

COMMISSION STATEMENT

November 30, 2018

HENRY HACKEL
179 EAST 70TH STREET
APT 6B
NEW YORK, NY 10021

BROKER #: 30
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/09/2018 (None)	VARIOUS, HACKEL	FRANKLIN TEMPLETON	B	0.00	10.98	0.00	10.98	80.0	8.78
11/01/2018 00069036587	ARDAFYIO, IMANI	THE GROWTH FUND OF AMERICA-529A	B	45.00	1.91	0.00	1.91	80.0	1.53
10/31/2018 00084739455	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
10/31/2018 00085890289	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
10/31/2018 00085890289	DAS, SPANDAN	NEW WORLD FUND-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
10/31/2018 00085890289	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
10/31/2018 00085890289	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	80.0	4.40
10/31/2018 00085890289	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
11/19/2018 00086531491	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	350.00	9.63	0.00	9.63	80.0	7.70
11/19/2018 00086531491	DAS, SPANDAN	NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
11/19/2018 00086531491	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
11/19/2018 00086531491	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
11/19/2018 00086531491	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
11/05/2018 00087121704	JOGLEKAR, SHAYNE	AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
11/05/2018 00087122294	JOGLEKAR, SHAYNE	AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
11/20/2018 00087149656	CHANDELA, AJAY	AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
11/20/2018 00087149667	CHANDELA, AJAY	NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
11/20/2018 00087149689	CHANDELA, AJAY	NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
11/20/2018 00087149729	CHANDELA, AJAY	AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
11/06/2018 00087205674	MORRELL, CHARLES	THE GROWTH FUND OF AMERICA-529A	B	300.00	12.75	0.00	12.75	80.0	10.20
10/29/2018 00087630417	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
11/15/2018 00089081756	FOSTER, JAKE	AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
11/15/2018 00089084643	FOSTER, JAKE	AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
11/12/2018 01204161104	PASQUALONE,	THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	7.50	0.00	7.50	80.0	6.00
11/12/2018 01204463227	PASQUALONE,	THE GROWTH FUND OF AMERICA-529A	B	200.00	10.00	0.00	10.00	80.0	8.00
11/12/2018 01205067051	PASQUALONE,	NEW PERSPECTIVE FUND-529A	B	200.00	10.00	0.00	10.00	80.0	8.00
* MUTUAL FUNDS SUBTOTAL *				\$8,695.00	\$193.65	\$0.00	\$193.65		\$154.91

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/30/2018	75500225	CAPEN, ERNEST		INTERNATIONAL BUSINESS MACHINES COR S	115,695.00	120.00	0.00	120.00	96.00
11/08/2018	75500225	CAPEN, ERNEST		JOHNSON & JOHNSON	29,092.96	54.00	0.00	54.00	43.20
11/08/2018	75500225	CAPEN, ERNEST		UNITEDHEALTH GROUP INC	55,370.84	54.00	0.00	54.00	43.20
11/21/2018	75500225	CAPEN, ERNEST		SPDR S&P 500 ETF TRUST	53,292.66	54.00	0.00	54.00	43.20
11/21/2018	75500234	MULLEN, GEORGE		FRANKLIN RESOURCES INC (DEL)	6,490.56	35.00	0.00	35.00	28.00
11/01/2018	75500237	POMFRET SCHOOL		BAXTER INTERNATIONAL INC	1,687.77	40.00	0.00	40.00	32.00
11/01/2018	75500237	POMFRET SCHOOL		MERCK & CO INC	512.54	40.00	0.00	40.00	32.00
11/16/2018	75500237	POMFRET SCHOOL		MONDELEZ INTERNATIONAL INC COM	1,064.16	40.00	0.00	40.00	32.00
11/27/2018	75500237	POMFRET SCHOOL		REGENERON PHARMACEUTICALS INC	18,759.36	40.00	0.00	40.00	32.00
11/01/2018	75500255	HOCHBERGER, ELLEN		VANECK VECTORS ETF TR GOLD MINERS E S	1,960.37	35.00	0.00	35.00	28.00
11/01/2018	75500256	HOCHBERGER, ELLEN		VANECK VECTORS ETF TR GOLD MINERS E S	2,940.56	35.00	0.00	35.00	28.00
11/01/2018	75500257	HOCHBERGER, ELLEN		VANECK VECTORS ETF TR GOLD MINERS E S	2,940.56	35.00	0.00	35.00	28.00
11/12/2018	75500263	THOMPSON, MARY		APPIAN CORP CL A	5,094.18	35.00	0.00	35.00	28.00
11/12/2018	75500263	THOMPSON, MARY		EMERSON ELECTRIC CO	6,857.00	35.00	0.00	35.00	28.00
10/29/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	-262,760.00	-60.00	0.00	-60.00	-48.00 C
10/29/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	262,760.30	60.00	0.00	60.00	48.00
10/30/2018	75500426	MIGLIORATO, DOMENICK		DEUTSCHE BANK AG	14,647.50	60.00	0.00	60.00	48.00
10/30/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	19,992.70	40.00	0.00	40.00	32.00
11/12/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	19,487.01	40.00	0.00	40.00	32.00
11/16/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	210,000.00	40.00	0.00	40.00	32.00
11/16/2018	75500426	MIGLIORATO, DOMENICK		FACEBOOK INC CL A	140,000.00	40.00	0.00	40.00	32.00
11/16/2018	75500426	MIGLIORATO, DOMENICK		FACEBOOK INC CL A	-140,000.00	-40.00	0.00	-40.00	-32.00 C
11/16/2018	75500426	MIGLIORATO, DOMENICK		FACEBOOK INC CL A	140,000.00	40.00	0.00	40.00	32.00
11/16/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	-22,000.00	-40.00	0.00	-40.00	-32.00 C
11/16/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	22,000.00	40.00	0.00	40.00	32.00
11/16/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	22,000.00	40.00	0.00	40.00	32.00
11/19/2018	75500426	MIGLIORATO, DOMENICK		BARCLAYS BANK PLC IPATH S&P 500 VIX B	70,832.20	80.00	0.00	80.00	64.00
11/20/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	269,235.60	60.00	0.00	60.00	48.00
11/20/2018	75500426	MIGLIORATO, DOMENICK		BARCLAYS BANK PLC IPATH S&P 500 VIX S	79,511.80	80.00	0.00	80.00	64.00
11/20/2018	75500426	MIGLIORATO, DOMENICK		DEUTSCHE BANK AG	13,837.65	60.00	0.00	60.00	48.00
11/21/2018	75500426	MIGLIORATO, DOMENICK		BARCLAYS BANK PLC IPATH S&P 500 VIX B	76,819.80	80.00	0.00	80.00	64.00
11/23/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	138,002.72	40.00	0.00	40.00	32.00
11/23/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	317,070.00	48.00	0.00	48.00	38.40
11/26/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	10,534.86	40.00	0.00	40.00	32.00
11/08/2018	75501276	GALLO, ANTONIO		PROCTER & GAMBLE CO	9,141.99	20.00	0.00	20.00	16.00
11/09/2018	QGN005019	LILLY FDN, LILA		BANK AMER CORP DEP SHS PFD SER 1 IN B	4,227.60	54.00	0.00	54.00	43.20
11/16/2018	QGN005019	LILLY FDN, LILA		BANK AMER CORP DEP SHS PFD SER 1 IN B	6,271.89	66.00	0.00	66.00	52.80
11/19/2018	QGN005019	LILLY FDN, LILA		HELMERICH & PAYNE INC COM	-31,705.00	90.00	0.00	90.00	72.00
11/20/2018	QGN005019	LILLY FDN, LILA		OCCIDENTAL PETE CORP	21,278.76	66.00	0.00	66.00	52.80
11/09/2018	QGN005043	HEALY TR DTD		BANK AMER CORP DEP SHS PFD SER 1 IN B	6,341.40	66.00	0.00	66.00	52.80

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/12/2018	QGN005043	HEALY TR DTD		GOLDMAN SACHS GROUP INC DEP SHS RES S	-21,186.30	120.00	0.00	120.00	80.0 96.00
11/15/2018	QGN005043	HEALY TR DTD		BANK AMER CORP DEP SHS PFD SER 1 IN B	14,645.54	114.00	0.00	114.00	80.0 91.20
11/15/2018	QGN005043	HEALY TR DTD		GOLDMAN SACHS GROUP INC DEP SHS RES S	-20,560.00	120.00	0.00	120.00	80.0 96.00
11/15/2018	QGN005043	HEALY TR DTD		GOLDMAN SACHS GROUP INC DEP SHS RES S	20,560.00	-120.00	0.00	-120.00	80.0 -96.00 C
11/19/2018	QGN005043	HEALY TR DTD		HELMERICH & PAYNE INC COM	S -63,410.00	120.00	0.00	120.00	80.0 96.00
11/20/2018	QGN005043	HEALY TR DTD		OCCIDENTAL PETE CORP	B 56,743.36	126.00	0.00	126.00	80.0 100.80
11/08/2018	QGN005076	BRENNAN, LUCY		AMERICAN INTERNATIONAL GROUP INC	S -44,571.50	120.00	0.00	120.00	80.0 96.00
11/19/2018	QGN005076	BRENNAN, LUCY		HELMERICH & PAYNE INC COM	S -63,410.00	120.00	0.00	120.00	80.0 96.00
11/20/2018	QGN005076	BRENNAN, LUCY		OCCIDENTAL PETE CORP	B 56,743.36	126.00	0.00	126.00	80.0 100.80
11/19/2018	QGN005092	FIDUCIARY TRUST CO		HELMERICH & PAYNE INC COM	S -25,364.00	78.00	0.00	78.00	80.0 62.40
11/20/2018	QGN005092	FIDUCIARY TRUST CO		OCCIDENTAL PETE CORP	B 21,278.76	66.00	0.00	66.00	80.0 52.80
11/08/2018	QGN005118	BRENNAN, LUCY		AMERICAN INTERNATIONAL GROUP INC	S -22,285.75	90.00	0.00	90.00	80.0 72.00
11/08/2018	QGN005118	BRENNAN, LUCY		AMERICAN INTERNATIONAL GROUP INC	S 22,285.75	-90.00	0.00	-90.00	80.0 -72.00 C
11/09/2018	QGN005118	BRENNAN, LUCY		BANK AMER CORP DEP SHS PFD SER 1 IN B	10,569.00	90.00	0.00	90.00	80.0 72.00
11/15/2018	QGN005118	BRENNAN, LUCY		BANK AMER CORP DEP SHS PFD SER 1 IN B	10,461.10	90.00	0.00	90.00	80.0 72.00
11/19/2018	QGN005118	BRENNAN, LUCY		HELMERICH & PAYNE INC COM	S -158,525.00	255.00	0.00	255.00	80.0 204.00
11/20/2018	QGN005118	BRENNAN, LUCY		OCCIDENTAL PETE CORP	B 141,858.40	210.00	0.00	210.00	80.0 168.00
11/26/2018	QGN005548	HENRY L THOMPSON		EMERSON ELECTRIC CO	B 106,553.92	80.00	0.00	80.00	80.0 64.00
11/26/2018	QGN005548	HENRY L THOMPSON		KELLOGG COMPANY	S -110,754.00	90.00	0.00	90.00	80.0 72.00
10/30/2018	QGN005555	HENRY L THOMPSON		MCCORMICK & CO INC NON-VOTING	S -143,755.50	50.00	0.00	50.00	80.0 40.00
10/31/2018	QGN005555	HENRY L THOMPSON		KELLOGG COMPANY	B 133,569.00	100.00	0.00	100.00	80.0 80.00
10/31/2018	QGN005555	HENRY L THOMPSON		KELLOGG COMPANY	B 66,000.00	50.00	0.00	50.00	80.0 40.00
11/02/2018	QGN005555	HENRY L THOMPSON		EMERSON ELECTRIC CO	B 353,650.00	200.00	0.00	200.00	80.0 160.00
11/02/2018	QGN005555	HENRY L THOMPSON		MCCORMICK & CO INC NON-VOTING	S -145,440.00	50.00	0.00	50.00	80.0 40.00
11/05/2018	QGN005555	HENRY L THOMPSON		EMERSON ELECTRIC CO	B 245,522.90	175.00	0.00	175.00	80.0 140.00
11/12/2018	QGN005555	HENRY L THOMPSON		APPIAN CORP CL A	S -38,178.00	75.00	0.00	75.00	80.0 60.00
11/12/2018	QGN005555	HENRY L THOMPSON		EMERSON ELECTRIC CO	B 54,857.60	40.00	0.00	40.00	80.0 32.00
11/13/2018	QGN005571	FOUNDATION, EISNER		INVITATION HOMES INC COM	S -1,476,424.11	2,048.19	0.00	2,048.19	80.0 1,638.55
11/19/2018	QGN005902	WINSTON HAGEN		GENERAL ELECTRIC CO	S -24,150.30	330.00	0.00	330.00	80.0 264.00
11/09/2018	QGN006009	THOMPSON, MARY		EMERSON ELECTRIC CO	B 34,093.30	35.00	0.00	35.00	80.0 28.00
11/12/2018	QGN006009	THOMPSON, MARY		APPIAN CORP CL A	S -12,726.00	35.00	0.00	35.00	80.0 28.00
11/12/2018	QGN006009	THOMPSON, MARY		EMERSON ELECTRIC CO	B 68,572.00	50.00	0.00	50.00	80.0 40.00
11/16/2018	QGN006009	THOMPSON, MARY		EMERSON ELECTRIC CO	B 82,633.08	60.00	0.00	60.00	80.0 48.00
11/16/2018	QGN006009	THOMPSON, MARY		KELLOGG COMPANY	S -124,404.20	100.00	0.00	100.00	80.0 80.00
11/26/2018	QGN006009	THOMPSON, MARY		EMERSON ELECTRIC CO	B 86,575.06	65.00	0.00	65.00	80.0 52.00
11/26/2018	QGN006009	THOMPSON, MARY		KELLOGG COMPANY	S -86,142.00	70.00	0.00	70.00	80.0 56.00
11/12/2018	QGN006249	HAGGIAG FAMILY TR,		INOVALON HOLDINGS INC COM CL A	S -452,212.50	2,334.00	0.00	2,334.00	80.0 1,867.20
11/15/2018	QGN006249	HAGGIAG FAMILY TR,		INOVALON HOLDINGS INC COM CL A	S -298,274.76	1,554.00	0.00	1,554.00	80.0 1,243.20
11/16/2018	QGN006249	HAGGIAG FAMILY TR,		INOVALON HOLDINGS INC COM CL A	S -69,116.40	360.00	0.00	360.00	80.0 288.00
11/28/2018	QGN006249	HAGGIAG FAMILY TR,		INOVALON HOLDINGS INC COM CL A	S -362,920.96	1,752.00	0.00	1,752.00	80.0 1,401.60

