

Generics



Object Oriented Programming

<https://softeng.polito.it/courses/09CBI>



SoftEng
<http://softeng.polito.it>

Version 2.11.1

© Marco Torchiano, 2021



This work is licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License.

To view a copy of this license, visit
<http://creativecommons.org/licenses/by-nc-nd/4.0/>.

You are free: to copy, distribute, display, and perform the work

Under the following conditions:

 **Attribution.** You must attribute the work in the manner specified by the author or licensor.

 **Non-commercial.** You may not use this work for commercial purposes.

 **No Derivative Works.** You may not alter, transform, or build upon this work.

- For any reuse or distribution, you must make clear to others the license terms of this work.
- Any of these conditions can be waived if you get permission from the copyright holder.

Your fair use and other rights are in no way affected by the above.

Motivation

- Often the same operations have to be performed on objects of unrelated classes
 - ◆ A typical solution is to use **Object** references to accommodate objects of any type
 - **Object** references bring cumbersome code
 - ◆ Several explicit casts are required
 - ◆ Compile-time checks are limited
 - ◆ Down-casts can be checked at run-time only
 - Solution
 - ◆ Use **generic** classes and methods
-

Example

- We may need to represent pairs or values of different types (e.g. **int**, **String**, etc.)
- Use of **Object** to allow any type

```
public class Pair {  
    Object a, b;  
  
    public Pair(Object a, Object b)  
    { this.a=a; this.b=b; }  
  
    Object first(){ return a; }  
  
    Object second(){ return b; }  
}
```

Note: No primitive types,
only wrappers allowed

Example: Object-based use

- **Object** allows usage with diverse types

```
Pair sp = new Pair("One", "Two");  
Pair ip = new Pair(1,2);
```

- Though you need explicit down-casts:

```
String a = (String) sp.second();  
int i = (Integer) ip.first();
```

- Down-casts are checked at run-time

```
String b = (String) ip.second();
```

ClassCastException
at run-time

Example: Object-based use

- No check is possible at compile time about homogeneity of elements:

```
Pair mixpair = new Pair(1,"Two");  
Pair pairmix = new Pair("One",2);
```

- Extra code is required for safety:

```
Object o = mixpair.second();  
if(o instanceof Integer){  
    i = (Integer)o;  
}else { ... }
```

Generic class

```
public class Pair<T> {  
    T a, b;  
    public Pair(T a, T b) {  
        this.a = a; this.b = b;  
    }  
    public T first(){ return a; }  
    public T second(){ return b; }  
    public void set1st(T x){ a = x; }  
    public void set2nd(T x){ b = x; }  
}
```

Generics use

- Declaration is slightly longer:

```
Pair<String> sp = new Pair<>("One","Two");  
Pair<Integer> ip = new Pair<>(1,2);  
Pair<String> mixp = new Pair<>(1, "Two");
```

Compiler error

- Use is more compact and safer:

```
String a = sp.second();  
int b = ip.first();  
String bs = ip.second();
```

No down-cast
is required

Integer can be
auto-unboxed

Compiler error:
type mismatch

Generic type declaration

- Syntax:

(class | interface) Name <P₁ , P₂}>

- Type parameters, e.g. P₁:

- ◆ Represent classes or interfaces
- ◆ Conventionally uppercase letter
- ◆ Usually:
T(ype), R(eturn), E(lement), K(ey), V(alue)

Generic Interfaces

- All standard interfaces and classes have been defined as generics
 - ◆ since Java 5
- Use of generics leads to code that is
 - ◆ safer
 - ◆ more compact
 - ◆ easier to understand
 - ◆ equally performing

Generic Comparable

- Interface `java.lang.Comparable`

```
public interface Comparable<T> {
    int compareTo(T obj);
}
```

- Semantics: returns

- ◆ a negative integer if this precedes obj
- ◆ 0, if this equals obj
- ◆ a positive integer if this succeeds obj

11

Generic Comparable

- Without generics:

```
public class Student implements Comparable{
    int id;
    public int compareTo(Object o) {
        Student other = (Student)o;
        return this.id - other.id;
    }
}
```

- With generics:

```
public class Student
    implements Comparable<Student> {
    int id;
    public int compareTo(Student other) {
        return this.id - other.id;
    }
}
```

Generic Iterable and Iterator

```
public interface List<E>{  
    void add(E x);  
    Iterator<E> iterator();  
}
```

```
public interface Iterator<E>{  
    E next();  
    boolean hasNext();  
}
```

