

COMMISSION STATEMENT

October 31, 2018

 CHARLES BELL-D004
 7058 Lakeview Haven Drive #123

 BROKER #: D004
 BRANCH: DMN

Houston, TX 77095

COMMISSION STATEMENT for CHARLES BELL-D004

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/22/2018 368343369	DUNN, WANDA	MF EHV 277911772	S	-180,469.22	0.00	5.00	-5.00	87.5	-4.38
10/19/2018 368432242	PUTNAM, ANNA	MF PIONEER EQUITY INCOME FUND A	S	-84,454.89	0.00	5.00	-5.00	87.5	-4.38
10/19/2018 368432242	PUTNAM, ANNA	MF PIONEER EQUITY INCOME FUND A	S	84,454.89	0.00	-5.00	5.00	87.5	4.38
10/19/2018 368432242	PUTNAM, ANNA	MF PIONEER EQUITY INCOME FUND A	S	-4,987.55	0.00	5.00	-5.00	87.5	-4.38
10/24/2018 368432242	PUTNAM, ANNA	MF PIONEER EQUITY INCOME FUND A	B	-17.55	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *				\$-185,474.32	\$0.00	\$15.00	\$-15.00		\$-13.14

PRODUCT CATEGORY: STOCKS

10/22/2018 214807310	DUNN TTEE, WANDA	S AMAZON.COM INC	B	101,600.36	1,550.71	15.00	1,535.71	87.5	1,343.75
10/22/2018 214807310	DUNN TTEE, WANDA	S BOEING COMPANY	B	101,312.74	1,546.46	15.00	1,531.46	87.5	1,340.03
10/22/2018 214807310	DUNN TTEE, WANDA	S CHEVRON CORPORATION	B	71,177.44	1,101.11	15.00	1,086.11	87.5	950.35
10/22/2018 214807310	DUNN TTEE, WANDA	S LOCKHEED MARTIN CORPORATION	B	152,695.60	2,305.81	15.00	2,290.81	87.5	2,004.46
10/22/2018 214807310	DUNN TTEE, WANDA	S NETFLIX.COM INC	B	101,106.87	1,543.42	15.00	1,528.42	87.5	1,337.37
10/22/2018 214807310	DUNN TTEE, WANDA	S NVIDIA CORPORATION	B	60,864.38	948.70	15.00	933.70	87.5	816.99
10/22/2018 214807310	DUNN TTEE, WANDA	S RAYTHEON COMPANY NEW	B	75,481.43	1,164.71	15.00	1,149.71	87.5	1,006.00
10/22/2018 214807310	DUNN TTEE, WANDA	S VISA INC-CLASS A SHRS	B	151,504.63	2,288.21	15.00	2,273.21	87.5	1,989.06
* STOCKS SUBTOTAL *				\$815,743.45	\$12,449.13	\$120.00	\$12,329.13		\$10,788.01

PRODUCT CATEGORY: MISCELLANEOUS

10/22/2018 a03815987	BELL-D004, CHARLES	MI MUTUAL FUND MISC FEE 368432242	S	0.00	10.00	0.00	10.00	87.5	8.75
10/25/2018 a03815987	BELL-D004, CHARLES	MI MUTUAL FUND MISC FEE 368432242	S	0.00	-10.00	0.00	-10.00	87.5	-8.75
10/25/2018 a03815987	BELL-D004, CHARLES	MI MUTUAL FUND MISC FEE 368432242	S	0.00	10.00	0.00	10.00	87.5	8.75
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$10.00	\$0.00	\$10.00		\$8.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018 mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.19	0.00	1.19	87.5	1.04
10/19/2018 mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	17.84	0.00	17.84	87.5	15.61
10/19/2018 mfc815987	BELL 815987	MFT AMERICAN BALANCED FUND-A	B	0.00	8.07	0.00	8.07	87.5	7.06
10/19/2018 mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	7.02	0.00	7.02	87.5	6.14
10/19/2018 mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	12.98	0.00	12.98	87.5	11.36
10/19/2018 mfc815987	BELL 815987	MFT EHV 277911772	B	0.00	37.27	0.00	37.27	87.5	32.61
10/19/2018 mfc815987	BELL 815987	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	2.89	0.00	2.89	87.5	2.53

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10/19/2018	mfc815987 BELL 815987	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	4.50	0.00	4.50	87.5	3.94
10/19/2018	mfc815987 BELL 815987	MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.84	0.00	3.84	87.5	3.36
10/19/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.91	0.00	0.91	87.5	0.80
10/19/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.34	0.00	5.34	87.5	4.67
10/19/2018	mfc815987 BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.20	0.00	13.20	87.5	11.55
10/19/2018	mfc815987 BELL 815987	MFT INVESCO HIGH YIELD MUNICIPAL FD B	B	0.00	91.88	0.00	91.88	87.5	80.40
10/19/2018	mfc815987 BELL 815987	MFT INVESCO HIGH YIELD MUNICIPAL FD B	B	0.00	96.56	0.00	96.56	87.5	84.49
10/19/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	18.35	0.00	18.35	87.5	16.06
10/19/2018	mfc815987 BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	46.65	0.00	46.65	87.5	40.82
10/19/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	19.32	0.00	19.32	87.5	16.91
10/19/2018	mfc815987 BELL 815987	MFT PIONEER FUND A	B	0.00	38.83	0.00	38.83	87.5	33.98
10/19/2018	mfc815987 BELL 815987	MFT PIONEER INDEPENDENCE FD CL A	B	0.00	44.36	0.00	44.36	87.5	38.82
10/19/2018	mfc815987 BELL 815987	MFT PIONEER SELECT MID CAP GROWTH	B	0.00	66.28	0.00	66.28	87.5	58.00
10/19/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	87.5	0.80
10/19/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.58	0.00	5.58	87.5	4.88
10/19/2018	mfc815987 BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.64	0.00	12.64	87.5	11.06
10/19/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	5.29	0.00	5.29	87.5	4.63
10/19/2018	mfc815987 BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	6.92	0.00	6.92	87.5	6.06
10/19/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.39	0.00	5.39	87.5	4.72
10/19/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.03	0.00	6.03	87.5	5.28
10/19/2018	mfc815987 BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	9.83	0.00	9.83	87.5	8.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$589.87	\$0.00	\$589.87		\$516.18
*** CLEARING TRANSACTIONS TOTAL ***				\$630,269.13	\$13,049.00	\$135.00	\$12,914.00		\$11,299.80

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
10/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
10/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
10/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	261.88
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$76.88
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$76.88

BROKER COMMISSION TOTALS

Broker Transactions	\$11,299.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$76.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11,376.68
Year-to-date Commissions	\$169,558.97