

COMMISSION STATEMENT November 30, 2018

 JOHN BOYD-D008
 615 SW 8th Avenue

 BROKER #: D008
 BRANCH: DMC

Amarillo, TX 79101-2113

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | | |
|---------------------------|-----------|----------------|----|------------------------------|---|--------------|--------|--------|---------|------|---------|
| 11/05/2018 | 645597783 | RANDALL, DELIA | MF | IVY LARGE CAP GROWTH FD CL A | S | -12,025.02 | 0.00 | 5.00 | -5.00 | 90.0 | -4.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$-12,025.02 | \$0.00 | \$5.00 | \$-5.00 | | \$-4.50 |

PRODUCT CATEGORY: STOCKS

| | | | | | | | | | | | |
|---------------------|-----------|------------------|---|--------------------------|---|-------------|----------|---------|---------|------|---------|
| 10/31/2018 | 553849710 | ODEM, ORIS | S | AMAZON.COM INC | B | 16,162.25 | 50.00 | 15.00 | 35.00 | 90.0 | 31.50 |
| 11/23/2018 | 800044352 | TRUST, WHITEHEAD | S | GENERAL ELECTRIC COMPANY | S | -1,234.26 | 50.00 | 15.00 | 35.00 | 90.0 | 31.50 |
| * STOCKS SUBTOTAL * | | | | | | \$14,927.99 | \$100.00 | \$30.00 | \$70.00 | | \$63.00 |

PRODUCT CATEGORY: MISCELLANEOUS

| | | | | | | | | | | | |
|----------------------------|-----------|-----------------|----|--------------------------------|---|--------|---------|--------|---------|------|--------|
| 11/06/2018 | a03396814 | BOYD-D008, JOHN | MI | MUTUAL FUND MISC FEE 645597783 | S | 0.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| * MISCELLANEOUS SUBTOTAL * | | | | | | \$0.00 | \$10.00 | \$0.00 | \$10.00 | | \$9.00 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | | | |
|------------|-----------|---------------|-----|---------------------------------|---|------|-------|------|-------|------|-------|
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | AMCAP FUND-A | B | 0.00 | 5.33 | 0.00 | 5.33 | 90.0 | 4.80 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | AMCAP FUND-A | B | 0.00 | 28.14 | 0.00 | 28.14 | 90.0 | 25.33 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 14.55 | 0.00 | 14.55 | 90.0 | 13.10 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | AMERICAN HIGH-INCOME TRUST-A | B | 0.00 | 1.80 | 0.00 | 1.80 | 90.0 | 1.62 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | AMERICAN MUTUAL FUND-A | B | 0.00 | 9.77 | 0.00 | 9.77 | 90.0 | 8.79 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL INCOME BUILDER-A | B | 0.00 | 1.32 | 0.00 | 1.32 | 90.0 | 1.19 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL INCOME BUILDER-A | B | 0.00 | 1.57 | 0.00 | 1.57 | 90.0 | 1.41 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL INCOME BUILDER-A | B | 0.00 | 2.92 | 0.00 | 2.92 | 90.0 | 2.63 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.99 | 0.00 | 0.99 | 90.0 | 0.89 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 1.94 | 0.00 | 1.94 | 90.0 | 1.75 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 2.90 | 0.00 | 2.90 | 90.0 | 2.61 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 7.57 | 0.00 | 7.57 | 90.0 | 6.81 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 9.00 | 0.00 | 9.00 | 90.0 | 8.10 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 15.14 | 0.00 | 15.14 | 90.0 | 13.63 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 22.87 | 0.00 | 22.87 | 90.0 | 20.58 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | COLUMBIA TAX-EXEMPT FUND-A | B | 0.00 | 2.86 | 0.00 | 2.86 | 90.0 | 2.57 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | COLUMBIA TAX-EXEMPT FUND-A | B | 0.00 | 12.57 | 0.00 | 12.57 | 90.0 | 11.31 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | DREYFUS PREMIER TECHNOLOGY | B | 0.00 | 2.85 | 0.00 | 2.85 | 90.0 | 2.57 |
| 11/21/2018 | mfc396814 | BOYD J 396814 | MFT | DRYDEN INTERNATIONAL EQUITY FD | B | 0.00 | 0.77 | 0.00 | 0.77 | 90.0 | 0.69 |

