

# 8.1 Multiway Cut Problem and Minimum-Cut-Based Algorithm

Approximating Multi-Terminal Cuts  
via Disjoint Isolating Regions

# Intuitive Example — Distributed Computing

- Each vertex = an object or process.
- Each edge = communication between objects.
- $c_e$  = communication cost.
- Terminals  $s_i$  must be placed on machine  $i$ .
- Objective: minimize inter-machine communication.

# Isolating Cuts

- For each terminal  $s_i$ , define its region  $C_i$  as the set of vertices connected to  $s_i$  after removing  $F$ .
- $F_i = \delta(C_i)$
- Each  $F_i$  is an isolating cut separating  $s_i$  from the other terminals  $\{s_1, \dots, s_k\}$ .
- A single edge  $e$  may appear in multiple  $F_i$ 's if it connects two regions  $C_i, C_j$ .

# Algorithm Idea

- For each  $i \in \{1, \dots, k\}$  :
  1. Add a virtual sink  $t$ .
  2. Connect all other terminals  $s_j, j \neq i$  to  $t$  with infinite-cost edges.
  3. Compute the minimum  $s_i$ - $t$  cut — this gives the smallest  $F_i$ .

Output  $F = \bigcup_{i=1}^k F_i$  as the final multiway cut.

- Then:

$$c(F) \leq 2(1 - 1/k) \cdot OPT$$

# Theorem 8.1 — 2-Approximation

- Let  $F^*$  be the optimal multiway cut. For each  $s_i$ , let  $F_i^*$  be its isolating cut in  $F^*$ .
- Because each edge can belong to at most two  $F_i^*$ 's:
- $\sum_{i=1}^k c(F_i^*) \leq 2 \cdot c(F^*) = 2 \cdot \text{OPT}$
- Since  $F_i$  is the minimum isolating cut for  $s_i$ :
- $c(F_i) \leq c(F_i^*) \Rightarrow c(F) \leq 2 \cdot \text{OPT}$

# Improved Version — $(2 - 2/k)$ - Approximation

- If we discard the most expensive among the  $k$  isolating cuts and keep only the cheapest  $(k-1)$  cuts:
- $F = \bigcup_{i=1}^{k-1} F_i$
- Then:

$$c(F) \leq 2\left(1 - \frac{1}{k}\right) \cdot OPT$$

Main text begins

# Multiway Cut and the Breakdown of Planarity

- Elias Dahlhaus et al., 1992

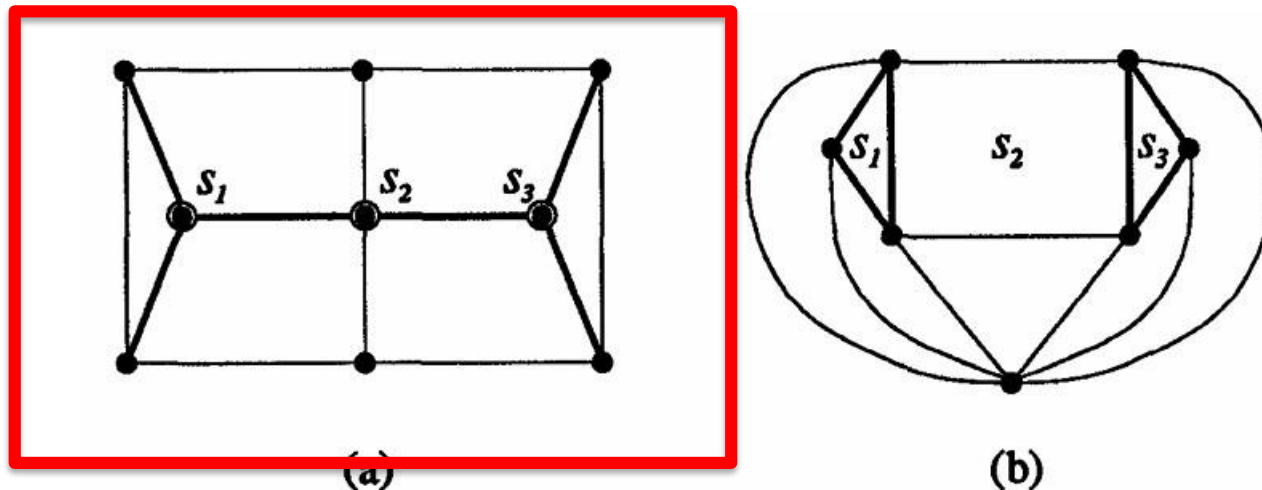
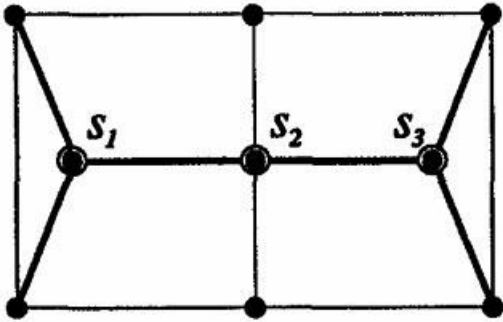