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/31/2018	QGN006413	HARRIS, BRIANNA	T MOBILE US INC	S	-5,846.62	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGN006413	HARRIS, BRIANNA	TENCENT HOLDINGS LIMITED UNSPONSORE	S	-3,265.60	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGN006470	MICHAEL D EISNER	INVITATION HOMES INC COM	S	-2,531,025.11	3,511.20	0.00	3,511.20	80.0	2,808.96
11/15/2018	QGN006553	MARON, TODD	FIRST TR ISE WTR INDEX FD	S	-2,327.74	20.00	0.00	20.00	80.0	16.00
11/15/2018	QGN006553	MARON, TODD	INVESCO EXCHANGE- TRADED FD TR II I S	S	-6,222.43	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW001018	GRAYMAN, EZRA	NETLIST INC COM	S	-9,142.50	10.00	0.00	10.00	80.0	8.00
11/26/2018	QGW001018	GRAYMAN, EZRA	NEWELL BRANDS INC SHS	S	-23,080.00	10.00	0.00	10.00	80.0	8.00
11/01/2018	QGW001042	GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	S	-7,347.00	10.00	0.00	10.00	80.0	8.00
11/05/2018	QGW001042	GRAYMAN, EZRA	ENTERCOM COMMUNICATIONS CORP CLASS	S	-19,050.00	10.00	0.00	10.00	80.0	8.00
11/05/2018	QGW001042	GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	S	-4,611.00	10.00	0.00	10.00	80.0	8.00
11/27/2018	QGW001042	GRAYMAN, EZRA	CONTANGO OIL & GAS CO COM NEW	B	18,200.00	10.00	0.00	10.00	80.0	8.00
11/07/2018	QGW001166	KOONDEL	GENERAL ELECTRIC CO	B	31,250.00	31.25	0.00	31.25	80.0	25.00
11/09/2018	QGW001166	KOONDEL	INTERNATIONAL BUSINESS MACHINES COR B	B	52,000.00	13.40	0.00	13.40	80.0	10.72
11/12/2018	QGW001166	KOONDEL	GENERAL ELECTRIC CO	S	-9,687.00	20.20	0.00	20.20	80.0	16.16
11/13/2018	QGW001166	KOONDEL	GENERAL ELECTRIC CO	S	-20,877.12	30.40	0.00	30.40	80.0	24.32
11/15/2018	QGW001166	KOONDEL	GENERAL ELECTRIC CO	S	-16,427.00	27.00	0.00	27.00	80.0	21.60
11/19/2018	QGW001166	KOONDEL	GENERAL ELECTRIC CO	B	11,250.00	17.65	0.00	17.65	80.0	14.12
11/09/2018	QGW001174	KOONDEL	AT&T INC	B	34,100.00	19.35	0.00	19.35	80.0	15.48
11/09/2018	QGW001190	ASSOCIATES, BKI	AT&T INC	B	31,500.00	18.50	0.00	18.50	80.0	14.80
11/16/2018	QGW001190	ASSOCIATES, BKI	AT&T INC	S	-31,500.00	18.50	0.00	18.50	80.0	14.80
11/26/2018	QGW001737	HEATH, KIMBERLY	FTE NETWORKS INC COM PAR \$0.001 NEW B	B	4,309.70	20.00	0.00	20.00	80.0	16.00
11/26/2018	QGW001745	HEATH, KIMBERLY	FTE NETWORKS INC COM PAR \$0.001 NEW B	B	4,310.00	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW004699	ABERDEEN INVESTMENT	BLUE BIRD CORPORATION COM	S	-1,733.03	50.00	0.00	50.00	80.0	40.00
11/26/2018	QGW004699	ABERDEEN INVESTMENT	GENERAL ELECTRIC CO	S	-22,121.70	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW005985	ROTHBEIN, SYLVIA	NETFLIX COM INC	S	-28,289.29	30.00	0.00	30.00	80.0	24.00
10/30/2018	QGW005985	ROTHBEIN, SYLVIA	NVIDIA CORP	S	-19,761.58	30.00	0.00	30.00	80.0	24.00
10/31/2018	QGW005985	ROTHBEIN, SYLVIA	FACEBOOK INC CL A	B	57,000.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985	ROTHBEIN, SYLVIA	FACEBOOK INC CL A	S	-42,713.16	30.00	0.00	30.00	80.0	24.00
11/20/2018	QGW005985	ROTHBEIN, SYLVIA	BARCLAYS BANK PLC IPATH S&P 500 VIX B	B	19,447.50	30.00	0.00	30.00	80.0	24.00
11/28/2018	QGW005985	ROTHBEIN, SYLVIA	MICROSOFT CORP	B	22,091.28	30.00	0.00	30.00	80.0	24.00
11/26/2018	QGW006603	KAMIN-HACKEL	APOLLO COML REAL ESTATE FIN INC RED B	B	29,986.44	25.00	0.00	25.00	80.0	20.00
11/26/2018	QGW006603	KAMIN-HACKEL	ARBOR RLTY TR INC CUM REDEEMABLE PF B	B	2,510.00	10.00	0.00	10.00	80.0	8.00
11/26/2018	QGW006603	KAMIN-HACKEL	NEW YORK COMMUNITY BANCORP INC	B	11,784.00	25.00	0.00	25.00	80.0	20.00
10/30/2018	QGW009730	SHARTSIS, JAY	NEW YORK TIMES CO CL A	B	25,793.30	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW009730	SHARTSIS, JAY	CHEMOURS COMPANY (THE) COM	B	16,450.45	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW009730	SHARTSIS, JAY	TESLA MOTORS INC	S	-8,250.00	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW009730	SHARTSIS, JAY	TESLA MOTORS INC	S	-24,750.00	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW009730	SHARTSIS, JAY	VEDANTA LIMITED AMERICAN DEPOSTARY	B	12,106.80	20.00	0.00	20.00	80.0	16.00
11/05/2018	QGW009730	SHARTSIS, JAY	CHEMOURS COMPANY (THE) COM	S	-16,735.00	20.00	0.00	20.00	80.0	16.00
11/06/2018	QGW009730	SHARTSIS, JAY	ETSY INC COM	B	8,154.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
11/06/2018	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO B	13,020.00	20.00	0.00	20.00	80.0	16.00	
11/07/2018	QGW009730	SHARTSIS, JAY		MALLINCKRODT PLC	S	-14,955.00	20.00	0.00	20.00	80.0	16.00
11/07/2018	QGW009730	SHARTSIS, JAY		TILRAY INC COM	B	13,151.80	20.00	0.00	20.00	80.0	16.00
11/07/2018	QGW009730	SHARTSIS, JAY		VEDANTA LIMITED AMERICAN DEPOSTARY	S	-12,153.10	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	S	-19,920.00	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	B	20,035.00	20.00	0.00	20.00	80.0	16.00
11/09/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	S	-19,346.90	20.00	0.00	20.00	80.0	16.00
11/09/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	B	19,630.00	20.00	0.00	20.00	80.0	16.00
11/12/2018	QGW009730	SHARTSIS, JAY		MALLINCKRODT PLC	B	15,730.00	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	S	-26,761.00	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW009730	SHARTSIS, JAY		SSR MINING INC REGISTERED SHS ISIN#	S	-10,732.10	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	S	-26,771.50	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	B	13,566.00	6.67	0.00	6.67	80.0	5.34
11/14/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	B	27,027.00	13.33	0.00	13.33	80.0	10.66
11/14/2018	QGW009730	SHARTSIS, JAY		PROSHARES TR II ULTA BLOOMBERG CRUD	B	21,410.00	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW009730	SHARTSIS, JAY		UNITED STATES NATURAL GAS FUND	S	-7,723.00	20.00	0.00	20.00	80.0	16.00
11/15/2018	QGW009730	SHARTSIS, JAY		SEABRIDGE GOLD INC	B	17,999.10	20.00	0.00	20.00	80.0	16.00
11/15/2018	QGW009730	SHARTSIS, JAY		UNITED STATES NATURAL GAS FUND	B	6,960.66	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		CANADA GOOSE HLDGS INC COM SHS SUB	S	-6,923.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		CANADA GOOSE HLDGS INC COM SHS SUB	B	7,029.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		DECKERS OUTDOOR CORP	B	34,500.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		DECKERS OUTDOOR CORP	S	-30,000.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		ISHARES MSCI BRAZIL CAPPED ETF	B	13,916.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		NEWELL BRANDS INC SHS	S	-16,000.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW009730	SHARTSIS, JAY		PROSHARES TR II ULTA BLOOMBERG CRUD	S	-21,500.00	20.00	0.00	20.00	80.0	16.00
11/19/2018	QGW009730	SHARTSIS, JAY		PG&E CORP	B	6,852.00	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW009730	SHARTSIS, JAY		PG&E CORP	S	-7,194.15	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW009730	SHARTSIS, JAY		WESTERN DIGITAL CORP	B	9,069.86	20.00	0.00	20.00	80.0	16.00
11/21/2018	QGW009730	SHARTSIS, JAY		UNITED STATES NATURAL GAS FUND	B	7,262.80	20.00	0.00	20.00	80.0	16.00
11/21/2018	QGW009730	SHARTSIS, JAY		WESTERN DIGITAL CORP	S	-9,346.00	20.00	0.00	20.00	80.0	16.00
11/23/2018	QGW009730	SHARTSIS, JAY		TILRAY INC COM	S	-10,500.00	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW009730	SHARTSIS, JAY		ACADIA HEALTHCARE CO INC COM	B	13,103.68	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW009730	SHARTSIS, JAY		CANADA GOOSE HLDGS INC COM SHS SUB	B	9,551.00	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW009730	SHARTSIS, JAY		PROSHARES TR II ULTRASHORT BLOOMBER	S	-7,590.00	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW009730	SHARTSIS, JAY		ACADIA HEALTHCARE CO INC COM	S	-13,296.68	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW009730	SHARTSIS, JAY		DICKS SPORTING GOODS INC	S	-36,424.50	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW009730	SHARTSIS, JAY		HEALTH INS INNOVATIONS INC COM CL A	S	-16,230.00	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW012718	DEPALMA, RALPH		ECARE SOLUTIONS INC COM	B	2,425.00	50.00	0.00	50.00	80.0	40.00
11/08/2018	QGW012783	GALLO, TERESA		CHIPOTLE MEXICAN GRILL INC COMMON	S	-21,557.30	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW012809	GILPIN, DOUGLAS		GENERAL ELECTRIC CO	S	-2,992.00	30.00	0.00	30.00	80.0	24.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/30/2018	QGW013484 HACKEL, DEBBIE	FLOOR & DECOR HLDGS INC COM CL A	S	-24,080.00	10.00	0.00	10.00	80.0	8.00
10/30/2018	QGW013484 HACKEL, DEBBIE	FLOOR & DECOR HLDGS INC COM CL A	B	24,910.00	10.00	0.00	10.00	80.0	8.00
10/30/2018	QGW013484 HACKEL, DEBBIE	LHC GROUP INC	S	-17,360.62	10.00	0.00	10.00	80.0	8.00
10/30/2018	QGW013484 HACKEL, DEBBIE	LHC GROUP INC	B	16,970.00	10.00	0.00	10.00	80.0	8.00
10/30/2018	QGW013484 HACKEL, DEBBIE	LULULEMON ATHLETICA INC	S	-13,516.00	10.00	0.00	10.00	80.0	8.00
10/30/2018	QGW013484 HACKEL, DEBBIE	LULULEMON ATHLETICA INC	B	13,536.00	10.00	0.00	10.00	80.0	8.00
10/31/2018	QGW013484 HACKEL, DEBBIE	POST HOLDINGS INC	S	-34,932.00	10.00	0.00	10.00	80.0	8.00
10/31/2018	QGW013484 HACKEL, DEBBIE	POST HOLDINGS INC	B	35,332.00	10.00	0.00	10.00	80.0	8.00
10/31/2018	QGW013484 HACKEL, DEBBIE	TYSON FOODS INC CL A (DEL)	S	-41,790.00	10.00	0.00	10.00	80.0	8.00
11/01/2018	QGW013484 HACKEL, DEBBIE	SANDERSON FARMS INC	S	-9,791.00	10.00	0.00	10.00	80.0	8.00
11/01/2018	QGW013484 HACKEL, DEBBIE	SANDERSON FARMS INC	B	9,899.56	10.00	0.00	10.00	80.0	8.00
11/01/2018	QGW013484 HACKEL, DEBBIE	TYSON FOODS INC CL A (DEL)	B	41,915.02	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW013484 HACKEL, DEBBIE	MANITOWOC CO INC COM NEW	S	-29,523.15	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW013484 HACKEL, DEBBIE	MANITOWOC CO INC COM NEW	B	29,622.00	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW013484 HACKEL, DEBBIE	MERITOR INC COM	B	17,038.30	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW013484 HACKEL, DEBBIE	MERITOR INC COM	S	-17,262.30	10.00	0.00	10.00	80.0	8.00
11/05/2018	QGW013484 HACKEL, DEBBIE	PERFORMANCE FOOD GROUP CO COM	S	-28,550.00	10.00	0.00	10.00	80.0	8.00
11/05/2018	QGW013484 HACKEL, DEBBIE	PERFORMANCE FOOD GROUP CO COM	B	28,877.00	10.00	0.00	10.00	80.0	8.00
11/05/2018	QGW013484 HACKEL, DEBBIE	US FOODS HLDG CORP COM	S	-28,120.00	10.00	0.00	10.00	80.0	8.00
