



# COMMISSION STATEMENT

## November 12, 2018

SAMUEL BARBER  
327 Church St  
Naugatuck, CT 06770

BROKER #: B06  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/07/2018	***-**-78IR - BARBER, WALTER	ARK ETF TR INNOVATION ETF	688.50	38.00	15.30	22.70	75.0	17.03
	ACCOUNT #: LSR012640	BUY 15 Units @45.9000						
* STOCKS SUBTOTAL *			<u>\$688.50</u>	<u>\$38.00</u>	<u>\$15.30</u>	<u>\$22.70</u>		<u>\$17.03</u>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
11/12/2018	***-**-43FB - CAHILL,	LIFEMARK INVESTMENT ADVISORY FEE	9.81	9.81	0.00	9.81	75.0	7.36
	ACCOUNT #: 925569048	BUY 0 Units @0.0000						
11/12/2018	***-**-63FB - HOWIE, MARGARET	LIFEMARK INVESTMENT ADVISORY FEE	17.77	17.77	0.00	17.77	75.0	13.33
	ACCOUNT #: 925589694	BUY 0 Units @0.0000						
11/12/2018	***-**-23FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	26.86	26.86	0.00	26.86	75.0	20.15
	ACCOUNT #: 925583135	BUY 0 Units @0.0000						
11/12/2018	***-**-24FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	26.86	26.86	0.00	26.86	75.0	20.15
	ACCOUNT #: 925583932	BUY 0 Units @0.0000						
11/12/2018	***-**-11FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	53.71	53.71	0.00	53.71	75.0	40.28
	ACCOUNT #: 925583933	BUY 0 Units @0.0000						
11/12/2018	***-**-21FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	67.14	67.14	0.00	67.14	75.0	50.36
	ACCOUNT #: 925583927	BUY 0 Units @0.0000						
11/12/2018	***-**-20FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	67.14	67.14	0.00	67.14	75.0	50.36
	ACCOUNT #: 925583937	BUY 0 Units @0.0000						
11/12/2018	***-**-10FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	80.57	80.57	0.00	80.57	75.0	60.43
	ACCOUNT #: 925583921	BUY 0 Units @0.0000						
11/12/2018	***-**-22FB - WALTER R KUHN	LIFEMARK INVESTMENT ADVISORY FEE	80.57	80.57	0.00	80.57	75.0	60.43
	ACCOUNT #: 925583939	BUY 0 Units @0.0000						
11/12/2018	***-**-90FB - ADOVASIO, LUKE	LIFEMARK INVESTMENT ADVISORY FEE	108.69	108.69	0.00	108.69	75.0	81.52
	ACCOUNT #: 925597313	BUY 0 Units @0.0000						
11/12/2018	***-**-24FB - IMBRIALE, DONALD	LIFEMARK INVESTMENT ADVISORY FEE	296.61	296.61	0.00	296.61	75.0	222.46
	ACCOUNT #: 925568187	BUY 0 Units @0.0000						
11/12/2018	***-**-34FB - RODESCHINI,	LIFEMARK INVESTMENT ADVISORY FEE	541.25	541.25	0.00	541.25	75.0	405.94
	ACCOUNT #: 925579511	BUY 0 Units @0.0000						
11/12/2018	***-**-90IO - ADOVASIO, LUKE	NFS MANAGED FEES	0.00	787.86	0.00	787.86	75.0	590.90
		BUY 1 Units @280965.970						
* RIA SUBTOTAL *			<u>\$1,376.98</u>	<u>\$2,164.84</u>	<u>\$0.00</u>	<u>\$2,164.84</u>		<u>\$1,623.67</u>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/31/2018	***-**-3812 - NORIEGA, CARLOS	FRK GTH ALC 13-16 YR 529 PORT CL A	0.00	21.09	0.00	21.09	75.0	15.82
	ACCOUNT #: 000000007007857	BUY 0 Units @0.0000						
10/31/2018	***-**-3812 - NORIEGA, CARLOS	FRK GTH ALC 9-12 YR 529 PORT CL A	0.00	17.60	0.00	17.60	75.0	13.20
	ACCOUNT #: 000000007007857	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$38.69	\$0.00	\$38.69		\$29.02
*** BROKER TRANSACTIONS TOTAL ***			\$2,065.48	\$2,241.53	\$15.30	\$2,226.23		\$1,669.72

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,669.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,669.72
Year-to-date Earnings	\$54,241.41