

COMMISSION STATEMENT

November 30, 2018

DENNUS COOPER-D339
 9270 Glenwood
 Suite B
 Overland Park, KS 66212

BROKER #: D339
 BRANCH: DMN

COMMISSION STATEMENT for DENNUS COOPER-D339

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF BLK 091928846	S	17,352.45	867.50	5.00	862.50	85.0	733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF BLK 091928846	S	-17,352.45	-867.50	-5.00	-862.50	85.0	-733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF BLK 091928846	S	17,352.45	867.50	5.00	862.50	85.0	733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF BLK 091928846	S	-17,352.45	-867.50	-5.00	-862.50	85.0	-733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF BLK 091928846	S	17,352.45	0.00	5.00	-5.00	85.0	-4.25
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF CAPITAL APPRECIATION - CLASS A	S	17,352.45	867.50	5.00	862.50	85.0	733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF CAPITAL APPRECIATION - CLASS A	S	-17,352.45	-867.50	-5.00	-862.50	85.0	-733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF CAPITAL APPRECIATION - CLASS A	S	17,352.45	867.50	5.00	862.50	85.0	733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF CAPITAL APPRECIATION - CLASS A	S	-17,352.45	-867.50	-5.00	-862.50	85.0	-733.13
11/09/2018 677266295	SCHLOSSER, CHELSEA	MF CAPITAL APPRECIATION - CLASS A	S	17,352.45	0.00	5.00	-5.00	85.0	-4.25
11/26/2018 707970286	CHRISTINE MURPHY	MF ALLIANZ OCC RENAISSANCE FUND	S	-45,704.63	0.00	5.00	-5.00	85.0	-4.25
11/27/2018 707970286	CHRISTINE MURPHY	MF BLK 091928846	S	19,702.45	738.75	2.50	736.25	85.0	625.81
11/27/2018 707970286	CHRISTINE MURPHY	MF CAPITAL APPRECIATION - CLASS A	S	26,002.45	845.00	2.50	842.50	85.0	716.13
* MUTUAL FUNDS SUBTOTAL *				\$34,705.17	\$1,583.75	\$20.00	\$1,563.75		\$1,329.19

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/21/2018 mfc109920	COOPER 109920	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	9.95	0.00	9.95	85.0	8.46
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928788	B	0.00	1.63	0.00	1.63	85.0	1.39
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	0.94	0.00	0.94	85.0	0.80
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	1.53	0.00	1.53	85.0	1.30
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	2.09	0.00	2.09	85.0	1.78
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	4.35	0.00	4.35	85.0	3.70
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	4.57	0.00	4.57	85.0	3.88
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	8.92	0.00	8.92	85.0	7.58
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	9.62	0.00	9.62	85.0	8.18
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	10.11	0.00	10.11	85.0	8.59
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	10.71	0.00	10.71	85.0	9.10
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	16.80	0.00	16.80	85.0	14.28
11/21/2018 mfc109920	COOPER 109920	MFT BLK 091928846	B	0.00	19.83	0.00	19.83	85.0	16.86
11/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	2.09	0.00	2.09	85.0	1.78
11/21/2018 mfc109920	COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	3.21	0.00	3.21	85.0	2.73

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	4.13	0.00	4.13	85.0	3.51
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.34	0.00	9.34	85.0	7.94
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	9.74	0.00	9.74	85.0	8.28
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	12.65	0.00	12.65	85.0	10.75
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	14.84	0.00	14.84	85.0	12.61
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	18.26	0.00	18.26	85.0	15.52
11/21/2018	mfc109920 COOPER 109920	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.08	0.00	1.08	85.0	0.92
11/21/2018	mfc109920 COOPER 109920	MFT DEUTSCHE CROCI	B	0.00	1.28	0.00	1.28	85.0	1.09
11/21/2018	mfc109920 COOPER 109920	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.78	0.00	0.78	85.0	0.66
11/21/2018	mfc109920 COOPER 109920	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.85	0.00	1.85	85.0	1.57
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	2.65	0.00	2.65	85.0	2.25
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	2.99	0.00	2.99	85.0	2.54
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	7.45	0.00	7.45	85.0	6.33
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	11.36	0.00	11.36	85.0	9.66
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	11.75	0.00	11.75	85.0	9.99
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	14.63	0.00	14.63	85.0	12.44
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	18.14	0.00	18.14	85.0	15.42
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	19.22	0.00	19.22	85.0	16.34
11/21/2018	mfc109920 COOPER 109920	MFT LARGE CAP EQUITY A	B	0.00	19.62	0.00	19.62	85.0	16.68
11/21/2018	mfc109920 COOPER 109920	MFT MUTUAL SERIES SHARES CLASS A	B	0.00	3.09	0.00	3.09	85.0	2.63
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.00	0.00	3.00	85.0	2.55
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.87	0.00	7.87	85.0	6.69
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.47	0.00	8.47	85.0	7.20
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.59	0.00	8.59	85.0	7.30
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	9.78	0.00	9.78	85.0	8.31
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.42	0.00	10.42	85.0	8.86
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	12.89	0.00	12.89	85.0	10.96
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.82	0.00	14.82	85.0	12.60
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	21.49	0.00	21.49	85.0	18.27
11/21/2018	mfc109920 COOPER 109920	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	24.56	0.00	24.56	85.0	20.88
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	2.05	0.00	2.05	85.0	1.74
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.10	0.00	5.10	85.0	4.34
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.26	0.00	7.26	85.0	6.17
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.81	0.00	7.81	85.0	6.64
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.15	0.00	8.15	85.0	6.93
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	13.92	0.00	13.92	85.0	11.83
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	14.75	0.00	14.75	85.0	12.54
11/21/2018	mfc109920 COOPER 109920	MFT SENTINEL SMALL COMPANY FUND -	B	0.00	17.45	0.00	17.45	85.0	14.83
11/21/2018	mfc109920 COOPER 109920	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	2.58	0.00	2.58	85.0	2.19
11/21/2018	mfc109920 COOPER 109920	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.86	0.00	0.86	85.0	0.73
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD CHECKS AND	B	0.00	0.71	0.00	0.71	85.0	0.60
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	0.77	0.00	0.77	85.0	0.65
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.08	0.00	2.08	85.0	1.77
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.77	0.00	2.77	85.0	2.35

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11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.86	0.00	2.86	85.0	2.43
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	8.57	0.00	8.57	85.0	7.28
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	8.76	0.00	8.76	85.0	7.45
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.94	0.00	9.94	85.0	8.45
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.09	0.00	10.09	85.0	8.58
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	13.36	0.00	13.36	85.0	11.36
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	17.57	0.00	17.57	85.0	14.93
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD MID CAP FUND-A	B	0.00	19.17	0.00	19.17	85.0	16.29
11/21/2018	mfc109920 COOPER 109920	MFT THE HARTFORD CAPITAL	B	0.00	11.61	0.00	11.61	85.0	9.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$601.28	\$0.00	\$601.28		\$511.11
*** CLEARING TRANSACTIONS TOTAL ***				\$34,705.17	\$2,185.03	\$20.00	\$2,165.03		\$1,840.30

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2018 (None)	SCHLOCHELR	MFT JOHN HANCOCK FREEDOM	B	0.00	0.72	0.00	0.72	85.0	0.61
10/31/2018 (None)	GATES, JASON	MFT JOHN HANCOCK FREEDOM	B	0.00	16.00	0.00	16.00	85.0	13.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$16.72	\$0.00	\$16.72		\$14.21
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$16.72	\$0.00	\$16.72		\$14.21

ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,854.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,669.51
Year-to-date Commissions	\$37,122.30