	BLUE BIRD CORPORATION COM	B	2,390.85	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW037111	GILMARTIN, MARGARET	BLUE BIRD CORPORATION COM	B	2,499.75	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW038531	MUSSLER, LINDA	BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2018	QGW038531	MUSSLER, LINDA							
		BANK OF AMERICA CORP	B	42,750.00	357.39	11.40	345.99	90.0	310.25
10/04/2018	QGW038556	LAPINTA, LEONARD							
		ENERGIOUS CORP COM	S	-9,855.50	61.75	11.40	50.35	90.0	44.18
09/28/2018	QGW038960	ROMANO, LOUIS							
		NATIONAL-OILWELL VARCO INC	B	35,600.00	297.62	11.40	286.22	90.0	256.46
10/19/2018	QGW038960	ROMANO, LOUIS							
		BANK OF AMERICA CORP	B	42,750.00	357.39	11.40	345.99	90.0	310.25
10/04/2018	QGW038978	KMIECIAK, INES							
		BLUE BIRD CORPORATION COM	B	2,504.70	47.50	11.40	36.10	90.0	31.35
10/19/2018	QGW039125	WHITE, KERRY							
		BANK OF AMERICA CORP	B	25,650.00	268.04	11.40	256.64	90.0	229.84
10/19/2018	QGW039216	MCCARTHY, MARCIA							
		OPKO HEALTH INC	B	20,000.00	245.10	11.40	233.70	90.0	209.19
10/19/2018	QGW039216	MCCARTHY, MARCIA							
		VUZIX CORP COM NEW	B	7,500.00	103.31	11.40	91.91	90.0	81.58
10/19/2018	QGW039240	MONGELUZZI, LINDA							
		VUZIX CORP COM NEW	B	11,250.00	137.87	11.40	126.47	90.0	112.68
10/19/2018	QGW039257	EVANGELISTA, DAVID							
		VUZIX CORP COM NEW	B	11,250.00	137.87	11.40	126.47	90.0	112.68
10/03/2018	QGW039349	PLAN							
		ALTRA INDL MOTION CORP COM	S	-9,225.76	19.00	11.40	7.60	90.0	5.70
10/04/2018	QGW039562	BENZA, GREGORY							
		BLUE BIRD CORPORATION COM	B	2,229.92	38.00	11.40	26.60	90.0	22.80
10/03/2018	QGW039570	KMIECIAK, STEFANIA							
		SACHEM CAPITAL CORP COMMON SHARES	S	-7,395.00	35.53	11.40	24.13	90.0	20.58
10/04/2018	QGW039570	KMIECIAK, STEFANIA							
		BLUE BIRD CORPORATION COM	B	2,502.72	47.50	11.40	36.10	90.0	31.35
10/08/2018	QGW039570	KMIECIAK, STEFANIA							
		SACHEM CAPITAL CORP COMMON SHARES	S	-14,355.00	68.97	11.40	57.57	90.0	50.67
10/19/2018	QGW039570	KMIECIAK, STEFANIA							
		VUZIX CORP COM NEW	B	7,500.00	103.31	11.40	91.91	90.0	81.58
09/27/2018	QGW039596	FARRELLY, JOSEPH							
		NEW RESIDENTIAL INVESTMENT CORP	B	45,723.00	280.25	11.40	268.85	90.0	240.83
10/19/2018	QGW039596	FARRELLY, JOSEPH							
		BANK OF AMERICA CORP	B	45,750.00	382.47	11.40	371.07	90.0	332.82
09/27/2018	QGW039604	DAVIS, VICTORIA							
		BLUE BIRD CORPORATION COM	B	2,425.50	47.50	11.40	36.10	90.0	31.35
10/19/2018	QGW039612	DUSATKO, FRANK							
		INTERNATIONAL BUSINESS MACHINES CORP	B	98,000.00	577.22	11.40	565.82	90.0	508.10
10/19/2018	QGW039745	LEWIS, THOMAS							
		COHERUS BIOSCIENCES INC COM	B	20,000.00	245.10	11.40	233.70	90.0	209.19
10/04/2018	QGW039752	RICCA, CARLO							
		BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35
10/17/2018	QGW039752	RICCA, CARLO							
		BANK OF AMERICA CORP	B	12,000.00	147.06	11.40	135.66	90.0	120.95
