



All Companies

12/28/2018  
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# COMMISSION STATEMENT January 02, 2019

JON D. JOHNSON  
6630 Dark Canyon Road

BROKER #: 9604  
BRANCH: HOME OFFICE

Rapid City, SD 57702-

## COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
12/14/2018	YOUNG, DALE	-OPPENHEIMER FUNDS	B	88.68	4.20	0.00	4.20	90.0	3.78
12/14/2018	WRIGHT, EDWARD J	-OPPENHEIMER FUNDS	B	146.75	6.97	0.00	6.97	90.0	6.27
12/20/2018	000000000946626 KELSON, MELISSA I.	TEMPLETON CHINA WORLD FUND - A	B	50.00	2.50	0.00	2.50	90.0	2.25
12/20/2018	000000001127897 KELSON, MELISSA I.	FRANKLIN MUTUAL FINCL SERVICES FD C B		50.00	2.50	0.00	2.50	90.0	2.25
12/20/2018	000000001632482 JOHNSEN, LACEY FRK SM CAP GRWTH FND II - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
12/17/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	4.40	0.00	4.40	90.0	3.96
12/20/2018	000000006924512 JOHNSEN, LACEY MUTUAL DISC FND - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
12/20/2018	000000006951519 PARKS, JUDY	MUTUAL DISC FND - CL A	B	200.00	6.00	0.00	6.00	90.0	5.40
12/20/2018	000000007699440 FOSSEN, NAOMI J.	FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
12/17/2018	000000008622413 KILEY, JACOB L.	MUTUAL DISC FND - CL A	B	114.50	4.58	0.00	4.58	90.0	4.12
12/20/2018	000000008776484 FOSSEN, NAOMI J.	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
12/17/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	4.40	0.00	4.40	90.0	3.96
12/17/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
12/20/2018	000015813753367 JOHNSEN, LACEY	FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18
12/17/2018	000015815190438 KILEY, JACOB L.	FRANKLIN RISING DIVIDEND FD CL I	B	114.50	4.58	0.00	4.58	90.0	4.12
12/17/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD CL I	B	110.00	4.40	0.00	4.40	90.0	3.96
12/20/2018	000019818141653 FOSSEN, NAOMI J.	FRANKLIN SMALL CAP GROWTH FD CL I	B	114.00	5.70	0.00	5.70	90.0	5.13
12/07/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	275.67	2.76	0.00	2.76	90.0	2.48
12/07/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	747.27	7.47	0.00	7.47	90.0	6.72

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/20/2018	000028212528031 FOSSEN, NAOMI J.	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
12/20/2018	000028212612217 JOHNSON, LACEY M.	FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13
12/07/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	249.93	10.62	0.00	10.62	90.0	9.56
12/17/2018	000060177587736 KILEY, JACOB L.	FRANKLIN GROWTH FD CL I	B	114.50	4.58	0.00	4.58	90.0	4.12
12/20/2018	000060177612639 KELSON, MELISSA I.	FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	000080193156393 KILEY, JACOB L.	FRANKLIN DYNATECH FD CL I	B	114.50	4.58	0.00	4.58	90.0	4.12
12/20/2018	000080193171242 KELSON, MELISSA I.	FRANKLIN DYNATECH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
12/07/2018	00035825027 FOWLER, RANDY S.	IVY GLOBAL EQUITY INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
12/07/2018	00035825027 FOWLER, RANDY S.	IVY HIGH INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
12/07/2018	00035825032 FOWLER, MARY B.	IVY ASSET STRATEGY A	B	50.00	2.25	0.00	2.25	90.0	2.03
12/07/2018	00035876969 STELLWAGEN, JAY	IVY BALANCED A	B	2,917.00	58.34	0.00	58.34	90.0	52.51
12/10/2018	00035926510 ROSETTER, LUANN	IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
12/10/2018	00035926510 ROSETTER, LUANN	IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
12/10/2018	00035926510 ROSETTER, LUANN	IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
12/10/2018	00035926510 ROSETTER, LUANN	IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
12/17/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
12/17/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
12/17/2018	00063960504 LECY, DOUG	AMERICAN FUNDS INCOME FD OF AMERI	B	114.00	2.28	0.00	2.28	90.0	2.05
12/17/2018	00063960504 LECY, DOUG	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
12/17/2018	00069075893 BARBER, PEGGY	AMERICAN FUNDS BD FD OF AMER FD	B	50.00	1.50	0.00	1.50	90.0	1.35
12/17/2018	00069075893 BARBER, PEGGY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
12/20/2018	00073988659 KELLER, JANELLE	AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	2.81	0.00	2.81	90.0	2.53
12/20/2018	00073988659 KELLER, JANELLE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.75	0.00	3.75	90.0	3.38
12/20/2018	00073988659 KELLER, JANELLE	AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.81	0.00	2.81	90.0	2.53
12/20/2018	00073990739 KELLER, JANELLE	AMERICAN FUNDS BALANCED FD	B	250.00	9.38	0.00	9.38	90.0	8.44
12/17/2018	00074503551 WELCH, RANDAL	AMERICAN FUNDS CAPITAL WORLD GROWT	B	2,650.00	99.38	0.00	99.38	90.0	89.44
12/17/2018	00074503551 WELCH, RANDAL	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	00074503551 WELCH, RANDAL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	2,650.00	99.38	0.00	99.38	90.0	89.44
12/17/2018	00074503551 WELCH, RANDAL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
12/21/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS AMCAP FD	B	75.00	1.50	0.00	1.50	90.0	1.35
12/21/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	60.00	1.20	0.00	1.20	90.0	1.08
12/21/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	336.00	6.72	0.00	6.72	90.0	6.05
12/21/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL	INVES B	45.00	0.90	0.00	0.90	90.0	0.81
12/21/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL	INVES B	288.00	5.76	0.00	5.76	90.0	5.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B	144.00	2.88	0.00	2.88	90.0	2.59
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B	30.00	0.60	0.00	0.60	90.0	0.54
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B	144.00	2.88	0.00	2.88	90.0	2.59
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD B	45.00	0.90	0.00	0.90	90.0	0.81
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD B	144.00	2.88	0.00	2.88	90.0	2.59
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD B	45.00	0.90	0.00	0.90	90.0	0.81
12/21/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD B	144.00	2.88	0.00	2.88	90.0	2.59
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD B	45.64	0.91	0.00	0.91	90.0	0.82
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD B	45.64	0.91	0.00	0.91	90.0	0.82
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD B	25.36	0.51	0.00	0.51	90.0	0.46
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD B	25.36	0.51	0.00	0.51	90.0	0.46
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER B	15.22	0.30	0.00	0.30	90.0	0.27
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER B	15.22	0.30	0.00	0.30	90.0	0.27
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A B	15.21	0.30	0.00	0.30	90.0	0.27
12/21/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A B	15.21	0.30	0.00	0.30	90.0	0.27
12/17/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD B	76.25	2.10	0.00	2.10	90.0	1.89
12/17/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD B	76.25	2.10	0.00	2.10	90.0	1.89
12/17/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A B	76.25	2.10	0.00	2.10	90.0	1.89
12/14/2018	00081152428	LECY, ANN	AMERICAN FUNDS AMCAP FD B	115.00	2.30	0.00	2.30	90.0	2.07
12/14/2018	00081152428	LECY, ANN	AMERICAN FUNDS CAPITAL WORLD GROWT B	114.00	2.28	0.00	2.28	90.0	2.05
12/14/2018	00081152428	LECY, ANN	AMERICAN FUNDS EURO PACIFIC GROWT B	114.00	2.28	0.00	2.28	90.0	2.05
12/14/2018	00081152428	LECY, ANN	AMERICAN FUNDS INCOME FD OF AMERI B	115.00	2.30	0.00	2.30	90.0	2.07
12/17/2018	00081201789	AKERS, DENICE	NEW WORLD FUND-A B	1,790.00	35.80	0.00	35.80	90.0	32.22
12/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL INVES B	76.25	2.10	0.00	2.10	90.0	1.89
12/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI B	76.25	2.10	0.00	2.10	90.0	1.89
12/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD B	76.25	2.10	0.00	2.10	90.0	1.89
12/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	00081782572	LEWIS, BRENDA R.	AMERICAN FUNDS CAPITAL WORLD GROWT B	350.00	17.50	0.00	17.50	90.0	15.75
12/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0	1.24

