

COMMISSION STATEMENT October 31, 2018

WALSTON DPM

BROKER #:

BRANCH: DPM

, TX

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

09/27/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,498.52	16.00	16.00	0.00	0.0	0.00
09/28/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,459.61	16.00	16.00	0.00	0.0	0.00
10/04/2018	215745263	DOSDALL, ALEX SCOTT S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018	215745263	DOSDALL, ALEX SCOTT S	MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	983.76	15.00	15.00	0.00	0.0	0.00
10/08/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018	215745263	DOSDALL, ALEX SCOTT S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	1,731.80	16.00	16.00	0.00	0.0	0.00
10/10/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	2,242.55	17.00	17.00	0.00	0.0	0.00
10/16/2018	215745263	DOSDALL, ALEX SCOTT S	CANOPY GROWTH CORP ISIN	B	1,309.50	15.00	15.00	0.00	0.0	0.00
10/22/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	899.48	15.00	15.00	0.00	0.0	0.00
10/24/2018	215745263	DOSDALL, ALEX SCOTT S	SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	738.03	15.00	15.00	0.00	0.0	0.00
10/04/2018	306754795	GREEN, JULIE ANN S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018	306754795	GREEN, JULIE ANN S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018	306754795	GREEN, JULIE ANN S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018	306754795	GREEN, JULIE ANN S	SPDR S&P 500 ETF TRUST	B	2,589.48	17.00	17.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/16/2018 306754795	GREEN, JULIE ANN	S CANOPY GROWTH CORP ISIN	B	1,578.68	15.00	15.00	0.00	0.0	0.00
10/22/2018 306754795	GREEN, JULIE ANN	S SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 306754795	GREEN, JULIE ANN	S SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 306754795	GREEN, JULIE ANN	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 306754795	GREEN, JULIE ANN	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	12,614.03	31.00	31.00	0.00	0.0	0.00
09/28/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	12,196.96	29.00	29.00	0.00	0.0	0.00
10/02/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 337573775	HARDWICKE, ALAN	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	12,076.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 337573775	HARDWICKE, ALAN	S MORGAN STANLEY	S	-159.21	31.00	31.00	0.00	0.0	0.00
10/08/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	11,951.93	28.00	28.00	0.00	0.0	0.00
10/08/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 337573775	HARDWICKE, ALAN	S TESLA INC FORMERLY TESLA MOTORS	B	1,974.18	18.00	18.00	0.00	0.0	0.00
10/08/2018 337573775	HARDWICKE, ALAN	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	10,404.93	28.00	28.00	0.00	0.0	0.00
10/16/2018 337573775	HARDWICKE, ALAN	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	15,067.90	34.00	34.00	0.00	0.0	0.00
10/23/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 337573775	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	14,096.10	33.00	33.00	0.00	0.0	0.00
09/28/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	13,009.00	30.00	30.00	0.00	0.0	0.00
10/02/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	14,448.06	34.00	34.00	0.00	0.0	0.00
10/04/2018 338136256	HELMS, CARLA ANN	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	16,017.14	34.00	34.00	0.00	0.0	0.00
10/05/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	12,881.00	30.00	30.00	0.00	0.0	0.00
10/08/2018 338136256	HELMS, CARLA ANN	S MORGAN STANLEY	S	-190.20	34.00	34.00	0.00	0.0	0.00
10/08/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	12,804.46	29.00	29.00	0.00	0.0	0.00
10/08/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	14,526.19	29.00	29.00	0.00	0.0	0.00
10/08/2018 338136256	HELMS, CARLA ANN	S TESLA INC FORMERLY TESLA MOTORS	B	2,463.61	19.00	19.00	0.00	0.0	0.00
10/08/2018 338136256	HELMS, CARLA ANN	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	11,146.96	29.00	29.00	0.00	0.0	0.00
10/16/2018 338136256	HELMS, CARLA ANN	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 338136256	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	111,171.60	164.00	164.00	0.00	0.0	0.00

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09/28/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	81,219.88	114.00	114.00	0.00	0.0	0.00
10/02/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	72,174.48	114.00	114.00	0.00	0.0	0.00
10/04/2018 338152542	HELMS, MORRIS DALE	S FACEBOOK INC-CLASS A	B	21,657.82	54.00	54.00	0.00	0.0	0.00
10/04/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	80,019.88	114.00	114.00	0.00	0.0	0.00
10/05/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	80,419.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 338152542	HELMS, MORRIS DALE	S MORGAN STANLEY	S	-1,016.82	114.00	114.00	0.00	0.0	0.00
10/08/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	108,288.31	141.00	141.00	0.00	0.0	0.00
10/08/2018 338152542	HELMS, MORRIS DALE	S TESLA INC FORMERLY TESLA MOTORS	B	24,488.02	64.00	64.00	0.00	0.0	0.00
10/08/2018 338152542	HELMS, MORRIS DALE	S TEXAS INSTRUMENTS INC	S	17.44	43.00	43.00	0.00	0.0	0.00
10/10/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	102,937.61	134.00	134.00	0.00	0.0	0.00
10/10/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	96,480.91	144.00	144.00	0.00	0.0	0.00
10/16/2018 338152542	HELMS, MORRIS DALE	S CANOPY GROWTH CORP ISIN	B	80,770.55	15.00	15.00	0.00	0.0	0.00
10/22/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	132,088.05	164.00	164.00	0.00	0.0	0.00
10/23/2018 338152542	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	94,087.99	139.00	139.00	0.00	0.0	0.00
09/27/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	20,765.41	42.00	42.00	0.00	0.0	0.00
09/28/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	20,317.31	39.00	39.00	0.00	0.0	0.00
10/02/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	14,448.06	34.00	34.00	0.00	0.0	0.00
10/04/2018 338154872	HELMS, CARLA ANN	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	16,017.14	34.00	34.00	0.00	0.0	0.00
10/05/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	24,137.48	44.00	44.00	0.00	0.0	0.00
10/08/2018 338154872	HELMS, CARLA ANN	S MORGAN STANLEY	S	-241.87	39.00	39.00	0.00	0.0	0.00
10/08/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	19,624.74	37.00	37.00	0.00	0.0	0.00
10/08/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	22,192.31	39.00	39.00	0.00	0.0	0.00
10/08/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	24,199.36	39.00	39.00	0.00	0.0	0.00
10/08/2018 338154872	HELMS, CARLA ANN	S TESLA INC FORMERLY TESLA MOTORS	B	3,442.47	21.00	21.00	0.00	0.0	0.00
10/08/2018 338154872	HELMS, CARLA ANN	S TEXAS INSTRUMENTS INC	S	16.59	18.00	18.00	0.00	0.0	0.00
10/10/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	17,169.98	34.00	34.00	0.00	0.0	0.00
10/10/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	17,083.24	37.00	37.00	0.00	0.0	0.00
10/16/2018 338154872	HELMS, CARLA ANN	S CANOPY GROWTH CORP ISIN	B	16,168.07	15.00	15.00	0.00	0.0	0.00
10/22/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	17,626.00	34.00	34.00	0.00	0.0	0.00
