



# COMMISSION STATEMENT

## October 01, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
09/24/2018	***-**-28IR - MORMINO, STEVEN	CANOPY GROWTH CORPORATION COM NPV I	5,053.00	25.00	9.00	16.00	90.0	14.40
	ACCOUNT #: LSR005169	BUY 100 Units @50.5300						
09/24/2018	***-**-28IR - MORMINO, STEVEN	PROSHARES ULTRA HEALTH CARE PROSHAR	5,157.05	25.00	7.95	17.05	90.0	15.35
	ACCOUNT #: LSR005169	SELL 45 Units @114.6010						
<b>* STOCKS SUBTOTAL *</b>			<b>\$10,210.05</b>	<b>\$50.00</b>	<b>\$16.95</b>	<b>\$33.05</b>		<b>\$29.75</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/24/2018	***-**-1628 - KESSLER, LEWIS	PACIFIC LIFE ABRB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71057460	BUY 0 Units @0.0000						
09/25/2018	***-**-433I - BECCE, WILLIAM	PACIFIC LIFE ABRB DTCC	99.91	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: VP71053600	BUY 0 Units @0.0000						
09/25/2018	***-**-433I - BECCE, WILLIAM	PACIFIC LIFE ABRB DTCC	100.09	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: VP71053600	BUY 0 Units @0.0000						
09/25/2018	***-**-7994 - NEWMAN, JOSHUA	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067450	BUY 0 Units @0.0000						
09/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS	-1,600.00	-8.00	0.00	-8.00	90.0	-7.20
	ACCOUNT #: VP71031550	BUY 0 Units @0.0000						
09/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS	-1,600.00	-8.00	0.00	-8.00	90.0	-7.20
	ACCOUNT #: VP71031550	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$-2,250.00</b>	<b>\$-1.75</b>	<b>\$0.00</b>	<b>\$-1.75</b>		<b>\$-1.57</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
09/28/2018	***-**-6780 - SERRINS, RICHARD	PENN LIFE VL TRAILS	0.00	5.81	0.00	5.81	90.0	5.23
	ACCOUNT #: 8567518	BUY 1 Units @0.0000						
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5.81</b>	<b>\$0.00</b>	<b>\$5.81</b>		<b>\$5.23</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
09/28/2018	***-**-545P - FELLIN, DIANE	NY 529 ADDITIONAL DEPOSIT	100.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: 77169971001	BUY 0 Units @0.0000						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$2.03</b>	<b>\$0.00</b>	<b>\$2.03</b>		<b>\$1.83</b>



# COMMISSION STATEMENT

## October 01, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/28/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.44	0.00	0.44	90.0	0.40
09/28/2018	***-**-723I - PONTURO,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	90.0	0.47
09/28/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	90.0	0.32
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.32</b>	<b>\$0.00</b>	<b>\$1.32</b>		<b>\$1.19</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
10/01/2018	***-**-1994 - ATA FREIGHT LINE	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	23.47	0.00	23.47	90.0	21.12
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$23.47</b>	<b>\$0.00</b>	<b>\$23.47</b>		<b>\$21.12</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$8,060.05</b>	<b>\$80.88</b>	<b>\$16.95</b>	<b>\$63.93</b>		<b>\$57.55</b>

