

# COMMISSION STATEMENT

August 31, 2018

 DREW NIXON-D350  
 1509 West Panola

 BROKER #: D350  
 BRANCH: DMV

Carthage, TX 75633

## COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

08/06/2018 677279852	SCOTT, TRACIE	MF FRK CA TF INC FND - CL A	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-4,997.55	\$0.00	\$5.00	\$-5.00		\$-4.50

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	3.84	0.00	3.84	90.0	3.46
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	18.53	0.00	18.53	90.0	16.68
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	8.00	0.00	8.00	90.0	7.20
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	8.00	0.00	8.00	90.0	7.20
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	15.10	0.00	15.10	90.0	13.59
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.72	0.00	18.72	90.0	16.85
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	19.06	0.00	19.06	90.0	17.15
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.57	0.00	0.57	90.0	0.51
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	21.24	0.00	21.24	90.0	19.12
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	1.22	0.00	1.22	90.0	1.10
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	2.23	0.00	2.23	90.0	2.01
08/21/2018 mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	1.62	0.00	1.62	90.0	1.46
08/21/2018 mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.46	0.00	0.46	90.0	0.41
08/21/2018 mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.98	0.00	0.98	90.0	0.88
08/21/2018 mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	2.03	0.00	2.03	90.0	1.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$121.60	\$0.00	\$121.60		\$109.45
*** CLEARING TRANSACTIONS TOTAL ***				\$-4,997.55	\$121.60	\$5.00	\$116.60		\$104.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/20/2018	000000002350888	BAGLECASE	MF FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	28.00	0.00	28.00	90.0	25.20
08/20/2018	000000002350888	BAGLECASE	MF FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	8.40	0.00	8.40	90.0	7.56
08/20/2018	000000002350938	SHARPBRANP	MF FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	22.40	0.00	22.40	90.0	20.16
08/20/2018	000000002350938	SHARPBRANP	MF FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	6.72	0.00	6.72	90.0	6.05
08/20/2018	000000002356588	BAGLERICK	MF FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	16.00	0.00	16.00	90.0	14.40
08/20/2018	000000002356588	BAGLERICK	MF FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	4.80	0.00	4.80	90.0	4.32
08/20/2018	000000005944703	BAGLEBEAT	MF FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	0.80	0.00	0.80	90.0	0.72
08/20/2018	000000005944703	BAGLEBEAT	MF FRK TEMP FOUNDING FUNDS ALLOC	B	12.00	0.24	0.00	0.24	90.0	0.22
08/20/2018	000000006153163	GARCIJUAN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	357.60	17.88	0.00	17.88	90.0	16.09
08/20/2018	000000006153163	GARCIJUAN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	107.28	5.36	0.00	5.36	90.0	4.82
* MUTUAL FUNDS SUBTOTAL *					\$3,896.88	\$110.60	\$0.00	\$110.60		\$99.54
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/31/2018	(None)	NIXON, VARIOUS	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	102.84	0.00	102.84	90.0	92.56
07/31/2018	(None)	NIXON, VARIOUS	MFT LORD ABBETT	B	0.00	8.58	0.00	8.58	90.0	7.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$111.42	\$0.00	\$111.42		\$100.28
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
08/13/2018	(None)	GRIFFIN, WAYNE	VAT AMERICAN GENERAL	B	0.00	50.18	0.00	50.18	90.0	45.16
08/15/2018	(None)	KELLEY, STEPHEN	VAT AMERICAN GENERAL	B	0.00	36.00	0.00	36.00	90.0	32.40
08/16/2018	(None)	MAURITZEN, JACK	VAT AMERICAN GENERAL	B	0.00	18.20	0.00	18.20	90.0	16.38
08/16/2018	(None)	BELL, NERINA	VAT AMERICAN GENERAL	B	0.00	1.07	0.00	1.07	90.0	0.96
08/20/2018	(None)	WILLIAMS, TERR	VAT AMERICAN GENERAL	B	0.00	30.51	0.00	30.51	90.0	27.46
08/21/2018	(None)	CARTER, STEVE	VAT AMERICAN GENERAL	B	0.00	352.06	0.00	352.06	90.0	316.85
08/24/2018	(None)	COOPER, TINA	VAT AMERICAN GENERAL	B	0.00	73.43	0.00	73.43	90.0	66.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$561.45	\$0.00	\$561.45		\$505.30
*** DIRECT TRANSACTIONS TOTAL ***					\$3,896.88	\$783.47	\$0.00	\$783.47		\$705.12

OVERRIDE TRANSACTIONS**BROKER: JIM HUGHES-D349 #138**

08/01/2018	(None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	47.36	0.00	47.36		4.74
08/24/2018	(None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	33.95	0.00	33.95		3.40
08/10/2018	00712821077	LOVELADY, JERRY	VAT HARTFORD LIFE	B	0.00	63.50	0.00	63.50		6.35
08/10/2018	00712821077	ESTER, NORMAN	VAT HARTFORD LIFE	B	0.00	24.80	0.00	24.80		2.48
08/20/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.28	0.00	3.28		0.33
08/20/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.56	0.00	2.56		0.26
08/20/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	7.53	0.00	7.53		0.75
08/20/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.68	0.00	2.68		0.27

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08/20/2018	VM16000385 CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.95	0.00	1.95		0.20
08/20/2018	VM16000393 DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.13	0.00	2.13		0.21
08/20/2018	VM16005144 BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.37	0.00	9.37		0.94
* JIM HUGHES-D349 SUBTOTAL *				<u>\$0.00</u>	<u>\$199.11</u>	<u>\$0.00</u>	<u>\$199.11</u>		<u>\$19.93</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$199.11</u>	<u>\$0.00</u>	<u>\$199.11</u>		<u>\$19.93</u>

LINKED CLEARING TRANSACTIONS

08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.78	0.00	9.78		8.80
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	16.76	0.00	16.76		15.08
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	20.91	0.00	20.91		18.82
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	22.90	0.00	22.90		20.61
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	36.75	0.00	36.75		33.08
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	9.76	0.00	9.76		8.78
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	10.57	0.00	10.57		9.51
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	20.54	0.00	20.54		18.49
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	24.39	0.00	24.39		21.95
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	32.52	0.00	32.52		29.27
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.24	0.00	4.24		3.82
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	8.28	0.00	8.28		7.45
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	9.17	0.00	9.17		8.25
08/21/2018	mfc533881 NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	10.24	0.00	10.24		9.22
* DREW NIXON-D352 SUBTOTAL *				<u>\$0.00</u>	<u>\$236.81</u>	<u>\$0.00</u>	<u>\$236.81</u>		<u>\$213.13</u>
*** LINKED CLEARING TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$236.81</u>	<u>\$0.00</u>	<u>\$236.81</u>		<u>\$213.13</u>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

08/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
08/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
08/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
08/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	108.69
08/31/2018	: SHAREFILE	0.00	0.00	0.00	0.00	-13.00
* SUBTOTAL *		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-89.31</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-89.31</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,023.20
Split Transactions	\$0.00
Override Transactions	\$19.93
Adjustments	\$-89.31
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$953.82</b>
<b>Year-to-date Commissions</b>	<b>\$71,688.23</b>