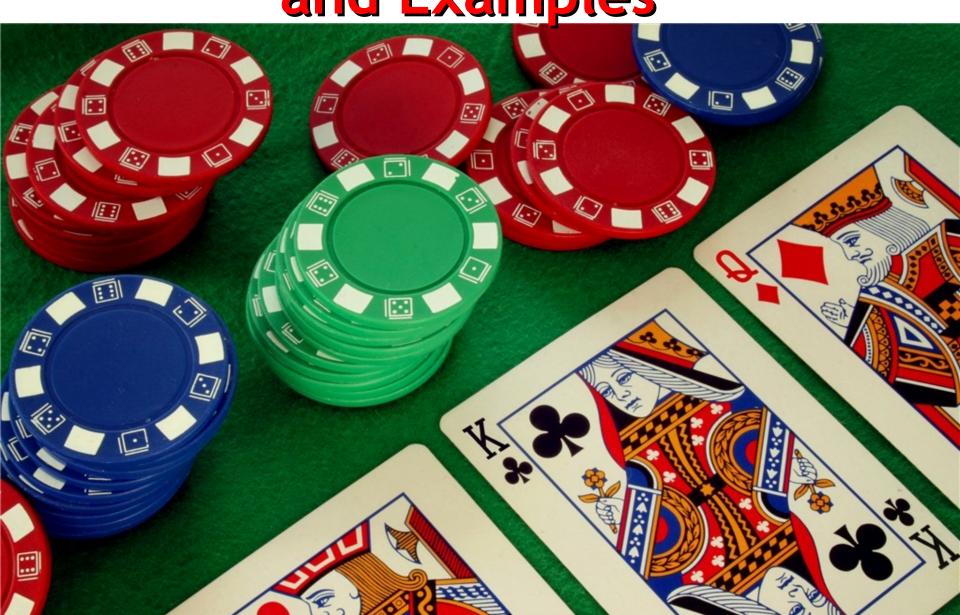
Earley Parsing and Examples





Outline

- Earley's Algorithm
 - Chart States
 - Operations
 - Example
- MyEarley.py
- PA3.jison
- Grammar "Conflicts"
 - Shift/Reduce



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Administrivia

- Midterm 1 will take place Thursday in class
 - Everything including today is fair game.
- Class vote, pick one option:
 - You may bring one page of printed or handwritten notes, front-and-back (= 2 sides).
 - The test is open book: you may use any printed materials including your printed notes and/or the textbook and/or other printed readings.
- Think.

In One Slide

- Earley parsers are top-down and use dynamic programming. An Earley state records incremental information: when we started, what has been seen so far, and what we expect to see. The Earley chart holds a set of states for each input position. Shift, reduce and closure operations fill in the chart.
- You enjoy parsing. Parsing is easy and fun.

Review: Earley States

- Let X be a non-terminal
- Let a and b be (possibly-empty) sequences of terminals and non-terminals
- Let $X \rightarrow ab$ be a production in your grammar
- Let j be a position in the input
- Each Earley State is a tuple $\langle X \rightarrow a \bullet b, j \rangle$
 - We are currently parsing an X
 - We have seen a, we expect to see b
 - We started parsing this *X* after seeing the first *j* tokens from the input.

Review: Earley Parse Table

- An Earley parsing table (or chart) is a onedimensional array. Each array element is a set of Earley states.
 - chart[i] holds the set of valid parsing states we could be in after seeing the first *i* input tokens
- Then the string tok₁...tok_n is in the language of a grammar with start symbol S iff
 - chart[n] contains < S → ab• , 0 > for some production rule S → ab in the grammar.
 - We then say the parser accepts the string.

Review: Filling In The Chart

- Three operations build up chart[n]
- The first is called shift or scan.
 - It corresponds to "seeing the next expected token" or "helping to confirm the current hypothesis" or "we're winning".
- Example:
 - chart[1] contains $\langle E \rightarrow E \cdot + E, 0 \rangle$
 - 2nd token is "+"
 - Then put $\langle E \rightarrow E + E, 0 \rangle$ in chart[2]

Review: Filling In The Chart (2)

- The second operation is the closure or predictor.
 - It corresponds to "expanding rewrite rules" or "substituting in the definitions of non-terminals"
- Suppose the grammar is:

$$S \rightarrow E$$
 $E \rightarrow E + E \mid E - E \mid int$

• If chart[0] has $< S \rightarrow \bullet E$, 0 > then add

$$\langle E \rightarrow \bullet E + E, 0 \rangle$$

$$\langle E \rightarrow \bullet E - E, 0 \rangle$$

$$< E \rightarrow \bullet int, 0 >$$

Review: Filling In The Chart (3)

- The third operation is reduction or completion.
 - It corresponds to "finishing a grammar rewrite rule" or "being done parsing a non-terminal" or "doing a rewrite rule in reverse and then shifting over the non-terminal".

• Suppose:

```
    E → int | E + E | E - E | (E), input is "(int"
    chart[2] contains
    chart[1] contains
    E → ( E ), 0 >
    Then chart[2] +=
    E → ( E ), 0 >
```

Shift Practice

chart[3] contains

```
< S \rightarrow E \bullet , 0 > < E \rightarrow E \bullet - E , 0 > < E \rightarrow E \bullet + E , 0 > < E \rightarrow E \bullet - E , 0 > < E \rightarrow E \bullet - E , 2 > < E \rightarrow int \bullet , 2 >
```

• The 4th token is "+". What does shift bring in?

Shift Practice

chart[3] contains

```
< S \rightarrow E \bullet , 0 >
< E \rightarrow E \bullet + E , 0 >
< E \rightarrow E \bullet - E , 0 >
< E \rightarrow E \bullet - E , 0 >
< E \rightarrow E \bullet - E , 2 >
< E \rightarrow int \bullet , 2 >
```

• The 4th token is "+". What does shift bring in?

$$\langle E \rightarrow E + \bullet E, 0 \rangle$$

 $\langle E \rightarrow E + \bullet E, 2 \rangle$

... are both added to chart[4].

Closure Practice

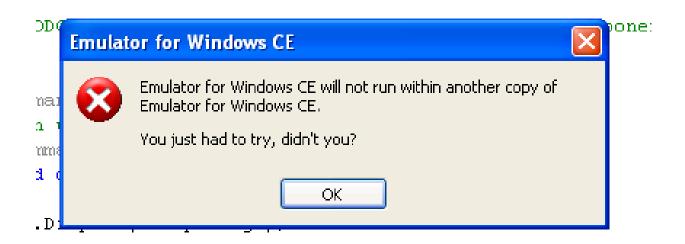
Grammar is

$$-S \rightarrow E \qquad E \rightarrow E + E \mid E - E \mid (E) \mid int$$

chart[4] contains:

$$\langle E \rightarrow E + \bullet E, 0 \rangle$$
 $\langle E \rightarrow E + \bullet E, 2 \rangle$

What does the closure operation bring in?