11/05/2018	QGW013484 HACKEL, DEBBIE	US FOODS HLDG CORP COM	B	28,060.00	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	CARDINAL HEALTH INC	B	36,132.95	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	CARDINAL HEALTH INC	S	-36,130.08	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	DENTSPLY SIRONA INC COM	S	-17,406.00	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	DENTSPLY SIRONA INC COM	B	17,450.00	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	FINISAR CORP COM NEW	S	-25,429.50	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	INFINERA CORP	S	-26.25	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	INFINERA CORP	B	23.45	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW013484 HACKEL, DEBBIE	WORKDAY INC CL A	B	27,100.00	10.00	0.00	10.00	80.0	8.00
11/07/2018	QGW013484 HACKEL, DEBBIE	CYBERARK SOFTWARE LTD SHS ISIN#IL00	B	7,850.00	10.00	0.00	10.00	80.0	8.00
11/07/2018	QGW013484 HACKEL, DEBBIE	FINISAR CORP COM NEW	B	26,115.00	10.00	0.00	10.00	80.0	8.00
11/07/2018	QGW013484 HACKEL, DEBBIE	WORKDAY INC CL A	S	-27,504.16	10.00	0.00	10.00	80.0	8.00
11/08/2018	QGW013484 HACKEL, DEBBIE	CYBERARK SOFTWARE LTD SHS ISIN#IL00	S	-7,840.00	10.00	0.00	10.00	80.0	8.00
11/08/2018	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	-19,567.35	10.00	0.00	10.00	80.0	8.00
11/08/2018	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	19,333.00	10.00	0.00	10.00	80.0	8.00
11/08/2018	QGW013484 HACKEL, DEBBIE	PATTERSON COS INC COM	S	-21,489.00	10.00	0.00	10.00	80.0	8.00
11/09/2018	QGW013484 HACKEL, DEBBIE	PATTERSON COS INC COM	B	21,649.78	10.00	0.00	10.00	80.0	8.00
11/12/2018	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-26,520.40	10.00	0.00	10.00	80.0	8.00
11/12/2018	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	28,029.60	10.00	0.00	10.00	80.0	8.00
11/13/2018	QGW013484 HACKEL, DEBBIE	O REILLY AUTOMOTIVE INC	S	-35,847.52	10.00	0.00	10.00	80.0	8.00
11/13/2018	QGW013484 HACKEL, DEBBIE	O REILLY AUTOMOTIVE INC	B	35,532.00	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/13/2018	QGW013484 HACKEL, DEBBIE	SANDERSON FARMS INC	S	-20,938.00	10.00	0.00	10.00	80.0	8.00
11/13/2018	QGW013484 HACKEL, DEBBIE	SANDERSON FARMS INC	B	20,800.00	10.00	0.00	10.00	80.0	8.00
11/14/2018	QGW013484 HACKEL, DEBBIE	PG&E CORP	S	-2,511.34	10.00	0.00	10.00	80.0	8.00
11/14/2018	QGW013484 HACKEL, DEBBIE	PG&E CORP	B	2,544.00	10.00	0.00	10.00	80.0	8.00
11/14/2018	QGW013484 HACKEL, DEBBIE	PG&E CORP	S	2,511.34	-10.00	0.00	-10.00	80.0	-8.00 C
11/14/2018	QGW013484 HACKEL, DEBBIE	PG&E CORP	S	-2,511.34	10.00	0.00	10.00	80.0	8.00
11/15/2018	QGW013484 HACKEL, DEBBIE	DSW INC CL A	B	25,535.00	10.00	0.00	10.00	80.0	8.00
11/15/2018	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	S	-30,650.00	10.00	0.00	10.00	80.0	8.00
11/15/2018	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	B	29,660.30	10.00	0.00	10.00	80.0	8.00
11/15/2018	QGW013484 HACKEL, DEBBIE	RH COM	S	-23,722.00	10.00	0.00	10.00	80.0	8.00
11/16/2018	QGW013484 HACKEL, DEBBIE	DSW INC CL A	S	-25,560.00	10.00	0.00	10.00	80.0	8.00
11/16/2018	QGW013484 HACKEL, DEBBIE	KULICKE & SOFFA IND INC	S	-13,709.25	10.00	0.00	10.00	80.0	8.00
11/16/2018	QGW013484 HACKEL, DEBBIE	KULICKE & SOFFA IND INC	B	13,668.75	10.00	0.00	10.00	80.0	8.00
11/16/2018	QGW013484 HACKEL, DEBBIE	RH COM	B	22,841.58	10.00	0.00	10.00	80.0	8.00
11/26/2018	QGW013484 HACKEL, DEBBIE	ALASKA AIR GROUP INC	B	3,450.00	10.00	0.00	10.00	80.0	8.00
11/26/2018	QGW013484 HACKEL, DEBBIE	HAWAIIAN HOLDINGS INC	B	5,766.57	10.00	0.00	10.00	80.0	8.00
11/27/2018	QGW013484 HACKEL, DEBBIE	ALASKA AIR GROUP INC	B	17,297.50	10.00	0.00	10.00	80.0	8.00
11/27/2018	QGW013484 HACKEL, DEBBIE	ALASKA AIR GROUP INC	S	-20,871.00	10.00	0.00	10.00	80.0	8.00
11/27/2018	QGW013484 HACKEL, DEBBIE	HAWAIIAN HOLDINGS INC	S	-26,743.10	10.00	0.00	10.00	80.0	8.00
11/27/2018	QGW013484 HACKEL, DEBBIE	HAWAIIAN HOLDINGS INC	B	20,735.00	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW013484 HACKEL, DEBBIE	ETHAN ALLEN INTERIORS INC	B	20,915.00	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW013484 HACKEL, DEBBIE	SIGNET JEWELERS LIMITED SHS ISIN#BM B		15,435.00	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW013484 HACKEL, DEBBIE	SIGNET JEWELERS LIMITED SHS ISIN#BM S		-15,363.00	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW013484 HACKEL, DEBBIE	TAPESTRY INC COM	S	-18,654.25	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW013484 HACKEL, DEBBIE	TAPESTRY INC COM	B	18,698.50	10.00	0.00	10.00	80.0	8.00
11/08/2018	QGW014128 MAHL, LOUANNE	CITIGROUP INC COM	S	-2,050.31	40.00	0.00	40.00	80.0	32.00
11/26/2018	QGW014128 MAHL, LOUANNE	CITIGROUP INC COM	S	-2,867.02	40.00	0.00	40.00	80.0	32.00
11/16/2018	QGW014151 FOSTER, WILLIAM	PG&E CORP	S	-14,672.40	28.25	0.00	28.25	80.0	22.60
11/16/2018	QGW014391 DARWISH, MENACHEM	AMERICAN AIRLINES GROUP INC	B	140,000.00	35.00	0.00	35.00	80.0	28.00
11/19/2018	QGW014391 DARWISH, MENACHEM	JD.COM INC SPONSORED ADR REPSTG COM B		25,600.00	35.00	0.00	35.00	80.0	28.00
11/19/2018	QGW014391 DARWISH, MENACHEM	UNITED CONTINENTAL HLDGS INC	S	-276,300.00	35.00	0.00	35.00	80.0	28.00
11/20/2018	QGW014391 DARWISH, MENACHEM	JD.COM INC SPONSORED ADR REPSTG COM B		3,200.00	35.00	0.00	35.00	80.0	28.00
11/26/2018	QGW014391 DARWISH, MENACHEM	JD.COM INC SPONSORED ADR REPSTG COM B		60,800.00	35.00	0.00	35.00	80.0	28.00
11/28/2018	QGW014391 DARWISH, MENACHEM	JD.COM INC SPONSORED ADR REPSTG COM B		3,200.00	35.00	0.00	35.00	80.0	28.00
11/28/2018	QGW020232 BERKOWITZ, SAM	INTREXON CORP COM	B	20,321.91	382.45	0.00	382.45	80.0	305.96
11/05/2018	QGW020513 FORST, BARRY	ENERGY FUELS INC COM NEW	S	-5,417.40	20.00	0.00	20.00	80.0	16.00
11/15/2018	QGW020604 FOSTER, WILLIAM	ROYAL DUTCH SHELL PLC SPONSORED ADR B		60,539.90	28.25	0.00	28.25	80.0	22.60
10/30/2018	QGW021263 KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	432.00	40.00	0.00	40.00	80.0	32.00
11/13/2018	QGW021263 KOLENIK, PATRICK	GENERATION NEXT FRANCHISE BRANDS IN B		3,995.00	40.00	0.00	40.00	80.0	32.00
11/08/2018	QGW023897 GALLO, ANTONIO	CHIPOTLE MEXICAN GRILL INC COMMON S S		-28,728.61	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/08/2018	QGW023897	GALLO, ANTONIO	INTERNATIONAL BUSINESS MACHINES COR B	28,391.84	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024036	GALLO, ANTONIO	CLOROX CO B	14,160.80	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024036	GALLO, ANTONIO	IONIS PHARMACEUTICALS INC COM S	-7,943.10	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024036	GALLO, ANTONIO	UNITI GROUP INC COMMON STOCK S	-6,598.90	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024036	GALLO, ANTONIO	WINDSTREAM HOLDINGS INC COMMON STOC S	-232.46	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024044	GALLO, TERESA	CLOROX CO B	14,165.70	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024044	GALLO, TERESA	IONIS PHARMACEUTICALS INC COM S	-7,942.55	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024044	GALLO, TERESA	UNITI GROUP INC COMMON STOCK S	-6,598.60	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW024044	GALLO, TERESA	WINDSTREAM HOLDINGS INC COMMON STOC S	-233.73	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW029019	SHARTSIS, JAY	EXELIXIS INC B	13,964.40	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW029019	SHARTSIS, JAY	ENCANA CORP B	17,000.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW029019	SHARTSIS, JAY	ENCANA CORP S	-17,180.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW029019	SHARTSIS, JAY	EXELIXIS INC S	-13,930.00	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW029019	SHARTSIS, JAY	MGM RESORTS INTL COM B	28,086.80	20.00	0.00	20.00	80.0	16.00
11/07/2018	QGW029019	SHARTSIS, JAY	CRONOS GROUP INC COM ISIN#CA22717L1 B	9,768.00	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW029019	SHARTSIS, JAY	CRONOS GROUP INC COM ISIN#CA22717L1 S	-9,490.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW029019	SHARTSIS, JAY	PETROLEO BRASILEIRO SA PETROBRAS SP B	15,000.00	20.00	0.00	20.00	80.0	16.00
11/16/2018	QGW029019	SHARTSIS, JAY	PETROLEO BRASILEIRO SA PETROBRAS SP B	-15,000.00	-20.00	0.00	-20.00	80.0	-16.00 C
11/16/2018	QGW029019	SHARTSIS, JAY	PETROLEO BRASILEIRO SA PETROBRAS SP B	15,000.00	20.00	0.00	20.00	80.0	16.00
11/19/2018	QGW029019	SHARTSIS, JAY	SERVICE CORP INTERNATL B	18,029.52	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW029019	SHARTSIS, JAY	SERVICE CORP INTERNATL S	-17,388.72	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW029019	SHARTSIS, JAY	PAN AMERICAN SILVER CORP B	18,996.75	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW030215	SEIJAS, ERNESTO	ENTERPRISE PRODUCTS PARTNERS LP S	-5,344.90	101.97	0.00	101.97	80.0	81.58
10/31/2018	QGW030298	CHERNOFF, GLENN	BIOTELEMETRY INC COM S	-39,865.00	20.00	0.00	20.00	80.0	16.00
11/05/2018	QGW030298	CHERNOFF, GLENN	NV5 GLOBAL INC COM B	34,305.00	20.00	0.00	20.00	80.0	16.00
11/12/2018	QGW030298	CHERNOFF, GLENN	AMARIN CORPORATION PLC SPONSORED AD S	-29,326.80	20.00	0.00	20.00	80.0	16.00
11/12/2018	QGW030298	CHERNOFF, GLENN	NV5 GLOBAL INC COM S	-33,208.88	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW030298	CHERNOFF, GLENN	PETIQ INC COM CL A S	-30,256.65	20.00	0.00	20.00	80.0	16.00
11/15/2018	QGW030298	CHERNOFF, GLENN	TELADOC INC COM S	-29,803.80	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW030298	CHERNOFF, GLENN	FACEBOOK INC CL A S	-26,507.58	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW030298	CHERNOFF, GLENN	MEDTRONIC PLC COM B	46,741.15	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW030629	BEGLEY, MICHAEL	SNAP INC CLASS A COMMON STOCK B	2,034.00	20.00	0.00	20.00	80.0	16.00
10/30/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-13,167.00	20.00	0.00	20.00	80.0	16.00
10/31/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-13,220.60	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-27,942.80	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-5,592.76	8.00	0.00	8.00	80.0	6.40
11/02/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-4,164.00	6.00	0.00	6.00	80.0	4.80
11/02/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-4,164.03	6.00	0.00	6.00	80.0	4.80
11/06/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-26,165.20	20.00	0.00	20.00	80.0	16.00
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM S	-2,640.00	4.45	0.00	4.45	80.0	3.56

