# lab05\_audio\_partial

March 16, 2018

#### 1 Lab 5: Pitch Detection in Audio

In this lab, we will use numerical optimization to find the pitch and harmonics in a simple audio signal. In addition to the concepts in the gradient descent demo, you will learn to: \* Load, visualize and play audio recordings \* Divide audio data into frames \* Perform nested minimization

The ML method presented here for pitch detection is actually not a very good one. As we will see, it is highly susceptible to local minima and quite slow. There are several better pitch detection algorithms, mostly using frequency-domain techniques. But, the method here will illustrate nonlinear estimation well.

### 1.1 Reading the Audio File

Python provides a very simple method to read a wav file in the scipy.io.wavefile package. We first load that along with the other packages.

```
In [1]: from scipy.io.wavfile import read
    import numpy as np
    import matplotlib.pyplot as plt
    %matplotlib inline
```

In the github repository, you should find a file, viola.wav. Download this file to your local directory. Although the file is included in the github repository, you can find it along with many other audio samples in CCRMA audio website. After you have downloaded the file, you can then read the file with the read command. Print the sample rate in Hz, the number of samples in the file and the file length in seconds.

```
Sample rate: 44100Hz
The number of samples: 299350
The file length: 6.7880s
```

You can then play the file with the following command. You should hear the viola play a sequence of simple notes.

For the analysis below, it will be easier to re-scale the samples so that they have an average squared value of 1. Find the scale value in the code below to do this.

### 1.2 Dividing the Audio File into Frames

Length of each frame: 23.2200ms

In audio processing, it is common to divide audio streams into short frames (typically between 10 to 40 ms long). Since frames are often processed with an FFT, the frames are typically a power of two. Analysis is then performed in the frames separately. Given the vector y, create a nfft x nframe matrix yframe where

```
yframe[:,0] = samples y[k], k=0,...,nfft-1
yframe[:,1] = samples y[k], k=nfft,...,2*nfft-1,
yframe[:,2] = samples y[k], k=2*nfft,...,3*nfft-1,
```

You can do this with the reshape command with order=F. Zero pad y if the number of samples of y is not divisible by nfft. Print the total number of frames as well as the length (in milliseconds) of each frame.

Note that in actual audio processing, the frames are typically overlapping and use careful windowing. But, we will ignore that here for simplicity.

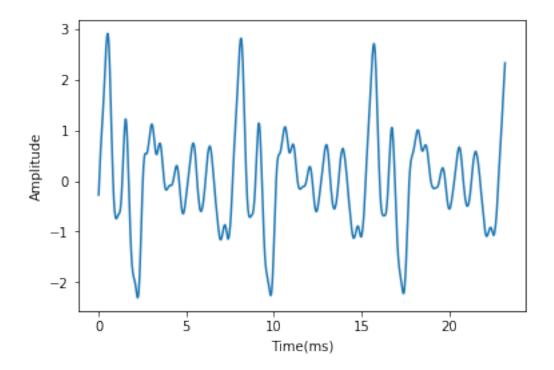
```
In [5]: # Frame size
    nfft = 1024

# TODO:
    import math
    nframe = math.ceil(len(y) / nfft)
    yframe = np.pad(y, (0, nfft - len(y) % nfft), 'constant').reshape(nfft, nframe, order= print('Total number of frames: %d' % nframe)
    print('Length of each frame: %.4fms' % (nfft/sr*1000))
Total number of frames: 293
```

Let i0=10 and set yi=yframe[:,i0] be the samples of frame i0. We will use this frame for most of the rest of the lab. Plot the samples of yi. Label the time axis in milliseconds (ms).

```
In [6]: # Get samples from frame 10
    i0 = 10
    yi = yframe[:,i0]

# TODO: Plot yi vs. time (in ms)
    plt.plot(np.array(range(len(yi)))/sr*1000, yi)
    plt.xlabel('Time(ms)')
    plt.ylabel('Amplitude')
    plt.show()
```



# 1.3 Fitting a Multi-Sinusoid

A common model for audio samples, yi [k], containing an instrument playing a single note is the multi-sinusoid model:

```
 yi[k] \approx yhati[k] = c + \sum_{j=0}^{nterms-1} a[j]*cos(2*np.pi*k*freq0*(j+1)/sr) + b[j]*sin(2*np.pi*k*freq0*(j+1)/sr),
```

where sr is the sample rate. The parameter freq0 is called the fundamental frequency and the audio signal is modeled as being composed of sinusoids and cosinusoids with frequencies equal to integer multiples of the fundamental. In audio processing, these terms are called *harmonics*. In

analyzing audio signals, a common goal is to determine both the fundamental frequency freq0 (the pitch of the audio) as well as the coefficients of the harmonics,

```
beta = (c, a[0], ..., a[nterms-1], b[0], ..., b[nterms-1]).
```

To find the parameters, we will fit the mean squared error loss function:

```
mse(freq0,beta) := 1/N * \sum_{k \in \mathbb{N}} - yhati[k])**2, N = len(yi).
```

In practice, a separate model would be fit for each audio frame. But, in this lab, we will mostly look at a single frame.

