

TOWARD GRAVITATIONAL WAVEFORMS FOR EXTREME AND INTERMEDIATE  
MASS RATIO INSPIRALS OF BLACK HOLE BINARY SYSTEMS: STRATEGIES  
FOR COMPUTING THE SELF-FORCE OF A SCALAR FIELD ON A  
SCHWARZSCHILD SPACETIME BACKGROUND USING THE DISCONTINUOUS  
GALERKIN, EFFECTIVE SOURCE, AND OSCULATING ORBIT METHODS

A Thesis/Dissertation

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in

Physics

by

Steven (Susan) Dorsher

B.S., Massachusetts Institute of Technology, 2004

M.S., The Ohio State University, 2006

M.S., University of Minnesota, 2013

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# Abstract

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# Chapter 1

## Introduction

### 1.1 Gravitational Waves

On February 11, 2016, the LIGO Scientific Collaboration announced the first detection of gravitational waves from a black hole binary inspirals, occurring on September 14, 2015, with pre-merger masses of  $36 M_{\odot}$  and  $29 M_{\odot}$  and a post merger mass of  $62 M_{\odot}$  at a redshift of  $z = 0.09$  [33]. Two subsequent detections followed, on December 26, 2015 [34] and on January 4, 2017 [35], with masses that are about the same to within an order of magnitude.

There is a question of what is meant, observationally, by a black hole. Does it need to have a horizon? Does it need to have a Kerr metric (the simplest possible space-time for a spinning black hole in general relativity)? Does it simply need to be a sufficiently compact object that it can't be ordinary nuclear matter? Historically, black holes have been defined by their compactness [47]; however, some studies are beginning to consider tests of horizons [] or of the Kerr metric itself [47]. X-ray binaries, gravitational wave constraints from binary-pulsar systems, active galactic nuclei models containing super-massive black holes on the order of  $10^6 M_{\odot}$ , and the three LIGO detections, as well as black hole formation models, suggest that black holes of all scales should be spinning [47]. However, for the purposes of this manuscript, I will consider non-spinning, spherically symmetric black holes in general relativity, described by the Schwarzschild metric.

Currently, there are four distinct windows on the gravitational wave universe planned or in progress. The Laser Interferometer Gravitational Wave Observatory, LIGO, probably deserves first listing, due to their recent success. LIGO observes gravitational waves using a ground based Michelson-Morley interferometer with two 4 kilometer long Fabry-Perot cavity arms. It detects strains as small as  $10^{-23} Hz^{-1/2}$  [48].

- 1.2 Extreme Mass Ratio Inspirals
- 1.3 EMRIs
- 1.4 The discontinuous galerkin method
- 1.5 LISA

## Chapter 2

# A simple numerical solution for a PDE using the Discontinuous Galerkin method

2.1 The Discontinuous Galerkin method

2.2 Separation of variables

2.3 Wave equation on flat spacetime

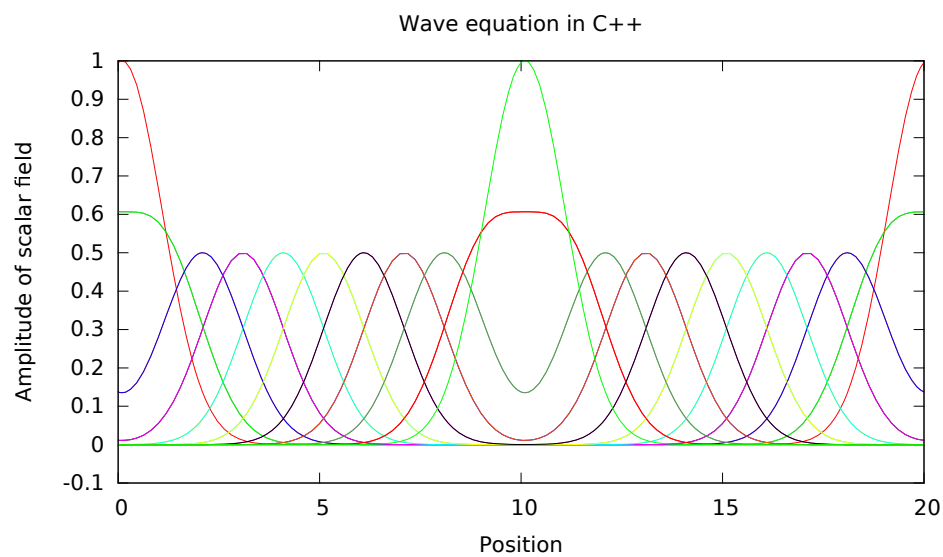


Figure 2.1: Waves evolving over time for gaussian initial conditions

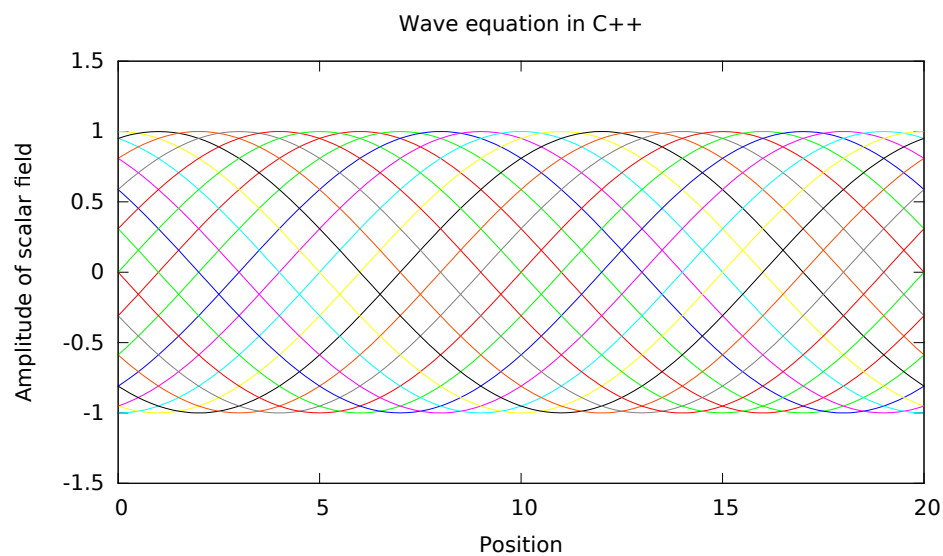


Figure 2.2: Waves evolving over time for sinusoidal initial conditions

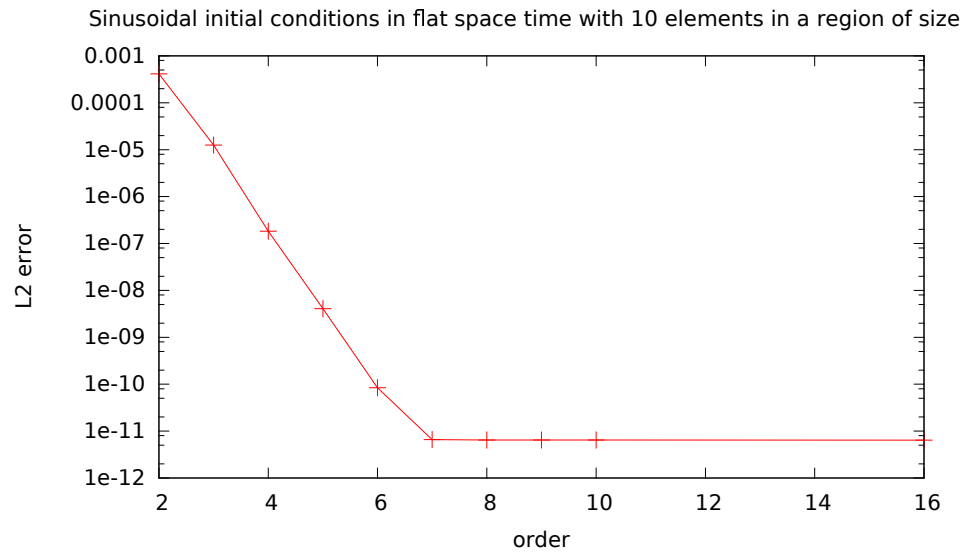


Figure 2.3:  $L_2$  error scaling with DG order for sinusoidal initial conditions

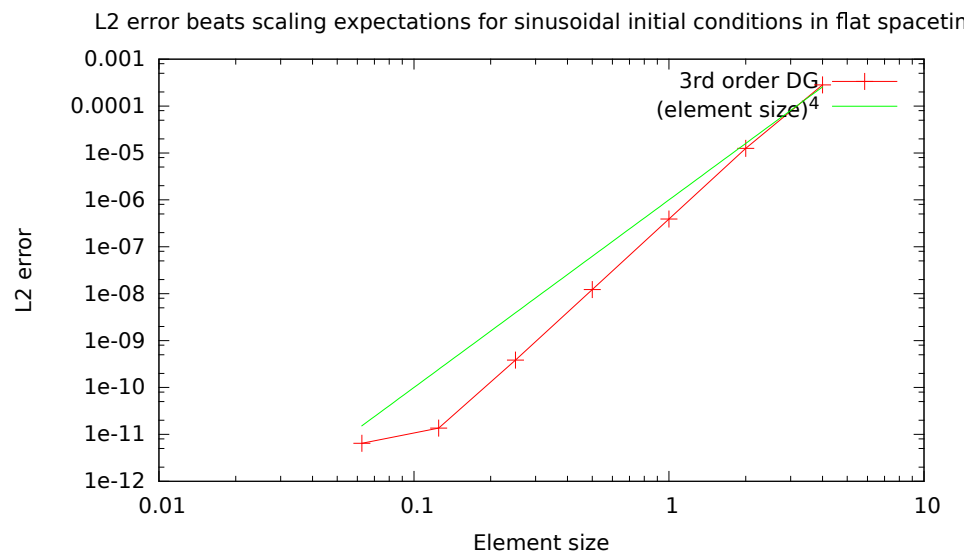


Figure 2.4:  $L_2$  error scaling with element size for sinusoidal initial conditions

