

# Castelnuovo-Mumford Regularity of Toric Surfaces

Sean Grate

Iowa State University

October 19, 2025

[021]

# Castelnuovo-Mumford regularity

#### **Definition**

Let  $I \subseteq \mathbb{K}[x_0, \dots, x_n]$  be a homogeneous ideal, and consider the graded minimal free resolution

$$0 \leftarrow R/I \leftarrow F_0 \leftarrow F_1 \leftarrow \cdots \leftarrow F_{n+1} \leftarrow 0$$

of R/I, where  $F_i \cong \bigoplus_j R(-i-j)^{\beta_{i,i+j}}$ . The Castelnuovo-Mumford regularity (or simply regularity) is

$$\operatorname{reg}(R/I) = \max_{i,j} \left\{ j : \beta_{i,i+j} \neq 0 \right\}.$$

The regularity is the index of the bottom row of the Betti table.

# Castelnuovo-Mumford regularity

#### **Definition**

A coherent sheaf  $\mathcal{F}$  on  $\mathbb{P}^n$  is m-regular if

$$H^i(\mathcal{F}(m-i))=0$$

for all i>0. The Castelnuovo-Mumford regularity (or simply regularity) is

$$\inf\left\{d\ :\ H^i(\mathcal{F}(d-i))=0\ \text{for all}\ i>0\right\}.$$

## **Monomial curves**

#### Definition

The monomial curve with exponents  $a_1 \leq \ldots \leq a_{n-1}$  in  $\mathbb{P}^n$  is the curve  $C \subset \mathbb{P}^n$  of degree  $d = a_n$  parameterized by

$$\varphi \colon \mathbb{P}^1 \to \mathbb{P}^n \quad \textit{with} \quad (s,t) \mapsto (s^d, s^{d-a_1}t^{a_1}, \dots, s^{d-a_{n-1}}t^{a_{n-1}}, t^d).$$

# Theorem (L'vovsky; 1996)

Let  $A = (0, a_1, ..., a_n)$  be a sequence of non-negative integers such that the g.c.d. of the  $a_j$ 's equals 1, and let C be the corresponding monomial curve. Then C is m-regular, where

$$m = \max_{1 \le i \le j \le n} \left\{ \left( a_i - a_{i-1} \right) + \left( a_j - a_{j-1} \right) \right\},$$

i.e., m is the sum of the two largest gaps in the semigroup generated by A.

# **Example (sporadic)**



$$A = \begin{pmatrix} 0 & 3 & 5 & 7 \end{pmatrix} \longrightarrow \begin{pmatrix} 0 & 3 & 5 & 7 \\ 7 & 4 & 2 & 0 \end{pmatrix}$$

$$\varphi(s,t) = \begin{pmatrix} s^7, & s^3 t^4, & s^5 t^2, & t^7 \\ x_0, & x_1, & x_2, & x_3 \end{pmatrix}$$

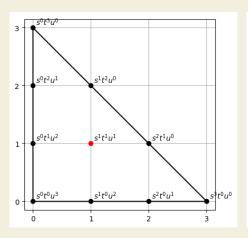
$$I_C = \langle x_2^2 - x_1 x_3, & x_1^3 x_2 - x_0^2 x_3^2, & x_1^4 - x_0^2 x_2 x_3 \rangle$$

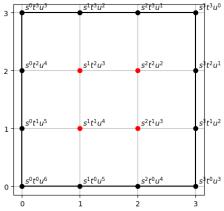
$$0 \leftarrow R/I_C \leftarrow R \leftarrow \begin{pmatrix} R(-2) \\ \oplus \\ R(-4)^2 \end{pmatrix} \leftarrow R(-5)^2 \leftarrow 0$$

$$reg(I_C) = 4 \le (a_1 - a_0) + (a_2 - a_1) = 3 + 2 = 5$$

```
i1: kk = ZZ/32749;
i2: I = monomialCurveIdeal(kk[x_0..x_3], {3,5,7})
          2 3 22 4 2
o2 = ideal (x - x x, x x - x x, x - x x)
          2 13 12 03 1 023
i3 : print betti res I
  0 1 2
total: 1 3 2
  0:1..
   1: . 1 .
   2: . . .
   3: . 2 2
```

# **Toric surfaces**





## Eisenbud-Goto

#### Definition

A polytope P is k-normal if the map

$$\underbrace{P + P + \ldots + P}_{k \text{ times}} \longrightarrow kF$$

is surjective. Define  $k_P$  to be the smallest k such that P is k-normal.