FIGURE 1. A planar 3-way cut (a) and its dual (b).



# Multi-way Cut Problem



In this graph, every edge can be **cut**, and removing an edge changes the **connectivity** of the graph.

Each edge has a **weight (or cost)** representing the expense of cutting it.

Our goal is to remove a set of edges so that the three terminals

$s_1, s_2, s_3$ , are **no longer connected** to each other — that is, there is **no path** between any pair of terminals.

# Multi-way Cut Problem

- Given an undirected graph  $G = (V, E)$  with nonnegative edge costs

$$c_e \geq 0 \quad \forall e \in E,$$

- and a set of  $k$  designated terminals

$$S = \{s_1, s_2, \dots, s_k\} \subseteq V.$$

# Multi-way Cut Problem

## Goal :

- Find a subset of edges  $F \subseteq E$  such that, after removing  $F$  from  $G$ ,
- every pair of distinct terminals  $s_i, s_j \in S$  lies in **different connected components** of  $G(V, E \setminus F)$ , and the total cost of the removed edges is minimized.

# Mathematical Formulation

- Minimize

$$c(F) = \sum_{e \in F} c_e$$

- subject to  $s_i$  and  $s_j$  are disconnected in

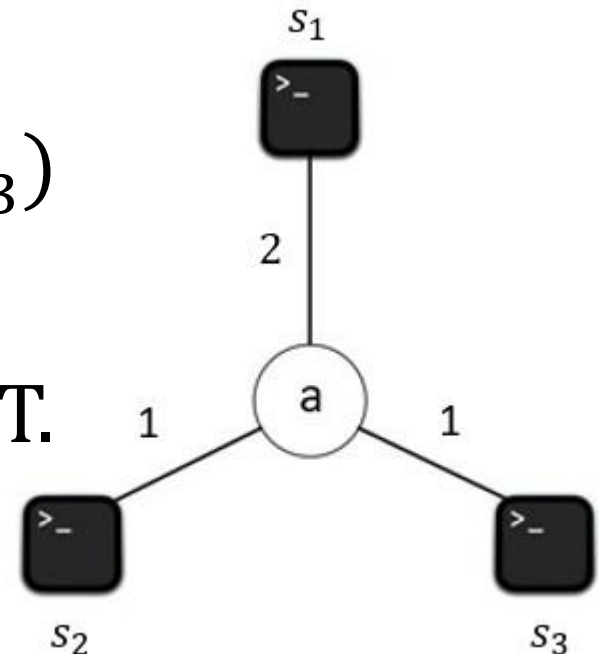
$$G(V, E \setminus F), \forall i \neq j.$$

# Motivation

- - In a simple min s-t cut, we can find the exact minimum using max-flow.
- - When number of terminals  $k > 2 \rightarrow$  Multiway Cut Problem.
- - Dahlhaus et al. (1992):
  - NP-hard for general graphs.
  - Polynomial-time solvable only when planar and  $k$  fixed.

