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Coming of age: a review of embodiment and the neuroscience of semantics.

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Abstract

Over the last decade, there has been an increasing body of work that explores whether sensory and motor information is a necessary part of semantic representation and processing. This is the embodiment hypothesis. This paper presents a theoretical review of this work that is intended to be useful for researchers in the neurosciences and neuropsychology. Beginning with a historical perspective, relevant theories are placed on a continuum from strongly embodied to completely unembodied representations. Predictions are derived and neuroscientific and neuropsychological evidence that could support different theories is reviewed; finally, criticisms of embodiment are discussed. We conclude that strongly embodied and completely disembodied theories are not supported, and that the remaining theories agree that semantic representation involves some form of Convergence Zones (Damasio, 1989) and the activation of modal content. For the future, research must carefully define the boundaries of semantic processing and tackle the representation of abstract entities and elements in language.

Keywords: semantic, concepts, multiple semantics, embodiment, representation.

Introduction

Cognitive embodiment – the hypothesis that cognitive processes of all kinds are rooted in perception and action - has been keenly taken up by numerous researchers in language and cognition. The last ten years has seen a growth in the number of theories within psycholinguistics and the neuroscience of language that have some element of embodiment. In this paper, we review theories that make some explicit statement about the presence (or absence) of sensory and motor information in single word meaning. This review is not intended to be exhaustive. We assume that there is some cognitive information that can be classified as the semantic representation of an individual word, and it is these representations that we are concerned with. Therefore, we include only those theories that make some explicit reference to the representation of word-meaning, either in the methodologies used in support of the theories (e.g. naming, modelling using verbal labels) or in the explication of the theory itself.

The theories will be placed on a continuum from strongly embodied 'full simulation' to un-embodied, 'fully symbolic' systems. This is intended as a position paper, and we will argue that the extreme ends of the continuum are without substantial support. We will discuss the evidence that is needed to support or refute the theories in the center of the continuum. The review also seeks to establish a clear link between theories in psycholinguistics and theories in neuroscience as they substantially overlap in their cognitive and neural predictions for semantic representation. We will begin by recapping the principle ideas that underpin embodied and non-embodied (classic) cognitive approaches; this serves to clarify how theoretical positions differ and where the battle lines are drawn.

Do symbols need bodies? A brief history.

Early cognitive science adopted a symbol processing approach. This was heavily influenced by predicate logic, propositional and computational formalisms (Fodor, 1983; Johnson-Laird, 1993; Simon, 1979; Pylyshyn, 1985) and computation was viewed as an incredibly powerful tool through which to study cognition (Johnson-Laird, 1993). The idea of cognition-as-symbol manipulation provided a means to precisely define and separate psychological processes. 'Cognitive symbols' designate external events and objects, *but their nature as internal entities is the same no matter what they symbolize*. This internal consistency allows processing to be determined by cognitive architecture (Newell & Simon, p.29) rather than by what the symbols represent in the external world. The *structure* of the processor is more important than the content of symbols it manipulates; symbols don't change but processes that create, interpret and manipulate symbols do. Similarly, in computing, binary representation in the form of zeros and ones is able to represent whatever is required, but the real trick is in the processing that manipulates that binary code to do everything from emails to touching up photographs. In this framework, a theory of semantic representation needs to define how words (as symbols) are processed and related to one another, not how they are able to refer to things in the world and not what they are composed of (a symbol has no content, it is a designation).

Symbolic cognition can only be achieved if sensory and motor information is transformed into a qualitatively different format (Pylyshyn, 1985). This transformation is termed transduction, literally transforming from one information type (signal) into another (symbol) so that it can be manipulated by cognitive processes. It is not clear whether this transformation is reversible, i.e. once a cognitive symbol has been established, is the path of the transformation lost? The transformation separates the levels at which cognitive symbols are manipulated (a

symbol processing level) and at which transduction takes place (some prior 'representational' level) (ibid). Thus, *how* an internal representation does designate an external entity is sidelined in favour of defining higher order symbolic processes themselves. This is a non-trivial choice, since it allows theories of conceptual and semantic representation to provide *symbolic* labels for concepts and semantic units, without having to define exactly what it's meaningful content might be. However, the system must be semantically constrained: what the symbol *means* has an influence on processing (Pylyshyn, 1985). If it were not, we would constantly see "semantically deviant" behaviours (ibid, p.36), for example, '1 + 1' would produce the answer 'cheese'. Therefore, cognitive symbols must have consistent and singular reference; i.e. one semantic interpretation. This is unlike true symbolism in which a symbol 'X' can refer to 'cat', 'blue' or 'Wednesday'. Basic, primitive, cognitive symbols cannot take this form and there must be a causal link between cognitive functions and what is represented, otherwise any symbolic explanation is "gratuitous" (Pylyshyn, 1985, p.43). The thorny problem of how symbolic representations refer to things in the world was explicitly recognized (Fodor, 1987; Pylyshyn, 1985; Newell, 1980) but never explained within the symbolic framework. Determining the organization of cognitive processes was more important than establishing its content.

It is the murky process of transduction that forms the crux of the argument against symbolic cognition, termed the 'symbol grounding problem' (Harnad, 1990; Vogt, 2002). This is best illustrated by the Chinese Room Argument (Searle, 1980), a thought experiment in which an English speaker in a closed room receives Chinese symbols through a hatch and returns other Chinese characters according to strict rules, without ever knowing the meaning of the character strings. If symbols are not causally linked to their referents (the person in the room does not know what the

symbols mean), internal manipulation of those symbols is never enough to establish meaning (the person will never know what messages are communicated).

Under the symbolic description, the mental world builds a model of the external world by transducing perceptual input (Clark, 1997). Under the embodied approach, there is only limited modeling of the external world and cognition is about real world action rather than symbolic representation (Clark, 1997; Varela, Thompson & Rosch, 1991). Embodied approaches can be anti-representationalist, rejecting entirely the notion that cognition needs or uses representations of the outside world (Varela, Thompson & Rosch, 1991). However, without the capacity for context independent representation and symbolism of some form, *semantics is not possible*. This is because semantics allows an arbitrary form (the sound of a word or a visual sign) to consistently refer to some entity or concept (it's meaning), whether or not that entity is present in the immediate environment (e.g. a cup of coffee), imaginary (e.g. a unicorn) or tangible (e.g. truth, beauty, liberty). This means that cognition must be able to (a) represent or at least refer things without them being present and (b) represent or refer to things that have never been tangibly experienced. Both the arbitrary relationship between a word-form and its meaning and the capacity for a lexical item to stand for a referent are essentially, philosophically, symbolic capacities. Therefore, we assume that embodied theories of semantics accept the proposal that if on-line cognition (e.g. drinking a cup of coffee) is intimately connected to perception and action, in order to maintain a coherent system *off-line cognition should also be embodied* (i.e. thinking about drinking a cup of coffee). In other words, off-line cognition will depend upon perceptual and motor systems. For example, the problem of designation can be partially solved by pushing the world inside the mind, what Jackendoff (2002) terms the 'mentalist enterprise' (see Lakoff, 1987 and Frith, 2007, for a similar view). The mind constructs "cognitive

structures in response to inputs from the senses" (Jackendoff, p.299) which form the basis of conceptual representation. There is no longer a need to refer to things 'outside' the mind, because the world is constructed by the mind in response to experiential input – sensory and motor information.

How can a system which is intimately tied to real-world action and dynamic, on-line, processes have stable representations? The answer may be through *simulation*:

"In general, the function of these sensory-motor resources is to run a simulation of some aspect of the physical world, as a means of representing information or drawing inferences." (Wilson, 2002, p.633).

On experiencing a thing, like a cup of coffee, we have various sensory (taste, smell, touch) and motor (drinking) experiences. When we hear the words "cup of coffee", embodiment states that we re-construct in some form that sensory and motor information. Embodiment focuses on the content of cognitive representations and from that derives organizational principles.

The environment has to be internalised somehow, but instead of transducing the signal into a symbolic format, the signal is recreated. This claim is the most relevant for our purposes, since it directly links to semantic representation and it translates into a simple statement:

The content of semantic representation is sensory and motor information.