10/19/2018	QGW039752	RICCA, CARLO							
		BANK OF AMERICA CORP	B	33,000.00	275.88	11.40	264.48	90.0	236.89
10/04/2018	QGW039844	KNECHT, MICHAEL							
		BLUE BIRD CORPORATION COM	B	2,504.70	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW039869	OHARA, JOHN							
		BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35
10/19/2018	QGW039869	OHARA, JOHN							
		BANK OF AMERICA CORP	B	28,500.00	297.82	11.40	286.42	90.0	256.64
10/01/2018	QGW039943	NESTOR, MARYLOU							
		BLUE BIRD CORPORATION COM	B	2,395.80	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW039968	MAGGIOTTO, DONNA							
		BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35
10/04/2018	QGW040214	LAPINTA, LEONARD							
		BLUE BIRD CORPORATION COM	B	2,489.85	47.50	11.40	36.10	90.0	31.35
* STOCKS SUBTOTAL *				\$753,285.22	\$14,710.72	\$1,390.80	\$13,319.92		\$11,848.94
<u>PRODUCT CATEGORY: BONDS</u>									
10/22/2018	(None)	VARIOUS, BELZ RICHARD							
		GWG BONDS	B	0.00	2,826.25	11.40	2,814.85	90.0	2,532.23
* BONDS SUBTOTAL *				\$0.00	\$2,826.25	\$11.40	\$2,814.85		\$2,532.23
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/23/2018	QGW031783	JACKSON, FRANK							
		CALL 100 VUZIX CORP COM NEW EXP 11-	S	-300.00	27.55	16.15	11.40	90.0	8.65
10/25/2018	QGW034415	CHAIMOVITCH, BERNARD							
		PUT 100 VUZIX CORP COM NEW EXP 12-2	S	-820.00	57.95	16.15	41.80	90.0	36.01
10/01/2018	QGW035123	BOWLEY, KEVIN							
		PUT 100 COHERUS BIOSCIENCES INC COM	S	-1,800.00	94.05	14.25	79.80	90.0	70.40

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/24/2018	QGW035198 WULFF, HANS	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,250.00	94.05	17.34	76.71	90.0	67.31
10/24/2018	QGW035206 SKAHILL, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,000.00	86.45	16.15	70.30	90.0	61.66
10/03/2018	QGW035362 MOON, STEVEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-950.00	94.05	15.91	78.14	90.0	68.74
10/01/2018	QGW035396 MOYNIHAN, MICHAEL	PUT 100 BANK OF AMERICA CORPORATION S		-930.00	94.05	14.96	79.09	90.0	69.69
10/01/2018	QGW035396 MOYNIHAN, MICHAEL	PUT 100 DISNEY WALT CO DISNEY COM E S		-1,144.00	94.05	13.30	80.75	90.0	71.35
10/01/2018	QGW035396 MOYNIHAN, MICHAEL	PUT 100 INTERNATIONAL BUSINESS MACH S		-2,170.00	94.05	13.06	80.99	90.0	71.59
10/01/2018	QGW035396 MOYNIHAN, MICHAEL	PUT 100 NATIONAL OILWELL VARCO INC S		-1,780.00	94.05	16.15	77.90	90.0	68.50
10/04/2018	QGW035396 MOYNIHAN, MICHAEL	PUT 100 COHERUS BIOSCIENCES INC COM S		-1,800.00	94.05	13.77	80.28	90.0	70.88
10/04/2018	QGW035404 RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-1,000.00	93.10	23.27	69.83	90.0	60.52
10/11/2018	QGW035404 RICCA, MARIA	PUT 100 BANK OF AMERICA CORPORATION S		-360.00	30.40	13.54	16.86	90.0	13.82
10/09/2018	QGW035479 ROBERTS, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 11- S		-400.00	30.40	13.77	16.63	90.0	13.59