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 1.06 | 0.00 | 1.06 | 90.0 | 0.95 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT FIDELITY ADV NEW INSIGHTS-CL A | B | 0.00 | 2.28 | 0.00 | 2.28 | 90.0 | 2.05 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT FRANKLIN FEDERAL TAX FREE | B | 0.00 | 2.15 | 0.00 | 2.15 | 90.0 | 1.94 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT FRANKLIN NATURAL RESOURCES FND | B | 0.00 | 1.37 | 0.00 | 1.37 | 90.0 | 1.23 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT FRANKLIN RISING DIVIDENDS FD | B | 0.00 | 7.65 | 0.00 | 7.65 | 90.0 | 6.89 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT FRANKLIN RISING DIVIDENDS FD | B | 0.00 | 7.65 | 0.00 | 7.65 | 90.0 | 6.89 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 2.28 | 0.00 | 2.28 | 90.0 | 2.05 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 7.15 | 0.00 | 7.15 | 90.0 | 6.44 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT GROWTH AND INCOME PORTFOLIO-C | B | 0.00 | 42.16 | 0.00 | 42.16 | 90.0 | 37.94 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT IVY LARGE CAP GROWTH FD CL A | B | 0.00 | 2.61 | 0.00 | 2.61 | 90.0 | 2.35 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT JENNISON GROWTH FUND CLASS A | B | 0.00 | 9.58 | 0.00 | 9.58 | 90.0 | 8.62 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT LORD ABBETT MULTI-ASSET INCOME | B | 0.00 | 2.00 | 0.00 | 2.00 | 90.0 | 1.80 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT LORD ABBETT FLOATING RATE FD CL | B | 0.00 | 14.42 | 0.00 | 14.42 | 90.0 | 12.98 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT MFS EMERGING GROWTH FUND CLASS | B | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 5.18 | 0.00 | 5.18 | 90.0 | 4.66 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 8.76 | 0.00 | 8.76 | 90.0 | 7.88 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 15.73 | 0.00 | 15.73 | 90.0 | 14.16 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT NEW WORLD FUND-A | B | 0.00 | 1.08 | 0.00 | 1.08 | 90.0 | 0.97 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT NEW WORLD FUND-A | B | 0.00 | 17.19 | 0.00 | 17.19 | 90.0 | 15.47 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT OPPENHEIMER SENIOR FLOATING | B | 0.00 | 4.11 | 0.00 | 4.11 | 90.0 | 3.70 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT OPPENHEIMER STRATEGIC INCOME FD | B | 0.00 | 4.54 | 0.00 | 4.54 | 90.0 | 4.09 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT PRUDENTIAL JENNISON BLEND FD A | B | 0.00 | 3.89 | 0.00 | 3.89 | 90.0 | 3.50 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT PRUDENTIAL JENNISON MID CAP | B | 0.00 | 4.65 | 0.00 | 4.65 | 90.0 | 4.19 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT ROCHESTER NATIONAL MUNICIPALS A | B | 0.00 | 99.58 | 0.00 | 99.58 | 90.0 | 89.62 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT SHORT DURATION INCOME A | B | 0.00 | 19.43 | 0.00 | 19.43 | 90.0 | 17.49 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 4.49 | 0.00 | 4.49 | 90.0 | 4.04 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 7.00 | 0.00 | 7.00 | 90.0 | 6.30 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 11.70 | 0.00 | 11.70 | 90.0 | 10.53 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 17.09 | 0.00 | 17.09 | 90.0 | 15.38 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 4.99 | 0.00 | 4.99 | 90.0 | 4.49 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 23.34 | 0.00 | 23.34 | 90.0 | 21.01 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 4.27 | 0.00 | 4.27 | 90.0 | 3.84 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 5.51 | 0.00 | 5.51 | 90.0 | 4.96 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT THE NEW ECONOMY FUND-A | B | 0.00 | 35.34 | 0.00 | 35.34 | 90.0 | 31.81 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 5.15 | 0.00 | 5.15 | 90.0 | 4.64 |
| 11/21/2018 | mfc396814 BOYD J 396814 | MFT WELLS FARGO MUNICIPAL BOND-A | B | 0.00 | 39.17 | 0.00 | 39.17 | 90.0 | 35.25 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$596.12 | \$0.00 | \$596.12 | | \$536.54 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$2,902.97 | \$706.12 | \$35.00 | \$671.12 | | \$604.04 |