10/23/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	15,067.90	34.00	34.00	0.00	0.0	0.00
10/23/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 338154872	HELMS, CARLA ANN	S SPDR S&P 500 ETF TRUST	B	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	27,434.72	51.00	51.00	0.00	0.0	0.00
09/28/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	24,377.48	44.00	44.00	0.00	0.0	0.00
10/02/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	21,663.86	44.00	44.00	0.00	0.0	0.00
10/04/2018 338190586	HOLT, JOHN THOMAS	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	16,017.14	34.00	34.00	0.00	0.0	0.00
10/05/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	24,137.48	44.00	44.00	0.00	0.0	0.00
10/08/2018 338190586	HOLT, JOHN THOMAS	S MORGAN STANLEY	S	-293.53	44.00	44.00	0.00	0.0	0.00
10/08/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	22,192.31	39.00	39.00	0.00	0.0	0.00
10/08/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	24,199.36	39.00	39.00	0.00	0.0	0.00

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10/08/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	24,739.94	43.00	43.00	0.00	0.0	0.00
10/08/2018 338190586	HOLT, JOHN THOMAS	S TESLA INC FORMERLY TESLA MOTORS	B	4,421.33	23.00	23.00	0.00	0.0	0.00
10/08/2018 338190586	HOLT, JOHN THOMAS	S TEXAS INSTRUMENTS INC	S	16.66	20.00	20.00	0.00	0.0	0.00
10/10/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	17,169.98	34.00	34.00	0.00	0.0	0.00
10/10/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	21,535.44	43.00	43.00	0.00	0.0	0.00
10/16/2018 338190586	HOLT, JOHN THOMAS	S CANOPY GROWTH CORP ISIN	B	16,168.07	15.00	15.00	0.00	0.0	0.00
10/22/2018 338190586	HOLT, JOHN THOMAS	S LAREDO PETROLEUM INC FORMERLY	B	30,217.45	15.00	15.00	0.00	0.0	0.00
10/22/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	17,626.00	34.00	34.00	0.00	0.0	0.00
10/23/2018 338190586	HOLT, JOHN THOMAS	S SPDR S&P 500 ETF TRUST	B	37,645.07	64.00	64.00	0.00	0.0	0.00
09/27/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368255592	RUSSELL, KIMBERLEY	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	2,428.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368255592	RUSSELL, KIMBERLEY	S MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 368255592	RUSSELL, KIMBERLEY	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	2,589.48	17.00	17.00	0.00	0.0	0.00
10/16/2018 368255592	RUSSELL, KIMBERLEY	S CANOPY GROWTH CORP ISIN	B	1,632.51	15.00	15.00	0.00	0.0	0.00
10/22/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368255592	RUSSELL, KIMBERLEY	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	37,068.17	64.00	64.00	0.00	0.0	0.00
09/28/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	40,618.17	64.00	64.00	0.00	0.0	0.00
10/02/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	36,095.47	64.00	64.00	0.00	0.0	0.00
10/04/2018 368260214	HARDWICKE, ALAN	S FACEBOOK INC-CLASS A	B	5,426.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	40,018.17	64.00	64.00	0.00	0.0	0.00
10/05/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	40,218.17	64.00	64.00	0.00	0.0	0.00
10/08/2018 368260214	HARDWICKE, ALAN	S MORGAN STANLEY	S	-396.85	54.00	54.00	0.00	0.0	0.00
10/08/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	36,675.42	57.00	57.00	0.00	0.0	0.00
10/08/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	48,382.27	64.00	64.00	0.00	0.0	0.00
10/08/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368260214	HARDWICKE, ALAN	S TESLA INC FORMERLY TESLA MOTORS	B	6,868.49	28.00	28.00	0.00	0.0	0.00
10/08/2018 368260214	HARDWICKE, ALAN	S TEXAS INSTRUMENTS INC	S	17.27	38.00	38.00	0.00	0.0	0.00
10/10/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	37,118.17	64.00	64.00	0.00	0.0	0.00
10/10/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	85,784.08	114.00	114.00	0.00	0.0	0.00
10/16/2018 368260214	HARDWICKE, ALAN	S CANOPY GROWTH CORP ISIN	B	21,551.61	15.00	15.00	0.00	0.0	0.00
10/22/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	44,040.32	64.00	64.00	0.00	0.0	0.00
10/23/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	-37,645.07	-64.00	-64.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	36,141.99	64.00	64.00	0.00	0.0	0.00
10/23/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	37,645.07	64.00	64.00	0.00	0.0	0.00
10/23/2018 368260214	HARDWICKE, ALAN	S SPDR S&P 500 ETF TRUST	B	88,319.88	114.00	114.00	0.00	0.0	0.00
09/27/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368270193	HARDWICKE, SARAH	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193	HARDWICKE, SARAH	S MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 368270193	HARDWICKE, SARAH	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/10/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	2,589.48	17.00	17.00	0.00	0.0	0.00
10/16/2018 368270193	HARDWICKE, SARAH	S CANOPY GROWTH CORP ISIN	B	1,632.51	15.00	15.00	0.00	0.0	0.00
10/22/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368270193	HARDWICKE, SARAH	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	74,119.88	114.00	114.00	0.00	0.0	0.00
09/28/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	81,219.88	114.00	114.00	0.00	0.0	0.00
10/02/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	72,174.48	114.00	114.00	0.00	0.0	0.00
10/04/2018 368279732	ANDERSON, DAVID	S FACEBOOK INC-CLASS A	B	16,247.48	44.00	44.00	0.00	0.0	0.00
10/04/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	80,019.88	114.00	114.00	0.00	0.0	0.00
10/05/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	80,419.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732	ANDERSON, DAVID	S MORGAN STANLEY	S	-1,016.82	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	92,090.15	122.00	122.00	0.00	0.0	0.00
10/08/2018 368279732	ANDERSON, DAVID	S TESLA INC FORMERLY TESLA MOTORS	B	17,635.97	50.00	50.00	0.00	0.0	0.00
10/08/2018 368279732	ANDERSON, DAVID	S TEXAS INSTRUMENTS INC	S	17.20	36.00	36.00	0.00	0.0	0.00
10/10/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	74,219.88	114.00	114.00	0.00	0.0	0.00
10/10/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	85,784.08	114.00	114.00	0.00	0.0	0.00
10/16/2018 368279732	ANDERSON, DAVID	S CANOPY GROWTH CORP ISIN	B	70,003.47	15.00	15.00	0.00	0.0	0.00
10/22/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	132,088.05	164.00	164.00	0.00	0.0	0.00
10/23/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	94,087.99	139.00	139.00	0.00	0.0	0.00
10/23/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	132,471.60	164.00	164.00	0.00	0.0	0.00
10/24/2018 368279732	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	143,871.60	164.00	164.00	0.00	0.0	0.00
09/27/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,167.83	25.00	25.00	0.00	0.0	0.00
09/28/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,324.76	23.00	23.00	0.00	0.0	0.00
10/02/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368292049	UNDERWOOD, TAMMY	S FACEBOOK INC-CLASS A	B	1,098.52	16.00	16.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,252.76	23.00	23.00	0.00	0.0	0.00
10/08/2018 368292049	UNDERWOOD, TAMMY	S MORGAN STANLEY	S	-86.88	24.00	24.00	0.00	0.0	0.00
