

COMMISSION STATEMENT November 30, 2018

 THOMAS KINDER-D036
 300 East 4th Street

 BROKER #: D036
 BRANCH: DMN

Tyler, TX 75701

COMMISSION STATEMENT for THOMAS KINDER-D036

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/31/2018 (None)	VARIOUS, KINDER	MFT HARTFORD MUTUAL FUIND	B	0.00	6.59	0.00	6.59	70.0	4.61
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$6.59	\$0.00	\$6.59		\$4.61
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
11/09/2018 (None)	RAWLINSON, JERRY	VAT TRANSAMERICA LIFE	B	0.00	15.59	0.00	15.59	70.0	10.91
11/25/2018 (None)	TEXAS AIR	VAT MASS MUTUAL	B	0.00	53.97	0.00	53.97	70.0	37.78
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$69.56	\$0.00	\$69.56		\$48.69
		*** DIRECT TRANSACTIONS TOTAL ***		\$0.00	\$76.15	\$0.00	\$76.15		\$53.30

ADJUSTMENTS TRANSACTIONS
BROKER: #0

11/30/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
11/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
	* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00
	*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$53.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-101.70
Year-to-date Commissions	\$57,017.09