



COMMISSION STATEMENT

December 17, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @38.5100						
11/20/2018	***-**-0485 - WHALEN, STEPHEN	FEDERATED 31421R841	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06090486215	BUY 3 Units @19.5800						
* MUTUAL FUNDS SUBTOTAL *			\$90.00	\$4.10	\$0.00	\$4.10		\$2.87
<u>PRODUCT CATEGORY: STOCKS</u>								
12/10/2018	***-**-585X - LAURICELLA, ADAM	FIDELITY FREEDOM 2035	1,080.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011433	BUY 78 Units @13.9300						
* STOCKS SUBTOTAL *			\$1,080.01	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
12/17/2018	***-**-775P - RYAN, MARY	NEW YORK 529 TRAILS	0.00	2.01	0.00	2.01	70.0	1.41
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
12/17/2018	***-**-6717 - DEBONIS, BRENDA	NEW YORK 529 TRAILS	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 77423426901	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$2.57	\$0.00	\$2.57		\$1.80
<u>PRODUCT CATEGORY: FINANCIAL PLANNING</u>								
12/06/2018	***-**-ANFP - KLEBAN, RICHARD	FINANCIAL PLAN	0.00	500.00	0.00	500.00	70.0	350.00
		BUY 0 Units @0.0000						
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$500.00	\$0.00	\$500.00		\$350.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/03/2018	***-**-8431 - HOWANIEC, EDWARD	AF MODERATE GROWTH AND INCOME-A	0.00	35.14	0.00	35.14	70.0	24.60
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	AF MODERATE GROWTH AND INCOME-A	0.00	5.04	0.00	5.04	70.0	3.53
	ACCOUNT #: 00088210419	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	AF MODERATE GROWTH AND INCOME-A	0.00	3.91	0.00	3.91	70.0	2.74
	ACCOUNT #: 00088210429	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	AMCAP FUND-A	0.00	6.13	0.00	6.13	70.0	4.29
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-8658 - GILL, THERESA	AMCAP FUND-A	0.00	1.25	0.00	1.25	70.0	0.88
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	0.00	14.61	0.00	14.61	70.0	10.23
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	AMCAP FUND-A	0.00	21.00	0.00	21.00	70.0	14.70
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - WEBER, FREDERICK	AMCAP FUND-A	0.00	14.45	0.00	14.45	70.0	10.12
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	AMERICAN BALANCED FUND-A	0.00	5.67	0.00	5.67	70.0	3.97
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	AMERICAN BALANCED FUND-A	0.00	46.79	0.00	46.79	70.0	32.75
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	0.00	8.35	0.00	8.35	70.0	5.85
	ACCOUNT #: 00088244527	BUY 0 Units @0.0000						
12/03/2018	***-**-8696 - LAUBACKER,	AMERICAN BALANCED FUND-A	0.00	3.29	0.00	3.29	70.0	2.30
	ACCOUNT #: 00088244533	BUY 0 Units @0.0000						
12/03/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 04000740312	BUY 0 Units @0.0000						
12/03/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: 04000941955	BUY 0 Units @0.0000						
12/03/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	14.08	0.00	14.08	70.0	9.86
	ACCOUNT #: 00089463498	BUY 0 Units @0.0000						
12/03/2018	***-**-6560 - TALBOT, JENNIFER	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	2.11	0.00	2.11	70.0	1.48
	ACCOUNT #: 00088093126	BUY 0 Units @0.0000						
12/03/2018	***-**-5460 - MILLS, ALEXIS	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	4.23	0.00	4.23	70.0	2.96
	ACCOUNT #: 00088093500	BUY 0 Units @0.0000						
12/03/2018	***-**-1878 - HOWANIEC, DESSA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	2.10	0.00	2.10	70.0	1.47
	ACCOUNT #: 00088799768	BUY 0 Units @0.0000						
12/03/2018	***-**-4858 - GODOFISKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	4.98	0.00	4.98	70.0	3.49
	ACCOUNT #: 04000349982	BUY 0 Units @0.0000						
12/03/2018	***-**-3410 - HOWANIEC,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	3.64	0.00	3.64	70.0	2.55
