



# COMMISSION STATEMENT

## September 17, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: NEIL BAUMAN</u></b>								
09/04/2018	***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	2.24	0.00	2.24	0.5	0.01
09/04/2018	***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.25	0.00	11.25	0.5	0.06
09/04/2018	***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	11.98	0.00	11.98	0.5	0.06
<b>* NEIL BAUMAN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$25.47</b>	<b>\$0.00</b>	<b>\$25.47</b>		<b>\$0.13</b>
<b><u>BROKER: GARRETT MORETZ</u></b>								
09/17/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	1,487.39	0.00	1,487.39	0.5	7.44
09/18/2018	***-**-86FB - ARRINGTON, ROGER ACCOUNT #: RH0813100D	EQIS ADVISOR FEES BUY 0 Units @0.0000	82.82	82.82	0.00	82.82	0.5	0.41
09/18/2018	***-**-86FB - ARRINGTON, ROGER ACCOUNT #: RH24513009	EQIS ADVISOR FEES BUY 0 Units @0.0000	67.65	67.65	0.00	67.65	0.5	0.34
09/18/2018	***-**-71FB - BEDDOW, DONALD ACCOUNT #: RE1889900Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	103.40	103.40	0.00	103.40	0.5	0.52
09/18/2018	***-**-71FB - BEDDOW, DONALD ACCOUNT #: RE1910700J	EQIS ADVISOR FEES BUY 0 Units @0.0000	38.42	38.42	0.00	38.42	0.5	0.19
09/18/2018	***-**-40FB - BOHNAKER, ANGELA ACCOUNT #: RC6490700F	EQIS ADVISOR FEES BUY 0 Units @0.0000	40.93	40.93	0.00	40.93	0.5	0.20
09/18/2018	***-**-95FB - BROWN, SUSAN ACCOUNT #: RD9347700L	EQIS ADVISOR FEES BUY 0 Units @0.0000	82.54	82.54	0.00	82.54	0.5	0.41
09/18/2018	***-**-57FB - CAMERY, DALE ACCOUNT #: RD90457005	EQIS ADVISOR FEES BUY 0 Units @0.0000	78.69	78.69	0.00	78.69	0.5	0.39
09/18/2018	***-**-57FB - CAMERY, DALE ACCOUNT #: RD9898400H	EQIS ADVISOR FEES BUY 0 Units @0.0000	175.67	175.67	0.00	175.67	0.5	0.88
09/18/2018	***-**-71FB - CARPENTIER, ACCOUNT #: RC9591500B	EQIS ADVISOR FEES BUY 0 Units @0.0000	27.08	27.08	0.00	27.08	0.5	0.14
09/18/2018	***-**-77FB - CLAYTON, HORACE ACCOUNT #: RD9998300X	EQIS ADVISOR FEES BUY 0 Units @0.0000	179.12	179.12	0.00	179.12	0.5	0.90
09/18/2018	***-**-68FB - CLAYTON, LINDA ACCOUNT #: RE0183300B	EQIS ADVISOR FEES BUY 0 Units @0.0000	40.99	40.99	0.00	40.99	0.5	0.20



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: GARRETT MORETZ (continued)</u></b>								
09/18/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	27.08	27.08	0.00	27.08	0.5	0.14
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
09/18/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	37.99	37.99	0.00	37.99	0.5	0.19
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
09/18/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	42.51	42.51	0.00	42.51	0.5	0.21
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
09/18/2018	***-**-36FB - CLINGON, KAREN	EQIS ADVISOR FEES	36.50	36.50	0.00	36.50	0.5	0.18
	ACCOUNT #: RE3070500V	BUY 0 Units @0.0000						
09/18/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	9.37	9.37	0.00	9.37	0.5	0.05
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
09/18/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	33.59	33.59	0.00	33.59	0.5	0.17
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
09/18/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	53.67	53.67	0.00	53.67	0.5	0.27
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
09/18/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	45.59	45.59	0.00	45.59	0.5	0.23
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
09/18/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	240.84	240.84	0.00	240.84	0.5	1.20