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-3,900.00	6.66	0.00	6.66	80.0 5.33
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-2,670.00	4.45	0.00	4.45	80.0 3.56
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-2,620.00	4.44	0.00	4.44	80.0 3.55
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	2,640.00	-4.45	0.00	-4.45	80.0 -3.56 C
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	3,900.00	-6.66	0.00	-6.66	80.0 -5.33 C
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	2,670.00	-4.45	0.00	-4.45	80.0 -3.56 C
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	2,620.00	-4.44	0.00	-4.44	80.0 -3.55 C
11/07/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-11,829.96	20.00	0.00	20.00	80.0 16.00
11/08/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-41,437.08	31.00	0.00	31.00	80.0 24.80
11/09/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-26,079.00	20.00	0.00	20.00	80.0 16.00
11/12/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-25,976.00	20.00	0.00	20.00	80.0 16.00
11/13/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-12,374.50	20.00	0.00	20.00	80.0 16.00
11/14/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-24,680.00	20.00	0.00	20.00	80.0 16.00
11/15/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-35,753.40	30.00	0.00	30.00	80.0 24.00
11/16/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-36,603.00	30.00	0.00	30.00	80.0 24.00
11/19/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-30,065.21	24.59	0.00	24.59	80.0 19.67
11/19/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	30,065.21	-24.59	0.00	-24.59	80.0 -19.67 C
11/19/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-30,065.21	24.59	0.00	24.59	80.0 19.67
11/20/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-25,860.81	21.91	0.00	21.91	80.0 17.53
11/21/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-4,095.00	5.18	0.00	5.18	80.0 4.14
11/21/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-3,540.00	4.45	0.00	4.45	80.0 3.56
11/21/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-4,132.17	5.19	0.00	5.19	80.0 4.15
11/21/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-4,154.99	5.18	0.00	5.18	80.0 4.14
11/23/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-11,750.00	20.00	0.00	20.00	80.0 16.00
11/26/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-27,537.43	23.38	0.00	23.38	80.0 18.70
11/27/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-32,124.48	26.62	0.00	26.62	80.0 21.30
11/28/2018	QGW030652	DEVITO, JOHN	SIEBERT FINL CORP COM	S	-24,656.40	20.00	0.00	20.00	80.0 16.00
11/28/2018	QGW030652	DEVITO, JOHN	TENCENT HOLDINGS LIMITED UNSPONSORE	S	-80,000.00	20.00	0.00	20.00	80.0 16.00
11/05/2018	QGW030728	RUDOLPH, MARTIN	APPLE INC	S	-19,971.00	20.00	0.00	20.00	80.0 16.00
11/14/2018	QGW030728	RUDOLPH, MARTIN	FACEBOOK INC CL A	B	36,000.00	20.00	0.00	20.00	80.0 16.00
11/16/2018	QGW030728	RUDOLPH, MARTIN	PHILIP MORRIS INTERNATIONAL INC	S	-43,971.64	20.00	0.00	20.00	80.0 16.00
11/20/2018	QGW030728	RUDOLPH, MARTIN	AMAZON.COM INC	B	14,579.50	20.00	0.00	20.00	80.0 16.00
11/21/2018	QGW030728	RUDOLPH, MARTIN	AMAZON.COM INC	S	-15,347.50	20.00	0.00	20.00	80.0 16.00
11/21/2018	QGW030728	RUDOLPH, MARTIN	APPLE INC	B	17,686.28	20.00	0.00	20.00	80.0 16.00
11/21/2018	QGW030728	RUDOLPH, MARTIN	BRISTOL MYERS SQUIBB CO	S	-11,343.08	20.00	0.00	20.00	80.0 16.00
10/31/2018	QGW030827	TO B CAPITAL SPECIAL	PARAMOUNT GOLD NEVADA CORP COM	S	-2,060.00	10.53	0.00	10.53	80.0 8.42
10/31/2018	QGW030827	TO B CAPITAL SPECIAL	PARAMOUNT GOLD NEVADA CORP COM	S	-1,854.00	9.47	0.00	9.47	80.0 7.58
10/31/2018	QGW030827	TO B CAPITAL SPECIAL	REPLICEL LIFE SCIENCES INC COM NEW	B	1,679.00	9.52	0.00	9.52	80.0 7.62
10/31/2018	QGW030827	TO B CAPITAL SPECIAL	REPLICEL LIFE SCIENCES INC COM NEW	B	1,859.00	10.48	0.00	10.48	80.0 8.38
11/01/2018	QGW030827	TO B CAPITAL SPECIAL	PARAMOUNT GOLD NEVADA CORP COM	S	-1,575.15	20.00	0.00	20.00	80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/01/2018	QGW030827	TO B CAPITAL SPECIAL REPLICEL LIFE SCIENCES INC COM NEW	B	20.75	0.24	0.00	0.24	80.0	0.19
11/01/2018	QGW030827	TO B CAPITAL SPECIAL REPLICEL LIFE SCIENCES INC COM NEW	B	1,552.50	18.00	0.00	18.00	80.0	14.40
11/01/2018	QGW030827	TO B CAPITAL SPECIAL REPLICEL LIFE SCIENCES INC COM NEW	B	149.60	1.76	0.00	1.76	80.0	1.41
11/16/2018	QGW030827	TO B CAPITAL SPECIAL PARAMOUNT GOLD NEVADA CORP COM	S	-1,060.00	8.00	0.00	8.00	80.0	6.40
11/16/2018	QGW030827	TO B CAPITAL SPECIAL PARAMOUNT GOLD NEVADA CORP COM	S	-1,590.00	12.00	0.00	12.00	80.0	9.60
11/16/2018	QGW030827	TO B CAPITAL SPECIAL REPLICEL LIFE SCIENCES INC COM NEW	S	-144.54	2.39	0.00	2.39	80.0	1.91
11/16/2018	QGW030827	TO B CAPITAL SPECIAL REPLICEL LIFE SCIENCES INC COM NEW	S	-1,032.00	17.61	0.00	17.61	80.0	14.09
11/19/2018	QGW030827	TO B CAPITAL SPECIAL PARAMOUNT GOLD NEVADA CORP COM	S	-1,060.10	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW030827	TO B CAPITAL SPECIAL REPLICEL LIFE SCIENCES INC COM NEW	S	-777.50	20.00	0.00	20.00	80.0	16.00
11/07/2018	QGW030835	SEXER LEVY, RHONDA FIREEYE INC COM	S	-1,984.00	50.00	0.00	50.00	80.0	40.00
10/31/2018	QGW031049	WINNICK, CHARLES PULTEGROUP INC COM	S	-61,425.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW031049	WINNICK, CHARLES PULTEGROUP INC COM	S	-61,650.00	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW031049	WINNICK, CHARLES CBM BANCORP INC COM	B	30,601.00	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW031049	WINNICK, CHARLES LGI HOMES INC COM	S	-66,205.05	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW031049	WINNICK, CHARLES OWENS CORNING	S	-75,641.70	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW031049	WINNICK, CHARLES PULTEGROUP INC COM	S	-61,350.00	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW031049	WINNICK, CHARLES SIMPLY GOOD FOODS CO WT EXP 2022	S	-244.25	20.00	0.00	20.00	80.0	16.00
11/05/2018	QGW031049	WINNICK, CHARLES LGI HOMES INC COM	B	88,396.51	20.00	0.00	20.00	80.0	16.00
11/08/2018	QGW031049	WINNICK, CHARLES BERRY PLASTICS GROUP INC COM	S	-85,336.91	20.00	0.00	20.00	80.0	16.00
11/12/2018	QGW031049	WINNICK, CHARLES RECRO PHARMA INC COM	B	65,151.90	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW031049	WINNICK, CHARLES PULTEGROUP INC COM	S	-60,900.00	20.00	0.00	20.00	80.0	16.00
11/15/2018	QGW031049	WINNICK, CHARLES AVAYA HLDGS CORP COM	B	47,418.00	20.00	0.00	20.00	80.0	16.00
11/19/2018	QGW031049	WINNICK, CHARLES CBM BANCORP INC COM	B	25,460.00	20.00	0.00	20.00	80.0	16.00
11/19/2018	QGW031049	WINNICK, CHARLES RECRO PHARMA INC COM	B	19,846.80	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW031049	WINNICK, CHARLES ARISTA NETWORKS INC COM	B	111,163.60	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW031049	WINNICK, CHARLES LIVERAMP HLDGS INC COM	B	4,433.10	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW031049	WINNICK, CHARLES MGIC INVT CORP WIS COM	B	58,400.00	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW031049	WINNICK, CHARLES DELTA AIR LINES INC DEL COM	S	-232,813.60	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW031049	WINNICK, CHARLES ESSENT GROUP LTD COM ISIN#BMG3198U1	B	152,033.60	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW031544	HEALY, TIMOTHY SPDR S&P 500 ETF TRUST	S	-136,392.00	403.65	0.00	403.65	80.0	322.92
11/12/2018	QGW031544	HEALY, TIMOTHY GOLDMAN SACHS GROUP INC	B	103,617.05	90.00	0.00	90.00	80.0	72.00
11/21/2018	QGW031544	HEALY, TIMOTHY NISOURCE INC	S	-25,553.30	60.00	0.00	60.00	80.0	48.00
11/21/2018	QGW031544	HEALY, TIMOTHY OCCIDENTAL PETE CORP	B	72,637.20	60.00	0.00	60.00	80.0	48.00
11/08/2018	QGW031569	HEALY, TIMOTHY RAMACO RES INC COM	B	29,736.00	300.00	0.00	300.00	80.0	240.00
11/16/2018	QGW031726	HEALY, DAVID BANK AMER CORP DEP SHS PFD SER 1 IN	B	10,459.95	90.00	0.00	90.00	80.0	72.00
11/16/2018	QGW031726	HEALY, DAVID GOLDMAN SACHS GROUP INC DEP SHS RES	S	-14,214.34	114.00	0.00	114.00	80.0	91.20
11/09/2018	QGW034019	BEGLEY, HOLLY CENTURYTEL INC	B	3,646.00	20.00	0.00	20.00	80.0	16.00
11/26/2018	QGW035032	HACKEL, ROBERT SQUARE INC CLASS A COM	S	-65,900.20	20.00	0.00	20.00	80.0	16.00
11/26/2018	QGW036410	BELZ, KATHLEEN BLUE BIRD CORPORATION COM	S	-1,761.76	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW036436	BELZ, KATHLEEN NUCOR CORP	S	-90,000.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/12/2018	QGW036436	BELZ, KATHLEEN		COHERUS BIOSCIENCES INC COM	B	30,000.00	20.00	0.00	20.00 80.0 16.00
11/16/2018	QGW036436	BELZ, KATHLEEN		ROKU INC CLASS A COMMON STOCK	B	90,000.00	20.00	0.00	20.00 80.0 16.00
11/26/2018	QGW036436	BELZ, KATHLEEN		BLUE BIRD CORPORATION COM	S	-1,764.50	20.00	0.00	20.00 80.0 16.00
11/26/2018	QGW036444	BELZ, RICHARD		BLUE BIRD CORPORATION COM	S	-1,759.08	20.00	0.00	20.00 80.0 16.00
11/02/2018	QGW036451	BELZ, RICHARD		RESIDEO TECHNOLOGIES INC COM	B	70,193.40	20.00	0.00	20.00 80.0 16.00
11/08/2018	QGW036451	BELZ, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	S	-1,359.83	20.00	0.00	20.00 80.0 16.00
11/09/2018	QGW036451	BELZ, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	S	-84.20	20.00	0.00	20.00 80.0 16.00
11/26/2018	QGW036451	BELZ, RICHARD		BLUE BIRD CORPORATION COM	S	-1,760.85	20.00	0.00	20.00 80.0 16.00
11/08/2018	QGW036469	BELZ, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	S	-4,167.80	20.00	0.00	20.00 80.0 16.00
11/26/2018	QGW036469	BELZ, RICHARD		BLUE BIRD CORPORATION COM	S	-1,759.75	20.00	0.00	20.00 80.0 16.00
10/31/2018	QGW036550	FATTORUSO, PHYLLIS		B & G FOODS INC	B	12,707.20	20.00	0.00	20.00 80.0 16.00
11/01/2018	QGW036550	FATTORUSO, PHYLLIS		B & G FOODS INC	S	-13,369.35	20.00	0.00	20.00 80.0 16.00
11/01/2018	QGW036840	MURPHY, DENNIS		GOVERNMENT PROPERTIES INCOME TRUST	B	4,400.00	35.00	0.00	35.00 80.0 28.00
11/01/2018	QGW036857	MURPHY, MARYLUISE		GOVERNMENT PROPERTIES INCOME TRUST	B	4,400.00	35.00	0.00	35.00 80.0 28.00
11/01/2018	QGW036865	MURPHY, DENNIS		GENERAL ELECTRIC CO	B	4,000.00	35.00	0.00	35.00 80.0 28.00
11/06/2018	QGW037368	HEIBER, JENNIFER		CANOPY GROWTH CORP COM ISIN# CA1380	B	43,242.00	25.00	0.00	25.00 80.0 20.00
11/19/2018	QGW037368	HEIBER, JENNIFER		GERON CORP	S	-53,287.50	25.00	0.00	25.00 80.0 20.00
11/27/2018	QGW037368	HEIBER, JENNIFER		CORNING INC	S	-31,530.00	25.00	0.00	25.00 80.0 20.00
11/08/2018	QGW038267	ROSS, THOMAS		BANK OF AMERICA CORP	B	28,799.90	25.00	0.00	25.00 80.0 20.00
11/16/2018	QGW038994	CROWE, PATRICIA		BANK AMER CORP DEP SHS PFD SER 1 IN	B	10,459.95	90.00	0.00	90.00 80.0 72.00
11/20/2018	QGW039489	GLENNON, COLIN		GABELLI EQUITY TRUST INC	B	1,769.88	20.00	0.00	20.00 80.0 16.00
10/30/2018	QGW039588	FIERRO, JOSEPH		ALTRA INDL MOTION CORP COM	B	10,850.00	20.00	0.00	20.00 80.0 16.00
11/20/2018	QGW040164	WINNICK, CHARLES		LIVERAMP HLDGS INC COM	B	4,430.25	20.00	0.00	20.00 80.0 16.00
* STOCKS SUBTOTAL *				\$-3,596,675.02	\$23,388.71	\$0.00	\$23,388.71	\$18,710.96	

