

## A Recursive Structure

ally represent recursively defined, hierarchical objects  
an one recursive subpart for each instance.

mples: expressions, sentences.

ns have definitions such as "an expression consists of a  
two expressions separated by an operator."

e structures in which we recursively divide a set into  
sets.

## Tree Characteristics (I)

a tree is a non-empty node with no parent in that tree  
might be in some larger tree that contains that tree as  
thus, every node is the root of a (sub)tree.

arity, or *degree* of a node (tree) is its number (maximum  
children.

f a *k-ary tree* each have at most  $k$  children.

has no children (no non-empty children in the case of  
trees).

## A Tree Type, 61A Style

```
Tree<Label> {  
  
    constructor is convenient, but unfortunately requires this  
    @SuppressWarnings annotation to prevent (harmless) warnings  
    // we will explain later.  
    @SuppressWarnings("unchecked")  
    Tree(Label label, Tree<Label>... children) {  
        _label = label;  
        _kids = new ArrayList<>(Arrays.asList(children));  
    }  
  
    int arity() { return _kids.size(); }  
  
    Label label() { return _label; }  
  
    Tree<Label> child(int k) { return _kids.get(k); }  
  
    Label _label;  
    ArrayList<Tree<Label>> _kids;  
}
```

## CS61B Lecture #20: Trees

## Formal Definitions

in a variety of flavors, all defined recursively:

1. **definition:** A tree consists of a *label* value and zero or more  
(or *children*), each of them a tree.

2. **alternative definition:** A tree is a set of *nodes* (or  
each of which has a label value and one or more *child*  
such that no node descends (directly or indirectly) from  
node is the *parent* of its children.

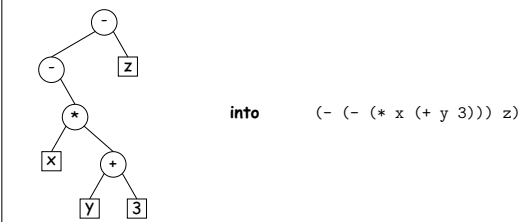
**trees:** A tree is either *empty* or consists of a node  
with a label value and an indexed sequence of zero or more  
children, each a positional tree. If every node has two positions,  
it is a *binary tree* and the children are its *left and right sub-*  
*trees*. In a *binary tree*, nodes are the parents of their non-empty children.  
other varieties when considering graphs.

## Tree Characteristics (II)

the height of a node in a tree is the largest distance to a leaf. That  
is, a leaf's height is 0 and a non-empty tree's height is one more  
than the maximum height of its children. The height of a tree is the  
height of its root.

the depth of a node in a tree is the distance to the root of that  
tree. In a tree whose root is  $R$ ,  $R$  itself has depth 0 in  $R$ .  
If  $S \neq R$  is in the tree with root  $R$ , then its depth is one  
more than its parent's.

der Traversal and Prefix Expressions

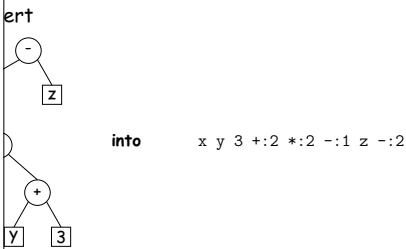


<Label> is means "Tree whose labels have type Label."

```
toLisp(Tree<String> T) {
    () == 0) return T.label();

    R = "(" + T.label();
    i = 0; i < T.arity(); i += 1)
    + toLisp(T.child(i));
    ")";
}
```

der Traversal and Postfix Expressions



```
toPolish(Tree<String> T) {
    s = "";
    i = 0; i < T.arity(); i += 1)
    Polish(T.child(i)) + " ";
    String.format("%s:%d", T.label(), T.arity());
}
```

iterative Depth-First Traversals

on conceals data: a *stack* of nodes (all the T arguments) extra information. Can make the data explicit:

```
traverse2(Tree<Label> T, Consumer<Tree<Label>> visit) {
    label>> work = new Stack<>();
    ;
    .isEmpty()) {
        > node = work.pop();
        pt(node);
        = node.arity()-1; i >= 0; i -= 1)
        push(node.child(i)); // Why backward?
    }
```

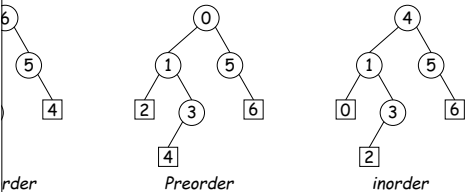
al takes the same  $\Theta(\cdot)$  time as doing it recursively, and e  $\Theta(\cdot)$  space.

ave substituted an explicit stack data structure (work) built-in execution stack (which handles function calls).