10/08/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	6,836.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368292049	UNDERWOOD, TAMMY	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368292049	UNDERWOOD, TAMMY	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	6,694.76	23.00	23.00	0.00	0.0	0.00
10/16/2018 368292049	UNDERWOOD, TAMMY	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368292049	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	17,060.24	37.00	37.00	0.00	0.0	0.00
09/28/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	16,257.14	34.00	34.00	0.00	0.0	0.00
10/02/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	14,448.06	34.00	34.00	0.00	0.0	0.00
10/04/2018 368299283	ANDRENACCI, LETICIA	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	16,017.14	34.00	34.00	0.00	0.0	0.00
10/05/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	16,097.14	34.00	34.00	0.00	0.0	0.00
10/08/2018 368299283	ANDRENACCI, LETICIA	S MORGAN STANLEY	S	-190.20	34.00	34.00	0.00	0.0	0.00
10/08/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	14,526.19	29.00	29.00	0.00	0.0	0.00
10/08/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	15,362.07	32.00	32.00	0.00	0.0	0.00
10/08/2018 368299283	ANDRENACCI, LETICIA	S TESLA INC FORMERLY TESLA MOTORS	B	2,953.04	20.00	20.00	0.00	0.0	0.00
10/08/2018 368299283	ANDRENACCI, LETICIA	S TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/10/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	13,373.07	32.00	32.00	0.00	0.0	0.00
10/16/2018 368299283	ANDRENACCI, LETICIA	S CANOPY GROWTH CORP ISIN	B	10,784.53	15.00	15.00	0.00	0.0	0.00
10/22/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	17,626.00	34.00	34.00	0.00	0.0	0.00
10/23/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	15,067.90	34.00	34.00	0.00	0.0	0.00
10/23/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 368299283	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	23,992.31	39.00	39.00	0.00	0.0	0.00
09/27/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,426.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,324.76	23.00	23.00	0.00	0.0	0.00
10/02/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368300237	UNDERWOOD, TAMMY	S FACEBOOK INC-CLASS A	B	1,098.52	16.00	16.00	0.00	0.0	0.00
10/04/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368300237	UNDERWOOD, TAMMY	S MORGAN STANLEY	S	-76.54	23.00	23.00	0.00	0.0	0.00
10/08/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	6,836.72	22.00	22.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 368300237	UNDERWOOD, TAMMY	S TESLA INC FORMERLY TESLA MOTORS	B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368300237	UNDERWOOD, TAMMY	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	5,952.72	22.00	22.00	0.00	0.0	0.00
10/16/2018 368300237	UNDERWOOD, TAMMY	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368300237	UNDERWOOD, TAMMY	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368327197	HELMS, MORRIS DALE	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	S MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368327197	HELMS, MORRIS DALE	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368327197	HELMS, MORRIS DALE	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	7,426.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	6,512.72	22.00	22.00	0.00	0.0	0.00
10/02/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368351790	DOSDALL, KEVIN	S FACEBOOK INC-CLASS A	B	1,098.52	16.00	16.00	0.00	0.0	0.00
10/04/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	S MORGAN STANLEY	S	-76.54	23.00	23.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	6,836.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	S TESLA INC FORMERLY TESLA MOTORS	B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	5,952.72	22.00	22.00	0.00	0.0	0.00
10/16/2018 368351790	DOSDALL, KEVIN	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368351790	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	74,119.88	114.00	114.00	0.00	0.0	0.00
09/28/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	81,219.88	114.00	114.00	0.00	0.0	0.00
10/02/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	72,174.48	114.00	114.00	0.00	0.0	0.00
10/04/2018 368362828	TUCKER, GARY DON	S FACEBOOK INC-CLASS A	B	16,247.48	44.00	44.00	0.00	0.0	0.00
10/04/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	80,019.88	114.00	114.00	0.00	0.0	0.00
10/05/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	80,419.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	S MORGAN STANLEY	S	-1,016.82	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	88,719.88	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	92,090.15	122.00	122.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	S TESLA INC FORMERLY TESLA MOTORS	B	17,635.97	50.00	50.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	S TEXAS INSTRUMENTS INC	S	17.20	36.00	36.00	0.00	0.0	0.00
10/10/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	74,219.88	114.00	114.00	0.00	0.0	0.00
10/10/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	85,784.08	114.00	114.00	0.00	0.0	0.00
10/16/2018 368362828	TUCKER, GARY DON	S CANOPY GROWTH CORP ISIN	B	70,003.47	15.00	15.00	0.00	0.0	0.00
10/22/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	132,088.05	164.00	164.00	0.00	0.0	0.00
10/23/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	94,087.99	139.00	139.00	0.00	0.0	0.00
10/23/2018 368362828	TUCKER, GARY DON	S SPDR S&P 500 ETF TRUST	B	132,471.60	164.00	164.00	0.00	0.0	0.00
09/27/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	7,426.79	24.00	24.00	0.00	0.0	0.00
09/28/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	6,512.72	22.00	22.00	0.00	0.0	0.00
10/02/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368460190	BUTLER, ANTHONY	S FACEBOOK INC-CLASS A	B	1,098.52	16.00	16.00	0.00	0.0	0.00
10/04/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	S MORGAN STANLEY	S	-76.54	23.00	23.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	5,984.19	21.00	21.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	S TESLA INC FORMERLY TESLA MOTORS	B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	5,952.72	22.00	22.00	0.00	0.0	0.00
10/16/2018 368460190	BUTLER, ANTHONY	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368460190	BUTLER, ANTHONY	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368461459	OAKLEY, SUSAN GAYLE	S SPDR S&P 500 ETF TRUST	B	6,685.76	23.00	23.00	0.00	0.0	0.00
09/28/2018 368461459	OAKLEY, SUSAN GAYLE	S SPDR S&P 500 ETF TRUST	B	6,512.72	22.00	22.00	0.00	0.0	0.00
10/02/2018 368461459	OAKLEY, SUSAN GAYLE	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368461459	OAKLEY, SUSAN GAYLE	S FACEBOOK INC-CLASS A	B	1,098.52	16.00	16.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	6,448.72	22.00	22.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE S	MORGAN STANLEY	S	-66.22	22.00	22.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	5,984.19	21.00	21.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE S	TESLA INC FORMERLY TESLA MOTORS	B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE S	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	5,210.69	21.00	21.00	0.00	0.0	0.00
