



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------------------|-------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | AMCAP FUND-A | 6.41 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @35.6600 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | AMCAP FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 2 Units @35.6600 | | | | | | |
| 09/14/2018 | ***-**-4540 - NELSON, RORY | AMCAP FUND-A | 6.24 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @35.6600 | | | | | | |
| 09/14/2018 | ***-**-4540 - NELSON, RORY | AMCAP FUND-A | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00073748857 | BUY 1 Units @35.6600 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | AMERICAN BALANCED FUND-A | 6.41 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @28.5400 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | AMERICAN BALANCED FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 2 Units @28.5400 | | | | | | |
| 09/12/2018 | ***-**-1060 - DUNLAP, DONNA | AMERICAN FUNDS 2020 TARGET DATE-A | 43.72 | 1.64 | 0.00 | 1.64 | 75.0 | 1.23 |
| | ACCOUNT #: 00085629087 | BUY 3 Units @13.3400 | | | | | | |
| 09/12/2018 | ***-**-1060 - DUNLAP, DONNA | AMERICAN FUNDS 2020 TARGET DATE-A | 50.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: 00085629087 | BUY 4 Units @13.3400 | | | | | | |
| 09/14/2018 | ***-**-5124 - DEE, ALAN | AMERICAN FUNDS 2020 TARGET DATE-A | 11.25 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| | ACCOUNT #: 00086069280 | BUY 1 Units @13.1000 | | | | | | |
| 09/14/2018 | ***-**-5124 - DEE, ALAN | AMERICAN FUNDS 2020 TARGET DATE-A | 25.00 | 0.50 | 0.00 | 0.50 | 75.0 | 0.38 |
| | ACCOUNT #: 00086069280 | BUY 2 Units @13.1000 | | | | | | |
| 09/12/2018 | ***-**-8758 - RIOLO, LAWRENCE | AMERICAN FUNDS 2035 TARGET DATE-A | 49.96 | 1.87 | 0.00 | 1.87 | 75.0 | 1.40 |
| | ACCOUNT #: 04000070058 | BUY 3 Units @16.0800 | | | | | | |
| 09/12/2018 | ***-**-8758 - RIOLO, LAWRENCE | AMERICAN FUNDS 2035 TARGET DATE-A | 49.85 | 1.87 | 0.00 | 1.87 | 75.0 | 1.40 |
| | ACCOUNT #: 04000070058 | BUY 3 Units @16.0800 | | | | | | |
| 09/14/2018 | ***-**-3291 - REILLY, BETHANY | AMERICAN FUNDS 2045 TARGET DATE-A | 200.00 | 3.20 | 0.00 | 3.20 | 75.0 | 2.40 |
| | ACCOUNT #: 04000139353 | BUY 12 Units @16.4700 | | | | | | |
| 09/14/2018 | ***-**-3291 - REILLY, BETHANY | AMERICAN FUNDS 2045 TARGET DATE-A | 88.55 | 1.42 | 0.00 | 1.42 | 75.0 | 1.07 |
| | ACCOUNT #: 04000139353 | BUY 5 Units @16.4700 | | | | | | |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY | CAPITAL INCOME BUILDER CLASS C | 1.63 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @60.1000 | | | | | | |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY | CAPITAL INCOME BUILDER CLASS C | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @60.1000 | | | | | | |



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|---------------------------------------------------|---------------------------------------------------------|-------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @60.1000 | 3.70 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @60.1000 | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @60.1000 | 3.24 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL INCOME BUILDER CLASS C BUY 1 Units @60.1000 | 35.77 | 0.36 | 0.00 | 0.36 | 75.0 | 0.27 |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | CAPITAL INCOME BUILDER-A BUY 0 Units @61.2000 | 24.00 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | CAPITAL INCOME BUILDER-A BUY 4 Units @61.2000 | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | CAPITAL INCOME BUILDER-A BUY 4 Units @61.2000 | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | CAPITAL INCOME BUILDER-A BUY 0 Units @61.2000 | 12.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | CAPITAL WORLD BOND FUND-A BUY 1 Units @19.7000 | 24.00 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | CAPITAL WORLD BOND FUND-A BUY 12 Units @19.7000 | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD BOND FUND-C BUY 0 Units @18.9500 | 1.62 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD BOND FUND-C BUY 1 Units @18.9500 | 17.88 | 0.18 | 0.00 | 0.18 | 75.0 | 0.14 |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.3900 | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.3900 | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7800 | 7.40 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.7800 | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |



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|---------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7800 | 6.49 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| 09/14/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.7800 | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.9700 | 1.63 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.9700 | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.9700 | 3.70 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.9700 | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.9700 | 3.24 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.9700 | 35.75 | 0.36 | 0.00 | 0.36 | 75.0 | 0.27 |
| 09/14/2018 | ***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857 | FUNDAMENTAL INVESTORS-A BUY 0 Units @66.3300 | 6.23 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| 09/14/2018 | ***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857 | FUNDAMENTAL INVESTORS-A BUY 0 Units @66.3300 | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | FUNDAMENTAL INVESTORS-C BUY 0 Units @64.3500 | 2.97 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | FUNDAMENTAL INVESTORS-C BUY 1 Units @64.3500 | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.8500 | 2.97 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | INCOME FUND OF AMERICA CLASS C BUY 1 Units @22.8500 | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| 09/10/2018 | ***-**-2410 - ALLEN, GERTRUDE ACCOUNT #: LSR012146 | INTERMEDIATE BOND FUND OF AMERICA-A SELL 292 Units @13.1100 | 3,824.76 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | INTERMEDIATE BOND FUND OF AMERICA-A BUY 18 Units @13.3500 | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |



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|---------------------------------------------------|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | INTERMEDIATE BOND FUND OF AMERICA-A | 12.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00063488674 | BUY 1 Units @13.3500 | | | | | | |
| 09/14/2018 | ***-**-4540 - NELSON, RORY | NEW PERSPECTIVE FUND-A | 6.24 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @47.6300 | | | | | | |
| 09/14/2018 | ***-**-4540 - NELSON, RORY | NEW PERSPECTIVE FUND-A | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @47.6300 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | NEW WORLD FUND-A | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @65.2700 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | NEW WORLD FUND-A | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| | ACCOUNT #: 00085629076 | BUY 1 Units @65.2700 | | | | | | |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD | NEW WORLD FUND-A | 24.00 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @64.5200 | | | | | | |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD | NEW WORLD FUND-A | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| | ACCOUNT #: 00063488673 | BUY 4 Units @64.5200 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | NEW WORLD FUND-A | 6.41 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @64.8500 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | NEW WORLD FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 1 Units @64.8500 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | NEW WORLD FUND-C | 2.97 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @60.7900 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | NEW WORLD FUND-C | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| | ACCOUNT #: 00083468583 | BUY 1 Units @60.7900 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | SMALLCAP WORLD FUND-A | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @62.6800 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | SMALLCAP WORLD FUND-A | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| | ACCOUNT #: 00085629076 | BUY 1 Units @62.6800 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | SMALLCAP WORLD FUND-A | 6.41 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @61.7500 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | SMALLCAP WORLD FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 1 Units @61.7500 | | | | | | |
| 09/14/2018 | ***-**-4540 - NELSON, RORY | SMALLCAP WORLD FUND-A | 6.24 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @61.7500 | | | | | | |



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|---------------------------------------------------|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/14/2018 | ***-**-4540 - NELSON, RORY | SMALLCAP WORLD FUND-A | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @61.7500 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | SMALLCAP WORLD FUND-C | 2.97 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @52.6700 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | SMALLCAP WORLD FUND-C | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| | ACCOUNT #: 00083468583 | BUY 1 Units @52.6700 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | THE BOND FUND OF AMERICA-A | 20.40 | 0.61 | 0.00 | 0.61 | 75.0 | 0.46 |
| | ACCOUNT #: 00085629076 | BUY 2 Units @13.0000 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | THE BOND FUND OF AMERICA-A | 53.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |
| | ACCOUNT #: 00085629076 | BUY 4 Units @13.0000 | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | THE BOND FUND OF AMERICA-A | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| | ACCOUNT #: 00063488674 | BUY 19 Units @12.7600 | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | THE BOND FUND OF AMERICA-A | 12.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00063488674 | BUY 1 Units @12.7600 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | THE BOND FUND OF AMERICA-A | 7.40 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 00073744335 | BUY 1 Units @12.8200 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | THE BOND FUND OF AMERICA-A | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744335 | BUY 2 Units @12.8200 | | | | | | |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY | THE BOND FUND OF AMERICA-C | 1.64 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @12.5000 | | | | | | |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY | THE BOND FUND OF AMERICA-C | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 1 Units @12.5000 | | | | | | |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, | THE BOND FUND OF AMERICA-C | 3.71 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @12.5000 | | | | | | |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, | THE BOND FUND OF AMERICA-C | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00083468551 | BUY 1 Units @12.5000 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | THE BOND FUND OF AMERICA-C | 2.43 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @12.5000 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | THE BOND FUND OF AMERICA-C | 26.83 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| | ACCOUNT #: 00083468583 | BUY 2 Units @12.5000 | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | THE GROWTH FUND OF AMERICA-A | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @58.3100 | | | | | | |



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|---------------------------------------------------|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/12/2018 | ***-**-7287 - VINCI, DAVID | THE GROWTH FUND OF AMERICA-A | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| | ACCOUNT #: 00085629076 | BUY 1 Units @58.3100 | | | | | | |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD | THE GROWTH FUND OF AMERICA-A | 24.00 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @57.1900 | | | | | | |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD | THE GROWTH FUND OF AMERICA-A | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| | ACCOUNT #: 00063488673 | BUY 4 Units @57.1900 | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | THE GROWTH FUND OF AMERICA-A | 12.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @57.1900 | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | THE GROWTH FUND OF AMERICA-A | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| | ACCOUNT #: 00063488674 | BUY 4 Units @57.1900 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | THE GROWTH FUND OF AMERICA-A | 7.40 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @57.4900 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | THE GROWTH FUND OF AMERICA-A | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744335 | BUY 1 Units @57.4900 | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, | THE GROWTH FUND OF AMERICA-A | 6.49 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @57.4900 | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, | THE GROWTH FUND OF AMERICA-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744742 | BUY 1 Units @57.4900 | | | | | | |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY | THE GROWTH FUND OF AMERICA-C | 1.63 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @51.7000 | | | | | | |
| 09/14/2018 | ***-**-2354 - PENDOLF, NANCY | THE GROWTH FUND OF AMERICA-C | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @51.7000 | | | | | | |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, | THE GROWTH FUND OF AMERICA-C | 3.70 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @51.7000 | | | | | | |
| 09/14/2018 | ***-**-2542 - OGIYEVICH, | THE GROWTH FUND OF AMERICA-C | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @51.7000 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | THE GROWTH FUND OF AMERICA-C | 2.43 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @51.7000 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | THE GROWTH FUND OF AMERICA-C | 26.83 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| | ACCOUNT #: 00083468583 | BUY 1 Units @51.7000 | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | THE INCOME FUND OF AMERICA-A | 7.38 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @23.7200 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------------------|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 09/14/2018 | ***-**-381I - ZARNOCK, MARTIN | THE INCOME FUND OF AMERICA-A | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744335 | BUY 1 Units @23.7200 | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, | THE INCOME FUND OF AMERICA-A | 6.49 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @23.7200 | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, | THE INCOME FUND OF AMERICA-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744742 | BUY 3 Units @23.7200 | | | | | | |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD | U.S. GOVERNMENT SECURITIES FUND CLA | 24.00 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00063488673 | BUY 2 Units @13.5400 | | | | | | |
| 09/14/2018 | ***-**-7671 - REILLY, RICHARD | U.S. GOVERNMENT SECURITIES FUND CLA | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| | ACCOUNT #: 00063488673 | BUY 18 Units @13.5400 | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | U.S. GOVERNMENT SECURITIES FUND CLA | 240.00 | 3.84 | 0.00 | 3.84 | 75.0 | 2.88 |
| | ACCOUNT #: 00063488674 | BUY 18 Units @13.5400 | | | | | | |
| 09/14/2018 | ***-**-8307 - REILLY, KATHY | U.S. GOVERNMENT SECURITIES FUND CLA | 12.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00063488674 | BUY 1 Units @13.5400 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | U.S. GOVERNMENT SECURITIES FUND-C | 2.16 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @13.2400 | | | | | | |
| 09/14/2018 | ***-**-3569 - YOZZO, ROSA | U.S. GOVERNMENT SECURITIES FUND-C | 23.85 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| | ACCOUNT #: 00083468583 | BUY 2 Units @13.2400 | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, | WASHINGTON MUTUAL INVESTORS FUND-A | 6.48 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @47.8900 | | | | | | |
| 09/14/2018 | ***-**-9027 - NELSON, | WASHINGTON MUTUAL INVESTORS FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744742 | BUY 1 Units @47.8900 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$8,517.62 | \$87.52 | \$18.00 | \$69.52 | | \$47.76 |
| PRODUCT CATEGORY: STOCKS | | | | | | | | |
| 09/07/2018 | ***-**-74IN - GIGLIO, MARIA | CITIGROUP INC COM NEW UNSOLICITED O | 6,743.05 | 0.00 | 16.94 | -16.94 | 100.0 | -16.94 |
| | ACCOUNT #: LSR012031 | SELL 97 Units @69.5160 | | | | | | |
| * STOCKS SUBTOTAL * | | | \$6,743.05 | \$0.00 | \$16.94 | \$-16.94 | | \$-16.94 |



COMMISSION STATEMENT

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8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

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|---------------------------------------------------------|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 09/04/2018 | ***-**-8243 - MURRAY, DOREEN | AF MODERATE GROWTH AND INCOME-A | 0.00 | 3.66 | 0.00 | 3.66 | 75.0 | 2.75 |
| | ACCOUNT #: 00087405934 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | AMCAP FUND-A | 0.00 | 10.02 | 0.00 | 10.02 | 75.0 | 7.52 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-633J - CHRISTIANO, | AMCAP FUND-A | 0.00 | 13.27 | 0.00 | 13.27 | 75.0 | 9.95 |
| | ACCOUNT #: 00068639252 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN | AMCAP FUND-A | 0.00 | 14.33 | 0.00 | 14.33 | 75.0 | 10.75 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4540 - NELSON, RORY | AMCAP FUND-A | 0.00 | 8.44 | 0.00 | 8.44 | 75.0 | 6.33 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | AMCAP FUND-A | 0.00 | 4.57 | 0.00 | 4.57 | 75.0 | 3.43 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | AMCAP FUND-A | 0.00 | 0.54 | 0.00 | 0.54 | 75.0 | 0.41 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD | AMCAP FUND-A | 0.00 | 3.75 | 0.00 | 3.75 | 75.0 | 2.81 |
| | ACCOUNT #: 00081380894 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | AMCAP FUND-A | 0.00 | 5.01 | 0.00 | 5.01 | 75.0 | 3.76 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5157 - ADAMS, BARBARA | AMCAP FUND-A | 0.00 | 2.32 | 0.00 | 2.32 | 75.0 | 1.74 |
| | ACCOUNT #: 00081925599 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0585 - HOLLENBECK, | AMCAP FUND-A | 0.00 | 0.49 | 0.00 | 0.49 | 75.0 | 0.37 |
| | ACCOUNT #: 00088454124 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | AMERICAN 2020 TARGET DATE RETIREMNT | 0.00 | 6.43 | 0.00 | 6.43 | 75.0 | 4.82 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | AMERICAN BALANCED FUND-A | 0.00 | 22.53 | 0.00 | 22.53 | 75.0 | 16.90 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2009 - CHRISTIANO, | AMERICAN BALANCED FUND-A | 0.00 | 2.01 | 0.00 | 2.01 | 75.0 | 1.51 |
| | ACCOUNT #: 00068289182 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-33IN - CHRISTIANO, | AMERICAN BALANCED FUND-A | 0.00 | 1.82 | 0.00 | 1.82 | 75.0 | 1.37 |
| | ACCOUNT #: 00068289183 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | AMERICAN BALANCED FUND-A | 0.00 | 8.42 | 0.00 | 8.42 | 75.0 | 6.32 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |



