

# HW1

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1. Use mathematical induction to prove the following statements

(a)

$$\forall_n \geq 1, \sum_{i=1}^n i^3 = (\sum_{i=1}^n i)^2$$

lemma:  $\sum_{i=1}^n i = \frac{n^2}{2} + \frac{n}{2}$  where  $n \in \mathbb{N}$

Base Case:  $\sum_{i=1}^1 i = 1 = \frac{1^2}{2} + \frac{1}{2}$

Inductive Hypothesis:  $\sum_{i=1}^n i = \frac{n^2}{2} + \frac{n}{2}$

Inductive Steps:

Using induction on n

$$\frac{(n+1)^2}{2} + \frac{n+1}{2} = \frac{n^2}{2} + \frac{3n}{2} + 1 = \frac{n^2}{2} + \frac{n}{2} + (n+1)$$

So therefore the lemma is true for all natural numbers n greater than 1.

With the lemma we can solve the proof easier

Base Case: For n = 1 would be  $\sum_{i=1}^1 i^3 = 1^3 = 1$ .  $(\sum_{i=1}^1 i)^2 = 1$ .

Inductive Hypothesis: From the base n is true for  $\forall_n \geq 1$ ,  $\sum_{i=1}^n i^3 = (\sum_{i=1}^n i)^2$

Inductive Step: Using lemma we can re-write this to  $(\frac{n^2}{2} + \frac{n}{2})^2 = \sum_{i=1}^n i^3$

We will first add n+1,  $(\frac{(n+1)^2}{2} + \frac{(n+1)}{2})^2 = \frac{n^4}{4} + \frac{3n^3}{2} + \frac{13n^2}{4} + 3n + 1$ . Now we will do  $(\frac{n^2}{2} + \frac{n}{2})^2 + (n+1)^3 = \frac{n^4}{4} + \frac{3n^3}{2} + \frac{13n^2}{4} + 3n + 1$ . So therefore  $\forall_n \geq 1$ ,  $\sum_{i=1}^n i^3 = (\sum_{i=1}^n i)^2$  is true for all natural numbers for n greater than or equal to 1.

(b)  $\forall_n \geq 4, 2^n < n!$

Basis: for  $n = 4$   $2^4 = 16$  and  $4! = 24$

Inductive Hypothesis:  $\forall_n \geq 4, 2^n < n!$  is true for  $n$ .

Inductive Step:  $2^{n+1} = 2^n * 2$ .  $(n + 1)! = n! * (n + 1)$  Since we know from the inductive hypothesis that  $\forall_n \geq 4, 2^n < n!$  so for this to remain true the right side and the left would need to be multiplied by the same value or the right would need to be multiplied by a greater value. The left is multiplied by 2 and the right is multiplied by  $(n + 1)$ . We know that  $n$  has to be 4 or greater so we know  $(n + 1) \geq 2$  so therefore  $\forall_n \geq 4, 2^n < n!$  for all natural numbers  $n$ .

2. Refer to the definition of Full Binary Tree from the notes. For a Full Binary Tree  $T$ , we use  $n(T)$ ,  $h(T)$ ,  $i(T)$  and  $l(T)$  to refer to number of nodes, height, number of internal nodes (non-leaf nodes) and number of leaves respectively. Note that the height of a tree with single node is 1 (not zero). Using structural induction, prove the following:

(a) For every Full Binary Tree  $T$ ,  $n(T) \geq h(T)$ .

Basis: For a full binary tree  $T$  with a root node and two child leaf nodes  $n(T_*) = 3$ , and  $h(T_*) = 2$  so  $n(T_*) \geq h(T_*)$  is true.

Inductive Hypothesis: Suppose that for complete binary trees  $T$ ,  $n(T) \geq h(T)$  is true.

Recursive: Suppose we have two sub trees  $T_1, T_2$ , these trees are identical full binary trees and when we combine them together with an additional node  $T_1 + T_2 + 1 = T$ ,  $n(T_{1,2}) \geq h(T_{1,2})$ . First we will compare  $n(T_1) + n(T_2) + 1 \geq h(T_{1,2}) + 1$ .  $n(T_1) + n(T_2) + 1 = n(T)$  and  $h(T) = h(T_{1,2}) + 1$ . We can reduce it down to  $2n(T_1) + 1 \geq h(T_1) + 1 \dots 2n(T_1) \geq h(T_1)$ . By the IH we know that  $n(T_1) \geq h(T_1)$  is true so  $2n(T_1) \geq h(T_1)$  must also be true so therefore  $n(T) \geq h(T)$  is true for all full binary trees  $T$ .

(b) For every Full Binary Tree  $T$ ,  $i(T) \geq h(T) - 1$

Basis: For a full binary tree  $T_*$  with a single root node and two child leaf nodes.  $i(T_*) = 1$  and  $h(T_*) - 1 = 1$  so therefore  $i(T_*) \geq h(T_*) - 1$

Inductive Hypothesis: Assume that  $i(T) \geq h(T) - 1$  is true.

Recursive: We will take two identical full binary trees  $T_{1,2}$ . If we combined them with a single node to connect them into a new full binary tree  $T$  then to find all the internal nodes of  $T$  we would do  $i(T_1) + i(T_2) + 1 \geq h(T) - 1$ . The height of  $T$  is one more than  $T_1$  so  $i(T_1) + i(T_2) + 1 \geq h(T_1)$ . This can also be reduced down to  $i(2T_1) + 1 \geq h(T_1) - 1$ . By the IH  $i(T_1) + 1 \geq h(T_1) - 1$  so  $i(2T_1) + 1 \geq h(T_1) - 1$  is also true and therefore  $i(T) \geq h(T) - 1$  is true for all complete binary trees  $T$ .

