

# Bill Payment Stub

Check Date:	11/23/2018
Check No.:	ACH
Check Amount:	80.61

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: RICK HAVARD  
1600 STILLHOUSE HOLLOW  
PROSPER, TX 75078

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
11/15/2018	Bill	COMMISSIONS	3,080.61	3,080.61		3,080.61
11/7/2018	Credit	ADVANCE	-3,000.00	-3,000.00		-3,000.00

# COMMISSION STATEMENT

November 15, 2018

 RICK HAVARD-D361  
 1600 Stillhouse Hollow

 BROKER #: D361  
 BRANCH: DMN

Prosper, TX 75078

## COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>									
10/31/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	91,147.41	37.98	0.00	37.98	90.0	34.18
10/31/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	625,881.66	521.57	0.00	521.57	90.0	469.41
10/31/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	44,989.43	18.75	0.00	18.75	90.0	16.88
10/31/2018 1003034506	LARSON, RONALD	VA L SERIES	B	235,580.79	255.21	0.00	255.21	90.0	229.69
10/31/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	108,686.40	117.74	0.00	117.74	90.0	105.97
10/31/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	77,230.26	64.36	0.00	64.36	90.0	57.92
10/31/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	340,270.95	283.56	0.00	283.56	90.0	255.20
10/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	30,933.44	25.78	0.00	25.78	90.0	23.20
10/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	24,274.53	26.30	0.00	26.30	90.0	23.67
10/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	32,292.68	26.91	0.00	26.91	90.0	24.22
10/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	25,341.08	27.45	0.00	27.45	90.0	24.71
10/31/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	35,149.74	29.29	0.00	29.29	90.0	26.36
10/31/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	41,260.39	34.38	0.00	34.38	90.0	30.94
10/31/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	183,021.56	152.52	0.00	152.52	90.0	137.27
10/31/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,325.99	10.10	0.00	10.10	90.0	9.09
10/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	189,152.28	157.63	0.00	157.63	90.0	141.87
10/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	105,150.41	113.91	0.00	113.91	90.0	102.52
10/31/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	163,197.84	176.80	0.00	176.80	90.0	159.12
10/31/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	70,191.26	76.04	0.00	76.04	90.0	68.44
10/31/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	89,719.13	97.20	0.00	97.20	90.0	87.48
10/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	72,036.76	60.03	0.00	60.03	90.0	54.03
10/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	232,118.45	251.46	0.00	251.46	90.0	226.31
10/31/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	84,676.83	91.73	0.00	91.73	90.0	82.56
10/31/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	101,753.42	110.23	0.00	110.23	90.0	99.21
10/31/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	140,745.99	58.64	0.00	58.64	90.0	52.78
10/31/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	215,037.50	89.60	0.00	89.60	90.0	80.64
10/31/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	201,201.72	83.83	0.00	83.83	90.0	75.45
10/31/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	23,619.27	14.76	0.00	14.76	90.0	13.28
10/31/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	5,927.60	1.98	0.00	1.98	90.0	1.78
10/31/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	18,180.73	6.06	0.00	6.06	90.0	5.45
10/31/2018 1014319022	JENNINGS, JOE	VA ELITE ACCESS	B	385,411.28	128.47	0.00	128.47	90.0	115.62

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
10/31/2018	1017547069	COMPTON, RICKY	VA	PERSP II 04/14	B	331,565.65	138.15	0.00	138.15	90.0	124.34
10/31/2018	1019204223	WARD, VIRGINIA	VA	PERSP II 01/16	B	78,726.46	32.80	0.00	32.80	90.0	29.52
10/31/2018	1020652027	THOMAS, BRUCE &	VA	PERSP II 01/16	B	488,030.27	101.67	0.00	101.67	90.0	91.50
* VARIABLE ANNUITIES SUBTOTAL *						\$4,901,829.16	\$3,422.89	\$0.00	\$3,422.89		\$3,080.61
*** DIRECT TRANSACTIONS TOTAL ***						\$4,901,829.16	\$3,422.89	\$0.00	\$3,422.89		\$3,080.61

BROKER COMMISSION TOTALS

Broker Transactions	\$3,080.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,080.61
Year-to-date Commissions	\$71,322.24