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/10/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.38	0.00	1.38	90.0	1.24
12/20/2018	00082638926 YAMASHITA, MARK/EMILY	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	8.50	0.00	8.50	90.0	7.65
12/10/2018	00082960130 REGIER, STEPHANIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	2.13	0.00	2.13	90.0	1.92
12/10/2018	00082960130 REGIER, STEPHANIE	AMERICAN FUNDS EURO PACIFIC GROWT B		50.00	2.13	0.00	2.13	90.0	1.92
12/10/2018	00082960130 REGIER, STEPHANIE	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.13	0.00	2.13	90.0	1.92
12/10/2018	00082960172 REGIER, DARYL	AMERICAN FUNDS AMCAP FD B		50.00	2.13	0.00	2.13	90.0	1.92
12/10/2018	00082960172 REGIER, DARYL	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	2.13	0.00	2.13	90.0	1.92
12/10/2018	00082960172 REGIER, DARYL	AMERICAN FUNDS SMALL CAP WORLD FD B		50.00	2.13	0.00	2.13	90.0	1.92
12/10/2018	00084627739 GESINGER, BLAINE	AMERICAN FUNDS BALANCED FD B		50.00	1.00	0.00	1.00	90.0	0.90
12/10/2018	00084627739 GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.00	0.00	1.00	90.0	0.90
12/18/2018	00084775331 GESINGER, BLAINE	AMERICAN FUNDS AMERICAN MUTUAL FD B		100.00	2.00	0.00	2.00	90.0	1.80
12/18/2018	00084775331 GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD B		100.00	2.00	0.00	2.00	90.0	1.80
12/18/2018	00084775331 GESINGER, BLAINE	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
12/18/2018	00084775331 GESINGER, BLAINE	AMERICAN FUNDS NEW PERSPECTIVE FD B		100.00	2.00	0.00	2.00	90.0	1.80
12/18/2018	00084775331 GESINGER, BLAINE	NEW WORLD FUND-A B		100.00	2.00	0.00	2.00	90.0	1.80
12/21/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS AMCAP FD B		30.00	0.60	0.00	0.60	90.0	0.54
12/21/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS AMCAP FD B		440.00	8.80	0.00	8.80	90.0	7.92
12/21/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD B		15.00	0.30	0.00	0.30	90.0	0.27
12/21/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD B		220.00	4.40	0.00	4.40	90.0	3.96
12/21/2018	00084818800 DIAMOND, KARI	NEW WORLD FUND-A B		30.00	0.60	0.00	0.60	90.0	0.54
12/21/2018	00084818800 DIAMOND, KARI	NEW WORLD FUND-A B		440.00	8.80	0.00	8.80	90.0	7.92
12/17/2018	00085174791 RUMPF, CHRISTOPHER	AMERICAN FUNDS AMCAP FD B		112.50	3.09	0.00	3.09	90.0	2.78
12/17/2018	00085174791 RUMPF, CHRISTOPHER	AMERICAN FUNDS BALANCED FD B		112.50	3.09	0.00	3.09	90.0	2.78
12/17/2018	00085174791 RUMPF, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		112.50	3.09	0.00	3.09	90.0	2.78
12/17/2018	00085174791 RUMPF, CHRISTOPHER	AMERICAN FUNDS SMALL CAP WORLD FD B		112.50	3.09	0.00	3.09	90.0	2.78
12/17/2018	00085174814 RUMPF, LISA	AMERICAN FUNDS AMERICAN MUTUAL FD B		50.00	1.38	0.00	1.38	90.0	1.24
12/17/2018	00085322306 RUMPF/AUTH PER, LISA	AMERICAN FUNDS AMERICAN MUTUAL FD B		150.00	4.13	0.00	4.13	90.0	3.72
12/10/2018	00085874425 PFISTERER, TIMOTHY/SARA	AMCAP FUND-529A B		3,500.00	131.25	0.00	131.25	90.0	118.13
12/10/2018	00085874463 PFISTERER, TIMOTHY/SARA	NEW WORLD FUND-529A B		5,000.00	187.50	0.00	187.50	90.0	168.75
12/20/2018	00085956994 MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD B		76.39	2.86	0.00	2.86	90.0	2.57
12/20/2018	00085956994 MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT B		76.39	2.86	0.00	2.86	90.0	2.57
12/20/2018	00085956994 MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI B		76.39	2.86	0.00	2.86	90.0	2.57
12/17/2018	00086433895 WORLAND, TRICIA	AMERICAN FUNDS AMERICAN MUTUAL FD B		100.00	2.75	0.00	2.75	90.0	2.48
12/17/2018	00086433895 WORLAND, TRICIA	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.75	0.00	2.75	90.0	2.48

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/17/2018	00086433895	WORLAND, TRICIA		AMERICAN FUNDS GROWTH FUND OF AMERI B	100.00	2.75	0.00	2.75	90.0 2.48
12/21/2018	00086593760	DARROW, LINETTE		AMCAP FUND-C B	100.67	1.01	0.00	1.01	90.0 0.91
12/21/2018	00086593760	DARROW, LINETTE		AMCAP FUND-C B	100.67	1.01	0.00	1.01	90.0 0.91
12/20/2018	00086689358	YAMASHITA, SUZANNE		AMERICAN FUNDS WA MUTUAL INVES B	150.00	6.38	0.00	6.38	90.0 5.74
12/10/2018	00086734816	JACOBS, COREY		AMERICAN MUTUAL FUND-C B	81.50	0.82	0.00	0.82	90.0 0.74
12/10/2018	00086734816	JACOBS, COREY		CAPITAL INCOME BUILDER-C B	81.50	0.82	0.00	0.82	90.0 0.74
12/10/2018	00086734849	JACOBS, CONNIE		AMERICAN FUNDS AMCAP FD B	429.00	16.09	0.00	16.09	90.0 14.48
12/10/2018	00086734849	JACOBS, CONNIE		AMERICAN FUNDS CAPITAL WORLD GROWT B	429.00	16.09	0.00	16.09	90.0 14.48
12/17/2018	00086832111	MARCOV, ERIK		AMERICAN FUNDS FUNDAMENTAL INVES B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832111	MARCOV, ERIK		AMERICAN FUNDS INCOME FD OF AMERI B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832111	MARCOV, ERIK		AMERICAN FUNDS INVESTMENT COMP OF A B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832111	MARCOV, ERIK		AMERICAN FUNDS SMALL CAP WORLD FD B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832145	MARCOV, SARAH F.		AMERICAN FUNDS CAPITAL INCOME BUILD B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832145	MARCOV, SARAH F.		AMERICAN FUNDS GROWTH FUND OF AMERI B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832145	MARCOV, SARAH F.		AMERICAN FUNDS NEW PERSPECTIVE FD B	114.58	3.15	0.00	3.15	90.0 2.84
12/17/2018	00086832145	MARCOV, SARAH F.		NEW WORLD FUND-A B	114.58	3.15	0.00	3.15	90.0 2.84
12/18/2018	00086842890	BROWN, TAMI		THE GROWTH FUND OF AMERICA-C B	325.00	3.25	0.00	3.25	90.0 2.93
12/20/2018	00086842890	BROWN, TAMI		THE GROWTH FUND OF AMERICA-C B	125.00	1.25	0.00	1.25	90.0 1.13
12/20/2018	00087062366	KRANTZ/SHOWALTER,		WASHINGTON MUTUAL INVESTORS FUND-52 B	50.00	2.50	0.00	2.50	90.0 2.25
		SANDRA L/KAMDEN							
12/20/2018	00087062378	SHOWALTER, DELANIE		FUNDAMENTAL INVESTORS-529A B	50.00	2.50	0.00	2.50	90.0 2.25
12/17/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS AMCAP FD B	55.00	2.34	0.00	2.34	90.0 2.11
12/17/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS CAPITAL WORLD GROWT B	55.00	2.34	0.00	2.34	90.0 2.11
12/17/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS GROWTH FUND OF AMERI B	55.00	2.34	0.00	2.34	90.0 2.11
12/17/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS WA MUTUAL INVES B	55.00	2.34	0.00	2.34	90.0 2.11
12/17/2018	00087295220	DELES DERNIER,		AMERICAN FUNDS AMCAP FD B	100.00	4.25	0.00	4.25	90.0 3.83
		DOUGLAS							
12/17/2018	00087295220	DELES DERNIER,		AMERICAN FUNDS GROWTH FUND OF AMERI B	100.00	4.25	0.00	4.25	90.0 3.83
		DOUGLAS							
12/17/2018	00087295220	DELES DERNIER,		AMERICAN FUNDS WA MUTUAL INVES B	100.00	4.25	0.00	4.25	90.0 3.83
		DOUGLAS							
12/17/2018	00087295220	DELES DERNIER,		GLOBAL BALANCED FUND-A B	100.00	4.25	0.00	4.25	90.0 3.83
		DOUGLAS							
12/17/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS AMCAP FD B	50.00	2.50	0.00	2.50	90.0 2.25
12/17/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	2.50	0.00	2.50	90.0 2.25
12/17/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	2.50	0.00	2.50	90.0 2.25
12/17/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS SMALL CAP WORLD FD B	50.00	2.50	0.00	2.50	90.0 2.25
12/17/2018	00087391906	WILSON, DONNELLE		GLOBAL BALANCED FUND-C B	50.00	0.50	0.00	0.50	90.0 0.45
12/17/2018	00087391906	WILSON, DONNELLE		THE GROWTH FUND OF AMERICA-C B	50.00	0.50	0.00	0.50	90.0 0.45
12/10/2018	00087396195	DAHL, DAVID		AMERICAN FUNDS FUNDAMENTAL INVES B	53.00	1.99	0.00	1.99	90.0 1.79

