

# COMMISSION STATEMENT

September 30, 2018

JOY LAWRENCE-D098  
 9000 Highway 2147 West  
 Suite 201  
 Horseshoe Bay, TX 78657

BROKER #: D098  
 BRANCH: DMA

## COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

09/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25	50.0	0.13
09/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25	50.0	0.13
09/07/2018	461573829	LYTLE, JENNIFER	MF	FIDELITY SELECT TECH NOLOGY	S	185,012.45	0.00	5.00	-5.00	50.0	-2.50
09/07/2018	461573829	LYTLE, JENNIFER	MF	PUTNAM 746802800	S	-250,012.45	0.00	-5.00	5.00	50.0	2.50
09/07/2018	461573829	LYTLE, JENNIFER	MF	PUTNAM 746802800	S	250,012.45	0.00	5.00	-5.00	50.0	-2.50
09/07/2018	461573829	LYTLE, JENNIFER	MF	PUTNAM 746802800	S	250,012.45	5,000.00	5.00	4,995.00	50.0	2,497.50
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$435,229.80</b>	<b>\$5,005.50</b>	<b>\$15.00</b>	<b>\$4,990.50</b>		<b>\$2,495.26</b>

#### PRODUCT CATEGORY: STOCKS

09/07/2018	461573829	LYTLE, JENNIFER	S	INVESCO TR II S&P 500 LOW	B	15,088.95	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	INVESCO TR II S&P 500 HIGH	B	14,919.80	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	SCHWAB FUNDAMENTAL U S SMALL	B	15,136.80	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	SPDR DJ WILSHIRE GLOBAL REAL	B	14,863.44	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	SPDR PORTFOLIO WORLD EX-US ETF	B	14,957.40	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	VANGUARD SHORT-TERM INFLATION-	B	15,018.48	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	VANGUARD TOTAL INTL BD INDEX FD	B	14,870.64	100.00	15.00	85.00	50.0	42.50
09/07/2018	461573829	LYTLE, JENNIFER	S	XTRACKERS MSCI EUROPE HEDGE	B	15,001.67	100.00	15.00	85.00	50.0	42.50
				<b>* STOCKS SUBTOTAL *</b>		<b>\$119,857.18</b>	<b>\$800.00</b>	<b>\$120.00</b>	<b>\$680.00</b>		<b>\$340.00</b>

#### PRODUCT CATEGORY: BONDS

09/13/2018	461573829	LYTLE, JENNIFER	B	COLLEGE STATION TX GO IMPT &	B	24,948.87	250.00	15.00	235.00	50.0	117.50
				<b>* BONDS SUBTOTAL *</b>		<b>\$24,948.87</b>	<b>\$250.00</b>	<b>\$15.00</b>	<b>\$235.00</b>		<b>\$117.50</b>

#### PRODUCT CATEGORY: MISCELLANEOUS

09/11/2018	a03946954	LAWRENCE-D098, JOY	MI	MUTUAL FUND MISC FEE 461573829	S	0.00	-10.00	0.00	-10.00	50.0	-5.00
09/11/2018	a03946954	LAWRENCE-D098, JOY	MI	MUTUAL FUND MISC FEE 461573829	S	0.00	10.00	0.00	10.00	50.0	5.00
09/11/2018	a03946954	LAWRENCE-D098, JOY	MI	MUTUAL FUND MISC FEE 461573829	S	0.00	10.00	0.00	10.00	50.0	5.00
09/11/2018	a03946954	LAWRENCE-D098, JOY	MI	MUTUAL FUND MISC FEE 461573829	S	0.00	10.00	0.00	10.00	50.0	5.00
				<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$20.00</b>		<b>\$10.00</b>

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT AB HIGH INCOME A	B	0.00	2.59	0.00	2.59	50.0	1.30
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	1.39	0.00	1.39	50.0	0.70
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.52	0.00	0.52	50.0	0.26
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	6.69	0.00	6.69	50.0	3.35
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.27	0.00	2.27	50.0	1.14
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN GLOBAL	B	0.00	13.16	0.00	13.16	50.0	6.58
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	11.94	0.00	11.94	50.0	5.97
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.14	0.00	1.14	50.0	0.57
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT EATON VANCE GOVT OBLIGATIONS	B	0.00	3.30	0.00	3.30	50.0	1.65
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT EMPIRIC 2500 FD A	B	0.00	4.04	0.00	4.04	50.0	2.02
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR LARGE CAP	B	0.00	4.68	0.00	4.68	50.0	2.34
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	4.56	0.00	4.56	50.0	2.28
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	11.14	0.00	11.14	50.0	5.57
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR FUNDS	B	0.00	10.63	0.00	10.63	50.0	5.32
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT MFS MID CAP GROWTH FUND-C	B	0.00	9.68	0.00	9.68	50.0	4.84
09/21/2018	mfc946954	LAWRENCE JOY 946954 MFT PUTNAM 746802800	B	0.00	7.73	0.00	7.73	50.0	3.87
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT AB HIGH INCOME A	B	0.00	2.41	0.00	2.41	50.0	1.21
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	1.33	0.00	1.33	50.0	0.67
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.49	0.00	0.49	50.0	0.25
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	6.27	0.00	6.27	50.0	3.14
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.15	0.00	2.15	50.0	1.08
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN GLOBAL	B	0.00	12.42	0.00	12.42	50.0	6.21
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	11.34	0.00	11.34	50.0	5.67
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.11	0.00	1.11	50.0	0.56
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT EATON VANCE GOVT OBLIGATIONS	B	0.00	3.09	0.00	3.09	50.0	1.55
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT EMPIRIC 2500 FD A	B	0.00	3.67	0.00	3.67	50.0	1.84
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR LARGE CAP	B	0.00	4.45	0.00	4.45	50.0	2.23
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	4.37	0.00	4.37	50.0	2.19
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	10.32	0.00	10.32	50.0	5.16
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT FIDELITY ADVISOR FUNDS	B	0.00	10.11	0.00	10.11	50.0	5.06
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.56	0.00	1.56	50.0	0.78
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT MFS MID CAP GROWTH FUND-C	B	0.00	3.05	0.00	3.05	50.0	1.53
09/24/2018	mfc946954	LAWRENCE JOY 946954 MFT PUTNAM 746802800	B	0.00	7.35	0.00	7.35	50.0	3.68
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$180.95</b>	<b>\$0.00</b>	<b>\$180.95</b>		<b>\$90.57</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$580,035.85</b>	<b>\$6,256.45</b>	<b>\$150.00</b>	<b>\$6,106.45</b>		<b>\$3,053.33</b>

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/15/2018	00551135830	CHHEN, KUONG	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
08/15/2018	00551137086	CHHEN, CHAN	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
* MUTUAL FUNDS SUBTOTAL *						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80
*** DIRECT TRANSACTIONS TOTAL ***						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80

ADJUSTMENTS TRANSACTIONS

BROKER: #0

09/30/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		24.31
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$24.31
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$24.31

BROKER COMMISSION TOTALS

Broker Transactions	\$3,064.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$24.31
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,088.44
Year-to-date Commissions	\$13,548.42