

Journal: Journal of Language Evolution

Article Doi: 10.1093/jole/lzw009

Article Title: Double-Blind Reviewing at EvoLang 11 Reveals

Gender Bias[†]

First Author: Seán G. Roberts Corr. Author: Seán G. Roberts

OXFORD UNIVERSITY PRESS

INSTRUCTIONS

We encourage you to use Adobe's editing tools (please see the next page for instructions). If this is not possible, reply to this message and send a list of corrections, indicating each change in the following manner: line number, current text, change to be made.

Changes should be corrections of typographical errors only. Changes that contradict journal style will not be made.

These proofs are for checking purposes only. They should not be considered as final publication format. The proof must not be used for any other purpose. In particular we request that you do not post them on your personal/institutional web site, and do not print and distribute multiple copies. Neither excerpts nor all of the article should be included in other publications written or edited by yourself until the final version has been published and the full citation details are available. You will be sent these when the article is published, along with an author PDF of the final article.

- 1. License to Publish: If you have not already done so, please visit the link in your Welcome email and complete your License to Publish online.
- 2. Author groups: Please check that all names have been spelled correctly and appear in the correct order. Please also check that all initials are present. Please check that the author surnames (family name) have been correctly identified by pink letters. If this is incorrect, please identify the full surname of the relevant authors. Occasionally, the distinction between surnames and forenames can be ambiguous, and this is to ensure that the authors' full surnames and forenames are tagged correctly, for accurate indexing online.
- 3. Figures: If applicable figures have been placed as close as possible to their first citation. Please check that they are complete and that the correct figure legend is present. Figures in the proof are low resolution versions that will be replaced with high resolution versions when the journal is printed.
- 4. Missing elements: Please check that the text is complete and that all figures, tables, and their legends are included.
- 5. Special characters: Please check the accuracy of special characters, equations, dosages, and units if applicable.
- 6. URL: Please check that all web addresses cited in the text, footnotes and reference list are up-to-date, and please provide a 'last accessed' date for each URL.
- 7. Funding: Please provide a Funding statement, detailing any funding received. Remember that any funding used while completing this work should be highlighted in a separate Funding section. Please ensure that you use the full official name of the funding body, and if your paper has received funding from any institution, such as NIH, please inform us of the grant number to go into the funding section. We use the institution names to tag NIH-funded articles so they are deposited at PMC. If we already have this information, we will have tagged it and it will appear as coloured text in the funding paragraph. Please check the information is correct. Figure has been placed as close as possible to its first citation. Please check that it has no missing sections and that the correct figure legend is present.

MAKING CORRECTIONS TO YOUR PROOF



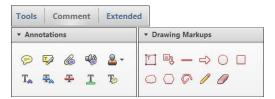
These instructions show you how to mark changes or add notes to the document using the Adobe Acrobat Professional version 7(or onwards) or Adobe Reader X (or onwards). To check what version you are using go to **Help** then **About**. The latest version of Adobe Reader is available for free from get.adobe.com/reader.

Displaying the toolbars

Adobe Professional X, XI and Reader X, XI

Select Comment, Annotations and Drawing Markups.

If this option is not available, please let me know so that I can enable it for you.



Acrobat Professional 7, 8 and 9

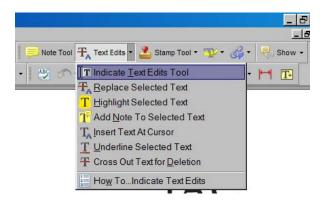
Select Tools, Commenting, Show Commenting Toolbar.



Using Text Edits



This is the quickest, simplest and easiest method both to make corrections, and for your corrections to be transferred and checked.



- 1. Click Text Edits
- 2. Select the text to be annotated or place your cursor at the insertion point.
- Click the **Text Edits** drop down arrow and select the required action.

You can also right click on selected text for a range of commenting options.

Pop up Notes

With *Text Edits* and other markup, it is possible to add notes. In some cases (e.g. inserting or replacing text), a pop-up note is displayed automatically.