# Chapter 3

## A scalar field on a Schwarzschild background without a source

### 3.1 Scalar field on Schwarzschild spacetime

#### 3.1.1 Multipole moment decomposition

#### 3.1.2 Hyperboloidal compactification

Tortoise coordinates and wave equation Wave equation in this form Boundary conditions

#### 3.1.3 Initial conditions

#### 3.1.4 final results



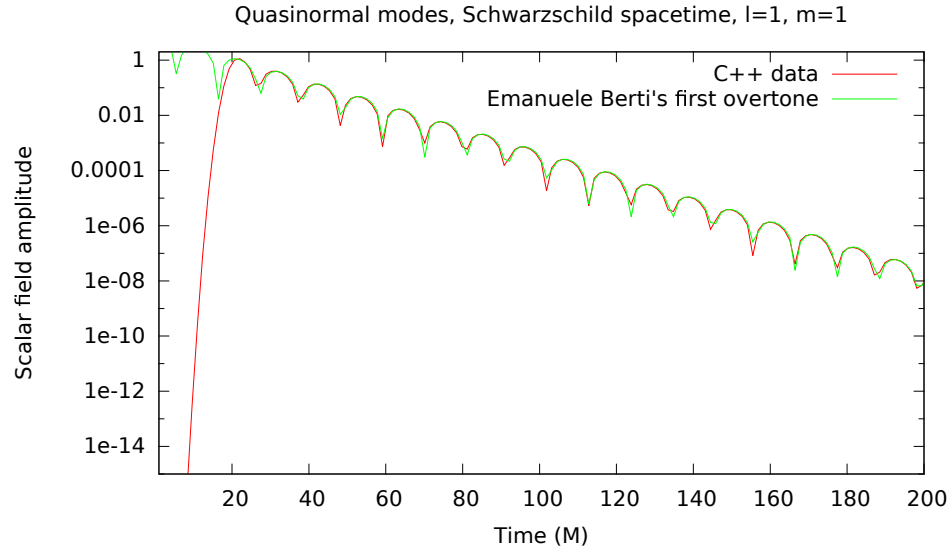


Figure 3.1: Quasinormal mode for  $l=1, m=1$

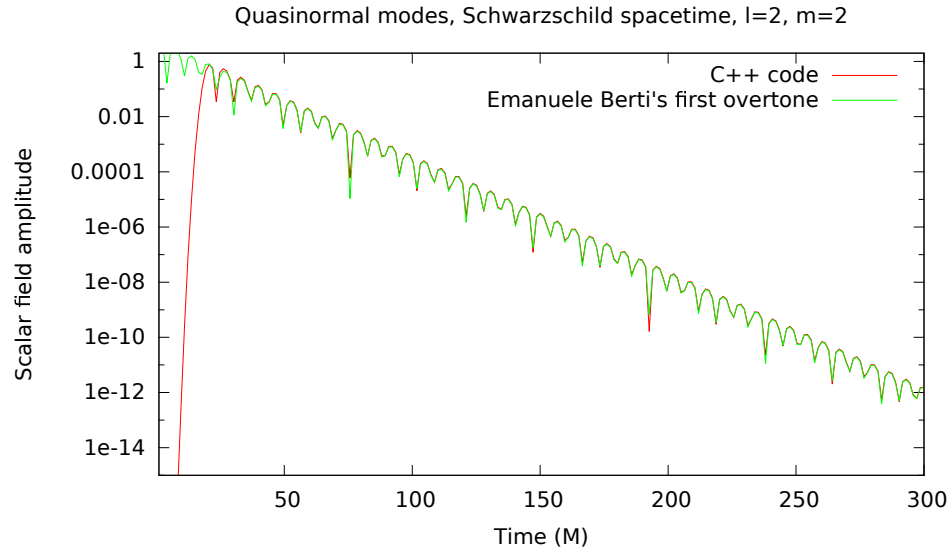


Figure 3.2: Quasinormal mode for  $l=2, m=2$

The C++ code, and Richard Price's theoretical expectation for the power law tails,  $l=1$

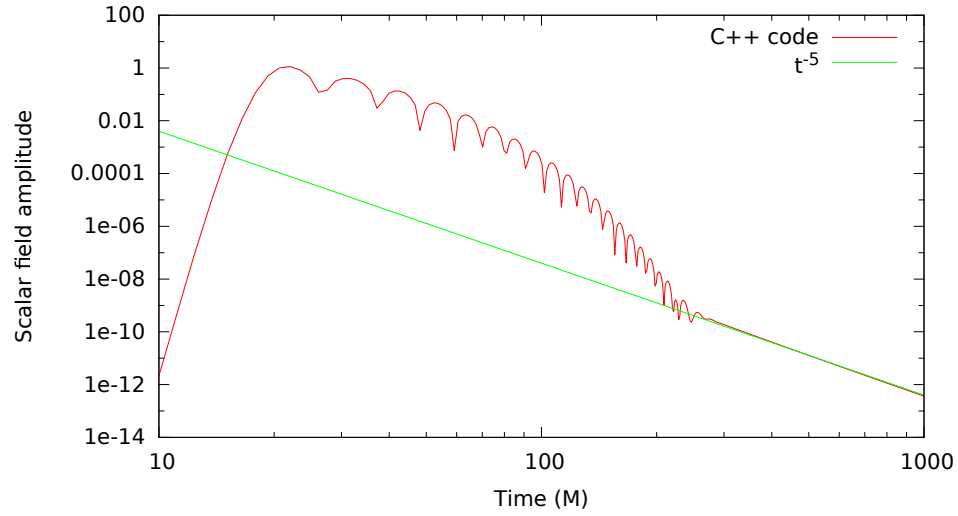


Figure 3.3: Power law tail,  $l=1$ ,  $m=1$

The C++ code, and Richard Price's theoretical expectation for the power law tails,  $l=2$

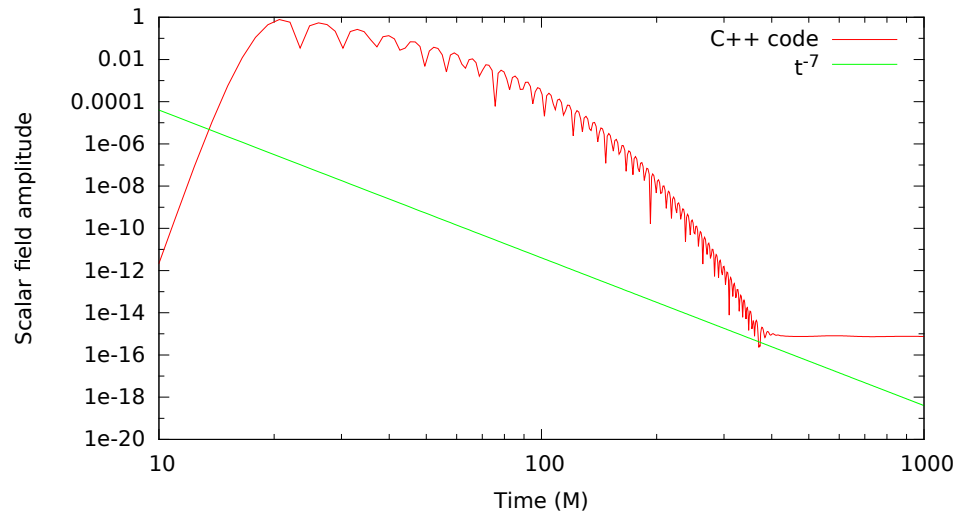


Figure 3.4: Power law tail does not match expectations due to truncation error in DG method,  $l=2$ ,  $m=2$

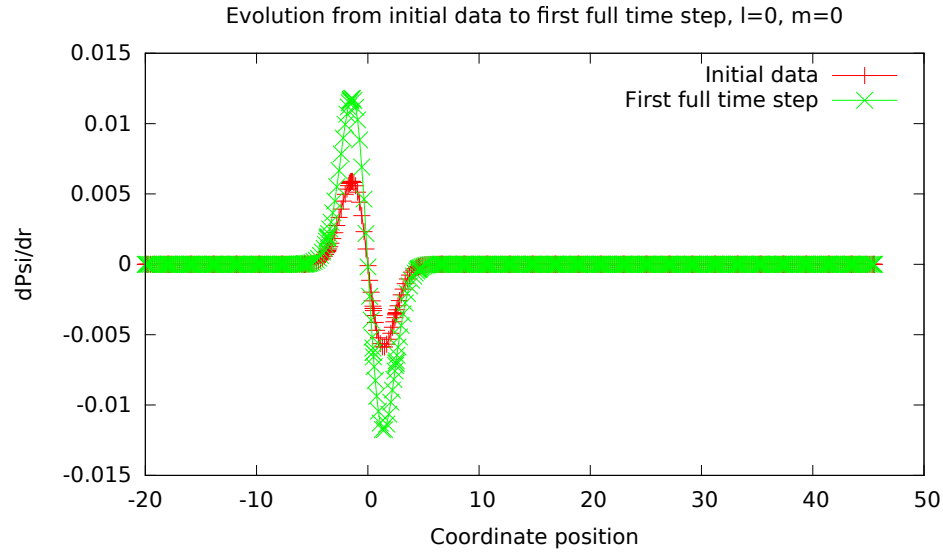


Figure 3.5: Scalar field spatial slice initial condition and first full timestep for  $l=0$ .

