



COMMISSION STATEMENT

September 24, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/17/2018 | ***-**-985P - PAPST, MEREDITH | AMCAP FUND-A | 150.00 | 4.13 | 0.00 | 4.13 | 80.0 | 3.30 |
| | ACCOUNT #: 00067807144 | BUY 4 Units @35.7200 | | | | | | |
| 09/20/2018 | ***-**-716I - PAPST, JASON | AMCAP FUND-A | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| | ACCOUNT #: 00067533376 | BUY 3 Units @36.2800 | | | | | | |
| 09/20/2018 | ***-**-2831 - RINALDI, JAMES | AMCAP FUND-A | 14.67 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| | ACCOUNT #: 04001030377 | BUY 0 Units @37.1500 | | | | | | |
| 09/20/2018 | ***-**-2831 - RINALDI, JAMES | AMCAP FUND-A | 24.44 | 1.22 | 0.00 | 1.22 | 80.0 | 0.98 |
| | ACCOUNT #: 04001030377 | BUY 1 Units @37.1500 | | | | | | |
| 09/20/2018 | ***-**-7626 - OLSEN, BRANDON | AMERICAN 2055 TARGET DATE RETIREMEN | 29.23 | 1.46 | 0.00 | 1.46 | 80.0 | 1.17 |
| | ACCOUNT #: 04001030237 | BUY 1 Units @21.1100 | | | | | | |
| 09/20/2018 | ***-**-7626 - OLSEN, BRANDON | AMERICAN 2055 TARGET DATE RETIREMEN | 102.31 | 5.12 | 0.00 | 5.12 | 80.0 | 4.10 |
| | ACCOUNT #: 04001030237 | BUY 5 Units @21.1100 | | | | | | |
| 09/18/2018 | ***-**-7973 - ROOSA, JOHN | AMERICAN BALANCED FUND-A | 159.28 | 4.38 | 0.00 | 4.38 | 80.0 | 3.50 |
| | ACCOUNT #: 04000563712 | BUY 6 Units @28.8900 | | | | | | |
| 09/18/2018 | ***-**-7973 - ROOSA, JOHN | AMERICAN BALANCED FUND-A | 47.78 | 1.31 | 0.00 | 1.31 | 80.0 | 1.05 |
| | ACCOUNT #: 04000563712 | BUY 2 Units @28.8900 | | | | | | |
| 09/18/2018 | ***-**-6453 - BORDONARO, LINDA | AMERICAN BALANCED FUND-A | 30.00 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00083064667 | BUY 1 Units @28.8900 | | | | | | |
| 09/18/2018 | ***-**-6453 - BORDONARO, LINDA | AMERICAN BALANCED FUND-A | 33.74 | 0.93 | 0.00 | 0.93 | 80.0 | 0.74 |
| | ACCOUNT #: 00083064667 | BUY 1 Units @28.8900 | | | | | | |
| 09/19/2018 | ***-**-1870 - WITHER, EDWARD | AMERICAN BALANCED FUND-A | 25.08 | 1.07 | 0.00 | 1.07 | 80.0 | 0.86 |
| | ACCOUNT #: 04000812765 | BUY 1 Units @29.3900 | | | | | | |
| 09/21/2018 | ***-**-689I - FAULKNER, SANDRA | AMERICAN BALANCED FUND-A | 298.08 | 3.58 | 0.00 | 3.58 | 80.0 | 2.86 |
| | ACCOUNT #: 00081806027 | BUY 10 Units @28.5000 | | | | | | |
| 09/21/2018 | ***-**-689I - FAULKNER, SANDRA | AMERICAN BALANCED FUND-A | 10.00 | 0.12 | 0.00 | 0.12 | 80.0 | 0.10 |
| | ACCOUNT #: 00081806027 | BUY 0 Units @28.5000 | | | | | | |
| 09/21/2018 | ***-**-228I - FAULKNER, | AMERICAN BALANCED FUND-A | 298.08 | 3.58 | 0.00 | 3.58 | 80.0 | 2.86 |
| | ACCOUNT #: 00081805988 | BUY 10 Units @28.5000 | | | | | | |
| 09/21/2018 | ***-**-228I - FAULKNER, | AMERICAN BALANCED FUND-A | 298.08 | 3.58 | 0.00 | 3.58 | 80.0 | 2.86 |
| | ACCOUNT #: 00081805988 | BUY 10 Units @28.5000 | | | | | | |
| 09/21/2018 | ***-**-707I - COLON, ANGEL | AMERICAN BALANCED FUND-A | 25.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00081805964 | BUY 1 Units @28.5000 | | | | | | |



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|---|-------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/21/2018 | ***-**-707I - COLON, ANGEL | AMERICAN BALANCED FUND-A | 25.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00081805964 | BUY 1 Units @28.5000 | | | | | | |
