BROKER

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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

October 31, 2018

EZRA GRAYMAN

7 EDGEWOOD AVE

SPRINGFIELD, NJ 07081

BROKER #: M10 M15

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for EZRA GRAYMAN

INVESTMENT

09/27/2018 QGV0062:	290 Client Not Found 216 Client Not Found	BROKER TE ADVANCED MICRO DEVICES INC ADVANCED MICRO DEVICES INC BJS WHSL CLUB INC COM MARRIOTT VACATIONS WORLDWIDE CORPO	B B S	269,788.00	100.00				
10/10/2018 QGV0061: 09/27/2018 QGV0062:	290 Client Not Found 216 Client Not Found	ADVANCED MICRO DEVICES INC ADVANCED MICRO DEVICES INC BJS WHSL CLUB INC COM	ВВ	269,788.00	100.00				
10/10/2018 QGV0061: 09/27/2018 QGV0062:	290 Client Not Found 216 Client Not Found	ADVANCED MICRO DEVICES INC BJS WHSL CLUB INC COM	В		100.00				
09/27/2018 QGV0062:	216 Client Not Found 216 Client Not Found 216 Client Not Found 216 Client Not Found 216 Client Not Found	ADVANCED MICRO DEVICES INC BJS WHSL CLUB INC COM	В		100.00				
09/27/2018 QGV0062:	216 Client Not Found 216 Client Not Found 216 Client Not Found 216 Client Not Found	BJS WHSL CLUB INC COM				10.00	90.00	50.0	45.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062:	216 Client Not Found 216 Client Not Found 216 Client Not Found		C	1,015,001.60	150.00	10.00	140.00	50.0	70.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/28/2018 QGV0062:	216 Client Not Found 216 Client Not Found	MARRIOTT VACATIONS WORLDWIDE CORPO	D	-13,500.00	20.00	10.00	10.00	50.0	5.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062:	216 Client Not Found		R S	-112,010.00	20.00	10.00	10.00	50.0	5.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/28/2018 QGV0062:		MARRIOTT VACATIONS WORLDWIDE CORPO	RВ	113,000.00	20.00	10.00	10.00	50.0	5.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/28/2018 QGV0062:	16 61 1 1 17 1 5 1	PETIQ INC COM CL A	S	-65,600.00	20.00	10.00	10.00	50.0	5.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/28/2018 QGV0062:	116 Client Not Found	PETIQ INC COM CL A	S	-12,300.00	20.00	10.00	10.00	50.0	5.00
09/27/2018 QGV0062: 09/27/2018 QGV0062: 09/28/2018 QGV0062:	216 Client Not Found	RA MED SYS INC COM	S	-30,800.00	20.00	10.00	10.00	50.0	5.00
09/27/2018 QGV00623 09/28/2018 QGV00623	216 Client Not Found	SELECT INTERIOR CONCEPTS INC CL A	S	-105,384.09	195.06	10.00	185.06	50.0	92.53
09/28/2018 QGV0062	216 Client Not Found	SUTRO BIOPHARMA INC COM	S	-184,124.84	122.00	10.00	112.00	50.0	56.00
_	216 Client Not Found	SUTRO BIOPHARMA INC COM	В	151,200.00	100.00	10.00	90.00	50.0	45.00
09/28/2018 QGV0062	216 Client Not Found	ADVANCED MICRO DEVICES INC	В	636,000.00	100.00	10.00	90.00	50.0	45.00
	216 Client Not Found	ALDEYRA THERAPEUTICS INC COM	S	-11,112.88	20.00	10.00	10.00	50.0	5.00
09/28/2018 QGV00623	216 Client Not Found	ALDEYRA THERAPEUTICS INC COM	В	15,125.00	0.00	10.00	-10.00	50.0	-5.00
09/28/2018 QGV00623	216 Client Not Found	ALDEYRA THERAPEUTICS INC COM	S	-216,701.16	156.00	10.00	146.00	50.0	73.00
09/28/2018 QGV00623	216 Client Not Found	ENTASIS THERAPEUTICS HOLDINGS INC	C S	-21,934.00	20.00	10.00	10.00	50.0	5.00
09/28/2018 QGV0062	216 Client Not Found	NIGHTSTAR THERAPEUTICS LIMITED SHS	S	-84,075.00	44.25	10.00	34.25	50.0	17.13
09/28/2018 QGV0062	216 Client Not Found	ROSEHILL RES INC CL A	S	-3,050.35	20.00	10.00	10.00	50.0	5.00
09/28/2018 QGV00623	216 Client Not Found	SELECT INTERIOR CONCEPTS INC CL A	S	-53,750.00	100.00	10.00	90.00	50.0	45.00
09/28/2018 QGV0062	216 Client Not Found	SIRIUS XM HOLDINGS INC COM	S	-76,200.00	100.00	10.00	90.00	50.0	45.00
10/01/2018 QGV00623	216 Client Not Found	ADVANCED MICRO DEVICES INC	В	474,000.00	100.00	10.00	90.00	50.0	45.00
10/01/2018 QGV00623	216 Client Not Found	ADVANCED MICRO DEVICES INC	S	-476,209.50	100.00	10.00	90.00	50.0	45.00
10/02/2018 QGV00623	216 Client Not Found	SELECT INTERIOR CONCEPTS INC CL A	S	-78,530.25	100.00	10.00	90.00	50.0	45.00
10/03/2018 OGV00623	216 Client Not Found	J C PENNEY CO INC	В	26,100.00	100.00	10.00	90.00	50.0	45.00
10/03/2018 OGV00623	216 Client Not Found	SVMK INC COM	S	-1,257.15	20.00	10.00	10.00	50.0	5.00
	216 Client Not Found	UPWORK INC COM	S	-142,600.00	100.00	10.00	90.00	50.0	45.00
-	216 Client Not Found	GUARDANT HEALTH INC COM	S	-13,875.00	20.00	10.00	10.00	50.0	5.00
-	216 Client Not Found	MALVERN BANCORP INC COM	S	-210,007.00	100.00	10.00	90.00	50.0	45.00
-	216 Client Not Found	MONMOUTH REAL ESTATE INVT CORP COM		-111,900.00	75.00	10.00	65.00	50.0	32.50
10/04/2018 QGV00623		PAIN THERAPEUTICS INC COM NEW	S	-32,035.00					
10/04/2018 QGV00623	216 Client Not Found			- 17. 11. 11. 11. 11. 11. 11. 11. 11. 11.	150.00	10.00	140.00	50.0	70.00

