



COMMISSION STATEMENT

December 24, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/20/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 4 Units @13.2900						
12/17/2018	***-**-36IR - LEONE-WHITTLE,	THE BOND FUND OF AMERICA-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 00068471550	BUY 4 Units @12.4900						
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$1.00	\$0.00	\$1.00		\$0.96
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/24/2018	***-**-926I - MEDLEY, MARY	CALVERT MF TRAILS	0.00	9.61	0.00	9.61	95.0	9.13
	ACCOUNT #: 00006835005	BUY 0 Units @0.0000						
12/24/2018	***-**-8804 - KRIEL, SHERRI	DELAWARE MF TRAILS	0.00	3.03	0.00	3.03	95.0	2.88
	ACCOUNT #: 05144004432	BUY 0 Units @0.0000						
12/24/2018	***-**-835T - THE 403 (B)(7)	DELAWARE MF TRAILS	0.00	10.87	0.00	10.87	95.0	10.33
	ACCOUNT #: 5014036647	BUY 0 Units @0.0000						
12/24/2018	***-**-238I - MC ELHANEY,	DELAWARE MF TRAILS	0.00	6.67	0.00	6.67	95.0	6.34
	ACCOUNT #: 5014164813	BUY 0 Units @0.0000						
12/24/2018	***-**-568I - SHAW, TIMOTHY	DELAWARE MF TRAILS	0.00	1.50	0.00	1.50	95.0	1.43
	ACCOUNT #: 5014173495	BUY 0 Units @0.0000						
12/24/2018	***-**-567I - SHAW, FAITH	DELAWARE MF TRAILS	0.00	1.50	0.00	1.50	95.0	1.43
	ACCOUNT #: 5014173507	BUY 0 Units @0.0000						
12/24/2018	***-**-0280 - KRIEL, KRISTEN	DELAWARE MF TRAILS	0.00	1.61	0.00	1.61	95.0	1.53
	ACCOUNT #: 6000500926	BUY 0 Units @0.0000						
12/24/2018	***-**-104I - MONTGOMERY,	DELAWARE MF TRAILS	0.00	0.03	0.00	0.03	95.0	0.03
	ACCOUNT #: 06000504388	BUY 0 Units @0.0000						
12/24/2018	***-**-419I - BILLOW, KIMBER	DELAWARE MF TRAILS	0.00	1.07	0.00	1.07	95.0	1.02
	ACCOUNT #: 06000504391	BUY 0 Units @0.0000						
12/24/2018	***-**-39UG - KEOUGH, MARILYN	DELAWARE MF TRAILS	0.00	1.60	0.00	1.60	95.0	1.52
	ACCOUNT #: 05212097948	BUY 0 Units @0.0000						
12/24/2018	***-**-650I - AGLE, JONATHAN	DELAWARE MF TRAILS	0.00	12.44	0.00	12.44	95.0	11.82
	ACCOUNT #: 4000003326	BUY 0 Units @0.0000						
12/24/2018	***-**-4930 - SCIFANO, VINCENT	DELAWARE MF TRAILS	0.00	0.43	0.00	0.43	95.0	0.41
	ACCOUNT #: 4000001135	BUY 0 Units @0.0000						
12/24/2018	***-**-919I - KITSON, THOMAS	DELAWARE MF TRAILS	0.00	1.06	0.00	1.06	95.0	1.01
	ACCOUNT #: 5014723354	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/14/2018	***-**-43IN - AGLE, PATRICIA	REGIONAL BANK A	0.00	13.53	0.00	13.53	95.0	12.85
	ACCOUNT #: 00777135195	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$64.95	\$0.00	\$64.95		\$61.73
		*** BROKER TRANSACTIONS TOTAL ***	\$100.00	\$65.95	\$0.00	\$65.95		\$62.69

OVERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

12/17/2018	***-**-8906 - ISBELL,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00073594886	BUY 2 Units @27.1900						
12/17/2018	***-**-3143 - HUMPF, JOHN	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 2 Units @27.1900						
12/17/2018	***-**-7598 - HUMPF, MINDI	AMERICAN BALANCED FUND-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00082278912	BUY 4 Units @27.1900						
12/17/2018	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00086106101	BUY 2 Units @27.8400						
12/17/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 5 Units @10.1600						
12/17/2018	***-**-3143 - HUMPF, JOHN	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 5 Units @10.1600						
12/20/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 8 Units @9.9700						
12/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00086683340	BUY 2 Units @58.5200						
12/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 4 Units @19.8200						
12/17/2018	***-**-3143 - HUMPF, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 1 Units @47.0400						
12/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00086683340	BUY 3 Units @43.6900						
12/17/2018	***-**-7598 - HUMPF, MINDI	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 1 Units @48.1000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
12/17/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	133.00	3.66	0.00	3.66	20.0	0.73
	ACCOUNT #: 00082278912	BUY 3 Units @50.3600						
12/17/2018	***-**-3143 - HUMPF, JOHN	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	20.0	0.39
	ACCOUNT #: 00082277557	BUY 1 Units @50.3600						
12/17/2018	***-**-9089 - REEDY, LISA	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00083100942	BUY 1 Units @50.8900						
12/18/2018	***-**-8143 - DRAKE, RONALD	THE GROWTH FUND OF AMERICA-A	250.00	9.38	0.00	9.38	20.0	1.88
	ACCOUNT #: 00087671391	BUY 5 Units @50.9300						
12/20/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	20.0	0.83
	ACCOUNT #: 00086683340	BUY 7 Units @21.1600						
* RONALD DRAKE SUBTOTAL *			<u>\$1,478.00</u>	<u>\$44.82</u>	<u>\$0.00</u>	<u>\$44.82</u>		<u>\$9.00</u>
<u>BROKER: RONALD CIOTOLI</u>								
12/24/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 MOD GROWTH POR C	200.00	8.80	0.00	8.80	35.0	3.08
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
12/21/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.57	0.00	1.57	35.0	0.55
	ACCOUNT #: 00067346436	BUY 3 Units @21.0200						
12/21/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	5.23	0.00	5.23	35.0	1.83
	ACCOUNT #: 00067346436	BUY 9 Units @21.0200						
* RONALD CIOTOLI SUBTOTAL *			<u>\$447.00</u>	<u>\$15.60</u>	<u>\$0.00</u>	<u>\$15.60</u>		<u>\$5.46</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$1,925.00</u>	<u>\$60.42</u>	<u>\$0.00</u>	<u>\$60.42</u>		<u>\$14.46</u>



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$62.69
						Split Transactions		\$0.00
						Override Transactions		\$14.46
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$77.15
Year-to-date Earnings								\$27,999.34