BROKER

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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

September 30, 2018

JOHN KILLEEN

306 SCHUNNEMUNK STREET

MONROE, NY 10950

BROKER #: W06

GROSS

BRANCH: FULCRUM GROUP

CLEARING

NET

COMMISSION STATEMENT for JOHN KILLEEN

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKEI	R TRANS	ACTIONS					
PRODUCT CAT	regory: Mutual funds	3							
08/28/2018 00	0061663272 DAVIS, CARC	L NEW PERSPECTIVE FUND-A	В	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018 00	0061663272 DAVIS, CARC	L THE GROWTH FUND OF AMERICA-A	В	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018 00	0061663272 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0061663272 DAVIS, CARC	L THE NEW ECONOMY FUND-A	В	102.09	0.51	0.00	0.51	86.0	0.44
08/28/2018 00	0061663272 DAVIS, CARC	L WASHINGTON MUTUAL INVESTORS FU	JND-A B	102.08	0.51	0.00	0.51	86.0	0.44
09/14/2018 00	0061663273 DAVIS, CARC	L NEW PERSPECTIVE FUND-A	В	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018 00	0061663273 DAVIS, CARC	L THE GROWTH FUND OF AMERICA-A	В	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018 00	0061663273 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018 00	0061663273 DAVIS, CARC	L THE NEW ECONOMY FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018 00	0061663273 DAVIS, CARC	L WASHINGTON MUTUAL INVESTORS FU	JND-A B	945.00	4.73	0.00	4.73	86.0	4.07
08/28/2018 00	0061736606 DAVIS, CARC	L NEW PERSPECTIVE FUND-A	В	312.54	1.56	0.00	1.56	86.0	1.34
08/28/2018 00	0061736606 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	168.29	0.84	0.00	0.84	86.0	0.72
09/14/2018 00	0061736607 DAVIS, CARC	L NEW PERSPECTIVE FUND-A	В	1,795.70	8.98	0.00	8.98	86.0	7.72
09/14/2018 00	0061736607 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	966.92	4.83	0.00	4.83	86.0	4.15
08/28/2018 00	0061736608 DAVIS, CARC	L THE GROWTH FUND OF AMERICA-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00	0061736608 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00	0061736608 DAVIS, CARC	L WASHINGTON MUTUAL INVESTORS FU	JND-A B	100.00	0.50	0.00	0.50	86.0	0.43
09/14/2018 00	0061736609 DAVIS, CARC	L THE GROWTH FUND OF AMERICA-A	В	1,437.46	7.19	0.00	7.19	86.0	6.18
09/14/2018 00	0061736609 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	1,437.45	7.19	0.00	7.19	86.0	6.18
09/14/2018 00	0061736609 DAVIS, CARC	L WASHINGTON MUTUAL INVESTORS FU	JND-A B	1,916.60	9.58	0.00	9.58	86.0	8.24
08/28/2018 00	0061736614 DAVIS, CARC	L THE BOND FUND OF AMERICA-A	В	510.42	2.55	0.00	2.55	86.0	2.19
08/28/2018 00	0061736614 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	510.42	2.55	0.00	2.55	86.0	2.19
09/14/2018 00	0061736615 DAVIS, CARC	L THE BOND FUND OF AMERICA-A	В	4,725.00	23.63	0.00	23.63	86.0	20.32
09/14/2018 00	0061736615 DAVIS, CARC	L THE INCOME FUND OF AMERICA-A	В	4,725.00	23.63	0.00	23.63	86.0	20.32
08/28/2018 00	0061736616 DAVIS, CARC	L AMCAP FUND-A	В	510.42	2.55	0.00	2.55	86.0	2.19
08/28/2018 00	0061736616 DAVIS, CARC	L THE GROWTH FUND OF AMERICA-A	В	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018 00	0061736616 DAVIS, CARC	L WASHINGTON MUTUAL INVESTORS FU	JND-A B	204.17	1.02	0.00	1.02	86.0	0.88
09/14/2018 00	0061736617 DAVIS, CARC	L AMCAP FUND-A	В	4,725.00	23.63	0.00	23.63	86.0	20.32
09/14/2018 00	0061736617 DAVIS, CARC	L THE GROWTH FUND OF AMERICA-A	В	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018 00	0061736617 DAVIS, CARC	L WASHINGTON MUTUAL INVESTORS FU	JND-A B	1,890.00	9.45	0.00	9.45	86.0	8.13
08/28/2018 00	0062829276 DAVIS, CARC	L EUROPACIFIC GROWTH FUND-A	В	90.00	0.45	0.00	0.45	86.0	0.39

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BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TI	RANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
08/28/2018 00	0062829276 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	135.00	0.68	0.00	0.68	86.0	0.58
08/28/2018 00	062829276 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	90.00	0.45	0.00	0.45	86.0	0.39
08/28/2018 00	0062829276 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	135.00	0.68	0.00	0.68	86.0	0.58
09/14/2018 00	062829277 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	1,610.55	8.05	0.00	8.05	86.0	6.92
09/14/2018 00	0062829277 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	2,415.82	12.08	0.00	12.08	86.0	10.39
09/14/2018 00	0062829277 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	1,610.55	8.05	0.00	8.05	86.0	6.92
09/14/2018 00	0062829277 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	2,415.82	12.08	0.00	12.08	86.0	10.39
08/28/2018 00	0063329982 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	71.01	0.36	0.00	0.36	86.0	0.31
08/28/2018 00	0063329982 DAVIS, CAROL	NEW WORLD FUND-A	В	71.01	0.36	0.00	0.36	86.0	0.31
08/28/2018 00	0063329982 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	94.68	0.47	0.00	0.47	86.0	0.40
08/28/2018 00	0063362305 DAVIS, CAROL	AMCAP FUND-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0063362305 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018 00	0063362305 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	306.25	1.53	0.00	1.53	86.0	1.32
08/28/2018 00	0063362305 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0063362305 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0063362311 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	255.21	1.28	0.00	1.28	86.0	1.10
08/28/2018 00	0063362311 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0063362311 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018 00	0063362311 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0063362311 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	255.21	1.28	0.00	1.28	86.0	1.10
08/28/2018 00	0063795979 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	408.34	2.04	0.00	2.04	86.0	1.75
08/28/2018 00	0063795979 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	204.16	1.02	0.00	1.02	86.0	0.88
08/28/2018 00	0063795979 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	408.34	2.04	0.00	2.04	86.0	1.75
09/14/2018 00	0063917150 DAVIS, CAROL	AMCAP FUND-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018 00	0063917150 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018 00	0063917150 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	2,835.00	14.18	0.00	14.18	86.0	12.19
09/14/2018 00	0063917150 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018 00	0063917150 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018 00	0063917156 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	2,362.50	11.81	0.00	11.81	86.0	10.16
09/14/2018 00	063917156 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018 00	0063917156 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	945.00	4.73	0.00	4.73	86.0	4.07
09/14/2018 00	0063917156 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
09/14/2018 00	0063917156 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	2,362.50	11.81	0.00	11.81	86.0	10.16
08/28/2018 00	0064217122 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	128.41	0.64	0.00	0.64	86.0	0.55
08/28/2018 00	0064217122 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	51.36	0.26	0.00	0.26	86.0	0.22
08/28/2018 00	0064217122 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	77.05	0.39	0.00	0.39	86.0	0.34
08/28/2018 00	0064217123 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00	0064217123 DAVIS, CAROL	NEW WORLD FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00	0064217123 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00	0064217123 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.33