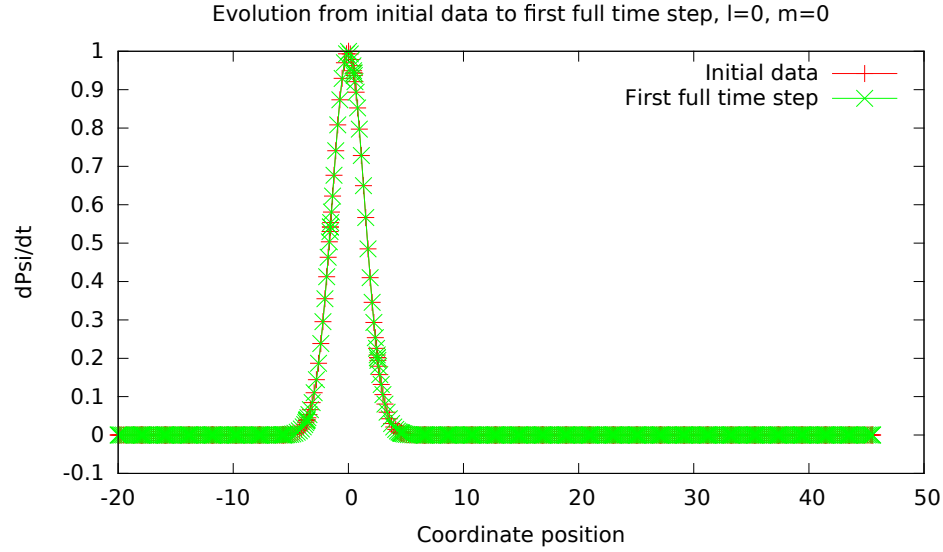


Figure 3.6: Time derivative of the scalar field spatial slice initial condition and first full timestep for  $l=0$ .

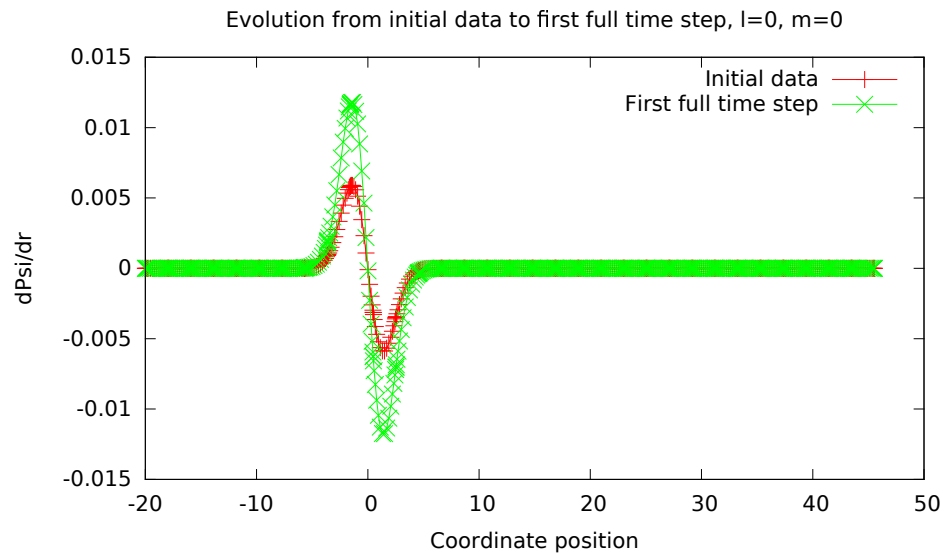


Figure 3.7: Radial derivative of the scalar field spatial slice initial condition and first full timestep for  $l=0$ .

# Chapter 4

## Circular orbits on a Schwarzschild spacetime

### 4.1 $\phi$ of $t$

#### 4.1.1 Effective source

#### 4.1.2 World tube

#### 4.1.3 Comparison between C++ and Fortran codes

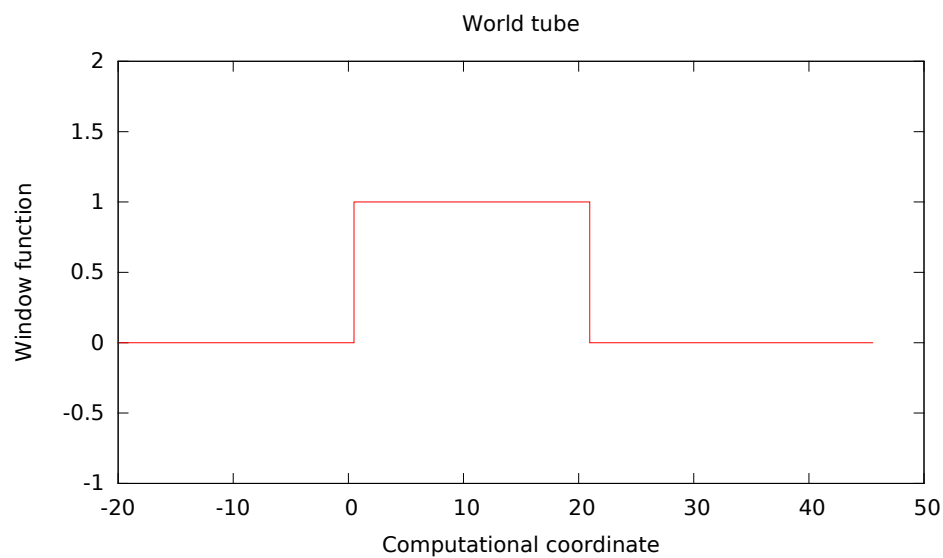


Figure 4.1: Spatial slice of the world tube window function.

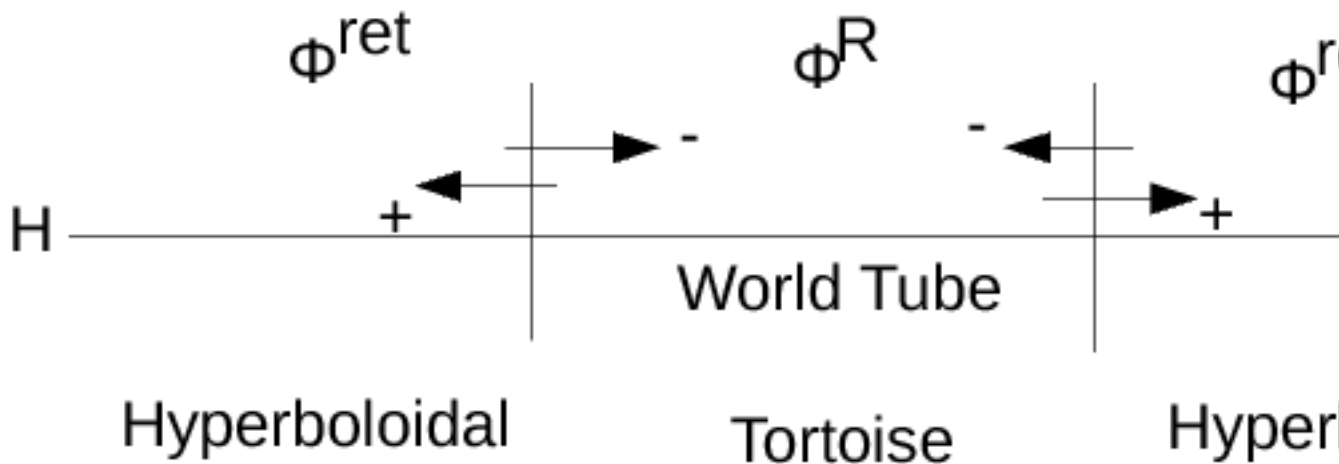


Figure 4.2: Add or subtract the singular field to either side of the world tube boundary before performing the time dependent coordinate transform (or inverting it) to obtain the retarded field in the exterior region and the regularized field in the interior region.

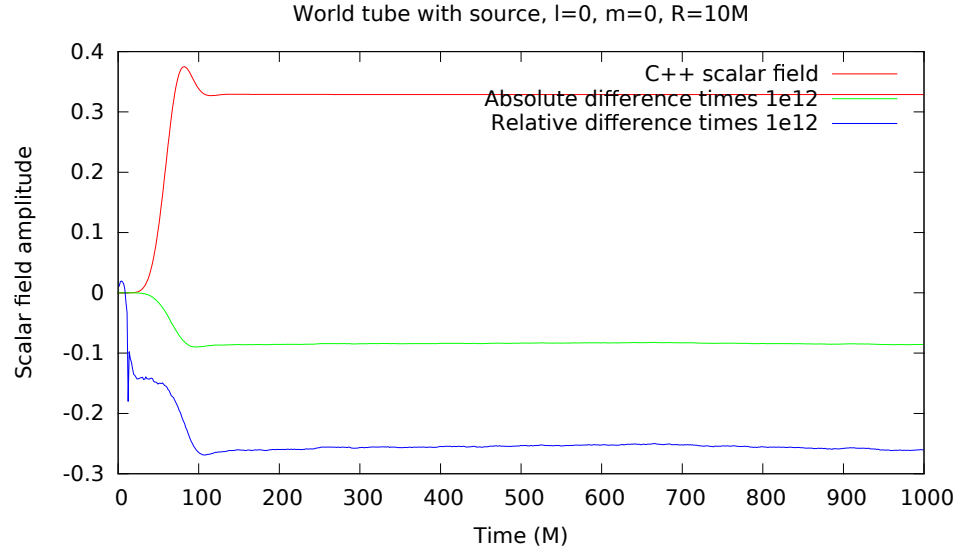


Figure 4.3: Comparison between Fortran and C++ codes for a particle on a circular orbit,  $l=0$ ,  $m=0$ .

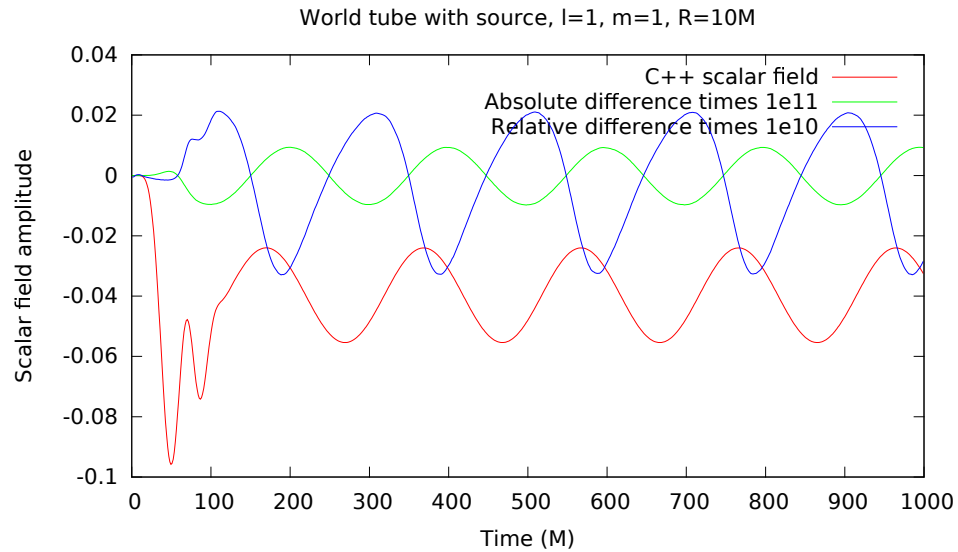


Figure 4.4: Comparison between Fortran and C++ codes for a particle on a circular orbit,  $l=1$ ,  $m=1$ .

