

A System Dynamics Model of Climate and Malaria Incidence in Colombia

Un modelo de la dinámica del sistema malaria-clima en Colombia

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Para todos aquellos cuyo sistema inmune ha conocido la malaria.

For all those whose immune system has known malaria.

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To my parents, this is yours.

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Abstract & Resumen IX

Abstract

Nowadays, approximately 30% of the Colombians live in endemic lowlands at risk of getting infected with malaria. Nonetheless, it has been shown that climate change has caused, and is expected to continue to cause, the emergence of malaria in nonendemic highlands, where around 70% of Colombians live. Furthermore, strong malaria outbreaks in all Colombia where triggered by the occurrence of El Niño in the last 50 years. For this reason, the aim of this thesis is to develop a model capable of explain the role that climate plays on the vector-host transmission dynamics of malaria in Colombia. To this end, a mathematical system dynamics model forced by air temperature, that simulates the transmission of *P. falciparum* in an endemic zone, is developed. Iterated filtering, a recently developed parameter estimation technique, was used to define the unknown value of some parameters of the model, with the purpose of perform numerical simulations of the *P. falciparum* cases. The simulations performed with the Euler method, and an integration interval of one day, found that air temperature was responsible of the malaria outbreaks that occurred in Nuquí, Choco, in 1997, 1998, 2002 and 2003, during the warm phase of El Niño Southern Oscillation (ENSO), El Niño. This confirms similar findings of previous models developed and simulated in Colombia, and are consistent with the role that climate variability have in the origin of interannual dynamics of malaria in other parts of the world.

Keywords: *Plasmodium falciparum* malaria; system dynamics; mathematical model; climate change; El Niño; temperature; Colombia.

Resumen

Actualmente, aproximadamente el 30% de los colombianos vive en regiones endémicas de baja altitud, en riesgo de ser infectados con malaria. No obstante, ya se ha mostrado que el cambio climático ha causado, y se espera siga causando la aparición de la malaria en zonas epidémicas de mayor altitud, dónde cerca del 70% de los colombianos vive. Aún más, fuertes brotes epidémicos de malaria en toda Colombia han sido desencadenados por la ocurrencia del fenómeno de El Niño durante los últimos 50 años. Por esta razón, el objetivo de esta tesis, es construir un modelo que sea capaz de explicar el rol que juega el clima en la dinámica de transmisión vector-hospedero de la malaria en Colombia. Para esto, se desarrolla un modelo matemático en dinámica de sistemas que simula la transmisión de *P. falciparum* en una zona endémica, bajo el efecto de la temperatura del aire. Una técnica de estimación de parámetros desarrollada recientemente fue usada para determinar el valor desconocido de algunos parámetros del modelo, con el fin de realizar simulaciones numéricas de los casos de P. falciparum. Las simulaciones realizadas con un método de Euler y un tiempo de integración de un día, permitieron encontrar que la temperatura del aire fue la responsable de los brotes epidémicos de malaria que ocurrieron en Nuquí, Chocó en los años 1997,1998, 2002 y 2003 durante la fase cálida de El Niño Oscilación del Sur (ENOS), El Niño. Esto confirma hallazgos similares de modelos desarrollados, y simulados previamente en Colombia, y son consistentes con el papel que tiene la variabilidad del clima en el origen de dinámicas inter-anuales de la malaria, en otras partes del mundo.

Palabras clave: malaria *Plasmodium falciparum*; dinámica de sistemas; modelo matemático; cambio climático; El Niño; temperatura; Colombia.

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1.1 Problem Statement

Weather is the result of the coupling between the natural and the anthropogenic variation of climate. Natural variability is highly complex, with a wide range of spatial and temporal scales that emerge from multiple nonlinearities and feedbacks inside the earth system (Poveda, 2004; Poveda et al., 2011). Moreover, nowadays there is a scientific consensus that climate has changed, and is expected to continue to change due to anthropic activities (Cook et al., 2013; Field et al., 2014; Lovejoy, 2014; Powell, n.d.). Some even talk about a new geologic era: the Anthropocene (Crutzen, 2006; Steffen, Crutzen, & McNeill, 2007). This change has been caused by an increasing trend on the rate of Greenhouse Gases emissions to the atmosphere in the last 100 years, which has increased the global temperature with directly consequences for the human race (and all living beings), being the poorest the ones that suffer the worst consequences with respect to: health, human settlements, armed conflicts, infrastructure, economy, and morbidity and mortality due to extreme weather events, to name just a few (Field et al., 2014)

Both the natural and man-driven variability of climate, have an impact on health (Kilpatrick & Randolph, 2012; Kjellstrom & McMichael, 2013; McMichael & Lindgren, 2011; McMichael, Woodruff, & Hales, 2006; Patz, Campbell-Lendrum, Holloway, & Foley, 2005). In general terms, climate change increases the risk or a preexisting problem in the health of a population (Kjellstrom & McMichael, 2013), for example (Boulanger et al., 2014; K. R. Smith et al., 2014; World Health Organization, 2012):

- Deaths related to cold, heat, air contamination, floods, fires, and storms.
- Mental stress due to heat.
- Skin cancer, arteriosclerosis, diarrhea, diabetes, otitis and malnutrition.
- Cardiovascular, neurological, renal, ocular, mycotic and mental diseases.
- Respiratory diseases: asthma, rhinitis, and respiratory allergies.
- Diseases transmitted through:
 - Water-Borne Diseases (WBD): Cholera, enteric diseases, and Rotavirus.

Food-Borne Diseases (FBD): Campylobacteriosis, Salmonellosis,
 Fascioliasis, enteric diseases, and Rotavirus.

 Vector-Borne Diseases (VBD): hemorrhagic fever with renal syndrome, Onchocerciasis or "river blindness", Bartonellosis, Schistosomiasis, Rift Valley Fever, Japanese Encephalitis, thickborne encephalitis, Lyme Disease, Bubonic Plague, visceral and cutaneous Leishmaniosis, Chagas, Leptospirosis, Chikungunya, Yellow Fever, Hemorrhagic Dengue, Dengue and Malaria.

Malaria is a human parasitic disease caused by five species of protozoans of the *Plasmodium* genus (Centers for Disease Control and Prevention, n.d.), and transmitted to humans by an infective bite of near 70 species of female mosquitoes of the *Anopheles* genus (Sinka et al., 2012). In 2010 almost half of the world population lived at risk of contracting malaria, and 219 million cases and 660,000 deaths were estimated to occur world-wide (World Health Organization, 2013). In the American continent, one species of the *Plasmodium* parasite was introduced through the transatlantic slave trade (Yalcindag et al., 2012), and nowadays 30% of the population of 21 endemic countries live at risk and 8% at high risk of acquire malaria (World Health Organization, 2013). In 2011, 490,000 malaria cases were confirmed microscopically in the Americas (World Health Organization, 2013), of which about 20% take place in Colombia (Padilla, Álvarez, Montoya, Chaparro, & Herrera, 2011).

In Colombia malaria is one of the major public health problems of the nation with approximately 11 million people at risk of get the disease, and two million people living in rural areas with high levels of transmission intensity (Padilla et al., 2011). On those regions almost half of the population is multidimensional and monetarily poor (Departamento Administrativo Nacional de Estadistica (DANE), 2012), and malaria incidence impose a socio-economic burden "on fertility, population growth, saving, investment, worker productivity, absenteeism, premature mortality and medical costs" (Sachs & Malaney, 2002, p. 680).

Additionally, in Colombia malaria has shown: A clear-cut increasing trend of the AMI or API (the ratio between the total number of cases and the total population at risk per 1000 inhabitants) at a national scale from 1959 to 2010, as shown in Figure 1 (Bouma et al., 1997; Mantilla, Oliveros, & Barnston, 2009; Poveda et al., 2000, 2001, 2011, Poveda & Rojas, 1996, 1997); Epidemic outbreaks at national, regional, and municipal scales, during the occurrence of the warm phase (El Niño) of El Niño/Southern Oscillation (ENSO), over the tropical Pacific from 1959 to 2010

(Bouma et al., 1997; Mantilla et al., 2009; Poveda et al., 2000, 2001, 2011, Poveda & Rojas, 1996, 1997); A clear-cut annual cycle of malaria cases at a municipal level from 1980 to 1997 associated with the hydroclimatic annual cycle (Poveda et al., 2001, 2011); A strong coupling and phase-looking between the annual and interannual (ENSO) variability of climate and malaria cases at a municipal level from 1980 to 1997 (Poveda et al., 2000, 2001, 2011); and extension of the spatial distribution of cases at a regional scale to zones at higher altitudes, due to an increase of air temperature across years between 1990 and 2005 (Siraj et al., 2014). Furthermore it is expected that climate change will cause the emergence of diseases like malaria in non-endemic areas (high confidence), such as the Colombian Andes (Boulanger et al., 2014; K. R. Smith et al., 2014), where around 70% of the Colombian population lives (Padilla et al., 2011).

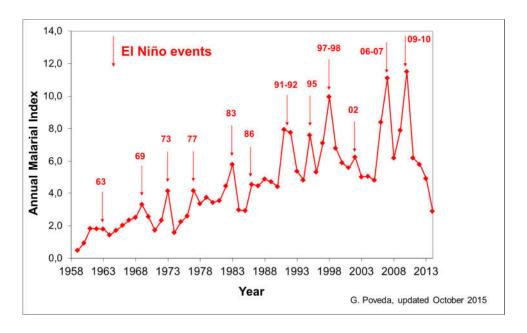


Figure 1. The Annual Malaria Index (AMI) or Annual Parasitic Index (API) of malaria in Colombia 1959-2014.

Provided by G. Poveda, personal communication, October 28, 2016.

These strong and complex relationships between malaria incidence and climate require the development of tools to support decision-making in order to prevent and control the disease (P. Martens & Thomas, 2005; Parham, Christiansen-Jucht, Pople, & Michael, 2011). Such tools are normally mathematical models, that when take into account climate variability allows to: (1) increase the understanding of the complex transmission dynamics under the influence of climate (Parham,

Christiansen-Jucht, Pople, & Michael, 2011), and therefore (2) asses different strategies to prevent and control the disease under different climate scenarios (Baeza, Bouma, Dhiman, & Pascual, 2014).

For this reason an explanatory mathematical model of the malaria-climate interactions in Colombia was developed (Ruiz, 2002; Ruiz et al., 2002, 2003, 2006). Which was the first published non-theoretical model that aimed to understand the observed relationships of climate and malaria cases. Nonetheless, poor documentation and dimensional inconsistency were found on it. The poor documentation derived in a lack of reproducibility, that is also present in a variety of disciplines, as in the system dynamics computer simulation models (Rahmandad & Sterman, 2012). While the dimensional inadequacy is also present in the biological sciences, and in key concepts of the vector-borne infections theory like the Ross' threshold theorem, the Macdonald's basic reproduction "rate", the Garret-Jones' vectorial capacity, and the Dietz-Molineaux-Thomas' force of infection, due to an incomplete notation (Eduardo Massad & Coutinho, 2012).

Therefore, following the evolutionary nature of science, the mosquito population module of the Ruiz et al. model was improved, using a more detailed, physically-based and properly referenced representation of diverse processes involved in the transmission of malaria (Bernal-García et al., 2014). But despite the progress made, there is still a need to improve some important aspects of the temporal dynamics of the vector-host malaria transmission in Colombia (Bernal-García et al., 2015). This need of improvement is also present in other mathematical models of malaria (D. L. Smith et al., 2014) that include the effect of climate (Parham et al., 2011), to allow them to answer better to strategic questions for malaria elimination (The malERA Consultative Group on Modeling, 2011).

There are three main things that put the Bernal-García et al. model (Bernal-García et al., 2014). out of the tool-box for malaria control and prevention in endemic zones of Colombia (Bernal-García et al., 2015): 1) The human population module is still characterized by dimensional inconsistency, 2) the human and mosquito population module are not interacting effectively which derives in an unrealistic representation of the infection process, and 3) the mosquito population is growing exponentially. To overcome these shortcomings we propose the next objectives.

1.2 Objectives

1.2.1 General

Develop a system dynamics model of the vector-host interactions of malaria in an endemic zone of Colombia that takes into account the influence of climate.

1.2.2 Specific

- Make a revision of published malaria models.
- Formulate physically-based equations that represents the natural infection process of vectors and host with *Plasmodium spp*. in an endemic zone of Colombia.
- Formulate a physically-based model that represents the infection states of humans with *Plasmodium spp.* in an endemic zone of Colombia.
- Estimate the in situ daily probability of survival of *Anopheles spp.* larvae and pupae.

The aforementioned Ruiz et al. model (Ruiz, 2002; Ruiz et al., 2002, 2003, 2006) was not an isolated event in the history of the mathematical modelling of climate-driven infectious diseases; but rather, a result of the evolution of mathematical models of mosquito-borne diseases. This chapter deals with the theoretical type of models that one could use to study climate-driven malaria, and the history that led to the development of models as the Ruiz et al. model, and which it aims to develop in this thesis.

2.1 Type of Models

For malaria one can find mainly two kinds of models: statistical and mathematical (Figure 2). Statistical, or black-box models, focus on the aggregate output behavior rather than the causal underlying mechanisms that produce such behavior (Barlas, 1996). Mathematical or white-box models, are statements of how the real system operate and focus on the internal structure of the model (Barlas, 1996). Mathematical models could be classified as dynamic or static (Figure 2). The former add the time dimension into the complexity, which allows to "study the evolution of a system over time" and "the inclusion of those aspects of the physical system that are interdependent" (Chitnis, Schapira, Smith, Smith, et al., 2010, p. 15). In contrast static models help to "study the properties of the system at equilibrium" and "assume that the system has reached a steady state solution that does not change with time" (Chitnis, Schapira, Smith, Smith, et al., 2010, p. 15).

Dynamic models can be either population-based or individual-based (Figure 2). Population-based models, aggregate individuals treating them as identical, and simulate how the number of individuals change over time in each class. Individual-based models (IBM) differentiate each member of the population considering individual characteristics, and simulate their interactions with "demographic, social, climatic, environmental or epidemiological process over time" (Parham, Christiansen-Jucht, Pople, & Michael, 2011, p. 50). While IBM could be closely related to reality, they are also more difficult to analyze or fit to data, requiring

substantial computing resources (Chitnis, Schapira, Smith, Smith, et al., 2010; Parham et al., 2011; Railsback & Grimm, 2011).

