Highlighting Apache Spark: Distributed Processing Engine

Apache Spark is a powerful, unified analytics engine for large-scale data processing. It excels at both batch processing and real-time streaming analytics, making it a cornerstone of modern data platforms. With its rich APIs in Python (PySpark), Scala, Java, and R, Spark allows engineers to perform complex transformations, aggregations, and machine learning tasks on massive datasets.

This guide will demonstrate basic and advanced use cases of Apache Spark, leveraging your **Advanced Track** local environment setup.

Reference: This guide builds upon the concepts and setup described in Section 4.2. Core Technology Deep Dive of the Core Handbook and the Progressive Path Setup Guide Deep-Dive Addendum.

Basic Use Case: Streaming ETL from Kafka to Delta Lake

Objective: To demonstrate how Spark Structured Streaming can consume real-time data from Kafka topics, apply a basic ETL process (e.g., parsing, schema enforcement), and write the results to a Delta Lake table in MinIO.

Role in Platform: Power the real-time ingestion pipeline, transforming raw event streams into structured, queryable data in the data lakehouse.

Setup/Configuration (Local Environment - Advanced Track):

- 1. **Ensure all Advanced Track services are running:** docker compose up --build -d from your project root.
- 2. **Verify Spark is accessible:** Check Docker logs for the spark container to ensure it's healthy. Access Spark History Server at http://localhost:18080.
- 3. **Ensure Kafka topics are initialized:** Confirm raw_financial_transactions and raw_insurance_claims topics exist (from onboard.sh or manual commands).
- 4. **simulate_data.py is running:** Ensure data is continuously being sent to your FastAPI and then published to Kafka.
- 5. **Spark Consumer Script:** You will use the pyspark_jobs/streaming_consumer.py script. This script defines the logic for consuming from Kafka and writing to Delta Lake. *Example pyspark_jobs/streaming_consumer.py (conceptual, as referenced in previous docs):*

pyspark_jobs/streaming_consumer.py import sys

from pyspark.sql import SparkSession

from pyspark.sql.functions import col, from_json

from pyspark.sql.types import StructType, StringType, FloatType, TimestampType,

```
def create_spark_session(app name):
  """Helper function to create a SparkSession with Delta Lake and Kafka packages."""
  return (SparkSession.builder.appName(app name)
      .config("spark.jars.packages",
"org.apache.spark:spark-sql-kafka-0-10 2.12:3.5.0,io.delta:delta-core 2.12:2.4.0")
      .config("spark.sgl.extensions", "io.delta.sgl.DeltaSparkSessionExtension")
      .config("spark.sql.catalog.spark catalog",
"org.apache.spark.sql.delta.catalog.DeltaCatalog")
      .getOrCreate())
if name == " main ":
 if len(sys.argv) != 4:
    print("Usage: streaming consumer.py <kafka topic> <kafka broker>
<delta output path>")
    sys.exit(-1)
  kafka topic = sys.argv[1]
  kafka broker = sys.argv[2]
  delta output path = sys.argv[3]
  spark = create spark session(f"KafkaToDeltaStream {kafka topic}")
  spark.sparkContext.setLogLevel("WARN") # Reduce verbosity of Spark logs
  # Define schema for the incoming Kafka message value (financial/insurance data)
  # This schema should match the data structure produced by FastAPI
  # For simplicity, using a generic schema, in reality you'd have specific ones
  # for financial transactions and insurance claims
  data schema = StructType() \
    .add("transaction id", StringType(), True) \
    .add("timestamp", StringType(), True) \
    .add("account id", StringType(), True) \
    .add("amount", FloatType(), True) \
    .add("currency", StringType(), True) \
    .add("transaction type", StringType(), True) \
    .add("merchant id", StringType(), True) \
    .add("category", StringType(), True) \
    .add("claim id", StringType(), True) \
    .add("policy number", StringType(), True) \
    .add("claim amount", FloatType(), True) \
    .add("claim type", StringType(), True) \
    .add("claim status", StringType(), True) \
```

```
.add("customer id", StringType(), True) \
    .add("incident date", StringType(), True)
  # Read from Kafka as a streaming DataFrame
  kafka df = (spark.readStream
         .format("kafka")
         .option("kafka.bootstrap.servers", kafka broker)
         .option("subscribe", kafka topic)
         .option("startingOffsets", "latest") # Start consuming new messages
         .load())
  # Parse the value column (which contains the JSON message)
  # Add metadata for debugging (topic, offset, timestamp)
  parsed df = kafka df.selectExpr("CAST(key AS STRING)", "CAST(value AS STRING) as
json value",
                    "topic", "partition", "offset", "timestamp") \
    .select(from json(col("json value"), data schema).alias("data"),
         col("topic"), col("partition"), col("offset"),
col("timestamp").alias("kafka timestamp")) \
    .select("data.*", "topic", "partition", "offset", "kafka timestamp")
  # Define checkpoint location for fault tolerance and exactly-once processing
  checkpoint location = f"{delta output path}/ checkpoints"
  # Write the processed data to Delta Lake
  query = (parsed df.writeStream
       .format("delta")
       .outputMode("append") # Append new data to the Delta table
       .option("checkpointLocation", checkpoint location) # Required for streaming
writes
       .start(delta output path))
  print(f"Spark Structured Streaming job for topic '{kafka topic}' started, writing to:
{delta output path}")
  print(f"Checkpoint location: {checkpoint location}")
  query.awaitTermination() # Keep the job running until terminated
  spark.stop()
```