TRADE DATE

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BROKER

COMMISSION

	BROKER TR	ANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
08/28/2018 00064217123 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	D	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00004217123 DAVIS, CAROL 08/28/2018 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	13.28	0.27	0.00		86.0	0.23
08/28/2018 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	15.00	0.30	0.00		86.0	0.26
08/28/2018 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	17.69	0.35	0.00		86.0	0.30
08/28/2018 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	20.00	0.40	0.00		86.0	0.34
08/28/2018 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	13.28	0.27	0.00		86.0	0.23
08/28/2018 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	15.00	0.30	0.00		86.0	0.26
09/10/2018 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	13.28	0.27	0.00		86.0	0.23
	NEW PERSPECTIVE FUND-A	В			0.00			
09/10/2018 00064617395 BRAGHIROL, DANA			15.00	0.30			86.0	0.26
09/10/2018 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA A	В	17.69	0.35	0.00		86.0	0.30
09/10/2018 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	20.00	0.40	0.00		86.0	0.34
09/10/2018 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	13.28	0.27	0.00		86.0	0.23
09/10/2018 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	15.00	0.30	0.00		86.0	0.26
09/14/2018 00064910535 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	1,080.00	5.40	0.00		86.0	4.64
09/14/2018 00064910535 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	432.00	2.16	0.00		86.0	1.86
09/14/2018 00064910535 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A		648.00	3.24	0.00		86.0	2.79
09/14/2018 00064910537 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	3,780.00	18.90	0.00	18.90		16.25
09/14/2018 00064910537 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	1,890.00	9.45	0.00		86.0	8.13
09/14/2018 00064910537 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	3,780.00	18.90	0.00	18.90	86.0	16.25
09/14/2018 00064910539 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	567.76	2.84	0.00	2.84	86.0	2.44
09/14/2018 00064910539 DAVIS, CAROL	NEW WORLD FUND-A	В	567.74	2.84	0.00	2.84	86.0	2.44
09/14/2018 00064910539 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	567.74	2.84	0.00	2.84	86.0	2.44
09/14/2018 00064910539 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	567.74	2.84	0.00	2.84	86.0	2.44
09/14/2018 00064910539 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	567.74	2.84	0.00	2.84	86.0	2.44
09/14/2018 00067823945 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	720.00	3.60	0.00	3.60	86.0	3.10
09/14/2018 00067823945 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	720.00	3.60	0.00	3.60	86.0	3.10
08/28/2018 00068080343 DAVIS, CAROL	AMCAP FUND-A	В	102.09	0.51	0.00	0.51	86.0	0.44
08/28/2018 00068080343 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018 00068080343 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	510.42	2.55	0.00	2.55	86.0	2.19
08/28/2018 00068080343 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-	ΑВ	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018 00068080343 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00068086982 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	124.75	0.62	0.00	0.62	86.0	0.53
08/28/2018 00068086982 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	124.76	0.62	0.00	0.62	86.0	0.53
09/14/2018 00068950146 DAVIS, CAROL	AMCAP FUND-A	В	533.94	2.67	0.00	2.67	86.0	2.30
09/14/2018 00068950146 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	533.95	2.67	0.00		86.0	2.30
09/14/2018 00068950146 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	2,669.74	13.35	0.00	13.35		11.48
09/14/2018 00068950146 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-		533.95	2.67	0.00		86.0	2.30
09/14/2018 00068950146 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A		1,067.90	5.34	0.00		86.0	4.59
09/14/2018 00070001336 DAVIS, CAROL	AMCAP FUND-A	В	180.00	0.90	0.00		86.0	0.77
09/14/2018 00070001336 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	360.00	1.80	0.00		86.0	1.55
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COMMISSION STATEMENT for JOHN KILLEEN

RADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CLEARING	NET COMMISSION	RATE	COMMISSION
			BROKER T	RANS	ACTIONS					
RODUCT CAT	EGORY: MUTUAL F	TUNDS								
9/14/2018 00	0070001336 DAVIS,	CAROL	THE GROWTH FUND OF AMERICA-A	В	360.00	1.80	0.00	1.80	86.0	1.55
9/14/2018 00	070001336 DAVIS,	CAROL	THE INCOME FUND OF AMERICA-A	В	360.00	1.80	0.00	1.80	86.0	1.55
9/14/2018 00	0070001336 DAVIS,	CAROL	THE NEW ECONOMY FUND-A	В	540.00	2.70	0.00	2.70	86.0	2.32
3/28/2018 00	0070001812 DAVIS,	CAROL	AMCAP FUND-A	В	19.48	0.10	0.00	0.10	86.0	0.09
3/28/2018 00	0070001812 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	38.95	0.19	0.00	0.19	86.0	0.16
3/28/2018 00	0070001812 DAVIS,	CAROL	THE GROWTH FUND OF AMERICA-A	В	38.95	0.19	0.00	0.19	86.0	0.16
3/28/2018 00	0070001812 DAVIS,	CAROL	THE INCOME FUND OF AMERICA-A	В	38.95	0.19	0.00	0.19	86.0	0.16
3/28/2018 00	0070001812 DAVIS,	CAROL	THE NEW ECONOMY FUND-A	В	58.43	0.29	0.00	0.29	86.0	0.25
3/28/2018 00	0070004355 DAVIS,	CAROL	AMCAP FUND-A	В	36.97	0.18	0.00	0.18	86.0	0.15
/28/2018 00	0070004355 DAVIS,	CAROL	EUROPACIFIC GROWTH FUND-A	В	33.27	0.17	0.00	0.17	86.0	0.15
/28/2018 00	070004355 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	85.03	0.43	0.00	0.43	86.0	0.37
/28/2018 00	070004355 DAVIS,	CAROL	THE GROWTH FUND OF AMERICA-A	В	62.85	0.31	0.00	0.31	86.0	0.27
/28/2018 00	070004355 DAVIS,	CAROL	THE INCOME FUND OF AMERICA-A	В	66.55	0.33	0.00	0.33	86.0	0.28
/28/2018 00	070004355 DAVIS,	CAROL	WASHINGTON MUTUAL INVESTORS FUND-	A B	77.64	0.39	0.00	0.39	86.0	0.34
/14/2018 00	070004356 DAVIS,	CAROL	AMCAP FUND-A	В	513.37	2.57	0.00	2.57	86.0	2.21
/14/2018 00	070004356 DAVIS,	CAROL	EUROPACIFIC GROWTH FUND-A	В	462.03	2.31	0.00	2.31	86.0	1.99
/14/2018 00	070004356 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	1,180.74	5.90	0.00	5.90	86.0	5.07
/14/2018 00	070004356 DAVIS,	CAROL	THE GROWTH FUND OF AMERICA-A	В	872.72	4.36	0.00	4.36	86.0	3.75
/14/2018 00	070004356 DAVIS,	CAROL	THE INCOME FUND OF AMERICA-A	В	924.06	4.62	0.00	4.62	86.0	3.97
/14/2018 00	070004356 DAVIS,	CAROL	WASHINGTON MUTUAL INVESTORS FUND-	А В	1,078.07	5.39	0.00	5.39	86.0	4.64
/28/2018 00	070004361 DAVIS,	CAROL	AMCAP FUND-A	В	74.25	0.37	0.00	0.37	86.0	0.32
/28/2018 00	070004361 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	38.25	0.19	0.00	0.19	86.0	0.16
/28/2018 00	070004361 DAVIS,	CAROL	THE INVESTMENT COMPANY OF AMERICA	-АВ	36.00	0.18	0.00	0.18	86.0	0.15
/28/2018 00	070004361 DAVIS,	CAROL	WASHINGTON MUTUAL INVESTORS FUND-	А В	76.50	0.38	0.00	0.38	86.0	0.33
/14/2018 00	070004362 DAVIS,	CAROL	AMCAP FUND-A	В	1,782.00	8.91	0.00	8.91	86.0	7.66
/14/2018 00	070004362 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	918.00	4.59	0.00	4.59	86.0	3.95
/14/2018 00	070004362 DAVIS,	CAROL	THE INVESTMENT COMPANY OF AMERICA	-A B	864.00	4.32	0.00	4.32	86.0	3.72
/14/2018 00	070004362 DAVIS,	CAROL	WASHINGTON MUTUAL INVESTORS FUND-	А В	1,836.00	9.18	0.00	9.18	86.0	7.89
/28/2018 00	070005362 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	4.36	0.02	0.00	0.02	86.0	0.02
/28/2018 00	070005362 DAVIS,	CAROL	THE GROWTH FUND OF AMERICA-A	В	8.71	0.04	0.00	0.04	86.0	0.03
/28/2018 00	070005362 DAVIS,	CAROL	THE INCOME FUND OF AMERICA-A	В	13.07	0.07	0.00	0.07	86.0	0.06
/28/2018 00	070005362 DAVIS,	CAROL	THE INVESTMENT COMPANY OF AMERICA	-A B	17.43	0.09	0.00	0.09	86.0	0.08
/28/2018 00	070005362 DAVIS,	CAROL	WASHINGTON MUTUAL INVESTORS FUND-	А В	8.71	0.04	0.00	0.04	86.0	0.03
/14/2018 00	070005363 DAVIS,	CAROL	NEW PERSPECTIVE FUND-A	В	87.39	0.44	0.00	0.44	86.0	0.38
/14/2018 00	070005363 DAVIS,	CAROL	THE GROWTH FUND OF AMERICA-A	В	174.78	0.87	0.00	0.87	86.0	0.75
/14/2018 00	070005363 DAVIS,	CAROL	THE INCOME FUND OF AMERICA-A	В	262.17	1.31	0.00	1.31	86.0	1.13
/14/2018 00	070005363 DAVIS,	CAROL	THE INVESTMENT COMPANY OF AMERICA	-A B	349.57	1.75	0.00	1.75	86.0	1.51
	0070005363 DAVIS,		WASHINGTON MUTUAL INVESTORS FUND-		174.78	0.87	0.00		86.0	0.75
/28/2018 00	0070005678 DAVIS,	CAROL	THE BOND FUND OF AMERICA-A	В	115.63	0.58	0.00		86.0	0.50
	070005678 DAVIS,		THE INCOME FUND OF AMERICA-A	В	192.71	0.96	0.00		86.0	0.83