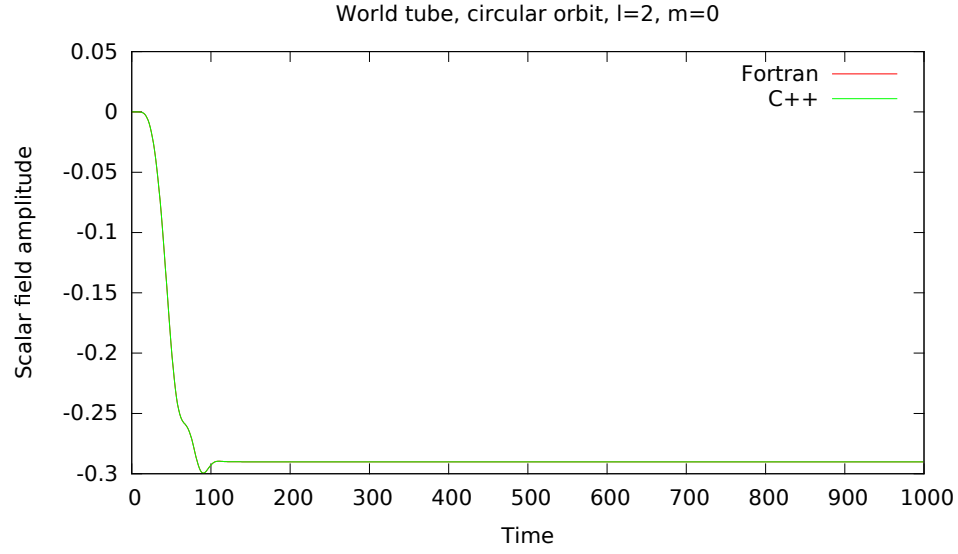


Figure 4.5: Comparison between Fortran and C++ codes for a particle on a circular orbit,  $l=2$ ,  $m=0$ .

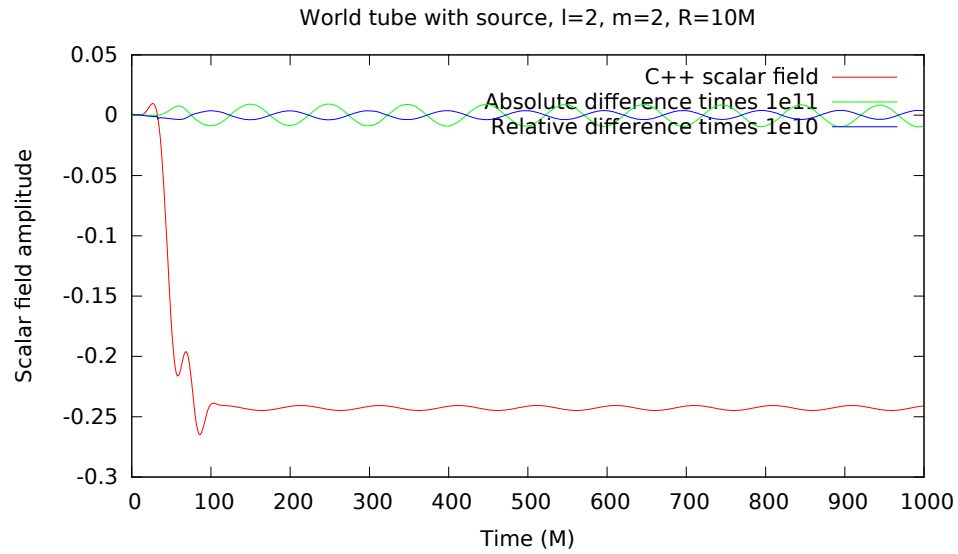


Figure 4.6: Comparison between Fortran and C++ codes for a particle on a circular orbit,  $l=2$ ,  $m=2$ .



# Chapter 5

## Elliptical orbits on a Schwarzschild spacetime

### 5.0.1 Time dependent coordinate transformation

wave equation

### 5.0.2 orbital parameters (osculating orbits paper)

### 5.0.3 precession figure

$\chi(t), \psi_r, \psi_{r\theta}, \psi_{r\phi}, \psi_{rt}$

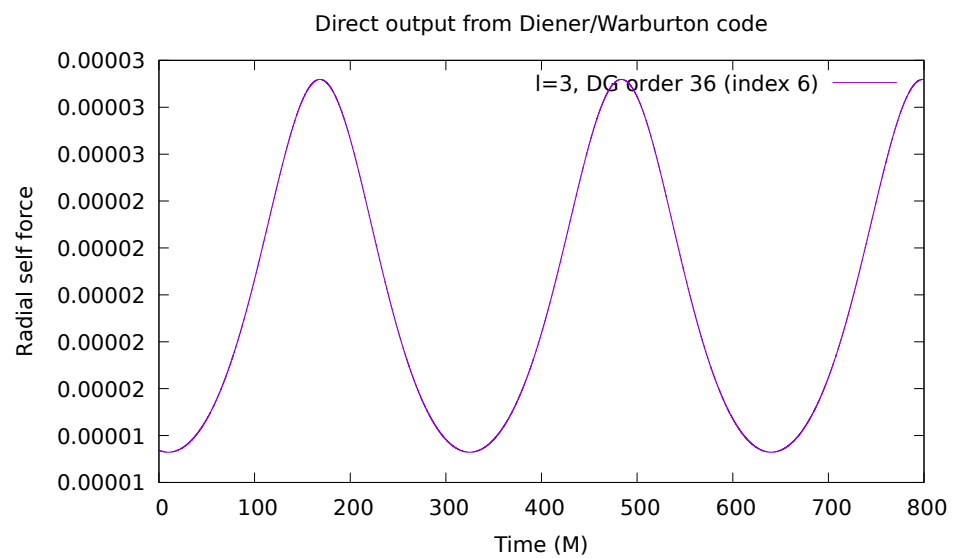
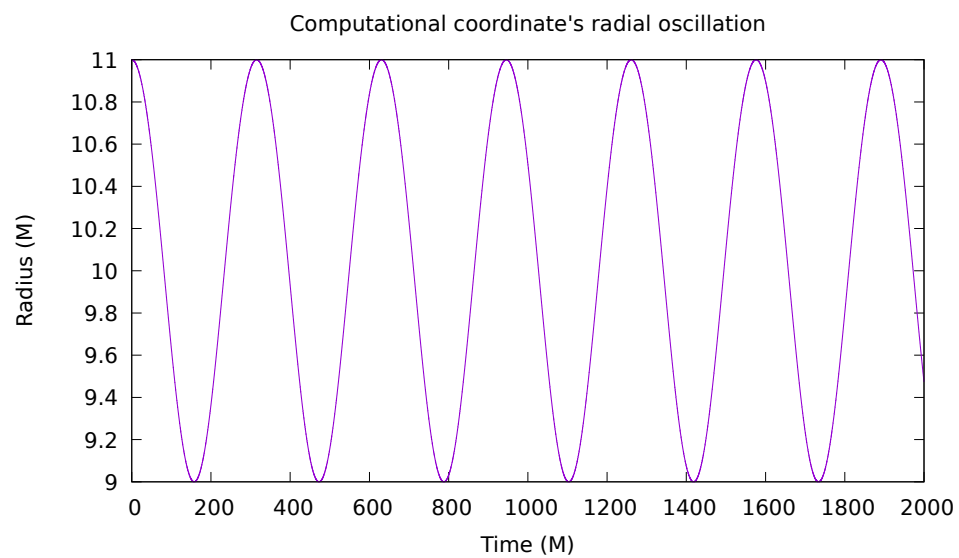


Figure 5.1: 470 M near perihelion, 640 M at aphelion

# Chapter 6

## Extrapolating the self force to infinite Discontinuous Galerkin order

The Discontinuous Galerkin method results in truncation error that scales as  $h^{N+1}$ , where  $h$  is the element size and  $N$  is the order of the interpolating polynomials within the element. [50] The self force is given by the radial derivative of the

Note that it is not always possible to choose three points such that they lie on a converging exponential form, for instance, if they are not monotonic, or if they curve in the wrong direction. In these cases, I say that the “mode failed”, and discard the result for that mode with that starting order for the extrapolation. I use extrapolation starting orders from the set 12, 16, 20, 24, 28, 32, and 36, with additional data at orders 40 and 44 that may be used as points two and three in the extrapolation.

### 6.0.1 Checking for discontinuities in $F_{\text{inf}}$ for each each l-mode

In the median approach, the starting orders that did not “fail” at each time and for each mode are ordered by their  $F_{\text{inf}}$  values. The median value of  $F_{\text{inf}}$  is selected, presumably discarding those effected by roundoff and those effected by failure to converge. However, there is no guarantee that it selects those in this regime, since in principle a mode could both be in the roundoff limit and have not converged yet. Yet when this is done, there are no discontinuities in  $F_{\text{inf}}$  for any of the l-modes when the median approach is used. See mode zero for an example.

time	starting order	finf
632	0	mode failed
632	1	2.40975299617e-05
632	2	2.40975300465e-05
632	3	2.40975300114e-05
632	4	mode failed
632	5	2.40975299291e-05
632	6	2.40975299148e-05

Table 6.1: Manual starting indices and  $F_{\text{inf}}$  values for t=632, l=2.

