



All Companies
COMMISSION STATEMENT
January 02, 2019

12/28/2018
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MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/18/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.01	6.01	0.00	6.01	90.0	5.41
12/18/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	11.93	11.93	0.00	11.93	90.0	10.74
12/03/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
12/03/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
12/03/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
12/10/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
12/10/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
12/19/2018	TRAILS	-TEXAS 529 PLAN	B	6.24	6.24	0.00	6.24	90.0	5.62
12/19/2018	TRAILS	-TEXAS 529 PLAN	B	0.69	0.69	0.00	0.69	90.0	0.62
12/19/2018	TRAILS	-TEXAS 529 PLAN	B	12.58	12.58	0.00	12.58	90.0	11.32
12/17/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B		200.00	10.00	0.00	10.00	90.0	9.00
12/17/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
12/17/2018	0000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	8.02	0.00	8.02	90.0	7.22
12/17/2018	0000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.75	0.00	1.75	90.0	1.58
12/17/2018	0000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.75	0.00	1.75	90.0	1.58
12/17/2018	0000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.75	0.00	1.75	90.0	1.58
12/17/2018	0000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	8.02	0.00	8.02	90.0	7.22
12/17/2018	0000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.75	0.00	1.75	90.0	1.58
12/17/2018	0000000007430553 BLADEN, WILLIAM/BRYNJA	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	5.25	0.00	5.25	90.0	4.73
12/17/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/17/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.75	0.00	1.75	90.0	1.58
12/07/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
12/06/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	300.00	12.75	0.00	12.75	90.0	11.48
12/03/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	230.00	8.63	0.00	8.63	90.0	7.77
12/03/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/04/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B	B	82.50	2.35	0.00	2.35	90.0	2.12
12/04/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B	B	253.16	7.22	0.00	7.22	90.0	6.50
12/04/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	82.50	2.35	0.00	2.35	90.0	2.12
12/04/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	253.16	7.22	0.00	7.22	90.0	6.50
12/04/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	82.50	2.35	0.00	2.35	90.0	2.12
12/04/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	253.16	7.22	0.00	7.22	90.0	6.50
12/04/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	41.25	1.18	0.00	1.18	90.0	1.06
12/04/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	126.58	3.61	0.00	3.61	90.0	3.25
12/04/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
12/04/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
12/04/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
12/04/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
12/04/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	41.25	0.62	0.00	0.62	90.0	0.56
12/04/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	126.58	1.90	0.00	1.90	90.0	1.71
12/04/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	125.00	3.56	0.00	3.56	90.0	3.20
12/04/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	125.00	3.56	0.00	3.56	90.0	3.20
