



COMMISSION STATEMENT

November 19, 2018

DAVID BLOCK
1384 Broadway
Suite 910
New York, NY 10018

BROKER #: B19
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/12/2018	***-**-1066 - KLEINMAN, ACCOUNT #: 00065451445	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.0600	100.00	2.75	0.00	2.75	85.0	2.34
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$2.75	\$0.00	\$2.75		\$2.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/19/2018	***-**-94IR - SUAREZ, CARLOS	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	4.95	0.00	4.95	85.0	4.21
10/19/2018	***-**-64IO - HARRIS, CAROL ACCOUNT #: LSR012719	MAIN STREET FUND C BUY 1 Units @0.0000	0.00	9.43	0.00	9.43	85.0	8.02
10/19/2018	***-**-89IO - WILKINSON, ANNE ACCOUNT #: LSR012717	MFS TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	85.0	1.48
10/19/2018	***-**-506K - SHAMIN, NATALIA ACCOUNT #: LSR012617	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	85.0	1.92
10/19/2018	***-**-376K - VELKOV, VALENTIN ACCOUNT #: LSR012675	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	2.86	0.00	2.86	85.0	2.43
11/19/2018	***-**-81IN - BLOCK, DAVID ACCOUNT #: 77118824301	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	85.0	0.23
10/19/2018	***-**-89IO - WILKINSON, ANNE ACCOUNT #: LSR012717	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	85.0	0.65
10/19/2018	***-**-705K - TRAN, NAM ACCOUNT #: LSR012459	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.82	0.00	4.82	85.0	4.10
10/19/2018	***-**-23IO - MILLER, JAMES ACCOUNT #: LSR012519	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.16	0.00	3.16	85.0	2.69
10/19/2018	***-**-204K - TEIXE, MARCELO ACCOUNT #: LSR012579	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	85.0	0.77
10/19/2018	***-**-904O - ELEMENTO INC ACCOUNT #: LSR012608	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	85.0	0.82
10/19/2018	***-**-23IO - MILLER, JAMES ACCOUNT #: LSR012519	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	3.10	0.00	3.10	85.0	2.64
10/19/2018	***-**-204K - TEIXE, MARCELO ACCOUNT #: LSR012579	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	85.0	0.76
10/19/2018	***-**-904O - ELEMENTO INC ACCOUNT #: LSR012608	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	1.73	0.00	1.73	85.0	1.47



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
10/19/2018	***-**-506K - SHAMIN, NATALIA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.35	0.00	2.35	85.0	2.00
	ACCOUNT #: LSR012617	BUY 1 Units @0.0000						
10/19/2018	***-**-376K - VELKOV, VALENTIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.99	0.00	2.99	85.0	2.54
	ACCOUNT #: LSR012675	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$43.18	\$0.00	\$43.18		\$36.73
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$45.93	\$0.00	\$45.93		\$39.07

BROKER COMMISSION TOTALS

Broker Transactions	\$39.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$39.07
Year-to-date Earnings	\$17,841.97