COMMISSION STATEMENT for EZRA GRAYMAN

INVESTMENT

GROSS

CLEARING

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BROKER

### PRODUCT CATEGORY: STOCKS 10/04/2018 QUV006216 Client Not Found	BROKER E COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	GROSS COMMISSION	INVESTMENT AMOUNT	INVESTMENT B/S	RADE DATE CLIENT
PRODUCT CATROONY: STOCKS								
10/04/2018 Q8V006216 Client Not Pound MILDAN GROUP INC COM S -39,681,98 20,00 10,00 10,00 50,00 10/05/2018 Q8V006216 Client Not Pound ELASTIC B V BEARER AND REGISTERED S -52,500,00 20,00 10,00 10,00 50,00 10/05/2018 Q8V006216 Client Not Pound ELASTIC B V BEARER AND REGISTERED S -52,500,00 20,00 10,00 10,00 90,00 50,00 10/05/2018 Q8V006216 Client Not Pound ADVANCED MICRO DBVICES INC B 546,700,00 100,00 10,00 90,00 50,00 10/06/2018 Q8V006216 Client Not Found ADVANCED MICRO DBVICES INC B 809,364,00 100,00 10,00 90,00 50,00 10/10/2018 Q8V006216 Client Not Found ADVANCED MICRO DBVICES INC B 809,364,00 100,00 10,00 90,00 50,00 10/10/2018 Q8V006216 Client Not Found ADVANCED MICRO DBVICES INC B 809,364,00 100,00 10,00 90,00 50,00 10/10/2018 Q8V006216 Client Not Found ADVANCED MICRO DBVICES INC B 809,364,00 100,00 10,00 90,00 50,00 10/10/2018 Q8V006216 Client Not Found ADVANCED MICRO DBVICES INC B 809,364,00 100,00 10,00 90,00 50,00 10/10/2018 Q8V006216 Client Not Found ALLOSEME TREARBEVICES INC COM S -21,417,30 20,00 10,00 10,00 90,00 50,00 10/11/2018 Q8V006216 Client Not Found LIVENT COMPONATION COMMON STOCK S -475,388.55 200,00 10,00 10,00 90,00 50,00 10/12/2018 Q8V006216 Client Not Found LIVENT COMPONATION COMMON STOCK S -475,388.55 200,00 10,00 10,00 50,00 10/16/2018 Q8V006216 Client Not Found ANALARIA INC COM S -22,500,000 20,00 10,00 10,00 50,00 10/16/2018 Q8V006216 Client Not Found ANALARIA INC COM S -22,500,000 20,00 10,00 10,00 50,00 10/18/2018 Q8V006216 Client Not Found ACLARIS THERREPUTICES INC COM S -22,500,000 10,00 10,00 10,00 50,00 10/18/2018 Q8V006216 Client Not Found ACLARIS THERREPUTICES INC COM S -22,600,00 10,00 10,00 10,00 50,00 10/18/2018 Q8V006216 Client Not Found ACLARIS THERREPUTICES INC COM S -22,600,00 10,00 10,00 10,00 50,						ACTIONS	BROKER TRANS	
10/05/2018 QXY006216 Client Not Found SHATCURE INC COM S								RODUCT CATEGORY: STOCKS
10/05/2018 CQY006216 Client Not Found	0 5.00	50.0	10.00	10.00	20.00	-39,681.98	WILLDAN GROUP INC COM S	0/04/2018 QGV006216 Client Not Found
10/05/2018 QQY006216 Client Not Found	0 6.50	50.0	13.00	10.00	23.00	-73,025.00	ATRICURE INC COM S	0/05/2018 QGV006216 Client Not Found
10/10/2018 QCV006216 Client Not Found ADVANCED MICRO DEVICES INC B 509,364.00 100.00 10.00 90.00 50.0 10/10/2018 QCV006216 Client Not Found ADVANCED MICRO BEVICES INC B 809,364.00 100.00 10.00 90.00 50.0 10/10/2018 QCV006216 Client Not Found ADVANCED MICRO DEVICES INC B 809,364.00 100.00 10.00 10.00 90.00 50.0 10/10/2018 QCV006216 Client Not Found ADVANCED MICRO DEVICES INC S 2-1,417.30 20.00 10.00 10.00 90.00 50.0 10/10/2018 QCV006216 Client Not Found ALLOGENER THERAPEUTICS INC COM S -110,150.00 100.00 10.00 90.00 50.0 10/11/2018 QCV006216 Client Not Found ALLOGENER THERAPEUTICS INC COM S -271,703.00 100.00 10.00 90.00 50.0 10/11/2018 QCV006216 Client Not Found ALLOGENER THERAPEUTICS INC COM S -271,703.00 100.00 10.00 10.00 90.00 50.0 10/11/2018 QCV006216 Client Not Found ALLOWEN COMPONATION COMMON STOCK S -775,386.55 200.00 10.00 10.00 190.00 50.0 10/11/2018 QCV006216 Client Not Found ALLOWEN COMPONATION COMMON STOCK S -29,100.00 10.00 10.00 10.00 10.00 10/10/2018 QCV006216 Client Not Found GRAF INDL CORPORATION COMMON STOCK S -29,100.00 20.00 10.00 10.00 10.00 50.0 10/16/2018 QCV006216 Client Not Found GRAF INDL CORP UNIT 1 COM \$1 RED W S -2,250.000.00 10.00 10.00 10.00 10.00 50.0 10/16/2018 QCV006216 Client Not Found GRAF INDL CORP UNIT 1 COM \$1 RED W S -2,250.000.00 10.00 10.00 95.00 50.0 10/18/2018 QCV006216 Client Not Found ALLARIS THERAPEUTICS INC COM NEW S -46,030.00 20.00 10.00 10.00 95.00 50.0 10/18/2018 QCV006216 Client Not Found ALCARIS THERAPEUTICS INC COM NEW S -46,030.00 10.00 10.00 95.00 50.0 10/18/2018 QCV006216 Client Not Found AROUSTIE TECHNOLOGIES INC COM NEW S -41,442.00 20.00 10.00 10.00 95.00 50.0 10/18/2018 QCV006216 Client Not Found AROUSTIE TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 10.00 90.00 50.0 10/18/2018 QCV006216 Client Not Found AROUSTIE TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 10.00 90.00 50.0 10/18/2018 QCV006216 Client Not Found AROUSTIE TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 10.00 10.00 90.00 50.0 10/18/2018 QCV006216 Client Not Found AROUSTIE TECHNOLO	0 5.00	50.0	10.00	10.00	20.00	-52,500.00	ELASTIC B V BEARER AND REGISTERED S S	0/05/2018 QGV006216 Client Not Found