Closure Practice

Grammar is

$$-S \rightarrow E \qquad E \rightarrow E + E \mid E - E \mid (E) \mid int$$

chart[4] contains:

$$\langle E \rightarrow E + \bullet E, 0 \rangle$$
 $\langle E \rightarrow E + \bullet E, 2 \rangle$

What does the closure operation bring in?

$$\langle E \rightarrow \bullet E + E, 4 \rangle$$
 $\langle E \rightarrow \bullet E - E, 4 \rangle$
 $\langle E \rightarrow \bullet (E), 4 \rangle$ $\langle E \rightarrow \bullet \text{ int }, 4 \rangle$
... are all added to chart[4].

Reduction Practice

chart[4] contains:

```
\langle E \rightarrow E + \bullet E, 0 \rangle \langle E \rightarrow E + \bullet E, 2 \rangle

\langle E \rightarrow \bullet E + E, 4 \rangle \langle E \rightarrow \bullet E - E, 4 \rangle

\langle E \rightarrow \bullet (E), 4 \rangle \langle E \rightarrow \bullet \text{ int }, 4 \rangle
```

chart[5] contains:

$$- < E \rightarrow int \bullet , 4 >$$

What does the reduce operator bring in?



Reduction Practice

chart[4] contains:

```
\langle E \rightarrow E + \bullet E, 0 \rangle \langle E \rightarrow E + \bullet E, 2 \rangle

\langle E \rightarrow \bullet E + E, 4 \rangle \langle E \rightarrow \bullet E - E, 4 \rangle

\langle E \rightarrow \bullet (E), 4 \rangle \langle E \rightarrow \bullet \text{ int }, 4 \rangle
```

chart[5] contains:

```
- < E \rightarrow int \bullet , 4 >
```

What does the reduce operator bring in?

$$\langle E \rightarrow E + E \bullet , 0 \rangle$$
 $\langle E \rightarrow E + E \bullet , 2 \rangle$
 $\langle E \rightarrow E \bullet + E , 4 \rangle$ $\langle E \rightarrow E \bullet - E , 4 \rangle$

- ... are all added to chart[5]. (Plus more in a bit!)

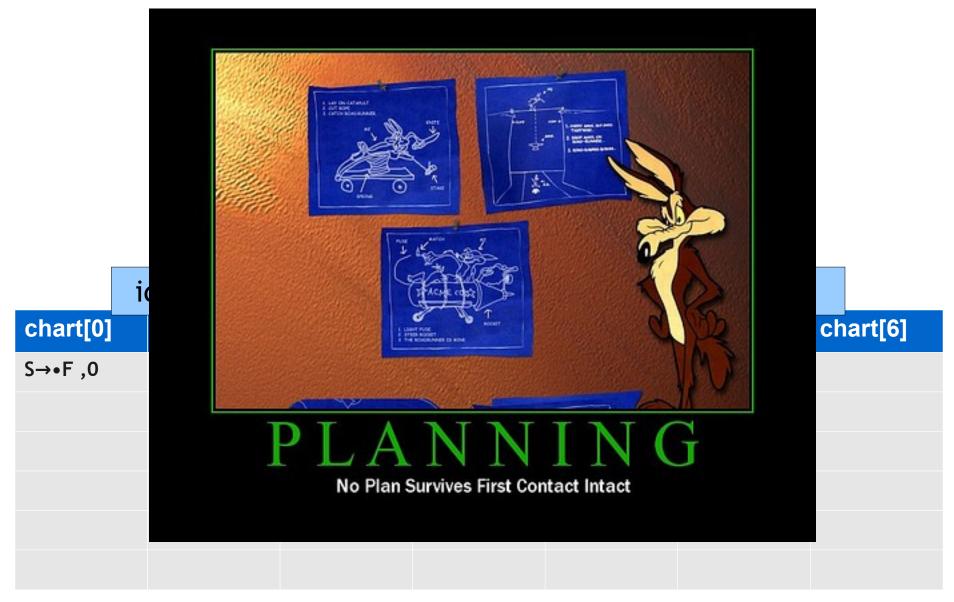
Earley Parsing Algorithm

- Input: CFG G, Tokens tok₁...tokn
- Work:

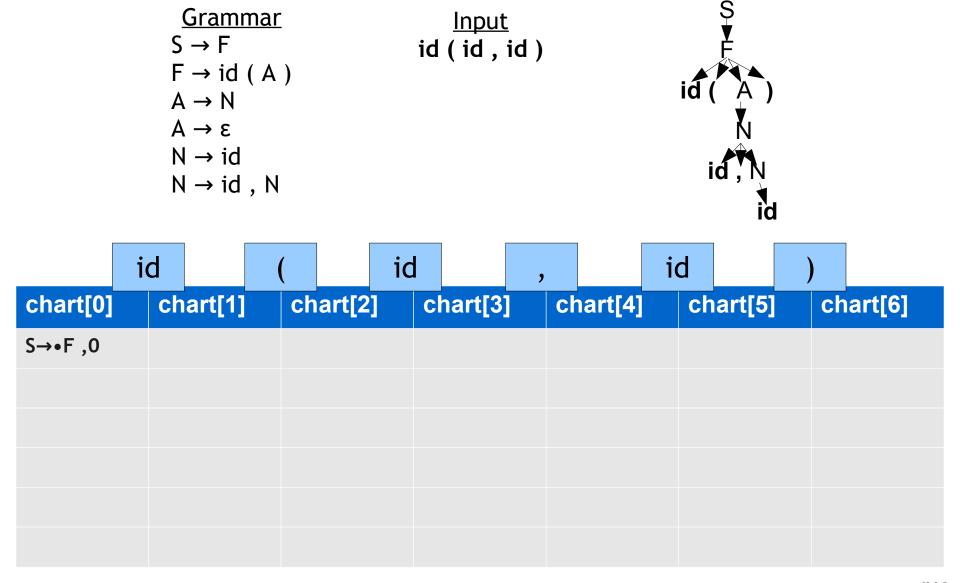
```
chart[0] = { < S → •ab , 0 > }
for i = 0 to n
  repeat
  use shift, reduce and closure on chart[i]
  until no new states are added
```

