

Bill Payment Stub

Check Date:	5/7/2018
Check No.:	41491
Check Amount:	4,175.54

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: PAUL R. WIMBERLY
13918 KEEL AVE
CORPUS CHRISTI, TX 78418

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/30/2018	Bill	COMMISSIONS	4,310.54	4,310.54		4,310.54
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

COMMISSION STATEMENT

April 30, 2018

PAUL WIMBERLY-D073

BROKER #: D073

BRANCH: DMN

, TX

COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/06/2018 800075417	WOOD, JOANNE	MF AB INCOME FD ADV	S	-86,066.02	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *				\$-86,066.02	\$0.00	\$5.00	\$-5.00		\$-4.38

PRODUCT CATEGORY: STOCKS

04/11/2018 153273526	BENNETT, BARBARA	S I SHARES MSCI NETHERLANDS INV	B	16,424.01	147.06	15.00	132.06	87.5	115.55
04/11/2018 153273526	BENNETT, BARBARA	S POWERSHARES GOLDEN DRAGON CHINA	B	19,004.32	166.27	15.00	151.27	87.5	132.36
04/11/2018 153273526	BENNETT, BARBARA	S PWR S&P 500 EQUAL WEIGHT TECH	S	-26,229.50	223.42	15.00	208.42	87.5	182.37
04/11/2018 153273526	BENNETT, BARBARA	S VANGUARD FTSE EMERGING MARKETS	S	-9,731.10	98.74	15.00	83.74	87.5	73.27
04/23/2018 646272022	FAGG, LINDA	S ADVANSIX INC	S	-4,836.69	15.00	15.00	0.00	87.5	0.00
04/23/2018 646272022	FAGG, LINDA	S ALTRIA GROUP INCORPORATED	S	-21,449.00	15.00	15.00	0.00	87.5	0.00
04/26/2018 646272022	FAGG, LINDA	S KNIGHT-SWIFT TRANSN HLDGS INC	B	9,861.70	15.00	15.00	0.00	87.5	0.00
04/10/2018 677623004	CURTIS, DAVID	S GLOBAL X SUPER DIVIDEND ETF	B	-20,862.45	-180.10	-15.00	-165.10	87.5	-144.46
04/10/2018 677623004	CURTIS, DAVID	S GLOBAL X SUPER DIVIDEND ETF	B	20,862.45	180.10	15.00	165.10	87.5	144.46
04/10/2018 677623004	CURTIS, DAVID	S GLOBAL X SUPER DIVIDEND ETF	S	28,506.11	-484.91	-15.00	-469.91	87.5	-411.17
04/10/2018 677623004	CURTIS, DAVID	S GLOBAL X SUPER DIVIDEND ETF	S	-28,506.11	484.91	15.00	469.91	87.5	411.17
04/10/2018 677623004	CURTIS, DAVID	S ISHARES DJ US FINANCIAL SVCS	S	-26,138.33	222.73	15.00	207.73	87.5	181.76
04/10/2018 677623004	CURTIS, DAVID	S ISHARES MSCI EMERGING MKTS	S	-11,852.74	114.78	15.00	99.78	87.5	87.31
04/10/2018 677623004	CURTIS, DAVID	S VANGUARD FTSE EMERGING MARKETS	S	-13,910.21	130.32	15.00	115.32	87.5	100.91
04/16/2018 677623004	CURTIS, DAVID	S FIRST TRUST DOW JONES INTERNET	B	30,935.03	255.08	15.00	240.08	87.5	210.07
04/09/2018 799497978	WIMBERLY, PAUL	S AGNC INVESTMENT CORP	B	43,174.20	15.00	15.00	0.00	87.5	0.00
04/13/2018 799497978	WIMBERLY, PAUL	S GLOBAL X SUPER DIVIDEND ETF	S	-516,345.62	15.00	15.00	0.00	87.5	0.00
04/13/2018 799497978	WIMBERLY, PAUL	S POWERSHARESQQQ TR UNIT SER 1	B	161,986.45	15.00	15.00	0.00	87.5	0.00
04/17/2018 799497978	WIMBERLY, PAUL	S POWERSHARESQQQ TR UNIT SER 1	B	329,595.45	15.00	15.00	0.00	87.5	0.00
04/20/2018 799497978	WIMBERLY, PAUL	S POWERSHARESQQQ TR UNIT SER 1	S	-490,481.12	15.00	15.00	0.00	87.5	0.00
04/06/2018 800075417	WOOD, JOANNE	S ALLIANCEBERNSTEIN GLOBAL HGH	S	-11,673.95	113.43	15.00	98.43	87.5	86.13
04/06/2018 800075417	WOOD, JOANNE	S ALTRIA GROUP INCORPORATED	S	-50,703.47	408.37	15.00	393.37	87.5	344.20
04/06/2018 800075417	WOOD, JOANNE	S AMEREN CORPORATION	S	-45,219.89	366.93	15.00	351.93	87.5	307.94
04/06/2018 800075417	WOOD, JOANNE	S ANNALY CAPITAL MANAGEMENT	S	-72,711.88	574.68	15.00	559.68	87.5	489.72
04/06/2018 800075417	WOOD, JOANNE	S AT&T INC	S	-80,888.46	636.47	15.00	621.47	87.5	543.79
04/06/2018 800075417	WOOD, JOANNE	S CYS INVESTMENTS INC	S	-43,949.95	357.33	15.00	342.33	87.5	299.54
04/06/2018 800075417	WOOD, JOANNE	S DUKE ENERGY CORP NEW COM NEW	S	-88,296.14	692.45	15.00	677.45	87.5	592.77

COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/06/2018 800075417	WOOD, JOANNE	S ENERGY TRANSFER EQUITY L P	S	-27,855.26	235.71	15.00	220.71	87.5	193.12
04/06/2018 800075417	WOOD, JOANNE	S FRONTIER COMM CORP	S	-2,504.64	44.13	15.00	29.13	87.5	25.49
04/06/2018 800075417	WOOD, JOANNE	S ORANGE-SPONS ADR	S	-16,987.57	153.58	15.00	138.58	87.5	121.26
04/06/2018 800075417	WOOD, JOANNE	S VERIZON COMMUNICATIONS INC	S	-9,867.09	99.77	15.00	84.77	87.5	74.17
04/06/2018 800075417	WOOD, JOANNE	S VODAFONE GROUP PLC NEW - SPON	S	-12,440.73	119.22	15.00	104.22	87.5	91.19
* STOCKS SUBTOTAL *				<u>\$-973,092.18</u>	<u>\$5,280.47</u>	<u>\$420.00</u>	<u>\$4,860.47</u>		<u>\$4,252.92</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/20/2018 mfc750162	WIMBERLY 750162	MFT LARGE CAP EQUITY A	B	0.00	1.78	0.00	1.78	87.5	1.56
04/20/2018 mfc750162	WIMBERLY 750162	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.58	0.00	1.58	87.5	1.38
04/20/2018 mfc750162	WIMBERLY 750162	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.71	0.00	0.71	87.5	0.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$4.07</u>	<u>\$0.00</u>	<u>\$4.07</u>		<u>\$3.56</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$-1,059,158.20</u>	<u>\$5,284.54</u>	<u>\$425.00</u>	<u>\$4,859.54</u>		<u>\$4,252.10</u>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None)	VARIOUS, WIMBERLY	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	16.06	0.00	16.06	87.5	14.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$16.06</u>	<u>\$0.00</u>	<u>\$16.06</u>		<u>\$14.05</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$16.06</u>	<u>\$0.00</u>	<u>\$16.06</u>		<u>\$14.05</u>

ADJUSTMENTS

04/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
04/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		99.39
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$44.39</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,266.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$44.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,310.54
Year-to-date Commissions	\$12,237.93