

November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT (	CATEGORY: MUTUAL FUNDS							
11/12/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @32.4800	200.00	2.40	0.00	2.40	60.0	1.44
11/15/2018	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.4000	100.00	5.00	0.00	5.00	60.0	3.00
11/15/2018	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-A BUY 2 Units @51.6600	100.00	5.00	0.00	5.00	60.0	3.00
11/15/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 2 Units @64.9000	100.00	5.00	0.00	5.00	60.0	3.00
11/15/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 2 Units @45.4300	100.00	5.00	0.00	5.00	60.0	3.00
11/15/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.6600	100.00	5.00	0.00	5.00	60.0	3.00
11/12/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 10 Units @40.0400	400.00	4.80	0.00	4.80	60.0	2.88
		* MUTUAL FUNDS SUBTOTAL *	\$1,100.00	\$32.20	\$0.00	\$32.20		\$19.32
PRODUCT (	CATEGORY: MUTUAL FUND 12B1 T	TRAILS						
10/19/2018	3 ***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	9.32	0.00	9.32	60.0	5.59
10/19/2018	3 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.19	0.00	4.19	60.0	2.51
10/19/2018	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	38.13	0.00	38.13	60.0	22.88
10/19/2018	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	31.21	0.00	31.21	60.0	18.73
10/19/2018	3 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	22.30	0.00	22.30	60.0	13.38
10/19/2018	3 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.60	0.00	12.60	60.0	7.56
10/19/2018	3 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.92	0.00	12.92	60.0	7.75
10/19/2018	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	60.0	0.90



November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614

BROKER #: 911

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT (	CATEGORY: MUTUAL FUND 12B1 T	· -	CTIONS (continu	ıed)				
10/19/2018	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	17.70	0.00	17.70	60.0	10.62
10/19/2018	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.47	0.00	3.47	60.0	2.08
11/02/2018	8 ***-**-7692 - EASWARAN, ACCOUNT #: 00519256937	CAPITAL GROWTH FUND A BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	60.0	1.12
11/02/2018	8 ***-**-584I - FIGDOR, WILLIAM ACCOUNT #: 00519263525	CAPITAL GROWTH FUND A BUY 0 Units @0.0000	0.00	15.08	0.00	15.08	60.0	9.05
11/02/2018	8 ***-**-50IN - SPRING, HENRY ACCOUNT #: 00519284179	CAPITAL GROWTH FUND A BUY 0 Units @0.0000	0.00	33.96	0.00	33.96	60.0	20.38
11/02/2018	8 ***-**-1359 - JACKSON, ACCOUNT #: 09300005542	CAPITAL GROWTH FUND A BUY 0 Units @0.0000	0.00	49.23	0.00	49.23	60.0	29.54
11/02/2018	8 ***-**-212I - JACKSON, ROBERT ACCOUNT #: 09300005543	CAPITAL GROWTH FUND A BUY 0 Units @0.0000	0.00	24.22	0.00	24.22	60.0	14.53
10/19/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME A BUY 1 Units @0.0000	0.00	20.48	0.00	20.48	60.0	12.29
10/19/2018	8 ***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	7.40	0.00	7.40	60.0	4.44
10/19/2018	8 ***-**-8071 - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.86	0.00	2.86	60.0	1.72
10/19/2018	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	32.20	0.00	32.20	60.0	19.32
10/19/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	28.37	0.00	28.37	60.0	17.02
10/19/2018	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	60.0	0.84
10/19/2018	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.64	0.00	3.64	60.0	2.18
10/19/2018	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	60.0	1.48
10/19/2018	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	6.88	0.00	6.88	60.0	4.13



November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSAC	TIONS (contin	ued)				
	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	60.0	2.09
10/19/201	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	15.14	0.00	15.14	60.0	9.08
10/19/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	70.51	0.00	70.51	60.0	42.31
10/19/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	27.21	0.00	27.21	60.0	16.33
11/19/201	8 ***-**-7254 - GREEN, MICHAEL ACCOUNT #: 05163225106	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	102.03	0.00	102.03	60.0	61.22
11/19/201	8 ***-**-119I - LEVIN MD, HENRY ACCOUNT #: 5014241449	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	5.62	0.00	5.62	60.0	3.37
11/19/201	8 ***-**-931S - THE SEP ACCOUNT ACCOUNT #: 6000506880	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	14.44	0.00	14.44	60.0	8.66
10/19/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	33.15	0.00	33.15	60.0	19.89
10/19/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	22.44	0.00	22.44	60.0	13.46
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADV EQUITY GROWTH CL T BUY 1 Units @0.0000	0.00	10.28	0.00	10.28	60.0	6.17
10/19/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV EQUITY INCOME CL A BUY 1 Units @0.0000	0.00	11.97	0.00	11.97	60.0	7.18
11/07/201	8 ***-**-003I - LEE, MICHELE ACCOUNT #: 00006827196	FIDELITY ADV GROWTH OPPORT CL T BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	60.0	5.11
10/19/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV VALUE STRATEGIES-CL A BUY 1 Units @0.0000	0.00	15.01	0.00	15.01	60.0	9.01
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADVISOR VALUE STRAT CL T BUY 1 Units @0.0000	0.00	11.27	0.00	11.27	60.0	6.76
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN DYNATECH FUND CLASS A BUY 1 Units @0.0000	0.00	32.87	0.00	32.87	60.0	19.72
10/19/201	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN FEDERAL TAX FREE INCOME FU BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	60.0	1.71



