# Sean L. Barton | Ph.D.

# Research Scientist/Cognitive Scientist

Centennial Office Building, 3rd Floor - 658 Cedar St., Saint Paul MN 55155

Research scientist with a M.S. and Ph.D. in Cognitive Science. Currently working at the Minnesota Management and Budget Office as research scientist. I am interested in the application of data analysis and computational modeling for understanding public needs and solving real-world problems.

## **Overview**

Education & Degrees.

**Cognitive Science** 

Rensselaer Polytechnic Institute

12/2014, 12/2017

Psychology

**B.S.** *05/2011* 

M.S., Ph.D.

Arizona State University

Research & Work Experience....

#### Research Scientist

Minnesota Management and Budget, Results Management

2019-Present

Developing a new research environment for conducting state-wide analyses of State program efficacy and impact.

#### **Cognitive Scientist**

U.S. Army Research Laboratory, Postdoctoral Fellow

2018-2019

Applied research in deep learning, online and reinforcement learning, and human-agent teaming.

## Research Assistant

Rensselaer Polytechnic Institute, Cognitive Science Department

2011-2017

Research in human perceptual-motor control utilizing virtual reality, motion capture, and statistical analysis.

# Technical Skills... Programming & Computation: (Python, R, TensorFlow, Matlab, Git, C++)

I have extensive experience working with high-level programming languages for the purposes of computational learning, algorithm development, scientific experimentation, data analysis, and data visualization.

#### **Data Analysis & Visualization**: (R, Python, TensorBoard, Shiny, HTML)

I am experienced with experimental and quasi-experimental design, hypothesis testing, statistical modeling, and data visualization. I prefer using multiple analytical approaches to construct a comprehensive and convergent argument.

Writing & Communication: (LaTeX, Jupyter Notebooks, RMarkdown, Microsoft Office, Adobe Illustrator)

I have published a number of technical and academic papers in scientific journals, both as a primary author and a co-author. I also have designed a number of professional posters, presentations, and interactive data visualizations.

**Presentation & Teaching Experience**: (AAAI Symposia, SFN, VSS, SPIE, IHSED, IHSI, statistics lecturer) I have regularly given talks and presentations at professional and academic conferences over my career. I also have experience teaching students at the university level.

# Select Publications.

- O Evaluating the Coordination of Agents in Multi-Agent Reinforcement Learning (2019)
- O Measuring collaborative emergent behavior in multi-agent reinforcement learning (2018)
- O Visual regulation of gait: Zeroing in on a solution to the complex terrain problem (2017)

## **Personal Profile**

#### Research Interests

I am interested in what human cognition and behavior can teach us about adaptive and intelligent systems generally. Understanding human cognition is critical for not only better understanding how humans interact with a rich and dynamic world, but also for developing advanced technologies that can work alongside humans in a continuous and fluid manner. At the heart of human cognition are our perception, action, and learning capabilities, all of which can be understood by studying our behavior as we interact with our world. The creation of advanced and intelligent artificial systems can likewise be furthered by taking seriously the roles of perception, action, and learning in intelligent behavior. By creating systems that can behave and adapt in a human-like manner when interacting with the world, we take a step towards a computational intelligence that is naturally prepared to function alongside humans.

#### Career Interests

As a research scientist, my interests are in the development of novel concepts, ideas, and understanding in the realm of computational intelligence, human-level cognition, and intelligent behavior. However, I am also interested in transitioning such ideas into usable technology that can benefit society across a multitude of sectors. In technological fields the line between basic and applied research is increasingly blurred, and remaining on the cutting edge requires as much innovation and discovery as it does application development.

Additionally, I am interested in how the increasing amount of human data available throughout society can be used to make better and more informed decisions about our behaviors and needs. This information affords an unique opportunity to inform progress in technology, industry, and policy in unprecedented ways.

#### Personal Interests

I am a person driven by curiosity and creativity. I thrive on exploration and on sharing in the explorations of others. I love data-driven stories that reveal surprising patterns about our world, and I love beautiful visualizations of information that make you think about something in a way you never before considered. I enjoy hiking and backpacking, and I find some of my greatest peace when I immersed in the natural world. I like rock climbing but I'm afraid of heights (it's a work in progress). I love podcasts and I am passionate about coffee. I am ecstatic that personal home robots are available in my lifetime. I play the viola and I love being part of an orchestra, though I have never liked playing solo. I like to write, even after I've spent all day writing. I prefer story-driven video games and story-driven board games. Shared creativity is something I seek out in the people around me, and I love working with groups of people who are all passionate about the same thing and can talk about it for hours at length. I believe that our desires for knowledge and our desires to create are our greatest assets as humans.

