## Sean R. Sinclair

Contact Northwestern University

Industrial Engineering & Management Sciences Technological Institute 2145 Sheridan Road

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RESEARCH INTERESTS Machine learning algorithms for data-driven sequential decision-making in the framework of reinforcement learning with applications to assist a systems and appreciate greaters and appreciate greaters.

forcement learning, with applications to societal systems and operations management

ACADEMIC Northwestern University

Positions Assistant Professor

Industrial Engineering and Management Science

Evanston, IL August 2024 -

Cambridge, MA July 2023 - July 2024

Massachusetts Institute of Technology

Postdoctoral Associate

Laboratory for Information and Decision Sciences - Faculty Mentors: Devavrat Shah and Ali Jadbabaie

EDUCATION

Cornell University

Ph.D. in Operations Research and Information Engineering M.S. in Operations Research and Information Engineering

- PhD Advisors: Christina Lee Yu and Siddhartha Banerjee

- Thesis: Adaptivity, Structure, and Objectives in Sequential Decision-Making

McGill University

Montreal, QC April 2015

Ithaca, NY May 2023

May 2021

B.S. in Honours Mathematics and Computer Science

(If entry prefaced by \* then authors are ordered alphabetically.)

Working Papers

Sequential Fair Allocation With Replenishments: A Little Envy Goes An Exponentially Long Way

Chido Onyeze, Sean R. Sinclair, Chamsi Hssaine, Sid Banerjee

- Presented at EC Workshop on New Directions in Social Choice (2025)

Reinforcement Learning in MDPs with Information-Ordered Policies Zhongjun Zhang, \* Shipra Agrawal, Ilan Lobel, Sean R. Sinclair, Christina Lee Yu

### The Data-Driven Censored Newsvendor Problem

- \* Chamsi Hssaine, Sean R. Sinclair
- Accepted to Durham Early Career Scholars Workshop (2025)

### Multi-Objective LQR with Linear Scalarization

\* Ali Jadbabaie, Devavrat Shah, Sean R. Sinclair

## Exploiting Exogenous Structure for Sample-Efficient Reinforcement Learning

Jia Wan, Sean R. Sinclair, Devavrat Shah, Martin Wainwright

- Presented at SIGMETRICS Workshop on Causal Inference (2025)
- Presented at ICML Workshop on Aligning Reinforcement Learning Experimentalists and Theorists (2024)

## Artificial Replay: A Meta-Algorithm for Harnessing Historical Data in Bandits

\* Siddhartha Banerjee, Sean R. Sinclair, Milind Tambe, Lily Xu, Christina Lee Yu

### Online Fair Allocation of Perishable Resources

- \* Siddhartha Banerjee, Chamsi Hssaine, Sean R. Sinclair
- Runner-up for the 2024 INFORMS Service Science Best DEIJ Paper Competition

- Presented at ACM SIGMETRICS (2023)
- Presented at EAAMO (2022)
- Presented at Simons Institute Data-Driven Decision Processes Program Workshop: Quantifying Uncertainty: Stochastic, Adversarial, and Beyond (2022)

## Journal Publications

### Adaptive Discretization in Online Reinforcement Learning

Sean R. Sinclair, Siddhartha Banerjee, Christina Lee Yu Operations Research (2022)

# Sequential Fair Allocation: Achieving the Optimal Envy-Efficiency Tradeoff Curve Sean R. Sinclair, Gauri Jain, Siddhartha Banerjee, Christina Lee Yu

Operations Research (2022)

- Finalist for the 2022 INFORMS Diversity, Equity, and Inclusion Best Student Paper Award
- Presented at ACM FORC (2022)

## Adaptive Discretization for Episodic Reinforcement Learning in Metric Spaces

Sean R. Sinclair, Siddhartha Banerjee, Christina Lee Yu

Proceedings of the ACM on Measurement and Analysis of Computing Systems (2019)