PRODUCT CATEGORY: OPTIONS

11/07/2018	75500425	MIGLIORATO, DOMENICK	CALL SPY	01/20/17	222	S	4,100.00	40.00	0.00	40.00	80.0	32.00
11/19/2018	75500426	MIGLIORATO, DOMENICK	CALL AABA	11/16/18	66.50	B	9,550.00	100.00	0.00	100.00	80.0	80.00
11/19/2018	75500426	MIGLIORATO, DOMENICK	CALL AAPL	12/21/18	200	S	6,550.00	100.00	0.00	100.00	80.0	80.00
11/20/2018	75500426	MIGLIORATO, DOMENICK	CALL AABA	11/16/18	66.50	S	8,503.00	40.00	0.00	40.00	80.0	32.00
11/20/2018	75500426	MIGLIORATO, DOMENICK	CALL AAPL	12/21/18	200	B	2,950.00	100.00	0.00	100.00	80.0	80.00
11/21/2018	75500426	MIGLIORATO, DOMENICK	PUT AABA	11/30/18	57.50	B	10,534.00	100.00	0.00	100.00	80.0	80.00
11/23/2018	75500426	MIGLIORATO, DOMENICK	CALL AAPL	12/21/18	200	S	4,980.00	80.00	0.00	80.00	80.0	64.00
11/26/2018	75500426	MIGLIORATO, DOMENICK	CALL AAPL	12/21/18	200	B	3,480.00	80.00	0.00	80.00	80.0	64.00
10/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP	02-15-1	S	-2,830.00	10.85	0.00	10.85	80.0	8.68	
10/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP	02-15-1	S	-2,400.00	10.85	0.00	10.85	80.0	8.68	
10/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP	02-15-1	S	-24,983.00	18.50	0.00	18.50	80.0	14.80	
10/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP	02-15-1	B	21,510.00	17.65	0.00	17.65	80.0	14.12	
10/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP	02-15-1	S	-18,585.00	17.65	0.00	17.65	80.0	14.12	
10/31/2018	QGW001166	KOONDEL	CALL 100 AMAZON COM INC EXP	02-15-1	B	16,740.00	17.65	0.00	17.65	80.0	14.12	

