

# HEURISTIC DROPOUT: AN EFFICIENT REGULARIZATION METHOD FOR MEDICAL IMAGE SEGMENTATION MODELS

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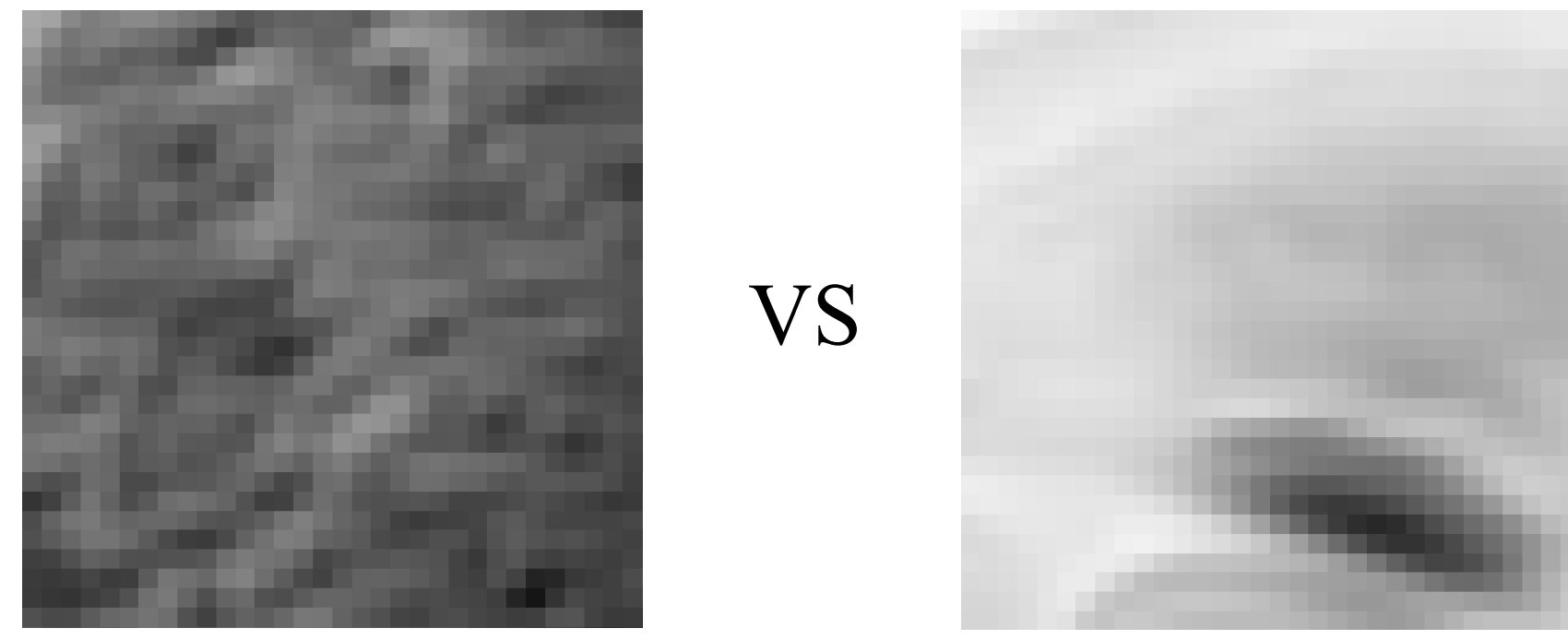
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## INTRODUCTION

Deep learning models have been widely used in medical image segmentation. For some tasks, the annotation of the image is highly dependent on the expert knowledge, and thus many of these datasets are **small-scale**. The small-scale datasets tend to cause the **overfitting** problem.

This paper proposes an improved Dropout algorithm named Heuristic Dropout to tackle the overfitting problem for small-scale medical image segmentation datasets.



Traditional Dropout algorithm randomly drops units in the model with a certain probability, which mitigates the **co-adaptation** problem, and thus alleviate the overfitting. Here, we show two images in which the left one shows features with more severe co-adaptation problems compared to the right one.

In order to **efficiently drop** features suffering from more severe co-adaptation, we propose that **information entropy**

$$H(x) = - \sum_{x \in X} p(x) \log p(x)$$

and **variance** can be used as heuristic rules to guide the drop operation. For more detailed explanation, please refer to our paper.

