

# COMMISSION STATEMENT

November 30, 2018

CHELSEA SCHLOSSER-687888

BROKER #:

BRANCH: D12

, TX

## COMMISSION STATEMENT for CHELSEA SCHLOSSER-687888

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
11/27/2018	152909360	BERRY, MARLA	MF	CAPITAL APPRECIATION - CLASS A	S	25,002.45	1,062.50	5.00	1,057.50	65.0	687.38
10/30/2018	768294225	VERNON, WILLIAM	MF	ALLIANZ OCC RENAISSANCE FUND	S	-17,275.43	0.00	5.00	-5.00	65.0	-3.25
11/02/2018	768294225	VERNON, WILLIAM	MF	BLK 091928846	S	12,302.45	461.25	2.50	458.75	65.0	298.19
* MUTUAL FUNDS SUBTOTAL *						\$20,029.47	\$1,523.75	\$12.50	\$1,511.25		\$982.32

## PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/02/2018	368459010	VERNON, WILLIAM	MFT	SENTINEL SMALL COMPANY FUND -	S	21,802.45	817.50	2.50	815.00	65.0	529.75
11/21/2018	mfc687888	VARIOUS,	MFT	ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.58	0.00	3.58	65.0	2.33
11/21/2018	mfc687888	VARIOUS,	MFT	ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.81	0.00	3.81	65.0	2.48
11/21/2018	mfc687888	VARIOUS,	MFT	ALLIANZ OCC RENAISSANCE FUND	B	0.00	7.60	0.00	7.60	65.0	4.94
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	1.64	0.00	1.64	65.0	1.07
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	5.77	0.00	5.77	65.0	3.75
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	5.80	0.00	5.80	65.0	3.77
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	6.42	0.00	6.42	65.0	4.17
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	6.74	0.00	6.74	65.0	4.38
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	6.97	0.00	6.97	65.0	4.53
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	7.15	0.00	7.15	65.0	4.65
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	9.34	0.00	9.34	65.0	6.07
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	9.62	0.00	9.62	65.0	6.25
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	9.86	0.00	9.86	65.0	6.41
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	10.62	0.00	10.62	65.0	6.90
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	11.18	0.00	11.18	65.0	7.27
11/21/2018	mfc687888	VARIOUS,	MFT	BLK 091928846	B	0.00	11.85	0.00	11.85	65.0	7.70
11/21/2018	mfc687888	VARIOUS,	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	10.75	0.00	10.75	65.0	6.99
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	3.84	0.00	3.84	65.0	2.50
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	6.70	0.00	6.70	65.0	4.36
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	7.09	0.00	7.09	65.0	4.61
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	7.47	0.00	7.47	65.0	4.86
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	8.26	0.00	8.26	65.0	5.37
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	8.89	0.00	8.89	65.0	5.78
11/21/2018	mfc687888	VARIOUS,	MFT	LARGE CAP EQUITY A	B	0.00	10.92	0.00	10.92	65.0	7.10

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11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	10.96	0.00	10.96	65.0	7.12
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.49	0.00	11.49	65.0	7.47
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.52	0.00	11.52	65.0	7.49
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.88	0.00	11.88	65.0	7.72
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.28	0.00	12.28	65.0	7.98
11/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	26.50	0.00	26.50	65.0	17.23
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.49	0.00	1.49	65.0	0.97
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.58	0.00	2.58	65.0	1.68
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.84	0.00	2.84	65.0	1.85
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.94	0.00	2.94	65.0	1.91
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.38	0.00	4.38	65.0	2.85
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.44	0.00	4.44	65.0	2.89
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.65	0.00	4.65	65.0	3.02
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.71	0.00	4.71	65.0	3.06
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.77	0.00	4.77	65.0	3.10
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.28	0.00	5.28	65.0	3.43
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.26	0.00	6.26	65.0	4.07
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.38	0.00	6.38	65.0	4.15
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.79	0.00	6.79	65.0	4.41
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.19	0.00	7.19	65.0	4.67
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.23	0.00	7.23	65.0	4.70
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.04	0.00	8.04	65.0	5.23
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.86	0.00	8.86	65.0	5.76
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.31	0.00	10.31	65.0	6.70
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.52	0.00	11.52	65.0	7.49
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.13	0.00	14.13	65.0	9.18
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	16.09	0.00	16.09	65.0	10.46
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	18.74	0.00	18.74	65.0	12.18
11/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.49	0.00	19.49	65.0	12.67
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.08	0.00	4.08	65.0	2.65
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.43	0.00	4.43	65.0	2.88
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.67	0.00	4.67	65.0	3.04
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.80	0.00	4.80	65.0	3.12
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.90	0.00	4.90	65.0	3.19
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.22	0.00	5.22	65.0	3.39
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.61	0.00	5.61	65.0	3.65
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.90	0.00	5.90	65.0	3.84
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.47	0.00	7.47	65.0	4.86
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	9.93	0.00	9.93	65.0	6.45
11/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	16.64	0.00	16.64	65.0	10.82
11/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.19	0.00	1.19	65.0	0.77
11/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.07	0.00	5.07	65.0	3.30
11/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.69	0.00	5.69	65.0	3.70
11/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.16	0.00	6.16	65.0	4.00

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11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	7.58	0.00	7.58	65.0	4.93
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.82	0.00	10.82	65.0	7.03
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	11.85	0.00	11.85	65.0	7.70
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.47	0.00	12.47	65.0	8.11
11/21/2018	mfc687888 VARIOUS,	MFT THE HARTFORD MID CAP FUND-A	B	0.00	14.94	0.00	14.94	65.0	9.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$21,802.45	\$1,412.53	\$2.50	\$1,410.03		\$916.57
*** CLEARING TRANSACTIONS TOTAL ***				\$41,831.92	\$2,936.28	\$15.00	\$2,921.28		\$1,898.89

BROKER COMMISSION TOTALS

Broker Transactions	\$1,898.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00