Steps to Exercise:

- 1. Ensure data generation: Verify python3 simulate data.py is running in the background.
- 2. **Submit Financial Streaming Job:** In a new terminal, submit the Spark job for financial

```
data.

docker exec -it spark spark-submit \
    --packages

org.apache.spark:spark-sql-kafka-0-10_2.12:3.5.0,io.delta:delta-core_2.12:2.4.0 \
    --conf spark.sql.extensions=io.delta.sql.DeltaSparkSessionExtension \
    --conf

spark.sql.catalog.spark_catalog=org.apache.spark.sql.delta.catalog.DeltaCatalog \
    --conf spark.hadoop.fs.s3a.endpoint=http://minio:9000 \
    --conf spark.hadoop.fs.s3a.access.key=minioadmin \
    --conf spark.hadoop.fs.s3a.secret.key=minioadmin \
    --conf spark.hadoop.fs.s3a.path.style.access=true \
    /opt/bitnami/spark/jobs/streaming_consumer.py \
    raw_financial_transactions kafka:29092 s3a://raw-data-bucket/financial_data_delta
```

3. **Submit Insurance Streaming Job:** In another new terminal, submit the Spark job for insurance data.

```
docker exec -it spark spark-submit \
--packages
```

org.apache.spark:spark-sql-kafka-0-10 2.12:3.5.0,io.delta:delta-core 2.12:2.4.0 \

- --conf spark.sql.extensions=io.delta.sql.DeltaSparkSessionExtension \
- --conf

spark.sql.catalog.spark_catalog=org.apache.spark.sql.delta.catalog.DeltaCatalog \

- --conf spark.hadoop.fs.s3a.endpoint=http://minio:9000 \
- --conf spark.hadoop.fs.s3a.access.key=minioadmin \
- --conf spark.hadoop.fs.s3a.secret.key=minioadmin \
- --conf spark.hadoop.fs.s3a.path.style.access=true \

/opt/bitnami/spark/jobs/streaming_consumer.py \

raw_insurance_claims kafka:29092 s3a://raw-data-bucket/insurance_data_delta

Observe the console output for both jobs; they will show Spark logging and progress. **Verification:**

- MinIO Console (http://localhost:9001): Navigate to raw-data-bucket. You should see
 two growing directories: financial_data_delta and insurance_data_delta. Each will
 contain .parquet files (the actual data) and a _delta_log directory (Delta Lake
 transaction log), confirming continuous data ingestion.
- Spark History Server (http://localhost:18080): You should see two active streaming applications. Click on them to inspect their progress, input/output rates, and micro-batch statistics.
- **Grafana (http://localhost:3000):** On the "Kafka Overview" or "Health Dashboard," observe that the consumer lag for both raw_financial_transactions and raw_insurance_claims topics remains low and stable, indicating that Spark is efficiently consuming messages as they arrive.