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|-------------------------------|---------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 11/05/2018 | (None) BLAIR, SHIRLEY | MF DELWARE | B | 500.00 | 20.00 | 0.00 | 20.00 | 90.0 | 18.00 |
| 11/07/2018 | (None) WILLIAMS, SHANE | MF OPPENHEIMER | B | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 11/19/2018 | 000000000700361 BARBOUR, LISA | MF FRANKLIN MODERATE ALLOCATION | B | 250.00 | 12.50 | 0.00 | 12.50 | 90.0 | 11.25 |
| 11/16/2018 | 00066979577 PINSON, MELODY | MF THE GROWTH FUND OF AMERICA-A | B | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 11/15/2018 | 00068586453 BARBOUR, BYRON | MF AMCAP FUND-A | B | 1,125.00 | 22.50 | 0.00 | 22.50 | 90.0 | 20.25 |
| 11/15/2018 | 00068586453 BARBOUR, BYRON | MF AMERICAN MUTUAL FUND-A | B | 1,125.00 | 22.50 | 0.00 | 22.50 | 90.0 | 20.25 |
| 11/15/2018 | 00068586453 BARBOUR, BYRON | MF NEW PERSPECTIVE FUND-A | B | 1,500.00 | 30.00 | 0.00 | 30.00 | 90.0 | 27.00 |
| 11/15/2018 | 00068586453 BARBOUR, BYRON | MF SMALLCAP WORLD FUND-A | B | 1,500.00 | 30.00 | 0.00 | 30.00 | 90.0 | 27.00 |
| 11/15/2018 | 00068586453 BARBOUR, BYRON | MF THE NEW ECONOMY FUND-A | B | 1,125.00 | 22.50 | 0.00 | 22.50 | 90.0 | 20.25 |
| 11/15/2018 | 00068586453 BARBOUR, BYRON | MF WASHINGTON MUTUAL INVESTORS | B | 993.57 | 19.87 | 0.00 | 19.87 | 90.0 | 17.88 |
| 11/15/2018 | 00068586455 BARBOUR, BYRON | MF AMCAP FUND-A | B | 1,080.00 | 21.60 | 0.00 | 21.60 | 90.0 | 19.44 |
| 11/15/2018 | 00068586455 BARBOUR, BYRON | MF AMERICAN MUTUAL FUND-A | B | 1,080.00 | 21.60 | 0.00 | 21.60 | 90.0 | 19.44 |
| 11/15/2018 | 00068586455 BARBOUR, BYRON | MF NEW PERSPECTIVE FUND-A | B | 1,440.00 | 28.80 | 0.00 | 28.80 | 90.0 | 25.92 |
| 11/15/2018 | 00068586455 BARBOUR, BYRON | MF SMALLCAP WORLD FUND-A | B | 1,440.00 | 28.80 | 0.00 | 28.80 | 90.0 | 25.92 |
| 11/15/2018 | 00068586455 BARBOUR, BYRON | MF THE NEW ECONOMY FUND-A | B | 1,080.00 | 21.60 | 0.00 | 21.60 | 90.0 | 19.44 |
| 11/15/2018 | 00068586455 BARBOUR, BYRON | MF WASHINGTON MUTUAL INVESTORS | B | 953.48 | 19.07 | 0.00 | 19.07 | 90.0 | 17.16 |
| 11/12/2018 | 00074444937 DEBRA L DUNNE | MF AMERICAN BALANCED FUND-A | B | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 11/12/2018 | 00086815975 DUNNE, CONNOR | MF AMERICAN BALANCED FUND-529A | B | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 11/12/2018 | 00088888235 DUNNE, CONNOR | MF AMERICAN BALANCED FUND-529A | B | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 11/19/2018 | 04000330579 SHANKS, STEVEN | MF CAPITAL INCOME BUILDER-529A | B | 100.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 11/19/2018 | 04000330579 SHANKS, STEVEN | MF CAPITAL WORLD GROWTH AND | B | 100.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 11/19/2018 | 04000330579 SHANKS, STEVEN | MF SMALLCAP WORLD FUND-529A | B | 100.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 11/20/2018 | 04001063247 ORCUTT, STEPHEN | MF AMCAP FUND-C | B | 1,854.89 | 18.55 | 0.00 | 18.55 | 90.0 | 16.70 |
| 11/20/2018 | 04001063247 ORCUTT, STEPHEN | MF THE NEW ECONOMY FUND-C | B | 1,950.00 | 19.50 | 0.00 | 19.50 | 90.0 | 17.55 |
| 11/20/2018 | 04001063255 ORCUTT, STEPHEN | MF AMCAP FUND-C | B | 429.50 | 4.30 | 0.00 | 4.30 | 90.0 | 3.87 |
| 11/20/2018 | 04001063255 ORCUTT, STEPHEN | MF THE NEW ECONOMY FUND-C | B | 450.00 | 4.50 | 0.00 | 4.50 | 90.0 | 4.05 |
| 11/12/2018 | 04001161657 PARKERBASK | MF AMERICAN MUTUAL FUND-529A | B | 67.50 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 11/12/2018 | 04001161657 PARKERBASK | MF NEW PERSPECTIVE FUND-529A | B | 67.50 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 11/12/2018 | 04001161657 PARKERBASK | MF SMALLCAP WORLD FUND-529A | B | 135.00 | 2.70 | 0.00 | 2.70 | 90.0 | 2.43 |
| 11/12/2018 | 04001161657 PARKERBASK | MF THE INVESTMENT COMPANY OF | B | 67.50 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 11/12/2018 | 04001161657 PARKERBASK | MF THE NEW ECONOMY FUND-529A | B | 112.50 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 11/12/2018 | 04001161729 PARKERBASK | MF AMERICAN MUTUAL FUND-529A | B | 67.50 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 11/12/2018 | 04001161729 PARKERBASK | MF NEW PERSPECTIVE FUND-529A | B | 67.50 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 11/12/2018 | 04001161729 PARKERBASK | MF SMALLCAP WORLD FUND-529A | B | 135.00 | 2.70 | 0.00 | 2.70 | 90.0 | 2.43 |
| 11/12/2018 | 04001161729 PARKERBASK | MF THE INVESTMENT COMPANY OF | B | 67.50 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 11/12/2018 | 04001161729 PARKERBASK | MF THE NEW ECONOMY FUND-529A | B | 112.50 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 11/12/2018 | 04001161752 BASKETTJER | MF AMERICAN MUTUAL FUND-529A | B | 96.45 | 1.93 | 0.00 | 1.93 | 90.0 | 1.74 |
| 11/12/2018 | 04001161752 BASKETTJER | MF NEW PERSPECTIVE FUND-529A | B | 96.45 | 1.93 | 0.00 | 1.93 | 90.0 | 1.74 |
| 11/12/2018 | 04001161752 BASKETTJER | MF SMALLCAP WORLD FUND-529A | B | 192.90 | 3.86 | 0.00 | 3.86 | 90.0 | 3.47 |
| 11/12/2018 | 04001161752 BASKETTJER | MF THE INVESTMENT COMPANY OF | B | 96.45 | 1.93 | 0.00 | 1.93 | 90.0 | 1.74 |

