

COMMISSION STATEMENT
October 15, 2018
GREG PHILLIPS-D366
 809 Jefferson Avenue

BROKER #: D366
 BRANCH: DMD

LaPorte, IN 46350

COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/20/2018 (None)	HARTMAN, ERI	MF OPPENHEIMER	B	200.00	8.00	0.00	8.00	72.5	5.80
09/24/2018 (None)	HARAZINOVA, TIFFANY	MF COLLEGE CHOICE ADVISOR	B	150.00	7.13	0.00	7.13	72.5	5.17
09/25/2018 (None)	BALLINGER, TRACY	MF COLLEGE CHOICE ADVISOR	B	300.00	14.25	0.00	14.25	72.5	10.33
09/25/2018 (None)	BALLINGER, TRACY	MF COLLEGE CHOICE ADVISOR	B	100.00	4.75	0.00	4.75	72.5	3.44
09/28/2018 00082600419	WELLS, KELLY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5	1.36
10/01/2018 00082600607	WELLS, TIMOTHY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5	1.36
* MUTUAL FUNDS SUBTOTAL *				\$850.00	\$37.89	\$0.00	\$37.89		\$27.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
09/30/2018 1017327263	AKE, LILIANA	VA ELITE ACCESS	B	166,238.60	34.63	0.00	34.63	72.5	25.11
* VARIABLE ANNUITIES SUBTOTAL *				\$166,238.60	\$34.63	\$0.00	\$34.63		\$25.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/30/2018 (None)	VARIOUS, PHILLIPS	MFT COLLEGE CHOICE ADVISOR	B	0.00	33.47	0.00	33.47	72.5	24.27
09/30/2018 (None)	VARIOUS, PHILLIPS	MFT OPPENHEIMER	B	0.00	351.81	0.00	351.81	72.5	255.06
10/04/2018 IRK114634	CM MEMPHIS INC	MFT 8504AFRKD	B	0.00	39.56	0.00	39.56	72.5	28.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$424.84	\$0.00	\$424.84		\$308.01
*** DIRECT TRANSACTIONS TOTAL ***				\$167,088.60	\$497.36	\$0.00	\$497.36		\$360.58

BROKER COMMISSION TOTALS

Broker Transactions	\$360.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-137.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$223.47
Year-to-date Commissions	\$4,505.61