Advanced Use Case 1: Complex Batch Transformation & Data Quality

Objective: To demonstrate Spark's capability for complex batch transformations, including data cleansing, enrichment (e.g., joining with a reference table in PostgreSQL), and applying data quality rules before writing to a curated zone.

Role in Platform: Refine raw data into high-quality, consumable datasets for analytics and machine learning.

Setup/Configuration:

- 1. Ensure Basic Use Case is running: raw-data-bucket/financial data delta is populated.
- 2. PostgreSQL reference data: Assume your PostgreSQL main db has a merchant lookup table with merchant id and merchant category (can be populated manually or via a setup script).
- 3. Spark Batch Transformation Script: Create pyspark jobs/batch transformations.py to handle these steps.

```
Example pyspark jobs/batch transformations.py (conceptual):
# pyspark jobs/batch transformations.py
import sys
from pyspark.sql import SparkSession
from pyspark.sql.functions import col, when, trim, lower, coalesce, lit,
current timestamp
from pyspark.sql.types import StringType, FloatType, TimestampType, LongType
from delta.tables import DeltaTable
def create spark session(app name):
  """Helper function to create a SparkSession with Delta Lake and PostgreSQL
packages."""
  return (SparkSession.builder.appName(app name)
      .config("spark.jars.packages",
"io.delta:delta-core 2.12:2.4.0,org.postgresgl:postgresgl:42.6.0")
      .config("spark.sql.extensions", "io.delta.sql.DeltaSparkSessionExtension")
      .config("spark.sql.catalog.spark catalog",
"org.apache.spark.sql.delta.catalog.DeltaCatalog")
      .getOrCreate())
```

def run transformation(spark, raw path, curated path, pg host, pg port, pg db, pg user, pg password):

"""Performs batch transformation, enrichment, and data quality checks.""" print(f"Reading raw data from: {raw path}") df raw = spark.read.format("delta").load(raw path)

#1. Data Cleansing

```
df cleaned = df raw.withColumn("account id", trim(col("account id"))) \
            .withColumn("currency", lower(col("currency")))
  # 2. Data Enrichment (Joining with PostgreSQL lookup table)
  # Assuming a merchant lookup table in PostgreSQL
  print(f"Connecting to PostgreSQL at {pg host}:{pg port}/{pg db} for merchant
lookup...")
  df merchant lookup = spark.read \
    .format("jdbc") \
    .option("url", f"jdbc:postgresql://{pg host}:{pg port}/{pg db}") \
    .option("dbtable", "merchant lookup") # Assuming 'merchant lookup' table
    .option("user", pg user) \
    .option("password", pg password) \
    .load()
  df enriched = df cleaned.join(
    df merchant lookup,
    df cleaned["merchant id"] == df merchant lookup["merchant id"],
    "left" # Use left join to keep all financial transactions
 ).select(df cleaned["*"], coalesce(df merchant lookup["category"],
lit("UNKNOWN")).alias("enriched category")) # Example enrichment
  # 3. Data Quality Checks (Simple example: flagging invalid amounts)
  df quality checked = df enriched.withColumn(
    "is amount valid",
    when(col("amount").isNull() | (col("amount") <= 0), False).otherwise(True)
 ).withColumn("processing timestamp", current timestamp()) # Add processing
timestamp
  # Define schema for the curated table (conceptual)
  curated schema = StructType() \
    .add("transaction id", StringType()) \
    .add("timestamp", StringType()) \
    .add("account id", StringType()) \
    .add("amount", FloatType()) \
    .add("currency", StringType()) \
    .add("transaction type", StringType()) \
    .add("merchant id", StringType(), True) \
    .add("category", StringType(), True) \
    .add("enriched category", StringType(), True) \
    .add("is amount valid", StringType()) \
    .add("processing timestamp", TimestampType())
```

```
# Select columns in the order of curated schema and cast if necessary
  df final = df quality checked.select([col(c.name).cast(c.dataType) for c in
curated schema.fields])
  print(f"Writing curated data to: {curated path}")
  # Write to Curated Delta Lake (using DeltaTable for upserts if needed, otherwise
standard write)
  if DeltaTable.isDeltaTable(spark, curated path):
    # Example: Simple overwrite for batch, or merge for SCD type operations
    df final.write.format("delta").mode("overwrite").option("overwriteSchema",
"true").save(curated path)
  else:
    df final.write.format("delta").mode("overwrite").option("overwriteSchema",
"true").save(curated path) # Changed to overwrite for simple batch runs
  print("Batch transformation complete.")
if name _ == "__main__":
  if len(sys.argv) != 3: # raw path, curated path
    print("Usage: batch transformations.py <raw delta path> <curated delta path>")
    sys.exit(-1)
  raw path = sys.argv[1]
  curated path = sys.argv[2]
  spark = create spark session("BatchETLTransformation")
  spark.sparkContext.setLogLevel("WARN")
  # Get PostgreSQL connection details from environment variables (set in
docker-compose.yml for 'spark' service)
  PG HOST = "postgres" # Service name in docker-compose
  PG PORT = "5432"
  PG DB = "main db"
  PG USER = "user"
  PG PASSWORD = "password"
  # Create a dummy merchant lookup table in PostgreSQL if it doesn't exist
  # This would typically be part of your database migration
  try:
    conn str = f"idbc:postgresql://{PG HOST}:{PG PORT}/{PG DB}"
    df_dummy = spark.createDataFrame([(1, "Electronics"), (2, "Groceries"), (3,
"Entertainment")], ["merchant id", "category"])
```

