



# COMMISSION STATEMENT

## October 08, 2018

**TIMOTHY STATES**  
 1629 K ST NW STE 300  
 SUITE 300  
 WASHINGTON, DC 20001

**BROKER #: A70**  
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/02/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	CISCO SYSTEMS INC BUY 82 Units @48.8040	4,001.93	10.00	8.99	1.01	75.0	0.76
10/02/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CISCO SYSTEMS INC BUY 150 Units @48.8850	7,332.75	135.00	18.00	117.00	75.0	87.75
10/02/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	CISCO SYSTEMS INC BUY 200 Units @48.9100	9,782.00	150.00	18.00	132.00	75.0	99.00
10/02/2018	***-**-97IO - STATES, MARY ACCOUNT #: LSR011661	CISCO SYSTEMS INC BUY 115 Units @48.8860	5,621.89	135.00	18.00	117.00	75.0	87.75
10/02/2018	***-**-195O - CARTER, IRIS ACCOUNT #: LSR012687	CISCO SYSTEMS INC BUY 200 Units @49.0360	9,807.20	150.00	18.00	132.00	75.0	99.00
10/02/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	FACEBOOK INC COM USD0.000006 CL A SELL 50 Units @159.5740	7,978.70	10.00	9.49	0.51	75.0	0.38
10/02/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	MICROSOFT CORP UNSOLICITED ORDER EX BUY 34 Units @115.2360	3,918.02	10.00	8.99	1.01	75.0	0.76
10/01/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	NEKTAR THERAPEUTICS SELL 150 Units @55.8600	8,379.00	135.00	18.00	117.00	75.0	87.75
10/01/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	NEKTAR THERAPEUTICS SELL 30 Units @57.5770	1,727.31	21.00	15.60	5.40	75.0	4.05
10/01/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	NEKTAR THERAPEUTICS SELL 170 Units @57.5300	9,780.10	119.00	3.40	115.60	75.0	86.70
10/01/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	NEKTAR THERAPEUTICS SELL 325 Units @58.5420	19,026.15	170.00	21.50	148.50	75.0	111.38
10/01/2018	***-**-00TR - BRITT, MRS RUTH ACCOUNT #: LSR010582	NEKTAR THERAPEUTICS SELL 200 Units @57.5260	11,505.20	140.00	19.00	121.00	75.0	90.75
10/01/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	NEKTAR THERAPEUTICS SELL 250 Units @58.2050	14,551.25	140.00	20.00	120.00	75.0	90.00
10/01/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	NEKTAR THERAPEUTICS SELL 100 Units @55.7450	5,574.50	90.00	17.00	73.00	75.0	54.75
10/01/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	NEKTAR THERAPEUTICS SELL 50 Units @55.7300	2,786.50	45.00	1.00	44.00	75.0	33.00
10/01/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	NEKTAR THERAPEUTICS SELL 195 Units @56.1390	10,947.11	140.00	18.90	121.10	75.0	90.83



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
10/01/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	NEKTAR THERAPEUTICS SELL 325 Units @58.3070	18,949.78	170.00	21.50	148.50	75.0	111.38
10/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	NEKTAR THERAPEUTICS SELL 250 Units @58.5700	14,642.50	140.00	20.00	120.00	75.0	90.00
10/01/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	NEKTAR THERAPEUTICS SELL 200 Units @57.2500	11,450.00	140.00	19.00	121.00	75.0	90.75
10/01/2018	***-**-736X - HIGHSMITH SR, ACCOUNT #: LSR010791	NEKTAR THERAPEUTICS SELL 133 Units @55.7370	7,413.02	119.70	17.66	102.04	75.0	76.53
10/01/2018	***-**-736X - HIGHSMITH SR, ACCOUNT #: LSR010791	NEKTAR THERAPEUTICS SELL 17 Units @55.7370	947.53	15.30	0.34	14.96	75.0	11.22
10/01/2018	***-**-542O - BROWN, MARY ACCOUNT #: LSR012530	NEKTAR THERAPEUTICS SELL 250 Units @57.8630	14,465.75	140.00	20.00	120.00	75.0	90.00
10/01/2018	***-**-195O - CARTER, IRIS ACCOUNT #: LSR012687	NEKTAR THERAPEUTICS SELL 300 Units @58.4030	17,520.90	160.00	21.00	139.00	75.0	104.25
10/02/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	NEKTAR THERAPEUTICS SELL 150 Units @55.9480	8,392.20	135.00	18.00	117.00	75.0	87.75
10/02/2018	***-**-97IO - STATES, MARY ACCOUNT #: LSR011661	NEKTAR THERAPEUTICS SELL 100 Units @55.9520	5,595.20	135.00	17.00	118.00	75.0	88.50
<b>* STOCKS SUBTOTAL *</b>			<b>\$232,096.49</b>	<b>\$2,755.00</b>	<b>\$388.37</b>	<b>\$2,366.63</b>		<b>\$1,774.99</b>
<b>PRODUCT CATEGORY: RIA</b>								
10/05/2018	***-**-27FB - LYNCH, JAIR ACCOUNT #: 925355417	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	383.42	383.42	0.00	383.42	75.0	287.57
<b>* RIA SUBTOTAL *</b>			<b>\$383.42</b>	<b>\$383.42</b>	<b>\$0.00</b>	<b>\$383.42</b>		<b>\$287.57</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
09/30/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	59.89	0.00	59.89	75.0	44.92
09/30/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 04206523594	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	5.87	0.00	5.87	75.0	4.40
09/30/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 02254200064	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	19.46	0.00	19.46	75.0	14.60
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$85.22</b>	<b>\$0.00</b>	<b>\$85.22</b>		<b>\$63.92</b>



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## BROKER TRANSACTIONS (continued)

*** BROKER TRANSACTIONS TOTAL ***	\$232,479.91	\$3,223.64	\$388.37	\$2,835.27	\$2,126.48
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## BROKER COMMISSION TOTALS

Broker Transactions	\$2,126.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,126.48
Year-to-date Earnings	\$69,954.91