# Planar Case (Normal Situation)

- Algorithm:
- $F_1 = \{(s_1, a)\}$  or  $\{(a, s_2), (a, s_3)\}$  cost=2
- $F_2 = \{(a, s_2)\}$  cost=1
- $F_3 = \{(a, s_3)\}$  cost=1
- That is ,  $c(F_1) + c(F_2) + c(F_3)$   
 $= 2 + 1 + 1 = 4.$
- Union=4, take  $k-1=2 \rightarrow \text{OPT}.$





Well done, But The Multiway Cut problem is NP-hard.





# “The Complexity of Multiterminal Cuts” (Dahlhaus et al., 1992)

- established a complete complexity classification of the Multiway Cut problem:
- For **two terminals** ( $k = 2$ ), it reduces to the *minimum  $s$ - $t$  cut*, solvable in polynomial time via max-flow.
- For **three or more terminals** ( $k \geq 3$ ), the problem becomes **NP-hard** in general graphs.
- However, it is **polynomial-time solvable** when the graph is **planar** and the number of terminals  $k$  is fixed.

# “The Complexity of Multiterminal Cuts” (Dahlhaus et al., 1992)

No.	Result Type	Summary
(1)	Exact algorithm for planar graphs ( $k = 3$ )	<b>In planar graphs</b> , the three-terminal Multiway Cut can be solved exactly in $O(n^3 \log n)$ time, using specialized flow-network and dual-graph techniques.
(2)	Exact algorithm for planar graphs (fixed $k$ )	For any fixed number of terminals $k$ , the Multiway Cut in planar graphs remains polynomial-time solvable, but the runtime grows exponentially with $k$ (e.g., $O(n^{O(k)})$ ).
(3)	NP-hardness results	When $k$ is part of the input (not fixed), the problem remains NP-hard even in planar graphs with unit edge weights. In general (non-planar) graphs, it is NP-hard even for $k = 3$ .
(4)	Approximation algorithm	The first polynomial-time approximation algorithm achieves a ratio of $2 - 2/k$ . Later studies proved this bound is essentially tight unless $P = NP$ .

# **“The Complexity of Multiterminal Cuts” (Dahlhaus et al., 1992)**

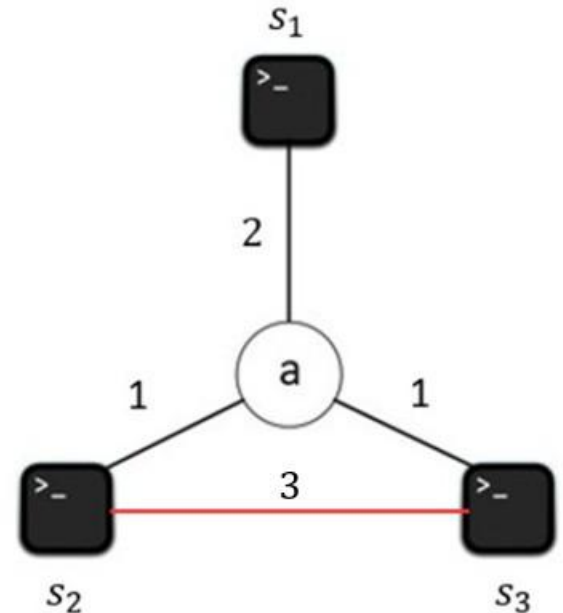
- “The results clarify the boundary between the tractable and intractable cases of the Multiway Cut problem, and give a simple, near-optimal approximation algorithm.”

# “The Complexity of Multiterminal Cuts” (Dahlhaus et al., 1992)

- The study clearly distinguishes the boundary between tractable and intractable cases of the Multiway Cut problem:  
planar graphs with fixed  $k$  are solvable in polynomial time, while the problem becomes NP-hard for non-planar graphs or unbounded  $k$ . Moreover, they provide a simple polynomial-time algorithm achieving a near-optimal approximation ratio of  $2 - \frac{2}{k}$ .