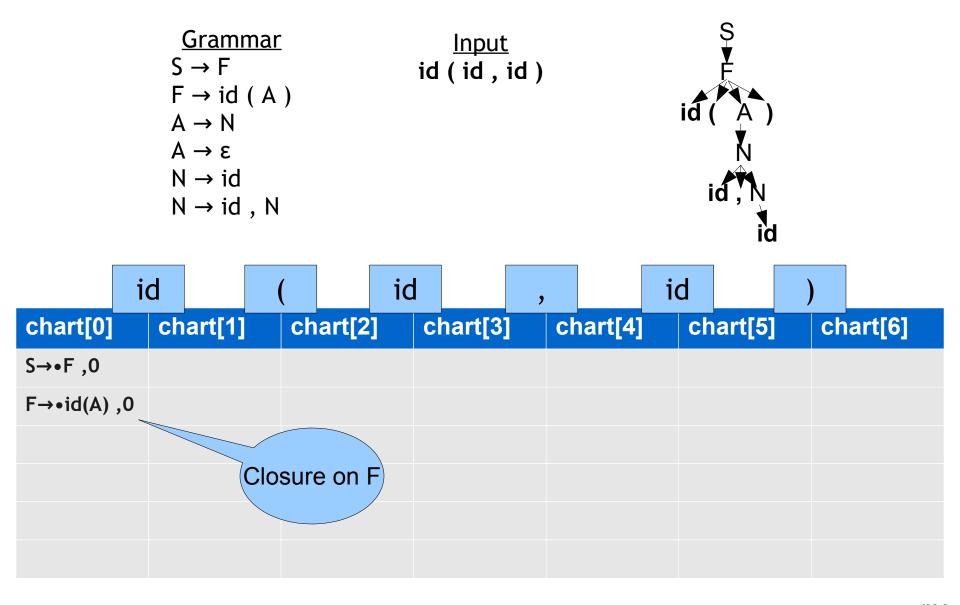
• Output:

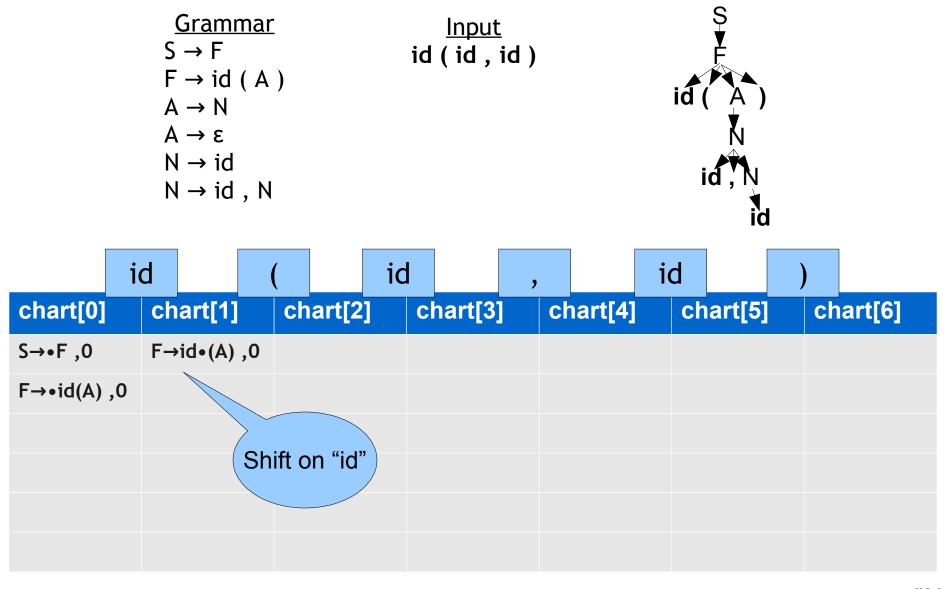
true iff < S → ab• , 0 > in chart[n]