10/16/2018 368461459	OAKLEY, SUSAN GAYLE S	CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368461459	OAKLEY, SUSAN GAYLE S	SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	3,264.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368461587	POYNOR, TERESA S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	3,232.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA S	MORGAN STANLEY	S	-24.88	18.00	18.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	3,426.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	2,589.48	17.00	17.00	0.00	0.0	0.00
10/10/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	2,984.59	18.00	18.00	0.00	0.0	0.00
10/16/2018 368461587	POYNOR, TERESA S	CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368461587	POYNOR, TERESA S	SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368462433	POYNOR, CASEY ALLEN S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462433	POYNOR, CASEY ALLEN S	MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,951.08	16.00	16.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 368462433	POYNOR, CASEY ALLEN S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368462433	POYNOR, CASEY ALLEN S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	1,731.80	16.00	16.00	0.00	0.0	0.00
10/16/2018 368462433	POYNOR, CASEY ALLEN S	CANOPY GROWTH CORP ISIN	B	1,524.84	15.00	15.00	0.00	0.0	0.00
10/22/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	899.48	15.00	15.00	0.00	0.0	0.00
10/24/2018 368462433	POYNOR, CASEY ALLEN S	SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,076.62	19.00	19.00	0.00	0.0	0.00
10/02/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368462543	BAGGETT, KELLY S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,036.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY S	MORGAN STANLEY	S	-35.22	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	3,426.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	2,984.59	18.00	18.00	0.00	0.0	0.00
10/10/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/16/2018 368462543	BAGGETT, KELLY S	CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368462543	BAGGETT, KELLY S	SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,462.66	20.00	20.00	0.00	0.0	0.00
09/28/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,076.62	19.00	19.00	0.00	0.0	0.00
10/02/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 368462553	PAGE, JAMES SUTTON S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,036.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON S	MORGAN STANLEY	S	-45.54	20.00	20.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,279.12	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	3,726.62	19.00	19.00	0.00	0.0	0.00
10/10/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/16/2018 368462553	PAGE, JAMES SUTTON S	CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 368462553	PAGE, JAMES SUTTON S	SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 368462553	PAGE, JAMES SUTTON	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368462553	PAGE, JAMES SUTTON	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368462553	PAGE, JAMES SUTTON	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,498.52	16.00	16.00	0.00	0.0	0.00
09/28/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	738.03	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462653	BATTEN, PAUL	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	S MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	983.76	15.00	15.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	1,731.80	16.00	16.00	0.00	0.0	0.00
10/16/2018 368462653	BATTEN, PAUL	S CANOPY GROWTH CORP ISIN	B	1,578.68	15.00	15.00	0.00	0.0	0.00
10/22/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	2,665.55	17.00	17.00	0.00	0.0	0.00
10/24/2018 368462653	BATTEN, PAUL	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	9,649.90	27.00	27.00	0.00	0.0	0.00
09/28/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368462688	BAGGETT, KELLY	S FACEBOOK INC-CLASS A	B	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,860.83	25.00	25.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	S MORGAN STANLEY	S	-107.55	26.00	26.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	S TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/10/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,178.83	25.00	25.00	0.00	0.0	0.00
10/16/2018 368462688	BAGGETT, KELLY	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368462688	BAGGETT, KELLY	S SPDR S&P 500 ETF TRUST	B	19,197.14	34.00	34.00	0.00	0.0	0.00
09/27/2018 368463677	GREEN (BENE), JULIE	S SPDR S&P 500 ETF TRUST	B	2,980.59	18.00	18.00	0.00	0.0	0.00
09/28/2018 368463677	GREEN (BENE), JULIE	S SPDR S&P 500 ETF TRUST	B	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 368463677	GREEN (BENE), JULIE	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 368463677	GREEN (BENE), JULIE S	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	2,428.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE S	MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	2,574.05	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE S	TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE S	TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	1,731.80	16.00	16.00	0.00	0.0	0.00
10/10/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	2,242.55	17.00	17.00	0.00	0.0	0.00
10/16/2018 368463677	GREEN (BENE), JULIE S	CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368463677	GREEN (BENE), JULIE S	SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368463942	CORTEZ, RAMIRO	FACEBOOK INC-CLASS A	B	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368463942	CORTEZ, RAMIRO	CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368463942	CORTEZ, RAMIRO	SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	5,944.72	22.00	22.00	0.00	0.0	0.00
09/28/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	5,700.69	21.00	21.00	0.00	0.0	0.00
10/02/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368463952	PAGE, JOHNNA	FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	5,644.69	21.00	21.00	0.00	0.0	0.00
10/08/2018 368463952	PAGE, JOHNNA	MORGAN STANLEY	S	-55.88	21.00	21.00	0.00	0.0	0.00
10/08/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 368463952	PAGE, JOHNNA	SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	5,131.66	20.00	20.00	0.00	0.0	0.00
10/08/2018 368463952	PAGE, JOHNNNA	S TESLA INC FORMERLY TESLA MOTORS	B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 368463952	PAGE, JOHNNNA	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	4,468.66	20.00	20.00	0.00	0.0	0.00
10/16/2018 368463952	PAGE, JOHNNNA	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 368463952	PAGE, JOHNNNA	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,136.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368466825	SNIDER, DAVID	S FACEBOOK INC-CLASS A	B	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	S MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	7,689.26	23.00	23.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	6,694.76	23.00	23.00	0.00	0.0	0.00