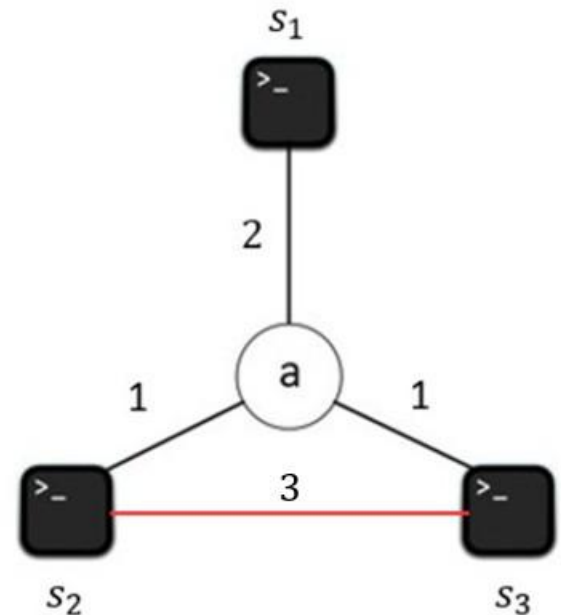
# Breaks topological separability between regions.

- Add edge  $(s_2, s_3) = 3$
- Now terminals  $s_2$  and  $s_3$  are directly connected → **breaks topological separability between regions.**



# Breaks topological separability between regions.

- The direct link between terminals destroys the disjoint structure of isolating cuts — the regions now overlap topologically even though the graph is still planar.



# Start by looking at the **isolating cuts** for the three terminals:

- Isolating cuts:

$s_1: \{(s_1, a)\} \rightarrow \text{cost} = 2$

$s_2: \{(a, s_2)\} \rightarrow \text{cost} = 1$

$s_3: \{(a, s_3)\} \rightarrow \text{cost} = 1$

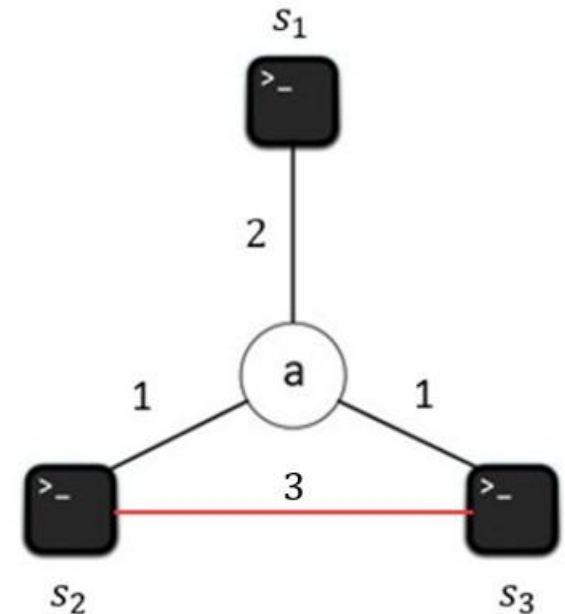
- Without  $(s_2, s_3)$ : total = 4

Add  $(s_2, s_3)=3 \rightarrow$  overlaps occur

$F' = \{(s_1, a), (a, s_2), (a, s_3), (s_2, s_3)\} \rightarrow \text{cost} = 7$

$OPT = \{(a, s_2), (a, s_3), (s_2, s_3)\} \rightarrow \text{cost} = 5$

Overlapping isolating regions  $\Rightarrow$  higher cost



# Why It Fails

- **Direct edge between terminals breaks topological separability.**  
(Terminals are no longer isolated by disjoint regions.)
- **Isolating regions overlap  $\rightarrow$  edges are double-counted.**  
(Cuts share common edges, increasing total cost.)
- **Each edge can appear in  $\leq 2$  isolating cuts  $\rightarrow$  total  $\leq 2 \times \text{OPT}$ .**  
(By double counting argument, total cost  $\leq 2 \times \text{OPT}$ .)
- **Removing the most expensive cut  $\rightarrow$  approximation ratio  $= 2 - 2/k$ .**



# Isolating Cut Heuristic $\rightarrow 2 - 2/k$ Approximation

Possible isolating cuts for  $s_1$ :