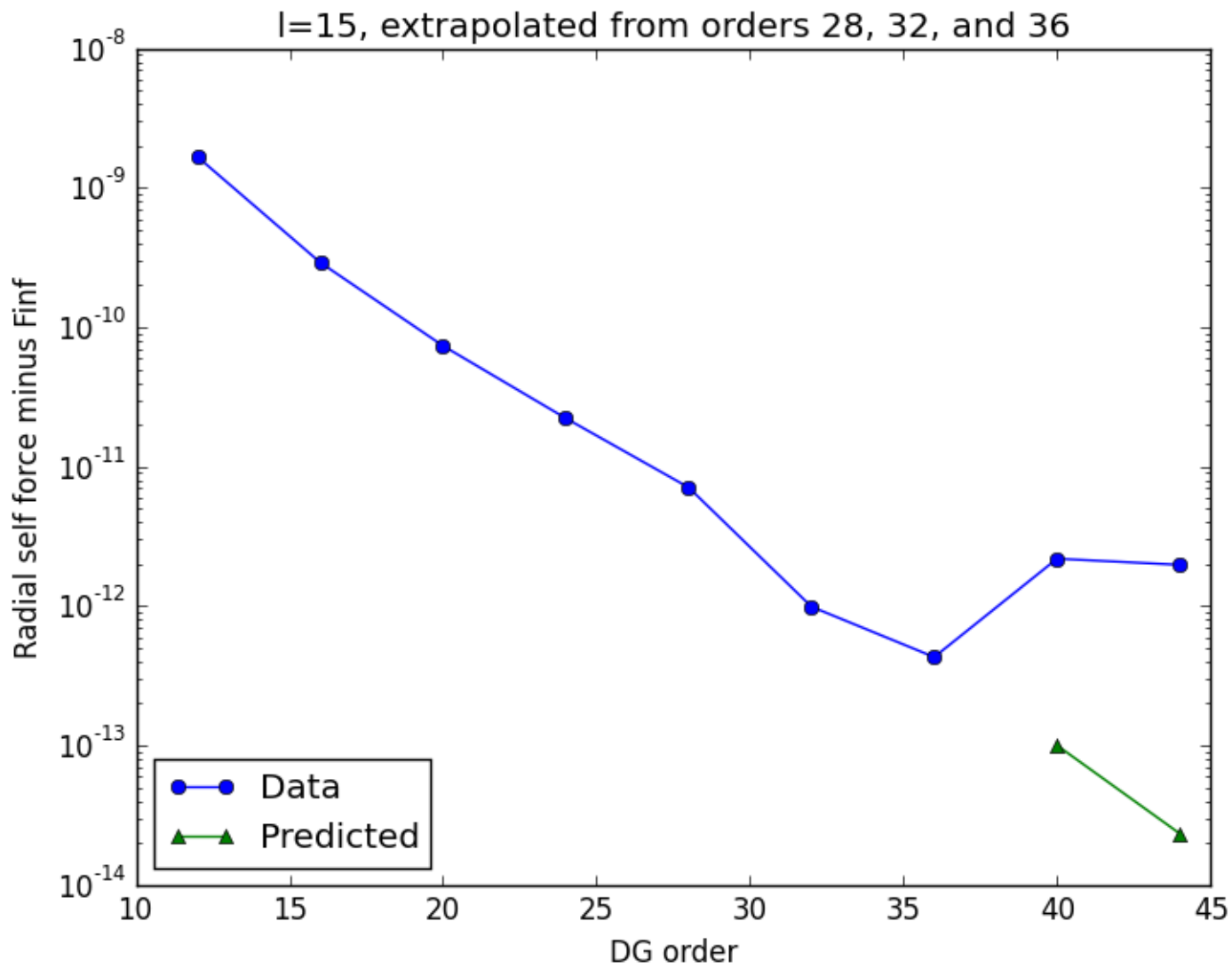


Figure 6.1: DG convergence with order, extrapolated from highlighted points to infinite order along exponential form, which appears as a straight line in the semilog plot.

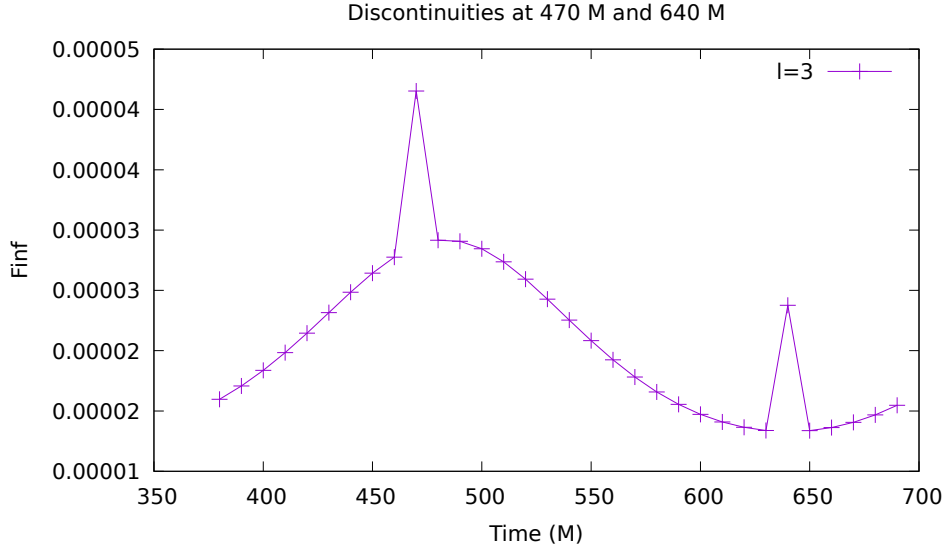


Figure 6.2: Starting order was chosen by iterating from the lowest order to the first order for which the “mode failed”, and choosing the maximum starting order that succeeded. When  $F_{\text{inf}}$  is evolved over one full orbital cycle, some l-modes show discontinuities at some times.  $l=3$

### 6.0.2 Determining $F_{\text{inf}}$ using maximum likelihood fits to subsegments of lines in semilog space

A better motivated approach, is to fit subsegments of lines in semilog space on the DG order convergence plot, and find the most linear, longest linear, region. A fit with the “best” value of the reduced chi squared should be a good approximation to this. The reduced chi squared is the value of the sum of the residuals of the fit squared divided by the number of degrees of freedom, which in this case is the number of points in the fit minus two, since there are two degrees of freedom in a linear fit. The expectation value of the reduced chi squared, in the limit of a large number of degrees of freedom, is one. I loop over starting and ending points of the fit, and over starting orders, and choose the starting order with the best fit line segment in the sense that that line segment has a reduced chi squared closest to one. An example of such an automatically chosen starting index is given in Figure ??, where there is a long exponentially converging region.

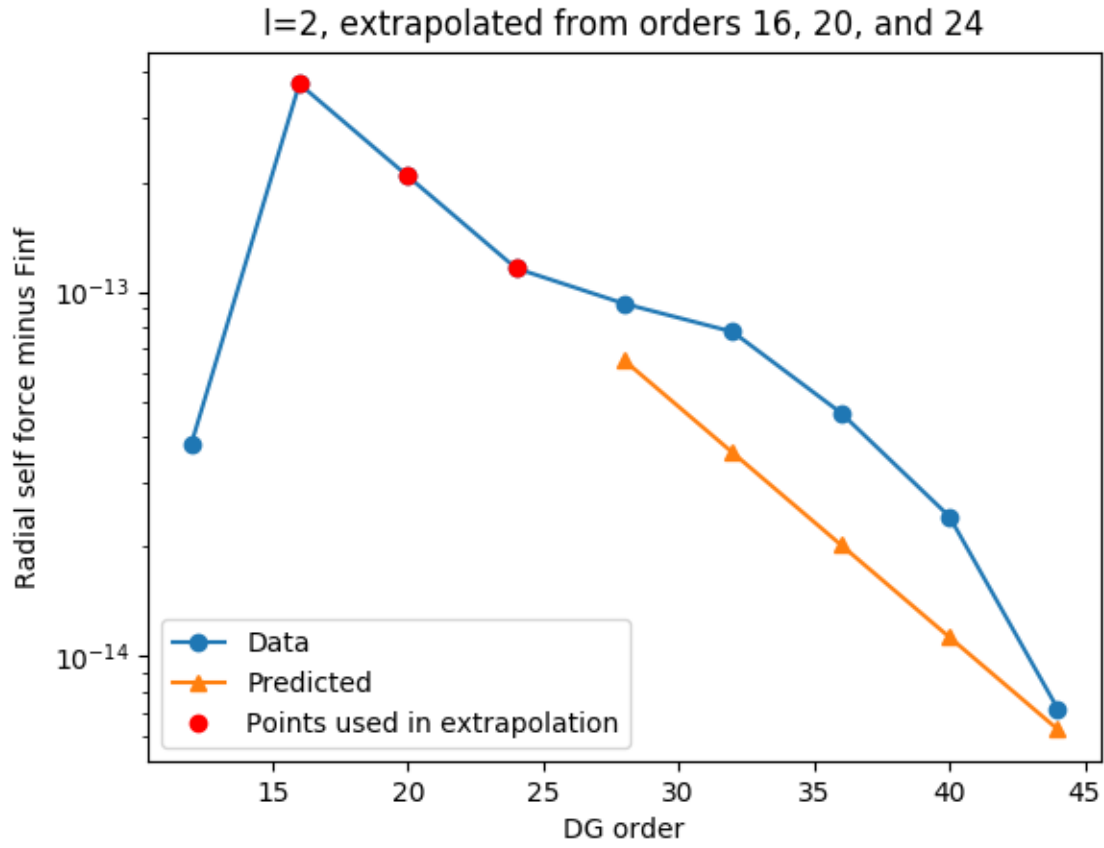


Figure 6.3: Fluctuation in one of the points chosen in the extrapolation, due to roundoff or truncation error, causes the extrapolation to predict a value of  $F_{\text{inf}}$  that is subtly wrong, leading to curvature in the semilog plot after  $F_{\text{inf}}$  subtraction.  $t=632$ ,  $l=2$ ,  $i=1$