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------|------------------------|------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 11/12/2018 | 04001161752 BASKETTJER | MF THE NEW ECONOMY FUND-529A | B | 160.75 | 3.22 | 0.00 | 3.22 | 90.0 | 2.90 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$22,069.44 | \$415.66 | \$0.00 | \$415.66 | | \$374.14 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | |
|---|------------------------------------|---|------|--------------------|-----------------|---------------|-----------------|-----------------|
| 10/31/2018 (None) BLAIR, SHIRLEY | MFT DELWARE | B | 0.00 | 11.55 | 0.00 | 11.55 | 90.0 | 10.40 |
| 11/14/2018 02400029829 ELLA JANE TRACY | MFT LORD ABBETT AFFILIATED FUND | B | 0.00 | 11.02 | 0.00 | 11.02 | 90.0 | 9.92 |
| 11/14/2018 02400029829 ELLA JANE TRACY | MFT MULTI-ASSET GLOBAL OPPORTUNITY | B | 0.00 | 7.16 | 0.00 | 7.16 | 90.0 | 6.44 |
| 11/14/2018 02400029829 ELLA JANE TRACY | MFT SMALL CAP VALUE A | B | 0.00 | 22.46 | 0.00 | 22.46 | 90.0 | 20.21 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$52.19 | \$0.00 | \$52.19 | \$46.97 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$22,069.44 | \$467.85 | \$0.00 | \$467.85 | \$421.11 |

