

## COMMISSION STATEMENT

October 31, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/25/2018	QGW032005	SIGLE, SCOTT		ALTRA INDL MOTION CORP COM	S	-9,182.16	20.00	0.00	20.00	82.5	16.50
10/23/2018	QGW032013	STROPE, DALE		WALT DISNEY CO	B	23,560.00	500.00	0.00	500.00	82.5	412.50
10/10/2018	QGW032047	SHIER, ANDY		ALIBABA GROUP HOLDING LTD SPONSORED S	S	-14,001.01	100.00	0.00	100.00	82.5	82.50
10/10/2018	QGW032047	SHIER, ANDY		BOX INC CL A	S	-9,775.00	50.00	0.00	50.00	82.5	41.25
10/10/2018	QGW032047	SHIER, ANDY		JUNIPER NETWORKS	S	-14,040.05	100.00	0.00	100.00	82.5	82.50
10/11/2018	QGW032047	SHIER, ANDY		INTERNATIONAL BUSINESS MACHINES COR S	S	-35,250.00	100.00	0.00	100.00	82.5	82.50
10/17/2018	QGW032047	SHIER, ANDY		SQUARE INC CLASS A COM	B	23,295.00	500.00	0.00	500.00	82.5	412.50
10/25/2018	QGW032047	SHIER, ANDY		SQUARE INC CLASS A COM	S	-21,150.00	50.00	0.00	50.00	82.5	41.25
09/27/2018	QGW032054	PAEGLOW, JOSEPH		BLUE BIRD CORPORATION COM	B	2,425.50	40.00	0.00	40.00	82.5	33.00
10/03/2018	QGW032054	PAEGLOW, JOSEPH		BOX INC CL A	S	-8,800.00	75.00	0.00	75.00	82.5	61.88
10/05/2018	QGW032054	PAEGLOW, JOSEPH		JUNIPER NETWORKS	S	-11,628.04	100.00	0.00	100.00	82.5	82.50
10/02/2018	QGW032096	CHARLTON, JOHN		ALTRA INDL MOTION CORP COM	S	-9,108.04	10.00	0.00	10.00	82.5	8.25
10/05/2018	QGW032096	CHARLTON, JOHN		BLUE BIRD CORPORATION COM	B	2,471.04	40.00	0.00	40.00	82.5	33.00
10/05/2018	QGW032096	CHARLTON, JOHN		JUNIPER NETWORKS	S	-10,153.64	100.00	0.00	100.00	82.5	82.50
10/29/2018	QGW032096	CHARLTON, JOHN		BLUE BIRD CORPORATION COM	S	-1,627.99	25.00	0.00	25.00	82.5	20.63
09/25/2018	QGW032104	DAVIDOV, WILLIAM		ALTRA INDL MOTION CORP COM	S	-8,530.06	20.00	0.00	20.00	82.5	16.50
09/27/2018	QGW032104	DAVIDOV, WILLIAM		GLU MOBILE INC	S	-2,224.05	25.00	0.00	25.00	82.5	20.63
09/27/2018	QGW032104	DAVIDOV, WILLIAM		INDIA GLOBALIZATION CAP INC COM NEW B	B	1,152.00	25.00	0.00	25.00	82.5	20.63
09/28/2018	QGW032104	DAVIDOV, WILLIAM		INDIA GLOBALIZATION CAP INC COM NEW S	S	-1,815.00	25.00	0.00	25.00	82.5	20.63
09/28/2018	QGW032104	DAVIDOV, WILLIAM		NEW AGE BEVERAGES CORP COM	B	991.78	20.00	0.00	20.00	82.5	16.50
10/04/2018	QGW032104	DAVIDOV, WILLIAM		AMAZON.COM INC	B	3,804.00	25.00	0.00	25.00	82.5	20.63
10/04/2018	QGW032104	DAVIDOV, WILLIAM		BLUE BIRD CORPORATION COM	B	2,496.78	25.00	0.00	25.00	82.5	20.63
10/05/2018	QGW032104	DAVIDOV, WILLIAM		GENERAL ELECTRIC CO	B	3,602.50	25.00	0.00	25.00	82.5	20.63
10/08/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	S	-7,526.25	25.00	0.00	25.00	82.5	20.63
10/08/2018	QGW032104	DAVIDOV, WILLIAM		SQUARE INC CLASS A COM	S	-8,700.00	75.00	0.00	75.00	82.5	61.88