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/10/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B		53.00	1.99	0.00	1.99	90.0	1.79
12/10/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
12/10/2018	00087396195 DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
12/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
12/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT B		65.00	2.44	0.00	2.44	90.0	2.20
12/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
12/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	200.00	8.50	0.00	8.50	90.0	7.65
12/17/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD GROWT B		200.00	8.50	0.00	8.50	90.0	7.65
12/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	348.00	9.57	0.00	9.57	90.0	8.61
12/12/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	2,000.00	55.00	0.00	55.00	90.0	49.50
12/14/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	2,000.00	55.00	0.00	55.00	90.0	49.50
12/18/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	2,000.00	55.00	0.00	55.00	90.0	49.50
12/21/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	1,200.00	33.00	0.00	33.00	90.0	29.70
12/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS GROWTH FUND OF AMERI B		348.00	9.57	0.00	9.57	90.0	8.61
12/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	348.00	9.57	0.00	9.57	90.0	8.61
12/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	348.00	9.57	0.00	9.57	90.0	8.61
12/12/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	2,000.00	55.00	0.00	55.00	90.0	49.50
12/14/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	2,000.00	55.00	0.00	55.00	90.0	49.50
12/18/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	2,000.00	55.00	0.00	55.00	90.0	49.50
12/21/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	1,200.00	33.00	0.00	33.00	90.0	29.70
12/10/2018	00087666845 UNTIL AGE 21, WY/UTMA	AMERICAN FUNDS BALANCED FD	B	400.00	20.00	0.00	20.00	90.0	18.00
12/10/2018	00087666845 UNTIL AGE 21, WY/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	400.00	20.00	0.00	20.00	90.0	18.00
12/17/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00	90.0	15.30
12/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS EURO PACIFIC	GROWT B	112.00	2.24	0.00	2.24	90.0	2.02
12/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INCOME FD OF	AMERI B	112.00	2.24	0.00	2.24	90.0	2.02
12/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INVESTMENT COMP OF A B		113.00	2.26	0.00	2.26	90.0	2.03
12/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	113.00	2.26	0.00	2.26	90.0	2.03
12/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24	90.0	2.02
12/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24	90.0	2.02
12/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS CAPITAL WORLD GROWT B		113.00	2.26	0.00	2.26	90.0	2.03
12/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26	90.0	2.03
12/10/2018	00088093369 FRERICH, CORA	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/10/2018	00088267226	KEFFELER, TRACY L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
12/17/2018	00088320633	PACHL/AUTH PER, JESSICA L.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
12/17/2018	00088397974	LEWIS, MICAH	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
12/20/2018	00088419853	SCHMIDT, ROSS L.	AMERICAN FUNDS BALANCED FD	B	200.00	8.50	0.00	8.50	90.0	7.65
12/10/2018	00088502628	SUTLIFF-GROSS, SABRINA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	150.00	7.50	0.00	7.50	90.0	6.75
12/17/2018	00088504226	SUTLIFF-GROSS, SABRINA	CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
12/17/2018	00088565229	LIMKE, JESSICA	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	8.50	0.00	8.50	90.0	7.65
12/17/2018	00088605154	LYONS, CAMERON	WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	4.25	0.00	4.25	90.0	3.83
12/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618305	LORD, TIMOTHY R.	NEW WORLD FUND-A	B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF	AMERI B	114.50	4.29	0.00	4.29	90.0	3.86
12/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.50	4.29	0.00	4.29	90.0	3.86
12/17/2018	00088631279	FLOTTMEYER, KEVIN A.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	125.00	6.25	0.00	6.25	90.0	5.63
12/17/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
12/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
12/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS EURO PACIFIC	GROWT B	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
12/17/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.00	2.14	0.00	2.14	90.0	1.93
12/17/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
12/17/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.00	2.14	0.00	2.14	90.0	1.93
12/17/2018	00088934030	HAFFNER, RONALD L.	AMERICAN MUTUAL FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00088934030	HAFFNER, RONALD L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00088934030	HAFFNER, RONALD L.	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00088934030	HAFFNER, RONALD L.	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/17/2018	00088934072	HAFFNER, ELLEN		AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00088934072	HAFFNER, ELLEN		AMERICAN MUTUAL FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00088934072	HAFFNER, ELLEN		NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00088934072	HAFFNER, ELLEN		SMALLCAP WORLD FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/11/2018	00089007351	WUDEL, KATELYN J.		WASHINGTON MUTUAL INVESTORS FUND-C	B	1,900.00	19.00	0.00	19.00	90.0	17.10
12/17/2018	00089019546	HOLLEARN, JAMES R.		AMERICAN FUNDS BALANCED FD	B	100.00	4.25	0.00	4.25	90.0	3.83
12/17/2018	00089019593	HOLLEARN, SEAN R.		AMERICAN FUNDS AMCAP FD	B	57.00	2.42	0.00	2.42	90.0	2.18
12/17/2018	00089019593	HOLLEARN, SEAN R.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	56.00	2.38	0.00	2.38	90.0	2.14
12/17/2018	00089019593	HOLLEARN, SEAN R.		AMERICAN FUNDS CAPITAL INCOME BUILD	B	56.00	2.38	0.00	2.38	90.0	2.14
12/17/2018	00089019593	HOLLEARN, SEAN R.		AMERICAN FUNDS SMALL CAP WORLD FD	B	56.00	2.38	0.00	2.38	90.0	2.14
12/17/2018	00089019699	HOLLEARN, CHRISTINA M.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	00089019699	HOLLEARN, CHRISTINA M.		AMERICAN FUNDS INCOME FD OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
12/17/2018	00089123660	TEN EYCK, SHEILA T.		AMERICAN FUNDS INCOME FD OF AMERI	B	75.00	2.81	0.00	2.81	90.0	2.53
12/17/2018	00089123704	TEN EYCK, JOHN C.		AMERICAN FUNDS AMCAP FD	B	75.00	2.81	0.00	2.81	90.0	2.53
12/18/2018	00089123815	KULESA, CASSIDY R.		AMERICAN FUNDS CAPITAL WORLD GROWT	B	81.25	3.45	0.00	3.45	90.0	3.11
12/18/2018	00089123815	KULESA, CASSIDY R.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	81.25	3.45	0.00	3.45	90.0	3.11
12/18/2018	00089123815	KULESA, CASSIDY R.		AMERICAN FUNDS INVESTMENT COMP OF A	B	81.25	3.45	0.00	3.45	90.0	3.11
12/18/2018	00089123815	KULESA, CASSIDY R.		AMERICAN FUNDS NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45	90.0	3.11
12/17/2018	00089163089	CIOCARLAN, CHRISTOPHER J.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51	90.0	1.36
12/17/2018	00089163089	CIOCARLAN, CHRISTOPHER J.		NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	90.0	1.36
12/17/2018	00089163184	CIOCARLAN, CHRISTOPHER J.		AMERICAN FUNDS WA MUTUAL	INVES B	100.00	2.75	0.00	2.75	90.0	2.48
12/17/2018	00089163224	CIOCARLAN, CHRISTOPHER J.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75	90.0	2.48
12/17/2018	00089249484	WILLIAMS, DONALD		AMERICAN FUNDS INCOME FD OF AMERI	B	300.00	8.25	0.00	8.25	90.0	7.43
12/17/2018	00089249484	WILLIAMS, DONALD		AMERICAN FUNDS INCOME FD OF AMERI	B	1,614.55	44.40	0.00	44.40	90.0	39.96
12/17/2018	00089249498	WILLIAMS, GERALDINE		AMERICAN FUNDS BALANCED FD	B	375.00	10.31	0.00	10.31	90.0	9.28
12/17/2018	00089249498	WILLIAMS, GERALDINE		AMERICAN FUNDS BALANCED FD	B	1,614.55	44.40	0.00	44.40	90.0	39.96
12/17/2018	00089296173	WILLIAMS, KEVIN M.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	201.60	5.54	0.00	5.54	90.0	4.99
12/17/2018	00089296173	WILLIAMS, KEVIN M.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	672.00	18.48	0.00	18.48	90.0	16.63
12/17/2018	00089303112	MASSIE, KATEY		AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
12/17/2018	00089303112	MASSIE, KATEY		AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
12/17/2018	00089303112	MASSIE, KATEY		AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93



COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/17/2018	00089303112	MASSIE, KATEY NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
12/17/2018	00089406954	KAUFMAN, EDWARD R. AMERICAN FUNDS 2020 TARGET DATE-A	B	103.35	2.84	0.00	2.84	90.0	2.56
12/17/2018	00089406954	KAUFMAN, EDWARD R. AMERICAN FUNDS 2020 TARGET DATE-A	B	241.15	6.63	0.00	6.63	90.0	5.97
12/18/2018	00089408180	HAAN, LUCAS J. AMERICAN FUNDS SMALL CAP WORLD FD	B	296.00	12.58	0.00	12.58	90.0	11.32
12/20/2018	00089423246	YAMASHITA, MARK M. AMERICAN FUNDS WA MUTUAL	INVES B	75.00	3.19	0.00	3.19	90.0	2.87
12/14/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
12/21/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
12/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
12/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	1.88	0.00	1.88	90.0	1.69
12/17/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	220.00	9.35	0.00	9.35	90.0	8.42
	J.								
12/17/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	33.00	1.40	0.00	1.40	90.0	1.26
	J.								
12/17/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	180.00	7.65	0.00	7.65	90.0	6.89
12/17/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	480.00	20.40	0.00	20.40	90.0	18.36
12/13/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS AMCAP FD	B	27.90	1.40	0.00	1.40	90.0	1.26
	J.								
12/13/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS AMCAP FD	B	27.90	1.40	0.00	1.40	90.0	1.26
	J.								
12/13/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS WA MUTUAL	INVES B	27.90	1.40	0.00	1.40	90.0	1.26
	J.								
12/13/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS WA MUTUAL	INVES B	27.90	1.40	0.00	1.40	90.0	1.26
	J.								
12/17/2018	00089549237	HENRY, STEVEN L. AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089549237	HENRY, STEVEN L. WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089549281	HENRY, NICOLE M. FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089549281	HENRY, NICOLE M. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/17/2018	00089606497	DELES DERNIER, AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	10.63	0.00	10.63	90.0	9.57
	CONNIE								
12/17/2018	00089606506	DELES DERNIER, AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	2.13	0.00	2.13	90.0	1.92
	DOUGLAS								
12/17/2018	00089606506	DELES DERNIER, AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
	DOUGLAS								
12/17/2018	00089621757	MORTON, BRET E. THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
12/17/2018	00089621765	MORTON, LINDA A. AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
12/18/2018	00089679500	KULESA, ADAM M. AMCAP FUND-C	B	109.00	1.09	0.00	1.09	90.0	0.98
12/18/2018	00089679500	KULESA, ADAM M. CAPITAL WORLD GROWTH AND INCOME FUN	B	108.00	1.08	0.00	1.08	90.0	0.97
12/18/2018	00089679500	KULESA, ADAM M. WASHINGTON MUTUAL INVESTORS FUND-C	B	108.00	1.08	0.00	1.08	90.0	0.97

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
12/18/2018	00089896690 HAAN, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	296.00	12.58	0.00	12.58	90.0	11.32
12/17/2018	00089961444 WEISS, CHRIS D.	AMCAP FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
12/17/2018	00089961444 WEISS, CHRIS D.	AMERICAN MUTUAL FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
12/17/2018	00089961444 WEISS, CHRIS D.	NEW WORLD FUND-C	B	116.00	1.16	0.00	1.16	90.0	1.04
12/17/2018	00089961444 WEISS, CHRIS D.	SMALLCAP WORLD FUND-C	B	116.00	1.16	0.00	1.16	90.0	1.04
12/17/2018	00932927612 KENDALL, REBECCA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.38	0.00	1.38	90.0	1.24
12/10/2018	01000170691 WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B		37.50	0.38	0.00	0.38	90.0	0.34
12/20/2018	01000170691 WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B		37.50	0.38	0.00	0.38	90.0	0.34
12/10/2018	01000170691 WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B		37.50	0.38	0.00	0.38	90.0	0.34
12/20/2018	01000170691 WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B		37.50	0.38	0.00	0.38	90.0	0.34
12/10/2018	01000170691 WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
12/20/2018	01000170691 WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
12/10/2018	01000170691 WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
12/20/2018	01000170691 WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
12/04/2018	0100035696 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	125.00	3.75	0.00	3.75	90.0	3.38
12/04/2018	0100035696 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	100.00	3.00	0.00	3.00	90.0	2.70
12/04/2018	0100035707 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	400.00	12.00	0.00	12.00	90.0	10.80
12/03/2018	0100071050 FISCHER, DACIA M.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	87.50	3.50	0.00	3.50	90.0	3.15
12/17/2018	01001342204 DERKSEN, NATHAN P.	INVESCO EMERGING MARKETS EQTY FD-CL B		50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	01001342204 DERKSEN, NATHAN P.	INVESCO VAN KAMPEN G & I FD	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	01001342204 DERKSEN, NATHAN P.	IVK COMSTOCK FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
12/17/2018	01001342204 DERKSEN, NATHAN P.	IVK SMALL CAP GROWTH FUND - CLASS A B		50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	01001396396 BENTLEY, BRANDON	IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	5.00	0.00	5.00	90.0	4.50
12/10/2018	03809167046 WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL B		25.00	0.75	0.00	0.75	90.0	0.68
12/20/2018	03809167046 WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL B		25.00	0.75	0.00	0.75	90.0	0.68
12/10/2018	03809167046 WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
12/20/2018	03809167046 WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
12/10/2018	03809167046 WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	25.00	0.25	0.00	0.25	90.0	0.23
12/20/2018	03809167046 WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	25.00	0.25	0.00	0.25	90.0	0.23
12/10/2018	03809167046 WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
12/20/2018	03809167046 WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
12/10/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	165.00	6.19	0.00	6.19	90.0	5.57
12/10/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS GROWTH FUND OF AMERI B		165.00	6.19	0.00	6.19	90.0	5.57
12/10/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS INCOME FD OF AMERI B		165.00	6.19	0.00	6.19	90.0	5.57
12/10/2018	04000012576 JACOBS, COREY	NEW WORLD FUND-A	B	165.00	6.19	0.00	6.19	90.0	5.57
12/20/2018	04000083040 RADKE, MICHELLE L.	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
12/20/2018	04000083120 RADKE, MICHELLE L.	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
12/20/2018	04000100624 BUREMAN, JANA	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	5.00	0.00	5.00	90.0	4.50
12/17/2018	04000135679 JESSEN, JAMIE N.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/17/2018	04000166931 KAU, LISA M.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.19	0.00	3.19	90.0	2.87