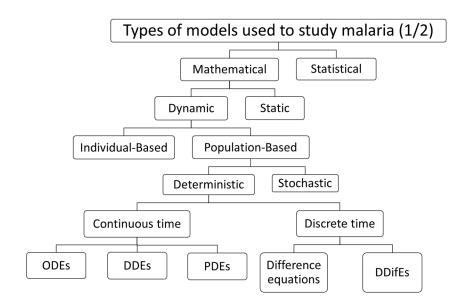


Figure 2. Types of models used to study malaria (1/2).

Own elaboration based on Chitnis, Schapira, Smith, Smith, et al. (2010), and Parham et al. (2011).

Population-based models could be classified as deterministic or stochastic (Figure 2). Deterministic models assume the system follow fixed and defined rules with no random variations or noise, where uncertainty is considered via sensitivity analysis. Stochastics models on the contrary, assume randomness or noise within the model formulation, where complex factors that are not understood and cannot be represented, are treated as random noise. Deterministic models assume the randomness has a negligible effect and consider only the average or mean behavior of the system (Chitnis, Schapira, Smith, Smith, et al., 2010; Parham et al., 2011).

Although not shown in Figure 2, both stochastic and deterministic models could consider time as discrete or continuous. With continuous, times "evolves smoothly over an interval so model parameters reflect the rate at which events occur" (Parham, Christiansen-Jucht, Pople, & Michael, 2011, p. 50). With discrete time, the "values of state variables are only tracked at certain time points of fixed separation" (Parham et al., 2011, p. 50). Continuous time models are described by Ordinary

Differential Equations (ODEs), Delay-Differential Equations (DDEs), or Partial Differential Equations (PDEs); and discrete time by difference, or Delay-Difference Equations (DDifEs) (Chitnis, Schapira, Smith, Smith, et al., 2010; Parham et al., 2011). In addition to the distinctions made on Figure 2, there is also a distinction between the within-host models that focus on the interactions of the parasite with the immune cells of an individual host, and the vector-host models that focus on the vector-host transmission dynamics. Those vector-host models could consider the vectors explicitly, or implicitly (Figure 3).

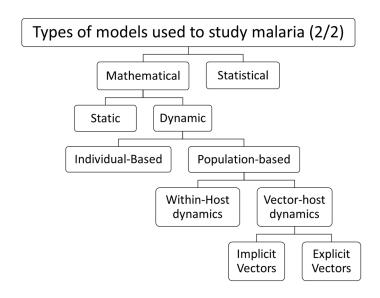


Figure 3. Types of models used to study malaria (2/2).

Own elaboration based on Bhadra et al. (2011), Chitnis, Schapira, Smith, Smith, et al. (2010), Laneri et al. (2010), Mandal et al. (2011), and Parham et al. (2011).

2.2 The Ross-Macdonald Theory of Mosquito-Borne Pathogen Transmission

The first mathematical models of the transmission dynamics of diseases were: the dynamical model for the transmission and control of smallpox developed by Daniel Bernoulli in 1766, the study of En´Ko in 1889 about the transmission dynamics of diseases, and the model of measles transmission of Hamer in 1906 (Brauer & Castillo-Chavez, 2011; Foppa, 2017). Thereafter, Sir Ronald Ross greatly contributed to set the bases of the quantitative theory of malaria, the mosquito-borne diseases, and the epidemiology with the publication of two models of the

malaria transmission dynamics in 1908 (Ross, 1908), and 1911 (Ross, 1911) (D. L. Smith et al., 2012). After him Lotka & Sharpe improved in 1923 the second Ross model (Lotka, 1923; Lotka & Sharpe, 1923), including the latency period of the parasite in the mosquito and the host (D. L. Smith et al., 2012). Four years later, Kermack & McKendrick (1927) acknowledged the contributions of Ross to their seminal paper from which the SIR epidemiological compartments modelling approach arose (D. L. Smith et al., 2012).

In the SIR model humans are classified in three different classes: Susceptible (S), those who have not been exposed to the pathogen, Infected (I), currently colonized by the pathogen, and Recovered (R), who have successfully cleared the infection (Figure 4). The per-capita rate at which humans in S move to I, and those in I move to R, are represented by the β and γ respectively. The latter is known as the recovery rate, and the former as a composite term of the contact rates and the transmission probability (Keeling & Rohani, 2008).

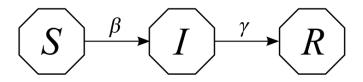


Figure 4. Diagram of the SIR model

Reprinted from Modelos de la Propagación de Enfermedades Infecciosas (p. 12), by F. Brauer et al., 2014, Cali: Universidad Autónoma de Occidente. Copyright 2014 by Universidad Autónoma de Occidente.

Some decades after, the seminal work by Ross was resumed by George Macdonald (Macdonald, 1950, 1952a, 1952b, 1957) introducing: the super infection and the reinfection of those already infected; the adult female mosquito survival; and the blood-feeding cycle (Mandal et al., 2011; D. L. Smith et al., 2012). Later on Garret-Jones (1964) contributed to the mathematical theory with methods for measure the transmission entomologically in 1964, and Macdonald published the first computer simulation of a mosquito-borne pathogen (D. L. Smith et al., 2012). Nevertheless, the most important contributions of Macdonald where: to develop a quantitative theory of control, which Ross was looking for, that helped give quantitative support

to the first Global Malaria Eradication Program (GMEP); and synthetize quantitatively the epidemiology and the entomology of malaria (D. L. Smith et al., 2012). The result was the Ross-Macdonald theory of mosquito-borne pathogen transmission (D. L. Smith et al., 2012), from which the Ross-Macdonald model arose.

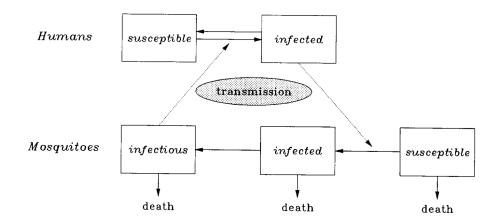


Figure 5. Structure of the Ross-Macdonald model of malaria transmission

Reprinted from "On the use of mathematical models of malaria transmission," by J.C. Koella, 1991, Acta tropica, 49 (1), p.3. Copyright (1991) by the Elsevier Science Publishers B.V.

In the Ross-Macdonald model humans are classified as susceptible or infected, while mosquitoes as susceptible, infected, or infectious (Figure 5). Infected mosquitoes have the parasite, but are incapable of transmiting it, while the infectious can transmit the parasite to susceptible humans. Human and mosquito populations are connected through the transmission process marked with dotted arrows in Figure 5. Infectious mosquitoes affect the rate at which humans move from S to I, and the rate at which mosquitoes go from S to I depends on infected humans.

From the Ross-Macdonald theory of mosquito-borne pathogen transmission, different models have emerged considering differences on: pathogens, constraints in the measure of the transmission, mosquitoes, immune response, tools and public health problems (Reiner et al., 2013). From 1970 to 2010, 388 biological mathematical models for a pathogen transmitted by a mosquito were published, of

which 207 (53%) were published between 2005 and 2010 (Reiner et al., 2013). From that total, 230 were developed for malaria (57%), 77 for dengue (20%), 31 for West Nile Virus (8%), and the remaining 15% for other pathogens associated with Filariasis, Rift Valley fever, yellow fever, Chikingunya, Ross River fever, Japanese encephalitis, Murray Valley encephalitis, and Eastern Equine encephalitis (Reiner et al., 2013). From the 230 published malaria models (Reiner et al., 2013):

- 15 (6.5%) considered a temperature dependent pathogen development rate in the mosquito (Alonso, Bouma, & Pascual, 2011; Artzy-Randrup, Alonso, & Pascual, 2010; Bomblies, Duchemin, & Eltahir, 2008; Bomblies & Eltahir, 2009; Chitnis, Schapira, Smith, & Steketee, 2010; Chiyaka, Garira, & Dube, 2007; Hoshen & Morse, 2004; Linard, Ponçon, Fontenille, & Lambin, 2009; E. Massad & Forattini, 1998; Okumu, Govella, Moore, Chitnis, & Killeen, 2010; Parham & Michael, 2010a, 2010b; Ruiz et al., 2006; Wyse, Bevilacqua, & Rafikov, 2007; Yang, 2000);
- 12 (5.2%) considered that the development of immature stages was temperature dependent (Alonso et al., 2011; Bomblies et al., 2008; Bomblies & Eltahir, 2009; Chiyaka et al., 2007; Hoshen & Morse, 2004; Linard et al., 2009; E. Massad & Forattini, 1998; Parham & Michael, 2010a, 2010b; Ruiz et al., 2006; Yang, 2000);
- 11 (4.8%) adopt a temperature dependent adult mosquito mortality in the absence of control (Alonso et al., 2011; Bomblies et al., 2008; Bomblies & Eltahir, 2009; Hoshen & Morse, 2004; P. Martens et al., 1999; W. J. M. Martens, Jetten, Rotmans, & Niessen, 1995; W. J. M. Martens, Niessen, Rotmans, Jetten, & Mcmichael, 1995; E. Massad & Forattini, 1998; Parham & Michael, 2010a, 2010b; Ruiz et al., 2006);
- 9 (3.9%) undertake that the blood feeding rates vary with temperature in the absence of control (Alonso et al., 2011; Bomblies & Eltahir, 2009; Hoshen & Morse, 2004; P. Martens et al., 1999; W. J. M. Martens, Jetten, et al., 1995; W. J. M. Martens, Niessen, et al., 1995; Parham & Michael, 2010a, 2010b; Ruiz et al., 2006);
- 7 (3%) assume that the aquatic habitat was created and destroyed by rainfall/desiccation (Alonso et al., 2011; Bomblies et al., 2008; Bomblies & Eltahir, 2009; Gu, Regens, Beier, & Novak, 2006; Hoshen & Morse, 2004; Parham & Michael, 2010a; Ruiz et al., 2006); and
- 6 (4.3%) considered that temperature affects the development of immature stages, the adult mosquito mortality, the blood feeding rates, and the pathogen development rate in the mosquito (Alonso et al., 2011; Bomblies & Eltahir, 2009; Hoshen & Morse, 2004; Parham & Michael, 2010a, 2010b; Ruiz et al., 2006).

However only 5 (2.2%) malaria mathematical models reviewed by Reiner et al. (2013) included the 5 aforementioned climate dependencies (Alonso et al., 2011; Bomblies & Eltahir, 2009; Hoshen & Morse, 2004; Parham & Michael, 2010a; Ruiz

et al., 2006). Highlighting the need of more explanatory mathematical models that account for the diverse effects of climate variables in the transmission of malaria.

2.3 Malaria Models that Consider Climate

The works by Ross (1911), Macdonald (1957), and Anderson & May (1991), are identified as the pioneer initial basic models, from which many other models have been developed (Mandal et al., 2011). Those new models have advanced in the inclusion of: the parasite latency period in the host; the acquired immunity of the host; the host and the mosquito age; migration and immigration of the host population; spatial heterogeneity; parasite, host and vector genetics; parasite resistance to medicine and vector resistance to insecticides; socioeconomic factors; and climatic and environmental factors (Chitnis, Schapira, Smith, Smith, et al., 2010; Mandal et al., 2011; D. L. Smith et al., 2014; Teboh-Ewungkem, Ngwa, & Ngonghala, 2013).

In this section, I review the non-theoretical models, which has a main objective to evaluate the effect of climate variability, in the vector-host malaria dynamics at the population-level, and therefore, in observed malaria incidence. A total of 14 models met this requirements (Figure 6), from which 6 were developed for Africa (Alonso, Bouma, & Pascual, 2011; Ermert, Fink, Jones, & Morse, 2011a, 2011b; Hoshen & Morse, 2004; Laneri et al., 2015; Montosi, Manzoni, Porporato, & Montanari, 2012; Tompkins & Ermert, 2013), 4 for India (Baeza, Bouma, Dhiman, & Pascual, 2014; Bhadra et al., 2011; Laneri et al., 2010; Roy, Bouma, Dhiman, & Pascual, 2015; Roy, Bouma, Ionides, Dhiman, & Pascual, 2013), 3 for Colombia (Bernal-García et al., 2014; Ruiz, 2002; Ruiz et al., 2002, 2003, 2006), and 1 for Venezuela (Rodríguez, Delgado, Ramos, Weinberger, & Rangel, 2013). Mercedes Pascual and Menno Bouma are the authors that have published more of them, with a total of 6 (43%) models developed together (Alonso et al., 2011; Baeza et al., 2014; Bhadra et al., 2011; Laneri et al., 2010; Roy et al., 2015, 2013).

The first vector-host models that take into account the effect of climate on a vector borne-disease were developed in the first half of the 90's for dengue with dynamic populations (Focks, Daniels, Haile, & Keesling, 1995; Focks, Haile, Daniels, & Mount, 1993a, 1993b). These pioneer studies were followed by a series of static models based on the Epidemic Potential (EP) and the Vectorial Capacity (VC). The EP is the inverse of the critical density threshold of vector populations, needed to

maintain parasite transmission proposed by Dietz (1988). This EP was used to analyze the global effects of an anthropogenically induced climate change on the risk of acquire *P. vivax* and *P. falciparum* malaria (Jetten, Martens, & Takken, 1996; W. J. M. Martens, 1997, 1998; W. J. M. Martens, Jetten, & Focks, 1997; W. J. M. Martens, Jetten, Rotmans, & Niessen, 1995; W. J. M. Martens, Niessen, Rotmans, Jetten, & Mcmichael, 1995). The Vectorial Capacity model proposed by Garret-Jones (1964), was used to relate the effect of temperature on the density of *Anopheles spp.* adult females with malaria risk (E. Massad & Forattini, 1998) and examine the ability of an European vector to transmit *P. vivax* malaria (Lindsay & Birley, 1996).

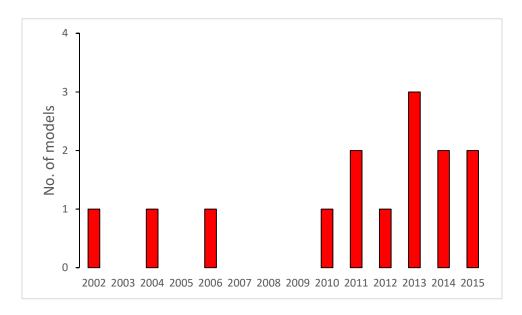


Figure 6. Temporal tendency of the non-theoretical models published until 2015, which has as its main objective to evaluate the effect of climate variability, in the vector-host malaria dynamics at the population-level, and therefore, in observed malaria incidence.

