

COMMISSION STATEMENT

September 15, 2018

 RALPH BURZYNSKI-D368
 634 South Cook Street

 BROKER #: D368
 BRANCH: D12

Barrington, IL 60010

COMMISSION STATEMENT for RALPH BURZYNSKI-D368

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/27/2018 (None)	VARIOUS,	MF BRIGHT DIRECTIONS	B	0.00	96.86	0.00	96.86	90.0	87.17
08/27/2018 00082450556	LAGUA, LERMA	MF THE GROWTH FUND OF AMERICA-A	B	350.00	14.88	0.00	14.88	90.0	13.39
08/28/2018 00083068744	ROVEY, DEREK	MF AMCAP FUND-A	B	80.00	2.20	0.00	2.20	90.0	1.98
08/28/2018 00083068744	ROVEY, DEREK	MF NEW PERSPECTIVE FUND-A	B	120.00	3.30	0.00	3.30	90.0	2.97
08/28/2018 00083068744	ROVEY, DEREK	MF THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75	90.0	2.48
08/27/2018 00084075363	STRAMA, THOMAS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	90.0	2.25
09/04/2018 00086748684	JOSE, SMITHA	MF AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
09/04/2018 00086748684	JOSE, SMITHA	MF THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
09/04/2018 01481163283	JAMES G BRYANT,	MF FIDELITY ADV STRATEGIC INCOME	B	500.00	5.00	0.00	5.00	90.0	4.50
09/04/2018 04000149463	MILLBURG, JUSTIN	MF AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
09/04/2018 04000205839	ERNEST W CAMERON	MF AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
08/27/2018 04000771561	IL/TOD	MF AMERICAN BALANCED FUND-C	B	550.00	5.50	0.00	5.50	90.0	4.95
08/27/2018 04000771593	LAGUA, GERRY	MF THE GROWTH FUND OF AMERICA-A	B	450.00	22.50	0.00	22.50	90.0	20.25
08/30/2018 04001147999	IL/TOD	MF INVESTMENT COMPANY OF AMERICA	B	200.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				\$2,750.00	\$160.99	\$0.00	\$160.99		\$144.89
PRODUCT CATEGORY: VARIABLE ANNUITIES									
08/27/2018 (None)	FIORITO, KAREN	VA NATIONWIDE	B	100.00	5.00	0.00	5.00	90.0	4.50
08/29/2018 (None)	BLUM, CAROL	VA NATIONWIDE	B	35,744.46	446.81	0.00	446.81	90.0	402.13
08/31/2018 (None)	NYDAM, MICHAEL	VA NATIONWIDE	B	105.05	1.05	0.00	1.05	90.0	0.95
09/04/2018 (None)	BRUNS, CHRISTOPHER	VA NATIONWIDE	B	1,076.54	24.76	0.00	24.76	90.0	22.28
09/04/2018 (None)	NELSON, AMBER	VA NATIONWIDE	B	462.88	10.65	0.00	10.65	90.0	9.59
09/05/2018 (None)	CARLSON MOZAS, DANIELA	VA NATIONWIDE	B	75.00	2.63	0.00	2.63	90.0	2.37
09/05/2018 (None)	HOWARD, DARREN	VA NATIONWIDE	B	500.00	11.25	0.00	11.25	90.0	10.13
09/05/2018 (None)	BRENNAN, TERRANCE	VA NATIONWIDE	B	900.73	11.26	0.00	11.26	90.0	10.13
09/05/2018 (None)	COULTAS, CHRISTOPHER	VA NATIONWIDE	B	612.08	7.66	0.00	7.66	90.0	6.89
09/07/2018 (None)	CARLSON, DEBORAH	VA NATIONWIDE	B	40.00	0.40	0.00	0.40	90.0	0.36
* VARIABLE ANNUITIES SUBTOTAL *				\$39,616.74	\$521.47	\$0.00	\$521.47		\$469.33