Iterable example

```
class Letters implements Iterable<Character> {  
    private char[] chars;  
    public Letters(String s){  
        chars = s.toCharArray(); }  
    public Iterator<Character> iterator() {  
        return new Iterator<Character>(){  
            private int i=0;  
            public boolean hasNext(){  
                return i < chars.length;  
            }  
            public Character next() {  
                return chars[i++];  
            }  
        };  
    } }
```

Iterable example

- Without generics

```
Letters l = new Letters("Sequence");  
for(Object e : l){  
    char v = ((Character)e);  
    System.out.println(v);  
}
```

- With generics

```
Letters l = new Letters("Sequence");  
for(char ch : l){  
    System.out.println(ch);  
}
```

Iterable example

```
class Random implements Iterable<Integer> {  
    private int[] values;  
    public Random(int n, int min, int max) { ... }  
    public Iterator<Integer> iterator() {  
        return new Iterator<Integer>(){  
            private int position=0;  
            public boolean hasNext() {  
                return position < values.length;  
            }  
            public Integer next() {  
                return values[position++];  
            }  
        };  
    }  
}
```

Iterable example

- Without generics:

```
Random seq = new Random(10,5,10);
for(Object e : seq) {
    int v = ((Integer)e).intValue();
    System.out.println(v);
}
```

- With generics:

```
Random seq = new Random(10,5,10);
for(int v : seq) {
    System.out.println(v);
}
```

Diamond operator

- Reference type parameter must match the class parameter used in instantiation

- ◆ E.g.

```
List<String> l=new LinkedList<String>();
```

- The Java compiler can infer the type when the diamond operator is used:

```
List<String> l = new LinkedList<>();
```

- ◆ Since Java 7

Generic method

- Syntax:

modifiers <T> type name(pars)

- pars can be:

- ◆ as usual
- ◆ T
- ◆ type<T>

Generic Method Example

```
public static <T>
    boolean contains(T[] ary, T element) {
        for(T current : ary){
            if(current.equals(element))
                return true;
        }
        return false;
    }
```

element must have same
type as array type

```
String[] words = { ... };
boolean found = contains(words, "fox");
```

Unbounded type

- The type parameters used in generics are unbounded by default
 - ◆ I.e. there are no constraints on the types that can be substituted to the type parameters
 - The safe assumption for any type parameter **T** is that **T extends Object**
 - ◆ References of a type parameter **T** at least provide members that are defined in class **Object** (e.g., **equals()**)
-

Unbounded generic sorting

```
public static <T>
void sort(T v[]) {
    for(int i=1; i<v.length; ++i)
        for(int j=1; j<v.length; ++j) {
            if(v[j-1].compareTo(v[j])>0) {
                T o=v[j];
                v[j]=v[j-1];
                v[j-1]=o;
            }
        }
}
```

method
`compareTo(T)` is
undefined for type `T`

Bounded types

- Express constraints on type parameters

`< T extends B >`

- class `T` can be replaced only with types extending `B` including `B` itself
 - ◆ `B` is called an **upper bound**
- It is possible to specify multiple upper bounds

`<T extends B1 { & B2 } >`

Bounded generic sorting

```
public static <T extends Comparable>
void sort(T v[]) {
    for(int i=1; i<v.length; ++i)
        for(int j=1; j<v.length; ++j) {
            if(v[j-1].compareTo(v[j])>0) {
                T o=v[j];
                v[j]=v[j-1];
                v[j-1]=o;
            }
        }
}
```

Ok: method
`compareTo(T)` is
defined in `Comparable`

Bounded generic sorting

Since Comparable is a generic interface itself

```
public static <T extends Comparable<T>>
void sort(T v[]) {
    for(int i=1; i<v.length; ++i)
        for(int j=1; j<v.length; ++j) {
            if(v[j-1].compareTo(v[j])>0) {
                T o=v[j];
                v[j]=v[j-1];
                v[j-1]=o;
            }
        }
}
```

Bounded Comparator

```
public static <T,C extends Comparator<T>>
void sort(T v[], C cmp) {
    for(int i=1; i<v.length; ++i)
        for(int j=1; j<v.length; ++j) {
            if(cmp.compare(v[j-1],v[j])>0) {
                T o=v[j];
                v[j]=v[j-1];
                v[j-1]=o;
            }
        }
}
```