Steps to Exercise:

- 1. **Stop streaming jobs:** Stop the previously submitted Spark streaming jobs (Ctrl+C in their terminals, or docker compose stop spark then docker compose start spark). This is important so the batch job can have a consistent snapshot of the raw data.
- 2. **Submit Batch Job:** In a new terminal, submit the batch_transformations.py job. docker exec -it spark spark-submit \
 - --packages io.delta:delta-core 2.12:2.4.0,org.postgresgl:postgresgl:42.6.0 \
 - --conf spark.sql.extensions=io.delta.sql.DeltaSparkSessionExtension \
 - --conf

spark.sql.catalog.spark catalog=org.apache.spark.sql.delta.catalog.DeltaCatalog \

- --conf spark.hadoop.fs.s3a.endpoint=http://minio:9000 \
- --conf spark.hadoop.fs.s3a.access.key=minioadmin \
- --conf spark.hadoop.fs.s3a.secret.key=minioadmin \
- --conf spark.hadoop.fs.s3a.path.style.access=true \

/opt/bitnami/spark/jobs/batch transformations.py \

s3a://raw-data-bucket/financial data delta \

s3a://curated-data-bucket/financial data curated batch

3. **Monitor:** Observe the console output of the spark-submit command.

Verification:

- MinIO Console (http://localhost:9001): Navigate to curated-data-bucket. You should see a new financial_data_curated_batch directory containing .parquet files and _delta_log.
- Spark History Server (http://localhost:18080): The completed batch job should appear. Inspect its details, including the data read and written.
- Data Content (Conceptual Query): If you can query the Delta table (e.g., using spark-sql from inside the spark container), verify the enriched_category and

```
is amount valid columns are correctly populated.
docker exec -it spark spark-sql \
```

- --packages io.delta:delta-core 2.12:2.4.0 \
- --conf spark.sql.extensions=io.delta.sql.DeltaSparkSessionExtension \
- --conf

spark.sql.catalog.spark catalog=org.apache.spark.sql.delta.catalog.DeltaCatalog \

- --conf spark.hadoop.fs.s3a.endpoint=http://minio:9000 \
- --conf spark.hadoop.fs.s3a.access.key=minioadmin \
- --conf spark.hadoop.fs.s3a.secret.key=minioadmin \
- --conf spark.hadoop.fs.s3a.path.style.access=true \
- -e "SELECT transaction id, amount, currency, enriched category, is amount valid FROM delta.\`s3a://curated-data-bucket/financial data curated batch\` LIMIT 10;"

Advanced Use Case 2: Machine Learning Integration & **Feature Engineering**

Objective: To demonstrate how Spark can be used for feature engineering on curated data and conceptually apply a machine learning model, highlighting the analytical capabilities of the platform.