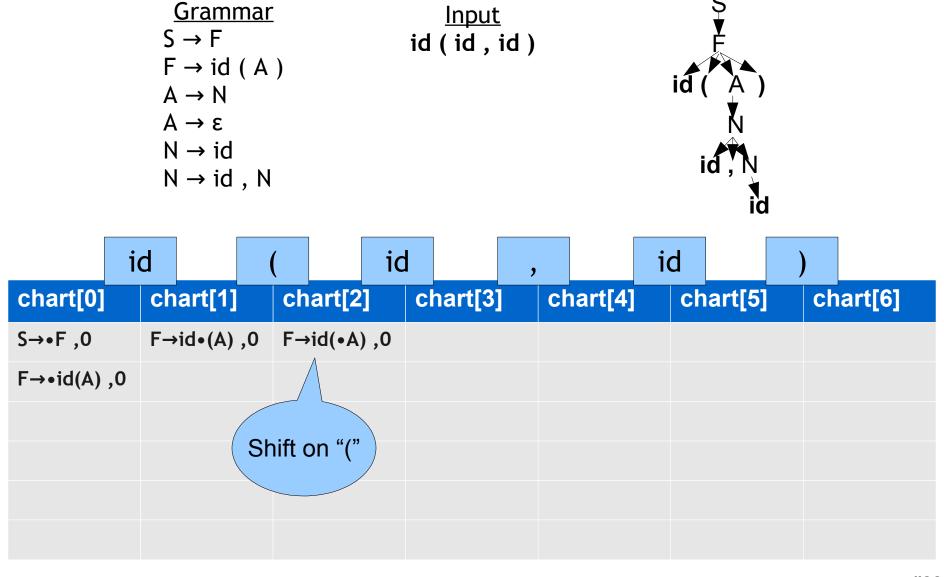


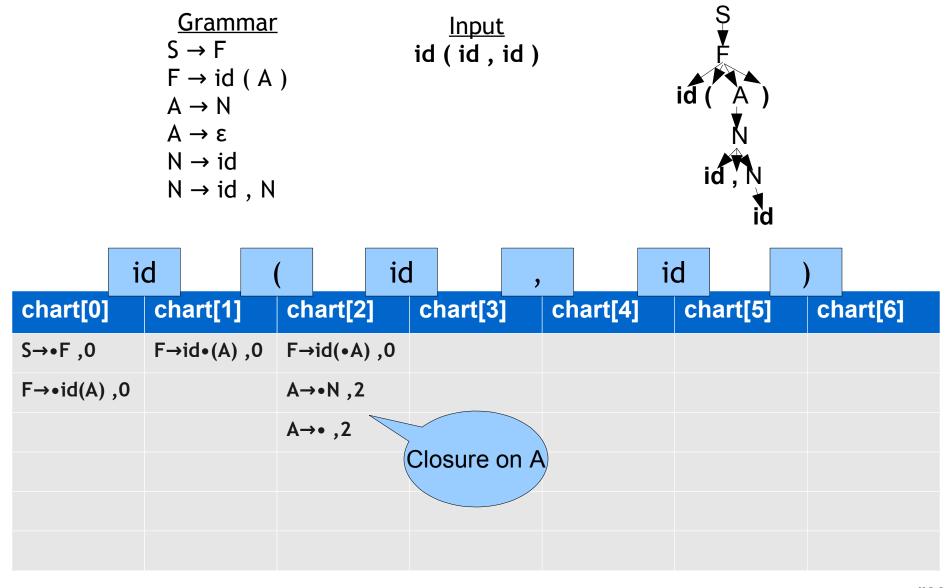
#18

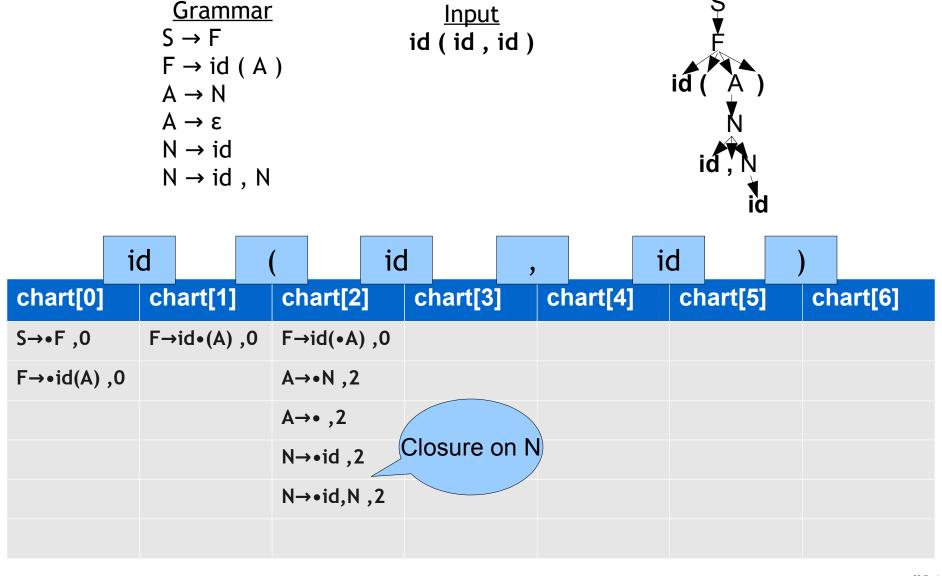


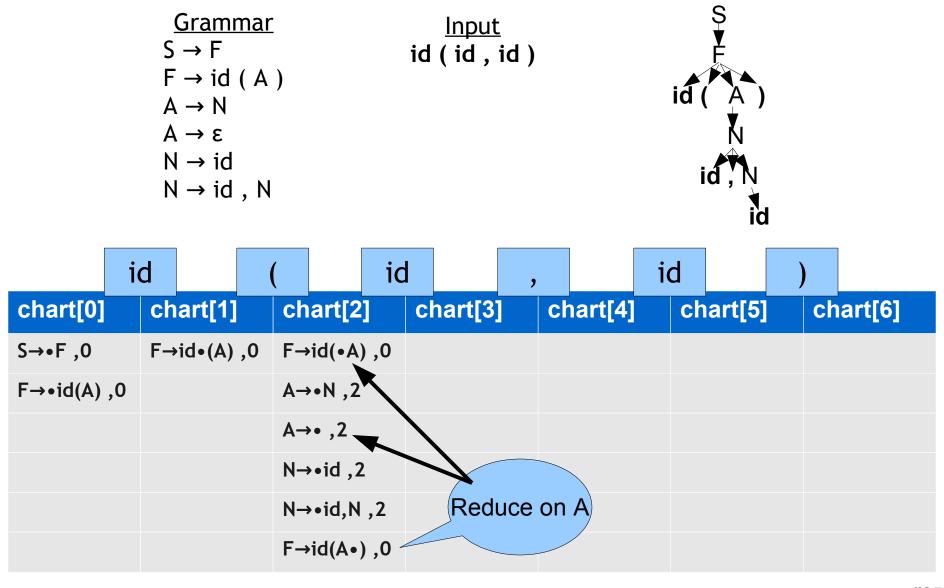


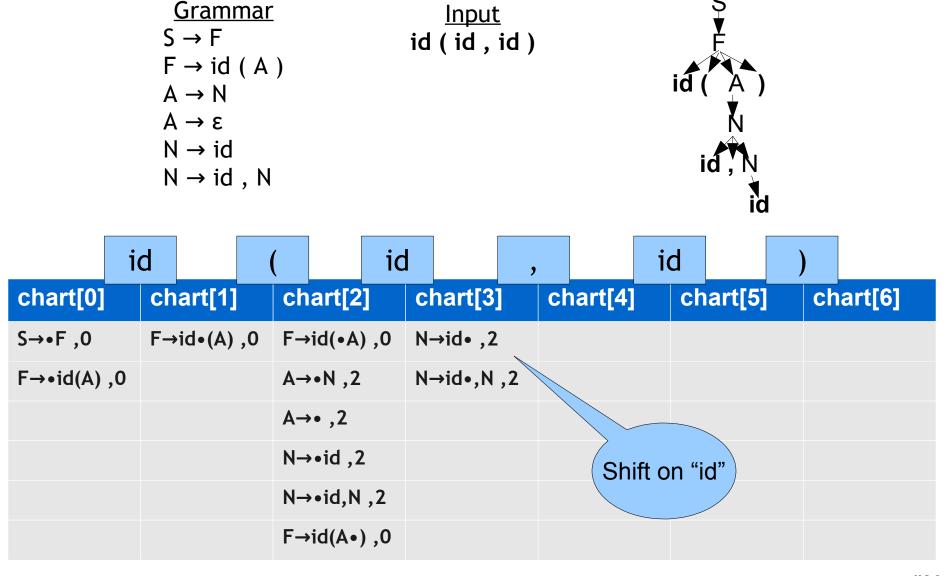


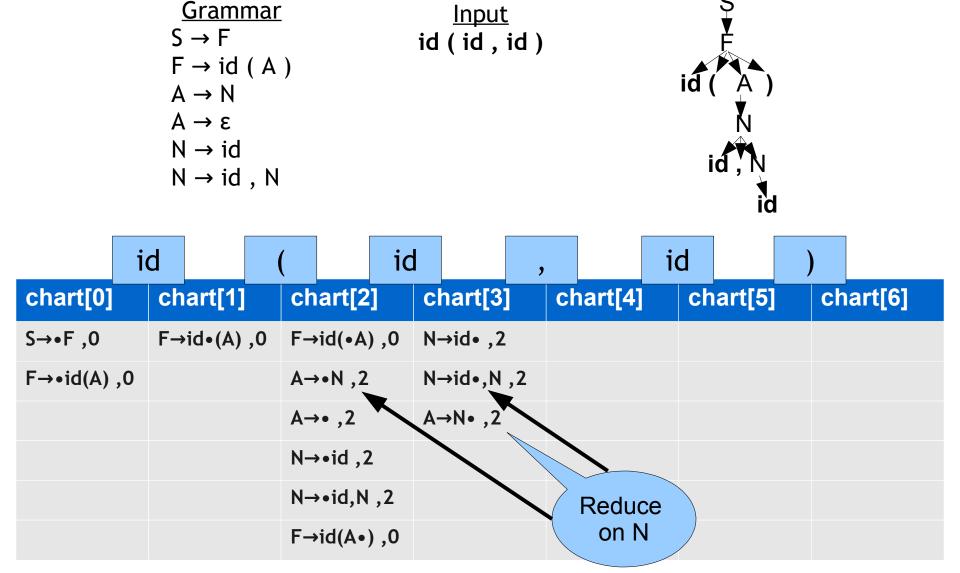






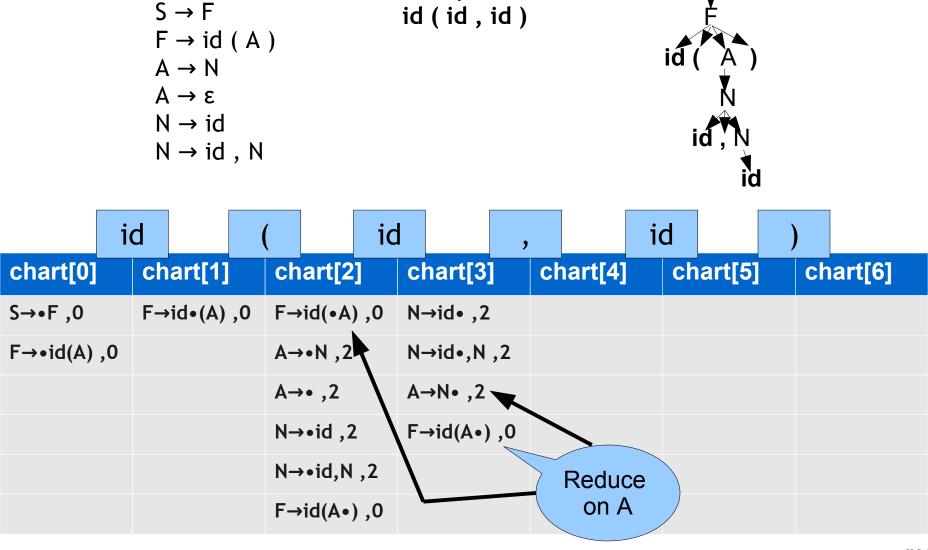


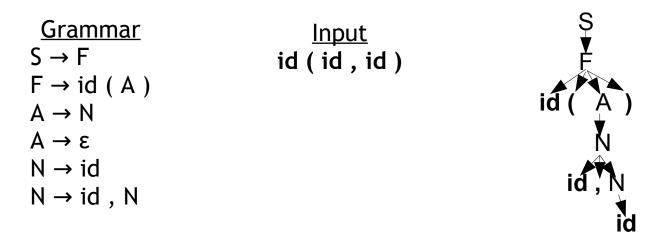




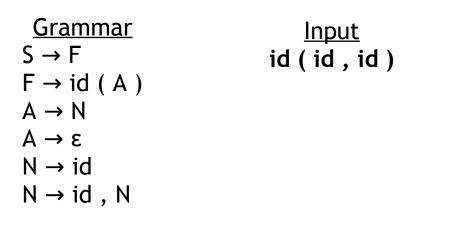
<u>Input</u>

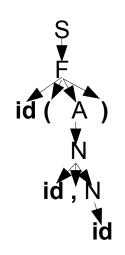
<u>Grammar</u>



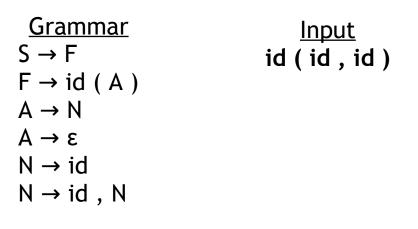


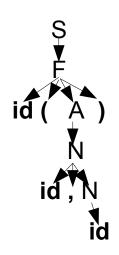
•	id		(id		,			id)		
chart[0]	ch	art[1]	cł	nart[2] (chart[3		cha	art[4]	•	chart[5]		ch	art[6]