INVESTMENT

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BROKER

TRADE DATE

CLIENT

10/09/2018 Page No. 5

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INVESTMENT

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100/28/2018 DOUTYOODSAYS DAVIS, CAROL	TRADE DATE	CLIENI	INVESIMENT	D/ D	AMOUNI	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
DEFAULT CATEGORY: MUTUAL FUNDS										
			BROKER TR	ANSA	CTIONS					
10 12 12 12 13 13 14 15 15 15 15 15 15 15	PRODUCT CAT	regory: Mutual funds								
99/14/2018 00070005879 DAVIE, CAROL THE DIROR FUND OF AMERICA-A B 2,362.50 11.81 0.00 1.00 11.81 86.0 10.0 99/14/2018 00070005879 DAVIE, CAROL THE INVESTMENT COMEANY OF AMERICA-A B 4,725.00 23.63 0.00 23.63 86.0 20.0 99/14/2018 00070005879 DAVIE, CAROL THE INVESTMENT COMEANY OF AMERICA-A B 945.00 4.73 0.00 4.73 88.0 4.73 08/14/2018 000700589221 KOTLOLA, MORICA WAS PROMOTED FUND-A B 80.00 2.20 0.00 2.20 86.0 1.3 08/14/2018 00075952221 KOTLOLA, MORICA THE RISKS ECONOMY FUND-A B 80.00 2.20 0.00 2.20 86.0 1.3 08/14/2018 0008595221 KOTLOLA, MORICA THE RISKS ECONOMY FUND-A B 80.00 2.20 0.00 2.20 86.0 1.3 08/14/2018 0008595221 KOTLOLA, MORICA THE RISKS ECONOMY FUND-A B 80.00 2.20 0.00 2.20 86.0 1.3 08/14/2018 00086454518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 2.40 0.00 2.40 86.0 2.4 09/14/2018 00086454518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 1.80 0.00 1.80 86.0 1.3 09/14/2018 00086454518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 1.80 0.00 1.80 86.0 1.3 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 2.40 0.00 0.00 0.00 86.0 1.3 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.18 0.00 0.18 86.0 1.3 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.18 0.00 0.18 86.0 0.1 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.18 0.00 0.18 86.0 0.1 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.10 0.00 0.00 0.00 86.0 0.00 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.10 0.00 0.00 0.00 86.0 0.00 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.10 0.00 0.00 0.00 86.0 0.00 09/14/2018 000864545518 DAVIE, CAROL WINDSCAPELLY EVIDE-A B 480.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	08/28/2018 00	0070005678 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	В	385.42	1.93	0.00	1.93	86.0	1.66
99/14/2018 00070005679 DAYIS, CAROL THE INCOME PUND OF AMERICA-A B 2,962.50 11.81 0.00 12.63 86.0 10.0 99/14/2018 00070005679 DAYIS, CAROL THE NEW ECCOMENY FUND-A B 951.00 4.73 0.00 23.63 86.0 20.0 99/14/2018 00070905679 DAYIS, CAROL THE NEW ECCOMENY FUND-A B 80.00 2.20 0.00 2.00 8.0 12.0 99/14/2018 000709592291 COTTOLA, MONICA NEW PERSPECTIVE FUND-A B 80.00 2.20 0.00 0.00 2.00 86.0 11.0 99/14/2018 00086545518 DAYIS, CAROL FUND-CAROLIFIC GROWTH FUND-A B 80.00 2.20 0.00 0.00 2.00 86.0 11.0 99/14/2018 00086545518 DAYIS, CAROL FUND-CAROLIFIC GROWTH FUND-A B 80.00 0.00 0.00 0.00 1.00 86.0 12.0 99/14/2018 00086545518 DAYIS, CAROL FUND-CAROLIFIC GROWTH FUND-A B 80.00 0.00 0.00 0.00 0.00 1.00 86.0 12.0 99/14/2018 00086545518 DAYIS, CAROL FUND-CAROLIFIC GROWTH FUND-A B 80.00 0.00 0.00 0.00 0.00 0.00 0.00 0	08/28/2018 00	0070005678 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	77.08	0.39	0.00	0.39	86.0	0.34
09/14/2018 000770005679 DAVIS, CAROL THE INVESTMENT CORREATE OF AMERICA-A B	09/14/2018 00	0070005679 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	1,417.50	7.09	0.00	7.09	86.0	6.10
09/14/2018 000790595291 COTTOLA, KONICA THE SENS ECONOMY FUND—A B 80.00 2.20 0.00 2.20 86.0 1.80 09/31/2018 00079595291 COTTOLA, KONICA THE GROWTH FUND OF AMERICA—A B 80.00 2.20 0.00 2.20 86.0 1.80 09/31/2018 00079595291 COTTOLA, KONICA THE GROWTH FUND OF AMERICA—A B 480.00 2.20 0.00 2.20 86.0 1.80 09/31/2018 00079595291 COTTOLA, KONICA THE GROWTH FUND OF AMERICA—A B 480.00 2.40 0.00 2.40 86.0 2.40 09/31/2018 00086545518 DAVIS, CAROL THE GROWTH FUND—A B 480.00 1.80 0.00 1.80 86.0 1.80 0.90 1.80 86.0 1.80 0.90 1.80 86.0 1.80 0.90 1.80 0.90 1.80 86.0 1.80 0.90 1.80 0.90 1.80 86.0 1.80 0.90 0	09/14/2018 00	0070005679 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	2,362.50	11.81	0.00	11.81	86.0	10.16
09/31/2018 00075952291 ZOTTOLA, MONICA	09/14/2018 00	0070005679 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	В	4,725.00	23.63	0.00	23.63	86.0	20.32
14/2018 00075952221 ZOTTOLA, MONTON	09/14/2018 00	0070005679 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.07
9914/2018 00086545518 DAVIS, CAROL MEM PERSPECTIVE FUND—A B 360.00 1.80 0.00 1.80 86.0 1.80 0914/2018 00086545518 DAVIS, CAROL MEM PERSPECTIVE FUND—A B 360.00 1.80 0.00 1.80 86.0 1.80 0914/2018 00086545518 DAVIS, CAROL THIS CROWN'H FUND OF AMERICA—A B 360.00 1.80 0.00 1.80 86.0 1.80 0914/2018 00086545518 DAVIS, CAROL THIS CROWN'H FUND—A B 360.00 1.80 0.00 1.80 86.0 1.80 0914/2018 00086545518 DAVIS, CAROL THIS RECONDAY FUND—A B 360.00 1.80 0.00 1.80 86.0 1.80 0914/2018 00086545518 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 360.00 1.80 0.00 0.00 0.00 0.00 0.00 0.00	08/31/2018 00	0075952291 ZOTTOLA, MONICA	NEW PERSPECTIVE FUND-A	В	80.00	2.20	0.00	2.20	86.0	1.89
09/14/2018 00086545518 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 360.00 1.80 0.00 1.80 86.0 1.50 09/14/2018 00086545518 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 360.00 1.80 0.00 1.80 86.0 1.50 09/14/2018 00086545518 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 360.00 1.80 0.00 0.00 1.80 86.0 1.50 09/14/2018 00086545518 DAVIS, CAROL THE NEW ECONOMY FUND-A B 400.00 0.40 0.00 0.40 86.0 1.50 09/14/2018 00086545518 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND-A B 360.00 0.13 0.00 0.13 0.00 0.13 86.0 0.15 09/28/2018 00086545644 DAVIS, CAROL EUROPACIFIC GROWTH FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.08/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.08/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.08/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.08/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.08/28/2018 00086545644 DAVIS, CAROL THE NEW ECONOMY FUND-A B 25.00 0.13 0.00 0.00 0.09 86.0 0.08/28/2018 00087384405 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.08/28/2018 00087384405 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND-A B 18.75 0.09 0.00 0.00 0.00 0.09 86.0 0.08/28/2018 00087384405 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND-A B 18.75 0.09 0.00 0.00 0.01 86.0 0.15 0.09/28/2018 00087384433 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND-A B 102.08 0.51 0.00 0.00 0.01 86.0 0.15 0.09/28/2018 00087384433 DAVIS, CAROL THE MIN MORE FUND-A B 102.08 0.51 0.00 0.01 0.01 0.00 0.51 86.0 0.00 0.08/28/2018 00087384433 DAVIS, CAROL THE HUND OF AMERICA-A B 102.08 0.51 0.00 0.00 0.01 86.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00	08/31/2018 00	0075952291 ZOTTOLA, MONICA	THE GROWTH FUND OF AMERICA-A	В	80.00	2.20	0.00	2.20	86.0	1.89
09/14/2018 00086545518 DAVIS, CARCL	09/14/2018 00	0086545518 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	480.00	2.40	0.00	2.40	86.0	2.06
09/14/2018 00086545518 DAVIS, CAROL THE NEW ECONOMY FUND-A B 480.00 2.40 0.00 1.80 86.0 1.50 09/14/2018 00086545518 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 480.00 2.40 0.00 1.80 86.0 1.80 09/14/2018 00086545544 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 25.00 0.13 0.00 0.16 86.0 0.00 0.00 0.00 86.0 0.00 0.00 0.0	09/14/2018 00	0086545518 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018 00086545518 DAVIS, CAROL MASHINOTON MUTUAL INVESTORS FUND- A B 360.00 1.80 0.00 1.80 86.2 86.0 1.80 86.2 86.0 1.80 86.2 86.0 1.80 86.2 86.2 86.2 86.2 86.2 86.2 86.2 86.2	09/14/2018 00	0086545518 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	360.00	1.80	0.00	1.80	86.0	1.55
