



COMMISSION STATEMENT

December 17, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	0.00	12.32	0.00	12.32	70.0	8.62
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
12/03/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	0.00	2.37	0.00	2.37	70.0	1.66
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
12/03/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	0.00	5.52	0.00	5.52	70.0	3.86
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
12/03/2018	***-**-492I - SELL, MICHAEL	AMCAP FUND-A	0.00	1.97	0.00	1.97	70.0	1.38
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
12/03/2018	***-**-579I - SELL, PATRICIA	AMCAP FUND-A	0.00	1.97	0.00	1.97	70.0	1.38
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
12/03/2018	***-**-492I - SELL, MICHAEL	AMERICAN HIGH-INCOME TRUST-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
12/03/2018	***-**-579I - SELL, PATRICIA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
12/03/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	0.00	5.83	0.00	5.83	70.0	4.08
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
12/03/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	0.00	2.28	0.00	2.28	70.0	1.60
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
12/03/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	0.00	5.33	0.00	5.33	70.0	3.73
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
12/03/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	0.00	1.83	0.00	1.83	70.0	1.28
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
12/03/2018	***-**-492I - SELL, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
12/03/2018	***-**-579I - SELL, PATRICIA	CAPITAL INCOME BUILDER-A	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - LANGILL,	CAPITAL INCOME BUILDER-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
12/03/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.44	0.00	5.44	70.0	3.81
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
12/03/2018	***-**-492I - SELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.68	0.00	1.68	70.0	1.18
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-579I - SELL, PATRICIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.68	0.00	1.68	70.0	1.18
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - LANGILL,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - LANGILL,	EUROPACIFIC GROWTH FUND-A	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
12/03/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	0.00	12.77	0.00	12.77	70.0	8.94
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
12/03/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	2.15	0.00	2.15	70.0	1.51
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
12/03/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	0.00	4.99	0.00	4.99	70.0	3.49
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - LANGILL,	FUNDAMENTAL INVESTORS-A	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
12/03/2018	***-**-492I - SELL, MICHAEL	SMALLCAP WORLD FUND-A	0.00	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
12/03/2018	***-**-579I - SELL, PATRICIA	SMALLCAP WORLD FUND-A	0.00	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
12/03/2018	***-**-492I - SELL, MICHAEL	THE BOND FUND OF AMERICA-A	0.00	1.46	0.00	1.46	70.0	1.02
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
12/03/2018	***-**-579I - SELL, PATRICIA	THE BOND FUND OF AMERICA-A	0.00	1.46	0.00	1.46	70.0	1.02
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
12/03/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	0.00	12.97	0.00	12.97	70.0	9.08
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
12/03/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	2.25	0.00	2.25	70.0	1.58
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
12/03/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	0.00	5.22	0.00	5.22	70.0	3.65
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
12/03/2018	***-**-875P - LANGILL,	THE GROWTH FUND OF AMERICA-A	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
12/03/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	0.00	4.53	0.00	4.53	70.0	3.17
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-875P - LANGILL, ACCOUNT #: 00082197082	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	70.0	0.05
12/03/2018	***-**-515P - BAUMANN, ROBERT ACCOUNT #: 00063922931	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	70.0	1.59
12/03/2018	***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	5.30	0.00	5.30	70.0	3.71
12/03/2018	***-**-805P - IPPOLITO, NICOLE ACCOUNT #: 00063922927	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	13.00	0.00	13.00	70.0	9.10
12/03/2018	***-**-515P - BAUMANN, ROBERT ACCOUNT #: 00063922931	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	2.20	0.00	2.20	70.0	1.54
12/03/2018	***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	5.11	0.00	5.11	70.0	3.58
12/03/2018	***-**-515P - BAUMANN, ROBERT ACCOUNT #: 00063922931	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	70.0	1.62
12/03/2018	***-**-195P - BAUMANN, TERESA ACCOUNT #: 00063922933	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	5.43	0.00	5.43	70.0	3.80
12/03/2018	***-**-492I - SELL, MICHAEL ACCOUNT #: 00075946748	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	70.0	1.93
12/03/2018	***-**-579I - SELL, PATRICIA ACCOUNT #: 00075946796	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	70.0	1.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$141.40	\$0.00	\$141.40		\$98.99
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$141.40	\$0.00	\$141.40		\$98.99



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						<u>BROKER COMMISSION TOTALS</u>		
						Broker Transactions		\$98.99
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD						Balance Forward		\$98.99
						Year-to-date Earnings		\$65,963.85