10/16/2018	QGW035511 LYDEN, MARY	CALL 100 BUCKEYE PARTNERS L P UNIT S		-420.00	39.90	13.77	26.13	90.0	22.14
10/24/2018	QGW035552 KMIECIAK, ZBIGNIEW	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,500.00	94.05	18.52	75.53	90.0	66.13
10/01/2018	QGW035594 DUSATKO, ANNE	PUT 100 BANK OF AMERICA CORPORATION S		-1,200.00	94.05	16.15	77.90	90.0	68.50
10/09/2018	QGW035594 DUSATKO, ANNE	CALL 100 BUCKEYE PARTNERS L P UNIT S		-550.00	51.30	13.77	37.53	90.0	32.40
10/03/2018	QGW035610 DAVIS, VICTORIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-250.00	29.45	12.59	16.86	90.0	13.92
10/30/2018	QGW035636 SEIDEL, ANNE	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-1,260.00	94.05	18.52	75.53	90.0	66.13
10/01/2018	QGW035644 MONGELUZZI, LINDA	PUT 100 BANK OF AMERICA CORPORATION S		-1,240.00	94.05	16.15	77.90	90.0	68.50
10/23/2018	QGW035644 MONGELUZZI, LINDA	CALL 100 VUZIX CORP COM NEW EXP 11- S		-450.00	28.50	18.52	9.98	90.0	7.13
10/04/2018	QGW035669 KOLLAR, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-750.00	74.10	23.27	50.83	90.0	43.42
10/23/2018	QGW035669 KOLLAR, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 11- S		-300.00	27.55	16.15	11.40	90.0	8.65
10/01/2018	QGW035685 EICHENHOLZ, SONDR	PUT 100 BANK OF AMERICA CORPORATION S		-930.00	83.60	14.96	68.64	90.0	60.28
10/16/2018	QGW035685 EICHENHOLZ, SONDR	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-700.00	61.75	16.15	45.60	90.0	39.43
10/03/2018	QGW035735 STELLATO, MICHAEL	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-1,000.00	90.25	16.15	74.10	90.0	65.08
10/03/2018	QGW035735 STELLATO, MICHAEL	PUT 100 BANK OF AMERICA CORPORATION S		-1,620.00	33.25	16.15	17.10	90.0	13.78
10/04/2018	QGW035768 MASSA, PATRICIA	PUT 100 COHERUS BIOSCIENCES INC COM S		-2,775.00	94.05	14.96	79.09	90.0	69.69
10/23/2018	QGW035768 MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 01- S		-300.00	8.67	12.82	-4.15	90.0	-5.02
10/10/2018	QGW035776 ZIMMERMAN, FRANCINE	PUT 100 BANK OF AMERICA CORPORATION S		-940.00	72.20	16.15	56.05	90.0	48.83
10/03/2018	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 BUCKEYE PARTNERS L P UNIT S		-750.00	65.55	14.96	50.59	90.0	44.04
10/23/2018	QGW035883 BUDKEVICS, YANA	CALL 100 VUZIX CORP COM NEW EXP 11- S		-340.00	31.35	16.15	15.20	90.0	12.07
10/01/2018	QGW035891 DICKIE, JAYNE	PUT 100 BANK OF AMERICA CORPORATION S		-630.00	56.05	13.77	42.28	90.0	36.68
10/01/2018	QGW035982 PANASUK, JOHN	PUT 100 BANK OF AMERICA CORPORATION S		-1,260.00	94.05	16.15	77.90	90.0	68.50
10/04/2018	QGW035982 PANASUK, JOHN	PUT 100 COHERUS BIOSCIENCES INC COM S		-1,800.00	94.05	13.77	80.28	90.0	70.88
10/24/2018	QGW036006 WALLNER, ERIC	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-450.00	38.00	18.52	19.48	90.0	15.68
10/04/2018	QGW036030 BALLO, CATHERINE	CALL 100 MEDALLION FINL CORP COM EX S		-600.00	58.90	18.52	40.38	90.0	34.49