| 09/21/2018 | ***-**-875P - SHIMUNOV, ILICH | AMERICAN BALANCED FUND-A | 30.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00081805810 | BUY 1 Units @28.5000 | | | | | | |
| 09/21/2018 | ***-**-1870 - WITHER, EDWARD | AMERICAN BALANCED FUND-A | 140.22 | 5.96 | 0.00 | 5.96 | 80.0 | 4.77 |
| | ACCOUNT #: 04000812765 | BUY 5 Units @29.5500 | | | | | | |
| 09/20/2018 | ***-**-3358 - WILCOX, JOANNE | AMERICAN BALANCED FUND-C | 400.00 | 4.00 | 0.00 | 4.00 | 80.0 | 3.20 |
| | ACCOUNT #: 04001030342 | BUY 14 Units @27.8700 | | | | | | |
| 09/20/2018 | ***-**-3358 - WILCOX, JOANNE | AMERICAN BALANCED FUND-C | 25.76 | 0.26 | 0.00 | 0.26 | 80.0 | 0.21 |
| | ACCOUNT #: 04001030342 | BUY 1 Units @27.8700 | | | | | | |
| 09/18/2018 | ***-**-309I - PARENT, NANCY | AMERICAN FUNDS 2020 TARGET DATE-A | 30.00 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00087513340 | BUY 2 Units @13.2400 | | | | | | |
| 09/18/2018 | ***-**-309I - PARENT, NANCY | AMERICAN FUNDS 2020 TARGET DATE-A | 21.01 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 00087513340 | BUY 2 Units @13.2400 | | | | | | |
| 09/19/2018 | ***-**-6730 - GAUDIOSI, JAMES | AMERICAN FUNDS 2030 TARGET DATE-A | 365.00 | 15.51 | 0.00 | 15.51 | 80.0 | 12.41 |
| | ACCOUNT #: 04000812746 | BUY 23 Units @15.6800 | | | | | | |
| 09/20/2018 | ***-**-0777 - TUCKER, JAMES | AMERICAN FUNDS 2030 TARGET DATE-A | 160.00 | 8.00 | 0.00 | 8.00 | 80.0 | 6.40 |
| | ACCOUNT #: 04001030467 | BUY 10 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-0777 - TUCKER, JAMES | AMERICAN FUNDS 2030 TARGET DATE-A | 50.26 | 2.51 | 0.00 | 2.51 | 80.0 | 2.01 |
| | ACCOUNT #: 04001030467 | BUY 3 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-0931 - LANGLOIS, JAMIE | AMERICAN FUNDS 2030 TARGET DATE-A | 34.59 | 1.73 | 0.00 | 1.73 | 80.0 | 1.38 |
| | ACCOUNT #: 04001030268 | BUY 2 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-0931 - LANGLOIS, JAMIE | AMERICAN FUNDS 2030 TARGET DATE-A | 57.66 | 2.88 | 0.00 | 2.88 | 80.0 | 2.30 |
| | ACCOUNT #: 04001030268 | BUY 4 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-857I - MUNDO, DIANE | AMERICAN FUNDS 2030 TARGET DATE-A | 32.06 | 1.60 | 0.00 | 1.60 | 80.0 | 1.28 |
| | ACCOUNT #: 04001030256 | BUY 2 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-857I - MUNDO, DIANE | AMERICAN FUNDS 2030 TARGET DATE-A | 32.06 | 1.60 | 0.00 | 1.60 | 80.0 | 1.28 |
| | ACCOUNT #: 04001030256 | BUY 2 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-8714 - LEACH, MICHELE | AMERICAN FUNDS 2030 TARGET DATE-A | 30.53 | 1.53 | 0.00 | 1.53 | 80.0 | 1.22 |
| | ACCOUNT #: 04001030247 | BUY 2 Units @15.8900 | | | | | | |
| 09/20/2018 | ***-**-8714 - LEACH, MICHELE | AMERICAN FUNDS 2030 TARGET DATE-A | 71.23 | 3.56 | 0.00 | 3.56 | 80.0 | 2.85 |
| | ACCOUNT #: 04001030247 | BUY 4 Units @15.8900 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/21/2018 | ***-**-6730 - GAUDIOSI, JAMES | AMERICAN FUNDS 2030 TARGET DATE-A | 243.00 | 10.33 | 0.00 | 10.33 | 80.0 | 8.26 |
| | ACCOUNT #: 04000812746 | BUY 15 Units @15.8000 | | | | | | |