09/14/2018 00086545518 DAVIS, CAROL SUROPACIFIC GROWTH FUND-A B 25.00 0.13 0.00 0.18 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL SUROPACIFIC GROWTH FUND-A B 25.00 0.13 0.00 0.01 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND-A B 25.00 0.13 0.00 0.00 0.09 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND-A B 25.00 0.13 0.00 0.00 0.09 86.0 0.0 09/28/2018 00086545644 DAVIS, CAROL THE MAY ECOMONY FUND-A B 25.00 0.10 0.00 0.00 0.00 0.00 0.00 0.00	09/14/2018 00	0086545518 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	360.00	1.80	0.00	1.80	86.0	1.55
08/28/2018 00086545644 DAVIS, CAROL EUROPACIFIC GROWTH FUND—A B 18.75 0.09 0.00 0.00 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND OF AMERICA—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND OF AMERICA—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND—A B 25.00 0.13 0.00 0.13 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL THE NEW ECONOMY FUND—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00086738443 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00087384430 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 10.20 0.00 0.00 0.00 0.00 0.00 08/28/2018 00087384433 DAVIS, CAROL MASHINGTON MUTUAL INVESTORS FUND—A B 10.20 0.00 0.00 0.01 0.00 0.01 0.00 08/28/2018 00087384433 DAVIS, CAROL THE BOND FUND OF AMERICA—A B 204.17 0.00 0.00 0.00 0.01 0.00 0.00 08/28/2018 00087384445 DAVIS, CAROL THE GROWTH FUND—A B 204.17 0.00 0.00 0.00 0.00 0.00 08/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND—A B 204.17 0.00 0.00 0.00 0.00 0.00 0.00 08/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND—A B 66.11 0.33 0.00 0.00 0.00 0.00 0.00 0.00 0	09/14/2018 00	0086545518 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	480.00	2.40	0.00	2.40	86.0	2.06
08/28/2018 00086545644 DAVIS, CAROL	09/14/2018 00	0086545518 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	360.00	1.80	0.00	1.80	86.0	1.55
08/28/2018 00086545644 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 18.75 0.09 0.00 0.09 86.0 0.08 08/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 18.75 0.09 0.00 0.00 86.0 0.09 86.0 0.08 08/28/2018 00086545644 DAVIS, CAROL THE NEW ECONOMY FUND-A B 25.00 0.13 0.00 0.01 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL MASHINATON MUTUAL INVESTORS FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00087384430 DAVIS, CAROL MASHINATON MUTUAL INVESTORS FUND-A B 770.84 3.85 0.00 3.85 86.0 3.3 08/28/2018 00087384433 DAVIS, CAROL MACAP FUND-A B 408.34 2.04 0.00 2.04 86.0 1.7 08/28/2018 00087384433 DAVIS, CAROL MEW MORLD FUND-A B 102.08 0.51 0.00 0.51 86.0 0.4 08/28/2018 00087384433 DAVIS, CAROL MEW MORLD FUND-A B 102.08 0.51 0.00 0.51 86.0 0.4 08/28/2018 00087384433 DAVIS, CAROL THE BOND FUND OF AMERICA-A B 204.17 1.02 0.00 1.02 86.0 0.6 08/28/2018 00087384433 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 204.17 1.02 0.00 1.02 86.0 0.6 08/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.15 0.44 0.00 0.44 86.0 0.6 08/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND A B 66.12 0.33 0.00 0.33 86.0 0.2 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.33 86.0 0.2 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.33 86.0 0.2 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.33 86.0 0.2 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 0.8 0.00 0.01 8.8 86.0 0.2 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 0.8 0.00 0.00 0.08 86.0 0.0 0.00 0.8 0.00 0.00	08/28/2018 00	0086545644 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	25.00	0.13	0.00	0.13	86.0	0.11
08/28/2018 00086545644 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 25.00 0.13 0.00 0.09 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL THE INEW ECONOMY FUND-A B 25.00 0.13 0.00 0.13 86.0 0.00 08/28/2018 00086545644 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 18.75 0.09 0.00 0.00 0.09 86.0 0.00 08/28/2018 00087384406 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 770.84 3.85 0.00 3.85 86.0 3.3 08/28/2018 00087384433 DAVIS, CAROL AMCAP FUND-A B 408.34 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL EUROPACIFIC GROWTH FUND-A B 102.08 0.51 0.00 0.51 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL EUROPACIFIC GROWTH FUND-A B 102.08 0.51 0.00 0.51 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL EUROPACIFIC GROWTH FUND-A B 102.08 0.51 0.00 0.51 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL THE BOND FUND OF AMERICA-A B 204.17 1.02 0.00 1.02 86.0 0.00 08/28/2018 00087384433 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 204.17 1.02 0.00 1.02 86.0 0.00 08/28/2018 00087384446 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 88.15 0.44 0.00 0.44 86.0 0.00 08/28/2018 00087384446 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 86.11 0.33 0.00 0.33 86.0 0.00 08/28/2018 00087384446 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 66.12 0.33 0.00 0.33 86.0 0.00 08/28/2018 00087384446 DAVIS, CAROL THE INCOME FUND-A B 15.00 0.08 0.00 0.03 86.0 0.00 08/28/2018 00087384446 DAVIS, CAROL THE INCOME FUND-A B 15.00 0.08 0.00 0.03 86.0 0.00 08/28/2018 0008738446 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 15.00 0.08 0.00 0.08 0.00 0.03 86.0 0.00 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 0.00 0.01 86.0 0.00 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.01 86.0 0.00 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.01 86.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00	08/28/2018 00	0086545644 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018 00086545644 DAVIS, CAROL THE NEW ECONOMY FUND—A B 25.00 0.13 0.00 0.13 86.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00	08/28/2018 00	0086545644 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018 00087384406 DAVIS, CAROL	08/28/2018 00	0086545644 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018 00087384406 DAVIS, CAROL	08/28/2018 00	0086545644 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	25.00	0.13	0.00	0.13	86.0	0.11
08/28/2018 00087384433 DAVIS, CAROL	08/28/2018 00	0086545644 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	18.75	0.09	0.00	0.09	86.0	0.08
08/28/2018 00087384433 DAVIS, CAROL	08/28/2018 00	0087384406 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	770.84	3.85	0.00	3.85	86.0	3.31
08/28/2018 00087384433 DAVIS, CAROL NEW WORLD FUND—A B 102.08 0.51 0.00 0.51 86.0 0.60 08/28/2018 00087384433 DAVIS, CAROL THE BOND FUND OF AMERICA—A B 204.17 1.02 0.00 1.02 86.0 0.60 08/28/2018 00087384433 DAVIS, CAROL THE INCOME FUND OF AMERICA—A B 204.17 1.02 0.00 1.02 86.0 0.60 08/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND OF AMERICA—A B 8.15 0.44 0.00 0.44 86.0 0.50 08/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA—B 8.16.1 0.33 0.00 0.33 86.0 0.50 08/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA—B 6.6.1 0.33 0.00 0.33 86.0 0.50 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND—A B 6.6.1 0.33 0.00 0.33 86.0 0.50 08/28/2018 00087384446 DAVIS, CAROL NEW PERSPECTIVE FUND—A B 15.00 0.08 0.00 0.08 86.0 0.50 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND—A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND—A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384479 DAVIS, CAROL MACAP FUND—A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL MEW PERSPECTIVE FUND—A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND—A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND—A B 3.75.00 0.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND—A B 3.75.00 0.00 0.00 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND—A B 3.75.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	08/28/2018 00	0087384433 DAVIS, CAROL	AMCAP FUND-A	В	408.34	2.04	0.00	2.04	86.0	1.75