S→•F ,0	F→i	id•(A)	,0 F-	∍id(•A)	,0 1	N→id• ,2		N→i	id,•N ,	2				
F→•id(A) ,0			A-	••N ,2	ı	N→id∙,N	,2				Shift on	""	\	
			A-	→• ,2	1	A→N• ,2						,		
			N-	→•id ,2		F→id(A•)	,0							
			N-	→•id,N	,2									
			F-	od(A∙)	,0									



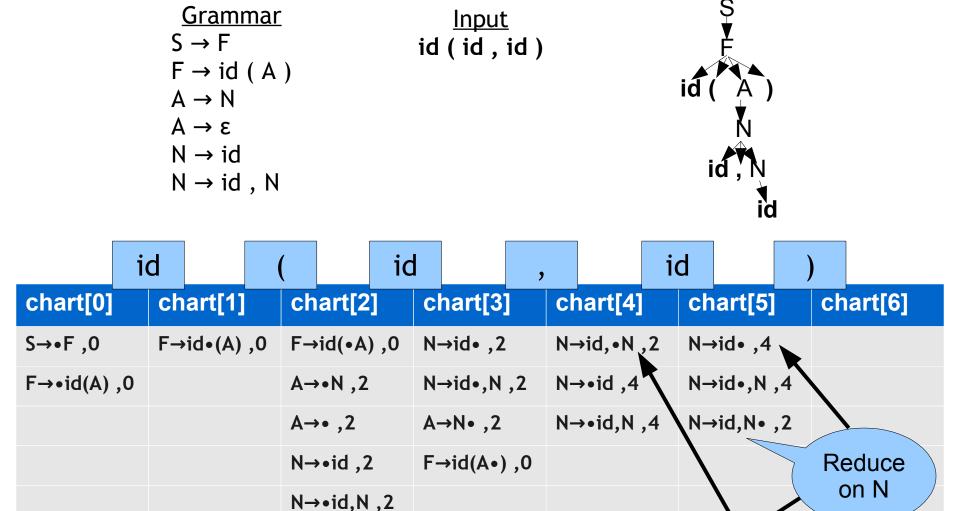


i	d	(id		,			id)		
chart[0]	chart[1]	ch	nart[2]	С	hart[3		cha	art[4]	Cl	hart[5]		cha	rt[6]
S→•F ,0	F→id•(A)	,0 F-	•id(•A) ,() N	→id• ,2	•	N→i	id,•N ,	2				
F→•id(A) ,0		Α-	••N ,2	N	→id•,N	,2	N→	•id ,4		Closure	e \		
		Α-	→• ,2	A	→N• ,2		N→	•id,N ,	4	on N			
		N-	••id ,2	F	→id(A•)	,0							
		N-	••id,N ,2										
		F-	•id(A•) ,()									