10/04/2018	QGW036030 BALLO, CATHERINE	PUT 100 COHERUS BIOSCIENCES INC COM S		-1,800.00	94.05	13.77	80.28	90.0	70.88
10/10/2018	QGW036030 BALLO, CATHERINE	PUT 100 BANK OF AMERICA CORPORATION S		-740.00	58.90	16.15	42.75	90.0	36.86
10/16/2018	QGW036030 BALLO, CATHERINE	CALL 100 BUCKEYE PARTNERS L P UNIT S		-400.00	37.05	13.77	23.28	90.0	19.58
10/23/2018	QGW036030 BALLO, CATHERINE	CALL 100 VUZIX CORP COM NEW EXP 11- S		-720.00	57.00	22.09	34.91	90.0	29.21
10/24/2018	QGW036048 FITZPATRICK, BARRY	PUT 100 VUZIX CORP COM NEW EXP 12-2 S		-900.00	83.60	18.52	65.08	90.0	56.72

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
10/09/2018	QGW036055	MATACOTTA, CORINNA	CALL 100 VUZIX CORP COM NEW EXP 01- S	-750.00	61.75	13.77	47.98	90.0	41.81
10/26/2018	QGW036154	CALLAHAN, PATRICK	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-800.00	57.95	16.15	41.80	90.0	36.01
10/04/2018	QGW036162	BENZA, GREGORY	CALL 100 MEDALLION FINL CORP COM EX S	-400.00	41.80	16.15	25.65	90.0	21.47
10/30/2018	QGW036196	SHANKS, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-800.00	67.45	16.15	51.30	90.0	44.56
10/10/2018	QGW036394	LIPANI, CHRISTINE	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-500.00	38.00	16.15	21.85	90.0	18.05
10/26/2018	QGW037087	01/19/2016, U/A	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-800.00	57.95	16.15	41.80	90.0	36.01
10/01/2018	QGW037103	BURNS, KATHLEEN	PUT 100 BANK OF AMERICA CORPORATION S	-960.00	83.60	14.96	68.64	90.0	60.28
10/04/2018	QGW037103	BURNS, KATHLEEN	PUT 100 COHERUS BIOSCIENCES INC COM S	-2,550.00	94.05	14.96	79.09	90.0	69.69
10/16/2018	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,200.00	94.05	16.15	77.90	90.0	68.50
10/11/2018	QGW038531	MUSSLER, LINDA	PUT 100 BANK OF AMERICA CORPORATION S	-510.00	42.75	14.96	27.79	90.0	23.52
10/16/2018	QGW038531	MUSSLER, LINDA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-130.00	10.88	11.87	-0.99	90.0	-2.08
10/01/2018	QGW038556	LAPINTA, LEONARD	PUT 100 BANK OF AMERICA CORPORATION S	-1,180.00	94.05	16.15	77.90	90.0	68.50
10/04/2018	QGW038556	LAPINTA, LEONARD	PUT 100 COHERUS BIOSCIENCES INC COM S	-1,850.00	94.05	13.77	80.28	90.0	70.88
10/30/2018	QGW038770	RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-800.00	59.85	16.15	43.70	90.0	37.72
10/30/2018	QGW038770	RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	800.00	-59.85	-16.15	-43.70	90.0	-37.72 C
10/30/2018	QGW038770	RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-800.00	59.85	16.15	43.70	90.0	37.72
10/01/2018	QGW038960	ROMANO, LOUIS	CALL 100 NATIONAL OILWELL VARCO INC S	-688.00	59.85	13.30	46.55	90.0	40.57
10/01/2018	QGW038960	ROMANO, LOUIS	PUT 100 COHERUS BIOSCIENCES INC COM S	-1,950.00	94.05	14.96	79.09	90.0	69.69
10/11/2018	QGW038960	ROMANO, LOUIS	PUT 100 BANK OF AMERICA CORPORATION S	-690.00	58.90	14.96	43.94	90.0	38.05
10/03/2018	QGW039125	WHITE, KERRY	CALL 100 BUCKEYE PARTNERS L P UNIT S	-430.00	39.90	13.77	26.13	90.0	22.14