08/28/2018 00087384433 DAVIS, CAROL THE BOND FUND OF AMERICA-A B 204.17 1.02 0.00 1.02 86.0 0.808/28/2018 00087384433 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 204.17 1.02 0.00 1.02 86.0 0.808/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 88.15 0.44 0.00 0.44 86.0 0.308/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA-A B 66.12 0.33 0.00 0.33 86.0 0.308/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA-A B 66.11 0.33 0.00 0.33 86.0 0.308/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.08 86.0 0.308/28/2018 00087384446 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 15.00 0.08 0.00 0.08 86.0 0.008/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 375.00 1.88 0.00 1.88 86.0 1.608/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.608/28/2018 00087384507 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.608/28/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 375.00 1.88 0.00 0.01 86.0 0.008/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.01 0.05 0.00 0.05 86.0 0.008/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.05 86.0 0.008/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.008/28/2018 0008738451 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.38 86.0 0.008/28/2018 0008738451 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0	08/28/2018 00	0087384433 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018 00087384446 DAVIS, CAROL THE INCOME FUND OF AMERICA-A B 8 88.15 0.44 0.00 0.44 86.0 0.30 08/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA-A B 8.81.5 0.44 0.00 0.44 86.0 0.30 08/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA-A B 66.12 0.33 0.00 0.33 86.0 0.30 08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.33 86.0 0.30 08/28/2018 00087384446 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 15.00 0.08 0.00 0.08 86.0 0.00 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384507 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL MACAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 08/28/2018 00087384541 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.30 08/28/2018 00087384558 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.00	08/28/2018 00	0087384433 DAVIS, CAROL	NEW WORLD FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.44
08/28/2018 00087384446 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 88.15 0.44 0.00 0.44 86.0 0.0	08/28/2018 00	0087384433 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00087384446 DAVIS, CAROL THE INVESTMENT COMPANY OF AMERICA-A B 66.12 0.33 0.00 0.33 86.0 0.00 0.828/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.33 86.0 0.00 0.828/2018 00087384446 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 15.00 0.08 0.00 0.08 86.0 0.00 0.828/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 0.828/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 0.828/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 0.60 0.828/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 0.828/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 0.828/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 0.05 0.00 0.04 86.0 0.00 0.05 0.00 0.0	08/28/2018 00	0087384433 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	204.17	1.02	0.00	1.02	86.0	0.88
08/28/2018 00087384446 DAVIS, CAROL THE NEW ECONOMY FUND-A B 66.11 0.33 0.00 0.33 86.0 0.00 08/28/2018 00087384464 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 15.00 0.08 0.00 0.08 86.0 0.00 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.00	08/28/2018 00	0087384446 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	88.15	0.44	0.00	0.44	86.0	0.38
08/28/2018 00087384464 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 15.00 0.08 0.00 0.08 86.0 0.00 08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.30 08/28/2018 00087384541 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.30 08/28/2018 00087384541 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 75.00 0.38 0.00 0.38 86.0 0.30 08/28/2018 00087384558 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 49.70 0.25 0.00 0.25 86.0 0.30 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.	08/28/2018 00	0087384446 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	В	66.12	0.33	0.00	0.33	86.0	0.28
08/28/2018 00087384478 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 08/28/2018 00087384541 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.30 08/28/2018 00087384541 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.	08/28/2018 00	0087384446 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	66.11	0.33	0.00	0.33	86.0	0.28
08/28/2018 00087384478 DAVIS, CAROL THE NEW ECONOMY FUND-A B 375.00 1.88 0.00 1.88 86.0 1.60 08/28/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.04 0.00 0.04 86.0 0.04 0.00 0.04 86.0 0.04 0.00 0.05 0.00 0.38 86.0 0.05 0.00 0.05 0.00 0.38 86.0 0.05 0.00 0.05 0.00 0.38 86.0 0.05 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	08/28/2018 00	0087384464 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	15.00	0.08	0.00	0.08	86.0	0.07
08/28/2018 00087384507 DAVIS, CAROL AMCAP FUND-A B 2.00 0.01 0.00 0.01 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 0.04 0.00 0.04 86.0 0.00 08/28/2018 00087384541 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.00	08/28/2018 00	0087384478 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	375.00	1.88	0.00	1.88	86.0	1.62
08/28/2018 00087384507 DAVIS, CAROL NEW PERSPECTIVE FUND-A B 10.00 0.05 0.00 0.05 86.0 0.00 08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 0.04 86.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00	08/28/2018 00	0087384478 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	375.00	1.88	0.00	1.88	86.0	1.62
08/28/2018 00087384507 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 8.00 0.04 0.00 0.04 86.0 0.00 0.04 0.00 0.00 0.00 0.04 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	08/28/2018 00	0087384507 DAVIS, CAROL	AMCAP FUND-A	В	2.00	0.01	0.00	0.01	86.0	0.01
08/28/2018 00087384541 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 75.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.00	08/28/2018 00	0087384507 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	10.00	0.05	0.00	0.05	86.0	0.04
08/28/2018 00087384541 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 75.00 0.38 0.00 0.38 86.0 0.38 0.00 0.38 0.00 0.38 86.0 0.38 0.00 0.00	08/28/2018 00	0087384507 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	8.00	0.04	0.00	0.04	86.0	0.03
08/28/2018 00087384558 DAVIS, CAROL THE GROWTH FUND OF AMERICA-A B 49.70 0.25 0.00 0.25 86.0 0.20 08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.25	08/28/2018 00	0087384541 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00087384558 DAVIS, CAROL WASHINGTON MUTUAL INVESTORS FUND-A B 49.70 0.25 0.00 0.25 86.0 0.25	08/28/2018 00	0087384541 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.33
	08/28/2018 00	0087384558 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	49.70	0.25	0.00	0.25	86.0	0.22
	08/28/2018 00	0087384558 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	49.70	0.25	0.00	0.25	86.0	0.22
57 11 2010 0000 0010 00 0110 01 010 01 11120101 11 D 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	09/14/2018 00	0087384565 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	1,165.65	5.83	0.00			5.01

