



COMMISSION STATEMENT

October 22, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
10/01/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	0.00	43.29	0.00	43.29	15.0	6.49
	ACCOUNT #: 07122874988	BUY 0 Units @0.0000						
10/01/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	0.00	6.25	0.00	6.25	15.0	0.94
	ACCOUNT #: 07122877590	BUY 0 Units @0.0000						
10/22/2018	***-**-109I - PASCH, ROBERT	AMERICAN CENTURY TRAILS	0.00	0.76	0.00	0.76	15.0	0.11
	ACCOUNT #: 7107500645	BUY 0 Units @0.0000						
10/22/2018	***-**-109I - PASCH, ROBERT	AMERICAN CENTURY TRAILS	0.00	2.05	0.00	2.05	15.0	0.31
	ACCOUNT #: 7107500645	BUY 0 Units @0.0000						
10/22/2018	***-**-67CL - MILGROOM,	AMERICAN CENTURY TRAILS	0.00	7.31	0.00	7.31	15.0	1.10
		BUY 0 Units @0.0000						
10/22/2018	***-**-67CL - MILGROOM,	AMERICAN CENTURY TRAILS	0.00	7.31	0.00	7.31	15.0	1.10
		BUY 0 Units @0.0000						
10/22/2018	***-**-67CL - MILGROOM,	AMERICAN CENTURY TRAILS	0.00	-7.31	0.00	-7.31	15.0	-1.10
		BUY 0 Units @0.0000						
10/22/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.26	0.00	0.26	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
10/22/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.92	0.00	1.92	15.0	0.29
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
10/22/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	5.22	0.00	5.22	15.0	0.78
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
10/22/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.36	0.00	0.36	15.0	0.05
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
10/15/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 2 Units @49.7700						
10/22/2018	***-**-844P - COLIN CAMPBELL	EATON VANCE MF TRAILS	0.00	0.25	0.00	0.25	15.0	0.04
	ACCOUNT #: 5045816922	BUY 0 Units @0.0000						
10/15/2018	***-**-3804 - LETOURNEAU,	EQUITY INCOME FUND, INC. A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 08352639846	BUY 0 Units @0.0000						
10/15/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @50.3500						
10/15/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @63.8800						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
10/01/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
10/15/2018	***-**-3804 - LETOURNEAU,	GLOBAL OPPORTUNITIES A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 02156825863	BUY 0 Units @0.0000						
10/02/2018	***-**-9707 - DEBS, ARLENE	ING ADVANTAGE SELECT	101,168.45	1,011.68	0.00	1,011.68	15.0	151.75
		BUY 1 Units @101168.450						
10/02/2018	***-**-359I - DEBS, CARLOS	ING ADVANTAGE SELECT	282,004.83	2,820.05	0.00	2,820.05	15.0	423.01
		BUY 1 Units @282004.830						
10/13/2018	***-**-4209 - DEBS, KEVIN	ING ADVANTAGE SELECT	0.00	13.64	0.00	13.64	15.0	2.05
	ACCOUNT #: R081292-ZS	BUY 0 Units @0.0000						
10/13/2018	***-**-6833 - FANO, DENNIS	ING ADVANTAGE SELECT	0.00	7.62	0.00	7.62	15.0	1.14
	ACCOUNT #: R110847-ZS	BUY 0 Units @0.0000						
10/16/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	14.44	0.00	14.44	15.0	2.17
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
10/16/2018	***-**-3178 - IONESCU, MIHAELA	ING ADVANTAGE SELECT	0.00	4.08	0.00	4.08	15.0	0.61
	ACCOUNT #: R081736-ZS	BUY 0 Units @0.0000						
10/17/2018	***-**-843I - FANO, OKSANA	ING ADVANTAGE SELECT	0.00	7.73	0.00	7.73	15.0	1.16
	ACCOUNT #: R110836-ZS	BUY 0 Units @0.0000						
10/01/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	0.00	0.07	0.00	0.07	15.0	0.01
	ACCOUNT #: 07123000351	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	0.00	7.20	0.00	7.20	15.0	1.08
	ACCOUNT #: 07123000494	BUY 0 Units @0.0000						
10/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	0.00	2.60	0.00	2.60	15.0	0.39
	ACCOUNT #: 07123000641	BUY 0 Units @0.0000						
10/09/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	148.47	1.11	0.00	1.11	15.0	0.17
	ACCOUNT #: 07123000494	BUY 12 Units @12.8400						
10/15/2018	***-**-3804 - LETOURNEAU,	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 01952878018	BUY 0 Units @0.0000						
10/22/2018	***-**-1584 - CAMPBELL, COLIN	PIMCO MF TRAILS	0.00	2.93	0.00	2.93	15.0	0.44
		BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
10/22/2018	***-**-0067 - UNCLE AL'S CAFE, PIMCO MF TRAILS		0.00	59.28	0.00	59.28	15.0	8.89
	ACCOUNT #: 04518001090	BUY 0 Units @0.0000						
10/22/2018	***-**-9109 - PASCH, ROBERT	PIMCO MF TRAILS	0.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 4521001329	BUY 0 Units @0.0000						
10/22/2018	***-**-7574 - G-MEN CORP	PIMCO MF TRAILS	0.00	3.06	0.00	3.06	15.0	0.46
	ACCOUNT #: 4521002964	BUY 0 Units @0.0000						
10/22/2018	***-**-2111 - SPECTRUM TOURS	PIMCO MF TRAILS	0.00	0.40	0.00	0.40	15.0	0.06
	ACCOUNT #: 4521002766	BUY 0 Units @0.0000						
10/22/2018	***-**-67CL - MILGROOM,	PIMCO MF TRAILS	0.00	6.96	0.00	6.96	15.0	1.04
		BUY 0 Units @0.0000						
10/22/2018	***-**-0834 - WIEBE, PHILIP	SCHOLAR'S EDGE TRAILS	0.00	44.88	0.00	44.88	15.0	6.73
		BUY 0 Units @0.0000						
10/15/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @54.1000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$383,871.75	\$4,097.22	\$0.00	\$4,097.22		\$614.60
*** OVERRIDE TRANSACTIONS TOTAL ***			\$383,871.75	\$4,097.22	\$0.00	\$4,097.22		\$614.60



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$0.00
					Split Transactions			\$0.00
					Override Transactions			\$614.60
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$69.77
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$684.37
					Year-to-date Earnings			\$3,818.84