10/09/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	B	7,476.25	25.00	0.00	25.00	82.5	20.63
10/09/2018	QGW032104	DAVIDOV, WILLIAM		UPLAND SOFTWARE INC COM	B	3,498.00	25.00	0.00	25.00	82.5	20.63
10/10/2018	QGW032104	DAVIDOV, WILLIAM		CERAGON NETWORKS LTD REG SHS ISIN#I B	B	1,604.95	25.00	0.00	25.00	82.5	20.63
10/10/2018	QGW032104	DAVIDOV, WILLIAM		NIO INC AMERICAN DEPOSITARY SHARES	B	2,337.00	25.00	0.00	25.00	82.5	20.63
10/12/2018	QGW032104	DAVIDOV, WILLIAM		BERRY PETE CORP COM	S	-2,859.50	25.00	0.00	25.00	82.5	20.63
10/12/2018	QGW032104	DAVIDOV, WILLIAM		SQUARE INC CLASS A COM	B	7,077.12	25.00	0.00	25.00	82.5	20.63

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/12/2018	QGW032104	DAVIDOV, WILLIAM		UPLAND SOFTWARE INC COM	S	-3,216.50	25.00	0.00	25.00 82.5 20.63
10/16/2018	QGW032104	DAVIDOV, WILLIAM		APPLE INC	S	-29,078.00	75.00	0.00	75.00 82.5 61.88
10/16/2018	QGW032104	DAVIDOV, WILLIAM		TANDEM DIABETES CARE INC COM NEW	B	3,865.00	25.00	0.00	25.00 82.5 20.63
10/19/2018	QGW032104	DAVIDOV, WILLIAM		TANDEM DIABETES CARE INC COM NEW	S	-3,615.00	25.00	0.00	25.00 82.5 20.63
10/22/2018	QGW032104	DAVIDOV, WILLIAM		APPLE INC	B	22,118.99	25.00	0.00	25.00 82.5 20.63
10/23/2018	QGW032104	DAVIDOV, WILLIAM		ADVANCED MICRO DEVICES INC	B	3,307.50	25.00	0.00	25.00 82.5 20.63
10/23/2018	QGW032104	DAVIDOV, WILLIAM		APPLE INC	B	4,330.00	25.00	0.00	25.00 82.5 20.63
10/23/2018	QGW032104	DAVIDOV, WILLIAM		BLUE BIRD CORPORATION COM	S	-1,756.60	25.00	0.00	25.00 82.5 20.63
10/25/2018	QGW032104	DAVIDOV, WILLIAM		ADVANCED MICRO DEVICES INC	S	-2,624.41	25.00	0.00	25.00 82.5 20.63
10/26/2018	QGW032104	DAVIDOV, WILLIAM		CERAGON NETWORKS LTD REG SHS ISIN#I S		-1,650.50	25.00	0.00	25.00 82.5 20.63
10/29/2018	QGW032104	DAVIDOV, WILLIAM		NIO INC AMERICAN DEPOSITARY SHARES	S	-1,830.00	25.00	0.00	25.00 82.5 20.63
10/29/2018	QGW032104	DAVIDOV, WILLIAM		PETROLEO BRASILEIRO SA PETROBRAS SP B		3,937.50	25.00	0.00	25.00 82.5 20.63
10/29/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	S	-6,102.51	25.00	0.00	25.00 82.5 20.63
10/02/2018	QGW032112	CIARLO, ANTHONY		ALTRA INDL MOTION CORP COM	S	-9,090.60	10.00	0.00	10.00 82.5 8.25
10/17/2018	QGW032112	CIARLO, ANTHONY		SQUARE INC CLASS A COM	B	13,618.50	405.00	0.00	405.00 82.5 334.13
10/25/2018	QGW032112	CIARLO, ANTHONY		SQUARE INC CLASS A COM	S	-12,285.00	50.00	0.00	50.00 82.5 41.25
10/09/2018	QGW032120	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	S	-2,983.50	25.00	0.00	25.00 82.5 20.63
10/10/2018	QGW032120	DAVIDOV, WILLIAM		TEVA PHARMACEUTICAL INDUSTRIES LIM B		1,574.25	25.00	0.00	25.00 82.5 20.63