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/04/2018	00063605904	LEHMANN, MARGARET	MFT THE INVESTMENT COMPANY OF	B	0.00	1.70	0.00	1.70	90.0	1.53
09/04/2018	00081144678	TILLERY, SHIRLEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.50	0.00	2.50	90.0	2.25
09/04/2018	00082450556	LAGUA, LERMA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.10	0.00	12.10	90.0	10.89
09/04/2018	00082621911	PASQUINI, ANTHONY	MFT THE INVESTMENT COMPANY OF	B	0.00	1.47	0.00	1.47	90.0	1.32
09/04/2018	00082742596	GOWIN, DEBORAH	MFT THE INVESTMENT COMPANY OF	B	0.00	2.53	0.00	2.53	90.0	2.28
09/04/2018	00082742596	GOWIN, DEBORAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.45	0.00	2.45	90.0	2.21
09/04/2018	00082742679	IRWIN, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.35	0.00	2.35	90.0	2.12
09/04/2018	00082742679	IRWIN, JOHN	MFT THE INVESTMENT COMPANY OF	B	0.00	1.86	0.00	1.86	90.0	1.67
09/04/2018	00082908244	RICHTER, PAUL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.12	0.00	6.12	90.0	5.51
09/04/2018	00083068744	ROVEY, DEREK	MFT AMCAP FUND-A	B	0.00	16.00	0.00	16.00	90.0	14.40
09/04/2018	00083068744	ROVEY, DEREK	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.38	0.00	14.38	90.0	12.94
09/04/2018	00083068744	ROVEY, DEREK	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	30.29	0.00	30.29	90.0	27.26
09/04/2018	00083325737	NEFF, PETER	MFT THE INVESTMENT COMPANY OF	B	0.00	13.46	0.00	13.46	90.0	12.11
09/04/2018	00083375577	LEHMANN, DANIEL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.53	0.00	2.53	90.0	2.28
09/04/2018	00083381688	LEHMANN, MARGARET	MFT AMCAP FUND-A	B	0.00	3.99	0.00	3.99	90.0	3.59
09/04/2018	00083381688	LEHMANN, MARGARET	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.21	0.00	5.21	90.0	4.69
09/04/2018	00083453207	KOLMAN, KIMBERLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.50	0.00	16.50	90.0	14.85
09/04/2018	00083646820	SCHEIDT, MCHAEAL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.32	0.00	4.32	90.0	3.89
09/04/2018	00083881364	NORTHROP, TARA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	90.0	1.21
09/04/2018	00084075363	STRAMA, THOMAS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.69	0.00	6.69	90.0	6.02
09/04/2018	00085180395	ACCETTURA,	MFT AMERICAN BALANCED FUND-A	B	0.00	18.88	0.00	18.88	90.0	16.99
09/04/2018	00085180395	ACCETTURA,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.19	0.00	11.19	90.0	10.07
09/04/2018	00085180395	ACCETTURA,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.07	0.00	8.07	90.0	7.26
09/04/2018	00085347158	SMITH, ANNE	MFT THE INVESTMENT COMPANY OF	B	0.00	1.61	0.00	1.61	90.0	1.45
09/04/2018	00085347163	SMITH, ANNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.75	0.00	0.75	90.0	0.68
09/04/2018	00085376218	NEUMANN, ARLENE	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	17.59	0.00	17.59	90.0	15.83
09/04/2018	00085376218	NEUMANN, ARLENE	MFT THE INVESTMENT COMPANY OF	B	0.00	6.67	0.00	6.67	90.0	6.00
09/04/2018	00086533261	WILLIAMS, ARIEL	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.43	0.00	1.43	90.0	1.29
09/04/2018	00086533261	WILLIAMS, ARIEL	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.90	0.00	0.90	90.0	0.81
09/04/2018	00086533261	WILLIAMS, ARIEL	MFT NEW PERSPECTIVE FUND-529A	B	0.00	1.36	0.00	1.36	90.0	1.22
09/04/2018	00086533261	WILLIAMS, ARIEL	MFT THE BOND FUND OF AMERICA-529A	B	0.00	0.86	0.00	0.86	90.0	0.77
09/04/2018	00086533261	WILLIAMS, ARIEL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.98	0.00	0.98	90.0	0.88
09/04/2018	00086748684	JOSE, SMITHA	MFT AMCAP FUND-C	B	0.00	26.71	0.00	26.71	90.0	24.04
09/04/2018	00086748684	JOSE, SMITHA	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	28.63	0.00	28.63	90.0	25.77
09/04/2018	00086823152	PASQUINI,	MFT AMCAP FUND-A	B	0.00	1.36	0.00	1.36	90.0	1.22
09/04/2018	00088318828	CERVONE, GUY	MFT AMCAP FUND-C	B	0.00	15.36	0.00	15.36	90.0	13.82
09/04/2018	00089222882	CAMERON, NICOLE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.58	0.00	2.58	90.0	2.32
09/04/2018	00089241426	CERVONE, DONALD	MFT AMERICAN BALANCED FUND-C	B	0.00	5.77	0.00	5.77	90.0	5.19
09/04/2018	00089241426	CERVONE, DONALD	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	6.09	0.00	6.09	90.0	5.48
09/04/2018	00089365704	KSEPKA, DONALD	MFT AMCAP FUND-C	B	0.00	188.20	0.00	188.20	90.0	169.38
09/04/2018	00089365704	KSEPKA, DONALD	MFT AMERICAN BALANCED FUND-C	B	0.00	53.11	0.00	53.11	90.0	47.80
09/04/2018	00089365713	NAPLES, HARMONY	MFT AMERICAN BALANCED FUND-C	B	0.00	28.47	0.00	28.47	90.0	25.62
09/04/2018	00089411928	PIKE, CLAUDIA	MFT AMERICAN BALANCED FUND-C	B	0.00	36.91	0.00	36.91	90.0	33.22