| 09/20/2018 | ***-**-7678 - LALIBERTE, | AMERICAN FUNDS 2030 TARGET DATE-C | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 04001030397 | BUY 17 Units @14.6900 | | | | | | |
| 09/20/2018 | ***-**-7678 - LALIBERTE, | AMERICAN FUNDS 2030 TARGET DATE-C | 8.17 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 04001030397 | BUY 1 Units @14.6900 | | | | | | |
| 09/18/2018 | ***-**-5975 - PAQUETTE, NICOLE | AMERICAN FUNDS 2035 TARGET DATE-A | 118.85 | 3.27 | 0.00 | 3.27 | 80.0 | 2.62 |
| | ACCOUNT #: 04000563724 | BUY 7 Units @16.0300 | | | | | | |
| 09/18/2018 | ***-**-5975 - PAQUETTE, NICOLE | AMERICAN FUNDS 2035 TARGET DATE-A | 44.57 | 1.23 | 0.00 | 1.23 | 80.0 | 0.98 |
| | ACCOUNT #: 04000563724 | BUY 3 Units @16.0300 | | | | | | |
| 09/19/2018 | ***-**-632I - GAUDIOSI, JANE | AMERICAN FUNDS 2035 TARGET DATE-A | 365.00 | 15.51 | 0.00 | 15.51 | 80.0 | 12.41 |
| | ACCOUNT #: 04000813270 | BUY 22 Units @16.3100 | | | | | | |
| 09/21/2018 | ***-**-632I - GAUDIOSI, JANE | AMERICAN FUNDS 2035 TARGET DATE-A | 243.00 | 10.33 | 0.00 | 10.33 | 80.0 | 8.26 |
| | ACCOUNT #: 04000813270 | BUY 15 Units @16.4300 | | | | | | |
| 09/19/2018 | ***-**-4516 - ST. AMAND, MARK | AMERICAN FUNDS 2040 TARGET DATE-A | 10.00 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| | ACCOUNT #: 04000812773 | BUY 1 Units @16.7600 | | | | | | |
| 09/19/2018 | ***-**-6609 - GAUDIOSI, | AMERICAN FUNDS 2040 TARGET DATE-A | 300.00 | 12.75 | 0.00 | 12.75 | 80.0 | 10.20 |
| | ACCOUNT #: 04000812733 | BUY 18 Units @16.7600 | | | | | | |
| 09/20/2018 | ***-**-0355 - PERREAULT, | AMERICAN FUNDS 2040 TARGET DATE-A | 240.00 | 12.00 | 0.00 | 12.00 | 80.0 | 9.60 |
| | ACCOUNT #: 04001030442 | BUY 14 Units @17.0000 | | | | | | |
| 09/20/2018 | ***-**-0355 - PERREAULT, | AMERICAN FUNDS 2040 TARGET DATE-A | 73.20 | 3.66 | 0.00 | 3.66 | 80.0 | 2.93 |
| | ACCOUNT #: 04001030442 | BUY 4 Units @17.0000 | | | | | | |
| 09/20/2018 | ***-**-5529 - BINETTE, JASON | AMERICAN FUNDS 2040 TARGET DATE-A | 87.56 | 4.38 | 0.00 | 4.38 | 80.0 | 3.50 |
| | ACCOUNT #: 04001030412 | BUY 5 Units @17.0000 | | | | | | |
| 09/20/2018 | ***-**-5529 - BINETTE, JASON | AMERICAN FUNDS 2040 TARGET DATE-A | 26.27 | 1.31 | 0.00 | 1.31 | 80.0 | 1.05 |
| | ACCOUNT #: 04001030412 | BUY 2 Units @17.0000 | | | | | | |
| 09/20/2018 | ***-**-0258 - LALIBERTE, | AMERICAN FUNDS 2040 TARGET DATE-A | 250.00 | 12.50 | 0.00 | 12.50 | 80.0 | 10.00 |
| | ACCOUNT #: 04001030407 | BUY 15 Units @17.0000 | | | | | | |
| 09/20/2018 | ***-**-0258 - LALIBERTE, | AMERICAN FUNDS 2040 TARGET DATE-A | 25.67 | 1.28 | 0.00 | 1.28 | 80.0 | 1.02 |
| | ACCOUNT #: 04001030407 | BUY 2 Units @17.0000 | | | | | | |
| 09/21/2018 | ***-**-4516 - ST. AMAND, MARK | AMERICAN FUNDS 2040 TARGET DATE-A | 100.00 | 4.25 | 0.00 | 4.25 | 80.0 | 3.40 |
| | ACCOUNT #: 04000812773 | BUY 6 Units @16.8900 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/21/2018 | ***-**-6609 - GAUDIOSI, ACCOUNT #: 04000812733 | AMERICAN FUNDS 2040 TARGET DATE-A BUY 14 Units @16.8900 | 243.00 | 10.33 | 0.00 | 10.33 | 80.0 | 8.26 |
| 09/18/2018 | ***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.4200 | 50.30 | 1.38 | 0.00 | 1.38 | 80.0 | 1.10 |