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
09/18/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.99	14.99	0.00	14.99	0.5	0.07
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
09/18/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	138.75	138.75	0.00	138.75	0.5	0.69
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
09/18/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.37	6.37	0.00	6.37	0.5	0.03
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
09/18/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	30.85	30.85	0.00	30.85	0.5	0.15
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						
09/18/2018	***-**-68FB - GLAUDIN, EDDY	EQIS ADVISOR FEES	31.97	31.97	0.00	31.97	0.5	0.16
	ACCOUNT #: RB8331200P	BUY 0 Units @0.0000						
09/18/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	123.56	123.56	0.00	123.56	0.5	0.62
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
09/18/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	46.48	46.48	0.00	46.48	0.5	0.23
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: GARRETT MORETZ (continued)</u></b>								
09/18/2018	***-**-77FB - HARRIS, ACCOUNT #: RE3069400N	EQIS ADVISOR FEES BUY 0 Units @0.0000	131.38	131.38	0.00	131.38	0.5	0.66
09/18/2018	***-**-48FB - HEFNER, EULA ACCOUNT #: RH0641100H	EQIS ADVISOR FEES BUY 0 Units @0.0000	24.07	24.07	0.00	24.07	0.5	0.12
09/18/2018	***-**-03FB - HINES, WALTER ACCOUNT #: RD57517005	EQIS ADVISOR FEES BUY 0 Units @0.0000	21.85	21.85	0.00	21.85	0.5	0.11
09/18/2018	***-**-84FB - HOLDER, DERRICK ACCOUNT #: RA4189700Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	117.96	117.96	0.00	117.96	0.5	0.59
09/18/2018	***-**-84FB - HOLDER, DERRICK ACCOUNT #: RA41927007	EQIS ADVISOR FEES BUY 0 Units @0.0000	57.30	57.30	0.00	57.30	0.5	0.29
09/18/2018	***-**-45FB - HULL, DEBORAH ACCOUNT #: RG98804003	EQIS ADVISOR FEES BUY 0 Units @0.0000	15.02	15.02	0.00	15.02	0.5	0.08
09/18/2018	***-**-13FB - HULL, GARY ACCOUNT #: RE2367800J	EQIS ADVISOR FEES BUY 0 Units @0.0000	68.36	68.36	0.00	68.36	0.5	0.34
09/18/2018	***-**-98FB - HUNTER, JEFFREY ACCOUNT #: RH0076800D	EQIS ADVISOR FEES BUY 0 Units @0.0000	17.95	17.95	0.00	17.95	0.5	0.09
09/18/2018	***-**-26FB - KOMINEK, DAVID ACCOUNT #: RD9045800X	EQIS ADVISOR FEES BUY 0 Units @0.0000	116.52	116.52	0.00	116.52	0.5	0.58
09/18/2018	***-**-27FB - KULIKOWSKI, SARA ACCOUNT #: RC4594100B	EQIS ADVISOR FEES BUY 0 Units @0.0000	11.64	11.64	0.00	11.64	0.5	0.06
09/18/2018	***-**-88FB - LITTLETON, ACCOUNT #: RB0358400H	EQIS ADVISOR FEES BUY 0 Units @0.0000	42.65	42.65	0.00	42.65	0.5	0.21
09/18/2018	***-**-94FB - MARQUIS, ALAN ACCOUNT #: RE3062300N	EQIS ADVISOR FEES BUY 0 Units @0.0000	41.67	41.67	0.00	41.67	0.5	0.21
09/18/2018	***-**-65FB - MCINTYRE, SHARON ACCOUNT #: RD90434001	EQIS ADVISOR FEES BUY 0 Units @0.0000	33.11	33.11	0.00	33.11	0.5	0.17
09/18/2018	***-**-65FB - MCINTYRE, SHARON ACCOUNT #: RD9897700X	EQIS ADVISOR FEES BUY 0 Units @0.0000	90.21	90.21	0.00	90.21	0.5	0.45
09/18/2018	***-**-77FB - MCKAIG, MARK ACCOUNT #: RD5247000H	EQIS ADVISOR FEES BUY 0 Units @0.0000	21.07	21.07	0.00	21.07	0.5	0.11
09/18/2018	***-**-77FB - MCKAIG, MARK ACCOUNT #: RD52495005	EQIS ADVISOR FEES BUY 0 Units @0.0000	20.02	20.02	0.00	20.02	0.5	0.10



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: GARRETT MORETZ (continued)</u></b>								
09/18/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	63.54	63.54	0.00	63.54	0.5	0.32
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