Bounded Comparator

```
public static <T>
void sort(T v[], Comparator<T> cmp) {
    for(int i=1; i<v.length; ++i)
        for(int j=1; j<v.length; ++j) {
            if(cmp.compare(v[j-1], v[j])>0) {
                T o=v[j];
                v[j]=v[j-1];
                v[j-1]=o;
            }
        }
}
```

Java Generics

SUBTYPING AND CO-VARIANCE

Generics subtyping

- We must be careful about inheritance when generic types are involved
 - ◆ `Integer` is a subtype of `Object`
 - ◆ `Pair<Integer>` is **NOT** subtype of `Pair<Object>`

```
Pair<Integer> pi = new Pair<>(0,1);  
Pair<Object> pn = pi;           if this were legal then...  
pn.set1st("0.5");  
Integer i = pi.first();  
.. we could end up assigning a  
String to an Integer reference
```

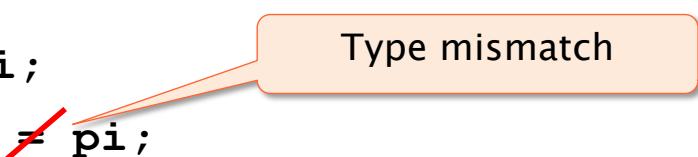
Containers and elements

- Containers can be co-variant or invariant.
- **Co-variance**: elements inheritance implies containers inheritance
 - ◆ If `A extends B`
 - ◆ Then `Container<A> extends Container`
 - ◆ In Java this brings to unsafe assumptions
- **Invariance**: elements inheritance does not imply container inheritance
 - ◆ Type safe assumption

Generics invariance

- Generics types are invariant
- The elements type are the type arguments
 - ◆ The fact `Integer` extends `Object` does not imply `Pair<Integer>` extends `Pair<Object>`
- Co-variance would lead to type clashes

```
Pair<Integer> pi;  
Pair<Object> pn = pi;
```



Type mismatch

Arrays co-variance

- Arrays are type co-variant containers
 - ◆ If `A` extends `B`
 - ◆ Then `A[]` extends `B[]`
- Co-variance make type clashes possible

```
String[] as = new String[10];  
Object[] ao;  
ao = as; // this is ok!!!  
ao[1] = new Integer(1);
```



java.lang.ArrayStoreException

Invariance limitations

- An attempt to have a universal method:

```
void printPair(Pair<Object> p) {  
    System.out.println(p.first() + "-" +  
                       p.second());  
}
```

- Won't work with e.g. **Pair<Integer>**

```
Pair<Integer> p = new Pair<>(7, 4);  
printPair(p);
```

Method is not applicable
for the argument

Invariance limitations

- Universal method must be generic

```
<T> void printPair(Pair<T> p) {  
    System.out.println(p.first() + "-" +  
                       p.second());  
}
```

- Even if declared as generic, the method in itself is not generic
 - ◆ Type T is never mentioned in the method

Wildcards

- Allow to express (lack of) constraints when *using* generic types
- `<?>`
 - ◆ *unknown*, unbounded
- `<? extends B>`
 - ◆ upper bound: only sub-types of B
 - Including B
- `<? super D>`
 - ◆ lower bound: only super-types of D
 - Including D

Invariance limitations

- Universal method must be generic

```
void printPair(Pair<?> p) {           Pair of unknown
    System.out.println(p.first() + "-" +
                        p.second());
}
```

- Compiler treats unknowns conservatively

```
void clearFirst(Pair<?> p) {
    p.setFirst("");
}
```

Method is not applicable
for the argument

Wildcard constraints

- The **?** (unknown) type is literally unknown therefore the compiler treats it in the safest possible way:
 - ◆ Only method from `Object` are allowed
 - ◆ Assignment to an unknown reference is illegal

Bounded wildcard – example

```
double sum(Pair<Number> p) {  
    return p.a.doubleValue() + p.b.doubleValue();  
}  
  
<T extends Number> double sumB(Pair<T> p)  
{ ... }  
  
double sumUB(Pair<? extends Number> p)  
{ ... }
```

Cannot be invoked
with `Pair<Integer>`

Defines an upper bound for
the type parameter

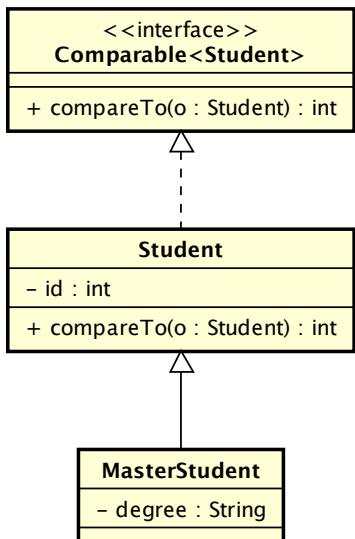
Unknown with upper bound
Equivalent but more compact

