

COMMISSION STATEMENT

October 31, 2018

NATHAN STRAESSLE-D343

25 West High Street

BROKER #: D343

BRANCH: DMN

Lawrenceburg, IN 47025

COMMISSION STATEMENT for NATHAN STRAESSLE-D343

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/15/2018	01469892465	FAIRFIELD, SANDRA MF	FIDELITY ADVISOR STRATEGIC	B	175.00	3.50	0.00	3.50	75.0	2.63
10/15/2018	01469892465	FAIRFIELD, SANDRA MF	FIDELITY ADV NEW INSIGHTS-CL T	B	175.00	2.19	0.00	2.19	75.0	1.64
10/12/2018	03900236194	JONES, JERRY MF	FA CHINA REGON FUND - CLASS A	B	18,563.07	371.26	0.00	371.26	75.0	278.45
10/12/2018	03900236194	JONES, JERRY MF	FIDELITY ADVISOR FUNDS	B	59,401.83	1,188.04	0.00	1,188.04	75.0	891.03
10/12/2018	03900236194	JONES, JERRY MF	FIDELITY ADVISOR HIGH INCOME FD	B	40,838.75	816.78	0.00	816.78	75.0	612.59
10/15/2018	04001160284	THORPEJILL MF	AMERICAN FUNDS 2055 TARGET	B	200.00	10.00	0.00	10.00	75.0	7.50
* MUTUAL FUNDS SUBTOTAL *					\$119,353.65	\$2,391.77	\$0.00	\$2,391.77		\$1,793.84
*** DIRECT TRANSACTIONS TOTAL ***					\$119,353.65	\$2,391.77	\$0.00	\$2,391.77		\$1,793.84

ADJUSTMENTS TRANSACTIONS

BROKER: #0

10/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
10/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-155.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-155.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,793.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,638.84
Year-to-date Commissions	\$10,448.85