

COMMISSION STATEMENT

December 15, 2018

 TOM BRISTER-D011
 32180 Inverness Drive

 BROKER #: D011
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/03/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
12/03/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
12/03/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
12/03/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
12/03/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: MISCELLANEOUS											
11/30/2018	VARIOUS, BRISTER T	MI	PVG		B	0.00	405.45	0.00	405.45	87.5	354.77
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$405.45	\$0.00	\$405.45		\$354.77
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
12/03/2018	00056812178	MOLEN, MARK	MFT	AMERICAN MUTUAL FUND-A	B	0.00	24.27	0.00	24.27	87.5	21.24
12/03/2018	00059063691	STUBBS/SEP, DAVID	MFT	CAPITAL INCOME BUILDER-A	B	0.00	24.07	0.00	24.07	87.5	21.06
12/03/2018	00064805200	SCHMIDT,	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.47	0.00	1.47	87.5	1.29
12/03/2018	00064805200	SCHMIDT,	MFT	THE INVESTMENT COMPANY OF	B	0.00	6.21	0.00	6.21	87.5	5.43
12/03/2018	00068527137	KINZELMAN, PHOEBE	MFT	THE INVESTMENT COMPANY OF	B	0.00	10.44	0.00	10.44	87.5	9.14
12/03/2018	00068527137	KINZELMAN, PHOEBE	MFT	THE INVESTMENT COMPANY OF	B	0.00	17.14	0.00	17.14	87.5	15.00
12/03/2018	00074778210	OLSON, ANDREW	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	9.59	0.00	9.59	87.5	8.39
12/03/2018	00074778243	OLSON, ANDREW	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	24.94	0.00	24.94	87.5	21.82
12/03/2018	00086110551	SWISHER, DAWN	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	15.75	0.00	15.75	87.5	13.78
12/03/2018	00086110551	SWISHER, DAWN	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	9.88	0.00	9.88	87.5	8.65
12/03/2018	00086110559	DRAUGHN, DUSTIN	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	10.59	0.00	10.59	87.5	9.27
12/03/2018	00086110559	DRAUGHN, DUSTIN	MFT	THE INVESTMENT COMPANY OF	B	0.00	15.27	0.00	15.27	87.5	13.36
12/03/2018	00930237814	STUBBS COMPRO,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	62.71	0.00	62.71	87.5	54.87
12/03/2018	04000954663	DRAUGHN, DUSTIN	MFT	AMCAP FUND-A	B	0.00	0.46	0.00	0.46	87.5	0.40
12/03/2018	04000954663	DRAUGHN, DUSTIN	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.15	0.00	0.15	87.5	0.13
12/05/2018	08699897631	MOINAT, IRIS	MFT	COLUMBIA TAX-EXEMPT FUND-A	B	0.00	23.08	0.00	23.08	87.5	20.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$256.02	\$0.00	\$256.02		\$224.03
*** DIRECT TRANSACTIONS TOTAL ***						\$900.00	\$695.24	\$0.00	\$695.24		\$608.36

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: ALEX BRISTER-D010 #14									
12/06/2018	04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75	5.16
12/03/2018	00064364960	WIEBER, SANDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.03	0.00	17.03	6.39
12/03/2018	00067067479	TICKLE, MICHAEL	MFT NEW PERSPECTIVE FUND-A	B	0.00	23.72	0.00	23.72	8.90
12/03/2018	00067067479	TICKLE, MICHAEL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	23.16	0.00	23.16	8.69
12/03/2018	00067067479	TICKLE, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	18.15	0.00	18.15	6.81
12/03/2018	00067670267	SCHMIDT, JACK	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	8.13	0.00	8.13	3.05
12/03/2018	00067670267	SCHMIDT, JACK	MFT NEW PERSPECTIVE FUND-F1	B	0.00	10.65	0.00	10.65	3.99
12/03/2018	00067670267	SCHMIDT, JACK	MFT SHORT-TERM BOND FUND OF	B	0.00	2.95	0.00	2.95	1.11
12/03/2018	00067670267	SCHMIDT, JACK	MFT THE INVESTMENT COMPANY OF	B	0.00	17.46	0.00	17.46	6.55
12/03/2018	00068717375	GERRIE, RACHEL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.21	0.00	0.21	0.08
12/03/2018	00068717375	GERRIE, RACHEL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.01	0.00	2.01	0.75
12/03/2018	00068717375	GERRIE, RACHEL	MFT SMALLCAP WORLD FUND-A	B	0.00	0.24	0.00	0.24	0.09
12/03/2018	00068781179	GERRIE, SARA	MFT AMCAP FUND-A	B	0.00	43.82	0.00	43.82	16.43
12/03/2018	00068781179	GERRIE, SARA	MFT THE INVESTMENT COMPANY OF	B	0.00	5.92	0.00	5.92	2.22
12/03/2018	00083404474	BARNES, WENDY	MFT AMCAP FUND-A	B	0.00	32.84	0.00	32.84	12.32
12/03/2018	04000508909	GERRIE, ANDREW	MFT AMERICAN FUNDS 2040 TARGET	B	0.00	5.38	0.00	5.38	2.02
* ALEX BRISTER-D010 SUBTOTAL *					\$500.00	\$225.42	\$0.00	\$225.42	\$84.56
*** OVERRIDE TRANSACTIONS TOTAL ***					\$500.00	\$225.42	\$0.00	\$225.42	\$84.56

BROKER COMMISSION TOTALS

Broker Transactions	\$608.36
Split Transactions	\$0.00
Override Transactions	\$84.56
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$692.92
Year-to-date Commissions	\$31,812.56