

COMMISSION STATEMENT
October 31, 2018

SMITH KUTAS-D088

BROKER #: D088
BRANCH: DMN

, TX

COMMISSION STATEMENT for SMITH KUTAS-D088

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------|----------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | |
| 10/19/2018 | mfc748330 KUTAS 748330 | MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 12.38 | 0.00 | 12.38 | 85.0 | 10.52 |
| 10/19/2018 | mfc748330 KUTAS 748330 | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 12.28 | 0.00 | 12.28 | 85.0 | 10.44 |
| 10/19/2018 | mfc748330 KUTAS 748330 | MFT LORD ABBETT AFFILIATED FUND | B | 0.00 | 2.33 | 0.00 | 2.33 | 85.0 | 1.98 |
| 10/19/2018 | mfc748330 KUTAS 748330 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 25.13 | 0.00 | 25.13 | 85.0 | 21.36 |
| 10/19/2018 | mfc748330 KUTAS 748330 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 33.48 | 0.00 | 33.48 | 85.0 | 28.46 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$85.60 | \$0.00 | \$85.60 | | \$72.76 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$0.00 | \$85.60 | \$0.00 | \$85.60 | | \$72.76 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$72.76 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|---------------|
| Net Commissions | \$0.00 |
| Year-to-date Commissions | \$0.00 |