

COMMISSION STATEMENT

September 30, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVEBROKER #: V03 A03
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/13/2018 (None)	VARIOUS, GINSBURG	AMERICAN FUND	B	0.00	269.58	0.00	269.58	50.0	134.79
09/06/2018	75501483 SPILLER, HELEN	THE INCOME FUND OF AMERICA-A	S	1,114.08	0.00	0.00	0.00	50.0	0.00
09/10/2018	75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,614.08	\$269.58	\$0.00	\$269.58		\$134.79

PRODUCT CATEGORY: STOCKS

09/19/2018	75501739 STAUFFER, JUDITH	AT&T INC	B	10,022.52	0.00	0.00	0.00	50.0	0.00
09/19/2018	75501739 STAUFFER, JUDITH	BROWN & BROWN INC	B	9,116.28	0.00	0.00	0.00	50.0	0.00
09/19/2018	75501739 STAUFFER, JUDITH	SCHWAB STRATEGIC TR US MID-CAP ETF	B	5,844.00	0.00	0.00	0.00	50.0	0.00
09/04/2018	75501993 ZEGEN, LOUIS	ISHARES U S REAL ESTATE ETF	B	10,134.53	0.00	0.00	0.00	50.0	0.00
09/04/2018	75501993 ZEGEN, LOUIS	ISHARES U S ENERGY ETF	S	9,361.91	0.00	0.00	0.00	50.0	0.00
09/04/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	S	20,996.91	0.00	0.00	0.00	50.0	0.00
09/04/2018	75502182 GIRGENTI, V JAMES	ISHARES U S REAL ESTATE ETF	B	23,099.94	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$88,576.09	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/06/2018 (None)	VARIOUS, GINSBURG	JACKSON	B	0.00	355.96	0.00	355.96	50.0	177.98
09/13/2018 (None)	VARIOUS, GINSBURG	LINCOLN	B	0.00	28.26	0.00	28.26	50.0	14.13
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$384.22	\$0.00	\$384.22		\$192.11

PRODUCT CATEGORY: RIA

07/01/2018	75501739 STAUFFER, JUDITH	FAS FEES PT. III/III	B	0.00	196.93	0.00	196.93	50.0	98.47
07/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. III/III	B	-594.01	0.00	0.00	0.00	50.0	0.00
07/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. III/III	B	-191.18	0.00	0.00	0.00	50.0	0.00
07/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. III/III	B	-1,013.64	336.05	0.00	336.05	50.0	168.03
07/01/2018	75501751 STAUFFER, ROBERT	FAS FEES PT. III/III	B	0.00	63.39	0.00	63.39	50.0	31.70
07/01/2018	75501824 ALANCRAIG, DIANE	FAS FEES PT. III/III	B	-362.46	120.17	0.00	120.17	50.0	60.09
07/01/2018	75501829 SEPTIEN, ALFREDO	FAS FEES PT. III/III	B	-219.82	72.88	0.00	72.88	50.0	36.44
07/01/2018	75501830 SEPTIEN, ALFREDO	FAS FEES PT. III/III	B	-315.44	104.58	0.00	104.58	50.0	52.29
07/01/2018	75501832 WEXLER, SHEILA	FAS FEES PT. III/III	B	-473.78	157.07	0.00	157.07	50.0	78.54
07/01/2018	75501833 GIRGENTI, V JAMES	FAS FEES PT. III/III	B	-1,321.45	438.09	0.00	438.09	50.0	219.05
07/01/2018	75501834 BUGANSKI, TERRI	FAS FEES PT. III/III	B	-843.20	279.54	0.00	279.54	50.0	139.77

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
07/01/2018	75501835	BUGANSKI, TERRI	FAS FEES PT. III/III	B	-93.98	31.16	0.00	31.16	50.0	15.58
07/01/2018	75501836	FARKAS, RONALD	FAS FEES PT. III/III	B	-676.17	224.17	0.00	224.17	50.0	112.09
07/01/2018	75501837	FARKAS, MARILYN	FAS FEES PT. III/III	B	-1,383.47	458.66	0.00	458.66	50.0	229.33
07/01/2018	75501868	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-1,288.49	427.17	0.00	427.17	50.0	213.59
07/01/2018	75501869	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-221.92	73.58	0.00	73.58	50.0	36.79
07/01/2018	75501879	LIBOVE, MICHAEL	FAS FEES PT. III/III	B	-1,344.22	445.64	0.00	445.64	50.0	222.82
07/01/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-296.93	98.44	0.00	98.44	50.0	49.22
07/01/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. III/III	B	-676.67	224.34	0.00	224.34	50.0	112.17
* RIA SUBTOTAL *					\$-11,316.83	\$3,751.86	\$0.00	\$3,751.86		\$1,875.97

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/30/2018		FEE COUNT - 179			0.00	992.16	0.00	992.16	50.0	491.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$992.16	\$0.00	\$992.16		\$491.04
*** BROKER TRANSACTIONS TOTAL ***					\$78,873.34	\$5,397.82	\$0.00	\$5,397.82		\$2,693.91

ADJUSTMENTS

09/30/2018		OTHER: TOWERBRIDGE 3/3			0.00	0.00	0.00	0.00		-116.05
09/30/2018		OTHER: UNBUNDLED FEES 3/3			0.00	0.00	0.00	0.00		-322.48
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-438.53

BROKER COMMISSION TOTALS

Broker Transactions	\$2,693.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-438.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.75
SIPC Assessment	\$-13.49

Check Amount	\$2,235.14
Year-to-date Earnings	\$21,907.05