#### Conjecture (Eisenbud-Goto, 1984)

For a smooth projective variety X,

$$reg(X) \le deg(X) - codim(X) + 1.$$

In particular, for a projective toric variety coming from a polytope P,

$$k_P \leq Vol(P) - |P| + \dim P - 1.$$

# What has been done?

## Theorem (Lazarsfeld, 1997)

Every smooth, projective surface satisfies the Eisenbud-Goto conjecture.

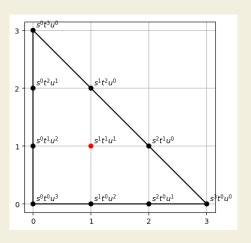
## Theorem (Koelman, 1993)

For a lattice polygon P, the ideal  $I_P$  is generated by quadric and cubic binomials. Moreover, all of the minimal generators of  $I_P$  are quadrics if and only if  $|\partial P| > 3$ .

# Theorem (Schenck, 2004; Hering, 2006)

If P has nonempty interior, then the index where  $\beta_{i,i+2}$  is first nonzero is  $|\partial P|$ .

# Setup



$$A = \begin{pmatrix} 0 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix}$$

$$\downarrow \quad \text{Conv}(A) \setminus \text{Int}(A)$$

$$\begin{pmatrix} 0 & 1 & 2 & \cdots & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 3 & 2 & 1 \end{pmatrix}$$

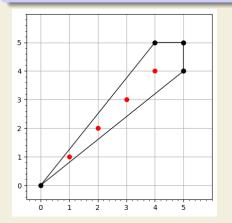
$$\downarrow \quad \text{homogenize}$$

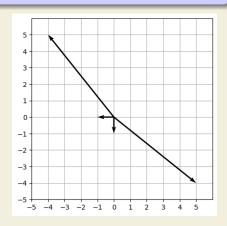
$$\tilde{A} = \begin{pmatrix} 0 & 1 & 2 & \cdots & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 3 & 2 & 1 \\ 3 & 2 & 1 & \cdots & 0 & 1 & 2 \end{pmatrix}$$

# "Bad Boy"

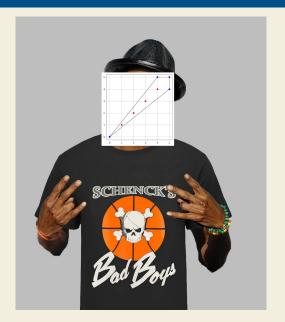
In general, the regularity can be arbitrarily large by using

$$A = \begin{pmatrix} 0 & d & d-1 & d \\ 0 & d-1 & d & d \end{pmatrix}.$$





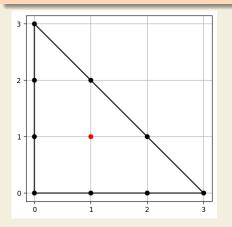
# "Bad Boy"

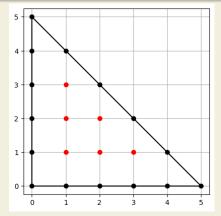


# Hollow triangle

#### Definition

Suppose 
$$A = \begin{pmatrix} 0 & k & 0 \\ 0 & 0 & k \end{pmatrix}$$
. The hollow triangle of length  $k$  is  $\triangle^k := \tilde{A}$ .

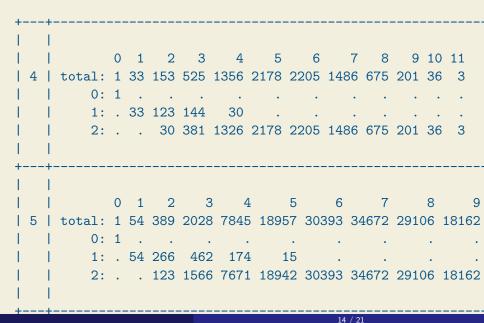




# Hollow triangle data

```
0 1 2 3
2 | total: 1 6 8 3
       0:1...
       1: . 6 8 3
          0 1 2 3 4 5 6 7 8
3 | total: 1 17 53 91 108 83 37 9 1
       1: . 17 43 36 8 . .
       2: . . 10 55 100 83 37 9 1
```

# Hollow triangle data



# Results

#### Lemma

For all  $d \geq 2$ ,  $(R/I_{\triangle^k})_d = (\overline{R/I_{\triangle^k}})_d$ .

#### Theorem

For all  $k \geq 2$ ,  $\operatorname{reg}(\triangle^k) = 2$ .

# Results

#### Lemma

For all  $d \geq 2$ ,  $(R/I_{\square^k})_d = (\overline{R/I_{\square^k}})_d$ .

#### Theorem

For all  $k \geq 2$ ,  $\operatorname{reg}(\square^k) = 2$ .