Own elaboration in Microsoft Excel (2012).

The EP was also used to explore the way in which the global climate change could influence future malaria patterns at a regional level for the African highlands as a whole, and at a local level for Zimbabwe (Lindsay & Martens, 1998). In addition the EP was validated in Colombia with *P. vivax* epidemiological records, where it was able to reproduce the interannual variation in cases, the peaks, and the upward trend with time (Poveda et al., 2000). Later on, the EP was improved and named Transmission Potential (TP), which allows to estimate the potential impact of climate

change on global malaria transmission, and the future populations at risk of malaria for the WHO regions (P. Martens et al., 1999).

In 1997, the first vector-host mathematical model that accounts for the effect of climate on malaria transmission was developed by Martens (1997) & Janssen (1997). They use an evolutionary modeling approach to simulate the adaptation of *P. falciparum* parasites to drugs, and that of *Anopheles spp.* mosquitoes to the available pesticides, and changes in temperature. This was done by coupling genetic algorithms with a dynamic malaria-epidemiological model. The latter consists of a constant mosquito population represented by the EP that interacts with a dynamic human population. "The transitions between the reservoirs of the human populations at risk were based on a microparasite-epidemiological model as described in Anderson & May (1991), Aron & May (1982), Bailey (1982), and Levin, Hallam, & Gross (1989)." (W. J. M. Martens, 1997, p. 41).

Yang (2000) published the first mathematical model of malaria and climate with dynamic populations on ODEs. He developed a "malaria transmission model taking into account different levels of acquired immunity among human hosts and temperature-dependent parameters related to vector mosquitoes" (Yang, 2000, p. 228). This model allowed to analyze "the epidemiological impact of temperature changes and social and economic conditions on malaria incidence in communities with different levels of acquired immunity ..." (Yang & Ferreira, 2000, p. 215). However, Yang (2000) and Janssen & Martens (1997) models were merely theoretical, since they weren't developed for a specific location or validated with epidemiological records.

The first non-theoretical model that aimed to understand the observed relationships of climate and malaria cases was developed in Colombia with ODEs. The first simulations of the model were done for *P. falciparum* and validated in Nuquí, Chocó, an endemic site on the Pacific coast of Colombia during El Niño 1997–1998 and La Niña 1998–2000 (Ruiz, 2002; Ruiz et al., 2002, 2003). Then the time horizon for the mentioned study site was extended to December of 2003, and a new endemic area located in the north-west of Colombia, El Bagre, Antioquia, was added (Ruiz et al., 2006). Although the model develop an *An. albimanus* mosquito population module with the purpose of simulate the dynamics of the vector population, malaria incidence simulations were done with constant mosquitoes densities.

The Ruiz et al. (2006) model was included later in a Multi Model Ensamble (MME) that simulate the *P. falciparum* incidence in: the Kisii District of the province of Nyanza, an African highland; the District of Chobe, in Northern Botswana; and in the same municipalities than before, Nuquí, and El Bagre (Ruiz, Connor, & Thomson, 2008a, 2008b). In addition, as part of the Integrated National Adaptation Pilot Project (INAP) of Colombia, this MME was included as a component of the malaria early warning system of Colombia (Poveda et al., 2008; Ruiz et al., 2006, 2014), and used to simulate *P. falciparum* cases in the municipalities of Montelibano and Puerto Libertador, Cordoba; San José del Guaviare, Guaviare; and Buenaventura, Chocó (Ruiz, 2013; Ruiz et al., 2014).

The first non-theoretical malaria model with dynamic populations was developed in the University of Liverpool, U.K. (Hoshen & Morse, 2004). This model allows to simulate *P. falciparum* malaria in the Hwange District, Matabeleland, Zimbabwe with ground station (Hoshen & Morse, 2004), and weather reanalysis data (Hoshen & Morse, 2005). The weather-based dynamic malaria model was formulated with "delay differential equations based on probabilistic transition between groups" (Hoshen & Morse, 2004, p. 3). It incorporates the stages of the malaria vector *An. gambiae* and their dependence on temperature and rainfall. It was also "driven with the output from the DEMETER multi-model seasonal climate predictions, to produce probabilistic hindcasts of malaria prevalence" (Morse, Doblas-Reyes, Hoshen, Hagedorn, & Palmer, 2005, p. 464), and used "to carry out the validation of the DEMETER multimodel reforecasts for seasonal prediction of malaria in Botswana, south Africa" (Jones & Morse, 2010, p. 4202).

The Hoshen & Morse (2004) model was improved later in terms of the parameter setting and the mathematical formulation (Ermert, Fink, Jones, & Morse, 2011a). In addition, entomological and epidemiological field data of West Africa was used for parameter calibration and validation, the new model was called the Liverpool Malaria Model (LMM) (Ermert, Fink, Jones, & Morse, 2011b). Since then, the LMM has been used to map malaria risk in West Africa based on climatic indicators (C. Caminade et al., 2011); to evaluate the possible effect of climate change on malaria transmission in Africa (Ermert, Fink, & Paeth, 2013) and all the globe (Cyril Caminade et al., 2014); and perform "a 30-year hindcast of malaria incidence in India with output from a state of the art coupled atmosphere-ocean seasonal forecast model" (Lauderdale et al., 2014, p. 2).

Bhadra et al. (2011) and Laneri et al. (2010) developed a different view of mathematical modelling, such that they changed completely the vector-host, population-based mathematical models of climate-driven malaria. In a novel approach, they do not consider explicitly the mosquito populations any longer; and consider simultaneously human immunity, and fluctuations in mosquito densities produced by environmental changes (Bhadra et al., 2011; Laneri et al., 2010). The models are a coupled nonlinear system of stochastic differential equations driven by Lévy noise, and defined as a Partially Observed Markov Process (POMP) (Bhadra et al., 2011). Additionally, they a recently developed parameter estimation technique for POMP models, based on a sequential Monte Carlo method, iterating filtering. This, approach allows to compare different classes of models, considering hypotheses on the origin of the interannual variation of malaria epidemics. Which in their case where, rather the endogenous feedback structure of the epidemiological system or the exogenous climate forcing, were responsible of the epidemics in desert and semi-arid regions of India (Laneri et al., 2010). These studies also provide the "statistical foundations for building and analyzing dynamic models of population-level malaria transmission that can be confronted to time series data" (Bhadra et al., 2011, p. 442).

The Bhadra et al. (2011) and Laneri et al. (2010) approach, allows to model *P. vivax* infections under the effect of climate with dynamic populations for the first time, in a low-endemic region in North West India (Roy, Bouma, Ionides, Dhiman, & Pascual, 2013). In this study, they introduced a simple model with a Q class, which accounts for partially immune individuals, and a chain of H classes, to capture the liver dormant stage of *P. vivax*, hypnozoites (Roy et al., 2013). The model results support "the feasibility of regional elimination and lending support to the expressed urgency of replacing Primaquine, the anti-relapse drug now in use for over fifty years with unsatisfactory efficacy, resistance and side-effects." (Roy et al., 2013, p. 2)

Therefater, the Q class was proposed in a model for *P. falciparum* and *P. vivax* infections, where iterated filtering was used to estimate unknown parameters of this models (Roy, Bouma, Dhiman, & Pascual, 2015). These models were used to generate yearly forecast of out-of-fit epidemiological data, to distinguish the roles of climate variability and intervention methods in generating non-stationarities in observed data (Roy et al., 2015). Afterwards Laneri et al. (2015) modeled for the first time endemic malaria making use of iterated filtering and the implicit vector

approach, with the aim of disentangle the relationship between climate and immunity. The results "suggests for the first time to our knowledge that clinical immunity to malaria might buffer or even halt the effect of climate on transmission intensity in endemic settings in general" (Laneri et al., 2015, p. 8790) and "open the possibility of forecasting malaria from climate in endemic regions but only after accounting for the interaction between climate and immunity" (Laneri et al., 2015, p. 8786).

Another model that has considered malaria transmission between human and mosquito populations, under the effect of climate, was proposed by Alonso, Bouma & Pascual (2011). The model was the first to evaluate "... the effect of local warming trends with a quantitative approach that explicitly considers the full transmission cycle of malaria." (Alonso et al., 2011, p. 1661). They use a thirty year time series of confirmed malaria cases in the Kericho district of the Kenyan highlands, to evaluate if an observed increase of 1°C in those thirty years was responsible for an observed increasing trend in the cases. In this case, they do not use iterating filtering, but genetic algorithms to estimate unknown parameters that maximize the likelihood of simulated and observed cases. The authors conclude that their "... findings support a significant effect of warmer temperatures on the exacerbation of malaria in this East African highland, while also allowing for a role of other factors." (Alonso et al., 2011, p. 1662).

Montosi, Manzoni, Porporato, & Montanari (2012) proposed that soil water content, in addition to rainfall and temperature, could also play a role as a driver of malaria incidence. To test their hypothesis, they developed an eco-hydrological model that consists of a coupled model of vector-host malaria transmission with a hydrological model describing soil water content. Then, they reduce the full non-linear eco-hydrological model to a minimal, linear, simple model, and use it to describe malaria incidence in three South African provinces. Despite this assumptions they "show that soil water content can account for a significant portion of malaria's case variability beyond its seasonal patterns, whereas neither temperature nor rainfall alone can do so (Montosi et al., 2012, p. 2759).

Tompkins & Ermert (2013) also considers a vector-host dynamical model that takes into account the effect of rainfall and temperature in the mosquito population, and a surface hydrology model. This model is a grid cell distributed dynamical model,

called VECTRI (VECtor-borne disease community model of the International Centre for Theoretical Physics, TRIeste), that "can be used on a relative fine spatial resolution of order 10 km but applied over a regional scale" (Tompkins & Ermert, 2013, p. 2). VECTRI simulations of the entomologic inoculation rate and circumsporozoite protein rate, has shown good results compared with field data from a wide variety of endemic and epidemic locations in West Africa. In addition, VECTRI was used along the LMM and other models, to evaluate the possible effect of climate change on global malaria transmission (Cyril Caminade et al., 2014).

Rodríguez et al. (2013) proposed a dynamic model for malaria in South America, with four state variables and rainfall as the forcing, to study malaria incidence in some localities of Paria peninsula in Sucre State, Venezuela. Thereafter, they reduced the system of equations to a one-dimensional equation, with 4 delays, and estimate parameters with this resulting equation. The resulting simulations mimic 80% of observed incidence wit 95% of confidence, and reveal that the localities under study exhibit different dynamics.

Finally, Baeza et al. (2014), using a coupled human-mosquito model, explore for the first time the effectiveness of different strategies under environmental variability. They considered a reactive policy, and a proposed climate-based policy. The reactive one is the used by public health authorities, and depends on observed malaria incidence in the past, therefore creating a feedback between the current control strategy and past incidence levels. While the climate-based is based on rainfall levels, acting as an external forcing to the system rather than an endogenous variable. The authors focus on the Indoor Residual Spraying (IRS) control strategy in a low transmission and desert region of northwest India, where rainfall plays a major role driving epidemics (Bhadra et al., 2011; Laneri et al., 2010; Roy et al., 2015, 2013). Their results show that reactive policies create cycles in malaria in the absence of environmental variability, and that climate-based control strategies are more efficient and effective.

3. Methodology

System Dynamics (SD) was developed by professor Jay W. Forrester at the end of the 60's at the Massachusetts Institute of Technology (MIT), applying the control theory used in electric and electronic engineering, to social and industrial problems (Jay W Forrester, 1989). Professor Forrester also invented a computer random access magnetic core memory, which set the basis for the development of the first digital computers (Dizikes, 2015). Nowadays, SD is an assisted computer modelling approach for theory building; analysis and design of policies; and strategic decision support, applied to dynamic problems in social, managerial, economical or ecological complex systems – literally any dynamic systems characterized by interdependence, mutual interaction, information feedback, and circular causality (Richardson, 2009; System Dynamics Society, n.d.). Therefore, SD allows to manage systems with high levels of dynamical complexity, studying the structures that create the behavior of those systems (Sterman, 2000b).

Models are represented through a computer simulation model expressed in a system of coupled, non-linear, first-order differential (or integral) equations (Richardson, 2009). This system have been called a state-determined system, an absolute system, an equifinal system, and a dynamical system (Richardson, 2009). The numerical solution of this system are actually a simulation exercise, and it allows to understand problems and their possible solutions mechanisms, which reduce the economic and social costs that require the essay of policies and strategies in a real system (Jay W Forrester, 1995; Sterman, 2002). Given that the human mind reasoning is limited and linear, SD is a powerful tool that allows to understand more completely the structure of complex systems, and therefore make better decisions (Jay W Forrester, 1995; Sterman, 2002). (2000)

SD models have been applied to business policy and strategy, public policy, diffusion of innovations, scenario-driven planning, economics, organizational learning, environment, energy, climate change, and other sectors (Meyers, 2011).

Applications in the health sector are wide and include: smoking cessation; reduction of alcohol-related harm; cardiovascular disease prevention; screening for cervical cancer; screening for chlamydia; emergency health and social care; forensic mental health bed capacity; pharmacotherapy maintenance system; clinical preventive care; plan for disasters; HIV/AIDS; polio; tobacco control; obesity; treatment and diagnosis of mental health; health management; heart disease; diabetes; drug resistant pneumococcal; heroin addiction; cocaine prevalence; health care capacity and delivery; dental care; interactions between health care or public health capacity and disease epidemiology; dengue fever, chikungunya and malaria (Atkinson et al., 2015; Flessa, 1999; Hirsch & Homer, 2009; Homer & Hirsch, 2006; Luke & Stamatakis, 2012; Mecoli, De Angelis, & Brailsford, 2013; Newman, Martin, Velasco, & Fantini, 2003; Wolstenholme, 2009).

Given that malaria incidence under the influence of climate variability is characterized by dynamic complexity, this is, by feedbacks, nonlinearities and delays, system dynamics it's an appropriate methodology to study it. The reader can found later feedbacks in Figure 13, and delays in Equations 4.8 to 4.10. Although more feedbacks and delays can be found in the malaria transmission system, as shown in Bernal-García et al. (2015), and in Alonso et al. (2011) respectively. With respect to nonlinearities, they are also present in the malaria transmission forced by climate changes, as shown in Annex B. More nonlinearities can also be found elsewhere (Craig, Le Sueur, & Snow, 1999; W. J. M. Martens, Jetten, & Focks, 1997; Mordecai et al., 2013; Parham & Michael, 2010a). Therefore, the author consider system dynamics as a good methodology to deal with the issue under study.

