



# COMMISSION STATEMENT

## September 17, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/10/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN MID-CAP GRO A	2,029.99	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR010074	SELL 150 Units @13.5500						
09/05/2018	***-**-7975 - VAGLIO, JOSEPH	FA 529 COLLEGE PORT-CL C	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00701694614	BUY 16 Units @15.3400						
* MUTUAL FUNDS SUBTOTAL *			<b>\$2,279.99</b>	<b>\$13.75</b>	<b>\$9.00</b>	<b>\$4.75</b>		<b>\$4.28</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
09/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
09/11/2018	***-**-285P - MORMINO, STEVE	PACIFIC LIFE ABRB DTCC	181.67	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: VP71053490	BUY 0 Units @0.0000						
09/12/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
09/14/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	1,000.00	15.00	0.00	15.00	90.0	13.50
	ACCOUNT #: VP71054510	BUY 0 Units @0.0000						
09/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
09/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$2,041.67</b>	<b>\$23.42</b>	<b>\$0.00</b>	<b>\$23.42</b>		<b>\$21.08</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/04/2018	***-**-1696 - GUZMAN, JOSE	AMERICAN BALANCED FUND-A	0.00	1.74	0.00	1.74	90.0	1.57
	ACCOUNT #: 00075812789	BUY 0 Units @0.0000						
09/04/2018	***-**-381J - DELBENE,	CAPITAL INCOME BUILDER-A	0.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: 00084940218	BUY 0 Units @0.0000						
09/04/2018	***-**-381I - DELBENE,	CAPITAL INCOME BUILDER-A	0.00	1.16	0.00	1.16	90.0	1.04
	ACCOUNT #: 00084945358	BUY 0 Units @0.0000						
09/04/2018	***-**-95IR - DELBENE, DONALD	CAPITAL INCOME BUILDER-A	0.00	0.49	0.00	0.49	90.0	0.44
	ACCOUNT #: 00084945910	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	0.80	0.00	0.80	90.0	0.72
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	90.0	0.31
09/04/2018	***-**-381J - DELBENE, ACCOUNT #: 00084940218	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.04	0.00	2.04	90.0	1.84
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.65	0.00	1.65	90.0	1.49
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	90.0	0.63
09/04/2018	***-**-381J - DELBENE, ACCOUNT #: 00084940218	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	0.97	0.00	0.97	90.0	0.87
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	90.0	0.96
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	90.0	0.41
09/04/2018	***-**-381J - DELBENE, ACCOUNT #: 00084940218	TAX-EXEMPT BOND FUND OF AMERICA BUY 0 Units @0.0000	0.00	1.53	0.00	1.53	90.0	1.38
09/04/2018	***-**-381I - DELBENE, ACCOUNT #: 00084945358	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	90.0	0.56
09/04/2018	***-**-95IR - DELBENE, DONALD ACCOUNT #: 00084945910	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	90.0	0.23
09/04/2018	***-**-1696 - GUZMAN, JOSE ACCOUNT #: 00075812789	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.12	0.00	2.12	90.0	1.91
09/04/2018	***-**-971I - MEEHAN, JULIE ACCOUNT #: 00073631852	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.42	0.00	2.42	90.0	2.18
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$19.40</b>	<b>\$0.00</b>	<b>\$19.40</b>		<b>\$17.49</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/11/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	0.00	10.55	0.00	10.55	90.0	9.50
	ACCOUNT #: VP71054510	BUY 0 Units @0.0000						
09/11/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	0.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
09/11/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	0.00	9.04	0.00	9.04	90.0	8.14
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$20.49</b>	<b>\$0.00</b>	<b>\$20.49</b>		<b>\$18.45</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,321.66</b>	<b>\$77.06</b>	<b>\$9.00</b>	<b>\$68.06</b>		<b>\$61.30</b>