## Education

## **Doctorate of Philosophy**

Rensselaer Polytechnic Institute

Cognitive Science

Dec 2017

Doctoral Dissertation: The Role of Vision and Biomechanics When Walking Over Rough Terrain

Master of Science

Rensselaer Polytechnic Institute

Cognitive Science

Dec 2014

Master's Thesis: Learning to Coordinate a Redundant Motor System: The Role of Postural Comfort

**Bachelor of Science** 

**Arizona State University** 

Psychology - Summa cum Laude, with Honors

May 2011

Honors Thesis: Organic Cation Transporter 3: A study of the potential behavioral role played by a novel monoamine transport protein in the response to acute stress

# Research Experience

Current

## Minnesota Management and Budget

Research Scientist - Results Management, Temporary

Research Scientist III

Jun 2019 - Present

I am working as a member of the Results Management Unit at Minnesota Management and Budget to establish an a new research initiative, at the direction of the State Legislature, for the purposes of conducting impact evaluations on state policies and initiatives. These evaluations serve to determine the impact state policies have on Minnesotans. The goal of this research initiative is to provide state agencies and lawmakers with critical, evidence-based information about how effective state programs are at meeting the needs of the public. In this role I have worked to establish a functional research environment, provided guidance on the development of scientific research questions and analytical methods, and helped to grow this increasingly diverse and critical team.

#### Details:

- o Establishing research paradigm and technical framework
- o Assisting in the creation of a general operations manual for the team and its research
- Assisting with the adoption and implementation of computational systems and programs necessary for conducting research
- o Developing inter-agency and external partnerships with stakeholders and collaborators
- o Providing insight and guidance on scientific processes and research guidelines
- o Researching initial impact evaluation topics for early project milestones
- o Conducting impact evaluation projects, as well as generating reports and public briefs

Previous.....

#### U.S. Army Research Laboratory

**Cognitive Scientist** 

Postdoctoral Fellow - Computational & Information Sciences Directorate

Jan 2018 – Jun 2019

Investigated the role that deep reinforcement learning can play in the development of more effective human-agent teams. As our reliance on technology continues to grow, we require increasing intelligence and adaptability from computational systems. One way to achieve this to create systems that are capable of learning from human partners in real time. I leveraged advances in computational learning to develop computational teammates that anticipate a human partner's needs and intentions within the context of continuous simulated tasks.

#### Details:

- o Involved in organizing and maintaining several independent research directions
- o Researched multi-agent teaming and applications through simulation experiments
- o Conducted behavioral research in human-agent teaming
- o Worked on algorithm development for novel deep reinforcement learning applications
- Presented research to military clients
- Presented research at scientific conferences
- Wrote academic papers and technical reports on research findings
- o Implemented computational simulators for algorithm testing

### Rensselaer Polytechnic Institute

Research Assistant

Perception and Action Lab

Jan 2014 – Dec 2017

Conducted research investigating the role of visual information during continuous walking over naturalistic terrains. This research aimed to understand how information about footholds and obstacles along the future path is used by humans to leverage the dynamics of the body for efficient and stable locomotor behavior. The paradigm utilized a full-body motion capture system and an immersive virtual environment to study total body motion in response to different information about the path being traversed.

#### Details:

- o Conducted five experimental research projects under this paradigm.
- o Helped develop paradigms for continuing research in the study of visual control of locomotion.
- o Utilized motion capture and virtual reality to create naturalistic, interactive terrains as experimental stimuli.
- Developed custom Matlab and R pipelines for data analysis.
- o Developed an experimental paradigm using augmented reality to project 3D terrains.

### Rensselaer Polytechnic Institute

Research Assistant

Perception and Action Lab

Aug 2011 - Dec 2014

Conducted research to investigate how humans learn to exploit kinematic redundancy in the upper arm. Motor redundancy is critical for flexibility and adaptability in human motor control. I implemented the experimental paradigm within the Vizard virtual environment.

#### Details:

- O Designed and implemented a novel experimental research project.
- Oversaw experimentation and data collection.
- Developed custom Matlab and R pipelines for data analysis.

#### **Arizona State University**

**Undergraduate Research Assistant** 

Orchinik Lab

Aug 2008 – May 2011

Worked independently, and as part of a team, conducting research on the neuro-chemical pathways of the acute stress response in rat and frog animal models. Investigations focused specifically on *organic cation* transporter 3 (OCT-3) and its action as a secondary serotonin uptake mechanism in the brain.

#### Details:

- o Animal care and handling with Sprague-Dawley rat model.
- Surgical and chemical preparation of animal subjects for neural tissue analysis.
- Analysis of neural tissue using immunofluorescence.