#### Proof sketch of theorem

• Use the short exact sequence of sheaves

$$0 o \mathscr{I}_{\square^k}(d) o \mathscr{O}_{\mathbb{P}^{4k-1}}(d) o \mathscr{O}_{\square^k}(d) o 0$$

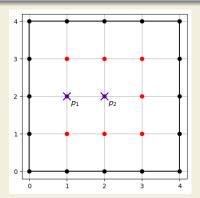
to eventually get a short exact sequence

$$0 \to R/I_{\square^k} \to \overline{R/I_{\square^k}} \to N \to 0.$$

- By the lemma, N is generated is only generated by degree 1 monomials.
- $\operatorname{reg}(R/I_{\square^k}) \leq \max(\operatorname{reg}(\overline{R/I_{\square^k}}), N) = \operatorname{reg}(\overline{R/I_{\square^k}}) = 2.$

# Proof sketch of lemma

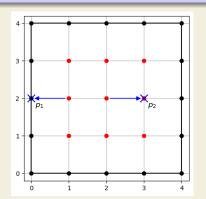
- Showing  $(R/I_{\square^k})_d = (R/I_{\square^k})_d$  for  $d \ge 2$  amounts to a computation with the lattice points of  $\square^k$ .
- We are done if for any  $p_1, p_2 \in \overline{\square^k}$ , we can write  $p_1 + p_2 = q_1 + q_2$  with  $q_1, q_2 \in \overline{\square^k}$ .



$$p_1 + p_2 = \begin{pmatrix} 1 \\ 2 \\ 5 \end{pmatrix} + \begin{pmatrix} 2 \\ 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ 9 \end{pmatrix}$$

# Proof sketch of lemma

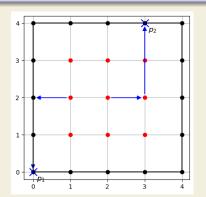
- Showing  $(R/I_{\square^k})_d = (\overline{R/I_{\square^k}})_d$  for  $d \ge 2$  amounts to a computation with the lattice points of  $\square^k$ .
- We are done if for any  $p_1, p_2 \in \overline{\square^k}$ , we can write  $p_1 + p_2 = q_1 + q_2$  with  $q_1, q_2 \in \square^k$ .



$$p_1 + p_2 = \begin{pmatrix} 1 \\ 2 \\ 5 \end{pmatrix} + \begin{pmatrix} 2 \\ 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ 9 \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ 2 \\ 6 \end{pmatrix} + \begin{pmatrix} 3 \\ 2 \\ 3 \end{pmatrix}$$

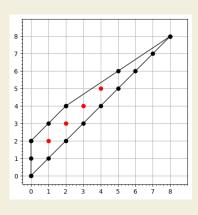
# **Proof** sketch of lemma

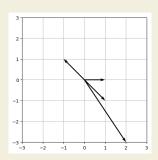
- Showing  $(R/I_{\square^k})_d = (R/I_{\square^k})_d$  for  $d \ge 2$  amounts to a computation with the lattice points of  $\square^k$ .
- We are done if for any  $p_1, p_2 \in \overline{\square^k}$ , we can write  $p_1 + p_2 = q_1 + q_2$  with  $q_1, q_2 \in \square^k$ .



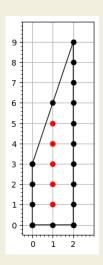
$$p_1 + p_2 = \begin{pmatrix} 1 \\ 2 \\ 5 \end{pmatrix} + \begin{pmatrix} 2 \\ 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ 9 \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ 2 \\ 6 \end{pmatrix} + \begin{pmatrix} 3 \\ 2 \\ 3 \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ 0 \\ 8 \end{pmatrix} + \begin{pmatrix} 3 \\ 4 \\ 1 \end{pmatrix}$$

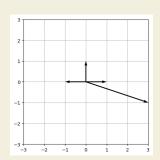
# Smooth is not enough





# Smooth is not enough





# **Analog of Gaps**

#### Conjecture

Suppose X is a smooth toric surface in the setup of the hollow polygons. Let  $\Sigma$  be its corresponding fan with rays  $\rho_1, \ldots, \rho_r$ . Then the regularity of  $I_X$  is bounded by the largest magnitude of a ray of  $\Sigma$ , i.e.,

$$\operatorname{reg}(R/I_X) \leq \max_{1 \leq i \leq r} \|\rho_i\|_1.$$

Alternatively, if  $v_1, \ldots, v_r = v_0$  are the vertices of the corresponding polytope P (ordered clockwise), then

$$reg(R/I_X) \le \max_{1 \le i \le r} \frac{\|v_i - v_{i-1}\|_1}{\gcd(v_i - v_{i-1})}.$$