## METHODOLOGY

### Algorithm 1 Heuristic Dropout

**Input:** Output activations of a previous layer that contains  $c$  channels  $A = [a_1, a_2, \dots, a_c]$ , drop rate  $p$ ,  $k$

**Output:** Activations after dropout  $A^*$

```

1 if mode = Inference, then
2   | Return  $A^* = A$ 
3 end
4 foreach  $a_i$  in  $A$  do
5   | Calculate information entropy of  $a_i$  by Algorithm 2 as  $e_i$ ;
6   | Calculate variance of  $a_i$  as  $v_i$ ;
7   | Calculate heuristic metric  $m_i \leftarrow e_i + \frac{k}{v_i + \epsilon}$ , where  $k$  is a
   | hyperparameter,  $\epsilon$  is a smoothing number;
8 end
9 Get rearranged  $A'$  by descending sort according to  $m_i$ ;
10 for  $j = 1; j \leq p \times c$  do
11   |  $a_j^* \leftarrow a_j' \otimes \text{mask}$ 
12 end
13 Return  $A^*$ 

```

## METHODOLOGY Cont.

Combining the two heuristic rules of information entropy and variance, we can get the algorithm of Heuristic Dropout. We calculate the information entropy  $e_i$  and the variance  $v_i$  for each channel of input feature maps. We use

$$m_i \leftarrow e_i + \frac{k}{v_i + \epsilon}$$

as our heuristic metric, where  $k$  is a hyperparameter. We drop features according to the heuristic metric from largest to smallest.

### Algorithm 2 Information Entropy for Quantized features

**Input:** A certain channel  $a_i$  of  $A$ , number of bins  $b$

**Output:** Information Entropy  $e_i$

- 1 Standardization by  $\tanh$ ,  $a_i \leftarrow \tanh(a_i)$ ;
- 2 Quantize by  $\text{round}$ ,  $a_i \leftarrow \text{round}(a_i \times b)$ ;
- 3 Calculate the corresponding histogram  $h_i$  according to the quantized  $a_i$  and bin number  $b$ ;
- 4 Calculate information entropy  $e_i$  according to the definition,  $e_i \leftarrow - \sum_{p(x) \in h_i} p(x) \log p(x)$
- 5 Return  $e_i$

Since the values of feature maps are continuous distributions, we have first to quantize the values and then calculate the information entropy based on the histogram as shown in the Algorithm 2.

## EXPERIMENTS

To verify the effectiveness of the proposed algorithm, we conduct experiments on two different medical image segmentation datasets. These include:

1. A subset of Pancreas-CT Dataset.
2. A subset of BAGLS Dataset.

We adopt DICE value and IoU value as our evaluation metrics:

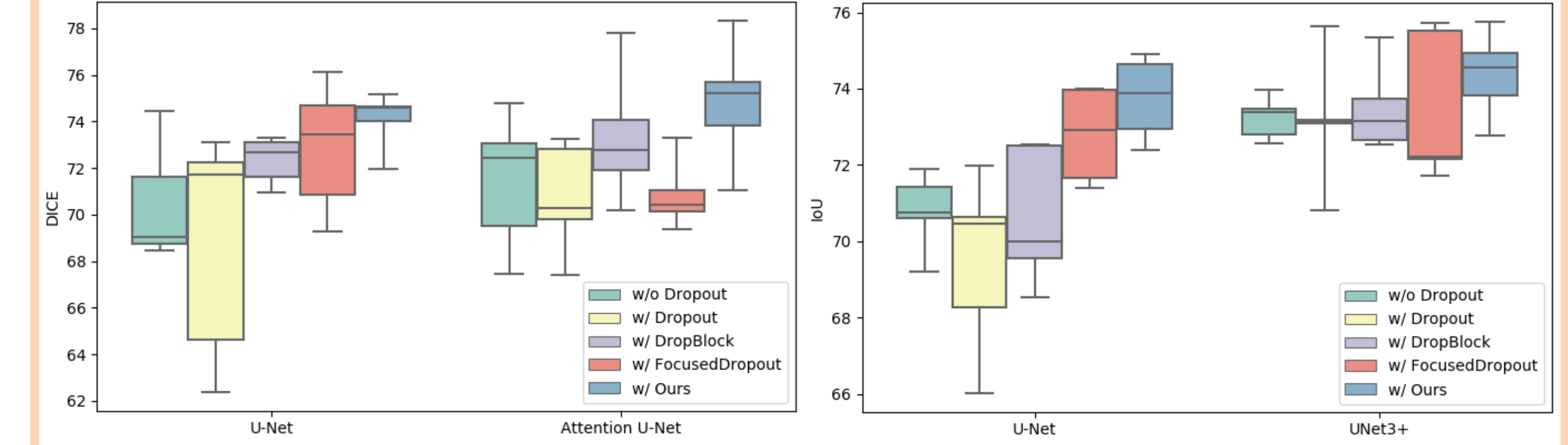
$$DICE = 2 \frac{|X \cap Y|}{|X| + |Y|} \quad IoU = \frac{|X \cap Y|}{|X \cup Y|}$$

This table shows quantitative comparison results of our algorithm and other improved Dropout algorithms:

