

COMMISSION STATEMENT December 15, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
11/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
11/15/2018 (None)	HAILEY	MF HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$1,050.00	\$26.25	\$0.00	\$26.25		\$23.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/30/2018 (None)	3360098133	MFT DREYFUS 12B-1	B	0.00	10.21	0.00	10.21	90.0	9.19
11/30/2018 (None)	VARIOUS, HESTER DALE	MFT HARTFORD MUTUAL FUIND	B	0.00	61.47	0.00	61.47	90.0	55.32
11/30/2018 (None)	VARIOUS, HESTER DALE	MFT HARTFORD SMART	B	0.00	50.24	0.00	50.24	90.0	45.22
12/03/2018 00058241136	HAWKINS, DOROTHY	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.20	0.00	0.20	90.0	0.18
12/03/2018 00069209726	DEKKERS, CARRI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	46.23	0.00	46.23	90.0	41.61
12/03/2018 04000837225	HICKMAN, JULIE	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.87	0.00	2.87	90.0	2.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$171.22	\$0.00	\$171.22		\$154.10
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
11/25/2018 (None)	WILLIAMS, PATRICIA	VAT AMERICAN GENERAL	B	0.00	6.66	0.00	6.66	90.0	5.99
11/25/2018 (None)	PRATUMRAT, PAIROJ	VAT AMERICAN GENERAL	B	0.00	99.47	0.00	99.47	90.0	89.52
11/26/2018 (None)	PRATUMRAT, PAIROJ	VAT AMERICAN GENERAL	B	0.00	1,579.92	0.00	1,579.92	90.0	1,421.93
11/27/2018 (None)	KOTLARZ, CHUCK	VAT AMERICAN GENERAL	B	0.00	175.90	0.00	175.90	90.0	158.31
11/28/2018 (None)	LEE, JOE	VAT AMERICAN GENERAL	B	0.00	0.20	0.00	0.20	90.0	0.18
11/29/2018 (None)	SOAP, WALTER	VAT DELAWARE LIFE	B	0.00	225.30	0.00	225.30	90.0	202.77
11/30/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	1,156.03	0.00	1,156.03	90.0	1,040.43
12/01/2018 (None)	MACY, DANIEL	VAT AMERICAN GENERAL	B	0.00	2.51	0.00	2.51	90.0	2.26
12/02/2018 (None)	HOFFMAN, JAMES	VAT AMERICAN GENERAL	B	0.00	3.65	0.00	3.65	90.0	3.29
12/02/2018 (None)	KOTLARZ, PATRICIA	VAT AMERICAN GENERAL	B	0.00	206.92	0.00	206.92	90.0	186.23
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,456.56	\$0.00	\$3,456.56		\$3,110.91
*** DIRECT TRANSACTIONS TOTAL ***				\$1,050.00	\$3,654.03	\$0.00	\$3,654.03		\$3,288.64

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

12/15/2018		: 2019 STATE BRANCH FEE		0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,288.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,263.64
Year-to-date Commissions	\$228,648.75