Theories of Semantics

In line with symbol processing, early theories of semantic representation focused on how words are related to each-other; i.e., how the semantic system is organized (e.g. Quillian, 1968; Collins & Loftus, 1975; Levelt, 1989). For example, lexical items might be holistic nodes in a connected network (Quillian, Collins & Loftus, 1975), or emerge from a collection of features or attributes (Smith, Shoben & Rips, 1974). More recently, attributive features, such as "red", "sweet" and "round" for 'cherry', have provided the basis for semantic similarity and the grouping of lexical items (Farah & McClelland, 1991; McRae, de Sa, Seidenberg, 1997; Tyler & Moss, 2001; Jackendoff, 2002; Vigliocco, Vinson, Lewis & Garrett, 2004; Rogers et al, 2004). As well as attributive features, statistics of co-occurrence (distributive information) have also been put forward. This information links words which appear in the same contexts, like 'bank' appearing in the same paragraph as 'bonus', and words that appear together and are lexically associated like 'cat and mouse' (Landauer & Dumais, 1997; Vigliocco et al, 2008; Simmons, Hamann et al, 2008).

For theories of semantics, the focus on the organisation has been successful. Theories of semantics have proposed explanations for extant empirical findings from semantic priming or interference paradigms, and patterns of semantic impairments in neuropsychological studies. However, until recently it has meant that the question of semantic content, i.e. what is the 'stuff' of semantics, has been partly neglected. It is no longer enough to propose that attributive features such as "red", "round" and "sweet" make up the semantic representation of the word 'cherry'; what is the nature of the feature "red"? The way this question is answered can be understood by placing theories on a continuum; this separates out what assumptions are made about the content of semantic representations. Degree of embodiment is used as

the primary classification, from symbolic/amodal to analogue/multimodal; producing four categories (see 'Label' on the continuum).

A number of other parameters parallel this classification and are important when considering what predictions the theories make. 'Relationship to sensory-motor systems' defines the degree of dependence or independence that semantic content has from sensory and motor information. 'Explanation of interactions' refers to how theories explain the now large body of evidence that shows interactions between the processing of semantic content and sensory-motor information. 'Neural implementation' defines how these theories would look in the brain (some make explicit neuroscientific predictions and others do not). Note that it is not simply a case of all-or-nothing embodiment.

----- Insert Figure 1 about here -----

Un-embodied theories

These theories posit no role for sensory and motor information in semantic representation. Semantic information is truly symbolic and there is an arbitrary relationship between the format in which semantic information is represented when compared to the information to which it refers. As in the classic cognitive approach, the emphasis is on the organisation of the system. Semantic information is

completely independent from sensory and motor systems, so it predicts no impairments in semantic processing if sensory and motor systems are disrupted. Any interactions between semantic content and sensory-motor systems are explained by an indirect route, for example, semantic information affects working memory processes which may then engage sensory and motor capacities. There is no direct route through which semantic information contacts sensory and motor information. Neurally, we might expect there to be no temporal or topographical overlap between areas that respond to semantic tasks and areas that respond to sensory and motor information.

For Levelt (1989), language *must* be propositional, i.e. symbolic, and the preverbal message initiates the activation of specific lexical items that are holistic, symbolic lexical representations (lemmas), which are selected from the lexicon. In Latent Semantic Analysis (LSA, Landauer & Dumais, 1997) the meaning of a word is defined by its relation to other words, rather than by what it refers to. Thus the meaning of a word is defined as a set of abstracted symbols (vectors). This is similar to the early network models (Quillian, 1968; Collins & Loftus, 1975) which define similarity as associations between individual items; LSA represents these associations as probabilities of co-occurrence in text.

Secondary embodiment

These theories propose that the format of semantic representations is amodal, i.e. modality invariant, but they do not go so far as to introduce a hard boundary between semantic representation and sensory and motor content. There is a non-arbitrary relationship between semantic representations and sensory-motor content.

For Patterson, Rogers and colleagues this is because amodal semantic representations are derived from mappings across sensory and motor input. For Mahon & Carmazza it is because amodal conceptual representations are instantiated by retrieving sensory and motor information. For Quillian, it is because the properties that make up semantic content are likely to be taken from the same store that supports perception. In all cases, we can say that the semantic system is independent of but directly associated with sensory and motor information; thus we might expect some mild impairment when sensory and motor information is disrupted, but this would be minor in comparison to disruption of the amodal, abstract semantic system 'proper'. Note here the difficulty in quantitatively defining mild, moderate and severe disruption (see below for our attempt at doing this). Interactions between semantics and sensory motor information are explained via the non-arbitrary, associative connections. The activity of modality specific systems that process sensory and motor information is altered by to the connections that mediate between semantic and sensory and motor information; thus interactions are explained by mediation. Neurally, there may be one location that serves as a semantic hub in combination with distributed sensory and motor locations (Rogers et al, 2004; Patterson et al, 2008).

Patterson, Rogers and colleagues (2004, 2007) propose that the anterior temporal lobes provide the location for a semantic system which maps between modality-specific information from different domains – it is not clear whether this mapping is reciprocal or unilateral. As such it does not "code explicit semantic content" (Rogers et al, p.206) as it abstracts away from modality specific attributes. These attributes are represented in a widely distributed network of modality specific cortical regions, such as the motor and visual cortices. Mahon & Caramazza (2008) propose that semantic content is 'grounded by interaction' with sensory and motor information.

Here, all concepts are represented at an abstract, amodal level not constituted by sensory and motor information, but when a specific instance of a concept is realised there follows the passive activation of specific sensory and motor information. Therefore, the disruption of sensory and motor information results in "impoverished or 'isolated' concepts". Interactions are explained away by "spreading activation" from abstract representations to modality specific input, due to non-arbitrary connections (which must be reciprocal for spreading activation to occur) between semantic representations and the sensory and motor experiences they are derived from. At the least, this relegates activity in modality specific areas to being functionally inconsequential as it results from the 'bleeding' of activity from elsewhere. At best, spreading activation makes modality specific activity secondary to the essential amodal semantic information; with a functional role only when an amodal concept has been instantiated. We will return to this point later.

Weak embodiment

This group of theories propose that semantic representations are at least partly constituted by sensory-motor information. Any sensory or motor information that is activated when semantic processing takes place is contentfull, namely it has a representational role, rather than being accessed secondary to abstract information that is considered 'true' semantics (as above). One consequence of this is that a certain degree of abstraction takes place within that sensory and motor information itself. Integration of features within and between modalities produces more holistic representations that we might expect to be neurally located adjacent to modality specific cortical areas which process the experience of the represented entities. This is most clearly spelled out in Simmons & Barsalou (2003), but a similar idea is presented and modelled in Vigliocco et al (2004). As sensory and motor information

has a representational role, there is a partial dependence on sensory and motor systems, although not on primary cortical regions. Interaction effects between word processing and perception/action are explained by mediation of a stronger form. Semantic processing involves areas adjacent to and reciprocally linked to primary sensory and motor areas. Activation of semantic content will be able to influence processing in primary areas, and vice versa, through those links. Interactions may be more or less potent depending on the strength, number and activity of the connections; and may be influenced by task demands (e.g. top-down influences from attention that strengthen the influence on primary sensory and motor processing, by making particular task stimuli more salient).