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|--------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-633J - CHRISTIANO, ACCOUNT #: 00068639252 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 22.36 | 0.00 | 22.36 | 75.0 | 16.77 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 8.53 | 0.00 | 8.53 | 75.0 | 6.40 |
| 09/04/2018 | ***-**-5376 - CARROCK, LOUIS ACCOUNT #: 00069250497 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 10.75 | 0.00 | 10.75 | 75.0 | 8.06 |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 12.04 | 0.00 | 12.04 | 75.0 | 9.03 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD ACCOUNT #: 00081380894 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 2.43 | 0.00 | 2.43 | 75.0 | 1.82 |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN ACCOUNT #: 00083684779 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.41 | 0.00 | 1.41 | 75.0 | 1.06 |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD ACCOUNT #: 00083705143 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 4.32 | 0.00 | 4.32 | 75.0 | 3.24 |
| 09/04/2018 | ***-**-0521 - CRUMRINE, MONICA ACCOUNT #: 00084226912 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 6.11 | 0.00 | 6.11 | 75.0 | 4.58 |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN ACCOUNT #: 00087403470 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00088439056 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 7.11 | 0.00 | 7.11 | 75.0 | 5.33 |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN ACCOUNT #: 00089491976 | AMERICAN BALANCED FUND-A BUY 0 Units @0.0000 | 0.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 9.95 | 0.00 | 9.95 | 75.0 | 7.46 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 10.43 | 0.00 | 10.43 | 75.0 | 7.82 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 23.47 | 0.00 | 23.47 | 75.0 | 17.60 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | AMERICAN BALANCED FUND-C BUY 0 Units @0.0000 | 0.00 | 19.32 | 0.00 | 19.32 | 75.0 | 14.49 |



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|--------------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, ACCOUNT #: 00085628463 | AMERICAN CORPORATE BOND FUND A BUY 0 Units @0.0000 | 0.00 | 6.75 | 0.00 | 6.75 | 75.0 | 5.06 |
| 09/04/2018 | ***-**-4301 - GUARNIERI, ACCOUNT #: 00085628463 | AMERICAN DEVELOPING WRLD GRWTH & IN BUY 0 Units @0.0000 | 0.00 | 2.99 | 0.00 | 2.99 | 75.0 | 2.24 |
| 09/04/2018 | ***-**-4301 - GUARNIERI, ACCOUNT #: 00085628463 | AMERICAN FUNDS 02631F109 BUY 0 Units @0.0000 | 0.00 | 2.81 | 0.00 | 2.81 | 75.0 | 2.11 |
| 09/04/2018 | ***-**-3503 - SPINELLA, ACCOUNT #: 00081866339 | AMERICAN FUNDS 2015 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 10.95 | 0.00 | 10.95 | 75.0 | 8.21 |
| 09/04/2018 | ***-**-6101 - CRAFT, RICHARD ACCOUNT #: 00088512096 | AMERICAN FUNDS 2015 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 105.88 | 0.00 | 105.88 | 75.0 | 79.41 |
| 09/04/2018 | ***-**-2227 - WRIGHT, BRIGETTE ACCOUNT #: 00088532253 | AMERICAN FUNDS 2015 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 3.04 | 0.00 | 3.04 | 75.0 | 2.28 |
| 09/04/2018 | ***-**-0934 - OLIVER, DAWN ACCOUNT #: 00089086055 | AMERICAN FUNDS 2015 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.69 | 0.00 | 0.69 | 75.0 | 0.52 |
| 09/04/2018 | ***-**-4984 - JACKSON, CRESTA ACCOUNT #: 00089572453 | AMERICAN FUNDS 2015 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 1.63 | 0.00 | 1.63 | 75.0 | 1.22 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | AMERICAN FUNDS 2015 TARGET DATE-C BUY 0 Units @0.0000 | 0.00 | 157.79 | 0.00 | 157.79 | 75.0 | 118.34 |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE ACCOUNT #: 00081619958 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 1.81 | 0.00 | 1.81 | 75.0 | 1.36 |
| 09/04/2018 | ***-**-3503 - SPINELLA, ACCOUNT #: 00081866339 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 11.49 | 0.00 | 11.49 | 75.0 | 8.62 |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL ACCOUNT #: 00082857686 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 3.95 | 0.00 | 3.95 | 75.0 | 2.96 |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN ACCOUNT #: 00083684779 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 4.90 | 0.00 | 4.90 | 75.0 | 3.68 |
| 09/04/2018 | ***-**-1060 - DUNLAP, DONNA ACCOUNT #: 00085629087 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 12.14 | 0.00 | 12.14 | 75.0 | 9.11 |
| 09/04/2018 | ***-**-6060 - ROBINSON, ACCOUNT #: 00085852774 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 2.23 | 0.00 | 2.23 | 75.0 | 1.67 |
| 09/04/2018 | ***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280 | AMERICAN FUNDS 2020 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 11.83 | 0.00 | 11.83 | 75.0 | 8.87 |



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|--------------------------------------------------------------|--------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 10.73 | 0.00 | 10.73 | 75.0 | 8.05 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6101 - CRAFT, RICHARD | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 147.81 | 0.00 | 147.81 | 75.0 | 110.86 |
| | ACCOUNT #: 00088512096 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2227 - WRIGHT, BRIGETTE | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 13.58 | 0.00 | 13.58 | 75.0 | 10.19 |
| | ACCOUNT #: 00088532253 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7437 - FERRONE, KAREN | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 2.34 | 0.00 | 2.34 | 75.0 | 1.76 |
| | ACCOUNT #: 00089328587 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 6.10 | 0.00 | 6.10 | 75.0 | 4.58 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5515 - JACKSON, JOSEPH | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 1.66 | 0.00 | 1.66 | 75.0 | 1.25 |
| | ACCOUNT #: 00089572377 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4984 - JACKSON, CRESTA | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 1.79 | 0.00 | 1.79 | 75.0 | 1.34 |
| | ACCOUNT #: 00089572453 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 1.35 | 0.00 | 1.35 | 75.0 | 1.01 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 6.93 | 0.00 | 6.93 | 75.0 | 5.20 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 10.52 | 0.00 | 10.52 | 75.0 | 7.89 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 5.16 | 0.00 | 5.16 | 75.0 | 3.87 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 2.86 | 0.00 | 2.86 | 75.0 | 2.15 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 3.97 | 0.00 | 3.97 | 75.0 | 2.98 |
| | ACCOUNT #: 00082857686 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4547 - NORWOOD, CLINTON | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 6.43 | 0.00 | 6.43 | 75.0 | 4.82 |
| | ACCOUNT #: 00083594601 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 3.18 | 0.00 | 3.18 | 75.0 | 2.39 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 5.47 | 0.00 | 5.47 | 75.0 | 4.10 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|--------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 3.88 | 0.00 | 3.88 | 75.0 | 2.91 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 8.28 | 0.00 | 8.28 | 75.0 | 6.21 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2420 - WRIGHT, ROBERT | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 5.71 | 0.00 | 5.71 | 75.0 | 4.28 |
| | ACCOUNT #: 00088528064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2227 - WRIGHT, BRIGETTE | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 8.12 | 0.00 | 8.12 | 75.0 | 6.09 |
| | ACCOUNT #: 00088532253 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7437 - FERRONE, KAREN | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 2.44 | 0.00 | 2.44 | 75.0 | 1.83 |
| | ACCOUNT #: 00089328587 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5515 - JACKSON, JOSEPH | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 1.79 | 0.00 | 1.79 | 75.0 | 1.34 |
| | ACCOUNT #: 00089572377 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8896 - MARNELL, STEVE | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 0.41 | 0.00 | 0.41 | 75.0 | 0.31 |
| | ACCOUNT #: 04000868778 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 5.76 | 0.00 | 5.76 | 75.0 | 4.32 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5913 - SNYDER, JAMES | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 7.37 | 0.00 | 7.37 | 75.0 | 5.53 |
| | ACCOUNT #: 00086069268 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 3.16 | 0.00 | 3.16 | 75.0 | 2.37 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 14.40 | 0.00 | 14.40 | 75.0 | 10.80 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 22.67 | 0.00 | 22.67 | 75.0 | 17.00 |
| | ACCOUNT #: 00087763816 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 21.20 | 0.00 | 21.20 | 75.0 | 15.90 |
| | ACCOUNT #: 00087763847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2420 - WRIGHT, ROBERT | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 6.77 | 0.00 | 6.77 | 75.0 | 5.08 |
| | ACCOUNT #: 00088528064 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6382 - ZOGBY, KAREN | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 6.72 | 0.00 | 6.72 | 75.0 | 5.04 |
| | ACCOUNT #: 00089152479 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2478 - ALAGIC, INDIRA | AMERICAN FUNDS 2030 TARGET DATE-A | 0.00 | 15.20 | 0.00 | 15.20 | 75.0 | 11.40 |
| | ACCOUNT #: 04000400299 | BUY 0 Units @0.0000 | | | | | | |



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|--------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 04000501282 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.54 | 0.00 | 0.54 | 75.0 | 0.41 |
| 09/04/2018 | ***-**-8896 - MARNELL, STEVE ACCOUNT #: 04000868778 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.52 | 0.00 | 0.52 | 75.0 | 0.39 |
| 09/04/2018 | ***-**-4719 - MARTIN, KRISTY ACCOUNT #: 00088870706 | AMERICAN FUNDS 2035 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 4.96 | 0.00 | 4.96 | 75.0 | 3.72 |
| 09/04/2018 | ***-**-5456 - ANKEN, NICHOLAS ACCOUNT #: 00089412850 | AMERICAN FUNDS 2035 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.89 | 0.00 | 0.89 | 75.0 | 0.67 |
| 09/04/2018 | ***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058 | AMERICAN FUNDS 2035 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 2.35 | 0.00 | 2.35 | 75.0 | 1.76 |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 04000501282 | AMERICAN FUNDS 2035 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.56 | 0.00 | 0.56 | 75.0 | 0.42 |
| 09/04/2018 | ***-**-5650 - WITTE, MICHAEL ACCOUNT #: 04000889043 | AMERICAN FUNDS 2035 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 4.04 | 0.00 | 4.04 | 75.0 | 3.03 |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 04000501282 | AMERICAN FUNDS 2040 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.74 | 0.00 | 0.74 | 75.0 | 0.56 |
| 09/04/2018 | ***-**-0801 - ALLEN, TINA ACCOUNT #: 04000747642 | AMERICAN FUNDS 2040 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 1.14 | 0.00 | 1.14 | 75.0 | 0.86 |
| 09/04/2018 | ***-**-3291 - REILLY, BETHANY ACCOUNT #: 04000139353 | AMERICAN FUNDS 2045 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 2.71 | 0.00 | 2.71 | 75.0 | 2.03 |
| 09/04/2018 | ***-**-0801 - ALLEN, TINA ACCOUNT #: 04000747642 | AMERICAN FUNDS 2045 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.29 | 0.00 | 0.29 | 75.0 | 0.22 |
| 09/04/2018 | ***-**-627J - KALWARA, TREVOR ACCOUNT #: 04000868759 | AMERICAN FUNDS 2045 TARGET DATE-A BUY 0 Units @0.0000 | 0.00 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| 09/04/2018 | ***-**-0481 - WILDER, MARTIN ACCOUNT #: 00087922392 | AMERICAN FUNDS BALANCED PORT CL C BUY 0 Units @0.0000 | 0.00 | 7.91 | 0.00 | 7.91 | 75.0 | 5.93 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | AMERICAN FUNDS INCOME PORT CL C BUY 0 Units @0.0000 | 0.00 | 15.99 | 0.00 | 15.99 | 75.0 | 11.99 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | AMERICAN FUNDS MORTGAGE FD CL C BUY 0 Units @0.0000 | 0.00 | 6.08 | 0.00 | 6.08 | 75.0 | 4.56 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | AMERICAN FUNDS MORTGAGE FD CL C BUY 0 Units @0.0000 | 0.00 | 9.12 | 0.00 | 9.12 | 75.0 | 6.84 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | AMERICAN FUNDS PRESERVATION PORT C BUY 0 Units @0.0000 | 0.00 | 5.90 | 0.00 | 5.90 | 75.0 | 4.43 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | AMERICAN GLOBAL BALANCED CL C BUY 0 Units @0.0000 | 0.00 | 8.68 | 0.00 | 8.68 | 75.0 | 6.51 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | AMERICAN GLOBAL BALANCED CL C BUY 0 Units @0.0000 | 0.00 | 19.52 | 0.00 | 19.52 | 75.0 | 14.64 |
| 09/04/2018 | ***-**-3335 - YEH, WHI ACCOUNT #: 00068616678 | AMERICAN GLOBAL BALANCED CLASS A BUY 0 Units @0.0000 | 0.00 | 2.16 | 0.00 | 2.16 | 75.0 | 1.62 |
| 09/04/2018 | ***-**-5919 - YEH, CHANG ACCOUNT #: 00068616715 | AMERICAN GLOBAL BALANCED CLASS A BUY 0 Units @0.0000 | 0.00 | 1.93 | 0.00 | 1.93 | 75.0 | 1.45 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | AMERICAN GLOBAL BALANCED CLASS A BUY 0 Units @0.0000 | 0.00 | 2.24 | 0.00 | 2.24 | 75.0 | 1.68 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00088439056 | AMERICAN GLOBAL BALANCED CLASS A BUY 0 Units @0.0000 | 0.00 | 2.25 | 0.00 | 2.25 | 75.0 | 1.69 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | AMERICAN HIGH INCOME CLASS F1 BUY 0 Units @0.0000 | 0.00 | 3.05 | 0.00 | 3.05 | 75.0 | 2.29 |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 12.00 | 0.00 | 12.00 | 75.0 | 9.00 |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 7.27 | 0.00 | 7.27 | 75.0 | 5.45 |
| 09/04/2018 | ***-**-2009 - CHRISTIANO, ACCOUNT #: 00068289182 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| 09/04/2018 | ***-**-33IN - CHRISTIANO, ACCOUNT #: 00068289183 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 2.60 | 0.00 | 2.60 | 75.0 | 1.95 |
| 09/04/2018 | ***-**-3335 - YEH, WHI ACCOUNT #: 00068616678 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |
| 09/04/2018 | ***-**-5919 - YEH, CHANG ACCOUNT #: 00068616715 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.84 | 0.00 | 0.84 | 75.0 | 0.63 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 5.61 | 0.00 | 5.61 | 75.0 | 4.21 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 2.97 | 0.00 | 2.97 | 75.0 | 2.23 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.49 | 0.00 | 4.49 | 75.0 | 3.37 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.33 | 0.00 | 2.33 | 75.0 | 1.75 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.16 | 0.00 | 3.16 | 75.0 | 2.37 |
| | ACCOUNT #: 00081937036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.39 | 0.00 | 4.39 | 75.0 | 3.29 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.57 | 0.00 | 2.57 | 75.0 | 1.93 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-84IN - ROBINSON, CHERYL | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 5.51 | 0.00 | 5.51 | 75.0 | 4.13 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.89 | 0.00 | 0.89 | 75.0 | 0.67 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.70 | 0.00 | 2.70 | 75.0 | 2.03 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 15.61 | 0.00 | 15.61 | 75.0 | 11.71 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7706 - DECARR, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.12 | 0.00 | 3.12 | 75.0 | 2.34 |
| | ACCOUNT #: 00086438656 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.51 | 0.00 | 1.51 | 75.0 | 1.13 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.95 | 0.00 | 0.95 | 75.0 | 0.71 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.51 | 0.00 | 3.51 | 75.0 | 2.63 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 7.08 | 0.00 | 7.08 | 75.0 | 5.31 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.81 | 0.00 | 3.81 | 75.0 | 2.86 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00088439056 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 12.23 | 0.00 | 12.23 | 75.0 | 9.17 |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @0.0000 | 0.00 | 6.52 | 0.00 | 6.52 | 75.0 | 4.89 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @0.0000 | 0.00 | 11.31 | 0.00 | 11.31 | 75.0 | 8.48 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @0.0000 | 0.00 | 16.97 | 0.00 | 16.97 | 75.0 | 12.73 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @0.0000 | 0.00 | 17.50 | 0.00 | 17.50 | 75.0 | 13.13 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000 | 0.00 | 0.37 | 0.00 | 0.37 | 75.0 | 0.28 |
| 09/04/2018 | ***-**-5157 - ADAMS, BARBARA ACCOUNT #: 00081925599 | AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000 | 0.00 | 1.65 | 0.00 | 1.65 | 75.0 | 1.24 |
| 09/04/2018 | ***-**-8441 - SHAW, MARY ACCOUNT #: 00082629278 | AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000 | 0.00 | 2.57 | 0.00 | 2.57 | 75.0 | 1.93 |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN ACCOUNT #: 00083221144 | AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000 | 0.00 | 6.94 | 0.00 | 6.94 | 75.0 | 5.21 |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN ACCOUNT #: 00089491976 | AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000 | 0.00 | 1.60 | 0.00 | 1.60 | 75.0 | 1.20 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | AMERICAN MUTUAL FUND-C BUY 0 Units @0.0000 | 0.00 | 11.29 | 0.00 | 11.29 | 75.0 | 8.47 |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 22.24 | 0.00 | 22.24 | 75.0 | 16.68 |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 55.62 | 0.00 | 55.62 | 75.0 | 41.72 |
| 09/04/2018 | ***-**-7894 - BENSON, HEIDI ACCOUNT #: 00084750362 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 51.93 | 0.00 | 51.93 | 75.0 | 38.95 |
| 09/04/2018 | ***-**-6060 - ROBINSON, ACCOUNT #: 00085852774 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 4.62 | 0.00 | 4.62 | 75.0 | 3.47 |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 9.21 | 0.00 | 9.21 | 75.0 | 6.91 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 21.29 | 0.00 | 21.29 | 75.0 | 15.97 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 31.94 | 0.00 | 31.94 | 75.0 | 23.96 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 17.64 | 0.00 | 17.64 | 75.0 | 13.23 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000 | 0.00 | 12.75 | 0.00 | 12.75 | 75.0 | 9.56 |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 12.83 | 0.00 | 12.83 | 75.0 | 9.62 |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 25.97 | 0.00 | 25.97 | 75.0 | 19.48 |
| 09/04/2018 | ***-**-2009 - CHRISTIANO, ACCOUNT #: 00068289182 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.98 | 0.00 | 1.98 | 75.0 | 1.49 |
| 09/04/2018 | ***-**-3335 - YEH, WHI ACCOUNT #: 00068616678 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 6.80 | 0.00 | 6.80 | 75.0 | 5.10 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 14.87 | 0.00 | 14.87 | 75.0 | 11.15 |
| 09/04/2018 | ***-**-9099 - AUSTIN, RONDA ACCOUNT #: 00073043547 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 3.32 | 0.00 | 3.32 | 75.0 | 2.49 |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL ACCOUNT #: 00073848770 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.64 | 0.00 | 0.64 | 75.0 | 0.48 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 3.45 | 0.00 | 3.45 | 75.0 | 2.59 |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD ACCOUNT #: 00081380894 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 2.29 | 0.00 | 2.29 | 75.0 | 1.72 |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE ACCOUNT #: 00081619958 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.55 | 0.00 | 1.55 | 75.0 | 1.16 |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD ACCOUNT #: 00081650302 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 9.70 | 0.00 | 9.70 | 75.0 | 7.28 |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA ACCOUNT #: 00081741962 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 7.13 | 0.00 | 7.13 | 75.0 | 5.35 |