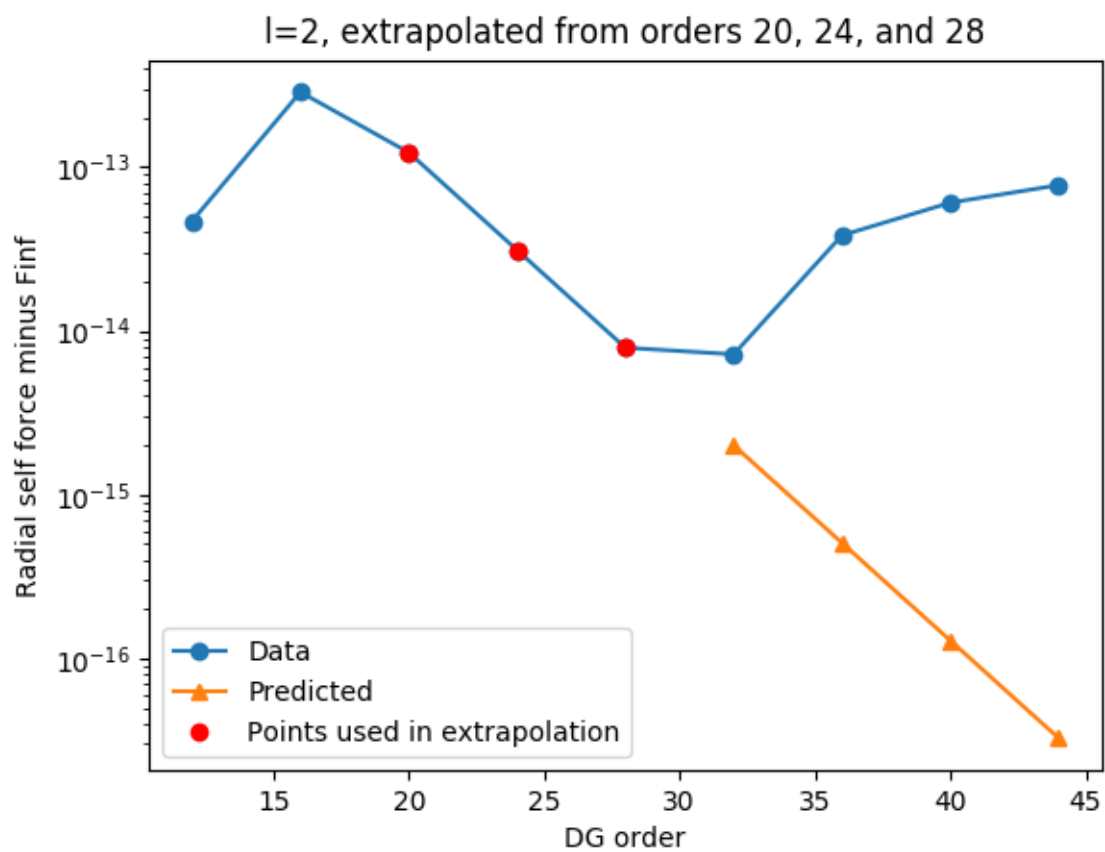


Figure 6.4: Roundoff error is visible at high DG orders.  $t=632$ ,  $l=2$ ,  $i=2$

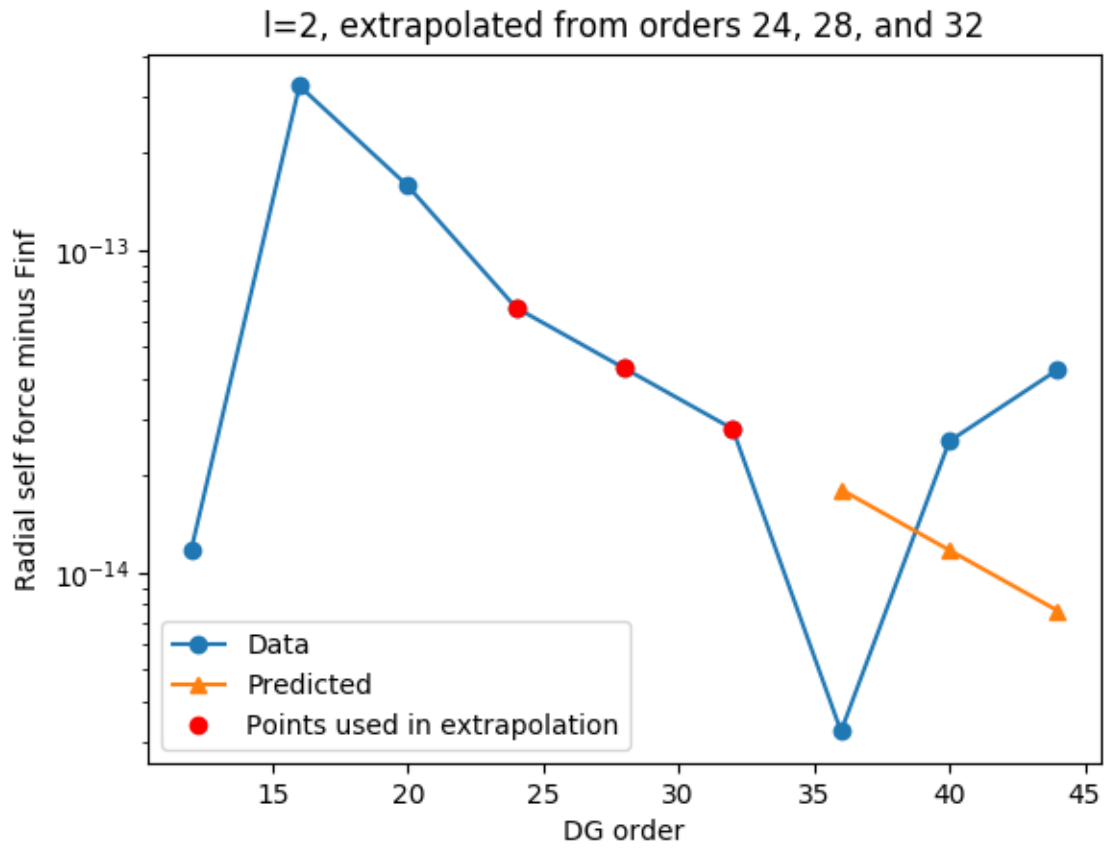


Figure 6.5: The incorrect value of  $F_{\text{inf}}$  has been chosen due to roundoff error, perhaps due to finite precision in the root finding algorithm, leading to a negative values, that show as a “V” in the semilog plot.  $t=632$ ,  $l=3$ ,  $i=3$



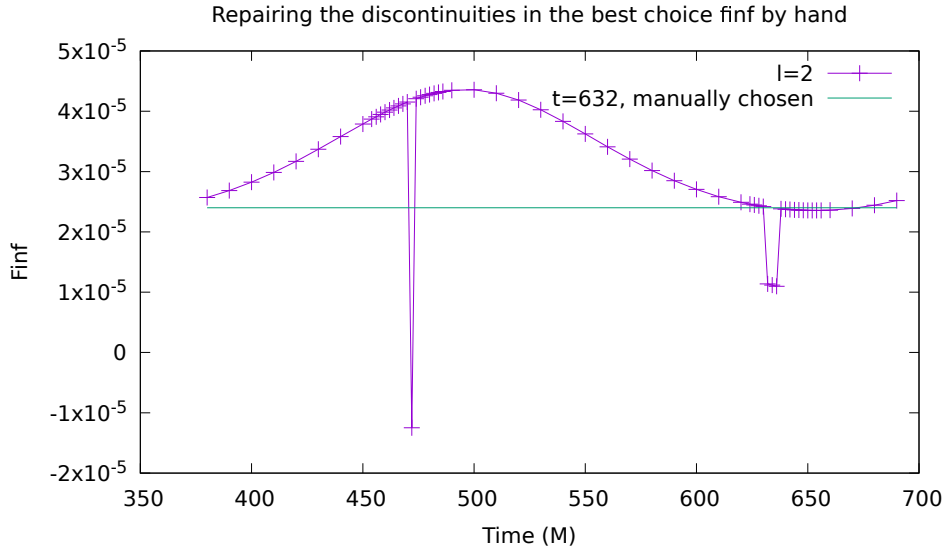


Figure 6.6: Manual correction for the discontinuities in the  $l=2$  mode, using the manually determined  $F_{\text{inf}}$  data from Table 6.1.



