



# COMMISSION STATEMENT

## September 24, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/20/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	50.01	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 1 Units @37.1000						
09/17/2018	***-**-134I - KOONTZ, JOSEPH	PL PORTFOLIO OPTIMIZATION AGGRESSIV	199.99	9.50	0.00	9.50	70.0	6.65
	ACCOUNT #: LSR012089	BUY 11 Units @18.8600						
09/20/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @27.8700						
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$14.50	\$0.00	\$14.50		\$10.15
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
09/24/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
09/24/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
09/24/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$300.00	\$6.00	\$0.00	\$6.00		\$4.20
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/24/2018	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	3.99	0.00	3.99	70.0	2.79
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
09/24/2018	***-**-925P - FENGLER, DANIEL	CHET ADVISOR 529 TRAILS	0.00	2.23	0.00	2.23	70.0	1.56
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
09/10/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
09/10/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
09/10/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
09/10/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
09/10/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
09/10/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.95	0.00	2.95	70.0	2.07
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
09/10/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.89	0.00	2.89	70.0	2.02
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
09/10/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
09/17/2018	***-**-57IR - SOCHA, CYNTHIA	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.42	0.00	2.42	70.0	1.69
	ACCOUNT #: 00002019641	BUY 0 Units @0.0000						
09/24/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	3.21	0.00	3.21	70.0	2.25
		BUY 0 Units @0.0000						
09/24/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.95	0.00	2.95	70.0	2.07
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						
09/24/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	2.14	0.00	2.14	70.0	1.50
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
09/24/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.48	0.00	3.48	70.0	2.44
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						
09/17/2018	***-**-118I - PIRULLI, DEBORAH	STRATEGIC INCOME A	0.00	5.08	0.00	5.08	70.0	3.56
	ACCOUNT #: 00002034826	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$34.65</b>	<b>\$0.00</b>	<b>\$34.65</b>		<b>\$24.27</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
09/19/2018	***-**-308I - FREEMAN, BERNARD	PACIFIC LIFE CUSIP 69448B175-NFS	0.00	9.59	0.00	9.59	70.0	6.71
	ACCOUNT #: VM17003445	BUY 0 Units @0.0000						
09/19/2018	***-**-57IR - BERRIEN, ALLEN	PACIFIC LIFE VA COMM	0.00	71.51	0.00	71.51	70.0	50.06
	ACCOUNT #: VM13006700	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$81.10</b>	<b>\$0.00</b>	<b>\$81.10</b>		<b>\$56.77</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$600.00</b>	<b>\$136.25</b>	<b>\$0.00</b>	<b>\$136.25</b>		<b>\$95.39</b>



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## BROKER COMMISSION TOTALS

Broker Transactions	\$95.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$95.39</b>
<b>Year-to-date Earnings</b>	<b>\$27,113.84</b>