

COMMISSION STATEMENT November 30, 2018

 JASON HARPER-D378
 5607B E. HWY 67

 BROKER #: D378
 BRANCH: DMA

Rainbow, TX 76077

COMMISSION STATEMENT for JASON HARPER-D378

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/28/2018 368359605	TIPPIN, LISA	MF FUNDAMENTAL INVESTORS-A	S	25,002.45	937.50	5.00	932.50	90.0	839.25
11/28/2018 368359605	TIPPIN, LISA	MF THE GROWTH FUND OF AMERICA-A	S	25,002.45	937.50	5.00	932.50	90.0	839.25
* MUTUAL FUNDS SUBTOTAL *				\$50,004.90	\$1,875.00	\$10.00	\$1,865.00		\$1,678.50
PRODUCT CATEGORY: STOCKS									
11/28/2018 215076014	DAHL, MICHAEL	S ADVANCED MICRO DEVICES INC	B	5,036.59	123.66	15.00	108.66	90.0	97.79
11/28/2018 215076014	DAHL, MICHAEL	S APACHE CORPORATION	B	4,702.19	118.72	15.00	103.72	90.0	93.35
11/28/2018 215076014	DAHL, MICHAEL	S PFIZER INCORPORATED	B	5,054.93	123.93	15.00	108.93	90.0	98.04
11/28/2018 215076014	DAHL, MICHAEL	S SUNRUN INC	B	4,929.29	122.07	15.00	107.07	90.0	96.36
11/28/2018 215076014	DAHL, MICHAEL	S VERIZON COMMUNICATIONS INC	B	4,909.53	121.78	15.00	106.78	90.0	96.10
11/28/2018 368359605	TIPPIN, LISA	S APPLIED MATERIALS INC	B	4,540.73	116.33	15.00	101.33	90.0	91.20
* STOCKS SUBTOTAL *				\$29,173.26	\$726.49	\$90.00	\$636.49		\$572.84
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
11/21/2018 mfc307035	VARIOUS,	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.20	0.00	5.20	90.0	4.68
11/21/2018 mfc307035	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.20	0.00	0.20	90.0	0.18
11/21/2018 mfc307035	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	90.0	0.17
11/21/2018 mfc307035	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.23	0.00	0.23	90.0	0.21
11/21/2018 mfc307035	VARIOUS,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.31	0.00	1.31	90.0	1.18
11/21/2018 mfc307035	VARIOUS,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.17	0.00	5.17	90.0	4.65
11/21/2018 mfc307035	VARIOUS,	MFT SMALLCAP WORLD FUND-A	B	0.00	0.23	0.00	0.23	90.0	0.21
11/21/2018 mfc307035	VARIOUS,	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.27	0.00	0.27	90.0	0.24
11/21/2018 mfc307035	VARIOUS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.78	0.00	0.78	90.0	0.70
11/21/2018 mfc307035	VARIOUS,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.26	0.00	5.26	90.0	4.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$18.84	\$0.00	\$18.84		\$16.95
*** CLEARING TRANSACTIONS TOTAL ***				\$79,178.16	\$2,620.33	\$100.00	\$2,520.33		\$2,268.29

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ADJUSTMENTS TRANSACTIONSBROKER: #0

11/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
11/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
11/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,268.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,083.29
Year-to-date Commissions	\$14,390.51