10/10/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368466825	SNIDER, DAVID	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368466825	SNIDER, DAVID	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,136.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 368500081	STUBBLEFIELD,	S FACEBOOK INC-CLASS A	B	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	S MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	7,689.26	23.00	23.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 368500081	STUBBLEFIELD,	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/22/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 368500081	STUBBLEFIELD,	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	3,264.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 399449030	JAMES, PAULINE	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	3,232.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	S MORGAN STANLEY	S	-24.88	18.00	18.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	3,426.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	2,589.48	17.00	17.00	0.00	0.0	0.00
10/10/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	2,984.59	18.00	18.00	0.00	0.0	0.00
10/16/2018 399449030	JAMES, PAULINE	S CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 399449030	JAMES, PAULINE	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	2,980.59	18.00	18.00	0.00	0.0	0.00
09/28/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	2,452.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 431485692	KIPPES, LOY DUANE	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	2,428.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	S MORGAN STANLEY	S	-14.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	1,951.08	16.00	16.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	2,574.05	17.00	17.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	2,677.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	1,731.80	16.00	16.00	0.00	0.0	0.00
10/10/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	2,242.55	17.00	17.00	0.00	0.0	0.00
10/16/2018 431485692	KIPPES, LOY DUANE	S CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 431485692	KIPPES, LOY DUANE	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	2,239.55	17.00	17.00	0.00	0.0	0.00
09/28/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,640.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	738.03	15.00	15.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 583947985	POYNER, TERESA	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,616.52	16.00	16.00	0.00	0.0	0.00
10/05/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,624.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	S MORGAN STANLEY	S	-4.21	16.00	16.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	983.76	15.00	15.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,721.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,790.52	16.00	16.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,500.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	1,731.80	16.00	16.00	0.00	0.0	0.00
10/16/2018 583947985	POYNER, TERESA	S CANOPY GROWTH CORP ISIN	B	1,632.51	15.00	15.00	0.00	0.0	0.00
10/22/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	896.92	15.00	15.00	0.00	0.0	0.00
10/23/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	769.02	15.00	15.00	0.00	0.0	0.00
10/23/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 583947985	POYNER, TERESA	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	3,721.62	19.00	19.00	0.00	0.0	0.00
09/28/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,076.62	19.00	19.00	0.00	0.0	0.00
10/02/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 646137924	ANDRENACCI, LETICIA	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,036.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	S MORGAN STANLEY	S	-35.22	19.00	19.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	3,426.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	2,984.59	18.00	18.00	0.00	0.0	0.00
10/10/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/16/2018 646137924	ANDRENACCI, LETICIA	S CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 646137924	ANDRENACCI, LETICIA	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	6,685.76	23.00	23.00	0.00	0.0	0.00
09/28/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	6,512.72	22.00	22.00	0.00	0.0	0.00
10/02/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 646147745	ANDERSON, DAVID	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	5,644.69	21.00	21.00	0.00	0.0	0.00
10/08/2018 646147745	ANDERSON, DAVID	S MORGAN STANLEY	S	-66.22	22.00	22.00	0.00	0.0	0.00
10/08/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	4,451.62	19.00	19.00	0.00	0.0	0.00
10/08/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/08/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	5,984.19	21.00	21.00	0.00	0.0	0.00
10/08/2018 646147745	ANDERSON, DAVID	S TESLA INC FORMERLY TESLA MOTORS	B	995.31	16.00	16.00	0.00	0.0	0.00
10/08/2018 646147745	ANDERSON, DAVID	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	4,304.83	19.00	19.00	0.00	0.0	0.00
10/10/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	5,210.69	21.00	21.00	0.00	0.0	0.00
10/16/2018 646147745	ANDERSON, DAVID	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	4,418.84	19.00	19.00	0.00	0.0	0.00
10/23/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	3,779.31	19.00	19.00	0.00	0.0	0.00
10/23/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 646147745	ANDERSON, DAVID	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,908.86	26.00	26.00	0.00	0.0	0.00
09/28/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,136.79	24.00	24.00	0.00	0.0	0.00
10/02/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 677594762	DOSDALL, KEVIN	S FACEBOOK INC-CLASS A	B	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,056.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	S MORGAN STANLEY	S	-97.21	25.00	25.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	7,689.26	23.00	23.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/09/2018 677594762	DOSDALL, KEVIN	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	7,436.79	24.00	24.00	0.00	0.0	0.00
10/10/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/16/2018 677594762	DOSDALL, KEVIN	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 677594762	DOSDALL, KEVIN	S SPDR S&P 500 ETF TRUST	B	9,606.79	24.00	24.00	0.00	0.0	0.00
09/27/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	11,873.00	30.00	30.00	0.00	0.0	0.00
09/28/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	11,384.93	28.00	28.00	0.00	0.0	0.00
10/02/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 708078768	TUCKER, CLARENCE	S FACEBOOK INC-CLASS A	B	2,721.62	19.00	19.00	0.00	0.0	0.00
10/04/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	11,272.93	28.00	28.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	S MORGAN STANLEY	S	-138.55	29.00	29.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	11,099.40	27.00	27.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	13,321.96	29.00	29.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	S TESLA INC FORMERLY TESLA MOTORS	B	1,974.18	18.00	18.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	S TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/09/2018 708078768	TUCKER, CLARENCE	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/10/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	9,662.90	27.00	27.00	0.00	0.0	0.00
