



COMMISSION STATEMENT

December 10, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/03/2018	***-**-2960 - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	1,021.01	18.00	18.00	0.00	90.0	0.00
	ACCOUNT #: LSR011834	SELL 21 Units @48.7100						
		* MUTUAL FUNDS SUBTOTAL *	\$1,021.01	\$18.00	\$18.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
12/11/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	77.57	77.57	0.00	77.57	90.0	69.81
	ACCOUNT #: 925330602	BUY 0 Units @0.0000						
12/11/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	30.94	30.94	0.00	30.94	90.0	27.85
	ACCOUNT #: 925330644	BUY 0 Units @0.0000						
12/11/2018	***-**-99FB - MAY, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	120.99	120.99	0.00	120.99	90.0	108.89
	ACCOUNT #: 925396322	BUY 0 Units @0.0000						
12/11/2018	***-**-62FB - KEYS, RAMONA	LIFEMARK INVESTMENT ADVISORY FEE	93.31	93.31	0.00	93.31	90.0	83.98
	ACCOUNT #: 925408288	BUY 0 Units @0.0000						
12/11/2018	***-**-33FB - PIERSON, KAREN	LIFEMARK INVESTMENT ADVISORY FEE	589.47	589.47	0.00	589.47	90.0	530.52
	ACCOUNT #: 925425941	BUY 0 Units @0.0000						
		* RIA SUBTOTAL *	\$912.28	\$912.28	\$0.00	\$912.28		\$821.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/07/2018	***-**-6114 - HARBIN GRE	FIDELITY ADV EQUITY GROWTH CL A	0.00	4.23	0.00	4.23	90.0	3.81
	ACCOUNT #: 00655706638	BUY 0 Units @0.0000						
12/07/2018	***-**-114I - HARBIN, GREGORY	FIDELITY ADV EQUITY GROWTH CL A	0.00	2.22	0.00	2.22	90.0	2.00
	ACCOUNT #: 00704750850	BUY 0 Units @0.0000						
12/07/2018	***-**-9283 - HARBIN, JOANNA	FIDELITY ADV GROWTH & INCOME CL A	0.00	6.31	0.00	6.31	90.0	5.68
	ACCOUNT #: 00504394842	BUY 0 Units @0.0000						
12/10/2018	***-**-93IN - WARD, WILLIAM	SAMMONS LIVEWELL MUTUAL FUND	0.00	20.48	0.00	20.48	90.0	18.43
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
12/10/2018	***-**-47IO - ZINGARO, EILEEN	SAMMONS LIVEWELL MUTUAL FUND	0.00	64.55	0.00	64.55	90.0	58.10
	ACCOUNT #: 7200015372	BUY 0 Units @0.0000						
12/10/2018	***-**-9117 - RAY, EILEEN	SAMMONS LIVEWELL MUTUAL FUND	0.00	5.25	0.00	5.25	90.0	4.73
	ACCOUNT #: 7200037826	BUY 0 Units @0.0000						
12/10/2018	***-**-3994 - RAY, CLYDE	SAMMONS LIVEWELL MUTUAL FUND	0.00	6.17	0.00	6.17	90.0	5.55
	ACCOUNT #: 7200037829	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$109.21	\$0.00	\$109.21		\$98.30



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/30/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	196.75	0.00	196.75	90.0	177.08
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
11/30/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	1.39	0.00	1.39	90.0	1.25
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
11/30/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	76.23	0.00	76.23	90.0	68.61
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
12/04/2018	***-**-4155 - OUELLETTE, JOYCE	GENWORTH 37250G277	0.00	36.19	0.00	36.19	90.0	32.57
	ACCOUNT #: T06422061	BUY 0 Units @0.0000						
12/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	9.57	0.00	9.57	90.0	8.61
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
11/30/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS OPTION A	0.00	31.03	0.00	31.03	90.0	27.93
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
11/30/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS OPTION A	0.00	6.49	0.00	6.49	90.0	5.84
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
11/30/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS OPTION A	0.00	5.40	0.00	5.40	90.0	4.86
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
11/30/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	31.98	0.00	31.98	90.0	28.78
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
11/30/2018	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	6.87	0.00	6.87	90.0	6.18
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
12/10/2018	***-**-3199 - MAY, DAVID	OHIO NATIONAL TRAILS	0.00	162.13	0.00	162.13	90.0	145.92
	ACCOUNT #: 1910090	BUY 1 Units @0.0000						
11/30/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	39.88	0.00	39.88	90.0	35.89
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$603.91	\$0.00	\$603.91		\$543.52
*** BROKER TRANSACTIONS TOTAL ***			\$1,933.29	\$1,643.40	\$18.00	\$1,625.40		\$1,462.87



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$1,462.87
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,462.87
						Year-to-date Earnings		\$51,400.29