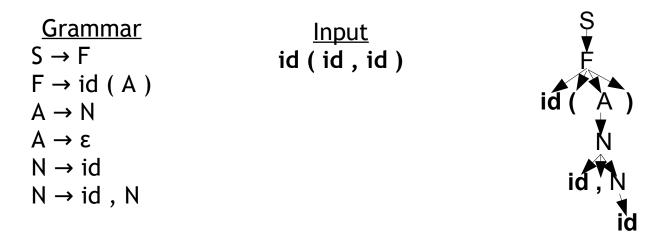




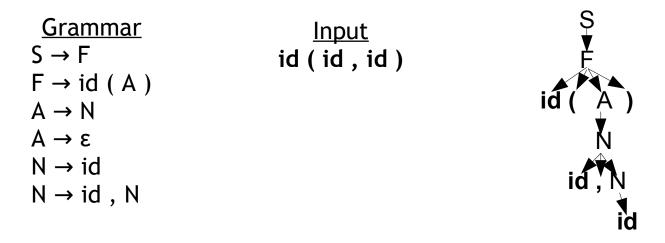
i	d	(id	d ,	, [i	d)
chart[0]	chart[1]	chart[2]	chart[3]	chart[4]	chart[5]	chart[6]
S→•F ,0	F→id•(A),) F→id(•A) ,0	N→id• ,2	N→id,•N ,2	N→id• ,4	
F→•id(A) ,0		A→•N ,2	N→id•,N ,2	N→•id ,4	N→id•,N ,4	
		A→• ,2	A→N• ,2	N→•id,N ,4		Shift
		N→•id ,2	F→id(A•) ,0			on "id"
		N→•id,N ,2				
		F→id(A•) ,0				



F→id(A•),0

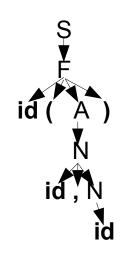


		i	d		(id		,			ic	t)		
ch	art[0]		ch	art[1]	(hart[2]	chart[3	3]	cha	rt[4]		ch	art[5]		ch	art[6]	
S→	•F ,0		F→	·id•(A)	,0 F	-id(•A) ,0	N→id• ,	2	N→i	d,•N	,2	N→	•id• ,4				
F→	•id(A)	,0			A	A→•N ,2		N→id•,N	1,2	N→•	id ,4		N→	id∙,N	,4			
					A	√→• ,2	1	A→N• ,2	2	N→•	id,N	,4	N→	id,N•	,2			
					١	l→•id ,2	2	F→id(A•) ,0				A→	N• ,2				
					١	l→•id,N	,2									Re	educe	
					F	-id(A•) ,0									(on N	

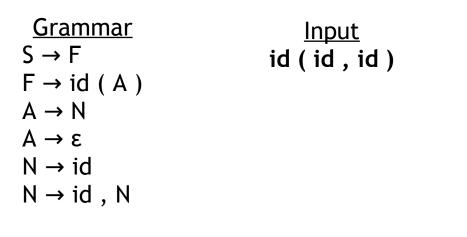


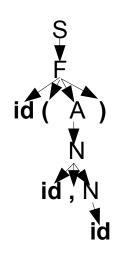
	i	d		(id			,			i	d)		
chart[0]		ch	art[1]		ch	art[2		ch	art[3		cr	nart[4]		ch	art[5]		ch	art[6]	
S→•F ,0		F→	·id•(A)	,0	F→	id(•A)	,0	N-	•id• ,2	,	N-	→id,•N	,2	N-	•id• ,4				
F→•id(A)	,0				A→	•N ,2	1	N-	•id∙,N	,2	N-	••id ,4		N-	•id∙,N	,4			
					A→• ,2			A→N• ,2		N→•id,N ,4		,4	N→id,N• ,2		,2				
					N→•id ,2		. \	F→id(A•) ,0						A→N• ,2					
					N→	•id,N	,2							F→	·id(A•)	,0 <) F	Reduce	
					F→	id(A•)	0, 0	_										on A	

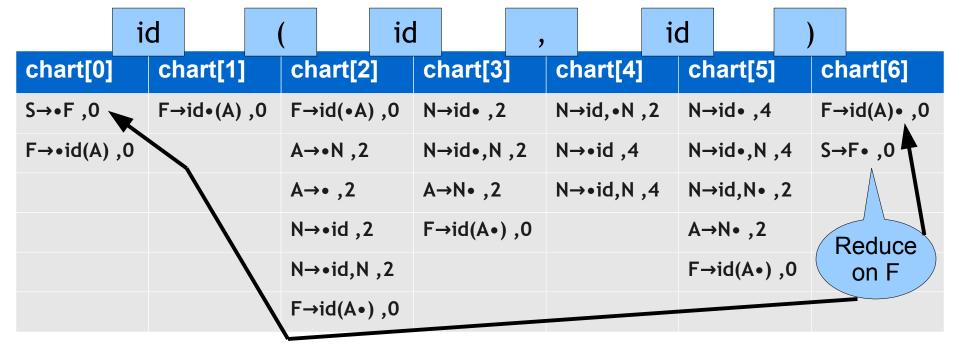
 $\begin{array}{ll} \underline{\text{Grammar}} & \underline{\text{Input}} \\ S \rightarrow F & \text{id (id, id)} \\ F \rightarrow \text{id (A)} \\ A \rightarrow N \\ A \rightarrow \epsilon \\ N \rightarrow \text{id} \\ N \rightarrow \text{id} \\ N \rightarrow \text{id, N} \end{array}$



	id		(id		,			id)			
chart[0]	ch	art[1]		chart[2]	chart[3		ch	art[4]	cl	nart[5]		cha	rt[6]	
S→•F ,0	F-	•id•(A)	,0	F→id(•A) ,0	N→id• ,2	2	N→	id,•N ,	,2 N-	→id• ,4		F→id	(A)• ,0	
F→•id(A) ,0)			A→•N ,2		N→id•,N	,2	N→	•id ,4	N-	→id•,N	,4	لر		
				A→• ,2		A→N• ,2			•id,N ,	,4 N-	→id,N•	,2	Shift		
				N→•id ,2		F→id(A•) ,0				A-	→N• ,2		on ")"		
				N→•id,N ,2						F-	F→id(A•) ,0				
				F→id(A•) ,0										