SD follows a modelling process that involves the following steps: (1) Problem articulation, (2) Dynamic hypothesis, (3) Model formulation, (4) Validation, and (5) Policy Analysis (Sterman, 2000b). Although this process "... is a feedback process, an iterative cycle, not a linear sequence of steps. Models go through constant iteration, continual questioning, testing, and refinement. ...Iteration can occur from any step to any other step (Sterman, 2000b, p. 87). This thesis will focus on the first four steps, which are explained in detail below.

3.1 Problem Articulation

"The most important step in modeling is problem articulation. Every model is a representation of a system, but for a model to be useful, it must address a specific problem and must simplify rather than attempt to mirror an entire system in detail. The usefulness of models lies in the fact that they simplify reality, creating a representation of it we can comprehend." (Sterman, 2000b, p. 89)

The most useful tools to articulate a problem are reference modes, and time horizon. The reference mode is "literally a set of graphs and other descriptive data showing the development of the problem over time" (Sterman, 2000b, p. 90). In order to develop the reference mode, one must define the time horizon and the most important variables to understand the problem (Sterman, 2000b). In this case climatic, entomologic, and epidemiologic variables were taken into account to develop the reference mode. While the time horizon was set from January of 1994 to December of 2005, because older or newer data wasn't available.

3.2 Dynamic Hypothesis

Once the problem has been identified and characterized, one starts to develop a theory called dynamic hypothesis, to account for the observed behavior (Sterman, 2000b). It is dynamic because it must explain the dynamics of the problem observed in the reference mode, and it is a hypothesis, because it is always provisional, subject to revision or abandonment (Sterman, 2000b). Two of the most important tools to communicate the dynamic hypothesis are: Causal Loop Diagrams (CLD) and Stock and Flow Diagrams (SFD).

A CLD denotes the underlying causal structure of a system and are useful for illustrating the feedbacks between it (Sterman, 2000b). Therefore CLDs can be seen as conceptual representations of the dynamic complexity of a system (Sterman, 2000b). CLDs show the balancing (negative) and reinforcing (positive) feedback loops that produce the dynamic behavior of the system, the interaction among the main variables, and important delays denoted by the "equal" sign on arrows (Sterman, 2000b). The sign at the head of each arrow denotes the nature of the relationship as follows: $x \to^+ y$ means that $\frac{\partial y}{\partial x} > 0$, and $x \to^- y$ that $\frac{\partial y}{\partial x} < 0$.

SFDs along with CLDs represent two central concepts of dynamic systems theory (Sterman, 2000b). Stocks are accumulations, they characterize the state of the system, give systems inertia and provide them with memory (Sterman, 2000b).

Stocks are also known as states, state variables, levels, compartments or reservoirs (Sterman, 2000b). Stocks are represented by rectangles, inflows by a pipe (arrow) pointing into (adding to) the stock, and outflows by pipes pointing out of (subtracting from) the stock (Figure 7).



Figure 7. Stock and Flow Diagram example.

Own elaboration in Vensim PLE (Ventana Systems Inc., 2013)

3.3 Model Formulation

Once the dynamic hypothesis has been developed, it must be tested. To do this, one must pass from the conceptual aspect of the diagrams, to a fully specified formal model with equations, parameters and initial conditions (Sterman, 2000b). Since "stocks accumulate or integrate their flows; the net flow into the stock is the rate of change of the stock. Hence the structure represented in Figure 7 above corresponds exactly to the following integral equation" (Sterman, 2000b, p. 194):

$$Stock(t) = \int_{t_o}^{t} [Inflow(s) - Outflow(s)] ds + Stock(t_o)$$
 (3-1)

"Equivalently, the net rate of change of any stock, its derivative, is the inflow less the outflow, defining the differential equation" (Sterman, 2000b, p. 194):

$$\frac{d(Stock)}{dt} = Inflow(t) - Outflow(t)$$
 (3-2)

In order to estimate unknown parameters, iterated filtering was used, which is a recently developed parameter estimation method based on a sequential Monte Carlo technique (Laneri et al., 2015; Roy, Bouma, Dhiman, & Pascual, 2015; Roy, Bouma, Ionides, Dhiman, & Pascual, 2013). This methodology use a likelihood-based inference to compute maximum likelihood estimates, and have been successfully applied to malaria dynamical models forced by climate (Bhadra et al., 2011; Laneri et al., 2010, 2015, Roy et al., 2015, 2013).

This method consists of two loops, with the external loop iterating the internal "filtering" loop. This internal filtering loop selects the best particles based on their

likelihood according to the data, where each particle is a simulation with its own set of parameter values. Thereafter, the second loop takes the best particles and give them back to the internal filtering loop that will use them as initial conditions for a new iteration. In this new iteration, the inner loop mutates each particle (with a mutation factor that decays every external loop), creating a new set of particles that will be selected or discarded based on their likelihood.

3.4 Validation

Although validation is a process that pervades all phases of model building, this section presents the tests introduced by Schwaninger & Groesser (2009), which are based on those presented by Barlas (1996), Forrester & Senge (1980), and Sterman (2000).

3.4.1 Model Structure

These tests can be assessed by direct or indirect inspection, and aim to "assess whether or not the logic of the model is attuned to the corresponding structure in the real world" (Schwaninger & Groesser, 2009, p. 772). Direct structure test "qualitatively assess any disparities between the original system structure and the model structure" (Schwaninger & Groesser, 2009, p. 772), while the indirect structure test "assess the validity of the model structure indirectly by examining model-generated outcome behaviors" (Schwaninger & Groesser, 2009, p. 773).

3.4.2 Model Behavior

"Test of model behavior compare simulation outcomes with data from the real system under study" (Schwaninger & Groesser, 2009, p. 774). In order to validate model behavior the model was simulated in Powersim Studio 10 Academic (Powersim software AS, 2017).

4. The System Dynamics Model of Climate and Malaria Incidence in Colombia

The system dynamics Model of Climate and Malaria Incidence in Colombia, henceforth, MCMC, is a mathematical deterministic model, constituted by a set of ordinary nonlinear differential equations. The MCMC is a population-based, vector-host dynamic model, built under the system dynamics methodology.

4.1 Problem Articulation

We focus our study on the municipality of Nuquí, located in the Chocó department, along the Pacific coast of Colombia. All the data used to derive the results presented here, as well as more graphs and analysis are available in the folder "Bernal-García, Sebastian_MasterThesis/Chapter 4/Data Analysis/" of the Malaria Colombia online Git-Hub repository (Bernal-García, 2017).

4.1.1 Climate

Climate in the Pacific region is characterized by tropical rainforest that varies from hyperhumid (A) to subhumid (B1, B2) according to Thornthwaite's classification. The maximum and minimum average annual temperatures in the region are 32,2°C and 20°C respectively, while the average mean annual temperature is 25°C. The average monthly multiannual sun light is 287,4 hours per month, and the average cloud cover in all the region remains in values near to 6 oktas (Rangel, Arellano, & Rangel, 2004). Furthermore, the Pacific region water balances shows excess (Rangel et al., 2004), because the region is one of the rainiest places on Earth, with an average annual rainfall over 12,000 mm, and a relative humidity of 82% (Poveda, 2004; Poveda & Mesa, 2000). This is mostly due to the action of the low-level Chocó jet, which is responsible for the transport of large amounts of moisture from the Pacific Ocean inland (Poveda, 2004; Poveda, Jaramillo, & Vallejo, 2014; Poveda & Mesa, 2000).

Regarding climate in the municipality of Nuqui, two meteorological stations of the Instituto de Hidrología, Metereología y Estudios Ambientales de Colombia (IDEAM), were used to characterize it. The first one is named Amargal and is located in the municipality of Nuquí (5'34", 77'30") at an altitude of 30 m.a.s.l. Available data for this station comes from November 1st of 1997 to April 30th of 2010. The second station, named Panamericana, is located in the municipality of Bahia Solano (6'13", 77'24") at an altitude of 4 m.a.s.l. with available date from January 1st of 1963 to date. The climatic records of these stations were used to calculate the mean monthly air temperature (Figure 8), and the total monthly precipitation (Figure 9) in Nuquí from January 1st of 1994 to December 31st of 2005. A full description of how this was made can be found in a free online code at Git-Hub, alongside more graphs and analysis of this climatic series (Bernal-García, 2017).

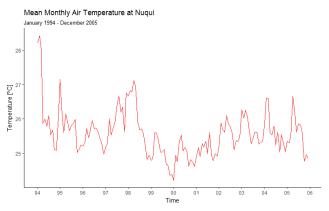


Figure 8. Mean Monthly Air Temperature at Nuquí, from January of 1994 to December of 2005.

Own elaboration in R (R Core Team, 2017).

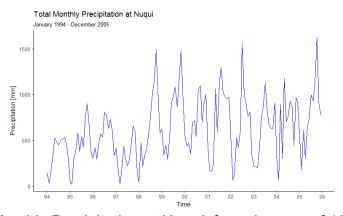


Figure 9. Total Monthly Precipitation at Nuquí, from January of 1994 to December of 2005.

Own elaboration in R (R Core Team, 2017).

4.1.2 Malaria Burden

The pacific coast is considered the second most important region for malaria transmission in Colombia (Padilla et al., 2011). There, around 5% of Colombia population has accounted for 10% to 30% of the total national malaria cases in the last 50 years (Padilla et al., 2011). There, mining activities, fish farms, illicit crops, internal armed conflicts, subsistence agriculture, and timber industry, contribute to the endemic-epidemic malaria transmission in the region (Padilla et al., 2011). Where most of the inhabitants are Afro descendants, characterized by haemoglobinopathies and Duffy-negative phenotypes, that make them refractory to *P. vivax* infection (Padilla et al., 2011), reason for which we consider in our model only *P. falciparum* infections. The cases reported in Nuquí can be seen in Figure 10, while more graphs and analysis can be found online (Bernal-García, 2017).

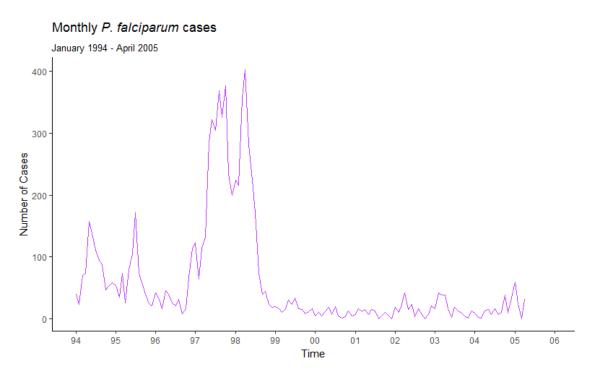


Figure 10. Total monthly *P. falciparum* cases at Nuquí from January of 1994 to April of 2005.

Own elaboration in R (R Core Team, 2017). Data were extracted from Ruiz et al. (2006) using WebPlotDigitizer (Rohatgi, 2013).

4.1.3 Entomological Aspects

Regarding the presence of *Anopheles* genus mosquitoes in the region, there are records of *An. (Nys.) albimanus* and *An. (Ker.) Neivai* (Carvajal, de Herrera, Quintero, Alzate, & Herrera, 1989; Gutiérrez, Naranjo, et al., 2009; Murillo B., Jaramillo S., Quintero C., & Suarez T., 1989; Naranjo-Diaz, Rosero, Rúa-Uribe, Luckhart, & Correa, 2013; V. Olano, Carrasquilla, & Méndez, 1997; Rúa-Uribe, 2006b), genus that were detected by the research team during their visits to the area. The first species is considered one of the primary malaria vectors in the Americas and Colombia, while the latter has a secondary vector status at both country and continental level. Nonetheless, the role of *An. neivai* at a local and regional range could be primary rather than secondary (Olano, Brochero, Sáenz, Quiñones, & Molina, 2001; Sinka et al., 2010; Montoya-Lerma et al., 2011); however more research is requiered to develop further this hypothesis. For this reason and due to a lack of biologic studies that explore the effect of environmental variability on the life history traits of *An. neivai* we do not consider this vector in our model.

The total number of *An. albimanus* females in Nuqui (Figure 11) was calculated with density data extracted from Rúa-Uribe (2006b) using WebPlotDigitizer (Rohatgi, 2013). That density was estimated with monthly sampling using human bait, reason for which its dimensions are mosquitoes/man/hour. In order to obtain the total number of mosquitoes, density was multiplied by the total censed human population (DANE, 2011). The result of this operation was then multiplied by the total number of monthly sampling hours, which were reported to be 12 (3 hours for 4 days each month) (Rúa-Uribe, 2006b).

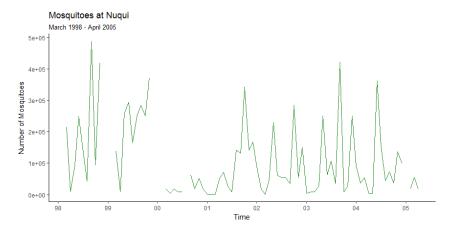


Figure 11. Total number of An. albimanus female mosquitoes in Nuquí from March of 1998 to April of 2005.

Own elaboration in R (R Core Team, 2017). Data extracted from Rúa-Uribe (2006b) using WebPlotDigitizer (Rohatgi, 2013).

4.1.4 Data Analysis

To explore the relationship between the climatic, entomologic and epidemiologic time series in Nuquí during the study period, seasonal plots, scatter plots, boxplots, annual cycles graphs and lagged cross-correlations were analyzed. Here only the lagged Cross-Correlations are presented, while the others as already mentioned, can be found in the folder "Bernal-García, Sebastian_MasterThesis/ Chapter 4/Data Analysis /" of the Malaria Colombia online Git-Hub repository (Bernal-García, 2017). Lagged cross-correlations were computed with the *P. falciparum* cases as the fixed variable, while a lag in months were applied to the others (Table 1).

In addition to the climatic series presented before, there are two more relevant climatic variables, the Diurnal Temperature Range (DTR), and the The Oceanic Niño Index (ONI). The former is the most dominant characteristic of climate variability in the tropics (Poveda, 2004), and was computed as the mean of the difference between the maximum temperature, and minimum daily temperature (Bernal-García, 2017). The latter is currently used to define the El Niño Southern Oscillation status (NOAA, n.d.) and was calculated as the 3 month running mean of ERSST.v5 SST anomalies in the Niño 3.4 region (NOAA, 2017).