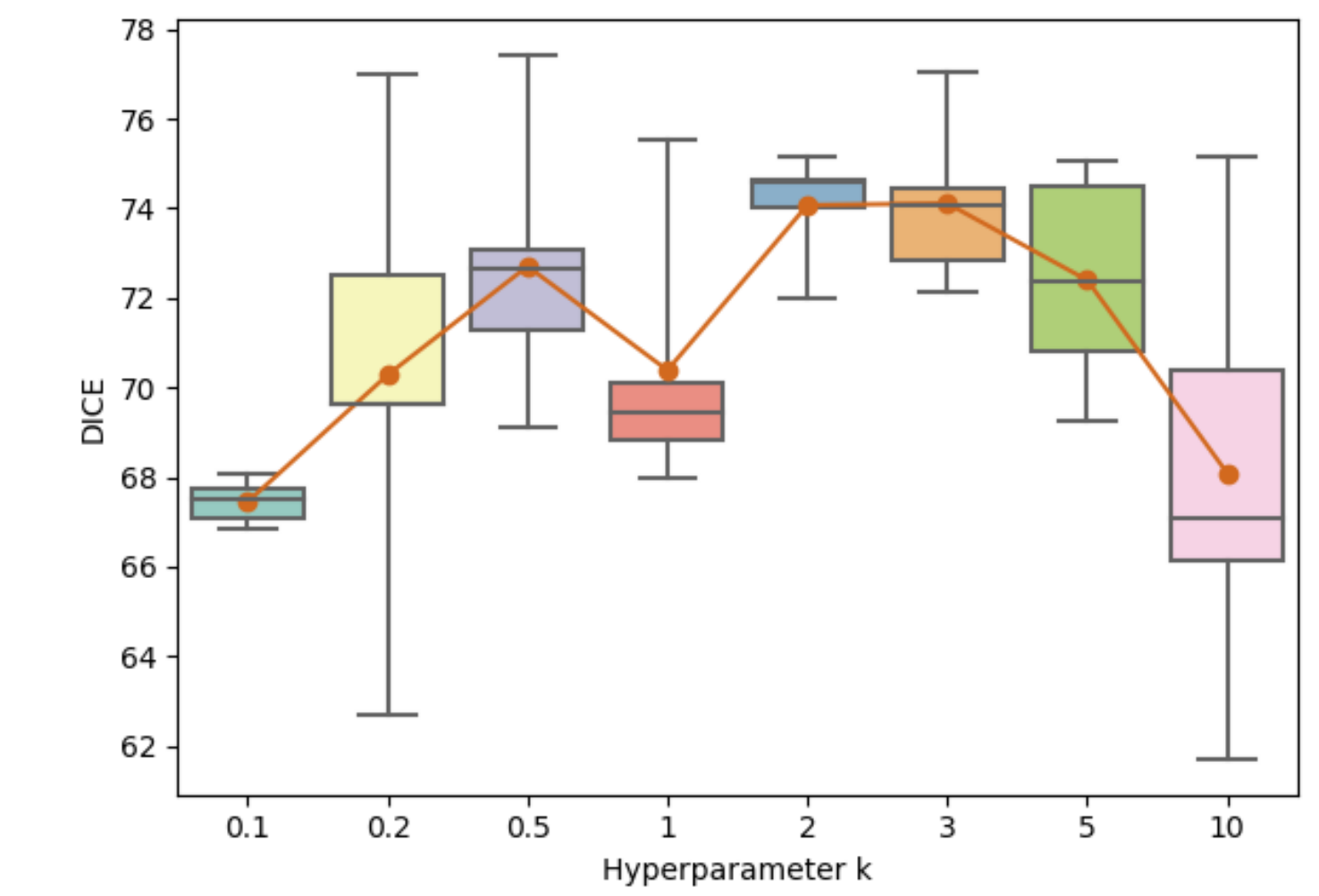
Method	Pancreas-CT Dataset				BAGLS Dataset			
	U-Net [1] Dice (↑)	Δ (↑)	Attention U-Net [17] Dice (↑)	Δ (↑)	U-Net [1] IoU (↑)	Δ (↑)	UNet3+ [18] IoU (↑)	Δ (↑)
Baseline [1, 17, 18]	70.40 ± 2.30	0	71.46 ± 2.62	0	70.78 ± 0.91	0	73.25 ± 0.50	0
+ Dropout [11]	68.82 ± 4.42	-1.58	70.7 ± 2.14	-0.76	69.48 ± 2.10	-1.30	73.17 ± 1.53	-0.08
+ DropBlock [13]	72.33 ± 0.91	+1.93	73.36 ± 2.56	+1.90	70.63 ± 1.10	-0.15	73.47 ± 1.78	+0.22
+ FocusedDropout [16]	72.88 ± 2.50	+2.48	70.85 ± 1.35	-0.61	72.79 ± 1.62	+2.01	73.49 ± 1.02	+0.24
+ Ours	<b>74.07 ± 1.11</b>	<b>+3.67</b>	<b>74.83 ± 2.39</b>	<b>+3.37</b>	<b>73.75 ± 0.96</b>	<b>+2.97</b>	<b>74.37 ± 1.01</b>	<b>+1.12</b>