### SPLIT TRANSACTIONS

#### **BROKER: JEFFREY MORRISON**

09/26/2018	***-**-450I - ROSENBLATT, MARK	ABERDEEN AUSTRALIA EQUITY FD INC CO ACCOUNT #: LSR012160	838.60	21.88	8.90	12.98	90.0	11.68
09/26/2018	***-**-450I - ROSENBLATT, MARK	AGNC INVT CORP COM UNSOLICITED ORDE ACCOUNT #: LSR012160	750.96	21.14	12.00	9.14	90.0	8.23
09/26/2018	***-**-450I - ROSENBLATT, MARK	AMERICAN WTR WKS CO INC NEW COM UNS ACCOUNT #: LSR012160	4,324.90	27.00	8.00	19.00	90.0	17.10
09/26/2018	***-**-450I - ROSENBLATT, MARK	ANNALY CAP MGMT INC 7.50000% PFD ACCOUNT #: LSR012160	5,070.20	44.48	9.50	34.98	90.0	31.48
09/21/2018	***-**-99IO - COHEN	APPLE INC UNSOLICITED ORDER ACCOUNT #: LSR008370	1,966.41	27.00	9.00	18.00	90.0	16.20
09/26/2018	***-**-450I - ROSENBLATT, MARK	CEDAR FAIR L P DEPOSITRY UNIT UNSOL ACCOUNT #: LSR012160	5,417.00	27.00	12.00	15.00	90.0	13.50
09/26/2018	***-**-450I - ROSENBLATT, MARK	COCA COLA CO UNSOLICITED ORDER ACCOUNT #: LSR012160	4,583.50	27.00	8.50	18.50	90.0	16.65



# COMMISSION STATEMENT

## October 01, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
09/21/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00704633189	BUY 18 Units @27.8600						
09/17/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: 01489789329	BUY 14 Units @14.0300						
09/24/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV GROWTH & INCOME CL C	249.99	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898	BUY 9 Units @28.5700						
09/24/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV HEALTH CARE CL C	250.00	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898	BUY 6 Units @42.8300						
09/28/2018	***-**-7724 - NANDAA, NEIL	NY 529 ADDITIONAL DEPOSIT	200.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						
09/25/2018	***-**-8584 - WINCHURCH,	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71048920	BUY 0 Units @0.0000						
09/25/2018	***-**-8584 - WINCHURCH,	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71048920	BUY 0 Units @0.0000						
09/26/2018	***-**-9275 - NIEMEIJER,	PACIFIC LIFE VA TRAILS DTCC	0.00	33.91	0.00	33.91	90.0	30.52
	ACCOUNT #: VP65752600	BUY 0 Units @0.0000						
09/28/2018	***-**-5773 - BATTAGLIA, MR	PENN LIFE VL TRAILS	0.00	1.79	0.00	1.79	90.0	1.61
	ACCOUNT #: 008565773	BUY 1 Units @0.0000						
09/28/2018	***-**-6318 - ZALINSKY, STEVEN	PENN LIFE VL TRAILS	0.00	9.35	0.00	9.35	90.0	8.42
	ACCOUNT #: 8566318	BUY 1 Units @0.0000						
09/28/2018	***-**-8268 - LEHMANN	PENN LIFE VL TRAILS	0.00	51.80	0.00	51.80	90.0	46.62
	ACCOUNT #: 8568268	BUY 1 Units @0.0000						
09/28/2018	***-**-8268 - LEHMANN	PENN LIFE VL TRAILS	0.00	71.19	0.00	71.19	90.0	64.07
	ACCOUNT #: 8568270	BUY 1 Units @0.0000						
09/28/2018	***-**-4875 - GURIAN	PENN LIFE VL TRAILS	0.00	4.36	0.00	4.36	90.0	3.92
	ACCOUNT #: 8568628	BUY 1 Units @0.0000						
09/28/2018	***-**-8268 - LEHMANN	PENN LIFE VL TRAILS	0.00	0.51	0.00	0.51	90.0	0.46
	ACCOUNT #: 8569173	BUY 1 Units @0.0000						
09/26/2018	***-**-450I - ROSENBLATT, MARK	PHILIP MORRIS INTL INC COM SOLICITE	4,036.90	27.00	8.00	19.00	90.0	17.10
	ACCOUNT #: LSR012160	SELL 50 Units @80.7380						
09/21/2018	***-**-99IO - COHEN	VERIZON COMMUNICATIONS UNSOLICITED	2,455.16	27.00	7.95	19.05	90.0	17.15
	ACCOUNT #: LSR008370	BUY 45 Units @54.5590						



