

COMMISSION STATEMENT
September 30, 2018
DREW NIXON-D350
 1509 West Panola

BROKER #: D350
 BRANCH: DMV

Carthage, TX 75633

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	1.98	0.00	1.98	90.0	1.78
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	9.55	0.00	9.55	90.0	8.60
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	4.16	0.00	4.16	90.0	3.74
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	4.16	0.00	4.16	90.0	3.74
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.81	0.00	7.81	90.0	7.03
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	9.72	0.00	9.72	90.0	8.75
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	9.86	0.00	9.86	90.0	8.87
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.29	0.00	0.29	90.0	0.26
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	11.04	0.00	11.04	90.0	9.94
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	0.63	0.00	0.63	90.0	0.57
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	1.00	0.00	1.00	90.0	0.90
09/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	0.84	0.00	0.84	90.0	0.76
09/21/2018	mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.24	0.00	0.24	90.0	0.22
09/21/2018	mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.51	0.00	0.51	90.0	0.46
09/21/2018	mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	1.05	0.00	1.05	90.0	0.95
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	1.86	0.00	1.86	90.0	1.67
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	8.97	0.00	8.97	90.0	8.07
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	3.90	0.00	3.90	90.0	3.51
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	3.90	0.00	3.90	90.0	3.51
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.33	0.00	7.33	90.0	6.60
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	9.13	0.00	9.13	90.0	8.22
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	9.26	0.00	9.26	90.0	8.33
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.28	0.00	0.28	90.0	0.25
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	10.38	0.00	10.38	90.0	9.34
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	0.60	0.00	0.60	90.0	0.54
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	0.88	0.00	0.88	90.0	0.79
09/24/2018	mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	0.79	0.00	0.79	90.0	0.71
09/24/2018	mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.23	0.00	0.23	90.0	0.21
09/24/2018	mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.49	0.00	0.49	90.0	0.44
09/24/2018	mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	0.98	0.00	0.98	90.0	0.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$121.82	\$0.00	\$121.82		\$109.64

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$121.82	\$0.00	\$121.82		\$109.64

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/17/2018	0000000002350888	BAGLECASE	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	1,250.00	37.50	0.00	37.50	90.0	33.75
09/17/2018	0000000002350888	BAGLECASE	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	375.00	11.25	0.00	11.25	90.0	10.13
09/17/2018	0000000002350938	SHARPBRANP	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	9.00	0.00	9.00	90.0	8.10
09/17/2018	0000000002350938	SHARPBRANP	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	30.00	0.00	30.00	90.0	27.00
09/17/2018	0000000002356588	BAGLERICK	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	22.50	0.00	22.50	90.0	20.25
09/17/2018	0000000002356588	BAGLERICK	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	6.75	0.00	6.75	90.0	6.08
09/17/2018	0000000005944703	BAGLEBEAT	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	0.90	0.00	0.90	90.0	0.81
09/17/2018	0000000005944703	BAGLEBEAT	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	12.00	0.27	0.00	0.27	90.0	0.24
09/17/2018	0000000006153163	GARCIJUAN	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	663.50	33.18	0.00	33.18	90.0	29.86
09/17/2018	0000000006153163	GARCIJUAN	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	199.05	9.95	0.00	9.95	90.0	8.96
* MUTUAL FUNDS SUBTOTAL *						\$5,139.55	\$161.30	\$0.00	\$161.30		\$145.18

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018	(None)	NIXON, VARIOUS	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	88.87	0.00	88.87	90.0	79.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$88.87	\$0.00	\$88.87		\$79.98

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/12/2018	(None)	HONEYCUTT, OTIS	VAT	AMERICAN GENERAL	B	0.00	0.59	0.00	0.59	90.0	0.53
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$0.59	\$0.00	\$0.59		\$0.53
*** DIRECT TRANSACTIONS TOTAL ***						\$5,139.55	\$250.76	\$0.00	\$250.76		\$225.69

OVERRIDE TRANSACTIONSBROKER: JIM HUGHES-D349 #138

08/28/2018	(None)	HUGHES, VARIOUS	MF	MAINSTAY	B	0.00	34.26	0.00	34.26		3.43
09/10/2018	0000000002367136	JONES, JESS	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50		0.15
09/10/2018	0000000002367163	JONES, JOHN	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	450.00	4.50	0.00	4.50		0.45
09/10/2018	0000000002367163	JONES, JOHN	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	-450.00	-4.50	0.00	-4.50		-0.45
09/10/2018	(None)	LOVELADY, JERRY	VA	TALCOTT RESOLUTION	B	604.72	42.33	0.00	42.33		4.23
09/10/2018	(None)	ESTER, NORMAN	VA	TALCOTT RESOLUTION	B	236.22	16.54	0.00	16.54		1.65
09/21/2018	(None)	ADVANTAGE	VAT	MASS MUTUAL	B	0.00	27.98	0.00	27.98		2.80
09/17/2018	VM14004968	WOMACK, JOHN	VAT	PACIFIC LIFE VA 69448B191	B	0.00	42.00	0.00	42.00		4.20
09/17/2018	VM14004972	EVERETT, PAMELA	VAT	PACIFIC LIFE VA 69448B191	B	0.00	26.89	0.00	26.89		2.69
09/17/2018	VM14004976	FISCHER, SHANE	VAT	PACIFIC LIFE VA 69448B191	B	0.00	16.98	0.00	16.98		1.70
09/17/2018	VM14004980	FORE, WANDA	VAT	PACIFIC LIFE VA 69448B191	B	0.00	21.52	0.00	21.52		2.15
09/17/2018	VM14004984	GUILLORY, CHASITY	VAT	PACIFIC LIFE VA 69448B191	B	0.00	14.39	0.00	14.39		1.44
09/17/2018	VM14004988	SHANE, DARLA	VAT	PACIFIC LIFE VA 69448B191	B	0.00	12.97	0.00	12.97		1.30
09/17/2018	VM14005893	WADE, RENDA	VAT	PACIFIC LIFE VA 69448B191	B	0.00	18.90	0.00	18.90		1.89