09/25/2018	QGW032138	AUCHSTETTER, GERALD		ALTRA INDL MOTION CORP COM	S	-9,160.36	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW032138	AUCHSTETTER, GERALD		QUALCOMM INC	S	-6,404.48	150.00	0.00	150.00 82.5 123.75
10/26/2018	QGW032138	AUCHSTETTER, GERALD		AT&T INC	B	7,350.00	210.00	0.00	210.00 82.5 173.25
10/05/2018	QGW032146	WINGO, CHARLES		BLUE BIRD CORPORATION COM	B	2,447.28	40.00	0.00	40.00 82.5 33.00
09/25/2018	QGW032153	LONGWORTH, RICHARD		ALTRA INDL MOTION CORP COM	S	-9,188.70	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW032153	LONGWORTH, RICHARD		BLUE BIRD CORPORATION COM	B	2,449.26	40.00	0.00	40.00 82.5 33.00
10/05/2018	QGW032161	JOERS, DAVID		BLUE BIRD CORPORATION COM	B	2,454.21	40.00	0.00	40.00 82.5 33.00
10/05/2018	QGW032179	BOND, PATRICK		BLUE BIRD CORPORATION COM	B	2,472.03	40.00	0.00	40.00 82.5 33.00
09/25/2018	QGW032195	NERY, GENEROSO		ALTRA INDL MOTION CORP COM	S	-9,162.54	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW032195	NERY, GENEROSO		THE TRADE DESK INC COM CL A	S	-20,250.00	100.00	0.00	100.00 82.5 82.50
10/23/2018	QGW032195	NERY, GENEROSO		AMAZON.COM INC	B	10,428.00	320.00	0.00	320.00 82.5 264.00
10/23/2018	QGW032195	NERY, GENEROSO		BLUE BIRD CORPORATION COM	S	-1,745.38	25.00	0.00	25.00 82.5 20.63
09/27/2018	QGW032203	SHIPLEY, CRAIG		BLUE BIRD CORPORATION COM	B	2,401.00	35.00	0.00	35.00 82.5 28.88
09/25/2018	QGW032211	WINDRIDGE, CHRIS		ALTRA INDL MOTION CORP COM	S	-9,177.80	20.00	0.00	20.00 82.5 16.50
09/25/2018	QGW032229	WATSON, ROGER		ALTRA INDL MOTION CORP COM	S	-9,188.70	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW032229	WATSON, ROGER		BOX INC CL A	S	-6,375.00	75.00	0.00	75.00 82.5 61.88
10/26/2018	QGW032229	WATSON, ROGER		BLUE BIRD CORPORATION COM	S	-1,687.14	25.00	0.00	25.00 82.5 20.63
10/26/2018	QGW032229	WATSON, ROGER		FACEBOOK INC CL A	B	16,115.00	450.00	0.00	450.00 82.5 371.25
10/26/2018	QGW032229	WATSON, ROGER		INTERNATIONAL BUSINESS MACHINES COR S		-12,783.08	75.00	0.00	75.00 82.5 61.88
10/05/2018	QGW032237	PETERSON, SHAWN		JUNIPER NETWORKS	S	-14,515.00	100.00	0.00	100.00 82.5 82.50
10/15/2018	QGW032237	PETERSON, SHAWN		AURORA CANNABIS INC COM ISIN#CA0515 B		17,473.95	450.00	0.00	450.00 82.5 371.25
10/17/2018	QGW032237	PETERSON, SHAWN		AURORA CANNABIS INC COM ISIN#CA0515 B		5,375.00	100.00	0.00	100.00 82.5 82.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/17/2018	QGW032237	PETERSON, SHAWN		BARCLAYS BANK PLC IPATH S&P 500 VIX S	-4,950.00	75.00	0.00	75.00	82.5 61.88
10/19/2018	QGW032237	PETERSON, SHAWN		ATLASSIAN CORPORATION PLC CLASS A S	-8,529.61	75.00	0.00	75.00	82.5 61.88
10/05/2018	QGW032245	D'ADAMO, WILLIAM	B	BLUE BIRD CORPORATION COM	2,460.15	40.00	0.00	40.00	82.5 33.00