Role in Platform: Prepare and serve high-quality features for ML models, and perform large-scale inference.

Setup/Configuration:

- 1. Ensure financial data curated batch is populated: From Advanced Use Case 1.
- 2. ML Script: Create pyspark jobs/ml model inference.py (as provided in the previous Data Platform Usage Guide). This script will read curated data, perform basic feature engineering (e.g., using VectorAssembler), and conceptually apply an ML model.

Example pyspark jobs/ml model inference.py (as used before):

```
# pyspark jobs/ml model inference.py
import sys
```

from pyspark.sql import SparkSession

from pyspark.ml.feature import VectorAssembler

from pyspark.ml.classification import LogisticRegression # Example ML library from pyspark.sql.functions import col

```
def create spark session(app name):
  """Helper function to create a SparkSession with Delta Lake and MLlib packages."""
  return (SparkSession.builder.appName(app_name)
      .config("spark.jars.packages", "io.delta:delta-core 2.12:2.4.0")
      .config("spark.sql.extensions", "io.delta.sql.DeltaSparkSessionExtension")
      .config("spark.sql.catalog.spark catalog",
```

"org.apache.spark.sql.delta.catalog.DeltaCatalog")

```
.getOrCreate())
```

```
if name == " main ":
 if len(sys.argv) != 2:
    print("Usage: ml model inference.py <curated delta path>")
    sys.exit(-1)
  curated path = sys.argv[1]
  spark = create spark session("ML Inference Example")
  spark.sparkContext.setLogLevel("WARN")
  print(f"Reading curated data from: {curated path}")
  try:
    df = spark.read.format("delta").load(curated path)
    df.printSchema()
    df.show(5, truncate=False)
    # --- Feature Engineering ---
    # For demonstration, let's create a 'feature' vector from 'amount' and
'is amount valid'
    # In a real scenario, this would involve more complex feature selection and
transformation
    feature columns = ["amount"]
    if "is amount valid" in df.columns: # Conditionally add if exists from previous step
feature columns.append(col("is amount valid").cast("double").alias("is amount valid n
umeric"))
      # If "is amount valid" is boolean, cast to double for VectorAssembler
    assembler = VectorAssembler(inputCols=feature columns, outputCol="features")
    feature df = assembler.transform(df)
    print("Schema after feature engineering:")
    feature df.printSchema()
    # --- Conceptual ML Model Application ---
    # This part is conceptual as we don't have a trained model.
    # In a real scenario, you would load a pre-trained model:
    # from pyspark.ml.classification import LogisticRegressionModel
    # model = LogisticRegressionModel.load("path/to/your/trained model")
    # predictions = model.transform(feature df)
    # predictions.show()
    # For demonstration, we'll just print some summary statistics of the features
    print("Summary of features for ML:")
```

```
feature_df.select("features").show(5, truncate=False)
# You could save this prepared feature set for later training/inference
#
feature_df.write.format("delta").mode("overwrite").save("s3a://ml-features-bucket/finan cial_features")

print(f"Successfully read data from {curated_path} and conceptually prepared for ML.")

print("In a real scenario, an ML model would now be applied or trained on these features.")

except Exception as e:

print(f"Error reading curated data for ML or during feature engineering: {e}")

print("Ensure batch_transformations.py has run and populated the curated-data-bucket path.")

spark.stop()
```

Steps to Exercise:

- 1. **Submit ML Script:** In a new terminal, submit the ml_model_inference.py job.
 - docker exec -it spark spark-submit \
 - --packages io.delta:delta-core_2.12:2.4.0 \
 - --conf spark.sql.extensions=io.delta.sql.DeltaSparkSessionExtension \
 - --conf

spark.sql.catalog.spark catalog=org.apache.spark.sql.delta.catalog.DeltaCatalog \

- --conf spark.hadoop.fs.s3a.endpoint=http://minio:9000 \
- --conf spark.hadoop.fs.s3a.access.key=minioadmin \
- --conf spark.hadoop.fs.s3a.secret.key=minioadmin \
- --conf spark.hadoop.fs.s3a.path.style.access=true \

/opt/bitnami/spark/jobs/ml model inference.py \

s3a://curated-data-bucket/financial data curated batch

2. **Monitor:** Observe the console output for the spark-submit command.