COMMISSION STATEMENT

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JEFFREY HANRETTY
8365 Seneca Turnpike
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BROKER #: B08
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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, ACCOUNT #: 00081866339 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 10.30 | 0.00 | 10.30 | 75.0 | 7.73 |
| 09/04/2018 | ***-**-3560 - MCEVOY, MICHAEL ACCOUNT #: 00081868334 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.14 | 0.00 | 1.14 | 75.0 | 0.86 |
| 09/04/2018 | ***-**-5157 - ADAMS, BARBARA ACCOUNT #: 00081925599 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.18 | 0.00 | 1.18 | 75.0 | 0.89 |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY ACCOUNT #: 00081937036 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN ACCOUNT #: 00082044078 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 8.52 | 0.00 | 8.52 | 75.0 | 6.39 |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 00082276628 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 2.27 | 0.00 | 2.27 | 75.0 | 1.70 |
| 09/04/2018 | ***-**-1973 - MCEVOY, KATHY ACCOUNT #: 00082284150 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.21 | 0.00 | 1.21 | 75.0 | 0.91 |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, ACCOUNT #: 00082308736 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD ACCOUNT #: 00082449672 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.37 | 0.00 | 1.37 | 75.0 | 1.03 |
| 09/04/2018 | ***-**-6354 - NICOTERA, ACCOUNT #: 00082525497 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.69 | 0.00 | 0.69 | 75.0 | 0.52 |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM ACCOUNT #: 00082559014 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 09/04/2018 | ***-**-8441 - SHAW, MARY ACCOUNT #: 00082629278 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 4.67 | 0.00 | 4.67 | 75.0 | 3.50 |
| 09/04/2018 | ***-**-7576 - MATTHEWS, ACCOUNT #: 00082716712 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 5.50 | 0.00 | 5.50 | 75.0 | 4.13 |
| 09/04/2018 | ***-**-84IN - ROBINSON, CHERYL ACCOUNT #: 00082727886 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 9.06 | 0.00 | 9.06 | 75.0 | 6.80 |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL ACCOUNT #: 00082857686 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 2.80 | 0.00 | 2.80 | 75.0 | 2.10 |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN ACCOUNT #: 00083221144 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 18.50 | 0.00 | 18.50 | 75.0 | 13.88 |



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|--------------------------------------------------------------|-------------------------------|---------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-1701 - NOFFER, THOMAS | CAPITAL INCOME BUILDER-A | 0.00 | 0.81 | 0.00 | 0.81 | 75.0 | 0.61 |
| | ACCOUNT #: 00083444381 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | CAPITAL INCOME BUILDER-A | 0.00 | 3.21 | 0.00 | 3.21 | 75.0 | 2.41 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | CAPITAL INCOME BUILDER-A | 0.00 | 4.02 | 0.00 | 4.02 | 75.0 | 3.02 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | CAPITAL INCOME BUILDER-A | 0.00 | 2.89 | 0.00 | 2.89 | 75.0 | 2.17 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-162J - BORIS, STANLEY | CAPITAL INCOME BUILDER-A | 0.00 | 25.37 | 0.00 | 25.37 | 75.0 | 19.03 |
| | ACCOUNT #: 00084254479 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | CAPITAL INCOME BUILDER-A | 0.00 | 15.02 | 0.00 | 15.02 | 75.0 | 11.27 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7706 - DECARR, | CAPITAL INCOME BUILDER-A | 0.00 | 5.69 | 0.00 | 5.69 | 75.0 | 4.27 |
| | ACCOUNT #: 00086438656 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | CAPITAL INCOME BUILDER-A | 0.00 | 2.37 | 0.00 | 2.37 | 75.0 | 1.78 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | CAPITAL INCOME BUILDER-A | 0.00 | 1.01 | 0.00 | 1.01 | 75.0 | 0.76 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | CAPITAL INCOME BUILDER-A | 0.00 | 3.35 | 0.00 | 3.35 | 75.0 | 2.51 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | CAPITAL INCOME BUILDER-A | 0.00 | 7.59 | 0.00 | 7.59 | 75.0 | 5.69 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | CAPITAL INCOME BUILDER-A | 0.00 | 3.69 | 0.00 | 3.69 | 75.0 | 2.77 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | CAPITAL INCOME BUILDER-A | 0.00 | 11.10 | 0.00 | 11.10 | 75.0 | 8.33 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | CAPITAL INCOME BUILDER-A | 0.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6663 - ZOGBY, KAMIL | CAPITAL INCOME BUILDER-F1 | 0.00 | 2.20 | 0.00 | 2.20 | 75.0 | 1.65 |
| | ACCOUNT #: 00081998971 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, | CAPITAL INCOME BUILDER-F1 | 0.00 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | CAPITAL INCOME BUILDER-F1 | 0.00 | 0.40 | 0.00 | 0.40 | 75.0 | 0.30 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | CAPITAL INCOME BUILDER-F1 | 0.00 | 8.95 | 0.00 | 8.95 | 75.0 | 6.71 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | CAPITAL WORLD BOND FUND-A | 0.00 | 8.37 | 0.00 | 8.37 | 75.0 | 6.28 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | CAPITAL WORLD BOND FUND-A | 0.00 | 10.82 | 0.00 | 10.82 | 75.0 | 8.12 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | CAPITAL WORLD BOND FUND-A | 0.00 | 1.62 | 0.00 | 1.62 | 75.0 | 1.22 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5919 - YEH, CHANG | CAPITAL WORLD BOND FUND-A | 0.00 | 1.84 | 0.00 | 1.84 | 75.0 | 1.38 |
| | ACCOUNT #: 00068616715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | CAPITAL WORLD BOND FUND-A | 0.00 | 4.09 | 0.00 | 4.09 | 75.0 | 3.07 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | CAPITAL WORLD BOND FUND-A | 0.00 | 2.32 | 0.00 | 2.32 | 75.0 | 1.74 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | CAPITAL WORLD BOND FUND-A | 0.00 | 3.34 | 0.00 | 3.34 | 75.0 | 2.51 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA | CAPITAL WORLD BOND FUND-A | 0.00 | 3.02 | 0.00 | 3.02 | 75.0 | 2.27 |
| | ACCOUNT #: 00081741962 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | CAPITAL WORLD BOND FUND-A | 0.00 | 1.73 | 0.00 | 1.73 | 75.0 | 1.30 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | CAPITAL WORLD BOND FUND-A | 0.00 | 2.64 | 0.00 | 2.64 | 75.0 | 1.98 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | CAPITAL WORLD BOND FUND-A | 0.00 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | CAPITAL WORLD BOND FUND-A | 0.00 | 1.71 | 0.00 | 1.71 | 75.0 | 1.28 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD | CAPITAL WORLD BOND FUND-A | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| | ACCOUNT #: 00082449672 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | CAPITAL WORLD BOND FUND-A | 0.00 | 0.64 | 0.00 | 0.64 | 75.0 | 0.48 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | CAPITAL WORLD BOND FUND-A | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | CAPITAL WORLD BOND FUND-A | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | CAPITAL WORLD BOND FUND-A | 0.00 | 2.22 | 0.00 | 2.22 | 75.0 | 1.67 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL | CAPITAL WORLD BOND FUND-A | 0.00 | 7.71 | 0.00 | 7.71 | 75.0 | 5.78 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | CAPITAL WORLD BOND FUND-A | 0.00 | 9.92 | 0.00 | 9.92 | 75.0 | 7.44 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | CAPITAL WORLD BOND FUND-A | 0.00 | 1.11 | 0.00 | 1.11 | 75.0 | 0.83 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | CAPITAL WORLD BOND FUND-A | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | CAPITAL WORLD BOND FUND-A | 0.00 | 3.98 | 0.00 | 3.98 | 75.0 | 2.99 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7706 - DECARR, | CAPITAL WORLD BOND FUND-A | 0.00 | 1.47 | 0.00 | 1.47 | 75.0 | 1.10 |
| | ACCOUNT #: 00086438656 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | CAPITAL WORLD BOND FUND-A | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | CAPITAL WORLD BOND FUND-A | 0.00 | 2.78 | 0.00 | 2.78 | 75.0 | 2.09 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | CAPITAL WORLD BOND FUND-A | 0.00 | 6.30 | 0.00 | 6.30 | 75.0 | 4.73 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | CAPITAL WORLD BOND FUND-A | 0.00 | 6.07 | 0.00 | 6.07 | 75.0 | 4.55 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | CAPITAL WORLD BOND FUND-C | 0.00 | 21.13 | 0.00 | 21.13 | 75.0 | 15.85 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX | CAPITAL WORLD BOND FUND-C | 0.00 | 1.81 | 0.00 | 1.81 | 75.0 | 1.36 |
| | ACCOUNT #: 00085418120 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | CAPITAL WORLD BOND FUND-C | 0.00 | 1.15 | 0.00 | 1.15 | 75.0 | 0.86 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 5.94 | 0.00 | 5.94 | 75.0 | 4.46 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 8.91 | 0.00 | 8.91 | 75.0 | 6.68 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 15.74 | 0.00 | 15.74 | 75.0 | 11.81 |
| 09/04/2018 | ***-**-6187 - PIERCE, ACCOUNT #: 00087763847 | CAPITAL WORLD BOND FUND-C BUY 0 Units @0.0000 | 0.00 | 14.73 | 0.00 | 14.73 | 75.0 | 11.05 |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 15.98 | 0.00 | 15.98 | 75.0 | 11.99 |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.99 | 0.00 | 7.99 | 75.0 | 5.99 |
| 09/04/2018 | ***-**-2009 - CHRISTIANO, ACCOUNT #: 00068289182 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.86 | 0.00 | 1.86 | 75.0 | 1.40 |
| 09/04/2018 | ***-**-33IN - CHRISTIANO, ACCOUNT #: 00068289183 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 09/04/2018 | ***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 00068396826 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.02 | 0.00 | 2.02 | 75.0 | 1.52 |
| 09/04/2018 | ***-**-3335 - YEH, WHI ACCOUNT #: 00068616678 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.48 | 0.00 | 7.48 | 75.0 | 5.61 |
| 09/04/2018 | ***-**-5919 - YEH, CHANG ACCOUNT #: 00068616715 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 8.20 | 0.00 | 8.20 | 75.0 | 6.15 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 35.37 | 0.00 | 35.37 | 75.0 | 26.53 |
| 09/04/2018 | ***-**-5376 - CARROCK, LOUIS ACCOUNT #: 00069250497 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| 09/04/2018 | ***-**-9099 - AUSTIN, RONDA ACCOUNT #: 00073043547 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 13.73 | 0.00 | 13.73 | 75.0 | 10.30 |
| 09/04/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 12.68 | 0.00 | 12.68 | 75.0 | 9.51 |