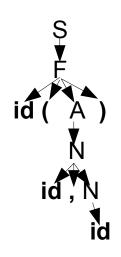






Massive Earley Example

 $\begin{array}{ll} \underline{\text{Grammar}} & \underline{\text{Input}} \\ S \rightarrow F & \text{id (id, id)} \\ F \rightarrow \text{id (A)} \\ A \rightarrow N \\ A \rightarrow \epsilon \\ N \rightarrow \text{id} \\ N \rightarrow \text{id} \\ N \rightarrow \text{id, N} \end{array}$

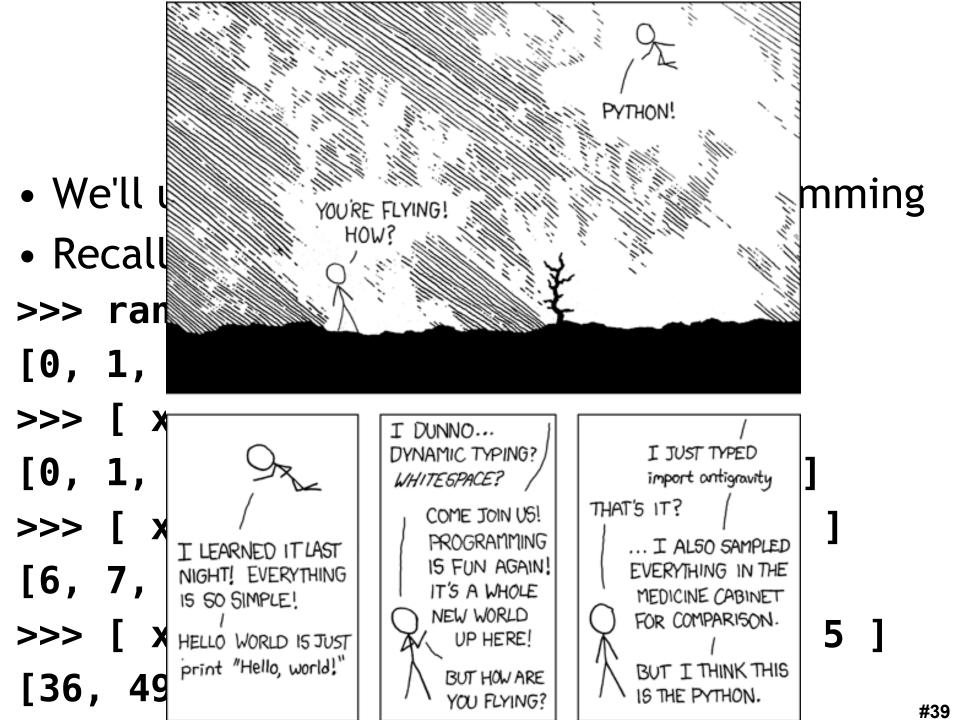


i	d	(i	d	,		id)
chart[0]	chart[1] ch	nart[2]	chart[3]] c	hart[4]	ch	art[5]	chart[6]
S→•F ,0	F→id•(A)	,0 F-	o, (A•)	N→id• ,2	. N	→id,•N ,	2 N→	•id• ,4	F→id(A)• ,0
F→•id(A) ,0		Α-	→•N ,2	N→id•,N	,2 N	→•id ,4	N→	•id•,N ,4	S→F• ,0
		A-	→• ,2	A→N• ,2	N	→•id,N ,	4 N→	id,N• ,2	
		N-	→•id ,2	F→id(A•)	,0		A	N• ,2	Accept!
		N-	••id,N ,2				F→	oid(A•) ,0	
		F-	o, (•A)bi÷						

Let's Implement It

- We'll use Python and Functional Programming
- Recall: List Comprehensions

```
>>> range(10)
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
>>> [ x*x for x in range(10) ]
[0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
>> [ x for x in range(10) if x > 5 ]
[6, 7, 8, 9]
>>> [ x*x for x in range(10) if x > 5 ]
[36, 49, 64, 81]
```



Data Structure Decisions

- For brevity, we'll use Lists and Tuples.
 - Named Tuples in Python 3, Classes, etc

```
grammar = [
  ("S", ["F"]),
  ("F", ["id", "(", "A", ")"]),
  ("A", [ ] ),
  ("A", ["N"] ),
  ("N", ["id", ]),
  ("N", ["id", ",", "N"]),
tokens = [ "id" , "(" , "id", "," , "id", ")" ]
# X \rightarrow ab.cd, i == ("X", ["a", "b"], ["c", "d"], i)
```

Initialization

```
# By convention, the starting rule is
# the first rule in the grammar.
start rule = grammar[0]
# The starting parse state is "S -> . abcd , from 0"
start_state = (start_rule[0], [], start_rule[1], 0)
# The parsing chart is a one-dimensional array,
# initially empty.
chart = {}
for i in range(len(tokens)+1): chart[i] = [ ]
# Start by placing the starting state in chart[0].
chart[0] = [ start state ]
```

Shift

```
# If chart[i] contains "X -> ab.cd , from j"
# and c is token[i] then add:
# "X -> abc.d , from j" to chart[i+1]
def shift(tokens, i, x, ab, cd, j):
  if cd <> [] and tokens[i] == cd[0]:
    c = cd[0]
    d = cd[1:]
    abc = ab + [c]
    new chart state = (x, abc, d, j)
    new chart index = i + 1
    return [(new chart index, new chart state)]
  else:
    return
```

Closure

```
# If chart[i] contains "X -> ab.cd , from j":
        and cd is not empty
#
        and c is a non-terminal
        and there is a grammar rule "c -> pqr"
 Then add:
        "c -> . pqr , from i"
#
        to chart[i]
def closure(grammar,i,x,ab,cd,j):
  return [ (i , (rule[0],[],rule[1],i)) \
        for rule in grammar \
        if cd <> [] and cd[0] == rule[0] ]
```