Verification:

- Console Output: The script should successfully read from the curated Delta Lake and print the schema with the new features column (a vector of your chosen numerical features). It should also print a summary of the features. This demonstrates Spark's role in preparing data for ML.
- Spark History Server (http://localhost:18080): A new completed job for "ML Inference Example" will appear.

Advanced Use Case 3: Data Lineage Tracking and

Schema Enforcement (via Spline & Delta Lake)

Objective: To explicitly demonstrate how Spark, combined with Delta Lake, enforces schema, and how Spline automatically captures and visualizes the lineage of Spark transformations. **Role in Platform:** Ensure data quality, provide a single source of truth for schema, and enable full transparency of data flow for governance and debugging.

Setup/Configuration:

- 1. Ensure Spline and OpenMetadata are running (Advanced Track setup).
- 2. Ensure streaming consumer.py is running (Basic Use Case).
- 3. **Introduce Schema Drift in Producer:** Modify simulate_data.py to temporarily introduce a schema change that *violates* the expected schema for financial data. For example, change amount from a float to a string for a few messages. *Then, revert it back quickly to avoid excessive errors for other jobs.*
 - Original (in simulate_data.py): "amount": round(random.uniform(1.0, 10000.0),
 2),
 - o Temporary change: "amount": "invalid amount string",
 - Revert back to original immediately after testing this step.
- 4. Ensure Spark Streaming job is running with mergeSchema disabled for this test: This is to explicitly show a *failure* if schema enforcement is strict. If mergeSchema is always on, it will adapt. For this demo, let's assume streaming_consumer.py uses outputMode("append") without mergeSchema for a moment, *or* you are running a specific test job without it.

Steps to Exercise:

- 1. Trigger Schema Violation (Temporary):
 - Modify simulate_data.py to send a few messages with amount as a string instead of a float.
 - Run simulate_data.py for a very short period (e.g., 5-10 seconds) with this modification.
 - Immediately revert simulate_data.py back to its correct schema for amount (float)! This is crucial to avoid continuous errors.

2. Observe Spark Job Behavior:

- Watch the logs of your financial transactions Spark streaming job.
- Expected (if mergeSchema is OFF): Spark will likely throw an error (e.g., AnalysisException: Cannot write unknown type string into float type column ...)
 and the job might fail or restart, indicating strict schema enforcement.
- Expected (if mergeSchema is ON): Spark will likely append data, potentially creating a new column for the string if it detects a new type, or handling nulls if it's a type coercion issue. This demonstrates the flexibility of mergeSchema.
- Re-enable/Restart Spark: Ensure your Spark streaming job is running correctly again (e.g., docker compose restart spark if it crashed, or re-submit with correct mergeSchema options if you were toggling them).
- 3. Access Spline UI: http://localhost:8081.

4. View Lineage:

- Locate the Spark job that processes raw_financial_transactions to financial data delta.
- Click on the job to view its lineage graph.
- Utilize: Observe the input (Kafka topic), the Spark transformation node, and the output (Delta Lake table). Spline will capture the schema of both input and output, and detail the operations performed (e.g., from_json, select, write). This visualizes the schema flow through the pipeline.
- 5. Access OpenMetadata UI: http://localhost:8585.

6. Verify Schema & Lineage in Catalog:

- Search for your financial data delta table.
- o Go to its **Schema tab**. Verify the current schema matches what Spark is writing.
- Go to its Lineage tab. OpenMetadata should pull the lineage from Spline, providing an end-to-end view of the data's journey, including column-level lineage if Spline captured it.
- Utilize: This unified view in OpenMetadata demonstrates how governance teams can audit data flow, understand schema evolution, and pinpoint potential data quality issues by tracing data back to its source transformation.

This use case strongly emphasizes how Spark, Delta Lake, and Spline/OpenMetadata collaborate to provide robust data quality, schema management, and transparent data lineage within your platform.