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04/06/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
SICKLES, SCOTT A.-D060						
Bill	03/31/2018	COM...		03/31/2018	109.50	109.50
Credit	04/01/2018	E&O			-120.00	-120.00
Total SICKLES, SCOTT A.-D060					-10.50	-10.50
TOTAL					-10.50	-10.50

COMMISSION STATEMENT

March 31, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.85	0.00	0.85	75.0	0.64
03/21/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	7.35	0.00	7.35	75.0	5.51
03/21/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.02	0.00	7.02	75.0	5.27
03/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	3.63	0.00	3.63	75.0	2.72
03/21/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.19	0.00	0.19	75.0	0.14
03/21/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	11.19	0.00	11.19	75.0	8.39
03/21/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	21.09	0.00	21.09	75.0	15.82
03/21/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	20.59	0.00	20.59	75.0	15.44
03/21/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	4.82	0.00	4.82	75.0	3.62
03/21/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	0.96	0.00	0.96	75.0	0.72
03/21/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.54	0.00	0.54	75.0	0.41
03/21/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	22.13	0.00	22.13	75.0	16.60
03/21/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.07	0.00	8.07	75.0	6.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$108.43	\$0.00	\$108.43		\$81.33
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$108.43	\$0.00	\$108.43		\$81.33

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

03/22/2018	000000000701021 BRANNON,	MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
03/22/2018	0000000012372684 GRIEGO,	MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
03/22/2018	000019817879772 BRANNON,	MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
03/22/2018	000060177110343 BRANNON,	MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
03/16/2018	00082895917 JOHNSON, KRISTY	MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
03/16/2018	00082895917 JOHNSON, KRISTY	MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
03/16/2018	00082895917 JOHNSON, KRISTY	MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
03/23/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	75.0	7.50
03/12/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
03/12/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
03/12/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
03/12/2018	04000032754	SPIVA, HOLLY	MF	NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
03/12/2018	04000032754	SPIVA, HOLLY	MF	THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15
03/12/2018	04000032754	SPIVA, HOLLY	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
03/15/2018	04000638082	PEREZ, GEORGIA	MF	THE NEW ECONOMY FUND-C	B	1,500.00	15.00	0.00	15.00	75.0	11.25
03/23/2018	04000650197	MONFORT, RONALD	MF	AMCAP FUND-A	B	150.00	7.50	0.00	7.50	75.0	5.63
03/23/2018	04000650197	MONFORT, RONALD	MF	THE GROWTH FUND OF AMERICA-A	B	150.00	7.50	0.00	7.50	75.0	5.63
03/23/2018	04000650593	MONFORT, TINA	MF	RTMT INCOME PORTFOLIO	B	10.00	0.50	0.00	0.50	75.0	0.38
03/23/2018	04000650593	MONFORT, TINA	MF	RTMT INCOME PORTFOLIO	B	300.00	15.00	0.00	15.00	75.0	11.25
* MUTUAL FUNDS SUBTOTAL *						\$3,710.00	\$70.85	\$0.00	\$70.85		\$53.17
*** DIRECT TRANSACTIONS TOTAL ***						\$3,710.00	\$70.85	\$0.00	\$70.85		\$53.17

ADJUSTMENTS

03/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-25.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$134.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$109.50
Year-to-date Commissions	\$1,792.04