## **Technical Skills**

#### Programming

## Python

Numpy, Scipy, Matplotlib, Pandas, PyMC, Jupyter Notebooks

I am experienced at programming in Python for experimentation and data analysis, utilizing both functional and object oriented programming. Frequently use Numpy, Scipy, Matplotlib, and Pandas. Familiar with PyMC for Monte Carlo simulation and Bayesian statistics. I have experience using IPython and JupyterNotebooks as well.

#### **TensorFlow**

DNN, CNN, LSTM, DQN, Actor-Critic, Keras

In my current research I work extensively with Tensorflow using existing libraries in novel applications, as well as developing novel algorithms or synthesizing new techniques when existing solutions are insufficient. My primary experience is in the realm of deep reinforcement learning, though I have a working knowledge of addressing deep learning problems in other domains (such as NLP or image classification). I primarily work with Tensorflow directly, though I am familiar and comfortable with the Keras wrapper syntax.

#### R

RStudio, RMarkdown, RShiny

Highly experienced at using R for statistical analysis and programming. I routinely use R, RMarkdown, and RNotebooks for analysis and research presentation. I am skilled at using GGPLOT2 for creating publication-worthy figures and tables. I am also experienced with using Shiny in R for development of web applications and statistical presentations in HTML5.

#### OpenAl Gym

Reinforcement Learning

Experienced with using OpenAl Gym for creating simulation environments for reinforcement learning problems. Utilizing OpenAl gym for research in reinforcement learning and human-agent teaming.

#### LaTex

Document typesetting

Highly experienced with LaTex. I use LaTex routinely for manuscript writing, either working directly in LaTex or using an interim word processor (like Scrivener) and compiling to LaTex for final document preparation.

#### Git

Github, GitLab, BitBucket, Atlassian

Routinely use Git-based version control services for collaborative work and source-code versioning. Comfortable with collaborative programming, project branching, merging, and documentation.

#### C++

Basic programming

Familiar with functional programming in C++. Utilized C++ for experiment design and simple virtual environment presentation. Worked with C++ as a network bridge between Vicon and Vizard.

## Industry Software....

#### Matlab

Programming, Simulink

I am experienced with Matlab programming. I developed custom pipelines for post-processing motion capture data and experiment data in Matlab, and use this pipeline to prepare data for analysis in R. I am experienced at generating figures with Matlab, and I am familiar with SimuLink.

# Other.....

## **Vector Graphics**

Adobe Illustrator, Inkscape

I regularly use vector graphics programs such as Inkscape and Adobe Illustrator to make professional posters and conference presentations. I am experienced with vector graphic creation and to a lesser extent graphic design.

## **Teaching Experience**

#### Research Methods and Statistics II

#### Rensselaer Polytechnic Institute

Graduate Teaching Assistant

Jan-May 2017

Helped to build a curriculum for a new course at Rensselaer Polytechnic Institute designed to teach students studying Psychology and Cognitive Science advanced topics in research methods and parametric statistics. This course was also designed to teach students to use R and RMarkdown to create documents that effectively communicate and defend research experiments.

#### Details:

- Curriculum development
- Assignment creation in RMarkdown
- Lecturing
- o Grading student work and providing guidance through office hours

#### **Perception and Action**

#### Rensselaer Polytechnic Institute

Graduate Teaching Assistant

Jan-May 2014

Perception and Action is an advanced level course on the study of human perceptual and motor systems. I worked with students through office hours to help them develop a conceptual understanding of the advanced topics in this course, and assisted Dr. Brett Fajen with grading and lesson planning.

#### Details:

- Grading
- Student guidance through office hours

#### **Sensation and Perception**

Rensselaer Polytechnic Institute

Graduate Teaching Assistant

Aug-Dec 2011, Aug-Dec 2012, Aug-Dec 2016

An introductory course for students interested in psychophysical experimentation, Sensation and Perception is part of the core curriculum for the Cognitive Science department. I helped students through one-on-one meetings, graded assignments and tests, and instructed students on the use of Python to conduct simple psychometric experiments in class.