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/17/2018	04000166931 KAU, LISA M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000166931 KAU, LISA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000166931 KAU, LISA M.	AMERICAN FUNDS NEW PERSPECTIVE FD B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000167035 KAU, TIMOTHY	AMERICAN FUNDS BALANCED FD B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000167035 KAU, TIMOTHY	AMERICAN FUNDS FUNDAMENTAL INVES B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000167035 KAU, TIMOTHY	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000167035 KAU, TIMOTHY	NEW WORLD FUND-A B		75.00	3.19	0.00	3.19	90.0	2.87
12/17/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS AMCAP FD B		91.75	3.90	0.00	3.90	90.0	3.51
12/17/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS CAPITAL WORLD GROWT B		91.75	3.90	0.00	3.90	90.0	3.51
12/17/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS INCOME FD OF AMERI B		91.75	3.90	0.00	3.90	90.0	3.51
12/17/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS NEW PERSPECTIVE FD B		91.75	3.90	0.00	3.90	90.0	3.51
12/17/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS EURO PACIFIC GROWT B		114.50	4.87	0.00	4.87	90.0	4.38
12/17/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS FUNDAMENTAL INVES B		114.50	4.87	0.00	4.87	90.0	4.38
12/17/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS GROWTH FUND OF AMERI B		114.50	4.87	0.00	4.87	90.0	4.38
12/17/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS SMALL CAP WORLD FD B		114.50	4.87	0.00	4.87	90.0	4.38
12/10/2018	04000328858 HARTL, CODY M.	AMERICAN FUNDS AMCAP FD B		100.00	5.00	0.00	5.00	90.0	4.50
12/10/2018	04000328858 HARTL, CODY M.	AMERICAN FUNDS BALANCED FD B		100.00	5.00	0.00	5.00	90.0	4.50
12/10/2018	04000328858 HARTL, CODY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	5.00	0.00	5.00	90.0	4.50
12/10/2018	04000328858 HARTL, CODY M.	AMERICAN FUNDS NEW PERSPECTIVE FD B		100.00	5.00	0.00	5.00	90.0	4.50
12/17/2018	04000452410 PACHL, JESSICA/EVE	GROWTH PORTFOLIO-A B		100.00	4.25	0.00	4.25	90.0	3.83
12/21/2018	04000486090 CRILE, JODI	GROWTH PORTFOLIO-A B		458.00	22.90	0.00	22.90	90.0	20.61
12/21/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		114.50	5.73	0.00	5.73	90.0	5.16
12/21/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS SMALL CAP WORLD FD B		114.50	5.73	0.00	5.73	90.0	5.16
12/21/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS WA MUTUAL INVES B		114.50	5.73	0.00	5.73	90.0	5.16
12/21/2018	04000489063 CRILE, JOHN M.	NEW WORLD FUND-A B		114.50	5.73	0.00	5.73	90.0	5.16
12/17/2018	04000669878 KAU, TIMOTHY	GLOBAL GROWTH PORTFOLIO-C B		167.00	1.67	0.00	1.67	90.0	1.50
12/20/2018	04000692266 FIESTER, JONATHAN	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
D.									
12/10/2018	04000804288 MORELAND, CAROLYN	AMERICAN BALANCED FUND-C B		11,000.00	110.00	0.00	110.00	90.0	99.00
E.									
12/12/2018	04000889219 BROWN, DEANNE	AMERICAN FUNDS INCOME FD OF AMERI B		400.00	15.00	0.00	15.00	90.0	13.50
12/20/2018	04000961286 EDDINGTON, TORI A.	AMERICAN FUNDS NEW PERSPECTIVE FD B		100.00	5.00	0.00	5.00	90.0	4.50
12/18/2018	04000982558 HAAN, LUCAS J.	AMERICAN FUNDS FUNDAMENTAL INVES B		588.00	24.99	0.00	24.99	90.0	22.49
12/10/2018	04000993025 VARILEK, SARAH	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	04000993025 VARILEK, SARAH	AMERICAN FUNDS NEW ECONOMY FD B		50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	04000993025 VARILEK, SARAH	AMERICAN FUNDS SMALL CAP WORLD FD B		50.00	2.50	0.00	2.50	90.0	2.25
12/10/2018	04001268294 ANDERSON, ANGELA	GROWTH PORTFOLIO-A B		7,169.09	268.84	0.00	268.84	90.0	241.96
M.									
12/06/2018	08000006896 KENDALL, DOUGLAS	PRINCIPAL INVESTORS SAM BALANCED (A B		146.26	6.95	0.00	6.95	90.0	6.26
12/04/2018	1953048892 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND A B		125.00	3.75	0.00	3.75	90.0	3.38

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/04/2018	1953048892	WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND A	B	100.00	3.00	0.00	3.00	90.0 2.70
12/04/2018	1953048903	WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND A	B	400.00	12.00	0.00	12.00	90.0 10.80
12/04/2018	2252419461	JOHNSON, TINA	-OPPENHEIMER FUNDS	B	100.00	3.00	0.00	3.00	90.0 2.70
12/04/2018	2252419461	JOHNSON, TINA	OPPENHEIMER QUEST VALUE FD	CL A B	166.67	6.67	0.00	6.67	90.0 6.00
12/11/2018	2253315653	BULTENA, LANCE	OPPENHEIMER QUEST VALUE FD	CL A B	100.00	4.75	0.00	4.75	90.0 4.28
12/03/2018	2254439479	NIDA, AARON R.	OPPENHEIMER QUEST VALUE FD	CL A B	200.00	8.00	0.00	8.00	90.0 7.20
12/03/2018	2514890897	FISCHER, BRANDON S.	OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.00	0.00	2.00	90.0 1.80
12/14/2018	3212111891	GORTMAKER, DEREK A.	-OPPENHEIMER FUNDS	B	120.84	5.74	0.00	5.74	90.0 5.17
12/03/2018	4206645562	FISCHER, BRANDON S.	OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.00	0.00	2.00	90.0 1.80
12/04/2018	5004750427	JOHNSON, TINA	OPPENHEIMER DISCOVERY FD	CL A B	166.67	6.67	0.00	6.67	90.0 6.00
12/14/2018	5550848155	WRIGHT, EDWARD	-OPPENHEIMER FUNDS	B	146.75	6.97	0.00	6.97	90.0 6.27
12/03/2018	6870194370	FISCHER, BRANDON S.	OPPENHEIMER GLOBAL VALUE FUND A	B	50.00	2.00	0.00	2.00	90.0 1.80
12/03/2018	7006154393	RUTSTEIN, ANDREA/XAVIEN G.	OPPENHEIMER MAIN STREET INCOME & GR	B	75.00	3.56	0.00	3.56	90.0 3.20
12/04/2018	7210829081	WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	125.00	3.75	0.00	3.75	90.0 3.38
12/04/2018	7210829092	WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	400.00	12.00	0.00	12.00	90.0 10.80
12/03/2018	7210901109	FISCHER, DACIA M.	SMALL- & MID-CAP GROWTH FUND A	B	87.50	3.50	0.00	3.50	90.0 3.15
12/03/2018	8259166608	FISCHER, DACIA M.	OPPENHEIMER INTL GROWTH FD	CL A B	87.50	3.50	0.00	3.50	90.0 3.15
12/03/2018	8351505449	RUTSTEIN, MILES	OPPENHEIMER QUEST CAPITAL	VALUE B	75.00	3.56	0.00	3.56	90.0 3.20
12/04/2018	8352833303	WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	125.00	3.75	0.00	3.75	90.0 3.38
12/04/2018	8352833303	WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	100.00	3.00	0.00	3.00	90.0 2.70
12/04/2018	8352833314	WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	400.00	12.00	0.00	12.00	90.0 10.80
12/03/2018	8353044635	FISCHER, DACIA M.	OPPENHEIMER QUEST CAPITAL	VALUE B	87.50	3.50	0.00	3.50	90.0 3.15
12/03/2018	8477461344	FISCHER, BRANDON S.	MAIN STREET SMALL CAP FUND A	B	50.00	2.00	0.00	2.00	90.0 1.80
12/04/2018	8805969062	JOHNSON, TINA	OPPENHEIMER INTL BD FD	CL A B	166.65	6.25	0.00	6.25	90.0 5.63
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$97,488.54</b>	<b>\$2,871.82</b>	<b>\$0.00</b>	<b>\$2,871.82</b>		<b>\$2,584.86</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	690.21	690.21	0.00	690.21	90.0	621.19
<b>* STOCKS SUBTOTAL *</b>				<b>\$690.21</b>	<b>\$690.21</b>	<b>\$0.00</b>	<b>\$690.21</b>		<b>\$621.19</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/19/2018	TRAILS	-JOHN HANCOCK	B	65.43	65.43	0.00	65.43	90.0	58.89
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$65.43</b>	<b>\$65.43</b>	<b>\$0.00</b>	<b>\$65.43</b>		<b>\$58.89</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>									
12/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
<b>* LIFE INSURANCE SUBTOTAL *</b>				<b>\$95.96</b>	<b>\$0.96</b>	<b>\$0.00</b>	<b>\$0.96</b>		<b>\$0.86</b>