# COMMISSION STATEMENT

## October 01, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALAN FISHMAN (continued) </u></b>								
		* JEFFREY MORRISON SUBTOTAL *	\$31,043.62	\$434.67	\$86.85	\$347.82		\$312.99
		*** SPLIT TRANSACTIONS TOTAL ***	\$31,043.62	\$434.67	\$86.85	\$347.82		\$312.99
<b><u> BROKER: ALAN FISHMAN </u></b>								
09/28/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	361.74	0.00	361.74	7.5	27.13
	ACCOUNT #: DAX25472	BUY 0 Units @0.0000						
09/28/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	484.37	0.00	484.37	7.5	36.33
	ACCOUNT #: DAX25507	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817502	0.00	18.04	0.00	18.04	7.5	1.35
	ACCOUNT #: DA737088	BUY 0 Units @0.0000						
09/28/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	145.66	0.00	145.66	7.5	10.92
	ACCOUNT #: DA309184	BUY 0 Units @0.0000						
09/28/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	358.04	0.00	358.04	7.5	26.85
	ACCOUNT #: DA310294	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	91.71	0.00	91.71	7.5	6.88
	ACCOUNT #: DA929914	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	172.30	0.00	172.30	7.5	12.92
	ACCOUNT #: DA930883	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	190.23	0.00	190.23	7.5	14.27
	ACCOUNT #: DA930884	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	730.84	0.00	730.84	7.5	54.81
	ACCOUNT #: DA937680	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	39.27	0.00	39.27	7.5	2.95
	ACCOUNT #: DA941620	BUY 0 Units @0.0000						
09/28/2018	***-**-535P - KOZLOWSKI,	ALLIANZ TRAILS 018817882	0.00	111.03	0.00	111.03	7.5	8.33
	ACCOUNT #: DA370020	BUY 0 Units @0.0000						
09/28/2018	***-**-6538 - KOZLOWSKI, MARIA	ALLIANZ TRAILS 018817882	0.00	195.24	0.00	195.24	7.5	14.64
	ACCOUNT #: DA370021	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	91.04	0.00	91.04	7.5	6.83
	ACCOUNT #: DA370747	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## October 01, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALAN FISHMAN (continued)</u></b>								
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	42.46	0.00	42.46	7.5	3.18
	ACCOUNT #: DA371348	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	50.26	0.00	50.26	7.5	3.77
	ACCOUNT #: DA371349	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	55.40	0.00	55.40	7.5	4.16
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						
09/28/2018	***-**-195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	128.30	0.00	128.30	7.5	9.62
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	46.81	0.00	46.81	7.5	3.51
	ACCOUNT #: DA371478	BUY 0 Units @0.0000						
09/28/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	140.72	0.00	140.72	7.5	10.55
	ACCOUNT #: DA372127	BUY 0 Units @0.0000						
09/28/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	36.64	0.00	36.64	7.5	2.75
	ACCOUNT #: DA372611	BUY 0 Units @0.0000						
09/28/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	104.68	0.00	104.68	7.5	7.85
	ACCOUNT #: DA372612	BUY 0 Units @0.0000						
09/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	0.49	0.00	0.49	7.5	0.04
		BUY 0 Units @0.0000						
09/28/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.16	0.00	0.16	7.5	0.01
		BUY 0 Units @0.0000						
09/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.42	0.00	1.42	7.5	0.11
		BUY 0 Units @0.0000						
09/28/2018	***-**-955P - VICARI,	VICTORY TRAILS	0.00	0.44	0.00	0.44	7.5	0.03
		BUY 0 Units @0.0000						
09/28/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS	0.00	0.83	0.00	0.83	7.5	0.06
		BUY 0 Units @0.0000						
09/28/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	69.43	0.00	69.43	7.5	5.21
		BUY 0 Units @0.0000						
09/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.46	0.00	1.46	7.5	0.11
		BUY 0 Units @0.0000						
<b>* ALAN FISHMAN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,669.01</b>	<b>\$0.00</b>	<b>\$3,669.01</b>		<b>\$275.17</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$3,669.01</b>	<b>\$0.00</b>	<b>\$3,669.01</b>		<b>\$275.17</b>



BROKER #: 981  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$57.55
						Split Transactions		\$312.99
						Override Transactions		\$275.17
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$645.71
						Year-to-date Earnings		\$51,204.68