Sorting a pair

```
void <T extends Comparable<T>>
sortPair(Pair<T> p) {
    if(p.first().compareTo(p.second()) > 0) {
        T tmp = p.first();
        p.setFirst(p.second());
        p.setSecond(tmp);
    }
}
```

Sorting a pair example

```
Pair<MasterStudent> pm = new Pair<>(...);
sortPair(pm);
```



Method is not applicable
for the argument:
(`Pair<MasterStudent>`)

MasterStudent **MasterStudent**
void <~~T~~ extends Comparable<~~T~~>>
sortPair(Pair<~~T~~> p)
MasterStudent

MasterStudent
does not implement
`Comparable<MasterStudent>`

Sorting a pair

T must implement Comparable
of any superclass of T
(including T itself)

```
static <T extends Comparable<? super T>>
void sortPair(Pair<T> p) {
    if(p.first().compareTo(p.second()) > 0) {
        T tmp = p.first();
        p.setFirst(p.second());
        p.setSecond(tmp);
    }
}
```

MasterStudent implements
Comparable<Student super MasterStudent>

Sort generic

T extends Comparable<? super T>
MasterStudent Student MasterStudent

- Why <? super T> instead of just <T> ?
 - ◆ Suppose you define
 - MasterStudent extends Student { }
 - ◆ Intending to inherit the Student ordering
 - Does not implement Comparable<MasterStudent>
 - But MasterStudent extends (indirectly)
Comparable<Student>

Sort method

- On Comparable objects:

```
static <T extends Comparable<? super T>>
```

```
void sort(T[] list)
```

- For backward compatibility, in class Array sort is defined as:
- `public static void sort(Object[] a)`
- No compile time check is performed.

- Using a Comparator object:

```
static <T> void
```

```
sort(T[] a, Comparator<? super T> cmp)
```

43

Java Generics

TYPE ERASURE

Generics classes

- The compiler generates only one class for each generic type declaration
 - ◆ Compilation **erases** the type parameters

```
Pair<Integer> pi = new Pair<>(1,2);  
Pair<String> ps = new Pair<>("one","two");  
  
boolean is = pi instanceof Pair;  
boolean si = ps instanceof Pair;
```

Both are **true**

Type erasure

- Classes corresponding to generic types are generated by **type erasure**
- The erasure of a generic class is a **raw type**
 - ◆ where any reference to the parameters is substituted with the parameter erasure
 - ◆ Raw type of generic class $G<T>$ is G
- Erasure of a parameter is the erasure of its first boundary
 - ◆ If no boundary is provided then it is **Object**

Type erasure – examples

- For: <T>
 - ◆ T → Object
 - For: <T extends Number>
 - ◆ T → Number
 - For: <T extends Number & Comparable>
 - ◆ T → Number
-

Type erasure – consequences I

- Since there is only one class, within the class no information is available about the type parameters
 - ◆ They have been erased
 - The actual value of type parameter is known only to the user of a generic type
 - Compiler applies checks only when a generic type is used, not within it.
-

Type erasure – consequences II

- Whenever a generic or a parameter is used a cast is added to its erasure
- To avoid inconsistencies and wrong expectations
 - ◆ `instanceof` cannot be used on generic types
 - ◆ `instanceof` is valid for `G` or `G<?>`

Type erasure – consequences III

- It is not possible to instantiate an object of the type parameter from within the class

```
class Triplet<T> {  
    private T[] triplet;  
    Triplet(T a, T b, T c){  
        triplet = new T[]{a,b,c};  
    }  
}
```

Compiler cannot
create a generic
array of `T`

- ♦ The erasure cannot be used in any way inside the raw type

Type erasure- consequences IV

- Overloads and overrides are checked by compiler after type erasure

```
class Base<T> {  
    void m(int x) {}  
    void m(T t) {}  
    void m(String s) {}  
<N extends String> void m(N x) {}  
    void m(Pair<?> l) {}  
}
```

Duplicate method

Type erasure- consequences V

- Inheritance together with generic types leads to several possibilities
- It is not possible to implement twice the same generic interface with different types

```
class Student implements Comparable<Student>
```

```
class MasterStudent extends Student  
    implements Comparable<MasterStudent>
```

