



COMMISSION STATEMENT

December 10, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/04/2018	***-**-84IO - COILE, ROBERT	COLUMBIA DISCIPLINED CORE A	15,000.00	750.00	18.00	732.00	85.0	622.20
	ACCOUNT #: LSR012460	BUY 1154 Units @13.0000						
12/04/2018	***-**-55IR - WISE, MARY	COLUMBIA DISCIPLINED CORE A	20,000.01	1,000.00	18.00	982.00	85.0	834.70
	ACCOUNT #: LSR012558	BUY 1538 Units @13.0000						
12/04/2018	***-**-85IR - LINCOLN, LEROY	COLUMBIA DISCIPLINED CORE A	15,000.00	750.00	18.00	732.00	85.0	622.20
	ACCOUNT #: LSR012773	BUY 1154 Units @13.0000						
12/03/2018	***-**-55IR - WISE, MARY	WELLS FARGO UTILITY TELECOM CL A	19,999.99	1,000.00	18.00	982.00	85.0	834.70
	ACCOUNT #: LSR012558	BUY 817 Units @24.4800						
* MUTUAL FUNDS SUBTOTAL *			\$70,000.00	\$3,500.00	\$72.00	\$3,428.00		\$2,913.80
<u>PRODUCT CATEGORY: STOCKS</u>								
12/04/2018	***-**-85IR - LINCOLN, LEROY	ARCBEST CORP COM USD0.01	7,555.80	100.00	19.00	81.00	85.0	68.85
	ACCOUNT #: LSR012773	SELL 200 Units @37.7790						
12/03/2018	***-**-55IR - WISE, MARY	ARLINGTON ASSET INVT CORP CL A NEW	8,967.96	100.00	35.88	64.12	85.0	54.50
	ACCOUNT #: LSR012558	SELL 1044 Units @8.5900						
12/03/2018	***-**-55IR - WISE, MARY	CARMAX INC SOLICITED ORDER	6,630.00	100.00	17.00	83.00	85.0	70.55
	ACCOUNT #: LSR012558	SELL 100 Units @66.3000						
12/03/2018	***-**-55IR - WISE, MARY	CARMAX INC SOLICITED ORDER	6,630.00	100.00	2.00	98.00	85.0	83.30
	ACCOUNT #: LSR012558	SELL 100 Units @66.3000						
12/04/2018	***-**-84IO - COILE, ROBERT	CARMAX INC SOLICITED ORDER	8,361.21	100.00	17.60	82.40	85.0	70.04
	ACCOUNT #: LSR012460	SELL 130 Units @64.3170						
12/04/2018	***-**-84IO - COILE, ROBERT	DIODES INC	10,077.00	100.00	21.00	79.00	85.0	67.15
	ACCOUNT #: LSR012460	SELL 300 Units @33.5900						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,058.40	10.00	17.00	-7.00	100.0	-7.00
	ACCOUNT #: LSR012773	SELL 100 Units @10.5840						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	3,171.00	30.00	6.00	24.00	85.0	20.40
	ACCOUNT #: LSR012773	SELL 300 Units @10.5700						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,058.00	10.00	2.00	8.00	85.0	6.80
	ACCOUNT #: LSR012773	SELL 100 Units @10.5800						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,058.00	10.00	2.00	8.00	85.0	6.80
	ACCOUNT #: LSR012773	SELL 100 Units @10.5800						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,057.00	10.00	2.00	8.00	85.0	6.80
	ACCOUNT #: LSR012773	SELL 100 Units @10.5700						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,058.40	10.00	2.00	8.00	85.0	6.80
	ACCOUNT #: LSR012773	SELL 100 Units @10.5840						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,058.00	10.00	2.00	8.00	85.0	6.80
	ACCOUNT #: LSR012773	SELL 100 Units @10.5800						
12/04/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,058.00	10.00	2.00	8.00	85.0	6.80
	ACCOUNT #: LSR012773	SELL 100 Units @10.5800						
* STOCKS SUBTOTAL *			\$58,798.77	\$700.00	\$147.48	\$552.52		\$468.59
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
12/04/2018	***-**-55IR - WISE, MARY	NEW RESIDENTIAL INVT CORP COM NPV (25,654.50	300.00	24.00	276.00	85.0	234.60
	ACCOUNT #: LSR012558	SELL 1500 Units @17.1030						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$25,654.50	\$300.00	\$24.00	\$276.00		\$234.60
*** BROKER TRANSACTIONS TOTAL ***			\$154,453.27	\$4,500.00	\$243.48	\$4,256.52		\$3,616.99

BROKER COMMISSION TOTALS

Broker Transactions	\$3,616.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,616.99
Year-to-date Earnings	\$185,999.88