12/04/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A	B	125.00	3.56	0.00	3.56	90.0	3.20
12/04/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A	B	125.00	3.56	0.00	3.56	90.0	3.20
12/04/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71	90.0	2.44
12/04/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71	90.0	2.44
12/04/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	100.00	2.85	0.00	2.85	90.0	2.57
12/04/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	100.00	2.85	0.00	2.85	90.0	2.57
12/04/2018	00003383528 TERRONES, CECILIA	JOHN HANCOCK GROWTH & INCOME FD CL B	B	52.41	1.49	0.00	1.49	90.0	1.34
12/04/2018	00003383528 TERRONES, CECILIA	JOHN HANCOCK GROWTH & INCOME FD CL B	B	31.45	0.90	0.00	0.90	90.0	0.81
12/04/2018	00003383529 TERRONES, CECILIA	SMALL CAP CORE A	B	50.88	1.45	0.00	1.45	90.0	1.31
12/04/2018	00003383529 TERRONES, CECILIA	SMALL CAP CORE A	B	30.52	0.87	0.00	0.87	90.0	0.78
12/04/2018	00003383530 TERRONES, CECILIA	GLOBAL SHAREHOLDER YIELD A	B	50.87	1.45	0.00	1.45	90.0	1.31
12/04/2018	00003383530 TERRONES, CECILIA	GLOBAL SHAREHOLDER YIELD A	B	30.53	0.87	0.00	0.87	90.0	0.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/03/2018	00052796859	LOTT, BRIAN K		PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25 90.0 3.83
12/18/2018	00073345834	VASQUEZ, DAVID		AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.85	0.74	0.00	0.74 90.0 0.67
12/18/2018	00073345834	VASQUEZ, DAVID		AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.85	0.74	0.00	0.74 90.0 0.67
12/17/2018	00075397174	MCCARTHY, CHRIS/ANNALIE		THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13 90.0 1.92
12/17/2018	00075979142	LARA-MONTGOMERY, JENNIFER A		AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	2.00	0.00	2.00 90.0 1.80
12/17/2018	00081508155	MCCARTHY, CHRIS/OLIVIA		THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13 90.0 1.92
12/18/2018	00082140597	DAVILA, JAIME A		AMERICAN FUNDS CAPITAL INCOME BUILD	B	25.00	0.69	0.00	0.69 90.0 0.62
12/18/2018	00082140597	DAVILA, JAIME A		AMERICAN FUNDS CAPITAL INCOME BUILD	B	25.00	0.69	0.00	0.69 90.0 0.62
12/17/2018	00082630504	THURMAN, MEGAN		AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50 90.0 2.25
12/17/2018	00082697643	MCEACHERN, KYLIE		CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	4.25	0.00	4.25 90.0 3.83
12/17/2018	00083124311	MONTGOMERY, ZANDER		CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50 90.0 1.35
12/17/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06 90.0 0.95
12/17/2018	00084124070	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13 90.0 1.92
12/17/2018	00085752451	MONTGOMERY, ZANDER		CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50 90.0 1.35
12/21/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS CAPITAL WORLD	GROWT B	148.81	5.58	0.00	5.58 90.0 5.02
12/21/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS CAPITAL WORLD	GROWT B	74.40	2.79	0.00	2.79 90.0 2.51
12/21/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS NEW ECONOMY FD	B	148.80	5.58	0.00	5.58 90.0 5.02
12/21/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS NEW ECONOMY FD	B	74.41	2.79	0.00	2.79 90.0 2.51
12/17/2018	00087076225	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	2.13	0.00	2.13 90.0 1.92
12/17/2018	00087416414	WHITE, CINDY C.		GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50 90.0 6.75
12/17/2018	00088409989	PARISH/ALLEN, VICTORIA/JULIE		COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75 90.0 1.58