(c) For every Full binary Tree  $T$ ,  $l(T) = (n(T) + 1)/2$

Basis: for a full binary tree  $T^2$  with a single root node and two children has 2 leaf nodes and 3 altogether. so  $(3 + 1)/2 = 2$ , so therefore  $l(T^3) = (n(T^3) + 1)/2$  is true for the basis.

Inductive Hypothesis: We assume  $l(T) = (n(T) + 1)/2$  is true.

Recursive Step: We will take two identical full binary trees  $T_{1,2}$ . If we combined them with a single node to connect them into a new full binary tree  $T$ . By the IH  $l(T_{1,2}^3) = (n(T_{1,2}^3) + 1)/2$ . To find the number of leaves for  $T$  we can add these together so  $\frac{n(T_1)+1}{2} + \frac{n(T_2)+1}{2}$ . We can simplify this to because  $T_1 = T_2$ ,  $n(T_1) + 1 = l(T)$ . Now we must prove this is correct. We know that  $l(T) = (n(T) + 1)/2$  so we will expand  $n(T)$  in terms of  $T_1$  so  $l(T) = (2n(T_1) + 1 + 1)/2 \dots l(T) = n(T_1) + 1$ . So because we were able to prove for  $T$  with two sub trees therefore  $l(T) = (n(T) + 1)/2$  is true for all full binary trees  $T$ .

3. Let  $a$  be an array of size  $n$  indexed by  $0, 1, \dots, n-1$ . Consider the following code (inner loop of Bubble Sort)

```
for i in the range [0, n-2]
if (a[i] > a[i+1])
swap (a[i], a[i+1]); //swap the values of a[i] and a[i+1]
```

Show the following using induction : At the start of  $i$ th iteration of the loop,  $a[i]$  is the maximum among  $a[0], a[1], \dots, a[i]$ .

Basis: for  $a[0]$  there are no values index in  $a$  below 0 so therefore  $a[i]$  where  $i = 0$  the start of the iteration of  $i$  is the maximum.

inductive Hypothesis: Assume that  $a[i]$  is the greatest max value during the iteration and that  $m(a[i])$  is either true or false if  $a[i]$  is greatest during the  $i$ th iteration.

Inductive Step: By the IH assume that  $m(a[i])$  is true so. If during the  $i$ th iteration  $a[i] > a[i+1]$  then  $a[i+1] = a[i]$  in that case for  $m(a[i+1])$   $a[i+1] > a[i]$  and  $m(a[i])$  is true by the inductive hypothesis so therefore for that that  $m(a[i+1])$  is true. The other case is that  $a[i+1] > a[i]$  during the  $i$ th iteration. In that case its the same where  $m(a[i])$  is already true so starting at the  $i+1$  iteration we already know  $a[i]$  is greater than anything before it and the value after  $a[i]$ , is greater  $a[i+1] > a[i]$  so therefore  $m(a[i])$  or that  $a[i]$  is the greatest max for  $a[0], a[1], \dots, a[i]$  for all natural numbers  $i$  where  $0 \leq i \leq n - 1$ .

4. Let  $a$  be an array of size  $n$  indexed by  $0, 1, \dots, n - 1$ . Now consider Bubble sort pseudo code.

for  $j$  in the range  $[0, n-1]$

for  $i$  in the range  $[0, n-j-2]$

if  $a[i] > a[i+1]$

swap( $a[i], a[i+1]$ )

Use mathematical induction to show: At the start of  $j$ th iteration of outer loop the following conditions hold:

$$a[n - j] \leq a[n - j + 1] \leq a[n - 1]$$

$a[n - j], a[n - j + 1], \dots, a[n - 1]$  are the  $j$  largest elements of the array

Basis: Using induction on  $j$  we assume  $j = 0$ ,  $a[n + 1]$  or  $a[n]$  does not exist so it is true because the implication is false therefore the expression is true.

Inductive Hypothesis: With the conditions held in the basis we will assume

for iteration  $j$  that the properties.

$$a[n - j] \leq a[n - j + 1] \leq a[n - 1]$$

$a[n - j], a[n - j + 1], \dots, a[n - 1]$  are the  $j$  largest elements of the array

Inductive Step: We need to prove the property for  $a[n - j - 1] \leq a[n - j] \leq a[n - 1]$  and  $a[n - j - 1], a[n - j], \dots, a[n - 1]$  are the  $j$  largest elements of the array. By the IH we already know that  $a[n - j] \leq a[n - 1]$ . For the  $j+1$  iteration and by the def of problem 3 that when the inner loop reaches iteration  $n-j-1$ ,  $n-j-1$  will be the greatest value of every index before it. The for loop as not altered this value before this point so it was the greatest value at the beginning of the iteration. We also know from the IH that  $a[n - j], a[n - j + 1]$ , and  $a[n - 1]$  are the greatest values so we know that  $a[n - j - 1] \leq a[n - j]$ . We know that  $a[n - j - 1]$  is greater than anything before it and we know that  $a[n - j - 1] \leq a[n - j] \leq a[n - 1]$  so we know that  $a[n - j - 1], a[n - j], a[n - 1]$  are the largest elements of the array. Therefore

$$a[n - j] \leq a[n - j + 1] \leq a[n - 1]$$

$a[n - j], a[n - j + 1], \dots, a[n - 1]$  are the  $j$  largest elements of the array

are true for all iterations of  $j$ .

5. This proof is incorrect because it assumes that  $c_{m+1} = \forall_a \in S_2$ .