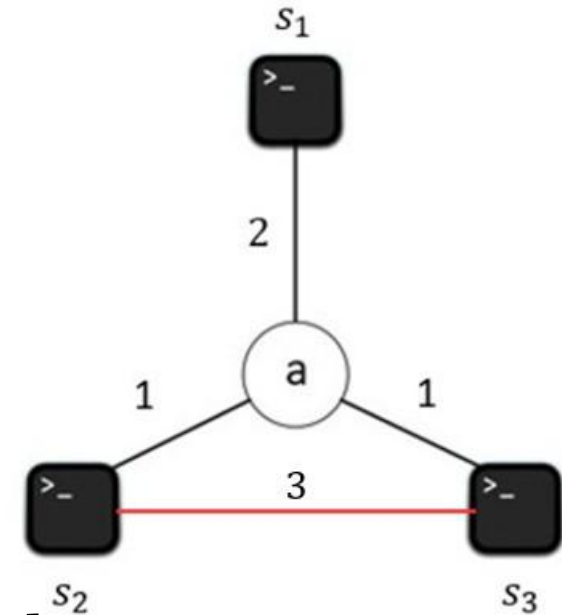
$$F_1 = \{(s_1, a)\} \rightarrow \text{cost} = 2$$

$$F_1' = \{(a, s_2), (a, s_3)\} \rightarrow \text{cost} = 2$$

(alternative choice)

Although both have the same cost, they affect the overall ratio differently:

- $F_1$  isolates one region  $\rightarrow$  minimal overlap.
- $F_1'$  connects two terminals  $\rightarrow$  larger overlap in union.



# Isolating Cut Heuristic $\rightarrow 2 - 2/k$ Approximation

Other isolating cuts:

$$F_2 = \{(a, s_2), (s_2, s_3)\} \rightarrow \text{cost} = 4$$

$$F_3 = \{(a, s_3), (s_2, s_3)\} \rightarrow \text{cost} = 4$$

Sum of isolating cuts =  $2 + 4 + 4 = 10$

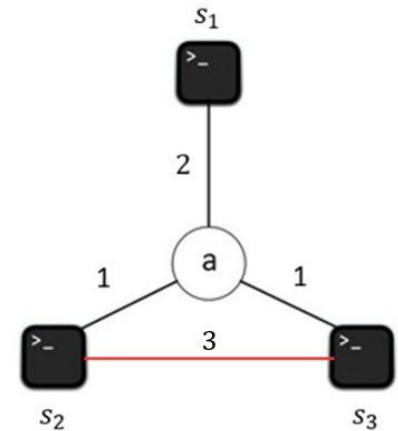
Union of all edges =  $\{(s_1, a), (a, s_2), (a, s_3), (s_2, s_3)\}$

$\rightarrow \text{cost} = 7$

True OPT =  $\{(a, s_2), (a, s_3), (s_2, s_3)\} \rightarrow \text{cost} = 5$  (OPT)

$\Rightarrow$  Equal cost  $\neq$  equal ratio impact.

ALG depends on overlap structure, not just edge weights.



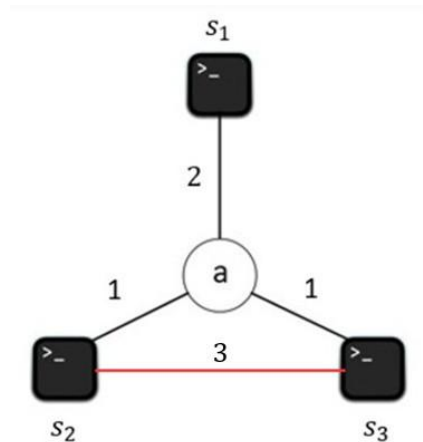
# Isolating Cut Heuristic $\rightarrow 2 - 2/k$ Approximation

Possible isolating cuts for  $s_1$ :

$$F_1 = \{(s_1, a)\} \rightarrow \text{cost} = 2$$

$$F_1' = \{(a, s_2), (a, s_3)\} \rightarrow \text{cost} = 2$$

(alternative choice)



Other isolating cuts:

$$F_2 = \{(a, s_2), (s_2, s_3)\} \rightarrow \text{cost} = 4$$

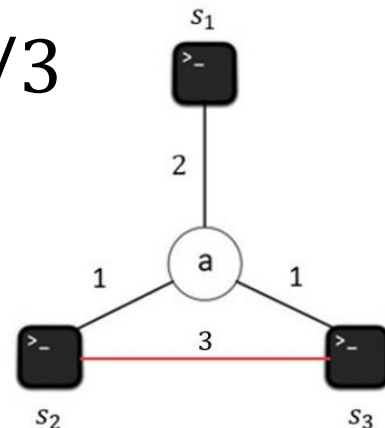
$$F_3 = \{(a, s_3), (s_2, s_3)\} \rightarrow \text{cost} = 4$$