To **display** the pop-up note for other markup, right click on the annotation on the document and selecting **Open Pop-Up Note**.

To **move** a note, click and drag on the title area.



To resize of the note, click and drag on the

bottom right corner.



To **close** the note, click on the cross in the top right hand corner.



To **delete** an edit, right click on it and select **Delete**. The edit and associated note will be removed.

SAVING COMMENTS

In order to save your comments and notes, you need to save the file (**File, Save**) when you close the document. A full list of the comments and edits you have made can be viewed by clicking on the Comments tab in the bottom-left-hand corner of the PDF.



Author Query Form

Journal: Journal of Language Evolution

Article Doi: 10.1093/jole/lzw009

Article Title: Double-Blind Reviewing at EvoLang 11 Reveals Gender Bias[†]

First Author: Seán G. Roberts Corr. Author: Seán G. Roberts

AUTHOR QUERIES - TO BE ANSWERED BY THE CORRESPONDING AUTHOR

The following queries have arisen during the typesetting of your manuscript. Please click on each query number and respond by indicating the change required within the text of the article. If no change is needed please add a note saying "No change."



AQ1: Please check that all names have been spelled correctly and appear in the correct order. Please also check that all initials are present. Please check that the author surnames (family name) have been correctly identified by a pink background. If this is incorrect, please identify the full surname of the relevant authors. Occasionally, the distinction between surnames and forenames can be ambiguous, and this is to ensure that the authors' full surnames and forenames are tagged correctly, for accurate indexing online. Please also check all author affiliations.



AQ2: Please check whether the suggested running head is OK.

AQ3: Please provide the volume number.

AQ4: Please provide complete group authors in place of et al.

AQ5: Please provide the location.

AQ6: Please provide the last accessed date.

AQ7: Please provide the publisher and location.

AQ8: Please provide the page range.



Journal of Language Evolution, 072016, 1–5 doi: 10.1093/jole/lzw009 Conference Report



Double-Blind Reviewing at EvoLang 11 Reveals Gender Bias[†]

AQ1

Seán G. Roberts^{‡,*} and Tessa Verhoef[§]

- ⁵ Language and Cognition Department, Max Planck Institute for Psycholinguistics, The Netherlands and Scenter for Research in Language, University of California, San Diego, CA, USA
 - [†]The authors contributed equally to this paper.
 - *Corresponding author: sean.roberts@mpi.nl

Abstract

10 The impact of introducing double-blind reviewing in the most recent Evolution of Language conference is assessed. The ranking of papers is compared between EvoLang 11 (double-blind review) and EvoLang 9 and 10 (single-blind review). Main effects were found for first author gender by conference. The results mirror some findings in the literature on the effects of double-blind review, suggesting that it helps reduce a bias against female authors.

15 1. Introduction

Every 2 years since 1996, The Evolution of Language (EvoLang) conference has been a major international event for research on the origins and evolution of language. The 11th EvoLang (Roberts et al., 2016 (see http://evolang.org/neworleans/)) introduced double-blind review (DBR), as compared to single-blind review used in all previous conferences. This paper assesses whether there are any detectable effects of this change, focusing on gender and whether papers are authored by students or more established researchers.

There is a growing body of literature on biases within academia. These include the Matilda effect, a bias against women in male-dominated fields (Knobloch-Westerwick et al., 2013), and the Matthew effect, a bias favouring well-established academ Merton et al., 1968). The Matilda effect has been und in various areas of academia (see European Commission 2012; Science, Special Issue 2013).

Previous findings vary, but many show that femaleauthored papers are accepted more often or rated higher under DBR (Snodgrass 2006; Budden et al., 2008). The trend is similar in other areas, for example, a recapion

(unpublished) study found that female-authored code had higher acceptance rates in collaborative software projects when their gender was not identifiable (Terrell 40 et al., 2016).

