

COMMISSION STATEMENT

October 22, 2018

STEVEN JOEL

404 Chateau Drive Buffalo Grove, IL 60089-6708 BROKER #: 592

BRANCH #01001 - LifeMark Home Office

BUY 0 Units @0.0000 VIRTUS MF TRAILS BUY 0 Units @0.0000 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$16.35 \$0.00 \$16.35 \$9. *** BROKER TRANSACTIONS TOTAL *** \$0.00 \$16.35 \$0.00 \$16.35 \$9. BROKER COMMISSION TOTALS Broker Transactions \$9.80 Split Transactions \$0.00 Override Transactions \$0.00 Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/22/2018 ***-**-1388 - JOEL, JOSEPH VIRTUS MF TRAILS BUY 0 Units @0.0000			BROKER TRA	NSACTIONS					
BUY 0 Units @0.0000 VIRTUS MF TRAILS BUY 0 Units @0.0000 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$16.35 \$0.00 \$16.35 \$9. *** BROKER TRANSACTIONS TOTAL *** \$0.00 \$16.35 \$0.00 \$16.35 \$9. BROKER COMMISSION TOTALS Broker Transactions \$9.80 Split Transactions \$0.00 Override Transactions \$0.00 Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00	PRODUCT C	CATEGORY: MUTUAL FUND 12B1	TRAILS						
### BROKER TRANSACTIONS TOTAL *** \$0.00 \$16.35 \$0.00 \$16.35 \$9. **** BROKER TRANSACTIONS TOTAL *** \$0.00 \$16.35 \$0.00 \$16.35 \$9. #### BROKER TRANSACTIONS TOTAL *** \$0.00 \$16.35 \$0.00 \$16.35 \$9. ###################################	10/22/2018	***-**-1388 - JOEL, JOSEPH		0.00	2.46	0.00	2.46	60.0	1.48
*** BROKER TRANSACTIONS TOTAL *** \$0.00 \$16.35 \$9.00 \$16.	10/22/2018	***-**-1388 - JOEL, JOSEPH		0.00	13.89	0.00	13.89	60.0	8.33
BROKER COMMISSION TOTALS Broker Transactions \$9.8 Split Transactions \$0.0 Override Transactions \$0.0 Adjustments \$0.0 Payroll Draw \$0.0 Base Salary \$0.0 Prior Period Balance \$0.0 FINRA Assessment \$0.0 SIPC Assessment \$0.0 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD BROKER COMMISSION TOTALS Broker Transactions \$9.8 \$0.0 Boundary \$0.0 Balance Forward \$9.8			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$16.35	\$0.00	\$16.35		\$9.81
Broker Transactions \$9.80 Split Transactions \$0.00 Override Transactions \$0.00 Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Broker Transactions \$9.80 Split Transactions \$0.00 Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 FINRA Draw \$0.00 SIPC Assessment \$0.00 SIPC Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD			*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$16.35	\$0.00	\$16.35		\$9.81
Split Transactions \$0.00 Override Transactions \$0.00 Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 SIPC Assessment \$0.00 Balance Forward \$9.80						E	ROKER COMMI	SSION	TOTALS
Override Transactions \$0.00 Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Override Transactions \$0.00 Badjustments \$0.00 Base Salary \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 SIPC Assessment \$0.00						Broke:	r Transactions		\$9.81
Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Adjustments \$0.00 Balance Forward \$0.00 \$0.0						Split Transactions			\$0.00
Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Payroll Draw \$0.00 Base Salary \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 SIPC Assessment \$0.00 SIPC Assessment \$0.00						Override Transactions			\$0.00
Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Balance Forward \$9.83						Adjustments			\$0.00
Prior Period Balance \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00 FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Balance Forward \$9.85						Payroll Draw			\$0.00
FINRA Assessment \$0.00 SIPC Assessment \$0.00 Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Balance Forward \$9.85							Base Salary		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD SIPC Assessment \$0.00 Balance Forward \$9.85						Prior 1	Period Balance		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD Balance Forward \$9.85						FII	NRA Assessment		\$0.00
THERE WILL BE NO PAYMENT THIS PERIOD Balance Forward \$9.8						S	IPC Assessment		\$0.00
Year-to-date Earnings \$19,482.69						Ва	alance Forward		\$9.81
						Year-to	-date Earnings		\$19,482.65