09/25/2018	QGW032278	DUFRESNE, WAYNE	S	ALTRA INDL MOTION CORP COM	-9,190.88	20.00	0.00	20.00	82.5 16.50
10/05/2018	QGW032278	DUFRESNE, WAYNE	B	BLUE BIRD CORPORATION COM	2,440.34	40.00	0.00	40.00	82.5 33.00
10/11/2018	QGW032278	DUFRESNE, WAYNE	S	BOX INC CL A	-7,596.04	75.00	0.00	75.00	82.5 61.88
10/02/2018	QGW032286	HLYWA, DANNY	S	ALTRA INDL MOTION CORP COM	-9,110.22	10.00	0.00	10.00	82.5 8.25
10/05/2018	QGW032286	HLYWA, DANNY	B	BLUE BIRD CORPORATION COM	2,445.30	40.00	0.00	40.00	82.5 33.00
10/29/2018	QGW032294	CHESTNUT, JONATHAN	B	FACEBOOK INC CL A	5,958.96	150.00	0.00	150.00	82.5 123.75
10/29/2018	QGW032294	CHESTNUT, JONATHAN	B	FACEBOOK INC CL A	-5,958.96	-150.00	0.00	-150.00	82.5 -123.75 C
09/25/2018	QGW032302	CHEN, PETER	S	ALTRA INDL MOTION CORP COM	-9,199.60	20.00	0.00	20.00	82.5 16.50
10/04/2018	QGW032336	WESSTROM, LAUREN	B	MICROSOFT CORP	3,388.20	65.00	0.00	65.00	82.5 53.63
10/23/2018	QGW032336	WESSTROM, LAUREN	B	WALT DISNEY CO	3,533.70	50.00	0.00	50.00	82.5 41.25
09/25/2018	QGW032344	DYKSTRA, JAMES	S	ALTRA INDL MOTION CORP COM	-9,160.36	20.00	0.00	20.00	82.5 16.50
10/17/2018	QGW032344	DYKSTRA, JAMES	B	SQUARE INC CLASS A COM	13,426.00	350.00	0.00	350.00	82.5 288.75
10/19/2018	QGW032344	DYKSTRA, JAMES	S	ATLASSIAN CORPORATION PLC CLASS A	-14,200.00	75.00	0.00	75.00	82.5 61.88
10/25/2018	QGW032344	DYKSTRA, JAMES	S	SQUARE INC CLASS A COM	-12,293.75	50.00	0.00	50.00	82.5 41.25
10/26/2018	QGW032344	DYKSTRA, JAMES	S	BLUE BIRD CORPORATION COM	-1,683.50	25.00	0.00	25.00	82.5 20.63
10/26/2018	QGW032344	DYKSTRA, JAMES	B	FACEBOOK INC CL A	10,220.00	300.00	0.00	300.00	82.5 247.50
09/25/2018	QGW032351	COLLINS, DONNIE	S	ALTRA INDL MOTION CORP COM	-9,175.62	20.00	0.00	20.00	82.5 16.50
10/04/2018	QGW032351	COLLINS, DONNIE	B	BLUE BIRD CORPORATION COM	2,488.86	40.00	0.00	40.00	82.5 33.00
10/26/2018	QGW032351	COLLINS, DONNIE	S	BLUE BIRD CORPORATION COM	-1,683.50	25.00	0.00	25.00	82.5 20.63
10/04/2018	QGW032377	FALLER, MATTHIAS	B	BLUE BIRD CORPORATION COM	2,491.83	40.00	0.00	40.00	82.5 33.00
10/05/2018	QGW032385	GIBBINGS, WAYNE	S	ATLASSIAN CORPORATION PLC CLASS A	-4,325.00	70.00	0.00	70.00	82.5 57.75
10/05/2018	QGW032385	GIBBINGS, WAYNE	B	BLUE BIRD CORPORATION COM	2,446.29	40.00	0.00	40.00	82.5 33.00
10/02/2018	QGW032401	DEFILIPO, STEVEN	S	ALTRA INDL MOTION CORP COM	-9,112.40	10.00	0.00	10.00	82.5 8.25
10/05/2018	QGW032401	DEFILIPO, STEVEN	B	BLUE BIRD CORPORATION COM	2,454.21	40.00	0.00	40.00	82.5 33.00
09/25/2018	QGW032450	LYDON, MICHELLE	S	ALTRA INDL MOTION CORP COM	-8,367.95	10.00	0.00	10.00	82.5 8.25
10/05/2018	QGW032450	LYDON, MICHELLE	B	BLUE BIRD CORPORATION COM	2,455.20	40.00	0.00	40.00	82.5 33.00