- Presented at ACM SIGMETRICS (2020)
- Presented at NeurIPS Workshop on Optimization in Reinforcement Learning (2019)

## Normal and pathological dynamics of platelets in humans

Gabriel P. Langlois, Morgan Craig, Antony R. Humphries, Michael C. Mackey, Joseph M. Mahaffy, Jacques Bélair, Thibault Moulin, Sean R. Sinclair, Liangliang Wang *Journal of Mathematical Biology* (2017)

## Conference Proceedings

## Hindsight Learning for MDPs with Exogenous Inputs

Sean R. Sinclair, Felipe Frujeri, Ching-An Cheng, Luke Marshall, Hugo Barbalho, Jingling Li, Jennifer Neville, Ishai Menache, Adith Swaminathan International Conference on Machine Learning (2023)

### ORSuite: Benchmarking Suite for Sequential Operations Models

\* Christopher Archer, Siddhartha Banerjee, Mayleen Cortez, Carrie Rucker, Sean R. Sinclair, Max Solberg, Qiaomin Xie and Christina Lee Yu

ACM Sigmetrics Performance Evaluation Review (2021)

- Presented at ACM SIGMETRICS Reinforcement Learning for Networks and Queues (2021)

### Sequential Fair Allocation of Limited Resources under Stochastic Demands

Sean R. Sinclair, Gauri Jain, Siddhartha Banerjee, Christina Lee Yu Workshop Paper (2020)

- Presented at Harvard CRCS Workshop on AI for Social Good (2020)
- Presented at Mechanism Design for Social Good Workshop (2020)

### Adaptive Discretization for Model-Based Reinforcement Learning

Sean R. Sinclair, Tianyu Wang, Gauri Jain, Siddhartha Banerjee, Christina Lee Yu Advances in Neural Information Processing Systems (2020)

- Presented at ICML Workshop on Theoretical Foundations of Reinforcement Learning (2020)

## ACADEMIC PRESENTATIONS

## The Data-Driven Censored Newsvendor Problem

- INFORMS Annual Meeting
- INFORMS Applied Probability Society Conference

  July 2025

October 2025

Durham Early Career Scholars Workshop
 June 2025
 INFORMS MSOM Conference
 June 2025

## A Network Analysis of Surety Bonds

- Liberty Mutual February 2025

#### Reinforcement Learning in MDPs with Exogenous Inputs - INFORMS Annual Meeting October 2024 Online Fair Allocation of Perishable Resources Allerton Conference September 2025 IDEAL Workshop: Foundations of Fairness and Accountability November 2024 - INFORMS Annual Meeting October 2024 - TTIC Workshop August 2024 - MIT Sloan School of Management February 2024 LIDS Student Conference January 2024 - INFORMS Annual Meeting October 2023 Hindsight Learning for MDPs with Exogenous Inputs - NYU Stern PhD Seminar October 2024 July 2024 - International Symposium on Mathematical Programming - INFORMS Optimization Society March 2024 Université de Montréal February 2024 - ICML (Poster) July 2023 Online Reinforcement Learning and Regret Simons Institute, Data-Driven Decision Processes Bootcamp August 2022 Summer School: Reinforcement Learning for Operations - Kellogg School of Management, Northwestern University (Talks, Code Demos) August 2022 Sequential Fair Allocation: Achieving the Optimal Envy-Efficiency Tradeoff Curve Northwestern University: Industrial Engineering and Management Science February 2023 - Dartmouth College: Tuck School of Business January 2023 Johns Hopkins University: Cary Business School January 2023 University of Chicago: Booth School of Business January 2023 - University of Toronto: Rotman School of Management January 2023 - University of Illinois, Chicago: Liautaud Business School January 2023 - Northwestern University: Kellogg School of Management December 2022 - École Polytechnique Fédérale de Lausanne: Management of Technology December 2022 December 2022 - Frankfurt School of Finance & Management - University of Michigan: Industrial and Operations Engineering December 2022 - University of Texas, Austin: McCombs School of Business November 2022 - University of Pennsylvania: Wharton School November 2022 - Cornell ORIE Young Researchers Workshop October 2022 - INFORMS Annual Meeting, DEI Best Student Paper Award Session October 2022 - Workshop on Algorithms for Learning and Economics (Talk, Panel) June 2022 - ACM SIGMETRICS June 2022 - University of Michigan Future Leaders Summit April 2022 - Devavrat Shah's Group Meeting at MIT February 2022 Rigorous Systems Research Group at Caltech January 2022