COMMISSION STATEMENT for JOHN KILLEEN

INVESTMENT

TRADE DATE

CLIENT

IRADE DATE	CLIENI	INAESIWENI	D/ 5	AMOUNI	COMMISSION	CHARGE	COMMISSION	10111	COMMISSION
		BROKER T	RANSA	CTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
09/14/2018 00	087384565 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-	A B	1,165.65	5.83	0.00	5.83	86.0	5.03
08/28/2018 00	087842379 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	75.00	0.38	0.00	0.38	86.0	0.33
08/28/2018 00	087842379 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	675.00	3.38	0.00	3.38	86.0	2.93
09/14/2018 00	087842394 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	775.41	3.88	0.00	3.88	86.0	3.34
09/14/2018 00	087842394 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	6,978.68	34.89	0.00	34.89	86.0	30.03
09/14/2018 00	088828020 DAVIS, CAROL	AMCAP FUND-A	В	220.54	1.10	0.00	1.10	86.0	0.9
09/14/2018 00	088828020 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	551.35	2.76	0.00	2.76	86.0	2.3
09/14/2018 00	088828020 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	661.62	3.31	0.00	3.31	86.0	2.85
09/14/2018 00	088828020 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	551.35	2.76	0.00	2.76	86.0	2.3
09/14/2018 00	088828020 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-	A B	220.54	1.10	0.00	1.10	86.0	0.95
08/28/2018 00	088877759 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	45.57	0.23	0.00	0.23	86.0	0.20
08/28/2018 00	088877759 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-	A B	136.72	0.68	0.00	0.68	86.0	0.58
9/14/2018 00	088877771 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	2,085.05	10.43	0.00	10.43	86.0	8.9
9/14/2018 00	088877771 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-	A B	6,255.16	31.28	0.00	31.28	86.0	26.90
9/14/2018 00	089364755 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	6,951.27	34.76	0.00	34.76	86.0	29.89
9/14/2018 00	089364772 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	6,326.49	31.63	0.00	31.63	86.0	27.2
9/14/2018 00	089364818 DAVIS, CAROL	AMCAP FUND-A	В	48.00	0.24	0.00	0.24	86.0	0.2
9/14/2018 00	089364818 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	240.00	1.20	0.00	1.20	86.0	1.0
9/14/2018 00	089364818 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	192.00	0.96	0.00	0.96	86.0	0.83
9/14/2018 00	089364843 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	817.32	4.09	0.00	4.09	86.0	3.5
9/14/2018 00	089364843 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA	-A B	612.99	3.06	0.00	3.06	86.0	2.6
9/14/2018 00	089364843 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	612.99	3.06	0.00	3.06	86.0	2.6
9/14/2018 00	089364861 DAVIS, CAROL	AMCAP FUND-A	В	3,780.00	18.90	0.00	18.90	86.0	16.2
9/14/2018 00	089364861 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 00	089364861 DAVIS, CAROL	NEW WORLD FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 00	089364861 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	1,890.00	9.45	0.00	9.45	86.0	8.13
9/14/2018 00	089364861 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	1,890.00	9.45	0.00	9.45	86.0	8.1
8/27/2018 00	089364874 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	4,725.00	23.63	0.00	23.63	86.0	20.3
8/27/2018 00	089364874 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	4,725.00	23.63	0.00	23.63	86.0	20.3
9/14/2018 00	089364889 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	1,177.09	5.89	0.00	5.89	86.0	5.0
9/14/2018 00	089364889 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-	A B	1,177.08	5.89	0.00	5.89	86.0	5.0
9/14/2018 00	089378181 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	442.94	2.21	0.00	2.21	86.0	1.9
9/14/2018 00	089378181 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	147.65	0.74	0.00	0.74	86.0	0.6
9/14/2018 00	089378181 DAVIS, CAROL	NEW WORLD FUND-A	В	295.29	1.48	0.00	1.48	86.0	1.2
9/14/2018 00	089378181 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	295.29	1.48	0.00	1.48	86.0	1.2
9/14/2018 00	089378181 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	295.29	1.48	0.00	1.48	86.0	1.2
08/28/2018 00	089378227 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	30.17	0.15	0.00	0.15	86.0	0.13
08/28/2018 00	089378227 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	10.05	0.05	0.00		86.0	0.04
	089378227 DAVIS, CAROL	NEW WORLD FUND-A	В	20.12	0.10	0.00		86.0	0.09
	089378227 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	20.12	0.10	0.00		86.0	0.09