10/05/2018	QGW032468	HUNDLEY, MICHAEL	B	BLUE BIRD CORPORATION COM	2,446.29	40.00	0.00	40.00	82.5 33.00
09/25/2018	QGW032476	HANNAN, JOHN	S	ALTRA INDL MOTION CORP COM	-8,155.76	5.00	0.00	5.00	82.5 4.13
10/05/2018	QGW032476	HANNAN, JOHN	B	BLUE BIRD CORPORATION COM	2,451.24	40.00	0.00	40.00	82.5 33.00
10/26/2018	QGW032476	HANNAN, JOHN	S	BLUE BIRD CORPORATION COM	-1,701.70	25.00	0.00	25.00	82.5 20.63
09/25/2018	QGW032534	RAY, DAVID	S	ALTRA INDL MOTION CORP COM	-7,861.48	5.00	0.00	5.00	82.5 4.13
10/18/2018	QGW032534	RAY, DAVID	B	FACEBOOK INC CL A	6,240.00	50.00	0.00	50.00	82.5 41.25
10/26/2018	QGW032534	RAY, DAVID	S	HUNTSMAN CORP	-3,280.00	160.00	0.00	160.00	82.5 132.00
10/26/2018	QGW032534	RAY, DAVID	S	HUNTSMAN CORP	3,280.00	-160.00	0.00	-160.00	82.5 -132.00 C
10/26/2018	QGW032534	RAY, DAVID	S	HUNTSMAN CORP	-3,280.00	155.00	0.00	155.00	82.5 127.88
09/25/2018	QGW032641	SMITH, WALTER	S	ALTRA INDL MOTION CORP COM	-9,186.52	20.00	0.00	20.00	82.5 16.50
10/11/2018	QGW032641	SMITH, WALTER	S	HUNTSMAN CORP	-3,976.50	165.00	0.00	165.00	82.5 136.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/27/2018	QGW032658	BONNEY, DAVID		BLUE BIRD CORPORATION COM	B	2,169.00	30.00	0.00	30.00 82.5 24.75
09/27/2018	QGW032658	BONNEY, DAVID		HUNTSMAN CORP	S	-2,319.78	85.00	0.00	85.00 82.5 70.13
09/25/2018	QGW032765	HUNDLEY, BRIAN		ALTRA INDL MOTION CORP COM	S	-3,476.80	7.52	0.00	7.52 82.5 6.20
10/05/2018	QGW032765	HUNDLEY, BRIAN		BLUE BIRD CORPORATION COM	B	2,448.27	40.00	0.00	40.00 82.5 33.00
10/02/2018	QGW032831	FEDYK, PAUL		ALTRA INDL MOTION CORP COM	S	-9,127.66	10.00	0.00	10.00 82.5 8.25
10/02/2018	QGW033102	SHIPLEY, CRAIG		ALTRA INDL MOTION CORP COM	S	-9,151.64	10.00	0.00	10.00 82.5 8.25
10/10/2018	QGW033102	SHIPLEY, CRAIG		QUALCOMM INC	S	-17,310.86	100.00	0.00	100.00 82.5 82.50
10/02/2018	QGW033128	STRAUGHTER, EDNA		ALTRA INDL MOTION CORP COM	S	-9,068.76	10.00	0.00	10.00 82.5 8.25
09/25/2018	QGW033136	MARAGLINO, NICHOLAS		ALTRA INDL MOTION CORP COM	S	-9,238.84	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW033136	MARAGLINO, NICHOLAS		SALESFORCE.COM INC	S	-12,406.80	200.00	0.00	200.00 82.5 165.00
10/26/2018	QGW033136	MARAGLINO, NICHOLAS		BLUE BIRD CORPORATION COM	S	-1,669.56	25.00	0.00	25.00 82.5 20.63
10/26/2018	QGW033136	MARAGLINO, NICHOLAS		CBS CORP CLASS B	S	-1,886.85	25.00	0.00	25.00 82.5 20.63
10/26/2018	QGW033136	MARAGLINO, NICHOLAS		FACEBOOK INC CL A	B	13,570.00	400.00	0.00	400.00 82.5 330.00
10/12/2018	QGW033151	DEROIN, JOHN		ATLASSIAN CORPORATION PLC CLASS A	S	-11,312.00	100.00	0.00	100.00 82.5 82.50
10/05/2018	QGW033193	EHRlichMAN, NEIL		BLUE BIRD CORPORATION COM	B	2,435.40	40.00	0.00	40.00 82.5 33.00