10/10/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 809,364.00 100.00 10.00 90.00 50.0 10/10/2018 QGV006216 Client Not Found CONAGRA FOODS INC S -8 -809,364.00 -100.00 -10.00 90.00 50.0 10/10/2018 QGV006216 Client Not Found CONAGRA FOODS INC S -211,417.30 20.00 10.00 10.00 90.00 50.0 10/11/2018 QGV006216 Client Not Found ALLOGENE THERAPEUTICS INC COM S -7110,150.00 100.00 10.00 90.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -775,388.55 200.00 10.00 190.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -777,252.90 200.00 10.00 190.00 50.0 10/12/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -777,252.90 200.00 10.00 190.00 50.0 10/12/2018 QGV006216 Client Not Found ANAPLAN INC COM S -271,052.90 200.00 10.00 10.00 190.00 50.0 10/12/2018 QGV006216 Client Not Found GRAF INDIC CORP UNIT 1 COM £ 1 RED W S -2,250.000.00 20.00 10.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found GRAF INDIC CORP UNIT 1 COM £ 1 RED W S -2,250.000.00 20.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found GRAF INDIC CORP UNIT 1 COM £ 1 RED W S -6,030.00 20.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -120,905.40 105.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -120,905.40 105.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -132,794.55 200.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -227,647.80 200.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -227,647.80 200.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -227,647.80 200.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -227,647.80 200.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -	0 45.00	50.0	90.00	10.00	100.00	-42,016.50	KEMPHARM INC COM S	0/05/2018 QGV006216 Client Not Found
10/10/2018 GGV006216 Client Not Found	0 45.00	50.0	90.00	10.00	100.00	546,700.00	ADVANCED MICRO DEVICES INC B	0/09/2018 QGV006216 Client Not Found
10/10/2018 QGV006216 Client Not Found ALLOGENE THERAPEUTICS INC COM S -21,417.30 20.00 10.00 10.00 90.00 50.0 10/11/2018 QGV006216 Client Not Found ALLOGENE THERAPEUTICS INC COM S -271,703.00 100.00 10.00 90.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -475,388.55 200.00 10.00 190.00 10/10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -475,388.55 200.00 10.00 190.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -717,252.90 200.00 10.00 190.00 50.0 10/12/2018 QGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED WS -2,250,000.00 20.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED WS -2,250,000.00 200.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED WS -2,250,000.00 200.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found GPI AEROSTRUCTURES INC COM NEW S -6,030.00 20.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found GPI AEROSTRUCTURES INC COM S -120,905.40 105.00 10.00 99.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -58,590.00 10.00 10.00 99.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -58,590.00 10.00 10.00 90.00 50.0 10/18/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC COM S -38,590.00 10.00 10.00 90.00 50.0 10/19/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC COM S -4,632.55 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC COM S -4,632.55 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC COM S -4,632.55 200.00 10.00 10.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found AROUSTIS TECHNOLOGIES INC S -1,126,948.50 200.00 10.00 10.00 10.00 10.00 10.00 10.0	0 45.00	50.0	90.00	10.00	100.00	809,364.00	ADVANCED MICRO DEVICES INC B	0/10/2018 QGV006216 Client Not Found
10/11/2018 QGV006216 Client Not Found AUDENTES THERAPEUTICS INC COM S -271,703.00 100.00 10.00 90.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -271,703.00 100.00 10.00 90.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -717,252.90 200.00 10.00 10.00 190.00 50.0 10/12/2018 QGV006216 Client Not Found ANAPLAN INC COM S -29,100.00 20.00 10.00 10.00 190.00 50.0 10/12/2018 QGV006216 Client Not Found CAPACINE COMPONENTS INC COM S -29,100.00 20.00 10.00 10.00 10.00 10/16/2018 QGV006216 Client Not Found CAPACINE COMPONENT STOCK S -29,100.00 20.00 10.00 10.00 10.00 10/16/2018 QGV006216 Client Not Found CAPACINE COMPONENT STOCK S -6,030.00 20.00 10.00 10.00 10.00 50.0 10/18/2018 QGV006216 Client Not Found CAPACINE COMPONENT S -6,030.00 20.00 10.00 10.00 50.0 10/18/2018 QGV006216 Client Not Found PARTIE ALD CORPORATION S -52,000.00 10.00 10.00 95.00 50.0 10/18/2018 QGV006216 Client Not Found RITE ALD CORPORATION S -52,000.00 10.00 10.00 90.00 50.0 10/18/2018 QGV006216 Client Not Found RITE ALD CORPORATION S -52,000.00 10.00 10.00 90.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -58,590.00 150.00 10.00 10.00 90.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -327,647.80 20.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -322,7647.80 20.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -322,7647.80 20.00 10.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -46,632.55 20.00 10.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -49,671.26 23.00 10.00 10.00 10.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -49,671.26 23.00 10.00 10.00 10.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -49,671.26 23.00 10.00 10.00 10.00 10.00 10.00 10.00 1	0 -45.00	50.0	-90.00	-10.00	-100.00	-809,364.00	ADVANCED MICRO DEVICES INC B	0/10/2018 QGV006216 Client Not Found
