

COMMISSION STATEMENT

November 12, 2018

BERT GOLDBERG

1048 W. Myrna Lane Tempe, AZ 85284 BROKER #: 698

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT	CATEGORY: VARIABLE ANNUITIES	<u> </u>						
11/12/201	8 ***-**-0235 - RKS, PLUMBING & ACCOUNT #: 26983	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	869.03	0.00	869.03	70.0	608.32
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$869.03	\$0.00	\$869.03		\$608.32
PRODUCT	CATEGORY: RIA							
11/12/201	8 ***-**-80FB - CURD, NANCY ACCOUNT #: 925344088	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	25.07	25.07	0.00	25.07	70.0	17.55
11/12/201	8 ***-**-33FB - AUDY, JAMES ACCOUNT #: 925433071	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	165.33	165.33	0.00	165.33	70.0	115.73
		* RIA SUBTOTAL *	\$190.40	\$190.40	\$0.00	\$190.40		\$133.28
		*** BROKER TRANSACTIONS TOTAL ***	\$190.40	\$1,059.43	\$0.00	\$1,059.43		\$741.60
					<u> </u>	ROKER COMMI	SSION	TOTALS
					Broker Transactions			\$741.60
					Split Transactions			\$0.00
					Overrid	e Transactions		\$0.00
						Adjustments		\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$741.60
					Year-to	-date Earnings		\$56,506.36