

COMMISSION STATEMENT

August 15, 2018

KATHERINE SMITH-DM31
 1701 River Run Road
 Suite 1116
 Forth Worth, TX 76107

BROKER #: DM31
 BRANCH: DMW

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/01/2018	00084791628	MASSENGALE, MF	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	00084791669	MASSENGALE, MF	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	00085359820	LOGSDON, TRACI	CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	00085359860	LOGSDON, TRACI	CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
07/30/2018	00088311353	MASSENGALE, LARA	NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
07/30/2018	00088311353	MASSENGALE, LARA	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
07/30/2018	00088338383	MASSENGALE, JASON	NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
07/30/2018	00088338383	MASSENGALE, JASON	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	00088996224	LOGSDON, AVARI	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/06/2018	00089064328	LOGSDON, TRACI	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	00089500058	PERTLL, JAMES	AMCAP FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
08/01/2018	00089500058	PERTLL, JAMES	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	90.0	2.25
08/07/2018	04000143383	ZAVALA, GILBERT	AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
08/07/2018	04000143383	ZAVALA, GILBERT	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
08/01/2018	04000452396	PERTLL, JAMES	AMCAP FUND-A	B	50.00	1.00	0.00	1.00	90.0	0.90
08/01/2018	04000452396	PERTLL, JAMES	CAPITAL WORLD GROWTH AND INCOME	B	50.00	1.00	0.00	1.00	90.0	0.90
08/10/2018	04000850515	WHITSON, MELONYE	AMERICAN BALANCED FUND-A	B	10,000.00	275.00	0.00	275.00	90.0	247.50
08/10/2018	04000850515	WHITSON, MELONYE	THE GROWTH FUND OF AMERICA-A	B	9,846.75	270.79	0.00	270.79	90.0	243.71
08/10/2018	04000888377	WHITSON, BLAKE	NEW PERSPECTIVE FUND-A	B	5,000.00	187.50	0.00	187.50	90.0	168.75
08/10/2018	04000888377	WHITSON, BLAKE	THE GROWTH FUND OF AMERICA-A	B	4,920.42	184.52	0.00	184.52	90.0	166.07
* MUTUAL FUNDS SUBTOTAL *					\$30,517.17	\$946.11	\$0.00	\$946.11		\$851.49
*** DIRECT TRANSACTIONS TOTAL ***					\$30,517.17	\$946.11	\$0.00	\$946.11		\$851.49

BROKER COMMISSION TOTALS

Broker Transactions	\$851.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$851.49
Year-to-date Commissions	\$54,333.02