12/17/2018	00088439412	RICHARDSON, CLAY & BRIANA		COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50 90.0 15.75
12/17/2018	00088439441	RICHARDSON, CLAY & BRIANA		COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50 90.0 15.75
12/18/2018	00088628251	GRINDELE, RUSSELL G.		AMERICAN FUNDS 2025 TARGET DATE-A	B	43.56	1.20	0.00	1.20 90.0 1.08
12/18/2018	00088628251	GRINDELE, RUSSELL G.		AMERICAN FUNDS 2025 TARGET DATE-A	B	43.56	1.20	0.00	1.20 90.0 1.08
12/17/2018	00089201070	PARISH, SAMMY/CALEB		COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75 90.0 1.58
12/18/2018	00089316769	JIMENEZ, MANUEL		AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28 90.0 0.25
12/18/2018	00089316769	JIMENEZ, MANUEL		AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28 90.0 0.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/18/2018	00089357575	CONTRERAS, JUAN J. AMERICAN FUNDS 2020 TARGET DATE-A	B	31.26	0.86	0.00	0.86	90.0	0.77
12/18/2018	00089357575	CONTRERAS, JUAN J. AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93	90.0	1.74
12/04/2018	01471247542	OWENS, CATHERINE FIDELITY ADV ASSET MANAGER 85%-CL	A B	458.33	17.19	0.00	17.19	90.0	15.47
	J.								
12/17/2018	04000405006	HILLMAN, DUSTIN COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
12/20/2018	04000527061	DE SMIT, PAUL COLLEGE 2033 FUND-529A	B	800.00	16.00	0.00	16.00	90.0	14.40
12/17/2018	04000928379	UNRUH, TIFFANY L. AMERICAN FUNDS 2040 TARGET DATE-A	B	100.00	5.00	0.00	5.00	90.0	4.50
12/18/2018	04001142559	NAVA, JUAN G. AMERICAN FUNDS 2035 TARGET DATE-A	B	100.00	2.75	0.00	2.75	90.0	2.48
12/18/2018	04001142559	NAVA, JUAN G. AMERICAN FUNDS 2035 TARGET DATE-A	B	27.31	0.75	0.00	0.75	90.0	0.68
12/17/2018	04001173147	PARISH/LEON, COLLEGE 2036 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
	SAMMY/SIMON								
12/20/2018	04001335246	BURK, COLLEGE 2033 FUND-529A	B	10,000.00	350.00	0.00	350.00	90.0	315.00
	SHANNON/ASHTON M.								
12/06/2018	07000073711	MINTER, MERIDITH PRINCIPAL INVESTORS SAM STRAT GRO (B	229.16	9.17	0.00	9.17	90.0	8.25
12/06/2018	07000073711	MINTER, MERIDITH PRINCIPAL INVESTORS WEST COAST EQTY	B	229.17	9.17	0.00	9.17	90.0	8.25
12/06/2018	08900188177	MINTER, MERIDITH PRINCIPAL INVESTORS SAM CONSERV GRO	B	1,000.00	10.00	0.00	10.00	90.0	9.00
12/03/2018	1953515886	MEHRINGER, MELISSA INTERNATIONAL DIVERSIFIED FUND A	B	135.43	6.43	0.00	6.43	90.0	5.79
	A.								
12/03/2018	3212270467	MEHRINGER, MELISSA OPPENHEIMER CAPITAL APPREC FD CL	A B	135.41	6.43	0.00	6.43	90.0	5.79
	A.								
12/04/2018	5550905663	RODRIGUEZ, ANDREW PORTFOLIO SERIES: EQUITY INVESTOR F	B	500.00	23.75	0.00	23.75	90.0	21.38
	M.								
12/03/2018	5551032020	WHITT, CHAD M. PORTFOLIO SERIES: EQUITY INVESTOR F	B	200.00	9.50	0.00	9.50	90.0	8.55
12/03/2018	8353442329	MEHRINGER, MELISSA OPPENHEIMER QUEST CAPITAL	VALUE B	135.41	6.43	0.00	6.43	90.0	5.79
	A.								
12/03/2018	8477713035	MEHRINGER, MELISSA MAIN STREET SMALL CAP FUND A	B	135.41	6.43	0.00	6.43	90.0	5.79
	A.								
* MUTUAL FUNDS SUBTOTAL *				\$24,116.28	\$828.35	\$0.00	\$828.35		\$745.64
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	123.05	123.05	0.00	123.05	90.0	110.75
* STOCKS SUBTOTAL *				\$123.05	\$123.05	\$0.00	\$123.05		\$110.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/19/2018	TRAILS	-JOHN HANCOCK	B	102.45	102.45	0.00	102.45	90.0	92.21
12/17/2018	1020889370	RICHARDSON, BRIANA -JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
* VARIABLE ANNUITIES SUBTOTAL *				\$5,102.45	\$164.95	\$0.00	\$164.95		\$148.46