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018 10771324	GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
10/11/2018 11760371	LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	90.0	166.50
10/11/2018 12153707	STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
10/11/2018 12445993	PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	618.00	0.00	618.00	90.0	556.20
10/11/2018 12520657	ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
10/11/2018 12976261	HINDMAN, ANTHONY J & AMY	SIGNAL SECURITIES RIA	B	0.00	285.34	0.00	285.34	90.0	256.81
10/11/2018 13142828	GARGIULO, HELEN PATRICIA P.	SIGNAL SECURITIES RIA	B	0.00	115.66	0.00	115.66	90.0	104.09
10/11/2018 14348417	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
10/11/2018 14381829	WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	37.66	0.00	37.66	90.0	33.89
10/11/2018 14429709	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	20.34	0.00	20.34	90.0	18.31
10/11/2018 15091367	HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	131.34	0.00	131.34	90.0	118.21
10/11/2018 15467725	BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
12/18/2018 15820668	GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	173.00	0.00	173.00	90.0	155.70
10/11/2018 16270038	MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	168.66	0.00	168.66	90.0	151.79
10/11/2018 16412029	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	42.66	0.00	42.66	90.0	38.39
10/11/2018 16484479	BUTLER, LEONARD W, III	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
10/11/2018 17118785	HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
10/11/2018 17352141	CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	28.34	0.00	28.34	90.0	25.51
10/11/2018 17456904	SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	97.34	0.00	97.34	90.0	87.61
10/11/2018 17662068	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	171.34	0.00	171.34	90.0	154.21
10/11/2018 18212150	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	132.00	0.00	132.00	90.0	118.80
10/11/2018 19492576	CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
10/11/2018 19560631	BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	150.66	0.00	150.66	90.0	135.59
10/11/2018 20144207	BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	90.0	81.59
10/11/2018 20206228	YAMASHITA, MARK M	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
10/11/2018 20556395	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	75.34	0.00	75.34	90.0	67.81
10/11/2018 22504665	WILLIAMS, MARIE CLAUDE	SIGNAL SECURITIES RIA	B	0.00	144.00	0.00	144.00	90.0	129.60
10/11/2018 23584507	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	239.34	0.00	239.34	90.0	215.41
10/11/2018 23996577	TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	27.66	0.00	27.66	90.0	24.89
10/11/2018 24030675	MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
10/11/2018 24184005	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	106.34	0.00	106.34	90.0	95.71
10/11/2018 25272175	LAMBERTSON/BUTLER, III, TAMMY & LEONARD	SIGNAL SECURITIES RIA	B	0.00	352.66	0.00	352.66	90.0	317.39
10/11/2018 25436755	NILLES, VICKIE	SIGNAL SECURITIES RIA	B	0.00	184.34	0.00	184.34	90.0	165.91
10/11/2018 26598701	STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	130.66	0.00	130.66	90.0	117.59

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	28007804 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	29.66	0.00	29.66	90.0	26.69
10/11/2018	28346788 BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
10/11/2018	28373035 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
10/11/2018	28433693 ZITNAK, STEPHEN W	SIGNAL SECURITIES RIA	B	0.00	48.34	0.00	48.34	90.0	43.51
10/11/2018	28684378 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	214.66	0.00	214.66	90.0	193.19
10/11/2018	28705104 JOHNSON, JERRY D	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
10/11/2018	29556870 HRUSKA, CATHERINE E	SIGNAL SECURITIES RIA	B	0.00	110.00	0.00	110.00	90.0	99.00
10/11/2018	29589313 HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
10/11/2018	29650218 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
10/11/2018	29746730 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
10/11/2018	30072989 PYRIH, LUBA DARIA	SIGNAL SECURITIES RIA	B	0.00	163.34	0.00	163.34	90.0	147.01
10/11/2018	30347787 BLACK HILLS CORP, FBO DAVID SAMUE	SIGNAL SECURITIES RIA	B	0.00	59.34	0.00	59.34	90.0	53.41
10/11/2018	30647698 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	12.66	0.00	12.66	90.0	11.39
10/11/2018	30677407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	50.66	0.00	50.66	90.0	45.59
10/11/2018	30878907 QUINLISK, ERNIE J	SIGNAL SECURITIES RIA	B	0.00	315.34	0.00	315.34	90.0	283.81
10/11/2018	31781509 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	149.66	0.00	149.66	90.0	134.69
10/11/2018	32417594 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
10/11/2018	32899427 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	50.00	0.00	50.00	90.0	45.00
10/11/2018	32902956 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	348.34	0.00	348.34	90.0	313.51
10/11/2018	33413216 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
10/11/2018	33603400 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	46.66	0.00	46.66	90.0	41.99
10/11/2018	33769726 WOOD, HEATHER C	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
10/11/2018	34262259 JOHNSON, VICTORIA L	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	90.0	6.61
10/11/2018	34296234 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	90.0	17.41
10/11/2018	34299521 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	457.34	0.00	457.34	90.0	411.61
10/11/2018	35039653 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	61.34	0.00	61.34	90.0	55.21
10/11/2018	35053536 BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
10/11/2018	35359393 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	278.00	0.00	278.00	90.0	250.20
10/11/2018	36133640 GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	440.00	0.00	440.00	90.0	396.00
10/11/2018	37594469 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
10/11/2018	37802064 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	62.66	0.00	62.66	90.0	56.39
10/11/2018	38007046 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<b>PRODUCT CATEGORY: RIA</b>									
10/11/2018	38167769 QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	260.00	0.00	260.00	90.0	234.00
10/11/2018	38488926 BEITELSPACHER REV. TRUST, NICOLE A.	SIGNAL SECURITIES RIA	B	0.00	426.34	0.00	426.34	90.0	383.71
10/11/2018	38489479 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	178.00	0.00	178.00	90.0	160.20
10/11/2018	38537593 LAMBERTSON/BUTLER, III, TAMMY & LEONARD	SIGNAL SECURITIES RIA	B	0.00	266.34	0.00	266.34	90.0	239.71
10/11/2018	38652695 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	115.34	0.00	115.34	90.0	103.81
10/11/2018	39033876 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
10/11/2018	39663311 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
10/11/2018	39961103 WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	290.66	0.00	290.66	90.0	261.59
10/11/2018	40257767 HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	208.66	0.00	208.66	90.0	187.79
10/11/2018	40334505 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	90.0	29.70
10/11/2018	41168136 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	98.00	0.00	98.00	90.0	88.20
10/11/2018	41176823 SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	105.34	0.00	105.34	90.0	94.81
10/11/2018	41306013 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	102.66	0.00	102.66	90.0	92.39
10/11/2018	41561872 9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	354.34	0.00	354.34	90.0	318.91
10/11/2018	41568893 JOHNSON, CINDY K	SIGNAL SECURITIES RIA	B	0.00	538.66	0.00	538.66	90.0	484.79
10/11/2018	41823531 RHODES, MARK L	SIGNAL SECURITIES RIA	B	0.00	126.66	0.00	126.66	90.0	113.99
10/11/2018	42294828 HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	27.66	0.00	27.66	90.0	24.89
10/11/2018	42576807 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	90.0	52.20
10/11/2018	42754170 ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,110.34	0.00	1,110.34	90.0	999.31
10/11/2018	42758212 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	107.66	0.00	107.66	90.0	96.89
12/18/2018	42775183 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	469.68	0.00	469.68	90.0	422.71
10/11/2018	43202964 CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
10/11/2018	43438628 JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	87.66	0.00	87.66	90.0	78.89
10/11/2018	43795301 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	27.34	0.00	27.34	90.0	24.61
10/11/2018	43835783 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	79.00	0.00	79.00	90.0	71.10
10/11/2018	43903697 LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.66	0.00	20.66	90.0	18.59
10/11/2018	43957902 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	27.34	0.00	27.34	90.0	24.61
10/11/2018	44129020 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	34.34	0.00	34.34	90.0	30.91
10/11/2018	45307716 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	23.34	0.00	23.34	90.0	21.01
10/11/2018	45460890 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	48.00	0.00	48.00	90.0	43.20
10/11/2018	45973210 TENOLD, AMY FBO DACE	SIGNAL SECURITIES RIA	B	0.00	14.34	0.00	14.34	90.0	12.91