# Isolating Cut Heuristic $\rightarrow 2 - 2/k$

## Approximation

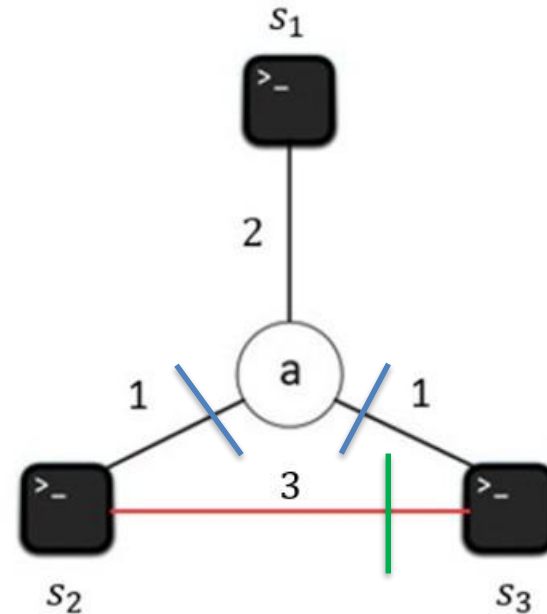
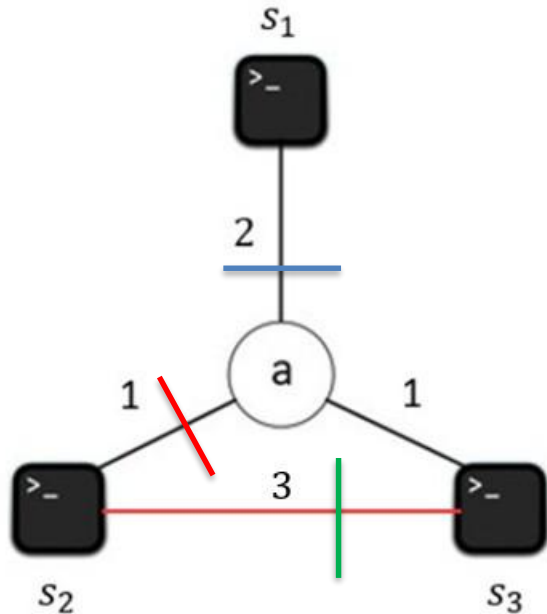
- Case A (choose  $F_1$  and  $F_2$ ; i.e.,  $s_1$  takes  $F_1$ )  
Union =  $\{(s_1, a), (a, s_2), (s_2, s_3)\} \rightarrow ALG = 6$   
With  $OPT = 5$ ,  
ratio =  $6/5 = 1.20 \leq (2 - 2/3) = 4/3$
- Case B (choose  $F_1'$  and  $F_2$ ; i.e.,  $s_1$  takes  $F_1'$ )  
Union =  $\{(a, s_2), (a, s_3), (s_2, s_3)\} \rightarrow ALG = 5$   
With  $OPT = 5$ , ratio =  $5/5 = 1.00 \leq 4/3$

# 2-2/k -Approximation Algorithm



# Compare Case A and Case B

Case A (choose  $F_1$  and  $F_2$ ; i.e.,  $s_1$  takes  $F_1$ )



Case B (choose  $F_1'$  and  $F_2$ ; i.e.,  $s_1$  takes  $F_1'$ )

# Topological Meaning of Multiway Cuts — Separation, not Geometry

- Dahlhaus et al. (1992) used many planar and dual constructions, but their use of topology was not about geometry—it was about separability.
- In other words, they cared about whether there exists a set of edges that can separate each terminal into different connected components, such that those separating cuts are disjoint in topology.
- This “topological separability” defines when a graph is tractable:
  - When the cuts are separable (planar, disjoint regions) → solvable in polynomial time.
  - When cuts overlap (non-separable regions) → NP-hard.
- So, topology here means the structure of separability, not whether lines cross in a geometric drawing.