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/31/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-3,725.00	10.85	0.00	10.85	80.0	8.68
10/31/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		3,480.00	10.85	0.00	10.85	80.0	8.68
10/31/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		3,695.00	10.85	0.00	10.85	80.0	8.68
10/31/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		3,860.00	10.85	0.00	10.85	80.0	8.68
10/31/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		3,003.00	12.55	0.00	12.55	80.0	10.04
10/31/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-3,600.99	12.55	0.00	12.55	80.0	10.04
10/31/2018	QGW001166 KOONDEL	PUT 100 LOCKHEED MARTIN CORP COM EX B		964.00	11.70	0.00	11.70	80.0	9.36
10/31/2018	QGW001166 KOONDEL	PUT 100 LOCKHEED MARTIN CORP COM EX S		-1,350.00	11.70	0.00	11.70	80.0	9.36
11/01/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-3,060.00	10.85	0.00	10.85	80.0	8.68
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-49,099.95	22.75	0.00	22.75	80.0	18.20
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		76,252.00	27.00	0.00	27.00	80.0	21.60
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-95,141.01	24.45	0.00	24.45	80.0	19.56
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		203,482.89	43.15	0.00	43.15	80.0	34.52
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-124,945.92	25.30	0.00	25.30	80.0	20.24
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		19,833.00	12.55	0.00	12.55	80.0	10.04
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-16,260.00	15.10	0.00	15.10	80.0	12.08
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-6,825.00	12.55	0.00	12.55	80.0	10.04
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		-19,833.00	-12.55	0.00	-12.55	80.0	-10.04 C
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		19,833.00	12.55	0.00	12.55	80.0	10.04
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		-76,252.00	-27.00	0.00	-27.00	80.0	-21.60 C
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		76,252.00	27.00	0.00	27.00	80.0	21.60
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		95,141.01	-24.45	0.00	-24.45	80.0	-19.56 C
11/01/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-95,141.01	24.45	0.00	24.45	80.0	19.56
11/01/2018	QGW001166 KOONDEL	PUT 100 BERKSHIRE HATHAWAY INC DEL S		-356.00	11.70	0.00	11.70	80.0	9.36
11/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 B		28,655.04	26.15	0.00	26.15	80.0	20.92
11/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-18-19 S		-20,543.94	26.15	0.00	26.15	80.0	20.92
11/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 B		64,577.78	59.30	0.00	59.30	80.0	47.44
11/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 S		-10,455.96	20.20	0.00	20.20	80.0	16.16
11/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 S		-15,030.00	27.00	0.00	27.00	80.0	21.60
11/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 S		-15,972.06	32.10	0.00	32.10	80.0	25.68
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-3,945.00	12.55	0.00	12.55	80.0	10.04
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-2,745.00	12.55	0.00	12.55	80.0	10.04
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-3,000.00	12.55	0.00	12.55	80.0	10.04
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-2,170.00	11.70	0.00	11.70	80.0	9.36
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-2,420.00	11.70	0.00	11.70	80.0	9.36
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-2,400.00	12.55	0.00	12.55	80.0	10.04
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		3,945.00	-12.55	0.00	-12.55	80.0	-10.04 C
11/02/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-3,945.00	12.55	0.00	12.55	80.0	10.04
11/02/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-09-18@ S		-675.00	12.55	0.00	12.55	80.0	10.04
11/02/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM B		1,342.00	11.70	0.00	11.70	80.0	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/02/2018	QGW001166 KOONDEL	PUT 100 GOLDMAN SACHS GROUP INC COM S		-1,790.00	11.70	0.00	11.70	80.0	9.36
11/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 B		8,787.96	17.65	0.00	17.65	80.0	14.12
11/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 B		6,050.97	17.65	0.00	17.65	80.0	14.12
11/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 S		-5,776.02	17.65	0.00	17.65	80.0	14.12
11/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-15-19 S		-4,833.00	17.65	0.00	17.65	80.0	14.12
11/05/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-8,555.00	11.70	0.00	11.70	80.0	9.36
11/12/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-30-18@3 B		550.00	14.25	0.00	14.25	80.0	11.40
11/12/2018	QGW001166 KOONDEL	PUT 100 HAIN CELESTIAL GROUP INC CO B		704.00	13.40	0.00	13.40	80.0	10.72
11/12/2018	QGW001166 KOONDEL	PUT 100 HAIN CELESTIAL GROUP INC CO S		-840.00	13.40	0.00	13.40	80.0	10.72
11/15/2018	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 03-1 S		-800.00	11.70	0.00	11.70	80.0	9.36
11/15/2018	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 03-1 S		-330.00	11.70	0.00	11.70	80.0	9.36
11/15/2018	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 06-2 S		-380.00	11.70	0.00	11.70	80.0	9.36
11/15/2018	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 06-2 S		-300.00	12.55	0.00	12.55	80.0	10.04
11/15/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-15,035.01	12.55	0.00	12.55	80.0	10.04
11/15/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-30-18@3 S		-520.00	18.50	0.00	18.50	80.0	14.80
11/15/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-30-18@3 B		1,188.00	15.10	0.00	15.10	80.0	12.08
11/15/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 12-07-18@3 S		-630.00	18.50	0.00	18.50	80.0	14.80
11/15/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		2,550.00	15.10	0.00	15.10	80.0	12.08
11/19/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-9,882.00	22.75	0.00	22.75	80.0	18.20
11/19/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		10,228.05	22.75	0.00	22.75	80.0	18.20
11/19/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-19-19 B		10,812.00	16.80	0.00	16.80	80.0	13.44
11/19/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-19-19 S		-9,232.00	16.80	0.00	16.80	80.0	13.44
11/19/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-19-19 S		-3,440.00	13.40	0.00	13.40	80.0	10.72
11/19/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		9,225.09	27.85	0.00	27.85	80.0	22.28
11/19/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-192.00	20.20	0.00	20.20	80.0	16.16
11/19/2018	QGW001166 KOONDEL	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-210.00	18.50	0.00	18.50	80.0	14.80
11/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-49,182.30	86.50	0.00	86.50	80.0	69.20
11/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		44,741.96	74.10	0.00	74.10	80.0	59.28
11/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		2,170.00	3.90	0.00	3.90	80.0	3.12
11/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		-44,741.96	-74.10	0.00	-74.10	80.0	-59.28 C
11/20/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		44,741.96	74.10	0.00	74.10	80.0	59.28
11/20/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-19-19@ S		-7,072.00	16.80	0.00	16.80	80.0	13.44
11/20/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-19-19@ B		6,312.00	15.10	0.00	15.10	80.0	12.08
11/20/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		4,446.00	15.15	0.00	15.15	80.0	12.12
11/20/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		1,482.00	5.05	0.00	5.05	80.0	4.04
11/20/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		-4,446.00	-15.15	0.00	-15.15	80.0	-12.12 C
11/20/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		4,446.00	15.15	0.00	15.15	80.0	12.12
11/20/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		-1,482.00	-5.05	0.00	-5.05	80.0	-4.04 C
11/20/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		1,482.00	5.05	0.00	5.05	80.0	4.04
11/21/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,125.00	11.70	0.00	11.70	80.0	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/21/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 11-30-18@3 B		470.00	18.50	0.00	18.50	80.0	14.80
11/21/2018	QGW001166 KOONDEL	PUT 100 AT&T INC COM EXP 12-14-18@3 S		-690.00	18.50	0.00	18.50	80.0	14.80
11/23/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-19-19 B		8,756.00	19.35	0.00	19.35	80.0	15.48
11/23/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-19-19 S		-6,204.99	19.35	0.00	19.35	80.0	15.48
11/23/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-19-19@ S		-7,328.00	18.50	0.00	18.50	80.0	14.80
11/23/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-19-19@ B		6,295.98	15.10	0.00	15.10	80.0	12.08
11/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-2,520.00	15.10	0.00	15.10	80.0	12.08
11/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,450.00	13.40	0.00	13.40	80.0	10.72
11/27/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-2 B		83,126.04	20.20	0.00	20.20	80.0	16.16
11/27/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-2 S		-73,059.96	20.20	0.00	20.20	80.0	16.16
11/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 B		223,725.10	38.90	0.00	38.90	80.0	31.12
11/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-64,427.00	18.50	0.00	18.50	80.0	14.80
11/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-36,666.00	15.10	0.00	15.10	80.0	12.08
11/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-76,940.04	20.20	0.00	20.20	80.0	16.16
11/27/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-18-1 S		-34,806.00	15.10	0.00	15.10	80.0	12.08
11/27/2018	QGW001166 KOONDEL	PUT 100 LOCKHEED MARTIN CORP COM EX S		-1,038.00	11.70	0.00	11.70	80.0	9.36
11/27/2018	QGW001166 KOONDEL	PUT 100 LOCKHEED MARTIN CORP COM EX B		502.00	11.70	0.00	11.70	80.0	9.36
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,300.00	11.70	0.00	11.70	80.0	9.36
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,260.00	11.70	0.00	11.70	80.0	9.36
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,200.00	11.70	0.00	11.70	80.0	9.36
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-2,260.00	13.40	0.00	13.40	80.0	10.72
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,100.00	11.70	0.00	11.70	80.0	9.36
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		2,500.00	10.85	0.00	10.85	80.0	8.68
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 B		725.00	10.85	0.00	10.85	80.0	8.68
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-2,479.98	15.10	0.00	15.10	80.0	12.08
11/28/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 12-07-1 S		-1,710.00	13.40	0.00	13.40	80.0	10.72
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-3,912.00	12.55	0.00	12.55	80.0	10.04
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		4,353.00	12.55	0.00	12.55	80.0	10.04
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-4,452.00	12.55	0.00	12.55	80.0	10.04
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		2,420.00	23.60	0.00	23.60	80.0	18.88
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-2,115.96	20.20	0.00	20.20	80.0	16.16
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		1,464.00	13.40	0.00	13.40	80.0	10.72
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-1,349.01	12.55	0.00	12.55	80.0	10.04
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		4,324.00	13.40	0.00	13.40	80.0	10.72
11/28/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-07-18 S		-1,365.00	12.55	0.00	12.55	80.0	10.04
11/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,500.00	11.70	0.00	11.70	80.0	9.36
11/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,440.00	11.70	0.00	11.70	80.0	9.36
11/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-1,390.00	11.70	0.00	11.70	80.0	9.36
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-9,480.00	12.55	0.00	12.55	80.0	10.04
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		2,512.00	16.80	0.00	16.80	80.0	13.44