	ACCOUNT #: 04000916803	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-0485 - WHALEN, STEPHEN	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.98	0.00	1.98	70.0	1.39
	ACCOUNT #: 00088824582	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - WEBER, FREDERICK	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	15.39	0.00	15.39	70.0	10.77
	ACCOUNT #: 00089209664	BUY 0 Units @0.0000						
12/03/2018	***-**-8431 - HOWANIEC, EDWARD	AMERICAN HIGH-INCOME TRUST-A	0.00	181.47	0.00	181.47	70.0	127.03
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
12/03/2018	***-**-061N - GOLDMAN, JUNE	AMERICAN HIGH-INCOME TRUST-A	0.00	142.16	0.00	142.16	70.0	99.51
	ACCOUNT #: 00087856737	BUY 0 Units @0.0000						
12/03/2018	***-**-2582 - AGUIAR, DANA	AMERICAN HIGH-INCOME TRUST-A	0.00	2.96	0.00	2.96	70.0	2.07
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
12/03/2018	***-**-9105 - OCONNOR, BARBARA	AMERICAN HIGH-INCOME TRUST-A	0.00	125.36	0.00	125.36	70.0	87.75
	ACCOUNT #: 00088011557	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	AMERICAN HIGH-INCOME TRUST-A	0.00	54.69	0.00	54.69	70.0	38.28
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-5445 - NORTH, CHARLES	AMERICAN HIGH-INCOME TRUST-A	0.00	122.76	0.00	122.76	70.0	85.93
	ACCOUNT #: 00088143210	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	AMERICAN HIGH-INCOME TRUST-A	0.00	90.71	0.00	90.71	70.0	63.50
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
12/03/2018	***-**-3582 - NORTH, JOANNE	AMERICAN HIGH-INCOME TRUST-A	0.00	22.70	0.00	22.70	70.0	15.89
	ACCOUNT #: 00088269231	BUY 0 Units @0.0000						
12/03/2018	***-**-7131 - PHILLIPS, DAVID	AMERICAN HIGH-INCOME TRUST-A	0.00	40.92	0.00	40.92	70.0	28.64
	ACCOUNT #: 00088295242	BUY 0 Units @0.0000						
12/03/2018	***-**-7757 - JOHNSON,	AMERICAN HIGH-INCOME TRUST-A	0.00	46.75	0.00	46.75	70.0	32.73
	ACCOUNT #: 00088316251	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	AMERICAN HIGH-INCOME TRUST-A	0.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	AMERICAN HIGH-INCOME TRUST-A	0.00	9.83	0.00	9.83	70.0	6.88
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	AMERICAN HIGH-INCOME TRUST-A	0.00	42.43	0.00	42.43	70.0	29.70
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-6885 - BUCHANAN, ROGER	AMERICAN HIGH-INCOME TRUST-A	0.00	144.65	0.00	144.65	70.0	101.26
	ACCOUNT #: 00088653800	BUY 0 Units @0.0000						
12/03/2018	***-**-1878 - HOWANIEC, DESSA	AMERICAN HIGH-INCOME TRUST-A	0.00	3.91	0.00	3.91	70.0	2.74
	ACCOUNT #: 00088798653	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - WEBER, FREDERICK	AMERICAN HIGH-INCOME TRUST-A	0.00	16.57	0.00	16.57	70.0	11.60
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
12/03/2018	***-**-2582 - AGUIAR, DANA	AMERICAN MUTUAL FUND-A	0.00	3.24	0.00	3.24	70.0	2.27
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	AMERICAN MUTUAL FUND-A	0.00	11.96	0.00	11.96	70.0	8.37
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	AMERICAN MUTUAL FUND-A	0.00	75.32	0.00	75.32	70.0	52.72
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	AMERICAN MUTUAL FUND-A	0.00	27.39	0.00	27.39	70.0	19.17
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	AMERICAN MUTUAL FUND-A	0.00	7.25	0.00	7.25	70.0	5.08
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	AMERICAN MUTUAL FUND-A	0.00	12.52	0.00	12.52	70.0	8.76
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - WEBER, FREDERICK	AMERICAN TAX EXEMPT OF NEW YORK CL	0.00	1.14	0.00	1.14	70.0	0.80
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	AMERICAN TAX EXEMPT OF NEW YORK CL	0.00	24.08	0.00	24.08	70.0	16.86
	ACCOUNT #: 00089209664	BUY 0 Units @0.0000						
12/03/2018	***-**-8431 - HOWANIEC, EDWARD	CAPITAL INCOME BUILDER-A	0.00	120.53	0.00	120.53	70.0	84.37
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
12/03/2018	***-**-061N - GOLDMAN, JUNE	CAPITAL INCOME BUILDER-A	0.00	55.74	0.00	55.74	70.0	39.02
	ACCOUNT #: 00087856737	BUY 0 Units @0.0000						
