

COMMISSION STATEMENT
September 15, 2018

MARK ARCHAMBO-D167

BROKER #: D167

BRANCH: D01

, TX

COMMISSION STATEMENT for MARK ARCHAMBO-D167

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADVISOR FUNDS	B	25.50	0.26	0.00	0.26 90.0 0.23
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADVISOR FUNDS	B	85.00	0.85	0.00	0.85 90.0 0.77
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV LARGE CAP CL C	B	25.50	0.26	0.00	0.26 90.0 0.23
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV LARGE CAP CL C	B	85.00	0.85	0.00	0.85 90.0 0.77
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC INCOME	B	51.00	0.51	0.00	0.51 90.0 0.46
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC INCOME	B	170.00	1.70	0.00	1.70 90.0 1.53
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC DIV &	B	25.50	0.26	0.00	0.26 90.0 0.23
09/06/2018	00523766483	THOMAS, SUSAN	MF	FIDELITY ADV STRATEGIC DIV &	B	85.00	0.85	0.00	0.85 90.0 0.77
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	16.25	0.16	0.00	0.16 90.0 0.14
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	83.30	0.83	0.00	0.83 90.0 0.75
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADV STRATEGIC INCOME	B	40.63	0.41	0.00	0.41 90.0 0.37
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADV STRATEGIC INCOME	B	208.25	2.08	0.00	2.08 90.0 1.87
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	24.38	0.24	0.00	0.24 90.0 0.22
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	124.95	1.25	0.00	1.25 90.0 1.13
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	56.88	0.57	0.00	0.57 90.0 0.51
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR FUNDS	B	291.55	2.92	0.00	2.92 90.0 2.63
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR GOVT INCOME FD	B	24.36	0.24	0.00	0.24 90.0 0.22
09/06/2018	00523766814	THOMAS, JEFFREY	MF	FIDELITY ADVISOR GOVT INCOME FD	B	124.95	1.25	0.00	1.25 90.0 1.13
				* MUTUAL FUNDS SUBTOTAL *		\$1,548.00	\$15.49	\$0.00	\$15.49 \$13.96

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/04/2018	00059234370	LOMAX, VICKI	MFT	AMERICAN BALANCED FUND-A	B	0.00	14.44	0.00	14.44 90.0 13.00
09/04/2018	00059234370	LOMAX, VICKI	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	10.07	0.00	10.07 90.0 9.06
09/04/2018	00059234370	LOMAX, VICKI	MFT	NEW PERSPECTIVE FUND-A	B	0.00	14.43	0.00	14.43 90.0 12.99
09/04/2018	00059234370	LOMAX, VICKI	MFT	THE BOND FUND OF AMERICA-A	B	0.00	5.84	0.00	5.84 90.0 5.26
09/04/2018	00059234370	LOMAX, VICKI	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	18.40	0.00	18.40 90.0 16.56
09/04/2018	00067644058	TATE/AUTH PER,	MFT	AMERICAN BALANCED FUND-C	B	0.00	29.53	0.00	29.53 90.0 26.58
09/04/2018	00067644058	TATE/AUTH PER,	MFT	AMERICAN BALANCED FUND-F1	B	0.00	17.98	0.00	17.98 90.0 16.18
09/04/2018	00082603862	THOMAS, JEFFREY	MFT	AMERICAN BALANCED FUND-F1	B	0.00	1.89	0.00	1.89 90.0 1.70
09/04/2018	00082603862	THOMAS, JEFFREY	MFT	CAPITAL WORLD GROWTH AND	B	0.00	1.58	0.00	1.58 90.0 1.42
09/04/2018	00082603862	THOMAS, JEFFREY	MFT	EUROPACIFIC GROWTH FUND CLASS F B		0.00	2.24	0.00	2.24 90.0 2.02

COMMISSION STATEMENT for MARK ARCHAMBO-D167

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/04/2018 00082603862	THOMAS, JEFFREY	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.34	0.00	4.34	90.0	3.91
09/04/2018 00082603862	THOMAS, JEFFREY	MFT THE BOND FUND OF AMERICA-F1	B	0.00	4.91	0.00	4.91	90.0	4.42
09/04/2018 00084561000	TATE, BARRY	MFT AMERICAN BALANCED FUND-C	B	0.00	32.01	0.00	32.01	90.0	28.81
09/10/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	56.13	0.00	56.13	90.0	50.52
09/10/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	67.36	0.00	67.36	90.0	60.62
09/10/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	83.23	0.00	83.23	90.0	74.91
09/10/2018 00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	53.60	0.00	53.60	90.0	48.24
09/10/2018 00523766483	THOMAS, SUSAN	MFT TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24	90.0	0.22
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	30.35	0.00	30.35	90.0	27.32
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	33.28	0.00	33.28	90.0	29.95
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	47.75	0.00	47.75	90.0	42.98
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV INFLATION PROTECT	B	0.00	19.96	0.00	19.96	90.0	17.96
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	126.94	0.00	126.94	90.0	114.25
09/10/2018 00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	18.00	0.00	18.00	90.0	16.20
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.33	0.00	1.33	90.0	1.20
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	2.06	0.00	2.06	90.0	1.85
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.74	0.00	2.74	90.0	2.47
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.83	0.00	3.83	90.0	3.45
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INFLATION PROTECT	B	0.00	0.40	0.00	0.40	90.0	0.36
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	7.29	0.00	7.29	90.0	6.56
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.35	0.00	0.35	90.0	0.32
09/10/2018 00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.56	0.00	0.56	90.0	0.50
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.70	0.00	18.70	90.0	16.83
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	21.16	0.00	21.16	90.0	19.04
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	30.98	0.00	30.98	90.0	27.88
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	15.27	0.00	15.27	90.0	13.74
09/10/2018 00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.12	0.00	0.12	90.0	0.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$799.29	\$0.00	\$799.29		\$719.39
*** DIRECT TRANSACTIONS TOTAL ***				\$1,548.00	\$814.78	\$0.00	\$814.78		\$733.35

BROKER COMMISSION TOTALS

Broker Transactions	\$733.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00