09/18/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	14.60	14.60	0.00	14.60	0.5	0.07
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
09/18/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	78.27	78.27	0.00	78.27	0.5	0.39
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
09/18/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	2.37	2.37	0.00	2.37	0.5	0.01
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						
09/18/2018	***-**-70FB - FERRELL,	EQIS ADVISOR FEES	52.92	52.92	0.00	52.92	0.5	0.26
	ACCOUNT #: RB50708009	BUY 0 Units @0.0000						
09/18/2018	***-**-759I - MERRILL, SARA	EQIS ADVISOR FEES	24.77	24.77	0.00	24.77	0.5	0.12
	ACCOUNT #: RB5875400D	BUY 0 Units @0.0000						
09/18/2018	***-**-58FB - NELSON-GAINES,	EQIS ADVISOR FEES	13.38	13.38	0.00	13.38	0.5	0.07
	ACCOUNT #: RH0166600L	BUY 0 Units @0.0000						
09/18/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	66.83	66.83	0.00	66.83	0.5	0.33
	ACCOUNT #: RG9534800V	BUY 0 Units @0.0000						
09/18/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	21.20	21.20	0.00	21.20	0.5	0.11
	ACCOUNT #: RG9885700V	BUY 0 Units @0.0000						
09/18/2018	***-**-77FB - PECK, GORDON	EQIS ADVISOR FEES	34.10	34.10	0.00	34.10	0.5	0.17
	ACCOUNT #: RE14731003	BUY 0 Units @0.0000						
09/18/2018	***-**-98FB - PFYFFER, ALBERT	EQIS ADVISOR FEES	39.69	39.69	0.00	39.69	0.5	0.20
	ACCOUNT #: RE1500600F	BUY 0 Units @0.0000						
09/18/2018	***-**-43FB - RODES, KENNETH	EQIS ADVISOR FEES	89.02	89.02	0.00	89.02	0.5	0.45
	ACCOUNT #: RE1686200F	BUY 0 Units @0.0000						
09/18/2018	***-**-13FB - RUSSELL, MARK	EQIS ADVISOR FEES	33.01	33.01	0.00	33.01	0.5	0.17
	ACCOUNT #: RG9722700B	BUY 0 Units @0.0000						
09/18/2018	***-**-51FB - SCHMITT, CAROL	EQIS ADVISOR FEES	78.24	78.24	0.00	78.24	0.5	0.39
	ACCOUNT #: RD9797400D	BUY 0 Units @0.0000						
09/18/2018	***-**-67FB - SCHMITT, EDWARD	EQIS ADVISOR FEES	15.72	15.72	0.00	15.72	0.5	0.08
	ACCOUNT #: RE0237700Z	BUY 0 Units @0.0000						
09/18/2018	***-**-52FB - SCHNABEL,	EQIS ADVISOR FEES	71.89	71.89	0.00	71.89	0.5	0.36
	ACCOUNT #: RH00769005	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: GARRETT MORETZ (continued)</u></b>								
09/18/2018	***-**-94FB - SWAN, ANDREW	EQIS ADVISOR FEES	36.47	36.47	0.00	36.47	0.5	0.18
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
09/18/2018	***-**-97FB - SWAN, MELANIE	EQIS ADVISOR FEES	25.36	25.36	0.00	25.36	0.5	0.13
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
09/18/2018	***-**-38FB - TOLLIVER, GLORIA	EQIS ADVISOR FEES	5.78	5.78	0.00	5.78	0.5	0.03
	ACCOUNT #: RD5984200P	BUY 0 Units @0.0000						
09/18/2018	***-**-29FB - TOLLIVER, SHANE	EQIS ADVISOR FEES	9.06	9.06	0.00	9.06	0.5	0.05
	ACCOUNT #: RD95555005	BUY 0 Units @0.0000						
09/18/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES	5.77	5.77	0.00	5.77	0.5	0.03
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
09/18/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES	181.23	181.23	0.00	181.23	0.5	0.91
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						
	<b>* GARRETT MORETZ SUBTOTAL *</b>		<b>\$3,631.42</b>	<b>\$5,118.81</b>	<b>\$0.00</b>	<b>\$5,118.81</b>		<b>\$25.61</b>
	<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>		<b>\$3,631.42</b>	<b>\$5,144.28</b>	<b>\$0.00</b>	<b>\$5,144.28</b>		<b>\$25.74</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$25.74
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$12.12
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$37.86</b>
<b>Year-to-date Earnings</b>	<b>\$9,136.41</b>