Farah & McClelland (1991) defined representations as patterns of activation across 'visual' and 'functional' input units. Visual inputs encode visual features, e.g. colour and shape, whereas functional inputs encoded 'use' information, e.g. that mice are kept as pets or that a broom is used to sweep. The content of semantic representation is cross-modal, with combinations of features from different modalities aggregated into semantic representations for particular entities (in this case objects). The Featural and Unitary Semantic Space hypothesis (FUSS, Vigliocco, Vinson, Lewis & Garrett, 2004) assumes that conceptual structure is organized by feature type, such as those that are modality specific (visual, motor etc.). A separate semantic level is derived from conceptual structure as features are bound together into lexico-semantic representations; these supervene over the individual features that define them but they are at least partly grounded in sensory and motor representations. Simmons and Barsalou (2003) more explicitly extend Damasio's Convergence Zone theory (CZ) with the Similarity in Topography (SIT) principle. See Plaut (2002) for a similar computational approach that models semantic representations with "a graded degree of modality specificity" (p. 613, *ibid*)

that depend on their proximity to modality specific regions. Neurons in early sensory and motor cortices respond to modality specific features, and higher order 'convergence zones' in association cortices respond to patterns of these features for a particular input (e.g. the visual input from seeing a cat). For Damasio (1989), CZs do not perform a representational role, but act to re-instate patterns of activity in lower CZs when representation is required. Representation is therefore not possible without both higher and lower order CZs. Simmons & Barsalou (2003) instead propose that patterns of activity in higher order CZs do act as stand-alone representations; so damage to lower CZs would not remove the capacity for representation. The additional SIT principle allows semantic structure to be derived from the cortical representation of sensory and motor information. Featural similarities are reproduced topographically such that "The spatial proximity of two neurons in a CZ reflects the similarity of the features they conjoin." (ibid, p.457).

There are then two theories which can be classified as both weak and/or strong embodiment, we note here that the predictions are not mutually exclusive: it depends where one draws the line at what is considered to be semantic processing. It should be becoming clear that as we move from abstract/symbolic theories to strong versions of embodiment, the scope of what is considered semantic widens to include more and more sensory and motor processing, until we end up with 'full simulation' being necessary for semantics.

Pulvermüller (1999) proposed a neurally motivated account of word meaning, grounded in Hebbian learning. Assemblies of neural populations in distinct cortical areas act together to achieve representation, producing distributed networks of co-activated regions. Activation is defined spatio-temporally across participating cortical regions. For semantics, the essential process is the association of areas relating to the word form with areas relating to the perceptions and actions to which the word

refers. Coactivated neurons "develop into a higher-order assembly" (p.260, 1999). Areas within an assembly show stimulus specificity only if other areas of the assembly are intact (Pulvermüller, 2001), suggesting that the representations are not robust given damage in one part of the assembly – if true, the theory is strongly embodied as representation is dependent on sensory and motor information.

Using a nominal twist on the classically symbolic Physical Symbol Systems (Newell, 1980), the Perceptual Symbol Systems (PcSS) account is the most wide-ranging theory of how simulation might drive human cognition (Barsalou, 1999). Perceptual Symbols (PcS) are based in the sensory and motor neural systems that are active when a percept is experienced. Complete neural activations generated by perceiving a particular entity are not recreated, rather, attentionally selected elements of that activation are produced: "the symbol formation process selects and stores a subset of the active neurons in a perceptual state" (Barsalou, 1999, p.584). For lexical items, a simulation instantiates the word, varying according to the sentential context: "As comprehension proceeds, representations of individuals develop, as in the perception of a physical scene." (Barsalou, 1999, p.605). Therefore, on the one hand we have abstracted information (a subset of a perceptual state) that could be classed as weakly embodied and simulation during comprehension that could be classed as strongly embodied.

Strong embodiment

In strong embodiment, low level sensory and motor information is activated in primary cortical areas as part of routine semantic processing. This effectively pushes semantics out into primary cortical areas and makes it completely dependent on

sensory and motor systems. Interactions are no longer explained by mediation, i.e. semantic information influencing early sensory and motor processing from 'the outside'. Instead, sensory and motor systems are directly modulated by semantic processing, since they are used to represent semantic content during 'simulation'. Note that Zwaan (2004) and Glenberg and colleagues (2000; 2003) are more directly concerned with narrative comprehension, which lends itself to the construction of situation models and fits intuitively with simulation. These theories all subscribe to what we will call 'full simulation': the re-creation of direct experience through the modulation of activity in primary sensory and motor areas. Semantics uses the same resources as sensory motor processing, and they are essentially isomorphic. Recall that both Pulvermüller (2001) and Barsalou (1999) are also compatible with strong embodiment.

One of the strongest formulations of embodied semantic representation comes from Gallese & Lakoff (2005). This theory proposes a neural account of how embodied content underpins conceptual representation. The same neural substrates are used for perceiving/doing, imagining and linguistic understanding. The authors define *functional clusters* which perform multi-modal integration *within* a given modal area – rather than having cross-modal integration in association areas outside primary modal areas (as for CZs and weak embodiment). *Functional clusters* perform *action simulations* to achieve representation. Thus, representations are organized by the structure of sensory and motor systems and exhausted by them.

Zwaan's (2004) Immersed Experiencer Framework (IEF) is a comprehensive theory of how embodied processes might work during language comprehension.

Comprehension involves the simulation of whatever the language describes, and this simulation necessarily recruits sensory and motor representations. The IEF offers a detailed account of the comprehension process, stressing the 'vicarious experience'

that is the product of comprehension. Perceptual and motor representations are necessary since comprehension is proposed to be the "reconstruction of an experience with its referent [that requires the] integration and sequencing of traces from actual experience cued by the linguistic input" (p.38).

The indexical hypothesis (Glenberg & Robertson, 2000; Glenberg & Kaschak, 2003) seeks to link language, and specifically semantics, to the preparation of action within the environment. The theory proposes that "the meaning of a situation to an individual consists of set of potential actions available to that individual in that situation" (Glenberg & Kaschak, 2003, p.100), these are termed *affordances*. Words are *Indexed* to objects in the environment or to perceptual symbols (Barsalou, 1999), which stand for real world objects. *Affordances* are then derived from the perceptual symbols, which lend themselves easily to this since they simulate the situation described in the sentence, necessarily recruiting sensory and motor information in a context dependent way. A simulation of the situation is performed and this is how comprehension is possible. It is not completely clear whether these theories assume strong embodiment at the lexical level; it is interesting to note that strong embodiment appears more intuitive as soon as we move outside the lexicon, and towards mental models and imagery.

Summary

We have placed theories of semantic representation on a continuum from 'fully symbolic' to 'full simulation'. It is true that within the neuroscientific literature - sensory and motor cortices are routinely activated during semantic processing of concrete objects and actions but whether this constitutes a case for embodiment or

not has not been explicitly debated (see below) (Martin, 2007; Patterson et al, 2008; Thompson-Schill, 2003). Alongside growing behavioural evidence of interactions between language and sensory and motor processes (see Meteyard & Vigliocco, 2008), we feel it is reasonable to reject the extreme left of the continuum representing 'fully symbolic' semantics. Thus, in the remaining sections of the paper we focus on discussing secondary, weak and strong embodiment.

The controversy between symbolic vs. embodied views as discussed in cognitive science also encompasses the opposition between unitary vs. multiple semantic systems as discussed in cognitive neuroscience and neuropsychology. Since cognitive and psycholinguistic theory has taken on board embodied proposals, the theoretical debates can be joined to those in neuroscience. In neuroscience, unitary versus multiple semantic systems have been opposed. A unitary semantic system is one in which the same set of representations are used across all modalities of input and output, and supervene over different kinds of sensory and motor information (Caramazza, Hillis, Rapp, & Romani, 1990; Lambon Ralph, Graham, Patterson, & Hodges, 1999; Patterson et al, 2007; Plaut, 2002; Rapp, Hillis, & Caramazza, 1993). This is similar to fully symbolic, or derived embodiment, as representations are essentially abstracted from a particular input or information type. The neural predictions are therefore similar to those for the left hand side of the continuum. For example, the anterior temporal regions orchestrate semantic representation by mapping across all modalities (Patterson et al, 2008; Bright, Moss & Tyler, 2004).

In contrast to this, multiple semantics states that several sub-systems are distributed across cortical regions, and organized by input modality, sensory and motor attributes or category relationships. A 'complete' representation would be defined across all of these sub-systems, and different parts might be more or less recruited depending on the task (e.g. shape judgment versus category judgment)

(Paivio, 1971; 1986; Warrington & Shallice, 1984; Thompson-Schill et al, 2003; Martin, 2007). This is similar to weak embodiment as representations are located adjacent or close to the sensory and motor content from which they are derived. The neural predictions are therefore similar and predict distributed, modality specific regions. For example, ventral temporal regions represent colour and form, left lateral temporal regions for motion and parietal regions for size (Thompson-Schill et al, 2003). Neuroscientific theories do not conform to strongly embodied ideas, some degree of abstraction from primary regions is assumed, and across all theories it is recognized that higher order conjunctions must be extracted for conceptual representation to begin (e.g. those that constitute shape, size or motion), and that this process of abstraction and feature conjunction is especially evident for objects in the ventral temporal stream (e.g. Bright, Moss & Tyler, 2004; Barense et al, 2005).