09/25/2018	QGW033219	LATHAM, ROGER		ALTRA INDL MOTION CORP COM	S	-9,225.76	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW033219	LATHAM, ROGER		BLUE BIRD CORPORATION COM	B	2,452.23	40.00	0.00	40.00 82.5 33.00
10/02/2018	QGW033227	WHITE, CLARENCE		ALTRA INDL MOTION CORP COM	S	-9,156.00	15.00	0.00	15.00 82.5 12.38
09/28/2018	QGW033235	HEYES, STUART		BLUE BIRD CORPORATION COM	B	2,415.60	40.00	0.00	40.00 82.5 33.00
10/18/2018	QGW033334	FERRARO, SARAH		OPKO HEALTH INC	S	-1,636.52	50.00	0.00	50.00 82.5 41.25
10/02/2018	QGW033383	DEROIN, KATHLEEN		ALTRA INDL MOTION CORP COM	S	-9,147.28	10.00	0.00	10.00 82.5 8.25
10/05/2018	QGW033383	DEROIN, KATHLEEN		BLUE BIRD CORPORATION COM	B	2,452.13	40.00	0.00	40.00 82.5 33.00
10/05/2018	QGW033409	DEROIN, JOHN		BLUE BIRD CORPORATION COM	B	2,473.02	40.00	0.00	40.00 82.5 33.00
10/17/2018	QGW033417	O'BRIEN, PAUL		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	20,920.00	300.00	0.00	300.00 82.5 247.50
10/05/2018	QGW033847	GOMEZ, SUSANNA		BLUE BIRD CORPORATION COM	B	2,445.30	40.00	0.00	40.00 82.5 33.00
10/22/2018	QGW033847	GOMEZ, SUSANNA		BLUE BIRD CORPORATION COM	S	-1,785.43	20.00	0.00	20.00 82.5 16.50
10/22/2018	QGW033847	GOMEZ, SUSANNA		TESLA MOTORS INC	S	-2,086.40	20.00	0.00	20.00 82.5 16.50
10/05/2018	QGW033854	SPATES, JULIUS		BLUE BIRD CORPORATION COM	B	2,453.22	40.00	0.00	40.00 82.5 33.00
10/22/2018	QGW033854	SPATES, JULIUS		BLUE BIRD CORPORATION COM	S	-1,782.69	20.00	0.00	20.00 82.5 16.50
10/02/2018	QGW033870	BROWN, KENNETH		ALTRA INDL MOTION CORP COM	S	-9,153.82	10.00	0.00	10.00 82.5 8.25
10/12/2018	QGW033870	BROWN, KENNETH		SALESFORCE.COM INC	S	-14,408.01	300.00	0.00	300.00 82.5 247.50
10/26/2018	QGW033870	BROWN, KENNETH		FACEBOOK INC CL A	B	7,322.50	200.00	0.00	200.00 82.5 165.00
09/25/2018	QGW034100	SOMOGYI, ANTHONY		ALTRA INDL MOTION CORP COM	S	-9,186.52	10.00	0.00	10.00 82.5 8.25
10/02/2018	QGW034142	JANKLOW, DONALD		ALTRA INDL MOTION CORP COM	S	-7,766.30	10.00	0.00	10.00 82.5 8.25
10/05/2018	QGW034142	JANKLOW, DONALD		BLUE BIRD CORPORATION COM	B	2,461.14	40.00	0.00	40.00 82.5 33.00
10/23/2018	QGW035305	POORMAN, JEFFREY		APPLE INC	B	2,580.00	25.00	0.00	25.00 82.5 20.63
10/24/2018	QGW035305	POORMAN, JEFFREY		AT&T INC	B	1,522.00	30.00	0.00	30.00 82.5 24.75
10/05/2018	QGW036501	FERRARO, SARAH		BLUE BIRD CORPORATION COM	B	2,449.26	40.00	0.00	40.00 82.5 33.00
10/10/2018	QGW036907	RICHARDS, ALAN		AURORA CANNABIS INC COM ISIN#CA0515	B	10,160.00	300.00	0.00	300.00 82.5 247.50
10/10/2018	QGW036907	RICHARDS, ALAN		MAZOR ROBOTICS LTD SPONSORED ADS	S	-11,580.62	100.00	0.00	100.00 82.5 82.50