10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -271,703.00 100.00 10.00 190.00 50.0 10/11/2018 QGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -475,889.55 200.00 10.00 190.00 50.0 10/11/2018 QGV006216 Client Not Found ANAPLAN INC COM S -29,100.00 200.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED WS -2,250,000.00 200.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED WS -2,250,000.00 200.00 10.00 190.00 50.0 10/16/2018 QGV006216 Client Not Found ALCARIS THERAFEUTICS INC COM NEW S -6,030.00 20.00 10.00 10.00 95.00 10/16/2018 QGV006216 Client Not Found ACLARIS THERAFEUTICS INC COM NEW S -120,905.40 105.00 10.00 95.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -120,905.40 105.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -52,000.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -52,000.00 10.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -58,590.00 10.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -227,647.80 20.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -49,671.26 23.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -49,671.26 23.00 10.00 10.00 10.00 50.0 10/19/2018 QGV006216 Client Not Found ARVSTAL BISTECH INC COM S -49,671.26 23.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 20.00 10.00 10.00 10.00 10/19/2018 QGV006216 Client Not Found TILRAY INC COM S -76,637.28 104.00 10.00 10.00 90.00 50.0 10/23/2018 QGV00	0 5.00	50.0	10.00	10.00	20.00	-21,417.30	CONAGRA FOODS INC S	0/10/2018 QGV006216 Client Not Found
10/11/2018 GGV006216 Client Not Found LIVENT CORPORATION COMMON STOCK S -475,388.55 200.00 10.00 190.00 50.0 10/11/2018 GGV006216 Client Not Found ALVENT CORPORATION COMMON STOCK S -717,252.90 200.00 10.00 190.00 50.0 10/12/2018 GGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED NS -2,250,000.00 20.00 10.00 10.00 190.00 50.0 10/17/2018 GGV006216 Client Not Found GRAF INDL CORP UNIT 1 COM & 1 RED NS -2,250,000.00 20.00 10.00 10.00 190.00 50.0 10/17/2018 GGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM NEW S -6,630.00 20.00 10.00 10.00 190.00 50.0 10/18/2018 GGV006216 Client Not Found GGRAF INDL CORPORATION S -52,000.00 20.00 10.00 10.00 190.00 50.0 10/18/2018 GGV006216 Client Not Found GGRAF INDL CORPORATION S -52,000.00 10.00 10.00 190.00 50.0 10/18/2018 GGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -52,000.00 100.00 10.00 10.00 190.00 50.0 10/18/2018 GGV006216 Client Not Found ACUARIS THERAPEUTICS INC COM S -52,000.00 100.00 10.00 10.00 190.00 50.0 10/18/2018 GGV006216 Client Not Found ACUARIS THERAPEUTICS INC COM S -52,000.00 100.00 10.00 10.00 10.00 10/18/2018 GGV006216 Client Not Found ACUARIS THERAPEUTICS INC COM S -227,647.80 200.00 10.00 10.00 190.00 50.0 10/19/2018 GGV006216 Client Not Found ACUARIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 GGV006216 Client Not Found ACUARIS TECHNOLOGIES INC COM S -4632.55 20.00 10.00 190.00 50.0 10/19/2018 GGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 10.00 190.00 50.0 10/19/2018 GGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 10.00 190.00 50.0 10/19/2018 GGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -112,674.85 200.00 10.00 10.00 190.00 50.0 10/22/2018 GGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 10.00 190.00 50.0 10/22/2018 GGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 10.00 90.00 50.0 10/22/2018 GGV006216 Client Not Found EIGER BIOPHARMACUATICALS INC COM S -77,684.75 2	0 45.00	50.0	90.00	10.00	100.00	-110,150.00	ALLOGENE THERAPEUTICS INC COM S	0/11/2018 QGV006216 Client Not Found
10/11/2018 QGV006216 Client Not Found	0 45.00	50.0	90.00	10.00	100.00	-271,703.00	AUDENTES THERAPEUTICS INC COM S	0/11/2018 QGV006216 Client Not Found
10/12/2018 QCV006216 Client Not Found GRAF INDL CORM US	0 95.00	50.0	190.00	10.00	200.00	-475,388.55	LIVENT CORPORATION COMMON STOCK S	0/11/2018 QGV006216 Client Not Found
10/16/2018 QGV006216 Client Not Found CPI ARROSTRUCTURES INC COM NEW S -2,250,000.00 20.00 10.00 10.00 190.00 50.0 10/17/2018 QGV006216 Client Not Found CPI ARROSTRUCTURES INC COM NEW S -6,030.00 20.00 10.00 10.00 50.0 10/18/2018 QGV006216 Client Not Found OPGEN INC COM NEW S -120,905.40 105.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found OPGEN INC COM NEW S -41,442.00 200.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -52,000.00 100.00 100.00 90.00 50.0 10/18/2018 QGV006216 Client Not Found VOXELJET AG ADS S -58,590.00 150.00 10.00 10.00 140.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 200.00 10.00 10.00 10.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 10.00 10.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 10.00 90.00 50.0 10/23/2018 QGV006216 Client Not Found TILRAY INC COM S -76,6837.28 104.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -76,6837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -77,684.75 25.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -75,500.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC	0 95.00	50.0	190.00	10.00	200.00	-717,252.90	LIVENT CORPORATION COMMON STOCK S	0/11/2018 QGV006216 Client Not Found
10/17/2018 QGV006216 Client Not Found	0 5.00	50.0	10.00	10.00	20.00	-29,100.00	ANAPLAN INC COM S	0/12/2018 QGV006216 Client Not Found
10/18/2018 QGV006216 Client Not Found ACLARIS THERAPEUTICS INC COM S -120,905.40 105.00 10.00 95.00 50.0 10/18/2018 QGV006216 Client Not Found PAGE INC COM NEW S -41,442.00 200.00 10.00 190.00 50.0 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -52,000.00 100.00 10.00 90.00 50.0 10/18/2018 QGV006216 Client Not Found ACOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 140.00 50.0 10/19/2018 QGV006216 Client Not Found ACOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found ACOUSTIS TECHNOLOGIES INC COM S -132,794.55 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -412,707.9 229.00 10.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -42,840.00 40.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICEIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.0 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -75,500.00 100.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -75,500.00 100.00 10.00 10.	0 95.00	50.0	190.00	10.00	200.00	-2,250,000.00	GRAF INDL CORP UNIT 1 COM & 1 RED W S	0/16/2018 QGV006216 Client Not Found