## Details:

- Grading
- Student guidance through office hours
- o Python for experimentation

## **Published Work**

## Journal Publications & Proceedings.....

#### Published

- o Barton, S. L., Zaroukian, E., Asher, D. E., & Waytowich, N. R. (2019) Evaluating the Coordination of Agents in Multi-Agent Reinforcement Learning. In *International Conference on Intelligent Human Systems Integration, 2nd IHSI* (pp. 765-770). Springer, Cham.
- o Barton, S. L., Waytowich, N. R., Zaroukian, E., & Asher, D. E. (2018). Measuring collaborative emergent behavior in multi-agent reinforcement learning. In *International Conference on Human Systems Engineering and Design: Future Trends and Applications, 1st IHSED* (pp. 422-427). Springer, Cham.
- o Barton, S. L., & Asher, D. (2018). Reinforcement learning framework for collaborative agents interacting with soldiers in dynamic military contexts. In *Next-Generation Analyst VI* (Vol. 10653, p. 1065303). International Society for Optics and Photonics
- Barton, S. L., Matthis, J. S., & Fajen, B. R. (2017). Visual regulation of gait: Zeroing in on a solution to the complex terrain problem. *Journal of Experimental Psychology: Human Perception and Performance*, 43(10), 1773.
- o Matthis, J. S., Barton, S. L., & Fajen, B. R. (2017). The critical phase for visual control of human walking over complex terrain. *Proceedings of the National Academy of Sciences*, 114(32), E6720-E6729.
- o Matthis, Jonathan Samir, Sean L Barton, and Brett R Fajen. "The Biomechanics of Walking Shape the Use of Visual Information during Locomotion over Complex Terrain." *Journal of Vision* 15, no. 3:10 (2015): 1–13.

#### In Press

- o Barton, S. L., Matthis, J. S., & Fajen, B. R. (In Press) Control strategies for rapid, visually guided adjustments of the foot during continuous walking. *Experimental brain research*.
- o Barton, S. L., Waytowich, N. R., & Asher, D. E. (2018). Coordination-driven learning in multi-agent problem spaces. To appear in *Reports on the 2018 AAAI Fall Symposium. arXiv preprint arXiv:1809.04918*.
- Asher, D. E., Zaroukian, E., & Barton, S. L. (2018). Adapting the Predator-Prey Game Theoretic Environment to Army Tactical Edge Scenarios with Computational Multiagent Systems. To appear in International Command and Control Research and Technology Symposium, 23rd ICCRTS. arXiv preprint arXiv:1807.05806.

## Conference Presentations.....

#### Talks

- o Fajen, B., Barton, S., & Steinmetz, S. (2018). The visual control of walking over terrain with multiple raised obstacles. *Journal of Vision*, 18(10), 181-181.
- o Barton, S., Matthis, J., Hinojosa, E., Brion, D., & Fajen, B. (2016). Biomechanical and visual constraints on rapid adjustments to foot placement during continuous locomotion. *Journal of Vision*, 16(12), 767-767.
- o Barton, Sean L., Jonathan S. Matthis, Evelyn Hinojosa, Dylan Brion, and Brett R. Fajen. "Visual Control of Foot Placement during Continuous Locomotion over Complex Terrain." *Dynamic Walking*, 2016.
- o Fajen, Brett R., Sean L. Barton, Jonathan S. Matthis. "Which regions of the ground surface do humans need to see to control walking over complex terrain?". Program No. 374.04. 2015 Neuroscience Meeting Planner. Washington, DC: Society for Neuroscience, 2015. Online.

## Poster Presentations

o Barton, Sean L., Scott Steinmetz, Jonathan S. Matthis, Brett R. Fajen. "Active versus passive perceptual control of locomotion: The preference for ballistic movements during walking." Program No. 808.28/DP05 (Dynamic Poster). 2016 Neuroscience Meeting Planner. San Diego, CA: Society for Neuroscience, 2016. Online.

- o Zhao, Ye, Jonathan S. Matthis, Sean L. Barton, Mary Hayhoe, and Luis Sentis. "Exploring Visually Guided Locomotion over Complex Terrain: A Phase-Space Planning Method." *Dynamic Walking*, 2016.
- o Barton, Sean L., Jonathan S. Matthis, Brett R. Fajen. "Dynamics inherent to bipedal locomotion constrain active control of foot placement". Program No. 519.18/U7. 2015 Neuroscience Meeting Planner. Washington, DC: Society for Neuroscience, 2015. Online.
- o Barton, Sean L., Jonathan S. Matthis, and Brett R. Fajen. "The Contributions of Active and Passive Modes of Control during Walking over Complex Terrain." *Journal of Vision* 15, no. 2 (2015). doi:10.1167/15.12.1324.
- o Barton, Sean L., Jonathan S. Matthis, and Brett R. Fajen. "Walkers Use Visual Information about Distance between Target Footholds to Initialize the Upcoming Step." *Dynamic Walking*, 2015.
- o Fajen, B. R, Sean L. Barton, and Jonathan S. Matthis. "Visual Information for the Control of Walking over Complex Terrain." *Journal of Vision* 15, no. 12 (2015).
- o Matthis, Jonathan, Sean Barton, and Brett Fajen. "Visual Control of Precise Foot Placement When Walking over Complex Terrain." *Journal of Vision* 13, no. 9 (July 2, 2013): 121–121. doi:10.1167/13.9.121.
- o Matthis, Jonathan, Sean Barton, and Brett Fajen. "The Critical Period for the Visual Control of Foot Placement in Complex Terrain Occurs in the Preceding Step." *Journal of Vision* 14, no. 10 (August 3, 2014): 3–3. doi:10.1167/14.10.3.