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JEFFREY HANRETTY
8365 Seneca Turnpike
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BROKER #: B08
BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL ACCOUNT #: 00073848770 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.38 | 0.00 | 4.38 | 75.0 | 3.29 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.15 | 0.00 | 5.15 | 75.0 | 3.86 |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD ACCOUNT #: 00081380894 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.77 | 0.00 | 2.77 | 75.0 | 2.08 |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY ACCOUNT #: 00081494036 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 18.93 | 0.00 | 18.93 | 75.0 | 14.20 |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE ACCOUNT #: 00081619958 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.83 | 0.00 | 1.83 | 75.0 | 1.37 |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD ACCOUNT #: 00081650302 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 10.91 | 0.00 | 10.91 | 75.0 | 8.18 |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA ACCOUNT #: 00081741962 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.76 | 0.00 | 7.76 | 75.0 | 5.82 |
| 09/04/2018 | ***-**-3503 - SPINELLA, ACCOUNT #: 00081866339 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 14.00 | 0.00 | 14.00 | 75.0 | 10.50 |
| 09/04/2018 | ***-**-3560 - MCEVOY, MICHAEL ACCOUNT #: 00081868334 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.29 | 0.00 | 1.29 | 75.0 | 0.97 |
| 09/04/2018 | ***-**-5157 - ADAMS, BARBARA ACCOUNT #: 00081925599 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.39 | 0.00 | 1.39 | 75.0 | 1.04 |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY ACCOUNT #: 00081937036 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.23 | 0.00 | 1.23 | 75.0 | 0.92 |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN ACCOUNT #: 00082044078 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.62 | 0.00 | 7.62 | 75.0 | 5.72 |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 00082276628 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.06 | 0.00 | 3.06 | 75.0 | 2.30 |
| 09/04/2018 | ***-**-1973 - MCEVOY, KATHY ACCOUNT #: 00082284150 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.05 | 0.00 | 1.05 | 75.0 | 0.79 |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, ACCOUNT #: 00082308736 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.57 | 0.00 | 1.57 | 75.0 | 1.18 |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD ACCOUNT #: 00082449672 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.58 | 0.00 | 1.58 | 75.0 | 1.19 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, ACCOUNT #: 00082525497 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.77 | 0.00 | 1.77 | 75.0 | 1.33 |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM ACCOUNT #: 00082559014 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| 09/04/2018 | ***-**-8441 - SHAW, MARY ACCOUNT #: 00082629278 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.25 | 0.00 | 4.25 | 75.0 | 3.19 |
| 09/04/2018 | ***-**-4913 - ELSENBECK, DAVID ACCOUNT #: 00082651124 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.61 | 0.00 | 2.61 | 75.0 | 1.96 |
| 09/04/2018 | ***-**-7576 - MATTHEWS, ACCOUNT #: 00082716712 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.79 | 0.00 | 5.79 | 75.0 | 4.34 |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL ACCOUNT #: 00082727886 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.02 | 0.00 | 5.02 | 75.0 | 3.77 |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL ACCOUNT #: 00082857686 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN ACCOUNT #: 00083221144 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 15.42 | 0.00 | 15.42 | 75.0 | 11.57 |
| 09/04/2018 | ***-**-1701 - NOFFER, THOMAS ACCOUNT #: 00083444381 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.01 | 0.00 | 7.01 | 75.0 | 5.26 |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN ACCOUNT #: 00083684779 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.44 | 0.00 | 2.44 | 75.0 | 1.83 |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA ACCOUNT #: 00083685230 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.83 | 0.00 | 2.83 | 75.0 | 2.12 |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD ACCOUNT #: 00083705143 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.49 | 0.00 | 4.49 | 75.0 | 3.37 |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM ACCOUNT #: 00084071170 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.56 | 0.00 | 3.56 | 75.0 | 2.67 |
| 09/04/2018 | ***-**-0521 - CRUMRINE, MONICA ACCOUNT #: 00084226912 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 9.32 | 0.00 | 9.32 | 75.0 | 6.99 |
| 09/04/2018 | ***-**-4301 - GUARNIERI, ACCOUNT #: 00085628463 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 9.42 | 0.00 | 9.42 | 75.0 | 7.07 |
| 09/04/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 8.44 | 0.00 | 8.44 | 75.0 | 6.33 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6060 - ROBINSON, ACCOUNT #: 00085852774 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.05 | 0.00 | 4.05 | 75.0 | 3.04 |
| 09/04/2018 | ***-**-7706 - DECARR, ACCOUNT #: 00086438656 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.20 | 0.00 | 5.20 | 75.0 | 3.90 |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE ACCOUNT #: 00087227295 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.91 | 0.00 | 3.91 | 75.0 | 2.93 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00087352911 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.89 | 0.00 | 1.89 | 75.0 | 1.42 |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN ACCOUNT #: 00087403470 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 3.87 | 0.00 | 3.87 | 75.0 | 2.90 |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN ACCOUNT #: 00088252231 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 6.93 | 0.00 | 6.93 | 75.0 | 5.20 |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.07 | 0.00 | 4.07 | 75.0 | 3.05 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00088439056 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 7.32 | 0.00 | 7.32 | 75.0 | 5.49 |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 26.04 | 0.00 | 26.04 | 75.0 | 19.53 |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 65.22 | 0.00 | 65.22 | 75.0 | 48.92 |
| 09/04/2018 | ***-**-7894 - BENSON, HEIDI ACCOUNT #: 00084750362 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 60.99 | 0.00 | 60.99 | 75.0 | 45.74 |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX ACCOUNT #: 00085418120 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.61 | 0.00 | 4.61 | 75.0 | 3.46 |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 11.73 | 0.00 | 11.73 | 75.0 | 8.80 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 10.99 | 0.00 | 10.99 | 75.0 | 8.24 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 20.60 | 0.00 | 20.60 | 75.0 | 15.45 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 19.81 | 0.00 | 19.81 | 75.0 | 14.86 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, ACCOUNT #: 00087763847 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 18.51 | 0.00 | 18.51 | 75.0 | 13.88 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 11.33 | 0.00 | 11.33 | 75.0 | 8.50 |
| 09/04/2018 | ***-**-7266 - ZUMPANO, ANDREA ACCOUNT #: 00081727500 | CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000 | 0.00 | 3.04 | 0.00 | 3.04 | 75.0 | 2.28 |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 0.47 | 0.00 | 0.47 | 75.0 | 0.35 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000 | 0.00 | 8.95 | 0.00 | 8.95 | 75.0 | 6.71 |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WPRLD BOND FUND-F1 BUY 0 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 75.0 | 0.13 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | CAPITAL WPRLD BOND FUND-F1 BUY 0 Units @0.0000 | 0.00 | 2.46 | 0.00 | 2.46 | 75.0 | 1.85 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | EUROPACIFIC GROWTH FUND CLASS F BUY 0 Units @0.0000 | 0.00 | 1.78 | 0.00 | 1.78 | 75.0 | 1.34 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 19.84 | 0.00 | 19.84 | 75.0 | 14.88 |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE ACCOUNT #: 00073366696 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 4.19 | 0.00 | 4.19 | 75.0 | 3.14 |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL ACCOUNT #: 00073848770 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 4.30 | 0.00 | 4.30 | 75.0 | 3.23 |
| 09/04/2018 | ***-**-9871 - LACOVISSI, ACCOUNT #: 00075995210 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 3.23 | 0.00 | 3.23 | 75.0 | 2.42 |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD ACCOUNT #: 00081380894 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 0.97 | 0.00 | 0.97 | 75.0 | 0.73 |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY ACCOUNT #: 00081494036 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 14.30 | 0.00 | 14.30 | 75.0 | 10.73 |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, ACCOUNT #: 00082308736 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 1.36 | 0.00 | 1.36 | 75.0 | 1.02 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.37 | 0.00 | 1.37 | 75.0 | 1.03 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.77 | 0.00 | 2.77 | 75.0 | 2.08 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | EUROPACIFIC GROWTH FUND-A | 0.00 | 4.39 | 0.00 | 4.39 | 75.0 | 3.29 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.18 | 0.00 | 2.18 | 75.0 | 1.64 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | EUROPACIFIC GROWTH FUND-A | 0.00 | 4.05 | 0.00 | 4.05 | 75.0 | 3.04 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | EUROPACIFIC GROWTH FUND-A | 0.00 | 6.13 | 0.00 | 6.13 | 75.0 | 4.60 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.44 | 0.00 | 2.44 | 75.0 | 1.83 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | FUNDAMENTAL INVESTORS FUND CLASS F | 0.00 | 0.60 | 0.00 | 0.60 | 75.0 | 0.45 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | FUNDAMENTAL INVESTORS FUND CLASS F | 0.00 | 9.44 | 0.00 | 9.44 | 75.0 | 7.08 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7266 - ZUMPANO, ANDREA | FUNDAMENTAL INVESTORS-529A | 0.00 | 3.89 | 0.00 | 3.89 | 75.0 | 2.92 |
| | ACCOUNT #: 00081727500 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | FUNDAMENTAL INVESTORS-A | 0.00 | 20.86 | 0.00 | 20.86 | 75.0 | 15.65 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | FUNDAMENTAL INVESTORS-A | 0.00 | 10.79 | 0.00 | 10.79 | 75.0 | 8.09 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | FUNDAMENTAL INVESTORS-A | 0.00 | 14.59 | 0.00 | 14.59 | 75.0 | 10.94 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5376 - CARROCK, LOUIS | FUNDAMENTAL INVESTORS-A | 0.00 | 0.26 | 0.00 | 0.26 | 75.0 | 0.20 |
| | ACCOUNT #: 00069250497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | FUNDAMENTAL INVESTORS-A | 0.00 | 16.90 | 0.00 | 16.90 | 75.0 | 12.68 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4540 - NELSON, RORY | FUNDAMENTAL INVESTORS-A | 0.00 | 8.54 | 0.00 | 8.54 | 75.0 | 6.41 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | FUNDAMENTAL INVESTORS-A | 0.00 | 4.50 | 0.00 | 4.50 | 75.0 | 3.38 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | FUNDAMENTAL INVESTORS-A | 0.00 | 4.02 | 0.00 | 4.02 | 75.0 | 3.02 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1001 - FLAHERTY, KELLY | FUNDAMENTAL INVESTORS-A | 0.00 | 7.44 | 0.00 | 7.44 | 75.0 | 5.58 |
| | ACCOUNT #: 00081241053 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD | FUNDAMENTAL INVESTORS-A | 0.00 | 3.43 | 0.00 | 3.43 | 75.0 | 2.57 |
| | ACCOUNT #: 00081380894 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY | FUNDAMENTAL INVESTORS-A | 0.00 | 34.98 | 0.00 | 34.98 | 75.0 | 26.24 |
| | ACCOUNT #: 00081494036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE | FUNDAMENTAL INVESTORS-A | 0.00 | 2.37 | 0.00 | 2.37 | 75.0 | 1.78 |
| | ACCOUNT #: 00081619958 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA | FUNDAMENTAL INVESTORS-A | 0.00 | 5.43 | 0.00 | 5.43 | 75.0 | 4.07 |
| | ACCOUNT #: 00081741962 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | FUNDAMENTAL INVESTORS-A | 0.00 | 15.40 | 0.00 | 15.40 | 75.0 | 11.55 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3560 - MCEVOY, MICHAEL | FUNDAMENTAL INVESTORS-A | 0.00 | 1.70 | 0.00 | 1.70 | 75.0 | 1.28 |
| | ACCOUNT #: 00081868334 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | FUNDAMENTAL INVESTORS-A | 0.00 | 7.71 | 0.00 | 7.71 | 75.0 | 5.78 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | FUNDAMENTAL INVESTORS-A | 0.00 | 4.14 | 0.00 | 4.14 | 75.0 | 3.11 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1973 - MCEVOY, KATHY | FUNDAMENTAL INVESTORS-A | 0.00 | 1.05 | 0.00 | 1.05 | 75.0 | 0.79 |
| | ACCOUNT #: 00082284150 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | FUNDAMENTAL INVESTORS-A | 0.00 | 2.04 | 0.00 | 2.04 | 75.0 | 1.53 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD | FUNDAMENTAL INVESTORS-A | 0.00 | 2.06 | 0.00 | 2.06 | 75.0 | 1.55 |
| | ACCOUNT #: 00082449672 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | FUNDAMENTAL INVESTORS-A | 0.00 | 0.69 | 0.00 | 0.69 | 75.0 | 0.52 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | FUNDAMENTAL INVESTORS-A | 0.00 | 2.05 | 0.00 | 2.05 | 75.0 | 1.54 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|--------------------------------|-------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | FUNDAMENTAL INVESTORS-A | 0.00 | 2.83 | 0.00 | 2.83 | 75.0 | 2.12 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | FUNDAMENTAL INVESTORS-A | 0.00 | 6.38 | 0.00 | 6.38 | 75.0 | 4.79 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL | FUNDAMENTAL INVESTORS-A | 0.00 | 6.93 | 0.00 | 6.93 | 75.0 | 5.20 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL | FUNDAMENTAL INVESTORS-A | 0.00 | 2.63 | 0.00 | 2.63 | 75.0 | 1.97 |
| | ACCOUNT #: 00082857686 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | FUNDAMENTAL INVESTORS-A | 0.00 | 14.09 | 0.00 | 14.09 | 75.0 | 10.57 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | FUNDAMENTAL INVESTORS-A | 0.00 | 2.93 | 0.00 | 2.93 | 75.0 | 2.20 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | FUNDAMENTAL INVESTORS-A | 0.00 | 2.99 | 0.00 | 2.99 | 75.0 | 2.24 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | FUNDAMENTAL INVESTORS-A | 0.00 | 4.63 | 0.00 | 4.63 | 75.0 | 3.47 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | FUNDAMENTAL INVESTORS-A | 0.00 | 4.00 | 0.00 | 4.00 | 75.0 | 3.00 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX | FUNDAMENTAL INVESTORS-A | 0.00 | 0.96 | 0.00 | 0.96 | 75.0 | 0.72 |
| | ACCOUNT #: 00085418120 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | FUNDAMENTAL INVESTORS-A | 0.00 | 11.77 | 0.00 | 11.77 | 75.0 | 8.83 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6060 - ROBINSON, | FUNDAMENTAL INVESTORS-A | 0.00 | 3.35 | 0.00 | 3.35 | 75.0 | 2.51 |
| | ACCOUNT #: 00085852774 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | FUNDAMENTAL INVESTORS-A | 0.00 | 4.69 | 0.00 | 4.69 | 75.0 | 3.52 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | FUNDAMENTAL INVESTORS-A | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | FUNDAMENTAL INVESTORS-A | 0.00 | 2.99 | 0.00 | 2.99 | 75.0 | 2.24 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | FUNDAMENTAL INVESTORS-A | 0.00 | 8.09 | 0.00 | 8.09 | 75.0 | 6.07 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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|--------------------------------------------------------------|-------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | FUNDAMENTAL INVESTORS-A | 0.00 | 4.38 | 0.00 | 4.38 | 75.0 | 3.29 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | FUNDAMENTAL INVESTORS-A | 0.00 | 7.20 | 0.00 | 7.20 | 75.0 | 5.40 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | FUNDAMENTAL INVESTORS-C | 0.00 | 73.42 | 0.00 | 73.42 | 75.0 | 55.07 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7894 - BENSON, HEIDI | FUNDAMENTAL INVESTORS-C | 0.00 | 71.57 | 0.00 | 71.57 | 75.0 | 53.68 |
| | ACCOUNT #: 00084750362 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | FUNDAMENTAL INVESTORS-C | 0.00 | 11.87 | 0.00 | 11.87 | 75.0 | 8.90 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN | FUNDAMENTAL INVESTORS-C | 0.00 | 25.05 | 0.00 | 25.05 | 75.0 | 18.79 |
| | ACCOUNT #: 00086837544 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD | FUNDAMENTAL INVESTORS-C | 0.00 | 21.74 | 0.00 | 21.74 | 75.0 | 16.31 |
| | ACCOUNT #: 00087763816 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | FUNDAMENTAL INVESTORS-C | 0.00 | 9.75 | 0.00 | 9.75 | 75.0 | 7.31 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, | GROWTH FUND OF AMERICA CLASS F | 0.00 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | GROWTH FUND OF AMERICA CLASS F | 0.00 | 0.44 | 0.00 | 0.44 | 75.0 | 0.33 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | GROWTH FUND OF AMERICA CLASS F | 0.00 | 8.20 | 0.00 | 8.20 | 75.0 | 6.15 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7851 - SMITH, BRIAN | INCOME FUND OF AMERICA CLASS C | 0.00 | 1.17 | 0.00 | 1.17 | 75.0 | 0.88 |
| | ACCOUNT #: 00073261410 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | INCOME FUND OF AMERICA CLASS C | 0.00 | 56.94 | 0.00 | 56.94 | 75.0 | 42.71 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, | INCOME FUND OF AMERICA CLASS C | 0.00 | 9.53 | 0.00 | 9.53 | 75.0 | 7.15 |
| | ACCOUNT #: 00086835048 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, | INCOME FUND OF AMERICA CLASS C | 0.00 | 17.28 | 0.00 | 17.28 | 75.0 | 12.96 |