10/18/2018 QGV006216 Client Not Found OPGEN INC COM NEW S -41,442.00 200.00 10.00 190.00 50.00 10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -52,000.00 100.00 10.00 90.00 50.00 10/18/2018 QGV006216 Client Not Found VOXELJET AG ADS S -58,590.00 150.00 10.00 10.00 140.00 50.00 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 199.00 50.00 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -132,794.55 200.00 10.00 199.00 50.00 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 10.00 190.00 50.00 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 219.00 50.00 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 219.00 50.00 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.00 10/19/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -42,840.00 40.00 10.00 30.00 50.00 10/19/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/23/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/23/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/23/2018 QGV006216 Client Not Found Found FILMAY INC COM S -27,684.75 25.00 10.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found SIRUS XM HOLDINGS INC COM S -75,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found SIRUS XM HOLDINGS INC COM S -75,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD RE	0 5.00	50.0	10.00	10.00	20.00	-6,030.00	CPI AEROSTRUCTURES INC COM NEW S	0/17/2018 QGV006216 Client Not Found
10/18/2018 QGV006216 Client Not Found RITE AID CORPORATION S -52,000.00 100.00 10.00 90.00 50.0 10/18/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -132,794.55 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 10.00 10.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 10.00 30.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 10.00 30.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/19/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -7,684.75 25.00 10.00 15.00 50.0 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found FOUND FROM B 105,000.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 10.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -115,000.00 10.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -115,000.00 10.00 10.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -22,405.50 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0	0 47.50	50.0	95.00	10.00	105.00	-120,905.40	ACLARIS THERAPEUTICS INC COM S	0/18/2018 QGV006216 Client Not Found
10/18/2018 GV006216 Client Not Found	0 95.00	50.0	190.00	10.00	200.00	-41,442.00	OPGEN INC COM NEW S	0/18/2018 QGV006216 Client Not Found
10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -227,647.80 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found AKOUSTIS TECHNOLOGIES INC COM S -132,794.55 200.00 10.00 190.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.0 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 100.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 100.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 Q	0 45.00	50.0	90.00	10.00	100.00	-52,000.00	RITE AID CORPORATION S	0/18/2018 QGV006216 Client Not Found
10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 10.00 10.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 10.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -22,405.50 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -22,405.50 20.00 10.00 10	0 70.00	50.0	140.00	10.00	150.00	-58,590.00	VOXELJET AG ADS S	0/18/2018 QGV006216 Client Not Found
10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -4,632.55 20.00 10.00 10.00 50.0 10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/19/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -22,405.50 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV00	0 95.00	50.0	190.00	10.00	200.00	-227,647.80	AKOUSTIS TECHNOLOGIES INC COM S	0/19/2018 QGV006216 Client Not Found
10/19/2018 QGV006216 Client Not Found INTERNAP CORPORATION COMMON STOCK S -212,170.79 229.00 10.00 219.00 50.0 10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.0 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018	0 95.00	50.0	190.00	10.00	200.00	-132,794.55	AKOUSTIS TECHNOLOGIES INC COM S	0/19/2018 QGV006216 Client Not Found
10/19/2018 QGV006216 Client Not Found KRYSTAL BIOTECH INC COM S -49,671.26 23.00 10.00 13.00 50.0 10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.0 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.0 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.0 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 100.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 100.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -57,500.00 100.00 100.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 100.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 20.00 10.00 10.00 50.0 10/25/2	0 5.00	50.0	10.00	10.00	20.00	-4,632.55	INTERNAP CORPORATION COMMON STOCK S	0/19/2018 QGV006216 Client Not Found