#### 1.3.1 Nested Minimization

We will perform the minimization of mse in a nested manner: First, given a fundamental frequency freq0, we minimize over the coefficients beta. Call this minimum mse1:

```
mse1(freq0) := min_beta mse(freq0,beta)
```

Importantly, this minimizaiton can be performed by least-squares. Then, we find the fundamental frequency freq0 by minimizing mse1:

```
min_{freq0} mse1(freq0)
```

We will use gradient-descent minimization with mse1(freq0) as the objective function. This form of *nested* minimization is commonly used whenever we can minimize over one set of parameters easily given the other.

# 1.4 Setting Up the Objective Function

We will use the class AudioFitFn below to perform the two-part minimization. Complete the feval method in the class. The method should take the argument freq0 and perform the minimization of the MSE over beta. Specifically, fill the code in feval to perform the following: \* Construct a matrix, A such that yhati = A\*beta.

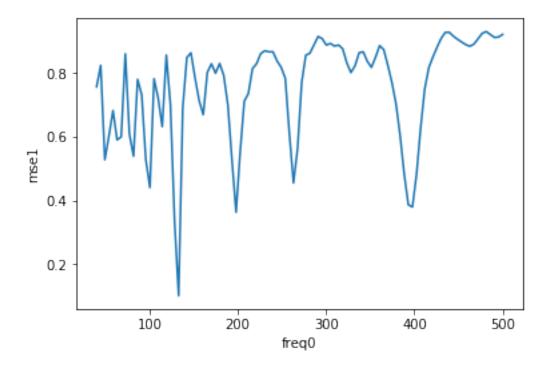
- \* Find betahat with the np.linalg.lstsq() method using the matrix A and the samples self.yi. This is simpler than constructing a linear regression object.
- \* Compute and store the estimate self.yhati = A.dot(betahat). \* Compute the mse1, the minimum MSE, by comparing self.yhati and self.yi. \* For now, set the gradient to mse1\_grad=0. We will fill this part in later.
- \* Return mse1 and mse1\_grad.

```
In [7]: class AudioFitFn(object):
    def __init__(self,yi,sr=44100,nterms=8):
        """
        A class for fitting

        yi: One frame of audio
        sr: Sample rate (in Hz)
        nterms: Number of harmonics used in the model (default=8)
        """
```

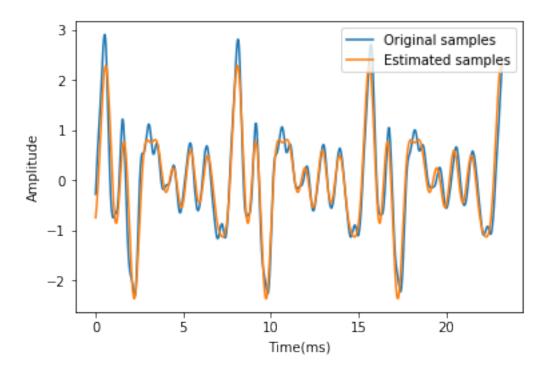
```
self.yi = yi
    self.sr = sr
    self.nterms = nterms
def feval(self,freq0):
    Optimization function for audio fitting. Given a fundamental frequency, frequ
    method performs a least squares fit for the audio sample using the model:
    yhati[k] = c + \sum_{j=0}^{n+1} a_{j} *cos(2*np.pi*k*freq0*(j+1)/sr)
                                      + b[j]*sin(2*np.pi*k*freq0*(j+1)/sr)
    The coefficients beta = [c,a[0],...,a[nterms-1],b[0],...,b[nterms-1]]
    are found by least squares.
    Returns:
            The MSE of the best least square fit.
    mse1_grad: The gradient of mse1 wrt to the parameter freq0
    # TODO
    # TODO Write code to find optimal beta to minimize MSE and find minimal MSE wi
    cos_vals = [[np.cos(2*np.pi*k*freq0*(j+1)/self.sr) \setminus
                 for j in range(self.nterms)] for k in range(len(self.yi))]
    sin_vals = [[np.sin(2*np.pi*k*freq0*(j+1)/self.sr) \
                 for j in range(self.nterms)] for k in range(len(self.yi))]
    A = np.column_stack((np.ones(len(self.yi),), cos_vals, sin_vals))
    beta = np.linalg.lstsq(A, self.yi, rcond=None)
    self.yhati = A.dot(beta[0])
    mse1 = np.mean((self.yi-self.yhati)**2)
    # Compute the gradient wrt to freq0
    mse1_grad = 0
    return mse1, mse1 grad
```

Instantiate an object, audio\_fn from the class AudioFitFn with the samples yi. Then, using the feval method, compute and plot mse1 for values freq0 in the range of 0 to 500 Hz with a step size of 0.5Hz. You should see a minimum around freq0 = 131 Hz, but there are several other local minima.