| 09/18/2018 | ***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.4200 | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| 09/18/2018 | ***-**-945P - MORIARTY, ACCOUNT #: 00081526588 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.4200 | 187.00 | 5.14 | 0.00 | 5.14 | 80.0 | 4.11 |
| 09/18/2018 | ***-**-945P - MORIARTY, ACCOUNT #: 00081526588 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.4200 | 45.41 | 1.25 | 0.00 | 1.25 | 80.0 | 1.00 |
| 09/20/2018 | ***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.9500 | 34.07 | 1.70 | 0.00 | 1.70 | 80.0 | 1.36 |
| 09/20/2018 | ***-**-7830 - COLELLA, CASEY ACCOUNT #: 04001030287 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.9500 | 34.07 | 1.70 | 0.00 | 1.70 | 80.0 | 1.36 |
| 09/20/2018 | ***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422 | AMERICAN FUNDS 2060 TARGET DATE-A BUY 2 Units @13.8900 | 23.89 | 1.19 | 0.00 | 1.19 | 80.0 | 0.95 |
| 09/20/2018 | ***-**-5292 - BINETTE, JEREMY ACCOUNT #: 04001030422 | AMERICAN FUNDS 2060 TARGET DATE-A BUY 1 Units @13.8900 | 14.34 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| 09/21/2018 | ***-**-0611 - FAULKNER, ACCOUNT #: 04000827629 | AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.3100 | 75.00 | 0.90 | 0.00 | 0.90 | 80.0 | 0.72 |
| 09/21/2018 | ***-**-0611 - FAULKNER, ACCOUNT #: 04000827629 | AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.3100 | 75.00 | 0.90 | 0.00 | 0.90 | 80.0 | 0.72 |
| 09/20/2018 | ***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377 | AMERICAN MUTUAL FUND-A BUY 0 Units @45.6800 | 14.66 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| 09/20/2018 | ***-**-2831 - RINALDI, JAMES ACCOUNT #: 04001030377 | AMERICAN MUTUAL FUND-A BUY 1 Units @45.6800 | 24.44 | 1.22 | 0.00 | 1.22 | 80.0 | 0.98 |
| 09/17/2018 | ***-**-985P - PAPST, MEREDITH ACCOUNT #: 00067807144 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.1000 | 125.00 | 3.44 | 0.00 | 3.44 | 80.0 | 2.75 |
| 09/20/2018 | ***-**-716I - PAPST, JASON ACCOUNT #: 00067533376 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.0800 | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| 09/20/2018 | ***-**-6749 - OLIVER, FAY ACCOUNT #: 04001030438 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @52.1100 | 50.34 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/20/2018 | ***-**-6749 - OLIVER, FAY | THE GROWTH FUND OF AMERICA-C | 18.88 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| | ACCOUNT #: 04001030438 | BUY 0 Units @52.1100 | | | | | | |
| 09/20/2018 | ***-**-716I - PAPST, JASON | U.S. GOVERNMENT SECURITIES FUND CLA | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| | ACCOUNT #: 00067533376 | BUY 7 Units @13.7100 | | | | | | |
| 09/21/2018 | ***-**-875P - SHIMUNOV, ILICH | U.S. GOVERNMENT SECURITIES FUND CLA | 30.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| | ACCOUNT #: 00081805810 | BUY 2 Units @13.4400 | | | | | | |
| 09/17/2018 | ***-**-985P - PAPST, MEREDITH | WASHINGTON MUTUAL INVESTORS FUND-A | 150.00 | 4.13 | 0.00 | 4.13 | 80.0 | 3.30 |
| | ACCOUNT #: 00067807144 | BUY 3 Units @48.2900 | | | | | | |