10/19/2018 QGV006216 Client Not Found LOGICBIO THERAPEUTICS INC COM S -42,840.00 40.00 10.00 30.00 50.00 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.00 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.00 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 100.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.00 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -57,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 10.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 10.0	0 109.50	50.0	219.00	10.00	229.00	-212,170.79	INTERNAP CORPORATION COMMON STOCK S	0/19/2018 QGV006216 Client Not Found
10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC B 1,116,751.50 200.00 10.00 190.00 50.00 10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.00 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.00 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 10.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00	0 6.50	50.0	13.00	10.00	23.00	-49,671.26	KRYSTAL BIOTECH INC COM S	0/19/2018 QGV006216 Client Not Found
10/22/2018 QGV006216 Client Not Found ADVANCED MICRO DEVICES INC S -1,126,948.50 200.00 10.00 190.00 50.00 10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.00 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.00 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 100.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 100.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 10.00 50.00 10.0	0 15.00	50.0	30.00	10.00	40.00	-42,840.00	LOGICBIO THERAPEUTICS INC COM S	0/19/2018 QGV006216 Client Not Found
10/23/2018 QGV006216 Client Not Found EIGER BIOPHARMACEUTICALS INC COM S -27,684.75 25.00 10.00 15.00 50.00 10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.00 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 100.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10.00 50.00 10.00 10.00 50.00 10.00	0 95.00	50.0	190.00	10.00	200.00	1,116,751.50	ADVANCED MICRO DEVICES INC B	0/22/2018 QGV006216 Client Not Found
10/23/2018 QGV006216 Client Not Found TILRAY INC COM B 105,000.00 100.00 100.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.00 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 100.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 100.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 100.00 100.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 100.00 100.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10.00 50.00 10.00 50.00 10.00 50.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 10.00 50.00 10.00 10.00 10.00 50.00 10.0	0 95.00	50.0	190.00	10.00	200.00	-1,126,948.50	ADVANCED MICRO DEVICES INC S	0/22/2018 QGV006216 Client Not Found
10/24/2018 QGV006216 Client Not Found PROTEOSTASIS THERAPEUTICS INC COM S -76,837.28 104.00 10.00 94.00 50.00 10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.00 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 10.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10.00	0 7.50	50.0	15.00	10.00	25.00	-27,684.75	EIGER BIOPHARMACEUTICALS INC COM S	0/23/2018 QGV006216 Client Not Found
10/24/2018 QGV006216 Client Not Found SIRIUS XM HOLDINGS INC COM S -57,500.00 100.00 10.00 90.00 50.0 10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 10.00 90.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0	0 45.00	50.0	90.00	10.00	100.00	105,000.00	TILRAY INC COM B	0/23/2018 QGV006216 Client Not Found
10/24/2018 QGV006216 Client Not Found TILRAY INC COM S -115,070.00 100.00 10.00 90.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/25/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00	0 47.00	50.0	94.00	10.00	104.00	-76,837.28	PROTEOSTASIS THERAPEUTICS INC COM S	0/24/2018 QGV006216 Client Not Found
10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -6,100.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.00 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.00 10/27/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 50.00 10.00 10.00 10.00 10.00 10.00 50.00 10.00	0 45.00	50.0	90.00	10.00	100.00	-57,500.00	SIRIUS XM HOLDINGS INC COM S	0/24/2018 QGV006216 Client Not Found
10/25/2018 QGV006216 Client Not Found STONECO LTD REGISTERED SHS -A- ISIN S -4,575.00 20.00 10.00 10.00 50.0 10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 10.00 50.0 10/27/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0	0 45.00	50.0	90.00	10.00	100.00	-115,070.00	TILRAY INC COM S	0/24/2018 QGV006216 Client Not Found
10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 09/27/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0	0 5.00	50.0	10.00	10.00	20.00	-6,100.00	STONECO LTD REGISTERED SHS -A- ISIN S	0/25/2018 QGV006216 Client Not Found
10/25/2018 QGV006216 Client Not Found YETI HLDGS INC COM S -22,405.50 20.00 10.00 10.00 50.0 09/27/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0	0 5.00	50.0	10.00	10.00	20.00	-4,575.00	STONECO LTD REGISTERED SHS -A- ISIN S	0/25/2018 QGV006216 Client Not Found
09/27/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -27,000.00 20.00 10.00 10.00 50.0	0 5.00	50.0	10.00	10.00	20.00	-22,405.50	YETI HLDGS INC COM S	0/25/2018 QGV006216 Client Not Found
		50.0	10.00		20.00			9/27/2018 QGV006224 DAVIS FAMILY OFFICE,
09/27/2018 QGV006224 DAVIS FAMILY OFFICE, BJS WHSL CLUB INC COM S -40,500.00 20.00 10.00 10.00 50.0			10.00	10.00	20.00	-40,500.00		
09/27/2018 QGV006224 DAVIS FAMILY OFFICE, RA MED SYS INC COM S -12,100.00 20.00 10.00 10.00 50.0								