Deciding between theories: hypotheses and evidence

Secondary, weak and strong embodiment can be distinguished on the basis of imaging and patient data.

Imaging Data.

Strong embodiment predicts activation of primary sensory and motor cortices across all semantic tasks.

Strong embodiment proposes 'full simulation' - the re-enactment of sensory and motor activations (to a greater or lesser degree) produced during real experience. Thus, these theories predict that primary sensory and motor cortices should be engaged during semantic processing, since these areas process the raw stuff of

experience. Neither weak nor secondary/derived embodiment theories subscribe to this prediction.

Weakly embodied theories specifically predict activation of areas anterior or adjacent to primary sensory and motor cortices across all semantic tasks for words with sensory and motor content. This sharply contrasts with the predictions of secondary embodiment according to which we should observe activation across all semantic tasks of cortical regions that (a) do not overlap with areas known to process sensory and motor information and (b) cannot be interpreted as performing other functions implicated in a task, as we shall discuss in more detail below.

Weak embodiment proposes that modality specific activations should be adjacent, or anterior to primary sensory or motor cortices that process real experience. This evidence goes against strong embodiment as it does not follow 'full simulation' (see above) and against secondary/derived embodiment as it implies a representational role for sensory and motor information. Semantic information could be reliant on association areas that integrate modal information and abstract away from 'raw' information processed in the primary sensory and motor cortices, rather than semantic processing directly requiring the primary cortices themselves.

Distinct modal information could be integrated within the modal areas themselves, rather than requiring a separate association area, as proposed by Damasio (1989) and expanded by Simmons & Barsalou (2003, see also Kemmerer, 2009). For example, Gallese & Lakoff (2005) cite evidence from monkey studies which show neurons in premotor areas selectively responding to motor, visual and somatosensory information for the purpose of controlling movements in peripersonal space. However, this does not preclude the possibility of separate (but proximal)

areas that perform feature conjunctions and cross-modal integration for the purposes of semantic representation.

An interesting phenomena that may shed some light on this is the 'anterior shift' noted by Thompson-Schill (2003) and explored alongside other cortical gradients (e.g. ventral-dorsal) by Chatterjee (2008, 2010). This is the finding that the areas activated by semantic processing are not iso-morphic to those used in direct experience, but are shifted anterior to those areas. For example, Martin, Wiggs et al (Nature, 1996) find that for animals versus tools, there are various areas close to, but not identical with, areas used in perception and action. Other examples can be found in Wallentin et al (2005) who found an area anterior to MT/V5 active for sentences that described actual and fictive motion (for a wider review see also Chatterjee, 2010) and Willems et al (2009, 2010) who find activity in pre-motor, not primary motor, cortices for action understanding.

The anterior shift supports the proposals from weak embodiment that sensory motor information may be abstracted from direct experience, rather than a simulation of it. The possibility of moving away from simulation as an explanatory mechanism is interesting, and it is also in line with findings from the neuroscience of conceptual processing. It does not require a move away from modality specific information, the central idea being that there is a move from simple features conjunctions, for example within one modality, to more complex conjunctions that could cross modalities (e.g. Acres, 2008; Damasio, 1989; Murray & Bussey, 1999; Lerner et al, 2001) and represent more schematic and abstract information (Chatterjee, 2010). Modality specific activations claimed by strong embodiment to be a 'simulation' may instead be the activation of feature conjunctions sufficient to represent a given object, or word. For example, Bar et al (2001) found that activity in the ventral

stream was more anterior when participants recognised very briefly presented object pictures, as compared to perceiving a shape without object recognition. If we progress down this route, attractive because of the converging evidence, it is questionable whether the simulation of real experience is necessary for semantic representation (see also work by Tyler and colleagues on object concepts, e.g. Tyler et al, 2004; Taylor, Stamatakis & Tyler, 2009).

Patterson and colleagues (e.g., Patterson et al., 2008) put forward an anatomically defined theory that falls under secondary/derived embodiment. Their clear proposal is that the amodal semantic system is localized in anterior temporal areas. Such localization is inferred primarily on the basis of the anatomo-behavioural correlations observed in patients suffering from semantic dementia (SD, e.g. Jeffries, Patterson, Jones & Lambon-Ralph, 2009). Until recently, functional imaging of the regions around the anterior temporal lobes (ATLs) has been hindered by signal drop out (e.g. Schwarzbauer et al, 2009; Devlin et al, 2000), but other techniques, such as repetitive Transcranial Magnetic Stimulation (rTMS) have produced converging evidence for the role of the ATLs in semantic processing for concrete and abstract words (Pobric, Lambon-Ralph & Jeffries, 2009). However, the potentially diffuse damage in SD and changed activity in distal regions connected to the ATLs in rTMS, mean that the ATLs may not be the stand-alone region dedicated to semantic and conceptual representation; especially when considering evidence that implicates the ATLs in the representation of unique entities and social/affective knowledge (Simmons & Martin, 2009).

To recap, secondary/derived embodiment states that the core of semantic representation is some abstract, amodal information. It is connected to sensory and motor information which may then be activated when particular concepts are instantiated or because activation spreads in a functionally unimportant way (Mahon

& Caramazza, 2008). This means that 'true' semantic activation in the brain cannot overlap with sensory and motor cortices (see above). It also means that activations that appear across semantic tasks must be carefully teased apart to separate those areas that are active because they represent semantic information, and those areas that are active because they perform some task related control function, such as lexical selection. For example, Corbett, Jeffries, Ehsan and Lambon-Ralph (2009) argued that the performance of patients with semantic dementia (with damage to the anterior temporal lobes) reflects a central impairment of amodal semantic representations, whereas the performance of patients with semantic aphasic deficits following stroke (with damage to temporo-parietal and frontal regions) reflects damage to control processes. Control mechanisms might be those proposed by Schnur and colleagues (2009), who argue that Broca's area – a region routinely active in language tasks of all kinds – is involved in selecting amongst competing representations.

Related to these issues are the arguments that certain cortical regions, considered to process sensory or motor information, actually represent/process more abstract and potentially grammatical information. For example, Bedny et al (2008) argued that activations for verbs versus nouns in the posterior-lateral-temporal cortex reflected event concepts (i.e. an abstract category for verbs) rather than sensory information related to visual or motion attributes. The fact that activations around area MT for verbs appear to be proximal but not identical to those areas involved in motion processing is dealt with in more detail below (and see Chatterjee, 2010).

In sum, the imaging data can at present be taken to support either weak embodiment, with some form of distributed convergence zones that represent modality specific information and provide semantic content, or derived/secondary

embodiment, with an independent region or region(s) that represent abstract, amodal information that is derived from sensory and motor regions.

Lexico-semantics, narrative and imagery

Two of the theories that we have classed as strongly embodied refer to sentential and narrative level processes (Glenberg & Kaschak, 2003; Zwaan, 2004), it is possible that different amounts of sensory and motor information are recruited depending on the depth of semantic processing that is required for a task. One difficulty lies in defining what counts as semantic processing and what counts as deeper, or more 'explicit' processes. Even within language processing there are likely to be differences between single word, sentence and narrative comprehension (e.g. Zwaan, 2004). Evidence has been put forward that sensory and motor information is not accessed when judgments can be made on the basis of lexical associations alone (e.g. Simmons et al, 2008). However, evidence in support of strong and weak embodiment demonstrates the involvement of sensory and motor information at the single word level for passive comprehension tasks (e.g. Meteyard et al, 2008; Pulvermüller, Harle & Hummel, 2001).