However, some studies found no difference in gender balance as a result of DBR (Whittaker 2008; Primack et al., 2009). Webb et al. (2008) and Engqvist and Frommen (2008) argue that the increase in ratings of female-authored papers is partly caused simply by an increasing number of females in the pool of submitters or a general reduction in bias over time, rather than an effect of review type. There are also other potential effects which are not explored here, for example, the prestige of an institution (Blank 1991).

Arguably, the field of language evolution is a male-type topic, or at least dominated by male authors. For example, in the 'Language Evolution and Computation bibliography', only 8 out of the top 100 most cited authors are female. Also, only 9 out of 77 invited plenary speakers, to the EvoLang conferences before 2016, were female (with the most recent conference being a welcome improvement

1 Maintained until 2013 http://www.langev.com/author



65

85

Table 1. Counts of submissions in the data by gender and student status

	Non-Student		Student	
	Female	Male	Female	Male
EvoLang 9	34	85	18	45
EvoLang 10	55	94	12	30
EvoLang 11	40	78	32	45

of 5 females out of 9 invited speakers). Therefore, this field could be susceptible to the Matilda effect. The effect of author prestige is harder to predict: the field is small enough that researchers know each other, but young enough that there are few well-established researchers whose primary topic is language evolution.

2. Analysis

2.1 Data

Data was available for 176 submissions from EvoLang 9

(Scott-Phillips et al., 2012), 191 submissions from EvoLang 10 (Cartmill et al., 2014), and 196 submissions from EvoLang 11 (Roberts et al., 2016). For each submission, the mean reviewers' score and ranking within each conference was calculated, and scaled (0 = worst, 1 = best, average rank used for ties). Authors specified their student status. Gender of the first author was coded (by SR) using a binary male/female categorisation based on a subjective assessment of the authors' performed gender on their academic profile. Throughout this paper, only the identity of the first author is considered. Table 1 shows the number of submissions by gender and student status for each conference.

Analyses are complicated by differences in the proportion of students between conferences and multiple papers being written by the same authors. Therefore, the paired change in ranking was analysed, which keeps the identity of the first author constant. Authors were identified who submitted a paper to multiple conferences, and an anonymous identification number for each of these authors was added. Seventy-three authors (29 female, 24 student) were identified who applied to both EvoLang 10 and EvoLang 11 (25 per cent of unique authors, 165 submissions, authors could submit a maximum of 2 papers to each conference, data on EvoLang 9 was discarded because only 30 authors had submitted to the last 3 conferences, only 9 of which were female). For each author, the change in ranking between their best ranked paper in EvoLang 10 and EvoLang 11 was calculated. Student status was determined by authors' 40 reported student status in Evolang 10. For full data and analysis, see the supporting information or https://github.com/seannyD/EvoLangDoubleBlindData.

2.2 Results

Overall, reviewers gave papers in later conferences higher raw scores (ANOVA F=16.25, df=2, 45 P<0.001), so the main analyses were carried out using the scaled ranking of papers. Figures 1 and 2 show the distribution of rankings by gender and student status for each conference.

We performed a three-way independent-samples 50 ANOVA on paper ranking by gender, student status, conference, and all interactions between the independent variables. There was a significant main effect of first author gender (F(1) = 5.65, P = 0.018). There was also a significant interaction between first author gender and 55 conference (F(2) = 5.81, P = 0.003). No other factors were significant.

Post hoc *t*-tests showed that there was little difference in ranks for papers with male or female first authors for EvoLang 9 (difference in means = 0.04, t = -0.87, P = 0.386) or EvoLang 10 (difference in means = -0.04, t = 0.75, P = 0.454), but there was a difference in EvoLang 11 (difference in means = -0.17, t = 4.4, P < 0.0001). In other words, female first-authored papers ranked higher in the conference with DBR.