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018 11567839	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
10/11/2018 11750216	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	101.00	0.00	101.00	90.0	90.90
10/11/2018 11754268	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
10/11/2018 13004139	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
12/18/2018 14849603	SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	17.68	0.00	17.68	90.0	15.91
10/11/2018 15090246	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	90.0	9.59
10/11/2018 15327103	PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	44.66	0.00	44.66	90.0	40.19
10/11/2018 15535924	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	318.34	0.00	318.34	90.0	286.51
10/11/2018 15961193	RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	152.66	0.00	152.66	90.0	137.39
10/11/2018 16731246	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
10/11/2018 16737554	BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	233.34	0.00	233.34	90.0	210.01
10/11/2018 16830093	HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,148.00	0.00	1,148.00	90.0	1,033.20
10/11/2018 19861374	GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
10/11/2018 20873922	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34	90.0	33.61
10/11/2018 20966370	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	90.0	3.91
10/11/2018 21210794	KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	192.66	0.00	192.66	90.0	173.39
10/11/2018 21603998	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	80.34	0.00	80.34	90.0	72.31
10/11/2018 22006691	MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	597.34	0.00	597.34	90.0	537.61
10/11/2018 22072316	REEVES, SEAN C	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
10/11/2018 22739240	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
10/11/2018 23822702	STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	330.66	0.00	330.66	90.0	297.59
10/11/2018 25342680	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
10/11/2018 25636719	VISTINE, CARLETTE R.	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	90.0	3.29
10/11/2018 26871153	VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	183.00	0.00	183.00	90.0	164.70
10/11/2018 27056511	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	158.34	0.00	158.34	90.0	142.51
10/11/2018 27336748	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
10/11/2018 27636763	MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	21.34	0.00	21.34	90.0	19.21
10/11/2018 29148734	POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	175.66	0.00	175.66	90.0	158.09
10/11/2018 29861027	ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	14.34	0.00	14.34	90.0	12.91
10/11/2018 30118591	PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	196.66	0.00	196.66	90.0	176.99
10/11/2018 30212369	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
10/11/2018 30236631	REEVES, KENNETH & CHERI	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018 30854428	HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
10/11/2018 30933785	JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	79.00	0.00	79.00	90.0	71.10
10/11/2018 31462737	BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
10/11/2018 31660678	SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
10/11/2018 31805488	ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34	90.0	21.91
10/11/2018 31811649	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	26.66	0.00	26.66	90.0	23.99
10/11/2018 32562790	SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	228.66	0.00	228.66	90.0	205.79
10/11/2018 33609517	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	73.66	0.00	73.66	90.0	66.29
10/11/2018 33622833	PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
10/11/2018 33996338	CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	161.66	0.00	161.66	90.0	145.49
10/11/2018 34850080	RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	236.34	0.00	236.34	90.0	212.71
10/11/2018 36650710	GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018 36816725	PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	649.66	0.00	649.66	90.0	584.69
10/11/2018 37455579	HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	90.0	32.40
10/11/2018 37648579	LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
10/11/2018 38767645	LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	178.66	0.00	178.66	90.0	160.79
10/11/2018 38839950	CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	181.66	0.00	181.66	90.0	163.49
10/11/2018 38987161	REEVES, SEAN C	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
10/11/2018 39267061	KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.34	0.00	44.34	90.0	39.91
10/11/2018 39273336	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	146.00	0.00	146.00	90.0	131.40
10/11/2018 39438762	LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	126.34	0.00	126.34	90.0	113.71
10/11/2018 39508930	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
10/11/2018 39898429	BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	54.34	0.00	54.34	90.0	48.91
10/11/2018 40149138	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
10/11/2018 40488610	SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	179.66	0.00	179.66	90.0	161.69
10/11/2018 41387564	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	176.00	0.00	176.00	90.0	158.40
10/11/2018 41427542	MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	278.66	0.00	278.66	90.0	250.79
10/11/2018 41566521	MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
10/11/2018 41607521	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	43.34	0.00	43.34	90.0	39.01
10/11/2018 41778487	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	125.66	0.00	125.66	90.0	113.09
10/11/2018 43046430	BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
10/11/2018 44608676	MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	54.66	0.00	54.66	90.0	49.19
10/11/2018 44819267	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	129.66	0.00	129.66	90.0	116.69
10/11/2018 45214078	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018 45397194	CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	286.66	0.00	286.66	90.0	257.99
10/11/2018 45838820	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
10/11/2018 45942335	PHILAGE, BRIAN & MICHELE	SIGNAL SECURITIES RIA	B	0.00	1.34	0.00	1.34	90.0	1.21
10/11/2018 46403906	ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	49.66	0.00	49.66	90.0	44.69
10/11/2018 46599623	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	43.66	0.00	43.66	90.0	39.29
10/11/2018 48515094	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
10/11/2018 49164045	BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
10/11/2018 49201215	RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	442.66	0.00	442.66	90.0	398.39
10/11/2018 49301407	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	808.00	0.00	808.00	90.0	727.20
10/11/2018 49711323	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018 50743604	RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	111.00	0.00	111.00	90.0	99.90
10/11/2018 51491652	MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	15.34	0.00	15.34	90.0	13.81
10/11/2018 52388846	MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018 53144778	LUBWAMA, ROSETTE	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018 53175378	WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	90.0	9.59
10/11/2018 53186569	RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
10/11/2018 54673339	ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
10/11/2018 54771115	HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	358.34	0.00	358.34	90.0	322.51
10/11/2018 54860066	BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	377.66	0.00	377.66	90.0	339.89
10/11/2018 55421837	SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
10/11/2018 55632540	DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	377.66	0.00	377.66	90.0	339.89
10/11/2018 55689243	PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
10/11/2018 56480647	KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	242.34	0.00	242.34	90.0	218.11
10/11/2018 56483663	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	15.66	0.00	15.66	90.0	14.09
10/11/2018 56645804	VISTINE, CARLETTE R.	SIGNAL SECURITIES RIA	B	0.00	62.00	0.00	62.00	90.0	55.80
10/11/2018 58128434	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	31.66	0.00	31.66	90.0	28.49
10/11/2018 58720438	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
10/11/2018 58847212	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
10/11/2018 59247893	PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00	90.0	206.10
10/11/2018 59303330	GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	107.66	0.00	107.66	90.0	96.89