There is evidence that primary regions involved in perception are engaged during imagery tasks (e.g. Kosslyn, Thompson, Kim & Alpert, 2000). If we assume that narrative comprehension comes closer to conscious imagery than single word or sentence comprehension, it is not surprising to find evidence that areas involved in navigation (hippocampal and parahippocampal regions) and action planning (premotor regions) are engaged when reading a narrative that includes movement/navigation or interacting with objects respectively (Speer et al, 2009). Such evidence does not allow us to distinguish between strong and weak

embodiment given that weak embodiment would predict activation of primary sensory and motor cortices *only* for tasks requiring the integration of semantic representations (e.g. resolving ambiguities, sentence comprehension, narrative).

It is plausible that primary areas are more engaged the closer semantic processing comes to mental imagery. Because of the thorny issue of where we draw the line between 'semantic representation' and other processes (such as building a situation model during narrative comprehension or generating conscious mental imagery), evidence of this type cannot unequivocally point to a strong version of embodiment. Kemmerer (2010) notes that there is a paucity of work that helps to separate semantic representations from those processed during actual perception and action, and those used during explicit imagery. Preliminary data that addresses this distinction finds dissociations between action verb understanding and motor imagery. In strongly embodied views, simulation during semantic processing uses identical substrates to motor imagery (Gallese and Lakoff, 2005). Willems et al (2009, 2010) posited that motor activations during semantic processing of motor verbs are pre-enactments (simulation of future actions), in order to facilitate future action planning. This is in line with the embodied view that comprehension of language links directly to action within in an environment (see also *affordances*, e.g. Glenberg & Kaschak, 2003). In contrast, motor imagery is a more reflective process that involves covert enactment, requiring generation of an action plan and predictions about an action's sensory consequences. Willems et al (2009) compared neural activity during action verb processing (lexical decision) with explicit mental imagery of the same actions. Actions were either manual (e.g. 'grasp') or non-manual (e.g. 'giggle'). Results showed effector specific activation for both lexical decision and motor imagery in premotor areas, but activity in the primary motor cortex was only found for motor imagery. Willems et al (2009) emphasize that (in light of the data)

embodied semantics must distinguish semantic processing from explicit mental imagery (see also van Elk et al, 2010, described in more detail below). We fully support this conclusion. For those theories that subscribe to 'full simulation', there need to be clear statements about what counts as semantic processing and what distinguishes it from mental imagery and perception. Barsalou (1999) distinguishes representation from imagery and perception by making simulations schematic and unconscious, however, it is not clear whether this still counts as simulation, or whether we might now think of this as accessing more abstract, categorised modal information that is more in line with weak embodiment.

In all likelihood, the answer lies in looking at a continuum of processing 'depth' that depends on the task (e.g. lexical decision, sentence to picture comprehension, narrative and discourse understanding) rather than categorically delineating one process from another.

Willems et al (2009, 2010) conclude that imagery and lexico-semantic activation serve different functions and therefore rely on different neural substrates. Motor activation during semantic processing may serve predictive functions (in order to make communication effective) whereas explicit imagery may be reflective, occurring after a word has been at least partially understood. Understanding action words engages regions involved in motor planning. Imagery is reflective, and engages regions involved in motor planning as well as regions involved in motor execution. This focus on the *function* of the two processes is welcome. For all tasks, we must ask – what purpose does it serve? It cannot be stated strongly enough the importance of understanding how a task taps the process of interest, and where the effect of interest might arise. Valid criticisms can be levelled at data taken to support embodiment because an interaction between conceptual/semantic and sensory-motor processing could arise from 'simulation', or from top-down effects on

attention and decision processes (Chatterjee, 2010; Mahon & Caramazze, 2008; Meteyard et al, 2008). Similarly, in the imaging literature, careful consideration must be paid to (a) where activation occurs (see above re: the anterior shift); (b) what other activations occur – the presence of motor activations during the comprehension of motor language should not lead to other activations being sidelined as regards explanatory potential (Chatterjee, 2010); and (c) to what extent sensory-motor activation can be attributed to necessary & sufficient 'simulation' rather than, for example, individual variation in experience (e.g. Beilock et al, 2008).

Individual Differences

An interesting but important aside comes from recent evidence that shows how individual differences shape the nature of motor activations seen during comprehension tasks. Willems et al (2010) explored how handedness influences motor activity during semantic processing of words referring to manual actions. They found that right handers activated the left premotor cortex during lexical decisions on manual actions, and left handers the right premotor areas. These results show that the motor component of manual-action verb semantics is body specific, and therefore shaped by actions one has performed (egocentric motor activity). Similarly, Beilock et al (2008) and Lyons et al (2009) found that experienced players of ice-hockey showed greater activations in the left premotor cortex for sentence referring to hockey actions (e.g. "The hockey player held onto the puck") compared to non-hockey players, these differences were not present for everyday actions (e.g. "the individual closed the book"), for which both groups had similar levels of experience. In sum, personal experience is an important influence on the nature and degree of motor activation observed during comprehension. An

extension of this argument is that activation of one's own motor system may not be essential for comprehension (we wouldn't argue that non-hockey players couldn't understand the described hockey actions) but a lesser degree of motor activity might suffice.

Electrophysiology

Predictions thus far have focused on the topography of the brain, looking for activations in regions known to process modality specific (i.e. sensory and/or motor) information when participants complete linguistic tasks. These studies are open to the (valid) criticism of poor temporal resolution, such that activations represented by BOLD responses may reflect 'post-lexical' processing involved in mental imagery or strategic responses to the task (Toni et al, 2008). Whilst it is not straightforward to make specific temporal predictions from embodied theories, one hypothesis produced from both strong and weak embodiment (and explainable by secondary embodiment) is that sensory and motor activity should occur early. More specifically, it should occur within the time-frame that we know lexico-semantic processing occurs, as opposed to, say, phonological processing. A recent paper has shed some light on the time-frames in question. Sahin et al (2009) took intra-cranial recordings in Broca's area during a word-production task (reading aloud, or producing a word within a sentence frame that demanded implicit or explicit inflection). They found distinct sequential peaks in activity that corresponded to lexical retrieval (200ms), syntactic/inflectional processes (320ms) and phonological changes to the word (450ms). From this study, we can say that the critical time period is therefore around 200ms post exposure to some target word. A review of neurophysiological studies (EEG, MEG and TMS) exploring the motor content of action words found that activation of the motor cortex is significant at around this time-frame (e.g. 200-

250ms) (Hauk, Shtyrov & Pulvermüller, 2008). In addition, effects are not dependent on whether attention is focused on the motoric/action content of the stimuli, strengthening the conclusion that the activity is a necessary part of lexico-semantic access (Hauk, Shtyrov & Pulvermüller, 2008).

One recent study has begun to explore neurophysiological changes in some detail. van Elk et al (2010) took EEG recordings whilst participants read action sentences and occasionally made judgements about whether a presented target word was related to the sentence they had just read. Critical sentences described animal actions (e.g. the deer jumps over the fence) or human actions (e.g. the athlete jumps over the hurdle) using the same verbs. To stay on topic, we will focus on the early changes only (note that additional changes were observed for beta-frequency bands and the N400, linking early motor activity to linguistic parameters such as the cloze probability of the sentence constituents). They found changes in the mu-frequency band from 160-520ms after verb onset that was focused over frontal and central scalp regions (source analysis localised this activity to the pre-central gyrus); critically preceding the N400 component classically related to semantic integration processes. These early mu-frequency changes were stronger for verbs within an animal context as compared to a human context, not predicted by mental imagery accounts which might propose stronger activation for actions one is able to perform/imagine. In contrast, the authors argued that this increased activity reflected stronger activation of motor information in order to understand actions that the listener cannot perform. In addition, mu-frequency changes have previously been associated to action observation and execution, strengthening the argument that they reflected true motor activation during the comprehension of sentences describing actions (van Elk et al, 2010).