### SPLIT TRANSACTIONS

#### **BROKER: JEFFREY MORRISON**

09/13/2018	***-**-018I - WATSON, BURTON	AGNC INVT CORP COM UNSOLICITED ORDE	4,167.02	41.50	12.00	29.50	90.0	26.55
	ACCOUNT #: LSR105902	SELL 220 Units @18.9410						
09/04/2018	***-**-2211 - RUBIN, EMILY	AMERICAN BALANCED FUND-A	0.00	1.99	0.00	1.99	90.0	1.79
	ACCOUNT #: 00085946088	BUY 0 Units @0.0000						
09/04/2018	***-**-0848 - RUBIN, ADELYN	AMERICAN BALANCED FUND-A	0.00	1.99	0.00	1.99	90.0	1.79
	ACCOUNT #: 00085946132	BUY 0 Units @0.0000						
09/04/2018	***-**-535P - RUBIN, LEA	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.80	0.00	0.80	90.0	0.72
	ACCOUNT #: 00087969731	BUY 0 Units @0.0000						
09/13/2018	***-**-018I - WATSON, BURTON	APPLE INC UNSOLICITED ORDER	3,380.00	27.00	9.00	18.00	90.0	16.20
	ACCOUNT #: LSR105902	SELL 15 Units @225.3330						
09/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 00700773088	BUY 18 Units @27.9400						
09/13/2018	***-**-464I - GAMINI, ARUNA	FIDELITY ADV BIOTECHNOLOGY-CL A	1,000.01	25.00	9.00	16.00	90.0	14.40
	ACCOUNT #: LSR012623	BUY 33 Units @30.1000						
09/13/2018	***-**-464I - GAMINI, ARUNA	FIDELITY ADV HEALTH CARE-CL A	1,000.00	25.00	9.00	16.00	90.0	14.40
	ACCOUNT #: LSR012623	BUY 18 Units @56.4400						
09/13/2018	***-**-464I - GAMINI, ARUNA	FIDELITY ADV TECHNOLOGY CL A	999.98	25.00	9.00	16.00	90.0	14.40
	ACCOUNT #: LSR012623	BUY 15 Units @66.6700						
09/13/2018	***-**-464I - GAMINI, ARUNA	FIDELITY ADVISOR EMERGING ASIA FUND	999.99	25.00	9.00	16.00	90.0	14.40
	ACCOUNT #: LSR012623	BUY 26 Units @39.1400						



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
09/13/2018	***-**-464I - GAMINI, ARUNA	FIDELITY ADVISOR FREEDOM 2040	999.99	25.00	9.00	16.00	90.0	14.40
	ACCOUNT #: LSR012623	BUY 60 Units @16.6200						
09/13/2018	***-**-018I - WATSON, BURTON	HOME DEPOT INC COM	6,289.50	27.00	7.80	19.20	90.0	17.28
	ACCOUNT #: LSR105902	SELL 30 Units @209.6500						
09/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
09/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
09/10/2018	***-**-645I - KUMAR, SURESH	PACIFIC LIFE EXCE 5A DTCC	600.00	6.00	0.00	6.00	90.0	5.40
	ACCOUNT #: VP66002730	BUY 0 Units @0.0000						
09/12/2018	***-**-809I - YANKILEVICH,	PACIFIC LIFE EXCE 5A DTCC	350.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: VP65924490	BUY 0 Units @0.0000						
09/09/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	0.00	7.18	0.00	7.18	90.0	6.46
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
09/13/2018	***-**-018I - WATSON, BURTON	PROSHARES ULTRA S&P 500 PROSHARES U	10,728.02	27.00	8.35	18.65	90.0	16.79
	ACCOUNT #: LSR105902	SELL 85 Units @126.2120						
09/10/2018	***-**-00IR - WHITFIELD, FBO	PUTNAM GROWTH OPPORTUNITIES FUND CL	2,699.99	13.50	9.00	4.50	90.0	4.05
	ACCOUNT #: LSR103080	BUY 86 Units @31.5600						
<b>* JEFFREY MORRISON SUBTOTAL *</b>			<b>\$36,938.48</b>	<b>\$451.16</b>	<b>\$91.15</b>	<b>\$360.01</b>		<b>\$324.01</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$36,938.48</b>	<b>\$451.16</b>	<b>\$91.15</b>	<b>\$360.01</b>		<b>\$324.01</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$61.30
						Split Transactions		\$324.01
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$385.31
						Year-to-date Earnings		\$48,625.13