

COMMISSION STATEMENT
September 15, 2018

KENNETH MARX-D376
2419 GENESEO OAKS

BROKER #: D376
BRANCH: DMN

NEW BRAUNFELS, TX 78132

COMMISSION STATEMENT for KENNETH MARX-D376

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/05/2018 00777072217	OLIPHINT, JO	MF AIM INTERMEDIATE GOVERNMENT FD	B	300.00	9.75	0.00	9.75	70.0	6.83
09/05/2018 00777072217	OLIPHINT, JO	MF AIM INTERMEDIATE GOVERNMENT FD	B	51.05	1.66	0.00	1.66	70.0	1.16
09/05/2018 00777186284	WEATHERFORD,	MF INVESCO BALANCED-RISK RTRMNT	B	500.00	15.00	0.00	15.00	70.0	10.50
09/05/2018 00777186284	WEATHERFORD,	MF INVESCO BALANCED-RISK RTRMNT	B	114.68	3.44	0.00	3.44	70.0	2.41
* MUTUAL FUNDS SUBTOTAL *				<u>\$965.73</u>	<u>\$29.85</u>	<u>\$0.00</u>	<u>\$29.85</u>		<u>\$20.90</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$965.73</u>	<u>\$29.85</u>	<u>\$0.00</u>	<u>\$29.85</u>		<u>\$20.90</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$20.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$20.90
Year-to-date Commissions	\$20.90