Table 1. Lagged cross-correlations between the fixed number of monthly P. falciparum cases and other lagged variables

	Lag in months										
	-5	-4	-3	-2	-1	0	1	2	3	4	5
Temperature	0.31	0.38	0.45	0.49	0.46	0.46	0.44	0.43	0.37	0.34	0.30
Precipitation	-0.34	-0.39	-0.43	-0.39	-0.35	-0.30	-0.25	-0.19	-0.12	-0.08	-0.07
Mosquitoes	0.00	0.03	0.01	0.00	0.05	0.04	0.08	0.17	0.17	0.25	0.35
DTR	0.55	0.55	0.55	0.52	0.47	0.43	0.35	0.29	0.23	0.20	0.17
ONI	0.46	0.50	0.54	0.56	0.57	0.55	0.52	0.46	0.40	0.34	0.27

Note: Own elaboration with data obtained in R (R Core Team, 2017).

From Table 1, it is worth it to highlight the small correlation between the number of *An albimanus* female mosquitoes and *P. falciparum* cases; the negative correlation between *P. falciparum* cases and precipitation; and the high positive correlation between *P. falciparum* cases and the air temperature, the DTR and the ONI.

4.2 Dynamic Hypothesis

I have constructed a dynamic hypothesis that consider the mosquitoes implicit as done elsewhere (Bhadra et al., 2011; Laneri et al., 2010, 2015, Roy et al., 2015, 2013). This decision is based on the small correlation (Table 1), and weak relationship found between the censed number of mosquitoes and the confirmed *P. falciparum* cases (Rúa-Uribe, 2006b; Ruiz et al., 2006). In addition to some simulation problems of the mosquito population module (Annex C), we were not able to estimate some unknown parameters (Table 9) using the same parameter estimation technique mentioned in section 3.3. The implicit vector formulation is "derived from the well-known parameters and treatment of mosquitoes in standard malaria models, rewriting them as non-autonomous with mosquito abundance as the forcing" (Laneri et al., 2010, p. 9). Further explanation of this parsimonious representation of the vector can be found in the Text S1, of the online supporting information of Laneri et al. (2010).

When considering the vectors implicitly, two subsystems are considered: the human population and the force of infection λ (Figure 12). This λ is the key variable, which represents the transmission rate per susceptible (Keeling & Rohani, 2011); additionally, it and can be understood as the force that each susceptible felt to be moved from the class S to the E class. Thus, the mosquito dynamics are modeled implicitly through the force of infection, reason for which climate has an effect on it. The effect of λ on the human population is not immediate but takes time. The mosquito that acquires the parasite from an infectious human cannot transmit it immediately, but after the completion of the parasite incubation period inside it. However the human effect on the force of infection it is immediate.

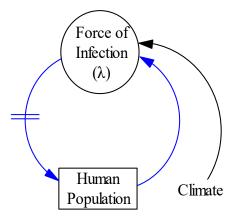


Figure 12. Subsystem diagram of the MCMC with implicit vectors

Own elaboration in Vensim PLE (Ventana Systems Inc., 2013)

For the human population the structure proposed by Bhadra et al. (2011) and Laneri et al. (2010) have been considered and named hereafter $\lambda S2EI2$. The stocks, the most important flows, and parameters of the $MCMC - \lambda S2EI2$ are shown in Figure 13. Only the death and birth flows, and very few parameters were omitted for cleanness. In addition, the underlying causal structure, composed by feedback loops that explain the observed dynamic behavior of P. falciparum cases, can also be seen explicitly in Figure 13. The model considers the following human classes: S1 (susceptible), S2 (partially protected), E (exposed with a latent infection), I1 (infectious and symptomatic), and I2 (asymptomatic with reduced infectivity).

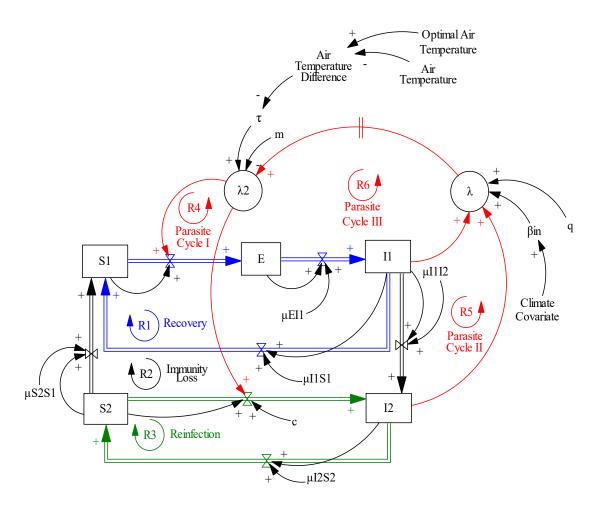


Figure 13. Stock and Flow and Causal Loop Diagrams of the MCMC – λ S2EI2 Own elaboration in Vensim PLE (Ventana Systems Inc., 2013)

The arrows involved have the same color of the loop identifier. The first (R1), second (R2), and third (R3), feedback loops, involve the transition of humans between the epidemiological compartments, while, the fourth (R4), fifth (R5) and sixth (R6) involve the transmission of the parasite between humans and mosquitoes. Note that all the feedback loops shown are reinforcing or positive, because none first order negative feedback loop is shown for cleanness, although they are present when a stock affects its outflow in a positive way, which is the case of all the outflows of all the stocks of the $MCMC - \lambda S2EI2$.

A fully susceptible person in S1 that acquires the *Plasmodium* parasite from a mosquito, would enter to E, and after the culmination of the Intrinsic Incubation Period (IIP) would leave E towards I1. This outflow of E, and inflow of I1, is the number of persons reported each month as malaria cases. Consequently, people in I1 are those suffering the clinical symptoms of the disease at time t. A person that clears completely his parasitic load in I1, becomes susceptible again, closing the first positive feedback loop R1: Recovery. In the case there is not recovery, the person becomes an asymptomatic individual with reduced parasitemia in I2. Those asymptomatics that clear they parasitic load after a time, would develop a clinical immunity to the disease (S2), until they lose it, and become susceptible again (Cycle R2: Immunity Loss, Figure 13). An individual that is fully protected from the clinical disease could be reinfected, and could stay moving between the I2 and S2 compartments, in the reinforcing feedback loop R3.

In this model, the parasite has three transmission pathways, through the cycles R4, R5 and R6. In the first, it passes from an infectious mosquito (λ_2) to a fully susceptible human, and stays in E until the culmination of the IIP. Thereafter, the parasite waits in I1 to infect a susceptible mosquito (λ) . Where once inside the stomach of this mosquito, would be ready to infect a susceptible human in λ_2 after the culmination of the Extrinsic Incubation Period (EIP) or the parasite development rate, τ (the inverse of the EIP). In the second parasite cycle, R5, the *Plasmodium* takes the same path of R4, but instead of passing directly from I1 to λ , it passes through I2 before. Finally, the last loop involves the reinfection of a clinical immune human passing from S2 to I2 due to the effect of λ_2 , and from I2 to λ . Given the nonlinear nature of the air temperature effect on τ , the variables optimal air temperature, and air temperature difference are shown in Figure 13. This relationship is represented through the mathematical function of τ (Equation 4-11).

4.3 Model Formulation

I this section, we move from the dynamic hypothesis, to a formal model that translates this hypothesis into equations that can be simulated. The general model structure presented in Figure 13 corresponds exactly to the following differential equations:

$$\frac{dS1}{dt} = BR + \mu_{S2S1}S2 + \mu_{I1S1}I1 - \lambda_2S1 - \delta S1 \tag{4-1}$$

$$\frac{dE}{dt} = \lambda_2 S 1 - \mu_{EI1} E - \delta E \tag{4-2}$$

$$\frac{dI1}{dt} = \mu_{EI1}E - \mu_{I1S1}I1 - \mu_{I1I2}I1 - \delta I1 \tag{4-3}$$

$$\frac{dI2}{dt} = \mu_{I1I2}I1 - \mu_{I2S2}I2 + c\lambda_2S2 - \delta I2 \tag{4-4}$$

$$\frac{dS2}{dt} = \mu_{I2S2}I2 - c\lambda_2S2 - \mu_{S2S1}S2 - \delta I2$$
 (4-5)

The parameter μXY , in the diagram, and μ_{XY} , in the equations, are equivalent; it represent the per-capita rate at which an individual in the X class passes to the Y class. The per-capita death rate δ is derived and averaged from the crude death rate (DANE, n.d.) and the census data (DANE, 2011), which is calculated by the government national statistical agency (DANE) for the simulation period in the study site. The birth rate (BR) into the S class is equal to ($\delta P + dP/dt$), and was set to ensure that S1(t) + E(t) + I1(t) + I2(t) + S2(t) = P(t), where P(t) is the population size at time t obtained from interpolated census data from the DANE (2011). The force of infection is:

$$\lambda(t) = \beta_{in} \cdot y = \beta_{in} \cdot \left(\frac{I1(t) + qI2(t)}{P(t)}\right) \tag{4-6}$$

where y is the fraction of humans population transmitting the parasite to the mosquito vector; and q is a number between 0 and 1, that represent the fraction of individuals in I2 that contribute to λ . The transmission rate β_{in} is defined as:

$$\beta_{in} = exp \left[\sum_{i=1}^{n_s} (\beta_{cc} CC) \right] \bar{\beta}$$
 (4-7)

which include the interannual and seasonal effect of a time-varying climate covariate \mathcal{CC} , with coefficient β_{cc} ; and dimensional constant $\bar{\beta}$, required to give units of $[t^{-1}]$.

The delay in the effect of λ on the infection of human classes S1 and S2, is also considered. The latent force of infection λ , is delayed into m classes (For i=2,...,m) considering gamma-distributed transitions between classes (Lloyd, 2001), up to the current force of infection, λ_m , as follows:

$$\frac{d\lambda_1}{dt} = (\lambda - \lambda_1)m\tau^{-1} = \frac{(\lambda - \lambda_1)}{(\tau/m)}$$
(4-8)

$$\frac{d\lambda_2}{dt} = (\lambda_1 - \lambda_2)m\tau^{-1} = \frac{(\lambda_1 - \lambda_2)}{(\tau/m)}$$
(4-9)

:

$$\frac{d\lambda_i}{dt} = (\lambda_{i-1} - \lambda_i)m\tau^{-1} = \frac{(\lambda_{i-1} - \lambda_i)}{(\tau/m)}$$
(4-10)

Here τ is the *Plasmodium* development rate, defined by the following non-linear air temperature (T_a) dependent function proposed for *P. falciparum* (Paaijmans, Read, & Thomas, 2009):

$$\tau(T_a) = 0.000112 \cdot T_a \cdot (T_a - 15.384) \cdot \sqrt{(35 - T_a)} \ [1/day] \tag{4-11}$$

Note that $\lambda 2$ in the diagram and λ_2 in the equations are equivalent. And that although it is represented by a circle in Figure 13, it is a stock, since it is the output of a second order information delay, with average delay time, τ/m . However, here this information delay is not modeled with its characteristic of an stock and flow structure, but with the corresponding system dynamics software function counterpart.

Table 2. Parameters of the $MCMC - \lambda S2EI2$

Symbol	Description	Range	Value	Units	References
P(0)	Initial total human population	6899	6899	[humans]	(DANE, 2011)
S1(0)	Initial fraction of humans in S1	(0.8,1)	0.64	[dimensionless]	-
E(0)	Initial fraction of humans in E	(0,0.1)	0.00	[dimensionless]	-
<i>I</i> 1(0)	Initial fraction of humans in I1	(0,0.1)	0.03	[dimensionless]	-
<i>I</i> 2(0)	Initial fraction of humans in I2	(0,0.1)	0.32	[dimensionless]	-
S2(0)	Initial fraction of humans in S2	(0,0.1)	0.00	[dimensionless]	-
$\lambda_2(0)$	Initial value of the latent force of infection	(0,1)	0.01	[1/year]	-
μ_{EI1}	Per capita transition rate from E to I1	(14, 61)	34.28	[1/year]	(Boyd & Kitchen, 1937)
μ_{I1S1}	Per capita transition rate from I1 to S1	(2, 18)	3.00	[1/year]	(Filipe, Riley, Drakeley, Sutherland, & Ghani, 2007)
μ_{I1I2}	Per capita transition rate from I1 to I2	(2, 18)	13.18	[1/year]	(Filipe et al., 2007)
μ_{I2S2}	Per capita transition rate from I2 to S2	(0.1, 3)	0.32	[1/year]	(Ashley & White, 2014; Felger et al., 2012)
μ_{S2S1}	Per capita transition rate from S2 to S1	(0, 1)	0.01	[1/year]	(Filipe, Riley, Drakeley, Sutherland, & Ghani, 2007)
ρ	Reporting fraction	(0,1)	0.99	[dimensionless]	-
q	Relative infectivity of I2	(0,1)	0.92	[dimensionless]	-
С	Susceptibility of S2 to infection	(0,1)	0.08	[dimensionless]	-
eta_{cc}	Climate covariate coefficient	(0,10)	0.68	[dimensionless]	-
$ar{eta}$	Dimensionality constant	1	1	[1/year]	Assumption
δ	Mortality rate	0.2	0.2	[1/year]	(DANE, n.d., 2011)
m	Number of λ classes	2	2	[dimensionless]	Assumption

Note: Own elaboration

Simulated cases are defined as: $H = \rho \cdot \mu_{EI1} E(t)$, where the parameter (ρ) is the reporting fraction. This fraction takes into account the reported or detected cases, where some unreported are left out. Table 2, presents all the parameters with the symbol used for its representation; a brief description; the ranges used for estimations, in case it was estimated; the value estimated or used; and the reference for the value or the range. This parameter estimation was implemented in R (R Core Team, 2017) using the MIF2 function of the POMP package (King et al., 2017; King, Nguyen, & Ionides, 2016), using as a guide a R script kindly provided by Mercedes Pascual.

4.4 Validation

In this section, the validation process is performed considering the good practices in the literature (Barlas, 1996; Jay Wright Forrester & Senge, 1980; Sterman, 2000a). Thus, we will provide firth the validation of the model structure, and then the model behavior.

4.4.1 Model Structure

To evaluate the structure, direct and indirect test were performed. Direct structure test involve structure examination test, parameter examination test, direct extreme condition test, boundary adequacy structure test, and dimensional consistency test. The model presented here passed the structure examination test, there is not contradiction neither the expert knowledge nor the theoretical knowledge about the structure of the real system. There was an external verification and validation by Dr. Mercedes Pascual, a malaria expert that validated the structure of the model; additionally the structure was also checked with the current state of the art literature on mathematical modelling of climate-forced malaria. In respect to the parameter examination, all the parameters of the model match elements of the real system, with exception of the dimensionality constant $\bar{\beta}$, and the numerical values estimated match observed quantities.