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09/10/2018	VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.91	0.00	10.91	1.09
09/20/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.48	0.00	3.48	0.35
09/20/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.22	0.00	3.22	0.32
09/20/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	9.03	0.00	9.03	0.90
09/20/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.95	0.00	2.95	0.30
09/20/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.80	0.00	1.80	0.18
09/20/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.42	0.00	2.42	0.24
09/20/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.60	0.00	9.60	0.96
09/10/2018	VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92	1.49
09/10/2018	VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.72	0.00	9.72	0.97
09/10/2018	VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	21.95	0.00	21.95	2.20
09/10/2018	VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	1.37
* JIM HUGHES-D349 SUBTOTAL *					\$990.94	\$379.99	\$0.00	\$379.99	\$38.00
*** OVERRIDE TRANSACTIONS TOTAL ***					\$990.94	\$379.99	\$0.00	\$379.99	\$38.00

LINKED CLEARING TRANSACTIONS

09/06/2018	368300127	NIXON, DREW	S	ARK ETF TR ARK WEB X.O ETF	S	-28,246.63	15.00	15.00	0.00	0.00
09/06/2018	368300127	NIXON, DREW	S	ARK ETF TR ARK WEB X.O ETF	S	28,246.63	-15.00	-15.00	0.00	0.00
09/06/2018	368300127	NIXON, DREW	S	ARK ETF TR ARK WEB X.O ETF	S	-28,261.63	15.00	15.00	0.00	0.00
09/06/2018	368300127	NIXON, DREW	S	BITCOIN INVESTMENT TRUST SHS	S	-17,081.37	15.00	15.00	0.00	0.00
09/06/2018	368300127	NIXON, DREW	S	BITCOIN INVESTMENT TRUST SHS	S	17,081.37	-15.00	-15.00	0.00	0.00
09/06/2018	368300127	NIXON, DREW	S	BITCOIN INVESTMENT TRUST SHS	S	-17,096.37	15.00	15.00	0.00	0.00
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	5.06	0.00	5.06	4.55
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.67	0.00	8.67	7.80
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	10.82	0.00	10.82	9.74
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	11.85	0.00	11.85	10.67
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	19.02	0.00	19.02	17.12
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	5.11	0.00	5.11	4.60
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	5.54	0.00	5.54	4.99
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	10.76	0.00	10.76	9.68
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	12.77	0.00	12.77	11.49
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	17.03	0.00	17.03	15.33
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	2.21	0.00	2.21	1.99
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	4.30	0.00	4.30	3.87
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	4.78	0.00	4.78	4.30
09/21/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	5.34	0.00	5.34	4.81
09/24/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	4.85	0.00	4.85	4.37
09/24/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	8.30	0.00	8.30	7.47
09/24/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	10.36	0.00	10.36	9.32
09/24/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	11.35	0.00	11.35	10.22
09/24/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	18.21	0.00	18.21	16.39

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	4.86	0.00	4.86		4.37
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.27	0.00	5.27		4.74
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.23	0.00	10.23		9.21
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	12.15	0.00	12.15		10.94
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	16.20	0.00	16.20		14.58
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	2.08	0.00	2.08		1.87
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.04	0.00	4.04		3.64
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.49	0.00	4.49		4.04
09/24/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	5.02	0.00	5.02		4.52
* DREW NIXON-D352 SUBTOTAL *				<u>\$-45,358.00</u>	<u>\$270.67</u>	<u>\$30.00</u>	<u>\$240.67</u>		<u>\$216.62</u>
*** LINKED CLEARING TRANSACTIONS TOTAL ***				<u>\$-45,358.00</u>	<u>\$270.67</u>	<u>\$30.00</u>	<u>\$240.67</u>		<u>\$216.62</u>

LINKED DIRECT TRANSACTIONSBROKER: DREW NIXON-D352 #137

09/17/2018 (None)	CLEMENTS, CHARLES	VAT AMERICAN GENERAL	B	0.00	54.00	0.00	54.00		48.60
* DREW NIXON-D352 SUBTOTAL *				<u>\$0.00</u>	<u>\$54.00</u>	<u>\$0.00</u>	<u>\$54.00</u>		<u>\$48.60</u>
*** LINKED DIRECT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$54.00</u>	<u>\$0.00</u>	<u>\$54.00</u>		<u>\$48.60</u>

ADJUSTMENTS TRANSACTIONSBROKER: #0

09/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
09/30/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
09/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
09/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		108.45
09/30/2018	:	SHAREFILE		0.00	0.00	0.00	0.00		-13.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-89.55</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-89.55</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$600.55
Split Transactions	\$0.00
Override Transactions	\$38.00
Adjustments	\$-89.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$549.00
Year-to-date Commissions	\$72,858.21