The interface Comparable cannot be implemented more than once with different arguments

Type inference

- Upon generic method invocation, compiler infers the type argument (**capture**)
 - It is possible to use a type witness, although often useless
 - ◆ `Arrays.<Student>sort(sv);`
 - Inference is based on
 - ◆ Target type
 - ◆ Argument type
-
-

USE OF GENERICS IN PRACTICE

Functional Interfaces

- An interface with exactly one method
 - The semantics is purely **functional**
 - ◆ The result of the method depends solely on the arguments
 - ◆ There are no side-effects on attributes
 - Can be implemented as lambda expressions
 - Predefined interfaces are defined in
 - ◆ `java.util.function`
-

Standard Functional Interfaces

| Interface | Method |
|---------------------------------------|------------------------------------|
| <code>Function <T,R></code> | <code>R apply(T t)</code> |
| <code>BiFunction <T,U,R></code> | <code>R apply(T t, U u)</code> |
| <code>BinaryOperator <T></code> | <code>T apply(T t, T u)</code> |
| <code>UnaryOperator <T></code> | <code>T apply(T t)</code> |
| <code>Predicate <T></code> | <code>boolean test(T t)</code> |
| <code>Consumer <T></code> | <code>void accept(T t)</code> |
| <code>BiConsumer <T,U></code> | <code>void accept(T t, U u)</code> |
| <code>Supplier <T></code> | <code>T get()</code> |

Primitive specializations

- Functional interfaces handle references
 - Specialized versions are defined for primitive types (`int`, `long`, `double`, `boolean`)
 - Functions: `ToTypeFunction`
`Type1ToType2Function`
 - Suppliers: `TypeSupplier`
 - Predicate: `TypePredicate`
 - Consumer: `TypeConsumer`
-

Instance method of object

- Method is invoked on the object
 - ◆ Parameters are those of the method

`v -> hexDigits.charAt(v)`

```
String hexDigits = "0123456789ABCDEF";
IntFunction<Character>
IntToCharFun hex = hexDigits::charAt;

System.out.println("Hex for 10 : "
    + hex.apply(10) );
```

```
interface IntToCharFun {
    char apply(int value)
}
```

```
interface IntFunction<R> {
    R apply(int value);
}
```

Instance method reference

- The first argument is the object on which the method is invoked
 - ◆ The remaining arguments are mapped to the method arguments

```
ToIntFunction<String> s -> s.length()
```

```
StringToIntFunction f = String::length;
```

```
for(String s : words) {
```

```
    System.out.println(f.apply(s));
```

```
,
```

```
interface ToIntFunction<T> { int stringToIntFunction =
```

```
    int applyAsInt(T x);
```

```
}
```

```
(String s);
```

59

Constructor reference

- The return type is a new object
 - ◆ Parameters are the constructor's parameters

```
i -> new Integer(i);
```

```
IntFunction<Integer>
```

```
IntegerBuilder builder = Integer::new;
```

```
Integer i = builder.apply.build(1);
```

```
interface IntFunction<R> { R apply(int value); }
```

```
IntegerBuilder{ Integer build(int value); }
```

