



# COMMISSION STATEMENT

## December 03, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/28/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	512.83	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 21 Units @24.0900						
11/26/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: 00086895596	BUY 2 Units @28.4600						
11/30/2018	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @28.9700						
11/30/2018	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @62.7300						
11/30/2018	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @65.5400						
11/28/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	512.81	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 18 Units @28.0300						
11/28/2018	***-**-036I - CROWL, LINDA	INVESCO MULTI ASSET INCOME FD CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 175 Units @10.0000						
11/30/2018	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @45.7500						
11/28/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	1,750.01	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 149 Units @11.7600						
* MUTUAL FUNDS SUBTOTAL *			\$5,075.65	\$27.50	\$0.00	\$27.50		\$23.39
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
11/27/2018	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	4.94	0.00	4.94	85.0	4.20
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
11/27/2018	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	4.94	0.00	4.94	85.0	4.20
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.88	\$0.00	\$9.88		\$8.40
*** BROKER TRANSACTIONS TOTAL ***			\$5,075.65	\$37.38	\$0.00	\$37.38		\$31.79



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## BROKER COMMISSION TOTALS

Broker Transactions	\$31.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$64.50
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$96.29</b>
<b>Year-to-date Earnings</b>	<b>\$115,448.85</b>