November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614

BROKER #: 911

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTI	ONS (contin	ued)				
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	29.44	0.00	29.44	60.0	17.66
10/19/201	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN HIGH-YIELD TAX-FREE INCOME BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	60.0	1.51
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	27.60	0.00	27.60	60.0	16.56
10/19/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	3.87	0.00	3.87	60.0	2.32
10/19/201	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	60.95	0.00	60.95	60.0	36.57
10/19/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	66.14	0.00	66.14	60.0	39.68
10/19/201	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	40.57	0.00	40.57	60.0	24.34
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	48.49	0.00	48.49	60.0	29.09
10/19/201	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.46	0.00	4.46	60.0	2.68
11/02/201	8 ***-**-84IR - FIGDOR, WILLIAM ACCOUNT #: 00519157872	GOLDMAN SACHS ASSET ALLOC GRWTH STR BUY 0 Units @0.0000	0.00	2.80	0.00	2.80	60.0	1.68
11/02/201	8 ***-**-584I - FIGDOR, WILLIAM ACCOUNT #: 00519115842	GOLDMAN SACHS HIGH YIELD CLASS A BUY 0 Units @0.0000	0.00	14.29	0.00	14.29	60.0	8.57
10/19/201	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	60.0	0.85
11/02/201	8 ***-**-2891 - CASANOVA, CARLOS ACCOUNT #: 00519120186	GS FINANCIAL SQ GOVERNMENT FND A BUY 0 Units @0.0000	0.00	5.24	0.00	5.24	60.0	3.14
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	20.96	0.00	20.96	60.0	12.58
10/19/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	75.78	0.00	75.78	60.0	45.47
10/19/201	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	50.02	0.00	50.02	60.0	30.01



November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614

BROKER #: 911

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT (	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACT	IONS (continu	ıed)				
10/19/2018	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	10.94	0.00	10.94	60.0	6.56
10/19/2018	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	12.01	0.00	12.01	60.0	7.21
10/19/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	48.01	0.00	48.01	60.0	28.81
10/19/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	22.65	0.00	22.65	60.0	13.59
10/19/2018	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	36.32	0.00	36.32	60.0	21.79
10/19/2018	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	30.06	0.00	30.06	60.0	18.04
11/02/2018	8 ***-**-1359 - JACKSON, ACCOUNT #: 09300005542	STRUCTURED U.S. EQUITY CLASS A BUY 0 Units @0.0000	0.00	24.09	0.00	24.09	60.0	14.45
11/02/2018	8 ***-**-212I - JACKSON, ROBERT ACCOUNT #: 09300005543	STRUCTURED U.S. EQUITY CLASS A BUY 0 Units @0.0000	0.00	11.63	0.00	11.63	60.0	6.98
10/19/2018	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.21	0.00	6.21	60.0	3.73
10/19/2018	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	11.44	0.00	11.44	60.0	6.86
10/19/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.37	0.00	7.37	60.0	4.42
10/19/2018	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	50.63	0.00	50.63	60.0	30.38
10/19/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	119.43	0.00	119.43	60.0	71.66
10/19/2018	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.34	0.00	4.34	60.0	2.60
10/19/2018	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.30	0.00	4.30	60.0	2.58
10/19/2018	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.32	0.00	9.32	60.0	5.59



November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACTIO	ONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
10/19/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	28.87	0.00	28.87	60.0	17.32
10/19/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.53	0.00	8.53	60.0	5.12
10/19/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.45	0.00	7.45	60.0	4.47
10/19/201	.8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	31.77	0.00	31.77	60.0	19.06
10/19/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	16.23	0.00	16.23	60.0	9.74
10/19/201	.8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	13.97	0.00	13.97	60.0	8.38
10/19/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	22.19	0.00	22.19	60.0	13.31
11/02/201	8 ***-**-107I - MONTEAGUDO, ANA ACCOUNT #: 00519243870	TOLLKEEPER A BUY 0 Units @0.0000	0.00	5.61	0.00	5.61	60.0	3.37
10/19/201	.8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	53.82	0.00	53.82	60.0	32.29
10/19/201	.8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	17.21	0.00	17.21	60.0	10.33
10/19/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	20.98	0.00	20.98	60.0	12.59
10/19/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	88.14	0.00	88.14	60.0	52.88
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,948.23	\$0.00	\$1,948.23		\$1,168.93
		*** BROKER TRANSACTIONS TOTAL ***	\$1,100.00	\$1,980.43	\$0.00	\$1,980.43		\$1,188.25



November 19, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						BROKER COMM	SSION	TOTALS
					Brok	er Transactions		\$1,188.25
					Spl	it Transactions		\$0.00
					Overri	de Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$66.30
					F	INRA Assessment		\$0.00
						SIPC Assessment		\$0.00
					Net Co	mmission Earned		\$1,254.55
					Year-te	o-date Earnings		\$16,669.84