## ORSuite: Benchmarking Suite for Sequential Operations Models

- Microsoft Research Reinforcement Learning Reading Group

- EC Workshop on Operations of People-Centric Systems (Talk, Poster)

- INFORMS Annual Meeting

IJCAI Workshop on AI for Social Good

- ACM SIGMETRICS Reinforcement Learning for Networks and Queues Workshop June 2021

October 2021

August 2021

July 2021

June 2021

## Sequential Fair Allocation of Limited Resources under Stochastic Demands

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|---|-------------------------|--------------------|
| <ul> <li>Mechanism Design for Social</li> </ul> | Good Workshop (Poster)  | August~2020        |

- Harvard CRCS AI for Social Good Workshop

July 2020

|                        |   | Processing Systems (NeurIPS) (Poster) Foundations of Reinforcement Learning Workshop   | December 2020<br>July 2020   |  |
|------------------------|---|--|--|--|
|                        | <ul> <li>ACM SIGMETRIC</li> <li>Jane Street Sympo</li> <li>NeurIPS Workshop</li> <li>Cornell ORIE You</li> <li>Cornell Operations</li> </ul>  |  | tric Spaces July 2020 January 2020 December 2011 October 2011 September 2011 |  |
| Teaching<br>Experience | Instructor  | IEMS 488: Economics and Decision Analysis, Spring western University   | 2026 - North-  |  |
|                        | Instructor  | IEMS 408: Decision Making in Dynamic Learning E<br>Spring 2025 - Northwestern University   | nvironments,   |  |
|                        | Instructor  | IEMS 365: Analytics for Social Good, Winter 2025, Winter 2026, Spring 2026 - Northwestern University   |  |  |
|                        | Teaching Assistant  | ORIE 6590: Approximate Dynamic Programming and Reinforcement Learning, Spring 2021 - Cornell University  |  |  |
|                        | Teaching Assistant  | ORIE 3300: Optimization, Fall 2019 - Cornell University  |  |  |
|                        | Teaching Assistant  | Teaching Assistant ORIE 1380: Data Science for All, Spring 2019 - Cornell University   |  |  |
|                        | Classroom Teacher   | Secondary School Mathematics Teacher with Peace C 2015-2017 - Amankwakrom Junior High School   | Corps Ghana,   |  |
| HONOURS                | Runner-up for the INF<br>Best Presentation, LID<br>Honorable Mention, AC<br>Honorable Mention, Ge<br>Cornell ORIE Young R<br>Finalist for the INFOR<br>EAAMO Doctoral Conso<br>Michigan Institute for I<br>Top Reviewer ICML<br>Top Reviewer AISTAT<br>Outstanding Reviewer<br>Jane Street Symposium<br>Honourable Mention, N<br>Honourable Mention, F<br>First Year Fellowship, S<br>First Class Honours, M<br>Dean's Honour List, M | Selected for the Northwestern Searle Fellows Program Runner-up for the INFORMS Service Science Best DEIJ Paper Competition Best Presentation, LIDS Student Conference Honorable Mention, ACM Sigmetrics Dissertation Award Honorable Mention, George Dantzig Dissertation Award Cornell ORIE Young Researchers Workshop Selected Attendee Finalist for the INFORMS Diversity, Equity, and Inclusion Best Student Paper Award EAAMO Doctoral Consortium Selected Attendee FAccT Doctoral Consortium Selected Attendee Michigan Institute for Data Science Future Leaders Summit Selected Attendee |  |  |