Moreover, extreme-condition tests was done to the model equations as a mental process, and it passed properly. Regarding boundary adequacy, the model contains the relevant structure relationships to satisfy the model purpose, and the level of aggregation is appropriate. Finally the dimensionality consistency is present in all the equations and therefore in the model, although it was necessary the use of the dimensionality constant $\bar{\beta}$.

Indirect structure test include indirect extreme condition test, and integration error test. For the indirect extreme condition test, extreme values were assigned to some parameters (Table 3). Then, the generated model behavior with these values were compared to the expected behavior of the real system under the same extreme conditions present the results of this comparison in the column "Satisfy? (Yes/No)".

Parameter	Value assigned	Expected Behavior	Generated Behavior	Satisfy? (Yes/No)
P(0)	0	Nothing Happens	Nothing Happens	Yes
μ_{EI1}	0	Zero cases	Zero cases	Yes
ρ	0	Zero cases	Zero cases	Yes
$ar{eta}$	0	No transmission	No transmission	Yes
T_{α}	14°C & 36°C	No transmission	No transmission	Yes

Table 3. Indirect extreme condition test results

Note: Own elaboration

This test, in particular the values assigned to P(0) and T_a , help to correct some inconsistencies of the model. In the case of P(0) the birth rate (BR) was redefined as:

$$IF\left(P \le 0[humans], then \ 0 \left[\frac{humans}{year}\right], else \left(\delta P + dP/dt\right)\right) \tag{4-12}$$

In the case of air temperature (T_a), the values were assigned because below 14°C, and above 36°C, the parasite cannot develop inside the mosquito, and thus one could expect no transmission. However the model enter into an error with these values and was not capable of simulate, given the undefined formulae. Therefore the first term of equation 4.2 was redefined as:

$$IF\left(\lambda_{2} > 0 \left[\frac{1}{year}\right], then \ \lambda_{2} S1, else \ 0 \left[\frac{humans}{year}\right], undefined \ 0 \left[\frac{humans}{year}\right]\right) \quad (4-13)$$

and the second term of equation 4.5 as:

$$IF\left(\lambda_{2} > 0\left[\frac{1}{vear}\right], then \ c\lambda_{2}S2, else \ 0\left[\frac{humans}{vear}\right], undefined \ 0\left[\frac{humans}{vear}\right]\right)$$
 (4-13)

The results of the integration error test are presented in Table 4 and Figure 14. In general, the model exhibits no sensitivity to the integration method, nor to the simulation time step, or integration interval (Δt). The only combination that generates substantially different outcomes was Euler with a time step of one month, where the model would then be considered as a discrete model instead a continuous one.

4.4.2 Model Behavior

The simulation period was set from September of 1996 to December of 2003, with air temperature with no lag as the climate covariate (CC). The reason of this decision is that during all this 7 years and 4 months, reported P. falciparum cases in Nuquí are very tight to temperature dynamics, with only two months on 2001 with zero reported cases (Bernal-García, 2017). A reason for that could be an administrative unreported rather than the absence of cases. Air temperature was standardized to make of β_{cc} a dimensionless quantity. This standardization was done by subtracting to each month measure, the mean, and dividing this difference by the standard deviation.

In order to asses the model capability to reproduce the observed behavior of the real system "there is ultimately no substitute for plotting the simulated and actual data together" (Sterman, 2000b, pp. 874–875) which is shown in Figure 14. Nonetheless different statistics were also used to analyze the point-by-point fit, and to decompose the Mean Square Error (MSE) in bias (U_m) , unequal variation (U_s) and unequal covariation (U_c) as presented in Sterman (2000b) (Table 4).

Table 4. Summary statistics of model outcomes from September of 1996 to December of 2003 with different integration methods and integration intervals.

Method	Euler			Runge-Kutta 2nd Order			Runge-Kutta 4th Order					
Δt	1 mo	2 wk	1 wk	1 da	1 mo	2 wk	1 wk	1 da	1 mo	2 wk	1 wk	1 da
RMSE	81,30	65,28	61,01	60,18	65,42	65,42	63,76	63,61	72,04	65,19	63,75	63,61
R^2	0,51	0,73	0,79	0,80	0,80	0,80	0,80	0,80	0,74	0,80	0,80	0,80
U_m	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00
U_s	0,22	0,44	0,49	0,50	0,55	0,55	0,51	0,50	0,56	0,55	0,52	0,50
U_c	0,78	0,56	0,51	0,50	0,45	0,45	0,49	0,50	0,44	0,45	0,48	0,50

Note: Own elaboration

Considering the R^2 and regardless the integration method, approximate values of 0.79 of the variance of the data is explained by the model, for time steps of 2 weeks or less. This is positive taking into account how simple this model is, and how complex malaria transmission is. The decomposition of the MSE, yields that approximately half of it are due to unequal variance, and the other half to unequal co-variance. The great proportion of error in U_s means that the model not capture the magnitude of a cyclical mode in the data, though the phasing is correct, and that random noise is present in the data and not present in the model. The error due to U_m implies that the model captures the mean and trend well, but differs from data only point by point, and could also indicate the presence of noise in the data not captured by the model.

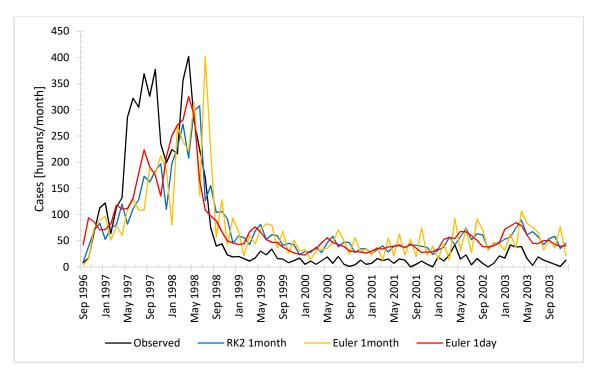


Figure 14. Simulation of the *P. falciparum* cases in Nuquí, Colombia from September of 1996 to December of 2003 with different integration methods.

Own elaboration in Microsoft Excel (2012), with simulations performed in Powersim (Powersim software AS, 2015).

If one keep in mind that system dynamics modelling is not about point-by-point prediction, then, one could use Figure 14 to evaluate the capacity of the model to

generate the characteristic behavior observed. Based on Table 4 the best simulations are obtained using the Euler integration method with an interval of 1 day (Red line in Figure 14) which will be used to perform this characteristic behavior test. The model represents well the first two peaks that occurred during El Niño 97/98, although it sub-estimates the first peak from September of 1996 until December of 1997, with a phase lag of one month approximately. The second peak is better represented, but with a sub estimation of the highest point of the peak, that occurred in April of 1998. From July of 1998 to December of 2003 the model replicates the behavior of the observed cases during La Niña 99/01, and El Niño 02/03, but with a higher mean. In special the peak around April of 2002, prior the El Niño 02/03; and the through (October 2002) and the peak (February 2003) during El Niño 02/03.

5. Conclusions and recommendations

5.1 Conclusions

After a revision of the current state of the art literature on mathematical modelling of climate-forced malaria, it was found that this is a growing scientific field with the majority of published models applied in India and Africa. However, taking into account the strong statistical relationships found between environmental variability and malaria in Colombia (Bouma et al., 1997; Mantilla et al., 2009; Poveda et al., 2000, 2001, 2011, Poveda & Rojas, 1996, 1997; Siraj et al., 2014), and the episystem concept (Tabachnick, 2010), the develop of a model for Colombia is justified. For this reason an explanatory mathematical model of the malaria-climate interactions in Colombia was developed (Ruiz, 2002; Ruiz et al., 2002, 2003, 2006), but poor documentation and dimensional inconsistency were found on it (Bernal-García et al., 2014), which put the model out of the tool-box for malaria control and prevention in Colombia (Bernal-García et al., 2015). Therefore, following the evolutionary nature of science, a model that overcome this shortcomings was developed here using the system dynamics methodology.

The new model of the infection states of humans, is dimensionality consistent, allows for the development of clinical immunity to *P. falciparum*, and considers explicitly asymptomatic individuals. Moreover, using a novel approach the model developed here do not considered the mosquito population explicitly but implicitly, as done elsewhere (Bhadra et al., 2011; Laneri et al., 2010, 2015, Roy et al., 2015, 2013). This decision was motivated by the weak relationship found between the censed number of *An. albimanus* mosquitoes and the confirmed *P. falciparum* cases (Table 1; Rúa-Uribe, 2006; Ruiz et al., 2006). Nonetheless the mosquito population module was formulated mathematically (Annex C), taking into account field studies that aim to estimate the in situ probability of survival of the immature stages of *An. albimanus* (Annex A). The resulting coupled mosquito-human model is dimensionality consistent, and considers the natural infection process of both human and mosquitoes with *P. falciparum*.(Equations C-13 and C-15).

Finally, this study provides evidence that air temperature during the occurrence of El Niño, is the responsible of the malaria epidemics in an endemic location of the Pacific region of Colombia, between September of 1996 and December of 2003. This result confirms similar findings of previous modelling works in the same study site (Ruiz, 2002; Ruiz et al., 2002, 2003, 2006), and are also consistent with the role of environmental drivers in the origin of interannual dynamics of malaria in India (Bhadra et al., 2011; Laneri et al., 2010, 2015, Roy et al., 2015, 2013).

Finally, caution is always important because all models are "inevitably, incomplete, incorrect and wrong" (Sterman, 2002, p. 525). Models are simplifications, and abstractions made by humans, and human perception and knowledge are limited representations of a way more complex reality (Sterman, 2002). However something that one can say of this model, is that is a model one has confidence on (Jay Wright Forrester & Senge, 1980). The models do not replace deliberation and analysis, and thus, the use of models should always be accompanied with reality check analysis, discussion and implications for real life applications.

5.2 Recommendations

For future work, model validation of the model structure in other endemic and epidemic municipalities of Colombia is proposed. To do this, longer and complete time series of malaria cases are needed, at a minimum time scale of one month, and discriminated by parasite specie. Regrettably, these type of time series, in general, are not available in the open data bases of Colombia public health authorities. Furthermore, in the opinion of the author, the model presented here should be included in the Multi Model Ensemble (Ruiz, 2013; Ruiz et al., 2014) of the Malaria Early Warning System of Colombia (Poveda et al., 2008; Ruiz et al., 2006, 2014).

Further studies should also avoid the use of the dimensional constant $\bar{\beta}$ in equation 4-7. One alternative could be to replace it with the biting rate or the blood meal digestion rate that have shown a dependency on air temperature. They also could

consider, in addition to the human epidemiological structure considered here, the SEIQ (Roy, Bouma, Dhiman, & Pascual, 2015; Roy, Bouma, Ionides, Dhiman, & Pascual, 2013), the SEIR (Laneri et al., 2010), and the proposed by Laneri et al. (2015). The latter, although it is more complex, have shown good results in Africa endemic malaria. Another option that could improve the results presented here, is to consider distributed transitions between exposed and infected classes, as made by Alonso et al. (2011).

It is also desirable to have an *Anopheles* mosquito population model that could be coupled to the model presented here, which still remains an elusive result, in spite of all the advances made and presented in the Annexes. Mainly two things would allow make this possible. The first to improve the surface hydrology model proposed in equation C-12, which would allow to link better precipitation with mosquito densities. This could be made with a complex model (Bomblies, 2011; Bomblies, Duchemin, & Eltahir, 2008; Bomblies & Eltahir, 2009) or a simple one (E.O. Asare, Tompkins, & Bomblies, 2016; Ernest O. Asare, Tompkins, Amekudzi, & Ermert, 2016). Ideally this theoretical model would be accompanied by empirical observations of the ponds in the studies sites. The second is to validate the model with more continuous time series of adult and immature *Anopheles* mosquitoes, as the presented in Figure 11. However these time series are very scarce, at the point that the only ones known by the author are the ones presented in Rúa-Uribe (2006b) for adult Anopheles albimanus in Nuquí, Panguí and El Bagre. Other published entomological studies that involve sampling of Anopheles mosquitoes are always made in shorter times than one month, or with an irregular sampling frequency, which does not make it possible to use them to that purpose (Gutiérrez, 2010; Gutiérrez et al., 2008; Gutiérrez, González, et al., 2009; Gutiérrez, Naranjo, et al., 2009; Naranjo-Diaz, Rosero, Rúa-Uribe, Luckhart, & Correa, 2013; Solarte, Hurtado, González, & Alexander, 1996).

A. Annex: Temperature Dynamics, and Survival of An. Albimanus Immatures, in a Natural Breeding Site of Colombia

All the data used to derive the results presented here are available in the folder "Bernal-García, Sebastian_MasterThesis/Annex A/" of the Malaria Colombia online Git-Hub repository (Bernal-García, 2017).

1. Temperature Dynamics in a natural breeding site

In-field experiments in artificial water pools that simulates *Anopheles gambiae* breeding sites in Kenya, Africa, have found that water temperature depends on the size of the pool, rainfall, altitude, cloud cover (Paaijmans, Jacobs, et al., 2008; Paaijmans, Imbahale, Thomas, & Takken, 2010) and water turbidity (Paaijmans, Takken, Githeko, & Jacobs, 2008). Therefore diurnal water temperature dynamics have been modeled using complex one dimensional energy budget models (Jacobs, Heusinkveld, Kraai, & Paaijmans, 2008; Paaijmans, Jacobs, et al., 2008), and a simple one (Paaijmans, Heusinkveld, & Jacobs, 2008). However, the water temperature have also been modeled as a simple linear regression that depends only on air temperature and varies according to the altitude and the size of the pool (Paaijmans et al., 2010).

Given that our study region is not located in Kenya but in Colombia, we measured the water temperature and the surrounding air temperature of a positive *An. albimanus* natural breeding site. Measures were done between July 7th of 2015 and February 21st of 2016, with some days with no record (from 28/07/2015 to 29/07/2015; and from 12/12/2015 to 11/1/2016) due to data extraction and maintenance of the data loggers. Due to the fact that this was a natural breeding site that was subject to variations in meteorological variables, water volume wasn't controlled, which in turn could affect the water temperature measurements. Therefore breeding site dimensions during the study vary greatly from a big pool to a medium pool. However, the breeding site remained with water during all the study period due to a minor inflow of surface water runoff.