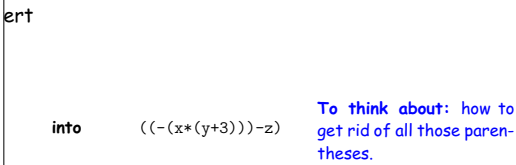
undamental Operation: Traversal

*tree* means enumerating (some subset of) its nodes. e recursively, because that is natural description. e enumerated, we say they are *visited*.

orders for enumeration (+ variations):  
visit node, traverse its children.  
: traverse children, visit node.  
traverse first child, visit node, traverse second child ees only).



der Traversal and Infix Expressions



```
toInfix(Tree<String> T) {
    () == 0) {
        label();
        .arity() == 1) {
            T.label() + toInfix(T.child(0)) + ")";
        }
        toInfix(T.child(0)) + T.label() + toInfix(T.child(1)) + ")";
    }
```

neral Traversal: The Visitor Pattern

```
erTraverse(Tree<Label> T, Consumer<Tree<Label>> visit)
null) {
    ccept(T);
    t i = 0; i < T.arity(); i += 1)
    derTraverse(T.child(i), visit);
}
```

unction.Consumer<AType> is a library interface that unction-like type with one void method, accept, which ument of type AType.

ava 8 lambda syntax, I can print all labels in the tree in h:

```
averse(myTree, T -> System.out.print(T.label() + " "));
```

## Breadth-First Traversal Implemented

Conversion to iterative depth-first traversal gives breadth-first. Just change the (LIFO) stack to a (FIFO) queue:

```
firstTraverse(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Tree<Label>> work = new ArrayDeque<>(); // (Changed)
    ;
    while (!work.isEmpty()) {
        Tree<Label> node = work.remove(); // (Changed)
        if (node != null) {
            accept(node);
            for (int i = 0; i < node.arity(); i += 1) // (Changed)
                work.push(node.child(i));
        }
    }
}
```

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## Breadth-First Traversal: Iterative Deepening

Breadth-first traversal used space proportional to the *width* which is  $\Theta(N)$  for bushy trees, whereas depth-first uses  $\lg N$  space on bushy trees.

Breadth-first traversal in  $\lg N$  space and  $\Theta(N)$  time on

level  $k$ , of the tree from 0 to *lev*, call doLevel(T,k):

```
doLevel(Tree T, int lev) {
    if (lev == 0)
        visit(T.root());
    for (Child c : T.children(T.root(), lev-1))
        doLevel(c, lev);
}
```

Breadth-first traversal by repeated (truncated) depth-first traversals: *iterative deepening*.

For level  $k$ , we skip (i.e., traverse but don't visit) the nodes at level  $k$ , and then visit at level  $k$ , but not their children.

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## Iterators for Trees

Iterators are not terribly convenient on trees.

Ideas from iterative methods.

```
OrderTreeIterator<Label> implements Iterator<Label> {
    Stack<Tree<Label>> s = new Stack<Tree<Label>>();

    OrderTreeIterator(Tree<Label> T) { s.push(T); }

    boolean hasNext() { return !s.isEmpty(); }
    Label next() {
        Label result = s.pop();
        if (result != null)
            return result;
        return null;
    }
}
```

What do I have to add to class Tree first?

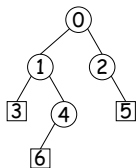
```
String label : aTree System.out.print(label + " ");
```

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## Level-Order (Breadth-First) Traversal

Traverse all nodes at depth 0, then depth 1, etc:



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## Times

Traversal algorithms have roughly the form of the boom example data Structures—an exponential algorithm.

The role of  $M$  in that algorithm is played by the *height* of the tree, and the number of nodes.

Try to see that tree traversal is *linear*:  $\Theta(N)$ , where  $N$  is the number of nodes. Form of the algorithm implies that there is one recursive call for each node, and then one visit for every *edge* in the tree. Every node but the root has exactly one parent, and the root has no parent. So there are  $N - 1$  edges in any non-empty tree.

For a tree, is also one recursive call for each empty tree, but for a tree with  $k$  children, there can be no greater than  $kN$ , where  $k$  is arity.

For a tree (max # children is  $k$ ),  $h + 1 \leq N \leq \frac{k^{h+1} - 1}{k - 1}$ , where  $h$  is

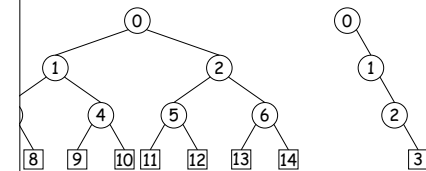
height.  $N = \Omega(\lg N)$  and  $h \in O(N)$ .

Traversal algorithms look at one child only. For them, worst-case space is proportional to the *height* of the tree— $\Theta(\lg N)$ —assuming *bushy*—each level has about as many nodes as possible.

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## Iterative Deepening Time?



Height,  $N$  be # of nodes.

Nodes traversed (i.e., # of calls, not counting null nodes).

Tree: 1 for level 0, 3 for level 1, 7 for level 2, 15 for level

$1 + (2^1 - 1) + (2^2 - 1) + \dots + (2^{h+1} - 1) = 2^{h+2} - h \in \Theta(N)$ ,  $h+1 - 1$  for this tree.

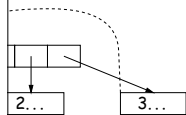
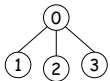
For a *leaning* tree: 1 for level 0, 2 for level 1, 3 for level 2.

For a *bushy* tree:  $(h+1)(h+2)/2 = N(N+1)/2 \in \Theta(N^2)$ , since  $N = h+1$  of tree.

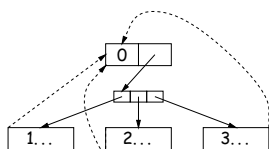
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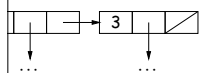
## Tree Representation



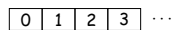
ed child pointers  
parent pointers)



(b) Array of child pointers  
(+ optional parent pointers)



sibling pointers



(d) breadth-first array  
(complete trees)