12/03/2018	***-**-2582 - AGUIAR, DANA	CAPITAL INCOME BUILDER-A	0.00	2.83	0.00	2.83	70.0	1.98
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
12/03/2018	***-**-9105 - OCONNOR, BARBARA	CAPITAL INCOME BUILDER-A	0.00	44.53	0.00	44.53	70.0	31.17
	ACCOUNT #: 00088011557	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	CAPITAL INCOME BUILDER-A	0.00	38.41	0.00	38.41	70.0	26.89
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-5445 - NORTH, CHARLES	CAPITAL INCOME BUILDER-A	0.00	34.37	0.00	34.37	70.0	24.06
	ACCOUNT #: 00088143210	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	CAPITAL INCOME BUILDER-A	0.00	21.77	0.00	21.77	70.0	15.24
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
12/03/2018	***-**-3582 - NORTH, JOANNE	CAPITAL INCOME BUILDER-A	0.00	6.22	0.00	6.22	70.0	4.35
	ACCOUNT #: 00088269231	BUY 0 Units @0.0000						
12/03/2018	***-**-7131 - PHILLIPS, DAVID	CAPITAL INCOME BUILDER-A	0.00	10.47	0.00	10.47	70.0	7.33
	ACCOUNT #: 00088295242	BUY 0 Units @0.0000						
12/03/2018	***-**-7757 - JOHNSON,	CAPITAL INCOME BUILDER-A	0.00	11.08	0.00	11.08	70.0	7.76
	ACCOUNT #: 00088316251	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	CAPITAL INCOME BUILDER-A	0.00	6.62	0.00	6.62	70.0	4.63
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	CAPITAL INCOME BUILDER-A	0.00	9.85	0.00	9.85	70.0	6.90
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-6885 - BUCHANAN, ROGER	CAPITAL INCOME BUILDER-A	0.00	59.97	0.00	59.97	70.0	41.98
	ACCOUNT #: 00088653800	BUY 0 Units @0.0000						
12/03/2018	***-**-1878 - HOWANIEC, DESSA	CAPITAL INCOME BUILDER-A	0.00	5.08	0.00	5.08	70.0	3.56
	ACCOUNT #: 00088798653	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	CAPITAL WORLD BOND FUND-A	0.00	33.26	0.00	33.26	70.0	23.28
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	CAPITAL WORLD BOND FUND-A	0.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
12/03/2018	***-**-7131 - PHILLIPS, DAVID	CAPITAL WORLD BOND FUND-A	0.00	3.29	0.00	3.29	70.0	2.30
	ACCOUNT #: 00088295242	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	CAPITAL WORLD BOND FUND-A	0.00	2.18	0.00	2.18	70.0	1.53
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	CAPITAL WORLD BOND FUND-A	0.00	2.94	0.00	2.94	70.0	2.06
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	CAPITAL WORLD BOND FUND-A	0.00	4.68	0.00	4.68	70.0	3.28
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	0.00	1.76	0.00	1.76	70.0	1.23
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-8658 - GILL, THERESA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.10	0.00	2.10	70.0	1.47
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.63	0.00	3.63	70.0	2.54
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.77	0.00	8.77	70.0	6.14
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
12/17/2018	***-**-92IN - FITZGERALD, MARY	COLUMBIA THREADNEEDLE TRAILS	0.00	0.79	0.00	0.79	70.0	0.55
		BUY 0 Units @0.0000						
12/03/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	0.00	2.80	0.00	2.80	70.0	1.96
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	FUNDAMENTAL INVESTORS-A	0.00	53.81	0.00	53.81	70.0	37.67
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-8658 - GILL, THERESA	FUNDAMENTAL INVESTORS-A	0.00	2.60	0.00	2.60	70.0	1.82
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	0.00	7.61	0.00	7.61	70.0	5.33
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	FUNDAMENTAL INVESTORS-A	0.00	17.09	0.00	17.09	70.0	11.96
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	0.00	2.22	0.00	2.22	70.0	1.55
	ACCOUNT #: 04000234328	BUY 0 Units @0.0000						
12/03/2018	***-**-8266 - GILL, NANCY	GLOBAL GROWTH PORTFOLIO-A	0.00	3.84	0.00	3.84	70.0	2.69
	ACCOUNT #: 00087772146	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	52.02	0.00	52.02	70.0	36.41
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - WEBER, FREDERICK	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	7.45	0.00	7.45	70.0	5.22