| | ACCOUNT #: 00087763847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | INFLATION LINKED BOND FUND-A | 0.00 | 6.36 | 0.00 | 6.36 | 75.0 | 4.77 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | INFLATION LINKED BOND FUND-A | 0.00 | 3.08 | 0.00 | 3.08 | 75.0 | 2.31 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 7.89 | 0.00 | 7.89 | 75.0 | 5.92 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 4.90 | 0.00 | 4.90 | 75.0 | 3.68 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 16.86 | 0.00 | 16.86 | 75.0 | 12.65 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 11.05 | 0.00 | 11.05 | 75.0 | 8.29 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 4.57 | 0.00 | 4.57 | 75.0 | 3.43 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 4.56 | 0.00 | 4.56 | 75.0 | 3.42 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 11.60 | 0.00 | 11.60 | 75.0 | 8.70 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 3.51 | 0.00 | 3.51 | 75.0 | 2.63 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5919 - YEH, CHANG | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 4.06 | 0.00 | 4.06 | 75.0 | 3.05 |
| | ACCOUNT #: 00068616715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 2.40 | 0.00 | 2.40 | 75.0 | 1.80 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 8.25 | 0.00 | 8.25 | 75.0 | 6.19 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 0.89 | 0.00 | 0.89 | 75.0 | 0.67 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 1.60 | 0.00 | 1.60 | 75.0 | 1.20 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 2.26 | 0.00 | 2.26 | 75.0 | 1.70 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | INTERNATIONAL GROWTH AND INCOME-A | 0.00 | 0.92 | 0.00 | 0.92 | 75.0 | 0.69 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, ACCOUNT #: 00085628463 | INTERNATIONAL GROWTH AND INCOME-A BUY 0 Units @0.0000 | 0.00 | 6.65 | 0.00 | 6.65 | 75.0 | 4.99 |
| 09/04/2018 | ***-**-7706 - DECARR, ACCOUNT #: 00086438656 | INTERNATIONAL GROWTH AND INCOME-A BUY 0 Units @0.0000 | 0.00 | 3.91 | 0.00 | 3.91 | 75.0 | 2.93 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00087352911 | INTERNATIONAL GROWTH AND INCOME-A BUY 0 Units @0.0000 | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN ACCOUNT #: 00087403470 | INTERNATIONAL GROWTH AND INCOME-A BUY 0 Units @0.0000 | 0.00 | 3.45 | 0.00 | 3.45 | 75.0 | 2.59 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00088439056 | INTERNATIONAL GROWTH AND INCOME-A BUY 0 Units @0.0000 | 0.00 | 1.67 | 0.00 | 1.67 | 75.0 | 1.25 |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX ACCOUNT #: 00085418120 | INTERNATIONAL GROWTH AND INCOME-C BUY 0 Units @0.0000 | 0.00 | 2.51 | 0.00 | 2.51 | 75.0 | 1.88 |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285 | INTERNATIONAL GROWTH AND INCOME-C BUY 0 Units @0.0000 | 0.00 | 6.52 | 0.00 | 6.52 | 75.0 | 4.89 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @0.0000 | 0.00 | 12.71 | 0.00 | 12.71 | 75.0 | 9.53 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @0.0000 | 0.00 | 23.56 | 0.00 | 23.56 | 75.0 | 17.67 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @0.0000 | 0.00 | 9.21 | 0.00 | 9.21 | 75.0 | 6.91 |
| 09/17/2018 | ***-**-6072 - LASKOWSKY, ANITA ACCOUNT #: 52730385 | JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000 | 0.00 | 9.40 | 0.00 | 9.40 | 75.0 | 7.05 |
| 09/04/2018 | ***-**-633J - CHRISTIANO, ACCOUNT #: 00068639252 | LIMITED TERM TAX- EXEMPT BOND FUND BUY 0 Units @0.0000 | 0.00 | 9.19 | 0.00 | 9.19 | 75.0 | 6.89 |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000 | 0.00 | 13.58 | 0.00 | 13.58 | 75.0 | 10.19 |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000 | 0.00 | 12.69 | 0.00 | 12.69 | 75.0 | 9.52 |
| 09/04/2018 | ***-**-5919 - YEH, CHANG ACCOUNT #: 00068616715 | NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000 | 0.00 | 9.09 | 0.00 | 9.09 | 75.0 | 6.82 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000 | 0.00 | 6.90 | 0.00 | 6.90 | 75.0 | 5.18 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN | NEW PERSPECTIVE FUND-A | 0.00 | 1.96 | 0.00 | 1.96 | 75.0 | 1.47 |
| | ACCOUNT #: 00073063006 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | NEW PERSPECTIVE FUND-A | 0.00 | 6.32 | 0.00 | 6.32 | 75.0 | 4.74 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4540 - NELSON, RORY | NEW PERSPECTIVE FUND-A | 0.00 | 8.14 | 0.00 | 8.14 | 75.0 | 6.11 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | NEW PERSPECTIVE FUND-A | 0.00 | 4.53 | 0.00 | 4.53 | 75.0 | 3.40 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY | NEW PERSPECTIVE FUND-A | 0.00 | 26.99 | 0.00 | 26.99 | 75.0 | 20.24 |
| | ACCOUNT #: 00081494036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | NEW PERSPECTIVE FUND-A | 0.00 | 8.76 | 0.00 | 8.76 | 75.0 | 6.57 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | NEW PERSPECTIVE FUND-A | 0.00 | 4.33 | 0.00 | 4.33 | 75.0 | 3.25 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | NEW PERSPECTIVE FUND-A | 0.00 | 4.17 | 0.00 | 4.17 | 75.0 | 3.13 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | NEW PERSPECTIVE FUND-A | 0.00 | 0.66 | 0.00 | 0.66 | 75.0 | 0.50 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | NEW PERSPECTIVE FUND-A | 0.00 | 4.57 | 0.00 | 4.57 | 75.0 | 3.43 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN | NEW PERSPECTIVE FUND-C | 0.00 | 13.94 | 0.00 | 13.94 | 75.0 | 10.46 |
| | ACCOUNT #: 00086837544 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | NEW PERSPECTIVE FUND-C | 0.00 | 4.20 | 0.00 | 4.20 | 75.0 | 3.15 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | NEW PERSPECTIVE FUND-F1 | 0.00 | 0.39 | 0.00 | 0.39 | 75.0 | 0.29 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | NEW WORLD FUND-A | 0.00 | 15.53 | 0.00 | 15.53 | 75.0 | 11.65 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | NEW WORLD FUND-A | 0.00 | 3.76 | 0.00 | 3.76 | 75.0 | 2.82 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | NEW WORLD FUND-A | 0.00 | 4.41 | 0.00 | 4.41 | 75.0 | 3.31 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|-------------------------------|---------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-5919 - YEH, CHANG | NEW WORLD FUND-A | 0.00 | 8.60 | 0.00 | 8.60 | 75.0 | 6.45 |
| | ACCOUNT #: 00068616715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | NEW WORLD FUND-A | 0.00 | 22.64 | 0.00 | 22.64 | 75.0 | 16.98 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5376 - CARROCK, LOUIS | NEW WORLD FUND-A | 0.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00069250497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2078 - BLAZEK, DENNIS | NEW WORLD FUND-A | 0.00 | 5.61 | 0.00 | 5.61 | 75.0 | 4.21 |
| | ACCOUNT #: 00069255315 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | NEW WORLD FUND-A | 0.00 | 5.88 | 0.00 | 5.88 | 75.0 | 4.41 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN | NEW WORLD FUND-A | 0.00 | 10.61 | 0.00 | 10.61 | 75.0 | 7.96 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | NEW WORLD FUND-A | 0.00 | 4.24 | 0.00 | 4.24 | 75.0 | 3.18 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | NEW WORLD FUND-A | 0.00 | 3.36 | 0.00 | 3.36 | 75.0 | 2.52 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD | NEW WORLD FUND-A | 0.00 | 1.07 | 0.00 | 1.07 | 75.0 | 0.80 |
| | ACCOUNT #: 00081380894 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE | NEW WORLD FUND-A | 0.00 | 1.12 | 0.00 | 1.12 | 75.0 | 0.84 |
| | ACCOUNT #: 00081619958 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | NEW WORLD FUND-A | 0.00 | 7.64 | 0.00 | 7.64 | 75.0 | 5.73 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | NEW WORLD FUND-A | 0.00 | 4.14 | 0.00 | 4.14 | 75.0 | 3.11 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | NEW WORLD FUND-A | 0.00 | 1.39 | 0.00 | 1.39 | 75.0 | 1.04 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | NEW WORLD FUND-A | 0.00 | 0.92 | 0.00 | 0.92 | 75.0 | 0.69 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | NEW WORLD FUND-A | 0.00 | 3.09 | 0.00 | 3.09 | 75.0 | 2.32 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | NEW WORLD FUND-A | 0.00 | 2.78 | 0.00 | 2.78 | 75.0 | 2.09 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|--------------------------------|---------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-84IN - ROBINSON, CHERYL | NEW WORLD FUND-A | 0.00 | 5.04 | 0.00 | 5.04 | 75.0 | 3.78 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3359 - ROBELLARD, | NEW WORLD FUND-A | 0.00 | 1.22 | 0.00 | 1.22 | 75.0 | 0.92 |
| | ACCOUNT #: 00082729631 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL | NEW WORLD FUND-A | 0.00 | 1.83 | 0.00 | 1.83 | 75.0 | 1.37 |
| | ACCOUNT #: 00082857686 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | NEW WORLD FUND-A | 0.00 | 6.16 | 0.00 | 6.16 | 75.0 | 4.62 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1701 - NOFFER, THOMAS | NEW WORLD FUND-A | 0.00 | 1.30 | 0.00 | 1.30 | 75.0 | 0.98 |
| | ACCOUNT #: 00083444381 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | NEW WORLD FUND-A | 0.00 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | NEW WORLD FUND-A | 0.00 | 2.27 | 0.00 | 2.27 | 75.0 | 1.70 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | NEW WORLD FUND-A | 0.00 | 2.01 | 0.00 | 2.01 | 75.0 | 1.51 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | NEW WORLD FUND-A | 0.00 | 3.06 | 0.00 | 3.06 | 75.0 | 2.30 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | NEW WORLD FUND-A | 0.00 | 10.32 | 0.00 | 10.32 | 75.0 | 7.74 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7287 - VINCI, DAVID | NEW WORLD FUND-A | 0.00 | 7.82 | 0.00 | 7.82 | 75.0 | 5.87 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | NEW WORLD FUND-A | 0.00 | 3.40 | 0.00 | 3.40 | 75.0 | 2.55 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | NEW WORLD FUND-A | 0.00 | 1.72 | 0.00 | 1.72 | 75.0 | 1.29 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | NEW WORLD FUND-A | 0.00 | 4.06 | 0.00 | 4.06 | 75.0 | 3.05 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | NEW WORLD FUND-A | 0.00 | 3.74 | 0.00 | 3.74 | 75.0 | 2.81 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | NEW WORLD FUND-A | 0.00 | 4.00 | 0.00 | 4.00 | 75.0 | 3.00 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | NEW WORLD FUND-A | 0.00 | 9.45 | 0.00 | 9.45 | 75.0 | 7.09 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | NEW WORLD FUND-C | 0.00 | 51.07 | 0.00 | 51.07 | 75.0 | 38.30 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | NEW WORLD FUND-C | 0.00 | 6.86 | 0.00 | 6.86 | 75.0 | 5.15 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, | NEW WORLD FUND-C | 0.00 | 8.78 | 0.00 | 8.78 | 75.0 | 6.59 |
| | ACCOUNT #: 00086835048 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN | NEW WORLD FUND-C | 0.00 | 16.46 | 0.00 | 16.46 | 75.0 | 12.35 |
| | ACCOUNT #: 00086837544 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD | NEW WORLD FUND-C | 0.00 | 19.33 | 0.00 | 19.33 | 75.0 | 14.50 |
| | ACCOUNT #: 00087763816 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, | NEW WORLD FUND-C | 0.00 | 18.11 | 0.00 | 18.11 | 75.0 | 13.58 |
| | ACCOUNT #: 00087763847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | NEW WORLD FUND-F1 | 0.00 | 0.36 | 0.00 | 0.36 | 75.0 | 0.27 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | NEW WORLD FUND-F1 | 0.00 | 3.64 | 0.00 | 3.64 | 75.0 | 2.73 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | SHORT-TERM BOND FUND OF AMERICA - A | 0.00 | 2.34 | 0.00 | 2.34 | 75.0 | 1.76 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | SHORT-TERM BOND FUND OF AMERICA - A | 0.00 | 4.59 | 0.00 | 4.59 | 75.0 | 3.44 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7266 - ZUMPARO, ANDREA | SMALLCAP WORLD FUND-529A | 0.00 | 3.61 | 0.00 | 3.61 | 75.0 | 2.71 |
| | ACCOUNT #: 00081727500 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | SMALLCAP WORLD FUND-A | 0.00 | 19.15 | 0.00 | 19.15 | 75.0 | 14.36 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | SMALLCAP WORLD FUND-A | 0.00 | 13.46 | 0.00 | 13.46 | 75.0 | 10.10 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2349 - KULPA, KEITH | SMALLCAP WORLD FUND-A | 0.00 | 6.33 | 0.00 | 6.33 | 75.0 | 4.75 |
| | ACCOUNT #: 00068275136 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6663 - ZOGBY, KAMIL | SMALLCAP WORLD FUND-A | 0.00 | 1.23 | 0.00 | 1.23 | 75.0 | 0.92 |
| | ACCOUNT #: 00068396826 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | SMALLCAP WORLD FUND-A | 0.00 | 4.62 | 0.00 | 4.62 | 75.0 | 3.47 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5919 - YEH, CHANG | SMALLCAP WORLD FUND-A | 0.00 | 12.26 | 0.00 | 12.26 | 75.0 | 9.20 |
| | ACCOUNT #: 00068616715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-633J - CHRISTIANO, | SMALLCAP WORLD FUND-A | 0.00 | 14.35 | 0.00 | 14.35 | 75.0 | 10.76 |
| | ACCOUNT #: 00068639252 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | SMALLCAP WORLD FUND-A | 0.00 | 19.65 | 0.00 | 19.65 | 75.0 | 14.74 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5376 - CARROCK, LOUIS | SMALLCAP WORLD FUND-A | 0.00 | 0.40 | 0.00 | 0.40 | 75.0 | 0.30 |
| | ACCOUNT #: 00069250497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN | SMALLCAP WORLD FUND-A | 0.00 | 2.05 | 0.00 | 2.05 | 75.0 | 1.54 |
| | ACCOUNT #: 00073063006 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | SMALLCAP WORLD FUND-A | 0.00 | 16.31 | 0.00 | 16.31 | 75.0 | 12.23 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN | SMALLCAP WORLD FUND-A | 0.00 | 13.05 | 0.00 | 13.05 | 75.0 | 9.79 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4540 - NELSON, RORY | SMALLCAP WORLD FUND-A | 0.00 | 8.11 | 0.00 | 8.11 | 75.0 | 6.08 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | SMALLCAP WORLD FUND-A | 0.00 | 4.56 | 0.00 | 4.56 | 75.0 | 3.42 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | SMALLCAP WORLD FUND-A | 0.00 | 5.04 | 0.00 | 5.04 | 75.0 | 3.78 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD | SMALLCAP WORLD FUND-A | 0.00 | 4.54 | 0.00 | 4.54 | 75.0 | 3.41 |
| | ACCOUNT #: 00081380894 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY | SMALLCAP WORLD FUND-A | 0.00 | 33.16 | 0.00 | 33.16 | 75.0 | 24.87 |
| | ACCOUNT #: 00081494036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE | SMALLCAP WORLD FUND-A | 0.00 | 2.17 | 0.00 | 2.17 | 75.0 | 1.63 |
| | ACCOUNT #: 00081619958 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | SMALLCAP WORLD FUND-A | 0.00 | 5.13 | 0.00 | 5.13 | 75.0 | 3.85 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA | SMALLCAP WORLD FUND-A | 0.00 | 5.00 | 0.00 | 5.00 | 75.0 | 3.75 |
| | ACCOUNT #: 00081741962 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, ACCOUNT #: 00081866339 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 11.13 | 0.00 | 11.13 | 75.0 | 8.35 |
| 09/04/2018 | ***-**-3560 - MCEVOY, MICHAEL ACCOUNT #: 00081868334 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 1.73 | 0.00 | 1.73 | 75.0 | 1.30 |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY ACCOUNT #: 00081937036 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 7.80 | 0.00 | 7.80 | 75.0 | 5.85 |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN ACCOUNT #: 00082044078 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 8.21 | 0.00 | 8.21 | 75.0 | 6.16 |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 00082276628 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 3.95 | 0.00 | 3.95 | 75.0 | 2.96 |
| 09/04/2018 | ***-**-1973 - MCEVOY, KATHY ACCOUNT #: 00082284150 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 1.17 | 0.00 | 1.17 | 75.0 | 0.88 |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, ACCOUNT #: 00082308736 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 3.06 | 0.00 | 3.06 | 75.0 | 2.30 |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD ACCOUNT #: 00082449672 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 1.79 | 0.00 | 1.79 | 75.0 | 1.34 |
| 09/04/2018 | ***-**-6354 - NICOTERA, ACCOUNT #: 00082525497 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 0.90 | 0.00 | 0.90 | 75.0 | 0.68 |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM ACCOUNT #: 00082559014 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 2.35 | 0.00 | 2.35 | 75.0 | 1.76 |
| 09/04/2018 | ***-**-8441 - SHAW, MARY ACCOUNT #: 00082629278 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 3.97 | 0.00 | 3.97 | 75.0 | 2.98 |
| 09/04/2018 | ***-**-7576 - MATTHEWS, ACCOUNT #: 00082716712 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 5.54 | 0.00 | 5.54 | 75.0 | 4.16 |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL ACCOUNT #: 00082727886 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 7.26 | 0.00 | 7.26 | 75.0 | 5.45 |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL ACCOUNT #: 00082857686 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 2.32 | 0.00 | 2.32 | 75.0 | 1.74 |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN ACCOUNT #: 00083221144 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 9.16 | 0.00 | 9.16 | 75.0 | 6.87 |
| 09/04/2018 | ***-**-1701 - NOFFER, THOMAS ACCOUNT #: 00083444381 | SMALLCAP WORLD FUND-A BUY 0 Units @0.0000 | 0.00 | 0.98 | 0.00 | 0.98 | 75.0 | 0.74 |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|-------------------------------|-----------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | SMALLCAP WORLD FUND-A | 0.00 | 2.63 | 0.00 | 2.63 | 75.0 | 1.97 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | SMALLCAP WORLD FUND-A | 0.00 | 3.53 | 0.00 | 3.53 | 75.0 | 2.65 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | SMALLCAP WORLD FUND-A | 0.00 | 3.15 | 0.00 | 3.15 | 75.0 | 2.36 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | SMALLCAP WORLD FUND-A | 0.00 | 3.35 | 0.00 | 3.35 | 75.0 | 2.51 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | SMALLCAP WORLD FUND-A | 0.00 | 10.21 | 0.00 | 10.21 | 75.0 | 7.66 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7287 - VINCI, DAVID | SMALLCAP WORLD FUND-A | 0.00 | 9.15 | 0.00 | 9.15 | 75.0 | 6.86 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6060 - ROBINSON, | SMALLCAP WORLD FUND-A | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: 00085852774 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | SMALLCAP WORLD FUND-A | 0.00 | 4.24 | 0.00 | 4.24 | 75.0 | 3.18 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | SMALLCAP WORLD FUND-A | 0.00 | 2.08 | 0.00 | 2.08 | 75.0 | 1.56 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | SMALLCAP WORLD FUND-A | 0.00 | 4.28 | 0.00 | 4.28 | 75.0 | 3.21 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | SMALLCAP WORLD FUND-A | 0.00 | 4.64 | 0.00 | 4.64 | 75.0 | 3.48 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | SMALLCAP WORLD FUND-A | 0.00 | 4.39 | 0.00 | 4.39 | 75.0 | 3.29 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | SMALLCAP WORLD FUND-A | 0.00 | 13.32 | 0.00 | 13.32 | 75.0 | 9.99 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0585 - HOLLENBECK, | SMALLCAP WORLD FUND-A | 0.00 | 0.52 | 0.00 | 0.52 | 75.0 | 0.39 |