In sum, data from neurophysiological studies come out broadly in support of weak or strong embodiment, with early activation of motor regions. To the best of our knowledge, data is only available for motor activations. The study by van Elk et al (2010) begins to relate such motor activations to other parameters known to influence linguistic processing (e.g. cloze probabilities), and future studies would do well to do the same. For example, what is the relationship between the spatial and temporal signatures of motor activations and lexical parameters such as frequency, concreteness, and familiarity? Given that larger activations are seen for less frequent words (Sahin et al, 2009), will motor activations for action words that are lower in frequency also be greater, reflecting a relative increase in the difficulty of retrieval? As with some of the imaging studies cited so far, and the patient studies discussed below, the issue of appropriate control items is critical here. It is absolutely necessary to compare action verbs to non-action verbs, or verbs with different modal content (e.g. those referring to visual, haptic or auditory events). Only then can modality specific activations be truly attributed to embodied content.

Patient Studies

In parallel of what we have done above concerning imaging studies, we list here the predictions of the different theories and discuss the available patients' evidence. Let us start by considering evidence from damage to sensory or motor systems. Strong embodiment predicts that deficits in sensory or motor systems will cause severe impairments (inability to complete the task, very high error rates) in processing words that refer to things with those sensory or motor attributes. Weak embodiment, instead, predicts that such impairments will not be severe but moderate (significantly more errors or longer reaction times). Finally, secondary/derived embodiment

predicts that deficits in sensory or motor systems will cause no impairments in processing words that refer to things with those sensory or motor attributes. Even allowing for some mild degree of impairment as a consequence of sensory and motor systems damage, this impairment will be significantly less than that seen when the semantic system 'proper' is damaged.

The crucial data to support strong embodiment would come from studies reporting patients impaired in processing content specific lexical items (e.g. words referring to motor actions, or specific visual events such as motion, colour or shape) alongside an impairment in processing that particular sensory or motor content (e.g. executing motor actions, perceiving/recognising motion, colour or shape). Crucially, the impairment must not extend to control words from other sensory-motor domains.

At present such data does not exist. Nonetheless there are studies that have attempted to address this question, unfortunately, not providing clear evidence. Mahon and Caramazza (2007) studied patients with Ideational Apraxia. Following Warrington (REF), these patient would be specifically impaired in their ability to recall previously well-established actions, such as those involved in object use. The argument has been made that the study of Apraxia is informative as it helps to test whether motor production processes are critical in the conceptual representation of objects and actions. Mahon and Caramazza asked their participants to use and recognize objects and to imitate and recognize pantomimes. They observed differing results at the group and single-case levels. Single cases were analysed separately as group level analyses could mask single case dissociations that differ with the group pattern.

At the group level (37 patients), positive correlations were found between object use and pantomime recognition, pantomime imitation and object recognition, thus

suggesting that the motor production processes engaged when using objects were also involved in recognizing those objects and their associated pantomimes (supporting embodiment). However, a variety of single-case disassociations were found in 19 out of the 37 patients. For example, patients presented with impaired object use as compared to object recognition, and selectively impaired pantomime and action imitation. From this, the authors concluded that motor production processes engaged when using objects were not necessary for recognizing actions and objects (supporting un-embodied or secondary/derived embodiment). It is however the case that this data is not clear-cut and one could argue that indeed Ideational Apraxia cannot be informative context of embodiment because it is a high-level (hence, presumably engaging the semantic system) motor impairment. Liepmann (1905) stated that Ideational Apraxia manifests when the patient had to formulate an "idea" of the movement, conceptualising the necessary actions and action sequences. The deficit might be attributable to a disorder at the level of access to knowledge about object use, failure to access a central motor programme or damage to the central programme itself (Heilman and Rothi, 1985). The variability in the performance of the patients reported by Mahon and Caramazza (2007) likely reflected the ambiguity between patients whose deficit did involve motor representations and those whose deficit did not.

More revealing in deciding between strong, weak and secondary embodiment, in principle, could be studies of patient groups with direct damage to the motor system, such as Motor Neurone disease (MND), Frontotemporal Dementia (FTD), Progressive Supranuclear Palsy (PSP) and Corticobasal Degeneration (CBD). Motor Neurone Disease (MND) causes a variety of muscular problems, including weakness, wasting, fasciculations, dysphagia and dysarthria; it is associated with diffuse, mainly frontotemporal atrophy (Bak & Hodges, 2001). Bak (2001) demonstrated that the

comprehension and production of verbs/actions was more impaired than that of nouns/objects, when compared to healthy individuals or those with Alzheimer's. Post mortem analyses showed pathological changes in areas 44 (Broca's area) and 45 for these patients, converging with other studies of verb/action processing (see Siri et al., 2008). Thus, although the presence of selective impairment for verbs/actions in these patients is consistent with strong and weak embodiment views; the anatomical data do not provide support for strong embodiment. It is the case, in fact, that the selective deficit can be easily accounted for in terms of differences in processing demands between nouns/objects and verbs/actions (Schnur et al., 2009; Vigliocco et al., 2006; Maetzig et al., 2009).

Cotelli et al (2006) investigated object and action naming in several subtypes of Fronto-Temporal Dementia (FTD): Non-fluent Primary Progressive Aphasia (NfPPA, damage primarily in left frontal and perisylvian regions), Frontal variant of FTD (FvFTD, damage primarily in frontal regions bilaterally), and Semantic Dementia (SD, damage primarily in the anterior temporal lobes bilaterally) as well as Progressive Supranuclear Palsy (PSP, damage primarily in frontal regions, basal ganglia, cerebellum and brainstem) and Cortico-Basal Degeneration (CBD, damage primarily in frontal regions and the basal ganglia). Action naming impairments were observed in all of these pathologies with the exception of SD (in which atrophy is relatively confined to the anterior temporal regions), suggesting that damage to the frontoparietal-subcortical circuits involved in both action knowledge and representation leads to impairment in verb/action processing. These results support weak embodiment: damage to motor circuits leads to moderate impairment of action/verb processing. They do not provide evidence in favor of strong embodiment, according to which impairment should be of an 'all or nothing' style.

In a similar vein, Boulenger et al (2007) found that priming effects for action verbs in a lexical decision task varied as a function of levodopa uptake for Parkinsons' Disease (PD) patients. During a masked priming task, where prime and target were identical except for letter case, PD patients showed greater priming for verbs when 'on' medication that increased dopamine uptake as compared to 'off' medication; priming for concrete nouns did not differ according to medication phase (being strong in both cases). The authors concluded that the motor system impairment present in PD selectively affects action verbs, in line with claims from strong and weak embodiment that the semantic processing of action verbs involves the motor system. However, action verbs were compared to concrete nouns, rather than a set of matched 'non-action' verbs, confounding the effects with the broader noun versus verb distinction. No studies have explored motor verbs (e.g. pinch, kick, kiss, climb, eat) against other events (e.g. sleep, drop, read, spin, rise) in these patients. This comparison is critical to decide whether the greater impairment for actions/verbs than objects/nouns is truly semantic. Verbs are generally more abstract and more complex to process than nouns due to their semantic, syntactic and morphological characteristics (e.g. Schnur et al., 2009; Vigliocco et al., 2006; Maetzig et al., 2009). Indeed, verb deficits in PD have been explained within this framework when exploring the role of the basal ganglia in language processing. In particular, the basal ganglia may be involved in the controlled retrieval and selection of competing alternatives which would impact verbs more than nouns during lexico-semantic processing (Crescentini et al, 2008).