Water temperature was measured near the air-water layer, and the air temperature at 1m above the water pool with an onset HOBO Data Logger Pro v2 U23-003 (ONSET, n.d.) with a $\pm 0.21^{\circ}C$ precision every 10 minutes. The temperature measurements are presented as dots (*Figure 15*), and were used to estimate the follow linear regression (dotted line) between air (T_a) and water (T_w) temperatures (T_a) (

$$T_w = 0.5744 \cdot T_a + 12.151 \,[^{\circ}\text{C}]$$
 (A-1)

This linear relationship is used to estimate the mean water temperature at which the immatures forms are exposed during the simulation period, based on the mean air temperature historical records.

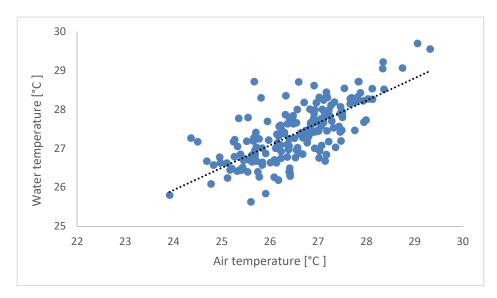


Figure 15. Daily mean air and water temperature for a natural positive breeding site of An. albimanus in Nuquí, Chocó, Colombia.

Own elaboration in Microsoft Excel (2012).

2. Survival of *An. albimanus* immatures in a natural breeding site

The *in situ* daily survival probability of immatures forms of *An. albimanus* was estimated. This was done based on the method of Service (1971) mentioned in Silver (2008). Between July 16th to 25th of 2015, two hundred dips with a ladle were made daily of the pre-adults of *An. albimanus* in the natural breeding site mentioned earlier. The total number of each instar collected was divided by the duration of each stage estimated in Nuquí at 28,1 [°C] (Rúa-Uribe & Zuluaga, 2003). These values were plotted against the age in days of the immature stages (Figure 16). The resulting histogram is the *stage-specific* age distribution (Silver, 2008). The midpoint of each histogram block, represent the mid-point in the life of each stage, and the smooth blue curve that passes through this mid-points will simulate the *time-specific* survivorship curve (Silver, 2008).

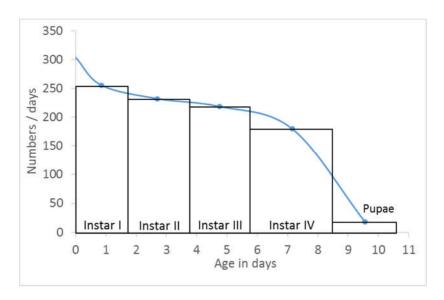


Figure 16. Age-distribution and survivorship curve for the immatures stages of An. albimanus in July of 2015 at Nuquí, Chocó, Colombia.

Own elaboration in Microsoft Excel (2012).

From this curve, the numbers (N_x) of pre-adults surviving to each age (x) in days, were obtained using WebPlotDigitizer (Rohatgi, 2013), to construct a life-table (Table 5) following the method of Service (1971) mentioned in Silver (2008). With comparative purposes, the numbers in N_x are scaled up in the next column (l_x) to start the life-table with a population of 1000 individuals. This value is then used to compute d_x , p_x , q_x , and e_x , following the formulas presented in Carey (2001).

Table 5. Life table for An. albimanus immatures at Nuquí, Chocó, Colombia.

Age [days]	Number living	Fraction surviving	Frequency of deaths	Period survival	Period mortality	Expectation of life [days]
(x)	N_{x}	l_x	d_x	p_x	q_x	$e_{\scriptscriptstyle \chi}$
0	302	1000.00	169.42	0.83	0.17	6.33
1	251	830.58	42.36	0.95	0.05	6.52
2	238	788.22	28.46	0.96	0.04	5.84
3	230	759.76	16.88	0.98	0.02	5.04
4	225	742.89	25.48	0.97	0.03	4.14
5	217	717.41	33.75	0.95	0.05	3.27
6	207	683.65	70.81	0.90	0.10	2.41
7	185	612.84	175.05	0.71	0.29	1.63
8	132	437.79	245.86	0.44	0.56	1.08
9	58	191.93	129.05	0.33	0.67	0.83
10	19	62.87	62.87	0.00	1.00	0.50
11	0	0	0.00	0.00	1.00	0.00

Note: Own elaboration in Microsoft Excel (2012).

Figure 16 was also used to obtain the numbers entering to each instar (St_i) with WebPlotDigitizer (Rohatgi, 2013), to estimate the mortalities of each stage (Table 6) following the method of Service (1971) mentioned in Silver (2008).

Table 6. Instar mortalities of An. albimanus immatures at Nuquí, Chocó, Colombia.

Stage	Age at the beginning of the stage	No. entering stage	Deaths in stage	Relative proportion dying in stage	Accumulated Mortality Fraction
(i)	$(t_i)[days]$	(St_i)	(D_i)	(D_i/St_i)	$1 - (St_i/St_0)$
Instar I	0	302	62	0.21	
Instar II	1.7	240	15	0.06	0.21
Instar III	3.7	225	15	0.07	0.25
Instar IV	5.8	210	113	0.54	0.30
Pupae	8.5	97	78	0.80	0.68
Adult	10.6	19			0.94
11 (0			1 (00 (0)		

Note: Own elaboration in Microsoft Excel (2012).

In addition, the life-table constructed in this study (Table 5) was used to estimate the average daily mortality ($\bar{\mu}$) (Table 7), which is defined as the inverse of the life expectancy at birth (e_o) (Carey, 2001). The confidence intervals of the air and water temperature of this study were constructed for a 95% of confidence level with the assumption of normality, and the ENSO phase was obtained from the NOAA (NOAA, n.d.).

Table 7. Average daily mortality of An. albimanus immatures

Study No.	Place and date	$\frac{(\overline{\mu})}{[1/day]}$	Mean T_a (T_w) [° C]	ENSO
1	Nuquí, Colombia, July of 2015 (This study)	0,16	$26,91 \pm 0,35$ (28,03 ± 0,25)	El Niño
2	María Chiquita, Panama, January to June of 1989 (González, 2005)	0,14	No data (29)	La Niña

Note: Own elaboration in Microsoft Excel (2012).

The main advantage of this study above the other is that predators weren't controlled here, but in Gonzalez (2005) they were. This result in a more realistic representation of the *in situ* mortality of immatures mosquitoes, which is expected help to improve the performance of population-based, vector-host malaria models that account for climate in Colombia.

B. Annex: The non-linear effect of temperature on the life history traits of An. albimanus¹

Here I estimate relevant functions that represent the effect of temperature on some life history traits of the immature and adult stages of *An.albimanus*. Published and unpublished data obtained in laboratory experiments with *An. albimanus* under different constant ambient temperatures (Rúa-Uribe, 2006a, 2006b) were used to estimate: the blood meal digestion rate, the oviposition percentage, and the survival rate of adults; and the development rate; and survival percentage of immatures. Observed data were fitted to previously proposed non-linear functions (Damos & Savopoulou-Soultani, 2012; Lardeux, Tejerina, Quispe, & Chavez, 2008; W. J. M. Martens, 1997; Régnière, Powell, Bentz, & Nealis, 2012). The fit was done with an ordinary least squares method, through an iterative algorithm using the Qti Plot software (Vasilief, 2014). The newly estimated functions can contribute to improve the robustness of a mathematical model that account for the mosquito population. All the data used to derive the results presented here are available in the folder "Bernal-García, Sebastian_MasterThesis/Annex B/" of the Malaria Colombia online Git-Hub repository (Bernal-García, 2017).

¹ Some parts of this section contain improvements of some functions estimated before (Bernal-García et al., 2014), and were presented in the Second Conference on Impacts of Environmental Changes on Infectious Diseases (IECID) as a poster (Bernal-García et al., 2017).

1. Temperature Dynamics in the Laboratory

The data used for the estimation of the functions of immature forms of $An.\ albimanus$ were obtained in the laboratory for constant air temperatures, with no water temperatures records (Rúa-Uribe, 2006b). Other life-table laboratory experiments with $Anopheles\ spp.$ at constant temperatures have reported a difference between air and water temperature of 2°C to 3°C (Bayoh, 2001) and 0.5°C to 1°C (Lyons, Coetzee, & Chown, 2013). This differences could be explained by the size of the bowls used, the volume of water, the type of growth chambers used and other variances of the experiments. For this reason to estimate the corresponding water temperature at which the data of immature $An.\ albimanus$ were obtained (Rúa-Uribe, 2006b), we assume a difference of 2°C between air (T_a) and water temperature (T_w) as reported by Bayoh (2001) as follows:

$$T_w = T_a - 2^{\circ} C \tag{B-1}$$

The reasons for this choice are:

- 1) The in-field models used to estimate water temperature at mosquito breeding sites are useless, since temperature was constant in the laboratory, and variables such as wind and soil are absent in the laboratory experiments.
- Bowl dimensions used by Bayoh (2001) (15cmx10cmx8cm) are similar to the bowls used by Rúa-Uribe (2006b) (15cmx27cmx7cm). Bowl dimensions of Lyons et al. (2013) are not presented.
- The difference in the water volume is minor between Bayoh (2001) and Rúa-Uribe (2006b) studies, 300ml, than between Lyons et al. (2013) and Rúa-Uribe (2006b) experiments, 600ml.
- 4) In communications with the laboratory where Rúa-Uribe (2006b) performed his experiments, we were notified that the growth chamber used is nonfunctional, which prevent us to measure the water temperature.

This calculus made easy to compare life history traits between *Anopheles* species, which helps providing more data in the estimation process of some functions used to simulate the MCMC, as the reader will notice later on.

2.Blood Meal Digestion Rate, Oviposition Percentage and Survival Rate of *An.* albimanus females

2.1 Blood Meal Digestion Rate (BMDR)

The BMDR represent the frequency with which the female laid their eggs, and is the inverse of the gonotrophic cycle duration. To estimate it, we used the gonotrophic cycle duration obtained in laboratory conditions with *An. albimanus* under constant temperatures of 24°C, 25.5°C, 27°C, 28.5°C, and 30°C (Rúa-Uribe, 2006b; Rúa-Uribe et al., 2005); and with *An. pseudocuntipennis* at 15°C, 20°C, 35°C and 37°C (Lardeux, Tejerina, Quispe, & Chavez, 2008). Both species are important malaria vectors of the subgenus Nyssorhynchus, in the Neotropic (Sinka et al., 2010). This data was fitted to a previously estimated nonlinear function of BMDR (Lardeux et al., 2008), which resulted in a new function of *An. albimanus* BMDR (*Figure 17*).

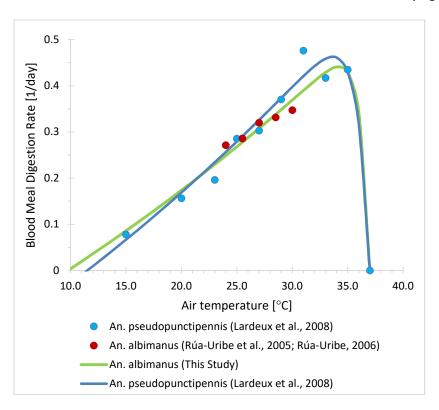


Figure 17. Blood Meal Digestion Rate of An. albimanus at constant air temperature

Own elaboration in Microsoft Excel (2012) with data from Lardeux et al. (2008), Rúa-Uribe (2006b) and Rúa-Uribe et al. (2005).

The fit was done iterating 4 times the Levenberg-Marquardt algorithm with a tolerance of 0.0001 in the QtiPlot software (Vasilief, 2014). Virtuous goodness of fit were obtained for the new function ($R_{adi}^2 = 0.98$, RMSE = 0.017, RSS = 0.001):

$$BMDR(T_a) = \exp(0.014 \cdot T_a) - \exp\left(0.014 \cdot 37.98 - \frac{37.98 - T_a}{0.83}\right) - 1.144$$
 (B-2)

2.2 Oviposition Percentage (OP)

The percentage of *An. albimanus* females that oviposit at constants air temperatures of 18°C, 20°C, 26°C, 28°C, and 30°C (Quimbayo Forero, 2006) were fitted to a second grade polynomial in the QtiPlot software (Vasilief, 2014) iterating 2 times the Levenberg-Marquardt algorithm with a tolerance of 0,0001 ($R_{adj}^2 = 0.9$, RMSE = 0.04, RSS = 0.05). We also include 37°C to help the algorithm to find the best fit, considering that at this temperature all females die, and the percentage of oviposition at this air temperature is zero (Lardeux et al., 2008). The resulting function is equation B-3 and can be seen in *Figure 18*.

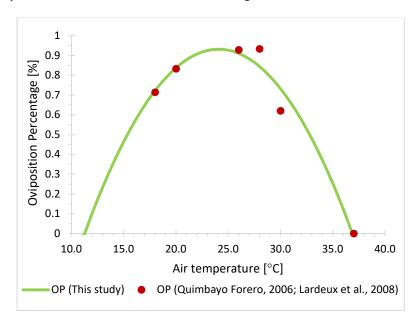


Figure 18. Oviposition Percentage of An. albimanus at constant air temperature

Own elaboration in Microsoft Excel (2012), with data from Lardeux et al. (2008) and

Quimbayo Forero (2006).

$$OP(T_a) = -2.363 + (0.274 \cdot T_a) - (0.006 \cdot T_a^2)$$
(B-3)

2.3 Female Survival Rate (FSR)

The FSR is the probability that an *Anopheles albimanus* female mosquito survives one day regardless of its age. Unpublished life tables of *Anopheles albimanus* obtained in the laboratory for constant air temperatures of 24°C, 27°C, 30°C and 33°C (Rúa-Uribe, 2006a), were used to estimate the average daily mortality ($\bar{\mu}$) (Carey, 2001). To estimate the lower temperature threshold of *An. albimanus*, the reported temperature for *An. spp.* (Craig, Le Sueur, & Snow, 1999), *An. gambiae* (Bayoh, 2001), *An. arabiensis*, and *An. funestus* (Lyons, Coetzee, Terblanche, & Chown, 2012) was averaged, resulting in 0°C. Similarly the upper temperature threshold reported for *An. gambiae* (Bayoh, 2001; Kirby & Lindsay, 2007), *An. pseudocuntipennis* (Lardeux et al., 2008), *An. arabiensis*, and *An. funestus* (Lyons et al., 2012), was averaged resulting in 38°C.

