



# COMMISSION STATEMENT

December 17, 2018

WILLIAM ROBERTS  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 16  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/03/2018	***-**-1606 - REUS, MARTHA	AMERICAN HIGH INCOME MUNI BOND CLAS	0.00	187.18	0.00	187.18	85.0	159.10
	ACCOUNT #: 00087392550	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$187.18</b>	<b>\$0.00</b>	<b>\$187.18</b>		<b>\$159.10</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$187.18</b>	<b>\$0.00</b>	<b>\$187.18</b>		<b>\$159.10</b>
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: FREDERIC SCARPULLA</u></b>								
12/03/2018	***-**-7989 - SMITH, MICHAEL	AMERICAN BALANCED FUND-529C	0.00	187.20	0.00	187.20	85.0	159.12
	ACCOUNT #: 00084869153	BUY 0 Units @0.0000						
12/03/2018	***-**-92IN - MULLER FAMILY	AMERICAN HIGH-INCOME TRUST-A	0.00	156.23	0.00	156.23	85.0	132.80
	ACCOUNT #: 00083914711	BUY 0 Units @0.0000						
12/03/2018	***-**-41EF - LEAHY, JEFFRIE	AMERICAN MUTUAL FUND-A	0.00	6,448.66	0.00	6,448.66	85.0	5,481.36
	ACCOUNT #: 00074805551	BUY 0 Units @0.0000						
		<b>* FREDERIC SCARPULLA SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$6,792.09</b>	<b>\$0.00</b>	<b>\$6,792.09</b>		<b>\$5,773.28</b>
		<b>*** SPLIT TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$6,792.09</b>	<b>\$0.00</b>	<b>\$6,792.09</b>		<b>\$5,773.28</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$159.10
						Split Transactions		\$5,773.28
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$67.14
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$5,999.52
						Year-to-date Earnings		\$36,290.02