Turning to sensory impairments, one study has assessed the performance of individuals with congenital blindness. Embodiment proposes that category preferences (e.g. the differences between tools and animals) rely on relationships between conceptual domains and specific types of sensory and motor information

(e.g. tools rely on action and motion information, animals rely on visual information). Disembodied or secondary embodiment views would not make such a prediction assuming that categorical organization derives from other mechanisms (e.g., it is innately specified, see Mahon & Caramazza, 2009). In the study by Mahon and Caramazza (2009), congenitally blind and sighted participants performed size judgments on aurally presented words. It was found that blind individuals activated the same brain regions as sighted individuals when performing these size judgments: namely regions on the ventral surface of occipital-temporal cortex. These findings led the authors to conclude that visual experience is irrelevant to establishing object representations. However, the results can be interpreted as dependent upon experience: just a different type of experience. Moreover, whilst embodiment makes the prediction that representations depend on sensory and motor information, it does not follow that a system without one form of sensory input will organize in a completely different fashion. Ventral stream organization, in particular the size knowledge required for the task, may have been based in other sensory dimensions such as tactile information, which is integrated into the ventral stream. For example, Reed et al. (2009) found similar temporal activity for tactile and visual pattern recognition. The work of De Volder *et al.* (2001) also supports this idea. In their study, on the identification of a trigger sound, participants were instructed to retrieve a representation of the "visual" attributes of a stimulus (shape, size, configuration) which had been previously experienced during the training period by both auditory and haptic perception in early blind individuals and by both auditory and vision in sighted individuals. Activations were found in occipital and visual association areas, particularly in the left fusiform gyrus for both blind and sighted subjects. Thus, developmental cross-modal reorganization (in which sensory and motor experience plays a vital role) allows higher order processes to use the same

cortical regions. Thus, Mahon and Caramazza's results came about because of an innate brain predisposition, cross-modal reorganization or both.

It is important to keep in mind that this body of research does not directly address the question of whether sensory information is necessary for semantics. It is not straightforward to predict that deprivation of one sensory modality from early in development will lead to a system of cortical organization that is essentially like the 'normal' system minus that sensory input. There is evidence that areas deprived of their typical sensory input are recruited for qualitatively different processes. For example, Amedi (2004) found that repetitive TMS on the left occipital cortex of congenitally blind participants interfered with the generation of an 'appropriate' verb when given a noun; thus implicating the occipital cortex in semantic processing and lexical retrieval. Therefore, we have evidence for similar neural organization (e.g. the ventral stream and fusiform activation for object judgments) and very different neural organization (as above) when we compare congenitally blind and sighted individuals. For these reasons, and more generally because there is not yet a clear model of how the brain develops when one kind of sensory input is removed, data obtained with patients deprived of a sensory channel from birth do not offer a clear testing ground for embodiment. More informative would be to consider cases in which individuals developed typical cognitive systems without impairments and then experienced some damage later in life; i.e. acquired conditions in adults.

Having discussed the kind of data that is needed to decide between different strands of embodiment in semantics, it is necessary to respond to some of the criticisms that are leveled against embodiment itself.

Criticisms of embodiment

Spreading Activation

Mahon and Caramazza (2008) have argued that engagement of the sensory and motor systems in the brain [could] follow naturally from “activation cascades from disembodied concepts to the sensory and motor systems” (p.60, *ibid*). Given this *logical possibility*, Mahon and Caramazza argued that the behavioural and neuroscientific findings that have so far been taken as evidence for the embodiment theories are, in fact, also consistent with disembodied theories. An example of such spreading activation, they argue, is found in phonological activation of unproduced words in a picture naming task (Morsella & Miozzo, 2002; Navarrete & Costa, 2005). They then extend this example to automatic activation of the motor system when participants name tools. They conclude that activation cascades are ubiquitous in cognitive processes and therefore cannot be taken as evidence refuting the unembodied theories or confirming embodiment.

However, we argue that this equivalence between phonological and motor activation is problematic: naming a picture of a hammer involves phonological activation of the word “hammer” (indirectly facilitating “hammock”, by similarity) but it clearly does not involve hammer use. So “spreading” of phonological similarity during phonological production is expected because production is part of the task. However, such spreading is not equivalent to spreading of activation to effector-specific motor units (e.g. the motor cortex enervating the hand muscles) that are not at all needed for performing the experimental task (i.e. picture naming).

The implication is that the null hypothesis facing the predictions embodiment is that motor system is always activated in effector-specific patterns no matter what the

task requirement or the cognitive state of the observer may be. However, unless there is a non-trivial connection between naming and using (e.g. embodiment), there is no reason to believe that naming “hammer” should spread activation to the premotor cortex. If the distinction between disembodied and embodied theories didn’t require that tool naming has something to do with tool use then arguing about the distinction between them would be meaningless. The non-specific spreading view is particularly problematic in explaining the disruption of semantic processing by application of TMS on motor cortex (Pulvermüller et al 2005). Here, the direction of the cascade has to be reversed from the motor system to the semantic representation and – what is more – the semantic concept should be triggered, *specifically*, by TMS-induced noise in the motor system (Mahon & Caramazza, 2008, p.62).

Given the above, one may argue that Mahon and Caramazza’s criticism has widened the null hypothesis to such a degree that it encompasses both extremes. Such an unconstrained null hypothesis embraces any possible experimental result.

What else contributes to semantics?

For theories that adhere to secondary/derived embodiment, the default position is that semantic content is primarily abstract, with non-arbitrary connections to sensory and motor information. For these theories, there still needs to be a clear statement of what, exactly, semantic content is. For Patterson, Rogers and colleagues (Rogers et al, 2004; Patterson et al, 2008) the semantic system is defined by function, and organization, over content. Since the semantic system is defined as a series of mappings between modality specific information, there appears to be an assumption

that content is provided by those modality specific systems, leaving it open to the same criticisms levelled at embodied theories: how do you account for abstract words? For Mahon & Caramazza (2008), they assume that semantic content is abstract in nature, meaning that the content is essentially undefined.

For theories that adhere to weak embodiment, two have recognised that other information contributes to semantic processing. Simmons, Barsalou and colleagues (Simmons, Hamann, Harenski, Xiaoping & Barsalou, 2008; Barsalou, L.W., Santos, A., Simmons, W.K., Wilson, C.D. 2009) and Vigliocco and colleagues (Andrews, Vinson & Vigliocco, 2008; Vigliocco, Meteyard, Andrews & Kousta, 2009) have proposed that linguistic information in the form of word associations may play a role in lexico-semantic processing; alongside embodied content. For Simmons, Barsalou et al, this is dependent on the task that is being performed; word-associations between linguistic forms (e.g. 'bird' and 'house') may be used when only shallow processing is necessary to complete a task (e.g. lexical decision with non-words that violate phonological or orthographic rules). For them, real semantic content is still a simulation of sensory and motor information (e.g. Wu and Barsalou, 2009). For Vigliocco et al, important aspects of word meaning are learnt and represented through their co-occurrence (distribution) with other words; and affective information also plays an important role. Under this description, the difference between concrete and abstract words lies in the preponderance of sensory and motor information for concrete words compared to a preponderance of linguistic and affective information for abstract words (Vigliocco, Meteyard, Andrews & Kousta, 2009).

Finally, for strongly embodied theories, there is little or no mention of what else aside from sensory and motor information does contribute to lexico-semantic representation.

We take the position that sensory and motor information does not exhaust semantic content. It is intuitive to adopt the idea that central to semantic organization is the linkage of different kinds of information, and this has been achieved in connectionist or Bayesian models (e.g. Rogers et al, 2004; Andrews, Vinson & Vigliocco, 2008). However, the key question remains, *what* is the information that is being linked. This problem is especially salient when we look at abstract words.

Abstract words

A major problem for dominant embodied approaches is how to account for abstract cognition, such as that implicated in conceptual representation and abstract reasoning. Embodied approaches do very well for on-line action between a body and an environment, and offer some intriguing explanations about how the environment is utilised to benefit cognition (Clark, 1997; Wilson, 2002). However, in order to maintain the embodied framework, simulation is at present the only mechanism that could support semantic and conceptual representations. Simulation can provide the content of representation with perceptual and motor activity, but how the information is structured and processed is more problematic and less well defined, especially for abstract cognition (Barsalou, 1999). One mechanism that has been put forward by Lakoff (1987) is that of conceptual metaphor. In this view, abstract domains are grounded into perception and action via the mediation of concrete domains. Namely, abstract concepts such as *love* would be embodied because they would be learnt and represented as metaphorical extension from concrete concepts such as *journey*. These metaphors are clearly identifiable in languages and provide the mechanism by which abstract concepts could be embodied. It is however the case that evidence in favor of such a hypothesis is rather scant (but see e.g.,

Glenberg, et al., 2008), moreover, it appears that even if possible such an account could not account for all abstract domains of knowledge (e.g., how much of the technical abstract jargon of our scientific knowledge could be characterized in this manner).