60

Generic Comparator

Interface `java.util.Comparator`

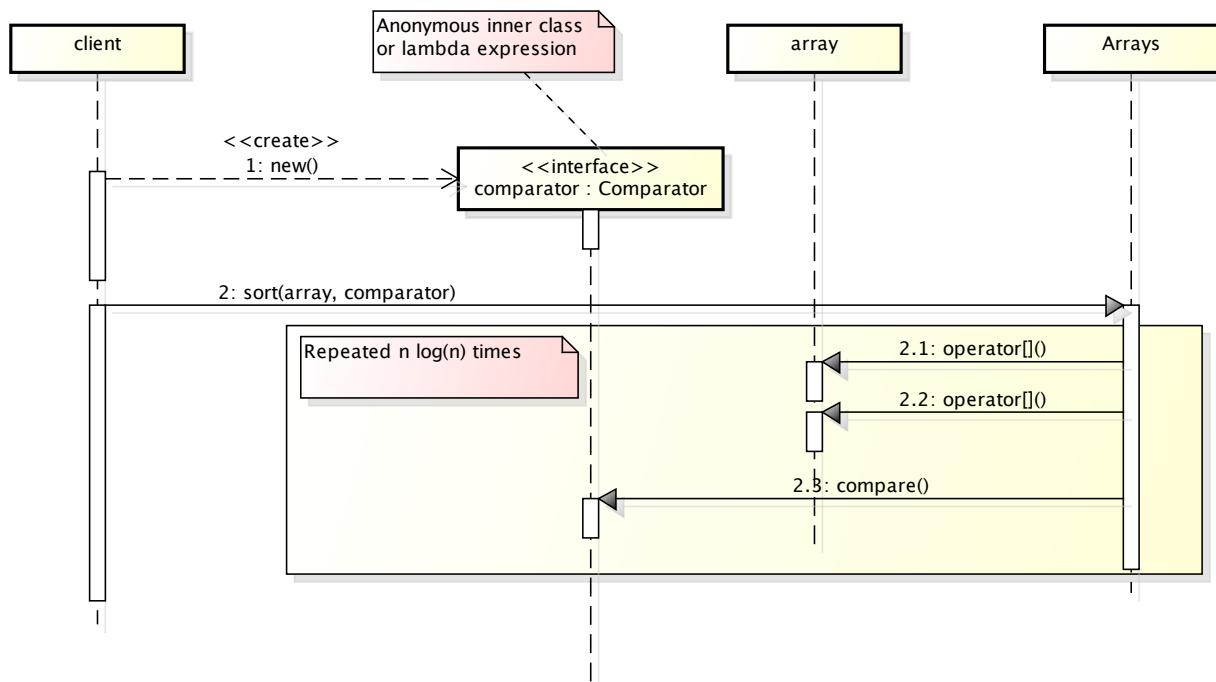
```
public interface Comparator<T>{  
    int compare(T a, T b);  
}
```

```
Arrays.sort(sv, (a,b) -> a.id - b.id );
```

```
Arrays.sort(sv, new Comparator<Student>(){  
    public void compare(Student a, Student b){  
        return a.id - b.id  
    }});
```

61

Comparator behavior



Comparator factory

- Most comparators take some information out of the objects to be compared
 - ◆ Typically through a getter
 - ◆ Such values are primitive or are comparable using their natural order (i.e. Comparable)
- Such comparator can be generated starting from a key getter functional object:

```
static <T,U extends Comparable<U>>
```

```
Comparator<T>
```

```
comparing(Function<T,U> keyGetter)
```

```
Comparator.comparing()
```

Comparator.comparing

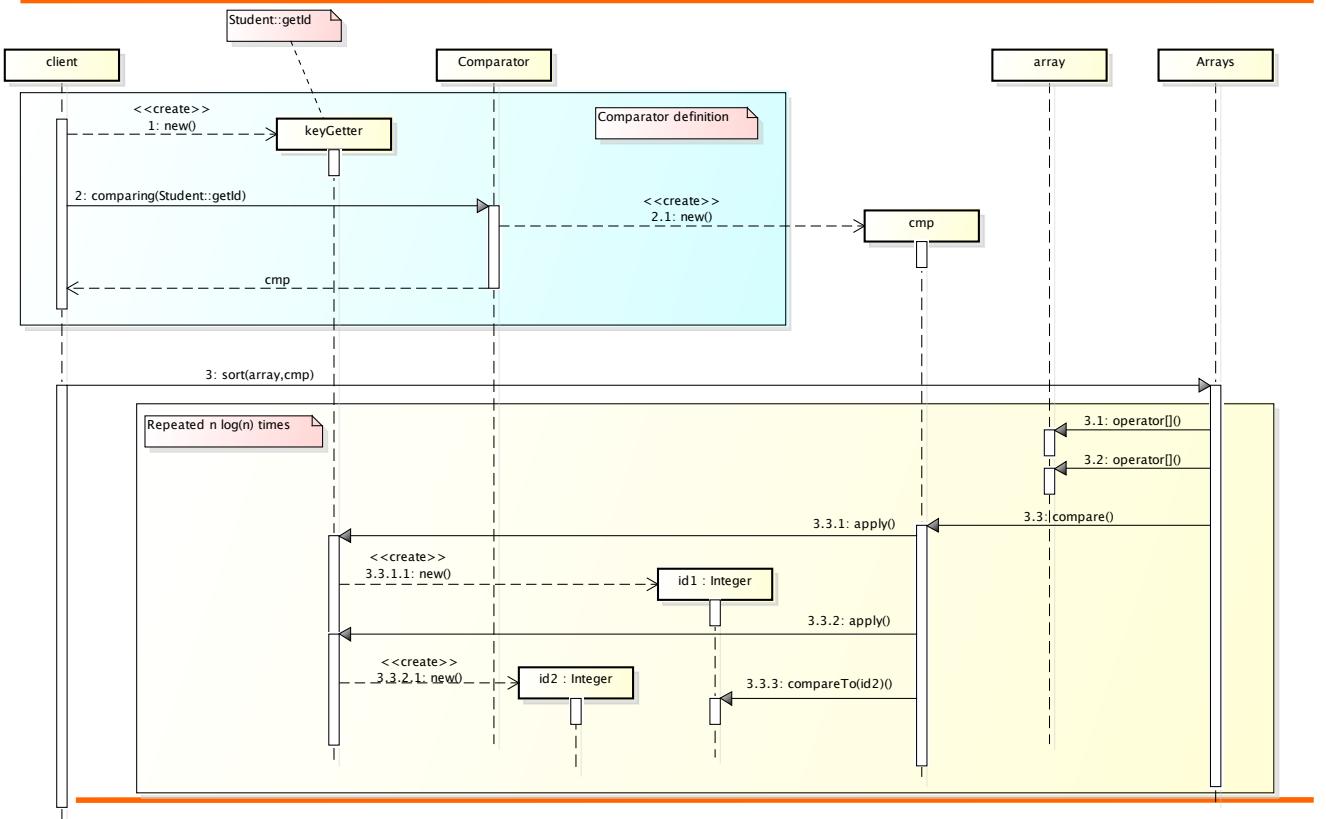
```
Arrays.sort(sv, comparing(Student::getId));
```