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09/04/2018 00089413355	PIKE, CLAUDIA	MFT AMERICAN BALANCED FUND-C	B	0.00	108.60	0.00	108.60	90.0	97.74
09/04/2018 00089413355	PIKE, CLAUDIA	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	30.55	0.00	30.55	90.0	27.50
09/04/2018 00800002235	NEFF, PETER	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.08	0.00	15.08	90.0	13.57
09/10/2018 01454909522	BENNING, ERIC	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	6.02	0.00	6.02	90.0	5.42
09/10/2018 01456626793	BENNING, MATTHEW	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	3.53	0.00	3.53	90.0	3.18
09/10/2018 01486413642	DELVESCOVO, DAVID	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	6.17	0.00	6.17	90.0	5.55
09/10/2018 01486413642	DELVESCOVO, DAVID	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.68	0.00	6.68	90.0	6.01
09/10/2018 01490021787	DELVESCOVO,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	6.80	0.00	6.80	90.0	6.12
09/10/2018 01490021795	DELVESCOVO, DAVID	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	4.49	0.00	4.49	90.0	4.04
09/10/2018 01490478359	ARTHUR J BLOOM,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	4.37	0.00	4.37	90.0	3.93
09/10/2018 01490723846	ROVEY, ROBERT	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	12.64	0.00	12.64	90.0	11.38
09/10/2018 03900219136	AGUSTIN, LIGAYA	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.04	0.00	0.04	90.0	0.04
09/04/2018 04000143268	KOLMAN, KIMBERLY	MFT AMERICAN BALANCED FUND-C	B	0.00	170.52	0.00	170.52	90.0	153.47
09/04/2018 04000149463	MILLBURG, JUSTIN	MFT AMERICAN BALANCED FUND-C	B	0.00	7.55	0.00	7.55	90.0	6.80
09/04/2018 04000151627	IL/TOD	MFT AMERICAN BALANCED FUND-C	B	0.00	27.13	0.00	27.13	90.0	24.42
09/04/2018 04000151627	IL/TOD	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	28.17	0.00	28.17	90.0	25.35
09/04/2018 04000200681	DARREN L HOWARD	MFT GROWTH PORTFOLIO-C	B	0.00	31.18	0.00	31.18	90.0	28.06
09/04/2018 04000205839	ERNEST W CAMERON	MFT AMERICAN BALANCED FUND-C	B	0.00	2.51	0.00	2.51	90.0	2.26
09/04/2018 04000492222	IL/TOD	MFT AMERICAN BALANCED FUND-C	B	0.00	0.09	0.00	0.09	90.0	0.08
09/04/2018 04000492222	IL/TOD	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	0.10	0.00	0.10	90.0	0.09
09/04/2018 04000771593	LAGUA, GERRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.72	0.00	1.72	90.0	1.55
09/04/2018 04001038742	HOWARD, ELIZABETH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.09	0.00	2.09	90.0	1.88
09/04/2018 04001038742	HOWARD, ELIZABETH	MFT THE INVESTMENT COMPANY OF	B	0.00	2.09	0.00	2.09	90.0	1.88
09/04/2018 04001038750	HOWARD, ELIZABETH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.69	0.00	2.69	90.0	2.42
09/04/2018 04001038750	HOWARD, ELIZABETH	MFT THE INVESTMENT COMPANY OF	B	0.00	2.69	0.00	2.69	90.0	2.42
09/04/2018 04001114260	JOHNSON, AIDAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	90.0	0.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,094.78	\$0.00	\$1,094.78		\$985.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
08/30/2018 (None)	FISHMAN, MARYANNE	VAT AMERICAN GENERAL	B	0.00	107.04	0.00	107.04	90.0	96.34
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$107.04	\$0.00	\$107.04		\$96.34
*** DIRECT TRANSACTIONS TOTAL ***				\$42,366.74	\$1,884.28	\$0.00	\$1,884.28		\$1,695.86

BROKER COMMISSION TOTALS

Broker Transactions	\$1,695.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,695.86
Year-to-date Commissions	\$40,817.29