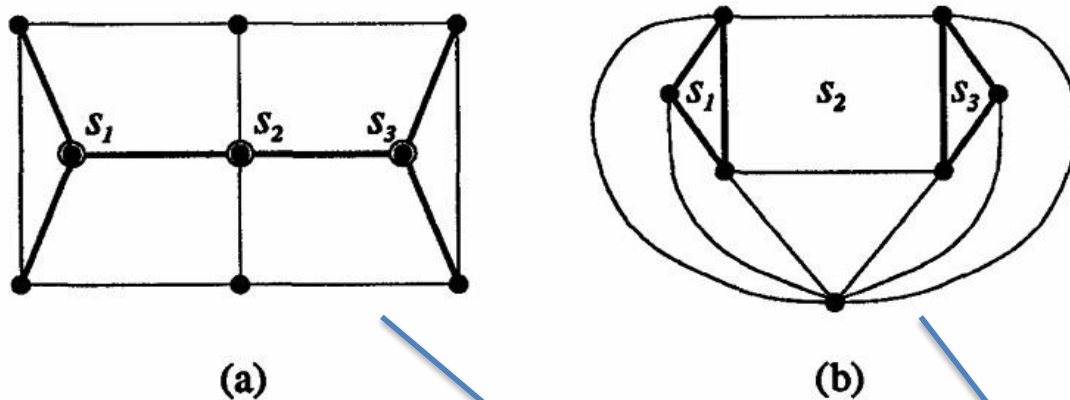


FIGURE 1. A planar 3-way cut (a) and its dual (b).

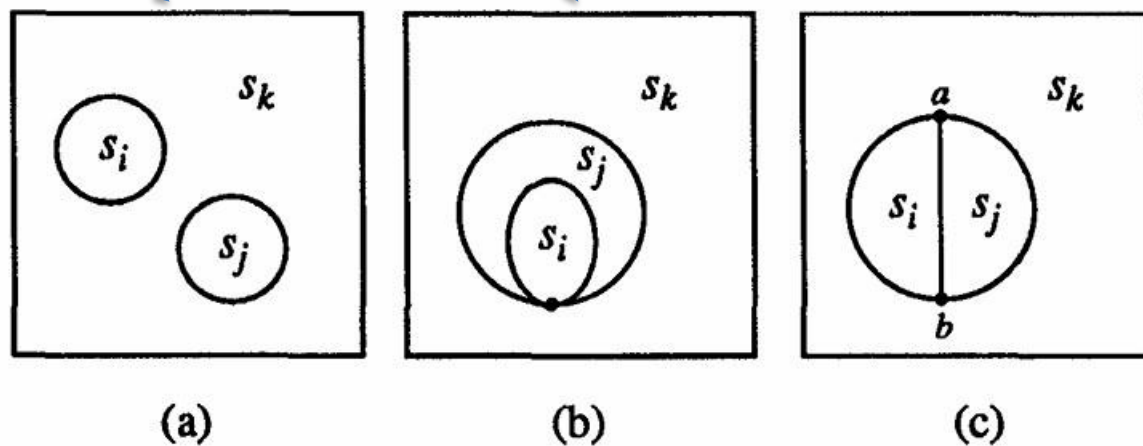


FIGURE 2. Types of 3-way cuts: Type I (a) and (b), Type II (c).