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
12/03/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	0.00	10.22	0.00	10.22	70.0	7.15
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	NEW PERSPECTIVE FUND-A	0.00	2.93	0.00	2.93	70.0	2.05
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	NEW PERSPECTIVE FUND-A	0.00	14.62	0.00	14.62	70.0	10.23
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						



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Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-8658 - GILL, THERESA	NEW PERSPECTIVE FUND-A	0.00	1.81	0.00	1.81	70.0	1.27
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	0.00	2.60	0.00	2.60	70.0	1.82
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	NEW PERSPECTIVE FUND-A	0.00	6.75	0.00	6.75	70.0	4.73
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	NEW PERSPECTIVE FUND-A	0.00	3.73	0.00	3.73	70.0	2.61
	ACCOUNT #: 00088925352	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	SMALLCAP WORLD FUND-A	0.00	5.70	0.00	5.70	70.0	3.99
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-8658 - GILL, THERESA	SMALLCAP WORLD FUND-A	0.00	1.21	0.00	1.21	70.0	0.85
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	SMALLCAP WORLD FUND-A	0.00	3.11	0.00	3.11	70.0	2.18
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	0.00	6.35	0.00	6.35	70.0	4.45
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
12/03/2018	***-**-2582 - AGUIAR, DANA	THE BOND FUND OF AMERICA-A	0.00	2.68	0.00	2.68	70.0	1.88
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	THE BOND FUND OF AMERICA-A	0.00	17.74	0.00	17.74	70.0	12.42
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	THE BOND FUND OF AMERICA-A	0.00	20.58	0.00	20.58	70.0	14.41
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	0.00	5.75	0.00	5.75	70.0	4.03
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	THE BOND FUND OF AMERICA-A	0.00	6.06	0.00	6.06	70.0	4.24
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	0.00	0.72	0.00	0.72	70.0	0.50
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	THE BOND FUND OF AMERICA-A	0.00	9.30	0.00	9.30	70.0	6.51
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-6885 - BUCHANAN, ROGER	THE BOND FUND OF AMERICA-A	0.00	16.34	0.00	16.34	70.0	11.44
	ACCOUNT #: 00088653800	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-1878 - HOWANIEC, DESSA	THE BOND FUND OF AMERICA-A	0.00	3.55	0.00	3.55	70.0	2.49
	ACCOUNT #: 00088798653	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	THE GROWTH FUND OF AMERICA-A	0.00	6.42	0.00	6.42	70.0	4.49
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	THE GROWTH FUND OF AMERICA-A	0.00	29.06	0.00	29.06	70.0	20.34
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	0.00	11.78	0.00	11.78	70.0	8.25
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-6809 - FRANCIS, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	8.80	0.00	8.80	70.0	6.16
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
12/03/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	0.00	3.65	0.00	3.65	70.0	2.56
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
12/03/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	0.00	4.37	0.00	4.37	70.0	3.06
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
12/04/2018	***-**-5076 - TORRES, JASON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 00000751783	BUY 0 Units @0.0000						
12/03/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	0.00	8.85	0.00	8.85	70.0	6.20
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
12/03/2018	***-**-8431 - HOWANIEC, EDWARD	THE INCOME FUND OF AMERICA-A	0.00	134.71	0.00	134.71	70.0	94.30
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
12/03/2018	***-**-06IN - GOLDMAN, JUNE	THE INCOME FUND OF AMERICA-A	0.00	61.95	0.00	61.95	70.0	43.37
