

COMMISSION STATEMENT

December 15, 2018

 ALEX BRISTER-D010
 32180 Inverness Drive

 BROKER #: D010
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for ALEX BRISTER-D010

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|---|-------------|-----------------|-----|---------------------------------|---------------------|--------------------|-------------------|--------|----------------------|------|----------|
| DIRECT TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 12/06/2018 | 04000508909 | GERRIE, ANDREW | MF | AMERICAN FUNDS 2040 TARGET | B | 500.00 | 13.75 | 0.00 | 13.75 | 50.0 | 6.88 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$500.00 | \$13.75 | \$0.00 | \$13.75 | | \$6.88 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | | |
| 12/03/2018 | 00064364960 | WIEBER, SANDRA | MFT | THE GROWTH FUND OF AMERICA-A | B | 0.00 | 17.03 | 0.00 | 17.03 | 50.0 | 8.52 |
| 12/03/2018 | 00067067479 | TICKLE, MICHAEL | MFT | NEW PERSPECTIVE FUND-A | B | 0.00 | 23.72 | 0.00 | 23.72 | 50.0 | 11.86 |
| 12/03/2018 | 00067067479 | TICKLE, MICHAEL | MFT | THE GROWTH FUND OF AMERICA-A | B | 0.00 | 23.16 | 0.00 | 23.16 | 50.0 | 11.58 |
| 12/03/2018 | 00067067479 | TICKLE, MICHAEL | MFT | THE INVESTMENT COMPANY OF | B | 0.00 | 18.15 | 0.00 | 18.15 | 50.0 | 9.08 |
| 12/03/2018 | 00067670267 | SCHMIDT, JACK | MFT | EUROPACIFIC GROWTH FUND CLASS F | B | 0.00 | 8.13 | 0.00 | 8.13 | 50.0 | 4.07 |
| 12/03/2018 | 00067670267 | SCHMIDT, JACK | MFT | NEW PERSPECTIVE FUND-F1 | B | 0.00 | 10.65 | 0.00 | 10.65 | 50.0 | 5.33 |
| 12/03/2018 | 00067670267 | SCHMIDT, JACK | MFT | SHORT-TERM BOND FUND OF | B | 0.00 | 2.95 | 0.00 | 2.95 | 50.0 | 1.48 |
| 12/03/2018 | 00067670267 | SCHMIDT, JACK | MFT | THE INVESTMENT COMPANY OF | B | 0.00 | 17.46 | 0.00 | 17.46 | 50.0 | 8.73 |
| 12/03/2018 | 00068717375 | GERRIE, RACHEL | MFT | CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.21 | 0.00 | 0.21 | 50.0 | 0.11 |
| 12/03/2018 | 00068717375 | GERRIE, RACHEL | MFT | FUNDAMENTAL INVESTORS-A | B | 0.00 | 2.01 | 0.00 | 2.01 | 50.0 | 1.01 |
| 12/03/2018 | 00068717375 | GERRIE, RACHEL | MFT | SMALLCAP WORLD FUND-A | B | 0.00 | 0.24 | 0.00 | 0.24 | 50.0 | 0.12 |
| 12/03/2018 | 00068781179 | GERRIE, SARA | MFT | AMCAP FUND-A | B | 0.00 | 43.82 | 0.00 | 43.82 | 50.0 | 21.91 |
| 12/03/2018 | 00068781179 | GERRIE, SARA | MFT | THE INVESTMENT COMPANY OF | B | 0.00 | 5.92 | 0.00 | 5.92 | 50.0 | 2.96 |
| 12/03/2018 | 00083404474 | BARNES, WENDY | MFT | AMCAP FUND-A | B | 0.00 | 32.84 | 0.00 | 32.84 | 50.0 | 16.42 |
| 12/03/2018 | 04000508909 | GERRIE, ANDREW | MFT | AMERICAN FUNDS 2040 TARGET | B | 0.00 | 5.38 | 0.00 | 5.38 | 50.0 | 2.69 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | | | \$0.00 | \$211.67 | \$0.00 | \$211.67 | | \$105.87 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | | \$500.00 | \$225.42 | \$0.00 | \$225.42 | | \$112.75 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$112.75 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|-------------------|
| Net Commissions | \$112.75 |
| Year-to-date Commissions | \$2,378.07 |