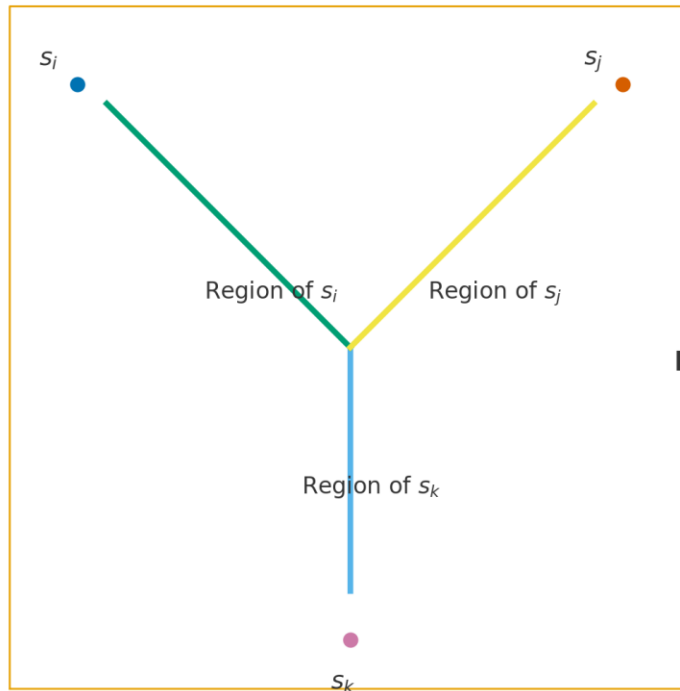
# Classification of 3-Way Cut Topologies

Type	Dual Graph ( $C^D$ ) Shape	Geometric Intuition	Meaning in Primal Graph
Type I	Two non-overlapping cycles (possibly tangent at one point)	Like two separate loops dividing the plane into three disjoint regions	Each cycle corresponds to a terminal's isolating cut; regions are topologically disjoint
Type II	Three boundary paths connecting the same pair of vertices (a–b)	Like a 'three-petal flower' or Y-shaped structure	Terminal regions are pairwise adjacent, sharing boundaries → non-disjoint separability



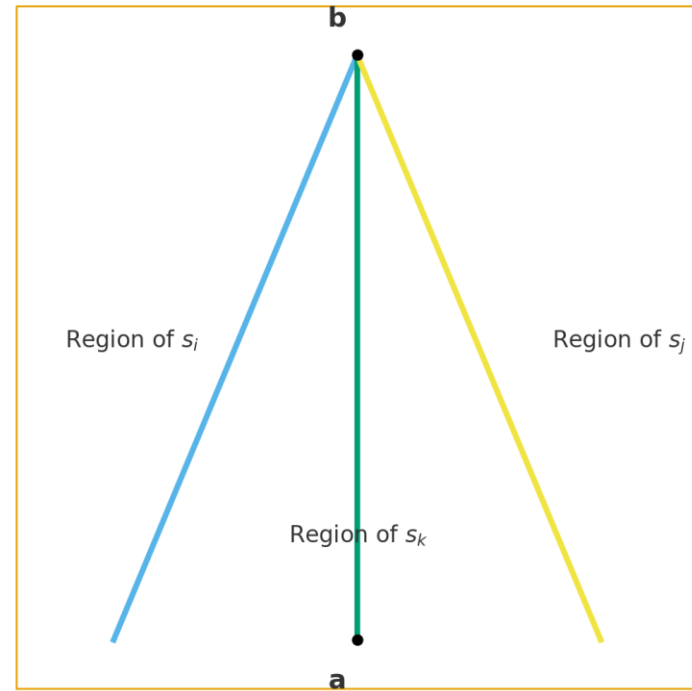
# Dahlhaus et al. (1992) *Type II 3-way cut*

Primal Graph  $G$  (Type II Y-shape cut)



Dual  $\leftrightarrow$

Dual Graph  $G^D$  (Three a-b paths, Type II)



# Our example belong to Type II

# Key Insight

- When terminals are directly connected, the graph loses its original **DAG-like hierarchical separability**.  
As a result, **isolating cuts begin to overlap**, leading to **duplicate edge counting** and increased total cost.  
Among all tractable cases, **planarity** is the last structural property that preserves **topological separability**.

# Summary

- **Planar graphs** possess a DAG-like topological separability,  
so the solution produced by the **Isolating Cut Heuristic** is close to the optimal ( $\approx$  OPT).
- In **non-planar graphs**, direct connections between terminals cause overlaps between cuts, resulting in higher costs and approximation errors.
- Thus, the loss of **planarity and separability** marks the **structural boundary between polynomial-time solvable and NP-hard cases**.

# Reference

- **Elias Dahlhaus, David S. Johnson, Christos H. Papadimitriou, Paul D. Seymour, and Mihalis Yannakakis.**  
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