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-2,317.02	15.10	0.00	15.10	80.0	12.08
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-1,245.00	14.25	0.00	14.25	80.0	11.40
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		855.00	3.35	0.00	3.35	80.0	2.68
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 B		2,550.00	10.05	0.00	10.05	80.0	8.04
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-1,158.51	7.55	0.00	7.55	80.0	6.04
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		2,317.02	-15.10	0.00	-15.10	80.0	-12.08 C
11/29/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 12-21-18 S		-1,158.51	7.55	0.00	7.55	80.0	6.04
11/12/2018	QGW001190 ASSOCIATES, BKI	PUT 100 AT&T INC COM EXP 11-30-18@3 B		1,765.05	22.75	0.00	22.75	80.0	18.20
11/01/2018	QGW004699 ABERDEEN INVESTMENT	CALL 100 GENERAL ELECTRIC CO COM EX B		210.00	15.00	0.00	15.00	80.0	12.00
11/13/2018	QGW004699 ABERDEEN INVESTMENT	CALL 100 GENERAL ELECTRIC CO COM EX S		-930.00	20.00	0.00	20.00	80.0	16.00
11/26/2018	QGW004699 ABERDEEN INVESTMENT	CALL 100 GENERAL ELECTRIC CO COM EX B		210.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 WORKDAY INC CL A EXP 03-15- B		3,600.00	30.00	0.00	30.00	80.0	24.00
11/09/2018	QGW005985 ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 02- S		-1,280.00	30.00	0.00	30.00	80.0	24.00
11/09/2018	QGW005985 ROTHBEIN, SYLVIA	CALL 100 PYXUS INTL INC COM EXP 05- B		1,935.00	30.00	0.00	30.00	80.0	24.00
11/09/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 CANOPY GROWTH CORP COM ISIN S		-4,300.00	30.00	0.00	30.00	80.0	24.00
11/09/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 ETSY INC COM EXP 01-17-20@5 S		-4,140.00	30.00	0.00	30.00	80.0	24.00
11/09/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 05-1 B		1,540.00	30.00	0.00	30.00	80.0	24.00
11/09/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 PYXUS INTL INC COM EXP 05-1 B		8,448.03	30.00	0.00	30.00	80.0	24.00
11/12/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 NETFLIX INC COM EXP 01-17-2 B		21,882.00	30.00	0.00	30.00	80.0	24.00
11/13/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 BARCLAYS BK PLC IPATH S&P 5 S		-2,250.00	30.00	0.00	30.00	80.0	24.00
11/13/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 TILRAY INC COM EXP 03-15-19 B		6,600.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 APPLE INC COM EXP 01-17-20@ S		-16,236.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 FACEBOOK INC CL A EXP 12-21 B		5,540.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 GW PHARMACEUTICALSW PLC ADS B		3,320.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 NETFLIX INC COM EXP 01-17-2 B		11,200.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 NETFLIX INC COM EXP 01-17-2 B		15,910.00	30.00	0.00	30.00	80.0	24.00
11/15/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 01-17-2 S		-5,790.00	30.00	0.00	30.00	80.0	24.00
11/19/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 APPLE INC COM EXP 01-17-20@ B		16,399.02	30.00	0.00	30.00	80.0	24.00
11/19/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 NETFLIX INC COM EXP 01-17-2 B		17,040.00	30.00	0.00	30.00	80.0	24.00
11/20/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 01-17-2 B		5,850.00	30.00	0.00	30.00	80.0	24.00
11/28/2018	QGW005985 ROTHBEIN, SYLVIA	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B		2,000.00	30.00	0.00	30.00	80.0	24.00
11/13/2018	QGW006504 COLE, ALAN	CALL 100 NETFLIX INC COM EXP 12-21- S		-9,225.00	35.00	0.00	35.00	80.0	28.00
11/13/2018	QGW006504 COLE, ALAN	PUT 100 NETFLIX INC COM EXP 12-21-1 S		-9,875.00	35.00	0.00	35.00	80.0	28.00
11/15/2018	QGW006504 COLE, ALAN	CALL 100 NETFLIX INC COM EXP 12-21- B		7,839.00	35.00	0.00	35.00	80.0	28.00
11/15/2018	QGW006504 COLE, ALAN	PUT 100 NETFLIX INC COM EXP 12-21-1 B		11,161.00	35.00	0.00	35.00	80.0	28.00
10/31/2018	QGW009730 SHARTSIS, JAY	CALL 100 FACEBOOK INC CL A EXP 11-1 S		-922.00	20.00	0.00	20.00	80.0	16.00
10/31/2018	QGW009730 SHARTSIS, JAY	CALL 100 NEWELL BRANDS INC COM EXP S		-1,320.00	20.00	0.00	20.00	80.0	16.00
10/31/2018	QGW009730 SHARTSIS, JAY	CALL 100 NEW YORK TIMES CO CL A EXP S		-828.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW009730 SHARTSIS, JAY	CALL 100 ADVANCED MICRO DEVICES INC S		-228.00	10.00	0.00	10.00	80.0	8.00
11/01/2018	QGW009730 SHARTSIS, JAY	CALL 100 FACEBOOK INC CL A EXP 11-1 S		-277.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/01/2018	QGW009730	SHARTSIS, JAY	CALL 100	FACEBOOK INC CL A EXP 11-1 B	18.00	10.00	0.00	10.00	8.00
11/01/2018	QGW009730	SHARTSIS, JAY	CALL 100	ISHARES INC MSCI BRAZIL ET S	-2,265.00	20.00	0.00	20.00	16.00
11/01/2018	QGW009730	SHARTSIS, JAY	CALL 100	ISHARES INC MSCI BRAZIL ET B	750.00	20.00	0.00	20.00	16.00
11/02/2018	QGW009730	SHARTSIS, JAY	CALL 100	FACEBOOK INC CL A EXP 11-1 B	30.00	10.00	0.00	10.00	8.00
11/02/2018	QGW009730	SHARTSIS, JAY	PUT 100	ISHARES INC MSCI BRAZIL ETF B	255.00	20.00	0.00	20.00	16.00
11/02/2018	QGW009730	SHARTSIS, JAY	PUT 100	ISHARES INC MSCI BRAZIL ETF S	-1,140.00	20.00	0.00	20.00	16.00
11/05/2018	QGW009730	SHARTSIS, JAY	CALL 100	ETSY INC COM EXP 11-16-18@ B	428.00	20.00	0.00	20.00	16.00
11/05/2018	QGW009730	SHARTSIS, JAY	PUT 100	TESLA INC EXP 12-21-18 @330 S	-2,135.00	20.00	0.00	20.00	16.00
11/06/2018	QGW009730	SHARTSIS, JAY	CALL 100	ETSY INC COM EXP 11-16-18@ S	-230.00	20.00	0.00	20.00	16.00
11/06/2018	QGW009730	SHARTSIS, JAY	CALL 100	TRONOX LTD SHS CL A ISIN#A S	-740.00	20.00	0.00	20.00	16.00
11/06/2018	QGW009730	SHARTSIS, JAY	PUT 100	ETSY INC COM EXP 11-16-18@4 B	610.00	10.00	0.00	10.00	8.00
11/07/2018	QGW009730	SHARTSIS, JAY	CALL 100	SPDR SER TR S&P BIOTECH ET S	-825.00	20.00	0.00	20.00	16.00
11/07/2018	QGW009730	SHARTSIS, JAY	CALL 100	SPDR SER TR S&P BIOTECH ET B	80.00	10.00	0.00	10.00	8.00
11/07/2018	QGW009730	SHARTSIS, JAY	CALL 100	SPDR SER TR S&P BIOTECH ET B	-80.00	-10.00	0.00	-10.00	-8.00 C
11/07/2018	QGW009730	SHARTSIS, JAY	CALL 100	SPDR SER TR S&P BIOTECH ET B	80.00	10.00	0.00	10.00	8.00
11/07/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 11-16-1 S	-1,480.00	20.00	0.00	20.00	16.00
11/07/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 11-16-1 B	1,400.00	10.00	0.00	10.00	8.00
11/08/2018	QGW009730	SHARTSIS, JAY	CALL 100	FREEMPORT-MCMORAN INC CL B B	375.00	10.00	0.00	10.00	8.00
11/08/2018	QGW009730	SHARTSIS, JAY	CALL 100	ISHARES INC MSCI BRAZIL ET S	-1,425.00	20.00	0.00	20.00	16.00
11/08/2018	QGW009730	SHARTSIS, JAY	CALL 100	ISHARES INC MSCI BRAZIL ET B	220.00	10.00	0.00	10.00	8.00
11/08/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 11-16-1 S	-300.00	10.00	0.00	10.00	8.00
11/08/2018	QGW009730	SHARTSIS, JAY	PUT 100	MALLINCKRODT PUB LTD CO SHS S	-250.00	20.00	0.00	20.00	16.00
11/13/2018	QGW009730	SHARTSIS, JAY	CALL 100	ARCONIC INC COM EXP 12-21- S	-700.00	20.00	0.00	20.00	16.00
11/13/2018	QGW009730	SHARTSIS, JAY	CALL 100	ARCONIC INC COM EXP 11-16- B	40.00	10.00	0.00	10.00	8.00
11/13/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 11-16-1 S	-780.00	20.00	0.00	20.00	16.00
11/13/2018	QGW009730	SHARTSIS, JAY	PUT 100	ETSY INC COM EXP 12-21-18@4 S	-388.00	10.00	0.00	10.00	8.00
11/14/2018	QGW009730	SHARTSIS, JAY	CALL 100	CANADA GOOSE HLDGS INC COM S	-1,290.00	20.00	0.00	20.00	16.00
11/14/2018	QGW009730	SHARTSIS, JAY	CALL 100	CANADA GOOSE HLDGS INC COM B	135.00	10.00	0.00	10.00	8.00
11/14/2018	QGW009730	SHARTSIS, JAY	CALL 100	PROSHARES TR II ULTA BLOOM S	-500.00	20.00	0.00	20.00	16.00
11/15/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 11-16-1 B	310.00	10.00	0.00	10.00	8.00
11/15/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 11-23-1 S	-800.00	20.00	0.00	20.00	16.00
11/16/2018	QGW009730	SHARTSIS, JAY	CALL 100	FREEMPORT-MCMORAN INC CL B B	400.00	20.00	0.00	20.00	16.00
11/16/2018	QGW009730	SHARTSIS, JAY	CALL 100	ISHARES INC MSCI BRAZIL ET B	2,265.00	20.00	0.00	20.00	16.00
11/16/2018	QGW009730	SHARTSIS, JAY	CALL 100	ISHARES INC MSCI BRAZIL ET B	330.00	20.00	0.00	20.00	16.00
11/16/2018	QGW009730	SHARTSIS, JAY	CALL 100	TILRAY INC COM EXP 12-21-1 S	-840.00	20.00	0.00	20.00	16.00
11/16/2018	QGW009730	SHARTSIS, JAY	CALL 100	UNITED STS NAT GAS FD LP U S	-1,780.00	20.00	0.00	20.00	16.00
11/19/2018	QGW009730	SHARTSIS, JAY	CALL 100	UNITED STS NAT GAS FD LP U B	200.00	10.00	0.00	10.00	8.00
11/19/2018	QGW009730	SHARTSIS, JAY	PUT 100	PROSHARES TR II ULTA BLOOMB S	-1,550.00	20.00	0.00	20.00	16.00
11/19/2018	QGW009730	SHARTSIS, JAY	PUT 100	PROSHARES TR II ULTA BLOOMB B	286.00	10.00	0.00	10.00	8.00
11/21/2018	QGW009730	SHARTSIS, JAY	CALL 100	UNITED STS NAT GAS FD LP U S	-120.00	10.00	0.00	10.00	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 NEW YORK TIMES CO CL A EXP S	-600.00	20.00	0.00	20.00	80.0	16.00