- Research Project Advisor: Client Project Challenge (2025-)

SERVICE

In Northwestern:

Adaptive Discretization for Model-Based Reinforcement Learning

December 2020 July 2020

### In Cornell:

- Mentoring: Graduate Student Mentor with Operations Research Graduate Association (2019-2022) and Office of Academic Diversity Initiatives (2019-2022)
- Operations Research Graduate Association: First Year Colloquium Organizer (2018-2019),
   Secretary (2019-2021), Co-President (2021-2022), URM PhD Application Support Program Officer (2022 2023)

## In Professional Organizations:

- Application Support: Queer in AI (2020-2022)
- Conference Organization: Local Organizer for Stochastic Networks Conference (2022)
- Award Committee: Public Sector Operations Research Best Paper Award (2023)

### In Conferences:

- Session Chair: INFORMS Annual Meeting (2021-), INFORMS Applied Probability Conference (2025-)
- Technical Program Committee: SIGMETRICS (2025-), SIGMETRICS Student Research Competition (SRC) (2025-)
- Referee: EAAMO (2022), AISTATS (2019- 2022), NeurIPS (2020-2022), ICLR (2021-2022),
   ICML (2021-2023), Harvard CRCS Workshop on AI for Social Good (2020), Cornell University
   Mathematical Contest in Modeling (2020), EC (2023)

### In Journals:

Referee: Transactions of Machine Learning Research (2022-), Operations Research (2021-),
 Management Science (2022-), Manufacturing and Service Operations (2023-), Mathematics of Operations Research (2023-), IEEE Transactions on Information Theory (2024-), Computers and Operations Research (2022-), Manufacturing and Service Operations SIG (2024-)

### In Outreach

- MD4SG Advice for Applying to PhD Programs Social (2022)
- Undergraduate Research Night, Cornell Computing and Information Science (2021)
- STEM Preview Day, Cornell Diversity Programs in Engineering (2020, 2021)
- Graduate Student Mentoring Undergraduates Dinner, Office of Diversity Initiatives (2019)
- Leadership Camp for the Deaf, Peace Corps Ghana (2017)
- GLOW + BRO Camp Organizer, YPES Ghana (2017)
- Let Girls Learn Laboratory for Secondary School Education, Peace Corps (2016)
- STARS and GLOW Camp Organizer, Peace Corps Ghana (2016)

## In Media

- Examining Algorithmic Fairness and Accountability

Industry Experience **Research Intern** Microsoft Research Reinforcement Learning Group, Summer 2021

Financial Analyst National Life Group, 2017 - 2018

Advising

⋄ Current Advisees

## Haiqing Gao

Jointly supervised with Seyed Iravani Northwestern IEMS, 2022 -

### Zhongjun Zhang

Northwestern IEMS, 2024 -

 $\diamond$  Undergraduate and High-School Collaborators

Christopher Martin, 2024-2025, Cornell ORIE Undergraduate Dawson Ren, 2023-2024, Northwestern IEMS Undergraduate

Yijia Dai, 2022, Cornell CS Undergraduate
Juntao Ren, 2022, Cornell CS Undergraduate
Logan Kraver, 2022, Cornell CS Undergraduate
Dave Jung, 2022, Cornell CS Undergraduate
David Wolfers, 2021, Cornell CS Undergraduate
Jaoli Bowden, 2021, High School Student
Christopher Archer, 2021, Cornell ORIE Undergraduate
Carrie Rucker, 2021, ORIE Undergraduate
Max Solberg, 2021, Cornell CS Undergraduate
Shashank Pathak, 2021, Cornell ORIE MEng
Gauri Jain, 2020, Cornell CS Undergraduate

OPEN SOURCE SOFTWARE ORSuite: Collection of OpenAI Gym interfaces for studied models in the operations research community, implementation of domain-specific algorithms, and instrumentation for running experiments and comparing performance on multi-criteria objectives, https://github.com/cornell-orie/ORSuite