Requires:

```
import static java.util.Comparator.*
```

```
static <T,U extends Comparable<? super U>>
Comparator<T>
comparing(Function<T,U> keyGetter) {
    return (a,b) -> keyGetter.apply(a).
                  compareTo(keyGetter.apply(b));
}
```

Comparator factory behavior



Comparator.comparingInt

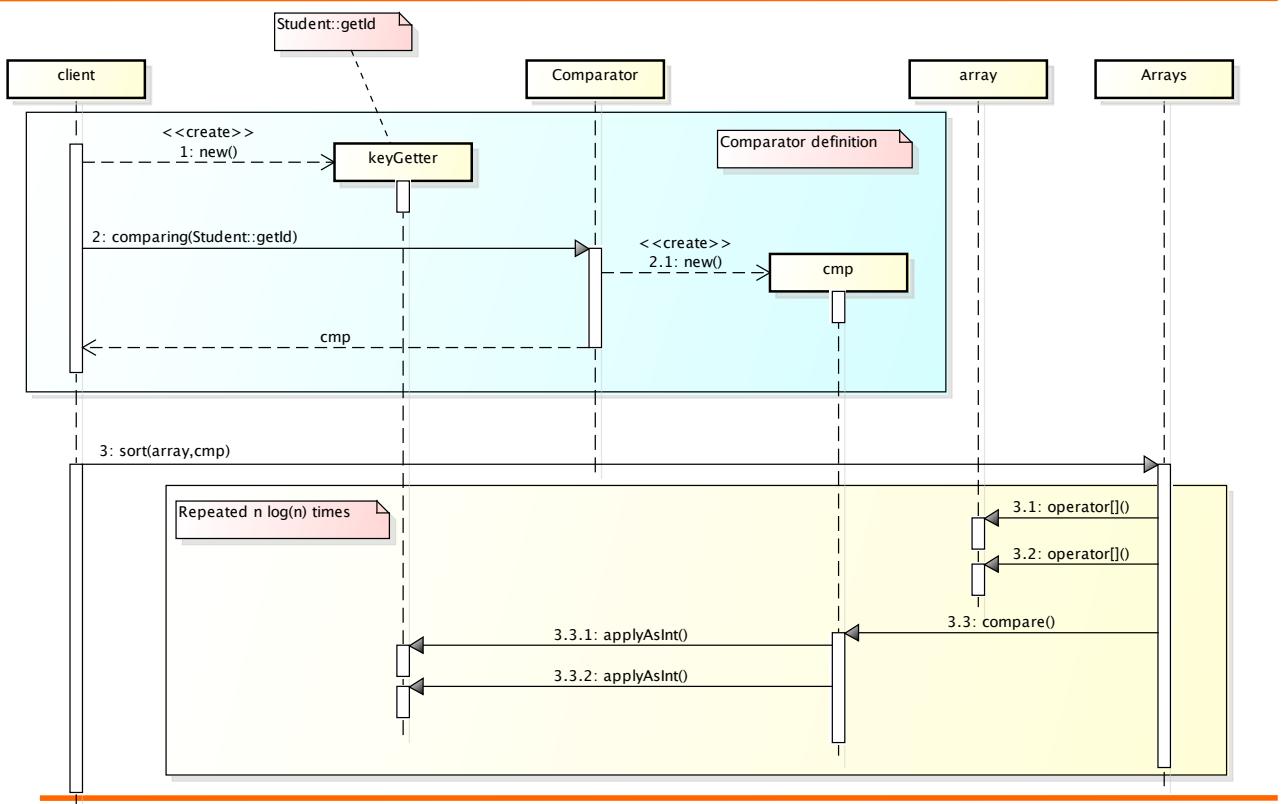
```
Arrays.sort(sv, comparingInt(Student::getId))
```

