



# COMMISSION STATEMENT

## October 22, 2018

**TIMOTHY STATES**  
 1629 K ST NW STE 300  
 SUITE 300  
 WASHINGTON, DC 20001

**BROKER #: A70**  
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	904.00	27.12	0.00	27.12	75.0	20.34
10/16/2018	***-**-00TR - BRITT, MRS RUTH ACCOUNT #: LSR010582	FUNDAMENTAL INVESTORS-A BUY 179 Units @66.8600	12,000.03	600.00	18.00	582.00	75.0	436.50
* MUTUAL FUNDS SUBTOTAL *			<b>\$12,904.03</b>	<b>\$627.12</b>	<b>\$18.00</b>	<b>\$609.12</b>		<b>\$456.84</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/16/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	CANOPY GROWTH CORPORATION COM NPV I BUY 80 Units @54.0960	4,327.68	108.00	18.00	90.00	75.0	67.50
10/16/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	CANOPY GROWTH CORPORATION COM NPV I BUY 20 Units @54.0960	1,081.92	27.00	0.00	27.00	75.0	20.25
10/16/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	CISCO SYSTEMS INC SELL 70 Units @45.2640	3,168.48	10.00	8.99	1.01	75.0	0.76
10/16/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	CISCO SYSTEMS INC BUY 150 Units @45.6390	6,845.85	135.00	18.00	117.00	75.0	87.75
10/16/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	CRONOS GROUP INC COM NPV ISIN #CA22 BUY 250 Units @12.0680	3,017.00	10.00	11.49	-1.49	100.0	-1.49
10/16/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	CRONOS GROUP INC COM NPV ISIN #CA22 BUY 400 Units @11.4000	4,560.00	135.00	23.00	112.00	75.0	84.00
10/16/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	CRONOS GROUP INC COM NPV ISIN #CA22 BUY 150 Units @11.5700	1,735.50	35.00	18.00	17.00	75.0	12.75
10/16/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	CRONOS GROUP INC COM NPV ISIN #CA22 BUY 400 Units @11.9970	4,798.80	135.00	23.00	112.00	75.0	84.00
10/16/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FACEBOOK INC COM USD0.000006 CL A BUY 50 Units @158.0220	7,901.10	135.00	16.00	119.00	75.0	89.25
10/16/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	GLAXOSMITHKLINE ADR EACH CNV INTO 2 SELL 50 Units @39.6240	1,981.20	35.00	16.00	19.00	75.0	14.25
10/16/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	MARIMED INC COM BUY 60 Units @4.8730	292.38	35.00	18.00	17.00	75.0	12.75
10/16/2018	***-**-537I - BRITT, FBO EDDIE ACCOUNT #: LSR010574	NEKTAR THERAPEUTICS SELL 100 Units @50.7220	5,072.20	135.00	17.00	118.00	75.0	88.50
* STOCKS SUBTOTAL *			<b>\$44,782.11</b>	<b>\$935.00</b>	<b>\$187.48</b>	<b>\$747.52</b>		<b>\$560.27</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/01/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: 00777137973	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	0.58	0.00	0.58	75.0	0.44
10/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	6.12	0.00	6.12	75.0	4.59
10/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	19.02	0.00	19.02	75.0	14.27
10/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	8.22	0.00	8.22	75.0	6.17
10/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	23.21	0.00	23.21	75.0	17.41
10/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	18.96	0.00	18.96	75.0	14.22
10/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 00777135535	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	26.34	0.00	26.34	75.0	19.76
10/01/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	19.17	0.00	19.17	75.0	14.38
10/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	7.09	0.00	7.09	75.0	5.32
10/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	9.46	0.00	9.46	75.0	7.10
10/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	15.63	0.00	15.63	75.0	11.72
10/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	20.42	0.00	20.42	75.0	15.32
10/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 00777135535	INVESCO EQUALLY WEIGHTED S&P 500 A BUY 0 Units @0.0000	0.00	14.45	0.00	14.45	75.0	10.84
10/01/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	INVESCO EQUALLY WEIGHTED S&P 500 A BUY 0 Units @0.0000	0.00	10.52	0.00	10.52	75.0	7.89
10/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO SMALL CAP EQUITY FUND-CLASS BUY 0 Units @0.0000	0.00	11.60	0.00	11.60	75.0	8.70
10/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 0 Units @0.0000	0.00	54.61	0.00	54.61	75.0	40.96



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
10/01/2018	***-**-574I - DAVIS, MISCHA	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	15.58	0.00	15.58	75.0	11.69
	ACCOUNT #: 00777135769	BUY 0 Units @0.0000						
10/01/2018	***-**-067X - HIGHSMITH JR,	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	10.95	0.00	10.95	75.0	8.21
	ACCOUNT #: 00777137973	BUY 0 Units @0.0000						
10/01/2018	***-**-819I - BOYKIN,	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	20.08	0.00	20.08	75.0	15.06
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
10/01/2018	***-**-8854 - WEINSTEIN,	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	24.70	0.00	24.70	75.0	18.53
	ACCOUNT #: 00777135535	BUY 0 Units @0.0000						
10/01/2018	***-**-2398 - WEINSTEIN,	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	19.63	0.00	19.63	75.0	14.72
	ACCOUNT #: 00777135536	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$356.34</b>	<b>\$0.00</b>	<b>\$356.34</b>		<b>\$267.30</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$57,686.14</b>	<b>\$1,918.46</b>	<b>\$205.48</b>	<b>\$1,712.98</b>		<b>\$1,284.41</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$1,284.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,284.41</b>
<b>Year-to-date Earnings</b>	<b>\$71,824.29</b>