Regarding the data on paired change in ranking, the average change over the two conferences was a drop of about 7 per cent (a large number of first-time submitters in EvoLang 11 received good reviews). A linear regression was used to predict the change in author ranking over the two conferences by gender and student status. There was a significant effect of gender (female-authored papers ranked higher by about 4 per cent over the 2 years on average while male author ranking declined by about 19 per cent on average, t = -2.19, P = 0.03), and no effect of student status (t = -1.58, P = 0.12). There was also a significant interaction between gender and student status (t = 2.19, P = 0.03). The ranking of student papers declined on average by 20 per cent, but in contrast male students improved by 14 per cent. Figure 3 shows the paired change in ranking by gender and student status.

The Supplementary Materials include an attempt to analyse whether the bias differs by the gender type of the research topic, but found no significant results.

3. Discussion

This study explored the differences between review scores in three EvoLang conferences, including two

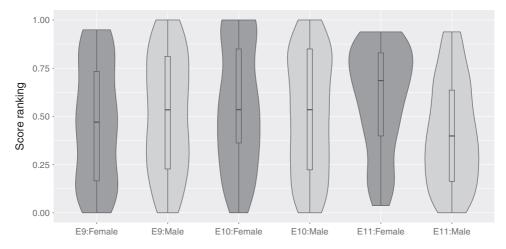


Figure 1. Differences in ranking by gender of first author.

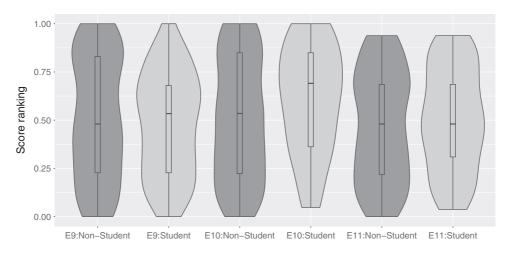


Figure 2. Differences in ranking by student status of first author.

single-blind conferences and the most recent doubleblind conference. The first finding is that there are proportionally fewer papers submitted by female first authors overall, which itself is an indication of a bias.

5

Regarding student status, in general student papers were rated as better than non-student papers, which was less prominent in EvoLang 11. This might be explained by authors being more lenient towards student papers (or, conversely, more critical of minor problems by established authors), and this effect is then minimised under DBR. That is, there was no evidence for the Matthew effect in the overall data.

Regarding gender, in the conferences with singleblind review there was little difference in ranking between genders, but female first-authored papers were ranked higher under DBR. When looking at papers by

the same authors in both conferences, female-authored papers move up in ranking while male-authored papers are ranked lower, though this happened mainly for nonstudents.

This fits with some previous findings in the literature showing a reduction in bias against female authors in DBR. It is interesting to note that, in this data, the bias is only revealed under DBR. That is, equality in ratings between genders is not a guarantee of bias-free review. 25 The gender coding of the field or subtopic may be a relevant consideration, but no evidence could be found for an effect in our data (see Supplementary Materials).

There are many possible explanations for the effect of gender. One is a general bias against female authors, prompting them to compensate by putting more effort into their submissions, and this effort is recognised once 20



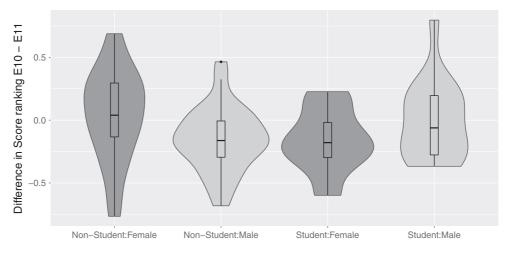


Figure 3. Paired change in ranking by identity of the first author. A positive value indicates that the author's best paper is ranked higher in E11 than E10.

the gender bias is removed under DBR. However, that would not explain the interaction between gender and student status discovered in the paired data. Since the author's name does not directly reveal their student status, any effect should be due to whether the person is known in the field or not. So the second result might be explained by a combination of gender bias and prestige bias (the ratings of well-known males are most inflated by the bias, and so decline more under DBR).