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	59483304 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	342.00	0.00	342.00	90.0	307.80
10/11/2018	60172642 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	88.34	0.00	88.34	90.0	79.51
10/11/2018	60176438 HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	216.66	0.00	216.66	90.0	194.99
10/11/2018	60650863 BREAU, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	192.34	0.00	192.34	90.0	173.11
10/11/2018	61924745 SWAFFAR, ELSIE	SIGNAL SECURITIES RIA	B	0.00	60.00	0.00	60.00	90.0	54.00
10/11/2018	62006841 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
12/18/2018	62721211 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	25.66	0.00	25.66	90.0	23.09
10/11/2018	63523092 BREAU, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	80.34	0.00	80.34	90.0	72.31
10/11/2018	63534306 WATKINS, RICHARD/ ASHLEY	SIGNAL SECURITIES RIA	B	0.00	61.66	0.00	61.66	90.0	55.49
10/11/2018	65038561 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	29.66	0.00	29.66	90.0	26.69
10/11/2018	65071642 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
10/11/2018	65960021 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
10/11/2018	66465361 MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
10/11/2018	66475080 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	96.34	0.00	96.34	90.0	86.71
10/11/2018	67017804 COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
10/11/2018	67138671 BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	90.0	51.30
10/11/2018	67763155 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	96.00	0.00	96.00	90.0	86.40
10/11/2018	69525005 GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	235.66	0.00	235.66	90.0	212.09
10/11/2018	71272747 PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	483.66	0.00	483.66	90.0	435.29
10/11/2018	71349299 WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	460.34	0.00	460.34	90.0	414.31
10/11/2018	71376962 SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	205.00	0.00	205.00	90.0	184.50
10/11/2018	71717760 ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	230.34	0.00	230.34	90.0	207.31
10/11/2018	72586770 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	378.00	0.00	378.00	90.0	340.20
10/11/2018	72722158 PHILP, REBECCA ELIZABE	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
10/11/2018	73441084 COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	124.34	0.00	124.34	90.0	111.91
10/11/2018	74258191 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018	74717597 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34	90.0	33.61
10/11/2018	74742534 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66	90.0	22.19
10/11/2018	75508848 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34	90.0	15.61
10/11/2018	75899513 BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
10/11/2018	76384301 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
10/11/2018	77083119 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018 78079060	LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	40.34	0.00	40.34	90.0	36.31
10/11/2018 78489883	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	93.34	0.00	93.34	90.0	84.01
10/11/2018 80005105	SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	92.00	0.00	92.00	90.0	82.80
10/11/2018 80455638	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
10/11/2018 81582307	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
10/11/2018 82214691	HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	161.00	0.00	161.00	90.0	144.90
10/11/2018 82904951	HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	48.66	0.00	48.66	90.0	43.79
10/11/2018 83237717	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	74.66	0.00	74.66	90.0	67.19
10/11/2018 83318344	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	30.66	0.00	30.66	90.0	27.59
10/11/2018 83532025	BROSNAN, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
10/11/2018 83978523	ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	67.34	0.00	67.34	90.0	60.61
10/11/2018 84984988	HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	90.00	0.00	90.00	90.0	81.00
10/11/2018 85627507	RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
10/11/2018 85704471	FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	2,002.34	0.00	2,002.34	90.0	1,802.11
10/11/2018 86659680	PHILAGE, BRIAN & MICHELE	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	90.0	3.29
10/11/2018 86788791	ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
10/11/2018 88805216	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	36.34	0.00	36.34	90.0	32.71
10/11/2018 89271206	TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	299.00	0.00	299.00	90.0	269.10
10/11/2018 90142952	HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	23.34	0.00	23.34	90.0	21.01
10/11/2018 90584473	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
10/11/2018 90933777	REEVES, KENNETH & CHERI	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
10/11/2018 91672459	TOWNSEND, MARILYN & LAWSO	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
10/11/2018 91748202	REEVES, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
10/11/2018 91781366	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	43.66	0.00	43.66	90.0	39.29
10/11/2018 92167936	REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
10/11/2018 92531509	BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	265.34	0.00	265.34	90.0	238.81
10/11/2018 92627603	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	99.66	0.00	99.66	90.0	89.69
10/11/2018 95074654	DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	44.66	0.00	44.66	90.0	40.19
10/11/2018 95140665	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
10/11/2018 95615133	UTZIG-PHILAGE, MICHELE	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
10/11/2018 95683095	BROSNAN, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	55.00	0.00	55.00	90.0	49.50
10/11/2018 95788083	LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	138.34	0.00	138.34	90.0	124.51
10/11/2018 96521624	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	14.34	0.00	14.34	90.0	12.91