INVESTMENT

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BROKER

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	BROKER TRA	NSACTIO	NS					
PRODUCT CATEGORY: MUTUAL FUNDS								
08/28/2018 00089378227 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	20.12	0.10	0.00	0.10	86.0	0.09
9/14/2018 00089378249 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В 1	,056.13	5.28	0.00	5.28	86.0	4.54
09/14/2018 00089378249 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	в 1	,056.13	5.28	0.00	5.28	86.0	4.54
09/14/2018 00089378249 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	704.10	3.52	0.00	3.52	86.0	3.03
09/14/2018 00089378249 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	352.04	1.76	0.00	1.76	86.0	1.53
09/14/2018 00089378249 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	352.04	1.76	0.00	1.76	86.0	1.53
08/28/2018 00089378259 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	45.00	0.23	0.00	0.23	86.0	0.20
08/28/2018 00089378259 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	45.00	0.23	0.00	0.23	86.0	0.20
8/28/2018 00089378259 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	30.00	0.15	0.00	0.15	86.0	0.13
08/28/2018 00089378259 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	15.00	0.08	0.00	0.08	86.0	0.07
8/28/2018 00089378259 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	15.00	0.08	0.00	0.08	86.0	0.0
8/28/2018 00089378412 DAVIS, CAROL	AMCAP FUND-A	В	17.94	0.09	0.00	0.09	86.0	0.08
08/28/2018 00089378412 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	44.84	0.22	0.00	0.22	86.0	0.19
8/28/2018 00089378412 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	53.81	0.27	0.00	0.27	86.0	0.23
8/28/2018 00089378412 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	44.85	0.22	0.00	0.22	86.0	0.19
8/28/2018 00089378412 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	В	17.94	0.09	0.00	0.09	86.0	0.0
9/14/2018 00089378427 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	360.00	1.80	0.00	1.80	86.0	1.5
9/11/2018 04000113773 VARIOUS, KILLEEN	AMERICAN FUND	В	0.00	89.23	0.00	89.23	86.0	76.7
9/13/2018 04000113773 VARIOUS, KILLEEN	AMERICAN FUND	В	0.00	10,210.64	0.00	10,210.64	86.0	8,781.1
9/25/2018 04000113773 VARIOUS, KILLEEN	02630R203	В	0.00	525.37	0.00	525.37	86.0	451.83
8/28/2018 04000113984 VARIOUS, KILLEEN	AMCAP FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	NEW PERSPECTIVE FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	NEW WORLD FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	В	102.12	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	THE NEW ECONOMY FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.4
8/28/2018 04000113984 VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	102.08	0.51	0.00	0.51	86.0	0.4
9/14/2018 04000114011 DAVIS, CAROL	AMCAP FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	EUROPACIFIC GROWTH FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	NEW WORLD FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	THE INVESTMENT COMPANY OF AMERICA-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	945.00	4.73	0.00	4.73	86.0	4.0
9/14/2018 04000114011 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-A	D	945.00	4.73	0.00	4 72	86.0	4.0

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER T	RANS	ACTIONS					
PRODUCT CAT	regory: Mutual funds								
08/28/2018 04	4000493953 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	77.08	0.39	0.00	0.39	86.0	0.34
08/28/2018 04	4000493953 DAVIS, CAROL	NEW WORLD FUND-A	В	231.25	1.16	0.00	1.16	86.0	1.00
08/28/2018 04	4000493953 DAVIS, CAROL	THE BOND FUND OF AMERICA-A	В	77.09	0.39	0.00	0.39	86.0	0.34
08/28/2018 04	4000493953 DAVIS, CAROL	THE INCOME FUND OF AMERICA-A	В	308.34	1.54	0.00	1.54	86.0	1.32
08/28/2018 04	4000493953 DAVIS, CAROL	THE NEW ECONOMY FUND-A	В	77.08	0.39	0.00	0.39	86.0	0.34
08/28/2018 04	4000772673 VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	В	20.00	0.10	0.00	0.10	86.0	0.09
08/28/2018 04	4000772673 VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	В	5.00	0.03	0.00	0.03	86.0	0.03
08/28/2018 04	4000772673 VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	В	20.00	0.10	0.00	0.10	86.0	0.09
08/28/2018 04	4000772673 VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	В	20.00	0.10	0.00	0.10	86.0	0.09
08/28/2018 04	4000772673 VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-	А В	35.00	0.18	0.00	0.18	86.0	0.15
08/28/2018 04	4000938747 DAWSON, PETER	EUROPACIFIC GROWTH FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018 04	4000938747 DAWSON, PETER	NEW PERSPECTIVE FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018 04	4000938747 DAWSON, PETER	NEW WORLD FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018 04	4000938747 DAWSON, PETER	THE GROWTH FUND OF AMERICA-A	В	80.00	0.40	0.00	0.40	86.0	0.34
08/28/2018 04	4000998747 DAVIS, CAROL	AMCAP FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.86
08/28/2018 04	4000998747 DAVIS, CAROL	NEW PERSPECTIVE FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.86
08/28/2018 04	4000998747 DAVIS, CAROL	THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.86
08/28/2018 04	4000998747 DAVIS, CAROL	WASHINGTON MUTUAL INVESTORS FUND-	А В	200.00	1.00	0.00	1.00	86.0	0.86
		* MUTUAL FUNDS SUBTOTA	L *	\$200,651.70	\$11,835.15	\$0.00	\$11,835.15		\$10,178.37
PRODUCT CAT	regory: Variable annuitie	s							
09/05/2018 (N	None) VARIOUS, KILLEEN	- NAT'L INTEGRITY	В	0.00	71.16	0.00	71.16	86.0	61.20
09/25/2018 (1	None) VARIOUS, KILLEEN	NAT'L INTEGRITY	В	0.00	3,089.59	0.00	3,089.59	86.0	2,657.05
		* VARIABLE ANNUITIES SUBTOTA	L *	\$0.00	\$3,160.75	\$0.00	\$3,160.75		\$2,718.25
		*** BROKER TRANSACTIONS TOTAL	***	\$200,651.70	\$14,995.90	\$0.00	\$14,995.90	1	\$12,896.62
		ADJU	STME	NTS					
09/30/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
09/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/30/2018		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00
		*** ADJUSTMENTS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00

BROKER COMMISSION TOTALS \$12,896.62 Broker Transactions Split Transactions Override Transactions Adjustments \$-235.00 Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment \$12,599.89 Check Amount Year-to-date Earnings \$101,069.35

\$0.00 \$0.00

\$0.00 \$0.00

\$-5.50

\$-18.74 \$-37.49