

COMMISSION STATEMENT

October 31, 2018

MARY COSTA

6008 Lake Manor Drive

Baltimore, MD 21210-1019

BROKER #: A12 P7M

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARY COSTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/02/2018 75502192	COSTA, GALE	BLACKSTONE / GSO STRATEGIC CR FD CO B		15,915.84	0.00	10.00	-10.00	70.0	-10.00
10/02/2018 75502192	COSTA, GALE	BLACKSTONE / GSO STRATEGIC CR FD CO B		79,578.34	100.00	10.00	90.00	70.0	60.00
09/27/2018 75502193	COSTA, GALE	GLAXOSMITHKLINE PLC SPONSORED ADR(F B		20,309.00	20.00	10.00	10.00	70.0	4.00
* STOCKS SUBTOTAL *				\$115,803.18	\$120.00	\$30.00	\$90.00		\$54.00

PRODUCT CATEGORY: OPTIONS

10/22/2018 75502192	COSTA, GALE	CALL ABT	01/18/19	70	S	5,615.05	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL COP	01/18/19	75	S	3,735.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL INTC	01/18/19	45	S	21,780.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL JNJ	04/18/19	140	S	15,525.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL MRK	01/18/19	72.50	S	10,915.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL MSFT	04/18/19	115	S	28,616.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL PFE	03/15/19	45	S	12,635.92	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL SPY	01/20/17	222	S	5,372.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL SPY	01/20/17	222	S	5,000.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	CALL SPY	01/20/17	222	S	2,772.00	20.00	10.00	10.00	70.0	4.00
10/22/2018 75502192	COSTA, GALE	PUT DWDP	01/19/18	67.50	S	3,540.00	20.00	10.00	10.00	70.0	4.00
10/25/2018 75502194	COSTA, SHERIDAN	CALL BMY	01/18/19	50	S	3,248.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	CALL INTC	01/18/19	45	S	4,161.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	CALL JNJ	01/18/19	140	S	2,090.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	CALL KMI	01/18/19	18	S	1,160.80	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	CALL PAYX	01/18/19	67.50	S	3,060.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	CALL PNC	01/18/19	110	S	1,568.52	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	PUT AABA	10/26/18	59	S	2,607.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502194	COSTA, SHERIDAN	PUT DWDP	01/19/18	67.50	S	711.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502201	COSTA, SHERIDAN	CALL BMY	01/18/19	50	S	1,827.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502201	COSTA, SHERIDAN	CALL INTC	01/18/19	45	S	3,285.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502201	COSTA, SHERIDAN	CALL JNJ	01/18/19	140	S	1,254.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502201	COSTA, SHERIDAN	CALL KMI	01/18/19	18	S	290.20	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502201	COSTA, SHERIDAN	CALL MSFT	01/18/19	115	S	2,970.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018 75502201	COSTA, SHERIDAN	CALL PNC	01/18/19	110	S	261.42	0.00	10.00	-10.00	70.0	-10.00

COMMISSION STATEMENT for MARY COSTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/25/2018	75502201	COSTA, SHERIDAN	CALL TOT	01/18/19	62.50	S	760.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018	75502201	COSTA, SHERIDAN	PUT AABA	10/26/18	59	S	1,343.00	0.00	10.00	-10.00	70.0	-10.00
10/25/2018	75502201	COSTA, SHERIDAN	PUT DWDP	01/19/18	67.50	S	790.00	0.00	10.00	-10.00	70.0	-10.00
* OPTIONS SUBTOTAL *							\$146,892.91	\$220.00	\$280.00	\$-60.00		\$-126.00
PRODUCT CATEGORY: RIA												
10/01/2018	75502194	COSTA, SHERIDAN	FAS FEES PT. I/III			B	-6,082.86	1,845.13	10.00	1,835.13	70.0	1,281.59
10/01/2018	75502201	COSTA, SHERIDAN	FAS FEES PT. I/III			B	-2,146.44	617.10	10.00	607.10	70.0	421.97
* RIA SUBTOTAL *							\$-8,229.30	\$2,462.23	\$20.00	\$2,442.23		\$1,703.56
*** BROKER TRANSACTIONS TOTAL ***							\$254,466.79	\$2,802.23	\$330.00	\$2,472.23		\$1,631.56

ADJUSTMENTS

10/31/2018	MISCELLANEOUS: REV CLEARING CHG ADV	0.00	0.00	0.00	0.00	180.00
10/31/2018	MISCELLANEOUS: TECHNOLOGY FEE	0.00	0.00	0.00	0.00	-20.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$160.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,631.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$160.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.50
SIPC Assessment	\$-7.01

Check Amount	\$1,781.05
Year-to-date Earnings	\$11,228.20