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/11/2018	QGW036907 RICHARDS, ALAN	JUNIPER NETWORKS	S	-6,035.13	50.00	0.00	50.00	82.5	41.25
10/24/2018	QGW036907 RICHARDS, ALAN	AURORA CANNABIS INC COM ISIN#CA0515 B		3,625.00	100.00	0.00	100.00	82.5	82.50
10/02/2018	QGW037202 ELIHU, ALBERT	ALTRA INDL MOTION CORP COM	S	-9,227.94	10.00	0.00	10.00	82.5	8.25
10/03/2018	QGW037319 PETERSON, GARY	ALTRA INDL MOTION CORP COM	S	-9,219.22	20.00	0.00	20.00	82.5	16.50
10/05/2018	QGW038549 MORAN, STEVEN	BLUE BIRD CORPORATION COM	B	2,448.27	40.00	0.00	40.00	82.5	33.00
10/19/2018	QGW039208 DEPARI, GARY	INTERNATIONAL BUSINESS MACHINES COR B		12,936.00	150.00	0.00	150.00	82.5	123.75
10/26/2018	QGW039877 CHESTNUT, JONATHAN	BLUE BIRD CORPORATION COM	S	-1,677.13	25.00	0.00	25.00	82.5	20.63
10/29/2018	QGW039877 CHESTNUT, JONATHAN	FACEBOOK INC CL A	B	5,958.96	150.00	0.00	150.00	82.5	123.75
<b>* STOCKS SUBTOTAL *</b>				<b>\$-336,432.00</b>	<b>\$11,092.52</b>	<b>\$0.00</b>	<b>\$11,092.52</b>		<b>\$9,151.60</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
10/16/2018	QGW032039 SANDERS, RALPH	CALL 100 INTERNATIONAL BUSINESS MAC S		-580.00	20.00	0.00	20.00	82.5	16.50
10/19/2018	QGW032047 SHIER, ANDY	CALL 100 SQUARE INC CL A EXP 11-30- S		-1,050.00	30.00	0.00	30.00	82.5	24.75
10/25/2018	QGW032047 SHIER, ANDY	CALL 100 APPLE INC COM EXP 11-23-18 S		-800.00	20.00	0.00	20.00	82.5	16.50
10/25/2018	QGW032047 SHIER, ANDY	CALL 100 SQUARE INC CL A EXP 11-30- B		597.00	10.00	0.00	10.00	82.5	8.25
10/30/2018	QGW032229 WATSON, ROGER	CALL 100 FACEBOOK INC CL A EXP 11-3 S		-635.00	15.00	0.00	15.00	82.5	12.38
<b>* OPTIONS SUBTOTAL *</b>				<b>\$-2,468.00</b>	<b>\$95.00</b>	<b>\$0.00</b>	<b>\$95.00</b>		<b>\$78.38</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/31/2018		FEE COUNT - 11		0.00	9.49	0.00	9.49	82.5	7.82
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$9.49</b>	<b>\$0.00</b>	<b>\$9.49</b>		<b>\$7.82</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$-338,900.00</b>	<b>\$11,197.01</b>	<b>\$0.00</b>	<b>\$11,197.01</b>		<b>\$9,237.80</b>
<b><u>ADJUSTMENTS</u></b>									
10/31/2018		COMMISSION INCOME: KLUTH		0.00	0.00	0.00	0.00		20.00
10/31/2018		COMMISSION INCOME: DRAPER		0.00	0.00	0.00	0.00		20.00
10/31/2018		COMMISSION INCOME: HAERINGER		0.00	0.00	0.00	0.00		20.00
10/31/2018		FEDEX CHARGE: DEPARI		0.00	0.00	0.00	0.00		-24.01
10/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-126.01</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,237.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-126.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.00
SIPC Assessment	\$-27.99

<b>Check Amount</b>	<b>\$9,069.80</b>
<b>Year-to-date Earnings</b>	<b>\$80,416.83</b>