COMMISSION STATEMENT for EZRA GRAYMAN

INVESTMENT

TRADE DATE

CLIENT

BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: STOCKS						
09/28/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	715,499.40	100.00	10.00	90.00	50.0	45.00
09/28/2018 QGV006224 DAVIS FAMILY OFFICE, ALDEYRA THERAPEUTICS INC COM S	-90,986.71	65.50	10.00	55.50	50.0	27.75
09/28/2018 QGV006224 DAVIS FAMILY OFFICE, ALDEYRA THERAPEUTICS INC COM B	66,750.00	50.00	10.00	40.00	50.0	20.00
09/28/2018 QGV006224 DAVIS FAMILY OFFICE, NIGHTSTAR THERAPEUTICS LIMITED SHS S	-7,600.00	20.00	10.00	10.00	50.0	5.00
09/28/2018 QGV006224 DAVIS FAMILY OFFICE, ROSEHILL RES INC CL A	-6,100.70	20.00	10.00	10.00	50.0	5.00
10/01/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	613,000.00	100.00	10.00	90.00	50.0	45.00
10/02/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	615,000.00	100.00	10.00	90.00	50.0	45.00
10/03/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	563,924.00	100.00	10.00	90.00	50.0	45.00
10/03/2018 QGV006224 DAVIS FAMILY OFFICE, GW PHARMACEUTICALS PLC ADS S	-495,000.00	100.00	10.00	90.00	50.0	45.00
0/03/2018 QGV006224 DAVIS FAMILY OFFICE, GW PHARMACEUTICALS PLC ADS	483,988.20	100.00	10.00	90.00	50.0	45.00
10/03/2018 QGV006224 DAVIS FAMILY OFFICE, UPWORK INC COM S	-9,200.00	20.00	10.00	10.00	50.0	5.00
10/04/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	1,137,000.00	200.00	10.00	190.00	50.0	95.00
10/04/2018 QGV006224 DAVIS FAMILY OFFICE, GUARDANT HEALTH INC COM S	-11,793.75	20.00	10.00	10.00	50.0	5.00
10/04/2018 QGV006224 DAVIS FAMILY OFFICE, MONMOUTH REAL ESTATE INVT CORP COM S	-74,600.00	50.00	10.00	40.00	50.0	20.00
10/05/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	1,127,000.00	200.00	10.00	190.00	50.0	95.00
LO/05/2018 QGV006224 DAVIS FAMILY OFFICE, ATRICURE INC COM S	-15,875.00	20.00	10.00	10.00	50.0	5.00
$10/05/2018~{ m QGV}006224~{ m DAVIS}$ FAMILY OFFICE, AZURE POWER GLOBAL LIMITED BEARER S S	-37,500.00	30.00	10.00	20.00	50.0	10.00
10/05/2018 QGV006224 DAVIS FAMILY OFFICE, CHASERG TECHNOLOGY ACQUISITIOIN COR S	-1,004.00	20.00	10.00	10.00	50.0	5.00
$10/05/2018~{ m QGV}006224~{ m DAVIS}$ FAMILY OFFICE, COLLIER CREEK HLDGS UNIT 1 CL A & 1 S	-8,144.00	20.00	10.00	10.00	50.0	5.00
10/05/2018 QGV006224 DAVIS FAMILY OFFICE, ELASTIC B V BEARER AND REGISTERED S S	-3,500.00	20.00	10.00	10.00	50.0	5.00
10/05/2018 QGV006224 DAVIS FAMILY OFFICE, ELASTIC B V BEARER AND REGISTERED S S	-7,000.00	20.00	10.00	10.00	50.0	5.00
LO/09/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	1,093,400.00	200.00	10.00	190.00	50.0	95.00
LO/10/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	809,364.00	100.00	10.00	90.00	50.0	45.00
LO/10/2018 QGV006224 DAVIS FAMILY OFFICE, CONAGRA FOODS INC	-8,031.49	20.00	10.00	10.00	50.0	5.00
LO/10/2018 QGV006224 DAVIS FAMILY OFFICE, CONAGRA FOODS INC	362,498.00	100.00	10.00	90.00	50.0	45.00
LO/10/2018 QGV006224 DAVIS FAMILY OFFICE, CONAGRA FOODS INC	-374,802.75	105.00	10.00	95.00	50.0	47.50
LO/11/2018 QGV006224 DAVIS FAMILY OFFICE, AUDENTES THERAPEUTICS INC COM S	-62,491.69	23.00	10.00	13.00	50.0	6.50
LO/11/2018 QGV006224 DAVIS FAMILY OFFICE, AUDENTES THERAPEUTICS INC COM S	-27,170.30	20.00	10.00	10.00	50.0	5.00
LO/11/2018 QGV006224 DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK S	-717,252.90	200.00	10.00	190.00	50.0	95.00
LO/11/2018 QGV006224 DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK S	-273,556.92	200.00	10.00	190.00	50.0	95.00
LO/11/2018 QGV006224 DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK S	-8,340.15	20.00	10.00	10.00	50.0	5.00
LO/11/2018 QGV006224 DAVIS FAMILY OFFICE, LIVENT CORPORATION COMMON STOCK S	717,252.90	-200.00	-10.00	-190.00	50.0	-95.00
LO/12/2018 QGV006224 DAVIS FAMILY OFFICE, ANAPLAN INC COM S	-7,275.00	20.00	10.00	10.00	50.0	5.00
$10/12/2018$ QGV006224 DAVIS FAMILY OFFICE, DD3 ACQUISITION CORP UNIT 1 COM $\&~1~\mathrm{S}$	-30,060.00	30.00	10.00	20.00	50.0	10.00
$10/12/2018~{ m QGV}006224~{ m DAVIS}$ FAMILY OFFICE, DD3 ACQUISITION CORP UNIT 1 COM $\&~1~{ m S}$	-1,002.00	20.00	10.00	10.00	50.0	5.00
LO/17/2018 QGV006224 DAVIS FAMILY OFFICE, TILRAY INC COM	-150,000.00	100.00	10.00	90.00	50.0	45.00
.0/17/2018 QGV006224 DAVIS FAMILY OFFICE, TILRAY INC COM	145,000.00	100.00	10.00	90.00	50.0	45.00
10/18/2018 QGV006224 DAVIS FAMILY OFFICE, ACLARIS THERAPEUTICS INC COM S	-3,454.44	20.00	10.00	10.00	50.0	5.00
10/18/2018 QGV006224 DAVIS FAMILY OFFICE, VOXELJET AG ADS	-4,394.25	20.00	10.00	10.00	50.0	5.00
LO/19/2018 QGV006224 DAVIS FAMILY OFFICE, AKOUSTIS TECHNOLOGIES INC COM S	-8,431.40	20.00	10.00	10.00	50.0	5.00