OVERRIDE TRANSACTIONSBROKER: SCOTT SICKLES-D060 #54

| | | | | | | | |
|---|------------------------------------|---|------------|----------|--------|----------|---------|
| 11/19/2018 000000000701021 BRANNON, | MF FRANKLIN MODERATE ALLOCATION | B | 51.00 | 2.55 | 0.00 | 2.55 | 0.38 |
| 11/19/2018 000000012372684 GRIEGO, | MF FRANKLIN INCOME FUND CLASS C | B | 250.00 | 2.50 | 0.00 | 2.50 | 0.38 |
| 11/19/2018 000019817879772 BRANNON, | MF FRANKLIN SMALL-MID CAP GROWTH | B | 49.50 | 2.48 | 0.00 | 2.48 | 0.37 |
| 11/19/2018 000060177110343 BRANNON, | MF FRANKLIN GROWTH FUND CLASS A | B | 49.50 | 2.48 | 0.00 | 2.48 | 0.37 |
| 11/20/2018 00089635528 BAUTISTA, MOISES | MF FUNDAMENTAL INVESTORS-C | B | 850.00 | 8.50 | 0.00 | 8.50 | 1.28 |
| 11/20/2018 04000032754 SPIVA, HOLLY | MF CAPITAL INCOME BUILDER CLASS C | B | 20.00 | 0.20 | 0.00 | 0.20 | 0.03 |
| 11/20/2018 04000032754 SPIVA, HOLLY | MF EUROPACIFIC GROWTH FUND CLASS C | B | 20.00 | 0.20 | 0.00 | 0.20 | 0.03 |
| 11/20/2018 04000032754 SPIVA, HOLLY | MF FUNDAMENTAL INVESTORS-C | B | 10.00 | 0.10 | 0.00 | 0.10 | 0.02 |
| 11/20/2018 04000032754 SPIVA, HOLLY | MF NEW WORLD FUND-C | B | 20.00 | 0.20 | 0.00 | 0.20 | 0.03 |
| 11/20/2018 04000032754 SPIVA, HOLLY | MF THE GROWTH FUND OF AMERICA-C | B | 20.00 | 0.20 | 0.00 | 0.20 | 0.03 |
| 11/20/2018 04000032754 SPIVA, HOLLY | MF WASHINGTON MUTUAL INVESTORS | B | 10.00 | 0.10 | 0.00 | 0.10 | 0.02 |
| 11/15/2018 04000638082 PEREZ, GEORGIA | MF THE NEW ECONOMY FUND-C | B | 120.00 | 1.20 | 0.00 | 1.20 | 0.18 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 0.95 | 0.00 | 0.95 | 0.14 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT AMERICAN BALANCED FUND-C | B | 0.00 | 8.20 | 0.00 | 8.20 | 1.23 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT CAPITAL INCOME BUILDER CLASS C | B | 0.00 | 7.48 | 0.00 | 7.48 | 1.12 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 0.22 | 0.00 | 0.22 | 0.03 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT FRANKLIN INCOME FUND CLASS C | B | 0.00 | 4.65 | 0.00 | 4.65 | 0.70 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT FRK RISING DIV FND - CL C | B | 0.00 | 12.78 | 0.00 | 12.78 | 1.92 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT FUNDAMENTAL INVESTORS-C | B | 0.00 | 23.20 | 0.00 | 23.20 | 3.48 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT INVESTMENT COMPANY OF AMERICA | B | 0.00 | 22.73 | 0.00 | 22.73 | 3.41 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT MUTUAL DISC FND - CL C | B | 0.00 | 5.10 | 0.00 | 5.10 | 0.77 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT TEMP GLOBAL BOND FND - CL A | B | 0.00 | 1.06 | 0.00 | 1.06 | 0.16 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT THE BOND FUND OF AMERICA-A | B | 0.00 | 0.59 | 0.00 | 0.59 | 0.09 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT THE GROWTH FUND OF AMERICA-C | B | 0.00 | 25.04 | 0.00 | 25.04 | 3.76 |
| 11/21/2018 mfc500587 SICKLES 500587 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 9.10 | 0.00 | 9.10 | 1.37 |
| * SCOTT SICKLES-D060 SUBTOTAL * | | | \$1,470.00 | \$141.81 | \$0.00 | \$141.81 | \$21.30 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$1,470.00 | \$141.81 | \$0.00 | \$141.81 | \$21.30 |

COMMISSION STATEMENT for JOHN BOYD-D008

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS TRANSACTIONS

BROKER: #0

| | | | | | | | | | |
|--|---|------------------------------|--|--------|--------|--------|--------|--|----------|
| 11/30/2018 | : | BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 11/30/2018 | : | BROKER WORKSTATION-SHEILA | | 0.00 | 0.00 | 0.00 | 0.00 | | -15.00 |
| 11/30/2018 | : | E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 11/30/2018 | : | E-MAIL ACCOUNT-SHEILA TUCKER | | 0.00 | 0.00 | 0.00 | 0.00 | | -12.50 |
| 11/30/2018 | : | MONEY MARKET TRAILS | | 0.00 | 0.00 | 0.00 | 0.00 | | 451.38 |
| * SUBTOTAL * | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$368.88 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$368.88 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,025.15 |
| Split Transactions | \$0.00 |
| Override Transactions | \$21.30 |
| Adjustments | \$368.88 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|-------------|
| Net Commissions | \$1,415.33 |
| Year-to-date Commissions | \$53,247.65 |