| 09/20/2018 | ***-**-716I - PAPST, JASON | WASHINGTON MUTUAL INVESTORS FUND-A | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| | ACCOUNT #: 00067533376 | BUY 2 Units @48.9200 | | | | | | |
| 09/20/2018 | ***-**-6749 - OLIVER, FAY | WASHINGTON MUTUAL INVESTORS FUND-C | 33.56 | 0.34 | 0.00 | 0.34 | 80.0 | 0.27 |
| | ACCOUNT #: 04001030438 | BUY 1 Units @46.5900 | | | | | | |
| 09/20/2018 | ***-**-6749 - OLIVER, FAY | WASHINGTON MUTUAL INVESTORS FUND-C | 12.58 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| | ACCOUNT #: 04001030438 | BUY 0 Units @46.5900 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$7,177.98 | \$229.36 | \$0.00 | \$229.36 | | \$183.43 |
| PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES | | | | | | | | |
| 09/17/2018 | ***-**-4768 - MSADOQUIS, | ATHENE ASCENT PRO 10 | 53,353.81 | 3,201.23 | 0.00 | 3,201.23 | 100.0 | 3,201.23 |
| | | BUY 1 Units @53353.8100 | | | | | | |
| * EQUITY INDEXED ANNUITIES SUBTOTAL * | | | \$53,353.81 | \$3,201.23 | \$0.00 | \$3,201.23 | | \$3,201.23 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 09/24/2018 | ***-**-235I - DASILVA, BRANDON | CHET ADVISOR 529 TRAILS | 0.00 | 5.05 | 0.00 | 5.05 | 80.0 | 4.04 |
| | ACCOUNT #: 68701113601 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-235I - DASILVA, BRANDON | CHET ADVISOR 529 TRAILS | 0.00 | 97.50 | 0.00 | 97.50 | 80.0 | 78.00 |
| | ACCOUNT #: 68701113601 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-6967 - MEDER, BARBARA | EATON VANCE MF TRAILS | 0.00 | 5.31 | 0.00 | 5.31 | 80.0 | 4.25 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-6967 - MEDER, BARBARA | EATON VANCE MF TRAILS | 0.00 | 5.40 | 0.00 | 5.40 | 80.0 | 4.32 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-6967 - MEDER, BARBARA | EATON VANCE MF TRAILS | 0.00 | 5.58 | 0.00 | 5.58 | 80.0 | 4.46 |
| | | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/24/2018 | ***-**-90SI - MACARON, SHADY | SAMMONS MF TRAILS | 0.00 | 73.14 | 0.00 | 73.14 | 80.0 | 58.51 |
| | ACCOUNT #: 7200000120 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-187I - HENEBERY, KEVIN | SAMMONS MF TRAILS | 0.00 | 33.39 | 0.00 | 33.39 | 80.0 | 26.71 |
| | ACCOUNT #: 7200000440 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-027I - ADAMAITIS, | SAMMONS MF TRAILS | 0.00 | 12.25 | 0.00 | 12.25 | 80.0 | 9.80 |
| | ACCOUNT #: 7200011626 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-788I - LIPEIKA, RONALD | SAMMONS MF TRAILS | 0.00 | 45.46 | 0.00 | 45.46 | 80.0 | 36.37 |
| | ACCOUNT #: 7200016493 | BUY 0 Units @0.0000 | | | | | | |
| 09/24/2018 | ***-**-118I - MORRO, CHARLOTTE | SAMMONS MF TRAILS | 0.00 | 8.30 | 0.00 | 8.30 | 80.0 | 6.64 |
| | ACCOUNT #: 7200021809 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$291.38 | \$0.00 | \$291.38 | | \$233.10 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$60,531.79 | \$3,721.97 | \$0.00 | \$3,721.97 | | \$3,617.76 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$3,617.76 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|--------------------|
| Net Commission Earned | \$3,617.76 |
| Year-to-date Earnings | \$79,201.10 |