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
T									
10/11/2018	46518151 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
10/11/2018	46614088 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	161.34	0.00	161.34	90.0	145.21
10/11/2018	46830951 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.66	0.00	18.66	90.0	16.79
10/11/2018	46838476 GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	408.34	0.00	408.34	90.0	367.51
10/11/2018	47144653 PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	69.34	0.00	69.34	90.0	62.41
10/11/2018	47378557 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
10/11/2018	47487556 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	86.66	0.00	86.66	90.0	77.99
10/11/2018	47601105 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	216.66	0.00	216.66	90.0	194.99
10/11/2018	47914778 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	96.34	0.00	96.34	90.0	86.71
10/11/2018	48427060 HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	23.34	0.00	23.34	90.0	21.01
10/11/2018	48682692 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
10/11/2018	49346016 SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	244.00	0.00	244.00	90.0	219.60
10/11/2018	49831675 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	27.66	0.00	27.66	90.0	24.89
10/11/2018	49985458 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
10/11/2018	49998746 MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	351.00	0.00	351.00	90.0	315.90
10/11/2018	50721833 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	55.00	0.00	55.00	90.0	49.50
10/11/2018	52829344 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	61.00	0.00	61.00	90.0	54.90
10/11/2018	53704449 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
10/11/2018	53709669 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	316.66	0.00	316.66	90.0	284.99
10/11/2018	54158431 PIERCE, JOHN FB ABIGAIL	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34	90.0	15.61
10/11/2018	54865811 THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	409.00	0.00	409.00	90.0	368.10
10/11/2018	54941170 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	213.34	0.00	213.34	90.0	192.01
10/11/2018	55526612 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	183.66	0.00	183.66	90.0	165.29
10/11/2018	56096498 BUREMAN, MICHAEL & RITA	SIGNAL SECURITIES RIA	B	0.00	122.34	0.00	122.34	90.0	110.11
10/11/2018	56292092 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	113.34	0.00	113.34	90.0	102.01
10/11/2018	56595062 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00	90.0	50.40
10/11/2018	57775487 VALLETTE, DAVID ROBERT	SIGNAL SECURITIES RIA	B	0.00	80.66	0.00	80.66	90.0	72.59
10/11/2018	58281647 ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	65.34	0.00	65.34	90.0	58.81
10/11/2018	59576874 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	253.00	0.00	253.00	90.0	227.70
10/11/2018	60169490 GLOECKLER, BEVERLY	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00	90.0	53.10



COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
	SUE								
10/11/2018	60253386 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	110.34	0.00	110.34	90.0	99.31
10/11/2018	60791579 SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	428.00	0.00	428.00	90.0	385.20
10/11/2018	61099660 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	157.66	0.00	157.66	90.0	141.89
10/11/2018	61542054 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	221.00	0.00	221.00	90.0	198.90
10/11/2018	62004058 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	453.34	0.00	453.34	90.0	408.01
10/11/2018	62382731 DIAMOND, NOAH	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
10/11/2018	63140775 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
10/11/2018	63781985 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	104.00	0.00	104.00	90.0	93.60
10/11/2018	64110138 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	115.34	0.00	115.34	90.0	103.81
10/11/2018	64351301 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	166.66	0.00	166.66	90.0	149.99
10/11/2018	64404215 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	156.34	0.00	156.34	90.0	140.71
10/11/2018	64680413 LAMBERTSON/BUTLER, III, TAMMY & LEONARD	SIGNAL SECURITIES RIA	B	0.00	60.34	0.00	60.34	90.0	54.31
10/11/2018	64764636 KELLY, KOREY	SIGNAL SECURITIES RIA	B	0.00	36.34	0.00	36.34	90.0	32.71
10/11/2018	65226021 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	182.66	0.00	182.66	90.0	164.39
10/11/2018	65557704 MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	265.34	0.00	265.34	90.0	238.81
10/11/2018	66425418 MACOLINI, FRANK & RUTHANN	SIGNAL SECURITIES RIA	B	0.00	454.66	0.00	454.66	90.0	409.19
10/11/2018	66917531 BUREMAN, RITA L.	SIGNAL SECURITIES RIA	B	0.00	264.00	0.00	264.00	90.0	237.60
10/11/2018	68100959 BRINK, CHANDELLE SKY B.	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
10/11/2018	68548550 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	97.34	0.00	97.34	90.0	87.61
10/11/2018	68732372 BERG, RONALD	SIGNAL SECURITIES RIA	B	0.00	687.66	0.00	687.66	90.0	618.89
10/11/2018	69051113 BUTLER, LEONARD W, III	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
10/11/2018	69503797 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	90.0	32.40
10/11/2018	70053665 HOLLEARN, RITA	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
10/11/2018	70228215 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	354.34	0.00	354.34	90.0	318.91
10/11/2018	71751206 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34	90.0	21.91
10/11/2018	71785581 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	80.66	0.00	80.66	90.0	72.59
10/11/2018	71981417 KAHLE, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
10/11/2018	72180753 RHODES, MARK L	SIGNAL SECURITIES RIA	B	0.00	374.34	0.00	374.34	90.0	336.91
10/11/2018	73026011 DIAMOND, KARI L	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	90.0	81.59
10/11/2018	73270490 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
10/11/2018	73463753 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
10/11/2018	73606431 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	2,155.34	0.00	2,155.34	90.0	1,939.81
10/11/2018	73984739 ACKERMAN, LAVONNE L	SIGNAL SECURITIES RIA	B	0.00	249.34	0.00	249.34	90.0	224.41