It is possible, as papers cited above argue, that the improvement scores for female authored papers could just be driven by a larger sample of female authors in later conferences. However, that would not explain the differences we find when looking at the paired data.
 Another possibility is that the data do show a bias, but reflect a general improvement in attitudes towards gender over time, rather than an effect of DBR. The fact that the distribution of rankings changed very little between Evolang 9 and 10 and was strikingly different in
 Evolang 11 may suggest that the change in review type played a role, but more data is needed to fully test which hypothesis has more support.

Future studies could consider the issues above, drawing on data from future EvoLang conferences while also gathering data on other aspects of equality, such as racial diversity. For other suggestions of practical steps, see Martin (2014). It is important to note that while DBR might help reduce the effects of reviewer biases, it does not solve the problem of biases themselves. However, there may be a positive indirect effect if double-blind reviewing leads to an increased female participation in conferences. DBR requires more careful organisation of the reviewing process, but appears to have

few negative effects. Therefore, given the possible positive effect of reduced bias and a greater emphasis on merit, it is the recommendation of this paper that double-blind reviewing continues to be used at future EvoLang conferences.

Supplementary data

Supplementary data is available at Journal of Language 40 Evolution online.

Acknowledgements

SR is supported by the Interactional Foundations of Language project and ERC Advanced Grant No. 269484 INTERACT to S. C. Levinson within the Language and Cognition Department at the Max Planck Institute for Psycholinguistics. TV is supported by a Netherlands Organisation for Scientific Research (NWO) Rubicon grant. We would like to thank Julia Udden, and Sonja Vernes for helpful comments.

References 50

Blank, R. M. (1991) 'The Effects of Double-blind Versus Single-blind Reviewing: Experimental Evidence from the American Economic Review', *The American Economic Review*, 1041–67. Budden, A. E., et-al_x(2008) 'Double-blind Review Favours Increased Representation of Female Authors', *Trends in Ecology & Evolution*, 23/1: 4–6.

Cartmill, E. A., et al_x (2014) The Evolution of Language. Proceedings of the 10th International Conference (EvoLang10). World Scientific.

Engqvist, L. and Frommen J. G. (2008) 'Double-blind Peer Review and Gender Publication Bias', *Animal Behaviour*, 76/3: e1–2.

AQ3

AQ4



5

Journal of Language Evolution, 2016, Vol. 00, No. 0

European Commission (2012) Meta-analysis of Gender and Science Research, http://www.genderandscience.org/doc/syn thesis_report.pdf,

AQ6

Knobloch-Westerwick, S., et al. (2013) 'The Matilda Effect in Science Communication an Experiment on Gender Bias in Publication Quality Perceptions and Collaboration Interest', Science Communication, 35/5: 603-25.

Martin, J. L. (2014) 'Ten Simple Rules to Achieve Conference Speaker Gender Balance', PLOS Computational Biology, 10/ 11: e1003903.



Merton, R. K, et al. (1968) 'The Matthew Effect in Science', Science, 159/3810: 56-63.

Primack, R. B., et al. (2009) 'Do Gender, Nationality, or Academic Age Affect Review Decisions? An Analysis of Submissions to the Journal Biological Conservation', Biological Conservation, 142/11: 2415-8.

Roberts, S. G., et al. (2016) The tion of Language. Proceedings of the 11th international conference (EvoLang11), cience Special Issue (2013) 'Women in Science', Science, 495/5 Scott-Phillips, T. C., et al. (2012) The Evolution of Language. Proceedings of the 9th International Conference (EvoLang9). World Scientific.



AQ5

Snodgrass, R. (2006) 'Single-versus Double-blind Reviewing: An Analysis of the Literature', ACM Sigmod Record, 35/3: 8-21.

Terrell, J., et al. (2016) 'Gender bias in open source: Pull request acceptance of women versus men' Technical report, PeerJ PrePrints.

Webb, T. J. et al. (2008) 'Does Double-blind Review Benefit Female Authors?', Trends in Ecology & Evolution, 23/7: 351–3.

Whittaker, R. J. (2008) 'Journal Review and Gender Equality: A 30 Critical Comment on Budden et al', Trends in Ecology & Evolution, 23/9: 478-9.