We have recently put forward a theoretical account that goes beyond our previous work by accounting for the representation of abstract, in addition to concrete words (Vigliocco et al., 2009). In our current theoretical view we have argued for two classes of information that contribute to the representation of both concrete and abstract words: experiential (sensory, motor, but also affective) and linguistic (verbal associations arising through co-occurrence patterns and syntactic information). Differences between concrete and abstract word meanings (as well as within concrete and within abstract word meanings) arise as a result of the proportion and exact type of experiential and linguistic information from which they are derived. Most relevant for our purposes here, we have argued and provided evidence for a statistical preponderance for affective and linguistic information to underlie abstract word meanings (e.g., Kousta et al., 2009; Kousta et al., submitted). Whereas concrete knowledge would be grounded into our experience with the outside world, abstract knowledge could be grounded in our internal experience. Abstract words tend, on the whole, to have more affective associations than concrete words, and the greater the affective associations, the earlier those abstract words are acquired (especially for positive words, Kousta et al., 2009). Thus, affect may play a critical role in allowing the learning, or bootstrapping, of abstract knowledge.

Of course it is the case that not all abstract words are affectively loaded. Affect, nonetheless could have an important role in allowing for knowledge that cannot be

grounded to the external world to begin developing. Once the system is set in place, other abstract concepts can be learned, based on linguistic information solely.

Grammar

Finally, we turn to some of the most abstract elements of language. Thus far we have focused on the lexicon and the semantic information contained within content words. However, languages have a variety of means of expressing other important elements of the world, such as the spatial information conveyed through prepositions (in English) and temporal and tense markers that vary aspect. If embodied theories are to provide a unified account for semantic information, they need to be able to account for how these more abstract elements fit with access to sensory and motor information. Whilst it is relatively intuitive to suggest that 'simulation' underlies the representation of concrete entities and events, it is rather less straightforward to explain how sensory and motor information can underpin the representation of information that is marked by morpho-syntactic changes. This returns to a key facet of all languages: by expressing things in language we necessarily schematize and lose information. Language is a digital medium that uses features of meaning (such as agency, causation or spatial relations) which necessarily lose some of the richness and complexity of sensory experience (Pinker, 2007). These features show how language carves the world up in a way that reflects our human experience, and by implication, any 'simulation' that underpins semantic processing should reflect this schematization. This has been addressed in some incarnations of strongly embodied theories (e.g. Barsalou, 1999) by proposing that simulations are themselves schematic, but there has to be a more explicit treatment of morpho-syntactic information.

There is some preliminary work that addresses this area. For spatial relations, as expressed by English prepositions, fMRI work has highlighted the left superior marginal gyrus and patient work has shown double dissociations between the processing of linguistic spatial relations and the performance of various visuo-spatial tasks (Kemmerer, 2010). This implies, in line with weak embodiment or secondary embodiment, that areas proximal to – but not overlapping with – those that are involved in processing spatial information are involved in processing semantic information related to space. For markers related to time, Zwaan (2008) provides a clear rationale for how mental simulations of sentences might change depending on different temporal markers ('immediately' versus 'last year'), tense and aspect (e.g. progressive versus perfective). The argument is that markers modify the content of simulations by making certain elements (and therefore certain modality specific information) more salient. Bergen & Wheeler (2010) provide some preliminary evidence of this by demonstrating interactions between hand movements and sentences describing movements towards or away from the body for progressive aspect sentences ('Ashley is stretching her arms') but not for perfective aspect sentences ('Ashley had stretched her arms'). The authors argue that grammatical markers for aspect operate over the simulated content to shift the focus of attention to, for example, a motor movement (thereby accessing motor content) or to a completed action (thereby accessing more visual information) (Zwaan, 2008).

This work begins to address the challenge to embodied theories posed by the meaningful consequences of morpho-syntactic information. Stating that such information modifies simulations of semantic content is a reasonable addition to strongly embodied theories. The fact that access to modality specific information appears to be highly flexible and dependent on the linguistic context can be

accommodated by all points on the continuum of embodied theory. However, to return to the point made earlier, there is much in language that is schematic and abstract, which begs the question of whether a fully analogue system (as proposed by strong embodiment) is necessary or sufficient for semantic processing.

Conclusions: converging on convergence zones

There is broad agreement amongst the theories we have reviewed that some form of convergence zones are central to semantic representation. This is based on the assumption that in order to represent something, we must be able to retrieve a collection of sufficient information that stands for that thing. This information is stored neurally as statistical regularities, feature conjunctions or correlations. Convergence zones are regions of cortex that can be shown to store such information (e.g. through a change in bold response when a semantic representation/concept is processed). Neurophysiological work supports the proposal that modality specific content is necessary for semantic representation by demonstrating that it appears early in linguistic processing. There is also an increasing body of work that demonstrates a gradient of 'abstraction': as one moves away from primary sensory and motor cortices, more complex conjunctions are captured. This supports the idea of convergence zones as the basis for representation (explicitly predicted in Damasio's original framework, Damasio, 1989; Damasio & Damasio, 1994), particularly when more anterior activations are present when something is recognized (i.e. categorized within extant semantic representations). We find ourselves supporting a position where primary sensory and motor regions are not activated during routine semantic processing (in

opposition to strong embodiment) but may be so for deeper processing related to imagery.

Theories differ as to how they define the location and modal content of the zones. Those within weak embodiment state that these convergence zones are multi-modal, distributed across the cortex and located proximal to sensory and motor regions. Those theories within secondary embodiment state that there is (probably) one major convergence zone (i.e. it is unitary) and it is located separately to sensory and motor regions. According to weak embodiment, we should not see semantic processing without activation of these modal zones; according to secondary embodiment, we should be able to achieve semantic processing only with the activation of the unitary, major zone (modal activations are not necessary, but may be present).

We have taken the position that fully symbolic, unembodied theories can be rejected and that the validity of strong embodiment is questionable outside conscious imagery. There is broad agreement that sensory and motor information is activated when a semantic representation is accessed. Differences remain as to what constitutes 'true' semantic information that is necessary and sufficient for representation, rather than secondary to it. An important caveat here is the task that is used to access semantic information; different tasks may implicate different configurations of semantic information. This will likely prove to be a particularly thorny problem, and will require explicit theoretical statements about what is 'true' semantic information, and careful consideration of what level of processing an experimental task requires. Part of this work must explore the representation of abstract words, defining how more or less abstract content is realized, relate sensory-motor processing in linguistic tasks to known linguistic variables (like

frequency) and begin to tackle the schematic information laid down by morpho-syntactic markers.

We have provided predictions and discussed the kind of data that will allow extant theories to be separated, or integrated. Finally, we have discussed the remaining theoretical problems, that theories of semantics need to account for. We hope that this paper serves as a useful starting point for further research in the field.

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| Label | Unembodied | Secondary embodiment | Weak embodiment | Strong embodiment |
|--|---|--|---|---|
| <i>Semantic content</i> | Symbolic / Amodal | Amodal | Cross-modal integration / Supramodal | Analogue / Multimodal |
| <i>Neural architecture</i> | Semantic region(s) have no temporal or spatial overlap with sensory and motor areas | Region for amodal semantic content and modality specific regions which code experimental attribute | Distributed network of areas which code integrated modal information, proximal to primary sensory and motor regions | Distributed network of areas within primary sensory and motor systems |
| <i>Relationship to sensory-motor systems</i> | Complete independence | Independent but associated | Partial dependence | Complete dependence |
| <i>Explanation of interactions</i> | Indirect activation | Secondary activation | Mediation | Modulation |
| <i>Theories</i> | Collins & Loftus (1975) Landauer & Dumais (1997) Levelt (1989) | Mahon & Caramazza (2008) Patterson et al (2007) Quillian (1968) Rogers et al (2004) | Barsloui (1999) Farah & McClelland (1991) Pulvermüller (1999) Simmons & Barsloui (2003) Tyler & Moss (2001) Vigliocco et al (2004) | Gallese & Lakoff (2005) Glenberg & Kaschak (2003) Zwaan (2004) |