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/11/2018	74090733 BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34	90.0	15.61
10/11/2018	74832638 STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	87.34	0.00	87.34	90.0	78.61
10/11/2018	75152036 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	66.66	0.00	66.66	90.0	59.99
10/11/2018	75826019 WILLIAMS, GERALDINE	SIGNAL SECURITIES RIA	B	0.00	152.34	0.00	152.34	90.0	137.11
10/11/2018	76522415 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	168.66	0.00	168.66	90.0	151.79
10/11/2018	76748838 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	21.34	0.00	21.34	90.0	19.21
10/11/2018	76891257 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	146.66	0.00	146.66	90.0	131.99
10/11/2018	78957791 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	423.66	0.00	423.66	90.0	381.29
10/11/2018	78986866 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	322.00	0.00	322.00	90.0	289.80
10/11/2018	79301514 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	67.34	0.00	67.34	90.0	60.61
10/11/2018	79495850 RAPID CITY MEDICAL CTR	SIGNAL SECURITIES RIA	B	0.00	550.66	0.00	550.66	90.0	495.59
10/11/2018	79828155 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	11.34	0.00	11.34	90.0	10.21
10/11/2018	80391965 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	1.66	0.00	1.66	90.0	1.49
10/11/2018	80875540 ROMERO, DALE E.	SIGNAL SECURITIES RIA	B	0.00	218.66	0.00	218.66	90.0	196.79
10/11/2018	81096523 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	90.0	17.41
10/11/2018	81501198 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	401.34	0.00	401.34	90.0	361.21
10/11/2018	81836304 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	41.34	0.00	41.34	90.0	37.21
10/11/2018	82190461 TENOLD, AMY FBO DAWSON	SIGNAL SECURITIES RIA	B	0.00	2.66	0.00	2.66	90.0	2.39
10/11/2018	82997248 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	161.34	0.00	161.34	90.0	145.21
10/11/2018	83018285 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
10/11/2018	83255347 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	218.00	0.00	218.00	90.0	196.20
10/11/2018	83344292 BORK, WALLACE D	SIGNAL SECURITIES RIA	B	0.00	488.00	0.00	488.00	90.0	439.20
10/11/2018	83355639 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	59.66	0.00	59.66	90.0	53.69
10/11/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	17.66	0.00	17.66	90.0	15.89
10/11/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	142.34	0.00	142.34	90.0	128.11
10/11/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34	90.0	15.61
10/11/2018	84570209 PETERSON, JEFF W.	SIGNAL SECURITIES RIA	B	0.00	33.34	0.00	33.34	90.0	30.01
10/11/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	173.00	0.00	173.00	90.0	155.70
10/11/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	83.00	0.00	83.00	90.0	74.70
10/11/2018	85822210 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
10/11/2018	85996569 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
12/18/2018	86018805 TENOLD, AMY FBO DUALY	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
O									
10/11/2018	87210372 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	19.00	0.00	19.00	90.0	17.10
10/11/2018	87992733 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	58.66	0.00	58.66	90.0	52.79
10/11/2018	88251717 KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	96.00	0.00	96.00	90.0	86.40
10/11/2018	88260407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
10/11/2018	88353473 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	167.66	0.00	167.66	90.0	150.89
10/11/2018	89139909 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	54.66	0.00	54.66	90.0	49.19
10/11/2018	89348302 GARGIULO, TIMOTHY	SIGNAL SECURITIES RIA	B	0.00	627.66	0.00	627.66	90.0	564.89
FRANCIS									
10/11/2018	89790665 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	886.66	0.00	886.66	90.0	797.99
10/11/2018	92186431 WILLIAMS,	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
DONALD/GERALDIN									
10/11/2018	92202296 HINDMAN, ANTHONY J &	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
AMY									
10/11/2018	92327955 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	34.66	0.00	34.66	90.0	31.19
10/11/2018	92545805 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
10/11/2018	92927312 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	32.34	0.00	32.34	90.0	29.11
10/11/2018	93010997 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	397.34	0.00	397.34	90.0	357.61
10/11/2018	93015086 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	90.0	17.41
10/11/2018	93046418 WILLIAMS,	SIGNAL SECURITIES RIA	B	0.00	320.66	0.00	320.66	90.0	288.59
DONALD/GERALDIN									
10/11/2018	94507995 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
10/11/2018	94629096 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018	94635069 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	317.00	0.00	317.00	90.0	285.30
10/11/2018	95008736 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	233.00	0.00	233.00	90.0	209.70
10/11/2018	95368200 REEVES, MICAELA S	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
10/11/2018	95392118 O'NEILL, WILLIAM C	SIGNAL SECURITIES RIA	B	0.00	266.00	0.00	266.00	90.0	239.40
10/11/2018	95605369 BARKER/STEVENS,	SIGNAL SECURITIES RIA	B	0.00	74.00	0.00	74.00	90.0	66.60
KERRY/STEPHANIE									
10/11/2018	96072766 PROFFITT, SUSAN N.	SIGNAL SECURITIES RIA	B	0.00	150.34	0.00	150.34	90.0	135.31
10/11/2018	96167222 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	119.66	0.00	119.66	90.0	107.69
10/11/2018	96706135 WOLF, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	142.00	0.00	142.00	90.0	127.80
10/11/2018	96806247 DIAMOND, KENNETH C.	SIGNAL SECURITIES RIA	B	0.00	280.34	0.00	280.34	90.0	252.31
10/11/2018	96937079 REEVES, JAMES &	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
MICAELA									
10/11/2018	96946210 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	221.00	0.00	221.00	90.0	198.90
10/11/2018	97095581 SCHMIDT, GERALD &	SIGNAL SECURITIES RIA	B	0.00	61.34	0.00	61.34	90.0	55.21
JUDITH									
10/11/2018	97268213 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	15.66	0.00	15.66	90.0	14.09
10/11/2018	97641202 HAYMAN, WILLIAM &	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34	90.0	15.61

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
VICKI									
10/11/2018	97680079 STEVENS, STEPHANIE A	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
10/11/2018	97927074 GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
PATRICIA P.									
10/11/2018	98361164 SANDER, RANDY L	SIGNAL SECURITIES RIA	B	0.00	159.34	0.00	159.34	90.0	143.41
10/11/2018	98617795 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	127.66	0.00	127.66	90.0	114.89
10/11/2018	98654266 DODGE, BRIAN D	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
10/11/2018	98756097 MENDEL, SETH	SIGNAL SECURITIES RIA	B	0.00	103.34	0.00	103.34	90.0	93.01
ALEXANDER									
10/11/2018	98834954 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
10/11/2018	98954969 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	101.00	0.00	101.00	90.0	90.90
10/11/2018	98964195 LAMBERTSON/BUTLER, III, TAMMY & LEONARD	SIGNAL SECURITIES RIA	B	0.00	1.34	0.00	1.34	90.0	1.21
10/11/2018	99111980 WILSON, VICKY L	SIGNAL SECURITIES RIA	B	0.00	129.00	0.00	129.00	90.0	116.10
10/11/2018	99338479 DREXLER, FLOYD	SIGNAL SECURITIES RIA	B	0.00	233.34	0.00	233.34	90.0	210.01
MICHAEL									
10/11/2018	99353116 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	82.66	0.00	82.66	90.0	74.39
10/11/2018	99580715 BUREMAN, MICHAEL& RITA	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
* RIA SUBTOTAL *				\$0.00	\$34,132.06	\$0.00	\$34,132.06		\$30,718.88
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/02/2019	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	4.55	0.00	4.55	90.0	4.09
01/02/2019	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	21.94	0.00	21.94	90.0	19.76
01/02/2019	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	8.01	0.00	8.01	90.0	7.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$34.50	\$0.00	\$34.50		\$31.06
*** BROKER TRANSACTIONS TOTAL ***				\$98,340.14	\$37,794.98	\$0.00	\$37,794.98		\$34,015.74
ADJUSTMENTS									
01/02/2019	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
01/02/2019	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$34,015.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$33,888.24
Year-to-date Earnings	\$33,888.24