| | ACCOUNT #: 00088454124 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7851 - SMITH, BRIAN | SMALLCAP WORLD FUND-C | 0.00 | 1.45 | 0.00 | 1.45 | 75.0 | 1.09 |
| | ACCOUNT #: 00073261410 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | SMALLCAP WORLD FUND-C | 0.00 | 68.20 | 0.00 | 68.20 | 75.0 | 51.15 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7894 - BENSON, HEIDI | SMALLCAP WORLD FUND-C | 0.00 | 69.32 | 0.00 | 69.32 | 75.0 | 51.99 |
| | ACCOUNT #: 00084750362 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX | SMALLCAP WORLD FUND-C | 0.00 | 5.09 | 0.00 | 5.09 | 75.0 | 3.82 |
| | ACCOUNT #: 00085418120 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | SMALLCAP WORLD FUND-C | 0.00 | 10.71 | 0.00 | 10.71 | 75.0 | 8.03 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, | SMALLCAP WORLD FUND-C | 0.00 | 12.28 | 0.00 | 12.28 | 75.0 | 9.21 |
| | ACCOUNT #: 00086835048 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN | SMALLCAP WORLD FUND-C | 0.00 | 23.03 | 0.00 | 23.03 | 75.0 | 17.27 |
| | ACCOUNT #: 00086837544 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD | SMALLCAP WORLD FUND-C | 0.00 | 21.43 | 0.00 | 21.43 | 75.0 | 16.07 |
| | ACCOUNT #: 00087763816 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, | SMALLCAP WORLD FUND-C | 0.00 | 20.00 | 0.00 | 20.00 | 75.0 | 15.00 |
| | ACCOUNT #: 00087763847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | SMALLCAP WORLD FUND-C | 0.00 | 8.83 | 0.00 | 8.83 | 75.0 | 6.62 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | SMALLCAP WORLD FUND-F1 | 0.00 | 0.55 | 0.00 | 0.55 | 75.0 | 0.41 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | SMALLCAP WORLD FUND-F1 | 0.00 | 5.28 | 0.00 | 5.28 | 75.0 | 3.96 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7266 - ZUMPARO, ANDREA | THE BOND FUND OF AMERICA-529A | 0.00 | 2.04 | 0.00 | 2.04 | 75.0 | 1.53 |
| | ACCOUNT #: 00081727500 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | THE BOND FUND OF AMERICA-A | 0.00 | 10.52 | 0.00 | 10.52 | 75.0 | 7.89 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | THE BOND FUND OF AMERICA-A | 0.00 | 14.18 | 0.00 | 14.18 | 75.0 | 10.64 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | THE BOND FUND OF AMERICA-A | 0.00 | 4.66 | 0.00 | 4.66 | 75.0 | 3.50 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5919 - YEH, CHANG | THE BOND FUND OF AMERICA-A | 0.00 | 6.86 | 0.00 | 6.86 | 75.0 | 5.15 |
| | ACCOUNT #: 00068616715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | THE BOND FUND OF AMERICA-A | 0.00 | 19.62 | 0.00 | 19.62 | 75.0 | 14.72 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6893 - CONDON, TRACY | THE BOND FUND OF AMERICA-A | 0.00 | 0.50 | 0.00 | 0.50 | 75.0 | 0.38 |
| | ACCOUNT #: 00069059968 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2078 - BLAZEK, DENNIS | THE BOND FUND OF AMERICA-A | 0.00 | 3.24 | 0.00 | 3.24 | 75.0 | 2.43 |
| | ACCOUNT #: 00069255315 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9099 - AUSTIN, RONDA | THE BOND FUND OF AMERICA-A | 0.00 | 0.43 | 0.00 | 0.43 | 75.0 | 0.32 |
| | ACCOUNT #: 00073043547 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN | THE BOND FUND OF AMERICA-A | 0.00 | 0.81 | 0.00 | 0.81 | 75.0 | 0.61 |
| | ACCOUNT #: 00073063006 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | THE BOND FUND OF AMERICA-A | 0.00 | 8.13 | 0.00 | 8.13 | 75.0 | 6.10 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN | THE BOND FUND OF AMERICA-A | 0.00 | 9.59 | 0.00 | 9.59 | 75.0 | 7.19 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | THE BOND FUND OF AMERICA-A | 0.00 | 4.34 | 0.00 | 4.34 | 75.0 | 3.26 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | THE BOND FUND OF AMERICA-A | 0.00 | 2.62 | 0.00 | 2.62 | 75.0 | 1.97 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD | THE BOND FUND OF AMERICA-A | 0.00 | 2.84 | 0.00 | 2.84 | 75.0 | 2.13 |
| | ACCOUNT #: 00081380894 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE | THE BOND FUND OF AMERICA-A | 0.00 | 1.23 | 0.00 | 1.23 | 75.0 | 0.92 |
| | ACCOUNT #: 00081619958 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | THE BOND FUND OF AMERICA-A | 0.00 | 4.01 | 0.00 | 4.01 | 75.0 | 3.01 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA | THE BOND FUND OF AMERICA-A | 0.00 | 9.50 | 0.00 | 9.50 | 75.0 | 7.13 |
| | ACCOUNT #: 00081741962 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | THE BOND FUND OF AMERICA-A | 0.00 | 4.42 | 0.00 | 4.42 | 75.0 | 3.32 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5157 - ADAMS, BARBARA | THE BOND FUND OF AMERICA-A | 0.00 | 0.99 | 0.00 | 0.99 | 75.0 | 0.74 |
| | ACCOUNT #: 00081925599 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY | THE BOND FUND OF AMERICA-A | 0.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| | ACCOUNT #: 00081937036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | THE BOND FUND OF AMERICA-A | 0.00 | 4.14 | 0.00 | 4.14 | 75.0 | 3.11 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | THE BOND FUND OF AMERICA-A | 0.00 | 0.82 | 0.00 | 0.82 | 75.0 | 0.62 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1973 - MCEVOY, KATHY | THE BOND FUND OF AMERICA-A | 0.00 | 0.82 | 0.00 | 0.82 | 75.0 | 0.62 |
| | ACCOUNT #: 00082284150 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | THE BOND FUND OF AMERICA-A | 0.00 | 2.04 | 0.00 | 2.04 | 75.0 | 1.53 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD | THE BOND FUND OF AMERICA-A | 0.00 | 1.18 | 0.00 | 1.18 | 75.0 | 0.89 |
| | ACCOUNT #: 00082449672 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | THE BOND FUND OF AMERICA-A | 0.00 | 0.66 | 0.00 | 0.66 | 75.0 | 0.50 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | THE BOND FUND OF AMERICA-A | 0.00 | 2.05 | 0.00 | 2.05 | 75.0 | 1.54 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | THE BOND FUND OF AMERICA-A | 0.00 | 4.00 | 0.00 | 4.00 | 75.0 | 3.00 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | THE BOND FUND OF AMERICA-A | 0.00 | 4.08 | 0.00 | 4.08 | 75.0 | 3.06 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL | THE BOND FUND OF AMERICA-A | 0.00 | 4.01 | 0.00 | 4.01 | 75.0 | 3.01 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL | THE BOND FUND OF AMERICA-A | 0.00 | 2.06 | 0.00 | 2.06 | 75.0 | 1.55 |
| | ACCOUNT #: 00082857686 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | THE BOND FUND OF AMERICA-A | 0.00 | 13.86 | 0.00 | 13.86 | 75.0 | 10.40 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1701 - NOFFER, THOMAS | THE BOND FUND OF AMERICA-A | 0.00 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00083444381 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | THE BOND FUND OF AMERICA-A | 0.00 | 1.04 | 0.00 | 1.04 | 75.0 | 0.78 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | THE BOND FUND OF AMERICA-A | 0.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | THE BOND FUND OF AMERICA-A | 0.00 | 2.45 | 0.00 | 2.45 | 75.0 | 1.84 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0521 - CRUMRINE, MONICA | THE BOND FUND OF AMERICA-A | 0.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| | ACCOUNT #: 00084226912 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-162J - BORIS, STANLEY | THE BOND FUND OF AMERICA-A | 0.00 | 19.62 | 0.00 | 19.62 | 75.0 | 14.72 |
| | ACCOUNT #: 00084254479 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | THE BOND FUND OF AMERICA-A | 0.00 | 37.51 | 0.00 | 37.51 | 75.0 | 28.13 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7287 - VINCI, DAVID | THE BOND FUND OF AMERICA-A | 0.00 | 4.68 | 0.00 | 4.68 | 75.0 | 3.51 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7706 - DECARR, | THE BOND FUND OF AMERICA-A | 0.00 | 6.27 | 0.00 | 6.27 | 75.0 | 4.70 |
| | ACCOUNT #: 00086438656 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | THE BOND FUND OF AMERICA-A | 0.00 | 1.36 | 0.00 | 1.36 | 75.0 | 1.02 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE BOND FUND OF AMERICA-A | 0.00 | 1.47 | 0.00 | 1.47 | 75.0 | 1.10 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | THE BOND FUND OF AMERICA-A | 0.00 | 3.76 | 0.00 | 3.76 | 75.0 | 2.82 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | THE BOND FUND OF AMERICA-A | 0.00 | 16.08 | 0.00 | 16.08 | 75.0 | 12.06 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | THE BOND FUND OF AMERICA-A | 0.00 | 3.45 | 0.00 | 3.45 | 75.0 | 2.59 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE BOND FUND OF AMERICA-A | 0.00 | 11.95 | 0.00 | 11.95 | 75.0 | 8.96 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | THE BOND FUND OF AMERICA-A | 0.00 | 4.55 | 0.00 | 4.55 | 75.0 | 3.41 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, | THE BOND FUND OF AMERICA-C | 0.00 | 17.81 | 0.00 | 17.81 | 75.0 | 13.36 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | THE BOND FUND OF AMERICA-C | 0.00 | 33.03 | 0.00 | 33.03 | 75.0 | 24.77 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7894 - BENSON, HEIDI | THE BOND FUND OF AMERICA-C | 0.00 | 38.39 | 0.00 | 38.39 | 75.0 | 28.79 |
| | ACCOUNT #: 00084750362 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX | THE BOND FUND OF AMERICA-C | 0.00 | 2.47 | 0.00 | 2.47 | 75.0 | 1.85 |
| | ACCOUNT #: 00085418120 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | THE BOND FUND OF AMERICA-C | 0.00 | 5.98 | 0.00 | 5.98 | 75.0 | 4.49 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|---------------------------------------------------------|--------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | THE BOND FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 27.97 | 0.00 | 27.97 | 75.0 | 20.98 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | THE BOND FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 30.30 | 0.00 | 30.30 | 75.0 | 22.73 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | THE BOND FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 15.70 | 0.00 | 15.70 | 75.0 | 11.78 |
| 09/04/2018 | ***-**-6187 - PIERCE, ACCOUNT #: 00087763847 | THE BOND FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 29.33 | 0.00 | 29.33 | 75.0 | 22.00 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | THE BOND FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 9.00 | 0.00 | 9.00 | 75.0 | 6.75 |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | THE BOND FUND OF AMERICA-F1 BUY 0 Units @0.0000 | 0.00 | 0.09 | 0.00 | 0.09 | 75.0 | 0.07 |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | THE BOND FUND OF AMERICA-F1 BUY 0 Units @0.0000 | 0.00 | 0.26 | 0.00 | 0.26 | 75.0 | 0.20 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | THE BOND FUND OF AMERICA-F1 BUY 0 Units @0.0000 | 0.00 | 5.26 | 0.00 | 5.26 | 75.0 | 3.95 |
| 09/04/2018 | ***-**-7266 - ZUMPANO, ANDREA ACCOUNT #: 00081727500 | THE GROWTH FUND OF AMERICA-529A BUY 0 Units @0.0000 | 0.00 | 3.99 | 0.00 | 3.99 | 75.0 | 2.99 |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD ACCOUNT #: 00063488673 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 15.88 | 0.00 | 15.88 | 75.0 | 11.91 |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY ACCOUNT #: 00063488674 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 11.31 | 0.00 | 11.31 | 75.0 | 8.48 |
| 09/04/2018 | ***-**-2349 - KULPA, KEITH ACCOUNT #: 00068275136 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 9.39 | 0.00 | 9.39 | 75.0 | 7.04 |
| 09/04/2018 | ***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 00068396826 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 2.76 | 0.00 | 2.76 | 75.0 | 2.07 |
| 09/04/2018 | ***-**-3335 - YEH, WHI ACCOUNT #: 00068616678 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 8.65 | 0.00 | 8.65 | 75.0 | 6.49 |
| 09/04/2018 | ***-**-5919 - YEH, CHANG ACCOUNT #: 00068616715 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 19.02 | 0.00 | 19.02 | 75.0 | 14.27 |
| 09/04/2018 | ***-**-633J - CHRISTIANO, ACCOUNT #: 00068639252 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 14.51 | 0.00 | 14.51 | 75.0 | 10.88 |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | THE GROWTH FUND OF AMERICA-A | 0.00 | 36.05 | 0.00 | 36.05 | 75.0 | 27.04 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6893 - CONDON, TRACY | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.35 | 0.00 | 1.35 | 75.0 | 1.01 |
| | ACCOUNT #: 00069059968 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2078 - BLAZEK, DENNIS | THE GROWTH FUND OF AMERICA-A | 0.00 | 12.41 | 0.00 | 12.41 | 75.0 | 9.31 |
| | ACCOUNT #: 00069255315 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9099 - AUSTIN, RONDA | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.86 | 0.00 | 2.86 | 75.0 | 2.15 |
| | ACCOUNT #: 00073043547 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.14 | 0.00 | 4.14 | 75.0 | 3.11 |
| | ACCOUNT #: 00073063006 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.69 | 0.00 | 16.69 | 75.0 | 12.52 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 17.13 | 0.00 | 17.13 | 75.0 | 12.85 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9027 - NELSON, | THE GROWTH FUND OF AMERICA-A | 0.00 | 15.95 | 0.00 | 15.95 | 75.0 | 11.96 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.63 | 0.00 | 4.63 | 75.0 | 3.47 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.93 | 0.00 | 5.93 | 75.0 | 4.45 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY | THE GROWTH FUND OF AMERICA-A | 0.00 | 107.00 | 0.00 | 107.00 | 75.0 | 80.25 |
| | ACCOUNT #: 00081494036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.52 | 0.00 | 2.52 | 75.0 | 1.89 |
| | ACCOUNT #: 00081619958 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.93 | 0.00 | 5.93 | 75.0 | 4.45 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA | THE GROWTH FUND OF AMERICA-A | 0.00 | 38.96 | 0.00 | 38.96 | 75.0 | 29.22 |
| | ACCOUNT #: 00081741962 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3503 - SPINELLA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.79 | 0.00 | 16.79 | 75.0 | 12.59 |
| | ACCOUNT #: 00081866339 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3560 - MCEVOY, MICHAEL | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.89 | 0.00 | 1.89 | 75.0 | 1.42 |
| | ACCOUNT #: 00081868334 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.58 | 0.00 | 16.58 | 75.0 | 12.44 |
| | ACCOUNT #: 00081937036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 9.82 | 0.00 | 9.82 | 75.0 | 7.37 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.46 | 0.00 | 5.46 | 75.0 | 4.10 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1973 - MCEVOY, KATHY | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: 00082284150 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.41 | 0.00 | 3.41 | 75.0 | 2.56 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.08 | 0.00 | 2.08 | 75.0 | 1.56 |
| | ACCOUNT #: 00082449672 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.56 | 0.00 | 2.56 | 75.0 | 1.92 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.98 | 0.00 | 2.98 | 75.0 | 2.24 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.07 | 0.00 | 5.07 | 75.0 | 3.80 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4913 - ELSENBECK, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.44 | 0.00 | 2.44 | 75.0 | 1.83 |
| | ACCOUNT #: 00082651124 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.91 | 0.00 | 6.91 | 75.0 | 5.18 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.49 | 0.00 | 7.49 | 75.0 | 5.62 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1595 - FERRONE, PAUL | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.70 | 0.00 | 2.70 | 75.0 | 2.03 |
| | ACCOUNT #: 00082857686 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.31 | 0.00 | 8.31 | 75.0 | 6.23 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1701 - NOFFER, THOMAS | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.68 | 0.00 | 8.68 | 75.0 | 6.51 |
| | ACCOUNT #: 00083444381 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3123 - BALIO, SHAWN | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.06 | 0.00 | 3.06 | 75.0 | 2.30 |
| | ACCOUNT #: 00083684779 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.85 | 0.00 | 4.85 | 75.0 | 3.64 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.62 | 0.00 | 3.62 | 75.0 | 2.72 |
| | ACCOUNT #: 00083705143 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.31 | 0.00 | 4.31 | 75.0 | 3.23 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0521 - CRUMRINE, MONICA | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.93 | 0.00 | 7.93 | 75.0 | 5.95 |
| | ACCOUNT #: 00084226912 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.46 | 0.00 | 16.46 | 75.0 | 12.35 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7287 - VINCI, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.18 | 0.00 | 10.18 | 75.0 | 7.64 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6060 - ROBINSON, | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.59 | 0.00 | 5.59 | 75.0 | 4.19 |
| | ACCOUNT #: 00085852774 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7706 - DECARR, | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.91 | 0.00 | 5.91 | 75.0 | 4.43 |