10/11/2018	QGW039125	WHITE, KERRY	PUT 100 BANK OF AMERICA CORPORATION S	-387.00	36.10	13.54	22.56	90.0	18.95
10/03/2018	QGW039240	MONGELUZZI, LINDA	CALL 100 BUCKEYE PARTNERS L P UNIT S	-360.00	30.40	13.54	16.86	90.0	13.82
10/03/2018	QGW039240	MONGELUZZI, LINDA	CALL 100 BUCKEYE PARTNERS L P UNIT S	360.00	-30.40	-13.54	-16.86	90.0	-13.82 C
10/03/2018	QGW039240	MONGELUZZI, LINDA	CALL 100 BUCKEYE PARTNERS L P UNIT S	-360.00	30.40	13.54	16.86	90.0	13.82
10/04/2018	QGW039570	KMIECIAK, STEFANIA	CALL 100 MEDALLION FINL CORP COM EX S	-400.00	38.00	16.15	21.85	90.0	18.05
10/09/2018	QGW039570	KMIECIAK, STEFANIA	CALL 100 VUZIX CORP COM NEW EXP 11- S	-400.00	36.10	13.77	22.33	90.0	18.72
10/26/2018	QGW039596	FARRELLY, JOSEPH	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-1,200.00	83.60	18.52	65.08	90.0	56.72
10/26/2018	QGW039604	DAVIS, VICTORIA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-800.00	57.95	16.15	41.80	90.0	36.01
10/26/2018	QGW039604	DAVIS, VICTORIA	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	800.00	-57.95	-16.15	-41.80	90.0	-36.01 C
10/01/2018	QGW039612	DUSATKO, FRANK	PUT 100 BANK OF AMERICA CORPORATION S	-1,200.00	94.05	16.15	77.90	90.0	68.50
10/11/2018	QGW039612	DUSATKO, FRANK	PUT 100 INTERNATIONAL BUSINESS MACH S	-2,275.00	94.05	13.06	80.99	90.0	71.59
10/16/2018	QGW039612	DUSATKO, FRANK	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,950.00	94.05	14.96	79.09	90.0	69.69
10/04/2018	QGW039745	LEWIS, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-750.00	74.10	23.27	50.83	90.0	43.42
10/16/2018	QGW039844	KNECHT, MICHAEL	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-700.00	42.75	16.15	26.60	90.0	22.33
10/11/2018	QGW039869	OHARA, JOHN	PUT 100 BANK OF AMERICA CORPORATION S	-330.00	28.50	13.77	14.73	90.0	11.88
10/25/2018	QGW040008	CARUSO, MICHAEL	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-700.00	52.25	16.15	36.10	90.0	30.88
10/26/2018	QGW040115	BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,100.00	84.55	16.15	68.40	90.0	59.95
* OPTIONS SUBTOTAL *				\$-71,019.00	\$4,856.95	\$1,164.32	\$3,692.63		\$3,207.16

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018		FEE COUNT - 28		0.00	212.84	0.00	212.84	90.0	191.58
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$212.84	\$0.00	\$212.84		\$191.58
		*** BROKER TRANSACTIONS TOTAL ***		\$689,003.77	\$37,557.46	\$2,566.52	\$34,990.94		\$31,235.63

ADJUSTMENTS

10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
10/31/2018		OTHER: TELEPHONE		0.00	0.00	0.00	0.00		-61.75
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-231.75

BROKER COMMISSION TOTALS

Broker Transactions	\$31,235.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-231.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-46.95
SIPC Assessment	\$-93.89

Check Amount	\$30,863.04
Year-to-date Earnings	\$247,430.95