Determine and print the value of freq0 that achieves the minimum mse1. Also, plot the estimated function audio\_fn.yhati for that freq0 along with the original samples yi.

freq0 that minimums mse1: 132.929293Hz



# 1.5 Computing the Gradient

The above method found the estimate for freq0 by performing a search over 100 different frequency values and selecting the frequency value with the lowest MSE. We now see if we can estimate the frequency with gradient descent minimization of the MSE. We first need to modify the feval method in the AudioFitFn class above to compute the gradient. Some elementary calculus (see the homework), shows that

```
dmse1(freq0)/dfreq0 = dmse(freq0,betahat)/dfreq0
```

So, we just need to evaluate the partial derivative of mse = np.mean((yi-yhati)\*\*2) with respect to the parameter freq0 holding the parameters beta=betahat. Modify the feval method above to compute the gradient and return the gradient in mse1\_grad.

```
In [10]: class AudioFitFn(object):
    def __init__(self,yi,sr=44100,nterms=8):
        """
        A class for fitting

        yi: One frame of audio
        sr: Sample rate (in Hz)
        nterms: Number of harmonics used in the model (default=8)
        """
        self.yi = yi
        self.sr = sr
```

```
self.nterms = nterms
def feval(self,freq0):
          Optimization function for audio fitting. Given a fundamental frequency, freq
          method performs a least squares fit for the audio sample using the model:
          yhati[k] = c + \sum_{j=0}^{n+1} a_{j}*cos(2*np.pi*k*freq0*(j+1)/sr)
                                                                                            + b[j]*sin(2*np.pi*k*freq0*(j+1)/sr)
          The coefficients beta = [c,a[0],\ldots,a[nterms-1],b[0],\ldots,b[nterms-1]]
          are found by least squares.
          Returns:
                            The MSE of the best least square fit.
          mse1_grad: The gradient of mse1 wrt to the parameter freq0
          # TODO
          cos_{vals} = [[np.cos(2*np.pi*k*freq0*(j+1)/self.sr) \
                                         for j in range(self.nterms)] for k in range(len(self.yi))]
         sin_vals = [[np.sin(2*np.pi*k*freq0*(j+1)/self.sr) \
                                         for j in range(self.nterms)] for k in range(len(self.yi))]
         A = np.column_stack((np.ones(len(self.yi),), cos_vals, sin_vals))
         beta = np.linalg.lstsq(A, self.yi, rcond=None)
          self.yhati = A.dot(beta[0])
         mse1 = np.mean((self.yi-self.yhati)**2)
          # Compute the gradient wrt to freq0
         dcos_vals = [[np.sin(-2*np.pi*k*freq0*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)*(2*np.pi*k*(j+1
                                           for j in range(self.nterms)] for k in range(len(self.yi))]
         dsin_vals = [[np.cos(2*np.pi*k*freq0*(j+1)/self.sr)*(2*np.pi*k*(j+1)/self.sr)]
                                           for j in range(self.nterms)] for k in range(len(self.yi))]
         A_grad = np.column_stack((np.zeros(len(self.yi),), dcos_vals, dsin_vals))
          self.yhati_grad = A_grad.dot(beta[0])
         mse1_grad = np.mean(2*(self.yhati-self.yi)*self.yhati_grad)
         return mse1, mse1_grad
```

Now, test the gradient by taking two close values of freq0, say freq0\_0 and freq0\_1 and verifying that first-order approximation holds.

```
freq0_1 = freq0_0 + 1e-6

mse1_0, mse1_grad_0 = audio_fn.feval(freq0_0)
mse1_1, _ = audio_fn.feval(freq0_1)

print('LHS = %.12e' % (mse1_0-mse1_1))
print('RHS = %.12e' % ((mse1_grad_0) * (freq0_0-freq0_1)))

LHS = -1.045718676929e-07
RHS = -1.045718388664e-07
```