| | ACCOUNT #: 00086438656 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.07 | 0.00 | 5.07 | 75.0 | 3.80 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.37 | 0.00 | 2.37 | 75.0 | 1.78 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.31 | 0.00 | 3.31 | 75.0 | 2.48 |
| | ACCOUNT #: 00087403470 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.12 | 0.00 | 5.12 | 75.0 | 3.84 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.61 | 0.00 | 4.61 | 75.0 | 3.46 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 9.11 | 0.00 | 9.11 | 75.0 | 6.83 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7851 - SMITH, BRIAN | THE GROWTH FUND OF AMERICA-C | 0.00 | 1.68 | 0.00 | 1.68 | 75.0 | 1.26 |
| | ACCOUNT #: 00073261410 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2354 - PENDOLF, NANCY | THE GROWTH FUND OF AMERICA-C | 0.00 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 34.09 | 0.00 | 34.09 | 75.0 | 25.57 |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 64.82 | 0.00 | 64.82 | 75.0 | 48.62 |
| 09/04/2018 | ***-**-7894 - BENSON, HEIDI ACCOUNT #: 00084750362 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 82.34 | 0.00 | 82.34 | 75.0 | 61.76 |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX ACCOUNT #: 00085418120 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 6.25 | 0.00 | 6.25 | 75.0 | 4.69 |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 12.07 | 0.00 | 12.07 | 75.0 | 9.05 |
| 09/04/2018 | ***-**-2221 - SKARADEK, ACCOUNT #: 00086835048 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 14.81 | 0.00 | 14.81 | 75.0 | 11.11 |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00086837544 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 27.76 | 0.00 | 27.76 | 75.0 | 20.82 |
| 09/04/2018 | ***-**-1475 - PIERCE, RICHARD ACCOUNT #: 00087763816 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 23.13 | 0.00 | 23.13 | 75.0 | 17.35 |
| 09/04/2018 | ***-**-6187 - PIERCE, ACCOUNT #: 00087763847 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 21.69 | 0.00 | 21.69 | 75.0 | 16.27 |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE ACCOUNT #: 00088371577 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000 | 0.00 | 10.38 | 0.00 | 10.38 | 75.0 | 7.79 |
| 09/04/2018 | ***-**-7266 - ZUMPAO, ANDREA ACCOUNT #: 00081727500 | THE INCOME FUND OF AMERICA-529A BUY 0 Units @0.0000 | 0.00 | 2.94 | 0.00 | 2.94 | 75.0 | 2.21 |
| 09/04/2018 | ***-**-2349 - KULPA, KEITH ACCOUNT #: 00068275136 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| 09/04/2018 | ***-**-33IN - CHRISTIANO, ACCOUNT #: 00068289183 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.41 | 0.00 | 1.41 | 75.0 | 1.06 |
| 09/04/2018 | ***-**-5919 - YEH, CHANG ACCOUNT #: 00068616715 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 10.92 | 0.00 | 10.92 | 75.0 | 8.19 |
| 09/04/2018 | ***-**-633J - CHRISTIANO, ACCOUNT #: 00068639252 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 19.76 | 0.00 | 19.76 | 75.0 | 14.82 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 8.52 | 0.00 | 8.52 | 75.0 | 6.39 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-6893 - CONDON, TRACY | THE INCOME FUND OF AMERICA-A | 0.00 | 0.92 | 0.00 | 0.92 | 75.0 | 0.69 |
| | ACCOUNT #: 00069059968 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2078 - BLAZEK, DENNIS | THE INCOME FUND OF AMERICA-A | 0.00 | 5.79 | 0.00 | 5.79 | 75.0 | 4.34 |
| | ACCOUNT #: 00069255315 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN | THE INCOME FUND OF AMERICA-A | 0.00 | 2.86 | 0.00 | 2.86 | 75.0 | 2.15 |
| | ACCOUNT #: 00073063006 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE | THE INCOME FUND OF AMERICA-A | 0.00 | 11.88 | 0.00 | 11.88 | 75.0 | 8.91 |
| | ACCOUNT #: 00073366696 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-381I - ZARNOCK, MARTIN | THE INCOME FUND OF AMERICA-A | 0.00 | 12.73 | 0.00 | 12.73 | 75.0 | 9.55 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9027 - NELSON, | THE INCOME FUND OF AMERICA-A | 0.00 | 11.85 | 0.00 | 11.85 | 75.0 | 8.89 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL | THE INCOME FUND OF AMERICA-A | 0.00 | 4.55 | 0.00 | 4.55 | 75.0 | 3.41 |
| | ACCOUNT #: 00073848770 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | THE INCOME FUND OF AMERICA-A | 0.00 | 2.18 | 0.00 | 2.18 | 75.0 | 1.64 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD | THE INCOME FUND OF AMERICA-A | 0.00 | 2.65 | 0.00 | 2.65 | 75.0 | 1.99 |
| | ACCOUNT #: 00081380894 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY | THE INCOME FUND OF AMERICA-A | 0.00 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00081494036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE | THE INCOME FUND OF AMERICA-A | 0.00 | 2.38 | 0.00 | 2.38 | 75.0 | 1.79 |
| | ACCOUNT #: 00081619958 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD | THE INCOME FUND OF AMERICA-A | 0.00 | 12.02 | 0.00 | 12.02 | 75.0 | 9.02 |
| | ACCOUNT #: 00081650302 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA | THE INCOME FUND OF AMERICA-A | 0.00 | 8.18 | 0.00 | 8.18 | 75.0 | 6.14 |
| | ACCOUNT #: 00081741962 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY | THE INCOME FUND OF AMERICA-A | 0.00 | 1.75 | 0.00 | 1.75 | 75.0 | 1.31 |
| | ACCOUNT #: 00081937036 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | THE INCOME FUND OF AMERICA-A | 0.00 | 9.83 | 0.00 | 9.83 | 75.0 | 7.37 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | THE INCOME FUND OF AMERICA-A | 0.00 | 2.13 | 0.00 | 2.13 | 75.0 | 1.60 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|-------------------------------|-------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-5513 - KUPIEC, RICHARD | THE INCOME FUND OF AMERICA-A | 0.00 | 1.07 | 0.00 | 1.07 | 75.0 | 0.80 |
| | ACCOUNT #: 00082449672 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | THE INCOME FUND OF AMERICA-A | 0.00 | 0.53 | 0.00 | 0.53 | 75.0 | 0.40 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | THE INCOME FUND OF AMERICA-A | 0.00 | 1.62 | 0.00 | 1.62 | 75.0 | 1.22 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8441 - SHAW, MARY | THE INCOME FUND OF AMERICA-A | 0.00 | 2.63 | 0.00 | 2.63 | 75.0 | 1.97 |
| | ACCOUNT #: 00082629278 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | THE INCOME FUND OF AMERICA-A | 0.00 | 5.92 | 0.00 | 5.92 | 75.0 | 4.44 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | THE INCOME FUND OF AMERICA-A | 0.00 | 11.51 | 0.00 | 11.51 | 75.0 | 8.63 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | THE INCOME FUND OF AMERICA-A | 0.00 | 0.72 | 0.00 | 0.72 | 75.0 | 0.54 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-162J - BORIS, STANLEY | THE INCOME FUND OF AMERICA-A | 0.00 | 31.73 | 0.00 | 31.73 | 75.0 | 23.80 |
| | ACCOUNT #: 00084254479 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | THE INCOME FUND OF AMERICA-A | 0.00 | 17.04 | 0.00 | 17.04 | 75.0 | 12.78 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7706 - DECARR, | THE INCOME FUND OF AMERICA-A | 0.00 | 5.17 | 0.00 | 5.17 | 75.0 | 3.88 |
| | ACCOUNT #: 00086438656 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | THE INCOME FUND OF AMERICA-A | 0.00 | 11.64 | 0.00 | 11.64 | 75.0 | 8.73 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE INCOME FUND OF AMERICA-A | 0.00 | 12.24 | 0.00 | 12.24 | 75.0 | 9.18 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0585 - HOLLENBECK, | THE INCOME FUND OF AMERICA-A | 0.00 | 0.45 | 0.00 | 0.45 | 75.0 | 0.34 |
| | ACCOUNT #: 00088454124 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN | THE INCOME FUND OF AMERICA-A | 0.00 | 1.54 | 0.00 | 1.54 | 75.0 | 1.16 |
| | ACCOUNT #: 00089491976 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | THE INCOME FUND OF AMERICA-F1 | 0.00 | 0.45 | 0.00 | 0.45 | 75.0 | 0.34 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | THE INCOME FUND OF AMERICA-F1 | 0.00 | 3.13 | 0.00 | 3.13 | 75.0 | 2.35 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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JEFFREY HANRETTY
8365 Seneca Turnpike
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|--------------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-5831 - WENGERT, ACCOUNT #: 00060790818 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 16.93 | 0.00 | 16.93 | 75.0 | 12.70 |
| 09/04/2018 | ***-**-3335 - YEH, WHI ACCOUNT #: 00068616678 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 10.66 | 0.00 | 10.66 | 75.0 | 8.00 |
| 09/04/2018 | ***-**-633J - CHRISTIANO, ACCOUNT #: 00068639252 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 21.71 | 0.00 | 21.71 | 75.0 | 16.28 |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 12.82 | 0.00 | 12.82 | 75.0 | 9.62 |
| 09/04/2018 | ***-**-6893 - CONDON, TRACY ACCOUNT #: 00069059968 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.86 | 0.00 | 0.86 | 75.0 | 0.65 |
| 09/04/2018 | ***-**-2078 - BLAZEK, DENNIS ACCOUNT #: 00069255315 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 8.18 | 0.00 | 8.18 | 75.0 | 6.14 |
| 09/04/2018 | ***-**-9099 - AUSTIN, RONDA ACCOUNT #: 00073043547 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 2.94 | 0.00 | 2.94 | 75.0 | 2.21 |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN ACCOUNT #: 00073063006 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 3.14 | 0.00 | 3.14 | 75.0 | 2.36 |
| 09/04/2018 | ***-**-3538 - CARROLL, LESLIE ACCOUNT #: 00073366696 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 13.53 | 0.00 | 13.53 | 75.0 | 10.15 |
| 09/04/2018 | ***-**-6906 - ROMANO, PAUL ACCOUNT #: 00073848770 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 4.52 | 0.00 | 4.52 | 75.0 | 3.39 |
| 09/04/2018 | ***-**-8166 - SUYDAM, JERRY ACCOUNT #: 00081494036 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 43.48 | 0.00 | 43.48 | 75.0 | 32.61 |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE ACCOUNT #: 00081619958 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.27 | 0.00 | 1.27 | 75.0 | 0.95 |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD ACCOUNT #: 00081650302 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 7.13 | 0.00 | 7.13 | 75.0 | 5.35 |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA ACCOUNT #: 00081741962 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 8.66 | 0.00 | 8.66 | 75.0 | 6.50 |
| 09/04/2018 | ***-**-3503 - SPINELLA, ACCOUNT #: 00081866339 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 13.26 | 0.00 | 13.26 | 75.0 | 9.95 |
| 09/04/2018 | ***-**-7162 - BORIS, STANLEY ACCOUNT #: 00081937036 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.60 | 0.00 | 1.60 | 75.0 | 1.20 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 6.93 | 0.00 | 6.93 | 75.0 | 5.20 |
| | ACCOUNT #: 00082044078 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3720 - MARCOCCIA, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.77 | 0.00 | 1.77 | 75.0 | 1.33 |
| | ACCOUNT #: 00082308736 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6354 - NICOTERA, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.51 | 0.00 | 0.51 | 75.0 | 0.38 |
| | ACCOUNT #: 00082525497 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1346 - LINKE, WILLIAM | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.14 | 0.00 | 1.14 | 75.0 | 0.86 |
| | ACCOUNT #: 00082559014 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7576 - MATTHEWS, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.96 | 0.00 | 3.96 | 75.0 | 2.97 |
| | ACCOUNT #: 00082716712 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-84IN - ROBINSON, CHERYL | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 6.47 | 0.00 | 6.47 | 75.0 | 4.85 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0949 - WEAVER, WILLIAM | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 2.76 | 0.00 | 2.76 | 75.0 | 2.07 |
| | ACCOUNT #: 00084071170 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 6.81 | 0.00 | 6.81 | 75.0 | 5.11 |
| | ACCOUNT #: 00088252231 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.93 | 0.00 | 3.93 | 75.0 | 2.95 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0585 - HOLLENBECK, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.51 | 0.00 | 0.51 | 75.0 | 0.38 |
| | ACCOUNT #: 00088454124 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-1445 - CRUMB, DIANE | THE INVESTMENT COMPANY OF AMERICA-F | 0.00 | 8.66 | 0.00 | 8.66 | 75.0 | 6.50 |
| | ACCOUNT #: 00088371577 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | THE NEW ECONOMY FUND-A | 0.00 | 24.62 | 0.00 | 24.62 | 75.0 | 18.47 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | THE NEW ECONOMY FUND-A | 0.00 | 22.58 | 0.00 | 22.58 | 75.0 | 16.94 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2009 - CHRISTIANO, | THE NEW ECONOMY FUND-A | 0.00 | 2.07 | 0.00 | 2.07 | 75.0 | 1.55 |
| | ACCOUNT #: 00068289182 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-33IN - CHRISTIANO, | THE NEW ECONOMY FUND-A | 0.00 | 1.81 | 0.00 | 1.81 | 75.0 | 1.36 |
| | ACCOUNT #: 00068289183 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3335 - YEH, WHI | THE NEW ECONOMY FUND-A | 0.00 | 11.85 | 0.00 | 11.85 | 75.0 | 8.89 |
| | ACCOUNT #: 00068616678 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-9311 - YOZZO, JOSEPH | THE NEW ECONOMY FUND-A | 0.00 | 9.25 | 0.00 | 9.25 | 75.0 | 6.94 |
| | ACCOUNT #: 00068838818 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7345 - NOLE, KAREN | THE NEW ECONOMY FUND-A | 0.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| | ACCOUNT #: 00073063006 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9871 - LACOVISSI, | THE NEW ECONOMY FUND-A | 0.00 | 4.02 | 0.00 | 4.02 | 75.0 | 3.02 |
| | ACCOUNT #: 00075995210 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9137 - YOZZO, JOSEPH | THE NEW ECONOMY FUND-A | 0.00 | 5.04 | 0.00 | 5.04 | 75.0 | 3.78 |
| | ACCOUNT #: 00082276628 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-841N - ROBINSON, CHERYL | THE NEW ECONOMY FUND-A | 0.00 | 7.88 | 0.00 | 7.88 | 75.0 | 5.91 |
| | ACCOUNT #: 00082727886 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN | THE NEW ECONOMY FUND-A | 0.00 | 8.37 | 0.00 | 8.37 | 75.0 | 6.28 |
| | ACCOUNT #: 00083221144 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-0532 - GUARDI, MAX | THE NEW ECONOMY FUND-A | 0.00 | 0.98 | 0.00 | 0.98 | 75.0 | 0.74 |
| | ACCOUNT #: 00085418120 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-4301 - GUARNIERI, | THE NEW ECONOMY FUND-A | 0.00 | 3.20 | 0.00 | 3.20 | 75.0 | 2.40 |
| | ACCOUNT #: 00085628463 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7478 - FEMIA, NATE | THE NEW ECONOMY FUND-A | 0.00 | 2.26 | 0.00 | 2.26 | 75.0 | 1.70 |
| | ACCOUNT #: 00087227295 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE NEW ECONOMY FUND-A | 0.00 | 2.26 | 0.00 | 2.26 | 75.0 | 1.70 |
| | ACCOUNT #: 00087352911 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2771 - CESARE, CYNTHIA | THE NEW ECONOMY FUND-A | 0.00 | 4.62 | 0.00 | 4.62 | 75.0 | 3.47 |
| | ACCOUNT #: 00088331327 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | THE NEW ECONOMY FUND-A | 0.00 | 5.71 | 0.00 | 5.71 | 75.0 | 4.28 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7851 - SMITH, BRIAN | THE NEW ECONOMY FUND-C | 0.00 | 1.76 | 0.00 | 1.76 | 75.0 | 1.32 |
| | ACCOUNT #: 00073261410 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | U.S GOVERNMENT SECURITIES FND-F1 | 0.00 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | U.S. GOVERNMENT SECURITIES FUND CLA | 0.00 | 7.59 | 0.00 | 7.59 | 75.0 | 5.69 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | U.S. GOVERNMENT SECURITIES FUND CLA | 0.00 | 11.24 | 0.00 | 11.24 | 75.0 | 8.43 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-2009 - CHRISTIANO, ACCOUNT #: 00068289182 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 0.80 | 0.00 | 0.80 | 75.0 | 0.60 |
| 09/04/2018 | ***-**-33IN - CHRISTIANO, ACCOUNT #: 00068289183 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 1.48 | 0.00 | 1.48 | 75.0 | 1.11 |
| 09/04/2018 | ***-**-633J - CHRISTIANO, ACCOUNT #: 00068639252 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 9.23 | 0.00 | 9.23 | 75.0 | 6.92 |
| 09/04/2018 | ***-**-1489 - MARR, RICHARD ACCOUNT #: 00081380894 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 2.13 | 0.00 | 2.13 | 75.0 | 1.60 |
| 09/04/2018 | ***-**-4459 - CRAFT, ROSEANNE ACCOUNT #: 00081619958 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| 09/04/2018 | ***-**-2451 - DECARR, EDWARD ACCOUNT #: 00081650302 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 2.96 | 0.00 | 2.96 | 75.0 | 2.22 |
| 09/04/2018 | ***-**-1237 - STORM, PRISCILLA ACCOUNT #: 00081741962 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 10.01 | 0.00 | 10.01 | 75.0 | 7.51 |
| 09/04/2018 | ***-**-995J - ADAMS, JOHN ACCOUNT #: 00082044078 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 4.14 | 0.00 | 4.14 | 75.0 | 3.11 |
| 09/04/2018 | ***-**-7576 - MATTHEWS, ACCOUNT #: 00082716712 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 0.45 | 0.00 | 0.45 | 75.0 | 0.34 |
| 09/04/2018 | ***-**-3164 - SKARADEK, KAREN ACCOUNT #: 00083221144 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 13.95 | 0.00 | 13.95 | 75.0 | 10.46 |
| 09/04/2018 | ***-**-2713 - KAPRAL, RICHARD ACCOUNT #: 00083705143 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 1.98 | 0.00 | 1.98 | 75.0 | 1.49 |
| 09/04/2018 | ***-**-4301 - GUARNIERI, ACCOUNT #: 00085628463 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 35.25 | 0.00 | 35.25 | 75.0 | 26.44 |
| 09/04/2018 | ***-**-9398 - BRENNAN, ROBIN ACCOUNT #: 00087403470 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 3.15 | 0.00 | 3.15 | 75.0 | 2.36 |
| 09/04/2018 | ***-**-8670 - EDWARDS, SUSAN ACCOUNT #: 00088252231 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 17.32 | 0.00 | 17.32 | 75.0 | 12.99 |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN ACCOUNT #: 00088439056 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 11.44 | 0.00 | 11.44 | 75.0 | 8.58 |
| 09/04/2018 | ***-**-5943 - CORR, KATHLEEN ACCOUNT #: 00089491976 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 4.50 | 0.00 | 4.50 | 75.0 | 3.38 |



