



# COMMISSION STATEMENT

## October 29, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/08/2018	***-**-6233 - SHEPARD, ROBERT	AMCAP FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000977909	BUY 1 Units @36.4700						
10/25/2018	***-**-9825 - OHARA, DAVID	AMCAP FUND-A	200.00	4.00	0.00	4.00	75.0	3.00
	ACCOUNT #: 00081132041	BUY 6 Units @32.7100						
10/08/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000977909	BUY 2 Units @29.4100						
10/12/2018	***-**-8143 - DRAKE, RONALD	AMERICAN BALANCED FUND-A	500.00	18.75	0.00	18.75	75.0	14.06
	ACCOUNT #: 00087671391	BUY 18 Units @28.3700						
10/12/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00087644930	BUY 3 Units @28.7400						
10/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	75.0	2.39
	ACCOUNT #: 00084492487	BUY 4 Units @27.7000						
10/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	75.0	3.23
	ACCOUNT #: 00087990221	BUY 4 Units @27.9900						
10/08/2018	***-**-6233 - SHEPARD, ROBERT	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 04000977909	BUY 5 Units @10.6000						
10/22/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 00086683340	BUY 7 Units @10.5100						
10/08/2018	***-**-6233 - SHEPARD, ROBERT	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000977909	BUY 1 Units @63.4900						
10/22/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00086683340	BUY 2 Units @60.6000						
10/25/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @60.6800						
10/22/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 00086683340	BUY 4 Units @19.7000						
10/22/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	75.0	2.58
	ACCOUNT #: 00086683340	BUY 3 Units @49.8500						
10/25/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: 00084492487	BUY 2 Units @49.6100						
10/12/2018	***-**-8143 - DRAKE, RONALD	THE GROWTH FUND OF AMERICA-A	750.00	28.13	0.00	28.13	75.0	21.10
	ACCOUNT #: 00087671391	BUY 13 Units @55.6600						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
10/25/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00084492487	BUY 3 Units @53.2700						
10/25/2018	***-**-8143 - DRAKE, RONALD	THE GROWTH FUND OF AMERICA-A	750.00	28.13	0.00	28.13	75.0	21.10
	ACCOUNT #: 00087671391	BUY 14 Units @53.8300						
10/25/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @53.8300						
10/12/2018	***-**-2146 - JONES, KELLIE	THE INCOME FUND OF AMERICA-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00087644930	BUY 4 Units @23.8600						
10/22/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00086683340	BUY 6 Units @23.2700						
10/25/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 00087990221	BUY 5 Units @23.2500						
10/25/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	75.0	3.33
	ACCOUNT #: 00087990221	BUY 3 Units @46.0400						
* MUTUAL FUNDS SUBTOTAL *			\$3,934.33	\$139.31	\$0.00	\$139.31		\$104.52
*** BROKER TRANSACTIONS TOTAL ***			\$3,934.33	\$139.31	\$0.00	\$139.31		\$104.52



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions							\$104.52	
Split Transactions							\$0.00	
Override Transactions							\$0.00	
Adjustments							\$0.00	
Payroll Draw							\$0.00	
Base Salary							\$0.00	
Prior Period Balance							\$0.00	
FINRA Assessment							\$0.00	
SIPC Assessment							\$0.00	
Net Commission Earned							\$104.52	
Year-to-date Earnings							\$49,458.02	