# 1.6 Run the Optimizer

We cut and paste the optimizer from the gradient descent demo.

```
In [12]: def grad_opt_adapt(feval, winit, nit=1000, lr_init=1e-3):
             11 11 11
             Gradient descent optimization with adaptive step size
             feval: A function that returns f, fgrad, the objective
                     function and its gradient
             winit: Initial estimate
             nit:
                   Number of iterations
             lr:
                    Initial learning rate
             Returns:
             w: Final estimate for the optimal
             fo: Function at the optimal
             # Set initial point
             w0 = winit
             f0, fgrad0 = feval(w0)
             lr = lr_init
             # Create history dictionary for tracking progress per iteration.
             # This isn't necessary if you just want the final answer, but it
             # is useful for debugging
             hist = {'lr': [], 'w': [], 'f': []}
             for it in range(nit):
                 # Take a gradient step
                 w1 = w0 - lr*fgrad0
                 # Evaluate the test point by computing the objective function, f1,
                 # at the test point and the predicted decrease, df_est
```

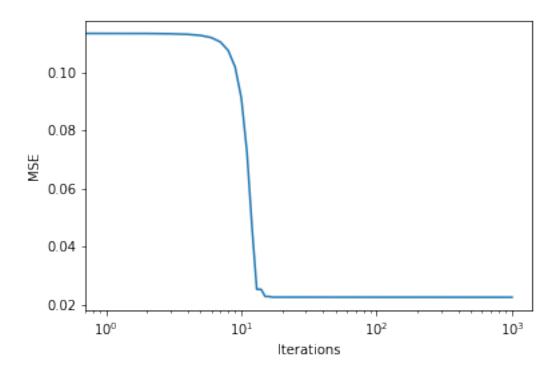
```
f1, fgrad1 = feval(w1)
    df_est = np.dot(fgrad0, (w1-w0))
    # Check if test point passes the Armijo rule
    alpha = 0.5
    if (f1-f0 < alpha*df_est) and (f1 < f0):
        # If descent is sufficient, accept the point and increase the
        # learning rate
        lr = lr*2
        f0 = f1
        fgrad0 = fgrad1
        w0 = w1
    else:
        # Otherwise, decrease the learning rate
        lr = lr/2
    # Save history
    hist['f'].append(f0)
    hist['lr'].append(lr)
    hist['w'].append(w0)
# Convert to numpy arrays
for elem in ('f', 'lr', 'w'):
    hist[elem] = np.array(hist[elem])
return w0, f0, hist
```

Now, run the optimizer with the feval function with a starting estimate for freq0 = 130 Hz. Use lr\_init=1e-3 and f0\_init=130. Print the final frequency estimate. Also, print the midi number of the estimated frequency:

```
midi_num = 12*log2(freq/440 Hz) + 69
```

If the note was exactly a musical note, midi\_num should be an integer. But you will see that the frequency does not exactly lie on a note since the pitch in a viola bends around the note.

Plot the MSE as a function of the iteration. You should tell whether you gradient algorithm has converged. If not, you may need to adjust the number of iterations.

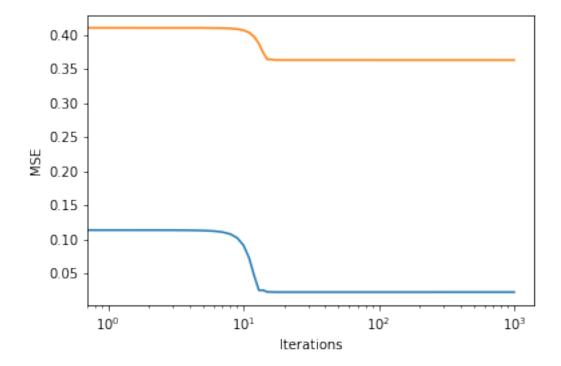


Compare your solution of f0\_min with the one obtained using exhaustive search. You may notice a slight difference. Explain why and also which solution is likely to be correct?

#### Answer:

This is because the samples of frequency are discrete. That is, if the number of freq0 is infinity, and the step size is extremely near 0, we should get the same results with these two method. However, in this case, the latter one is more likely to be correct, since it has a small step size, which corresponds to a higher accuracy.

Now, repeat with an initial frequency of 200 Hz. Print the final estimated frequency. Also plot the MSE per iteration on the same graph as the MSE per iteration with the initial condition = 130 Hz.



Did you get the same solution as when you used an initial solution of 130 Hz? Why? Answer:

No. The optimizer does not reach the real minimum MSE, since the initial frequency led to another local minimum. So a good initial value is required if a good result is expected.

#### 1.7 More Fun

While the above method does not work very well, there are many good approaches. For one thing, we can obtain a good initial condition using an FFT of the frame. The FFT is used in many pitch detection methods. More difficult problems include multi-tone detection, chord detection and instrument separation. A useful python library that contains all sorts of interesting audio analysis tools in the librosa package.