



COMMISSION STATEMENT

September 24, 2018

JOHN BUSEY
12705 Century Dr Suite A
Suite A
Alpharetta, GA 30009

BROKER #: B13
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/10/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @21.1500	57.54	1.29	0.00	1.29	83.0	1.07
09/10/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @21.1500	57.54	1.29	0.00	1.29	83.0	1.07
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @21.1500	17.18	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @21.1500	17.18	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 7 Units @4.1800	28.75	0.65	0.00	0.65	83.0	0.54
09/10/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1800	17.25	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1800	17.18	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1800	17.18	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 3 Units @22.5200	57.50	1.29	0.00	1.29	83.0	1.07
09/10/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.5200	34.50	0.78	0.00	0.78	83.0	0.65
09/10/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.5200	49.61	1.12	0.00	1.12	83.0	0.93
09/10/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.5200	49.61	1.12	0.00	1.12	83.0	0.93
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM REAL ESTATE FUND - CLASS A BUY 1 Units @22.2800	17.18	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM REAL ESTATE FUND - CLASS A BUY 1 Units @22.2800	17.18	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM TECHNOLOGY FUND - CLASS A BUY 0 Units @53.5000	17.16	0.39	0.00	0.39	83.0	0.32
09/10/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM TECHNOLOGY FUND - CLASS A BUY 0 Units @53.5000	17.16	0.39	0.00	0.39	83.0	0.32



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
09/10/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	57.53	1.29	0.00	1.29	83.0	1.07
	ACCOUNT #: 00777141446	BUY 5 Units @10.8300						
09/10/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	57.53	1.29	0.00	1.29	83.0	1.07
	ACCOUNT #: 00777141446	BUY 5 Units @10.8300						
09/10/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	75.00	1.69	0.00	1.69	83.0	1.40
	ACCOUNT #: 00777180430	BUY 9 Units @7.9000						
09/10/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	67.88	1.53	0.00	1.53	83.0	1.27
	ACCOUNT #: 00777180430	BUY 9 Units @7.9000						
09/10/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.5500						
09/10/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.5500						
09/10/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	100.00	2.25	0.00	2.25	83.0	1.87
	ACCOUNT #: 00777168436	BUY 13 Units @7.7000						
09/10/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	85.71	1.93	0.00	1.93	83.0	1.60
	ACCOUNT #: 00777168436	BUY 11 Units @7.7000						
09/10/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 4 Units @7.3600						
09/10/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 4 Units @7.3600						
09/10/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	25.00	0.56	0.00	0.56	83.0	0.46
	ACCOUNT #: 00777180430	BUY 1 Units @26.9200						
09/10/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	22.62	0.51	0.00	0.51	83.0	0.42
	ACCOUNT #: 00777180430	BUY 1 Units @26.9200						
09/10/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	57.49	1.29	0.00	1.29	83.0	1.07
	ACCOUNT #: 00777018377	BUY 4 Units @14.9700						
09/10/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	34.49	0.78	0.00	0.78	83.0	0.65
	ACCOUNT #: 00777018377	BUY 2 Units @14.9700						
* MUTUAL FUNDS SUBTOTAL *			\$1,192.95	\$25.37	\$0.00	\$25.37		\$21.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
08/27/2018	***-**-7703 - MARY B VALLEY	JACKSON ELITE ACCESS OPT C BUY 1 Units @500000.000	495,624.54	17,346.86	0.00	17,346.86	83.0	14,397.89
		* VARIABLE ANNUITIES SUBTOTAL *	\$495,624.54	\$17,346.86	\$0.00	\$17,346.86		\$14,397.89
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/10/2018	***-**-58IN - PRICE, ROSALYNNE	FIDELITY ADVISOR EQUITY INCOME CL T BUY 0 Units @0.0000	0.00	4.57	0.00	4.57	83.0	3.79
	ACCOUNT #: 00656045945							
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4.57	\$0.00	\$4.57		\$3.79
		*** BROKER TRANSACTIONS TOTAL ***	\$496,817.49	\$17,376.80	\$0.00	\$17,376.80		\$14,422.70

BROKER COMMISSION TOTALS

Broker Transactions	\$14,422.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$14,422.70
Year-to-date Earnings	\$60,864.13