



# COMMISSION STATEMENT

## December 24, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/20/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @27.5500						
12/20/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @23.3100						
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$3.50
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
12/24/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
12/24/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901202	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$150.00	\$3.00	\$0.00	\$3.00		\$2.10
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/14/2018	***-**-57IR - SOCHA, CYNTHIA	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.19	0.00	2.19	70.0	1.53
	ACCOUNT #: 00002019641	BUY 0 Units @0.0000						
12/14/2018	***-**-118I - PIRULLI, DEBORAH	STRATEGIC INCOME A	0.00	4.87	0.00	4.87	70.0	3.41
	ACCOUNT #: 00002034826	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.06	\$0.00	\$7.06		\$4.94
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/19/2018	***-**-57IR - BERRIEN, ALLEN	PACIFIC LIFE VA COMM	0.00	60.20	0.00	60.20	70.0	42.14
	ACCOUNT #: VM13006700	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$60.20	\$0.00	\$60.20		\$42.14
*** BROKER TRANSACTIONS TOTAL ***			\$250.00	\$75.26	\$0.00	\$75.26		\$52.68



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<b><u>BROKER COMMISSION TOTALS</u></b>								
						Broker Transactions		\$52.68
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$-1,045.82
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
<b>Balance Forward</b>								<b>\$-993.14</b>
<b>Year-to-date Earnings</b>								<b>\$42,258.98</b>