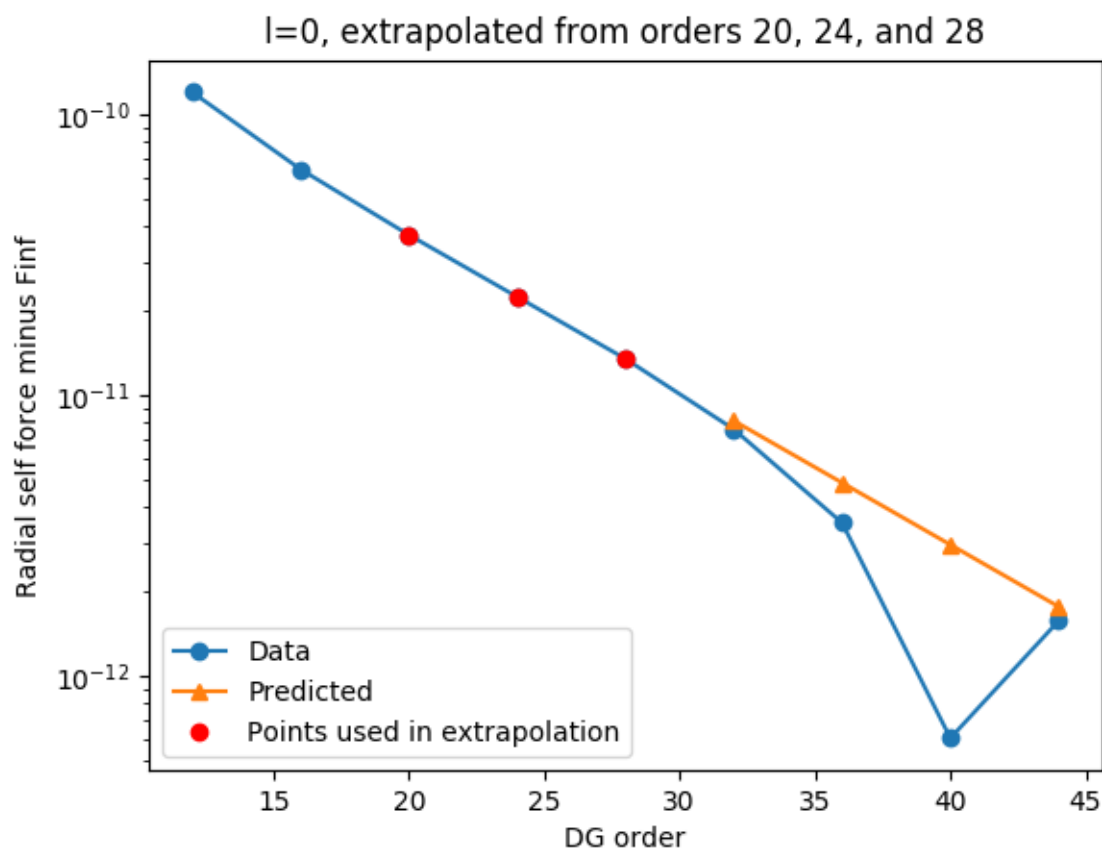


Figure 6.8:  $l=0$  mode with line-segment fit-chosen starting order produces convergence plot with long exponentially converging region

# Chapter 7

## Extrapolating the mode-summed self-force to include contributions from an infinite number of spherical harmonic modes

NEED A PLOT OF FINF AS A FUNCTION OF L

### 7.0.1 Relative error as a function of mode

We can understand why it is so hard to produce good fits by examining the relative error between different fitting techniques as a function of mode. Look at the relative error between the fit method and the median method. Absolute error grows with  $l$ , suggesting that it might make sense to try using a weighted fit. Since the self force over  $l$  scales as a power law that goes as  $l^{-2}$  to the first order, I suggest a weight that scales as  $l^{-2}$ . A weighted fit is of the form

$$\chi^2 = \sum \frac{(f(x_i) - y_i)^2}{\sigma_i} \quad (7.1)$$

where  $\sigma_i$  is a weight related to the “error” or “uncertainty”, in this case the truncation or roundoff error depending which regime the mode is in.

NEED SOMETHING SHOWING FIT ITSELF. NEED TO REORDER AND RECAP-TION NEXT SECTION

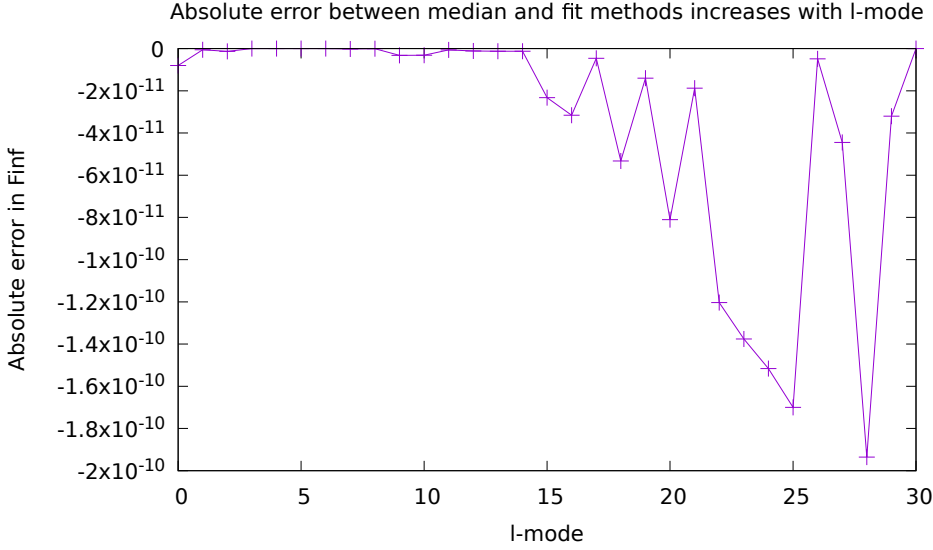


Figure 7.1: Absolute error between fit and median techniques increases with l-mode

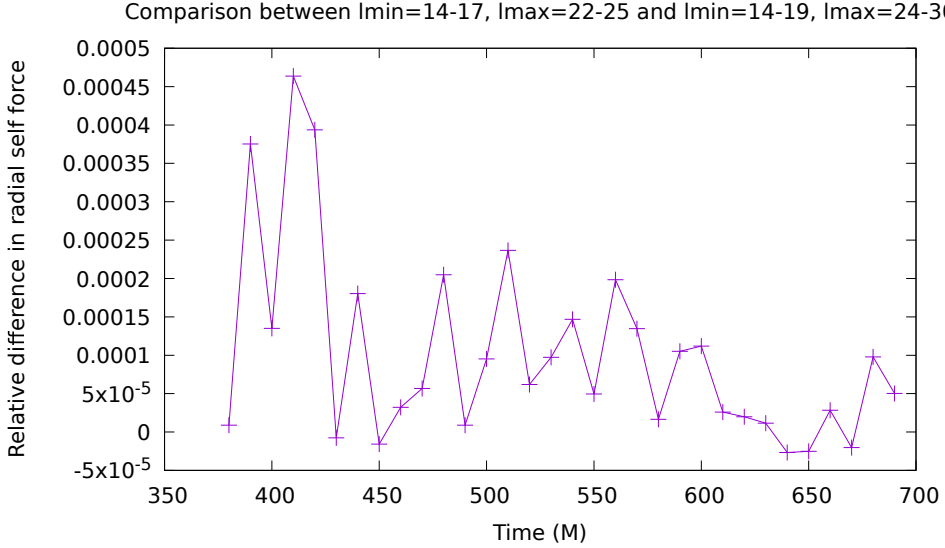


Figure 7.2: This is the relative difference between the total radial self force measured in two different ways. In both cases, the self force was extrapolated to infinite order at every l-mode at every possible DG starting order. The infinite DG order self forces over the various starting orders were sorted, eliminating NaNs. The median was chosen for each l-mode. Then the self force as a function of l-mode was fit to its three term form, and the sum was summed from zero to  $l_{max}$ , then extrapolated from  $l_{max} + 1$  to infinity using an analytic form determined using Mathematica. All possible choices with  $l_{min}$  between 14 and 17 and  $l_{max}$  between 22 and 25 were averaged to obtain the total radial self force as a function of time. Similarly, all possible choices with  $l_{min}$  between 14 and 19 and  $l_{max}$  between 24 and 30 were averaged to obtain the total radial self force as a function of time. This plot shows the relative difference. I believe the smaller range is in the denominator.

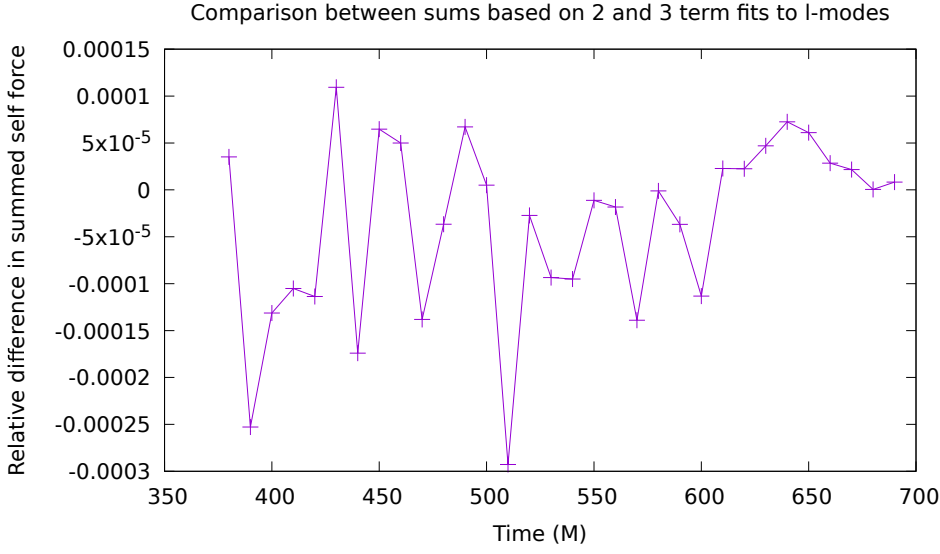


Figure 7.3: This figure was produced in the same manner as the previous figure, averaging over the smaller range, only it is a comparison between including either two or three terms in the l-mode fit. I believe the three term fit is in the denominator of the relative difference.

take standard deviation of surface plot as well as average.

## 7.0.2 Fractional errors

## 7.0.3 Structure of the error compared to the evolution in time

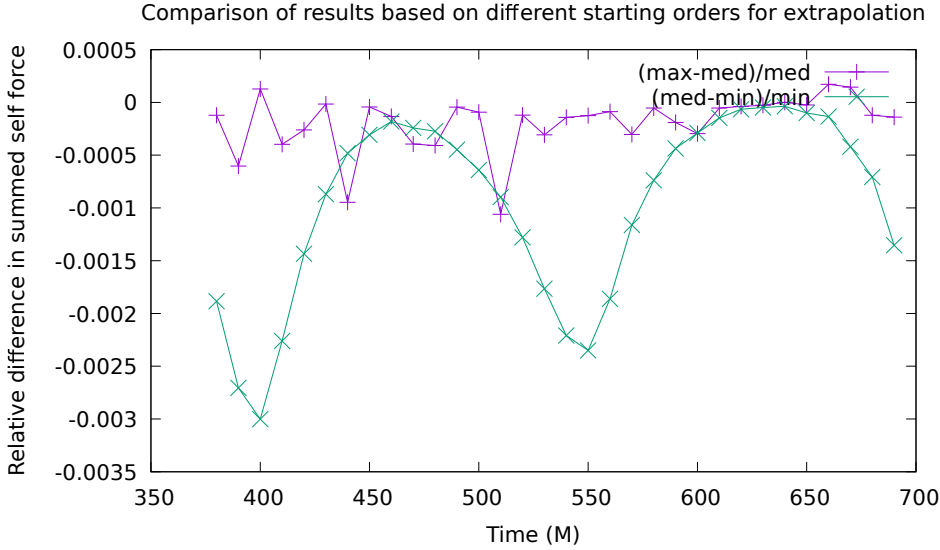


Figure 7.4: This figure was produced in a similar manner to the first figure, only instead of using the median, it is a comparison between using the median, the maximum, and the minimum. The purple line is the relative difference between the maximum and the median, which is subject to roundoff error due to the potential for the maximum to contain roundoff error. The green line is the relative difference between the median and the minimum, which is subject to effects due to failure to converge. I suspect the median is the best compromise between these two effects, rejecting outliers in both directions, though it is a simplistic approach to doing so, and does not guarantee success. It is possible to have a starting order that has not converged and is also in the roundoff regime, for example. A better guarantee of success, though not a certain one, would be to do a fit over part of the error convergence plot to determine exponentiality, by fitting a line in semilog scale. However, this seems unnecessarily complex at this time.