10/16/2018 708078768	TUCKER, CLARENCE	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00
10/23/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	17,677.14	34.00	34.00	0.00	0.0	0.00
10/24/2018 708078768	TUCKER, CLARENCE	S SPDR S&P 500 ETF TRUST	B	19,197.14	34.00	34.00	0.00	0.0	0.00
09/27/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	2,980.59	18.00	18.00	0.00	0.0	0.00
09/28/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	3,264.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 800358756	WEST, JAY	S FIRST FINANCIAL BANKSHARES INC	S	-17,632.40	15.00	15.00	0.00	0.0	0.00
10/02/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	3,624.35	19.00	19.00	0.00	0.0	0.00
10/04/2018 800358756	WEST, JAY	S FACEBOOK INC-CLASS A	B	557.48	15.00	15.00	0.00	0.0	0.00
10/04/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	4,016.62	19.00	19.00	0.00	0.0	0.00
10/05/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	3,232.59	18.00	18.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	S MORGAN STANLEY	S	-24.88	18.00	18.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	2,574.05	17.00	17.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	2,677.55	17.00	17.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	4,853.03	19.00	19.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	S TESLA INC FORMERLY TESLA MOTORS	B	505.88	15.00	15.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/09/2018 800358756	WEST, JAY	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	2,242.55	17.00	17.00	0.00	0.0	0.00
10/10/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	2,589.48	17.00	17.00	0.00	0.0	0.00
10/16/2018 800358756	WEST, JAY	S CANOPY GROWTH CORP ISIN	B	2,709.22	15.00	15.00	0.00	0.0	0.00
10/22/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	2,657.88	17.00	17.00	0.00	0.0	0.00
10/23/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	1,521.60	16.00	16.00	0.00	0.0	0.00
10/23/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	4,431.62	19.00	19.00	0.00	0.0	0.00
10/24/2018 800358756	WEST, JAY	S SPDR S&P 500 ETF TRUST	B	4,811.62	19.00	19.00	0.00	0.0	0.00
09/27/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	9,649.90	27.00	27.00	0.00	0.0	0.00
09/28/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,948.83	25.00	25.00	0.00	0.0	0.00
10/02/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	7,232.25	24.00	24.00	0.00	0.0	0.00
10/04/2018 800359189	WEST, ANN	S FACEBOOK INC-CLASS A	B	1,639.55	17.00	17.00	0.00	0.0	0.00
10/04/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,016.79	24.00	24.00	0.00	0.0	0.00
10/05/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,860.83	25.00	25.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	S MORGAN STANLEY	S	-107.55	26.00	26.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,541.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,886.79	24.00	24.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	9,689.61	24.00	24.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	S TESLA INC FORMERLY TESLA MOTORS	B	1,484.74	17.00	17.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	S TEXAS INSTRUMENTS INC	S	16.55	17.00	17.00	0.00	0.0	0.00
10/09/2018 800359189	WEST, ANN	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,593.21	24.00	24.00	0.00	0.0	0.00
10/10/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,178.83	25.00	25.00	0.00	0.0	0.00
10/16/2018 800359189	WEST, ANN	S CANOPY GROWTH CORP ISIN	B	5,400.99	15.00	15.00	0.00	0.0	0.00
10/22/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,821.22	24.00	24.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/23/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	7,542.17	24.00	24.00	0.00	0.0	0.00
10/23/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	8,846.79	24.00	24.00	0.00	0.0	0.00
10/24/2018 800359189	WEST, ANN	S SPDR S&P 500 ETF TRUST	B	19,197.14	34.00	34.00	0.00	0.0	0.00
09/27/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	37,068.17	64.00	64.00	0.00	0.0	0.00
09/28/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	40,618.17	64.00	64.00	0.00	0.0	0.00
10/02/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	28,879.66	54.00	54.00	0.00	0.0	0.00
10/04/2018 800370191	TRUST, WEST	S FACEBOOK INC-CLASS A	B	5,426.79	24.00	24.00	0.00	0.0	0.00
10/04/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	40,018.17	64.00	64.00	0.00	0.0	0.00
10/05/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	40,218.17	64.00	64.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	S MORGAN STANLEY	S	-396.85	54.00	54.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	31,062.65	49.00	49.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	34,117.82	54.00	54.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	96,748.08	114.00	114.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	S TESLA INC FORMERLY TESLA MOTORS	B	6,379.06	27.00	27.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	S TEXAS INSTRUMENTS INC	S	16.72	22.00	22.00	0.00	0.0	0.00
10/09/2018 800370191	TRUST, WEST	S TEXAS INSTRUMENTS INC	S	16.48	15.00	15.00	0.00	0.0	0.00
10/10/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	28,955.79	53.00	53.00	0.00	0.0	0.00
10/10/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	42,900.27	64.00	64.00	0.00	0.0	0.00
10/16/2018 800370191	TRUST, WEST	S CANOPY GROWTH CORP ISIN	B	21,551.61	15.00	15.00	0.00	0.0	0.00
10/22/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	44,040.32	64.00	64.00	0.00	0.0	0.00
10/23/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	37,645.07	64.00	64.00	0.00	0.0	0.00
10/23/2018 800370191	TRUST, WEST	S SPDR S&P 500 ETF TRUST	B	44,168.17	64.00	64.00	0.00	0.0	0.00
09/27/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	148,223.31	214.00	214.00	0.00	0.0	0.00
09/28/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	243,626.74	314.00	314.00	0.00	0.0	0.00
10/02/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	216,490.54	314.00	314.00	0.00	0.0	0.00
10/04/2018 800371394	WEST, JOHN	S FACEBOOK INC-CLASS A	B	54,119.88	114.00	114.00	0.00	0.0	0.00
10/04/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	240,026.74	314.00	314.00	0.00	0.0	0.00
10/05/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	241,226.74	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	S MORGAN STANLEY	S	-3,083.35	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	255,776.74	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	266,126.74	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	290,211.34	314.00	314.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	S TESLA INC FORMERLY TESLA MOTORS	B	48,959.58	114.00	114.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	S TEXAS INSTRUMENTS INC	S	18.71	80.00	80.00	0.00	0.0	0.00
10/09/2018 800371394	WEST, JOHN	S TEXAS INSTRUMENTS INC	S	16.52	16.00	16.00	0.00	0.0	0.00
10/10/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	222,626.74	314.00	314.00	0.00	0.0	0.00