The following figure illustrates the box plots of the experimental results corresponding to the above table:

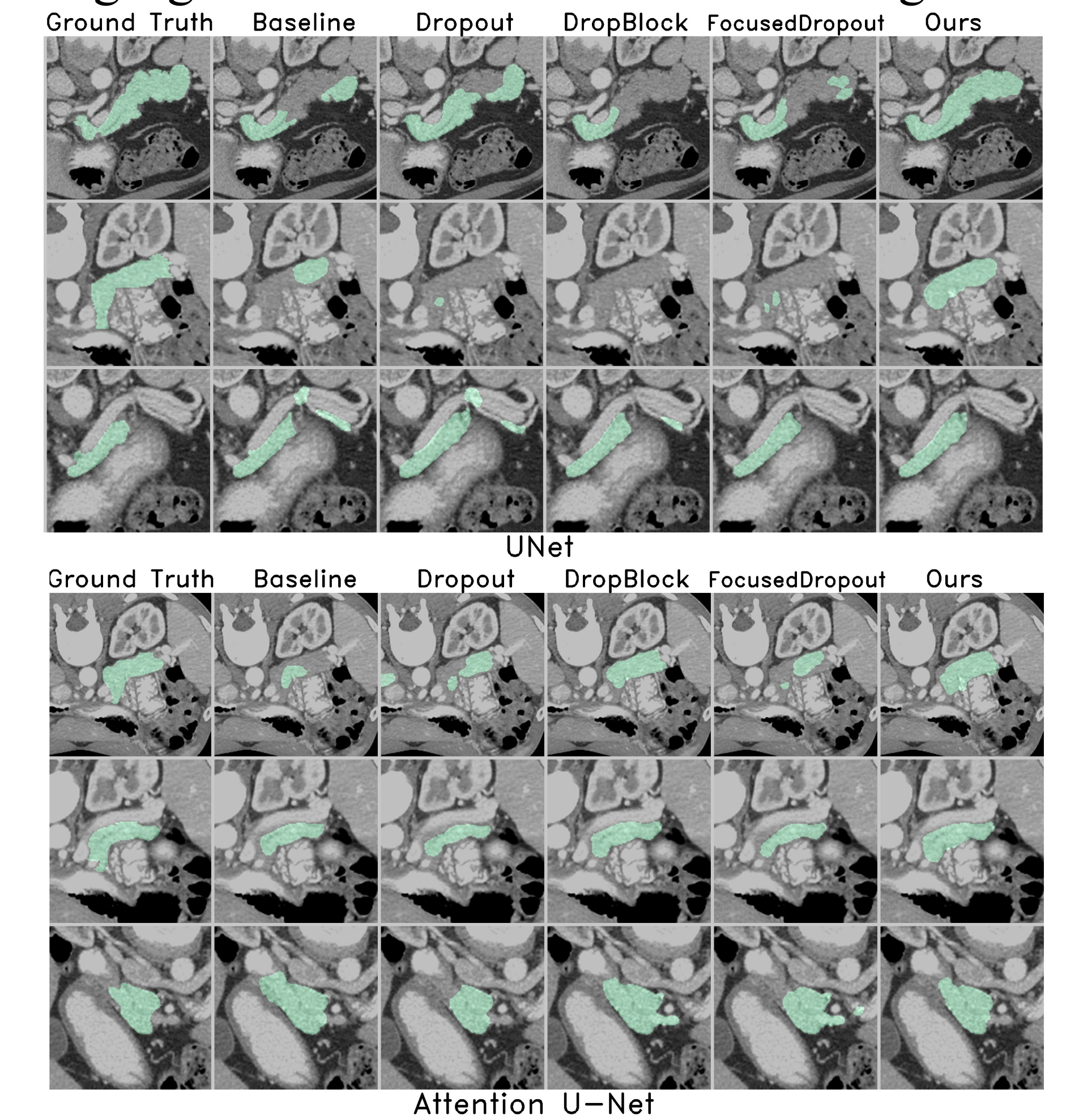
## EXPERIMENTS Cont.



The effect of hyperparameter  $k$  in our algorithm is also investigated:



The following figures illustrate visualization of segmentation results:



## CONCLUSION

- Our work suggests a novel Heuristic Dropout algorithm to address the **overfitting problem for small-scale medical image segmentation datasets**.
- Taking information entropy and variance as heuristic rules, our algorithm **alleviates the co-adaptation phenomenon more effectively** and thus **better mitigates the overfitting problem**.
- We will investigate the compatibility of our algorithm with natural images in future work.