

COMMISSION STATEMENT October 31, 2018

JOY LAWRENCE-D198

BROKER #: D198

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/25/2018	214776560	DUNLAP, TERRY	MF	AMERICAN BALANCED FUND-A	S	100.00	4.88	2.50	2.38	0.0	0.00
				* MUTUAL FUNDS SUBTOTAL *		\$100.00	\$4.88	\$2.50	\$2.38		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AIM SHORT TERM BOND FUND CLASS	B	0.00	0.68	0.00	0.68	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AIM SHORT TERM BOND FUND CLASS	B	0.00	0.71	0.00	0.71	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.96	0.00	0.96	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	0.92	0.00	0.92	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT ALLIANCEBERNSTEIN SMALL CAP	B	0.00	0.30	0.00	0.30	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AMCAP FUND-A	B	0.00	3.50	0.00	3.50	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AMCAP FUND-A	B	0.00	4.18	0.00	4.18	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AMERICAN BALANCED FUND-A	B	0.00	1.12	0.00	1.12	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AMERICAN BALANCED FUND-A	B	0.00	1.32	0.00	1.32	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AMERICAN BALANCED FUND-A	B	0.00	3.27	0.00	3.27	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT AMERICAN BALANCED FUND-A	B	0.00	3.71	0.00	3.71	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	1.92	0.00	1.92	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	1.93	0.00	1.93	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	6.22	0.00	6.22	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	33.93	0.00	33.93	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	38.54	0.00	38.54	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	43.05	0.00	43.05	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BALANCED PORTFOLIO-C	B	0.00	65.30	0.00	65.30	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT BLK 091928846	B	0.00	0.64	0.00	0.64	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.04	0.00	1.04	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.29	0.00	1.29	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.42	0.00	3.42	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.50	0.00	3.50	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.99	0.00	1.99	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL WORLD BOND FUND-A	B	0.00	2.10	0.00	2.10	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.95	0.00	1.95	0.0	0.00
10/19/2018	mfc974464	LAWRENCE JOY	974464	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.68	0.00	2.68	0.0	0.00

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10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT EHV 277902813	B	0.00	0.47	0.00	0.47	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.82	0.00	0.82	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FEDERATED KAUFMANN SMALL CAP FD	B	0.00	2.17	0.00	2.17	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FEDERATED KAUFMANN FUND	B	0.00	2.33	0.00	2.33	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.27	0.00	2.27	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	0.20	0.00	0.20	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	0.27	0.00	0.27	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.23	0.00	0.23	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.53	0.00	3.53	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	16.91	0.00	16.91	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	17.37	0.00	17.37	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	24.09	0.00	24.09	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.74	0.00	2.74	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT HARTFORD GLOBAL CAP	B	0.00	2.39	0.00	2.39	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT INVESCO US GOVT FD INV CL	B	0.00	1.97	0.00	1.97	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT INVESCO US GOVT FD INV CL	B	0.00	2.05	0.00	2.05	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT INVESCO WORLD BOND FD A	B	0.00	1.17	0.00	1.17	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT INVESCO WORLD BOND FD A	B	0.00	1.23	0.00	1.23	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.91	0.00	1.91	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.72	0.00	7.72	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PIMCO ALL ASSET A	B	0.00	0.68	0.00	0.68	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746444504	B	0.00	5.43	0.00	5.43	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746444108	B	0.00	2.39	0.00	2.39	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746444108	B	0.00	2.53	0.00	2.53	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 746745108	B	0.00	2.21	0.00	2.21	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM 74677Q109	B	0.00	1.24	0.00	1.24	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM ASSET ALLOCATION	B	0.00	4.01	0.00	4.01	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM DIVERSIFIED INCOME TRUST	B	0.00	1.28	0.00	1.28	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	1.93	0.00	1.93	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.02	0.00	1.02	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT PUTNAM SMALL CAP GROWTH FUND	B	0.00	0.39	0.00	0.39	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT SMALLCAP WORLD FUND-A	B	0.00	1.22	0.00	1.22	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT SMALLCAP WORLD FUND-A	B	0.00	1.37	0.00	1.37	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.63	0.00	1.63	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.88	0.00	2.88	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.52	0.00	3.52	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.12	0.00	2.12	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.59	0.00	2.59	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.42	0.00	4.42	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.31	0.00	2.31	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT THE INVESTMENT COMPANY OF	B	0.00	2.44	0.00	2.44	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT TRANSAMERICA ASSET ALLOC	B	0.00	2.00	0.00	2.00	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.50	0.00	7.50	0.0	0.00
10/19/2018 mfc974464	LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.43	0.00	2.43	0.0	0.00

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$381.55	\$0.00	\$381.55		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$100.00	\$386.43	\$2.50	\$383.93		\$0.00

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/08/2018 00089409077	KNIGHT, HEATHER	MFT DEUTSCHE GNMA FUND-A	B	0.00	3.71	0.00	3.71	0.0	0.00
10/08/2018 00089409077	KNIGHT, HEATHER	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	6.94	0.00	6.94	0.0	0.00
10/08/2018 00133424003	BECKER, DENISE	MFT DEUTSCHE MULTI-ASSET MODERATE	B	0.00	0.65	0.00	0.65	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$11.30	\$0.00	\$11.30		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$11.30	\$0.00	\$11.30		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00