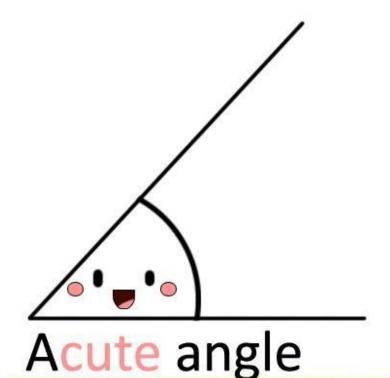
Reduction

```
# If chart[i] contains "X -> ab. , from j"
# (that is: cd is empty)
# and chart[j] contains "Y -> pq.Xr , from k"
# Then add
# "Y -> pqX.r , from k" to chart[i]
def reduction(chart,i,x,ab,cd,j):
  return [ (i, (jstate[0], jstate[1] + [x],
               (jstate[2])[1:], jstate[3] ))
    for jstate in chart[j]
    if cd == [] and jstate[2] <> []
                and (jstate[2])[0] == x ]
```

Main Loop

```
# Step 2: Dynamic Programming
for i in range(len(tokens)):
  # Apply shift, closure and reduction until
  # no new parsing states are added to the chart.
  def apply shift closure reduction():
    if any([add to chart(chart,
             shift(tokens,i,x,ab,cd,j) +
             closure(grammar,i,x,ab,cd,j) +
             reduction(chart,i,x,ab,cd,j))
            for x, ab, cd, j in chart[i] ]):
      apply shift closure reduction()
      # do it again if any changes
  apply shift closure reduction()
```

```
Example
grammar3 = [
  ("S", ["E"]),
  ("E", ["E", "-", "E"]),
  ("E", ["E", "+", "E" ]),
  ("E", ["(", "E", ")" ]),
  ("E", ["int"]),
tokens3 = [ "int", "-", "int" ]
chart[0]
 S -> . E
                        , from 0
 E -> . E - E
                        , from 0
 E \rightarrow E + E
                     , from 0
 E -> . ( E )
                        , from 0
 E -> . int
                        , from 0
chart[1]
 E -> int .
                        , from 0
 S \rightarrow E.
                        , from 0
String Accepted: True
```



PA3 in JavaScript: parser.jison

```
%token PLUS MINUS INT
%left PLUS MINUS
%start program
%%
program: exp EOF { return $1; }
exp: exp PLUS exp { $$ = ["plus_node", $1, $3]; }
   | exp MINUS exp { $$ = ["minus node", $1,$3]; }
                   { $$ = ["int node",
     INT
                           Number(yytext) ]; }
```

PA3 in JavaScript: main.js

```
var cl lex = [
  ['INT', "11"] ,
  ['PLUS'],
  ['INT', "22"] ,
  ['MINUS'],
  ['INT', "33"] ,
  ['EOF'],
var token count = 0
var parser =
    require("./parser").parser;
```

```
parser.lexer = {
  lex : function() {
    var cl lex entry =
        cl_lex[token_count++];
    var token = cl lex entry[0] ;
    var lexeme = cl lex entry[1] ;
    parser.lexer.yytext = lexeme ;
    return token;
  },
  setInput : function(str) { }
var final_ast = parser.parse("");
console.log(final ast);
```

PA3 in JavaScript Output:

```
node main.js
                                      MINUS
'minus node',
  [ 'plus node',
                                           33
                                   PLUS
       [ 'int node', 11 ],
       [ 'int node', 22 ]
  [ 'int node', 33 ]
```

PA3 Not Shown Here

- Reading in the .cl-lex file
- Handling line number information
- Printing out the AST in the desired format
- Adding parsing rules for whole classes and not just simple expressions
- Massive testing effort
 - diff vs. "cool --parse" requires "almost done"
- Dealing with ambiguity ("conflicts")
 - Let's do this one now.

Conflicts

- Add "%token NEG" and "exp: NEG exp".
- Oh noes:

exp -> exp . MINUS exp

```
Conflict in grammar: multiple actions possible when lookahead token is
PLUS in state 8
- reduce by rule: exp -> NEG exp
- shift token (then go to state 6)
Conflict in grammar: multiple actions possible when lookahead token is
MINUS in state 8
- reduce by rule: exp -> NEG exp
- shift token (then go to state 7)
States with conflicts:
```

State 8 exp -> NEG exp . #lookaheads= E0F PLUS MINUS exp -> exp . PLUS exp

Col

- Add "%token NEG" a
- Oh noes:

Conflict in grammar: multiple acti PLUS in state 8

- reduce by rule: exp -> NEG exp
- shift token (then go to state 6)

Conflict in grammar: multiple acti MINUS in state 8

- reduce by rule: exp -> NEG exp
- shift token (then go to state 7)

States with conflicts:

```
State 8
 exp -> NEG exp .
  exp -> exp . PLUS exp
  exp -> exp . MINUS exp
```



Conflict Interpretation

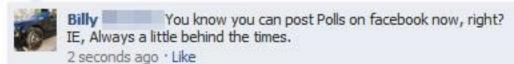
- So some table entry has all three:
 - $exp \rightarrow NEG exp$.
 - $exp \rightarrow exp$. PLUS exp
 - $exp \rightarrow exp$. MINUS exp
- What would the input have to look like to get to that table entry?



Internet Explorer

Question of the day: Which technological invention do you think has impacted our lives more - the telephone or the internet?

about a minute ago . Like . Comment



Conflict Interpretation

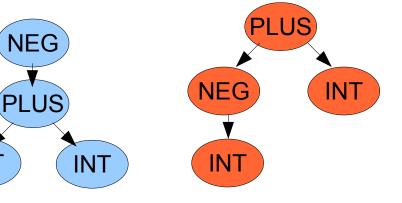
- So some table entry has all three:
 - $exp \rightarrow NEG exp$.
 - $\exp \rightarrow \exp$. PLUS \exp
 - $\exp \rightarrow \exp$. MINUS \exp
- What would the input have to look like to get to that table entry?
 - NEG INT . PLUS INT

Conflict Interpretation

- So some table entry has all three:
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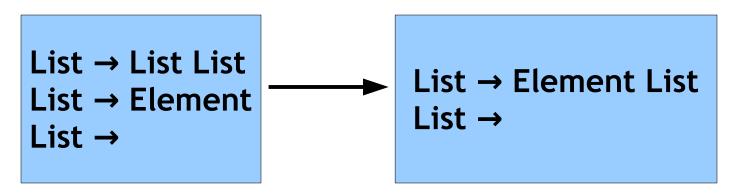
to that table entry?

- NEG INT . PLUS INT



Conflict Solution

- Shift/Reduce
 - Carefully specify precedence and associativity of operators (and sometimes of random tokens).
 - In last example, NEG has higher precedence than PLUS or MINUS.
- Reduce/Reduce
 - Rewrite grammar to avoid gross ambiguity:



Homework

Midterm 1 Next Class

- PS3 recommended for next Tuesday
- PA3 due next Tuesday
- CA2 due next Tuesday