11/26/2018	QGW009730	SHARTSIS, JAY	CALL 100 UNITED STS NAT GAS FD LP U S	-700.00	10.00	0.00	10.00	80.0	8.00
11/27/2018	QGW009730	SHARTSIS, JAY	CALL 100 ADVANCED MICRO DEVICES INC S	-330.00	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW009730	SHARTSIS, JAY	CALL 100 UNITED STS NAT GAS FD LP U B	152.00	10.00	0.00	10.00	80.0	8.00
11/28/2018	QGW009730	SHARTSIS, JAY	PUT 100 DICKS SPORTING GOODS INC CO S	-460.00	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW009730	SHARTSIS, JAY	PUT 100 PROSHARES TR II ULTRASHORT S	-159.00	10.00	0.00	10.00	80.0	8.00
11/02/2018	QGW029019	SHARTSIS, JAY	CALL 100 SPDR S&P 500 ETF TR TR UNI B	398.00	10.00	0.00	10.00	80.0	8.00
11/06/2018	QGW029019	SHARTSIS, JAY	CALL 100 MGM RESORTS INTL COM EXP 1 S	-670.00	20.00	0.00	20.00	80.0	16.00
11/07/2018	QGW029019	SHARTSIS, JAY	CALL 100 CRONOS GROUP INC COM ISIN# B	300.00	10.00	0.00	10.00	80.0	8.00
11/07/2018	QGW029019	SHARTSIS, JAY	CALL 100 CRONOS GROUP INC COM ISIN# S	-1,500.00	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW029019	SHARTSIS, JAY	CALL 100 MGM RESORTS INTL COM EXP 1 S	-1,020.00	20.00	0.00	20.00	80.0	16.00
11/13/2018	QGW029019	SHARTSIS, JAY	CALL 100 MGM RESORTS INTL COM EXP 1 B	30.00	10.00	0.00	10.00	80.0	8.00
11/16/2018	QGW029019	SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF B	830.00	20.00	0.00	20.00	80.0	16.00
11/19/2018	QGW029019	SHARTSIS, JAY	PUT 100 PG&E CORP COM EXP 12-21-18@ S	-1,550.00	20.00	0.00	20.00	80.0	16.00
11/19/2018	QGW029019	SHARTSIS, JAY	PUT 100 PG&E CORP COM EXP 12-21-18@ B	465.00	20.00	0.00	20.00	80.0	16.00
11/27/2018	QGW029019	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRASHORT S	-900.00	20.00	0.00	20.00	80.0	16.00
11/28/2018	QGW030652	DEVITO, JOHN	CALL 100 FREEPORT-MCMORAN INC CL B S	-2,550.00	50.00	0.00	50.00	80.0	40.00
11/21/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 DELTA AIRLINES INC COM NEW S	-627.00	20.00	0.00	20.00	80.0	16.00
11/21/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 JOHNSON & JOHNSON COM EXP S	-836.00	20.00	0.00	20.00	80.0	16.00
11/21/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 JOHNSON & JOHNSON COM EXP B	1,596.00	20.00	0.00	20.00	80.0	16.00
11/05/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 APPLE INC COM EXP 01-18-19 B	1,700.00	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 FACEBOOK INC CL A EXP 06-2 S	-442.00	20.00	0.00	20.00	80.0	16.00
11/21/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 SALESFORCE.COM INC COM STO B	118.00	20.00	0.00	20.00	80.0	16.00
11/21/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 SALESFORCE.COM INC COM STO S	-820.00	20.00	0.00	20.00	80.0	16.00
11/26/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 APPLE INC COM EXP 01-18-19 S	-530.00	20.00	0.00	20.00	80.0	16.00
11/29/2018	QGW030744	RUDOLPH, CAROL	CALL 100 PHILIP MORRIS INTL INC COM B	70.00	20.00	0.00	20.00	80.0	16.00
11/02/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-3,675.00	40.00	0.00	40.00	80.0	32.00
11/07/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	2,575.00	40.00	0.00	40.00	80.0	32.00
11/12/2018	QGW031544	HEALY, TIMOTHY	PUT 100 MACYS INC COM EXP 11-30-18@ B	1,230.00	40.00	0.00	40.00	80.0	32.00
11/14/2018	QGW031544	HEALY, TIMOTHY	CALL 100 GOLDMAN SACHS GROUP INC CO S	-2,945.00	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW031544	HEALY, TIMOTHY	PUT 100 GOLDMAN SACHS GROUP INC COM B	2,690.00	20.00	0.00	20.00	80.0	16.00
11/14/2018	QGW031544	HEALY, TIMOTHY	PUT 100 MACYS INC COM EXP 11-30-18@ S	-1,700.00	40.00	0.00	40.00	80.0	32.00
11/14/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-4,000.00	25.00	0.00	25.00	80.0	20.00
11/28/2018	QGW031544	HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	3,935.00	40.00	0.00	40.00	80.0	32.00
10/31/2018	QGW034019	BEGLEY, HOLLY	CALL 100 ALPHABET INC CL A EXP 11-0 S	-670.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW034019	BEGLEY, HOLLY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	410.00	20.00	0.00	20.00	80.0	16.00
11/20/2018	QGW036410	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 04- S	-2,700.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW036436	BELZ, KATHLEEN	CALL 100 FACEBOOK INC CL A EXP 11-1 S	-144.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW036436	BELZ, KATHLEEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-200.00	20.00	0.00	20.00	80.0	16.00
11/01/2018	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 11 S	-1,500.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
11/06/2018	QGW036436	BELZ, KATHLEEN	CALL 100 NATIONAL OILWELL VARCO INC S	-450.00	20.00	0.00	20.00	80.0	16.00	
11/08/2018	QGW036436	BELZ, KATHLEEN	PUT 100 ROKU INC COM CL A EXP 11-16 S	-2,280.00	20.00	0.00	20.00	80.0	16.00	
11/12/2018	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 11 S	-1,500.00	20.00	0.00	20.00	80.0	16.00	
11/12/2018	QGW036436	BELZ, KATHLEEN	PUT 100 BANK OF AMERICA CORPORATION S	-680.00	20.00	0.00	20.00	80.0	16.00	
11/21/2018	QGW036436	BELZ, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-400.00	20.00	0.00	20.00	80.0	16.00	
11/21/2018	QGW036436	BELZ, KATHLEEN	CALL 100 FACEBOOK INC CL A EXP 11-3 S	-200.00	20.00	0.00	20.00	80.0	16.00	
11/21/2018	QGW036436	BELZ, KATHLEEN	CALL 100 NATIONAL OILWELL VARCO INC S	-150.00	20.00	0.00	20.00	80.0	16.00	
11/21/2018	QGW036436	BELZ, KATHLEEN	CALL 100 ROKU INC COM CL A EXP 11-3 S	-1,520.00	20.00	0.00	20.00	80.0	16.00	
11/29/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 12-2 S	-500.00	20.00	0.00	20.00	80.0	16.00	
11/01/2018	QGW036451	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 11 S	-1,980.00	20.00	0.00	20.00	80.0	16.00	
11/02/2018	QGW036451	BELZ, RICHARD	CALL 100 COHERUS BIOSCIENCES INC CO S	-2,850.00	20.00	0.00	20.00	80.0	16.00	
11/02/2018	QGW036451	BELZ, RICHARD	CALL 100 RESIDEO TECHNOLOGIES INC C S	-1,950.00	20.00	0.00	20.00	80.0	16.00	
11/06/2018	QGW036451	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 11 S	-2,000.00	20.00	0.00	20.00	80.0	16.00	
11/16/2018	QGW036451	BELZ, RICHARD	PUT 100 RESIDEO TECHNOLOGIES INC CO S	-1,900.00	20.00	0.00	20.00	80.0	16.00	
11/23/2018	QGW036451	BELZ, RICHARD	PUT 100 COHERUS BIOSCIENCES INC COM S	-900.00	20.00	0.00	20.00	80.0	16.00	
11/23/2018	QGW036451	BELZ, RICHARD	PUT 100 NUCOR CORP COM EXP 11-30-18 B	1,020.00	20.00	0.00	20.00	80.0	16.00	
11/27/2018	QGW036451	BELZ, RICHARD	PUT 100 NUCOR CORP COM EXP 11-30-18 S	-1,185.00	20.00	0.00	20.00	80.0	16.00	
11/29/2018	QGW036451	BELZ, RICHARD	CALL 100 RESIDEO TECHNOLOGIES INC C S	-1,050.00	20.00	0.00	20.00	80.0	16.00	
11/29/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 01- S	-1,001.00	20.00	0.00	20.00	80.0	16.00	
11/29/2018	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 01- S	-90.00	1.45	0.00	1.45	80.0	1.16	
11/23/2018	QGW036642	GRASS, PAUL	CALL 100 SPDR S&P 500 ETF TR TR UNI B	4,200.00	20.00	0.00	20.00	80.0	16.00	
11/26/2018	QGW036642	GRASS, PAUL	CALL 100 GENERAL ELECTRIC CO COM EX B	1,680.00	20.00	0.00	20.00	80.0	16.00	
11/26/2018	QGW036642	GRASS, PAUL	CALL 100 SPDR S&P 500 ETF TR TR UNI S	-4,585.00	20.00	0.00	20.00	80.0	16.00	
10/31/2018	QGW036659	SHARTSIS, JAY	CALL 100 EBAY INC COM EXP 11-16-18@ S	-1,900.00	20.00	0.00	20.00	80.0	16.00	
11/02/2018	QGW036659	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G S	-3,725.00	20.00	0.00	20.00	80.0	16.00	
11/06/2018	QGW036659	SHARTSIS, JAY	CALL 100 TRONOX LTD SHS CL A ISIN#A B	5,000.00	20.00	0.00	20.00	80.0	16.00	
11/08/2018	QGW036659	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G B	3,660.00	20.00	0.00	20.00	80.0	16.00	
11/16/2018	QGW036659	SHARTSIS, JAY	CALL 100 VANECK VECTORS ETF TR JR G S	-2,040.00	20.00	0.00	20.00	80.0	16.00	
11/20/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	17,003.00	50.00	0.00	50.00	80.0	40.00	
11/20/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-17,724.00	50.00	0.00	50.00	80.0	40.00	
* OPTIONS SUBTOTAL *				\$90,934.84	\$5,653.55	\$0.00	\$5,653.55		\$4,522.84	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
11/06/2018	(None)	VARIOUS, HACKEL	VOYA	B	0.00	19.44	0.00	19.44	80.0	15.55
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$19.44	\$0.00	\$19.44		\$15.55	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/30/2018			FEE COUNT - 31		0.00	388.70	0.00	388.70	80.0	310.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$388.70	\$0.00	\$388.70		\$310.99	
*** BROKER TRANSACTIONS TOTAL ***				\$-3,497,045.18	\$29,644.05	\$0.00	\$29,644.05		\$23,715.25	

BROKER COMMISSION TOTALS

Broker Transactions	\$23,715.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-37.06
SIPC Assessment	\$-74.11

Check Amount	\$23,604.08
Year-to-date Earnings	\$241,234.85