



All Companies

12/28/2018  
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# COMMISSION STATEMENT January 02, 2019

SAMUEL SCOGGIN  
4124 Drexmore Road

BROKER #: 9655  
BRANCH: HOME OFFICE

Fort Worth, TX 76244-

## COMMISSION STATEMENT for SAMUEL SCOGGIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### OVERRIDE TRANSACTIONS

#### **BROKER: WILLIAM L. BROOKMAN #9081**

12/18/2018	TRAILS	AMERICAN GENERAL ANNUITY	148.48	148.48		9.35	0.00	9.35
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	99.84	99.84		6.29	0.00	6.29
11/26/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	100.00	6.00		0.38	0.00	0.38
12/18/2018	TRAILS	WELLS FARGO	3.27	3.27		0.21	0.00	0.21
12/17/2018	00088728542 CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	50.00	0.50		0.03	0.00	0.03
12/17/2018	00088728542 CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	75.00	0.75		0.05	0.00	0.05
12/17/2018	00088728542 CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	75.00	0.75		0.05	0.00	0.05
12/17/2018	00088728542 CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	50.00	0.50		0.03	0.00	0.03
10/11/2018	25632715 DIAZ, CARMEN A	SIGNAL SECURITIES RIA	0.00	83.00		5.23	0.00	5.23
10/11/2018	49283223 HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	0.00	20.00		1.26	0.00	1.26
10/11/2018	62315710 HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	0.00	14.34		0.90	0.00	0.90
* WILLIAM L. BROOKMAN SUBTOTAL *			<u>\$601.59</u>	<u>\$377.43</u>		<u>\$23.78</u>	<u>\$0.00</u>	<u>\$23.78</u>

#### **BROKER: KAREN CARTER BROOKMAN #9102**

12/18/2018	TRAILS	AMERICAN GENERAL ANNUITY	192.05	192.05		12.10	0.00	12.10
12/27/2018	TRAILS	EATON VANCE - GENERAL	20.52	20.52		1.29	0.00	1.29
12/18/2018	TRAILS	GENWORTH LIFE ANNUITY	400.70	400.70		25.24	0.00	25.24
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	170.56	170.56		10.75	0.00	10.75
12/18/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	158.57	158.57		9.99	0.00	9.99
12/04/2018	00009309569 WOOD, RONALD	AIG HIGH YIELD BOND - A	0.00	0.72		0.05	0.00	0.05
12/04/2018	00009309569 WOOD, RONALD	AIG INT'L EQUITY - A	0.00	0.42		0.03	0.00	0.03
12/04/2018	00009309569 WOOD, RONALD	AIG STRATEGIC BOND - A	0.00	1.50		0.09	0.00	0.09
12/04/2018	00009309569 WOOD, RONALD	FOCUSED DIVIDEND STRAT CL A	0.00	3.10		0.20	0.00	0.20
12/04/2018	00009309569 WOOD, RONALD	SUN AMER FOCUSED ALPHA LARGE CAP	0.00	1.72		0.11	0.00	0.11
12/17/2018	00067657556 GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	50.00	0.50		0.03	0.00	0.03
12/17/2018	00067657556 GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	50.00	0.50		0.03	0.00	0.03

			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

Check Amount	\$150.34
Year-to-date Earnings	\$150.34