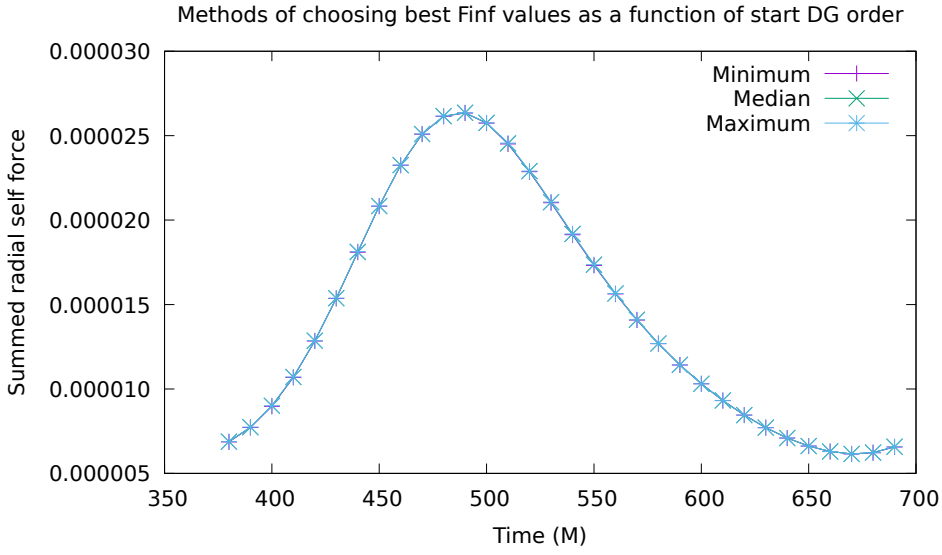


Figure 7.5: This is the actual summed, doubly extrapolated, radial self force, measured in three different ways as described in the three figures above.

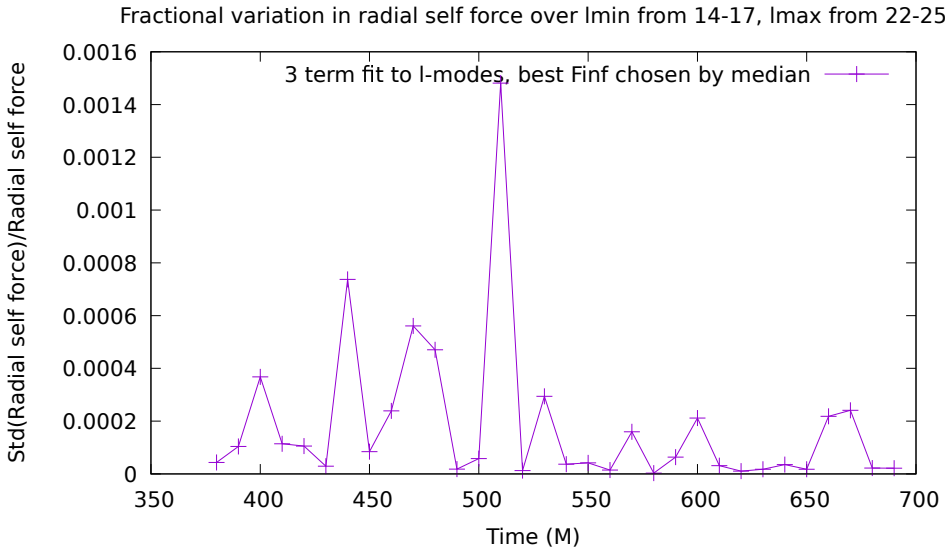


Figure 7.6: 3 term, median method



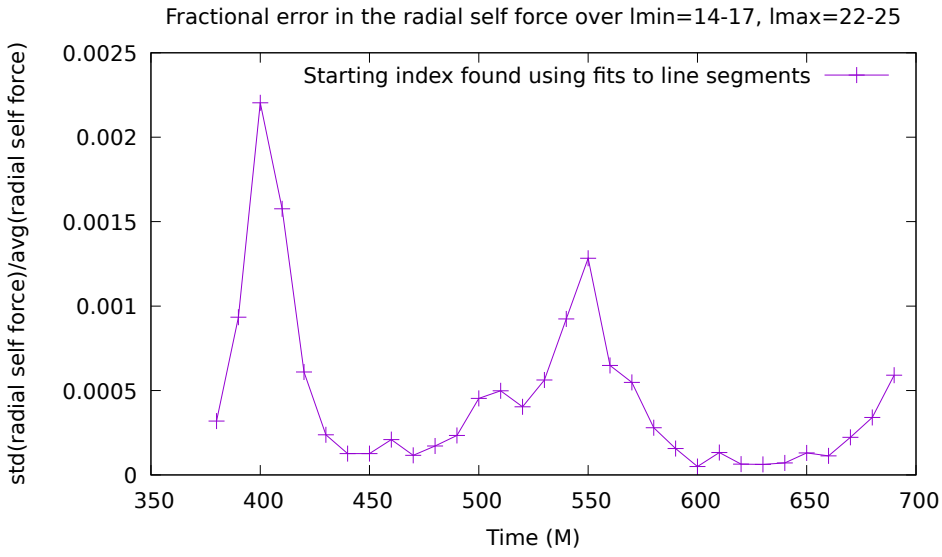


Figure 7.7: 3 term, fit method

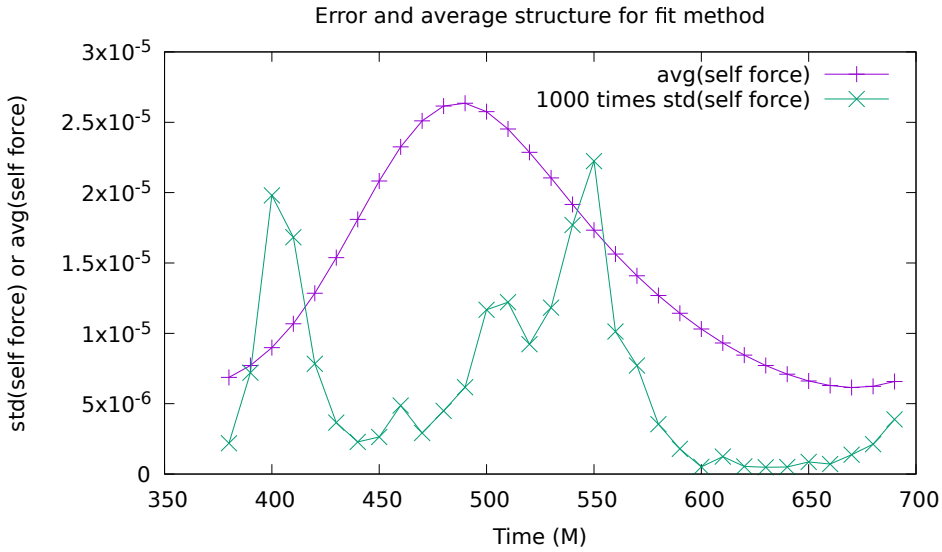


Figure 7.8: The structure of the absolute error in comparison to the evolution in time for the fit method

# Chapter 8

## Future work: generic orbits via the osculating orbits framework

### 8.1 plans for the future

going to test Peter Diener's generic orbits and help him develop them further.

#### 8.1.1 methods

effective source osculating orbits time dependent coordinate transformation world tube already implemented with accelerated orbits though I have not run these. future work: make self consistent evolution work.

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# Vita

My past research has been on comet photometry, x-ray bursts, gravitational lensing and cosmology, exoplanets, neutrino oscillations, theoretical particle physics, gravitational waves, and gravity gradient noise. Most of my background is in simulation, whether statistical or theoretical. I think of myself as a computational physicist and a multimessenger astronomer, though I am not sure that term is widely used. What I mean by it is that I have a broad background in particle physics, particle astrophysics, gravitational wave astronomy, and traditional astronomy. If we can consider my various meanderings as one path toward these two goals, I have been walking this path for more than a decade.

Now I am a fourth year graduate student at Louisiana State University, exactly where I intended to be. My coworkers are good friends. I got to perform photometry of exoplanets with a telescope and analyze the data for myself, bringing a previous project full circle. I have worked on LIGO during the time of three detections. I have had the opportunity to begin to learn multiple techniques for speeding up code and measuring that speed up on supercomputers. I have done a little work with databases and and more with numerical algorithms, and learned a couple of new programming languages. I have had the opportunity to continue to contribute to the field of general relativity and participate in a department where my broad background in the connections between various fields of astronomy is valued. I have helped supervise undergraduate research progress and made a lesson plan for and taught a graduate class, once. This document contains the research I have produced in the last three years since I arrived on June 3, 2014 at LSU and began working with Peter Diener. These have been the best three years of my life.

When interpreting the name on this document, please understand that I am female to male transgendered and that my legal name is Susan Elaine Dorsher but that I go by Steven James Dorsher.