

**COMMISSION STATEMENT**
**September 30, 2018**

RIX/RANDY SMITH/SMITH-D081

BROKER #: D081

BRANCH: DMA

, TX

**COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/11/2018 676365887	SMITH, RANDALL	S SUNOCO LP	B	19,459.75	0.00	0.00	0.00	100.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$19,459.75</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
09/12/2018 676346300	SMITH TTEE, RONALD	B NEW BRAUNFELS TEX INDPT SCH DI	B	25,092.03	0.00	0.00	0.00	100.0	0.00
09/17/2018 676346300	SMITH TTEE, RONALD	B COLLEGE STATION TX GO IMPT &	B	14,972.80	0.00	0.00	0.00	100.0	0.00
09/04/2018 676356642	SMITH, RANDALL	B SEGUIN TEX GO BDS 2014 CPN: 4%	B	26,236.59	0.00	0.00	0.00	100.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$66,301.42</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
09/21/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/21/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00	100.0	0.00
09/21/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/21/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/21/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	0.00	0.00	0.00	100.0	0.00
09/21/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/24/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/24/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00	100.0	0.00
09/24/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/24/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	0.00	0.00	0.00	100.0	0.00
09/24/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	0.00	0.00	0.00	100.0	0.00
09/24/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$85,761.17</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>