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

3

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER T	RANS	ACTIONS					
PRODUCT CAT	regory: stock	cs								
10/19/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, INTERNAP CORPORATION COMMON STOCK	S	-6,485.57	20.00	10.00	10.00	50.0	5.00
			, KRYSTAL BIOTECH INC COM	S	-6,478.86	20.00	10.00	10.00	50.0	5.00
			, ADVANCED MICRO DEVICES INC	S	-1,126,948.50	200.00	10.00	190.00	50.0	95.00
10/22/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, ADVANCED MICRO DEVICES INC	В	1,116,751.50	200.00	10.00	190.00	50.0	95.00
10/23/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, ADVANCED MICRO DEVICES INC	S	-487,636.00	100.00	10.00	90.00	50.0	45.00
10/23/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, ADVANCED MICRO DEVICES INC	В	486,000.00	100.00	10.00	90.00	50.0	45.00
10/23/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, EIGER BIOPHARMACEUTICALS INC COM	S	-48,725.16	100.00	10.00	90.00	50.0	45.00
10/23/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, EIGER BIOPHARMACEUTICALS INC COM	В	40,538.94	39.00	10.00	29.00	50.0	14.50
10/24/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, PROTEOSTASIS THERAPEUTICS INC COM	В	3,262.50	20.00	10.00	10.00	50.0	5.00
10/24/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, PROTEOSTASIS THERAPEUTICS INC COM	S	-5,430.33	20.00	10.00	10.00	50.0	5.00
10/24/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, TILRAY INC COM	S	-115,070.00	100.00	10.00	90.00	50.0	45.00
10/24/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, TILRAY INC COM	S	115,070.00	-100.00	-10.00	-90.00	50.0	-45.00 C
10/25/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, STONECO LTD REGISTERED SHS -A- IS	IN S	-1,525.00	20.00	10.00	10.00	50.0	5.00
10/25/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, STONECO LTD REGISTERED SHS -A- IS	IN S	-3,050.00	20.00	10.00	10.00	50.0	5.00
10/25/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, YETI HLDGS INC COM	S	-141,327.00	82.00	10.00	72.00	50.0	36.00
10/25/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, YETI HLDGS INC COM	В	104,136.44	62.00	10.00	52.00	50.0	26.00
10/25/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, YETI HLDGS INC COM	S	-8,617.50	20.00	10.00	10.00	50.0	5.00
10/26/2018 QC	GV006224 DAVIS	FAMILY OFFICE	, GAMIDA CELL LTD REGISTERED SHS IS	IN S	-8,100.00	20.00	10.00	10.00	50.0	5.00
10/24/2018 QC	GW012205 AZRAK	, RUBEN	AURORA CANNABIS INC COM ISIN#CA05	15 B	21,759.90	180.00	10.00	170.00	50.0	85.00
10/24/2018 QC	GW012205 AZRAK	, RUBEN	CRONOS GROUP INC COM ISIN#CA22717	L1 B	23,129.10	180.00	10.00	170.00	50.0	85.00
10/23/2018 QC	GW014847 ALBAN	ESE, JOHN	HAIN CELESTIAL GROUP INC	S	-181,103.25	450.00	10.00	440.00	50.0	220.00
10/26/2018 QC	GW014847 ALBAN	ESE, JOHN	HAIN CELESTIAL GROUP INC	S	-119,316.00	300.00	10.00	290.00	50.0	145.00
10/01/2018 QC	GW015075 RARE	COIN GALLERIES	, NEWELL BRANDS INC SHS	В	516,487.50	1,500.00	10.00	1,490.00	50.0	745.00
10/05/2018 QC	GW036352 LAWRE	NCE ADAMS LTD	LARGO RES LTD COM NEW ISIN#CA5171	03 B	5,900.00	100.00	10.00	90.00	50.0	45.00
10/08/2018 QC	GW036352 LAWRE	NCE ADAMS LTD	BANK OF AMERICA CORP	S	-90,159.60	125.00	10.00	115.00	50.0	57.50
10/08/2018 QC	GW036352 LAWRE	NCE ADAMS LTD	LARGO RES LTD COM NEW ISIN#CA5171	03 B	40,990.00	375.00	10.00	365.00	50.0	182.50
10/16/2018 QC	GW036352 LAWRE	NCE ADAMS LTD	BANK OF AMERICA CORP	S	-420,007.50	600.00	10.00	590.00	50.0	295.00
10/24/2018 QC	GW036352 LAWRE	NCE ADAMS LTD	LARGO RES LTD COM NEW ISIN#CA5171	03 B	31,832.00	300.00	10.00	290.00	50.0	145.00
			* STOCKS SUBTOTA	L *	\$1,583,635.93	\$13,257.81	\$1,330.00	\$11,927.81		\$5,963.91
PRODUCT CAT	regory: Bonds	3								
10/11/2018 00	GV001076 CREDI	- T SUISSE	BANK NEW YORK MELLON CORP DEP SHS	R S	-2,017,500.00	1,500.00	10.00	1,490.00	50.0	745.00
	GV001076 CREDI		BANK NEW YORK MELLON CORP DEP SHS		2,017,500.00	-1,500.00	-10.00	-1,490.00	50.0	-745.00 C
	GV001076 CREDI		BANK NEW YORK MELLON CORP DEP SHS		-2,017,500.00	1,500.00	10.00	1,490.00	50.0	745.00
	GV001076 CREDI		CITIGROUP INC DEP SHS 1/25TH PFD		-3,045,000.00	3,750.00	10.00	3,740.00	50.0	1,870.00
	GV001076 CREDI		CITIGROUP INC DEP SHS 1/25TH PFD		-2,028,750.00	3,750.00	10.00	3,740.00	50.0	1,870.00
			* BONDS SUBTOTA	ն *	\$-7,091,250.00	\$9,000.00	\$30.00	\$8,970.00		\$4,485.00

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11/13/2018		COMMISSION STATEMENT	for EZRA GRAYMAN	<u>[</u>				rage No. 5
TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUN	D 12B1 TRAILS						
10/31/2018		FEE COUNT - 8	0.00	61.47	0.00	61.47	50.0	30.75
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$61.47	\$0.00	\$61.47		\$30.75
		*** BROKER TRANSACTIONS TOTAL ***	\$-5,507,614.07	\$22,319.28	\$1,360.00	\$20,959.28		\$10,479.66
		ADJUSTM	ENTS					
10/31/2018		COMMISSION INCOME: ALDX	0.00	0.00	0.00	0.00		122.51
10/31/2018		OTHER: 360 OFFICE	0.00	0.00	0.00	0.00		-8.00
10/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00
10/31/2018		OTHER: CORE TECH	0.00	0.00	0.00	0.00		-119.80
10/31/2018		OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00		-108.77
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-134.06
					BROKER COMMISS			TOTALS
					Broke	r Transactions		\$10,479.66
					Spli	t Transactions		\$0.00
					Overrid	e Transactions		\$0.00
						Adjustments		\$-134.06
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					FI	NRA Assessment		\$-27.90
					S	IPC Assessment		\$-55.80
						Check Amount		\$10,261.90
					Year-to	-date Earnings		\$109,446.33