COMMISSION STATEMENT

September 17, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|-------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 09/04/2018 | ***-**-3569 - YOZZO, ROSA | U.S. GOVERNMENT SECURITIES FUND-C | 0.00 | 27.78 | 0.00 | 27.78 | 75.0 | 20.84 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6130 - SCHOEN, THOMAS | U.S. GOVERNMENT SECURITIES FUND-C | 0.00 | 4.83 | 0.00 | 4.83 | 75.0 | 3.62 |
| | ACCOUNT #: 00086062285 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-2221 - SKARADEK, | U.S. GOVERNMENT SECURITIES FUND-C | 0.00 | 26.50 | 0.00 | 26.50 | 75.0 | 19.88 |
| | ACCOUNT #: 00086835048 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7778 - SKARADEK, ERIN | U.S. GOVERNMENT SECURITIES FUND-C | 0.00 | 28.71 | 0.00 | 28.71 | 75.0 | 21.53 |
| | ACCOUNT #: 00086837544 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, | U.S. GOVERNMENT SECURITIES FUND-C | 0.00 | 14.29 | 0.00 | 14.29 | 75.0 | 10.72 |
| | ACCOUNT #: 00087763847 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7671 - REILLY, RICHARD | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 16.76 | 0.00 | 16.76 | 75.0 | 12.57 |
| | ACCOUNT #: 00063488673 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-8307 - REILLY, KATHY | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 12.36 | 0.00 | 12.36 | 75.0 | 9.27 |
| | ACCOUNT #: 00063488674 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-5919 - YEH, CHANG | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 11.69 | 0.00 | 11.69 | 75.0 | 8.77 |
| | ACCOUNT #: 00068616715 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-9027 - NELSON, | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 14.03 | 0.00 | 14.03 | 75.0 | 10.52 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-7079 - VERENTI, DEANA | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 1.48 | 0.00 | 1.48 | 75.0 | 1.11 |
| | ACCOUNT #: 00083685230 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-3771 - KENNELTY, SEAN | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 4.23 | 0.00 | 4.23 | 75.0 | 3.17 |
| | ACCOUNT #: 00088439056 | BUY 0 Units @0.0000 | | | | | | |
| 09/04/2018 | ***-**-6187 - PIERCE, | WASHINGTON MUTUAL INVESTORS FUND-C | 0.00 | 19.46 | 0.00 | 19.46 | 75.0 | 14.60 |
| | ACCOUNT #: 00087763847 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$6,574.51 | \$0.00 | \$6,574.51 | | \$4,931.87 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$15,260.67 | \$6,662.03 | \$34.94 | \$6,627.09 | | \$4,962.69 |



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$4,962.69 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$79.03 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$5,041.72 |
| | | | | | | Year-to-date Earnings | | \$62,788.40 |