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	97505602 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0	18.90
10/11/2018	97754476 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	35.34	0.00	35.34	90.0	31.81
10/11/2018	98213803 SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	458.00	0.00	458.00	90.0	412.20
10/11/2018	99473573 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34	90.0	21.91
* RIA SUBTOTAL *				\$0.00	\$20,051.02	\$0.00	\$20,051.02		\$18,045.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	483.31	0.00	483.31	90.0	435.03
01/02/2019	TRAIL COMMISSIONS	PRINCIPAL MUTUAL FUNDS FEES		0.00	11.35	0.00	11.35	90.0	10.21
01/02/2019	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	25.29	0.00	25.29	90.0	22.75
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$522.45	\$0.00	\$522.45		\$470.24
*** BROKER TRANSACTIONS TOTAL ***				\$29,341.78	\$21,689.82	\$0.00	\$21,689.82		\$19,521.01

SPLIT TRANSACTIONS**BROKER: SNOW/MOYNIHAN #9543**

10/11/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	171.74	0.00	171.74	90.0	154.57
10/11/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	105.74	0.00	105.74	90.0	95.17
10/11/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	18.94	0.00	18.94	90.0	17.05
10/11/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	9.20	0.00	9.20	90.0	8.28
* SNOW/MOYNIHAN SUBTOTAL *				\$0.00	\$305.62	\$0.00	\$305.62		\$275.07

BROKER: SINGLETON/MOYNIHAN #9595

10/11/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.83	0.00	106.83	90.0	96.15
10/11/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
10/11/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	57.83	0.00	57.83	90.0	52.05
10/11/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	84.33	0.00	84.33	90.0	75.90
10/11/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	128.83	0.00	128.83	90.0	115.95
10/11/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	98.50	0.00	98.50	90.0	88.65
10/11/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	251.17	0.00	251.17	90.0	226.05
10/11/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	93.00	0.00	93.00	90.0	83.70

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
SPLIT TRANSACTIONS									
BROKER: SINGLETON/MOYNIHAN #9595									
10/11/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
10/11/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	66.83	0.00	66.83	90.0	60.15
10/11/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	60.50	0.00	60.50	90.0	54.45
* SINGLETON/MOYNIHAN SUBTOTAL *				\$0.00	\$1,095.15	\$0.00	\$1,095.15		\$985.65
BROKER: WALKER/MOYNIHAN #9609									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	200.00	100.00	0.00	100.00	90.0	90.00
* WALKER/MOYNIHAN SUBTOTAL *				\$200.00	\$100.00	\$0.00	\$100.00		\$90.00
*** SPLIT TRANSACTIONS TOTAL ***				\$200.00	\$1,500.77	\$0.00	\$1,500.77		\$1,350.72
OVERRIDE TRANSACTIONS									
BROKER: THOMPSON/SIGNAL #9622									
10/11/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,194.00	0.00	5,194.00		285.67
* THOMPSON/SIGNAL SUBTOTAL *				\$0.00	\$5,194.00	\$0.00	\$5,194.00		\$285.67
*** OVERRIDE TRANSACTIONS TOTAL ***				\$0.00	\$5,194.00	\$0.00	\$5,194.00		\$285.67
ADJUSTMENTS									
01/02/2019	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
01/02/2019	HILLTOP: CLEARING CHARGES			0.00	0.00	0.00	0.00		-13.50
01/02/2019	POSTAGE: FEDEX CHARGES			0.00	0.00	0.00	0.00		-11.97
01/02/2019	POSTAGE: POSTAGE			0.00	0.00	0.00	0.00		-4.23
01/02/2019	RENT: 1/2 MONTH			0.00	0.00	0.00	0.00		-125.00
01/02/2019	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-282.20

BROKER COMMISSION TOTALS

Broker Transactions	\$19,521.01
Split Transactions	\$1,350.72
Override Transactions	\$285.67
Adjustments	\$-282.20
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,875.20
Year-to-date Earnings	\$20,875.20