Then, the average daily survival (\bar{p}) was calculated as $1-\bar{\mu}$, and fitted to the *Anopheles spp*. daily survival probability function proposed by W.J.M. Martens (W. J. M. Martens, 1997) to estimate the FSR. The fit was done in the Qti Plot software (Vasilief, 2014) iterating 97 times the Nelder-Mead Simplex algorithm with a tolerance of 0.0001. Virtuous goodness of fit were obtained $(R_{adj}^2 = 1, RMSE = 0.02, RSS = 0.002)$ for the resulting function in equation B-4 and shown in *Figure* 19.

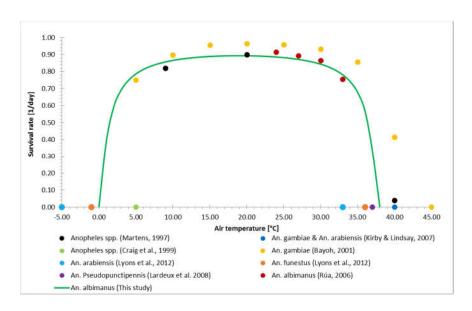


Figure 19. An. albimanus female survival rate under constant temperatures.

Own elaboration in Microsoft Excel (2012) with data from Bayoh (2001), Craig, Le Sueur, & Snow (1999), Kirby & Lindsay (2007), Lardeux et al. (2008), Lyons et al. (2012), Martens (1997), and Rúa-Uribe (2006a).

$$FSR(T_a) = exp\left(\frac{-1}{0.16 + (0.92) + (-0.02 \cdot T_a)^2}\right)$$
 (B-4)

With the aim of highlight the goodness of the function estimated, Figure 20 presents it, alongside others proposals (Ermert et al., 2011a; Lunde, Bayoh, & Lindtjørn, 2013).

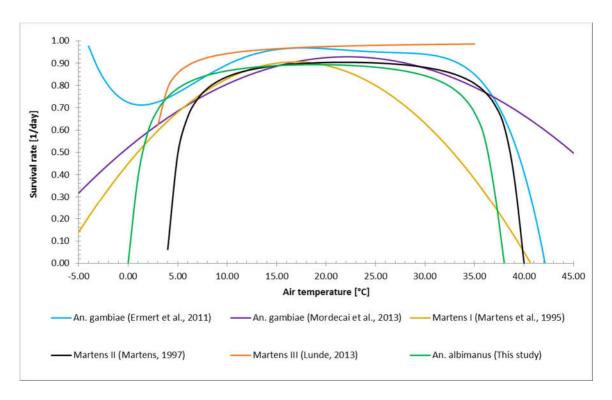


Figure 20. Female survival functions of different *Anopheles* species under constant air temperatures.

Own elaboration in Microsoft Excel (2012) with data from Ermert et al. (2011a), Lunde et al. (2013), Martens (1997), Martens et al. (1995), and Mordecai et al. (2013).

3. The Development Rate and Survival Percentage of *An. albimanus* immatures

3.1 The Development Rate

3.1.1 Larvae

Larvae development times estimated for constant water (air) temperatures of 22°C (24°C), 25°C (27°C), 28°C (30°C), 31°C (33°C) (Rúa-Uribe, 2006b), 15°C and 35°C (Shelton, 1973) were fitted to the Lactin non-linear theoretical function (Damos & Savopoulou-Soultani, 2012; Régnière, Powell, Bentz, & Nealis, 2012). The fit was done in the QtiPlot software (Vasilief, 2014) iterating the Levenberg-Marquardt algorithm with a tolerance of 0,0001. This results in the following equation:

$$LDR(T_w) = exp(0.14T_w) - exp\left(0.14 \cdot 36.83 - \left(36.83 - \left(\frac{T_w}{6.89}\right)\right)\right) - 0.07$$
 (B-5)

That as observed in *Figure 21*, have a good fit to the experimental data $(R_{adj}^2 = 0.9, RMSE = 0.04, RSS = 0.05)$.

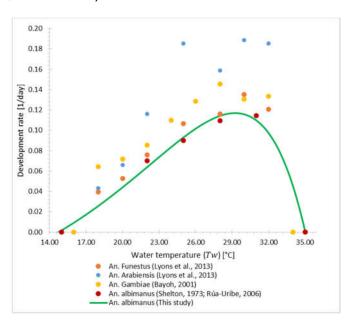


Figure 21. An. Albimanus larvae development rates under constant water temperatures.

Own elaboration in Microsoft Excel (2012) with data from Bayoh (2001), Lyons et al. (2013), Rúa-Uribe (2006b), and Shelton (1973).

3.1.2 Pupae

Pupae development times estimated for constant water (air) temperatures of 22°C (24°C), 25°C (27°C), 28°C (30°C), 31°C (33°C) (Rúa-Uribe, 2006b), 15°C and 35°C (Shelton, 1973) were fitted to the Briere non-linear theoretical function (Damos & Savopoulou-Soultani, 2012; Régnière et al., 2012). The fit was done in the QtiPlot software (Vasilief, 2014) iterating 128 times the Nelder-Mead Simplex algorithm with a tolerance of 0,0001. This results in the following equation:

$$PDR(T_w) = 0.001 \cdot T_w \cdot (T_w - 15.19) \cdot \sqrt{(35 - T_w)}$$
 (B-6)

That as observed in *Figure 22*, have a good fit to the experimental data $(R_{adj}^2 = 0.95, RMSE = 0.09, RSS = 0.02)$.

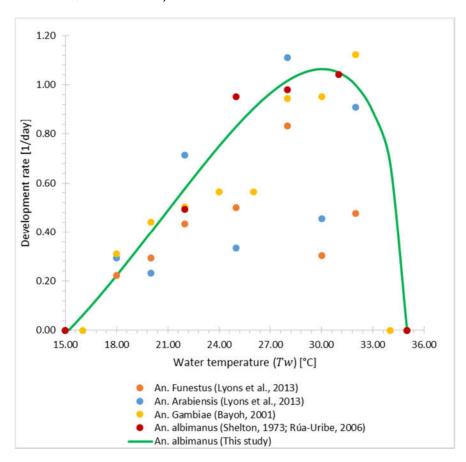


Figure 22. An. Albimanus pupae development rates under constant water temperatures.

Own elaboration in Microsoft Excel (2012) with data from Bayoh (2001), Lyons et al. (2013), Rúa-Uribe (2006b), and Shelton (1973).

3.2 Survival Percentage

The percent of *An. albimanus* larvae that survive to Adults estimated in laboratory conditions for constant water (air) temperatures of 22°C (24°C), 25°C (27°C), 28°C (30°C), 31°C (33°C) (Rúa-Uribe, 2006b), 15°C and 35°C (Shelton, 1973) were fitted to the Briere 2 non-linear theoretical function (Damos & Savopoulou-Soultani, 2012; Régnière et al., 2012). The fit was done in the QtiPlot software (Vasilief, 2014) iterating 108 times the Nelder-Mead Simplex algorithm with a tolerance of 0,0001. This results in the following equation:

$$ISP(T_w) = 0.0002 \cdot T_w \cdot (T_w - 14.97) \cdot (35.07 - T_w)^{1/0.83}$$
(B-7)

That as observed in *Figure 23*, have a good fit to the experimental data $(R_{adj}^2 = 0.99, RMSE = 0.02, RSS = 0.001)$

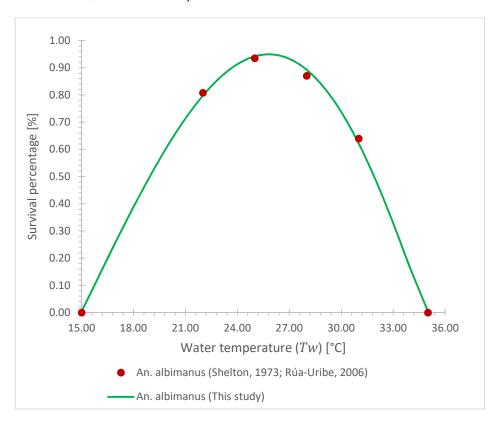


Figure 23. An. albimanus immature survival percentages under constant water temperatures.

Own elaboration in Microsoft Excel (2012) with data from Rúa-Uribe (2006a), and Shelton (1973).

C. Annex: An explicit vector model

Here the objective is to formulate the model rather than to simulate it, which is not done, but included as a recommendation for future work. The main equations of an explicit vector model, named hereafter MCMC - VS2EI2, are in Table 8, while the description of the main parameters in Table 9.

Table 8. Variables of the MCMC - VS2EI2.

Variable	Equation	Units	
Immatures	$\frac{dI(t)}{dt} = REC(t) - EM(t) - I \cdot IDR(T_w) \cdot \delta_I$	$\left[\frac{mosquitoes}{day}\right]$	(C-1)
Susceptible Vectors	$\frac{dSV(t)}{dt} = EM(t) - \lambda_m SV - SV \delta_M$	$\left[\frac{mosquitoes}{day}\right]$	(C-2)
Exposed Vectors	$\frac{dEV(t)}{dt} = \lambda_m SV - EV\tau - EV\delta_M$	$\left[\frac{mosquitoes}{day}\right]$	(C-3)
Infected Vectors	$\frac{dIV(t)}{dt} = EV\tau - IV\delta_M$	$\left[\frac{mosquitoes}{day}\right]$	(C-4)
Recruitment	$REC(t) = BMDR \cdot OP \cdot M(t) \cdot F \cdot (CC(R))$	$\left[\frac{mosquitoes}{day}\right]$	(C-5)
Total Mosquitoes	M(t) = SV(t) + EV(t) + IV(t)	[mosquitoes]	(C-6)
Carrying Capacity Conditional	$CC(R) = IF(L(t) > K(R)), then (0),$ $else\left(1 - \frac{L(t)}{K(R)}\right)$	[dimensionless]	(C-7)
Immatures Mortality Percent	$\delta_{I} = \left(1 - \left(\frac{ISP(T_{w})}{100}\right)\right) \cdot \left(\frac{(1 - ISPF)}{\left(1 - \frac{ISP(T_{F})}{100}\right)}\right)$	[dimensionless]	(C-8)
Emergence	$EM(t) = IDR(T_w) \cdot I(t) \cdot FP$	$\left[\frac{mosquitoes}{day}\right]$	(C-9)
Immature Development Rate	$IDR(T_w) = LDR(T_w) + PDR(T_w)$	$\left[\frac{1}{day}\right]$	(C-10)
Mosquito Mortality Rate	$\delta_M = 1 - FSR(T_a)$	$\left[\frac{1}{day}\right]$	(C-11)

Note: Own elaboration

The remaining equations and parameters, are described in the text. The carrying capacity (K) definition is inspired by a balance equation for surface water proposed by Alonso et al. (2011), and take the following form:

$$\frac{dK(t)}{dt} = f(P) - K_e K(t) \quad \left[\frac{mosquitoes}{day}\right]$$
 (C-12)

Where $P\left[mm/m^2\cdot t\right]$ is the water fallen per unit area a unit of time (Figure 9), $K_e[1/t]$ is the carrying capacity decaying rate, and f(P) a function that transforms daily precipitation into a rate of increase per day of larval carrying capacity. Here the simple proportionality $f(P)=K_aP$ has been assumed, although more complex one have been considered elsewhere. $K_a[(mosquitoes\cdot m^2)/mm)]$ is a factor that transforms daily precipitation into a rate of increase per day of larval carrying capacity.

Table 9. Parameters of the MCMC - VS2EI2

Symbol	Parameter	Value	Units
Oyiiiboi	i arameter	Value	Office
I(0)	Initial immatures	-	[mosquitoes]
K(0)	Initial Carrying Capacity	-	[mosquitoes]
SV(0)	Initial susceptible mosquitoes	-	[mosquitoes]
EV(0)	Initial exposed mosquitoes	-	[mosquitoes]
<i>IV</i> (0)	Initial infected mosquitoes	-	[mosquitoes]
F	Fecundity factor	-	[dimensionless]
FP	Female percent	0.5	[dimensionless]
d	Infectivity of humans	-	[dimensionless]
b	Infectivity of mosquitoes	-	[dimensionless]

Note: Own elaboration

Unknown parameters of the MCMC - VS2EI2 (Table 9) could be estimated with the same methodology used for the $MCMC - \lambda S2EI2$, fitting the model to the data of *Figure 11*.

The parameter ISPF, is the immature survival percent estimated in the field, which is equal to 1-0.94 (Table 6). In the other hand, $ISP(T_F)$, is the ISP function (Equation B-7) evaluated in the temperature at which the parameter ISPF was obtained (T_F) , which is presented in Table 7.

The force of infection that susceptible mosquitoes felt to move from SV to EV is given by:

$$\lambda_m(t) = d \cdot BMDR(T_a) \cdot y = d \cdot BMDR(T_a) \cdot \left(\frac{I1(t) + qI2(t)}{P(t)}\right)$$
 (C-13)

And finally, the final piece of the puzzle to have a fully coupled mosquito-human Model of Climate and Malaria Incidence in Colombia, the force of infection that humans felt:

$$\lambda_h(t) = b \cdot BMDR(T_a) \cdot \left(\frac{M(t)}{P(t)}\right) \cdot \left(\frac{IV(t)}{M(t)}\right) \alpha \tag{C-14}$$

Which should replace the force of infection described in equation 4-6 to allow an effective interaction of mosquitoes and human populations.

Although the objective of this thesis was to formulate a physically-based equation. after revising the texts that provide the theoretical foundations to the vector-borne mathematical models (Aron & May, 1982; Keeling & Rohani, 2008), I found that this term is dimensionally inadequate. This could be due to an incomplete notation as is the case of others key concepts of the vector-borne infections theory (Eduardo Massad & Coutinho, 2012). Other plausible explanations include misinterpretation by my part of the units of the parameters involved, and a lack of dimensional analysis in the field of vector-borne pathogen transmission mathematical models. For this reason I introduce a dimensionality constant, α [human/mosquitoes], to of [1/day]. Otherwise, λ_h would $[mosquito/(human \cdot day)]$, which would derive in a dimensional inconsistent model. Hence, a dimensional consistent force of infection for humans should be similar to that of mosquitoes, with the following form (Ngarakana-Gwasira, Bhunu, & Mashonjowa, 2014):

$$\lambda_h(t) = b \cdot BMDR(T_a) \cdot \left(\frac{IV(t)}{M(t)}\right)$$
 (C-15)

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