10/10/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	257,319.34	314.00	314.00	0.00	0.0	0.00
10/16/2018 800371394	WEST, JOHN	S CANOPY GROWTH CORP ISIN	B	161,523.65	15.00	15.00	0.00	0.0	0.00
10/22/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	176,111.91	214.00	214.00	0.00	0.0	0.00
10/23/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	188,159.53	264.00	264.00	0.00	0.0	0.00
10/23/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	220,775.03	264.00	264.00	0.00	0.0	0.00
10/24/2018 800371394	WEST, JOHN	S SPDR S&P 500 ETF TRUST	B	287,726.74	314.00	314.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$12,400,628.09	\$26,560.00	\$26,560.00	\$0.00		\$0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: OPTIONS									
10/02/2018	215745263	DOSDALL, ALEX SCOTT O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018	215745263	DOSDALL, ALEX SCOTT O	CALL: MRC GLOBAL INC DEC 2018 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018	215745263	DOSDALL, ALEX SCOTT O	CALL: BLUEBIRD BIO INC OCT 2018 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018	306754795	GREEN, JULIE ANN O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018	306754795	GREEN, JULIE ANN O	CALL: MRC GLOBAL INC DEC 2018 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018	306754795	GREEN, JULIE ANN O	CALL: BLUEBIRD BIO INC OCT 2018 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018	337573775	HARDWICKE, ALAN O	CALL: ULTA BEAUTY INC DEC 2018 B	1,978.68	16.00	16.00	0.00	0.0	0.00
10/04/2018	337573775	HARDWICKE, ALAN O	CALL: MRC GLOBAL INC DEC 2018 @ B	2,830.40	44.00	44.00	0.00	0.0	0.00
10/08/2018	337573775	HARDWICKE, ALAN O	CALL: BLUEBIRD BIO INC OCT 2018 S	-11.31	21.00	21.00	0.00	0.0	0.00
10/02/2018	338136256	HELMS, CARLA ANN O	CALL: ULTA BEAUTY INC DEC 2018 B	1,978.68	16.00	16.00	0.00	0.0	0.00
10/04/2018	338136256	HELMS, CARLA ANN O	CALL: MRC GLOBAL INC DEC 2018 @ B	2,830.40	44.00	44.00	0.00	0.0	0.00
10/08/2018	338136256	HELMS, CARLA ANN O	CALL: BLUEBIRD BIO INC OCT 2018 S	-11.31	21.00	21.00	0.00	0.0	0.00
10/02/2018	338152542	HELMS, MORRIS DALE O	CALL: ULTA BEAUTY INC DEC 2018 B	19,638.79	34.00	34.00	0.00	0.0	0.00
10/04/2018	338152542	HELMS, MORRIS DALE O	CALL: MRC GLOBAL INC DEC 2018 @ B	18,776.11	214.00	214.00	0.00	0.0	0.00
10/08/2018	338152542	HELMS, MORRIS DALE O	CALL: BLUEBIRD BIO INC OCT 2018 S	-261.15	84.00	84.00	0.00	0.0	0.00
10/02/2018	338154872	HELMS, CARLA ANN O	CALL: ULTA BEAUTY INC DEC 2018 B	4,922.03	19.00	19.00	0.00	0.0	0.00
10/04/2018	338154872	HELMS, CARLA ANN O	CALL: MRC GLOBAL INC DEC 2018 @ B	4,706.37	64.00	64.00	0.00	0.0	0.00
10/08/2018	338154872	HELMS, CARLA ANN O	CALL: BLUEBIRD BIO INC OCT 2018 S	-27.17	25.00	25.00	0.00	0.0	0.00
10/02/2018	338190586	HOLT, JOHN THOMAS O	CALL: ULTA BEAUTY INC DEC 2018 B	4,922.03	19.00	19.00	0.00	0.0	0.00
10/04/2018	338190586	HOLT, JOHN THOMAS O	CALL: MRC GLOBAL INC DEC 2018 @ B	4,706.37	64.00	64.00	0.00	0.0	0.00
10/08/2018	338190586	HOLT, JOHN THOMAS O	CALL: BLUEBIRD BIO INC OCT 2018 S	-43.04	29.00	29.00	0.00	0.0	0.00
10/02/2018	368255592	RUSSELL, KIMBERLEY O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018	368255592	RUSSELL, KIMBERLEY O	CALL: MRC GLOBAL INC DEC 2018 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018	368255592	RUSSELL, KIMBERLEY O	CALL: BLUEBIRD BIO INC OCT 2018 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018	368260214	HARDWICKE, ALAN O	CALL: ULTA BEAUTY INC DEC 2018 B	4,922.03	19.00	19.00	0.00	0.0	0.00
10/04/2018	368260214	HARDWICKE, ALAN O	CALL: MRC GLOBAL INC DEC 2018 @ B	4,706.37	64.00	64.00	0.00	0.0	0.00
10/08/2018	368260214	HARDWICKE, ALAN O	CALL: BLUEBIRD BIO INC OCT 2018 S	-70.80	36.00	36.00	0.00	0.0	0.00
10/02/2018	368270193	HARDWICKE, SARAH O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018	368270193	HARDWICKE, SARAH O	CALL: MRC GLOBAL INC DEC 2018 @ B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018	368270193	HARDWICKE, SARAH O	CALL: BLUEBIRD BIO INC OCT 2018 S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018	368279732	ANDERSON, DAVID O	CALL: ULTA BEAUTY INC DEC 2018 B	19,638.79	34.00	34.00	0.00	0.0	0.00
10/04/2018	368279732	ANDERSON, DAVID O	CALL: MRC GLOBAL INC DEC 2018 @ B	6,113.34	79.00	79.00	0.00	0.0	0.00
10/08/2018	368279732	ANDERSON, DAVID O	CALL: BLUEBIRD BIO INC OCT 2018 S	-201.66	69.00	69.00	0.00	0.0	0.00
10/02/2018	368292049	UNDERWOOD, TAMMY O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018	368292049	UNDERWOOD, TAMMY O	CALL: MRC GLOBAL INC DEC 2018 @ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018	368292049	UNDERWOOD, TAMMY O	CALL: BLUEBIRD BIO INC OCT 2018 S	0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018	368299283	ANDRENACCI, LETICIA O	CALL: ULTA BEAUTY INC DEC 2018 B	4,922.03	19.00	19.00	0.00	0.0	0.00
10/04/2018	368299283	ANDRENACCI, LETICIA O	CALL: MRC GLOBAL INC DEC 2018 @ B	3,768.38	54.00	54.00	0.00	0.0	0.00
10/08/2018	368299283	ANDRENACCI, LETICIA O	CALL: BLUEBIRD BIO INC OCT 2018 S	-19.24	23.00	23.00	0.00	0.0	0.00
10/02/2018	368300237	UNDERWOOD, TAMMY O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018	368300237	UNDERWOOD, TAMMY O	CALL: MRC GLOBAL INC DEC 2018 @ B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018	368300237	UNDERWOOD, TAMMY O	CALL: BLUEBIRD BIO INC OCT 2018 S	0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018	368327197	HELMS, MORRIS DALE O	CALL: ULTA BEAUTY INC DEC 2018 B	997.56	15.00	15.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/04/2018 368327197	HELMS, MORRIS DALE	O	CALL: MRC GLOBAL INC DEC 2018 @ B		1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368327197	HELMS, MORRIS DALE	O	CALL: BLUEBIRD BIO INC OCT 2018 S		-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 368351790	DOSDALL, KEVIN	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368351790	DOSDALL, KEVIN	O	CALL: MRC GLOBAL INC DEC 2018 @ B		1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368351790	DOSDALL, KEVIN	O	CALL: BLUEBIRD BIO INC OCT 2018 S		0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 368362828	TUCKER, GARY DON	O	CALL: ULTA BEAUTY INC DEC 2018 B		19,638.79	34.00	34.00	0.00	0.0	0.00
10/04/2018 368362828	TUCKER, GARY DON	O	CALL: MRC GLOBAL INC DEC 2018 @ B		6,113.34	79.00	79.00	0.00	0.0	0.00
10/08/2018 368362828	TUCKER, GARY DON	O	CALL: BLUEBIRD BIO INC OCT 2018 S		-201.66	69.00	69.00	0.00	0.0	0.00
10/02/2018 368460190	BUTLER, ANTHONY	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368460190	BUTLER, ANTHONY	O	CALL: MRC GLOBAL INC DEC 2018 @ B		954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 368460190	BUTLER, ANTHONY	O	CALL: BLUEBIRD BIO INC OCT 2018 S		0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 368461459	OAKLEY, SUSAN GAYLE	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368461459	OAKLEY, SUSAN GAYLE	O	CALL: MRC GLOBAL INC DEC 2018 @ B		954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 368461459	OAKLEY, SUSAN GAYLE	O	CALL: BLUEBIRD BIO INC OCT 2018 S		0.59	18.00	18.00	0.00	0.0	0.00