	ACCOUNT #: 00087856737	BUY 0 Units @0.0000						
12/03/2018	***-**-2582 - AGUIAR, DANA	THE INCOME FUND OF AMERICA-A	0.00	2.99	0.00	2.99	70.0	2.09
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	THE INCOME FUND OF AMERICA-A	0.00	2.71	0.00	2.71	70.0	1.90
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-9105 - OCONNOR, BARBARA	THE INCOME FUND OF AMERICA-A	0.00	47.41	0.00	47.41	70.0	33.19
	ACCOUNT #: 00088011557	BUY 0 Units @0.0000						
12/03/2018	***-**-5445 - NORTH, CHARLES	THE INCOME FUND OF AMERICA-A	0.00	34.81	0.00	34.81	70.0	24.37
	ACCOUNT #: 00088143210	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	THE INCOME FUND OF AMERICA-A	0.00	11.71	0.00	11.71	70.0	8.20
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-8658 - GILL, THERESA	THE INCOME FUND OF AMERICA-A	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-3582 - NORTH, JOANNE	THE INCOME FUND OF AMERICA-A	0.00	6.22	0.00	6.22	70.0	4.35
	ACCOUNT #: 00088269231	BUY 0 Units @0.0000						
12/03/2018	***-**-7131 - PHILLIPS, DAVID	THE INCOME FUND OF AMERICA-A	0.00	11.51	0.00	11.51	70.0	8.06
	ACCOUNT #: 00088295242	BUY 0 Units @0.0000						
12/03/2018	***-**-7757 - JOHNSON,	THE INCOME FUND OF AMERICA-A	0.00	12.17	0.00	12.17	70.0	8.52
	ACCOUNT #: 00088316251	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	0.00	6.37	0.00	6.37	70.0	4.46
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
12/03/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	0.00	1.61	0.00	1.61	70.0	1.13
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	THE INCOME FUND OF AMERICA-A	0.00	5.39	0.00	5.39	70.0	3.77
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-6885 - BUCHANAN, ROGER	THE INCOME FUND OF AMERICA-A	0.00	53.95	0.00	53.95	70.0	37.77
	ACCOUNT #: 00088653800	BUY 0 Units @0.0000						
12/03/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	0.00	1.92	0.00	1.92	70.0	1.34
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	THE INCOME FUND OF AMERICA-A	0.00	16.92	0.00	16.92	70.0	11.84
	ACCOUNT #: 00089209664	BUY 0 Units @0.0000						
12/03/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.41	0.00	10.41	70.0	7.29
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	THE INVESTMENT COMPANY OF AMERICA-A	0.00	11.91	0.00	11.91	70.0	8.34
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-5076 - TORRES, JASON	THE NEW ECONOMY FUND-A	0.00	6.06	0.00	6.06	70.0	4.24
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
12/03/2018	***-**-2538 - GOLDMAN, DANIEL	THE NEW ECONOMY FUND-A	0.00	26.87	0.00	26.87	70.0	18.81
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
12/03/2018	***-**-8658 - GILL, THERESA	THE NEW ECONOMY FUND-A	0.00	1.92	0.00	1.92	70.0	1.34
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
12/03/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	0.00	7.83	0.00	7.83	70.0	5.48
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/03/2018	***-**-9982 - BROWN, EDWIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	28.24	0.00	28.24	70.0	19.77
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
12/03/2018	***-**-9982 - BROWN, EDWIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	13.19	0.00	13.19	70.0	9.23
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
12/03/2018	***-**-5132 - WEBER, FREDERICK	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	8.72	0.00	8.72	70.0	6.10
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
12/03/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	32.04	0.00	32.04	70.0	22.43
	ACCOUNT #: 01293376129	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,858.16	\$0.00	\$2,858.16		\$2,000.81
*** BROKER TRANSACTIONS TOTAL ***			\$1,170.01	\$3,364.83	\$18.00	\$3,346.83		\$2,337.48

BROKER COMMISSION TOTALS

Broker Transactions	\$2,337.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$71.55
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,409.03
Year-to-date Earnings	\$64,946.14