Requires:

```
import static java.util.Comparator.*
```

```
static <T,U extends Comparable<? super U>>
Comparator<T>
comparing(ToIntFunction<T,U> keyGetter) {
    return (a,b) -> keyGetter.applyAsInt(a) -
        keyGetter.applyAsInt(b);
}
```

IntComparator factory behavior



Performance

| Comparator | Time |
|--|------|
| <code>(a,b) -> a.id - b.id</code> | 100 |
| <code>(a,b) -> a.getId() - b.getId()</code> | 120 |
| <code>comparingInt(Student::getId)</code> | 135 |
| <code>comparing(Student::getId)</code> | 185 |

Also use a lot of memory due to wrapper objects creation

Relative performance

Comparator historical perspective

```
Arrays.sort(sv,new Comparator() {  
    public int compare(Object a, Object b) {  
        return ((Student)a).id-((Student)b).id;  
    }});
```

Java ≥ 2

```
Arrays.sort(sv,new Comparator<Student>() {  
    public int compare(Student a, Student b) {  
        return a.getId() - b.getId();  
    }});
```

Java ≥ 5, Generics

Java ≥ 8, Lambda

```
Arrays.sort(sv,(a,b)->a.getId()-b.getId());
```

```
Arrays.sort(sv,comparing(Student::getId));
```

Java ≥ 8, Method reference

Functional interface composition

- Reverse order method
 - ♦ Not a Comparator method!

```
static <T> Comparator<T>  
    reverse(Comparator<T> cmp) {  
        return (a,b) -> cmp.compare(b,a);  
    }
```

```
Arrays.sort(sv,reverse(  
    comparing(Student::getId)));
```

Comparator composition

- Reverse order

- ◆ Default method `Comparator.reversed()`

```
default <T> Comparator<T> reversed() {  
    return (a,b) -> this.compare(b,a);  
}
```

```
Arrays.sort(sv,  
            comparing(Student::getId).reversed());
```

Comparator composition

- Multiple criteria

- ◆ Default method
`Comparator.thenComparing()`

```
default <T> Comparator<T>  
        thenComparing(Comparator<T> other) {  
    return (a,b) -> {  
        int r = this.compare(a,b);  
        if(r!=0) return r;  
        else return other.compare(a,b);  
    }  
}
```

Comparator composition

- Multiple criteria

```
default <U extends Comparable<U>
Comparator<T> thenComparing(Function<T,U> key) {
    return (a,b) -> {
        int r = this.compare(a,b);
        if(r!=0) return r;
        return key.apply(a).compareTo(key.apply(b));
    }
}
```

Comparator composition

```
Arrays.sort(sv, (a,b)-> {
    int l = a.last.compareTo(b.last);
    if(l!=0) return l;
    return a.first.compareTo(b.first);
}));
```

```
Arrays.sort(sv,
    comparing(Student::getLast).
    thenComparing(Student::getFirst));
```

Functional Interface Composition

- **Predicate**

- `default Predicate<T> and(Predicate<T> o)`
- `default Predicate<T> or(Predicate<T> o)`
- `default Predicate<T> negate()`

- **Function**

- `default <V> Function<T,V> andThen(Function<R,V> after)`
 - `default <V> Function<V,R> compose(Function<V,T> before)`
-

Wrap-up

- Generics allow defining type parameter for methods and classes
 - The same code can work with several different types
 - ◆ Primitive types must be replaced by wrappers
 - Generics containers are type invariant
 - ◆ Wildcard, ? (read as unknown)
 - Generics are implemented by type erasure
 - ◆ Checks are performed at compile time
-