

COMMISSION STATEMENT
November 15, 2018

MONROE PARKER-D334
112 SW 8th Avenue
Suite 932
Amarillo, TX 79101

BROKER #: D334
BRANCH: DMN

COMMISSION STATEMENT for MONROE PARKER-D334

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | |
|-----------------------------------|-------------|----|-------------|---|----------|--------|--------|--------|------|--------|
| 10/22/2018 (None) | HANSEN, DAV | MF | OPPENHEIMER | B | 250.00 | 7.50 | 0.00 | 7.50 | 82.0 | 6.15 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | \$250.00 | \$7.50 | \$0.00 | \$7.50 | | \$6.15 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | \$250.00 | \$7.50 | \$0.00 | \$7.50 | | \$6.15 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$6.15 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|-------------|
| Net Commissions | \$6.15 |
| Year-to-date Commissions | \$21,561.47 |