10/02/2018 368461587	POYNOR, TERESA	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368461587	POYNOR, TERESA	O	CALL: MRC GLOBAL INC DEC 2018 @ B		954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 368461587	POYNOR, TERESA	O	CALL: BLUEBIRD BIO INC OCT 2018 S		8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 368462433	POYNOR, CASEY ALLEN	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462433	POYNOR, CASEY ALLEN	O	CALL: MRC GLOBAL INC DEC 2018 @ B		485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462433	POYNOR, CASEY ALLEN	O	CALL: BLUEBIRD BIO INC OCT 2018 S		12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 368462543	BAGGETT, KELLY	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462543	BAGGETT, KELLY	O	CALL: MRC GLOBAL INC DEC 2018 @ B		954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 368462543	BAGGETT, KELLY	O	CALL: BLUEBIRD BIO INC OCT 2018 S		8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 368462553	PAGE, JAMES SUTTON	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462553	PAGE, JAMES SUTTON	O	CALL: MRC GLOBAL INC DEC 2018 @ B		954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 368462553	PAGE, JAMES SUTTON	O	CALL: BLUEBIRD BIO INC OCT 2018 S		8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 368462653	BATTEN, PAUL	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462653	BATTEN, PAUL	O	CALL: MRC GLOBAL INC DEC 2018 @ B		485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 368462653	BATTEN, PAUL	O	CALL: BLUEBIRD BIO INC OCT 2018 S		12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 368462688	BAGGETT, KELLY	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368462688	BAGGETT, KELLY	O	CALL: MRC GLOBAL INC DEC 2018 @ B		1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368462688	BAGGETT, KELLY	O	CALL: BLUEBIRD BIO INC OCT 2018 S		-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 368463677	GREEN (BENE), JULIE	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463677	GREEN (BENE), JULIE	O	CALL: MRC GLOBAL INC DEC 2018 @ B		485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 368463677	GREEN (BENE), JULIE	O	CALL: BLUEBIRD BIO INC OCT 2018 S		12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 368463942	CORTEZ, RAMIRO	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463942	CORTEZ, RAMIRO	O	CALL: MRC GLOBAL INC DEC 2018 @ B		1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368463942	CORTEZ, RAMIRO	O	CALL: BLUEBIRD BIO INC OCT 2018 S		-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 368463952	PAGE, JOHNNA	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368463952	PAGE, JOHNNA	O	CALL: MRC GLOBAL INC DEC 2018 @ B		954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 368463952	PAGE, JOHNNA	O	CALL: BLUEBIRD BIO INC OCT 2018 S		4.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 368466825	SNIDER, DAVID	O	CALL: ULTA BEAUTY INC DEC 2018 B		997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368466825	SNIDER, DAVID	O	CALL: MRC GLOBAL INC DEC 2018 @ B		1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368466825	SNIDER, DAVID	O	CALL: BLUEBIRD BIO INC OCT 2018 S		-3.38	19.00	19.00	0.00	0.0	0.00

COMMISSION STATEMENT for WALSTON DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
10/02/2018 368500081	STUBBLEFIELD,	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 368500081	STUBBLEFIELD,	O CALL: MRC GLOBAL INC DEC 2018 @	B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 368500081	STUBBLEFIELD,	O CALL: BLUEBIRD BIO INC OCT 2018	S	-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 399449030	JAMES, PAULINE	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 399449030	JAMES, PAULINE	O CALL: MRC GLOBAL INC DEC 2018 @	B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 399449030	JAMES, PAULINE	O CALL: BLUEBIRD BIO INC OCT 2018	S	8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 431485692	KIPPES, LOY DUANE	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 431485692	KIPPES, LOY DUANE	O CALL: MRC GLOBAL INC DEC 2018 @	B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 431485692	KIPPES, LOY DUANE	O CALL: BLUEBIRD BIO INC OCT 2018	S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 583947985	POYNER, TERESA	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 583947985	POYNER, TERESA	O CALL: MRC GLOBAL INC DEC 2018 @	B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 583947985	POYNER, TERESA	O CALL: BLUEBIRD BIO INC OCT 2018	S	12.48	15.00	15.00	0.00	0.0	0.00
10/02/2018 646137924	ANDRENACCI, LETICIA	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 646137924	ANDRENACCI, LETICIA	O CALL: MRC GLOBAL INC DEC 2018 @	B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 646137924	ANDRENACCI, LETICIA	O CALL: BLUEBIRD BIO INC OCT 2018	S	8.52	16.00	16.00	0.00	0.0	0.00
10/02/2018 646147745	ANDERSON, DAVID	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 646147745	ANDERSON, DAVID	O CALL: MRC GLOBAL INC DEC 2018 @	B	954.43	24.00	24.00	0.00	0.0	0.00
10/08/2018 646147745	ANDERSON, DAVID	O CALL: BLUEBIRD BIO INC OCT 2018	S	4.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 677594762	DOSDALL, KEVIN	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 677594762	DOSDALL, KEVIN	O CALL: MRC GLOBAL INC DEC 2018 @	B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 677594762	DOSDALL, KEVIN	O CALL: BLUEBIRD BIO INC OCT 2018	S	-3.38	19.00	19.00	0.00	0.0	0.00
10/02/2018 708078768	TUCKER, CLARENCE	O CALL: ULTA BEAUTY INC DEC 2018	B	1,978.68	16.00	16.00	0.00	0.0	0.00
10/04/2018 708078768	TUCKER, CLARENCE	O CALL: MRC GLOBAL INC DEC 2018 @	B	2,830.40	44.00	44.00	0.00	0.0	0.00
10/08/2018 708078768	TUCKER, CLARENCE	O CALL: BLUEBIRD BIO INC OCT 2018	S	-15.28	22.00	22.00	0.00	0.0	0.00
10/02/2018 800358756	WEST, JAY	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 800358756	WEST, JAY	O CALL: MRC GLOBAL INC DEC 2018 @	B	485.44	19.00	19.00	0.00	0.0	0.00
10/08/2018 800358756	WEST, JAY	O CALL: BLUEBIRD BIO INC OCT 2018	S	4.55	17.00	17.00	0.00	0.0	0.00
10/02/2018 800359189	WEST, ANN	O CALL: ULTA BEAUTY INC DEC 2018	B	997.56	15.00	15.00	0.00	0.0	0.00
10/04/2018 800359189	WEST, ANN	O CALL: MRC GLOBAL INC DEC 2018 @	B	1,892.42	34.00	34.00	0.00	0.0	0.00
10/08/2018 800359189	WEST, ANN	O CALL: BLUEBIRD BIO INC OCT 2018	S	-7.34	20.00	20.00	0.00	0.0	0.00
10/02/2018 800370191	TRUST, WEST	O CALL: ULTA BEAUTY INC DEC 2018	B	4,922.03	19.00	19.00	0.00	0.0	0.00
10/04/2018 800370191	TRUST, WEST	O CALL: MRC GLOBAL INC DEC 2018 @	B	4,706.37	64.00	64.00	0.00	0.0	0.00
10/08/2018 800370191	TRUST, WEST	O CALL: BLUEBIRD BIO INC OCT 2018	S	-66.83	35.00	35.00	0.00	0.0	0.00
10/02/2018 800371394	WEST, JOHN	O CALL: ULTA BEAUTY INC DEC 2018	B	49,072.29	64.00	64.00	0.00	0.0	0.00
10/04/2018 800371394	WEST, JOHN	O CALL: MRC GLOBAL INC DEC 2018 @	B	73,803.60	514.00	514.00	0.00	0.0	0.00
10/08/2018 800371394	WEST, JOHN	O CALL: BLUEBIRD BIO INC OCT 2018	S	-633.91	178.00	178.00	0.00	0.0	0.00
* OPTIONS SUBTOTAL *				\$334,304.55	\$3,920.00	\$3,920.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/19/2018 mfc882166	VARIOUS,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.39	0.00	0.39	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.39	\$0.00	\$0.39		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$12,734,932.64	\$30,480.39	\$30,480.00	\$0.39		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00